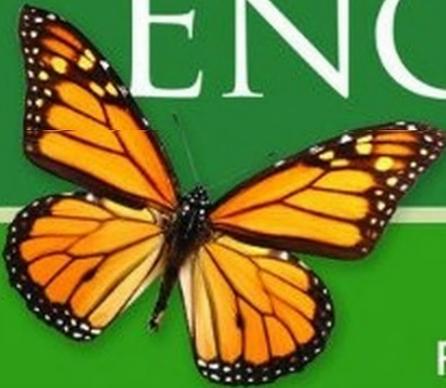




# ENVIRONMENTAL ENCYCLOPEDIA



FOURTH EDITION



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FOURTH EDITION

# ENVIRONMENTAL ENCYCLOPEDIA

FOURTH EDITION

VOLUME

1

A-K

VOLUME

2

L-Z



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**X**

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**Z**

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Over several editions of the *Environmental Encyclopedia*, a number of experts in journalism, library science, law, environmental policy, and environmental science communities have provided invaluable assistance in the development of topics and content areas related to their expertise. We would like to express our sincere appreciation to:

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# INTRODUCTION

The third edition of the *Environmental Encyclopedia*, edited by William P. Cunningham carried important thoughts still applicable to this, the fourth edition.

“As you might imagine, choosing what to include and what to exclude from this collection has been challenging. Almost everything has some environmental significance, so our task has been to select a limited number of topics we think are of greatest importance in understanding our environment and our relation to it. Undoubtedly, we have neglected some topics that interest you and included some you may consider irrelevant, but we hope that overall you will find this new edition helpful and worthwhile.”

“The word environment is derived from the French *environ*, which means to “encircle” or “surround.” Thus, our environment can be defined as the physical, chemical, and biological world that envelops us, as well as the complex of social and cultural conditions affecting an individual or community. This broad definition includes both the natural world and the “built” or technological environment, as well as the cultural and social contexts that shape human lives. You will see that we have used this comprehensive meaning in choosing the articles and definitions contained in this volume.”

“Among some central concerns of environmental science are:

- How did the natural world on which we depend come to be as it is, and how does it work?
- What have we done and what are we now doing to our environment—both for good and ill?
- What can we do to ensure a sustainable future for ourselves, future generations, and the other species of organisms on which—although we may not be aware of it—our lives depend?”

“The articles in this volume attempt to answer those questions from a variety of different perspectives. Historically, environmentalism is rooted in natural history, a search for beauty and meaning in nature. Modern

environmental science expands this concern, drawing on almost every area of human knowledge including social sciences, humanities, and the physical sciences. Its strongest roots, however, are in ecology, the study of interrelationships among and between organisms and their physical or nonliving environment. A particular strength of the ecological approach is that it studies systems holistically; that is, it looks at interconnections that make the whole greater than the mere sum of its parts. You will find many of those interconnections reflected in this book. Although the entries are presented individually so that you can find topics easily, you will notice that many refer to other topics that, in turn, can lead you on through the book if you have time to follow their trail. This series of linkages reflects the multilevel associations in environmental issues.”

The fourth edition of the *Environmental Encyclopedia*, updated by a team of scientists and scholars, attempts to preserve the structure and relationships established in the third edition with updated information and resources that articulate concerns about global warming, climate change and other environmental perils that have become overwhelmingly clearer since the publication of the third edition in 2002.

Accordingly, to this solid foundation and structure, the fourth edition of the *Environmental Encyclopedia* updates, reinforces, and clarifies essential environmental science concepts, with an emphasis on topics increasingly the subject of economic and geopolitical news. For example, this revision incorporates, highlights, and further updates some of the most fundamental environmental data and analysis contained in the most recent Intergovernmental Panel on Climate Change (IPCC) report.

In addition to clarification of existing material, the fourth edition contains information and data distilled from more than 800 peer reviewed journal reports published since the last edition. Along with hundreds of new photos, approximately 5,000 new or updated references are included.

Intended for a wide and diverse audience, every effort has been made to update the *Environmental Encyclopedia* entries in everyday language and to provide accurate and generous explanations of the most important scientific terms. Entries are designed to instruct, challenge, and excite less experienced students, while providing a solid foundation and reference for more advanced students. Although certainly not a substitute for in-depth study of important topics, the fourth edition of the *Environmental Encyclopedia* is designed to better provide students and readers with the basic information, resources, and insights that will enable a greater understanding of the news and stimulate critical thinking regarding current events.

Appropriate to the diversity of environmental sciences, the fourth edition gives special attention to the contributions by women and scientists of diverse ethnic and cultural backgrounds. In addition, the editors have included special contributions written by respected writers and experts. New entries include in-depth information and analysis related to climate change, climate change controversies (including the 2009 and 2010 “Climategate” investigations), and the 2010 Gulf Oil Spill along with recent revisions to environmental law and policy. The fourth edition of *The Gale Environmental Encyclopedia* also expands upon the content provided in earlier editions to include a broader range and treatment of topics of international and global concern.

Environmental science is, of course, not static. In some cases, including the *Deepwater Horizon* oil spill, the full environmental impact will take years

and decades to fully manifest and investigate. At the time this book went to press, debates about the size of the *Deepwater Horizon* spill still raged, new regulations regarding offshore drilling were pending, and the formal investigation regarding the cause of the spill remained open. Regardless, the *Environmental Encyclopedia* serves a solid base from which to begin a journey toward an in-depth understanding of topics critical to understanding the complexities of environmental issues. With ongoing issues we have made a special effort to set the context and provide a base of understanding that will allow students and readers to more critically understand the updated information contained in the reliable resources provided.

Thus far Earth is the only known planet with blue skies, warm seas, and life. It is our most tangible and insightful laboratory. Because Earth is our only home, environmental studies also offer a profound insight into delicate balance and the tenuousness of life. As Carl Sagan wrote in *Pale Blue Dot: A Vision of the Human Future in Space*: “The Earth is a very small stage in a vast cosmic arena.” For humans to play wisely upon that stage, to secure a future for the children who shall inherit the Earth, we owe it to ourselves to become players of many parts, so that our repertoire of scientific knowledge enables us to use reason and intellect in our civic debates, and to understand the complex harmonies of Earth.

**K. Lee Lerner & Brenda Wilmoth Lerner, Editors**  
*Paris, France*  
*November, 2010*



# A

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## Abbey, Edward

1927–1989

American environmentalist and writer

Novelist, essayist, white-water rafter, and self-described “desert rat,” Abbey wrote of the wonders and beauty of the American West that was fast disappearing in the name of development and progress. Often angry, frequently funny, and sometimes lyrical, Abbey recreated for his readers a region that was unique in the world. The American West was perhaps the last place where solitary selves could discover and reflect on their connections with wild things and with their fellow human beings.

Abbey was born in Indiana, Pennsylvania, in 1927. He received his BA from the University of New Mexico in 1951. After earning his master’s degree in 1956, he joined the National Park Service, where he served as park ranger and as a firefighter. He later taught writing at the University of Arizona.

Abbey’s books and essays, such as *Desert Solitaire* (1968) and *Down the River* (1982), had their angrier fictional counterparts—most notably, *The Monkey Wrench Gang* (1975) and *Hayduke Lives!* (1990)—in which he gave voice to his outrage over the destruction of deserts and rivers by dam-builders and developers of all sorts. In *The Monkey Wrench Gang* Abbey weaves a tale of three “ecoteurs” who defend the Wild West by destroying the means and machines of development—dams, bulldozers, logging trucks—which would otherwise reduce forests to lumber and raging rivers to irrigation channels.

This aspect of Abbey’s work inspired some radical environmentalists, including Dave Foreman and other members of Earth First!, to practice “monkey-wrenching” or “ecotage” to slow or stop such environmentally destructive practices as strip mining, the clear-cutting of old-growth forests on public land, and the damming of wild rivers for flood control, hydroelectric power, and

what Abbey termed “industrial tourism.” Although Abbey’s description and defense of such tactics have been widely condemned by many environmental groups, he remains a revered figure among many who believe that gradualist tactics have not succeeded in slowing, much less stopping, the destruction of North American wilderness. Abbey also had an oceangoing ship named after him. The activist Sea Shepherd Conservation Society purchased the former U.S. Coast Guard patrol vessel *Cape Knox*, renamed it the *Edward Abbey*, and used it for a number of expeditions.

Abbey died on March 14, 1989. He is buried in a desert in the southwestern United States.

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Terence Ball

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## Absorption

Absorption, or more generally “sorption,” is the process by which one material (the sorbent) takes up and retains another (the sorbate) to form a homogeneous concentration at equilibrium.

Sorption is defined as the adhesion of gas molecules, dissolved substances, or liquids to the surface of solids with which they are in contact. In soils, three types of mechanisms, often working together, constitute sorption. They can be grouped into physical sorption, chemisorption, and penetration into the solid mineral phase. Physical sorption (also known as adsorption) involves the attachment of the sorbent and sorbate through weak atomic and molecular forces. Chemisorption involves chemical bonds similar to holding atoms in a molecule. Electrostatic forces operate to bond minerals via ion exchange, such as the replacement of sodium, magnesium, potassium, and aluminum cations (+) as exchangeable bases with acid (–) soils. While cation (positive ion) exchange is the dominant exchange process occurring in soils, some soils have the ability to retain anions (negative ions) such as nitrates, chlorine, and to a larger extent, oxides of sulfur.

### Absorption and wastewater treatment

In on-site wastewater treatment, the soil absorption field is the land area where the wastewater from the septic tank is spread into the soil. One of the most common types of soil absorption field has porous plastic pipes extending away from the distribution box in a series of two or more parallel trenches, usually 1.5 to 2 feet (45.7–61 cm) wide. In conventional, below-ground systems, the trenches are 1.5 to 2 feet deep. Some absorption fields must be placed at a shallower depth than this to compensate for some limiting soil condition, such as a hardpan or high water table. In some cases they may even be placed partially or entirely in fill material that has been brought to the lot from elsewhere.

The porous pipe that carries wastewater from the distribution box into the absorption field is surrounded by gravel that fills the trench to within a foot or so of the ground surface. The gravel is covered by fabric material or building paper to prevent plugging. Another type of drainfield consists of pipes that extend away from the distribution box, not in trenches but in a single, gravel-filled bed that has several such porous pipes in it. As with trenches, the gravel in a bed is covered by fabric or other porous material.

Usually the wastewater flows gradually downward into the gravel-filled trenches or bed. In some instances, such as when the septic tank is lower than the drainfield, the wastewater must be pumped into the drainfield. Whether gravity flow or pumping is used, wastewater must be evenly distributed throughout the drainfield. It is important to ensure that the drainfield is installed with care to keep the porous pipe level, or at a very gradual downward slope away from the distribution box or pump chamber, according to specifications

stipulated by public health officials. Soil beneath the gravel-filled trenches or bed must be permeable so that wastewater and air can move through it and come in contact with each other. Good aeration is necessary to ensure that the proper chemical and microbiological processes will be occurring in the soil to cleanse the percolating wastewater of contaminants. A well-aerated soil also ensures slow travel and good contact between wastewater and soil.

### How common are septic systems with soil absorption systems?

According to the data published by the Environmental Protection Agency (EPA) in 2008, usage of septic systems ranges from 7 percent of households in urban areas to 61 percent use in small communities and rural areas. Approximately 19.8 million homes in the United States use septic tanks or cesspools.

According to a study conducted by the EPA's Office of Technology Assessment, virtually all septic tank waste is discharged to subsurface soils, which can impact groundwater quality. The EPA recommends that to decrease environmental risks and save money on septic system repairs, homeowners using septic systems should inspect and pump systems on a regular basis, use water efficiently, and avoid disposing household hazardous wastes into sinks and toilets. Landscape planning near the septic system and feeder lines is also important. Septic tank users are cautioned not to plant trees or shrubs that may have root systems that interfere either with tank integrity or that can hinder absorption from subsurface drainage lines.

*See also* Pollution control.

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Carol Steinfeld

## Acclimation

Acclimation is the process by which an organism adjusts to a change in its environment. It generally refers to the ability of living things to adjust to changes in climate, and may occur rapidly as in the case of color adaptation, or slowly as with physiological acclimatization to cold and altitude.

Some scientists draw a sharper distinction between acclimation and acclimatization, defining the latter adjustment as made under natural conditions when the organism is subject to the full range of changing environmental factors. Acclimation, however, refers to a change in only one environmental factor under laboratory conditions.

In an acclimation experiment, adult frogs (*Rana temporaria*) maintained in the laboratory at a temperature of either 50°F (10°C) or 86°F (30°C) were tested in an environment of 32°F (0°C). It was found that the group maintained at the higher temperature was inactive at freezing temperatures. The group maintained at the lower temperature of 50°F (10°C), however, was active at freezing temperatures and thus showed acclimation to lower temperatures.

Acclimation and acclimatization can have profound effects upon behavior, inducing shifts in preferences and in mode of life. The golden hamster (*Mesocricetus auratus*) prepares for hibernation when the environmental temperature drops below 59°F (15°C). Temperature preference tests in the laboratory show that the hamsters develop a marked preference for cold environmental temperatures during the pre-hibernation period. Following arousal from a simulated period of hibernation, the situation is reversed, and the hamsters actively prefer the warmer environments.

An acclimated microorganism is any microorganism that is able to adapt to environmental changes such as a change in temperature or a change in the quantity of oxygen or other gases. Many organisms that live in environments with seasonal changes in temperature make physiological adjustments that permit them to continue to function properly, even though their

environmental temperature goes through a definite annual temperature cycle.

Acclimatization usually involves a number of interacting physiological processes. For example, in acclimatizing to high altitudes, the first response of human beings is to increase their breathing rate. After about forty hours, changes have occurred in the oxygen-carrying capacity of the blood, which makes it more efficient in extracting oxygen at high altitudes. Full acclimatization, as measured by blood gases and breathing rate, may take weeks. Such acclimatization is usually accompanied by increased red blood cell counts as the body attempts to increase the oxygen carrying capacity of the body.

Athletes often train at altitude to acclimatize to high-altitude competition, or to increase performance at lower altitude. The acclimatization processes are measurable physiologically and some sports regulatory agencies limit the periods athletes may stay at high altitude prior to competition at lower altitudes. At higher altitudes, the body compensates by increasing its production of red blood cells (erythrocytes). In the body this increase is mediated by the hormone erythropoietin (EPO), and so some athletes may attempt to artificially boost red blood cell numbers (thereby increasing the oxygen-carrying capacity of the blood) by taking EPO supplements. For this reason EPO, a drug that then mimics acclimatization processes, is generally banned in athletic competition.

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Linda Rehkopf

## Accounting for nature

Accounting for nature is an approach to national income accounting in which the degradation and depletion of natural resource stocks and environmental amenities are explicitly included in the calculation of net national product (NNP). NNP is equal to gross national product (GNP) minus capital depreciation, and GNP is equal to the value of all final goods and

services produced in a nation in a particular year. It is recognized that natural resources are economic assets that generate income, and that just as the depreciation of buildings and capital equipment are treated as economic costs and subtracted from GNP to get NNP, depreciation of *natural capital* should also be subtracted when calculating NNP. In addition, expenditures on environmental protection, which at present are included in GNP and NNP, are considered defensive expenditures in accounting for nature that should not be included in either GNP or NNP.

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## Accuracy

Accuracy is the closeness of an experimental measurement to the true value (i.e., actual or specified) of a measured quantity. A true value (within measurable limits) can be determined by an experienced analytical scientist who performs repeated analyses of a sample of known purity or concentration using reliable, well-tested methods.

Measurement is inexact, and the magnitude of that exactness is referred to as the error. Error is inherent in measurement and is a result of such factors as the precision of the measuring tools, their proper adjustment, the method, and competency of the analytical scientist.

Statistical methods are used to evaluate accuracy by predicting the likelihood that a result varies from the true value. The analysis of probable error is also used to examine the suitability of methods or equipment used to obtain, portray, and utilize an acceptable result. Highly accurate data can be difficult to obtain and costly to produce. However, different applications can require lower levels of accuracy that are adequate for a particular study.

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Judith L. Sims

## Acetone

Acetone ( $C_3H_6O$ ) is a colorless liquid that is used as a solvent in products, such as in nail polish and paint, and in the manufacture of other chemicals such as plastics and fibers. It is a naturally occurring compound that is found in plants and is released during the metabolism of fat in the body. It is also found in volcanic gases, and is manufactured by the chemical industry (sometimes under the label "2-propanone," a chemical synonym). Acetone is also found in the atmosphere as an oxidation product of both natural and anthropogenic volatile organic compounds (VOCs). It has a strong smell and taste, and is soluble in water. The evaporation point of acetone is quite low compared to water, and the chemical is highly flammable. Because it is so volatile, the acetone manufacturing process results in a large percentage of the compound entering the atmosphere. Ingesting acetone can cause damage to the tissues in the mouth and can lead to unconsciousness. Breathing acetone can cause irritation of the eyes, nose, and throat; headaches; dizziness; nausea; unconsciousness; and possible coma and death. Women may experience menstrual irregularity. However, despite concern about the carcinogenic potential of acetone, laboratory studies and studies of workers routinely exposed to acetone show no evidence that acetone causes cancer.

As of 2010 the National Institute for Occupational Safety and Health's Registry of Toxic Effects of Chemical Substances continues monitoring for suspected acetone exposure-related contributions to respiratory, gastrointestinal, kidney, and liver diseases.

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Marie H. Bundy

## Acid and base

In chemistry, an acid is a substance that increases the hydrogen ion ( $H^+$ ) concentration in a solution and a base is a substance that removes hydrogen ions from a solution. In water, removal of hydrogen ions results in an increase in the hydroxide ion ( $OH^-$ ) concentration. Water with a pH of 7 is neutral, while lower pH values are acidic and higher pH values are basic.

The acidity of a liquid (aqueous solution) is measured as its concentration of hydrogen ions. The pH scale expresses this concentration in logarithmic units, ranging from very acidic solutions of pH 0, through the neutral value of pH 7, to very alkaline (or basic) solutions of pH 14. A one-unit difference in pH (for example, from pH 3 to pH 4) represents a ten fold difference in the concentration of hydrogen ions.

Changes in the pH of soil and water (e.g., soil and water becoming more acidic or basic) can have devastating impacts on habitat. For example, marine biologists contend that climate change and pollution are driving changes in pH in ocean waters that threaten both algae and corals.

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## Acid deposition

Acid precipitation from the atmosphere, whether in the form of dryfall (finely divided acidic salts), rain, or snow results in acid deposition.

Naturally occurring carbonic acid normally makes rain and snow mildly acidic (approximately 5.6 pH). Human activities often introduce much stronger and more damaging acids. Sulfuric acids formed from sulfur oxides ( $SO_2$ ) released in coal or oil combustion or smelting of sulfide ores predominate as the major atmospheric acid in industrialized areas. Nitric acid created from oxides of nitrogen ( $NO$  and  $NO_2$ ), formed by oxidizing atmospheric nitrogen when any fuel is burned in an oxygen-rich environment, constitutes the major source of acid precipitation in cities such as Los Angeles, California, with little industry but

large numbers of trucks and automobiles. The damage caused to building materials, human health, crops, and natural ecosystems by atmospheric acids amounts to billions of dollars per year in the United States. Particulates of ammonium sulfate ( $NH_4_2SO_4$ ) and ammonium nitrate ( $NH_4NO_3$ ) also impact acid base balances.

Dry deposition results from atmospheric particulate matter, as well as the uptake of gaseous sulfur dioxide and nitric oxides by plants, soil, and water. Once they are dry deposited, certain chemicals can generate important quantities of acidity as plants decompose in the ecosystem. In relatively polluted environments close to emissions sources, dry depositions account for a greater percentage of acidifying pollution than wet depositions (e.g., acid rain).

For example, within a 25-mile (40-km) radius of a smelter, even though only 1 percent of the total sulfur dioxide is deposited within that area, about 50 percent of the total input of acidifying sulfur dioxide from the atmosphere found on the ground is due to dry deposition.

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## Acid mine drainage

The process of mining the earth for coal and metal ores has a long history of rich economic rewards—and a high level of environmental impact to the surrounding aquatic and terrestrial ecosystems. Acid mine drainage is the highly acidic, sediment-laden discharge from exposed mines that is released into the ambient aquatic environment. The bright orange seeps of acid mine drainage threaten aquatic life in streams and ponds that receive mine discharge. In the Appalachian coal mining region, almost 7,500 miles (12,000 km) of streams and almost 30,000 acres (12,000 ha) of land are estimated to be seriously affected by the discharge of uncontrolled acid mine drainage.



Acid mine drainage in Spain. (Ashiga/Shutterstock.com)

In the United States, coal-bearing geological strata occur near the surface in large portions of the Appalachian mountain region. The relative ease with which coal could be extracted from these strata led to a type of mining known as strip mining that was practiced heavily in the nineteenth and early twentieth centuries. In this process, large amounts of earth, called the overburden, were physically removed from the surface to expose the coal-bearing layer beneath. The coal was then extracted from the rock as quickly and cheaply as possible. Once the bulk of the coal had been mined, and no more could be extracted without a huge additional cost, the sites were usually abandoned. The remnants of the exhausted coal-bearing rock and soil are called the mine spoil waste.

Acid mine drainage is not generated by strip mining itself but by the nature of the rock where it takes place. Three conditions are necessary to form acid mine drainage: pyrite-bearing rock, oxygen, and iron-oxidizing bacteria. In the Appalachians, the coal-bearing rocks usually contain significant quantities of pyrite (iron). This compound is normally not exposed to the atmosphere because it is buried underground within the rock; it is also insoluble in water. The iron and the sulfide are said to be in a reduced state, that is, the iron atom has not released all the electrons that it is capable of releasing. When the rock is mined, the pyrite is exposed to air. It then reacts with oxygen to form ferrous iron and sulfate ions, both of which are highly soluble in water. This leads to the formation of sulfuric acid and is responsible for the acidic nature of the drainage. But the oxidation can only occur if the bacteria *Thiobacillus ferrooxidans* are present. These activate the iron-and-sulfur oxidizing reactions and use the energy released during the reactions for their own growth. They must have oxygen to carry these reactions through. Once the maximum

oxidation is reached, these bacteria can derive no more energy from the compounds and all reactions stop.

The acidified water may be formed in several ways. It may be generated by rain falling on exposed mine spoil wastes or when rain and surface water (carrying dissolved oxygen) flow down and seep into rock fractures and mine shafts, coming into contact with pyrite-bearing rock. Once the acidified water has been formed, it leaves the mine area as seeps or small streams.

Characteristically bright orange to rusty red in color due to the iron, the liquid may be at a pH of between 2.0 and 4.0. These are extremely low pH values and signify a very high degree of acidity. Vinegar, for example, has a pH of about 4.7 and the pH associated with acid rain is in the range of between 4.0 and 6.0. Thus, acid mine drainage with a pH of 2 is more acidic than almost any other naturally occurring liquid released in the environment (with the exception of some volcanic lakes that are pure acid). Usually, the drainage is also very high in dissolved iron, manganese, aluminum, and suspended solids.

The acidic drainage released from the mine spoil wastes usually follows the natural topography of its area and flows into the nearest streams or wetlands where its effect on the water quality and biotic community is unmistakable. The iron coats the stream bed and its vegetation as a thick orange coating that prevents sunlight from penetrating leaves and plant surfaces. Photosynthesis stops and the vegetation (both vascular plants and algae) dies. The acid drainage eventually also makes the receiving water acid. As the pH drops, the fish, the invertebrates, and algae die when their metabolism can no longer adapt. Eventually, there is no life left in the stream with the possible exception of some bacteria that may be able to tolerate these conditions. Depending on the number and volume of seeps entering a stream and the volume of the stream itself, the area of impact may be limited and improved conditions may exist downstream, as the acid drainage is diluted. Abandoned mine spoil areas also tend to remain barren, even after decades. The colonization of the acidic mineral soil by plant species is a slow and difficult process, with a few lichens and aspens being the most hardy species to establish.

While many methods have been tried to control or mitigate the effects of acid mine drainage, very few have been successful. Federal mining regulations (Surface Mining Control and Reclamation Act of 1978) now require that when mining activity ceases, the mine spoil wastes should be buried and covered with the overburden and vegetated topsoil. The intent is to restore the area to

premining condition and to prevent the generation of acid mine drainage by limiting the exposure of pyrite to oxygen and water. Although some minor seeps may still occur, this is the single most effective way to minimize the potential scale of the problem. Mining companies are also required to monitor the effectiveness of their restoration programs and must post bonds to guarantee the execution of abatement efforts, should any become necessary in the future.

There are, however, numerous abandoned sites exposing pyrite-bearing spoils. Cleanup efforts for these sites have focused on controlling one or more of the three conditions necessary for the creation of the acidity: pyrite, bacteria, and oxygen. Attempts to remove bulk quantities of the pyrite-bearing mineral and store it somewhere else are extremely expensive and difficult to execute. Inhibiting the bacteria by using detergents, solvents, and other bactericidal agents are temporarily effective, but usually require repeated application. Attempts to seal out air or water are difficult to implement on a large scale or in a comprehensive manner.

Since it is difficult to reduce the formation of acid mine drainage at abandoned sites, one of the most promising new methods of mitigation treats the acid mine drainage after it exits the mine spoil wastes. The technique channels the acid seeps through artificially created wetlands, planted with cattails or other wetland plants in a bed of gravel, limestone, or compost. The limestone neutralizes the acid and raises the pH of the drainage, while the mixture of oxygen-rich and oxygen-poor areas within the wetland promote the removal of iron and other metals from the drainage. As of 2010, many agencies, universities, and private firms are working to improve the design and performance of these artificial wetlands. A number of additional treatment techniques may be strung together in an interconnected system of anoxic limestone trenches, settling ponds, and planted wetlands. This provides a variety of physical and chemical microenvironments so that each undesirable characteristic of the acid drainage can be individually addressed and treated; for example, acidity is neutralized in the trenches, suspended solids are settled in the ponds, and metals are precipitated in the wetlands. In the United States, the research and treatment of acid mine drainage continues to be an active field of study in the Appalachians and in the metal-mining areas of the Rocky Mountains.

Mine drainage and discharge, even if unintentional and at seemingly low levels, can have devastating environmental impacts. For example, cyanide leakage from gold mines in northern Idaho caused gradual acidification in areas of the South Fork Salmon River for years. One area so contaminated was where chinook salmon

breed, so the change in pH threatened the entire chinook salmon population.

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Usha Vedagiri

## Acid rain

Acid rain is the term generally used in the popular press that is equivalent to wet acidic deposition as used in the scientific literature. Acid deposition results from the deposition of airborne acidic pollutants on land and in bodies of water. These pollutants can cause damage to forests as well as to lakes and streams.

The major pollutants that cause acidic deposition are sulfur dioxide (SO<sub>2</sub>) and nitrogen oxides (NO<sub>x</sub>) produced during the combustion of fossil fuels. In the atmosphere these gases oxidize to sulfuric acid (H<sub>2</sub>SO<sub>4</sub>) and nitric acid (HNO<sub>3</sub>) that can be transported long distances before being returned to the earth dissolved in rain drops (wet deposition), deposited on the surfaces of plants as cloud droplets, or directly on plant surfaces (dry deposition).

Electrical utilities contribute the greatest percentage of SO<sub>2</sub> added to the atmosphere. Most of this is from the combustion of coal. Electric utilities also contribute approximately one-third of the NO<sub>x</sub> added to the atmosphere (internal combustion engines used in automobiles, trucks, and buses contribute about half). Natural sources such as forest fires, swamp gases, volcanoes, lightning, and microbial processes in soils contribute only 5 percent and 15 percent of the atmospheric SO<sub>2</sub> and NO<sub>x</sub>.

In response to air quality regulations, electrical utilities have switched to coal with lower sulfur content and installed scrubbing systems to remove SO<sub>2</sub>. This has resulted in a steady decrease in SO<sub>2</sub> emissions in the United States since 1970, with an 18–20 percent decrease between 1975 and 1988. Emissions of NO<sub>x</sub>



**Acid rain damage on forest, Mount Mitchell, North Carolina.**  
(Will & Deni McIntyre/Getty Images)

have also decreased from the peak in 1975, with a 9–15 percent decrease from 1975 to 1988. With still tougher (Phase II) standards implemented in 2000, by 2009 the U.S. Environmental Protection Agency (EPA) characterized its acid-rain reduction program as a success.

A commonly used indicator of the intensity of acid rain is the pH of this rainfall. The pH of nonpolluted rainfall in forested regions is in the range between 5.0 and 5.6. The upper limit is 5.6, not neutral (7.0), because of carbonic acid that results from the dissolution of atmospheric carbon dioxide. The contribution of naturally occurring nitric and sulfuric acid, as well as organic acids, reduces the pH somewhat to less than 5.6. In arid and semiarid regions, rainfall pH values can be greater than 5.6 because of the effect of alkaline soil dust in the air. Nitric and sulfuric acids in acidic rainfall (wet deposition) can result in pH values for individual rainfall events of less than 4.0.

In North America, the lowest acid rainfall is in the northeastern United States and southeastern Canada. The lowest mean pH in this region is 4.15. Even lower pH values are observed in central and northern

Europe. Generally, the greater the population density and density of industrialization, the lower the rainfall pH. Long distance transport, however, can result in low pH rainfall even in areas with low population and low density of industries, as in parts of New England, eastern Canada, and in Scandinavia.

A very significant portion of acid deposition occurs in the dry form. In the United States, it is estimated that 30–60 percent of acidic deposition occurs as dry fall. This material is deposited as sulfur dioxide gas and very finely divided particles (aerosols) directly on the surfaces of plants (needles and leaves). The rate of deposition depends not only on the concentration of acid materials suspended in the air, but also on the nature and density of plant surfaces exposed to the atmosphere and the atmospheric conditions (e.g., wind speed and humidity).

Direct deposition of acid cloud droplets can be very important especially in some high-altitude forests. Acid cloud droplets can have acid concentrations of five to twenty times that in wet deposition. In some high elevation sites that are frequently shrouded in clouds, direct droplet deposition is three times that of wet deposition from rainfall.

Acid deposition has the potential to adversely affect sensitive forests as well as lakes and streams. Agriculture is generally not included in the assessment of the effects of acidic deposition because experimental evidence indicates that even the most severe episodes of acid deposition do not adversely affect the growth of agricultural crops, and that any long-term soil acidification can readily be managed by addition of agricultural lime. In fact, the acidifying potential of the fertilizers normally added to cropland is much greater than that of acidic deposition. In forests, however, long-term acidic deposition on sensitive soils can result in the depletion of important nutrient elements (e.g., calcium, magnesium, and potassium) and in soil acidification. Also, acidic pollutants can interact with other pollutants (e.g., ozone) to cause more immediate problems for tree growth. Acid deposition can also result in the acidification of sensitive lakes and the loss of biological productivity.

Long-term exposure of acid-sensitive materials used in building construction and in monuments (e.g., zinc, marble, limestone, and some sandstone) can result in surface corrosion and deterioration. Monuments tend to be the most vulnerable because they are usually not as protected from rainfall as most building materials. In particular, buildings made of limestone and marble contain vulnerable calcium carbonate. Dry deposition reacts with the calcium carbonate to damage surface features. A number of famous buildings and sculptures, especially in Europe, have been damaged by acid deposition and remain the focus of restoration efforts.

Nutrient depletion due to acid deposition on sensitive soils is a long-term (decades to centuries) consequence of acidic deposition. Acidic deposition greatly accelerates the very slow depletion of soil nutrients because of natural weathering processes. Soils that contain less plant-available calcium, magnesium, and potassium are less buffered with respect to degradation due to acidic deposition. The most sensitive soils are shallow sandy soils over hard bedrock. The least vulnerable soils are the deep clay soils that are highly buffered against changes because of acidic deposition.

The more immediate possible threat to forests is the forest decline phenomenon that has been observed in forests in northern Europe and North America. Acidic deposition in combination with other stress factors such as ozone, disease, and adverse weather conditions can lead to decline in forest productivity and, in certain cases, to dieback. Acid deposition alone cannot account for the observed forest decline, and acid deposition probably plays a minor role in the areas where forest decline has occurred. Ozone is a much more serious threat to forests, and it is a key factor in the decline of forests in the Sierra Nevada and San Bernardino mountains in California.

The greatest concern for adverse effects of acidic deposition is the decline in biological productivity in lakes. When a lake has a pH less than 6.0, several species of minnows, as well as other species that are part of the food chain for many fish, cannot survive. At pH values less than about 5.3, lake trout, walleye, and smallmouth bass cannot survive. At pH less than about 4.5, most fish cannot survive (largemouth bass are an exception).

Many small lakes are naturally acidic due to organic acids produced in acid soils and acid bogs. These lakes have chemistries dominated by organic acids, and many have brown-colored waters due to the organic acid content. These lakes can be distinguished from lakes acidified by acidic deposition, because lakes strongly affected by acidic deposition are dominated by sulfate.

Lakes that are adversely affected by acidic deposition tend to be in steep terrain with thin soils. In these settings the path of rainwater movement into a lake is not influenced greatly by soil materials. This contrasts to most lakes where much of the water that collects in a lake flows first into the groundwater before entering the lake via subsurface flow. Due to the contact with soil materials, acidity is neutralized and the capacity to neutralize acidity is added to the water in the form of bicarbonate ions (bicarbonate alkalinity). If more than 5 percent of the water that reaches a lake is in the form of groundwater, a lake is not sensitive to acid deposition.

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Paul R. Bloom

Acoustics see **Noise pollution**.

Acquired immune deficiency see **AIDS**.

## Acidification

The process of becoming more acidic. The common measure of acidification is a decrease in pH, reflecting an increase in hydrogen ion (proton) concentration. Acidification of soils and natural waters by acid rain or acidic wastes can result in reduced biological productivity. Normal rainfall is slightly acidic, with a pH of about 5.6. Rain with a pH below 5.6 is considered to be acid rain.

Acidity see **pH**.

## Activated sludge

The activated sludge process is an aerobic (oxygen-rich), continuous-flow biological method for the treatment of domestic and biodegradable industrial wastewater, in which organic matter is utilized by microorganisms for life-sustaining processes, that

is, for energy for reproduction, digestion, movement, and so forth, and as a food source to produce cell growth and more microorganisms. During these activities of utilization and degradation of organic materials, degradation products of carbon dioxide and water are also formed. The activated sludge process is characterized by the suspension of microorganisms in the wastewater, a mixture referred to as the mixed liquor. Activated sludge is used as part of an overall treatment system, which includes primary treatment of the wastewater for the removal of particulate solids before the use of activated sludge as a secondary treatment process to remove suspended and dissolved organic solids.

The conventional activated sludge process consists of an aeration basin, with air as the oxygen source, where treatment is accomplished. Soluble (dissolved) organic materials are absorbed through the cell walls of the microorganisms and into the cells, where they are broken down and converted to carbon dioxide, water, energy, and the production of more microorganisms. Insoluble (solid) particles are adsorbed on the cell walls, transformed to a soluble form by enzymes (biological catalysts) secreted by the microorganisms, and absorbed through the cell wall, where they are also digested and used by the microorganisms in their life-sustaining processes.

The microorganisms that are responsible for the degradation of the organic materials are maintained in suspension by mixing induced by the aeration system. As the microorganisms are mixed, they collide with other microorganisms and stick together to form larger particles called *floc*. The large flocs that are formed settle more readily than individual cells. These flocs also collide with suspended and colloidal materials (insoluble organic materials), which stick to the flocs and cause the flocs to grow even larger. The microorganisms digest these adsorbed materials, thereby re-opening sites for more materials to stick.

The aeration basin is followed by a secondary clarifier (settling tank), where the flocs of microorganisms with their adsorbed organic materials settle out. A portion of the settled microorganisms, referred to as sludge, are recycled to the aeration basin to maintain an active population of microorganisms and an adequate supply of biological solids for the adsorption of organic materials. Excess sludge is wasted by being piped to separate sludge-handling processes. The liquids from the clarifier are transported to facilities for disinfection and final discharge to receiving waters, or to tertiary treatment units for further treatment.

Activated sludge processes are designed based on the mixed liquor suspended solids (MLSS) and the organic loading of the wastewater, as represented by the biochemical oxygen demand (BOD) or chemical oxygen demand (COD). The MLSS represents the quantity of microorganisms involved in the treatment of the organic materials in the aeration basin, while the organic loading determines the requirements for the design of the aeration system.

Modifications to the conventional activated sludge process include:

- *Extended aeration.* The mixed liquor is retained in the aeration basin until the production rate of new cells is the same as the decay rate of existing cells, with no excess sludge production. In practice, excess sludge is produced, but the quantity is less than that of other activated sludge processes. This process is often used for the treatment of industrial wastewater that contains complex organic materials requiring long detention times for degradation.
- *Contact stabilization.* This process is based on the premise that as wastewater enters the aeration basin (referred to as the contact basin), colloidal and insoluble organic biodegradable materials are removed rapidly by biological sorption, synthesis, and flocculation during a relatively short contact time. This method uses a reaeration (stabilization) basin before the settled sludge from the clarifier is returned to the contact basin. The concentrated flocculated and adsorbed organic materials are oxidized in the reaeration basin, which does not receive any addition of raw wastewater.
- *Plug flow.* Wastewater is routed through a series of channels constructed in the aeration basin; wastewater flows through and is treated as a plug as it winds its way through the basin. As the “plug” passes through the tank, the concentrations of organic materials are gradually reduced, with a corresponding decrease in oxygen requirements and microorganism numbers.
- *Step aeration.* Influent wastewater enters the aeration basin along the length of the basin, while the return sludge enters at the head of the basin. This process results in a more uniform oxygen demand in the basin and a more stable environment for the microorganisms; it also results in a lower solids loading on the clarifier for a given mass of microorganisms.
- *Oxidation ditch.* A circular aeration basin (racetrack-shaped) is used, with rotary brush aerators that extend across the width of the ditch. Brush aerators aerate the wastewater, keep the microorganisms in suspension, and drive the wastewater around the circular channel.

## Resources

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Judith Sims

## Acute effects

Acute effects are effects that manifest quickly, often dramatically. For example, an acute infection is one of rapid onset and of short duration, which either resolves or becomes chronic (long-term).

Environmental stresses and changes may be characterized as acute (short-term) or chronic (long-term). For example, global climate change imposes both acute and chronic stress on ecosystems.

With regard to toxicity, acute toxicity usually refers to the impact of a short-term exposure to a toxic chemical.

## Adams, Ansel

1902–1984

American photographer and conservationist

Ansel Adams is best known for his stark black-and-white photographs of nature and the American landscape. He was born and raised in San Francisco, California. Schooled at home by his parents, he received little formal training except as a pianist. A trip to Yosemite Valley as a teenager had a profound influence on him, and Yosemite National Park and the Sierra "range of light" attracted him back many times and inspired two great careers: photographer and conservationist. As he observed, "Everybody needs something to believe in [and] my point of focus is conservation." He used his photographs to make that point more vivid and turned it into an enduring legacy.

Adams was a painstaking artist, and some critics have chided him for an overemphasis on technique and for creating in his work "a mood that is relentlessly

optimistic." Adams *was* a careful technician, making all of his own prints (reportedly hand-producing over 13,000 in his lifetime), sometimes spending a whole day on one print. He explained, "I have made thousands of photographs of the natural scene, but only those images that were most intensely felt at the moment of exposure have survived the inevitable winnowing of time."

He did winnow, ruthlessly, and the result was a collection of work that introduced millions of people to the majesty and diversity of the American landscape. Not all of Adams's pictures were uplifting or optimistic images of scenic wonders; he also documented scenes of overgrazing in the arid Southwest and of incarcerated Japanese Americans in the Manzanar internment camp.

From the beginning, Adams used his photographs in the cause of conservation. His pictures played a major role in the late 1930s in establishing Kings Canyon National Park. Throughout his life, he remained an active, involved conservationist; for many years he was on the board of the Sierra Club and strongly influenced the club's activities and philosophy.

Ansel Adams's greatest bequest to the world will remain his photographs and advocacy of wilderness and the national park ideals. Through his work he not only generated interest in environmental conservation, he also captured the beauty and majesty of nature for all generations to enjoy.

On August 20, 2007, Adams was posthumously named for induction to the California Hall of Fame.

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Gerald L. Young

## Adaptation

From the Latin *ad* ("toward") plus *aptus* ("fit for some role"), adaptation refers to any structural, physiological, or behavioral trait that aids an organism's survival and ability to reproduce in its existing environment.

For example, all members of a population share many characteristics in common, such as, all finches in a particular forest being alike in many ways. But if many hard-to-shell seeds are found in the forest, those finches with stronger, more conical bills will have better rates of reproduction and survival than finches with thin bills. Therefore, a conical, stout bill can be considered an adaptation to that forest environment.

Successful genetically-based adaptations are more likely to be passed from generation to generation through the survival of better-adapted organisms.

## Adaptive management

Adaptive management is taking an idea, implementing it, and then documenting and learning from any mistakes or benefits of the experiment. The concept can apply in business, but is also relevant and important in environmental science.

The basic idea behind adaptive management is that, for several reasons, natural systems are not predictable. Management policies and procedures must therefore become more adaptive and capable of change to cope with unpredictable systems. Put another way, adaptive management allows decisions to be made when confronted with uncertainty.

Adaptive management was developed in the late 1970s and mid-1980s. Advocates suggest treating management policies as experiments, which are then designed to maximize learning, rather than focusing on immediate resource yields. If the environmental and resource systems on which human beings depend are constantly changing, then societies who utilize that learning cannot rely on those systems to sustain continued use. Adaptive management mandates a continual experimental process, an ongoing process of reevaluation and reassessment of planning methods and human actions, and a constant long-term monitoring of environmental impacts and change. This would keep up with the constant change in the environmental systems to which the policies or ideas are to be applied.

The Grand Canyon Protection Act of 1992 is one example of adaptive management at work. It entailed the study and monitoring of the Glen Canyon Dam and the operational effects on the surrounding environment, both ecological and biological.

A more recent example occurred in 2007. Then, a nonprofit organization called Foundations of Success in concert with the Ocean Conservancy applied

adaptive management principles to encourage the end of overfishing. Another example is the 2009 publication of the Louisiana Coastal Protection and Restoration Technical Report by the United States Army Corps of Engineers. The report outlines a strategy to deal with the declining wetlands, marshes, and beaches along Louisiana's coast.

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Gerald L. Young

## Adirondack Mountains

The Adirondacks are a range of mountains in northeastern New York, containing Mt. Marcy (5,344 ft; 1,644 m), the state's highest point. Bounded by the Mohawk Valley on the south, the St. Lawrence Valley on the northeast, and by the Hudson River and Lake Champlain on the east, the Adirondack Mountains form the core of Adirondack Park. This park is one of the earliest and most comprehensive examples of regional planning in the United States. The regional plan attempts to balance conflicting interests of many users at the same time as it controls environmentally destructive development. Although the plan remains controversial, it has succeeded in largely preserving one of the last and greatest wilderness areas in the East.

The Adirondacks serve a number of important purposes for surrounding populations. Vacationers, hikers, canoeists, and anglers use the area's 2,300 wilderness lakes and extensive river systems. The state's greatest remaining forests stand in the Adirondacks, providing animal habitat and serving recreational visitors. Timber and mining companies, employing much of the area's resident population, also rely on the forests, some of which contain the East's most ancient old-growth groves. Containing the headwaters of numerous rivers, including the Hudson, Adirondack Park is an essential source of clean water for farms and cities at lower elevations.



View of the Adirondack Mountains in upstate New York during autumn. (Roy Whitehead / Photo Researchers, Inc.)

Adirondack Park was established by the New York State Constitution of 1892, which mandates that the region shall remain “forever wild.” Encompassing six million acres (2.4 million ha), this park is the largest wilderness area in the eastern United States—nearly three times the size of Yellowstone National Park. Only one-third of the land within park boundaries, however, is owned by the state of New York. Private mining and timber concerns, public agencies, several towns, thousands of private cabins, and 107 units of local government occupy the remaining property.

Because the development interests of various user groups and visitors conflict with the state constitution, a comprehensive regional land use plan was developed in 1972 and 1973. The novelty of the plan lay in the large area it covered and in its jurisdiction over land uses on private land as well as public land. According to the regional plan, all major development within park boundaries must meet an extensive set of environmental safeguards drawn up by the state’s Adirondack Park Agency. Stringent rules and extensive regulations frustrate local residents and commercial interests, who complain about the plan’s complexity and resent

“outsiders” ruling on what Adirondackers are allowed to do. Nevertheless, this plan has been a milestone for other regions trying to balance the interests of multiple users. By controlling extensive development, the park agency has preserved a wilderness resource that has become extremely rare in the eastern United States. The survival of this century-old park, surrounded by extensive development, demonstrates the value of preserving wilderness in spite of ongoing controversy.

In recent decades forestry and recreation interests in the Adirondacks have encountered a new environmental problem in acid precipitation. Evidence of deleterious effects of acid rain and snow on aquatic and terrestrial vegetation began to accumulate in the early 1970s. Studies revealed that about one-half of the Adirondack lakes situated above 3,300 feet (1,000 m) have pH levels so low that all fish have disappeared. Prevailing winds put these mountains directly downstream of urban and industrial regions of western New York and southern Ontario. Because they form an elevated obstacle to weather patterns, these mountains capture a great deal of precipitation carrying acidic sulfur and nitrogen oxides from upwind industrial cities. Clean air

legislation passed in 1990 has had only a limited impact on the Adirondack lakes. According to a 2009 survey carried out by the Adirondack Lakes Survey Corporation some local pollutant loads have improved but others are getting worse.

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Mary Ann Cunningham

## Adsorption

The process where ions or molecules from solutions become bound to solid surfaces. Adsorption is commonly confused with absorption, which occurs when molecules diffuse into a liquid or solid. A widely-used adsorbent used for removal of undesirable particulates or chemicals is activated carbon or charcoal. Activated carbon, either granulated activated carbon (GAC) or powdered activated carbon (PAC), is used in water purification systems to extract chemicals or organic contaminants from the water through adsorption. Phosphorus (P) is removed from water flowing through soils by adsorption on soil particles. Some pesticides adsorb strongly on soil particles. Adsorption by suspended solids is also an important process in natural waters. Adsorption can also be used for removal of pollutants from air. Pollution-control systems use components referred to as scrubbers equipped with adsorbents to remove pollutants such as sulfur dioxide (SO<sub>2</sub>) in order to reduce harmful air-pollutant emissions.

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AEC see **Atomic Energy Commission**.

AEM see **Agricultural environmental management**.

## Aeration

With regard to plant growth, aeration refers to an exchange that takes place in soil or another medium allowing oxygen to enter and carbon dioxide to escape into the atmosphere. Crop growth is often reduced when aeration is poor. In geology, particularly with reference to groundwater, aeration is the portion of Earth's crust where the pores are only partially filled with water. In relation to water treatment, aeration is the process of exposing water to air in order to remove such undesirable substances in drinking water as iron and manganese.

## Aerobic

Aerobic refers to either an environment that contains molecular oxygen gas (O<sub>2</sub>); an organism or tissue that requires oxygen for its metabolism; or a chemical or biological process that requires oxygen. Aerobic organisms use molecular oxygen in respiration, releasing carbon dioxide (CO<sub>2</sub>) in return. These organisms include mammals, fish, birds, and green plants, as well as many of the lower life forms such as fungi, algae, and sundry bacteria and actinomycetes. Many, but not all, organic decomposition processes are aerobic; a lack of oxygen halts or greatly slows these processes.

## Aerobic sludge digestion

Wastewater treatment plants produce organic sludge as wastewater is treated; this sludge must be further treated before ultimate disposal. Sludges are generated from primary settling tanks, which are used to remove settleable, particulate solids, and from secondary clarifiers (settling basins), which are used to remove excess biomass production generated in secondary biological treatment units.

Disposal of sludges from wastewater treatment processes is a costly and difficult problem. The processes used in sludge disposal include: (1) reduction in sludge

volume, primarily by removal of water, which constitutes 97–98 percent of the sludge; (2) reduction of the volatile (organic) content of the sludge, which eliminates nuisance conditions by reducing putrescibility and reduces threats to human health by reducing levels of microorganisms; and (3) ultimate disposal of the residues.

Aerobic sludge digestion is one process that may be used to reduce both the organic content and the volume of the sludge. Under aerobic conditions, a large portion of the organic matter in sludge may be oxidized biologically by microorganisms to carbon dioxide and water. The process results in approximately 50 percent reduction in solids content. Aerobic sludge digestion facilities may be designed for batch or continuous flow operations. In batch operations, sludge is added to a reaction tank, while the contents are continuously aerated. Once the tank is filled, the sludges are aerated for two to three weeks, depending on the types of sludge. After aeration is discontinued, the solids and liquids are separated. Solids at concentrations of 2–45 percent are removed, and the clarified liquid supernatant is decanted and recycled to the wastewater treatment plant. In a continuous flow system, an aeration tank is utilized, followed by a settling tank.

Aerobic sludge digestion is usually used only for biological sludges from secondary treatment units, in the absence of sludges from primary treatment units. The most commonly used application is for the treatment of sludges wasted from extended aeration systems (which is a modification of the activated sludge system). Since there is no addition of an external food source, the microorganisms must utilize their own cell contents for metabolic purposes in a process called endogenous respiration. The remaining sludge is a mineralized sludge, with remaining organic materials comprised of cell walls and other cell fragments that are not readily biodegradable.

The advantages of using aerobic digestion, as compared to the use of anaerobic digestion, include (1) simplicity of operation and maintenance; (2) lower capital costs; (3) lower levels of biochemical oxygen demand (BOD) and phosphorus in the supernatant; (4) fewer effects from upsets such as the presence of toxic interferences or changes in loading and pH; (5) less odor; (6) nonexplosive; (7) greater reduction in grease and hexane solubles; (8) greater sludge fertilizer value; (9) shorter retention periods; and (10) an effective alternative for small wastewater treatment plants.

Disadvantages include: (1) higher operating costs, especially energy costs; (2) highly sensitive to ambient temperature (operation at temperatures below 59°F

[15°C] may require excessive retention times to achieve stabilization; if heating is required, aerobic digestion may not be cost-effective); (3) no useful by-product such as methane gas that is produced in anaerobic digestion; (4) variability in the ability to dewater to reduce sludge volume; (5) less reduction in volatile solids; and (6) unfavorable economics for larger wastewater treatment plants.

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Judith Sims

## Aerobic/anaerobic systems

Most living organisms require oxygen to function normally, but a few forms of life exist exclusively in the absence of oxygen and some can function both in the presence of oxygen (aerobically) and in its absence (anaerobically). Examples of anaerobic organisms are found in bacteria of the genus *Clostridium*, found in parasitic protozoans from the gastrointestinal tract of humans and other vertebrates, and in ciliates associated with sulfide-containing sediments. Organisms capable of switching between aerobic and anaerobic existence are found in forms of fungi known as yeasts. The ability of an organism to function both aerobically and anaerobically increases the variety of sites in which it is able to exist and conveys some advantages over organisms with less adaptive potential.

Microbial decay activity in nature can occur either aerobically or anaerobically. Aerobic decomposers of compost and other organic substrates are generally preferable because they act more quickly and release fewer noxious odors. Large sewage treatment plants use a two-stage digestion system in which the first stage is anaerobic digestion of sludge that produces flammable methane gas that may be used as fuel to help operate the plant. Sludge digestion continues in the aerobic second stage, a process which is easier to control but more costly because of the power needed to provide aeration. Although most fungi are

generally aerobic organisms, yeasts used in bread-making and in the production of fermented beverages such as wine and beer can metabolize anaerobically. In the process, they release ethyl alcohol and the carbon dioxide that causes bread to rise.

Tissues of higher organisms may have limited capability for anaerobic metabolism, but they need elaborate compensating mechanisms to survive even brief periods without oxygen. For example, human muscle tissue is able to metabolize anaerobically when blood cannot supply the large amounts of oxygen needed for vigorous activity. Muscle contraction requires an energy-rich compound called adenosine triphosphate (ATP). Muscle tissue normally contains enough ATP for twenty to thirty seconds of intense activity. ATP must then be metabolically regenerated from glycogen, the muscle's primary energy source. Muscle tissue has both aerobic and anaerobic metabolic systems for regenerating ATP from glycogen. Although the aerobic system is much more efficient, the anaerobic system is the major energy source for the first minute or two of exercise. The carbon dioxide released in this process causes the heart rate to increase. As the heart beats faster and more oxygen is delivered to the muscle tissue, the more efficient aerobic system for generating ATP takes over. A person's physical condition is important in determining how well the aerobic system is able to meet the needs of continued activity. In fit individuals who exercise regularly, heart function is optimized, and the heart is able to pump blood rapidly enough to maintain aerobic metabolism. If the oxygen level in muscle tissue drops, anaerobic metabolism will resume. Toxic products of anaerobic metabolism, including lactic acid, accumulate in the tissue, and muscle fatigue results.

Other interesting examples of limited anaerobic capability are found in the animal kingdom. Some diving ducks have an adaptation that allows them to draw oxygen from stored oxyhemoglobin and oxymyoglobin in blood and muscles. This adaptation permits them to remain submerged in water for extended periods. To prevent desiccation, mussels and clams close their shells when out of the water at low tide, and their metabolism shifts from aerobic to anaerobic. When once again in the water, the animals rapidly return to aerobic metabolism and purge themselves of the acid products of anaerobiosis accumulated while they were dry.

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Douglas C. Pratt

## Aerosol

An aerosol is a suspension of particles, liquid or solid, in a gas. The term implies a degree of permanence in the suspension, with an average particle size of about 0.00004 in (1 micrometer). Thus, in proper use the term connotes the ensemble of the particles and the suspending gas.

The atmospheric aerosol has two major components, generally referred to as coarse and fine particles, with different sources and different composition. Coarse particles result from mechanical processes, such as grinding. The smaller the particles are ground, the more surface they have per unit of mass. Creating new surface requires energy, so the smallest average size that can be created by such processes is limited by the available energy. It is rare for such mechanically-generated particles to be less than 0.00004 inches in diameter. Fine particles, by contrast, are formed by condensation from the vapor phase. For most substances, condensation is difficult from a uniform gaseous state; it requires the presence of pre-existing particles on which the vapors can deposit. Alternatively, very high concentrations of the vapor are required, compared with the concentration in equilibrium with the condensed material. These very small particles penetrate deep into the lung and can have serious health effects.

Hence, fine particles form readily in combustion processes when substances are vaporized. The gas is then quickly cooled. These can then serve as nuclei for the formation of larger particles, still in the fine particle size range, in the presence of condensable vapors. However, in the atmosphere such particles become rapidly more scarce with increasing size, and are relatively rare in sizes much larger than a few micrometers. At about 0.00008 inches (2 micrometers), coarse and fine particles are about equally abundant. In recent years there has been an interest in ultrafines, particles about 10–100 nanometers in diameter. These are produced by diesel engines, for example, but their implications for health are not well-understood.

Using the term strictly, one rarely samples the atmospheric aerosol, but rather the particles out of the aerosol. The presence of aerosols is generally detected by their effect on light. Aerosols of a uniform particle size in the vicinity of the wavelengths of visible light can produce rather spectacular optical effects. In the laboratory, such aerosols can be produced by condensation of the heated vapors of certain oils on nuclei made by evaporating salts from heated filaments. If the suspending gas is cooled quickly, particle size is governed by the supply of vapor compared with the supply of nuclei, and the time available for condensation to occur. Since these can all be made nearly constant throughout the gas, the resulting particles are quite uniform. It is also possible to produce uniform particles by spraying a dilute solution of a soluble material, then evaporating the solvent. If the spray head is vibrated in an appropriate frequency range, the drops will be uniform in size, with the size controlled by the frequency of vibration and the rate of flow of the spray. Obviously, the final particle size is also a function of the concentration of the sprayed solution.

One form of aerosol pollution is soot: tiny, dark particles consisting mostly of carbon that are released by burning many fuels. Some aerosols are light-colored and so tend to cool the earth by reflecting sunlight, thus reducing radiative forcing (energy imbalance between the earth and sunlight); but soot absorbs sunlight and so tends to warm the earth. In 2008, researchers studying soot released by cooking fires in South Asia and coal-burning in East Asia concluded that soot is a more important contributor to climate change than had been hitherto known, edging out methane as the second-largest greenhouse pollutant after carbon dioxide. The melting of glaciers in the Himalayan Mountains may have more to do with soot than carbon dioxide. Dimming of the skies by soot reduces evaporation and regional temperature differences over the Indian Ocean, causing less rain to fall during the monsoon season and so contributing to drought in Southeast Asia. The new estimate of the radiative forcing (energy imbalance between the earth and sunlight) caused by soot was about double that cited by the Intergovernmental Panel on Climate Change (IPCC) in its influential 2007 summary of the state of climate science. Replacing dung and wood as cooking fuels in South Asia with biogas, natural gas, and solar cookers could significantly reduce the problem. The aerosol haze produced by human activities in Asia may be affecting the climate in other regions. Research has shown that the presence of Asian aerosols alters temperature and pressure gradients over the Indian Ocean, which results in the direction of monsoon weather to Australia, thus increasing rainfall and cloud cover in that region. Studies in recent decades show that these climate changes caused by Asian aerosols may be

shifting climate patterns southward. More research is being carried out to uncover the affects of human-generated atmospheric aerosols on climate change.

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James P. Lodge Jr.

## Aflatoxin

Aflatoxin is a toxic compound produced by some fungi and among the most potent naturally occurring carcinogens for humans and animals. Aflatoxin intake is positively related to high incidence of liver cancer in humans in many developing countries. In many farm animals aflatoxin can cause acute or chronic diseases. Aflatoxin is a metabolic by-product produced by the fungi *Aspergillus flavus* and the closely-related species *Aspergillus parasiticus* growing on grains and decaying organic compounds. There are four naturally occurring aflatoxins: B<sub>1</sub>, B<sub>2</sub>, G<sub>1</sub>, and G<sub>2</sub>. All of these compounds will fluoresce under a UV (black) light around 425 to 450 nanometers providing a qualitative test for the presence of aflatoxins. In general, starch grains,

such as corn, are infected in storage when the moisture content of the grain reaches 17 to 18 percent and the temperature is 79° to 99°F (26° to 37°C). However, the fungus may also infect grain in the field under hot, dry conditions.

## African Wildlife Foundation

The African Wildlife Foundation (AWF), headquartered in Washington, DC, was established in 1961 to promote the protection of animals native to Africa. In addition to AWF's Washington, DC, headquarters, the organization maintains an office and conservation center in Nairobi, Kenya. AWF also has field offices in the following cities: Johannesburg, South Africa; Arusha, Tanzania; Kinshasa, Democratic Republic of Congo; and Livingstone, Zambia. The African offices promote the idea that Africans themselves are best able to protect the wildlife of their continent. AWF also established the Mweka College of Wildlife Management in Tanzania to provide professional training to rangers and park and reserve wardens. Conservation education, especially as it relates to African wildlife, has always been a major AWF goal—in fact, it has been the association's primary focus since its inception.

AWF carries out its mandate to protect Africa's wildlife through a wide range of projects and activities. Since 1961, AWF has provided a radio communication network in Africa, as well as several airplanes and jeeps for anti poaching patrols. These were instrumental in facilitating the work of Richard Leakey in the Tsavo National Park, Kenya. In 1999, the African Heartlands project was set up to try to connect large areas of wildland, which is home to wild animals. The project also attempts to involve people who live adjacent to protected wildlife areas by asking them to take joint-responsibility for natural resources. The program demonstrates that land conservation and the needs of neighboring people and their livestock can be balanced, and the benefits shared.

Another highly successful AWF program is the Elephant Awareness Campaign. Its slogan, "only elephants should wear ivory," has become extremely popular, both in Africa and the United States, and is largely responsible for bringing the plight of the African elephant (*Loxodonta africana*) to public awareness.

Although AWF is concerned with all the wildlife of Africa, in recent years the group has focused on

saving African elephants, black rhinoceroses (*Diceros bicornis*), and mountain gorillas (*Gorilla gorilla berengeti*). These species are seriously endangered and are benefiting from AWF's work to aid these and other animals in critical danger.

From its inception, AWF has supported education centers, wildlife clubs, national parks, and reserves. AWF also involves teachers and community leaders in its endeavors with a series of publications and classes. AWF publications, written in Swahili, have been used in both elementary schools and adult literacy classes in African villages.

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- African Wildlife Foundation., 1400 16th Street, NW, Suite 120, Washington, DC, USA, 20036, (202) 939-3333, (202) 939-3332, africanwildlife@awf.org, <http://www.awf.org>

Cathy M. Falk

## Africanized bees

The Africanized bee (*Apis mellifera scutellata*), or killer bee, is an extremely aggressive honeybee. This bee evolved when African honeybees were brought to Brazil to mate with other bees to increase honey production. The imported bees were accidentally released and they have since spread northward, traveling at a rate of 300 miles (483 km) per year. The bees first appeared in the United States at the Texas-Mexico border in late 1990, but have now spread across the southern United States.

The bees get their killer title because of their vigorous defense of colonies or hives when disturbed. Aside from temperament, they are much like their counterparts now in the United States, which are European in lineage. Africanized bees are slightly smaller than their more passive cousins. Although Africanized honeybees



**An Africanized bee collecting grass pollen in Brazil.**  
(Photograph by Scott Camazine. Photo Researchers Inc.)

are more adapted to warmer climates and are intolerant of harsh winters, global warming and climate change may contribute to their invasive tendencies and promote their colonization of the northern United States, thus displacing the dwindling number of domestic honeybee colonies.

Honeybees are social insects and live and work together in colonies. When bees fly from plant to plant, they help pollinate flowers and crops. Africanized bees, however, seem to be more interested in reproducing than in honey production or pollination. For this reason they are constantly swarming and moving around, while domestic bees tend to stay in local, managed colonies. Swarming is defined as a group of bees that separate from their present colony and move to a new location or hive. European or domestic honeybees swarm about once a year, while Africanized bees may swarm every six weeks. Africanized bees are also not as particular as domestic honeybees about choosing a new location. Thus, finding a new home is easier for Africanized bees. Because Africanized bees are also much more aggressive than domestic honeybees when their colonies are disturbed, they can be harmful to people who are allergic to bee stings.

More problematic than the threat to humans, however, is the impact the bees will have on fruit and vegetable industries in the southern parts of the United States. Many fruit and vegetable growers depend on honeybees for pollination, and in places where the Africanized bees have appeared, honey production has fallen by as much as 80 percent. Beekeepers in this country are experimenting with re-queening

their colonies (removing and replacing Africanized queens with European queens) regularly to ensure that the colonies reproduce gentle offspring.

Another danger is the propensity of the Africanized bee to mate with honeybees of European lineage, a kind of infiltration of the gene pool of more domestic bees. Researchers from the U.S. Department of Agriculture (USDA) are watching for the results of this interbreeding, particularly for those bees that display European-style physiques and African behaviors, or vice versa.

When Africanized bees first appeared in southern Texas, researchers from the USDA's Honeybee Research Laboratory in Weslaco, Texas, destroyed the colony, estimated at 5,000 bees. Some of the members of the 3-pound (1.4-kg) colony were preserved in alcohol and others in freezers for future analysis. Researchers are also developing management techniques, including the annual introduction of young mated European queens into domestic hives, in an attempt to maintain gentle production stock and ensure honey production and pollination. Other methods of generating more gentle colonies include injecting Africanized queens with European honeybee sperm and re-queening highly aggressive colonies.

Africanized bees are now found in much of the South according to the USDA's Agricultural Research Service. The latest state to be affected is Georgia, where a swarm of the bees killed a man in October 2010. There have also been reported colonies in Puerto Rico and the Virgin Islands. Southern Nevada bees were almost 90 percent Africanized in June of 2001. Most of Texas has been labeled as a quarantine zone, and beekeepers are not able to move hives out of these boundaries. The largest colony found to date was in southern Phoenix, Arizona. The hive was almost 6 feet (1.8 m) long and held about 50,000 Africanized bees.

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Linda Rehkopf

## Agency for Toxic Substances and Disease Registry

The Agency for Toxic Substances and Disease Registry (ATSDR) studies the health effects of hazardous substances in general and at specific locations. As indicated by its title, the agency maintains a registry of people exposed to toxic chemicals. Along with the Environmental Protection Agency (EPA), ATSDR prepares and updates profiles of toxic substances. In addition, ATSDR assesses the potential dangers posed to human health by exposure to hazardous substances at Superfund sites. The agency will also perform health assessments when petitioned by a community. Though ATSDR's early health assessments have been criticized, the agency's later assessments and other products are considered more useful.

ATSDR was created in 1980 by the Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), also known as the Superfund, as part of the U.S. Department of Health and Human Services. As originally conceived, ATSDR's role was limited to performing health studies and examining the relationship between toxic substances and disease. The Superfund Amendments and Reauthorization Act (SARA) of 1986 codified ATSDR's responsibility for assessing health threats at Superfund sites. ATSDR, along with the national Centers for Disease Control and state health departments, conducts health surveys in communities near locations that have been placed on the Superfund's National Priorities List for cleanup.

ATSDR's first assessments were harshly criticized. The General Accounting Office (GAO), a congressional agency that reviews the actions of the federal administration, charged that most of these assessments were inadequate. Some argued that the agency was underfunded and poorly organized. ATSDR only receives about 5 percent of the money appropriated for the Superfund project.

Subsequent health assessments have generally been more complete, but they still may not be adequate in informing the community and the EPA of the dangers at specific sites. In general, ATSDR identifies a local agency to help prepare the health surveys. Unlike many of the first assessments, more recent surveys now include site visits and face-to-face interviews. However, other data on environmental effects are limited. ATSDR only considers environmental information provided by the companies that created the hazard or data collected by the EPA. In addition, ATSDR only assesses health risks from illegal emissions, not

from "permitted" emissions. Some scientists contend that not enough is known about the health effects of exposure to hazardous substances to make conclusive health assessments.

Reaction to the performance of ATSDR's other functions has been generally more positive. As mandated by SARA, ATSDR, and the EPA have prepared hundreds of toxicological profiles of hazardous substances. These profiles have been judged generally helpful, and the GAO praised ATSDR's registry of people who have been exposed to toxic substances.

ATSDR still receives criticism from politicians and environmental groups, however, for underreporting the impact of toxic substances on human health. In 2008 and 2009, the U.S. House of Representatives Science Committee held hearings on ATSDR's issuance of a flawed health consultation on the impact of formaldehyde in trailers used by the Federal Emergency Management Agency (FEMA) to house evacuees of hurricanes Katrina and Rita in 2005.

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Alair MacLean

## Agent Orange

Agent Orange is a herbicide recognized for its use during the Vietnam War. It is composed of equal parts of two chemicals: 2,4-D and 2,4,5-T. A less potent form of the herbicide has also been used for clearing heavy growth on a commercial basis for a number of years. However, it does not contain 2,4-D. On a commercial level, the herbicide was used in forestry control as early as the 1930s. In the 1950s through the 1960s, Agent Orange was also exported. For example, New Brunswick, Canada, was the scene of major Agent Orange spraying to control forests for industrial development.



**Air force aircraft spray Agent Orange in Vietnam.** (© Archive Image / Alamy)

In Malaysia in the 1950s, the British used compounds with the chemical mixture 2,4,5-T to clear communication routes.

In the United States, herbicides were considered for military use toward the end of World War II, during the action in the Pacific. However, the first American military field tests were actually conducted in Puerto Rico, Texas, and Fort Drum, New York, in 1959.

That same year—1959—the Crops Division at Fort Detrick, Maryland, initiated the first large-scale military defoliation effort. The project involved the aerial application of Agent Orange to about 4 square miles (10.4 km<sup>2</sup>) of vegetation. The experiment proved highly successful; the military had found an effective tool. By 1960, the South Vietnamese government, aware of these early experiments, had requested that the United States conduct trials of these herbicides for use against guerrilla forces. Spraying of Agent Orange in Southeast Asia began in 1961. South Vietnam

President Ngo Dinh Diem stated that he wanted this powder in order to destroy the rice and the food crops that would be used by the Vietcong. Thus began the use of herbicides as a weapon of war.

The United States military became involved, recognizing the limitations of fighting in foreign territory with troops who were not accustomed to jungle conditions. The military wanted to clear communication lines and open up areas of visibility in order to enhance their opportunities for success. Eventually, the United States military took complete control of the spray missions. Initially, there were to be restrictions: the spraying was to be limited to clearing power lines and roadsides, railroads and other lines of communications, and areas adjacent to depots. Eventually, the spraying was used to defoliate the thick jungle brush, thereby obliterating enemy hiding places.

Once under the authority of the military, and with no checks or restraints, the spraying continued to

increase in intensity and abandon, escalating in scope because of military pressure. It was eventually used to destroy crops, mainly rice, in an effort to deprive the enemy of food. Unfortunately, the civilian population—Vietnamese men, women, and children—were also affected. The United States military sprayed 3.6 million acres (1.5 million ha) with 19 million gallons (720 million l) of Agent Orange over nine years.

The spraying also became useful in clearing military base perimeters, cache sites, and waterways. Base perimeters were often sprayed more than once. In the case of dense jungle growth, one application of spray was made for the upper and another for the lower layers of vegetation. Inland forests, mangrove forests, and cultivated lands were all targets. Vietcong tunnel openings, caves, and above-ground shelters were revealed to the aircraft after the herbicide was sprayed. Through Project Ranch Hand—the Air Force team assigned to the spray missions—Agent Orange became the most widely produced and dispensed defoliant in Vietnam.

Military requirements for herbicide use were developed by the Army's Chemical Operations Division, J-3, Military Assistance Command, Vietnam, (MACV). With Project Ranch Hand under way, the spray missions increased monthly after 1962. This increase was made possible by the continued military promises to stay away from the civilians or to resettle those civilians and resupply the food in any areas where herbicides destroyed the food of the innocent. These promises were never kept. The use of herbicides for crop destruction peaked in 1965 when 45 percent of the total spraying was designed to destroy crops.

Initially, the aerial spraying took place near Saigon. Eventually the geographical base was widened. During the 1967 expansion period of herbicide procurement, when requirements had become greater than the industries' ability to produce, the Air Force and Joint Chiefs of Staff became actively involved in the herbicide program. All production for commercial use was diverted to the military, and the Department of Defense (DOD) was appointed to deal with problems of procurement and production. Commercial producers were encouraged to expand their facilities and build new plants, and the DOD made attractive offers to companies that might be induced to otherwise manufacture herbicides. A number of companies were awarded contracts. Working closely with the military, certain chemical companies sent technical advisers to Vietnam to instruct personnel on the methods and techniques necessary for effective use of the herbicides.

During the peak of the spraying, approximately 129 sorties were flown per aircraft. Twenty-four

UC-123B aircraft were used, averaging thirty-nine sorties per day. In addition, there were trucks and helicopters that went on spraying missions, backed up by such countries as Australia. C-123 cargo planes and helicopters were also used. Helicopters flew without cargo doors so that frequent ground fire could be returned. But the rotary blades would kick up gusts of spray, thereby delivering a powerful dose onto the faces and bodies of the men inside the plane.

The dense Vietnamese jungle growth required two applications to defoliate both upper and lower layers of vegetation. On the ground, both enemy troops and Vietnamese civilians came in contact with the defoliant. American troops were also exposed. They could inhale the fine mist spray or be splashed in the sudden and unexpected deluge of an emergency dumping. Readily absorbing the chemicals through their skin and lungs, hundreds of thousands of United States military troops were exposed as they lived on the sprayed bases, slept near empty drums, and drank and washed in water in areas where defoliation had occurred. They ate food that had been brushed with spray. Empty herbicide drums were indiscriminately used and improperly stored. Volatile fumes from these drums caused damage to shade trees and to anyone near the fumes. Those handling the herbicides in support of a particular project goal had the unfortunate opportunity of becoming directly exposed on a consistent basis. Nearly three million veterans served in Southeast Asia. There is growing speculation that nearly everyone who was in Vietnam was eventually exposed to some degree—far less a possibility for those stationed in urban centers or on the waters.

According to official sources, in addition to the Ranch Hand group, at least three groups were exposed:

- A group considered secondary support personnel. This included Army pilots who may have been involved in helicopter spraying, along with the Navy and Marine pilots.
- Those who transported the herbicide to Saigon, and from there to Bien Hoa and Da Nang. Such personnel transported the herbicide in the omnipresent 55-gallon (208-l) containers.
- Specialized mechanics, electricians, and technical personnel assigned to work on various aircraft. Many of this group were not specifically assigned to Ranch Hand, but had to work in aircraft that were repeatedly contaminated.

Agent Orange was used in Vietnam in undiluted form at the rate of 3 to 4 gallons (11.4–15.2 l) per acre. Thirteen and four-fifths pounds (6.27 kg) of the chemical 2,4,5-T were added to 12 pounds (5.5 kg) of 2,4-D per

acre, a nearly fifty-fifty ratio. This intensity is 13.3 pounds (6.06 kg) per acre more than was recommended by the military's own manual. Computer tapes now available show that some areas were sprayed up to twenty-five times in just a few short months, thereby dramatically increasing the exposure to anyone within those sprayed areas. Between 1962 and 1971 an estimated 11.2 million gallons (42.4 million l) of Agent Orange were dumped over South Vietnam, shipped in drums identified by an orange stripe and a contract identification number that enabled the government to identify the specific manufacturer. The drums were sent to a number of central transportation points for shipment to Vietnam.

Evaluations show that the chemical had killed and defoliated 90–95 percent of the treated vegetation. Thirty-six percent of all mangrove forest areas in South Vietnam were destroyed.

Agent Orange is contaminated by the chemical dioxin, specifically tetrachlorodibenzo-p-dioxin (TCDD). In Vietnam, the dioxin concentration in Agent Orange varied from parts per billion (ppb) to parts per million (ppm), depending on each manufacturer's production methods. The highest reported concentration in Agent Orange was 45 ppm. The U.S. Environmental Protection Agency (EPA) evacuated Times Beach, Missouri, when tests revealed soil samples there with 2 ppb of dioxin. The EPA has stated that 1 ppb is dangerous to humans.

Ten years after the spraying ended, the agricultural areas remained barren. Damaging amounts of dioxin stayed in the soil, thus infecting the food chain and exposing the Vietnamese people. As a result there is some concern that the high levels of TCDD is responsible for infant mortality, birth defects, and spontaneous abortions that occur in higher numbers in the once-sprayed areas of Vietnam. Another report indicates that thirty years after Agent Orange contaminated the area, there is 100 times as much dioxin found in the bloodstream of people living in the area than those living in noncontaminated areas of Vietnam. This is a result of the dioxin found in the soil of the once heavily-sprayed land. The chemical is then passed on to humans through the food they eat. Consequently, dioxin is also spread to infants through the mother's breast milk, which will undoubtedly affect the child's development.

In 1991 the U.S. Congress passed the Agent Orange Act, which funded the extensive scientific study of the long-term health effects of Agent Orange and other herbicides used in Vietnam. As of early 2002, Agent Orange had been linked to the development of peripheral neuropathy, type 2 diabetes, prostate cancer,

multiple myeloma, lymphomas, soft tissue sarcomas, and respiratory cancers. Researchers have also found a possible correlation between dioxin and the development of spinal bifida, a birth defect, and childhood leukemia in offspring of exposed veterans. It is important to acknowledge the statistics do not necessarily show a strong link between exposure to Agent Orange or TCDD and some of the conditions listed above. However, Vietnam veterans who were honorably discharged and have any of these presumptive conditions (i.e., conditions presumed caused by wartime exposure) are entitled to Veterans Administration (VA) health care benefits and disability compensation under federal law. Unfortunately many Vietnamese civilians will not receive any benefits despite the evidence that they continue to suffer from the effects of Agent Orange. In 2002, the United States and Vietnam collaborated in a conference on the effects of Agent Orange on human health and the environment. In 2005, the EPA began to work with the Vietnamese government to measure levels of dioxin in Vietnam. As a result, a law was passed in 2007 to contribute funds to environmental dioxin remediation for the Da Nang U.S. military base in Vietnam and to develop health programs and medical care for the people in areas surrounding these bases. In a collaborative effort, the EPA and the Vietnamese Academy of Science and Technology and the Ministry of National Defense are conducting laboratory research to analyze levels of dioxin and exploring methods of remediation. In addition, development of support programs by the Ford Foundation, the United Nation's Children's Fund (UNICEF), and the United Nations Development Programme (UNDP) have been implemented for dioxin cleanup and health care.

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## Agglomeration

Agglomeration refers to a process by which a group of individual particles is clumped together into a single mass. The term has a number of specialized uses. Some types of rocks are formed by the agglomeration of particles of sand, clay, or some other material. In geology, an agglomerate is a rock composed of volcanic fragments. One technique for dealing with air pollution is ultrasonic agglomeration (U.S. agglomeration), also referred to as ultrasound-assisted agglomeration. A source of very high frequency sound is attached to a smokestack, and the ultrasound produced by this source causes tiny particulate matter in waste gases to agglomerate into particles large enough to be collected. U.S. agglomeration has been employed for environmental remediation to collect by-products of coal combustion, such as fly ash, and to remove particles from diesel exhaust. Agglomeration with petroleum coke, a solid formed during oil refinement, as an adsorbent has also been used for soil remediation for cleanup of soil contaminated with oil. This is also a technical term in the European Union to define an air quality management area.

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## Agricultural chemicals

The term agricultural chemical refers to any substance involved in the growth or utilization of any plant or animal of economic importance to humans. An agricultural chemical may be a natural product, such as urea, or a synthetic chemical, such as dichlorodiphenyltrichloroethane (DDT). The agricultural chemicals used in more recent decades include fertilizers, pesticides, growth regulators, animal feed supplements, and raw materials for use in chemical processes.

In the broadest sense, agricultural chemicals can be divided into two large categories: those that promote the growth of a plant or animal and those that protect plants or animals. Plant fertilizers and animal food supplements constitute the first group, while pesticides, herbicides, animal vaccines, and antibiotics belong to the second group.

In order to remain healthy and grow normally, crops require a number of nutrients, some in relatively large quantities termed “macronutrients,” and others in relatively small quantities termed “micronutrients.” Nitrogen (N), phosphorus (P), and potassium (K) are considered macronutrients, and boron (B), calcium (Ca), chlorine (Cl), copper (Cu), iron (Fe), magnesium (Mg), and manganese (Mn), among others, are micronutrients.

Farmers have long-understood the importance of replenishing the soil, and they have traditionally done so by natural means, using such materials as manure, dead fish, or compost. Synthetic fertilizers were first available in the early twentieth century, but they became widely used only after World War II (i.e., 1945). By 1990, farmers in the United States were using about 20 million tons (18.1 million metric tons)



**Agricultural chemical treatments in spring vineyard, Oltrepo Pavese, Italy.** ([scattoselvaggio/Shutterstock.com](http://scattoselvaggio/Shutterstock.com).)

of these fertilizers a year. The average fertilizer use from 2005 to 2006 for all crops was 21.7 million tons (19.7 million metric tons) per year. Total use of the three major commercial fertilizers has increased 194 percent from 1960 to 2006 based on fertilizer sales.

Synthetic fertilizers are designed to provide either a single nutrient or some combination of nutrients. Examples of single-component or straight fertilizers are urea ( $\text{NH}_2\text{CONH}_2$ ), which supplies nitrogen, or potassium chloride (KCl), which supplies potassium. The composition of mixed fertilizers, those containing more than one nutrient, is indicated by the analysis printed on their container. An 8–10–12 fertilizer, for example, contains 8 percent nitrogen by weight, 10 percent phosphorus, and 12 percent potassium.

Synthetic fertilizers can be designed to release nutrients almost immediately (quick-acting) or over longer periods of time (time-released). They may also contain specific amounts of one or more trace nutrients needed for particular types of crops or soil. Controlling micronutrients is one of the most important problems in fertilizer compounding and use; the presence of low concentrations of some elements can be critical to a plant's health, whereas higher levels can be toxic to the same plants or to animals that might ingest the micronutrient.

Plant growth patterns can also be influenced by direct application of certain chemicals. For example, the gibberellins are a class of compounds that can dramatically affect the rate at which plants grow and fruits and vegetables ripen. They have been used for a variety of purposes ranging from the hastening of root development to the delay of fruit ripening. Delaying ripening is most important for marketing agricultural products because it extends the time a crop can be transported and stored on grocery shelves. Other kinds of chemicals used in the processing, transporting, and storage of fruits and vegetables include those that slow down or speed up ripening (e.g., maleic hydrazide,  $\text{C}_4\text{H}_4\text{N}_2\text{O}_2$ ; ethylene oxide,  $\text{C}_2\text{H}_4\text{O}$ ; potassium permanganate,  $\text{KMnO}_4$ ; ethylene,  $\text{C}_2\text{H}_4$ ; and acetylene,  $\text{HC}_2\text{H}$ ), that reduce weight loss (e.g., chlorophenoxyacetic acid,  $\text{C}_9\text{H}_9\text{ClO}_3$ ), retain green color (e.g., cycloheximide,  $\text{C}_{15}\text{H}_{23}\text{NO}_4$ ), and control firmness (e.g., ethylene oxide).

The term “agricultural chemical” is most likely to bring to mind the range of chemicals used to protect plants against competing organisms: pesticides and herbicides. These chemicals disable or kill bacteria, fungi, rodents, worms, snails and slugs, insects, mites, algae, termites, or any other species of plant or animal that feeds upon, competes with, or otherwise interferes with the growth of crops. Such chemicals are named according

to the organism against which they are designed to act. Some examples are fungicides (designed to kill fungi), insecticides (used against insects), nematicides (to kill round worms), avicides (to control birds), and herbicides (to combat plants).

The introduction of synthetic pesticides in the years following World War II, which ended in 1945, produced spectacular benefits for farmers. More than fifty major new products appeared between 1947 and 1967, resulting in yield increases in the United States ranging from 400 percent for corn to 150 percent for sorghum and 100 percent for wheat and soybeans. Similar increases in less developed countries, resulting from the use of both synthetic fertilizers and pesticides, eventually became known as the Green Revolution.

By the 1970s, however, the environmental consequences of using synthetic pesticides became apparent. Chemicals were becoming less effective as pests developed resistances to them, and their toxic effects on other organisms had grown more apparent. Farmers were also discovering drawbacks to chemical fertilizers as they found that they had to use larger and larger quantities each year in order to maintain crop yields, resulting in increased material and labor costs. One solution to the environmental hazards posed by synthetic pesticides is the use of natural chemicals such as juvenile hormones, sex attractants, and anti-feedant compounds. The development of such natural pest-control materials has, however, been relatively modest; the vast majority of agricultural companies and individual farmers continue to use synthetic chemicals that have served them so well for over a half century.

Chemicals are also used to maintain and protect livestock. At one time, farm animals were fed almost exclusively on readily available natural foods. They grazed on rangelands or were fed hay or other grasses. In recent decades, carefully blended chemical supplements are commonly added to the diet of most farm animals. These supplements have been determined on the basis of extensive studies of the nutrients that contribute to the growth or milk production of cows, sheep, goats, and other types of livestock. A typical animal supplement diet consists of various vitamins, minerals, amino acids, and nonprotein (simple) nitrogen compounds. The precise formulation depends primarily on the species; a vitamin supplement for cattle, for example, tends to include A, D, and E, while swine and poultry diets would also contain Vitamin K, riboflavin ( $\text{B}_2$ ), niacin ( $\text{B}_3$ ), pantothenic acid ( $\text{B}_5$ ), and choline ( $\text{C}_5\text{H}_{14}\text{NO}^+$ ).

A number of chemicals added to animal feed serve no nutritional purpose but provide other benefits. For

example, the addition of certain hormones to the feed of dairy cows can significantly increase their output of milk. Genetic engineering is also becoming increasingly important in the modification of crops and livestock. Cows injected with a genetically modified chemical, bovine somatotropin, produce a significantly larger quantity of milk.

It is estimated that infectious diseases cause the death of 15-20 percent of all farm animals each year. Just as plants are protected from pests by pesticides, livestock are protected from disease-causing organisms by immunization, antibiotics, and other techniques. Animals are vaccinated against species-specific diseases, and farmers administer antibiotics, sulfonamides, nitrofurans, arsenicals, and other chemicals that protect against disease-causing organisms. Some additives are simply adulterants. In 2008, the surplus of melamine ( $C_3H_6N_6$ ) produced in China led it to be added to cattle feed, to boost the apparent protein content. As a result of melamine-contaminated milk ingestion, children died and hundreds of thousands of people became sick. The ensuing scandal led to several death sentences from the Chinese courts.

The use of chemicals with livestock can have deleterious effects, just as crop chemicals have. In the 1960s, for example, the hormone diethylstilbestrol (DES) was widely used to stimulate the growth of cattle, but scientists found that detectable residues of the hormone remained in meat sold from the slaughtered animals. DES is now considered a carcinogen, and the U.S. Food and Drug Administration banned its use in cattle feed as of 1979.

Because of increased health and environmental risks presented by agricultural chemicals, crop production utilizing either integrated pest management (IPM) or organic methods is being increasingly implemented. IPM practices focus on using biological controls to minimize the use of chemicals. Biological controls consist of crop rotation, use of cover crops, pest predator introduction, use of pest-resistant varieties, and tillage or cultivation to prevent weed growth. Timing of planting and chemical application, if any, is based on monitoring pest numbers and pest mating cycles. No synthetic pesticides are used for organic methods of crop production. Biological controls and natural pesticides are utilized for pest control in organic agriculture.

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## Agricultural environmental management

The complex interaction of agriculture and environment has been an issue since the origins of humans. Humans grow food to eat and also hunt animals that depend on natural resources for healthy ongoing habitats. Therefore, the world's human population must balance farming activities with maintaining natural



**Agriculture scientist examining samples in rye field.** (Noam Armonn/Shutterstock.com)

resources. The term agriculture originally meant the act of cultivating fields or growing crops. However, its meaning has expanded to include raising livestock as well.

When early settlers began farming and ranching in the United States, they faced pristine wilderness and open prairies. There was little cause for concern about protecting the environment or wildlife populations, and for two centuries, the country's land and water were aggressively used to create a healthy supply of ample food for Americans. In fact, many American families settled in rural areas and made a living as farmers and ranchers, passing family businesses down through generations. By the 1930s, the federal government began requiring farmers to idle certain acres of land to prevent oversupply of food and to protect exhausted soil.

Since that time, agriculture has become a complex science, as farmers must carefully manage soil and water to lessen the risk of degrading the soil and its surrounding environment or depleting water tables beneath the land's surface. In fact, farming and ranching present several environmental challenges that require careful management by farmers and local and federal regulatory agencies that guide their activities. The science of applying principles of ecology to agriculture is called agroecology. Those involved in agroecology develop

farming methods that use fewer synthetic (man-made) pesticides and fertilizers and encourage organic farming. They also work to conserve energy and water.

Soil erosion, converting virgin land to agricultural use, introduction of fertilizer and pesticides, animal wastes, and irrigation are parts of farming that can lead to changes in quality or availability of water. An expanding human population has led to increased farming and accelerated soil erosion. When soil has a low capacity to retain water, farmers must pump groundwater up and spray it over crops. After years of doing so, the local water table will eventually fall. This water depletion can impact native vegetation in the area.

The industry calls the balance of environment and lessening of agricultural effects sustainability or sustainable development. In some parts of the world, such as the High Plains of the United States or parts of Saudi Arabia, populations and agriculture are depleting water aquifers faster than the natural environment can replenish them. Sustainable development involves dedicated, scientifically-based plans to ensure that agricultural activity is managed in such a way that aquifers are not prematurely depleted.

Agroforestry is a method of cultivating both crops and trees on the same land. Between rows of trees, farmers plant agricultural crops that generate income during the time it takes the trees to grow mature enough to produce earnings from nuts or lumber.

Increased modernization of agriculture also impacts the environment. Traditional farming practice, which continues in underdeveloped countries today, consists of subsistence agriculture. In subsistence farming, just enough crops and livestock are raised to meet the needs of a particular family. However, today large farms produce food for huge populations. More than one-half of the world's working population is employed by some agricultural or agriculturally-associated industry. Almost 40 percent of the world's land area is devoted to agriculture (including permanent pasture). The growing use of machines, pesticides, and man-made fertilizers have all seriously impacted the environment.

For example, the use of pesticides such as dichlorodiphenyltrichloroethane (DDT) in the 1960s was identified as leading to the deaths of certain species of birds. Most Western countries banned use of such harmful pesticides, and some bird populations began to recover. As of 2010, use of pesticides is strictly regulated in the United States.

Many more subtle effects of farming occur on the environment. When grasslands and wetlands or forests are converted to crops, and when crops are not

rotated, eventually the land changes to the point that entire species of plants and animals can become threatened. Urbanization also encroaches onto farmland and cuts the amount of land available for farming.

Throughout the world, countries and organizations develop strategies to protect the environment, natural habitats, and resources while still supplying the food human populations require. In 1992, the United Nations Conference on Environment and Development (also known as the Earth Summit) in Rio de Janeiro focused on how to sustain the world's natural resources, with the goal of balancing good policies on environment and community vitality. In the United States, the Department of Agriculture has published its own policy on sustainable development, which works toward balancing economics, environment, and social needs concerning agriculture. In 1993, an Executive Order formed the President's Council on Sustainable Development (PCSD), which developed new approaches for achieving economic and environmental goals for public policy in agriculture. Guiding principles included sections on agriculture, forestry, and rural community development.

According to the United States Environmental Protection Agency (EPA), Agricultural Environmental Management (AEM) is one of the most innovative programs in New York state. Though the state of New York is perceived as mostly urban, in reality most of the state is composed of farmland, forests, mountainous areas, and waterways, with agriculture forming a large part of the state's economy. The AEM program serves important environmental functions and helps keep New York's farms economically viable. As of 2007, more than 10,000 farms were participating in the program.

The program began in June 2000 when Governor George Pataki introduced legislation to the state's Senate and Assembly proposing a partnership to promote farming's good stewardship of land and to provide the funding and support of farmers' efforts. The bill was passed and signed into law by the governor that same year. The purpose of the law is to help farmers develop agricultural environmental management plans that control agricultural pollution and comply with federal, state, and local regulations on use of land, water quality, and other environmental concerns. New York's AEM program brings together agencies from state, local, and federal governments, conservation representatives, businesses from the private sector, and farmers. The program is voluntary and offers education, technical assistance, and financial incentives to farmers to participate.

An example of a successful AEM project occurred at a dairy farm in central New York. The farm composted animals' solid wastes, which reduced the amount of waste spread on the fields. This in turn reduced pollution in the local watershed. The New York State Department of Agriculture and Markets oversees the program. It begins when a farmer expresses interest in AEM. Next, the farmer completes a series of five tiers of the program.

In Tier I, the farmer completes a short questionnaire that surveys current farming activities and future plans to identify potential environmental concerns. Tier II involves worksheets that document current activities that promote stewardship of the environment and help prioritize any environmental concerns. In Tier III, a conservation plan is developed that is tailored specifically for the individual farm. The farmer works together with an AEM coordinator and several members of the cooperating agency staff.

Under Tier IV of the AEM program, agricultural agencies and consultants provide the farmer with educational, technical, and financial assistance to implement the best management practices for preventing pollution to water bodies in the farm's area. The plans use Natural Resources Conservation Service standards and guidance from cooperating professional engineers. Finally, farmers in the AEM program receive ongoing evaluations to ensure that the plan they have devised helps protect the environment and also ensures viability of the farm business.

Funding for the AEM program comes from a variety of sources, including New York's Clean Water/Clean Air Bond Act and the State Environmental Protection Fund. Local soil and water conservation districts (SWCDs) also partner in the effort, and farmers can access funds through these districts. The EPA says involvement of the SWCDs has likely been a positive factor in farmers' acceptance of the program.

Agricultural organizations and businesses in other states and countries are following programs for environmental management and protection. The EPA encourages organizations to implement Environmental Management Systems (EMSs) for which the International Organization of Standardization (ISO) set guidelines in 1996, and updated in 2004. The ISO defines an EMS as "that part of the overall management system which includes organizational structure, planning activities, responsibilities, practices, procedures, processes, and resources for developing, implementing, achieving, reviewing, and maintaining the environmental policy." The EMS standard set forth by the ISO is referred to as ISO 14001 and has

seventeen key elements including objectives and targets; environmental policy; training, awareness, and competence; and monitoring and measurement. Development and adherence to EMS procedures plays a significant role in maintaining environmental quality through sustainable agriculture.

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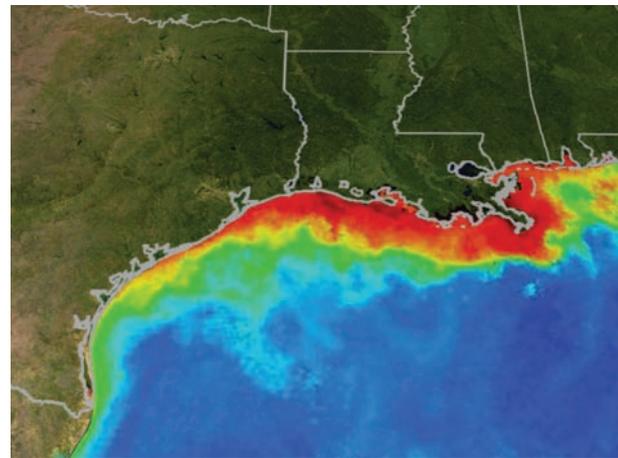
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## Agricultural pollution

The development of modern agricultural practices is one of the great success stories of applied sciences. Improved plowing techniques, new pesticides and fertilizers, and better strains of crops are among the factors that have resulted in significant increases in agricultural productivity.

Yet these improvements have not come without cost to the environment and sometimes to human health. Modern agricultural practices have contributed to the pollution of air, water, and land. Air pollution may be the most memorable, if not the most significant, of these consequences. During the 1920s and 1930s, huge amounts of fertile topsoil were blown away across vast stretches of the Great Plains, an area that eventually became known as the "dust bowl." The problem occurred because of soil erosion; farmers either did not know about or chose not to use techniques for protecting and conserving their soil. The soil then blew away during droughts, resulting not only in the loss of valuable farmland, but also in the pollution of the surrounding atmosphere.



Summertime satellite observations of ocean color in the Gulf of Mexico show highly turbid waters, which may include large blooms of phytoplankton because of agricultural runoff carried by rivers to the sea. (Courtesy of NASA)

Soil conservation techniques developed rapidly in the 1930s, including contour plowing, strip cropping, crop rotation, windbreaks, and minimum- or no-tillage farming, and thereby greatly reduced the possibility of erosion on such a scale. However, such events, though less dramatic, have continued to occur, and in recent decades they have presented new problems. When topsoil is blown away by winds, they can carry with them the pesticides, herbicides, and other crop chemicals now so widely used. In the worst cases, these chemicals have contributed to the collection of air pollutants that endanger the health of plants and animals, including humans. Ammonia ( $\text{NH}_3$ ), released from the decay of fertilizers, is one example of a compound that may cause minor irritation to the human respiratory system and more serious damage to the health of other animals and plants.

A more serious type of agricultural pollution are the solid waste problems resulting from farming and livestock practices. Authorities estimate that slightly over one-half of all the solid wastes produced in the United States each year—a total of about 2 billion tons (1.8 billion metric tons)—come from a variety of agricultural activities. Some of these wastes pose little or no threat to the environment. Crop residue left on cultivated fields and animal manure produced on rangelands, for example, eventually decay, returning valuable nutrients to the soil.

Some modern methods of livestock management, however, tend to increase the risks posed by animal wastes. Farmers are raising a larger variety of animals, as well as larger numbers of them, in smaller and smaller areas such as feedlots or huge barns. In such

cases, large volumes of wastes are generated in these areas. Many livestock managers attempt to sell these waste products or dispose of them in a way that poses no threat to the environment. Yet in many cases the wastes are allowed to accumulate in massive dumps where soluble materials are leached out by rain. Some of these materials then find their way into groundwater or surface water, such as lakes and rivers. Some are harmless to the health of animals, though they may contribute to the eutrophication (excess primary productivity as a result of excess nutrient concentrations) of lakes and ponds. Other materials, however, may have toxic, carcinogenic, or genetic effects on humans and other animals.

The leaching of hazardous materials from animal-waste dumps contributes to perhaps the most serious form of agricultural pollution: the contamination of water supplies. Many of the chemicals used in agriculture today can be harmful to plants and animals. Pesticides and herbicides are the most obvious of these; used by farmers to disable or kill plant and animal pests, they may also cause problems for beneficial plants and animals as well as humans.

Runoff from agricultural land is another serious environmental problem posed by modern agricultural practices. Runoff constitutes a nonpoint source of pollution. Rainfall leaches out and washes away pesticides, fertilizers, and other agricultural chemicals from a widespread area, not a single source such as a sewer pipe. Maintaining control over nonpoint sources of pollution is an especially difficult challenge. In addition, agricultural land is more easily leached out than is nonagricultural land. When lands are plowed, the earth is broken up into smaller pieces, and the finer the soil particles, the more easily they are carried away by rain. Studies have shown that the nitrogen (N) and phosphorus (P) in chemical fertilizers are leached out of croplands at a rate about five times higher than from forest woodlands or idle lands.

The accumulation of nitrogen and phosphorus in waterways from chemical fertilizers has contributed to the acceleration of eutrophication of lakes and ponds. Scientists believe that the addition of human-made chemicals such as those in chemical fertilizers can increase the rate of eutrophication by a factor of at least ten. A more deadly effect is the poisoning of plants and animals by toxic chemicals leached off of farmlands. The biological effects of such chemicals are commonly magnified many times as they move up a food chain. The best-known example of this phenomenon involved a host of biological problems—from reduced rates of reproduction to malformed animals to increased rates of death—

attributed to the use of dichlorodiphenyltrichloroethane (DDT) in the 1950s and 1960s.

Sedimentation also results from the high rate of erosion on cultivated land, and increased sedimentation of waterways poses its own set of environmental problems. Some of these are little more than cosmetic annoyances. For example, lakes and rivers may become murky and less attractive, losing potential as recreation sites. However, sedimentation can block navigation channels, and other problems may have fatal results for organisms. Aquatic plants may become covered with sediments and die; marine animals may take in sediments and be killed; and cloudiness from sediments may reduce the amount of sunlight received by aquatic plants so extensively that they can no longer survive.

Environmental scientists are especially concerned about the effects of agricultural pollution on groundwater. Groundwater is polluted by much the same mechanisms as is surface water, and evidence for that pollution has accumulated rapidly in the past decade. Groundwater pollution tends to persist for long periods of time. Water flows through an aquifer much more slowly than it does through a river, and agricultural chemicals are not flushed out quickly.

Many solutions are available for the problems posed by agricultural pollution, but many of them are not easily implemented. Chemicals that are found to have serious toxic effects on plants and animals can be banned from use, such as DDT in the 1970s, but this kind of decision is seldom easy. Regulators must always assess the relative benefit of using a chemical, such as increased crop yields, against its environmental risks. Such a risk-benefit analysis means that some chemicals known to have certain deleterious environmental effects remain in use because of the harm that would be done to the agricultural economy if they were banned.

Another way of reducing agricultural pollution is to implement better farming techniques. In the practices of minimum-farming or no-tillage farming, for example, plowing is reduced or eliminated entirely. Ground is left essentially intact, reducing the rate at which soil and the chemicals it contains are eroded away. This method also prevents the breaking up of soil into increasingly smaller particles that would be easily eroded. Reducing the amount of chemicals necessary for efficient crop production can be achieved through integrated pest management (IPM) processes and crop rotation, key methods in the implementation of sustainable agriculture. Sustainable agriculture focuses on the profitable and efficient production of crops while minimally affecting the ecosystem. Through IPM, increased crop

monitoring to obtain information about pest numbers and status can help determine the optimal time for pesticide application, reducing the need for future applications. Both sustainable agriculture and organic farming have become more popular methods for crop production due to their minimal environmental impact.

Agriculture has a significant influence on the environment and climate change. Agricultural emissions can have a global impact through the emission of greenhouse gases, such as methane (CH<sub>4</sub>) from cattle and paddy fields or ozone depleting nitrous oxide (N<sub>2</sub>O) from fertile farmland. The growing global population has pushed humans, in terms of food production, into previously uninhabited areas, resulting in a large amount of deforestation. In developing countries, slash-and-burn agriculture is employed to quickly gain new area for crop production. This process releases pent-up carbon from the plants into the atmosphere as well as removes plants that were previously able to process carbon in the air, resulting in an increase in greenhouse gas emissions.

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## Agricultural Research Service

A branch of the U.S. Department of Agriculture charged with the responsibility of agricultural research on a regional or national basis, the Agricultural Research Service (ARS) has a mission to develop new knowledge and technology needed to solve agricultural problems of broad scope and high national priority in order to ensure

adequate production of high-quality food and agricultural products for the United States. The national research center of the ARS is located at Beltsville, Maryland, consisting of laboratories, land, and other facilities. In addition, there are many other research centers located throughout the United States. Scientists of the ARS are also located at land grant universities throughout the country where they conduct cooperative research with state scientists.

## Agricultural revolution

The development of agriculture has been a fundamental part of the march of civilization. It is an ongoing challenge, for as long as population growth continues, mankind will need to improve agricultural production.

The agricultural revolution is actually a series of four major advances, closely linked with other key historical periods. The first, the *Neolithic* or New Stone Age, marks the beginning of sedentary (settled) farming. Much of this history is lost in antiquity, dating back perhaps 10,000 years or more. Still, humans owe an enormous debt to those early pioneers who so painstakingly nourished the best of each year's crop. Archaeologists have found corn cobs a mere two inches (5.1 cm) long, so different from today's much larger ears.

The second major advance came as a result of Genoese explorer Christopher Columbus's (c. 1451–1506) voyages to the New World. Isolation had fostered the development of two completely independent agricultural systems in the New and Old Worlds. A short list of interchanged crops and animals clearly illustrates the global magnitude of this event; furthermore, the current population explosion began its upswing during this period. From the New World came maize, beans, the Irish potato, squash, peanuts, tomatoes, and tobacco. From the Old World came wheat, rice, coffee, cattle, horses, sheep, and goats. Maize is now a staple food in Africa. Several Indian tribes in America adopted new lifestyles, notably the Navajo as sheepherders and the Cheyenne as nomads using the horse to hunt buffalo.

The Industrial Revolution both contributed to and was nourished by agriculture. The greatest agricultural advances came in transportation, where first canals, then railroads and steamships made the shipment of food from areas of surplus possible. This in turn allowed more specialization and productivity, but most importantly, it reduced the threat of starvation. The steamship ultimately brought refrigerated meat to

Europe from distant Argentina and Australia. Without these massive increases in food shipments, the exploding populations and greatly increased demand for labor by newly emerging industries could not have been sustained.

In turn the Industrial Revolution introduced major advances in farm technology, such as the cotton gin, the mechanical reaper, improved plows, the combine harvester, and, in the twentieth century, tractors and trucks. These advances enabled fewer and fewer farmers to feed larger and larger populations, freeing workers to fill demands for factory labor and the growing service industries. The fixation of atmospheric nitrogen via the Haber process enhanced the potential crop yield from farmland by enabling the production of nitrogen fertilizers. The development of pesticides, which include insecticides, fungicides, and herbicides, has made it possible to increase crop yields with less damage by insects and fungal pests as well as reduced competition from weeds.

Finally, agriculture has fully participated in the scientific advances of the twentieth and twenty-first centuries. Key developments include hybrid corn, the high responders in tropical lands, described as the Green Revolution, and modern genetic research. Agriculture has benefited enormously from scientific advances in biology, and the future here is bright for applied research, especially involving genetics. Great potential exists for the development of crop strains with greatly improved dietary characteristics, such as higher protein or reduced fat. Crops have been genetically modified to be resistant to herbicide so that the production fields can be sprayed to control competing weeds without damaging crops. The development of irrigation systems that pump water with diesel and electric motors also made it possible to water crops without depending on rain to supply enough water to sustain crop production.

Growing populations, made possible by these food surpluses, have forced agricultural expansion onto less and less desirable lands. Because agriculture radically simplifies ecosystems and greatly amplifies soil erosion, many areas such as the Mediterranean Basin and tropical forest lands have suffered severe degradation.

Major developments in civilization are directly linked to the agricultural revolution. A sedentary lifestyle, essential to technological development, was both mandated and made possible by farming. Urbanization flourished, which encouraged specialization and division of labor. Large populations provided the energy for massive projects, such as the Egyptian pyramids and the colossal engineering efforts of the Romans.

The plow represented the first lever, both lifting and overturning the soil. The draft animal provided the first in a long line of nonhuman energy sources. Plant and animal selection for desired characteristics and breeding are likely the first application of science and technology toward specific goals. A number of important crops bear little resemblance to the ancestors from which they were derived. Animals such as the fat-tailed sheep represent thoughtful cultural control of their lineage.

Climate dominates agriculture, second only to irrigation. Farmers are especially vulnerable to variations, such as late or early frosts, heavy rains, or drought. Rice, wheat, and maize have become the dominant crops globally because of their high caloric yield, versatility within their climate range, and their cultural status as the staff of life. Many would not consider a meal complete without rice, bread, or tortillas. This cultural influence is so strong that even starving peoples have rejected unfamiliar food. China provides a good example of such cultural differences, with a rice culture in the south and a wheat culture (noodles) in the north.

These crops all need a wet season for germination and growth, followed by a dry season to allow spoilage-free storage. Rice was domesticated in the monsoonal lands of Southeast Asia, whereas wheat originated in the Fertile Crescent of the Middle East. Historically, wheat was planted in the fall, and harvested in late spring, coinciding with the cycle of wet and dry seasons in the Mediterranean region. Maize needs the heavy summer rains provided by the Mexican highland climate.

Other crops predominate in areas with less suitable climates. These include barley in semiarid lands; oats and potatoes in cool, moist lands; rye in colder climates with short growing seasons; and dry rice on hillsides and drier lands where paddy rice is impractical.

Although food production is the main emphasis in agriculture, more and more industrial applications have evolved. Cloth fibers have been a mainstay, but paper products and many chemicals now come from cultivated plants. With shortages in fossil fuels and the threat of climate change amplified by the use of fossil fuels, agricultural research has focused on using plant biomass as a renewable source of fuel.

The agricultural revolution is also associated with some of mankind's darker moments. In the tropical and subtropical climates of the New World, slave labor was extensively exploited. Close, unsanitary living conditions among workers and in cities, made possible by the agricultural revolution, fostered plagues. Overdependence on limited crop sources periodically led to famines.

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Nathan H. Meleen

## Agricultural Stabilization and Conservation Service

For the past half century, agriculture in the United States has faced the somewhat unusual and enviable problem of overproduction. Farmers have produced more food than United States citizens can consume, and, as a result, per capita farm income has decreased as the volume of crops has increased. To help solve this problem, the Secretary of Agriculture established the Agricultural Stabilization and Conservation Service on June 5, 1961. The purpose of the service was to administer commodity and land-use programs designed to control production and to stabilize market prices and farm income. The service operated through state committees of three to five members each and committees consisting of three farmers in approximately 3,080 agricultural counties in the nation. In 1994, the service became part of the Consolidated Farm Service Agency, following a reorganization of the U.S. Department of Agriculture.

Agriculture and energy conservation see **Environmental engineering.**

Agriculture, drainage see **Runoff.**

Agriculture, sustainable see **Sustainable agriculture.**

## Agroecology

Agroecology is an interdisciplinary field of study that applies ecological principles to the design and management of agricultural systems. Agroecology concentrates on the relationship of agriculture to the biological, economic, political, and social systems of the world.

The combination of agriculture with ecological principles such as biogeochemical cycles, energy conservation, and biodiversity has led to practical applications that benefit the whole ecosystem rather than just an individual crop. For instance, research into integrated pest management has developed ways to reduce reliance on pesticides. Such methods include biological or biotechnological controls such as genetic engineering, cultural controls such as changes in planting patterns, physical controls such as quarantines to prevent entry of new pests, and mechanical controls such as physically removing weeds or pests.

Sustainable agriculture is another goal of agroecological research. Sustainable agriculture views farming as a total system and stresses the long-term conservation of resources. It balances the human need for food with concerns for the environment and maintains that agriculture can be carried on without reliance on pesticides and fertilizers. Sustainable agriculture integrates methods to protect the environment, to be profitable for the farmers, and to promote successful farming communities. Implementing crop rotation to inhibit pest numbers and using livestock manure as fertilizer are two practices employed to reduce input of insecticides and synthetic fertilizers into the environment, while also effectively reducing the crop maintenance costs for the farmer.

Agroecology advocates the use of biological controls rather than pesticides to minimize agricultural damage from insects and weeds. Biological controls use natural enemies to control weeds and pests, such as ladybugs that kill aphids. Biological controls include the disruption of the reproductive cycles of pests and the introduction of more biologically diverse organisms to inhibit overpopulation of different agricultural pests.

Agroecological principles shift the focus of agriculture from food production alone to wider concerns, such as environmental quality, food safety, the quality of rural life, humane treatment of livestock, and conservation of air, soil, and water. Agroecology also studies how agricultural processes and technologies will be impacted by wider environmental problems such as global warming, desertification, or salinization. Desertification of land

occurs in arid, semiarid, dry subhumid areas and is caused by deforestation, overgrazing, and water depletion resulting from improper irrigation. Salinization is the accumulation of salt in soils affected by excess irrigation, deforestation, and climate change. These processes can degrade the quality and fertility of the soil, making it difficult for successful plant growth and crop cultivation and harvest.

The entire world population depends on agriculture, and, as the number of people continues to grow, agroecology is becoming more important, particularly in developing countries. Agriculture is the largest economic activity in the world, and in areas such as sub-Saharan Africa about 75 percent of the population is involved in some form of it. As population pressures on the world food supply increase, the application of agroecological principles is expected to stem the ecological consequences of traditional agricultural practices such as pesticide poisoning and erosion.

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Linda Rehkopf

## Agroforestry

Agroforestry is a land use system in which woody perennials (trees, shrubs, vines, palms, bamboo, etc.) are intentionally combined on the same land management unit with crops and sometimes animals, either in a spatial arrangement or a temporal sequence. It is based on the premise that woody perennials in the landscape can enhance the productivity and sustainability of agricultural practice. The approach is especially pertinent in tropical and subtropical areas where improper land management and intensive, continuous cropping of land have led to widespread devastation. Agroforestry recognizes the need for an alternative



**The World Agroforestry Centre works to improve the livelihoods of poor farm shareholders and improve the sustainability and productivity of agriculture.** (Wendy Stone / Corbis)

agricultural system that will preserve and sustain productivity. The need for both food and forest products has led to an interest in techniques that combine production of both in a manner that can halt and may even reverse the ruin caused by existing practices.

Although the term agroforestry has come into widespread use only in the last twenty to twenty-five years, environmentally sound farming methods similar to those now proposed have been known and practiced in some tropical and subtropical areas for many years. As an example, one type of intercropping found on small rubber plantations (less than 25 acres [10 ha]), in Malaysia, Thailand, Nigeria, India, and Sri Lanka involves rubber plants intermixed with fruit trees, pepper, coconuts, and arable crops such as soybeans, corn, banana, and groundnut. Poultry may also be included. Unfortunately, in other areas the pressures caused by expanding human and animal populations have led to increased use of destructive farming practices. In the process, inhabitants have further reduced their ability to provide basic food, fiber, fuel, and timber needs and contributed to even more environmental degradation and loss of soil fertility.

The successful introduction of agroforestry practices in problem areas requires the cooperative efforts of experts from a variety of disciplines. Along with specialists in forestry, agriculture, meteorology, ecology, and related fields, it is often necessary to enlist the help of those familiar with local culture and heritage to explain new methods and their advantages. Usually, techniques must be adapted to local circumstances, and research and testing are required to develop viable systems for a particular setting. Intercropping combinations that work well in one location may not be

appropriate for sites only a short distance away because of important meteorological or ecological differences. Despite apparent difficulties, agroforestry has great appeal as a means of arresting problems with deforestation and declining agricultural yields in warmer climates. The practice is expected to grow significantly in the next several decades. Some areas of special interest include intercropping with coconuts as the woody component, and mixing tree legumes with annual crops.

This intercropping allows for a wider diversity of inhabitants compared to an area that focuses on the production of one type of crop (termed “monoculture”). With a great diversity of plants, new niches or habitats are created for more wildlife species including insects and birds. With ever-increasing amounts of deforestation, it is important to develop new habitats for biodiversity to flourish. Additionally, adding trees to the landscape in intercropping may lessen the effects of global warming because more carbon can be taken up by these trees, thus reducing the accumulation of carbon in the atmosphere.

Agroforestry does not seem to lend itself to mechanization as easily as the large-scale grain, soybean, and vegetable cropping systems used in industrialized nations because practices for each site are individualized and usually labor-intensive. For these reasons they have had less appeal in areas such as the United States and Europe. Nevertheless, temperate zone applications have been developed or are under development. Examples include small-scale organic gardening and farming, mining wasteland reclamation, and biomass energy crop production on marginal land.

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Douglas C. Pratt

## AIDS

AIDS (acquired immune deficiency syndrome) is an infectious and fatal viral disease. AIDS is *pandemic*, which means that it is worldwide in distribution. A sufficient understanding of AIDS can be gained only by examining its causation (etiology), symptoms, treatments, and the risk factors for transmitting and contracting the disease.

AIDS occurs as a result of infection with the HIV (human immunodeficiency virus). HIV is a ribonucleic acid (RNA) virus that targets and kills T-lymphocytes, specialized white blood cells that are important in immune protection. Depletion of helper T-lymphocytes leaves the AIDS-infected person with a disabled immune system and at risk for infection by organisms that ordinarily pose no special hazard to the individual. Infection by these organisms is thus opportunistic and is frequently fatal.

The initial infection with HIV may entail no symptoms or relatively benign symptoms of short duration that may mimic infectious mononucleosis. This initial period is followed by a longer period (usually from one to as many as ten years) when the infected person is in apparent good health. The HIV-infected person, despite the outward image of good health, is in fact capable of spreading the virus to others, and appropriate care must be exercised to prevent spread of the virus at this time. During the course of the infection, the effects of the depletion of helper T cells eventually become evident. Symptoms include weight loss, persistent cough, persistent colds, diarrhea, periodic fever, weakness, fatigue, enlarged lymph nodes, and malaise. Following this, the



**Natasha is given her antiretroviral medication at Bow House, a home for orphans and sick children, in Paarl, near Cape Town, South Africa, June 14, 2007. (AP Photo/Obed Zilwa)**

person with AIDS becomes vulnerable to chronic infections by opportunistic pathogens. These include, but are not limited to, oral yeast infections (thrush), pneumonia caused by the fungus *Pneumocystis carinii*, and infection by several kinds of herpes viruses. The AIDS patient is vulnerable to Kaposi's sarcoma, which is a cancer seldom seen except in those individuals with depressed immune systems. Death of the AIDS patient may be accompanied by confusion, dementia, and coma.

There is no cure for AIDS, but with modern combination therapy, the disease often remains suppressed for years. Opportunistic infections are treated with antibiotics, and combinations of antiretroviral drugs, which slow the progress of the HIV infection, are available. Research to find a vaccine for AIDS has not yet yielded satisfactory results, but scientists have been encouraged by the development of a vaccine for feline leukemia—a viral disease in cats that has similarities to AIDS. Unfortunately, this does not provide hope of a cure for those already infected with the HIV virus.

Prevention is crucial for a lethal disease with no cure. Thus, modes of transmission must be identified and avoided. Everyone is at risk: Globally, men and women are equally infected with the disease. According to the Joint United Nations Program on HIV and AIDS (UNAIDS), in 2008 there were approximately 34.4 million people infected worldwide with HIV or AIDS. Some 2.7 million new HIV infections occurred in 2008, with sub-Saharan Africa accounting for over two-thirds (1.9 million) of all new infections worldwide. Overall, according to the UNAIDS, the HIV virus took the lives of two million people in 2008 alone; between 1981 and 2008, a total of 25 million lives were lost to the disease.

According to the U.S. Centers for Disease Control and Prevention (CDC), about 55,000 new cases are diagnosed each year in the United States. Worldwide, AIDS cases in heterosexual males and women are on the increase, and no sexually active person can be considered safe from AIDS any longer. Therefore, everyone who is sexually active should be aware of the principal modes of transmission of the HIV virus—infected blood, semen from the male, and genital tract secretions of the female—and use appropriate means to prevent exposure. While the virus has been identified in tears, saliva, and breast milk, infection by exposure to those substances seems to be significantly less.

### Worldwide increase in infectious diseases

In August 2007, the World Health Organization's (WHO) 2007 annual report, *A Safer Future*, reported an overall increase in risk from infectious disease epidemics. Report findings indicated that major infectious diseases

such as AIDS, avian flu, cholera, Ebola and other hemorrhagic fevers, polio, and severe acute respiratory syndrome (SARS) were spreading faster than at any time in modern history and that the rate of emerging infectious diseases discoveries is “historically unprecedented.” The report also noted alarming increases in diseases such as drug-resistant tuberculosis, which is often associated with AIDS, related to improper use of antibiotics that can lead to antimicrobial resistance. Other factors cited included increased global airline travel and lack of full international cooperation in sharing of data, technology, and other efforts to develop effective vaccines and other countermeasures.

Research continues to unravel the history of HIV, with possible benefits for clinical medical practice. In October 2007, a study published by the U.S. National Academy of Sciences reported that, based on the genetic analysis of blood samples, the strain of the HIV virus (the specific subtype of the virus known as HIV-1 group M subtype B) that is now most common in the United States, Europe, and parts of Australia, South America, and Japan, first traveled to the United States from Haiti around 1969. The report stated that the first transmission was via a single carrier. The study also stated that HIV traveled from Africa to Haiti in the mid-1960s. Scientists have predicted that studies of the history of HIV mutation would greatly increase the chances of predicting future mutation and facilitate vaccine development.

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Robert G. McKinnell

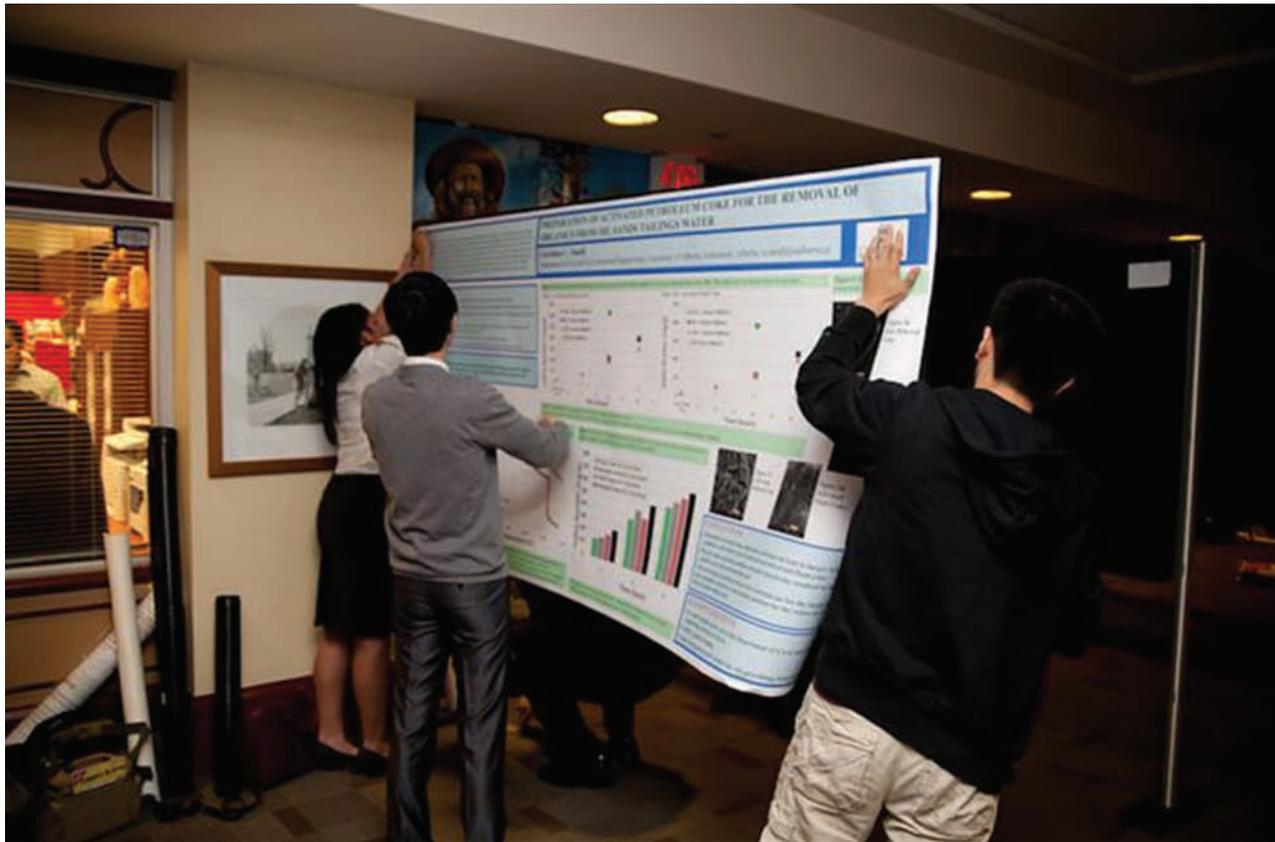
Ailuropoda melanoleuca see **Giant panda**.

## Air and Waste Management Association

Founded in 1907 as the International Association for the Prevention of Smoke, this group changed its name several times as the interests of its members changed, becoming the Air and Waste Management

Association (A&WMA) in the late 1980s. Although an international organization for environment professionals in more than sixty-five countries, the association is most active in North America and most concerned with North American environmental issues. Among its main concerns are air pollution control, environmental management, and waste processing and control.

A nonprofit organization that promotes the basic need for a clean environment, the A&WMA seeks to educate the public and private sectors of the world by conducting seminars, holding workshops and conferences, and offering continuing education programs for environmental professionals in the areas of pollution control and waste management. One of its main goals is to provide "a neutral forum where all viewpoints of an environmental management issue (technical, scientific, economic, social, political and public health) receive equal consideration." Approximately ten to twelve specialty conferences are held annually, as well as five or six workshops. The topics continuously revolve and change as new issues arise.



Air and Waste Management Association office workers prepare a presentation. (Courtesy of Air and Waste Management Association)

Education is so important to the A&WMA that it funds projects and activities at all levels, from the professional to the general public realm, including scholarships for graduate students pursuing careers in fields related to waste management and pollution control. Although A&WMA members are all professionals, they seek to educate even the very young by sponsoring essay contests, science fairs, and community activities, and by volunteering to speak to elementary, middle school, and high school audiences on environmental management topics.

The association's 12,000 members, all of whom are volunteers, are involved in virtually every aspect of every A&WMA project. There are thirty-two association sections across the world, facilitating meetings at regional and even local levels to discuss important issues. Training seminars are an important part of A&WMA membership, and members are taught the skills necessary to run public outreach programs designed for students of all ages and the general public.

The A&WMA's publications deal primarily with air pollution and waste management, and include the

*Journal of the Air & Waste Management Association*, a scientific monthly; a bimonthly newsletter; a wide variety of technical books; and numerous training manuals and educational videotapes.

#### ORGANIZATIONS

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Cathy M. Falk

## Air pollution

Air pollution is a general term that covers a broad range of contaminants in the atmosphere. Pollution can occur from natural causes or from human activities. Discussions about the effects of air pollution have focused mainly on human health but attention



Cyclists ride past a traditional Chinese gateway during a day murky from fog and pollution in Beijing, China, with less than ten months to go before the 2008 Olympics. Air pollution emerged as one of Beijing's biggest headaches. (AP Photo/Ng Han Guan)

is being directed to environmental quality and amenity as well. Air pollutants are found as gases or particles, and on a restricted scale they can be trapped inside buildings as indoor air pollutants. Urban air pollution has long been an important concern for civic administrators, but increasingly, air pollution has become an international problem.

The most characteristic sources of air pollution have always been the combustion processes. Here the most obvious pollutant is smoke. However, the widespread use of fossil fuels have made sulfur (S) and nitrogen oxides (NO<sub>x</sub>) pollutants of great concern. With increasing use of petroleum-based fuels, a range of organic compounds have become widespread in the atmosphere.

In urban areas, air pollution has been a matter of concern since historical times. Indeed, there were complaints about smoke in ancient Rome. The use of coal throughout the centuries has caused cities to have dense smoke. Along with smoke, large concentrations of sulfur dioxide (SO<sub>2</sub>) were produced. It was this mixture of smoke and sulfur dioxide that typified the foggy streets of Victorian London. Such situations are far less common in the cities of North America and Europe today. However, until recently, they have been evident in other cities, such as Ankara, Turkey, and Shanghai, China, that rely heavily on coal.

Coal is still burnt in large quantities to produce electricity or to refine metals, but these processes are frequently undertaken outside cities. Within urban areas, fuel use has shifted toward liquid and gaseous hydrocarbons (petrol and natural gas). These fuels typically have a lower concentration of sulfur, so the presence of sulfur dioxide has declined in many urban areas. However, the widespread use of liquid fuels in automobiles has meant increased production of carbon monoxide (CO), nitrogen oxides, and volatile organic compounds (VOCs).

Primary pollutants such as sulfur dioxide or smoke are the direct emission products of the combustion process. Many of the key pollutants in the urban atmospheres are secondary pollutants, produced by processes initiated through photochemical reactions. The photochemical smog in Los Angeles, California, is now characteristic of urban atmospheres dominated by secondary pollutants.

Although the automobile is the main source of air pollution in contemporary cities, there are other equally significant sources. Stationary sources are still important and the oil-burning furnaces that have replaced the older coal-burning ones are still responsible for a range of gaseous emissions and fly ash. Incineration is also an

important source of complex combustion products, especially where this incineration burns a wide range of refuse. These emissions can include chlorinated hydrocarbons such as dioxin. When plastics, which often contain chlorine (Cl), are incinerated, hydrochloric acid (HCl) results in the waste gas stream. Metals, especially where they are volatile at high temperatures, can migrate to smaller, respirable particles. The accumulation of toxic metals, such as cadmium (Cd), on fly ash gives rise to concern over harmful effects from incinerator emissions. In specialized incinerators designed to destroy toxic compounds such as polychlorinated biphenyls (PCBs), many questions have been raised about the completeness of this destruction process. Even under optimum conditions where the furnace operation has been properly maintained, great care needs to be taken to control leaks and losses during transfer operations (fugitive emissions).

The enormous range of compounds used in modern manufacturing processes have also meant that there has been an ever-widening range of emissions from both the industrial processes and the combustion of their wastes. Although the amounts of these exotic compounds are often rather small, they add to the complex range of compounds found in the urban atmosphere. Again, it is not only the deliberate loss of effluents through discharge from pipes and chimneys that needs attention. Fugitive emissions of volatile substances that leak from valves and seals often warrant careful control.

Air pollution control procedures are increasingly an important part of civic administration, although their goals are far from easy to achieve. It is also noticeable that although many urban concentrations of primary pollutants (e.g., smoke and sulfur dioxide) are on the decline in developed countries, this is not always true in the developing countries. Here the desire for rapid industrial growth has often lowered urban air quality. Secondary air pollutants are generally proving a more difficult problem to eliminate than primary pollutants such as smoke.

Urban air pollutants have a wide range of effects, with health problems being the most enduring concern. In the classical polluted atmospheres filled with smoke and sulfur dioxide, a range of bronchial diseases was enhanced. While respiratory diseases are still the principal problem, the issues are somewhat subtler in atmospheres where the air pollutants are not so obvious. In photochemical smog, eye irritation from the secondary pollutant peroxyacetyl nitrate (PAN) is one of the most characteristic direct effects of the smog. High concentrations of carbon monoxide in cities where automobiles operate at high density means that the human heart has to work harder to

make up for the oxygen displaced from the blood's hemoglobin by carbon monoxide. This extra stress appears to reveal itself by increased incidence of complaints among people with heart problems. There is a widespread belief that contemporary air pollutants are involved in the increases in asthma, but the links between asthma and air pollution are probably rather complex and related to a whole range of factors. Lead (Pb), from automotive exhausts, is thought by many to be a factor in lowering the IQs of urban children.

Air pollution also affects materials in the urban environment. Soiling has long been regarded as a problem, originally the result of the smoke from wood or coal fires, but now increasingly the result of fine black soot from diesel exhausts. The acid gases, particularly sulfur dioxide, increase the rate of destruction of building materials. This is most noticeable with calcareous stones, which are the predominant building material of many important historic structures. Metals also suffer from atmospheric acidity. In the modern photochemical smog, natural rubbers crack and deteriorate rapidly.

Health problems relating to indoor air pollution are extremely ancient. Anthracosis, or black lung disease, has been found in mummified lung tissue. Recent decades have witnessed a shift from the predominance of concern about outdoor air pollution into a widening interest in indoor air quality.

The production of energy from combustion and the release of solvents is so large in the contemporary world that it causes air pollution problems of a regional and global nature. Acid rain, which is widely observed throughout the world, is produced when emissions of sulfur oxides (SO<sub>x</sub>) and nitrogen oxides react with water and oxygen in the air to form acids. Precipitation and fog deposit these acids into waterbodies, onto the ground, structures, and plants, which can all be damaged by these acidic compounds. The sheer quantity of carbon dioxide (CO<sub>2</sub>) emitted in the combustion process is increasing the concentration of carbon dioxide in the atmosphere and enhancing the greenhouse effect, which is the increased temperature of the earth as a result of greenhouse gases, absorbing and trapping heat in the lower atmosphere (troposphere). This warming of the earth is referred to as climate change. Power plants are responsible for about one-half of the energy-producing fossil fuel emissions, whereas vehicles contribute approximately one-third of these emissions.

The U.S. Environmental Protection Agency (EPA) is required by the Clean Air Act (CAA) of 1990 to set standards for air quality termed the National Ambient Air Quality Standards (NAAQS). The NAAQS are

established for pollutants that are deemed harmful to public health and the environment.

Solvents such as carbon tetrachloride (CCl<sub>4</sub>) and aerosol propellants such as chlorofluorocarbons (CFCs) are detectable all over the globe and are responsible for such problems as ozone layer depletion. Because of their effects, these compounds have been targeted under the CAA for phaseout to prevent further breakdown of the ozone layer.

At the other end of the scale, it needs to be remembered that gases leak indoors from the polluted outdoor environment, but more often the serious pollutants arise from processes that take place indoors. Here there has been particular concern with indoor air quality as regards to the generation of nitrogen oxides by sources such as gas stoves. Similarly formaldehyde (CH<sub>2</sub>O) from insulating foams causes illnesses and adds to concerns about our exposure to a substance that may induce cancer over a period of time. In the early twenty-first century it became clear that radon (Rn) leaks from the ground can expose some members of the public to high levels of this radioactive gas within their own homes. Cancers may also result from the emanation of solvents from consumer products, glues, paints, and mineral fibers (asbestos). More generally these compounds and a range of biological materials, animal hair, skin and pollen spores, and dusts can cause allergic reactions in some people. At one end of the spectrum these simply cause annoyance, but in extreme cases, such as found with the bacterium *Legionella*, a large number of deaths can occur.

There are also important issues surrounding the effects of indoor air pollutants on materials. Many industries, especially the electronics industry, must take great care over the purity of indoor air where a speck of dust can destroy a microchip or low concentrations of air pollutants change the composition of surface films in component design. Museums must care for objects over long periods of time, so precautions must be taken to protect delicate dyes from the effects of photochemical smog, paper, and books from sulfur dioxide, and metals from sulfide gases.

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Peter Brimblecombe

## Air pollution control

The need to control air pollution was recognized in the earliest cities. Two thousand years ago, in the Mediterranean region, laws were developed to place objectionable sources of odor and smoke downwind or outside city walls. The adoption of fossil fuels in thirteenth-century England focused particular concern on the effect of coal smoke on health, with a number of attempts at regulation with regard to fuel type, chimney heights, and time of use. Given the complexity of the air pollution problem, it is not surprising that these early attempts at control met with only limited success.

The nineteenth century was typified by a growing interest in urban public health. This developed against a background of continuing industrialization, which saw smoke abatement clauses incorporated into the growing body of sanitary legislation in both Europe and North America. However, a lack of both technology and political will doomed these early efforts to failure, except in the most blatantly destructive situations (e.g., industrial settings such as those around Alkali Works in England).

The rise of environmental awareness has reminded people that air pollution ought not to be seen as a necessary product of industrialization. This has redirected

responsibility for air pollution toward those who create it. The notion of "making the polluter pay" is seen as a central feature of air pollution control. History has also seen the development of a range of broad air pollution control strategies, among them: (1) air quality management strategies that set ambient air quality standards so that emissions from various sources can be monitored and controlled; (2) emission standards strategies that sets limits for the amount of pollutant that can be emitted from a given source (these may be set to meet air quality standards, but the strategy is optimally seen as one of adopting the best available techniques not entailing excessive costs [BATNEEC]); (3) economic strategies that involve charging the party responsible for the pollution (if the level of charge is set correctly, some polluters will find it more economical to install air pollution control equipment than continue to pollute) and (4) cost-benefit analysis, which attempts to balance economic benefits with environmental costs. The latter is an appealing strategy but difficult to implement because of its controversial and imprecise nature.

In general air pollution strategies have either been air quality-based or emission-based. In the United Kingdom, emission strategy is frequently used; for example, the Alkali and Works Act of 1863 specifies permissible emissions of hydrochloric acid. By contrast, the United States has aimed to achieve air quality standards, as evidenced by the Clean Air Act. One criticism of using air quality strategy has been that while it improves air in poor areas, it leads to degradation in areas with high air quality. Although the emission standards approach is relatively simple, critics note that emissions standards fail to make explicit judgments about air quality and assumes that good practice will lead to an acceptable atmosphere.

Until the mid-twentieth century, legislation was primarily directed toward industrial sources, but the passage of the United Kingdom Clean Air Act (1956), which followed the disastrous smog of December 1952, directed attention toward domestic sources of smoke. While this particular Act may have reinforced the improvements already under way, rather than initiating improvements, it served as a catalyst for much subsequent legislative thinking. Its mode of operation was to initiate a change in fuel, perhaps one of the oldest methods of control. The other well-tried aspects were the creation of smokeless zones and an emphasis on tall chimneys to disperse the pollutants.

As simplistic as such passive control measures seem, they remain at the heart of much contemporary thinking. Changes from coal and oil to more environmentally friendly gas or electricity have contributed to

the reduction in smoke and sulfur dioxide concentrations in cities all around the world. Industrial zoning has often kept power and large manufacturing plants away from centers of human population, and “superstacks,” chimneys of enormous height, are now quite common. Successive changes in automotive fuels—lead-free gasoline, low volatility gas, methanol, or even the interest in the electric automobile—are further indications of continued use of these methods of control.

There are more active forms of air pollution control that seek to clean up the exhaust gases. The earliest of these were smoke and grit arrestors that came into increasing use in large electrical stations during the twentieth century. Notable here were the cyclone collectors that removed large particles by driving the exhaust through a tight spiral that threw the grit outward where it could be collected. Finer particles could be removed by electrostatic precipitation. These methods were an important part of the development of the modern pulverized fuel power station. However they failed to address the problem of gaseous emissions. Here it has been necessary to look at burning fuel in ways that reduce the production of nitrogen oxides. Control of sulfur dioxide emissions from large industrial plants can be achieved by desulfurization of the flue gases. This can be quite successful by passing the gas through towers of solid absorbers or spraying solutions through the exhaust gas stream. However, these are not necessarily cheap options.

Catalytic converters are also an important element of active attempts to control air pollutants. Although these can considerably reduce emissions, they have to be offset against the increasing use of the automobile. There is much talk of the development of zero-pollution vehicles.

Legislation and control methods are often associated with monitoring networks that assess the effectiveness of the strategies and inform the general public about air quality where they live. A balanced approach to the control of air pollution in the future may simply have to look beyond technological controls. It will become necessary to examine the way people structure their lives in order to find more effective solutions to air pollution.

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Peter Brimblecombe

## Air pollution index

The air pollution index is a value derived from an air quality scale that uses the measured or predicted concentrations of several criteria pollutants and other air quality indicators, such as the coefficient of haze (COH) or visibility. The best-known index of air pollution is the pollutant standard index (PSI).

The PSI has a scale that spans from zero to 500. The index represents the highest value of several subindices; there is a subindex for each pollutant or, in some cases, for a product of pollutant concentrations and a product of pollutant concentrations and the COH. If a pollutant is not monitored, its subindex is not used in deriving the PSI. In general, the subindex for each pollutant can be interpreted as follows.

The subindex of each pollutant or pollutant product is derived from a PSI nomogram, which matches concentrations with subindex values. The highest subindex value becomes the PSI. The PSI has five health-related categories, as represented in the chart below.

Air Pollution Stages	
Index Value	Interpretations
0	No concentration
100	National Ambient Air Quality Standard
200	Alert
300	Warning
400	Emergency
500	Significant harm
PSI Range	Category
0 to 50	Good
50 to 100	Moderate
100 to 200	Unhealthful
200 to 300	Very unhealthful
300 to 500	Hazardous

**Air pollution stages and PSI range.** (Reproduced by permission of Gale, a part of Cengage Learning)

## Air quality

Air quality is determined with respect to the total air pollution in a given area as it interacts with meteorological conditions such as humidity, temperature, and wind to produce an overall atmospheric condition. Poor air quality can be apparent aesthetically (as a displeasing odor, for example), and can also result in harm to plants, animals, people, and even damage to objects (such as the dissolving of statues because of acid precipitation).

As early as 1881, cities such as Chicago, Illinois, and Cincinnati, Ohio, had passed laws to control some types of pollution, but it was not until several air pollution catastrophes occurred in the twentieth century that governments began to give more attention to air quality problems. For instance, in 1930, smog trapped in the Meuse River Valley in Belgium caused sixty deaths. Similarly, in 1948, smog was blamed for twenty deaths in Donora, Pennsylvania. Most dramatically, in 1952 a sulfur-laden fog enshrouded London for five days and caused as many as 4,000 deaths over two weeks.

Disasters such as these prompted governments in a number of industrial countries to initiate programs



Scientist tests the air quality of a covered landfill. (© Science Faction/SuperStock)

to protect air quality. The year of the London tragedy, the United States passed the Air Pollution Control Act, granting funds to assist the states in controlling airborne pollutants. In 1963, the Clean Air Act, which began to place authority for air quality into the hands of the federal government, was established. Today the Clean Air Act, with its 1970 and 1990 amendments, remains the principal air quality law in the United States.

The Act established a National Ambient Air Quality Standard under which federal, state, and local monitoring stations at thousands of locations, together with temporary stations set up by the Environmental Protection Agency (EPA) and other federal agencies, directly measure pollutant concentrations in the air and compare those concentrations with national standards for six major pollutants: ozone, carbon monoxide, nitrogen oxides, lead, particulates, and sulfur dioxide. When the air humans breathe contains amounts of these pollutants in excess of EPA standards, it is deemed unhealthy, and regulatory action is taken to reduce the pollution levels.

In addition, urban and industrial areas maintain an air pollution index. This scale, a composite of several pollutant levels recorded from a particular monitoring site or sites, yields an overall air quality value. If the index exceeds certain values, then public warnings are given; in severe instances residents might be asked to stay indoors and factories might even be closed down.

While such air quality emergencies seem increasingly rare in the United States, developing countries, as well as eastern European nations, continue to suffer from having poor air quality, especially in urban areas such as Bangkok, Thailand, and Mexico City, Mexico. In Mexico City during the mid-1990s, for example, seven out of ten newborns had higher lead levels in their blood than the World Health Organization considers acceptable. Stringent air quality measures imposed during the late 1990s have had an effect. As of 2010, emissions of sulfur dioxide, carbon monoxide, and ozone have been reduced by about 85 percent, 75 percent, and 57 percent, respectively.

In 2010, continuing a decades-long trend, many Third World countries place national economic development ahead of pollution control—and in many countries with rapid industrialization, high population growth, or increasing per capita income, the best efforts of governments to maintain air quality are outstripped by rapid proliferation of automobiles, escalating factory emissions, and runaway urbanization.

Air pollution continues to be a concern, especially in very large urban areas such as Beijing, where the quality of the air prior to the 2008 Summer Olympics

raised concerns that athletes would be at risk. In the month preceding the competition, traffic was reduced and air polluting industries were shuttered. As a result, the air quality was acceptable during the Olympics.

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## Air quality control region

The Clean Air Act defines an intrastate or interstate air quality control region (AQCR) as a contiguous area where air quality, and thus air pollution, is relatively uniform. In those cases where topography is a factor in air movement, AQCRs often correspond with airsheds. AQCRs may consist of two or more cities, counties, or other governmental entities, and each region is required to adopt consistent pollution control measures across the political jurisdictions involved. AQCRs may even cross state lines and, in these instances, the states must cooperate in developing pollution control strategies. Each AQCR is treated as a unit for the purposes of pollution reduction and achieving National Ambient Air Quality Standards. As of 1993, most AQCRs had achieved national air quality standards; however, the remaining AQCRs, where standards had not been achieved, were a significant group, where a large percentage of the United States population dwelled. AQCRs involving major metro areas such as Los Angeles, California, New York City, New York, Houston, Texas, Denver, Colorado, and Philadelphia, Pennsylvania, were not achieving air quality standards because of smog, motor vehicle emissions, and other pollutants.

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## Air quality criteria

The relationship between the level of exposure to air pollutant concentrations and the adverse effects on health or public welfare associated with such exposure, air quality criteria are critical in the development of ambient air quality standards, which define levels of acceptably safe exposure to an air pollutant.

## Air-pollutant transport

Air-pollutant transport is the advection or horizontal convection of air pollutants from an area where emission occurs to a downwind receptor area by local or regional winds. It is sometimes referred to as atmospheric transport of air pollutants. This movement of air pollution is often simulated with computer models for point sources as well as for large diffuse sources, such as urban regions.

In some cases, strong regional winds or low-level nocturnal jets can carry pollutants hundreds of miles from source areas of high emissions. The possibility of transport over such distances can be increased through topographic channeling of winds through valleys. Air-pollutant transport over such distances is often referred to as long-range transport.

Air-pollutant transport is an important consideration in air quality planning. Where such impact occurs, the success of an air quality program may depend on the ability of air pollution control agencies to control upwind sources.

## Airshed

An airshed is a geographical region, usually a topographical basin, that tends to have uniform air quality. The air quality within an airshed is influenced predominantly by emission activities native to that airshed because the elevated topography around the



Smog on the outskirts of Moscow. (iStockphoto.com/Mordolff)

basin constrains horizontal air movement. Pollutants move from one part of an airshed to other parts fairly quickly, but are not readily transferred to adjacent airsheds. An airshed tends to have a relatively uniform climate and relatively uniform meteorological features at any given point in time.

## Alar

Alar is the trade name for the chemical compound daminozide, manufactured by the Uniroyal Chemical Company. The compound has been used since 1968 to keep apples from falling off trees before they are ripe and to keep them red and firm during storage. As late as the early 1980s, up to 40 percent of all red apples produced in the United States were treated with Alar.

In 1985, the Environmental Protection Agency (EPA) found that N,N-dimethylhydrazine (UDMH), a compound produced during the breakdown of daminozide, was a carcinogen. UDMH was routinely

produced during the processing of apples, as in the production of apple juice and apple sauce, and the EPA suggested a ban on the use of Alar by apple growers. An outside review of the EPA studies, however, suggested that they were flawed, and the ban was not instituted. Instead, the agency recommended that Uniroyal conduct further studies on possible health risks from daminozide and UDMH.

Even without a ban, Uniroyal felt the impact of the EPA's research well before its own studies were concluded. Apple growers, fruit processors, legislators, and the general public were all frightened by the possibility that such a widely used chemical might be carcinogenic. Many growers, processors, and store owners pledged not to use the compound nor to buy or sell apples on which it had been used. By 1987, sales of Alar had dropped by 75 percent.

In 1989, two new studies again brought the subject of Alar to the public's attention. The consumer research organization Consumers' Union found that, using a very sensitive test for the chemical, eleven of twenty red apples they tested contained Alar. In addition, twenty-three of forty-four samples of apple juice tested



**Apple harvest crop, Okanagan Valley, British Columbia.**  
(© iStockphoto.com/laughingmango)

contained detectable amounts of the compound. The Natural Resources Defense Council (NRDC) announced their findings on the compound at about the same time. The NRDC concluded that Alar and certain other agricultural chemicals pose a threat to children about 240 times higher than the one-in-a-million risk traditionally used by the EPA to determine the acceptability of a product used in human foods.

The studies by the NRDC and the Consumers' Union created a panic among consumers, apple growers, and apple processors. Many stores removed all apple products from their shelves, and some growers destroyed their whole crop of apples. The industry suffered millions of dollars in damage. Representatives of the apple industry continued to question how much of a threat Alar truly posed to consumers, claiming that the carcinogenic risks identified by the EPA, the NRDC, and Consumers' Union were greatly exaggerated. But in May of that same year, the EPA announced interim data from its most recent study, which showed that UDMH

caused blood-vessel tumors in mice. The agency once more declared its intention to ban Alar, and within a month, Uniroyal announced it would end sales of the compound in the United States.

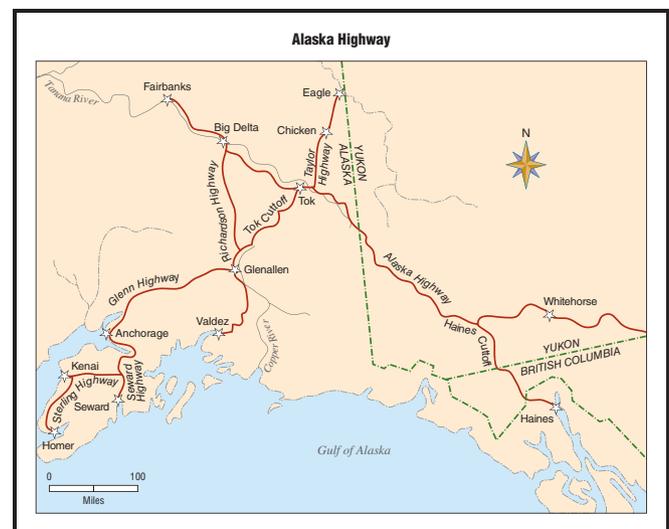
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David E. Newton

## Alaska Highway

The Alaska Highway, sometimes referred to as the Alcan (*Alaska-Canada*) Highway, is the final link of a binational transportation corridor that provides an overland route between the lower United States and Alaska. The first, all-weather, 1,680-miles (2,700 km) Alcan Military Highway was hurriedly constructed during 1942 and 1943 to provide land access between Dawson Creek, a Canadian village in northeastern British Columbia, and Delta Junction, Alaska, which connected to Fairbanks, a town on the Yukon River in central Alaska. Construction of the road was motivated by perception of a strategic, but ultimately unrealized, Japanese threat to maritime supply routes to Alaska during World War II. Today, the Alaska



**Map of the Alaska (Alcan) Highway.** (Reproduced by permission of Gale, a part of Cengage Learning)

Highway runs approximately 1,390 miles (2,237 km) following the rerouting of some sections.

The route of the Alaska Highway extended through what was then a wilderness. An aggressive technical vision was supplied by the United States Army Corps of Engineers and the civilian U.S. Public Roads Administration using the labor of approximately 11,000 American soldiers and 16,000 American and Canadian civilians. In spite of the extraordinary difficulties of working in unfamiliar and inhospitable terrain, the route was opened for military passage in less than two years. Among the formidable challenges faced by the workers was a need to construct 133 bridges and thousands of smaller culverts across energetic watercourses, the infilling of alignments through a boggy muskeg capable of literally swallowing bulldozers, and working in winter temperatures that were so cold that vehicles were not turned off for fear they would not restart (steel dozer-blades became so brittle that they cracked upon impact with rock or frozen ground).

In hindsight, the planning and construction of the Alaska Highway could be considered an unmitigated environmental debacle. The enthusiastic engineers were almost totally inexperienced in the specialized techniques of Arctic construction, especially about methods dealing with permafrost, or permanently frozen ground. If the integrity of permafrost is not maintained during construction, then this underground, ice-rich matrix will thaw and become unstable, and its water content will run off. An unstable morass could be produced by the resulting erosion, mudflow, slumping, and thermokarst-collapse of the land into subsurface voids left by the loss of water. Repairs were very difficult, and reconstruction was often unsuccessful, requiring abandonment of some original alignments. Physical and biological disturbances caused terrestrial landscape scars that persist to this day and will continue to be visible (especially from the air) for centuries. Extensive reaches of aquatic habitat were secondarily degraded by erosion or sedimentation. In the decades following World War II, large construction projects in the Arctic, such as the Trans-Alaska Pipeline System (TAPS), are much more intensively scrutinized and planned regarding their ecological impacts. Though the TAPS has experienced its share of environmental problems, such as occasional oil spills and seepages on land, it was planned and built with the special conditions of the Arctic in mind—by the end of 2010, the TAPS had delivered approximately 16 billion barrels of oil to the United States since beginning operations in 1977. Such careful planning and construction is in marked contrast with the

unfettered and freewheeling engineering associated with the initial construction of the Alaska Highway.

The Alaska Highway has been more or less continuously upgraded since its initial completion and was opened to unrestricted traffic in 1947. Nonmilitary benefits of the Alaska Highway include provision of access to a great region of the interior of northwestern North America. This access fostered economic development through mining, forestry, trucking, and tourism, as well as helping to diminish the perception of isolation felt by many northern residents living along the route.

Compared with the real dangers of vehicular passage along the Alaska Highway during its earlier years, the route safely provides one of North America's most spectacular ecotourism opportunities. Landscapes range from alpine tundra to expansive boreal forest, replete with abundantly cold and vigorous streams and rivers. There are abundant opportunities to view large mammals such as moose (*Alces alces*), caribou (*Rangifer tarandus*), and bighorn sheep (*Ovis canadensis*), as well as charismatic smaller mammals and birds and a wealth of interesting Arctic, boreal, and alpine species of plants.

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Bill Freedman

## Alaska National Interest Lands Conservation Act (1980)

The Alaska National Interest Lands Conservation Act (ANILCA), commonly referred to as the Alaska Lands Act, protected 104 million acres (42 million ha), or 28 percent, of the state's 375 million acres (152 million ha) of land. The law added 44 million acres (18 million ha) to the national park system, 55 million acres (22.3 million ha) to the fish and wildlife refuge system, 3 million acres (1.2 million ha) to the national forest system, and made twenty-six additions to the national wild and scenic rivers system. The law also designated 56.7 million acres (23 million ha) of land as wilderness, with the stipulation that 70 million acres (28.4 million ha) of additional land be reviewed for possible wilderness designation.

The genesis of this Act can be traced to 1959, when Alaska became the forty-ninth state. As part of the statehood act, Alaska could choose 104 million acres (42.1 million ha) of federal land to be transferred to the state. This selection process was halted in 1966 to clarify land claims made by Alaskan indigenous peoples. In 1971, the Alaska Native Claims Settlement Act (ANCSA) was passed to satisfy the native land claims and allow the state-selection process to continue. This Act stipulated that the secretary of the interior could withdraw 80 million acres (32.4 million ha) of land for protection as national parks and monuments, fish and wildlife refuges, and national forests, and that these lands would not be available for state or native selection. Congress would have to approve these designations by 1978. If Congress failed to act, the state and the natives could select any lands not already protected. These lands were referred to as national interest, or d-2 lands, after Section 17(d)(2) of the ANCSA.

Secretary of the Interior Rogers Morton recommended 83 million acres (33.6 million ha) for protection in 1973, but this did not satisfy environmentalists. The ensuing conflict over how much and which lands should be protected, and how these lands should be protected, was intense. The environmental community formed the Alaska Coalition, which by 1980 included over 1,500 national, regional, and local organizations with a total membership of 10 million people. Meanwhile, the state of Alaska and development-oriented interests launched a fierce and well-financed campaign to reduce the area of protected land.

In 1978, the House of Representatives passed a bill protecting 124 million acres (50.2 million ha). The Senate passed a bill protecting far less land, and House-Senate negotiations over a compromise broke down in October. Thus, Congress would not act before the December 1978 deadline. In response, the executive branch acted. Department of the Interior Secretary Cecil Andrus withdrew 110 million acres (44.6 million ha) from state selection and mineral entry. President Jimmy Carter then designated 56 million acres (22.7 million ha) of these lands as national monuments under the authority of the Antiquities Act. Forty million additional acres (16.2 million ha) were withdrawn as fish and wildlife refuges, and 11 million acres (4.5 million ha) of existing national forests were withdrawn from state selection and mineral entry. Carter indicated that he would rescind these actions once Congress had acted.

In 1979, the House passed a bill protecting 127 million acres (51.4 million ha). The Senate passed a bill designating 104 million acres (42.1 million ha) as national interest lands in 1980. Environmentalists

and the House were unwilling to reduce the amount of land to be protected. In November of 1980, however, Ronald Reagan was elected president, and the environmentalists and the House decided to accept the Senate bill rather than face the potential for much less land under a president who would side with development interests. President Carter signed the ANILCA into law on December 2, 1980.

The ANILCA also mandated that the U.S. Geological Service (USGS) conduct biological and petroleum assessments of the coastal plain section of the Arctic National Wildlife Refuge (ANWR), 19.8 million acres (8 million ha) known as area 1002. While the USGS did determine a significant quantity of oil reserves in the area, they also reported that petroleum development would adversely impact many native species, including caribou (*Rangifer tarandus*), snow geese (*Chen caerulescens*), and muskoxen (*Ovibos moschatus*).

In 2001, the George W. Bush's administration unveiled a new energy policy that would open up this area to oil and natural gas exploration. The House passed bills authorizing drilling in the ANWR in 2000 and 2005. In 2002, the Senate rejected the 2000 bill from the House, and the ANWR drilling provisions of the 2005 bill were removed in the House and Senate conference committee. In December 2005, Alaska senator Ted Stevens, a strong supporter of drilling in the ANWR, attached an amendment that would allow drilling in the ANWR to a defense appropriations bill. Senate Democrats filibustered the appropriations bill until the ANWR amendment was withdrawn. U.S. President Barack Obama opposes drilling in the ANWR, citing the damage that it would cause to wildlife in the area and the negligible impact of the ANWR drilling on global oil supplies and prices.

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**ORGANIZATIONS**

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Alaska National Wildlife Refuge see **Arctic National Wildlife Refuge.**

Alaska pipeline see **Trans-Alaska pipeline.**

## Albedo

The reflecting power of a surface, expressed as a ratio of reflected radiation to incident or incoming radiation; it is sometimes expressed as a percentage. Albedo is also called the “reflection coefficient” and derives from the Latin root word *albus*, which means whiteness. Sometimes expressed as a percentage, albedo is more commonly measured as a fraction on a scale from zero to one, with a value of one denoting a completely reflective, white surface, whereas a value of zero would describe an absolutely black surface that reflects no light rays.

Albedo varies with surface characteristics such as color and composition, as well as with the angle of the sun. The albedo of natural earth surface features such as oceans, forests, deserts, and crop canopies varies widely. Some measured values of albedo for various surfaces are shown below.

The albedo of clouds in the atmosphere is important to life on earth because extreme levels of radiation would make the planet uninhabitable; at any moment

Types of Surface	Albedo
Fresh, dry snow cover	0.80–0.95
Aged or decaying snow cover	0.40–0.70
Oceans	0.07–0.23
Dense clouds	0.70–0.80
Thin clouds	0.25–0.50
Tundra	0.15–0.20
Desert	0.25–0.29
Coniferous forest	0.10–0.15
Deciduous forest	0.15–0.20
Field crops	0.20–0.30
Bare dark soils	0.05–0.15

**Albedo varies with surface characteristics.** (Reproduced by permission of Gale, a part of Cengage Learning)

in time about 50 percent of the planet’s surface is covered by clouds. The mean albedo for Earth, called the planetary albedo, is about 30–35 percent.

Mark W. Seeley

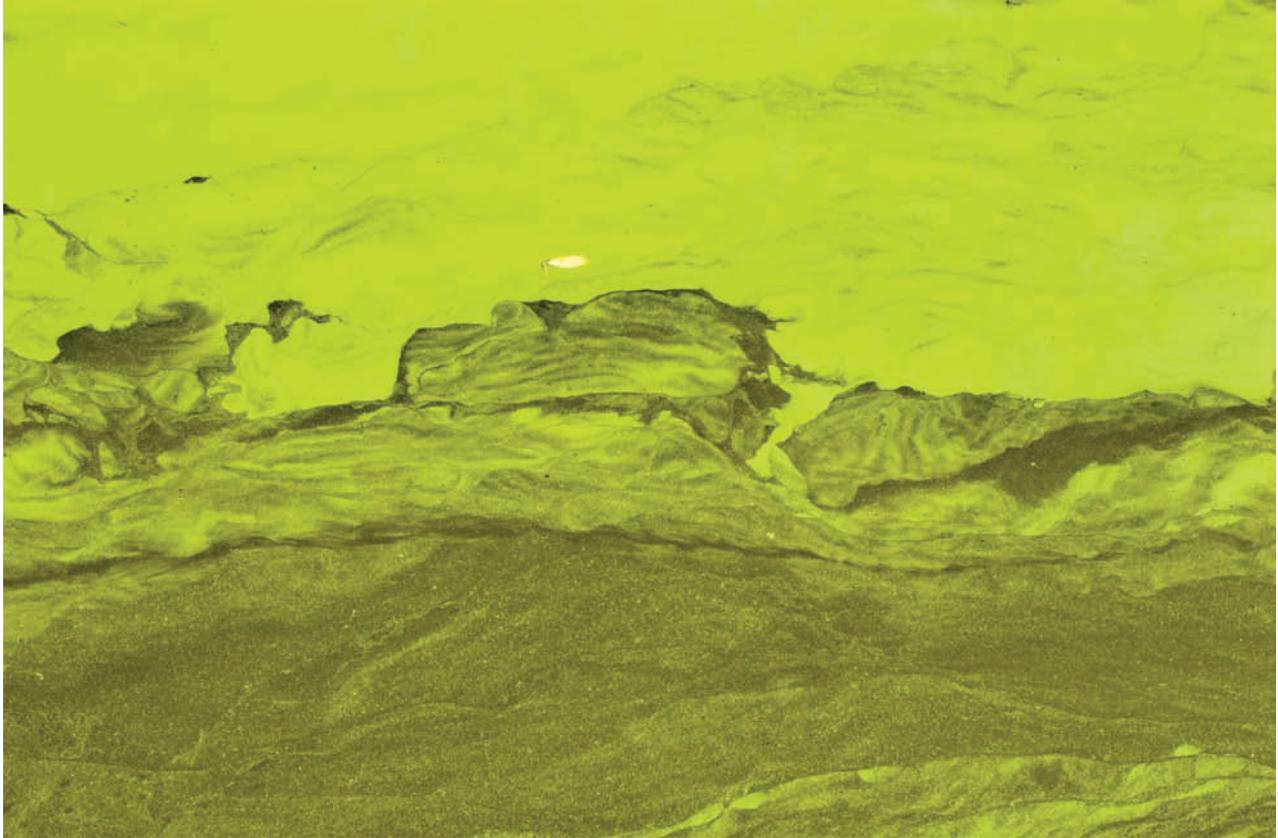
## Algal bloom

Algae are simple, filamentous aquatic organisms that can be unicellular (single-celled) or multicellular (having more than one cell); they grow in colonies and are commonly found floating in ponds, lakes, and oceans. Populations of algae fluctuate with the availability of nutrients; a sudden increase in nutrients often results in a profusion of algae known as algal bloom.

The growth of a particular algal species can be both sudden and massive. Algal cells can increase to very high densities in the water, often thousands of cells per milliliter, and the water itself can be colored brown, red, or green. Algal blooms occur in freshwater systems and in marine environments, and they usually disappear in a few days to a few weeks. These blooms consume oxygen, increase turbidity, and clog lakes and streams. Some algal species release water-soluble compounds that may be toxic to fish and shellfish, resulting in fish kills and poisoning episodes.

Algal groups are generally classified on the basis of the pigments that color their cells. The most common algal groups are cyanobacteria (commonly referred to as blue-green algae), green algae, red algae, and brown algae. Algal blooms in freshwater lakes and ponds tend to be caused by cyanobacteria and green algae. The excessive amounts of nutrients that cause these blooms are often the result of human activities. For example, nitrates and phosphates introduced into a lake from fertilizer runoff during a storm can cause rapid algal growth. Accumulation of nitrates and phosphates in an ecosystem is termed “eutrophication.” Some common cyanobacteria known to cause blooms as well as release nerve toxins (neurotoxins) are *Microcystis*, *Nostoc*, and *Anabaena*. These types of blooms are referred to as Harmful Algal Bloom (HABs). Algal blooms can occur in drinking water and are able to survive typical water purification procedures.

Red tides in coastal areas are a type of algal bloom. They are common in many parts of the world, including the New York Bight, the Gulf of California, and the Red Sea. The causes of algal blooms are not as well-understood in marine environments as they are in



Algal bloom in a lake caused by the bluegreen alga *Microcystis*. (© iStockphoto.com/Heike Kampe)

freshwater systems. Although human activities may well have an effect on these events, weather conditions probably play a more important role: turbulent storms that follow long, hot, dry spells have often been associated with algal blooms at sea. Toxic red tides most often consist of genera from the dinoflagellate algal group such as *Gonyaulax* and *Gymnodinium*. The potency of the toxins has been estimated to be ten to fifty times higher than cyanide or curare, and people who eat exposed shellfish may suffer from paralytic shellfish poisoning within thirty minutes of consumption. Some species such as *Karenia brevis* (commonly referred to as Florida red tide) have induced respiratory effects or symptoms caused by their airborne (aerosol form) toxins. A number of cyanobacteria genera such as *Oscillatoria* and *Trichodesmium* have also been associated with red blooms, but they are not necessarily toxic in their effects. Some believe that the blooms caused by these genera gave the Red Sea its name.

The economic and health consequences of algal blooms can be sudden and severe, but the effects are generally not long-lasting. In the past, there has been little evidence that algal blooms have long-term effects

on water quality or ecosystem structure. However, some scientists argue that a factor in the development of algal blooms is climate change and that global warming may result in an increasing occurrence of algal blooms. An increase in the number of HABs may have a dramatic impact on the seafood industry as well as the entire ecosystem.

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## Algicide

The presence of nuisance algae can cause unsightly appearance, odors, slime, and coating problems in aquatic media. Algicides are chemical agents used to control or eradicate the growth of algae in aquatic media such as industrial tanks, swimming pools, and lakes. These agents used may vary from simple inorganic compounds, such as copper sulphate, which are broad-spectrum in effect and control a variety of algal groups, to complex organic compounds that are targeted to be species-specific in their effects. Algicides usually require repeated application or continuous application at low doses in order to maintain effective control.

Aline, Tundra see **Tundra**.

## Allelopathy

Derived from the Greek words *allelo* (other) and *pathy* (causing injury to), allelopathy is a form of competition among plants. One plant produces and releases a chemical into the surrounding soil that inhibits the germination or growth of other species in the immediate area. These chemical substances are both acids and bases and are called secondary compounds. For example, black walnut (*Jugans nigra*) trees release a chemical called juglone that prevents other plants, such as tomatoes, from growing in the immediate area around each tree. In this way, plants such as black walnut reduce competition for space, nutrients, water, and sunlight.

## Allergen

An allergen is any substance that can bring about an allergic response in an organism. Hay fever and asthma are two common allergic responses. The allergens that evoke these responses include pollen, fungi, and dust. Allergens can be described as host-specific agents in that a particular allergen may affect some individuals, but not others. A number of air pollutants are known to be allergens. Formaldehyde, thiocyanates, and epoxy resins are examples. People who are allergic to natural allergens, such as pollen, are more inclined to be sensitive also to synthetic allergens, such as formaldehyde.

## Alligator, American

The American alligator (*Alligator mississippiensis*) is a member of the reptilian family Crocodylidae, which consists of twenty-one species found in tropical and subtropical regions throughout the world. It is a species that has been reclaimed from the brink of extinction.

Historically, the American alligator ranged in the Gulf and Atlantic coast states from Texas to the Carolinas, with rather large populations concentrated in the swamps and river bottomlands of Florida and Louisiana. From the late nineteenth century into the middle of the twentieth century, the population of this species decreased dramatically. With no restrictions on their activities, hunters killed alligators as pests or to harvest their skin, which was highly valued in the leather trade. The American alligator was killed in such great numbers that biologists predicted its probable extinction. It has



An American alligator (*Alligator mississippiensis*).  
(FloridaStock/Shutterstock.com)

been estimated that about 3.5 million of these reptiles were slaughtered in Louisiana between 1880 and 1930. The population was also impacted by the fad of selling young alligators as pets, principally in the 1950s.

States began to take action in the early 1960s to save the alligator from extinction. In 1963 Louisiana banned all legalized trapping, closed the alligator hunting season, and stepped up enforcement of game laws against poachers. By the time the Endangered Species Act was passed in 1973, the species was already experiencing a rapid recovery. Because of the successful re-establishment of alligator populations, its endangered classification was downgraded in several southeastern states, and there are now strictly regulated seasons that allow alligator trapping. Because of the persistent demand for its hide for leather goods and an increasing market for the reptile's meat, alligator farms are now both legal and profitable. According to the nonprofit National Parks Conservation Association (NPCA), by 2010 there were over a million alligators in the United States, most of which could be found in the southern coastal states of Texas, Louisiana, Georgia, and Florida.

Human fascination with large, dangerous animals, along with the American alligator's near extinction, have made it one of North America's best-studied reptile species. Population pressures, primarily resulting from being hunted so ruthlessly for decades, have resulted in a decrease in the maximum size attained by this species. The growth of a reptile is indeterminate, and they continue to grow as long as they are alive, but old adults used to attain larger sizes than their modern counterparts. The largest recorded American alligator was an old male killed in January 1890, in Vermilion Parish, Louisiana, which measured 19.2 feet (6 m) long. The largest female ever taken was only about half that size.

Alligators do not reach sexual maturity until they are about 6 feet (1.3 m) long and nearly ten years old. Females construct a nest mound in which they lay about thirty-five to fifty eggs. The nest is usually 5 to 7 feet (1.5–2.1 m) in diameter and 2 to 3 feet (0.6–0.9 m) high, and decaying vegetation produces heat, which keeps the eggs at a fairly constant temperature during incubation. The young stay with their mother through their first winter, striking out on their own when they are about 1.5 feet (0.5 m) in length.

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Alligator mississippiensis see **Alligator, American.**

All-terrain vehicle see **Off-road vehicles.**

## Alpha particle

Emitted by radioactive materials, an alpha particle is identical to the nucleus of a helium atom, consisting of two protons and two neutrons. Some common alpha-particle emitters are uranium-235, uranium-238, radium-226, and radon-222. Alpha particles have relatively low penetrating power. They can be stopped by a thin sheet of paper or by human skin. The inhalation of alpha-emitting radon gas escaping from bedrock into houses in some areas is a health hazard. The U.S. Centers for Disease Control and Prevention (CDC) and the Environmental Protection Agency (EPA) estimate that exposure to radon in the home is responsible for 20,000 lung cancer deaths per year.

## Alternative energy sources

Energy consumption is most clearly understood if final energy consumption is distinguished from primary energy consumption. Final energy consumption is energy consumed, not wasted at the point of generation. For example, of all the energy released by burning coal at a coal-fired power plant, only about 40 percent is turned into electricity; the rest is wasted up the chimney as heat. A further 5 percent or so is lost in power lines; so, of the total energy released by fuel-burning at such a plant (usually termed "primary energy"), only about 35 percent reaches end uses and can be counted as final energy consumption. In a heating system for a building, only the energy that heats the building is calculated in final energy consumption; energy that goes up the vent-stack is primary energy consumption but does not heat the house.

Fossil fuels, nuclear power, and large-scale hydroelectric power from dams are all considered conventional energy sources and are contributors to climate change because of carbon dioxide (CO<sub>2</sub>) emissions in the atmosphere. Other sources are termed "alternative." The main fossil fuels—coal, oil, and natural gas (methane)—provided the vast majority (over 75 percent) of final energy consumption worldwide in the



**Experimental tidal generator, Strangford Lough, County Down, Ulster, Northern Ireland.** (© Robert Harding Picture Library / SuperStock)

late 2000s—and it is expected to continue to do so into the future. Nuclear reactors provided around 3 percent of final energy consumption, and hydroelectric power from dams also provided about 3 percent. Alternative energy sources, including wind power, active and passive solar systems, biofuel, and geothermal energy, supplied approximately 5 percent of final energy consumption. Due to the depletion of fossil fuel sources as well as the pollution caused by all conventional energy sources, the share of worldwide energy consumption originating from alternative energy sources is growing.

Power generated with electricity is only one energy source, although it is a particularly versatile one. Because much fossil fuel is consumed for nonelectric forms of energy (heat and transport), the breakdown of the world electricity supply is differently stated than that for the world energy supply. As of the end of the 2000s, about 69 percent of world electricity came from fossil fuels, approximately 15 percent was from nuclear

power, and around 16 percent was from hydropower and alternative (renewable) sources such as wind turbines and solar cells.

As for primary energy (as opposed to final energy), the exact contribution alternative energy sources make to the total primary energy used around the world is not known. Conservative estimates place their share at 3 to 4 percent, but some energy experts dispute these figures. U.S. energy expert Amory Lovins (1947–), a prominent figure in expert debates around nuclear power, alternative energy, and energy efficiency starting in the 1970s, has argued that the statistics collected are based primarily on large electric utilities and the regions they serve. They fail to account for areas remote from major power grids, which are more likely to use solar energy, wind energy, or other sources. When these areas are taken into consideration, Lovins, who is also the chief scientist at the Rocky Mountain Institute, has stated that alternative energy sources contribute as much as 11 percent to the total primary energy used in the United States. Animal manure, furthermore, is widely used as an energy source in India, parts of China, and much of Africa, and when this is taken into account the percentage of the worldwide contribution that alternative sources make to primary energy production could rise as high as 10 to 15 percent.

Now considered an alternative energy source, wind power is one of the earliest forms of energy harvested mechanically by humankind. Wind is caused by the uneven heating of the earth's surface by the sun, and so (like wave and tidal power) is considered an indirect form of solar power. The kinetic energy of wind—the energy that a moving air mass has by virtue of its motion—is proportional to the square of wind velocity, and the energy that a wind turbine (windmill generator) can *extract* from wind is proportional to the cube of velocity, so the ideal location for a windmill generator is an area with constant and relatively fast winds. In most locations, wind speed rises (up to a point) with height, and turbulence from ground roughness decreases—also a crucial consideration. Modern turbines are therefore placed on high towers, typically about 200 feet (60 m) above the ground.

An efficient wind turbine can produce 175 watts per square meter of propeller blade area at a height of 75 feet (25 m); in fact, turbines being installed today are much higher than this, and so intercept more wind and produce more power per unit of blade area. In 2008, the cost of generating one kilowatt hour of wind power was about eight cents, as compared to five cents for hydro-power and fifteen cents for nuclear power. (A kilowatt-hour is the amount of energy consumed by a 1,000-watt load during one hour; a 1,000-watt load can be imagined

as ten 100-watt light bulbs.) Because of low capital costs, low fuel costs, rapid construction, and zero pollution, global wind-generation capacity was growing exponentially from the late 1990s to the end of the 2000s, and continues a rapid increase in the early 2010s. From 2000 to 2007, global wind capacity increased by a factor of about five. For instance, about 20 percent of Denmark's electricity was generated from wind, about 10 percent of Germany's, and about 1 percent of the United States'. However, because the United States is so large, even at this low percentage it was the world's third-largest producer of wind power, with capacity about equal to that of Spain and about one-half that of Germany.

Solar energy can be utilized either directly as heat or indirectly by converting it to electrical power using photovoltaic cells or steam-turbine plants. Windows and collectors alone are considered passive systems; an active solar system uses a fan, pump, or other machinery to transport the heat generated from the sun. Greenhouses and solariums are the most common examples of the passive use of solar energy, with glass windows allowing entrance to sunlight but restricting the heat from escaping. In most climates, buildings can be made entirely self-sufficient in heating and cooling through use of superinsulation and passive solar heat collection. Flat-plate collectors that use fluid circulating over a dark surface to collect solar energy are another direct solar-thermal method, often mounted on rooftops. These collectors can provide from one-third to all of the energy required for space heating, depending on climate and overall building efficiency. In 2007, about 50 million homes worldwide, mostly in China, were using flat-plate collectors to supply most or all of their domestic hot water.

Photovoltaic cells are made of semiconductor materials such as silicon. These cells are capable of absorbing part of sunlight to produce a direct electric current with about 14 percent efficiency, which is to say about 14 percent of the energy in the sunlight is converted to electricity. In the laboratory, efficiencies of over 40 percent have been achieved, and such work will eventually raise the efficiency of commercially available cells. The cost of producing photovoltaic power is about four dollars a watt: that is, a system capable of producing 1,000 watts in bright sunshine costs about \$4,000 to install. However, thin-film cells and other technologies are being perfected that are steadily reducing photovoltaic costs. Photovoltaics are now being used economically in lighthouses, boats, cars, and in rural villages and other remote areas. Large solar systems (producing approximately 20 million watts) are being installed in Europe, the United States, and elsewhere as quickly as the solar industry can meet the

demand for photovoltaic cells. Rooftop photovoltaics are also being installed rapidly. These have the advantage that they produce power where it is to be used and use land that has already been developed for buildings, rather than covering wild land with solar cell arrays. As of 2007, Germany was consuming the largest amount of photovoltaic electricity.

Geothermal energy is the heat generated in the interior of Earth by radioactive elements. Earth's interior radioactive material is so dilute that it does not pose a radiation threat. Like solar energy, it can be used directly as heat or indirectly to generate electricity. Geothermal steam is classified as either dry (without water droplets), or wet (mixed with water). When it is generated in certain areas containing corrosive sulfur (S) compounds, it is known as sour steam; and when generated in areas that are free of sulfur, it is known as sweet steam. Geothermal energy can be used to generate electricity by the flashed steam method, in which high temperature geothermal brine is used as a heat exchanger to convert injected water into steam. The produced steam is used to turn a turbine. When geothermal wells are not hot enough to create steam, a fluid that evaporates at a much lower temperature than water, such as isobutane ( $C_4H_{10}$ ) or ammonia ( $NH_3$ ), can be placed in a closed system in which the geothermal heat provides the energy to evaporate the fluid and run the turbine.

There are at least seventy countries worldwide that utilize geothermal energy, including the United States, Mexico, Italy, Iceland, Japan, and Russia. Geothermal energy can, in some cases, have more environmental impact than solar or wind energy. Depending on the type of geothermal resource being exploited, it can contribute to air pollution; it can also emit dissolved salts and, in some cases, toxic heavy metals such as mercury (Hg) and arsenic (As).

Though there are several ways of extracting energy from the ocean, the most promising are the harnessing of tidal power, wave power, and ocean thermal energy conversion. The power of ocean tides is based on the difference between high and low water. Traditionally, it was thought that for tidal power to be effective the differences in height need to be very great, more than 15 feet (4.6 m), and there are only a few places in the world where such differences exist. These include the Bay of Fundy (on Canada's east coast) and a few sites in China. However, most tide-power projects as of 2010 do not rely on such extreme geography. More modest tides can be harnessed by impounding high tides behind dams and then letting the water run out through turbines at low tide, or by installing underwater turbines resembling windmills in places where coastal and

seafloor shapes cause strong tidal currents to run. For instance, since early 2007, Verdant Power, headquartered in Arlington, Virginia, has operated a pilot project in the East River of New York City. It is considered the first major tidal-power project in the United States. Technologies are also being developed for harvesting energy from ocean waves—not the crashing waves seen at the shore, but swells out to sea. The rise-and-fall motion of a float, for example, can be used to compress air that runs a generator, whose power output can be conveyed to shore through cables.

Ocean thermal energy conversion utilizes temperature differences rather than tides and waves. Ocean temperature is stratified, especially near the tropics, and the process takes advantage of this fact by using a fluid with a low boiling point, such as ammonia. The vapor from the fluid drives a turbine, and cold water from lower depths is pumped up to condense the vapor back into liquid. The electrical power generated by this method can be shipped to shore or used to operate a floating plant such as a cannery.

Other sources of alternative energy are being exploited at various scales as of 2010. These include harnessing the energy in biomass through the production of wood from trees or the production of ethanol ( $C_2H_6O$ ) from crops such as sugarcane, corn, or switchgrass (*Panicum virgatum*). Scientific research studies are being employed to determine efficient and cost-effective ways of deriving energy from biomass. Methane ( $CH_4$ ) gas can be generated from the anaerobic breakdown of organic waste in sanitary landfills and from wastewater treatment plants. With the cost of garbage disposal rapidly increasing, the burning of garbage is becoming a viable option as an energy source. For instance, in Denmark, garbage is being treated as a clean and viable alternative energy source as the country incinerates much of its trash in waste-to-energy plants. The *New York Times* reports that Denmark, as of April 2010, has twenty-nine such plants that burn garbage in ninety-eight communities totaling 5.5 million citizens. The trend continues in the future with ten more plants planned or under construction. In addition, about 400 additional waste-to-energy plants are scattered throughout Europe. Whether trash incineration can be rendered safe by air pollution controls is, however, disputed.

Ethanol and methanol ( $CH_3OH$ ) are produced from biomass and used in transportation; in Brazil, all gasoline is sold with at least 20 percent ethanol from sugar cane (in the United States, a 10 percent corn-ethanol blend is common). However, production of fuel ethanol has been criticized both as a net-energy consumer (or poor net-energy producer) and also as injurious to the world's poor population. In early

2008, for example, food shortages gripped much of the world, with riots against high prices becoming more common in some poor countries. Food prices worldwide had risen 83 percent since 2005, according to the World Bank. Although there were a number of causes for rising prices, including a drought in Australia that lowered production of rice, the main food of over one-half the world's population, food experts agreed that the push to raise crops for ethanol biofuel for vehicles was part of the problem. Biofuel manufacturers compete directly with food buyers in the market for corn, and high demand for biofuel crops causes growers to switch acreage away from food production. The result is higher food prices, which some of the world's poorest simply cannot pay. In Haiti, for example, by late 2007 many of the rural poor were routinely eating mud, which has no food value but eases hunger pangs. Expert estimates of how much of the rise in global food prices was due to biofuel programs ranged from 10 to 75 percent.

Hydrogen (H) could be a valuable aid in utilizing energy from renewable sources if problems of supply and storage can be solved. Its only combustion by-products are water and heat, and it can be combined with oxygen in battery-like devices termed fuel cells to generate electricity directly with high efficiency. Also, despite a bad reputation, it is not nearly as explosive as gasoline vapor; hydrogen disperses rapidly when released, rather than pooling near the ground. Some alternative-energy advocates visualize a hydrogen economy in which windmills, solar cells, and other renewable energy sources produce hydrogen by cracking water molecules (hydrogen and oxygen), a process known as electrolysis. The hydrogen would then provide clean fuel for vehicles as well as electricity from fuel cells to smooth the output of variable sources such as wind and sun. To date, the difficulty of producing affordable fuel cells and hydrogen slows the deployment of hydrogen technologies.

Of all alternative energy sources, energy conservation or efficiency is perhaps the most important. In practice, improving efficiency is in most settings a far cheaper way to provide an additional unit of energy service than is buying the output of new generating capacity, whether wind, nuclear, coal, or other. Efficiency improvements such as thicker insulation and redesign of industrial machines also entails relatively small levels of pollution or material use compared to generating the energy that the efficiency displaces. Therefore, energy efficiency is the best way to meet energy demands without adding to air and water pollution and the other harms entailed by generating various kinds of energy.

Strictly speaking, conservation and efficiency are not sources of energy: One cannot light a house on pure efficiency. However, in a developed energy economy with opportunities for increased efficiency, ways to use energy more effectively compete directly with energy supply. That is, one can spend a dollar to buy an additional unit of energy to accomplish a certain task, such as lighting a building, or one can pay for efficiency to do the same job with less energy. One reason the United States survived the energy crises of the 1970s was that it was able to curtail a small fraction of its immense energy waste. Relatively easy lifestyle alterations, vehicle improvements, building insulation, and more efficient machinery and appliances have significantly reduced their potential energy demand. Experts have estimated that it is possible to double the efficiency of electric motors, triple the intensity of light bulbs, quadruple the efficiency of refrigerators and air conditioners, and quintuple the gasoline mileage of automobiles. Because of rising gas prices, the popularity and technology of hybrid vehicles in terms of improvements in their efficient use of electricity continues to grow, which also results in decreasing pollution and its effects on global warming. Moreover, most efficiency improvements make buildings more comfortable, appliances quieter and more reliable, and businesses more profitable; efficiency does not equate to darkness, cold, and discomfort. The U.S. government offers tax breaks for the purchase of hybrid cars and the installation of energy-efficient appliances, windows, insulation, doors, and heating and cooling units as well as installation of solar energy generators such as solar panels and water heaters.

Alternative energy sources are being developed rapidly to meet energy needs, but demand for energy is also growing, leading to uncertainty and concern about future supply. In the early 2010s, despite investment in alternative energy, coal-burning—the dirtiest form of fossil-fuel energy and a major contributor to global climate change—was one of the fastest-growing sources of energy worldwide. However, although enormous investments in new technology and equipment will be needed and potential supplies are uncertain, there is reasonable hope for an energy-abundant future based primarily on renewable sources and efficient end-use. Alternative energy sources contributed close to 7 percent of the U.S. energy consumption in 2007. The average annual growth rate of alternative energy consumption in the United States was 3 percent in 2007.

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Alternative fuels see **Renewable energy**.

## Aluminum

Aluminum (Al), a light metal, is the most abundant metal in the earth’s crust (making up 8 percent of it), ranking as the third-most abundant element after oxygen (O) (47%) and silicon (Si) (28%). It is an important metal because it is light and resistant to corrosion. Aluminum is used in beverage cans, siding and roofing for buildings, foil, and pots and pans. However, its production requires a large amount of electricity and, additionally, cryolite used in the process may cause fluoride pollution. Virtually all environmental aluminum is present in mineral forms that are almost insoluble in water, and therefore not available for uptake by organisms. Aluminum cannot be destroyed and persists in the

environment, only changing its form. Most common among the mineral forms of aluminum are various aluminosilicate minerals, aluminum clays and sesquioxides, and aluminum phosphates. Aluminum is commonly mixed with other metals to form aluminum alloys for added strength and hardness. Aluminum compounds are used in water treatment, abrasives, food additives, buffered aspirins, antacids, astringents, cosmetics, and antiperspirants. Aluminum is found in food, air, water, and soil, but only affects public health if exposed to high concentrations. The U.S. Environmental Protection Agency (EPA) set a secondary maximum contaminant level (SMCL) for aluminum in drinking water—based on taste, color, and smell—at 0.05 to 0.2 milligrams per liter. The Occupational Safety and Health Administration (OSHA) set a limit for occupational exposure to aluminum dust at 15 milligrams per cubic meter for an eight-hour workday, with forty hours a week.

However, aluminum can also occur as chemical species that are available for biological uptake, sometimes causing toxicity. In general, bioavailable aluminum is present in various water-soluble, ionic, or organically complex chemical species. Water-soluble concentrations of aluminum are largest in acidic environments, where toxicity to nonadapted plants and animals can be caused by exposure to  $\text{Al}^{3+}$  and  $\text{Al}(\text{OH})^{2+}$  ions, and in alkaline environments, where  $\text{Al}(\text{OH})_4^-$  is most prominent. Aluminum is not accumulated to a significant extent in the majority of animals and plants. Organically bound, water-soluble forms of aluminum, such as complexes with fulvic or humic acids, are much less toxic than ionic species. Aluminum is often considered to be the most toxic chemical factor in acidic soils and aquatic habitats.

Bauxite is a naturally occurring, heterogeneous mixture of aluminum hydroxide minerals. Often extracted by strip mining, bauxite is then mixed with industrial strength and highly caustic sodium hydroxide under high temperature ( $200^\circ\text{C}$  [ $392^\circ\text{F}$ ]) and pressure to reveal aluminate. During filtering, the ferruginous red mud or red sludge is removed to evaporation tanks or reservoirs. A gibbsite precipitate then forms as the filtered solution cools. The gibbsite is then purified and heated again to form aluminum oxide. The ferruginous red mud or red sludge typically has high concentrations of caustic and alkaline sodium hydroxide, mixed with variable amount of silicon and titanium. The sludge may also be slightly radioactive and can contain varying concentrations of heavy metals such as arsenic, lead, cadmium, and chromium. The failure of an industrial containment reservoir in Hungary in 2010 created a destructive flood of highly alkaline red sludge that ultimately flowed into the Danube River.

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## Amazon basin

The Amazon basin, the region of South America drained by the Amazon River, represents the largest area of tropical rain forest in the world. Extending across nine different countries and covering an area of 2.3 million square miles (6 million square km), the Amazon basin contains the greatest abundance and diversity of life anywhere on Earth. Tremendous numbers of plant and animal species that occur there have yet to be discovered or properly named by scientists, as this area has only begun to be explored by competent researchers.

It is estimated that the Amazon basin contains over 20 percent of all higher plant species on Earth, as well as about 20 percent of all birdlife and 10 percent of all mammals. More than 2,000 known species of freshwater fishes live in the Amazon River and represent about 8 percent of all fishes on the planet, both freshwater and marine. This number of species is about three times the entire ichthyofauna of North America and almost ten times that of Europe. The most astonishing numbers, however, come from the river basin’s insects. Every expedition to the Amazon basin yields countless new species of insects, with some individual trees in the tropical forest providing scientists with hundreds of undescribed forms. Insects represent about three-fourths of all animal life on Earth, yet biologists believe the 750,000 species that have already been scientifically named account for less than 10 percent of all insect life that exists.

However incredible these examples of biodiversity are, they may soon be destroyed as the rampant deforestation in the Amazon basin continues. Much of this destruction is directly attributable to human population growth. The number of people who have settled in the Amazonian uplands of Colombia and Ecuador has



**Aerial view of the lower Amazon River with floodplain grasslands (Campos de varzea) in Para, Brazil.** (*Jacques Jangoux/Photo Researchers, Inc.*)

increased by 600 percent over the past forty years, and this has led to the clearing of over 65 percent of the region's forests for agriculture. In 1970, the percentage of the Amazon rainforest that was deforested or degraded was about 1 percent compared with the 2005 estimate of 16–20 percent. The mean annual deforestation rate in the Amazon rainforest from 2000 to 2005 was substantially higher than in the previous five years. Such rates would mean that in two decades the entire forest will be reduced by 40 percent, which is of significant concern to environmentalists.

In Brazil and other parts of the Amazon basin, cattle ranchers, plantation owners, and small landowners clear the forest by setting it on fire (termed “slash-and-burn” agriculture), which causes an estimated 23–43 percent increase in carbon dioxide (CO<sub>2</sub>) levels worldwide and spreads smoke over millions of square miles. In Brazil, up to 70 percent of the deforestation is tied to cattle ranching. In the past, large governmental subsidies and tax incentives have encouraged this practice, which had little or no financial success and caused widespread environmental damage.

Tropical soils rapidly lose their fertility, and this land degradation allows only limited annual meat production. It is often only 300 pounds (136 kg) per acre, compared to over 3,000 pounds (1,360 kg) per acre in North America.

Further damage to the tropical forests of the Amazon basin is linked to commercial logging. Although only five of the approximately 1,500 tree species of the region are extensively logged, tremendous damage is done to the surrounding forest as these are selectively removed. When loggers build roads to move in heavy equipment, they may damage or destroy half of the trees in a given area.

The deforestation taking place in the Amazon basin has a wide range of environmental effects. The clearing and burning of vegetation produces smoke or air pollution, which at times has been so abundant that it is clearly visible from space. Removal of trees, which are significant carbon sinks, decreases the amount of carbon dioxide that can be extracted from the air, and in the case of slash-and-burn methods, the carbon dioxide that was stored in the tree biomass is released

to the atmosphere during combustion. The result of deforestation is an accumulation of carbon dioxide in the air that can lead to climate change. Global rising surface and ocean temperatures and deforestation are contributing to climate change and inducing extreme changes in weather patterns. Flooding in the Amazon basin in 2009 left over 400,000 people homeless. Clearing also leads to increased soil erosion after heavy rains, and can result in water pollution through siltation as well as increased water temperatures from increased exposure. Yet the most alarming, and definitely the most irreversible, environmental problem facing the Amazon basin is the loss of biodiversity. Through the irrevocable process of extinction, this may cost humanity more than the loss of species. It may cost them the loss of potential discoveries of medicines and other beneficial products derived from these species. As of early 2009, the Brazilian government had added 19.3 million acres (7.8 million ha) of the Amazon rainforest as protected areas to ensure conservation of biodiversity and prevention of climate change.

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## Ambient air

Ambient air is the air, external to buildings and other enclosures, found in the lower atmosphere over a given area, usually near the surface. Air pollution standards normally refer to ambient air.

## Amenity value

Amenity value is the value something has because of the pleasant feelings it generates to those who use or view it. This value is often used in cost-benefit analysis, particularly in shadow pricing, to determine the worth of natural resources that will not be harvested for economic gain. A virgin forest will have amenity value, but its value will decrease if the forest is harvested.

American alligator see **Alligator, American**.

## American box turtle

Box turtles are in the Order Chelonia, Family Emydidae, and genus *Terrapene*. There are two major species in the United States: *carolina* (Eastern box turtle) and *ornata* (Western or ornate box turtle).

Box turtles are easily recognized by their dome-shaped upper shell (carapace) and by their lower shell (plastron), which is hinged near the front. This hinging allows them to close up tightly into the "box" when in danger (hence their name).

Box turtles are fairly small, having an adult maximum length of 4 to 7 inches (10–18 cm). Their range is restricted to North America, with the Eastern species located over most of the eastern United States and the Western species located in the central and southwestern United States and into Mexico, but not as far west as California. Both species are highly variable in coloration and pattern, ranging from a uniform tan to dark brown or black, with yellow spots or streaks. They prefer a dry habitat such as woodlands, open brushlands or prairie. They typically inhabit sandy soil, but are sometimes found in springs or ponds during hot weather. During the winter, they hibernate in the soil below the frost line, often as deep as 2 feet (60 cm). Their home range is usually fairly small, and they often live within areas less than 300 square yards (240 m<sup>2</sup>).



**Eastern box turtle.** (Photograph by Robert Huffman. *Fieldmark Publications*)

Box turtles are omnivorous, feeding on living and dead insects, earthworms, slugs, fruits, berries (particularly blackberries and strawberries), leaves, and mushrooms. They have been known to ingest some mushrooms which are poisonous to humans, and there have been reports of people eating box turtles and getting sick. Other than this, box turtles are harmless to humans and are commonly collected and sold as pets (although this should be discouraged because they are now a threatened species). They can be fed raw hamburger, canned pet food, or leafy vegetables.

Box turtles normally live as long as thirty to forty years. Some have been reported with a longevity of more than 100 years, and this makes them the longest-lived land turtle. They are active from March until November and are diurnal, usually being more active in the early morning. During the afternoons they typically seek shaded areas. They breed during the spring and autumn, and the females build nests from May until July, typically in sandy soil where they dig a hole with their hind feet. The females can store sperm for several years. They typically hatch three to eight eggs that are elliptically-shaped and about 1.5 inches (4 cm) in diameter. Male box turtles have a slight concavity in their plastron that aids in mounting females during copulation. All four toes on the male's hind feet are curved, which aids in holding down the posterior portion of the female's plastron during copulation. Females have flat plastrons, shorter tails, and yellow or brown eyes. Most males have bright red or pink eyes. The upper jaw of both sexes ends in a down-turned beak.

Predators of box turtles include skunks, raccoons, foxes, snakes, and other animals. Native American

Indians used to eat box turtles and incorporated their shells into their ceremonies as rattles.

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John Korstad

## American Cetacean Society

The American Cetacean Society (ACS), located in San Pedro, California, is dedicated to the protection of whales and other cetaceans, including dolphins and porpoises. Principally an organization of scientists and teachers (though its membership does include students and laypeople) the ACS was founded in 1967 and claims to be the oldest whale conservation group in the world.

The ACS argues that the best protection for whales, dolphins, and porpoises is better public awareness about "these remarkable animals and the problems they face in their increasingly threatened habitat." The organization is committed to political action through education, and much of its work has been in improving communication between marine scientists and the general public.

The ACS has developed several educational resource materials on cetaceans, which are widely available for use in classrooms. There is a cetacean research library at the national headquarters in San Pedro, California, and the organization responds to thousands of inquiries every year. The ACS supports marine mammal research and sponsors a biennial conference on whales. It also assists in conducting whale-watching tours.

The organization also engages in more traditional and direct forms of political action. A representative in Washington, DC, monitors legislation that might affect cetaceans, attends hearings at government agencies, and participates as a member of the International Whaling Commission. The ACS also networks with other conservation groups. In addition, the ACS directs letter-writing campaigns, sending out "Action Alerts" to

citizens and politicians. The organization is currently emphasizing the threats to marine life posed by oil spills, toxic wastes from industry and agriculture, the use of sound and sonar equipment, and particular fishing practices (including commercial whaling).

The ACS publishes a national newsletter on whale research, conservation, and education, called *Spyhopper*, and a quarterly journal of scientific articles on the same subjects, called *Whalewatcher*.

## Resources

### ORGANIZATIONS

American Cetacean Society, PO Box 1391, San Pedro, CA, USA, 90733, (310) 548-6279, (310) 548-6950, [acsoffice@acsonline.org](mailto:acsoffice@acsonline.org), <http://www.acsonline.org>

Douglas Smith

## American Committee for International Conservation

The American Committee for International Conservation (ACIC), located in Washington, DC, is an association of nongovernmental organizations (NGOs) that is concerned about international conservation issues. The ACIC, founded in 1930, includes twenty-one member organizations. It represents conservation groups and individuals in forty countries. While ACIC does not fund conservation research, it does promote national and international conservation research activities. Specifically, ACIC promotes conservation and preservation of wildlife and other natural resources, and encourages international research on the ecology of endangered species.

Formerly called the American Committee for International Wildlife Protection, ACIC assists the International Union for Conservation of Nature (IUCN), an independent organization of nations, states, and NGOs, in promoting natural resource conservation. ACIC also coordinates its members' overseas research activities.

Member organizations of the ACIC include the African Wildlife Leadership Foundation, National Wildlife Federation, World Wildlife Fund, Caribbean Conservation Corporation, National Audubon Society, Natural Resources Defense Council, Nature Conservancy, International Association of Fish and Wildlife Agencies, and National Parks and Conservation Association. Members also include The Conservation Foundation, International

Institute for Environment and Development; Massachusetts Audubon Society; Chicago Zoological Society; Wildlife Preservation Trust; Wildfowl Trust; School of Natural Resources, University of Michigan; World Resources Institute; Global Tomorrow Coalition; and The Wildlife Society.

ACIC holds no formal meetings or conventions, nor does it publish magazines, books, or newsletters. Contact: American Committee for International Conservation, c/o Center for Marine Conservation, 1725 DeSales Street, NW, Suite 500, Washington, DC 20036.

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Linda Rehkopf

## American Farmland Trust

Headquartered in Washington, DC, the American Farmland Trust (AFT) is an advocacy group for farmers and farmland. It was founded in 1980 to help reverse or at least slow the rapid decline in the number of productive acres nationwide, and it is particularly concerned with protecting land held by private farmers. The principles that motivate the AFT are perhaps best summarized in a line from William Jennings Bryan of the late nineteenth-early twentieth-century period that

the organization has often quoted: “Destroy our farms, and the grass will grow in the streets of every city in the country.”

Over 1 million acres (404,700 ha) of farmland in the United States is lost each year to development, according to the AFT, and in Illinois one and a half bushels of topsoil are lost for every bushel of corn produced. The AFT argues that such a decline poses a serious threat to the future of the American economy. As farmers are forced to cultivate increasingly marginal land, food will become more expensive, and the United States could become a net importer of agricultural products, damaging its international economic position. The organization believes that a declining farm industry would also affect American culture, depriving the country of traditional products such as cherries, cranberries, and oranges and imperiling a sense of national identity that is still in many ways agricultural.

The AFT works closely with farmers, business people, legislators, and environmentalists “to encourage sound farming practices and wise use of land.” The group directs lobbying efforts in Washington, DC, working with legislators and policymakers and frequently testifying at congressional and public hearings on issues related to farming. In addition to mediating between farmers and state and federal government, the trust is also involved in political organizing at the grassroots level, conducting public opinion polls, contesting proposals for incinerators and toxic waste sites, and drafting model conservation easements. They conduct workshops and seminars across the country to discuss farming methods and soil conservation programs, and they worked with the state of Illinois to establish the Illinois Sustainable Agriculture Society. The group produces kits for distribution to schoolchildren in both rural and urban areas called “Seed for the Future,” which teach the benefits of agriculture and help each child grow a plant.

The AFT has a reputation for innovative and determined efforts to realize its goals, and former Secretary of Agriculture John R. Block has said that “this organization has probably done more than any other to preserve the American farm.” The foundation claims that between 1980 (the year it was founded) and 2010, it has “helped to save more than three million acres of farmland and led the way for the adoption of conservation practices on millions more.” The AFT continues to battle urban sprawl in areas such as California’s Central Valley and Berks County, Pennsylvania, as well as working to support farms in states such as Vermont, which are threatened not so much by development but by a poor agricultural economy. The AFT promotes a

wetland policy that is fair to farmers, while meeting environmental standards, and won a national award from the Soil and Water Conservation Society for its publication *Does Farmland Protection Pay?*

The AFT publishes a quarterly magazine called *American Farmland*, a newsletter called *Farmland Update*, and a variety of brochures and pamphlets that offer practical information on soil erosion, the cost of community services, and estate planning. They also distribute videos, including *The Future of America’s Farmland*, which explains the sale and purchase of development rights. In March 2010 the AFT sponsored its first-ever *No Farms No Food* rally in Albany, New York, the state’s capital. A variety of groups were represented at the rally, including farmers, conservationists, and food advocates. Topics addressed included the loss of farmland to urban sprawl, the need to help farmers keep the water supply clean, and the economic benefits of locally grown food. Some 100 New York state legislators met with various advocacy leaders as part of the *No Farms No Food* rally.

## Resources

### ORGANIZATIONS

The American Farmland Trust (AFT), 1200 18th Street, NW, Suite 800, Washington, DC, USA, 20036, (202) 331-7300, (202) 659-8339, [info@farmland.org](mailto:info@farmland.org), <http://www.farmland.org>

Douglas Smith

## American Forests

Located in Washington, DC, American Forests was founded in 1875, during the early days of the American conservation movement, to encourage forest management. Originally called the American Forestry Association, the organization was renamed in the latter part of the twentieth century. The group is dedicated to promoting the wise and careful use of all natural resources, including soil, water, and wildlife, and it emphasizes the social and cultural importance of these resources as well as their economic value.

Although benefiting from increasing national and international concern about the environment, American Forests takes a balanced view on preservation, and it has worked to set a standard for the responsible harvesting and marketing of forest products. American Forests sponsors the Trees for People program, which is designed to help meet the national demand for wood

and paper products by increasing the productivity of private woodlands. It provides educational and technical information to individual forest owners and makes recommendations to legislators and policymakers in Washington, DC.

To draw attention to the greenhouse effect, American Forests inaugurated their Global ReLeaf program in October 1988. Global ReLeaf is what American Forests calls “a tree-planting crusade.” The message is, “Plant a tree, cool the globe,” and Global ReLeaf has organized a national campaign challenging Americans to plant millions of trees. American Forests has gained the support of government agencies and local conservation groups for this program, as well as many businesses, including such Fortune 500 companies as Texaco, McDonald’s, and Ralston-Purina. The goal of the project is to plant 100 million trees by the year 2020. By 2010, there had been 33 million trees planted, distributed throughout all fifty U.S. states, as well as in twenty other nations. Global ReLeaf also launched a cooperative effort with the American Farmland Trust called Farm ReLeaf, and it has also participated in the campaign to preserve Walden Woods in Massachusetts. American Forests has brought Global ReLeaf to eastern Europe, running a workshop in Budapest, Hungary, for environmental activists from many former communist countries.

American Forests has been extensively involved in the controversy over the preservation of old-growth forests in the American Northwest. They have been working with environmentalists and representatives of the timber industry, and consistent with the history of the organization, American Forests is committed to a compromise that both sides can accept: “If we have to choose between preservation and destruction of old-growth forests as our only options, neither choice will work.” American Forests supports an approach to forestry known as *New Forestry*, where the priority is no longer the quantity of wood or the number of board feet that can be removed from a site, but the vitality of the ecosystem the timber industry leaves behind. The organization advocates the establishment of an Old Growth Reserve in the Pacific Northwest, which would be managed by the principles of New Forestry under the supervision of a Scientific Advisory Committee.

American Forests publishes the *National Registry of Big Trees*, which celebrated its seventieth anniversary in 2010. The registry is designed to encourage the appreciation of some of the largest and oldest (and oftentimes, most unusual) trees in the United States. The group also publishes *American Forests*, a

bimonthly magazine, and *Resource Hotline*, a biweekly newsletter, as well as *Urban Forests: The Magazine of Community Trees*. It presents the Annual Distinguished Service Award, the John Aston Warder Medal, and the William B. Greeley Award, among others. According to its 2008 annual report, American Forests had revenue in 2008 of \$5,186,515 (including gifts, donations, and membership fees).

## Resources

### ORGANIZATIONS

American Forests, PO Box 2000, Washington, DC, USA, 20013, (202) 955-4500, (202) 955-4588, info@amfor.org, <http://www.americanforests.org>

Douglas Smith

## American Indian Environmental Office

The American Indian Environmental Office (AIEO) was created to increase the quality of public health and environmental protection on Native American land and to expand tribal involvement in running environmental programs.

Native Americans are the second-largest landholders in the United States besides the government. Their land is often threatened by environmental degradation such as strip mining, clear-cutting, and toxic storage. The AIEO, with the help of former President Ronald Reagan’s Federal Indian Policy (January 24, 1983), works closely with the U.S. Environmental Protection Agency (EPA) to prevent further degradation of the land. The AIEO has received grants from the EPA for environmental cleanup and obtained a written policy that requires the EPA to continue with the trust responsibility, a clause expressed in certain treaties that requires the EPA to notify the tribe when performing any activities that may affect reservation lands or resources. This involves consulting with tribal governments, providing technical support, and negotiating EPA regulations to ensure that tribal facilities eventually comply.

The pollution of Dine Reservation land is an example of an environmental injustice that the AIEO wants to prevent in the future. The reservation has over 1,000 abandoned uranium mines that leak radioactive contaminants and is also home to the largest coal strip mine in the world. The cancer rate for the Dine people is seventeen times the national average. To help tribes

with pollution problems similar to the Dine, several offices now exist that handle specific environmental projects. They include the Office of Water, Air, Environmental Justice, Pesticides and Toxic Substances; Performance Partnership Grants; Solid Waste and Emergency Response; and the Tribal Watershed Project. Each of these offices reports to the National Indian Headquarters in Washington, DC.

At the Rio Earth Summit in 1992, the Biodiversity Convention was drawn up to protect the diversity of life on the planet. Many Native American groups believe that the convention also covered the protection of indigenous communities, including Native American land. In addition, the groups demand that prospecting by large companies for rare forms of life and materials on their land must stop.

### Tribal environmental concerns

Tribal governments face both economic and social problems dealing with the demand for jobs, education, health care, and housing for tribal members. Often the reservations' largest employer is the government, which owns the stores, gaming operations, timber mills, and manufacturing facilities. Therefore, the government must deal with the conflicting interests of protecting both economic and environmental concerns. Many tribes are becoming self-governing and manage their own natural resources along with claiming the reserved right to use natural resources on portions of public land that border their reservation. As a product of the reserved treaty rights, Native Americans can use water, fish, and hunt anytime on nearby federal land.

Robert Belcourt, Chippewa-Cree tribal member and director of the Natural Resources Department in Montana stated:

“We have to protect nature for our future generations. More of our Indian people need to get involved in natural resource management on each of our reservations. In the long run, natural resources will be our bread and butter by our developing them through tourism and recreation and just by the opportunity they provide for us to enjoy the outdoor world.”

Belcourt has fought to destroy the negative stereotypes of conservation organizations that exist among Native Americans who believe, for example, that conservationists are extreme tree huggers and insensitive to Native American culture. These stereotypes are a result of cultural differences in philosophy, perspective, and communication. To work together effectively, tribes and conservation groups need to learn about one another's cultures, and this means they must listen both at meetings and in one-on-one exchanges.

The AIEO also addresses the organizational differences that exist between tribal governments and conservation organizations, such as differences in style, motivation, and the pressures each group faces. Pressures on the Wilderness Society, for example, include fending off attempts in Washington, DC, to weaken key environmental laws or securing members and raising funds. Pressures on tribal governments more often are economic and social in nature and have to do with the need to provide jobs, health care, education, and housing for tribal members. Because tribal governments are often the reservations' largest employers and may own businesses such as gaming operations, timber mills, manufacturing facilities, and stores, they function as both governors and leaders in economic development.

Native Americans currently occupy and control over 52 million acres (21.3 million ha) in the continental United States and 45 million more acres (18.5 million ha) in Alaska, yet this is only a small fraction of their original territories.

In the nineteenth century, many tribes were confined to reservations that were perceived to have little economic value, although valuable natural resources have subsequently been found on some of these lands. Pointing to their treaties and other agreements with the federal government, many tribes assert that they have reserved rights to use natural resources on portions of public land.

In previous decades these natural resources on tribal lands were managed by the Bureau of Indian Affairs (BIA). Now many tribes are becoming self-governing and are taking control of management responsibilities within their own reservation boundaries. In addition, some tribes are pushing to take back management over some federally-managed lands that were part of their original territories. For example, the Confederated Salish and Kootenai tribes of the Flathead Reservation are taking steps to assume management of the National Bison Range, which lies within the reservation's boundaries and is, as of 2010, managed by the U.S. Fish and Wildlife Service.

Another issue concerns Native American rights to water. There are legal precedents that support the practice of reserved rights to water that are within or bordering a reservation. In areas where tribes fish for food, mining pollution has been a continuous threat to maintaining clean water. Mining pollution is monitored, but the amount of fish that Native Americans consume is higher than the government acknowledges when setting health guidelines for their consumption. This is why the AIEO is asking that stricter regulations be imposed on

mining companies. As tribes increasingly exercise their rights to use and consume water and fish, their roles in natural resource debates will increase.

Many tribes are establishing their own natural resource management and environmental quality protection programs with the help of the AIEO. Tribes have established fisheries, wildlife, forestry, water quality, waste management, and planning departments. Some tribes have prepared comprehensive resource management plans for their reservations while others have become active in the protection of particular species. The AIEO is uniting tribes in their strategy and involvement level with improving environmental protection on Native American land.

## Resources

### ORGANIZATIONS

American Indian Environmental Office, 1200 Pennsylvania Avenue, NW, Washington, DC, USA, 20460, (202) 564-0303, (202) 564-0298, <http://www.epa.gov/aieo/index.htm>

Nicole Beatty

## American Oceans Campaign

Located in Los Angeles, California, the American Oceans Campaign (AOC) was founded in 1987 as a political interest group dedicated primarily to the restoration, protection, and preservation of the health and vitality of coastal waters, estuaries, bays, wetlands, and oceans. In 2002, the group joined other groups to form Oceana, a nonprofit environmental advocacy group. The focus of this partnership is the Oceans at Risk program that concentrates on the impact that wasteful fisheries have on the marine environment.

More national and conservationist (rather than international and preservationist) in its focus than other groups with similar concerns, the AOC tended to view the oceans as a valuable resource whose use should be managed carefully. As cofounder Ted Danson asserted, the oceans must be regarded as far more than a natural preserve by environmentalists; rather, healthy oceans “sustain biological diversity, provide us with leisure and recreation, and contribute significantly to our nation’s GNP.”

The AOC’s main political efforts reflected this focus. Central to the AOC’s lobbying strategy was a

desire to build cooperative relations and consensus among the general public, public interest groups, private sector corporations and trade groups, and governmental authorities around responsible management of ocean resources. As Oceana, the group continues AOC’s interests in grassroots public awareness campaigns through mass media and community outreach programs.

As a lobbying organization, the AOC developed contacts with government leaders at all levels from local to national, attempting to shape and promote a variety of legislation related to clean water and oceans. It was active in lobbying for strengthening various aspects of the Clean Water Act, the Safe Drinking Water Act, the Oil Pollution Act, and the Ocean Dumping Ban Act. The AOC regularly provided consultation services, assistance in drafting legislation, and occasional expert testimony on matters concerning ocean ecology.

Also very active at the grassroots level, AOC organized numerous cleanup operations, which drew attention to the problems caused by ocean dumping and made a practical contribution to reversing the situation. Concentrating its efforts in California and the Pacific Northwest, the AOC launched a “Dive for Trash” program in 1991.

Lawrence J. Biskowski

## American Wildlands

American Wildlands (AWL) is a nonprofit wildland resource conservation and education organization founded in 1977. AWL is dedicated to protecting and promoting proper management of America’s publicly-owned wild areas and to securing wilderness designation for public land areas. The organization has played a key role in gaining legal protection for many wilderness and river areas in the U.S. interior West and in Alaska.

Founded as the American Wilderness Alliance, AWL is involved in a wide range of wilderness resource issues and programs including timber management policy reform, habitat corridors, rangeland management policy reform, riparian and wetlands restoration, and public land management policy reform. AWL promotes ecologically sustainable uses of public wildlands resources including forests, wilderness, wildlife, fisheries, and rivers. It pursues this mission through

grassroots activism, technical support, public education, litigation, and political advocacy.

AWL maintains three offices: the central Rockies office in Lakewood, Colorado; the northern Rockies office in Bozeman, Montana; and the Sierra-Nevada office in Reno, Nevada. The organization's 2009 budget from income and other revenue (such as donations) was about \$580,000.

The central Rockies office in Bozeman considers its main concern timber management reform. It has launched the Timber Management Reform Policy Program, which monitors the U.S. Forest Service and works toward a better management of public forests. Since initiation of the program in 1986, the program includes resource specialists, a wildlife biologist, forester, water specialist, and an aquatic biologist who all report to an advisory council. A major victory of this program was stopping the sale of 4.2 million board feet (1.3 million m) of timber near the Electric Peak Wilderness Area.

Other programs coordinated by the central Rockies office include: (1) *Corridors of Life Program*, which identifies and maps wildlife corridors, land areas essential to the genetic interchange of wildlife that connect roadless lands or other wildlife habitat areas. Areas targeted are in the interior West, such as Montana, North and South Dakota, Wyoming, and Idaho. The AWL contributed to the language embodied in a 2007 resolution—the *Wildlife Corridors Policy Initiative*—approved by the Western Governors' Association, which is composed of the governors of nineteen western U.S. states. The resolution aims to conserve various wildlife corridors in the western United States; (2) The *Rangeland Management Policy Reform Program* monitors grazing allotments and files appeals as warranted. An education component teaches citizens to monitor grazing allotments and to use the appeals process within the U.S. Forest Service and Bureau of Land Management; (3) The *Recreation-Conservation Connection*, through newsletters and travel-adventure programs, teaches the public how to enjoy the outdoors without destroying nature. Many hundreds of travelers have participated in ecotourism trips through AWL.

AWL is also active internationally. The AWL/Leakey Fund has aided Richard Leakey's wildlife habitat conservation and elephant poaching elimination efforts in Kenya. A partnership with the Island Foundation has helped fund wildlands and river protection efforts in Patagonia, Argentina. AWL also is an active member of Canada's Tatshenshini International Coalition to protect that river and its 2.3 million acres (930,780 ha) of wilderness.

## Resources

### ORGANIZATIONS

American Wildlands, 40 East Main #2, Bozeman, MT, USA, 59715, (406) 586-8175, (406) 586-8242, info@wildlands.org, http://www.wildlands.org

Linda Rehkopf

## Ames test

A laboratory test developed by biochemist Bruce N. Ames to determine the possible carcinogenic nature of a substance, the Ames test involves using a particular strain of the bacteria *Salmonella typhimurium* that lacks the ability to synthesize histidine and is therefore very sensitive to mutation. The bacteria are inoculated into a medium deficient in histidine but containing the test compound. If the compound results in deoxyribonucleic acid (DNA) damage with subsequent mutations, some of the bacteria will regain the ability to synthesize histidine and will proliferate to form colonies. The culture is evaluated on the basis of the number of mutated bacterial colonies it produced. The ability to replicate mutated colonies leads to the classification of a substance as probably carcinogenic.

The Ames test is a test for mutagenicity, not carcinogenicity. However, approximately nine out of ten mutagens are indeed carcinogenic. Therefore, a substance that can be shown to be mutagenic by being subjected to the Ames test can be reliably classified as a suspected carcinogen and thus recommended for further study.

## Resources

### BOOKS

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Brian R. Barthel

## Amoco Cadiz

This shipwreck in March 1978 off the Brittany coast was the first major supertanker accident since the *Torrey Canyon* eleven years earlier. Ironically, this spill, more than twice the size of the *Torrey Canyon*,



Off the coast of Portsall, the prow of the *Amoco Cadiz* sticks out of the water like a giant shark. (© Vauthey Pierre/Corbis Sygma)

blackened some of the same shores and was one of four substantial oil spills occurring there since 1967. It received great scientific attention because it occurred near several renowned marine laboratories.

The cause of the wreck was a steering failure as the ship entered the English Channel off the northwest Brittany coast, and failure to act swiftly enough to correct it. During the next twelve hours, the *Amoco Cadiz* could not be extricated from the site. In fact, three separate lines from a powerful tug broke trying to remove the tanker before it drifted onto rocky shoals. Eight days later the *Amoco Cadiz* split in two.

Seabirds seemed to suffer the most from the spill, although the oil devastated invertebrates within the extensive, 20 to 30 feet (6-9 m) high intertidal zone. Thousands of birds died in a bird hospital described by one oil spill expert as a bird morgue. Thirty percent of France's seafood production was threatened, as well as an extensive kelp crop, harvested for fertilizer, mulch, and livestock feed. However, except on oyster farms located in inlets, most of the impact was restricted to the few months following the spill.

In an extensive journal article, Erich Grundlach and others reported studies on where the oil went and summarized the findings of biologists. Of the 245,300 tons (223,000 metric tons) released, 13.5 percent was incorporated within the water column, 8 percent went into subtidal sediments, 28 percent washed into the intertidal zone, 20-40 percent evaporated, and 4 percent was altered while at sea. Much research was done on chemical changes in the hydrocarbon fractions over time, including that taken up within organisms. Researchers found that during early phases, biodegradation was occurring as rapidly as evaporation.

The cleanup efforts of thousands of workers were helped by storm and wave action that removed much of the stranded oil. High-energy waves maintained an adequate supply of nutrients and oxygenated water, which provided optimal conditions for biodegradation. This is important because most of the biodegradation was done by aerobic organisms. Except for protected inlets, much of the impact was gone three years later, but some effects were expected to last a decade.

## Resources

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Nathan H. Meleen

## Amory, Cleveland

1917–1998

American Activist and writer

Amory was known both for his series of classic social history books and his work with the Fund for Animals. Born in Nahant, Massachusetts, to an old Boston family, Amory attended Harvard University, where he became editor of *The Harvard Crimson*.

Amory was hired by *The Saturday Evening Post* after graduation, becoming the youngest editor ever to join that publication. He worked as an intelligence officer in the United States Army during World War II, and in the years after the war, wrote a trilogy of social commentary books, now considered to be classics. *The Proper Bostonians* was published to critical acclaim in 1947, followed by *The Last Resorts* (1948), and *Who Killed Society?* (1960), all of which became best sellers.



**Author Cleveland Amory poses at Black Beauty Ranch July 24, 1991, in New York City. Amory was a social historian, television critic, and animal rights advocate. (Photo by Paul S. Howell/Liaison)**

Beginning in 1952, Amory served for eleven years as social commentator on NBC's "The Today Show." The network fired him after he spoke out against cruelty to animals used in biomedical research. From 1963 to 1976, Amory served as a senior editor and columnist for *Saturday Review* magazine, while doing a daily radio commentary titled "Curmudgeon-at-Large." He was also chief television critic for *TV Guide*, where his biting attacks on sport hunting angered hunters and generated bitter but unsuccessful campaigns to have him fired.

In 1967, Amory founded The Fund for Animals in his words, "to speak for those who can't," and served as its unpaid president. Animal protection became his passion and his life's work, and he was considered one of the most outspoken and provocative advocates of animal welfare. Under his leadership, the fund became a highly activist and controversial group, engaging in such activities as confronting hunters of whales and seals, and rescuing wild horses, burros, and goats. The fund, and Amory in particular, are well-known for their campaigns against sport hunting and trapping, the fur industry, abusive research on animals, and other activities and industries that engage in or encourage what they consider cruel treatment of animals.

In 1975, Amory published *ManKind? Our Incredible War on Wildlife*, using humor, sarcasm, and graphic rhetoric to attack hunters, trappers, and other exploiters of wild animals. The book was praised by *The New York Times* in a rare editorial. His next book, *AniMail* (1976), discussed animal issues in a question-and-answer format. In 1987, he wrote *The Cat Who Came for Christmas*, a book about a stray cat he rescued from the streets of New York, which became a national best seller. This was followed in 1990 by its sequel, also a best seller, *The Cat and the Curmudgeon*. Amory had been a senior contributing editor of *Parade* magazine since 1980, where he often profiled famous personalities.

Amory died of an aneurysm at the age of 81 on October 14, 1998. He remained active until his death, spending the day in his office at the Fund for Animals and then passing away in his sleep later that evening.

See also Fund for Animals.

## Resources

### BOOKS

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Lewis G. Regenstein

## Anaerobic

Anaerobic refers to an environment lacking in molecular oxygen (O<sub>2</sub>), or to an organism, tissue, chemical reaction, or biological process that does not require oxygen. Anaerobic organisms can use a molecule other than O<sub>2</sub> as the terminal electron acceptor in respiration. These organisms can be either obligate, meaning that they cannot use O<sub>2</sub>, or facultative, meaning that they do not require oxygen but can use it if it is available.

Organic matter decomposition in poorly aerated environments, including water-logged soils, septic tanks, and anaerobically-operated waste treatment facilities, produces large amounts of methane gas. The methane can become an atmospheric pollutant, or it may be captured and used for fuel, as in biogas-powered electrical generators.

## Anaerobic digestion

Anaerobic digestion refers to the biological degradation of sludge or solid waste under anaerobic conditions (i.e., an environment lacking oxygen). In the digestive process, solids are converted to noncellular end products.

In the anaerobic digestion of sludges, the goals are to reduce sludge volume, ensure the remaining solids are chemically stable, reduce disease-causing pathogens, and enhance the effectiveness of subsequent dewatering methods, sometimes recovering methane as a source of energy. Anaerobic digestion is commonly used to treat sludges that contain primary sludges, such as that from the first settling basins in a wastewater treatment plant, because the process is capable of stabilizing the sludge with little biomass production, a significant benefit over aerobic sludge digestion, which would yield more biomass in digesting the relatively large amount of biodegradable matter in primary sludge.

The microorganisms responsible for digesting the sludges anaerobically are often classified in two groups: the acid formers and the methane formers. The acid formers are microbes that create, among others, acetic and propionic acids from the sludge. These chemicals generally make up about one-third of the by-products initially formed based on a chemical oxygen demand (COD) mass balance; some of the propionic and other acids are converted to acetic acid.

The methane formers convert the acids and by-products resulting from prior metabolic steps (e.g., alcohols, hydrogen, carbon dioxide) to methane. Often, approximately 70 percent of the methane formed is derived from acetic acid, about 10-15 percent from propionic acid.

Anaerobic digesters are designed as either standard- or high-rate units. The standard-rate digester has a solids retention time of thirty to ninety days, as opposed to ten to twenty days for the high-rate systems. The volatile solids loadings of the standard- and high-rate systems are in the area of 0.5 to 1.6 and 1.6 to 6.4 Kg/m<sup>3</sup>/d, respectively. The amount of sludge introduced into the standard-rate is therefore generally much less than the high-rate system. Standard-rate digestion is accomplished in single-stage units, meaning that sludge is fed into a single tank and allowed to digest and settle. High-rate units are often designed as two-stage systems in which sludge enters into a completely mixed first stage that is mixed and heated to approximately 98°F (35°C) to speed digestion. The second-stage digester, which separates digested sludge from the overlying liquid and scum, is not heated or mixed.

With the anaerobic digestion of solid waste, the primary goal is generally to produce methane, a valuable source of fuel that can be burned to provide heat or used to power motors. There are basically three steps in the process. The first involves preparing the waste for digestion by sorting the waste and reducing its size. The second consists of constantly mixing the sludge, adding moisture, nutrients, and pH neutralizers while heating it to about 143°F (60°C) and digesting the waste for a week or longer. In the third step, the generated gas is collected and sometimes purified, and digested solids are disposed of. For each pound of undigested solid, about 8 to 12 cubed feet (0.24-0.36 cubic m) of gas is formed, of which about 60 percent is methane.

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Gregory D. Boardman

## Anemia

Anemia is a medical condition in which the red cells of the blood are reduced in number or volume or are deficient in hemoglobin, their oxygen-carrying pigment. Almost 100 different varieties of anemia are known. Iron deficiency is the most common cause of anemia worldwide. Other causes of anemia include ionizing radiation, lead poisoning, vitamin B<sub>12</sub> deficiency, folic acid deficiency, certain infections, and pesticide exposure. Some 350 million people worldwide—mostly women of child-bearing age—suffer from anemia.

The most noticeable symptom is pallor of the skin, mucous membranes, and nail beds. Symptoms of tissue oxygen deficiency include pulsating noises in the ear, dizziness, fainting, and shortness of breath. The treatment varies greatly depending on the cause and diagnosis, but may include supplying missing nutrients, removing toxic factors from the environment, improving the underlying disorder, or restoring blood volume with transfusion.

Aplastic anemia is a disease in which the bone marrow fails to produce an adequate number of blood cells. It is usually acquired by exposure to certain drugs, to toxins such as benzene, or to ionizing radiation. Aplastic anemia from radiation exposure is well-documented from the Chernobyl experience. Bone marrow changes typical of aplastic anemia can occur several years after the exposure to the offending agent has ceased.

Aplastic anemia can manifest itself abruptly and progress rapidly; more commonly it is insidious and chronic for several years. Symptoms include weakness and fatigue in the early stages, followed by headaches, shortness of breath, fever, and a pounding heart. Usually a waxy pallor and hemorrhages occur in the mucous membranes and skin. Resistance to infection is lowered and becomes the major cause of death. While spontaneous recovery occurs occasionally, the treatment of choice for severe cases is bone marrow transplantation.

Marie Curie, who discovered the element radium and did early research into radioactivity, died in 1934 of aplastic anemia, most likely caused by her exposure to ionizing radiation.

While lead poisoning, which leads to anemia, is usually associated with occupational exposure, toxic amounts of lead can leach from imported ceramic dishes. Other consumer products produced in the developing world also contain unacceptably high concentrations of lead. For instance, in a report issued on October 11, 2010, the International Tobacco Control (ITC)

Project found that Chinese cigarettes, when compared to Canadian cigarettes, contained three times the concentration of lead and other heavy metals. Additional environmental sources of lead exposure include old paint or paint dust, and drinking water pumped through lead pipes or lead-soldered pipes.

Cigarette smoke is known to cause an increase in the level of hemoglobin in smokers, which leads to an underestimation of anemia in smokers. Studies suggest that carbon monoxide (a by-product of smoking) chemically binds to hemoglobin, causing a significant elevation of hemoglobin values. Compensation values developed for smokers can now detect possible anemia.

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Linda Rehkopf

## Animal cancer tests

Cancer causes more loss of life-years than any other disease in the United States. According to a Centers for Disease Control and Prevention (CDC) report issued in January 2010, cancer and heart disease still accounted for nearly one-half (48.5 percent) of all U.S. deaths in 2007. However, many deaths from heart attack and stroke occur in the elderly. The loss of life-years of an eighty-five-year-old person (whose life expectancy at the time of his or her birth was between fifty-five and sixty) is, of course, zero. However, the loss of life-years of a child of ten who dies of a pediatric leukemia is between sixty-five to seventy years. This comparison of youth with the elderly is not meant in any way to demean the *value* that reasonable people place on the lives of the elderly. Rather, the comparison is made to emphasize the great decrease in life span due to malignancies, especially in the young.

The chemical causation of cancer is not a simple process. Many, perhaps most, chemical carcinogens do not in their usual condition have the potency to cause cancer. The noncancer causing form of the chemical is

called a “procarcinogen.” Procarcinogens are frequently complex organic compounds that the human body attempts to dispose of when ingested. Hepatic enzymes chemically change the procarcinogen in several steps to yield a chemical that is more easily excreted. The chemical changes result in modification of the procarcinogen (with no cancer forming ability) to the ultimate carcinogen (with cancer causing competence). Ultimate carcinogens have been shown to have a great affinity for deoxyribonucleic acid (DNA), ribonucleic acid (RNA), and cellular proteins, and it is the interaction of the ultimate carcinogen with the cell macromolecules that causes cancer. It is unfortunate indeed that one cannot look at the chemical structure of a potential carcinogen and predict whether or not it will cause cancer. There is no computer program that will predict what hepatic enzymes will do to procarcinogens and how the metabolized end product(s) will interact with cells.

Great strides have been made in the development of chemotherapeutic agents designed to cure cancer. The drugs have significant efficacy with certain cancers (these include but are not limited to pediatric acute lymphocytic leukemia, choriocarcinoma, Hodgkin’s disease, and testicular cancer), and some treated patients attain a normal life span. While this development is heartening, the cancers listed are, for the most part, relatively infrequent. More common cancers such as colorectal carcinoma, lung cancer, breast cancer, and ovarian cancer remain intractable with regard to treatment.

These several reasons are why animal testing is used in cancer research. The majority of Americans support the effort of the biomedical community to use animals to identify potential carcinogens with the hope that such knowledge will lead to a reduction of cancer prevalence. Similarly, they support efforts to develop more effective chemotherapy. Animals are used under terms of the Animal Welfare Act of 1966 and its several amendments. The Act designates that the U.S. Department of Agriculture is responsible for the humane care and handling of warm-blooded creatures and other animals used for biomedical research. The Act also calls for inspection of research facilities to ensure that adequate food, housing, and care are provided. It is the belief of many that the constraints of the law have enhanced the quality of biomedical research. Poorly maintained animals do not provide quality research. The law also has enhanced the care of animals used in cancer research.

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Robert G. McKinnell

## Animal Legal Defense Fund

Originally established in 1979 as Attorneys for Animal Rights, this organization changed its name to Animal Legal Defense Fund (ALDF) in 1984, and is known as the law firm of the animal rights movement. Their motto is “we may be the only lawyers on earth whose clients are all innocent.” ALDF contends that animals have a fundamental right to legal protection against abuse and exploitation. Hundreds of attorneys work for ALDF, and the organization has more than 100,000 supporting members who help the cause of animal rights by writing letters and signing petitions for legislative action. The members are also strongly encouraged to work for animal rights at the local level.

ALDF’s work is carried out in many places including research laboratories, large cities, small towns, and the wild. ALDF attorneys try to stop the use of animals in research experiments, and continue to fight for expanded enforcement of the Animal Welfare Act. ALDF also offers legal assistance to humane societies and city prosecutors to help in the enforcement of anti-cruelty laws and the exposure of veterinary malpractice. The organization attempts to protect wild animals from exploitation by working to place controls on trappers and sport hunters. In California, ALDF successfully stopped the hunting of mountain lions and black bears. ALDF is also active internationally bringing legal action against elephant poachers as well as against animal dealers who traffic in endangered species.

ALDF’s clear goals and swift action have resulted in many court victories. In 1992 alone, the organization won cases involving cruelty to dolphins, dogs, horses, birds, and cats. It has also blocked the importation of over 70,000 monkeys from Bangladesh for research

purposes, and has filed suit against the National Marine Fisheries Services to stop the illegal gray market in dolphins and other marine mammals. In 2009, the ALDF filed an amicus curiae brief in the case *U.S. v. Stevens*, the first animal cruelty case to come before the Supreme Court of the United States in fifteen years. In *Stevens*, the Court ruled that a federal law that prohibited the production, sale, and distribution of videos depicting animal cruelty violated the First Amendment's right to freedom of speech.

## Resources

### ORGANIZATIONS

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Cathy M. Falk

## Animal rights

Concerns about the way humans treat non-human animals has spawned a powerful social and political movement driven by the conviction that humans and certain animals are similar in morally significant ways, and that these similarities oblige humans to extend to those animals serious moral consideration, including rights. Though animal welfare movements, concerned primarily with humane treatment of pets, date back to the 1800s, modern animal rights activism, also called animal liberationism, has developed primarily out of concern about the use and treatment of domesticated animals in agriculture and in medical, scientific, and industrial research. The rapid growth in membership of animal rights organizations testifies to the increasing momentum of this movement. The leading animal rights group today, People for the Ethical Treatment of Animals (PETA), was founded in 1980 with 100 individuals; in 2010, it has over two million members. The nonprofit PETA, with about 300 employees, is headquartered in Norfolk, Virginia. According to its Web site: "Animals are not ours to eat. Animals are not ours to wear. Animals are not ours to experiment on. Animals are not ours to use for entertainment. Animals are not ours to abuse in any way." The animal rights activist movement has closely followed and used the work of modern philosophers who seek to establish a firm logical foundation for the extension of moral considerability beyond the human community into the animal community.

The nature of animals and appropriate relations between humans and animals have occupied Western thinkers for millennia. Traditional Western views, both religious and philosophical, have tended to deny that humans have any moral obligations to nonhumans. The rise of Christianity and its doctrine of personal immortality, which implies a qualitative gulf between humans and animals, contributed significantly to the dominant Western paradigm. When seventeenth-century philosopher René Descartes (1596–1650) declared animals mere biological machines, the perceived gap between humans and nonhuman animals reached its widest point. English philosopher Jeremy Bentham (1748–1832), the father of ethical utilitarianism, challenged this view and fostered a widespread anticruelty movement and exerted powerful force in shaping legal and moral codes. Its modern legacy, the animal welfare movement, is reformist in that it continues to accept the legitimacy of sacrificing animal interests for human benefit, provided animals are spared any suffering that can conveniently and economically be avoided.

In contrast to the conservatively reformist platform of animal welfare crusaders, a new radical movement began in the late 1970s. This movement, variously referred to as animal liberation or animal rights, seeks to put an end to the routine sacrifice of animal interests for human benefit. In seeking to redefine the issue as one of rights, some animal protectionists organized around the well-articulated and widely disseminated utilitarian perspective of Australian philosopher Peter Singer (1946–). In his 1975 classic *Animal Liberation*, Singer argued that because some animals can experience pleasure and pain, they deserve moral consideration by humans. While not actually a rights position, Singer's work nevertheless uses the language of rights and was among the first to abandon welfarism and to propose a new ethic of moral considerability for all sentient (conscious) creatures.

To assume that humans are inevitably superior to other species simply by virtue of their species membership is an injustice, which Singer terms "speciesism," an injustice parallel to racism and sexism.

Singer does not claim all animal lives to be of equal worth, nor that all sentient beings should be treated identically. In some cases, human interests may outweigh those of nonhumans, and Singer's utilitarian calculus would allow people to engage in practices that require the use of animals in spite of their pain, where those practices can be shown to produce an overall balance of pleasure over suffering.

Some animal advocates thus reject utilitarianism on the grounds that it allows the continuation of morally



People for Ethical Treatment of Animals (PETA) demonstrate for their cause nearly nude in winter during rush hour on a main commuter route into Washington, DC, 1993. (Wally McNamee)

abhorrent practices. Lawyer Christopher Stone and American philosophers Joel Feinberg (1926–2004) and Tom Regan (1938–) have focused on developing cogent arguments in support of rights for certain animals. Regan’s 1983 book *The Case For Animal Rights* developed an absolutist position that criticized and broke from utilitarianism. It is Regan’s arguments, not reformism or the pragmatic principle of utility, which have come to dominate the rhetoric of the animal rights crusade. In 2005, Regan wrote the book *Empty Cages*, where he continues his views on animal rights.

The question of which animals possess rights then arises. Regan asserts it is those who, like humans, are subjects experiencing their own lives. By “experiencing” Regan means conscious creatures aware of their environment and with goals, desires, emotions, and a sense of their own identity. These characteristics give an individual inherent value, and this value entitles the bearer to certain inalienable rights, especially the right to be treated as an end in itself, and never merely as a means to human ends.

The environmental community has not embraced animal rights; in fact, the two groups have often been

at odds. A rights approach focused exclusively on animals does not cover all the entities such as ecosystems that many environmentalists feel ought to be considered morally. Yet a rights approach that would satisfy environmentalists by encompassing both living and nonliving entities may render the concept of rights philosophically and practically meaningless. Regan accuses environmentalists of environmental fascism, insofar as they advocate the protection of species and ecosystems at the expense of individual animals. Most animal rightists advocate the protection of ecosystems only as necessary to protect individual animals, and do not assign any more value to the individual members of a highly-endangered species than to those of a common or domesticated species. Thus, because of its focus on the individual, animal rights cannot offer a realistic plan for managing natural systems or for protecting ecosystem health, and may at times hinder the efforts of resource managers to effectively address these issues.

For most animal activists, the practical implications of the rights view are clear and uncompromising. The rights view holds that all animal research, factory farming, and commercial or sport hunting and trapping

should be abolished. This change of moral status necessitates a fundamental change in contemporary Western moral attitudes toward animals, for it requires humans to treat animals as inherently valuable beings with lives and interests independent of human needs and wants. While this change is not likely to occur in the near future, the efforts of animal rights advocates may ensure that wholesale slaughter of these creatures for unnecessary reasons is no longer routinely the case, and that when such sacrifice is found to be necessary, it is accompanied by moral deliberation.

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Ann S. Causey

## Animal waste

Animal wastes most commonly refer to the excreted materials from live animals. However, under certain production conditions, the waste may also include straw, hay, wood shavings, or other sources of organic debris. It has been estimated that there may be as much as 2 billion tons (1.8 billion metric tons) of animal wastes produced in the United States annually. Application of excreta to soil brings benefits

such as improved soil tilth, increased water-holding capacity, and some plant nutrients. Concentrated forms of excreta or high application rates to soils without proper management may lead to high salt concentrations in the soil and cause serious on-site or off-site pollution.

## Animal Welfare Institute

Founded in 1951, the Animal Welfare Institute (AWI) is a nonprofit organization that works to educate the public and to secure needed action to protect animals. AWI is a highly respected, influential, and effective group that works with Congress, the public, the news media, government officials, and the conservation community on animal protection programs and projects. Its major goals include improving the treatment of laboratory animals and a reduction in their use; eliminating cruel methods of trapping wildlife; saving species from extinction; preventing painful experiments on animals in schools and encouraging humane science teaching; improving shipping conditions for animals in transit; banning the importation of parrots and other exotic wild birds for the pet industry; and improving the conditions under which farm animals are kept, confined, transported, and slaughtered.

In 1971, AWI launched the Save the Whales Campaign to help protect whales. The organization provides speakers and experts for conferences and meetings around the world, including Congressional hearings and international treaty and commission meetings. Each year, the institute awards its prestigious Albert Schweitzer medal to an individual for outstanding achievement in the advancement of animal welfare. Its publications include *The AWI Quarterly*; books such as *Animals and Their Legal Rights*; *Facts about Furs*; and *The Endangered Species Handbook*. Booklets, brochures, and other educational materials are distributed to schools, teachers, scientists, government officials, humane societies, libraries, and veterinarians. The AWI also releases an annual report describing its ongoing goals and efforts toward animal welfare. The fifty-eighth annual report describes the institute's efforts from July 1, 2008, through June 30, 2009. The annual reports can be downloaded for free from the AWI official Web site.

The AWI works closely with its associate organization, The Society for Animal Protective Legislation (SAPL), a lobbying group based in Washington. Founded in 1955, SAPL devotes its efforts to supporting legislation

to protect animals, often mobilizing its 14,000 correspondents in letter-writing campaigns to members of Congress. SAPL has been responsible for the passage of more animal protection laws than any other organization in the country, and perhaps the world, and it has been instrumental in securing the enactment of fourteen federal laws. Principal goals of SAPL include enacting legislation to end the use of cruel steel-jaw, leg-hold traps and to secure proper enforcement, funding, administration, and reauthorization of existing animal protection laws.

Major federal legislation that the SAPL has promoted includes the first federal Humane Slaughter Act in 1958 and its strengthening in 1978; the 1959 Wild Horse Act; the 1966 Laboratory Animal Welfare Act and its strengthening in 1970, 1976, 1985, and 1990; the 1969 Endangered Species Act and its strengthening in 1973; a 1970 measure banning the crippling or “soring” of Tennessee walking horses; measures passed in 1971 prohibiting hunting from aircraft, protecting wild horses, and resolutions calling for a moratorium on commercial whaling; the 1972 Marine Mammal Protection Act; negotiation of the 1973 Convention on International Trade in Endangered Species of Fauna and Flora (CITES); the 1979 Packwood-Magnuson Amendment protecting whales and other ocean creatures; the 1981 strengthening of the Lacey Act to restrict the importation of illegal wildlife; the 1990 Pet Theft Act; the 1992 Wild Bird Conservation Act, protecting parrots and other exotic wild birds; the International Dolphin Conservation Act, restricting the killing of dolphins by tuna fishermen; and the Driftnet Fishery Conservation Act, protecting whales, seabirds, and other ocean life from being caught and killed in huge, 30-mile-long (48-km-long) nets.

Both AWI and SAPL were long headed by their chief volunteer and founder, Christine Stevens (1918–2002), a prominent Washington, DC, humanitarian and community leader. Stevens founded the AWI in 1951 and led the organization for over fifty years. Both nationally and internationally, Stevens used her access to business and government leaders to bring about positive change toward the humane treatment of animals. Her contributions to animal welfare have been ably described by Jane Goodall, the famed British primatologist, who said of Stevens after her passing, “Christine Stevens was a giant voice for animal welfare. Passionate, yet always reasoned, she took up one cause after another and she never gave up. Millions of animals are better off because of Christine’s quiet and very effective advocacy. She will sorely be missed by all of us.”

## Resources

### ORGANIZATIONS

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Society for Animal Protective Legislation, PO Box 3719, Washington, DC, USA, 20007, (202) 337-2334, (202) 338-9478, [sapl@saplonline.org](mailto:sapl@saplonline.org), <http://www.saplonline.org>

Lewis G. Regenstein

Anion see **lon**.

## Antarctic Treaty (1961)

The Antarctic Treaty, which entered into force in 1961, established an international administrative system for the continent. The impetus for the treaty was the international geophysical year, during 1957 and 1958, which had brought scientists from many nations together to study Antarctica. The political situation in Antarctica was complex at the time, with the following seven nations having made sometimes overlapping territorial claims to the continent: Argentina, Australia, Chile, France, New Zealand, Norway, and the United Kingdom. Several other nations, most notably the former Soviet Union and the United States, had been active in Antarctic exploration and research and were concerned with how the continent would be administered.

Negotiations on the treaty began in June 1958 with Belgium, Japan, and South Africa joining the original nine countries. The treaty was opened for signature in December 1959 and took effect in June 1961. It begins by “recognizing that it is in the interest of all mankind that Antarctica shall continue forever to be used exclusively for peaceful purposes.” The key to the treaty was the nations’ agreement to disagree on territorial claims. Signatories of the treaty are not required to renounce existing claims; nations without claims have an equal voice as those with claims, and no new claims or claim enlargements can take place while the treaty is in force. This agreement defused the most controversial and complex issue regarding Antarctica in an unorthodox way. Among the other major provisions of the treaty are that the continent will be demilitarized; nuclear explosions and the storage of nuclear wastes are prohibited; the right of unilateral inspection of all facilities on the continent to ensure that the provisions of the treaty are being honored is guaranteed; and scientific research can continue throughout the continent.

The treaty runs indefinitely and can be amended only by the unanimous consent of the signatory nations. Provisions were also included for other nations to become parties to the treaty. These additional nations can either be acceding parties, which do not conduct significant research activities but agree to abide by the terms of the treaty, or consultative parties, which have acceded to the treaty and undertake substantial scientific research on the continent. Sixteen nations have joined the original twelve in becoming consultative parties, including Brazil, China, Finland, Germany, India, Italy, Peru, Poland, South Korea, Spain, Sweden, and Uruguay.

Under the auspices of the treaty, the Convention on the Conservation of Antarctic Marine Living Resources was adopted in 1982. This regulatory regime is an effort to protect the Antarctic marine ecosystem from severe damage caused by overfishing. Following this convention, negotiations began on an agreement for the management of Antarctic mineral resources. The Convention on the Regulation of Antarctic Mineral Resource Activities was concluded in June 1988, but in 1989 Australia and France rejected the convention, urging that Antarctica be declared an international wilderness closed to mineral development. The Protocol on Environmental Protection to the Antarctic Treaty was drafted 1991 and also in 1998. This agreement prevents development and provides for the protection of the Antarctic environment through five specific annexes on marine pollution, fauna and flora, environmental impact assessments, waste management, and protected areas. It prohibits all activities relating to mineral resources except scientific. A sixth annex addressing liability arising from environmental emergencies was adopted in 2005. However, as of 2010, the sixth annex had yet to take effect because all consultative parties to the Antarctic Treaty (meaning all twenty-eight nations with consultative status) must first implement its terms under their respective national laws before it can go into effect.

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Christopher McGrory Klyza

## Antarctica

Antarctica is Earth's fifth largest continent, centered asymmetrically around the South Pole. Ninety-eight percent of this land mass, which covers approximately 5.4 million square miles (13.8 million km<sup>2</sup>), is covered by ice sheets to an average depth of 1.25 miles (2 km). This continent receives very little precipitation, less than 5 inches (12 cm) annually, and the world's coldest temperature was recorded here, at  $-128^{\circ}\text{F}$  ( $-89^{\circ}\text{C}$ ). Exposed shorelines and inland mountaintops support life only in the form of lichens, two species of flowering plants, and several insect species. In sharp contrast, the ocean surrounding the Antarctic continent is one of the world's richest marine habitats. Cold water rich in oxygen and nutrients supports teeming populations of phytoplankton and shrimp-like Antarctica krill, the food source for the region's numerous whales, seals, penguins, and fish. During the nineteenth and early twentieth centuries, whalers and sealers severely depleted Antarctica's marine mammal populations. In recent decades the whale and seal populations have begun to recover, but interest has grown in new resources, especially oil, minerals, fish, and tourism.

The Antarctic region's outer bound is a band of turbulent ocean currents and high winds that circle the continent at about sixty degrees south latitude. This ring is known as the Antarctic convergence zone. Ocean turbulence in this zone creates a barrier marked by sharp differences in salinity and water temperature. Antarctic marine habitats, including the limit of krill populations, are bounded by the convergence.

Since 1961 the Antarctic Treaty has formed a framework for international cooperation and compromise in the use of Antarctica and its resources. The treaty reserves the Antarctic continent for peaceful scientific research and bans all military activities. Nuclear explosions and radioactive waste are also banned, and the treaty neither recognizes nor establishes territorial claims in Antarctica. However, neither does the treaty deny pre-1961 claims, of which seven exist. Furthermore, some signatories to the treaty, including the United States, reserve the right to make claims at a



**Melting icebergs are seen in Antarctica during a 2007 visit of U.N. Secretary General Ban Ki-moon as part of several environment-related visits to see the effects of global warming and the impact of melting glaciers. (AP Photo/Roberto Candia)**

later date. At present the United States has no territorial claims, but it does have several permanent stations, including one at the South Pole. Questions of territorial control could become significant if oil and mineral resources were to become economically recoverable. The primary resources exploited are fin fish and krill fisheries. Interest in oil and mineral resources has risen in recent decades, most notably during the 1973 “oil crisis.” The expense and difficulty of extraction and transportation have so far made exploitation uneconomical, however.

Human activity has brought an array of environmental dangers to Antarctica. A growing and largely uncontrolled fishing industry may be depleting both fish and krill populations in Antarctic waters. The parable of the “tragedy of the commons” seems ominously appropriate to Antarctica fisheries, which have already nearly eliminated many whale, seal, and penguin species. Solid waste and oil spills associated with research stations and with tourism pose an additional threat. Although Antarctica remains free of permanent settlement, year-round scientific research stations are maintained on the

continent. Oil and mineral extraction could seriously threaten marine habitat and onshore penguin and seal breeding grounds. In 1989, the Antarctic had its first oil spill when an Argentine supply ship, carrying tourists and 170,000 gallons (643,500 l) of diesel fuel, ran aground. Spilled fuel destroyed a nearby breeding colony of Adele penguins (*Pygoscelis adeliae*). Tourists themselves present a further threat to penguins and seals. Visitors have been accused of disturbing breeding colonies, thus endangering the survival of young penguins and seals. In 2008 more than 45,000 tourists visited Antarctica during its summer season. In 2009, the twenty-eight nations considered as consultative parties to the Antarctic Treaty announced an intention to restrict tourism in order to limit environmental damage. Consultative parties are nations that have signed and ascribe to the terms of the treaty, as well as being obliged to perform substantial research in the Antarctic on an ongoing basis.

The West Antarctic Peninsula, where melting has accelerated so notably, is one of the fastest-warming regions in the world. Jutting out into the Antarctic Ocean, the peninsula is fringed by ice shelves, which

are vast, floating masses of ice anchored to the coast and slowing the emptying of glaciers into the sea. As climate warms in the region, some of these shelves are weakening and breaking up, allowing glaciers to flow faster. Such an event occurred in March 2008, when the Wilkins ice shelf began to rapidly disintegrate. A 160-square mile (414-square km) area of ice broke away, leaving the rest of the shelf held in place only by a narrow strip of stable ice anchored to an island at each end. The Wilkins shelf breakup was the latest sign of dramatic climate change in that part of Antarctica. In the last thirty years, six West Antarctic ice shelves have collapsed, and the shedding of over 300 West Antarctic glaciers has been confirmed. Collapsing ice shelves do not raise sea level significantly, since floating ice has about the same effect on sea level whether it melts or not, but inland glaciers add new water to the ocean, raising its level.

In late November 2009, a study released by the Scientific Committee on Antarctic Research (SCAR) warned that elevated sea temperatures are accelerating ice shelf melting along the western coast of Antarctica. At these rates, the melting will significantly increase prior estimates of global sea level rise by 2100. Although temperatures across the Antarctic as a whole have remained stable over recent decades, the coastal areas along the western Antarctic peninsula have warmed by approximately 5.4° (3° Centigrade) since 1960. In contrast to the warming of the western Antarctic peninsula, other regions of Antarctica have cooled, and have experienced increases in snowpack and ice thickness. Climatologists attribute the temporary cooling of Antarctic regions to temporary decreases in ozone layer thickness caused by the use of chlorofluorocarbons (CFC) prior to their ban under the Montreal Protocol. Other climate models predict increased snowfall because of higher levels of atmospheric humidity as global temperatures rise.

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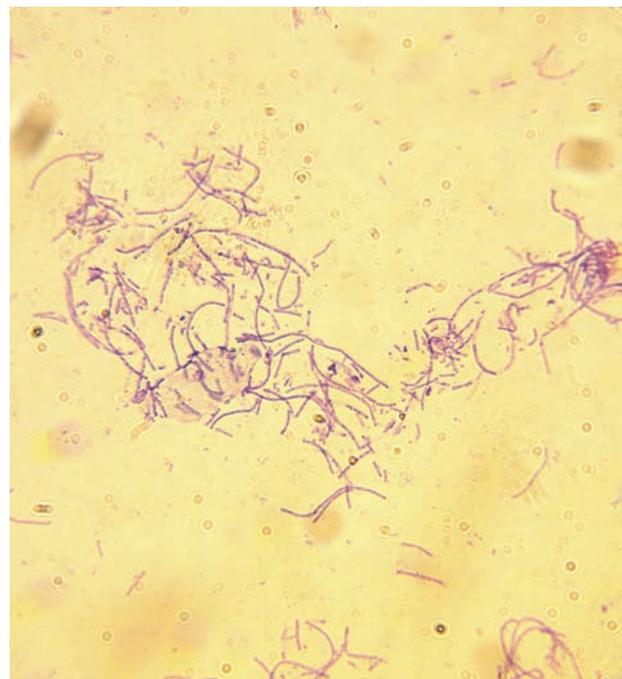
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Mary Ann Cunningham

Anthracite coal see **Coal**.

## Anthrax

Anthrax is a bacterial infection caused by *Bacillus anthracis*. It usually affects cloven-hoofed animals, such as cattle, sheep, and goats, but it can occasionally spread to humans. Anthrax is almost always fatal in animals, but it can be successfully treated in humans if antibiotics are given soon after exposure. In humans, anthrax is usually contracted when spores are inhaled or come in contact with the skin. It is also possible for people to become infected by eating the meat of contaminated animals. Anthrax, a deadly disease in nature, gained worldwide attention in 2001 after it was used as a bioterrorism agent against the United States. Until the 2001



Microscope photo of anthrax (*Bacillus anthracis*). (Carolina K. Smith, M.D./Shutterstock.com.)

attack, only eighteen cases of anthrax had been reported in the United States in the previous 100 years.

Anthrax occurs naturally. The first reports of the disease date from around 1500 BCE, when it was thought to be the cause of an Egyptian plague. Robert Koch first identified the anthrax bacterium in 1876 and Louis Pasteur developed an anthrax vaccine for sheep and cattle in 1881. Anthrax bacteria are found in nature in South and Central America, southern and eastern Europe, Asia, Africa, the Caribbean, and the Middle East. Anthrax cases in the United States are rare, probably due to widespread vaccination of animals and the standard procedure of disinfecting animal products such as cowhide and wool. Reported cases occur most often in Texas, Louisiana, Mississippi, Oklahoma, and South Dakota.

Anthrax spores can remain dormant (inactive) for years in soil and on animal hides, wool, hair, and bones. There are three forms of the disease, each named for its means of transmission: cutaneous (through the skin), inhalation (through the lungs), and intestinal (caused by eating anthrax-contaminated meat). Symptoms appear within several weeks of exposure and vary depending on how the disease was contracted.

Cutaneous anthrax is the mildest form of the disease. Initial symptoms include itchy bumps, similar to insect bites. Within two days, the bumps become inflamed and a blister forms. The centers of the blisters are black because of dying tissue. Other symptoms include shaking, fever, and chills. In most cases, cutaneous anthrax can be treated with antibiotics such as penicillin. Intestinal anthrax symptoms include stomach and intestinal inflammation and pain, nausea, vomiting, loss of appetite, and fever, all becoming progressively more severe. Once the symptoms worsen, antibiotics are less effective, and the disease is usually fatal.

Inhalation anthrax is the deadliest form of the disease. The initial symptoms of inhalation anthrax are flu-like, but breathing becomes progressively more difficult. Inhalation anthrax can be treated successfully if antibiotics are given before symptoms develop. Once symptoms develop, the disease is usually fatal.

The inhalation form of the disease was involved in the bioterrorism attacks of October and November 2001 in the eastern United States. Five people died after being exposed to anthrax through contaminated mail. At least seventeen other people contracted the disease but survived. Media representatives in Florida and New York received envelopes containing anthrax, including Tom Brokaw. Anthrax-contaminated letters also were sent to the Washington, DC, offices of two senators.

In the aftermath, an intensive investigation by U.S. federal agents had failed to identify the person or group. However, with time, evidence accumulated and, according to the Federal Bureau of Investigation (FBI), pointed to Bruce Ivins, a microbiologist and anthrax researcher at the U.S. Army biodefense lab at Fort Detrick, Maryland. Ivins committed suicide as he was about to be charged. In February 2010, the FBI issued its final report on the 2001 anthrax attacks, in which Ivins was identified as the sole person who sent the anthrax-tainted letters.

While the case has been officially closed, skeptics still contend that Ivins did not act alone because his laboratory did not have the capacity to weaponize the anthrax traced to his lab.

The only natural outbreak of anthrax among people in the United States occurred in Manchester, New Hampshire, in 1957. Nine workers in a textile mill that processed wool and goat hair contracted the disease, five with inhalation anthrax and four with cutaneous anthrax. Four of the five people with inhalation anthrax died. By coincidence, workers at the mill were participating in a study of an experimental anthrax vaccine. No workers who had been vaccinated contracted the disease.

Following this outbreak, the study was stopped, all workers at the mill were vaccinated, and vaccination became a condition of employment. After that, no mill workers contracted anthrax. The mill closed in 1968. However, in 1966 a man who worked across the street from the mill died from inhalation anthrax. He is thought to have contracted it from anthrax spores carried from the mill by the wind. The United States Food and Drug Administration approved the anthrax vaccine in 1970. It is used primarily for military personnel and some health care workers. During the 2001 outbreak, thousands of postal workers were offered the vaccine after anthrax spores from contaminated letters were found at several post office buildings.

The largest outbreak worldwide of anthrax in humans occurred in the former Soviet Union in 1979, when anthrax spores released from a military laboratory infected seventy-seven people, sixty-nine of whom died. Anthrax is an attractive weapon to bioterrorists. It is easy to transport and is highly lethal. The World Health Organization (WHO) estimates that 110 pounds (50 kg) of anthrax spores released upwind of a large city would kill tens of thousands of people, with thousands of others ill and requiring medical treatment.

The Geneva Convention, which established a code of conduct for war, outlawed the use of anthrax as a

weapon in 1925. However, Japan developed anthrax weapons in the 1930s and used them against civilian populations during World War II. During the 1980s, Iraq mass-produced anthrax as a weapon.

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Ken R. Wells

Anthropocentrism see **Environmental ethics**.

## Anthropogenic

Anthropogenic refers to changes in the natural world due to the activities of people. Such changes may be positive or negative. For example, anthropogenic changes in soils can occur because of plowing, fertilizing, using the soil for construction, or long-continued manure additions. When manure is added to soils, the change is considered beneficial, but when soils are compacted for use as parking lots, the change is considered negative. Other examples of anthropogenic effects on the environment include oil spills, acid rain, logging of old-growth forests, creation of wetlands, and preservation of endangered species.

## Antibiotic resistance

Antibiotics are drugs principally derived from naturally occurring fungi and microorganisms that kill bacteria and can cure patients with bacterial diseases. Before the advent of antibiotics in the 1940s, many common diseases were lethal or incurable. Tuberculosis, pneumonia, scarlet fever, staph and



**A doctor examines an ill woman at her home in Anjui Province, China. While access to antibiotics such as penicillin have done wonders to improve health care in China, experts say these powerful drugs are being overused, and with dangerous consequences. (AP Photo/Eugene Hoshiko)**

strep infections, typhoid fever, gonorrhea, and syphilis were all dreaded diseases until the development of penicillin and other antibiotics in the middle of the twentieth century. Yet almost as soon as antibiotics came into common use, scientists noticed that some strains of disease-causing bacteria developed resistance to the antibiotic used most often against it.

People infected with an antibiotic-resistant bacteria must be treated with different antibiotics, often more potent and toxic than the commonly-used drug. In some cases, bacteria may be resistant to several antibiotics. Tuberculosis, once the leading killer in the United States at the beginning of the nineteenth century, seemed defeated with the introduction of streptomycin (derived from the actinobacterium *Streptomyces griseus*) and PAS (scientifically known as 4-aminosalicylic acid) in the 1940s and early 1950s. However, tuberculosis resurged in the United States and worldwide in the 1990s as people came down with antibiotic-resistant strains of the disease. Bacteria that cause salmonella, a foodborne illness, had become increasingly resistant to antibiotics by the early twenty-first century—as had the bacteria that commonly cause early childhood ear infections. Misuse and overuse of antibiotics contribute to the rise of resistant strains.

Bacteria can become resistant to antibiotics relatively quickly. Bacteria multiply rapidly, producing a new generation in as little as one-half hour. Hence, evolutionary pressures can produce bacteria with new characteristics in very little time. When a person takes an antibiotic, the drug will typically kill almost all the bacteria it is designed to destroy, plus other beneficial bacteria. Some small percentage of the disease bacteria,

possibly as little as 1 percent, may have a natural ability to resist the antibiotic. Therefore, a small number of resistant bacteria may survive drug treatment. When these resistant bacteria are all that are left, they are free to multiply, passing the resistance to their offspring. Physicians warn people to take the fully prescribed course of antibiotics even if symptoms of the disease disappear in a day or two. This is to limit the danger of resistant bacteria flourishing. Bacteria can also develop resistance by contact with other species of bacteria that are resistant. Neighboring bacteria can pass genetic material back and forth by swapping bits of deoxyribonucleic acid (DNA) called plasmids. If bacteria that normally live on the skin and bacteria that live in the intestine should come into contact with each other, they may make a plasmid exchange, and spread antibiotic resistant qualities. Antibiotic-resistant bacteria are often resistant to a whole class of antibiotics, that is, a group of antibiotics that function in a similar way. People afflicted with a resistant strain of bacteria must be treated with a different class of antibiotics.

Antibiotic resistance was evident in the 1940s, though penicillin had only become available in 1941. By 1946, one London hospital reported that 14 percent of patients with staph infections had penicillin-resistant strains, and that number rose precipitously over the next decade. In 1943, scientists brought out streptomycin, a new antibiotic that fought tuberculosis (penicillin was found not to work against that disease). However, streptomycin-resistant strains of tuberculosis developed rapidly, and other drugs had to be found. In 1959, physicians in Japan found a virulent strain of dysentery that was resistant to four different classes of antibiotic. Some troubling cases of antibiotic resistance have been isolated incidents. Nevertheless, by the 1990s it was clear that antibiotic resistance was a widespread and growing problem. A few cases around the world in 1999 found deadly bacteria resistant to vancomycin, a powerful antibiotic described as a drug of last resort because it is only used when all other antibiotics fail. By this time, scientists in many countries were deeply alarmed about the growing public health threat of antibiotic resistance. In the mid-2000s, the Global Health Council estimated that 9.5 million people die each year from infectious diseases, most that are preventable with the use of antibiotics and other such medicines. In the United States, the Institute of Medicine stated that antimicrobial (antibiotic) resistance was likely to add \$50 billion annually to the health care system in the late 2000s in the form of longer hospital stays and more expensive medicines to treat such problems. The U.S. Centers for Disease Control and Prevention (CDC) claimed in

2001 that antibiotic resistance had spread to “virtually all important human pathogens treatable with antibiotics.” In 2010, the CDC further stated that these antibiotic resistance organisms make it more likely that humans will die as a result of an infection.

Antibiotic resistance makes treatment of infected patients difficult. The sexually transmitted disease gonorrhea was easily cured with a single dose of penicillin in the middle of the twentieth century. By the 1970s, penicillin-resistant strains of the disease had become prevalent in Asia, and migrated from there to the rest of the world. Penicillin was no longer used to treat gonorrhea in the United States after 1987. Standard treatment was then a dose of either of two classes of antibiotics, fluoroquinolones or cephalosporins. By the late 1990s, strains of gonorrhea resistant to fluoroquinolones had been detected in Asia. The resistant strains showed up in California in 2001. The California CDC soon recommended not using fluoroquinolones to treat gonorrhea, fearing that use of these drugs would actually strengthen the antibiotic resistance. If patients were only partially cured by fluoroquinolones, yet some infection lingered, they could pass the resistant strain to others. In addition, the resistance could become stronger as only the most resistant bacteria survived exposure to the drug. So public health officials and doctors were left with cephalosporins to treat gonorrhea, more costly drugs with more risk of side effects.

Overuse of antibiotics contributes to antibiotic resistance. The number of antibiotic prescriptions for children rose almost 50 percent in the United States between 1980 and 1992. Two decades later, in the early 2010s, children, the elderly, and those with compromised immune systems are the most likely to receive antibiotic prescriptions. That same decade, the medical community estimates that about 150 million prescriptions are issued each year in the United States. Of those, about 90 million are for antibiotics; and well over one-half of them are considered “absolutely unnecessary or inappropriate.” Antibiotics work only against bacterial diseases, and are useless against viral infections. Yet physicians frequently prescribe antibiotics for coughs and colds.

An article on the problem in *American Family Physician* found that most doctors understood the inappropriateness of their prescriptions, yet feared that patients were unsatisfied with their care unless they received a drug. The CDC launched various state and national initiatives to educate both doctors and their patients about overuse of antibiotics. Other groups took on specific diseases, such as the over-

prescribing of antibiotics for childhood ear infections. The common ailment was known to be treatable without antibiotics, but many doctors continue to give antibiotics anyway. In fact, many children in day care centers who had ear infections had an antibiotic-resistant form of the condition. Consequently, pediatricians and parents are advised to use antibiotics only when necessary. Most bacteria live in the body without causing harm, but can make people ill if they build up to certain levels, or if a person's immune system is weakened. People carrying Cipro-resistant bacteria could potentially come down with a resistant form of pneumonia or some other bacterial illness later in life.

People are also exposed to antibiotics through meat and other food. About one-half the antibiotics used in the United States go to farm animals, and some are also sprayed on fruits and vegetables. Some farm animals are given antibiotics to cure a specific disease. However, other antibiotics are given as preventives, and to promote growth. Animals living in crowded and dirty conditions are more susceptible to disease, and the preventive use of antibiotics keeps such animals healthier than they would otherwise be. The antibiotics prescribed by veterinarians are similar or the same as drugs used in humans. Farm animals in the United States are routinely given penicillin, amoxicillin, tetracycline, ampicillin, erythromycin, and neomycin, among others, and studies have shown that antibiotic resistance is common in contaminated meat and eggs. Salmonella bacteria are killed when meat is cooked properly, and most cases of salmonella disease get better without treatment. However, for the small percent of cases of more serious infection, multiple antibiotic resistance could make treatment very difficult. Twenty percent of the urinary tract infections studied were resistant to Bactrim (a branded name for trimethoprim-sulfamethoxazole), meaning that in most cases physicians would be advised to treat with a stronger antibiotic with more side effects.

Antibiotics in preventive doses or for growth promotion of farm animals were banned by the European Union in 1998. Many groups in the United States concerned with antibiotic resistance recommend the United States follow suit. However, as of 2010, the United States has not done so. The plan released by the CDC, the Food and Drug Administration (FDA), and the National Institutes of Health (NIH) in 2001 to combat antibiotic resistance called for increased monitoring of antibiotic use in agriculture and in human health. The plan also called for public education on the risks of overuse and improper use of antibiotics, and for more research in combating drug-resistant diseases. As of 2010, the FDA, the NIH, and the

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Angela Woodward

Ants see **Fire ants.**

ANWR see **Arctic National Wildlife Refuge.**

Apis mellifera scutellata see **Africanized bees.**

AQCR see **Air Quality Control Region.**

## Aquaculture

Aquaculture is the husbandry or rearing of aquatic organisms under controlled or semicontrolled conditions. Stated another way, it is the art of cultivating natural plants and animals in water for human consumption or use. It can be considered aquatic agriculture or, as some people wish to call it, underwater agriculture. It is sometimes incorrectly termed "aquiculture." Aquaculture involves production in

both freshwater and saltwater. Mariculture is aquaculture in saline (brackish and marine) water and is usually accomplished through nearshore or offshore cages. Hydroponics is the process of growing plants in water. Organisms that are grown in aquaculture include fish, shellfish (crustaceans such as crawfish and shrimp, and mollusks such as oysters and clams), algae, and aquatic plants. More people in the United States are eating seafood for the added health benefits. Not only can these organisms be raised for human consumption, but they can also be reared for the lucrative baitfish, health food, aquarium, and home garden-pond industries.

Aquaculture dates back more than 3,500 years, when carp were spawned and reared in China. There have also been records of aquaculture practices being performed in Egypt and Japan nearly that long ago. Mariculture is thought to have been brought to Hawaii about 1,500 years ago. Modern aquaculture finds its roots in the 1960s in the culturing of catfish in the United States and salmon in Europe.

There are two general methods used in aquaculture, extensive and intensive. Extensive aquaculture involves the production of low densities of organisms. For example, fingerling fish can be raised in ponds where they feed on the natural foods such as phytoplankton and zooplankton, and the matured fish are harvested at the end of the growing season. These ponds can also be fertilized through the addition of nutrients to enhance the food chain, thus increasing fish or shellfish production. Typically, several hundred to several thousand pounds of fish are raised per acre annually. Intensive practices utilize much higher densities of organisms. This method of aquaculture requires better water quality, which necessitates circulation, oxygenation, added commercial foods, and biological filters (with bacteria) to remove toxic wastes. These systems can produce more than 1 million pounds of fish per acre (45,000 ha). Intensive aquaculture often utilizes tanks to grow the fish or shellfish, either indoor or outdoor. The water can be recirculated as long as toxic wastes such as ammonia ( $\text{NH}_3$ ) are removed. Some processors run the effluent from the fish tanks through greenhouses with plants raised hydroponically to remove these chemicals. In this way, added revenue is gained. Some aquaculture operations raise only one type of organism (termed monoculture), whereas others grow several species together (termed “polyculture”). An example of polyculture would be growing tilapia and catfish together. Another method of aquaculture consists of raising crops in the open ocean. The National Offshore Aquaculture Act, submitted in 2007 by the National Oceanic and Atmospheric Association (NOAA) and the Department of Commerce, would

regulate commercial offshore aquaculture operations to ensure the maintenance of the natural ecosystem.

In 2004, 32 percent of the world production of fish was attributed to aquaculture. About 86 percent of the world’s aquaculture production comes from Asia. China is the leading producer nation, accounting for about 70 percent (as of 2005), with most of their production coming from carp, and secondarily shrimp. India is the second-largest producer nation, followed by Japan, Taiwan, and the Philippines. Salmon is another major fish produced by aquaculture, with most coming from Norway. Great Britain, Canada, Chile, and Iceland are also major producers of farm-raised salmon. Other important aquaculture species and some of the countries where they are raised include tilapia (Caribbean countries, Egypt, India, Israel, and the Philippines); milkfish (the Philippines); Nile perch (Egypt); sea bass and sea bream (Egypt and Israel); mullet (Egypt, India, and Israel); dolphin fish, also known as mahi-mahi (Egypt); grass and silver carp (Israel); halibut and other flatfishes (France and Norway); prawns (Caribbean countries, Israel, and the Philippines); mussels and oysters (the Philippines); crabs (India); seaweeds (India and Japan); *Spirulina*, a type of cyanobacteria (India); and many other examples.

Although people in the United States consume less fish and shellfish than people in other parts of the world, they spend billions of dollars importing edible fish each year. Total production from aquaculture in the United States in 2006 was about 397 thousand tons (360 thousand metric tons), which earned about \$1.2 billion. This comprises only about 1 percent of the world’s total aquaculture production. Domestically, catfish is the major aquaculture species of the United States. Trout (primarily raised in Idaho) is the second major species raised in aquaculture and accounts for a \$55 million per year industry. Shrimp, hybrid-striped bass, and tilapia are other species commonly raised in the United States.

In 1986 the U.S. Congress placed aquaculture under the jurisdiction of the U.S. Department of Agriculture (USDA) and appropriated \$3 million to establish four regional aquaculture centers. These centers are located at the University of Washington, South-eastern Massachusetts University, Mississippi State University, and a Center for Tropical and Subtropical Aquaculture jointly administered by the University of Hawaii and the Oceanic Institute. The following year Congress created a fifth center located at Michigan State University. The mandate for these centers was, and still is, to promote aquaculture in each region and to solve some of the problems facing this industry. More recent legislation has created mariculture

research centers under the Sea Grant Program within the Department of Commerce.

Aquaculture is an appealing industry because it can help supplement what is caught in natural fisheries and what is produced through agriculture. Overfishing to meet world seafood demand has resulted in a dramatic reduction in fish populations. About 28 percent of fish stocks are either overfished or nearing extinction. Aquaculture has tremendous implications in terms of the growing future dilemma with human overpopulation, decreased fisheries captured from the oceans, and stabilized agriculture production. Aquaculture is a growing industry in the world, which not only translates into more food, but also more revenue and jobs. The industry is growing at about an average of 8 percent each year.

It is particularly important to look at aquaculture development in Third World countries where protein sources are scarce. Since many of these countries lie in equatorial regions, tilapia are one of the best prospects to grow because of their tolerance of high temperatures and poor water quality (i.e., high ammonia levels). Tilapia are also omnivorous filter feeders and thrive on cyanobacteria (commonly referred to as blue-green algae). One alternative to the deforestation of rain forests might be to encourage indigenous people to construct earthen ponds and raise tilapia on the fringes of the forests, thus producing not only a protein source, but also a cash crop. An advantage of aquaculture over agriculture is that fish can be grown in ponds overlying soil that may be toxic to plants, for example, with high concentrations of sulfur (S), aluminum (Al), or salt. In addition, aquaculture species can often be held longer if there is a glut on the market, thus allowing more flexible selling times.

Despite these positive attributes, there are serious problems involved in aquaculture. These include release of waste into the water (adversely affecting water quality); spread of diseases and parasites through concentrated growing conditions; unfavorable taste through chemicals such as geosmin in the water being taken up by the fish (termed off-flavor in catfish); release of hybrid strains into the natural population, which can result in mixed genetics with natural populations; obstruction of the aesthetics along coastlines; and overproduction, which can result in depressed market prices. For example, both the catfish and salmon industries experienced a tremendous drop in their market prices because of overproduction in 1990 and 1991. The introduction and overproduction of certain species can result in a decrease in biodiversity in bodies of water used for aquaculture. The overproduction can disrupt the ecosystem by introducing excess waste and nutrients,

inducing the formation of algal blooms. The death and decomposition of these algae deplete the amount of oxygen in the water, ultimately affecting the aquatic organisms. Some species of algae release toxins that are harmful to aquatic organisms and can be harmful to humans and other animals that ingest them.

Aquaculture seems to have a bright future after some of the above-mentioned problems are addressed. One example of an enterprising solution to one of these problems is that of releasing wrasse (marine parasite-feeding fish) into aquaculture tanks and cages to remove fish lice from salmon. This not only solves the problem of parasites, but also eliminates the need to add chemicals that kill parasites to the water, which stresses the fish and pollutes the environment. The same pitfalls that have plagued agriculture must be avoided in aquaculture. There is no need for agriculture farmers to feel threatened by aquaculture; on the contrary, it seems that these two areas can actually be brought together, particularly with small local farms in underdeveloped countries supplementing their terrestrial crops with aquatic production. This incorporation of aquaculture has already been done quite well in Norway, which has more than 700 fish farms in a country with only 4.2 million people. Aquaculture is evolving from an art, with trial-and-error learning, to more of a science with ongoing research. Countries such as Japan, the United States, Israel, and Norway are developing new technology to bring this field to higher levels. Recent predictions suggest that aquaculture will be able to meet at least 40 percent of the global demand for fish and shellfish over the next fifteen years if current trends prevail. Government, academic institutions, and industry must work hand-in-hand to see that these predictions come to fruition with minimal environmental damage.

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John Korstad

## Aquarium trade

International trade in live fish and other marine species for the international aquarium hobby market is a multibillion dollar international industry. Most fish, corals, and other marine aquarium species traded internationally are collected live from tropical and subtropical coral reefs, especially in the Philippines, Indonesia, and other Asian countries. Nearly one-half the world aquarium fish market is in the United States.

Although the capture and marketing of live reef species provides a significant income source in remote communities, international trade has alarmed conservationists and environmentalists because of harmful collecting methods. Many live tropical fish are captured with sodium cyanide (NaCN), a highly toxic and inexpensive powdered poison. Divers use squirt bottles containing a cyanide solution or drop cyanide tablets into reef crevices where fish hide. The poison stuns the fish, making them easy to capture, and the fish usually recover after a few minutes. Fish are transferred to holding tanks on ships and then transported to international wholesalers. From 70 to 90 percent of fish captured with cyanide die later, however, because of damage to the liver, stomach, and other tissues.

Another problem common in saltwater aquaria is "sudden death syndrome," when fish inexplicably die soon after they are introduced to the aquarium. Some researchers attribute the syndrome to cyanide, which is stored in tissues during transport. Fish are often not fed during storage, but when they begin to eat in their new aquarium, or if they undergo even mild shock, the cyanide is released into the blood stream and kills the fish. Although solid figures are impossible to establish, estimates of the proportion of tropical live fish caught with cyanide are 75 percent or higher worldwide, and 90 percent in some countries.

The reefs where fish are captured fare as badly as the fish; one study found all cyanide-treated corals

dead within three months after treatment. Coral reefs also suffer physical damage as divers break off chunks of coral to retrieve stunned fish. Despite harm to reefs and high fish mortality rates, cyanide is considered the most cost-effective method to capture popular species such as angel fish and trigger fish.

Peter Rubec, a researcher with the International Marinelife Alliance, reports that an exporter operating from Manila initiated the export of marine fish from the Philippines in 1957. With almost 2,200 fish species, the Philippines has the highest reef fish diversity in the world, including 200 species that are commonly exported for the marine pet fish industry. In 1986, Filipino reefs provided as much as 80 percent of the world's tropical marine fish, a number that fell only to 70 percent by 1997. From 1970 to 1980 exports rose from 1,863,000 pounds (845,000 kg) of live fish packed in water to 4.41 million pounds (2 million kg) worth \$2 billion dollars. Since then export numbers have fallen in the Philippines, as stocks have thinned and the trade has diversified to other countries, but in 1986 the country's marine tropical fish trade was worth \$10 million dollars. Worldwide the value of aquarium fish was \$100 million, plus corals and other supplies.

Cyanide fishing was first introduced in the Philippines in about 1962. The technique has since spread to Indonesia, New Guinea, and other regions where live fish are caught. Cyanide has since been banned in the Philippines and Indonesia, but enforcement is difficult because fishing vessels are dispersed, often in hard-to-reach sections of remote islands. Despite legal bans, an estimated 375,000 pounds (170,000 kg) of cyanide is still used each year in the Philippines. Cyanide fishing boats have even exploited established marine preserves and parks, such as Indonesia's Take Bone Atoll. Furthermore, as enforcement mechanisms develop, cyanide fishing can quickly spread to other countries, where laws are still weak or lacking.

The greatest problems of the live reef fish trade result from its mobility. The frontier of cyanide fishing has moved steadily through Southeast Asia to tropical Pacific islands and even across the Indian Ocean to the Seychelles and Tanzania, as fertile reefs have disappeared and as governments caught on to their fishing practices and began to impose laws restricting the use of cyanide and explosives. Because the market is international and lucrative, it does not matter where the fish are caught. Furthermore, fish exporters succeed best if they continually explore new, unexploited reefs where more unusual and exotic fish can be found. The migratory nature of the industry makes it easy for fishing vessels to move on as soon as a country begins to enact or enforce limits on their activities.

Starting in 1984 international conservation groups have worked to introduce net fishing as a less harmful fishing alternative that still allows divers to retain their income from live fish. To catch fish with nets, divers may use a stick to drive fish from their hiding places in the reef and then trap the fish with a fine mesh net. Reports indicate that long-term survival rates of net-caught fish may be as high as 90 percent, compared to as little as 10 percent among cyanide-caught fish. In Hawaii and Australia, where legal controls are more effective than in Southeast Asia, nets are used routinely. Although the aquarium industry has provided little aid in the effort to increase net fishing, local communities in the Philippines and Indonesia, with the aid of international conservationist organizations, have worked to encourage net fishing. Increased local control of reef fisheries is also important in helping communities to control cyanide fishing in their nearby reefs. Local communities that have depended on reefs and their fish for generations understand that sustainable use is both possible and essential for their own survival, so they often have a greater incentive to prevent reef damage than either state governments or transient fishing enterprises. In an effort to help coastal villages help themselves, the government of the Philippines has recently granted villagers greater rights to patrol and control nearby fishing areas.

Another step toward the control of cyanide fishing is the development of cyanide-detection techniques that allow wholesalers to determine whether fish are tainted with residual cyanide. Simply by sampling the water a fish is carried in, the test can detect if the fish is releasing cyanide from its tissues or metabolizing cyanide. Ideally this test could help control illegal and harmful fish trade, but thus far it is not widely used. Attempts have also begun to establish cyanide-free certification, but this has been slow to take effect because the market is dispersed and there are many different exporters.

Coastal villages also suffer from reef damage. Most coastal communities in coral reef regions have traditionally relied on the rich fishery supported by the reef as a principal protein and food source. As reefs suffer, fisheries deteriorate. Some researchers estimate that just 1,300 feet (400 m) of healthy reef can support 800 people, while the same amount of damaged reef can support only 200 people. Other ecological benefits also disappear as reefs deteriorate, since healthy coral reefs perform critical water clarification functions. Corals, along with sea anemones and other life-forms they shelter, filter floating organic matter from the water column, cycling it into the food chain that supports fish, crustaceans, birds, and humans. By

breaking ocean waves offshore, healthy and intact corals also control beach erosion and reduce storm surges, the unusually large waves and tides associated with storms and high winds.

Divers collecting the fish suffer as well. Exposure to cyanide and inadequate or unsafe breathing hoses are common problems. In addition divers must search deeper waters as more accessible fish are depleted. In 1993, forty divers in a single small village were reported to have been injured and ten killed by the bends, which results from rapid changes of pressure as divers rise from deep water.

Unfortunately, there has been relatively little breeding of tropical fish in aquaria, at least in part because these fish often have specialized habitat needs and life-style requirements that are difficult to produce under controlled or domestic conditions. In addition the aquarium trade is specialized and limited in volume, and gearing up to produce fish can be an expensive undertaking for which a reliable market must be assured. Equally unfortunate is the fact that American and European dealers in aquarium products tend to be elusive about the details of where their fish came from and how they were caught. If pet shops do not mention the source, many aquarium owners are able to ignore the implications of the fish trade they are participating in.

In addition to aquarium fishing, cyanide has now been introduced to the live food-fish market, especially in Asia, where live fish are an expensive delicacy. The live food/fish trade, centered in China and Hong Kong, is estimated to exceed \$1 billion per year. The Hong Kong cyanide fleet alone has hundreds of vessels, each employing up to twenty-five divers to catch fish with cyanide for the Chinese and Hong Kong restaurant market.

Another harmful fishing technique is blast fishing—releasing a small bomb that breaks up coral masses in which fish hide. A single explosive might destroy corals in a circle from 10 to 33 feet (3–10 m) wide. The fish, briefly stunned by the blast, are easily retrieved from the rubble. Half the countries in the South Pacific have seen coral damage from blasting, including Guam, Indonesia, Malaysia, and Thailand. The technique has also spread to Africa, where it is used in Tanzania and other countries bordering the Indian Ocean.

Some environmentalists stress that simply eliminating the live fish trade is not an adequate solution. Because live fish are so lucrative, much more valuable than the same weight in dead fish, fishermen may be able to produce a better income with fewer fish when they catch live fish. Steering the fish capture methods to a safer alternative, especially the use of nets, could

do more to save reef communities than eliminating the trade altogether.

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Mary Ann Cunningham

## Aquatic chemistry

Water can exist in various forms within the environment, including (1) liquid water of oceans, lakes and ponds, rivers and streams, soil interstices, and underground aquifers; (2) solid water of glacial ice and more-ephemeral snow, rime, and frost; and (3) vapor water of cloud, fog, and the general atmosphere. More than 97 percent of the total quantity of water in the hydrosphere occurs in the oceans, whereas about 2 percent is glacial ice, and less than 1 percent is groundwater. Only about 0.01 percent occurs in freshwater lakes, and the quantities in other compartments are even smaller.

Each compartment of water in the hydrosphere has its own characteristic chemistry. Seawater has a relatively large concentration of inorganic solutes (about 3.5 percent), dominated by the ions chloride ( $\text{Cl}^-$ ) (1.94 percent), sodium ( $\text{Na}^+$ ) (1.08 percent), sulfate ( $\text{SO}_4^{2-}$ ) (0.27 percent), magnesium ( $\text{Mg}^{2+}$ ) (0.13 percent), calcium ( $\text{Ca}^{2+}$ ) (0.041 percent), potassium ( $\text{K}^+$ ) (0.049 percent), and bicarbonate ( $\text{HCO}_3^-$ ) (0.014 percent).

Surface waters such as lakes, ponds, rivers, and streams are highly variable in their chemical composition. Saline and soda lakes of arid regions have total salt concentrations that can substantially exceed that of seawater. Lakes such as the Great Salt Lake in Utah and the Dead Sea in Israel can have salt concentrations that exceed 25 percent. The shores of such lakes are caked with a crystalline rime of evaporate minerals, which are sometimes mined for industrial use.

The most chemically dilute surface waters are lakes in watersheds with hard, slowly weathering bedrock and soils. Such lakes can have total salt concentrations of less than 0.001 percent. For example, Beaverskin Lake in Nova Scotia has very clear, dilute water that is chemically dominated by chloride, sodium, and

sulfate, in concentrations of two-thirds of the norm for surface water or less, with only traces of calcium, usually most abundant, and no silica ( $\text{SiO}_2$ ). A nearby body of water, Big Red Lake, has a similarly dilute concentration of inorganic ions but, because it receives drainage from a bog, its chemistry also includes a large concentration of dissolved organic carbon, mainly comprised of humic or fulvic acids that stain the water a dark brown and greatly inhibit the penetration of sunlight.

Water in the form of precipitation is considerably more dilute than that of surface waters, with concentrations of sulfate, calcium, and magnesium of ranging from one-fortieth to one-hundredth of surface water levels, but adding small amounts of nitrate and ammonium ( $\text{NH}_4^+$ ). Chloride and sodium concentrations depend on proximity to saltwater. For example, precipitation at a remote site in Nova Scotia, only 31 miles (50 km) from the Atlantic Ocean, will have six to ten times as much sodium and chloride as a similarly remote location in northern Ontario, Canada.

Acid rain is associated with the presence of relatively large concentrations of sulfate and nitrate ( $\text{NO}_3^-$ ) in precipitation water. If the negative electrical charges of the sulfate and nitrate anions cannot be counterbalanced by positive charges of the cations sodium, calcium, magnesium, and ammonium, then hydrogen (H) ions go into solution, making the water acidic. Hubbard Brook Experimental Forest, New Hampshire, within an airshed of industrial, automobile, and residential emissions from the northeastern United States and eastern Canada, receives a substantially acidic precipitation, with an average pH of about 4.1. At Hubbard Brook, sulfate and nitrate together contribute 87 percent of the anion-equivalents in precipitation. Because cations other than the hydrogen ion can only neutralize about 29 percent of those anion charges, hydrogen ions must go into solution, making the precipitation acidic.

Fogwaters can have much larger chemical concentrations, mostly because the inorganic chemicals in fogwater droplets are less diluted by water than in rain and snow. For example, fogwater on Mount Moosilauke, New Hampshire, has average sulfate and nitrate concentrations about nine times more than in rainfall there, with ammonium eight times more, sodium seven times more, and potassium and the hydrogen ion three times more.

The above descriptions deal with chemicals present in relatively large concentrations in water. Often, however, chemicals that are present in much smaller concentrations can be of great environmental importance.

For example, in freshwaters phosphate ( $\text{PO}_4^{3-}$ ) is the nutrient that most frequently limits the productivity of plants, and therefore, of the aquatic ecosystem. If the average concentration of phosphate in lake water is less than about  $10\mu\text{l}$ , then the algae productivity will be very small, and the lake is classified as oligotrophic. Lakes with phosphate concentrations ranging from about 10 to  $35\mu\text{l}$  are mesotrophic, those with 35 to  $100\mu\text{l}$  are eutrophic, and those with more than  $100\mu\text{l}$  are very productive, and very green, hypertrophic bodies of water. In a few exceptional cases, the productivity of freshwater may be limited by nitrogen, silica, or carbon, and sometimes by unusual micronutrients. For example, the productivity of phytoplankton in Castle Lake, California, has been shown to be limited by the availability of the trace metal, molybdenum (Mo).

Sometimes, chemicals present in trace concentrations in water can be toxic to plants and animals, causing substantial ecological changes. An important characteristic of acidic waters is their ability to solubilize aluminum (Al) from minerals, producing ionic aluminum ( $\text{Al}^{3+}$ ). In nonacidic waters, ionic aluminum is generally present in minute quantities, but in very acidic waters when pH is less than 2.0, attainable by acid mine drainage, soluble-aluminum concentrations can rise drastically. Although some aquatic biota are physiologically tolerant of these aluminum ions, other species, such as fish, suffer toxicity and may disappear from acidified bodies of water. Many aquatic species cannot tolerate even small quantities of ionic aluminum. Many ecologists believe that aluminum ions are responsible for most of the toxicity of acidic waters and also of acidic soils.

Some chemicals can be toxic to aquatic biota even when present in ultra trace concentrations. Many species within the class of chemicals known as chlorinated hydrocarbons are insoluble in water, but are soluble in biological lipids such as animal fats. These chemicals often remain in the environment because they are not easily metabolized by microorganisms or degraded by ultraviolet radiation or other inorganic processes. Examples of chlorinated hydrocarbons are the insecticides dichlorodiphenyltrichloroethane (DDT), dichlorodiphenyldichloroethane (DDD), dieldrin, and methoxychlor, the class of dielectric fluids known as polychlorinated biphenyls (PCBs), and the chlorinated dioxin, tetrachloro-dibenzo-p-dioxin (TCDD).

These chemicals are so dangerous because they collect in biological tissues, and accumulate progressively as organisms age through a process termed “bioaccumulation.” They also accumulate into especially large concentrations in organisms at the top of the ecosystem’s food chain in a process termed “biomagnification.” In some cases, older individuals of top predator species have been found to have very large

concentrations of chlorinated hydrocarbons in their fatty tissues. The toxicity caused to raptorial birds and other predators as a result of their accumulated doses of DDT, PCBs, and other chlorinated hydrocarbons is a well-recognized environmental problem. If humans eat aquatic organisms with high concentrations of bioaccumulated chemicals, then the chemicals can accumulate in their bodies if they are not able to metabolize them, ultimately posing a health risk.

Water pollution can also be caused by the presence of hydrocarbons. Accidental spills of petroleum from disabled tankers are the highest profiled causes of oil pollution, but smaller spills from tankers disposing of oily bilge waters, chronic discharges from refineries, and urban runoff are also significant sources of oil pollution. Hydrocarbons can also be present naturally, as a result of the release of chemicals synthesized by algae or during decomposition processes in anaerobic sediment. In a few places, there are natural seepages from near-surface petroleum reservoirs, as occurs in the vicinity of Santa Barbara, California. In general, the typical, naturally occurring concentration of hydrocarbons in seawater is quite small. Beneath a surface slick of spilled petroleum, however, the concentration of soluble hydrocarbons can be multiplied several times, sufficient to cause toxicity to some biota. This dissolved fraction does not include the concentration of finely suspended droplets of petroleum, which can become incorporated into an oil-in-water emulsion toxic to organisms that become coated with it. In general, within the very complex mix of hydrocarbons found in petroleum, the smallest molecules are the most soluble in water.

Agricultural practices result in a large amount of water pollution. Chemicals used as pesticides and fertilizers collect in nearby bodies of water and groundwater due to runoff from rainwater or irrigation water. Additionally, continued soil tillage and cultivation breaks soil particles down and increases soil erosion. These particles, typically accompanied by agricultural chemicals, collect in adjacent bodies of water and affect water quality. Regulations such as the Clean Water Act and the implementation of organic or sustainable methods of agriculture such as integrated pest management (IPM) focus on reducing the chemical input into the environment.

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## Aquatic microbiology

Aquatic microbiology is the science that deals with microscopic living organisms in fresh- or saltwater systems. While aquatic microbiology can encompass all microorganisms, including microscopic plants and animals, it more commonly refers to the study of bacteria, viruses, and fungi and their relation to other organisms in the aquatic environment.

Bacteria are quite diverse in nature. The scientific classification of bacteria divides them into nineteen major groups based on their shape, cell structure, staining properties (used in the laboratory for identification), and metabolic functions. Bacteria occur in many sizes as well, ranging from 0.1 micrometers to greater than 500 micrometers. Some are motile and have flagella, which are tail-like structures used for movement.

Although soil is the most common habitat of fungi, they are also found in aquatic environments. Aquatic fungi are collectively called *water molds* or *aquatic Phycomycetes*. They are found on the surface of decaying plant and animal matter in ponds and streams. Some fungi are parasitic and prey on algae and protozoa.

Viruses are the smallest group of microorganisms and usually are viewed only with the aid of an electron microscope. They are disease-causing organisms that are very different than bacteria, fungi, and other cellular life-forms. Viruses are infectious nucleic acid enclosed within a coat of protein. They penetrate host cells and use the nucleic acid of other cells to replicate.

Bacteria, viruses, and fungi are widely distributed throughout aquatic environments. They can be found in freshwater rivers, lakes, and streams, in the surface waters and sediments of the world's oceans, and even in hot springs. They have even been found supporting diverse communities at hydrothermal vents in the depths of the oceans.

Microorganisms living in these diverse environments must deal with a wide range of physical conditions, and

each has specific adaptations to live in the particular place it calls home. For example, some have adapted to live in freshwater with very low salinity, whereas others live in the saltiest parts of the ocean. Some must deal with the harsh cold of Arctic waters, whereas those in hot springs are subjected to intense heat. In addition, aquatic microorganisms can be found living in environments where there are extremes in other physical parameters such as pressure, sunlight, organic substances, dissolved gases, and water clarity.

Aquatic microorganisms obtain nutrition in a variety of ways. For example, some bacteria living near the surface of either fresh or marine waters, where there is often abundant sunlight, are able to produce their own food through the process of photosynthesis. Bacteria living at hydrothermal vents on the ocean floor where there is no sunlight can produce their own food through a process known as chemosynthesis, which depends on preformed organic carbon as an energy source. Many other microorganisms are not able to produce their own food. Rather, they obtain necessary nutrition from the breakdown of organic matter, such as dead organisms.

Aquatic microorganisms play a vital role in the cycling of nutrients within their environment, and thus are a crucial part of the food chain. Many microorganisms obtain their nutrition by breaking down organic matter in dead plants and animals. As a result of this process of decay, nutrients are released in a form usable by plants. These aquatic microorganisms are especially important in the cycling of the nutrients nitrogen, phosphorus, and carbon. Without this recycling, plants would have few, if any, organic nutrients to use for growth.

In addition to breaking down organic matter and recycling it into a form of nutrients that plants can use, many of the microorganisms become food themselves. There are many types of animals that graze on bacteria and fungi. For example, some deposit-feeding marine worms ingest sediments and digest numerous bacteria and fungi found there, later expelling the indigestible sediments. Therefore, these microorganisms are intimate members of the food web in at least two ways.

Humans have taken advantage of the role these microorganisms play in nutrient cycles. At sewage treatment plants, microscopic bacteria are cultured and then used to break down human wastes. However, in addition to the beneficial uses of some aquatic microorganisms, others may cause problems for people because they are pathogens, which can cause serious diseases. For example, viruses such as *Salmonella typhi*, *S. paratyphi*, and the Norwalk virus are found in

water contaminated by sewage and can cause illness. Fecal coliform (*E. coli*) bacteria and Enterococcus bacteria are two types of microorganisms that are used to indicate the presence of disease-causing microorganisms in aquatic environments.

Marci L. Bortman

## Aquatic toxicology

Aquatic toxicology is the study of the adverse effects of toxins and their activities on aquatic ecosystems. Aquatic toxicologists assess the condition of aquatic systems, monitor trends in conditions over time, diagnose the cause of damaged systems, guide efforts to correct damage, and predict the consequences of proposed human actions so the ecological consequences of those actions can be considered before damage occurs. Aquatic toxicologists study adverse effects at different spatial, temporal, and organizational scales. Because aquatic systems contain thousands of species, each of these species can respond to toxicants in many ways, and interactions between these species can be affected.

Consequently, a virtually unlimited number of responses could be produced by chemicals. Scientists study effects as specific as a physiological response of a local fish species or as inclusive as the biological diversity of a large river basin. Generally, attention is first focused on responses that are considered important either socially or biologically. For example, if a societal goal is to have fishable waters, responses to toxicants of game fishes and the organisms they depend on would be of interest.

The two most common tools used in aquatic toxicology are the field survey and the toxicity test. A field survey characterizes the indigenous biological community of an aquatic system or watershed. Chemistry, geology, and land use are also essential components of field surveys. Often, the characteristics of the community are compared to other similar systems that are in good condition. Field surveys provide the best evidence of the existing condition of natural systems. However, when damaged communities and chemical contamination co-occur, it is difficult to establish a cause-and-effect relationship using the field survey alone. Toxicity tests can help to make this connection. The toxicity test excises some replicable piece of the system of interest, perhaps fish or microbial communities

of lakes, and exposes it to a chemical in a controlled, randomized, and replicable manner. The most common aquatic toxicity test data provide information about the short-term survival of fish exposed to one chemical. Such tests demonstrate whether a set of chemical conditions can cause a specified response. The tests also provide information about what concentrations of the chemical are of concern. Toxicity tests can suggest a threshold chemical concentration below which the adverse effect is not expected to occur; they can also present an index of relative toxicity used to rank the toxicity of two chemicals. By using information from the field survey and toxicity tests, along with other tools such as tests on the fate of chemicals released into aquatic systems, aquatic toxicologists can develop models predicting the effects of proposed actions.

Chemical and thermal pollution caused by human activities can have a dramatic impact on aquatic ecosystems. Chemicals such as pesticides, herbicides, and detergents can drain into rivers and ponds, affecting water quality and thus the inhabiting organisms. These chemicals can negatively affect aquatic organisms, compromising their physiological systems. Some chemicals, such as the banned pesticide dichlorodiphenyltrichloroethane (DDT), act as endocrine disruptors (exogenous chemicals that can mimic the function of endogenous hormones) leading to altered reproductive development. Fish living in a polluted aquatic ecosystem can accumulate these chemicals in their bodies. If humans or other animals consume these fish, the consumers are then exposed to the chemicals. This exposure may induce harmful effects in the consumers, especially in cases involving a developing fetus. Thermal pollution results from either unnaturally warm or cold water being introduced into an aquatic ecosystem. This water can be from industrial sources, reservoirs, or urban runoff (storm water heated from passing over pavement, sidewalks, or rooftops). Water that is unnaturally warmer than the normal temperatures of a body of water can deplete the amount of available oxygen, thus killing fish and other aquatic organisms. Thermal pollution can disrupt the natural biodiversity and chemical composition of the water. Testing bodies of water to obtain information about water quality helps assess the state of an aquatic ecosystem to identify possible sources of contamination and plan preventive measures against further contamination.

The most important challenge to aquatic toxicology will be to develop methods that support sustainable use of aquatic and other ecosystems. Sustainable use is intended to ensure that those now living do not deprive future generations of the essential natural resources necessary to maintain a quality lifestyle.



**Oil spill workers collect tainted debris and dark oil patches along the beach as oil washes ashore at Perdido Pass, Alabama, June 2010.** (Cheryl Casey/Shutterstock.com)

Achieving the goal of sustainable use will require aquatic toxicologists to have a much longer temporal perspective than is now had. Additionally, in a more crowded and increasingly affluent world, cumulative effects will become extremely important.

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John Cairns Jr.

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## Aquatic weed control

A simple definition of an aquatic weed is a plant that grows (usually too densely) in an area such that it hinders the usefulness or enjoyment of that area. Some common examples of aquatic plants that can become weeds are the water milfoils, ribbon weeds, and pondweeds. They may grow in ponds, lakes, streams, rivers, navigation channels, and seashores, and the growth may be because of a variety of factors such as excess nutrients in the water or the introduction of rapidly growing exotic species. The problems caused by aquatic weeds are many, ranging from

unsightly growth and nuisance odors to clogging of waterways, damage to shipping and underwater equipment, and impairment of water quality.

It is difficult and usually unnecessary to eliminate weeds completely from a lake or stream. Therefore, aquatic weed control programs usually focus on controlling and maintaining the prevalence of the weeds at an acceptable level. The methods used in weed control may include one or a combination of the following: physical removal, mechanical removal, habitat manipulation, biological controls, or chemical controls.

Physical removal of weeds involves cutting, pulling, or raking weeds by hand. It is time-consuming and labor-intensive, and is most suitable for small areas or for locations that cannot be reached by machinery. Mechanical removal is accomplished by specialized harvesting machinery equipped with toothed blades and cutting bars to cut the vegetation, collect it, and haul it away. It is suitable for offshore weed removal or to supplement chemical control. Repeated harvesting is usually necessary and often the harvesting blades may be limited in the depth or distance that they can reach. Inadvertent dispersal of plant fragments may also occur and lead to weed establishment in new areas. Operation of the harvesters may disturb fish habitat.

Habitat manipulation involves a variety of innovative techniques to discourage the establishment and growth of aquatic weeds. Bottom liners of plastic sheeting placed on lake bottoms can prevent the establishment of rooted plants. Artificial shading can discourage the growth of shade-intolerant species. Draw down of the water level can be used to eliminate some species by desiccation. Dredging to remove accumulated sediments and organic matter can also delay colonization by new plants.

Biological control methods generally involve the introduction of weed-eating fish, insects, competing plant species, or weed pathogens into an area of high weed growth. While there are individual success stories (for example, stocking lakes with grass carp), it is difficult to predict the long-term effects of the introduced species on the native species and ecology and, therefore, biological controls should be used with caution.

Chemical control methods consist of the application of herbicides that may be either systemic or contact in nature. Systemic herbicides are taken up into the plant and cause plant death by disrupting its metabolism in various ways. Contact herbicides only kill the directly exposed portions of the plant, such as the leaves. While herbicides are convenient and easy to use, they must be selected and used with care at the

appropriate times and in the correct quantities. Sometimes, they may also kill nontarget plant species and, in some cases, toxic residues from the degrading herbicide may be ingested and transferred up the food chain.

In regions of the globe that experience change in aquatic conditions because of climate change in the coming century, the invasion by nonindigenous weed species may be more problematic, necessitating more dedicated weed-control efforts.

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Usha Vedagiri

## Aquifer

Natural zones below the surface that yield water in sufficient quantities to be economically important for industrial, agricultural, or domestic purposes, aquifers can occur in a variety of geologic materials, ranging from glacial-deposited outwash to sedimentary beds of limestone and sandstone, and fractured zones in dense igneous rocks. Composition and characteristics are almost infinite in their variety.

Aquifers can be confined or unconfined. Unconfined aquifers are those where direct contact can be made with the atmosphere, whereas confined aquifers are separated from the atmosphere by impermeable materials. Confined aquifers are also artesian aquifers. Though “artesian” was originally a term applied to water in an aquifer under sufficient pressure to produce flowing wells, the term is now generally applied to all confined situations.

## Aquifer depletion

An aquifer is a water-saturated geological layer that easily releases water to wells or springs for use as a water supply. Also called groundwater reservoirs or water-bearing formations, aquifers are created and replenished when excess precipitation (rain and snowfall)



**Agricultural engineer (on ladder) and hydrologic technician determining the water loss from a field, by measuring the sensible heat flux with a large-aperture scintillometer.**  
(*Science Source/Photo Researchers, Inc.*)

is held in the soil. This water is not released through runoff, nor is it removed by the surface flows of rivers or streams. Plants have used what they need (transpiration) and little is evaporated from nonliving surfaces, such as soil. The remaining excess water slowly percolates downward through the soil and through the air spaces and cracks of the surface overburden of rocks into the bedrock. As water collects in this saturated area or recharge zone, it becomes groundwater. The uppermost level of the saturated area is called the water table.

Groundwater is especially abundant in humid areas where the overburden is relatively thick and the bedrock is porous or fractured, particularly in areas of sedimentary rocks such as sandstone or limestone. Aquifers are extremely valuable natural resources in regions where lakes and rivers are not abundant. Groundwater is usually accessed by drilling a well and then pumping the water to the surface.

In less-moist environments, however, the quantity of precipitation available to recharge groundwater is much smaller. Slowly recharging aquifers in arid environments are easily depleted if their groundwater is used rapidly by humans. In some cases, groundwater sources may exist in water-bearing geologic areas that make pumping nearly impossible. Moreover, increased irrigation use has led to heavy pumping that is draining aquifers and lowering water tables around the world. Aquifer depletion is a growing problem as world populations increase and with them the need for increased food supplies.

Large, rapidly recharging aquifers underlying humid landscapes can sustain a high rate of pumping of their groundwater. As such, they can be sustainably managed as a renewable resource. Aquifers that recharge very slowly, however, are essentially filled with old, so-called “fossil” water that has accumulated over thousands of years or longer. This kind of aquifer has little capability of recharging as the groundwater is used because the groundwater is depleted so rapidly for human use. Therefore, slowly recharging aquifers are essentially non-renewable resources whose reserves are mined by excessive use.

In 1999 and again in 2006, the Worldwatch Institute reported that water tables were falling on every continent in the world, mainly because of excessive human consumption. Groundwater in India, in particular, is being pumped at double the rate of the aquifer’s ability to recharge from rainfall. The aquifer under the North China Plain is seeing its water table fall at 5 feet (1.5 m) a year.

In the United States, the situation is similar. The largest aquifer in the world, known as the Ogallala Aquifer, is located beneath the arid lands of the western United States. The Ogallala aquifer is very slowly recharged by underground seepage that mostly originates with precipitation falling on a distant recharge zone in mountains located in its extreme western range. Much of the groundwater presently in the Ogallala is fossil water that has accumulated during tens of thousands of years of extremely slow infiltration. Although the Ogallala aquifer is an enormous resource, it is being depleted alarmingly by pumping at more than 150,000 wells. Most of the groundwater being withdrawn by the wells is used in irrigated agriculture, and some for drinking and other household purposes. In recent years, the level of the Ogallala aquifer has been decreasing by as much as 3.2 feet (1 m) per year in intensively utilized zones, while the recharge rate is only of the order of one-thirty-second of an inch (1 mm) per year. Obviously, the Ogallala aquifer is being mined on a large scale.

Aquifer depletion brings with it more than the threat of water scarcity for human use. Serious environmental consequences can occur when large amounts of water are pumped rapidly from groundwater reservoirs. Commonly, the land above an aquifer will subside or sink as the water is drained from the geologic formation and the earth compacts. In 1999, researchers noted that portions of Bangkok, Thailand, and Mexico City, Mexico, were sinking as a result of overexploitation of their aquifers. This can cause foundations of buildings to shift and may even contribute to earthquake incidence. Large cities in the United States such as Albuquerque, New Mexico, Phoenix, Arizona, and Tucson, Arizona, lie over aquifers that are being rapidly depleted.

Unfortunately, no solution to aquifer depletion has went beyond drilling deeper wells or abandoning agriculture and import foods. Both are costly choices for any country, both in dollars and in economic independence.

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Bill Freedman

## Aquifer restoration

Once an aquifer is contaminated, the process of restoring the quality of water is generally time-consuming and expensive, and it is often more cost-effective to locate a new source of water. For these reasons, the restoration of an aquifer is usually evaluated on the basis of these criteria: (1) the potential for additional contamination; (2) the time period over which the contamination has occurred; (3) the type of contaminant; and (4) the hydrogeology of the site. Restoration techniques fall into two major categories, in situ methods and conventional methods of withdrawal, treatment, and disposal.

Remedies undertaken within the aquifer involve the use of chemical or biological agents that either reduce the toxicity of the contaminants or prevent them from moving any further into the aquifer, or both. One such method requires the introduction of biological cultures or chemical reactants and sealants through a series of injection wells. This action will reduce the toxicity, form an impervious layer to prevent the spread of the contaminant, and clean the aquifer by rinsing. However, a major drawback to this approach is the difficulty and expense of installing enough injection wells to assure a uniform distribution throughout the aquifer.

In situ degradation, another restoration method, can theoretically be accomplished through either biological or chemical methods. Biological methods involve placing microorganisms in the aquifer that are capable of utilizing and degrading the hazardous contaminant. A great deal of progress has been made in the development of microorganisms that will degrade both simple and complex organic compounds. It may be necessary to supplement the organisms introduced with additional nutrients and substances to help them degrade certain insoluble organic compounds. Before introduction of these organisms, it is also important to evaluate the intermediate products of the degradation to carbon dioxide and water for toxicity. Chemical methods of in situ degradation fall into three general categories: (1) injection of neutralizing agents for acid or caustic compounds; (2) addition of oxidizing agents such as chlorine or ozone to destroy organic compounds; and (3) introduction of amino acids to reduce polychlorinated biphenyls (PCBs).

There are also methods for stabilizing an aquifer and preventing a contaminant plume from extending. One stabilizing alternative is the conversion of a contaminant to an insoluble form. This method is limited to use on inorganic salts, and even those compounds



**An aquifer drainage channel at Bahariya Oasis, Egypt, 2001.**  
 (© Ron Watts/Corbis)

can dissolve if the physical or chemical properties of the aquifer change. A change in pH, for instance, might allow the contaminant to return to solution. Like other methods of in situ restoration, conversion requires the contaminant to be contained within a workable area.

The other important stabilizing alternatives are containment methods, which enclose the contaminant in an insoluble material and prevent it from spreading through the rest of the aquifer. There are partial and total containment methods. For partial containment, a clay cap can be applied to keep rainfall from moving additional contaminants into the aquifer. This method has been used quite often where there are sanitary landfills or other types of hazardous waste sites. Total containment methods are designed to isolate the area of contamination through the construction of some kind of physical barrier, but these have limited usefulness. These barriers include slurry walls, grout curtains, sheet steel, and floor seals. Slurry walls are

usually made of concrete and are put in place by digging a trench, which is then filled with the slurry. The depth at which these walls can be used is limited to 80 to 90 feet (24–27 m). Grout curtains are formed by injecting a cement grout under pressure into the aquifer through a series of injection wells, but it is difficult to know how effective a barrier this is and whether it has uniformly penetrated the aquifer. Depth is again a limiting factor, and this method has a range of 50 to 60 feet (15–18 m). Steel sheets can be driven to a depth of 100 feet (30 m) but no satisfactory technique for forming impermeable joints between the individual sheets has been developed. Floor seals are grouts installed horizontally, and they are used where the contaminant plume has not penetrated the entire depth of the aquifer. None of these methods offers a permanent solution to the potential problems of contamination; in addition, some type of continued monitoring and maintenance is necessary.

Conventional methods of restoration involve removal of the contaminant followed by withdrawal of the water, and final treatment and disposal. Site characteristics that are important include the topography of the land surface, characteristics of the soil, depth to the water table and how this depth varies across the site, and depth to impermeable layers. The options for collection and withdrawal can be divided into five groups: (1) collection wells; (2) subsurface gravity collection drains; (3) impervious grout curtains (as described above); (4) cutoff trenches; or (5) a combination of these options. Collection wells are usually installed in a line, and are designed to withdraw the contaminant plume and to keep movement of other clean water into the wells at a minimum. Various sorts of drains can be effective in intercepting the plume, but they do not work in deep aquifers or hard rock. Cutoff trenches can be dug if the contamination is not too deep, and water can then be drained to a place where it can be treated before final discharge into a lake or stream.

Water taken from a contaminated aquifer requires treatment before final discharge and disposal. To what degree it can be treated depends on the type of contaminant and the effectiveness of the available options. Reverse osmosis uses pressure at a high temperature to force water through a membrane that allows water molecules, but not contaminants, to pass. This process removes most soluble organic chemicals, heavy metals, and inorganic salts. Ultrafiltration also uses a pressure-driven method with a membrane. It operates at lower temperatures and is not as effective for contaminants with smaller molecules. An ion exchange uses a bed or series of tubes filled with a resin to remove selected

compounds from the water and replace them with harmless ions. The system has the advantage of being transportable; and depending on the type of resin, it can be used more than once by flushing the resin with either an acid or salt solution. Both organic and inorganic substances can be removed using this method.

Wet-air oxidation is another important treatment method. It introduces oxygen into the liquid at a high temperature, which effectively treats inorganic and organic contaminants. Combined ozonation or ultraviolet radiation is a chemical process in which the water containing toxic chemicals is brought into contact with ozone and ultraviolet radiation to break down the organic contaminants into harmless parts. Chemical treatment is a general name for a variety of processes that can be used to treat water. They often result in the precipitation of the contaminant, and will not remove soluble organic and inorganic substances. Aerobic biological treatments are processes that employ microorganisms in the presence of dissolved oxygen to convert organic matter to harmless products. Another approach uses activated carbon in columns—the water is run over the carbon and the contaminants become attached to it. Contaminants begin to cover the surface area of the carbon over time, and these filters must be periodically replaced.

These treatment processes are often used in combination. The methods used depend on the ultimate disposal plan for the end products. The three primary disposal options are (1) discharge to a sewage treatment plant; (2) discharge to a surface water body; and (3) land application. Each option has positive and negative aspects. Any discharge to a municipal treatment plant requires pretreatment to standards that will allow the plant to accept the waste. Land application requires an evaluation of plant nutrient supplying capability and any potentially harmful side effects on the crops grown. Discharge to surface water requires that the waste be treated to the standard allowable for that water. For many organics the final disposal method is burning.

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James L. Anderson

## Arable land

Arable land has soil and topography suitable for the economical and practical cultivation of crops. These range from grains, grasses, and legumes to fruits and vegetables. Permanent pastures and rangelands are not considered arable. Forested land is also nonarable, although it can be farmed if the area is cleared and the soil and topography are suitable for cultivation.

## Aral Sea

The Aral Sea is a large, shallow saline lake hidden in the remote deserts of the republics of Uzbekistan and Kazakhstan in the south-central region of the former Soviet Union. Once the world's fourth largest lake in area (smaller only than North America's Lake Superior, Siberia's Lake Baikal, and East Africa's Lake Victoria), in 1960 the Aral Sea had a surface area of 26,250 square miles (68,000 km<sup>2</sup>), and a volume of 260 cubic miles (1,090 cubic km). Its only water sources are two large rivers, the Amu Darya and the Syr Darya. Flowing northward from the Pamir Mountains on the Afghan border, these rivers pick up salts as they cross the Kyzyl Kum and Kara Kum deserts. Evaporation from the landlocked sea's surface (it has no outlet) makes the water even saltier.

The Aral Sea's destruction began in 1918 when plans were made to draw off water to grow cotton, a badly needed cash crop for the newly formed Soviet



**Aral Sea disaster, Kazakhstan.** (*gopixgo/Shutterstock.com.*)

Union. The amount of irrigated cropland in the region was expanded greatly (from 7.2–18.8 million acres [2.9–7.6 million ha]) in the 1950s and 1960s with the completion of the Kara Kum canal. Annual water flows in the Amu Darya and Syr Darya dropped from about 13 cubic miles (55 cubic km) to less than 1 cubic mile (5 cubic km). In some years the rivers were completely dry when they reached the lake. Water extraction has similarly much reduced the volume of the Dead Sea, which is situated between the nations of Israel and Jordan.

Soviet authorities were warned that the sea would die without replenishment, but sacrificing a remote desert lake for the sake of economic development seemed an acceptable tradeoff. Inefficient irrigation practices drained away the lifeblood of the lake. Dry years in the early 1970s and mid-1980s accelerated water shortages in the region. More recently, in a disaster of unprecedented magnitude and rapidity, the Aral Sea seems to be quickly disappearing.

Until 1960 the Aral Sea was fairly stable, but by 1990, it had lost 40 percent of its surface area and two-thirds of its volume. Surface levels dropped 42 feet (914 m), turning 11,580 square miles (30,000 km<sup>2</sup>, about the

size of the state of Maryland) of former seabed into a salty, dusty desert. Fishing villages that were once at the sea's edge are now 25 miles (40 km) from water. Boats trapped by falling water levels lie abandoned in the sand. Salinity of the remaining water has tripled with devastating effects to aquatic life. Commercial fishing that brought in 52,800 tons (48,000 metric tons) in 1957 was completely gone in 1990.

Winds whipping across the dried-up seabed pick up salty dust, poisoning crops and causing innumerable health problems for residents. An estimated 47.3 million tons (43 million metric tons) of salt are blown onto nearby fields and cities each year. Eye irritations, intestinal diseases, skin infections, asthma, bronchitis, and a variety of other health problems have risen sharply in the past thirty years, especially among children. Infant mortality in the Karakalpak Autonomous Republic, adjacent to the Aral Sea, is seventy-five per 1,000, twice as high as in other former Soviet republics.

Among adults, throat cancers have increased five-fold in thirty years. Many physicians believe that heavy doses of pesticides used on the cotton fields and transported by runoff water to the lake sediments

are now becoming airborne in dust storms. Although officially banned, dichlorodiphenyltrichloroethane (DDT) and other persistent pesticides have been widely used in the area and are often found in mothers' milk. More than 35 million people are threatened by this disaster.

In 1987, as water levels continued to drop, the Aral Sea split into two parts called the North Aral Sea (fed by the Syr Darya River) and the South Aral Sea. A dam was constructed in 2005 to replenish the North Aral Sea; by 2008 the North Aral Sea level was nearly 40 feet (12 m) higher than its lowest level measured in 2003. The increased water volume resulted in a limited comeback by some aquatic species, and limited fishing resumed in the North Aral Sea. Unfortunately, the aforementioned dam—built in 2005 with the intention of helping to save the North Aral Sea—cut off most of the inflow of water into the South Aral Sea. As of 2010, the South Aral Sea continued to retreat in size.

A report by the Institute of Geography of the Russian Academy of Sciences predicts that without immediate action, the Aral Sea will vanish in the early 2010s. It is astonishing that such a large body of water could dry up in such a short time. Philip P. Micklin of Western Michigan University, an authority on water issues, says this may be the world's largest ecological disaster. On a visit to the Aral Sea in April 2010, United Nations Secretary-General Ban Ki Moon called the evaporation of the sea "one of the planet's most shocking environmental disasters." Secretary-General Ban urged the leaders of the adjacent Central Asian nations to increase their efforts to prevent the complete disappearance of the Aral Sea.

What can be done to avert this calamity? Clearly, one solution would be to stop withdrawing water for irrigation, but that would compound the disastrous economic and political conditions in the former Soviet republics. More efficient irrigation might save as much as one-half the water now lost without reducing crop yields, but lack of funds and organization in the newly autonomous nations makes new programs and improvements almost impossible. Restoring the river flows to about 5 cubic miles (20 cubic km) per year would probably stabilize the sea at present levels. It would take perhaps twice as much to return it to 1960 conditions.

Before the dissolution of the Soviet Union, there was talk of grandiose plans to divert part of the northward-flowing Ob and Irtysh rivers in western Siberia. In the early 1980s a system of dams, pumping stations, and a 1,500-mile (2,500-km) canal was proposed to move 6 cubic miles (25 cubic km) of water from Siberia to Uzbekistan and Kazakhstan. The cost of \$150 billion and the potential adverse environmental effects led President Mikhail Gorbachev to cancel this scheme in 1986.

Perhaps more than technological fixes, what is needed most is a little foresight and humility in dealing with nature. The words painted on the rusting hull of an abandoned fishing boat lying in the desert might express it best, "Forgive us Aral. Please come back."

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William P. Cunningham

## Arco, Idaho

"Electricity was first generated here from Atomic Energy on December 20, 1951. On December 21, 1951, all of the electrical power in this building was supplied from Atomic Energy."

Those words are written on the wall of a nuclear reactor in Arco, Idaho, a site now designated as a registered national historic landmark by the U.S. Department of the Interior. The inscription is signed by sixteen scientists and engineers responsible for this event.

The production of electricity from nuclear power was truly a momentous occasion. Scientists had known for nearly two decades that such a conversion was possible. Use of nuclear energy as a safe, efficient energy source of power was regarded by many people in the United States and around the world as one of the most exciting prospects for the world of tomorrow.

Until 1945, scientists' efforts had been devoted to the production of nuclear weapons. The conclusion of World War II allowed both scientists and government officials to turn their attention to more productive applications of nuclear energy. In 1949, the U.S. Atomic Energy Commission (AEC) authorized construction of the first nuclear reactor designed for the production of electricity. The reactor was designated "experimental breeder reactor No. 1" (EBR-I).

The site chosen for the construction of EBR-I was a small town in southern Idaho named Arco. Founded in the late 1870s, the town had never grown very large. Its population in 1949 was 780. What attracted the AEC to Arco was the 400,000 acres (162,000 ha) of lava-rock-covered wasteland around the town. The area provided the seclusion that seemed appropriate for an experimental nuclear reactor. In addition, the AEC scientists considered the possibility that the porous lava around Arco would be an ideal place in which to dump wastes from the reactor.

On December 21, 1951, the Arco reactor went into operation. Energy from a uranium core about the size of a football generated enough electricity to light four 200-watt lightbulbs. The next day its output was increased to a level where it ran all electrical systems in EBR-I. In July 1953, EBR-I reached another milestone. Measurements showed that breeding was actually taking place within the reactor. The dream of a generation had become a reality.

The success of EBR-I convinced the AEC to expand its breeder experiments. In 1961, a much larger version of the original plant, “experimental breeder reactor no. 2” (EBR-II) was also built near Arco on a site now designated as the Idaho National Engineering Laboratory of the U.S. Department of Energy. EBR-II produced its first electrical power in August of 1964.

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David E. Newton

## Arctic Council

The Arctic Council is a cooperative environmental protection council composed of eight governments whose goal is to protect the Arctic’s fragile environment while continuing economic development. Established in 1996, the council plans to protect the environment by protecting biodiversity in the Arctic region and maintaining the sustainable use of its natural resources.

The Arctic Council was formed on September 19, 1996, when representatives from Canada, Denmark, Finland, Iceland, Norway, the Russian Federation, Sweden, and the United States signed a *Declaration on the Establishment of the Arctic Council*. The work and programs founded under the former Arctic Environmental Protection Strategy (AEPS) have become integrated into the new Arctic Council, which provides for indigenous representation—including the Inuit Circumpolar Conference, the Saami Council (Scandinavia, Finland, and Russia) for the Nordic areas, and the Association of Indigenous Minorities of North, Siberia, and the far east of the Russian Federation—at all meetings. The indigenous people cannot vote but may provide knowledge of traditional practices for research and a collective understanding of the Arctic. As members of the council’s board, they can recommend ways to spread the work and benefits of oil drilling and mining businesses among indigenous communities while minimizing the negative effects on their land.

The Arctic Council has several working groups dedicated to protecting Arctic wildlife and vegetation from various destructive activities, such as diamond mining and clear-cutting. There is also evidence that the Arctic area receives a disproportionate amount of pollution, as witnessed in the overall land and water degradation.

Former Canadian Environment Minister Sergio Marchi is a supporter of the council’s work, emphasizing “The Arctic is an environmental early warning system for our globe. The Arctic Council will help deliver that warning from pole to pole.”

**ORGANIZATIONS**

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Nicole Beatty

## Arctic haze

Arctic haze refers to the dry aerosol present in arctic regions during much of the year and responsible for substantial loss of visibility through the atmosphere. The arctic regions are, for the most part, very low in precipitation, qualifying on that basis as deserts. Ice accumulates because even less water evaporates than is deposited. Hence, particles that enter the arctic atmosphere are only very slowly removed by precipitation, a process that removes a significant fraction of particles from the tropical and temperate atmospheres. Thus, relatively small sources can lead to appreciable final atmospheric concentrations.

Studies have been conducted on the chemistry of the particles in the haze, and those trapped in the snow and ice. Much of the time the mix of trace elements in the particles is very close to that found in the industrial emissions from northern Europe and Siberia and quite different from that in such emissions from northern North America. Concentrations decrease rapidly with depth in the ice layers, indicating that these trace elements began to enter the atmosphere within the past few centuries. It is now generally conceded that most of the haze particles are derived from human activities, primarily—though not exclusively—in northern Eurasia.

Since the haze scatters light, including sunlight, it decreases the solar energy received at the ground level in polar regions and may, therefore, have the potential to decrease arctic temperatures. Arctic haze also constitutes a nuisance because it decreases visibility. The trace elements found in arctic haze apparently are not yet sufficiently concentrated in either atmosphere or precipitation to constitute a significant toxic hazard.

One form of aerosol pollution that contributes to Arctic haze is soot, tiny, dark particles consisting mostly of carbon that are released by burning many fuels. Soot, whether in the air or lying on icy surfaces, absorbs sunlight and so tends to warm the earth and accelerate melting of snow and ice. Not only the Arctic but other ice-covered regions are strongly affected by soot; in 2008, researchers studying soot released by cooking fires in South Asia and coal-burning in East Asia

concluded that soot is a more important contributor to global warming than had been hitherto known, edging out methane as the second-largest greenhouse pollutant after carbon dioxide. Melting of glaciers in the Himalaya Mountains may have as much to do with soot as with carbon dioxide. Dimming of the skies by soot reduces evaporation and regional temperature differences over the Indian Ocean, causing less rain to fall during the monsoon season and so contributing to drought in Southeast Asia. The new estimate of the radiative forcing (energy imbalance between the earth and sunlight) caused by soot was about double that cited by the Intergovernmental Panel on Climate Change (IPCC) in its influential 2007 summary of the state of climate science. Replacing dung and wood as cooking fuels in South Asia with biogas, natural gas, and solar cookers could significantly reduce the problem.

As the Arctic continues to warm in this century, predictions are that the increasing amounts of sea ice will melt. If this occurs, the pollution in the region could increase because of the increased shipping and mining. This, combined with pollution drifting northward, could increase Arctic haze.

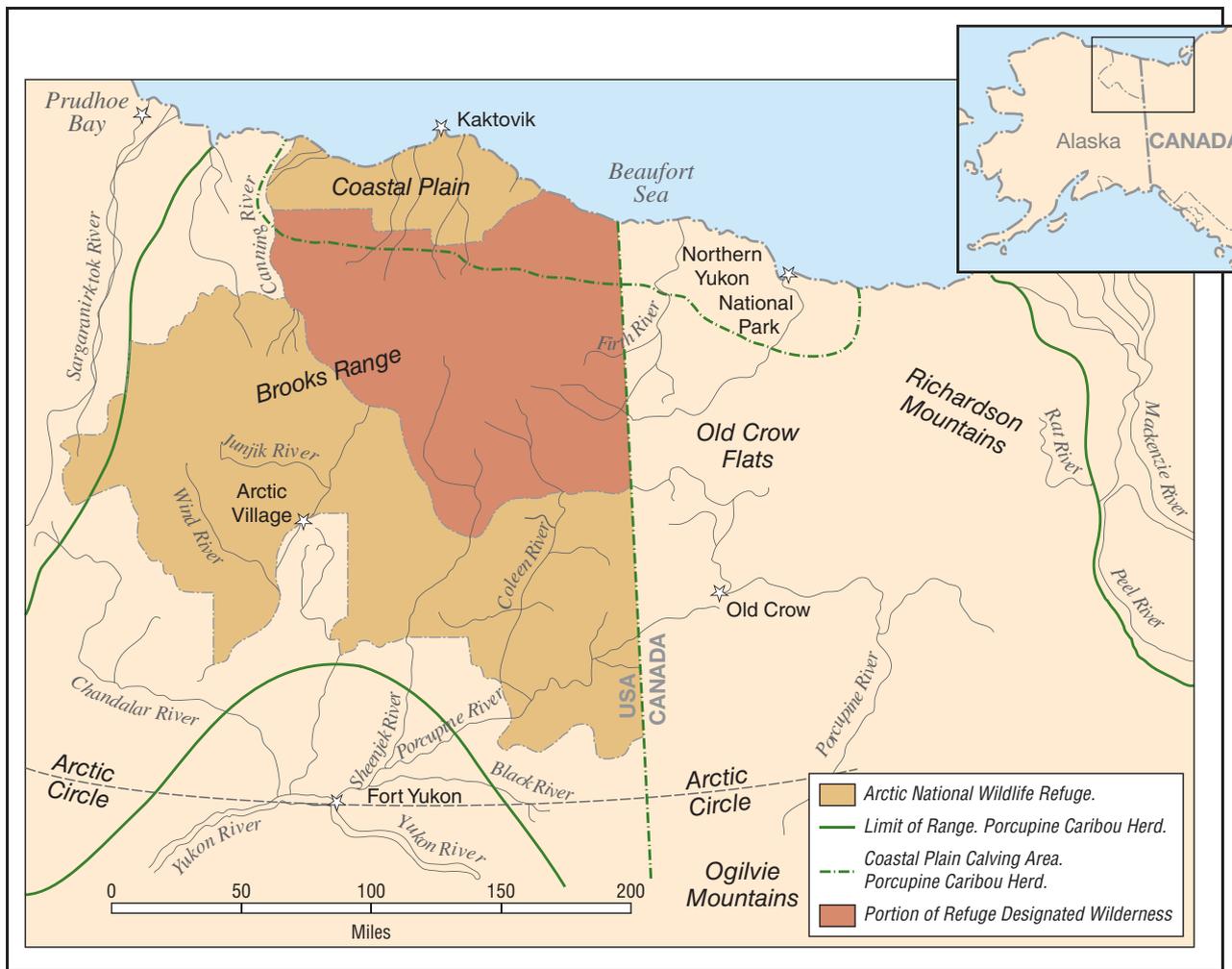
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James P. Lodge Jr.

## Arctic National Wildlife Refuge

Beyond the jagged Brooks Range in Alaska's far northeastern corner lies one of the world's largest nature preserves, the 19.8 million-acre (8 million-ha) Arctic National Wildlife Refuge (ANWR). It is the largest wildlife refuge in the United States. A narrow strip of treeless coastal plain in the heart of the refuge presents one of nature's grandest spectacles, as well as one of the longest-running environmental battles of the past century. For a few months during the brief



A map of the Arctic National Wildlife Refuge (ANWR) in Alaska. (Reproduced by permission of Gale, a part of Cengage Learning)

arctic summer, the tundra teems with wildlife. This is the calving ground of the 130,000 caribou of the Porcupine herd (*Rangifer tarandus granti*), which travels up to 400 miles (640 km) each summer to graze and give birth along the Arctic Ocean shore. It also is an important habitat for tens of thousands of snow geese (*Chen caerulescens*), tundra swans (*Cygnus columbianus*), shorebirds, and other migratory waterfowl; a denning area for polar bears (*Ursus maritimus*), arctic foxes (*Alopex lagopus*), and arctic wolves (*Canis lupus arctos*); and a year-round home to about 350 shaggy musk ox (*Ovibos moschatus*). In wildlife density and diversity, it rivals Africa's Serengeti.

When Congress established the Wildlife Refuge in 1980, a special exemption was made for about 1.5 million acres (600,000 ha) of coastline between the mountains and the Beaufort Sea, where geologists think sedimentary strata may contain billions of

barrels of oil and trillions of cubic feet of natural gas. Termed the 1002 area for the legislative provision that put it inside the wildlife refuge but reserved the right to drill for fossil fuels, this narrow strip of tundra may be the last big, onshore, liquid petroleum field in North America. It also is a critical habitat for one of the richest biological communities in the world. The possibility of extracting the fossil fuels without driving away the wildlife and polluting the pristine landscape is supported by oil industry experts and disputed by biologists and environmentalists.

The amount of oil and gas beneath the tundra is uncertain. Only one seismic survey has been done and a few test wells drilled. Industry geologists claim that there may be sixteen billion barrels of oil under the ANWR, but guessing the size and content of the formation from this limited evidence is as much an art as a science. Furthermore, the amount of oil it is economical

to recover depends on market prices and shipping costs. When wholesale prices were \$25 per barrel, the U.S. Geological Survey estimated that seven billion barrels might be pumped profitably. If prices drop below \$10 per barrel, as they did in the early 1990s, the economic resource might be only a few hundred million barrels; but if they soar to over \$100 per barrel, as they did in 2008, it could be quite lucrative to think in terms of billions of barrels again.

Energy companies are extremely interested in ANWR because any oil found there could be pumped out through the existing Trans-Alaska pipeline, thus extending the life of their multibillion-dollar investment. The state of Alaska hopes that revenues from ANWR will replenish dwindling coffers as the oil supply from nearby Prudhoe Bay wells dry up. Automobile companies, tire manufacturers, filling stations, and others who depend on the continued use of petroleum argue that domestic oil supplies are rapidly being depleted, leaving the United States dependent on foreign countries for more than one-half of its energy consumption.

Oil drilling proponents point out that prospecting will occur only in winter when the ground is covered with snow and most wildlife is absent or hibernating. Once oil is located, they claim, it will take only four or five small drilling areas, each occupying no more than a few hundred hectares, to extract it. Heavy equipment would be hauled to the sites during the winter on ice roads built with water pumped from nearby lakes and rivers. Each 2.2-yards-thick (2-m), gravel drilling pad would hold up to fifty closely spaced wells, which would penetrate the permafrost (soil or rock at temperatures of 32° F [0° C] or below) and then spread out horizontally to reach pockets of oil up to 6 miles (10 km) away from the wellhead. A central processing facility would strip water and gas from the oil, which would then be pumped through elevated, insulated pipelines to join oil flowing from Prudhoe Bay.

Opponents of this project argue that the noise, pollution, and construction activity accompanying this massive operation will drive away wildlife and leave scars on the landscape that could last for centuries. Pumping the millions of gallons of water needed to build ice roads could dry up local ponds on which wildlife depends for summer habitat. Every day six to eight aircraft—some as big as the C-130 Hercules—would fly into ANWR. The smell of up to 700 workers and the noise of numerous trucks and enormous power-generating turbines, each as large and loud as a jumbo aircraft engine, would waft out over the tundra. Pointing to the problems of other Arctic oil drilling operations where drilling crews dumped garbage, sewage, and toxic drilling waste into surface pits,

environmentalists predict disaster if drilling is allowed in the refuge. Pipeline and drilling spills at Prudhoe Bay have contaminated the tundra and seeped into waterways. And scars from bulldozer tracks made fifty years ago can still be seen clearly today. While serving as president of the Natural Resources Defense Council, John Adams claimed that the once pristine wildlife habitat of Prudhoe Bay has become a toxic, industrial wasteland.

Oil companies planning to drill in ANWR, by contrast, claim that old, careless ways are no longer permitted in their operations. Wastes are collected and either burned or injected into deep wells. Although some animals do seem to have been displaced at Prudhoe Bay, the Central Arctic herd with 27,000 caribou is five times larger now than it was in 1978 when drilling operations began there. But in ANWR the Porcupine herd has five times as many animals crowded into one-fifth the area and may be much more sensitive to disturbance than their cousins to the west.

Native people are divided on this topic. The coastal Inupiat people, many of whom work in the oil fields, support opening the refuge to oil exploration. They hope to use their share of the oil revenues to build new schools and better housing. The Gwich'in people, who live south of the refuge, would gain nothing from oil exploitation. They worry that the migrating caribou populations on which they depend might decline as a result of drilling on critical calving grounds.

Even if ANWR contains seven billion barrels of oil, it will take at least a decade to begin to get it to market and the peak production rate will probably be about one million barrels of oil per day. Flow from the 1002 area would then meet less than 4 percent of the U.S. daily oil consumption. Improving the average fuel efficiency of all cars and light trucks in America by just 1 mile (1.6 km) per gallon would save more oil than is ever likely to be recovered from ANWR, and it would do so far faster and cheaper than extracting and transporting crude oil from the arctic. Cutting fossil fuel consumption also is vital if catastrophic global climate change is to be avoided.

In 1995, Congress passed a budget that included a provision to allow drilling in ANWR, but President Bill Clinton vetoed the bill. In 2002, the Republican-controlled House of Representatives once again passed an energy bill that authorized oil and gas exploration in ANWR. President George W. Bush strongly supported this bill and promised to sign it if given an opportunity. The Senate energy bill, however, rejected ANWR drilling by a vote of fifty-four to forty-six, and

the measure was abandoned by its sponsors. Drilling in Alaska was a controversial topic of debate in the 2008 United States presidential election. As a candidate for president, Barack Obama stated that he opposed drilling for oil in ANWR, a position he still held when he entered office in 2009.

Undoubtedly, this debate will not disappear anytime soon. With a potential of billions of dollars to be made from oil and gas formations, the industry will not give up easily. However, with the uncertainties about the amount of obtainable oil and oil prices voiced by the U.S. Department of Energy in 2008, drilling may not be as profitable as many hoped. The impact of drilling on the well-being and survival of the inhabitants of the wildlife refuge, as well as the potential effects on climate change resulting from the use of fossil fuels from the ANWR combined, may be a strong enough argument to prevent Alaskan drilling. For many political conservatives, this issue has become a matter of principle. They believe that the United States has both a right and a duty to exploit the resources available to them. Environmental groups feel equally strongly about the value of wildlife, wilderness, and one of the last remaining undisturbed places in the world. Protecting this harsh but beautiful land garnered more donations and public passions than any other environmental issue in the past decade. Clearly, however ANWR ends up being managed will be a landmark in environmental history.

Complying with a federal judge's order to decide whether polar bears are a threatened species, in May 2008 the U.S. Interior Department designated the polar bear a threatened species. The bear is therefore entitled to Endangered Species Act protections. Federal scientists had previously stated that a two-thirds reduction of the current population level (about 25,000) was likely by 2050. Among the reasons cited for the bears' declining security was loss of the Arctic sea ice that is an essential part of its habitat. Global warming has been found to be the cause of the diminishing ice, but the government's May 2008 statement emphasized that U.S. climate policy would not change to protect the bears. The announcement also claimed that oil and gas exploration in the Arctic (including the ANWR, which supports an unusually high density of polar-bear land dens) does not threaten the bears and stated that the bears' new status would have no effect on such activities. In fact, no changes in policy or regulation were to be promulgated, reducing the announcement, environmental organizations and many scientists said, to a mere formality. As of 2010, the International Union for Conservation of Nature (IUCN) lists polar bears as "vulnerable."

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William P. Cunningham

## Arid

Arid lands are dry areas or deserts where a shortage of rainfall prevents permanent rain-fed agriculture. They are bordered by, and interact with, marginal or semiarid lands where the annual rainfall (still only 10–15 inches [25–38 cm]) allows limited agriculture and light grazing. However, in many parts of the world human mismanagement, driven by increasing populations, has degraded these areas into unusable arid lands. For example, clearing natural vegetation and overgrazing have led to soil erosion, reduced land productivity, and ultimately reduced water availability in the region. This degradation of semiarid lands to deserts, which can also occur naturally, is known as desertification.

## Arid landscaping

Arid landscaping, or xeriscaping (from the Greek word *xeros*, meaning dry), is the integration of practicality and beauty in drought-prone public and private gardens. Xeriscaping is part of a larger trend among environmentalist gardeners to incorporate native, rather than imported, species within local ecosystems.

In drought-prone areas such as California, where water conservation is imperative and lawns and flower gardens are at risk, gardeners have taken several steps to cope with drought. A twenty-by-forty foot (6-by-12 m) green lawn requires about 2,500 gallons (9,475 l) of water a month, enough for a four-member family for ten days. Some arid landscapers eliminate lawns altogether and replace them with flagstone or concrete walkways; others aerate the soil or cut the grass higher for greater water retention. More popular is replacing imported grasses with native grasses, already adapted to the local climate, and letting them grow without being cut.

Some big trees, such as oak, do better in drought than others, such as birches or magnolias. To save big trees that are expensive and important providers of shade, some gardeners place “soaker” hoses, in which water flows out through holes in the length of the hose in concentric circles at the base of trees and water them deeply once or twice a season.

More commonly, arid landscapers replace water-loving shrubs and trees with those best-adapted to drought conditions. These include some herbs, such as rosemary, thyme, and lavender, and shrubs such as the silvery santolina, which are important in color composition of gardens.

Other plants that grow well in semiarid and arid conditions are legumes (Leguminosae), poppies (Papaveraceae), daisies (Compositae), black-eyed susans (*Rudbeckia fulgida*), goldenrod (*Solidago*), honeysuckle (*Lonicera*), sunflowers (*Helianthus*), daylilies (*Hemerocallis*), and eucalyptus. Generally, drought-resistant plants have silvery leaves that reflect sunlight (*Argentea*); hairy (*Tomentosum*) or stiff-haired (*Hirsuta*) leaves that help retain moisture; and long, narrow leaves (*Angustifolia*), threadlike leaves (*Filimentosa*), and aromatic leaves (*Aromatica*) that provide a moisture-protecting haze in heat.

Drip irrigation, hoses with small regular holes, whose amount is regulated by timers, is the most efficient xeriscape watering technique. Mulching with dead leaves, pine bark, straw, and other organic matter helps retain soil moisture. Soil types are a critical part of arid landscaping; 1 inch (2.5 cm) of water, for

example, will penetrate six-to-eight inches (15–20 cm) into loam (a sand, peat, and clay mixture), but only 4 to 5 inches (10–13 cm) into dense clay.

Arid landscapers monitor plant health and soil dryness only when necessary, often in the early morning or in the evening to avoid evaporation by the sun. Recycling “gray” water, runoff from household sinks and washing machines, is best if the water is used quickly before bacteria collects and if laundry detergents are biodegradable. Arid landscapers, using native and drought-resistant plant species in conjunction with stone and concrete walkways and mulch, create gardens that are not only aesthetically pleasing but ecologically healthy.

The need for arid landscaping will become more pressing in regions that will increasingly experience water shortage and outright drought, as the global climate continues to change in this century. While some regions of the globe will experience increased precipitation, the area with arid conditions will also expand.

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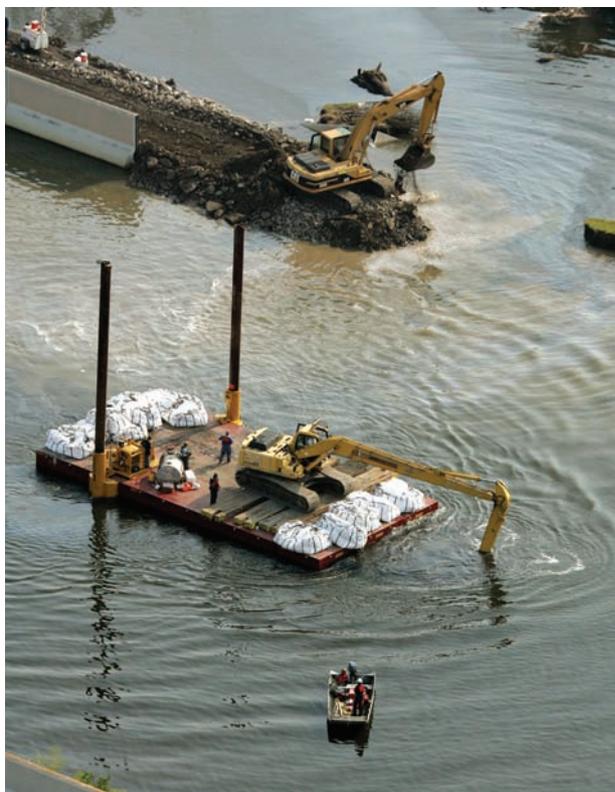
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Stephanie Ocko

## Army Corps of Engineers

The United States Army Corps of Engineers, headquartered in Washington, DC, is the world’s largest engineering organization. The Corps was founded on June 16, 1775, the eve of the Battle of Bunker Hill, as the Continental Army fought cannon bombardments from British ships.

From early in the history of the corps, the organization has handled both military and civil engineering needs of the United States. In earlier times those needs included coastal fortifications and lighthouses, surveying and exploring the frontier, construction of public buildings, snagging and clearing river channels, and operating early national parks such as Yellowstone. Under the corps’ direction, the Panama Canal and St.



**Workers repair the broken 17th Street levee after Hurricane Katrina, on September 3, 2005, in New Orleans.** (AP Photo/David J. Phillip, Pool)

Lawrence Seaway were built; in addition, the Corps administered the Manhattan Project, which led to the development of the atomic bomb during World War II.

Today, the Corps of Engineers provides engineering and related services in four areas: water and natural resource management (civil works), military construction and support, engineering research and development, and support to other government agencies.

In its military role, the Corps of Engineers provides support on the battlefield, enhancing movement and operations of American forces while impeding or delaying enemy actions. Corps engineers also plan, design, and supervise construction of military facilities and operate and maintain Army installations worldwide.

In its civil role, the Corps is responsible for the development of the nation's water resources and the operation and maintenance of completed water resource projects. Emerging engineering needs include renewal of infrastructure, management and control of wetlands development, and ocean dumping; waste management, including hazardous and toxic waste,

solid waste, and nuclear waste; and disaster response and preparedness.

The Corps undertook the task of “unstraightening” the Kissimmee River in south Florida. The river had been straightened into a canal for flood control between 1961 and 1971, losing one-half its length and most of its marshes. In the first reversal of a Corps’ project, the river is slated to regain its curves.

In 2005, the Corps received widespread criticism after levees protecting New Orleans, Louisiana, failed following Hurricane Katrina. Congress passed the Flood Control Act of 1965 after Hurricane Betsy flooded parts of New Orleans. The Flood Control Act mandated that the Corps develop a system of levees to protect the city. After Hurricane Katrina passed New Orleans, water breached the levee system in over fifty places, flooding over three-quarters of the city. A subsequent investigation by the U.S. Senate determined that the levee system had design flaws of which the corps had no knowledge. A National Science Foundation investigation, however, uncovered a 1986 corps study that called the levee design into question.

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Linda Rehkopf

## Arrhenius, Svante A.

1859–1927

Swedish chemist and physicist

Svante August Arrhenius was a Swedish scientist. First trained as a physicist, he became a chemist, and was one of the founders of the discipline of physical chemistry.

Arrhenius gave evidence of his intellectual brilliance at an early age. He taught himself to read by the age of three and learned to do arithmetic by watching his father keep books for the estate of which he was in charge. Arrhenius began school at the age of eight,

when he entered the fifth-grade class at the Cathedral School in Uppsala. After graduating in 1876, Arrhenius enrolled at the University of Uppsala.

At Uppsala, Arrhenius concentrated on mathematics, chemistry, and physics and passed the candidate's examination for the bachelor's degree in 1878. He then began a graduate program in physics at Uppsala, but left after three years of study. He was said to be dissatisfied with his physics adviser and felt no more enthusiasm for the only adviser available in chemistry, Per Theodor Cleve. As a result, he obtained permission to do his doctoral research in absentia with the physicist Eric Edlund at the Physical Institute of the Swedish Academy of Sciences in Stockholm.

The topic Arrhenius selected for his dissertation was the electrical conductivity of solutions. In 1884 Arrhenius submitted his thesis on this topic. In the thesis he hypothesized that when salts are added to water, they break apart into charged particles now known as ions. What was then thought of as a molecule of sodium chloride, for example, would dissociate into a charged sodium atom (a sodium ion) and a charged chlorine atom (a chloride ion). The doctoral committee that heard Arrhenius's presentation in Uppsala was totally unimpressed by his ideas. Among the objections raised was the question of how electrically charged particles could exist in water. In the end, the committee granted Arrhenius his PhD, but with a score so low that he did not qualify for a university teaching position.

Convinced that he was correct, Arrhenius had his thesis printed and sent it to a number of physical chemists on the continent, including Rudolf Clausius, Jacobus van't Hoff, and Wilhelm Ostwald. These men formed the nucleus of a group of researchers working on problems that overlapped chemistry and physics, developing a new discipline that would ultimately be known as physical chemistry. From this group, Arrhenius received a much more encouraging response than he had received from his doctoral committee. In fact, Ostwald came to Uppsala in August 1884 to meet Arrhenius and to offer him a job at Ostwald's Polytechnikum in Riga, Latvia. Arrhenius was flattered by the offer and made plans to leave for Riga, Latvia, but eventually declined for two reasons. First, his father was gravely ill (he died in 1885), and second, the University of Uppsala decided at the last moment to offer him a lectureship in physical chemistry.

Arrhenius remained at Uppsala only briefly, however, as he was offered a travel grant from the Swedish Academy of Sciences in 1886. The grant allowed him to spend the next two years visiting major scientific

laboratories in Europe, working with Ostwald in Riga, Friedrich Kohlrausch in Wurzburg, Ludwig Boltzmann in Graz, and van't Hoff in Amsterdam. After his return to Sweden, Arrhenius rejected an offer from the University of Giessen, Germany, in 1891, in order to take a teaching job at the Technical University in Stockholm. Four years later he was promoted to professor of physics there. In 1903, during his tenure at the Technical University, Arrhenius was awarded the Nobel Prize in chemistry for his work on the dissociation of electrolytes.

Arrhenius remained at the Technical University until 1905 when, declining an offer from the University of Berlin, he became director of the physical chemistry division of the Nobel Institute of the Swedish Academy of Sciences in Stockholm. He continued his association with the Nobel Institute until his death in Stockholm on October 2, 1927.

Although he will always be remembered best for his work on dissociation, Arrhenius was a man of diverse interests. In the first decade of the twentieth century, for example, he became especially interested in the application of physical and chemical laws to biological phenomena. In 1908, Arrhenius published a book titled *Worlds in the Making* in which he theorized about the transmission of life-forms from planet to planet in the universe by means of spores.

Arrhenius's name has also surfaced in recent years because of the work he did in the late 1890s on the greenhouse effect. He theorized that carbon dioxide in the atmosphere has the ability to trap heat radiated from the earth's surface, causing a warming of the atmosphere. Changes over time in the concentration of carbon dioxide in the atmosphere would then, he suggested, explain major climatic variations such as the glacial periods. In its broadest outlines, the Arrhenius theory sounds similar to speculations about climate changes resulting from global warming.

Among the honors accorded Arrhenius in addition to the Nobel Prize were the Davy Medal of the Royal Society (1902), the first Willard Gibbs Medal of the Chicago section of the American Chemical Society (1911), and the Faraday Medal of the British Chemical Society (1914).

A chemical equation and a crater on the moon have been named after him.

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## Arsenic

Arsenic (As) is an element having an atomic number of thirty-three and an atomic weight of 74.9216 that is listed by the U.S. Environmental Protection Agency (EPA) as a hazardous substance and as a carcinogen. *The Merck Index* states that the symptoms of acute poisoning following arsenic ingestion are irritation of the gastrointestinal tract, nausea, vomiting, and diarrhea that can progress to shock and death. According to the 2001 Update Board on Environmental Studies and Toxicology, such toxic presentations generally require weeks to months of exposure to arsenic at high doses, as much as 0.02 mg/lb/day (0.04 mg/kg/day). Furthermore, long-term, or chronic poisoning can result in skin thickening, exfoliation, and hyperpigmentation. Continuing exposure also has been associated with development of herpes, peripheral neurological manifestations, and degeneration of the liver and kidneys. Of primary importance, however, is the association of chronic arsenic exposure with increased risk of developing high blood pressure, cardiovascular disease, and skin cancer, diseases that are increasing public health concerns.

Safe drinking water standards are regulated by the EPA in the United States. Arsenic enters drinking water supplies naturally from deposits and from agricultural and industrial processes. In February 2002, the EPA revised the former standard for acceptable levels of arsenic in drinking water. The revision of the Safe Drinking Water Act reduced the standard maximum contaminant level (MCL) from fifty parts per billion (ppb) to ten ppb of arsenic as the acceptable level for drinking water. The regulation required that all states comply with the new standard by January 2006. Sources of arsenic contamination of drinking water include erosion of natural deposits and run off of waste from glassmaking and electronics industries. Also, arsenic is used in insecticides and rodenticides, although much less widely than it once was due to new information regarding its toxicity.

Perhaps one of the greatest environmental problems from arsenic has occurred in Bangladesh, where the provision of clean drinking water led to the construction of numerous wells in the 1970s. Prior to this time, drinking water was obtained from surface waters, which were

contaminated with bacteria and other disease-causing agents. The geology of the area is such that arsenic concentration in much of the ground water is at toxic levels, resulting in high concentrations in well water. It is now estimated that between 35 and 77 million Bangladeshis may be drinking water containing more arsenic than is permissible. The high variability of arsenic in water from different wells means there is no simple overall solution to what is a very important problem. Until the contaminated wells are tested and identified, the only solution is to collect rainwater for drinking or to use treated surface waters. As of late 2006, there were 40,000 diagnosed cases of arsenic poisoning in Bangladesh. Results of a ten-year study published in 2010 has indicated that upward of 77 million people have well water with at least periodic toxic levels of arsenic, and that tens of millions of people have been put at risk of early death because of their exposure. Estimates are that 90 percent of the population of Bangladesh uses potentially contaminated groundwater as their source of drinking water.

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## Arsenic-treated lumber

Arsenic-treated wood is wood that has been pressure-treated with a pesticide containing inorganic arsenic (i.e., the arsenic compound does not contain

carbon) to protect it from dry rot, fungi, molds, termites, and other pests. The arsenic can be a part of a chromated copper arsenate (CCA) chemical mixture consisting of three pesticidal compounds, consisting of copper, chromate, and arsenic; the most commonly used type of CCA contains 34 percent arsenic as arsenic pentoxide. Less commonly used wood preservatives containing arsenic include the pesticide ammoniacal copper arsenate (ACA), which contains ammonium, copper, and arsenic, and the pesticide ammoniacal copper zinc arsenate (ACZA), which contains ammonia, copper, zinc, and arsenic.

Inorganic arsenic in CCA has been used since the 1940s. CCA is injected into wood through a process that uses high pressure to saturate wood products with the chemicals. Preserved wood products, such as utility poles, highway noise barriers, signposts, retaining walls, boat bulkheads, dock pilings, and wood decking, are used in the construction, railroad, and utilities industries. Historically CCA has been the principal chemical used to treat wood for outdoor uses around a home. Residential uses of arsenic-treated woods include play structures, decks, picnic tables, gazebos, landscaping timbers, residential fencing, patios, and walkways or boardwalks. After wood is pressure-treated with arsenic compounds, residues of the preservatives can remain on the surface. The initial residues wash off, but as the wood weathers, new layers of treated wood and pesticides are exposed. Arsenic is also present in paints that are used to cover the cut ends of treated wood. Freshly arsenic-treated wood, if not coated, has a greenish tint, which fades over time.

Arsenic is acutely toxic. Contact with arsenic may cause irritation of the stomach, intestines, eyes, nose, and skin; blood vessel damage; and reduced nerve function. In addition, according to the National Academy of Sciences and the National Research Council, exposure to arsenic increases the risk of human lung, bladder, and skin cancer over a lifetime and is suspected as a cause of kidney, prostate, and nasal passage cancer. The National Academy of Sciences and the Science Advisory Board of the United States Environmental Protection Agency has also reported that arsenic may cause high blood pressure, cardiovascular disease, and diabetes.

Arsenic may enter the body through the skin, by ingestion, or by inhalation. Ingestion occurs most frequently when contaminated hands are put in the mouth, or when contaminated hands are used for eating food. Repeated exposure will increase risks of adverse health effects. Splinters of wood piercing the skin may also be a means of entry of arsenic into the

body, but the importance of this route has not been well-studied.

The use of most pesticides containing arsenic had been banned by the United States Environmental Protection Agency, but in 1985 CCA was designated as a restricted-use pesticide. However, CCA-treated wood products were not regulated like the pesticides the wood products contained because it was assumed that the pesticides would stay in the wood. Unfortunately adequate information was not available on whether arsenic is fixed in the wood permanently and whether the wood product is safe. It is known that fixation of the chemicals in the wood matrix is enhanced if the treated wood is wrapped in tarps and stored for a sufficient length of time, which varies with the temperature. For example, to achieve fixation, the wood must be stored for thirty-six days in 50°F (21°C) weather and for twelve days in 70°F (10°C) weather. At the freezing temperature point, no fixation occurs.

However, research by the Florida Center for Solid and Hazardous Waste Management and the Connecticut Agricultural Experiment Station suggests that leaching of arsenic into the soils or into surface water under CCA-treated structures occurs at greater-than-safe levels. Florida studies showed that arsenic was found in soils underneath the eight pressure-treated decks that were investigated. Of seventy-three samples taken, sixty-one samples had levels of arsenic higher than the Florida cleanup levels for industrial sites. One sample had arsenic levels 300 times higher than the state's mandated cleanup level.

Based on the potential for adverse health effects, the United States Protection Agency announced in February 2002 that the use of consumer products made with CCA, including play structures, decks, picnic tables, landscaping timbers, patios, walkways, and boardwalks, will be phased out voluntarily on the wood treating industry on December 31, 2003. Wood treated prior to December 31, 2003, can still be used, and already-built structures using CCA-treated wood and the soil surrounding the structures will not have to be replaced or removed. As of August 2001, Switzerland, Vietnam, and Indonesia had already banned the use of CCA-treated wood, while Germany, Sweden, Denmark, Japan, Australia, and New Zealand restricted its use.

The United States Environmental Protection Agency has issued cautionary recommendations to reduce consumer exposure to arsenic-contaminated wood. What follows are some of those recommendations. Treated wood should not be used where the preservative can become a component of water, food, or animal feed. Treated wood should not be used where

it may come into direct or indirect contact with drinking water, except for uses where there is incidental contact, such as docks and bridges. Treated wood should not be used for cutting boards or countertops, and food should not be placed directly on treated wood. Children and others should wash their hands after playing or working outdoors, as arsenic may be swallowed from hand-to-mouth activity. Children and pets should be kept out from under-deck areas. Edible plants should not be grown near treated decks or other structures constructed of treated wood. A plastic liner should be placed on the inside of arsenic-treated boards used to frame garden beds. Sawdust from treated wood should not be used as animal bedding, and treated boards should not be used to construct structures for storage of animal feed or human food. Treated wood should not be used in the construction of the parts of beehives that may come into contact with honey.

Only wood that is visibly clean and free of surface residues (e.g., wood that does not show signs of crystallization or resin on its surface) should be used for patios, decks, and walkways. Consumers working with arsenic-treated wood should reduce their exposure by only sawing, sanding, and machining treated wood outdoors and by wearing a dust mask, goggles, and gloves when performing these types of activities. They should also wash all exposed areas of their bodies thoroughly with soap and water before eating, drinking, or using tobacco products. Work clothes should be washed separately from other household clothing before being worn again. Sawdust, scraps, and other construction debris from arsenic-treated wood should not be composted or used as mulch, but caught on tarps for disposal off-site. Pressure-treated wood has an exemption from hazardous waste regulations and can be disposed of in municipal landfills without a permit. Pressure-treated wood should not be burned in open fires or in stoves, fireplaces, or residential boilers, as both the smoke and the ash may contain toxic chemicals. Treated wood from commercial or industrial uses, such as from construction sites, may only be burned in commercial or industrial incinerators or boilers in accordance with state and federal regulations.

The United States Consumer Product Safety Commission has recommended that playground equipment be painted or sealed with a double coat of a penetrating, nontoxic and non slippery sealant (e.g., oil-based or semitransparent stains) every one or two years, depending upon wear and weathering. However, available data are limited on whether sealants will reduce the migration of wood preservative chemicals from CCA-treated wood. Other potential treatments include the use of polyurethane or other hard lacquer, spar

varnish, or paint. However, the use of film-forming or nonpenetrating stains are not recommended, as subsequent flaking and peeling may later increase exposure to preservatives in the wood. Structures constructed of arsenic-treated wood should be inspected regularly for wood decay or structural weakness. If the treated wood cracks expose the interior of the wood to be still structurally sound, the affected area should be covered with a double coat of a sealant.

Alternatives to the use of arsenic-treated lumber include the use of painted metal; stones or brick; recycled plastic lumber, which may be all plastic or a composite of plastic and wood fiber; lumber that has been treated with the alternative pesticide ACQ (alkaline copper quaternary), which does not contain arsenic; or untreated rot-resistant wood such as cedar and redwood.

Arsenic compounds are referred to as arsenicals.

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Judith L. Sims

## Artesian well

A well that discharges water held in a confined aquifer, artesian wells are usually thought of as wells whose water is free-flowing at the land surface.

However, there are many other natural systems that can result in such wells. The classic concept of artesian flow involves a basin with a water-intake area above the level of groundwater discharge. These systems can include stabilized sand dunes; fractured zones along bedrock faults; horizontally-layered rock formations; and the intermixing of permeable and impermeable materials along glacial margins.

## Asbestos

Asbestos is a fibrous mineral silicate (a compound containing a negatively-charged form of silicon) that occurs in numerous forms.

A form called amosite [ $\text{Fe}_5\text{Mg}_2(\text{Si}_8\text{O}_{22})(\text{OH})_2$ ] has been shown to cause mesothelioma, squamous cell carcinoma, and adenocarcinoma of the lung after inhalation over a long period of time. This substance has been listed by the Environmental Protection Agency (EPA). Lung cancer is most likely to occur in those individuals who are exposed to high airborne doses of asbestos and who also smoke. The potential of asbestos to cause disease appears to be related to the length-to-diameter ratio of particles. Particles less than 2 micrometers in length are the most hazardous.

Asbestos exposure causes thickening and calcified hardened areas (plaques) on the lining of the chest cavity. When inhaled, it forms “asbestos bodies” in the lungs, yellowish-brown particles created by reactions between the fibers and lung tissue. This disease was first described by W. E. Cooke in 1921 and given the name asbestosis. Asbestosis does not develop immediately after inhalation of asbestos. Rather, there is usually a time lag. This is referred to as latency. The latency period is generally longer than twenty years—the heavier the exposure, the more likely and the earlier is the onset of the disease.

In 1935 an association between asbestos and cancer was noted by Kenneth M. Lynch. However, it was not until 1960 that Christopher Wagner demonstrated a particularly lethal association between cancer of the lining of the lungs and asbestos. By 1973 the National Institute of Occupational Safety and Health recommended adoption of an occupational standard of two asbestos fibers per cubic centimeter of air.

During this time, many cases of lung cancer began to surface, especially among asbestos workers who had been employed in shipbuilding during World War II. The company most impacted by lawsuits was

the Manville Corporation, which had been the supplier to the United States government. Manville Corporation eventually sought Title 11 Federal Bankruptcy protection as a result of these lawsuits.

The Reserve Mining Company, a taconite (iron ore) mining operation in Silver Bay, Minnesota, was involved in litigation over the dumping of tailings (wastes) from their operations into Lake Superior. These tailings contained amosite asbestos particles that appeared to migrate into the Duluth, Minnesota, water supply. In an extended lawsuit, the Reserve Mining Company was ordered to shut down their operations. One controversial question raised during the legal action was whether cancer could be caused by drinking water containing asbestos fibers. In other cancer cases related to asbestos, the asbestos was inhaled rather than ingested. Federal courts held that there is reasonable cause to believe that asbestos in food and drink is dangerous—even in small quantities—and ordered Reserve Mining to stop dumping tailings in the lake.

Other examples of communities affected by asbestos include Baryulgil, New South Wales, and Libby, Montana. The latter was the site of a \$60 million settlement in 2008 that involved homeowners and business people who had used products containing an ore called vermiculite that was mined at Libby. The vermiculite was contaminated with asbestos.

A significant industry has developed for removing asbestos materials from private and public buildings as a result of the tight standards placed on asbestos concentrations by the Occupational Safety and Health Administration. At one time, many steel construction materials, especially horizontal beams, were sprayed with asbestos to enhance their resistance to fires. Wherever these materials are now exposed to ambient air in buildings, they have the potential to create a hazardous condition. The asbestos must either be covered or removed, and another insulating material substituted. Removal, however, causes its own problems, releasing high concentrations of fibers into the air. Many experts regard covering asbestos in place with a plastic covering to be the best option in most cases. What was once considered a lifesaving material for its flame retardancy, now has become a hazardous substance that must be removed and sequestered in sites specially certified for holding asbestos building materials.

In May 2008, researchers reported that nanotubes—microscopic, artificial, and needle-like tubes, usually composed of carbon and used in many new materials because of their strength and conductivity—can have effects on lung tissue similar to those of asbestos. The research indicated that the nanotubes are not dangerous

if sealed inside plastics or other materials; like asbestos fibers, they must be inhaled before they can do harm. Nanotubes are therefore mostly a threat to those handling them in manufacturing, not to the general public. Being forewarned of likely health hazards from nanotubes, manufacturers can take steps to protect workers. Nanoparticles (particles smaller than 100 nanometers across) have also been found to be a cancer hazard, causing deoxyribonucleic acid (DNA) damage similar to that caused by ionizing radiation.

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Malcolm T. Hepworth

## Asbestos removal

Asbestos is a naturally occurring mineral, used by humans since ancient times but not extensively until the 1940s. After World War II and for the next thirty years, it was widely used as a construction material in schools and other public buildings. The United States Environmental Protection Agency (EPA) estimates that asbestos-containing materials were installed in most of the primary and secondary schools, as well as in most public and commercial buildings, in the nation. It is estimated that 27 million Americans had significant occupational exposure to asbestos between 1940 and 1980. Asbestos has been popular because it is readily available, low in cost, and has very useful properties. It does not burn, conducts heat and electricity poorly, strengthens concrete products into which it is incorporated, and is resistant to chemical corrosion. It has been used in building materials as a thermal and electrical insulator and has been sprayed on steel beams in buildings for protection from heat in fires. Asbestos has also been used as an acoustical plaster. In 1984, an EPA survey found that approximately



Asbestos removal at the Jussieu campus of the University of Paris. (Thomas Jouanneau/Sygma/Corbis)

66 percent of those buildings containing asbestos had damaged asbestos-containing materials in them. The EPA distinguishes between two types of asbestos damage. If the material, when dry, can be crumbled by hand pressure, it is called “friable.” If not, it is called “non-friable.” The friable form is much more likely to release dangerous fibers into the air. Fluffy, spray-applied asbestos fireproofing material is an example of friable asbestos. Vinyl-asbestos floor tile is an example of a non-friable material, although it, too, can release fibers when sanded, sawed, or aggressively disturbed.

Growing recognition that asbestos dust is a dangerous air pollutant has led to government regulation of its use and provisions for removal of asbestos and asbestos-containing products from dwellings, schools, and the workplace. In the United States, the federal government has taken steps to prevent unnecessary exposure to asbestos since the 1970s. Six different agencies have authority to regulate some aspect of asbestos use in the United States: the EPA, Occupational Safety and Health Administration (OSHA), Food and Drug Administration, Consumer Product Safety Commission, Department of Transportation, and the Mine Safety and Health Administration.

The EPA has authority to oversee use of asbestos in commerce and has developed standards controlling its handling and use. It administers the Asbestos Hazard Emergency Response Act (AHERA) of 1986 that regulates asbestos in schools. The act prescribes management practices and abatement standards for both public and private schools, and it follows a 1979 rule that launched a technical assistance program to aid schools in efforts to identify asbestos-containing materials. AHERA requires schools to develop a management plan concerning their asbestos-containing materials. Schools must appoint an asbestos manager to be responsible for a number of activities, which include implementing a plan to manage asbestos-containing building materials and ensuring compliance with federal asbestos regulations. AHERA also requires local officials to carry out inspections to identify asbestos-containing materials in public and private elementary and secondary schools, and to comply with AHERA’s record-keeping requirements. The emphasis on asbestos abatement in schools arises from the recognition that children are especially vulnerable to a virulent form of lung cancer called mesothelioma caused by asbestos.

The EPA also administers the Asbestos School Hazard Abatement Reauthorization Act (ASHARA) of 1990, which requires that accredited personnel be used to work with asbestos in schools and in public and commercial buildings. The Act sets training requirements

for asbestos professionals, contractors, and abatement workers. When ASHARA was implemented, the EPA estimated that 733,000 public buildings in the United States (about 20% of the total) have some type of defective or deteriorating asbestos-containing material in them. Asbestos is frequently found in pipe and boiler insulation, caulking putties, joint compounds, linoleum, acoustical plaster, ceiling tiles, and other building components. ASHARA does not require owners of public and commercial buildings to conduct inspections for asbestos-containing materials, but it does require owners who opt for inspections to use accredited inspectors. To obtain accreditation, asbestos workers must take a four-day, thirty-two-hour EPA-approved training course covering topics such as potential health effects of asbestos exposure, the use of personal protective equipment, and up-to-date work practices. Detached single-family homes and residential apartment buildings of fewer than ten units are not covered by the Act.

The EPA is also responsible for the 1989 Asbestos Ban and Phaseout Rule that was partially overturned by a federal court in 1991. Originally, the ban applied to a wide variety of asbestos-containing products including roof coatings, floor tile, brake pads and linings, and pipeline wrap. Although these products and many others were removed from the ban, it still applies to all new uses of asbestos. The ban remains in effect for the sprayed-on asbestos fireproofing that has been prohibited since 1978.

Individual homeowners may seek advice from local health officials concerning potential asbestos problems in or on their dwelling. State agencies often publish pamphlets or brochures that offer help in identifying and correcting problems. Common places to find asbestos in homes include wall, ceiling, and pipe insulation in structures built between 1930 and 1950, and in sheet vinyl, vinyl tile, and vinyl adhesive used in floor coverings. These materials are considered safe, and need not be removed unless they are damaged or disturbed. Wall and ceiling surfaces may contain troweled-on or sprayed-on surface material containing asbestos. If the material is hard, firmly attached, and does not produce a powder or dust when hand pressure is applied, it is probably not hazardous. On the exterior of many older homes, a cement asbestos board called Transite has been used as sheet or lap siding and has sometimes been shaped to mimic wood shingles. This material, too, is considered safe unless it has been damaged or disturbed. Other asbestos-containing objects in the home include older electrical lamp-socket collars, switch and receptacle boxes, fuse boxes, and old-fashioned knob and tube wiring. Asbestos is found in insulation blankets of older ovens, dishwashers, water

heaters, and freezers. These items need not be removed if they are intact and not damaged.

There is no safe and reliable way for an untrained individual to identify asbestos except to have it analyzed by a competent testing laboratory. In taking a sample for laboratory analysis, it is important that one not release fibers into the air or get them into one's clothes. To avoid this, it is often helpful to spray the material with a fine mist of water before sampling. The material should not be disturbed more than necessary, and the sample for testing should be enclosed in a sealed plastic or glass vial. If asbestos-containing materials must be removed, they should not be placed with other household trash. Local health officials should be contacted for instructions on safe disposal.

Most public buildings with asbestos-containing materials do not pose an immediate risk to the occupants. As long as the asbestos is sealed and not releasing fibers, there is no health threat. Since asbestos is easily disturbed during building alterations, routine maintenance, and even normal use, it is wise to take precautions to control or eliminate exposure. When asbestos-containing objects are identified, removal, encapsulation, and containment may be used to protect occupants. If the objects are damaged or in poor condition, they should be removed. Proper removal techniques must be strictly followed to avoid spreading contamination. Encapsulation is accomplished by sealing objects with an approved chemical sealant. Containment can be accomplished by constructing suitable physical barriers around the objects to contain any fibers that may be released. Air monitoring and inspection of buildings with asbestos-containing material should be performed regularly to be sure that fiber releases have not occurred. Maintenance and operations crews should be notified of an asbestos threat in their building, and they should be taught proper precautions to avoid accidental disturbance.

The Occupational Safety and Health Administration (OSHA), under the jurisdiction of the United States Department of Labor, is charged with protection of worker safety on the job. OSHA estimates that 1.3 million employees in construction and general industry face significant asbestos exposure in the workplace. Greatest exposure occurs in the construction industry, especially while removing asbestos during renovation or demolition. Workers are also apt to be exposed during the manufacture of asbestos products and during automobile brake and clutch repair work. OSHA has set standards for maximum exposure limits, protective clothing, exposure monitoring, hygiene facilities and practices, warning signs,

labeling, record-keeping, medical exams, and other aspects of the workplace. Workplace exposure for workers in general industry and construction must be limited to one-fifth asbestos fibers per 0.061 cubic inches of air (0.2 f/cc), averaged over an eight hour shift, or one fiber per 0.3 cubic inches of air (1 f/5cc) over a standard forty-hour workweek.

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## Asbestosis

Asbestos is a fibrous, incombustible form of magnesium and calcium silicate used in making insulating materials. By the late 1970s, over 6 million tons (5.4 million metric tons) of asbestos were being produced worldwide. About two-thirds of the asbestos used in the United States is used in building materials, brake linings, textiles, and insulation, while the remaining one-third is consumed in such diverse products as paints, plastics, caulking compounds, floor tiles, cement, roofing paper, radiator covers, theater curtains, fake fireplace ash, and many other materials.

It has been estimated that of the eight to eleven million current and retired workers chronically exposed to large amounts of asbestos on the job, about 30 percent can expect to die of asbestos-related disease. Several different types of an asbestos-related disease are known, the most significant being asbestosis. Asbestosis is a chronic disease characterized by scarring of the lung tissue. Most commonly seen among workers who have been exposed to very high levels of asbestos dust, asbestosis is an irreversible, progressively worsening disease. Immediate symptoms include shortness of breath after exertion, which results from decreased lung capacity. In most cases, extended exposure of twenty years or more must occur before symptoms become serious enough to be investigated. By this time the disease is too advanced

for treatment. That is why asbestos could be referred to as a silent killer.

Exposure to asbestos not only affects factory personnel working with asbestos, but individuals who live in areas surrounding asbestos emissions. In addition to asbestosis, exposure may result in a rare form of cancer called mesothelioma, which affects the lining of the lungs or stomach. Approximately 5-10 percent of all workers employed long-term in asbestos manufacturing or mining operations die of mesothelioma.

Asbestosis is characterized by dyspnea (labored breathing) on exertion, a nonproductive cough, hypoxemia (insufficient oxygenation of the blood), and decreased lung volume. Progression of the disease may lead to respiratory failure and cardiac complications. Asbestos workers who smoke have a marked increase in the risk for developing bronchogenic cancer.

Increased risk is not confined to the individual alone, but there is an extended risk to workers' families, as asbestos dust is carried on clothes and in hair. Consequently, in the fall of 1986, President Ronald Reagan signed into law the Asbestos Hazard Emergency Response Act, requiring that all primary and secondary schools be inspected for the presence of asbestos; if such materials are found, the school district must file and carry out an asbestos abatement plan. The Environmental Protection Agency was charged with the oversight of the project.

In May 2008, researchers reported that nanotubes—microscopic, artificial, and needle-like tubes, usually composed of carbon and used in many new materials because of their strength and conductivity—can have effects on lung tissue similar to those of asbestos. The researchers, whose results were published in the journal *Nature Nanotechnology*, emphasized that nanotubes are not dangerous if sealed inside plastics or other materials; like asbestos fibers, they must be inhaled before they can do harm. Nanotubes are therefore mostly a threat to those handling them in manufacturing, not to the general public.

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Brian R. Barthel

Ash, fly *see* **Fly ash**.

## Asian longhorn beetle

The Asian longhorn beetle (*Anoplophora glabripennis*) is classified as a pest in the United States and its homeland of China. According to the United States Department of Agriculture (USDA), the beetles have the potential to destroy millions of hardwood trees.

Longhorn beetles live for one year. They are 1 to 1.5 inches (2.5–3.8 cm) long, and their backs are black with white spots. The beetles' long antennae are black and white and extend up to 1 inch (2.5 cm) beyond the length of their bodies.

Female beetles chew into tree bark and lay from thirty-five to ninety eggs. After hatching, larvae tunnel into the tree, staying close to the sapwood, and eat tree tissue throughout the fall and winter. After pupating, adult beetles leave the tree through drill-like holes. Beetles feed on tree leaves and young bark for two to three days and then mate. The cycle of infestation continues as more females lay eggs.

Beetle activity can kill trees such as maples, birch, horse chestnut, poplar, willow, elm, ash, and black locust. According to the USDA, beetles came to the United States in wooden packaging material and pallets from China. The first beetles were discovered in 1996 in Brooklyn, New York. Other infestations were found in other areas of the state. Subsequent infestations of beetles, differing in origin from that of the



Stag beetle mating. (iStockphoto.com / Lingbeek)

Brooklyn beetles, were discovered in Chicago and New Jersey.

Liz Swain

## Asian (Pacific) shore crab

The Asian (Pacific) shore crab (*Hemigrapsus sanguineus*) is a crustacean also known as the Japanese shore crab or Pacific shore crab. It was probably brought from Asia to the United States in ballast water. When a ship's hold is empty, it is filled with ballast water to stabilize the vessel.

The first Asian shore crab was seen in 1988 in Cape May, New Jersey. By 2001, Pacific shore crabs colonized the East Coast, with populations located from New Hampshire to North Carolina. Crabs live

in the sub-tidal zone where low-tide water is several feet deep.

The Asian crab is 2 to 3 inches (5–7.7 cm) wide. Their shell color is pink, green, brown, or purple. There are three spines on each side of the shell. The crab has two claws and bands of light and dark color on its six legs.

A female produces 56,000 eggs per clutch. Asian Pacific crabs have three or four clutches per year. Other crabs produce one or two clutches annually.

At the start of the twenty-first century, there was concern about the possible relationship between the rapidly growing Asian crab population and the decline in native marine populations, such as the lobster population in Long Island Sound.

Liz Swain

## Asiatic black bear

The Asiatic black bear or moon bear (*Ursus thibetanus*) ranges through southern and eastern Asia, from Afghanistan and Pakistan through the Himalayas to Indochina, including most of China, Manchuria, Siberia, Korea, Japan, and Taiwan. The usual habitat of this bear is angiosperm forests, mixed hardwood-conifer forests, and brushy areas, occurring in mountainous areas up to the tree line, which can be as high as 13,000 feet (4,000 m) in parts of the Himalayan range.

The Asiatic black bear has an adult body length of 4.3 to 6.5 feet (1.3–2.0 m), a tail of 2.5 to 3.5 feet (75–105 cm), a height at the shoulder of 2.6 to 3.3 feet (80–100 cm), and a body weight of 110 to 440 pounds (50–200 kg). Male animals are considerably larger than females. Their weight is greatest in late summer and autumn, when the animals are fat in preparation for winter. Their fur is most commonly black, with white patches on the chin and a crescent- or Y-shaped patch on their chest. The base color of some individuals is brownish rather than black.

Female Asiatic black bears, or sows, usually give birth to two small cubs that are 12 to 14 ounces (350–400 g) in a winter den, although the litter can range from one to three. The gestation period is six to eight months. After leaving their birth den, the cubs follow their mother closely for about two and one-half years, after which they are able to live independently. Asiatic black bears become sexually mature at an age of three to four years, and they can live for as long as thirty-three years.

These bears are highly arboreal, commonly resting in trees, and feeding on fruits by bending branches toward themselves as they sit in a secure place. They may also sleep during the day in dens beneath large logs, in rock crevices, or in other protected places. During the winter Asiatic black bears typically hibernate for several months, although in some parts of their range they only sleep deeply during times of particularly severe weather.

Asiatic black bears are omnivorous, feeding on a wide range of plant and animal foods. They mostly feed on sedges, grasses, tubers, twig buds, conifer seeds, berries and other fleshy fruits, grains, and mast (i.e., acorns and other hard nuts). They also eat insects, especially colonial types such as ants. Because their foods vary greatly in abundance during the year, the bears have a highly seasonal diet. Asiatic black bears are also opportunistic carnivores, and will also scavenge dead animals that they find. In cases where there is inadequate natural habitat available for foraging purposes, these bears will sometimes kill penned livestock, and they will raid beehives when available. In some parts of their range, Asiatic black bears maintain territories in productive, lowland forests. In other areas they feed at higher elevations during the summer, descending to lower habitats for the winter.

All the body parts of Asiatic black bears are highly prized in traditional Chinese medicine, most particularly the gall bladders. Bear-paw soup is considered to be a delicacy in Chinese cuisine. Most bears are killed for these purposes by shooting or by using leg-hold, dead-fall, or pit traps. Some animals are captured alive, kept in cramped cages, and fitted with devices that continuously drain secretions from their gall bladder, which are used to prepare traditional medicines and tonics.

Populations of Asiatic black bears are declining rapidly over most of their range, earning them a listing of “Vulnerable” by the International Union for Conservation of Nature and Natural Resources (IUCN). This decline is being caused by overhunting of the animals for their valuable body parts (much of this involves illegal hunting, or poaching), disturbance of their forest habitats by timber harvesting, and permanent losses of their habitat through its conversion into agricultural land-use. These stresses and ecological changes have caused Asiatic black bears to become endangered over much of their range.

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Bill Freedman

## Assimilative capacity

Assimilative capacity refers to the ability of the environment or a portion of the environment (such as a stream, lake, air mass, or soil layer) to carry waste material without adverse effects on the environment or on users of its resources. Pollution occurs only when the assimilative capacity is exceeded. Some environmentalists argue that the concept of assimilative capacity involves a substantial element of value judgment. For example, pollution discharge may alter the flora and fauna of a body of water, but if it does not effect organisms humans value (e.g., fish), it is acceptable and within the assimilative capacity of the body of water.

A classical example of assimilative capacity is the ability of a stream to accept modest amounts of biodegradable waste. Bacteria in a stream utilize oxygen to degrade the organic matter (or biochemical oxygen demand) present in such a waste, causing the level of dissolved oxygen in the stream to fall; but the decrease in dissolved oxygen causes additional oxygen to enter the stream from the atmosphere, a process referred to as reaeration. A stream can assimilate a certain amount of waste and still maintain a dissolved oxygen level high enough to support a healthy population of fish and other aquatic organisms. However, if the assimilative capacity is exceeded, the concentration of dissolved oxygen will fall below the level required to protect the organisms in the stream. Even with decreased pollutant discharge into waterbodies, climate change is raising temperatures, which induces consumption of oxygen by algae and reduces dissolved oxygen levels.

Two other concepts are closely related: (1) critical load; and (2) self purification. The term *critical load* is synonymous with assimilative capacity and is commonly used to refer to the concentration or mass of a substance, which, if exceeded, will result in adverse

effects such as pollution and acid rain. Acid rain results from nitrogen oxide (NO<sub>x</sub>) and sulfur oxide (SO<sub>x</sub>) emissions that react with water and oxygen in the air to form acids. These acids are deposited onto structures, plants, and soil as well as into bodies of water. Self-purification refers to the natural process by which the environment cleanses itself of waste materials discharged into it. Examples include biodegradation of wastes by natural bacterial populations in water or soil, oxidation of organic chemicals by photochemical reactions in the atmosphere, and natural die-off of disease-causing organisms.

Determining assimilative capacity may be quite difficult, as a substance may potentially affect many different organisms in a variety of ways. In some cases, there is simply not enough information to establish a valid assimilative capacity for a pollutant. If the assimilative capacity for a substance can be determined, reasonable standards can be set to protect the environment and the allowable waste load can be allocated among the various dischargers of the waste. If the assimilative capacity is not known with certainty, then more stringent standards can be set, which is analogous to buying insurance (i.e., paying an additional sum of money to protect against potential future losses). Alternatively, if the cost of control appears high relative to the potential benefits to the environment, a society may decide to accept a certain level of risk.

The Federal Water Pollution Control Act (FWPCA or Clean Water Act [CWA]) amendments of 1972 established the elimination of discharges of pollution into navigable waters as a national goal. More recently, pollution prevention has been heavily promoted as an appropriate goal for all segments of society. Proper interpretation of these goals requires a basic understanding of the concept of assimilative capacity. The intent of Congress was to prohibit the discharge of substances in amounts that would cause pollution, not to require a concentration of zero. Similarly, Congress voted to ban the discharge of toxic substances in concentrations high enough to cause harm to organisms. The Environmental Protection Agency's (EPA) National Pollutant Discharge Elimination System (NPDES) controls point source pollutant discharges from industrial or municipal sources. A permit for discharge must be obtained for these point sources. The NPDES program of the CWA regulates these discharges to allow for control over the amounts and types of pollutants deposited by point sources into bodies of water.

Well-meaning individuals and organizations sometimes exert pressure on regulatory agencies and other public and private entities to protect the environment by

ignoring the concept of assimilative capacity and reducing waste discharges to zero or as close to zero as possible. Failure to utilize the natural assimilative capacity of the environment not only increases the cost of pollution control (the cost to the discharger and the cost to society as a whole); more importantly, it results in the inefficient use of limited resources and, by expending materials and energy for something that nature provides free of charge, results in an overall increase in pollution. However, methods of pollution control are employed to regulate air, water, and soil discharges because of the environmental and public health effects that can result from pollution exceeding the assimilative capacity.

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Stephen J. Randtke

## Assisi, St. Francis of

1181–1226

Italian Saint and religious leader

Born the son of a cloth merchant in the Umbrian region of Italy, Giovanni Francesco Bernardone became St. Francis of Assisi, one of the most inspirational figures in Christian history. As a youth, Francis was entranced by the French troubadours, but then planned a military career. While serving in a war between Assisi and Perugia in 1202, he was captured and imprisoned for a year. He intended to return to combat when he was released, but a series of visions and incidents, such as an encounter with a leper, led him in a different direction.

Francis was so taken with the love and suffering of Jesus that he set out to live a life of prayer, preaching, and alleviating poverty. Although he was not a priest, he began preaching to the townspeople of Assisi and soon attracted a group of disciples, which Pope Innocent III recognized in 1211, or 1212, as the “Franciscan order.” The order grew quickly, but Francis never intended to found and control a large and complicated organization. His idea of the Christian life led elsewhere, including a fruitless attempt to end the Crusades peacefully. In 1224 he undertook a forty-day fast at Mount Alverna, from which he emerged bearing

stigmas—wounds resembling those Jesus suffered on the cross. He died in 1226 and was canonized in 1228.

Francis's unconventional life has made him attractive to many who have questioned the direction of their own societies. Francis loved nature. In his book "Canticle of the Creatures," he praises God for the gifts, among others, of "Brother Sun," "Sister Moon," and "Mother Earth, Who nourishes and watches us." But this is not to say that Francis was a pantheist or nature worshipper. He loved nature not as a whole, but as the assembly of God's creations. As G.K. Chesterton remarked, Francis "did not want to see the wood for the trees. He wanted to see each tree as a separate and almost a sacred thing, being a child of God and therefore a brother or sister of man."

For White, Francis was "the greatest radical in Christian history since Christ" because he departed from the traditional Christian view in which humanity stands over and against the rest of nature—a view, White charges, that is largely responsible for modern ecological crises. Against this view, Francis "tried to substitute the idea of the equality of all creatures, including man, for the idea of man's limitless rule of creation."

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Richard K. Dagger

## Asthma

Asthma is a condition characterized by unpredictable and disabling shortness of breath, wheezing, coughing, and a feeling of tightness in the chest. It features episodic attacks of bronchospasm (prolonged contractions of the bronchial smooth muscle), and is a complex disorder involving biochemical, autonomic, immunologic, infectious, endocrine, and psychological factors to varying degrees in different individuals. Asthma affects about 300 million people worldwide.

Asthma occurs in families, suggesting that there is a genetic predisposition for the disorder, although the exact mode of genetic transmission remains

unclear. The environment appears to play an important role in the expression of the disorder. For example, asthma can develop when *predisposed* individuals become infected with viruses or are exposed to allergens or pollutants. On occasion, foods or drugs may precipitate an attack. Psychological factors have been investigated but have yet to be identified with any specificity.

The severity of asthma attacks varies among individuals, over time, and with the degree of exposure to the triggering factors. Approximately one-half of all cases of asthma develop during childhood. Another one-third of cases develop before the age of forty. There are two basic types of asthma—allergic or intrinsic asthma, and non allergic or extrinsic asthma. Allergic asthma is triggered by allergens, while intrinsic asthma is not. Allergic asthma is classified as Type I or Type II, depending on the type of allergic response involved. Type I allergic asthma is the classic allergic asthma, which is common in children and young adults who are highly sensitive to dust and pollen, and is often seasonal in nature. It is characterized by sudden, brief, intermittent attacks of bronchospasms that readily respond to bronchodilators (inhaled medication that opens swollen airways). Type II allergic asthma, or allergic alviolitis, develops in adults under age thirty-five after long exposure to irritants. Attacks are more prolonged than Type I and are more inflammatory. Fever and infiltrates, which are visible on chest X-rays, often accompany bronchospasm.

Non allergic asthma has no known immunologic cause and no known seasonal variation. It usually occurs in adults over the age of thirty-five, many of whom are sensitive to aspirin and have nasal polyps. Attacks are often severe and do not respond well to bronchodilators.

A third type of asthma that occurs in otherwise normal individuals is called exercise-induced asthma. Individuals with exercise-induced asthma experience mild to severe bronchospasms during or after moderate to severe exertion. They have no other occurrences of bronchospasms when not involved in physical exertion. Although the cause of this type of asthma has not been established, it is readily controlled by using a bronchodilator prior to beginning exercise.

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Brian R. Barthel

## Aswan High Dam

A heroic symbol and an environmental liability, this dam on the Nile River was built as a central part of modern Egypt's nationalist efforts toward modernization and industrial growth. Begun in 1960 and completed by 1970, the High Dam lies near the town of Aswan, which sits at the Nile's first cataract, or waterfall, 200 river miles (322 km) from Egypt's southern border. The dam generates urban and industrial power, controls the Nile's annual flooding, ensures year-round, reliable irrigation, and has boosted the country's economic development as its population climbed from 20 million in 1947 to 58 million in 1990. The Aswan High Dam is one of a generation of huge dams built on the world's major rivers between 1930 and 1970 as both functional and symbolic monuments to progress and development. It also represents the hazards of large-scale efforts to control nature. Altered flooding, irrigation, and sediment deposition patterns have led to the displacement of villagers and farmers, a costly dependence on imported fertilizer, water quality problems and health hazards, and erosion of the Nile Delta.

Aswan attracted international attention in 1956 when planners pointed out that flooding behind the

new dam would drown a number of ancient Egyptian tombs and monuments. A worldwide plea went out for assistance in saving the 4,000-year-old monuments, including the tombs and colossi of Abu Simbel and the temple at Philae. The United Nations Educational, Scientific, and Cultural Organization (UNESCO) headed the epic project, and over the next several years the monuments were cut into pieces, moved to higher ground, and reassembled above the waterline.

The High Dam, built with international technical assistance and substantial funding from the former Soviet Union, was the second dam to be built near Aswan. English and Egyptian engineers built the first Aswan dam between 1898 and 1902. Justification for the first dam was much the same as that for the second, larger dam, namely flood control and irrigation. Under natural conditions the Nile experienced annual floods of tremendous volume. Fed by summer rains on the Ethiopian Plateau, the Nile's floods could reach sixteen times normal low season flow. These floods carried terrific silt loads, which became a rich fertilizer when flood waters overtopped the river's natural banks and sediments settled in the lower surrounding fields. This annual soaking and fertilizing kept Egypt's agriculture prosperous for thousands of years. But annual floods could be wildly inconsistent. Unusually high peaks could drown villages. Lower than usual floods might not provide enough water for crops. The dams at Aswan were designed to eliminate the threat of high water and ensure a gradual release of irrigation water through the year.

Flood control and regulation of irrigation water supplies became especially important with the introduction of commercial cotton production. Cotton was introduced to Egypt by 1835, and within fifty years it became one of the country's primary economic assets. Cotton required dependable water supplies; but with reliable irrigation, up to three crops could be raised in a year. Full-year commercial cropping was an important economic innovation, vastly different from traditional seasonal agriculture. By holding back most of the Nile's annual flood, the first dam at Aswan captured 65.4 billion cubic yards (50 billion cubic m) of water each year. Irrigation canals distributed this water gradually, supplying a much greater acreage for a much longer period than did natural flood irrigation and small, village-built waterworks. But the original Aswan dam allowed 39.2 billion cubic yards (30 billion cubic m) of annual flood waters to escape into the Mediterranean. As Egypt's population, agribusiness, and development needs grew, planners decided this was a loss that the country could not afford.



**Aswan High Dam, Aswan, Egypt.** (Jon Arnold Images Ltd./Alamy)

The High Dam at Aswan was proposed in 1954 to capture escaping floods and to store enough water for long-term drought, something Egypt had seen repeatedly in history. Three times as high and nearly twice as long as the original dam, the High Dam increased the reservoir's storage capacity from an original 6.5 billion cubic yards (5 billion cubic m) to 205 billion cubic yards (157 billion cubic m). The new dam lies 4.3 miles (7 km) upstream of the previous dam, stretches 2.2 miles (3.6 km) across the Nile, and is nearly 0.6 miles (1 km) wide at the base. Because the dam sits on sandstone, gravel, and comparatively soft sediments, an impermeable screen of concrete was injected 590 feet (180 m) into the rock, down to a buried layer of granite. In addition to increased storage and flood control, the new project incorporates a hydropower generator. The dam's turbines, with a capacity of 8 billion kilowatt hours per year, doubled Egypt's electricity supply when they began operation in 1970.

Lake Nasser, the reservoir behind the High Dam, now stretches 311 miles (500 km) south to the Dal cataract in Sudan. Averaging 6.2 miles (10 km) wide,

this reservoir holds the Nile's water at 558 feet (170 m) above sea level. Because this reservoir lies in one of the world's hottest and driest regions, planners anticipated evaporation at the rate of 13 billion cubic yards (10 billion cubic m) per year. Dam engineers also planned for siltation, since the dam would trap nearly all the sediments previously deposited on downstream flood plains. Expecting that Lake Nasser would lose about 5 percent of its volume to siltation in 100 years, designers anticipated a volume loss of 39.2 billion cubic yards (30 billion cubic m) over the course of five centuries.

An ambitious project, the Aswan High Dam has not turned out exactly according to sanguine projections. Actual evaporation rates today stand at approximately 19 billion cubic yards (15 billion cubic m) per year, or one-half of the water gained by constructing the new dam. Another 1.3 to 2.6 cubic yards (1–2 billion cubic m) are lost each year through seepage from unlined irrigation canals. Siltation is also more severe than expected. With 60 to 180 million tons (54–162 metric tons) of silt deposited in the lake each year, more recent projections suggest that the reservoir will be completely

filled in 300 years. The dam's effectiveness in flood control, water storage, and power generation will decrease much sooner. With the river's silt load trapped behind the dam, Egyptian farmers have had to turn to chemical fertilizer, much of it imported at substantial cost. While this strains commercial cash crop producers, a need for fertilizer application seriously troubles local food growers who have less financial backing than agribusiness ventures.

A further unplanned consequence of silt storage is the gradual disappearance of the Nile Delta. The Delta has been a site of urban and agricultural settlement for millennia, and a strong local fishing industry exploited the large schools of sardines that gathered near the river's outlets to feed. Longshore currents sweep across the Delta, but annual sediment deposits counteracted the erosive effect of these currents and gradually extended the delta's area. Now that the Nile's sediment load is negligible, coastal erosion is causing the delta to shrink. The sardine fishery has collapsed because river discharge and nutrient loads have been so severely depleted. Decreased freshwater flow has also cut off water supply to a string of freshwater lakes and underground aquifers near the coast. Saltwater infiltration and soil salinization have become serious threats.

Water quality in the river and in Lake Nasser have suffered as well. The warm, still waters of the reservoir support increasing concentrations of phytoplankton, or floating water plants. These plants, most of them microscopic, clog water intakes in the dam and decrease water quality downstream. Salt concentrations in the river are also increasing as a higher percentage of the river's water evaporates from the reservoir.

While the High Dam has improved the quality of life for many urban Egyptians, it has brought hardship to much of Egypt's rural population. Most notably, severe health risks have developed in and around irrigation canal networks. These canals used to flow only during and after flood season; once the floods dissipated the canals would again become dry. Now that they are year-round, irrigation canals have become home to a common tropical snail that carries schistosomiasis, a debilitating disease that severely weakens its victims. Malaria may also be spreading, as moist mosquito breeding spots have multiplied. Farm fields, no longer washed clean each year, are showing high salt concentrations in the soil. Perhaps most tragic is the displacement of villagers, especially Nubians, who are ethnically distinct from their northern Egyptian neighbors and who lost most of their villages to Lake Nasser. Resettled in apartment blocks and forced to find work in the cities, Nubians are losing their traditional culture.

The Aswan High Dam was built as a symbol of national strength and modernity. By increasing industrial and agricultural output the Aswan High Dam helps bolster the nation's economic growth and raise the standard of living in the Nile River Valley. Lake Nasser now supports a fishing industry that partially replaces jobs lost in the delta fishery, and tourists contribute to the national income when they hire cruise boats on the lake. The country's expanded population needs a great deal of water. The Egyptian population is at 81 million, as of 2010, and projected to rise to 90 million by the year 2035.

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Mary Ann Cunningham

## Atmosphere

The atmosphere is the envelope of gas surrounding Earth, which is for the most part permanently bound to Earth by its gravitational field. It is composed primarily of nitrogen (N) (78% by volume) and oxygen (O) (21% by volume). There are also small amounts of argon (Ar), carbon dioxide (CO<sub>2</sub>), and water vapor, as well as trace amounts of other gases and particulate matter.

Trace components of the atmosphere can be very important in atmospheric functions. Ozone (O<sub>3</sub>) accounts on average for two parts per million (ppm) of the atmosphere but is more concentrated in the stratosphere. This stratospheric ozone is critical to the existence of terrestrial life on the planet as it serves to block the sun's harmful ultraviolet radiation from reaching Earth's surface. Particulate matter is another

important trace component. Aerosol loading of the atmosphere, as well as changes in the carbon dioxide composition of the atmosphere, can be responsible for significant changes in climate. Greenhouse gases such as carbon dioxide can absorb and trap radiation in the lower atmosphere, thus causing a heating of Earth.

The composition of the atmosphere changes over time and space. Outside of water vapor, which can vary in the lower atmosphere from trace amounts in desert regions to 4 percent over large bodies of water, the concentrations of the major components vary little in time. Approximately 50 miles (80 km) above sea level, however, the relative proportions of component gases change significantly. As a result, the atmosphere is divided into two compositional layers: the homosphere and the heterosphere. Below 50 miles altitude is the homosphere, and above 50 miles altitude is the heterosphere. In the homosphere, the ratio of the gaseous components remains fairly constant with no gross change in the proportions of components.

The atmosphere is also divided according to its thermal behavior. Based on this criteria, the atmosphere can be divided into several layers. The lowest layer is the *troposphere*, which extends about 6 to 12 miles (10-20 km). The temperature decreases with height in the troposphere, which is the domain of weather. The troposphere contains large amounts of greenhouse gases such as carbon dioxide and ozone, which contribute to climate change. The tropopause is the boundary, or transition zone, between the troposphere and the stratosphere. The stratosphere is the stable layer above the troposphere and extends from about 7 to 31 miles (11-31 km) altitude. The major components of the stratosphere are nitrogen, oxygen, and ozone. This layer is important because it contains much of the ozone, which filters ultraviolet light out of the incident solar radiation. The next layer is the *mesosphere*, which is much less stable, exhibits decreasing temperature with increasing height, and extends to about 53 to 59 miles (85-95 km) altitude. Finally there is the *thermosphere*, which is another very stable zone, but its contents are barely dense enough to cause a visible degree of solar radiation scattering. The thermosphere begins at about 62 miles (100 km) altitude and is characterized by increasing temperature with increasing height.

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## Atmospheric (air) pollutants

Atmospheric pollutants are substances that are present in the air to a degree that is harmful to living organisms or to materials exposed to the air. Common air pollutants include smoke, smog, and gases such as carbon monoxide (CO), nitrogen and sulfur oxides (NO<sub>x</sub>, SO<sub>x</sub>), and hydrocarbon fumes. While gaseous pollutants are generally invisible, solid or liquid pollutants in smoke and smog are easily seen. One particularly noxious form of air pollution occurs when oxides of sulfur and nitrogen react with water and oxygen in the atmosphere to produce sulfuric and nitric acid. When the acids are brought to Earth in the form of acid rain, damage is inflicted on lakes, rivers, vegetation, buildings, and other objects. Because sulfur and nitrogen oxides can be carried for long distances in the atmosphere before they are deposited with precipitation, damage may occur far from pollution sources. Large emissions from fossil fuel combustion of air pollutants such as carbon dioxide (CO<sub>2</sub>) in the lower atmosphere can absorb and trap heat, thus resulting in increased temperatures on Earth. These air pollutants are referred to as greenhouse gases because they contribute to the greenhouse effect, which is causing global warming of the earth commonly referred to as climate change.

Smoke is an ancient environmental pollutant, but increased use of fossil fuels in recent centuries has resulted in increased accumulation of air pollutants. Smoke can induce respiratory illness, aggravate symptoms of asthma, bronchitis, and emphysema; and long-term exposure can lead to lung cancer. Smoke toxicity increases when fumes of sulfur dioxide (SO<sub>2</sub>), commonly released by coal combustion, are inhaled with it. One particularly bad air pollution incident occurred in London in 1952 when more than 4,000 deaths resulted from high smoke and sulfur dioxide levels that accumulated in the metropolitan area during an atmospheric inversion. For four days, a dense fog settled in London with temperatures near freezing and extremely low visibility. The concentration of smoke in the air ranged from 0.1 parts per million (ppm) to over 1.0 ppm (0.3 to more than 4 mg per m<sup>3</sup>). The United

States' primary standard for sulfur dioxide, set as part of the Environmental Protection Agency (EPA) National Ambient Air Quality Standards (NAAQS), is 0.14 ppm (365 mg per m<sup>3</sup>), which is a maximum for a twenty-four-hour period and is not to be exceeded more than one time in a year. The fog did not disperse until mild weather and wind advanced into the area.

Sources of air pollutants are particularly abundant in industrial population centers. Major sources include power and heating plants, industrial manufacturing plants, and transportation vehicles. Mexico City is a particularly bad example of a very large metropolitan area that has not adequately controlled harmful emissions into the atmosphere; and, as a result, many area residents suffer from respiratory ailments. The Mexican government has been forced to shut down a large oil refinery and ban new polluting industries from locating in the city. More difficult for the Mexican government to control are the emissions from over 3.5 million automobiles, trucks, and buses.

Smog (a combination of smoke and fog) is formed from the condensation of moisture (fog) on particulate matter (smoke) in the atmosphere. Although smog has been present in urban areas for a long time, photochemical smog is an exceptionally harmful and annoying form of air pollution found in large urban areas. It was first recognized as a serious problem in Los Angeles, California, in the late 1940s. Photochemical smog forms by the catalytic action of sunlight on some atmospheric pollutants, including unburned hydrocarbons evaporated from automobile and other fuel tanks. Products of the photochemical reactions include ozone (O<sub>3</sub>), aldehydes, ketones, peroxyacetyl nitrate (PAN), and organic acids. Photochemical smog causes serious eye and lung irritation and other health problems.

Because of the serious damage caused by atmospheric pollution, control has become a high priority in many countries. Several approaches have proven beneficial. An immediate control measure involves a system of air alerts announced when air pollution monitors disclose dangerously high levels of contamination. Industrial sources of pollution are forced to curtail activities until conditions return to normal. These emergency situations are often caused by atmospheric inversions that limit the upward mixing of pollutants. Wider dispersion of pollutants by taller smokestacks can alleviate local pollution. However, dispersion does not lessen overall amounts of pollution; instead, it can create problems for communities downwind from the source. Greater energy efficiency in vehicles, homes, electrical power plants, and industrial plants lessens pollution from these sources. This reduction in fuel

use and the use of alternative sources of energy such as solar power can reduce pollution created as a result of energy use; in addition, more energy-efficient operation reduces costs. Efficient and convenient mass transit also helps to mitigate urban air pollution by cutting the need for personal vehicles.

Soot is also a contributor to air pollution. Tiny, dark particles consisting mostly of carbon, which are released by burning many fuels, soot absorbs sunlight and so tends to warm Earth. In 2008, researchers studying soot released by cooking fires in South Asia and coal-burning in East Asia concluded that soot is a more important contributor to climate change than had been suspected, edging out methane (CH<sub>4</sub>) as the second-largest greenhouse pollutant after carbon dioxide. Dimming of the skies by soot reduces evaporation and regional temperature differences over the Indian Ocean, causing less rain to fall during the monsoon season and so contributing to drought in Southeast Asia. The new estimate of the radiative forcing (energy imbalance between Earth and sunlight) caused by soot was about double that cited by the Intergovernmental Panel on Climate Change (IPCC) in its influential 2007 summary of the state of climate science. Replacing dung and wood as cooking fuels in South Asia with alternative fuel sources such as biogas, natural gas, and solar cookers could significantly reduce the problem.

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Douglas C. Pratt

## Atmospheric deposition

Many kinds of suspended particles and gases are deposited from the atmosphere to the surfaces of terrestrial and aquatic ecosystems. Wet deposition refers to deposition occurring while it is raining or snowing, whereas dry deposition occurs in the time intervals between precipitation events.

Relatively large particles suspended in the atmosphere, such as dust entrained by strong winds blowing over fields or emitted from industrial smokestacks, may settle gravitationally to nearby surfaces at ground level. Particulates smaller than about 0.5 microns in diameter, however, do not settle in this manner because they behave aerodynamically like gases. Nevertheless, they may be impaction-filtered from the atmosphere when an air mass passes through a physically complex structure. For example, the large mass of foliage of a mature conifer forest provides an extremely dense and complex surface. As such, a conifer canopy is relatively effective at removing particulates of all sizes from the atmosphere, including those smaller than 0.5 microns. Forest canopies dominated by hardwood (or angiosperm) trees are also effective at doing this, but to a lesser degree compared with conifers.

Dry deposition also includes the removal of certain gases from the atmosphere. For example, carbon dioxide ( $\text{CO}_2$ ) gas is absorbed by plants and fixed by photosynthesis into simple sugars. Plants are also rather effective at absorbing certain gaseous pollutants such as sulfur dioxide ( $\text{SO}_2$ ), nitric oxide ( $\text{NO}$ ), nitrogen dioxide ( $\text{NO}_2$ ), and ozone ( $\text{O}_3$ ). Most of this gaseous uptake occurs by absorption through the numerous tiny pores in leaves known as stomata. These same gases are also dry-deposited by absorption by moist soil, rocks, and water surfaces.

Wet deposition involves substances that are dissolved in rainwater and snow. In general, the most abundant substances dissolved in precipitation water are sulfate ( $\text{SO}_4^{2-}$ ), nitrate ( $\text{NO}_3^-$ ), calcium ( $\text{Ca}^{2+}$ ), magnesium ( $\text{Mg}^{2+}$ ), and ammonium ( $\text{NH}_4^+$ ). At

places close to the ocean, sodium ( $\text{Na}^+$ ) and chloride ( $\text{Cl}^-$ ) derived from sea-salt aerosols are also abundant in precipitation water. Acidic precipitation occurs whenever the concentrations of the anions (negatively charged ions) sulfate, and nitrate occur in much larger concentrations than the cations (positively charged ions) calcium, magnesium, and ammonium. In such cases, the cation deficit is made up by hydrogen ions going into solution, creating solutions that may have an acidic pH of less than 4.

The deposition of acidified snow or rain can result in the acidification of vulnerable surface waters. This is particularly the case of streams, rivers, ponds, and lakes that have low concentrations of alkalinity and consequently little capacity for neutralizing inputs of acidity. Acid rain has devastated many populations of fish and other aquatic organisms that are unable to tolerate the increased acidity. The low pH also causes the release of toxic metals such as aluminum (Al) that can adversely affect aquatic organisms. The biodiversity in and around some lakes has changed from native fish and plants to more acid-tolerant species. The National Atmospheric Deposition Program (NADP) was established by the United States to sample and monitor atmospheric deposition. The environmental effects of acid deposition caused the U.S. Environmental Protection Agency (EPA) to propose a limit of 22 to 44 pounds (10-20 kg) of sulfate emissions per approximately 2.5 acres (1 ha) each year.

Acidification may also be caused by the dry deposition of certain gases, especially sulfur dioxide and oxides of nitrogen. After they are dry-deposited, these gases become oxidized in soil or water into sulfate and nitrate ions, respectively, a process accompanied by the production of equivalent amounts of hydrogen ions. In some environments the gaseous concentrations of sulfur dioxide and oxides of nitrogen are relatively high, particularly in areas polluted by gaseous emissions from large numbers of automobiles, power plants, or other industries. In those circumstances the dry deposition of acidifying substances will be a much more important cause of environmental acidification than the wet deposition of acidic precipitation.

By implementing alternative sources of energy and electricity such as natural gas, wind energy, solar energy, or hydropower, reductions in sulfur and nitrogen oxide emissions can be accomplished, thus decreasing the amounts of atmospheric deposition of these pollutants. Congress established the Acid Rain Program as part of the Clean Air Act (CAA) to reduce these emissions, initiating a cap on sulfur oxide

emissions from power plants to 8.95 million tons (8.12 million metric tons) annually in 2010.

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### ORGANIZATIONS

- United States Environmental Protection Agency, Clean Air Markets Division, 1200 Pennsylvania Avenue, NW, Washington, DC, USA, 20460, (202) 564-9150, <http://www.epa.gov/airmarkets/acidrain/>
- Worldwatch Institute, 1776 Massachusetts Ave., NW, Washington, DC, USA, 20036-1904, (202) 452-1999, (202) 296-7365, [worldwatch@worldwatch.org](mailto:worldwatch@worldwatch.org), <http://www.worldwatch.org/>

Bill Freedman

## Atmospheric inversion

Atmospheric inversions are horizontal layers of air that increase in temperature with height. Such warm, light air often lies over air that is cooler and heavier. As a result, the air has a strong vertical stability, especially in the absence of strong winds.

Atmospheric inversions play an important role in transient air quality. They can trap air pollutants below or within them, causing high concentrations in a volume of air that would otherwise be able to dilute air pollutants throughout a large portion of the troposphere.

Atmospheric inversions are quite common, and there are several ways in which they are formed. Surface inversions can form during the evening when the radiatively cooling ground becomes a heat sink at the

bottom of an air mass immediately above it. As a result, heat flows down through the air, which sets up a temperature gradient that coincides with an inversion. Alternatively, relatively warm air may flow over a cold surface with the same results.

Elevated atmospheric inversions can occur when vertical differences in wind direction allow warm air to set up over cold air. However, it is more common in near-subtropical latitudes, especially on the western sides of continents, to get subtropical subsidence inversions. Subtropical subsidence inversions often team with mountainous topography to trap air both horizontally and vertically.

Atmospheric pollutants see **Carbon monoxide; Nitrogen oxides; Particulate; Smog; Sulfur dioxide; Volatile organic compound.**

Atomic bomb see **Nuclear weapons.**

Atomic bomb testing see **Bikini atoll; Nevada Test Site.**

Atomic energy see **Nuclear power.**

## Atomic Energy Commission

The Atomic Energy Commission (AEC) was established by the United States Congress in 1946. It originally had a dual function: to promote the development of nuclear power and to regulate the nuclear power industry. These two functions were occasionally in conflict, however. Critics of the AEC claimed that the commission sometimes failed to apply appropriate safety regulations because doing so would have proved burdensome to the industry and hindered its growth. In 1975, the AEC was dissolved. Its regulatory role was assigned to the Nuclear Regulatory Commission and its research and development role to the Energy Research and Development Administration.

Atomic fission see **Nuclear fission.**

Atomic fusion see **Nuclear fusion.**

## Atrazine

Atrazine is used as a selective preemergent herbicide on crops, including corn, sorghum, and sugarcane, and as a nonselective herbicide along fence lines, right-of-ways, and roadsides. It is used in a variety of formulations, both alone and in combination with other herbicides. In the United States it is the single most widely-used herbicide, accounting for about 15 percent of total herbicide application by weight. Its popularity stems from its effectiveness, its selectivity (it inhibits photosynthesis in plants lacking a detoxification mechanism), and its low mammalian toxicity (similar to that of table salt). Concern over its use stems from the fact that a small percentage of the amount applied can be carried by rainfall into surface waters (streams and lakes), where it may inhibit the growth of plants and algae or contaminate drinking-water supply. Conflicting independent studies have shown adverse impacts on amphibian populations, but other studies (especially those relied upon by the Environmental Protection Agency [EPA]) show no definitive adverse impacts. Although setting limits on allowable concentrations, the EPA has essentially ruled the use of atrazine safe. As of 2010, Atrazine still faces broader restrictions and opposition in the European Union.

## Attainment area

An attainment area is a politically- or geographically-defined region that is in compliance with the National Ambient Air Quality Standards (NAAQS) established by the Clean Air Act (CAA), as set by the Environmental Protection Agency (EPA). A region is considered to be an attainment area if it does not exceed the specified thresholds for air pollutants that may be a threat to public health or the environment. An area that has concentrations of a pollutant above the set standards is referred to as a non-attainment area. The standards are set for a variety of ambient air criteria pollutants, including particulates, ozone (O<sub>3</sub>), carbon monoxide (CO), sulfur oxides (SO<sub>x</sub>), lead (Pb), and nitrogen oxides (NO<sub>x</sub>). There are two sets of standards for these criteria pollutants: Primary standards are limits set to protect public health, whereas secondary standards are in place to prevent damage to the environment and property. A region can be an attainment area for one pollutant and a nonattainment area for another. If an area is designated as nonattainment for a given pollutant,

the local and state governments must take action to reduce these concentrations to meet the NAAQS and be considered in attainment. Under the CAA, the federal government has the power to withhold funds from areas that do not meet the NAAQS for air pollutants. As of 2008, many metropolitan areas were designated as nonattainment for one or more of the criteria pollutants, with approximately 90 million Americans inhabiting these areas.

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## Audubon, John James

1785–1851

American naturalist, artist, and ornithologist

John James Audubon, the most renowned artist and naturalist in nineteenth-century America, left a legacy of keenly observant writings as well as a portfolio of exquisitely rendered paintings of the birds of North America.

Born April 26, 1785, Audubon was the illegitimate son of a French naval captain and a domestic servant girl from Santo Domingo (now Haiti). Audubon spent his childhood on his father's plantation in Santo Domingo and most of his late teens on the family estate in Mill Grove, Pennsylvania—a move intended to prevent him from being conscripted into the Napoleonic army.

Audubon's early pursuits centered around natural history, and he was continuously collecting and drawing plants, insects, and birds. At a young age he developed a habit of keeping meticulous field notes of his observations. At Mill Grove, Audubon, in order to learn more of the movements and habits of birds, tied bits of colored string onto the legs of several Eastern Phoebes and proved that these birds returned to the same nesting sites the following year. Audubon was the first to use banding to study the movement of birds.

While at Mill Grove, Audubon began courting their neighbor's eldest daughter, Lucy Bakewell, and they were married in 1808. They made their first home in Louisville, Kentucky, where Audubon tried being a storekeeper. He could not stand staying inside, and so



John James Audubon. (Corbis-Bettmann)

he spent most of his time afield to “supply fowl for the table,” thus dooming the store to failure.

In 1810, Audubon met, by chance, Alexander Wilson, who is considered the father of American ornithology. Wilson had finished much of his nine-volume *American Ornithology* at this time, and it is believed that his work inspired Audubon to embark on his monumental task of painting the birds of North America.

The task that Audubon undertook was to become *The Birds of America*. Because Audubon decided to depict each species of bird life-size, thus rendering each on a 36.5 by 26.5 inch (93 cm x 67 cm) page, this was the largest book ever published up until that time. He was able to draw even larger birds such as the whooping crane life-size by depicting them with their heads bent to the ground. Audubon pioneered the use of fresh models instead of stuffed museum skins for his paintings. He would shoot birds and wire them into lifelike poses to obtain the most accurate drawings possible. Even though his name is affixed to a modern conservation organization, the National Audubon Society, it must be

remembered that little thought was given to the conservation of birds in the early nineteenth century. It was not uncommon for Audubon to shoot a dozen or more individuals of a species to get what he considered the perfect one for painting.

Audubon solicited subscribers for his *Birds of America* to finance the printing and hand-coloring of the plates. The project took nearly twenty years to complete, but the resulting double elephant folio, as it is known, was truly a work of art, as well as the ornithological triumph of the time. Later in his life, Audubon worked on a book of the mammals of North America with his two sons, but failing health forced him to let them complete the work. He died in 1851, leaving behind a remarkable collection of artwork that depicted the natural world he loved so much.

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Eugene C. Beckham

Audubon Society see **National Audubon Society**.

## Australia

The Commonwealth of Australia, a country in the South Pacific Ocean, occupies the smallest continent and covers an area of 2,966,200 square miles (7,682,000 km<sup>2</sup>). Along the northeast coast of Australia, for a distance of 1,200 miles (2,000 km) in length and offshore as much as 100 miles (160 km) into the Pacific Ocean, lies the Great Barrier Reef, the greatest assemblage of coral reefs in the world. The island of Tasmania, which is a part of Australia, lies off the southeast coast of the mainland. Australia consists mainly of plains and plateaus, most of which average 2,000 feet (600 m) above sea level. There are several low mountain ranges in the country.

A national census is conducted every five years. As of 2010, the latest census figures available were gathered in 2006. According to the Australian Bureau of Statistics, in 2006 there were nearly 20 million people living in Australia (not counting visitors). Around 450,000 people described their ancestry as Aboriginal,



**Uluru (Ayers Rock), Northern Territory, Australia.** (Steve Lovegrove/Shutterstock.com)

which refers to the various peoples native to Australia before the arrival of Europeans.

More than one-third of Australia receives under 10 inches (25 cm) of annual rainfall, while less than one-third receives over 20 inches (51 cm). Many areas experience prolonged drought and frequent heat waves. The Outback is one such area. It is comprised of dry, barren land and vast interior deserts. Soils generally are poor, with fertile land found only in the lowlands and valleys near the east and southeast coast. The largest river is the Murray River in southeastern Australia. In the inland area, few rivers have much water due to the lack of rainfall. In areas with coastal highlands, rivers flow only a short distance to the sea. Lakes frequently dry up and become beds of salt. In contrast, there are lush rain forests in the north and snow-covered mountains in the south.

The most common tree is the eucalyptus, of which there are at least 600 different kinds. Since Australia is the driest of the inhabited continents, there are few forests. The acacia, or wattle, is Australia's national

flower. Many of the mammals of Australia (kangaroos, wallabies, and koala bears) are marsupials, and are unlike animals found anywhere else in the world (but marsupials are not confined to Australia; there are some sixty different kinds of marsupial opossums native to South America). The young of marsupials are born premature and require continued development outside the womb in pouches on the parent's body. The egg-laying mammal, or monotreme, is also only found in Australia. There are two species of monotreme: the duck-billed platypus and the echidna, or spiny anteater. The most famous Australian bird is the kookaburra. There are no hoofed animals in Australia. Two flightless birds, the emu and the cassowary, also are found only in Australia. Snakes are common and include many poisonous species. Two kinds of crocodiles are found in the northern part of Australia. All of these unique fauna and flora species have evolved due to the long separation of the Australian continent from Southeast Asia and a general lack of predators.

The goal of environmental protection and management in Australia is integration of the principles of

ecologically-sustainable development into policies and programs encompassing all aspects of environmental issues.

Australia's land resources form the basis of its unique natural heritage and its agricultural industry. However, problems of land degradation are widespread. Land degradation due to dryland salinity is a national problem that threatens both biodiversity and agricultural productivity. Dryland salinity affects about 5,434,000 acres (2.2 million ha) of once-productive agricultural land and costs an estimated \$243 million per year in lost agricultural production. Dryland salinity originates from salt deposited in the landscape over millions of years due to marine sedimentation. Land clearing over the last 200 years and the replacement of deep-rooted perennial native vegetation with shallow-rooted annuals has caused water tables to rise, bringing saline water close to the surface into the root zones of plants. Excessive irrigation has also led to increases in levels of water tables. Strategies to address this problem include research for the prediction, prevention, and reversal of dryland salinity. An example of a research effort to ameliorate the effects of salinity is a project that addresses the identification of salt-tolerant plant species and development of appropriate establishment and growth management techniques to ensure their survival. Australia's naturally fire-adapted ecosystem has frequent brushfires that now threaten many new developments and even major cities. Other land degradation problems being addressed include the rehabilitation of mining sites and remediation of sites contaminated by railway operations.

Australia contains about 10 percent of the world's biological diversity. The Commonwealth is addressing the issues of loss of biodiversity through a program referred to as the National Vegetation Initiative (NVI). About 988 million acres (400 million ha) of land is protected within a terrestrial reserves system, but 1,235 million acres (500 million ha), or more than two-thirds of Australia's land area, are managed by private landholders. Biodiversity outside the reserves has been affected by vegetation clearance and modification. The NVI will attempt to reverse the long-term decline in the quality and extent of Australia's native vegetation by developing programs and incentives to conserve, enhance, and manage remnant native vegetation; to increase revegetation activities; and to encourage the integration of native vegetation into conventional farming systems.

The state of Australia's rivers and other aquatic systems has been declining due to a range of factors. Unsustainable water extractions for agricultural production

have resulted in reduced flows in rivers that result in blue-green algae outbreaks, declines in native fish populations, increases in salinity, loss of wetlands, and loss of the beneficial aspects of small floods. Research is being conducted to define environmental flow requirements so that water allocations or entitlements will include environmental needs as a legitimate use. Other factors affecting the decline in aquatic systems include poor land and vegetation management, agricultural and urban pollution, salinity, destruction of native habitat, and spread of exotic pests such as the European carp and the water weed *Salvinia*.

The Australian government's primary air quality objective is to provide all its citizens with equivalent protection from air pollution, which will be achieved by the development of national air quality strategies and standards that minimize the adverse environmental impacts of air pollution. Since over 60 percent of the Australian population lives in cities, the government's first priority is to develop measures to reduce the impact of air pollution on urban areas.

The government of Australia considers climate changes as one of its most important environmental issues. The Department of Climate Change was established by the Australian government in December 2007; its name was changed to the Department of Climate Change and Energy Efficiency in March 2008. The main goals of the department are to reduce the nation's greenhouse gas emissions, to formulate plans for adapting to climate change, and to work with other nations to find global solutions to the climate change problem.

Regarding greenhouse gas emissions, the Australian government has developed cooperative agreements with industry to abate and reduce such emissions. Large-scale vegetation plantings for improvement of land resources will reduce carbon dioxide releases, a major greenhouse gas, into the atmosphere. Methane emission from intensive agriculture is being reduced through livestock waste treatment. The use of alternative transport fuels such as liquefied petroleum gas, compressed natural gas, ethanol, and other alcohol blends is encouraged through the use of a fuel excise exemption.

Although some ozone layer depletion in the atmosphere occurs over most of the Earth, the most dramatic changes are seen when an ozone "hole" (more precisely, a thinning of the ozone layer) forms over Antarctica each spring. The ozone layer protects life on Earth from harmful ultraviolet radiation from the sun, but it can be depleted by some widely-used, ozone-depleting chemicals. The ozone hole sometimes drifts over Australia and exposes residents to dangerous levels of ultraviolet radiation. Australia, because of its proximity to

Antarctica, has taken a leading role in ozone protection and has been influential in the development and implementation of cost-effective mechanisms to ensure the phase out of use of ozone-depleting substances by all countries. The government also monitors solar ultraviolet radiation levels and assesses the consequences for public health.

Australia's marine and coastal environments are rich in natural and cultural resources, are adjacent to most of the nation's population (about 86 percent), and are a focus of much of Australia's economic, social, tourism, and recreational activity. Australia's Exclusive Economic Zone (EEZ) is one of the largest in the world, comprising 4.25 million square miles (11 million km<sup>2</sup>) of marine waters. Uncoordinated and ad hoc development has been identified as a major contributing factor to the decline of coastal water quality and marine and estuarine habitats. Programs that include cooperation of the Commonwealth government with state and local governments, industry groups, nongovernmental organizations, and the community have been developed to protect and rehabilitate these environments. These programs include addressing threats to coastal water quality and marine biodiversity from land-based and marine pollution; developing integrated management plans for the conservation and sustainable use of coastal resources, including fisheries resources; supporting capital works and improvement of technologies to reduce the impacts of sewage and stormwater; controlling the introduction and spread of exotic marine pests in Australian waters; protecting and restoring fish habitats; and supporting comprehensive and consistent coastal monitoring.

The Great Barrier Reef is facing growing pressures from the reef-based tourism industry, commercial and recreational fishing (which is worth about \$1.3 billion per year), expanding coastal urban areas, and downstream effects of land use from agricultural activities. Several programs are being developed and implemented to ensure that activities can continue on an ecologically-sustainable basis. For example, tourist and recreational impacts are being reduced, while providing diverse tourist activities is increasing. Fishing catches and efforts are being monitored, major or critical habitats are being identified, and fishing bycatch is being reduced through the development of new methods. Sediment, nutrient, and other land-based runoff that impacts the health of adjacent marine areas are being controlled in coastal developments. Also, spill contingency planning and other responses to prevent pollution from ships, navigational aids, and ship reporting systems are all being improved. In addition, the state of water quality is being monitored in long-term programs throughout

the reef and threats from pollution are being assessed. Finally, all planning exercises include consultation with Aboriginal and Torres Strait Islander (ATSI) communities to ensure that their interests are considered.

The Commonwealth, through its National and World Heritage programs, is protecting elements of Australia's natural and cultural heritage that are of value for this and future generations. The National Estate comprises natural, historic, and indigenous places that have aesthetic, historic, scientific or social significance, or other special value. The Register of the National Estate had, by 2007 (when the Registry list was frozen, that is no additional entries allowed) some 13,000 places listed. The Register educates and alerts Australians to places of heritage significance. World Heritage properties are areas of outstanding universal cultural or national significance that are included in the United Nations Educational, Scientific, and Cultural Organization (UNESCO) World Heritage List. In 2010, there were 18 Australian properties on the World Heritage List, including the Great Barrier Reef.

*See also* Exclusive economic zone; Great Barrier Reef.

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Judith L. Sims

## Autecology

Autecology is a branch of ecology emphasizing the interrelationships among individual members of the same species and their environment. It includes the study of the life history or behavior of a particular species in relation to the environmental conditions that influence its activities and distribution. Autecology also includes studies on the tolerance of a species to critical physical factors (e.g., temperature, salinity, oxygen level, light) and biological factors (e.g., predation, symbiosis) thought to limit its distribution. Such data are gathered from field measurement or from controlled experiments in the laboratory. Autecology contrasts with *synecology*, the study of interacting groups (i.e., communities) of species.

## Automobile

The development of the automobile at the end of the nineteenth century fundamentally changed the structure of society in the developed world and has had wide-ranging effects on the environment, the most notable being the increase of air pollution in cities. The piston-type internal combustion engine is responsible for the peculiar mix of pollutants that it generates. There are a range of other engines suitable for automobiles, but they have yet to displace engines using rather volatile petroleum derivatives.

The simplest and most successful way of improving gaseous emissions from automobiles is to find alternative fuels. Diesel fuels have always been popular for larger vehicles, although a large portion of private vehicles in Europe are also diesel-powered. Compressed natural gases have been widely-used as fuel in some countries (e.g., New Zealand), while ethanol has had success in places such as Brazil, where it can be produced relatively cheaply from sugarcane. There is

limited, but growing, enthusiasm for the use of alternative fuels in the United States. A good example is the fuel blend E85, which, after a slow start, is becoming an increasingly popular fuel alternative. E85 is a mixture of up to 85 percent fuel ethanol (denatured grain alcohol) combined with some other liquid hydrocarbon, usually gasoline. According to the U.S. Department of Energy (DOE), by mid-2010 there were nearly 2,500 stations selling E85, mostly in the Midwestern United States where ethanol is widely derived from corn.

Others have suggested that fundamental changes to the engine itself can lower the impact of automobiles on air quality. The Wankel rotary engine is a promising power source that offers both low vibration and pollutant emissions from a relatively lightweight engine. Although Wankel engines are found on a number of exotic cars, there are still doubts about long-term engine performance and durability in the urban setting. Steam and gas turbines have many of the advantages of the Wankel engine, but questions of their expense and suitability for automobiles have restricted their use.

Traditionally, electric vehicles have had some positive impact for special sectors of the market. They have proved ideal for small vehicles within cities where frequent stop-start operation is required (e.g., delivery vans). Over the years, a few small, one-seat vehicles have been available at times, but they have failed to achieve any enduring popularity. The electric vehicle has traditionally suffered from low range, low speed and acceleration, and heavy batteries. However, they produce none of the conventional combustion-derived pollutants during operation, although the electricity to recharge the batteries requires the use of an electricity supply, which may or may not come from a power plant burning fossil fuels. From 1993 through 1996, General Motors (GM) Corporation produced and leased the EV1 all-electric car. GM cancelled its electric vehicle program in 2002, taking back its leased EV1s and destroying most of them (a few ended up in museums). GM claimed to have spent around one billion dollars developing and producing the EV1. GM management claimed that the market for electric cars was too limited and that profit margins were either too small, or nonexistent. In contrast to the American-based and managed General Motors, Japan-based Nissan announced that it would begin selling its all-electric compact car in the United States in December 2010, with sales in Europe planned for 2011.

In addition to the combustion engine and battery-powered electric cars, there are other technologies that have been explored. Fuel cells are an alternate source of electricity for electric automobiles. Fuel cells produce electricity directly from a chemical reaction, by

combining a fuel and an oxidizer. One type of fuel cell uses hydrogen as the fuel, and oxygen as the oxidizer. Other fuel and oxidizer-types have been created as well. Since fuel cells do not burn fuel as in a combustion engine, efficiencies associated with thermal cycles do not apply to them (such as the Carnot thermodynamic cycle). Fuel cells are thus able to attain considerably higher efficiencies than conventional combustion engines. However, a number of hurdles remain. For instance, if hydrogen is used as the fuel, then it must be stored in high-pressure tanks, or by using specially made storage materials that can adsorb hydrogen gas for later release to the fuel cell. These high-pressure tanks, or special hydrogen-storage materials, increase the cost and complexity of the design. Furthermore, the efficiency of fuel cells decreases considerably as more electric current is pulled from them, such as when more power is demanded by the driver. This loss of efficiency performance is another drawback of the technology. Finally, many types of fuel cells are made using expensive materials, such as platinum, which further increases the cost of this type of vehicle power plant.

Automobiles can also be powered through many other means, such as by flywheels or compressed air. Flywheels are driven up to high speeds by a fixed, probably electric, motor, then the vehicle can be detached and powered using the stored momentum, but range is often very limited. As of late-2010, a compressed-air car was being planned by Tata Motors for production and sale in India by 2011. Its only emissions are air and very little noise while running. However, compressed-air engines have traditionally suffered from low efficiencies and a low range of travel before needing to have their storage tanks replenished with compressed air.

Although automobile emissions are of great concern, the automobile has a far wider range of environmental impacts. Large amounts of material are used in their construction, and discarded automobiles can litter the countryside and fill waste dumps. Throughout the twentieth century, the vast majority of cars were made of steel. Increasingly, other materials, such as plastics and fiberglass, are used as construction materials. A number of projects, most frequently on the European continent, have tried recycling the diverse components of modern automobiles. Responsible automobile manufacturers are aiming to build vehicles with longer road lives, further aiding waste reduction.

The high speeds that automobiles can attain lead to sometimes horrendous accidents, which can involve many vehicles on crowded highways. The number of accidents has been reduced through antilock braking systems, thoughtful road design, and imposing harsh

penalties for drunk driving. Safety features such as seat belts, padding, and collapsible steering columns have helped lower injury during accidents. More sophisticated features, such as onboard radar, give drivers warning of impending collisions, or even automatically apply the brakes; however, at the beginning of the 2010s, only a few vehicle models offered advanced features such as radar or other active collision-avoidance technologies.

The structure of cities has changed with widespread automobile ownership. It has meant that people can live further away from where they work or shop. The need for parking has led to the development of huge parking lots within the inner city. Reaction to crowding in city centers is seen in the construction of huge shopping centers out-of-town, where parking is more convenient (or strip development of large stores along highways). These effects have often caused damage to inner-city life and disenfranchised noncar owners, particularly because a high proportion of car ownership often works against the operation of an effective mass transit system. For people who live near busy roads, noise pollution can be a great nuisance. The countryside has also been transformed by the need for superhighways that cope with a large and rapid traffic flow. Such highways have often been built on valuable agricultural land or natural habitats and, once constructed, create both practical and aesthetic nuisances.

*See also* Air quality; Automobile emissions.

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Peter Brimblecombe

## Automobile emissions

The automobile, powered by a piston-type internal combustion engine, is so widely-used that it has become the dominant source of air pollutants, particularly of photochemical smog, in large, urban cities.

Modern internal combustion engines operate through the Otto cycle, which involves rapid batch-burning of petroleum vapors. The combustion inside



**Exhaust pipe emitting waste gases.** (© iStockphoto.com/Fesus Robert)

the cylinder is initiated by a spark and proceeds outward through the gas volume until it reaches the cylinder walls where it is cooled. Close to the cylinder wall, where combustion is quenched, a fraction of the fuel remains unburnt. In the next cycle of the engine the hot combusted gases and unburnt fuel vapor are forced out through the exhaust system of the automobile.

Automotive engines generally operate on fuel-rich mixtures, which means that there is not quite enough oxygen to completely burn the fuel. As a result, there is an excess of unburnt hydrocarbons, particularly along the cylinder walls, and substantial amounts of carbon monoxide. This efficient production of carbon monoxide has made automobiles the most important source of this poisonous gas in the urban atmosphere.

The high emission levels of hydrocarbons and carbon monoxide have caused some engineers to become interested in “lean burn” engines that make more oxygen available during combustion. While such an approach is possible, the process also produces high concentrations of nitric oxide in the exhaust gases.

When the fuel enters the cylinder of an automobile engine in the gaseous form, it generally does not produce smoke. In diesel engines, however, the fuel is sprayed into the combustion chamber where it becomes dispersed as tiny droplets. Sometimes, especially under load, these droplets will not burn completely and are reduced to fine carbon particles, or soot, that are easily visible from diesel exhausts. In many cities this diesel smoke can represent the principal soiling agent in the air. In addition, soot, particularly from diesel engines, contain small amounts of carcinogenic material.

Many of the carcinogens found in the exhaust from diesel engines are polycyclic aromatic hydrocarbons

(PAH) and are archetypical carcinogens. The best-known of these is benzo-a-pyrene, which was tentatively recognized as a carcinogen in the eighteenth century from observations of chimney sweepers who had a high incidence of cancer. Some of the PAH can become nitrated during combustion and may be even more carcinogenic than the un-nitrated PAH. Diesel emissions may pose a greater cancer risk than the exhaust gases from gasoline engines. There are a number of emissions from gasoline engines that are potentially carcinogenic. Benzene represents a significant part of the total volatile organic emissions from automobiles. Yet the compound is also recognized by many as imposing a substantial carcinogenic risk to modern society. Toluene, although by no means as carcinogenic as benzene, is also emitted in large quantities. Toluene proves a very effective compound at initiating photochemical smog and also reacts to form the eye irritant peroxybenzoyl nitrate. The highly dangerous compound dioxin can be produced in auto exhausts where chlorine is present (antiknock agents often contain chlorine). Formaldehyde, a suspected carcinogen, is produced in photochemical smog but may also be an enhanced risk from engines burning the otherwise less-polluting methanol as a fuel.

Many exotic elements that are added to improve the performance of automotive fuels produce their own emissions. The best-known is the antiknock agent tetraethyl lead, which was added in such large quantities that it became the dominant source of lead particles in the air. A wide range of long-term health effects, such as lowering IQ, have been associated with exposure to lead. Although lead in urban populations is still rather high, the use of unleaded gasoline has decreased the problem somewhat.

Most attention usually focuses on the engine as a source of automobile emissions, but there are other sources. Evaporative loss of volatile materials from the crankcase, carburetion system, and fuel tank represent important sources of hydrocarbons for the urban atmosphere. The wear of tires, brake linings, and metal parts contribute to particles being suspended in the air of the near roadside environment. The presence of asbestos fibers from brake linings in the urban air has often been discussed, although health threats from this source are less serious than from other sources. Modern brake pads give rise to antimony and copper rather than asbestos.

There have been relatively few studies of the pollutants inside automobiles, but there has been some concern about the potential hazard of the buildup of

automobile emissions from malfunctioning units and from leaks. These can be from the evaporation of fuel, especially leaded fuels where the volatile tetraethyl lead is present. Carbon monoxide, from the exhaust system, can cause drowsiness and impair judgment. However, in many cases, the interior of a properly functioning automobile, without additional sources such as smoking, can have somewhat better air quality than the air outside. In general, pedestrians, cyclists, and those who work at road sites are likely to experience the worst of automotive pollutants such as carbon monoxide and potentially carcinogenic hydrocarbons.

Although huge quantities of fossil fuels are burnt in power generation and a range of industrial processes, automobiles make a significant and growing contribution to carbon dioxide emissions, which enhances the greenhouse effect. Ethanol, made from sugarcane, is a renewable source of energy and has the advantage of not making as large a contribution to the greenhouse gases as gasoline. Automobiles are not large emitters of sulfur dioxide and thus do not contribute greatly to the regional sulfuric acid rain problem. Nevertheless, the nitrogen oxides emitted by automobiles are ultimately converted to nitric acid, and these are making an increasing contribution to rainfall acidity. Diesel-powered vehicles use fuel of a higher sulfur content and can contribute to the sulfur compounds in urban air, although regulations often require the removal of sulfur from modern diesel fuel. Newer ultra-low sulfur diesel (ULSD) fuel has greatly reduced the emissions of sulfur compounds. ULSD contains 15 to 50 parts per million (ppm), which represents a dramatic decrease from low-sulfur diesel that contained up to 500 ppm.

Despite the enormous problems created by the automobile, few propose its abolition, although some regulators in Europe have attempted to reduce usage. The ownership of a car carries with it powerful statements about personal freedom and power. Beyond this, the structure of many modern cities requires the use of a car. Thus while air pollution problems might well be cured by a wide range of sociological changes, a technological fix has been favored, such as the use of catalytic converters. Despite this and other devices, cities still face daunting air quality problems. In some areas, most notably the Los Angeles Basin in California, it is clear that there will have to be a wide range of changes if air quality is to improve. Although much attention is being given to lowering emissions of volatile organic compounds, it is likely that non-polluting vehicles will have to be manufactured and a better mass transit system created.

Automakers will have to employ fuel-efficiency technologies to meet new, more stringent fuel economy standards in the United States. In 2009, U.S. President Barack Obama (1961–) announced a policy to increase the average fuel economy standard of vehicles in the United States to 35.5 miles per gallon (56.8 km per gallon) by 2016 from approximately 25 miles per gallon (40 km per gallon) in 2010. The new fuel economy standard will save an estimated 1.8 billion barrels of oil over the life of the program and is equivalent in emissions reductions to removing 58 million cars from the road for a year.

In April 2010, the United States set new greenhouse gas emissions standards for automobiles that included the most substantial increase in fuel efficiency standards since the 1970s. Canada also set new and similar emissions standards. Starting with 2012 model automobiles, the U.S. Environmental Protection Agency (EPA) and U.S. Department of Transportation cooperated in finalizing standards that will require cars and trucks to average 35.5 miles per gallon (15 km per l) by 2016, an increase of 42 percent from 2010 standards. Average vehicle emissions also cannot exceed 250 grams (8.75 oz) of carbon dioxide per mile by 2016. New measures intended for industrial tractor-trailer trucks are anticipated by the end of 2010. The shared standards of the United States and Canada reflect more than a shared environmental concern. The automotive industry has component factories on both sides of the border, and so shared standards are vital to economic interests. Several industries, including several in the energy and refining sectors, have joined to take legal action to challenge the EPA's authority to impose such limits.

In Europe, a manufacturer's cars must meet Europe's 130 grams per kilometer carbon dioxide emissions target by 2015. Thus far, European consumers have favored transition to diesel cars over transition to hybrid gas or electric vehicles.

*See also* Automobile.

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Peter Brimblecombe

## Autotroph

An autotroph is an organism that derives its carbon for building body tissues from carbon dioxide (CO<sub>2</sub>) or carbonates and obtains its energy for bodily functions from radiant sources, such as sunlight, or from the oxidation of certain inorganic substances. The leaves of green plants and the bacteria that oxidize sulfur, iron, ammonium, and nitrite are examples of autotrophs. The oxidation of ammonium to nitrite, and of nitrite to nitrate, a process called nitrification, is a critical part of the nitrogen cycle. Moreover, the creation of food by photosynthetic organisms is largely an autotrophic process.

## Avalanche

An avalanche is a sudden slide of snow and ice, usually in mountainous areas where there is heavy snow accumulation on moderate to steep slopes. Snow avalanches flow at an average speed of 80 miles per hour (130 km/h), and their length can range from less than 300 feet (100 m) to 2 miles (3.2 km) or more. Generally the term “avalanche” refers to sudden slides of snow and ice, but it can also be used to describe catastrophic debris slides consisting of mud and loose rock. Debris avalanches are especially associated with volcanic activity in which melted snow, earthquakes, and clouds of flowing ash can trigger movement of rock and mud. Snow avalanches generally consist either of loose, fresh snow or of slabs of accumulated snow and ice that move in large blocks. Snow avalanches occur most often where the snow surface has melted under the sun and then refreezes, forming a smooth surface of snow. Later snow falling on this smooth surface tends to adhere poorly, and it may slide off the slick plane of recrystallized snow when it is shaken by any form of vibration—including sound waves, earthquakes, or the movement of skiers.

Several factors contribute to snow avalanches, including snow accumulation, hill slope angle, slope shape (profile), and weather. Avalanches are most common where there is heavy snow accumulation on slopes of twenty-five to sixty-five degrees, and they occur most often on slopes between thirty and forty-five degrees. On slopes steeper than sixty-five degrees snow tends to slough off rather than accumulate. On shallow slopes, avalanches are likely to occur only in wet (melting) conditions, when accumulated snow

may be heavy, and when snowmelt collecting along a hardened old snow surface within the snowpack can loosen upper layers, allowing them to release easily. Slab avalanches may be more likely to start on convex slopes, where snow masses can be fractured into loose blocks, but they rarely begin on tree-covered slopes. However, loose snow avalanches often start among trees, gathering speed and snow as they cross open slopes. Weather can influence avalanche probability by changing the stability and cohesiveness of the snowpack. Many avalanches occur during storms when snow accumulates rapidly, or during sustained periods of cold weather when new snow remains loose. Like snowmelt, rainfall can increase chances of avalanche by lubricating the surface of hardened layers within the snowpack. Sustained winds increase snow accumulation on the leeward side of slopes, producing snow masses susceptible to slab movement. When conditions are favorable, an avalanche can be triggered by the weight of a person or by loud noises, earthquakes, or other sources of vibration. Avalanches tend to be most common in mid-winter, when snow accumulation is high, and in late spring, when melting causes instability in the snowpack.

Avalanches play an ecological role by keeping slopes clear of trees, thus maintaining openings vegetated by grasses, forbs, and low brush. They are also a geomorphologic force because they maintain bare rock surfaces, which are susceptible to erosion.

Most research into the dynamics and causes of avalanches has occurred in populous mountain regions such as the Alps, the Cascades, and the Rocky Mountains, where avalanches cause damage and fatalities by crushing buildings and vehicles, and covering highways and railways. Avalanches are very powerful. They can destroy buildings, remove full-grown trees from hill-sides, and even sweep railroad trains from their tracks. One of the greatest avalanche disasters on record occurred in 1910 in the Cascades near Seattle, Washington, when a passenger train, trapped in a narrow valley in a snowstorm for several days, was caught in an avalanche and swept to the bottom of the valley. Ninety-six passengers died as the cars were crushed with snow. Although avalanches are among the more dangerous natural hazards, they have caused just over 600 recorded mortalities in North America from 1985 to 2004, and most avalanche victims in North America are caught in slides they triggered themselves by walking or skiing across open slopes with accumulated snow.

Reducing the size and likelihood of avalanches can be accomplished using explosives and support structures. Areas where many people are at risk, such

as resort areas, may employ explosives to initiate small avalanches as snow builds up. This process effectively reduces the magnitude of an avalanche that may occur by removing snow buildup gradually. Snow fences or nets are structures used to prevent avalanche initiation in the starting zone, where unstable snow gives way and begins to move. These supports give external support to the accumulating snow, limit avalanche size by introducing discontinuity in the snow surface, and slow momentum for small avalanches.

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Mary Ann Cunningham



# B

## ***Bacillus thuringiensis***

*Bacillus thuringiensis*, or *B.t.*, is a family of bacterial-based, biological insecticides. Specific strains of *B.t.* are used against a wide variety of leaf-eating lepidopteran pests such as European corn borer, tomato hornworms, and tobacco moths, and some other susceptible insects such as blackflies and mosquitoes. The active agent in *B.t.* is toxic organic crystals that bind to the gut of an insect and poke holes in cell membranes, literally draining the life from the insect. *B.t.* can be applied using technology similar to that used for chemical insecticides, such as high-potency, low-volume sprays of *B.t.* spores applied by aircraft. The efficacy of *B.t.* is usually more variable and less effective than that of chemical insecticides, but the environmental effects of *B.t.* are argued to be more acceptable because there is little nontarget toxicity.

## **Background radiation**

Ionizing radiation has the potential to kill cells or cause somatic (affecting the body) or germinal (relating to reproductive cells) mutations. It has this ability by virtue of its power to penetrate living cells and produce highly reactive charged ions. The ions (electrically charged atoms), within the ionizing radiation, directly cause cell damage. Radiation accidents and the potential for radiation from nuclear bombs (nuclear fission weapons, sometimes also called atomic bombs) and hydrogen bombs (nuclear fusion weapons) create a fear of radiation release around human activity.

However, people are subjected to diagnostic and therapeutic radiation each day. In addition, many older Americans were exposed to radioactive fallout from atmospheric testing of nuclear weapons in the middle part of the twentieth century. In the twenty-first century,

there is a small amount of environmental contamination from nuclear fuel used in power plants. Accordingly, there is still considerable interest in radiation effects on biological systems and the sources of radiation in the environment.

Concern for radiation safety is certainly justified and most individuals seek to minimize their exposure to human-generated radiation. However, for most people, exposure levels to radiation from natural sources far exceed exposure to radiation produced by humans. In the United States, current estimates of human exposure levels of ionizing radiation suggest that less than 20 percent is of human origin. The remaining radiation (80 percent) is from natural sources and is referred to as “background radiation.” While radiation doses vary tremendously from person to person, the average human has an annual exposure to ionizing radiation of about 360 millirem. Millirem or mrem (one-thousandth of one rem, where rem stands for roentgen equivalent in man) is a measure of radiation absorbed by tissue multiplied by a factor that takes into account the biological effectiveness of a particular type of radiation and other factors such as the competence of radiation repair. One mrem is equal to 10  $\mu\text{Sv}$ ; where  $\mu\text{Sv}$  is an abbreviation for microsievert (or one millionth of one sievert), a unit that is used internationally.

Some radiation has little biological effect. Visible light and infrared radiation, two types of electromagnetic radiation, do not cause ionization, are not mutagenic (mutation causing) and are not carcinogenic (cancer causing). Consequently, background radiation refers to ionizing radiation that is derived from cosmic radiation, terrestrial radiation, and radiation from sources internal to the body. (Background radiation has the potential for producing inaccurate counts from devices such as Geiger counters. For example, cosmic rays will be recorded when measuring the radioactive decay of a sample. This background

“noise” must be subtracted from the indicated count level to give a true indication of activity of the sample.)

Cosmic rays are of galactic origin, entering Earth’s atmosphere from outer space. Solar activity in the form of solar flares (explosions on the Sun) and sunspots (dark spots on the Sun) affects the intensity of cosmic rays. The atmosphere of Earth serves as a protective layer for humans and anything that damages that protective layer will increase the radiation exposure of those who live under it. The dose of cosmic rays doubles at 4,920 feet (1,500 m) above sea level. Because of this, citizens of Denver, Colorado, near the Rocky Mountains, receive more than twice the dose of radiation from cosmic rays as do citizens of coastal cities such as New Orleans, Louisiana. The aluminum shell of a jet airplane provides little protection from cosmic rays, and for this reason passengers and crews of high-flying jet airplanes receive more radiation than their earth-traveling compatriots. Even greater is the cosmic radiation encountered at 60,000 feet (18,300 m) where supersonic jets fly. The level of cosmic radiation there is 1,000 times that at sea level. While the cosmic ray dose for occasional flyers is minimal, flight and cabin crews of ordinary jet airliners receive an additional exposure of 160 mrem per year, an added radiation burden to professional flyers of more than 40 percent. Cosmic sources for non-flying citizens at sea level are responsible for about 8 percent (29–30 mrem) of background radiation exposure per annum.

Another source of background radiation is terrestrial radioactivity from naturally occurring minerals, such as uranium, thorium, and cesium, in soil and rocks. The abundance of these minerals differs greatly from one geographic area to another. Residents of the Colorado plateau receive approximately double the dose of terrestrial radiation as those who live in Iowa or Minnesota. The geographic variations are attributed to the local composition of Earth’s crust and the kinds of rock, soil, and minerals present. Houses made of stone are more radioactive than houses made of wood. Limestones and sandstones are low in radioactivity when compared with granites and some shales. Naturally occurring radionuclides in soil may become incorporated into grains and vegetables and thus gain access to the human body. Radon is a radioactive gas produced by the disintegration of radium, which is produced from uranium. Radon escapes from Earth’s crust and becomes incorporated into all living matter including humans. It is the largest source of inhaled radioactivity and comprises about 55 percent of total human radiation exposure (both background and human generated). Energy efficient homes, which do

not leak appreciable amounts of air, may have a higher concentration of radon inside than is found in outside air. This is especially true of basement air. The radon in the home decays into radioactive “daughters” that become attached to aerosol particles which, when inhaled, lodge on lung and tracheal surfaces. Obviously, the level of radon in household air varies with construction material and with geographic location. Is radon in household air a hazard? Many people believe it is, since radon exposure (at a much higher level than occurs breathing household air) is responsible for lung cancer in nonsmoking uranium miners.

Naturally occurring radioactive carbon (carbon-14) similarly becomes incorporated into all living material. Thus, external radiation from terrestrial sources often becomes internalized via food, water, and air. Radioactive atoms (radionuclides) of carbon, uranium, thorium, and actinium and radon gas provide much of the terrestrial background radiation. The combined annual exposure to terrestrial sources, including internal radiation and radon, is about 266 mrem and far exceeds other, more feared sources of radiation.

Life on Earth evolved in the presence of ionizing radiation. It seems reasonable to assume that mutations can be attributed to this chronic, low level of radiation. Mutations are usually considered to be detrimental, but over the long course of human and other organic evolution, many useful mutations occurred, and it is these mutations that have contributed to the evolution of higher forms.

Nevertheless, it is to an organism’s advantage to resist the deleterious effects associated with most mutations. The forms of life that inhabit Earth today are descendants of organisms that existed for millions of years on Earth. Inasmuch as background ionizing radiation has been on Earth longer than life, humans and all other organisms obviously cope with chronic low levels of radiation. Survival of a particular species is not due to a lack of genetic damage by background radiation. Rather, organisms survive because of a high degree of redundancy of cells in the body, which enables organ function even after the death of many cells (e.g., kidney and liver function, essential for life, does not fail with the loss of many cells; this statement is true for essentially all organs of the human body). Further, stem cells in many organs replace dead and discarded cells. Naturally occurring antioxidants are thought to protect against free radicals produced by ionizing radiation. Finally, repair mechanisms exist that can, in some cases, identify damage to the double helix and effect DNA (deoxyribonucleic acid) repair. Hence, while organisms are vulnerable to background radiation, mechanisms are present which assure survival.

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Robert G. McKinnell

## Bacon, Sir Francis

1561–1626

English statesman, author, and philosopher

Sir Francis Bacon, philosopher and lord chancellor of England, was one of the key thinkers involved in the development of the procedures and epistemological standards of modern science. Bacon thus has also played a vital role in shaping modern attitudes towards nature, human progress, and the environment. He inspired many of the great thinkers of the Enlightenment, especially in England and France. Moreover, Bacon laid the intellectual groundwork for the mechanistic view of the universe characteristic of eighteenth and nineteenth century thought and for the explosion of technology in the same period.

In *The Advancement of Learning* (1605) and *Novum Organum* (1620), Bacon attacked all teleological ways of looking at nature and natural processes (i.e., the idea found in Aristotle and in medieval scholasticism that there is an end or purpose which somehow guides or shapes such processes). For Bacon, this way of looking at nature resulted from the tendency of human beings to make themselves the measure of the outer world, and thus to read purely human ends and purposes into physical and biological phenomena. Science, he insisted, must guard against such prejudices and preconceptions if it was to arrive at valid knowledge.

Instead of relying on or assuming imaginary causes, science should proceed empirically and inductively, continuously accumulating and analyzing data through observation and experiment. Empirical observation and the close scrutiny of natural phenomena allow the



Sir Francis Bacon. (Painting by Paul Somer. Corbis-Bettmann)

scientist to make inferences, which can be expressed in the form of hypotheses. Such hypotheses can then be tested through continued observation and experiment, the results of which can generate still more hypotheses. Advancing in this manner, Bacon proposed that science would come to more and more general statements about the laws which govern nature and, eventually, to the secret nature and inner essence of the phenomena it studied.

As Bacon rather famously argued, "Knowledge is power." By knowing the laws of nature and the inner essence of the phenomena studied, human beings can remake things as they desire. Bacon believed that science would ultimately progress to the point that the world itself would be, in effect, merely the raw material for whatever future ideal society human beings decided to create for themselves.

The possible features of this future world are sketched out in Bacon's unfinished utopia, *The New Atlantis* (1627). Here Bacon developed the view that

the troubles of his time could be solved through the construction of a community governed by natural scientists and the notion that science and technology indeed could somehow redeem mankind. Empirical science would unlock the secrets of nature thus providing for technological advancement. With technological development would come material abundance and, implicitly, moral and political progress.

Bacon's utopia is ruled by a "Solomon's House"—an academy of scientists with virtually absolute power to decide which inventions, institutions, laws, practices, and so forth will be propitious for society. Society itself is dedicated to advancing the human mastery of nature: "The End of Our Foundation is the Knowledge of Causes and secret motions of things; and the enlarging of the bounds of the human empire, to the effecting of all things possible."

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Lawrence J. Biskowski

BACT *see* **Best Available Control Technology.**

## Baghouse

A baghouse is an air pollution control device normally using a collection of long, cylindrical, fabric filters to remove particulate matter from an exhaust air stream. The filter arrangement is normally designed to overcome problems of cleaning and handling large exhaust volumes. In most cases, exhaust gas enters long (usually 33–50 ft [10–15 m]), vertical, cylindrical filters on the inside from the bottom. The bags are sealed at the top. As the exhaust air passes through the fabric filter, particles are separated from the air stream by sticking either to the filter fabric or to the cake of particles previously collected on the inside of the filter. The exhaust then passes to the atmosphere free of most of its original particulate-matter loading; collection efficiency usually increases with particle size.

The buildup of particles on the inside of the bags is removed periodically by various methods, such as rapping

the bags, pulsing the air flow through the bags, or shaking. The particles fall down the long cylindrical bags and are normally caught in a collection bin, which is unloaded periodically. A baghouse system is usually much cheaper to install and operate than a system using electrostatic precipitation to remove particulates.

## Balance of nature

The ideal of a balance of nature is based on a view of the natural world that is largely an artifact created by the temporal, spatial, and cultural filters through which humans respond to the natural world. For a variety of reasons, people tend to interpret the natural course of events in the world around them as maintaining equilibrium and seeking to return it to equilibrium when disturbed.

There are three components to nature's balance: ecological, evolutionary, and population. In an ecological sense, communities are thought to proceed through successional stages to a steady state climax. When disturbed, the community tends to return to that climax state. Stability is assumed to be an endpoint, and once reached the community becomes a partly closed homeostatic system. In an evolutionary sense, the current compliment of species is interpreted as the ultimate product of evolution rather than a temporary expression of a continually changing global taxa. In the population sense, concepts such as carrying capacity and the constant interplay between environmental resistance and biotic potential are interpreted as creating a balance of numbers in a population and between the population and its environment. Three ideas are fundamental to the above: that nature undisturbed is constant; that when nature is disturbed it returns to the constant condition; and that constancy in nature is the desired endpoint.

This interpretation of nature may be so strongly filtered by one's cultural interpretation and idealization of balance that one tends to produce conclusions not in keeping with one's observations of nature. Assumptions of human centrality may be sufficiently strong to bend the usually clear lens supplied by science in this case. Although the concept of balance in nature has been formally criticized in ecology for over sixty-five years (since Frederick Clements and Henry Gleason focused the argument in the 1920s), the core of the science did not change until about 1985. Since that time, a dynamic approach that pays no special

attention to equilibrium processes has taken center stage in ecological theorizing.

The primary alternatives are part of the group of ideas termed intermediate disturbance hypotheses. These ideas offer a different view of how communities assemble, suggesting that disturbance is more frequent and/or more influential than performing a routine return to an equilibrium state. Furthermore, disturbance and nonequilibrium situations are responsible for the most diverse communities, for example, tropical rain forests and coral reefs, through the reduction in competition caused by disturbance factors.

Few theorists, however, suggest that nonequilibrium settings are the single most powerful explanation or are mutually exclusive with communities that do have an equilibrium. There are situations that seem to seek equilibrium and smaller subsystems that appear virtually closed. In local situations, certain levels of resources and disturbance may create long-term stability and niche differentiation or other mechanisms may be the principal cause of a species diverse situation.

Although some theorists have been working to verify, revise, and examine new developments in ecology, very little attention has been given to alternative, more complex theoretical interpretations of nature, in terms of time, space, and dynamism in resource and environmental management. The implications of accepting a non-equilibrium orientation for environmental management are significant. Most of the underpinnings of resource management include steady state carrying capacity, succession, predator-prey balance, and community equilibrium as foundations.

There are three major implications in the shift from equilibrium to nonequilibrium approaches to the environment. First, until a more realistic theory is used, the rate of resource extraction from nature will be subject to considerably higher uncertainty than many people suspect. Since communities are expected to seek equilibrium, populations and species numbers are likely to be predicted to increase more than may be warranted. Second, people perceive that areas of high biodiversity are due to long- and short-term stability, when in fact the forces may be just the opposite. Therefore, management that attempts to maintain stability is the reverse of what is actually needed. Third, the kinds of disturbance people create in these diverse communities (deforestation, introduction of nonnative species, or oil pollution) may not mimic anything natural and a species may have little defense against them. A characteristic of communities with high species diversity is small population size, thus human

disturbance may cause exceptionally high rates of extinction. A central facet of the burgeoning practice of ecological restoration should be an ability to accurately mimic disturbance regimes.

Balance in nature has a strong appeal and has anchored natural resource management practices. Now practice stands well behind theoretical developments, and increased integration of more modern ecological science is required to avoid costly resource management mistakes.

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Dave Duffus

## Bald eagle

The bald eagle (*Haliaeetus leucocephalus*), one of North America's largest birds of prey with a wingspan of up to 7.5 feet (2.3 m), is a member of the family Accipitridae. Adult bald eagles are dark brown to black with a white head and tail; immature birds are dark brown with mottled white wings and are often mistaken for golden eagles (*Aquila chrysaetos*). Bald eagles feed primarily on fish, but also eat rodents, other small mammals, and carrion. The bald eagle is the national emblem for the United States, adopted as such in 1782 because of its fierce, independent appearance. This characterization is unfounded, however, as this species is usually rather timid.

Formerly occurring over most of North America, the bald eagle's range—particularly in the lower forty-eight states—had been drastically reduced by a variety of reasons, one being its exposure to DDT and related



**Bald eagle (*Haliaeetus leucocephalus*).** (FloridaStock/Shutterstock.com)

pesticides, which are magnified in the food chain/web. This led to reproductive problems, in particular, thin-shelled eggs that were crushed during incubation. The banning of DDT use in the United States in 1972 may have been a turning point in the recovery of the bald eagle. Eagle populations also were depleted due to lead poisoning. Estimates are that for every bird that hunters shot and carried out with them, they left behind about a half pound of lead shot, which affects the wildlife in that ecosystem long after the hunters are gone. Since 1980, more than sixty bald eagles have died from lead poisoning. Other threats facing their populations include habitat loss or destruction, human encroachment, collisions with high power lines, and shooting.

In 1982, the population in the lower forty-eight states had fallen to less than 1,500 pairs, but by 1988, their numbers had risen to about 2,400 pairs. Due to strict conservation laws, the numbers have continued to rise and there are now 6,000 pairs. On July 4, 2000, the bald eagle was removed from the Endangered listing and is now listed as Threatened in the lower forty-eight states. The bald eagle is not endangered in the state of Alaska, since a large, healthy population of about 35,000 birds exists there. During the annual salmon run, up to 4,000 bald eagles congregate along the Chilkat River in Alaska to feed on dead and dying salmon.

Bald eagles, which typically mate for life and build huge platform nests in tall trees or cliff ledges, have been aided by several recovery programs, including the construction of artificial nesting platforms. They will reuse, add to, or repair the same nest annually, and some pairs have been known to use the same nest for over thirty-five years. Because the bald eagle has been listed as either endangered or threatened throughout most of the United States, the federal government

has provided some funding for its conservation and recovery projects. In 1989, the federal government spent \$44 million on the conservation of threatened and endangered species. Of the 554 species listed, \$22 million, half of the total allotment, was spent on the top twelve species on a prioritized list. The bald eagle was at the top of that list and received \$3 million of those funds.

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### ORGANIZATIONS

American Eagle Foundation, P.O. Box 333, Pigeon Forge, TN, USA, 37868, (865) 429-0157, (865) 429-4743, (800) 2EAGLES, EagleMail@Eagles.Org., <http://www.eagles.org>

Eugene C. Beckham

## Barrier island

An elongated island that lies parallel to, but mostly separate from, a coastline. Barrier islands are composed of sediments, mainly sand, deposited by longshore currents, wind, and wave action. Both marine and terrestrial plants and animals find habitat on barrier islands or along their sandy beach shorelines. These islands also protect coastal lagoons from ocean currents and waves, providing a warm, quiet environment for species that cannot tolerate more violent wind and wave conditions. In recent decades these linear, sandy islands, with easy access from the mainland, have proven a popular playground for vacationers. These visitors now pose a significant threat to breeding birds and other coastal species. Building houses, roads, and other disruptive human activities can destabilize dunes and expose barrier islands to disastrous storm damage. In some cases, whole islands

are swept away, exposing protected lagoons and delicate wetlands to further damage. Major barrier island formations in North America include those along the eastern coasts of the mid-Atlantic states, Florida, and the Gulf of Mexico.

## Basel Convention

The Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and their Disposal is a global treaty that was adopted in 1989 and became effective on May 5, 1992. The Basel Convention represents a response by the international community to problems caused by the international shipment of wastes. Of the over 400 million tons of hazardous wastes generated globally every year, an unknown amount is subject to transboundary movement. In the 1980s, several highly publicized “toxic ships” were accused of trying to dump hazardous wastes illegally in developing countries. The uncontrolled movement and disposal of hazardous waste, especially in developing countries that often lacked the know-how and equipment to safely manage and treat hazardous wastes, became a significant problem due to high domestic costs of treating or disposing of wastes.

Through its Secretariat in the United Nations Environment Programme, the Convention aims to control the transboundary movement of wastes, monitor and prevent illegal traffic, provide technical assistance and guidelines, and promote cooperation. The convention imposes obligations on treaty signatories to ensure that wastes are managed and disposed of in an environmentally sound manner. The main principles of the Basel Convention are to (1) reduce transboundary movements of hazardous wastes to a minimum consistent with environmentally sound management; (2) treat and dispose of hazardous wastes as close as possible to their source of generation; and (3) reduce and minimize the generation of hazardous waste. The convention generally prohibits parties to the convention from importing or exporting hazardous wastes or other wastes from or to a noncontracting party. However, a party to the convention may allow such import or export if the party has a separate bilateral or multilateral agreement regarding the transboundary movement of hazardous wastes with a nonparty and that agreement provides for “environmentally sound management.” To date, the convention has defined environmentally sound management practices for a number of wastes and technologies, including organic

solvents, waste oils, pentachlorophenol (PCBs), household wastes, landfills, incinerators, and oil recycling.

The Conference of the Parties (COP) is the governing body of the Basel Convention and is composed of all governments that have ratified or acceded to it. The COP has met nine times since the convention entered into force in May 1992. At the Third Meeting of the COP (COP3), the parties adopted an amendment to the convention that will ban the export of hazardous wastes from developed countries to developing ones. The Fourth Meeting of COP (COP4), held in Kuala Lumpur in October 1997, incorporated work on lists of wastes into the system of the Basel Convention. These lists should help to mitigate practical difficulties in determining exactly what is a waste, a problem that has been encountered by a number of parties.

As of 2010, the European Union and 173 states were parties to the Basel Convention. Though the United States was among the original signatories to the Basel Convention in 1989, the United States was still not a party to the convention since Congress had not yet passed implementing legislation. The United States was participating in planning and technical aspects of the convention.

Stuart Batterman

## Bass, Rick

1958–  
American writer

Rick Bass is an environmental activist and writer.

Bass was born in south Texas and grew up there, absorbing stories and family lore from his grandfather during deer-hunting trips. These early forays into Texas hill country form the basis of the author’s first book, *The Deer Pasture*, published when he was twenty-seven years old. Bass has lived in Texas, Mississippi, Vermont, Utah, Arkansas, and Montana.

Bass received a degree in geology from Utah State University in 1979 and went to work as a petroleum geologist in Mississippi, prospecting for new oil wells. This experience informed one of his better-known nonfiction books, *Oil Notes*. Written in journal form, *Oil Notes* offers meditations on the art and science of finding energy in the ground, as well as reflections on the author’s personal life and his outdoor adventures.



**Author Rick Bass writes about wilderness and woodsmen from his home in the small, isolated burg and has carved out a niche as one of Montana's most recognized writers.** (AP Photo/Independent Record, Jason Mohr)

Bass is a passionate environmentalist whose nonfiction in particular celebrates efforts to reclaim a wilder America. Books such as *The Lost Grizzlies: A Search for Survivors* demonstrate his conviction that America's larger predators should be allowed to survive and thrive. Bass highlights the plight of the wolf in 1992's *The Ninemile Wolves* and 1998's *The New Wolves*.

Bass features his adopted Montana homeland in some of his publications, including his nonfiction titles *Winter: Notes from Montana*, a 1991 release, and *The Book of Yaak*, which was published in 1996. Bass's essays are often linked by comments about his dog, a German shorthaired pointer named Colter. Bass gave readers a more exclusive look at Colter with his 2000 book *Colter: The True Story of the Best Dog I Ever Had*. The work, however, also stays true to Bass's characteristic

nature themes. *Publisher's Weekly* said *Colter* was as much "a book about appreciating nature and life" as it was the story of Bass and his dog.

Although most of Bass's publications are nonfiction, the author has written both short stories and novels. Perhaps not surprisingly, the masculine pursuits of hunting, fishing, and drinking are central to many of Bass's stories. In 1998, Bass published his first novel, *Where the Sea Used to Be*. This book was followed by a collection of short stories in 2002, called *The Hermit's Story*. In 2006, he published a short fiction collection titled *The Lives of Rocks*, which was nominated for a 2007 Story Prize. In 2007, he published *The New Wolves: The Return of the Mexican Wolf to the American Southwest*. His autobiography *Why I Came West* was one of the finalists for the 2008 National Book Critics Award.

Bass has continued to publish essays and stories in many popular magazines, including *Sports Afield*, *Audubon*, *National Geographic Traveler*, *Atlantic Monthly*, *Sierra*, and others.

## Bats

Bats, the only mammals that fly, are among nature's least understood and unfairly maligned creatures. Bats are extremely valuable animals, responsible for consuming huge numbers of insects and pollinating and dispersing the seeds of fruit-bearing plants and trees, especially in the tropics. Yet, superstitions about and fear of these nocturnal creatures have led to their persecution and elimination from many areas, and several species of bats are now threatened with extinction.

There are over 900 species of bats, representing almost a quarter of all mammal species, and they are found on every continent except Antarctica. Most types of bats live in the tropics, and some forty species are found in the United States and Canada. The largest bats, flying foxes, found on Pacific islands, have wingspreads of 5 feet (1.5 m). The smallest bats, bamboo bats, are the size of the end of a person's thumb.

Bats commonly feed on mosquitoes and other night-flying insects, especially over ponds and other bodies of water. Some bats consume half of their weight in insects a night, eating up to 5,000 gnat-sized mosquitoes one hour, thus helping to keep insect populations under control. Some bats hunt ground-dwelling species, such as spiders, scorpions, large insects, and beetles, and others prey on frogs, lizards, small birds, rodents,



**Flying fox bat, Bali, Indonesia.** (Dominique Capelle/Shutterstock.com)

fish, and even other bats. The infamous vampire bat of Central and South America does actually feed on blood, daily consuming about a tablespoon from cattle and other animals, but it does not generally bother humans.

Bats that live in tropical areas, such as fruit bats (also called flying foxes), often feed on and pollinate plants. Bats are thus extremely important in helping flowers and fruit-bearing plants to reproduce. In tropical rain forests, for example, bats are responsible for pollinating most of the fruit trees and plants.

Bats are usually social animals. Some colonies consist of millions of bats and use the same roost for centuries. Bat manure (guano) is often collected from caves and used as fertilizer. Most bats come out only at night and spend their days in dark roosts, hanging upside down, sleeping, nursing, and tending their young, or grooming their wings and fur. Bats become active an hour or so before dark, and at dusk they leave their roosting areas and fly out to feed, returning home before dawn. Many bats flying at night navigate and locate food, such as flying insects, by echolocation, emitting continuous high frequency sounds that echo

or bounce off of nearby objects. Such sounds cannot be heard by humans. Most bats have just one or two young a year, though some have up to four offspring at a time. The newborn must hold onto its mother, sometimes for several weeks, and be nursed for six to eight weeks. Some species of bats live up to twenty-five years. Most bats in North America migrate or hibernate in caves during the winter, when food is scarce and temperatures reach freezing point. Superstitions about and prejudice against bats have existed for hundreds of years, but most such tales are untrue. Bats do not carry bedbugs or become entangled in women's hair; they are not blind and indeed do not even have poor vision. In fact, except for the occasional rabid bat, these creatures are not dangerous to humans and are quite timid and will try to escape if confronted. In recent years, public education programs and conservationists, such as American ecologist Merlin Tuttle (b. 1941), head of Bat Conservation International in Austin, Texas, have helped correct these misconceptions about bats and have increased appreciation for the valuable role these creatures play in destroying pests and pollinating crops. Bracken Cave, located between San Antonio and Austin, is owned by Bat Conservation International and with some twenty million Mexican freetail bats residing there in the spring and summer, the cave is said to shelter the world's largest bat colony and the largest collection of mammals anywhere on the planet. The pregnant females migrate there in early March from central Mexico to nurse and raise their young, and the colony can consume 250 tons of insects a night.

According to Tuttle, a colony of just 150 big brown bats can eat almost 40,000 cucumber beetles in a summer, which "means that they've protected local farmers from 18 million root worms, which cost American farmers \$1 billion a year," including crop damage and pesticide costs. Tuttle and his organization suggest that people attract the creatures and help provide habitat for them by constructing or buying bathouses. Nevertheless, bats continue to be feared and exterminated throughout the world. Major threats to the survival of bats include intentional killing, loss of habitat (such as old trees, caves, and mines), eviction from barns, attics, and house eaves, pesticide poisoning, and vandalism and disturbance of caves where they roost. According to Tuttle, "Bats are among the most endangered animals in America. Nearly 40 percent of America's 43 species are either endangered or candidates for the list."

Over a dozen species of bats worldwide are listed by the U.S. Department of the Interior as endangered species, including the gray bat (*Myotis grisescens*) of

central and southeastern United States; the Hawaiian hoary bat (*Lasiurus cinereus semotus*); the Indiana bat (*Myotis sodalis*) of the eastern and mid-western United States; the Ozark big-eared bat (*Plecotus townsendii ingens*) found in Missouri, Oklahoma, and Arkansas; the Mexican long-nosed bat (*Leptonycteris nivalis*) of New Mexico, Texas, Mexico, and Central America; Sanborn's long-nosed bat (*Leptonycteris sanborni*) of Arizona, New Mexico, Mexico, and Central America; and the Virginia big-eared bat (*Plecotus townsendii virginianus*) found in Kentucky, North Carolina, West Virginia, and Virginia.

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Lewis G. Regenstien

Batteries see **Lead**.

## Battery recycling

Battery recycling refers to approaches that aim to reuse portions of expended batteries instead of disposing of them as garbage. As of 2010, more than 90 percent of lead-acid vehicle batteries are recycled. The recycling typically involves grinding up the battery, recovering the acid, and separating the battery component from the lead portion.

In the United States, rechargeable batteries and button-cell batteries (the mercury-containing type of batteries used in watches and hearing aids) can be recycled at no charge, courtesy of a nationwide program operated by the Environmental Protection Agency



**Battery recycling at Teignbridge district council yard in Newton Abbot, Devon, England. (PAUL GLENDELL/photolibary.com)**

(EPA). The United States consumes over 10.4 billion dollars in batteries annually, powering everything from children's toys to hearing aids. Each year in the United States, an estimated 3 billion dry cell batteries such as the AA batteries that power flashlights are purchased. Because batteries contain certain toxic substances, such as cadmium, mercury, lead, and sulfuric acid, introducing them into landfills and other solid waste disposal facilities once they are used can be harmful to the environment and to public health.

Virtually every type of battery currently in common use—alkaline, lead acid, nickel-cadmium, lithium ion, and more—can be recycled to some extent. Even rechargeable batteries, which were designed in part to cut down on the expense and environmental impact of battery consumption, can be recycled after they have lost the ability to hold a charge.

The Universal Waste Rule, an amendment to the Resource Conservation and Recovery Act (RCRA) was introduced by the U.S. EPA in 1995 in an effort to lower some of the administrative and financial barriers to collection and recycling of batteries and other potentially hazardous household products. It was hoped that making recycling of lead batteries easier and more profitable to recycle would lead to more extensive recycling programs. The rule streamlined the regulatory process for businesses and excluded rechargeable batteries from hazardous waste handling requirements. However, individual states had the final determination over whether or not to adopt the amendment.

Further EPA legislation passed in 1996 titled the Mercury-Containing Rechargeable Battery Management Act (or Battery Act) promotes recycling of rechargeable batteries through a national uniform code that removes obstacles presented by conflicting state recycling laws and regulations. The Battery Act also mandates that manufacturers of portable rechargeable batteries and products use universal recycling labeling, make batteries easy to remove from products, and prohibit the intentional introduction of mercury into batteries (i.e., mercury added beyond the trace amount present naturally).

Some battery manufacturers have created take-back programs, sometimes referred to as product stewardship or extended producer responsibility, to encourage recycling of their products. Manufacturers “take back” used batteries that are returned by consumers, retailers, and community recycling programs. These programs are voluntary in the United States, and are popular in the European Union (EU) where they are legally required in many countries. Austria and Germany, for example, require all battery manufacturers to take back batteries at no cost to the consumer.

According to the EPA, over 350 million rechargeable batteries are sold each year in the United States. Yet only a small portion of these rechargeable batteries enter the recycling stream, and the batteries have become a significant source of heavy metal contamination at solid waste facilities.

Passage of the Battery Act paved the way for the largest North American take-back battery recycling program, administered by the nonprofit Rechargeable Battery Recycling Corporation (RBRC). The RBRC facilitates and promotes awareness of rechargeable battery recycling throughout the United States and Canada. Its activities are funded through licensing fees paid by manufacturers of portable rechargeable batteries and products. The RBRC recycles nickel cadmium (Ni-Cd), nickel metal hydride (Ni-MH), lithium ion (Li-ion), and small sealed lead (Pb; SSLA) batteries used in cordless phones, power tools, laptop and notebook computers, cell phones, and other portable devices.

Lead-acid batteries, the type of wet-cell battery used in cars, boats, and other vehicles, are one of the most highly recycled products in America. In 1999, 93.3 percent of lead-acid batteries were recycled, compared to 42 percent of all paper and 52 percent of aluminum cans. As of 2010, forty-four states have adopted legislation requiring the recycling of lead-acid batteries. Some states charge a deposit fee to consumers who purchase a new lead-acid battery

without trading in an old one for recycling. Most states that charge this fee (including Arizona, Minnesota, and New York) refund it if the consumer brings back a used battery for recycling after the purchase. In addition to keeping lead and sulfuric acid out of solid waste facilities, lead-acid battery recycling is a substantial source of recycled polypropylene and generates 2 billion pounds (907 million kg) of lead for reuse annually.

Most alkaline and carbon-zinc batteries manufactured in the United States since 1993 do not contain added mercury, and therefore are not considered hazardous waste. Because of the perceived reduced risk of these “zero added mercury” batteries to the environment, many communities advise residents to deposit them into their regular trash, where they end up in landfills. This method is sometimes deemed more cost-effective than sorting and transporting these batteries for recycling. However, recycling programs for alkaline batteries are available and growing in popularity.

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### ORGANIZATIONS

- Rechargeable Battery Recycling Corporation, 1000 Parkwood Circle, Suite 450, Atlanta, GA, USA, 30339, (678) 419-9990, (678) 419-9986, [corporate@rbrc.com](mailto:corporate@rbrc.com), <http://www.rbrc.org>

Paula Anne Ford-Martin

## Bay of Fundy

The Bay of Fundy is a marine ecosystem that lies on the northeastern coast of North America, bordering parts of the Canadian provinces of New Brunswick



**Low tide at Bay of Fundy, Nova Scotia, Canada.** (© Susan E. Degginger / Alamy)

and Nova Scotia and the U.S. state of Maine. It encompasses about 62.5-thousand  $\text{mi}^2$  (180-thousand  $\text{km}^2$ ) of marine coastal-shelf habitat, mostly less than about 660 ft (200 m) deep. The bay is renowned for its exceptionally high tides, which can exceed 53 ft (16 m) in its upper reaches in the Minas Basin. These are higher tides than occur anywhere else in the world. During the peak tidal flooding of the bay the flow of water is about 880-million  $\text{ft}^3/\text{s}$  (25-million  $\text{m}^3/\text{s}$ ), equivalent to about 2000 times the average flow of the Saint Lawrence River.

The astonishing tides of the Bay of Fundy occur because its long shape, great size, and increasing up-bay shallowness result in its tidal waters “piling up” to great depths. This effect is amplified by the natural period of tidal oscillation of the bay of about 13 hours, which further pushes against the natural tidal cycle of 12.4 hours. This rare physical phenomenon is known as a “near-resonant response.” The tidal heights are particularly extreme in the upper reaches of the bay, but even in its lower areas small boats are commonly left high and dry during the twice-daily low tides, and

ivers may have a reversing tidal bore (or advancing wave) moving upstream with each tide. The “Reversing Falls” near the mouth of the Saint John River is another natural phenomenon associated with the great tides of the Bay of Fundy.

The huge tidal flows of the Bay of Fundy result in great upwellings of nutrient-rich bottom waters at some places, allowing high rates of ecological productivity to occur. The high productivity of marine phytoplankton supports a dense biomass of small crustaceans known as zooplankton, which are fed upon by great schools of small fishes such as herring. The zooplankton and fishes attract large numbers of such seabirds as gulls, phalaropes, and shearwaters to the bay during the summer and autumn months, and also abundant fin whales, humpback whales, northern right whales (this is the most endangered species of large whale), harbor porpoises, and white-sided dolphins.

The high productivity of the bay once also supported large stocks of commercial marine species, such as cod, haddock, scallop, and others. While overfishing has been

a problem, the bay still supports large commercial fisheries of lobster and herring. There has also been a huge development of aquaculture in the lower bay, especially in the Passamaquoddy Bay area of New Brunswick.

In shallow areas, the extreme tidal ranges of the bay expose extensive mudflats at low tide. In some parts of the upper bay these mudflats are utilized by immense numbers of shorebirds during their autumn migration. The most abundant of these is the semipalmated sandpiper, one of the most abundant shorebirds in the world. During its autumn migration, hundreds of thousands of these birds feed on mud shrimp in exposed mudflats at low tide, and then aggregate in dense numbers on shingle beaches at high tide. The sandpipers greatly increase their body weight during the several weeks they spend in the upper Bay of Fundy, and then leave for a nonstop flight to South America, fuelled by the fat laid down in the bay.

During the early 1970s there was a proposal to develop a huge tidal-power facility at the upper Bay of Fundy, to harvest commercial energy from the immense, twice-daily flows of water. The tidal barrage would have extended across the mouth of Minas Basin, a relatively discrete embayment with a gigantic tidal flow. Partly because of controversy associated with the enormous environmental damages that likely would have been caused by this ambitious development, along with the extraordinary construction costs and untried technology, this tidal-power facility was never built. A much smaller, demonstration project of 20 MW was commissioned in 1984 at Annapolis Royal in the upper bay, and even this facility has caused significant local damages.

In 2007, the use of Bay of Fundy tidal power regained momentum, when Nova Scotia Power contracted a Scottish tidal power company, OpenHydro, to explore the feasibility of installing seabed turbines in the bay. The project was approved in 2009, and two other candidate companies were selected for the testing of power generation tidal-driven turbines.

Nova Scotia has set a target for tidal power as supplying 20 percent of the total energy generated in the province by 2013, with the bulk coming from the Bay of Fundy.

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Bill Freedman

## Beach renourishment

Beach renourishment, also called beach recovery or replenishment, is the act of rebuilding eroded beaches with offshore sand and gravel that is dredged from the sea floor. Renourishment projects are sometimes implemented to widen a beach for more recreational capacity, or to save structures built on an eroding sandy shoreline. The process is one that requires ongoing maintenance; the shoreline created by a renourished beach will eventually erode again. According to the National Oceanic and Atmospheric Administration (NOAA), as of 2010, the estimated cost of long-term restoration of a beach is between \$3.3 and \$17.5 million per mile.

The process itself involves dredging sand from an offshore site and pumping it onto the beach. The sand dredging site (sometimes referred to as a sand borrow) must also be carefully selected to minimize any negative environmental impact. For instance, dredging near the shoreline can induce erosion. The dredging process can also be lethal to aquatic organisms that are in the vicinity of the borrow and may disturb or kill organisms that are buried or attached to the



**Public beach restoration on the Atlantic shore of Miami Beach, Florida.** (Jeffrey Greenberg / Photo Researchers, Inc.)

seafloor such as submerged aquatic vegetation (SAV). Removal of parts or layers of the seafloor alters the existing characteristics and habitats and disrupts the seafloor ecosystem. Dredging can stir up silt and bottom sediment, which increases water turbidity and cuts off oxygen and light to marine flora and fauna. The placement of the dredged sand into beach areas can cover nesting sites for turtles, plants, SAV, and other organisms burrowed in the sand, affecting their survival. However, placing sand in eroded areas can supply increased area for habitats.

Regulations that involve beach renourishment planning, permits, and implementation include the Clean Water Act, the National Environmental Policy Act (NEPA), and the Coastal Zone Management Act (CZMA). These laws ensure that the beach erosion amendment and prevention procedures are carried out in an environmentally responsible manner.

Under the Energy and Water Development Appropriations Act of 2002, 65 percent of beach renourishment costs are paid for with federal funds, and the remaining 35 percent with state and local monies. Beach renourishment programs are often part of a state's coastal zone management program. In some cases, state and local government work with the U.S. Army Corps of Engineers to evaluate and implement an erosion control program such as beach renourishment.

Environmentalists argue that renourishment is for the benefit of commercial and private development, not for the benefit of the beach. Erosion is a natural process governed by weather and sea changes, and critics charge that tampering with it can permanently alter the ecosystem of the area in addition to threatening endangered sea turtle and seabird nesting habitats. The renourishment practices may sometimes be temporary solutions, which can lead to continued intervention.

In some cases, it is the coastal development that brings about the need for costly renourishment projects. Coastal development can hasten the erosion of beaches, displacing dunes and disrupting beach grasses and other natural barriers. Other human constructions, such as sea walls and other armoring, can also alter the shoreline. All of these alterations can disrupt the natural ecosystem, thus displacing animals, degrading habitat, and reducing biodiversity.

Results of a U.S. Army Corps of Engineers Study completed in 2001—the Biological Monitoring Program for Beach Nourishment Operations in Northern New Jersey (Manasquan Inlet to Asbury Park Section)—found that although dredging in the borrow

area had a negative impact on local marine life, most species had fully recovered within 24–30 months. Further studies are needed to determine the full long-range impact of beach renourishment programs on biodiversity and local coastal habitats.

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Paula Anne Ford-Martin

Bear see **Grizzly bear**.

## Beattie, Mollie

1947–1996

American forester and conservationist

Mollie Hanna Beattie was trained as a forester, worked as a land manager and administrator, and ended her brief career as the first woman to serve as director of the U.S. Fish and Wildlife Service. Beattie's bachelor's degree was in philosophy, followed by a master's degree in forestry from the University of Vermont in 1979. In 1991, Beattie used a Bullard Fellowship at Harvard University to add a master's degree in public administration.

Early in her career, Mollie Beattie served in several conservation administrative posts and land management positions at the state level. She was commissioner of the Vermont Department of Forests, Parks, and Recreation (1985–1989), and deputy secretary of the state's Agency of Natural Resources (1989–1990). She also worked for private foundations and institutes: as program director and lands manager (1983–1985) for the Windham Foundation, a private, nonprofit organization concerned with critical issues facing Vermont, and later (1990–1993) as executive director

of the Richard A. Snelling Center for Government in Vermont, a public policy institute.

Before becoming director of the Fish and Wildlife Service, Beattie was perhaps most widely known (especially in New England) for the book she coauthored on managing woodlots in private ownership, an influential guide reissued in a second edition in 1993. As a reviewer noted about the first edition, for the decade it was in print “thousands of landowners and professional foresters [recommended the book] to others.” Especially noteworthy is the book’s emphasis on the responsibility of private landowners for effective stewardship of the land. This background was reflected in her thinking as Fish and Wildlife director by making the private lands program—conservation in partnership with private land-owners—central to the agency’s conservation efforts.

As director of the Fish and Wildlife Service, Beattie quickly became nationally known as a strong voice for conservation and as an advocate for thinking about land and wildlife management in ecosystem terms. One of her first actions as director was to announce that “the Service [will] shift to an ecosystem approach to managing our fish and wildlife resources.” She emphasized that people use natural ecosystems for many purposes, and “if we do not take an ecosystem approach to conserving biodiversity, none of [those uses] will be long lived.”

Her philosophy as a forester, conservationist, administrator, and land manager was summarized in her repeated insistence that people must start making better connections—between wildlife and habitat health and human health; between their own actions and “the destiny of the ecosystems on which both humans and wildlife depend”; and between the well-being of the environment and the well-being of the economy. She stressed “even if not a single job were created, wildlife must be conserved” and that the diversity of natural systems must remain integral and whole because “we humans are linked to those systems and it is in our immediate self interest to care” about them. She reiterated that in any frame greater than the short-term, the economy and the environment are “identical considerations.”

Though Beattie’s life was relatively short, and her tenure at the Fish and Wildlife Service cut short by illness, her ideas were well received in conservation circles and her influence continues after her death, helping to create what she called “preemptive conservation,” anticipating crises before they appear and using that foresight to minimize conflict, to maintain biodiversity and sustainable economies, and to prevent extinctions.

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Gerald L. Young

Bees see **Africanized bees**.

## Bellwether species

Bellwether species are monitored as an indicator of larger and more complex changes in locations, systems, populations, or ecological strata. Bellwether species are also called indicator species or sentinel species and are used as early warning signs of environmental damage and ecosystem change.

Ecologists have identified many bellwether species in various ecosystems. Stresses observable in Arctic polar bear populations are, for example, considered as indicators of ecosystem stress and change in the Arctic.

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Douglas Dupler

## Below Regulatory Concern

Large populations all over the globe continue to be exposed to low-level radiation. Sources include natural background radiation, widespread medical uses of ionizing radiation, and releases and leakages from nuclear power and weapons manufacturing plants and waste storage sites. In the late-1980s, the Nuclear Regulatory Commission (NRC) pushed a proposal that could have added potential hazard to public health in the United States. The NRC proposal would have allowed low-level radioactive waste generated in industry, research, and hospitals to be mixed with general household trash and industrial waste in unprotected dump sites.

Congress granted the Nuclear Regulatory Commission (NRC) the authority to set standards for the deregulation of low-level radioactive waste and treat it as if it were not radioactive. The makers of radioactive waste asked the NRC to treat certain low levels of radiation exposure with no regulations. The NRC plan, called Below Regulatory Concern (BRC), categorized some of this waste as acceptable for regular dumping or recycling. The NRC's attempt to deregulate radioactive consumer products, manufacturing processes, and anything else that their computer models project, on a statistical average, would cause radiation exposures within the acceptable range. The policy sets no limits on the cumulative exposure to radiation from multiple sources or exposures or multiple human use.

In July 1991 the NRC responded to public pressure and declared a moratorium on the implementation of BRC policy. This was a temporary move, leaving the policy intact. The U.S. Department of Energy (DoE) meanwhile dumped radioactive trash on unlicensed incinerators. Although DoE is not regulated by the NRC, this action reflected an adoption of the BRC concept. Implementation of the BRC plan potentially would have resulted in radioactive waste ending up in local landfills, sewage systems, incinerators, recycling centers, consumer products and building materials, hazardous waste facilities, and farmland (through sludge spreading).

Some scientists maintain that there are acceptable low levels of radiation exposure (in addition to natural background radiation) that do not pose a threat to public health. Other medical studies conclude that there is no safe level of radiation exposure, and even natural background radiation represents a threat. Critics claim that BRC policy, if implemented, would have led to increased radiation exposure levels to the public, and increased risk of cancer, birth defects, reduced immunity, and other health problems. In 1992, after considerable public outcry, Congress rejected the NRC's BRC policy.

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Liane Clorfene Casten

## Bennett, Hugh Hammond

1881–1960

American soil conservationist

Dr. Hugh Hammond Bennett, a noted conservationist, is regarded as the father of soil conservation in the United States. He was born on April 13, 1881, in Anson County, North Carolina, and died July 7, 1960. He is buried in Arlington National Cemetery.

Dr. Bennett graduated from the University of North Carolina in 1903. After completing his university education, he became a soil surveyor in the Bureau of Soils of the U.S. Department of Agriculture. He recognized early the degradation to the land from soil erosion and in 1929 published a bulletin titled "Soil Erosion, A National Menace." Soon after that, the nation began to heed the admonitions of this young scientist.

In 1933, the U.S. Department of Interior set up a Soil Erosion Service to conduct a nationwide demonstration program of soil erosion, with Bennett as its head. In 1935, the Soil Conservation Service was established as a permanent agency of the U.S. Department of Agriculture, and Bennett became its head, a position he retained until his retirement in April 1951. He was the author of several books and many technical articles on soil conservation. He received high awards from many organizations and several foreign countries.

Dr. Bennett traveled widely as a crusader for soil conservation, and was a forceful speaker. Many colorful stories about his speeches exist. Perhaps the most widely quoted concerns the dust storm that hit Washington, DC, in the spring of 1935 at a critical moment in congressional hearings concerning legislation that, if passed, would establish the Soil Conservation Service. A huge dust cloud that had started in the Great Plains was steadily moving toward Washington. As the storm hit the district, Dr. Bennett, who had been testifying before members of the Senate public lands committee, called the committee members to a window and pointed to the sky, darkened from the dust. It made such an impression that legislation was promptly approved, establishing the Soil Conservation Service.

Dr. Bennett was an outstanding scientist and crusader, but he was also an able administrator. He visualized that if the Soil Conservation Service was to be effective, it must have grass roots support. He laid the foundation for the establishment of the local soil conservation districts with locally elected officials

to guide the program and Soil Conservation Service employees to provide the technical support. Currently there are over 3,000 districts (usually by county). The National Association of Conservation Districts is a powerful voice in matters of conservation. Because of Dr. Bennett's leadership in the United States, soil erosion was increasingly recognized worldwide as a serious threat to the long-term welfare of humans. Many other countries then followed the United States' lead in establishing organized soil conservation programs.

## Resources

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William E. Larson

## Benzene

A hydrocarbon with chemical formula  $C_6H_6$ , benzene contains six carbon atoms in a ring structure. A clear volatile liquid with a strong odor, it is one of the most extensively used hydrocarbons. It is an excellent solvent for fats, waxes, resins, oils, inks, paints, plastics, and rubber, and is a necessary intermediate in the production of many industrial chemicals, including gasoline. Benzene is used in the production of detergents, explosives, and pharmaceuticals. Benzene is found in emissions from coal and oil combustion, motor vehicle exhaust, and gasoline. United States federal agencies classify benzene as a known human carcinogen based on studies that show an increased incidence of nonlymphocytic leukemia from occupational exposure and increased incidence of neoplasia in rats and mice exposed by inhalation and gavage (force-feeding). Because of these cancer-causing properties, benzene has been listed as a hazardous air pollutant under Section 112 of the Clean Air Act (CAA) and has been replaced by the less toxic toluene ( $C_6H_5CH_3$ ) in some applications. Long-term exposure to benzene can result in the reduction of red blood cells, thus leading to anemia, excessive bleeding, and possibly affecting the immune system by altering levels of antibodies and white blood cells.

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## Benzo(a)pyrene

Benzo(a)pyrene [B(a)P] is a polycyclic aromatic hydrocarbon (PAH) having five aromatic rings in a fused, honeycomb-like structure. Its formula and molecular weight are  $C_{20}H_{12}$  and 252.30, respectively. It is a naturally occurring and man-made organic compound formed along with other PAH in incomplete combustion reactions, including the burning of fossil fuels, motor vehicle exhaust, wood products, and cigarettes. It is classified as a known human carcinogen by the EPA and is considered to be one of the primary carcinogens in tobacco smoke. First synthesized in 1933, it was the first carcinogen isolated from coal tar and often serves as a surrogate compound for modeling PAHs.

## Berry, Wendell E.

1934–

American writer, poet, and conservationist

A Kentucky farmer, poet, novelist, essayist and conservationist, Wendell Erdman Berry has been a persistent critic of large-scale industrial agriculture—which he believes to be a contradiction in terms—and a champion of environmental stewardship and sustainable agriculture. Berry was born on August 5, 1934, in Henry County, Kentucky, where his family had farmed for four generations. Although he learned farming skills, he did not wish to make his living as a farmer but as a writer and teacher. He earned his B.A. in English at the University of Kentucky in 1956 and an M.A. in 1957. He was awarded a Wallace Stegner writing fellow at Stanford University (1958–1959), where he remained to teach in the English Department (1959–1960). He spent the following year in Italy on a Guggenheim Fellowship. In 1962 Berry joined the English faculty at New York University.

Dissatisfied with urban life and feeling disconnected from his roots, in 1965 Berry resigned his professorship of English at New York University to return to his native Kentucky to farm, to write, and to teach at the University of Kentucky. His recurring themes—love of the land, of place or region, and the responsibility to care for them—appear in his poems and novels and in his essays. Many modern farming practices, as he argues in *The Unsettling of America* (1977) and *The Gift of Good Land* (1981) and elsewhere, deplete the soil, despoil the environment, and deny the value of careful husbandry. In relying on industrial scales, techniques, and technologies, they fail to appreciate that agriculture is agri-culture—that is, a coherent way of life that is concerned with the care and cultivation of the land—rather than agri-business concerned solely with maximizing yields, efficiency, and short-term profits to the long-term detriment of the land, of family farms, and of local communities. A truly sustainable agriculture, according to Berry, would not deplete the soil, people, or communities.

Berry decries the dwindling number of single farms and the growing practice of agriculture as a business of corporations whose guiding motive is profit. Although Berry does not believe it possible (or desirable) that all Americans become farmers, he holds that we need to think about what we do daily as consumers and citizens and how our choices and activities affect the land. He suggests that the act of planting and tending a garden is what he has termed a complete act, in that it enables one to connect consumption with production, and both to a sense of reverence for the fertility and abundance of a world well cared for.

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Terence Ball

## Best available control technology

Best available control technology (BACT) is a standard used in air pollution control in the prevention of significant deterioration (PSD) of air quality in the United States. Under the Clean Air Act, a major stationary new source of air pollution, such as an industrial plant, is required to have a permit that sets emission limitations for the facility. As part of the permit, limitations are based on levels achievable by the use of BACT for each pollutant.

To prevent risk to human health and public welfare, each region in the country is placed in one of three PSD areas in compliance with National Ambient Air Quality Standards (NAAQS). Class I areas include national parks and wilderness areas, where very little deterioration of air quality is allowed. Class II areas allow moderate increases in ambient concentrations. Those classified as Class III permit industrial development and larger increments of deterioration. Except for national parks, most of the land in the United States is set at Class II.

Under the Clean Air Act, the BACT standards are applicable to all plants built after the effective date, as well as preexisting ones whose modifications might increase emissions of any pollutant. To establish a major new source in any PSD area, an applicant must demonstrate, through monitoring and diffusion models, that emissions will not violate NAAQS or the Clean Air Act. The applicant must also agree to use BACT for all pollutants, whether or not that is necessary to avoid

exceeding the levels allowed and despite its cost. The Environmental Protection Agency (EPA) requires that a source use the BACT standards, unless it can demonstrate that its use is infeasible based on “substantial and unique local factors.” Otherwise BACT, which can include design, equipment, and operational standards, is required for each pollutant emitted above minimal defined levels.

In areas that exceed NAAQS for one or more pollutants, permits are issued only if total allowable emissions of each pollutant are reduced even though a new source is added. The new source must comply with the lowest achievable control technology (LACT), the most stringent limitations possible for a particular plant.

Under the Clean Air Act, if a new stationary source is incapable of BACT standards, it is subject to the New Source Performance Standard (NSPS) for a pollutant, as determined by the EPA. NSPSs take into consideration the cost and energy requirements of emission reduction processes, as well as other health and environmental impacts. This contrasts with BACT standards, which are determined without regard to cost. Strict BACT requirements resulted in a more than 20 percent reduction in particulates and sulfur dioxide below NSPS levels.

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Judith L. Sims

## Best management practices

Best management practices (BMPs) are methods that have been determined to be the most effective and practical means of preventing or reducing nonpoint source pollution to help achieve water quality goals. BMPs include both measures to prevent pollution and measures to mitigate pollution.

BMPs for agriculture focus on reducing nonpoint sources of pollution from croplands and farm animals. Agricultural runoff may contain nutrients, sediment, animal wastes, salts, and pesticides. With conservation tillage, crop residue, which is plant residue from past harvests, is left on the soil surface to reduce runoff and soil erosion, conserve soil moisture, and keep nutrients and pesticides on the field. Contour strip farming, where sloping land is farmed across the slopes to impede runoff and soil movement downhill, reduces erosion and sediment production. Managing and accounting for all nutrient inputs to a field ensures that there are sufficient nutrients available for crop needs while preventing excessive nutrient loading, which may result in leaching of the excess nutrients to the ground water. Various BMPs are available for keeping insects, weeds, disease, and other pests below economically harmful levels. Conservation buffers, including grassed waterways, wetlands, and riparian areas act as an additional barrier of protection by capturing potential pollutants before they move to surface waters. Cows can be kept away from streams by streambank fencing and installation of alternative water sources. Designated stream crossings can provide a controlled crossing or watering access, thus limiting streambank erosion and streambed trampling.

Coastal shorelines can also be protected with BMPs. Shoreline stabilization techniques include headland breaker systems to control shoreline erosion while providing a community beach. Preservation of shorelines can be accomplished through revegetation, where living plant materials are a primary structural component in controlling erosion caused by land instability.

Stormwater management in urban developed areas also utilize BMPs to remove pollutants from runoff. BMPs include retention ponds, alum treatment systems, constructed wetlands, sand filters, baffle boxes, inlet devices, vegetated swales, buffer strips, and infiltration/exfiltration trenches. A storm drain stenciling program is an educational BMP tool to remind persons of the illegality of dumping litter, oil, pesticides, and other toxic substances down urban runoff drainage systems.

Logging activities can have adverse impacts on stream water temperatures, stream flows, and water quality. BMPs have been developed that address location of logging roads, skid trails, log landings and stream crossings, riparian management buffer zones, management of litter and fuel and lubricant spills, and reforestation activities.

Successful control of erosion and sedimentation from construction and mining activities involves a system of BMPs that targets each stage of the erosion process. The first stage involves minimizing the potential sources of sediment by limiting the extent and duration of land disturbance to the minimum needed, and protecting surfaces once they are exposed. The second stage of the BMP system involves controlling the amount of runoff and its ability to carry sediment by diverting incoming flows and impeding internally generated flows. The third stage involves retaining sediment that is picked up on the project site through the use of sediment-capturing devices. Acid drainage from mining activities requires even more complex BMPs to prevent acids and associated toxic pollutants from harming surface waters.

Other pollutant sources for which BMPs have been developed include atmospheric deposition, boats and marinas, habitat degradation, roads, septic systems, underground storage tanks, and wastewater treatment.

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Judith L. Sims

## Best practical technology

Best practical technology (BPT) refers to any of the categories of technology-based effluent limitations pursuant to Sections 301(b) and 304(b) of the Clean Water Act as amended. These categories are the best practicable control technology currently available (BPT); the best available control technology (BAT)

economically feasible (BAT); and the best conventional pollutant control technology (BCT).

Section 301(b) of the Clean Water Act specifies that "in order to carry out the objective of this Act there shall be achieved—(1)(A) not later than July 1, 1977, effluent limitations for point sources, other than publicly owned treatment works (i) which shall require the application of the best practicable control technology currently available as defined by the Administrator pursuant to Section 304(b) of this Act, or (ii) in the case of discharge into a publicly owned treatment works which meets the requirements of subparagraph (B) of this paragraph, which shall require compliance with any applicable pretreatment requirements and any requirements under Section 307 of this Act. . . ."

The BPT identifies the current level of treatment and is the basis of the current level of control for direct discharges. BACT improves on the BPT, and it may include operations or processes not in common use in industry. BCT replaces BACT for the control of conventional pollutants, such as biochemical oxygen demand (BOD), total suspended solids (TSS), fecal coliform, and pH. Details such as the amount of constituents, and the chemical, physical, and biological characteristics of pollutants, as well as the degree of effluent reduction attainable through the application of the selected technology can be found in the development documents published by the Environmental Protection Agency (EPA). These development documents cover different industrial categories such as dairy products processing, soap and detergents manufacturing, meat products, grain mills, canned and preserved fruits and vegetables processing, and asbestos manufacturing.

In accordance with Section 304(b) of the Clean Water Act, the factors to be taken into account in assessing the BPT include the total cost of applying the technology in relation to the effluent reductions to the results achieved from such an application, the age of the equipment and facilities involved, the process employed, the engineering aspects of applying various types of control technologies and process changes, and calculations of environmental impacts other than water quality (including energy requirements). As far as evaluating the BCT is concerned, the factors are mostly the same. By they include consideration of the reasonableness of the relationship between the costs of attaining a reduction in effluents and the benefits derived from that reduction, and the comparison of the cost and level of reduction of such pollutants from the discharge from publicly owned treatment works to the cost and level of reduction of such pollutants from

a class or category of industrial sources. Control technologies may include in-plant control and preliminary treatment, and end-of-pipe treatment, examples of which are water conservation and reuse, raw materials substitution, screening, multimedia filtration, and activated carbon absorption.

James W. Patterson

## Beta particle

A beta particle is an electron emitted by the nucleus of a radioactive atom. The beta particle is produced when a neutron within the nucleus decays into a proton and an electron. Beta particles have greater penetrating power than alpha particles but less than x-ray or gamma rays. Although beta particles can penetrate skin, they travel only a short distance in tissue. Beta rays pose relatively little health hazard, therefore, unless they are ingested into the body. Naturally radioactive materials such as potassium-40, carbon-14, and strontium-90 emit beta particles, as do a number of synthetic radioactive materials.

*See also* Radioactivity.

## Beyond Pesticides

Founded in 1981, Beyond Pesticides (originally called the National Coalition Against the Misuse of Pesticides) is a nonprofit, grassroots network of groups and individuals concerned with the dangers of pesticides. Members of Beyond Pesticides include individuals, such as “victims” of pesticides, physicians, attorneys, farmers and farmworkers, gardeners, and former chemical company scientists, as well as health, farm, consumer, and church groups. All want to limit pesticide use through Beyond Pesticides, which publishes information on pesticide hazards and alternatives, monitors and influences legislation on pesticide issues, and provides seed grants and encouragement to local groups and efforts.

Administered by a fifteen-member board of directors and a small full-time staff, including a toxicologist and an ecologist, Beyond Pesticides is now the most prominent organization dealing with the pesticide issue. It was established on the premise that much is unknown about the toxic effects of

pesticides and the extent of public exposure to them. Because such information is not immediately forthcoming, members of Beyond Pesticides assert that the only available way of reducing both known and unknown risks is by limiting or eliminating pesticides. The organization takes a dual-pronged approach to accomplish this. First, Beyond Pesticides draws public attention to the risks of conventional pest management; second, it promotes the least-toxic alternatives to current pesticide practices.

An important part of Beyond Pesticides’s overall program is the Center for Community Pesticide and Alternatives Information. The center is a clearinghouse of information, providing a 2,000-volume library about pest control, chemicals, and pesticides. To concerned individuals it sells inexpensive brochures and booklets, which cover topics such as alternatives to controlling specific pests and chemicals; the risks of pesticides in schools, to food, and in reproduction; and developments in the Federal Insecticide, Fungicide and Rodenticide Act (FIFRA), the national law governing pesticide use and registration in the United States. Through the center Beyond Pesticides also publishes *Pesticides and You (PAY)* five times a year. It is a newsletter sent to approximately 4,500 people, including Beyond Pesticides members, subscribers, and members of Congress. The center also provides direct assistance to individuals through access to Beyond Pesticides’s staff ecologist and toxicologist.

In 1991 Beyond Pesticides also established the Local Environmental Control Project after the Supreme Court decision affirming local communities’ rights to regulate pesticide use. Although Beyond Pesticides supported bestowing local control over pesticide use, it needed a new program to counteract the subsequent mobilization of the pesticide industry to reverse the Supreme Court decision. The Local Environmental Control Project campaigns first to preserve the right accorded by the Supreme Court decision and second to encourage communities to take advantage of this right.

Beyond Pesticides marked its tenth anniversary in 1991 with a forum titled “A Decade of Determination: A Future of Change.” It included workshops on wildlife and groundwater protection, cancer risk assessment, and the implications of GATT and free trade agreements. Beyond Pesticides has also established the annual National Pesticide Forum. Through such conferences, its aid to victims and groups, and its many publications, Beyond Pesticides above all encourages local action to limit pesticides and change the methods of controlling pests.

## Resources

### ORGANIZATIONS

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Andrea Gacki

## Bhopal, India

On December 3, 1984, one of the world's worst industrial accidents occurred in Bhopal, India. Along with Three Mile Island and Chernobyl, Bhopal stands as an example of the dangers of industrial development without proper attention to environmental health and safety.

A large industrial and urban center in the state of Madhya Pradesh, Bhopal was the location of a plant

owned by the American chemical corporation Union Carbide, Inc. and its Indian subsidiary Union Carbide India, Ltd. The plant manufactured pesticides, primarily the pesticide carbaryl (marketed under the name Sevin), which is one of the most widely used carbamate-class pesticides in the United States and throughout the world. Among the intermediate chemical compounds used together to manufacture Sevin is methyl isocyanate (MIC)—a lethal substance that is reactive, toxic, volatile, and flammable. It was the uncontrolled release of MIC from a storage tank in the Bhopal facility that caused the deaths of many thousands of people. The actual number who died from the Bhopal disaster may never be known exactly, as various organizations and commissions have arrived at different figures. The best estimates (as of 2010) are that around 3,800 people died more-or-less immediately from exposure to the lethal gas cloud of MIC, with many more thousands dying in the coming days, weeks, and months. Moreover, well over 100,000 people were seriously injured. Many observers argue that the numbers cited above are substantial underestimates.



Indian policeman passing by the Union Carbide plant in the Indian city of Bhopal, 2009, over 25 years after a gas leak from the plant. (Harish Tyagi)

MIC ( $\text{CH}_3\text{-N}=\text{C}=\text{O}$ ) is highly volatile and has a boiling point of  $89^\circ\text{F}$  ( $39.1^\circ\text{C}$ ). In the presence of trace amounts of impurities such as water or metals, MIC reacts to generate heat, and if the heat is not removed, the chemical begins to boil violently. If relief valves, cooling systems, and other safety devices fail to operate in a closed storage tank, the pressure and heat generated may be sufficient to cause a release of MIC into the atmosphere. Because the vapor is twice as heavy as air, the vapors, if released, remain close to the ground where they can do the most damage, drifting along prevailing wind patterns. As set by the Occupational Health and Safety Administration (OSHA), the standards for exposure to MIC are set at 0.02 ppm over an eight-hour period. The immediate effects of exposure, inhalation, and ingestion of MIC at high concentrations (above 2 ppm) are burning and tearing of the eyes, coughing, vomiting, blindness, massive trauma of the gastrointestinal tract, clogging of the lungs, and suffocation of bronchial tubes. When not immediately fatal, the long-term health consequences include permanent blindness, permanently impaired lung functioning, corneal ulcers, skin damage, and potential birth defects.

Many explanations for the disaster have been advanced, but the most widely accepted theory is that trace amounts of water entered the MIC storage tank and initiated the hydrolysis reaction, which was followed by MIC's spontaneous reactions. The plant was not well-designed for safety, and maintenance was especially poor. Four key safety factors should have contained the reaction, but it was later discovered that they were all inoperative at the time of the accident. The refrigerator that should have slowed the reaction by cooling the chemical was shut off, and, as heat and pressure built up in the tank, the relief valve blew. A vent gas scrubber designed to neutralize escaping gas with caustic soda failed to work. Also, the flare tower that would have burned the gas to harmless by-products was under repair. Yet even if all these features had been operational, subsequent investigations found them to be poorly designed and insufficient for the capacity of the plant. Once the runaway reaction started, it was virtually impossible to contain.

The poisonous cloud of MIC released from the plant was carried by the prevailing winds to the south and east of the city—an area populated by highly congested communities of poorer people, many of whom worked as laborers at the Union Carbide plant and other nearby industrial facilities. Released at night, the silent cloud went undetected by residents who remained asleep in their homes, thus possibly ensuring a maximal degree of exposure. Many hundreds died in

their sleep, others choked to death on the streets as they ran out in hopes of escaping the lethal cloud. Thousands more died in the following days and weeks. The Indian government and numerous volunteer agencies organized a massive relief effort in the immediate aftermath of the disaster consisting of emergency medical treatment, hospital facilities, and supplies of food and water. Medical treatment was often ineffective, for doctors had an incomplete knowledge of the toxicity of MIC and the appropriate course of action.

In the weeks following the accident, the financial, legal, and political consequences of the disaster unfolded. In the United States, Union Carbide's stock dipped 25 percent in the week immediately following the event. Union Carbide India Ltd. (UCIL) came forward and accepted moral responsibility for the accident, arranging some interim financial compensation for victims and their families. However its parent company, Union Carbide Inc., which owned 50.9 percent of UCIL, refused to accept any legal responsibility for their subsidiary. The Indian government and hundreds of lawyers on both sides pondered issues of liability and the question of a settlement. While Union Carbide hoped for out-of-court settlements or lawsuits in the Indian courts, the Indian government ultimately decided to pursue class action suits on behalf of the victims in the United States courts in the hope of larger settlements. The United States courts refused to hear the case, and it was transferred to the Indian court system.

In 1989, Union Carbide and the government of India entered into a settlement of damage claims on behalf of the victims and their families. Under the terms of the settlement, which was mediated by the Supreme Court of India, Union Carbide acknowledged its responsibility for the disaster and agreed to pay the Indian government the sum of \$470 million in compensation to be dispersed to several hundred thousand claimants. The 1989 settlement was considered to be final, although many of the living victims, their families, and victims' advocacy groups contend that the compensation awarded was generally far too low. In June 2010, an Indian court found seven Indian nationals guilty of death due to negligence, which carries a maximum penalty of two years in prison. The seven men found guilty included the chairman of the now-defunct UCIL. American corporate officers, such as former Union Carbide chairman Warren Anderson, refused to answer to indictments from the Indian courts.

The disaster in Bhopal has had far-reaching political consequences in the United States. A number of congressional hearings were called and the Environmental Protection Agency (EPA) and OSHA initiated

inspections and investigations. A Union Carbide plant in McLean, Virginia, that uses processes and products similar to those in Bhopal was repeatedly inspected by officials. While no glaring deficiencies in operation or maintenance were found, it was noted that several small leaks and spills had occurred at the plant in previous years that had gone unreported. These added weight to growing national concern about workers' right-to-know provisions and emergency response capabilities. In the years following the Bhopal accident, both state and federal environmental regulations were expanded to include mandatory preparedness to handle spills and releases on land, water, or air. These regulations include measures for emergency response such as communication and coordination with local health and law enforcement facilities, as well as community leaders and others. In addition, employers are now required to inform any workers in contact with hazardous materials of the nature and types of hazards to which they are exposed; they are also required to train workers in emergency health and safety measures.

The disaster at Bhopal raises a number of critical issues and highlights the wide gulf between developed and developing countries in regard to design and maintenance standards for health and safety. Management decisions allowed the Bhopal plant to operate in an unsafe manner and for a shantytown to develop around its perimeter without appropriate emergency planning. The Indian government, like many other developing nations in need of foreign investment, appeared to sacrifice worker safety in order to attract and keep Union Carbide and other industries within its borders. While a number of environmental and occupational health and safety standards existed in India before the accident, their inspection and enforcement was cursory or non-existent. Often understaffed, the responsible Indian regulatory agencies were rife with corruption as well. The Bhopal disaster also raised questions concerning the moral and legal responsibilities of American companies abroad, and the willingness of those corporations to apply stringent United States safety and environmental standards to their operations in the Third World despite the relatively free hand given them by local governments.

Although worldwide shock at the Bhopal accident has largely faded, the suffering of many victims continues. While many national and international safeguards on the manufacture and handling of hazardous chemicals have been instituted, few expect that lasting improvements will occur in developing countries without a gradual recognition of the economic and political values of stringent health and safety standards.

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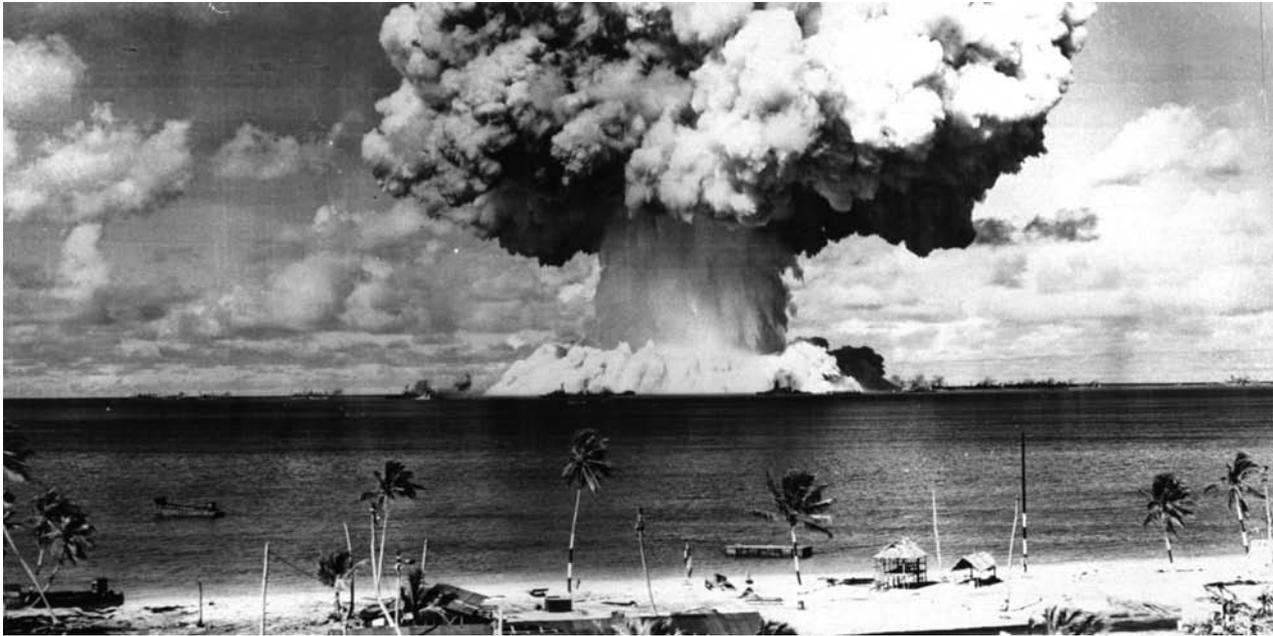
Usha Vadagiri

## Bikini atoll

Bikini Atoll was selected in late 1945 as the site for a number of tests of fission weapons, to experiment with different designs for the bomb and to test its effects on ships and the natural environment.

At that time, 161 people belonging to eleven families lived on Bikini. Since the Bikinians have no written history, little is known about their background. According to their oral tradition, the original home of their ancestors is nearby Wotje Atoll. Until the early 1900s, they had relatively little contact with strangers and were regarded with some disdain even by other Marshall Islanders. After the arrival of missionaries early in the twentieth century, the Bikinians became devout Christians. People lived on coconuts, breadfruits, arrowroot, fish, turtle eggs, and birds, all available in abundance on the atoll. The Bikinians were expert sailors and fishermen. Land ownership was important in the culture, and anyone who had no land was regarded as lacking in dignity.

President Truman had signed an order on January 10, 1946, authorizing the transfer of everyone living on Bikini Atoll to the nearly uninhabited Bonerik Atoll. The United States Government asked the Bikinians to give up their native land to allow experiments that would bring benefit to all humankind. Such an action, the Americans argued, would earn for the Bikinians special glory in heaven. The islanders agreed to the request and, along with their



**A massive column of water rises from the sea as the second atom bomb test at Bikini Atoll explodes underwater July 25, 1946.**  
(AP Photo/Joint Task Force One)

homes, church, and community hall, were transported by the United States Navy to Rongerik.

In June and July of 1946, two tests of atomic bombs were conducted at Bikini as part of “Operation Crossroads.” More than ninety vessels, including captured German and Japanese ships along with surplus cruisers, destroyers, submarines, and amphibious craft from the United States Navy, were assembled. Following these tests, however, the Navy concluded that Bikini was too small and moved future experiments to Eniwetok Atoll.

The first test of a fusion device occurred on October 31, 1952, at Eniwetok Atoll in the Marshall Islands in the Pacific Ocean. This was followed by a series of six more tests, code-named “Operation Castle,” at Bikini Atoll in 1954. Two years later, on May 20, 1956, the first nuclear fusion bomb was dropped from an airplane over Bikini Atoll. The testing of a nuclear fusion device in Operation Castle thus marked the return of bomb testing to Bikini. The most memorable test of that series took place in 1954 and was code-named “Bravo.” Experts expected a yield of 6 megatons from the hydrogen bomb used in the test, but measured instead a yield of 15 megatons, 250 percent greater. Bravo turned out to be the largest single explosion in all of human history, producing an explosive force greater than all of the bombs used in all the previous wars in history.

Fallout from Bravo was consequently much larger than had been anticipated. In addition, because of a shift in wind patterns, the fallout spread across an area of about 50,000 mi<sup>2</sup> (11.5 km<sup>2</sup>), including three inhabited islands—Rongelap, Itrik, and Rongerik. A number of people living on these islands developed radiation burns and many were evacuated from their homes temporarily. Farther to the east, a Japanese fishing boat which had accidentally sailed into the restricted zone was showered with fallout. By the time the boat returned to Japan, twenty-three crew members had developed radiation sickness. One eventually died of infectious hepatitis, probably because of the numerous blood transfusions he received.

The value of Bikini as a test site ended in 1963 when the United States and the Soviet Union signed the Limited Test Ban Treaty which outlawed nuclear weapons testing in the atmosphere, the oceans, and outer space. Five years later, the United States government decided that it was safe for the Bikinians to return home. By 1971, some had once again taken up residence on their home island. Their return was short-lived. In 1978, tests showed that returnees had ingested quantities of radioactive materials much higher than the levels considered to be safe. The Bikinians were relocated once again, this time to the isolated and desolate island of Kili, 500 miles (804 km) from Bikini.

The primary culprit on Bikini was the radioactive isotope cesium-137. It had become so widely distributed

in the soil, the water, and the crops on the island that no one living there could escape from it. With a half life of 30 years, the isotope is likely to make the island uninhabitable for another century.

Two solutions for this problem have been suggested. The brute-force approach is to scrape off the upper 12 inches (30 cm) of soil, transport it to some uninhabited island, and bury it under concrete. A similar burial site, the “Cactus Crater,” already exists on Runit Island. It holds radioactive wastes removed from Eniwetok Atoll. The cost of clearing off Bikini’s 560 acres (227 ha) and destroying all its vegetation (including 25,000 trees) has been estimated at more than \$80 million. A second approach is more subtle and makes use of chemical principles. Since potassium replaces cesium in soil, scientists hope that adding potassium-rich fertilizers to Bikini’s soil will leach out the dangerous cesium—137.

At the thirtieth anniversary of Bravo, the Bikinians had still not returned to their home island. Many of the original 116 evacuees had already died. A majority of the 1,300 Bikinians who then lived on Kili no longer wanted to return to their native land. If given the choice, most wanted to make Maui, Hawaii, their new home. But they did not have that choice. The United States continues to insist that they remain somewhere in the Marshall Islands. The only place there they cannot go, at least within most of their lifetimes, is Bikini Atoll.

See also Nuclear fission; Nuclear fusion; Radiation sickness.

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David E. Newton

## Bioaccumulation

Bioaccumulation is the general term for describing the accumulation of chemicals in the tissue of organisms. The chemicals that bioaccumulate are most often organic chemicals that are very soluble in fat and lipids and are slow to degrade, such as the pesticide dichlorodiphenyltrichloroethane (DDT). Usually used in reference to aquatic organisms, bioaccumulation occurs

from exposure to contaminated water through respiration (e.g., gill uptake by fish), skin contact with a substance, or by consuming food that has accumulated the chemical (e.g., food chain/web transfer). Bioaccumulation has occurred when an organism has a higher concentration of the substance in its tissue compared with the concentration in the organism’s environment. How much a certain chemical is bioaccumulated depends on several factors such as the uptake rate; the means of uptake (e.g., skin, ingestion); and environmental, physical, and biological factors. The concentration of the chemical in the organism is dependent on the metabolism of the organism. For instance, the speed with which the chemical can be excreted or eliminated from the organism, the chemical modification of the substance in the organism, and the concentration of fat or lipids in the organism are all factors that play a role in the extent of bioaccumulation of a substance.

DDT was a widely used synthetic pesticide to combat mosquitoes and in agriculture in the United States prior to banning use of the chemical in 1972. The chemical is still used in tropical regions to control malaria. It is an organochlorine chemical that readily bioaccumulates in organisms and is listed as a persistent organic pollutant (POP) in the “dirty dozen” chemicals identified at the Stockholm Convention on POPs. Many research studies have shown that DDT is toxic to organisms, especially affecting the reproductive systems of animals such as birds. DDT is thought to be an endocrine disruptor, meaning that it can mimic endogenous hormones and affect reproductive development.

Bioaccumulation of chemicals in fish has resulted in public health consumption advisories in some areas, and has affected the health of certain fish-eating wildlife including eagles, cormorants, terns, and mink.

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## Bioaerosols

Bioaerosols are airborne particles derived from plants and animals, or are themselves living organisms, including viruses, bacteria, fungi, and mammal and bird antigens. Bioaerosols can range in size from roughly 0.000004 inch (0.01 micrometer) (virus) to 0.004 inch (100 micrometer) (pollen).

These particles can be inhaled and can cause many types of health problems, including allergic reactions (specific activation of the immune system), infectious diseases (pathogens that invade human tissues), and toxic effects (due to biologically-produced chemical toxins). The most common outdoor bioaerosols are pollens from grasses, trees, weeds, and crops. The most common indoor biological pollutants are animal dander (minute scales from hair, feathers, or skin), dust mite and cockroach parts, fungi (molds), infectious agents (bacteria and viruses), and pollen.

The main concern regarding bioaerosols are their roles as allergens (e.g., mold in buildings) and the pathogenicity of certain types. It has been estimated that 40 percent of U.S. homes have a mold-related issue. These mold particles have the potential to induce allergic reactions and affect the respiratory system. Some molds produce toxins termed mycotoxins that can cause neurological effects and can be lethal. Bioaerosols pose a threat as potential agents for bioterrorism attacks, such as the anthrax spore distribution through the U.S. postal system in 2001 that killed five people. After years of investigation into the 2001 anthrax attacks, the U.S. Federal Bureau of Investigation (FBI) formally closed the case in February 2010, claiming that their prime suspect (since deceased) had acted alone. The FBI concluded that a microbiologist, who had worked in biodefense for the U.S. Army, had mailed envelopes containing anthrax spores. The attacks aroused widespread fears among the public at the time, and prompted the installation of costly equipment by the U.S. Postal Service and other government agencies in an attempt to foil any similar attacks using pathogens.

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## Bioassay

A bioassay, or biological assay, refers to an evaluation of the effect of an effluent or other material on living organisms such as fish, rats, insects, bacteria, or other life forms. The bioassay may be used for many purposes, including the determination of: (1) permissible wastewater discharge rates; (2) the relative sensitivities of various animals; (3) the effects of physicochemical parameters on toxicity; (4) the compliance of discharges with effluent guidelines; (5) the suitability of a drug; (6) the safety of an environment; and (7) possible synergistic or antagonistic effects.

Bioassays can be qualitative or quantitative. A qualitative bioassay refers to testing the physical effect of a substance on an organism that cannot be measured, such as abnormal development. A quantitative bioassay refers to rating the toxicity or potency of a substance by measurement of the biological response it elicits within the organism.

There are those who wish to reserve the term simply for the evaluation of the potency of substances such as drugs and vitamins, but the term is commonly used as described above. Of course, there are times when it is inappropriate to use bioassay and evaluation of toxicity synonymously, as when the goal of the assay is not to evaluate toxicity.

Bioassays are conducted as static, renewal, or continuous-flow experiments. In static tests, the medium (air or water) about the test organisms is not changed; in renewal tests the medium is changed periodically; and in continuous-flow experiments the medium is renewed continuously. When testing chemicals or wastewaters that are unstable, continuous-flow testing is preferable. Examples of instability include the rapid degradation of a chemical, significant losses in dissolved oxygen, problems with volatility, and precipitation.

Bioassays are also classified on the basis of duration. The tests may be short-term (acute), intermediate-term, or long-term, also referred to as chronic. In addition, aquatic toxicologists speak of partial- or complete-life-cycle assessments. The experimental design of a bioassay is in part reflected in such labels as range-finding, which

is used for preliminary tests to approximate toxicity; screening for tests to determine if toxicity is likely by using one concentration and several replicates; and definitive, for tests to establish a particular end point with several concentrations and replicates. Bioassays can also be used to determine if a particular substance is a carcinogen and the degree to which the substance causes cancerous cell formation.

The results of bioassays are reported in a number of ways. Early aquatic toxicity data were reported in terms of tolerance limits (TL). The term has been superseded by other terms such as effective concentration (EC), inhibiting concentration (IC), and lethal concentration (LC). The results of tests in which an animal is dosed (fed or injected) are reported in terms of effective dosage (ED) or lethal dosage (LD). When the potency of a drug is being studied, a therapeutic index (TI) is sometimes reported, which is the dose needed to cause a certain desirable effect, the ED, divided by the lethal dose (LD) or some other ED. Median doses, the amount needed to affect 50 percent of the test population, are not always used. Needless to say, an evaluation of the response of a control population of organisms not exposed to a test agent or solution during the course of an experiment is very important.

Quality assurance and quality control procedures have become a very important part of bioassay methods. For example, the U.S. Environmental Protection Agency (EPA) has very specifically outlined how various aquatic bioassay procedures are to be performed and standardized to enhance reliability, precision, and accuracy. Other agencies in the United States and around the world have also worked very hard in recent years to standardize and improve quality assurance and control guidelines for the various bioassay techniques. Because of the time and costs incurred for bioassays, all 50,000 chemicals in common use cannot be tested through the EPA. A selection system, which determines if a given substance will be subject to extensive testing, is based on multiple *in vitro* tests and on the chemical structure of the substance.

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## Bioassessment

According to the official definition of the United States Environmental Protection Agency (EPA), bioassessment refers to the process of evaluating the biological condition of a body of water using biological surveys (biosurveys) and other direct measurements of the resident biota—those organisms living in the surface water, including fish, insects, algae, plants, and others. Since 1972 when the Clean Water Act was passed by Congress in order to clean up America's polluted waterways, the biological integrity of the nation's bodies of water has been the focus of professionals and citizens in ensuring the success of reaching this goal.

The derivation of biocriteria emerges from the bioassessment, and offers a narrative or numeric expression that explains the life surviving in the water. The process of evaluating biocriteria can be in "scores" using a method known as the *Ohio multimetric* approach; or, reported in "clusters" according to the *Maine statistical* approach.

Particularly in the study of water pollution, and the examination of ways to correct it, a bioassessment of a body of water measures the composition, diversity, and functional organization of the community living in it. Consequently it lays the groundwork for the biocriteria in the determination of the quality of the desired goal in regard to the condition of the resource, and to what maximum level of management that water can be maintained. The result is not how extensive the pollutant level can be. The result of any bioassessment should be that the unique members of this community of living organisms thrive as they interact with the various elements of their common home. Biocriteria must be maintained separately from any chemical evaluation, whose *whole effluent toxicity* (WET) is a distinct measurement, and plays a different role in water management, along with the physical and toxicity factors also included in a complete water quality management program.

Measuring biological integrity is accomplished most successfully when researchers utilize the *Rapid Bioassessment Protocols* (RBPs) established originally

in the 1980s by the EPA, and revised and reissued in 1999. The concept at the core of these protocols according to the official EPA information are:

- Cost-effective, scientifically valid procedures for biological surveys;
- Provisions for multiple site investigations in a field season;
- Quick turn-around of results for management decisions; and
- Scientific reports easily translated to management and the public.

These RBPs resulted from those methods already in use by several state agencies. They are useful in the following areas of study:

- Determining if a stream is supporting a designated aquatic life use specified in state water quality standards
- Characterizing the existence and severity of impairment to the water resource
- Helping to identify the sources and causes of impairment
- Evaluating the effectiveness of control actions and restoration activities
- Supporting use attainability studies an cumulative impact assessment and
- Characterizing regional biotic attributes of reference conditions

Not only are current living conditions key factors in studying this aquatic life. Physical and biological elements known as stressors are those that exert a negative or adverse effect on the organisms. How those stressors might have affected the organisms over a long period of time is crucial to understanding what actions will be necessary to improve the ecology of the body of water.

Centers and groups for bioassessment are active in each state throughout the United States, and throughout the world. They operate with scientific professionals such as biologists, as well as include trained citizen groups who participate by volunteering their time to monitor local water conditions. In one such program begun in California in 1998, trained citizen groups throughout the state under the training and guidance of a water biologist with the California Department of Fish and Game (CDFG) track the abundance and diversity of macroinvertebrates in order to assess the effects of pollution. This method was considered one of the best ways to monitor the health of streams and lakes because each species is adapted to thrive in a particular habitat—along with an examination of bugs, whose

various reactions to different types of pollutions have been monitored and well-established for years.

## Resources

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### ORGANIZATIONS

California Aquatic Bioassessment Workgroup, Department of Fish and Game, 1416 Ninth Street, Sacramento, CA, USA, 95814, (916) 445-0411, (916) 653-1856, [www.dfg.ca.gov](http://www.dfg.ca.gov)

Central Plains Center for BioAssessment, 2021 Constant Avenue, Lawrence, KA, USA, 66047-3729, (785) 864-7729, [dbaker@ukans.edu](mailto:dbaker@ukans.edu), <http://www.cpcb.ukans.edu>

U.S. Environmental Protection Agency, 1200 Pennsylvania Avenue, NW, Washington, D.C., United States, 20460, (202) 260-2090, [www.epa.gov](http://www.epa.gov)

Jane E. Spear

Biocentrism see **Environmental ethics**.

## Biochemical oxygen demand

The biochemical oxygen demand (BOD) test is an indirect measure of the biodegradable organic matter in an aqueous sample. The test is indirect because oxygen used by microbes as they degrade organic matter is measured, rather than the depletion of the organic materials themselves. Generally, the test is performed over a five-day period ( $BOD_5$ ) at 68°F (20°C) in 10 fl oz (300 ml) bottles incubated in the dark to prevent interference from algal growth. Dissolved oxygen (DO) levels (in mg/l) are measured on day zero and at the end of the test. The following equation relates how the  $BOD_5$  of a sample is calculated:

$$BOD_5, \text{mg/L} = \frac{DO_{\text{initial}} - DO_{\text{after 5 days}}}{\text{mL sample}}$$

Note that in the above equation “ml sample” refers to the amount of sample that is placed in a 300 ml BOD bottle. This is critical in doing a test because if too much sample is added to a BOD bottle, microbes will use all the DO in the water (i.e.,  $DO_{\text{after 5 days}} = 0$ ) and a  $BOD_5$  cannot be calculated. Thus, the DO uptake at various dilutions of a given sample are made. One way of making the dilutions is to add different amounts of sample to different bottles. The bottles are then

filled with dilution water saturated with oxygen, contains various nutrients, is at a neutral pH, and is very pure so as not to create any interference. Researchers attempt to identify a dilution that will provide for a DO uptake of greater than or equal to 2 mg/l and a DO residual of greater than or equal to 0.5–1.0 mg/l.

In some cases compounds or ions may be present in a sample that will inhibit microbes from degrading organic materials in the sample, thereby resulting in an artificially low or no BOD. Other times, the right number and/or types of microbes are not present, so the BOD is inaccurate. Samples may be “seeded” with microbes to compensate for these problems. The absence of a required nutrient will also make the BOD test invalid.

Thus, a number of factors can influence the BOD, and the test is known to be rather imprecise (i.e., values obtained vary by 10–15 percent of the actual value in a good test). However, the test continues to be a primary index of how well waters need to be treated or are treated and of the quality of natural waters. If wastes are not treated properly and contain too much BOD, the wastes may create serious oxygen deficits in environmental waters. The saturation concentration of oxygen in water varies somewhat with temperature and pressure but is often only in the area of 8–10 mg/l or less. To serve as a reference, the BOD<sub>5</sub> of raw, domestic wastewater ranges from about 100–300 mg/l. The demand for oxygen by the sewage is therefore clearly much greater than the amount of oxygen that water can hold in a dissolved form. To determine allowable BOD levels for a wastewater discharge, one must consider the relative flows/volumes of the wastewater and receiving (natural) water, temperature, reaeration of the natural water, biodegradation rate, input of other wastes, influence of benthic deposits and algae, DO levels of the wastewater and natural water, and condition/value of the receiving water.

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Bioconcentration see **Biomagnification**.

## Biodegradable

Biodegradable substances are those that can be decomposed quickly by the action of biological organisms, especially microorganisms. The term is a process by which materials or compounds are broken down to

smaller components, and all living organisms participate to some degree. Foods, for instance, are degraded by living creatures to release energy and chemical constituents for growth. In the sense that the term is usually used, however, it has a more restricted meaning. It refers specifically to the breakdown of undesirable toxic and waste materials or compounds to harmless or tolerable ones. When breakdown results in the destruction of useful objects, it is referred to as biodeterioration.

Although the term has been in common use for only two or three decades, processes of biodegradation have been known and used for centuries. Some of the most familiar are sewage treatment of human wastes, composting of kitchen, garden and lawn wastes, and spreading of animal waste on farm fields. These processes, of course, all mitigate problems with common and ubiquitous byproducts of civilization. The variety of objectionable wastes has greatly increased as human society has become more complex. The waste stream now includes items such as plastic bottles, lubricants, and foam packaging. Many of the newer products are virtually nonbiodegradable, or they degrade only at a very slow rate. In some instances biodegradability can be greatly enhanced by minor changes in the chemical composition of the product. Biodegradable containers and packaging have been developed that are just as functional for many purposes as their nondegradable counterparts.

Advances in the science of microbiology have greatly expanded the potential for biodegradation and have increased public interest. Examples of new developments include the discovery of hitherto unknown microorganisms capable of degrading crude oil, petroleum hydrocarbons, diesel fuel, gasoline, industrial solvents, and some forms of synthetic polymers and plastics. These discoveries have opened new approaches for cleansing the environment of the accumulating toxins and debris of human society. Unfortunately, the rate at which the new organisms attack exotic wastes is sometimes quite slow, and dependent on environmental conditions. Presumably, microorganisms have been exposed to common wastes of a very long time and have evolved efficient and rapid ways to attack and use them as food. On the other hand, there has not been sufficient time to develop equally efficient means for degrading the newer wastes.

Research continues on the surprising capabilities of the new microbes emphasizing opportunities for genetic control and manipulation of the unique metabolic pathways that make the organisms so valuable. The potential for biodegradation can be improved by increasing the rates at which wastes are attacked, and the range of environmental conditions in which

degrading organisms can thrive. The advantages of biological cleanup agents are several. Nonbiological techniques are often difficult and expensive. The traditional way of removing petroleum wastes from soil, for instance, has been to collect and incinerate it at high temperatures. This is very costly and sometimes impossible. The prospect of accomplishing the same thing by treating the contaminated soil with microorganisms without removing it from its location has much appeal. It should have less destructive impact on the contaminated site and be much less expensive. In recent years biodegradable properties have become useful in terms of the growing market for biodegradable materials such as those used in packaging.

See also Composting; Microbes (microorganisms); Sewage treatment; Waste stream.

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## Biodiversity

Biodiversity is an ecological notion that refers to the richness of biological types at a range of hierarchical levels, including: (1) genetic diversity within species; (2) the richness of species within communities; and (3) the richness of communities on landscapes. In the context of environmental studies, however,

biodiversity usually refers to the richness of species in some geographic area, and how that richness may be endangered by human activities, especially through local or global extinction.

Extinction represents an irrevocable and highly regrettable loss of a portion of the biodiversity of Earth. Extinction can be a natural process, caused by: (1) random catastrophic events; (2) biological interactions such as competition, disease, and predation; (3) chronic stresses; or (4) frequent disturbance. However, with the recent ascendance of human activities as a dominant force behind environmental changes, there has been a dramatic increase in rates of extinction at local, regional, and even global levels.

The recent wave of anthropogenic extinctions includes such well-known cases as the dodo (*Raphus cucullatus*), passenger pigeon (*Ectopistes migratorius*), great auk (*Pinguinus impennis*), and others. There are many other high-profile species that humans have brought to the brink of extinction, including the plains buffalo (*Bison bison bison*), whooping crane (*Grus americana*), eskimo curlew (*Numenius borealis*), ivory-billed woodpecker (*Campephilus principalis*), and various marine mammals. Most of these instances were caused by an insatiable overexploitation of species that were unable to sustain a high rate of mortality, often coupled with an intense disturbance of their habitat.

Beyond these cases of extinction or endangerment of large, charismatic vertebrates, the Earth's biota is experiencing an even more substantial loss of biodiversity caused by the loss of habitat. In part, this loss is due to the conversion of large areas of tropical ecosystems, particularly moist forest, to agricultural or otherwise ecologically degraded habitats. A large fraction of the biodiversity of tropical biomes is comprised of rare, endemic (i.e., with a local distribution) species. Consequently the conversion of tropical rain forest to habitats unsuitable for these specialized species inevitably causes the extinction of most of the locally endemic biota. Remarkably, the biodiversity of tropical forests is so large, particularly in insects, that most of it has not yet been identified taxonomically. We are therefore faced with the prospect of a mass extinction of perhaps millions of species before they have been recognized by science.

To date, about 1.7 million organisms have been identified and designated with a scientific name. About 6 percent of identified species live in boreal or polar latitudes, 59 percent in the temperate zones, and the remaining 35 percent in the tropics. The knowledge of the global richness of species is very incomplete, particularly in the tropics. If a conservative estimate is made of the number of unidentified tropical species,



**African elephant (*Loxodonta africana*) and impala (*Aepyceros melampus*) drinking water in the Savuti Area of Chobe National Park, Botswana. (Martin Harvey / Photo Researchers, Inc.)**

the fraction of global species that live in the tropics would increase to at least 86 percent.

Invertebrates comprise the largest number of described species, with insects making up the bulk of that total and beetles (*Coleoptera*) comprising most of the insects. Biologists believe that there still is a tremendous number of undescribed species of insects in the tropics, possibly as many as another 30 million species. This remarkable conclusion has emerged from experiments conducted by the American entomologist Terry Erwin (1940–), in which scientists fogged tropical forest canopies and then collected the rain of dead arthropods. This research suggests that: (1) a large fraction of the insect biodiversity of tropical forests is undescribed; (2) most insect species are confined to a single type of forest, or even to particular plant species, both of which are restricted in distribution; and (3) most tropical forest insects have a very limited dispersal ability.

The biodiversity and endemism (referring to a species being unique to a particular geographic area) of

other tropical forest biota are better known than that of arthropods. For example, a plot of only 0.2 acres (0.1 ha) in an Ecuadorian forest had 365 species of vascular plants. The richness of woody plants in tropical rain forest can approach 300 species per hectare, compared with fewer than twelve to fifteen tree species in a typical temperate forest, and thirty to thirty-five species in the Great Smokies of the United States, the richest temperate forest in the world.

There have been few systematic studies of all of the biota of particular tropical communities. In one case, American biologist D. H. Janzen (1939–) studied a savanna-like, 67 mi<sup>2</sup> (108 km<sup>2</sup>) reserve of dry tropical forest in Costa Rica for several years. Janzen estimated that the site had at least 700 plant species, 400 vertebrate species, and a remarkable 13,000 species of insects, including 3,140 species of moths and butterflies.

Some might wonder why there is concern about the extinction of so many rare species of tropical insects, or of many other rare species of plants and

animals. There are three classes of reasons why extinctions are regrettable:

1. There are important concerns in terms of the ethics of extinction. Central questions are whether humans have the right to act as the exterminator of unique and irrevocable species of wildlife and whether the human existence is somehow impoverished by the tragedy of extinction. These are philosophical issues that cannot be scientifically resolved, but it is certain that few people would applaud the extinction of unique species.
2. There are utilitarian reasons. Humans must take advantage of other organisms in myriad ways for sustenance, medicine, shelter, and other purposes. If species become extinct, their unique services, be they biological, ecological, or otherwise, are no longer available for exploitation.
3. The third class of reasons is in terms of ecology and involves the roles of species in maintaining the stability and integrity of ecosystems, that is, in terms of preventing erosion and controlling nutrient cycling, productivity, trophic dynamics, and other aspects of ecosystem structure and function. Because we rarely have sufficient knowledge to evaluate the ecological importance of particular species, it is likely that an extraordinary number of species will disappear before their ecological roles are understood.

There are many cases where research on previously unexploited species of plants and animals has revealed the existence of products of great utility to humans, such as food or medicinals. One example is the rosy periwinkle (*Catharantus roseus*), a plant native to Madagascar. During a screening of many plants for possible anticancer properties, an extract of rosy periwinkle was found to counteract the reproduction of cancer cells. Research identified the active ingredients as several alkaloids, which are now used to prepare the important anticancer drugs vincristine and vinblastine. This once obscure plant now allows treatment of several previously incurable cancers and is the basis of a multimillion-dollar economy.

Undoubtedly, there is a tremendous, undiscovered wealth of other biological products that are of potential use to humans. Many of these natural products are present in the biodiversity of tropical species that has not yet been discovered by taxonomists.

It is well known that extinction can be a natural process. In fact, most of the species that have ever lived on Earth are now extinct, having disappeared naturally for some reason or other. Perhaps they could not cope with changes in their inorganic or biotic

environment, or they may have succumbed to some catastrophic event, such as a meteorite impact.

The rate of extinction has not been uniform over geological time. Long periods characterized by a slow and uniform rate of extinction have been punctuated by about nine catastrophic events of mass extinction. The most intense mass extinction occurred some 250 million years ago, when about 96 percent of marine species became extinct. Another example occurred 65 million years ago, when there were extinctions of many vertebrate species, including the reptilian orders Dinosauria and Pterosauria, but also of many plants and invertebrates, including about one half of the global fauna that existed at that time.

In modern times, however, humans are the dominant force causing extinction, mostly because of: (1) overharvesting; (2) effects of introduced predators, competitors, and diseases; and (3) habitat destruction. During the last 200 years, a global total of perhaps 100 species of mammals, 160 birds, and many other taxa are known to have become extinct through some human influence, in addition to untold numbers of undescribed, tropical species.

Even preindustrial human societies caused extinctions. Stone-age humans are believed to have caused the extinctions of large-animal fauna in various places, by the unsustainable and insatiable hunting of vulnerable species in newly discovered islands and continents. Such events of mass extinction of large animals, coincident with human colonization events, have occurred at various times during the last 10,000–50,000 years in Madagascar, New Zealand, Australia, Tasmania, Hawaii, North and South America, and elsewhere.

In more recent times, overhunting has caused the extinction of other large, vulnerable species, for example the flightless dodo of Mauritius. Some North American examples include Labrador duck (*Camptorhynchus labradorium*), passenger pigeon, Carolina parakeet (*Conuropsis carolinensis*), great auk, and Steller's sea cow (*Hydrodamalis stelleri*). Many other species have been brought to the brink of extinction by overhunting and loss of habitat. Some North American examples include eskimo curlew, plains bison, and a variety of marine mammals, including manatee (*Trichechus manatus*), right whales (*Eubalaena glacialis*), bowhead whales (*Balaena mysticetus*), and blue whales (*Balaenoptera musculus*).

Island biotas are especially prone to both natural and anthropogenic extinction. This syndrome can be illustrated by the case of the Hawaiian Islands, an ancient volcanic archipelago in the Pacific Ocean, about 994 mi (1,600 km) from the nearest island group and 2,484 mi

(4,000 km) from the nearest continental landmass. At the time of colonization by Polynesians, there were at least sixty-eight endemic species of Hawaiian birds, out of a total richness of land birds of eighty-six species. Of the initial sixty-eight endemics, twenty-four are now extinct and twenty-nine are perilously endangered. Especially hard hit has been an endemic family, the Hawaiian honeycreepers (Drepanididae), of which thirteen species are believed extinct, and twelve endangered. More than fifty alien species of birds have been introduced to the Hawaiian Islands, but this gain hardly compensates for the loss and endangerment of specifically evolved endemics. Similarly, the native flora of the islands is estimated to have been comprised of 1,765–2,000 taxa of angiosperm plants, of which at least 94 percent were endemic. During the last two centuries, more than 100 native plants have become extinct, and the survival of at least an additional 500 taxa is threatened or endangered, some now being represented by only single individuals. The most important causes of extinction of Hawaiian biota have been the conversion of natural ecosystems to agricultural and urban landscapes, the introduction of alien predators, competitors, herbivores, and diseases, and to some extent, aboriginal overhunting of some species of bird.

Overhunting has been an important cause of extinction, but in modern times habitat destruction is the most important reason for the event of mass extinction that Earth's biodiversity is now experiencing. As was noted previously, most of the global biodiversity is comprised of millions of as yet undescribed taxa of tropical insects and other organisms. Because of the extreme endemism of most tropical biota, it is likely that many species will become extinct as a result of the clearing of natural tropical habitats, especially forest, and its conversion to other types of habitat.

The amount and rate of deforestation in the tropics are increasing rapidly, in contrast to the situation at higher latitudes where forest cover is relatively stable. Between the mid-1960s and the mid-1980s there was little change (less than 2 percent) in the forest area of North America, but in Central America forest cover decreased by 17 percent, and in South America by 7 percent (but by a larger percentage in equatorial countries of South America). The global rate of clearing of tropical rain forest in the mid-1980s was equivalent to 6–8 percent of that biome per year, a rate that if projected into the future would predict a biome half-life of only 9 to 12 years. Some of the cleared forest will regenerate through secondary succession, which would ultimately produce another mature forest. Little is known, however, about the rate and biological

character of succession in tropical rainforests, or how long it would take to restore a fully biodiverse ecosystem after disturbance.

The present rate of disturbance and conversion of tropical forest predicts grave consequences for global biodiversity. Because of a widespread awareness and concern about this important problem, much research and other activity has recently been directed towards the conservation and protection of tropical forests. Many tropical forests are considered biodiversity hotspots, which are areas containing a high percentage of unique or endemic species under threat of extinction. Currently more than 15 percent of tropical forests have received some sort of protection. Of course the operational effectiveness of the protected status varies greatly, depending on the commitment of governments to these issues. Important factors include: (1) political stability; (2) political priorities; (3) finances available to mount effective programs to control poaching of animals and lumber and to prevent other disturbances; (4) the support of local peoples and communities for biodiversity programs; (5) the willingness of relatively wealthy nations to provide a measure of debt relief to impoverished tropical countries and thereby reduce their short term need to liquidate natural resources in order to raise capital and provide employment; and (6) local population growth, which also generates extreme pressures to overexploit natural resources.

The biodiversity crisis is a very real and very important aspect of the global environmental crisis. All nations have a responsibility to maintain biodiversity within their own jurisdictions and to aid nations with less economic and scientific capability to maintain their biodiversity on behalf of the entire planet. The modern biodiversity crisis focuses on species-rich tropical ecosystems, but the developed nations of temperate latitudes also have a large stake in the outcome and will have to substantially subsidize global conservation activities if these are to be successful. Much needs to be done, but an encouraging level of activity in the conservation and protection of biodiversity is beginning in many countries, including an emerging commitment by many nations to the conservation of threatened ecosystems in the tropics. The Tropical Forest Conservation Act was passed in the United States in 1998 in an effort to protect tropical forest areas worldwide. According to this act, the United States will provide funding for struggling developing countries (debt-for-nature) in exchange for protection of tropical forest territories within those cooperating countries. A new version of this act that includes conservation protection for coral reef habitats (renamed the Tropical Forest and

Coral Conservation Act of 2009) was being ushered through Congress in early 2009.

Although some species are lost to extinction, scientists are still discovering new species. A forest survey conducted from January to March 2007 in a remote corner of the Democratic Republic of Congo discovered six animal species previously unknown to scientists. A bat, a rodent, two shrew species, and two frog species were discovered by researchers from the Wildlife Conservation Society, the Field Museum of Chicago, the World Wildlife Fund, and the National Centre of Research and Science in Lwiro in a region that includes the Misotshi-Kabogo Forest and the Marunga Massif near Lake Tanganyika.

Determinations of species extinction and other changes in biodiversity often require years of observation. Sightings after species are thought to be extinct do occur. For example, after a fruitless search late in 2006, scientists failed to find a single Chinese river dolphin (*Lipotes vexillifer*) in its natural habitat in China. Following the search they proposed reclassifying the species as possibly extinct because none of these dolphins currently survives in captivity. In August 2007, however, Chinese media reported a confirmed sighting of the Chinese river dolphin (baiji) in the Yangtze River in east China. A big white animal filmed by a Chinese businessman in Tongling City, Anhui Province, with a digital camera, was later confirmed to be a Chinese river dolphin by Professor Wang Ding of the Chinese Academy of Sciences' Institute of Hydrobiology. This sighting provides a last hope that this species may be brought back from the brink of extinction by conservation efforts.

The plight of the baiji is, unfortunately, increasingly common. Declining populations of various wild species, a precursor to biodiversity loss, have been observed worldwide. In May 2008, the Zoological Society of London and World Wide Fund for Nature (WWF; formerly the World Wildlife Fund) released results of a study indicating a dramatic decline in global wildlife populations during the last four decades. The data showed that between 1970 and 2005, the populations of land-based species fell by 25 percent, of marine species by 28 percent, and of freshwater species by 29 percent. Biodiversity loss was also reported in the form of extinction of about 1 percent of the world's species each year. The two groups, in agreement with most biologists, stated that one of the great extinction episodes in Earth's history is now under way. Species whose populations shrink can be at greater risk of extinction than species with large populations.

The losses were attributed to pollution, urbanization, overfishing, and hunting, but both groups emphasized that climate change would play an increasing role in species decline over coming decades. Coral reefs, which contain about 25 percent of ocean biodiversity, are highly susceptible to climate change, and much of the existing coral reef habitat may be lost at the current rate of global warming. The Zoological Foundation and WWF based their conclusion upon population estimates and other data related to 1,400 species tracked as part of their joint Living Planet index. Data were obtained from publications in scientific journals.

Invasive plants, animals, and insects are second only to habitat loss as a cause of ongoing biodiversity loss around the world. In May 2008, biologists and botanists with the Global Invasive Species Group, a global organization dedicated to fighting the threat of invasive species, reported that many of the plants now being touted as ideal biofuel crops are invasive species when grown in many parts of the world. For example, the plant termed rapeseed (*Brassica napus*) in Europe and canola in North America is invasive in Australia, while a wetland plant called the giant reed (*Arundo donax*), scheduled for introduction as a biofuel crop in Florida, threatens to invade what is left of the Everglades swamp ecosystem. The overlap between second-generation biofuel crops (i.e., crops other than corn and sugar cane) and invasive plant species occurs because the same qualities that make a plant desirable for biofuel—fast growth, low maintenance, few enemies—also make it more likely to become invasive when introduced outside its native environmental niche.

In February 2009, ninety nations attended a United Nations conference on biodiversity in Norway. Evidence reviewed by the conference suggested that reducing biodiversity loss is also important to sustainability and the overall health of the world's economy. Diminishing biodiversity poses a growing threat to economic sectors such as agriculture, medical research, biofuel production, and tourism. As a consequence of failures to achieve a 2010 UN target of slowing extinctions, the report argues that the current extinction rate, the highest rate since the extinction of the dinosaurs some 65 million years ago, now poses both environmental and economic hazards. Developing nations without a diverse economic infrastructure, or those nations heavily dependant on nature-based tourism, are especially vulnerable.

At the UN Convention on Biological Diversity (CBD) meeting held in Nagoya, Japan, during October 2010, negotiators from about 190 countries agreed to new goals aimed at slowing and ultimately reversing the accelerating extinction rates for an array of plant

and animal species. As part of an official accord, they agreed to profit-sharing agreements ensuring that both developed and developing nations would share in profits from pharmaceuticals and other products derived from unique genomes. A new biodiversity, the Nagoya Protocol, establishes a goal of reducing current extinction rates by 50 percent by 2020. New targets also call for increasing protection of land areas and financial agreements between rich and poorer countries to finance species protection programs.

Reports at the conference concluded that approximately half of Earth's readily available natural resources were already used, in use, or designated for human use. Human activities impacted nearly every ecosystem.

The UN's assessment report—the Global Biodiversity Outlook—concluded that despite local successes, almost all measurable trends indicated an accelerating biodiversity loss on a global scale. The report described the world as nearing a “tipping point” beyond which biodiversity loss might become irreversible or at a cost too great to make economically feasible. The Economics of Ecosystems and Biodiversity (TEEB) project already estimates the global economic costs of biodiversity loss at \$2 to \$5 trillion per year.

As of 2010, the United States has not ratified the underlying United Nations Convention on Biodiversity.

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Bill Freedman

## Biofilms

Biofilms refer to surface-adhering microorganisms that grow and divide, often within a protective layer of sugar (polysaccharide) produced by the microbes. Biofilms occur very commonly in nature and in infections in humans and other creatures. Within a biofilm, infectious bacteria are usually more resistant to antibiotics and other antibacterial agents than their bacterial counterparts that do not exist in a biofilm. Thus, biofilms are recognized as an important component of infections such as cystic fibrosis.

Marine microbiology began with the investigations of marine microfouling by L. E. Zobell and colleagues in the 1930s and 1940s. Their interests focused primarily on the early stages of settlement and growth of microorganisms, primarily bacteria on solid substrates immersed in the sea. The interest in the study of marine microfouling was sporadic from that time until the early 1960s when interest in marine bacteriology began to increase. Since 1970 the research on the broad problems of bioadhesion and specifically the early stages of microfouling has expanded tremendously.

The initial step in marine fouling is the establishment of a complex film. This film, which is composed mainly of bacteria and diatoms plus secreted extracellular materials and debris, is most commonly referred to as the primary film but may also be called the bacterial fouling layer or slime layer. The latter name is aptly descriptive since the film ultimately becomes thick enough to feel slippery or slimy to touch. In addition to the bacteria and diatoms that comprise most of the biota, the film may also include yeasts, fungi, and protozoans.

The settlement sequence in the formation of primary films is dependent upon a number of variables which may include the location of the surface, season of the year, depth, and proximity to previously fouled surfaces and other physiochemical factors.

Many studies have demonstrated the existence of some form of ecological succession in the formation of

fouling communities, commencing with film forming microorganisms and reaching a climax community of macrofouling organisms such as barnacles, tunicates, mussels, and seaweeds.

Establishment of primary films in marine fouling has two functions: (1) to provide a surface favoring the settlement and adhesion of animal larvae and algal cells, and (2) to provide a nutrient source that could sustain or enhance the development of the fouling community.

Formation of a primary film is initiated by a phenomenon known as molecular fouling or surface conditioning. The formation of this molecular film was first demonstrated by Zobell in 1943 and since has been confirmed by many other investigators. The molecular film forms by the sorption to solid surfaces of organic matter dissolved or suspended in seawater. The sorption of this dissolved material creates surface changes in the surface of the substrate which are favorable for establishing biological settlement. These dissolved organic materials originate from a variety of sources such as end-products of bacterial decay, excretory products, dissolution from seaweeds, and so forth, and consist principally of sugars, amino acids, urea, and fatty acids.

This molecular film has been observed to form within minutes after any clean, solid surface is immersed in natural seawater. The role of this film in biofouling has been shown to modify the critical surface tension or wettability of the immersed surface, which then facilitates the strong bonding of the microorganisms through the mucopolysaccharides exuded by film-forming bacteria.

Bacteria have been found securely attached to substrates immersed in seawater after just a few hours. Initial colonization is by rod-shaped bacteria followed by stalked forms within 24–72 hours. As many as forty to fifty species have been isolated from the surface of glass slides immersed in seawater for a few days.

Following the establishment of the initial film of bacteria and their secreted extracellular polymer on a solid substrate, additional bacteria and other microorganisms may attach. Most significant in this population are benthic diatoms but there are also varieties of filamentous microorganisms and protozoans. These organisms, together with debris and other organic particular matter that adhere to the surface, create an intensely active biochemical environment and form the primary stage in the succession of a typical macrofouling community.

Considering the enormous economic consequences of marine fouling it is not at all surprising that

there continues to be intense interest in the results of recent research, particularly in the conditions and processes of molecular film formation.

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Donald A. Villeneuve

## Biofiltration

Biofiltration refers to the removal and oxidation of organic gases such as volatile organic compounds (abbreviated VOCs) from contaminated air in beds (biofilters) of compost, soil, or other materials such as municipal waste, sand, bark peat, volcanic ash, or diatomaceous earth. As contaminated air (such as air from a soil vapor extraction process) flows through the biofilter, the VOCs attach onto the surfaces of the pile and are degraded by microorganisms. Nutrient blends or exogenous microbial cultures can be added to a biofilter to enhance its performance. Moisture needs to be continually supplied to the biofilter to counteract the drying effects of the gas stream. The stationary support media that make up the biofiltration bed should be porous enough to allow gas to flow through the biofilter and should provide a large surface area with a high capacity to absorb fluid and absorb gas. This support media should also provide adequate buffering capacity and may also serve as a source of inorganic nutrients. Biofilters, also used to treat odors as well as organic contaminants, have been used in Europe for over twenty years. Compared to incineration and carbon adsorption, biofilters do not require landfilling of residuals or regeneration of spent materials.

Waste gases are moved through the units by induced or forced draft. Biofilters are capable of handling rapid air flow rates (e.g., up to 90,000 cubic ft (2,700 cubic m) per minute (cfm) in filters up to 20,000

sq ft (1,800 sq m) in wetted area) and VOC concentrations greater than 1,000 ppm. However, biofiltration removal of more highly halogenated compounds such as trichloroethylene (TCE) or carbon tetrachloride, which biodegrade very slowly under aerobic conditions, may require very long residence times (i.e., very large biofilters) or treatment of very low flow rates of air containing the contaminants.

The soil-type biofilter is similar in design to a soil compost pile. Fertilizers are preblended into the compost pile to provide nutrients for indigenous microorganisms, which accomplish the biodegradation of the VOCs. In the treatment bed type of biofilter, the waste air stream is humidified as it is passed through one or more beds of compost, municipal waste, sand, diatomaceous earth, or other materials. Another type of biofilter is the disk biofilter, which consists of a series of humidified, compressed disks placed inside a reactor shell. These layered disks contain activated charcoal, nutrients, microbial cultures, and compost material. The waste air stream is passed through the disk system. Collected water condensate from the process is returned to the humidification system for reuse.

Biofiltration can be an effective means of removing pollutants from the air. Even airborne pollutants can be degraded by microorganisms adhering to the biofilter surface as the air passes. A type of biofilter known as a trickling filter has been used as a system to remove some impurities from water for over 200 years.

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Judith L. Sims

## Biofouling

The term fouling, or more specifically biofouling, is used to describe the growth and accumulation of living organisms on the surfaces of submerged artificial structures as opposed to natural surfaces. Concern over and interest in fouling arises from practical

considerations including the enormous costs resulting from fouling of ships, buoys, floats, pipes, cables, and other underwater man-made structures.

From its first immersion in the sea, an artificial structure or surface changes through time as a result of a variety of influences including location, season, and other physical and biological variables. Fouling communities growing on these structures are biological entities and must be understood developmentally. The development of a fouling community on a bare, artificial surface immersed in the sea displays a form of succession, similar to that seen in terrestrial ecosystems, which culminates in a community which may be considered a climax stage. Scientists have identified two distinct stages in fouling community development: (1) the primary or microfouling stage, and (2) the secondary or macrofouling stage.

**Microfouling:** When a structure is first submerged in seawater, microorganisms, primarily bacteria and diatoms, appear on the surface and multiply rapidly. Together with debris and other organic particulate matter, these microorganisms form a film on the surface. Although the evidence is not conclusive, it appears that the development of this film is a prerequisite to initiation of the fouling succession.

**Macrofouling:** The animals and plants that make up the next stages of succession in fouling communities are primarily the attached or sessile forms of animals and plants that occur naturally in shallow waters along the local coast. The development of fouling communities in the sea depends upon the ability of locally occurring organisms to live successfully in the new artificial habitat. The first organisms to attach to the microfouled surface are the swimming larvae of species present at the time of immersion. The kinds of larvae present vary with the season. Rapidly growing forms that become established first may ultimately be crowded out by others that grow more slowly. A comprehensive list of species making up fouling communities recorded from a wide variety of structures identified 2,000 species of animals and plants. Although the variety of organisms identified seems large, it actually represents a very small proportion of the known marine species. Further, only about 50 to 100 species are commonly encountered in fouling, including bivalve mollusks (primarily oysters and mussels), barnacles, aquatic invertebrates in the phylum Bryozoa, tubeworms and other organisms in the class Polychaeta, and green and brown algae.

Control of fouling organisms has long been a formidable challenge resulting in the development and application of a wide variety of toxic paints and greases, or the use of metals which give off toxic ions as they

corrode. The most notable has probably been the use of tributyltin-based materials to coat the hulls of ships. The problem remains that none of the existing methods provide permanent control. Furthermore, the recognition of the potential environmental hazards attendant with the use of materials that leach toxins into the marine environment has led to the ban of some of the most widely used materials. This has stimulated efforts to develop alternative materials or methods of controlling biofouling that are environmentally safe.

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## Biogeochemistry

Biogeochemistry refers to the quantity and cycling of chemicals in ecosystems. Biogeochemistry can be studied at various spatial scales, ranging from communities, landscapes (or seascapes), and over Earth as a whole. Biogeochemistry involves the study of chemicals in organisms, and also in nonliving components of the environment.

An important aspect of biogeochemistry is the fact that elements can occur in various molecular forms that can be transformed among each other, often as a result of biological reactions. Such transformations are an especially important consideration for nutrients, that is, those chemicals that are required for the healthy functioning of organisms. As a result of biogeochemical cycling, nutrients can be used repeatedly. Nutrients contained in dead biomass can be recycled through inorganic forms, back into living organisms, and so on. Biogeochemistry is also relevant to the movements and transformations of potentially toxic chemicals in ecosystems, such as metals, pesticides, and certain gases.

### Nutrient cycles

Ecologists have a good understanding of the biogeochemical cycling of the most important nutrients.

These include carbon, nitrogen, phosphorus, potassium, calcium, magnesium, and sulfur. Some of these can occur variously as gases in the atmosphere, as ions dissolved in water, in minerals in rocks and soil, and in a great variety of organic chemicals in the living or dead biomass of organisms. Ecologists study nutrient cycles by determining the quantities of the various chemical forms of nutrients in various compartments of ecosystems, and by determining the rates of transformation and cycling among the various compartments.

The nitrogen cycle is particularly well understood, and it can be used to illustrate the broader characteristics of nutrient cycling. Nitrogen is an important nutrient, being one of the most abundant elements in the tissues of organisms and a component of many kinds of biochemicals, including amino acids, proteins, and nucleic acids. Nitrogen is also one of the most common limiting factors to primary productivity, and the growth rates of plants in many ecosystems will increase markedly if they are fertilized with nitrogen. This is a fairly common characteristic of terrestrial and marine environments, and to a lesser degree of freshwater ones.

Plants assimilate most of their nitrogen from the soil environment, as nitrate ( $\text{NO}_3^-$ ) or ammonium ( $\text{NH}_4^+$ ) dissolved in the water that is taken up by roots. Some may also be taken up as gaseous nitrogen oxides (such as  $\text{NO}$  or  $\text{NO}_2$ ) that are absorbed from the atmosphere. In addition, some plants live in a beneficial symbiosis with microorganisms that have the ability to fix atmospheric dinitrogen gas ( $\text{N}_2$ ) into ammonia ( $\text{NH}_3$ ), which can be used as a nutrient. In contrast, almost all animals satisfy their nutritional needs by eating plants or other animals and metabolically breaking down the organic forms of nitrogen, using the products (such as amino acids) to synthesize the necessary biochemicals of the animal. When plants and animals die, microorganisms active in the detrital cycle metabolize organic nitrogen in the dead biomass into simpler compounds, ultimately to ammonium.

The nitrogen cycle has always occurred naturally, but in modern times some of its aspects have been greatly modified by human influences. These include the fertilization of agricultural ecosystems, the dumping of nitrogen-containing sewage into lakes and other waterbodies, the emission of gaseous forms of nitrogen into the atmosphere, and the cultivation of nitrogen-fixing legumes. In some cases, human effects on nitrogen biogeochemistry result in increased productivity of crops, but in other cases serious ecological damages occur.

## Toxic chemicals in ecosystems

Some human activities result in the release of toxic chemicals into the environment, which under certain conditions can pose risks to human health and cause serious damages to ecosystems. These damages are called pollution, whereas the mere presence of chemicals which cause no damage in the environment is referred to as contamination. Biogeochemistry is concerned with the emissions, transfers, and quantities of these potentially toxic chemicals in the environment and ecosystems.

Certain chemicals have a great ability to accumulate in organisms rather than in the nonliving (or inorganic) components of the environment. This tendency is referred to as bioconcentration. Chemicals that strongly bioconcentrate include methylmercury and all of the persistent organochlorine compounds, such as dichlorodiphenyl-trichloroethane (DDT), pentachlorophenol (PCBs), dioxins, and furans. Methylmercury bioconcentrates because it is rather tightly bound in certain body organs of animals. The organochlorines bioconcentrate because they are extremely insoluble in water but highly soluble in fats and lipids, which are abundant in the bodies of organisms but not in nonliving parts of the environment.

In addition, persistent organochlorines tend to occur in particularly large concentrations in the fat of top predators, that is, in animals high in the ecological food web, such as marine mammals, predatory birds, and humans. This happens because these chemicals are not easily metabolized into simpler compounds by these animals, so they accumulate in increasingly larger residues as the animals feed and age. This phenomenon is known as food-web magnification (or biomagnification). Food-web magnification causes chemicals such as DDT to achieve residues of tens or more parts per million (ppm) in the fatty tissues of top predators, even though they occur in the inorganic environment (such as water) in concentrations smaller than one part per billion (ppb). These high body residues can lead to ecotoxicological problems for top predators, some of which have declined in abundance because of their exposure to chlorinated hydrocarbons.

Because a few species of plants have an affinity for potentially toxic elements, they may bioaccumulate them to extremely high concentrations in their tissues. These plants are genetically adapted ecotypes which are themselves little affected by the residues, although they can cause toxicity to animals that might feed on their biomass. For example, some plants that live in environments in which the soil contains a mineral

known as serpentine accumulate nickel to concentrations which may exceed thousands of ppm. Similarly, some plants (such as locoweed) growing in semi-arid environments can accumulate thousands of ppm of selenium in their tissues, which can poison animals that feed on the plants.

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Bill Freedman

## Biogeography

Biogeography is the study of the spatial distribution of plants and animals, both today and in the past. Developed during the course of nineteenth-century efforts to explore, map, and describe Earth, biogeography asks questions about regional variations in the numbers and kinds of species: Where do various species occur and why? What physical and biotic factors limit or extend the range of a species? In what ways do species disperse (expand their ranges), and what barriers block their dispersal? How has species distribution changed over centuries or millennia, as shown in the fossil record? What controls the makeup of a biotic community (the combination of species that occur together)? Biogeography is an interdisciplinary science: many other fields, including paleontology, geology, botany, oceanography, and climatology, both contribute to biogeography and make use of ideas developed by biogeographers.

Because physical and biotic environments strongly influence species distribution, the study of ecology is closely tied to biogeography. Precipitation, temperature ranges, soil types, soil or water salinity, and insolation (exposure to the sun) are some elements of the physical environment that control the distribution of plants and animals. Biotic limits to distribution, constraints

imposed by other living things, are equally important. Species interact in three general ways: competition with other species (for space, sunlight, water, or food), predation (e.g., an owl species relying on rodents for food), and mutualism (e.g., an insect pollinating a plant while the plant provides nourishment for the insect). The presence or absence of a key plant or animal may function as an important control on another species' spatial distribution. Community ecology, the ways in which an assemblage of species coexist, is also important. Biotic communities have a variety of niches, from low to high trophic levels, from generalist roles to specialized ones. The presence or absence of species filling one of these roles influences the presence or survival of a species filling another role.

Two other factors that influence a region's biotic composition or the range of a particular species are dispersal, or spreading, of a species from one place to another; and barriers, environmental factors that block dispersal. In some cases a species can extend its range by gradually colonizing adjacent, hospitable areas. In other cases a species may cross a barrier, such as a mountain range, an ocean, or a desert, and establish a colony beyond that barrier. The cattle egret (*Bubulcus ibis*) exemplifies both types of movement. Late in the nineteenth century these birds crossed the formidable barrier of the Atlantic Ocean, perhaps in a storm, and established a breeding colony in Brazil. During the past 100 years this small egret has found suitable habitat and gradually expanded its range around the coast of South America and into North America, so that by 1970 it had been seen from southern Chile to southern Ontario.

The study of dispersal has special significance in island biogeography. The central idea of island biogeography, proposed in 1967 by R. H. MacArthur and Edward O. Wilson, is that an island has an equilibrium number of species that increases with the size of the land mass and its proximity to other islands. Thus species diversity should be extensive on a large or nearshore island, with enough complexity to support large carnivores or species with very specific food or habitat requirements. Conversely, a small or distant island may support only small populations of a few species, with little complexity or niche specificity in the biotic community.

Principles of island biogeography have proven useful in the study of other "island" ecosystems, such as isolated lakes, small mountain ranges surrounded by deserts, and insular patches of forest left behind by clearcut logging. In such threatened areas as the Pacific

Northwest and the Amazonian rain forests, foresters are being urged to leave larger stands of trees in closer proximity to each other so that species at high trophic levels and those with specialized food or habitat requirements (e.g., Northern spotted owls and Amazonian monkeys) might survive. In such areas as Yellowstone National Park, which national policy designates as an insular unit of habitat, the importance of adjacent habitat has received increased consideration. Recognition that clearcuts and farmland constitute barriers has led some planners to establish forest corridors to aid dispersal, enhance genetic diversity, and maintain biotic complexity in unsettled islands of natural habitat.

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Mary Ann Cunningham

## Biohydrometallurgy

Biohydrometallurgy is a technique by which microorganisms are used to recover certain metals from ores. The technique was first used over 300 years ago to extract copper from low-grade ores. In recent years, its use has been extended to the recovery of uranium

and gold, and has shown potential in the recovery of other metals such as lead, nickel, and zinc.

In most cases, biohydrometallurgy is employed when conventional mining procedures are too expensive or ineffective in recovering a metal. For example, dumps of unwanted waste materials are created when copper is mined by traditional methods. These wastes consist primarily of rock, gravel, sand, and other materials that are removed in order to reach the metal ore itself. But the wastes also contain very low concentrations (less than 0.5%) of copper ore.

Until recently, the concentrations of copper ore in a dump were too low to have any economic value. The cost of collecting the ore was much greater than the value of the copper extracted. But, as richer sources of copper ore are used up, low grade reserves (like dumps) become more attractive to mining companies. At this point, biohydrometallurgy can be used to leach out the very small quantities of ore remaining in waste materials.

The extraction of copper by means of biohydrometallurgy involves two types of reactions. In the first, microorganisms operate directly on copper compounds. In the second, microorganisms operate on metallic compounds other than those of copper. These metallic compounds are then converted into forms which can, in turn, react with copper ores.

The use of biohydrometallurgical techniques on a copper ore waste dump typically begins by spraying the dump with dilute sulfuric acid. As the acid seeps into the dump, it creates an environment favorable to the growth of acid-loving (acidophilic) microorganisms that attack copper ores. As the microorganisms metabolize the ores, they convert copper from an insoluble to a soluble form. Soluble copper is then leached out of the dump with sulfuric acid. It is recovered when the solution is pumped out to a recovery tank.

A second reaction occurs within the dump. Microorganisms also convert ferrous iron ( $\text{Fe}^{2+}$ ) in ores such as pyrite ( $\text{FeS}_2$ ) to ferric iron ( $\text{Fe}^{3+}$ ). The ferric iron, in turn, oxidizes copper in the dump from an insoluble to a soluble form.

The mechanism described here is a highly efficient one. As microorganisms act on copper and iron compounds, they produce sulfuric acid as a by-product, thus enriching the environment in which they live. Ferric iron reduces and oxidizes copper at the same time, making the copper available for attack by microorganisms once again.

A number of microorganisms have been used in biohydrometallurgy. One of the most effective for the

leaching of copper is *Thiobacillus ferrooxidans*. Research is now being conducted on the development of genetically engineered microorganisms that can be used in the recovery of copper and other metals.

The two other metals for which biohydrometallurgy seems to be most useful are uranium and gold. Waste dumps in South Africa and Canada have been treated to convert insoluble forms of uranium to soluble forms, allowing recovery by a method similar to that used with copper. In the treatment of gold ores, biohydrometallurgy is used in a pretreatment step prior to the conventional conversion of the metal to a cyanide-complex. The first commercial plants for the biohydrometallurgical treatment of gold ores are now in operation in South Africa and Zimbabwe.

Biohydrometallurgy has expanded from a science discipline that was more exotic than practical in the 1960s to a well-researched field that now attracts thousands of scientists to international conferences. It has become an interdisciplinary field of study, involving inorganic and organic chemists, physicists, microbiologists, and geologists.

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David E. Newton

## Bioindicator

A bioindicator is a plant or animal species that is particularly tolerant or sensitive to pollution. Based on the known association of an organism with a particular type or intensity of pollution, the presence of the organism can be used as a tool to indicate polluted conditions relative to unimpacted reference conditions. Sometimes a set of species or the structure and function of an entire biological community may function as a bioindicator. In assessing the impacts of pollution, bioindicators are frequently used to evaluate the health of an impacted ecosystem relative to a reference area or reference

conditions. Field-based, site-specific environmental evaluations based on the bioindicator approach generally are complemented with laboratory studies of toxicity testing and bioassay experiments.

The use of individual species or a community structure as bioindicators involves the identification, classification, and quantification of biota in the affected area. While many species are in use, the most widely used biological communities are the benthic macroinvertebrates. These are the sedentary and crawling worms and insect larvae that reside in the bottom sediments of aquatic systems such as lake and river bottoms. The bottom sediments usually contain most of the pollutants introduced into an aquatic system. Since these macroinvertebrates have limited mobility, they are continually exposed to the highest concentrations of pollutants in the system. Therefore, this benthic community is an ideal bioindicator: stationary, localized, and exposed to maximum pollutant concentrations within a specific location.

Often, alterations in community structure due to pollution include a change from a more diverse to a less diverse community with fewer species or taxa. The indicator community may also be composed mostly of species that are tolerant of or adapted to polluted conditions and pollution-sensitive species that are present upstream may be absent in the impacted zones. However, depending on the type of pollutant, the abundance of the pollution-tolerant species may be very high and, therefore, the size of the benthic community may be similar to or exceed the reference community upstream. This is common in cases where pollution from sewage discharges adds organic matter that provides food for some of the tolerant benthic species. In the case of toxic chemical (e.g., heavy metals, organic compounds) pollution, the benthic community may show an overall reduction both in diversity and abundance.

Tubificid worms are an example of pollution-tolerant indicator organisms. These worms live in the bottom sediments of streams and lakes and are highly tolerant of the kind of pollution that results from sewage discharges. In a river polluted by wastewater discharge from a sewage treatment plant, it is common to see a large increase in the number of tubificid worms in the stream sediments immediately downstream of the discharge. Upstream of the discharge, the number of these worms is much lower, reflecting the cleaner conditions. Further downstream, as the discharge is diluted, the number of tubificid worms again decreases to a level similar to the upstream portions of the river. Large populations of these worms dramatically demonstrate

that pollution is present, and the location of these populations may also indicate the general area where the pollution enters the environment.

Alternatively, pollution-intolerant organisms can also be used to indicate polluted conditions. The larvae of mayflies live in stream sediments and are known to be particularly sensitive to pollution. In a river receiving wastewater discharge, mayflies show a pattern opposite to that of the tubificid worms. The mayfly larvae are normally present in large numbers above the discharge point, decrease or disappear at the discharge point (just where the tubificid worms are most abundant) and reappear further downstream as the effects of the discharge are diluted. In this case, the mayflies are pollution-sensitive indicator organisms and their absence serves as the indication of pollution. Similar examples of indicator organisms can be found among plants, fishes and other biological groups. Giant reedgrass (*Phragmites australis*) is a common marsh plant that is typically indicative of disturbed conditions in wetlands. Among fish, disturbed conditions may be indicated by the disappearance of sensitive species like trout which require clear, cold waters to thrive.

The usefulness of indicator organisms is unquestionable but limited. While their presence or absence provides a reliable general picture of polluted conditions, it is often difficult to identify clearly the exact sources of pollution, especially in areas with multiple sources of pollution. In the sediments of New York Harbor, for example, pollution-tolerant insect larvae are overwhelmingly dominant. However, it is impossible to attribute the large larval populations to just one of the numerous possible sources of pollution in this area, which include ship traffic, sewage discharge, industrial discharge, and storm runoff. As more is learned about the physiology and life-history of an indicator organism and its response to different types of pollution, it may be possible to draw more specific conclusions.

Although the two terms are sometimes used interchangeably, indicator organisms should not be confused with monitor organisms (also called biomonitors) which are organisms that bioaccumulate toxic substances present in trace amounts in the environment. For example, when it is difficult to measure directly the low concentrations of a pollutant in water, chemical analysis of shellfish tissues from that location may show much higher, easily detected concentrations of that pollutant. In this case, the shellfish is used to monitor the level of the long-term presence of that pollutant in the area.

In the environmental field, bioindicators are commonly used in field investigations of contaminated sites to document impacts on the biological community and ecosystem. These studies are then followed up with focused laboratory tests to pinpoint the source of toxicity or stress. After cleanup and remedial actions have been implemented at a site, bioindicators are also used to track the effectiveness of the remediation activity. In the future, bioindicators may be used more widely as investigative and decision-making tools from the initial pollution and impact assessment stage to the remediation and post-remediation monitoring stages.

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Usha Vedagiri

In 2010, the globe is beginning to more evidently experience the effects of atmospheric warming. The changing climates in different regions of the Earth are already affecting biological communities. Even if the warming of the atmosphere could be stopped instantaneously, global changes will occur for at least another century, according to the predictive data from a number of different climate models.

While some changes may be relatively innocuous, changes that affect a species that are important to the food chain, for example to phytoplankton in the ocean, would be very influential and likely deleterious.

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## Biological community

A biological community is an association or group of populations of organisms living in a localized area or habitat. The community is a level of organization incorporating individual organisms, species, and populations. A population is a group of one species, and the community can consist of one or more populations. Communities may be large or small, ranging from the microscopic to the continent level of a biome and the global level of a biosphere.

In contrast to an ecosystem, a community does not necessarily include consideration of the physical environment or the habitat of a particular group of organisms. The term ecosystem was coined to incorporate study of a community together with its physical environment. Still, communities are adaptive systems, inseparable from and evolving in response to changing environmental conditions. So, the supply and availability of resources in the environment and also time are considerations in the dynamics of community structure and relationships. Individual communities may be relatively stable or constantly changing.

## Biological fertility

Biological fertility is a term that refers to the number of offspring produced by a female organism. In a population, biological fertility is measured as the general fertility rate (the birth rate multiplied by the number of sexually productive females) or as the total fertility rate (the lifetime average number of offspring per female). Fertility and fecundity are synonyms. In population biology, biological fertility refers to the number of offspring actually produced, while fecundity is merely the biological ability to reproduce. Fecund individuals that fail to mate do not produce offspring (that is, are not biologically fertile), and do not contribute to population growth.

Biological fertility also applies to soil, and considers the chemical, physical, and biological components of soil. Knowledge of how these three parameters affect the capability of the soil to support crops is becoming a very important facet of agriculture, especially as the

growing population of the globe is facing increasing pressure to produce enough food. Biological fertility of the soil can also be deleteriously affected by climate change, especially in regions where drought will be exacerbated.

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Biological integrity see **Ecological integrity**.

Biological magnification see **Biomagnification**.

## Biological methylation

Methylation is the process by which a methyl radical ( $-CH_3$ ) is chemically combined with some other substance through the action of a living organism. One of the most environmentally important examples of this process is the methylation of mercury in the sediments of lakes, rivers, and other bodies of water. Elementary mercury and many of its inorganic compounds have relatively low toxicity because they are insoluble. However, in sediments, bacteria can convert mercury to an organic form, methylmercury, that is soluble in fat. When ingested by animals, methylmercury accumulates in body fat and exerts highly toxic, sometimes fatal, effects.

Biological methylation also refers to methylation that occurs in living organisms, and which is important in regulating activities of the organisms. The addition of a methyl group has been shown to be vital in the regulation of some genes, determining whether the transcription of the gene occurs or not. Methylation can also regulate the production of proteins in the process of translation.

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Biological oxygen demand see **Biochemical oxygen demand**.

## Biological Resources Discipline

Created to assess, monitor, and research biological resources in United States, the Biological Resources Discipline (BRD) of the United States Geological Survey (USGS) is the nonregulatory biological research component of the United States Department of the Interior. First created in 1994 as the National Biological Survey (NBS), an independent agency within the U.S. Department of the Interior, the NBS was merged with the USGS (also part of the Interior Department) in 1996.

The BRD is the principal biological research and monitoring agency of the federal government. It is responsible for gathering, analyzing, and disseminating biological information in order to support sound management and stewardship of the nation's biological and natural resources. It is also directed to foster understanding of biological systems and their benefits to society, and to make biological information available to the public. Although it was created mainly on the impetus of environmental and scientific organizations, the BRD also supports commercial and economic interests in that it seeks to identify opportunities for sustainable resource use. Agriculture and biotechnology are among the industries that stand to benefit from BRD research on new sources of food, fiber, and medicines.

Because it is independent of regulatory agencies—which are responsible for enforcing laws—the BRD has no formal regulatory, management, or enforcement roles. Therefore the BRD does not enforce laws such as the Endangered Species Act. Instead the BRD is responsible for gathering data that are scientifically sound and unbiased. The BRD also fosters public-private cooperation. For example, it worked with the International Paper Company in Alabama to develop a management plan for two species of pitcher plants

found on company land that were candidates for the Endangered Species List. If it succeeds, this management plan will both preserve the pitcher plant populations—preventing the legal complications of having it listed as a federally endangered species—and allow continued judicious use of the land and resources.

The Biological Resources Discipline of the USGS was created in 1994 as an independent agency with the name National Biological Survey. The Survey was established on November 11, 1994, on the recommendations of President Bill Clinton and Interior Secretary Bruce Babbitt. Renamed the National Biological Service (NBS) shortly after its creation, the agency was created by combining the biological research, inventory, and monitoring programs of seven agencies within the Department of the Interior. Built on the model of the Geological Survey, the NBS was established to provide accurate baseline data about ecosystems and species in United States territory. The mission of the NBS was to provide information to support sound stewardship of natural resources on public lands under the administration of the Department of the Interior. Part of its mission was also to foster cooperation among other entities involved in managing, monitoring, and researching natural resources. On October 1, 1996, the NBS was renamed the Biological Resources Discipline and merged with the United States Geological Survey. The USGS-BRD retains the research and information provision mandates of the NBS. Appointed as the first NBS/BRD director was H. Ronald Pulliam, a professor and research ecologist from the Institute of Ecology and the University of Georgia in Athens.

The National Biological Service had a predecessor in the Bureau of Biological Survey, which operated as part of the Department of Agriculture from 1885 to 1939. The bureau's first director, C. Hart Merriam, revolutionized biological collection techniques and in 15 years nearly quadrupled the number of known American mammal species. In 1939, the bureau was transferred to the Department of the Interior, where it was the predecessor to the Fish and Wildlife Service. After its transfer to the Department of the Interior, the Bureau of Biological Survey's baseline data gathering and survey functions gradually diminished. The Fish and Wildlife Service now has regulatory and management responsibilities as well as research programs.

In recent years the need for a biological survey, and especially for the production of reliable baseline data, has resurfaced. The resurgence of interest in baseline data results in part from an interest in managing ecosystems, with a focus on stewardship and ecosystem restoration, in place of previous emphasis on individual species management. Managing and

restoring ecosystems requires basic data and information on biological indicators, which are often unavailable. Interest in threatened and endangered species, and public participation in environmental organizations probably also contributed to the widespread support for establishing a biological survey.

The BRD is important because it is the only federal agency whose principal mission is to perform basic scientific, biological, and ecological research. As an explicitly scientific research body, the BRD works to incorporate current ecological theory in its research and monitoring programs. Central to the BRD's mission are ideas such as long-term stewardship of resources, maintaining biodiversity, identifying ecological services of biological resources, anticipating climate change, and restoring populations and habitats. Because of its emphasis on basic research the BRD can address current scientific themes that pertain to public policy such as fire ecology, endocrine disruptors (chemicals such as pentachlorophenols [PCBs] that interfere with endocrine and reproductive functions in animals), ecological roles of wetlands, and habitat restoration. The primary purpose of this research activity is to provide land managers, especially within the Department of the Interior, with sound information to guide their management policies.

The BRD has two broad categories of research activities. One focus is on species for which the Department of the Interior has trust responsibilities, including endangered species, marine mammals, migratory birds, and anadromous fish such as salmon. Research on these organisms involves studies of physiology, behavior, population dynamics, and mortality. The second general focus is on ecosystems. This class of research is directed at using experimentation, modeling, and observation to produce practical information concerning the complex interactions and functions of ecosystems, as well as human-induced changes in ecosystems.

In addition to these ecological research questions, the BRD is mandated to perform basic classification, mapping, and description functions. The division is responsible for classifying and mapping species within the United States, as well as prospecting for new species. It is also directed to develop a standard classification system for ecological units, biological indicators for ecosystem health, protocols for managing pollution, and guidelines for ecosystem restoration.

Part of this basic assessment function is a series of National Status and Trends Reports, to be released by the BRD every two years. These reports are to assess the health of biological resources and to report trends in their decline or improvement.

The USGS BRD also provides a nationwide coordinated research agenda and a set of priorities for biological research. For example, it has undertaken a set of coordinated regional studies of the wide-ranging biological and ecological impacts of wetland distributions in Colorado, California, Texas, and other regions of the United States. Collectively these studies can provide a picture of nationwide trends and conditions, as well as producing coordinated information on restoration techniques, biodiversity issues, and biological indicators, at the same time as regional wetland problems are being addressed. Similar sets of coordinated studies have begun concerning the effects of endocrine-disrupting chemicals in the environment and on the use of prescribed fire as a management technique in a variety of ecosystems.

In addition to performing its own research the BRD provides coordination and a network of communication between federal agencies, state governments, universities, museums, and private conservation organizations. The National Partnership for Biological Survey, coordinated by the BRD, provides a network for sharing information and technology between federal, state, and other agencies. Participants in the Partnership include the United States Forest Service, the Natural Resources Conservation Service, the National Oceanic and Atmospheric Administration, the Environmental Protection Agency, the National Science Foundation, the Department of Defense, and the Army Corps of Engineers, as well as the Natural Heritage data centers and programs maintained by many states. The network of Natural Heritage programs is coordinated by The Nature Conservancy, a private organization dedicated to preserving habitat and species diversity. Also included in the Partnership are museums and universities nationwide that serve as repositories of information on biological resources and that conduct biological research, a range of non-governmental organizations, international conservation and research groups, Native American groups, private land holders, and resource user groups.

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Mary Ann Cunningham

Biological treatment see **Bioremediation**.

## Bioluminescence

Bioluminescence ("living light") is the production of light by living organisms through a biochemical reaction. The general reaction involves a substrate called luciferin and an enzyme called luciferase, and requires oxygen. Specifically, luciferin is oxidized by luciferase and the chemical energy produced is transformed into light energy. In nature, bioluminescence is fairly widespread among a diverse group of organisms such as bacteria, fungi, sponges, jellyfish, mollusks, crustaceans, some worms, fireflies, and fish. It is totally lacking in vertebrate animals. Fireflies are probably the most commonly recognized examples of bioluminescent organisms, using the emitted light for mate recognition.

Other probable functions of bioluminescence include communication between bacteria and a form of repulsion (similar to the release of inky compounds by squid).

Bioluminescence has been harnessed as an attribute of biotechnology. Fusion of the gene that encodes the production of bioluminescence with a target gene enables the transcription of the gene to be detected by the production of luminescence.

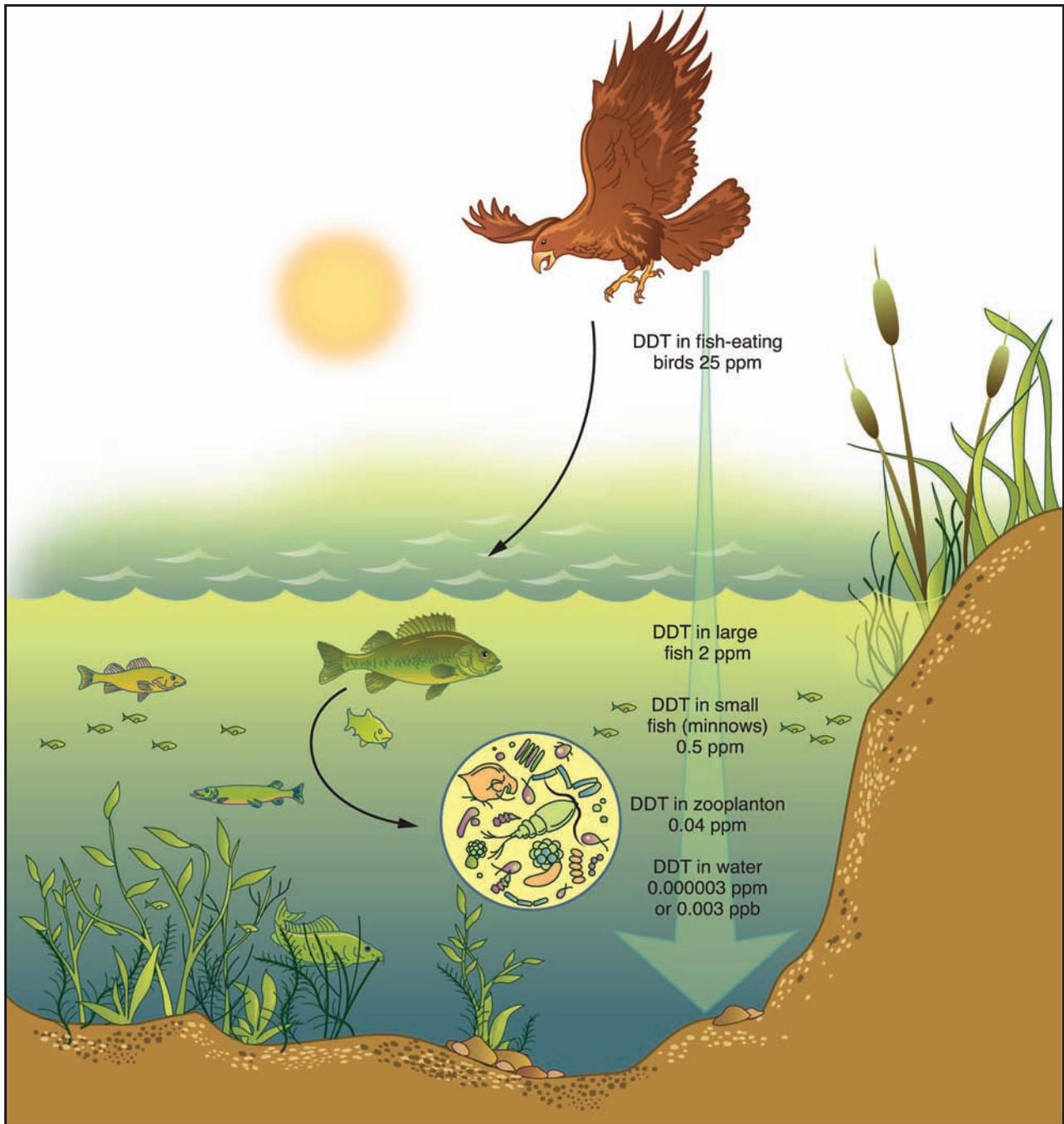
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## Biomagnification

The bioaccumulation of chemicals in organisms beyond the concentration expected if the chemical was in equilibrium between the organism and its



**Biomagnification of the pesticide DDT in the food chain. DDT travels via runoff up through the food chain, accumulating in all exposed species.** (Reproduced by permission of Gale, a part of Cengage Learning)

surroundings. Biomagnification can occur in both terrestrial and aquatic environments, but it is generally used in relation to aquatic situations. Most often, biomagnification occurs in the higher trophic levels of the food chain/web, where exposure to chemicals takes place mostly through food consumption rather than water uptake.

Biomagnification is a specific case of bioaccumulation and is different from bioconcentration. Bioaccumulation describes the accumulation of contaminants in the tissue of organisms. Typical examples of this include the elevated levels of many chlorinated pesticides and mercury in fish tissue. Bioconcentration is

used to describe the concentration of a chemical in an organism from water uptake alone. This is quantitatively described by the bioconcentration factor, or BCF, which is the chemical concentration in tissue divided by the chemical concentration in water, expressed in equivalent units, at equilibrium. The vast majority of chemicals that bioaccumulate are aromatic organic compounds, particularly those with chlorine substituents. For organic compounds, the mechanism of bioaccumulation is thought to be the partitioning or solubilization of the chemical into the lipids of the organism. Thus the BCF should be proportional to the lipophilicity of the chemical, which is described by the octanol-water partition coefficient,  $K_{ow}$ . The latter is a physical-chemical property of the compound describing its relative solubility in an organic phase and is the ratio of its solubility in octanol to its solubility in water at equilibrium. It is constant at a given temperature. If one assumes that a chemical's solubility in octanol is similar to its solubility in lipid, then we can approximate the lipid-normalized BCF as equal to the  $K_{ow}$ . This assumption has been shown to be a reasonable first approximation for most chemicals accumulation in fish tissue.

However, animals are exposed to contaminants by other routes in addition to passive partitioning from water. For instance, fish can take up chemicals from the food they eat. It has been noted in field collections that for certain chemicals, the observed fish-water ratio (BCF) is significantly greater than the theoretical BCF, based on  $K_{ow}$ . This indicates that the chemical has accumulated to a greater extent than its equilibrium concentration. This is defined as biomagnification. This condition has been documented in aquatic animals, including fish, shellfish, seals and sea lions, whales, and otters, and in birds, mink, rodents, and humans in both laboratory and field studies.

The biomagnification factor, BMF, is usually described as the ratio of the observed lipid-normalized BCF to  $K_{ow}$ , which is the theoretical lipid-normalized BCF. This is equivalent to the multiplication factor above the equilibrium concentration. If this ratio is equal to or less than one, then the compound has not biomagnified. If the ratio is greater than one, then the chemicals are biomagnified by that factor. For instance, if a chemical's  $K_{ow}$  were 100,000, then its lipid normalized BCF should be 100,000 if the chemical were in equilibrium in the organism's lipids. If the fish tissue concentration (normalized to lipids) were 500,000, then the chemical would be said to have biomagnified by a factor of five.

Biomagnification in the aquatic food chain often leads to biomagnification in terrestrial food chains,

particularly in the case of bird and wildlife populations that feed on fish. Consider the following example that demonstrates the results of biomagnification. The concentrations of the insecticide dieldrin in various trophic levels are determined to be the following: water, 0.1 ng/L; phytoplankton, 100 ng/g lipid; zooplankton, 200 ng/g lipid; fish, 600 ng/g lipid; terns, 800 ng/g lipid. If the  $K_{ow}$  were equal to one million, then the phytoplankton would be in equilibrium with the water, but the zooplankton would have magnified the compound by a factor of 2, the fish by a factor of 6, and the terns by a factor of 8.

The mechanism of biomagnification is not completely understood. To achieve a concentration of a chemical greater than its equilibrium value indicates that the elimination rate is slower than for chemicals that reach equilibrium. Transfer efficiencies of the chemical would affect the relative ratio of uptake and elimination. There are many factors that control the uptake and elimination of a chemical from the consumption of contaminated food, and these include factors specific to the chemical as well as factors specific to the organism. The chemical properties include solubility,  $K_{ow}$ , molecular weight and volume, and diffusion rates between organism gut, blood, and lipid pools. The organism properties include the feeding rate, diet preferences, assimilation rate into the gut, rate of chemical's metabolism, rate of egestion, and rate of organism growth. It is thought that the chemical's properties control whether biomagnification will occur, and that it is the transfer rate from lipid to blood that allows the chemical to attain a lipid concentration greater than its equilibrium value. Thus it follows that the chemicals that biomagnify have similar properties. They typically are organic; they have molecular weights between 200 and 600 daltons; they have  $K_{ows}$  between 10,000 and 10 million; they are resistant to metabolism by the organism; they are non-ionic, neutral compounds; and they have molecular volumes between 260 and 760 cubic angstroms, a cross sectional width of less than 9.5 angstroms and a molecular surface area between 200 and 460 square angstroms. The latter dimensions allow them to more easily pass through lipid bilayers into cells but perhaps do not allow them to leave the cell easily due to their high lipophilicity. Since this disequilibrium would occur at each trophic level, it results in more and more biomagnification at each higher trophic level. Because humans occupy a very high trophic level, we are particularly vulnerable to adverse health effects as a result of exposure to chemicals that biomagnify.

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## Biomass

Biomass is a measure of the amount of biological substance minus its water content found at a given time and place on Earth's surface. Although sometimes defined strictly as living material, in actual practice the term often refers to living organisms, or parts of living organisms, as well as waste products or non-decomposed remains. It is a distinguishing feature of ecological systems and is usually presented as biomass density in units of dry weight per unit area. The term is somewhat imprecise in that it includes autotrophic plants, referred to as phytomass, heterotrophic microbes, and animal material, or zoomass. In most settings, phytomass is by far the most important component. A square meter of the planet's land area has, on average, about 22.05–26.46 lb (10–12 kg) of phytomass, although values may vary widely depending on the type of biome. Tropical rain forests average about 99.2 lb/3.28 ft<sup>2</sup> (45 kg/m<sup>2</sup>) while a desert biome may have a value near zero. The global average for heterotrophic biomass is approximately 0.1 kg/m<sup>2</sup>, and the average for human biomass has been estimated at 0.5 g/m<sup>2</sup> if permanently glaciated areas are excluded.

The nature of biomass varies widely. Density of fresh material ranges from a low of 0.14 g/cm<sup>3</sup> for floats of aquatic plants to values greater than 1 g/cm<sup>3</sup> for very dense hardwood. The water content of fresh material may be as low as 5 percent in mature seeds or as high as 95 percent in fruits and young shoots. Water levels for living plants and animals run from 50 to 80 percent, depending on the species, season, and growing conditions. To ensure a uniform basis for comparison, biomass samples are dried at 221°F (105°C) until they reach a constant weight.

Organic compounds typically constitute about 95 percent by weight of the total biomass, and nonvolatile residue, or ash, about 5 percent. Carbon is the principal element in biomass and usually represents about 45 percent of the total. An exception occurs in species that incorporate large amounts of inorganic elements such as silicon (Si) or calcium (Ca), in which case the carbon content may be much lower and nonvolatile residue several times higher. Another exception is found in tissues rich in lipids (oil or fat), where the carbon content may reach values as high as 70 percent.

Photosynthesis is the principal agent for biomass production. Light energy is used by chlorophyll-containing green plants to remove (or fix) carbon dioxide (CO<sub>2</sub>) from the atmosphere and convert it to energy-rich organic compounds or biomass. It has been estimated that on the face of Earth approximately 200 billion tons of carbon dioxide are converted to biomass each year. Carbohydrates are usually the primary constituent of biomass, and cellulose is the single most important component. Starches are also important and predominate in storage organs such as tubers and rhizomes. Sugars reach high levels in fruits and in plants such as sugar cane and sugar beet. Lignin is a very significant noncarbohydrate constituent of woody plant biomass.

Recently, depletion of fossil fuel sources has led to the search for alternative sources of fuel. Plant biomass (a type of biofuel) is a potential source of fuel that is renewable (the source can be regenerated and replenished). Many researchers are conducting studies to determine the most suitable crops and the most efficient means of deriving energy from biomass. Switchgrass (*Panicum virgatum*), corn (*Zea mays*), and rapeseed (*Brassica napus*) plants are currently being grown and harvested for biofuel generation. However, in terms of the environmental impact of biofuels compared with fossil fuels, burning biomass does contribute to levels of carbon dioxide in the atmosphere. Two main issues are of concern with regard to biofuels. The amount of processing necessary to obtain energy from biomass is significant and relatively expensive. More dedicated research may play a part in resolving this issue. The

other concern is that the amount of biofuels needed to replace fossil fuels is so substantial that there may not be enough area to dedicate to biomass production to meet worldwide energy requirements. Land for production of biofuel biomass may displace food crops, and thus lead to shortages of food supplies.

Biomass crops also have the potential to accumulate carbon in the root and shoot. This carbon sequestration may reduce the effects of global warming by removing amounts of carbon from the atmosphere with the crops acting as carbon sinks.

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Douglas C. Pratt

## Biomass fuel

A biomass fuel is an energy source derived from living organisms. Most commonly it is plant residue, harvested, dried and burned, or further processed into solid, liquid, or gaseous fuels. The most familiar and widely used biomass fuel is wood. Agricultural waste, including materials such as cereal straw, seed hulls, corn stalks and cobs, is also a significant source. Native shrubs and herbaceous plants are potential sources.



Virgin Atlantic's 747 is pushed out of the Virgin Hanger at Heathrow Airport February 24, 2008, to take off for Amsterdam in a flight by an airline using biofuels. (Steve Parsons/PA Wire)

Animal waste, although much less abundant overall, is a bountiful source in some areas.

Wood accounted for 25 percent of all energy used in the United States at the beginning of the twentieth century. With increased use of fossil fuels, its significance rapidly declined. By 1976, only 1 to 2 percent of United States energy was supplied by wood, and burning of tree wastes by the forest products industry accounted for most of it. Although the same trend has been evident in all industrialized countries, the decline has not been as dramatic everywhere. Sweden, Finland, and Austria meet up to 17 percent of their national energy needs with wood.

In industrialized countries, it is estimated that biomass supplies about 10 percent of total energy, and it continues to be a very important energy source for many developing countries, in which it amounts to 20 to 30 percent of total energy. Interest in biomass has greatly increased even in countries where its use has drastically declined. In the United States rising fuel prices led to a large increase in the use of wood-burning stoves and furnaces for space heating. Impending fossil fuel shortages have greatly increased research on

its use in the United States and elsewhere. Because biomass is a potentially renewable resource, it is recognized as a possible replacement of petroleum and natural gas.

Historically, burning has been the primary mode for using biomass, but because of its large water content it must be dried to burn effectively. In the field, the energy of the sun may be all that is needed to sufficiently lower its water level. When this is not sufficient, another energy source may be needed.

Biomass is not as concentrated an energy source as most fossil fuels even when it is thoroughly dry. Its density may be increased by milling and compressing dried residues. The resulting briquettes or pellets are also easier to handle, store, and transport. Compression has been used with a variety of materials including crop residues, herbaceous native plant material, sawdust, and other forest wastes.

Solid fuels are not as convenient or versatile as liquids or gases, and this is a drawback to the direct use of biomass. Fortunately, a number of techniques are known for converting it to liquid or gaseous forms.

Partial combustion is one method. In this procedure, biomass is burned in an environment with restricted oxygen. Carbon monoxide (CO) and hydrogen (H) are formed instead of carbon dioxide (CO<sub>2</sub>) and water. This mixture is called synthetic gas or syngas. It can serve as fuel although its energy content is lower than natural gas (methane). Syngas may also be converted to methanol (CH<sub>3</sub>OH), a one carbon-alcohol that can be used as a transportation fuel. The conversion efficiency of syngas to methanol is over 99 percent. Because methanol is a liquid, it is easy to store and transport.

Anaerobic digestion is another method for forming gases from biomass. It uses microorganisms, in the absence of oxygen, to convert organic materials to methane. This method is particularly suitable for animal and human waste. Animal feedlots faced with disposal problems may install microbial gasifiers to convert waste to gaseous fuel used to heat farm buildings or generate electricity.

Biodiesel is diesel fuel that is formed from vegetable oil, animal fats, or waste from pulp and paper processing. Over 80 percent of commercial trucks in the United States are powered by biodiesel. Any diesel-powered vehicle can run with a mix of biodiesel (around 15 to 20 percent) and mineral diesel, but newer vehicles are able to run on pure biodiesel. The environmental impact of biodiesel on greenhouse gas emissions is a highly debated subject that depends on

many factors such as the processing and production, deforestation for available space for crop production, and efficiency differences compared with mineral diesel.

For materials rich in starch and sugar, fermentation is an attractive alternative. Through acid hydrolysis or enzymatic digestion, starch can be extracted and converted to sugars. Sugars can be fermented to produce ethanol (C<sub>2</sub>H<sub>6</sub>O), a liquid biofuel with many potential uses.

Cellulose is the single most important component of plant biomass. Like starch, it is made of linked sugar components that may be easily fermented when separated from the cellulose polymer. The complex structure of cellulose makes separation difficult, but enzymatic means are being developed to do so. Perfection of this technology will create a large potential for ethanol production using plant materials that are not human foods.

The efficiency with which biomass may be converted to ethanol or other convenient liquid or gaseous fuels is a major concern. Conversion generally requires appreciable energy. If an excessive amount of expensive fuel is used in the process, costs may be prohibitive. Corn (*Zea mays*) has been a particular focus of efficiency studies. Inputs for the corn system include energy for production and application of fertilizer and pesticide, tractor fuel, on-farm electricity, and so forth, as well as those more directly related to fermentation. A recent estimate puts the industry average for energy output at 133 percent of that needed for production and processing. This net energy gain of 33 percent includes credit for co-products such as corn oil and protein feed as well as the energy value of ethanol. The most efficient production and conversion systems are estimated to have a net energy gain of 87 percent. Although it is too soon to make an accurate assessment of the net energy gain for cellulose-based ethanol production, it has been estimated that a net energy gain of 145 percent is possible.

Biomass-derived gaseous and liquid fuels share many of the same characteristics as their fossil fuel counterparts. Once formed, they can be substituted in whole or in part for petroleum-derived products. Gasohol, a mixture of 10 percent ethanol in gasoline, is an example. Ethanol contains about 35 percent oxygen, much more than gasoline, and a gallon contains only 68 percent of the energy found in a gallon of gasoline. For this reason, motorists may notice a slight reduction in gas mileage when burning gasohol. However, automobiles burning

mixtures of ethanol and gasoline have a lower exhaust temperature. This results in reduced toxic emissions, one reason that clean air advocates often favor gasohol use in urban areas.

Biomass is called as a renewable resource since green plants are essentially solar collectors that capture and store sunlight in the form of chemical energy. Its renewability assumes that source plants are grown under conditions where yields are sustainable over long periods of time. Obviously, this is not always the case, and care must be taken to ensure that growing conditions are not degraded during biomass production.

A number of studies have attempted to estimate the global potential of biomass energy. Although the amount of sunlight reaching Earth's surface is substantial, less than a tenth of a percent of the total is actually captured and stored by plants. About half of it is reflected back to space. The rest serves to maintain global temperatures at life-sustaining levels. Other factors that contribute to the small fraction of the sun's energy that plants store include Antarctic and Arctic zones where little photosynthesis occurs, cold winters in temperate belts when plant growth is impossible, and lack of adequate water in arid regions. The global total net production of biomass energy has been estimated at 100 million megawatts per year per year. Forests and woodlands account for about 40 percent of the total, and oceans about 35 percent. Approximately 1 percent of all biomass is used as food by humans and other animals.

Soil requires some organic content to preserve structure and fertility. The amount required varies widely depending on climate and soil type. In tropical rain forests, for instance, most of the nutrients are found in living and decaying vegetation. In the interests of preserving photosynthetic potential, it is probably inadvisable to remove much if any organic matter from the soil. Likewise, in sandy soils, organic matter is needed to maintain fertility and increase water retention. Considering all the constraints on biomass harvesting, it has been estimated that about six million MWyr/yr of biomass are available for energy use. This represents about 60 percent of human society's total energy use and assumes that the planet is converted into a global garden with a carefully managed photosphere.

Although biomass fuel potential is limited, according to its advocates it provides a basis for significantly reducing society's dependence on nonrenewable reserves. Yet its potential is seriously diminished by factors that

degrade growing conditions either globally or regionally. Thus, the impact of factors like climate change and acid rain must be taken into account to assess how well that potential might eventually be realized. It is in this context that one of the most important aspects of biomass fuel should be noted. Growing plants remove carbon dioxide from the atmosphere that is released back to the atmosphere when biomass fuels are used. Thus the overall concentration of atmospheric carbon dioxide should not change, and climate change should not result. Another environmental advantage arises from the fact that biomass contains much less sulfur (S) than most fossil fuels. As a consequence, biomass fuels should reduce the impact of acid rain.

The production of ethanol has been criticized both as a net energy consumer (or poor net-energy producer) and as injurious to the world's poor. In early 2008, food shortages gripped much of the world, with riots against high prices becoming more common in some poor countries. Food prices worldwide had risen 83 percent since 2005, according to the World Bank. Although there were a number of causes for rising prices, including a drought in Australia that lowered production of rice, the main food of over half the world's population, food experts agreed that the push to raise crops for ethanol biofuel for vehicles was part of the problem. Biofuel manufacturers compete directly with food buyers in the market for corn, and high demand for biofuel crops causes growers to switch acreage away from food production. The result is higher food prices, which some the world's poorest simply cannot pay. In Haiti, for example, by late 2007 many of the rural poor were routinely eating mud, which has no food value but eases hunger pangs. Expert estimates of how much of the rise in global food prices was due to biofuel programs ranged from 10 percent to over 30 percent.

*See also* Anaerobic digestion; Animal waste.

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Douglas C. Pratt

## Biome

A biome is a large terrestrial ecosystem characterized by distinctive kinds of plants and animals and maintained by a distinct climate and soil conditions. To illustrate, the desert biome is characterized by low annual rainfall and high rates of evaporation, resulting in dry environmental conditions. Plants and animals that thrive in such conditions include cacti, brush, lizards, insects, and small rodents. Special adaptations, such as waxy plant leaves, allow organisms to survive under low moisture conditions. Other examples of biomes include tropical rain forest, arctic

tundra, grasslands, temperate deciduous forest, coniferous forest, tropical savanna, and Mediterranean chaparral.

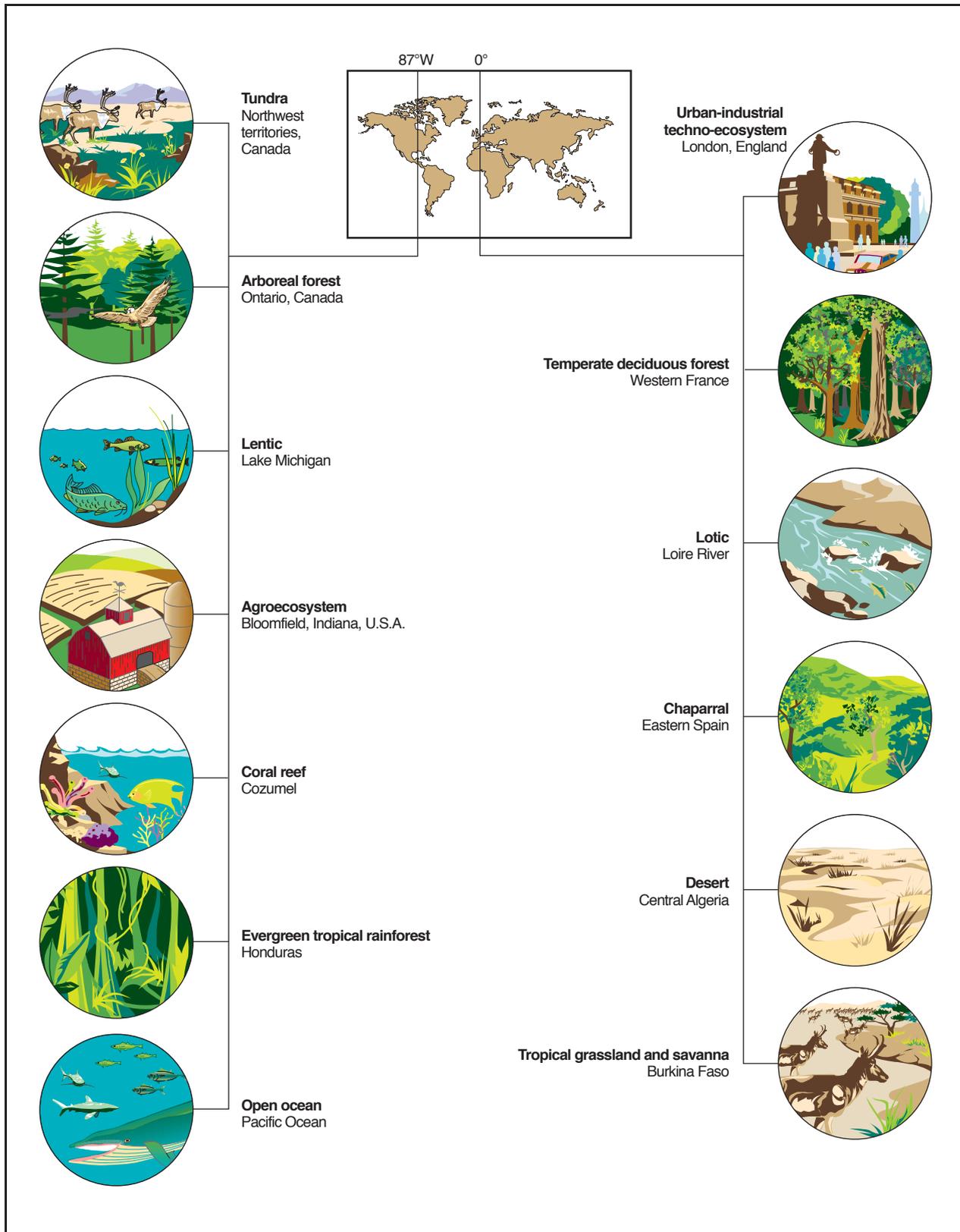
## Biophilia

The term biophilia was coined by the American biologist, Edward O. Wilson (1929–), to mean "the innate tendency [of human beings] to focus on life and lifelike processes" and "connections that human beings subconsciously seek with the rest of life." Wilson first used the word in 1979 in an article published in the *New York Times Book Review*, and then in 1984 he wrote a short book (157 pages) that explored the notion in more detail.

Clearly, humans have coexisted with other species throughout our evolutionary history. In Wilson's view, this relationship has resulted in humans developing an innate, genetically based, or "hard-wired" need to be close to other species and to be empathetic to their needs. The presumed relationship is reciprocal, meaning other animals are also to varying degrees empathetic with the needs of humans. The biophilia hypothesis seems intuitively reasonable, although it is likely impossible that it could ever be proven universally correct. For example, while many people may feel and express biophilia, some people may not.

There is a considerable body of psychological and medical evidence in support of the notion of biophilia, although most of it is anecdotal. There are, for example, many observations of sick or emotionally distressed people becoming well more quickly when given access to a calming natural environment, or when comforted by such pets as dogs and cats. There are also more general observations that many people are more comfortable in natural environments than in artificial ones—it can be much more pleasant to sit in a garden, for instance, than in a windowless room. The aesthetics and comfort of that room can, however, be improved by hanging pictures of animals or landscapes, by watching a nature show on television, or by providing a window that looks out onto a natural scene.

Wilson is a leading environmentalist and a compelling advocate of the need to conserve the threatened biodiversity of the world, such as imperiled natural ecosystems and endangered species. To a degree, his notion of biophilia provides a philosophical justification for conservation actions, by suggesting emotional



Different biomes worldwide. (Reproduced by permission of Gale, a part of Cengage Learning)

and even spiritual dimensions to the human relationship with other species and the natural world. If biophilia is a real phenomenon and an integral part of what it is to be human, then our affinity for other species means that willful actions causing endangerment and extinction can be judged to be wrong and even immoral. Our innate affinity for other species provides an intrinsic justification for doing them no grievous harm, and in particular, for avoiding their extinction. It provides a justification for enlightened stewardship of the natural world.

Humans also utilize animals in agriculture (we raise them as food), in research, and as companions. Ethical considerations derived from biophilia provide a rationale for treating animals as well as possible during such use, and also as a philosophical foundation for vegetarianism and anti-vivisectionism.

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Bill Freedman

## Bioregionalism

Drawing heavily upon the cultures of indigenous peoples, bioregionalism is a philosophy of living that stresses harmony with nature and the integration of humans as part of the natural ecosystem. The keys to bioregionalism involve learning to live off the land, without damaging the environment or relying on

heavy industrial machines or products. Bioregionalists argue that if the relationship between nature and humans improves, the society as a whole will benefit.

Environmentalists who practice this philosophy “claim” a bioregion or area. For example, one’s place might be a watershed, a small mountain range, a particular area of the coast, or a specific desert. To develop a connection to the land and a sense of place, bioregionalists try to understand the natural history of the area as well as how it supports human life. For example, they study the plants and animals that inhabit the region, the geological features of the land, as well as the cultures of the people who live or have lived in the area.

Bioregionalism also stresses community life where participation, self-determination, and local control play important roles in protecting the environment. Various bioregional groups exist throughout the United States, ranging from the Gulf of Maine to the Ozark Mountains to the San Francisco Bay area.

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Christopher McGrory Klyza

## Bioremediation

The increased use of chemicals in industrial processes and agriculture has resulted in a significant amount of these substances persisting and accumulating in our environment. Bioremediation is the process of removing contaminants or pollutants from the environment to return it to its original state through the use of biological mechanisms. These chemicals can be found in soil, sediments, or in bodies of water.

Plants can be utilized in bioremediation through root uptake and bioaccumulation of the chemicals from the soil and groundwater. Plants have been used for this process, termed phytoremediation, to amend problems in agricultural soil and for removal of environmental pollutants. This method of bioremediation can be accomplished through removal, sequestration, or degradation of contaminants by plants. Aquatic plants have been used to remove contaminants from bodies



**Bioremediation pond for soil contaminated by crude oil beside an oil well in the Amazon.** (© Morley Read / Alamy)

of water. These plants must be capable of growing in contaminated soil or water and must be able to survive with the chemicals accumulated in their living tissues. Some plants are able to process or degrade certain chemicals. Both vegetation and earthworms have been used for mitigation of pollutants, but another agent that has been utilized for this process is bacteria.

Bioremediation consists of a number of processes for remediating contaminated soils and groundwater based on the use of microorganisms to convert contaminants to less hazardous substances, termed biotransformation. Most commercial bioremediation processes are intended to convert organic substances to carbon dioxide ( $\text{CO}_2$ ) and water, although processes for addressing metals are under development. Many bacteria ubiquitously found in soils and groundwater are able to biodegrade a range of organic compounds. Compounds found in nature, and ones similar to those, such as petroleum hydrocarbons, are most readily biodegraded by these bacteria. Bioremediation of chlorinated solvents, polychlorinated biphenyl (PCBs), pesticides, and many munitions compounds, while of great interest, are more difficult and have thus been much slower to reach commercialization.

In most bioremediation processes the bacteria use the contaminant as a food and energy source and thus survive and grow in numbers at the expense of the contaminant. In order to grow new cells, bacteria, like other biological species, require numerous minerals as well as carbon sources. These minerals are typically present in sufficient amounts except for phosphorus (P) and nitrogen (N), which are commonly added during bioremediation. If contaminant molecules are to be

transformed, species called electron acceptors must also be present. By far the most commonly used electron acceptor is oxygen. Other electron acceptors include nitrate ( $\text{NO}_3^-$ ), sulfate ( $\text{SO}_4^{2-}$ ), carbon dioxide, and iron (Fe). Processes that use oxygen are called aerobic biodegradation. Processes that use other electron acceptors are commonly lumped together as anaerobic biodegradation.

The vast majority of commercial bioremediation processes use aerobic biodegradation and thus include some method for providing oxygen. The amount of oxygen that must be provided depends not only on the mass of contaminant present but also on the extent of conversion of the contaminants to carbon dioxide and water, other sources of oxygen, and the extent to which the contaminants are physically removed from the soils or groundwater. Typically, designs are based on adding two to three pounds of oxygen for each pound of biodegradable contaminant.

The processes generally include the addition of nutrient (nitrogen and phosphorus) sources. The amount of nitrogen and phosphorus that must be provided is quite variable and frequently debated. In general, this amount is less than the 100:10:1 ratio of carbon to nitrogen to phosphorus of average cell compositions. It is also important to maintain the soil or groundwater pH near neutral (pH 6–8.5), moisture levels at or above 50 percent of field capacity, and temperatures between 39°F (4°C) and 95°F (35°C), preferably between 68°F (20°C) and 86°F (30°C).

Bioremediation can be applied in situ and ex situ by several methods. Each of these processes is basically an engineering solution to providing oxygen (or alternate electron acceptors) and possibly, nutrients to the contaminated soils, which already contain the bacteria. The addition of other bacteria is not typically needed or beneficial.

In situ processes have the advantage of causing minimal disruption to the site and can be used to address contamination under existing structures. In situ bioremediation to remediate aquifers contaminated with petroleum hydrocarbons, such as gasoline, was pioneered in the 1970s and early 1980s by Richard L. Raymond and coworkers. These systems used groundwater recovery wells to capture contaminated water, which was treated at the surface and reinjected after amendment with nutrients and oxygen. The nutrients consisted of ammonium chloride ( $\text{NH}_4\text{Cl}$ ) and phosphate salts and sometimes contained magnesium (Mg), manganese (Mn), and iron salts. Oxygen

was introduced by sparging (bubbling) air into the reinjection water. As the injected water swept through the aquifer, oxygen and nutrients were carried to the contaminated soils and groundwater where the indigenous bacteria converted the hydrocarbons to new cell material, carbon dioxide, and water. Variations of this technology include the use of hydrogen peroxide ( $H_2O_2$ ) as a source of oxygen and direct injection of air into the aquifer.

Bioremediation of soils located between the ground surface and the water table is most commonly practiced through bioventing. In this method, oxygen is introduced into the contaminated soils by either injecting air or extracting air from wells. The systems used are virtually the same as for vapor extraction. The major difference is in mode of operation and in the fact that nutrients are sometimes added by percolating nutrient-amended water through the soil from the ground surface or buried horizontal pipes. Systems designed for bioremediation operate at low air flow rates to replace oxygen consumed during biodegradation and to minimize physical removal of volatile contaminants.

Bioremediation can be applied to excavated soils by landfarming, soil cell techniques, or in soil slurries. The simplest method is landfarming. In this method soils are spread to a depth of 12–18 inches (30–46 cm). Nutrients, usually commercial fertilizers with high nitrogen and low phosphorous content, are added periodically to the soils, which are tilled or plowed frequently. In most instances, the treatment area is prepared by grading, laying down an impervious layer (clay or a synthetic liner), and adding a six-inch (15.2-cm) layer of clean soil or sand. Provisions for treating rainwater runoff are typically required. The frequent tilling and plowing breaks up soil clumps and exposes the soils and thus bacteria to air. This method is more suitable for treating high-silt and high-clay soils than are most of the other methods. It is not generally appropriate for soils contaminated with volatile contaminants such as gasoline, because vapors cannot be controlled unless the process is conducted within a closed structure.

Excavated soils can also be treated in cells or piles. A synthetic liner is placed on a graded area and covered with sand or gravel to permit collection of runoff water. The sands or gravel are covered with a permeable fabric and nutrient-amended soils are added. Slotted PVC pipe is added as the pile is built. The soils are covered with a synthetic liner and the PVC pipes are connected to a blower. Air is slowly extracted from the soils and, if necessary, treated before being discharged to the atmosphere. This method requires

less room than landfarming and less maintenance during operations, and it can be used to treat volatile contaminants because the vapors can be controlled.

Excavated soils can also be treated in soil/water slurries in either commercial reactors or in impoundments or lagoons. Soils are separated from oversize materials and mixed with water, nutrients are added, and the slurry is aerated to provide oxygen. In some cases additional sources of bacteria and/or surfactants are added. These systems are usually capable of attaining more rapid rates of biodegradation than other systems but have limited throughput.

Selection and design of a particular bioremediation method requires that the site be carefully investigated to define the lateral and horizontal extent of contamination including the total mass of biodegradable substances. Understanding the soil types and distribution and the site hydrogeology is as important as identifying the contaminants and their distribution in both soils and groundwater. Designing bioremediation systems requires the integration of microbiology, chemistry, hydrogeology, and engineering.

Bioremediation is generally viewed favorably by regulatory agencies and is actively supported by the U.S. Environmental Protection Agency (EPA). The mostly favorable publicity and the perception of bioremediation as a natural process has led to greater acceptance by the public compared to other technologies, such as incineration. It is expected that the use of bioremediation to treat soils and groundwater contaminated with petroleum hydrocarbons and other readily biodegradable compounds will continue to grow. Continued improvements in the design and engineering of bioremediation systems will result from the expanding use of bioremediation in a competitive market. It is anticipated that processes for treating the more recalcitrant organic compounds and metals will become commercial through greater understanding of microbiology and specific developments in the isolation of special bacteria and genetic engineering.

*See also* Bioventing; Groundwater; Microbes (microorganisms).

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Robert D. Norris

## Biosequence

Biosequence refers to the sequence of soils that contain distinctly different soil horizons (a horizon is a layer in the land that differs from the layers above and below it) because of the influence that vegetation had on the soils during their development. A typical biosequence would be the prairie soils in a dry environment, oak-savannah soils as a transition zone, and forested soils in a wetter environment. Prairie soils have dark, thick surface horizons while forested soils have a thin, dark surface with a light-colored zone below.

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## Biosphere

The biosphere is the largest organic community on Earth. It is a terrestrial envelope of life, or the total global biomass of living matter. The biosphere incorporates every individual organism and species on the face of the earth.

*Bios* is the Greek word for life; "sphere" is from the Latin *sphaera*, which means essentially the "circuit or range of action, knowledge or influence," the "place or scene of action or existence," the "natural, normal or proper place."

Life began in a very different environment than found today: the atmosphere, for example, was mostly methane, ammonia, and carbon dioxide. As life evolved, it changed the atmosphere (and other abiotic components of Earth's surface), transforming it into the present oxygen-rich mixture of gases vital to life as it now exists. And those life-forms maintain that critical mixture in a complex, fluctuating system of global cycles.

The diversity and complexity of the biosphere is staggering. There are about 1.5 million known species. However, conservative estimates of the actual number of species range up to 5 million. Less conservative estimates that may more accurately reflect reality range up to a possible 100 million species.

Many species might be extinguished before they are even known. Human activities, especially destruction of habitat, are increasing the normal rate of species extinction. The diversity of the biosphere may be diminishing rapidly.

Taxonomically, the biosphere is organized into five kingdoms: monera, protista, fungi, animalia, and plantae, and a multitude of subsets of these, including the multiple millions of species mentioned above. Of the 1,200 to 1,800 billion tons dry weight of the biosphere, most of it—some 99 percent—is plant material. All the life-forms in the other four taxons, including animals and obviously the five billion-plus humans alive today, are part of that less than 1 percent.

The biosphere can also be subdivided into biomes: a biome incorporates a set of biotic communities within a particular region exposed to similar climatic conditions and which have dominant species with similar life cycles, adaptations, and structures. Deserts, grasslands, temperate deciduous forests, coniferous forests, tundra, tropical rain forests, tropical seasonal forests, freshwater biomes, estuaries, wetlands, and marine biomes are examples of specific terrestrial or aquatic biomes.

Another indication of the complexity of the biosphere is a measure of the processes that take place within it, especially the essential processes of photosynthesis and respiration. The sheer size of the biosphere is indicated by the amount of biomass present. The net primary production of Earth's biosphere has been estimated as  $224.5 \times 10^{15}$  grams.

The biosphere interacts in constant, intricate ways with other global systems: the atmosphere, lithosphere, hydrosphere, and pedosphere. Maintenance of life in the biosphere depends on this complex network of biological-biological, physical-physical, and biological-physical interactions. All the interactions are mediated by an equally complex system of positive and negative feedbacks—and the total makes up the dynamics of the whole system. Since each and all interpenetrate and react on each other constantly, outlining a global ecology is a major challenge.

Normally biospheric dynamics are in a rough balance. The carbon cycle, for example, is usually balanced between production and decomposition, the familiar equation of photosynthesis and respiration. As Piel notes: “The two planetary cycles of photosynthesis and aerobic metabolism in the biomass not only secure renewal of the biomass but also secure the steady-state mixture of gases in the atmosphere. Thereby, these life processes mediate the inflow and outflow of solar energy through the system; they screen out lethal radiation, and they keep the temperature of the planet in the narrow range compatible with life.” But human activities, especially the combustion of fossil fuels, contribute to increases in carbon dioxide, distorting the balance and in the process changing other global relationships such as the nature of incoming and outgoing radiation and differentials in temperature between poles and tropics.

Humans are the dominant species in the biosphere. The transformation of radiant energy into useable biological energy is increasingly being diverted by humans to their own use. A common estimate is that humans are now diverting huge amounts of the net primary production of the globe to their own use: perhaps 40 percent of terrestrial production and close to 25 percent of all production is either utilized or wasted through human activity. Net primary production is defined as the amount of energy left after subtracting the respiration of primary producers, or plants, from the total amount of energy. It is the total amount of “food” available from the process of photosynthesis—the amount of biomass available to feed organisms, such as humans, that do not acquire food through photosynthesis.

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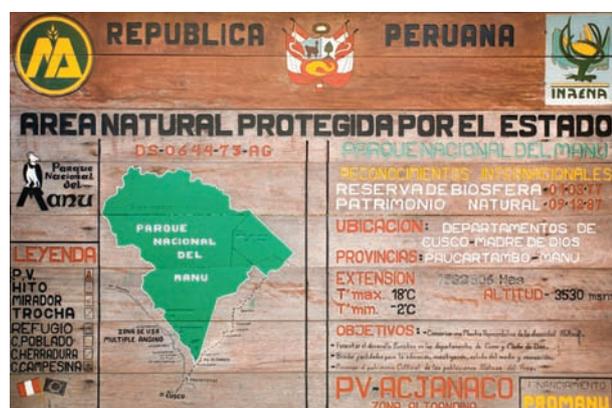
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Gerald L. Young

## Biosphere reserve

A biosphere reserve is an area of land recognized and preserved for its ecological significance. Ideally biosphere reserves contain undisturbed, natural environments that represent some of the world’s important ecological systems and communities. Biosphere reserves are established in the interest of preserving the genetic diversity of these ecological zones, supporting research and education, and aiding local, sustainable development. Official declaration and international recognition of biosphere reserve status is intended to protect ecologically significant areas from development and destruction. Since 1976 an international network of biosphere reserves has developed, with the sanction of the United Nations. Each biosphere reserve is proposed, reviewed, and established by a national biosphere reserve commission in the home country under United Nations guidelines. Communication among members of the international biosphere network helps reserve managers share data and compare management strategies and problems.

The idea of biosphere reserves first gained international recognition in 1973, when the United Nations Educational and Scientific Organization’s (UNESCO) Man and the Biosphere Program (MAB) proposed



Placard in Manu National Park, Peru. (© Aivar Mikko / Alamy)

that a worldwide effort be made to preserve islands of the world's living resources from logging, mining, urbanization, and other environmentally destructive human activities. The term derives from the ecological word biosphere, which refers to the zone of air, land, and water at the surface of the earth that is occupied by living organisms. Growing concern over the survival of individual species in the 1970s and 1980s led increasingly to the recognition that endangered species could not be preserved in isolation. Rather, entire ecosystems, extensive communities of interdependent animals and plants, are needed for threatened species to survive. Another idea supporting the biosphere reserve concept was that of genetic diversity. Generally ecological systems and communities remain healthier and stronger if the diversity of resident species is high. An alarming rise in species extinctions in recent decades, closely linked to rapid natural resources consumption, led to an interest in genetic diversity for its own sake. Concern for such ecological principles as these led to UNESCO's proposal that international attention be given to preserving Earth's ecological systems, not just individual species.

The first biosphere reserves were established in 1976. In that year, eight countries designated a total of fifty-nine biosphere reserves representing ecosystems from tropical rain forest to temperate sea coast. The following year twenty-two more countries added another seventy-two reserves to the United Nations list, and by 2002 there was a network of 408 reserves established in ninety-four different countries. As of the most recent MAB meeting in May 2009, there are 553 reserves in 107 countries, collectively referred to as the World Network of Biosphere Reserves.

Like national parks, wildlife refuges, and other nature preserves, the first biosphere reserves aimed to protect the natural environment from surrounding populations, as well as from urban or international exploitation. To a great extent this idea followed the model of United States national parks, whose resident populations were removed so that parks could approximate pristine, undisturbed natural environments.

But in smaller, poorer, or more crowded countries than the United States, this model of the depopulated reserve made little sense. Around most of the world's nature preserves, well-established populations—often indigenous or tribal groups—have lived with and among the area's flora and fauna for generations or centuries. In many cases, these groups exploit local resources—gathering nuts, collecting firewood, growing food—without damaging their environment. Sometimes, contrary to initial expectations, the activity of indigenous peoples proves essential in maintaining

habitat and species diversity in preserves. Furthermore, local residents often possess an extensive and rare understanding of plant habitat and animal behavior, and their skills in using resources are both valuable and irreplaceable. At the very least, the cooperation and support of local populations is essential for the survival of parks in crowded or resource-poor countries. For these reasons, the additional objectives of local cooperation, education, and sustainable economic development were soon added to initial biosphere reserve goals of biological preservation and scientific research. Attention to humanitarian interests and economic development concerns today sets apart the biosphere reserve network from other types of nature preserves, which often garner resentment from local populations who feel excluded and abandoned when national parks are established. United Nations MAB guidelines encourage local participation in management and development of biosphere reserves, as well as in educational programs. Ideally, indigenous groups help administer reserve programs rather than being passive recipients of outside assistance or management.

In an attempt to mesh the diverse objectives of biosphere reserves, the MAB program has outlined a theoretical reserve model consisting of three zones, or concentric rings, with varying degrees of use. The innermost zone, the legally protected core, should be natural or minimally disturbed, essentially without human presence or activity. Ideally this is where the most diverse plant and animal communities live and where natural ecosystem functions persist without human intrusion. Surrounding the core is a buffer zone, mainly undisturbed but containing research sites, monitoring stations, and habitat rehabilitation experiments. The outermost ring of the biosphere reserve model is the transition zone. Here there may be sparse settlement, areas of traditional use activities, and tourist facilities. The development and maintenance of these areas involves programs for training and education as well as monitoring and ongoing research. In 1991, UNESCO launched a program termed Biosphere Reserve Integrated Monitoring (BRIM) to collect and incorporate data and results from “abiotic, biodiversity, socio-economic, and integrated monitoring” for the World Network of Biosphere Reserves.

Many biosphere reserves have been established in previously existing national parks or preserves. This is especially common in large or wealthy countries where well-established park systems existed before the biosphere reserve idea was conceived. In 1991 most of the United States' forty-seven biosphere reserves lay in national parks or wildlife sanctuaries. In countries with few such preserves, nomination for United Nations

biosphere reserve status can sometimes attract international assistance and funding. In some instances debt for nature swaps have aided biosphere reserve establishment. In such an exchange, international conservation organizations purchase part of a country's national debt for a portion of its face value, and in exchange that country agrees to preserve an ecologically valuable region from destruction. Bolivia's Beni Biosphere Reserve came about this way in 1987 when Conservation International, a Washington-based organization, paid \$100,000 to Citicorp, an international lending institution. In exchange, Citicorp forgave \$650,000 in Bolivian debt, loans the bank seemed unlikely to ever recover, and Bolivia agreed to set aside a valuable tropical mahogany forest. This process has also produced other reserves, including Costa Rica's La Amistad, and Ecuador's Yasuni and Galapagos Biosphere Reserves.

In practice, biosphere reserves function well only if they have adequate funding and strong support from national leaders, legislatures, and institutions. Without legal protection and long-term support from the government and its institutions, reserves have no real defense against development interests.

National parks can provide a convenient institutional niche, defended by national laws and public policing agencies, for biosphere reserves. Pre-existing wildlife preserves and game sanctuaries likewise ensure legal and institutional support. Infrastructure—management facilities, access roads, research stations, and trained wardens—is usually already available when biosphere reserves are established in or adjacent to ready-made preserves.

Funding is also more readily available when an established national park or game preserve, with a pre-existing operating budget, provides space for a biosphere reserve. With intense competition from commercial loggers, miners, and developers, money is essential for reserve survival. Especially in poorer countries, international experience increasingly shows that unless there is a reliable budget for management and education, nearby residents do not learn cooperative reserve management, nor do they necessarily support the reserve's presence. Without funding for policing and legal defense, development pressures can easily continue to threaten biosphere reserves. Logging, clearing, and destruction often continue despite an international agreement on paper that resource extraction should cease. Turning parks into biosphere reserves may not always be a good idea. National park administrators in some less wealthy countries fear that biosphere reserve guidelines, with their compromising objectives and strong humanitarian interests, may weaken the mandate of national parks and wildlife sanctuaries set aside

to protect endangered species from population pressures and development. In some cases, they argue, there exists a legitimate need to exclude people if rare species such as tigers or rhinoceroses are to survive.

Because of the expense and institutional difficulties of establishing and maintaining biosphere reserves, about two-thirds of the world's reserves exist in the wealthy and highly developed nations of North America and Europe. Poorer countries of Africa, Asia, and South America have some of the most important remaining intact ecosystems, but wealthy countries can more easily afford to allocate the necessary space and money. Developed countries also tend to have more established administrative and protective structures for biosphere reserves and other sanctuaries. An increasing number of developing countries are working to establish biosphere reserves, though. A significant incentive, aside from national pride in indigenous species, is the international recognition given to countries with biosphere reserves. Possession of these reserves grants smaller and less wealthy countries some of the same status as that of more powerful countries such as the United States, Germany, and Russia.

Some difficult issues surround the biosphere reserve movement. One question that arises is whether reserves are chosen for reasons of biological importance or for economic and political convenience. In many cases national biosphere reserve committees overlook critical forests or endangered habitats because logging and mining companies retain strong influence over national policy makers. Another problem is that in and around many reserves, residents are not yet entirely convinced, with some reason, that management goals mesh with local goals. In theory sustainable development methods and education will continue to encourage communication, but cooperation can take a long time to develop. Among reserve managers themselves, great debate continues over just how much human interference is appropriate, acceptable, or perhaps necessary in a place ideally free of human activity. Despite these logistical and theoretical problems, the idea behind biosphere reserves seems a valid one, and the inclusiveness of biosphere planning, both biological and social, is revolutionary.

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Mary Ann Cunningham

Biota see **Biotic community**.

## Biotechnology

Few developments in science have had the potential for such a profound impact on research, technology, and society in general as has biotechnology. Yet authorities do not agree on a single definition of this term. Sometimes writers have limited the term to techniques used to modify living organisms and, in some instances, the creation of entirely new kinds of organisms.

In most cases, however, a broader, more general definition is used. The Industrial Biotechnology Association, for example, uses the term to refer to any “development of products by a biological process.” These products may indeed be organisms or they may be cells, components of cells, or individual and specific chemicals. A more detailed definition is that of the European Federation of Biotechnology, which defines biotechnology as the “integrated use of biochemistry, microbiology, and engineering sciences in order to achieve technological (industrial) application



**Researcher examining a flask containing a culture of the probiotic bacteria *Lactobacillus plantarum*. It is claimed that this bacterium has a beneficial effect on the stomach, and also reduces the risk of cardiovascular disease. (Thierry Berrod, Mona Lisa Production / Photo Researchers, Inc.)**

of the capabilities of microorganisms, cultured tissue cells, and parts thereof.” Biotechnology is an interdisciplinary field incorporating biochemistry, information technology, molecular biology, microbiology, pathology, embryology, and cell biology with other fields being incorporated over time as technology advances.

By almost any definition, biotechnology has been used by humans for thousands of years, long before modern science existed. Some of the oldest manufacturing processes known to humankind make use of biotechnology. Beer, wine, and breadmaking, for example, all occur because of the process of fermentation. During fermentation, microorganisms such as yeasts, molds, and bacteria are mixed with natural products, which they use as food. In the case of wine-making, for example, yeasts live on the sugars found in some type of fruit juice, most commonly, grape juice. They digest those sugars and produce two new products, alcohol and carbon dioxide (CO<sub>2</sub>).

The alcoholic beverages produced by this process have been a mainstay of human civilization for untold centuries. In breadmaking, the products of fermentation are responsible for the odor (the alcohol) and texture (the carbon dioxide) of freshly-baked bread. Cheese and yogurt are two other products formed when microorganisms act on a natural product, in this case milk—changing its color, odor, texture, and taste.

Biotechnology has long been used in a variety of industrial processes. As early as the seventeenth century, bacteria were used to remove copper (Cu) from its ores. Around 1910, scientists found that bacteria could be used to decompose organic matter in sewage, thus providing a mechanism for dealing efficiently with such materials in solid waste. A few years later, a way was found to use microorganisms to produce glycerol (C<sub>3</sub>H<sub>5</sub>[OH]<sub>3</sub>) synthetically. That technique soon became very important commercially, since glycerol is used in the manufacturing of explosives and World War I was in the beginning stages.

Not all forms of biotechnology depend on microorganisms. Hybridization is an example. Farmers long ago learned that they could control the types of animals bred by carefully selecting the parents. In some cases, they actually created entirely new animal forms that do not occur in nature. The mule, a hybrid of horse and donkey, is such an animal.

Hybridization has also been used in agriculture for centuries. Farmers found that they could produce food plants with any number of special qualities by carefully selecting the seeds they plant and by controlling growing conditions. As a result of this kind of

process, the 2–3 inch (5.1–7.6 centimeter) vegetable known as maize has evolved over the years into the 12-inch (thirty centimeter), robust product termed corn. Indeed, there is hardly a fruit or vegetable in our diet today that has not been altered by long decades of hybridization.

Until the late nineteenth century, hybridization was largely a trial-and-error process. Then the work of Austrian botanist Gregor Mendel (1822–1884) started to become known. Mendel's research on the transmission of hereditary characteristics soon gave agriculturists a solid factual basis on which to conduct future experiments in cross-breeding.

Modern principles of hybridization have made possible a greatly expanded use of biotechnology in agriculture and many other areas. One of the greatest successes of the science has been in the development of new food crops that can be grown in a variety of less-than-optimal conditions. The dramatic increase in harvests made possible by these developments has become known as the agricultural revolution or green revolution.

Three decades after the green revolution first changed agriculture in many parts of the world, a number of problems with its techniques have become apparent. The agricultural revolution forced a worldwide shift from subsistence farming to cash farming, and many small farmers in developing countries lack the resources to negotiate this shift. A farmer must make significant financial investments in seed, agricultural chemicals such as fertilizers and pesticides, and machinery to make use of new farming techniques. In developing countries, peasants do not have and cannot borrow the necessary capital. The seed, chemicals, machinery, and oil to operate the equipment must commonly be imported, adding to already crippling foreign debts. In addition, the new techniques often have harmful effects on the environment. In spite of problems such as these, however, the green revolution has clearly made an important contribution to the lessening of world hunger.

Modern methods of hybridization have application in many fields besides agriculture. For example, scientists are now using controlled breeding techniques and other methods from biotechnology to ensure the survival of species that are threatened or endangered.

The nature of biotechnology has undergone a dramatic change in the last half century. That change has come about with the discovery of the role of deoxyribonucleic acid (DNA) in living organisms. DNA is a complex molecule consisting of strands of connected nucleotides (nitrogenous base, five-carbon

sugar, phosphate) arranged in a particular order. Two strands of DNA are connected by chemical bonds and run in antiparallel (opposite) directions. The many potential sequences of nucleotides allow DNA to store a large amount of genetic information. That information provides cells with the instructions they need to carry out all the functions they have to perform in a living organism. It also provides a mechanism by which that information is transmitted efficiently from one generation to the next.

As scientists learned more about the structure of the DNA molecule, they discovered precisely and in chemical terms how genetic information is stored and transmitted. With that knowledge, they have also developed the ability to modify DNA, creating new or modified instructions that direct cells to perform new and unusual functions. The process of DNA modification has come to be known as genetic engineering. Since genetic engineering normally involves combining two different DNA molecules, it is also referred to as recombinant DNA research. The sequencing of entire organism genomes (the full genetic content of an organism) has advanced the field of biotechnology, allowing scientists to uncover the functions of genes and their interactions as well as enabling researchers to manipulate single or multiple genes for a specific end purpose. The sequencing of the human genome was completed in 2003. The human genome sequence project and advancements in biotechnology has made gene therapy, which is the process of adding or replacing abnormally functioning genes with normally functioning genes to treat cancer and diseases such as AIDS.

There is little doubt that genetic engineering is the best known form of biotechnology today. Indeed, it is easy to confuse the two terms. However, the two terms are different in the respect that genetic engineering is only one type of biotechnology.

In theory, the steps involved in genetic engineering are relatively simple. First, scientists decide what kind of changes they want to make in a specific DNA molecule. They might, in some cases, want to alter a human DNA molecule to correct some error that results in a disease such as diabetes. In other cases, a researcher might want to add instructions to a DNA molecule that it does not normally carry. For example, a scientist might want to include instructions for the manufacture of a chemical such as insulin in the DNA of bacteria that normally lack the ability to make insulin.

Second, scientists find a way to modify existing DNA to correct errors or add new information. Such methods are now well developed. In one approach,

enzymes (termed restriction enzymes) that recognize certain specific parts of a DNA molecule are used to cut open the molecule and then insert the new portion.

Third, scientists look for a way to insert the correct DNA molecule into the organisms in which it is to function. Once inside the organism, the new DNA molecule may provide corrected instructions to cells in humans (to avoid genetic disorders), in bacteria (resulting in the production of new chemicals), or in other types of cells for specific end purposes. *Agrobacterium* species are tumor-inducing bacteria that are used to transfer genetic information into plants. These bacteria are modified to remove the tumor-causing genetic component, which is replaced with the desired gene for transfer. Genetically modified organisms (GMOs) are produced through this process and may have improved growth, altered colors, or disease resistance.

Accomplishing these steps in practice is not always easy. One problem is ensuring the expression of an altered DNA molecule in the new host cells. Even if the molecule is able to enter a cell, it may not be able to operate and function (initiate expression) as planned. It is now possible for scientists to equip the altered DNA with the genetic information and mechanism necessary for expression. The expression of this DNA can be occurring all of the time in all parts of the organism or it can be initiated at certain times or under specific conditions.

In spite of problems, genetic engineering has already resulted in a number of impressive accomplishments. Dozens of products that were once available only from natural sources and in limited amounts are now manufactured in abundance by genetically engineered microorganisms at relatively low cost. Insulin, human growth hormone, tissue plasminogen activator, and alpha interferon are examples. In addition, the first trials with the alteration of human DNA to cure a genetic disorder were begun in 1991.

The prospects offered by genetic engineering have not been greeted with unanimous enthusiasm by everyone. Many people believe that the hope of curing or avoiding genetic disorders is a positive advance. But they question the wisdom of making genetic changes that are not related to life-threatening disorders. Some groups argue that these types of procedures should not be used for helping short children become taller or for making new kinds of tomatoes. Indeed, there are some critics who oppose *all* forms of genetic engineering, arguing that humans never have the moral right to modify an organism for any reason. As the technology available for genetic engineering continues to improve, debates over the use of these techniques in practical

settings are almost certainly going to continue—and to escalate—in the future.

As the field of genetic engineering progresses, so do other forms of biotechnology. The discovery of monoclonal antibodies is an example. Monoclonal antibodies are cells formed by the combination of tumor cells with animal cells that make one and only one kind of antibody. When these two kinds of cells are fused, they result in a cell that reproduces almost infinitely and that recognizes one specific kind of antigen. Such cells are extremely valuable in a vast array of medical, biological, and industrial applications, including the diagnosis and treatment of disease, the separation and purification of proteins, and the monitoring of pregnancy.

Biotechnology became a point of contention in 1992 during planning for the United Nations Earth Summit in Rio de Janeiro. In draft versions of the treaty on biodiversity, developing nations insisted on provisions that would force biotechnology companies in the developed world to pay fees to developing nations for the use of their genetic resources (the plants and animals growing within their boundaries). Companies had free access to most of these raw materials used in the manufacture of new drugs and crop varieties. U.S. President George Bush (1924–) argued that this provision would place an unfair burden on biotechnology companies in the United States, and refused to sign the biodiversity treaty that contained this clause. The Clinton administration subsequently endorsed the provisions of the biodiversity treaty that was signed by Madeleine Albright (1937–), U.S. ambassador to the United Nations, on June 4, 1993.

Another controversial topic regarding the use of biotechnology is stem cell research. Stem cells are undifferentiated cells and can be derived from adults and embryos. Researchers assert that embryonic stem cells have the potential to treat many human diseases, such as cancer. Opponents to stem cell research argue that embryos should not be sacrificed for this purpose. The Obama administration signed legislation in 2009 allowing broader use of federal funds to be used for embryonic stem cell research.

In what scientists broadly describe as a fundamental and far-reaching advance in synthetic biology, in May 2010, scientists announced the artificial creation of a genome capable of replacing the natural genome in a living cell.

Researchers from the J. Craig Venter Institute, located in Rockville, Maryland, recreated the genome of *Mycoplasma mycoides* (*M. mycoides*) bacteria and inserted the artificially created genome into a different

species of bacteria, *Mycoplasma capricolum* (*M. capricolum* cells). Following the switch in genetic material, the transformed bacterial cell then began to function as though it were a native *Mycoplasma mycoides* bacterial cell.

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## Bioterrorism

Bioterrorism refers to the use of lethal biological agents to wage terror against a civilian population. It differs from biological warfare in that it also thrives on public fear, which can demoralize a population. An example of bioterrorism is provided by the anthrax outbreak which occurred during September-November 2001 in the United States. Anthrax spores intentionally spread in the mail distribution system caused five deaths and a total of twenty-two infections. The Centers for Disease Control (CDC) classifies bioterror agents into three categories:

- Category A Diseases/Agents can be easily disseminated or transmitted from person to person and that can result in high mortality rates while causing public panic and social disruption. Anthrax, botulism,



Electron micrograph of *Bacillus anthracis*, the bacteria that causes anthrax. (Centers for Disease Control)

plague, smallpox, tularemia, and viral hemorrhagic fever viruses belong to this category.

- Category B Diseases/Agents are moderately easy to disseminate and that can result in low mortality rates. Brucellosis, food and water safety threats, melioidosis, psittacosis, staphylococcal enterotoxin B, and typhus belong to this category.
- Category C Diseases/Agents include emerging pathogens that could be engineered for mass dissemination in the future because of availability or ease of production and dissemination and that have potential for high mortality rates.

The anthrax attacks of 2001 were very limited in scope compared to the potential damage that could result from large-scale bioterrorism. A large-scale bioterrorism attack on the United States could threaten vital national security interests. Massive civilian casualties, a breakdown in essential services, violation of democratic processes, civil disorder, and a loss of confidence in government could compromise national security, according to a report prepared by four non-profit analytical groups, including the Center for Strategic and International Studies and the John Hopkins Center for Civilian Biodefense Studies.

Probably the first sign of a bioterrorism attack is when people infected during the attack start developing symptoms and showing up in hospital emergency departments, urgent care centers, and doctors' offices. By this time, people infected in the initial attack will have begun spreading it to others.

An added concern is that most physicians have never treated a case of a bioterrorism agent such as smallpox or Ebola. This is likely to cause a delay in diagnosis, further promoting the spread of the contagious agent. For example, based on past smallpox

history, it is estimated that each person infected during the initial attack will infect another ten to twelve persons. In the case of smallpox, only a few virus particles are needed to cause infection. One ounce of the smallpox virus could infect 3,000 persons if distributed through an aerosol attack, according to William Patrick (1927–), senior scientist in the United States biological weapons program before its official termination in 1969, in a 2001 *Washington Post Magazine* interview. Given these numbers, a terrorist with enough smallpox virus to fill a soda can could potentially infect 36,000 people in the initial attack who could then infect another 360,000–432,000. Of these, an estimated 30 percent or 118,800–140,400 would likely die.

Using disease as a weapon is not a new idea. It goes back at least hundreds of years and possibly much further. One account of the beginning of the great plague epidemic which occurred in Europe in the fourteenth century and killed a third of the population states that it started with an act of bioterrorism, as reported by A. Daniels in *National Review*. The Tartars were attacking a Genoan trading post on the Crimean coast in 1346 when the plague broke out among them. Turning the situation into a weapon, the Tartars catapulted the dead and diseased bodies over the trading post walls. The Genoans soon developed the deadly disease and took it back with them to Genoa, where it soon engulfed all of Europe. Another example from early North American history is provided by the British soldiers who deliberately gave smallpox-infected blankets to Native Americans in the 1700s.

The Hague Conventions of 1899 and 1907 included clauses outlawing the deliberate spread of a deadly disease. However, during World War I, German soldiers attempted to infect sheep destined for Russia with anthrax. After the war, forty members of the League of Nations, the precursor of the United Nations, outlawed biological weapons. But many countries continued biological warfare research. During World War II, the Japanese mass-produced a number of deadly biological agents, including anthrax, typhoid, and plague. They infected water supplies in China with typhoid, killing thousands, including 1,700 Japanese soldiers. Bioterrorism entered popular literature more than a century ago when British science fiction writer H. G. Wells (1866–1946) wrote “The Stolen Bacillus”, a novel in which a terrorist tries to infect the London water supply with cholera, an acute and often deadly disease.

Throughout the Cold War era, several nations, including the United States and Soviet Union, developed sophisticated facilities to produce large amounts of biological agents to be used as weapons. Most

nations have renounced the manufacture, possession, or use of biological weapons. However, a few rogue nations, including Iran, Iraq, and North Korea, still have active biological warfare programs according to the United States military. Many experts in the field believe that terrorists could obtain deadly biological agents from these rogue nations, or from other terrorist or criminal groups active in nations of the former Soviet Union.

Among the Category A Diseases/Agents, six highly lethal biological agents are most likely to be used by terrorists, according to the CDC. Depending on the biological agent, disease could be spread through the air, or by contaminating the food or water supply. Scientists are conducting research to develop methods of detection for bioterrorist attacks. Methods of real-time outbreak detection of diseases are referred to as biosurveillance. Researchers at the University of Pittsburgh Center for Biomedical Informatics developed an automated detection system in 1999. The system, Real-Time Outbreak Disease Surveillance (RODS), is able to access and collect data from hospitals, clinic, laboratories, and pharmacies for early detection of a potential bioterrorism attack. U.S. President George W. Bush issued a proposal to provide biosurveillance detection systems for all fifty states as part of the Public Health Security and Bioterrorism Preparedness and Response act of 2002. Other software programs have been developed for advanced detection such as Electronic Surveillance System Early Notification for Community-Based Epidemics (ESSENCE), Early Aberration Response System (EARS), Biowatch, and Bioshield. Biosense is a surveillance system used by the U.S. Center for Disease control. As of 2008, about more than one hundred sites in the U.S. were employing at least one method of biosurveillance.

- Anthrax, caused by *Bacillus anthracis*, is an acute infectious disease that most commonly occurs in hooved animals but can also infect humans. Initial symptoms are flu-like and can occur up to several weeks after exposure. Treatment with antibiotics after exposure but before symptoms develop is usually successful in preventing infection. There is an anthrax vaccine used by the military but it is not available for civilian use. About 90 percent of people who are infected die.
- Botulism is a muscle-paralyzing disease caused by a toxin produced by a bacterium termed *Clostridium botulinum*. The botulinum toxin is the single most poisonous substance known, according to the Center for Civilian Biodefense Strategies. It is a major bioterrorism threat because of its extreme potency and

high rate of death after exposure. It is not contagious and would likely be used by terrorists to contaminate food or water supplies. Flu-like symptoms, along with difficulty speaking, seeing, or swallowing, usually occur 12–72 hours after exposure.

- Plague is a disease caused by *Yersinia pestis*, a bacterium found in rodents and their fleas in many areas around the world. When released into the air, the bacterium can survive for up to an hour. Of the three types of plague (pneumonic, bubonic, and septicemic), pneumonic is the one most likely to be used by terrorists since large stockpiles were developed by the United States and Soviet Union in the 1950s and 1960s. Symptoms include fever, headache, weakness, chest pain, and cough. Early treatment with antibiotics can reduce the risk of death.
- Smallpox is caused by the *variola major* virus and was eliminated from the world in 1977. However, the Soviet Union had large stockpiles of the virus in the 1980s and much of it may still be stored in the former Soviet republics and available to terrorists. Smallpox spreads directly from person to person and can be dispersed in the air. Also, the amount needed to cause infection is very small. Symptoms, including high fever, fatigue, and head and back aches, commonly develop in about twelve days. Flat, red skin lesions follow initial symptoms. Death occurs in about 30 percent of the cases. There is a vaccine against smallpox but routine vaccinations ended in 1972. The government has an emergency supply of about 15 million doses of the vaccine.
- Tularemia, an infectious disease caused by the bacterium *Francisella tularensis*, is usually found in animals but can also infect humans. It could be delivered in a terrorist attack through food, water, or air. Symptoms of tularemia include sudden fever, chills, headache, muscle ache, dry cough, weakness, and pneumonia. The disease can be treated with antibiotics if started early. A vaccine has been developed, but is not available to the general public.
- Exotic diseases, including viral hemorrhagic fevers, such as Ebola, and arenaviruses, such as the one causing Lassa fever, are also biological agents of interest to terrorists. The Ebola virus is one of the most lethal known, and easily spreads from person to person, with no vaccine or effective treatment presently known.

The possibility that bioterrorists may strike at food and water supplies is of serious concern to health and environmental officials. Such an attack initially could be perceived as unintentional food poisoning, which might delay recognition of the outbreak, and complicate identification of the contaminated food.

What many consider an act of bioterrorism by domestic terrorists occurred in The Dalles, Oregon, in 1984. Members of a religious cult contaminated restaurant salad bars with *Salmonella typhimurium*, a nonlethal bacterium that nonetheless infected 751 people. The incident was reportedly a trial run for a more extensive attack to disrupt local elections later that year.

“The United States food supply is increasingly characterized by centralized production and wide distribution of products,” according to a May 2002 article in *The Lancet*. The “Deliberate contamination of a commercial food product could cause an outbreak of disease, with many illnesses dispersed over wide geographical areas.” The article also stated that the anthrax letter attacks of 2001 have shown that even a small biological attack can produce considerable public nervousness and challenge the health care system.

*See also* Anthrax; Cholera; Ebola.

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639-3534, (888) 246-2675, [cdcresponse@ashastd.org](mailto:cdcresponse@ashastd.org),  
<http://www.bt.cdc.gov>

Center for the Study of Bioterrorism and Emergency  
 Infections—Saint Louis University, 3545 Lafayette,  
 Suite 300, St. Louis, MO, USA, 63104, [http://](http://bioterrorism.slu.edu)  
[bioterrorism.slu.edu](http://bioterrorism.slu.edu)

Texas Department of Health Bioterrorism Preparedness  
 Program (TDH), 1100 West 49th Street, Austin, TX,  
 USA, 78756, (512) 458-7676, (800) 705-8868, [http://](http://www.tdh.state.tx.us/bioterrorism/default.htm)  
[www.tdh.state.tx.us/bioterrorism/default.htm](http://www.tdh.state.tx.us/bioterrorism/default.htm)

Ken R. Wells

## Biotic community

A biotic community is a community in which all the species share a common environment. The members of a biotic community are usually divided into three major categories: producers, consumers, and decomposers, based on the organisms' nutritional habits. Producers (sometimes called autotrophs) include plants and some forms of bacteria that use solar or chemical energy to convert simple compounds into their food. Consumers (sometimes called heterotrophs) obtain the energy they need by eating living plants and animals, or dead plant and animal material (detritus). Primary consumers (herbivores) eat plants, while secondary consumers (carnivores) eat other consumers. Consumers that feed on dead plant and animal material are called detritivores. There are two classes of detritivores: detritus feeders and decomposers. Detritus feeders (e.g., crabs, termites, earthworms, vultures) consume dead organisms or organic wastes, while decomposers (fungi and bacteria) feed on dead plant material, converting it into simple inorganic compounds such as carbon dioxide, water, and ammonia. Decomposers are also an important food source for other consumers (e.g., worms and insects) living in the soil or water.

Biotic impoverishment see **Biodiversity**.

## Biotoxins

The term biotoxin refers to naturally occurring, poisonous agents that can cause illness or injury to humans, animals, and marine life. They may come from bacteria, fungi, algae, or virii.

Some of the more well-known bacterial biotoxins include *Bacillus anthracis* (anthrax), *Brucella melitensis* and *Brucella suis* (brucellosis), *Vibrio cholerae* (cholera), and *Yersinia pestis* (plague).

Diseases spread by viral biotoxins include encephalomyelitis, viral hemorrhagic fever (yellow fever, ebola-marburg, dengue fever), and variola major (smallpox).

Biotoxins may be distributed through wind-borne spores or through contaminated food or water. Some biological agents have also been aerosolized or deliberately introduced into food and water supplies for use as bioweapons.

Marine biotoxins are often responsible for large-scale fish kills and can cause severe illness in humans who consume tainted fish or shellfish. Symptoms of shellfish poisoning include diarrhea, stomach cramps, headache, nausea, vomiting, and in extreme cases neurotoxic effects including paralysis, seizures, and death. Common marine biotoxins include ciguatera fish poisoning (CFP) toxin and domoic acid. Harmful algae blooms (HAB) are sometimes called red tides or brown tides. Some HABs, such as *Pfiesteria piscicida*, can be sources of marine biotoxins that potentially harm marine life and humans.

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National Office for Marine Biotoxins and Harmful Algal Blooms; Woods Hole Oceanographic Institution, Biology Dept., MS #32, Woods Hole, MA, USA, 02543, (508) 289-2252, (508) 457-2180, [jkleindinst@whoi.edu](mailto:jkleindinst@whoi.edu), <http://www.redtide.whoi.edu/hab/>

Paula Anne Ford-Martin

## Bioventing

Bioventing is the process for treating environmental contaminants in the soils located between the ground surface and the water table by inducing aerobic biodegradation. Air is introduced into the contaminated soils, providing oxygen for native soil bacteria to use in the biodegradation of organic compounds. The process is typically accomplished by extracting and/or injecting

air from trenches or shallow wells that are screened within the unsaturated soils. The systems are similar to those used in vapor extraction. The main difference is that air extraction rates are low to minimize physical removal (stripping) of volatile organic compounds (VOCs), reducing the need for expensive treatment of the off-gases. The process may include the addition of nutrients such as common fertilizers, to provide nitrogen and phosphate for the bacteria. Bioventing is particularly attractive around buildings and actively used areas because it is relatively nonintrusive and results in minimal disturbance during installation and operation. The process is most suitable for petroleum hydrocarbon blends such as gasoline, jet fuel, and diesel oil, for petroleum distillates such as toluene, and for nonchlorinated solvents.

See also Biodegradable; Bioremediation; Vapor recovery system.

## BirdLife International

“From research ... to action. From birds ... to people.” So reads the cover of BirdLife International’s annual report. This statement perfectly describes the beliefs of BirdLife International, a group founded under the original name International Council for Bird Preservation in 1922 by well-known American and European bird enthusiasts for the conservation of birds and their habitats.

Under the leadership of Director and Chief Executive Dr. Marco Lambertini, the group works to protect endangered birds worldwide and to promote public awareness of their ecological importance. BirdLife International has grown from humble beginnings in England to a federation of over 300 member organizations representing approximately 2.5 million members over 100 countries and territories. This includes developing tropical countries where few, if any, conservation movements existed prior to BirdLife International. There is also a worldwide network of enthusiastic volunteers.

BirdLife International is a key group in international efforts to protect bird migration routes, and also works to educate the public about endangered species and their ecological importance. The BirdLife International gathers and disseminates information about birds, maintaining a computerized data bank from which it generates reports. It conducts periodic symposiums on bird-related issues, runs the World Bird Club, maintains a Conservation Fund, runs special campaigns when opportunities such as the Migratory Bird

Campaign present themselves, and develops and carries out priority projects in their Conservation Program.

The BirdLife International Conservation Program has undertaken many projects on behalf of endangered birds. BirdLife International began a captive breeding program for the pink pigeon (*Nesoenas mayeri*), a native of the island of Mauritius in the Indian Ocean, because the total population of this species had dwindled to just ten birds in the wild in 1990. As a result of these efforts, as of April 2007, 380 pink pigeons lived in the wild. Several pairs were released at Mauritius’ Botanic Gardens of Pamplemousses. BirdLife International has focused on other seriously endangered birds as well, such as the imperial parrot (*Amazona imperialis*). In an attempt to protect its threatened habitat, BirdLife International has helped buy a forest reserve in Dominica. Conservation efforts have increased the imperial parrots numbers from sixty parrots to about 250 parrots. With the help of local citizens and educational facilities, BirdLife International hopes that their efforts to save the pink pigeon and imperial parrot will continue to be successful.

Another important BirdLife International project is the group’s work to save the red-tailed parrot (*Amazona brasiliensis*) of southeastern Brazil. This project involves support of an extensive plan to convert an entire nearby island into a refuge for the parrots, which exist in only a very few isolated parts of Brazil. BirdLife International has also focused on islands in other conservation projects. The council purchased Cousin Island (famous for its numerous seabirds), in an effort to save the Seychelles brush warbler (*Acrocephalus sechellensis*). Native only to Cousin Island, this entire brush warbler species numbered only thirty individuals before BirdLife International bought their island. Today, there are more than 2,500 bush warblers, and BirdLife International continues to be actively involved in helping to breed more.

BirdLife International’s publications are many and varied. Quarterly, it issues *Bird Conservation International*, a scholarly journal, and *World Birdwatch* magazine. It also publishes the well-respected series *Bird Red Data Books*, and such monographs as *Important Bird Areas in Europe* and *Key Forests for Threatened Birds in Africa*. BirdLife International produces numerous technical publications and study reports, and, occasionally, Conservation Red Alert pamphlets on severely threatened birds.

### Resources

#### ORGANIZATIONS

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Cathy M. Falk

Birth control see **Family planning; Male contraceptives.**

## Birth defects

Birth defects, also known as congenital malformations, are structural or metabolic abnormalities present at birth. While subtle variations normally occur in about half of all individuals in the United States, significant congenital defects are found in about 3 percent of live births. Fortunately, only about half of these require medical attention.

Birth defects may result from genetic causes or environmental insult. Defective genes are not easily repaired and thus are perhaps less interesting than teratogenic substances (substances able to cause defects in the developing fetus) to environmentalists. It is theoretically possible to limit exposure to teratogens by elimination of the agent in the environment or by modification of behavior to prevent contact. It should be noted, however, that the causes of more than half of congenital malformations remain unknown.

Birth defects of genetic origin may be due to aberrant chromosome number or structure, or to a single gene defect. Normal humans have 46 chromosomes, and variation from this number is referred to as aneuploidy. Down's syndrome, an example of aneuploidy, is usually characterized by an extra chromosome designated number 21. The individual with Down's thus has a total of 47 chromosomes, and the presence of the extra chromosome results in multiple defects. These often include developmental delays and physical characteristics comprising a small round head, eyes that slant slightly upward, a large and frequently protruding tongue, low set ears, broad hands with short fingers and short stature. People with Down's syndrome are particularly vulnerable to leukemia. Children with this condition are rarely born to mothers less than 25 years of age (less than one in 1,500), but the prevalence of Down's syndrome babies increases with mothers older than 45 (about one in twenty-five). Down's syndrome can be detected during pregnancy by chromosomal analysis of fetal cells. Fetal chromosomes may be studied by chorionic villus sampling or by amniocentesis.

Other congenital abnormalities with a chromosomal basis include Klinefelter's syndrome, a condition of male infertility associated with an extra X chromosome, and Turner's syndrome, a condition wherein females fail to mature sexually and are characterized by the aneuploid condition of a missing X chromosome.

Achondroplasia is a birth defect due to a dominant mutation of a Mendelian gene that results in dwarfism. Leg and arm bones are short but the trunk is normal and the head may be large. Spontaneous mutation accounts for most achondroplasia. The mortality rate for affected individuals is so high that the gene would be lost if it were not for mutation. Albinism, a lack of pigment in the skin, eyes, and hair, is another congenital defect caused by a single gene, which in this case is recessive.

The developing fetus is at risk for agents which can pass the placental barrier, such as infectious microbes, drugs and other chemicals, and ionizing radiation. Transplacental teratogens exert their effect on incompletely formed embryos or fetuses during the first three months of pregnancy. Organs and tissues in older and full term fetuses appear much as they will throughout life. It is not possible to alter the development of a fully formed structure. However, prior to the appearance of an organ or tissue, or during the development of that structure, teratogenic agents may have a profoundly deleterious effect.

Perhaps the best known teratogen is the sedative thalidomide which induces devastating anatomical abnormalities. The limb bones are either shortened or entirely lacking leading to a condition known as phocomelia. Intellectual development of thalidomide babies is unaffected. The experience with this drug, which started in 1959 and ended when it was withdrawn in 1961, emphasizes the fact that medications given to pregnant mothers generally cross the placenta and reach the developing embryo or fetus. Another drug that effects developmental abnormalities is warfarin, which is used in anticoagulant therapy. It can cause fetal hemorrhage, mental retardation, and a multiplicity of defects to the eyes and hands when given to pregnant women.

The teratogenic effects of alcohol, or the lifestyle that may accompany alcohol abuse, serves to illustrate that the term environment includes not only air and water, but the personal environment as well. Alcoholism during pregnancy can result in fetal alcohol syndrome with facial, limb, and heart defects accompanied by growth retardation and reduced intelligence. The effects of alcohol may be magnified by factors associated with alcoholism such as poor diet, altered metabolism and

inadequate medical care. Because neither the time of vulnerability nor the toxic level of alcohol is known, the best advice is to eschew alcohol as a dietary constituent altogether during pregnancy.

Disease of the mother during pregnancy can present an environmental hazard to the developing fetus. An example of such a hazard is the viral disease German measles, also known as rubella. The disease is characterized by a slight increase in temperature, sore throat, lethargy and a rash of short duration. Greatest hazard to the fetus is during the second and third month. Children born of mothers who had rubella during this period may exhibit cataracts, heart defects, hearing loss and mental retardation. Obviously, the virus transverse the placenta to infect the embryo or fetus and that infection may persist in the newborn. Birth defects associated with rubella infection have decreased since the introduction of a rubella vaccine.

The most common viral infection that occurs in human fetuses is that of a herpes virus known as cytomegalovirus. The infection is detected in about 1–2 percent of all live births. Most newborns, fortunately, do not manifest symptoms of the infection. However, for a very small minority, the effects of congenital cytomegalovirus are cruel and implacable. They include premature birth or growth retardation prior to birth, frequently accompanied by hepatitis, enlarged spleen, and reduction in thrombocytes (blood cells important for clotting). Abnormally small heads, mental retardation, cerebral palsy, heart and cerebral infection, bleeding problems, hearing loss, and blindness can also occur. Exposure of the fetus to the virus occurs during infection of the pregnant woman or possibly from the father, as cytomegalovirus has been isolated from human semen.

Other infections known to provoke congenital defects include herpes simplex virus type II, toxoplasmosis, and syphilis.

Methylmercury is an effective fungicide for seed grain. Accidental human consumption of food made from treated seeds has occurred. Industrial pollution of sea water with organic mercury resulted in the contamination of fish, consumed by humans, from Minamata Bay in Japan. It has been established that organic mercury passes the placental barrier with effects that include mental retardation and a cerebral palsy-like condition due to brain damage. Anatomical birth defects, engendered by organic mercury, include abnormal palates, fingers, eyes, and hearts. The toxicity of methylmercury affects both early embryos and developing fetuses. Exclusion of mercury from human food can be effected by not using organic mercury as a

fungicide and by ending industrial discharge of mercury into the environment.

Of course other chemicals may be hazardous to the offspring of pregnant women. Polychlorinated biphenyl (PCB)s, relatively ubiquitous, but low level oily contaminants of the environment, cause peculiar skin pigmentation, low birth weights, abnormal skin and nails, and other defects in offspring when accidentally ingested by pregnant woman.

Uncharacterized mixtures of toxic chemicals that contaminate the environment, are thought to be potential teratogens. Cytogenetic (chromosomal) abnormalities and increased birth defects were detected among the residents of the contaminated Love Canal, New York. Cigarette smoke is the most common mixture of toxic substance to which fetuses are exposed. Tobacco smoke is associated with reduced birth weight but not specific birth anatomical abnormalities.

Much concern has arisen over the damaging effects of ionizing radiation, particularly regarding diagnostic x-rays and radiation exposure from nuclear accidents. The latter concern was given international attention following the explosion at Ukraine's Chernobyl Nuclear Power Station in 1986. Fear that birth defects would occur as a result was fueled by reports of defects in Japanese children whose mothers were exposed to radiation at Hiroshima. Radiation exposures to the fetus can result in many defects including various malformations, mental retardation, reduced growth rate, and increased risk for leukemia. Fortunately, however, the risk of these effects is exceptionally low. Fetal abnormalities caused by factors other than radiation are thought to be about ten times greater than those attributed to radiation during early pregnancy. However small the risk, most women choose to limit or avoid exposure to radiation during early pregnancy. This may be part of the reason for the increased popularity of diagnostic ultrasound as opposed to x-ray.

While concern is expressed for particular teratogenic agents or procedures, the etiology of most birth defects is unknown. Common defects, with unknown etiology, include cleft lip and cleft palate, extra fingers and toes, fused fingers, extra nipples, various defects in the heart and great vessels, narrowing of the entrance to the stomach, esophageal abnormalities, spina bifida, clubfoot, hip defects, and many, many others. Since the majority of birth defects are not caused by known effects of disease, drugs, chemicals or radiation, much remains to be learned.

A campaign launched in the 1990s to make women aware of the importance of folic acid in the

diet before becoming pregnant is credited with reducing the prevalence of some neural tube birth defects including spina bifida by 23 percent by 2005. The National Institute of Health recommends that women of childbearing age receive 400 micrograms (mcg) of folic acid, a B vitamin, in their daily diet. According to the March of Dimes, 400 mcg of folic acid consumed daily at least one month before becoming pregnant reduces the risk of spina bifida and anencephaly in the fetus by 50-70 percent.

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Robert G. McKinnell

## Bison

The American bison (*Bison bison*) or buffalo is one of the most famous animals of the American West. Providing food and hides to the early Indians, it was



American bison (*Bison bison*). (Oliver Le Qu/Shutterstock.com)

almost completely eliminated by hunters, and now only remnant populations exist though its future survival seems assured.

Scientists do not consider the American bison a true buffalo (like the Asian water buffalo or the African buffalo), since it has a large head and neck, a hump at the shoulder, and fourteen pairs of ribs instead of thirteen. In United States, however, the names are used interchangeably. A full-grown American bison bull stands 5.5–6 feet (1.7–1.8 m) at the shoulder, extends 10–12.25 feet (3–3.8 m) in length from nose to tail, and weighs 1,600–3,000 pounds (726–1,400 kg). Cows usually weigh about 900 pounds (420 kg) or less. Bison are brown-black with long hair which covers their heads, necks, and humps, forming a "beard" at the chin and throat. Their horns can have a spread as large as 35 inches (89 cm). Bison can live for 30 or more years, and they are social creatures, living together in herds. Bison bulls are extremely powerful; a charging bull has been known to shatter wooden blanks 2 inches (5 cm) thick and 12 inches (30 cm) wide.

The American bison is one of the most abundant animals ever to have existed on the North American continent, roaming in huge herds between the Appalachians and the Rockies and as far south as

Florida. One herd seen in Arkansas in 1870 was described as stretching “from six to 10 miles (9.7 to 16.1 km) in almost every direction.” In the far West, the herds were even larger, stretching as far as the eye could see, and in 1871 a cavalry troop rode for six days through a herd of bison.

The arrival of Europeans in America sealed the fate of the American bison. By the 1850s massive slaughters of these creatures had eliminated them from Illinois, Indiana, Kentucky, Ohio, New York, and Tennessee. After the end of the Civil War in 1865, railroads began to bring a massive influx of settlers to the West and bison were killed in enormous numbers. The famous hunter “Buffalo Bill” Cody was able to bag 4,280 bison in just eighteen months, and between 1854 and 1856, an Englishman named Sir George Gore killed about 6,000 bison along the lower Yellowstone River. Shooting bison from train windows became a popular recreation during the long trip west; there were contests to see who could kill the most animals on a single trip, and on one such excursion a group accompanying Grand Duke Alexis of Russia shot 1,500 bison in just two days. When buffalo tongue became a delicacy sought after by gourmets in the east, even more bison were killed for their tongues and their carcasses left to rot.

In the 1860s and 1870s extermination of the American bison became the official policy of the United States government in order to deprive the Plains Indians of their major source of food, clothing, and shelter. During the 1870s, two to four million bison were shot each year, and 200,000 hides were sold in St. Louis in a single day. Inevitably, the extermination of the bison helped to eliminate not only the Plains Indians, but also the predatory animals dependent on it for food, such as plains wolves. By 1883, according to some reports, only one wild herd of bison remained in the West, consisting of about 10,000 individuals confined to a small part of North Dakota. In September of that year, a group of hunters set off to kill the remaining animals and by November the job was done.

By 1889 or 1890 the entire North American bison population had plummeted to about 500 animals, most of which were in captivity. A group of about 20 wild bison remained in Yellowstone National Park, and about 300 wood bison (*Bison bison athabasca*) survived near Great Slave Lake in Canada’s Northwest Territories. At that time, naturalist William Temple Hornaday led a campaign to save the species from complete extinction by the passage of laws and other protective measures. Canada enacted legislation to

protect its remnant bison population in 1893 and the United States took similar action the following year.

In the early 2000s, thousands of bison are found in several national parks, private ranches, and game preserves in the United States. About 15,000 are estimated to inhabit Wood Bison National Park and other locations in Canada. The few hundred wood bison originally saved around Great Slave Lake also continued to increase in numbers until the population reached around 2,000 in 1922. But in the following years, the introduction of plains bison to the area caused hybridization, and pure specimens of wood bison probably disappeared around Great Slave Lake. Fortunately, a small, previously unknown herd of wood bison was discovered in 1957 on the upper North Yarling River, buffered from hybridization by 75 miles (121 km) of swampland. From this herd (estimated at about 100 animals in 1965) about twenty-four animals were successfully transplanted to an area near Fort Providence in the Northwest Territories and forty-five were relocated to Elk Island National Park in Alberta. Despite these rebuilding programs, the wood bison is still considered endangered and is listed as such by the U.S. Department of the Interior. It is also listed in Appendix I of the Convention on International Trade in Endangered Species of Fauna and Flora (CITES) treaty.

Controversy still surrounds the largest herd of American bison (5,000–6,000 animals in the early 1990s) in Yellowstone National Park. The free-roaming bison often leave the park in search of food in the winter, and Montana cattle ranchers along the park borders fear that the bison could infect their herds with brucellosis, a contagious disease that can cause miscarriages and infertility in cows. In an effort to prevent any chance of brucellosis transmission, the National Park Service (NPS) and the Montana Department of Fish, Wildlife and Parks, along with sport hunters acting in cooperation with these agencies, killed 1,044 bison between 1984 and 1992. Montana held a lottery-type hunt, and 569 bison were killed in the winter of 1988–1989, and 271 were killed in the winter of 1991–1992. The winter of 1996–1997 was exceptionally harsh, and some 850 buffalo of the park’s remaining 3,500 starved or froze to death. In addition, the NPS, the U.S. Department of Agriculture (USDA), and the Montana Department of Livestock cooperated in a stepped-up buffalo killing program, in which some 1,080 were shot or shipped off to slaughterhouses. In all, more than half of Yellowstone’s bison herd perished that winter.

Wildlife protection groups, such as the Humane Society of the United States and the Fund for

Animals, have protested the hunting of these bison—which usually consists of walking up to an animal and shooting it. Animal protection organizations have offered alternatives to the killing of the bison, including fencing certain areas to prevent them from coming into contact with cattle. Conversely, Montana state officials and ranchers, as well as the USDA, have long pressured the National Park Service to eradicate many or all of the Yellowstone bison herd or at least test the animals and eliminate those showing signs of brucellosis. Such an action, however, would mean the eradication of most of the Yellowstone herd, even though bison have not been known to infect cattle.

There is also a species of European bison called the wisent (*Bison bonasus*) which was once found throughout much of Europe. It was nearly exterminated in the early 1900s, but today a herd of about 1,600 animals can be found in a forest on the border between Poland and Russia. The European bison is considered vulnerable by IUCN—The World Conservation Union.

See also Endangered species; Endangered Species Act (1973); Overhunting; Rare species; Wildlife management.

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Lewis G. Regenstein

Bituminous coal see **Coal**.

## Black lung disease

Black lung disease, also known as anthracosis or coal workers' pneumoconiosis (CWP), is a chronic, fibrotic lung disease of coal miners. It is caused by inhaling coal dust, which accumulates in the lungs and forms black bumps or coal macules on the bronchioles. These black bumps in the lungs give the disease its common name. Lung disease among coal miners was first described by German mineralogist Georgius Agricola (1494–1555) in the sixteenth century, and it is now a widely recognized occupational illness.

Black lung disease occurs most often among miners of anthracite (hard) coal, but it is found among soft coal miners and graphite workers as well. The disease is characterized by gradual onset—the first symptoms usually appear only after ten to twenty years of exposure to coal dust. The extent and severity of the disease is clearly related to the length of this exposure. The disease also appears to be aggravated by cigarette smoking. The more advanced forms of black lung disease are frequently associated with emphysema or chronic bronchitis. There is no real treatment for this disease, but it may be controlled or its development arrested by avoiding exposure to coal dust.

Black lung disease is among the best-known occupational illnesses in the United States. Although there have been considerable reductions in the incidence of the disease since the mid-twentieth century, in some regions, more than 50 percent of coal miners develop the disease after thirty or more years on the job. The Federal Coal Mine Health and Safety Act (FCMHSA)



Thin section of whole lung showing the fibrosis characteristic of coalworker's pneumoconiosis, also known as black lung disease. (Biophoto Associates / Photo Researchers, Inc.)

of 1969 (amended in 1977) resulted in a reduction of CWP cases. The FCMHSA included provision for limits on coal mine dust and for a periodic chest radiograph program for mine workers. The Mine Safety and Health Administration (MSHA) coordinates with the National Institute for Occupational Safety and Health (NIOSH) to oversee the Coal Workers' Health Surveillance Program (CWHSP), which provides early detection and preventative measures against CWP. The rate of CWP in underground coal miners with twenty-five years or greater experience decreased from about 30 percent in the early 1970s to less than 5 percent in the late 1990s. Since 1995, however, the prevalence of black lung disease in CWHSP participants has doubled. Experts note the increase is especially associated with certain higher-risk or contract mining jobs, smaller mines, and mines in specific geographic areas.

See also Bronchitis; Coal; Emphysema; Fibrosis; Respiratory diseases.

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Linda Rehkopf

## Black-footed ferret

A member of the Mustelidae (weasel) family, the black-footed ferret (*Mustela nigripes*) is the only ferret native to North America. It has pale yellow fur, an off-white throat and belly, a dark face, black feet, and a black tail. The black-footed ferret usually grows to a length of 18 inches (46 cm) and weighs 1.5–3 pounds (0.68–1.4 kg), though the males are larger than the



**Black-footed ferret (*Mustela nigripes*).** (© All Canada Photos/Alamy)

females. These ferrets have short legs and slender bodies, and lope along by placing both front feet on the ground followed by both back feet.

Ferrets live in prairie dog burrows and feed primarily upon prairie dogs, mice, squirrels, and gophers, as well as small rabbits and carrion. Ferrets are nocturnal animals; activity outside the burrow occurs after sunset until about two hours before sunrise. They do not hibernate and remain active all year long.

Breeding takes place once a year, in March or early April, and during the mating season males and females share common burrows. The gestation period lasts approximately six weeks, and the female may have from one to five kits per litter. The adult male does not participate in raising the young. The kits remain in the burrow where they are protected and nursed by their mother until about four weeks of age, usually sometime in July, when she weans them and begins to take them above ground. She either kills a prairie dog and carries it to her kits or moves them into the burrow with the dead animal. During July and early August, she usually relocates her young to new burrows every three or four days, whimpering to encourage them to follow her or dragging them by the nape of their neck. At about eight weeks old the kits begin to play above ground. In late August and early September the mother positions her young in separate burrows, and by mid-September her offspring have left to establish their own territories.

Black-footed ferrets, like other members of the mustelid family, establish their territories by scent marking. They have well-developed lateral and anal scent glands. The ferrets mark their territory by either wiggling back and forth while pressing their pelvic scent glands against the ground, or by rubbing their

lateral scent glands against shrubs and rocks. Urination is a third form of scent marking. Males establish large territories that may encompass one or more females of the species and exclude all other males. Females establish smaller territories.

Historically, the black-footed ferret was found from Alberta, Canada, southward throughout the Great Plains states. The decline of this species began in the 1800s with the settling of the west. Homesteaders moving into the Great Plains converted the prairie into agricultural lands, which led to a decline in the population of prairie dogs. Considering them a nuisance species, ranchers and farmers undertook a campaign to eradicate the prairie dog. The black-footed ferret is dependent upon the prairie dog: it takes 100–150 acres (40–61 ha) of prairie-dog colonies to sustain one adult. Because it takes such a large area to sustain a single adult, one small breeding group of ferrets requires at least 10 mi<sup>2</sup> (26 km<sup>2</sup>) of habitat. As the prairie dog colonies became scattered, the groups were unable to sustain themselves.

In 1954, the National Park Service began capturing black-footed ferrets in an attempt to save them from their endangered status. These animals were released in wildlife sanctuaries that had large prairie dog populations. Black-footed ferrets, however, are highly susceptible to canine distemper, and this disease wiped out the animals the park service had relocated.

In September 1981, scientists located the only known wild population of black-footed ferrets near the town of Meeteetse in northwestern Wyoming. The colony lived in twenty-five prairie dog towns covering 53 mi<sup>2</sup> (137 km<sup>2</sup>). But in 1985, canine distemper decimated the prairie dog towns around Meeteetse and spread among the ferret population, quickly reducing their numbers. Researchers feared that without immediate action the black-footed ferret would become extinct. The only course of action appeared to be removing them from the wild. If an animal had not been exposed to canine distemper, it could be vaccinated and saved. Some animals from the Meeteetse population did survive in captivity.

There is a breeding program and research facility called the National Black-footed Ferret Conservation Center in Wyoming, and in 1987, the Wyoming Fish and Game Department implemented a plan for preserving the black-footed ferret within the state. Researchers identified habitats where animals bred in captivity could be relocated. The program began with the eighteen animals from the wild population located at Meeteetse. In 1987, seven kits were born to this group. The following year thirteen female black-footed ferrets had

litters and thirty-four of the kits survived. In 1998, about 330 kits survived. Captive propagation efforts have improved the outlook for the black-footed ferret with over 7,000 kits born so far in the program. Captive populations will continue to be used to reestablish ferrets in the wild. About 2,300 black-footed ferrets that were bred and raised in captivity have been released into the wild.

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Debra Glidden

## Blackout/brownout

A blackout is a total loss of electrical power. A blackout is usually defined as a drop in line voltage below 80 volts (V) (the normal voltage is 120V), since most electrical equipment will not operate below these levels. A blackout may be due to a planned interruption, such as limiting of loads during power shortages by rotating power shutoffs through different areas, or due to an accidental failure caused by human error, a failure of generating or transmission equipment, or a storm. Blackouts can cause losses of industrial production, disturbances to commercial activities, traffic and transportation difficulties, disruption of municipal services, and personal inconveniences. In the summer of 1977, a blackout caused by transmission line losses during a storm affected the New York City area. About

nine million people were affected by the blackout, with some areas without power for more than 24 hours. The blackout was accompanied by looting and vandalism. A blackout in northeastern United States and eastern Canada due to a switching relay failure in November of 1965 affected 30 million people and resulted in improved electric utility power system design.

A brownout is a condition (usually temporary, but which may last longer, i.e., from periods ranging from fractions of a second to hours) when the alternating current (AC) electrical utility voltage is lower than normal. If the brownout lasts less than a second, it is called a *sag*. Brownouts may be caused by overloaded circuits, but are sometimes caused intentionally by a utility company in order to reduce the amount of power drawn by users during peak demand periods, or unintentionally when demand for electricity exceeds generating capacity. A sag can also occur when line switching is employed to access power from secondary utility sources. Equipment such as shop tools, compressors, and elevators starting up on a shared power line can cause a sag, which can adversely affect other sensitive electronic equipment such as computers. Generally, electrical utility customers do not notice a brownout except when it does affect sensitive electronic equipment.

Measures to protect against effects of blackouts and brownouts include efficient design of power networks, interconnection of power networks to improve stability, monitoring of generating reserve needs during periods of peak demand, and standby power for emergency needs. An individual piece of equipment can be protected from blackouts and brownouts by the use of an uninterruptible power source (UPS). A UPS is a device with internal batteries that is used to guarantee that continuous power is supplied to equipment even if the power supply stops providing power or during line sags. Commonly the UPS will boost voltage if the voltage drops to less than 103V and will switch to battery power at 90V and below. Some UPS devices are capable of shutting down the equipment during extended blackouts.

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Judith L. Sims

BLM *see* **Bureau of Land Management**.

## Blow-out

A blow-out occurs where the soil is left unprotected to the erosive force of the wind. Blow-outs commonly occur as depressional areas, once enough soil has been removed. They most often occur in sandy soils, where vegetation is sparse.

## Blue-baby syndrome

Blue-baby syndrome (or infant cyanosis) occurs in infants who drink water with a high concentration of nitrate or are fed formula prepared with water containing high nitrate levels. Excess nitrate can result in methemoglobinemia, a condition in which the oxygen-carrying capacity of the blood is impaired by an oxidizing agent such as nitrite, which can be reduced from nitrate by bacterial metabolism in the human mouth and stomach. Infants in the first three to six months of life, especially those with diarrhea, are particularly susceptible to nitrite-induced methemoglobinemia.

Adults convert about 10 percent of ingested nitrates into nitrites, and excess nitrate is excreted by the kidneys. In infants, however, nitrate is transformed to nitrite with almost 100 percent efficiency. The nitrite and remaining nitrate are absorbed into the body through the intestine. Nitrite in the blood reacts with hemoglobin to form methemoglobin, which does not transport oxygen to the tissues and body organs. The skin of the infant appears blue due to the lack of oxygen

in the blood supply, which may lead to asphyxia, or suffocation.

Normal methemoglobin levels in humans range from 1 to 2 percent; levels greater than 3 percent are defined as methemoglobinemia. Methemoglobinemia is rarely fatal, readily diagnosed, and rapidly reversible with clinical treatment.

In adults, the major source of nitrate is dietary, with only about 13 percent of daily intake from drinking water. Nitrates occur naturally in many foods, especially vegetables, and are often added to meat products as preservatives. Only a few cases of methemoglobinemia have been associated with foods high in nitrate or nitrite. Nitrate is also found in air, but the daily respiratory intake of nitrate is small compared with other sources. Nearly all cases of the disease have resulted from ingestion by infants of nitrate in private well water that has been used to prepare infant formula. Levels of nitrate of three times the Maximum Contaminant Levels (MCLs) and above have been found in drinking water wells in agricultural areas. Federal MCL standards apply to all public water systems, though they are unenforceable recommendations. Insufficient data are available to determine whether subtle or chronic toxic effects may occur at levels of exposure below those that produce clinically obvious toxicity. If water has or is suspected to have high nitrate concentrations, it should not be used for infant feeding, nor should pregnant women or nursing mothers be allowed to drink it.

Domestic water supply wells may become contaminated with nitrate from mineralization of soil organic nitrogen, septic tank systems, and some agricultural practices, including the use of fertilizers and the disposal of animal wastes. Since there are many potential sources of nitrates in groundwater, the prevention of nitrate contamination is complex and often difficult.

Nitrates and nitrites can be removed from drinking water using several types of technologies. The Environmental Protection Agency (EPA) has designated reverse osmosis, anion exchange, and electro-dialysis as the Best Available Control Technology (BAT) for the removal of nitrate, while recommending reverse osmosis and anion exchange as the BAT for nitrite. Other technologies can be used to meet MCLs for nitrate and nitrite if they receive approval from the appropriate state regulatory agency.

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Judith L. Sims

BMP see **Best management practices.**

BOD see **Biochemical oxygen demand.**

Bogs see **Wetlands.**

Bonn Convention see **Convention on the Conservation of Migratory Species of Wild Animals (1979).**

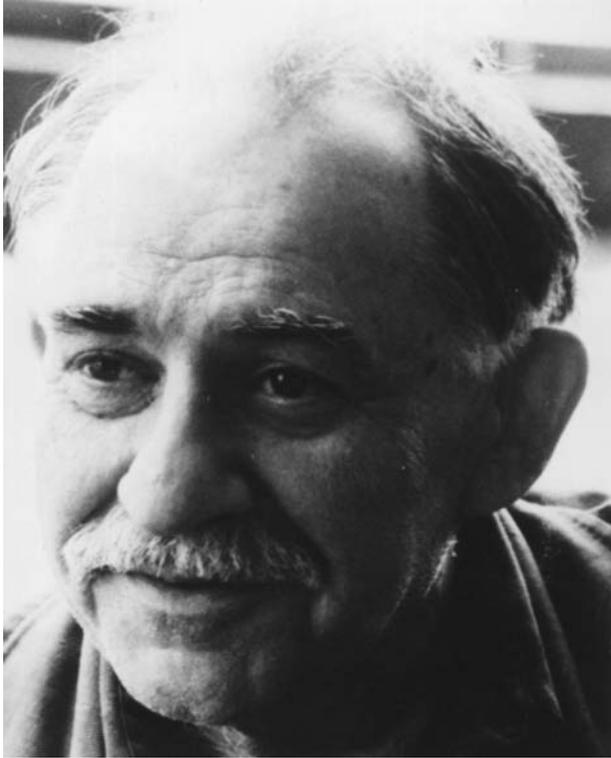
## Bookchin, Murray

1921–2006

American social critic, environmentalist, and writer

Born in New York in 1921, Murray Bookchin was a writer, social critic, and founder of "social ecology." He had had a long and abiding interest in the environment, and as early as the 1950s he was concerned with the effects of human actions on the environment. In 1951 he published an article titled "The Problem of Chemicals," which exposed the detrimental effects of chemicals on nature and on human health. This work predates Rachel Carson's famous *Silent Spring* by over ten years.

In developing his theory of social ecology, Bookchin expounded the view that sound ecological practices in nature were not possible without having sound social practices in society. Put another way, harmony in society required harmony with nature. Bookchin described himself as an anarchist, contending that there was a natural relationship between natural ecology and anarchy.



Murray Bookchin. (Photograph by Debbie Bookchin)

Bookchin was long known as a critic of modern cities: His widely read and frequently quoted *Crisis in Our Cities* (1965) examined urban life. His *The Rise of Urbanization and the Decline of Citizenship* (1987) continued the critique by advancing green ideas as a new municipal agenda for the 1990s and the next century. Though he is often mentioned as one of its founding thinkers, Bookchin was an ardent critic of deep ecology. Bookchin instead reaffirmed a social ecology that incorporated people into the solving of environmental problems.

Bookchin died on July 30, 2006, in Burlington, Vermont, of complications from a malfunctioning aortic valve. He was eighty-five.

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Gerald L. Young

Boreal forest see **Taiga**.

## Borlaug, Norman E.

1914–2009

American environmental activist

Norman E. Borlaug, known as the father of the "green revolution" or agricultural revolution, was born on March 25, 1914, on a small farm near Cresco, Iowa. He received a B.S. in forestry in 1937 followed by a master's degree in 1940 and a Ph.D. in 1941 in plant pathology, all from the University of Minnesota.

Agriculture is an activity of humans with profound impact on the environment. Traditional cereal grain production methods in some countries have led to recurrent famine. Food in these countries can be increased either by expansion of land area under cultivation or by enhancement of crop yield per unit of land. In many developing countries, little if any space for agricultural expansion remains, hence interest is



Norman Borlaug, agronomist and 1970 Nobel Peace Prize laureate. (Micheline Pelletier/Syigma/Corbis)

focused on increasing cereal grain yield. This is especially true with regard to wheat, rice, and maize.

Borlaug was associated with the green revolution which was responsible for spectacular increases in grain production. He began working in Mexico in 1943 with the Rockefeller Foundation and the International Maize and Wheat Improvement Center in an effort to increase food crop production. As a result of these efforts, wheat production doubled in the decade after World War II, and nations such as Mexico, India, and Pakistan became exporters of grain rather than importers. Increased yields of wheat came as the result of high genetic yield potential, enhanced disease resistance (Mexican wheat was vulnerable to stem rust fungus), responsiveness to fertilizers, the use of pesticides, and the development of dwarf varieties with stiff straw and short blades that resist lodging, that is do not grow tall and topple over with the use of fertilizer. Further, the new varieties could be used in different parts of the world because they were unaffected by different daylight periods. Mechanized threshing is now replacing the traditional treading out of grain with large animals followed by winnowing because these procedures are slow and leave the grain harvest vulnerable to rain damage. Thus, modern threshers are an essential part of the green revolution.

Borlaug demonstrated that the famine so characteristic of many developing countries could be controlled or eliminated at least with respect to the population of the world at that time. However, respite from famine and poverty is only temporary as the world population relentlessly continues to increase. The sociological and economic conditions which have historically precipitated famine have not been abrogated. Thus, famine will appear again if human population expansion continues unabated despite efforts of the green revolution. Further, critics of Borlaug's agricultural revolution cite the possibility of crop vulnerability because of genetic uniformity—development of crops with high-yield potential have eliminated other varieties, thus limiting biodiversity. High-yield crops have not proven themselves hardier in several cases; some varieties are more vulnerable to molds and storage problems. In the meantime, other, hardier varieties are now nonexistent. The environmental effects of fertilizers, pesticides, and energy-dependent mechanized modes of cultivation to sustain the newly developed crops have also provoked controversy. Such methods are expensive for poorer countries and sometimes create more problems than they solve. For now, however, food from the green revolution saves lives and the benefits currently outweigh liabilities.

His alma mater, the University of Minnesota, celebrated his career accomplishments with the award of a Doctor of Science (*honoris causa*) degree in 1984, as have many other academic institutions.

In 1992, the Agricultural Council of Experts (ACE) was formed to advise Borlaug and former President Jimmy Carter on information concerning Africa's agricultural and economic issues. They created a model that has since been incorporated into the Global 2000 program, which addresses the African food crisis by experimenting with production test plots (PTPs) in an attempt to extract greater crop yields.

In addition to the Nobel Prize, Borlaug was awarded one of three 2004 National Medals of Science for Biological Sciences, the nation's highest honor for science, and the 2007 Congressional Gold Medal, the highest civilian honor given by the United States. He also pioneered the creation of the World Food Prize, which recognizes the achievements of individuals who better the world by their efforts to increase the availability of food.

Borlaug died on September 12, 2009, at his home in Dallas, Texas, of complications from cancer. He was 95.

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Robert G. McKinnell

## Boston Harbor clean up

Like many harbors near cities along the eastern seaboard, the Boston Harbor in Massachusetts has been used for centuries as a receptacle for raw and partially treated sewage from the city of Boston and surrounding towns. In the late 1800s, Boston designed a sewage and stormwater collection system. This sewage system combined millions of gallons of untreated sewage from homes, schools, hospitals, factories, and other buildings with stormwater collected from streets during periods of moderate to heavy rainfall. The combined sewage and stormwater collected in the sewage system was discharged untreated to Boston Harbor on outgoing tides. Sewage from communities surrounding Boston was also piped to Boston's collection system and discharged to Boston Harbor and the major rivers leading to it: the Charles, Mystic, and

Neponset Rivers. Many of the sewage pipes, tunnels, and other infrastructure built in the late 1800s and early 1900s are still in use today.

In the 1950s, the City of Boston was concerned with growing health risks of swimming in and eating shellfish harvested from Boston Harbor as well as odors and aesthetic concerns that resulted from discharging raw sewage into harbor waters. The city built two advanced primary sewage treatment plants during the 1950s and 1960s on two islands located in Boston Harbor. The first sewage treatment plant was built on Nut Island; it treated approximately 110 million gal/per day (416 million l/per day). The second sewage treatment plant was built on Deer Island; it treated approximately 280 million gal/per day (1060 million l/per day). The two sewage treatment plants removed approximately half the total suspended solids and 25 percent of the biological oxygen demand found in raw sewage. The outgoing tide in Boston Harbor was still used to flush the treated wastewater and approximately 50 tons (46 metric tons) per day of sewage sludge (also called biosolids), which was produced as a by-product of the advanced primary treatment process. The sewage sludge forms from solids in the sewage settling in the bottom of tanks.

During the 1960s, the resources devoted to maintaining the city's aging sewage system decreased. As a result, the sewage treatment plants, pipes, pump stations, tunnels, interceptors, and other key components of Boston's sewage infrastructure began to fall into disrepair. Equipment breakdowns, sewer line breaks, and other problems resulted in the discharge of raw and partially treated sewage to Boston Harbor and the rivers leading to it. During this time, the Metropolitan District Commission (MDC) was the agency responsible for sewage collection, treatment, and disposal.

In 1972, the United States Congress enacted the Clean Water Act. This was landmark legislation to improve the quality of our nation's waters. The Clean Water Act required that sewage discharged to United States waters must meet secondary treatment levels by 1977. Secondary treatment of sewage means that at least 85 percent of total suspended solids and 85 percent of biological oxygen demand are removed from sewage. Instead of working towards meeting this federal requirement, the MDC requested a waiver from this new obligation from the United States Environmental Protection Agency (EPA). In 1983, the EPA denied the waiver request. The MDC responded by modifying its waiver request to promise that the city would construct a 9.2-mile (14.8-km) outfall to achieve increased dilution of the sewage by

discharging to deeper, more flushed waters. As part of its waiver, MDC also promised an end to discharging sewage sludge in the harbor and initiation of a combined sewer overflow abatement project to cease flow into Boston Harbor from eighty-eight overflow pipes. In 1985, EPA denied the second waiver request.

During EPA's consideration of Boston's waiver request, in 1982, the City of Quincy filed a lawsuit against the MDC for violating the Clean Water Act. In 1983, the Conservation Law Foundation filed two lawsuits; one was against MDC for violating the Clean Water Act and the other was against EPA for not fully implementing the Clean Water Act by failing to get Boston to comply with the law. The Massachusetts legislature responded to these pressures by replacing the MDC with the Massachusetts Water Resources Authority (MWRA) in 1984. The MWRA was created as an independent agency with the authority to raise water and sewer rates to pay for upgrading and maintaining the collection and treatment of the region's sewage. The following year, the federal court ruled that the MWRA must come into compliance with the Clean Water Act. As a result of this ruling, the MWRA developed a list of sewage improvement projects that were necessary to upgrade the existing sewage treatment system and clean up Boston Harbor.

The Boston Harbor cleanup consists of \$4.5 billion worth of sewage treatment improvements that include the construction of a 1,270 million-gal/per day (4,800 million- l/per day) primary sewage treatment plant, a 1,080 million-gal/per day (4,080 million-l/per day) secondary sewage treatment plant, a dozen sewage sludge digesters, disinfection basins, a sewage screening facility, an underwater tunnel, a 9.5-mile (15.3-km) outfall pipe, ten pumping stations, a sludge-to-fertilizer facility, and combined sewer overflow treatment facilities.

Today, approximately 370 million gallon/per day (1,400 million l/per day) of sewage effluent from over 2.5 million residents and businesses is discharged to Boston Harbor. Almost half the total flow is stormwater runoff from streets and groundwater infiltrating into cracked sewer pipes. The combined sewage and stormwater is moved through 5,400 miles (8,700 km) of pipes by gravity and with the help of pumps. Five of the ten pumps have already been replaced. At least two of the pumping stations that were replaced as part of the cleanup effort dated back to 1895. The sewage is pumped to Nut Island where more than 10,000 gal/per day (37,800 l/per day) of floatable pollution such as grease, oil, and plastic debris are now removed by its

new sewage-screening facility. The facility also removes any grit, sand, gravel, or large objects. A 4.8-mile (7.7-km) long deep-rock tunnel will be used to transport screened wastewater to Deer Island for further treatment.

One of the most significant changes that has occurred as part of the Boston Harbor cleanup project is the reconstruction of Deer Island. Prior to 1989, Deer Island had prison buildings, World War II army bunkers, and an aging sewage treatment plant. All the old buildings and structures have been removed and the island has been reshaped to accommodate twelve massive sewage sludge digesters, 60 acres (24.2 ha) of a new primary sewage treatment plant, and a new secondary treatment facility. The primary sewage treatment plant has reduced the amount of suspended solids discharged to Boston Harbor from 138–157 tons per day (126–52 metric tons).

The final stage of the secondary sewage treatment plant on Deer Island was completed in March 2001. It uses settling tanks, as are found in primary sewage treatment plants, as well as microorganisms, which will consume organic matter in the sewage thereby increasing treatment levels. Secondary treatment of Boston's sewage has resulted in an increase in removal of total suspended solids from 50–90 percent and biological oxygen demand from 25–90 percent.

After the sewage is treated and disinfected to remove any remaining pathogens (disease-causing organisms), the effluent is discharged through a 9.5-mile (15.3-km) outfall tunnel into the waters of Massachusetts Bay. Massive tunnel boring machines were used to drill the tunnel below the ocean floor. The outfall has fifty-five diffuser pipes connected at right angles to it along the last 1.25 miles (2.01 km) of the outfall. The diffuser pipes will increase dispersion of the treated sewage in the receiving waters. The tunnel was opened in September 2000.

The outfall has been a source of controversy for many residents of Cape Cod and for the users of Massachusetts Bay and the Gulf of Maine. There is concern about the long-term impact of contaminants from the treated sewage on the area, which is used for transportation, recreation, fishing, and tourism. Some alternatives to the sewage treatment plant and the 9.5-mile (15.3-km) outfall pipe were developed by civil engineers at the Massachusetts Institute of Technology. The alternatives included modifications to the advanced primary treatment facility and a smaller secondary treatment facility with the effluent discharged to Boston Harbor. Because there was not enough evidence to convince

EPA that water quality standards would always be met in the harbor, EPA rejected the alternatives.

As part of the Boston Harbor cleanup, sewage sludge is no longer discharged to the harbor. Twelve sewage sludge digesters in Deer Island were constructed in 1991. The digesters break down the sewage sludge by using microorganisms such as bacteria. Different types of microorganisms are used in the sewage sludge digestion process than in the secondary treatment process. As the microorganisms consume the sewage sludge, methane gas is produced which is used for heat and power. Prior to 1991, all the sewage sludge was discharged to Boston Harbor. Since 1991, the sewage sludge has been shipped to a facility that converts the digested sewage sludge into fertilizer.

The sludge-to-fertilizer facility dewater the sludge and uses rotating, high temperature dryers that produce fertilizer pellets with 60 percent organic matter, and important nutrients such as nitrogen, phosphorus, calcium, sulfur, and iron. The fertilizer is marketed in bulk and also sold as *Bay State Organic*, which is sold locally for use on golf courses and landscape.

This massive undertaking to clean up the harbor by upgrading its sewage treatment facilities was one of the world's largest public works projects. These changes have resulted in measurable improvements to Boston Harbor. The harbor sustains a multimillion dollar lobster fishery annually as well as flounder, striped bass, cod, bluefish, and smelt recreational fisheries.

*See also* Clean Water Act (1972, 1977, 1987); Sewage treatment; Sludge; Wastewater.

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Marci L. Bortman

## Botanical garden

A botanical garden is a place where collections of plants are grown, managed, and maintained. Plants are normally labeled and available for scientific study by students and observation by the public. An arboretum is a garden composed primarily of trees, vines, and shrubs. Gardens often preserve collections of stored seeds in special facilities referred to as seed banks. Many gardens maintain special collections of preserved plants, known as herbaria, used to identify and classify unknown plants. Laboratories for the scientific study of plants and classrooms are also common.

Although landscape gardens have been known for as long as 4,000 years, gardens intended for scientific study have a more recent origin. Kindled by the need for herbal medicines in the sixteenth century, gardens affiliated with Italian medical schools were founded in Pisa about 1543, and Padua in 1545. The usefulness of these medicinal gardens was soon evident, and similar gardens were established in Copenhagen, Denmark (1600), London, England (1606), Paris, France (1635), Berlin, Germany (1679), and elsewhere. The early European gardens concentrated mainly on species with known medical significance. The plant collections were put to use to make and test medicines and to train students in their application.

In the eighteenth and nineteenth centuries, gardens evolved from traditional herbal collections to facilities with broader interests. Some gardens, notably the Royal Botanic Gardens at Kew, near London, played a major role in spreading the cultivation of commercially important plants such as coffee (*Coffea arabica*), rubber (*Hevea* spp.), banana (*Musa paradisiaca*), and tea (*Thea sinensis*) from their places of origin to other areas with an appropriate climate. Other gardens focused on new varieties of horticultural plants. The Leiden garden in Holland, for instance, was instrumental in stimulating the development of the extensive worldwide Dutch bulb commerce. Many other gardens have had an important place in the scientific study of plant diversity as well as the introduction and assessment of plants for agriculture, horticulture, forestry, and medicine.

The total number of botanical gardens in the world can only be estimated, but not all plant collections qualify for the designation because they are deemed to lack serious scientific purpose. A recent estimate places the number of botanical gardens and arboreta at 1,400. About 300 of those are in the United States. Most existing gardens are located in the North Temperate Zone, but there are important gardens on

all continents except Antarctica. Although the tropics are home to the vast majority of all plant species, until recently, relatively few gardens were located there. A recognition of the need for further study of the diverse tropical flora has led to the establishment of many new gardens. An estimated 230 gardens are now established in the tropics.

In recent years botanical gardens throughout the world have united to address increasing threats to the planet's flora. The problem is particularly acute in the tropics, where as many as 60,000 species, nearly one-fourth of the world's total, risk extinction by the year 2050. Botanical gardens have organized to produce, adopt and implement a Botanic Gardens Conservation Strategy to help deal with the dilemma.

*See also* Conservation; Critical habitat; Ecosystem; Endangered species; Forest decline; Organic gardening and farming.

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Douglas C. Pratt

## Boulding, Kenneth E.

1910–1993

English economist, social scientist, writer, and peace activist

Kenneth Boulding was a highly respected economist, educator, author, Quaker, and pacifist. In an essay in *Frontiers in Social Thought: Essays in Honor of Kenneth E. Boulding* (1976), Cynthia Earl Kerman described Boulding as a person who grew up in the poverty-stricken inner city of Liverpool, broke through the class system to achieve an excellent education, had both scientific and literary leanings, became a well-known American economist, then snapped the bonds of economics to extend his thinking into wide-ranging fields—a person who was a religious mystic and a poet as well as a social scientist.



**Kenneth Boulding.** (Photograph by Ken Abbott. University of Colorado at Boulder)

A major recurring theme in Boulding's work was the need—and the quest—for an integrated social science, even a unified science. He did not see the disciplines of human knowledge as distinct entities, but rather a unified whole characterized by what he described as a diversity of methodologies of learning and testing. For example, Boulding was a firm advocate of adopting an ecological approach to economics, asserting that ecology and economics are not independent fields of study. He identified five basic similarities between the two disciplines: (1) both are concerned not only with individuals, but individuals as members of species; (2) both have an important concept of dynamic equilibrium; (3) a system of exchange among various individuals and species is essential in both ecological and economic systems; (4) both involve some sort of development—succession in ecology and population growth and capital accumulation in economics; (5) both involve distortion of the equilibrium of systems by humans in their own favor.

Boulding's views have been influential in many fields, and he has helped environmentalists reassess and redefine their role in the larger context of science and economics.

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Gerald L. Young

## Boundary Waters Canoe Area

The Boundary Waters Canoe Area (BWCA), a federally designated wilderness area in northern Minnesota, includes approximately one million acres (410 thousand ha) stretching some two hundred miles (322 km) along the United States-Canadian border. The BWCA contains more than 1,200 miles (1,932 km) of canoe routes and portages. The second largest expanse in the National Wilderness Preservation System (NWPS), the BWCA is administered by the United States Forest Service. Constituting about one-third of the Superior National Forest (established in 1909), the BWCA was set apart as wilderness by an act of Congress in 1958. The 1964 Wilderness Act allowed limited logging in some parts of the BWCA and the use of motorboats on 60 percent of the water area. Under pressure from environmental groups—and over objections by developers, logging interests, and many local residents—Congress finally passed the BWCA Wilderness Act of 1978, which outlawed all logging and limited motorboats to 33 percent of the water surface area (dropping to 24 percent by 1999), and added 45,000 acres (18,450 ha), bringing the total area to 1,075,000 acres (440,750 ha).

Many area residents and resort owners continue to resent and resist efforts to reduce the areas open to motorized watercraft and snowmobile traffic. They have pressed unsuccessfully for federal legislation to that effect. At the urging of Senator Paul Wellstone (D-MN) (1944–2002), a mediation panel was convened in 1996 to consider the future of the BWCA. Environmentalists, resort owners, local residents, and representatives of other groups met for several months to try to reconcile competing interests in the area. Unable to reach an agreement and arrive at a compromise, the panel disbanded in 1997. The fierce and continuing political quarrels over the future of the BWCA contrast markedly with the silence and serenity of this land of sky-blue waters and green forests.

On July 4, 1999, a severe windstorm, referred to as the Boundary Waters-Canadian Derecho or the 1999 Blowdown, damaged nearly 400,000 acres (about 162,000 ha) of forests within and adjacent to the

BWCA. A derecho is “a widespread and long-lived wind-storm that is associated with a band of rapidly moving showers or thunderstorms.” Winds from the derecho in 1999 reached 80–100 miles per hour (129–161 km/h), destroying tens of millions of trees. Sixty people were injured, some seriously, by falling trees in the BWCA. The large areas of fallen trees have made the area vulnerable to wildfire. In July 2005, a wildfire occurred in the blowdown area, damaging about 1,400 acres (5.7 km<sup>2</sup>). In 2006, over 30,000 acres (120 km<sup>2</sup>) were damaged by a wildfire in the blowdown area. Another fire in 2007 resulted in the burning of approximately 76,000 acres (307 km<sup>2</sup>) in the United States and Canada and \$11 million in costs for fire suppression efforts.

See also Wilderness Act (1964).

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Terence Ball

Box turtle see **American Box Turtle**.

BPT see **Best practical technology**.

## Brackish

The salinity of brackish water is intermediate between seawater and fresh waters. Brackish water contains too much salt to be drinkable, but not enough salt to be considered seawater. The ocean has an average salinity of 35 parts per thousand (ppt), whereas freshwater contains 0.065–0.30 ppt of salts, primarily chloride, sodium, sulfate, magnesium, calcium, and potassium ions. The salt content of brackish water

ranges between approximately 0.50 and 17 ppt. Brackish water occurs where freshwater flows into the ocean, or where salts are dissolved from subsoils and percolate into freshwater basins. The gradient between salt and freshwater in estuaries and deltas varies from sharp distinction to gradual mixing, and different levels of vertical and horizontal mixing depend on the influence of tide, current, and rate of freshwater inflow.

BRDs see **Bycatch reduction devices**.

Broad spectrum pesticide see **Pesticide**.

## Bromine

Bromine is an element that belongs to Group 17 on the periodic table of elements, the group that contains substances called halogens. Other halogens include fluorine, chlorine, and iodine. Halogens are important elements that are used heavily in industry. Bromine is used in the manufacture of dyes, fumigants, fire-retardant materials, medicines, pesticides, and photographic emulsions. Bromine is also used for water purification. While bromine is also used for water purification. While bromine and bromine-containing products are very useful, some concern surrounds the use of some bromine compounds because of their impact on the environment, particularly the ozone layer.

The many uses of bromine are due to its chemical properties. The halogens are the most reactive nonmetal elements in the periodic table. Halogens characteristically react very rapidly and readily with almost all metals to form salts. The high reactivity of the halogens is due to the presence of seven (rather than the stable noble-gas configuration of eight) electrons in their outer shell. Thus, they have an unpaired electron that is readily available for chemical bonding and reactions with other elements. Unlike chlorine and iodine, bromine is a liquid at room temperature. It is the only liquid nonmetallic element.

Bromine is a fairly heavy inorganic element. First isolated in 1826, the atomic number of bromine is 35, which means it has 35 electrons and 35 protons. Bromine also contains 45 neutrons in its nucleus, making its average atomic mass 79.9 atomic mass units. At room temperature, it is a reddish-brown liquid that emits pungent, noxious fumes that irritate the eyes.

Appropriately, the word *bromine* is derived from the Greek word *bromos*, which means “stench.” Bromine readily dissolves in water and carbon disulfide. It has a boiling point of 138.6°F(59.2°C) and is more reactive than iodine, but less reactive than chlorine. When bromine chemically reacts with other elements or compounds, it has a bleaching effect. It is a fairly strong oxidizing agent. Relative to the other halogens, bromine is a stronger oxidizing agent than iodine, but a weaker oxidizer than both chlorine and fluorine. Even so, liquid bromine is very caustic and can seriously damage skin on contact. Bromine, like the other halogens, has a very high affinity for itself, and therefore forms diatomic molecules, which are molecules that contain only two atoms. Molecular bromine exists as two bromine atoms bonded together.

Bromine is obtained from natural salt deposits. Two areas within the United States that have historically been associated with bromine extraction are Arkansas and Michigan. Bromine may also be extracted from seawater. Aqueous bromine may also be produced from the oxidation of bromides during chlorination of water, especially when seawater is used as a coolant. Since it contains a very slight concentration of bromine salts (about 85 parts per million), seawater is not a major source of industrial bromine. The most common form of mineralized bromine is silver bromide (bromargyrite), found chiefly in Mexico and Chile. Most of the supply of bromine used for industrial purposes, however, comes from the United States and Israel, with smaller amounts produced in France, Japan, and Russia.

Bromine-containing substances have been used for centuries. The first use of a material containing bromine was in ancient Rome. During the Roman Empire, a highly prized purple dye was painstakingly extracted from marine mussels. The dye, a bromine compound, was very expensive because it was so difficult to obtain and only the very wealthy could afford clothing dyed with the hue of this dye, which resulted in the term “royal purple.” In the early 2000s, bromine is used not only in the production of dyes, but also in the production of chemicals that improve safety, agriculture, and sanitation.

Bromine is a very effective agent in controlling the growth of aquatic microorganisms. As such, and like chlorine, it is used for water treatment because it can kill microorganisms and keep water clear and free from foul odors. For example, bromine salts, like sodium bromide, are used to control the growth of algae and bacteria in recreational spaces such as hot tubs and swimming pools. Another common bromine

aquatic biocide is bromochlorodimethylhydantoin. Occasionally, bromine is used by municipalities to control disease-causing microbes in drinking water because some of these microorganisms are more susceptible to bromine than chlorine.

Bromine compounds are also used as pesticides. Like other halogenated hydrocarbons such as DDT, some brominated hydrocarbons are powerful insecticides. A very effective and important bromine-containing hydrocarbon pesticide is methyl bromide. This agent, also known as bromomethane, is used to fumigate stored grain and produce to free them from pest infestations. Methyl bromide is also used to fumigate soil for valuable crops such as strawberries, peppers, eggplants, tomatoes, and tobacco. Since the 1940s, methyl bromide has been used as a soil pretreatment to kill insect, roundworm, and weed species that decrease the productivity of economically important crops. In 1992, approximately 73,000 tons of methyl bromide were used. However it was discovered that methyl bromide, like chlorofluorocarbons, also contributes to the depletion of the ozone layer. As a result, the Environmental Protection Agency (EPA) made recommendations that its use be phased out based upon the expert assessments of atmospheric scientists from the World Meteorological Organization and the National Oceanic and Atmospheric Administration. Under the Clean Air Act, the EPA is enforcing reductions in the use of methyl bromide. A mandatory reduction of 25 percent was achieved in 1999. By 2001, 50 percent reduction had been enforced. By the year 2003, a 70 percent reduction was mandated and a complete ban of the compound went into effect in 2005.

Despite the ban on methyl bromide, bromine will continue to be a valued chemical. The pharmaceutical industry relies heavily on bromine both in the manufacturing process and as constituent substance in pharmaceutical agents. The general anesthetic, halothane, contains bromine. The production of naproxen sodium, an over-the-counter nonsteroidal analgesic, uses bromine in intermediate reactions. Brompheniramine, the widely available antihistamine cold and allergy medication, contains bromine. The synthetic addition of bromine, or other halogens, to medications such as these facilitates their uptake into tissues. Other drugs use bromine to create easily absorbed dosage forms. For example, dextromethorphan hydrobromide, a cough medication, is a soluble bromine salt form of the poorly soluble active drug dextromethorphan, and dissolves easily as a clear solution.

Bromine is also used to create fire-resistant plastics. Plastic is made of very flammable hydrocarbon polymers. The addition of bromine compounds creates fire-

retardant plastic products. Brominated flame-retardants are used in televisions, stereos, computers, and electrical wiring to reduce fire hazard when these common electronic appliances generate excessive heat. Bromine-containing fire-retardant chemicals are also used in carpeting, draperies, and furniture foam padding. While bromine compounds make products more fire-resistant, they do not make them fireproof. Rather, they reduce the likelihood that a plastic item will ignite and delay the spread of fire. As bromine-treated plastic products burn, they release brominated hydrocarbons that threaten the ozone layer not unlike chlorofluorocarbons (CFCs). For this reason, research is now directed at finding alternatives to bromine flame-retardant chemicals. For example, promising new fire-resistant compounds use silicon.

Aside from its use in dyes, pesticides, water treatment, pharmaceuticals, and fire retardants, bromine compounds are also used in photographic film and print paper emulsions, hydraulic fluids, refrigeration fluids, inks, and hair products. As useful as bromine is, however, concern for the ozone layer has resulted in heightened vigilance concerning the overuse of bromine-containing chemicals.

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Terry Watkins

Bronchial constriction see **Bronchitis**.

## Bronchitis

Bronchitis is an inflammation of the thin mucous lining of the bronchi, the passages that carry air from the trachea to the lungs. Acute bronchitis usually follows a cold or other viral respiratory infection, and features a lingering, dry cough that lasts a few days or weeks. Chronic bronchitis is longer lasting, reoccurs, repeatedly, and includes a persistent productive cough.

Chronic bronchitis is characterized by a daily cough that produces sputum for at least three months each year for two consecutive years, when no other disease can account for these symptoms. The diagnosis of chronic bronchitis is made by this history, rather

than by any abnormalities found on a chest x-ray or through a pulmonary function test.

When a person inhales, air, smoke, germs, allergens, and pollutants pass from the nose and mouth into a large central duct called the trachea. The trachea branches into smaller ducts, the bronchi and bronchioles, which lead to the alveoli. These are the tiny, balloon-like air sacs, composed of capillaries, supported by connecting tissue, and enclosed in a thin membrane. Bronchitis can permanently damage the alveoli.

Chronic bronchitis is usually caused by cigarette smoke or exposure to other irritants or air pollutants. The lungs respond to the irritation in one of two ways. They may become permanently inflamed with fluid, which swells the tissue that lines the airways, narrowing them and making them resist airflow. Or, the mucus cells of the bronchial tree may produce excessive mucus.

The first sign of excessive mucus production is usually a morning cough. As smoking or exposure to air pollutants continues, the irritation increases and is complicated by infection, as excess mucus provides food for bacteria growth. The mucus changes from clear to yellow, and the infection becomes deep enough to cause actual destruction of the bronchial wall. Scar tissue replaces the fine cells, or cilia, lining the bronchial tree, and some bronchioles are completely destroyed. Paralysis of the cilia permits mucus to accumulate in smaller airways, and air can no longer rush out of these airways fast enough to create a powerful cough.

With each pulmonary infection, excess mucus creeps into the alveoli, and on its way, blocking portions of the bronchial tree. Little or no gas exchange occurs in the alveoli, and the ventilation-blood flow imbalance significantly reduces oxygen levels in the blood and raises carbon dioxide levels. Chronic bronchitis eventually results in airway or air sac damage; the air sacs become permanently hyperinflated because mucus obstructing the bronchioles prevents the air sacs from fully emptying.

Chronic bronchitis usually goes hand-in-hand with the development of emphysema, another chronic lung disease. These progressive diseases cannot be cured, but can be treated. Treatment includes avoiding the inhalation of harmful substances such as polluted air or cigarette smoke.

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Linda Rehkopf

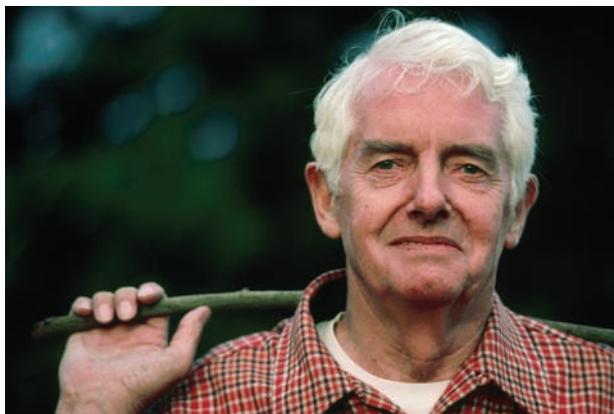
## Brower, David R.

1912–2000

American environmentalist and conservationist

David R. Brower, the founder of both Friends of the Earth and the Earth Island Institute, is considered one of the most radical and effective environmentalists in U.S. history.

Joining the Sierra Club in 1933, Brower became a member of its Board of Directors in 1941 and then its first executive director, serving from 1952 to 1969. In this position, Brower helped transform the group from a regional to a national force, seeing the club's membership expand from 2,000 to 77,000 and playing a key role in the formation of the Sierra Club Foundation. Under Brower's leadership the Sierra Club, among other achievements, successfully opposed the Bureau



David R. Brower. (© Roger Ressmeyer/Corbis)

of Reclamation's plans to build dams in Dinosaur National Monument in Utah and Colorado as well as in Arizona's Grand Canyon, but lost the fight to preserve Utah's Glen Canyon. The loss of Glen Canyon became a kind of turning point for Brower, indicating to him the need to take uncompromising and sometimes militant stands in defense of the natural environment. This militancy occasionally caused friction both between the groups he led and the private corporations and governmental agencies with which they interact and also within the increasingly broad-based groups themselves. In 1969 Brower was asked to resign as executive director of the Sierra Club's Board of Directors, which disagreed with Brower's opposition to a nuclear reactor in California's Diablo Canyon, among other differences. Eventually reelected to the Sierra Club's Board in 1983 and 1986, Brower became an honorary vice-president of the club and was the recipient, in 1977, of the John Muir Award, the organization's highest honor.

After leaving the Sierra Club in 1969, Brower founded Friends of the Earth with the intention of creating an environmental organization that would be more international in scope and concern and more political in its orientation than the Sierra Club. Friends of the Earth, which now is operating in some fifty countries, was intended to pursue a more global vision of environmentalism and to take more controversial stands on issues—including opposition to nuclear weapons—than could the larger, generally more conservative organization. But in the early 1980s, Brower again had a falling out with his associates over policy, eventually resigning from Friends of the Earth in 1986 to devote more of his time and energy to the Earth Island Institute, a San Francisco-based organization he founded in 1982.

Over the years, Brower played a key role in preserving wilderness in the United States, helping to create national park's and national seashores in Kings Canyon, the North Cascades, the Redwoods, Cape Cod, Fire Island, and Point Reyes. He was also instrumental in protecting primeval forests in the Olympic National Park and wilderness on San Geronio Mountain in California and in establishing the National Wilderness Preservation System and the Outdoor Recreation Resources Review, which resulted in the Land and Water Conservation Fund.

In his youth, Brower was one of this country's foremost rock climbers, leading the historic first ascent of New Mexico's Shiprock in 1939 and making seventy other first ascents in Yosemite National Park and the High Sierra as well as joining expeditions to

the Himalayas and the Canadian Rockies. A proficient skier and guide as well as a mountaineer, Browner served with the United States Mountain Troops from 1942 to 1945, training soldiers to scale cliffs and navigate in Alpine areas and serving as a combat-intelligence officer in Italy. For his service, Browner was awarded both the Combat Infantryman's Badge and the Bronze Star, and rose in rank from private to captain before he left active duty. As a civilian, Browner employed many of the same talents and abilities to show people what he had fought so long and so hard to preserve: He initiated the knapsack, river, and wilderness threshold trips for the Sierra Club's Wilderness Outings Program, and between 1939 and 1956 led some 4,000 people into remote wilderness.

Excluding his military service, Browner was an editor at the University of California Press from 1941 to 1952. Appointed to the *Sierra Club Bulletin's* Editorial Board in 1935, Browner eventually became the *Bulletin's* editor, serving in this capacity for eight years. He had been involved with the publication of more than fifty environmentally oriented books each for the Sierra Club and Friends of the Earth, several of which earned him prestigious publishing industry awards. He wrote a two-volume autobiography, *For Earth's Sake* and *Work in Progress*. Browner also made several Sierra Club films, including a documentary of raft trips on the Yampa and Green Rivers designed to show people the stark beauty of Dinosaur National Monument, which at the time was threatened with flooding by a proposed dam.

Browner was the recipient of numerous awards and honorary degrees and served on several boards and councils, including the Foundation on Economic Trends, the Council on National Strategy, the Council on Economic Priorities, the North Cascades Conservation Council, the Fate and Hope of the Earth Conferences, Zero Population Growth, the Committee on National Security, and Earth Day. He had twice been nominated for the Nobel Peace Prize. During his life, Browner promoted environmental causes around the globe, giving dozens of lectures in seventeen different countries and organizing several international conferences. In 1990, Browner's life was the subject of a PBS video documentary titled *For Earth's Sake*. He also was featured in the TV documentary *Green for Life*, which focused on the 1992 Earth Summit in Rio de Janeiro.

Before his death on November 5, 2000, Browner continued actively promoting environmental causes. He devoted much of his time to his duties at the Earth

Island Institute and promoting the activities of the International Green Circle. In 1990 and 1991, he led Green Circle delegations to Siberia's Lake Baikal to aid in its protection and restoration. Browner also lectured to companies and schools throughout the United States on Planetary Conservation Preservation and Restoration (CPR). His topics included land conservation, the economics of sustainability, and the meaning of wilderness to science. Browner's book, *Let the Mountains Talk, Let the Rivers Run*, includes a credo for the earth, which reflects what Browner had hoped to accomplish with his lectures and publications: "We urge that all people now determine that an untrammelled wilderness shall remain here to testify that this generation had love for the next."

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Lawrence J. Biskowski

## Browner, Carol

1955–

American Director of the White House Office of Energy and Climate Change Policy; former administrator of the Environmental Protection Agency

Carol Browner headed the Environmental Protection Agency (EPA) under the Clinton administration. She served from 1993 until 2001, making her the longest-serving director the agency had ever had. As of 2010, she was director of the White House Office of Energy and Climate Change Policy in the Obama administration.

Browner was born in Florida on December 17, 1955. Her father taught English and her mother social science at Miami Dade Community College. Browner grew up hiking in the Everglades, where her lifelong love for the natural world began. She was educated at the University of Florida in Gainesville, receiving both a B.A. in English and her law degree there.

Her political career began in 1980 as an aide in the Florida House of Representatives. Browner moved to Washington, DC, a few years later to join the national



**White House Energy and Climate Director Carol Browner during a press conference at the White House. (Brooks Kraft)**

office of Citizen Action, a grassroots organization that lobbies for a variety of issues, including the environment. She left Citizen Action to work with Florida Senator Lawton Chiles, and in 1989 she joined Senator Al Gore's staff as a senior legislative aide. From 1991 to 1993, Browner headed the Department of Environmental Regulation in Florida, the third-largest environmental agency in the country. She streamlined the process the department used to review permits for expanding manufacturing plants and developing wetlands, reducing the amount of money and time that process was costing businesses as well as the department. Activists had argued that this kind of streamlining interfered with the ability of government to supervise industries and assess their impact on the environment. But in Florida's business community, Browner built a reputation as a formidable negotiator on behalf of the environment. When the Walt Disney Company filed for state and federal permits to fill in 400 acres of wetlands, she negotiated an agreement which allowed the company to proceed with its

development plans in return for a commitment to buy and restore an 8,500-acre ranch near Orlando.

She was also the chief negotiator in the settlement of a lawsuit the government had brought against Florida for environmental damage done to Everglades National Park. The result was the largest ecological restoration project ever attempted in the United States, a plan to purify and restore the natural flow of water to the Everglades with the cost shared by the state and federal governments, as well as Florida's sugar farmers. These actions earned Browner a reputation as an environmentalist who could reconcile environmental protection and economic development; then as now, she believes that the stewardship of the environment requires accommodations with industry.

As director of the EPA, she was determined to protect the environment and public health while not alienating business interests. By many accounts, she was a remarkably successful administrator. She took the job at a time of relatively high environmental fervor, with Vice President Al Gore, who had particularly championed the environment, and Democrats in control of both houses of Congress.

But a conservative backlash led by Representative Newt Gingrich brought Republican control to the House and Senate in 1994. The political climate for environmental reform then became much more embattled. Many conservatives wished to downsize government and cut back the regulatory power of the EPA and other federal agencies. The EPA was shut down twice during her tenure, a temporary victim of congressional budgetary squabbles. As well, appropriations bills for EPA programs were frequently hit with amendments that countermanded the agency's ability to carry out some policies.

Despite the hostility of Congress, Browner had several legislative victories. In 1996 Browner led a campaign to have Congress reauthorize the Safe Drinking Water Act. That same year she spearheaded the Food Quality Protection Act, which modernized standards that govern pesticide use. This landmark legislation was one of the first environmental laws to specifically protect children's health. The law required scientists to determine what levels of pesticides were safe for children. Browner was also successful in getting so-called Superfund sites cleaned up. These were sites that were listed as being particularly polluted. Only twelve Superfund sites had been cleaned up when Browner took over the EPA in 1993. By the time she left the agency, over 700 sites had been cleaned up or were in the process of being cleaned up. After she left the EPA in 2001,

Browner continued to work for the environment by becoming a board member of the Audubon Society.

When Barack Obama was elected as U.S. President, an early decision prior to assuming office was the selection of Browner as an overseer of energy and climate policy. The position was approved and, as of 2010, Browner is Director of the White House Office of Energy and Climate Change Policy.

During 2010, Browner was one of the key public spokespersons following the sinking of the oil rig Deepwater Horizon in the Gulf of Mexico and resulting massive oil spill.

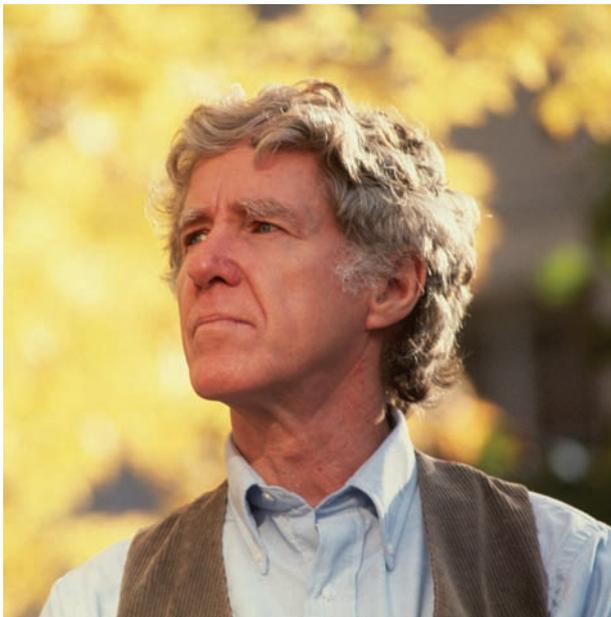
Douglas Smith

## Brown, Lester R.

1934–

American founder, president and senior researcher, Earth Policy Institute

Lester Brown is a highly respected and influential authority on global environmental issues. He founded the Worldwatch Institute in 1974 and served as its president until 2000. In 2001 he launched a new initiative, the Earth Policy Institute. Brown is an award-winning author of many books and articles on environmentally



Lester R. Brown. (© Wally McNamee/Corbis)

sustainable economic development and on environmental, agricultural, and economic problems and trends.

Brown was born in Bridgeton, New Jersey. His love and appreciation of nature as a sustainable source of food was developed during high school and college. After earning a degree in agricultural science from Rutgers University in 1955, he spent six months in rural India studying and working on agricultural projects. In 1959, he joined the U.S. Department of Agriculture's Foreign Agricultural Service as an international agricultural analyst. After receiving an M.S. in agricultural economics from the University of Maryland and a master's degree in public administration from Harvard, he went to work for Orville Freeman, the Secretary of Agriculture, as an advisor on foreign agricultural policy in 1964. In 1969, Brown helped establish the Overseas Development Council and in 1974, with the support of the Rockefeller Fund, he founded the Worldwatch Institute to analyze world conditions and problems such as famine, overpopulation, and scarcity of natural resources.

In 1984, Brown launched Worldwatch's annual *State of the World* report, a comprehensive and authoritative account of worldwide environmental and agricultural trends and problems. Eventually published in over thirty languages, *State of the World* is considered one of the most influential and widely read reports on public policy issues. Other Worldwatch publications initiated and overseen by Brown included *Worldwatch*, a bimonthly magazine, the *Environmental Alert* book series, and the annual *Vital Signs: The Trends That Are Shaping Our Future*. Brown has written or coauthored over a dozen books and some two dozen Worldwatch papers on various economic, agricultural, and environmental topics. Among his many awards, Brown has received a "genius award" from the MacArthur Foundation, as well as the United Nation's 1987 environmental prize. *The Washington Post* has described him as "one of the world's most influential thinkers."

Brown has long warned that unless the United States and other nations adopt policies that are ecologically and agriculturally sustainable, the world faces a disaster of unprecedented proportions. The *State of the World* reports have tracked the impact of human activity on the environment, listing things such as the percentage of bird species that were endangered, the number of days China's Yellow River was too depleted to irrigate fields in its lower reaches, the number of females being educated worldwide, and the number of cigarettes smoked per person. The reports made the ecological dimension of global economics clear and concrete. Often these reports were dire. The 1998 report, for example, discussed a concept termed demographic

fatigue. This referred to places where population was falling, not because of a birthrate held in check by family planning but because many people were dying through famine, drought, and infectious disease. At the time, conventional economics paid little heed to the environmental cost of development. Brown struggled to unseat that belief. He also wrote about a way out of the doom his work often foresaw. His 2001 *Eco-Economy: Building an Economy for the Earth* argued that creation of a new, ecologically aware economy, with emphasis on renewable energy, tax reform, redesign of cities and transportation, better agricultural methods and global cooperation, could alleviate much of the world's ills. Through the Earth Policy Institute's *Earth Policy Alerts*, Brown continued to add to the themes of his book. The mission of Brown's new organization was to reach policy makers and the public with information about building an environmentally sustainable economy.

Brown has been a prodigious author. As of 2010, he has written or cowritten fifty books. His latest book, published in 2009, is *Plan B 4.0 - Mobilizing to Save Civilization*, which was written as a final wake-up call for humanity.

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### ORGANIZATIONS

Earth Policy Institute, 1350 Connecticut Ave. NW, Washington, DC, USA, 20036, (202) 496-9290, (202) 496-9325, [epi@earth-policy.org](mailto:epi@earth-policy.org), <http://www.earth-policy.org>

Lewis Regenstein

## Brown pelican

The brown pelican (*Pelecanus occidentalis*) is a large water bird of the family Pelicanidae that is found along both coasts of the United States, chiefly in saltwater habitats. It weighs up to 8 pounds (3.5 kg) and has a wingspan of up to 7 feet (2 m). This pelican has a light brown body and a white head and neck often tinged with yellow. Its distinctive, long, flat bill and large throat pouch are adaptations for catching its primary food, schools of mid-water fishes. The brown pelican hunts while flying a dozen or more feet above the surface of the water, dropping or diving straight

down into the water, and using its expandable pouch as a scoop or net to engulf its catch.

Both east and west coast populations, which are considered to be different subspecies, showed various levels of decline over the later part of the twentieth century. It is estimated that there were 50,000 pairs of nesting brown pelicans along the Gulf coast of Texas and Louisiana in the early part of the twentieth century, but by the early 1960s, most of the Texas and all of the Louisiana populations were depleted. The main reason for the drastic decline was the use of organic pesticides, including DDT and endrin. These pesticides poisoned pelicans directly and also caused thinning of their eggshells. This eggshell thinning led to reproductive failure, because the egg were crushed during incubation. Louisiana has the distinction of being the only state to have its state bird become extinct within its borders. In 1970 the brown pelican was listed as endangered throughout its U.S. range.

During the late 1960s and early 1970s, brown pelicans from Florida were reintroduced to Louisiana, but many of these birds were doomed. Throughout the 1970s these transplanted birds were poisoned at their nesting sites at the outflow of the Mississippi River by endrin, which was used extensively upriver. In 1972 the use of DDT was banned in the United States, and the use of endrin was sharply curtailed. Continued reintroduction of the brown pelican from Florida to Louisiana subsequently met with greater success, and the Louisiana population had grown to more than 1,000 pairs by 1989. Texas, Louisiana, and California populations were listed as endangered until 2007; the Alabama and Florida populations of the brown pelican were removed earlier from the federal list due to increases in fledgling success. As of 2010, there were about 15,000 nesting pairs and 20,000 young in Alabama and Florida. The Texas population had about 4,000 active nests and Louisiana had about 16,000 pairs. Scientists monitored the bird's populations in the aftermath of Hurricane Katrina in 2005 and the aftermath of the Gulf of Mexico 2010 oil spill.

Other problems that face the brown pelican include habitat loss, encroachment by humans, and disturbance by humans. Disturbances have included mass visitation of nesting colonies. This practice has been stopped on federally owned lands and access to nesting colonies is restricted. Other human impacts on brown pelican populations have had a more malicious intent. On the California coast in the 1980s there were cases of pelicans' bills being broken purposefully, so that these birds could not feed and would ultimately starve to death. It is thought that disgruntled commercial fishermen faced with dwindling catches were responsible for

at least some of these attacks. The brown pelican was a scapegoat for conditions that were due to weather, pollution, or, most likely, overfishing.

Recovery for the brown pelican has been slow, but progress is being made on both coasts. The banning of DDT in the early 1970s was probably the turning point for this species, and the delisting of the Alabama and Florida populations is a hopeful sign for the future.

## Resources

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Eugene C. Beckham

## Brown tree snake

The brown tree snake (*Boiga irregularis*) has caused major ecological and economic damage in Guam, the largest of the Mariana Islands. The snake is native to New Guinea and northern Australia. It has also been introduced to some Pacific islands in addition to Guam.

Brown tree snakes in their natural habitat range from 3–6 ft (0.9–1.8 m) in length. Some snakes in Guam are more than 10 ft (3 m) long. The snake's head is bigger than its neck, and its coloring varies with its habitat. In Guam, the snake's brown-and-olive-green pattern blends in with foliage.

The tree snake was accidentally brought to Guam by cargo ships during the years between the end of World War II (1945) through 1952. On Guam, there were no population controls such as predators that eat snakes. As a result, the snake population boomed. During the late 1990s, there were close to 13,000 snakes per square mile in some areas.

The snake's diet includes birds, and the United States Geographical Survey (USGS) said that the brown tree snake "virtually wiped out" twelve of Guam's native forest birds (three of which are now extinct). Furthermore, snakes crawling on electrical lines may cause power outages.

Liz Swain

## Brundtland, Gro Harlem

1939–

Norwegian doctor, former Prime Minister of Norway, Director-General of the World Health Organization

Dr. Gro Harlem Brundtland is a Norwegian who is a physician, politician, and diplomat. Beginning in July 1998, she served for five years as Director-General of the World Health Organization (WHO). As of 2010, she is a special envoy on climate change for the secretary-general of the United Nations.

Brundtland had been instrumental in promoting political awareness of the importance of environmental issues. In her view, the world shares one economy and one environment. With her appointment to the head of WHO, Brundtland continued to work on global strategies to combat ill health and disease.

Brundtland began her political career as Oslo's parliamentary representative in 1977. She became the leader of the Norwegian Labor Party in 1981, when she first became prime minister. At 42, she was the youngest person ever to lead the country and the first woman to do so. She regained the position in 1986 and held it until 1989; in 1990 she was again elected prime minister. Aside from her involvement in the environmental realm, Brundtland promoted equal rights and a larger role in government for women. In her second cabinet, eight of the eighteen positions were filled by women; in her 1990 government, nine of nineteen ministers were women.

Brundtland earned a degree in medicine from the University of Oslo in 1963 and a master's degree in



**Gro Harlem Brundtland, United Nations special envoy on climate change, addresses the delegates during the opening of the World Climate Conference-3 in Geneva, Switzerland, 2009.** (© DENIS BALIBOUSE/Reuters/Corbis.)

public health from Harvard in 1965. She served as a medical officer in the Norwegian Directorate of Health and as medical director of the Oslo Board of Health. In 1974 she was appointed minister of the environment, a position she held for four years. This appointment came at a time when environmental issues, especially pollution, were becoming increasingly important, not only locally but nationally. She gained international attention and in 1983 was selected to chair the United Nation's World Commission on Environment and Development. The commission published *Our Common Future* in 1987, calling for sustainable development and intergenerational responsibility as guiding principles for economic growth. The report stated that present economic development depletes both nonrenewable and potentially renewable resources that must be conserved for future generations. The commission strongly warned against environmental degradation and urged nations to reverse this trend. The report led to the organization of the so-called Earth Summit in Rio de Janeiro in 1992, an international meeting led by the United Nation's Conference on Environment and Development.

Brundtland resigned her position as prime minister in October 1996. This was prompted by a variety of factors, including the death of her son and the possibility of appointment to lead the United Nations.

Instead she was picked to head the World Health Organization, an assembly of almost 200 member nations concerned with international health issues. Brundtland immediately reorganized the leadership structure of WHO, vowed to bring more women into the group, and called for greater financial disclosure from WHO executives. She began dual campaigns intended to reduce the global cases of malaria and tuberculosis. She also launched an unprecedented campaign to combat tobacco use worldwide. Brundtland noted that disease due to tobacco was growing enormously. Tobacco-related illnesses already caused more deaths worldwide than AIDS and tuberculosis combined. Much of the increase in smoking was in the developing world, particularly China. In 2000, WHO organized the Framework Convention on Tobacco Control to come up with a world wide treaty governing tobacco sale, advertising, taxation, and labeling. Brundtland broadened the mission of WHO by attempting the treaty. She was credited with making WHO a more active group, and with making health issues a major component of global economic strategy for organizations such as the United Nations and the so-called G8 group of developed countries. In 2002 Brundtland announced more new goals for WHO, including renewed work on diet and nutrition. She has recognized the need for private sector involvement and serves as a consultant to PepsiCo.

She is also one of the founding members of The Elders, a group of world leaders that convened in 2007 to address pressing world issues, and is a member of the Club of Madrid, an independent think-tank that promotes democracy and global change. In 2007, UN Secretary-General Ban Ki-moon named Brundtland a special envoy for climate change.

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William G. Ambrose  
Paul E. Renaud

Brundtland Report see **Our Common Future (Brundtland Report)**.

## Btu

Abbreviation for “British Thermal Unit,” the amount of energy needed to raise the temperature of one pound of water by one degree Fahrenheit. One Btu is equivalent to 1,054 joules or 252 calories. To gain an impression of the size of a Btu, the combustion of a barrel of oil yields about  $5.6 \times 10^6$  joule. A multiple of the Btu, the quad, is commonly used in discussions of national and international energy issues. The term quad is an abbreviation for one quadrillion, or  $10^{15}$ , Btu.

## Budyko, Mikhail I.

1920–2001

Belarusian geophysicist, climatologist

Professor Mikhail Ivanovich Budyko is regarded as the founder of physical climatology. Born in Gomel in the former Soviet Union, now Belarus, Budyko earned his master of sciences degree in 1942 from the Division of Physics of the Leningrad Polytechnic Institute. As a researcher at the Leningrad Geophysical Observatory, he received his doctorate in physical and mathematical sciences in 1951. Budyko served as deputy director of the Geophysical Observatory until 1954, as director until 1972, and as head of the Division for Physical Climatology at the observatory from 1972 until 1975. In that year he was appointed director of the Division for Climate Change Research at the State Hydrological Institute in St. Petersburg.

During the 1950s, Budyko pioneered studies on global climate. He calculated the energy or heat balance—the amount of the Sun’s radiation that is absorbed by the Earth versus the amount reflected back into space—for various regions of the Earth’s surface and compared these with observational data. He found that the heat balance influenced various phenomena including the weather. Budyko’s groundbreaking book, *Heat Balance of the Earth’s Surface*, published in 1956, transformed climatology from a qualitative into a quantitative physical science. These new physical methods based on heat balance were quickly adopted by climatologists around the world. Budyko directed the compilation of an atlas illustrating the components of the Earth’s heat balance. Published in 1963, it remains an important reference work for global climate research.

During the 1960s, scientists were puzzled by geological findings indicating that glaciers once covered

much of the planet, even the tropics. Budyko examined a phenomenon called planetary albedo, a quantifiable term that describes how much a given geological feature reflects sunlight back into space. Snow and ice reflect heat and have a high albedo. Dark seawater, which absorbs heat, has a low albedo. Land formations are intermediate, varying with type and heat-absorbing vegetation. As snow and ice-cover increase with a global temperature drop, more heat is reflected back, ensuring that the planet becomes colder. This phenomenon is called ice-albedo feedback. Budyko found an underlying instability in ice-albedo feedback, called the snowball Earth or white Earth solution: If a global temperature drop caused ice to extend to within 30 degrees of the equator, the feedback would be unstoppable and the Earth would quickly freeze over. Although Budyko did not believe that this had ever happened, he postulated that a loss of atmospheric carbon dioxide, for example if severe weathering of silicate rocks sucked up the carbon dioxide, coupled with a sun that was 6 percent dimmer than today, could have resulted in widespread glaciation.

Budyko became increasingly interested in the relationships between global climate and organisms and human activities. In *Climate and Life*, published in 1971, he argued that mass extinctions were caused by climatic changes, particularly those resulting from volcanic activity or meteorite collisions with the Earth. These would send clouds of particles into the stratosphere, blocking sunlight and lowering global temperatures. In the early 1980s Budyko warned that nuclear war could have a similar effect, precipitating a “nuclear winter” and threatening humans with extinction.

By studying the composition of the atmosphere during various geological eras, Budyko confirmed that increases in atmospheric carbon dioxide, such as those caused by volcanic activity, were major factors in earlier periods of global warming. In 1972, when many scientists were predicting climate cooling, Budyko announced that fossil fuel consumption was raising the concentration of atmospheric carbon dioxide, which, in turn, was raising average global temperatures. He predicted that the average air temperature, which had been rising since the first half of the twentieth century, might rise another 5°F (3°C) over the next 100 years. Budyko then examined the potential effects of global warming on rivers, lakes, and groundwater, on twenty-first-century food production, on the geographical distribution of vegetation, and on energy consumption.

The author and editor of numerous articles and books, in 1964 Budyko became a corresponding member of the Division of Earth Sciences of the Academy

of Sciences of the Union of Soviet Socialist Republics. In 1992, he was appointed academician in the Division of Oceanology, Atmosphere Physics, and Geography of the Russian Academy of Sciences. His many awards include the Lenin National Prize in 1958, the Gold Medal of the World Meteorological Organization in 1987, the A. P. Vinogradov Prize of the Russian Academy of Sciences in 1989, and the A. A. Grigoryev Prize of the Academy of Sciences in 1995. Budyko was awarded the Robert E. Horton Medal of the American Geophysical Union in 1994, for outstanding contribution to geophysical aspects of hydrology. In 1998 Dr. Budyko won the Blue Planet Prize of the Asahi Glass Foundation of Japan.

Budyko died on December 10, 2001, in Saint Petersburg, Russia.

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State Hydrological Institute, 23 Second Line VO, St. Petersburg, Russia, 199053

Margaret Alic

The buffering capacity of a solution refers to its ability to neutralize added acids. A system that is strongly pH buffered will undergo less change in pH with the addition of an acid or a base than a less well-buffered system. Alkalinity refers to the concentration of bicarbonate ( $\text{HCO}_3^-$ ) and carbonate ( $\text{CO}_3^{--}$ ) ions in solution, and the ability of these ions in solution to neutralize introduced acids. A well-buffered lake contains higher concentrations of bicarbonate ions that react with added acid. This kind of lake resists change in pH better than a poorly buffered lake. A highly buffered soil contains an abundance of ion exchange sites on clay minerals and organic matter that react with added acid to inhibit pH reduction.

Acid rain occurs as a result of sulfur dioxide ( $\text{SO}_2$ ) and nitrogen oxide ( $\text{NO}_x$ ) emissions from industrial processes, electric power generation, and motor vehicle exhaust. These gases react with water and oxygen and are converted to acids after release into the atmosphere. The acidic particles are deposited via precipitation (in the form of rain, fog, clouds, snow, or sleet) onto soil, plants, and into bodies of water. The acids can also incorporate into dust and smoke in the air, resulting in dry deposition. Depending on soil and aquatic buffering capacities, this deposition of acidic precipitation can alter the pH of soil and bodies of water such as lakes, rivers, and ponds. This change in pH can affect the growth and health of aquatic organisms and plants by stressing or weakening them. Acid rain affects plants by dissolving and washing away essential nutrients and minerals and enabling the release of harmful or toxic substances in the soil, such as aluminum (Al). Agricultural crops are generally unaffected by acid rain due to the application of nutrient-rich fertilizers and limestone, which is highly alkaline and increases the buffering capacity of the soil. The aluminum released from the soil can be deposited via runoff into nearby bodies of water and is toxic to aquatic organisms. Acid rain can be lethal to aquatic organisms, resulting in reduced aquatic biodiversity.

*See also* Acid and base.

## Resources

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## Buffer

A term in chemistry that refers to the capacity of a system to resist chemical change, although there are buffers against physical change such as temperature and humidity. Most often it is used in reference to the ability to resist change in pH, which is a scale used to indicate the acidity or alkalinity of a solution.

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## Bulk density

Bulk density is the dry weight of soil per unit bulk volume. The bulk volume consists of mineral and organic materials, water, and air. Bulk density is affected by external pressures such as compaction from the weight of tractors and harvesting equipment and mechanical pressures from cultivation machines, and by internal pressures such as swelling and shrinking due to water-content changes, freezing and thawing, and by plant roots. The bulk density of cultivated mineral soils ranges from 1 to 1.6 milligrams/meter<sup>3</sup>. A more porous soil (high percentage of pores or high porosity) has a lower bulk density and a higher water-holding capacity. For instance, organic soils are more porous than mineral soils and thus have a lower bulk density. Bulk density is a general property of powders and granules, but is often applied to soils.

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## Burden of proof

The current regulatory system, following traditional legal processes, generally assumes that chemicals are innocent, or not harmful, until proven guilty. Thus, the burden to show proof that a chemical is harmful to human and/or ecosystem health falls on those who regulate or are affected by these chemicals. As evidence increases that many of the more than 70,000 chemicals in the marketplace today—and the 10,000 more introduced each year—are causing health effects in various species, including humans, new regulations are being proposed that would reverse this burden to the manufacturer, importer or user of the chemical and its by-products. They would then have to prove before its production and distribution that the chemical will not be harmful to human health and the environment.

## Bureau of Land Management

The Bureau of Land Management (BLM), an agency within the U. S. Department of the Interior, was created by executive reorganization in June 1946. The new agency was a merger of the Grazing Service and the General Land Office (GLO). The GLO was established in the Treasury Department by Congress in 1812 and charged with the administration of the public lands. The agency was transferred to the Department of the Interior when it was established in 1849. Throughout the 1800s and early 1900s, the GLO played the central role in administering the disposal of public lands under a multitude of different laws. But as the nation began to move from disposal of public lands to retention of them, the services of the GLO became less needed, which helped to pave the way for the creation of the BLM. The Grazing Service was created in 1934 (as the Division of Grazing) to administer the Taylor Grazing Act.

In the 1960s, the BLM began to advocate for an organic act that would give it firmer institutional footing, would declare that the federal government planned to retain the BLM lands, and would grant the agency statutory authority to professionally manage these lands (like the Forest Service). Each of these goals was achieved with the passage of the Federal Land Policy and Management Act (FLPMA) in 1976. The agency was directed to manage these lands and undertake long-term planning for the use of the lands, guided by the principle of multiple use.

The BLM manages 253 million acres (102 million ha) of land, primarily in the western states. This land is of three types: Alaskan lands (78 million acres; 31.6 million ha), which is virtually unmanaged; the Oregon and California lands (31.4 million acres; 12.7 million ha), prime timber land in western Oregon that reverted back to the government in the early 1900s due to land grant violations; and the remaining land (143.6 million acres; 58.1 million ha), approximately 70 percent of which is in grazing districts. As a multiple-use agency, the BLM manages these lands for a number of uses: fish and wildlife, forage, minerals, recreation, and timber.

Additionally, FLPMA directed that the BLM review all of its lands for potential wilderness designation, a process that is now well underway. (BLM lands were not covered by the Wilderness Act of 1964). In addition to these general land management responsibilities, the BLM also issues leases for mineral development on all public lands. FLPMA also directed that all mineral claims under the 1872 Mining Law be recorded with the BLM.

The BLM is headed by a director, appointed by the president, and confirmed by the Senate. The chain

of command runs from the director in Washington to state directors in twelve western states (all but Hawaii and Washington), to district managers, who administer grazing or other districts, to resource area managers, who administer parts of the districts.

The BLM has often been compared unfavorably to the Forest Service. It has received less funding and less staff than its sibling agency, has been less professional, and has been characterized as captured by livestock and mining interests. Recent studies suggest that the administrative capacity of the BLM has improved.

## Resources

### ORGANIZATIONS

U.S. Bureau of Land Management, 1849 C Street NW, Room 5665, Washington, DC, USA, 20240, (202) 208-3801, (202) 208-5242, <http://www.blm.gov>.

Christopher McGrory Klyza

## Bureau of Oceans and International Environmental and Scientific Affairs (OES)

The Bureau of OES was established in 1974 under Section 9 of the Department of State Appropriations Act. The OES promotes coordinates U.S. foreign policy on a number of environmental and science issues, including climate change, biodiversity, sustainable development, clean water access, infectious disease, and ocean and polar issues. OES contains various offices that address these issues including: the Oceans and Fisheries Directorate; Office of Marine Conservation; Office of Ocean and Polar Affairs; Office of Environmental Policy; Office of Ecology and Natural Resource Conservation; Office of Global Climate Change; Office of International Health and Biodefense; Office of Space and Advanced Technology; Office of Science and Technology Cooperation. Each of OES's offices coordinates national policy and works with related U.S. agencies and U.S. embassies around the world issues under the purview of the OES. The Assistant Secretary of State for Oceans and International Environmental and Scientific Affairs heads the OES.

## Resources

### ORGANIZATIONS

U.S. Department of State, 2201 C St. NW, Washington, DC, USA, 20520, (202) 647-4000, <http://www.state.gov/g/oes>

## Bureau of Reclamation

The U.S. Bureau of Reclamation was established in 1902 and is part of the U.S. Department of the Interior. It is primarily responsible for the planning and development of dams, power plants, and water transfer projects, such as Grand Coulee Dam on the Columbia River, the Central Arizona Project, and Hoover Dam on the Colorado River. This latter dam, completed in 1935 between Arizona and Nevada, is the highest arch dam in the Western Hemisphere and is part of the Boulder Canyon Project, the first great multipurpose water development project, providing irrigation, electric power, and flood control. It also created Lake Mead, which is supervised by the National Park Service to manage boating, swimming, and camping facilities on the 115-mi-long (185-km-long) reservoir formed by the dam.

The dams on the Colorado River are intended to reduce the impact of the destructive cycle of floods and droughts which makes settlement and farming precarious and to provide electricity and recreational areas; however, the deep canyons and free-flowing rivers with their attendant ecosystems are substantially altered. Along the Columbia River, efforts are made to provide "fish ladders" adjacent to dams to enable salmon and other species to bypass the dams and spawn up river; however, these efforts have not been as successful as desired and many native species are now endangered.

Problems faced by the bureau relate to creating a balance between its mandate to provide hydropower, water control for irrigation, and by-product recreation areas, and the conflicting need to preserve existing ecosystems. For example, at the Glen Canyon Dam on the Colorado River, controls on water releases are being imposed while studies are completed on the best manner of protecting the environment downstream in the Grand Canyon National Park and the Lake Mead National Recreation Area.

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### ORGANIZATIONS

Bureau of Reclamation, 1849 C St. NW, Washington DC, USA, 20240-0001, <http://www.usbr.gov>

Malcolm T. Hepworth

## Buried soil

Buried soil is soil that appeared on Earth's early surface and sustained plant life but because of a geologic event has been covered by a layer of sediment. Sediments can result from volcanoes, rivers, dust storms, or blowing sand or silt.

## Burroughs, John

1837–1921

American naturalist and writer

A follower of both Henry David Thoreau and Ralph Waldo Emerson, Burroughs more clearly defined the nature essay as a literary form. His writings provided vivid descriptions of outdoor life and gained popularity among a diverse audience.

Burroughs spent his boyhood exploring the lush countryside surrounding his family's dairy farm in the valleys of the Catskill Mountains, near Roxbury, New York. He left school at age sixteen and taught grammar school in the area until 1863, when he left for Washington, DC, for a position as a clerk in the U.S. Treasury Department. While in Washington, Burroughs met poet Walt Whitman, through whom he began to develop and refine his writing style. His early essays were featured in the *Atlantic Monthly*. These works, including "With the Birds" and "In the Hemlocks," detailed Burroughs's boyhood recollections as well as recent observations of nature. In 1867 Burroughs published his first book, *Notes on Walt Whitman as a Poet*



John Burroughs (left) with naturalist John Muir. (Corbis-Bettmann)

and *Person*, to which Whitman himself contributed significantly. Four years later, Burroughs produced *Wake-Robin* independently, followed by *Winter Sunshine* in 1875, both of which solidified his literary reputation.

By the late 1800s, Burroughs had returned to New York and built a one-room log cabin house he called "Slabsides," where he philosophized with such guests as John Muir, Theodore Roosevelt, Thomas Edison, and Henry Ford. Burroughs accompanied Roosevelt on many adventurous expeditions and chronicled the events in his book, *Camping and Tramping with Roosevelt* (1907).

Burroughs's later writings took new directions. His essays became less purely naturalistic and more philosophical and deductive. He often sought to reach the levels of spirituality and vision he found in the works of Whitman, Emerson, and Thoreau. Burroughs explored poetry, for example, in *Bird and Bough* (1906), and *The Summit of the Years* (1913), which searched for a less scientific explanation of life, while *Under the Apple Trees* (1916) examined World War I.

Although Burroughs's enthusiastic inquisitiveness prompted travel abroad, he traveled primarily within the United States. He died in 1921 enroute from California to his home in New York. Following his death, the John Burroughs Association was established through the auspices of the American Museum of Natural History.

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Kimberley A. Peterson

## Bush meat/market

Humans have always hunted wild animals for food, commonly known as bush meat. For many people living in the forests of Africa, South America, and Southeast Asia, hunting remains a way of life. However during the



**Butchering of Western lowland gorilla (*Gorilla gorilla gorilla*) by a poacher in South East Cameroon.** (Karl Amman / Photo Researchers, Inc.)

1990s bush meat harvesting, particularly in Africa, was transformed from a local subsistence activity into a profitable commercial enterprise. In 2004, the United Nations Food and Agriculture Organization (FAO) warned that overhunting in many parts of the world threatened the survival of some animal species and the food security of human forest-dwellers.

Although the killing of endangered species is illegal, such laws are rarely enforced across much of Africa. Bush meat harvesting is threatening the survival of chimpanzees (*Pan troglodytes*), gorillas (*Gorilla gorilla*), and bonobos (pygmy chimps) (*P. paniscus*), as well as some species of smaller monkeys and duikers (small antelope). Colobus monkeys and baboons, including drills and mandrills, are highly-prized as bush meat. In 2000, one species of red colobus monkey (*Procolobus badius waldroni*) was declared extinct from over hunting. Protected elephants and wild boar, gazelle, impala, crocodile, lizards, insects, bats, birds, snails, porcupine, and squirrel are all killed for bush meat. The chameleons and radiated tortoises of Madagascar are threatened with extinction from overhunting.

In Indonesia and Malaysia, particularly on Borneo, orangutans (*Pongo pygmaeus*) are hunted despite their full legal protection. In Southeast Asia, fresh water turtles, harvested for food and medicine, are critically endangered.

Large animals and those that travel in groups are easy targets for hunters whose traditional spears,

snares, and nets have been replaced with shotguns and even semiautomatic weapons. Since large animals reproduce slowly, the FAO is encouraging the hunting of smaller animals whose populations are more likely to be able to sustain the harvesting.

With populations of buffalo and other popular African game declining, hunters are beginning to stalk animals such as zebra and hippo that had been protected by local custom and taboos. Hunters also are turning to rodents and reptiles—animals that were previously shunned as food. Ingrained local customs against hunting bonobos are collapsing as more humans move into bonobo habitat.

Logging in the Congo Basin, the world's second-largest tropical forest, has contributed to the dramatic rise in bush-meat harvesting. Logging companies are bringing thousands of workers into sparsely populated areas and feeding them with bush meat. Logging roads open up new areas to hunters and logging trucks carry bush meat to market and into neighboring countries. Conservationists warn that by 2025, the large mammals of the Congo Basin could become locally extinct due to disease and hunting for bush meat.

The expanding market for African bush meat is fueled by human population growth and increasing poverty. In rural areas and among the poor, bush meat is often the only source of meat protein, particularly in times of drought or famine. It also may be the only source of cash income for the rural impoverished. With the urbanization of Africa, the taste for bush meat has moved to the cities. Bush meat is readily available in urban markets, where it is considered superior to domesticated meat. Primate meat is sold fresh, smoked, or dried. Bush meat is on restaurant menus throughout Africa and Europe. Monkey meat is smuggled into the United Kingdom, where it is surreptitiously sold in butcher shops. It has been estimated that over one million tons of bush meat is harvested in the Congo Basin every year and that the bush meat trade in central and western Africa is worth more than \$1 billion annually.

Scientists consider the biodiverse, dense forests where loggers and hunters encounter primates for bush meat to be highly favorable for the emergence of new viral diseases. Much of the time, viruses can be traded back and forth between humans and animals causing minimal spread of disease. Occasionally, however, a virus that inhabits an animal, then a human, becomes capable of causing disease and also capable of spreading from person to person. Viral diseases that previously emerged to affect humans in a similar fashion include Ebola and HIV/AIDS. Scientists believe

that humans can contract the deadly Ebola virus from infected chimpanzees and gorillas, and that HIV was first passed to humans from chimpanzees.

The Bushmeat Crisis Task Force (BCTF) is working with logging companies in the Congo Basin and West Africa to prevent illegal hunting and the use of company roads for the bush meat trade. The BCTF also works with governments and local communities to develop investment and foreign-aid policies that promote sustainable development and wildlife protection. Other organizations try to turn hunters into conservationists and educate bush meat consumers. TRAFFIC, which monitors wildlife trade for the World Wildlife Fund, and the World Conservation Union are urging that wildlife ownership be transferred from ineffectual African governments to landowners and local communities who have a vested interest in sustaining wildlife populations.

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Margaret Alic

BWCA see **Boundary Waters Canoe Area**.

## Bycatch

The use of certain kinds of commercial fishing technologies can result in large bycatches—incidental catches of unwanted fish, sea turtles, seabirds, and marine mammals. Because the bycatch animals have little or no economic value, they are usually jettisoned, generally dead, back into the ocean. This non-selectivity of commercial fishing is an especially important problem when trawls, seines, and drift nets are used. The bycatch consists of unwanted species of fish and other animals, but it can also include large amounts of undersized, immature individuals of commercially important species of fish.

The global amount of bycatch has been estimated in recent years at about 30 million tons (27 million tonnes), or more than one-fourth of the overall catch of the world's fisheries. In waters of the United States, the amount of unintentional bycatch of marine life is about 3 million tons per year (2.7 million tonnes per year). However, the bycatch rates vary greatly among fisheries. In the fishery for cod and other groundfish species in the North Sea, the discarded non market biomass averages about 42 percent of the total catch, and it is 44–72 percent in the Mediterranean fishery. Discard rates are up to 80 percent of the catch weight for trawl fisheries for shrimp.

Some fishing practices result in large bycatches of sea turtles, marine mammals, and seabirds. During the fishing year of 1988–1989, for example, the use of pelagic drift nets, each as long as 56 miles (90 km),

may have killed as many as 0.3-1.0 million dolphins, porpoises, and other cetaceans. During one 24-day monitoring period, a typical set of a drift net of 19 km/day in the Caroline Islands of the south Pacific entangled ninety-seven dolphins, eleven larger cetaceans, and ten sea turtles. It is thought that bycatch-related mortality is causing population declines in thirteen out of the forty-four species of marine mammals that are suffering high death rates from human activities. Wildlife experts estimate that hundreds of thousands of seabirds have been drowned each year by entanglement in pelagic drift nets.

In 1991, the United Nations passed a resolution that established a moratorium on the use of drift nets longer than 1.6 mi (2 km), and most fishing nations have met this guideline. However, there is still some continued use of large-scale drift nets. Moreover, the shorter nets that are still legal are continuing to cause extensive and severe bycatch mortality.

Sea turtles, many of which are federally listed as endangered, appear to be particularly vulnerable to being caught and drowned in the large, funnel-shaped trawl nets used to catch shrimp. Scientists have, however, designed simple, selective, turtle excluder devices (TEDs) that can be installed on the nets to allow these animals to escape if caught. The use of TEDs is required in the United States and many other countries, but not by all of the fishing nations.

Purse seining for tuna has also caused an enormous mortality of certain species of dolphins and porpoises. This method of fishing is thought to have killed more than 200,000 small cetaceans per year since the 1960s, but perhaps about one-half that number since the early 1990s due to improved methods of deployment used in some regions. Purse seining is thought to have severely depleted some populations of marine mammals.

The use of long-lines also results in enormous bycatches of various species of large fishes, such as tuna, swordfish, and sharks, and it also kills many seabirds. Long-lines consist of a fishing line up to 80 mi (130 km) long and baited with thousands of hooks. A study in the Southern Ocean reported that more than 44,000 albatrosses of various species are killed annually by long-line fishing for tuna.

In addition, great lengths of fishing nets are lost each year during storms and other accidents. Because the synthetic materials used to manufacture the nets are extremely resistant to degradation, these so-called “ghost nets” continue to catch and kill fish and other marine mammals for many years.

In 2007, Congress passed laws creating the Bycatch Reduction Engineering Program (BREP), an organization charged with designing engineering solutions to minimize bycatch in U.S. waters.

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Bill Freedman

## Bycatch reduction devices

Seabirds, seals, whales, sea turtles, dolphins, and non-targeted fish can be unintentionally caught and killed or maimed by modern fish and shrimp catching methods. This phenomenon is called bycatch or the unintended capture or mortality of living marine resources as a result of fishing. It is managed under

such laws as the Migratory Bird Treaty Act, the Endangered Species Act of 1973, the Marine Mammals Protection Act of 1972 (amended in 1994), and, most recently, the Magnuson-Stevens Fishery Conservation and Management Act of 1996. The 1995 United Nations Code of Conduct for Responsible Fisheries, to which the United States is a signatory, also emphasizes the importance of bycatch reduction. Bycatch occurs because most fishing methods are not perfectly “selective,” (i.e., they do not catch and retain only the desired size, sex, quality, and quantity of target species). It also occurs because fishermen often have incentive to catch more fish than they will keep.

According to the United Nations’ Food and Agriculture Organization, worldwide commercial fishing operations discard millions of tons of fish, approximately one-fourth of the world catch, because they were the wrong type, sex, or size. Some bycatch are protected marine mammals, turtles, or seabirds.

To address this problem, numerous research programs have been established to develop BRDs and other means to reduce bycatch. Much of this research, and the nation’s bycatch reduction activities overall, is centered in the Department of Commerce’s National Marine Fisheries Service (NMFS), which leads and coordinates the United States’ collaborative efforts to reduce bycatch. In March 1997, NMFS proposed a draft long-term strategy, *Managing the Nation’s Bycatch*, that seeks to provide structure to the service’s diverse bycatch-related research and management programs. These include gear research, technology transfer workshops, and the exploration of new management techniques. NMFS’s Bycatch Plan was intended to as a guide for its own programs and for its “cooperators” in bycatch reduction, including eight regional fishery management councils, states, three interstate fisheries commissions, the fishing industry, the conservation community, and other parties. In pursuing its mandate of conserving and managing marine resources, NMFS relies on the direction for bycatch established by the 104th Congress under the new National Standard 9 of the Magnuson-Stevens Act, which states: “Conservation and management measures shall, to the extent practicable, (A) minimize bycatch and (B) to the extent bycatch cannot be avoided, minimize the mortality of such bycatch.”

But although the national bycatch standard applies across all regions, bycatch issues are not uniform for all fisheries. Indeed bycatch is not always a problem and can sometimes be beneficial, (e.g., when bycatch species are kept and used as if they had been targeted species). But where bycatch is a problem, the

exact nature of the problem and potential solutions will differ depending on the region and fishery. For instance, in the U.S. Gulf of Mexico shrimp fishery, which contributes about 70 percent of the annual U.S. domestic shrimp production, bycatch of juvenile red snapper (*Lutjanus blackfordi*) by shrimp trawlers reduces red snapper stocks for fishermen who target those fish. According to NMFS, “In the absence of bycatch reduction, red snapper catches will continue to be a fraction of maximum economic or biological yield levels.” To address this problem, the Gulf of Mexico Fishery Management Council prepared an amendment to the shrimp fishery management plan for the Gulf of Mexico requiring shrimpers to use BRDs in their nets. But BRD effectiveness differs, and devices often lose significant amounts of shrimp in reducing bycatch. For instance, one device called a “30-mesh fisheye” reduced overall shrimp catches, and revenues, by 3 percent, an issue that must be addressed in any analysis of the costs and benefits of requiring BRDs, according to NMFS. In Southern New England, the yellowtail flounder (*Pleuronectes ferrugineus*) has been important to the New England groundfish fisheries for several decades. But the stock has been depleted to a record low because, from 1988 to 1994, most of the catch was discarded by trawlers. Reasons for treating the catch as bycatch were that most of the fish were either too small for marketing or were smaller than the legal size limit. Among the solutions to this complex problem are an increase in the mesh size of nets so smaller fish would not be caught and a redesign of nets to facilitate the escape of undersized yellowtail flounder and other bycatch species.

Although fish bycatch exceeds that of other marine animals, it is by no means the only significant bycatch problem. Sea turtle bycatch has received growing attention in recent years, most notably under the Endangered Species Act Amendments of 1988, which mandated a study of sea turtle conservation and the causes and significance of their mortality, including mortality caused by commercial trawlers. That study, conducted by the National Research Council (NRC), found that shrimp trawls accounted for more deaths of sea turtle juveniles, subadults, and breeders in coastal waters than all other human activities combined.

To address the turtle bycatch problem, NMFS, numerous Sea Grant programs, and the shrimping industry conducted research that led to the development of several types of net installation devices that were called “turtle excluder devices” (TEDs) or “trawler

efficiency devices.” In 1983, the only TED approved by NMFS was one developed by the service itself. But in the face of industry concerns about using TEDs, the University of Georgia and NMFS tested devices developed by the shrimping industry, resulting in NMFS certification of new TED designs. Each design was intended to divert turtles out of shrimp nets, excluding the turtles from the catch without reducing the shrimp intake. Over a decade of development, these devices have been made lighter and today at least six kinds of TEDs have NMFS’s approval. Early in the development of TEDs, NMFS tried to obtain voluntary use of the devices, but shrimpers considered them an expensive, time-consuming nuisance and feared they could reduce the size of shrimp catches. But NMFS, and environmental groups, countered that the best TEDs reduced turtle bycatch by up to 97 percent with slight or no loss of shrimp. By 1985, NMFS faced threats of lawsuits to shut down the shrimping industry because trawlers were not using TEDs. In response, NMFS convened mediation meetings that included environmentalists and shrimpers. The meetings led to an agreement to pursue a “negotiated rulemaking” to phase in mandatory TED use, but negotiations fell apart after state and federal legislators, under intense pressure, tried to delay implementation of TED rules. After intense controversy, NMFS published regulations in 1987 on the use of TEDs by shrimp trawlers.

Dolphins are another marine animal that has suffered significant mortality levels as a result of bycatch associated with the eastern tropical Pacific Ocean tuna fishery. Several species of tuna are often found together with the most economically important tuna species, the yellowfin (*Thunnus albacares*). As a result,

tuna fishermen have used a fishing technique called dolphin fishing, in which they set their nets around herds of dolphins to capture the tuna that are always close by. The spotted dolphin (*Stenella attenuata*) is most frequently associated with tuna. Spinner dolphin (*Stenella longirostris*) and the common dolphin (*Delphinus delphis*) also travel with tuna. According to one estimate, between 1960 and 1972 the U.S. fleet in the eastern tropical Pacific Ocean fishery killed more than 100,000 dolphins a year. That number dropped to an estimated 20,000 after 1972, when the Marine Mammals Protection Act was passed. U.S. fishing boats are now required to use techniques that allow dolphins to escape from tuna nets before the catch is hauled in. These include having fishermen jump into the ocean to hold the lip of the net below the water surface so the dolphin can jump out.

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David Clarke





## Cadmium

A metallic element that occurs most commonly in nature as the sulfide, CdS. Cadmium has many important industrial applications. It is used to electroplate other metals, in the production of paints and plastics, and in nickel-cadmium batteries. The metal also escapes into the environment during the burning of coal and tobacco. Cadmium is ubiquitous in the environment, with detectable amounts present in nearly all water, air, and food samples. In high doses, cadmium is toxic. In lower doses, it may cause kidney disease, disorders of the circulatory system, weakening of bones, and, possibly, cancer.

*See also* Itai-itai disease.

CAFE *see* **Corporate Average Fuel Economy Standards.**

## Cairo Conference

The 1994 International Conference on Population and Development occurs at a defining moment in the history of international cooperation, the preamble to the Program of Action for the United Nations International Conference on Population and Development (UN ICPD) stated. This historic conference, the fifth such world meeting regarding population issues, was held in Cairo, Egypt September 5–13, 1994, at a time when there was growing, worldwide recognition of the interdependence between global population, development and environment. The Cairo Conference considered itself as building upon the foundation already laid in the 1974 World Population Conference in Bucharest and the 1984 International Conference on Population in Mexico City.

Representatives from 180 countries throughout the world gathered to discuss these problems. In order to represent particular world societal blocs, the UN ensured representation from four communities of nations: developing countries, Muslim states, the industrialized West, and Catholic countries. The makeup of attending blocs was intentional, as controlling the world's population involves many hotly debated issues that bring about sharp differences of opinion on religious and cultural beliefs. Conference attendees and those that would follow up on the conference's recommendations in their host nations would have to address issues like marriage, divorce, abortion, contraception, and homosexuality.

The call to address population growth came at a time when some groups said that the world was already pushing its food supply to natural limits. For example, marine biologists stated that ocean fisheries could not sustain catches upwards of 100 million tons of fish per year. In many countries, underground water supplies are reportedly strained and farming has been exploited to its capacity. The world population in 1994 was estimated at 5.6 billion people, and projected to continue to increase at the 1994 level of over 80 million people per year. Most frightening of all were the United Nations population projections for the twenty years to follow. These estimates ranged between 7.9 billion to 11.9 billion people inhabiting the earth by 2014.

In his opening address on September 5, UN Secretary-General Boutros Boutros-Ghali said, The efficacy of the economic order of the planet on which we live depended in great measure on the conference's outcome. Leaders of several countries spoke of the many issues surrounding the problem and causing social and ethical dilemmas in setting population policy. Then Vice-President Albert Gore of the United States spoke of a holistic approach that also comprehensively

addresses world poverty. Over the course of six days, more than 200 speakers shared their concerns about population and strategies to curb growth. Because the conference was mandated to address the issues and how they impacted population, the debate branched out to a number of economic, reproductive, trade, environmental, family structure, healthcare, and education issues.

The following areas of need were spelled out in the UN ICPD Programme of Action:

- Rapid urbanization and movement within and across borders are additional population problems the Cairo conference attempted to address. At that time, more than 93 percent of the 93 million people added to the population each year lived in developing countries. UN data just prior to the conference also showed that 43 percent of the world population resided in urban areas, up from 38 percent in 1975.
- The conference's delegates agreed on common objectives for controlling the world's population and even took on a more ambitious goal of population growth limit than anticipated by some. The conference objectives are targeted for completion by the year 2015. The common strategy limits family size and helps empower women to participate in reproductive decisions.
- The Cairo Conference goals also addressed some mortality issues, and set an objective of reducing infant mortality worldwide by 45 percent. In 1994, infant mortality averaged sixty-two per 1,000 live births. The conference's objective aimed to lower that number to only twelve per 1,000 live births.
- A goal was also outlined to lower maternal mortality to thirty per 100,000 women. These objectives would be furthered by a pledge to offer prenatal care to all pregnant women.
- The conference also included an objective addressing education. It outlined that all children of school age be enabled to complete primary education.
- Contraception availability became another tool to limit population growth. The delegates settled on a goal of making contraception accessible to 70 percent of the world's population by the year 2015. However, they also added universal access to family planning as a final objective.
- In order to meet family planning goals, some leaders in the field suggested a shift in how leaders looked at their policies. Prior to the conference, they tended to focus on organized family planning programs. The Cairo conference objectives shifted the focus to a

broadened policy, concerned with issues like gender equality, education, and empowerment of women to better deal with reproductive choices.

- The aging of the populations in more developed countries was also discussed.

Although unprecedented agreement was reached over the few days in Cairo, it didn't happen without heated debate and controversy. Most participants supported the notion that population policies must be based on individual rights and freedom of choice, as well as the rights of women and cultures. Since abortion was considered such a sensitive issue, many delegates agreed that family planning offered women alternative recourse to abortion. The Cairo Conference ended with both hope and concern for the future. If the conference objectives were reached, the delegates projected that world population would rise from 5.7 billion in the mid-1990s to 7.5 billion by the year 2015, and then begin to stabilize. However, if the objectives were not reached, conference attendees concluded that the population would continue to rise to the perilous numbers discussed in the conference preamble.

Following the Cairo Conference, the United States began implementing the conference objectives. The U.S. Agency for International Development (USAID) held a series of meetings between September 1994 and January 1995 to draw upon the expertise and ideas of American leaders in the field and to begin encouraging U.S. participation in population control goals. Among the USAID's own objectives for the United States were new initiatives and expansion of programs in place that emphasized family planning and health, as well as education and empowerment of women in America. For example, USAID suggested expanding access to reproductive health information and services and improved prevention of HIV/AIDS and other sexually transmitted diseases (STDs). It also promoted research on male methods of contraception and new barrier methods to protect from both pregnancy and STDs. The agency focused on improving women's equality and rights beginning with review of existing U.S. programs. A major focus would also include improving women's economic equity in the United States.

Such initiatives require considerable financial resources. The U.S. Congress appropriated \$527 million to family planning and reproductive health in fiscal year 1995 alone. A number of additional programs received substantial funding to support the economic, social, and educational programs needed

to complete the United States' objectives related to the Cairo conference. Included in this overall program was establishment of the President's Council on Sustainable Development, a combination public/private group charged with making recommendations on U.S. policies and programs that will help balance population growth with consumption of natural resources. The United States also worked cooperatively with Japan prior to and following the conference on a common agenda to coordinate population and health assistance goals. The program also was designed to help strengthen relations between Japan and the United States.

Five years after the Cairo Conference, a follow-up conference was held in New York City from June 30 to July 2, 1999. In preparation for the conference, a forum was held at The Hague, Netherlands. At that forum, delegates of several countries that had expressed concerns about the Cairo Conference objectives five years earlier began trying to renegotiate the program of action. By the time the Cairo Plus Five Conference convened in 1999, final recommendations had not been completed, as some member delegates, including representatives of the Vatican in Rome, attempted to alter the original Cairo program of action. Money was yet another issue in focus at the 1999 meeting. United Nations Population Fund (UNPFA) Chief Nafis Sadik estimated that \$5.7 billion per year was needed to meet the Cairo Conference aims, an amount she described as peanuts. The actual average amount available to the Programme of Action was far less, \$2.2 billion.

The real measure of success lies in whether or not world population stabilizes. There is some evidence to suggest that while still growing, the number of human beings inhabiting this planet is not growing at the nightmare rates projected at the beginning of the Cairo Conference. As of 2010, the U.S. Census Bureau and the United Nations Department of Economic and Social Affairs estimate that the world population in 2015 will be around 7.2 or 7.3 billion people.

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## Calcareous soil

A calcareous soil is soil that has calcium carbonate ( $\text{CaCO}_3$ ) in abundance. If a calcareous soil has hydrochloric acid added to it, the soil will effervesce and give off carbon dioxide and form bubbles because of the chemical reaction. Calcareous soils are most often formed from limestone or in dry environments where low rainfall prevents the soils from being leached of carbonates. Calcareous soils frequently cause nutrient deficiencies for many plants.

## Caldicott, Helen M.

1938–

Australian physician and activist

Dr. Helen Caldicott is a pediatrician, mother, antinuclear activist, and environmental activist. Born Helen Broinowski in Melbourne, Australia on August 7, 1938, she is known as a gifted orator and a tireless public speaker and educator. She traces her activism to age fourteen when she read Nevil Shute's *On the Beach*, a chilling novel about nuclear holocaust. In 1961 she graduated from the University of Adelaide Medical School with bachelor of medicine and bachelor of surgery degrees, which are the equivalent of an American M.D. She married Dr. William Caldicott in 1962, and returned to Adelaide, Australia to go into general medical practice. In 1966 she, her husband, and their three children moved to Boston, Massachusetts, where she held a fellowship at Harvard Medical School. Returning to Australia in 1969, she served first as a resident in pediatrics and then as an intern in pediatrics at Queen Elizabeth Hospital. There, she set up a clinic for cystic fibrosis, a genetic disease in children.

In the early 1970s, Caldicott led a successful campaign in Australia to ban atmospheric nuclear testing by the French in the South Pacific. Her success in inspiring a popular movement to stop the French testing has been attributed to her willingness to reach out to the Australian people through letters and television and radio appearances, in which she explained the dangers of radioactive fallout. Next, she led a successful campaign to ban the exportation of uranium by Australia. During that campaign she met strong resistance from Australia's government, which had responded to the 1974 international oil embargo by offering to sell uranium on the world market. (Uranium is the raw material for nuclear technology.) Caldicott chose to go directly to mine workers, explaining the effects of radiation on their bodies and their genes and talking about the effects of nuclear war on them and their children. As a result, the Australian Council of Trade Unions passed a resolution not to mine, sell, or transport uranium. A ban was instituted from 1975 to 1982, when Australia gave in to international pressure to resume the exportation.

In 1977 Dr. Caldicott and her husband immigrated to the United States, accepting appointments at the Children's Hospital Medical Center and teaching appointments at Harvard Medical School in Boston, Massachusetts. She was a co-founder of Physicians for Social Responsibility (PSR), and she was its president at the time of the March 28, 1979 nuclear accident at the Three Mile Island Nuclear Reactor in Pennsylvania. At that time, PSR was a small group of concerned medical specialists. Following the accident, the organization grew rapidly in membership, financial support, and influence. As a result of her television appearances and statements to the media following the Three Mile Island accident, Caldicott became a symbol of the movement to ban all nuclear power and oppose nuclear weapons in any form. Ironically, she resigned as president of PSR in 1983, when the organization had grown to over 20,000 members. At that time, she began to be viewed as an extreme radical in an organization that had become more moderate as it came to represent a wide, diversified membership.

She also founded Women's Action for Nuclear Disarmament (WAND). WAND has been an effective group lobbying Congress against nuclear weapons.

Throughout her career, Caldicott has considered her family to be her first priority. She has three children and she emphasizes the importance of building

and maintaining a strong marriage, believing that good interpersonal relationships are essential before a socially-minded person can work effectively for broad social change.

Caldicott has developed videotapes and films and has written over one hundred articles which have appeared in major newspapers and magazines throughout the world. She has written four books. Her first, *Nuclear Madness: What You Can Do* (1978), is considered important reading in the anti-nuclear movement. Her second, entitled *Missile Envy*, was published in 1986. In *If You Love This Planet: A Plan to Heal the Earth* (1992), Caldicott discusses the race to save the planet from environmental damage resulting from excess energy consumption, pollution, ozone layer depletion, and global warming. She urges citizens of the United States to follow the example set by the Australians, who have adopted policies and laws designed to move their society toward greater corporate and institutional responsibility. She urges the various nations of the world to strive for a what she described as a new legal world order by moving toward a sort of transnational control of the world's natural resources. One of her books, *A Desperate Passion* (1996), is an autobiography in which she reflects upon crucial events that have influenced her and talks about people who have inspired her in her life and work. She also wrote *The New Nuclear Danger: George Bush's Military Industrial Complex*, which was published in 2002. A revised and updated edition of *If You Love This Planet: A Plan to Heal the Earth* was published in 2009.

Since mid-2008, she has hosted a weekly radio program on public radio in the U.S., Australia and Canada.

Caldicott is the recipient of 20 honorary degrees, many awards and prizes including the SANE Peace Prize, the Ghandi Peace Prize, the John-Roger Foundation's Integrity Award (which she shared with Bishop Desmond Tutu), the Norman Cousins Award for Peace-making, the Margaret Mead Award, and the inaugural 2006 Australian Peace Prize.

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Paulette L. Stenzel

## Caldwell, Lynton K.

1913–2006

American scholar and environmentalist

Lynton Caldwell was a key figure in the development of environmental policy in the United States. A longtime advocate for adding an environmental amendment to the Constitution, Caldwell insisted that the federal government has a duty to protect the environment in a way that is similar to the defense of civil rights or freedom of speech.

Caldwell was born November 21, 1913 in Montezuma, Iowa. He received his bachelor of arts from the University of Chicago in 1935 and completed a master of arts at Harvard University in 1938. The same year, Caldwell accepted an assistant professorship in government at Indiana University in Bloomington. In 1943, he attained his doctorate from the University of Chicago and began publishing academic works the following year. The subjects of his early writings were not environmental; he published a study of administrative theory in 1944 and a study of New York state government in 1954. By 1964, however, Caldwell had shifted his emphasis, and he began to receive wide recognition for his work on environmental policy. In that year, he was presented with the William E. Mosher Award from the American Society for Public Administration for his article “Environment: A New Focus for Public Policy.”

Caldwell’s most important accomplishment was his prominent role in the drafting of the National Environmental Policy Act (NEPA) in 1969. As a consultant for the Senate Committee on Interior and Insular Affairs in 1968, he prepared *A Draft Resolution on a National Policy for the Environment*. His special report examined the constitutional basis for a national environmental policy and proposed a statement of intent and purpose for Congress. Many of the concepts first introduced in this draft resolution were later incorporated into the act. As consultant to that committee, Caldwell played a continuing role in the shaping of the NEPA, and he was involved in the development of the environmental impact statement.

Caldwell strongly defended the NEPA, as well as the regulatory agency it created, claiming that they represent the first comprehensive commitment of any modern state toward the responsible custody of its environment. Although the act has influenced policy decisions at every level of government, the enforcement

of its provisions have been limited. Caldwell argued that this is because environmental regulations have no clear grounding in the law. Statutes alone are often unable to withstand the pressure of economic interests. He proposed an amendment to the Constitution as the best practical solution to this problem and he maintains that without such an amendment, environmental issues will continue to be marginalized in the political arena.

In addition to advising the Senate during the creation of the NEPA, Caldwell did extensive work on international environmental policy. He advised the Central Treaty Organization and served on special assignments in countries including Colombia, India, the Philippines, and Thailand. Until his death on August 15, 2006, in Bloomington, Indiana, Caldwell served as the Arthur F. Bentley Professor of Political Science emeritus and professor of public and environmental affairs at Indiana University in Bloomington, Indiana.

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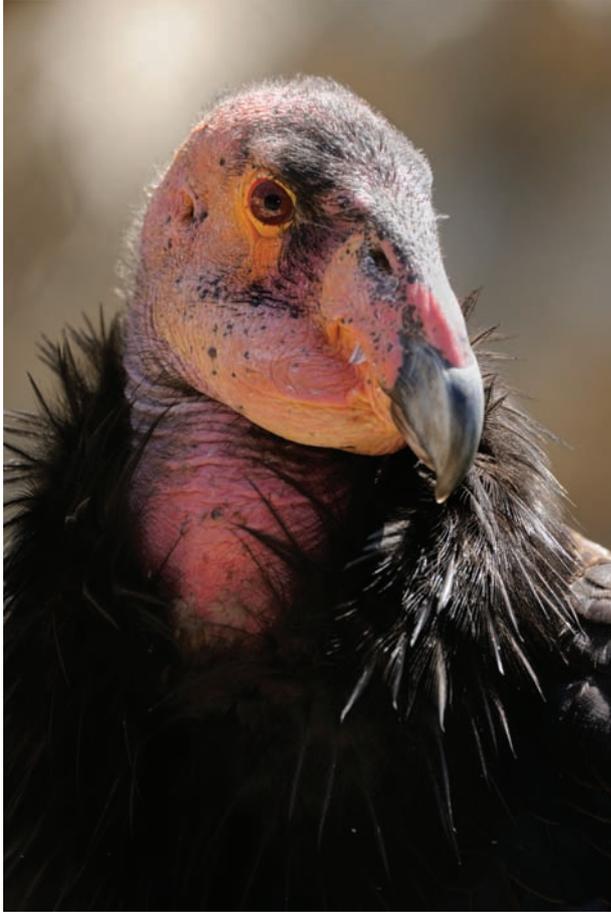
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Douglas Smith

## California condor

With a wingspan of over nine feet (about three meters), the California condor (*Gymnogyps californianus*) has the largest wingspan of any bird in North America. The condor is a scavenger. It is a member of the New World vulture family (Cathartidae), and is closely related to the Andean condor (*Vultur gryphus*) found in South America.

The California condor is an endangered species (protected under the Endangered Species Act) barely avoiding extinction. While the condor population may



California condor (*Gymnogyps californianus*). (©iStockPhoto/Windzephyr.)

have been in decline before the arrival of Europeans in North America, increased settlement of its habitat, hunting, and indirect human influences (e.g., pollution, pesticides, construction hazards such as power lines) have almost eliminated the bird.

The condor was once found in much of North America. Fossil records indicate that it lived from Florida to New York in the East and British Columbia to Mexico in the West. The condor's range became more restricted even before European settlers arrived in North America. By the time Genoese explorer Christopher Columbus (c.1451–1506) arrived in North America (mid-fifteenth century), the condor had already started its retreat west. At the beginning of the twentieth century, it could still be found in southern California and Baja California (northern Mexico), but by 1950 its range had been reduced to a strip of about 150 miles (241 kilometers) at the southern end of the San Joaquin Valley in California.

In the 1940s, the National Audubon Society initiated a census of the condor population and recorded approximately sixty birds. In the early 1960s, the population was estimated at forty-two birds. A 1966 survey found fifty-one condors, an increase that may have been due to variability among the sampling systems used. By the end of 1986 there were twenty-four condors alive, twenty-one of which were in captivity.

Direct and indirect human stressors are directly responsible for the decline in the condor population during the past three centuries. California condors have been shot by hunters and poisoned by bait set out to kill coyotes. Their food has been contaminated with pesticides such as dichlorodiphenyltrichloroethane (DDT) or lead (Pb) from lead shot found in animal carcasses shot and lost by hunters. The condor's rarity has made their eggs a valuable commodity for unscrupulous collectors. Original food sources, such as mammoths and giant camels of the Pleistocene era, have disappeared, but as cattle ranching and sheep farming developed, carcasses of these animals have become suitable substitutes. Habitat destruction and general harassment reduced the condor's range and population to a point where intervention was necessary to halt the bird's rapid decline to extinction.

Most other large birds and mammals in North America that lived during the late Pleistocene period have become extinct. However, there is a small chance that with intervention and restoration projects, the California condor can be saved from extinction. As a result, after much debate, the decision was made to remove all California condors from the wild and initiate a captive breeding and reintroduction program. The United States Fish and Wildlife Service (FWS), together with the California Fish and Game Commission, captured the last wild condor in April 1987.

The captive breeding program has thus far increased the condor population dramatically. Condors nest only once every two years and typically lay only one egg. By removing the egg from the nest for laboratory incubation, the female can be tricked into laying a replacement egg. This method helps accelerate the population increase.

In January 1992, a pair of California condors was released into the wild. In October the male was found dead of kidney failure after apparently drinking from a pool of antifreeze in a parking lot near their sanctuary. Six additional condors were released at the end of 1992. In 1994, the total condor population consisted of nine wild condors and sixty-six birds in captivity. In 1995 another pair of captive birds was released into the

wild, and with the release of more birds in following years, breeding pairs began to establish nest sites. In 2001, an egg that was laid by captive condors in the Los Angeles Zoo was placed in a condor nest in the wild, and the chick hatched successfully, only to die a few days later. The pair of condors then laid their own egg and hatched the first wild chick in April 2002. This was the first chick hatched in the wild in eighteen years. As of April 2002, there were sixty-three free-living condors in California and Arizona and eighteen more were awaiting release from field pens where they were being acclimated to their natural habitat. The captive population totaled 104 birds.

The International Union for the Conservation of Nature (IUCN) assessment of the California condor listed the bird as Critically Endangered in 2008. In 2003, there were 223 individuals with 138 in captivity and eighty-five birds reintroduced into northern Arizona and California. By 2006, 130 wild birds were located in release areas. At least forty-four of these wild birds were six years of age (the age at which breeding is possible) or older. A program in Baja California is attempting to increase the wild population to twenty pairs. In 2007, the first California condor born in seventy-five years was hatched in Mexico. One of the Baja birds was observed in California in 2007. This sighting is encouraging to the goal of the Baja birds ranging and breeding with U.S. condors.

The California Condor Recovery Plan is a consortium of private groups and public agencies. The goal of the recovery plan is to have 150 condors in each of two separate populations with at least fifteen breeding pairs in each group. However, there are significant obstacles to the recovery of wild populations of California condors, including continued pressure from development that causes accidents such as collisions with power lines, and poisoning by crude oil from drilling operations that the birds mistake for pools of water. Although the capture and release of this species is working and the population of captive birds is growing, the survival of the California condor in the wild is still in doubt. Measures must be taken to reduce risks to wild birds from man-made hazards.

## Resources

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Eugene C. Beckham  
Marie H. Bundy

## Callicott, John Baird

1941–

American environmental philosopher

John Baird Callicott is a founder and seminal thinker in the modern field of environmental philosophy. He is best known as the leading contemporary exponent of Aldo Leopold's land ethic. Callicott has not only interpreted Leopold's original works, but he has applied the reasoning of the land ethic to modern resource issues such as wilderness designation and biodiversity protection.

Callicott's 1987 edited volume, *Companion to A Sand County Almanac*, is the first interpretive and critical discussion of Leopold's classic work. His 1989 collection of essays, *In Defense of the Land Ethic*, explores the intellectual foundations and development of Leopold's ecological and philosophical insights and their ultimate union in his later works. In 1991 Callicott, with Susan L. Flader, introduced to the public the best of Leopold's remaining unpublished and uncollected literary and philosophical legacy in a collection entitled *The River of the Mother of God and Other Essays* by Aldo Leopold.

Since his contribution to the inaugural issue of the journal *Environmental Ethics* in 1979, Callicott's articles and essays have appeared not only in professional philosophical journals and a variety of scientific and technical periodicals, but in a number of lay publications as well. He has contributed chapters to more than twenty books and is internationally known as an author and speaker. Born in Memphis, Tennessee, Callicott completed his Ph.D. in philosophy at Syracuse University in 1971, and has since held visiting professorships at a number of American universities. In 1971, while teaching at the

University of Wisconsin-Stevens Point, Callicott designed and taught what is widely acknowledged as the nation's first course in environmental ethics. He is currently associate professor of Philosophy and Religion at the University of North Texas. With respect to the major value questions today in environmental ethics, Callicott's position can best be illustrated by his claim that there can be no value without valuers. He thus recognizes the legitimacy of both instrumental and intrinsic valuation on the part of a democracy of valuers, human and nonhuman.

Callicott perceives that we live today on the verge of a profound paradigm shift concerning human interactions with and attitudes toward the natural world. Much of his current work aims at distilling and giving voice to this shift and at articulating an ecologically accurate and philosophically valid concept of sustainability. Through careful management consistent with the best ecological information and theories, Callicott believes, humans can not only protect but can and should enhance ecosystem health. His ideas challenge modern conventional wisdom and could radically alter much current theory in environmental philosophy as well as affect practice in wilderness management and economic development planning.

As one of a handful of scholars who launched the field of environmental ethics as we know it today, and as one of even fewer philosophers who have made their works accessible and pertinent not only to other academicians but to the general public, J. Baird Callicott occupies an important place in the history of modern philosophy. His work will undoubtedly continue to shape thinking on the ethical dimensions of resource management decisions for generations to come.

He continues to write, co-authoring *The Wilderness Debate Rages On: Continuing the Great New Wilderness Debate* in 2008.

## Resources

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Ann S. Causey

*Campephilus principalis* see **Ivory-billed woodpecker.**

## Canadian Forest Service

The Canadian Forest Service (CFS) is a federal government agency with a responsibility to conduct research and analyze information related to the sustainable use of the Canadian forest resource. The science-based service is part of Natural Resources Canada, a department of the federal government that has the responsibility for the protection and utilization of the country's natural resources.

In Canada, provincial and territorial governments have the responsibility for establishing allowable forest harvests and establishing criteria for acceptable management plans for provincial-crown land, which comprises the bulk of the economic forest resource of the nation. CFS integrates with relevant departments of the provinces and territories through the Canadian Council of Forest Ministers.

The CFS is very involved in the sustainability of Canada's natural resources, both as an intrinsic part of the country's value and as an economic resource (lumbering is a good example). This aspect involves the study of forest disturbance (natural and human-caused) to develop predictive models of forest response and tools for those whose livelihood depends on the forest. An important aspect of this work is the role that climate change is and will have on Canada's forests.

The CFS is driven by science. Sound experimental and modeling practices are used to generate the data that will be the basis of policy decisions.

As of 2010, the CFS operates six forest research centers in the regions of Canada, as well as two research institutes: the Petawawa National Forestry Institute at Chalk River, Ontario, and the Forest Pest Management Institute at Sault Ste. Marie, Ontario. Collectively, these institutions and their staff comprise the major force in forestry-related research in Canada.

The Government of Canada has committed to the practice of ecologically sustainable forestry, and CFS works with other levels of government, industry, educational institutions, and the public towards this end.

Research programs within CFS are diverse, and include activities related to tree anatomy, physiology, and productivity, techniques of biomass and stand inventory, determination of site quality, and research of forest harvesting practices, management practices aimed at increasing productivity and economic value, and forest pest management.

The latter has been especially productive in the past decade, with scientists at the Atlantic Forestry

Centre, in Fredericton, New Brunswick successfully developing and guiding to market bacterial-based formulations that specifically target the spruce budworm, a forest pest that has exacted huge economic losses in timberland.

CFS also supports much of the forest management and research conducted within each of the provinces and territories, through joint federal-provincial Forest Development Agreements. CFS also supports much of the forestry research conducted at Canadian universities through research contracts and joint-awards programs with other government agencies and industry.

An important initiative, begun in 1992, is the Model Forest Program under Canada's *Green Plan*. The intent of this program is to provide substantial support for innovative forest management and research, conducted by integrated teams of partners involving industry, all levels of government, universities, non-government organizations, woodlot owners, and other groups and citizenry. A major criterion for success in the intense competition for funds towards a model forest is that the consortium of proponents demonstrate a vision of ecologically sustainable forest management, and a likely capability of achieving that result.

## Resources

### ORGANIZATIONS

Canadian Forest Service, Natural Resources Canada, 580 Booth Street, 8th Floor, Ottawa Ontario, Canada, K1A 0E4, (613) 947-7341, (613) 947-7397, cfs-scf@nrcan.gc.ca, <http://www.nrcan-rncan.gc.ca/cfs-scf>.

Bill Freedman

## Canadian Parks Service

The Canadian Parks Service, which is now called Parks Canada, is the government agency charged with fulfilling the statutes and policies of Canada's national parks. The first national park was established in Banff, Alberta, in 1885, although parks were not institutionalized in Canada until 1911. The official establishment of the parks service in May 1911 marked the first national parks service in the world.

The original policy and program established national parks to protect characteristic aspects of Canada's natural heritage, and, at the same time, cater to the benefit, enjoyment, and education of its people.

The National Parks Act of 1930 required parliamentary approval of parks, prohibited hunting, mining, and exploration, and limited forest harvesting. Other federal statutes gave control over lands and resources to the provinces, which meant land assembly for park development could not proceed without intergovernmental agreement. An amendment to the Parks Act increased the Park Service's ability to enforce regulations and levy meaningful penalties.

As of 2011, there are 42 national parks, 167 national historic sites and four national marine sites (underwater environments that have been set aside for protection). An example of the latter is the Sagueny-St. Lawrence Marine Park, which has been recognized in part because of its unique ecology, representing a mix of seawater and the freshwater outflow of the Sagueny Fjord, and because it is home to the St. Lawrence Beluga, a species of what that is endangered because of waterborne pollution.

Current park policy emphasizes the maintenance of ecological integrity over tourism and recreation. Much conflict, however, has centered on development within park boundaries. Townsites, highways, ski resorts, and golf courses provide public enjoyment, but conflict with the protective mandate of the parks' charter. Commercial and recreational fishing, logging, and trapping have also been allowed, despite legal prohibitions. In 1992, the Canadian Parks and Wilderness Society and the Sierra Legal Defense Fund successfully sued the Canadian government to stop logging in Wood Buffalo National Park, a protected habitat for both the endangered whooping crane and wood bison.

As of 2011, Parks Canada has operated for 125 years. The anniversary is being celebrated in national parks and historic sites across Canada, and culminated on the third Saturday in July, which is traditionally designated Parks Day in Canada. The anniversary also marks a time when Canada's national parks are becoming recognized as valuable protectors of diverse habitats and species, especially as more northern regions of the country begin to experience tangible effects of climate change.

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David A. Duffus  
Amy Strumolo

## Canadian Wildlife Service

The Canadian Wildlife Service (CWS) is now a branch of the Department of the Environment. The CWS was established in 1947. Until 1971 it was governed by the federal government's Parks Branch in the Department of Indian and Northern Development. Since 1971 it has been a branch of Environment Canada, most recently under the Conservation and Protection division. The Service is served by five regional offices across Canada and is headquartered in Hull, Quebec. There are four branches within the CWS: The Migratory Birds and Conservation Branch; the North American Waterfowl Management Plan Implementation Branch; the Wildlife Toxicology and Surveys Branch/National Wildlife Research Centre; and the Program Analysis and Co-ordination Branch.

Wildlife matters were delegated to the provinces by the Canadian constitution, and responsibility is generally in the hands of provincial administrations. Federal-provincial cooperation was facilitated through meetings of the Federal-Provincial Wildlife Ministers Conferences, ongoing since 1922 and an annual event since 1945. Through those ongoing discussions, federal wildlife policy has developed mainly around areas of transboundary issues and problems deemed in the national interest. Currently, the CWS's primary responsibility is to enforce the Migratory Birds Convention Act, the Canada Wildlife Act, the Convention on International Trade in Endangered Species of Fauna and Flora (CITES), and the Ramsar Convention on Wetlands of International Importance. Furthermore, it has increased responsibility on federal lands, north of 60°N latitude, although in recent years much responsibility has been delegated to the territorial wildlife services and local co-management agreements with aboriginal peoples.

Within those statutes and agreements CWS is responsible for policy and strategy development, enforcement, research, public relations, education and interpretation, habitat classification, and the management of, as of 2011, 140 sanctuaries and wildlife areas. A particularly recognized role of the CWS since the 1960s has been the funding and preparation of brief

commercials known as Hinterland Who's Who. The commercials, which describe bird and animal species native to Canada and their status as endangered or protected species, has been popular with several generations of Canadians.

The combination of a national and international mandates and diverse landscapes of Canada make CWS the pivotal wildlife management institution in Canada. However, CWS's increasing reliance on cooperative measures with provincial governments and non-governmental organizations, including Ducks Unlimited Canada and World Wildlife Fund Canada, has led the organization to less direct management and more coordination activities.

### Resources

#### ORGANIZATIONS

Canadian Wildlife Service, Environment Canada, Ottawa Ontario, Canada, K1A 0H3, (819) 997-1095, (819) 997-2756, cws-scf@ec.gc.ca, <http://www.cws-scf.ec.gc.ca>

David A. Duffus

## Cancer

A malignant tumor, cancer comprises a broad spectrum of malignant neoplasms classified as either carcinomas or sarcomas. Carcinomas originate in the



Part of a global effort, Rita Moraes, a research scientist from Brazil, is propagating May apples at the University of Mississippi Natural Products Laboratory in Oxford, Miss, 1999. The plants contain a precursor for etoposide, a cancer drug. (AP Photo/Northeast Miss. Daily Journal, Julian Carroll.)

### Frequency of Cancer-Related Death

Cancer Site	Number of Deaths Per Year
Lung	160,100
Colon and rectum	56,500
Breast	43,900
Prostate	39,200
Pancreas	28,900
Lymphoma	26,300
Leukemia	21,600
Brain	17,400
Stomach	13,700
Liver	13,000
Esophagus	11,900
Bladder	12,500
Kidney	11,600
Multiple myeloma	11,300

**Frequency of cancer-related deaths.** (Reproduced by permission of Gale, a part of Cengage Learning.)

epithelial tissues, while sarcomas originate from connective tissues and structures that have their origin in mesodermal tissue. Cancer is an invasive disease that spreads to various parts of the body. It spreads directly to those tissues immediately surrounding the primary site of the cancer and may spread to remote parts of the body through the lymphatic and circulatory systems.

Cancer occurs in most, if not all, multicellular animals. Evidence from fossil records reveal bone cancer in dinosaurs, and sarcomas have been found in the bones of Egyptian mummies. Hippocrates is credited with coining the term *carcinoma*, the Greek word for crab. Why the word for crab was chosen enjoys much speculation, but may have had to do with the sharp, biting pain and invasive, spreading nature of the disease.

A carcinogen is any substance or agent that produces or induces the development of cancer. Carcinogens are known to affect and initiate metabolic processes at the level of DNA (the information-storing molecules in cells). DNA damage (mutation) is the development of cancer after exposure to a carcinogen. This kind of mutation is actually reversible; our bodies continually experience DNA damage, which is continually being corrected. It is only when promoter cells intervene during cell proliferation that tumors begin to develop. Although several agents can induce cell division, only promoters induce tumor development.

An example of this process would be what happens in an epidermal cell, when its DNA undergoes rapid, irreversible alteration or mutation after exposure to a

carcinogen. The cell undergoes proliferation, producing altered progeny, and it is at this point that the cell may proceed on one of two pathways. The cell may undergo interrupted exposure to promoters and experience early reversible precancerous lesions. Or it may experience continuous exposure to the promoters, thereby causing malignant cell changes. During the late phase of promotion, the primary epidermal cell becomes tumorous and begins to invade normal cells; then it begins to spread. It is at this stage that tumors are identified as malignant.

The spread of tumors throughout the body is believed to be governed by several processes. One possible mechanism is direct invasion of contiguous organs. This mechanism is poorly understood, but it involves multiplication, mechanical pressure, release of lytic enzymes, and increased motility of individual tumor cells. A second process is metastasis. This is the spread of cancer cells from a primary site of origin to a distant site, and it is the life-threatening aspect of malignancy. At present there are many procedures available to surgeons for successfully eradicating primary tumors; however, the real challenge in reducing cancer mortality is finding ways to control metastasis.

Clinical manifestations of cancer take on many forms. Usually little or no pain is associated with the early stages of malignant disease, but pain does affect 60–80 percent of those terminally ill with cancer. General mechanisms causing pain associated with cancer include pressure, obstruction, invasion of a sensitive structure, stretching of visceral surfaces, tissue destruction, and inflammation. Abdominal pain is often caused by severe stretching from the tumor invasion of the hollow viscus, as well as tumors that obstruct and distend the bowel. Tumor compression of nerve endings against a firm surface also creates pain. Brain tumors have very little space to grow without compressing blood vessels and nerve endings between the tumor and the skull. Tissue destruction from infection and necrosis can also cause pain. Frequently infection occurs in the oral area, in which a common cause of pain is ulcerative lesions of the mouth and esophagus.

Cancer treatments involve chemotherapy, radiotherapy, surgery, immunotherapy, and combinations of these modalities. Chemotherapy and its efficacy is related to how the drug enters the cell cycle; the design of the therapy is to destroy enough malignant cells so that the body's own immune system can destroy the remaining cells naturally. Smaller tumors with rapid growth rates seem to be most responsive to chemotherapy. Radiation therapy is commonly used to eradicate tumors without excessive damage to surrounding tissues. Radiation therapy attacks the malignant cell

at the DNA level, disrupting its ability to reproduce. Surgery is the treatment of choice when it has been determined that the tumor is intact and has not metastasized beyond the limits of surgical excision. Surgery is also indicated for benign tumors that could progress into malignant tumors. Premalignant and in situ tumors of epithelial tissues, such as skin, mouth, and cervix, can be removed.

Chemotherapy and radiation treatments are the most commonly used therapies for cancer. Unfortunately, both methods produce unpleasant side effects; they often suppress the immune system, making it difficult for the body to destroy the remaining cancer even after the treatment has been successful. In this regard, immunotherapy holds great promise as an alternative treatment, because it makes use of the unique properties of the immune system.

Immunotherapies for the treatment of cancer are generally referred to as biological response modifiers (BRMs). BRMs are defined as mammalian gene products, agents, and clinical protocols that affect biologic responses in host-tumor interactions. Immunotherapies have a direct cytotoxic effect on cancer cells, initiation or augmentation of the host's tumor-immune rejection response, and modification of cancer cell susceptibility to the lytic or tumor static effects of the immune system. As with other cancer therapies immunotherapies are not without their own side effects. Most common are flu-like symptoms, skin rashes, and vascular-leak syndrome. At their worst, these symptoms are usually less severe than those of current chemotherapy and radiation treatments.

*See also* Hazardous material; Hazardous waste; Leukemia; Radiation sickness.

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## Captive propagation and reintroduction

Captive propagation is the deliberate breeding of wild animals in captivity in order to increase their numbers. Reintroduction is the deliberate release of these species into their native habitat. The Mongolian wild horse, Pere David's deer, and the American bison would probably have become extinct without captive



Residents and foreign tourists joined in the release of dozens of sea turtle babies on a beach in Bali, Indonesia. (© Made Nagi/epa/Corbis.)

propagation. Nearly all cases of captive propagation and reintroduction involve threatened or endangered species. Zoos are increasingly involved in captive propagation, sometimes using new technologies. One of these, allows a relatively common species of antelope to act as a surrogate mother and give birth to a rare species.

Once suitable sites are selected, a reintroduction can take one of three forms. *Reestablishment reintroductions* take place in areas where the species once occurred but is now entirely absent. Recent examples include the red wolf, the black-footed ferret, and the peregrine falcon east of the Mississippi River. Biologists use *augmentation reintroduction* to release captive-born wild animals into areas in which the species still occurs but only in low numbers. These new animals can help increase the size of the population and enhance genetic diversity. Examples include a small Brazilian monkey called the golden lion tamarin and the peregrine falcon in the western United States. A third type, *experimental reintroduction*, acts as a test case to acquire essential information for use on larger-scale permanent reintroductions. The red wolf was first released as an experimental reintroduction. A 1982 amendment to the Endangered Species Act facilitates experimental reintroductions, offering specific exemptions from the Act's protection, allowing managers greater flexibility should reintroduced animals cause unexpected problems.

Yet captive propagation and reintroduction programs have their drawbacks, the chief one being their high cost. Capture from the wild, food, veterinary care, facility use and maintenance all contribute significant costs to maintaining an animal in captivity. Other costs are incurred locating suitable reintroduction sites, preparing animals for release, and monitoring the results. Some conservationists have argued that the money would be better spent acquiring and protecting habitat in which remnant populations already live.

There are also other risks associated with captive propagation programs such as disease, but perhaps the greatest biological concern is that captive populations of endangered species might lose learned or genetic traits essential to their survival in the wild. Animals fed from birth, for example, might never pick up food-gathering or prey-hunting skills from their parents as they would in the wild. Consequently, when reintroduced such animals may lack the skill to feed themselves effectively. Furthermore, captive breeding of animals over a number of generations could affect their evolution. Animals that thrive in captivity might have a selective advantage over their

“wilder” cohorts in a zoo, but might be disadvantaged upon reintroduction by the very traits that aided them while in captivity.

Despite these shortcomings, the use of captive propagation and reintroduction will continue to increase in the decades to come. Biologists learned a painful lesson about the fragility of endangered species in 1986 when a sudden outbreak of canine distemper decimated the only known group of black-footed ferrets. The last few ferrets were taken into captivity where they successfully bred. Even as new ferret populations become established through reintroduction, some ferrets will remain as captive breeders for insurance against future catastrophes. Biologists are also steadily improving their methods for successful reintroduction. They have learned how to select the combinations of sexes and ages that offer the best chance of success and have developed systematic ways to choose the best reintroduction sites.

Captive propagation and reintroduction will never become the principal means of restoring threatened and endangered species, but it has been proven effective and will continue to act as insurance against sudden or catastrophic losses in the wild.

*See also* Biodiversity; Extinction; Wildlife management; Wildlife rehabilitation.

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James H. Shaw

## Carbon

The seventeenth most abundant element on Earth, carbon occurs in at least six different allotropic forms, the best known of which are diamond and graphite. It is a major component of all biochemical compounds that occur in living organisms: carbohydrates, proteins, lipids, and nucleic acids. Carbon-rich rocks and minerals such as limestone, gypsum, and marble often are created by accumulated bodies of aquatic organisms. Plants, animals, and microorganisms cycle carbon through the environment, converting it from simple compounds like carbon dioxide and methane to more complex compounds like sugars and starches, and then, by the action of decomposers,

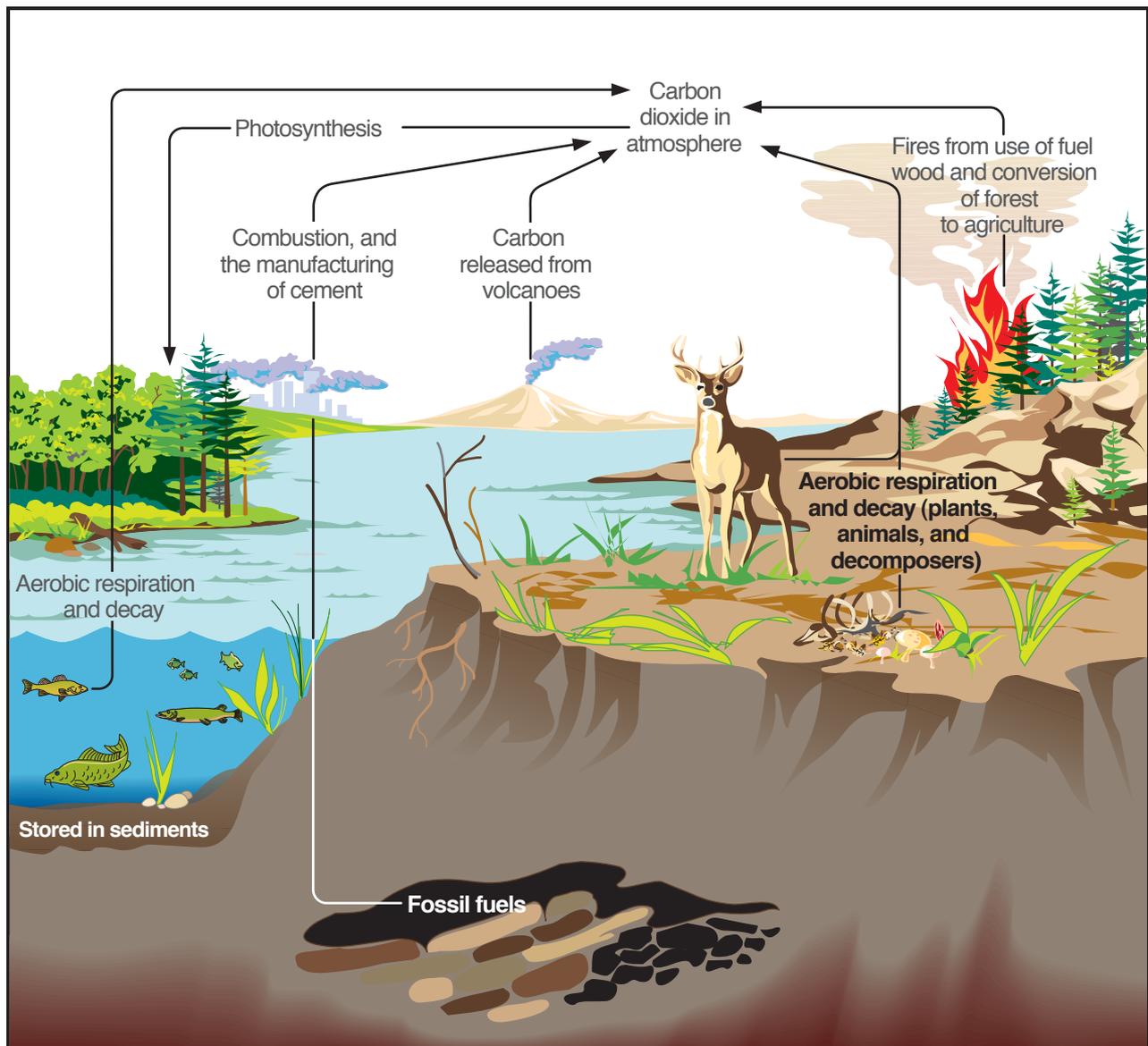
back again to simpler compounds. One of the most important fossil fuels, coal, is composed chiefly of carbon.

## Carbon cycle

The series of chemical, physical, geological, and biological changes by which carbon moves through Earth's air, land, water, and living organisms is called the carbon cycle.

Carbon makes up no more than 0.27 percent of the mass of all elements in the universe and only 0.0018 percent by weight of the elements in Earth's crust. Yet, its importance to living organisms is far out of proportion to these figures. In contrast to its relative scarcity in the environment, it makes up 19.4 percent by weight of the human body. Along with hydrogen, carbon is the only element to appear in every organic molecule in every living organism on Earth.

In the atmosphere, carbon exists almost entirely as gaseous carbon dioxide. The best estimates are that the earth's atmosphere contains 740 billion tons of this



**Carbon cycle.** (Reproduced by permission of Gale, a part of Cengage Learning.)

gas. Its global concentration is about 350 parts per million (ppm), or 0.035 percent by volume. That makes carbon dioxide the fourth most abundant gas in the atmosphere after nitrogen, oxygen and argon. Some carbon is also released as carbon monoxide to the atmosphere by natural and human mechanisms. This gas reacts readily with oxygen in the atmosphere, however, converting it to carbon dioxide.

Carbon returns to the hydrosphere when carbon dioxide dissolves in the oceans, as well as in lakes and other bodies of water. The solubility of carbon dioxide in water is not especially high, 88 milliliters of gas in 100 milliliters of water. Still, the earth's oceans are a vast reservoir of approximately 36,000 billion tons of carbon. About 93 billion tons of carbon flows from the atmosphere into the hydrosphere each year.

Carbon moves out of the oceans in two ways. Some escapes as carbon dioxide from water solutions and returns to the atmosphere. That amount is estimated to be very nearly equal (90 billion tons) to the amount entering the oceans each year. A smaller quantity of carbon dioxide (about 40 billion tons) is incorporated into aquatic plants.

On land, green plants remove carbon dioxide from the air through the process of photosynthesis—a complex series of chemical reactions in which carbon dioxide is eventually converted to starch, cellulose, and other carbohydrates. About 100 billion tons of carbon are transferred to green plants each year, with about 560 billion tons of the element being stored in land plants alone.

The carbon in green plants is eventually converted into a large variety of organic (carbon-containing) compounds. When green plants are eaten by animals, carbohydrates and other organic compounds are used as raw materials for the manufacture of thousands of new organic substances. The total collection of complex organic compounds stored in all kinds of living organisms represents the reservoir of carbon in Earth's biosphere.

The cycling of carbon through the biosphere involves three major kinds of organisms. Producers are organisms with the ability to manufacture organic compounds such as sugars and starches from inorganic raw materials such as carbon dioxide and water. Green plants are the primary example of producing organisms. Consumers are organisms that obtain their carbon (that is, their food) from producers: all animals are consumers. Finally, decomposers are organisms such as bacteria and fungi that feed on the remains of dead plants and animals. They convert carbon compounds in these organisms to carbon

dioxide and other products. The carbon dioxide is then returned to the atmosphere to continue its path through the carbon cycle.

Land plants return carbon dioxide to the atmosphere during the process of respiration. In addition, animals that eat green plants exhale carbon dioxide, contributing to the 50 billion tons of carbon released to the atmosphere by all forms of living organisms each year. Respiration and decomposition both represent, in the most general sense, a reverse of the process of photosynthesis. Complex organic compounds are oxidized with the release of carbon dioxide and water—the raw materials from which they were originally produced.

At some point, land and aquatic plants and animals die and decompose. When they do so, some carbon (about 50 billion tons) returns to the atmosphere as carbon dioxide. The rest remains buried (up to 1,500 billion tons) or on the ocean bottoms (about 3,000 billion tons). Several hundred million years ago, conditions of burial were such that organisms decayed to form products consisting almost entirely of carbon and hydrocarbons. Those materials exist today as pockets of the fossil fuels—coal, oil, and natural gas. Estimates of the carbon stored in fossil fuels range from 5,000 to 10,000 billion tons.

The processes that make up the carbon cycle have been occurring for millions of years, and for most of this time, the systems involved have been in equilibrium. The total amount of carbon dioxide entering the atmosphere from all sources has been approximately equal to the total amount dissolved in the oceans and removed by photosynthesis. However, a hundred years ago changes in human society began to unbalance the carbon cycle. The Industrial Revolution initiated an era in which the burning of fossil fuels became widespread. In a short amount of time, large amounts of carbon previously stored in the earth as coal, oil, and natural gas were burned up, releasing vast quantities of carbon dioxide into the atmosphere.

Between 1900 and 1992, measured concentrations of carbon dioxide in the atmosphere increased from about 296 ppm to over 350 ppm. Scientists estimate that fossil fuel combustion now released about five billion tons of carbon dioxide into the atmosphere each year. In an equilibrium situation, that additional five billion tons would be absorbed by the oceans or used by green plants in photosynthesis. Yet this appears not to be happening: measurements indicate that about 60 percent of the carbon dioxide generated by fossil fuel combustion remains in the atmosphere.

The problem is made even more complex because of deforestation. As large tracts of forest are cut down

and burned, two effects result: Carbon dioxide from forest fires is added to that from other sources, and the loss of trees decreases the worldwide rate of photosynthesis. Overall, it appears that these two factors have resulted in an additional one to two billion tons of carbon dioxide in the atmosphere each year.

No one can be certain about the environmental effects of this disruption of equilibria in the carbon cycle, but most believe that the additional carbon dioxide will augment the earth's natural greenhouse effect, resulting in long-term global warming and climate change. It is clear we do not fully understand the way oceans, clouds, and other factors affect climate, but improved knowledge allows better predictions.

*See also* Atmosphere; Carbon; Greenhouse effect.

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David E. Newton

Cation exchange see **Ion exchange**;  
**Radiocarbon dating**.

## Carbon dioxide

The fourth most abundant gas in the earth's atmosphere, carbon dioxide occurs in an abundance of about 350 parts per million. The gas is released by volcanoes and during respiration, combustion, and decay. Plants convert carbon dioxide into carbohydrates by the process of photosynthesis. Carbon dioxide normally poses no health hazard to humans. An important factor in maintaining the earth's climate, molecules of carbon dioxide capture infra-red wavelength light (heat) radiated from the earth's surface, raising the planet's temperature to a level at which life can be sustained, a phenomenon known as the greenhouse effect. According to a large consensus of data and studies, excessive levels of carbon dioxide resulting from anthropogenic (human) activities now contributing to global warming and climate change.

## Carbon emissions trading

Carbon emissions trading (CET) is a practice allowing countries—and corporations—to trade their harmful carbon emissions for credit to meet their designated carbon emission limits. The concept was first approved in 1992 as part of the United Nations Framework Convention on Climate Change (UNFCCC). Emissions trading is an incentive system and profit-driven system for the purpose of controlling or reducing certain polluting emissions, especially anthropogenic (human activity related) greenhouse gas emissions contributing to global warming. In 1997, most countries also signed the Kyoto Protocol to the UNFCCC. The protocol requires all signatories to monitor and report their greenhouse emissions; developed or industrialized countries are also required to cap and trade emissions of six greenhouse gases, including carbon dioxide, or CO<sub>2</sub>.

In most emissions trading schemes, parties buy and sell units of credit that entitle them to emit a certain amount of a given kind of pollution. The total number of credits in circulation for that pollutant is fixed, so the total emissions of the pollutant—the “cap”—can be kept to a predetermined level. Accordingly, the term “cap and trade” is often used to describe emissions trading. Parties that reduce their pollution emissions receive credits that they can then trade with other parties to the agreement. Thus, cuts in emissions can be made where they are most cost-effective, with total emissions not exceeding the cap. Currently, the largest emissions trading systems are carbon trading schemes, which are being implemented by the European Union (EU) and globally under the rules of the Kyoto Protocol.

The carbon trading system established by the Kyoto Protocol, like many other carbon markets, is actually a blend of two kinds of carbon trading. The first is basic emissions trading, the cap-and-trade mechanism described earlier; the second is trade in project-based credits. The system for acquiring project-based credits under Kyoto is called the Clean Development Mechanism. Polluters trade credits directly with each other. Thus, under the Kyoto agreement designed to expire in 2010, industrialized countries can meet their carbon-reduction goals either by reducing their own emissions, by trading emissions, or by acquiring carbon credits earned by funding reductions elsewhere.

As of 2010, the largest emissions market is the European Union Trading Scheme, which began operating in 2005 and includes the twenty-seven states of the EU. This scheme covers emissions from power

plants as well as other energy-intensive industries totaling about 46 percent of EU CO<sub>2</sub> emissions.

The United States has several active emissions trading markets (e.g., the U.S. Clean Air Act Amendments of 1990 set up a national cap-and-trade program for pollution rights for sulfur dioxide) and there are proposals to adopt new national scale emissions trading programs. As of 2010, the Chicago Climate Exchange (CCX) operates North America's only cap and trade system for trading emissions related to six major greenhouse gases.

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## Carbon monoxide

A colorless, odorless, tasteless gas that is produced in only very small amounts by natural processes. By far the most important source of the gas is the incomplete combustion of coal, oil, and natural gas. In terms of volume, carbon monoxide is the most important single component of air pollution. Environmental scientists rank it behind sulfur oxides, particulate matter, nitrogen oxides, and volatile organic compounds, however, in terms of its relative hazard to human health. In low doses, carbon monoxide causes headaches, nausea, fatigue, and impairment of judgment. In larger amounts, it causes unconsciousness and death.

## Carbon offsets (CO<sub>2</sub>-emission offsets)

Many human activities result in large emissions of carbon dioxide (CO<sub>2</sub>) and other so-called greenhouse gases into the atmosphere. Especially important in this regard are the use of fossil fuels such as coal, oil, and natural gas to generate electricity, to heat spaces, and as a fuel for vehicles. In addition, the disturbance of forests results in large emissions of CO<sub>2</sub> into the atmosphere. This can be caused by burning and converting forests into agricultural or urbanized land uses, and also by the harvesting of timber.

During the past several centuries, human activities have resulted in large emissions of CO<sub>2</sub> into the atmosphere, causing substantial increases in the concentrations of that gas. Prior to 1850, the atmospheric concentration of CO<sub>2</sub> was about 280 parts per million (ppm), while in 1997 it was about 360 ppm. Other greenhouse gases (these are also known as radiatively active gases) have also increased in concentration during that same period: methane (CH<sub>4</sub>) from about 0.7 ppm to 1.7 ppm, nitrous oxide (N<sub>2</sub>O) from 0.285 ppm to 0.304 ppm, and chlorofluorocarbons (CFCs) from

zero to 0.7 parts per billion (ppb). As of September 2010, the CO<sub>2</sub> concentration has grown to 386.80 ppm, according to the Earth Systems Research Laboratory (ESRL) at the U.S. National Oceanic and Atmospheric Administration (NOAA).

The scientific consensus among climatologists and environmental scientists is that the increased concentrations of radiatively active gases are causing an increase in the intensity of Earth's greenhouse effect. The resulting climatic warming could result in important stresses for both natural ecosystems and those that humans depend on for food and other purposes (agriculture, forestry, and fisheries). Overall, CO<sub>2</sub> is estimated to account for about 60 percent of the potential enhancement of the greenhouse effect, while CH<sub>4</sub> accounts for 15 percent, CFCs for 12 percent, ozone (O<sub>3</sub>) for 8 percent, and N<sub>2</sub>O for 5 percent.

Because an intensification of Earth's greenhouse effect is considered to represent a potentially important environmental problem, planning and other actions are being undertaken to reduce the emissions of radiatively active gases. The most important strategy for reducing CO<sub>2</sub> emissions is to lessen the use of fossil fuels. This will mostly be accomplished by reducing energy needs through a variety of conservation measures, and by switching to non-fossil fuel sources. Another important means of decreasing CO<sub>2</sub> emissions is to prevent or slow the rates of deforestation, particularly in tropical countries. This strategy would help to maintain organic carbon within ecosystems, by avoiding the emissions of CO<sub>2</sub> through burning and decomposition that occur when forests are disturbed or converted into other land uses.

Unfortunately, fossil-fuel use and deforestation are economically important activities in the modern world. This circumstance makes it extremely difficult for society to achieve rapid, large reductions in the emissions of CO<sub>2</sub>. An additional tactic that can contribute to net reductions of CO<sub>2</sub> emissions involves so-called CO<sub>2</sub> offsets. This involves the management of ecosystems to increase both the rate at which they are fixing CO<sub>2</sub> into plant biomass and the total quantity stored as organic carbon. This biological fixation can offset some of the emissions of CO<sub>2</sub> and other greenhouse gases through other activities.

### Offsetting CO<sub>2</sub> emissions by planting trees

As plants grow, their rate of uptake of atmospheric CO<sub>2</sub> through photosynthesis exceeds their release of that gas by respiration. The net effect of these two physiological processes is a reduction of CO<sub>2</sub> in the atmosphere. The biological fixation of atmospheric

CO<sub>2</sub> by growing plants can be considered to offset emissions of CO<sub>2</sub> occurring elsewhere—for example, as a result of deforestation or the combustion of fossil fuels.

The best way to offset CO<sub>2</sub> emissions in this way is to manage ecosystems to increase the biomass of trees in places where their density and productivity are suboptimal. The carbon-storage benefits would be especially great if a forest is established onto poorer-quality farmlands that are no longer profitable to manage for agriculture (this process is known as afforestation, or conversion into a forest). However, substantial increases in carbon storage can also be obtained whenever the abundance and productivity of trees is increased in “low-carbon ecosystems,” including urban and residential areas.

Over the longer term, it is much better to increase the amounts of organic carbon that are stored in terrestrial ecosystems, especially in forests, than to just enhance the rate of CO<sub>2</sub> fixation by plants. The distinction between the amount stored and the rate of fixation is important. Fertile ecosystems, such as marshes and most agroecosystems, achieve high rates of net productivity, but they usually store little biomass and therefore over the longer term cannot sequester much atmospheric CO<sub>2</sub>. A possibly better example involves second-growth forests and plantations, which have higher rates of net productivity than do older-growth forests. Averaged over the entire cycle of harvest and regeneration, however, these more-productive forests store smaller quantities of organic carbon than do older-growth forests, particularly in trees and large-dimension woody debris.

Both the greenhouse effect and emissions of CO<sub>2</sub> (and other radiatively active gases) are global in their scale and effects. For this reason, projects to gain CO<sub>2</sub> offsets can potentially be undertaken anywhere on the planet, but tallied as carbon credits for specific utilities or industrial sectors elsewhere. For example, a fossil-fueled electrical utility in the United States might choose to develop an afforestation offset in a less-developed, tropical country. This might allow the utility to realize significant economic advantages, mostly because the costs of labor and land would be less and the trees would grow quickly due to a relatively benign climate and long growing season. This strategy is known as a joint-implementation project, and such projects are already underway. These involve United States or European electrical utilities supporting afforestation in tropical countries as a means of gaining carbon credits, along with other environmental benefits associated with planting trees. Although this is a valid approach to obtaining carbon offsets, it can be

controversial because some people would prefer to see industries develop forest-carbon offsets within the same country where the CO<sub>2</sub> is being emitted.

### Afforestation in rural areas

An estimated 5–8 billion acres (2–3 billion ha) of deforested and degraded agricultural lands may be available worldwide to be afforested. This change in land use would allow enormous quantities of organic carbon to be stored, while also achieving other environmental and economic benefits. In North America, millions of acres of former agricultural land have reverted to forest since about the 1930s, particularly in the eastern states and provinces. There are still extensive areas of economically marginal agricultural lands that could be afforested in parts of North America where the climate and soils are suitable for supporting forests. Between 2000 and 2005, afforestation efforts in Asia resulted in an increase of 2,470,000 acres (1,000,000 hectares) of forested area. Now, in 2011, the country of Bangladesh has become a role model for afforestation in Asia since its launch in the late 1990s.

Agricultural lands typically maintain about one-tenth or less of the plant biomass of forests, while agricultural soils typically contain 60–80 percent as much organic carbon as forest soils. Because agricultural sites contain a relatively small amount of organic carbon, reforestation of those lands has a great potential for providing CO<sub>2</sub> offsets.

It is also possible to increase the amounts of carbon stored in existing forests. This can be done by allowing forests to develop into an old-growth condition, in which carbon storage is relatively great because the trees are typically big, and there are large amounts of dead biomass present in the surface litter, dead standing trees, and dead logs lying on the forest floor. Once the old-growth condition is reached, however, the ecosystem has little capability for accumulating “additional” carbon. Nevertheless, old-growth forests provide an important ecological service by tying up so much carbon in their living and dead biomass. In this sense, maintaining old-growth forests represents a strategy of CO<sub>2</sub>-emissions deferral, because if those “high-carbon” ecosystems were disturbed by timber harvesting or conversion into another kind of land use, a result would be an enormous emission of CO<sub>2</sub> into the atmosphere.

Carbon-offset projects were initiated in the early 1990s in various parts of the world, most of which involved afforestation of rural lands. The typical costs of the afforestation projects, at that time, were \$1–10 per ton of carbon fixed. These are only the costs associated

with planting and initial tending of the growing trees; there might also be additional expenses for land acquisition and stand management and protection.

Of course, even while rural afforestation provides large CO<sub>2</sub>-emission offsets, other important benefits are also provided. In some cases, the forests might be used to provide economic benefits through the harvesting of timber (although the resulting disturbance would lessen the carbon-storage capability). Even if trees are not harvested from the CO<sub>2</sub>-offset forests, it would be possible to hunt animals such as deer, and to engage in other sorts of economically valuable outdoor recreation. Increasing the area of forests also provides many non-economic benefits, such as providing additional habitat for native species, and enhancing ecological services related to clean water and air, erosion control, and climate moderation.

### Urban forests

Urban forests consist of trees growing in the vicinity of homes and other buildings, in areas where the dominant character of land use is urban or suburban. Urban forests may originate as trees that are spared when a forested area is developed for residential land use, or they may develop from saplings that are planted after homes are constructed. Urban forests in older residential neighborhoods generally have a relatively high density and extensive canopy cover of trees. These characters are less well developed in younger neighborhoods and where land use involves larger buildings used by institutions, business, or industry.

There are about 70 million acres (28 million ha) of urban land in the United States. The United States’ urban forests support an average density of 20 trees/acre (52 trees/ha), and have a canopy cover of 28 percent. In 1994, urban areas of the United States were estimated to contain about 225 million tree-planting opportunities, in which suboptimal tree densities could be subjected to fill-planting. At that time, about 75 percent of all people in the United States lived in urban settings. That percent is predicted to go up to 85 percent by the year 2025, according to the U.S. Forest Service. Additional people in these urban areas mean more concentrations of CO<sub>2</sub>, which can be offset by the increased use of urban forests.

Urban forests achieve carbon offsets in two major ways. First, as urban trees grow they sequester atmospheric CO<sub>2</sub> into their increasing biomass. The average carbon storage in urban trees in the United States is about 13 tons per acre (33 tonnes per ha). On a national basis that amounts to approximately 0.9 billion tons of

organic carbon, and an annual rate of uptake of approximately six million tons.

In addition, urban trees can offset some of seasonal use of energy for cooling and heating the interior spaces of buildings. Large, well-positioned trees provide a substantial cooling influence through shading. Trees also cool the ambient air by evaporating water from their foliage (a process known as transpiration). Trees also decrease wind speeds near buildings. This results in decreased heating needs during winter, because less indoor warmth is lost by the infiltration of outdoor air into buildings. Over most of North America larger energy offsets associated with urban trees are due to decreased costs of cooling than with decreased heating costs. In both cases, however, much of the energy conserved represents decreased CO<sub>2</sub> emissions through the combustion of fossil fuels.

It is considerably more expensive to obtain CO<sub>2</sub>-offset credits using urban trees than with rural trees. This difference is mostly due to urban trees being much larger than rural trees when planted, while also having larger maintenance expenses. The typical costs of rural CO<sub>2</sub>-offset projects are much less when compared with urban trees.

Studies have shown that there is plenty of carbon savings associated with planting large number of trees in urban areas within the United States. Much of the total CO<sub>2</sub> offsets were associated with indirect savings of energy for cooling and heating buildings, with much less from carbon sequestration into the growing biomass of the trees. The estimated costs of the carbon offsets were found to decrease considerably as the trees grew larger.

### Additional Information

The largest driver of global climate change is carbon dioxide (CO<sub>2</sub>) in the atmosphere, which is directly increased by the burning of fossil fuels. In October 2007, scientists announced that since 2000, CO<sub>2</sub> levels had increased faster than even the most pessimistic forecasts of the late 1990s had predicted. Growth in atmospheric CO<sub>2</sub> was only 1.1 percent per year for 1990–1999, but accelerated sharply to more than 3 percent per year for 2000–2004. Climate scientists attribute most of the increased emissions to increases in human population and industrial activity. The amount of CO<sub>2</sub> emitted per unit of economic activity (defined as energy intensity) remained level or increased globally. The increase in CO<sub>2</sub> was due also—to a lesser extent—to decreased absorption of CO<sub>2</sub> by the oceans. In 2004, developing nations accounted for 41 percent of total emissions, but 74 percent of total emissions growth.

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Bill Freedman

## Carbon tax

To limit and control the amount of carbon dioxide (CO<sub>2</sub>, sometimes also written as CO2) added to the atmosphere, special (environmental) taxes, called carbon taxes, have been proposed and in some cases adopted, on fuels containing carbon. Fuels such as coal, gasoline, heating oil, and natural gas, release energy by combining the carbon they contain with oxygen in the air, to produce carbon dioxide. Increased use of carbon-containing fossil fuels in modern times has greatly increased the rate at which carbon dioxide is entering the atmosphere. Measurable increases in atmospheric levels of the gas have been detected. Since carbon dioxide is the principle component of so-called greenhouse gases, changes in its concentration in Earth’s atmosphere are a concern. Increases in the level of carbon dioxide, and other greenhouse gases such as methane, nitrous oxide, ozone, and artificially-made

chlorofluorocarbons can be expected to result in warmer temperatures on the surface of Earth, and wide-ranging changes in the global climate. Greenhouse gases permit radiation from the Sun to reach Earth's surface but prevent the infrared or heat component of sunlight from re-irradiating into space.

The wide spread concern over the possible climatic effects of increases in heat-trapping gases in the atmosphere was exemplified by a 2007 report of the Intergovernmental Panel on Climate Change (IPCC). It concluded that unless greenhouse gas emissions were curtailed, average global temperatures would rise 2.0–11.5°F (1.1–6.4°C) by the year 2100. The IPCC report recommended a 50 to 85 percent reduction of greenhouse gas emissions over 2000 levels by 2050. The IPCC recognized that the rapidly expanding economies of many developing countries make similar reductions less likely. The IPCC working group on climate change mitigation recommended a carbon tax to stabilize CO<sub>2</sub> emissions. The working group estimated that the carbon tax would have to be between \$5 and \$65 per ton of CO<sub>2</sub> in 2030 and between \$15 and \$130 per ton of CO<sub>2</sub> by 2050.

While limiting the buildup of heat-trapping gases in the atmosphere has been a goal of natural scientists for some time, many economists have now joined the effort. In 1997, 2,000 prominent economists, including six Nobel Laureates, signed the “Economists’ Statement on Climate Change” stating that policies to slow global warming are needed and are economically viable. The economists agreed with a review conducted by a distinguished international panel of scientists under the auspices of the IPCC that, “The balance of evidence suggests a discernible human influence on global climate.” They further stated that, “As economists, we believe that global climate change carries with it significant environmental, economic, social, and geopolitical risks, and that preventive steps are justified.”

They state that economic studies have found that potential policies to reduce greenhouse-gas emissions can be designed so that benefits outweigh costs, and living standards would not be harmed. They claim that United States productivity may actually improve as a result. The statement goes on to claim that, “the most efficient approach to slowing climate change is through market-based policies” rather than limits or regulations. The economists also suggest that, “A cooperative approach among nations is required, such as an international emissions trading agreement.” They recommend, “market mechanisms such as carbon taxes or the auction of emissions permits.” Their statement goes on to suggest that, “Revenues generated from such policies can effectively be used to reduce budget

deficits or lower existing taxes.” New taxes are never popular, but are more apt to be accepted when the revenue is used to replace other taxes or to aid the environment.

As in any public policy debate, views of even well-qualified experts are often sharply divided. Some industry representatives and scientists are unwilling to accept the premise that greenhouse gas emissions represent a serious threat. Industrial spokespersons continue to claim that efforts to reduce greenhouse gas emissions through taxation or other economic means are not cost effective, and would devastate the economy, cost jobs, and reduce living standards. Individual countries worry about the impacts on their competitiveness if other countries do not adopt similar measures. The potential impact on low-income groups must also be considered. Some are concerned that the taxes have to be high to be effective. The amount of year to year variation in climate and temperature throughout the globe, provide ample room for differences of opinion.

International attention was focused on the need to reduce greenhouse gases at the United Nations sponsored Earth Summit held in Rio de Janeiro (Brazil) in 1992. The conference was held to attempt to reconcile worldwide economic development with the need to preserve the environment. Representatives of 178 nations attended, including 117 heads of state, making it the largest gathering of world leaders in history. Documents and treaties were signed committing most of the world's nations to the economic development in ways that were compatible with a healthy environment. A binding treaty called the United Nations Framework Convention on Climate Change (UNFCCC) was adopted, requiring nations to reduce emissions of greenhouse gases. The treaty failed to set binding targets for emission reductions, however. Agreement was hampered by discord between industrialized nations of Western Europe, and North America and developing nations in Africa, Latin America, the Middle East, and Asia. Developing countries were concerned that environmental restrictions would hamper economic growth unless they received increased aid from developed nations to enable them to grow in an environmentally sound way.

In 1997, the Kyoto Protocol was adopted as an amendment to the UNFCCC. The Kyoto Protocol sought to reduce greenhouse gas emissions through flexible mechanisms, such as emissions trading and investment in clean energy initiatives in developing nations. The Kyoto Protocol, which entered into force in 2005, did not adopt a carbon tax. As of November

2009, 187 countries and the European Union had ratified the Protocol.

National and regional efforts to limit greenhouse gas has been most evident in western Europe, although disagreements between countries have prevented a unified approach among members of the European Union (EU). Carbon taxes, such as those enacted in Finland, the Netherlands, Norway, and Sweden in the 1990s, are one example. Other examples include taxes on sulfur and nitrogen oxide emissions, water pollution charges, and charges for household waste. The carbon dioxide tax in Norway was reported to have reduced CO<sub>2</sub> emissions by 3–4 percent. The goal in the Scandinavian countries and the Netherlands has been to move away from taxes on labor or capital to those on energy and the environment. The consensus in western Europe seems to be that current environmental policies are weighted too much in the direction of regulation, and that there is a need to provide economic incentives in the form of well-designed environmental taxes.

Although eastern European countries have moved forward more slowly than in the rest of the continent, Poland, Hungary, and Estonia are beginning to adopt environmental charges and taxes. In the far-east, the Japanese Environmental Agency has reported that Japan might be able to keep per capita emissions of carbon dioxide at 1990 levels, which would result in a small increase in total emissions because of population growth.

The effectiveness of green taxes, including carbon taxes, is not universally accepted. Some argue that goals need to be defined more carefully with specified amounts or percentages of reduction in targeted pollutants. The EEA report acknowledges that the evaluation of the effectiveness is difficult and that, “Judgments about the performance of green taxes remain at the level of best guesses.” Although theoretical evaluation of environmental taxation is well-developed, adequate evaluation of practical experience with such taxes is still relatively rare. Proper evaluation must separate the effects of green taxes from other factors, and assess what would have happened without the taxes. It is also recognized that it may take as much as a decade for a tax to have the desired effect.

In the United States, the Clinton administration, in 1993, published a fifty-point plan to reduce greenhouse gas emissions by 100 million tons through a number of voluntary measures. Among the steps recommended were greater energy efficiency in homes and electrical appliances, reduced emissions from power plants,

greater reliance on hydroelectric power, and increased tree planting. Some observers believe that the prospects for “green taxes” are less favorable in the United States than in Europe because of difficulty of passing legislation through two houses of Congress, which may be controlled by different parties, and obtaining approval by the president. Some individual states, however, have enacted measures that create economic incentives for environmental protection. Louisiana, which has many petrochemical companies within its borders, created an “environmental scorecard” in 1991, to keep a tally of companies’ toxic discharges, waste volumes, and compliance with environmental laws. Graded exemptions from property taxes are granted to companies with good scores. Oregon’s Energy Facility Siting Task Force recommended establishment of a standard to reduce emissions of carbon dioxide, with provisions for offsets for planting trees (which remove CO<sub>2</sub> from the air), and for the use of renewable energy sources.

As world leaders seek a replacement agreement for the Kyoto Protocol, which expires in 2013, carbon taxation has become a popular issue. Supporters of carbon taxation note that it has several advantages over cap-and-trade schemes, which set a cap for pollution and allow polluters to trade unused emissions credits. Cap-and-trade schemes work by setting a baseline for pollution levels. Cap-and-trade, therefore, accepts and allows a certain level of pollution without negative economic consequences. Carbon taxation, however, creates an incentive to reduce emissions by taxing all emissions. Carbon tax supporters also assert that it is easier and less expensive to implement than a cap-and-trade scheme.

Several successor protocols have been attempted since the Kyoto Protocol. For instance, the Copenhagen Accord (“2009 United Nations Climate Change Conference in Copenhagen (COP-15)”) agreed in principle for carbon emission goals by 2050. However, as of October 2010, specific targets had yet to be agreed to by all of the participating members.

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Douglas C. Pratt

## Carcinogen

A carcinogen is any substance or agent that produces or induces the development of cancer. Carcinogens are known to affect and initiate metabolic processes at the level of cellular DNA.

In the United States, cancer accounts for slightly over 20 percent of all deaths each year. However, according to a Centers for Disease Control and Prevention (CDC) report issued in January 2010, cancer mortality rates in the United States declined 4.7 percent between 2006 and 2007.

The testing of chemicals as cancer-producing agents began with the observation of Sir Percival Pott in 1775 that scrotal cancer in young chimney sweeps resulted from the lodgement of soot in the folds of their scrotums. Pott was the first to link an environmental agent, coal tar, to cancer growth. In 1918 scientists began to test chemical derivatives for their cancer-causing efficacy. These first experiments looked at polycyclic hydrocarbons, specifically benzo(a)pyrene found in coal tar, and they demonstrated that a certain degree of exposure to coal tar produced cancer in laboratory rats. In 1908, Vilhelm Ellerman and Oluf Bang of Denmark reported that an infectious agent could cause cancer, after they found that a leukemia-like blood disease was transmitted among domestic fowl via a virus. In 1911, Peyton Rous established a viral cause for a cancer called sarcoma in domestic fowl, and he was awarded a Nobel Prize for this discovery some fifty-five years later. In 1932,

Lacassagne reported that estrogen injections caused mammary cancer in mice. This opened up investigation into the role hormones played in the development of various types of cancers.

In 1896, Wilhelm Roentgen discovered the x-ray, a radioactive emission capable of penetrating many solid materials including the human body. X-rays quickly found use as a diagnostic tool in medicine; but operators of x-ray devices, unaware of their harmful effects, determined the proper intensity of the beams by repeatedly exposing their hands to the rays. Many operators of x-ray equipment began to suffer from cancer of the hand, and Roentgen himself died of cancer. The most dramatic environmental link to cancer induced by radioactivity was observed after the bombing of Hiroshima, Japan when there was a radical increase in leukemia type cancers among people exposed to the atomic blast.

Environmental agents such as toxic chemicals and radiation are considered responsible for about 85 percent of all cancer cases. A great many environmental agents such as synthetic chemicals, sunlight (exposure to UV and UVB rays), air pollutants, heavy metals, x-rays, high-fat diet, chemical pesticides, and cigarette smoking are known to be carcinogenic. Surveys carried out on the geographic incidence of cancer indicate that certain types of cancer are far more common in heavily industrialized areas. New Jersey, the site of approximately 1,200 chemical plants and related industries, has the highest overall cancer rate in the United States.

Tobacco use, particularly cigarette smoking, is now recognized as the leading contributor to cancer mortality in the United States. Currently one third of all cancer deaths are due to lung cancer, and of the 130,000 new lung cancer victims diagnosed each year, 80 percent are cigarette smokers. Several years ago, the primary cause of cancer among women was breast cancer but by the late 1980s, lung cancer had surpassed breast cancer as the leading cause of death among women. Secondary smoke is also a contributing cause of cancer among nonsmokers exposed to cigarette smoke.

Dietary factors have been extensively investigated, and experiments have implicated everything from coffee to charcoal broiled meat to peanut butter as possible carcinogens. A major concern among meat producers was the use of diethylstilbestrol (DES) as a source for beefing up cattle. DES is a synthetic hormone that increases the rate of growth in cattle. In the 1960s, DES was fed to about three-fourths of all the cattle raised in the United States. It was also used to prevent miscarriages in women until 1966, when it was

shown to be carcinogenic in mice. DES is now linked to vaginal and cervical cancers in women born between 1950 and 1966 whose mothers took DES during their pregnancies.

In 1971, DES was banned for use in cattle by the Food and Drug Administration (FDA), but the federal courts reversed the ban, contending that DES posed no danger since it was not directly added to foods but was administered only to cattle. When the FDA subsequently showed that measurable quantities remained present in slaughtered cattle, the courts reinstated the ban. But the issue of using growth additives in meat production remains unresolved today. Environmentalists are still concerned that known carcinogenic chemicals used to “beef up” cattle are being consumed by humans in various meat products, though no direct links have yet been established. In addition, various food additives, such as coal tar dyes used for artificial coloring and food preservatives, have produced cancer in laboratory animals. As yet there is no evidence indicating that human cancer rates are rising because of these substances in food.

Air pollution has been extensively investigated as a possible carcinogen and it is known that people living in cities larger than 50,000 run a 33 percent higher risk of developing lung cancer than people who live in other areas. The reasons behind this phenomenon, referred to as the “urban factor,” have never been conclusively determined. Areas with populations exceeding 50,000 tend to have more industry, and air pollutants can have a profound effect in regions such as New Jersey where they are highly concentrated.

Occupational exposure to carcinogenic substances accounts for an estimated 2–8 percent of diagnosed cancers in the United States. Until passage of the Toxic Substances Control Act in 1976, which gave the federal government the power to require testing of potentially hazardous substances before they go on the market, hundreds of new chemicals with unknown side effects came into industrial use each year. Substances such as asbestos are estimated to cause 30–40 percent of all deaths among workers who have been exposed to it. Vinyl chloride, a basic ingredient in the production of plastics, was found in 1974 to induce a rare form of liver cancer among exposed workers. Anaesthetic gases used in operating rooms have been traced as the reason nurse anesthetists develop leukemia and lymphoma at three times the normal rate with an associated higher rate of miscarriage and birth defects among their children. Benzene, an industrial chemical long known as a bone-marrow poison, has been shown to induce leukemia as well. A major step forward in the regulation of these potential cancer

causing agents is the implementation by the Occupational Safety and Health Administrations (OSHA) of the Hazard Communication Standard in 1983, intended to provide employees in manufacturing industries access to information concerning hazardous chemicals encountered in the workplace.

In 2008, researchers reported that nanotubes—microscopic, needle-like tubes, usually composed of carbon and used in many new materials because of their strength and conductivity—can have carcinogenic effects on lung tissue similar to those of asbestos. The researchers, whose results were published in the journal *Nature Nanotechnology*, emphasized that nanotubes are not dangerous if sealed inside plastics or other materials; like asbestos fibers, they must be inhaled before they can do harm. Nanotubes are therefore mostly a threat to those handling them in manufacturing, not to the general public. Being forewarned of likely health hazards from nanotubes, manufacturers can take steps to protect workers. Nanoparticles (particles smaller than 100 nanometers across) have also been found to be a cancer hazard, causing DNA damage similar to that caused by ionizing radiation.

There is continuing concern about over-exposure to ultraviolet radiation and the subsequent formation of skin cancers such as basal-cell and squamous-cell skin cancers, along with the highly lethal melanoma skin cancer which currently kills about 9,000 Americans per year.

*See also* Love Canal; Ozone layer depletion; Radiation exposure; Radiation sickness; Radon; Toxic substance.

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Brian R. Barthel

## Carrying capacity

Carrying capacity is a general concept based on the idea that every ecosystem has a limit for use that cannot be exceeded without damaging the system. Whatever the specified use of an area might be, whether for grazing, wildlife habitat, recreation, or economic development, there is a threshold that cannot be breached, except temporarily, without degrading the ability of the environment to support that use. Examinations of carrying capacity attempt to determine, with varying degrees of accuracy, where this threshold lies and what the consequences of exceeding it might be.

The concept of carrying capacity was pioneered early this century in studies of range management and wildlife management. Range surveys of what was then called “grazing capacity” were carried out on the Kaibab Plateau in Arizona as early as 1911, and this term was used in most of the early bulletins issued by the U.S. Department of Agriculture on the subject. In his 1923 classic, *Range and Pasture Management*, Sampson defined grazing capacity as “the number of stock of one or more classes which the area will support in good condition during the time that the forage is palatable and accessible, without decreasing the forage production in subsequent seasons.” Sampson was quick to point out that the “grazing capacity equation has not been worked out on any range unit with mathematical precision.” In fact, because of the number of variables involved, especially variables stemming from human actions, he did not accept that the “grazing-capacity factor will ever be worked out to a high degree of scientific accuracy.” Sampson also pointed out that “grazing the pasture to its very maximum year after year can produce only one result—a sharp decline in its carrying capacity,” and he criticized the stocking of lands at their maximum instead of their optimum capacity. Similar discussions of carrying capacity can be found in books about wildlife management from the same period, particularly *Game Management* by Aldo Leopold, published in 1933.

Practitioners of applied ecology have calculated the number of animal-unit months that any given land area can carry over any given period of time. But there have been some controversies over the application of the concept of carrying capacity. The concept is commonly employed without considering the factor of time, neglecting the fact that carrying capacity refers to land use that is sustainable. Another common mistake is to confuse or ignore the implicit distinctions between maximum, minimum, and optimum capacity.

In discussions of land use and environmental impact, some officials have drawn graphs with curves showing maximum use of an area and claimed that these figures represent carrying capacity. Such representations are misleading because they assume a perfectly controlled population, one without fluctuation, which is not likely. In addition, the maximum allowable population can almost never be the carrying capacity of an area, because such a number can almost never be sustained under all possible conditions. A population in balance with the environment will usually fluctuate around a mean, higher or lower, depending on seasonal habitat conditions, including factors critical to the support of that particular species or community.

The concept of carrying capacity has important ramifications for human ecology and population growth. Many of the essential systems on which humans depend for sustenance are showing signs of stress, yet demands on these systems are constantly increasing. William R. Catton has formulated an important axiom for carrying capacity: “For any use of any environment there is a use intensity that cannot be exceeded without reducing that environment’s suitability for that use.” He then defined carrying capacity for humans on the basis of this axiom: “The maximum human population equipped with a given assortment of technologies and a given pattern of organization that a particular environment can support indefinitely.”

The concept of carrying capacity is the foundation for recent interest in sustainable development, an environmental approach which identifies thresholds for economic growth and increases in human population. Sustainable development calculates the carrying capacity of the environment based on the size of the population, the standard of living desired, the overall quality of life, the quantity and type of artifacts created, and the demand on energy and other resources. With his calculations on sustainable development in Paraguay, Herman Daly has illustrated that it is possible to work out rough estimates of carrying capacity for some human populations in certain areas. He based his figures on the ecological differences between the country’s two major regions, as well as on differences among types of settlers, and differences between developed good land and undeveloped marginal lands.

If ecological as well as economic and social factors are taken into consideration, then any given environment has an identifiable tolerance for human use and development, even if that number is not now known. For this reason, many environmentalists argue that carrying capacity should always be the basis for what has been called demographic accounting.

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Gerald L. Young  
Douglas Smith

## Carson, Rachel L.

1907–1964

American ecologist, marine biologist, and writer

Rachel Louise Carson was a university-trained biologist, a longtime United States government employee, and a best-selling author of such books as *Edge of the*



Rachel Carson. (Corbis-Bettmann.)

*Sea, The Sea Around Us* (a National Book Award winner), and *Silent Spring*.

Her book on the dangers of misusing pesticides, *Silent Spring*, has become a classic of environmental literature and resulted in her recognition as the fountainhead of modern environmentalism. *Silent Spring* was reissued in a twenty-fifth anniversary edition in 1987, and remains standard reading for anyone concerned about environmental issues.

Carson grew up in the Pennsylvania countryside and reportedly developed an early interest in nature from her mother and from exploring the woods and fields around her home. She was first an English major in college, but a required course in biology rekindled that early interest in the subject and she graduated in 1928 from Pennsylvania College for Women with a degree in zoology and went on to earn a master's degree at Johns Hopkins University. After the publication of *Silent Spring*, she was often criticized for being a “popular science writer” rather than a trained biologist, making it obvious that her critics were unaware of her university work, including a master's thesis entitled “The Development of the Pronephros During the Embryonic and Early Larval Life of the Catfish (*Ictalurus punctatus*).”

Summer work also included biological studies at Woods Hole Marine Biological Laboratory in Massachusetts, where she became more interested in the life of the sea. After doing a stint as a part-time scriptwriter for the Bureau of Fisheries, she was hired full-time as a junior aquatic biologist. When she resigned from the United States Fish and Wildlife Service in 1952 to devote her time to her writing, she was biologist and chief editor there. First, as a biologist and writer with the Bureau and then as a free-lance writer and biologist, she successfully combined professionally the two great loves of her life, biology and writing.

Often described as “a book about death which exalts life,” *Silent Spring* is the work on which Carson's position as the modern catalyst of a renewed environmental movement rests. The book begins with a shocking fable of one composite town's “silent spring” after pesticides have decimated insects and the birds that feed upon them. The main part of the book is a massive documentation of the effects of organic pesticides on all kinds of life, including birds and humans. The final sections are quite restrained, drawing a hopeful picture of the future, if feasible alternatives to the use of pesticides—such as biological controls—are used in conjunction with and as a partial replacement of chemical sprays.

Carson was quite conservative throughout the book, being careful to limit examples to those that could be verified and defended. In fact, there was very little new in the book; it was all available earlier in a variety of scientific publications. But her science background allowed her to judge the credibility of the facts she uncovered and provided sufficient knowledge to synthesize a large amount of data. Her literary skills made that data accessible to the general public.

*Silent Spring* was not a polemic against all use of pesticides but a reasoned argument that potential hazards be carefully and sufficiently considered before any such chemical was approved for use. Many people date modern concern with environmental issues from her argument in this book that “future generations are unlikely to condone our lack of prudent concern for the integrity of the natural world that supports all life.” It is not an accident that her book is dedicated to Albert Schweitzer, because she wrote it from a shared philosophy of reverence for life.

Carson provided an early outline of the potential of using biological controls in place of chemicals, or in concert with smaller doses of chemicals, an approach now called integrated pest management. She worried that too many specialists were concerned only about the effectiveness of chemicals in destroying pests and “the overall picture” was being lost, in fact not valued or even sought. She pointed out the false safety of assuming that products considered individually were safe, when in concert, or synergistically, they could lead to human health problems.

Her holistic approach was one of the real, and unusual, strengths of the book. Prior to the publication of *Silent Spring*, she even refused to appear on a National Audubon Society panel on pesticides because such an appearance could provide a forum for only part of the picture and she wanted her material to first appear “as a whole.” She did allow it to be partially serialized in *The New Yorker*, but articles in that magazine are long and detailed.

The book was criticized early and often, and often viciously and unfairly. One chemical company, reacting to that pre-publication serialization, tried to get Houghton Mifflin not to publish the book, citing Carson as one of the “sinister influences” trying to reduce the use of agricultural chemicals so that United States food supplies would dwindle to the level of a developing nation. The chemical industry apparently united against Carson, distributing critical reviews and threatening to withdraw magazine advertisements from journals deemed friendly to her. Words and phrases

used in the attacks included “ignorant,” “biased,” “sensational,” “unfounded,” “distorted,” “not written by a scientist,” “littered with crass assumptions and gross misinterpretations,” to name but a few.

Some balanced reviews were also published, most noteworthy one by Cornell University ecologist LaMont Cole in *Scientific American*. Cole identified errors in her book, but finished by saying “errors of fact are so infrequent, trivial and irrelevant to the main theme that it would be ungallant to dwell on them,” and went on to suggest that the book be widely read in the hopes that it “may help us toward a much needed reappraisal of current policies and practices.” That was the spirit in which Carson wrote *Silent Spring* and reappraisals and new policies were indeed the result of the myriad of reassessments and studies spawned by its publication. To its credit, it did not take the science community long to recognize her credibility; the President’s Science Advisory Committee issued a 1963 report that the journal *Science* suggested “adds up to a fairly thorough-going vindication of Rachel Carson’s *Silent Spring* thesis.”

While it is important to recognize the importance of *Silent Spring* as a landmark in the environmental movement, one should not neglect the significance of her other work, especially her three books on oceans and marine life and the impact of her writing on people’s awareness of one of Earth’s great natural ecosystems.

*Under the Sea Wind* (1941) was Carson’s attempt “to make the sea and its life as vivid a reality [for her readers] as it has become for me.” And readers are given vivid narratives about the shore, including vegetation and birds, on the open sea, especially by tracing the movements of the mackerel, and on the sea bottom, again by focusing on an example, this time the eel. *The Sea Around Us* (1951) continues Carson’s treatment of marine biology, adding an account of the history and development of the sea and its physical features such as islands and tides. She also includes human perceptions of and relationships with the sea. *The Edge of the Sea* (1955) was written as a popular guide to beaches and sea shores, but focusing on rocky shores, sand beaches, and coral and mangrove coasts, it complemented the physical descriptions in *The Sea Around Us* with biological data.

Carson was a careful and thorough scientist, an inspiring author, and a pioneering environmentalist. Her groundbreaking book, and the controversy it generated, was the catalyst for much more serious and detailed looks at environmental issues, including

increased governmental investigation that led to creation of the Environmental Protection Agency (EPA). Her work will remain a hallmark in the increasing awareness modern people are gaining of how humans interact with and impact the environment in which they live and on which they depend.

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Gerald R. Young

## Cash crop

A crop that is produced for the purpose of exporting or selling rather than for consumption by the grower. In many Third World countries, cash crops often replace the production of basic food staples such as rice, wheat, or corn in order to generate foreign exchange. For example, in Guatemala, much of the land is devoted to the production of bananas and citrus fruits (97 percent of the citrus crop is exported), which means that majority of the basic food products needed by the native people are imported from other countries. Often these foods are expensive and difficult for many poor people to obtain. Cash crop agriculture also forces many subsistence and tenant farmers to give up their land in order to make room for industrialized farming.

## Catalytic converter

Catalytic converters are devices which employ a catalyst to facilitate a chemical reaction. A catalyst is a substance that changes the rate of a chemical reaction, but whose own composition is unchanged by that reaction. For air pollution control purposes, such reactions involve the reduction of nitric oxide (NO) to molecular oxygen and nitrogen or oxidation of hydrocarbons and carbon monoxide (CO) to carbon dioxide (CO<sub>2</sub>) and water. Using the catalyst, the activation energy of the desired chemical reaction is lowered. Therefore, exothermic chemical conversion will be favored at a lower temperature.

Traditional catalysts have normally been metallic, although nonmetallic materials, such as ceramics, have



**A catalytic converter at a large petrochemical plant.** (Photograph by Tom Carroll. Phototake.)

been coming into use in recent years. Metals used as catalysts may include noble metals, such as platinum (Pt), or base metals, including nickel (Ni) and copper (Cu). Some catalysts are more effective in oxidation, others are more effective in reduction. Some metals are effective in both kinds of reactions. The catalyst material is normally coated on a porous, inert support structure of varying design. Examples include honeycomb ceramic structures with long channels and pellet beds. The goal is to channel exhaust over a large surface area of catalyst without an unacceptable pressure drop.

In some cases, reduction and oxidation catalysts are combined to control oxides of nitric oxide, carbon monoxide, and hydrocarbon emissions in exhaust from internal combustion engines. The reduction and oxidation processes can be conducted sequentially or simultaneously. Dual catalysts are used in sequential reduction-oxidation. In this case, the exhaust gas from a rich-burn engine initially enters the reducing catalyst

to reduce nitric oxide. Subsequently, as the exhaust enters an oxidation catalyst, it is diluted with air to provide oxygen for oxidation. Alternatively, three-way catalysts can be used for simultaneous reduction and oxidation. Engines with three-way catalytic converters are efficient at reducing nitric oxide, carbon monoxide, and hydrocarbon emissions when there is a tight regulation of the air to fuel ratio. Higher levels of oxygen than required result in favored oxidation of carbon monoxide and hydrocarbons and decreased reduction of nitric oxide, while the converse occurs when high levels of fuel are present. Catalytic converters can present a problem in cold conditions or in stop-start driving as they need to be hot to work most effectively.

Reducing catalysts can be made more efficient using a reducing agent, such as ammonia (NH<sub>3</sub>). This method of control, referred to as selective catalytic reduction, has been employed successfully on large turbines. In this case, a reducing agent is introduced upstream of a reducing catalyst, allowing for greater rates of nitric oxide reduction.

Regulations in the U.S. and some other countries such as Japan ensure that motor vehicles have catalytic converters installed for reduction of greenhouse gas emissions. The Environmental Protection Agency (EPA) required the installation of three-way catalytic converters on all new passenger cars and light-duty trucks as of 2004, according to an amendment of the Clean Air Act. Three-way catalytic converters are able to convert nitric oxide, hydrocarbons, and carbon monoxide into oxygen, carbon dioxide, and water. Although the emissions of some harmful gases are reduced by three-way catalytic converters, other gases that contribute to climate change are formed such as carbon dioxide and nitrous oxide (N<sub>2</sub>). Research and engineering initiatives are being employed to address this issue.

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Robert B. Giorgis Jr.

Cation see **Ion**.

## Catskill Watershed Protection Plan

New York City has long been proud of its excellent municipal drinking water. Approximately 90 percent of that water comes from the Catskill/Delaware Watershed, which covers about 1,900 square miles (nearly 5,000 square kilometers) of rugged, densely forested land north of the city and west of the Hudson River. Stored in six hard-rock reservoirs and transported through enormous underground tunnels, the city water is outstanding for a large urban area. Yielding 1.3 billion gal (450,000 cubic meters) per day, and serving more than nine million people, this is the largest surface water storage and supply complex in the world. As the metropolitan agglomeration has expended, however, people have moved into the area around the Catskill Forest Preserve, and water quality is not as high as it was a century ago.

When the 1986 U.S. Safe Drinking Water Act mandated filtration of all public surface water systems, the city was faced with building an \$8 billion water treatment plant that would cost up to \$500 million per year to operate. In 1989, however, the Environmental Protection Agency (EPA) ruled that the city could avoid filtration if it could meet certain minimum standards for microbial contaminants such as bacteria, viruses, and protozoan parasites. In an attempt to limit pathogens and nutrients contaminating surface water and to avoid the enormous cost of filtration, the city proposed land-use regulations for the five counties (Green, Ulster, Sullivan, Schoharie, and Delaware) in the Catskill/Delaware watershed from which it draws most of its water.

With a population of 50,000 people, the private land within the 200 square mi (520 square km) watershed is

mostly devoted to forestry and small dairy farms, neither of which are highly profitable. Among the changes the city called for was elimination of storm water runoff from barnyards, feedlots, or grazing areas into watersheds. In addition, farmers would be required to reduce erosion and surface runoff from crop fields and logging operations. Property owners objected strenuously to what they regarded as onerous burdens that would put many of them out of business. They also bristled at having the huge megalopolis impose rules on them. It looked like a long and bitter battle would be fought through the courts and the state legislature.

To avoid confrontation, a joint urban/rural task force was set up to see if a compromise could be reached, and to propose alternative solutions to protect both the water supply and the long-term viability of agriculture in the region. The task force agreed that agriculture is the “preferred land use” on private land, and that agriculture has “significant present and future environmental benefits.” In addition, the task force proposed a voluntary, locally developed and administered program of “whole farm planning and best management approaches” very similar to ecosystem-based, adaptive management.

This grass-roots program, financed mainly by the city, but administered by local farmers themselves, attempts to educate landowners, and provides alternative marketing opportunities that help protect the watershed. Economic incentives are offered to encourage farmers and foresters to protect the water supply. Collecting feedlot and barnyard runoff in infiltration ponds together with solid conservation practices such as terracing, contour plowing, strip farming, leaving crop residue on fields, ground cover on waterways, and cultivation of perennial crops such as orchards and sugarcorn have significantly improved watershed water quality.

In addition to saving billions of dollars, this innovative program has helped create good will between the city and its neighbors. It has shown that upstream cleanup, prevention, and protection are cheaper and more effective than treating water after it’s dirty. Farmers have learned they can be part of the solution, not just part of the problem. This experiment serves as an excellent example of how watershed planning through cooperation is effective when local people are given a voice and encouraged to participate. The success of the Catskill watershed management plans led the EPA to grant a five-year Filtration Avoidance Determination in 2002. The EPA granted New York City a ten-year Filtration Avoidance Determination in 2007.

## Resources

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### ORGANIZATIONS

Catskill Watershed Corporation, PO Box 569 Main St., Margaretville, NY, USA, 12455, (845) 586-1400, (845) 586-1401, (877) 928-7433, [invest@cwconline.org](mailto:invest@cwconline.org), <http://www.cwconline.org>.

William P. Cunningham

## Center for Environmental Philosophy

The Center for Environmental Philosophy was established in 1989 as an organization dedicated to furthering research, publication, and education in the area of environmental philosophy and ethics. Based at the University of North Texas since 1990, the primary activities of the center are the publication of the journal *Environmental Ethics*, the reprinting of significant books on environmental ethics under its own imprint, the sponsorship of various workshops and conferences dedicated to the furthering of research and training in environmental ethics, and the promotion of graduate education, postdoctoral research, and professional development in the field of environmental ethics.

The Center is best known for its journal, which virtually established the field of environmental ethics and remains perhaps the leading forum for serious philosophical work in environmental philosophy. Inspired in part by Aldo Leopold’s contention in “A Sand County Almanac” that the roots of most ecological problems were philosophical, Eugene C. Hargrove founded *Environmental Ethics* in 1978. The journal was originally concerned primarily with whether the attribution of rights to animals and to nature itself could be coherently defended as a philosophical doctrine. While remaining true to its central preoccupation with ethics, the journal’s interests have more recently broadened to include significant essays on such topics as deep ecology, ecofeminism, social ecology, economics, and public policy. Under Hargrove’s editorial leadership, the journal has brought environmental ethics to increasing acceptance as a serious field by mainstream academic philosophers. *Environmental Ethics* is widely read by researchers concerned with the environment in the fields of biological science,

economics, and policy science. It also is developing a small but growing following among environmental professionals such as conservation biologists.

The Center also helps to sponsor, in conjunction with the Department of Philosophy and Religion Studies at the University of North Texas, programs in which graduate students may take courses and specialize in the field of environmental ethics.

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### ORGANIZATIONS

Center for Environmental Philosophy, University of North Texas, 1704 W. Mulberry St., Suite 370, Denton, TX, USA, 76201, (940) 565-2727, (940) 565-4439, cep@unt.edu, <http://www.cep.unt.edu>

Lawrence J. Biskowski

Center for Marine Conservation see **Ocean Conservatory, The.**

## Center for Respect of Life and Environment

Formed in 1986, the Center for Respect of Life and Environment (CRLE) is a nonprofit group based in Washington, D.C., that works to promote humane and environmental ethics, particularly within the academic and religious communities with an emphasis on the links between ecology, spirituality, and sustainability.

CRLE describes itself as being committed to “encourage the well-being of life and living systems—plant, animal, and human relationships . . .” The work of the Center is “to awaken the public’s ecological sensibilities, and to transform lifestyles, institutional practices, and social policies to support the community of life . . .” In order to accomplish these goals, CRLE sponsors conferences of professionals and experts in various fields and puts out a variety of publications, including a quarterly journal, *Earth Ethics*.

Through workshops and conferences, CRLE’s Higher Education Project brings together educators

from various institutions to discuss greening policies. The Center’s Greening of Academia program works with colleges and universities to make the academic curricula, food services, and other campus policies “ecologically sound, socially just, and humane.” CRLE also publishes a *Green Guide to Higher Education*, which describes the availability and ecological orientation of courses at various institutions of higher learning.

The Center’s Religion in the Ecological Age program focuses on “ecospirituality,” stressing that today’s religious leaders and institutions must take the responsibility for addressing the issues of environmental justice, the human population explosion, overconsumption of natural resources, and other environmental problems that threaten the well-being of the natural environment and of the humans and wildlife dependent on it. CRLE sponsors conferences and publications on such issues, including a series of international conferences in Assisi, Italy (home of St. Francis of Assisi, the thirteenth century lover of animals and patron saint of nature).

Fundamental to the Center’s mission was the creation of an Earth Charter. The Earth Charter Commission approved the Charter in 2000. The Charter will “prescribe new norms for state and interstate behavior needed to maintain livelihoods and life on our shared planet.” CRLE says that the main purpose of the Earth Charter is to “create a ‘soft law’ document that sets forth the fundamental principles of this emerging new ethics, principles that include respect for human rights, peace, economic equity, environmental protection and sustainable living . . . It is hoped that the Charter will become a universal code of conduct for states and people . . .”

Other activities of the Global Earth Ethic project include sponsoring conferences and publications focusing on ethics as they relate to agriculture, development, the environment, and “the appropriate use of animals in research, education, and agriculture . . .” This effort to raise consciousness includes a three-year project focused on establishing a new principle for agriculture explained in the “Soul of Agriculture: A Production Ethic for the 21st Century.”

CRLE’s Sustainable Livelihoods in Sustainable Communities program works with United Nations agencies and other regional and international organizations to promote environmentally sustainable developmental and agricultural practices through publications, conferences and meetings, with particular emphasis on indigenous peoples and rural communities.

CRLE's quarterly journal, *Earth Ethics*, offers book reviews and a calendar of upcoming events, and it provides a forum for scholarly and provocative feature articles discussing and debating sustainability and other environmental topics as they affect the fields of religion, agriculture, education, business, and the arts, often "challenging current economic and developmental practices."

The Center is affiliated with and supported by the Humane Society of the United States, the nation's largest animal protection organization.

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### ORGANIZATIONS

Center for Respect of Life and Environment, 2100 L Street, NW, Washington, D.C., USA, 20037, (202) 778-6133, (202) 778-6138, info@crle.org, <http://www.center1.com>

Lewis G. Regenstein

CRA's conservation and education programs address the environmental problems caused by agricultural practices in the North Central United States.

Through a rural enterprise assistance program, CRA teaches rural communities to support self-employment, and it provides business assistance and revolving loan funds for the self-employed. It also provides professional farm management and brokerage service to landowners who are willing to rent or sell land to beginning farmers. CRA promotes fair competition in the agriculture marketplace by working to prevent monopolies, encouraging enforcement of laws restricting corporate farming in the United States, and advocating for the role of United States farmers in international markets.

Publications offered by CRA include the *Center for Rural Affairs Newsletter*, a monthly report on policy issues and research findings; the *Rural Enterprise Reporter*, which provides information about developing small local enterprises; and a variety of special reports on topics such as small farm technology and business strategy.

See also Environmental health; Sustainable agriculture.

## Resources

### ORGANIZATIONS

Center for Rural Affairs, 145 Main St., P.O. Box 136, Lyons, NE, USA, 68038, (402) 687-2100, (402) 687-2200, info@cfra.org, <http://www.cfra.org>

Linda Rehkopf

## Center for Rural Affairs

The Center for Rural Affairs (CRA) is a nonprofit organization dedicated to the social, economic and environmental health of rural communities. Founded in 1973, the Center for Rural Affairs includes among its participants farmers, ranchers, business people, and educators concerned with the decline of the family farm.

CRA works to provoke public thought on issues and government policies that affect rural Americans, especially in the Midwest and Plains regions of the country. It sponsors research, education, advocacy, organizing and service projects aimed to improve the life of rural dwellers. CRA's sustainable agriculture policy is designed to analyze, propose, and advocate public policies that reward environmental stewardship, promote family farming, and foster responsible technology. CRA assists beginning farmers with design and implement on-site research that helps to make these farms environmentally sound and economically viable.

## Center for Science in the Public Interest

The Center for Science in the Public Interest (CSPI) was founded in 1971 by Michael Jacobson. It is a consumer advocacy organization principally concerned with nutrition and food safety, and its membership consists of scientists, nutrition educators, journalists, and lawyers.

CSPI has campaigned on a variety of health and nutrition issues, particularly nutritional problems on a national level. It is the purpose of the group to address "deceptive marketing practices, dangerous food additives or contaminants, conflicts of interests in the academic community, and flawed science propagated by industries concerned solely with profits." It monitors current research on nutrition and food safety, as well as the federal agencies responsible for these areas.

## Centers for Disease Control and Prevention

CSPI maintains an office for legal affairs and special projects. It has initiated legal actions to restrict food contaminants and to ban food additives that are either unsafe or poorly tested. The special projects the group has sponsored include: Americans for Safe Food, the Nutrition Project, and the Alcohol Policies Project. The center publishes educational materials on food and nutrition, and it works to influence policy decisions affecting health and the national diet.

CSPI has made a significant impact on food marketing, and they have successfully contested food labeling practices in many sectors of the industry. They were instrumental in forcing fast-food companies to disclose ingredients, and they have recently pressed the Food and Drug Administration to improve regulations for companies which make and distribute fruit juice. Many brands do not reveal the actual percentages of the different juices used to make them, and certain combinations of juices are often misleadingly labeled as cherry juice or kiwi juice, for instance, when they may be little more than a mixture of apple and grape juice. The organization has also taken action against deceptive food advertising, particularly advertising for children's products. In 2007, the FDA awarded CSPI that agency's highest honor, the Harvey W. Wiley Special Citation.

CSPI is funded mainly by foundation grants and subscriptions to its award-winning *Nutrition Action Healthletter*. The newsletter, with approximately 900,000 subscribers in the United States and Canada, is one of the largest-circulation health-oriented publications. The newsletter is chartered to increase public understanding of food safety and nutrition issues. It frequently examines the consequences of legislation and regulation at the state and federal level; it has explored the controversy over organic and chemical farming methods, and it has studied how agribusiness has changed the way Americans eat. CSPI also distributes posters, videos, and computer software, and it offers a directory of mail-order sources for organically-grown food.

*See also* Food additives.

### Resources

#### ORGANIZATIONS

Center for Science in the Public Interest, 1875 Connecticut Ave., NW, Suite 300, Washington, D.C., USA, 20009, (202) 332-9110, (202) 265-4954, [cspi@cspinet.org](mailto:cspi@cspinet.org), <http://www.cspinet.org>

Douglas Smith

CERCLA see **Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA)**.

CERES Principles see **Valdez Principles**.

The Centers for Disease Control and Prevention (CDC) is the Atlanta, Georgia-based agency of the Public Health Service that has led efforts to prevent diseases such as malaria, polio, smallpox, tuberculosis, and acquired immunodeficiency syndrome (AIDS). As the nation's prevention agency, the CDC's responsibilities have expanded, and it now addresses contemporary threats to health such as injury, environmental and occupational hazards, biological terrorism, behavioral risks, and chronic diseases.

Divisions within the CDC use surveillance, epidemiologic and laboratory studies, and community interventions to investigate and prevent public health threats.

The Center for Chronic Disease Prevention and Health Promotion designs programs to reduce death and disability from chronic diseases—cardiovascular, kidney, liver and lung diseases, and cancer and diabetes.

The Center for Environmental Health and Injury Control assists public health officials at the scene of natural or artificial disasters such as volcano eruptions, forest fires, hazardous chemical spills, and nuclear accidents. Scientists study the effects of chemicals and pesticides, reactor accidents, and health threats from radon, among others. The National Institute for Occupational Safety and Health helps identify chemical and physical hazards that lead to occupational diseases.

Preventing and controlling infectious diseases has been a goal of the CDC since its inception in 1946. The Center for Infectious Diseases investigates outbreaks of infectious disease locally and internationally. The Center



Microbiologist injecting a solution of H5N1 avian influenza viruses into a chicken egg. A chicken egg is used because a large number of viruses are needed for research, and viruses can only replicate within host cells. (CDC / Photo Researchers, Inc.)

for Prevention Services provides financial and technical assistance to control and prevent diseases. Disease detectives in the Epidemiology Program Office investigate outbreaks around the world. CDC investigators were key participants in identifying and tracking the newly emergent SARS virus and outbreak in 2003. The CDC also participates in monitoring evolving influenza viruses around the world such as the pandemic 2009 H1N1 virus, and formulates the most effective yearly influenza vaccine based upon circulating viruses.

Prevention of tobacco use is a critical health issue for CDC because cigarette smoking is the leading preventable cause of death in this country. The Office on Smoking and Health conducts research on the effects of smoking, develops health promotion and education campaigns, and helps health departments with smoking education programs.

CDC researchers have improved technology for lead poisoning screening, particularly in children. CDC evidence on environmental lead pollution was a key in gasoline lead content reduction requirements. The CDC also coordinated and directed health studies of Love Canal, New York, residents in the 1980s. The director of the CDC administers the Agency for Toxic Substances and Disease Registry, the public health agency created to protect the public from exposure to toxic substances in the environment. In 1990, CDC became responsible for energy-related epidemiologic research for the U.S. Department of Energy nuclear facilities. This includes studies of people who have been exposed to radiation from materials emitted to the air and water from plant operations. The early 2000s saw the CDC focus on bioterrorism, with CDC scientists identifying potential organisms, formulating prevention and response plans, and investigating and responding to the anthrax attacks of 2001, which used the U.S. Postal Service to distribute anthrax to several locations and resulted in localized outbreaks and five deaths.

The CDC today carries out an ever-widening agenda, with studies on adolescent health, dental disease prevention, the epidemiology of violence, and categorizing and tracking birth abnormalities and infant mortality. CDC's Vision for the twenty-first Century is "Health Protection . . . Health Equity."

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### ORGANIZATIONS

Centers for Disease Control and Prevention, Public Inquiries/MASO, Mailstop F07, 1600 Clifton Road, Atlanta, GA, USA, 30333, (800) 311-3435, <http://www.cdc.gov>

Linda Rehkopf

## Cesium 137

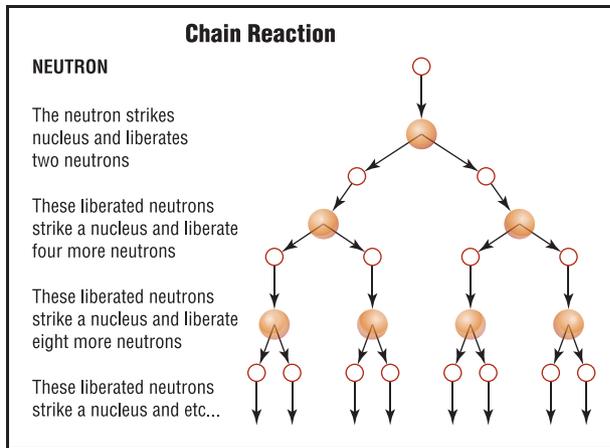
A radioactive isotope of the metallic element cesium. Cesium-137 is one of the major products formed when uranium undergoes nuclear fission, in a nuclear reactor or nuclear weapons. During atmospheric testing of nuclear weapons after World War II, cesium-137 was a source of major concern to health scientists. Researchers found that the isotope settled to the ground, where it was absorbed by plants and eaten by animals, ultimately affecting humans. Once inside the human body, the isotope releases beta particles, which are carcinogens, teratogens, and mutagens. Large quantities of cesium-137 were released when the Chernobyl nuclear reactor exploded in 1986.

CFCs see **Chlorofluorocarbons**.

## Chain reaction

A chain reaction is any situation in which one action causes or initiates a similar action. Specifically, in the scientific fields of chemistry and physics, a chain reaction is a series of chemical reactions where by-products of the original action cause further reactions to take place. Two molecules may react, which cause stable molecules to form and, sometimes, unstable ones, too. These unstable molecules are then able to further react with the original molecules and cause more and more reactions to occur. Thus, a chain reaction has been produced.

For instance, in a nuclear chain reaction, a neutron strikes a uranium-235 (U-235) nucleus, causing



**A chain reaction.** (Reproduced by permission of Gale, a part of Cengage Learning.)

the nucleus to undergo fission, which in turn produces a variety of products. These products include one or more neutrons. Thus, the particle needed to initiate this reaction (the neutron) is itself produced because of the reaction. Once begun, the reaction continues as long as uranium-235 nuclei are available. In this case, a self-sustaining series of fissions (“splitting” of molecules) release neutrons that lead to further fissions (“splitting” of other molecules).

Nuclear chain reactions are important sources of fission and fusion energy. For example, nuclear power plants employ controlled fission energy to produce electricity and stars, like the Sun, use fusion power to generate visible light and other types of electromagnetic radiation. Humans see the result of these natural fusion reactors when stars are visible in the night sky and when the Sun provides sunlight to Earth and all of its living things. Humans have also produced fusion and fission bombs. These are uncontrolled examples of the use of nuclear chain reactions.

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## Chaparral

Chaparral is an ecological community consisting of drought-resistant evergreen shrubs and small trees that are adapted to long, hot, dry summers and mild, rainy winters. The chaparral is found in five places on earth where there is a warm land mass and a cool ocean: southern California, the Cape Town area of South Africa, the western tip of Australia, the west coast of South America, and the coastal areas of the Mediterranean in southern Europe. Total annual precipitation ranges between 15 and 40 inches (38–101 cm) per year, while annual temperatures range from 50–64.4°F (10–18°C). Droughts and fires, which are often set by lightning during the summer/autumn dry season, are common in the chaparral. In fact, because the release of minerals occurs as a result of fire, many chaparral plants grow best after a fire.

The chaparral may have many types of terrain, including flat plains, rocky hills, and mountain slopes. The word chaparral comes from the Spanish word *chapparro*, meaning a dry thicket of oak shrubs.

The plants and animals that live in the chaparral are adapted to the characteristic hot and dry climatic conditions. Most of the plants are less than 10 ft tall and have leathery leaves with thick cuticles that hold moisture. Many of the shrub flora are aromatic, contain flammable oils, and are adapted to periodic burns. Examples of chaparral plants include poison oak, scrub oak, pine, manzanita, chamise, yucca, and cacti. The animals are mainly grassland and desert types, including coyotes, jack rabbits, mule deer, rattlesnakes, mountain lions, kangaroo rats, foxes, bobcats, lizards, horned toads, praying mantis, honey bees, and ladybugs.

## Resources

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Judith L. Sims

## Chelate

A chemical compound in which one atom is enclosed within a larger cluster of surrounding atoms. The term comes from the Greek word *chela*, meaning claw. Chelating agents—compounds that can form chelates with other atoms—have a wide variety of environmental applications. For example, the compound ethylenediaminetetraacetic acid (EDTA) is used to remove lead from the blood. EDTA molecules surround and bind to lead atoms, and the chelate is then excreted in the urine. EDTA can also be used to soften hard water by chelating the calcium and magnesium ions that cause hardness.

## Chelyabinsk, Russia

Chelyabinsk is the name of a province (oblast, or administrative division) in Russia, and also of its capital city in west-central Russia. The oblast covers an area of about 34,000 miles<sup>2</sup> (88,060 km<sup>2</sup>) and has a population of about 3.6 million. The city of Chelyabinsk lies on the Miass River on the eastern side of the Ural Mountains. It is about 125 miles (200 km) southeast of the city of Yekaterinburg. Its population in 2002 was about 1.1 million.

Chelyabinsk is best known today as the home of Mayak, a 77-mile<sup>2</sup> (200-km<sup>2</sup>) nuclear fuel reprocessing complex where nuclear weapons were built for the former Soviet Union. Mayak is about 45 miles (72 km) northwest from Chelyabinsk. Because of intentional policy decisions and accidental releases of radioactive materials, Mayak (which is Russian for “lighthouse” or “beacon”) has been called the most polluted spot on Earth.

The 1994 film *Chelyabinsk: The Most Contaminated Spot on the Planet*, produced and directed by Slawomir Grünberg (of Log In Productions, New York, United States), tells the story of Mayak.

Virtually nothing was known about Mayak by the outside world, the Russian people, or even the residents of Chelyabinsk themselves until 1991. Then, under the new philosophy of *glasnost* (Russian for “openness”), Soviet president Mikhail Gorbachev (1931–) released a report on the complex. It listed 937 official cases of chronic radiation sickness among Chelyabinsk residents. Medical authorities believe that the actual number is many times larger.

The report also documented the secrecy with which the Soviet government shrouded its environmental

problems at Mayak. Physicians were not even allowed to discuss the cause or nature of the radiation sickness. Instead, they had to refer to it as the “ABC disease.”

Chelyabinsk’s medical problems were apparently the result of three major “incidents” involving the release of radiation at Mayak. The first dated from the late 1940s to the mid-1950s, when radioactive waste from nuclear weapons research and development was dumped directly into the nearby Techa River. People downstream from Mayak were exposed to radiation levels that were approximately fifty-seven times greater than those at the better-known Chernobyl nuclear power plant accident in 1986. The Gorbachev report admitted that 28,000 people received radiation doses of “medical consequence.” Astonishingly, almost no one was evacuated from the area.

The second incident occurred in 1957, when a nuclear waste dump at Mayak exploded with a force equivalent to a five-to-10 kiloton atomic bomb. This incident is often referred to as the Kyshtym disaster because it was named after the nearest known town from the site. The site had been constructed in 1953 as an alternative to simply disgorging radioactive wastes into the Techa. When the automatic cooling system failed, materials in the dump were heated to a temperature of 662°F (350°C). In the resulting explosion, 20 million curies of radiation were released, exposing 270,000 people to dangerous levels of radioactivity. Neither the Soviet Union nor the United States government, which had detected the accident, revealed the devastation at Mayak.

The third incident happened in 1967. In their search for ways to dispose of radioactive waste, officials at Mayak decided in 1951 to use Lake Karachay as a repository. They realized that dumping into the Techa was not a satisfactory solution, and they hoped that Karachay—which has no natural outlet—would be a better choice.

Unfortunately, radioactive materials began leaching into the region’s water supply almost immediately. Radiation was eventually detected as far as 2 miles (3 km) away. The 1967 disaster occurred when an unusually dry summer diminished the lake significantly. A layer of radioactive material, deposited on the newly exposed shoreline, was spread by strong winds that blew across the area. This released radiation equivalent to the amount contained in the first atomic bomb explosion over Hiroshima (Japan) in 1945.

The fiftieth anniversary of the 1967 disaster was publicized with a local rally on September 29, 2007. According to a Radio Free Europe article, the environmental group Greenpeace Russia joined with the

residents of Chelyabinsk to highlight the troubling situation that these Russian citizens were still living in the contaminated area. The rally also was intended to publically criticize a plan by the Russian government to continue the importation and reprocessing of foreign radioactive waste at Mayak.

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David E. Newton

## Chemical bond

A chemical bond is any force of attraction between two atoms strong enough to hold the atoms together for some period of time. At least five primary types of chemical bonds are known, ranging from very strong to very weak. They are covalent, ionic, metallic, and hydrogen bonds, and London forces.

In all cases, a chemical bond ultimately involves forces of attraction between the positively-charged nucleus of one atom and the negatively-charged electron of a second atom. Understanding the nature of chemical bonds has practical significance since the type

of bonding found in a substance explains to a large extent the macroscopic properties of that substance.

An ionic bond is one in which one atom completely loses one or more electrons to a second atom. The first atom becomes a positively charged ion and the second, a negatively charged ion. The two ions are attracted to each other because of their opposite electrical charges.

In a covalent bond, two atoms share one or more pairs of electrons. For example, a hydrogen atom and a fluorine atom each donate a single electron to form a shared pair that constitutes a covalent bond between the two atoms. Both electrons in the shared pair orbit the nuclei of both atoms.

In most cases, covalent and ionic bonding occur in such a way as to satisfy the Law of Octaves. Essentially that law states that the most stable configuration for an atom is one in which the outer energy level of the atom contains eight electrons or, in the case of smaller atoms, two electrons.

Ionic and covalent bonds might appear to represent two distinct limits of electron exchange between atoms, one in which electrons are totally gained and lost (ionic bonding) and one in which electrons are shared (covalent bonding). In fact, most chemical bonds fall somewhere between these two extreme cases. In the hydrogen-fluorine example mentioned above, the fluorine nucleus is much larger than the hydrogen nucleus and, therefore, exerts a greater pull on the shared electron pair. The electrons spend more time in the vicinity of the fluorine nucleus and less time in the vicinity of the hydrogen nucleus. For this reason, the fluorine end of the bond is more negative than the hydrogen end, and the bond is said to be a polar covalent bond. A non-polar covalent bond is possible only between two atoms with equal attraction for electrons as, for example, between two atoms of the same element.

Metallic bonds are very different from ionic and covalent bonds in that they involve large numbers of atoms. The outer electrons of these atoms feel very little attraction to any one nucleus and are able, therefore, to move freely throughout the metal.

Hydrogen bonds are very weak forces of attraction between atoms with partial positive and negative charges. Hydrogen bonds are especially important in living organisms since they can be broken and reformed easily during biochemical changes.

London forces are the weakest of chemical bonds. They are forces of attraction between two uncharged molecules. The force appears to arise from the temporary shift of electrical charges within each molecule.

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David E. Newton

## Chemical oxygen demand

Chemical oxygen demand (COD) is a measure of the ability of chemical reactions to oxidize matter in an aqueous system. The results are expressed in terms of oxygen so that they can be compared directly to the results of biochemical oxygen demand (BOD) testing. The test is performed by adding the oxidizing solution to a sample, boiling the mixture on a refluxing apparatus for two hours and then titrating the amount of dichromate remaining after the refluxing period. The titration procedure involves adding ferrous ammonium sulfate (FAS), at a known normality, to reduce the remaining dichromate. The amount of dichromate reduced during the test—the initial amount minus the amount remaining at the end—is then expressed in terms of oxygen. The test has nothing to do with oxygen initially present or used. It is a measure of the demand of a solution or suspension for a strong oxidant. The oxidant will react with most organic materials and certain inorganic materials under the conditions of the test. For example,  $\text{Fe}^{2+}$  and  $\text{Mn}^{2+}$  will be oxidized for  $\text{Fe}^{3+}$  and  $\text{Mn}^{4+}$ , respectively, during the test.

Generally, the COD is larger than the BOD exerted over a five-day period ( $\text{BOD}_5$ ), but there are exceptions in which microbes of the BOD test can oxidize materials that the COD reagents cannot. For a raw, domestic wastewater, the COD/ $\text{BOD}_5$  ratio is in the area of 1.5–3.0/1.0. Higher ratios would indicate the presence of toxic, non-biodegradable or less readily biodegradable materials.

The COD test is commonly used because it is a relatively short-term, precise test with few interferences. However, the spent solutions generated by the test are hazardous. The liquids are acidic, and contain chromium, silver, mercury, and perhaps other toxic materials in the sample tested. For this reason laboratories are

doing fewer or smaller COD tests in which smaller amounts of the same reagents are used.

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Gregory D. Boardman

## Chemical spills

Chemical spills are any accidental releases of synthetic chemicals that pose a risk to the environment.

Spills occur at any of the steps between the production of a chemical and its use. A railroad tank car may spring a leak; a pipe in a manufacturing plant may break; or an underground storage tank may corrode allowing its contents to escape into groundwater. These spills are often classified into four general categories: the release of a substance into a body of water; the release of a liquid on land; the release of a solid on land; and the release of a gas into the atmosphere. The purpose of this method of classification is to provide the basis for a systematic approach to the control of any type of chemical spill.

Some of the most famous chemical spills in history illustrate these general categories. For example, seven cars of a train carrying the pesticide metam sodium fell off the tracks near Dunsmuir, California, in August 1991, breaking open and releasing the chemicals into the Sacramento River. Plant and aquatic life for 43 miles (70 km) downriver died as a result of the accident. The pesticide eventually formed a band 225 feet (70 m) wide across Lake Shasta before it could be contained.

In 1983, the Environmental Protection Agency (EPA) purchased the whole town of Times Beach, Missouri, and relocated more than 2,200 residents because the land was so badly contaminated with highly toxic dioxins. The concentration of these compounds, a by-



Emergency workers practicing decontamination during biohazard training drill. (© Enigma / Alamy.)

product of the production of herbicides, was more than a thousand times the maximum recommended level.

In December 1984, a cloud of poisonous gas escaped from a Union Carbide chemical plant in Bhopal, India. The plant produced the pesticide Sevin from a number of chemicals, many of which were toxic. The gas that accidentally escaped probably contained a highly toxic mixture of phosgene, methyl isocyanate (MIC), chlorine, carbon monoxide, and hydrogen cyanide, as well as other hazardous gases. The cloud spread over an area of more than 15 square miles (40 km<sup>2</sup>), exposing more than 200,000 people to its dangers. Official estimates of death ranged from approximately 2200 to 3800 people, but other experts and nongovernmental agencies put the death toll, inclusive of subsequent deaths from injuries or disease developed as a result of exposure, at more than 10,000 people.

Chemists have now developed a sophisticated approach to the treatment of chemical spills, which involves one or more of five major steps: containment, physical treatment, chemical treatment, biological treatment, and disposal or destruction. Soil sealants, which

can be used to prevent a liquid from sinking into the ground, are an example of containment. One of the most common methods of physical treatment is activated charcoal, because it has the ability to adsorb toxic substances on its surface, thus removing them from the environment. Chemical treatment is possible because many hazardous materials in a spill can be treated by adding some other chemical that will neutralize them, and biological treatment usually involves microorganisms that will attack and degrade a toxic chemical. Open burning, deep-well injection, and burial in a landfill are all methods of ultimate disposal.

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David E. Newton

## Chemicals

The general public often construes the word “chemical” to mean a harmful synthetic substance. In fact, however, the term applies to any element or compound, either natural or synthetic. The thousands of compounds that make up the human body are all chemicals, as are the products of scientific research. A more accurate description, however, can be found in the dictionary. Thus, aspirin is a chemical by this definition, since it is the product of a series of chemical reactions.

The story of chemicals began with the rise of human society. Indeed, early stages of human history, such as the Iron, Copper, and Bronze Ages reflect humans’ ability to produce important new materials. In the first two eras, people learned how to purify and use pure metals. In the third case, they discovered how to combine two to make an alloy with distinctive properties.

The history of ancient civilizations is filled with examples of men and women adapting natural resources for their own uses. Egyptians of the eighteenth dynasty (1700–1500 B.C.), for example, knew how to use cobalt compounds to glaze pottery and glass. They had also developed techniques for making and using a variety of dyes.

Over the next 3,000 years, humans expanded and improved their abilities to manipulate natural chemicals. Then, in the 1850s, a remarkable breakthrough occurred. A discovery by young British scientist William Henry Perkin led to the birth of the synthetic chemicals industry.

Perkin’s great discovery came about almost by accident, an occurrence that was to become common in the synthetics industry. As an 18-year-old student at England’s Royal College of Chemistry, Perkin was looking for an artificial compound that could be used as a quinine substitute. Quinine, the only drug available for the treatment of malaria, was itself in short supply.

Following his teacher’s lead, Perkin carried out a number of experiments with compounds extracted from coal tar, the black, sticky sludge obtained when coal is heated in insufficient air. Eventually, he produced a black powder which, when dissolved in alcohol, created a beautiful purple liquid. Struck by the colorful solution, Perkin tried dyeing clothes with it.

His efforts were eventually successful. He went on to mass produce the synthetic dye—mauve, as it was named—and to create an entirely new industry. The years that followed are sometimes referred to as The Mauve Decade because of the many new synthetic products inspired by Perkin’s achievement. Some of the great chemists of that era have been memorialized in the names of the products they developed or the companies they established: Adolf von Baeyer (Bayer aspirin), Leo Baekeland (Baekelite plastic), Eleuthère Irénée du Pont (DuPont Chemical), George Eastman (Eastman 910 adhesive and the Eastman Kodak Company), and Charles Goodyear (Goodyear Rubber).

Chemists soon learned that from the gooey, ugly by-products of coal tar, a whole host of new products could be made. Among these products were dyes, medicines, fibers, flavorings, plastics, explosives, and detergents. They found that the other fossil fuels—petroleum and natural gas—could also produce synthetic chemicals.

Today, synthetic chemicals permeate our lives. They are at least as much a part of the environment, if not more, than are natural chemicals. They make life healthier, safer, and more enjoyable. People concerned about the abundance of “chemicals” in our environment should remember that everyone benefits from anti-cancer drugs, pain-killing anesthetics, long-lasting fibers, vivid dyes, sturdy synthetic rubber tires, and dozens of other products. The world would be a much poorer place without them.

Unfortunately, the production, use, and disposal of synthetic chemicals can create problems because they may be persistent and/or hazardous. Persistent means that a substance remains in the environment for a long time: dozens, hundreds, or thousands of years in many cases. Natural products such as wood and paper degrade naturally as they are consumed by

microorganisms. Synthetic chemicals, however, have not been around long enough for such microorganisms to evolve.

This leads to the familiar problem of solid waste disposal. Plastics used for bottles, wrappings, containers, and hundreds of other purposes do not decay. As a result, landfills become crowded and communities need new places to dump their trash.

Persistence is even more of a problem if a chemical is hazardous. Some chemicals are a problem, for example, because they are flammable. More commonly, however, a hazardous chemical will adversely affect the health of a plant or animal. It may be (1) toxic, (2) carcinogenic, (3) teratogenic, or (4) mutagenic.

Toxic chemicals cause people, animals, or plants to become ill, develop a disease, or die. DDT, chlordane, heptachlor, and aldrin are familiar, toxic pesticides. Carcinogens cause cancer; teratogens produce birth defects. Mutagens, perhaps the most sinister of all, inflict genetic damage.

Determining these effects can often be very difficult. Scientists can usually determine if a chemical will harm or kill a person. But how does one determine if a chemical causes cancer twenty years after exposure, is responsible for birth defects, or produces genetic disorders? After all, any number of factors may have been responsible for each of these health problems.

As a result, labeling any specific chemical as carcinogenic, teratogenic, or mutagenic can be difficult. Still, environmental scientists have prepared a list of synthetic chemicals determined to fall into these categories. Among them are vinyl chloride, trichloroethylene, tetrachloroethylene, the nitrosamines, and chlordane and heptachlor.

Another class of chemicals are hazardous because they may contribute to the greenhouse effect and ozone layer depletion. The single most important chemical in determining the earth's annual average temperature is a naturally-occurring compound, carbon dioxide. Its increased production is partially responsible for a gradual increase in the planet's annual average temperature.

But synthetic compounds may also play a role in global warming. Chlorofluorocarbons (CFCs) are widely used in industry because of their many desirable properties, one of which is their chemical stability. This very property means, however, that when released into the atmosphere, they remain there for many years. Since they capture heat radiated from the earth in much the way carbon dioxide does, they are probably important contributors to global warming.

These same chemicals, highly unreactive on earth, decompose easily in the upper atmosphere. When they do so, they react with the ozone in the stratosphere, converting it to ordinary oxygen. This may have serious consequences, since stratospheric ozone shields the earth from harmful ultraviolet radiation.

There are two ways to deal with potentially hazardous chemicals in the environment. One is to take political or legal action to reduce the production, limit the use, and/or control the disposal of such products. A treaty negotiated and signed in Montreal by more than forty nations in 1987, for example, calls for a gradual ban on CFC production. If the treaty is honored, these chemicals will eventually be phased out of use.

A second approach is to solve the problem scientifically. Synthetic chemicals are a product of scientific research, and science can often solve the problems these chemicals produce. For example, scientists are exploring the possibility of replacing CFCs with related compounds called fluorocarbons (FCs) or hydrochlorofluorocarbons (HCFCs). Both are commercially appealing, but they have fewer harmful effects on the environment.

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David E. Newton

## Chemosynthesis

Chemosynthesis is a metabolic pathway used by some bacteria to synthesize new organic compounds such as carbohydrates by using energy derived from the oxidation of inorganic molecules—hydrogen sulfide (H<sub>2</sub>S) or ammonia (NH<sub>3</sub>). Chemosynthesis can occur in environments such as the deep ocean around hydrothermal vents, where sunlight does not penetrate, but where chemicals like hydrogen sulfide are available. Chemosynthesis is also a critical part of the nitrogen cycle, where bacteria that live in the soil, or in

special plant structures called heterocysts, utilize ammonia for energy and produce nitrates and nitrites which can subsequently be used as nutrients for plants. Some bacteria can also utilize hydrogen gas (H<sub>2</sub>) and carbon dioxide (CO<sub>2</sub>) in a chemosynthetic pathway that results in the production of new organic compounds and methane (CH<sub>4</sub>).

Marie H. Bundy

## Chernobyl Nuclear Power Station

On April 26, 1986, at precisely 1:24 A.M., the Chernobyl nuclear power plant exploded, releasing large amounts of radioactivity into the environment.



Sarcophagus surrounding the reactor number four. Chernobyl nuclear power plant, Ukraine. (© FORGET Patrick/SAGAPHOTO.COM / Alamy.)

The power station is located 9 miles (14.5 km) northwest of the town of Chernobyl, which had a population of 14,000, and less than 2 miles (3.2 km) from the town of Prypiat, which contained about 50,000 inhabitants. The explosion and its aftermath, including the manner in which the accident was handled, raised questions about the safety and future of nuclear power.

The Chernobyl accident resulted from several factors: flaws in the engineering design, which were compensated by a strict set of procedures; failure of the plant management to enforce these procedures; and the decision of the engineers to conduct a risky experiment. Chernobyl engineers wanted to test whether the plant's turbine generator—from its rotating inertia—could provide enough power to the reactor in case of a power shutdown. This experiment required disconnecting the reactor's emergency core cooling pump and other safety devices.

The series of critical events, as described by Richard Mould in *Chernobyl, The Real Story*, are as follows: At 1:00 A.M. on April 25, power reduction was started in preparation for the experiment. At 1:40 A.M. the reactor's emergency core cooling system was turned off. At 11:10 P.M. power was further reduced, resulting in a nearly unmanageable situation. At 1:00 A.M. on April 26, power was increased in an attempt to stabilize the reactor; however, cooling pumps were operating well beyond their rated capacity, causing a reduction in steam generation and a fall in stream pressure. By 1:19 A.M., the water in the cooling circuit had approached the boiling point. At 1:23 A.M., the operators tried to control the reaction by manually pushing control rods into the core; however, the rods did not descend their full length into the reactor since destruction of the graphite core was already occurring. In 4.5 seconds, the power level rose two thousandfold. At 1:24 A.M., there was an explosion when the hot reactor fuel elements, lacking enough liquid for cooling, decomposed the water into hydrogen and oxygen. The generated pressures blew off the 1,000-ton concrete roof of the reactor, and burning graphite, molten uranium, and radioactive ashes spilled out to the atmosphere.

The explosion that occurred was not a nuclear explosion such as would occur with an atomic bomb. In order to put the expulsion of radioactive material from the Chernobyl reactor into perspective, almost 50 tons of fuel went into the atmosphere plus an additional 70 tons of fuel, and 700 tons of radioactive reactor graphite settled in the vicinity of the damaged unit. Some 50 tons of nuclear fuel and 800 tons of reactor graphite remained in the reactor vault, with

the graphite burning up completely in the next several days after the accident. The amount of radioactive material that went into the atmosphere was equivalent to 10 Hiroshima bombs.

Officials at first denied that there had been a serious accident at the power plant. The government in Moscow was led to believe for several hours after the explosion and fire at Chernobyl that the reactor core was still intact. This delayed the evacuation for a critical period during which local citizens were exposed to high radiation levels. The evacuation of Chernobyl and local villages was spread out over eight days. A total of 135,000 persons were evacuated from the area, with the major evacuation at Prypiat starting at 2:00 P.M., the day after the explosion. Tests showed that air, water, and soil around the plant had significant contamination. Children, in particular, were a matter of concern and were evacuated to the southern Ukraine, the Crimea, and the Black Sea coast.

At the time of the accident, and for several days thereafter, the winds carried the radioactive waste to the north. The radioactive cloud split into two lobes, one spreading west and then north through Poland, Germany, Belgium, and Holland, and the other through Sweden and Finland. By the first of May, the wind direction changed and the radioactive fallout—at a diminished rate—went south over the Balkans and then west through Italy. Large areas of Europe were affected, and many farmers destroyed their crops for fear of contamination. Forests have been cleared and large amounts of earth were removed in order to clean up radioactivity. Plastic film has been laid in some areas in an effort to contain radioactive dust.

The reactor explosion and subsequent radiation exposure caused 56 direct deaths; over 100 additional people were exposed to high doses of radiation which resulted in radiation sickness and long-term illnesses. The maximum permissible dose of radiation for a nuclear power operator is 5 roentgens per year and for the rest of the population, 0.5 roentgens per year. At the Chernobyl plant, the levels of radiation ranged from 1,000 to 20,000 roentgens per hour. One British report estimates that worldwide, the number of persons afflicted with cancer which can be attributed to the Chernobyl accident will be about 2,300; other studies place the eventual death toll at over 4,000 people or higher. In Minsk, the rate of leukemia more than doubled from 41 per million in 1985 to 93 per million in 1990.

Many heroic deeds were reported during this emergency. Fire fighters exposed themselves to deadly radiation while trying to stop the inferno. Every one eventually died from radiation exposure. Construction

workers volunteered to entomb the reactor ruins with a massive concrete sarcophagus. Bus drivers risked further exposure by making repeated trips into contaminated areas in order to evacuate villagers. Over 600,000 people were involved in the decontamination and clean up of Chernobyl. The health effects on them from their exposure are not completely known. The Chernobyl accident focused international attention on the risks associated with operating a nuclear reactor for the generation of power. Public apprehension has forced some governments to review their own safety procedures and to compare the operation of their nuclear reactors with Chernobyl's. In a review of the Chernobyl accident by the Atomic Energy Authority of the United Kingdom, an effort was made to contrast the design of the Chernobyl reactor and management procedures with those in practice in the United States and the United Kingdom.

Three design drawbacks were noted of the Chernobyl nuclear power plant:

- The reactor was intrinsically unstable below 20% power and never should have been operated in that mode. (U.S. and UK reactors do not have this design flaw.)
- The shut-down operating system was inadequate and contributed to the accident rather than terminating it. (U.S. and UK control systems differ significantly.)
- There were no controls to prevent the staff from operating the reactor in the unstable region or preventing the disabling of existing safeguards.

In addition, the Chernobyl management had no effective watchdog agency to inspect procedures and order closure of the facility. Also in years prior to the accident there was a lack of information given the public of prior nuclear accidents, typical of the press censorship and news management occurring in the period before *glasnost*. The operators were not adequately trained nor were they themselves fully aware of prior nuclear power accidents or near accidents which would have made them more sensitive to the dangers of a run-away reactor system.

Unfortunately in the former Soviet block nations there are several nuclear reactors that are potentially as hazardous as Chernobyl but which must continue operation to maintain power requirements; however, the operational procedures are under constant review to avoid another accident.

Following the Chernobyl disaster, the Soviet Union and, later, Ukraine continued to operate the remaining three reactors at the Chernobyl power station. The Soviet government oversaw the cleanup of Chernobyl, including construction of a concrete

containment dome over the damaged reactor. The government also promised to pay lifelong health and other expenses for the so-called liquidators who worked clean up and construction duty after the accident. However, after the collapse of the Soviet Union in 1991, maintenance of the Chernobyl site fell to the Ukrainian government. International aid funds were established to help Ukraine pay for construction of another containment unit as the current concrete structure is already failing. International funds were also distributed to Belarus, Russia, and Ukraine to meet the health needs of those affected by the disaster.

The Ukrainian government closed the final reactor in 2000. In 2010, the Ukrainian government launched a program to clear the Chernobyl site by 2065.

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Malcolm T. Hepworth

## Chesapeake Bay

The Chesapeake Bay is the largest estuary (186 mi [300 km] long) in the United States. The Bay was formed 1500 years ago by the retreat of glaciers and the subsequent sea level rise that inundated the lower Susquehanna River valley. The Bay has a drainage basin of 64,076 square miles (166,000 sq km) covering six states and running through Pennsylvania, Maryland, the District of Columbia, and Virginia before entering the Atlantic Ocean. While 150 rivers enter the Bay, a mere eight account for 90 percent of the freshwater input, with the Susquehanna alone contributing nearly half. Chesapeake Bay is a complex system, composed of numerous habitats and environmental gradients.

Chesapeake Bay's abundant natural resources attracted native Americans, first settling on its shores. The first European record of the Bay was in 1572, and the area surrounding Chesapeake Bay was rapidly colonized by Europeans. In many ways, the United States grew up around the Chesapeake Bay. The



**Chesapeake Bay, the largest estuary in the United States, photographed on Deal Island, Maryland.** (Stephen J. Krasemann / Photo Researchers, Inc.)

colonists harvested the Bay's resources and used its waterways for transportation. Today 10 million people live in the Chesapeake Bay's drainage basin, and many of their activities affect the environmental quality of the Bay as did the activities of their ancestors.

The rivers emptying into the Bay were also used by the colonists to dispose of raw sewage. By the middle 1800s some of the rivers feeding the Bay were polluted: the Potomac was recorded as emitting a lingering stench. The first sewer was constructed in Washington, DC, and it pumped untreated waste into the Bay. It was recognized in 1893 that the diseases suffered by humans consuming shellfish from the Bay were directly related to the discharge of raw sewage into the Bay. Despite this recognition, efforts in 1897 by the mayor of Baltimore to oppose the construction of a sewage system that discharged sewage into the Bay in favor of a "land filtration technique" failed. Ultimately, a secondary treatment system discharging into the Bay was constructed. In the mid-

1970s, a \$27 million government-funded study of the Bay's condition concluded that the deteriorating quality of the Chesapeake Bay was a consequence of human impacts. But it was not until the early 1980s that an Environmental Protection Agency (EPA) report on the Chesapeake focused interest on saving the Bay, and \$500 million was spent on cleanup and construction of sewage treatment plants.

While the Chesapeake Bay is used primarily as a transportation corridor, its natural resources rank a close second in importance to humans. The most commercially-important fisheries in the Bay are the native American oyster (*Crassostrea virginica*), blue crab (*Callinectes sapidus*), American shad (*Alosa sapidissima*), and striped bass (*Marone saxatilis*). Fisherman first began to notice a decline in fish populations in the 1940s and 1950s, and since then abundances have declined even further. Since 1900, the oyster catch has declined 70 percent, shad 85 percent, and striped bass 90 percent. In the late 1970s, the EPA began to study the declining oyster and striped bass populations and concluded that their decline was due to a combination of over-harvesting and pollution.

Work by the EPA and other federal and state agencies has identified six areas of environmental concern for the Bay: (1) excess nutrient input from both sewage treatment plants discharging into the Bay and runoff from agricultural land; (2) low oxygen levels as a result of increased biochemical oxygen demand, which increases dramatically with loading of organic material; (3) loss of submerged aquatic vegetation due to an increase in turbidity; (4) presence of chemical toxins; (5) loss to development of wetlands surrounding the Bay that serve as nurseries for juvenile fish and shellfish and as buffers for runoff of nutrients and toxic chemicals; and (6) increasing acidity of water (measured by pH) in streams that feed the Bay. These streams are also nursery areas for larval fish that may be adversely affected by decreasing pH.

The increasing growth of phytoplankton—free-floating photosynthetic organisms—in the Bay is generally considered to be a significant contributor to the decline in environmental quality of the Chesapeake Bay. The number of algal blooms has increased dramatically since the 1950s and is attributed to the high levels of the plant nutrients nitrogen and phosphorus that are discharged into the Bay. Some scientists also attribute this increase in algal bloom incidence to climate change. In the 1980s and 1990s, it was estimated that discharge from sewage treatment plants and agricultural runoff accounted for 65 percent of the nitrogen and 22 percent of the phosphorus found in the Bay. The accumulation of nutrients such as phosphates and nitrates in an ecosystem is termed eutrophication. Acid rain,

formed from discharges from industrial plants in Canada and the northeast United States, contributed 25 percent of the nitrogen found in the Bay. Excess nutrients encourage phytoplankton growth, and as the large number of phytoplankton die and settle to the bottom, their decomposition robs the water of oxygen needed by fish and other aquatic organisms. When oxygen levels fall too low, these organisms die or flee from the regions of low oxygen. Decomposition of dead organic matter further reduces the concentration of oxygen. During the late twentieth and early twenty-first centuries, Finfish and shellfish kills became increasingly common in the Bay.

Phytoplankton blooms and the increase in suspended sediments resulting from shoreline development and poor agricultural practices have increased turbidity and led to a decline in submerged aquatic vegetation (SAV) such as eelgrass. SAV is extremely important in the prevention of erosion of bottom sediment and as critical habitat for nursery grounds of commercially important fish and shellfish.

Some researchers contend that one particular type of algae, *Pfiesteria piscicida*, was the cause of a rush of harmful algal blooms (HABs) in the Chesapeake Bay waters in the 1990s. The toxin produced by these dinoflagellate algae have adverse effects on humans and are lethal to fish.

Chemicals introduced into the Bay from several sites may have contributed to the decline in the Bay's fish and bird populations. For example, in 1975, the pesticide Kepone was leaked or dumped into the James River, poisoning fish and shellfish. Harvests of some species are still restricted in the area of this spill. Chlorine biocides used in wastewater treatment plants and power plants, which discharge into the Bay, are known human carcinogens and can be toxic to aquatic organisms. Polycyclic aromatic hydrocarbons (PAH) have caused dermal lesions in fish populations in the Elizabeth River. PAHs also affect shellfish populations. In the 1990s, public concern focused on tributyl tin (TBT) that was used in anti-fouling paint on recreational and commercial boats. TBTs belong to a family of chemicals known as organotins, which are toxic to shellfish and crustaceans. The diversity of chemical pollutants found in the Bay is exemplified by the results of research that identified 100 inorganic and organic contaminants in striped bass caught in the Bay.

Work by private and governmental agencies has reversed the declining environmental quality of the Chesapeake Bay. In 1983 Maryland, Virginia, Pennsylvania, the District of Columbia, the Chesapeake Bay Commission, and the EPA signed the Chesapeake Bay

Agreement, which outlined procedures to correct many of the Bay's ecological problems, particularly those caused by nutrient enrichment. Since 1985, increasing compliance with discharge permits, prohibition of the sale of phosphate-based detergents, and the upgrading of wastewater plants has resulted in a significant reduction in the discharge of nitrogen and phosphorus from point sources. Controls on agriculture and urban development reduced the amount of both nitrogen and phosphorus entering the Bay from nonpoint sources. The amount of toxins entering the Bay has also been reduced. Tributyl tin has been banned for use in anti-fouling paints on non-military vessels, and pesticide runoff has been reduced by using alternate strategies for pest control. At the same time, some of the Bay's critical habitats are recovering: man-made oyster reefs are being created to expand suitable habitat for oysters; and rivers are being cleared of obstacles such as dams and spillways to provide access to spawning areas by migratory fish.

Conflict between commercial and environmental interests have encumbered some of the restoration efforts. Research on the life-history of crabs and oysters shows that limiting the size of crabs that can be sold and the numbers of oysters that can be harvested will help these fisheries rebound, but regulations to limit crab and oyster catches have met with strong resistance from watermen who are struggling to survive economically in a declining fishery.

Oysters are designated as a keystone species in the Chesapeake Bay, and thus play an important role in the ecosystem, naturally filtering the water in the Bay. The planned introduction of a non-native Asian oyster (*Crassostrea ariakensis*) by the Virginia Seafood Council raised hopes that a new oyster fishery could be built around the harvest of this disease-resistant, fast-growing species. The native population of oysters is affected by two diseases caused by two different protozoan species, *Haplosporidium nelsoni* (Multinucleated Sphero X; MSX) and *Perkinsus marinus* (known as Dermo). In May 2002, the United States Fish and Wildlife Service called for a moratorium on the introduction of the Asian oyster, until researchers could determine whether its introduction would endanger native species, or bring new and deadly disease organisms into the Chesapeake Bay ecosystem. In 2006, the National Oceanic and Atmospheric Administration (NOAA) Chesapeake Bay Office (NCBO) supplied \$4 million to restore the native oyster population and \$2 million for funding oyster disease research.

In the Chesapeake 2000 (C2K) agreement, the jurisdictions of Maryland, Pennsylvania, Virginia, and the District of Columbia, together with the Chesapeake Bay

Commission and the Federal Government, committed to “correct the nutrient-and sediment-related problems in the Chesapeake Bay and its tidal tributaries sufficiently to remove the Bay and the tidal portions of its tributaries from the list of impaired waters under the Clean Water Act.” This initiative set goals for improved water quality and other markers of ecosystem health in the Chesapeake Bay by 2010. The Chesapeake Bay Program gives periodic updates of its progress towards the goals set in the Chesapeake 2000 agreement. Its 2009 assessment report lists a variety of goals that were set for Bay restoration. For instance, regarding ongoing efforts to reduce pollution entering the Bay, the assessment reported that “Bay Program partners have implemented 62 percent of needed efforts to reduce nitrogen, phosphorus and sediment pollution, which is a 3 percent increase from 2008.” Note that in the assessment, not only is the overarching goal stated (62 percent pollution reduction), but year-over-year comparisons are made, demonstrating a small (3 percent), but nonetheless steady, reduction of overall pollution. The Chesapeake Bay Commission is a consortium of stakeholders, jurisdictions, and federal agencies, which demonstrates that citizens, government, and industry can work cooperatively. The Chesapeake Bay program is a national model for efforts to restore other degraded ecosystems.

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## Child survival revolution

Every year in the developing countries of the world, some 11 million children under the age of five die of common infectious diseases. Most of these

children could be saved by simple, inexpensive, preventative medicine. Many public health officials argue that it is as immoral and unethical to allow children to die of easily preventable diseases as it would be to allow them to starve to death or to be murdered. In 1986, the United Nations Children’s Fund (UNICEF) announced a worldwide campaign to prevent unnecessary child deaths. Called the “child survival revolution,” this campaign is based on four principles, designated by the acronym GOBI.

“G” stands for growth monitoring. A healthy child is considered a growing child. Underweight children are much more susceptible to infectious diseases, retardation, and other medical problems than children who are better nourished. Regular growth monitoring is the first step in health maintenance.

“O” stands for oral rehydration therapy (ORT). About one-third of all deaths under five years of age are caused by diarrheal diseases. A simple solution of salts, glucose, or rice powder and boiled water given orally is almost miraculously effective in preventing death from dehydration shock in these diseases. The cost of treatment is only a few cents per child. The British medical journal *Lancet*, called ORT “the most important medical advance of the century.”

“B” stands for breastfeeding. Babies who are breastfed receive natural immunity to diseases from antibodies in their mothers’ milk, but infant formula companies persuaded mothers in many developing countries that bottle-feeding is more modern and healthful than breastfeeding. Unfortunately, these mothers usually do not have access to clean water to combine with the formula and they cannot afford enough expensive synthetic formula to nourish their babies adequately. Consequently, the mortality among bottle-fed babies is much higher than among breastfed babies in developing countries.

“I” is for universal immunization against the six largest, preventable, communicable diseases of the world: measles, tetanus, tuberculosis, polio, diphtheria, and whooping cough. In 1975, less than 10 percent of the developing world’s children had been immunized. By 1990, this number had risen to over 50 percent. Although the goal of full immunization for all children has not yet been reached, many lives are being saved every year. In some countries, yellow fever, typhoid, meningitis, cholera, and other diseases also urgently need attention.

Burkina Faso provides an excellent example of how a successful immunization campaign can be carried out. Although this West African nation is one of the poorest in the world (annual gross national product per capita of only \$140), and its roads, health care clinics,

communication, and educational facilities are either nonexistent or woefully inadequate, a highly successful “vaccination commando” operation was undertaken in 1985. In a single three-week period, one million children were immunized against three major diseases (measles, yellow fever, and meningitis) with only a single injection. This represents 60 percent of all children under age 14 in the country. The cost was less than \$1 per child.

In addition to being an issue of humanity and compassion, reducing child mortality may be one of the best ways to stabilize world population growth. There has never been a reduction in birth rates that was not preceded by a reduction in infant mortality. When parents are confident that their children will survive, they tend to have only the number of children they actually want, rather than “compensating” for likely deaths by extra births. In Bangladesh, where ORT was discovered, a children’s health campaign in the slums of Dacca has reduced infant mortality rates 21 percent since 1983. In that same period, the use of birth control increased 45 percent and birth rates decreased 21 percent.

Sri Lanka, China, Costa Rica, Thailand, and the Republic of Korea have reduced child deaths to a level comparable to those in many highly developed countries. This child survival revolution has been followed by low birth rates and stabilizing populations. The United Nations Children’s Fund estimates that if all developing countries had been able to achieve similar birth and death rates, there would have been nine million fewer child deaths in 1987, and nearly 22 million fewer births.

Despite the successes of the child survival revolution, a decline in medical infrastructure, drought and resultant famine, and conflict in areas of the developing world led to a continued persistence in child mortality. In 2007, a new effort called the Partnership for Maternal, Newborn, and Child Health, consisting of UNICEF, the World Health Organization, the World Bank, and the United States Agency for International Development (USAID) was launched. Several independent foundations and non-government agencies (NGOs) have joined the Partnership, which coordinates efforts to focus on the UN’s Millennium Development Goal number four, reducing child mortality. The Partnership aims to lower childhood mortality rates to thirty-one out of 1000 children by 2015, a reduction of two thirds of child mortality rates in 1990. The partnership focuses on delivering a set of key interventions in partnership with governments including insecticide treated bed nets, oral rehydration and nutrition supplementation, vaccinations, medications against common childhood diseases, clean drinking water,

vitamin supplementation, and building a continuum of care for mothers and children.

*See also* Demographic transition.

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## Chimpanzees

Common chimpanzees (*Pan troglodytes*) are widespread in the forested parts of West, Central, and East Africa. Pygmy chimpanzees, or bonobos (*P. paniscus*), are restricted to the swampy lowland forests of the Zaire basin. Despite their names, common chimpanzees are no longer common, and pygmy chimpanzees are no smaller than the other species.

Chimpanzees are partly arboreal and partly ground-dwelling creatures. They feed in fruit trees by day, nest in other trees at night, and can move rapidly through treetops. On the ground, chimpanzees usually walk on all fours (knuckle walking), because their arms are longer than their legs. Their hands have fully opposable thumbs and, although lacking a precision grip, can manipulate objects dexterously. Chimpanzees make and use a variety of tools; they shape and strip “fishing sticks” from twigs to poke into termite mounds, and they chew the ends of shoots to fashion fly whisks. They also throw sticks and stones as offensive weapons and hunt and kill young monkeys.



**Chimps (*Pan troglodytes*).** (©I Candy/Image from BigStockPhoto.com.)

These apes live in small nomadic groups of three to six animals (common chimpanzee) or six to fifteen animals (pygmy chimpanzee), which make up a larger community (30–80 individuals) that occupies a territory. Adult males cooperate in defending their territory against predators. Chimpanzee society consists of fairly promiscuous mixed-sex groups. Female common chimpanzees are sexually receptive for only a brief period in mid-month (estrous), while female pygmy chimpanzees are sexually receptive for most of the month. Ovulating females capable of fertilization have swollen pink hind quarters and copulate with most of the males in the group. Female chimpanzees give birth to a single infant after a gestation period of about eight months.

British ethologist Jane Goodall (1934–) has studied common chimpanzees for almost 30 years in the Gombe Stream National Park of Tanzania. She found that chimpanzee personalities are as variable as those of humans, that chimpanzees form alliances, have friendships, have personal dislikes, and run feuds. Chimpanzees also have a cultural tradition, that is, they pass learned behavior and skills from generation to generation. Chimpanzees have been taught complex sign language (the chimpanzee larynx won't allow speech) through which abstract ideas

have been conveyed. These studies show that chimpanzees can develop a large vocabulary and that they can manipulate this vocabulary to frame new thoughts.

Humans share 98.4 percent of their genes with chimpanzees, so only 1.6 percent of human DNA is responsible for all the differences between the two species. The DNA of gorillas differs 2.3 percent from chimpanzees, which means that the closest relatives of chimpanzees are humans, not gorillas. Further studies of these close relatives would undoubtedly help to better understand the origins of human social behavior and human evolution. Despite this special status, both species of chimpanzees are threatened by the destruction of their forest habitat, by hunting for bushmeat, and by capture for research. The International Union for Conservation of Nature and Natural Resources (IUCN) considers chimpanzees an endangered species and estimates there are from 175,000–300,000 chimpanzees remaining in the wild.

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## Chipko Andolan movement

India has a long history of non-violent, passive resistance in social movements rooted in its Hindu concept of *ahimsa*, or "no harm." During the British

occupation of India in the early twentieth century, Indian leader Mohandas K. Gandhi began to employ a method of resistance against the British that he called *satyagraha* (meaning “force of truth”). Synthesized from his knowledge of Henry David Thoreau, Leo Tolstoy, Christianity, and Hinduism, Gandhi’s concept of *satyagraha* involves the absolute refusal to cooperate with a perceived wrong and the use of nonviolent tactics in combination with complete honesty to confront, and ultimately convert, evil.

During the occupation, the rights of peasants to gather products, including forest materials, was severely curtailed. New land ownership systems imposed by the British transformed what had been communal village resources into the private property of newly created landlords. Furthermore, policies that encouraged commercial exploitation of forests were put into place. Trees were felled on a large scale to build ships for the British Royal Navy or to provide ties for the expanding railway network in India, severely depleting forest resources on which traditional cultures had long depended.

In response to British rule with its forest destruction and impoverishment of native people, a series of non-violent movements utilizing *satyagraha* spread throughout India. The British and local aristocracy suppressed these protests brutally, massacring unarmed villagers by the thousands, and jailing Gandhi a number of times, but Gandhi and his allies remained steadfast in their resistance. The British, forced to comprehend the horror of their actions and unable to scapegoat the nonviolent Indians, at last withdrew from India.

After India gained independence, two of Gandhi’s disciples, Mira Behn and Sarala Behn, moved to the foothills of the Himalayas to establish *ashramas* (spiritual retreats) dedicated to raising women’s status and rights. Their project was dedicated to four major goals: (1) organizing local women, (2) campaigning against alcohol consumption, (3) fighting for forest protection, and (4) setting up small, local, forest-based industries.

During the 1970s, commercial loggers began large-scale tree felling in the Garhwal region in the state of Uttar Pradesh in northern India. Landslides and floods resulted from stripping the forest cover from the hills. The firewood on which local people depended was destroyed, threatening the way of life of the traditional forest culture.

In April 1973, village women from the Gopeshwar region who had been educated and empowered by the principles of non-violence devised by the Behns began to confront loggers directly, wrapping their arms around trees to protect them. The outpouring of support sparked by their actions was dubbed the *Chipko*

*Andolan* movement (literally, “movement to hug trees”). This crusade to save the forests eventually prevented logging on 4,633 square miles (12,000 km<sup>2</sup>) of sensitive watersheds in the Alakanada basin. Today, the Chipko Andolan movement has grown to more than four thousand groups working to save India’s forests. Their slogan is: “What do the forests bear? Soil, water, and pure air.”

The successes of this movement, both in empowering local women and in saving the forests on which they depend, are inspiring models for grassroots green movements around the world.

*See also* Gandhi, Mohandas Karamchand.

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Chisel plow see **Conservation tillage**.

Chisso Chemical Company see **Minamata disease**.

## Chlordane

Chlordane and a closely related compound, heptachlor, belong to a group of chlorine-based pesticides known as cyclodienes. They were among the first major chemicals to attract national attention and controversy, mainly because of their devastating effects on wildlife and domestic animals. By the 1970s, they had become two of the most popular pesticides for home and agricultural uses (especially for termite control), despite links between these chemicals and the poisoning of birds and other wildlife, pets and farm animals, as well as links to leukemia and other cancers in humans.

In 1975, environmentalists finally persuaded Environmental Protection Agency (EPA) to issue an immediate temporary ban on most uses of chlordane and heptachlor based on an “imminent hazard of cancer in man.” In 1978, the EPA agreed to phase out most remaining uses of chlordane and heptachlor.

In the United States, except for use in termite pesticides, Chlordane was banned from use in 1983. In 1988 the EPA banned all use of Chlordane.

## Chlorinated hydrocarbons

Chlorinated hydrocarbons are compounds made of carbon, hydrogen, and chlorine atoms. These compounds can be aliphatic, meaning they do not contain benzene, or aromatic, meaning they do. The chlorine functional group gives these compounds a certain character; for instance, the aromatic organochlorine compounds are resistant to microbial degradation; the aliphatic chlorinated solvents have certain anesthetic properties (e.g., chloroform); some are known for their antiseptic properties (e.g., hexachlorophene). The presence of chlorine imparts toxicity to many organochlorine compounds (e.g., chlorinated pesticides).

Chlorinated hydrocarbons have many uses, including chlorinated solvents, organochlorine pesticides, and industrial compounds. Common chlorinated solvents are dichloromethane (methylene chloride), chloroform, carbon tetrachloride, trichloroethane, trichloroethylene, tetrachloroethane, tetrachloroethylene. These compounds are used in drycleaning solvents, degreasing agents for machinery and vehicles, paint thinners and removers, laboratory solvents, and in manufacturing processes, such as coffee decaffeination. These solvents are hazardous to human health and exposures are regulated in the workplace. Some are being phased out for their toxicity to humans and the environment, as molecules have the potential to react with and destroy stratospheric ozone.

The organochlorine pesticides include several subgroups, including the cyclodiene insecticides (e.g., chlordane, heptachlor, dieldrin), the DDT family of compounds and its analogs, and the hexachlorocyclohexanes (often incorrectly referred to as BHCs, or benzene hexachlorides). These insecticides were developed and marketed extensively after World War II, but due to their toxicity, persistence, widespread environmental contamination, and adverse ecological impacts, most were banned or restricted for use in the United States in the 1970s and 80s. These insecticides generally have low water solubilities, a high affinity for organic matter, readily bioaccumulate in plants and animals, particularly aquatic organisms, and have long environmental half-lives compared to the currently-used insecticides.

There are many chlorinated industrial products and reagent materials. Examples include vinyl chloride, which is used to make PVC (polyvinyl chloride) plastics; chlorinated benzenes, including hexachlorobenzene; PCB (polychlorinated biphenyl), used extensively in electrical transformers and capacitors; chlorinated phenols, including pentachlorophenol (PCP); chlorinated naphthalenes; and chlorinated diphenylethers. They represent a diversity of applications, and are valued for their low reactivity and high insulating properties.

There are also chlorinated byproducts of environmental concern, particularly the polychlorinated dibenzo-p-dioxins (PCDDs) and the polychlorinated dibenzofurans (PCDFs). These families of compounds are products of incomplete combustion of organochlorine-containing materials, and are primarily found in the fly ash of municipal solid waste incinerators. The most toxic component of PCDDs, 2,3,7,8-tetrachlorodibenzo-p-dioxin (2,3,7,8-TCDD), was a trace contaminant in the production of the herbicide 2,4,5-T and is found in trace amounts in 2,4,5-trichlorophenol and technical grade pentachlorophenol. PCDDs and PCDFs can also be formed in the chlorine bleaching process of pulp and paper mills, and have been found in their effluent and in trace amounts in some paper products.

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## Chlorination

Chlorination refers to the application of chlorine for the purposes of oxidation. The forms of chlorine used for chlorination include: chlorine gas, hypochlorous

acid (HOCl), hypochlorite ion (OCl<sup>-</sup>), and chloramines or combined chlorine (Mono-, di-, and tri-chloramines). The first three forms of chlorine are known as free chlorine.

Chlorine (Cl) has three valences under normal environmental conditions, -1, 0 and +1. Environmental scientists often refer only to the chlorine forms having 0 and +1 valences as chlorine; they refer to the -1 form as chloride. Chlorine with a valence of 0 (Cl<sub>2</sub>) and chlorine with a valence of +1 (HOCl) both have the ability to oxidize materials, whereas chlorine at a -1 valence, chloride, is already at its lowest oxidation state and has no oxidizing power.

The functions of chlorination are to disinfect water or wastewater, decolorize waters or fabrics, sanitize and clean surfaces, remove iron and manganese, and reduce odors. The fundamental principle of each application is that due to its oxidizing potential, chlorine is able to effect many types of chemical reactions. Chlorine can cause alterations in DNA, cell-membrane porosity, enzyme configurations, and other biochemicals; the oxidative process can also lead to the death of a cell or virus. Chemical bonds, such as those in certain dyes, can be oxidized, causing a change in the color of a substance. Textile companies sometimes use chlorine to decolorize fabrics or process waters. In some cases, odors can be reduced or eliminated through oxidation. However, the odor of certain compounds, such as some phenolics, is aggravated through a reaction with chlorine. Certain soluble metals can be made insoluble through oxidation by chlorine (soluble Fe<sup>2+</sup> is oxidized to insoluble Fe<sup>3+</sup>), making the metal easier to remove through sedimentation or filtration.

Chlorine is commercially available in three forms; it can also be generated on-site. For treating small quantities of water, calcium hypochlorite (Ca(OCl)<sub>2</sub>), commonly referred to as high test hypochlorite (HTH) because one mole of HTH provides two OCl<sup>-</sup> ions, is sometimes used. For large applications, chlorine gas (Cl<sub>2</sub>) is the most wide used source of chlorine. It reacts readily with water to form various chlorine species and is generally the least expensive source. There are, however, risks associated with the handling and transport of chlorine gas, and these have convinced some to use sodium hypochlorite (NaOCl) instead. Sodium hypochlorite is more expensive than chlorine gas, but less expensive than calcium hypochlorite. Some utilities and industries have generated chlorine on-site for many years, using electrolysis to oxidize chloride ions to chlorine. The process is practical in remote areas where brine, a source of chloride ions, is readily available.

Chlorine has been used in the United States since the early 1900s for disinfection. It is still commonly

used to disinfect wastewater and drinking water, but the rules guiding its use are gradually changing. Until recently, chlorine was added to wastewater effluents from treatment plants without great concern over its effects on the environment. The environmental impact was thought to be insignificant since chlorine was being used in such low concentrations. However, evidence has accumulated showing serious environmental consequences from the discharge of even low levels of various forms of chlorine and chlorine compounds, and many plants now dechlorinate their wastewater after allowing the chlorine to react with the wastewater for 30–60 minutes.

The use of chlorine to disinfect drinking water is undergoing a similar review. Since the 1970s, it has been suspected that chlorine and some by-products of chlorination are carcinogenic. Papers published in 1974 indicated that halogenated methanes are formed during chlorination. During the mid-1970s the Environmental Protection Agency (EPA) conducted two surveys of the drinking-water supply in the United States, the National Organics Reconnaissance Survey and the National Organics Monitoring Survey, to determine the extent to which trihalomethanes (THMs) (chloroform, bromodichloromethane, dibromochloromethane, bromoform) and other halogenated organic compounds were present. The studies indicated that drinking water is the primary route by which humans are exposed to THMs and that THMs are the most commonly detected synthetic organic chemicals in United States' drinking water.

Chloroform was the THM found in the highest concentrations during the surveys. The risks associated with drinking water containing high levels of chloroform are not clear. It is known that 0.2 quarts (200 ml) of chloroform is usually fatal to humans, but the highest concentrations in the drinking water surveyed fell far below (311 ug/l) this lethal dose. The potential carcinogenic effects of chloroform are more difficult to evaluate. It does not cause *Salmonella typhimurium* in the Ames test to mutate, but it does cause mutations in yeast and has been found to cause tumors in rats and mice. However, the ability of chloroform to cause cancer in humans is still questionable, and the EPA has classified it and other THMs as probable human carcinogens. Based on these data, the maximum contaminant level for THMs in drinking water is now 100 ug/l. This is an enforceable standard and requires the monitoring and reporting of THM concentrations in drinking water.

There are several ways to test for chlorine, but among the more common methods are iodometric, DPD (N,N-Diethyl-p-phenylenediamine) and amperometric. DPD

## Chlorine

and amperometric methods are generally used in the water and wastewater treatment industry. DPD is a dye which is oxidized by the presence of chlorine, creating a reddish color. The intensity of the color can then be measured and related to chlorine level; the DPD solution can be titrated with a reducing agent (ferrous ammonium sulfate) until the reddish color dissipates. In the amperometric titration method, an oxidant sets up a current in a solution which is measured by the amperometric titrator. A reducing agent (phenylarsine oxide) is then added slowly until no current can be measured by the titrator. The amount of titrant added is commonly related to the amount of chlorine present.

To minimize the problem of chlorinated by-products, many cities in the United States, including Denver, Portland, St. Louis, Boston, Indianapolis, Minneapolis, and Dallas, use chloramination rather than simple chlorination. Chlorine is still required for chloramination, but ammonia is added before or at the same time to form chloramines. Chloramines do not react with organic precursors to form halogenated by-products including THMs. The problem in using chloramines is that they are not as effective as the free chlorine forms at killing pathogens.

Questions still remain about whether the levels of chlorine currently used are dangerous to human health. The level of chlorine in most water supplies is approximately 1 mg/l, and there is evidence that the chlorinated by-products formed are not hazardous to humans at these levels. There are some risks, nevertheless, and perhaps the most important question is whether these outweigh the benefits of using chlorine. The final issue concerns the short-term and long-term effects of discharging chlorine into the environment. Dechlorination would be yet another treatment step, requiring the commitment of additional resources. At the present time, the general consensus is that chlorine is more beneficial than harmful. However, it is important to note that a great deal of research is now underway to explore the benefits of using alternative disinfectants such as ozone, chlorine dioxide, and ultraviolet light. Each alternative poses some problems of its own, so despite the current availability of a great deal of research data, the selection of an alternative is difficult.

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Chlorine is an element of atomic number 17 and atomic mass of 35.45 atomic mass units. It belongs to Group 17 of the periodic table and is thus a halogen. Halogens are a highly reactive group of elements that, in addition to chlorine, include fluorine, iodine, bromine and another element that does not occur in nature, astatine, but is produced artificially by bombarding bismuth with alpha particles. Halogens are extremely reactive because they have an unpaired electron in their outermost electron shell. Due to its highly reactive nature, chlorine is usually not found in a pure form in nature, but is rather typically bound to other elements such as sodium, calcium, or potassium. In its pure form, chlorine exists as a diatomic molecule, meaning a molecule containing two of the same atoms. This form of chlorine is a yellow-green gas at room temperature. Chlorine gas is more dense than air, condenses to form a liquid at  $-29^{\circ}\text{F}$  ( $-34^{\circ}\text{C}$ ), and freezes into a solid at  $-153^{\circ}\text{F}$  ( $-103^{\circ}\text{C}$ ). Because of its reactivity, desirable properties, and abundance, chlorine is an exceptionally useful element. Since it readily combines with other elements and molecules, chlorine is a main component and vital reactant in the manufacture of thousands of useful products. In addition, almost all municipal water treatment systems in the United States depend on chlorine chemicals to provide clean and safe drinking water. The Chlorine Chemistry Division (part of the American Chemistry Council) estimates that in 2009, chlorine was used in the production of 93 percent of all "life-saving" pharmaceuticals, and in 86 percent of all crop protection chemicals (pesticides and herbicides). Moreover, chlorine chemicals are powerful bleaching agents used in paper processing and inexpensive but highly effective disinfectants.

Chlorine also has an important impact on the economy. The Chlorine Chemistry Division reports that the chlorine industry contributes some \$46 billion annually to the North American economy. The automobile industry relies heavily on chlorine because cars contain many components that use chlorine in their manufacture. Three major categories of products produced in the United States—PVC, pickled steel, and paint—account for a large portion of industrial chlorine use. Polyvinylchloride (PVC) is a chlorinated hydrocarbon polymer that is used to make dashboards, air bags, wire covers, and sidings. Chlorine is also used in the manufacture of car exterior paints. Automobile coatings typically use titanium dioxide, which requires chlorine for synthesis. In addition, chlorine is used in the production of pickled steel for automobile frames and undercarriages. The pickling process provides an

impurity-free steel surface for rust resistance treatment and painting. Hydrochloric acid, a chlorine chemical, is a main component in pickling steel.

Industrially, chlorine is also used in massive quantities for sanitation purposes. An important advancement in public health has been the widespread chlorination of drinking water. Chlorine-based water purifying chemicals were first introduced in 1908. Chlorine water purification has practically eradicated diseases that were once devastating, such as cholera and dysentery. In 1992, the Public Health Advisory Board was created to guide the chlorine industry in issues pertaining to drinking water safety. Because it is so highly reactive, chlorine is one of the most effective germicides available. Chlorine chemicals kill bacteria, algae, fungi, and protozoans and inactivate viruses. Simple household bleach, sodium hypochlorite, can even inactivate and sterilize equipment from such deadly viruses as the Ebola virus. Chlorine is also used to sanitize pools and spas. Chlorine disinfectants are used to prevent institutional disease transmission in food preparation, day care centers, nursing homes, and hospitals.

Chlorine is a vital chemical in the pharmaceutical and medical industries. The chemical addition of chlorine to a drug molecule can enhance its absorption and delay its elimination from the body, increasing the duration of its action. Also, chlorine can be used to create soluble forms of drugs that dissolve easily into solutions. When hydrochloric acid is reacted with poorly soluble drugs that are weak bases, the result is a chloride salt that is more soluble in water. Greater solubility enhances absorption and allows some drugs to be incorporated into syrups or elixirs. This is very useful since many people prefer oral liquid drug intake. Soluble drugs also have the advantage that they can be injected. Finally, about 25 percent of all medical equipment is made from chlorinated hydrocarbons, vinyl, or PVC.

Chlorinated hydrocarbons are specific hydrocarbon molecules that also have atoms of the element chlorine chemically bonded to them. The number of carbon atoms and how they are arranged in three-dimensions determines the chemical and physical properties of these compounds. Because there are a wide variety of possible chlorinated hydrocarbons, this class of useful chemicals has a wide range of applications that are of great economic and practical importance. Chlorinated hydrocarbons include products such as the synthetic rubbers used in car tires and tennis shoes. They are also used in packaging plastics, and a variety of products such as fluid pipes, furniture, home siding, credit cards, fences, and toys. Chlorinated hydrocarbons also can be used as precursors in the production of non-stick coatings such

as Teflon. Chlorine is also used in the manufacture of solvents such as carbon tetrachloride and trichloroethylene used in dry cleaning.

In addition to their use in the manufacture of polymers, rubbers, plastics, solvents, and cleaners, chlorinated hydrocarbons also are powerful pesticides. They rank among the most potent and environmentally persistent insecticides, and when combined with fluorine, they yield the refrigerants called chlorofluorocarbons, or CFCs. Because of their wide array of uses, chlorinated hydrocarbons are among the most important industrial organic compounds. Perhaps the best known chlorinated hydrocarbon insecticide is DichloroDiphenylTrichloroethane, or DDT. DDT was first used as an insecticide in 1939. After its effectiveness and relative safety to humans was established, the use of DDT burgeoned around the world in the war against disease-carrying and agricultural insect pests. However, the very success of DDT in the fight against insect-transmitted diseases (especially malaria) subsequently led to its massive overuse. Wide spread excessive use led to the emergence of DDT-resistant insects. Additionally, evidence started to show that toxic levels of DDT could accumulate in the fatty tissues of mammals, including humans. Because of such harmful effects, the use of DDT has now been banned in many countries despite its effectiveness. Another important issue with chlorinated hydrocarbons is their environmental persistence. Many, such as DDT, refrigerants, and solvents are not easily broken-down in the environment. Also, because other, less persistent, insecticide alternatives have been developed, the use of chlorinated insecticides has been drastically reduced.

Other toxic chemicals resistant to degradation also contain chlorine such as chlorinated and polychlorinated biphenyls (PCBs) and polyaromatic hydrocarbons (PAHs). These substances are known environmental pollutants, with PAHs found for example in diesel exhaust emissions and PCBs in industrial effluents, contaminating rivers as well as the Oceans. They have been shown to accumulate in whales and a variety of other marine mammals.

Another environmentally important chlorine compound class encompasses the chemicals known as ChloroFluoroCarbons, or CFCs. Chlorofluorocarbons are single carbon atoms chemically bound to both chlorine and fluorine. The compounds trichlorofluoromethane (Freon-11) and dichlorodifluoromethane (Freon-12) are CFCs that were once widely used. They are odorless, nonflammable, very stable compounds that are still in use as refrigerants in refrigerators and air conditioners. CFCs were once used as

aerosol propellants, but the U.S. banned that application in 1978. Because CFCs are detrimental to the ozone layer, the portion of the atmosphere that blocks out the harmful wavelengths of ultraviolet light associated with skin cancer, they have largely been phased out as propellants and refrigerants.

The Environmental Protection Agency (EPA) is concerned with toxic and persistent chlorine chemicals that are the byproducts of industrial activity. Two such byproducts are dioxins and furans, produced when organic (carbon-containing) compounds are heated to high temperatures in the presence of chlorine. Together, dioxins and furans represent a group of over two hundred chemicals. Some dioxins are extremely toxic and are a significant cause for concern to environmental agencies. Dioxin compounds are highly persistent in the environment. The most toxic dioxin is 2,3,7,8-tetrachlorodibenzo-p-dioxin or TCDD. Dioxins are also by-products of industrial processes involving chlorine such as waste incineration, chemical and pesticide manufacturing and pulp and paper bleaching. Dioxin is a known carcinogen and was the principal toxic component of Agent Orange. The EPA reports that incinerators are the largest source of dioxins and furans released into the environment in the United States. Other industrial sources of these toxic compounds include smelters, cement kilns, and fossil fuel burning power plants. Because of new standards for incineration, the levels of dioxins and furans have been steadily declining.

*See also* Chlorinated hydrocarbons; Chlorination; Water treatment.

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### ORGANIZATIONS

Euro Chlor: Chlorine Online Information Resource, Avenue E Van Nieuwenhuysse 4, box 2, Brussels, Belgium, B-1160, + 32 2 676 7211, + 32 2 676 7241, [eurochlor@cefic.be](mailto:eurochlor@cefic.be), <http://www.eurochlor.org>

Terry Watkins

## Chlorine monoxide

One of two oxides of chlorine, either  $\text{Cl}_2\text{O}$  or  $\text{ClO}$ . When used in environmental science, it usually refers to the latter. Chlorine monoxide ( $\text{ClO}$ ) is formed in the

atmosphere when free chlorine atoms react with ozone. In the reaction, ozone is converted to normal, diatomic oxygen ( $\text{O}_2$ ). This process is a major factor in the destruction of ozone in the stratosphere. The most important anthropogenic generated source are chlorofluorocarbons (CFCs), a group of chemicals restricted and/or banned from use under the terms of the Montreal Protocol.

## Chlorofluorocarbons

The chlorofluorocarbons (CFCs) are a family of organic compounds containing carbon, hydrogen (usually), and either chlorine or fluorine, or both. The members of this family can be produced by replacing one or more hydrogen atoms in hydrocarbons with a chlorine or fluorine atom. In the simplest possible case, treating methane ( $\text{CH}_4$ ) with chlorine yields chloromethane,  $\text{CH}_3\text{Cl}$ . Treating this product with fluorine causes the replacement of a second hydrogen atom with a fluorine atom, producing chlorofluoromethane,  $\text{CH}_2\text{ClF}$ .

This process can be continued until all hydrogen atoms have been replaced by chlorine and/or fluorine atoms. By using larger hydrocarbons, an even greater variety of CFCs can be produced. The compound known as CFC-113, for example, is made from ethane ( $\text{C}_2\text{H}_6$ ) and has the formula  $\text{C}_2\text{F}_3\text{Cl}_3$ . CFCs form very stable compounds, and are both odorless and nonflammable.

Over a period of several decades, the CFCs became widely popular for a number of commercial applications. These applications fell into four general categories: refrigerants, cleaning fluids, propellants, and blowing agents. As refrigerants, CFCs largely replaced more toxic gases such as ammonia and sulfur dioxide in refrigerators, freezers, and air conditioning systems. Their primary application as cleaning fluids has been in the computer manufacturing business where they are used to clean circuit boards. CFCs have been used as propellants in hair sprays, deodorants, spray paints, and other types of sprays; however, the use of CFCs in consumer propellant applications was banned in 1978 in the United States. As blowing agents, CFCs have been used in the manufacture of fast-food take-out boxes and similar containers. By the early 1990s, CFCs had become so popular that their production was a multi-billion dollar business worldwide.

For many years, little concern was expressed about the environmental hazards of CFCs. The very qualities that made them desirable for commercial

applications—their stability, for example—appeared to make them environmentally benign.

However, by the mid-1970s, the error in that view became apparent. Scientists began to find that CFCs in the stratosphere were decomposed by sunlight. One product of that decomposition, atomic chlorine, reacts with ozone (O<sub>3</sub>) to form ordinary oxygen (O<sub>2</sub>). The apparently harmless CFCs turned out, instead, to be a major factor in the loss of ozone from the stratosphere.

By the time this discovery was made in the early 1970s, levels of CFCs in the stratosphere were escalating rapidly. The concentration of these compounds climbed from 0.8 part per billion in 1950 and 1.0 part per billion in 1970, to 3.5 parts per billion in 1987.

A turning point in the CFC story came in the mid-1980s when scientists found that a large “hole” in the ozone layer (more precisely, a severe thinning of the ozone layer) was opening up over the Antarctic each year. This discovery spurred world leaders to act on the problem of CFC production. In 1987, about forty nations met in Montreal to draft a treaty to greatly reduce the production and use of CFCs worldwide. The agreement that resulted became known as the Montreal Protocol.

While this action was encouraging, the improvements come rather slowly. CFC compounds remain in the atmosphere for long periods of time (about 77 years for CFC-11 and 139 years for CFC-12), so they will continue to pose a threat to the ozone layer for many decades to come. However, measurements have demonstrated that the ozone hole is recovering in the ways predicted from the phase-out of CFC’s. Measurements in 2010, for instance, showed that the annually-appearing ozone “hole” over the Antarctic was one the smallest of the decade, indicating a general recovery of the ozone layer there. Atmospheric scientists predict that complete recovery of ozone depletion should come about by 2050. This improvement in atmospheric ozone levels suggests that the Protocol’s intent will likely be met, and that concerns over the illegal trade and manufacture of CFC’s seems less of a worry than initially thought by environmentalists and scientists.

*See also* Carbon; Chlorine; Hydrogen.

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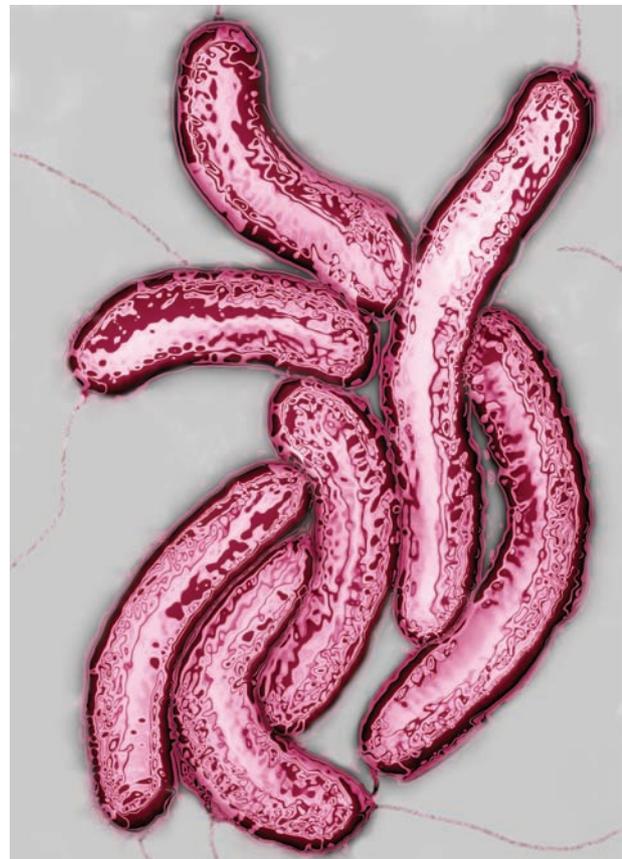
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David E. Newton

## Cholera

Cholera is one of the most contagious diseases transmitted by water. Although up to 75 percent of people infected with the bacteria that cause cholera develop few symptoms or a milder form of the disease, severe cholera can kill its victim within hours. Cholera is marked by diarrhea, and in severe cases, copious



Color enhanced light micrograph showing *Vibrio cholerae*, the bacterium that causes cholera in humans. (James Cavallini / Photo Researchers, Inc.)

“rice water” diarrhea that results in dehydration, often followed by shock and death if not treated. The World Health Organization estimates that there are more than three million cases of cholera every year resulting in up to 120,000 deaths. More than 95 percent of fatalities from cholera occur in the Indian sub-continent and Africa.

Cholera is caused by the bacillus *Vibrio cholerae*, a member of the family Vibrionaceae, which are described as Gram-negative, non-sporulating rods that are slightly curved, motile, and have a fermentative metabolism.

The natural habitat of *V. cholerae* is human feces, but some studies have indicated that natural waters may also be a habitat of the organism. Fecal contamination of water is the most common means by which *V. cholerae* is spread, however, food, insects, soiled clothing, or person-to-person contact may also transmit sufficient numbers of the pathogens to cause cholera.

The ability of *V. cholerae* to survive in water is dependent upon the temperature and water type. *V. cholerae* reportedly survive longer at low temperatures, and in seawater, sterilized water, and nutrient rich waters. Also, the particular strain of *V. cholerae* affects the survival of the organism in water, as some strains or types are hardier than others. Most methods to isolate *V. cholerae* from water include concentration of the sample by filtration and exposure to high pH and selective media. Identification of pathogenic strains of *V. cholerae* is dependent upon agglutination tests. Final confirmation of the strain or type must be done in a specialized laboratory.

Persons infected with *V. cholerae* produce  $10^7$  to  $10^9$  organisms per milliliter in the stool at the height of the disease, but the number of excreted organisms drops off quickly as the disease progresses. Asymptomatic carriers of *V. cholerae* excrete  $10^2$  to  $10^5$  organisms per gram of feces. The mild form of the illness lasts for five to seven days. Hydration therapy and electrolyte replacement is the treatment of choice for less severe cases of cholera. Antibiotics can be used to shorten the course of the disease, but are not generally recommended as they contribute to the emergence of multiple antibiotic resistant strains of cholera in many areas. Vaccines exist to prevent cholera, however, they do not prevent the acquisition of the bacteria in the gastrointestinal tract, do not diminish symptoms in persons already infected, and are effective for less than a year. Proper water treatment should eliminate *V. cholerae* from drinking water, however, the most effective control of this pathogen is dependent upon adequate sanitation and hygiene.

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E. K. Black  
Gordon R. Finch

## Cholinesterase inhibitor

Insecticides kill their target insect species in a variety of ways. Two of the most commonly used classes of insecticide are the organophosphates (nerve gases) and the carbamates. These compounds act quickly (in a matter of hours), are lethal at low doses (parts per billion), degrade rapidly (in hours to days) and leave few toxic residues in the environment. Organophosphates kill insects by inducing loss of control of the peripheral nervous system, leading to uncontrollable spasms followed by paralysis and, ultimately, death. This is often accomplished by a biochemical process called cholinesterase inhibition.

Most animals’ nervous systems are composed of individual nerve cells called neurons. Between any two adjacent neurons there is always a gap, called the synaptic cleft; the neurons do not actually touch each other. When an animal senses something—for example, pain—the sensation is transmitted chemically from one neuron to another until the impulse reaches the brain or central nervous system. The first neuron (pre-synaptic neuron) releases a substance, known as a transmitter, into the synaptic cleft. One of the most common chemical transmitters is called acetylcholine. Acetylcholine then diffuses across the gap and binds with receptor sites on the second neuron (post-synaptic neuron). Reactions within the target neuron triggered by occupied receptors result in further transmission of the signal. As soon as the impulse has been

transmitted, the acetylcholine in the gap is immediately destroyed by an enzyme called cholinesterase; the destruction of the acetylcholine is an absolutely essential part of the nervous process. If the acetylcholine is not destroyed, it continues to stimulate indefinitely the transmission of impulses from one neuron to the next, leading to loss of all control over the peripheral nervous system. When control is lost, the nervous system is first overstimulated and then paralyzed until the animal dies. Thus, organophosphate insecticides bind to the cholinesterase enzyme, preventing the cholinesterase from destroying the acetylcholine and inducing the death of the insect.

Some trade names for organophosphate insecticides are malathion and parathion. Carbamates include aminocarb and carbaryl. The carbamates produce the same effect of cholinesterase inhibition as the organophosphates, but the chemical reaction of the carbamates is more easily reversible. The potency or power of these compounds is usually measured in terms of the quantity of the pesticide (or inhibitor) that will produce a 50 percent loss of cholinesterase activity. Since acetylcholine transmission of nervous impulses is common to most vertebrates as well as insects, there is a great potential for harm to non-target species from the use of cholinesterase-inhibiting insecticides. Therefore the use of these insecticides is highly regulated and controlled. Access to treated areas and contact with the compounds is prohibited until the time period necessary for the breakdown of the compounds to non-toxic end products has elapsed.

Usha Vedagiri

## Chromatography

Chromatography is the process of separating mixtures of chemicals into individual components as a means of identification or purification. It derives from the Greek words *chroma*, meaning color, and *graphy*, meaning writing. The word was coined in 1906 by the Russian chemist Mikhail Tsvett who used a column to separate plant pigments. Currently chromatography is applied to many types of separations far beyond those of just color separations. Common chromatographic applications include gas-liquid chromatography (GC), liquid-solid chromatography (LC), thin layer chromatography (TLC), ion exchange chromatography, and gel permeation chromatography (GPC). All of these methods are invaluable in

analytical environmental chemistry, particularly GC, LC, and GPC.

The basic principle of chromatography is that different compounds have different retentions when passed through a given medium. In a chromatographic system, one has a mobile phase and a stationary phase. The mixture to be separated is introduced in the mobile phase and passed through the stationary phase. The compounds are selectively retained by the stationary phase and move at different rates which allows the compounds to be separated.

In gas chromatography, the mobile phase is a gas and the stationary phase is a liquid fixed to a solid support. Liquid samples are first vaporized in the injection port and carried to the chromatographic column by an inert gas which serves as the mobile phase. The column contains the liquid stationary phase, and the compounds are separated based on their different vapor pressures and their different affinities for the stationary phase. Thus different types of separations can be optimized by choosing different stationary phases, and by altering the temperature of the column. As the compounds elute from the end of the column, they are detected by one of a number of methods that have specificity for different chemical classes.

Liquid chromatography consists of a liquid mobile phase and a solid stationary phase. There are two general types of liquid chromatography: column chromatography and high pressure liquid chromatography (HPLC). In column chromatography, the mixture is eluted through the column containing stationary packing material by passing successive volumes of solvents or solvent mixtures through the column. Separations result as a function of both chemical-solvent interactions as well as chemical-stationary phase interactions. Often this technique is used in a preparative manner to remove interferences from environmental sample extracts. HPLC refers to specific instruments designed to perform liquid chromatography under very high pressures to obtain a much greater degree of resolution. The column outflow is passed through a detector and can be collected for further processing if desired. Detection is typically by ultraviolet light or fluorescence.

A variation of column chromatography is gel permeation chromatography (GPC), which separates chemicals based on size exclusion. The column is packed with porous spheres, which allow certain size chemicals to penetrate the spheres and excludes larger sizes. As the sample mixture traverses the column, larger molecules move more quickly and elute first while smaller molecules require longer elution times.

An example of this application in environmental analyses is the removal of lipids (large molecules) from fish tissue extracts being analyzed for pesticides (small molecules).

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Deborah L. Swackhammer

## Chronic effects

Chronic effects occur over a long period of time. The length of time termed “long” is dependent upon the life cycle of the organism being tested. For some aquatic species a chronic effect might be seen over the course of a month. For animals such as rats and dogs, chronic would refer to a period of several weeks to years.

Chronic effects can be either caused by chronic or acute exposures. Acute exposure to some metals and many carcinogens can result in chronic effects. With certain toxicants, such as cyanide, it is difficult, if not impossible, to cause a chronic effect. However, at a higher dosage, cyanide readily causes acute effects. Examples of chronic effects include pulmonary tuberculosis and, in many cases, lead poisoning. In each disease the effects are long-term and cause damage to tissues; acute effects generally result in little tissue reaction. Thus, acute and chronic effects are frequently unrelated, and yet it is often necessary to predict chronic toxicity based on acute data. Acute data are more plentiful and easier to obtain. To illustrate the possible differences between acute and chronic effects, consider the examples of halogenated solvents, arsenic, and lead.

In halogenated solvents, acute exposure can cause excitability and dizziness, while chronic exposure will result in liver damage. Chronic effects of arsenic poisoning are in blood formation and liver and nerve damage. Acute poisoning affects the gastro-intestinal tract. Lead also effects blood formation in chronic

exposure, and damages the gastro-intestinal tract in acute exposure. Other chronic effects of exposure to lead include changes in the nervous system and muscles. In some situations, given the proper combination of dose level and frequency, those exposed will experience both acute and chronic effects.

There are chemicals that are essential and beneficial for the functions and structure of the body. The chronic effect is therefore better health, although people generally do not refer to chronic effects as being positive. However, vitamin D, fluoride, and sodium chloride are just a few examples of agents that are essential and/or beneficial when administered at the proper dosage. Too much of any of the three or too little, however, could cause acute and/or chronic toxic effects.

In aquatic toxicology, chronic toxicity tests are used to estimate the effect and no-effect concentrations of a chemical that is continuously applied over the reproductive life cycle of an organism; for example, the time needed for growth, development, sexual maturity, and reproduction. The range in chemical concentrations used in the chronic tests is determined from acute tests. Criterion for effects might include the number and percent of embryos that develop and hatch, the survival and growth of larvae and juveniles, etc.

The maximum acceptable toxicant concentration (MATC) is defined through chronic testing. The MATC is a hypothetical concentration between the highest concentration of chemical that caused no observed effect (NOEC) and the lowest observed effect concentration (LOEC). Therefore,

$$\text{LOEC} > \text{MATC} > \text{NOEC}$$

Furthermore, the MATC has been used to relate chronic toxicity to acute toxicity through an application factor (AF). AF is defined below:

$$\text{AF} = \frac{\text{MATC}}{\text{LD}_{50}}$$

The AF for one aquatic species might then be used to predict the chronic toxicity for another species, given the acute toxicity data for that species.

The major limitations of chronic toxicity testing are the availability of suitable test species and the length of time needed for a test. In animal testing, mice, rats, rabbits, guinea pigs, and/or dogs are generally used; mice and rats being the most common. With respect to aquatic studies, the most commonly used vertebrates are the fathead (fresh water) and sheepshead (saltwater) minnows. The most commonly used invertebrates are freshwater water fleas (*Daphnia*) and the saltwater mysid shrimp (*Mysidopsis*).

See also Aquatic chemistry; Detoxification; Dose response; Heavy metals and heavy metal poisoning; LD50; Plant pathology; Toxic substance.

Gregory D. Boardman

## Cigarette smoke

Cigarette smoke contains more than 4,000 identified compounds. Many are known irritants and carcinogens. Since the first Surgeon General's Report on smoking and health in 1964, evidence linking the use of tobacco to illness, injury, and death has continued to mount. Many thousands of studies have documented the adverse health consequences of any type of tobacco, including cigarettes, cigars, and smokeless tobacco.

Specific airborne contaminants from cigarette smoke include respirable particles, nicotine, polycyclic aromatic hydrocarbons, arsenic, DDT, formaldehyde, hydrogen cyanide, methane, carbon monoxide, acrolein, and nitrogen dioxide. Each one of these compounds impacts some



**Cigarettes probably represent the single greatest source of radiation exposure to smokers in the United States today.**  
(Konstantin Sutyagin/Shutterstock.com.)

part of the body. Irritating gases like ammonia, hydrogen sulfide and formaldehyde affect the eyes, nose and throat. Others, like nicotine, impact the central nervous system. Carbon monoxide reduces the oxygen-carrying capacity of the blood, starving the body of energy. Carcinogenic agents come into prolonged contact with vital organs and with the delicate linings of the nose, mouth, throat, lungs and airways.

Cigarette smoke is one of the six major sources of indoor air pollution, along with combustion by-products, microorganisms and allergens, formaldehyde and other organic compounds, asbestos fibers, and radon and its airborne decay products. The carbon monoxide concentration in cigarette smoke is more than 600 times the level considered safe in industrial plants, and a smoker's blood typically has four to fifteen times more carbon monoxide in it than that of a nonsmoker. Airborne particle concentrations in a home with several heavy smokers can exceed ambient air quality standards.

Sidestream, or second-hand, smoke actually has higher concentrations of some toxins than the mainstream smoke the smoker inhales. Second-hand smoke carries more than thirty known carcinogens. According to a study by the Centers for Disease Control and Prevention (CDC) released in 1996, nearly nine out of 10 nonsmoking Americans are exposed to environmental tobacco smoke as measured by the levels of cotinine in their blood. The presence of cotinine, a chemical the body metabolizes from nicotine, is documentation of exposure to cigarette smoke. On the basis of health hazards of second-hand smoke, the Environmental Protection Agency has classified second-hand smoke as a Group A carcinogen, known to cause cancer in humans.

Cigarettes probably represent the single greatest source of radiation exposure to smokers in the United States today. Two naturally occurring radioactive materials, lead-210 and polonium-210, are present in tobacco. Both of these long-lived decay products of radon are deposited and retained on the large, sticky leaves of tobacco plants. When the tobacco is made into cigarettes and the smoker lights up, the radon decay products are volatilized and enter the lungs. The resulting dose to small segments of the bronchial epithelium of the lungs of about 50 million smokers in the United States is about 160 mSv per year. (One Sv = 100 rem of radiation.) The dose to the whole body is about 13 mSv, more than ten times the long-term dose rate limit for members of the public.

The CDC reports that as of 2010, more than 400,000 Americans continue to die each year from tobacco-

related disease. One in every five deaths in the United States is smoking related, making smoking the largest preventable cause of illness and premature death in the United States. Death is caused primarily by heart disease, lung cancer, and chronic obstructive lung diseases such as emphysema or chronic bronchitis. In addition, the use of tobacco has been linked to cancers of the larynx, mouth and esophagus, and as a contributory factor in the development of cancers of the bladder, kidney, pancreas, and cervix. Cigarette smoke aggravates asthma, triggers allergies, and causes changes in bodily tissues that can leave smokers and nonsmokers prone to illness, especially heart disease.

About 180,000 Americans will die prematurely of coronary heart disease every year due to smoking. The risk of a stroke or heart attack is greatly increased by nicotine, which impacts the platelets that enable the blood to clot. Nicotine causes the surface of the platelets to become stickier, thereby increasing the platelets' ability to aggregate. Thus, a blood clot or thrombus forms more easily. A thrombus in an artery of the heart results in a heart attack; in an artery of the brain it results in a stroke.

Epidemiological studies reveal a direct correlation between the extent of maternal smoking and various illnesses in children. Also, studies show significantly lower heights and weights in six- to eleven-year olds whose mothers smoke. A pregnant woman who smokes faces increased risks of miscarriage, premature birth, stillbirth, infants with low birth weight, and infants with physical and mental impairments. Cigarette smoking also impairs fertility in women and men, contributes to earlier menopause, and increases a woman's risk of osteoporosis.

Cigarette smoke contains benzene which, when combined with the radioactive toxins, can cause leukemia. Although smoking does not cause the disease, smoking may boost a person's risk of getting leukemia by 30 percent.

A long-time smoker increases his risk of lung cancer by 1,000 times. In 2005, according to the CDC, about 150,000 people died of lung cancer directly attributed to cigarette smoke. More than 3,000 people each year develop lung cancer from second-hand smoke. Between 1960 and 2000, deaths from lung cancer among women have increased by more than 400 percent—exceeding breast cancer deaths.

The addiction to nicotine in cigarette smoke, a chemical and behavioral addiction as powerful as that of heroin, is well documented. The immediate effect of smoking a cigarette can range from tachycardia (an abnormally fast heartbeat) to arrhythmia (an

irregular heartbeat). Deep inhalations of smoke lower the pressure in a smoker's chest and pulmonary blood vessels, which increases the amount of blood flow to the heart. This increased blood flow is experienced as a relaxed feeling. Seconds later, nicotine enters the liver and causes that organ to release sugar, which leads to a "sugar high." The pancreas then releases insulin to return the blood sugar level to normal, but it makes the smoker irritable and hungry, stimulating a desire to smoke and recover the relaxed, high feeling.

Nicotine also stimulates the nervous system to release adrenaline, which speeds up the heart and respiratory rates, making the smoker feel more tense. Lighting the next cigarette perpetuates the cycle. The greater the number of behaviors linked to the habit, the stronger the habit is and the more difficult to break. Quitting involves combating the physical need and the psychological need, and complete physical withdrawal can take up to two weeks.

From an economic point of view, the Department of Health and Human Services estimates that smoking costs the United States \$50 billion in health expenses. That figure is most likely conservative because the medical costs attributable to burn care from smoking-related fires, perinatal care for low birth weight infants of mothers who smoke, and treatment of disease caused by second-hand smoke were not included in the calculation.

In January 2010, independent studies on smoking bans in Europe and the United States showed that the limits on tobacco use in offices and other public buildings and places resulted in reduced rates of heart attacks. On both national and local levels, restrictions on smoking in both Europe and North America corresponded to immediate declines in numbers of reported heart attacks. In 2008, the American Lung Association estimated that 45 million, or 20.6 percent of American adults age 28 or over regularly smoked cigarettes. Overall, this represents a continuing downward trend, as the annual prevalence of smoking in America declined more than 50 percent between 1965 and 2008.

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Linda Rehkopf

CITES see **Convention on International Trade in Endangered Species of Wild Fauna and Flora (1975)**.

Citizen's Clearinghouse for Hazardous Waste see **Gibbs, Lois**.

## Citizen science

Citizen science is a term used for individual volunteers, or groups of volunteers, who do not necessarily have specific scientific training or experiences in a particular area but still help to make observations, measurements, analyses, and computations, and provide other such help so scientists can more easily or quickly accomplish goals and objectives. Becoming a citizen scientist, according to the Cornell University Laboratory of Ornithology (specializing in the study of birds), can be as simple as glancing periodically at a backyard bird feeder, or as complicated as getting out in the field, collecting data about the relationship between an environment's characteristics and the success of bird-nesting in that milieu (surroundings). Put simply, citizen science is the practice of involving individual citizens, through their voluntary efforts, in the work of environmental science. Such voluntary assistance encompasses a wide variety of environmentally related issues and projects, including the counting of various species of bird, monitoring rainfall amounts, or observing and surveying the habits of threatened species of wildlife. The goal of all environmental science, whether performed by private citizens or environmental scientists, is the same: to provide for the health of the planet, its natural resources, and all living beings.

John Fein, an internationally-renowned science educator, and associate professor at Griffith University (Brisbane, Queensland, Australia), described this

participatory process as one that can "bridge the gap between science and the community and between scientific research and policy, decision-making and planning." "Bridging these gaps," he went on to note, "involves a process of social learning through sound environmental research, full public participation, the adoption of adaptive management practices and the development of the democratic values, skills and institutions for an active civil society."

In the United States, citizen science began in the 1800s, and became more formalized in 1886, when the National Audubon Society was created. According to its preamble, the society's aim was to: promote the conservation of wildlife and the natural environment, and educate man regarding his relationship with, and place within, the natural environment as an ecological system. Public awareness of environmental concerns increased with the first Earth Day in 1970. The significance of Earth Day has served as a reminder to the world community that it is not only the scientists who are responsible for the health of the environment. The general public, each individual, has been given the challenge to take measures large or small that might add up to an enormous improvement in the ecological scheme of life.

Today there are so many citizen science projects initiated by various environmental organizations that it would be impossible to list all of them. However, some of the better known include:

- **Christmas Bird Count:** Beginning in 1900, it is the oldest citizen science project in existence, according to the National Audubon Society. It occurs on a daily basis across the United States between December 14th and January 5th each year. Until the recent era of the home computer, it had been the practice for groups to go on birding outings into nature, in order to do the count.
- **The Great Backyard Bird Count:** Occurring for three days commencing on Valentine's Day, it involves volunteers counting the birds visiting in their backyards or in nearby parks, and entering the data into their computers. This count is then analyzed and becomes available information to the bird counters through tables, maps, and in other forms. The American ornithologist and director of bird conservation within the National Audubon Society, Gregory Butcher, works in coordinated effort with Dr. John W. Fitzpatrick (1951–), director of the Cornell Lab of Ornithology (Cornell University, Ithaca, New York), in order to calculate the results.
- **Project Feeder Watch:** A winter-long survey of birds that visit feeders in backyards, nature centers,

community areas, and other locales that takes place November through March.

- **The Birdhouse Network:** The installation of bird houses with volunteers monitoring bird activity throughout the breeding season, collecting data on location, habitat characteristics, nestings, and the number of eggs produced.
- **Project Pigeon Watch:** Counting the number of each different color, recording the colors of courting birds, and helping scientists determine the mystery of why there are so many different colors of pigeons.
- **House Finch Disease Survey:** Monitoring of backyard feeders, reporting presence or absence of House Finch eye disease.
- **Birds in Forested Landscapes:** Studying sites established in forests of varying sizes with volunteers counting the birds during at least two visits (using recordings of vocalizations), searching for markers that breeding was successful, and recording landscape characteristics of the site.
- **Golden-winged Warbler Atlas Project:** Surveying and conducting point counts at known and potential breeding sites of this bird, using both professionals and volunteers.
- **Citizen Science in the Schoolyard:** Providing projects in elementary and middle schools that educate children in various aspects of bird-watching and counting.
- **BirdSource:** An interactive online database operated in conjunction with the Audubon Society that collects information from numerous projects.
- **Adirondack Cooperative Loon Program:** An ongoing project with volunteers through the Natural History Museum of the Adirondacks, with observation of the common loon as well as the annual count that occurs every July.
- **The Mid-Atlantic Integrated Assessment (MAIA):** A research, monitoring, and assessment initiative under the auspices of the Environmental Protection Agency, in order to provide high-quality scientific information on the condition of natural resources of the Mid-Atlantic region of the east coast, including the Delaware and Chesapeake Bays, Albemarle-Pamlico Sound, and the Delmarva Coastal Bays, utilizing professional researchers and volunteers.
- **Smithsonian Neighborhood Nestwatch:** Utilizing backyard bird counters during breeding season to assist the Smithsonian Environmental Research Center in gathering scientific data on various birds.
- **Citizen Collaborative for Watershed Sustainability:** A project conducted within the Southeast Minnesota Blufflands region, and coordinating with other

farming regions across the state, in order to conserve the watersheds of southeastern Minnesota.

- **Connecticut Department of Environmental Protection:** A citizen-based project to monitor streams and rivers, determining the chemical, physical, and biological health of the water.

Citizen science projects such as these have provided information used in decision-making resulting in the purchasing of lands that host certain threatened species during breeding time and the development of bird population management guidelines. Further, data obtained from these surveys is often published in scientific and educational journals.

### Citizens respond

The explosion of popularity and participation in citizen science has been a welcome development. Both the United Nations (UN) and individual national governments have become involved, sponsoring events such as the 2010 UN Global Compact Leaders Summit in New York City, from June 24 to 25, 2010, which involves sustainability around the world.

For citizens unaware of environmental issues, it appears that more information and publicity is necessary. In an article for the *Environmental News Network (ENN)* in October 2001, Erica Gies states, “As a person who cares about environmental issues, I often find myself preaching to the choir. But when I have an opportunity to converse with people who are either uninformed or inclined to disagree, it can be difficult to communicate my passion effectively without alienating them, especially if they are people I have a long history with, such as family.” To attain that goal of increasing awareness, Gies suggests using art, literature and the media. There are a variety of nonfiction books and essays, poetry, art, and music featuring environmental or nature themes, as well as the films focusing on environmental issues featured each spring at an Environmental Film Festival held in Washington, D.C. But, even a comic strip can give an ecological focus. Gies quotes *Dilbert* creator, Scott Adams (1957–) from his book, *The Dilbert Future* irreverently noting that, “The children are our future. And that is why, ultimately, we’re screwed unless we do something about it. If you haven’t noticed, the children who are our future are good looking but they aren’t all that bright. As dense as they might be, they will eventually notice that adults have spent all the money, spread disease, and turned the planet into a smoky, filthy ball of death.”

Japanese-Canadian environmental activist David Suzuki (1936–) also offered his insights into the

challenges facing the environment in an article for *ENN* in June 2002. Enumerating the biggest challenges for the environment in the next century, Suzuki noted, “I’m beginning to think one of the biggest challenges is overcoming the fact that people are tired of all the depressing news about the environment.” Citizen science was offering a solution for positive approaches and answers to these issues, giving the public a reason to be hopeful and cherish their involvement in seeing things change. One such person, Robert H. Boyle, of Cold Spring, New York, and author of the 1969 book, *The Hudson River: A Natural and Unnatural History* continued his struggle for thirty years after the publication of his book alerting people to the decay of the Hudson River. Boyle’s book was the waterway’s equivalent of *Silent Spring* (American biologist Rachel Carson’s [1907–1964] book that alerted the public to the danger of pesticides and was responsible for much of the environmental movement of the 1960s). Boyle’s book was instrumental in beginning the process of cleaning up not only the Hudson River, but all other polluted waterways across the United States. In 2002, Boyle continued to fight major industrial polluters and the government in courts in his effort to preserve the natural bounty of the Hudson River. In 2010, Boyle, along with the environmental non-profit group Riverkeeper (a part of the larger group Waterkeeper Alliance), continues efforts to protect the Hudson River and its tributaries.

With growing concern regarding global warming, deforestation, and biodiversity loss, more citizens are becoming involved and interested in environmental issues as well as improvement and protection. One citizen science program, Global Learning and Observations to Benefit the Environment (GLOBE), was established in 1995 and is sponsored by the U.S. government. GLOBE is a worldwide program involving primary- and secondary-school students and teachers in science education. There are over 50,000 teachers and over 20,000 schools worldwide in which participants record measurements of air, soil, water, and vegetation in their area for use by scientists. Scientists use this mass of information to study and understand aspects of the environment with the prospect of improving the environment in which we live.

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Cornell Lab of Ornithology, 159 Sapsucker Woods Road, Ithaca, NY, USA, 14850, (800) 843-2473, <http://www.birds.cornell.edu>

The Natural History Museum of the Adirondacks, 45 Museum Drive, Tupper Lake, NY, USA, 12986, (518) 359-7800, (518) 359-3253, <http://www.wildcenter.org>

Smithsonian Environmental Research Center, 647 Contees Wharf Road, Edgewater, MD, USA, 21037-0028, (443) 482-2200, (443) 482-2380, <http://www.serc.si.edu>

Joan M. Schonbeck

## Citizens for a Better Environment

Citizens for a Better Environment (CBE) is an organization that works to reduce exposure to toxic substances in land, water, and air. Founded in 1971, CBE has 30,000 members and operates with a \$1.8 million budget. The organization also maintains regional offices in Minnesota and in Wisconsin.

CBE staff and members focus on research, public information, and advocacy to reduce toxic substances. They also meet with policy-makers on state, regional, and national levels. A staff of scientists, researchers, and policy analysts evaluate specific problems brought to the attention of CBE, testify at legislative and regulatory agency hearings, and file lawsuits in state and

federal courts. The organization also conducts public education programs, and provides technical assistance to local residents and organizations that attempt to halt toxic chemical exposures.

The Chicago office won a Supreme Court decision against the construction of an incinerator in a low-income neighborhood in the Chicago area and is researching the issues on volume-based garbage for suburban Chicago areas. In Minnesota, the staff has developed a “Good Neighbor” program of agreements between community groups, environmental activists, businesses, and industries along the Mississippi River to reduce pollution of the river. In Wisconsin, transportation issues under study include selling ride-sharing credits to meet Clean Air Act standards. Selling and buying of credits between and among individuals, businesses, and polluting industries has become a lucrative way for polluters to continue their practices. CBE is attempting to close the legislative loopholes that allows this practice to continue.

The Chicago office maintains a library of books, reports, and articles on environmental pollution issues. Publications include CBE’s *Environmental Review*, a quarterly journal on the public health effects of pollution; it includes updates of CBE activities and research projects.

## Resources

### ORGANIZATIONS

Citizens for a Better Environment, 152 W. Wisconsin Ave., Suite 510, Milwaukee, WI, USA, 53203, (414) 271-7280, (866) 256-5988, (414) 271-5904, [cbewi@igc.apc.org](mailto:cbewi@igc.apc.org), <http://www.wsn.org/cbe/livablecommunities.html>

Linda Rehkopf

Mineralogists restrict the definition of clay minerals to those aluminosilicates (minerals predominantly composed of aluminum, silicon, and oxygen) which in nature have particle sizes two micrometers or less in diameter. These minerals have platy structures made up of sheets of silica, composed of silicon and oxygen, and alumina, which is usually composed of aluminum and oxygen, but often has iron and magnesium replacing some or all of the aluminum.

Clay minerals can be classified by the stacking of these sheets. The one to one clay minerals have alternating silica and alumina sheets; these are the least reactive of the clay minerals, and kaolinite is the most common example. The two to one minerals have layers made up of an alumina sheet sandwiched between two silica sheets. These layers have structural defects that result in negative charges, and they are stacked upon each other with interlayer cations between the layers to neutralize the negative layer charges. Common two to one clays are illite and smectite.

In smectites, often called montmorillonite, the interlayer ions can undergo cation exchange. Smectites have the greatest ion exchange capacity of the clay minerals and are the most plastic. In illite, the layer charge is higher than for smectite, but the cation exchange capacity is lower because most of the interlayer ions are potassium ions that are trapped between the layers and are not exchangeable.

Some iron minerals also can be found in the clay-sized fraction of soils and sediments. These minerals have a low capacity for ion exchange but are very important in some adsorption reactions. Gibbsite, an aluminum hydroxide mineral, is also found in the clay-sized fraction of some soils and sediments. This mineral has a reactivity similar to the iron minerals.

Paul R. Bloom

## Clay minerals

Clay minerals contribute to the physical and chemical properties of most soils and sediments. At high concentrations they cause soils to have a sticky consistency when wet. Individual particles of clay minerals are very small with diameters less than two micrometers. Because they are so finely divided, clay minerals have a very high surface area per unit weight, ranging from 5 to 800 square meters per gram. They are much more reactive than coarser materials in soils and sediments such as silt and sand and clay minerals account for much of the reactivity of soils and sediments with respect to adsorption and ion exchange.

## Clay-hard pan

A compacted subsurface soil layer. Hard pans are frequently found in soils that have undergone significant amounts of weathering. Clay will accumulate below the surface and cause the subsoil to be dense, making it difficult for roots and water to penetrate. Soils with clay pans are more susceptible to water erosion. Clay pans can be broken by cultivation, but over time they re-form.

## Clean Air Act (1963, 1970, 1990)

The 1970 Clean Air Act and major amendments to the act in 1977 and 1990 serve as the backbone of efforts to control air pollution in the United States. This law established one of the most complex regulatory programs in the country. Efforts to control air pollution in the United States date back to 1881, when Chicago and Cincinnati passed laws to control industrial smoke and soot. Other municipalities followed suit and the momentum continued to build. In 1952, Oregon became the first state to adopt a significant program to control air pollution, and three years later, the federal government became involved for the first time, when the Air Pollution Control Act was passed. This law provided funds to assist the states in their air pollution control activities.

In 1963, the first Clean Air Act was passed. The act provided permanent federal aid for research, support for the development of state pollution control agencies, and federal involvement in cross-boundary air pollution cases. An amendment to the act in 1965 directed the Department of Health, Education and Welfare (HEW) to establish federal emission standards for motor vehicles. (At this time, HEW administered air pollution laws. The Environmental Protection Agency [EPA] was not created until 1970.) This represented a significant move by the federal government from a supportive to an active role in setting air pollution policy. The 1967 Air Quality Act provided additional funding to the states, required them to establish Air Quality Control Regions, and directed HEW to obtain and make available information on the health effects of air pollutants and to identify pollution control techniques.

The Clean Air Act of 1970 marked a dramatic change in air pollution policy in the United States. Following enactment of this law, the federal government, not the states, would be the focal point for air pollution policy. This act established the framework that continues to be the foundation for air pollution control policy today. The impetus for this change was the belief that the state-based approach was not working and increased pressure from a developing environmental consciousness across the country. Public sentiment was growing so significantly that environmental issues demanded the attention of high-ranking officials. In fact, the leading policy entrepreneurs on the issue were President Richard Nixon and Senator Edmund Muskie of Maine.

The regulatory framework of The Clean Air Act featured four key components. First, National Ambient Air Quality Standards (NAAQSs) were established

for six major pollutants: carbon monoxide, lead (in 1977), nitrogen dioxide, ground-level ozone (a key component of smog), particulate matter, and sulfur dioxide. For each of these pollutants, sometimes referred to as criteria pollutants, primary and secondary standards were set. The primary standards were designed to protect human health; the secondary standards were based on protecting crops, forests, and buildings if the primary standards were not capable of doing so. The Act stipulated that these standards must apply to the entire country and be set by the EPA, based on the best available scientific information. The costs of attaining these standards were not among the factors considered. The EPA was also directed to set standards for less common toxic air pollutants.

Second, New Source Performance Standards (NSPSs) would be set by the EPA. These standards would determine how much air pollution would be allowed by new plants in various industrial sectors. The standards were to be based on the best available control technology (BACT) and best available retrofit technology (BART) available for the control of pollutants at sources such as power plants, steel factories, and chemical plants.

Third, mobile source emission standards were established to control automobile emissions. These standards were specified in the statute (rather than left to the EPA), and schedules for meeting them were also written into the law. It was thought that such an approach was crucial to ensure success with the powerful auto industry. The pollutants regulated were carbon monoxide, hydrocarbons, and nitrogen oxides, with goals of reducing the first two pollutants by 90 percent and nitrogen oxides by 82 percent by 1975.

The final component of the air protection act dealt with the implementation of the new air quality standards. Each state would be encouraged to devise a state implementation plan (SIP), specifying how the state would meet the national standards. These plans had to be approved by the EPA; if a state did not have an approved SIP, the EPA would administer the Clean Air Act in that state. However, since the federal government was in charge of establishing pollution standards for new mobile and stationary sources, even the states with an SIP had limited flexibility. The main focal point for the states was the control of existing stationary sources, and if necessary, mobile sources. The states had to set limits in their SIPs that allowed them to achieve the NAAQSs by a statutorily determined deadline. One problem with this approach was the construction of tall smokestacks, which helped move pollution out of a particular airshed but did not reduce overall

pollution levels. The states were also charged with monitoring and enforcing the Clean Air Act.

The 1977 amendments to the Clean Air Act dealt with three main issues: nonattainment, auto emissions, and the prevention of air quality deterioration in areas where the air was already relatively clean. The first two issues were resolved primarily by delaying deadlines and increasing penalties. Largely in response to a court decision in favor of environmentalists (*Sierra Club v. Ruckelshaus*, 1972), the 1977 amendments included a program for the prevention of significant deterioration (PSD) of air that was already clean. This program would prevent polluting the air up to the national levels in areas where the air was cleaner than the standards. In Class I areas, areas with near pristine air quality, no new significant air pollution would be allowed. Class I areas are airsheds over large national parks and wilderness areas. In Class II areas, a moderate degree of air quality deterioration would be allowed. And finally, in Class III areas, air deterioration up to the national secondary standards would be allowed. Most of the country that had air cleaner than the NAAQSs was classified as Class II. Related to the prevention of significant deterioration was a provision to protect and enhance visibility in national parks and wilderness areas even if the air pollution was not a threat to human health. The impetus for this section of the bill was the growing visibility problem in parks, especially in the Southwest.

Throughout the 1980s, efforts to further amend the Clean Air Act were stymied. President Ronald Reagan opposed any strengthening of the Act, which he argued would hurt the economy. In Congress, the controversy over acid rain between members from the Midwest and the Northeast further contributed to the stalemate. Gridlock on the issue broke with the election of George Bush, who supported amendments to the Act, and the rise of Senator George Mitchell of Maine to Senate Majority Leader. Over the next two years, the issues were hammered out between environmentalists and industry and between different regions of the country. Major players in Congress were Representatives John Dingell of Michigan and Henry Waxman of California and Senators Robert Byrd of West Virginia and Mitchell.

Major amendments to the Clean Air Act were passed in the fall of 1990. These amendments addressed four major topics: (1) acid rain, (2) toxic air pollutants, (3) nonattainment areas, and (4) ozone layer depletion. To address acid rain, the amendments mandated a 10 million ton reduction in annual sulfur dioxide emissions (a 40 percent reduction based on the 1980 levels) and a two million ton annual reduction in nitrogen oxides to

be completed in a two-phase program by the year 2010. Most of this reduction will come from old utility power plants. The law also creates marketable pollution allowances, so that a utility that reduces emissions more than required can sell those pollution rights to another source. Economists argue that, to increase efficiency, such an approach should become more widespread for all pollution control.

Due to the failure of the toxic air pollutant provisions of the 1970 Clean Air Act, new, more stringent provisions were adopted requiring regulations for all major sources of 189 varieties of toxic air pollution within ten years. Areas of the country still in nonattainment for criteria pollutants were given from three to twenty years to meet these standards. These areas were also required to impose tighter controls to meet the standards. To help these areas and other parts of the country, the Act required stiffer motor vehicle emissions standards and cleaner gasoline. Finally, three chemical families that contribute to the destruction of the stratospheric ozone layer (chlorofluorocarbons (CFCs), hydrochlorofluorocarbons (HCFCs), and methyl chloroform) were to be phased out of production and use.

In 1997, the EPA issued revised national ambient air quality standards (NAAQS), setting stricter standards for ozone and particulate matter. The American Trucking Association and other state and industry groups legally challenged the new standards on the grounds that the EPA did not have the authority under the Act to make such changes. On February 27, 2001, the U.S. Supreme Court unanimously upheld the constitutionality of the Clean Air Act as interpreted by the EPA, and all remaining legal challenges to other aspects of the standards change were rejected by a Washington DC District Court ruling in early 2002.

The Clean Air Act has met with mixed success. The national average pollutant levels for the criteria pollutants have decreased. Nevertheless, many localities have not achieved these standards and are in perpetual nonattainment. Not surprisingly, major urban areas are those most frequently in nonattainment. The pollutant for which standards are most often exceeded is ozone, or smog. This is due in part to increases in nitrogen oxides (NO<sub>x</sub>), which disperse ozone. NO<sub>x</sub> emissions increased by approximately 20 percent between 1970 and 2000. As a result, some parts of the country have had worsening ozone levels. According to the EPA, the average ozone levels in twenty-nine national parks increased by over 4 percent between 1990 and 2000.

The greatest successes of air pollution control have come with lead, which between 1981 and 2000

was reduced by 93 percent (largely due to the phasing-out of leaded gasoline), and particulates, which were reduced by 47 percent in the same period. Overall particulate emissions were down 88 percent since 1970. Carbon monoxide has dropped by 25 percent and volatile organic compounds and sulfur dioxides have declined by over 40 percent each between 1970 and 2000. However, air quality analysis is complex, and it is important to note that some changes may be due to shifts in the economy, changes in weather patterns, or other such variables rather than directly attributable to the Clean Air Act.

In February 2002, President Bush introduced the Clear Skies Act of 2003, an initiative that, if fully adopted, would make significant changes to the Clean Air Act. Among them would be a weakening or elimination of new source review regulations and BART rules, and a new cap-and-trade plan that would allow power plants that produced excessive toxic emissions to buy credits from other plants who had levels under the standards. The Bush administration hailed the initiative as a less expensive way to accelerate air pollution clean-up and a more economy-friendly alternative to the Kyoto Protocol for greenhouse gas reductions, while environmental groups and other critics called it a rollback of the Clean Air Act progress.

In 2007, the Supreme Court of the United States ruled in *Massachusetts v. EPA* that the EPA has the power to regulate carbon dioxide (CO<sub>2</sub>) and other greenhouse gas emissions under the Clean Air Act. The court stated that the Clean Air Act terms require the EPA to administer greenhouse gas regulation, unless the EPA could prove that greenhouse gases do not contribute to climate change, or could offer a reasonable explanation as to why the EPA should not regulate greenhouse gases. In April 2009, the EPA declared that CO<sub>2</sub> and other greenhouse gases were a threat to the public's health and welfare. President Obama urged Congress and the Senate to pass comprehensive climate legislation that included regulation of greenhouse gas emissions. In 2009 and 2010, proposed legislation failed to garner enough support. The Obama administration announced that the EPA would move to enact regulations in the absence of Congressional action.

See also Best Available Control Technology.

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Paula Anne Ford-Martin

## Clean coal technology

Coal is the world's most popular fuel. Globally, nearly 7 billion tons of coal was used in 2006, with use predicted to increase to 10 billion tons by 2030. As of 2010, about 40 percent of the electricity generated world-wide is based on coal, with more than half of the electricity produced in the United States coming from coal-fired power plants. The demand for coal is expected to triple by the middle of the next century, making it more widely used than petroleum or natural gas.

Coal is a relatively dirty fuel. When burned, it releases particulates and pollutants such as carbon monoxide (CO), carbon dioxide (CO<sub>2</sub>), nitrogen (NO<sub>x</sub>) and sulfur (SO<sub>x</sub>) oxides into the atmosphere. These pollutants have an adverse effect on the environment in the form of acid rain (i.e., precipitation that is acidic) and, in the case of CO<sub>2</sub>, as a greenhouse gas that traps heat that would normally dissipate into space. Both processes are undesirable. For example, the greenhouse effect is the basis of the warming of the global atmosphere that began in the mid-nineteenth century with the Industrial Revolution and the associated increase in coal-fired technology, a warming that affects the global climate. Other health hazards posed by coal-burning emissions are the emission of trace amounts of mercury (Hg) and the effects of the emitted particles on the human respiratory system.

Since the late 1980s, there has been an increasing amount of research on clean coal technologies, methods by which the combustion of coal releases fewer pollutants to the atmosphere. As early as 1970, the



**Bituminous coal cleaning plant in central Pennsylvania. Coal from surface and underground mines in Pennsylvania and throughout the Appalachian Mountains is crushed and washed at cleaning plants to remove ash and sulfur. (Theodore Clutter / Photo Researchers, Inc.)**

United States Congress acknowledged the need for such technologies in the Clean Air Act of that year. One provision of that Act required the installation of flue gas desulfurization (FGD) systems (referred to as scrubbers) at all new coal-fired plants. In the late 1980s and early 1990s, the U.S. Department of Energy (DOE) teamed up with state and industry organizations to test a number of technologies for reducing pollutants emitted from burning coal. Over twenty of these methods were successful in commercial applications.

The Clean Coal Power Initiative (CCPI) was established in 2002 as a ten-year program focusing on the development and testing of clean coal technologies to address the concerns of coal-burning emissions affecting public health and the environment. In early 2003, eight projects were chosen for testing. Five of these were either discontinued or withdrawn. Of the remaining three, one has been completed, and two are in the operational phase. In late 2004, four projects were chosen for the testing phase. With one project withdrawn, two of the projects are in the developmental phase and focus on integrated gasification

combined cycle (IGCC); a process in which coal is converted to a gas (termed syngas) that can be burned in a conventional power plant. After the conversion, the impurities can be removed from the gas in subsequent steps prior to combustion. One project is in the operational phase and is based on power plant optimization and control of multiple pollutants. As of 2010, two IGCC power plants are operational and generating power in the U.S., with more becoming operational by 2012. The approach is still hindered by its high cost, relative to other means of power generation. The third phase of testing is choosing projects focused on carbon capture and sequestration (CCS) or potential downstream uses of CO<sub>2</sub>. The ability of IGCC to capture CO<sub>2</sub> may make it an attractive option to reduce CO<sub>2</sub> emissions to the atmosphere.

Many different technologies have been tested on at least an experimental basis for the cleaning of coal. Some of these technologies are used on coal before it is even burned. Chemical, physical, and biological methods have all been developed for pre-combustion cleaning. For example, pyrite (FeS<sub>2</sub>) is often found in

conjunction with coal when it is mined. When the coal is burned, pyrite is also oxidized, releasing sulfur dioxide (SO<sub>2</sub>) to the atmosphere. Yet, pyrite can be removed from coal by rather simple, straightforward physical means because of differences in the densities of the two substances.

Biological methods for removing sulfur (S) from coal are also being explored. The bacterium *Thiobacillus ferrooxidans* has the ability to change the surface properties of pyrite particles, making it easier to separate them from the coal itself. The bacterium may also be able to extract sulfur that is chemically bound to carbon in the coal.

A number of technologies have been designed to modify existing power plants to reduce the release of pollutants produced during the combustion of coal. In an attempt to improve on traditional wet scrubbers, researchers are now exploring the use of dry injection as one of these technologies. In this approach, dry compounds of calcium (Ca), sodium (Na), or some other element are sprayed directly into the furnace or into the ducts downstream of the furnace. These compounds react with non-metallic oxide pollutants, such as sulfur dioxide and nitrogen dioxide (NO<sub>2</sub>), forming solids that can be removed from the system. A variety of technologies are being developed especially for the release of oxides of nitrogen. Since the amount of this pollutant formed is very much dependent on combustion temperature, methods of burning coal at lower temperatures are also being explored.

Some entirely new technologies are also being developed for installation in power plants. Fluidized bed combustion and improved coal pulverization are two of these. In the first of these processes, coal and limestone are injected into a stream of upward-flowing air, improving the degree of oxidation during combustion. The second process involves improving on a technique that has long been used in power plants, reducing coal to very fine particles before it is fed into the furnace.

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David E. Newton

## Clean Water Act (1972, 1977, 1987)

Federal involvement in protecting the nation's waters began with the Water Pollution Control Act of 1948, the first statute to provide state and local governments with the funding to address water pollution. During the 1950s and 1960s, awareness grew that more action was needed and federal funding to state and local governments was increased. In the Water Quality Act of 1965 water quality standards, to be developed by the newly created Federal Water Pollution Control Administration, became an important part of federal water pollution control efforts.

Despite these advances, it was not until the Water Pollution Control Amendments of 1972 that the federal government assumed the dominant role in defining and directing water pollution control programs. This law was the outcome of a battle between Congress and President Richard M. Nixon. In 1970, Nixon responded to public outcry over pollution problems by resurrecting the Refuse Act of 1899, which authorized the U.S. Army Corps of Engineers to issue discharge permits. Congress felt its prerogative to set national policy had been challenged. It debated for nearly eighteen months to resolve differences between the House and Senate versions of a new law, and on October 18, 1972, Congress overrode a presidential veto and passed the Water Pollution Control Amendments.

Section 101 of the new law set forth its fundamental goals and policies, which continue to this day "to restore and maintain the chemical, physical, and biological integrity of the Nation's waters." This section also set forth the national goal of eliminating discharges of pollution into navigable waters by 1985



The Milwaukee River, free of foam, flows over the Waubeka Dam. The Clean Water Act resulted in cutting the amount of phosphorus that enters Lake Michigan and Lake Superior by 85 percent. (TOM LYNN/Newscom.)

and an interim goal of achieving water quality levels to protect fish, shellfish, and wildlife. As national policy, the discharge of toxic pollutants in toxic amounts was now prohibited; federal financial assistance was to be given for constructing publicly owned waste treatment works; area-wide pollution control planning was to be instituted in states; research and development programs were to be established for technologies to eliminate pollution; and nonpoint source pollution—runoff from urban and rural areas—was to be controlled. Although the federal government set these goals, states were given the main responsibility for meeting them, and the goals were to be pursued through a permitting program in the new national pollutant discharge elimination system (NPDES).

Federal grants for constructing publicly owned treatment works (POTWs) had totalled \$1.25 billion in 1971, and they were increased dramatically by the new law. The act authorized five billion dollars in fiscal year 1973, six billion for fiscal year 1974, and seven billion for fiscal year 1975, all of which would be automatically available for use without requiring Congressional appropriation action each year. But along

with these funds, the Act conferred the responsibility to achieve a strict standard of secondary treatment by July 1, 1977. Secondary treatment of sewage consists of a biological process that relies on naturally occurring bacteria and other micro-organisms to break down organic material in sewage. The Environmental Protection Agency (EPA) was mandated to publish guidelines on secondary treatment within sixty days after passage of the law. POTWs also had to meet a July 1, 1983, deadline for a stricter level of treatment described in the legislation as “best practicable wastewater treatment.” In addition, pretreatment programs were to be established to control industrial discharges that would either harm the treatment system or, having passed through it, pollute receiving waters.

The act also gave polluting industries two new deadlines. By July 1, 1977, they were required to meet limits on the pollution in their discharged effluent using Best Practicable Technology (BPT), as defined by EPA. The conventional pollutants to be controlled included organic waste, sediment, acid, bacteria and viruses, nutrients, oil and grease, and heat. Stricter state water quality standards would also have to be met by that

date. The second deadline was July 1, 1983, when industrial dischargers had to install Best Available Control Technology (BAT), to advance the goal of eliminating all pollutant discharges by 1985. These BPT and BAT requirements were intended to be “technology forcing,” as envisioned by the Senate, which wanted the new water law to restore water quality and protect ecological systems.

On top of these requirements for conventional pollutants, the law mandated the EPA to publish a list of toxic pollutants, followed six months later by proposed effluent standards for each substance listed. The EPA could require zero discharge if that was deemed necessary. The zero discharge provisions were the focus of great controversy when Congress began oversight hearings to assess implementation of the law. Leaders in the House considered the goal a target and not a legally binding requirement. But Senate leaders argued that the goal was literal and that its purpose was to ensure rivers and streams ceased being regarded as components of the waste treatment process. In some cases, the EPA has relied on the Senate’s views in developing effluent limits, but the controversy over what zero discharge means continues to this day.

The law also established provisions authorizing the Army Corps of Engineers to issue permits for discharging dredge or fill material into navigable waters at specified disposal sites. In recent years this program, a key component of federal efforts to protect rapidly diminishing wetlands, has become one of the most explosive issues in the Clean Water Act. Farmers and developers are demanding that the federal government cease “taking” their private property through wetlands regulations, and recent sessions of Congress have been besieged with demands for revisions to this section of the law.

In 1977, Congress completed its first major revisions of the Water Pollution Control Amendments (which was renamed the “Clean Water Act”), responding to the fact that by July 1, 1977 only 30 percent of major municipalities were complying with secondary treatment requirements. Moreover, a National Commission on Water Quality had issued a report which recommended that zero discharge be redefined to stress conservation and reuse and that the 1983 BAT requirements be postponed for five to ten years. The 1977 Clean Water Act endorsed the goals of the 1972 law, but granted states broader authority to run their construction grants programs. The Act also provided deadline extensions and required EPA to expand the lists of pollutants it was to regulate.

In 1981, Congress found it necessary to change the construction grants program; thousands of projects had been started, with \$26.6 billion in federal funds, but only 2,223 projects worth \$2.8 billion had been

completed. The Construction Grant Amendments of 1981 restricted the types of projects that could use grant money and reduced the amount of time it took for an application to go through the grants program.

The Water Quality Act of 1987 phased out the grants program by fiscal year 1990, while phasing in a state revolving loan fund program through fiscal year 1994, and thereafter ending federal assistance for wastewater treatment. The 1987 act also laid greater emphasis on toxic substances; it required, for instance, that the EPA identify and set standards for toxic pollutants in sewage sludge, and it phased in requirements for stormwater permits. The 1987 law also established a new toxics program requiring states to identify “toxic hot spots”—waters that would not meet water quality standards even after technology controls have been established—and mandated additional controls for those bodies of water.

These mandates greatly increased the number of NPDES permits that the EPA and state governments issued, stretching budgets of both to the limit. Moreover, states have billions of dollars worth of wastewater treatment needs that remain unfunded, contributing to continued violations of water quality standards. The new permit requirements for stormwater, together with sewer overflow, sludge, and other permit requirements, as well as POTW construction needs, led to a growing demand for more state flexibility in implementing the clean water laws and for continued federal support for wastewater treatment. State and local governments insist that they cannot do everything the law requires; they argue that they must be allowed to assess and prioritize their particular problems.

Yet despite these demands for less prescriptive federal mandates, on May 15, 1991, a bipartisan group of senators introduced the Water Pollution Prevention and Control Act to expand the federal program. The proposal was eventually set aside after intense debate in both the House and Senate over controversial wetlands issues. Amendments to the Clean Water Act proposed in both 1994 and 1995 also failed to make it to a vote.

In 1998, President Clinton introduced a Clean Water Action Plan, which primarily focused on improving compliance, increasing funding, and accelerating completion dates on existing programs authorized under the Clean Water Act. The plan contained over 100 action items that involved interagency cooperation of EPA, U.S. Department of Agriculture (USDA), Army Corps of Engineers, Department of the Interior, Department of Energy, Tennessee Valley Authority, Department of Transportation, and the Department of Justice. Key goals were to control nonpoint pollution, provide financial incentives for conservation and stewardship of private lands, restore

wetlands, and expand the public's right to know on water pollution issues.

New rules were proposed in 1999 to strengthen the requirements for states to set limits for and monitor the Total Maximum Daily Load (TMDL) of pollution in their waterways. The TMDL rule has been controversial, primarily because states and local authorities lack the funds and resources to carry out this large-scale project. In addition, agricultural and forestry interests, who previously were not regulated under the Clean Water Act, would be affected by TMDL rules.

In May 2002 the EPA announced a new rule changing the definition of “fill material” under the Clean Water Act to allow dirt, rocks, and other displaced material from mountaintop removal (MTR) coal mining operations to be deposited into rivers as waste under permit from the Army Corps of Engineers, a practice that was previously unlawful under the Clean Water Act. Shortly thereafter, a group of congressional representatives introduced new legislation to overturn this rule, and a federal district court judge in West Virginia ruled that such amendments to the Clean Water Act could only be made by Congress, not by an EPA rule change. In 2009, the U.S. Court of Appeals for the Fourth Circuit upheld fill material permits in West Virginia. In response, the EPA, under U.S. President Barack Obama's administration, objected to additional fill material permits and requested that the Army Corps of Engineers require additional study or mitigation on such projects. On March 4, 2009, legislation was proposed in Congress that would redefine the term “fill material” to exclude MTR debris and prohibit valley fills. As of September 2010, the House of Representatives had not voted on the proposed changes.

As the Federal Water Pollution Control Act passed its thirtieth anniversary, the EPA state and federal regulators are increasingly recognizing the need for a more comprehensive approach to water pollution problems than the current system, which focuses predominantly on POTWs and industrial facilities. Nonpoint source pollution, caused when rain washes pollution from farmlands and urban areas, is the largest remaining source of water quality impairment, yet the problem has not received a fraction of the regulatory attention addressed to industrial and municipal discharges. Despite this fact, the EPA claims that the Clean Water Act is responsible for a one billion ton decrease in annual soilrunoff from farming, and an associated reduction in phosphorus and nitrogen levels in water sources. EPA also asserts that wetland loss, while still a problem, has slowed significantly—from 1972 levels of 460,000 acres per year to current losses of approximately 90,000 acres annually as of 2009. Over half of this current wetland loss

occurred in the eastern coastal United States from Louisiana to North Carolina. However, critics question these figures, citing abandoned mitigation projects and reclaimed wetlands that bear little resemblance to the habitat they are supposed to replicate.

*See also* Agricultural pollution; Environmental policy; Industrial waste treatment; Sewage treatment; Storm runoff; Urban runoff.

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### ORGANIZATIONS

- America's Clean Water Foundation, 750 First Street NE, Suite 1030, Washington, DC, USA, 20002, (202) 898-0908, (202) 898-0977, <http://yearofcleanwater.org>

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## Clear-cutting

Clear-cutting is the removal of all the trees from a section of forest. This forest management technique has been used in a variety of forests around the world. For many years, it was considered the most economical and environmentally sound way of harvesting timber. Since the 1960s and 1970s, the practice has been increasingly called into question as more has been learned about the ecological benefits of old growth timber especially in



**Clear-cutting along the Campbell River, British Columbia, Canada.** (© Mira / Alamy)

the Pacific Northwest of the United States and the global ecological value of tropical rain forests. As well, clear-cut land is much more susceptible to soil erosion than land where some trees are left, and whose roots provide some stability to the soil.

Foresters and loggers point out that there are practical economic and safety reasons for the practice of clear-cutting. For example, in the Pacific Northwest, these reasons revolve around the volume of wood that is present in the old growth forests. This volume can actually be an obstacle at times to harvesting, so that the most inexpensive way to remove the trees is to cut everything.

During the post-World War II housing boom in the 1950s, clear-cutting overtook selective cutting as the preferred method for harvesting in the Pacific Northwest. Since that time, worldwide demand for lumber has continued to rise. Practical arguments that the practice should continue despite its ecological implications are tied directly to the volume and character of the forest

itself. Wood in temperate climates decays slowly, resulting in a large number of fallen logs—making even walking difficult, much less dragging cut trees to areas where they can be hauled to mills. Tall trees with narrow branch structures produce a lot of stems in a small area, therefore, the total biomass (or total input of living matter) in these temperate forests is typically four times that of the densest tropical rain forest. Some redwood forest groves in California have been measured with twenty times the biomass of similar sites in the tropics. Those supporting the harvest of these trees and clear-cutting point out that if these trees are not used, it will put increased pressure on other timber producers throughout the world to supply this wood. This could have high environmental costs globally, since it could take 10–30 acres (4–12 ha) of taiga forest in northern Canada, Alaska, or Siberia to produce the wood obtainable from one acre in the state of Washington.

Clear-cutting makes harvesting these trees very lucrative. The number of trees and downed logs makes it difficult to cut only some of the trees, and falling trees can damage the survivors; in addition, they can be damaged when dragged across the ground to be loaded onto trucks. This is made more expensive and time consuming by working around the standing trees. In areas that have been selectively logged, the trees left standing are may be knocked down by winds or may drop branches, presenting a considerable safety risk to loggers working in the area.

Old growth forests leave so much woody debris and half-decayed logs on the ground that it can be difficult to walk through a harvested patch to plant seedlings. This is why an accepted part of the clear-cut practice in the past has been to burn the leftover slash, after which seedlings are planted. Brush that grows on the site is treated with herbicide to allow the seedlings time to grow to the point at which they can compete with other vegetation.

Supporters of clear-cutting contend that it has unique advantages: burning consumes fuel that would otherwise be available to feed forest fires; logging roads built to haul the trees out open the forests to recreational uses and provide firebreaks; clear-cutting leaves no snags or trees to interfere with reseeding the forest, and allows use of helicopters and planes to spray herbicides—the brush that grows up naturally in the sunlight and provides browse for big-game species such as deer and elk.

Detractors point to a number of very negative environmental and economic consequences that need to be considered: particularly where clear cuts have been done near urban areas, plumes of smoke produced by burning slash have polluted cities; animal browse is choked out after a few years by the new seedlings, creating a darkened forest floor that lacks almost any

vegetation or wildlife for at least three decades; herbicides applied to control vegetation contribute to the degradation of surface water quality; mining the forest causes declines in species diversity and loss of habitats (declaration of the northern spotted owl as an endangered species and the efforts to preserve its habitat is an example of the potential loss of diversity); new microclimates appear that promote less desirable species than the trees they replace; so much live and rotting wood is harvested or burned that the soil fertility is reduced, affecting the potential for future tree growth.

Critics also point out that erosion and flooding increases from clear-cut areas have significant economic impact downstream in the watersheds. Studies have shown that since the clear-cut practice increased following World War II, landslides have increased to six times the previous rate. This has resulted in increased sediment delivery to rivers and streams where it has a detrimental impact on the stream fishery.

Loss of critical habitat for endangered species such as the spotted owl and the impact of sediment on the salmon fishery have resulted in government efforts to set aside old growth forest wilderness to preserve the unique forest ecosystem. The practice of clear-cutting with its pluses and minuses, however, continues not only in the Pacific Northwest, but in other forests in the United States and in other areas of the world.

### Clear-cutting and rain forests

Another area where clear-cutting has become the focus of ecological debate is in the tropical rain forests. Many of the same economic pressures that make clear-cutting a lucrative practice in the United States make it equally attractive in the rain forest, but there are significant environmental consequences.

Nearly one-half of the earth's rain forests were lumbered by the 1990s. Besides the demand for building material or fuel, these forests are also subject to significant clearing to later be worked to produce food in new agricultural areas and to facilitate the exploration for oil and minerals. If this loss continues at present estimated rates, the rain forests will be totally harvested by the year 2040.

Beginning in the 1990s, agencies and governments began to monitor the pace of lumbering in rain forests, especially the Amazon, using orbiting satellites. The optics of the satellite-borne cameras had improved so much that accurate determinations of the area of clear-cut could be determined. The graphic images of forest decimation have helped mobilize public opinion against the wholesale clear-cutting of the Amazon rain forest, which helped spur the Brazilian government to take action.

As of 2011, the pace of the loss of the Amazon rain forest has slowed. Still, since the 1970s, an estimated 230,000 square miles of forest has been lost.

Rain forest activists continually work to remind the world of the importance of the forests. Locally, for instance, the presence or absence of the rain forest can change the climate and the local water budget. For example, times of drought are more severe and when the rains come, flooding is increased. As well, rain forests can have a major impact on the global climate. When they are cut and the slash burned, significant amounts of carbon dioxide are released into the atmosphere, which may contribute to the overall greenhouse effect and general warming of the earth.

The biological diversity of these forest ecosystems is vast. Rain forests contain about one-half the known plant and animal species in the world and very few of these species have ever been studied by scientists for their potential benefits. (More than 7,000 medicines have already been derived from tropical plants. It is uncertain how many more such uses are yet to be found.)

Most rain forests occur in less-developed countries where it is difficult to meet the expanding needs of rapidly increasing populations for food and shelter. They need the economic benefits that can be derived from the rain forest for their very survival. Any attempt at stopping the clear-cutting practice must provide for their needs to be successful. Until a better practice is developed, clear-cutting will remain an environmental issue on a global scale for the next several decades.

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James L. Anderson

## Clements, Frederic E.

1874–1945

American ecologist

For Frederic Clements, trained in botany as a plant physiologist, ecology became a leader in the new science of plant ecology.

Clements was born in Lincoln, Nebraska, and earned all of his degrees in botany from the University of Nebraska, attaining his Ph.D. under Charles Bessey in 1898. As a student, he participated in Bessey's famous Botanical Seminar and helped carry out an ambitious survey of the vegetation of Nebraska, publishing the results—co-authored with a classmate—in an internationally recognized volume titled *The Phytogeography of Nebraska*, out in print the same year (1898) that he received his doctorate. He then accepted a faculty position at the university in Lincoln.

Clements married Edith Schwartz in 1899, described (in *Ecology* in 1945) as a wife and helpmate, who became his life-long field assistant and also a collaborator on research and books on flowers, particularly those of the Rocky Mountains. Clements rose through the ranks as a teacher and researcher at Nebraska and then, in 1907, he was appointed as Professor and Head of the department of botany at the University of Minnesota. He stayed there until 1917, when he moved to the Carnegie Institution in Washington, D.C., where he focused full-time on research for the rest of his career. Retired from Carnegie in 1941, he continued a year-round workload in research, spending summers on Pikes Peak at an alpine laboratory and winters in Santa Barbara at a coastal laboratory. He died in Santa Barbara on July 26, 1945.

Publication of *Research Methods in Ecology* in 1905 marked his promotion to full professor at the University of Nebraska, but more importantly it marked his turn from taxonomic and phytogeographical work to ecology. It has been called “the earliest how-to book in ecology,” and “a manifesto for the emerging field.” More broadly, Arthur Tansley, a leading plant ecologist of the time in Great Britain, though critical of some of Clements' views, described him as by far the greatest individual creator of the modern science of vegetation. Henry Gleason, though an early and severe critic of Clements' ideas, also recognized him as an original ecologist, one inspired by Europeans but developing his ideas entirely de novo from his own mind.

Clements deplored the chaotic state of ecology and assumed the task of remedying it. He did bring rigor, standardization, and an early quantitative approach to research processes in plant ecology, especially through his development of sampling procedures at the turn of the century.

Clements is often described as rigid and dogmatic, which seems at odds with his importance in emphasizing change in natural systems; that emphasis on what he called dynamic ecology became his research trademark.

Clements also stressed the importance of process and function. Clements anticipated ecosystem ecology through his concern for changes through time of plant associations, in correspondence to changes in the physical sites where the communities were found. His rudimentary conception of such systems carried his ecological questions beyond the traditional confines of plant ecology to what McIntosh described as the larger system transcending plants, or even living organisms to link to the abiotic environment, especially climate. McIntosh also credits him with a definition of paleoecology that antedated major developments that would studies of vegetation and pollen analysis (or palynology). Clements authored a book, *Methods and Principles of Paleo-Ecology* (1924), appropriate to the new topic. Clements even played a role, if not a major one relative to figures like Forbes, toward understanding what ecologists came to call eutrophic changes in lakes, an area of major current consideration in the environmental science of water bodies.

One of the stimulants for botanical, and then plant ecological, research for Clements and other students of Bessey's at Nebraska was their location in a major agricultural area dependent on plants for crops. Problems of agriculture remained one of Clements' interests for all of his life. During his long career, Clements remained involved in various ways of applying ecology to problems of land use such as grazing and soil erosion, even the planning of shelter belts. In large part because of the early work by Bessey and Clements and their colleagues and collaborators, the Midwest became a center for the development of grassland ecology and range management. Although considered misguided by some, pleas for wilderness set-asides still argue today that land-use policy should leave the climax undisturbed or preserved areas returned to a perceived climax condition. Clements believed that homesteaders in Nebraska were wrong to destroy the sod covering the sandhills of Nebraska and that the prairies should be grazed and not tilled. Farmers objected to the implications of climax theory, because they feared threats to their livelihoods from calls for cautious use of marginal lands. Even some scientific attempts to discredit the idea of the climax were based on the desire to undermine its importance to the conservation movement.

Clements even anticipated a century of sporadic connections between biological ecology and human ecology in the social sciences, arguing that sociology represented the ecology of a particular species of animal and, therefore, had a similar close association with plant ecology. That connection was lost in professional ecology in the early 1940s and has still not been reestablished, even at the beginning of the twenty-first

century. Though Clements' name is still recognized today as one of ecology's foundation thinkers, and though he gained considerable respect and influence among the ecologists of his day, his work from the beginning was controversial. One reason was that ecologists were skeptical that approaches from plant physiology could be transposed directly to ecology. Another reason, and the idea with which Clements is still associated three-quarters of a century later, was his conviction that the successional process was the same as the development of an organism and that the communities emerging as the end-points of succession were in fact super-organisms. Clements believed that succession was a dynamic process of progressive development of the plant formation, that it was controlled absolutely by climate, developed in an absolutely predictable way (and in the same way in all similar climates) and then was absolutely stable for long periods of time.

Clements' fondness for words, and especially for coining his own nonce terms for about every conceivable nuance of his work also got him in trouble with his colleagues in ecology. This fondness for coining new words to describe his work, such as *therium* to describe a successional stage caused by animals, added to the fuel for critics who wished to find fault with the substance of his ideas. Unfortunately, Clements also gave further cause to those, then and now, wishing to discount all of his ideas by retaining a belief in Lamarckian evolution, and by doing experiments during retirement at his alpine research station, in which he even claimed he been able to convert several Linnean species into each other, histologically as well as morphologically.

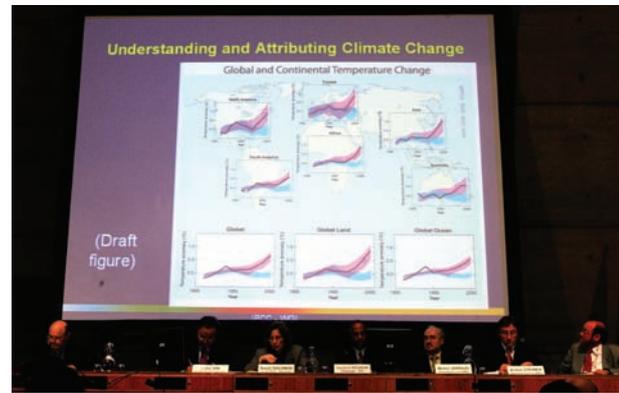
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Gerald L. Young

## Climate

Climate is the general, cumulative pattern of regional or global weather patterns. The most apparent aspects of climate are trends in air temperature and humidity, wind, and precipitation. These observable phenomena occur as the atmosphere surrounding the earth continually redistributes, via wind and evaporating and condensing water vapor, the energy that the earth receives from the sun.



A view of the Intergovernmental Panel on Climate Change final conference. (AP Photo/Remy de la Mauviniere.)

Although the climate remains fairly stable on the human time scale of decades or centuries, it fluctuates continuously over thousands or millions of years. A great number of variables simultaneously act and react to create stability or fluctuation in this very complex system. Some of these variables are atmospheric composition, rates of solar energy input, albedo (the earth's reflectivity), and terrestrial geography. Extensive research helps explain and predict the behavior of individual climate variables, but the way these variables control and respond to each other remains poorly understood. Climate behavior is often described as chaos, consisting of changes and movements so complex that patterns cannot be perceived in them, even though patterns may exist. Nevertheless, studies indicate that human activity is disturbing larger climate trends, notably by causing global warming. This prospect raises serious concern because rapid anthropogenic (human-caused) climate change could severely stress ecosystems and species around the world.

### Solar energy and climate

Solar energy is the driving force in the earth's climate. Incoming radiation from the sun warms the atmosphere and raises air temperature, warms the earth's surface, and evaporates water, which then becomes humidity, rain, and snow. The earth's surface reflects or re-emits energy back into the atmosphere, further warming the air. Warming air expands and rises, creating air movement patterns in the atmosphere that reach over several degrees of latitude. In these convection cells, low pressure zones develop under rising air, and high pressure zones develop where that air returns downward toward the earth's surface. Such differences in atmospheric pressure force air masses to move, from high to low

pressure regions. Movement of air masses creates wind on the earth's surface. When these air masses carry evaporated water, they may create precipitation when they move to cooler regions.

The sun's energy comes to the earth as a collection of long and short radiation wavelengths. The shortest wavelengths are microwaves and infrared waves. Infrared radiation is felt as heat. A small range of medium wavelength radiation makes up the spectrum of visible light. Longer wavelengths include ultraviolet (UV) radiation and radio waves. These longer wavelengths cannot be sensed, but UV radiation can cause damage as organic tissues (such as skin) absorb them. Overexposure to UV rays can cause sunburn and can produce genetic damage that, in some, can prelude the development of skin cancer. The difference in wavelengths is important because long and short wavelengths react differently when they encounter the earth and its atmosphere.

Solar energy approaching the earth encounters filters, reflectors, and absorbers in the form of atmospheric gases, clouds, and the earth's surface. Atmospheric gases filter incoming energy, selectively blocking some wavelengths and allowing other wavelengths to pass through. Blocked wavelengths are either absorbed and heat the air or scattered and reflected back into space. Clouds, composed of atmospheric water vapor, likewise reflect or absorb energy but allow some wavelengths to pass through. Some energy reaching the earth's surface is reflected; a great deal is absorbed in heating the ground, evaporating water, and conducting photosynthesis. Most energy that the Earth absorbs is re-emitted in the form of short, infrared wavelengths, which are sensed as heat. Some of this heat energy circulates in the atmosphere for a time, but eventually it all escapes. If this heat did not escape, the earth would overheat and become uninhabitable.

### Variables in the climate system

Climate responds to conditions of the earth's energy filters, reflectors, and absorbers. As long as the atmosphere's filtering effect remains constant, the earth's reflective and absorptive capacities do not change, and the amount of incoming energy does not vary, climate conditions should stay constant. Usually some or all of these elements fluctuate. The earth's reflectivity changes as the shapes, surface features, and locations of continents change. The atmosphere's composition changes from time to time, so that different wavelengths are reflected or pass through. The amount of energy the earth receives also shifts over time.

During the course of a decade the rate of solar energy input varies by a few watts per square meter. Changes in energy input can be much greater over several millennia. Energy intensity also varies with the shape of the earth's orbit around the sun. In a period of 100 million years the earth's elliptical orbit becomes longer and narrower, bringing the earth closer to the sun at certain times of year, then rounder again, putting the earth at a more uniform distance from the sun. When the earth receives relatively intense energy, heating and evaporation increase. Extreme heating can set up exaggerated convection currents in the atmosphere, with extreme low pressure areas receiving intensified rains and high pressure areas experiencing extreme drought.

The earth's albedo depends upon surface conditions. Extensive dark forests absorb a great deal of energy in heating, evaporation of water, and photosynthesis. Light, colored surfaces, such as desert or snow, tend to absorb less energy and reflect more. If highly reflective continents are large or are located near the equator, where energy input is great, then they could reflect a great deal of energy back into the atmosphere and contribute to atmospheric heating. However, if those continents are heavily vegetated, their reflective capacity might be lowered.

Other features of terrestrial geography that can influence climate conditions are mountains and glaciers. Both rise and fall over time and can be high enough to interrupt wind and precipitation patterns. For instance, the growth of the Rocky Mountains probably disturbed the path of upper atmospheric winds known as the jet stream. In southern Asia, the Himalayas block humid air masses flowing from the south. Intense precipitation results on the windward side of these mountains, while the downwind side remains one of the driest areas on Earth.

Atmospheric composition is a climate variable that began to receive increased attention during the 1980s. Each type of gas molecule in the atmosphere absorbs a particular range of energy wavelengths. As the mix of gases changes, the range of wavelengths passing through the filter shifts. For instance, the gas ozone ( $O_3$ ) selectively blocks long wave UV radiation. A drop in upper atmospheric ozone levels discovered in the late 1980s caused alarm because harmful UV rays were no longer being intercepted as effectively before they reach the earth's surface. Water vapor and solid particulates (dust) in the upper atmosphere also block incoming energy. Atmospheric dust associated with ancient meteor impacts is widely thought responsible for climatic cooling that may have killed the earth's dinosaurs 65 million years ago. Climate

cooling could occur today if bombs from a nuclear war threw high levels of dust into the atmosphere. With enough radiation blockage, global temperatures could fall by several degrees, a scenario known as nuclear winter.

A human impact on climate that is more likely than nuclear winter is global warming caused by increased levels of carbon dioxide (CO<sub>2</sub>) and other greenhouse gases in the upper atmosphere. Most solar energy enters the atmospheric system as long wavelengths and is reflected back into space in the form of short wavelength (heat) energy. Carbon dioxide blocks these short, warm wavelengths as they leave the earth's surface. Unable to escape, this heat energy remains in the atmosphere and keeps the earth warm enough for life to continue. The burning of fossil fuels and biomass (e.g., slash-and-burn agriculture) have raised atmospheric carbon dioxide levels. Rising CO<sub>2</sub> levels trap excessive amounts of heat and could raise global air temperatures to dangerous levels. This scenario is commonly known as the greenhouse effect. Extreme amounts of trapped heat have the potential to disturb precipitation patterns and overheat ecosystems, killing plant and animal species. Polar ice caps could melt, raising global ocean levels and threatening human settlements.

National Oceanic and Atmospheric Administration (NOAA) and National Aeronautics and Space Administration (NASA) data has shown that the average temperature of the Earth has risen by 1.2 to 1.4° Fahrenheit (-17.1– -17°C) in the past one hundred years. Since 1850, the eight warmest years recorded have occurred since 1998, with 2005 being the warmest year. The factors affecting climate change may also alter weather patterns, inducing droughts and floods, and contribute to sea level changes. Climate models based on accumulating amounts of greenhouse gases predict that temperatures at the end of the twenty-first century may be 3.2 to 7.2° Fahrenheit (-16 to -13.8°C) above 1990 temperatures.

Increased anthropogenic production of other gases such as methane (CH<sub>4</sub>) also contributes to atmospheric warming, but carbon dioxide has been a focus of concern because it is emitted in much greater volume and persists in the atmosphere for a longer time.

Researchers are investigating how seriously human activity may be affecting the large and turbulent patterns of climate. Sometimes a very subtle event can have magnified repercussions in larger wind, precipitation, and pressure systems, disturbing major climate patterns

for decades. In many cases the climate appears to have a self-stabilizing capacity—an ability to initiate internal reactions to a destabilizing event that return it to equilibrium. For example, extreme greenhouse heating should cause increased evaporation of water. Resulting clouds could block incoming sunlight, producing an overall cooling effect to counteract heating.

The earth's climate is so complex that human alterations to the atmosphere (such as those caused by carbon dioxide emission) amount to an experiment having an unknown—and possibly life-threatening—outcome.

As of September 2010, the Intergovernmental Panel on Climate Change (IPCC) is progressing toward the issuing of the fifth assessment report on global climate change. Their 2007 report was a landmark document in which the weight of evidence conclusively established the role of human activity in global warming and global climate change.

*See also* Atmosphere; Greenhouse effect; Photosynthesis.

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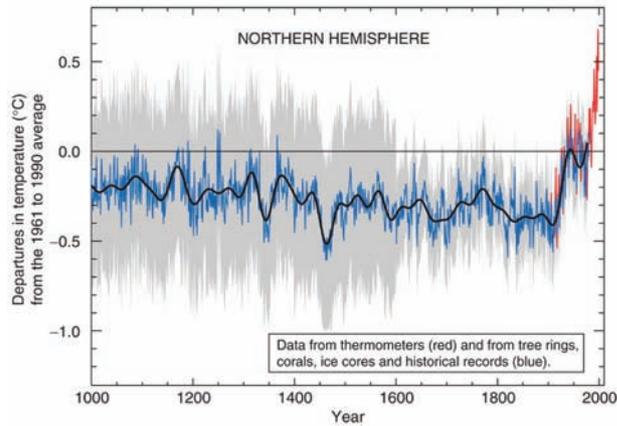
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Mary Ann Cunningham

## Climate change controversies

At a press conference at Paris in February, 2007, Intergovernmental Panel on Climate Change (IPCC) scientists offered landmark consensus that strong



Graph showing Michael Mann's tree ring research. (Center for Disease Control)

scientific evidence supports the assertion that “most of the observed increase” in global warming is due to observed increases in greenhouse gases contributed by human (anthropogenic) activity.

The IPCC was formed in 1988 as a joint effort of the World Meteorological Organization (WMO) and the United Nations Environment Programme (UNEP) to study and report on potential global climate change. The IPCC working group does not conduct original research and does not gather the data that form the basis of its reports. Instead, the group studies scientific data published in peer reviewed scientific journals (publications that allow leading scientists in various areas of science to scrutinize the work of their colleagues) and other technical sources in order to attempt to formulate an objective consensus on the science related to climate change and the impact of human activity on climate change.

Working Group I of the IPCC approved and released portions of a report titled, *Climate Change 2007: The Physical Science Basis, Summary for Policymakers*. It was the group's contribution to the broader *Fourth Assessment Report of the Intergovernmental Panel on Climate Change*. The report findings instantly fueled global debate on how to respond to the report's ominous predictions regarding climate change.

The IPCC panel described progress in understanding both the natural and human impacts on climate change, including data related to observed climate change, the methods involved in the scientific study of climate processes, and estimates of projected future climate change. The report expanded upon prior IPCC reports and incorporated new data and findings discovered since the last IPCC report in 2001.

The IPCC working group members also assert that there is a much higher confidence in their predictions regarding future climate change. Because of the increasing focus on the scientific study of climate change, the latest report findings are based upon much more comprehensive data also subjected to much more sophisticated analyses than was possible with prior reports. Accordingly, the 2007 report's findings are issued with increased certainty regarding assessments and predictions.

For example, older IPCC reports stated that satellite measurements of global warming did not agree with surface measurements. The 2007 report, however, asserts that this discrepancy has been reconciled and that “warming of the climate system is unequivocal.”

Prior IPCC reports asserted that human activity was only “likely” to be driving global warming. New report says there is “very high confidence” (more than 90 percent probability) that human activity is driving global warming.

### Revised assessments and predictions

New data gathered since the last report show that losses from the ice sheets of Greenland and Antarctica have “*very likely* contributed to sea level rise.” The new report also contains (for the first time) detailed regional predictions, such as that the western United States will be 9° Fahrenheit (5°C) warmer by 2100.

While the 2001 IPCC report did not address the question of how sensitive the climate is to the amount of CO<sup>2</sup> in the atmosphere: the 2007 report does assert that for each doubling of CO<sup>2</sup>, global average temperature will warm 5.4° Fahrenheit (3°C).

The latest report also revises prior predictions of the rise in global average temperature by 2100 from 2.5–10.4° Fahrenheit (1.4–5.8°C) to 2–11.5° Fahrenheit (1.1–6.4°C).

The 2001 IPCC report predicted 3.5–34.6 inches (9–88 cm) rise in sea levels by 2100, but the current report predicts a rise of 7.1–23.2 inches (18–59 cm) in sea levels.

Although predictions of the maximum possible increase in sea levels have actually lowered, scientists and politicians agree that the increase in the minimum expected rise is much more dire because even at the minimum levels there will be profound social and economic ramifications for global humanity.

### Early challenges

As dire as the latest sea level rise prediction might be, some scientists challenged the estimates as too optimistic.

For example, the IPCC working group did not consider data gathered after Dec. 2005, but according reports published in the scientific journal *nature*, “Greenland is losing ice at an ever-increasing rate.” Scientists argue that this 2006 data, and subsequent studies, further raise estimates of expected increases on sea levels. In addition, a paper published in *Science* the day before the IPCC’s press conference reported that sea levels have risen since 2001 at the maximum or uppermost rate predicted in the 2001 IPCC report.

### Scientific and political controversy

Despite the high scientific confidence in the conclusions drawn in IPCC reports, errors in data and methodology were ultimately discovered, further fueling scientific and political controversy regarding global warming and climate change. Scientific controversy has existed because Earth’s climate system is complex, which leads to uncertainties about how it has behaved in the distant past, how it works today, and how it will respond in the future. Public controversy often thrives on the existence of scientific uncertainty, but does not require it. Controversy persists even on points where scientific agreement has been reached. Also, even persons or nations who are convinced that climate change is real and human-caused can, and do, disagree about who should make what changes in order to minimize climate change’s future impact and who should pay for them.

There are, then, three basic kinds of climate-change controversy: (1) real scientific disagreement over the details of climate changes past, present, and future; (2) denial of the existence or human origin of present-day climate change; and (3) disagreement over what actions to take against climate change, when to take them, how much they will cost, and how to pay for them.

### Background

Many assertions about climate change do not correspond to the best and most complete scientific studies. A number of these arguments are stated below, each with one of the brief counter-arguments normally offered in reply by climate experts.

(1) *The world isn’t getting warmer at all.* Millions of direct temperature measurements and satellite observations agree that the world is indeed warming.

(2) *The world did get warmer in the 1990s, but since 1998 it has been getting cooler.* Global warming must by its nature have random ups and downs. The year 1998 was actually an aberration, much hotter than those the years just before or after it. According to

the U.S. National Oceanic and Atmospheric Administration, since 2001 the world has experienced the warmest decade on record. Although it is almost certain that global surface temperatures will continue to climb in the near future. Future spikes and dips in the temperature record are inevitable.

(3) *Climate change is natural.* Natural climate change does occur, but evidence from many sources shows that humans have almost certainly caused the global warming that has been observed in recent years.

(4) *The Sun, cosmic rays, or both are causing global warming, not human activities.* Scientists have carefully examined the possibility that greater energy output from the Sun, or reduced cloud cover on Earth caused by a decrease in cosmic rays from deep space, may have been responsible for recent warming. However, both these effects are much too weak to account for the warming that has occurred. Only mathematical models that take human activities into account have been able to explain the warming that has already been measured. Human beings are changing the global climate.

(5) *Computer models are unreliable—garbage in, garbage out. Plus, they don’t even take water vapor into account.* The mathematical models used to simulate past and future climate change are based on physics, are improved by constant testing against physical measurements, and always take water vapor into account. Models created by many independent groups of scientists agree that global warming will continue.

(6) *Climate change isn’t anything to worry about anyway. Increased carbon dioxide in the atmosphere will make plants grow faster, soaking up carbon dioxide and keeping warming from getting out of hand.* Scientists gathered under the auspices of the United Nations as the Intergovernmental Panel on Climate Change, have described in detail, mostly recently in 2007, how climate change threatens human beings and is likely to cause the extinction of thousands of plant and animal species over the next century or so. The overall effects of climate change are already negative and are likely to become worse. Also, studies have so far been unable to detect any carbon-dioxide fertilization effect on plants in open-air ecosystems. This effect would, in any case, be too weak to change the overall climate picture.

(7) *Climate predictions must be less reliable than often errant weather prediction.* Weather and climate are different, differing in both duration and extent. Weather is the hour-to-hour, day-to-day behavior of the atmosphere in a given place; climate is weather averaged over years. Long-term, average weather is

more predictable than hourly or daily weather. For example, it is safe to predict in January that average temperatures will be warmer in July (in the Northern Hemisphere), even though the weather on any single day in July cannot be predicted. Climate, like the seasons, can be predicted much more confidently than the weather.

In addition to the scientific arguments, the sources of climate controversy are complex and there are economic costs to both combating climate change that must be weighed against economic losses caused by climate change.

### Public impact

Efforts to confuse the public about climate controversies have been partly successful. In February 2008, the outgoing chair of the American Association for the Advancement of Science, John Holdren, told an interviewer for the *Guardian*, a British newspaper, that “deniers of the reality of the climate change problem have been more effective in the United States than they have been in Europe . . . Climate change deniers . . . have received attention in this country out of all proportion to their numbers, their qualifications, or the quality of their arguments. And it has slowed down the whole discussion in the United States.”

As of November 2010, the United States had not passed any national legislation to control overall emissions of greenhouse gases. Nor had it yet agreed to any international treaty, such as the Kyoto Protocol, that would set mandatory targets for emissions reductions. The United States and China are the two largest greenhouse-gas emitters, each contributing about 20 percent of the global total.

### Climategate

In November 2009, selected excerpts of private emails, data, and computer programs belonging to climate scientists working at the University of East Anglia’s (UEA) Climatic Research Unit (CRU), located in Norwich, England, were published online. The CRU is regarded as one of the world’s most influential climate science research institutions. The material, made available by hackers, sparked a controversy widely dubbed “Climategate.”

In a frenzy of online activity prior to the December 2009 global climate summit in Copenhagen, Denmark, critics of global warming science published excerpts of the CRU material that they claimed supported their assertions that climate scientists manipulated or deleted data in order to support evidence of global warming. The assertions made it into media reports, at times

drawing more media attention than the efforts in Copenhagen to reach a new international treaty intended to limit greenhouse gas emissions and thereby mitigate the most dire predictions regarding climate change.

While many openly disavowed some of the views and hash language contained in some CRU emails (some of which was aimed at climate change skeptics) the vast majority of climate scientists and international agencies stood by the work of the CRU and its major conclusions of climate science as expressed by the IPCC reports, that “warming of global climate is now unequivocal, that is, essentially certain” and that the warming is most likely driven by human (anthropogenic) activity since the industrial revolution. CRU scientists strongly asserted that the allegations against them were false and that CRU data and conclusions had been subject to expert review for decades. CRU defenders argued that while minor errors might be found to exist, such errors are a normal part of the self-correcting scientific method and did not impact the conclusions drawn from CRU data and work, especially work used to prepare IPCC reports.

In response to complaints and concerns surrounding transparency and failure to release data, CRU scientists pointed out that about 95 percent of the raw station data used by CRU is also accessible via other sources.

While expressing varying degrees of dissatisfaction with the content of some of the CRU emails and calling for stricter oversight of climate research, the overwhelming majority of climate scientists, the IPCC, and all major national academies and institutes of science continue to endorse the conclusions of the data and the work produced at CRU.

A March 2010 report by the British House of Commons Science and Technology Committee expressed concern about the CRU scientist conduct but confidence in their conclusions. The committee specifically expressed confidence that words and phrases seized upon by critics in the hacked emails, such as “trick” or “hiding the decline,” were “colloquial terms used in private e-mails and the balance of evidence is that they were not part of a systematic attempt to mislead.” No data was hidden and the words “trick” and the phrase “hide the decline” merely related to attempts (including attempts by other scientists) to construct graphs and other statistical illustrations that would represent the most reliable data. The report further asserted that the word trick was “richly misinterpreted and quoted out of context.” The Committee went on to support the conclusion that there

was an attempt by climate scientists to subvert the scientific peer review process.

Later in 2010, a review group consisting of members of the academies of science from the United States, the Netherlands, the United Kingdom, and nearly 100 other countries ultimately concluded that the IPCC reports were sound and that the fundamental conclusions drawn from undisputed evidence concerning climate change were not altered by the minor errors in IPCC reports. However, to avoid future errors, the group advised that the IPCC should make predictions only when it has the highest levels of confidence in evidence and should avoid direct climate policy advocacy.

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## Climax (ecological)

Referring to a community of plants and animals that is relatively stable in its species composition and biomass, ecological climax is the apparent termination of directional succession—the replacement of one community by another. That the termination is only apparent means that the climax may be altered by periodic disturbances such as drought or stochastic disturbances such as volcanic eruptions. It may also change extremely slowly owing to the gradual immigration and emigration—at widely differing rates—of individual species, for instance following the retreat of ice sheets during the postglacial period. Often the climax is a shifting mosaic of different stages of succession in a more or less steady state overall, as in many climax communities that are subject to frequent fires. Species that occur in climax communities are mostly good competitors and tolerant of the effects (e.g., shade, root competition) of the species around them, in contrast to the opportunistic colonists of early successional communities. The latter are often particularly adapted for wide dispersal and abundant reproduction, leading to success in newly opened habitats where competition is not severe.

In a climax community, productivity is in approximate balance with decomposition. Biogeochemical cycling of inorganic nutrients is also in balance, so that the stock of nitrogen, phosphorus, calcium, etc., is in a more or less steady state.

Frederic E. Clements was the person largely responsible in the early twentieth century for developing the

theory of the climax community. Clements regarded climate as the predominant determining factor, though he did recognize that other factors—for instance, fire—could prevent the establishment of the theoretical “climatic climax.” Later ecologists placed more stress on interactions among several determining factors, including climate, soil parent material, topography, fire, and the flora and fauna able to colonize a given site.

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Eville Gorham

## Clod

A compact, coherent mass of soil varying in size from 0.39–9.75 inches (10–250 mm). Clods are produced by operations like plowing, cultivation, and digging, especially on soils with atypical moisture levels. They are usually formed by compression, or by breaking off from a larger unit. Tractor attachments like disks, spike-tooth harrows, and rollers are used to break and pulverize clods during seedbed preparation.

## Cloning

A clone is an organism or group of organisms or cells descended from a single ancestor and having genetic material (DNA, deoxyribonucleic acid) identical to that of the ancestor. Cloning hit the news headlines in 1997 when scientists in Scotland announced they had successfully cloned a sheep, named Dolly, in 1996. Although several other animal species had been cloned in the previous twenty years, it was Dolly that caught the public’s attention. Suddenly, the possibility that humans might soon be cloned jumped from the pages of science fiction stories into the mainstream press. Dolly was the first adult mammal ever cloned.

As of 2010, no human being has yet been cloned but ethical debates over cloning are closely related to debates about other forms of biotechnology that involve the manipulation of DNA.



**Dolly, the first genetically reproduced sheep.** (Photograph by Jeff Mitchell/Archive Photos)

A clone is a single cell, a group of cells, or an organism produced in a laboratory without sexual reproduction. In effect, the clone is an exact genetic copy of the original source, much like identical twins. There are two types of cloning. Blastomere separation, also called “twinning,” named after the naturally occurring process that creates identical twins, involves splitting a developing embryo soon after the egg is fertilized by sperm. The result is identical twins with DNA from both parents. The second cloning type, called nuclear transfer, is what scientists used to create Dolly. In cloning Dolly, scientists transferred genetic material from an adult female sheep to an egg in which the nucleus containing its genetic material had been removed.

Simple methods of cloning plants, such as grafting and stem cutting, have been used for more than 2,000 years. The modern era of laboratory cloning began in 1958 when the English-American plant physiologist Frederick C. Steward cloned carrot plants from mature single cells placed in a nutrient culture containing

hormones, chemicals that play various and significant roles in the body.

The first cloning of animal cells occurred in 1964. In the first step of the experiment, biologist John B. Gurdon destroyed with ultraviolet light the genetic information stored in a group of unfertilized toad eggs. He then removed the nuclei (the part of an animal cell that contains the genes) from intestinal cells of toad tadpoles and injected them into those eggs. When the eggs were incubated (placed in an environment that promotes growth and development), Gurdon found that 1–2 percent of the eggs developed into fertile, adult toads.

The first successful cloning of mammals was achieved nearly twenty years later. Scientists in both Switzerland and the United States successfully cloned mice using a method similar to that of Gurdon. However, the Swiss and American methods required one extra step. After the nuclei were taken from the embryos of one type of mouse, they were transferred into the embryos of another type of mouse. The second type of mouse served as a substitute mother that went through the birthing process to create the cloned mice. The cloning of cattle livestock was achieved in 1988 when embryos from cows were transplanted to unfertilized cow eggs whose own nuclei had been removed.

Since Dolly, the pace and scope of cloning mammals has greatly intensified. In February 2002, scientists at Texas A&M University announced they had cloned a cat, the first cloning of a common domestic pet. Named “CC” (for carbon copy or copycat), the cat is an exact genetic duplicate of a two-year-old calico cat. Scientists cloned CC in December 2001 using the nuclear transfer method. In April 2002, a team of French scientists announced they had cloned rabbits using the nuclear transfer process. Out of hundreds of embryos used in the experiment, six rabbits were produced, four that developed normally and two that died. Two of the cloned rabbits mated naturally and produced separate litters of seven and eight babies

The first human embryos were cloned in 1993 using the blastomere technique that placed individual embryonic cells (blastomeres) in a nutrient culture where the cells then divided into forty-eight new embryos. These experiments were conducted as part of some studies on in vitro (out of the body) fertilization aimed at developing fertilized eggs in test tubes that could then be implanted into the wombs of women having difficulty becoming pregnant. However, these fertilized eggs did not develop to a stage that was suitable for transplantation into a human uterus.

The cloning of cells promises to produce many benefits in farming, medicine, and basic research. In

farming, the goal is to clone plants that contain specific traits that make them superior to naturally occurring plants. For example, field tests have been conducted using clones of plants whose genes have been altered in the laboratory by genetic engineering to produce resistance to insects, viruses, and bacteria. New strains of plants resulting from the cloning of specific traits have led to fruits and vegetables with improved nutritional qualities, longer shelf lives, and new strains of plants that can grow in poor soil or even underwater.

A cloning technique known as twinning could induce livestock to give birth to twins or even triplets, thus reducing the amount of feed needed to produce meat. Cloning also holds promise for saving certain rare breeds of animals from extinction, such as the giant panda.

In medicine, gene cloning has been used to produce vaccines and hormones. Cloning techniques have already led to the inexpensive production of the hormone insulin for treating diabetes and of growth hormones for children who do not produce enough hormones for normal growth. The use of monoclonal antibodies in disease treatment and research involves combining two different kinds of cells (such as mouse and human cancer cells) to produce large quantities of specific antibodies. These antibodies are produced by the immune system to fight off disease. When injected into the blood stream, the cloned antibodies seek out and attack disease-causing cells anywhere in the body.

Despite the benefits of cloning and its many promising avenues of research, certain moral, religious, and ethical questions concerning the possible abuse of cloning have been raised. At the heart of these questions is the idea of humans tampering with life in a way that could harm society, either morally or in a real physical sense. Some people object to cloning because it allows scientists to “act like God” in manipulating living organisms.

The cloning of Dolly and the fact that some scientists are attempting to clone humans raised the debate over this practice to an entirely new level. A person could choose to make two or ten or 100 copies of himself or herself by the same techniques used with Dolly. This realization has stirred an active debate about the morality of cloning humans. Some people see benefits from the practice, such as providing a way for parents to produce a new child to replace one dying of a terminal disease. Other people worry about humans taking into their own hands the future of the human race.

Another controversial aspect of cloning deals not with the future but the past. Could Abraham Lincoln or Albert Einstein be recreated using DNA from a

bone, hair, or tissue sample? If so, perplexing questions arise about whether this is morally or ethically acceptable? While it might ultimately be possible to create genetic duplicates, the clone would not have the same personality as the original Lincoln. This is because Lincoln, like all people, was greatly shaped from birth by his environment and personal experiences in addition to his genetic coding. And although CC, the cloned calico cat, is a genetic duplicate of her mother, she nevertheless has a different color pattern of fur than her mother. This is because environmental factors strongly influenced her development in the womb.

Since the movie “Jurassic Park” was released in 1993, there has been considerable public discussion about the possibility of cloning dinosaurs and other prehistoric or extinct species. In 1999, the Australian Museum in Sydney, Australia, announced scientists were attempting to clone a thylacine (a meat-eating marsupial related to kangaroos and opossums). The species has been extinct since 1932 but the museum has the body of a baby thylacine that has been preserved for 136 years. The problem is that today’s cloning techniques are possible only with living tissue. The project was abandoned in 2005 after the DNA proved too degraded to construct a DNA library.

*See also* Genetic engineering.

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Ken R. Wells

## Cloud chemistry

One of the exciting new fields of chemical research in the past half century involves chemical changes that take place in the atmosphere. Scientists have learned that a number of reactions are taking place in the atmosphere at all times. For example, oxygen (O<sub>2</sub>) molecules in the upper stratosphere absorb solar energy and are converted to ozone (O<sub>3</sub>). This ozone forms a layer that protects life on Earth by filtering out the harmful ultraviolet radiation in sunlight. Chlorofluorocarbons and other chlorinated solvents (e.g., carbon tetrachloride and methyl chloroform) generated by human activities also trigger chemical reactions in the upper atmosphere including the break up of ozone into the two-atom form of oxygen. This reaction depletes the earth’s protective ozone layer.

Clouds are often an important locus for atmospheric chemical reactions. They provide an abundant supply of water molecules that act as the solvent required for many reactions. An example is the reaction between carbon dioxide and water, resulting in the formation of carbonic acid. The abundance of both carbon dioxide and water in the atmosphere means that natural rain will frequently be somewhat acidic. Although conditions vary from time to time and place to place, the pH of natural, unpolluted rain is normally about 5.6 (the pH of pure water is 7.0). Other naturally occurring components of the atmosphere also react with water in clouds. In regions of volcanic activity, for example, sulfur dioxide released by outgassing and eruptions is oxidized to sulfur trioxide, which then reacts with water to form sulfuric acid or it dissolves and oxidizes within the droplet.

The water of which clouds are composed also acts as solvent for a number of other chemical species blown into the atmosphere from the earth’s surface. Among the most common ions found in solution in clouds are sodium (Na<sup>+</sup>), magnesium (Mg<sup>2+</sup>), chloride (Cl<sup>-</sup>), and sulfate (SO<sub>4</sub><sup>2-</sup>) from sea spray; potassium (K<sup>+</sup>), calcium (Ca<sup>2+</sup>), and carbonate (CO<sub>3</sub><sup>2-</sup>) from soil dust; and ammonium (NH<sub>4</sub><sup>+</sup>) from organic decay.

The nature of cloud chemistry is often changed as a result of human activities. Perhaps the best known and most thoroughly studied example of this involves acid rain. When fossil fuels are burned, sulfur dioxide and nitrogen oxides (among other products) are released into the atmosphere. Prevailing winds often carry these products for hundreds or thousands of miles from their original source. Once deposited in the atmosphere, these oxides tend to be absorbed by

water molecules and undergo a series of reactions by which they are converted to acids. Once formed in clouds by these reactions, sulfuric and nitric acids remain in solution in water droplets and are carried to earth as fog, rain, snow, or other forms of precipitation. In recent years it has become clear that clouds have a complex radical and photo-chemistry. The production and loss of hydrogen peroxide, and the related processes with the HO<sub>2</sub> and OH radical, seem to lie at the heart of this chemistry in rain droplets. It can lead to the production of oxidized compounds such as formic acid or the nitration of organic solutes.

*See also* Atmosphere.

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David E. Newton

## Club of Rome

In April of 1968, thirty people, including scientists, educators, economists, humanists, industrialists, and government officials, met at the Academia dei Lincei in Rome. The meeting was called by Dr. Aurelio Peccei, an Italian industrialist and economist. The purpose of this meeting was to discuss “the present and future predicament of man.” The “Club of Rome” was born from this meeting as an informal organization that has been described as an “invisible college.” Its purpose, as described by Donella Meadows, is to foster understanding of the varied but interdependent components—economic, political, natural and social—that make up the global system in which we all live; to bring that new understanding to the attention of policy-makers and the public worldwide; and in this way to promote new policy initiatives and action. The original list of members is listed in the preface to Meadows’s book entitled *The Limits to Growth*, in which the basic findings of the group are eloquently explained.

This text is a modern-day equivalent to the hypothesis of Thomas Malthus, who postulated that since increases in food supply cannot keep pace with geometric increases in human population, there would therefore be a time of famine with a stabilization of the human population. This eighteenth century prediction has, to a great extent, been delayed by the “green revolution” in which agricultural production has been radically increased by the use of fertilizers and development of special genetic strains of agricultural products. The high cost of agricultural chemicals, however, which are generally tied to the price of oil, has severely limited the capability of developing nations to purchase them.

The development of the Club of Rome’s studies is most potently presented by Meadows in the form of graphs which plot on a time axis the supply of arable land needed at several production levels (present, double present, quadruple present, etc.) to feed the world’s population based upon growth models.

She states that 7.9 billion acres (3.2 billion ha) of land are potentially suitable for agriculture on the earth; half of that land, the richest and most accessible half, is under cultivation today. She further states that the remaining land will require immense capital inputs to reach, clear, irrigate, or fertilize before it is ready to produce food. Such a conversion would likely produce severe consequences for the environment.

The Club of Rome’s studies were not limited to food supply but also considered industrial output per capita, pollution per capita, and general resources available per capita. The key issue is that the denominator, per capita, keeps increasing with time, requiring ever more frugal and careful use of the resources; however, no matter how carefully the resources are husbanded, the inevitable result of uncontrolled population growth is a catastrophe which can only be delayed. Therefore stabilizing the rate of world population growth must be a continuing priority.

As a follow-up to the Club of Rome’s original meeting, a global model for growth was developed by Jay Forrester of the Massachusetts Institute of Technology. This model is capable of update with insertion of information on population, agricultural production, natural resources, industrial production, and pollution. Meadows’s report *The Limits to Growth* represents a readable summary of the results of this modeling. The Club of Rome continues to run meetings and act as a think tank. It has drawn criticism for being too elitist and over pessimistic in its conclusions about the future. It celebrated its fortieth anniversary at a conference on June 15, 2008.

A new branch of the Club of Rome is the tt30, a group of people between the ages of 25 and 35 who form a “think tank.” This group is primarily concerned with problems of today, future issues, and how to deal with them.

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Malcolm T. Hepworth

## C:N ratio

Organic materials are composed of a mixture of carbohydrates, lignins, tannins, fats, oils, waxes, resins, proteins, minerals, and other assorted compounds. With the exception of the mineral fraction, the organic compounds are composed of varying ratios of carbon and nitrogen. This is commonly abbreviated to the C:N ratio. Carbohydrates are composed of carbon, hydrogen, and oxygen and are relatively easily decomposed to carbon dioxide and water, plus a small amount of other by-products. Protein-like materials are the prime source of nitrogen compounds as well as sources of carbon, hydrogen, and oxygen and are important to the development of the C:N ratio and the eventual decomposition rate of the organic materials.

The aerobic heterotrophic bacteria are primarily responsible for the decay of the large amount of organic compounds generated on the earth’s surface. These organisms typically have a C:N ratio of about 8:1. When organic residues are attacked by the bacteria under appropriate habitat conditions, some of the carbon and nitrogen are assimilated into the new and rapidly increasing microbial population, and copious amounts of carbon dioxide are released to the atmosphere. The numbers of bacteria are highly controlled by the C:N ratio of the organic substrate.

As a rule, when organic residues of less than 30:1 ratio are added to a soil, there is very little noticeable decrease in the amount of mineral nitrogen available for higher plant forms. However as the C:N ratio begins to rise to values of greater than 30:1, there may be competition for the mineral nitrogen forms. Bacteria are lower in the food chain/web and become the immediate beneficiary of available sources of mineral nitrogen, while the higher species may suffer a lack of mineral nitrogen. Ultimately, when the carbon source is depleted, the organic nitrogen is released from the decaying microbes as mineral nitrogen.

The variation in the carbon content of organic material is reflected in the constituency of the compound. Carbohydrates usually contain less than 45 percent carbon, while lignin may contain more than 60 percent carbon. The C:N ratio of plant material may well reflect the kind and stage of growth of the plant. A young plant typically contains more carbohydrates and less lignin, while an older plant of the same species will contain more lignin and less carbohydrate. Ligneous tissue such as found in trees may have a C:N ratio of up to 1000:1.

The relative importance of the C:N ratio addresses two concerns: one, the rate of the organic matter decay to the low C:N ratio of humus, (approximately 10:1), and secondly the immediate availability of mineral nitrogen ( $\text{NH}_4^+$ ) to meet the demand of higher plant needs. The addition of mineral nitrogen to organic residues is a common practice to enhance the rate of decay and to reduce the potential for nitrogen deficiency developing in higher plants where copious amounts of organic residue which has a C:N ratio of greater than 30:1 have been added to the soil.

Composting of organic residues permits the breakdown of the residues to occur without competition the of higher plants for the mineral nitrogen and also reduces the C:N ratio of the resulting mass to a C:N value of less

than 20:1. When this material is added to a soil, there is little concern about the potential for nitrogen competition between the micro-organisms and the higher plants.

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Royce Lambert

## Coal

Consisting of altered remains of plants, coal is a widely used fossil fuel. Generally, the older the coal, the higher the carbon content and heating value. Anthracite coal ranks highest in carbon content, then bituminous coal, subbituminous coal, and lignite (as determined by the American Society for Testing Materials). Over 80 percent of the world's vast reserves occur in the former Soviet Union, the United States, and China. Though globally abundant, it is associated with many environmental problems, including acid-drainage, degraded land, sulfur oxide emissions, acid rain, and heavy carbon dioxide emissions. However, clean coal-burning technologies, including Liquefied or gasified forms, are now available.

### Coal Production From 1950–2000

Date	Anthracite	Bituminous	Lignite	Subbituminous
1950	44.08	516.31	0.00	0.00
1960	18.82	415.51	0.00	0.00
1970	9.73	578.47	8.04	16.42
1980	6.06	628.77	47.16	147.72
1990	3.51	693.21	88.09	244.27
2000	4.51	548.47	88.74	433.78

**Coal production.** (Reproduced by permission of Gale, a part of Cengage Learning)

Anthracite, or “hard” coal, differs from the less altered bituminous coal by having more than 86 percent carbon and less than 14 percent volatile matter. It was formerly the fuel of choice for heat purposes because of high Btu (British Thermal Unit) values, minimally 14,500, and low ash content. In the United States, production has dropped from 100 million tons in 1917 to about seven million tons as anthracite has been replaced by oil, natural gas, and electric heat. Predominantly in eastern Pennsylvania’s Ridge and Valley Province, anthracite seams have a wavelike pattern, complicating extraction. High water tables and low demand are the main impediments to expansion.

Bituminous coal, or “soft” coal, is much more abundant and easier to mine than anthracite but has lower carbon content and Btu values and higher volatility. Historically dominant, it energized the Industrial Revolution, fueling steam engines in factories, locomotives, and ships. Major coal regions became steel centers because two tons of coal were needed to produce each ton of iron ore. This is the only coal suitable for making coke, needed in iron smelting processes. Major deposits include the Appalachian Mountains and the Central Plains from Indiana through Oklahoma.

Subbituminous coal ranges in Btu values from 10,500 (11,500 if agglomerating) down to 8,300. Huge deposits exist in Wyoming, Montana, and North Dakota with seams 70 ft (21.4 m) thick. Though distant from major utility markets, it is used extensively for electrical power generation and is preferred because of its abundance, low sulfur content, and good grinding qualities. The latter makes it more useful than the higher grade, but harder, bituminous coal because modern plants spray the coal into combustion chambers in powder form. Demand for this coal skyrocketed following the 1973 OPEC oil embargo and subsequent restrictions on natural gas use in new plants.

Lignite, or “brown” coal, is the most abundant, youngest, and least mature of the coals, with some plant texture still visible. Its Btu values generally range below 8,300. Although over 70 percent of the deposits are found in North America, mainly in the Rocky Mountain region, there is little production there. It is used extensively in many eastern European countries for heating and steam production. Russian scientists have successfully burned lignite *in situ*, tapping the resultant coal gas for industrial heating. If concerns over global warming are satisfied, future liquefying and gasifying technologies could make lignite a prized resource.



Greenpeace activists pose with huge letters forming the slogan “Climate change starts here” on the edge of a coal mine to commemorate the second anniversary of the validity of the Kyoto protocol. (AP Photo/Petr David Josek)

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Nathan H. Meleen

Coalition for Environmentally Responsible Economies (CERES) see **Valdez Principles**.

## Coal bed methane

Coal bed methane (CBM) is a natural gas contained in coal seams. Methane gas is formed during coalification, the transformation of plant material into coal. The gas released during mining is called coal mine methane (CMM). Methane gas is also located in aquifers and in wells penetrating or overlaying CBM deposits.

Methane is a potent greenhouse gas and anthropogenic contributions accelerate global warming.

Liz Swain

## Coal gasification

The term coal gasification refers to any process by which coal is converted into some gaseous form that can then be burned as a fuel. Coal gasification technology was relatively well known before World War II, but it fell out of favor after the war because of the low cost of oil and natural gas. Beginning in the 1970s, utilities showed renewed interest in coal gasification technologies as a way of meeting more stringent environmental requirements.

Traditionally, the use of fossil fuels in power plants and industrial processes has been fairly straight-forward. The fuel—coal, oil, or natural gas—is burned in a furnace and the heat produced is used to run a turbine or operate some industrial process. The problem is that such direct use of fuels results in the massive release of oxides of carbon, sulfur, and nitrogen, of unburned



utilities and industrial plants. A third possible product is substitute natural gas, consisting essentially of methane. Substitute natural gas is generally used as just that, a substitute for natural gas.

Coal gasification makes possible the removal of pollutants before the gaseous products are burned by a utility or industrial plant. Any ash produced during gasification, for example, remains within the boiler, where it settles to the bottom of the tank, is collected, and then removed. Sulfur dioxide and carbon dioxide are both removed in a much smaller, less expensive version of the scrubbers used in smokestacks.

Perhaps the most successful test of coal gasification technology has been going on at the Cool Water Integrated Gasification Combined Cycle plant near Barstow, California. The plant has been operating since June 1984 and is now capable of generating 100 megawatts of electricity. The four basic elements of the plant are a gasifier in which combustible gases are produced, a particulate and sulfur removal system, a combustion turbine in which the synthetic gas is burned, and a steam turbine run by heat from the combustion turbine and the gasifier.

The Cool Water plant has been an unqualified environmental success. It has easily met federal and state standards for effluent sulfur dioxide, oxides of nitrogen, and particulates, and its solid wastes have been found to be non-hazardous by the California Department of Health.

Waste products from the removal system also have commercial value. Sulfur obtained from the reduction of sulfur dioxide is 99.9 percent pure and has been selling for about \$100 a ton. Studies are also being made to determine the possible use of slag for road construction and other building purposes.

Coal gasification appears to be a promising energy technology for the twenty-first century. One of the intriguing possibilities is to use sewage or hazardous wastes in the primary boiler. In the latter case, hazardous elements could be fixed in the slag drawn off from the bottom of the boiler, preventing their contaminating the final gaseous product.

The major impediment in the introduction of coal gasification technologies on a widespread basis is their cost. At the present time, a plant operated with synthetic gas from a coal gasification unit is about three times as expensive as a comparable plant using natural gas. Further research is obviously needed to make this new technology economically competitive with more traditional technologies.

Another problem is that most coal gasification technologies require very large quantities of water. This can be an especially difficult problem since gasification plants should be built near mines to reduce shipping costs. But most mines are located in Western states, where water supplies are usually very limited.

Finally, coal gasification is an inherently less efficient process than the direct combustion of coal. In most approaches, between 30 percent and 40 percent of the heat energy stored in coal is lost during its conversion to synthetic gas. Such conversions would probably be considered totally unacceptable except for the favorable environmental trade-offs they provide.

One possible solution to the problem described above is to carry out the gasification process directly in underground coal mines. In this process, coal would be loosened by explosives and then burned directly in the mine. The low-grade synthetic gas produced by this method could then be piped out of the ground, upgraded and used as a fuel. Underground gasification is an attractive alternative for many reasons. By some estimates, up to 80 percent of all coal reserves cannot be recovered through conventional mining techniques. They are either too deep underground or dispersed too thinly in the earth. The development of methods for gasification in coal seams would, therefore, greatly increase the amount of this fossil fuel available for our use.

A great deal of research is now being done to make coal gasification a more efficient process. A promising breakthrough involves the use of potassium hydroxide or potassium carbonate as a catalyst in the primary reactor vessel. The presence of a catalyst reduces the temperature at which gasification occurs and reduces, therefore, the cost of the operation.

Governments in the United States and Europe, energy research institutes, and major energy corporations are actively involved in research on coal gasification technologies. The Electric Power Research Institute, Institute of Gas Technology, U.S. Department of Energy, Texaco, Shell, Westinghouse, and Exxon are all studying modifications in the basic coal gasification system to find ways of using a wide range of raw materials, to improve efficiency at various stages in the gasification process, and, in general, to reduce the cost of plant construction.

*See also* Air pollution control; Air quality; Alternative energy sources; Coal washing; Flue-gas scrubbing; Renewable energy; Strip mining; Surface mining.

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David E. Newton

Coal mining see **Black lung disease; Strip mining; Surface mining.**

## Coal washing

Coal that comes from a mine is a complex mixture of materials with a large variety of physical properties. In addition to the coal itself, pieces of rock, sand, and various minerals are contained in the mixture. Thus, before coal can be sold to consumers, it must be cleaned. The cleaning process consists of a number of steps that results in a product that is specifically suited to the needs of particular consumers. Among the earliest of these steps is crushing and sizing, two processes that reduce the coal to a form required by the consumer.

The next step in coal preparation is a washing or cleaning step. This step is necessary not only to meet consumer requirements, but also to ensure that its combustion will conform to environmental standards.

Coal washing is accomplished by one of two major processes, by *density separation* or by *froth flotation*. Both processes depend on the fact that the particles of which a coal sample are made have different densities. When water, for example, is added to the sample, particles sink to various depths depending on their densities. The various components of the sample can thus be separated from each other.

In some cases, a liquid other than water may be used to achieve this separation. In a heavy medium bath, for example, a mineral such as magnetite or feldspar in finely divided form may be mixed with water, forming a liquid medium whose density is significantly greater than that of pure water.

A number of devices and systems have been developed for extracting the various components of coal once they have been separated with a water or heavy medium treatment. One of the oldest of these devices is the *jig*. In a jig, the column of water is maintained in a constant up-and-down movement by means of a flow of air. Clean coal particles are carried to the top of the jig by this motion, while heavier refuse particles sink to the bottom.

Another method of extraction, the *cyclone*, consists of a tank in which the working fluid (water or a heavy medium) is kept in a constant circular motion. The tank is constructed so that lighter clean coal particles are thrown out of one side, while heavier refuse particles are ejected through the bottom.

*Shaking tables* are another extraction method. As the table shakes back and forth, particles are separated by size, producing clean coal at one end and waste products at the other.

In cylindrical separators, a coal mixture is fed into a spinning column of air that throws the heavier waste particles outward. They coat the inner wall of the cylinder and fall to the bottom, where they are drawn off. The clean coal particles remain in the center of the air column and are drawn off at the top of the cylinder.

Froth flotation processes depend on the production of tiny air bubbles to which coal particles adhere. The amount of absorption onto a bubble depends not only on a particle's density, but also on certain surface characteristics. Separation of clean coal from waste materials can be achieved in froth flotation by varying factors, such as pH of the solution, time of treatment, particle size and shape, rate of aeration, solution density, and bubble size.

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David E. Newton

## Coase theorem

An economic theorem used in discussions of external costs in environment-related situations. The standard welfare economic view states that in order to make the market efficient, external costs—such as pollution produced by a company in making a product—should be internalized by the company in the form of taxes or fees for producing the pollution. Coase theorem, in contrast, states that the responsibility for the pollution should fall on both the producer and recipient of the pollution. For example, people who are harmed by the pollution can pay companies not to pollute, thereby protecting themselves from any potential harm.

Ronald Coase, the economist who proposed the theorem, further states that government should intervene when the bargaining process or transaction costs between the two parties is high. The government's role, therefore, is not to address external costs that harm bystanders but to help individuals organize for their protection.

## Coastal Society, The

The Coastal Society (TCS), founded in 1975, is an international, nonprofit organization which serves as a forum for individuals concerned with problems related to coastal areas. Its members, drawn from university settings, government, and private industry, agree that the conservation of coastal resources demands serious attention and high priority.

TCS has four main goals: (1) to foster cooperation and communication among agencies, groups, and private citizens; (2) to promote conservation and intelligent use of coastal resources; (3) to strengthen the education and appreciation of coastal resources; and (4) to help government, industry, and individuals successfully balance development and protection along the world's coastlines. Through these goals, TCS hopes to educate the public and private sectors on the importance of effective coastal management programs and clear policy and law regarding the coasts.

Since its inception, TCS has sponsored numerous conferences and workshops. Individuals from various disciplines are invited to discuss different coastal problems. Past conferences have covered such topics as "Energy Across the Coastal Zone," "Resource Allocation Issues in the Coastal Environment," "The Present and Future of Coasts," and "Gambling with

the Shore." Workshops are sponsored in conjunction with government agencies, universities, professional groups, and private organizations. Conference proceedings are subsequently published. TCS also publishes a quarterly magazine, *TCS Bulletin*, which features articles and news covering TCS affairs and the broader spectrum of coastal issues.

TCS representatives present congressional testimony on coastal management, conservation, and water quality. Recently the organization drafted a policy statement and it plans to take public positions on proposed policies affecting coastal issues.

### Resources

#### ORGANIZATIONS

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Cathy M. Falk

## Coastal Zone Management Act (1972)

The Coastal Zone Management Act (CZMA) of 1972 established a federal program to help states in planning and managing the development and protection of coastal areas through the creation of a Coastal Zone Management Program (CZMP). The CZMA is primarily a planning act, rather than an environmental protection or regulatory act. Under its provisions, states can receive grants from the federal government to develop and implement coastal zone programs as long as the programs meet with federal approval. State participation in the program is voluntary, and the authority is focused in state governments. In 2002, 99.9% of the national shoreline and coastal waters were managed by state CZMPs.

In the 1960s, public concern began to focus on dredging and filling, industrial siting, offshore oil development, and second home developments in the coastal zone. The coastal zone law was developed in the context of increased development of marine and coastal areas, need for more coordinated and consistent governmental efforts, an increase in general environmental consciousness and public recreation demands, and a focus on land-use control nationally. In 1969, a report by the Commission on Marine Sciences, Engineering, and Resources (the Stratton Commission) recommended a federal grant program to the states to help them deal with coastal zone management. The Commission found

that coastal areas were of prime national interest, but development was taking place without proper consideration of environmental and resource values.

During congressional debate over coastal zone legislation, support came primarily from marine scientists and affected state government officials. The opposition emanated from development and real estate interests and industry, who were also concerned with national land use bills. The major difference between House and Senate versions of the legislation that passed was which department would administer the program. At the executive level there was no debate: the Office of Coastal Zone Management (now the Office of Ocean and Coastal Resource Management, or OCRM), part of the National Ocean Service of NOAA, was placed in charge of the program. But Congressional opinion varied about the administrative oversight of the Act. The House favored the U.S. Department of the Interior (DOI); the Senate, the National Oceanic and Atmospheric Administration (NOAA), part of the Department of Commerce (DOC). The Senate position was adopted in conference.

The congressional committees and executive branch agencies involved in coastal zone management also greatly varied. The Senate Commerce Committee was selected to have jurisdiction over the legislation. In 2010, the Senate Committee on Environment and Public Works exercised jurisdiction over the CZMA. The House originally designated the Merchant Marine and Fisheries Committee as its legislative arm, but it was dissolved in the mid-1990s. In 2010, the House Committee on Resources had jurisdiction over the CZMA.

The CZMA declared that “there is a national interest in the effective management, beneficial use, protection, and development of the coastal zone.” The purpose of the law is to further the “wise use of land and water resources for the coastal zone giving full consideration to ecological, cultural, historic and aesthetic values as well as to needs for economic development.” The program is primarily a grant program, and the original 1972 Act authorized the spending of \$186 million through 1977.

Under CZMA, the Secretary of Commerce was authorized to make grants to the states with coastal areas, including the Great Lakes, to help them develop the coastal zone management programs required by federal standards. The grants would pay for up to two-thirds of a state’s program and could be received for no more than three years. In addition to these planning grants, the federal government could also make

grants to the states for administering approved coastal zone plans. Again, the grants could not exceed two-thirds of the cost of the state program. With federal approval, the states could forward federal grant money to local governments or regional entities to carry out the act.

The federal government also has oversight responsibilities, to make sure that the states are following the approved plan and administering it properly. The key components of a state plan are to: (1) identify the boundaries of the coastal zone; (2) define the permissible uses in the coastal zone that have a significant effect; (3) inventory and designate areas of particular concern; (4) develop guidelines to prioritize use in particular areas; (5) develop a process for protection of beaches and public access to them; (6) develop a process for energy facility siting; and (7) develop a process to control shoreline erosion. The states have discretion in these stages. For instance, some states have opted for coastal zones very close to the water, others have drawn boundaries further inland. The states determine what uses are to be allowed in coastal zones. Developments in the coastal area must demonstrate coastal dependence.

Coastal zone management plans deal primarily with private lands, though the management of federal lands and federal activities within the coastal zone is required by the consistency provision of the CZMA which requires state approval and must be consistent with state legislation. Indeed, this was intended as a major incentive for states to participate in the process. Although federal agencies with management responsibility in coastal zones have input into the plans, this state-federal coordination proved to be a problem in the 1980s and 1990s, especially regarding offshore oil development, due to differing interpretations of the consistency section of the CZMA.

At first, states were slow to develop plans and have them approved by federal authorities. This was due primarily to the political complexity of the interests involved in the process. The first three states to have their coastal zone management plans approved were California, Oregon, and Washington, which had their final plans approved by 1978. Both California and Washington had passed state legislation on coastal zone management prior to the federal law, California by referendum in 1972 and Washington in 1971. The California program is the most ambitious and comprehensive in the country. Its 1972 act established six regional coastal commissions with permit authority and a state coastal zone agency, which coordinated the program and oversaw the development of a state coastal plan. The California legislature passed a

permanent coastal zone act in 1976 based on this plan. The permanent program stemmed from local plans reviewed by the regional commissions and state agency. Any development altering density or intensity of land use requires a permit from the local government, and sensitive coastal resource areas receive additional protection. As of 2009, of the thirty-five eligible states and territories, thirty-four had approved coastal zone plans, with Illinois choosing not to participate in the program.

Three major issues arose during the state planning processes. Identifying areas of crucial environmental concern was a controversy that pitted environmentalists against developers in many states. In general, developers have proved more successful than environmentalists. A second issue is general development. States that have the most advanced coastal programs, such as California, use a permit system for development within the coastal zone. Environmental concerns and cumulative effects are often considered in these permit decisions. These permit programs often lead developers to alter plans before or during the application process. Such programs have generally served to improve development in coastal zones, and to protect these areas from major abuses.

The final issue, the siting of large scale facilities, especially energy facilities, has proven to be continually controversial as states and localities seek control over siting through their coastal zone plans, while energy companies appeal to the federal government regarding the national need for such facilities. In a number of court cases, the courts ruled that the states did have the power to block energy projects that were not consistent with their approved coastal management plans. This controversy spilled over into offshore oil development in waters in the outer continental shelf (OCS), which were under federal jurisdiction. These waters were often included in state coastal zone plans, many of which sought to prevent offshore oil development. In this case, the courts found in the 1984 ruling (*Secretary of the Interior vs. California*) that such development could proceed over state objections.

Major amendments to the CZMA were passed in 1976, 1980, 1990, 1996, 1998, and 2004. In 1976, the Coastal Energy Impact Fund was created to sponsor grants and loans to state and local governments for managing the problems of energy development. Other changes included an increase in the federal funding level from two-thirds to 80 percent of planning and administration, an increase in planning grant eligibility from three to four years, and the addition of planning requirements for energy facilities, shoreline erosion, and beach access.

The 1980 amendments re-authorized the program through 1985 and established new grant programs for revitalizing urban waterfronts and helping coastal cities deal with the effects of energy developments. The amendments also expanded the policies and objectives of the CZMA to include the protection of natural resources, the encouragement of states to protect coastal resources of national significance, and the reduction of state-federal conflicts in coastal zone policy.

Amendments to the CZMA in 1990 were included in the budget reconciliation bill. Most importantly, the amendments overturned the 1984 decision of *Secretary of the Interior vs. California*, giving states an increased voice regarding federal actions off their coasts. The law, which was strongly opposed by the Departments of Defense and Interior, gives the states the power to try to block or change federal actions affecting the coastal zones if these actions are inconsistent with adopted plans. The amendments also initiated a nonpoint source coastal water pollution grant and planning program, repealed the coastal energy impact program, and reauthorized the CZMA through 1995.

In June 2001, a federal district court judge ruled that the Department of the Interior (DOI) must ensure that any oil and gas leases it grants on the outer continental shelf off the coast of California be consistent with the State of California Coastal Management Program (CCMP). The decision requires the Minerals Management Service of the DOI to provide proof that 36 federally-owned oil and gas drilling leases comply with CCMP guidelines. The case is the first to uphold state rights in federal oil leasing activities granted in the 1990 CZMA amendments.

The 1996 reauthorization of the CZMA extended the Act through September 30, 1999. However, several environmental issues—including debate over funding for nonpoint pollution programs and lobbying by the oil and gas industry to give the states less control over federal projects such as offshore drilling leases—have delayed its further reauthorization. The continuous resistance by industry to curtail the rights of states or the rights of the Federal government to restrict oil and gas exploration and expansion has been in the forefront of the battle to reauthorize the CZMA. New amendments and even new legislation have been proposed that limit states from interfering in industry decisions in certain areas or limit the Federal government in others. It is clear from this contradictory legislation, that industry wants the consistency provision to only work when it is in the industry's interests. The 1998 amendments to the CZMA, the Harmful Algal Bloom and Hypoxia Research and

Control Act, established a task force to prevent and control environmentally and economically devastating algal blooms and hypoxia (lack of oxygen).

*See also* Environmental law; Environmental policy; International Joint Commission; Marine pollution; National lakeshore; Water pollution.

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## Co-composting

As a form of waste management, composting is the process whereby organic waste matter is micro-biologically degraded under aerobic conditions to achieve significant volume reduction while also producing a stable, usable end product. Co-composting refers to composting two or more waste types in the same vessel or process, thus providing cost and space savings. The most common type of co-composting practiced by counties and townships in the United States involves mixing sewage sludge and municipal solid waste to speed the process and increase the usefulness of the end product. The processing and ultimate use or disposal of composting end products are regulated by federal and state environmental agencies.

## Coevolution

Species are said to “coevolve” when their respective levels of fitness depend not only on their own genetic structure and adaptations but also the development of another species as well. The gene pool of one species creates selection pressure for another species. Although the changes are generally reciprocal, they may also be unilateral and still be considered coevolutionary.

The process of coevolution arises from interactions that establish structure in communities. A variety of different types of interactions can occur—symbiotic, where neither member suffers, or parasitic, predatory, and competitive relationships, where one member of a species pair suffers.

Coevolution can result from mutually positive selection pressure. For example, certain plants have in an evolutionary sense created positive situations for insects by providing valuable food sources for them. In return the insects provide a means to distribute pollen that is more efficient than the distribution of pollen by wind. Unfortunately, the plant and the insect species could evolve into a position of total dependency through increased specialization, thus enhancing the risk of extinction if either species declines.

Coevolution can also arise from negative pressures. Prey species will continually adapt defensive or evasive systems to avoid predation. Predators respond by developing mechanisms to surmount these defenses. However, these species pairs are “familiar” with one another, and neither of the strategies is perfect. Some prey are always more vulnerable, and some predators are less efficient due to the nature of variability in natural populations. Therefore the likelihood of extinction from this association is limited.

Several factors influence the likelihood and strength of coevolved relationships. Coevolution is more likely to take place in pairs of species where high levels of co-occurrence are present. It is also common in cases where selective pressure is strong, influencing important functions such as reproduction or mortality. The type of relationship—be it mutualistic, predator-prey, or competitor—also influences coevolution. Species that have intimate relationships, such as that of a specialist predator or a host-specific parasite, interact actively and thus are more likely to influence each other’s selection. Species that do not directly encounter each other but interact through competition for resources are less likely candidates to coevolve, but the strength of the competition may influence the situation.

The result of coevolved relationships is structure in communities. Coevolution and symbiosis create

fairly distinct relationships in communities, relationships that are mirrored in distribution, community energetics, and resistance to disturbances in species. Coevolution allows for recurring groupings of plant and animal communities.

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## Cogeneration

Cogeneration is the multiple use of energy from a single primary source. In burning coal, oil, natural gas, or biomass, it is possible to produce two usable forms of energy at once, such as heat and electricity. By harnessing heat or exhaust from boilers and furnaces, for example, cogeneration systems can utilize energy that is usually wasted and so operate at a higher efficiency.

The second law of thermodynamics states that in every energy conversion there is a loss of useful energy in the form of heat. It is estimated that nearly half of the energy used in the United States is wasted as heat. Energy conversion efficiencies vary in range but most systems fall below 50 percent: A gasoline internal combustion engine is 10–15 percent efficient, and a steam turbine operates at about 40 percent efficiency. A simple example of cogeneration would be the heater in an automobile, which utilizes the heat of the engine to warm the interior of the car.

Cogeneration is classified into a topping cycle or a bottoming cycle. In the topping cycle, power is generated first, then the spent heat is utilized. In the bottoming cycle, thermal energy is used first, then power is generated from the remaining heat. The basic component of a cogeneration system is the prime mover, such as an internal combustion engine or steam boiler combination, whose function is to convert chemical energy or thermal energy into mechanical energy. The other components are the generator which converts mechanical input into electrical output and a spent heat recovery system, as well as control and transmission systems. A cogeneration system utilizes the heat which the prime mover component has not converted into mechanical energy, and this can improve the efficiency of a typical gas turbine from approximately 12–30 percent to an overall rate of 60 percent.

In the United States, 40 percent of all electrical power is generated by burning coal, and coal-fired power plants lose two-thirds of their energy through the smokestack. Several large electric utilities have been using waste heat from their boilers for space heating in their own utility districts. This is known as district heating; zoning regulations and building locations permit this practice in New York City, New York; Detroit, Michigan; and Eugene, Oregon; among other cities. Waste heat from the generation of electricity has long been used in Europe to heat commercial and industrial buildings, and the city of Vestras, Sweden, produces all its electricity as well as all its space heating by utilizing the waste heat from industrial boilers.

In 1978, Congress passed the Public Utilities Regulatory Act, which allowed cogenerators to sell their extra power to utility companies. It has been estimated that if all waste heat generated by industry were used to cogenerate electrical power, there would be no need to construct additional power plants for the next two or three decades. The paper industry in the United States, which must produce steam for its industrial process, often uses cogeneration systems to produce electricity.

Experts maintain that half of the money consumers spend on electric bills pays not for the generation of power but for its distribution and transmission, including losses through transmission. Small, decentralized cogeneration systems that burn biomass, such as organic waste in agricultural areas, or garbage from large apartment buildings can minimize transmission losses and utilize waste heat for space heating. In Santa Barbara County, California, a hospital which operates a seven-megawatt natural gas turbine generator for its electrical power needs a cogeneration system installed to use thermal energy from the boiler to provide steam for heating and cooling. Extra steam not needed for

these purposes is returned to the turbine, and excess electrical power is sold to the local utility.

In coal gasification, coal is heated and turned into a gas. The gas is burned to operate two turbines, one fueled by the hot gases and the other by steam generated from the burning gas. Scrubbers can remove 95 percent of the sulfur from the flue gases, and the result is a generating facility with high efficiency and low pollution.

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## Cold fusion

Nuclear fusion has long been thought to have the potential to be a cheap and virtually unlimited source of energy. However, fusion requires extremely high temperatures or pressures. Cold fusion is fusion occurring at moderate temperatures and pressures. Cold fusion could make fusion more practical.

Fusion refers to a process where atomic nuclei collide and fuse together, forming larger particles and releasing energy. The fusion of hydrogen nuclei inside the sun is what makes the heat that warms our planet. But outside the enormous pressure in the center of a star like the sun, nuclei are unlikely to fuse. All atomic nuclei are positively charged, and thus repel each other. Some outside force, such as high temperature or pressure, is needed to make the nuclei overcome their natural repulsion and come together. If nuclei could be forced to fuse at low temperatures in a practical device, it would be possible to produce large amounts of energy at a reasonable cost.

One of the fathers of modern physics, Ernest Rutherford, investigated the possibility of cold fusion using deuterium (an isotope of hydrogen) atoms in 1934. However, he could find no viable method for achieving fusion at low temperatures.

Meanwhile, experiments with high temperature fusion proceeded. In the 1950s, scientists experimented with magnetic bottles as fusion devices. Theoretically, gas could be heated to such high temperatures inside the magnetic bottle that hydrogen nuclei would fuse, as they do in the sun. A physics laboratory at Princeton University in Princeton, New Jersey, built a magnetic containment device, a massive machine called the Tokamak. It did produce some energy from fusion, but using the Tokamak or anything like it as a power source is not practical, since it requires more energy to make fusion happen than it produce from the fusion reaction. Scientists continued to research various methods of fusion. If energy could be produced from an abundant material like hydrogen or deuterium, the earth would have an almost limitless, new, clean power source, the thinking went. The potential benefits were enormous.

Since cold fusion would operate at low temperatures and pressures, it would not require the expensive and complex equipment needed for high temperature fusion. Cold fusion research was filled with disappointments and dead ends, but scientists continued to experiment. Cold fusion has been called the "Holy Grail" of physics, because it seems virtually unattainable.

In 1989, Stanley Pons and Martin Fleischmann, two electrochemists working at the University of Utah, stunned the world with their announcement that they had performed cold fusion in a plastic dishpan using a small laboratory device. Pons was a prolific researcher in electrochemistry, and Fleischmann was an esteemed member of the British Royal Society and a professor at the University of Southampton in England. The two had collaborated for years, and in the mid-1980s Fleischmann had become a visiting professor at Utah. Their device electrically charged a cathode made of palladium, a metal that contains high concentrations of hydrogen isotopes. Apparently aiming to protect their patent rights to this revolutionary device, Pons and Fleischmann made their first cold fusion claim directly to the popular press, bypassing the scientific community by not submitting their discovery first to a professional journal where it would be reviewed by other scientists.

Pons and Fleischmann were temporarily heroes, appearing before Congress to help the University of Utah secure funding for cold fusion research. Meanwhile, many experts in fusion research and other physicists were less easily convinced. If the palladium cathode was actually fusing hydrogen isotopes, it would have emitted dangerous levels of radiation. The very fact that the clearly healthy scientists had been photographed beaming next to their cold fusion machine alerted knowledgeable people that the device could not be working as Pons and Fleischmann claimed. Within weeks it became clear that Pons and Fleischmann had made some elementary

mistakes. It was thought that the energy increase they detected came from pockets of heat in the fluid, caused by the liquid not being stirred enough. Although a number of scientists around the world continued to research it, within ten years of the Utah experiments the discovery of cold fusion seemed to be discredited.

Pons and Fleischmann suffered a serious loss of reputation after the cold fusion debacle, ultimately losing a libel suit they brought against an Italian newspaper that had labeled them frauds. The Japanese government continued to support cold fusion research through much of the 1990s, but finally abandoned all its financial support in 1998. It seemed that only a few hundred scientists worldwide were working on cold fusion in 2001, judging from attendance at a semi-annual conference on the topic. In 2002, a researcher at the Oak Ridge National Laboratory in Oak Ridge, Tennessee, claimed to have detected something that might have been cold fusion using a process called acoustic cavitation. This produced high pressure in a liquid by means of sound waves. But with the stark example of Pons and Fleischmann, any new claim to cold fusion will have to be carefully substantiated by a number of scientists before it can overcome existing skepticism.

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## Coliform bacteria

Coliform bacteria live in the nutrient-rich environment of animal intestines. Many species fall into this group, but the most common species in mammals is *Escherichia coli*, usually abbreviated *E. coli*. A typical human can easily have several trillion of these tiny individual bacterial cells inhabiting his or her digestive tract. On a purely numerical basis, a human may have more bacterial than mammalian cells in his or her body. Each person is actually a community or ecosystem of diverse species living in a state of cooperation, competition, or coexistence.

The bacterial flora of one's gut provides many benefits. They help break down and absorb food, they synthesize and secrete vitamins such as B<sub>12</sub> and K on which mammals depend, and they displace or help keep under control pathogens that are ingested along with food and liquids. When the pathogens gain control, disagreeable or even potentially lethal diseases can result. A wide variety of diarrheas, dysenteries, and other gastrointestinal diseases afflict people who have inadequate sanitation. Many tourists suffer diarrhea when they come into contact with improperly sanitized water or food. Some of these diseases, such as cholera or food poisoning caused by *Salmonella*, *Shigella*, or *Listeria* species, can be fatal. Because identifying specific pathogens in water or food is difficult, time-consuming, and expensive, public health officials usually conduct general tests to detect the presence and concentration of coliform organisms. The presence of any of these species, whether pathogenic or not, indicates that fecal contamination has occurred and that pathogens are likely present.

## Colorado River

One of the major rivers of the western United States, the Colorado River flows for some 1,500 miles (2,415 km) from Colorado to northwestern Mexico. Dropping over 2 miles (3.2 km) in elevation over its course, the Colorado emptied into the Gulf of California until human management reduced its water flow. Over millions of years the swift waters of the Colorado have carved some of the world's deepest and most impressive gorges, including the Grand Canyon.

The Colorado River basin supports an unusual ecosystem. Isolated from other drainage systems, the Colorado has produced a unique assemblage of fishes. Of the 32 species of native fishes found in the Colorado drainage, 21–66 percent, are endemic species—that arose in the area and are found nowhere else.

Major projects carried out since the 1920s have profoundly altered the Colorado. When seven western states signed the Colorado River Compact in 1922, the Colorado became the first basin in which “multiple use” of water was initiated. Today the river is used to provide hydroelectric power, irrigation, drinking water, and recreation; over twenty dams have been erected along its length. The river, in fact, no longer drains into the Gulf of Colorado—it simply disappears near the Mexican towns of Tijuana and Mexicali. Hundreds of square miles of land have been

submerged by the formation of reservoirs, and the temperature and clarity of the river's water have been profoundly changed by the action of the dams.

Alteration of the Colorado's habitat has threatened many of its fragile fishes, and a number are now listed as endangered species. The Colorado squawfish serves as an example of how river development can affect native wildlife. With the reservoirs formed by the impoundments on the Colorado River also came the introduction of game fishes in the 1940s. One particular species, the Channel catfish, became a prey item for the native squawfish, and many squawfish were found dead, having suffocated due to catfish lodged in their throats with their spines stiffly locked in place. Other portions of the squawfish population have succumbed to diseases introduced by these non-native fishes.

Major projects along the Colorado include the Hoover Dam and its reservoir, Lake Mead, as well as the controversial Glen Canyon Dam at the Arizona-Utah border, which has a reservoir extending into Utah for over 100 miles (161 km).

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## Combined sewer overflows

In many older coastal cities, especially in the northeastern United States, storm sewers in the street that collect stormwater runoff from rainfall are connected to municipal sewage treatment plants that process household sewage and industrial wastewater. Under normal, relatively dry conditions runoff and municipal waste go to a sewage treatment plant where they are treated. However, when it rains, in some cases less than an inch, the capacity of a sewage treatment plant can be exceeded; the system is overloaded. The mixed urban stormwater runoff and raw municipal sewage is released to nearby creeks, rivers, bays, estuaries or other coastal waters, completely untreated. This is a combined sewer overflow (CSO) event.

Combined sewer overflow events are not rare. In Boston Harbor, for example, there are eighty-eight pipes or outfalls that discharge combined stormwater runoff and sewage. It has been estimated that CSO events occur approximately sixty times per year, discharging billions of gallons of untreated runoff and wastewater to Boston Harbor.

Materials released during these CSO events can result in serious water quality problems that can be detrimental to both humans and wildlife. Toxic chemicals from households and industries are released during CSO events. In addition, toxic chemicals found in rainwater runoff, such as oil and antifreeze that have dripped onto roads from cars, will wash into coastal waters during these events.

Harmful bacteria and pathogens in the water are another major problem that can result after a CSO event. Some of these bacteria (coliform), live naturally in the intestinal tracts of humans and other warm blooded animals. After heavy rainfalls, scientists have measured increased levels of coliform bacteria in coastal waters near CSO outfalls. These bacteria, which indicate that there are other bacteria and pathogens that can make people sick if they swim in the water or eat contaminated shellfish, come from both animal and human wastes washed in from the streets. The bacteria are not removed or killed because the waters have not been treated in a sewage treatment plant. Because levels of these indicator bacteria are often high after CSO events, many productive shellfish beds are closed to protect human health. This can be a serious economic hardship to the fishing industry.

Combined sewer overflow events also result in increased quantities of trash and floatable debris entering coastal waters. When people litter, the trash is washed into storm sewers with rainwater. Since sewage treatment plants cannot handle the volume of water during these rainfall events, this trash is discharged along with the stormwater and sewage directly into open waters. This floatable debris is unsightly, and can be dangerous to marine animals and birds, which eat it and choke or become entangled within it. This often results in death.

Raw sewage, animal wastes, and runoff from lawns and other fertilized areas contain very high levels of nitrogen and phosphorus, which are nutrients used by marine and aquatic plants for growth. Therefore, CSO events are major contributors of extra nutrients and organic matter to nearshore waters. These nutrients act as fertilizers for many marine and aquatic algae and plants, promoting extreme growth called blooms. When they eventually die, the bacteria decomposing the plants and algae use up vast quantities of oxygen. This results in a condition known as hypoxia or low dissolved

oxygen (DO). If DO levels are too low, marine animals will not have enough oxygen to survive and they will either die or move out of the area. Hypoxia has been the cause of some major fish kills, and can result in permanent changes in the ecological community if it is persistent.

There are a number of options available to reduce the frequency and impacts of CSO events. Upgrading sewage treatment plants to handle greater flow or constructing new facilities are two of the best, although most costly options. Another possibility is to separate storm sewers and municipal sewage treatment plants. While this would not prevent discharges of stormwater runoff during rainfall events, untreated household and industrial wastewater (i.e., raw sewage) would not be released. In addition, the resulting stormwater could be minimally treated by screening out trash and disinfecting it to kill bacteria. Use of wetlands to filter this stormwater has also been considered as an effective alternative and is currently being used in some areas. Another option is to build large storage facilities (often underground) to hold materials that would normally be discharged during CSO events. When dry conditions return, the combined runoff and wastewater are pumped to a nearby sewage treatment plant where they are properly treated. This option is being used in several areas, including some locations in New York City. At a minimum, screening of CSO discharges would reduce the quantity of floatable debris in nearshore waters, even if it did not solve all of the other problems associated with CSOs. Of course water conservation is another control that reduces that volume of water treated by sewage treatment plants, and therefore the volume that would be discharged during a CSO event.

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## Combustion

The process of burning fuels. Traditionally biomass was used as fuel, but now fossil fuels are the major source of energy for human activities. Combustion is essentially

an oxidation process that yields heat and light. Most fuels are carbon and hydrogen which use oxygen in the air as an oxidant. More exotic fuels are used in some combustion processes, particularly in rockets where metals such as aluminum or beryllium or hydrazine (a nitrogen containing compound) are well known as effective fuels. As rockets operate beyond the atmosphere they carry their own oxidants, which may also be quite exotic.

Combustion involves a mixture of fuel and air, which is thermodynamically unstable. The fuel is then converted to stable products, usually water and carbon dioxide, with the release of a large amount of energy as heat. At normal temperatures fuels such as coal and oil are quite stable and have to be ignited by raising the temperature. Combustion is said to be spontaneous when the ignition appears to take place without obvious reasons. Large piles of organic material, such as hay, can undergo slow oxidation, perhaps biologically mediated, and increase in temperature. If the amount of material is very large and the heat cannot escape, the whole pile can suddenly burst into flame. Will-o'-the-wisps or jack-o'-lanterns (known scientifically as *ignis fatuus*) are sometimes observed over swamps where methane is likely to be produced. The reason these small pockets of gas ignite is not certain, but it has been suggested that small traces of gases such as phosphine that react rapidly with air could ignite the methane.

Typical solid fuels like coal and wood begin to burn with a bright turbulent flame. This forms as volatile materials are driven off and ignited. These vapors burn so rapidly that oxygen can be depleted, creating a smoky flame. After a time the volatile substances in the fuel are depleted. At this point a glowing coal is evident and combustion takes place without a significant flame. Combustion on the surface of the glowing coal is controlled by the diffusion of oxygen towards the hot surface. If the piece of fuel is too small, such as a spark from a fire, it is likely to lose temperature rapidly and combustion will stop. By contrast a bed of coals can maintain combustion because of heat storage and the exchange of radiative heat between the pieces. The most intense combustion takes place between the crevices of a bed of coal. In these regions oxygen may be in limited supply which leads to the production of carbon monoxide. This is subsequently oxidized to carbon at the surface of the bed of coals with a faint blue flame. The production of toxic carbon monoxide from indoor fires can occasionally represent a hazard if subsequent oxidation to carbon dioxide is not complete.

Liquid fuels usually need to be evaporated before they burn effectively. This means that it is possible to see liquid combustion and gaseous combustion as similar processes. Combustion can readily be initiated with a

flame or spark. Simply heating a fuel-air mixture can cause it to ignite, but temperatures have to be high before reactions occur. A much better way is to initiate combustion with a small number of molecular fragments of radicals. These can initiate chain reactions at much lower temperatures than molecular reactions. In a propane-air flame at about 2000° K, hydrogen and oxygen atoms and hydroxyl radicals account for about 0.3% of a gas mixture. It is these radicals that support combustion. They react with molecules and split them up into more radicals. These radicals can rapidly enter into the exothermic (heat releasing) oxidative processes that lie at the heart of combustion. The reactions also give rise to further radicals that support continued combustion. Under some situations the radicals reaction branch, such that the reaction of each radical produces two new radicals. These can enter further reactions, producing yet further increases in the number of reactions and very soon the system explodes. However the production of radicals can be terminated in a number of ways such as contact with a solid surface. In some systems, such as the internal combustion engine, an explosion is desired, but in others, such as a gas cooker flame, maintaining a stable combustion process is desirable.

In terms of air pollution the reaction of oxygen and nitrogen atoms with molecules in air leads to the formation of the pollutant nitric oxide through a set of reactions known as the Zeldovich cycle. It is this process that makes combustion such an important contributor of nitrogen oxides to the atmosphere.

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Peter Brimblecombe

## Cometabolism

The partial breakdown of a (usually) synthetic compound by microbiological action. Synthetic chemicals are widely used in industry, agriculture, and in the home; many resist complete enzymatic degradation and become persistent environmental pollutants. In cometabolism, the exotic molecule is only partly modified by decomposers (bacteria or fungi), since they are unable to utilize it either as a source of energy, as a source of nutrient elements, or because it is toxic. Cometabolism probably accounts for long-term changes in DDT, dieldrin, and related chlorinated hydrocarbon insecticides in the soil. The products of this partial transformation, like the original exotic chemical, usually accumulate in the environment.

## Commensalism

A type of symbiotic relationship. Many organisms depend on intimate physical relationship with organisms of other species, a relationship called symbiosis. In a symbiotic relationship there is a host and a symbiote. The symbiote always derives some benefit from the relationship. In a commensal relationship, the host organism is neither harmed nor benefitted. The relationship that exists between the clown fish living among the tentacles of sea anemones is one example of commensalism. The host sea anemones can exist without their symbiotes, but the fish cannot exist as successfully without the protective cover of the anemone's stinging tentacles.

## Commercial fishing

Because fish have long been considered an important source of food, the fisheries were the first renewable resource to receive public attention in the United



**Commercial fishermen haul in a net full of pink salmon out of Chatham Strait, Southeast Alaska.** (© Alaska Stock LLC / Alamy)

States. The National Marine Fisheries Service (NMFS) has existed since 1970, but the original Office of Commissioner of Fish and Fisheries was created over one hundred years ago, signed into law in 1871 by President Ulysses S. Grant (1822–1885). This office was charged with the study of “the decrease of the food fishes of the seacoasts and lakes of the United States, and to suggest remedial measures.” From the beginning, the federal fishery agency has been granted broad powers to study aquatic resources ranging from coastal shallow waters to offshore deep-water habitats.

Worldwide, humans get an average of 16 percent of their dietary animal protein from fish and shellfish. In the developing nations of Africa and Asia, fish can account for over 50 percent of human animal protein consumption. With human populations ever increasing, the demand for and marketing of seafood has steadily increased, rising over the last half of the twentieth century to a peak in 1994 of about 100 million tons (91 billion kg) per year. The current annual marine fish catch has fallen to slightly over 90 million tons (about 81.9 billion kilograms). The per capita world fish catch has been steadily declining since 1970 as human population growth outdistances fish harvests. Scientists have projected that by 2020, the per capita consumption of ocean fish will be half of what it was in 1988.

To meet the demand for fish, the commercial fishing industry has expanded as well. According to the United Nations Food and Agriculture Organization (FAO), there were about 30 million fishers in the world as of 2004. About 90 percent of these fishers were categorized as small-scale operations. Industrial fishing crews, manning vessels that deploy highly innovative methods ranging from enormous nets to

sonar and spotting planes, account for the remaining 10 percent of fishers. As a result, wild fish populations have been decimated. About 28 percent of fish stocks have been overfished, and some stocks are nearing extinction.

In recent decades, the size of the industrial fishing fleet grew at twice the rate of the worldwide catch. The expansion in fishing may be coming to an end, however, as environmental, biological, and economic problems beset the fishing industry. As fish harvests decline, the numbers of jobs also decline. Governments have attempted to prop up the failing fisheries industry: in 1994, fishers worldwide spent \$124 billion to catch fish valued at \$70 billion, and the shortfall was covered by government subsidies. In recent decades, fishery imports have been one of the top five sources of the United States’ trade deficit.

The commercial fisheries industry has contributed to its own problems by overfishing certain species to the point where those species’ populations are too low to reproduce at a rate sufficient to replace the portion of their numbers lost to harvesting. Cod (*Gadus* species) and haddock (*Melanogrammus aeglefinus*) in the Atlantic Ocean, red snapper in the Gulf of Mexico, and salmon and tuna in the Pacific Ocean have all fallen victim to overfishing. The case of the Peruvian anchovy (*Engraulis ringens*) represents a specific example of how several factors may work together to contribute to species decline. Fishing for anchovies began off the coast of Peru in the early 1950s, and, by the late 1960s, as their fishing fleet had grown exponentially, the catch of Peruvian anchovies made up about 20 percent of the world’s annual commercial fish harvest. The Peruvian fishermen were already overfishing the anchovies when meteorological conditions contributed to the problem. In 1972, a strong El Niño struck. This phenomenon is a natural but unpredictable warming of the normally cool waters that flow along Peru’s coast. The entire food web of the region was altered as a result, and the Peruvian anchovy population plummeted, leading to the demise of Peru’s anchovy fishing industry. Peru has made some economic recovery since then by harvesting other species.

Many of the world’s major fishing areas have already been fished beyond their natural limits. Different approaches to the problem of overfishing are under consideration to help prevent the collapse of the world’s fisheries. Georges Bank, once one of the most fertile fishing grounds in the North Atlantic, is now closed and is considered commercially extinct. This area underwent strict controls for scallop fishing in 1996, which proved to be a viable remedy for that species in that

locale. The scallop population recovered within five years, reaching levels in excess of the original population, and parts of the bay could be re-opened for scallop fishing. But other species in Georges Bank continue to decline. Rapid and direct replenishment is not possible for slow-growing species that take years to reach maturity. For example, the black sea bass (*Stereolepis gigas*), has a life span comparable to that of humans and adults and typically grow to 500 pounds (227 kg). The success of a 1982 ban on fishing the black sea bass off the coast of California became evident early this century when significant numbers of these young fish, already weighing as much as 200 pounds (91 kg), appeared off the shores of Santa Barbara. Yet, full replenishment of the population remains years away.

Environmental problems also plague commercial fishing. Near-shore pollution has altered ecosystems, taking a heavy toll on all populations of fish and shellfish, not only those valued commercially. The collective actions of commercial fishermen also create some major environmental problems. The world's commercial fishermen annually catch and then discard about 20 billion pounds (9 billion kg) of non-target species of sea life. About one half, and in some cases, as much as 90 percent of a catch may be discarded. In addition to fish and shellfish, each year about one million seabirds are caught and killed in fishermen's nets. On average more than 6,000 seals and sea lions, about 20,000 dolphins and other aquatic mammals, and thousands of sea turtles meet the same fate. It is estimated that the amount of fish discarded annually is about 25 percent of the reported catch, or approximately 22 million tons (about 20 million metric tons) per year. Ecologically, two major problems arise from this massive disposal of organisms. One is the disruption of predator-prey ratios, and the other is the addition of a tremendous overload of organic waste to be dealt with in this ecosystem. Amendments to the Marine Mammal Protection Act in 1994 initiated further regulations to protect marine mammals from being inadvertently captured by commercial fishing with a seven-year goal to reduce these captures to "zero mortality and serious injury."

In 2001, a \$1.6 billion gas pipeline that was proposed to be routed through neighboring waters from Nova Scotia to New Jersey, to be implemented as early as 2005, posed a new environmental threat to the Georges Bank area. Environmentalists, meanwhile, have lobbied the United States government to establish a marine habitat protection designation similar to wilderness areas and natural parks on land, to provide for the preservation of reefs, marine life, and

underwater vegetation. Currently, less than 1 percent of water resources worldwide have the protection of formal legislation to prevent exploitation.

Habitat destruction is serious environmental concern. Fish and other aquatic wildlife rely on the existence of high quality habitat for their survival, and loss of habitat is one of the most pressing environmental threats to shorelines, wetlands, and other aquatic habitats. Approaches to the protection of essential fish habitat include efforts to strengthen and vigorously enforce the Clean Water Act and other protective legislation for aquatic habitats, to develop and implement restoration plans for target regions, to make improved policy decisions based on technical knowledge about shoreline habitats, and to better educate the public on the importance of protecting and restoring habitat. A relatively new approach to habitat recovery is the habitat conservation plan (HCP), in which a multi-species ecosystem approach to habitat management is preferred over a reactive species-by-species plan. Strategies for fish recovery are complex, and, instead of numbers of fish of a given species, the HCP uses quality of habitat to measure the success of restoration and conservation efforts. Long-term situations such as the restoration of black sea bass serve to re-emphasize the importance of resisting the temptation to manage overfishing of single species while failing to address the survival of the ecosystem as a whole.

The Magnuson-Stevens Fishery Conservation and Management Act was passed in 1976 to regulate fisheries resources and fishing activities in Federal waters, those waters extending to the 200 mile (322 km) limit. The act recognizes that commercial fishing contributes to the food supply and is a major source of employment, contributing significantly to the economy of the Nation. However, it also recognizes that overfishing and habitat loss has led to the decline of certain species of fish to the point where their survival is threatened, resulting in a diminished capacity to support existing fishing levels. Further, international fishery agreements have not been effective in ending or preventing overfishing. Fishery resources are limited but renewable and can be conserved and maintained to continue to provide good yields. Also, the act supports the development of underused fisheries, such as bottom-dwelling fish near Alaska.

Another resource to sustain increases in seafood consumption is aquaculture, where commercial foodfish species are grown on fish farms. It is estimated that the amount of farm-raised fish has doubled in the past decade and that about 36 percent of the fish

consumed worldwide was raised in captivity as of 2006. Aquaculture shows promise for rescuing the fish industry by providing seafood supply in response to declining wild populations. However, aquaculture may have adverse effects on aquatic ecosystems resulting from heavy nutrient loading and chemicals deposited into waters to promote growth and reduce disease. Large quantities of farmed fish can also deposit significant amounts of waste that may disrupt the ecosystem. Farming fish can reduce some of the fishing pressure on wild populations; however, the farmed fish may compete with natural populations, thus potentially causing a loss of biodiversity.

In the United States, as well as other nations, the commercial fisheries industry faces potential collapse. In addition to overfishing pressures, climate change resulting in warmer temperatures and altered weather patterns may impact wild and farmed fish populations. Severe restrictions and tight controls imposed by the international community may be the only means of salvaging even a portion of this valuable industry. It will be necessary for partnerships to be forged between scientists, fisherman, and the regulatory community to develop and implement measures toward maintaining a sustainable fishery.

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Eugene C. Beckham

Commingled recyclables see **Recycling**.

## Commission for Environmental Cooperation

The Commission for Environmental Cooperation (CEC) is a trilateral international commission established by Canada, Mexico, and the United States in 1994 to address transboundary environmental concerns in North America. The original impetus behind the CEC was the perception of inadequacies in the environmental provisions of the North American Free Trade Agreement (NAFTA). A supplementary treaty, the North American Agreement for Environmental Cooperation (NAAEC) was negotiated to remedy these inadequacies, and it is from the NAAEC that the CEC derives its formal mandate.

The general goals set forth by the NAAEC are to protect, conserve, and improve the environment for the benefit of present and future generations. More specifically, the three NAFTA signatories agreed to a core set of actions and principles with regard to environmental concerns related to trade policy. These actions and principles include regular reporting on the state of the environment, effective and consistent enforcement of environmental law, facilitation of access to environmental information, the ongoing improvement of environmental laws and regulations, and promotion of the use of tax incentives and various other economic instruments to achieve environmental goals.

The CEC is to function as a forum for the NAFTA partners to identify and articulate mutual interests and priorities, and to develop strategies for the pursuit or implementation of these interests and priorities. The NAAEC further specifies the following priorities: identification of appropriate limits for specific pollutants; the protection of endangered and threatened species; the protection and conservation of wild flora and fauna and their habitat; the development of new approaches to environmental compliance and enforcement; strategies for addressing environmental issues that have impacts across international borders; the support of training and education in the environmental field; and promotion of greater public awareness of North American environmental issues. Central to the CEC's mission is the facilitation of dialogue among the NAFTA partners in order to prevent and solve trade and environmental disputes.

The governing body of the CEC is a Council of Ministers that consists of the environment ministers (or equivalent) from each country. The executive arm of the Commission is a Secretariat located in Montreal, consisting of a staff of over twenty members and headed by an Executive Director. The Secretariat

also maintains a liaison office in Mexico City. The staff is drawn from all three countries and provides technical and administrative support to the Council of Ministers and to committees and groups established by the Council.

Technical and scientific advice is also provided to the Council of Ministers by a Joint Public Advisory Committee consisting of five members from each country appointed by the respective governments. This Committee may, on its own initiative, advise the Council on any matter within the scope of the NAAEC, including the annual program and budget. As a reflection of the CEC's professed commitment to participation by citizens throughout North America, the Committee is intended to represent a wide cross-section of knowledgeable citizens committed to environmental concerns who are willing to volunteer their time in the public interest. The CEC also accepts direct input from any citizen or non-governmental organization who believes that a NAFTA partner is failing to enforce effectively an existing environmental law.

The NAAEC also contains provisions for dispute resolution in cases in which a NAFTA signatory alleges that another NAFTA partner has persistently failed to enforce an existing environmental law, causing specific environmental damage or trade disadvantages to the claimant. These provisions may be invoked when a lack of effective enforcement materially affects goods or services being traded between the NAFTA countries. If the dispute is not resolved through bilateral consultation, the complaining party may then request a special session of the CEC's Council of Ministers. If the Council is likewise unable to resolve the dispute, provisions exist for choosing an Arbitral Panel. Failure to implement the recommendations of the Arbitral Panel subjects the offending party to a monetary enforcement assessment. Failure to pay this assessment may lead to suspension of free trade benefits.

The Council is also instructed to develop recommendations on access to courts (and rights and remedies before courts and administrative agencies) for persons in one country's territory who have suffered or are likely to suffer damage or injury caused by pollution originating in the territory of one of the other countries. In 2002, the Council published a five year study which stated that 3.4 million tonnes of toxins were produced in North America.

The CEC has been subject to some of the same criticisms leveled at the environmental provisions of NAFTA, particularly that it serves as a kind of environmental window-dressing for a trade agreement

that is generally harmful to the environment. The CEC's mandate for conflict resolution is primarily oriented towards consistent enforcement of existing environmental law in the three countries. This law is by no means uniform. By upholding the principles of free trade, and providing penalties for infringements of free trade, NAFTA establishes an environment in which private companies have an economic incentive, other considerations being equal, to locate production where environmental laws are weakest and the costs of compliance are therefore lowest. Countries with stricter environmental regulations face penalties for attempting to protect domestic industries from such comparative disadvantages.

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Commission for Environmental Cooperation, 393, rue St-Jacques Ouest, Bureau 200, Montréal/Québec, Canada, H2Y 1N9, (514) 350-4300, (514) 350-4314, [info@cec.org](mailto:info@cec.org), <http://www.cec.org>.

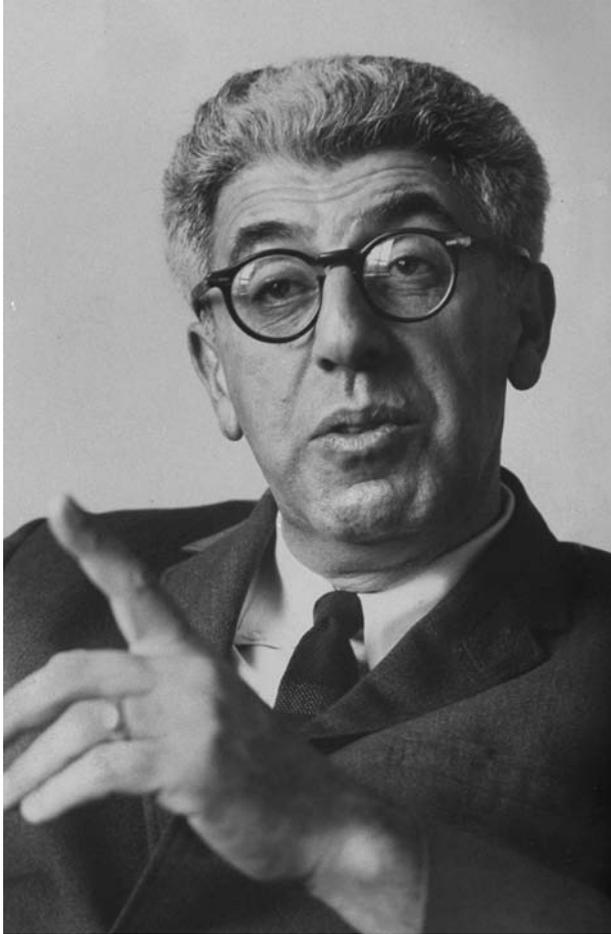
Lawrence J. Biskowski

## Commoner, Barry

1917–

American biologist, environmental scientist, author, and social activist

Born to Russian immigrant parents, Commoner earned a doctorate in biology from Harvard in 1941. As a biologist, he is known for his work with free radicals—chemicals like chlorofluorocarbons, which are suspected culprits in ozone layer depletion. Commoner led a fairly academic life at first, with research posts at various universities, but rose to some prominence in the late 1950s, when he and others protested atmospheric testing of nuclear weapons. He earned a national reputation in the 1960s with books, articles, and speeches on a wide range of environmental concerns, including pollution, alternative energy sources, and population. He wrote *Making Peace with the*



**Ecologist Barry Commoner.** (Photo by Michael Mauney//Time Life Pictures/Getty Images)

*Planet*, which was published in 1990. Commoner's other works include *Science and Survival* (1967), *The Closing Circle* (1971), *Energy and Human Welfare* (1975), *The Poverty of Power* (1976), and *The Politics of Energy* (1979).

Commoner believes that post-World War II industrial methods, with their reliance on nonrenewable fossil fuels are the root cause of modern environmental pollution. He has been particularly harsh on the petrochemical industry, which he believes is destroying the biosphere.

Almost as distressing as environmental pollution is our inability to clean it up. Commoner rejects attempts at environmental regulation as pointless. Far better, he says, to not produce the toxin in the first place.

Commoner offers radical, sweeping solutions for social and ecological ills. The most urgent of these is a

renewable energy source, primarily photovoltaic cells powered by solar energy. These would not only decentralize electric utilities (another target of Commoner's), but would use sunlight to fuel almost any energy need, including smaller, lighter, battery-powered cars. To ease the transition from fossil fuels to solar power, he proposes methane, cogeneration (which produces electricity from waste heat), and an organic agriculture system that would "produce enough ethanol to replace about 20 percent of the national demand for gasoline without reducing the overall supply of food or significantly affecting its price."

Commoner makes few compromises, and his environmental zeal has made him a crusader for social causes as well. Eliminating Third World debt, he argues, would improve life in impoverished countries and end the spiral of economic desperation that drives countries to overpopulation.

In 1980, Commoner made a bid for the U.S. presidency on the Citizen's Party ticket, a short-lived political attempt to combine environmental and Socialist agendas. From 1981 until 2000 he has been the director of the Center for the Biology of Natural Systems at Queens College in New York City. Since then, he has been a senior scientist at the same institution.

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Muthena Naseri  
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## Communicable diseases

A communicable disease is any disease that can be transmitted from one organism to another. Agents that cause communicable diseases, called pathogens, are easily spread by direct or indirect contact. These pathogens include viruses, bacteria, fungi, and parasites. Some pathogens make toxins that harm the body's organs. Others actually destroy cells. Some



**Chickenpox on the back of the child.** (Kasiap/Shutterstock.com)

can impair the body's natural immune system, and opportunistic organisms set up secondary infections that cause serious illness or death. Once the pathogens have multiplied inside the body, signs of illness may or may not appear. The human body is adept at destroying most pathogens, but the pathogens may still multiply and spread.

The pathogens responsible for some communicable diseases have been known since the mid-1800s, although they have existed for a much longer period of time. European explorers brought highly contagious diseases such as smallpox, measles, typhus and scarlet fever to the New World, to which Native Americans had never been exposed. These new diseases killed 50–90 percent of the native population. Native populations in many areas of the Caribbean were totally eliminated.

In some areas of the world, contaminated soil or water incubate the pathogens of communicable diseases, and contact with those agents will cause diseases to spread. In the 1800s, when Robert Koch discovered the anthrax bacillus (*Bacillus anthracis*), cholera bacillus (*Vibrio cholerae*), and tubercle bacillus (*Mycobacterium tuberculosis*), his work ushered in a new era of public sanitation by showing how water-borne epidemics, such as cholera and typhoid, could be controlled by water filtration.

Malaria, another communicable disease, was responsible for the decline of many ancient civilizations; for centuries, it devitalized vast populations. With the discoveries in the late 1800s of protozoan malarial parasites in human blood, and the discovery of its carrier, the *Anopheles* mosquito, malaria could be combatted by systematic destruction of the mosquitos and their breeding grounds, by the use of barriers between mosquitos and humans

such as window screens and mosquito netting, and by drug therapy to kill the parasites in the human host.

Discoveries of the causes of epidemics and transmissible diseases led to the expansion of the fields of sanitation and public health. Draining of marshes, control of the water supply, widespread vaccinations, and quarantine measures improved human health. But, despite the development of advanced detection techniques and control measures to fight pathogens and their spread, communicable diseases still take their toll on human populations. For example, until the 1980s tuberculosis had been declining in the United States due in large part to the availability of effective antibiotic therapy. However, since 1985, the number of tuberculosis cases has risen steadily due to such factors as the emergence of drug-resistant strains of the tubercle bacillus, the increasing incidence of HIV infection which lowers human resistance to many diseases, poverty, and immigration.

Epidemiologists track communicable diseases throughout the world, and their work has helped to eradicate smallpox, one of the world's most deadly communicable diseases. A successful global vaccination campaign wiped out smallpox in the 1980s, and today, the virus exists only in tightly-controlled laboratories in Moscow and in Atlanta at the Centers for Disease Control and Prevention. Scientists are currently debating whether to destroy the viruses or preserve them for study.

Communicable diseases continue to be a major public health problem in developing countries. In the small West African nation of Guinea-Bissau, a cholera epidemic hit in 1990. Epidemiologists traced its outbreak to contaminated shellfish and managed to control the epidemic, but not before it claimed hundreds of lives in that country. Some victims had eaten the contaminated shellfish, others were infected by washing the bodies of cholera victims and then preparing funeral feasts without properly washing their hands. Proper disposal of victims' bodies, coupled with a campaign to encourage proper hygiene, helped stop the epidemic from spreading.

Communicable diseases can be prevented either by eliminating the pathogenic organism from the environment (as by killing pathogens or parasites existing in a water supply) or by placing a barrier in the path of its transmission from one organism to another (as by vaccination or by isolating individuals already infected). But identifying and isolating the causal agent and developing weapons to fight it is time consuming, and, as with the AIDS virus, thousands of

people continue to become infected and many die because educational warnings about ways to avoid infection frequently go unheeded.

Acquired immunodeficiency syndrome (AIDS) is caused by the human immunodeficiency virus (HIV). Spread by contact with bodily fluids of an HIV-infected person, the virus weakens and eventually destroys the body's immune system. Researchers assert that the virus originated in monkeys and was first transmitted to humans about 100 years ago.

New diseases deadlier than AIDS exist, including several variations of hemorrhagic fever and Avian Flu (H5N1 influence). Virologists point out that viruses, like human populations, constantly change. Rapidly increasing human populations provide fertile breeding grounds for microbes, including viruses and bacteria. Pathogens can literally travel the globe in a matter of hours.

For example, the completion in 1990 of a major road through the Amazon rain forest in Brazil led to outbreaks of malaria in the region. In 1985, used tires imported to Texas from eastern Asia transported larvae of the Asian tiger mosquito, a dangerous carrier of serious tropical communicable diseases. Deforestation and agricultural changes can unleash epidemics of communicable diseases. Outbreaks of Rift Valley fever followed the construction of the Aswan High Dam, most likely because breeding grounds were created for mosquitoes which spread the disease. In Brazil, the introduction of cacao farming coincided with epidemics of Oropouche fever, a disease linked to a biting insect that thrives on discarded cacao hulls.

In March 2009, a novel virus that was ultimately named 2009 H1N1 influenza virus (alternately, Type A / H1N1) resulted in cases of influenza (flu) in Mexico and the United States. A subsequently confirmed case of H1N1 flu first produced symptoms in a patient in the United States as early as 28 March 2009. The novel virus was detected as early as 16 April 2009. From an outbreak in Mexico, the virus quickly spread globally to become an official global pandemic.

Another important factor in the spread of communicable diseases is the speed and frequency of modern travel. A recent example of the perils of such fluidity in travel was exposed during a 2003 outbreak of severe acute respiratory syndrome (SARS).

Continued rapid transportation of humans around the world is likely to accelerate the movement of communicable diseases. Poverty, lack of adequate sanitation and nutrition, and the crowding of people into megacities in the developing countries of the world only exacerbate the situation. The need for

study and control of these disease is likely to grow in the future.

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Linda Rehkopf

## Community ecology

In biological ecology, a community is a set of interacting/non-interacting populations of the same or different species found in an area. Community ecology considers a community to be part of an ecosystem.

Community ecology considers, plant, insect, primate, forest, even herbaceous plant communities, and focuses on the living part of ecosystems, mostly on communities of interacting populations. While a community can include species that are together based on their common physical location that do not necessarily interact, community ecology generally emphasizes the wide diversity of species interactions that exist within the area.

Community ecologists investigate interactions under numerous labels and categories, including on-going studies of traditional topics such as predation, competition, and trophic exchanges, as three examples. Borrowing concepts from other disciplines such as physics, ecologists are also beginning to look closely at the linkages and assemblages that emerge from strong versus weak interactions, or from positive compared to negative interactions. Researchers continue to investigate the relative importance of intra-species and inter-species interactions in terms of their importance to community composition and rates of succession. One recent study, for example, analyzed the importance of interspecific interactions in the structuring of the geographical distribution and abundance of a tropical stream fish community.

The degree of pattern or randomness of community structure has long been an issue in community ecology. Natural communities are immensely complex and it is difficult to simplify this complexity down to useable, predictive models. Researchers continue to investigate the extent to which species' interactions can result in a unit organized enough to be considered a coherent community. The mechanics of community assembly depend heavily on invasions, rates of succession, and on changes in the physical environment, as well as a diversity of co-evolutionary patterns.

A debate still continues among community ecologists about the importance of complexity, the role of species diversity and richness in the maintenance of a community. Community structure patterns might be dependent, in part, on factors as seemingly trivial as seed weight. Accuracy in estimations of the number of species in a given community is difficult, including the large number of microorganisms as yet unidentified and unnamed.

Disturbances and perturbations are important factors in the composition and character of communities. The most significant source of disturbance and change in natural communities is human activity. Humans can also have positive effects through deliberate attempts to offset destructive impacts.

One other type of human impact, however, is receiving a lot of attention: evidence is mounting that climate change is increasingly impacting natural communities.

The information gained in community ecology studies is becoming increasingly important to achieving conservation objectives and establishing guidelines to management of the natural systems on which all humans depend. For example, clearer understanding of linkages established in communities through trophic

exchanges can help predict the impacts of concentrations of toxins and pollutants. Better understanding of organismic interactions in a community context can help in comprehending the processes that lead to extinction of species, information critical to attempts to slow the loss of biological diversity. Research into community dynamics can result in better decisions about establishing preserves and refuges, and can create sustainable harvesting strategies.

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Gerald L. Young

Community right-to-know see **Emergency Planning and Community Right-to-Know Act (1986)**.

## Compaction

Compaction is the mechanical pounding of soil and weathered rock into a dense mass with sufficient bearing strength or impermeability to withstand a load. It is primarily used in construction to provide ground suitable for bearing the weight of any given structure. With the advent of huge earth-moving equipment we are now able to literally move mountains. However, such disturbances loosen and expand the soil. Thus soil must be compacted to provide an adequate breathing surface after it has been disturbed. Inadequate compaction during construction results in design failure or reduced service life of a structure. Compaction, however, is detrimental to crop production because it makes root growth and movement difficult, and deprives the soil of access to life-sustaining oxygen.

With proper compaction we can build enduring roadways, airports, dams, building foundation pads, or clay liners for secure landfills. Because enormous

volumes of ground material are involved, it is far less expensive to use on-site or nearby resources wherever feasible. Proper engineering can overcome material deficiencies in most cases, if rigid quality control is maintained.

Successful compaction requires a combination of proper moisture conditioning, the right placement of material, and sufficient pounding with proper equipment. Moisture is important because dry materials seem very hard, but they may settle or become permeable when wet. Because of all the variables involved in the compaction process, standardized laboratory and field testing is essential.

The American Society of State Highway Transportation Officials (ASHTO) and the American Society of Testing Materials (ASTM) have developed specific test standards. The laboratory test involves pounding a representative sample with a drop-hammer in a cylindrical mold. Four uniform samples are tested, varying only in moisture content. The sample is trimmed and weighed, and portions oven-dried to determine moisture content.

The results are then graphed. The resultant curve normally has the shape of an open hairpin, with the high point representing the maximum density at the optimum moisture content for the compactive effort used. This curve reflects the fact that dry soils resist compaction, and overly-moistened soils allow the mechanical energy to dissipate. Field densities must normally meet 95 percent or higher of this lab result.

Because soils are notoriously diverse, several different “curves” may be needed; varied materials require the field engineer to exercise considerable judgment to determine the proper standard. Thus the field engineer and the earth-moving crew must work closely together to establish the procedures for obtaining the required densities.

In the past, density testing has required laboriously digging a hole, and comparing the volume with the weight of the material removed. Nuclear density gages have greatly accelerated this process and allow much more frequent testing if needed or desired. To take a reading, a stake is driven into the ground to form a hole into which a sealed nuclear probe can be lowered.

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Nathan H. Meleen

## Competition

Competition is the interaction between two organisms when both are trying to gain access to the same limited resource. When both organisms are members of the same species, such interaction is said to be “intraspecific competition.” When the organisms are from different species, the interaction is “interspecific competition.”

Intraspecific competition arises because two members of the same species have nearly identical needs for food, water, sunlight, nesting space, and other aspects of the environment. As long as these resources are available in abundance, every member of the community can survive without competition. When those resources are in limited supply, however, competition is inevitable. For example, a single nesting pair of bald eagles requires a minimum of 620 acres (250 ha) that they can claim as their own territory. If two pairs of eagles try to survive on 620 acres, competition will develop, and the stronger or more aggressive pair will drive out the other pair.

Intraspecific competition is also a factor in controlling plant growth. When a mature plant drops seeds, the seedlings that develop are in competition with the parent plant for water, sunlight, and other resources. When abundant space is available and the size of the community is small, a relatively large number of seedlings can survive and grow. When population density increases, competition becomes more severe and more seedlings die off.

Competition becomes an important limiting factor, therefore, as the size of a community grows. Those individuals in the community that are better adapted to gain food, water, nesting space, or some other limited resource are more likely to survive and reproduce. Intraspecific competition is thus an important factor in natural selection.

Interspecific competition occurs when members of two different species compete for the same limited resource(s). For example, two species of birds might both prefer the same type of insect as a food source and will be forced to compete for it if it is in limited supply.

Laboratory studies show that interspecific competition can result in the extinction of the species less well adapted for a particular resource. However, this result is seldom, if ever, observed in nature, at least among animals. The reason is that individuals can adapt to take advantage of slight differences in resource supplies. In the Galapagos Islands, for example, 13 similar species of finches have evolved from a single parent species. Each species has adapted to take advantage of some particular niche in the environment. As similar as they are, the finches do not compete with each other to any specific extent.

Interspecific competition among plants is a different matter. Since plants are unable to move on their own, they are less able to take advantage of subtle differences in an environment. Situations in which one species of plant takes over an area, causing the extinction of competitors, are well known.

One mechanism that plants use in this battle with each other is the release of toxic chemicals, known as allelochemicals. These chemicals suppress the growth of plants in other—and, sometimes, the same—species. Naturally occurring antibiotics are examples of such allelochemicals.

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David E. Newton

## Competitive exclusion

Competitive exclusion is the interaction between two or more species that compete for limited resources. It is an ecological principle involving competitors with similar requirements for habitat or resources; they utilize a similar niche. The result of the competition is that one or more of the species is ultimately eliminated by the species that is most efficient at

utilizing the limiting resource, a driving force of evolution. The competitive exclusion principle or “Gause’s principle” states that where resources are limiting, two or more species that have the same requirements for the limiting resources cannot co-exist. The co-existing species must therefore adopt strategies that allow resources to be partitioned so that the competing species utilize the resources differently in different parts of the habitat, at different times, or in different parts of the life cycle.

Marie H. Bundy

## Composting

Composting is a fermentation process, the breakdown of organic material aided by an array of microorganisms, earthworms, and other insects in the presence of air and moisture. This process yields compost (residual organic material often referred to as humus), ammonia, carbon dioxide, sulphur compounds, volatile organic acids, water vapor, and heat. Typically, the amount of compost produced is 40–60 percent of the volume of the original waste.

For the numerous organisms that contribute to the composting process to grow and function, they must have access to and synthesize components such as carbon, nitrogen, oxygen, hydrogen, inorganic salts, sulphur, phosphorus, and trace amounts of micronutrients. The key to initiating and maintaining the composting process is a carbon-to-nitrogen (C:N) ratio between 25:1 and 30:1. When C:N ratio is in excess of 30:1, the decomposition process is suppressed due to inadequate nitrogen limiting the evolution of bacteria essential to break the strong carbon bonds. A C:N ratio of less than 25:1 will produce rapid localized decomposition with excess nitrogen given off as ammonia, which is a source of offensive odors.

Attaining such a balance of ratio and range is possible because all organic material has a fixed C:N ratio in its tissue. For example, food waste has a C:N ratio of 15:1, sewage sludge has a C:N ratio of 16:1, grass clippings have a C:N ratio of 19:1, leaves have a C:N ratio of 60:1, paper has a C:N ratio of 200:1, and wood has a C:N ratio of 700:1. When these (and other) materials are mixed in the right proportions, they provide optimum C:N ratios for composting. Typically, nitrogen is the limiting component that is encountered in waste materials and, when insufficient nitrogen is present, the composting mixture can be

augmented with agricultural fertilizers, such as urea or ammonia nitrate.

In addition to nutrients, the efficiency of the composting process depends on the organic material's size and surface characteristics. Small particles provide multi-faceted surfaces for microbial action. Size also influences porosity (crevices and cracks which can hold water) and permeability (circulation or movement of gases and moisture).

Moisture (water) is an essential element in the biological degradation process. A moisture level of 55–60 percent by weight is required for optimal microbial, nutrient, and air circulation. Below 50 percent moisture, the nutrients to sustain microbial activity become limited; above 70 percent moisture, air circulation is inhibited.

Air circulation controls the class of microorganisms that will predominate in the composting process: air-breathing microorganisms are collectively termed aerobic, while microorganisms that exist in the absence of air are called anaerobic. When anaerobic microorganisms prevail, the composting process is slow, and unpleasant-smelling ammonia or hydrogen sulfide is frequently generated. Aerobic microorganisms will quickly decompose organic material into its principal components of carbon dioxide, heat and water.

The role of acidity and alkalinity in the composting process depends upon the source of organic material and the predominant microorganisms. Anaerobic microorganisms generate acidic conditions which can be neutralized with the addition of lime. However, such adjustments must be done carefully or nitrogen imbalance will occur that can further inhibit biological activity and produce ammonia gas, with its associated unpleasant odor. Organic material with a balanced C:N ratio will initially produce acidic conditions, 6.0 on the pH scale. However, at the end of the process cycle, mature compost is alkaline, with a pH reading greater than 7.0 and less than 8.0.

The regulation and measurement of temperature is fundamental to achieving satisfactory processing of organic materials. However, the effect of ambient or surface temperatures on the process is limited to periods of intense cold when biological growth is dormant. Expeditious processing and reduction of herbicides, pathogens, and pesticides is achieved when internal temperatures in the compost pile are maintained at 120–140°Fahrenheit (55–160°C). If the internal temperature is allowed to reach or exceed 150°Fahrenheit (65°C), biological activity is inhibited due to heat stress. As the nutrient content is depleted, the internal temperature

decreases to 85°Fahrenheit (30°C) or less—one criteria for defining mature or stabilized compost.

Mature or stabilized compost has physical, chemical, and biological properties which offer a variety of attributes when applied to a host soil. For example, adding compost to barren or disturbed soils provides organic and microbial resources. The addition of compost to clay soils enhances the movement of air and moisture. The water retention capacity of sandy soil is enhanced by the addition of compost and erosion also is reduced. Soils improved by the addition of compost also display other characteristics such as enhanced retention and exchange of nutrients, improved seed germination, and better plant root penetration. Compost, however, has insufficient nitrogen, phosphorous, and potassium content to qualify as a fertilizer. The ultimate *application* or *disposition* of compost depends upon its quality, which is a function of the type of organic material and the method(s) employed to enhance or control processing.

Compost processing can be as simple as a plastic garbage bag filled with a mixture of plant waste that has had a couple of ounces of fertilizer, some lime, and sufficient water added to make the material moist. The bag is then sealed and set aside for about twelve months. Faster processing can be achieved with the use of a 55 gal (208 l) drum into which 0.5-in (1.3-cm) diameter holes have been drilled for air circulation. Filled with the same mixture as the garbage bag and rotated at regular intervals, this method will produce compost in two to three months. A multi-compartmentalized container is faster and increases the diversity of materials which can be processed. However, the inclusion of items such as fruit and vegetable scraps, meat and dairy products, cardboard cartons, and fabrics within a composting container must be undertaken with caution because they attract and breed vermin.

Such methods are designed for individual use, especially by those who can no longer dispose of garden waste with their household waste. Similarly, commercial and government institutions employ natural (low), medium, and advanced technical composting methods, depending on their motivation, i.e., diminishing landfill capacity, availability of fiscal resources, and commitment to recycling. The simplest composting method currently employed by industry and municipalities entails dumping organic waste on a piece of land graded (sloped) to permit precipitation and leachate (excess moisture and organics from composting) to collect in a retention pond. The pond also serves as a source of moisture for the compost process and as a system where photosynthesis can oxidize the

leachate. The organic material is placed in piles called *windrows* (a ridge pile with a half-cone at each end). The dimensions of a windrow are typically 10–12 feet (3–3.7 m) wide at the base and about 6 ft (1.8 m) high at the top of the ridge. The length is site-specific. Windrows are constructed using a front-end loader. A mature compost will be available in twelve to twenty-four months, depending on various factors including the care with which the organic material was blended to obtain an optimum C:N ratio; the supplementation of the material with additional nutrients; the frequency of aeration (mixing and turning); and the moisture content maintained.

Using the same site layout, the next step in mechanization is the use of windrow turners. These turners can be simple aeration machines or machines with the added ability to shred material into smaller particles, while injecting supplemental moisture and/or nutrients. Optimizing the capabilities of such equipment requires close attention to temperature variation within the windrows. Typically, the operator will use a temperature probe to determine when the temperature falls in the range of 100° Fahrenheit (37–38°C). The equipment will then fold the outer surface of the windrow inward, replenishing air and moisture, and mixing in unconsumed or supplemental nutrients. This promotes further decomposition, which is identified by a gradual rise in temperature. Sequential turning and mixing will continue until temperatures are uniformly diminished to levels below 85° Fahrenheit (30°C). This method produces a mature compost in four to eight months.

Two more technologically advanced composting methods are the *in-vessel system* and the *forced-air system*. Both are capable of processing the bulk of all solid and liquid municipal wastes. However, such flexibility imposes substantial capital, technical, and operational requirements. In forced air processing, organic material is placed on top of a series of perforated pipes attached to fans that can either blow air into, or draw air through the pile to control its temperature, oxygen, and carbon dioxide needs. This system is popular for its ability to process materials high in moisture and/or nitrogen content, such as yard wastes. Time to produce a mature compost is measured in days, depending on the class of organic material processed. During in-vessel processing, organic material is continuously fed into an inclined rotating cylinder, where the temperature, moisture, and nutrient air and gas levels are closely controlled to achieve degradation within 24–72 hours. The composted material is then screened to remove foreign or inert materials such as glass, plastics, and metals and is allowed to mature for 21 days.

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George M. Fell

Composting toilets see **Toilets**.

## Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA)

In response to hazardous waste disasters such as Love Canal, New York, in the 1970s, Congress passed the Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), better known as Superfund, in 1980. The law created a fund of \$1.6 billion to be used to clean up hazardous waste sites and hazardous waste spills for a period of five years. The primary source of support for the fund came from a tax on chemical feedstock producers; general revenues supplied the rest of the money needed. CERCLA is different from most environmental laws because it deals with past problems rather than trying to prevent future pollution, and because the Environmental Protection Agency (EPA), in addition to acting as a regulatory agency, must clean up sites itself.

Throughout the decade before the creation of Superfund, the public began to focus increasing attention on hazardous wastes. During this period, an increased number of cases involving such wastes contaminating drinking water, streams, rivers, and even homes were reported. Citizens were enraged by dangers posed by leaking landfills, illegal dumping of hazardous wastes along roads or in vacant lots, and explosions and fires at some facilities.

A strong catalyst for hazardous waste regulation was the Love Canal episode near Niagara Falls, New York. In the late 1970s, chemical wastes from an abandoned dump were discovered in the basements of some homes. Studies found significant health effects, including miscarriages and low-weight newborns. Residents worried about increased cancer and birth defect rates. In the federal emergency declaration, a school and 200 houses were condemned. The combination of public concern and media coverage, together with EPA interest, brought national attention to this issue.

Debate soon began over proper government response to these problems. Industrial interests argued that a company should not be liable for cleaning up past hazardous waste dumps if it had not violated any law in disposing of the wastes. The industry argued that general taxes, not industry-specific taxes, should be used for the clean-up, and it sought to limit the legal liability of manufacturers in regard to the health effects of their hazardous wastes. Industrial companies also pushed for one national regulatory program, rather than a national program and several state programs to complicate the situation.

When Congress began debating a Superfund program in 1979, EPA officials argued that industry must pay the bulk of the clean-up costs. They based this argument on the philosophy that the polluter should pay, and also the pragmatic reasoning that Congress could not be relied on to continue appropriating the funds needed for such an expensive and lengthy program. The Senate focused on a comprehensive bill that included provisions for liability and victims' compensation, but these were dropped in order to secure passage of the program through the House. The act was signed by President Carter in December 1980.

Under the law, the EPA determines the most dangerous hazardous waste sites, based on characteristics like toxicity of wastes and risk of human exposure, and places them on the National Priorities List (NPL), which determines priorities for EPA efforts. The EPA has the authority to either force those responsible for the site to clean it up, or clean up the site itself with the Superfund money and then seek to have the fund reimbursed through court action against the responsible parties. If more than one company had dumped wastes at a site, the agency is able to hold one party responsible for all clean-up costs. It is then up to that party to recover its costs from the other responsible parties. If those identified as responsible by the EPA deny their responsibility in court and lose, they are liable for treble damages. Removal actions, emergency clean-ups, or actions costing less than \$2 million and

lasting less than a year, can be undertaken by the EPA for any site. For federal hazardous waste sites, the clean-up must be paid for through the appropriation process rather than through Superfund. States are required to contribute 10 to 50 percent of the cost of clean-ups within their boundaries; they are also responsible for all operation and maintenance costs once the job is finished. The EPA can also delegate lead clean-up authority to the states.

Major amendments to CERCLA were passed in 1986. As the scope of the problem grew, Congress re-authorized the Superfund through 1991 and increased its size to \$8.5 billion. Plans indicated that the enlarged Superfund would be financed by taxes on petroleum, feedstock chemicals, corporate income, general revenue, interest from money in the fund, and money recovered from companies responsible for earlier clean-ups. The amendments required several areas of compliance: (1) The clean-ups must meet the applicable state and federal environmental standards; (2) The EPA must begin clean-up on at least 375 sites by 1991; (3) Negotiated settlements for clean-ups are preferred to court litigation; (4) Emergency procedures and community right-to-know standards, are required in areas with hazardous waste facilities (largely in response to the Bhopal, India toxic gas disaster); and (5) Federal agencies must comply with Superfund Amendments and begin the clean-up of federal facilities and sites. The 1991 Superfund Amendments and Reauthorization Act authorized a four-year extension of the taxes that financed Superfund, but the law was not changed significantly.

At the start of 2010, there were 1270 sites on the NPL. Three hundred and forty sites have been delisted and sixty-three new ones proposed since the creation of the NPL.

Studies of Superfund implementation have been quite critical. Reports by Congressional committees, the General Accounting Office, and the Office of Technology Assessment (OTA) concluded that the EPA relied on temporary rather than permanent treatment methods, took too long to clean up sites because of poor management, too frequently opted to use the Superfund for clean-ups rather than requiring responsible parties to pay, and often lacked the expertise to oversee Superfund clean-up operations.

Another problem had been the amount of expensive and time-consuming litigation involved in the act. In some cases litigation costs to determine responsible parties and recover clean-up costs has exceeded the cost of clean-up itself. Between 1995 and 2002, the EPA recovered less than 15 percent of what it spent on clean-up from private parties.

See also Hazardous material; Hazardous Materials Transportation Act (1975); Hazardous Substances Act (1960); Hazardous waste site remediation; Toxic substance.

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Christopher McGrory Klyza

## Computer disposal

Because computer technology changes so quickly, the average computer sold in the United States becomes obsolete in only three years. According to the U.S. Environmental Protection Agency (EPA) slightly over 41 million desktop and laptop computers were discarded in 2007 in the United States alone. The EPA also reported that over 3 million tons of electronic equipment was discarded in 2007, with only 13.6 percent being recycled. Some older computers find new users when they are passed on to nonprofit groups, schools, or needy families. Additionally, some manufacturers arrange to take back their out-of-date products.

Computers contain a variety of materials, some of them toxic. If computers are not recycled but disposed of in landfills, valuable material is wasted, and toxins, particularly lead and mercury, may present a hazard to people and the environment. As many consumers are still storing two or three older computers at home, the number of computers currently in the waste stream is only a fraction of what it might be, so computer disposal is a looming problem.

In the United States, the electronics industry, conservation groups, and government organizations began working toward a satisfactory system of computer disposal in the early 2000s. The Japanese government enacted a computer recycling law in 2001, and the European Union (EU) passed similar legislation in 2003. The EU mandate is often referred to as the Restriction of Hazardous Substances (RoHS)

Directive, and it took effect for EU member states on July 1, 2006. The RoHS is aimed at reducing six especially-toxic substances in new electronics equipment sold in the EU, including lead (Pb), cadmium (Cd), mercury (Hg), and polybrominated biphenyls (PBBs).

A typical computer consists of 30–40 percent plastic. The plastic may be of several different types. Unlike the plastic in food and beverage containers, which is usually given a number to identify it and make recycling easier, plastic in computers is unlabeled. A computer may contain over four pounds of lead, as well as small amounts of the toxic metals mercury and cadmium, and traces of other metals including gold, silver, steel, aluminum, copper, and nickel.

Recycling a computer is not a simple process, and is also quite expensive. To recycle a computer it must be broken into parts, and its usable materials separated. Plastic used for computers can be recovered, reprocessed into pellets, and sold for re-use. The metals also can be recovered, although the process itself can generate dangerous waste. For instance, gold can be stripped off computer chips with a wash of hydrochloric acid. The acid must then be treated or stored safely, or it can contaminate the environment.

Recycling is not an easy solution to the problem of computer disposal. However, a few companies in the United States have found electronics recycling to be a profitable business. A report compiled by two West Coast environmental groups found that up to 80% of computers collected for recycling in California and other western states ended up in third world countries, where parts were often salvaged by low-paid workers. Not only were workers often unprotected against toxic materials, but toxic waste was dumped directly into lakes and streams, the report detailed.

Some government and conservation groups have suggested that the computer industry try to reduce toxic waste by redesigning its products. Labeling of plastics used would simplify plastic recycling, as would phasing out some more harmful materials and toxic fire-retardant coatings. Making computers with parts that snap together instead of using glue or metal nuts and bolts is another design consideration that can make computers easier to recycle. A number of major manufacturers, such as Dell Computer and IBM, have created their own recycling programs. In 2009, Dell claimed to be the first major computer manufacturer in the U.S. to ban shipments of non-working electronics

(computers, computer peripherals, etc.) to developing countries. The ban is aimed at helping to alleviate the negative effects to the environment and workers' health that is so often associated with third-world electronics recycling. A coalition of industry, environmental, and government groups called the National Electronics Product Stewardship Initiative began meeting in 2001 to come up with national guidelines for computer disposal, which were published in a 2004 resolution. The high cost of computer recycling is expected to decline somewhat as the volume of recycled machines rises. Because of the vast numbers of computers in the United States that could potentially be discarded in the coming years, it is imperative that recycling and safe disposal programs be enhanced and expanded.

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#### ORGANIZATIONS

Electronic Industries Alliance, 2500 Wilson Boulevard, Arlington, VA, USA, 22201, (703) 907-7500, <http://www.eia.org>

National Electronics Product Stewardship Initiative, <http://eerc.ra.utk.edu/clean/nepsi/>

Angela Woodward

## Condensation nuclei

A physical mass that serves as a platform for condensation or crystallization. When air is cooled below its dew point, the water vapor it contains tends to condense as droplets of water or tiny ice crystals. Condensation may not occur, however, in the absence of tiny particles on which the water or ice can form. These particles are known as condensation nuclei. The most common types of condensation nuclei are crystals of salt, particulate matter formed by the combustion of fossil fuels, and dust blown up from Earth's surface. In the process of cloud-seeding, scientists add

tiny crystals of dry ice or silver iodide as condensation nuclei to the atmosphere to promote cloud formation and precipitation.

Condor see **California condor.**

Congenital malformations see **Birth defects.**

## Congo River and basin

The Congo River (also known as the Zaire River) is the third longest river in the world, and the second longest in Africa (after the Nile River in northeastern Africa). Its river basin, one of the most humid in Africa, is also the largest on that continent, covering over 12 percent of the total land area.

### History

The equatorial region of Africa has been inhabited since approximately the middle Stone Age. Late Stone Age cultures flourished in the southern savannas after about 10,000 B.C. and remained functional until the arrival of Bantu-speaking peoples during the first millennium B.C. In a series of migrations taking place from about 1,000 B.C. to the mid-first millennium A.D., many Bantu-speakers dispersed from an area west of the Ubangi-Congo River swamp across the forests and savannas of the region known as the modern-day Democratic Republic of the Congo.

In the precolonial era, this region (modern-day Democratic Republic of the Congo) was dominated by three kingdoms: Kongo (late 1300s), the Loango (at its height in the 1600s), and Tio. Portuguese navigator Diogo Cam was the first European to sail up the mouth of the Congo in 1482. After meeting with the rulers of the Kingdom of Kongo, Cam negotiated intercontinental trade and commerce agreements—including the slave trade—between Portugal and the region. And a long history of colonialism began.

Over the centuries, the Congo River has inspired both mystery and legend, from the explorations of Henry Morton Stanley and David Livingstone in the 1870s, to Joseph Conrad, whose novel, *Heart of Darkness* transformed the river into an eternal symbol of the "dark continent" of Africa.

### Characteristics

The Congo River is approximately 2,720 miles (4,375 km) long, and its drainage basin consists of

about 1.3 million square miles (3.6 million km<sup>2</sup>). The basin encompasses nearly the entire Democratic Republic of the Congo (capital: Kinshasa), Republic of Congo (capital: Brazzaville), Central African Republic, eastern Zambia, northern Angola, and parts of Cameroon and Tanzania. The river headwaters emerge at the junction of the Lualaba (the Congo's largest tributary) and Luvua rivers. The flow is generally to the northeast first, then west, and finally south to its outlet into the Atlantic Ocean at Banana, Republic of Congo.

The Congo basin comprises one of the most distinct land depressions between the Sahara desert to its north, and the Atlantic Ocean to its south and west. The river's tributaries flow down slopes varying from 900 to 1,500 feet (274–457 m) into the central depression forming the basin. This depression extends for more than 1,200 miles (1,931 km) from the north to the south, from the Congo Lake Chad watershed, to the plateaus of Angola. From the east to west of the depression is another 1,200 miles (1,931 km)—from the Nile-Congo watershed to the Atlantic Ocean. The width of the Congo River ranges from 3.5 miles (5.75 km) to 7 miles (about 11.3 km); and its banks contain natural levees formed by silt deposits. During floods, however, these levees overflow, widening the river.

With an average annual rainfall of 1,500 mm of rain (about 60 in), about three-quarters returns to the atmosphere by evapotranspiration; the rest is discharged into the Atlantic. The river is divided into three main regions: the upper Congo, with numerous tributaries, lakes, waterfalls, and rapids; the middle Congo; and, the lower Congo. The middle Congo is characterized by its seven waterfalls, collectively referred to as Boyoma (formerly Stanley) Falls. It is below these falls that navigation on the river becomes possible. The river has approximately 10,000 mi (about 16,000 km) of waterways, creating one of the main transportation routes in Central Africa.

### Economic and environmental impact

Due to its size and other key elements, the Congo River and its basin are crucial to the ecological balance of an entire continent. Although the Congo water discharge levels were unstable throughout the second half of the twentieth century—the hydrologic balance of the river has provided some relief from the drought that has afflicted the river basin. This relief occurs even with dramatic fluctuations of rainfall throughout the various terrain through which the river passes.

Researchers have suggested that soil geology plays a key role in maintaining the river's discharge

stability despite fluctuations in rainfall. The sandy soils of the Kouyou region, for example, have a stabilizing effect in their ability to store or disperse water.

In 1999, the World Commission on Water for the twenty-first century, based in Paris and supported by the World Bank and the United Nations, found that the Congo was one of the world's cleanest rivers—in part due to the lack of industrial development along its shores until that time. However, the situation is changing.

The rapidly increasing human population threatens to compromise the integrity of Congo basin ecosystems. Major threats to the large tropical rainforests and savannas, as well as to wildlife, come from the exploitation of natural resources. Uncontrolled hunting and fishing, deforestation (which causes sedimentation and erosion near logging operations) for timber sale or agricultural purposes, unplanned urban expansion (which increases the potential for an increase in untreated sewage and other sources of pollution that could harm nearby freshwater systems), and unrestrained extraction of oil and minerals are some of the major economic and environmental issues confronting the region. And these issues are expected to have a global impact as well.

### Wildlife

According to the World Wildlife Fund, the Congo River and its basin, also known as the “Congo River and Flooded Forests ecoregion,” is home to the most diverse and distinctive group of animals adapted to a large-river environment in all of tropical Africa.

The Congo river had no outlet to the ocean during the Pliocene Age (5.4–2.4 million years ago) but was instead a large lake. Eventually, the water broke through the rim of the lake, emerging as a river that passed over rocks through a series of rapids, then entered the Atlantic. Except for the beginning and end of its course, the river is uniformly elevated.

With more than 700 fish species, 500 of which are endemic to the river, the Congo basin ranks second only to the Amazon in its diversity of species. Nearly 80 percent of fish species found in the Congo basin exist nowhere else in the world. The various species live both in the river and its attendant habitats—swamps, nearby lakes, and headwater streams. They feed in a variety of ways: scouring the mud at the river's bottom; eating scales off of live fish; and eating smaller fish. Certain fish have even adapted to the river's muddy waters. For example, some have reduced eye size, or no eyes at all, yet easily maneuver through the swift current. The Congo's freshwater fish are a crucial protein source for Central Africa's population;

yet the potential for over-fishing near the urban areas along its banks threatens the available supply.

There are also a wide variety of aquatic mammals—such as unusual species of otters, shrews, and monkeys—that are indigenous to the river basin. Rainforests cover over 60 percent of the Democratic Republic of the Congo, and represents nearly 6 percent of the world’s remaining forested area, and 50 percent of Africa’s remaining forests. Many of the world’s endangered species live near the river, including gorillas.

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World Wildlife Fund, 1250 24th St. N.W., P.O. Box 97180., Washington, DC, USA, 20090-7180, 202-293-9211, 1-800-CALL-WWF, <http://www.worldwildlife.org>

Jane E. Spear

## Coniferous forests

Coniferous forests contain trees with cones and generally evergreen needle or scale-shaped leaves. Important genera in the northern hemisphere include pines (*Pinus*), spruces (*Picea*), firs (*Abies*), redwoods (*Sequoia*), Douglas firs (*Pseudotsuga*), and larches (*Larix*). Different genera dominate the conifer forests of the southern hemisphere. Conifer forests occupy regions with cool-moist to very cold winters and cool to hot summers. Many conifer forests originated as plantations of species from other continents. Among conifer formations in North America are the slow-growing circumpolar taiga (boreal), the subalpine-montane, the southern pine, and the Pacific Coast temperate rain forest. Softwoods, another name for conifers, are used for lumber, panels, and paper.

## Conservation

Conservation is the philosophy or policy that natural resources should be used cautiously and rationally so that they will remain available for future generations. Widespread and organized conservation



**A pine tree sprouts up next to lines of hardwood cord wood, which was harvested as part of a conservation project, on conservation land in Weston, Mass., 2010. (AP Photo/Charles Krupa)**

movements, dedicated to preventing uncontrolled and irresponsible exploitation of forests, lands, wildlife, and water resources, first developed in the United States in the last decades of the nineteenth century. This was a time at which accelerating settlement and resource depletion made conservationist policies appealing both to a large portion of the public and to government leaders. Since then, international conservationist efforts, including work of the United Nations, have been responsible for monitoring natural resource use, setting up nature preserves, and controlling environmental destruction on both public and private lands around the world.

The name most often associated with the United States’ early conservation movement is that of Gifford Pinchot (1865–1946), the first head of the U.S. Forest Service. A populist who fervently believed that the best use of nature was to improve the life of the common citizen, Pinchot brought scientific management methods to the Forest Service. Pinchot also brought a strongly utilitarian philosophy, which continues to

prevail in the Forest Service. Beginning as an advisor to former U.S. President Theodore Roosevelt (1858–1919), himself an ardent conservationist, Pinchot had extensive influence in Washington and helped to steer conservation policies from the turn of the century to the 1940s. Pinchot had a number of important predecessors, however, in the development of American conservation. Among these was George Perkins Marsh (1801–1882), a Vermont forester and geographer whose 1864 publication, *Man and Nature*, is widely held as the wellspring of American environmental thought. Also influential was the work of American geologist John Wesley Powell (1834–1902), Clarence King (1842–1901), and other explorers and surveyors who, after the Civil War, set out across the continent to assess and catalog the country's physical and biological resources and their potential for development and settlement.

Conservation, as conceived by Pinchot, Powell, and Roosevelt was about using, not setting aside, natural resources. In their emphasis on wise resource use, these early conservationists were philosophically divided from the early preservationists, who argued that parts of the American wilderness should be preserved for their aesthetic value and for the survival of wildlife, not simply as a storehouse of useful commodities. Preservationists, led by the eloquent writer and champion of Yosemite Valley, Scottish-born American John Muir (1838–1914), bitterly opposed the idea that the best vision for the nation's forests was that of an agricultural crop, developed to produce only useful species and products. Pinchot, however, insisted that “The object of [conservationist] forest policy is not to preserve the forests because they are beautiful. or because they are refuges for the wild creatures of the wilderness. but the making of prosperous homes. Every other consideration is secondary.” Because of its more moderate and politically palatable stance, conservation became, by the turn of the century, the more popular position. By 1905 conservation had become a blanket term for nearly all defense of the environment; the earlier distinction was lost until it began to re-emerge in the 1960s as environmentalists began once again to object to conservation's anthropocentric (human-centered) emphasis. More recently deep ecologists and bioregionalists have likewise departed from mainstream conservation, arguing that other species have intrinsic rights to exist outside of human interests.

Several factors led conservationist ideas to develop and spread when they did. By the end of the nineteenth century European settlement had reached across the entire North American continent. The

census of 1890 declared the American frontier closed, a blow to the American myth of the virgin continent. Even more important, loggers, miners, settlers, and livestock herders were laying waste to the nation's forests, grasslands, and mountains from New York to California. The accelerating, and often highly wasteful, commercial exploitation of natural resources went almost completely unchecked as political corruption and the economic power of timber and lumber barons made regulation impossible. At the same time, the disappearance of American wildlife was starkly obvious. Within a generation the legendary flocks of passenger pigeons (*Ectopistes migratorius*) disappeared entirely, many of them shot for pig feed while they roosted. Millions of bison were slaughtered by market hunters for their skins and tongues or by sportsmen shooting from passing trains. Natural landmarks were equally threatened—Niagara Falls nearly lost its water to hydropower development, and California's Sequoia groves and Yosemite Valley were threatened by logging and grazing.

At the same time, post-Civil War scientific surveys were crossing the continent, identifying wildlife and forest resources. As a consequence of this data gathering, evidence became available to document the depletion of the continent's resources, which had long been assumed inexhaustible. Travellers and writers, including John Muir, Theodore Roosevelt, and Gifford Pinchot, had the opportunity to witness the alarming destruction and to raise public awareness and concern. Meanwhile an increasing proportion of the population had come to live in cities. These urbanites worked in occupations not directly dependent upon resource exploitation, and they were sympathetic to the idea of preserving public lands for recreational interests. From the beginning this urban population provided much of the support for the conservation movement.

As a scientific, humanistic, and progressive policy, conservation has led to a great variety of projects. The development of a professionally trained forest service to maintain national forests has limited the uncontrolled tree mining practiced by logging and railroad companies of the nineteenth century. Conservation-minded presidents and administrators have set aside millions of acres of public land for national forests, parks, and other uses for the benefit of the public. A corps of professionally trained game managers and wildlife managers has developed to maintain game birds, fish, and mammals for public recreation on federal lands. For much of its history, federal game conservation has involved extensive predator elimination programs, however several decades of protest have led to more ecological approaches to game

management in recent decades. During the administration of former U.S. President Franklin D. Roosevelt (1882–1945), conservation projects included such economic development projects as the Tennessee Valley Authority (TVA), which dammed the Tennessee River for flood control and electricity generation. The Civilian Conservation Corps developed roads, built structures, and worked on erosion control projects for the public good. During this time the Soil Conservation Service was also set up to advise farmers in maintaining and developing their farmland.

At the same time, voluntary citizen conservation organizations have done extensive work to develop and maintain natural resources. The Izaak Walton League, Ducks Unlimited, and scores of local gun clubs and fishing groups have set up game sanctuaries, preserved wetlands, campaigned to control water pollution, and released young game birds and fish. Other organizations with less directly utilitarian objectives also worked in the name of conservation: the National Audubon Society, the Sierra Club, the Wilderness Society, The Nature Conservancy, and many other groups formed between 1895 and 1955 for the purpose of collective work and lobbying in defense of nature and wildlife.

An important aspect of conservation's growth has been the development of professional schools of forestry, game management, and wildlife management. When Gifford Pinchot began to study forestry, Yale had only meager resources and he gained the better part of his education at a French school of forest management in Nancy, France. Several decades later the Yale School of Forestry (financed largely by the wealthy Pinchot family) was able to produce such well-trained professionals as American ecologist Aldo Leopold (1887–1948), who went on to develop the United States' first professional school of game management at the University of Wisconsin.

From the beginning, American conservation ideas, informed by the science of ecology and the practice of resource management on public lands, spread to other countries and regions. It is in recent decades, however, that the rhetoric of conservation has taken a prominent role in international development and affairs. The most visible international conservation organizations today are the United Nations Environment Program (UNEP), the Food and Agriculture Organization of the United Nations (FAO), and the World Wildlife Fund. In 1980 the International Union for the Conservation of Nature and Natural Resources (IUCN) published a document entitled the *World Conservation Strategy*, dedicated to helping individual states, and especially developing countries,

plan for the maintenance and protection of soil, water, forests, and wildlife. A continuation and update of this theme appeared in 1987 with the publication of the UN World Commission on Environment and Development's paper, *Our Common Future*. The idea of sustainable development, a goal of ecologically balanced, conservation-oriented economic development, was introduced in this 1987 paper and has since become a dominant ideal in international development programs.

With the growing human population and increasing land development to support this growth (both for food supply and housing), deforestation and climate change are issues closely linked with conservation. Deforestation destroys habitats for both plants and animals inhabiting those areas. For rare or endemic (species unique to a specific geographic area) species, this destruction of habitat can result in extinction. By establishing protected areas for conservation, these organisms can be ensured a habitat to thrive without disturbance. There are over 102,000 protected areas worldwide, and more are being added over time. These protected areas constitute 11.5 percent of the world's land surface area. Because less than one percent of marine areas are protected, efforts are being focused on increased aquatic habitat protection. Deforestation also results in the release of previously stored carbon from plants into the atmosphere. This contributes to increasing carbon dioxide (CO<sub>2</sub>) levels and ultimately climate change. A changing climate can affect the survival of organisms adapted to a specific climate range. Through the establishment of protected areas and reforestation projects, conservation of plant and animal species as well as the reduction of greenhouse gas accumulation can be achieved.

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Mary Ann Cunningham

## Conservation biology

Conservation biology is concerned with the application of ecological and biological science to the conservation and protection of Earth’s biodiversity. Conservation biology is a relatively recent field of scientific activity, having emerged during the past several decades in response to the accelerating biodiversity crisis. Conservation biology represents an integration of theory, basic and applied research, and broader educational goals. It includes much of ecology but extends it with social sciences, policy, and management.

The most important cause of the biodiversity crisis is the disturbance of natural habitats, particularly through the conversion of tropical forests into agricultural habitats. Biodiversity is also greatly threatened by the excessive hunting of certain species, by commercial forestry, by climate change, and by other stressors associated with human activities, such as air and water pollution. A central goal of conservation biology is to discover ways of avoiding or repairing the damages that human influences are causing to biodiversity. Important considerations include the development of science-based methods for conserving endangered

populations of species on larger landscapes (or seascapes, in marine environments), and of designing systems of protected areas where natural ecosystems and indigenous species can be conserved.

### Biodiversity and its importance

Biodiversity can be defined as the total richness of biological variation. The scope of biodiversity ranges from the genetic variation of individuals within and among populations of species, to the richness of species that co-occur in ecological communities. Some ecologists also consider biodiversity to include the spatial and temporal changes of communities on the greater landscape or seascape.

About 1.7 million of Earth’s species have been identified and given a scientific name. However, biologists have not yet discovered most species, especially those living in tropical habitats. According to some estimates, Earth may support as many as 30–50 million species, of which 90 percent occur in tropical ecosystems, particularly in old-growth rainforests. Biologists believe that most of the unknown species are invertebrates, especially species of beetles and other insects. Compared with invertebrates, the numbers of species of plants and vertebrate animals are relatively well known.

Biodiversity is valuable for many reasons, but these reasons can be grouped into the following three classes:

(1) *Intrinsic value*. Regardless of its worth in terms of the needs of humans, biodiversity has its own, intrinsic value.

(2) *Utilitarian value*. Humans have an undeniable need to harvest wild and domesticated species and their communities as sources of food, materials, and energy. Although harvests of biodiversity can be conducted in ways that foster renewal, these potentially renewable resources are often harvested or managed too intensively, resulting in degradation or extinction of the resource.

(3) *Provision of ecological services*. Biodiversity provides numerous ecological services that are directly and indirectly important to human welfare. These services include biological productivity, nutrient cycling, cleansing of water and air, control of erosion, provision of atmospheric oxygen and removal of carbon dioxide, and other functions related to the health and integrity of ecosystems. According to the American biologist Peter Raven (1936–): “Biodiversity keeps the planet habitable and ecosystems functional.”

## Threats to biological diversity

Biodiversity at local, regional, continental, and global scales is critically threatened by human activities. The damages that are being caused to Earth's species and ecosystems are so severe that they are referred to by ecologists as a biodiversity crisis. Many permanent losses of biodiversity have already been caused by human influences, including the extinctions of numerous species and the losses of distinctive, natural communities. Unless there are substantial changes in the ways that humans affect ecosystems, there will be enormously greater losses of biodiversity in the near future.

Earth's natural biodiversity has always been subjected to extinction (that is, the permanent loss of species and other groups). The fossil record shows that species, families, and even entire phyla have appeared and disappeared on Earth. For example, many invertebrate phyla proliferated during an evolutionary radiation at the beginning of the Cambrian era about 570 million years ago, but most of these are now extinct.

Many of the natural extinctions occurred simultaneously, apparently as a result of an unpredictable catastrophe. For instance, about 65 million years ago a mass extinction occurred that resulted in the loss of the last of the dinosaurs and as many as 76 percent of the then-existing species. That catastrophe is believed to have been caused by a meteorite impacting Earth. In other cases, natural extinctions have been caused by more gradual environmental changes, for example in climate or in the intensity of disease or predation.

More recently, however, humans have been responsible for almost all of the extinctions that are occurring. In fact, species are now being lost so quickly that the changes represent a modern mass extinction. Well-known examples of extinctions caused by humans include the dodo (*Raphus cucullatus*), passenger pigeon (*Ectopistes migratorius*), and great auk (*Pinguinus impennis*). Numerous other species have been taken to the brink of extinction, including the plains bison (*Bison bison bison*), whooping crane (*Grus americana*), ivory-billed woodpecker (*Campephilus principalis*), and right whale (*Eubalaena* genus). These losses have been caused by over-hunting and the disturbance and conversion of natural habitats.

In addition to these famous cases involving large animals, an even more ruinous damage to Earth's biodiversity is being caused by extensive losses of tropical ecosystems, particularly the conversion of tropical rain forests into agricultural habitats. Because tropical ecosystems are particularly rich in numbers of

species, loss of natural tropical habitat causes extinctions of numerous species. Many of those species occurred nowhere else but in particular tropical locales. Species that are only found in a specific area are referred to as endemic species.

The mission of conservation biology is to understand the causes and consequences of the modern crisis of extinction and degradation of Earth's biodiversity, and then to apply scientific principles to preventing or repairing the damages. This is largely done by conserving populations and by protecting natural areas.

## Conservation at the population level

In some cases, endangered species can be enhanced by special programs that increase their breeding success and enhance the survival of their populations. Usually, a variety of actions is undertaken, along with the preservation of appropriate habitat, under a scheme that is known as a population recovery plan. Components of a population recovery plan may include such actions as (1) the careful monitoring of wild populations and the threats that they face; (2) research into the specific habitat needs of the endangered species; (3) the establishment of a captive-breeding program and the release of surplus individuals into the wild; (4) research into genetic variation within the species; and (5) other studies of basic biology and ecology that are considered necessary for preservation of the species, particularly in its natural habitats. Unfortunately, population recovery plans have only been developed for a small fraction of endangered species, and most of these have been prepared for species that occur in relatively wealthy countries.

One example involves the whooping crane (*Grus americana*), an endangered species in North America. Because of excessive hunting and critical habitat loss, this species declined in abundance to the point where as few as only 15 individuals were alive in 1941. Since then, however, the wild population of whooping cranes has been vigorously protected in the United States and Canada, and their critical breeding, migratory, and wintering habitats have been preserved. In addition, the basic biology and behaviour of whooping cranes have been studied, and some wild birds have been taken into captivity and used in breeding programs to increase the total population of the species. Some of the captive-bred animals have been released to the wild, and whooping crane eggs have also been introduced into the nests of the closely related sandhill crane (*Grus canadensis*), which serve as foster parents. These applications of conservation biology have allowed the critically endangered population of whooping cranes to increase to more than 150 individuals in the mid-1980s,

and to about 600 birds in 2009, of which about a quarter were in captivity. Because of these actions, there is now guarded optimism for the survival of this endangered species.

### Protected areas

Protected areas such as parks and ecological reserves are necessary for the conservation of biodiversity in wild, natural ecosystems. A protected area is defined by the International Union for Conservation of Nature (IUCN) as “an area of land and/or sea especially dedicated to the protection and maintenance of biological diversity, and of natural and associated cultural resources, and managed through legal or other effective means.” Most protected areas are established for the preservation of natural values, particularly the known habitats of endangered species, threatened ecological communities, or representative examples of widespread communities. However, many protected areas (particularly parks) are also used for human activities, as long as they do not severely threaten the ecological values that are being conserved. These uses can include ecotourism, and in some cases fishing, hunting, and even timber harvesting. In the most recent United Nations List of Protected Areas in 2009, there were over 102,000 protected areas globally, with a total area of about 7.3 million square miles (18.8 million square kilometers). This area constitutes about 11.6 percent of the world’s land surface compared with 6.3 percent of aquatic areas being protected. More areas are being added to the total protected area over time, and more concentrated efforts are focusing on protecting marine areas.

Ideally, a national system of protected areas would provide for the longer-term conservation of all native species and their natural communities, including terrestrial, freshwater, and marine ecosystems. So far, however, no country has implemented a comprehensive system of ecological reserves to fully protect the natural biodiversity of the region. Moreover, many existing reserves are relatively small and are threatened by environmental changes and other disturbances, such as illegal hunting of animals and plants and sometimes intensive tourism.

Ecological knowledge has allowed conservation biologists to make important contributions to the optimized design of networks of protected areas. Important considerations include: (1) the need to protect areas that provide adequate representation of all types of natural ecosystems; (2) the need to preserve all endangered ecosystems and the habitats of threatened species; (3) the requirement of redundancy, so that if one example of an endangered ecosystem becomes lost

through an unavoidable natural disturbance (such as a hurricane or wildfire), the type will continue to survive in another protected area; (4) the need to decide whether or not the network of protected areas should be linked by corridors, a matter of some controversy among ecologists.

Conservation biology has also made important contributions towards the spatial design of individual protected areas. Important considerations include (1) the need to make protected areas as large as possible, which will help to allow species and ecosystems to better cope with disturbances and environmental changes; (2) a preference for smaller reserves to have a minimal amount of edge, which helps to avoid damages that can be caused by certain predators and invasive species; (3) the need to take an ecosystem approach which ensures that the reserve and its surrounding area will be managed in an integrated manner.

Although conservation biology is a relatively young field, important progress is being made towards development of the effective ecological and biological tools necessary to preserve biodiversity. Climate change (global warming) induced by human activities such as habitat fragmentation and deforestation, coupled with increased greenhouse gas emissions, is having a negative impact on biodiversity as global temperatures increase. Increasingly, efforts are being made to reduce and potentially reverse these effects.

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Bill Freedman

Conservation design see **Urban sprawl**.

## Conservation easements

A conservation easement is a covenant, restriction, or condition in a deed, will, or other legal document that allows the owner to maintain ownership and control of real property, but restricts the use of that property so the land is conserved in its natural state, or, in the case of a historic conservation easement, so that it provides a historic benefit. The uses allowed by the easement can include recreation, agriculture, cultural uses, and establishment of wildlife habitat. The federal government allows tax deductions for conservation easements that provide a certified value to the public, such as protecting ecologically valuable natural habitat or, in the case of an easement based on the historical conservation of the property, that contribute to the historic character of the district in which the property is located.

Marie H. Bundy

## Conservation International

Conservation International (CI) is a non-profit, private organization dedicated to saving the world's endangered rain forests and the plants and animals that rely on these habitats for survival. CI is basically a scientific organization, a fact which distinguishes it from other conservation groups. Its staff includes leading scientists in the fields of botany, ornithology, herpetology, marine biology, entomology, and zoology.

Founded in 1987 when it split off from the Nature Conservancy, CI now has over 55,000 members. The group, headed by Peter A. Seligmann, has gathered accolades since its inception. In 1991 *Outside Magazine* gave CI an A- (one of the two highest grades received) in its yearly report card rating fourteen leading environmental groups.

The high praise is well founded. CI tends to successfully implement its many projects and goals. Many CI programs focus on building local capacity for conservation in developing countries through financial and technical support of local communities, private organizations, and government agencies. Their "ecosystem conservation" approach balances conservation goals with local economic needs. CI also funds and provides technical support to local communities, private organizations, and government agencies to help build sustainable economies while protecting rain forest ecosystems.

Four broad themes underlie all CI projects: (1) a focus on entire ecosystems; (2) integration of economic interests with ecological interests; (3) creation of a base of scientific knowledge necessary to make conservation-minded decisions; and (4) an effort to make it possible for conservation to be understood and implemented at the local level.

CI has offices in more than thirty countries, including Botswana, Brazil, Colombia, Indonesia, Mexico, New Guinea, and the Philippines, and projects in many more. In 2000, CI expanded into Cambodia and China, among others.

Among CI's many successful projects is the Rapid Assessment Program (RAP), which enlists the world's top field scientists to identify wilderness areas in need of urgent conservation attention. RAP teams have completed around sixty projects around the world and have identified many new species in this way. CI has also helped establish important biosphere reserves in rain forest countries. These efforts successfully demonstrate CI's ecosystem conservation approach, and prove that the economic needs of local communities can be reconciled with conservation needs. No harvesting or hunting is allowed in the reserves, but buffer zones, which include villages and towns, are located just outside the core areas.

CI strongly supports many educational programs. In 1988, it signed a long-term assistance agreement with Stanford University which involves exchange and training of Costa Rican students and resource managers. In 1989 CI began a program with the University of San Carlos which provides financial and technical support to the research activities in northern Guatemala of the university's Center for Conservation Studies.

In 2002, CI has already established several new ways to educate the people and help the environment, such as Centers for Biodiversity Conservation, the Global Conservation Fund, and a joint venture with Ford Motor Company called the Center for Environmental Leadership in Business. CI also focuses on activities in the major wilderness areas identified as the most endangered. The organization also has expanded its conservation efforts to new ecosystems, including marine, desert, and temperate rain forest regions.

### Resources

#### ORGANIZATIONS

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Cathy M. Falk

## Conservation Reserve Program

The Conservation Reserve Program (CRP) is a voluntary program for agricultural landowners, that encourages farmers to plant long-term resource-conserving vegetative ground cover to improve soil and water, and create more suitable habitat for fish and wildlife. Ground cover options include grasses, legumes, shrubs, and tree plantings. The program is authorized by the federal Food Security Act of 1985, as amended, and is implemented through the Commodity Credit Corporation (CCC). It aims to promote good land stewardship and improve rural aesthetics.

The CRP offers annual rental payments, incentive payments, and cost-share assistance to establish approved cover on eligible cropland. The CCC provides assistance of as much as 50 percent of the landowner's cost in establishing an approved conservation program. Contracts remain in effect for between 10 and 15 years. Annual rental payments are based on the agriculture rental value of the land used in the program. The program provides needed income support for farmers, and helps to curb production of surplus commodities.

Eligibility for participation in CRP extends to individuals, partnerships, associations, Indian tribal ventures corporations, estates, trusts, other business enterprises or legal entities. States, political subdivisions of states, or agencies thereof owning or operating croplands, may also apply.

The CCC via the Farm Service Agency (FSA) manages the CRP. The Natural Resources Conservation Service (NRCS) and the Cooperative State Research and Education Extension Service provide support. State forestry agencies and local soil and water conservation districts also provide assistance.

To be eligible for the CRP, cropland should have been planted or considered planted to an agricultural commodity in two of the five most recent crop years. Eligibility encompasses highly erodible acreage, cropped wetlands, and land surrounding non-cropped wetlands. The cropland must be owned or operated for at least 12 months before the close of the sign-up period. Exceptions can be made for land that was acquired by will or succession, or if the FSA determines that ownership was not acquired for the purpose of placing the land in the conservation reserve.

Initially, erosion reduction was the sole criterion for acceptance in the CRP, and in 1986–87, 22 million acres (8.9 million ha) were enrolled for this purpose. CRP proved to be effective. According NRCS statistics,

average erosion on enrolled acres declined by about 90 percent. It was estimated that the program reduced overall erosion nationwide by more than 22 percent even though less than 10 percent of the nation's cropland was enrolled.

An Environmental Benefits Index (EBI) is used to prioritize applications for the CRP. EBI factors include: Wildlife habitat benefits, water quality benefits from reduced erosion, runoff, and leaching, and air quality benefits from reduced wind erosion. The NRCS collects data for each of the factors and, based on its analysis, applications are ranked. Selections are made from that ranking.

The CCC bases rental rates on the productivity of soils within a county, and the average rent for the past three years of local agricultural land. The maximum CRP rental rate for each applicant is calculated in advance of enrollment. Applicants may accept that rate, or may offer a lower rental rate to increase the likelihood that their project will be funded.

The CCC encourages restoration of wetlands by providing a 25 percent incentive payment of the costs involved to establish approved cover. This is in addition to the normal 50 percent cost share for non-wetlands. Eligible acreage devoted to special conservation practices, such as riparian buffers, filter strips, grassed waterways, shelter belts, living snow fences, contour grass strips, salt tolerant vegetation, and shallow water areas for wildlife, may be enrolled at any time and is not subject to competitive bidding.

When CRP contracts expire, participants must continue to follow approved conservation plans. They must comply with wetland, endangered species and other federal, state, and local environmental laws, and they must respect any continuing conservation easement on the property.

The United States Department of Agriculture (USDA) provides information and technical assistance to CRP participants who wish to return CRP land to row-crop producing status as their contracts expire. This is to ensure that the land is developed in a sound, productive, and sustainable manner, preventing excessive erosion, protecting water quality, and employing other measures that enhance soil moisture-retaining ability.

In states such as North Dakota, the landscape has been changed dramatically since the introduction of the CRP. In the 1970s and early 1980s, fields with steep hills and areas of light soil were often cultivated from fencerow-to-fencerow. The result often was severe erosion and permanent loss of soil fertility. In some areas, winters were dominated by "snirtstorms" when

a combination of dirt and snow blew across the landscape depositing a dark coating on countryside downwind. In contrast, today's travelers find these landscapes covered with green vegetation in summer and white snow in winter.

The CRP has particularly benefited migratory birds in states such as North Dakota, South Dakota, and Montana. The perennial vegetation on marginal farmland has provided refuge for migrating birds, and added breeding habitat for others. North Dakota farmers have enrolled about 10 percent of the state's cropland in the CRP.

The fiscal year 2000 federal agricultural appropriations bill authorized a pilot project of harvesting of biomass from CRP land to be used for energy production. Six projects were authorized, no more than one of which could be in any state. Vegetation could not be harvested more often than once every two years, and no commercial use could be made of the harvested biomass other than energy production. Annual CRP rental payments are reduced by twenty five percent during the year the acreage is harvested. Land that is devoted to field windbreaks, waterways, shallow water ways for wildlife, contour grass strips, shelter belts, living snow fences, permanent vegetation to reduce salinity, salt tolerant vegetative cover, filter strips, riparian buffers, wetland restoration, and cross-wind trap strips is not eligible for this program. By 2002, contracts had been approved in Iowa, Illinois, Oklahoma, Minnesota, New York, and Pennsylvania.

In June of 2001, the USDA announced a six-state pilot program as part of the CRP to restore up to 500,000 acres (202,000 ha) of farmable wetlands and associated buffers. The Farmable Wetlands Pilot Program is intended to help producers improve the hydrology and vegetation of eligible land in Iowa, Minnesota, Montana, Nebraska, North Dakota, and South Dakota. Restoring wetlands in these states should reduce downstream flood damage, improve surface and groundwater quality, and recharge groundwater supplies. Essential habitat for migratory birds and many other wildlife species, including threatened and endangered species will be created and enhanced. Recreational activities such as hiking and bird watching will also be improved.

In 1985, the year in which CRP originated, spring surveys by the U.S Fish and Wildlife Service estimated waterfowl breeding populations at 25.6 million ducks. A fall flight of 54.5 million was predicted. Several species of ducks including mallards, pintails, and blue-winged teal appeared to be fading away. Numbers were at or near their lowest ebb in thirty years.

Between 1986 and 1990, farmers enrolled 8.2 million acres (3.3 million ha) of cropland in CRP within an area known as the prairie pothole region. This large glaciated area of the north central United States and southern Canada is where up to 70 percent of North America's ducks are hatched. Through CRP, nearly 13,000 square miles (34,000 km<sup>2</sup>) was converted to superior nesting habitat through CRP.

In the early 1990s, increased precipitation filled the prairie potholes and many waterfowl ended their spring migration on CRP land, rather than continuing migration to their usual breeding grounds in Canada. Nesting densities increased many fold. Potholes surrounded by CRP grass provided more secure habitat for nests, and hatchlings were no longer easy targets for predators. Nesting success tripled, from 10 to 30 percent, and waterfowl mortality no longer exceeded annual additions. By 1995, ten years after the start of CRP, 36.9 million ducks were included in the annual spring survey numbers, a 40 percent increase in ten years.

Waterfowl are not the only bird species to benefit from CRP. In one study, breeding birds were counted in about 400 fields in eastern Montana, North and South Dakota, and western Minnesota. These states have nearly 30 percent of all land included in the CRP. Fields were planted mostly to mixtures of native and introduced grasses and legumes. For most of the seventy-three different species counted, numbers were far higher in CRP fields than in cropland. Differences were greatest for several grassland species whose numbers had been markedly declining in recent surveys. Two species, lark buntings (*Calamospiza melanocorys*) and grasshopper sparrows (*Ammodramus savannarum*), were ten and sixteen times more common in CRP environment than in cropland. The investigators concluded that restoration of suitable habitat in the form of introduced grasses and legumes can have an enormous beneficial effect on populations of grassland birds.

When CRP was due to expire in 1995, restoration of prairie pothole breeding grounds was in jeopardy. United States farm policy was undergoing major change and it appeared that the CRP would not be continued. Ducks Unlimited and other wildlife organizations lobbied heavily, and funding of one billion dollars was included in the 1996 Farm Bill to continue the program for another seven years. Moreover, the guidelines for the continuing program were geared more directly to the preservation of wetlands and waterfowl conservation. The prairie pothole region was designated a national conservation priority area, and during the March 1997 sign-up more acres in the prairie pothole region were enrolled in CRP than were due to expire.

In its first ten years, the CRP cost nearly \$2 billion per year. Opponents have argued that this is too expensive, while proponents maintain that the costs are offset by its conservation and environmental benefits. Estimates of the annual value of benefits range from slightly less than \$1 billion to more than \$1.5 billion. The CRP, however, produces numerous environmental benefits, including wetland restoration, soil conservation, fertilizer reduction, and carbon sequestration through planting. By 2008, the CRP was responsible for the restoration of approximately 2 million acres (809,000 hectares) of wetlands per year and prevented the erosion of approximately 440 million tons (400 million metric tons) of soil. In 2007, the CRP also prevented the application of over 115 million tons (104 million metric tons) of phosphorus-based fertilizers and was responsible for the sequestration of over 50 million tons (46 metric tons) of carbon dioxide.

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Douglas C. Pratt

## Conservation tillage

Conservation tillage is any tillage sequence that reduces loss of soil or water in farmland. It is often a form of non-inversion tillage that retains significant amounts of plant residues on the surface. Definitions vary as to the percentage of soil surface that must be covered with plant residues at crop planting time to qualify as conservation tillage. Many agencies and organizations observe a 30 percent plantable surface area guideline. Other forms of conservation tillage include ridge tillage, rough plowing, and tillage that incorporates plant residues in the top few inches of soil.

A number of implements for primary tillage are used to retain all or a part of the residues from the previous crop on the soil surface. These include machines that fracture the soil, such as chisel plows, combination

chisel plows, disk harrows, field cultivators, undercutters, and strip tillage machines. In a no-till system, the soil is not disturbed before planting. Most tillage systems that employ the moldboard plow are not considered conservation tillage because the moldboard plow leaves only a small amount of residue on the soil surface (usually less than 10 percent).

When compared with conventional tillage (moldboard plow with no residue on the surface), various benefits from conservation tillage have been reported. Chief among the benefits are reduced wind and water erosion and improved water conservation. Erosion reductions from 50 to 90 percent as compared with conventional tillage are common. Conservation tillage often relies on herbicides to help control weeds and may require little or no post-planting cultivation for control of weeds in row crops.

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William E. Larson

## Consultative Group on International Agricultural Research

The Consultative Group on International Agricultural Research (CGIAR) was founded in 1971 to improve food production in developing countries. Research into agricultural productivity and the management of natural resources are the two goals of this

organization, and it is dedicated to making the scientific advances of industrialized nations available to poorer countries. The CGIAR emphasizes the importance of developing sustainable increases in agricultural yields and creating technologies that can be used by farmers with limited financial resources.

Membership consists of governments, private foundations, and international and regional organizations. The goals of CGIAR are carried out by a network of International Agricultural Research Centers (IARCs). There are currently fifteen such centers throughout the world, all but two of them in developing countries, and they are each legally distinct entities, over which the CGIAR has no direct authority. The group has no constitution or by-laws, and decisions are reached by consensus after consultations with its members, either informally or at their semiannual meetings. The function of the CGIAR is to assist and advise the IARCs, and to this end it maintains a Technical Advisory Committee (TAC) of scientists who review ongoing research programs at each center.

Each IARC has its own board of trustees as well as its own management, and they formulate individual research programs. The research centers pursue different goals, addressing problems in a particular sector of agriculture, such as livestock production or agricultural challenges in specific parts of the world, such as crop production in the semi-arid regions of Africa and Asia. Some centers conduct research into integrated plant protection, and others into forestry, while some are more concerned with policy issues, such as food distribution and the international food trade. One of the priorities of the CGIAR is the conservation of seed and plant material, known as germplasm, and the development of policies and programs to ensure that these resources are available and fully utilized in developing countries. The International Board for Plant Genetic Resources is devoted exclusively to this goal. Besides research, the basic function of the IARCs is educational, and in the past two decades over 45,000 scientists have been trained in the CGIAR system.

The central challenge facing the CGIAR is world population growth and the need to increase agricultural production over the coming decades while preserving natural resources. The group was one of the main contributors to the so-called “Green Revolution.” It helped develop new high-yielding varieties of cereals and introduced them into countries previously unable to grow the food; some of these countries now have agricultural surpluses. In 2001, CGIAR in South Africa worked on developing two new types of maize, which have a 30–50 percent larger crop than what is currently being produced by the smaller farmers.

The CGIAR is working to increase production even further, narrowing the gap between actual and potential yields, while continuing its efforts to limit soil erosion, desertification, and other kinds of environmental degradation.

The World Bank, the Food and Agriculture Organization (FAO), and the United Nations Development Program (UNDP) are among the original sponsors of the CGIAR. The organization has its headquarters at the offices of the World Bank, which also funds central staffing positions. Combined funding has grown from \$15 million in 1971 to over \$550 million in 2008, the latest year for which financial records are available. In 2010, CGIAR completed a two-year restructuring program to streamline governance and increase accountability.

## Resources

### ORGANIZATIONS

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Douglas Smith

## Container deposit legislation

Container deposit legislation requires payment of a deposit on the sale of most or all beverage containers and may require that a certain percentage of beverage containers be allocated for refillable containers. The legislation shifts the costs of collecting and processing beverage containers from local governments and taxpayers to manufacturers, retailers and consumers.

While laws vary from state to state, even city to city, container deposit legislation generally provides a monetary incentive for returning beverage cans and bottles for recycling. Distributors and bottlers are required to collect a deposit from the retailer on each can and bottle sold. The retailer collects the deposit from consumers, reimbursing the consumer when the container is returned to the store. The retailer then collects the deposit from the distributor or bottler, completing the cycle. Consumers who choose not to return their cans and bottles lose their deposit, which usually becomes the property of the distributors and bottlers, though in some states, unredeemed deposits are collected by the state.

Oregon implemented the first deposit law or “bottle bill” in 1972. In the 1970s and 1980s, industry opponents

fought container deposit laws on the grounds that they would result in a loss of jobs, an increase in prices, and a reduction in sales. Now opponents denounce the legislation as being detrimental to curbside recycling programs. But over the past four decades, container deposit legislation has proven effective not only in controlling litter and conserving natural resources but in reducing the waste stream as well.

Recovery rates for beverage containers covered under the deposit system depend on the amount of deposit and the size of the container. The overall recovery rate for beverage containers ranges from 75 to 93 percent. The reduction in container litter after implementation of the deposit law ranges from 42 to 86 percent, and reduction in total volume ranges from 30 to 64 percent. Although beverage containers make up just over 5 percent by weight of all municipal solid waste generated in the United States, they account for nearly 10 percent of all waste recovered, according to the Environmental Protection Agency (EPA). While the cans and bottles that are recycled into new containers or new products ease the burden on the environment, recycling is a second-best solution.

As recently as 1960, 95 percent of all soft drinks and 53 percent of all packaged beer was sold in refillable glass bottles. Those bottles required a deposit and were returned for reuse 20 or more times. But the centralization of the beverage industry, the increased mobility of consumers, and the desire for convenience resulted in the virtual disappearance of the reusable beverage container. Today, refillables make approximately 5 percent by volume of packaged soft drinks and approximately 5 percent by volume of packaged beer, according to the National Soft Drink Association and the Beer Institute.

Reuse is a more environmentally responsible waste management option, and is superior to recycling in the waste reduction hierarchy established by the EPA. While the container industry has been unwilling to promote refillable bottles, new interest in container deposit legislation may move industries and governments to adopt reuse as part of their waste management practices.

Industry-funded studies have found that a refillable glass bottle used as few as eight times consumes less energy than any other container, including recycled containers. A study conducted for the National Association for Plastic Container Recovery found that the 16-ounce (1 pt) refillable bottle produces the least amount of waterborne waste and fewest atmospheric emissions of all container types.

To date, eleven states have enacted beverage container deposit systems, designed to collect and process

beverage bottles and cans. A deposit of 5–10 cents per can or bottle is an economic incentive to return the container. The states that have some form of container deposit legislation and accompanying deposit system include Oregon, New York, Connecticut, Maine, Iowa, Hawaii, Vermont, Michigan, Massachusetts, Delaware, and California.

Despite the fact that opinion polls show the public supports bottle bills by a wide margin, for decades, the beverage and packaging industries have successfully blocked the passage of bottle bills in nearly forty states. Even the most successful container deposit programs have come under attack and are threatened with repeal.

The United States has a long way to go to catch up to progressive countries such as Sweden, which does not allow aluminum cans to be manufactured or sold without industry assurances of a 75 percent recycling rate. Concerned that voluntary recycling would not meet these standards, the beverage, packaging and retail industries in Sweden have devised a deposit-refund system to collect used aluminum cans. Consumers in Sweden return their aluminum cans at a rate that has not been achieved in any other country. The 75 percent recycling rate was achieved in 1987, and industry experts expect the rate to exceed 86 percent in 1993. Most North American deposit systems rely on the distributor or bottler, but the deposit in Sweden originates with the can manufacturer or drink importer delivering cans within the country. Also, retail participation is voluntary: retailers collect the deposit but are not required to redeem the containers, though most do.

Containers and packaging are the single largest component of the waste stream and they offer the greatest potential for reduction, reuse, and recycling, according to the EPA. Where individuals, industries, and governments will not voluntarily comply with recycling programs, container deposit legislation has decreased the amount of recyclables entering the waste stream. According to a 2008 report by the Aluminum Association, states with container deposit laws have a recycling rate of 74 percent compared to 38 percent in states without container deposit laws.

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Linda Rehkopf

Containment structures see **Nuclear power**.

## Contaminated soil

Soils that contain pollutants at concentrations above background levels that pose a potential health or ecological risk are considered contaminated. Soils can be contaminated by many human actions including the discharge of solids and liquid pollutants at the soil surface; pesticide application; subsurface releases from leaks in

buried tanks, pipes, and landfills; and deposition of atmospheric contaminants such as dusts and particles containing lead (Pb). Common contaminants include volatile hydrocarbons—such as benzene (C<sub>6</sub>H<sub>6</sub>), toluene (C<sub>6</sub>H<sub>5</sub>CH<sub>3</sub>), ethylene (C<sub>2</sub>H<sub>4</sub>), and xylene (BTEX compounds)—found in fuels; heavy paraffins and chlorinated organic compounds such as polychlorinated biphenyls (PCBs) and pentachlorophenol (PCP); inorganic compounds such as lead, cadmium (Cd), arsenic (As), and mercury (Hg); and radionuclides such as tritium (<sup>3</sup>H). Often, soil is contaminated with a mixture of pollutants. The nature of soil, the contaminant’s chemical and physical characteristics, and environmental factors such as climate and hydrology interact to determine the accumulation, mobility, toxicity, and overall significance of the contaminant in any specific instance.

### Fate of soil contaminants

Contaminants in soils may be present in solid, liquid, and gaseous phases. When liquids are released,



Sign warning public not to enter fenced off land that has been contaminated by toxic chemicals, Rainham Marshes, Essex, United Kingdom, 2005. (Robert Brook / Photo Researchers, Inc.)

they move downward through the soil. Some may fill pore spaces as liquids, some may partition or sorb onto mineral soil surfaces, some may dissolve into water in the soft pores, and some may volatilize. For most hydrocarbons, a multiphase system is common. When contaminants reach the water table (where the voids between soil particles are completely filled with water), contaminant behavior depends on its density. Light BTEX-type compounds float on the water table while dense chlorinated compounds may sink. While many hydrocarbon compounds are not very soluble, even low levels of dissolved contaminants may produce unsafe or unacceptable groundwater quality. Other contaminants such as inorganic salts (e.g., nitrates,  $\text{NO}_3^-$ ) may be highly soluble and move rapidly through the environment. Metals demonstrate a range of behaviors. Some may be chemically bound and thus quite immobile; some may dissolve and be transported by groundwater and infiltration.

Contaminated pore water, termed leachate, may be transported in the groundwater flow. Groundwater travels both horizontally and vertically. A portion of the contaminant, termed residual, is often left behind as the flow passes, thus contaminating soils after the major contaminant plume has passed. If the groundwater velocity is fast, for example, hundreds of feet per year, the zone of contamination may spread quickly, potentially contaminating extraction wells or other resources. Over years or decades, especially in sandy or porous soils, groundwater contaminants and leachate may be transported over distances of miles, producing a situation that is very difficult and expensive to remedy. In these cases, immediate action is needed to contain and clean up the contamination. In cases where soils are largely comprised of fine-grained silts and clays, contaminants may spread very slowly. Such examples show the importance of site-specific factors in evaluating the significance of soil contamination as well as the selection of a cleanup strategy.

### Superfund and other legislation

Prior to the 1970s, inappropriate land disposal practices, such as dumping untreated liquids in lagoons and landfills, were common and widespread. The presence and potential impacts of soil contamination were brought to public attention after well-publicized incidents at Love Canal, New York and the Valley of the Drums. Congress responded by passing the Comprehensive Environmental Response, Compensation, and Liability Act in 1980 (commonly known as CERCLA or Superfund) to provide funds with which to cleanup the worst sites. After five years of much litigation but little action, Congress updated

this law in 1986 with the Superfund Amendments and Reauthorization Act.

At present, over 1,200 sites across the United States have been selected as National Priorities List (NPL) sites and are eligible under CERCLA for federal assistance for cleanup. These sites are placed on the NPL list following Hazard Ranking System (HRS) screening and upon consideration of public comments. The HRS utilizes information from initial site inspection and assessment to assign a numerical value that represents the degree of potential threat to public health and the environment caused by the contaminated site. The site assessments focus on potential release of hazardous contaminants, characteristics of the wastes, and people or vulnerable ecosystems that would be affected by the contaminants. Possible modes of affecting public health and the environment are considered such as ground water, surface water, soil exposure, and air. Currently, there are also 67 proposed NPL sites. These Superfund sites tend to be the nation's largest and worst sites in terms of the possibility for adverse human and environmental impacts and the most expensive ones to clean up.

While not as well recognized, numerous other sites have serious soil contamination problems. The U.S. Office of Technology Assessment and Environmental Protection Agency (EPA) estimate that about 20 thousand abandoned waste sites and 600 thousand other sites of land contamination exist in the United States. These estimates exclude soils contaminated with lead in older city areas, the accumulation of fertilizers, pesticides, and insecticides in agricultural lands, and other classes of potentially significant soil contamination. Federal and state programs address only some of these sites. Currently, CERCLA is the EPA's largest program, with expenditures of about \$1.2 billion annually. However, this is only a fraction of the cost to government and industry that will be needed to clean up all waste sites. Typical estimates of funds required to mitigate the worst 9 thousand waste sites reach at least \$500 billion with a fifty-year anticipated time period for cleanup. There are about 300 NPL sites that are listed as deleted, meaning that the sites have been cleaned up or remediated, and thus no longer pose a threat to human health or the environment. The problem of contaminated soil is significant not only in the United States but in all industrialized countries.

U.S. laws such as CERCLA and the Resource Conservation and Recovery Act (RCRA) attempt to prohibit practices that have led to extensive soil contamination in the past. These laws restrict disposal practices; mandate financial liability to recover cleanup

costs (as well as personal injury and property damage) and criminal liability to discourage willful misconduct or negligence; require record keeping to track waste; and provide incentives to reduce waste generation and improve waste management.

### Soil cleanups

The cleanup or remediation of contaminated soils takes two major approaches: source control and containment, or soil and residual treatment and management. Typical containment approaches include caps and covers over the waste in order to limit infiltration of rain and snow melt and thus decrease the leachate from the contaminated soils. Horizontal transport in near-surface soils and groundwater may be controlled by vertical slurry walls. Clay, cement, or synthetic membranes may be used to encapsulate soil contaminants. Contaminated water and leachate may be hydraulically isolated and managed with groundwater pump- and-treat systems, sometimes coupled with the injection of clean water to control the spread of contaminants. Such containment approaches only reduce the mobility of the contaminant, and the barriers used to isolate the waste must be maintained indefinitely.

The second approach treats the soil to reduce the toxicity and volume of contaminants. These approaches may be broken down into extractive and *in situ* methods. Extractive options involve the removal of contaminated soil, generally for treatment and disposal in an appropriate landfill or for incineration where contaminants are broken down by thermal oxidation. *In situ* processes treat the soil in-place. *In situ* options include thermal, biological, and separation/ extraction technologies. Thermal technologies include thermal desorption and in-place vitrification (glassification). Biological treatment includes biodegradation by soil fungi and bacteria that ultimately converts contaminants into carbon dioxide (CO<sub>2</sub>) and water. This process is termed mineralization. Biodegradation may produce long-lived toxic intermediate products. Separation technologies include soil vapor extraction for volatile organic compounds that removes a fraction of the contaminants by enhancing volatilization by an induced subsurface air flow; stabilization or chemical fixation that uses additives to bind organics and heavy metals, thus eliminating contaminated leachate; soil washing and flushing using dispersants, solvents, or other means to solubilize certain contaminants such as PCBs and enhance their removal; and finally, groundwater pump and treat schemes that purge the contaminated soils with clean water in a flushing action. The contaminated groundwater may then be treated by air stripping, steam

stripping, carbonabsorption, precipitation and flocculation and contaminant removal by ion exchange. A number of these approaches—*in situ* soil vitrification and enhanced bioremediation using engineered microorganisms—are experimental.

The number of potential remediation options is large and expanding due to an active research program that is driven by the need for low cost and more effective solutions. The selection of an appropriate cleanup strategy for contaminated soils requires a thorough characterization of the site and an analysis of the cost-effectiveness of suitable containment and treatment options. A site-specific analysis is required since the distribution and treatment of wastes may be complicated by variation in geology, hydrology, waste characteristics, and other factors at the site. Often, a demonstration of the effectiveness of an innovative or experimental approach may be required by governmental authorities prior to full-scale implementation. In general, large sites use a combination of remediation options. Pump and treat and vapor extraction are the most popular technologies.

### Cleanup costs and cleanup standards

The cleanup of contaminated soils can involve significant expense and risk. In general, *in situ* containment is cheaper than soil treatment, at least in the short term. While the Superfund law establishes a preference for permanent remedies, many cleanups that have been funded under this law have used both containment and treatment options. In general, *in situ* treatment methods such as groundwater pump and treat are less expensive than extractive approaches such as soil incineration. *In situ* options, however, may not achieve cleanup goals. Like other processes, costs increase with higher removal levels. Excavation and incineration of contaminated soil can cost \$1,500 per ton, leading to total costs of many millions of dollars at large sites. Superfund cleanups have averaged about \$26 million. A substantial fraction of these costs are for site investigations. In contrast, small fuel spills at gasoline stations may be mitigated using vapor extraction at costs under \$50 thousand.

Unlike air and water, which have specific federal laws and regulations detailing maximum allowable levels of contaminants, no levels have been set for contaminants in soils. Instead, the EPA and states use several approaches to set specific acceptable contaminant levels. For Superfund sites, cleanup standards must exceed applicable or relevant and appropriate requirements (ARARs) under federal

environmental and public health laws. More generally, cleanup standards may be based on achieving background levels, that is, the concentrations found in similar, nearby, and unpolluted soils. Second, soil contaminant levels may be acceptable if the soil does not produce leachate with concentration levels above drinking water standards. Such determinations are often based on a test termed the Toxics Characteristic Leaching Procedure, which mildly acidifies and agitates the soil. According to the federal Safe Drinking Water Act, contaminant levels in the leachate below the maximum contaminant levels (MCLs) are acceptable. Third, soil contaminant levels may be set in a determination of health risks based on typical or worst case exposures. Exposures can include inhalation of soils as dust, ingestion of soil (generally by children), and direct skin contact with soil. In part, these various approaches are used based on the complexity of contaminant mobility and toxicity in soils and the difficulty of pinning down acceptable and safe levels.

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Stuart Batterman

## Contour plowing

Plowing the soil along the contours of the land. For example, rather than plowing up-and-down the hill, the cultivation takes place around the hill. Contour plowing reduces water erosion.

Contraceptives see **Family planning; Male contraceptives.**

## Convention on International Trade in Endangered Species of Wild Fauna and Flora (1975)

The Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES), was signed in 1973 and came into force in 1975. The aim of the treaty is to prevent international trade in listed endangered or threatened animal and plant species and products made from them. A full-time, paid secretariat to administer the treaty was initially funded by the United Nations Environmental Program, but has since been funded by the parties to the treaty. By 2009, 175 nations had become party to CITES, including most of the major wildlife trading nations, making CITES the most widely accepted wildlife conservation agreement in the world. The parties to the treaty meet every two years to evaluate and amend the treaty if necessary.

The species covered by the treaty are listed in three appendices, each of which requires different trade restrictions. Appendix I applies to "all species threatened with extinction," such as African and Asian elephants (*Loxodonta africana* and *Elephas maximus*, the hyacinth macaw (*Anodorhynchus hyacinthinus*), and Queen Alexandria's birdwing butterfly (*Ornithoptera alexandrae*). Commercial trade is generally prohibited for the approximately 900 listed species. Appendix II applies to "all species which although not necessarily now threatened with extinction may become so unless trade in specimens of such species is subject to strict regulation," such as the polar bear, giant clams, and Pacific Coast mahogany. Trade in these species requires an export permit from the country of origin. Currently, over 4,300 animals and 28,000 plants (mainly orchids) are listed in Appendix II. Appendix III is designed to help individual nations control the trade of any species. Any species may be listed in Appendix III for any nation. Once listed, any export of this species from the listing country requires an export permit. Usually a species listed in Appendix III is protected within that nation's borders. These trade restrictions apply only to signatory nations, and only to trade between countries, not to practices within countries.

CITES relies on signatory nations to pass domestic laws to carry out the principles included in the treaty. In the United States, the Endangered Species Act and the Lacey Act include domestic requirements to implement CITES, and the Fish and Wildlife Service is the chief enforcement agency. In other nations, if and when such legislation is passed, effective

implementation of these domestic laws is required. This is perhaps the most problematic aspect of CITES, since most signatory nations have poor administrative capacity, even if they have strong desire for enforcement. This is especially a problem in poorer nations of the world, where monies for regulation of trade in endangered species is forced down the agenda by more pressing social and economic issues.

CITES can be regarded as moderately successful. Since the implementation of CITES, only one listed species—Spix’s macaw—has become extinct in the wild. There has been steady progress toward compliance with the treaty, though tremendous enforcement problems still exist. Due to the international scope and size of world wildlife trade, enforcement of CITES is estimated to be only 60–65 percent effective worldwide. International trade in endangered species is still big business; the profits from such trade can be huge. In 1988, the world market for exotic species was estimated to be \$5 billion, \$1.5 billion of which was estimated to be illegal. By 2009, Interpol estimated that the trade of illegal exotic species was worth \$20 billion per year. Among the most lucrative products traded are reptile skins, fur coats, and ingredients for traditional drugs. If violations are discovered, the goods are confiscated and penalties and fines for the violators are established by each country. Occasionally, sanctions are imposed on a nation if it flagrantly violates the convention.

### Additional information

On May 14, 2008, the U.S. Department of the Interior (DOI) secretary, Dirk Kempthorne, announced that, under the Endangered Species Act (ESA), the polar bear will now be listed as a “threatened” species. (“Threatened” means that a species runs a definite risk of becoming endangered; “endangered” status indicates that the species is at great risk of becoming extinct.)

Scientists estimate that there are 20,000 to 25,000 polar bears living in the Arctic, but that within 50 years, as much as two-thirds of the population could be lost due to loss of ice mass in the Arctic Sea, which is attributed to global warming. Controversy has surrounded the decision to place the polar bears on this list, as the polar bear is the first species to be listed as one whose decline is directly correlated to global warming. In his announcement, Kempthorne asserted that it would be inappropriate to use the ESA or the

protection of the bear to attempt to influence the regulation of greenhouse gases.

A ruling on the polar bear status had been anticipated in January 2008, but on January 7, the U.S. Department of Fish and Wildlife announced that it would not be able to provide its recommendation on listing by the January 9th deadline. The service requested additional time to adequately review and incorporate data on the matter from the U.S. Geological Survey (USGS); they anticipated making the recommendation within a month’s time. In April, the U.S. District Court for the Northern District of California ordered the DOI/USFWS to make the final announcement by May 15, 2008.

In February 2008, the DOI sold gas and oil rights covering nearly 30 million acres of Chukchi Sea. This area is just off the Alaskan coast and is home to about 20 percent of the polar bear population. Some environmental groups feel that the delay in announcement was deliberate on the part of the administration, though Kempthorne pointed out that the decision was based solely on the research of the Department’s scientists.

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CITES Secretariat, International Environment House, Chemin des Ane;aamones, Châftelaine Geneva, Switzerland, CH-1219, (+4122) 917- 8139/40, (+4122) 797-3417, [cites@unep.ch](mailto:cites@unep.ch), <http://www.cites.org>

Christopher McGrory Klyza

## Convention on Long-Range Transboundary Air Pollution (1979)

Held in Geneva in 1979 under the auspices of the United Nations, the goal of the Convention on Long-Range Transboundary Air Pollution was to reduce air pollution and acid rain, particularly in Europe and North America. The accord went into effect in March 1983 and was signed by the United States, Canada, and several European countries. All of the signatories agreed to cooperate in researching and monitoring air pollution and to exchange information on developing technologies for air pollution control. In 2010, fifty-one nations were party to the convention. This convention established the Cooperative Program for Monitoring and Evaluating of the Long-Range Transmission of Air Pollutants in Europe, which was first funded in 1984. The countries that signed the treaty also agreed to reduce their sulfur emissions 30 percent by 1993. All of the countries were able to meet this goal, with many countries reducing more than 50–60 percent of their emissions.

*See also* Acid rain; Air pollution; Air pollution control.

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Douglas Smith

## Convention on the Conservation of Migratory Species of Wild Animals (1979)

The first attempt at a global approach to wildlife management, the Convention on the Conservation of Migratory Species of Wild Animals was held in Bonn, Germany in 1979. The purpose of the convention was to reach an agreement on the management of wild animals that migrate “cyclically and predictably” across international boundaries. Egypt, Italy, the United Kingdom, Denmark, and Sweden, among other nations, signed an accord on this issue; the treaty went into effect in 1983 and has over 100 member nations. Several notable nations, however, including Canada, China, Russia, and the United States, are not parties to the convention.

The challenge facing the convention was how to assist nations that did not have wildlife management programs while not disrupting those that had already established them. The United States and Canada did not believe that the agreement reached in Bonn met this challenge. Representatives from both countries argued that the definition of a migratory animal was too broad; it would embrace nearly every game bird or animal in North America, including rabbits, deer, and bear. But both countries were particularly concerned that the agreement did not sufficiently honor national sovereignty, and they believed it threatened the effectiveness of the federal-state and federal-provincial systems that were already in place.

The agreement also came into conflict with other laws, particularly laws governing national jurisdictions and territorial boundaries at sea, but it is still considered an important advance in the process of developing international environmental agreements.

*See also* Environmental law; Wildlife management.

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Douglas Smith

## Convention on the Law of the Sea (1982)

During the age of exploration in the seventeenth century, the Dutch lawyer Hugo Grotius formulated the legal principle that the ocean should be free from exclusive rule by any one nation (*Mare liberum*), which became the basis of the law of the sea. During the eighteenth century, each coastal nation was granted sovereignty over an offshore margin of three nautical miles to provide for better defense against pirates and other intruders. As undersea exploration advanced in the twentieth century, nations became increasingly interested in the potential resources of the oceans. By the 1970s, many were determined to exploit resources such as petroleum on the continental shelf and manganese nodules rich with metals on the deep ocean floor, but uncertainties about the legal status of these resources inhibited investment.

In 1974, the United Nations responded to these concerns and convened a Conference on the Law of the Sea, at which the nations of the world negotiated a consensus dividing the ocean among them. The participants drafted a proposed constitution for the world's oceans, including in it a number of provisions that radically altered their legal status. First, the convention extended the territorial sea from three to 22 nautical miles, giving nations the same rights and responsibilities within this zone that they possess over land. Negotiations were necessary to ensure that ships have a right to navigate these coastal zones when going from one ocean to another.

The convention also acknowledged a 200 nautical mile Exclusive Economic Zone (EEZ), in which nations could regulate fisheries as well as resource exploration and exploitation. By the late 1970s, over 100 nations had already claimed territorial seas extending from 12 to 200 miles. The United States, for example, claims a 200 mile zone. Today, EEZs cover about one third of the ocean, completely dividing the North Sea, the Mediterranean Sea, the Gulf of Mexico, and the Caribbean among coastal states. They also encompass an area that yields more than 90 percent of the ocean's fish catch, and they are considered a potentially effective means to control overfishing because they provide governments clear responsibility for their own fisheries.

The most heated debates during the convention, however, did not concern the EEZs, but involved provisions concerning seabed mining. Many developing nations insisted that deep-sea metals were the common property and sources of sharable wealth. After fierce disagreements, a compromise was reached in 1980 that

would establish a new International Seabed Authority (ISA). Under the plan, any national or private enterprise could take half the seabed mining sites and the ISA would take the other half. In exchange for mining rights, industrialized nations would underwrite the ISA and sell it the necessary technology. In December 1982, 117 nations signed the Convention on the Law of the Sea (UNCLOS) at Montego Bay, Jamaica. U.S. President Ronald Reagan refused to sign, citing the seabed-mining provisions as a major reason for United States opposition. Two other key nations, the United Kingdom and Germany, refused, and 21 nations abstained.

UNCLOS came into force in 1994 after Guyana became the 60th nation to ratify the treaty. In 2010, 158 countries and the European Community have joined in the Convention.

*See also* Coastal Zone Management Act (1972); Commercial fishing; Oil drilling.

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David Clarke

## Convention on the Prevention of Marine Pollution by Dumping of Waste and Other Matter (1972)

The 1972 International Convention on the Prevention of Marine Pollution by Dumping of Waste and Other Matter, commonly called the London Dumping Convention, entered into force on August 30, 1975. The London Dumping Convention covers "ocean dumping" defined as any deliberate disposal of wastes or other matter from ships, aircraft, platforms, or other human-made structures at sea. The discharge of sewage effluent and other material through pipes,

wastes from other land-based sources, the operational or incidental disposal of material from vessels, aircraft, and platforms (such as fresh or salt water), or wastes from seabed mining are not covered under this convention.

The framework of the London Dumping Convention consists of three annexes. Annex I contains a blacklist of materials that cannot be dumped at sea. Organohalogen compounds, mercury, cadmium, oil, high-level radioactive wastes, warfare chemicals, and persistent plastics and other synthetic materials that may float in such a manner as to interfere with fishing or navigation are examples of substances on the blacklist. The prohibition does not apply to acid and alkaline substances that are rapidly rendered harmless by physical, chemical, or biological processes in the sea. Annex I does not apply to wastes such as sewage sludge or dredge material that contain blacklist substances in trace amounts.

Annex II of the convention comprises a grey list of materials considered less harmful than the substances on the blacklist. At-sea dumping of grey list wastes requires a special permit from contracting states (countries participating in the convention). These materials include wastes containing arsenic, lead, copper, zinc, organosilicon compounds, cyanides, pesticides and radioactive matter not covered in Annex I, and containers, scrap metals, and other bulky debris that may present a serious obstacle to fishing or navigation. Grey list material may be dumped as long as special care is taken with regard to ocean dumping sites, monitoring, and methods of dumping to ensure the least detrimental impact on the environment. A general permit from the appropriate agencies of the contracting states to the Convention is required for ocean dumping of waste not on either list.

Annex III includes criteria that countries must consider before issuing an ocean dumping permit. These criteria require consideration of the effects dumping activities can have on marine life, amenities, and other uses of the ocean, and they encompass factors related to disposal operations, waste characteristics, attributes of the site, and availability of land-based alternatives.

The International Maritime Organization serves as Secretariat for the London Dumping Convention, undertaking administrative responsibilities and ensuring cooperation among the contracting parties. As of 2001, there were 78 contracting parties to the Convention, including the United States.

The London Dumping Convention covers ocean dumping in all marine waters except internal waters of

the contracting states, which are required to regulate ocean dumping consistently with the convention's provisions. However, they are free to impose stricter rules on their own activities than those required by the convention. The London Dumping Convention was developed at the same time as the Marine Protection, Research and Sanctuaries Act of 1972 (Public Law 92-532), a law enacted by the United States. The U.S. congress amended this act in 1974 to conform with the London Dumping Convention.

Most nations using the ocean for purposes of dumping waste are developed countries. The United States completely ended its dumping of sewage sludge following the passage of the Ocean Dumping Ban Act (1988), which prohibits ocean dumping of all sewage sludge and industrial waste (Public Law 100-688). Britain and the North Sea countries also intend to end ocean dumping of sewage sludge. During the Thirteenth Consultative Meeting of the London Dumping Convention in 1990, the contracting states agreed to terminate all industrial ocean dumping by the end of 1995.

While the volume of sewage sludge and industrial waste dumped at sea is decreasing, ocean dumping of dredged material is increasing. Incineration at sea requires a special permit and is regulated according to criteria contained in an addendum to Annex I of the convention.

In 1996, the London Dumping Convention was replaced by the 1996 Protocol to the Convention on the Prevention of Marine Pollution by Dumping of Wastes and Other Matter, 1972. Among the changes is the "reverse list." The Protocol only allows dumping material that is listed in Annex I, it is referred to as the "reverse list" since it completely reverses the original Annex I. Incineration at sea is now completely banned, unless there is an emergency, as is the exportation of waste to other countries for ocean dumping. The 1996 Protocol entered into force in March 2004.

*See also* Marine pollution; Seabed disposal.

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Marci L. Bortman

## Convention on Wetlands of International Importance (1971)

Also called the Ramsar Convention or Wetlands Convention, the Convention on Wetlands of International Importance is an international agreement adopted in 1971 at a conference held in Ramsar, Iran. One of the principal concerns of the agreement was the protection of migratory waterfowl, but the treaty is generally committed, like much wetlands legislation in the United States, to restricting the loss of wetlands in general because of their ecological functions as well as their economic, scientific, and recreational value. The accord went into effect in 1975, establishing a network of wetlands, primarily across Europe and North Africa.

In 2009, there were 159 Contracting Parties, each of whom was required to set aside at least one wetland reserve. Over 1,850 national wetland sites have been established totaling approximately 715,450 square miles (1,853,000 km<sup>2</sup>). The convention has secured protection for wetlands around the world, but many environmentalists believe it has the same weakness as many international conventions on the environment. There is no effective mechanism for enforcement. Population growth continues to increase political and economic pressures to develop wetland areas around the world, and there are no provisions in the agreement strong enough to prevent nations from removing protected status from designated wetlands.

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#### ORGANIZATIONS

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Douglas Smith

## Conventional pollutant

Conventional water pollutants fall into five categories. These pollutants can be classified as: biological oxygen demanding, suspended solids, pH, fecal coliform, and oil and grease. The presence of these pollutants is commonly determined by measuring biochemical oxygen demand (BOD), total suspended solids (TSS), pH levels, the amount of fecal coliform bacteria, and the quantity of oil and grease in an aquatic ecosystem.

BOD is the quantity of oxygen required by microorganisms to stabilize five-day incubated oxidizable organic matter at 68°F (20°C). Hence, BOD is a measure of the biodegradable organic carbon and at times, the oxidizable nitrogen (N). BOD is the sum of the oxygen used in organic matter synthesis and in the endogenous respiration of microbial cells. Some industrial wastes are difficult to oxidize, and bacterial seed is necessary. In certain cases, an increase in BOD is observed with an increase in dilution. It is hence necessary to determine the detection limits for BOD.

Suspended solids interfere with the transmission of light. Their presence also affects recreational use and aesthetic enjoyment. Suspended solids make fish vulnerable to diseases, reduce their growth rate, prevent successful development of fish eggs and larvae, and reduce the amount of available food. The Environmental Protection Agency (EPA) restricts suspended matter to not more than ten percent of the reasonably established amount for aquatic life. This set maximum allows sufficient sunlight to penetrate and sustain photosynthesis. Suspended solids also cause damage to invertebrates and fill up gravel spawning beds.

The acidity or alkalinity of water is indicated by pH. A pH of seven is neutral. A pH value lower than seven indicates an acidic environment and a pH greater than seven indicates an alkaline environment. Most aquatic life is sensitive to changes in pH. The pH of surface waters is specified to protect aquatic life and prevent or control unwanted chemical reactions such as metal ion dissolution in acidic waters. An increase in toxicity of many substances is often observed with changes in pH. For example, an alkaline environment shifts the ammonium ion (NH<sub>4</sub><sup>+</sup>) to a more poisonous form of un-ionized ammonia (NH<sub>3</sub>). EPA criteria for pH are 6.5–9.0 for freshwater life, 6.5–8.5 for marine organisms and 5–9 for domestic consumption.

Fecal coliform bacteria are useful indicators for detecting pathogenic or disease-causing bacteria. However, this relationship is not absolute because these bacteria can originate from the intestines of humans and other warm-blooded animals. Prior knowledge of

river basins and the possible sources of these bacteria is necessary for a survey to be effective. The strictest EPA criteria for coliforms apply to shellfish, since they are often eaten without being cooked.

Common sources of oil and grease are petroleum derivatives and fats from vegetable oil and meat processing. Both surface and domestic waters should be free from floating oil and grease. Limits for oil and grease are based on lethal concentration or LC<sub>50</sub> values. LC<sub>50</sub> is defined as the concentration at which 50 percent of an aquatic species population perishes. EPA criterion is for a ninety-six-hour exposure, and during this period the concentration of individual petrochemicals should not exceed 0.01 of the LC<sub>50</sub> median. Oil and grease contaminants vary in physical, chemical, and toxicological properties besides originating from different sources.

The lethal concentration values for pollutants may be altered by temperature as well as dissolved oxygen levels. Increases in temperature result in a boost in metabolic rates, potentially increasing the rate of uptake of a pollutant into an organism. Higher temperatures can also inflict added stress onto an organism, weakening the resistance of an organism to a given pollutant. This results in increased vulnerability of organisms to lower concentrations of pollutants, and thus lower LC<sub>50</sub> values. Increases in temperature also result in a reduction in the amount of dissolved oxygen in a body of water. Studies have shown that decreased dissolved oxygen may increase the toxicity of pollutants, effectively lowering the LC<sub>50</sub> value for a pollutant. Because the Earth's temperature is rising as a result of climate change, organisms may be more susceptible and vulnerable to lower levels of pollutants in aquatic ecosystems.

Control and prevention of pollution discharges are regulated by the Clean Water Act (CWA). Under the CWA, "the best conventional pollutant control technology" must be employed to treat water that will be discharged from point sources into waterways as effluent. The National Pollution Discharge Elimination System (NPDES) is in place to regulate these discharges and requires that a permit be obtained for a point source discharge.

*See also* Industrial waste treatment; Sewage treatment; Wastewater; Water pollution; Water quality.

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James W. Patterson

## The Copenhagen Accord

Near the end of the December 2009 conference on climate change held in Copenhagen, Denmark—a summit attended by more than 100 heads of state—contentious negotiations produced the Copenhagen Accord, an international agreement aimed at mitigating global warming and the impacts of climate change.

### The Copenhagen Accord

The fifteenth Conference of the Parties (COP) to the United Nations Framework Convention on Climate Change (UNFCCC) took place at Copenhagen, Denmark, in December 2009. Instead of an anticipated comprehensive and legally binding international agreement to replace the Kyoto Protocols that expire in 2012, the global summit of 193 nations and more than 100 world leaders produced the Copenhagen Accord. The Accord creates an international reporting and verification scheme aimed at meeting voluntary and individual targets related to a range of climate change issues. The Accord began as an agreement negotiated by the United States and the BASIC bloc of nations (China, India, Brazil, and South Africa), representing the leading greenhouse gas emitters in the world.

### Controlling global warming

While recognizing the need to limit temperature rises to less than 2°Celsius (3.6°Fahrenheit), the limit beyond which scientific evidence asserts that climate change consequences become especially dire, the Copenhagen Accord fails to set firm emissions targets. The language of the Accord does not actually set a formal limit for acceptable average global temperature rise, but merely "recognizes the scientific view" that global temperature increases should be held to less than 2°Celsius.

Critics of the Accord immediately argued that the majority of accepted scientific studies indicate that the current pledges of voluntary emissions reductions will be insufficient to limit temperature rise to 2°Celsius. During the conference, representatives of small-island nations expressed hopes that negotiations might lead to stronger cuts in emissions that could limit temperature increase to 1.5°Celsius (2.6°Fahrenheit)

in order to further mitigate the extent and impacts of sea level rise.

The Accord also fails to set a target year for peak emissions or specify a target date to conclude a legally binding treaty. The Accord calls only for a review of its provisions and implementation by 2015, more than a year after the next set of formal reports by the Intergovernmental Panel on Climate Change (IPCC) is scheduled to be published.

### Emissions limits versus carbon-intensity targets

The Accord does, however, for the first time include pledges by China, India, and other emerging industrial powers to accept some limits on emissions, along with an agreement to submit to international emissions reporting. Countries are asked to specify by February 2010 their individual targets for 2020 carbon emissions. The Accord does not, however, specify penalties for countries failing to set targets or for failure to meet the target ultimately set.

Separate from the Accord negotiations, China and India set carbon-intensity targets (levels of emissions related to measures of economic development such as gross domestic product) rather than specific limits based on the quantity of emissions. Setting carbon-intensity targets allows developing nations to continue to increase the total quantity of their carbon emissions as their industrializing economies continue to expand.

### Financial aid to poor and vulnerable nations

The Accord also promises \$30 billion in aid to developing nations over the next three years to help them cope and adapt to climate change. Although mechanisms for monitoring and delivery of aid remain to be negotiated, the amount of aid is scheduled to escalate to \$100 billion by 2020. A green climate fund will also support technology transfers aimed at lowering GHG emissions by increasing energy efficiency in developing nations.

### Accord status and initial pledges

The Accord was not endorsed by all 193 nations at the talks. Lacking the unanimous vote needed for formal approval, conference administrators were only able to officially “note” or recognize the agreement.

In December 2009, the World Meteorological Organization (WMO) released data showing that the decade 2000 to 2009 was the warmest in modern recorded history. WMO officials refuted other reports claiming to show that global warming had leveled off

since 1998, the hottest year in history. Some leveling off or cooling was expected after the surge to 1998 peak levels, but overall, the first decade of the twenty-first century proved warmer than the 1990s and 1980s. Although there are minor differences in rankings of hottest years, the WMO data closely conforms to independent studies made by the National Oceanic and Atmospheric Administration (NOAA) and the National Aeronautics and Space Administration (NASA).

Despite the WMO data, the initial voluntary national pledges made in response to the Copenhagen Accord failed to achieve a common baseline for cuts by the year 2020. For example, the United States pledged to cut carbon emission by 17 percent of 2005 levels while the European Union pledged a 20 to 30 percent reduction from 1990 levels. Moreover, climate and energy experts argue that, as of November 2010, the United States has failed to pass energy legislation that would allow it to honor its current commitments to the Accord. China and India continued to relate emissions policy to economic activity. Instead of specific limits, China pledged to reduce its carbon intensity by 40 to 45 percent compared with 2005 levels and India pledged to reduce carbon intensity by 20 to 25 percent of 2005 levels. Setting carbon-intensity targets allows developing nations to continue to increase the total quantity of their carbon emissions as their industrializing economies continue to expand.

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K. Lee Lerner

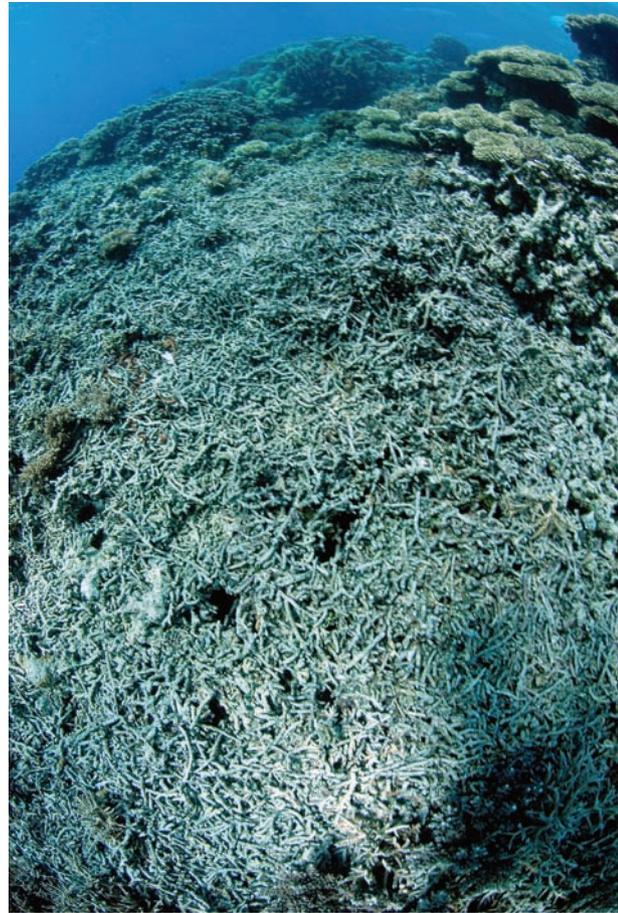
## Copper

Atomic symbol Cu, a metallic element with an atomic number of 29 (29 protons in the nucleus), and an average atomic weight of 63.546. Copper is a micronutrient which is needed in many proteins and enzymes. Copper has been and is frequently used in piping systems which convey potable water. Corrosive waters will leach copper from the pipelines, thereby exposing consumers to copper and possibly creating bluish-green stains on household fixtures and clothes. The staining of household fixtures becomes a nuisance when copper levels reach 2–3 mg/l. The drinking water standard for copper is 1 mg/l. It is a secondary standard based on the potential problems of staining and taste. Copper can be toxic to aquatic life, and copper sulfate is often used to control the growth of algae in surface waters.

Copper mining see **Ducktown, Tennessee; Sudbury, Ontario.**

## Coral bleaching

Coral bleaching is the whitening of coral colonies due to the loss of the symbiotic algae, zooxanthellae, from the tissues of coral polyps. It is mostly caused by stress. The host coral polyp provides the algae with a protected environment and a supply of carbon dioxide for its photosynthetic processes. The golden-brown algae serve as a major source of nutrition and color for the coral. The loss of the algae exposes the translucent calcium carbonate skeletons of the coral colony, and the corals look "bleached." Corals may recover from short-term bleaching (less than a month), but prolonged bleaching causes irreversible damage and mortality, for without the algae, the corals starve and die.



**Rising ocean temperatures killed much of this coral reef, leaving only the "bleached" coral skeleton. Coral thrives in a narrow temperature range. (Kike Calvo via AP Images)**

However, even a sublethal stress may result in increased susceptibility of corals to infections, with resulting significant mortality. Populations of sea urchins, parrot fish, and worms erode and weaken dead reef skeletons, and the reef can be destroyed by storm surges.

The means by which corals expel the zooxanthellae are not yet known. In laboratory experiments the zooxanthellae are released into the gut of the polyp and then expelled through the mouth; however this method has not been observed in the environment. Another hypothesis is that the stressed corals provide the algae with fewer nutrients, which results in the algae leaving the corals. Another possibility is that the algae may produce oxides under stress, which adversely affect the algae.

Bleaching may be caused by a number of stresses or environmental changes, including disease, excess shade, increased levels of ultraviolet radiation, sedimentation, pollution, salinity changes, exposure to air

by low tides or low sea level, and increased temperatures. Coral bleaching is most often associated with increased sea surface temperatures, as corals tolerate only a narrow temperature range of between about 77 to 84°Fahrenheit (25 to 29°C).

Historically bleaching was observed on a small scale, such as in overheated tide pools. However, in the early to mid 1980s, coral reefs around the world began to experience large scale bleaching, with a bleaching event occurring somewhere in the world almost every year. In 1998, coral reefs around the world suffered the most extensive and severe bleaching and subsequent mortality in recorded history, in the same year that tropical sea surface temperatures were the highest in recorded history. Coral bleaching was reported in at least 60 countries and island nations in the Pacific Ocean, Indian Ocean, Red Sea, Persian Gulf, and the Caribbean. Only the Central Pacific region was spared. About 16 percent of the world's reefs were lost in a period of only nine months. Previous bleaching events had only affected reefs to a depth of less than 49 feet (15 m), but in 1998, the bleaching extended as deep as 164 feet (50 m). The reason sea temperatures throughout the world were so warm in 1998 remains controversial and uncertain. Three theories have been developed—natural climate variability, El Niño and other climatic variations, and global warming. By the end of 2000, 27 percent of the world's reefs had been lost, with the largest single cause being the coral bleaching event of 1998. More than a dozen significant bleaching events occurred between 2002 and 2009. As of 2010, less than half the reefs lost during 1998 bleaching events have substantially recovered, the others adding to losses due to sediment and nutrient pollution and over-exploitation.

Many of the bleached coral reef ecosystems may require decades to recover. Human populations dependent on the reefs will lose fisheries, shoreline protection, and tourism opportunities. Trends of the past century indicate that coral bleaching events may become more frequent and severe if the climate continues to warm.

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Judith L. Sims

## Coral reef

Coral reefs represent some of the oldest and most complex communities of plants and animals on Earth. About 200–400 million years old, they cover about 231,660 square miles (600,000 km<sup>2</sup>) worldwide. (The most popular reefs range from 5,000–10,000 years old.) The primary structure of a coral reef is a calcareous skeleton formed by marine invertebrate organisms known as *cnidarians*, which are relatives of sea anemones. Corals are found in most of the oceans of the world, in deep as well as shallow seas and temperate as well as tropical waters. But corals are most abundant and diverse in relatively shallow tropical waters, where they have adapted to the constant temperatures provided by these waters. The reef-forming corals, or



**A thriving coral reef.** (*Pborowka/Shutterstock.com*)

hermatypic corals, have their highest diversity in the Indian and Pacific Oceans, where over 700 species are found. By contrast, the Atlantic Ocean provides the habitat for less than forty species. Other physical constraints needed for the success of these invertebrate communities are clear water, a firm substrate, high salinity, and sunlight. Clear water and sunlight are required for the symbiotic unicellular plants that live in the surface tissues of the coral polyps. This intimate plant-animal association benefits both participants. Corals obtain oxygen directly from the plants and avoid having to excrete nitrogenous and phosphate waste products because these are absorbed directly as nutrients by the plants. Respiration by the coral additionally provides carbon dioxide to these plants to be used in the photosynthetic process.

The skeletons of hermatypic coral play a major role in the formation of coral reefs, but contributions to reef structure, in the form of calcium carbonate, come from a variety of other oceanic species. Among

these are red algae, green algae, foraminifers, mollusk shells, sea urchins, and the exoskeletons of many other reef-dwelling invertebrates. This limestone infrastructure provides the stability needed, not only to support and protect the delicate tissues of the coral polyps themselves, but also to withstand the constant wave action generated in the shallow, near-shore waters of the marine ecosystem.

There are essentially three types of coral reefs. These categories are fringing reefs, barrier reefs, and atolls. Fringing reefs form borders along the shoreline. Some of the reefs found in the Hawaiian Islands are fringing reefs. Barrier reefs also parallel the shoreline but are found further offshore and are separated from the coast by a lagoon. The best example of this type of reef is the Great Barrier Reef off the coast of Australia. Because the coral colonies form an interwoven network of organisms from one end of the reef to the other, this is the largest individual biological feature on earth. The Great Barrier Reef borders about 1,250

mi (2011 km) of Australia's northeast coast. The second largest continuous barrier reef is located in the Caribbean Sea off the coast of Belize, east of the Yucatan Peninsula. The third type of reef, the atoll, is typically a ring-shaped reef, from which several small, low islands may project above the surface of the ocean. The ring structure is present because it represents the remains of a fringing reef that formed around an oceanic volcano. As the volcano eroded or collapsed, the outwardly-growing reef is ultimately all that remains as a circle of coral. Possibly the most infamous atoll is the Bikini Atoll, which was the site of the United States' hydrogen bomb tests during the 1940s and 1950s.

Besides the physical structure of the coral and the reef itself, the most significant thing about these structures is the tremendous diversity of marine life that exists in, on, and around coral reefs. These highly productive marine ecosystems may contain over 3,000 species of fish, shellfish, and other invertebrates. About 33 percent of all of the fishes of the world live and depend on coral reefs. This tremendous diversity provides for a huge commercial fishery in countries such as the Philippines and Indonesia. With the advent and availability of SCUBA gear to the general public in this half of this century, the diversity of life exhibited on coral reefs has been a great lure for tourists to these ecosystems throughout the world.

Even with their calcium carbonate skeleton and exquisite beauty, coral reefs are being degraded and destroyed daily, not only by natural events such as constant wave action and storm surges, but, more importantly, by the actions of man. As of 2010, of the 109 countries that have coral reef formations within their territorial waters, more than 98 have reported loss of corals over the last decade. Corals and their plant symbionts live within a narrow range of physical and chemical conditions that allow coral reefs to thrive. Recent studies have shown that coral reefs around the world are increasingly stressed or on the verge of collapse due to rising marine acidity levels, rising sea temperatures, and over-fishing.

There have been several attempts to save and salvage threatened coral reefs. In 1995, participants from 44 countries representing governments, nongovernmental organizations, international development agencies, and the private sector gathered to launch the International Coral Reef Initiative. In 1997, some 1,400 participants declared the year as the International Year of the Reef, a period they hoped would heighten awareness and further reef salvage activities worldwide.

Many coral reefs are between 5,000 and 10,000 years old, and some have been building on the same site for over a million years. However, recent environmental pressures, including water pollutants from industry continue to degrade and destroy coral. Silt, which washes into the sea from erosion of clearcut forests miles inland, cloud the water or smother the coral, thus prohibiting the photosynthetic process from taking place. Oil spills and other toxic or hazardous chemicals that find their way into the marine ecosystem through man's actions are killing off the coral and/or the organisms associated with the reefs. Mining of coral for building materials takes a massive toll on these communities. Removal of coral to supply the ever increasing demand within the aquarium trade is destroying the reefs as well. The tremendous interest in and appeal of marine aquaria has added another problem to this dilemma. In the race to provide the aquarium market with a great variety of beautiful, brilliantly-colored, and often quite rare, marine fishes, unscrupulous collectors, who are selling their catches illegally merely for the short term monetary gain, spray the coral heads with poison solutions (including cyanide) to stun the fishes, causing them to abandon the reefs. This efficient means of collecting reef fishes leaves the coral head enveloped in a cloud of poison, which ultimately kills that entire section of the reef.

An unusual phenomenon has developed within the past decade with regard to coral reefs and pollution. In the Florida Keys in the early 1980s divers began reporting that the coral, sea whips, sea fans, and sponges of the reefs, around which they had been swimming, had turned white. They also reported that the waters felt unusually warm. The same phenomenon occurred in the Virgin Islands in the late 1980s. As much as 50 percent of the reef was dying due to this bleaching effect. Scientists are still studying these occurrences; however, many argue that it is a manifestation of global warming, and that rising sea temperatures induce bleaching.

Tourism and recreation are inadvertently degrading coral reefs throughout the world as well. Coral is being destroyed by the propellers of recreational boats as well as divers who unintentionally step on coral heads, thus breaking them to pieces, and degrading the very structure of the ecosystem they came to see. Many of the reefs undergoing this degradation are sections that have been set aside for protection. Even with almost a quarter of a million square miles of coral reefs in the world, and about 300 protected regions in 65 countries, ever increasing levels of near-shore pollution, coupled with other acts of man, are destroying these extremely complex communities of marine organisms.

In February 2010, a report issued from a United Nations-backed conference on biodiversity reported that coral reefs around the world are increasingly stressed or on the verge of collapse due to rising marine acidity levels, rising sea temperatures, and over-fishing. Higher acidity levels are corrosive to aragonite, a foundational material of coral reef structure. In addition to environmental and ecosystem loss, the destruction of coral poses a serious threat to local and regional economies in areas of the world that depend on tourism.

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Eugene C. Beckham

## Corporate Average Fuel Economy standards

In 1975, as part of the response to the Arab oil embargo of 1973–1974, the U.S. Congress and President Richard Nixon passed the Energy Policy and Conservation Act. One of a number of provisions in this legislation intended to decrease fuel consumption was the establishment of fuel economy standards for passenger cars and light trucks sold in the United States. These Corporate Average Fuel Economy (CAFE) standards require that each manufacturer's entire production of cars or trucks sold in the United States meet a minimum average fuel economy level. Domestic and import cars and trucks are segregated into separate fleets, and each must meet the standards individually. Any manufacturer whose fleet(s) fails to meet the standard is subject to a fine, based on a CAFE shortfall times the total number of vehicles in the particular fleet. Manufacturers are allowed to generate CAFE credits for overachievement in a single year, which may be carried forward or backward up to three years to cover other shortfalls in mileage. This helps to smooth the effects of model introduction cycles or market shifts.

CAFE standards took effect in 1978 for passenger cars and 1979 for light trucks. Truck standards originally covered vehicles under 6,000 pounds (2,724 kg) gross vehicle weight (GVW), but in 1980, they were expanded to trucks up to 8,500 pounds (3,632 kg). Car standards were set at 18 miles per gallon (mpg) for 1978, and increased annually to 27.5 mpg for 1985 and beyond. Manufacturers responded with significant vehicle downsizing, application of new powertrain technology, and reductions in aerodynamic drag and tire friction. These actions helped improve average fuel economy of U.S.-built passenger cars from 13 mpg in 1974 to 25 mpg by 1982. The fall of gasoline prices following an embargo in 1980–1981 encouraged consumers to purchase larger vehicles. This mix shift slowed manufacturers' CAFE improvement by the mid-1980s, despite continued improvements in individual model fuel economy, since CAFE is based on all vehicles sold. The Secretary of Transportation has the authority to reduce the car CAFE standards from 27.5 mpg to 26 mpg. This authority was used in 1986 when it became clear that market factors would prevent CAFE compliance by a large number of manufacturers.

A separate requirement to encourage new car fuel efficiency was created through the Energy Tax Act of 1978. This act created a "Gas Guzzler" tax on passenger cars whose individual fuel economy value

fell below a certain threshold, starting in 1980. The tax is progressively higher at lower fuel economy levels. This act has since been amended both to increase the threshold level and to double the tax. The Gas Guzzler tax is independent of CAFE standards.

Environmental groups have long favored increasing the CAFE standards to reduce oil consumption and pollution. At the same time, the automobile industry has fought hard to avoid raising mileage standards, claiming increased regulation would reduce competitiveness and cost jobs. After the Persian Gulf War in 1991, some members of Congress proposed raising CAFE standards to 45 mpg for cars and 35 mpg for light trucks by 2001. Proponents of the plan claimed it would save 2.8 million barrels of oil per day, or more oil than is imported from Persian Gulf countries (about 2.4 million barrels per day in 2000). Strongly opposed by the automobile industry, the efficiency increase failed in Congress.

Cheap oil prices in the 1990s decreased Americans' concerns about fuel efficiency, and sales of larger cars boomed. Since 1988, nearly all technology gains in automobile efficiency have been offset by increased weight and power in new vehicles. The popular Sport Utility Vehicle (SUV), decried by environmentalists, became the new model of gas guzzler. Due to the "SUV loophole" in the CAFE laws, the SUV was classified as a light truck and was exempted from higher mileage standards and fines for inefficiency, although opponents of the vehicles claim that they are used more like cars and should be classified as such. By law, SUVs can emit up to five times more nitrogen oxides than cars, and have a lower fleet mileage standard of 20.7 mpg. By 2001, SUVs and light trucks accounted for nearly half of all new automobiles sold. Because of the high sales of large vehicles, United States automobile efficiency reached its lowest point in 21 years in 2001, despite new technology.

Another battle between environmentalists and the automobile industry occurred in March 2002, when Congress considered raising CAFE standards by 50 percent by the year 2015. Environmental and other groups claimed that increased efficiency would reduce America's dependence on foreign oil, would reduce pollution and greenhouse gases in the environment, and eliminate the need to drill for oil in sensitive regions such as the Arctic National Wildlife Refuge (ANWR) and offshore areas. The bill was strongly opposed by the automobile industry, and did not pass.

In 2007, light trucks and SUVs lost their CAFE exemption and CAFE standards set a new goal of 15 kilometers per liter (35 miles per gallon) by 2020.

In 2009, CAFE standards increased the goal of fuel efficiency of automobiles sold in the United States to 15.09 kilometers per liter (6.62 liters per 100 kilometers, or 35.5 miles per gallon) and accelerated the 2007 deadline to 2016.

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Brian Geraghty  
Douglas Dupler

## Corrosion and material degradation

Corrosion or degradation involves deterioration of material when exposed to an environment resulting in the loss of that material, the most common case being the corrosion of metals and steel by water. The changes brought about by corrosion include weight loss or gain, material loss, or changes in physical and mechanical properties.

Metal corrosion involves oxidation-reduction reactions in which the metal is lost by dissolution at the anode (oxidation). The electrons travel to the cathode where the reduction takes place, while positive ions move through a conducting solution or electrolyte to form positive and negative poles, called the cathode and the anode, respectively. Current flows between the cathode and anode. Thus the process of corrosion is basically electrochemical.

For corrosion to occur, certain conditions must be present. These are: (1) a potential difference between the cathode and the anode to drive the reaction; (2) an anodic reaction; (3) an equivalent cathodic reaction; (4) an electrolyte for the internal circuit; (5) an external circuit where electrons can travel. Sometimes, polarization of the anodic

and the cathodic reactions must be taken into consideration. Polarization is a change in equilibrium electromagnetic field of a cell due to current flow. It has been reported that polarization may retard corrosion, as in the accumulation of unreacted hydrogen on the cathode.

In the corrosion of iron in water, the reactions differ according to whether or not oxygen is present. The common reactions that take place in a deaerated medium are essentially an oxidation reaction releasing ferrous ion into solution at the anode and a reduction reaction releasing hydrogen gas at the cathode. In the presence of oxygen, a complementary cathode reaction involves oxygen being reduced to water.

Degradation of concrete, on the other hand, depends on the composition of cement and the aggressive action of the water in contact with it. Some forms of corrosion may be visibly apparent, but some are not. Surface corrosion, corrosion at discrete areas, and anodic attack in a two-metal corrosion may be readily observed. A less identifiable form, erosion-corrosion, is caused by flow patterns that cause abrasion and wear or sweep away protective films and accelerate corrosion. Another form of corrosion which involves the selective removal of an alloy constituent requires another means of examination. Cracking, a form of corrosion which is caused by the simultaneous effects of tensile stress and a specific corrosive medium, could be verified by microscopy.

Some measures adopted to prevent corrosion in metals are cathodic protection, use of inhibitors, coating, and the formation of a passivating film. Protection of concrete, on the other hand, can be achieved by coating, avoiding corrosive pH of the water with which the concrete is in contact, avoiding excessive concentrations of ammonia, and avoiding deaeration in pipes.

See also Hazardous waste site remediation; Seabed disposal; Waste management.

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James W. Patterson

## Cost-benefit analysis

Environmentalists might believe that total elimination of risk that comes with pollution and other forms of environmental degradation is possible and even

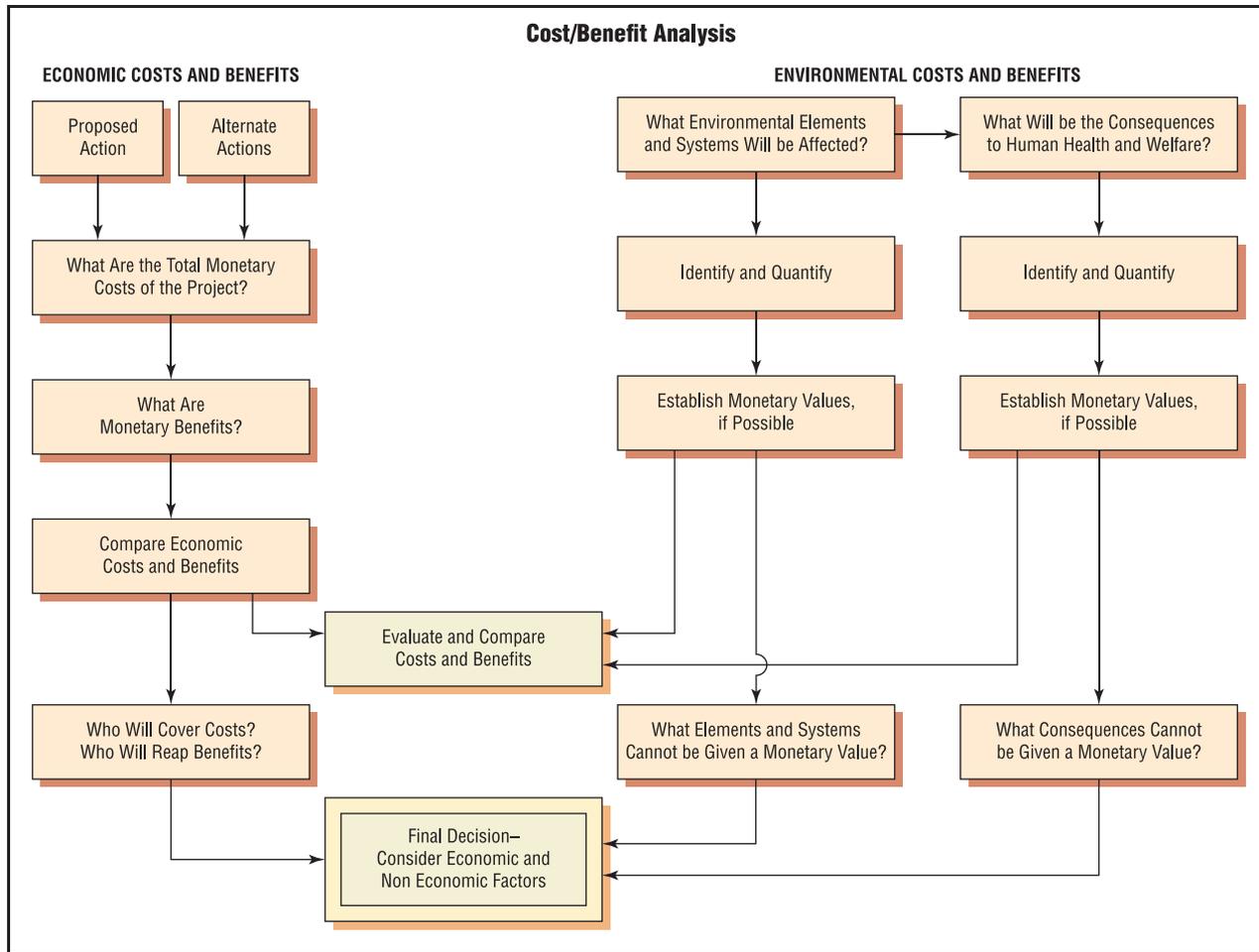
desirable, but economists argue that the benefits of risk elimination have to be balanced against the costs. Measuring risk is itself very complicated. Risk analysis in the case of pollution, for instance, involves determining the conditions of exposure, the adverse effects, the levels of exposure, the level of the effects, and the overall contamination. Long latency periods, the need to draw implications from laboratory studies of animal species, and the impact of background contamination complicate these efforts. Under these conditions, simple cause and effect statements are out of the question.

The most that can be said in health risk assessment is that exposure to a particular pollutant *is likely* to cause a particular disease. Risk has to be stated in terms of probabilities, not certainties, and has to be distinguished from safety, which is a societal judgment about how much risk society is willing to bear. When assessing the feasibility of technological systems, different types of risks—from mining, radiation, industrial accidents, or climate impacts, for example—have to be compared. This type of comparison further complicates the judgments that have to be made.

Reducing risk involves asking to what extent the proposed methods of reduction are likely to be effective, and how much these proposed methods will cost. In theory, decision-making could be left to the individual. Society could provide people with information and each person could then decide whether to purchase a product or service, depending upon the environmental and resource consequences. However, relying upon individual judgments in the market may not adequately reflect society's preference for an amenity such as air quality, if that amenity is a public good with no owner and no price attached to it. Thus, social and political judgments are needed.

However much science reduces uncertainty, gaps in knowledge remain. Scientific limitations open the door for political and bureaucratic biases that may not be rational. In some instances, politicians have framed legislation in ways that seriously hinder if not entirely prohibit the consideration of costs (as in the Delaney Clause and the Clean Air Act). In other instances, of which the President's Regulatory Review Council is a good example, they have explicitly required a consideration of cost factors.

There are different ways that cost factors can be considered. Analysts can carry out cost effectiveness analyses, in which they attempt to figure out how to achieve a given goal with limited resources, or they



**A cost-benefit analysis.** (Reproduced by permission of Gale, a part of Cengage Learning.)

can carry out more formal risk-benefit and cost-benefit analyses in which they have to quantify both the benefits of risk reduction and the costs.

According to the Organization for Economic Cooperation and Development (OECD), the total economic value (TEV) is an “all-encompassing measure of the economic value of any environmental asset.” Thus, a project or action that may degrade or damage an environmental asset must take into account the TEV of the asset as a cost. Conversely, any action that benefits an environmental asset must be reflected in the TEV, resulting in a recalculation of the TEV for that asset. Ecosystem valuation is a complicated matter due to the complex network of interactions that encompass a particular ecosystem. Services and products provided by an ecosystem, such as pharmaceutical resources, have to be accounted for in determining the value of an ecosystem and must be acknowledged when calculating the altered TEV in response to any action or policy that may result in a change in the ecosystem.

Economists admit that formal, quantitative approaches to balancing costs and benefits do not eliminate the need for qualitative judgments. Cost-benefit analysis initially was developed for water projects where the issues, while complicated, were not of the same kind as society now faces. For example, it is difficult to assess the value of a magnificent vista obscured by air pollution, to determine the significance of the loss to society if a given genetic strain of grass or animal species becomes extinct, and to assess the lost opportunity costs of spending vast amounts of money on air pollution that could have been spent on productivity enhancement and global competitiveness.

The most recalcitrant question concerns the value of human life. Cost-benefit analysis requires quantifying the value of a human life in dollars, so that specific health risks can be entered into the calculations against the cost of reducing such risks. Many different methods of arriving at an appropriate figure have been undertaken, all with wide-ranging and highly disputed results. Although

the question does not lend itself to a logical answer, society must nevertheless decide how much it is willing to pay to save a given number of lives (or how much specific polluters should pay for endangering them).

Equity issues (both interpersonal and intergenerational) cannot be ignored when carrying out cost-benefit analysis. The costs of air pollution reduction may have to be borne disproportionately by the poor in the form of higher gasoline and automobile prices. The costs of water pollution reduction, on the other hand, may be borne to a greater extent by the rich because these costs are financed through public spending. Regions dependent on dirty coal may find it in their interests to unite with environmentalists in seeking pollution control technology. The pollution control technology saves coal mining jobs in West Virginia and the Midwest, where the coal is dirty, but draws away resources from the coal mining industry in the West where large quantities of clean-burning coal are located.

Intergenerational equity also plays a role. Future generations have no current representatives in the market system or political process. The interests concerning future generations ultimately amounts to a philosophical discussion about altruism. Current generations must decide to what extent they should hold back on their own consumption for the sake of posterity. British philosopher Jeremy Bentham's (1748–1832) utilitarian ideal of “achieving the greatest good for the greatest number” could be modified to read “achieving sufficient per capita product for the greatest number over time.” Cost-benefit analysis arguments can also invoke the Precautionary Principle which has become a key element for policy decisions concerning environmental protection and management. It may be applied to situations where there are reasonable grounds for concern that an activity could cause harm, but where there is uncertainty about the probability of the risk and the degree of harm.

See also Environmental economics; Intergenerational justice; Pollution control; Risk analysis.

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Alfred A. Marcus

## Costle, Douglas M.

1939–

American former director of Environmental Protection Agency

An educator and an administrator, Douglas M. Costle helped design the Environmental Protection Agency (EPA) under Richard Nixon and was appointed to the head of that agency by Jimmy Carter. Costle was born in Long Beach California on July 27, 1939, and spent most of his teenage years in Seattle. He received his B.A. from Harvard in 1961 and his law degree from the University of Chicago in 1964. His career as a trial attorney in the civil rights division of the Justice Department began in Washington in 1965. Later he became a staff attorney for the Economic Development Administration at the Department of Commerce.

In 1969, Costle was appointed to the position of senior staff associate of the President's Advisory Council on Executive Organization, and in this post was instrumental in the formation of the EPA. Although Costle lobbied to be appointed as assistant administrator of the new agency, his strong affiliations with the Democratic party seem to have hindered his bid. Instead he continued as a consultant to the agency for two years and an adviser to the President's Council on Environmental Quality.

The road that led Costle back to the EPA took him to Connecticut in 1972, where he became first deputy commissioner and then commissioner of the Department of Environmental Protection in that state. He proved himself an able and efficient administrator there, admired by many for his ability to work with industry on behalf of the environment. His most important accomplishment was the development of a structure often called the Connecticut Plan, where fines for industrial pollution were calculated on the basis of the costs that business would have incurred if it had complied with environmental regulations.

President Carter appointed Costle head of EPA in 1977 as a compromise candidate during a period of bitter feuding over the direction of the agency (then at the center of a debate over the economic effects of regulation). But many environmentalists believed Costle's record proved he compromised too willingly with business, and they openly questioned his political strength to support environment protection in the face of fierce political and industrial opposition.

By May of his first year in office he was able to secure funding for 600 additional staff positions in the EPA, and under him much was done to provide a rationale for the regulations he had inherited and base them wherever possible on scientific data. Among other decisions Costle made while head of the EPA, he recommended a delay on the imposition of new auto emissions standards, allowed the construction of the nuclear plant in Seabrook, New Hampshire to continue despite protests, and oversaw the formation of an agreement with U.S. Steel on the reduction of air and water pollution.

Throughout his tenure Costle remained a strong proponent of the view that the federal government's responsibility to the environment was not incompatible with the obligations it had to the economy. He often argued that environmental regulation actually assisted economic development. Although conflicts with lobbying groups and hostile litigation, as well as increased controversy over the inflationary effects of environmental regulation, complicated his stewardship of the EPA, Costle continued to believe in what he termed a gradual and quiet victory for environmental protection.

Costle went on to become chairman of the U.S. Federal Regulatory Council until 1981, and was dean of the Vermont Law School from 1987 until his retirement in 1991. In 1994 he unsuccessfully ran for the U.S. Senate for the state of Vermont. He has since retired from public life.

Douglas Smith

## Council on Environmental Quality

Until it was abolished by the Clinton Administration in 1993, the President's Council on Environmental Quality (CEQ) was the White House Office that advised the President and coordinated executive branch policy on the environment. The CEQ was established by the

National Environmental Policy Act (NEPA) of 1969 to "formulate and recommend national policies" to promote the improvement of the quality of the natural environment. The Environmental Quality Improvement Act of 1970 amended the NEPA with additional provisions.

The CEQ had three basic responsibilities: to serve as advisor to the President on environmental policy matters; to coordinate the positions of the various departments and agencies of government on environmental issues; and to carry out the provisions of the NEPA. The latter responsibility included working with federal agencies on complying with the law and issuing the required regulations for assessing the environmental impacts of federal actions. The NEPA requires that all agencies of the federal government issue "a detailed statement" on "the environmental impact" of "proposals for legislation and other major federal actions significantly affecting the quality of the human environment." This seemingly innocuous provision has been used often by environmental groups to legally challenge federal projects that might damage the environment, on the grounds that the required Environmental Impact Statement was inadequate or had not been issued.

The CEQ also prepared and issued the annual Environmental Quality Report; administered the President's Commission on Environmental Quality, an advisory panel involved in voluntary initiatives to protect the environment; and supervised the President's Environment and Conservation Challenge Awards, which honored individuals and organizations who achieved significant environmental accomplishments. Under the Nixon and Carter administrations, the CEQ had a significant impact on the formulation and implementation of environmental policy. But its role was greatly diminished under the Reagan and Bush administrations, which paid much less attention to environmental considerations.

Perhaps the CEQ's best-known and most influential accomplishment was its landmark work, *The Global 2000 Report to the President*, prepared with the U.S. Department of State and other federal agencies, released in July 1980. This pioneering study was the first report by the U.S. government—or any other—projecting long term environmental, population, and resource trends in an integrated way.

Specifically, the report projected that the world of the future would not be a pleasant place to live for much of humanity, predicting that "if present trends continue, the world in 2000 will be more crowded, more polluted, less stable ecologically and more vulnerable

to disruption than the world we live in now. Serious stresses involving population, resources, and environment are clearly visible ahead. The world's people will be poorer in many ways than they are today." The CEQ's *Eleventh Annual Report, Environmental Quality—1980*, further warned that "we can no longer assume, as we could in the past, that the earth will heal and renew itself indefinitely. Human numbers and human works are catching up with the earth's ability to recover. The quality of human existence in the future will rest on careful stewardship and husbandry of the earth's resources."

In the following dozen years, the CEQ was much more reluctant to speak out about the ecological crisis. Early in his presidency, Bill Clinton (1946–) abolished the CEQ and created the White House Office on Environmental Policy to coordinate the provisions of NEPA, environmental policy, and actions of his administration. President Clinton said this new body would "have broader influence and a more effective and focused mandate to coordinate policy" than had the former CEQ.

The White House Office on Environmental Policy is still also referred to as the CEQ.

In 2002 and 2003, CEQ staff member Phillip Cooney (1959–), who was previously a lobbyist for the American Petroleum Institute, altered information regarding climate change to downplay the relationship between greenhouse gas emissions and global warming. These edits were made to introduce uncertainty concerning climate change and its effects and indicated that further research was necessary. Cooney subsequently resigned from the CEQ and accepted a position with Exxon Mobil, an international oil and gas company.

Under the Obama administration, the CEQ is focusing on the development of clean energy such as alternative or renewable energy sources to combat climate change and to protect and restore the environment.

*See also* Environmental policy.

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## ORGANIZATIONS

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## Cousteau, Jacques-Yves

1910–1997

French oceanographer, inventor, photographer, explorer, and environmentalist

When most people think of marine biology, the person that immediately comes to mind is Jacques-Yves Cousteau. Whether through invention, research, conservation, or education, Cousteau has brought the ocean world closer to scientists and the public, and it is the interest, awareness, and appreciation fostered through



Oceanographer Jacques-Yves Cousteau adjusts his diving gear in 1965 in Paris France. (AFP/Getty Images)

Cousteau's work that may ultimately save the marine environment from impending destruction.

Born in France in 1910, Cousteau's childhood was full of illnesses that left him anemic. His sickness, however, did not stop him from being an independent thinker, a trait that led to his strong commitment to oceanographic research. He attended the École Navale in Brest, France, the national naval academy, where his interest in marine research was sparked by a cruise around the world on the school ship *Jeanne D'Arc*. Cousteau brought his camera and filmed a rough documentary of the voyage. His fascination with pearl and fish divers, and his ability to use a camera, would revolutionize undersea exploration.

During World War II, Cousteau, his wife, and two friends made masks and snorkels from inner tubes and garden hose. Through experimentation, they discovered that the worst enemy of a diver was the cold, and Cousteau proceeded to work on an effective diving suit. In 1943, Cousteau and Emile Paul Gagnon patented the Aqua Lung, the first self-contained underwater breathing apparatus (SCUBA). It was this invention that led to Cousteau being known as the "father of modern diving." SCUBA has opened a new world to scientific research as it is used extensively not only in marine biology, but also in marine geology, archaeology, and chemical oceanography.

Cousteau's innovations did not end with the Aqua Lung. He combined his interests in diving and photography to develop the first underwater camera housing. While stationed with the Navy in Marseilles, Cousteau continued to develop underwater photographic equipment, including battery packs and lights. In 1943, Cousteau and several friends filmed "Wrecks," a documentary of a sunken ship in the Mediterranean Sea. The French navy recognized Cousteau's talent and thought that this technology could be useful in recovering German mines and retrieving lost cargo. He was promoted to commandant and was put in charge of the Undersea Research Group, where he continued to develop diving and photographic techniques.

In 1950, Cousteau realized that the vessel donated to the Undersea Research Group was inadequate and asked the navy to furnish them with another ship. When the French navy refused, Cousteau formed a non-profit organization, Campagnes Océanographiques Françaises, and was able to raise enough money to purchase and refit an old British minesweeper. This would become the most famous and recognized scientific research vessel in the world: *Calypso*.

Cousteau first gained notoriety in the United States in the early 1950s when *Life Magazine* and *National Geographic* introduced Americans to the undersea endeavors of Cousteau and his Undersea Research Group. In 1953 Cousteau was persuaded to translate his journals into English and published them as *The Silent World*. It sold five million copies and was translated into twenty-two languages. It was filmed as a documentary in 1955 and won an Academy Award and the Gold Medal at the Cannes Film Festival that year. Following the commercial success of his film, Cousteau was appointed director of the world's oldest and largest marine research center, the Oceanographic Institute of Monaco. He rebuilt the deteriorating institute, adding aquariums and many live specimens collected during his travels.

While trying unsuccessfully to house dolphins in the facility, Cousteau developed a respect for the intelligence of these animals. This prompted him to campaign for stopping the slaughter of dolphins for use in pet foods. This was to be the first of many environmental campaigns for Cousteau, during which he discovered that the public, once educated about the environment, would be willing to try to preserve it. This theme of education has guided the Cousteau Society, and many other environmental organizations, ever since.

Exploring depths deeper than possible with SCUBA intrigued Cousteau and, in 1960, he tested the DS-2 diving saucer, in which he dove to over 984 ft (300 m) in the Bay of Ajaccio, near Corsica. After hundreds of successful dives, Cousteau started Continental Shelf Station Number One (Conshelf I) in the Mediterranean. This experiment not only investigated deep undersea habitats, but also determined how divers would respond to life underwater for long periods of time while conducting laboratory experiments. The work performed by Cousteau and his research group not only laid the groundwork for all subsequent submersible engineering and exploration, but also was one of the first thorough investigations of hyperbaric physiology.

In 1966, Cousteau began that for which he is best known: television documentaries. With the airing of "The World of Jacques Cousteau," millions were introduced to the wonders of the sea. That same year, Cousteau signed a contract and began to film "The Undersea World of Jacques Cousteau," which ran for nearly nine years, giving Americans a glimpse of marine environments and the behaviors of the organisms that live there. The series, now in syndication, continues to fascinate generations of Americans and spawn interest in marine science and conservation.

In the early 1970s, Cousteau became frustrated with increasing marine pollution and produced a series of documentaries focusing on the destruction of marine systems. He also expanded his explorations to riverine systems, lakes, rain forest destruction and the conflicts between human culture and the environment. The scope of his films demonstrates Cousteau's devotion to preserving all natural systems.

Cousteau's accomplishments are numerous. He had published more than 18 books and contributed many articles to professional and popular journals. His documentary films have won three Academy Awards and his series, "The Undersea World of Jacques Cousteau," has won numerous Emmy Awards. Many organizations have recognized Cousteau for his work in technical fields as well as in conservation. These awards include Gold Medals from the National Geographic Society and the Royal Geographical Society, and the United Nations international environmental award. He has received honorary degrees from the University of California at Berkeley, Brandeis University, Rensselaer Polytechnic Institute, Harvard University, the University of Ghent, and the University of Guadalajara. He was named to the U.S. National Academy of Sciences in 1968.

Cousteau continued to inspire and fascinate, motivating scientists and the public alike to work to preserve the ocean world until his death in Paris on July 25, 1997.

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William G. Ambrose Jr.  
Paul E. Renaud

## Cousteau Society, The

The Cousteau Society is a non-profit organization dedicated to marine research, especially underwater exploration and filmmaking. Created in 1973 by Jacques-Yves Cousteau and his son, Jean-Michel Cousteau, the Society provides educational materials, and sponsors or cosponsors scientific, technological



**Aerial view of the research ship *Alcyone* in the brown waters of the Betsiboka estuary, Madagascar. This vessel is operated by the Cousteau Society, a foundation set up by the scuba pioneer Jacques-Yves Cousteau to promote conservation.** (Alexis Rosenfeld / Photo Researchers, Inc.)

and environmental research studies to gauge the health of the world's marine environments.

The Society's educational projects include award-winning television specials that inspire interest in the marine world. Special reports filmed and broadcast have included those on the *Exxon Valdez* oil spills and the natural history of the great white shark (*Carcharodon carcharias*). Cousteau films are broadcast in more than 100 countries and to schools around the world. Books and filmstrips on marine and environmental issues are produced for colleges, schools, and the public. The Society produces two periodicals that explain scientific and environmental issues, the *Calypso Log* for adults and the *Calypso Kids* for youngsters.

Working with UNESCO, the Society is establishing a network of Ecotechnie courses in universities around the world. Ecotechnie is a concept developed by Jacques Cousteau, which brings an environmental perspective to the study of economics, technology, and the natural and social sciences. The Society also sponsors research to help the scientific community better understand the nature of a region or phenomenon, as well as studies designed to provide local policy makers with guidelines to protect their environment.

Two Cousteau Society research vessels, *Calypso II* and *Alcyone*, are circumnavigating the globe to take a fresh look at the planet. Four hours of television documentaries are filmed each year about those expeditions. Scientific teams aboard the two research vessels are measuring the productivity of the oceans, studying

the contributions of rivers to ocean vitality, assessing the health of marine and freshwater habitats, and exploring the global connections between major components of the biosphere such as tropical forests, rivers, the atmosphere, seas, oceans, and humankind.

The Society has also developed educational computer programs for young people that explore the consequences of various actions on the environment. It also supports the development of new technologies that will help provide solutions to environmental challenges.

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### ORGANIZATIONS

- The Cousteau Society, 732 Eden Way North, Suite E ,  
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Linda Rehkopf



A coyote. (© U. S. Fish & Wildlife Service.)

## Coyote

The name coyote comes from the Aztec name *Coyoti*. The Latin name for the coyote is *Canis latrans* which means barking dog. A member of the family Canidae, the coyote is also called God's Dog, brush wolf, prairie wolf, and the Songdog.

Coyotes are especially important to biologists and others studying wildlife and the environment both because of their ability to control their own population and because of their effect upon other wildlife populations, especially that of the white-tailed deer.

The coyote is roughly the size of a small German Shepherd, weighing from 20–35 pounds (9–14 kg) and 4–5 feet (1.2–1.5 m) long, although some can grow as large as 50 pounds (23 kg) in the northern and northeastern part of their range. The coat of a coyote can be gray or brownish gray, depending on the season and habitat, with a bushy tail that is tipped with black. Coyote fur is long and soft and grows heavier in the winter to protect it from the cold. Its fur also grows lighter in color in the winter and darker in the summer to help it blend in with its natural surroundings.

The early European settlers originally found the coyote only in Central and western North America. However, despite many attempts by humans to eliminate them, coyotes today range from Panama to Northern Alaska, and from California to Newfoundland. They have been seen in every state in the continental United States, but as they are predominately nocturnal, are too elusive to count accurately. Coyotes have even been found in isolated regions such as Cape Cod, as well as in various urban areas such as Chicago, Los Angeles, and New York City.

This ongoing expansion of the coyote's range began in the late 1800s, soon after the United States government began programs of killing them in order to protect domestic livestock, especially the sheep that were grazing on public lands. However, unlike the overhunting of other species, efforts to kill off the coyotes have generally failed, and coyotes have continued to thrive.

Several factors account for this expansion. Farming and the clearing of the unbroken forests that once

covered much of North America have created new and more suitable habitats. The eradication of the wolf in much of North America has removed one of the coyote's major predators and has led to less competition for many prey animals. Unlike the wolf, the coyote has more easily adapted to changing habitats, and has adjusted to living close to human populations. In addition, the introduction of sheep and other domestic animals has given the coyote new food sources.

Coyotes are opportunistic carnivores with a variable diet depending on the season or habitat. Although they prefer rodents, rabbits, and hares, they are definitely not choosy. They will emulate the larger wolf and hunt deer, elk, and sheep in packs. They will also eat carrion and have been known to eat grasshoppers, beetles, snakes, lizards, frogs, rats, domestic cats, porcupines, turtles, and even watermelons and wild blueberries.

Coyotes are one of the most vocal animals of the wild. Through a series of howls, yelps and barks, coyotes communicate to other coyotes in the area, with others in their pack, and with their young.

Studies of the coyote's breeding patterns have shown that they are able to control their own population. To do this, the coyote relies upon various breeding strategies. If there are too many coyotes for the food supply, they will continue to mate and have young, but only enough pups will be born and will survive to replace those that have died. However, if they are not being overhunted and if there is plenty of food, there will be more pups born in each litter and the number that will survive into adulthood will increase.

Because of this innate ability to vary their own populations, coyotes have thrived and played a central role in maintaining the overall ecological balance. Extensive studies of the coyote have shown the crucial interaction between them and many other species, both predator and prey. When the jackrabbit population decreases, as it does periodically in the Curlew Valley of Idaho and Utah for example, the coyote population decreases also, usually within the following year. Then, when the jackrabbit population increases again, coyotes become more numerous also.

Coyotes mate for life and the adults usually breed between January and March. The female maintains the den that she selects from an old badger or woodchuck hole, or a natural cavity in the ground. The female carries her young for over two months, giving birth in April or May. A litter may have from two to twelve pups.

Both parents play an active role in raising the pups. At three weeks old, the pups are allowed to leave the den to play and by twelve weeks old they are taught to hunt. The family stays together through the summer, but by fall, the pups will leave to find their own territories. The survival rate for these pups is low. Between 50 and 70 percent die before they reach adulthood. Eighty percent of those deaths are due to man.

Coyotes limit the populations of various smaller predators, by preying upon them directly or by competing with them for prey. This in turn indirectly affects various prey communities, especially those of birds. When there are no coyotes to keep them in check, the smaller predators expand and are able to kill off a larger segment of the various bird populations.

The coyote and the deer populations interact in an important way as well. Whenever the coyote population decreases, the deer population, particularly that of the white-tailed deer, increases, leading to unhealthy herds and, according to some biologists, to an increase in Lyme disease-bearing ticks in some regions. Coyotes are thus a major factor in maintaining healthy deer populations and in preventing the spread of a disease that is dangerous and potentially fatal to humans.

Coyotes affect humans more directly as well, especially through their impact upon domestic animals. Since Europeans first came to North America, coyotes have preyed upon sheep and other livestock, costing sheep growers, farmers, and ranchers untold millions of dollars. In some areas, livestock may make up 14 percent of a coyote's diet. This has prompted extensive hunting to control the number of coyotes that ranchers and farmers view as pests. Some ranchers keep guard dogs to protect their livestock. New technology, using inaudible (to humans) ultrasound, also allows ranchers to detect or repel coyotes.

Humans cause 90 percent of all adult coyote deaths by hunting, trapping, poisoning, and automobile accidents.

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Douglas Dupler

Crane (bird) see **Whooping crane.**

Creutzfeldt jacob disease see **Mad cow disease.**

## Criteria pollutant

The U.S. Environmental Protection Agency (EPA) is required by the Clean Air Act to set standards for six common air pollutants. The National Ambient Air Quality Standards (NAAQS) are established to prevent harm to public health and the environment. The six pollutants, termed criteria pollutants, are air pollutants which have been studied such that allowable levels of exposure can be defined to protect human health and meet the related EPA standards. The criteria pollutants regulated by these standards are: ground-level (tropospheric) ozone (O<sub>3</sub>), carbon monoxide (CO), particulate matter (e.g., organic chemicals, acids, metals, soil, dust), nitrogen oxides (NO<sub>x</sub>), sulfur dioxide (SO<sub>2</sub>), and lead (Pb).

There are primary and secondary types of standards for the criteria pollutants. Primary NAAQS are levels of air quality with a margin of safety adequate to protect public health and reflect sensitive groups such as the elderly, asthmatics, and children. Secondary NAAQS are levels of air quality which are necessary to protect the public welfare from any known or anticipated adverse effects of a pollutant. The secondary standards are in place to prevent low visibility and to prevent damage to crops, animals, plants, and buildings.

Due to initiatives for reduction of the six criteria pollutants, the levels of each pollutant have declined to a large extent. With the exception of lead, each of the criteria pollutants have been linked to global climate change. Ozone, particulate matter, nitrogen oxides, and sulfur dioxide have been shown to adversely affect the human respiratory system, while carbon monoxide affects the nervous and cardiovascular systems. Lead affects the nervous, immune, and cardiovascular

systems as well as kidney function, reproduction, and development.

*See also* Air Quality Control Region; Air quality criteria; Primary standards; Secondary standards.

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## Critical habitat

As institutionalized in the U. S. Endangered Species Act of 1973, critical habitat is considered the area necessary to the survival of a species, and, in the case of endangered and threatened species, essential to their recovery. An animal's habitat includes not only the area where it lives, but also its breeding and feeding grounds, seasonal ranges, and migration routes. Critical habitat usually refers to the area that is essential for a minimal viable population to survive and reproduce. The Endangered Species Act is intended to conserve "the ecosystems upon which endangered species and threatened species depend." Thus, the Secretary of the Interior is required to identify and designate critical habitats for species that are listed as endangered or threatened under this law. In some cases, areas may be excluded from such designations if the economic, social, or other costs exceed the conservation benefits.

The listing of imperiled species and the designation of their critical habitats have become politically sensitive, since these actions can profoundly affect the development and exploitation of areas so designated, and can, under some circumstances, limit such activities as gas and oil drilling, timber cutting, dam building, mineral exploration and mining. For this and other reasons, the Department of the Interior often has been reluctant to list certain species, and has excluded species from the protected lists in order not to inconvenience certain commercial interests.

Section 7 of the Endangered Species Act requires all federal agencies and departments to ensure that the

activities they carry out, fund, or authorize do not jeopardize the continued existence of listed species or adversely modify or destroy their critical habitat. This provision has proven especially significant, since federal agencies such as the Forest Service, Bureau of Land Management, and Fish and Wildlife Service control vast areas of land that constitute habitat for many listed species and on which a variety of commercial activities, such as logging or mining, are undertaken with federal permits.

However, Section 7 of the Endangered Species Act has been implemented in such a way as to generally not affect economic development. The U. S. Fish and Wildlife Service (and, in the case of marine species, the National Marine Fisheries Service) is directed to consult with other federal agencies and review the effects of their actions on listed species. According to a study by the National Wildlife Federation, over 99% of the more than 120,000 reviews or consultations conducted between 1979 and 1991 found that no jeopardy to a listed species was involved. In some cases, “economic and technologically feasible” alternatives and modifications, in the words of the act, were suggested that allowed the federal activities to proceed. In only 34 cases were projects cancelled because of threats to listed species. In rare situations, where the conflict between a project and the Endangered Species Act are absolutely irreconcilable, an agency can apply for an exemption from a seven-member Endangered Species Committee.

The earliest major conflict over critical habitat under the Act was the famous 1979 fight over construction of the \$116 million Tellico Dam in Tennessee, which would have flooded and destroyed several hundred family farms as well as what was then the only known habitat of a species of minnow, the snail darter (*Percina tanasi*). (Since then, snail darters have been found in other areas.) Congress exempted this project from the provisions of the Endangered Species Act, and the dam was built as planned, although many consider it a political boondoggle and a huge waste of taxpayers’ money.

More recently, efforts by environmentalists to save the remnants of old-growth forest in the Pacific Northwest to preserve habitat for the northern spotted owl (*Strix occidentalis caurina*) created tremendous controversy. Thousands of acres of federally-owned forests in Oregon, Washington, and California were placed off-limits to logging, costing jobs in the timber industry in those states. However, conservationists pointed out, if the federal government allowed the last of the ancient forests to be logged, timber jobs would disappear anyway, along with these unique ecosystems and several species dependent upon them. In mid-1993, Interior Secretary announced a compromise decision that allows logging of some ancient forests to continue, but

also greatly decreases the areas open to this activity. As natural areas and wildlife habitat continue to be destroyed and degraded, conflicts and controversy over saving critical habitats for listed endangered and threatened species can be expected to continue.

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Lewis G. Regenstein

## Crocodiles

The largest of the living reptiles, crocodiles inhabit shallow coastal bodies of water in tropical areas throughout the world, and they are often seen floating log-like in the water with only their eyes and nostrils showing. Crocodiles have long been hunted for their hides, and almost all species of crocodilians are now



**American Crocodile (*Crocodylus Acutus*) sleeping on the hot sand in the St. Augustine, Florida, Alligator Farm Zoological Park. (©vonora/Image from BigStockPhoto.com.)**

considered to be in danger of extinction. Members of the crocodile family, called crocodylians (Crocodyliidae), are similar in appearance and include crocodiles, alligators, caimans, and gavials. A crocodile can usually be distinguished from an alligator by its pointed snout (an alligator's is rounded), and by the visible fourth tooth on either side of its snout that protrudes when the jaw is shut.

Crocodiles prey on fish, turtles, birds, crabs, small mammals, and any other animals they can catch, including dogs and occasional humans. They hide at the shore of rivers and water holes and grab an animal as it comes to drink, seizing a leg or muzzle, dragging the prey underwater, and holding it there until it drowns. When seizing larger animals, a crocodile will thrash and spin rapidly in the water and tear its prey to pieces. After eating its fill, a crocodile may crawl ashore to warm itself and digest its food, basking in the sun in its classic "grinning" pose, with its jaws wide open, often allowing a sandpiper or plover to pick and clean its teeth by scavenging meat and parasites from between them.

The important role that crocodiles play in the balance of nature is not fully known or appreciated, but, like all major predators, their place in the ecological chain is a crucial one. They eat many poisonous water snakes, and during times of drought, they dig water holes, thus providing water, food, and habitat for fish, birds, and other creatures. When crocodiles were eliminated from lakes and rivers in parts of Africa and Australia, many of the food fish also declined or disappeared. It is thought that this may have occurred because crocodiles feed on predatory and scavenging species of fish that are not eaten by local people, and when left unchecked, these fish multiplied out of control and crowded out or consumed many of the food fish.

Crocodiles reproduce by laying eggs and burying them in the sand or hiding them in nests concealed in vegetation. Recent studies of the Nile and American crocodiles show that some of these reptiles can be attentive parents. According to these studies, the mother crocodile carefully watches over the nest until it is time for the eggs to hatch. Then she digs the eggs out and gently removes the young from the shells. After gathering the newborns together, she puts them in her mouth and carries them to the water and releases them, watching over them for some time. American crocodiles are very shy and reclusive, and disturbance during this critical period can disrupt the reproductive process and prevent successful hatchings.

In recent decades, crocodiles and other crocodylians have been intensively hunted for their scaly hides, which are used to make shoes, belts, handbags,

wallets, and other fashion products. As a result, they have disappeared or have become rare in most of their former habitats. In 2010, seven species of crocodile were designated as critically endangered; nearly all crocodiles face some degree of threat. These species are found in Africa, the Caribbean, Central and South America, the Middle East, the Philippines, Australia, some Pacific Islands, southeast Asia, the Malay Peninsula, Sri Lanka, and Iran. They are endangered primarily due to overexploitation and habitat loss.

The American crocodile (*Crocodylus acutus*) occurs all along the Caribbean coast, including the shores of Central America, Colombia, Cuba, Hispaniola, Jamaica, Mexico, extreme south Florida, and on the Pacific coast, from Peru north to southern Mexico. The United States population of the American crocodile consists of some 500–1,200 individuals. This species breeds only in the southern part of Everglades National Park, mainly Florida Bay, and perhaps on nearby Key Largo, and at Florida Power and Light Company's Turkey Point plant, located south of Miami. The population was thought to be extremely vulnerable and declining, mainly due to human disturbance, habitat loss (from urbanization, especially real estate development), and direct killing such as on highways and in fishing nets. Predation of hatchlings in Florida Bay mainly by raccoons may also have been a factor in the species' decline. However, conservation measures have had some notable impact; the American crocodile was taken off of the endangered list in 2007.

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Lewis G. Regenstein

## Cross-Florida Barge Canal

The subject of long and acrimonious debate, this attempt to build a canal across the Florida peninsula began in the 1930s and finally deauthorized in 1991. Although it receives little attention today, the Cross-Florida Barge Canal stands as a landmark, because it was one of the early cases in which the Army Corps of Engineers, whose primary mission has traditionally

been to re-design and alter natural waterways, yielded to environmental pressure. The canal's stated purpose, aside from bringing public works funding to the state, was to shorten the shipping distances from the East Coast to the Gulf of Mexico by bypassing the long water route around the tip of Florida. Rerouting barge traffic would also bring commerce into Florida, directing trade and trans-shipment operations through Floridian hands. An additional supporting argument that persisted into the 1980s was that the existing sea route brought American commerce dangerously close to threatening Cuban naval forces.

Construction on the canal began in 1964, on a route running from the St. Johns River near Jacksonville west to the Gulf of Mexico at Yankeetown, Florida. Canal project plans included three dams, five locks, and 110 miles (177 km) of channel 150 feet (46 m) wide and 12 feet (3.6 m) deep. Twenty-five miles (40 km) of this waterway, along with three locks and three dams, were complete by 1971 when President Richard Nixon, citing economic inefficiency and unacceptable environmental risks, stopped the project by executive order.

From start to finish, the canal's proponents defended the project on economic grounds. The Cross-Florida Canal was proposed as a depression-era job development program. After completion, commerce and recreational fishing would boost the state economy. The Army Corps, well-funded and actively remodeling nature in the 1950s and 1960s, took on the project, vastly overestimating economic benefits and essentially dismissing environmental liabilities with the argument that even modest economic gain justified any habitat or water loss. After work had begun, further studies concluded that most of the canal's minimal benefits would go to non-Floridian agencies and that environmental dangers were greater than first anticipated. Outcry over environmental costs eventually led to a reappraisal of economic benefits, and the state government rallied behind efforts to halt the canal.

Environmental risks were grave. Although Florida has more wetlands than any other state except Alaska, many of the peninsula's natural wetland and riparian habitats had already been lost to development, drainage, and channelization. Along the canal route these habitats sheltered a rich community of migratory and resident birds, crustaceans, fish, and mammals. Fifteen endangered species, including the red-cockaded woodpecker (*Picoides borealis*) and the Florida manatee, stood to lose habitat to channelized rivers and barge traffic. Specialized spring-dwelling mussels and shrimp that depend on reliable and pure water supplies in this porous limestone country were also threatened.

Most serious of all dangers was that to the Floridan aquifer, located in northern Florida but delivering water to cities and wetlands far to the south. Like most of Florida, the reach between Jacksonville and Yankeetown consists of extremely porous limestone full of sinkholes, springs, and underground channels. The local water table is high, often within a few feet of the ground surface, and currents within the aquifer can carry water hundreds of meters or more in a single day. Because the canal route was to cut through 28 miles (45 km) of the Floridan aquifer's recharge zone, the area in which water enters the aquifer, any pollutants escaping from barges would disperse through the aquifer with alarming speed. Even a small fuel leak could contaminate millions of gallons of drinking-quality water. In addition, a canal would expose the aquifer to extensive urban and agricultural pollution from the surrounding region.

Water loss presented another serious worry. A channel sliced through the aquifer would allow water to drain out into the sea, instead of remaining underground. Evaporation losses from impounded lakes were expected to reach or exceed 40 million gallons of fresh water every day. With water losses at such a rate, water tables would fall, and salt water intrusions into the fresh water aquifer would be highly probable. In 1985, 95 percent of all Floridians depended on groundwater for home and industrial use. The state could ill afford the losses associated with the canal.

Florida water management districts joined environmentalists in opposing the canal. By the mid-1980s the state government, eager to reclaim idle land easements for development, sale, and extension of the Ocala National Forest, put its weight against the Corps and a few local development agencies that had been resisting deauthorization for almost twenty years. In 1990, the United States Congress voted to divide and sell the land, effectively eliminating all possibility of completing the canal. In 1991, the governor of Florida agreed to the terms of the federal deauthorization plan.

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Mary Ann Cunningham

CRP see **Conservation Reserve Program**.

## Crutzen, Paul J.

1933–  
Dutch Meteorologist

Paul Crutzen is one of the world's leading researchers in mapping the chemical mechanisms that affect the ozone layer. He has pioneered research on the formation and depletion of the ozone layer and threats placed upon it by industrial society. Crutzen has discovered, for example, that nitrogen oxides accelerate the rate of ozone depletion. He has also found that chemicals released by bacteria in the soil affect the thickness of the ozone layer. For these discoveries he received the 1995 Nobel Prize in Chemistry, along with Mario Molina and Sherwood Rowland for their separate discoveries related to the ozone and how chlorofluorocarbons (CFCs) deplete the ozone layer.

Paul Josef Crutzen was born December 3, 1933, to Josef C. Crutzen and Anna Gurek in Amsterdam. Despite growing up in a poor family in Nazi-occupied Holland during 1940–1945, he was nominated to attend high school at a time when not all children were accepted into high school. He liked to play soccer in the warm months and ice skate 50–60 miles (80–97 km) a day in the winter. Because he was unable to afford an education at a university, he attended a two-year college in Amsterdam. After graduating with a civil engineering degree in 1954, he designed bridges and homes.

Crutzen met his wife, Tertu Soininen, while on vacation in Switzerland in 1954. They later moved to Sweden where he got a job as a computer programmer for the Institute of Meteorology and the University of Stockholm. He started to focus on atmospheric chemistry rather than mathematics because he had lost interest in math and did not want to spend long hours in a lab, especially after the birth of his two daughters, Illona and Sylvia. Despite his busy schedule, Crutzen obtained his doctoral degree in Meteorology at Stockholm University at the age of 35.

Crutzen's main research focused on the ozone, a bluish, irritating gas with a strong odor. The ozone is a molecule made up of three oxygen atoms ( $O_3$ ) and is formed naturally in the atmosphere by a photochemical reaction. The ozone begins approximately 10 miles (16 km) above Earth's surface, reaching between 20–30 miles (32–48 km) in height, and acts as a protective layer that absorbs high-energy ultraviolet radiation given off by the sun.

In 1970, Crutzen found that soil microbes were excreting nitrous oxide gas, which rises to the stratosphere and is converted by sunlight to nitric oxide and nitrogen dioxide. He determined that these two gases

were part of what caused the depletion of the ozone. This discovery revolutionized the study of the ozone and encouraged a surge of research on global biogeochemical cycles.

The journal *Ambio* commissioned Crutzen and John Birks, his colleague from the University of Colorado, to investigate what effects nuclear war might have on the planet. Crutzen and Birks studied a simulated worldwide nuclear war. They theorized that the black carbon soot from the raging fires would absorb as much as 99 percent of the sunlight. This lack of sunlight, which has been termed nuclear winter, would be devastating to all forms of life. For this theory Crutzen was named “Scientist of the Year” by *Discover* magazine in 1984 and awarded the prestigious Tyler Award four years later.

As a result of the discoveries by Crutzen and other environmental scientists, a very crucial international treaty was established in 1987. The Montreal Protocol was negotiated under the auspices of the United Nations and signed by seventy countries to slowly phase out the production of chlorofluorocarbons and other ozone-damaging chemicals by the year 2000. As of 2009, every member nation of the United Nations had ratified the Montreal Protocol. Even though the ban has been established, existing CFCs will continue to reach the ozone, so the depletion will continue for some years. By 2020, the concentrations of ozone-depleting substances will begin to decline. The full recovery of the ozone is not expected until 2050 or later.

From 1977–80, Crutzen was director of the Air Quality Division, National Center for Atmospheric Research (NCAR), located in Boulder, Colorado. While at NCAR, he taught classes at Colorado State University in the department of Atmospheric Sciences. Since 1980, he has been a member of the Max Planck Society for the Advancement of Science, and is the director of the Atmospheric Chemistry division at Max Planck Institute for Chemistry. In addition to Crutzen's position at the institute, he is a part-time professor at Scripps Institution of Oceanography at the University of California. In 1995, he was the recipient of the United Nations Environmental Ozone Award for outstanding contribution to the protection of the ozone layer. Crutzen has co-authored and edited several books, as well as having published several hundred articles in specialized publications. As of 2010, he continues his advocacy for the environment.

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Sheila M. Dow

## Cryptosporidium

*Cryptosporidium* is a microscopic protozoan parasite that is a significant cause of diarrheal disease (cryptosporidiosis) in humans and animals, including ruminants, swine, cats, and dogs. The word cryptosporidium means hidden spore, referring to the ease with which it can escape detection in environmental samples. Most cryptosporidiosis appears to be caused by the species *Cryptosporidium parvum*. The dormant and resistant forms of *Cryptosporidium*, referred to as oocysts, are excreted in the feces of infected humans and animals and can survive under a wide range of environmental conditions. Cryptosporidiosis had long been recognized as a veterinary problem, especially in young farm animals, but was only recognized as a cause of human disease in 1976, when it was diagnosed in animal handlers.

The most common symptom of cryptosporidiosis is watery diarrhea. Other symptoms may include abdominal cramps, nausea, low-grade fever, dehydration, and weight loss. Symptoms usually develop four to six days after infection, but may occur from two to 10 days after infection. In individuals with healthy, normal immune systems, the disease usually only lasts for several days, and rarely more than two weeks. Some infected persons may not even become ill, while others become ill, seem to recover, but become ill again. Infected persons may shed oocysts in their feces for months, even when they are not exhibiting disease symptoms.

Cryptosporidiosis can cause complications in persons with diabetes, alcoholism, or pregnancy. Diarrhea and dehydration can severely affect the very young, the elderly, and the frail. Cryptosporidiosis can be prolonged and life-threatening in immunocompromised individuals (those who have weak immune systems), such as transplant patients, people infected with the Human Immunodeficiency Virus (HIV) (which causes Acquired Immuno-Deficiency Syndrome [AIDS]), cancer patients on chemotherapy, and persons who are taking medications that suppress the immune system. By 1983, the number of infections in humans was increasing as the AIDS epidemic

began; also diagnostic methods were developed to identify the parasite in stool samples, which aided in the identification and documentation of the disease.

In industrialized nations, about 0.4 percent of the population passes oocysts in the feces at any one time, while about 2–2.5 percent of patients admitted to hospitals are passing oocysts. About 30–35 percent of the United States population have antibodies in their blood to *Cryptosporidium*. In developing nations, the prevalence is higher, where up to 60–70 percent of people have circulating antibodies in their blood to *Cryptosporidium*. In AIDS patients, the numbers of individuals with chronic cryptosporidiosis is about 10 percent in industrialized nations and up to 40 percent in some developing nations.

Cryptosporidiosis cannot be diagnosed by symptoms alone, but must be confirmed with a specific diagnostic stool test for the parasite. There is no drug available to cure cryptosporidiosis. Symptoms are treated with anti-diarrheal medicines and fluids to prevent dehydration. People with strong immune systems will recover on their own and may develop limited immunity to recurring infections.

A person can become infected with *Cryptosporidium* by ingesting anything that has been in contact with feces from an infected person or animal. Hands can become contaminated with *Cryptosporidium* through person-to-person contact, such as changing of a child's diaper, caring for someone with diarrhea, or touching a part of the body contaminated with feces. Cryptosporidiosis is easily spread through social groups, such as families, day care centers, and nursing homes. Persons who work with animals or touch soils or objects contaminated with feces can contract cryptosporidiosis.

Infection with *Cryptosporidium* can also occur by drinking water that is contaminated with oocysts. The first report of spread of cryptosporidiosis through a municipal drinking water system was in 1987 in Carrollton, Georgia, where 13,000 people, out of a total population of 65,000, became ill. In 1993, a municipal drinking water system in Milwaukee, Wisconsin, became contaminated with *Cryptosporidium*, and 400,000 people became ill. Four thousand people were hospitalized, at a cost of \$54 million, and 100 people died, including some persons with AIDS. Both water systems had met all state and drinking water standards. There have also been more than a dozen outbreaks reported in the United Kingdom. These outbreaks demonstrated the risks of waterborne cryptosporidiosis, for unfortunately *Cryptosporidium* oocysts are resistant to many environmental stresses

and chemical disinfectants such as chlorine that are used in municipal drinking water systems and swimming pools (swallowing a small amount of water while swimming in a chlorinated pool can cause cryptosporidiosis). The mechanism that protects oocysts from chlorination has not yet been positively identified—the oocyst membrane may be protective, or an oocyst may pump toxins from its cell before the toxins can cause harm.

Oocysts are present in most surface bodies of water in the United States, many of which are sources of public drinking water. They become more prevalent during periods of runoff (generally from March–June during spring rains in North America) or when wastewater treatment plants become overloaded or break down. Properly drilled and maintained groundwater wells, with intact well casings, proper seals, and above-ground caps, are not likely to contain *Cryptosporidium* because of natural filtration through soil and aquifer materials.

The detection of *Cryptosporidium* oocysts is unreliable, for recovery and enumeration of oocysts from water samples is difficult. Concentration techniques for oocysts in water samples are poor, and detection methods often measure algae and other debris in addition to oocysts. The volume of water required to concentrate oocysts for detection can range from 26–264 gal (100–1,000 l). Determination of whether oocysts are infective and viable or a member of the species that causes disease is not easy to accomplish. The development of more accurate, rapid and improved assays for oocysts is required, for present tests are time-consuming, highly subjective, and dependent on the skills of the analyst.

In addition, the number of oocysts (the effective dose) required to cause cryptosporidiosis has not yet been well-defined and requires more investigation. Studies to date have suggested that the 50 percent infectious dose may be around 132 oocysts, and in some cases, as few as 30 oocysts (infections have also occurred with the ingestion of a single oocyst). Human susceptibility to *Cryptosporidium* likely varies between individuals and between various *Cryptosporidium* strains.

Therefore protection of drinking water supplies from contamination by *Cryptosporidium* requires multiple approaches. Filtration of drinking water supplies is the only reliable conventional treatment method. Water in a treatment plant is mixed with coagulants that aid in the settling of particles in water; removal can be enhanced by using sand filtration. Ozone disinfection can kill *Cryptosporidium* but ozone does not

leave a residual in the distribution system as chlorine does, which provides protection of treated water to the point of use but does not necessarily kill *Cryptosporidium* anyway.

Watershed protection to prevent contamination from entering water sources is also important in protection of drinking water supplies. Regulation of septic systems and best management practices can be used to control runoff of human and animal wastes.

An individual can also take steps to ensure that drinking water is safe. Boiling water (bringing water to a rolling boil for at least one minute) is the best way to kill *Cryptosporidium*. After boiling, the water should be stored in the refrigerator in a clean bottle or pitcher with lid; care should be taken to avoid touching the inside of the bottle or lid to prevent re-contamination. Point-of-use filters, either attached to a faucet, or the pour-through type, can also be used to remove *Cryptosporidium* from water. Only filters with an absolute, rather than a nominal, pore size of one micron or smaller should be used to remove oocysts. Reverse osmosis filters are also effective. Lists of filters and reverse osmosis filters that will remove *Cryptosporidium* oocysts can be obtained from NSF International, an independent non-profit testing agency.

The use of bottled water is not necessarily safer than tap water, as water from a surface water source has the same risks of containing oocysts as tap water from that source, unless it has been treated with appropriate treatment technologies, such as distillation, pasteurization, reverse osmosis, or filtration with an absolute one micron rating, before bottling. Bottled water from deep groundwater wells has a low likelihood of being contaminated with oocysts, so the labels on water bottles should be examined before use to determine water source and treatment methods.

Food can also be a source of *Cryptosporidium*. The parasite may be present in uncooked or unwashed fruits and vegetables that are grown in areas where manure was used or animals were grazed or in beverages or ice prepared with contaminated water. Pasteurization of dairy products will kill oocysts. Bottled and canned drinks, such as soda and beer, are usually heated and/or filtered sufficiently to kill or remove *Cryptosporidium* oocysts. Care should be taken to wash hands thoroughly with soap and water before eating, preparing or serving food. Fruits and vegetables that will be eaten raw should be washed or peeled before being eaten. When traveling to areas with poor sanitation, extra care should be taken in the selection of food and drink.

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Judith L. Sims

CSOs see **Combined sewer overflows**.

## Cubatão, Brazil

Once called the "valley of death" and the "most polluted place on earth," Cubatão, Brazil, is a symbol both of severe environmental degradation and how people can work together to clean up their environment. A determined effort to reduce pollution and restore the badly contaminated air and water in the past decades has had promising results. While not ideal by any means, Cubatão is no longer among the worst places in the world to live.

Cubatão is located in the state of São Paulo, near the Atlantic coastal city of Santos, just at the base of the high plateau on which São Paulo—Brazil's largest city—sprawls. Thirty years ago, Cubatão was an agreeable, well-situated town. Overlooking Santos Bay with forest-covered mountain slopes rising on three sides around it, Cubatão was well removed from the frantic hustle and bustle of São Paulo on the hills above. Several pleasant little rivers ran through the valley and down to the sea. When the rivers were dammed to generate electricity in the early 1970s, however, the situation changed.

Cheap energy and the good location between São Paulo and the port of Santos attracted industry to Cubatão. An oil refinery, a steel mill, a fertilizer plant, and several chemical factories crowded into the valley, while workers and job-seekers scrambled to build huts on the hillsides and the swampy lowlands between the factories. With almost no pollution control enforcement, industrial smokestacks belched clouds of dust and toxic effluents into the air while raw sewage and chemical waste poisoned the river. By 1981, the city had 80,000 inhabitants and accounted for 3 percent of

Brazil's industrial output. It was called the most polluted place in the world. More than 1,000 tons of toxic gases were released into the air every day. The steaming rivers seethed with multi-hued chemical slicks, foamy suds, and debris. No birds flew in the air above, and the hills were covered with dying trees and the scars of erosion where rains washed dirt down into the valley.

Sulfur dioxide, which damages lungs, eats away building materials, and kills vegetation, was six times higher than World Health Organization guidelines. After a few hours exposure to sunlight and water vapor, sulfur oxides turn into sulfuric acid, a powerful and dangerous corrosive agent. Winter air inversions would trap the noxious gases in the valley for days on end. One quarter of all emergency medical calls were related to respiratory ailments. Miscarriages, stillbirths, and deformities rose dramatically. The town was practically uninhabitable.

The situation changed dramatically in the mid-1980s, however. Restoration of democracy allowed citizens to organize to bring about change. Governor Franco Montoro was elected on promises to do something about pollution. Between 1983 and 1987, the government worked with industry to enforce pollution laws and to share the costs of clean-up. Backed by a World Bank loan of \$100 million, the state and private industry invested more than \$200 million for pollution control. By 1988, 250 out of 320 pollution sources were reduced or eliminated. Ammonia releases were lowered by 97 percent, particulate emissions were reduced 92 percent, and sulfur dioxide releases were cut 84 percent. Ozone-producing hydrocarbons and volatile organic compounds dropped nearly 80 percent. The air was breathable again. Vegetation began to return to the hillsides around the valley, and birds were seen once more.

Water quality also improved. Dumping of trash and industrial wastes was cut from some 64 metric tons per day to less than 6 tons. Some 780,000 tons of sediment were dredged out of the river bottoms to remove toxic contaminants and to improve water flow. Fish returned to the rivers after a 20-year absence. Reforestation projects are replanting native trees on hillsides where mudslides threatened the town. The government of Brazil now points to Cubatão with pride as an illustration of its concern for environmental protection. This is a heartening example of what can be done to protect the environment, given knowledge, commitment, and cooperation. As of 2010, the effort to improve the environment around Cubatão had cost over \$1.2 billion.

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William P. Cunningham

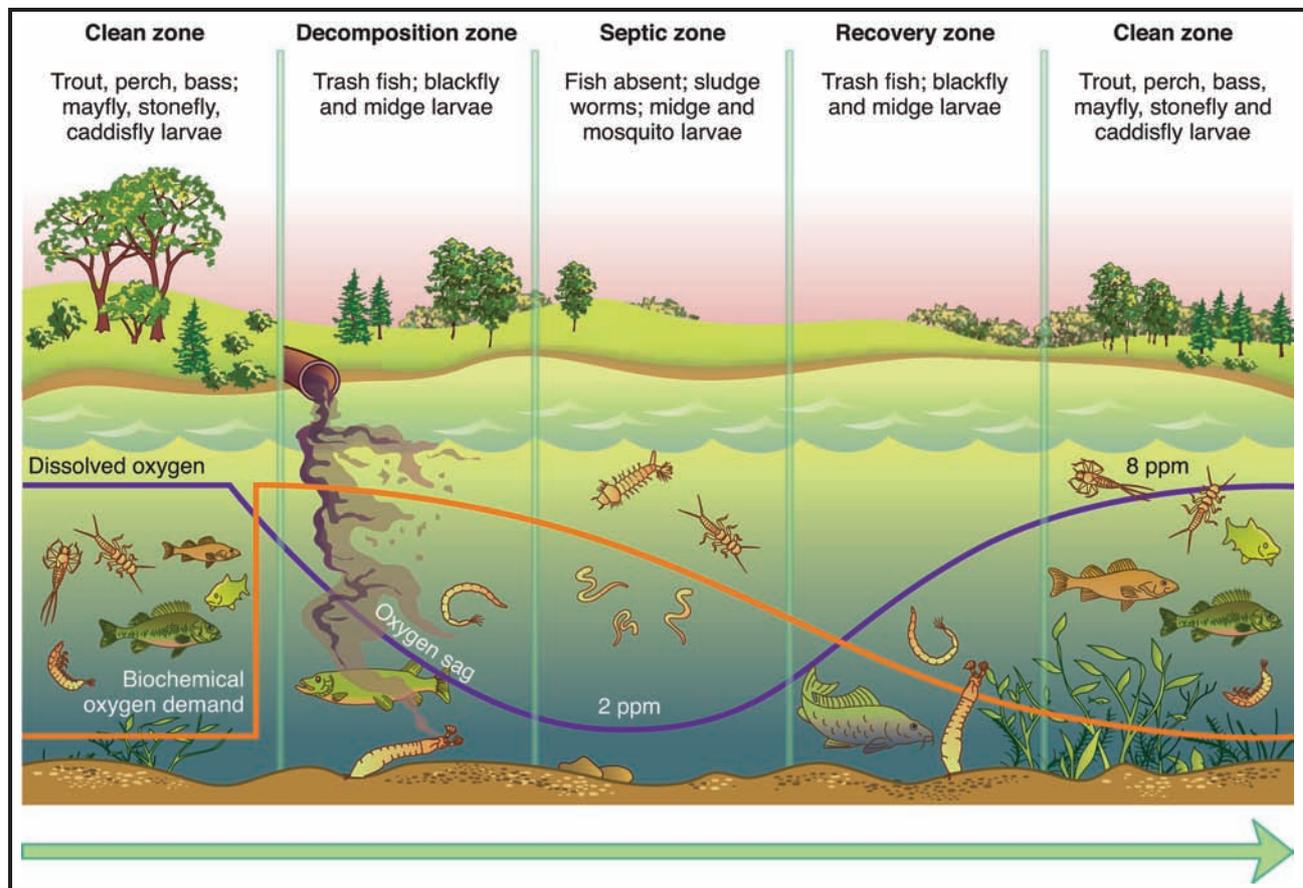
Cultivation see **Agricultural pollution**.

## Cultural eutrophication

One of the most important types of water pollution, cultural eutrophication describes human-generated fertilization of water bodies. Cultural denotes human involvement, and eutrophication means truly nourished, from the Greek word *eutrophic*. Key factors in cultural

eutrophication are nitrates and phosphates, and the main sources are treated sewage and runoff from farms and urban areas. The concept of cultural eutrophication is based on anthropocentric values, where clear water with minimal visible organisms is much preferred over water rich in green algae and other microorganisms.

Nitrates and phosphates are the most common limiting factors for organism growth, especially in aquatic ecosystems. Most fertilizers are a combination of nitrogen, phosphorus, and potassium. Nitrates are key components of the amino acids, peptides, and proteins needed by all living organisms. Phosphates are crucial in energy transfer reactions within cells. Natural sources of nitrates (and ammonia) are more readily available than phosphates, so the latter is often cited as the crucial limiting factor in plant growth. Nitrates are supplied in limited quantities by decaying plant material and nitrogen-fixing bacteria, but phosphates must come from animal bones, organic matter, or from the breakdown of phosphate-bearing rocks. Consequently, the introduction and widespread use of phosphate detergents,



Oxygen reduction downstream of a source of organic pollution. (Reproduced by permission of Gale, a part of Cengage Learning.)

combined with excess fertilizer in runoff, has produced a near ecological disaster in some waters.

In ecosystems, there is a continuous cycling of matter, with green algae and plants making food from chemicals dissolved in water via photosynthesis; this provides the food base needed by herbivores and carnivores. Dead plant material and animals are then decomposed by aerobic (oxygen using) and anaerobic decomposers into the simple elements they came from. Natural water bodies are usually well-suited for handling this matter cycling; however, human impacts often inject large amounts of additional nutrients into the system, changing them from oligotrophic (poorly nourished) to eutrophic water bodies. Once present within a relatively closed body of water, such as a lake or estuary, these extra nutrients may cycle numerous times before leaving the system.

Green algae and trashy fish may thrive in eutrophic water, but most people are offended by what they perceive as “scum.” Nutrient-poor water is usually clear and possesses a rich supply of oxygen, but as the nutrient load increases, oxygen levels drop and turbidity rises. For example, when sewage is dumped into a body of water, the sewage fertilizes the algae, and as they multiply and die the aerobic decomposers multiply in turn. The increased demand for oxygen by the decomposers outstrips the system’s ability to provide it. As a result, dissolved oxygen levels may fall or sag even below 2.0 parts per million, the threshold below which even trashy fish cannot survive (trout need at least 8.0 ppm to survive). Even though the green algae are producing oxygen as a byproduct of photosynthesis, even more oxygen is consumed by decomposers breaking down the dead algae and other organisms.

As water flows downstream the waste is slowly broken down, so there is less for the decomposers to feed on. Biological oxygen demand slowly falls, while the dissolved oxygen levels rise until the river is finally back to normal levels. Most likely, the nutrients recycled by decomposers are either diluted, turned into biomass by trees and consumer organisms, or tied up in bottom sediments. Thus a river can naturally cleanse itself of organic waste if given sufficient time. Problems arise, however, when discharges are too large and frequent for the river to handle; under extreme conditions it becomes “dead” and is suited only for low-order, often anaerobic, organisms. Municipalities using river water locate their intakes upstream and their sewage treatment plants and storm drains downstream. If communities were required to do

the reverse, the quality of river water would dramatically improve.

The major sources of nitrates and phosphates in aquatic systems are treated sewage effluent; excess fertilizer from farms and urban landscapes; and animal wastes from feedlots, pastures, and city streets. In some areas with pristine water, tertiary sewage treatment has been added; chemicals are used to reduce nitrate and phosphate levels prior to discharge.

Runoff from nonpoint sources is a far more difficult problem because they are harder to control and remediate than runoff from point sources. Point sources can be diverted into treatment plants, but the only feasible way to reduce nonpoint sources is by input reduction or by on-site control. Less fertilizer more frequently applied, especially on urban lawns, for example, would help reduce runoff. Feedlots are a major concern; runoff needs to be collected and treated in the same manner as human sewage; this may also apply to street runoff.

Phosphate detergents are superior cleaning agents than soap, but the resultant wastewater is loaded with this key limiting factor. Phosphate levels in detergents have since been reduced, but its impact is so powerful that abatement may require tertiary treatment.

A success story in the battle to overcome the effects of cultural eutrophication, the Thames River in England was devoid of aquatic life for centuries. Now a massive cleanup effort is restoring the river to vitality. Many fish have returned, most notably the pollution-sensitive salmon, which had not been seen in London for 300 years.

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Nathan H. Meleen

## Cuyahoga River

This 103-mile (166-km) long tributary of Lake Erie is a classic industrial river, with, however, one monumental distinction: it caught fire on numerous occasions. The first fire on the Cuyahoga River occurred in 1868. A 1952 fire on the river caused over \$1 million in damages. A major fire in 1959 burned for eight days; fireboats merely spread the blaze. Typical of the times, a November 1959 article in *Fortune* seemed to glorify the industrial pollution here, with words and a portfolio of drawings reminiscent of Charles Dickens. Inspired by feelings of space and excitement, the artist stated: "It is a great expanse, with a smoky cast over everything, smudged with orange dust from the ore—an overall brown color." Clevelanders consoled themselves that the foul water at least symbolized prosperous times.

The second fire occurred on June 22, 1969, as several miles of river along the lowland industrial section called "the Flats" ignited, fed by bunker oil, trash, and tree limbs trapped by a bridge 6 mi (9.7 km) upstream. This fire, along with the Santa Barbara oil well blowout, provided graphic television images that were projected around the world. Jack A. Seamonds's June 18, 1984 article in *U.S. News & World Report* credited the fire with lighting "the fuse that put the bang in the nationwide campaign to clean up the environment." Pamela Brodie described it in the September-October 1983 issue of *Sierra* as "The most infamous episode of water pollution in the U.S. . . . It inspired a song . . . and the Clean Water Act."

Although the fire badly hurt Cleveland's image, steps were already underway to correct the problem. The city government had declared the river a fire hazard and won voter approval in the autumn of 1968 for major funding to correct sewage problems. After the fire, Cleveland's three image-conscious steel companies voluntarily quit dumping cyanide-laced water and two installed cooling towers. As a result of just these actions alone, the river once again began to freeze in the winter. The city of Akron banned phosphate detergents, and eventually won the lawsuits brought against it by soap companies. These and other efforts, plus completion in 1983 of the major sewage treatment project, brought about far more encouraging reports.

At one point, over 155 tons of waste were dumped into the Cuyahoga each day. But by 1978, *Business Week* could report substantial improvement: phosphates were cut in half; chemicals were down 20–40%; and oil spills went from 300 per year to 25 in 1977. For the first time, local residents saw ducks on

the river. By 1984, point source pollution had been largely eliminated. The waterfront was rediscovered, with restaurants and trendy stores where once fires had burned

The water is still light brown, churned up by deep-water shipping, and Lake Erie is still polluted. Nonetheless, the Cuyahoga seems to have been largely redeemed, and thus helped revitalize the city of Cleveland. The river became one of 14 American Heritage rivers in 1998.

*See also* Great Lakes; Water quality.

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Nathan H. Meleen

Cyclodienes see **Chlorinated hydrocarbons**.

Cyclone see **Tornado and cyclone**.

## Cyclone collector

A device that removes solids from an effluent stream (solid, liquid, or mixed phase solid/gas) using gravitational settling. The input stream enters a vertical tapered cylinder tangentially and spiral fins or grooves cause the stream to swirl. The centrifugal force created by this swirling action causes particles to settle out of the input stream. These solids are concentrated at the walls and move to the bottom of the tapered cone. The solid-free fluid or gas is removed along the center line of the collector via a vortex finder. A cyclone collector is a compact device

capable of processing large volumes of effluent; however, pressure energy is expended in its operation. It removes particles from the effluent stream less effectively than bag filters or electrostatic precipitation, but is simple and can be relatively effective in the collection of dusts. Removal of particulates from air using cyclone collectors is inexpensive and low-maintenance. These

collectors are more efficient at extracting larger particles and are usually used with other devices for removal of smaller particles. Cyclone collectors remove and isolate the particulates, but do not destroy them, necessitating a method of disposal.

*See also* Baghouse.





# D

## Dam removal

There are over 80,000 dams of significant size (over 6 feet [1.8 m] tall) in the United States, and tens of thousands of additional uncharted smaller dam structures. Constructed for the purposes of harnessing water resources for irrigation, water supply, and hydroelectric power, dams can be useful allies for human needs; they also have a major and long-term impact on the entire river ecosystem.

Since 1912, over 460 dams have been removed from United States waterways for both safety and environmental reasons. Dams associated with hydroelectric power projects are decommissioned after their useful life and removal is often recommended to return river ecosystems back to their natural state.

Damaged or obsolete dam structures may present a safety hazard, particularly if they are no longer regularly maintained. Upkeep of a dam is expensive, and local taxpayers may bear the brunt of caring for a dam that no longer serves any practical function. Finally, abandoned or unused dams can be aesthetically unpleasant in an otherwise scenic natural area.

Dams and their associated reservoirs can significantly impact river ecosystems and alter their natural course. The structures raise water temperatures, obstruct debris and nutrient flow, and prevent sediment dispersal. And they have an enormous impact on runs of salmon, steelhead, and other migratory fish, often in a relatively short period of time. For example, according to the National Fish and Wildlife Service the first dam to be built across the Connecticut River in 1798 resulted in the extinction of native Atlantic salmon stock in the river just a few years later. Despite the integration of fish lifts and fish ladders into modern dams, populations of native fish stocks are still greatly depleted by dam structures. In 2010, several dams in the Northwest were removed to replenish many of these species, which are protected under the Endangered Species Act.

There can be some short-term negative environmental issues associated with dam removal projects. Contaminated sediment that may collect under a dam can disperse throughout the area, and populations of nonnative species that have settled in the dam-altered habitat may decline once the dam has been removed. However, in most cases, dam removal encourages re-establishment of the native ecosystem and organisms.

Depending on its location and the ownership of the dam, removal may be governed by a variety of federal, state, and local authorities. For federal dam projects, the U.S. Army Corps of Engineers or the Bureau of Reclamation are charged with planning and completion of removal projects. However, only 3 percent of dams included in the U.S. Army Corps of Engineers national inventory of dams are owned by the federal government, compared with 58 percent of privately-owned dams.

Even if ownership of a dam is private, the waterways the structure harnesses are public and there is still a significant amount of regulatory oversight on all levels of government. The U.S. Environmental Protection Agency (EPA) and some state authorities typically request a full environmental assessment and written report called an “Environmental Impact Statement” (or EIS). The EIS outlines different scenarios for completion of a dam removal project, from no action (letting the dam naturally deteriorate over time) to full dismantling and removal with additional cleanup of dam sediments. It then describes the impact each approach will have on the ecosystem surrounding the dam site.

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#### ORGANIZATIONS

American Rivers, 1025 Vermont Ave., NW, Suite 720, Washington, DC, USA, 20005, (202) 347-7550, (202) 347-9240, amrivers@amrivers.org, <http://www.amrivers.org/damremoval/default.htm>

Paula Anne Ford-Martin

Danube River see **Eastern European Pollution.**

## Dams (environmental effects)

Most dams are built to control flood hazards, to store water for irrigation or other uses, or to produce electricity. Along with these benefits come environmental costs including riparian habitat loss, water loss through evaporation and seepage, erosion, declining water quality, and air pollution. Other negative consequences of dams include changes in groundwater flow and the displacement of human populations.

Riparian, or streamside, habitats suffer both above and below dams. Valuable ecological zones that support specialized plants, riparian environments, and nearby shallows provide food and breeding grounds for birds, fish, and many other animals. Upstream of a dam, impounded water drowns riparian communities. Because reservoirs can fill hundreds of miles of river channel, and because many rivers have a long sequence of dams and reservoirs, habitat drowning can destroy a great deal of river biodiversity. Downstream, shoreline environments dry up because of water diversions (for irrigation or urban use) or because of evaporation and seepage losses in the reservoir. In addition, dams interrupt the annual floods that occur naturally on nearly all rivers. Seasonal flooding fertilizes and waters floodplains and clears or redistributes debris in river channels. These beneficial effects of flooding cease once a dam is installed on a river. Sixty percent of the world's 227 largest rivers are significantly fragmented, therefore resulting in damage to the river ecosystems.

As a result of covering streamside vegetation with water in the construction of the reservoir, its

decomposition results in the emission of greenhouse gases such as methane gas (CH<sub>4</sub>). When flooding a large area, the amount of decay can contribute to large emissions of greenhouse gases, thus leading to climate change. However, if the dam is being used for the generation of hydroelectricity to replace other sources of power, there would potentially be a decrease in greenhouse gas emissions to offset those levels generated from decomposition.

Dams and reservoirs alter sediment deposition in rivers. Most rivers carry large amounts of suspended silt and sand, and dams trap sediments normally deposited downstream. Below the dam, erosion reshapes river channels once sediment deposition ceases, thus altering the riverside habitat. If erosion becomes extreme, bridges, walls, levees, and even river deltas can be threatened. Meanwhile, sediment piling up in the still waters of the reservoir behind the dam decreases water storage capacity. An increasingly shallow reservoir also becomes gradually warmer. Oxygen content decreases as the water temperature rises; fish populations fall, and proliferating algae and aquatic plants can begin to block the dam's water intakes. In arid regions a higher percentage of river water evaporates as the reservoir becomes shallower. Evaporating water leaves behind salts, which further decrease water quality in the reservoir and river.

Water losses from evaporation can be extreme: Lakes Powell and Mead on the Colorado River lose about 3 billion cubic feet (1 billion cubic meters) of water to evaporation each year; Egypt's Lake Nasser, on the Nile River, loses about 45 billion cubic feet (15 billion cubic meters). Water losses also result from seepage into bedrock. As river water enters groundwater, water tables usually rise around a reservoir. In arid regions increased groundwater can increase local fertility (sometimes endangering delicate dryland plant species), but in moister regions excessive groundwater can cause swamping. Evaporation from exposed groundwater can leave higher salt concentrations in the soil. The most catastrophic results of reservoir seepage into groundwater occur when saturated rock loses its strength. In such events valley walls can collapse, causing dam failure and disastrous flooding downstream.

Perhaps the most significant environmental effect of dams results from the displacement of human populations. The World Commission on Dams has estimated that 40 to 80 million people have been displaced because of dam construction. Because people normally settle along rivers, where water for drinking, irrigation, power, and transport are readily available, reservoir flooding can displace huge populations. The Three



A torrent of water pours through an opening in the 162-year-old Edwards Dam on the Kennebec River in Augusta, Maine, 1999, after heavy equipment began removing the dam. Environmentalists hailed the dam's removal. (AP Photo/Robert F. Bukaty)

Gorges Dam on China's Chang Jiang (Yangtze River) displaced more than 1 million people and flooded some of western China's best agricultural land.

In August 2010, Brazilian officials announced the impending start of construction on an \$11 billion hydroelectric dam across the Amazon. Upon completion, the Belo Monte dam will be the third largest in the world. The reservoir behind the dam will displace thousands of people from cities and towns along the Xingu River basin, which will be flooded by the dam. The Belo Monte dam project is opposed by a broad array of environmental and indigenous rights groups, but advocates of the project argue that it is needed to produce electricity for expanding cities such as São Paulo, Brazil. About 200 square miles (520 square km) of land will be flooded by the dam. To mitigate the environmental impacts, the government has pledged \$280 million toward a sustainable development plan for the area. In response, some indigenous tribes, including the Xikrin-Kayapó and the Parakanã tribes, have withdrawn their formal opposition to the project. Critics of the project counter that there have been insufficient long-term environmental impact studies, especially of potential downstream impacts.

Where dams are needed for power, they can have a positive effect in offsetting environmental costs associated with other power sources. Hydropower is cleaner and safer than nuclear power. Water turbines are also cleaner than coal-fired generators. Furthermore, both nuclear and coal power require extensive mining, with environmental costs far more severe than those of even a large dam.

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Mary Ann Cunningham

## Darling, Jay Norwood "Ding"

1876–1962

American environmental cartoonist

Most editorial page cartoonists focus on the tough job of meeting daily deadlines, satisfied that their message will influence public opinion through their drawings. Jay Norwood "Ding" Darling, however, drew Pulitzer prize-winning cartoons, but was also immersed in conservation action, emerging as one of the great innovators in the conservation movement of the first half of the twentieth century.

Norwood, Michigan, was Darling's namesake birthplace, but he grew up in Elkhart, Indiana, and attended high school in Sioux City, Iowa, at a time when the area was relatively undeveloped. Wandering the prairies of nineteenth-century Iowa, Nebraska, and South Dakota instilled in him a lifelong love of the outdoors and wildlife.

After an uneven beginning, Darling graduated from Beloit College in Wisconsin with a degree in biology. (At one college, during one semester, biology was the only course he passed.) And he was expelled from Beloit for a year for caricaturing individuals in the college faculty and administration. Building on an early interest in sketching (and the cartooning skills developed in college), Darling went on to a half-century of drawing political cartoons, including what many view as some of the most memorable conservation and environmental cartoons of the twentieth century. His first job (1900) was as a reporter (and sometimes caricaturist) in Sioux City; but six years later, he was hired by the *Des Moines Register and Leader*, where his primary task was to produce a cartoon each day for the editorial page. He retired from that same paper in 1949. Darling did spend two years as an editorial cartoonist for the *New York Globe*, but returned to Iowa at the first real opportunity.

The only other significant period away from his Des Moines newspaper position illustrates his action mode as a conservationist. Darling was active in political life generally, and this involvement often reflected his love of the outdoors and his dismay at what he felt the United States was doing to destroy its natural resource base. He helped organize the Izaak Walton League in Des Moines, was active in the landscaping of local parks, and worked to establish the first cooperative wildlife research unit at Iowa State College in Ames, a unit that served as a model for the establishment of similar units in other states.

Perhaps his greatest impact as an activist resulted from his appointment, by President Franklin Roosevelt, as head of the U.S. Bureau of Biological Survey, predecessor of the U.S. Fish and Wildlife Service. He was only in the position for two years, but was effective in the job, "raiding" (in Roosevelt's words) the U.S. Treasury for scarce Depression-era funds for waterfowl habitat restoration, and initiating the duck stamp program, which over the years has funded the acquisition of several million acres added to the National Wildlife Refuge system. He also used his drawing skills to design the first duck stamp, as well as the flying goose that has become the signpost and symbol of the national wildlife refuge system.

Darling was also one of the founders, and then first president, of the National Wildlife Federation in 1938. He later criticized the organization, and the proliferation of conservation organizations in general, because he first envisioned the Federation as an umbrella for conservation efforts and thought the emergence of too many groups diluted the focus on solving conservation and environmental problems. Until the end of his life, he tried, and failed, to organize a conservation clearing house that would refocus the conservation effort under one heading.

He put into all his efforts lessons of interdependence learned early from biology classes at Beloit College: "Land, water, and vegetation are [interdependent] with one another. Without these primary elements in natural balance, we can have neither fish nor game, wild flowers nor trees, labor nor capital, nor sustaining habitat for humans."

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Gerald L. Young

## Darwin, Charles Robert

1809–1882

English naturalist

Charles Darwin, an English biologist known for his theory of evolution, was born at Shrewsbury, England, on February 12, 1809. He studied, traveled, and published his famous *On the Origin of Species* (1859).

Darwin's father was an affluent physician and his mother the daughter of the potter Josiah Wedgwood. Charles married Emma Wedgwood, his first cousin, in



Charles Darwin. (Photo Researchers Inc.)

1839. Due to his family's wealth, Darwin was made singularly free to pursue his interest in science.

Darwin entered Edinburgh to study medicine, but, as he described in his autobiography, lectures were "intolerably dull," human anatomy "disgusted" him, and he experienced "nausea" on seeing surgery. He subsequently entered Christ's College, Cambridge, to prepare for Holy Orders in the Church of England. While at Cambridge, Darwin became intensely interested in geology and botany, and, because of his knowledge in these sciences, he was asked to join the voyage of His Majesty's Ship (HMS) *Beagle*. Darwin's experiences during the circumnavigational trek of the *Beagle* were of seminal importance in his later views on evolution.

Darwin's *On the Origin of Species* is a monumental catalog of evidence that evolution occurs, together with the description of a mechanism that explains such evolution. This "abstract" of his notions on evolution was hurried to publication because of a letter Darwin received from Alfred Russel Wallace expressing similar views. Darwin's evidence for evolution was drawn from comparative anatomy, embryology, distribution of species, and the fossil record. He believed that species were not immutable but evolved into other species. His theory of evolution by natural selection is based on the premise that species have a great reproductive capacity. The production of individuals in excess of the number that can survive creates a struggle for survival. Variation between individuals within a species was well-documented. The struggle for survival, coupled with variation, led Darwin to postulate that those individuals with favorable variations would have an enhanced survival potential and hence would leave more progeny and this process would lead to new species. This notion is sometimes referred to as "survival of the fittest." While the theory of evolution by natural selection was revolutionary for its day, essentially all biologists in the twenty-first century accept Darwinian evolution as fact.

The first edition of *Origin* had a printing of 1,250 copies. It sold out the first day. Darwin was an extraordinarily productive author for someone who considered himself to be a slow writer. Among his other books are *Structure and Distribution of Coral Reef* (1842), *Geological Observations on Volcanic Islands* (1844), *On the Various Contrivances by which British and Foreign Orchids Are Fertilized by Insects* (1862), *Insectivorous Plants* (1875), and *On the Formation of Vegetable Mould through the Action of Worms* (1881). The last book, of interest to ecologists and gardeners, was published only six months prior to Darwin's death.

Darwin died at age 73 and is buried next to Sir Isaac Newton at Westminster Abbey in London.

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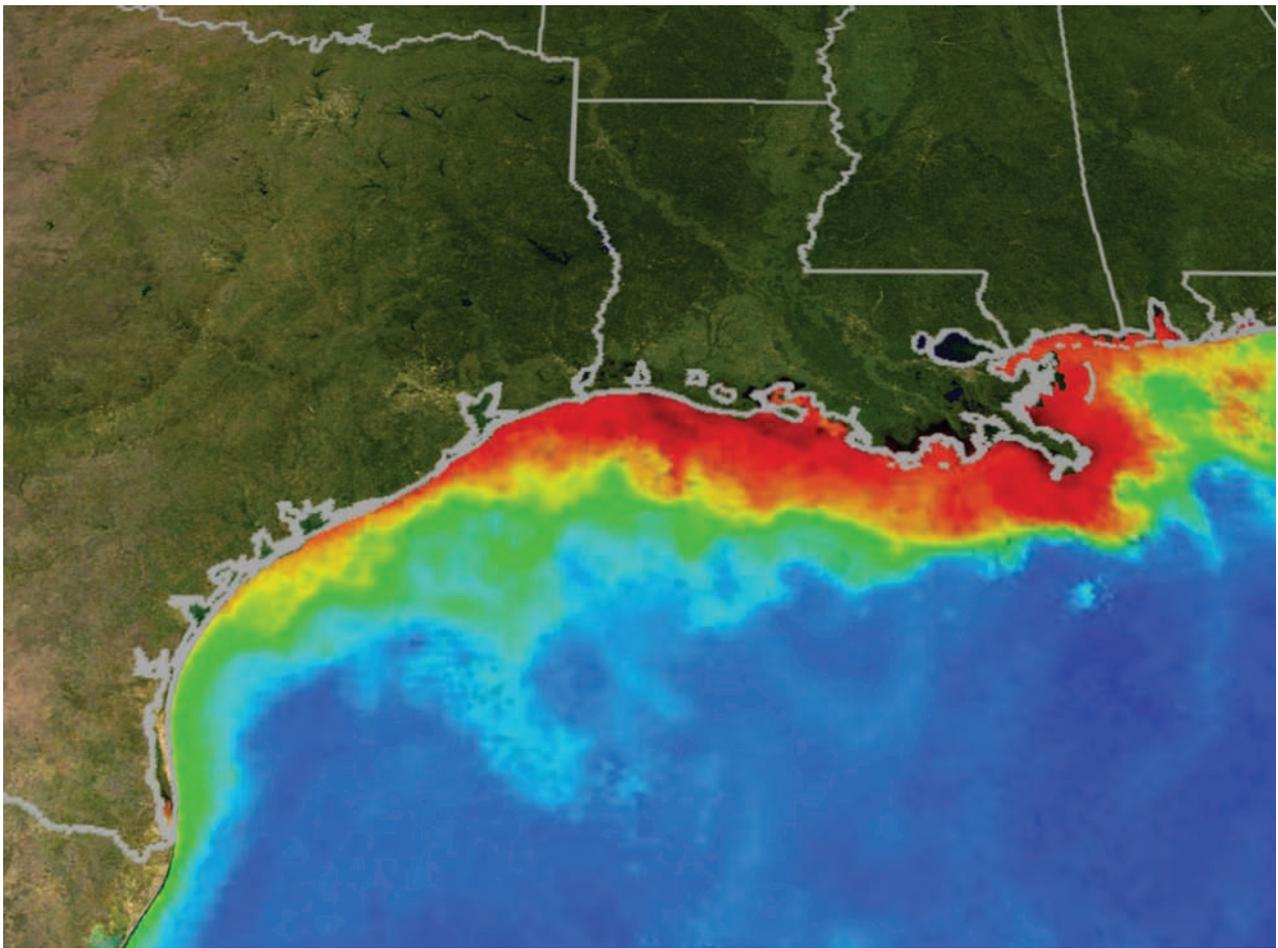
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Robert G. McKinnell

DDT see **Dichlorodiphenyl-trichloroethane**.

## Dead zones

The term *dead zone* refers to those areas in aquatic environments where there is a reduction in the amount of dissolved oxygen in the water. The condition is more appropriately called hypoxia or hypoxic waters or zones. Since scientists began measuring the extent of the marine dead zones in the 1960s, the number of dead zones around the world has doubled every ten years. By 2010 more than 150 permanent or reoccurring marine dead zones exist in locations around the world. Dead zones may vary in size from slightly less than one-half square mile (about 1 square km) to 28,000 square miles (70,000 square km) and may be transient (appearing or disappearing with seasons or over a course of years).



Ocean color changes from winter to summer in the Gulf of Mexico. Reds and oranges represent high concentrations of phytoplankton and river sediment. (NASA)

Hypoxia in marine environments is determined when the dissolved oxygen content is less than 2 to 3 milligrams per liter. Five to 8 milligrams per liter of dissolved oxygen is generally accepted as the normal level for most marine life to survive and reproduce. Dead zones can not only reduce the numbers of marine animals, but they can also change the nature of the ecosystem within the hypoxic zone.

The main cause of oxygen depletion in aquatic environments is eutrophication. This process is a chain of events that begins with runoff rich in nitrogen and phosphorus that makes its way into rivers that eventually discharge into estuaries and river deltas. This nutrient-laden water, combined with sunlight, stimulates plant growth, specifically algae, seaweed, and phytoplankton. When these plants die and fall to the ocean floor, they are consumed by bacteria that use large amounts of oxygen, thereby depleting the environment of oxygen.

Dead zones can lead to significant shifts in species balances throughout an ecosystem. Species of aquatic life that can leave these zones—such as fish and shrimp—do so. Bottom-growing plants, shellfish, and others that cannot leave will die, creating an area devoid of aquatic life. This is the reason the term *dead zone* has been aptly used (especially by the media) to describe these areas.

Eutrophication of estuaries and enclosed coastal seas has often been a natural phenomenon when offshore winds and water currents force deep nutrient-laden waters to rise to the surface, stimulating algae bloom. The timing and duration of these conditions varies from year to year and within seasons. Climatic conditions and catastrophic weather events can influence the rapidity with which hypoxia can occur. In the past, these natural hypoxic areas were limited, and the marine environment could recover quickly.

Nutrient availability, temperature, energy supply (i.e., soluble carbon for most microorganisms or light and carbon dioxide for plants), and oxygen status all affect the growth and sustainability of aquatic plants and animals. One condition that perpetuates hypoxia is elevated temperatures because the ability of water to hold oxygen (i.e., water solubility) decreases with increasing temperature. Situations that promote consumption of oxygen such as plant and animal respiration and decomposition can also lead to hypoxic conditions in water. Therefore, situations that stimulate plant (i.e., phytoplankton, benthic algae, and macroalgae) growth in water can lead indirectly to hypoxic conditions. Algal growth can be accelerated with elevated levels of carbon dioxide and certain

nutrients (especially nitrogen and phosphorus), provided adequate sunlight is available. Low sediment loads also support increased algal growth because the water is less turbid allowing more light to penetrate to the bottom.

In the last two decades of the twentieth century, the increased incidence of hypoxia and the expanded size of dead zones have been the result of increased nutrients coming from human sources. Runoff from residential and agricultural activities are loaded with fertilizers, animal wastes, and sewage, which have specific nutrients that can stimulate plant growth. In the United States, nutrient runoff has become a major concern for the entire interior watersheds of the Mississippi River basin, which drains into the Gulf of Mexico.

Hypoxic waters occur near the mouths of many large rivers around the world and in coastal estuaries and enclosed coastal seas. In fact, over forty hypoxic zones have been identified throughout the world. Robert J. Diaz from the Virginia Institute of Marine Science has studied the global patterns of hypoxia and has concluded that the extent of these zones has increased over the past several decades. Hypoxic zones are occurring in the Baltic Sea, Kattegat, Skagerrak Dutch Wadden Sea, Chesapeake Bay, Long Island Sound, and northern Adriatic Sea, as well as the extensive one that occurs at the mouth of the Mississippi River in the Gulf of Mexico.

It is interesting to note that one of the largest hypoxic zones documented occurred in conjunction with the increase in ocean temperatures associated with El Niño. This weather event occurs periodically off the west coast of North and South America, and influences not only the winter weather in North America, but also affects the anchovy catch off Peru in the Pacific. This, in turn, affects the worldwide price for protein meal and has a direct impact on soybean farmers (because this is another major source of protein for this product).

The large hypoxic zone in the northern Gulf of Mexico occurs where the Mississippi and Atchafalya rivers enter the ocean. The zone was first mapped in 1985, and had doubled in size by 2010. The Gulf of Mexico dead zone fluctuates in size depending on the amount of river flow entering the Gulf, and on the patterns of coastal winds that mix the oxygen-poor bottom waters of the Gulf with Mississippi River water. By 1999, the zone's maximum size exceeded 8,006 square miles (20,720 square km) in area, making it one of the largest in the world. This zone fluctuates seasonally, as do others. It can form as early as

February and last until October. The most widespread and persistent conditions exist from mid-May to mid-September.

Recent research has shown that increased nitrogen concentrations in the river water, which act like fertilizer, stimulate massive phytoplankton blooms in the Gulf. Bacteria decomposing the dead phytoplankton consume nearly all of the available oxygen. This, combined with a seasonal layering of the freshwater from the river and saltwater in the Gulf, results in the zone of low-dissolved oxygen. Within the zone there are very small fish and shellfish populations.

The effect of periodic events on the transport of nutrients to the Gulf, derived primarily from fertilizer in areas of intensive agriculture in the upper Mississippi River basin, have been implicated as the most likely cause. The largest amount of nutrients is delivered each year after the spring thaw when streams fill and concentrations of nutrients, such as nitrogen, are highest. In addition, during extreme high-flow events, such as those that occurred during the floods of 1993, very high amounts of nutrients are transported to the Gulf. Levels were 100 percent higher in that year than in other years.

The nature of the hypoxia problem in the Gulf is complicated by the fact that some nutrient load from the Mississippi River is vital to maintain the productivity of the Gulf fisheries, but in levels considerably lower than are now entering the marine system. Approximately 40 percent of the U.S. fisheries landings comes from this area, including a large amount of the shrimp harvest. In addition, the area also supports a valuable sport fishing industry. The concern is that the hypoxic zone has been increasing in size since the 1960s because of human activities in the Mississippi watershed that have increased nitrogen loads to the Mississippi River. The impact of an expanding Gulf hypoxia include large algal blooms that affect other aquatic organisms, altered ecosystems with changes in plant and fish populations (i.e., lower biodiversity), reduced economic productivity in both commercial and recreational fisheries, and both direct and indirect impact on fisheries such as direct mortality and altered migration patterns that may lead to declines in populations.

Studies were conducted during the 1990s on the sources of increased nutrient concentrations within the Mississippi River. A significant amount of nutrients delivered to the Gulf come from the Upper Mississippi and Ohio River watersheds. The amount of dissolved nitrogen and phosphorus in the waters of the Mississippi has more than doubled since 1960. The principal areas contributing nutrients are streams draining the

corn-belt states, particularly Iowa, Illinois, Indiana, Ohio, and southern Minnesota. About 60 percent of the nitrate transported by the Mississippi River comes from a land area that occupies less than 20 percent of the basin. These watersheds are predominantly agricultural and contain some of the most productive farmland in the world. This area produces approximately 60 percent of the nation's corn. The U.S. Geological Survey has estimated that 56 percent of the nitrogen entering the Gulf hypoxic zone originates from fertilizer. Potential agricultural sources within these regions include runoff from cropland, animal grazing areas, animal waste facilities, and input from agricultural drainage systems. The contributions to nutrient input from sources such as atmospheric deposition, coastal upwelling, and industrial sources within the lower Mississippi watershed are being evaluated also. It is unclear what effect the damming and channelization of the river for navigation has on nutrient delivery. The dead zone area in the Gulf will continue to be monitored to determine whether it continues to expand.

In the meantime, efforts to reduce nutrient loading are being undertaken in agricultural areas across the watershed. Several strategies to reduce nutrient loading have been drafted. They are a reduction in nitrogen-based fertilizers and runoff from feedlots, planting alternative crops that do not require large amounts of fertilizers, removing nitrogen and phosphorus from wastewater, and restoring wetlands so that they can act as reservoirs and filters for nutrients. Depending on whether the zone continues to expand or decreases as nutrient levels diminish will determine whether it remains a significant environmental problem in the Gulf of Mexico. Knowledge gained from the study of changing nutrient loads in the Mississippi River will be useful in addressing similar problems in other parts of the world.

In July 2007, researchers with the United Nations Environment Programme (UNEP) reported increased areas and depth for multiple transient dead zones in the Gulf of Mexico.

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James L Anderson  
Marie H. Bundy

## Debt for nature swap

Debt for nature swaps are designed to relieve developing countries of two devastating problems: spiraling debt burdens and environmental degradation. In a debt for nature swap, developing country debt held by a private bank is sold at a substantial discount on the secondary debt market to an environmental nongovernmental organization (NGO). The NGO cancels the debt if the debtor country agrees to implement a particular environmental protection or conservation project. The arrangement benefits all parties involved in the transaction. The debtor country decreases a debt burden that may cripple its ability to make internal investments and generate economic growth. Debt for nature swaps may also be seen as a good alternative to defaulting on loans, which hurts the country's chances of receiving necessary loans in the future. In addition, the country enjoys the benefits of curbing environmental degradation. The creditor (bank) decreases its holdings of potentially bad debt, which may have to be written off at a loss. The NGO experiences global environmental improvement.

Debt for nature swaps were first suggested by Thomas Lovejoy in 1984. Swaps have taken place between Bolivia, Costa Rica, Ecuador, and NGOs in the United States. The first debt for nature swap was implemented in Bolivia in 1987. Conservation International, an American NGO, purchased \$650,000 of Bolivia's foreign debt from a private bank in the United States at a discounted price of \$100,000. The NGO then swapped the face value of the debt with the Bolivian government for "conservation payments-in-kind," which involved a conservation program in a 3.7 million

acre (1.5 million ha) tropical forest region implemented by the government and a local NGO.

Despite the benefits associated with debt for nature swaps, implementation has been minimal so far. Less than 2 percent of all debt for equity swaps have been debt for nature swaps. A lack of incentives on the part of the debtor or the creditor and the lack of well-developed supporting institutional infrastructure can hinder progress in arranging debt for nature swaps.

If a debtor country is unable to repay foreign debt, it has the option of defaulting on the loans or agreeing to a debt for nature swap. The country has an incentive to agree to a debt for nature swap if defaulting is not a viable option and if the benefits of decreasing debt through a swap outweigh the costs of implementing a particular environmental protection project. The cost of the environmental protection programs can be substantial if the developing country does not have the appropriate institutional infrastructure in place. The program will require the input of professional public administrators and environmental experts. Without institutions to support these individuals, the developing countries may find it impossible to carry out the programs they promise to undertake in exchange for cancellation of the debt. If, in addition, the debtor country is highly capital-constrained, then it might not give high priority to the benefits of an environmental investment.

Whether the creditor has an incentive to sell a debt on the secondary debt market to a NGO depends on the creditor's estimate of the likelihood of receiving payment from the developing country; on the proportion of potentially bad credit the creditor is holding; and on its own financial situation. If the NGOs are willing to pay the price demanded by private banks for developing country debt and swap it for environmental protection projects in the debtor countries, they will have the incentive to pursue debt for nature swaps.

Benefits that may be taken into account by the NGOs are those commonly associated with environmental protection. Many developing countries hold the world's richest tropical rain forests, and the global community will benefit greatly from the preservation of these forests. Tropical forests hold a great deal of carbon dioxide, which is released into the atmosphere and contributes to the greenhouse effect when the forests are destroyed. Another benefit is known as "option value," the value of retaining the option of future use of plant or animal resources that might otherwise become extinct. Although it is not known at present of what use, if any, these species might be, there is a value associated with preserving them for unknown future use. Examples of future uses might be pharmaceutical remedies, scientific

understanding, or ecotourism. In addition, NGOs may attach “existence value” to environmental amenities. Existence value refers to the value placed on just knowing that natural environments exist and are being preserved. Many NGOs assert that preservation is important so that future generations can enjoy the environment. This value is known as “bequest value.” Finally, the NGO may be interested in decreasing hunger and poverty in developing countries, and both the reduction of external debt and the slowing of the depletion of natural resources in developing countries is perceived as a benefit for this purpose.

To make a swap attractive, however, the NGO must be assured that the environmental project will be carried out after the debt has been canceled. Without adequate enforcement and assistance, a country might promise to implement an environmental project without being able or willing to follow through. Again, the solution to this problem lies in the development of institutions that are committed to monitoring and giving assistance in the implementation of the programs. Such institutions might encourage long-term relationships between the debtor and NGO to facilitate a structure by which debt is canceled piecemeal on the condition that the debtor continues to comply with the agreement.

A complicating factor that may affect an NGO’s cost-benefit analysis of debt for nature swaps in the future is that, as the number of swaps and environmental protection projects increases, the value to be derived from any additional projects will decrease, because of diminishing marginal returns.

As described above, the benefits associated with debt for nature swaps both for the debtor countries and NGOs hinge on the presence of supporting institutions in the developing countries. It is particularly important to promote the establishment of appropriate, professionally managed public agencies with adequate resources to hire and maintain environmental experts and managers. These institutions should be responsible for planning and implementing the programs.

It should be noted that, although large debt burdens and environmental degradation are both serious problems faced by many developing countries, there is no direct linkage between them. Nevertheless, debt for nature swaps are an intriguing remedy that seems to address both problems simultaneously. As the quantity and magnitude of swaps so far have been relatively small, it is impossible to say how successful a remedy it may be on a larger scale. The future of debt for nature swaps may depend on the development of incentives and institutions to support the fulfillment of the agreements.

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Barbara J. Kanninen

## Deciduous forest

Deciduous forests are made up of trees that lose their leaves seasonally and are leafless for part of each year. The tropical deciduous forest is green during the rainy season and bare during the annual drought. The temperate deciduous forest is green during the wet, warm summers and leafless during the cold winters with the leaves turning yellow and red before falling. Temperate deciduous forests once covered large portions of Europe, the eastern United States, Japan, and eastern China. Species diversity is highest in Asia and lowest in Europe.

## Decline spiral

A decline spiral is the destruction of a species, ecosystem, or biosphere in a continuing downward trend, leading to ecosystem disruption and impoverishment. The term is sometimes used to describe the loss of biodiversity, when a catastrophic event has led to a sharp decline in the number of organisms in a biological community.

In areas where the habitat is highly fragmented, either because of human intervention or natural disaster, the loss of species is markedly accelerated. Loss of species diversity often initiates a downward spiral, as the weakening of even one plant or animal in an ecosystem, especially a keystone species, can lead to the malfunctioning of the biological community as a whole.

Biodiversity exists at several levels within the same community; it can include ecosystem diversity, species diversity, and genetic diversity. Ecosystem diversity refers to the different types of landscapes that are home to living organisms. Species diversity refers to the different types of species in an ecosystem. Genetic diversity refers to the range of characteristics in the deoxyribonucleic

acid (DNA) of the plants and animals of a species. A catastrophic event that affects any aspect of the diversity in an ecosystem can start a decline spiral.

Any major catastrophe that results in a decline in biospheric quality and diversity, known as an ecocatastrophe, may initiate a decline spiral. Herbicides and pesticides used in agriculture, as well as other forms of pollution; increased use of nuclear power; and exponential population growth are all possible contributing factors to an ecocatastrophe. The Lapp reindeer herds were decimated by fallout from the nuclear accident at Chernobyl, Ukraine, in 1986. Similarly, the oil spill from the *Exxon Valdez* in 1989 led to a decline spiral in the Gulf of Alaska ecosystem. More than twenty years after the spill, in 2010 biologists could still measure and attribute species declines to the spill.

The force that begins a decline spiral can also be indirect, as when acid rain, air pollution, water pollution, or climate change kills off many plants or animals in an ecosystem. Diversity can also be threatened by the introduction of nonnative or exotic species, especially when these species have no natural predators and are more aggressive than the native species. In these circumstances, native species can enter into a decline spiral that will impact other native species in the ecosystem.

Restoration ecology is a relatively new discipline that attempts to recreate or revive lost or severely damaged ecosystems. It is a hands-on approach by scientists and amateurs alike designed to reverse the damaging trends that can lead toward decline spirals. Habitat rebuilding for endangered species is an example of restoration ecology. For example, the Illinois chapter of The Nature Conservancy has reconstructed an oak-and-grassland savanna in Northbrook, Illinois, and a prairie in the 100-acre (40-ha) ring formed by the underground Fermi National Accelerator Laboratory at Batavia, Illinois. Because it is easier to reintroduce the flora than the fauna into an ecosystem, practitioners of restoration ecology concentrate on plants first. When the plant mix is right, insects, birds, and small animals return on their own to the ecosystem.

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Linda Rehkopf

## Decomposers

Decomposers (also called saprophages, meaning "corpse eating") are organisms that perform the critical task of biochemical decomposition in nature. They include bacteria, fungi, and detritivores that break down dead organic matter, releasing nutrients back into the ecosystem. Fungi are the dominant decomposers of plant material, and bacteria primarily break down animal matter. Decomposers secrete enzymes into plant and animal material to break down the organic compounds, starting with compounds such as sugars, which are easily broken down, and ending with more resistant compounds such as cellulose and lignin. Rates of decomposition are faster at higher values of moisture and temperature. Decomposers thus perform a unique and important function in the recycling process in nature.

## Decomposition

Decomposition is the chemical and biochemical breakdown of a complex substance into its constituent compounds and elements, releasing energy, and often with the formation of new, simpler substances. Organic decomposition takes place mostly in or on the soil under aerobic conditions. Dead plant and animal materials are consumed by a myriad of organisms, from mice and moles, to worms and beetles, to fungi and bacteria.

Enzymes produced by these organisms attack the decaying material, releasing water, carbon dioxide, nutrients, humus, and heat.

Decomposition is a major process in nutrient cycling, including the carbon and nitrogen cycles. The liberated carbon dioxide can be absorbed by photosynthetic organisms, including green plants, and made into new tissue in the photosynthesis process, or it can be used as a carbon source by autotrophic organisms.

Decomposition also acts on inorganic substances in a process called weathering. Minerals broken free from rocks by physical disintegration can chemically decompose by reacting with water and other chemicals to release elements, including potassium, calcium, magnesium, and iron. These and other elements can be taken up by plants and microorganisms, or they can remain in the soil system to react with other constituents, forming clays.

## Deep ecology

The term *deep ecology* was coined by the Norwegian environmental philosopher Arne Naess in 1973. Naess drew a distinction between “shallow” and “deep” ecology. The former perspective stresses the desirability of conserving natural resources, reducing levels of air and water pollution, and other policies primarily for promoting the health and welfare of human beings. Deep ecologists maintain that shallow ecology simply accepts, uncritically and without reflection, the homocentric, or human-centered, view that humans are, or ought to be, if not the masters of nature, then at least the managers of nature for human ends or purposes. Defenders of deep ecology, by contrast, claim that shallow environmentalism is defective in placing human interests above those of animals and ecosystems. Human beings, like all lower creatures, exist within complex webs of interaction and interdependency. If people insist on conquering, dominating, or merely managing nature for their own benefit or amusement, if people fail to recognize and appreciate the complex webs that hold and sustain them, they will degrade and eventually destroy the natural environment that sustains all life.

However, deep ecologists say if people are to protect the environment for all species, now and in the future, they must challenge and change long-held basic beliefs and attitudes about different species’ place in nature. For example, people must recognize that animals, plants, and the ecosystems that sustain them

have intrinsic value—that is, are valuable in and of themselves—quite apart from any use or instrumental value they might have for human beings. The genetic diversity found in insects and plants in tropical rain forests is to be protected not (only or merely) because it might one day yield a drug for curing cancer, but also and more importantly because such biodiversity is valuable in its own right. Likewise, rivers and lakes should contain clean water not just because humans need uncontaminated water for swimming and drinking, but also because fish do. Like Indian spiritual leader Mahatma Gandhi, to whom they often refer, deep ecologists teach respect for all forms of life and the conditions that sustain them.

Critics complain that deep ecologists do not sufficiently respect human life and the conditions that promote prosperity and other human interests. Some go so far as to claim that they believe in the moral equivalence of human and all other life-forms. Thus, say the critics, deep ecologists would assign equal value to the life of a disease-bearing mosquito and the child it is about to bite. No human has the right to swat or spray an insect, to kill pests or predators, and so on. But in fact this is a caricature of the stance taken by deep ecology. All creatures, including humans, have the right to protect themselves from harm, even if that means depriving a mosquito of a meal or even eliminating it altogether. Competition within and among species is normal, natural, and inevitable. Bats eat mosquitoes; bigger fish eat smaller fish; humans eat big fish; and so on. But for one species to dominate or destroy all others is neither natural nor sustainable. Yet human beings have, through technology, an ever-increasing power to destroy entire ecosystems and the life that they sustain. Deep ecologists hold that this power has corrupted human beings and has led them to think—quite mistakenly—that human purposes are paramount and that human interests take precedence over those viewed as lower or lesser species. Human beings cannot exist independently from, but only interdependently with, nature’s myriad species. Once people recognize the depth and degree of this interdependence, deep ecologists say, they will learn humility and respect. The human species’ proper place is not on top, but within nature and with nature’s creatures and the conditions that nurture all.

Some cultures and religions have long taught these lessons. Zen Buddhism, Native American religions, and other nature-centered systems of belief have counseled humility toward, and respect for, nature and nonhuman creatures. But the dominant Western reaction is to dismiss these teachings as primitive or mystical. Deep ecologists, by contrast, contend that considerable wisdom is to be found in these native and non-Western perspectives.

Deep ecology is a philosophical perspective within the environmental movement and not a movement in itself. This perspective does, however, inform and influence the actions of some environmentalists. Organizations such as Earth First! and the Sea Shepherd Conservation Society are highly critical of more moderate environmental groups that are prepared to compromise with loggers, developers, dam builders, strip miners, and oil companies.

*See also* Ecosophy; Environmental ethics; Foreman, Dave; Green politics; Greens; Strip mining.

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Terence Ball

## Deep-well injection

Deep-well injection refers to the introduction of liquid far below the surface, at depths of 10,000 feet (3,048 m) and greater. Injection of liquid wastes into subsurface geologic formations is a technology that has been widely adopted as a waste-disposal practice. The practice entails drilling a well to a permeable, saline-bearing geologic formation that is confined above and below with impermeable layers known as confining beds. When the injection zones lie below drinking water sources at depths typically between 2,000 to 5,000 feet (610-1,525 m), they are referred to as Class I disposal wells. The liquid hazardous waste is injected at a pressure that is sufficient to replace the native fluid and yet not so high that the integrity of the well and confining beds is at risk. Injection pressure is a limiting factor because excessive pressure can cause hydraulic fracturing of the injection zone and confining strata, and the intake rate of most injection wells is less than 400 gallons (1,500 liters) per minute.

Deep-well injection of liquid waste is one of the least expensive methods of waste management because little waste treatment occurs prior to injection. Suspended solids must be removed from wastewater prior to injection to prevent them from plugging the pores and reducing permeability of the injection zone. Physical and chemical characteristics of the wastewater

must be considered in evaluating its suitability for disposal by injection.

The principal means of monitoring the wastewater injection process is recording the flow rate, the pressure at the site of injection and the annulus (the space between the innermost layer of protective tubing that surrounds the injection tube and the tube itself), and the physical and chemical characteristics of the waste. Not all experts agree that these monitoring practices are adequate and monitoring is still controversial. The major issues concern the placement of monitoring wells and whether they increase the risk that wastewater will migrate out of the injection zone if they are improperly constructed.

Deep-well injection of wastes began as early as the 1950s, and it was then accepted as a means of alleviating surface water pollution. In 2010, most injection wells in the United States are located along the Gulf Coast and near the Great Lakes, and their biggest users are the petrochemical, pharmaceutical, and steel mill industries.

As with all injection wells, there is a concern that the waste will migrate from the injection zone to the overlying aquifers. If this occurs, then the groundwater could be irretrievably contaminated. As well, alterations in underground strata can trigger an earthquake. In the United States and Canada, approximately thirty earthquakes have been traced to deep-well injection of fluids. Experts assert that ensuring deep-well injection sites are in stable geologic zones is a prudent step.

*See also* Aquifer restoration; Groundwater monitoring; Groundwater pollution; Hazardous waste site remediation; Water quality.

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Milovan S. Beljin

## Defenders of Wildlife

Defenders of Wildlife was founded in 1947 in Washington, D.C., superseding older groups such as Defenders of Furbearers and the Anti-steel-Trap League, the organization was established to protect wild animals and the habitats that support them. As of 2010, their goals include the preservation of biodiversity and the defense of species as diverse as gray wolves (*Canis lupus*), Florida panthers (*Felis concolor coryi*), and grizzly bears (*Ursus arctos*), as well as the western yellow-billed cuckoo (*Coccyzus americanus*), the desert tortoise (*Gopherus agassizii*), and Kemp's Ridley sea turtle (*Lepidochelys kempii*).

Defenders of Wildlife employs a wide variety of methods to accomplish their goals, from research and education to lobbying and litigation. They have achieved a ban on livestock grazing on 10,000 acres (4,050 ha) of tortoise habitat in Nevada and lobbied for restrictions on the international wildlife trade to protect endangered species in other countries. In 1988 they successfully lobbied Congress for funding to expand wildlife refuges throughout the country. Ten million dollars was appropriated for the Lower Rio Grande National Wildlife Refuge in Texas, two million dollars to purchase land for a new preserve along the Sacramento River in California, and one million dollars for additions to the Rachel Carson National Wildlife Refuge in Maine. In 2010, they campaigned against offshore drilling near environmentally sensitive areas after the Deepwater Horizon oil spill in the Gulf of Mexico threatened marine, coastal, and marsh life.

The organization has been at the forefront of placing preservation on an economic foundation. Defenders maintains a speaker's bureau, and they support a number of educational programs for children designed to nourish and expand their interest in wildlife. But the group also participates in more direct action on behalf of the environment. They coordinate grassroots campaigns through their Defenders Activist Network. They work with the Environmental Protection Agency on a hotline called the Poison Patrol, which receives calls on the use of pesticides that damage wildlife, and they belong to the *Entanglement Network Coalition*, which works to prevent the loss of animal life through entanglement in nets and plastic refuse.

Restoring wolves to their natural habitats has been one of the top priorities of Defenders of Wildlife since 1985. That year, they sponsored an exhibit in Yellowstone National Park and at Boise, Idaho, called *Wolves and Humans*, which received over 250,000 visitors and won the Natural Resources Council of

America Award of Achievement for Education. They have helped reintroduce red and gray wolves back into the northern Rockies. In order to assist farmers and the owners of livestock herds that graze in these areas, Defenders has raised funds to compensate them for the loss of land. They are also working to reduce and eventually eliminate the poisoning of predators, both by lobbying for stricter legislation and by encouraging western farmers to participate in their guard dog program for livestock.

In order to conserve land, the Defenders have also launched their own coffee line called Java Forest. The coffee beans are grown under the forest canopy or on farms that recreate a natural habitat. This reduces the large amount of land that is used for hybrid coffee beans. Twenty-five percent of each purchase is being returned to the Defenders to be used in other programs.

Defenders of Wildlife and its related organizations have approximately 1 million members. In addition to wildlife viewing guides for different states, their publications include a bimonthly magazine for members called *Defenders* and *In Defense of Wildlife: Preserving Communities and Corridors*.

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Defenders of Wildlife, 1130 17th Street NW, Washington, DC, USA, 20036, (800) 385-9712, [idefenders@mail.defenders.org](mailto:idefenders@mail.defenders.org), <http://www.defenders.org>.

Douglas Smith

## Defoliation

Several factors can cause a plant to lose its leaves and become defoliated. Defoliation is a natural and regular occurrence in the case of deciduous trees and shrubs that drop their leaves each year with the approach

of winter. This process is aided by an abscission layer that develops at the base of the leaf petiole, weakens the attachment to the plant, and eventually causes the leaf to drop. Severe drought may also cause leaves to wilt, dry, and drop from a plant. The result of severe dehydration is usually lethal for herbaceous plants, although some woody species may survive an episode of drying. Heavy infestation by leaf-eating insects can lead to partial or complete defoliation. The gypsy moth (*Porthetria dispar*) is an important defoliator that attacks many trees, defoliating, and weakening, or killing them. Parasitic wasps that feed on the larvae can help to control gypsy moth outbreaks. Insecticide sprays have also been used to kill the larvae. Spider mites, any of the plant-feeding mites of the family Tetranychidae (subclass Acari), feed on houseplants and the foliage and fruit of orchard trees. Heavy infestation can lead to serious or complete defoliation. Spider mites are controlled with pesticides, although growing resistance to chemical control agents has made this more difficult, and alternative control measures are under investigation.

Along with natural causes of defoliation, chemicals can cause plants to drop their leaves. The best-known and most widely used chemical defoliators are 2,4,5 trichlorophenoxyacetic acid (2,4,5-T) and 2,4 dichlorophenoxyacetic acid (2,4-D). Both chemicals are especially toxic to broadleaf plants. The herbicide 2,4-D is widely used in lawn care products to rid lawns of dandelions, clover, and other broadleaf plants that interfere with robust turf development. At appropriate application rates, it selectively kills broadleaf herbaceous plants and has little effect on narrow-leaf grasses. The uses of 2,4,5-T are similar, although it has been more widely used against woody species.

A mixture of 2,4-D and 2,4,5-T in a product called Agent Orange has been extensively used to control the growth and spread of woody trees and shrubs in sites earmarked for industrial or commercial development. Agent Orange saw extensive use by American forces in Southeast Asia during the Vietnam War, where it was used initially to clear for power lines, roads, railroads, and other lines of communication. Eventually, as the war continued, it was used to spray enemy hiding places, and U.S. military base perimeters to prevent surprise attacks. Food crops, especially rice, were also targets for Agent Orange to deprive enemy forces of food. Although Agent Orange and other formulations containing the chlorinated phenoxy acetic acid derivatives are generally lethal to herbaceous plants, woody deciduous plants may survive one or more treatments, depending on the species treated, concentrations used, spacing of applications, and weather. In Vietnam it was

found that mangrove forests in the Mekong delta were especially sensitive, and often killed by a single treatment. A member of the dioxin family of chemicals, 2,3,7,8-tetrachlorodibenzo-p-dioxin (TCDD) has been found to be an accidental, but common, contaminant of 2,4,5-T and Agent Orange. Dioxins are very resistant to attack by microbes in the environment and are apt to persist in soils for a very long time. Although few disorders have been definitively proven to be caused by dioxins, their effects on laboratory animals have caused some scientists to rank them among the most poisonous substances known. The U.S. government banned some 2,4,5-T containing products in 1979 because of uncertainties regarding its safety, but its use continues in other products.

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Douglas C. Pratt

## Deforestation

Deforestation is the complete removal of a forest ecosystem and conversion of the land to another type of landscape. It differs from clear-cutting, which entails complete removal of all standing trees but leaves the soil in a condition to regrow a new forest if seeds are available.

Humans destroy forests for many reasons. American Indians burned forests to convert them to grasslands that supported big game animals. Early settlers cut and burned forest to convert them to croplands. Between 1600 and 1909, European settlement decreased forest cover in the United States by 30 percent. Since that time, total forest acreage in the United States has actually increased. In Germany about two-thirds of the forest was lost through settlement.

The Food and Agriculture Organization (FAO) defines forest as land with more than 10 percent tree



**Deforestation of temperate rain forest.** (Photoshot Holdings Ltd./ Alamy)

cover, natural understory vegetation, nature animals, natural soils, and no agriculture. Analysis of deforestation is difficult because data are unreliable and the definitions for *forest* and *deforestation* keep changing; for example, clear-cuttings that reforest within five years have been considered deforested in some studies but not in others.

The world's forest area totals just over 10 billion acres (4 billion ha) or 31 percent of the total land area. Worldwide, the net loss of forests amounted to 13 million acres (5.2 million ha) per year from 2000 to 2010, totaling an amount of land roughly equivalent in size to the Central American country of Costa Rica. Most deforestation occurred in South America with a predominant amount of the continent's deforestation occurring in the Amazon basin. Forest management, conservation, and preservation efforts have helped global deforestation decline from a peak in the 1990s of 20.75 million acres (8.3 million ha) annually.

The major direct causes of tropical deforestation are the expansion of shifting agriculture, livestock production, and fuelwood harvest in drier regions. Forest conversion to permanent cropland, infrastructure, urban areas, and commercial fisheries also occurs. Although

not necessarily resulting in deforestation, timber harvest, grazing, and fires can severely degrade the forest. The environmental costs of deforestation can include species extinction, erosion, flooding, reduced land productivity, desertification, and climate change and increased atmospheric carbon dioxide. As more habitat is destroyed, more species are facing extinctions. Deforestation of watersheds causes erosion, flooding, and siltation. Upstream land loses fertile topsoil and downstream crops are flooded, hydroelectric reservoirs are filled with silt, and fisheries are destroyed. In drier areas, deforestation contributes to desertification.

Deforestation can alter local and regional climates because evaporation of water from leaves makes up as much as two-thirds of the rain that falls in some forest. Without trees to hold back surface runoff and block wind, available moisture is quickly drained away and winds dry the soil, sometimes resulting in desert-like conditions. Another potential effect on climate is the large-scale release into the atmosphere of carbon dioxide stored as organic carbon in forests and forest soils. Tropical deforestation releases 1.65 billion tons (1.5 billion metric tons) of carbon each year into the atmosphere.

As a result of misguided deforestation in the moist and dry tropics, the rural poor are deprived of construction materials, fuel, food, and cash crops harvested from the forest. Species extinctions, siltation, and flooding expand these problems to national and international levels. Despite these human and environmental costs, wasteful deforestation continues. Actions in the early twenty-first century to halt and reverse deforestation focus on creating economic and social incentives to reduce wasteful land conversion by providing for more sustainable ways to satisfy human needs. Other efforts are the reforestation of deforested areas and the establishment and maintenance of biodiversity preserves.

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Edward Sucoff

## Delaney Clause

The Delaney Clause is a part of the Federal Food, Drug, and Cosmetic Act of 1958, Section 409, and it prohibits the addition to food of any substance that will cause cancer in animals or humans. The clause states "no additive will be deemed to be safe if it is found to induce cancer when ingested by man or animal, or if it is found, after tests which are appropriate for the evaluation of the safety of food additives, to induce cancer in man or animals." The clause addresses the safety of food intended for human consumption and few, if any, reasonable individuals would argue with its intent.

There is, however, an emerging scientific controversy over its application, and many now question the

merits of the clause as it is written. For example, safrole occurs naturally as a constituent in sassafras tea and spices and thus permissibly under the Delaney Clause, but it is illegal and banned as an additive to natural root beer because it has been proven a carcinogen in animal tests. Coffee is regularly consumed by many individuals, yet more than 70 percent of the tested chemicals that occur naturally in coffee have been shown to be carcinogenic in one or more tests. Naturally occurring carcinogens are found in other foods including lettuce, apples, pears, orange juice, and peanut butter. It is important to note here that the National Cancer Institute recommends the consumption of fruits and vegetables as part of a regimen to reduce cancer risk. This is because it is widely believed that the positive effects of fruits and vegetables far outweigh the potential hazard of trace quantities of naturally occurring carcinogens.

It has been estimated that about 10,000 natural pesticides of plants are consumed in the human diet. These natural pesticides protect the plants from disease and predation by other organisms. Only a few of these natural plant pesticides (less than sixty) have been adequately tested for carcinogenic potential and, of these, about one-half of them tested positive. It has been argued that such naturally occurring chemicals are less hazardous and thus differ in their cancer-causing potential from synthetic chemicals. But this does not appear to be the case; although the mechanisms for chemical carcinogenesis are poorly understood, there seems to be no fundamental difference in how natural and synthetic carcinogens are metabolized in the body.

The Delaney Clause addresses only the issue of additives to the food supply. It is noteworthy that salt, sugar, corn syrup, citric acid, and baking soda comprise 98 percent of the additives listed, while chemical additives, which many fear, constitute only a small fraction. It should also be noted that there are other significant safety issues pertaining to the food supply, including pathogens that cause botulism, hepatitis, and salmonella food poisoning. Of similar concern to health are traces of environmental pollutants, such as mercury in fish, and cooking-induced production of carcinogens, such as benzopyrene in beef cooked over an open flame. Excess fat in the diet is also thought to be a significant health hazard.

Scientists who are rethinking the significance of the "zero risk" requirement of the Delaney Clause do not believe society should be unconcerned about chemicals added to food. They simply believe the clause is no longer consistent with more modern scientific knowledge, and they argue that chemicals added in trace quantities,

for worthwhile reasons, should be considered from a different perspective.

The United States Environmental Protection Agency attempted to ease restrictions on several pesticides which posed almost no discernable risk to humans. However, the revised regulation was overturned by a federal Court of Appeals in 1992. In 1996, an amendment to the Food Quality Protection Act removed pesticide use from Delaney Clause regulation.

*See also* Agricultural chemicals; Agricultural pollution; Drinking-water supply; Food and Drug Administration.

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Robert G. McKinnell

## Demographic transition

Developed by demographer Frank Notestein in 1945, this concept describes the typical pattern of falling death- and birthrates in response to better living conditions associated with economic development. This idea is important, for it offers the hope that developing countries will follow the same pathway to population stability as have industrialized countries. In response to the Industrial Revolution, for example, Europe experienced a population explosion during the nineteenth century. Emigration helped alleviate overpopulation, but European couples clearly decided on their own to limit family size.

Notestein identified three phases of demographic transition: preindustrial, developing, and modern industrialized societies. Many authors add a fourth phase, postindustrial. In phase one, birthrates and death rates are both high with stable populations. As development provides a better food supply and sanitation, death rates begin to plummet, marking the

onset of phase two. However, birthrates remain high, as families follow the pattern of preceding generations. The gap between high birthrates and falling death rates produces a population explosion, sometimes doubling in less than twenty-five years.

After one or two generations of large, surviving families, birthrates begin to taper off; and as the population ages, death rates rise. Finally a new balance is established, phase three, with low birthrates and death rates. The population is now much larger yet stable. The experience of some European countries, especially in Central Europe and Russia, suggests a fourth phase where populations actually decline. This may be a response to past hardships and oppressive political systems there, however.

Historically, birthrates have always been high. With few exceptions, population explosions are linked to declining death rates, not rising birthrates. Infants and young children are especially vulnerable; sanitation and proper food are vital. Infant survival is seen by some as a threat because of the built-in momentum for population growth. However, history reveals that there has been no decline in birthrates, which has not been preceded by a drop in infant mortality. In a burgeoning world this makes infant survival a matter of top priority. To this end, in 1986 the United Nations adopted a program with the acronym GOBI: Growth monitoring, Oral rehydration therapy (to combat killer diarrhea), Breast feeding, and Immunization against major communicable diseases.

*See also* Child survival revolution.

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Nathan H. Meleen

*Dendroica kirtlandii* *see* **Kirtland's warbler**.

## Denitrification

A stage in the nitrogen cycle in which nitrates in the soil or in dead organic matter are converted into nitrite, nitrous oxide, ammonia, or (primarily) elemental nitrogen. The process is made possible by certain types of bacteria, known as denitrifying bacteria. Denitrification is a reduction reaction and occurs, therefore, in the absence of oxygen. For example, flooded soil is likely to experience significant denitrification because of low atmospheric oxygen exposure. Although denitrification is an important process for the decay of dead organisms, it can also be responsible for the loss of natural and synthetic fertilizers from the soil.

## Deoxyribose nucleic acid

Deoxyribose nucleic acid (DNA) molecules contain genetic information that is the blueprint for life. DNA is made up of long chains of subunits called nucleotides, which are nitrogenous bases attached to ribose sugar molecules. Two of these chains intertwine in the famous double helix structure discovered in 1953 by James Watson (1928– ) and Francis Crick (1916–2004).

The genetic information contained in DNA molecules is in a code spelled out by the linear sequence of nucleotides in each chain. Each group of three nucleotides makes up a codon, a unit resembling a letter in the alphabet. A string of codons effectively spells a word of the genetic message.

This message is expressed when enzymes (cellular proteins) synthesize new proteins using a copy of a short segment of DNA as a template. Each nucleotide codon specifies which amino acid subunit is inserted as the protein is formed, thus determining the structure and function of the proteins. Because the chains are very long, a single DNA strand can contain enough information to direct the synthesis of hundreds of different proteins. Since these proteins make up the cell structure and the machinery (enzymes) by which cells carry out the processes of life, such as synthesizing more molecules including more copies of the DNA itself, DNA can be said to be self-replicating. When cells divide, each of the new cells receives a duplicate set of DNA molecules giving them the necessary information to live and reproduce.

*See also* Ribonucleic acid.

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Department of Agriculture see **U.S. Department of Agriculture**.

Department of Energy see **U.S. Department of Energy**.

Department of Health and Human Services see **U.S. Department of Health and Human Services**.

Department of the Interior see **U.S. Department of the Interior**.

## Desalination

Desalination, also known as desalination, is the process of separating seawater or brackish water from their dissolved salts. The average salt content of the ocean water is about 3.4 percent (normally expressed as 34 parts per thousand). The range of salt content varies from 18 parts per thousand in the North Sea and near the mouths of large rivers to a high of 44 parts per thousand in locked bodies of water such as the Red Sea, where evaporation is very high. The desalination process is accomplished commercially by either distillation or reverse osmosis (RO).

Distillation of seawater is accomplished by boiling water and condensing the vapor. The components of the distillation system consist of a boiler and a condenser with a source of cooling water. Reverse osmosis is accomplished by forcing filtered seawater or brackish water through a reverse osmosis membrane. In a reverse osmosis process, approximately 45 percent of the pressurized seawater goes through membranes and becomes freshwater. The remaining brine (concentrated salt-water) is returned to the sea.

In 1980, the United Nations declared the period between 1981 and 1990 as the “International Drinking Water Supply and Sanitation Decade.” The objective was to provide safe drinking water and sanitation to developing nations. Despite some progress in India, Indonesia, and a few other countries, the percentage of the world population with access to safe drinking water has not changed much since that declaration. In the period between 1990 and 2000, the amount of people with access has only increased by 5 percent.

The World Health Organization (WHO) estimates that only two in five people in the less-developed countries (LDCs) have access to safe drinking water. The WHO also estimates that at least 25 million people of the LDCs die each year because of polluted water and from water-borne diseases such as cholera, polio, dysentery, and typhoid. Whether by distillation or by reverse osmosis, desalination of water can transform water that is unusable because of its salinity into valuable freshwater. This could be an important water source in many drought-prone areas.

### Desalination plants, distribution, and functions

As of 2010, there are approximately 7,500 desalination plants worldwide. Collectively they produce less than 0.1 percent of the world’s freshwater supply. This supply is equal to about 3.5 billion gallons (13 million l) per day. The cost and the feasibility of producing desalinated water depends upon the cost of energy, labor, and relative costs of desalinated water to that of imported freshwater. It is estimated that in the United States, commercial desalinated water produced from seawater by reverse osmosis costs about \$3 per 1,000 gallons (3,785 l). As of 2010, this price is four to five times the average price paid by urban consumers for drinking water and over 100 times the price paid by farmers for irrigation water. As of 2010, the energy requirement is approximately 3 kilowatt hours of electricity per 1 gallon (3.8 l) of freshwater extracted from seawater. Currently, using desalinated water for agriculture is cost-prohibitive.

About two-thirds of the desalination water is produced in Saudi Arabia, Kuwait, and North Africa. Several small-scale reverse osmosis plants are now operating in the United States, including California (Santa Barbara, Catalina Island, and soon in Ventura and other coastal communities). Generally, desalination plants are used to supplement the existing freshwater supply in areas adjacent to oceans and seas such as southern California, the Persian Gulf region, and other dry coastal areas. Among the advantages of desalinated water are a dependable water supply regardless of rainfall patterns, elimination of water rights disputes, and the preservation

of the freshwater supply, all of which are essential for existing natural ecosystems.

### Reverse osmosis

Reverse osmosis involves forcing water under pressure through a filtration membrane that has pores small enough to allow water molecules to pass through but that exclude slightly larger dissolved salt molecules. The basic parts of a reverse osmosis system include onshore and offshore components. The onshore components consist of a water pump, an electrical power source, pretreatment filtration (to remove seaweed and debris), reverse osmosis units connected in series, solid waste disposal equipment, and freshwater pumps. The offshore components consist of an underwater intake pipeline, approximately 1,093 yards (995 m) from shore, and a second pipeline for brine discharge.

Small reverse osmosis units for home use with a few gallons-per-day capacity are available. These units use a disposable reverse osmosis membrane. Their main drawback is that they waste four to five times the volume of water they purify.

Producing potable water from seawater is an energy-intensive, costly process. The high cost of producing desalinated water limits its use to domestic consumption. In areas such as the Persian Gulf and Saudi Arabia, where energy is plentiful at a low cost, desalinated water is a viable option for drinking water and very limited greenhouse agriculture. The notion of using desalinated water for wider agricultural purposes is neither practical nor economical at today’s energy prices and with the available technology.

*See also* Salinization of soils.

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Muthena Naseri

## Desert

Deserts are areas that receive less than 10 inches (25 cm) of rain per year. These arid lands cover 25 percent of the earth’s land surface. Deserts usually

have seasonal high temperatures, low sporadic rainfall, and a high evaporation rate. They are often found in areas of high pressure—such as subtropical highs or leeward sides of mountains—associated with descending divergent air masses that are common between 30° north and 30° south latitude. Northern and southern Africa, the Arabian Peninsula, southern Asia, Australia, southern South America, and the North American Southwest lie in these subtropical zones.

There are three kinds of deserts—hot (such as the Sahara), temperate (such as the Mojave), and cold (such as the Gobi). The area of global desert is increasing yearly, as marginal lands become degraded by human misuse and climate change resulting in desertification.

## Desert tortoise

The desert tortoise (*Gopherus agassizii*) is a large, herbivorous, terrestrial turtle of the family Testudinidae. It is found in both the southwestern United States and in northwestern Mexico. It is the official reptile in the states

of California and Nevada. No other turtle in North America shares the extreme conditions of the habitats occupied by the desert tortoise. It inhabits desert oases, washes, rocky hillsides, and canyon bottoms with sandy or gravelly soil under hot, arid conditions.

Desert tortoises dig into dry, gravelly soil under bushes in arroyo banks or at the base of cliffs to construct a burrow, which is their home. Climatic conditions dictate daily activity patterns of these tortoises, and they can relieve the problems of high body temperature and evaporative water loss by retreating into their burrows. Since many desert tortoises live in areas devoid of water, except for infrequent rains, they must rely on their food for their water.

The active period for the desert tortoise is from March through September, after which they enter a hibernation period. Nesting and egg-laying activities extend from May through July. Desert tortoises lay an average of five moisture-proof eggs, an adaptation that helps retain water in its harsh environment. These tortoises reach sexual maturity between fifteen and twenty years, and they have a life span of up to eighty years.



The desert tortoise (*Gopherus agassizii*) is very sensitive to human disturbances. (Janusz Wrobel/Alamy)

The desert tortoise is very sensitive to human disturbances, and this has led to the decimation of many of its populations throughout the desert Southwest. The Beaver Dam Slope population of southwestern Utah has been studied over several decades and shows some of the general tendencies of the overall population. In the 1930s and 1940s the desert tortoise population in this area exhibited densities of about 160 adults per square mile (2.6 square km). By the 1970s this density had fallen to less than 130 adults per square mile, and more recent studies indicate the level is now about sixty adults per square mile. In southeastern California at least one population reaches densities of 200 adults per square mile, but overall tendencies show that populations are drastically declining. More recent estimates indicate that there are about 100,000 individual desert tortoises existing in the Mojave and Sonoran deserts.

Desert tortoise populations are listed as threatened in Arizona, California, Nevada, and Utah. Numerous factors are contributing to its decline and vulnerability. Habitat loss through human encroachment and development, overcollecting for the pet trade, and vandalism—including shooting tortoises for target practice and flipping them over onto their backs, causing them to die from exposure—have decimated populations. Other factors contributing to their decline are grazing livestock, which trample them or their burrows, and mining operations, which also causes respiratory infections among the desert tortoises. Numerous desert tortoises have been killed or maimed by off-road vehicles, which also collapse the tortoises' burrows. Concern is mounting as conservation efforts seem to be having little effect throughout much of the desert tortoise's range.

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Eugene C. Beckham

## Desertification

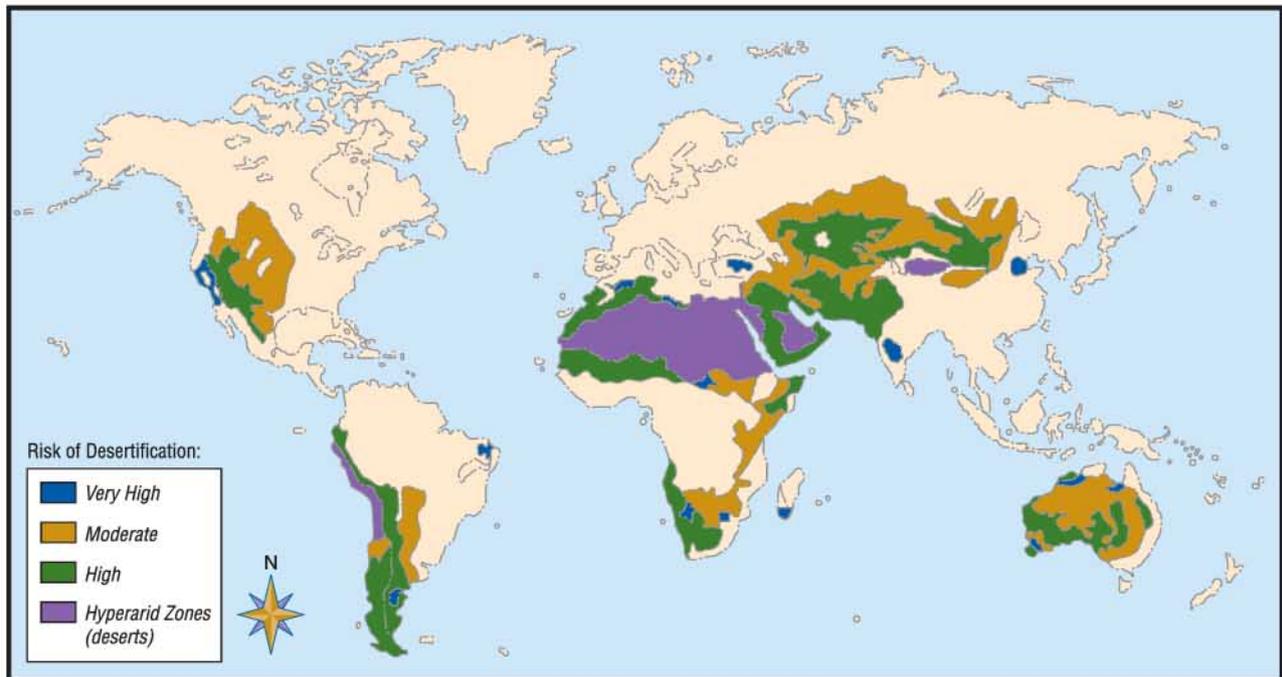
More than two-thirds of the world's land surface is affected by desertification, with arid areas covering about 40 percent of the earth's surface. About 2 billion people live in arid or semiarid desert lands in 100 countries on five continents. In these drier parts of the world, deserts are increasing rapidly from a combination of natural processes and human

activities, a process known as desertification or land degradation. An annual rainfall of less than 10 inches (25 cm) will produce a desert anywhere in the world. In the semiarid areas along the desert margins, where the annual rainfall is around 16 inches (40 cm), the ecosystem is inherently fragile with seasonal rains supporting the temporary growth of plants. Recent changes in the climate of these regions have meant that the rains are now unreliable and the lands that were once semiarid are now becoming desert. The process of desertification is precipitated by prolonged droughts, causing the top layers of the soil to dry out and blow away. The eroded soils become unstable and compacted and do not readily allow for seeding. This means that desertified areas do not regenerate by themselves, but remain bare and continue to erode. Desertification of grazing lands or croplands is accompanied, therefore, by a sharp drop in the productivity of the land.

Natural desertification is greatly accelerated by human activities that leave soils vulnerable to erosion by wind and water. The drier grasslands with too little rain to support cultivated crops have traditionally been used for grazing livestock. When semiarid land is overgrazed (by keeping too many animals on too little land), plants that could survive moderate grazing are uprooted and destroyed altogether. Since plant roots no longer bind the soil together, the exposed soil dries out and is blown away as dust. The destruction and removal of the topsoil means that soil productivity drops drastically. The obvious solution to desertification caused by overgrazing is to limit grazing to what the land can sustain, a concept that is easy to espouse but difficult to practice.

In the Sahel zone along the southern edge of the Sahara desert, settled agriculture and overgrazing livestock on the fragile scrublands have led to widespread soil erosion. Nomadic pastoralists, who have traditionally followed their herds and flocks in search of new pastures, are now prevented by national borders from reaching their chosen grazing grounds. Instead of migrating, the nomads have been encouraged to settle permanently and this has led to their herds overgrazing available pastures.

Other human factors leading to desertification include over-cultivation, deforestation, salting of the soil through irrigation, and the plowing of marginal land. These destructive practices are intensified in developing countries by rapid population growth, high population density, poverty, and poor land management. The consequences of desertification in some



Map of the world's deserts and areas at risk of desertification. (Reproduced by permission of Gale, a part of Cengage Learning)

countries mean intensified drought and famine and lowered standards of living. It is estimated that desertification worldwide has claimed an area the size of Brazil (2 billion acres [810 million ha]) in the past fifty years. Each year new deserts consume an area the size of Belgium (15 million acres [6 million ha]), most of which is in the African Sahel.

In marginal areas throughout the world, traditional farming practices can lead to desertification. Plowing turns the top layer of the soil upside down, burying and killing weeds but exposing bare soil to erosion. In arid areas the exposed soil dries out rapidly and is easily lost through wind erosion.

The processes of erosion and soil formation vary with climate and with the composition of the parent material. In balanced ecosystems, soil lost to erosion is replaced by new soil created by natural processes. On average, new soil is formed at a rate of about 5 tons (4.5 metric tons) an acre (0.4 ha) per year, which is equivalent to a layer of soil about 0.2 inches (0.5 cm) thick. This means that soils can sustain an erosion rate of up to 5 tons per acre per year and still remain in balance. However, much of the world's crop and forested land is not within this balance (with erosion running at two to ten times the tolerable rate). In the United States about 6 tons (5.4 metric tons) of topsoil are lost for every ton of grains produced.

Forests are cut down for many different reasons. Some are cleared for agriculture, some for construction, some for paper products, and some to meet cooking and heating needs. Unfortunately, deforestation results in more than just the loss of trees, for soil is eroded, nutrients are lost to the ecosystem, and the water cycle is disrupted. The roots of the trees serve to bind the soil together and to hold water in the ecosystem, while the leaves of the trees break the force of the rain and allow it to soak into the topsoil. The result is that surface runoff from a forested hillside is one-half as much as from a grass-covered slope. Additionally, water soaking into the ground (rather than running off) leads to the natural recharge of groundwater and other water resources. Water and soil runoff from deforested hillsides cause flooding and siltation of agricultural and aquatic ecosystems in adjacent lowlands. Forests are also more efficient at reabsorbing and recycling the nutrients released from decaying detritus than are grasslands. Clearing forests therefore exposes the soil to both erosion and nutrient loss and alters the recharge of water reserves in the ecosystem.

Industrialized countries experienced a period of intense deforestation during the Industrial Revolution and even the early twenty-first century much of the land has low productivity. Fortunately, most of these countries are now reforesting faster than they are

deforesting. This is possible because their population growth is low, their agricultural production per acre is high, and their need for fuelwood for cooking and heating is optional, since fossil fuels and electricity are widely available. All of these factors release the pressure to further deforest the land.

In developing countries, by contrast, high population growth rates and widespread poverty put pressure on the forests. Trees are needed for firewood, charcoal, and export, and the land is needed for farmland. In some developing countries deforestation exceeds replanting by five times. In Ethiopia, population and economic pressures pushed people to deforest and cultivate hillsides and marginally dry lands, and more than 1 billion tons (800 million metric tons) of topsoil per year are now lost, resulting in recurrent famines. In parts of India and Africa there is so little wood available that dried animal dung is used to fuel cooking fires, an act that further robs the soil of potential nutrients. In Brazil, soil erosion and desertification have resulted from the conversion of forests to cattle ranches. In China, about one-third of its agricultural land has been lost to erosion, and the story is similar for many other countries.

The answer to erosion from deforestation is reforestation, better forest conservation, and better forest management to increase productivity. Planting trees on hillsides is particularly effective. Reforesting desertified areas first requires mulching the soil to hold moisture and the protection of the seedlings for several years until natural processes can regenerate the soil. Using these methods, Israel has achieved spectacular success in bringing desertlands (a product of past desertification) back to agriculture.

Desertification and its agents, deforestation and erosion, have been powerful shapers of human history. Agriculture had its roots in the once fertile crescent of the Middle East and in the Mediterranean lands. However, deforestation, overgrazing, and poor agricultural practices have turned once-productive pastureland and farmland into the near deserts of 2010. Some people assert that deforestation and desertification may have even contributed to the collapse of the Greek and Roman empires. Similar fates may have befallen the Harappan civilization in India's Indus Valley, the Mayan civilization in Central America, and the Anasazi civilization of Chaco Canyon, New Mexico, in what is today desertland.

Current changes in climate can also affect desertification, but the issue is complex. Arid and semiarid regions have decreased precipitation, which results in the degradation of land, thus reducing vegetation and

promoting erosion. Changes in vegetation cover and land properties impact the climate by increasing evaporation and diminishing water storage. In some regions climate change is likely to alter the frequency and severity of drought. However, it does not necessarily follow that drought and desiccation are solely responsible for the desertification occurring in dryland regions. Human contributions to desertification regarding land degradation are significant factors as well. Nevertheless, in policy terms, climate change and desertification are often perceived differently. The impact of climate change is viewed more on a global-scale, while desertification can be seen as widely dispersed effects of local environmental degradation. Thus, addressing the connections between the two processes despite the complexity can aid management of arid regions.

In 1977, the United Nations Convention on Desertification (UNCOD) established the Plan of Action to Combat Desertification (PACD). By 1991, the United Nations Environment Program (UNEP) determined that the rate of land degradation and desertification was escalating. The United Nations Convention to Combat Desertification (UNCCD) was established in 1994 to address the growing concern of land degradation leading to desertification and promoting sustainable development and management practices for desertification prevention. More than 179 countries were involved in this convention as of 2002. The convention has resulted in the development of drought early-warning systems and preventing the risks of drought. Local and national policies have been formed to address the effects of drought and the risks of desertification. Development of farm management practices for improving and maintaining soil fertility and retention of water has been accomplished to ensure crop productivity.

In the developing world, particularly in Africa, where poverty and political unrest are common, progress toward mitigation of desertification and its devastating social costs has been slow. Many of the world's poorest people, who live in countries with the weakest and most corrupt governments, rely on unsustainable agriculture and nomadic grazing to subsist. The World Bank estimates that an estimated 485 million Africans—equivalent to 65 percent of the African population—are affected by land degradation and desertification. Nearly 11 percent of the entire continent is affected by the problem. In Ghana and Mali, the World Bank estimates that the gross income loss due to desertification is equivalent to more than 5 percent of the gross domestic product (GDP). In Ethiopia and Malawi, the loss is about 10 percent of GDP.

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Neil Cumberlidge

## Detergents

Detergents are a group of organic compounds that serve as cleansing agents based on their surface-active properties. A detergent molecule is termed surface-active because a portion of the molecule is hydrophilic and a portion is hydrophobic. It will therefore collect at the interface of water and another medium such as a gas bubble. Bubbles are stabilized by the surface-active molecules so that when the bubbles rise to the top of the bulk liquid, they maintain their integrity and form a foam. Surface-active agents can agglomerate by virtue of their hydrophilic or hydrophobic nature to form *micelles* that can dissolve, trap, or envelop soil particles, oil, and grease. Surface-active agents are sometimes called *surfactants*. Synthetic detergents are sometimes referred to as *syndets*.

The components of detergents can have an adverse effect on the environment. Many of the ingredients of detergents present low toxicity, but are still harmful with regard to ecosystem health, especially in aquatic ecosystems. Surfactants can biodegrade, but are toxic to aquatic organisms and persistent in the environment. Builders are inorganic phosphates that can accumulate in bodies of water due to runoff or from wastewater. Increased concentration of phosphates in water bodies can induce eutrophication, which occurs when nutrient-rich waters lead to low levels of dissolved oxygen, impairing the health of aquatic organisms. The excess available nutrients lead to enhanced primary productivity, thus causing algal blooms which block sunlight and affect oxygen levels. Builders in household detergents account for as much as 50 percent of the phosphorous (P) in municipal wastewater. Other components are bleaches, solvents, optical brighteners, and colorants.

The United States Environmental Protection Agency (EPA) established a Safer Detergents Stewardship Initiative (SDSI) to acknowledge companies that voluntarily develop detergents containing ingredients that have low environmental impact. Safer surfactants that biodegrade into non polluting substances are promoted to reduce the impact on aquatic ecosystems. Detergents with lower levels of phosphates or use of alternatives to phosphate builders would also help reduce the environmental impact of detergents.

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## Detoxification

When many toxic substances are introduced into the environment, they do not remain in their original form, but are transformed to other products by a variety

of biological and nonbiological processes. The chemicals and their transformation products are degraded, converted progressively to smaller molecules, and eventually utilized in various natural cycles, such as the carbon cycle. Toxic metals are not degraded but are interconverted between available and nonavailable forms. Some organic compounds, such as polychlorinated biphenyl (PCBs), are degraded over a period of many years to less toxic compounds, while compounds such as the organophosphate insecticides may break down in only a few hours.

The chemical transformations that occur may either increase (referred to as intoxication, or activation if the parent compound was nontoxic) or decrease (referred to as detoxification) the toxicity of the original compound. For example, elemental mercury, which has low toxicity, can be converted to methylmercury, a very hazardous chemical, through methylation. Parathion is a fairly nontoxic insecticide until it is converted in a living system or by photochemical reactions to paraoxon, an extremely toxic chemical. However, parathion can also be degraded to less toxic products by the process of hydrolysis.

In microbial degradation, the ultimate fate of the toxic chemicals may be mineralization (that is, the conversion of an organic compound to inorganic products), which results characteristically in detoxification; however, intermediates in the degradation sequence, which may be toxic or have unknown toxicity, may persist for a period of time, or even indefinitely. Likewise, since degradation pathways may contain many steps, detoxification may occur early in the degradation pathway, before mineralization has occurred. Detoxification may also be accomplished biologically through cometabolism, the metabolism by microorganisms of a compound that cannot be used as a nutrient; cometabolism does not result in mineralization, and organic transformation products remain. Studies have also shown that the structure and toxicity of many organic compounds can be altered by plants.

In modifying a toxic chemical, detoxifying processes destroy its actual or potential harmful influence on one or more susceptible animal, plant, or microbial species. Detoxification may be measured with the use of bioassays. A bioassay involves the determination of the relative toxicity of a substance by comparing its effect on a test organism with the conditions of a control. The scale or degree of response may include the rate of growth or decrease of a population, colony, or individual; a behavioral, physiological, or reproductive response; or a response measuring mortality.

Bioassays can be used for environmental samples through time to determine detoxification of chemicals.

Both acute and chronic bioassays are used to assess detoxification. In an acute bioassay, a severe and rapid response to the toxic chemical is observed within a short period of time (for example, within four days for fish and other aquatic organisms and within twenty-four hours to two weeks for mammalian species). Detoxification of a chemical may be detected if there is a decrease in the observed toxicity of a test solution over the time of the acute test, indicating removal of the toxic chemical by degradation or other processes. Similarly, an increase in toxicity could indicate the formation of a more toxic transformation product.

Chronic bioassays are more likely to provide information on the rates of degradation, transformation, and detoxification of toxic compounds. Partial or complete life cycle bioassays may be used, with measurements of growth, reproduction, maturation, spawning, hatching, survival, behavior, and bioaccumulation.

Detoxification of chemicals should also be measured by toxicity testing that involves changes in different organisms and interactions among organisms, especially if the chemicals are persistent and stable and may accumulate and magnify in the food chain. Model ecosystems can be used to simulate processes and assess detoxification in a terrestrial-aquatic ecosystem. A typical ecosystem could include soil organisms, lake-bottom fauna, a plant, an insect, a snail, an alga, a crustacean, and a fish species maintained under controlled conditions for a period of time.

Most major types of reactions that result in transformation and detoxification of toxic chemicals can be accomplished either by biological (enzymatic) or by nonbiological (nonenzymatic) mechanisms. Although significant changes in structure and properties of organic compounds may result from nonbiological processes, the biological mechanism is the major and often the only mechanism by which organic compounds are converted to inorganic products. Microorganisms are capable of degrading and detoxifying a wide variety of organic compounds; presumably, every organic molecule can be destroyed by one or more types of microorganisms (referred to as the principle of microbial infallibility). However, since some organic compounds do accumulate in the environment, there must be factors such as unfavorable environmental conditions that prevent the complete degradation and detoxification of these persistent compounds. There are many

examples where certain microorganisms have been identified as capable of detoxifying specific organic compounds. In some cases, these microorganisms can be isolated, cultured, and inoculated into contaminated environments in order to detoxify the compounds of concern.

The major types of transformation reactions include oxidation, ring scission, photodecomposition, combustion, reduction, dehydrohalogenation, hydrolysis, hydration, conjugation, and chelation. Conjugation is the only reaction mediated by enzymes alone, while chelation is strictly nonenzymatic. Primary changes in organic compounds are usually accomplished by oxidative, hydrolytic, or reductive reactions.

Oxidation reactions are reactions in which energy is used in the incorporation of molecular oxygen into the toxic molecule. In most mammalian systems, a mono-oxygenase system is involved. One atom of molecular oxygen is added to the toxic chemical, which usually results in a decrease in toxicity and an increase in water solubility, as well as provides a reaction group that can be used in further transformation processes such as conjugation. Microorganisms use a dioxygenase system, in which oxidation is accomplished by adding both atoms of molecular oxygen to the double bond present in various aromatic (containing benzene-like rings) hydrocarbons.

Ring scission, or opening, of aromatic ring compounds also can occur through oxidation. Though aromatic ring compounds are usually stable in the environment, some microorganisms are able to open aromatic rings by oxidation. After the aromatic rings are opened, the compounds may be further degraded by other organisms or processes. The number, type, and position of substituted molecules on the aromatic ring may protect the ring from enzymatic attack and may retard scission.

Photodecomposition can also result in the detoxification of toxic chemicals in the atmosphere, in water, and on the surface of solid materials such as plant leaves and soil particles. The reaction is usually enhanced in the presence of water; photodecomposition is also important in the detoxification of evaporated compounds. The ultraviolet radiation in sunlight is responsible for most photodecomposition processes. In photooxidation, for example, photons of light provide the necessary energy to mediate the reactions with oxygen to accomplish oxidation.

Combustion of toxic chemicals involves the oxidation of compounds accompanied by a release of energy. Often combustion does not completely result in the degradation of chemicals, and may result in

the production of very toxic combustion products. However, if operating conditions are properly controlled, combustion can result in the detoxification of toxic chemicals.

Under anaerobic conditions, toxic compounds may be detoxified enzymatically by reduction. An example of a reductive detoxifying process is the removal of halogens from halogenated compounds. Dehydrohalogenation is another anaerobic process that also results in the removal of halogens from compounds.

Hydrolysis is an important detoxification mechanism in which water is added to the molecular structure of the compound. The reaction can occur either enzymatically or nonenzymatically. Hydration of toxic compounds occurs when water is added enzymatically to the molecular structure of the compound.

Conjugation reactions involve the combination of foreign toxic compounds with endogenous, or internal, compounds to form conjugates that are water-soluble and can be eliminated from the biological organism. However, the toxic compound may still be available for uptake by other organisms in the environment. Endogenous compounds used in the conjugation process include sugars, amino acid residues, phosphates, and sulfur compounds.

Many metals can be detoxified by forming complexes with organic compounds by sharing electrons through the process of chelation. These complexes may be insoluble or nonavailable in the environment; thus the toxicant cannot affect the organism. Sorption of toxic compounds to solids in the environment, such as soil particles, as well as incorporation into humus, may also result in detoxification of the compounds.

Generally, the complete detoxification of a toxic compound is dependent on a number of different chemical reactions, both biological and nonbiological, proceeding simultaneously, and involving the original compound as well as the transformation products formed.

*See also* Biogeochemistry; Biomagnification; Chemical bond; Environmental stress; Incineration; Oxidation reduction reactions; Persistent compound; Water hyacinth.

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Judith Sims

## Detritivores

Detritivores are organisms within an ecosystem that feed on dead and decaying plant and animal material and waste (called detritus); detritivores represent more than one-half of the living biomass. Protozoa, polychaetes, nematodes, Fiddler crabs, and filter-feeders are a few examples of detritivores that live in the salt marsh ecosystem. (Fiddler crabs, for example, scoop up grains of sand and consume the small particles of decaying organic material between the grains.) While microbes would eventually decompose most material, detritivores speed up the process by comminuting and partly digesting the dead, organic material. This allows the microbes to get at such material more readily. The continuing decomposition process is vital to the existence of an ecosystem—essential in the maintenance of nutrient cycles, as well as the natural renewal of soil fertility.

## Detritus

Detritus is dead and decaying matter including the wastes of organisms. It is composed of organic material resulting from the fragmentation and decomposition of plants and animals after they die. Detritus is decomposed by bacterial activity, which can help cycle nutrients back into the food chain. In aquatic environments, detritus may make up a substantial percentage of the particulate organic carbon (POC) that is suspended in the water column. Animals that consume detritus are called detritivores. Although detritus is available in large quantities in most ecosystems, it is usually not a very high-quality food and may be lacking in essential nitrogen or carbon compounds. Detritivores generally must expend a larger amount of

energy to assimilate carbon and nutrients from detritus than from sources of food based on living plant or animal material. Some detritivores harbor beneficial bacteria or fungi in their intestinal systems to aid in the digestion of compounds that are difficult to degrade.

Marie H. Bundy

Development, sustainable see **Sustainable development.**

## Dew point

An expression of humidity defined as the temperature to which air must be cooled to cause condensation of its water vapor content (dew formation) without the addition or subtraction of water vapor or changing pressure. At this point the air is saturated and relative humidity becomes 100 percent. When the dew point temperature is below freezing, it is also referred to as the frost point. The dew point is a conservative expression of humidity because it changes very little across a wide range of temperature and pressure, unlike relative humidity, which changes with both. Dew points, however, are affected by water vapor content in the air. High dew points indicate large amounts of water vapor in the air and low dew points indicate small amounts. Scientists measure dew points in several ways: with a dew point hygrometer; from known temperature and relative humidity values; or from the difference between dry and wet bulb temperatures using tables. They use this measurement to predict fog, frost, dew, and overnight minimum temperature.

Diapers see **Disposable diapers.**

## Diazinon

An organophosphate pesticide. Malathion and parathion are other well-known organophosphate pesticides. The organophosphates inhibit the action of the enzyme cholinesterase, a critical component of the chain by which messages are passed from one nerve cell to the next. They are highly effective against a wide range of pests. However, since they tend to affect the human nervous system in the same way they affect

insects, they tend to be dangerous to humans, wildlife, and the environment. One of the most widely used lawn chemicals, diazinon, has been implicated in killing songbirds, pet dogs and cats, and causing near fatal poisonings in humans. The Environmental Protection Agency (EPA) banned its use on golf courses and sod farms after numerous reports of its killing ducks, geese, and other birds. Diazinon was banned from outdoor products at the end of 2004.

*See also* Cholinesterase inhibitor.

## Dichlorodiphenyl-trichloroethane

Dichlorodiphenyl-trichloroethane (DDT) can be degraded to several stable breakdown products, such as dichlorodiphenyldichloroethylene (DDE) and dichlorodiphenyldichloroethane (DDD). Usually DDT refers to the sum of all the DDT-related components.

DDT was first developed for use as an insecticide in Switzerland in 1939, and it was first used on a large scale on the Allied troops in World War II. Commercial, non military use began in the United States in 1945. The discovery of its insecticidal properties was considered to be one of the great moments in public health disease control, as it was found to be effective on the carriers of many leading causes of death throughout the world including malaria, dysentery, dengue fever, yellow fever, filariasis, encephalitis, typhus, cholera, and scabies. It could be sprayed to control mosquitoes and flies or applied directly in powder form to control lice and ticks. It was considered the “atomic bomb” of pesticides, as it benefited public health by direct control of more than fifty diseases and enhanced the world’s food supply by agricultural pest control. It had eliminated mosquito transmission of malaria in the United States by 1953. In the first ten years of its use, it was estimated to have saved 5 million lives and prevented 100 million illnesses worldwide.

Use of DDT declined in the mid-1960s due to increased resistance of different species of mosquitos and flies and other pests, and increasing concerns regarding the potential harm ecosystems and human health. Although the potential hazard from dermal absorption is small when the compound is in dry or powdered form, if the compound is in oil or an organic solvent it is readily absorbed through the skin and represents a considerable hazard.

Primarily DDT affects the central nervous system, causing dizziness, hyperexcitability, nausea, headaches, tremors, and seizures from acute exposure. Death can result from respiratory failure. It also is a liver toxin, activating microsomal enzyme systems and causing liver tumors. DDE is of similar toxicity. It became the focus of much public debate in the United States after the publication of *Silent Spring* by Rachel Carson, who effectively dramatized the harm to birds, wildlife, and possibly humans from the widespread use of DDT. Extensive spraying programs to eradicate Dutch elm disease and the gypsy moth (*Porthetria dispar*) also caused widespread songbird mortality. Its accumulation in the food chain also led to chronic exposures to certain wildlife populations. Fish-eating birds were subject to reproductive failure due to eggshell thinning and sterility. DDT is resistant to breakdown and is transported long distances, making it ubiquitous in the world environment today. It was banned in the United States in 1972 after extensive government hearings, but is still in use in other parts of the world, mostly in developing countries, where it continues to be useful in the control of carrier-borne diseases.

*See also* Acute effects; Chronic effects; Detoxification.

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## Dieback

Dieback refers to a rapid decrease in numbers experienced by a population of organisms that has temporarily exceeded, or *overshot*, its carrying capacity. Organisms at low trophic levels such as rodents or deer, as well as weed species of plants, experience dieback most often. Without pressure from predators or other limiting factors, such “opportunistic” species reproduce rapidly, consume food sources to depletion, and then experience a population crash due chiefly to starvation (though reproductive failure can also play a part in dieback). The presence of predators—for example, foxes in a meadow inhabited by small rodents—often results in a stabilizing effect on population numbers.

Digester see **Wastewater**.

## Die-off

Die-offs describe sudden reductions in species population levels. The most well-known is probably the die-off of dinosaurs, but die-offs continue today in many regions of the world, across a broad range of ecosystems.

Frogs and their kin are mysteriously vanishing in some areas, and scientists suspect that factors including viruses, bacterial infections, fungal infections, and human alteration of ecosystems are variably responsible. Frogs are good indicators of environmental change because of the permeability of their skin. They are extremely susceptible to toxic substances on land and in water. Decreasing rainfall in some areas may be a factor in the die-offs, as is habitat loss due to wetlands drainage. Other scientists are investigating whether increased ultraviolet radiation due to ozone depletion is killing toads in the Cascade Mountain Range.

Other factors—such as acid rain, heavy metal and pesticide contamination of ponds and other surface water, and human predation in some areas—may be causing the amphibians to die off.

Scientists also have recorded widespread declines in the numbers of migratory songbirds in the Western Hemisphere and of wild mushrooms in Europe. The decline in these so-called indicator organisms is a sign of declining health of the overall ecosystems.

The decline of songbirds is attributed to the loss or fragmentation of habitat, particularly forests, throughout

the songbirds’ range from Canada to Central America and northern South America. Fungi populations in Europe are dying off, and scientists think it is more than a problem of overharvesting. The health of forests in Europe is closely linked to the fungi populations, which point to the ecological decline of the forests. Some scientists argue that air pollution is also playing a role in their decline.

Environmental and wildlife experts argue that, as a consequence, of the international failure to achieve a 2010 target to slow the rate of extinctions, population die-offs leading to extinction pose an increasingly broad environmental and economic peril.

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Linda Rehkopf

## Dillard, Annie

1945–  
American writer

Often compared to the American naturalist Henry David Thoreau, Dillard—a novelist, memoir writer, essayist, poet, and author of books about the natural world—is best-known for her acute observation of the land, the seasons, the changing weather, and the wildlife within her intensely seen environment. Though born in Pittsburgh, Pennsylvania, on April 30, 1945, Dillard's vision of nature's violence and beauty was most fully developed living in Virginia, where she received her BA, 1967, and MA, 1968, from Hollins College. She also lived in the Pacific Northwest from 1975 to 1979 as scholar-in-residence at the University of Western Washington, in Bellingham, and is adjunct professor of English and writer-in-residence at Wesleyan University, in Middletown, Connecticut. As of 2010, she remains married to her third husband, writer Bob Richardson. They live in Hillsborough, North Carolina, and Wythe County, Virginia. Since 1973, she has also been a columnist for *The Living Wilderness*, the magazine of the Wilderness Society, the leading organization advocating expansion of the nation's wilderness.

In 1975, Dillard won the Pulitzer prize for general nonfiction for her first book of prose, *Pilgrim at Tinker Creek* (1974). The book detailed a mystical excursion into the natural world, based on her life in the Roanoke valley, Virginia, where she had lived since 1965. Her vision of “power and beauty, grace tangled in a rapture of violence,” of a world in which “carnivorous animals devour their prey alive,” is also an intense celebration of the things seen as she wanders the Blue Ridge mountainside and the Roanoke creek banks, observing muskrat, deer, red-winged blackbirds, and the multitude of “free surprises” her environment unexpectedly, and fleetingly, displays. *Seeing* acquires a mystical primacy in Dillard's work.

The urgency of seeing is also conveyed in Dillard's book of essays, *Teaching a Stone to Talk* (1982), in which she writes: “At a certain point you say to the woods, to the sea, to the mountains, the world, Now I am ready. Now I will stop and be wholly attentive.” Dillard suggests that, for the natural phenomena that humans do not use or eat, their only task is to witness. But in witnessing, she sees cruelty and suffering, making her question at a religious level what mystery lies at the heart of the created universe, of which she writes: “The world has signed a pact with the devil. The terms are clear: if you want to live, you have to die.”

Unlike some natural historians or writers of environmental books, Dillard is not associated with a specific program for curbing the destructiveness of human civilization. Rather, what has been described as her loving attentiveness to the phenomenal world—“nature seen so clear and hard that the eyes tear,” as one reviewer commented—allies her with the broader movement of writers whose works teach some other relationship to nature than exploitation. In *Holy the Firm* (1977), her seventy-six-page journal of several days spent in Northern Puget Sound, Dillard records such events as the burning of a seven-year-old girl in a plane crash and a moth's immolation in a candle flame to rehearse her theme of life's harshness but, at the same time, to note, “A hundred times through the fields and along the deep roads I've cried Holy.” In the end, Dillard is a sojourner, a pilgrim, wandering the world, ecstatically attentive to nature's bloodiness and its beauty.

The popularity of Dillard's writing can be judged by the frequency with which her work has been reprinted. As well as excerpts included in multiauthor collections, the four-volume *Annie Dillard Library* appeared in 1989, followed by *Three by Annie Dillard* (1990) and *The Annie Dillard Reader* (1994). During these years, she also served as the coeditor of two volumes of prose—*The Best American Essays* (1988), with Robert Atwan, and *Modern American Memoirs* (1995), with Cort Conley—and crafted *Mornings Like This: Found Poems* (1995), a collection of excerpts from other writers' prose, which she reformatted into verse.

Though a minor work, *Mornings Like This* could be said to encapsulate all of the qualities that have made Dillard's work consistently popular among readers: clever and playful, it displays her wide learning and eclectic tastes, her interest in the intersection of nature and science with history and art, and her desire to create beauty and unity out of the lost and neglected fragments of human experience.

In June 2007, Dillard's latest novel as of this writing, *The Maytrees*, was published by HarperCollins.

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David Clarke

## Dioxin

Dioxin is strictly a single deoxygenated ring (molecular formula  $C_4H_4O_2$ ), but commonly refers to a family of more complex polychlorinated chemicals such as polychlorinated biphenyls (PCBs), polychlorinated dibenzo dioxins (PCDDs), and polychlorinated dibenzo furans (PCDFs). Production of PCBs ceased in 1977, but PCDDs and PCDFs are by-products of combustion and industrial processes. Dioxin is manufactured in several chemical processes, including the production of herbicides and the chlorinated bleaching of pulp and paper. The issue of dioxin's toxicity is one of the most hotly debated in the scientific community, involving the federal government, industry, the press, and the general public. The 2001 Stockholm Convention on Persistent Organic Pollutants (POPs) listed PCBs, PCDDs, and PCDFs in its dirty dozen list and called for an immediate ban on production and use of these substances.

One PCDD is a by-product of certain manufacturing processes or products, 2,3,7,8-tetrachlorodibenzo-p-dioxin or (TCDD). It develops during the manufacture of two herbicides known as 2,4,5-T and 2,4-D, which are the two components found in Agent Orange, a defoliant used widely in the Vietnam War. While dioxin commonly refers to a particular compound known as TCDD, there are actually seventy-five different dioxins. TCDD has been studied in some manner since the 1940s, and the most recent information indicates that it is capable of interfering with a number of physiological systems. Its toxicity has been compared to plutonium and it has proven lethal to a variety of research animals, including guinea pigs, monkeys, rats, and rabbits.

TCDD is also the chemical that some scientists at the Environmental Protection Agency (EPA) and a broad spectrum of researchers have referred to as the most carcinogenic ever studied. During the late 1980s and early 1990s, it was linked to an increased risk of rare forms of cancer in humans—especially soft tissue sarcoma and non-Hodgkins lymphoma—at very high doses. Some have labeled dioxin as “the most toxic chemical known to man.” According to American biologist Barry Commoner (1917–), Director of the Center for the Biology of Natural Systems at Washington University, the chemical is “so potent a killer that just 3 ounces of it placed in New York City’s water supply could wipe out the populace.”

Exposure can come from a number of sources. Dioxin can be airborne and inhaled in drifting incinerator ash, aerial spraying, or the hand-spray application

of weed killers. Dioxin can be absorbed through the skin as people walk through a recently sprayed area such as a backyard or a golf course. Water runoff and leaching from agricultural lands treated with pesticides can pollute lakes, rivers, and underground aquifers. Thus, dioxin can be ingested in contaminated water, in fish, and in beef that has grazed on sprayed lands. Residues in plants consumed by animals and humans add to the contaminated food chain. Research has shown that nursing children now receive trace amounts of dioxin in their mother’s milk.

Because it bioaccumulates (substances accumulating in living tissues) in the environment, TCDD continues to be found in the soil and waterways in microscopic quantities over twenty-five years after its first application. Dioxin is part of a growing family of chemicals known as organochlorines—a class of chemicals in which chlorine (Cl) is bonded with carbon. These chlorinated substances are created to make a number of products such as polyvinyl chloride, solvents, and refrigerants, as well as pesticides. Hundreds or thousands of organochlorines are produced as by-products when chlorine is used in the bleaching of pulp and paper or the disinfection of wastewater and when chlorinated chemicals are manufactured or incinerated. The by-products of these processes are toxic, persistent, and hormonally active. TCDD is also part of current manufacturing processes, such as the manufacture of the wood preservative, pentachlorophenol ( $C_6HCl_5O$ ).

If the exposure to dioxin is intense, there can be an immediate response. Tears and watery nasal discharge have been reported, as have intense weakness, giddiness, vomiting, diarrhea, headaches, burning of the skin, and rapid heartbeat. Usually, a weakness persists and a severe skin eruption known as chloracne develops after a period of time. The body excretes very little dioxin, and the chemical can accumulate in the body fat after exposure. Minute quantities may be found in the body years after modest exposure. Since TCDD’s half-life has been estimated at as much as ten to twelve years in the soil, it is possible that some TCDD—suggested to be as much as 7 parts per trillion (ppt)—is harbored in the bodies of most Americans.

The development of medical problems may appear shortly after exposure, or they may appear ten, twelve, or twenty years later. If the exposure is large, the symptoms develop more quickly, but there is a greater latency period for smaller exposures. This fact explains why humans exposed to TCDD may appear healthy for years before finally showing what many consider to be typical dioxin-exposure symptoms, such as cancer or immune system dysfunction. There is also a relationship between toxicology and individual susceptibility.

Certain people are more susceptible to the effects of dioxin exposure than others. Once a person has become susceptible to the chemical, he or she tends to develop cross-reactions to other materials that would not normally trigger any response.

Government publications and research funded by the chemical industry have questioned the relationship between dioxin exposure and many of these symptoms. But a growing number of private physicians treating people exposed to dioxins have become increasingly certain about patterns or clusters of symptoms. These studies have reported a higher incidence of cancer at sites of industrial accidents, including increases in rates of stomach cancer, lung cancer, soft-tissue sarcomas, and malignant lymphomas. Some reports have indicated that soft-tissue sarcomas in dioxin-exposed workers have increased by a factor of forty, and there have also been indications of psychological and personality changes and an excess of coronary disease.

Many theories about the medical effects of dioxin exposure are based on the case histories of the thousands of American military personnel exposed to Agent Orange during the Vietnam War. Agent Orange, a chemical defoliant, was used despite the fact that certain chemical companies and select members of the military knew about its toxic properties. Thousands of American ground troops were directly sprayed with the chemical. Those in the spraying planes inhaled the chemical directly when some of the herbicides were blown back by the wind into the open doors of their planes. Others were exposed to accidental applications from the sky, when planes in trouble had to evacuate their loads during emergency procedures.

Despite what many consider to be the obvious dangers of TCDD, industries continue to produce residues and market products contaminated with the chemical. White bleached paper goods contain quantities of TCDD because no agency has required the paper industry to change its bleaching process. In 1998, the EPA issued an effluent guideline—expected to reduce dioxin discharges by at least 95 percent—as part of the air and water regulations for the paper and pulp industry. However, women use dioxin-tainted, bleached tampons, and infants wear bleached, dioxin-tainted paper diapers. Some scientists have estimated that every person in the United States carries a body burden of dioxin that may already be unacceptable.

Many believe that the EPA has done less to regulate dioxin than it has done for almost any other toxic substance. Environmentalists and other activists have argued that any other chemical creating equivalent clusters of problems within specific groups of similarly

exposed victims would be considered an epidemic. Industry experts have often downplayed the problems of dioxin. A spokesman for Dow Chemical has stated that “outside of chloracne, no medical evidence exists to link up dioxin exposure to any medical problems.” The federal government and federal agencies have also been accused of protecting their own interests. During congressional hearings in 1989 and 1990, the Centers for Disease Control was found to falsify epidemiology studies on Vietnam veterans.

In April 1991, the EPA initiated a series of studies intended to revise its estimate of dioxin’s toxicity. The agency believed there was new scientific evidence worth considering. Several industries, particularly the paper industry, had also pressured the agency to initiate the studies, in the hope that public fears about dioxin toxicity could be allayed. But the first draft of the revised studies, issued in the summer of 1992, indicated more rather than fewer problems with dioxin. It appears to be the most damaging to animals exposed while still in the uterus. It also seems to affect behavior and learning ability, which suggests that it may be a neurotoxin. These studies have also noted the possibility of extensive effects on the immune system. The EPA has labeled dioxin as “a likely human carcinogen” that is “anticipated to increase the risk of cancer at background levels of exposure.” The EPA’s National Center for Environmental Assessment composed a report outlining the human health effects of dioxin exposure. The EPA dioxin reassessment is expected by 2011.

Other studies have established that dioxin functions like a steroid hormone. Steroid hormones are powerful chemicals that enter cells, bind to a receptor or protein, form a complex that then attaches to the cell’s chromosomes, turning on and off chemical switches that may then affect distant parts of the body. It is not unusual for very small amounts of a steroid hormone to have major effects on the body. Based on these reasons, dioxin is considered to be an endocrine disruptor, capable of mimicking endogenous hormones and affecting development. Newer studies conducted on wildlife around the Great Lakes have shown that dioxin has the capacity to feminize male chicks and rats and masculinize female chicks and rats. In male animals, testicle size is reduced as is sperm count.

It is likely that dioxin will remain a subject of considerable controversy, both in the public realm and in the scientific community for some time to come. However, even those scientists who question dioxin’s long-term toxic effect on humans, agree that the chemical is highly toxic to experimental animals. Dioxin researcher Nancy I. Kerkvliet of Oregon State University in Corvallis characterizes the situation in these terms, “The fact

that you can't clearly show the effects in humans in no way lessens the fact that dioxin is an extremely potent chemical in animals—potent in terms of immunotoxicity, potent in terms of promoting cancer.”

See also 2,4,5-T; Agent Orange; Bioaccumulation; Hazardous waste; Kepone; Organochloride; Pesticide residue; Pulp and paper mills; Seveso, Italy; Times Beach.

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Liane Clorfene Casten

## Discharge

Discharge is a term generally used to describe the release of a gas, liquid, or solid to a treatment facility or the environment. For example, wastewater may be discharged to a sewer or into a stream, and gas may be discharged into the atmosphere.

## Disposable diapers

Disposable diapers are single-use diapers that are designed to soak up urine and retain feces, in contrast to cotton diapers that are washed and used repeatedly. The superabsorbent polymer-based material can retain several hours’ output of urine, again in contrast to cotton diapers that can become soaked after a single urination.

Although there were experiments with disposable diapers by several companies in the 1940s and 1950s, disposable diapers were first successfully marketed by Procter & Gamble in 1961. The acknowledged inventor of the disposable diaper is American architect Marie Donovan (1917–1988); the apparel was termed *boaters* in 1950. In the United Kingdom, they are referred to as nappies.

First used as an occasional convenient substitute for cloth diapers, the popularity of disposable diapers skyrocketed in the 1960s and has remained the standard ever since. Indeed, as of 2010, disposable diapers are the primary diapering method for an estimated 95 percent of American parents. As a result, 3.7 million tons (3.4 million metric tons) of disposable diapers were discarded in 2007, a point decried by environmentalists. The average child will require about 5,000 diapers before being toilet trained and no longer require diaper aid; this represents approximately 1 ton (0.9 metric tons) of diapers per child. This waste accounts for 1.5 percent of total municipal solid waste. For comparison, only 350,000 tons (318,000 metric tons) of disposable diapers were discarded in 1970. Wood pulp is used in the construction of disposable diapers, which results in the use of an estimated 250,000 trees annually.

Proponents of reusable diapers argue that this accounts for only 2 to 3 percent of America’s solid waste. However, statistics can reflect the study design and even the biases of the study funding agency (or private corporation), and so it is difficult to gauge the merits of disposable and reusable diapers. In October 2008, the United Kingdom Environment Agency published a life-cycle assessment of disposable and reusable nappies examining the environmental impacts. It suggests that washable nappies can save 40 percent carbon emissions over disposables.

Disposable diapers and their packaging create more solid waste than reusables, and because they are used only once, consume more raw materials—petrochemicals and wood pulp—in their manufacture. And although disposable diapers should be emptied into the toilet before the diapers are thrown

away, many people skip this step, which puts feces that may be contaminated with pathogens into landfills and incinerators. There is no indication, however, that this practice has resulted in any increase in health problems. Cloth diapers affect the environment as well. They are made of cotton, which is watered with irrigation systems and treated with synthetic fertilizers and pesticides. They are laundered and dried up to seventy-eight (commercial) or 180 (home) times, consuming more water and energy than disposables. In fact, home laundering is less energy-efficient than commercial because it is done on a smaller scale. Diaper services make deliveries in trucks, which expends another measure of energy and generates more pollution. Human waste from cotton diapers is treated in sewer systems. Some disposable diapers are advertised as biodegradable and claim to pose less of a solid-waste problem than regular disposables. Their waterproof cover contains a cornstarch derivative that decomposes into water and carbon dioxide (CO<sub>2</sub>) when exposed to water and air. Unfortunately, modern landfills are airtight and little, if any, degradation occurs. Biodegradable diapers, therefore, are not significantly different from other disposables.

Research studies show that the superabsorbent material used in some disposable diapers can release compounds that are reactive with the air. These volatile organic compounds include toluene, ethylbenzene, dipentene, and xylene.

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Teresa C. Donkin

## Dissolved oxygen

Dissolved oxygen (DO) refers to the amount of oxygen dissolved in water and is particularly important in limnology (aquatic ecology). Oxygen comprises approximately 21 percent of the total gas in the atmosphere; however, it is much less available in water. The amount of oxygen water can hold depends upon temperature (more oxygen can be dissolved in colder water), pressure (more oxygen can be dissolved in water at greater pressure), and salinity (more oxygen can be dissolved in water of lower salinity). Many lakes and ponds have anoxic (oxygen-deficient) bottom layers in the summer because of decomposition processes depleting the oxygen. The amount of dissolved oxygen often determines the number and types of organisms living in that body of water. For example, fish such as trout are sensitive to low DO levels (less than 8 parts per million) and cannot survive in warm, slow-moving streams or rivers. Decay of organic material in water caused by either chemical processes or microbial action on untreated sewage or dead vegetation can severely reduce dissolved oxygen concentration. This is a common cause of fish kills, especially in summer months when warm water oxygen levels can be further depleted by algae blooms and other factors.

## Dissolved solids

Dissolved solids are minerals in solution, typically measured in parts per million (ppm) using an electrical conductance meter calibrated to oven-dried samples. In humid regions, dissolved solids are often the dominant form of sediment transport. Solution features, such as caverns and sinkholes, are common in limestone regions.

Water that contains excessive amounts of dissolved solids is unfit for drinking. Drinking-water standards typically allow a maximum of 250 ppm, the threshold for tasting sodium chloride; by comparison, ocean water ranges from 33,000 to 37,000 ppm. Phosphates and nitrates in solution are the major cause of eutrophication (nutrient enrichment resulting in excessive growth of algae). Dissolved solids buffer acid precipitation; lakes with low levels are especially vulnerable. High levels typically occur in runoff from newly disturbed landscapes, such as strip mines and road construction.

Diversity see **Biodiversity**.

DNA see **Deoxyribose nucleic acid**.

## Dodo

One of the best-known extinct species, the dodo (*Raphus cucullatus*), a flightless bird native to the Indian Ocean island of Mauritius, disappeared around 1680. A member of the dove or pigeon family, and about the size of a large turkey, the dodo was a grayish white bird with a huge black-and-red beak, short legs, and small wings. The dodo did not have natural enemies until humans discovered the island in the early sixteenth century.

The dodo became extinct due to hunting by European sailors who collected the birds for food and to predation of eggs and chicks by introduced dogs, cats, pigs, monkeys, and rats. The Portuguese are credited with discovering Mauritius, where they found a tropical paradise with a unique collection of strange and colorful birds unafraid of humans: parrots and parakeets, pink and blue pigeons, owls, swallows, thrushes, hawks, sparrows, crows, and dodos. Unwary of predators, the birds would walk right up to human visitors, making themselves easy prey for sailors hungry for food and sport.

The Dutch followed the Portuguese and made the island a Dutch possession in 1598 after which Mauritius became a regular stopover for ships traversing the Indian Ocean. The dodos were subjected to regular slaughter by sailors, but the species managed to breed and survive on the remote areas of the island.

When the island became a Dutch colony in 1644, the colonists engaged in a seemingly conscious attempt to eradicate the birds, despite the fact that they were not pests or obstructive to human living. But they were easy to kill. The few dodos in inaccessible areas that could not be found by the colonists were eliminated



Study of a dodo. Royal Albert Memorial Museum, Exeter, Devon, United Kingdom. (F Hart/The Bridgeman Art Library/Getty Images)

by the animals introduced by the settlers. By 1680, the last remnant survivors of the species were “as dead as a dodo.”

Interestingly, while the dodo tree (*Calvaria major*) was once common on Mauritius, the tree seemed to stop reproducing after the dodo disappeared, and the only remaining specimens are about 300 years old. Apparently, a symbiotic relationship existed between the birds and the plants. The fruit of this tree was an important food source for the dodo. When the bird ate the fruit, the hard casing of the seed was crushed, allowing it to germinate when expelled by the dodo.

Three other related species of giant, flightless doves were also wiped out on nearby islands. The white dodo (*Victoriornis imperialis*) inhabited Reunion, 100 miles (161 km) southwest of Mauritius, and seems to have survived up to around 1770. The Reunion solitaire (*Ornithoptera solitarius*) was favored by humans for eating and was hunted to extinction by about 1700. The Rodriguez solitaire (*Pezophaps solitarius*), found on the island of Rodriguez 300 miles (483 km) east of Mauritius, was also widely hunted for food and disappeared by about 1780.

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Lewis G. Regenstein

## Dolphins

There are thirty-two species of dolphins, members of the cetacean family Delphinidae, that are distributed in all of the oceans of the world. These marine mammals are usually found in relatively shallow waters of coastal zones, but some may be found in the open ocean. Dolphins are a relatively modern group; they evolved about 10 million years ago during the late Miocene. The Delphinidae represents the most diverse group, as well as the most abundant, of all cetaceans. Among the delphinids are the bottlenose dolphins (*Tursiops truncatus*), best-known for their performances in oceanaria; the spinner dolphin (*Stenella longirostris*), which have had their numbers decline due to tuna fishermen's nets; and the orca or killer whale (*Orcinus orca*), the largest of the dolphins. Dolphins



**Bottlenosed dolphins (*Tursiops truncatus*) inhabit many of the world's temperate oceans. (Walter G. Arce/ Shutterstock.com)**

are distinguished from their close relatives, the porpoises, by the presence of a beak.

Dolphins are intelligent, social creatures, and social structure is variously exhibited in dolphins. Inshore species usually form small herds of two to twelve individuals. Dolphins of more open waters have herds composed of up to 1,000 or more individuals. Dolphins communicate by means of echolocation, ranging from a series of clicks to ultrasonic sounds, which may also be used to stun their prey. By acting cooperatively, dolphins can locate and herd their food using this ability. Aggregations of dolphins also have a negative aspect, however. Mass strandings of dolphins, a behavior in which whole herds beach themselves and die en masse is a well-known phenomenon but little understood by biologists. Theories for this seemingly suicidal behavior include nematode parasite infections of the inner ears, which upsets their balance, orientation, or echolocation abilities; simple disorientation due to unfamiliar waters; or even perhaps magnetic disturbances.

Because of their tendency to congregate in large herds, particularly in feeding areas, dolphins have become

vulnerable to large nets of commercial fishermen. Gill nets, laid down to catch oceanic salmon and capelin, also catch numerous nontarget species, including dolphins and inshore species of porpoises. In the eastern Pacific Ocean, especially during the 1960s and 1970s, dolphins have been trapped and drowned in the purse seines of the tuna fishing fleets. This industry was responsible for the deaths of an average of 113,000 dolphins annually and, in 1974 alone, killed over one-half million dolphins in their nets. Tuna fishermen have recently adopted special nets and different fishing procedures to protect the dolphins. A panel of netting with a finer mesh, the Medina panel, is part of the net farthest from the fishing vessel. Inflatable powerboats herd the tuna as the net is pulled under and around the school of fish. As the net is pulled toward the vessel, many dolphins are able to escape by jumping over the floats of the medina panel, but others are assisted by hand from the inflatable boats or by divers. The finer mesh prevents the dolphins from getting tangled in the net, unlike the large mesh which previously snared the dolphins as they sought escape. Consumer pressure and tuna boycotts were major factors behind this shift in techniques on the part of the tuna

fishing industry. To advertise this new method of tuna fishing and to try to regain consumer confidence, the tuna fishing industry began labeling their products “dolphin safe.” This campaign has been successful in that slumping sales due to the boycotts were eventually reversed.

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Eugene C. Beckham

## Dominance

*Dominance* is an ecological term that refers to the degree that a particular species is prevalent within its community, in terms of its relative size, productivity, or cover. Because of their ability to appropriate space, nutrients, and other resources, dominant species have a relatively strong or controlling influence on the structure and functions of their community, and on the fitness and productivity of other species. Ecological communities are often characterized on the basis of their dominant species.

Species may become dominant within their community if they are particularly competitive, that is, if they are relatively successful under conditions in which the availability of resources constrains ecological productivity and community structure. As such, competitive species are relatively efficient at obtaining resources. For example, competitive terrestrial plants are relatively efficient at accessing nutrients, moisture, and space, and they are capable of regenerating beneath their own

shade. These highly competitive species can become naturally dominant within their communities.

Many ecological successions are characterized by relatively species-rich communities in the initial stages of recovery after disturbance, followed by the development of less-diverse communities as the most competitive species exert their dominance. In such cases, disturbance can be an important influence that prevents the most competitive species from dominating their community. Disturbance can play this role in two ways: (1) through relatively extensive, or stand-replacing disturbances that set larger areas back to earlier stages of succession, allowing species-rich communities to occupy the site for some time, or (2) by relatively local disturbances that create gaps within late-successional stands, allowing species-rich micro-successions to increase the amount of diversity within the larger community.

Some natural examples of species-poor, late-successional communities that are dominated by only a few species include the following: (1) the rocky intertidal of temperate oceans, where mussels (e.g., *Mytilus edulis*) may occupy virtually all available substrate and thereby exclude most other species; (2) eastern temperate forests of North America, where species such as sugar maple (*Acer saccharum*) and eastern hemlock (*Tsuga canadensis*) are capable of forming pure stands that exclude most other species of trees; and (3) a few types of tropical rain forests, such as some areas of Sumatra and Borneo where ironwood (*Eusideroxylon zwageri*) can dominate stands. In the absence of either stand-replacing disturbances or microdisturbances in these sorts of late-successional ecosystems, extensive areas would become covered by relatively simple communities dominated by these highly competitive species.

Humans often manage ecosystems to favor the dominance of one or several species that are economically favored because they are crops grown for food, fiber, or some other purpose. Some examples include: (1) cornfields, in which dominance by *Zea mays* is achieved by plowing, herbicide application, insecticide use, and other practices, in order to ensure that few plants other than maize are present, and that the crop species is not unduly threatened by pests or diseases; (2) forestry plantations, in which dominance by the crop species is ensured by planting, herbicide application, and other practices; and (3) grazing lands for domestic cattle, whose dominance may be ensured by fencing lands to prevent wild herbivores from utilizing the available forage, and by shooting native herbivores and predators of cattle. In all of the above cases, continued management of the ecosystem by humans is needed to maintain the dominance of the

crop species. Otherwise, natural ecological forces would result in diminished dominance or even exclusion of the crop species.

Ecologists have developed a number of quantitative indices to describe the dominance of species within communities. One of these is known as the *community dominance index* (CDI). It is calculated as the percentage of the total abundance of all species in the community that is contributed by the two most abundant species, as in the equation below.

$$\text{CDI} = 100 \times (y_1 + y_2) / Y$$

where  $y_1$  is the abundance of the most abundant species,  $y_2$  is that of the second-most abundant species, and  $Y$  is the total of the abundances of all species in the community. For these purposes, abundance may be estimated by biomass, density, cover, productivity, or another suitable measurement. In general, communities with high values of CDI have relatively little community-level diversity. This relationship is being actively explored by ecologists who are interested in the environmental factors that control the levels of biodiversity in natural and managed ecosystems.

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Bill Freedman

## Dose response

Dose response is the relationship between the effect on living things and a stimulus from a physical, chemical, or biological source. Examples of stimuli include therapeutic drugs, pesticides, pathogens, and radiation. A quantal response occurs when there is a measurable or observable reaction to a stimulus of a given dose. Graded responses proportional to the size of the stimulus are also found in environmental applications. Some types of responses have a significant

time effect. The "effective dose" for affecting 50 percent of a population of test subjects,  $ED_{50}$ , and the "lethal dose" for killing 50 percent of a population of test subjects,  $LD_{50}$ , are commonly used parameters for reporting the toxicity of an environmental pollutant.

*See also* Pollution; Radiation exposure; Toxic substance.

## Double-crested cormorants

The double-crested cormorant (*Phalacrocorax auritus*) is a large (30–36 in; 73–90 cm), blackish water bird that eats fish and crustaceans. The double-crested cormorant population around the Great Lakes (but not in other areas) was once in serious decline. It has now recovered. In fact, some fishermen feel that there are too many double-crested cormorants. These fishermen believe that an abundance of double-crested cormorants is responsible for a decline in fish populations in the Great Lakes. The conservation of this bird entails weighing the interests of the fishing industry against the interests of a now-abundant bird whose range is expanding.

The double-crested cormorant is a large migratory water bird native to North and Central America. The bird is found along the West Coast as far north as southern Alaska, wintering in southern California and Baja California. On the East Coast it breeds from Maryland to Newfoundland, wintering along the southern coast to Florida, the Bahamas, and Cuba. Inland, double-crested cormorants breed along the



Double-crested cormorant (*Phalacrocorax auritus*), Jamaica Bay, Queens, New York. (© iStockphoto/Stefan Ekernas)

upper Mississippi Valley and in the Great Lakes and winter on the Gulf Coast.

The first double-crested cormorants in the Great Lakes region were sighted on the western shore of Lake Superior in 1913. By the 1940s, the population around the Great Lakes had increased to about 1,000 nesting pairs. Subsequently, the cormorant population began to decline. By 1973 a survey found only 100 pairs in the region.

In the early 1970s, cormorants were not the only waterbird whose population was declining. Around this time, the United States Congress enacted several laws to protect cormorants and other waterfowl. For example, the pesticide dichlorodiphenyl-trichloroethane (DDT) was banned in 1972. This chemical had entered lakes and rivers in runoff water and was implicated in reducing the birthrate of fish-eating birds, including the bald eagle. Congress also amended the Migratory Bird Treaty Act, first passed in 1917, to make it illegal to harm or kill cormorants and other migrating waterfowl.

The double-crested cormorant populations around the Great Lakes began to increase in the 1980s, and the birds expanded their range eastward to Lake Erie and Lake Ontario. The return of the double-crested cormorant seemed to some conservationists to signal that the Great Lakes, once seriously polluted, were recovering. However, one reason the cormorant population may have increased around the Great Lakes is that overfishing during this time seriously depleted the number of large fish in the lakes. This led to an increase in the number of smaller fish such as smelt (*Osmerus mordax*) and alewife (*Alosa pseudoharengus*). Cormorants eat these small fish. An increase in their food supply may have contributed to an increase in the cormorant population.

Another ecological problem, the zebra mussel, may also have helped the cormorant. The zebra mussel is an exotic (nonnative) invasive mussel that competes with native mussels for food resources. It is a voracious feeder and can clean lakes of green plankton, leaving the water particularly clear. Clear water may have helped the cormorant, which hunts fish by sight, find food more easily.

By the 1990s, some local cormorant populations had grown to unprecedented proportions, and at the same time sport fish populations had declined. As a result, some Great Lakes fishermen, refusing to consider the role of overfishing in the decline of fish populations, blamed double-crested cormorants for steep drops in populations of fish such as smallmouth bass (*Micropterus dolomieu*), rock bass (*Ambloplites rupestris*), and brown bullheads (*Ameiurus nebulosus*). An

adult cormorant weighs about 4 pounds (1.8 kg), and eats about 1 pound (0.45 kg) of fish a day. Some areas hosted flocks of thousands of cormorants. A 1991 study of cormorants in Lake Ontario estimated that the birds had consumed about 5 million pounds (2.25 million kg) of fish. In addition, cormorants tend to eat smaller fish; and if enough fish are eaten before they can reproduce, future generations of fish are threatened.

Although fishermen have blamed the cormorant for declining fish populations, conservationists have insisted on scientific studies to determine if these birds are indeed causing a decline in fish populations. A 1998 study of cormorants on Galloo Island in Lake Ontario found that the smallmouth bass, a popular sport fish, made up just 1.5 percent of the cormorant's diet. Yet because the cormorants ate small bass that had not yet grown to reproductive maturity, the bird was considered linked to the smallmouth's decline.

Another 2000 study of the Beaver Islands area in Lake Michigan concluded it likely that the large cormorant population was a factor in the declining numbers of smallmouth bass and other fish. Yet the biologist who led the study was unable to conclude that cormorants were entirely responsible for the decline in the fish population. However, wildlife officials took action to manage this cormorant population.

Cormorants also have made themselves unpopular because they nest in large colonies, where thick layers of their droppings can kill off underlying vegetation and leave the area denuded of all but a few trees. Cormorant colonies have endangered some sensitive woodland habitats and contributed to soil erosion by killing shoreline plants.

Because federal law protects double-crested cormorants, people cannot legally harass or kill these birds. In 1999, nine men were convicted of slaughtering 2,000 cormorants on Little Galloo Island in Lake Ontario. These men had illegally tried to reduce the cormorant colony by shooting adult birds. Soon after the incident, however, the New York Department of Environmental Conservation enacted a plan to reduce the Galloo colony from 7,500 to 1,500 birds over five years by spraying vegetable oil on cormorant eggs. The oil-coated eggs do not hatch. This method of thinning the flock was considered less disruptive to other wildlife and more humane than killing adult birds.

The New York program generated controversy, as not all scientists who had studied the birds believed that double-crested cormorants were responsible for the decline of the fish population, and some conservationists feared similar programs would be enacted against other fish-eating birds. Ironically, success in

protecting the double-crested cormorant in the 1970s resulted in controversy two decades later. Even though the population of double-crested cormorants substantially increased, the bird is still under federal protection. Any action to manage the cormorant population must be carefully developed, implemented, and evaluated.

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Angela Woodward

## Douglas, Marjory Stoneman

1890–1998

American environmentalist and writer

A newspaper reporter, writer, and environmentalist renowned for her crusade to preserve Florida's Everglades. Marjory Stoneman Douglas was part of the committee that first advocated formation of the Everglades National Park in 1927, and she remained an active advocate of the area's preservation until her death. Born in 1890 in Minneapolis, Minnesota, and raised in Taunton, Massachusetts, Marjory Stoneman Douglas graduated from Wellesley College in 1912. After a brief marriage to Kenneth Douglas, a newspaper editor, she moved south to Florida in 1915 to join her father. She soon began to work as a reporter, columnist, and editor for the *Miami Herald*, founded by her father, Frank Bryant Stoneman. During World War I, she left Miami to become the first female enlistee for the Naval Reserves, then joined the Red Cross, for which she worked and traveled in Europe during and after the war. Returning to Coconut Grove in 1920, Douglas remained there for over seventy-five years.

Douglas was involved very early in efforts to preserve the Everglades from agricultural and residential development, as was her father before her. Her book, *The Everglades: River of Grass* was one of the most important statements publicizing the ecological importance and uniqueness of the area, as well as its plight in the face of drainage, filling, and water diversion. Her

framing of the region as a “river of grass” effectively instilled in the general public an idea of the interconnectedness of the land, water, plants, and animals of the area, helping to raise public awareness of the urgency of preserving the entire ecosystem, not just isolated components of it.

Douglas did not start out as a full-time environmental advocate. She wrote *The Everglades: River of Grass* because she loved the history and the natural history of the area, and she was a longtime supporter of preserving the ecosystem, but she did not become deeply involved in the movement to save the Everglades until the 1970s. Friends of Douglas's in the National Audubon Society, confronted in 1969 by proposals to build an airport in the Everglades, enlisted her aid and she helped organize the Friends of the Everglades, an organization that continues to defend the Park and related south Florida ecosystems.

Douglas proved to be an eloquent and forceful speaker and writer in the cause to save the Everglades from development; and, since the 1970s, the Everglades became her single cause for celebrity. However, she also wrote poetry, short stories, histories, natural histories, and novels, nearly all based in Florida. Initially, her writing was popular, at least in part, because Florida and its history were little-known, and rarely written about when she began her literary career. Among her other publications are *Road to the Sun* (1951), *Hurricane* (1958), *Florida: The Long Frontier* (1967), and *Nine Florida Stories* (1990). She also wrote an autobiography, co-authored by J. Rothchild, *Marjory Stoneman Douglas: Voice of the River*.

In 1990, Douglas was honored on her 100th birthday with book signings, interviews, and banquets; and in 1992, she was back in action. That year, Douglas spoke out against President George Bush's proposal to modify the definition of *wetlands*, a move that critics pointed out could open the door to future development. President Bill Clinton in 1993 called to wish her a happy birthday as she turned 103 and, a few months later, awarded her the Presidential Medal of Freedom. In 1994, Florida state lawmakers passed the Everglades Forever Act; and, also in the 1990s, the federal government committed hundreds of millions of dollars to restore and protect the area. In 1996, Florida voters passed an amendment to their state's constitution that makes Everglades polluters, particularly sugar farmers, pay for cleanup costs; in addition, more plans to save the wetlands were expected. However, voters did not pass a law to tax sugar at a penny a pound to assist with the effort; sugar producers had successfully argued that the ruling would cost many jobs.

Douglas received a bevy of honors in her lifetime, including Floridian of the Year in 1983 and having a number of buildings, schools, and parks named after her. The building in Florida's capitol of Tallahassee that is home to the state Department of Natural Resources also bears her name, as does a special conservation award. Douglas died in her sleep at home on May 14, 1998.

On October 7, 2000, Douglas was inducted into the National Women's Hall of Fame in Seneca Falls, New York.

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Mary Ann Cunningham

## Drainage

The hydrologic cycle encompasses all movements of water molecules through the atmosphere, hydrosphere, and groundwater zones. One key part is the drainage of surface waters and groundwater back to the ocean. Natural drainage systems are well-adjusted to their climate, vegetation, and geology. Hydrologists and fluvial geomorphologists have studied these systems in great detail; human disturbances are readily apparent. Three impacts are considered here: wetland drainage and irrigation; dam construction and mining alterations; and urbanization.

Robert E. Horton identified many drainage characteristics during the 1930s and 1940s. Later researchers added other useful quantitative parameters. All of these show the predictable patterns found in natural drainage systems. Best-known are stream order and drainage density. Although influenced by the detail of the maps used (commonly 1:24,000 or 1:62,500 topographic maps), stream order provides a simple system for ranking tributaries. The smallest ones are labeled first order, second-order streams have at least two first-order tributaries, third-order streams have at least two second-order tributaries, and so forth. The Mississippi River is ranked as a tenth- or twelfth-order stream, depending on the map scale used. Horton also developed a bifurcation ratio between stream orders, which is generally around three; that is, on average there are three second-order streams for each third-order stream.

Drainage density is a measure of channel length per unit area. This varies widely depending on the nature of the vegetation cover and soil. Badlands and deserts have high-drainage densities compared to well-vegetated basins with permeable soils.

Stream channels are finely adjusted to their drainage requirements, and attain a state of quasi-equilibrium. Human activities disrupt this equilibrium, forcing channel adjustments. This disruption is so pervasive that, essentially, all channels within settled areas have been impacted.

The earliest human intrusions are wetland drainage and irrigation of arid lands for agriculture. Recent litigation has involved decisions by federal officials to deny irrigation water to farmers to maintain needed supplies for endangered aquatic organisms. Only recently has the tendency to drain wetlands been reversed. With the comeback of the beaver from near extinction, wetland proponents now have an efficient ally.

Many large rivers have been dammed, with direct impact on the downstream riparian environment. To counteract this, in 1996, an experimental flood was produced on the Colorado River through the Grand Canyon, with the desired goal of improving habitat for endangered species there. Even farm ponds, through their sheer numbers, are major drainage interrupters. Another strong impact has come from mining, through the creation of huge holes, massive sedimentation, or increased runoff.

Urbanization has had the greatest local impact on drainage. Channels are altered, permeable areas are covered with impervious materials, and storm drains deliver runoff more rapidly to the overwhelmed drainage network. The effect is to deliver much more water in substantially less time, a sure formula for flooding.

Tulsa, Oklahoma, is a case study in urban flooding and costly remediation. A computerized system now evaluates rain and stream-gauge data in real time and issues flood warnings via sirens throughout the city. Construction is banned within the 100-year flood level, and valuable land has been set aside for detention ponds so that runoff does not exceed natural conditions up to the level of a 100-year flood. Though costly, these steps have been essential in order to protect lives and reduce property damage.

Changes in the drainage network are prime indicators of human impact. If people are to work with nature rather than fight it, we must understand and reckon with the impacts of development on this fragile and finely-tuned system.

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Nathan Meleen

## Dredging

Dredging is a process to remove sediment. Dredging sediment to construct new ports and navigational waterways or maintain existing ones is essential for vessels to be able to enter shallow areas. Maintenance dredging is required because sediment suspended in the water eventually settles out, gradually accumulating on the bottom. If dredging were not done, harbors would eventually fill in and marine transportation would be severely limited. Dredging is also used to collect sediment (usually sand and gravel) for construction and other commercial uses. Hundreds of millions of cubic feet of sediment are dredged from marine bottoms annually in the United States and throughout the world.

One of the oldest types of dredging is agitation dredging, which uses a combination of mechanical and hydraulic processes and dates back over 2,000 years. An object is dragged along the bottom with the prevailing current; this suspends the sediment and the current carries the suspended material away from the area. Technology currently used to dredge sediment from a harbor, bay, or other marine bottom consists of hydraulic or mechanical devices. Hydraulic dredging involves suspending the sediment, which mixes with water to form a slurry, and pumping it to a discharge site. Mechanical dredging is typically used to dredge small amounts of material. It lifts sediment from the bottom by metal clamshells or buckets without adding significant amounts of water, and the dredged material is usually transferred to a barge for disposal at a particular site.

Most of the dredging that occurs in the United States is hydraulic dredging. Hopper dredges are vessels that employ hydraulic dredging, and they are often used in the open ocean or in areas where there is vessel traffic. The ship's hull is filled with dredged material and the ship moves the material to a designated disposal site where it is dumped through doors in the hull. Pipeline dredges use hydraulic dredging to remove sediment in nearshore areas, and the dredged material is discharged through a pipeline leading to a beach or diked area. Approximately 605 million tons (550 million metric tons) of sediment are dredged from the waters of the United States each year, and an estimated one-third is disposed in the marine environment, accounting for the greatest amount of waste material dumped in the ocean. Of the dredged material dumped in the marine environment, 66 percent is disposed in estuaries. Two dozen marine disposal sites in the United States receive approximately 95 percent of all of the dredged material disposed at sea.

Dredged material is typically composed of silt, clay, and sand, and can sometimes include gravel, boulders, organic matter, as well as chemical compounds such as sulfides, hydrous oxides, and metal and organic contaminants. The grain size of the dredged sediment will determine the conditions under which the sediment will be deposited or resuspended if disposed in the marine environment.

The choice of where the dredged material should be placed depends on whether it is uncontaminated or contaminated by pollutants. If contaminated, the level of pollutants in the dredged material can also play a role in the decision of the type and location of disposal. Because many navigational channels and ports are located in industrialized areas, and because sediments are a sink for many pollutants, dredged material may be contaminated with toxic metals, organohalogenes, petrochemical by-products, or other pollutants. Dredged material can also contain contaminants from agricultural and urban sources.

Dredged material with very little contaminants can be placed in a variety of locations and beneficially reused for beach restoration, construction aggregate, fill material, cover for sanitary landfills, and soil supplementation on agricultural land. The primary concerns over the disposal of uncontaminated dredged material in the marine environment are the physical impacts it can have, such as high turbidity in the water column, changes in grain size, and the smothering of bottom-dwelling organisms. The ensuing alterations to the bottom habitat can lead to changes in the benthic community. Deposited dredged sediment is usually recolonized by different organisms than were present



**Contractors move sand pumped in through a dredge pipe in Gulf Shores, Alabama, May 2010. The sand is being piled alongside Little Lagoon Pass so it can be moved into the pass to keep oil from creeping into the lagoon. (AP Photo/Michelle Rolls-Thomas)**

prior to the disposal of the dredged material. For example, disposal of sediment from a dredging project in Narragansett Bay, Rhode Island, changed the bottom topography and sediment type; and this change in benthic habitat led to a subsequent decline in the clam and finfish fishery at the site, and an increase in the lobster fishery. If the dredged material is similar to the sediment on which it is dumped, the area may be recolonized by the same species that were present prior to any dumping.

If dredged material is dumped in an area that has less than 197 feet (60 m) of water, most of the material will rapidly descend to the bottom as a high-density mass. A radial gradation of large-to-fine grained sediment usually occurs from the impact area of the deposition outward. Fine-grained material spreads outward from the disposal site, in some cases up to 328 feet (100 m), in the form of a fluid mud. It can range in thickness up to 3.9 inches (9.9 cm). From 1-5 percent of the sediment remains suspended in the water as a plume; this sediment plume

is transient in nature and eventually dissipates by dispersion and gravitational settling. The long-term fate of dredged material dumped in the marine environment depends on the location of the dumping site, its physical characteristics such as bottom topography and currents, and the nature of the sediment. Deep-ocean dumping of dredged material results in wider dispersal of the sediment in the water column. The deposition of the dredged material becomes more widely distributed over the ocean bottom than in nearshore areas.

Dredging contaminated sediment poses a much more severe problem for disposal. Disposing of contaminated dredged material in the marine environment can result in long-term degradation to the ecosystem. Sublethal effects, biomagnification of pollutants, and genetic disorders of organisms are some examples of possible long-term effects from toxic pollutants in contaminated dredged material entering the food chain. However, attributing effects from placement of contaminated dredged material at a marine

site to a specific cause can be very difficult if other sources of contaminants are present.

Dredged material must be tested to determine contamination levels and the best method of disposal. These tests include bulk chemical analysis, the elutriate test, selective chemical leaching, and bioassays. Bulk chemical analysis involves measurements of volatile solids, chemical oxygen demand, oil and grease, nitrogen, mercury, lead, and zinc. But this chemical analysis does not necessarily provide an adequate assessment of the potential environmental impact on bottom-dwelling organisms from disposal of the dredged material. The elutriate test is designed to measure the potential release of chemical contaminants from suspended sediment caused by dredging and disposal activities. However, the test does not take into account some chemical factors governing sediment-water interactions, such as complexation, sorption, redox, and acid-base reactions.

Selective chemical leaching divides the total concentration of an element in a sediment into identified phases. This test is better than the bulk chemical analysis for providing information that will predict the impact of contaminants on the environment after the disposal of dredged material. Bioassay tests commonly use sensitive aquatic organisms to directly measure the effects of contaminants in dredged material as well as other waste materials. Different concentrations of wastes are measured by determining the waste dilution that results in 50 percent mortality of the test organisms. Permissible concentrations of contaminants can be identified using bioassay tests.

If dredged material is considered contaminated, special management and long-term maintenance are required to isolate it from the rest of the environment. Special management techniques can include capping dredged material disposed in water with an uncontaminated layer of sediment, a technique which is recommended in relatively quiescent, shallow water environments. Other management strategies to dispose of contaminated dredged material include the use of upland containment areas and containment islands. The use of submarine burrow pits has also been examined as a possible means to contain contaminated dredged material.

There is more than one law in the United States governing dredging and disposal operations. The General Survey Act of 1824 delegates responsibility to the Army Corps of Engineers (ACOE) for the improvement and maintenance of harbors and navigation. The ACOE is required to issue permits for any work in navigable waters, according to the Rivers and Harbors Act of 1899. The Marine Protection, Research, and

Sanctuaries Act (MPRSA) of 1972 requires the ACOE to evaluate the transportation and ocean dumping of dredged material based on criteria developed by the Environmental Protection Agency (EPA), and to issue permits for approved nonfederal dredging projects. Designating ocean disposal sites for dredged material is the responsibility of the EPA. The discharge of dredged material through a pipeline is controlled by the Federal Water Pollution Control Act, as amended by the Clean Water Act (1977). This act requires the ACOE to regulate ocean discharges of dredged material and evaluate projects based on criteria developed by the EPA in consultation with the ACOE. Other Federal agencies such as the U.S. Fish and Wildlife Service and the National Marine Fisheries Service can provide comments and recommendations on any project, but the EPA has the power to veto the use of proposed disposal sites.

*See also* Agricultural pollution; Contaminated soil; Hazardous waste; LD<sub>50</sub>; Runoff; Sedimentation; Synergism; Toxic substance; Urban runoff.

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*Dreissena polymorpha* see **Zebra mussel**.

## Drift nets

Drift nets are used in large-scale commercial fishing operations. Miles-long in length, nets are suspended from floats at various depths and set adrift in open oceans to capture fish or squid. Drift nets are constructed of a light, plastic monofilament that resists rotting. These nets are generally of a type known as gill nets, because fish usually become entangled in them by their bony gill plates. The fishing industry has found these nets to be cost-effective, but their use has become increasingly controversial. They pose a severe threat to many forms of marine life, and they have long been the



**Silky shark (*Carcharhinus falciformis*) in drift gill net, Sea of Cortez, Mexico.** (©Mark Conlin/Alamy)

object of protests and direct action from a range of environmental groups.

Drift nets are not selective; there is no way to use them to target a particular species of fish. Drift nets can catch almost everything in their path, and there are few protections for species that were never intended to be caught. Although some nets can be quite efficient in capturing only certain species, the bycatch from drift nets can include not only noncommercial fish, but sea turtles, seabirds, seals and sea lions, sharks, porpoises, dolphins, and large whales. Nets that are set adrift from fishing vessels in the open ocean and never recovered pose an even more severe hazard to the marine environment. Lost nets can drift and kill animals for long periods of time, becoming what environmentalists have called “ghost nets.”

Drift nets are favored by fishing industries in many countries because of their economic advantages. The equipment itself is relatively inexpensive; it is also less labor-intensive than other alternatives, and it supplies larger yields because of its ability to capture fish over such broad areas. Drift-net fisheries can vary considerably, according to the target species and the type of fishing environment. In coastal areas, short nets can be set and recovered in an hour. The nets do not drift very far in this time and the environmental damage can be limited. But in the open ocean, where the target species may be widely dispersed, nets in excess of 31 miles (50 km) in length may be set and allowed to drift for twenty-four hours before they are recovered and stripped. The primary targets for drift netting include squid in the northern Pacific, salmon in the northeastern Pacific, tuna in the southern Pacific and eastern Atlantic, and swordfish in the Mediterranean.

Because of their cost-effectiveness, the Food and Agricultural Organization (FAO) of the United Nations actively promoted the use of drift nets during the early 1980s. Earthwatch, Earth Island Institute, and other environmental groups instituted drift-net monitoring during this period and founded public education programs to pressure drift-net fishing nations. The Sea Shepherd Conservation Society and other direct action groups have actually intervened with drift-net fishing operations on the high seas. Organizations such as these led international awareness about the dangers of drift nets; and their efforts have affected national and international policy. In December of 1991, the United Nations reversed its earlier endorsement of drift-net fishing and adopted General Assembly Regulations 44-225, 45-197, and 46-215 that totally banned high seas drift nets. The regulations applied to all international waters and went into effect on December 31, 1992. An agreement between the United States and the South and Central American countries of Costa Rica, Ecuador, Mexico, Nicaragua, Panama, and Venezuela for a moratorium on drift nets was also signed. In 1998, the European Union banned all drift nets in its jurisdiction, with the exception of the Baltic Sea. The ban took effect in 2002. The regulatory body for the Baltic Sea is the International Baltic Sea Fishery Commission, which sets more liberal restrictions on nets and catch limits than the European Union.

According to the United States National Marine Fisheries Service, enforcement of the drift net ban in the north Pacific has been successful, and the U.S. salmon fishery in these waters is no longer impacted by illegal drift nets. Enforcement of this ban in other waters has so far proved difficult, however, as drift net fishing is done in open oceans, far from national jurisdictions. In reaching enforceable international agreements about drift net fishing, the primary problem has been the large investment some nations have in this technology. Japan had 457 fishing vessels using drift nets in 1990, and Taiwan and Korea approximately 140 vessels each. France, Italy, and other nations own smaller fleets.

Japan and many of these nations are primarily concerned with protecting their investment, despite worldwide protest. The United States and Canada have both expressed concern about ecological integrity and the rate of unintended catch in drift nets, particularly the bycatch of North American salmon. In the 1990s, bilateral negotiations were pursued with Korea and Taiwan to control their drift net fleets. The International North Pacific Fisheries Commission has provided a forum for the United States, Japan, and Canada to

examine and discuss the economic advantages and environmental costs of drift net fishing. A special committee has analyzed bycatch from drift nets used in the northern Pacific. Three avenues are currently being considered to control ecological damage: the use of subsurface nets, research into the construction of biodegradable nets, and alternative gear types for capturing the same species. The Irish Sea Fisheries Board has tested trawl nets as an alternative to drift nets, and found that they are effective in catching tuna. However, environmental groups have raised the concern that trawl nets also capture significant numbers of marine mammals and have called for restrictions on their use after the Irish Sea Fisheries Board study found that more than 140 whales and dolphins were killed by trawlers during the two-year study.

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## Drinking-water supply

The Safe Drinking Water Act, passed in 1974, required the United States Environmental Protection Agency (EPA) to develop guidelines for the treatment and monitoring of public water systems. In 1986, amendments to the act accelerated the regulation of contaminants, banning the future use of lead pipe, and requiring surface water from most sources to be filtered and disinfected. The amendments also have provisions for greater groundwater protection. Despite the improvement these regulations represent, the Act only covers

public and private systems that serve a minimum of twenty-five people at least sixty days a year. As of 2010, there are more than 160,000 such water systems in the United States. Millions obtain their drinking water from privately owned wells that are not covered under the act. The act also does not pertain to bottled water.

Drinking water comes from two primary sources: surface water and groundwater. Surface water comes from a river or lake, and groundwater, which is pumped from underground sources, generally needs less treatment. Contaminants can originate either from the water source or from the treatment process.

The most common contaminants found in the public water supply are lead, nitrate, and radon—all of which pose substantial health threats. Studies indicate that substances such as chlorine and fluoride which are added to water during the treatment process may also have adverse effects on human health. Over 700 different contaminants have been found in water supplies in the United States, yet the EPA only sets standards for approximately ninety contaminants.

Chlorinated water was first used in the United States in 1908 as a means of reducing diseases in Chicago stockyards. Chlorine or a chlorine-based compound, which kills some disease-causing microbes, is now used to disinfect over 95 percent of the public water supply in the United States. While effective, it is well-known that chlorine reacts with organic compounds to form disinfection by-products (DBPs), which may increase the risk of certain kinds of cancer.

The effectiveness of fluoridated water in reducing dental cavities was first noted in communities with a naturally occurring source of fluoride in their drinking water, and controlled studies of communities where fluoride was added to the water confirmed the results. As of 2010, approximately 70 percent of Americans on public water systems receive fluoridated water. The EPA limit for fluoride in water is 4 parts per million (ppm), and most cities add only 1 ppm to their water. Fluoridated water also has adverse effects, and these may include immune system suppression, tooth discoloration, undesirable bone growth, enzyme inhibition, and carcinogenesis.

The EPA has set the acceptable level of lead in drinking water at 15 parts per billion (ppb), yet according to tests the agency has done, drinking water in almost 20 percent of cities in the United States exceeds that limit. Between 10 to 25 percent of a child's lead intake comes from drinking water, and the EPA cautions that the percentage could be much higher if the water contains high levels of lead. Depending on exposure, lead poisoning can cause permanent learning

disabilities, behavioral and nervous system disorders, as well as severe brain damage and death. Service pipes made of lead and leaded solder used on copper plumbing and brass faucets are the main sources of lead in water. Acidic or soft water increases the danger of lead contamination, because it corrodes the plumbing and leeches out the lead. About 80 percent of homes have water that is moderately to highly acidic. In 1993 the EPA required all large public water companies to reduce the corrosiveness of water by adding calcium oxide or other hardening agents.

Vegetables, drinking water, and meat preservatives are the main sources of nitrates and nitrites in the human diet. There is a definite link between nitrate and gastric cancer. Nitrate is converted to nitrite by bacteria in the mouth and stomach, and this is in turn converted into N-nitroso compounds, which have been proved highly carcinogenic in laboratory animals. Bottle-fed infants are at additional risk; because once the nitrate is converted to nitrite in the stomach, it combines with fetal hemoglobin and converts to methaemoglobin. When 10 percent of the hemoglobin has been converted, cyanosis or blue-baby syndrome occurs; and when 70 percent of the hemoglobin is in methaemoglobin form, death occurs. One-half of the private wells in the United States contain nitrate.

Radioactivity occurs naturally and it can be present in drinking water. Preliminary studies have linked it to increased rates of leukemia and cancers of the bladder, breast, and lungs. The EPA has established 5 picocuries per liter (pCi/L) as the safe limit for radium in drinking water.

Most water-treatment plants in the United States use chemical coagulation to remove impurities and contaminants. Aluminum sulfate is often added to the water, causing some contaminants to coagulate with the aluminum and precipitate out. The majority of the aluminum left in the water is removed by subsequent treatment processes, but a residual amount passes through the system to the consumer. Aluminum in drinking water has been linked with neurotoxicity, specifically Alzheimer's disease.

The organic chemicals that are found most frequently in drinking water are pesticides, trichlorethylene, and trihalomines. Pesticides usually make their way into drinking water through seepage and runoff in agricultural areas, and in high doses they can damage the liver, the kidneys, and the nervous system, as well as increase the risk of various cancers. Trichlorethylenes are industrial wastes and the populations at highest risk from this chemical have a water supply located near hazardous waste sites. The health risks associated

with trichlorethylenes are nervous system damage and cancer. Chlorination of water that is contaminated with organic matter is responsible for the formation of trihalomethanes in water, and preliminary studies suggest that it may increase cancer rates.

The bottled water industry is not regulated by the EPA under the Safe Drinking Water Act or any other environmental law. The Food and Drug Administration regulates bottled water under the Federal Food, Drug, and Cosmetic Act. Despite the image portrayed by advertising, studies indicate that bottled water is not any safer, in most cases, than tap water. Home treatment units carry labels which frequently claim they are EPA-approved, but these are not regulated either. Different types of water filters are capable of removing different contaminants, so most experts recommend that those planning to install a treatment system have their water tested first.

With the changing global climate predicted to make some areas of the globe drier, and the increasing demands for drinking water in large metropolitan centers, many areas of the globe may experience water shortages in this century. As this occurs, nations with a relatively abundant supply of freshwater, such as Canada, may face pressure to market this natural resource as a commodity for global neighbors whose domestic freshwater supply is depleted. In this sense, some critics have cautioned that, in the twenty-first century, water may join oil as the cause of conflicts between nations.

*See also* Carcinogen; Chlorination; Communicable diseases; Filtration; Groundwater pollution; Hazardous waste site remediation; Neurotoxin; Safe Drinking Water Act (1974); Water pollution; Water quality standards; Water resources; Water treatment.

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Drinking-water treatment see **Water treatment.**

## Drip irrigation

Drip irrigation maximizes scarce resources by delivering water at a rate that minimizes run-off and evaporation loss. The percolation plume from drip sources follows the bulb shape of the root zone, wasting little water. This also better controls fertilizer inputs by applying nutrients with the water application. Though usually more expensive than other systems to install, and best-suited to perennials or high-value crops, this system overcomes or minimizes problems from traditional irrigation methods. Spray irrigation maximizes evaporation; while gravity-fed systems contribute to seepage losses, waterlogging, and salinization. Through water budget analyses and weed control, growers can maintain the exact moisture and nutritional levels needed for optimum plant growth.

## Drought

Of all natural disasters, drought is the most subtle. Often, farmers cannot tell there is going to be a drought until it is too late. Unlike flash floods, drought is slow to develop. Unlike earthquakes, with destruction to the exterior environment, drought does its damage underground long before dust storms rage across the plains.

Technically, drought is measured by the decrease in the amount of subsoil moisture that causes crop death or decreased yields (agricultural drought) or by a drop in the water level in surface reservoirs and below ground aquifers, causing wells to go dry (hydrological drought). Agricultural plus hydrological drought can lead to sociological drought. In this condition, drought effects food and water supplies to the extent that people have to rely on relief donations or are forced to migrate



**Failed crop due to drought in Tanzania.** (Nigel Cattlin/Photo Researchers Inc.)

to another area. Meteorological drought occurs when there is a period in which precipitation is lower than average.

Droughts are worldwide, repetitive, and unpredictable. Some scientists assert that there is a drought somewhere on the earth at any time. Droughts are not recent developments; analysis of rock cores, glacial ice cores, and tree rings reveal prehistorical and historical droughts, some of which lasted for several decades. Tree rings in California, for example, record a forty-year drought 300 years ago.

The direct cause of drought is a continued decrease in optimal rainfall. But what causes clouds not to form over an area, or the winds to carry rain-bearing clouds elsewhere, is complex. Climate change will alter the location of increased and reduced rainfall such that some places that have always been well watered will experience drought. This variability in the amount of precipitation can influence agricultural production, water resources, and the overall ecosystems of affected areas. For example, the climate in Australia and other regions may be affected by human-induced aerosols in Asia. Research has shown that the temperature and pressure gradients over the Indian Ocean are potentially being altered by Asian aerosols. Drought is occurring in some parts of Australia that rarely encounter this lack of rain, while others are experiencing uncharacteristic flooding. Researchers argue that climate change may be shifting patterns southward.

Some scientists argue that El Niño-La Niña events in the western Pacific Ocean are main drivers in the cause of droughts around the world. El Niño (also referred to as El Niño Southern Oscillation [ENSO]), an eastward flow of warm surface waters, creates a high pressure zone over the equator that results in a change in the high and low pressure zones in other parts of the world. This change in pressure affects the flow of the jet stream and results in a disturbed rainfall pattern, causing, for example, excessive rain in California and drought in southwestern Africa, among other places. La Niña, which usually follows El Niño, is an upwelling of cold, deep waters in the western Pacific Ocean. It causes disturbed pressure zones that result in droughts in the Midwest, among other places.

Drought prediction is still in its infancy. Although research has shown that El Niño-La Niña events cause droughts in specific areas, they cannot yet predict when El Niño will occur. Weather satellites can measure subsoil moisture, a good indicator of incipient drought, but other factors also contribute to drought.

Lack of rain, for example, in the Sahel, is exacerbated by man-made environmental problems, such as deforestation for fuel and not allowing the soil to lie fallow, which conserves soil moisture. Overgrazing by animals such as cattle, goats, and sheep also contributes to the denuding of topsoil, which erodes and blows away in the wind, a condition known as desertification. Drought then becomes a cycle that feeds on itself: lack of trees reduces the amount of water vapor given off into the atmosphere; lack of topsoil reduces water retention. The result is that local rainfall is reduced, and the rain that does fall runs off and is not absorbed. Lack of rain has been the reason for extreme profit losses in Texas, the number two state in agriculture in the United States. The total amount of profit loss from drought in 2006 was estimated at \$4.1 billion. In early 2009, 97 percent of the state of Texas was experiencing drought.

Of all the water on the earth, less than 3 percent is freshwater. A lot of water is lost in evaporation, especially in arid climates, not only during rainfall but when it is stored in surface reservoirs. Rainwater or snowmelt that seeps into below-ground permeable rock channels, or aquifers, is pumped into wells in many communities. High-tech pumps have contributed to an increased drain on aquifers; if an aquifer is pumped too quickly, it collapses, and the ground above sinks. To increase water bank supplies, some communities recharge their aquifers by pumping water into them when they are low.

The only new water introduced into the hydrologic cycle is purified ocean water. Desalination plants are

expensive to build and maintain and often require burning fossil fuels or wood to run. Future plans include perfecting the use of solar energy and wind energy.

As of 2010, farm irrigation uses most of the world's freshwater supply, but as city populations grow, they are expected to become the biggest consumers, and urban conservation measures will become imperative. Some communities already recycle wastewater for small farms and domestic garden use. Drought-causing industrial pollutants that freeze the water supply by rendering it toxic are being reduced and resolved under federal law. Reduced or low-flow shower heads and toilets are required in new construction in some states.

Distributing water from more to less abundant supplies by laying pipes and installing pumps within a state or a country requires money and management. If water is fed across state or international boundaries, legal and political negotiations are necessary.

During severe drought, sociologists find that people must either adapt, migrate, or die. Death, however, is usually caused by other factors such as war or poverty, as in the Sahel, where relief food supplies have been hijacked and sold at high prices, or where people in remote villages must walk to the distribution centers.

Some migrations have been permanent, as in the migration to California during the Midwestern Dust Bowl in the 1930s. Others are temporary, as in the Sahel region, where people migrate in search of food and water, crossing country lines.

Most people adapt in drought by making the most of their resources, such as building reservoirs or desalination plants or laying pipes connecting to more abundant water supplies. Farmers often invest in high-tech irrigation techniques or alter their crops to grow low-water plants, such as garbanzo beans.

Drought has also been the inspiration for inventions. The American West, at the turn of the twentieth century, gave rise to numerous rainmakers who used mysterious chemicals or noisemakers to attract rain. Most inventions failed or were unreliable, but out of the impetus to make rain grew silver iodide (AgI) cloud-seeding, which now effects a 10–15 percent increase in local rainfall in some parts of the world.

*See also* Desertification; Overgrazing.

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## Dry alkali injection

A method for removing sulfur dioxide from combustion stack gas. A slurry of finely ground alkaline material such as calcium carbonate is sprayed into the effluent gases before it enters the smokestack. The material reacts chemically with sulfur dioxide to produce a nonhazardous solid product, such as calcium sulfate, that can then be collected by filters or other mechanical means. The technique is called dry injection because the amount of water in the slurry is adjusted so that all moisture evaporates, while the chemical reactions are taking place and a dry precipitate results. The use of dry alkali injection can result in a 90 percent reduction in the emission of sulfur dioxide from a stack. It is more expensive than wet alkali injection or simply adding crushed limestone to the fuel, but usually more effective than these techniques.

See also Air pollution control.

## Dry cask storage

Dry cask storage is a method of storing the radioactive waste from nuclear reactors. Dry cask storage refers to the containers that hold the waste and the system of storing the waste above ground in containers.

After World War II, nuclear power plants began generating electricity. By the close of the twentieth century, reactors at nuclear power plants generated 20 percent of the electricity in the United States. To produce electricity, uranium is used as fuel. Each tiny uranium pellet produces almost as much energy as a ton (0.8 metric tons) of coal. The pellets are contained in long metal rods, and these rods are placed in a fuel assembly that hold from fifty to 300 rods.

The uranium undergoes fission, a process that heats water and converts it to steam. The steam propels the blades of a turbine. This in turn spins the shaft of a generator where electricity is produced.

A large reactor uses sixty assemblies annually, and assemblies must be replaced after several years. The waste called spent fuel is so radioactive that a person standing near an unshielded rod would die within a second. The spent fuel is also extremely hot. The used fuel rods are taken from the reactor core and stored in a concrete pool that is lined with steel. The rods are stored underneath at least 20 ft (6 m) of water. The spent pool setup serves as a radiation shield while water cools the rods.

Spent fuel pools were regarded as temporary storage facilities. When operators built the first reactors, there were plans to extract and recycle unused uranium and plutonium from the fuel. However, the process would consolidate plutonium into a form that could be used in nuclear weapons. As a result of that consequence, the process was banned in 1977. By that time the United States had produced enough plutonium to satisfy its own needs for weapons production.

Five years later, Congress passed the Nuclear Waste Policy Act. Among the issues discussed was where to store spent fuel because power plants were starting to reach storage capacity. Congress amended the act in 1987 to designate a permanent waste disposal site in the Yucca Mountain area of Nevada.

Yucca Mountain had been proposed as a facility that would open in 1985. However, concerns about a nuclear explosion and other safety issues led to postponement of the opening. The opening was shifted to 1989, 1998, 2003, and 2010, before President Barack Obama's administration withdrew support for using the site.

With no permanent site available, plant operators began to store spent fuel onsite in dry casks. In 1986, the United States Nuclear Regulatory Commission (NRC) licensed the first dry storage installation at the Virginia Electric and Power Company Surry Nuclear Plant in Jamestown, Virginia. The utility installed metal casks that were 16 feet (4.9 m) in height. Each dry cask held from twenty-one to thirty-three feet spent fuel assemblies.

When filled, each cask weighed 120 tons (108 metric tons). Casks were placed vertically on concrete pads that were 3-feet (1-m) thick. Each pad would hold twenty-eight casks.

By 2001, the NRC had approved various dry cask designs. The container is usually steel. After it is filled, the container is either bolted or welded shut. The metal casks are then put inside larger concrete casks to ensure radiation shielding. Some systems involve placing the steel cask vertically in a concrete vault. In other systems, the container is placed horizontally in the concrete vault.

Discussions about dry cask safety in the twenty-first century have centered on the Yucca Mountain proposal. In May of 2002, the United States House of Representatives voted to approve the plan. That vote was an override of Nevada Governor Kenny Guinn's veto of the plan to send waste to Yucca. Opponents of the plan like the Nuclear Information and Resource Service maintained that casks of waste could not be transported safely by train or truck. In 2002, the facility was expected to cost \$58 billion. It was scheduled to open in 2010 and hold a maximum of 77,000 tons (69,300 metric tons) of waste, but in 2009 the Obama Administration announced it was withdrawing support to use the Yucca Mountain site.

*See also* Nuclear Regulatory Commission; Radioactive waste.

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United States Nuclear Regulatory Commission., One White Flint North, 11555 Rockville Pike, Rockville, MD, USA, 20852-2738, (301) 415-7000, (800) 368-5642, opa@nrc.gov, <http://www.nrc.gov>

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## Dry cleaning

Dry cleaning is a process of cleaning clothes and fabrics with hydrophobic solutions—solutions that do not contain water. The practice has been traced back to France where, around 1825, turpentine was used in the cleaning process. The process is reputed to have been based on an accident where camphene, a fuel for oil lamps, was accidentally spilled on a gown and found to clean it. Because of this, dry cleaning was referred to as “French cleaning” even into the second half of the twentieth century.

By the late 1800s, naphtha, gasoline, benzene, and benzol—the most common solvent—were being used for dry cleaning. Fire hazards associated with using gasoline for dry cleaning prompted the United States Department of Commerce in March 1928 to issue a standard for dry cleaning specifying that a dry cleaning solvent derived from petroleum must have a minimum flash point (the temperature at which it combusts) of 100°F (38°C). This was known as the Stoddard solvent.

The first chlorinated solvent used in dry cleaning was carbon tetrachloride. It continued to be used until the 1950s when its toxicity and corrosiveness were determined to be hazardous. By the 1930s, the use of trichloroethylene had become common. In the 1990s the chemical was still being used in industrial cleaning plants and on a limited basis in Europe. This chemical's incompatibility with acetate dyes used in the United States brought about the end of its use in the United States. Tetrachloroethylene replaced other dry cleaning solvents almost completely by the 1940s and 1950s. In 1990 about 53 percent of worldwide demand for tetrachloroethylene was for dry cleaning, and approximately 75 percent of all dry cleaners used it. However, in Japan petroleum-based solvents continued in use through the 1990s. By the late 1990s, perchloroethylene (PCE) replaced tetrachloroethylene as the predominant cleaning solvent.

Surface and groundwater sources can be contaminated by spills of dry-cleaning solvents. In the early 1980s, an upsurge in illnesses in and near the town of New Minas, Nova Scotia, Canada, was traced to groundwater that had been contaminated by a local dry cleaning business. Many similar incidents have been reported throughout North America.

When the United States Environmental Protection Agency (EPA) issued national regulations to control air emissions of perc from dry cleaners in September 1993, environmental groups and consumers began to pay closer attention to the possible negative impact this chemical could have on human health. In July 2001, the American Council on Science and Health issued a report concluding

that perc was not hazardous to humans at the levels most commonly used in dry cleaning.

However, the claim that PCE is a carcinogen (cancer-causing substance) has received prominent public and governmental attention. Concern has been expressed that environmental exposures to PCE in outdoor or indoor air and in drinking water can cause cancer in humans.

Results of some epidemiological studies of dry cleaning and chemical workers exposed to PCE have been interpreted to suggest a relationship between occupational exposure and various types of cancer. Careful examination of the way in which these studies were conducted reveals serious problems including uncertainties about the amount of PCE to which people were exposed, failure to take into account exposure to other chemicals at the same time, and failure to take into account known confounders. Because of these deficiencies, these studies do not support a link between PCE and cancer or other adverse effects in humans.

Nonetheless, in 2006, the EPA strengthened the regulations for dry cleaning use of perc in the United States. While alternatives to perc exist, such as liquid carbon dioxide, as of 2010, an estimated 28,000 dry cleaning establishments across the United States continue to use perc.

An organization called the State Coalition for Remediation of Dry Cleaners, as of 2010, had chapters in thirteen states seeking to end the use of perc by dry cleaners located in urban areas by 2020.

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Jane E. Spear

## Dry deposition

A process that removes airborne materials from the atmosphere and deposits them on a surface. Dry deposition includes the settling or falling-out of particles due to the influence of gravity. It also includes the deposition of gas-phase compounds and particles

too small to be affected by gravity. These materials may be deposited on surfaces due to their solubility with the surface or due to other physical and chemical attractions. Airborne contaminants are removed by both wet deposition, such as rainfall scavenging, and by dry deposition. The sum of wet and dry deposition is called total deposition. Deposition processes are the most important way contaminants such as acidic sulfur compounds are removed from the atmosphere; they are also important because deposition processes transfer contaminants to aquatic and terrestrial ecosystems. Cross-media transfers, such as transfers from air to water, can have adverse environmental impacts. For example, dry deposition of sulfur and nitrogen compounds can acidify poorly buffered lakes.

*See also* Acid rain; Nitrogen cycle; Sulfur cycle.

## Dryland farming

Dryland farming is the practice of cultivating crops without irrigation (rainfed agriculture). In the United States, the term usually refers to crop production in low-rainfall areas without irrigation, using moisture-conserving techniques such as mulches and fallowing. Nonirrigated farming is practiced in the Great Plains, intermountain, and Pacific regions of the country, or areas west of the 23.5 inches (60 cm) annual precipitation line, where native vegetation was short prairie grass. In some parts of the world dryland farming means all rainfed agriculture.

In the western United States, dryland farming has often resulted in severe or moderate wind erosion. Alternating seasons of fallow and planting has left the land susceptible to both wind and water erosion. High demand for a crop sometimes resulted in cultivating lands not suitable for long-term farming, degrading the soil measurably.

Conservation tillage, leaving all or most of the previous crop residues on the surface, decreases erosion and conserves water. Methods used are stubble mulch, mulch, and ecofallow. In the wetter parts of the Great Plains, fallowing land has given over to annual cropping, or four-year rotations with three years of planting followed by a year of fallowing.

*See also* Arable land; Desertification; Erosion; Soil; Tilt.

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William E. Larson

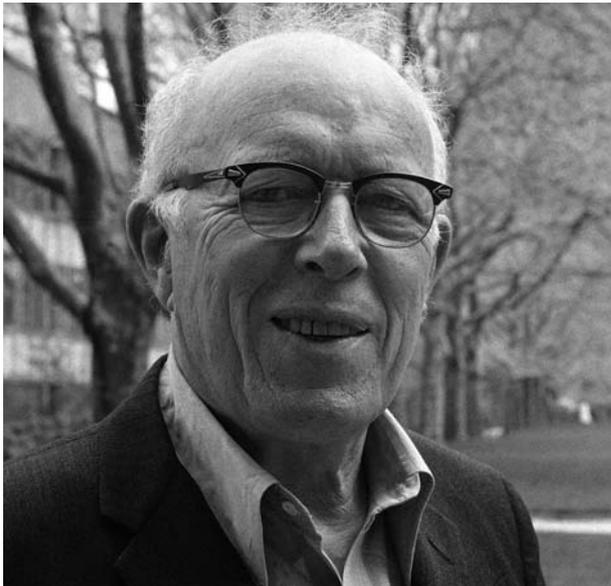
## Dubos, René Jules

1901–1982

French American microbiologist, ecologist, and writer

Dubos, a French-born microbiologist, spent most of his career as a researcher and teacher at Rockefeller University in New York state. His pioneering work in microbiology, such as isolating the anti-bacterial substance *gramicidin* from a soil organism and showing the feasibility of obtaining germ-fighting drugs from microbes, led to the development of antibiotics.

Nevertheless, most people know Dubos as a writer. Dubos's books centered on how humans relate to their surroundings, books informed by what he



**René J. Dubos, Pulitzer Prize-winning microbiologist and environmentalist, poses on the grounds of Rockefeller University in New York, 1973. Dubos said that conservation, not production of more energy, is the answer to the energy crisis. (RON FREHM)**

described as “the main intellectual attitude that has governed all aspects of my professional life . . . to study things, from microbes to man, not *per se* but in their complex relationships.” That pervasive intellectual stance, carried throughout his research and writing, reflected what *Saturday Review* called “one of the best-formed and best-integrated minds in contemporary civilization.”

A related theme was Dubos's conviction that “the total environment” played a role in human disease. By total environment, he meant “the sum of the facts which are not only physical and social conditions but emotional conditions as well.” Though not a medical doctor, he became an expert on disease, especially tuberculosis, and headed Rockefeller's clinical department on that disease for several years.

“Despairing optimism” also pervaded Dubos's human-environment writings, his own title for a column he wrote for *The American Scholar*, beginning in 1970. *Time* magazine even labeled him the “prophet of optimism.” “My life philosophy is based upon a faith in the immense resiliency of nature,” he once commented.

Dubos held a lifelong belief that a constantly changing environment meant organisms, including humans, had to adapt constantly to keep up, survive, and prosper. But he worried that humans were too good at adapting, resulting in both his optimism and his despair: “Life in the technologized environment seems to prove that [humans] can become adapted to starless skies, treeless avenues, shapeless buildings, tasteless bread, joyless celebrations, spiritless pleasures—to a life without reverence for the past, love for the present, or poetical anticipations of the future.” He stated that “the belief that we can manage the earth may be the ultimate expression of human conceit,” but insisted that nature is not always right and even that humankind often improves on nature. As Thomas Berry suggested, “Dubos sought to reconcile the existing technological order and the planet's survival through the resilience of nature and changes in human consciousness.”

Beginning in 1980, Dubos dedicated most of his professional energy to the Dubos Center for Human Environment, a nonprofit research and education center dedicated in his honor, which sought to inform and educate about the environment and environmental problems and values.

Dubos died on February 20, 1982.

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Gerald L. Young

## Ducks Unlimited

Ducks Unlimited (DU) is an international (United States, Canada, Mexico, New Zealand, and Australia), membership organization founded during the Depression years in the United States by a group of sportsmen interested in waterfowl conservation. DU was incorporated in early 1937, and DU (Canada) was established later that spring. The organization was established to preserve and maintain waterfowl populations through habitat protection and development, primarily to provide game for sport hunting. During the “dust bowl” of the 1930s, the founding members of DU recognized that

most of the continental waterfowl populations were maintained by breeding habitat in the wetlands of Canada’s southern prairies in Saskatchewan, Manitoba, and Alberta. The organizers established DU Canada and used their resources to protect the Canadian prairie breeding grounds. Cross-border funding has since been a fundamental component of DU’s operation, although in recent years funds also have been directed to the northern American prairie states. In 1974 Ducks Unlimited de Mexico was established to restore and maintain wetlands south of the U.S.-Mexican border where many waterfowl spend the winter months.

Throughout most of its existence, DU has funded habitat restoration projects and worked with landowners to provide water management benefits on farmlands. But, from its inception, DU has been subject to criticism. Early opponents characterized it as an American intrusion into Canada to secure hunting areas. More recently, critics have suggested that DU defines waterfowl habitat too narrowly, excluding upland areas where many ducks and geese nest. The group plans to broaden its focus to encompass preservation of these upland breeding and nesting areas.



Aerial view of a wetlands habitat in Eastern Prince Edward Island, Canada. (© All Canada Photos / SuperStock)

Since many of these areas are found on private land, DU also plans to expand its cooperative programs with farmers and ranchers. Most commonly, however, DU is criticized for placing the interests of waterfowl hunters above wildlife management concerns. The organization does allow duck hunting on its preserves.

Following the fundamental principle of “users pay,” duck hunters still provide the majority of DU’s funding. For that reason DU has not addressed some issues that have a serious effect on continental waterfowl populations. The combination of illegal hunting and liberal bag limits is blamed by some for the continued decline in waterfowl numbers. DU has not addressed this issue, preferring to leave management issues to government agencies in the United States and Canada, while focusing on habitat preservation and restoration. Critics of DU suggest that the organization will not act on population matters and risk offending the hunters who provide its financial support.

In North America DU has expanded its scope and activities to address ecological and land use problems through the work of the North American Waterfowl Management Plan (NAWMP) and the Prairie conservation of agriculture, resources, and environment (CARE) program. The wetlands conservation and other habitat projects addressed in these and similar programs, not only benefit game species, but other endangered species of plants and animals as well. The NAWMP (an agreement between the United States and Canada) alone protects over 5.5 million acres (2.2 million ha) of waterfowl habitat. In 2002, the North American Wetlands Conservation Act (NAWCA) granted the DU one million dollars to be put toward a new wetlands in Ohio. The NAWCA has also contributed to DU’s “rescue the duck factory” campaign that was launched in 2008. The aim is to protect 300,000 acres (120,000 ha) of grasslands in the Dakotas as wildfowl habitat. As of 2010, DU was nearly halfway to this goal.

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## ORGANIZATIONS

Ducks Unlimited, Inc., One Waterfowl Way, Memphis, TN, USA, 38120, (901) 758-3825, (800) 45DUCKS, <http://www.ducks.org>

David A. Duffus

## Ducktown, Tennessee

Tucked in a valley of the Cherokee National Forest, on the border of Tennessee, North Carolina, and Georgia, Ducktown once reflected the beauty of the surrounding Appalachian Mountains. Instead, Ducktown and the valley known as the Copper Basin now form the only desert east of the Mississippi. Mined for its rich copper lode since the 1850s, it had become a vast stretch of lifeless, red-clay hills. It was an early and stark lesson in the devastation that acid rain and soil erosion can wreak on a landscape, one of the few man-made landmarks visible to the astronauts who landed on the moon.

Prospectors came to the basin during a gold rush in 1843, but the closest thing to gold they discovered was copper, and most went home. By 1850, entrepreneurs realized the value of the ore, and a new rush began to mine the area. Within five years, thirty companies had dug beneath the topsoil and made the basin the country’s leading producer of copper.

The only way to separate copper from the zinc, iron, and sulfur present in the copper basin rock was to roast the ore at extremely high temperatures. Mining companies built giant open pits in the ground for this purpose, some as wide as 600 feet (183 m) and as deep as a ten-story building. Fuel for these fires came from the surrounding forests. The forests must have seemed a limitless resource, but it was not long before every tree, branch, and stump for 50 square miles (130 km<sup>2</sup>) had been torn up and burned. The fires in the pits emitted great billows of sulfur dioxide gas—so thick people could get lost in the clouds even at high noon—and this gas mixed with water and oxygen in the air to form sulfuric acid, which is the main component in acid rain. Saturated by acidic moisture and choked by the remaining sulfur dioxide gas and dust, the undergrowth died and the soil became poisonous to new plants. Wildlife fled the shelterless hillsides. Without root systems, virtually all the soil washed into the Ocoee River, smothering aquatic life. Open-range grazing of cattle, allowed in Tennessee until 1946, denuded the land of what little greenery remained.

Soon after the turn of the century, Georgia filed suit to stop the air pollution which was drifting out of this corner of Tennessee. In 1907, the Supreme Court, in a decision written by Justice Oliver Wendell Holmes, ruled in Georgia's favor, and the sulfur clouds ceased in the Copper Basin. It was one of the first environmental-rights decisions in the United States. That same year, the Tennessee Copper Company designed a way to capture the sulfur fumes, and sulfuric acid, rather than copper, became the area's main product. It remains so as of 2010.

Ducktown was the first mining settlement in the area. Since the 1930s, the Tennessee Copper Company, the Tennessee Valley Authority, and the Soil Conservation Service have worked to restore the land, planting hundreds of loblolly pine and black locust trees. Their efforts have met with little success, but new reforestation techniques such as slow-release fertilizer have helped many new plantings survive. Scientists hope to use the techniques practiced here on other deforested areas of the world. Many of the townspeople lobbied to preserve a piece of the scar, both for its unique beauty and as a symbol of human impact on the environmental lesson.

See also Mine spoil waste; Smelter; Sudbury, Ontario; Surface mining; Trail Smelter arbitration.

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L. Carol Ritchie

## Dunes and dune erosion

Dunes are small hills, mounds, or ridges of wind-blown soil material, usually sand, that are formed in both coastal and inland areas. The formation of coastal or inland dunes requires a source of loose sandy



Partially eroded sand dune. (© iStockPhoto.com/visionofmaine)

material and dry periods during which the sand can be picked up and transported by the wind. Dunes exist independently of any fixed surface feature and can move or drift from one location to another over time. They are the result of natural erosion processes and are natural features of the landscape in many coastal areas and deserts, yet they also can be symptoms of land degradation. Inland dunes are either an expression of aridity or can be indicators of desertification—the result of long-term land degradation in dryland areas.

Coastal dunes are the result of marine erosion in which sand is deposited on the shore by wave action. During low tide, the beach sand dries and is dislodged and transported by the wind, usually over relatively short distances. Depending on the local topography and direction of the prevailing winds, a variety of shapes and forms can develop—from sand ridges to parabolic mounds. The upper few centimeters of coastal dunes generally contain chlorides from salt spray and wind-blown salt. As a result, attempts to stabilize coastal dunes with vegetation are often limited to salt-tolerant plants.

The occurrence of beaches and dunes together have important implications for coastal areas. A beach absorbs the energy of waves and acts as a buffer between the sea and the dunes behind it. Low-lying coastlines are best defended against high tides by consolidated sand dunes. In such cases, maintaining a wide, high beach that is backed by stable dunes is desirable.

Engineering structures along coastal areas and the mouths of rivers can affect the formation and erosion of beaches and coastal dunes. In some instances it is desirable to build and widen beaches to protect coastal areas. This can require the construction of structures that trap littoral drift, rock mounds to check wave action, and seawalls that protect areas behind the beach from heavy wave action. Where serious erosion has occurred, artificial replacement of beach sands may be necessary. Such methods are expensive and require considerable engineering effort as well as the use of heavy equipment.

The weathering of rocks, mainly sandstone, is the origin of material for *inland dunes*. However, whether or not sand dunes form depends on the vegetative cover condition and use of the land. In contrast to coastal dunes, which are often considered to be beneficial to coastal areas, inland dunes can be indicators of land degradation where the protective cover of vegetation has been removed as a result of inappropriate cultivation, overgrazing, construction activities, and so forth. When vegetative cover is absent, soil is highly susceptible to both water and wind erosion. The two work together in dry lands to create sources of soil that can be picked up and transported either downwind or downstream. The flow of water moves and exposes sand grains and supplies fresh material that results in deposits of sand in floodplains and ephemeral drainage systems. Before dunes can develop in such areas, there must be long dry periods between periodic or episodic sediment-laden flows of water. Wind erosion occurs where such sand deposits from water erosion are exposed to the energy of wind, or in areas that are devoid of vegetative cover.

Where sand is the principle size soil particle and where high wind velocities are common, sand particles are moved by a process called saltation and creep. Sand dunes form under such conditions and are shaped by wind patterns over the landscape. Complex patterns can be formed—the result of interactions of wind, sand, the ground surface topography, and any vegetation or other physical barriers that exist. These patterns can be sword-like ridges, called longitudinal dunes, crescentic accumulations or barchans, turret-shaped mounds, shallow sheets of sand, or large seas of transverse dunes. The typical pattern is one of a gradual long slope on the windward side of the dune, dropping off sharply on the leeward side.

Exposed sand dunes can move up to 11 yards (10 m) annually in the direction of the prevailing wind. Such dunes encroach upon areas, covering farmlands, pasture lands, irrigation canals, urban areas, railroads, and highways. Blowing sand can mechanically injure and kill vegetation in its path and can eventually bury croplands or rangelands. If left unchecked, the drifting sand will expand and lead to serious economic and environmental losses.

Worldwide, dryland areas are those most susceptible to wind erosion. For example, 22 percent of Africa north of the equator is severely affected by wind erosion as is over 35 percent of the land area in the Near East. As a result, inland dunes represent a significant landscape component in many desert regions. Although dunes can be symptoms of land-use problems, in some areas they are part of a natural dryland landscape that are considered to be features of beauty and interest. Sand dunes have become popular recreational areas in parts of the United States, including the Great Sand Dune National Monument in southern Colorado with its 229-yard (210-m) high dunes that cover a 158 square mile (254.4-km<sup>2</sup>) area, and the Indiana Dunes State Park along the shore of Lake Michigan.

When dune formation and encroachment represent significant environmental and economic problems, sand dune stabilization and control should be undertaken. Dune stabilization may initially require one or more of the following: applications of water, oil, bitumens emulsions, or chemical stabilizers to improve the cohesiveness of surface sands; the reshaping of the landscape such as construction of foredunes that are upwind of the dunes, and armoring of the surface using techniques such as hydroseeding, jute mats, mulching, and asphalt; and constructing fences to reduce wind velocity near the ground surface. Although sand dune stabilization is the necessary first step in controlling this process, the establishment of a vegetative cover is a necessary condition to achieve long-term control of sand dune formation and erosion. Furthermore, stabilization and revegetation must be followed with appropriate land management that deals with the causes of dune formation in the first place. Where dune erosion has not progressed to a seriously degraded state, dunes can become reclaimed through natural regeneration simply by protecting the area against livestock grazing, all-terrain vehicles, and foot traffic.

Vegetation stabilizes dunes by decreasing wind speed near the ground and by increasing the cohesiveness of sandy material by the addition of organic colloids and the binding action of roots. Plants trap the finer wind-blown soil particles, which helps improve soil texture; and they also improve the microclimate of the site, reducing soil surface temperatures. Upwind barriers or

windbreak plantings of vegetation, often trees or other woody perennials, can be effective in improving the success of revegetating sand dunes. They reduce wind velocities, help prevent exposure of plant roots from the drifting sand, and protect plantings from the abrasive action of blowing sand. Areas that are susceptible to sand dune encroachment can likewise be protected by using fences or windbreak plantings that reduce wind velocities near the ground surface. Because of the severity of sand dune environments, it can be difficult to find plant species that can be established and survive. In addition, any plantings must be protected against exploitation, for example, from grazing or fuelwood harvesting.

The expansion of sand dunes resulting from desertification not only represents environmental problems, but it also represents serious losses of productive land and a financial hardship for farmers and others who depend upon the land for their livelihood. Such problems are particularly acute in many of the poorer dryland countries of the world and deserve the attention of governments, international agencies, and nongovernmental organizations who need to direct their efforts toward the causes of soil erosion and dune formation.

The erosion of sand dunes, especially those located along the eastern coastline of the United States, is accelerating as the global climate changes in response to the warming of the atmosphere. Increased severe storm activity and consequent wave action is producing more seawater-delivered destructive force. The situation is not likely to improve, given the anticipated continuation of global climate change for at least the next century.

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Kenneth N. Brooks

## Dust Bowl

*Dust Bowl* is a term coined by a reporter for the *Washington (DC) Evening Star* to describe the effects of severe wind erosion in the Great Plains during the



**Dust Bowl-era photo of a cloud of topsoil parched by drought and picked up by winds, moving down a road near Boise City, Oklahoma, 1935. (AP Photo)**

1930s, caused by severe drought and lack of conservation practices.

For a time after World War I, agriculture prospered in the Great Plains. Land was rather indiscriminantly plowed and planted with cereals and row crops. In the 1930s, the total cultivated land in the United States increased, reaching 530 million acres (215 million ha), its highest level ever. Cereal crops, especially wheat, were most prevalent in the Great Plains. Summer fallow (cultivating the land, but only planting every other season) was practiced on much of the land. Moisture, stored in the soil during the fallow (uncropped) period, was used by the crop the following year. In a process called dust mulch, the soil was frequently clean tilled to leave no crop residues on the surface, control weeds, and, it was thought at the time, preserve moisture from evaporation. Frequent cultivation and lack of crop canopy and residues optimized conditions for wind erosion during the droughts and high winds of the 1930s.

During the process of wind erosion, the finer particles (silt and clay) are removed from the topsoil, leaving coarser-textured sandy soil. The fine particles carry with them higher concentrations of organic matter and plant nutrients, leaving the remaining soil impoverished and with a lower water storage capacity. Wind erosion of the Dust Bowl reduced the productivity of affected lands, often to the point that they could not be farmed profitably.

While damage was particularly severe in Texas, Oklahoma, Colorado, and Kansas, erosion occurred in all of the Great Plains states, from Texas to North

Dakota and Montana, even into the Canadian Prairie Provinces. The eroding soil not only prevented the growth of plants, it uprooted established ones. Sediment filled fence rows, stream channels, road ditches, and farmsteads. Dirt coated the insides of buildings. Airborne dust made travel difficult because of decreased visibility; it also impaired breathing and caused respiratory diseases.

Dust from the Great Plains was carried high in the air and transported as far east as the Atlantic seaboard. In places, 3 to 4 inches (7–10 cm) of topsoil was blown away, forming dunes 15 to 20 feet (4.6–6.1 m) high where the dust finally came to rest. In a twenty-county area covering parts of southwestern Kansas, the Oklahoma strip, the Texas Panhandle, and southeastern Colorado, a soil-erosion survey by the Soil Conservation Service showed that 80 percent of the land was affected by wind erosion, 40 percent of it to a serious degree.

The droughts and resultant wind erosion of the 1930s created widespread economic and social problems. Large numbers of people migrated out of the Dust Bowl area during the 1930s. The migration resulted in the disappearance of many small towns and community services such as churches, schools, and local units of government.

Following the disaster of the Dust Bowl, the 1940s saw dramatically improved economic and social conditions with increased precipitation and improved crop prices. Gradually, changes in farming practices have also taken place. Much of the severely damaged

and marginal land has been returned to grass for livestock grazing. Nondetrimental tillage and management practices, such as conservation tillage (stubble mulch, mulch, and residue tillage); use of tree, shrub, and grass windbreaks; maintenance of crop residues on the soil surface; and better machinery have all contributed to improved soil conditions. Annual cropping or a three-year rotation of wheat-sorghum-fallow has replaced the alternate crop-fallow practice in many areas, particularly in the more humid areas of the West.

While the extreme conditions of drought and land mismanagement of the Dust Bowl years have not been repeated since the 1930s, wind erosion is still a serious problem in much of the Great Plains.

*See also* Arable land; Desertification; Overgrazing; Soil eluviation; Tilt; Water resources.

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William E. Larson



## Earth Charter

It is the objective of the Earth Charter to set forth an inspiring vision of the fundamental principles of a global partnership for sustainable development and environmental conservation. The Earth Charter initiative reflects the conviction that a radical change in humanity's attitudes and values is essential to achieve social, economic, and ecological well-being in the twenty-first century. The Earth Charter project is part of an international movement to clarify humanity's shared values and to develop a new global ethics, ensuring effective human cooperation in an interdependent world.

There were repeated efforts to draft the Earth Charter beginning in 1987. Early in 1997 an Earth Charter Commission was formed by the Earth Council and Green Cross International. The Commission prepared a draft of the Earth Charter in 1998. The Charter was then submitted to the United Nations General Assembly in the year 2000. On June 29, 2000, the official Earth Charter was established at the Peace Palace in The Hague, Netherlands.

### Historical background, 1945–1992

The role and significance of the Earth Charter are best understood in the context of the United Nations' ongoing efforts to identify the fundamental principles essential to world security. When the UN was established in 1945, its agenda for world security emphasized peace, human rights, and equitable socioeconomic development. No mention was made of the environment as a common concern, and little attention was given to ecological well-being in the early years. However, since the Stockholm Conference on the Human Environment in 1972, ecological security has emerged as a fourth major concern of the United Nations.

Starting with the Stockholm Declaration, many of the world's nations have adopted a number of

declarations, charters, and treaties that seek to create a global alliance that effectively integrates and balances development with conservation. In addition, a variety of nongovernmental organizations (NGOs) have drafted and circulated their own declarations and people's treaties. These documents reflect a growing awareness that humanity's social, economic, and environmental problems need integrated solutions. The Earth Charter initiative builds on these efforts.

The World Charter for Nature, adopted by the UN General Assembly in 1982, was a progressive declaration of ecological and ethical principles for its time. It remains a stronger document than any that have followed from the point of view of environmental ethics. However, in its 1987 report, *Our Common Future*, the UN World Commission on Environment and Development (WCED) issued a call for "a new charter" that would "consolidate and extend relevant legal principles," creating "new norms...needed to maintain livelihoods and life on our shared planet" and "to guide state behavior in the transition to sustainable development." The WCED also recommended that the new charter "be subsequently expanded into a Convention, setting out the sovereign rights and reciprocal responsibilities of all states on environmental protection and sustainable-development."

The WCED recommendations, together with deepening environmental and ethical concerns, spurred efforts in the late 1980s to create an Earth Charter. However, before any UN action was initiated on the Earth Charter, the Commission on Environmental Law of the World Conservation Union (IUCN) drafted the convention proposed in *Our Common Future*. The IUCN Draft International Covenant on Environment and Development presents an integrated legal framework for existing and future international and national environmental and sustainable development law and policy. Even though the IUCN Draft Covenant was presented at the United Nations in 1995, official negotiations have not yet begun on this treaty which

many environmentalists believe is urgently needed to clarify, synthesize, and further develop international sustainable-development law.

The United Nations Conference on Environment and Development (UNCED), or Earth Summit held in Rio de Janeiro, Brazil, in 1992, did take up the challenge of drafting the Earth Charter. A number of governments prepared recommendations. Many nongovernmental organizations, including groups representing the major faiths, became actively involved. Although the resulting Rio Declaration on Environment and Development is a valuable document, it falls short of the aspirations that many groups have had for the Earth Charter.

### The Earth Charter Project, 1994–2000

A new Earth Charter initiative began in 1994 under the leadership of Maurice Strong, the former secretary general of UNCED and chairman of the newly formed Earth Council, and Mikhail Gorbachev, acting in his capacity as chairman of Green Cross International. The Earth Council was created to complete the unfinished business of UNCED and to promote implementation of Agenda 21, the Earth Summit's action plan. Jim MacNeill, former secretary general of the WCED and Prime Minister Ruud Lubbers of The Netherlands were instrumental in facilitating the organization of the new Earth Charter project. Ambassador Mohamed Sahnoun of Algeria served as the executive director of the project during its initial phase, and its first international workshop was held at the Peace Palace in The Hague in May 1995. Representatives from thirty countries and more than seventy different organizations participated in the workshop. Following this event, the secretariat for the Earth Charter project was established at the Earth Council in San José Costa Rica.

A worldwide Earth Charter consultation process was organized by the Earth Council in connection with the Rio+5 review in 1996 and 1997. The Rio+5 review, which culminated with a special session of the United Nations General Assembly in June 1997, sought to assess progress toward sustainable development since the Rio Earth Summit and to develop new partnerships and plans for implementation of Agenda 21. The Earth Charter consultation process engaged men and women from all sectors of society and all cultures in contributing to the Earth Charter's development. A special program was created to contact and involve the world's religions, interfaith organizations, and leading religious and ethical thinkers. The Earth Council also organized a special indigenous people's network.

Early in 1997 an Earth Charter Commission was formed to oversee the project. The initial twenty-three members were geographically and economically diverse. The Commission issued a Benchmark Draft Earth Charter in March 1997 at the conclusion of the Rio+5 Forum in Rio de Janeiro. The Forum was organized by the Earth Council as part of its independent Rio+5 review, and it brought together more than 500 representatives from civil society and national councils of sustainable development. The Benchmark Draft reflected the many and diverse contributions received through the consultation process and from the Rio+5 Forum. The Commission extended the Earth Charter consultation until early 1998, and the Benchmark Draft was circulated widely as a document in progress.

### The Earth Charter concept

A consensus developed that the Earth Charter should be: a statement of fundamental principles of enduring significance that are widely shared by people of all races, cultures, and religions; a relatively brief and concise document composed in a language that is inspiring, clear, and meaningful in all tongues; the articulation of a spiritual vision that reflects universal spiritual values, including but not limited to ethical values; a call to action that adds significant new dimensions of value to what has been expressed in earlier relevant documents; a people's charter that serves as a universal code of conduct for ordinary citizens, educators, business executives, scientists, religious leaders, nongovernmental organizations, and national councils of sustainable development; and a declaration of principles that can serve as a "soft law" document when adopted by the UN General Assembly. The Earth Charter was designed to focus on fundamental principles with the understanding that the IUCN Covenant and other treaties will set forth the more specific practical implications of these principles.

The Earth Charter draws upon a variety of resources, including ecology and other contemporary sciences, the world's religious and philosophical traditions, the growing literature on global ethics and the ethics of environment and development, the practical experience of people living sustainably, as well as relevant intergovernmental and nongovernmental declarations and treaties. At the heart of the new global ethics and the Earth Charter is an expanded sense of community and moral responsibility that embraces all people, future generations, and the larger community of life on Earth. Among the values affirmed by the Benchmark Draft are respect for Earth and all life; protection and restoration of the health of Earth's

ecosystems; respect for human rights, including the right to an environment adequate for human well-being; eradication of poverty; nonviolent problem solving and peace; the equitable sharing of resources; democratic participation in decision making; accountability and transparency in administration; universal education for sustainable living; and a sense of shared responsibility for the well-being of the Earth community.

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### ORGANIZATIONS

The Earth Charter Initiative, The Earth Council, P.O. Box 319-6100, San Jose, Costa Rica, (+506) 205-1600, (+506) 249-3500, [info@earthcharter.org](mailto:info@earthcharter.org), <http://www.earthcharter.org>

Steven C. Rockefeller

## Earth Day

The first Earth Day, April 22, 1970, attracted over twenty million participants in the United States. It launched the modern environmental movement and spurred the passage of several important environmental laws. It was the largest demonstration in history. People from all walks of life took part in marches, teach-ins, rallies, and speeches across the country. Congress adjourned so that politicians could attend hometown events, and cars were banned from New York's Fifth Avenue.

The event had a major impact on the nation. Following Earth Day, conservation organizations saw their memberships double and triple. Within months the Environmental Protection Agency (EPA) was created; Congress also revised the Clean Air Act, the Clean Water Act, and other environmental laws.



**A windmill and inflatable globe serve as visual props in encouraging ecology at a 1990 Earth Day celebration on the lawn of the Capitol Building, Washington, DC. (© Todd Gipstein/CORBIS)**

The concept for Earth Day began with United States Senator Gaylord Nelson, a Wisconsin Democrat, who in 1969 proposed a series of environmental teach-ins on college campuses across the nation. Hoping to satisfy a course requirement at Harvard by organizing a teach-in there, law student Denis Hayes flew to Washington, DC, to interview Nelson. The senator persuaded Hayes to drop out of Harvard and organize the nationwide series of events that were only a few months away. According to Hayes, Wednesday, April 22 was chosen because it was a weekday and would not compete with weekend activities. It also came before students would start "cramming" for finals, but after the winter thaw in the north.

Twenty years later, Earth Day anniversary celebrations attracted even greater participation. An estimated 200 million people in more than 140 nations were involved in events ranging from a concert and rally of over a million people in New York's Central Park, to a festival in Los Angeles that attracted 30,000, to a rally of 350,000 at the National Mall in Washington, DC.

Earth Day 1990 activities included planting trees; cleaning up roads, highways, and beaches; building bird houses; presenting ecology teach-ins; and recycling cans and bottles. A convoy of garbage trucks drove through the streets of Portland, Oregon, to dramatize the lack of

landfill space. Elsewhere, children wore gas masks to protest air pollution, others marched in parades wearing costumes made from recycled materials, and some even released ladybugs into the air to demonstrate alternatives to harmful pesticides. The gas-guzzling car that was buried in San Jose, California, during the first Earth Day was dug up and recycled.

Abroad, Berliners planted 10,000 trees along the East-West border. In Myanmar there were protests against the killing of elephants. Brazilians demonstrated against the destruction of their tropical rain forests. In Japan people demonstrated against disposable chopsticks, and 10,000 people attended a concert on an island built on reclaimed land in Tokyo Bay.

Denis Hayes, with help from hundreds of volunteers, also organized the 1990 Earth Day. This time, the event was well organized and funded; it was widely-supported by both environmentalists and the business community. The United Auto Workers Union sent Earth Day booklets to all of its members, the National Education Association sent information to almost every teacher in the country, and the Methodist Church mailed Earth Day sermons to more than 30,000 ministers.

The sophisticated advertising and public relations campaign, licensing of its logo, and sale of souvenirs provoked criticism that Earth Day had become too commercial. Even oil, chemical, and nuclear firms joined in and proclaimed their love for nature. But Hayes defended the approach as necessary to maximize interest and participation in the event, to broaden its appeal, and to launch a decade of environmental activism that would force world leaders to address the many threats to the planet. He also pointed out that, although foundations, corporations, and individuals had donated \$3.5 million, organizers turned down over \$4 million from companies that were thought to be harming the environment.

The thirty-year anniversary of the event in 2000 was also organized by Hayes. Unfortunately, it did not produce the large numbers of the prior anniversary celebration. The movement had reached more than 5,000 environmental groups who helped organize local rallies, and hundreds of thousands of people met in Washington to hear political, environmental, and celebrity speakers.

Hayes believes that the long-term success of Earth Day in securing a safe future for the planet depends on getting as many people as possible involved in environmentalism. The fortieth annual celebration of

Earth Day was held in 2010. More than one billion people worldwide took part in their local Earth-Day-related events.

## Resources

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Lewis G. Regenstein

## Earth First!

Earth First! is a radical and often controversial environmental group founded in 1979 in response to what Dave Foreman and other founders believed to be the increasing co-optation of the environmental movement. For Earth First! members, too much of the environmental movement has become lethargic, compromising, and corporate in its orientation. To avoid a similar fate, Earth First! members have restricted their use of traditional fund-raising techniques and have sought a non-hierarchical organization with neither a professional staff nor formal leadership.

A movement established by and for self-acknowledged environmental hardliners, Earth First!'s general stance is reflected in its slogan, "No compromise in the defense of Mother Earth." Its policy positions are based upon principles of deep ecology and in particular on the group's belief in the intrinsic value of all natural things. Its goals include preserving all remaining wilderness, ending environmental degradation of all kinds, eliminating major dams, establishing large-scale ecological preserves, slowing and eventually reversing human population growth, and reducing excessive and environmentally harmful consumption.

Combining biocentrism with a strong commitment to activism, Earth First! does not restrict itself to lobbying, lawsuits, and letter-writing, but also employs direct action, civil disobedience, "guerrilla theater," and other confrontational tactics, and in fact is probably



Members of the environmental group Earth First! hold protest banners on May 1, 1990, in Oregon. Logging of old-growth or “ancient” forests in the Pacific Northwest has triggered high-stake public struggles over policy and management practices. (Photo by Stephen Ferry/Liaison/Getty Images.)

best known for its various clashes with the logging industry, particularly in the Pacific Northwest. Earth First! members and sympathizers have been associated with controversial tactics including the chopping down of billboards and monkey-wrenching, which includes pouring sand in bulldozer gas tanks, spiking trees, sabotaging drilling equipment, and so forth. In 1992 the more radical Earth Liberation Front formed from former members of Earth First! after the organization moved away from potentially violent or destructive criminal acts as a means of direct action. Earth First! asserts that acts of civil disobedience are their preferred method of direct action.

Earth First! encourages people to respect species and wilderness, to refrain from having children, to recycle, to live simpler, less destructive lives, and to engage in civil disobedience to thwart environmental destruction. During the summer of 1990, the group sponsored its most noted event, “Redwood Summer.” Activists from around the United States gathered in the Northwest to protest large-scale logging operations, to

call attention to environmental concerns, to educate and establish dialogues with loggers and the local public, and to engage in civil disobedience.

Earth First! also sponsors a Biodiversity Project for protecting and restoring natural ecosystems. Its Ranching Task Force educates the public about the consequences of overgrazing in the American West. The Grizzly Bear Task Force focuses on the preservation of the grizzly bear in the Rockies and the reintroduction of the species to its historical range throughout North America. Earth First!’s wider Predator Project seeks the restoration of all native predators to their respective habitats and ecological roles. Other Earth First! projects seek to defend redwoods and other native forests, encourage direct action against the fur and construction industries, intervene in government-sponsored wolf-control programs in the United States and Canada, protest government and business decisions that have environmentally destructive consequences for tropical rain forests, campaign against genetically modified foods, protest the export of e-waste to

developing nations, and advocate for alternative energy development.

## Resources

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Lawrence J. Biskowski

## Earth Island Institute

The Earth Island Institute (EII) was founded by David Brower in 1982 as a nonprofit organization dedicated to developing innovative projects for the conservation, preservation, and restoration of the global environment. In its earliest years, the Institute worked primarily with a volunteer staff and concentrated on projects like the first Conference on the Fate of the Earth, publication of *Earth Island Journal*, and the production of films about the plight of indigenous peoples. In 1985 and again in 1987, EII expanded its facilities and scope, opening office space and providing support for a number of allied groups and projects. Its membership now numbers approximately 35,000.

EII conducts research on, and develops critical analyses of, a number of contemporary issues. With sponsored projects ranging from saving sea turtles to encouraging land restoration in Central America, EII does not restrict its scope to traditionally “environmental” goals but rather pursues what it sees as ecologically-related concerns such as human rights, economic development of the Third World, economic conversion from military to peaceful production, and inner-city poverty, among others. But much of its mission is to be an environmental educator and facilitator. In that role EII sponsors or participates in numerous programs designed to provide information, exchange viewpoints and strategies, and coordinate efforts of various groups. EII even produces

music videos as part of its environmental education efforts.

EII is perhaps best known for its efforts to halt the use of drift nets by tuna boats, a practice that is often fatal to large numbers of dolphins. After an EII biologist signed on as a crew member aboard a Latin American tuna boat and documented the slaughter of dolphins in drift nets, EII brought a lawsuit to compel more rigorous enforcement of existing laws banning tuna caught on boats using such nets. EII also joined with other environmental groups in urging a consumer boycott of canned tuna. These efforts were successful in persuading the three largest tuna canners to pledge not to purchase tuna caught in drift nets. The monitoring of tuna fishing practices is an ongoing EII project.

EII also sponsors a wide variety of other projects, some of which have now spun off to become independent organizations. For instance, Baikal Watch works for the permanent protection of biologically unique Lake Baikal, Russia. Energy Action is another project, supporting the youth and student clean-energy movement in North America. EII founded the Sea Turtle Restoration Project, now an independent organization, which investigates threats to the world's endangered sea turtles, organizes and educates United States citizens to protect the turtles, and works with Central American sea turtle restoration projects. The Rain Forest Action Network campaigns for the forests and their inhabitants through education and non-violent direct action. Meanwhile, The Urban Habitat Program develops multicultural environmental leadership and organizes efforts to restore urban neighborhoods. Both were founded by IEE and are now independent.

EII administers a number of funds designed to support creative approaches to environmental conservation, preservation, and restoration to support activists exploring the use of citizen suit provisions of various statutes to enforce environmental laws and to help develop a Green political movement in the United States. EII also sponsors several international conferences, exchange programs, and publication projects in support of various environmental causes.

## Resources

### ORGANIZATIONS

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Lawrence J. Biskowski

## Earth Liberation Front

Earth Liberation Front (ELF) is a grassroots environmental group that the Federal Bureau of Investigation (FBI) labeled “a serious terrorism threat.” Founded in the United Kingdom in 1992, ELF is dedicated to using economic sabotage and vandalism to prevent perceived exploitation of the environment. Since 1996 ELF and the Animal Liberation Front (ALF) have committed thousands of acts of vandalism that resulted in tens of millions of dollars in damage.

James Jarboe, the FBI section chief for Domestic Terrorism, told Congress that it was hard to track down ELF and ALF members because the two groups have little organized structure. According to the ELF link on the ALF Web site, there is no designated leadership nor formal membership. ELF and ALF claim responsibility for their activities by e-mail, fax, and other communications usually sent to the media.

Media information about ELF attacks is provided by the North American Earth Liberation Front Press

Office, which was relaunched in October 2008. The press office receives anonymous communiqués from ELF and further distributes them. The press office claims that it does not know the identities of any ELF members and merely disseminates information received anonymously from ELF activists.

ELF describes itself as an international underground organization dedicated to stopping “the continued destruction of the natural environment.” Members join anonymous cells that may consist of one person or more. Members of one cell do not know the identity of members in other cells, a structure that prevents activists in one cell from being compromised should members in another cell become disaffected. People act on their own and carry out actions following anonymous ELF postings.

ELF postings include guidelines for taking action. One guideline is to inflict “economic damage on people who profit from the destruction and exploitation of the natural environment.” Another guideline is to reveal and educate the public about the “atrocities” committed against the environment. The third



Hooded and masked protesters, some proclaiming to be supporters of the Earth Liberation Front, march in Portland, Oregon, in 2002. (AP Photo/Don Ryan.)

guideline is to take all needed precautions against harming any animal, human and non-human.

ELF is an outgrowth of Earth First!, a group formed during the 1980s to promote environmental causes. Earth First! held protests and civil disobedience events, according to the FBI. In 1984 Earth First! members began a campaign of “tree spiking” to prevent loggers from cutting down trees. Members inserted metal or ceramic spikes into trees. The spikes damaged saws when loggers tried to cut down trees.

When Earth First! members in Brighton, England, disagreed with proposals to make their group more mainstream, radical members of the group founded the Earth Liberation Front in 1992. The following year ELF aligned itself with ALF.

The Animal Liberation Front was started in Great Britain during the mid-1970s. The loosely organized movement had the goal of ending animal abuse and exploitation of animals. An American ALF branch was started in the late 1970s. According to the FBI, people became members by participating in “direct action” activities against companies or people using animals for research or economic gain. ALF activists targeted animal research laboratories, fur companies, mink farms, and restaurants.

ELF and ALF declared mutual solidarity in a 1993 announcement. The following year the San Francisco branch of Earth First! recommended that the group move away from ELF and its unlawful activities. ELF calls its activities “monkeywrenching,” a term that refers to actions such as tree spiking, arson, sabotage of logging equipment, and property destruction.

In a forty-three-page document titled “Year End Report for 2001,” ELF and ALF claimed responsibility for sixty-seven illegal acts that year. ELF claimed sole credit for setting a 2002 fire that caused \$5.4 million in damage to a University of Washington horticulture building. The group also took sole credit for a 1998 fire set at a Vail, Colorado, ski resort. Damage totaled \$12 million for the arson that destroyed four ski lifts, a restaurant, a picnic facility, and a utility building, according to the FBI.

ELF issued a statement after the arson saying that the fire was set to protect the lynx, which was being reintroduced to the Rocky Mountains. “Vail, Inc. is already the largest ski operation in North America and now wants to expand even further. This action is just a warning. We will be back if this greedy corporation continues to trespass into wild and unroaded areas,” the statement said.

In 2002 ELF also claimed credit for a fire that caused \$800,000 in damage at the University of Minnesota Microbial Plant and Genomics Center. ELF targeted the genetic crop laboratory because of its efforts to “control and exploit” nature. In August 2003 ELF activists set fire to a condominium project in San Diego, California, causing \$50 million in damages. In March 2009, ELF arsonists burned four suburban houses near Seattle, Washington, causing \$7 million in damages.

“Eco-terrorism” is the term used by the FBI to define illegal activities related to ecology and the environment. These activities involve the “use of criminal violence against innocent victims or property by an environmentally-oriented group.”

Although ELF members are difficult to track, several arrests have been made. In February 2001 two teen-age boys pleaded guilty to setting fires at a home construction site in Long Island, New York. In December of that year, a man was also charged with spiking 150 trees in Indiana state forests. In his Congressional testimony, Jarboe said that cooperation among law enforcement agencies was essential to responding efficiently to eco-terrorism. In December 2005 and January 2006, an FBI investigation, Operation Backfire, resulted in the indictment and arrest of eighteen ecoterrorists. The North American Earth Liberation Front Press Office reported that eleven of these individuals claimed to be ELF activists.

## Resources

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### ORGANIZATIONS

Federal Bureau of Investigation, 935 Pennsylvania Ave., Washington, DC, USA, (202) 324-3000, <http://www.fbi.gov>

North American Earth Liberation Front Press Office, James Leslie Pickering, P.O. Box 14098, Portland, OR, USA, 97293, (503) 804-4965, [elfpress@tao.ca](mailto:elfpress@tao.ca), <http://www.animalliberation.net/library/facts/elf>

Liz Swain

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## Earth Pledge Foundation

Created in 1991 by attorney Theodore W. Kheel, the Earth Pledge Foundation (EPF) is concerned with the impact of technology on society. Recognizing the often delicate balance between economic growth and

environmental protection, EPF encourages the implementation of sustainable practices, especially in community development, tourism, cuisine, and architecture.

As a result of the United Nations Earth Summit (Rio de Janeiro, 1992), the UN pledged its commitment to the principles of sustainable development—to foster “development meeting the needs of the present without compromising the ability of future generations to meet their own needs.”

Created for the summit in support of the principles, the Earth Pledge was prominently displayed throughout the event. Heads of state, ambassadors, delegates, and prominent dignitaries from around the world stood in line to sign their names on a large Earth Pledge board. Since the Summit, millions have taken the Earth Pledge: “Recognizing that people’s actions towards nature and each other are the source of growing damage to the environment and to resources needed to meet human needs and ensure survival, I pledge to act to the best of my ability to help make the Earth a secure and hospitable home for present and future generations.”

In early 1996 Earth Pledge created the Business Coalition for Sustainable Cities (BCSC) to influence the development of cities as centers of commerce, employment, recreation, and settlement. Chaired by William L. Lurie, former president of The Business Roundtable, the BCSC provides business leaders a forum to address issues of major importance to our cities in ways that ensure economic viability, while promoting respect for the environment. One event sponsored by the BCSC was a seven-course dinner prepared by twelve of the nation’s most environmentally-conscious chefs to show that restaurants, one of the largest industries and employers, can practice the principles of sustainable cuisine. The BCSC hosted the event with the theme that good food can be well-prepared without adversely impacting health, culture, or environment. This theme was elaborated on in 2000 when the Sustainable Cuisine Project was established to develop and teach cooking classes.

One of the most significant developments from Earth Pledge was the creation of the web site, farm to table. This web site highlights local farmers of the New York region and give consumers a direct link to fresh food news. More recently, Earth Pledge set up the Farmers Education Fund to support farmers in transitioning to methods of planting, growing, and harvesting that have lower environmental impact.

Earth Pledge has also formed a number of alliances to further their goals with groups such as The Foundation for Prevention and Resolution of Conflict (PERC, founded by Theodore Kheel), the United

Nations Environmental Programme (UNEP), EarthKind International and the New England Aquarium.

As a joint effort with the New England Aquarium, EPF sponsors a marine awareness project that educates people on the importance of coastlines and aquatic resources as well as the sustainable development of the world’s cities. The project emphasizes that many countries have water shortages due to inefficient use of their water supply, degradation of their water by pollution and unsustainable usage of groundwater resources.

In late 1995 Earth Pledge co-sponsored the first Caribbean Conference on Sustainable Tourism with the UN Department for Policy Coordination and Sustainable Development, EarthKind International, and UNEP. The conference brought together officials from government and business to discuss strategies for developing a healthy tourist economy, sound infrastructure, environmental protection, and community participation.

The foundation has constructed an environmentally sensitive building, Foundation House, to display their solutions for improving air quality and energy efficiency. Sustainable features include heating, cooling, and lighting systems that minimize consumption of fossil fuels, increased ventilation and use of natural daylight, an auditorium for conferences with Internet access and a computer lab for training. The Foundation House houses exhibits, including one on enhancing efficiency in the workplace for the benefit of the staff.

Earth Pledge continues to develop smaller organizations and promote companies that participate in sustainable practices.

## Resources

### ORGANIZATIONS

Earth Pledge Foundation, 122 East 38th Street, New York, NY, USA, 10016, (212) 725-6611, (212) 725-6774, <http://www.earthpledge.org>

Nicole Beatty

Earth Summit see **United Nations Earth Summit (1992)**.

## Earthquake

Major earthquakes typically strike both populated and unpopulated areas of the world every year, killing hundreds, injuring thousands, and causing hundreds

of millions of dollars in damage. Despite millions of dollars and decades of research, seismologists (scientists who study earthquakes) are still unable to predict precisely when and where an earthquake will happen.

An earthquake is a geological event in which rock masses below the surface of the earth suddenly shift, releasing energy and sending out strong vibrations to the surface. Most earthquakes are caused by movement along a fault line, which is a fracture in the earth's crust. Thousands of earthquakes happen each day around the world, but most are too small to be felt.

Earth is covered by a crust of rock that is broken into numerous plates, sometimes referred to as tectonic plates. The plates float on a layer of molten (liquid) rock within the earth called the mantle. This molten rock moves and flows, and this movement is thought to cause the shifting of the plates. When plates move, they either slide past, bump into, overrun, or pull away from each other. The movement of plates is called plate tectonics. Boundaries between plates are called faults.

Earthquakes can occur when any of the four types of movement take place along a fault. Earthquakes

along the San Andreas and Hayward faults in California occur because two plates slide past one another. Earthquakes also occur if one plate overruns another. When this happens one plate is pushed under the other plate, as on the western coast of South America, the northwest coast of North America, and in Japan. If plates collide but neither is pushed downward, as they do crossing Europe and Asia from Spain to Vietnam, earthquakes result as the plates are pushed into each other and are forced upward, creating high mountain ranges. Many faults at the floor of the ocean are between two plates moving apart. Many earthquakes with centers at the floor of the ocean are caused by this kind of movement.

The relative size of earthquakes is determined by the Richter Scale, which measures the energy an earthquake releases. Each whole number increase in value on the Richter scale indicates a ten-fold increase in the energy released and a thirty-fold increase in ground motion. An earthquake measuring 8 on the Richter scale is ten times more powerful, therefore, than an earthquake with a Richter magnitude of 7. Another



Man crawling through a building in downtown Port-au-Prince, Haiti, that was demolished from the 7.0 earthquake that hit Haiti on January 12, 2010. (Julie Dermansky)

scale, called the Mercalli Scale, uses observations of damage (such as fallen chimneys) or people's assessments of effects (such as mild or severe ground shaking) to describe the intensity of a quake. The Richter Scale is open-ended, while the Mercalli scale ranges from 1–12.

Catastrophic earthquakes happened just as often in the past as they do today. Earthquakes shattered stone-walled cities in the ancient world, sometimes hastening the ends of civilizations. Earthquakes destroyed Knossos, Chatusas, and Mycenae, ancient cities in Europe located in tectonically active mountain ranges. Scribes have documented earthquakes in the chronicles of ancient countries.

Many faults are located in California because two large plates are sliding past each other there. Of the fifteen largest recorded earthquakes ever to hit the continental United States, eight have occurred in California, according to the United States Geological Survey (USGS). The San Francisco earthquake of 1906 is perhaps the most famous. It struck on April 4, 1906, killing an estimated 3,000 people, injuring thousands more, and causing \$524 million in property loss. Many of the casualties and much of the damage resulted from the ensuing fires. This earthquake registered a 7.7 magnitude on the Richter Scale and 11 on the Mercalli Scale. Four other devastating earthquakes have occurred in California since the 1906 San Francisco quake, with all four occurring in the twentieth century: 1933 in Long Beach, 1971 in the San Fernando Valley, 1989 in the San Francisco Bay area, and 1994 in Los Angeles.

The Long Beach earthquake struck on March 10, 1933, killing 120, injuring hundreds, and causing more than \$50 million in property damage. It led to the passage of the state's Field Act, which established strict building code standards designed to make structures better able to withstand strong earthquakes.

Centered about 30 miles (48 km) north of downtown Los Angeles, the San Fernando earthquake killed 65, injured more than 2,000, and caused an estimated \$505 million in property damage. The quake hit on February 9, 1971, and registered 6.5 on the Richter Scale and 11 on the Mercalli Scale. Most of the deaths occurred when the Veterans Administration Hospital in San Fernando collapsed.

The Loma Prieta earthquake occurred on October 18, 1989, in the Santa Cruz Mountains about 62 miles (100 km) south of San Francisco. It killed 63, injured 3,757, and caused an estimated \$6 billion in property damage, mostly in San Francisco, Oakland, and Santa Cruz. The earthquake was a 6.9 on the Richter Scale and 9 on the Mercalli Scale.

The Northridge earthquake that struck Los Angeles on January 17, 1994, killed 72, injured 11,800, and caused an estimated \$40 billion in damage. It registered 6.7 on the Richter Scale and 9 on the Mercalli Scale. It was centered about 30 miles (48 km) northwest of downtown Los Angeles.

Many earthquakes occurred in California in the early twenty-first century, but none (as of late 2010) created anywhere near the deaths and property damage as the five major earthquakes between 1906 and 1994. However, the 2010 Baja California earthquake (also called the 2010 Easter earthquake) was the strongest earthquake to hit southern California in almost two decades. Initially estimating its magnitude as 6.9 on the Richter Scale, the United States Geological Survey (USGS) later upgraded the 2010 Easter earthquake to 7.2. Though the epicenter was south of the U.S. border in the Baja region of Mexico, the earthquake was sufficiently massive that it was felt throughout much of the southwestern United States. The National Aeronautics and Space Administration (NASA) reported that the quake actually moved the Mexican city of Calexico, which is situated at the U.S.-Mexican border, southwards some 2.5 feet (0.8 m). Although four deaths and some property damage were attributed to the earthquake, many more deaths and much greater property damage would have occurred had the 2010 Easter earthquake struck further north in the more populated areas of California.

In the past 100 years, Alaska has had many more severe earthquakes than California. However, they have occurred in mostly sparsely populated areas, so deaths, injuries, and property damage have been light. Of the fifteen strongest earthquakes ever recorded in the fifty states, ten have been in Alaska, with the strongest registering a 9.2 (the second strongest ever recorded in the world) on the Richter Scale and 12 on the Mercalli Scale. It struck the Anchorage area on March 28, 1964, killing 125 (most from a tsunami caused by the earthquake), injuring hundreds, and causing \$311 million in property damage.

The strongest earthquake ever recorded in the world registered 9.5 on the Richter scale and 12 on the Mercalli Scale. It occurred on May 22, 1960, and was centered off the coast of Chile. It killed 2,000, injured 3,000, and caused \$675 million in property damage. A resulting tsunami caused death, injuries, and significant property damage in Hawaii, Japan, and the West Coast of the United States.

Every major earthquake raises the question of whether scientists will ever be able to predict exactly when and where one will strike. Today, scientists can

only make broad predictions. For example, scientists believe there is at least a 50 percent chance that a devastating earthquake will strike somewhere along the San Andreas fault within the next 100 years. A more precise prediction is not yet possible. However, scientists in the United States and Japan are working on ways to make predictions more specific.

Ultra-sensitive instruments placed across faults at the surface can measure the slow, almost imperceptible movement of fault blocks. This measurement records the great amount of potential energy stored at the fault boundary. In some areas small earthquakes called foreshocks that precede a larger earthquake may help seismologists predict the larger earthquake. In other areas where seismologists believe earthquakes should be occurring but are not, this discrepancy between what is expected and what is observed may be used to predict an inevitable large-scale earthquake.

Other instruments measure additional fault-zone phenomena that seem to be related to earthquakes. The rate at which radon gas issues from rocks near faults has been observed to change before an earthquake. The properties of the rocks themselves (such as their ability to conduct electricity) also changed as the tectonic forces exerted on them slowly alters the rocks of the fault zone between earthquakes. Unusual animal behavior has been reported before many earthquakes, and research into this phenomenon is a legitimate area of scientific inquiry, even though no definite answers have been found.

Techniques of studying earthquakes from space are also being explored. Scientists have found that ground displacements cause waves in the air that travel into the ionosphere and disturb electron densities. By using the network of satellites and ground stations that are part of the Global Positioning System (GPS), and data about the ionosphere that is being collected, scientists may better understand the energy released from earthquakes. This may help scientists to predict them.

According to the USGS, several million earthquakes occur each year, with most being small in size and magnitude. On average globally, about 140 earthquakes above magnitude 6.0 are expected each year. About 700 earthquakes each year are strong enough and located close enough to a populated area to cause reportable damage.

In January 12, 2010, a 7.0 magnitude earthquake in Haiti killed an estimated 250,000 to 300,000 people, and left around one million people homeless. In February 2010, a powerful magnitude 8.8 quake in central Chile killed at least 450 people. In April 2010 the death toll quickly surpassed 2,000 people following a 6.9

magnitude earthquake near Jiegu, a town located in the remote Tibetan Qinghai province of China.

The 2010 earthquakes followed other recent major quakes. In 2009 a 6.3 magnitude earthquake damaged historical sites in L'Aquila, Italy, while killing 300 people and leaving tens of thousands homeless. The 7.8 magnitude Sichuan earthquake in May 2008 killed 87,000 people. In October 2005 an 7.6 magnitude earthquake struck in north Pakistan, killing 73,000 people.

As in the case of the December 2004 Indian Ocean tsunami that killed an estimated 220,000 to 250,000 people, undersea earthquakes can result in large and destructive waves known as tsunamis.

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National Earthquake Information Center, P.O. Box 25046, DFC, MS 967, Denver, CO, USA, 80225, (303) 273-8500, (303) 273-8450, [sedas@neis.cr.usgs.gov](mailto:sedas@neis.cr.usgs.gov), <http://www.neic.usgs.gov>

Ken R. Wells

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## Earthwatch

Earthwatch is a non-profit institution that provides paying volunteers to help scientists around the world conduct field research on environmental and cultural projects. It is one of the world's largest private sponsors of field research expeditions. Its mission is "to improve human understanding of the planet, the diversity of its inhabitants, and the processes which affect the quality of life on earth" by working "to sustain the world's environment, monitor global change, conserve endangered habitats and species, explore the vast heritage of

our peoples, and foster world health and international cooperation.”

The group carries out its work by recruiting volunteers to serve in an environmental EarthCorps and to work with research scientists on important environmental issues. The volunteers, who pay to join expeditions (usually one to three weeks in duration) to the far corners of the globe, gain valuable experience and knowledge on situations that affect the earth and human welfare.

Earthwatch has sponsored thousands of projects around the world. Research teams address such topics as tropical rain forest ecology and conservation; marine studies (ocean ecology); geosciences (climatology, geology, oceanography, glaciology, volcanology, paleontology); life sciences (wildlife management, biology, botany, ichthyology, herpetology, mammalogy, nithology, primatology, zoology); social sciences (agriculture, economic anthropology, development studies, nutrition, public health); and art and archaeology (architecture, archaeoastronomy, ethnomusicology, folklore).

No special skills are needed to be part of an expedition, and special teen expeditions are available. Scholarships for students and teachers are also available. Earthwatch’s affiliate, The Center for Field Research, receives several hundred grant applications and proposals every year from scientists and scholars who need volunteers to assist them on study expeditions.

Earthwatch maintains an active online site with multiple offices located around the world.

## Resources

### ORGANIZATIONS

Earthwatch, 3 Clock Tower Place, Suite 100, Box 75, Maynard, MA, USA, 01754, (978) 461-0081, (978) 461-2332, (800) 776-0188, [info@earthwatch.org](mailto:info@earthwatch.org), <http://www.earthwatch.org>

Lewis G. Regenstein

## Eastern European pollution

Between 1987 and 1992 the disintegration of Communist governments of Eastern Europe allowed the people and press of countries from the Baltic to the Black Sea to recount tales of life-threatening pollution and disastrous environmental conditions in which they lived. Villages in Czechoslovakia were black and barren



Exterior of the Nowa Huta steelworks, near Cracow, Poland. (Simon Fraser/Photo Researchers, Inc.)

because of acid rain, smoke, and coal dust from nearby factories. Drinking water from Estonia to Bulgaria was tainted with toxic chemicals and untreated sewage. Polish garden vegetables were inedible because of high lead and cadmium levels in the soil. Chronic health problems were endemic to much of the region, and none of the region’s new governments had the necessary cash to alleviate their environmental liabilities.

The air, soil, and water pollution exposed by new environmental organizations and by a newly vocal press had its roots in Soviet-led efforts to modernize and industrialize Eastern Europe after 1945. (Often the term “Central Europe” is used to refer to Poland, Czech Republic, Slovakia, Hungary, Yugoslavia, and Bulgaria, and “Eastern Europe” to refer to the Baltic states, Belarus, and Ukraine. For the sake of simplicity, the latter term is used for all these states.) Following Stalinist theory that modernization meant industry, especially heavy industries such as coal mining, steel production, and chemical manufacturing, Eastern European leaders invested heavily in industrial growth. Factories were often built in resource-poor areas, as in

traditionally agricultural Hungary and Romania, and they rarely had efficient or clean technology. Production quotas generally took precedence over health and environmental considerations, and billowing smokestacks were considered symbols of national progress. Emission controls on smokestacks and waste effluent pipes were, and are, rare. Soft, brown lignite coal, cheap and locally available, was the main fuel source. Lignite contains up to 5 percent sulfur and produces high levels of sulfur dioxide, nitrogen oxides, particulates, and other pollutants that contaminate air and soil in population centers, where many factories and power plants were built. The region's water quality also suffers, with careless disposal of toxic industrial wastes, untreated urban waste, and runoff from chemical-intensive agriculture.

By the 1980s the effects of heavy industrialization began to show. Dependence on lignite coal led to sulfur dioxide levels in Czechoslovakia and Poland eight times greater than those of Western Europe. The industrial triangle of Bohemia and Silesia had Europe's highest concentrations of ground-level ozone, which harms human health and crops. Acid rain, a result of industrial air pollution, had destroyed or damaged half of the forests in the former East Germany and the Czech Republic. Cities were threatened by outdated factory equipment and aging chemical storage containers and pipelines, which leaked chlorine, aldehydes, and other noxious gases. People in cities and villages experienced alarming numbers of birth defects and short life expectancies. Economic losses, from health care expenses, lost labor, and production inefficiency further handicapped hard-pressed Eastern European governments.

Popular protests against environmental conditions crystallized many of the movements that overturned Eastern and Central European governments. In Latvia, exposure to petrochemical poisoning and on environmental consequences of a hydroelectric project on Daugava River sparked the Latvian Popular Front's successful fight for independence. Massive campaigns against a proposed dam on the Danube River helped ignite Hungary's political opposition in 1989. In the same year, Bulgaria's Ecoglasnost group held Sofia's first non-government rally since 1945. The Polish Ecological Club, the first independent environmental organization in Eastern Europe, assisted the Solidarity movement in overturning the Polish government in the mid-1980s.

Citizens of these countries rallied around environmental issues because they had first-hand experience with the consequences of pollution. In Espenhain, of former East Germany, 80 percent of children developed chronic bronchitis or heart ailments before

they were eight years old. Studies showed that up to 30 percent of Latvian children born in 1988 may have suffered from birth defects, and both children and adults showed unusually high rates of cancer, leukemia, skin diseases, bronchitis, and asthma. Czech children in industrial regions had acute respiratory diseases, weakened immune systems, retarded bone development, and high concentrations of lead and cadmium in their hair. In the industrial regions of Bulgaria, skin diseases were seven times more common than in cleaner areas, and cases of rickets and liver diseases were four times as common. Much of the air and soil contamination that produced these symptoms remains today and continues to generate health problems. In the area surrounding a lead smelting facility in Copsa Mica, Romania, life expectancy remains nine years below the national average and 96 percent of children have bronchitis or other respiratory diseases. A 2006 report by the Czech government noted that air pollution affects the health of 60 percent of Czech citizens.

Water pollution is at least as threatening as air and soil pollution. Many cities and factories in the region have no facilities for treating wastewater and sewage. Existing treatment facilities are usually inadequate or ineffective. Toxic waste dumps containing old and rusting barrels of hazardous materials are often unmonitored or unidentified. Chemical leaching from poorly monitored waste sites threatens both surface water and groundwater, and water clean enough to drink has become a rare commodity. In Poland untreated sewage, mine drainage, and factory effluents make much of Poland's surface water and groundwater unsafe for drinking. Cleanup of Poland's water supplies was slow. More than a decade after the fall of Communism, at least half of Polish rivers were too polluted, by government assessment, even for industrial use.

Few pollution problems are geographically restricted to the country in which they were generated. Shared rivers and aquifers and regional weather patterns carry both air-borne and water-borne pollutants from one country to another. The Chernobyl nuclear reactor disaster, which spread radioactive gases and particulates from Belarus across northern Europe and the Baltic Sea to northern Norway and Sweden is one infamous example of trans-border pollution, but other examples are common. Toxic wastes flowing into the Baltic Sea from Poland's Vistula River continue to endanger fisheries and shoreline habitats in Sweden, Germany, and Finland.

The Danube River is a particularly critical case. Accumulating and concentrating urban and industrial waste from Vienna to the Black Sea, this river supports

industrial complexes of Austria, Czech Republic, Hungary, Croatia, Serbia, Bulgaria, and Romania. Before the Danube leaves Budapest, it is considered unsafe for swimming. Like other rivers, the Danube flows through a series of industrial cities and mining regions, uniting the pollution problems of several countries. Each city and farm along the way uses the contaminated water and contributes some pollutants of its own. Also like other rivers, the Danube carries its toxic load into the sea, endangering the marine environment.

Western countries from Sweden to the United States have their share of pollution and environmental disasters. The Rhine and the Elbe have disastrous chemical spills like those on the Danube and the Vistula. Like recent communist regimes, most western business leaders would prefer to disregard environmental and human health considerations in their pursuit of production quotas. Yet several factors set apart environmental conditions in Eastern Europe. Aside from its aged and outdated equipment and infrastructure, Eastern Europe is handicapped by its compressed geography, intense urbanization near factories, a long-standing lack of information and accurate records on environmental and health conditions, and severe shortages of clean-up funds.

Eastern Europe's dense settlement crowds all the industrial regions of the Baltic states, Poland, the Czech and Slovak republics, and Hungary into an area considerably smaller than Texas but with a much higher population. This industrial zone lies adjacent to crowded manufacturing regions of Western Europe. In this compact region, people farm the same fields and live on the same mountains that are stripped for mineral extraction. Cities and farms rely on aquifers and rivers that receive factory effluent and pesticide runoff immediately upstream. Furthermore, post-1945 industrialization gathered large labor forces into factory towns more quickly than adequate infrastructure could be built. Expanding urban populations had little protection from the unfiltered pollutants of nearby furnaces. Although many Eastern Europeans were eyewitnesses to environmental transgressions, little public discussion about the problem was possible. Official media disliked publicizing health risks or the destruction of forests, rivers, and lakes. Those statistics that existed were often unreliable. Air- and water-quality data were collected and reported by industrial and government officials, who could not afford bad test results.

Now that environmental conditions are being exposed, cleanup efforts remain hampered by a shortage of funding. Poland's long-term environmental

restoration may cost \$260 billion. Efforts to cut just sulfur dioxide emissions to Western standards would cost Poland about \$2.4 billion a year. Hungary plans to spend nearly \$6 billion on sewage treatment by 2013. Cleanup of oil and other toxic chemicals in the port of Ventspils, Latvia, is expected to cost \$1.5 billion. Air, soil, and water remediation in former East Germany received a boost from their western neighbors, but the bill is expected to run between \$40 and \$150 billion.

Ironically, East European leaders see little choice for raising this money aside from expanded industrial production. Meanwhile, business leaders urge production expansion for other capital needs. Some Western investment in cleanup work has begun, especially on the part of such countries as Sweden and Germany, which share rivers and seas with polluting neighbors. Already in 1989 Sweden had begun work on water-quality monitoring stations along Poland's Vistula River, which carries pollutants into the Baltic Sea. Capital necessary to purchase mitigation equipment, improve factory conditions, rebuild rusty infrastructure, and train environmental experts will probably be severely limited for decades to come, however.

Meanwhile, western investors are flocking to Eastern and Central Europe to build or rebuild business ventures for their own gain. The region is seen as one of quick growth and great potential. Manufacturers in heavy and light industries, automobiles, power plants, and home appliances are coming from Western Europe, North America, and Asia. From textile manufacturing to agribusiness, outside investors hope to reshape Eastern economies. Many Western companies are improving and updating equipment and adding pollution control devices. In a climate of uncertain regulation and rushed economic growth, however, it is not clear if the region's new governments will be able or willing to enforce environmental safeguards or if the new investors will take advantage of weak regulations and poor enforcement as did their predecessors. Yet, there have been encouraging signs through the first decade of the twenty-first century. Air-pollution data from the Czech Republic, Hungary, and Poland show ambient sulfur dioxide levels have fallen.

Membership of the European Union may be the instrument that Eastern Europe needs to help clean up its pollution. Ten new countries, including the Czech Republic, Slovakia, and Poland, joined the EU in 2004 and were followed by Romania and Bulgaria in 2007. To become EU members, these countries had to commit to integrating more than 200 directives and

regulations on environmental matters, including pollution, into national legislation. The EU has supported environmental improvements, including pollution clean up, in these countries with considerable technical, legal, and financial assistance.

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Mary Ann Cunningham

## Ebola

Ebola is a highly deadly viral disease that is hemorrhagic; that is, it involves the destruction of blood cells. As the disease progresses, the walls of blood vessels break down and blood gushes from every tissue and organ. The disease is caused by the Ebola virus, named after the river in Zaire (now the Democratic Republic of Congo) where the first known outbreak occurred in 1976.

The disease is extremely contagious and exceptionally lethal. Where a 10 percent mortality rate is considered high for most infectious diseases, Ebola can kill up to 90 percent of its victims, usually within only a few days after exposure. Direct contact with contaminated blood or bodily fluids seem to transmit the disease. Health personnel and caregivers are often the most likely to be infected. Even after a patient has died, preparing the body for a funeral can be deadly for families members.

The Ebola virus is one of two members of a family of RNA viruses called the Filoviridae. The other Filovirus causes Marburg fever, an equally contagious and lethal hemorrhagic disease, named after a German town where it was first contracted by laboratory workers who handled imported monkeys infected with the virus. Together with members of three other families (arenaviruses, bunyaviruses, and flaviviruses), these viruses cause a group of deadly, episodic diseases including Lassa fever, Rift Valley fever, Bolivian fever, and Hanta or Four-Corners fever (named after the region of the southwestern United States where it was first reported).

There are five known species of the Ebola virus. They are Bundibugyo, Sudan, Zaire, Côte d'Ivoire, and Reston. These first three species are the cause of the



**Workers wearing protective clothing lower the corpse of five-year-old Adamou, who died of Ebola in Mekambo, northeast Gabon in 2001. Adamou, who only had one name, was the fifth member of his family to catch the highly lethal disease during the Ebola outbreak. (AP Photo/Christine Nesbitt)**

majority of the outbreaks that have occurred prior to 2010, and which produce the pronounced mortality rate.

The viruses associated with most of these emergent, hemorrhagic fevers are zoonotic. That means a reservoir of pathogens, or disease-causing agents, naturally resides in an animal host or arthropod vector (insect that transmits disease). As of 2010 the specific host or vector for Ebola has not been definitively confirmed. The fruit bat appears to be a reservoir for the virus. But, whether fruit bats are the natural host is unclear. Monkeys and other primates can contract related diseases and appear to be other reservoirs. People who initially become infected with Ebola often have been involved in killing, butchering, and eating gorillas, chimps, or other primates. Why the viruses remain in their hosts for many years without

causing much more trouble than a common cold, but then erupt sporadically and unpredictably into terrible human epidemics, is a perplexing issue in environmental health.

The geographical origin for Ebola is unknown, but all recorded outbreaks have occurred in or around Central Africa, or in animals or people from this area. Ebola appears every few years in Africa. Confirmed cases have occurred in the Democratic Republic of the Congo, Gabon, Sudan, Uganda, and the Ivory Coast. No case of the disease in humans has ever been reported in the United States, but a variant called Ebola-Reston virus killed a number of monkeys in a research facility in Reston, Virginia. There probably are isolated cases in remote areas that go unnoticed. In fact, the disease may have been occurring in secluded villages deep in the jungle for a long time without outside attention.

As of 2010, the worst documented epidemic of Ebola in humans occurred in 1995, in Kikwit, Zaire (now the Democratic Republic of Congo). Although many more people died in Kikwit than in any other outbreak, in many ways, the medical and social effects of the epidemic there was typical of what happens elsewhere. The first Kikwit victim was a thirty-six-year-old laboratory technician named Kimfumu, who checked into a medical clinic complaining of a severe headache, stomach pains, fever, dizziness, weakness, and exhaustion. Surgeons did an exploratory operation to try to find the cause of his illness. They found the patient's gastrointestinal tract was necrotic (containing dying tissue) and putrefying. He bled uncontrollably, and within hours was dead. By the next day the five medical workers who had cared for Kimfumu, including an Italian nun who had assisted in the operation, showed similar symptoms, including high fevers, fatigue, bloody diarrhea, rashes, red and itchy eyes, vomiting, and bleeding from every body orifice, or opening. Less than forty-eight hours later, they, too, were dead, and the disease spread throughout the city of 600,000.

As panicked residents fled into the bush, government officials responded to calls for help by closing off all travel—including humanitarian aid—into or out of Kikwit, about 250 miles (400 km) from Kinshasa, the national capital. Fearful neighboring villages felled trees across the roads to seal off the pestilent city. No one dared enter houses where dead corpses rotted in the intense tropical heat. Boats plying the adjacent Kwilu River refused to stop to take on or discharge passengers or cargo. Food and clean water became scarce. Hospitals could hardly function as medicines and medical personal became scarce. Within a few weeks about 400 people in Kikwit had contracted the

disease, and at least 350 were dead. Eventually, the epidemic dissipated and disappeared.

This pattern of appearance has since been shown to be the norm for the very virulent types of Ebola. After an incubation period that can last up to three weeks, symptoms develop and progress very rapidly.

As of 2010 there is no vaccine or other antiviral drug available for Ebola to prevent or halt an infection, but research and experimental tests continue.

Several factors seem to be contributing to the appearance and spread of highly contagious diseases such as Ebola and Marburg fevers. With 6 billion people now inhabiting the planet, human densities are much higher, enabling germs to spread farther and faster than ever before. Expanding populations push people into remote areas where they encounter new pathogens and parasites. Environmental change is occurring on a larger scale: cutting forests, creating unhealthy urban surroundings, and causing global climate change, among other things. Elimination of predators and changes in habitats favor disease-carrying organisms such as mice, rats, cockroaches, and mosquitoes.

Another important factor in the spread of many diseases is the speed and frequency of modern travel. Millions of people go every day from one place to another by airplane, boat, train, or automobile. Very few places on earth are more than twenty-four hours by jet plane from any other place.

Tracking down the source of Ebola has been hampered by the sudden appearance and resolution of outbreaks, especially because the conventional response occurs after an outbreak has developed.

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William P. Cunningham

Ecoanarchism see **Ecoterrorism**.

## Ecocide

Any substance that enters an ecological system and kills a sufficient portion of a population of the ecosystem to disrupt its structure and function.

Many environmentalists cite the 2010 Hungarian toxic waste spill as an example of ecocide. In early October 2010 a massive and deadly industrial spill of highly alkaline, red-colored, toxic sludge from a ruptured industrial reservoir in western Hungary flooded nearby towns and flowed into tributaries leading to the Danube River. Waterways near the broken reservoir, including streams near Kolontar, the village nearest the spill and about 45 miles (75 km) from the Danube, reported massive fish kills. Observers also reported massive kills in all forms of aquatic life in the Marcal River, a smaller river that flows into the Raba River, which in turn, flows into the Danube.

## Ecofeminism

Coined in 1974 by the French feminist Françoise d’Eaubonne, ecofeminism, or ecological feminism, is a recent movement that asserts that the environment is a feminist issue and that feminism is an environmental issue. The term ecofeminism has come to describe two related movements operating at somewhat different levels: (1) the grassroots, women-initiated activism aimed at eliminating the oppression of women and nature; and (2) a newly emerging branch of philosophy that takes as its subject matter the foundational questions of meaning and justification in feminism and environmental ethics. The latter, more properly termed ecofeminist philosophy, stands

in relation to the former as theory stands to practice. Though closely related, there are important methodological and conceptual distinctions between action- and theory-oriented ecofeminism.

The ecofeminist movement developed from diverse beginnings, nurtured by the ideas and writings of a number of feminist thinkers, including Susan Griffin, Carolyn Merchant, Rosemary Radford Ruether, Ynestra King, Ariel Salleh, and Vandana Shiva. The many varieties of feminism (liberal, marxist, radical, socialist, etc.) have spawned as many varieties of ecofeminism, but they share a common ground. As described by Karren Warren, a leading ecofeminist philosopher, ecofeminists believe that there are important connections—historical, experiential, symbolic, and theoretical—between the domination of women and the domination of nature. In the broadest sense, then, ecofeminism is a distinct social movement that blends theory and practice to reveal and eliminate the causes of the dominations of women and of nature.

While ecofeminism seeks to end all forms of oppression, including racism, classism, and the abuse of nature, its focus is on gender bias, which ecofeminists claim has dominated western culture and led to a patriarchal, masculine value-oriented hierarchy. This framework is a socially constructed mindset that shapes beliefs, attitudes, values, and assumptions about ourselves and the natural world.

Central to this patriarchal framework is a pattern of thinking that generates normative dualisms. These are created when paired complementary concepts such as male/female, mind/body, culture/nature, and reason/emotion are seen as mutually exclusive and oppositional. As a result of socially entrenched gender bias, the more “masculine” member of each dualistic pair is identified as the superior one. Thus, a value hierarchy is constructed which ranks the masculine characteristics above the feminine (e.g., culture above nature, man above woman, reason above emotion). When paired with what Warren calls a “logic of domination,” this value hierarchy enables people to justify the subordination of certain groups on the grounds that they lack the superior or more valuable characteristics of the dominant groups. Thus, men dominate women, humans dominate nature, and reason is considered superior to emotion. Within this patriarchal conceptual framework, subordination is legitimized as the necessary oppression of the inferior. Until people reconceptualize themselves and their relation to nature in non-patriarchal ways, ecofeminists maintain, society will continue to denigrate women and nature.

Val Plumwood, an Australian ecofeminist philosopher, has traced the development of the oppression of women and the exploitation of nature to three points, the first two points sharing historical origins, the third having its genesis in human psychology. In the first of these historical women-nature connections, dualism has identified higher and lower halves. The lower halves, seen as possessing less or no intrinsic value when compared to their opposites, are dominated to serve the needs of the members of the “higher” groups. Thus, historically because of their shared traits, women and nature have been devalued and exploited to serve the needs of men and culture.

The second of these historical women-nature connections is said to have originated with the rise of mechanistic science before and during the Enlightenment period. According to some ecofeminists, dualism was not necessarily negative or hierarchical; however, the rise of modern science and technology, reflecting the transition from an organic to a mechanical view of nature, resulted in a new logic of domination. Rationality and scientific method became the accepted path to true knowledge, and individual needs became more important than community, resulting in exploitation.

A third connection between women and nature has its roots in human psychology. According to this theory men objectify and dominate because of sexually differentiated personality development. As a result of women’s roles in both creating and nurturing life, women develop softer ego boundaries than do men, and thus, they generally maintain their connectedness to other humans and to nature, a connection which is reaffirmed and recreated each generation. Men, on the other hand, psychologically separate both from their human mothers and from Mother Earth, a process that results in their desire to subdue both women and nature in a quest for individual potency and transcendence. Thus, sex differences in the development of self/other identity in childhood are said to account for women’s connectedness with, and men’s alienation from, both humanity and nature.

Ecofeminism has attracted criticism on a number of points. One is the implicit assumption in certain ecofeminist writings that there is some connection between women and nature that men either do not possess or cannot experience. And, why female activities such as birth and childcare should be construed as more “natural” than some traditional male activities remains to be demonstrated. This assumption, though, has left some ecofeminists open to charges of constructing a new value hierarchy to replace the old, rather than abandoning hierarchical conceptual frameworks altogether. Hints of hierarchical thinking can be found in such ecofeminist practices as goddess worship and in the

writings of some radical ecofeminists who advocate the abandonment of reason altogether in the search for an appropriate human-nature relationship. Rather than destroying gender bias, some ecofeminists are accused of merely attempting to reverse its polarity, possibly creating new, subtle forms of women’s oppression. Additionally, some would argue that ecofeminism runs the risk of oversimplification in suggesting that all struggles between dominator and oppressed are one and the same and thus can be won through unity.

Discussions of the similarities and differences between ecofeminism and deep ecology occupy a large portion of the theoretical literature on ecofeminism and environmental philosophy. While deep ecologists are primarily concerned with anthropocentrism (a human-centered world view) as the primary cause of the destruction of nature, ecofeminists point instead to androcentrism (a male-centered world view) as the key problem in this regard. Nevertheless, both groups aim for the expansion of the concept of “self” to include the natural world, for the establishment of a biocentric egalitarianism, and for the creation of connection, wholeness, and empathy with nature.

Ecofeminism has grown to encompass and influence other areas of environmental thought, including: feminist animal rights, feminist environmental ethics based on caregiving, feminist social ecology, and feminist bio-regionalism. Despite the apparent lack of a unified and overarching environmental philosophy, all forms of ecofeminism do share a commitment to developing ethics that do not sanction or encourage either the domination of any group of humans or the abuse of nature.

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Ann S. Causey

## Ecojustice

The concept of ecojustice has at least two different usages among environmentalists. The first refers to a general set of attitudes about justice and the environment, at the center of which is dissatisfaction with traditional theories of justice. With few exceptions (notably a degree of concern about excessive cruelty to animals), anthropocentric (human-centered) and egocentric Western moral and ethical systems have been unconcerned with individual plants and animals, species, oceans, wilderness areas, and other parts of the biosphere, except as they may be used by humans. In general, that which is non-human is viewed mainly as raw material for human uses, largely or completely without moral standing.

Relying upon holistic principles of biocentrism and deep ecology, the ecojustice alternative suggests that the value of non-human life-forms is independent of the usefulness of the non-human world for human purposes. Antecedents of this view can be found in sources as diverse as Eastern philosophy, Aldo Leopold's "land ethic," Albert Schweitzer's "reverence for life," and Martin Heidegger's injunction to "let beings be." The central idea of ecojustice is that ethical and moral reflection on justice should be expanded to encompass nature itself and its constituent parts and that human beings have an obligation to consider the inherent value of other living things whenever these living things are affected by human actions.

Some advocates of ecojustice base standards of just treatment on the evident capacity of many life-forms to experience pain. Others assert the equal inherent worth of all individual life-forms. More typically, environmental ethicists assert that all life-forms have at least some inherent worth, although perhaps not the same worth, and thus deserve moral consideration. The practical goals associated with ecojustice include fostering stability and diversity within and between self-sustaining ecosystems, harmony and balance in nature and within competitive biological systems, and sustainable development.

Ecojustice can also refer simply to the linking of environmental concerns with various social justice issues. The advocate of ecojustice typically strives to understand how the logic of a given economic system results in certain groups or classes of people bearing the brunt of environmental degradation. This entails, for example, concern with the frequent location of polluting industries and hazardous waste dumps near the economically disadvantaged (i.e., those with the least mobility and fewest resources to resist).

Ecojustice also involves the fostering of sustainable development in less-developed areas of the globe. It aims to prevent economic development that exports polluting industries and other environmental problems from wealthier nations to developing nations, especially if the industry will primarily benefit or serve consumers in the wealthier nations. An additional point of concern is the allocation of costs and benefits in environmental reclamation and preservation—for example, the preservation of Amazonian rain forests affects the global environment and may benefit the whole world, but the costs of this preservation fall disproportionately upon Brazil and the other countries of the region. An advocate of ecojustice would be concerned that the various costs and benefits of development be apportioned fairly.

See also Biodiversity; Environmental ethics; Environmental racism; Environmentalism; Holistic approach.

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Lawrence J. Biskowski

## Ecological consumers

Organisms that feed either directly or indirectly on producers, plants that convert solar energy into complex organic molecules. Primary consumers are animals that eat plants directly. They are also called herbivores. Secondary consumers are animals that eat other animals. They are also called carnivores. Consumers that consume both plants and animals are omnivores. Parasites are a type of consumer that lives in or on the plant or animal on which it feeds.

Detritivores (detritus feeders and decomposers) constitute a specialized class of consumers that feed on dead plants and animals.

*See also* Biotic community.

## Ecological economics

Although ecology and economics share the common root *eco-* (from Greek *Oikos* or household), these disciplines have tended to be at odds with each other in recent years over issues such as the feasibility of continued economic growth and the value of natural resources and environmental services.

Economics deals with resource allocation or tradeoffs between competing wants and needs. Economists focus on consumers and the products that meet the needs of those consumers. Furthermore, they are concerned with the time frame and manner in which these goods are produced. In mainstream, neoclassical economics, economists are usually concerned with the cost to obtain the things human consumers desire and the benefits that will be derived from those products.

According to classical economists, the costs of goods and services are determined by the interaction of supply and demand in the marketplace. If the supply of a particular commodity or service is high but the demand is low, the price will be low. If the commodity is scarce but everyone wants it, the price will be high. But high prices also encourage invention of new technology and substitutes that can satisfy the same demands. The cyclic relationship of scarce resources and development of new technology or new materials, in this view, allows for unlimited growth. And continued economic growth is seen as the best, perhaps the only, solution to poverty and environmental degradation.

Ecologists, however, view the world differently than economists. From their studies of the interactions between organisms and their environment, ecologists see the world as a dynamic, but finite system that can support only a limited number of humans with their demands for goods and services. Many ecological processes and the nonrenewable natural resources on which the economy is based have no readily available substitutes. Further, much of the natural world is being degraded or depleted at unsustainable rates. Ecologists criticize the narrow focus of conventional economics and its faith in unceasing growth, market valuation, and endless substitutability. Ecologists warn that unless humans changes their patterns of production and

consumption to ways that protect natural resources and ecological systems, they will soon deplete these resources.

### Ecological economics

Ecological or environmental economics is a relatively new field that introduces ecological understanding into the economic discourse. It takes a transdisciplinary, holistic, contextual, value-sensitive approach to economic planning and resource allocation. This view recognizes the dependence on the natural world and the irreplaceable life-support services it renders. Rather than expressing values solely in market prices, ecological economics pays attention to intangible values, nonmarketed resources, and the needs and rights of future generations and other species. Issues of equitable distribution of access to resources and the goods and services they provide need to be solved, in this perspective, by means other than incessant growth.

Whereas neoclassical economics sees our environment as simply a supply of materials, services, and waste sinks, ecological economics regards human activities as embedded in a global system that places limits on what humans can and cannot do. Uncertainty and dynamic change are inherent characteristics of this complex natural system. Damage caused by human activities may trigger sudden and irreversible changes. The precautionary principle suggests that society should leave a margin for error in the use of resources and plan for adaptive management policies.

### Natural capital

Conventional economists see wealth generated by human capital (human knowledge, experience, and enterprise) working with manufactured capital (buildings, machines, and infrastructure) to transform raw materials into useful goods and services. In this view economic growth and efficiency are best accomplished, by increasing the throughput of raw materials extracted from nature. Until they are transformed by human activities, natural resources are regarded as having little value. In contrast, ecological economists see natural resources as a form of capital equally important with human-made capital. In addition to raw materials such as minerals, fuels, fresh water, food, and fibers, nature provides valuable services on which we depend. Natural systems assimilate our wastes and regulate the earth's energy balance, global climate, material recycling, the chemical composition of the atmosphere and oceans, and the maintenance of biodiversity. Nature also provides aesthetic, spiritual, cultural, scientific, and educational opportunities that are

rarely given a monetary value but are, nevertheless, of great significance.

Ecological economists argue that the value of natural capital should be taken into account rather than treated as a set of unimportant externalities. Our goal, in this view, should be to increase our efficiency in natural resource use and to reduce its throughput. Harvest rates for renewable resources (those like organisms that regrow or those like fresh water that are replenished by natural processes) should not exceed regeneration rates. Waste emissions should not exceed the ability of nature to assimilate or recycle those wastes. Nonrenewable resources (such as minerals) may be exploited by humans, but only at rates equal to the creation of renewable substitutes.

### Accounting for natural capital

Where neoclassical economics seeks to maximize present value of resources, ecological economics calls for recognition of the real value of those resources in calculating economic progress. A market economist, for example, once argued that the most rational management policy for whales was to harvest all the remaining ones immediately and to invest the proceeds in some profitable business. Whales reproduce too slowly, he claimed, and are too dispersed to make much money in the long run by allowing them to remain wild. Ecologists reject this limited view of whales as only economic units of production, observing many other values in these wild, beautiful, sentient creatures. Furthermore whales may play important roles in marine ecology that people don't yet fully understand.

Ecologists are similarly critical of Gross National Product (GNP) as a measure of national progress or well-being. GNP measures only the monetary value of goods and services produced in a national economy. It doesn't distinguish between economic activities that are beneficial or harmful. People who develop cancer from smoking, for instance, contribute to the GNP by running up large hospital bills. The pain and suffering they experience doesn't appear on the balance sheets. When calculating GNP in conventional economics, a subtraction is made, for capital depreciation in the form of wear and tear on machines, vehicles, and buildings used in production, but no account is made for natural resources used up or ecosystems damaged by that same economic activity.

Robert Repetto of the World Resources Institute estimates that soil erosion in Indonesia reduces the value of crop production about 40 percent per year. If natural capital were taken into account, total Indonesian GNP would be reduced by at least 20 percent

annually. Similarly, Costa Rica experienced impressive increases in timber, beef, and banana production between 1970 and 1990. But decreased natural capital during this period represented by soil erosion, forest destruction, biodiversity losses, and accelerated water runoff add up to at least \$4 billion, or about 25 percent, of annual GNP. Ecological economists call for a new System of National Accounts that recognizes the contribution of natural capital to economic activity.

### Valuation of natural capital

Ecological economics requires new tools and new approaches to represent nature in GNP. Some categories in which natural capital might fit include:

- use values: the price paid to use or consume a resource
- option value: preserving options for the future
- existence value: those things people like to know still exist even though they may never use or even see them
- aesthetic value: things we appreciate for their beauty
- cultural value: things important for cultural identity
- scientific and educational value: information or experience-rich aspects of nature.

Measuring the value of natural resources and ecological services not represented in market systems is difficult. Ecological economists often have to resort to shadow pricing or other indirect valuation methods for natural resources. For instance, assigning a value to represent the worth of a day of canoeing on a wild river is complicated. It is possible to measure opportunity costs such as the amount paid to get to the river or to rent a canoe. The direct out-of-pocket costs might represent only a small portion, however, of what it is really worth to participants. Another approach is contingent valuation, in which potential resource users are asked such as how much they would be willing to pay for the experience or the sale price they would be willing to accept to forego the opportunity. These approaches are controversial and problematic because people may report what they think they ought to pay rather than what they would really pay for these activities.

### Carrying capacity and sustainable development

Carrying capacity is the maximum number of organisms of a particular species that a given area can sustainably support. Whereas neoclassical economists believe that technology can overcome any obstacle and that human ingenuity frees the world from any constraints on population or economic

growth, ecological economists argue that nature places limits on humans just as it does on any other species.

One of the ultimate limits society faces is energy. Because of the limits of the second law of thermodynamics, whenever work is done, some energy is converted to a lower quality, less useful form and ultimately is emitted as waste heat. This means that a constant input of external energy is needed. Many fossil fuel supplies are nearing exhaustion, and continued use of these sources by current technology carries untenable environmental costs. Vast amounts of solar energy reach the earth, and this solar energy already drives the generation of all renewable resources and ecological services. By some calculations, humans now control or directly consume about 40 percent of all the solar energy reaching the earth. It is uncertain how much more natural resources people can monopolize for their own purposes without seriously jeopardizing the integrity of natural systems for which there is no substitute. If an infinite supply of clean, renewable energy were available, there would need to be a solution for removal of heat without causing harm to our environment.

Ecological economics urges society to restrain growth of both human populations and the production of goods and services to conserve natural resources and to protect remaining natural areas and biodiversity. This does not necessarily mean that the billion people in the world who live in absolute poverty and cannot, on their own, meet the basic needs for food, shelter, clothing, education, and medical care are condemned to remain in that state. Ecological economics calls for more efficient use of resources and more equitable distribution of the benefits among those now living as well as between current generations and future ones.

A mechanism for attaining this goal is sustainable development, that is, a real improvement in the overall welfare of all people on a long-term basis. In the words of the World Commission on Economy and Development, sustainable development means “meeting the needs of the present without compromising the ability of future generations to meet their own needs.” This development requires increased reliance on renewable resources in harmony with ecological systems in ways that do not deplete or degrade natural capital. It does not necessarily mean that all growth must cease. Many human attributes, such as knowledge, kindness, compassion, cooperation, and creativity, can expand infinitely without damaging the environment. Employing these attributes to develop sustainable strategies may provide solutions for the current problems concerning continued growth and

depletion of resources. Climate change is also an issue of significant concern and is affected by population growth and resource use. Although ecological economics offers a sensible framework for approaches to resource use that can harmonize with ecological systems over the long term, it remains to be seen whether society will be wise enough to adopt this framework before it is too late.

An organization concerned with the ecological effects of economy on the environment is the International Society for Ecological Economics (ISEE), formed in 1989, which promotes sustainable development, thus unifying ecological and economic principles. The society is an umbrella organization for regional societies, holding conferences and publishing a journal featuring research in the field to share strategies and ideas for a sustainable world.

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William P. Cunningham

## Ecological integrity

Ecological (or biological) integrity is a measure of how intact or complete an ecosystem is. Ecological integrity is a relatively new and somewhat controversial notion, however, which means that it cannot be

defined exactly. Human activities cause many changes in environmental conditions, and these can benefit some species, communities, and ecological processes, while causing damages to others. The notion of ecological integrity is used to distinguish between ecological responses that represent improvements and those that are degradations.

### Challenges to ecological integrity

Ecological integrity is affected by changes in the intensity of environmental stressors. Environmental stressors can be defined as physical, chemical, and biological constraints on the productivity of species and the processes of ecosystem development. Many environmental stressors are associated with the activities of humans, but some are also natural factors. Environmental stressors can exert their influence on a local scale, or they may be regional or even global in their effects. Stressors represent environmental challenges to ecological integrity.

Environmental stressors are extremely complex, but they can be categorized in the following ways:

(1) Physical stressors are associated with brief, but intense, exposures to kinetic energy. Because of its acute, episodic nature, this represents a type of disturbance. Examples include volcanic eruptions, windstorms, and explosions; (2) Wildfire is another kind of disturbance, characterized by the combustion of much of the biomass of an ecosystem, and often the deaths of the dominant plants; (3) Pollution occurs when chemicals are present in concentrations high enough to affect organisms and thereby cause ecological changes. Toxic pollution may be caused by such gases as sulfur dioxide ( $\text{SO}_2$ ) and ozone ( $\text{O}_3$ ), metals such as mercury (Hg) and lead (Pb), and pesticides. Nutrients such as phosphate ( $\text{PO}_4^{3-}$ ) and nitrate ( $\text{NO}_3^-$ ) can affect ecological processes such as productivity, resulting in a type of pollution known as eutrophication; (4) Thermal stress occurs when releases of heat to the environment cause ecological changes, as occurs near natural hot-water vents in the ocean, or where there are industrial discharges of warmed water; (5) Radiation stress is associated with excessive exposures to ionizing energy. This is an important stressor on mountaintops because of intense exposures to ultraviolet radiation, and in places where there are uncontrolled exposures to radioactive wastes; (6) Climatic stressors are associated with excessive or insufficient regimes of temperature, moisture, solar radiation, and combinations of these. Tundra and deserts are climatically stressed ecosystems, whereas tropical rain forests occur in places where the climatic regime is relatively benign; (7)

Biological stressors are associated with the complex interactions that occur among organisms of the same or different species. Biological stresses result from competition, herbivory, predation, parasitism, and disease. The harvesting and management of species and ecosystems by humans can be viewed as a type of biological stress.

All species and ecosystems have a limited capability for tolerating changes in the intensity of environmental stressors. Ecologists refer to this attribute as resistance. When the limits of tolerance to environmental stress are exceeded, however, substantial ecological changes result.

Large changes in the intensity of environmental stress result in various kinds of ecological responses. For example, when an ecosystem is disrupted by an intense disturbance, there will be substantial mortality of some species and other damages. This is followed by recovery of the ecosystem through the process of succession. In contrast, a longer-term intensification of environmental stress, possibly caused by chronic pollution or climate change, will result in longer lasting ecological adjustments. Relatively vulnerable species decrease in abundance or are eliminated from sites that are stressed over the longer term, and their modified niches will be assumed by more tolerant species. Other common responses to an intensification of environmental stress include a simplification of species richness and decreased rates of productivity, decomposition, and nutrient cycling. These changes represent a longer-term change in the character of the ecosystem.

### Components of ecological integrity

Many studies have been made of the ecological responses to both disturbance and to longer-term changes in the intensity of environmental stressors. Such studies have, for instance, examined the ecological effects of air or water pollution, of the harvesting of species or ecosystems, and the conversion of natural ecosystems into managed agroecosystems. The commonly observed patterns of change in stressed ecosystems have been used to develop indicators of ecological integrity, which are useful in determining whether this condition is improving or being degraded over time. It has been suggested that greater ecological integrity is displayed by systems that, in a relative sense (1) *are resilient and resistant to changes in the intensity of environmental stress*. Ecological resistance refers to the capacity of organisms, populations, or communities to tolerate increases in stress without exhibiting significant responses. Once thresholds of tolerance are exceeded, ecological changes occur

rapidly. Resilience refers to the ability to recover from disturbance; (2) *are biodiverse*. Biodiversity is defined as the total richness of biological variation, including genetic variation within populations and species, the numbers of species in communities, and the patterns and dynamics of these over large areas; (3) *are complex in structure and function*. The complexity of the structural and functional attributes of ecosystems is limited by natural environmental stresses associated with climate, soil, chemistry, and other factors, and also by stressors associated with human activities. As the overall intensity of stress increases or decreases, structural and functional complexity respond accordingly. Under any particular environmental regime, older ecosystems will generally be more complex than younger ecosystems; (4) *have large species present*. The largest species in any ecosystem appropriate relatively large amounts of resources, occupy a great deal of space, and require large areas to sustain their populations. In addition, large species tend to be long-lived, and consequently they integrate the effects of stressors over an extended time. As a result, ecosystems that are affected by intense environmental stressors can only support a few or no large species. In contrast, mature ecosystems occurring in a relatively benign environmental regime are dominated by large, long-lived species; (5) *have higher-order predators present*. Top predators are sustained by a broad base of ecological productivity, and consequently they can only occur in relatively extensive and/or productive ecosystems; (6) *have controlled nutrient cycling*. Ecosystems that have recently been disturbed lose some of their biological capability for controlling the cycling of nutrients, and they may lose large amounts of nutrients dissolved or suspended in stream water. Systems that are not susceptible to loss of their nutrient capital are considered to have greater ecological integrity; (7) *are efficient in energy use and transfer*. Large increases in environmental stress commonly result in community-level respiration exceeding productivity, resulting in a decrease in the standing crop of biomass in the system. Ecosystems that are not losing their capital of biomass are considered to have greater integrity than those in which biomass is decreasing over time; (8) *have an intrinsic capability for maintaining natural ecological values*. Ecosystems that can naturally maintain their species, communities, and other important characteristics, without being managed by humans, have greater ecological integrity. If, for example, a population of a rare species can only be maintained by management of its habitat by humans, or by a program of captive-breeding and release, then its population, and the ecosystem of which it is a component, are lacking in ecological integrity; (9) *are*

*components of a natural community*. Ecosystems that are dominated by non-native, introduced species are considered to have less ecological integrity than ecosystems composed of indigenous species.

Indicators (8) and (9) are related to naturalness and the roles of humans in ecosystems, both of which are philosophically controversial topics. However, most ecologists would consider that self-organizing, unmanaged ecosystems composed of native species have greater ecological integrity than those that are strongly influenced by humans. Examples of strongly human-dominated systems include agroecosystems, forestry plantations, and urban and suburban areas. None of these ecosystems can maintain their character in the absence of management by humans, including large inputs of energy and nutrients.

### Indicators of ecological integrity

Indicators of ecological integrity vary greatly in their intent and complexity. For instance, certain metabolic indicators have been used to monitor the responses by individuals and populations to toxic stressors, as when bioassays are made of enzyme systems that respond vigorously to exposures to dichlorodiphenyl-trichloroethane (DDT), pentachlorophenols (PCBs), and other chlorinated hydrocarbons. Other simple indicators include the populations of endangered species; these are relevant to the viability of those species as well as the integrity of the ecosystem of which they are a component. There are also indicators of ecological integrity at the level of landscape, and even global indicators relevant to climate change, such as depletion of stratospheric ozone and deforestation.

Relatively simple indicators can sometimes be used to monitor the ecological integrity of extensive and complex ecosystems. For example, the viability of populations of spotted owls (*Strix occidentalis*) is considered to be an indicator of the integrity of the old-growth forests in which this endangered species breeds in the western United States. These forests are commercially valuable, and if plans to harvest and manage them are judged to threaten the viability of a population of spotted owls, this would represent an important challenge to the integrity of the old-growth forest ecosystem.

Ecologists are also beginning to develop composite indicators of ecological integrity. These are designed as summations of various indicators and are analogous to such economic indices as the Dow-Jones Index of stock markets, the Consumer Price Index, and the gross domestic product of an entire economy. Composite economic indicators of this sort are relatively simple

to design, because all of the input data are measured in a common way (e.g., in dollars). In ecology, however, no common currency exists among the many indicators of ecological integrity. Consequently it is difficult to develop composite indicators that ecologists will agree upon.

Still, some research groups have developed composite indicators of ecological integrity that have been used successfully in a number of places and environmental contexts. For instance, the ecologist James Karr and his co-workers developed composite indicators of the ecological integrity of aquatic ecosystems, which are being used in modified form in many places in North America.

In spite of all of the difficulties, ecologists are making substantial progress in the development of indicators of ecological integrity. This is an important activity, because society needs objective information about complex changes that are occurring in environmental quality, including degradations of indigenous species and ecosystems. Without such information, actions may not be taken to prevent or repair unacceptable damages that may be occurring.

In terms of sustainable methods of maintaining ecological integrity, ecologists can approach ecosystem or environmental management in three ways. The normative view assumes that humans and the ecosystem are separate entities focusing on controlling human practices to preserve ecosystem health. The ecosystem-pluralistic view considers humans as a part of the ecosystem and assumes that all involved are equal stakeholders in the process of managing the ecosystem. The third approach is the transpersonal-collaborative, which takes into account human and ecosystem relationships with regard to cultural and social implications of these interactions. All three of these approaches have their merits, but ultimately the solutions for maintaining ecological integrity are complicated and require compromise and changing practices to preserve ecosystem health and monitor resource use to reduce climate change and biodiversity losses.

Increasingly, it is being recognized that human economies can only be sustained over the longer term by ecosystems with integrity. Ecosystems with integrity are capable of supplying continuous flows of such renewable resources as timber, fish, agricultural products, and clean air and water. Ecosystems with integrity are also needed to sustain populations of native species and their natural ecosystems, which must be maintained even while humans are exploiting the resources of the biosphere.

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Bill Freedman

## Ecological productivity

One of the most important properties of an ecosystem is its productivity, which is a measure of the rate of incorporation of energy by plants per unit area per unit

time. In terrestrial ecosystems ecologists usually estimate plant production as the total annual growth—the increase in plant biomass over a year. Since productivity reflects plant growth, it is often used loosely as a measure of the organic fertility of a given area.

The flow of energy through an ecosystem starts with the fixation of sunlight by green plants during photosynthesis. Photosynthesis supplies both the energy (in the form of chemical bonds) and the organic molecules (glucose) that plants use to make other products in a process known as biosynthesis. During biosynthesis, glucose molecules are rearranged and joined together to become complex carbohydrates (such as cellulose and starch) and lipids (such as fats and plant oils). These products are also combined with nitrogen, phosphorus, sulfur, and magnesium to produce the proteins, nucleic acids, and pigments required by the plant. The many products of biosynthesis are transported to the leaves, flowers, and roots, where they are stored to be used later.

Ecologists measure the results of photosynthesis as increases in plant biomass over a given time. To do this more accurately, ecologists distinguish two measures of assimilated light energy: gross primary production (GPP), which is the total light energy fixed during photosynthesis, and net primary production (NPP), which is the chemical energy that accumulates in the plant over time.

Some of this chemical energy is lost during plant respiration (R) when it is used for maintenance, reproduction, and biosynthesis. The proportion of GPP that is left after respiration is counted as net production (NPP). In an ecosystem it is the energy stored in plants from net production that is passed up the food chain/web when the plants are eaten. This energy is available to consumers either directly as plant tissue or indirectly through animal tissue.

One measure of ecological productivity in an ecosystem is the production efficiency. This is the rate of accumulation of biomass by plants, and it is calculated as the ratio of net primary production to gross primary production. Production efficiency varies among plant types and among ecosystems. Grassland ecosystems which are dominated by non-woody plants are the most efficient at 60-85 percent, since grasses and annuals do not maintain a high supporting biomass. On the other end of the efficiency scale are forest ecosystems; they are dominated by trees, and large old trees spend most of their gross production in maintenance. For example, eastern deciduous forests have a production efficiency of about 42 percent.

Ecological productivity in terrestrial ecosystems is influenced by physical factors such as temperature and rainfall. Productivity is also affected by air and water currents, nutrient availability, land forms, light intensity, altitude, and depth. The most productive ecosystems are tropical rain forests, coral reefs, salt marshes, and estuaries; the least productive are deserts, tundra, and the open sea.

*See also* Ecological consumers; Habitat; Restoration ecology.

Neil Cumberlidge

## Ecological risk assessment

Ecological risk assessment (ERA) is a procedure for evaluating the likelihood that adverse ecological effects are occurring, or may occur, in ecosystems as a result of one or more human activities. These activities may include the alteration and destruction of wetlands or other habitats; the introduction of herbicides, pesticides, or other toxic materials into the environment; oil spills; or the cleanup of contaminated hazardous waste sites. Ecological risk assessments consider many aspects of an ecosystem, including biotic plants and animals and abiotic water, soils, and other elements. Ecosystems can be as small as a pond or stream or as large as thousands of square miles of land or lengthy coastlines in which communities exist.

Although closely related to human health risk assessment, ecological risk assessment is not only a newer discipline but also uses different procedures, terminology, and concepts. Both human health and ecological risk assessment provide frameworks for collecting information to define a risk and to help make risk management or regulatory decisions, but human health risk assessment follows four basic steps that were defined in 1983 by the National Research Council: hazard assessment, dose response assessment, exposure assessment, and risk characterization. In contrast, ecological risk assessment relies on the Guidelines for Ecological Risk Assessment published by the Environmental Protection Agency (EPA) in 1998. The National Contingency Plan (NCP), which is the plan for responding to oil spills and the release of hazardous substances, requires the characterization of “current and potential threats to human health and the environment” and states that “environmental evaluations shall be performed to assess threats to

the environment, especially sensitive habitats and critical habitats of species protected under the Endangered Species Act.”

The guidelines define three phases: problem formulation, analysis, and risk characterization. In some cases a screening step conducted prior to the assessment involves a site visit to investigate the area and potentially affected species. The toxicity of the stressor is evaluated, and estimates of exposure and risk calculation are accomplished. This initial step provides the information necessary to decide whether a threat needs to be evaluated by the guidelines. The problem formulation phase involves defining the value of the ecological resource and developing a conceptual model to understand and illustrate exposure pathways. In the analysis phase, a sampling plan is devised and data is collected to determine the resource’s exposure to the stressor and the stressor’s effects on the ecological resource. Risk characterization and management involves the calculation of risk based on the integration of the exposure- and stressor-response profiles.

The problems that human health risk assessments seek to address are clearly defined: cancer, birth defects, mortality, and similar concerns. The problems that ecological risk assessments attempt to understand and address, however, are less straightforward. For instance, a major challenge ecological risk assessors face is distinguishing natural changes in an ecosystem from changes caused by human activities and defining what changes are unacceptable. As a result, the initial problem formulation step of an ecological risk assessment requires extensive discussions between risk assessors and risk managers to define ecological significance, a key concept in ecological risk assessment. Since it is not immediately clear whether an ecological change is positive or negative—unlike cancer or birth defects, which are known to be adverse—judgments must be made early in the assessment about whether a change is significant and whether it will alter a socially valued ecological condition. For example, Lake Erie was declared dead in the 1960s as a result of phosphorous (P) loadings from cities and farms. In fact, there were more fish in the lake after it was dead than before; however, these fish were carp, suckers, and catfish, not the walleyed pike, yellow perch, and other fish that had made Lake Erie one of the most highly valued freshwater sport-fishing lakes in the United States. More recently, with pollution inputs greatly reduced, the lake has recovered much of its former productivity. Choosing one ecological condition over the other is a social value fundamental to ecological risk assessment problem formulation. Once judgments have been made about

what values to protect, analysts can examine the stressors that ecosystems are exposed to, and can characterize the ecological effects likely to result from such stressors.

Since 1989 the EPA has held workshops on ecological significance and other technical issues pertaining to ecological risk assessment and has published the results of its workshops in a series of reports and case studies. Overall, the direction of ecological protection priorities has moved away from earlier concerns with narrow goals (e.g., use of commercially valuable natural resources) toward broader interest in protecting natural areas and ecosystems, such as National Parks and Scenic Rivers, for both present and future generations to enjoy.

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David Clarke

## Ecological Society of America

The Ecological Society of America (ESA), representing more than 10,000 ecological researchers worldwide, was founded in 1915 as a non-profit, scientific organization. Members include ecologists from academia, government agencies, industry, and non-governmental organizations (NGOs). In pursuing its goal of promoting “the responsible application of ecological principles to the solution of environmental problems,” the society publishes reports, membership research, and three scientific journals a year, and it provides expert testimony to Congress. In addition ESA holds a conference every summer attended by more than 3,000 scientists and students at which members present the latest ecological research. The Society’s three journals are: *Ecology* (eight issues per year), *Ecological Monographs* (four issues per year), and *Ecological Applications* (four

issues per year). ESA also publishes a bimonthly member newsletter.

A milestone in the Society's development was its 1991 proposal for a Sustainable Biosphere Initiative (SBI), which was published in a 1991 issue of *Ecology* as a "call-to-arms for ecologists." Based on research priorities identified in the proposal, ESA chartered the SBI Project Office in the same year to focus on global change, biodiversity, and sustainable ecosystems. The SBI marked a commitment by the Society to more actively convey its members' findings to the public and to policy makers, and, as such, included research, education, and environmental decision-making components. The three-pronged SBI proposal grew out of a "period of introspection" during which ESA led its members to examine "the whole realm of ecological activities" in the face of decreasing funds for research, an urgent need to set priorities, and "the need to ameliorate the rapidly deteriorating state of the environment and to enhance its capacity to sustain the needs of the world's population."

Since the SBI Project Office was chartered, it has focused on linking the ecological scientific community to other scientists and decision makers through a multidisciplinary twelve-member steering committee and five-member staff. For instance, in 1995 the SBI began a series of semi-annual meetings with federal government officials to discuss "overlapping areas of interest and possible collaborative opportunities." In addition, SBI hosts various symposia and panel discussions of key ecological topics.

In 1993 ESA chartered a Special Committee on the Scientific Basis of Ecosystem Management to establish the scientific grounds for discussing the increasingly prominent ecosystem approach to addressing land and natural resource management problems. The committee published its findings in the August 1996 issue of *Ecological Applications*. Articles discussed the emerging consensus on essential elements of ecosystem management, including its holistic nature—incorporating the biological and physical elements of an ecosystem and their interrelationships—and the concept of sustainability as the "essential element and precondition" of ecosystem management.

The ESA also maintains a blog, EcoTone, that presents the public with information about ESA activities as well as information and updates on various environmental issues.

ESA's headquarters in Washington, DC, consistent with the SBI's goal of broader public education, includes a Public Affairs Office. Its Publications Office is in Ithaca, New York.

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- Ecological Society of America, 1990 M Street, NW, Suite 700, Washington, DC, USA, 20036, (202) 833-8773, (202) 833-8775, [esahq@esa.org](mailto:esahq@esa.org), <http://www.esa.org>

David Clarke

Ecological succession see **Succession**.

## Ecology

The word ecology was first used in published works in 1870 by the German zoologist Ernst Haeckel (1834–1919) from the Greek words *oikos* (house) and *logos* (logic or knowledge) to describe the scientific study of the relationships among organisms and their environment. The environment consists of both abiotic (e.g., water availability and temperature) and biotic (e.g., other organisms of the same species or different species) factors. Biologists began referring to themselves as ecologists at the end of the nineteenth century and shortly thereafter the first ecological societies and journals appeared. Since that time ecology has become a major branch of biological science. The contextual, historical understanding of organisms as well as the systems basis of ecology set it apart from the reductionist, experimental approach prevalent in many other areas of science.

This broad ecological view is gaining significance today as modern resource-intensive lifestyles consume much of nature's supplies. Although intuitive ecology has always been a part of some cultures, current environmental crises make a systematic, scientific understanding of ecological principles especially important.

For many ecologists the basic structural units of ecological organization are species and populations. A biological species consists of all the organisms potentially able to interbreed under natural conditions and to produce fertile offspring. A population consists of all the members of a single species occupying a common geographical area at the same time. An ecological community is composed of a number of populations that live and interact in a specific region. In ecology there is an organizational hierarchy from the most inclusive—the biosphere—to the most specific—each individual organism. The levels of organization are (from broadest to most narrow): biosphere, region, landscape, ecosystem, community, interactions, population, and individual organism. The biosphere contains all ecosystems in the world, whereas each region holds only a subset of ecosystem types. Ecologists study organisms and their relationships with their environments with respect to these levels of organization.

This population-community view of ecology is grounded in natural history—the study of where and how organisms live—and the Darwinian theory of natural selection and evolution. Proponents of this approach generally view ecological systems primarily as networks of interacting organisms. Abiotic forces such as weather, soils, and topography are often regarded as external factors that influence, but are apart from, the central living core of the system.

In the past three decades, the emphasis on species, populations, and communities in ecology has been replaced by a more quantitative, thermodynamic analysis of the processes through which energy flows and the cycling of nutrients and toxins are carried out in ecosystems. This process-functional approach is concerned more with the ecosystem as a whole than the particular species or populations that make it up. In this perspective both the living organisms and the abiotic physical components of the environment are equal members of the system.

The feeding relationships among different species in a community are a key to understanding ecosystem function. Who eats whom, where, how, and when determine how energy and materials move through the system. Predator-prey relationships also influence natural selection, evolution, and species adaptation to a particular set of environmental conditions. Ecosystems are open systems, insofar as energy and materials flow through them. Nutrients, however, are often recycled extremely efficiently so that the annual losses to sediments or through surface water runoff are relatively small in many mature ecosystems. In undisturbed tropical rain forests, for instance, nearly 100 percent of

leaves and detritus are decomposed and recycled within a few days after they fall to the forest floor.

Because of thermodynamic losses every time energy is exchanged between organisms or converted from one form to another, an external energy source is an indispensable component of every ecological system. Green plants capture solar energy through photosynthesis and convert it into energy-rich organic compounds that are the basis for all other life in the community. This energy capture is referred to as primary productivity. These green plants form the producers, which are the first trophic (or feeding) level of most communities.

Secondary productivity is represented by consumers and decomposers, making up the other components of the food chain. Herbivores (animals that consume plants) make up the next trophic level, whereas carnivores (animals that consume other animals) add to the complexity and diversity of the community. Detritivores (such as beetles and earthworms) and decomposers (generally bacteria and fungi) convert dead organisms or waste products to inorganic chemicals. The nutrient recycling they perform is essential to the continuation of life. Together, all these interacting organisms form a food chain/web through which energy flows and nutrients and toxins are recycled. Due to intrinsic inefficiencies in transferring material and energy between organisms, the energy content in successive trophic levels is usually represented as a pyramid in which primary producers form the base and the top consumers occupy the apex.

This introduces the problem of persistent contaminants in the food chain. Because they tend not to be broken and metabolized in each step of the food chain in the way that other compounds are, persistent contaminants such as pesticides and heavy metals tend to accumulate in top carnivores, often reaching toxic levels many times higher than original environmental concentrations. This biomagnification is an important issue in pollution control policies. In many lakes and rivers, for instance, game fish have accumulated dangerously high levels of mercury (Hg) and chlorinated hydrocarbons that present a health threat to humans and other fish-eating species.

Diversity, in ecological terms, is a measure of the number of different species in a community, whereas abundance is the total number of individuals. Tropical rain forests, although they occupy only about five percent of the earth's land area, are thought to contain somewhere around half of all terrestrial plant and animals species, whereas coral reefs and estuaries are generally the most productive and diverse aquatic

communities. Community complexity refers to the number of species at each trophic level as well as the total number of trophic levels and ecological niches in a community.

Structure describes the patterns of organization, both spatial and functional, in a community. In a tropical rain forest, for instance, distinctly different groups of organisms live on the surface, at mid-levels in the trees, and in the canopy, giving the forest vertical structure. A patchy mosaic of tree species, each of which may have a unique community of associated animals and smaller plants living in its branches, gives the forest horizontal structure as well.

For every physical factor in the environment there are both maximum and minimum tolerable limits beyond which a given species cannot survive. The factor closest to the tolerance limit for a particular species at a particular time is the critical factor that will determine the abundance and distribution of that species in that ecosystem. Natural selection is the process by which environmental pressures—including biotic factors such as predation, competition, and disease, as well as physical factors such as temperature, moisture, soil type, and space—affect survival and reproduction of organisms. Over a very long time, given a large enough number of organisms, natural selection works on the randomly occurring variation in a population to allow evolution of species and adaptation of the population to a particular set of environmental conditions.

Habitat describes the place or set of environmental conditions in which an organism lives; niche describes the role an organism plays. A yard and garden, for instance, may provide habitat for a family of cottontail rabbits. Their niche is being primary consumers (eating vegetables and herbs).

Organisms interact within communities in many ways. Symbiosis is the intimate living together of two species; commensalism describes a relationship in which one species benefits while the other is neither helped nor harmed. Lichens, the thin crusty organisms often seen on exposed rocks, are an obligate symbiotic association of a fungus and an alga. Neither can survive without the other, and both components benefit from the other. Some orchids and bromeliads (air plants), conversely, live commensally on the branches of tropical trees. The orchid benefits by having a place to live, but the tree is neither helped nor hurt by the presence of the orchid.

Predation—feeding on another organism—can involve pathogens, parasites, and herbivores as well as carnivorous predators. Competition is another kind of

antagonistic relationship in which organisms vie for space, food, or other resources. Predation, competition, and natural selection often lead to niche specialization and resource partitioning that reduce competition between species. The principle of competitive exclusion states that no two species will remain in direct competition for very long in the same habitat because natural selection and adaptation will cause organisms to specialize in when, where, or how they live to minimize conflict over resources. This can contribute to the evolution of a given species into new forms over time.

It is also possible, on the other hand, for species to co-evolve, meaning that each changes gradually in response to the other to form an intimate and often highly dependent relationship either as predator and prey or for mutual aid. Because individuals of a particular species may be widely dispersed in tropical forests, many plants have become dependent on insects, birds, or mammals to carry pollen from one flower to another. Some amazing examples of coevolution and mutual dependence have resulted.

Ecological succession, the process of ecosystem development, describes the changes through which whole communities progress as different species colonize an area and change its environment. A typical successional series starts with pioneer species such as grasses or fireweed that colonize bare ground after a disturbance. Organic material from these pioneers helps build soil and hold moisture, allowing shrubs and then tree seedlings to become established. Gradual changes in shade, temperature, nutrient availability, wind protection, and living space favor different animal communities as one type of plant replaces its predecessors. Primary succession starts with a previously unoccupied site. Secondary succession occurs on a site that has been disturbed by external forces such as fires, storms, or humans. In many cases, succession proceeds until a mature climax community is established. Introduction of new species by natural processes, such as opening a land bridge, or by human intervention can upset the natural relationships in a community and cause catastrophic changes for indigenous species.

Biomes consist of broad regional groups of related communities. Their distribution is determined primarily by climate, topography, and soils. Often similar niches are occupied by different but similar species (termed ecological equivalents) in geographically separated biomes. Some of the major biomes of the world are deserts, grasslands, wetlands, forests of various types, and tundra.

The relationship between diversity and stability in ecosystems is a controversial topic in ecology.

F.E. Clements (1874–1945), an early American biogeographer, championed the concept of climax communities: stable, predictable associations toward which ecological systems tend to progress if allowed to follow natural tendencies. Deciduous, broad-leaved forests are climax communities in moist, temperate regions of the eastern United States according to Clements, whereas grasslands are characteristic of the dryer western plains. In this view, homeostasis (a dynamic steady-state equilibrium), complexity, and stability are endpoints in ecological succession. Ecological processes, if allowed to operate without external interference, tend to create a natural balance between organisms and their environment.

H.A. Gleason (1882–1975), another American pioneer biogeographer and contemporary of Clements, argued that ecological systems are much more dynamic and variable than the climax theory proposes. Gleason saw communities as temporary or even accidental combinations of continually changing biota rather than predictable associations. Ecosystems may or may not be stable, balanced, and efficient; change, in this view, is thought to be more characteristic than constancy. Diversity may or may not be associated with stability. Some communities such as salt marshes that have only a few plant species may be highly resilient and stable while species-rich communities, such as coral reefs, may be highly sensitive to disturbance.

Although many ecologists now tend to agree with the process-functional view of Gleason rather than the population-community view of Clements, some retain a belief in the balance of nature and the tendency for undisturbed ecosystems to reach an ideal state if left undisturbed. The efficacy and ethics of human intervention in natural systems may be interpreted very differently in these divergent understandings of ecology. Those who see stability and constancy in nature often call for policies that maintain historic conditions and associations. Those who see greater variability and individuality in communities may favor more activist management and be willing to accept change as inevitable.

In spite of some uncertainty, however, about how to explain ecological processes and the communities they create, humans have learned a great deal about the world around them through scientific ecological studies in the past century. This important field of study remains a crucial component in the ability to manage resources sustainably and to avoid or repair environmental damage caused by human actions. Deforestation, biodiversity loss, pollution, and climate change are causing dramatic changes in the world's ecosystems. Ecological research may aid in identifying problems caused by the expanding world population

and in developing sustainable solutions for the management and maintenance of the biosphere and its resources.

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William P. Cunningham

Ecology, deep see **Deep ecology**.

Ecology, human see **Human ecology**.

Ecology, restoration see **Restoration ecology**.

Ecology, social see **Social ecology**.

## EcoNet

EcoNet is a computer network that focused on environmental topics and, through the Institute for Global Communications, lists links to the international community. Several thousand organizations and individuals have accounts on the network. EcoNet's electronic conferences contain press releases, reports, and electronic discussions on hundreds of topics, ranging from clean air to pesticides. As of 2010, EcoNet remains a branch of ICG Internet, as are PeacNet, WomensNet, and AntiRacismNet. ICG actively supports Progressive causes and remains active in fund-raising to relieve suffering related to the 2010 Earthquake in Haiti.

#### Resources

##### ORGANIZATIONS

Institute for Global Communications, P.O. Box 29904, San Francisco, CA, USA, (941) 29-0904, support@igc.apc.org, <http://www.igc.org/>

## Economic growth and the environment

The issue of economic growth and the environment primarily concerns the kinds of pressures that economic growth, at the national and international level, places on the environment over time. The relationship between ecology and the economy has become increasingly significant as humans gradually understand the impact that economic decisions have on the sustainability and quality of the planet.

Economic growth is commonly defined as increases in total output from new resources or better use of existing resources; it is measured by increased real incomes per capita. All economic growth involves transforming the natural world, and it may effect environmental quality in one of three ways. Environmental quality can increase with growth. Increased incomes, for example, provide the resources for public services, such as sanitation and rural electricity. With these services widely available, individuals need to worry less about day-to-day survival and can devote more resources to conservation. Secondly, environmental quality can initially worsen but then improve as the growth rate rises. In the cases of air pollution, water pollution, deforestation, and encroachment, there is little incentive for any individual to invest in maintaining the quality of the environment. These problems can only improve when countries deliberately introduce long-range policies to ensure that additional resources are devoted to dealing with them. Thirdly, environmental quality can decrease when the rate of growth increases. In the cases of emissions generated by the disposal of municipal solid waste, for example, abatement is relatively expensive and the costs associated with the emissions and wastes are not perceived as high because they are often borne by someone else.

The World Bank estimates that, under present productivity trends and given projected population increases, the output of developing countries would be about five times higher by the year 2030 than it was in 2002. The output of industrial countries would rise more slowly, but it would still triple over the same period. If environmental pollution were to rise at the same pace, severe environmental hardships would occur. Tens of millions of people would become sick or die from environmental causes, and the planet would be significantly and irreparably harmed.

The earth's natural resources place limits on economic growth. These limits vary with the extent of resource substitution, technical progress, and structural changes. For example, in the late 1960s many

feared that the world's supply of useful metals would run out. Yet, by 2010 there was a glut of useful metals, and prices had fallen dramatically. The demand for other natural resources such as water, however, often exceeds supply. In arid regions such as the Middle East and in non-arid regions such as northern China, aquifers have been depleted and rivers so extensively drained that not only irrigation and agriculture are threatened but also the local ecosystems.

Some resources such as water, forests, and clean air are under attack, whereas others such as metals, minerals, and energy are not threatened. This is because the scarcity of metals and similar resources is reflected in market prices. Here, the forces of resource substitution, technical progress, and structural change have a strong influence. But resources such as water are characterized by open access, and there are, therefore, no incentives to conserve. Many believe that effective policies designed to sustain the environment are most necessary because society must take account of the value of natural resources, and governments must create incentives to protect the environment. Economic and political institutions have failed to provide these necessary incentives for four separate yet interrelated reasons: (1) short time horizons; (2) failures in property rights; (3) concentration of economic and political power; and (4) immeasurability and institutional uncertainty.

Although economists and environmentalists disagree on the definition of sustainability, the essence of the idea is that current decisions should not impair the prospects for maintaining or improving future living standards. The economic systems of the world should be managed so that societies live on the dividends of the natural resources, always maintaining and improving the asset base.

Promoting growth, alleviating poverty, and protecting the environment may be mutually supportive objectives in the long run, but they are not always compatible in the short run. Poverty is a major cause of environmental degradation, and economic growth is thus necessary to improve the environment. Yet, ill-managed economic growth can also destroy the environment and further jeopardize the lives of the poor. In many poor, but still forested, countries, timber is a good short-run source of foreign exchange. When demand for Indonesia's traditional commodity export—petroleum—fell and its foreign exchange income slowed, Indonesia began depleting its hardwood forests at non-sustainable rates to earn export income.

In developed countries, competition may shorten time horizons. Competitive forces in agricultural markets, for example, induce farmers to take short-term perspectives for financial survival. Farmers must maintain cash flow to satisfy bankers and make a sufficient return on their land investment. They therefore adopt high-yield crops, monoculture farming, increased fertilizer and pesticide use, salinizing irrigation methods, and more intensive tillage practices which cause erosion.

“The Tragedy of the Commons” is the classic example of property rights failure. When access to a grazing area, or commons is unlimited, each herdsman knows that grass not eaten today will not be there tomorrow. As a rational economic being, each herdsman seeks to maximize his gain and adds more animals to his herd. No herdsman has an incentive to prevent his livestock from grazing the area. Degradation follows as does the loss of a common resource. In a society without clearly defined property rights, those who pursue their own interests ruin the public good.

In many nations, political upheaval may void property rights virtually overnight, so an individual with a concession to harvest trees is motivated to harvest as many and as quickly as possible. The government-granted timber-cutting concession may belong to someone else tomorrow. The same is true of some developed countries. For example, in Louisiana mineral rights revert to the state when wetlands become open water and there has been no mineral development on the property. Thus, the cheapest methods of avoiding loss of mineral revenues has been to hurry the development of oil and gas in areas that might revert to open water, thereby hastening erosion and saltwater intrusion, or putting up levies around the property to maintain it as private property, thus interfering with normal estuarine processes.

Global or transnational problems such as ozone layer depletion or acid rain produce a similar problem. Countries have little incentive to reduce damage to the global environment unilaterally when doing so will not reduce the damaging behavior of others or when reduced fossil fuel use would leave that country at a competitive disadvantage. International agreements are thus needed to impose order on the world's nations that would be analogous to property rights.

Concentration of wealth within the industrialized countries allows for the exploitation and destruction of ecosystems in less developed countries (LDC) through, for example, timber harvests and mineral extraction. The concentration of wealth inside a less

developed country skews public policy toward benefiting the wealthy and politically powerful, often at the expense of the ecosystem on which the poor depend. Local sustainability is dependent upon the goals of those who have power—goals which may or may not be in line with a healthy, sustainable ecosystem. Furthermore, when an exploiting party has substitute ecosystems available, it can exploit one and then move to the next. In such a situation, the benefits of sustainability are low, and companies or people that exploit natural resources have shorter time horizons than those with local interests. This is also an example of how the high discount rates in developed countries are imposed on the management of developing countries' assets.

Environmental policy-making is always more complicated than merely measuring the effects of a proposed policy on the environment. Due to scientific uncertainty about biophysical and geological relations and a general inability to measure a policy's effect on the environment, economic rather than ecological effects are more often relied upon to make policy. Policy-makers and institutions do not often grasp the direct and indirect effects of policies on ecological sustainability nor do they know how their actions will affect other areas not under their control.

Many contemporary economists and environmentalists argue that the value of the environment should nonetheless be factored into the economic policy decision-making process. The goal is not necessarily to put monetary values on environmental resources; it is rather to determine how much environmental quality is being given up in the name of economic growth, and how much growth is being given up in the name of the environment. A danger always exists that too much income growth may be lost in the future by failing to clarify and minimize tradeoffs or to take advantage of policies that are good for both economic growth and the environment.

*See also* Energy policy; Environmental economics; Environmental policy; Environmentally responsible investing; Exponential growth; Sustainable agriculture; Sustainable biosphere; Sustainable development.

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Kevin Wolf

Ecopsychology *see* **Roszak, Theodore.**

## Ecosophy

A philosophical approach to the environment that emphasizes the importance of action and individual beliefs. Often referred to as "ecological wisdom," it is associated with other environmental ethics, including deep ecology and bioregionalism.

Ecosophy originated with the Norwegian philosopher Arne Dekke Eide Naess (1912–2009). Naess described a structured form of inquiry he called *ecophilosophy*, which examines nature and humankind's relationship to it. He defined it as a discipline, like philosophy itself, which is based on analytical thinking, reasoned argument, and carefully examined assumptions. Naess distinguished ecosophy from ecophilosophy; it is not a discipline in the same sense but what he called a "personal philosophy," which guides people's conduct toward the environment. He defined ecosophy as a set of beliefs about nature and other people which varies from one individual to another. Everyone, in other words, has his or her own ecosophy, and, though these personal philosophies may share important elements, they are based on norms and assumptions that are particular to each person.

Naess proposed his own ecophilosophy as a model for individual ecosophies, emphasizing the intrinsic value of nature and the importance of cultural and natural diversity. Other discussions of ecosophy concentrate on similar issues. Many environmental philosophers argue that all life has a value that is independent of human perspectives and human uses, and that it is not to be tampered with except for the sake of survival. Human population growth threatens the integrity of other life systems; they argue that population must be reduced substantially and that radical changes in human values and activities are

required to integrate humans more harmoniously into the total system.

*See also* Zero population growth.

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Gerald L. Young  
Douglas Smith

## Ecosystem

The term ecosystem was coined in 1935 by the Oxford ecologist Arthur Tansley to encompass the interactions among biotic and abiotic components of the environment at a given site. It was defined in its presently accepted form by Eugene Odum as follows: “Any unit that includes all of the organisms (i.e., the community) in a given area interacting with the physical environment so that a flow of energy leads to clearly defined trophic structure, biotic diversity, and material cycles (i.e., exchange of materials between living and non-living parts) within the system.” Tansley’s concept had been expressed earlier in 1913 by the Oxford geographer A.J. Herbertson, who suggested the term “macroorganism” for such a combined biotic and abiotic entity. He was, however, too far in advance of his time, and the idea was not taken up by ecologists. Yet Tansley’s concept—elaborated in terms of the transfer of energy and matter across ecosystem boundaries—was utilized within the next few years by Evelyn Hutchinson, Raymond Lindeman, and the Odum brothers, Eugene and Howard.

The boundaries of an ecosystem can be somewhat arbitrary, reflecting the interest of a particular ecologist in studying a certain portion of the landscape. However, such a choice may often represent a recognizable landscape unit such as a woodlot, a wetland, a stream or lake, or—in the most logical case—a watershed

within a sealed geological basin, whose exchanges with the atmosphere and outputs via stream flow can be measured quite precisely. Inputs and outputs imply an open system, which is true of all but the planetary or global ecosystem, open to energy flow but effectively closed in terms of materials except in the case of large-scale asteroid impact.

Ecosystems exhibit a great deal of structure, as may be seen in the vertical partitioning of a forest into tree, shrub, herb, and moss layers, underlain by a series of distinctive soil horizons. Horizontal structure is often visible as a mosaic of patches, as in forests with gaps where trees have died and herbs and shrubs now flourish, or in bogs with hummocks and hollows supporting different kinds of plants. Often the horizontal structure is distinctly zoned, for instance around the shallow margin of a lake, and sometimes it is beautifully patterned, as in the vast peatlands of North America that reflect a very complicated hydrology.

Ecosystems exhibit an interesting functional organization in their processing of energy and matter. Green plants, the primary producers of organic matter, are consumed by herbivores, which in turn are eaten by carnivores that may in turn be the prey of other carnivores. Moreover, all these animals may have parasites as another set of consumers. Such sequences of producers and successive consumers constitute a food chain, which is always part of a complicated, inter-linked food web along which energy and materials pass. At each step along the food chain some of the energy is egested or passed through the organisms as feces. Much more is used for metabolic processes and—in the case of animals—for seeking food or escaping predators; such energy is released as heat. As a consequence only a small fraction (often on the order of 10%) of the energy captured at a given step in the food chain is passed along to the next step.

There are two main types of food chains. One is made up of plant producers and animal consumers of living organisms, which constitute a grazing food chain. The other consists of organisms that break down and metabolize dead organic matter, such as earthworms, fungi, and bacteria. These constitute the detritus food chain. Humans rely chiefly on grazing food chains based on grasslands, whereas in a forest it is usual for more than 90 percent of the energy trapped by photosynthesis to pass along the detritus food chain.

Whereas energy flows one way through ecosystems and is dispersed finally to the atmosphere as heat, materials are partially and often largely recycled. For example, nitrogen in rain and snow may be taken

up from the soil by roots, built into leaf protein that falls with the leaves in autumn, there to be broken down by soil microbes to ammonia and nitrate, and taken up once again by roots. A given molecule of nitrogen may go through this nutrient cycle again and again before finally leaving the system in stream outflow. Other nutrients, and toxins such as lead and mercury, follow the same pathway, each with a different residence time in the forest ecosystem.

Mature ecosystems exhibit a substantial degree of stability, or dynamic equilibrium, as the endpoint of what is often a rather orderly succession of species determined by the nature of the habitat. Sometimes this successional process is a result of the differing life spans of the colonizing species, at other times it comes about because the colonizing species alter the habitat in ways that are more favorable to their competitors, as in an acid moss bog that succeeds a circumneutral sedge fen that has in its turn colonized a pond as a floating mat. Equilibrium may sometimes be represented on a large scale by a relatively stable mosaic of small-scale patches in various stages of succession, for instance in fire-dominated pine forests. On the millennial time scale, of course, ecosystems are not stable, changing very gradually owing to immigration and emigration of species and to evolutionary changes in the species themselves.

The structure, function, and development of ecosystems are controlled by a series of partially independent environmental factors: climate, soil parent material, topography, the plants and animals available to colonize a given site, and disturbances such as fire and windthrow. Each factor is divisible into a variety of components, as in the case of temperature and precipitation under the general heading of climate.

There are many ways to study ecosystems. Evelyn Hutchinson divided them into two main categories, holistic and meristic. The former treats an ecosystem as a “black box” and examines inputs, storages, and outputs, for example in the construction of a lake’s heat budget or a watershed’s chemical budget. This is the physicist’s or engineer’s approach to how ecosystems work. The meristic point of view emphasizes analysis of the different parts of the system and how they fit together in their structure and function, for example, the various zones of a wetland or a soil profile, or the diverse components of food webs. This is the biologist’s approach to how ecosystems work.

Ecosystem studies can also be viewed as a series of elements. The first is, necessarily, a description of the system, its location, boundaries, plant and animal communities, environmental characteristics, and other

features. Description may be followed by any or all of a series of additional elements, including (1) a study of how a given ecosystem compares with others locally, regionally, or globally; (2) how it functions in terms of hydrology, productivity, and biogeochemical cycling of nutrients and toxins; (3) how it has changed over time; and (4) how various environmental factors have controlled its structure, function, and development. Such studies involve empirical observations about relationships within and among ecosystems, experiments to test the causality of such relationships, and model-building to assist in forecasting what may happen in the future.

The ultimate in ecosystem studies is a consideration of the structure, function, and development of the global or planetary ecosystem, with a view to understanding and mitigating the deleterious impacts of current human activities upon it.

*See also* Biotic community.

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Eville Gorham

## Ecosystem health

Ecosystem health is a concept that ecologists are examining as a tool to detect and monitor changes in the quality of the environment, particularly with regard to ecological conditions.

Ecosystem health (and ecological integrity) is an indicator of the well-being and natural condition of ecosystems and their functions. These indicators are influenced by natural changes in environmental conditions and are related to such factors as climate change and disturbances, such as wildfire, windstorms, and diseases. Increasingly, however, ecosystems are being affected by environmental stressors associated with human activities that cause pollution and disturbance, which result in many changes in environmental conditions. Some species, communities, and ecological processes benefit from those environmental changes, but others suffer great damages.

The notion of ecosystem health is intended to help distinguish between ecosystem-level changes that represent improvements and those that are degradations. In this sense, ecosystem level refers to responses occurring in ecological communities, landscapes, or seascapes. Effects on individual organisms or populations do not represent an ecosystem-level response to changes in environmental conditions.

### The notion of health

The notion of ecosystem health is analogous to that of medical health. In the medical sense, health is a term used to refer to the vitality or well-being of individual organisms. Medical health is a composite attribute, because it is characterized by a diversity of inter-related characteristics and conditions. These include blood pressure and chemistry, wounds and injuries, rational mental function, and many other relevant variables. Health is, in effect, a summation of all of these characters related to vitality and well-being. In contrast, a diagnosis of unhealthiness would focus on abnormal values for only one or for several variables within the diverse congregation of health-related attributes. For example, individuals might be judged as unhealthy with a broken leg, a high fever, unusually high blood pressure, or non-normal behavioral traits, even though all their other traits are normal.

To compare human and ecosystem health is, however, imperfect in some important respects. Health is a relative concept. It depends on what is considered normal at a particular stage of development. The aches and pains that are considered normal in a human at age eighty would be a serious concern in a twenty-year old. It is much more difficult, however, to define what to expect in an ecosystem. Ecosystems don't have a prescribed lifespan and generally don't die but rather change into some other form. Because of these problems, some ecologists prefer the notions of

ecological or biological integrity rather than ecosystem health.

It should also be pointed out that many ecologists like none of these notions (that is, ecosystem health, ecological integrity, or biological integrity), because by their very nature, these concepts are imprecise and difficult to define. For these reasons, scientists have had difficulty in agreeing upon the specific variables that should be included when designing composite indicators of health and integrity in ecological contexts.

### Ecosystem health

Ecosystem health is a summation of conditions occurring in communities, watersheds, landscapes, or seascapes. Ecosystem health conditions are higher-level components of ecosystems, in contrast with individual organisms and their populations.

Although ecosystem health cannot be defined precisely, ecologists have identified a number of specific components that are important in this concept. These include the following indicators: (1) an ability of the system to resist changes in environmental conditions without displaying a large response (this is also known as resistance or tolerance); (2) an ability to recover when the intensity of environmental stress is decreased (this is known as resilience); (3) relatively high degrees of biodiversity; (4) complexity in the structure and function of the system; (5) the presence of large species and top predators; (6) controlled nutrient cycling and a stable or increasing content of biomass in the system; and (7) domination of the system by native species and natural communities that can maintain themselves without management by humans. Higher values for any of these specific elements imply a greater degree of ecosystem health, while decreasing or lower values imply changes that reflect a less healthy condition.

Ecologists are also working to develop composite indicators (or multivariate summations) that would integrate the most important attributes of ecosystem health into a single value. Indicators of this type are similar in structure to composite economic indicators such as the Dow-Jones Stock Market Index and the Consumer Price Index. Because they allow complex situations to be presented in a simple and direct fashion, composite indicators are extremely useful for communicating ecosystem health to the broader public.

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Bill Freedman

## Ecosystem management

Ecosystem management (EM) is a concept that has germinated since the last decades of the twentieth century and continues to increase in popularity across the United States and Canada. It is a concept that eludes one concise definition, however, because it embodies different meanings in different contexts and for different people and organizations. This can be witnessed by the multiple variations on its title (e.g., ecosystem-based management or collaborative ecosystem management). The definitions that have been given for EM, though varied, fall into two distinct groups. One group emphasizes long-term ecosystem integrity, while the other group emphasizes an intention to address all concerns equally, be they economic, ecological, political or social, by actively engaging and incorporating the



**Scientist Jean Turquet collecting a sample of *Halimeda* seaweed for analysis in a laboratory to establish which species of microalgae are present on its surface.** (Alexis Rosenfeld/Photo Researchers, Inc.)

multitude of stakeholders (literally, those who hold a stake in the issue) into the decision-making process.

One usable though incomplete definition of EM is provided by R. Edward Grumbine, former director of the Sierra Institute (undergraduate program in wilderness and cultural field studies): “Ecosystem management integrates scientific knowledge of ecological relationships within a complex sociopolitical and values framework toward the general goal of protecting native ecosystem integrity over the long term.” Ultimately, EM is a new way to make decisions about how we humans should live with each other and with the environment that supports us. And, it is best defined not only by articulating an ideal description of its contents, as Grumbine has done, but also through a rigorous analysis of actual EM examples.

### EM—A new management style

Between the years 1992 and 1994, each of the four predominant federal land management agencies in the United States the National Park Service, the Bureau of Land Management, the Forest Service and the Fish and Wildlife Service implemented EM as their operative management paradigm. Combined, these four agencies control 97 percent of the 650 million federally-owned acres (267 million ha) in the United States, or roughly 30 percent of the United States’ entire land area. EM has become the primary management style for these agencies because it became apparent in the 1980s and 1990s that the traditional resource-management style did not work. It was largely ineffective in addressing the loss and fragmentation of wild areas, the increasing number of threatened or endangered species, and the increased occurrence of environmental disputes. This ineffectiveness has been attributed to the traditional management style’s main focus being on species with economic value, its exclusion of the public from the decision-making process, and its reliance on outdated ecological beliefs. This explicit acknowledgment that the traditional management style is inadequate has coalesced within state and federal agencies, academia, and environmental organizations, and has been bolstered by advances in other relevant fields, such as ecology and conflict management.

Break the traditional management style into individual component shows that each ineffective attribute has a new or altered counterpart in EM. One of the best ways to describe what EM actually entails is to explicate this juxtaposition between traditional and new management styles.

EM, as its name makes clear, concentrates on managing at the scale of an ecosystem. Alternately,

traditional resource management has focused only on one or a handful of species, especially those species that have a utilitarian, or more specifically economic, value. For example, the U.S. Forest Service has traditionally managed the national forests so as to produce a sustained yield of timber. This management style is often harmful to species other than timber and can have negative effects on the entire ecosystem. In EM, all significant biotic and abiotic components of the ecosystem, as well as aspects such as economic factors, are, ideally, reviewed, and the important ecological data incorporated into the decision-making process. A review of a forest ecosystem may include an analysis of habitat for significant song birds, a description of the requirements needed to maintain a healthy black bear (*Ursus americanus*) population, and a discussion of acceptable levels of timber production.

A major problem associated with using an ecosystem to define a management area is that boundaries of jurisdictional authority, or political boundaries, rarely follow ecological ones. This implies that by following political boundaries alone, ecological components may be left out of the management plan, so one may be forced to manage only part of an ecosystem, that part which is within one's political jurisdiction. For example, the Greater Yellowstone Ecosystem goes far beyond the boundaries of Yellowstone National Park. Therefore, a large scale EM project for Yellowstone would require crossing several political boundaries (e.g., national park lands and national forest lands), which is a difficult task because it entails several political jurisdictions and political entities (e.g., state and federal agencies, and county governments).

EM projects address this obstacle by forming decision teams that include, among others, representatives from all of the relevant jurisdictions. These decision-making bodies can either act as advisory committees without decision-making authority, or they can attempt to become vested with the power to make decisions. This collaborative process involves all of the stakeholders, whether that stakeholder is a logging company interested in timber production or a private citizen concerned with water quality. Such collaboration diverges from the traditional resource-management method, which made most decisions without public awareness, asking for and receiving little public input. These agencies traditionally shied away from actively engaging the public because it is less complicated and faster to make decisions on one's own than to ask for input from many sources. There has often been an antagonistic and distrustful relationship between state and federal agencies and the public, and there has been little institutional support (i.e., within the structure of

the agency itself) encouraging the manager in the field to invite the public into the decision-making process.

EM's more collaborative and inclusive decision-making style ideally fosters a wiser and more effective decision. This happens because as the decision team works toward consensus, personal relationships are established, some trust may form between parties, and, ultimately, people are more likely to support a decision or plan they help create. EM attempts to transcend the traditional antagonistic relationship between agency personnel and the public. Because 70 percent of the United States is privately owned, many environmental issues arise on private land—land that is only partially affected by federal and state natural resource legislation. EM allows groups to deal with these issues on private lands by establishing a dialogue between private and public decision makers. Finally, even though the EM decision-making style takes longer to conduct, time is saved in the end, because the decision achieved is more agreeable to all interested parties. Having all parties agree to a particular management plan decreases the number of potential lawsuits that can arise and delay the plan's implementation.

### Nonequilibrium ecology and EM

A change in the dominant theories in ecology has encouraged this switch to EM and an ecosystem-level focus.

The idea that environments achieve a climax state of homeostasis has been a significant theory in ecology since the early 1900s. This view, now discredited by most scholars, was most vigorously articulated by American ecologist F.E. Clements (1874–1945) and holds that all ecosystems have a particular end point to which they each progress, and that ecosystems are closed systems. Disturbances such as fires or floods are considered only temporary setbacks on the ecosystem's ultimate progression to a final state. This theory offers a certain level of predictable stability, the type of stability desired within traditional resource management. For example, if a forest is in its climax state, that condition can be maintained by eliminating disturbances such as forest fires, and a predictable level of harvestable timber can be extracted (hence, this theory contributed to the creation of Smokey the Bear, an icon for forest fire prevention, and the national policy of stopping forest fires on public land).

This teleological view of nature has ebbed and waned in importance, but has lost favor especially within the past two decades. Ecologists, among others, have realized that from certain temporal and spatial points of view ecosystems may seem to be in equilibrium,

but in the long term all ecosystems are in a state of nonequilibrium. That is, ecosystems always change. They change because their ecological structure and function is often regulated by dynamic external forces such as storms or droughts and because they are comprised of varied habitat types that change and affect one another.

This acknowledgment of a changing ecosystem means that predictable stability does not really exist and that an adaptive management style is needed to meet the changing requirements of a dynamic ecosystem. With all of the interactions and factors involved in an ecosystem's operation, it becomes necessary to be adaptive and flexible when determining methods of ecosystem management and to focus less on predictions and control of ecosystems. EM is adaptive. After an EM decision team has formulated and implemented a management plan, the particular ecosystem is monitored. The team watches significant biotic and abiotic factors to see if and how they are altered by the management practices. If logging produces changes which effect the fish in one of the ecosystem's streams, the decision team could adapt to the new data and decide to relocate the logging.

The ability to adaptively manage is a crucial aspect of EM, one that is not incorporated in traditional resource management, because the traditional management style emphasizes one or a few species and believes that ecosystems are mostly stable. In traditional ecosystem management, one only needs to view how a particular species fares to determine the necessary management practices; little monitoring is conducted and previous management practices are rarely altered. In EM, management practices are constantly reviewed and adjusted as a result of ongoing data gathering and the goals articulated by the decision team.

### The future of EM

The future of EM in the United States and Canada looks stable, and other countries such as France are beginning to use EM. There are many impediments, though, to the successful implementation of EM. Institutions, such as the United States' federal land management agencies, are often hesitant to change, and, when they do change, it happens very slowly; there are still many legal questions surrounding the legitimacy of implementing EM on federal, state, and private lands; and, even though attempts are made to review the entire ecosystem using EM examples, ecologists still lack significant understanding of how even the most basic ecosystems operate. Even given these impediments, EM may be the primary land management style of the United States and Canada well into the future. Adaptive EM methods will enable users to learn more about

ecosystems in the process of monitoring and management and thus have more detailed insight and involvement in the inner workings of an ecosystem. The continuous involvement required for adaptive management strategies is becoming more important in the face of climate change and imminent natural resource depletion.

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Paul Phifer

Ecotage see **Ecoterrorism;**  
**Monkey-wrenching.**

## Ecoterrorism

In the wake of the terrorist attacks on the World Trade Center in New York City on September 11, 2001, the line between radical environmental protest (sometimes called ecoanarchism) and terrorism became

blurred by strong emotions on all sides. Environmentalists in America have long held passionate beliefs about protecting the environment and saving threatened species from extinction, as well as treating animals humanely and protesting destructive business practices. One of the first and greatest environmentalists, Henry David Thoreau (1817–1862), wrote about the doctrine of “civil disobedience,” or using active protest as a political tool. The author Edward Abbey (1927–1989) became a folk hero among environmentalists when he wrote the novel, *The Monkey Wrench Gang*, in 1975. In that book, a group of militant environmentalists practiced monkey-wrenching, or sabotaging machinery in desperate attempts to stop logging and mining. Monkey-wrenching, in its destruction of private property, goes beyond civil disobedience and is unlawful. The American public has tended to view monkey-wrenchers as idealistic youth fighting for the environment and has not strongly condemned them for their actions. However, the U.S. government views monkey-wrenching as domestic terrorism, and since September 11, 2001, law enforcement activity concerning environmental groups has been significantly increased.

Ecoanarchism is the philosophy of certain environmental or conservation groups that pursue their goals through radical political action. The name reflects both their relation to older anarchist revolutionary groups and their distrust of official organizations. Nuclear issues, social responsibility, animal rights, and grassroots democracy are among the concerns of ecoanarchists. Ecoanarchists tend to view mainstream political and environmental organizations as too passive, and those who maintain them as compromising or corrupt. Ecoanarchists may resort to direct confrontation, direct action, civil disobedience, and guerrilla tactics to fight for survival of wild places. Monkey-wrenchers perform sit-ins in front of bulldozers, they disable machinery in various ways including pouring sand in a bulldozers gas tanks, they ram whaling ships, and they spike trees by driving metal bars into them to discourage logging. Ecoanarchists may practice ecotage, which is sabotage for environmental ends, often of machines that alter the landscape. In the early 2000s, radical environmentalists committed arson on thirty-five sport utility vehicles (SUVs) at a car dealership in Eugene, Oregon, to protest the gas-guzzling vehicles and set fire to buildings at the University of Washington to protest genetic engineering.

Ecoanarchists do not necessarily view the destruction of machinery as out-of-bounds, but the U.S. government views it as terrorism. For instance, Earth First!, a radical environmental group whose motto is “No Compromise in Defense of Mother Earth,” eschews violence against humans but does approve of monkey-

wrenching. The Federal Bureau of Investigation (FBI) defines terrorism as “the unlawful use, or threatened use, of violence by a group or individual...committed against persons or property to intimidate or coerce a government, the civilian population or any segment thereof, in furtherance of political or social objectives.” The FBI reports that an estimated 1,100 criminal acts of ecoterrorism occurred in the United States between 1996 and 2010, with damages estimated at \$110 million. Two groups associated with these acts of sabotage are the militant Earth Liberation Front (ELF) and Animal Liberation Front (ALF) groups. In 1998 ELF took credit for arson at Vail Ski Resort, which resulted in damages of \$12 million. The act was a protest over the resort’s expansion on mountain ecosystems.

Most environmental groups are more peaceful and have voiced concern over radical environmentalists, as well as over law enforcement officials who view all environmental protesters as terrorists. For instance, Greenpeace activists have been known to steer boats in the path of whaling ships and throw paint on nuclear vessels as protest, and have been jailed for doing so, although no people were targeted or injured. People for the Ethical Treatment of Animals (PETA) members have thrown pies in the faces of business executives whom they found guilty of inhumane treatment of animals, considering the act a form of civil disobedience and not violence.

The issues of ecoterrorism and ecoanarchism become more heated as environmental degradation worsens. Environmentalists become more desperate to protect rapidly disappearing endangered areas or species, whereas industry continually seeks new resources to replace those being used up. Thrown in the middle are law enforcement officials, who must protect against violence and destruction of property, and also uphold citizens’ basic rights to protest.

The most famous ecoterrorist has been Theodore Kaczynski, also known as the Unabomber, who was convicted of murder in the mail-bombing of the president of the California Forestry Association. On the other end of the spectrum of environmental protest is Julia Butterfly Hill, whom ecoterrorists and ecoanarchists would do well to emulate. Hill is an activist who lived in a California redwood tree for two years to prevent it from being cut down by the Pacific Lumber Company. Hill practiced a nonviolent form of civil disobedience and endured what she perceived as violent actions from loggers and timber company. Her peaceful protest brought national attention to the issue of logging in ancient growth forests, and a compromise was eventually reached between environmentalists and the timber company. Unfortunately, the tree in which Hill sat, which she named Luna, was damaged by angry loggers.

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Douglas Dupler

## Ecotone

The boundary between adjacent ecosystems is known as an ecotone. For example, the intermediary zone between a grassland and a forest constitutes an

ecotone that has characteristics of both ecosystems. The transition between the two ecosystems may be abrupt or, more commonly, gradual. Because of the overlap between ecosystems, an ecotone usually contains a larger variety of species than is to be found in either of the separate ecosystems and often includes species unique to the ecotone. This effect is known as the edge effect. Ecotones may be stable or variable. Over time a forest may invade a grassland. Changes in precipitation are one important factor in the movement of ecotones.

## Ecotourism

Ecotourism is ecology-based tourism, focused primarily on natural or cultural resources such as scenic areas, coral reefs, caves, fossil sites, archeological or historical sites, and wildlife, particularly rare and endangered species.

The successful marketing of ecotourism depends on destinations that have biodiversity, unique geologic features, and interesting cultural histories, as well as an



Tourists photographing blue footed boobies from dingy in the Galapagos islands (© David Hosking / Alamy)

adequate infrastructure. In the United States, national parks are perhaps the most popular destinations for ecotourism, particularly Yellowstone National Park, the Grand Canyon, the Great Smoky Mountains, and Yosemite National Park. In 2007 there were 272 million recreational visits to the national parks. Some of the leading ecotourist destinations outside the United States include the Galapagos Islands in Ecuador; the wildlife parks of Kenya, Tanzania, and South Africa; the mountains of Nepal; and the national parks and forest reserves of Costa Rica.

Tourism is one of the world's leading industries, on a par with the oil, food, and automotive industries, with international travel alone producing more than \$852 billion in export earnings in 2009. There were 800 million international tourists in 2009, and 235 million tourism jobs. Adventure tourism, which includes ecotourism, accounts for 10 percent of this market. In developing countries tourism can comprise as much as one-third of trade in goods and services, and much of this is ecotourism. Wildlife-based tourism in Kenya, for example, generated \$752 million in 2008, a fall from the previous year's high of \$917 million because of political unrest in the country at the start of 2008.

Ecotourism is not a new phenomenon. In the late 1800s railroads and steamship companies were instrumental in the establishment of the first national parks in the United States, recognizing even then the demand for experiences in nature and profiting from transporting tourists to destinations such as Yellowstone and Yosemite. However, ecotourism has recently taken on increased significance worldwide.

There has been a tremendous increase in demand for such experiences, with adventure tourism increasing at a rate of 30 percent annually. But there is another reason for the increased significance of ecotourism. It is a key strategy in efforts to protect cultural and natural resources, especially in developing countries, because resource-based tourism provides an economic incentive to protect resources. For example, rather than converting tropical rain forests to farms, which may be short-lived, income can be earned by providing goods and services to tourists visiting the rain forests.

Although ecotourism has the potential to produce a viable economic alternative to exploitation of the environment, it can also threaten it. Water pollution, litter, disruption of wildlife, trampling of vegetation, and mistreatment of local people are some of the negative impacts of poorly planned and operated ecotourism. To distinguish themselves from destructive tour companies, many reputable tour organizations have adopted environmental codes of ethics which explicitly

state policies for avoiding or minimizing environmental impacts. In planning destinations and operating tours, successful firms are also sensitive to the needs and desires of the local people, for without native support efforts in ecotourism often fail.

Ecotourism can provide rewarding experiences and produce economic benefits that encourage conservation. The challenge upon which the future of ecotourism depends is the ability to carry out tours that the clients find rewarding, without degrading the natural or cultural resources upon which they is based.

*See also* Earthwatch; National Park Service.

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Ted T. Cable

## Ecotoxicology

Ecotoxicology is a field of science that studies the effects of toxic substances on ecosystems. It analyzes environmental damage from pollution and predicts the consequences of proposed human actions in both the short-term (days, weeks, and months) and the long-term (years and decades). With more than 150,000 chemicals in commercial use as of 2010 and thousands more being introduced each year, the scale of the task is daunting.

Ecotoxicologists use a variety of methods to measure the impact of harmful substances on people, plants, and animals. Toxicity tests measure the response of biological systems to a substance to determine if it is poisonous. A test can study, for example, how well fish live, grow, and reproduce in various concentrations of industrial effluent. Another test determines what concentration of metal contaminants in soil damages plants' ability to convert sunlight into food. Another test measures how various concentrations of pesticides in agricultural runoff affect sediment and nutrient absorption in wetlands. Analyses of the chemical fate of a pollutant (i.e., where a pollutant goes once it is released into the environment) can be combined with toxicity-test

information to predict environmental response to pollution. Because toxicity is the interaction between a living system and a substance, only living plants, animals, and systems can be used in these experiments.

Although not used routinely in the field, ecotoxicology research also involves the detection of changes to the genetic material of organisms exposed to various toxic compounds. Some compounds are able to alter deoxyribonucleic acid (DNA) by causing deletions of a portion of the DNA or by changing the composition of the DNA building blocks (nucleotides). Because DNA is an information code for the production of various proteins and other molecules needed by the organism, these changes (mutations) can affect organism function. Some of the changes can be harmful or even lethal.

The genetic approach to ecotoxicology tends to be confined to the research lab, where the possible consequences of various compounds are explored. As with other genetic tests, however, the aim is to develop tests that are applicable in real-life situations.

More practically, another tool used in ecotoxicology is the field survey. The purpose of a field survey is to clarify and detail the ecological conditions, including pollution levels, in both healthy and damaged natural systems. Surveys often focus on the number and variety of plants and animals supported by the ecosystem, but they can also characterize other valuable attributes, such as crop yield, commercial fishing, timber harvest, or aesthetics. Information from a number of field surveys can be combined for an overview of the relationship between pollution levels and the ecological condition.

At first glance, it might seem that ecotoxicology might only involve measuring the concentration of a toxic substance and predicting what the consequences of the toxin levels could be. However, this approach by itself is insufficient, because chemical analysis alone cannot predict environmental consequences in most cases. Unfortunately, interactions between toxicants and the components of ecosystems are not clear; in addition, because the interactions in the components of an ecosystem are complex and may not all be known, ecotoxicologists have not yet developed simulation models that allow them to make predictions based on chemical concentration alone. For example:

- An ecosystem's response to toxic materials is greatly influenced by environmental conditions. The concentration of zinc that will kill fish or larvae in a relatively soft water may not be lethal in harder waters. As well, different aquatic species can exhibit

different sensitivities to a given compound. Many relationships between environmental conditions and toxicity have not been established.

- Most pollutants are a complex mixture of chemicals, not just a single substance. In addition, some chemicals are more harmful when combined with other toxicants, a phenomenon termed synergy.
- Some chemicals are toxic at concentrations and levels too small to be measured. Yet they may still have an adverse effect, in particular in creating a genetic mutation or in affecting the physiology of the exposed organism.
- An organism's response to toxic materials can be influenced by other organisms in the community. For example, a fish exposed to pollution may be unable to escape from its predators.

Ecotoxicologists rely on information from all the approaches available to them. Field surveys prove that some important characteristic of the ecosystem has been damaged, chemical measurements confirm the presence of a toxicant, and toxicity tests link a particular toxicant to a certain type of damage. Finally, genetic monitoring can indicate the possibility that the pollutant is capable of causing mutations.

The scope of environmental protection has broadened considerably over the years, and the types of ecotoxicological information required have also changed. Toxicity testing began as an interest in the effects of various substances on human health. Gradually this concern extended to the other organisms that were most important to humans—domestic animals and crop plants—and finally spread to other organisms that are less apparent or universal in their importance. In addition, the toxicant must not eliminate or taint the plants and/or animals upon which they feed nor can it destroy their habitat. Indirect effects of toxicants, which can also be devastating, are difficult to predict. A chemical that is not toxic to an organism, but instead destroys the grasses in which it lays eggs or hides from predators, will be indirectly responsible for the death of that organism.

Because each one of the millions of species on this planet cannot be tested before a chemical is used, ecotoxicologists test a few representative species to characterize toxicity. If a pollutant is found in rivers, testing might be done on an alga, an insect that eats algae, a fish that eats insects, and a fish that eats other fish. Other representative species are chosen by their habitat: on the bottom of rivers, midstream, or in the soil. Regardless of the sampling scheme, however,

thousands of organisms that will be affected by a pollutant will not be tested.

Despite the unknowns of ecosystems, predictions need to be made about the consequences of ecotoxins. Statistical techniques can predict the response of organisms in general from information on a few randomly selected organisms. Another approach tests the well-being of higher levels of biological organization. Since natural communities and ecosystems are composed of a large number of interacting species, the response of the whole reflects the responses of its many constituents. The health of a large and complex ecosystem cannot be measured in the same way as the health of a single species, however. Different attributes are important. For example, examining a single species, such as cattle or trout, might require measuring respiration, reproduction, behavior, growth, or tissue damage. The condition of an ecosystem might be determined by measuring production, nutrient distribution, or colonization.

The consequences of environmental degradation can be severe and long-lasting. As one example, monitoring studies have determined that the damage to portions of the Alaskan shoreline caused by the release of oil from the Exxon Valdez into Prince William Sound beginning in 1989 persisted to at least 2007. Another example occurred, on April 20, 2010 when an explosion on the Deepwater Horizon oil-drilling platform in the Gulf of Mexico triggered the rupture of a deep-water oil pipeline. Upwards of 60,000 barrels of oil (2,520,000 U.S. gallons) per day gushed into the Gulf waters for eighty-seven days. Although some short-term consequences were well-documented, as of July 2010, the ultimate environmental fate of the massive amount of oil that remained underwater was unknown.

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John Cairns Jr.

## Ecotype

A recognizable geographic variety, population, or ecological race of a widespread species equivalent to a taxonomic subspecies. Typically, ecotypes are restricted to one habitat and are recognized by distinctive characteristics resulting from adaptations to local selective pressures and isolation. For example, a population or ecotype of species found at the foot of a mountain may differ in size, color, or physiology from another ecotype living at higher altitudes, thus reflecting a sharp change in local selective pressures. Members of an ecotype are capable of interbreeding with other ecotypes within the same species, usually without loss of fertility or vigor.

*Ectopistes migratorius* see **Passenger pigeon**.

## Edaphic

Refers to the relationship of soil characteristics to attributes expressed in plants and animals. Soil characteristics influence living things, particularly plants. For example, soils with a low pH will more likely have plants growing on them that are adapted to this level of soil acidity. Animals living in the area will most likely eat these acid-loving plants. In general, the more extreme a soil characteristic, the smaller the population able to adapt to the soil environment.

## Edaphology

The study of soil, including its ecosystem role, value, and management as a medium for plant growth and as a habitat for animals. This branch of soil science covers physical, chemical, and biological properties, including soil fertility, acidity, water relations, gas and energy exchanges, microbial ecology, and organic decay.

## Eelgrass

Eelgrass is the common name for a genus of perennial grass-like flowering plants referred to as *Zostera*. *Zostera* is from the Greek word *zoster*, meaning

belt, which describes the dark green, long, narrow, ribbon shape of the leaves that range in size from 20 to 50 centimeters in length, but can grow up to 2 meters. Eelgrass grows underwater in estuaries and in shallow coastal areas. Eelgrass is a member of a group of land plants that migrated into the sea in relatively recent geologic times and is not a seaweed.

Eelgrass grows by the spreading of rhizomes and by seed germination. Eelgrass flowers are hidden behind a transparent leaf sheath. Long filamentous pollen is released into the water, where it is spread by waves and currents. Both leaves and rhizomes contain lacunae, which are air spaces that provide buoyancy.

Eelgrass grows rapidly in shallow waters and is highly productive, thus providing habitats and food for many marine organisms in its stems, roots, leaves, and rhizomes. Eelgrass communities (meadows) provide many important ecological functions, including:

- anchoring of sediments with the spreading of rhizomes, which prevents erosion and provides stability
- decreasing the impact of waves and currents, resulting in a calm environment where organic materials and sediments can be deposited
- providing food, breeding areas, shelter, and protective nurseries for marine organisms of commercial, recreational, and ecological importance
- concentrating nutrients from seawater that are then available for use in the food chain
- serving as food for water fowl and other animals, such as snails and sea urchins
- as detritus (decaying plant matter), providing nutrition to organisms within the eelgrass community, in adjoining marshes, and in offshore sinks at depths up to 30,000 feet.

Eelgrass growth can be adversely impacted by human activities, including dredging, logging, shoreline or over-water construction, power plants, oil spills, pollution, and species invasion.

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Judith L. Sims

## Effluent

For geologists, effluent refers to a wide range of substances and situations, from lava outflow to water-course origins and transformations. However, effluent is now most commonly used by environmentalists in reference to the Clean Water Act of 1977. In this act, effluent is a discharge from a point source, and the legislation specifies allowable quantities of pollutants. These discharges are regulated under Section 402 of the act, and these standards must be met before these types of industrial and municipal wastes can be released into surface waters.

*See also* Industrial waste treatment; Thermal pollution; Water pollution; Water quality.

## Effluent tax

Effluent tax refers to the fee paid by a company to discharge to a sewer. As originally proposed, the fee would have been paid for the privilege of discharging into the environment. However, there is presently no fee structure that allows a company, municipality, or person to contaminate the environment above the levels set by water-quality criteria and effluent permits, unless fines levied by a regulatory agency could be deemed to be such fees.

Fees are now charged on the basis of simply being connected to a sewer and the types and levels of materials discharged to the sewer. For example, a municipality might charge all sewer customers the same rate for domestic sewage discharges below a certain flowrate. Customers discharging wastewater at a higher strength and/or flowrate would be assessed an incremental fee proportional to the increased amount of contaminants and/or flow. This charge is often referred to as a sewer charge, fee, or surcharge.

There are cases in which wastewater is collected and tested to ensure that it meets certain criteria (e.g., required level of oil or a toxic metal, etc.) before it is discharged into a sewer. If the criteria are exceeded, the wastewater would require pretreatment. Holding the water before discharge is generally only practical when flowrates are low. In other situations it may not be possible to discharge to a sewer because the treatment facility is unable to treat the waste; thus, many hazardous materials must be managed in a different manner.

Effluent taxes force dischargers to integrate environmental concerns into their economic plans and operational procedures. The fees cause firms and agencies to rethink water conservation policies, waste minimization, processing techniques and additives, and pollution control strategies. Effluent taxes, as originally proposed, might be instituted as an alternative to stringent regulation, but the sentiment of the current public and regulatory agencies is to block any significant degradation of the environment. It appears to be too risky to allow pollution on a fee basis, even when the fees are high. Thus, in the foreseeable future, effluents to the environment will continue to be controlled by means of effluent limits, water quality criteria, and fines. However, the taxing of effluents to a sewer is a viable means of challenging industries to enhance pollution control measures and stabilizing the performance of downstream treatment facilities.

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Gregory D. Boardman

Eggshell thinning see **Dichlorodiphenyl-trichloroethane.**

## E<sub>H</sub>

A measure of the oxidation/reduction potential (redox potential) of a chemical species or entity (natural water, sediment, soil, etc). E<sub>H</sub> can be measured with a potentiometer (e.g., a pH meter adjusted to read in volts) using an inert platinum electrode and a reference electrode (calomel or silver/silver chloride). E<sub>H</sub> is often reported in volts or millivolts, and is referenced to the potential for the oxidation of hydrogen gas to hydrogen ions (H<sup>+</sup>). This electron transfer reaction is assigned a potential of zero on the relative potential scale. The oxidation and reduction reactions in natural systems are pH dependent and the interpretation of E<sub>H</sub> values requires a knowledge of the pH. At pH 7 the E<sub>H</sub> in water in equilibrium with the oxygen in air is +0.76v. The lowest possible potential is - 0.4v when oxygen and other electron acceptors are depleted and

methane, carbon dioxide, and hydrogen gas are produced by the decay of organic matter.

*See also* Electron acceptor and donor.

## Ehrlich, Paul R.

1932–

American population biologist and ecologist

Paul Ehrlich is an American population biologist who is a member of the department of Biological Sciences at Stanford University. An entomologist by training, he is best known for his warnings of the potential consequences of population growth.

Born in Philadelphia, Paul R. Ehrlich had a typical childhood during which he cultivated an early interest in entomology and zoology by investigating the fields and woods around his home. As he entered his teen years, Ehrlich grew to be an avid reader. He was particularly influenced by ecologist William Vogt's book, *Road to Survival* (1948), in which the author outlined the potential global consequences of imbalance between the growing world population and level of food supplies available. This concept is one Ehrlich has discussed and examined throughout his career. After high school, Ehrlich attended the University of Pennsylvania where he earned his undergraduate degree in zoology in 1953. He received his master's degree from University of Kansas two years later and continued at the university to receive his doctorate in 1957. His degrees led to postgraduate work on various aspects of entomological projects, including observing flies on the Bering Sea, the behavioral characteristics of parasitic mites, and (his favorite) the population control of butterfly caterpillars with ants rather than pesticides. Other related field projects have taken him to Africa, Alaska, Australia, the South Pacific and Southeast Asia, Latin America, and Antarctica. His travels enabled him to learn firsthand the ordeals endured by those in overpopulated regions.

In 1954 he married Anne Fitzhugh Howland, biological research associate, with whom he wrote the best-selling book, *The Population Bomb* (1968). In the book, the Ehrlichs focus on a variety of factors contributing to overpopulation and, in turn, world hunger. It is evident throughout the book that the words and warnings of *The Survival Game* continued to exert a strong influence on Ehrlich. The authors warned that birth and death rates worldwide need to

be brought into line before nature intervenes and causes (through ozone layer depletion, global warming, and soil exhaustion, among other environmental degradation) massive deaths among humans. Human reproduction, especially in highly developed countries like the United States, should be discouraged through levying taxes on diapers, baby food, and other related items; compulsory sterilization among the populations of certain countries should be enacted. The authors' feelings on *compulsory* sterilization have relaxed somewhat since 1968. Ehrlich himself underwent a vasectomy after the birth of the couple's first and only child.

In 1968 Ehrlich founded Zero Population Growth, Inc., an organization established to create and rally support for balanced population levels and the environment. He has been a faculty member at Stanford University since 1959 and as of 2010 is a full professor of Population Studies in the Biological Sciences Department. In addition, Ehrlich has been a news correspondent for NBC since 1989. In 1993 he was awarded the Crafoord Prize in Population Biology and the Conservation of Biological Diversity from the Royal Swedish Academy of Sciences and received the World Ecology Medal from the International Center for Tropical Ecology. In 1994 Ehrlich was given the United Nations Environment Programme Sasakawa Environment Prize.

Among Ehrlich's published works are *The Population Bomb* (1968); *The Cold and the Dark: The World After Nuclear War* (1984); *The Population Explosion* (1990); *Healing the Planet* (1991); *Betrayal of Science and Reason* (1996), which was written with his wife; and *Human Natures: Genes, Cultures, and the Human Prospect*, which was published in 2000. In 2001 Ehrlich was the recipient of the Distinguished Scientist Award. His latest book, co-authored with his wife and published in 2008, is *The Dominant Animal: Human Evolution and the Environment*.

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Kimberley A. Peterson

*Eichhornia crassipes* see **Water hyacinth.**

## El Niño

El Niño, also referred to as El Niño/La Niña-Southern Oscillation (ENSO), is the most powerful weather event on Earth, disrupting weather patterns across half of Earth's surface. El Niño is caused by temperature changes in the surface waters in the tropical Pacific Ocean. Generally, when these waters of the eastern Pacific Ocean become warmer (the warm phase) it is called El Niño, but when they cool down (the cold phase), it is called La Niña. In addition, both phases run parallel to air pressure differences in the Pacific Ocean: El Niño is present with high atmospheric pressure in the west Pacific, whereas La Niña occurs when low atmospheric pressure is found in the same waters.

The three-to-seven-year cycle of the ENSO brings lingering rain to some areas and severe drought to others. El Niño develops when currents in the Pacific Ocean shift, bringing warm water eastward from Australia toward Peru and Ecuador. Heat rising off warmer water shifts patterns of atmospheric pressure, interrupting the high-altitude wind currents of the jet stream and causing climate changes.

El Niño, which means “the boy” in Spanish but is sometimes also referred to as the “Christ Child” or “the child,” tends to appear in December (at around Christmas). The phenomenon was first noted by Peruvian fishermen in the 1700s, who saw a warming of normally cold Peruvian coastal waters and a simultaneous disappearance of anchovy schools that provided their livelihood.

A recent El Niño began to develop in 1989, but significant warming of the Pacific did not begin until late in 1991, reaching its peak in early 1992 and lingering until 1995—the longest-running El Niño on record. Typically, El Niño results in unusual weather and short-term climate changes that cause losses in crops and commercial fishing. El Niño contributed to North America's mild 1992 winter, torrential flooding in southern California, and severe droughts in southeastern Africa. Wild animals in central and southern

Africa died by the thousands, and 20 million people were plagued by famine. The dried prairie of Alberta, Canada, failed to produce wheat, and Latin America received record flooding. Droughts were felt in the Philippines, Sri Lanka, and Australia, while Turkey experienced heavy snowfall. The South Pacific saw unusual numbers of cyclones during the winter of 1992. El Niño's influence also seems to have suppressed some of the cooling effects of Mount Pinatubo's 1991 explosion.

Another El Niño peaked early in 2010. However, like many of them, this El Niño did not do what scientists thought it would. In fact, Dr. William Patzert, an oceanographer for the Jet Propulsion Laboratory in California, called this El Niño "quirky" (cited from NASA). Patzert made this comment because heavy rains were expected but, instead, the West Coast of the United States alternated between months of warm temperatures and dry weather and months of cold temperatures and wet weather.

Scientists mapping the sea floor of the South Pacific near Easter Island found one of the greatest concentrations of active volcanoes on Earth. The discovery has intensified debate over whether undersea volcanic activity could change water temperatures enough to affect weather patterns in the Pacific. Some scientists speculate that periods of extreme volcanic activity underwater could trigger El Niño.

El Niño ends when the warm water is diverted toward the North and South Poles, emptying the moving reservoir of stored energy. During this phase the cycle is called La Niña (which means "the girl" in Spanish). Before El Niño can develop again, the western Pacific must refill with warm water, which takes at least two years.

Scientific research has explored the connection between El Niño and climate change. Although current research suggests that this disruption in the ocean-atmosphere system is not caused by climate change, the frequency and intensity of ENSO has increased in the past few decades along with increases in global ocean temperatures. Research has shown the warmer sea temperatures can enhance the occurrence of ENSO. Researchers are continuing studies to uncover the relationship between El Niño and climate change.

*See also* Atmosphere; Desertification.

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Linda Rehkopf

EIS see **Environmental Impact Statement**.

Electric automobiles see **Transportation**.

## Electric utilities

Energy utilities do not produce energy, but convert it from one form to another. Electric utilities are involved in the conversion of energy to electricity. The conversion can involve petroleum, natural gas, coal, nuclear power, and water (e.g., dams).

The major participants in the electric power industry in the United States were, as of 2010, about 159 investor-owned utilities that generated nearly 80 percent of the power and supply about three-quarters of the customers. When publicly owned cooperative and federal government utilities are added, the list grows to almost 3,300 utilities.

In the period after World War II, the electric utilities industry focused primarily on expansion.



A transformer station for the Eon electric utility plant in Grosskrotzenburg, Germany. (© imagebroker.net / SuperStock)

During this period, demand increased at a rate of more than 7 percent per year, with construction of new generation facilities and power lines needed to meet the growing demand. Public utility commissions lowered prices, which stimulated additional demand. As long as prices continued to fall, demand continued to rise, and additional construction was necessary. New construction also occurred because the rate of return for the industry was regulated, and the only way for it to increase profits was to expand its rate base by building new plants and equipment.

This period of industry growth came to an end in the 1970s, primarily as a result of the energy crisis. Economic growth slowed, and fuel prices escalated. The financial condition of the industry was further affected as capital costs for nuclear power and coal power plants increased.

During the 1970s, many people also came to believe that coal and nuclear power plants were a threat to the environment. They argued that new options had to be developed and that conservation was important. The federal government implemented new environmental

and safety regulations that further increased utility costs. Changes in government regulations also affected the electric utilities industry. In 1978 the federal government deregulated interstate power sales and required utilities to purchase alternative power, such as solar energy, from qualifying facilities at fully avoided costs.

By the end of the 1970s, the era of expansion of the electric utilities had passed. Since the beginning of the 1980s, the industry has adopted many different strategies, with different segments following different courses based on divergent perceptions of the future. Almost all utilities have tried to negotiate long-term contracts, which would lower their fuel-procurement costs, and attempts have also been made to limit the costs of construction, maintenance, and administration. Many utilities redesigned their rate structures to promote use when excess capacity was available and discourage use when it was not. Multiple rate structures for different classes of customers were also implemented for this purpose.

*See also* Economic growth and the environment; Energy and the environment; Energy conservation;

Energy efficiency; Energy path, hard vs. soft; Energy policy; Geothermal energy; Renewable energy; Wind energy.

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Alfred A. Marcus

## Electromagnetic field

Electromagnetic fields (EMFs) are low-level radiation generated by electrical devices, including power lines, household appliances, and computer terminals. They penetrate walls, buildings, and human bodies, and virtually all Americans are exposed to them, some to relatively high levels. Several dozen studies, conducted mainly since the 1990s, suggest that exposure to EMFs at certain levels may cause serious health effects, including childhood leukemia, brain tumors, and damage to fetuses. But other studies show no such connections.

EMFs are strongest around power stations, high-current electric power lines, subways, hand-held radar guns and large radar equipment, and microwave power facilities and relay stations. Common sources of everyday exposure include electric razors, hair dryers, computer video display terminals (VDTs), television screens, electric power tools, electric blankets, cellular telephones, and appliances such as toasters and food blenders.

The electricity used in North American homes, offices, and factories is called alternating current (AC), because it alternates the direction of flow at 60 cycles a second, which is called 60 hertz (Hz) power. Batteries, in contrast, produce direct current (DC). There are also other devices, many of which are found in the typical household, that convert electrical power from one form to the other. A rectifier converts

a wall socket's AC power into DC for powering various devices around the home and office. A personal computer (PC), for instance, must have the household AC power (supplied through the power cord) converted into DC electrical power for use by the PC's electronic circuitry. An inverter performs the opposite function of a rectifier—DC power is converted into AC.

The electric charges of 60 Hz power create two kinds of fields: electric fields, from the strength of the charge, and magnetic fields, from its motion. These fields, taken together, are called electromagnetic fields, and they are present wherever there is electric power. A typical home exposes its residents to electromagnetic fields of 1 or 2 milligauss (a unit of strength of the fields). But under a high voltage power line, the EMF can reach 100–200 milligauss.

The electromagnetic spectrum includes several types of energy or radiation. The strongest and most dangerous are x-rays, gamma rays, and ultraviolet rays, all of which are types of ionizing radiation, the kind that contains enough energy to break apart molecular bonds within human cells. Ionizing radiation can cause cancer and even instant death at certain levels of exposure. The other forms of radiation are non-ionizing and do not have enough energy to break up the chemical bonds holding cells together.

Microwave radiation constitutes the middle frequencies of the electromagnetic spectrum and includes radio frequency (RF), radar, and television waves, visible light and heat, and infrared radiation. Microwave radiation is emitted by VDTs, microwave ovens, satellites and earth terminals, radio and television broadcast stations, CB radios, security systems, and sonar, radar, and telephone equipment. Because of the pervasive presence of microwave radiation, virtually the entire American population is routinely exposed to it at some level. It is known that microwave radiation has biological effects on living cells.

The type of radiation generally found in homes is extremely low frequency (ELF), and it has, until recently, not been considered dangerous, because it is non-ionizing and non-thermal. However, numerous studies over the last two decades provide evidence that the ELF range of EMFs can have serious biological effects on humans and can cause cancer and other health problems. Some scientists argue that the evidence is not yet conclusive or even convincing, but others contend that exposure to EMFs may represent a potentially serious public health problem.

After reviewing much of this evidence and data, the U.S. Environmental Protection Agency (EPA) released a draft report in December 1990 that determined that

significant documentation exists linking EMFs to cancer in humans, and called for additional research on the matter. The study concluded that “several studies showing leukemia, lymphoma, and cancer of the nervous system in children exposed to magnetic fields from residential 60-Hz electrical power distribution systems, supported by similar findings in adults in several occupational studies also involving electrical power frequency exposures, show a consistent pattern of response which suggests a causal link.”

Concerning laboratory studies of the effects of EMFs on cells and the responses of animals to exposure, EPA found that “there is reason to believe that the findings of carcinogenicity in humans are biologically plausible.” EPA scientists further recommended classifying EMFs as a “class B-1 carcinogen,” like cigarettes and asbestos, meaning that they are a probable source of human cancer.

Fetal damage has been cited as another possible effect of EMFs, with higher-than-normal rates of miscarriages reported among pregnant women using electric blankets, waterbeds with electric heaters, and VDTs for a certain number of hours a day. But, other studies have failed to establish a link between EMFs and miscarriages.

In October 1996 the National Research Council of the National Academy of Sciences issued an important report on the feared dangers of power lines, examining and analyzing more than 500 published studies conducted over the previous seventeen years. In announcing the report’s findings, the council’s panel of experts stressed that it could find no discernable hazard to human health from EMFs.

The announcement was hailed by the electric power industry, and widely reported in the news as disputing the alleged link between power lines and cancer. But what the report itself actually said was that there was “no conclusive and consistent evidence that shows that exposure to residential electric magnetic fields produce cancer,” a severe burden of proof indeed.

Although the study did not find proof that electrical lines pose health hazards to humans, it did conclude that the association between proximity to power lines and leukemia in children was “statistically significant” and “robust.” It observed that a dozen or so scientific studies had determined that children living near power lines are 1.5 times more likely to develop childhood leukemia than are other children.

Moreover, the study noted that seventeen years of research had not identified any other factors that account for the increased cancer risk among children living near

power lines. EMFs thus remain in the minds of many of the most likely, albeit unproven, cause of these cancers.

More recent research has discounted some of the earlier, tentative findings of health risks from EMF exposure. A report from the U.S. National Institutes of Health (NIH), dated June 2002, discounted any link between EMF exposure and cancer, in either children or adults, with the one possible exception of a tenuous link between EMF exposure and childhood leukemia. Even in the case of childhood leukemia, the NIH report stated that “there is [no] association between power line configurations (wire codes) and childhood leukemia”; however, the same report found “a weak association between measured fields and childhood leukemia,” but that “it is not clear whether it represents a cause-and-effect relationship.”

Various theories account for how EMFs could potentially cause or promote cancer. Some scientists speculate that when cells are exposed to EMFs, normal cell division and DNA function can be disrupted, leading to genetic damage and increased cell growth and, thus, cancer. Indeed, research has shown that ELF fields can speed the growth of cancer cells and make them more resistant to the body’s immune system. The effects of EMFs on the cell membrane, on interaction and communication between groups of cells, and on the biochemistry of the brain are also being studied.

Hormones may also be involved. Weak EMFs are known to lower production of melatonin, a strong hormone secreted by the pineal gland, a tiny organ near the center of the brain. Melatonin strengthens the immune system and depresses other hormones that help tumors grow. This theory might help explain the tremendous increase in female breast cancer in developed nations, where electrical currents are so pervasive, especially the kitchen. The theory may also apply to electric razors, which operate in close proximity to the gland, and whose motors have relatively high EMFs. A 1992 study found that men with leukemia were more than twice as likely to have used an electric razor for more than two-and-one-half minutes a day, compared with men who had not. The study also found weaker associations between leukemia and the use of hand-held massagers and hair dryers. Another hypothesis involves the motions of charged particles within EMFs, with calcium ions, for example, accelerating and damaging the structure of cell membranes under such conditions.

With conflicting and inconclusive data being cited by scientists and advocates on both sides of the debate, it is unlikely that the controversy over EMFs will be resolved any time soon.

Since the beginnings of widespread cell-phone use in the 1980s, there has been concern that electromagnetic fields (radio signals) emitted by cell phones might cause brain cancer or other harm. In the 1990s, a number of studies found no tendency for heavy cell-phone users to get more brain cancers than other people. However, critics pointed to the short-term nature of these studies, with an average period of cell-phone use of only three years. In 2007 and 2008, the debate over cell phones and brain cancer revived when several scientific studies from Israel and Europe tracking ten year cell-phone use were published. The studies found a correlation between certain rare head cancers and cell-phone use, with the cancers happening more often on the side of the head where the patients habitually held their cell phones. Many experts disputed the results, but others urged caution, especially with regard to children. Because children have thinner skulls than adults, more radio energy from a cell phone held to a child's ear is absorbed into the brain. Cell-phone use continues to increase among children under age twelve; by 2010 there were more than 20 million pre-teen cell-phone users in the United States alone. Another cell-phone health concern was the possible effect of cell-phone radio signals on brain function: A 2004 study published by the Institute of Electrical and Electronics Engineers (IEEE) found that radio waves from mobile telephones could modify both the waking and sleeping electrical activity of the human brain, with unknown consequences. Using a headset, which allows the phone's radio transmitter to be kept away from the head, is one way of reducing any possible risk from cell phone emissions.

A major 2005 medical study found that distraction (unrelated to electromagnetic fields) while driving and talking on a cell phone makes a driver four times more likely to be hospitalized by a traffic accident and that headset use does not alter this statistic.

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Lewis G. Regenstein

## Electron acceptor and donor

Electron acceptors are ions or molecules that act as oxidizing agents in chemical reactions. Electron donors are ions or molecules that donate electrons and are reducing agents. In the combustion reaction of gaseous hydrogen and oxygen to produce water ( $\text{H}_2\text{O}$ ), two hydrogen atoms donate their electrons to an oxygen atom. In this reaction the oxygen is reduced to an oxidation state of -2, and each hydrogen is oxidized to +1. Oxygen is an oxidizing agent (electron acceptor), and hydrogen is a reducing agent (electron donor). In aerobic (with oxygen) biological respiration, oxygen is the electron acceptor accepting electrons from organic carbon molecules, and as a result oxygen is reduced to -2 oxidation state in  $\text{H}_2\text{O}$ , and organic carbon is oxidized to +4 in  $\text{CO}_2$ . In flooded soils, after oxygen is reduced by aerobic respiration, nitrate and sulfate, as well as iron and manganese oxides can act as electron acceptors for microbial respiration. Other common electron acceptors include peroxide and hypochlorite (household bleach), which are bleaching agents because they can oxidize organic molecules. Other common electron donors include antioxidants, such as sulfite.

## Electrostatic precipitation

A technique for removing particulate pollutants from waste gases prior to their exhaustion to a stack. A system of thin wires and parallel metal plates are charged by a high-voltage direct current (DC) with the wires negatively charged and the plates positively charged. As waste gases containing fine particulate pollutants (i.e., smoke particles, fly ash, etc.) are passed through this system, electrical charges are transferred from the wire to the particulates in the gases. The charged particulates are attracted to the plates within the device, where they are then dislodged during short intervals when the DC current is interrupted. (Stack gases can be shunted to a second parallel device during this period). They fall to a collection bin below the plates. Under optimum conditions, electrostatic precipitation is 99 percent efficient in removing particulates from waste gases.

## Elemental analysis

Chemists have developed a number of methods by which they can determine the elements present in a material as well as the amount and percentage composition of

each element. Elemental analysis includes use of nuclear magnetic resonance (NMR), flame spectroscopy, mass spectrometry, and tests designed to detect specific elements. As technology improves, elemental analysis becomes more accurate. Sensitivity is also enhanced, and concentrations of a few parts per million of an element or less can often be detected even under field conditions. Elemental analysis is valuable in environmental work to determine the presence of a contaminant or pollutant.

## Elephants

The elephant is a large mammal with a long trunk and tusks. The trunk is an elongated nose used for feeding, drinking, bathing, blowing dust, and testing the air. The tusks are upper incisor teeth composed entirely of dentine (ivory) used for defense, levering trees, and scraping for water. Elephants are long-lived (50–70 years) and reach maturity at twelve years. They reproduce slowly (one calf every two to three years) due to a twenty-one-month gestation period and an equally long weaning period. A newborn elephant stands 3 feet (1 m) at the shoulder and weighs 200 lb (90 kg). The Elephantidae includes two living species and various extinct relatives.

Asian elephants (*Elephas maximus*) grow to 10 feet (3 m) high and weigh 4 tons. The trunk ends in a single lip, the forehead is high and domed, the back convex, and the ears small. Asian elephants are commonly trained as work animals. They range from India to southeast Asia. There are four subspecies, the most abundant of which is the Indian elephant (*E. m. bengalensis*) with a wild population of about 40,000. Another 20,000 are estimated to be domesticated in Asia. The Sri Lankan (*E. m.*



Elephants at a watering hole. (Villiers Steyn/Shutterstock.com)

*maximus*), Malayan (*E. m. hirsutus*), and Sumatran elephants (*E. m. sumatranus*) are all endangered subspecies.

In Africa, adult bush elephants (*Loxodonta africana oxyotis*) are the world's largest land mammals, growing 11 feet (3.3 m) tall and weighing 6 tons. The trunk ends in a double lip, the forehead slopes, the back is hollow, and the ears are large and triangular. African elephants are also endangered and have never been successfully trained to work. The rare round-eared African forest elephant (*L. a. cyclotis*) is smaller than the bush elephant and inhabits dense tropical rain forests.

Elephants were once abundant throughout Africa and Asia, but they are now threatened or endangered nearly everywhere because of widespread ivory poaching. In 1970 there were about 4.5 million elephants in Africa, but by 2007 there were only 500,000–600,000. Protection from poachers and the 1990 ban on the international trade in ivory (which caused a drop in the price of ivory) temporarily slowed the slaughter of African bush elephants. However, the relatively untouched forest elephants are now coming under increasing pressure due to human encroachment. Organized poaching to increase the coffers of rival African tribal leaders and warlords has also reduced forest elephant populations in West Africa to less than 3,000.

Elephants are keystone species in their ecosystems, and their elimination could have serious consequences for other wildlife. Wandering elephants disperse fruit seeds in their dung, and the seeds of some plants must pass through elephants to germinate. Elephants are also “bulldozer herbivores,” habitually trampling plants and uprooting small trees. In African forests elephants create open spaces that allow the growth of vegetation favored by gorillas and forest antelope. In woodland savanna elephants convert wooded land into grasslands, thus favoring grazing animals. However, large populations of elephants confined to reserves can also destroy most of the vegetation in a region. Culling exploding elephant populations in reserves has been practiced in the past to protect the vegetation for other animals that depend on it.

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Neil Cumberlidge

ELI see **Environmental Law Institute**.

## Elton, Charles S.

1900–1991  
English ecologist

Charles Elton was a British ecologist and one of the leaders in that field in the twentieth century.

Elton was born March 29, 1900, in Manchester, England. His interest in what he later called “scientific natural history” was sparked early in his life by his older brother Geoffrey. By the age of nineteen, Charles Elton was already investigating species relationships in ponds, streams, and sand-dunes around Liverpool.

His formal education in ecology was shaped by an undergraduate education at Oxford University, and by his participation in three scientific expeditions to Spitsbergen in the Arctic (in 1921, 1923, and 1924), the first one as an assistant to Julian Huxley. Even though an undergraduate, he was allowed to begin an ecological survey of animal life in Spitsbergen, a survey completed on the third trip. These experiences and contacts led him to a position as biological consultant to the Hudson’s Bay Company, which he used to conduct a long-term study of the fluctuations of fur-bearing mammals, drawing on company records dating back to 1736.

During this period, Elton became a member of the Oxford University faculty (in 1923), and was eventually elected a senior research fellow of Corpus Christi College. His whole academic career was spent at Oxford, from which he retired in 1967.

He applied the skills and insights gained through the Spitsbergen and Hudson Bay studies to work on the fluctuations of mice and voles in Great Britain. To advance and coordinate this work, he started the Bureau of Animal Populations at Oxford. This institution (and

Elton’s leadership of it) played a vital role in the shaping of research in animal ecology and in the training and education of numerous ecologists in the early twentieth century.

Elton published a number of books, but four proved to be of particular significance in ecology. In 1929 he published his first book, *Animal Ecology*, a volume now considered a classic, and its author was one of the pioneers in the field of ecology, especially animal ecology. In the preface to a 1966 reissue, Elton suggested that the book “must be read as a pioneering attempt to see . . . the outlines of the subject at a period when our knowledge [of] terrestrial communities was of the roughest, and considerable effort was required to shake off the conventional thinking of an earlier zoology and enter upon a new mental world of populations, inter-relations, movements and communities—a world [of] direct study of natural processes.” Topics in that book remain major topics in ecology today: the centrality of trophic relationships, the significance of niche as a functional concept, ecological succession, the dynamics of dispersal, and the relationships critical to the fluctuation of animal populations, including interactions with habitat and physical environment.

His year of work on small mammals in Spitzbergen, for the Hudson’s Bay Company, and in British localities accessible to Oxford, culminated in the publication, in 1942, of *Voles, Mice and Lemmings*. This work, which is still in print, “brought together . . . his own work and a collection of observations from all over the world and from ancient history onward.” Elton begins the book by establishing a context of “vole and mouse plagues” through history. The second section is on population fluctuations in north-west Europe, voles and mice in Britain, but also lemmings in Scandinavia. The other two sections focus on wildlife cycles in northern Labrador, including chapters on fox and marten, voles, foxes, the lemmings again, and caribou herds. In all this work, the emphasis is on the dynamics of change, the constant interactions and subsequent fluctuations of these various populations, and the often stringent environments they populate.

Elton’s 1958 book, *The Ecology of Invasions by Animals and Plants*, focused on a problem that is of even more concern in the 2000s—the arrival and impact of exotic species introduced from other places, sometimes naturally, increasingly through the actions of humans. As always, Elton is careful to set the historical context by showing how biological “invaders” have been moving around the globe for a long time, but he

also emphasizes that “we are living in a period of the world’s history when the mingling of thousands of kinds of organisms from different parts of the world is setting up terrific dislocations in nature.”

*The Pattern of Animal Communities*, published in 1966, emerged from years of surveying species, populations, communities, and habitats in the Wytham Woods, not far from Oxford. In this book, his primary intent was to describe and classify the diverse habitats available to terrestrial animals, and most of the chapters of the book are given to specific habitats for specialized organisms. Though not generally considered a theoretical ecologist, his early thinking did help to shape the field. In this book, late in his career, he summarized that thinking in a chapter titled “The Whole Pattern,” in which he presents a set of fifteen “new concepts of the structure of natural systems,” which he stated as a “series of propositions,” though some reviewers labeled them “principles” of ecology.

Always the pragmatist, Elton devoted considerable time to the practical, applied aspects of ecology. Nowhere is this better demonstrated than in the work he turned to early in World War II. One of his original purposes in establishing the Bureau of Animal Populations was to better understand the role of disease in the fluctuations of animal numbers. At the beginning of the war, he turned the research focus of the bureau to controlling rodent pests, especially to help in reducing human disease and to reduce crop losses to rodents.

Elton was an early conservationist, stating in the preface to his 1927 text that “ecology is a branch of zoology which is perhaps more able to offer immediate practical help to mankind than any of the others [particularly important] in the present rather parous state of civilization.” Elton strongly advocated the preservation of biological diversity and pressed hard for the prevention of extinctions, which he emphasizes in his chapter on “The Reasons for Conservation” in the *Invasions* book. But he also expanded his conception of conservation to mean finding some “general basis for understanding what it is best to do” and “looking for some wise principle of co-existence between man and nature, even if it has to be a modified kind of man and a modified kind of nature.” He even took the unusual step (unusual for professional ecologists in his time and still unusual today) of going into the broadcast booth to popularize the importance of ecology in achieving those goals through environmental management as applied ecology.

Elton’s service to ecology as a learned discipline was enormous. In the early twentieth century, ecology was still in its formative years, so Elton’s ideas and

contributions came at a critical time. He took the infant field of animal ecology to maturity, building it to a status equal to that of the more established plant ecology. His research bureau at Oxford fostered innovative research and survey methods, and provided early intellectual nurture and professional development to ecologists who went on to become major contributors to the field, including the American ecologist Eugene Odum. As its first editor, Elton was “in a very real sense the creator” of the *Journal of Animal Ecology*, serving in the position for almost twenty years. He was one of the founders of the British Ecological Society. Elton’s books and ideas continue to influence ecologists of the twenty-first century.

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Gerald L. Young

## Emergency Planning and Community Right-to-Know Act (1986)

The Emergency Planning and Community Right-to-Know Act (EPCRA), also known as Title III, is a statute enacted by Congress in 1986 as a part of the Superfund Amendments and Reauthorization Act (SARA). It was passed in response to public concerns raised by the accidental release of poisonous gas from a Union Carbide plant in Bhopal, India, which killed more than 2,000 people.

EPCRA has two distinct yet complementary sets of provisions. First, it requires communities to establish plans for dealing with emergencies created by chemical leaks or spills and defines the general structure these plans must assume. Second, it extends to communities the same kind of right-to-know provisions that were guaranteed to employees earlier in the 1980s. Overall, EPCRA is an important step away from crisis-by-crisis environmental enforcement toward a proactive or preventative approach. This

approach depends on government monitoring of potential environmental hazards, which is being accomplished by using computerized files of data submitted by businesses.

Under the provisions of EPCRA, the governors of every state were required to establish a State Emergency Response Commission by 1988. Each commission in turn had to establish various emergency planning districts and to appoint a local committee for each district. The committees were to prepare plans for potential chemical emergencies in their communities, which included the identities of facilities, the procedures to be followed in the event of a chemical release, and the names of community emergency coordinators as well as a facility coordinator from each business subject to EPCRA.

A facility is subject to EPCRA if it has a substance in a quantity equal to or greater than the threshold specified on a list of about 400 extremely hazardous substances published by the Environmental Protection Agency (EPA). Also, after public notice and comment, either the state governor or the State Emergency Response Commission may designate facilities to be covered outside of these guidelines. Each business must provide facility notification information to the state commission and must designate a facility coordinator to work with the local planning committee.

EPCRA requires these facilities to report immediately to the Community Coordinator of its local emergency committee any accidental releases of hazardous material. There are two classifications for such hazardous substances. The substance must either be on the EPA's extremely hazardous substance list or be defined under the Comprehensive Environmental Response Compensation and Liability Act (CERCLA). In addition to the initial emergency notice, follow-up notices and information are required.

EPCRA's second major set of provisions is designed to establish and implement a community right-to-know program. Information about the presence of chemicals at facilities within the community is collected from businesses and made available to public officials and the general public. Businesses must submit two sets of annual reports: the Hazardous Chemical Inventory and Toxic Release Inventory (TRIs), also known as Chemical Release Forms.

For the Hazardous Chemical Inventory, each business in the community must prepare or obtain a Material Safety Data Sheet for each chemical on its premises meeting the threshold quantity. This information is then

submitted to the Local Emergency Planning Committee, the local fire department, and the State Emergency Response Commission. These data sheets are identical to those required under the Occupational Safety and Health Act's worker right-to-know provisions. For each chemical reported in the Hazardous Chemical Inventory, a Chemical Inventory Report must be filed each year.

The second set of annual reports required as a part of the community right-to-know program is the Toxic Release Inventory (TRI), which must be filed annually. Releases reported on this form include even those made legally with permits issued by the EPA and its state counterparts. Releases made into air, land, and water during the preceding twelve months are summarized in this inventory. The form must be filed by companies having ten or more employees if that company manufactures, stores, imports, or otherwise uses designated toxic chemicals at or above threshold levels.

The information submitted pursuant to both the emergency planning and the right-to-know provisions of EPCRA is available to the general public through the Local Emergency Planning Committees. In addition, health professionals may obtain access to specific chemical identities to treat exposed individuals or protect potentially exposed individuals. Even if that information is claimed by the business to be a trade secret.

Congress provided stiff penalties for noncompliance. Civil penalties of tens of thousands of dollars may be assessed against a business failing to comply with reporting requirements, and citizens have the right to sue companies that fail to report. Further enforcement by the government may include criminal prosecution and imprisonment.

In June of 1996, in response to community pressure, the U.S. Congress took its first major vote on Community Right to Know since 1986. In the 1996 vote, the House of Representatives removed provisions from an EPA budget appropriation that would have made substantial cuts in funds allocated to compiling of Toxics Release Inventories (TRI). In addition, Congress passed an EPA proposal that added seven additional industries to the number of industries that must report under TRI, thus bringing the total number of industries required to report to twenty-seven. From 1996 to 2009, some changes were made to TRI requirements. Several new classes of industries, including coal and metal mining, were required to report to the TRI. Reporting thresholds were lowered to include companies that manufactured 25,000 pounds or handled more than 10,000 pounds of certain toxic chemicals or

hazardous compounds annually. Companies that recycle, dispose, or release more than 500 pounds of TRI-listed chemicals into the environment have stricter, more comprehensive reporting requirements. Reporting and archiving of TRI data also expanded to online databases, such as TRI Explorer, that allow for easier access to information.

Studies have revealed that EPCRA has had far-reaching effects on companies and that industrial practices and attitudes toward chemical risk management are changing. Some firms have implemented new waste reduction programs or adapted previous programs. Others have reduced the potential for accidental releases of hazardous chemicals by developing safety audit procedures, reducing their chemical inventories, and using less hazardous chemicals in their operations.

As information included in reports, such as the annual Toxics Release Inventory, has been disseminated throughout the community, businesses have found they must be concerned with risk communication. Various industry groups throughout the United States have begun making the information required by EPCRA readily available and helping citizens to interpret that information. For example, the Chemical Manufacturers Association has conducted workshops for its members on communicating EPCRA information to the community and on how to communicate about risk in general. Similar seminars are now made available to businesses and their employees through trade associations, universities, and other providers of continuing education.

*See also* Chemical spills; Environmental monitoring; Environmental Monitoring and Assessment Program; Hazardous Materials Transportation Act (1975); Toxic substance; Toxic Substances Control Act (1976); Toxics use reduction legislation.

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Paulette L. Stenzel

## Emergent diseases (human)

Diseases are referred to as emergent diseases if they are novel (first-appearing) diseases. Diseases that are reintroduced into a population after long periods of control or presumed elimination can also be termed emergent diseases.

Although many diseases such as measles, pneumonia, and pertussis (whooping cough) have likely been present as human infections for millennia, at least thirty new infectious diseases have appeared since 1980. In addition, other infectious diseases (e.g. tuberculosis) have reappeared and become widespread, due to the acquisition of genes that have made the microorganisms more capable of causing infections (commonly, this is due to increased resistance of bacteria to antibiotics).



**Veterinary officials hold a turkey before testing it for avian flu in the Danube Delta village of Murighiol, Romania, 300 kilometers east of Bucharest, in 2007 after an outbreak of the deadly H5N1 avian flu virus occurred in a farm in the village.** (AP Photo/Vadim Ghirda)

Ebola is a good example of an emergent disease. A viral hemorrhagic fever, Ebola is extremely contagious and three of the five varieties of the virus are exceedingly lethal, typically killing up to 90 percent of those who become infected. The disease was unknown in humans until the mid-1980s. The increased interaction between humans and primates, principally due to poaching and the killing and eating chimps, gorillas, and other primates is thought to have spurred the virus to cross the primate-human barrier.

Acquired immunodeficiency syndrome (AIDS) is another disease that appears to have suddenly moved from other primates to humans. AIDS was first evident in humans in the 1970s, although examination of cadavers revealed that human AIDS's infection dates back to the 1950s, and genetic tests show it entered the human population about 100 years ago. Beginning in the 1970s, however, the human immunodeficiency virus (HIV) responsible for AIDS became more adept at human-to-human transmission through transfer of bodily fluids, including, saliva, semen and blood.

Some of the most devastating epidemics have occurred when travelers bring new germs to a indigenous population lacking immunity. An example was the plague, or Black Death, which swept through Europe and Western Asia repeatedly in the fourteenth and fifteenth centuries. During the first, and worst, episode between 1347 and 1355, about half the population of Europe died. In some cities the mortality rate was as high as 80 percent. An even greater disaster occurred when Europeans brought smallpox, measles, and other infectious diseases to the Americas. By some calculations, up to 90 percent of the indigenous people perished as diseases swept through their population. Indeed, smallpox may have been the reason for the demise of the Inca empire in South America, which, at its peak, rivalled aspects of ancient Roman and European societies in sophistication and social structure. One reason European explorers thought the land was an empty wilderness was that these diseases spread out ahead of them, killing everyone in their path.

Probably the largest loss of life from an individual disease in a single year was the great influenza pandemic of 1918. Somewhere between 30 and 40 million people succumbed to this virus in less than twelve months. This was more than twice the total number killed in all the battles of World War I. Crowded, unsanitary troop ships carrying American soldiers to Europe started the epidemic. War refugees, soldiers from other nations returning home, and a variety of other travelers quickly spread the virus around the globe.

Flu is especially contagious, spreading either by direct contact with an infected person or by breathing airborne particles released by coughing or sneezing. Most flu strains are zoonotic (transmitted from an animal host to humans). Pigs, birds, monkeys, and rodents often serve as reservoirs from which viruses can jump to humans. Although new flu strains seem to appear nearly every year, no epidemic has been as deadly as that of 1918.

In March 2009, a novel virus that was ultimately named 2009 H1N1 influenza virus (alternately, Type A / H1N1) initially emerged from outbreaks in Mexico and the United States to spread globally and become a worldwide influenza pandemic.

Malaria, the most deadly of all insect-borne diseases, is an example of the return of a disease that once was nearly vanquished. In 2010, as in each year of the previous decade, malaria killed about 3 million people—90 percent in Africa, and most of them children. With the advent of modern medicines and pesticides, malaria had almost been wiped out in many places during the 1990s. However, the disease rebounded. The protozoan parasite that causes the disease is now resistant to most antibiotics, and the mosquitoes that transmit it have developed resistance to many insecticides. Spraying of DDT in India and Sri Lanka reduced malaria from millions of infections per year to only a few thousand in the 1950s and 1960s. By 2010 South Asia was back to its pre-DDT level of some 2.5 million new cases of malaria every year. Other places that never had malaria or dengue fever now report cases, a possible reflection of the warming climate of the region, which is more hospitable for survival of the responsible organisms, and habitat alteration, which has brought humans into closer contact with wild creatures. Gulf-coast states in the United States, for example, are now home to the *Aedes aegypti* mosquito that carries these diseases.

Why have vectors, such as mosquitoes, and pathogens, such as the malaria parasite, become resistant to pesticides and antibiotics? Part of the answer is natural selection and the ability of many organisms to evolve rapidly. Another factor is the human tendency to use control measures carelessly. The discovery that DDT and other insecticides could control mosquito populations prompted their widespread and excessive use, with little thought given to ecological considerations. In the same way, antimalarial medicines such as chloroquine were given to millions of people, whether they showed symptoms or not. This was a perfect recipe for natural selection. Many organisms were exposed only minimally to control measures. This allowed organisms with natural resistance to outcompete others and

spread their genes through the population. After repeated cycles of exposure and selection, many microorganisms and their vectors have become resistant to compounds that used to be lethal.

Tuberculosis (TB) is a well-known example of a disease that became emergent due to acquisition of drug resistance. Once the foremost cause of death in the world, TB had nearly been eliminated—at least from the developed world—by the end of the twentieth century. Drug-resistant varieties of TB began spreading rapidly at the beginning of the twenty-first century.

Another development is the appearance of drug-resistant strains of *Staphylococcus aureus*, the most common form of hospital-acquired infections. This is due to resistance to multiple antibiotics and is referred to as methicillin resistant *S. aureus*, or MRSA. Beginning in the 1990s, another form of MRSA that tends to infect otherwise healthy individuals, called community-acquired MRSA, or CA-MRSA, appeared and began to spread.

MRSA is susceptible to only one antibiotic, vancomycin. Ominously, beginning in the 1990s, some MRSA isolates from hospitals have proven to be vancomycin resistant. It is only a matter of time before these bacteria spread and become more prevalent.

A number of factors contribute in the 2000s to the appearance and spread of highly contagious diseases. With 6 billion people now inhabiting the planet, human densities are much higher, enabling germs to spread farther and faster than ever before. Expanding populations push into remote areas, encountering new pathogens and parasites. Environmental change on a larger scale, such as cutting forests, creating unhealthy urban surroundings, and causing global-climate change, among other things, eliminates predators, and habitat changes favor disease-carrying organisms such as mice, rats, cockroaches, and mosquitoes.

Another important factor in the spread of many diseases is the speed and frequency of modern travel. Millions of people go every day from one place to another by airplane, boat, train, or automobile. Very few places on earth are more than twenty-four hours by jet plane from any other place. Many highly virulent diseases take several days for symptoms to appear. A recent example is the outbreak of severe acute respiratory syndrome (SARS) that occurred in 2003. From its origin in China, the disease spread within forty-eight hours from a single ill person in Hong Kong to other countries, including Canada.

Humans are not the only ones to suffer from new and devastating diseases. Domestic animals and wildlife

also experience sudden and widespread epidemics, sometimes called emergent ecological diseases.

Chronic wasting disease (CWD) is spreading through deer and elk populations in North America. Caused by a protein called a prion, CWD is one of a family of irreversible, degenerative neurological diseases known as transmissible spongiform encephalopathies (TSE) that include mad cow disease in cattle, scrapie in sheep, and Creutzfeldt-Jacob disease in humans.

*See also* AIDS; Ebola; Emergent ecological diseases; Malaria; Plague.

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William P. Cunningham

## Emergent ecological diseases

Emergent ecological diseases are a relatively recent phenomena involving extensive damage to natural communities and ecosystems. In some cases the specific causes of the ecological damage are known, but in others they are not yet understood.

Examples of relatively well-understood ecological diseases mostly involve cases in which introduced, non-native disease causing organisms (pathogens) are the source of the damage. One of many examples is the introduced chestnut blight fungus (*Endothia parasitica*), which has virtually eliminated the once extremely abundant American chestnut (*Castanea dentata*) from the hardwood forests of eastern North America. A similar ongoing pandemic involves the Dutch elm disease fungus (*Ceratocystis ulmi*), which is removing the white elm (*Ulmus americana*) and other native elms from North America.

Some introduced insects are also causing important forest damage, including the effects of the balsam woolly adelgid (*Adelges picea*) on Fraser fir (*Abies fraseri*) in the Appalachian Mountains.

Other cases of ecological diseases involve widespread damages that are well-documented, but the causes are not yet understood. One example is known as birch decline and, which occurred across great regions of the northeastern United States and eastern Canada from the 1930s to the 1950s. The disease killed yellow birch (*Betula alleghaniensis*), paper birch (*B. papyrifera*), and grey birch (*B. populifolia*) over a huge area. The specific cause of this extensive forest damage was never determined, but it could have involved the effects of freezing ground conditions during winters with little snow cover.

Rather similar forest declines and diebacks have affected red spruce (*Picea rubens*) and sugar maple (*Acer saccharum*) in the same broad region of eastern North America during the 1970s to 1990s. Although the causes of these forest damages are not yet fully understood, it is thought that air pollution or acidifying atmospheric deposition may have played a key role. In western Europe extensive declines of Norway spruce (*Picea abies*) and beech (*Fagus sylvatica*) are also thought to somehow be related to exposure to air pollution and acidification. In comparison the damage caused by ozone to forests dominated by ponderosa pine (*Pinus ponderosa*) in California is a relatively well-understood emergent ecological disease.

In the marine realm, widespread damage to diverse species of corals has been documented in various locales

globally. The phenomenon is known as coral bleaching and involves the corals expelling their symbiotic algae (known as zooxanthellae), often resulting in death of the coral. Coral bleaching is thought to possibly be related to climate warming, although it can be caused by both unusually high or low water temperatures, changes in salinity, and other environmental stresses.

Another unexplained case of an ecological disease appears to be afflicting species of amphibians in many parts of the world. The amphibian declines involve severe population collapses and have even caused the extinction of some species. The specific causes are not yet known, but they likely involve introduced microbial pathogens or possibly increased exposure to solar ultraviolet radiation, climate change, or some other factor.

The release of a report on July 29, 2010, from the United States National Oceanographic and Atmospheric Administration (NOAA) provided convincing evidence of global climate change that is affecting terrestrial and aquatic environments. With the changing global climate, further emergence of ecological diseases will likely be a certainty.

Bacterial blights, outbreaks of bacterial growth, can severely impact agricultural production and result in substantial economic loss. The impacts can range from crop loss to blights that diminish crop quality or economic value.

For example, during the 2009 and 2010 growing season, onion crops in Argentina suffered a blight that resulted in onions with excess water retention, which accelerated spoilage during storage and transport. The blight substantially reduced exports to traditional European markets.

Bacterial blights can spread internationally and subside and erupt in outbreaks over several years. Pathovars (bacterial strains that infects only certain species of plants) can spread in seed or be spread by wind and water. Blights are most common in tropical and subtropical areas. Heavy rains may provide the environmental trigger for an outbreak.

Blights can be caused by subtle changes in bacteria to create bacterial pathovars. A pathovar is a pathological (disease-causing) variation of the normally nonpathological bacteria. A pathovar may refer to one specific bacterial strain or a set of closely related strains with similar characteristics. In addition to genus and species names, pathovars are often designated and differentiated by a suffix. For example, the pathovar responsible for an onion blight in Japan in 2000 was designated, *Xanthomonas axonopodis* pv. *allii*.

Pathovars may also infect specific crops. For example, there are variations of *Xanthomonas axonopodis* that infect different crops. *Xanthomonas axonopodis* pv. *citri* causes citrus crop blights while *Xanthomonas axonopodis* pv. *vesicatoria* causes a bacterial blight in tomato crops.

Growers generally respond by trying to develop varieties of plants resistant to specific pathovars.

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EMF see **Electromagnetic field**.

## Emission

Release of material into the environment either by natural or anthropogenic (human-caused) activity. This term is often used to describe gaseous or particulate contributions to air pollution. Definitions of pollution are complicated by the fact that many of the materials that damage or degrade Earth's atmosphere result from natural processes. Volcanoes emit ash, acid mists, hydrogen sulfide, and other toxic gases. Natural forest fires release smoke, soot, carcinogenic hydrocarbons, dioxins, and other toxic chemicals as well as large amounts of carbon dioxide. Many experts consider natural or background pollution levels when estimating the significance of anthropogenic emissions.

Another key consideration in pollutant analysis is the regenerative capacity of the environment to remove or neutralize excess contaminants.

Emissions trading see **Trade in pollution permits**.

## Emission standards

Emission standards are agreed-upon requirements, usually by governments or other regulatory groups, that set limits on the amount of pollutants that can be released into the environment. Many such standards are targeted specifically to regulating pollutants coming from motorized vehicles, such as cars and trucks. Other standards regulate pollutants generated from industry and power plants. Many of these environmental pollutants include carbon dioxide, carbon monoxide, sulfur oxides, nitrogen oxides, and others.

Federal, state, and local emission standards regulate flue gas stack and automobile exhaust emissions. These laws limit the quantity, rate, and concentration of such emissions. Emission standards can also regulate the opacity of plumes of smoke and dust from point and area emission sources. They can also assess the type and quality of fuel and the way the fuel is burned. With the exception of plume opacity, such standards are normally applied to the specific type of source for a given pollutant. Federal standards include New Source Performance Standards (NSPS) and National Emission Standards for Hazardous Air Pollutants (NESHAPS), both issued and enforced by the U.S. Environmental Protection Agency (EPA). Emission standards may include prohibitory rules that restrict existing and new source emission to specific concentration levels, mass rates, plume opacity, and emissions relative to process throughput emission rates. They may also require the most practical or best available technology in case of new emission in pristine areas.

New sources and modifications to existing sources can be subject to new source permitting procedures that require technology-forcing standards such as Best Available Control Technology (BACT) and Lowest Achievable Emission Rate (LAER). However, these standards are designed to consider the changing technological and economic feasibility of even more stringent emission controls. As a result, such requirements are not stable and are determined through a process

involving the governing air pollution authority's discretionary judgments of appropriateness.

See also Point source.

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## Emphysema

Emphysema, also known as chronic obstructive pulmonary disease or COPD, is an abnormal, permanent enlargement of the airways responsible for gas exchange in the lungs. Primary emphysema is commonly linked to a genetic deficiency of the enzyme  $\alpha_1$ -antitrypsin, a major component of  $\alpha_1$ -globulin, a plasma protein. Under normal conditions  $\alpha_1$ -antitrypsin inhibits the activity of many enzymes that break down proteins. This results in the increased likelihood of developing emphysema as a result of proteolysis (breakdown) of the lung tissues.

Emphysema begins with destruction of the alveolar septa, the thin walls that separate the tiny alveoli in the lungs. This results in "air hunger" characterized by labored or difficult breathing, sometimes accompanied by pain. Although emphysema is genetically linked to deficiency in certain enzymes, the onset and

severity of asthmatic symptoms has been definitively linked to irritants and pollutants in the environment. A significantly greater proportion of emphysema symptoms has been observed in smokers, populations clustered around industrial complexes, and coalminers.

Emphysema or COPD also often co-exists with chronic bronchitis. About 12 million adults in the United States live with COPD, and it is also responsible for more than 100,000 deaths in the United States per year. Smoking is the primary risk factor for emphysema, although regular exposure to second-hand smoke or air pollutants, along with repeated childhood respiratory infections also predispose a person to developing COPD.

See also Asthma; Cigarette smoke; Respiratory diseases.

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## Endangered species

An endangered species under United States law (the Endangered Species Act [1973]) is a creature "in danger of extinction throughout all or a significant portion of its range." A threatened species is one that is likely to become endangered in the foreseeable future.

For most people the endangered species problem involves the plight of such well-known animals as eagles, tigers, whales, chimpanzees, elephants, wolves, and whooping cranes (*Grus americana*). However, literally millions of lesser-known or unknown species are

endangered or becoming so, and the loss of these life forms could have even more profound effects on humans than that of large mammals with whom we more readily identify and sympathize.

Most experts on species extinction, such as American biologist Edward O. Wilson (1929–) of Harvard and British environmentalist Norman Myers (1934–), estimate current and projected annual extinctions at anywhere from 15 thousand to 50 thousand species, or fifty to 150 per day, mainly invertebrates such as insects in tropical rain forests. At this rate, 5–10 percent of the world’s species, perhaps more, could be lost in the next decade and a similar percentage in coming decades.

The single most important common threat to wildlife worldwide is the loss of habitat, particularly the destruction of biologically-rich tropical rain forests. Additional factors have included commercial exploitation, the introduction of non-native species, pollution, hunting, and trapping. Climate change—the warming of the Earth—may also contribute to the loss of biodiversity. Thus, the planet is rapidly losing a most precious heritage, the diversity of living species that inhabit the earth. Within one generation, the world will witness the threatened extinction of between one-fifth and one-half of all species on the planet.

Species of wildlife are becoming extinct at a rate that defies comprehension and threatens our own future. These losses are depriving this generation and future ones of much of the world’s beauty and diversity, as well as irreplaceable sources of food, drugs, medicines, and natural processes that are or could prove extremely valuable, or even necessary, to the well-being of our society.

The early 2000s rate of extinction exceeds that of all of the mass extinction in geologic history, including the disappearance of the dinosaurs 65 million years ago. It is impossible to know how many species of plants and animals are actually being lost, or even how many species exist, because many have never been discovered or identified. Facts show that countless unique life forms that will never again exist are rapidly being exterminated.

Most of these species extinctions will occur—and are occurring—in tropical rain forests, which are the richest biological areas on earth and are being cut down at a rate of 1–2 acres (0.4–0.8 hectares) a second. Although tropical forests cover only about 5–7 percent of the world’s land surface, they are thought to contain more than half of the species on earth.

More bird species live in one Peruvian preserve than in the entire United States. More species of fish

exist in one Brazilian river than in all the rivers of the United States. And a single square mile in lowland Peru, Amazonian Ecuador, or Brazil may contain more than 1500 species of butterflies, more than twice as many as are found in all of the United States and Canada. Half an acre of Peruvian rain forest may contain more than 40 thousand species of insects.

Erik Eckholm in *Disappearing Species: The Social Challenge* notes that when a plant species is wiped out, some ten to thirty dependent species, such as insects and even other plants, may also be jeopardized. An example of the complex relationship that has evolved between many tropical species is the forty different kinds of fig trees native to Central America, each of which has a specific insect pollinator. Other insects, including pollinators for other plants, depend on certain of these fig trees for food.

Thus, the extinction of one species can set off a chain reaction, the ultimate effects of which cannot be foreseen. As Eckholm puts it, “Crushed by the march of civilization, one species can take many others with it, and the ecological repercussion and arrangements that follow may well endanger people.” The loss of so many unrecorded, unstudied species will deprive the world not only of beautiful and interesting life forms, but also of much-needed sources of medicines, drugs, and food that could be of critical value to humanity. Every day, the world could be losing plants that might provide cures for cancer or AIDS or could become food staples as important as rice, wheat, or corn. No one will ever know the value or importance of the untold thousands of species vanishing each year.

In 2010 the U.S. Department of the Interior’s list of endangered species included 1,541 total species, of which 768 are vertebrates (mammals, birds, reptiles, amphibians, fish), 171 are invertebrates (snails, clams, crustaceans, insects, and arachnids), and 602 are plants. There are 354 threatened species listed, including 172 vertebrates, 34 invertebrates, and 148 plants.

Under the Endangered Species Act, the Department of the Interior Fish and Wildlife Service (FWS) is given general responsibility for listing and protecting endangered wildlife, except for marine species (such as whales and seals), which are the responsibilities of the Department of Commerce’s National Oceanic and Atmospheric Administration (NOAA) Fisheries Division. Recovery plans to rebuild the populations and improve the overall health of the species are initiated by these organizations for threatened and endangered species. Methods of recovery include restoring or acquiring habitat, removing invasive species or introduced predators, monitoring and surveying individual populations, and breeding species in captivity for future

release into the wild. Recovery efforts have focused on bald eagles (*Haliaeetus leucocephalus*), peregrine falcons (*Falco peregrinus*), red wolves (*Canis rufus*), whooping cranes, and the Aleutian Canada goose (*Branta hutchinsii leucopareia*).

In addition, the United States is subject to the provisions of the Convention on International Trade in Endangered Species of Wild Flora and Fauna (CITES), which regulates global commerce in rare species. But in many cases, the government has not been enthusiastic about administering and enforcing the laws and regulations protecting endangered wildlife. Conservationists have for years criticized the Department of the Interior for its slowness and even refusal to list hundreds of endangered species that, without government protection, were becoming extinct. Indeed, the department admits that some three dozen species have become extinct while undergoing review for listing.

In December 1992 the department settled a lawsuit brought by animal protection groups by agreeing to expedite the listing process for some 1,300 species and to take a more comprehensive “multispecies, ecosystem approach” to protecting wildlife and their habitats. In October 1992 at the national conference of the Humane Society of the United States held in Boulder, Colorado, Secretary of the Interior Bruce Babbitt (1938–) in his keynote address lauded the Endangered Species Act as “an extraordinary achievement” and emphasized the importance of preserving endangered species and biological diversity, noting: “The extinction of a species is a permanent loss for the entire world. It is millions of years of growth and development put out forever.”

### Related information

According to the International Union for the Conservation of Nature (IUCN), 38 percent of the world’s species are threatened based on assessments as of 2008. In May 2008 the Zoological Society of London and World Wide Fund for Nature (WWF) released results of a study indicating a dramatic decline in global wildlife populations during the last four decades. The data showed that between 1970 and 2005, the populations of land-based species fell by 25 percent, of marine species by 28 percent, and of freshwater species by 29 percent. Biodiversity loss was also reported in the form of extinction of about 1 percent of the world’s species each year. The two groups, in agreement with most biologists, stated that one of the “great extinction episodes” in Earth’s history is now under way. Species whose populations shrink are more likely to be endangered than species with large populations.

The losses were attributed to pollution, urbanization, overfishing, and hunting, but both groups emphasized that climate change would play an increasing role in species decline over coming decades. The Zoological Foundation and WWF based their conclusion upon population estimates and other data related to 1,400 species tracked as part of its joint Living Planet index. Data were obtained from publications in scientific journals.

*See also* Biodiversity.

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## Endangered Species Act (1973)

The Endangered Species Act (ESA) is a law designed to save species from extinction. What began as an informal effort to protect several hundred North American vertebrate species in the 1960s has expanded into a program that could involve hundreds of thousands of plant and animal species throughout the world. As of 2010 approximately 1,959 species were listed as endangered or threatened, with approximately 1,372 in the United States and approximately 587 in other countries. The law has become increasingly controversial as it has been viewed by commercial interests as a major impediment to economic development. This issue came to a head in the Pacific Northwest, where the northern spotted owl was listed as threatened. This action had significant effects on the regional forest products industry. The ESA was due to be reauthorized in 1992, but this was postponed due to that year's election. Although it expired on October 1, 1992, Congress allotted enough funds to keep the ESA active.

Government action to protect endangered species began in 1964, with the formation of the Committee on Rare and Endangered Wildlife Species within the Bureau of Sport Fisheries and Wildlife (now the Fish and Wildlife Service [FWS]) in the U.S. Department of the Interior. In 1966 this committee issued a list of eighty-three native species (all vertebrates) that it considered endangered. Two years later the first act designed to protect species in danger of extinction, the Endangered Species Preservation Act of 1966, was passed. The Secretary of the Interior was to publish a list, after consulting the states, of native vertebrates that were endangered. This law directed federal agencies to protect endangered species when it was "practicable and consistent with the primary purposes" of these agencies. The taking of listed endangered species was prohibited only within the national wildlife refuge system; that is, species could be killed almost anywhere in the United States. Finally, the law authorized the acquisition of critical habitat for these endangered species.

In 1969 the Endangered Species Conservation Act was passed, which included several significant amendments to the 1966 act. Species could now be listed if they were threatened with worldwide extinction. This substantially broadened the scope of species to be covered, but it also limited the listing of specific populations that might be endangered in some parts of the United States but not in danger elsewhere (e.g., grizzly bears, bald eagles, timber wolves, all of which flourish in Canada and Alaska). The 1969 law stated that

mollusks and crustaceans could now be included on the list, further broadening of the scope of the law. Finally, trade in illegally taken endangered species was prohibited. This substantially increased the protection offered such species, compared to the 1966 law.

The Endangered Species Act of 1973 built upon and strengthened the previous laws. The impetus for the law was a call by President Nixon in his state of the union message for further protection of endangered species and the concern in Congress that the previous acts were not working well enough. The goal of the ESA was to protect all endangered species through the use of "all methods and procedures necessary to bring any endangered or threatened species to the point at which the measures provided pursuant to [the] Act are no longer necessary." In other words the goal was to bring endangered species to full recovery. This goal, like others included in environmental legislation at the time, was unrealistic. The ESA also expanded the number of species that could be considered for listing to all animals (except those considered pests) and plants. It stipulated that the listing of such species should be based on the best scientific data available. Additionally, it included a provision that allowed groups or individuals to petition the government to list or de-list a species. If the petition contained reasonable support, the agency had to respond to it.

The law created two levels of concern: endangered and threatened. An endangered species was "in danger of extinction throughout all or a significant portion of its range." A threatened species was "likely to become an endangered species within the foreseeable future throughout all or a significant portion of its range." Also, the species did not have to face worldwide extinction before it could be listed. No taking of any kind was allowed for endangered species; limited taking could be allowed for threatened species. Thus, the distinction between "endangered" and "threatened" species allowed for some flexibility in the program.

The 1973 Act divided jurisdiction of the program between the FWS and the National Marine Fisheries Service (NMFS), an agency of the National Oceanic and Atmospheric Administration in the Department of Commerce. The NMFS would have responsibility for species that were primarily marine; responsibility for marine mammals (whales, dolphins, etc.) was shared by the two agencies. The law also provided for the establishment of cooperative agreements between the federal government and the states on endangered species protection. This did not prove very successful due to a lack of funds to entice the states to participate and due to frequent conflict between the states (favoring development and hunting) and the FWS.

The most controversial aspect of the Act was Section 7, which required that no action by a federal agency, such as the destruction of critical habitat, jeopardize any endangered species. So, before undertaking funding or granting a permit for a project, federal agencies had to consult with the FWS regarding the effect the action might have on endangered species. This provision proved to have enormous consequences, as many federal developments could be halted due to their affect on endangered species. The most famous and controversial application of this provision involved the snail darter and Tellico Dam in Tennessee. The Tennessee Valley Authority (TVA) had nearly finished the dam when the snail darter was listed as endangered. Completion of the dam would have destroyed the snail darter's only known habitat. The TVA challenged the FWS evidence, but environmentalists soon challenged the TVA in court. In a case that was appealed through the Supreme Court, *TVA v. Hill*, the courts ruled that the ESA was clear: no federal action could take place that would jeopardize an endangered species. The dam could not be completed.

In response to the conflicts that followed the passage of the ESA, especially the swelling estimates of the number of endangered species and the snail darter-Tellico Dam issue, the 1978 reauthorization of the ESA included heated debate and a few significant changes in the law. Perhaps the most important change was the creation of the Endangered Species Committee, sometimes referred to as the "God Committee." Created in response to the *TVA v. Hill* decision, this committee could approve federal projects that were blocked due to their harmful effects on endangered species. Agencies that could not proceed with projects because of an endangered species could appeal to this committee for an exemption from the ESA. The committee, which consists of three cabinet secretaries, the administrators of the EPA and NOAA, the chair of the Council of Economic Advisors, and the governor from the state in which the project is located, weigh the advantages and disadvantages of the project and then make a decision as to the appeal. Ironically, the committee heard the appeal for Tellico Dam and rejected it. In 1992 the Endangered Species Committee partially approved an appeal in the case of the northern spotted owl in Oregon. The creation of the "God Committee" demonstrated that values beyond species survival had to be weighed in the endangered species equation.

Additionally the 1978 amendments mandated increased public participation and hearings when

species were proposed for listing, a five-year review of all species on the list (to determine if any had improved to the point that they could be removed from the list), a requirement that the critical habitat of a species must be specified at the time the species is listed and that an economic assessment of the critical habitat designation must be done, the mandatory development of a recovery plan for each listed species, and a time limit between when a species was proposed for listing and when the final rule listing the species must be issued. These amendments were designed to do two things: to provide a loophole for projects that might be halted by the ESA and to speed up the listing process. Despite this latter goal, the many new requirements included in the amendments led to a further slowing of the listing process. It should also be noted that these amendments passed with overwhelming majorities in both the House and the Senate; there was still strong support for protecting endangered species, at least in the abstract, in Congress.

The 1982 reauthorization of the ESA did not lead to significant changes in the act. The law was to have been reauthorized again in 1985, but opposition in the Senate prevented reauthorization until 1988. This demonstrated the growing uneasiness in Congress about the economic repercussions of the ESA. In addition about reauthorizing spending to implement the ESA through 1992, the 1988 amendments also increased the procedural requirements for recovery plans. This further underscored the tension between the desire for public participation at all stages of ESA implementation and the need for the government to move quickly to protect endangered species. Overall, the implementation of the ESA has not been successful. The law has suffered from two main problems: poor administrative capacity and fragmentation. The FWS has suffered from its lack of stature within the bureaucracy, its conflicting institutional mission, a severe lack of funds and personnel, and limited public support. Species assigned to the NMFS have fared even worse, as the agency has shown little interest in the ESA. Fragmentation is demonstrated with the division of responsibilities between the FWS and NMFS, the conflict with other federal agencies due to Section 7, and the federal-state conflicts over jurisdictional responsibility.

On May 14, 2008, the U.S. Department of the Interior (DOI) secretary, Dirk Kempthorne, announced that, under the ESA, the polar bear will now be listed as a "threatened" species.

Scientists estimate that there are 20,000 to 25,000 polar bears living in the Arctic, but that within fifty years, as much as two-thirds of the population could be lost due to loss of ice mass in the Arctic Sea, which is attributed to global warming. Controversy has surrounded the decision to place the polar bears on this list, as the polar bear is the first species to be listed as one whose decline is directly correlated to global warming. In his announcement Kempthorne pointed out that it would be inappropriate to use the ESA or the protection of the bear to in any way attempt to influence the regulation of greenhouse gases. On May 15, 2008, the U.S. Fish and Wildlife Service published a final rule that officially listed the polar bear as a threatened species under the ESA.

In February 2008 the DOI sold gas and oil rights covering nearly 30 million acres of Chukchi Sea. This area is just off the Alaskan coast and is home to about 20 percent of the polar bear population. Some environmental groups felt that the delay in announcement was deliberate on the part of the administration, though Kempthorne pointed out that the decision was based solely on the research of the department's scientists.

Since the implementation of the ESA, twenty-one species have been delisted due to recovery. Two species became extinct after being listed under the ESA.

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## Endemic species

Endemic species are plants and animals that exist only in one geographic region. Species can be endemic to large or small areas of the earth: Some are endemic to a particular continent, Some to part of a continent, and others to a single island. Usually an area that contains endemic species is isolated in some way so that species have difficulty spreading to other areas, or it has unusual environmental characteristics to which endemic species are uniquely adapted. Endemism, or the occurrence of endemic animals and plants, is more common in some regions than in others. In isolated environments such as the Hawaiian Islands, Australia, and the southern tip of Africa, as many of 90 percent of naturally occurring species are endemic. In less isolated regions, including Europe and much of North America, the percentage of endemic species can be very small.

Biologists who study endemism not only consider species, the narrowest classification of living things, they also look at higher level classifications of genus, family, and order. These hierarchical classifications are nested so that, in most cases, an order of plants or animals contains a number of families, each of these families includes several genera (plural of "genus"), and each genus has a number of species. These levels of classification are known as taxonomic levels.

Species is the narrowest taxonomic classification, with each species closely adapted to its particular environment. Therefore species are often endemic to small areas and local environmental conditions. Genera, a broader class, are usually endemic to larger regions. Families and orders more often spread across continents. As an example, the order Rodentia, or rodents, occurs throughout the world. Within this order the family Heteromyidae occurs only in western North America and the northern edge of South America. One member of this family, the genus *Dipodomys*, or kangaroo rats, is restricted to several western states and part of Mexico. Finally, the species *Dipodomys ingens*, occurs only in a small portion of the California coast. Most often endemism is considered on the lowest taxonomic levels of genus and species.

Animals and plants can become endemic in two general ways. Some evolve in a particular place, adapting to the local environment and continuing to live within the confines of that environment. This type of endemism is known as autochthonous, or native to the place where it is found. An allochthonous endemic species, by contrast, originated somewhere else but

has lost most of its earlier geographic range. A familiar autochthonous endemic species is the Australian koala, which evolved in its current environment and continues to occur nowhere else. A well-known example of allochthonous endemism is the California coast redwood (*Sequoia sempervirens*), which millions of years ago ranged across North America and Eurasia, but today exists only in isolated patches near the coast of northern California. Another simpler term for allochthonous endemics is relict, meaning something that is left behind.

In addition to geographic relicts, plants or animals that have greatly restricted ranges, there are what is known as taxonomic relicts. These are species or genera that are sole survivors of once-diverse families or orders. Elephants are taxonomic relicts: Millions of years ago the family Elephantidae had twenty-five different species (including woolly mammoths) in five genera. Today only two species remain, one living in Africa (*Loxodonta africana*) and the other in Asia (*Elephas maximus*). Horses are another familiar species whose family once had many more branches. Ten million years ago North America alone had at least 10 genera of horses. Today only a few Eurasian and African species remain, including the zebra and the ass. Common horses, all members of the species *Equus caballus*, returned to the New World only with the arrival of Spanish conquistadors.

Taxonomic relicts are often simultaneously geographic relicts. The ginkgo tree, for example was one of many related species that ranged across Asia 100 million years ago. Today the family Ginkgoales contains only one genus, *Ginkgo*, with a single species, *Ginkgo biloba*, that occurs naturally in only a small portion of eastern China. Similarly the coelacanth, a rare fish found only in deep waters of the Indian Ocean near Madagascar, is the sole remnant of a large and widespread group that flourished hundreds of millions of years ago.

Where living things become relict endemics, some sort of environmental change is usually involved. The redwood, the elephant, the ginkgo, and the coelacanth all originated in the Mesozoic era, 245–65 million years ago, when the earth was much warmer and wetter than it is today. All of these species managed to survive catastrophic environmental change that occurred at the end of the Cretaceous period, changes that eliminated dinosaurs and many other terrestrial and aquatic animals and plants. The end of the Cretaceous was only one of many periods of dramatic change; more recently two million years of cold ice ages and warmer interglacial periods in the Pleistocene substantially altered the distribution of the world's

plants and animals. Species that survive such events to become relicts do so by adapting to new conditions or by retreating to isolated refuges where habitable environmental conditions remain.

When endemics evolve in place, isolation is a contributing factor. A species or genus that finds itself on a remote island can evolve to take advantage of local food sources or environmental conditions, or its characteristics may simply drift away from those of related species because of a lack of contact and interbreeding. Darwin's Galapagos finches, for instance, are isolated on small islands, and on each island a unique species of finch has evolved. Each finch is now endemic to the island on which it evolved. Expanses of water isolated these evolving finch species, but other sharp environmental gradients can contribute to endemism, as well. The humid southern tip of Africa, an area known as the Cape region, has one of the richest plant communities in the world. A full 90 percent of the Cape's 18,500 plant species occur nowhere else. Separated from similar habitat for millions of years by an expanse of dry grasslands and desert, local families and genera have divided and specialized to exploit unique local niches. Endemic speciation, or the evolution of locally unique species, has also been important in Australia, where 32 percent of genera and 75 percent of species are endemic. Because of its long isolation, Australia even has family-level endemism, with forty families and sub-families found only on Australia and a few nearby islands.

Especially high rates of endemism are found on long-isolated islands, such as St. Helena, New Caledonia, and the Hawaiian chain. St. Helena, a volcanic island near the middle of the Atlantic, has only sixty native plant species, but fifty of these exist nowhere else. Because of the island's distance from any other landmass, few plants have managed to reach or colonize St. Helena. Speciation among those that have reached the remote island has since increased the number of local species. Similarly Hawaii and its neighboring volcanic islands, colonized millions of years ago by a relatively small number of plants and animals, now have a wealth of locally-evolved species, genera, and sub-families. Today's 1,200–1,300 native Hawaiian plants derive from about 270 successful colonists; 300–400 arthropods that survived the journey to these remote islands have produced more than 6,000 descendent species today. Ninety-five percent of the archipelago's native species are endemic, including all ground birds. New Caledonia, an island midway between Australia and Fiji, consists partly of continental rock, suggesting that at one time the island was attached to a larger landmass and its resident species had contact with those of the mainland. Nevertheless,

because of long isolation 95 percent of native animals and plants are endemic to New Caledonia.

Ancient, deep lakes are like islands because they can retain a stable and isolated habitat for millions of years. Siberia's Lake Baikal and East Africa's Lake Tanganyika are two notable examples. Lake Tanganyika occupies a portion of the African Rift Valley, 0.9 miles (1.5 km) deep and perhaps 6 million years old. Fifty percent of the lake's snail species are endemic, and most of its fish are only distantly related to the fish of nearby Lake Nyasa. Siberia's Lake Baikal, another rift valley lake, is 25 million years old and 1 mile (1.6 km) deep. Eighty-four percent of the lake's 2,700 plants and animals are endemic, including the nerpa, the world's only freshwater seal.

Because endemic animals and plants by definition have limited geographic ranges, they can be especially vulnerable to human invasion and habitat destruction. Island species are particularly at risk because islands commonly lack large predators, so many island endemics evolved without defenses against predation. Cats, dogs, and other carnivores introduced by sailors have decimated many island endemics. The flora and fauna of Hawaii, exceptionally rich before Polynesians arrived with pigs, rats, and agriculture, were severely depleted because their range was limited, and they had nowhere to retreat as human settlement advanced. Tropical rain forests, with extraordinary species diversity and high rates of endemism, are also vulnerable to human invasion. Many of the species eliminated daily in Amazonian rain forests are locally endemic, so that their entire range can be eliminated in a short time.

Mary Ann Cunningham

## Endocrine disruptors

In recent years, scientists have proposed that chemicals released into the environment may be disrupting the endocrine system of humans and wildlife. The endocrine system is a network of glands and hormones that regulates many of the body's functions, such as growth, development, behavior, and maturation. The endocrine glands include the pituitary, thyroid, adrenal, thymus, pancreas, and the male and female gonads (testes and ovaries). These glands secrete regulated amounts of hormones into the bloodstream, where they act as chemical messengers as they are carried throughout the body to control and regulate many the body's functions. The hormones bind to

specific cell sites called receptors. By binding to the receptors, the hormones trigger various responses in the tissues that contain the receptors.

An endocrine disruptor is an external agent that interferes in some way with the role of the hormones in the body. The agent might disrupt the endocrine system by affecting any of the stages of hormone production and activity, such as preventing the synthesis of a hormone, directly binding to hormone receptors, or interfering with the breakdown of a natural hormone. Disruption in endocrine function during highly sensitive prenatal periods is especially critical, as small changes in endocrine functions may delay consequences that only become evident later in adult life or in a subsequent generation. Adverse effects that might be a result of endocrine disruption include the development of cancers, reproductive and developmental effects, neurological effects (effects on behavior, learning and memory, sensory function, and psychomotor development), and immunological effects (immunosuppression, with resulting disease susceptibility).

Exposure to suspected endocrine disruptors may occur through direct contact with the chemicals or through ingestion of contaminated water, food, or air. These disruptors can enter air or water from chemical and manufacturing processes, and through incineration of products. Industrial workers may be exposed in work settings. Documented examples of health effects on humans exposed to endocrine disrupting chemicals include shortened penises in the sons of women exposed to dioxin-contaminated rice oil in China and reduced sperm count in workers exposed to high doses of Kepone in a Virginia pesticide factory. Diethylstilbestrol (DES), a synthetic estrogen, was used in the 1950s and 1960s by pregnant women to prevent miscarriages. Unfortunately it did not prevent miscarriages, but the teenage daughters of women who had taken DES suffered high rates of vaginal cancers, birth defects of the uterus and ovaries, and immune system suppression. These health effects were traced to their mothers' use of DES.

A variety of chemicals, including some pesticides, have been shown to result in endocrine disruption in animal laboratory studies. However, except for the incidences of endocrine disruption due to chemical exposures in the workplace and to the use of DES, causal relationships between exposure to specific environmental agents and adverse health effects in humans due to endocrine disruption have not yet been firmly established.

There is more evidence that the endocrine systems of fish and wildlife have been affected by chemical

contamination in their habitats. Groups of animals that have been affected by endocrine disruption include snails, oysters, fish, alligators and other reptiles, and birds, including gulls and eagles. Whether effects on individuals of a particular species have an impact on populations of that organism is difficult to prove. Scientists also do not know if endocrine disruption is confined to specific areas or is more widespread. In addition, proving that a specific chemical causes a particular endocrine effect is difficult, as animals are exposed to a variety of chemicals and non-chemical stressors. However, some persistent organic chemicals such as DDT (dichlorodiphenyltrichloroethane), PCBs (polychlorinated biphenyls), dioxin, and some pesticides have been shown to act as endocrine disruptors in the environment. Adverse effects that may be caused by endocrine disrupting mechanisms include abnormal thyroid function and development in fish and birds; decreased fertility in shellfish, fish, birds, and mammals; decreased hatching success in fish, birds, and reptiles; demasculinization and feminization of fish, birds, reptiles, and mammals; defeminization and masculinization of gastropods, fish, and birds; and alteration of immune and behavioral function in birds and mammals. Many potential endocrine disrupting chemicals are persistent and bioaccumulate in fatty tissues of organisms and increase in concentration as they move up through the food web. Because of this persistence and mobility, they can accumulate and harm organisms far from their original source.

More information is needed to define the ecological and human health risks of endocrine disrupting chemicals. Epidemiological investigations, exposure assessments, and laboratory testing studies for a wide variety of both naturally occurring and synthetic chemicals are tools that are being used to determine whether these chemicals as environmental contaminants have the potential to disrupt hormonally mediated processes in humans and animals.

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Judith L. Sims

## Energy and the environment

Energy in the form of moving forces (e.g., wind, tides, water flow) and in materials such as natural gas and oil can be captured directly (e.g., wind turbine, water turbines) or extracted by processing of the material. The obtained energy is invaluable as a source of heat, electricity, and fuel.

However, the acquisition of energy is a prime factor in environmental quality. Extracting, processing, shipping, and the combustion of coal, oil, and natural gas are the largest sources of air pollutants, thermal and chemical pollution of surface waters, accumulation of mine tailings and toxic ash, and land degradation caused by surface mining in the United States.

On the other hand, a cheap, inexhaustible source of energy would allow humans to eliminate or repair much of the environmental damage done already and to improve the quality of the environment in many ways. Often, the main barrier to reclaiming degraded land, cleaning up polluted water, destroying wastes, restoring damaged ecosystems, or remediating other environmental problems is that solutions are expensive—and much of that expense is energy costs.

Our ability to use external energy to do useful work is one of the main characteristics that distinguishes humans from other animals. Clearly, technological advances based on this ability have made our lives much more comfortable and convenient than that of our early ancestors. However, a large part of our current environmental crisis is that our ability to modify our environment has outpaced our capacity to use energy and technology wisely.

In the United States, fossil fuels supply about 85 percent of the commercial energy. This situation cannot continue indefinitely, because fossil fuel supplies are finite. They will eventually be exhausted. Americans now get more than 60 percent of their oil from foreign sources at great economic and political costs. At current rates of use, the known and economically extractable world supplies of oil and natural gas will probably last

only a century or so. Reserves of coal are much larger, but coal is one of the dirtiest fuels, contributing particulate material and greenhouse gases that fuel global warming. In addition, the burning of coal is the largest single source in the United States of sulfur dioxide and nitrogen oxides (which cause respiratory health problems, ecosystem damage, and acid precipitation). Paradoxically, coal-burning power plants also release radioactivity, since radioactive minerals such as uranium and thorium are often present in low concentrations in coal deposits.

Nuclear power was once thought to be an attractive alternative to fossil fuels. Nuclear power was promoted in the 1960s as the energy source for the future. Nuclear power production accidents, such as the explosion and fire at Chernobyl in the former Soviet Union (present day Ukraine) in 1986, problems with releases of radioactive materials in mining and processing of fuels, and the inability to find a safe, acceptable permanent storage solution for nuclear waste have made nuclear power seem much less attractive in recent years. The majority of the citizens of most European and North American countries now regard nuclear power as unacceptable.

The U.S. government once projected that 1,500 nuclear plants would be built. In 2002 only 105 plants were in operation and no new construction has been undertaken since 1975. Many of these aging plants are now reaching the end of their useful life. There will be enormous costs and technical difficulties in dismantling them and disposing of the radioactive debris. However, as other energy resources become less available or increasingly expensive, nuclear power is likely to return to the agenda.

Damming rivers to create hydroelectric power from spinning water turbines has the attraction of providing a low-cost, renewable, air pollution-free energy source. Only a few locations remain in the United States, where large hydroelectric projects are feasible. Many more sites are available in Canada, Brazil, India, and other countries. The social and ecological effects of building large dams, flooding valuable river valleys, and eliminating free-flowing rivers are such that opposition is mounting to this energy source.

An example of the ecological and human damage done by large hydroelectric projects is seen in the James Bay region of Eastern Quebec. A series of huge dams and artificial lakes have flooded thousands of square miles of forest. Migration routes of caribou have been disrupted, the habitat for game on which indigenous people depended has been destroyed, and

decaying vegetation has acidified waters, releasing mercury from the bedrock and raising mercury concentrations in fish to toxic levels. The hunting and gathering traditions of local Cree and Inuit people has been affected significantly.

There are several sustainable, environmentally benign energy sources that could be developed. Among these are wind power, biomass (burning renewable energy crops such as fast-growing trees or shrubs), small-scale hydropower (low head or run-of-the-river turbines), passive-solar space heating, active-solar water heaters, photovoltaic energy (direct conversion of sunlight to electricity), and ocean tidal or wave power. There may be unwanted environmental consequences of some of these sources as well, but they seem much better in aggregate than current energy sources. A disadvantage is that most of these alternative energy sources are diffuse and not always available when or where the energy is needed.

Ways to store and ship energy generated from these sources are needed. There have been many suggestions that a breakthrough in battery technology could be on the horizon. Other possibilities include converting biomass into methane or methanol fuels, or using electricity to generate hydrogen gas through electrolysis of water. These fuels would be easy to store, transport, and use with current technology without greatly altering existing systems. Estimates indicate that some combination of these sustainable energy sources could supply all of the United States' energy needs by utilizing only a small fraction (perhaps less than one percent) of United States land area. If means are available to move this energy efficiently, these energy farms could be in remote locations with little other value.

*See also* Acid rain; Air pollution; Greenhouse effect; Photovoltaic cell; Solar energy; Thermal pollution; Wind energy.

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## Energy conservation

Energy conservation is the term used for efforts to reduce energy consumption through more efficient energy use and decreased energy consumption from conventional (non-renewable) energy sources.

The concept of energy conservation was largely unfamiliar to America—and to much of the rest of the world—prior to 1973. Certainly, some thinkers prior to that date thought about, wrote about, and advocated a more judicious use of the world's energy supplies. But in a practical sense, it seemed that the world's supply of petroleum, such as coal, oil, and natural gas, was virtually unlimited.

In 1973, however, the Organization of the Petroleum Exporting Countries (OPEC)—which in 2010 was made up of the following twelve countries: Algeria, Angola, Ecuador, Iran, Iraq, Kuwait, Libya, Nigeria, Qatar, Saudi Arabia, the United Arab Emirates, and

Venezuela (but included Indonesia in 1973)—placed an arbitrary limit on the amount of petroleum that non-producing nations could buy from them. Although the OPEC embargo lasted only a short time, the nations of the world were suddenly forced to consider the possibility that they might have to survive on a reduced and ultimately finite supply of fossil fuels.

In the United States the OPEC embargo set off a flurry of administrative and legislative activity, designed to ensure a dependable supply of energy for the nation's further needs. Out of this activity came acts such as the Energy Policy and Conservation Act of 1976, the Energy Conservation and Production Act of 1976, and the National Energy Act of 1978.

An important feature of the nation's and the world's new outlook on energy was the realization of how much energy is wasted in transportation, residential and commercial buildings, and industry. When energy supplies appeared to be without limit, waste was a matter of small concern. However, when energy shortages began to be a possibility, conservation of energy sources assumed a high priority.

Energy conservation is certainly one of the most attainable goals the federal government can set for the United States. Almost every way people use energy results in enormous waste. Only about 20 percent of the energy content of gasoline, for example, is actually put to productive work in an automobile. Each time humans make use of electricity, waste is produced; for example, coal is burned to heat water to drive a turbine to operate a generator to make electricity. More than 90 percent of the energy generated in the electrical process is wasted.

Fortunately, a vast array of conservation techniques are available in each of the major categories of energy use: transportation, residential and commercial buildings, and industry. In the area of transportation, conservation efforts focus on the nation's use of the private automobile for most personal travel. Certainly, the private automobile is an enormously wasteful method for moving people from one place to another. It is hardly surprising, therefore, that conservationists have long argued for the development of alternative means of transportation: bicycles, motorcycles, mopeds, carpools and vanpools, dial-a-rides, and various forms of mass transit. The amount of energy needed to move a single individual on average on a bus is about one-third of the amount for a private car. One need only compare the relative energy cost per passenger for eight people traveling in a commuter vanpool to the cost for a single individual in a private



**Display of a plug-in energy monitor showing the electricity consumption of a kettle (rated at 240 volts, 2200 watts) to be 2208 watts. Monitoring power consumption can help reduce energy consumption and reduce the impact on the environment. (Sheila Terry/Photo Researchers, Inc.)**

automobile to see the advantages of some form of mass transit.

For a number of reasons, however, mass transit systems in the United States are not very popular. Whereas the number of new cars sold continues to rise year after year, investment in and use of heavy and light rail systems, trolley systems, subways, and various types of automobile pools remain modest.

Many authorities believe that the best hope for energy conservation in the field of transportation is to make private automobiles more efficient or to increase the tax on their use. Some experts argue that technology already exists for the construction of 100-mile-per-gallon (42.5 kilometer/liter) automobiles if industry would make use of the technology. They also argue for additional research on electric cars as an energy-saving and pollution-reducing alternative to internal combustion vehicles. Several automobile manufacturers have produced gasoline/electric hybrid cars. The U.S. government offers tax credits toward purchase of a hybrid vehicle as an incentive for buying a more environmentally friendly automobile. These vehicles use significantly less fuel, reducing the amount of fossil fuel emissions into the environment. The Obama administration set goals for improving fuel efficiency for both cars and trucks, calling for a raise in the average fuel economy to thirty-five miles a gallon by the year 2020.

Increasing the cost of using private automobiles has also been explored. One approach is to raise the tax on gasoline to a point where commuters begin to consider mass transit as an economical alternative. Increased parking fees and more aggressive traffic enforcement have also been tried. Such approaches often fail—or are never attempted—because public officials are reluctant to anger voters.

Other methods that have been suggested for increasing energy efficiency in automobiles include the design and construction of smaller, lighter cars, extending the useful life of a car, improving the design of cars and tires, and encouraging the design of more efficient cars through federal grants or tax credits.

A number of techniques are well known and could be used, however, to conserve energy in large buildings or even small two-room cottages. A thorough insulation of floors, walls, and ceilings, for example, can save up to 80 percent of the cost of heating and cooling a building.

In addition, buildings can be designed and constructed to take advantage of natural heating and cooling factors in the environment. A home in Canada, for example, should be oriented with windows tilting

toward the south to take advantage of the sun's heating rays. A home in Mexico might have quite a different orientation to benefit from natural heating and cooling.

One of the most extreme examples of environmentally friendly buildings are those that have been constructed at least partially underground. The earthen walls of these buildings provide a natural cooling effect in the summer and provide excellent insulation during the winter.

The kind, number, and placement of trees around a building can also contribute to energy efficiency. Trees that lose their leaves in the winter will allow sunlight to heat a building during the coldest months, but will shield the building from the sun during the hot summer months.

Energy can also be conserved by modifying appliances used within a building. Prior to 1973, consumers became enamored with all kinds of electrical devices, from electric toothbrushes to electric shoeshine machines to trash compactors. As convenient as these appliances may be, they waste energy and are not necessarily considered a basic human need.

Even items as simple as light bulbs can become a factor in energy conservation programs. Fluorescent light bulbs use at least 75 percent less energy than do incandescent bulbs, and they often last twenty times longer. Although many commercial buildings now use fluorescent lighting exclusively, it still tends to be relatively less popular in private homes. Many U.S. homeowners are replacing their incandescent light bulbs with compact fluorescent light bulbs (CFLs). CFLs can be used in most existing light fixtures, use less energy (75 percent less energy on average), and have a longer life (six to ten times longer than incandescent bulbs); however, they are more expensive than incandescent bulbs. Over the life of a CFL, the savings generated in energy costs more than compensate for the upfront cost of the bulb.

As the largest single user of energy in American society, industry is a prime candidate for conservation measures. Always sensitive to possible money-saving changes, industry has begun to develop and implement energy savings devices and procedures. One such idea is cogeneration, the use of waste heat from an industrial process for use in the generation of electricity.

Another approach is the expanded use of recycling by industry. In many cases, reusing a material requires less energy than producing it from raw materials. Finally, researchers are continually testing new designs for equipment that will allow that equipment to operate using less energy.

Governments and utilities have two primary methods by which they can encourage energy conservation. One approach is to penalize individuals and companies that use too much energy. For example, an industry that uses large amounts of electricity might be charged at a higher rate per kilowatt hour than one that uses less electricity, a policy just the opposite of that is now in practice in most places.

A more positive approach is to encourage energy conservation by techniques such as tax credits. Those who insulate their homes with more energy-efficient insulation might, for example, be given cash bonuses by the local utility or a tax deduction by state or federal government.

In recent years another issue of energy conservation has come to the forefront—its environmental advantages. Obviously, the less coal, oil, and natural gas that humans use, the fewer pollutants are released into the environment. Thus, a practice that is energy-efficient, conserving energy, can also provide environmental benefits. Those concerned with global warming and climate change have been especially active in this area. Proponents point out that reducing human use of fossil fuels will both reduce the consumption of energy and the release of carbon dioxide (CO<sub>2</sub>)—a major greenhouse gas—into the atmosphere. Climate change can be combated, they point out, by taking the steps to use less energy.

The general public concern about energy waste engendered by the 1973 OPEC oil embargo eventually dissolved into complacency. Some of the sensitivity to energy conservation created by that event has not been lost. Many people have switched to more energy-efficient forms of transportation, think more carefully about leaving house lights on all night, have switched from incandescent light bulbs to CFLs, and consider energy efficiency when buying major appliances. Energy Star specifications are determined for each type of appliance to identify the most energy efficient products and are set by the U.S. Environmental Protection Agency (EPA) and the Department of Energy (DOE).

But some of the more aggressive efforts to conserve energy have become stalled. Higher taxes on gasoline, for example, still are certain to raise an enormous uproar among the populace. And energy-saving construction steps that might be mandated by law still remain optional and are frequently ignored.

For some time, renewed confidence in an endless supply of fossil fuels meant many people were no longer convinced that energy conservation was very important. However, now in the twenty-first century,

government attitude, public perception, and changes in the price of energy have meant a greater amount of conservation has become embedded in policy and regulation.

*See also* Energy efficiency; Energy policy; Fossil fuels.

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Energy crops see **Biomass**.

## Energy efficiency

The utilization of energy for human purposes is a defining characteristic of industrial society. The conversion of energy from one form to another and the efficient production of mechanical work for heat energy has been studied and improved for centuries. The science of thermodynamics deals with the relationship between heat and work and is based on two fundamental laws of nature, the first and second laws of thermodynamics. The utilization of energy and the conservation of critical, nonrenewable energy resources are controlled by these laws and the technological improvements in the design of energy systems.

The First Law of Thermodynamics states the principle of conservation of energy: energy can be neither created nor destroyed by ordinary chemical or physical means, but it can be converted from one form to

another. Stated another way, *in a closed system, the total amount of energy is constant*. An interesting example of energy conversion is the incandescent light bulb. In the incandescent light bulb, electrical energy is used to heat a wire (the bulb filament) until it is hot enough to glow. The bulb works satisfactorily except that the great majority (95 percent) of the electrical energy supplied to the bulb is converted to heat rather than light. The incandescent bulb is not very efficient as a source of light. In contrast a fluorescent bulb uses electrical energy to excite atoms in a gas, causing them to give off light in the process at least four times more efficiently than the incandescent bulb. Both light sources, however, conform to the First Law in that no energy is lost and the total amount of heat and light energy produced is equal to the amount of electrical energy flowing to the bulb.

The Second Law of Thermodynamics states that whenever heat is used to do work, some heat is lost to the surrounding environment. The complete conversion of heat into work is not possible. This is not the result of inefficient engineering design or implementation but, rather, a fundamental and theoretical thermodynamic limitation. The maximum, theoretically possible efficiency for converting heat into work depends solely on the operating temperatures of the heat engine and is given by the equations:  $E = 1 - T_2/T_1$ .  $T_1$  is the absolute temperature at which heat energy is supplied, and  $T_2$  is the absolute temperature at which heat energy is exhausted.

The maximum possible thermodynamic efficiency of a four-cycle internal combustion engine is about 54 percent; for a diesel engine, the limit is about 56 percent; and for a steam engine, the limit is about 32 percent. The actual efficiency of real engines, which suffer from mechanical inefficiencies and parasitic losses (eg. friction, drag, etc.) is significantly lower than these levels. Although thermodynamic principles limit maximum efficiency, substantial improvements in energy utilization can be obtained through further development of existing equipment such as power plants, refrigerators, and automobiles and the development of new energy sources such as solar and geothermal.

Experts have estimated the efficiency of other common energy systems. The most efficient of these appear to be electric power generating plants (33% efficient) and steel plants (23% efficient). Among the least efficient systems are those for heating water (1.5–3%), for heating homes and buildings (2.5–9%), and refrigeration and air-conditioning systems (4–5%). It has been

estimated that about 85 percent of the energy available in the United States is lost due to inefficiency.

The predominance of low-efficiency systems reflects the fact that such systems were invented and developed when energy costs were low and there was little customer demand for energy efficiency. It made more sense then to build appliances that were inexpensive rather than efficient, because the cost to operate them was so low. Since the 1973 oil embargo by OPEC, that philosophy has been carefully re-examined. Experts began to point out that more expensive appliances could be designed and built if they were also more efficient. The additional cost to the manufacturer, industry, and homeowner could usually be recovered within a few years because of the savings in fuel costs.

The concept of energy efficiency suggested a new way of looking at energy systems, the examination of the total lifetime energy use and cost of the system. Consider the common light bulb. The total cost of using a light bulb includes both its initial price and the cost of operating it throughout its lifetime. When energy was cheap, this second factor was small. There was little motivation to make a bulb that was more efficient when the life-cycle savings for its operation was minimal.

But as the cost of energy rises, that argument no longer holds true. An inefficient light bulb costs more and more to operate as the cost of electricity rises. Eventually, it made sense to invent and produce more efficient light bulbs. Even if these bulbs cost more to buy, they pay back that cost in long-term operating savings.

Thus, consumers might balk at spending \$25 for a fluorescent light bulb unless they know that the bulb will last ten times as long as an incandescent bulb that costs \$3.75. Similar arguments can and have been used to justify the higher initial cost of energy-saving refrigerators, solar-heating systems, household insulation, improved internal combustion engines, and other energy-efficient systems and appliances.

Governmental agencies, utilities, and industries are gradually beginning to appreciate the importance of increasing energy efficiency. The 1990 amendments to the Clean Air Act encourage industries and utilities to adopt more efficient equipment and procedures. Certain leaders in the energy field, such as Pacific Gas and Electric and Southern California Edison have already implemented significant energy-efficiency programs.

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## Energy flow

Understanding energy flow is vital to many environmental issues. One can describe the way ecosystems function by saying that matter cycles and energy flows. This is based on the laws of conservation of matter and energy and the second law of thermodynamics, or the law of energy degradation.

Energy flow is strictly one way, such as from higher to lower or from hotter to colder. Objects cool only by loss of heat. All cooling units, such as refrigerators and air conditioners, are based on this principle: they are essentially heat pumps, absorbing heat in one place and expelling it to another.

This heat flow is explained by the laws of radiation, as seen in fire and the color wheel. All objects emit radiation, or heat loss, but the hotter the object the greater the amount of radiation, and the shorter and more energetic the wavelength. As energy intensities rise and wavelengths shorten, the radiation changes from infrared to red, then orange, yellow, green, blue, violet, and ultraviolet. A blue flame, for example, is desired for gas appliances. A well-developed wood fire is normally yellow, but as the fire dies out and cools, the color gradually changes to orange, then red, then black. Black coals may still be very hot, giving off invisible, infrared radiation. These varying wavelengths are the main differences seen in the electromagnetic spectrum.

All chemical reactions and radioactivity emit heat as a by-product. Because this heat radiates out from the source, the basis of the second law of thermodynamics, one can never achieve 100 percent energy efficiency. There will always be a heat-loss tax. One can slow down the rate of heat loss through insulating devices, but never stop it. As the insulators absorb heat, their temperatures rise, and they in turn lose heat.

There are three main applications of energy flow to environmental concerns. First, only 10 percent of the food passed on up the food chain/web is retained as body mass; 90 percent flows to the atmosphere as heat. In terms of caloric efficiency, more calories are obtained by eating plant food than meat. Since fats are more likely to be part of the 10 percent retained as body mass, pesticides dissolved in fat are subject to bioaccumulation and biomagnification. This explains the high levels of DDT in birds of prey like the peregrine falcon (*Falco peregrinus*) and the brown pelican (*Pelecanus occidentalis*).

Second, the percentage of waste heat is an indicator of energy efficiency. In light bulbs 5 percent produces light and 95 percent heat, just the opposite of the highly efficient firefly. Electrical generation from fossil fuels or nuclear power produces vast amounts of waste heat.

Third, control of heat flow is a key to comfortable indoor air and solving global warming. Well-insulated buildings retard heat flow, reducing energy use. Atmospheric greenhouse gases, such as anthropogenic carbon dioxide and methane, retard heat flow to space, which theoretically should cause global temperatures to rise. Policies that reduce these greenhouse gases allow a more natural flow of heat back to space.

*See also* Greenhouse effect.

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Energy Information Administration see **U.S. Department of Energy**.

## Energy path, hard vs. soft

What will energy use patterns in the year 2100 look like? Such long-term predictions are difficult, risky, and perhaps impossible. Could an American citizen in 1860 have predicted what the pattern of 2010's energy use would be?

Dramatic changes in the ways people use energy may be in store over the next century. Most importantly, the world's supplies of non-renewable energy—especially, coal, oil, and natural gas—will continue to decrease. Critics have been warning for decades that time was running out for the fossil fuels and that society could not count on using them as prolifically as it had in the past.

For at least three decades, experts have debated the best way to structure energy use patterns in the future. The two most common themes have been described (originally by physicist Amory Lovins) as the “hard path” and the “soft path.”

Proponents of the hard path argue essentially that society should continue to operate in the future as it has in the past, except more efficiently. They point out that 1960s and 1970s predictions that oil supplies would be depleted by the end of the twentieth century have been proved wrong. If anything the known reserves of fossil fuels may actually have increased as economic incentives encouraged further exploration.

The energy future, the hard-pathers say, should focus on further incentives to develop conventional energy sources, such as fossil fuels and nuclear power. Such incentives might include tax breaks and subsidies for coal, uranium, and petroleum companies. When supplies of fossil fuels become depleted, the emphasis should shift to a greater reliance on nuclear power.

An important feature of the hard energy path is the development of huge, centralized coal-fired and nuclear-powered plants for generating electricity. One characteristic of most hard energy proposals, in fact, is the emphasis on very large, expensive, centralized systems. For example, one would normally think of solar energy as a part of the soft energy path. But one proposal developed by the National Aeronautics and Space Administration (NASA) calls for a gigantic solar power station to be orbited around the earth. The station could then transmit power via microwaves to centrally located transmission stations at various points on the earth's surface.

Those who favor a soft energy path have a completely different scenario in mind. Fossil fuels and nuclear power must diminish as sources of energy as soon as possible, they say. In their place, alternative sources of power such as hydropower, geothermal energy, wind energy, and photovoltaic cells must be developed.

In addition, the soft-pathers say, people should encourage conservation to extend coal, oil, and natural gas supplies as long as possible. Also because electricity is one of the most wasteful of all forms of energy, its use should be curtailed.

Most importantly, soft-path proponents maintain energy systems of the future should be designed for small-scale use. The development of more efficient solar cells, for example, would make it possible for individual facilities to generate a significant portion of the energy they need.

Underlying the debate between hard- and soft-pathers is a fundamental question as to how society should operate. On the one hand are those who favor the control of resources in the hands of a relatively small number of large corporations. On the other hand are those who prefer to have that control decentralized to individual communities, neighborhoods, and families. The choice made between these two competing philosophies will probably determine which energy path the United States and the world will ultimately follow.

*See also* Alternative energy sources; Energy and the environment; Renewable energy.

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## Energy policy

Energy policies are the actions governments take to affect the demand for energy as well as the supply of it. These actions include the ways in which governments cope with energy supply disruptions and their efforts to influence energy consumption and economic growth.

The energy policies of the United States government have often worked at cross purposes, both stimulating and suppressing demand. Taxes are perhaps the most important kind of energy policy, and energy taxes are much lower in the United States than in other countries. This is partially responsible for the fact that energy consumption per capita is higher than elsewhere, and there is less incentive to invest in conservation or alternative technologies. Following the 1973 Arab oil embargo, the federal government instituted price controls, which kept energy prices lower than they would otherwise have been, thereby stimulating consumption. Yet the government also instituted policies at the same time, such as fuel-economy standards for automobiles, which were designed to increase

conservation and lower energy use. Thus, policies in the period after the embargo were contradictory: what one set of policies encouraged, the other discouraged.

The United States government has a long history of different types of interference in energy markets. The Natural Gas Act of 1938 gave the Federal Power Commission the right to control prices and limit new pipelines from entering the market. In 1954 the Supreme Court extended price controls to field production. Before 1970 the Texas Railroad Commission effectively controlled oil output in the United States through rationing regulations that provided multiple owners with the rights to underground pools. The federal government provided tax breaks in the form of intangible drilling expenses and gave the oil companies a depletion allowance. A program was also in place from 1959 to 1973 that limited oil imports and protected domestic producers from cheap foreign oil. The ostensible purpose of this policy was maintaining national security, but it contributed to the depletion of national reserves.

After the oil embargo, Congress passed the Emergency Petroleum Allocation Act giving the federal



U.S. President Barack Obama meets with a bipartisan group of governors from across the country in the State Dining Room to discuss energy policy in Washington. (RON SACHS/UPI/Landov)

government the right to allocate fuel in a time of shortage. In 1974 President Gerald Ford announced Project Independence, which was designed to eliminate dependence on foreign imports. Congress passed the Federal Non-Nuclear Research and Development Act in 1974 to focus government efforts on non-nuclear research. Finally, in 1977 Congress approved the cabinet-level creation of the U.S. Department of Energy (DOE), which had a series of direct and indirect policy approaches at its disposal, designed to encourage and coerce both the energy industry as well as the commercial and residential sectors of the country to make changes. After Ronald Reagan became president, many DOE programs were abolished, though DOE continued to exist, and the net impact has probably been to increase economic uncertainty.

In 2009 President Barack Obama declared “a new era of energy exploration.” Obama’s energy plan called for massive government investment in clean, renewable, and sustainable energy initiatives. Obama’s energy plan also called for greater energy efficiency. Between 1990 and 2009, the U.S. fuel economy standard for cars remained 27.5 miles per gallon (mpg) (11.7 km/l). In 2009 the standard for light trucks was 23.0 mpg (8.6 km/l). Under the fuel efficiency standards announced by Obama in 2009, by 2016 cars and light trucks had to achieve approximately 39 mpg (16.6 km/l) and 30 mpg (12.8 km/l), respectively.

Energy policy issues have always been very political in nature. Different segments of the energy industry have often been differently affected by policy changes, and various groups have long proposed divergent solutions. The energy crisis, however, intensified these conflicts. Advocates of strong government action called for policies which would alter consumption habits, reducing dependence on foreign oil and the nation’s vulnerability to an oil embargo. They have been opposed by proponents of free markets, some of whom considered the government itself responsible for the crisis. Few issues were subject to such intensive scrutiny and fundamental conflicts over values as energy policies were during this period. Interest groups representing causes from energy conservation to nuclear power mobilized. Business interests also expanded their lobbying efforts.

An influential advocate of the period was Amory Lovins, who helped create the renewable energy movement. His book, *Soft Energy Paths: Toward A Durable Peace* (1977), argued that energy problems existed because large corporations and government bureaucracies had imposed expensive centralized technologies such as nuclear power on society. Lovins argued that the solution was in small scale, dispersed, technologies. He believed that the “hard path” imposed by corporations

and the government led to an authoritarian, militaristic society while the “soft path” of small-scale dispersed technologies would result in a diverse, peaceful, self-reliant society.

Because coal was so abundant, many in the 1970s considered it a solution to American dependence on foreign oil, but this expectation has proved to be mistaken. During the 1960s the industry had been controlled by an alliance between management and the union, but this alliance disintegrated by the time of the energy crisis, and wildcat strikes hurt productivity. Productivity also declined because of the need to address safety problems following passage of the 1969 Coal Mine Health and Safety Act. Environmental issues also hurt the industry following passage of the National Environmental Policy Act of 1969, the Clean Air Act of 1970, the Clean Water Act of 1972, and the 1977 Surface Mining Control and Reclamation Act. Worker productivity in the mines dropped sharply from 19 tons per worker day to 14 tons, and this decreased the advantage coal had over other fuels. The 1974 Energy Supply and Environmental Coordination Act and the 1978 Fuel Use Act, which required utilities to switch to coal, had little effect on how coal was used because so few new plants were being built.

Other energy-consuming nations responded to the energy crises of 1973–1974 and 1979–1980 with policies that were different from the United States. Japan and France, although via different routes, made substantial progress in decreasing their dependence on Mideast oil. Great Britain was the only major industrialized nation to become completely self-sufficient in energy production, but this fact did not greatly aid its ailing economy. When energy prices declined and then stabilized in the 1980s, many consuming nations eliminated the conservation incentives they had put in place.

Japan is one of the most heavily petroleum-dependent industrialized nations. To pay for a high level of energy and raw material imports, Japan must export the goods that it produces. When energy prices increased after 1973, it was forced to expand exports. The rate of economic growth in Japan began to decline. Annual growth in GNP averaged nearly 10 percent from 1963–1973, but from 1973–1983 it was just under 4 percent, although the association between economic growth and energy consumption has weakened.

The Energy Rationalization Law of 1979 was the basis for Japan’s energy conservation efforts, providing for the financing of conservation projects and a system of tax incentives. It has been estimated that more than 5 percent of total Japanese national investment in 1980 was for energy-saving equipment. In the

cement, steel, and chemical industries more than 60 percent of total investment was for energy conservation, Japanese society shifted from petroleum to a reliance on other forms of energy including nuclear power and liquefied natural gas.

In France, energy resources at the time of the oil embargo were extremely limited. France possessed some natural gas, coal, and hydropower, but together these sources constituted only 0.7 percent of the world's total energy production. By 1973 French dependence on foreign energy had grown to 76.2 percent: oil made up 67 percent of the total energy used in France, up from 25 percent in 1960.

France had long been aware of its dependence on foreign energy and had taken steps to overcome it. Political instability in the Mideast and North Africa had led the government to take a leading role in the development of civilian nuclear power after World War II. In 1945 Charles de Gaulle set up the French Atomic Energy Commission to develop military and peaceful uses for nuclear power. The nuclear program proceeded at a very slow pace until the 1973 embargo, after which there was rapid growth in France's reliance on nuclear power. By 1990 more than fifty reactors had been constructed and more than 70 percent of France's energy came from nuclear power. France now exports electricity to nearly all its neighbors, and its rates are about the lowest in Europe. Starting in 1976 the French government also subsidized 3,100 conservation projects at a cost of more than 8.4 billion francs, and these subsidies were particularly effective in encouraging energy conservation.

Concerned about oil supplies during World War I, the British government had taken a majority interest in British Petroleum and tried to play a leading role in the search for new oil. After the World War II, the government nationalized the coal, gas, and electricity industries, creating, for ideological reasons as well as for postwar reconstruction, the National Coal Board, British Gas Corporation, and Central Electricity Generating Board. After the discovery of oil reserves in the 1970s in the North Sea, the government established the British National Oil Company. This government corporation produced about 7 percent of North Sea oil and ultimately handled about 60 percent of the oil produced there.

All the energy sectors in the United Kingdom were thus either partially or completely nationalized. Government relations with the nationalized industries often were difficult, because the two sides had different interests. The government intervened to pursue macroeconomic objectives such as price restraint, and it

attempted to stimulate investment at times of unemployment. The electric and gas industries had substantial operating profits and they could finance their capital requirements from their revenues, but profits in the coal industry were poor, the work force was unionized, and opposition to the closure of uneconomic mines was great. Decision-making was highly politicized in this nationalized industry, and the government had difficulty addressing the problems there. It was estimated that 90 percent of mining losses came from thirty of the 190 pits in Great Britain, but only since 1984 to 1985 was there rapid mine closure and enhanced productivity. New power-plant construction was also poorly managed, and comparable coal-fired power stations cost twice as much in Great Britain as in France or Italy.

The Conservative Party proposed that the nationalized energy industries be privatized. However, with the exception of coal, these energy industries had natural monopoly characteristics: economies of scale and the need to prevent duplicate investment in fixed infrastructure. The Conservative Party called for regulation after privatization to deal with the natural monopoly characteristics of these industries, and it took many steps toward privatization. In only one area, however, did it carry its program to completion, abolishing the British National Oil Company and transferring its assets to private companies.

*See also* Alternative energy sources; Corporate Average Fuel Economy Standards; Economic growth and the environment; Electric utilities; Energy and the environment; Energy efficiency; Energy path, hard vs. soft; Lovins, Amory Bloch.

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## Energy recovery

A fundamental fact about energy use in modern society is that huge quantities are lost or wasted in almost every field and application. For example, the series of processes by which nuclear energy is used to heat a home with electricity results in a loss of about 85 percent of all the energy originally stored in the uranium used in the nuclear reactor. Industry, utilities, and individuals could use energy far more efficiently if they could find ways to recover and reuse the energy that is being lost or wasted.

One such approach is cogeneration, the use of waste heat for some useful purpose. For example, a factory might be redesigned so that the steam from its operations could be used to run a turbine and generate electricity. The electricity could then be used elsewhere in the factory or sold to power companies. Cogeneration in industry can result in savings of between 10 and 40 percent of energy that would otherwise be wasted.

Cogeneration can work in the opposite direction also. Hot water produced in a utility plant can be sold to industries that can use it for various processes. Proposals have been made to use the wasted heat from electricity plants to grow flowers and vegetables in greenhouses, to heat water for commercial fish and shellfish farms, and to maintain warehouses at constant temperatures. The total energy efficiency resulting from this sharing is much greater than it would be if the utility's water was simply discarded.

Another possible method of recovering energy is by generating or capturing natural gas from biomass. For example, as organic materials decay naturally in a landfill, one of the products released is methane, the primary component of natural gas. Collecting methane from a landfill is a relatively simple procedure. Vertical holes are drilled into the landfill and porous pipes are sunk into the holes. Methane diffuses into the pipes and is drawn off by pumps. The recovery system at the Fresh Kills landfill on Staten Island, New York, for example, produces enough methane to heat 10,000 homes.

Biomass can also be treated in a variety of ways to produce methane and other combustible materials.

Sewage, for example, can be subjected to anaerobic digestion, the primary product of which is methane. Pyrolysis is a process in which organic wastes are heated to high temperatures in the absence of oxygen. The products of this reaction are solid, liquid, and gaseous hydrocarbons whose composition is similar to those of petroleum and natural gas. Perhaps the most known example of this approach is the manufacture of methanol from biomass. When mixed with gasoline, a new fuel, gasohol, is obtained.

Energy can also be recovered from biomass simply by combustion. The waste materials left after sugar is extracted from sugar cane, known as *bagasse*, have long been used as a fuel for the boilers in which the sugar extraction occurs. The burning of garbage has also been used as an energy source in a wide variety of applications such as the heating of homes in Sweden, the generation of electricity to run streetcars and subways in Milan, Italy, and the operation of a desalination plant in Hempstead, Long Island.

The recovery of energy that would otherwise be lost or wasted has a secondary benefit. In many cases, that wasted energy might cause pollution of the environment. For example, the wasted heat from an electric power plant may result in thermal pollution of a nearby waterway. Or the escape of methane into the atmosphere from a landfill could contribute to air pollution. Capture and recovery of the waste energy not only increases the efficiency with which energy is used, but may also reduce some pollution problems.

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## Energy Reorganization Act (1974)

Passed in 1974 during the Ford Administration, the Energy Reorganization Act created the Energy Research and Development Administration (ERDA) and Nuclear Regulatory Commission (NRC). The purpose of the act was to begin an extensive non-nuclear federal research program, separating the regulation of nuclear power from research functions. The NRC focused on nuclear regulation, whereas the ERDA conducted nuclear power research. The passage of the 1974 Energy Reorganization Act ended the Atomic Energy Commission, which had been the main instrument to implement nuclear policy. In 1977, ERDA incorporated into the newly created U.S. Department of Energy.

*See also* Alternative energy sources; Energy policy.

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## Energy taxes

The main energy tax levied in the United States is the one on petroleum, though the United States tax is half of the amount levied in other major industrialized nations. As a result, gasoline prices in the United States are much lower than elsewhere, and both environmentalists and others have argued that this encourages energy consumption and environmental degradation and causes national and international security problems.

In 1993 the House passed a BTU tax, while the Senate passed a more modest tax on transportation fuels. A BTU tax would restrict the burning of coal and other fossil fuels and proponents maintain that

this would be both environmentally and economically beneficial. Every barrel of oil and every ton of coal that is burned adds greenhouse gases to the atmosphere, increasing the likelihood that future generations will face a global climatic calamity. United States dependence on foreign oil, much of it from potentially unstable nations, now approaches 60 percent. A BTU tax would create incentives for energy conservation, and it would help stimulate the search for alternatives to oil. It would also help reduce the burgeoning trade deficit, of which foreign petroleum and petroleum-based products now constitute nearly 40 percent.

President Bill Clinton urged Americans to support higher energy taxes because of the considerable effect they could have on the federal budget deficit. For instance, if the government immediately raised gasoline prices to levels commonly found in other industrial nations (about \$4.15 a gallon), the budget deficit would almost be eliminated. It is estimated that every penny increase in gasoline taxes, yields a billion dollars in revenue for the federal treasury, and in 2009 the budget deficit was estimated to be about \$1.42 trillion.

Of course, to raise gasoline taxes immediately to these levels is utterly impractical, as the effects on the economy would be catastrophic. It would devastate the economics of rural and western states. Inflation across the country would soar and job losses would skyrocket. Supporters of increasing energy taxes agree that the increases must be gradual and predictable, so people can adjust. Many believe they should take place over a fifteen-year period, after which energy prices in the United States would be roughly equivalent to those in other industrial nations.

*See also* Energy policy; Environmental economics.

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*Enhydra lutris* see **Sea otter**.

Eniwetok Atoll see **Bikini atoll**.

## Enteric bacteria

Enteric bacteria are defined as bacteria that reside in the intestines of animals. Members of the Enterobacteriaceae family, enteric bacteria are important because some of them symbiotically aid the digestion of their hosts, but other pathogenic species cause disease or death in their host organism. The pathogenic members of this family include species from the genera *Escherichia*, *Salmonella*, *Shigella*, *Klebsiella*, and *Yersinia*. All of these pathogens are closely associated with fecal contamination of foods and water. In North America the reported incidence of salmonellosis outweighs the occurrence of all of the other reportable diseases by other enteric bacteria combined.

Most infections from enteric pathogens require large numbers of organisms to be ingested by immunocompetent adults, with the exception of *Shigella*. Symptoms include gastrointestinal distress and diarrhea. The enteric bacteria related to *Escherichia coli* are known as the *coliform* bacteria. Coliform bacteria are used as indicators of pathogenic enteric bacteria in drinking and recreational waters.

See also Sewage treatment.

Entrainment see **Air pollution.**

## Environment

Environment is derived from the French words *environ* or *environner*, meaning around, which in turn originated from the Old French *virer* and *viron* (together with the prefix *en*), which mean “a circle, around, the country around, or circuit.” Etymologists frequently conclude that, in English usage at least, *environment* is the total of the things or circumstances around an organism—including humans—though *environs* is limited to the “surrounding neighborhood of a specific place, the neighborhood, or vicinity.”

The word environment provokes two persuasive suggestions for a contemporary definition. First, the word environment is identified with a totality, everything that encompasses all of us, and this association is established enough to be not lightly dismissed. The very notion of environment, as Russian-born mathematical psychologist Anatol Rapoport (1911–2007) indicated, suggests the partitioning of a “portion of the world into regions, an inside and an outside.” The environment is the outside. Second, the word’s origin in the phrase “to

environ” indicates a process that alludes to some sort of action or interaction, suggesting that the environment is not simply an inert phenomenon to be acted on without response or without affecting the organism in return. Environment must be a relative word, because it always refers to something environed or enclosed.

Ecology as a discipline is focused on studying the interactions between an organism and its environment. Ecologists must be concerned with what H.L. Mason and J.H. Langenheim described as a “key concept in the structure of ecological knowledge,” but a concept with which ecologists continue to have problems of confusion between ideas and reality—the concept of environment. Mason and Langenheim’s article “Language Analysis and the Concept Environment” continues to be the definitive statement on the use of the word environment in experimental ecology.

The results of Mason and Langenheim’s analysis were essentially four-fold: (1) they limited environmental phenomena “in the universal sense” to only those phenomena that have an operational relation with any organism. Other phenomena present that do not enter a reaction system are excluded or dismissed as they are not considered “environmental phenomena”; (2) they restricted the word environment itself to mean “the class composed of the sum of those phenomena that enter a reaction system of the organism or otherwise directly impinge upon it” so that physical exchange or impingement becomes the clue to a new and limited definition; (3) they specifically note that their definition does not allude to the larger meaning implicit in the etymology of the word; and (4) they designate their limited concept as *operational environment*, but state that when the word environment is used with qualification, then it still refers to the operational construct, establishing that “‘environment per se is synonymous with ‘operational environment.’”

This definition does allow a prescribed and limited conception of environment and might work for experimental ecology but is much too limited for general usage. To be relevant environmental phenomena must incorporate a multitude of things other than those that physically impinge on each human being. It needs to be more interactive and overlapping than a restricted definition. To better understand contemporary human interrelationships with the world around them, environment must be an incorporative, holistic term and concept.

Thinking about the environment in the comprehensive sense—with the implication that *everything* is the environment with each entity connected to each of a multitude of others—makes environment what American lawyer David Currie (1936–2007) in a

book of case studies and material on pollution described “as not a modest concept.” But, such scope and complexity, difficult as they are to resolve, intensify rather than eliminate the very real need for a kind of transcendence. The assumption seems valid that human consciousness regarding environment needs to be raised, not restricted. Humans need increasingly to comprehend and care about what happens in far away places and to people they do not know but that do affect them, that have an impact on even their localized environments, and that do impinge on their individual well-being. And they need to incorporate the reciprocal idea that their actions affect people and environments outside the immediate in place and time; in the world today, environmental impacts transcend the local. Thus it is necessary that human awareness of those impacts also be transcendent.

Confining the definition of environment to operationally narrow physical impingement would likely advance this goal. It may significantly retard it, a retardation that contemporary human societies cannot afford. Internalization of a larger environment, including an understanding of common usages of the word, might on the other hand aid people in caring about, and assuming responsibility for, what happens to that environment and to the organisms in it.

An operational definition can help people deal with problems immediate and local, but can, if they are not careful, limit them to an unacceptable mechanistic and unfeeling approach to problems in the environment-at-large.

Acceptance of either end of the spectrum—a limited operational definition or an incorporative holistic definition—as the only definition creates more confusion than clarification. Both are needed. Outside the laboratory, however, in study of the interactional, interdependent world of contemporary humankind, the holistic definition must have a place. A sense of the comprehensive outside, of the totality of world and of people as a functionally significant, interacting unit should be a part of the consciousness of every person.

Carefully chosen qualifiers can help deal with the complexity: the terms *natural*, *built*, or *perceptual* all specify aspects of human surroundings more descriptive and less incorporative than the word environment used alone. Other nouns can also pick up some of the meanings of environment, though none are direct synonyms: *habitat*, *milieu*, *mis en scene*, and *ecumene* all designate specified and limited aspects of the human environment, but none except environment are incorporative of the whole complexity of human surroundings.

An understanding of environment must not be limited to an abstract concept that relates to daily life in terms of choices to recycle or walk to work. The environment is the base for all life, the source of all goods. Poor people in underdeveloped nations know this; their daily survival depends on what happens in their local environments. The precipitation in their area, the movement of commercial seiners into local fishing grounds, and the loss of local forest products due to world timber production affect these people more directly. What they, like so many other humans around the world, may not also recognize, is that environment now extends far beyond the bounds of the local; environment is the intimate enclosure of the individual or a local human population *and* the global domain of the human species.

The Brundtland Report *Our Common Future*, from the World Commission on Environment and Development (WCED), or the Brundtland Commission, recognized this with a healthy, modern definition: “The environment does not exist as a sphere separate from human actions, ambitions, and needs, and attempts to defend it in isolation from human concerns have given the word ‘environment’ a connotation of naivety in some political circles.” The report goes on to note that “the ‘environment’ is where we all live, and ‘development’ is what we all do in attempting to improve our lot within that abode. The two are inseparable.”

The Brundtland Commission focused on the degradation of the human environment and natural resources and the effect of the degradation on social and economic development. Based on current environmental issues, the Commission promoted global sustainable development, which is “meeting the needs of the present without compromising the ability of future generations to meet their own needs.” Sustainable development of the environment offers the possibility that our surroundings can be maintained and potentially improved through careful actions and mitigation or elimination of pollutants.

Each human being lives in a different environment than any other human because every single one screens their surroundings through their own individual experience and perceptions. Yet all human beings live in the same environment, an external reality that all share. The environment must be maintained to support current and future generations. Understanding the environment requires a resolution and synthesis of individual characteristics and shared conditions. Solving environmental problems depends on the intelligence exhibited in that resolution.

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Gerald L. Young

## Environment Canada

Environment Canada is the agency with overall responsibility for the development and implementation of policies related to environmental protection, monitoring, and research within the government of Canada. Parts of this mandate are shared with other federal agencies, including those responsible for agriculture, forestry, fisheries, and nonrenewable resources such as minerals. Environment Canada also works with the environment-related agencies of Canada's ten provincial and two territorial governments through such groups as the Canadian Council of Ministers of the Environment.

The head of Environment Canada is a minister of the federal cabinet. The minister is responsible for policies and actions to preserve and enhance the quality of the environment for the benefit of present and future generations of Canadians.

In 1990, following a lengthy and extensive consultation process organized by Environment Canada, the Government of Canada released its *Canada's Green Plan for a Healthy Environment*, which details the broader goals, as well as many specific objectives, to be pursued toward achieving a state of ecologically sustainable economic development in Canada. The first and most general of the national objectives under the *Green Plan* is to "secure for current and future generations a safe and healthy environment, and a sound and prosperous economy."

The *Green Plan* is intended to set a broad environmental framework for all government activities and objectives, including the development of policies. The government of Canada has specifically committed to working toward the following priority objectives: (1) clean air, water, and land; (2) sustainable development of renewable resources; (3) protection of special places and species; (4) preservation of the integrity of northern Canada; (5) global environmental security; (6) environmentally responsible decision-making at all levels of society; and (7) minimization of the effects of environmental emergencies. Environment Canada will play the lead role in implementing the vision of the *Green Plan* and in coordinating the activities of the various agencies of the government of Canada.

To integrate the dual challenges of a new environmental agenda (set by the expectations of Canadians in general and the federal government in particular) and the need to continue to deliver traditional programs, Environment Canada is moving from a three-program to a one-program administrative structure. Under that single program, six activities are coordinated: (1) the Atmospheric Environment Service activity, through which information is provided and research conducted on weather, climate, oceanic conditions, and air quality; (2) the Conservation and Protection Service activity, which focuses on special species and places, global environmental integrity, the integrity of Canadian ecosystems, environmental emergencies, and ecological and economic interdependence; (3) the Canadian Parks Service activity, concentrating on the ecological and cultural integrity of special places, as well as on environmental and cultural citizenship; (4) the Corporate Environmental Affairs activity, dealing with environmentally responsible decision-making and ecosystem-science leadership; (5) the State of the Environment Reporting activity, through which credible and comprehensive environmental information, linked with socio-economic considerations, is provided to Canadians; and (6) the Administration activity, covering corporate management and services.

In 2010 the initiatives embodied in the *Green Plan* were still in place. However, the government has been criticized nationally and internationally for its environmental stance on, in particular, climate change and the Alberta Oil Sands. In the case of the oil sands, the government regulations concerning the emission of greenhouse gases specify reduction targets in terms of emission intensity.

See also Environment; Environmental policy; Future generations.

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Bill Freedman

## Environmental accounting

A system accounting where environmental assets, including air, water, and land, are not considered to be free and abundant resources but instead are considered to be scarce economic assets. Any environmental damage caused by the production process must be treated as an economic expense. It is important to include in this framework the full (cradle-to-grave) environmental cost occurring over the life cycle of a product, including not only the environmental costs incurred in the production process, but also the environmental costs resulting from use, recycling, and disposal of products.

See also Ecological economics.

## Environmental aesthetics

In his journal Henry David Thoreau asked in 1859, “In what book is this world and its beauty described? Who has plotted the steps toward the discovery of beauty?” Almost a 100 years later, in his book *The Sand County Almanac*, ecologist Aldo Leopold addressed Thoreau’s question by advocating what he called a “conservation esthetic” as the door to appreciating the richness of the natural world, and a resulting conservation ethic.

Leopold suggested that increased ecological awareness would more finely tune people’s perception of the world around them. The word aesthetics is, after all, derived from the Greek word *aisthesis*, literally “perception by the senses.” Leopold claimed that perception, “like all real treasures of the mind, can be split into infinitely small fractions without losing its quality,” a necessity if people are to revive their appreciation of the richness and diversity of the world that

surrounds them. Instead, he thought that most recreationists are like “motorized ants who swarm the continents before learning to see [their] own back yard,” so that recreational development becomes “a job not of building roads into lovely country, but of building receptivity into the still unlovely human mind.”

Despite the fact that Thoreau and Leopold are both widely read by environmentalists and others, aesthetics has remained largely the domain of philosophy, and environmental aesthetics until recently was a neglected domain in general. In philosophy, aesthetics developed quite narrowly as an esoteric subdiscipline focused on theories of the arts, but a philosophy exclusive of nature and environment. An environmental philosophy emerged in by the twenty-first century, but developed mainly from the ethics tradition in philosophy and, for some reason, neglected the aesthetic tradition (though Yrjö Sepänmaa and other philosophers, tried to encourage an environmental aesthetics at the intersection of traditions: seeing the environment as an aesthetic object and environmental aesthetics as the philosophy of environmental criticism). Although extensive literature has emerged on environmental ethics, the gap left by philosophy for environmental aesthetics has been filled by writers in a number of disciplines, notably psychology and geography, especially through empirical research on perception. Yi Fu Tuan’s pioneering works (e.g., *Topophilia* in 1974) broadened the study of aesthetics, transcended his own discipline of geography, and continue to shape the debate about the content and concepts appropriate to an environmental aesthetic.

In classical aesthetics, sight and hearing are considered the primary senses because they are most immediately involved in the contemplation and appreciation of art and music. Arguably, the other three senses—touch, smell, and taste—must also be brought to bear for a true sensing of all the arts. Certainly, all five of the basic senses are central to an environmental aesthetic, an aesthetic sense, as Berleant notes, they are “the continuities that join integrated human persons with their natural and cultural condition.” To achieve this requires what Berleant calls “an integrated sensorium,” a “recognition of synaesthesia,” that is a “fusion of the sense modalities.” He claims that “perception is not passive but an active, reciprocal engagement with environment”; environmental aesthetics is what Berleant calls “an aesthetics of engagement.”

Aesthetic engagement with environment involves not only the five senses, but the individual, behavioral, and personal history of each person, as well as the cultural context and continuity in which that person

has developed. An environmental aesthetic is “always contextual, mediated by the [long and complex] variety of conditions and influences that shape all [human] experience.” An environmental aesthetic is also contingent on place. A sense of place, or a developed, knowledgeable understanding of the place in which one lives, is central to a well-developed environmental aesthetic. This can lead to greater engagement with place, and more involvement with local planning and land-use decisions, among other issues.

An understanding of environmental aesthetics cannot be attained without some notion of how the word and concept *environment* itself is defined. Before recent publications on environmental aesthetics, most writers on the subject focused on the beauty of nature, for example, as experienced in the national parks of the United States. As a more formal environmental aesthetics has emerged, the definition of environment (and environmental beauty) has enlarged. Sepáunmaa, for example, defines “environmental aesthetics [as] the aesthetics of the real world” and includes in this “all of the observer’s external world: the natural environment, the cultural environment, and the constructed environment.”

Berleant also claims that “the idea of an aesthetic environment is a new concept that enlarges the meaning of environment.” But he considers environment not only as everything “out there,” but as “everything that there is; it is all-inclusive, a total, integrated, continuous process.” This concept is hard to grasp but is “nonetheless soberly realistic, for it recognizes that ultimately everything affects everything else, that humans along with all other things inhabit a single intracommunicated realm.” Berleant’s conception of environment “does not differentiate between the human and the natural and . . . interprets everything as part of a single, continuous whole.” He advocates “the largest idea of environment [that] is the natural process as people live it, however they live it. Environment is nature experienced, nature lived.” An environmental aesthetic then, depends on education in human ecology, on more complete understanding of how people connect with other people, and of how they interact with a wide range of environments, local to global.

Better understanding is both a result of, and a cause of, more engagement with place and surroundings. The resulting connections and commitment have ramifications for the design and planning of the built environment, and for exploiting and managing the natural environment. Aesthetics, in this ecological, integrated sense, can contribute to cost-benefit decisions

about how people use the environments in which they live, including city and regional planning, and in design at all levels, from individual artifact to the grasp of the global realities of the contemporary world.

Berleant argues that environmental perception becomes an aesthetic only through a complete understanding of the totality. Though that is not possible for any one person, an appreciation of the richness and diversity of the earth’s natural and human domain is necessary to achieve such an aesthetic. An environmental aesthetic then is necessarily complex, but it can also provide simple commandments for everyday life: as Thoreau suggested, “to affect the quality of the day, that is the highest of arts.”

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## Environmental auditing

The environmental auditing movement gained momentum in the early 1980s as companies beset by new liabilities associated with Superfund and old hazardous waste sites wanted to insure that their operations were adhering to federal and local policies and company procedures. Most audits were initiated to avoid legal conflicts, and many companies brought in outside consultants to do the audits. The audits served many useful functions, including increasing management and employee awareness of environmental issues and initiating data collection and central monitoring of matters previously not watched as carefully. In many companies environmental auditing played a useful role in organizing information about the environment. It paved the way for the pollution prevention movement, which had a great impact on company environmental management in the late 1980s when some companies first started to view all of their pollution problems comprehensively and not in isolation.

## Environmental chemistry

Environmental chemistry refers to the occurrence, movements, and transformations of chemicals in the environment. Environmental chemistry deals with naturally occurring chemicals such as metals, other elements, organic chemicals, and biochemicals that are the products of biological metabolism. Environmental chemistry also deals with synthetic chemicals that have been manufactured by humans and dispersed into the environment, such as pesticides, polychlorinated biphenyls (PCBs), dioxins, furans, and many others.

The occurrence of chemicals refers to their presence and quantities in various compartments of the environment and ecosystems. For example, in a terrestrial ecosystem such as a forest, the most important compartments to consider are the mineral soil, water and air present in spaces within the soil, the above-ground atmosphere, dead biomass within the soil and lying on the ground as logs and other organic debris, and living organisms, the most abundant of which are trees. Each of these components of the forest ecosystem contains a wide variety of chemicals in some concentration. Chemicals move between all of these compartments as fluxes that represent elements of nutrient and mineral cycles.

The movements of chemicals within and among compartments often involve a complex of transformations among potential molecular states. There may also be changes in physical states, such as evaporation of liquids or crystallization of dissolved substances. The transformations of chemicals among molecular states can be illustrated by studying the environmental cycling of sulfur. Sulfur (S) is commonly emitted into the atmosphere as the gases sulfur dioxide ( $\text{SO}_2$ ) or hydrogen sulfide ( $\text{H}_2\text{S}$ ), which are transformed by photochemical reactions into the negatively-charged ion, sulfate ( $\text{SO}_4^{2-}$ ). The sulfate may eventually be deposited with precipitation into a terrestrial ecosystem, where it may be absorbed along with soil water by tree roots, and later used to synthesize biochemicals such as proteins and amino acids. Eventually, the plant dies, and its biomass is deposited to the soil surface as litter. Microorganisms can then metabolize the organic matter as a source of energy and nutrients, eventually releasing simple inorganic compounds of sulfur, such as sulfate or hydrogen sulfide, into the environment. Alternatively, the plant biomass may be harvested by humans and used as a fuel, with the organic sulfur being oxidized during combustion and emitted into the atmosphere as sulfur dioxide. Organic

and mineral forms of sulfur also occur in fossil fuels such as petroleum and coal, and the combustion of those materials also results in an emission of sulfur dioxide to the atmosphere.

### Contamination and pollution

Toxicological chemistry refers to the presence of toxic chemicals and their effects on living tissue and organisms. Contamination and pollution both refer to the presence of chemicals in the environment, but it is useful to distinguish between these two conditions. Contamination refers to the presence of one or more chemicals in concentrations higher than normally occurs in the ambient environment, but not high enough to cause biological or ecological damages. In contrast, pollution occurs when chemicals occur in the environment in concentrations high enough to cause damages to organisms. Pollution results in toxicity and ecological changes, but contamination does not cause those damages.

Chemicals that are commonly involved in pollution include the gases sulfur dioxide and ozone, diverse kinds of pesticides, elements such as arsenic (As), copper (Cu), mercury (Hg), nickel (Ni), and selenium (Se), and some naturally occurring biochemicals. In addition, large concentrations of nutrients such as phosphate ( $\text{PO}_4^{3-}$ ) and nitrate ( $\text{NO}_3^-$ ) can cause eutrophication, a type of pollution associated with excessive ecological productivity. Although any of these chemicals can cause pollution in certain situations, they most commonly occur in concentrations too small to cause toxicity or other ecological damages.

Modern analytical chemistry has become extremely sophisticated, and this allows trace contamination of potentially toxic chemicals to be measured at levels that are much smaller than what is required to cause demonstrable physiological or ecological damages.

### Environmental chemistry of the atmosphere

Atmospheric chemistry deals with the chemical makeup of the atmosphere. Nitrogen gas ( $\text{N}_2$ ) comprises about 79 percent of the mass of Earth's atmosphere, whereas 20 percent is oxygen ( $\text{O}_2$ ), 0.9 percent argon (Ar), 0.035 percent carbon dioxide ( $\text{CO}_2$ ), and the remainder composed of a variety of trace gases. The atmosphere also contains variable concentrations of water vapor, which can range from 0.01 percent in frigid arctic air to 5 percent in humid tropical air.

The atmosphere also can contain high concentrations of gases, vapors, or particulates that are potentially harmful to people, other animals, or vegetation, or that cause damages to buildings, art, or other

materials. The most damaging gaseous air pollutants (listed alphabetically) are ammonia ( $\text{NH}_3$ ), carbon monoxide (CO), fluoride (F, usually occurring HF), nitric oxide and nitrogen dioxide (NO and  $\text{NO}_2$ , together known as oxides of nitrogen, or  $\text{NO}_x$ ), ozone ( $\text{O}_3$ ), peroxyacetyl nitrate (PAN), and sulfur dioxide ( $\text{SO}_2$ ).

Vapors of elemental mercury and hydrocarbons can also be air pollutants. Particulates with tiny diameters (less than  $1\mu$ ) can also be problematic, including dusts containing such toxic elements as arsenic, copper, lead (Pb), nickel, and vanadium (V); organic aerosols that are emitted as smoke during combustions (including toxins known as polycyclic aromatic hydrocarbons); and non-reactive minerals such as silicates.

Chemicals referred to as trace toxics also occur in the atmosphere in extremely small concentrations. The trace toxics include persistent organochlorine chemicals, such as the pesticides DDT and dieldrin, polychlorinated biphenyls (PCBs), and the dioxin, TCDD. Other, less persistent pesticides may also be air pollutants close to places where they are used.

### Environmental chemistry of water

The chemical composition of water is referred to as aquatic chemistry. Earth's surface waters vary enormously in their concentrations of dissolved and suspended chemicals. Other than the water, the chemistry of oceanic water is dominated by sodium chloride (NaCl), which has a typical concentration of about 3.5 percent or 35 g/l. Also important are sulfate (2.7 g/l), magnesium (Mg) (1.3 g/l), and potassium (K) and calcium (Ca) (both 0.4 g/l). Some saline lakes can have much larger concentrations of dissolved ions, such as the Great Salt Lake in Utah, which contains more than 20 percent salts.

Fresh waters are much more dilute in ions, although the concentrations are variable among bodies of water. The most important cations in typical fresh waters are calcium ( $\text{Ca}^{2+}$ ), magnesium ( $\text{Mg}^{2+}$ ), sodium ( $\text{Na}^+$ ), ammonium ( $\text{NH}_4^+$ ), and hydrogen ion ( $\text{H}^+$ ; this is only present in acidic waters, otherwise hydroxy ion or  $\text{OH}^-$  occurs). The most important anions are bicarbonate ( $\text{HCO}_3^-$ ), sulfate ( $\text{SO}_4^{2-}$ ), chloride ( $\text{Cl}^-$ ), and nitrate ( $\text{NO}_3^-$ ). Some fresh waters have high concentrations of dissolved organic compounds, known as humic substances, which can stain the water a tea-like color. Typical concentrations of major ions in fresh water are: calcium 15 mg/l, sulfate 11 mg/l, chloride 7 mg/l, silica 7 mg/l, sodium 6 mg/l, magnesium 4 mg/l, and potassium 3 mg/l.

The water of clean precipitation is considerably more dilute than that of surface waters such as lakes. For example, precipitation at a remote place in Nova Scotia contained 1.6 mg/l of sulfate, 1.3 mg/l chloride, 0.8 mg/l sodium, 0.7 mg/l nitrate, 0.13 mg/l calcium, 0.08 mg/l ammonium, 0.08 mg/l magnesium, and 0.08 mg/l potassium. Because that site is about 31 miles (50 km) from the Atlantic Ocean, its precipitation is influenced by sodium and chloride originating with sea spray. In comparison a more central location in North America had a sodium concentration of 0.09 mg/l and chloride 0.15 mg/l.

Pollution of surface waters is most often associated with the dumping of human or industrial sewage, nutrient inputs from agriculture, acidification caused by acidic precipitation or by acid-mine drainage, and industrial inputs of toxic chemicals. Eutrophication is caused when nutrient inputs cause large increases in aquatic productivity, especially in fresh waters and shallow marine waters into which sewage is dumped or that receive runoff containing agricultural fertilizers. In general, marine ecosystems become eutrophic when they are fertilized with nitrate, and freshwater systems with phosphate. Only 35–100  $\mu\text{l}$  or more of phosphate is enough to significantly increase the productivity of most shallow lakes, compared with the background concentration of about  $\mu\text{l}$  or less.

Freshwater ecosystems can become acidified by receiving drainage from bogs, by the deposition of acidifying substances from the atmosphere (such as acidic rain), and by acid-mine drainage. Atmospheric depositions have caused a widespread acidification of surface waters in eastern North America, Scandinavia, and other places. Surface waters acidified by atmospheric depositions commonly develop pHs of about 4.5–5.5. Tens of thousands of lake and running-water ecosystems have been damaged in this way. Acidification has many biological consequences, including toxicity to many species of plants and animals, including fish.

Some industries emit metals to the environment, and these may pollute fresh and marine waters. For instance, lakes near large smelters at Sudbury, Ontario, have been polluted by sulfuric acid ( $\text{H}_2\text{SO}_4$ ), copper, nickel, and other metals, which in some cases occur in concentrations large enough to cause toxicity to aquatic plants and animals.

Mercury contamination of fish is also a significant problem in many aquatic environments. This phenomenon is significant in almost all large fish and sharks, which accumulate mercury progressively during their

lives and commonly have residues in their flesh that exceed 0.5 ppm (this is the criterion set by the World Health Organization for the maximum concentration of mercury in fish intended for human consumption). It is likely, however, that the oceanic mercury is natural in origin, and not associated with human activities. Many freshwater fish also develop high concentrations of mercury in their flesh, also commonly exceeding the 0.5 ppm criterion. This phenomenon has been demonstrated in many remote lakes. The source of mercury may be mostly natural, or it may originate with industrial sources whose emissions are transported over a long distance in the atmosphere before they are deposited on the surface. Severe mercury pollution has also occurred near certain factories, such as chlor-alkali plants and pulp mills. The most well-known example occurred at Minamata, Japan, where industrial discharges led to the pollution of marine organisms, and then resulted in the poisoning of fish-eating animals and people.

### Environmental chemistry of soil and rocks

The most abundant elements in typical soils and rocks are oxygen (47 percent), silicon (Si; 28 percent), aluminum (Al; 8 percent), and iron (Fe; 3–4 percent). Virtually all of the other stable elements are also present in soil and rocks, and all of these can occur in a great variety of molecular forms and minerals. Under certain circumstances, some of these chemicals can occur in relatively high concentrations, sometimes causing ecological damages.

This can occur naturally, as in the case of soils influenced by serpentine minerals, which can contain hundreds to thousands of ppm of nickel. In addition, industrial emissions of metals from smelters have caused severe pollution. Soils near Sudbury, UK, for example, can contain nickel and copper concentrations up to 5,000 ppm each. Even urban environments can be severely contaminated by certain metals. Soils collected near urban factories for recycling old automobile batteries can contain lead in concentrations in the percent range, and the edges of roads can contain thousands of ppm of lead emitted through the use of leaded gasoline.

### Trace toxics

Some chemicals occur in minute concentrations in water and other components of the environment, yet still manage to cause significant damages. These chemicals are sometimes referred to as trace toxics. The best examples are the numerous compounds known as halogenated hydrocarbons, particularly chlorinated

hydrocarbons such as the insecticides DDT, DDD, and dieldrin; the dielectric fluids PCBs; and the chlorinated dioxin, TCDD. These chemicals are not easily degraded by either ultraviolet radiation or by metabolic reactions, so they are persistent in the environment. In addition, chlorinated hydrocarbons are virtually insoluble in water, but are highly soluble in lipids such as fats and oils. Because most lipids in ecosystems occur within the bodies of organisms, chlorinated hydrocarbons have a marked tendency to bioaccumulate (i.e., to occur preferentially in organisms rather than in the non-living environment). This, coupled with the persistence of these chemicals, results in their strong tendency to food-chain/web accumulate or biomagnify (i.e., to occur in their largest concentrations in top predators).

Fish-eating birds are examples of top predators that have been poisoned by exposure to chlorinated hydrocarbons in the environment. Some examples of species that have been affected by this type of ecotoxicity include the peregrine falcon (*Falco peregrinus*), bald eagle (*Haliaeetus leucocephalus*), osprey (*Pandion haliaetus*), brown pelican (*Pelecanus occidentalis*), double-crested cormorant (*Phalacrocorax auritus*), and western grebe (*Aechmophorus occidentalis*). Concentrations of chlorinated hydrocarbons in the water of aquatic habitats of these birds is generally less than 1  $\mu$ /l (part per billion, or ppb), and less than 1 ng/l (part per trillion, or ppt) in the case of TCDD. However, some of the chlorinated hydrocarbons can biomagnify to tens to hundreds of mg/kg (ppm) in the fatty tissues of fish-eating birds. This accumulation can cause severe toxicity, characterized by reproductive failures, and even the deaths of adult birds, both of which can cause populations to collapse.

Other trace toxins also cause ecological damages. For example, although it is only moderately persistent in aquatic environments, the insecticide carbofuran can accumulate in acidic standing water in recently treated fields. If geese, ducks, other birds, or mammals utilize those temporary aquatic habitats, they can be killed by the carbofuran residues. Large numbers of wildlife have been killed this way in North America.

### Petroleum

Water pollution can also result from the occurrence of hydrocarbons in large concentrations, especially after spills of crude oil or its refined products. Oil pollution can result from accidental spills of petroleum from wrecked tankers, offshore drilling platforms, broken pipelines, and from spills during warfare, as occurred during the Gulf War of 1991. Other important sources of oil pollution include operational discharges

from tankers disposing oily bilge waters, and chronic releases from oil refineries and urban runoff.

The concentration of natural hydrocarbons in seawater is about 1 ppb, mostly due to releases from phytoplankton and bacteria. Beneath a slick of petroleum spilled at sea, however, the concentration of dissolved hydrocarbons can exceed several ppm, enough to cause toxicity to some organisms. There are also finely suspended droplets of petroleum in water beneath slicks, as a result of wave action on the floating oil. The slick and the sub-surface emulsion of oil-in-water are highly damaging to organisms that become coated with these substances.

With the expansion of human population and climate change, it has become necessary to study and implement green chemistry. This type of chemistry, also referred to as sustainable chemistry, is defined as “the sustainable safe and nonpolluting practice of chemical science and manufacturing in a manner that consumes minimal amounts of materials and energy while producing little or no waste material.” One main focus of this type of chemistry is the incorporation of all components of manufacturing into the product with little or no waste, thereby reducing the need for waste cleanup by implementing methods of waste prevention. Use of renewable energy sources such as biofuels instead of fossil fuels is another principle involved in green chemistry that reduces greenhouse gas emissions into the atmosphere. Sustainable methods of agriculture, such as the use of biological pest controls and crop rotation, decrease the input into the environment of pesticides and other chemicals used in agriculture. Employing the principles of green chemistry can effectively reduce the human contribution of contaminants and pollutants in the environment.

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## Environmental Defense Fund

Founded in 1967 Environmental Defense Fund is a public interest group concerned primarily with the protection of the environment and the concomitant improvement of public health. In the beginning a group of Long Island scientists organized to oppose local spraying of the pesticide DDT, and Environmental Defense Fund is still staffed by scientists as well as lawyers and economists. Over time the group has expanded its interests to include air quality, energy, solid waste, water resources, agriculture, wildlife, habitats, and international environmental issues. Environmental Defense Fund presently has a membership of approximately 500,000, an annual budget of over \$104 million, and a staff of over 350 people.

Environmental Defense Fund seeks to protect the environment by initiating legal action in environment-related matters and also by conducting public service and educational campaigns. It publishes a newsletter detailing the organization's activities, as well as occasional books, reports, and monographs. Environmental Defense Fund also conducts and encourages research relevant to environmental issues and promotes administrative, legislative, and corporate actions and policies in defense of the environment.

Environmental Defense Fund's strategies and orientation have changed somewhat over the years from the early days when the group's motto was “Sue the bastards!” At about the time that Frederic D. Krupp became its executive director in 1984, Environmental Defense Fund began to view environmental problems more in view of economic needs. As Krupp put it, the practical effectiveness of the environmental movement in the future would depend on its realization that behind environmental problems “there are nearly always legitimate social needs—and that long-term solutions lie in finding alternative ways to meet those underlying needs.”

With this in mind, Krupp proposed a “third stage of environmentalism” that combined direct opposition to environmentally harmful practices with proposals for realistic, economically-viable alternatives. This strategy was first applied successfully to large-scale power production in California, where utilities were planning a massive expansion of generating capacity. Environmental Defense Fund demonstrated that this expansion was largely unnecessary (thereby saving the utilities and their customers a considerable amount of money while also protecting the environment) by showing that the use of existing and well-established technology could greatly reduce the need for new capacity without affecting the

utility's customers. Environmental Defense Fund also showed that it was economically effective to buy power generated from renewable energy resources, including wind energy.

The Environmental Defense Fund worked with the McDonalds Corporation in 1991 on a task force to reduce the fast food giant's estimated two million pound-per-day (907,184 kg) effusion of waste. One of the most widely publicized results of these efforts was that McDonald's was convinced to stop packaging its hamburgers in polystyrene containers. Combined with other strategies, McDonald's estimates that the task force's recommendations will eventually reduce its waste flow by 75 percent.

In 2000 the Environmental Defense Fund joined with eight companies to reduce the amount of greenhouse gases in the environment. In 2001 the Action Network (with more than 800,000 members) and For My World projects were set up to inform the public on environmental and health issues. Scorecard.org rates area pollutants based on the most recent findings by the Environmental Protection Agency (EPA). In 2004 Environmental Defense Fund's partnership with FedEx led to the introduction of hybrid delivery trucks in FedEx's fleet, which reduce emissions by 65 percent.

Environmental Defense Fund continues to search for ways to harness economic forces in ways that destroy incentives to degrade the environment.

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### ORGANIZATIONS

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Lawrence J. Biskowski

Environmental Defense Fund see  
**Environmental Defense.**

## Environmental degradation

Degradation is the act or process of reducing something in value or worth. Environmental degradation, therefore, is the de-valuing of and damage to the

environment by natural or anthropogenic (human-induced) causes. The loss of biodiversity, habitat destruction, depletion of energy or mineral sources, and exhaustion of groundwater aquifers are all examples of environmental degradation.

Presently there are four major areas of global concern due to environmental degradation: marine environment, ozone layer, smog and air pollution, and the vanishing rain forest. Pollution, at some level, is found throughout the world's oceans, which cover two-thirds of the planet's surface. Marine debris, agricultural runoff, industrial waste, sewage, dredge material, stormwater runoff, and atmospheric deposition all contribute to marine pollution. The level of degradation varies from region to region, but its effects are seen in such remote places as Antarctica and the Bering Sea.

Issues of waste management and disposal have had a large impact on degradation in these areas. There are major national and international efforts to control pollution from shipping (including oil spills and general pollution due to ship ballast) and direct ocean or estuary discharges. Clean up has been started in affected areas with some initial success.

Another major problem facing the world is the depletion of the ozone layer, which is linked to the use of a group of chemicals called chlorofluorocarbons (CFCs). These chemicals are widely used by industry as refrigerants, in insulation, and in polystyrene products. Once released into the air they rise to the stratosphere and split ozone molecules, thus degrading the ozone layer. This layer is important because it protects the environment from harmful ultraviolet radiation, which is the chief cause of skin cancer. Damage to the ozone layer also has an impact on vegetation and agricultural crop health.

Smog in urban areas and air quality in general have become crucial issues in the last few decades. Acid rain, which occurs when sulfur dioxide (SO<sub>2</sub>) and nitrogen oxide (NO<sub>x</sub>)—as emissions from power plants and industries—change in the atmosphere to form harmful compounds that are deposited on the earth's surface in rain, fog, and snow as well as attached to dust and smog particles. Acid rain damages lakes and streams as well as buildings and monuments. Air visibility is curtailed, and the health of humans as well as plants and trees can be affected.

Automobile emissions of nitrogen oxides, carbon monoxide (CO), and volatile organic compounds—although much reduced in the United States—also contribute to smog and acid rain. Carbon monoxide

continues to be a problem in cities such as Los Angeles, where there is heavy automobile congestion.

The vanishing rainforest is also of major global concern. The degradation of the rain forest—with its extensive logging, deforestation, and massive destruction of habitat—has threatened the survival of many species of plants and animals as well as disrupting climate and weather patterns locally and globally. Although tropical rainforests cover only about five to seven percent of the world's land surface, they are areas rich in biodiversity, containing about one-half of all species of plants and animals. Many pharmaceutical products have been developed from species in rainforests, but these forests hold many more potential sources that have not been discovered and studied for their medicinal or food properties.

Climate change is a global issue of environmental concern. It is caused by the presence of air pollutants (commonly referred to as greenhouse gases) such as carbon dioxide (CO<sub>2</sub>) and their ability to excessively trap heat in the lower atmosphere, ultimately leading to an overall warming of the Earth's surface. Climate change has also been implicated in the alteration of weather patterns worldwide. Environmental degradation is closely related to the issue of climate change. The depletion of the ozone layer is linked to climate change in that the warming of the lower atmosphere (troposphere) results in a cooling of the upper atmosphere (stratosphere), which leads to alterations in the ozone layer. Air pollutants emitted from motor vehicles and as a result of industrial processes trap heat in the atmosphere and are significant contributors to climate change. Deforestation destroys significant amounts of vegetation capable of removing carbon dioxide from the atmosphere. Plants serve as major carbon sinks in the environment. When they decay or are burned, their pent-up carbon is reintroduced into the atmosphere. The United Nations Environment Program (UNEP) and the World Meteorological Organization (WMO) established the Intergovernmental Panel on Climate Change (IPCC) to address the global issue of climate change and to assess scientific, technical, and socio-economic information relating to climate change.

The problem of environmental degradation has been addressed by various environmental organizations throughout the world. Environmentalists are no longer solely concerned with regional efforts to stop or at least slow degradation; the concern of environmental degradation has taken on a global significance.

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James L. Anderson

## Environmental design

Environmental design is a new approach in planning consumer products and industrial processes that are ecologically intelligent, sustainable, and healthy for both humans and our environment. Climate change, brought about by human activities, has fostered an increased interest in reducing human impact on the environment. Based on the work of innovative thinkers such as American architect Bill McDonough (1951–), German chemist Michael Braungart (1958–), American physicist and environmentalist Amory Lovins (1947–), Swedish physician Dr. Karl-Henrik Robért (1947–), and American business executive Paul Hawken (1946–), this movement is an effort to rethink the whole industrial economy. During the first Industrial Revolution 200 years ago, raw materials such as lumber, minerals, and clean water seemed inexhaustible, whereas nature was regarded as a

hostile force to be tamed and civilized. Society uses materials to make the things they want, then discard them when they no longer are useful. “Dilution is the solution to pollution” suggests that if people just spread their wastes out in the environment widely enough, no one will notice.

This approach has given the world an abundance of material things, but also has produced massive pollution and environmental degradation. It also is incredibly wasteful. On average, for every truckload of products delivered in the United States, thirty-two truckloads of waste are produced along the way. The automobile is a typical example. Industrial ecologist, Lovins, calculates that for every one hundred gallons (380 liters) of gasoline burned in your car engine, only 1 percent (0 gallons or 3.8 liters) actually moves the passengers inside. All the rest is used to move the vehicle itself. The wastes produced—carbon dioxide (CO<sub>2</sub>), nitrogen oxides (NO<sub>x</sub>), unburned hydrocarbon, rubber dust, and heat—are spread through the environment where they pollute air, water, and soil. And when the vehicle wears out after only a few years of service, thousands of pounds of metal, rubber, plastic, and glass become part of our rapidly growing waste stream.

This is not the way things work in nature, environmental designers point out. In living systems almost nothing is discarded or unused. The wastes from one organism become the food of another. Industrial processes, to be sustainable over the long term, should be designed on similar principles, designers argue. Rather than following current linear patterns in which manufacturers try to maximize the throughput of materials and minimize labor, products and processes should be designed to be energy efficient and use renewable materials. Industrial processes should create products that are durable and reusable or easily dismantled for repair and remanufacture, and are non-polluting throughout their entire life cycle. Countries should base their economies on renewable solar energy rather than fossil fuels. Rather than measuring economies progress by how much material is used, they should evaluate productivity by how many people are gainfully and meaningfully employed. They should judge how well they are doing by how many factories have no smokestacks or dangerous effluents. They ought to produce nothing that will require constant vigilance from future generations.

Inspired by how ecological systems work, McDonough proposes three simple principles for designing processes and products:

- Waste equals food. This principle encourages elimination of the concept of waste in industrial design. Every process should be designed so that the products themselves, as well as leftover chemicals, materials, and effluents, can become food for other processes.
- Rely on current solar income. This principle has two benefits: First, it diminishes, and may eventually eliminate, reliance on hydrocarbon fuels. Second, it means designing systems that sip energy rather than gulping it down.
- Respect diversity. Evaluate every design for its impact on plant, animal, and human life, examining the effects of products and processes on identity, independence, and integrity of humans and natural systems. Every project should respect the regional, cultural, and material uniqueness of its particular place.

According to McDonough, the first question about a product should be whether it is really needed. Would it be possible to obtain the same satisfaction, comfort, or utility in another way that has less environmental and social impact? For the well-being of the environment, the things companies design should be restorative and regenerative: they should help reduce the damage done by earlier, wasteful approaches and help nature heal rather than simply adding to existing problems. McDonough invites society to reinvent businesses and institutions to work with nature, and redefine people as consumers, producers, and citizens to promote a new sustainable relationship with the earth. In an eco-efficient economy, McDonough says, products might be divided into three categories:

- Consumables are products such as food, natural fabrics, or paper that are produced from renewable materials and can go back to the soil as compost.
- Service products are durables such as cars, televisions, and refrigerators. These products should be leased to the customer to provide their intended service, but would always belong to the manufacturer. Eventually, they would be returned to the maker, who would be responsible for recycling or remanufacturing.
- Unmarketables are materials such as radioactive isotopes, persistent toxins, and bioaccumulating chemicals. Ideally, no one would make or use these products. But because eliminating their use will take time, McDonough suggests that for now, these materials should belong to the manufacturer and be molecularly tagged with the maker’s mark. If they are discarded illegally, the manufacturer would be liable.

Following these principles McDonough Braungart Design Chemistry (MBDC) has created nontoxic, easily recyclable, healthy materials for buildings and for consumer goods. Rather than designing products



**Solar panels on houses in a housing development in Richmond, California. (Proehl Studios/Corbis)**

for a cradle-to-grave life cycle, MBDC aims for a fundamental conceptual shift to Cradle to Cradle® processes, whose materials perpetually circulate in closed systems that create value and are inherently healthy and safe. Among some important examples are carpets designed to be recycled at the end of their useful life, paints and adhesives that are non-toxic and non-allergenic, and clothing that is both healthy for the wearer and that has minimal environmental impact in its production.

Braungart founded the Environmental Protection and Encouragement Agency (EPEA) in 1987 to promote the design of products, processes, and services based on the application of the Cradle to Cradle principles. The EPEA is “an international scientific research and consultancy institute that improves product quality, utility and environmental performance via eco-effectiveness.” The design and principles of the EPEA are focused on continual utility of materials in either a recycled or upcycled manner exceeding environmental standards. In addition to the design of products, the EPEA is also involved with policy-making, planning,

and administrative processes related to environmental design.

In his architecture firm, McDonough + Partners, these new design models and environmentally friendly materials have been used in a number of innovative building projects. A few notable examples include The Gap, Inc. offices in California and the Environmental Studies building at Oberlin College in Ohio.

Built in 1994 The Gap building in San Bruno, California, is designed to maintain the unique natural features of the site, while providing comfortable, healthy, and flexible office spaces. Intended to promote employee well-being and productivity as well as eco-efficiency, The Gap building has high ceilings, open, airy spaces, a natural ventilation system including operable windows, a full-service fitness center (including a pool), and a landscaped atrium for each office bay that brings the outside in. Skylights in the roof deliver daylight to interior offices and vent warm, stale air. Warm interior tones and natural woods (all wood used in the building was harvested by certified sustainable methods) give a friendly feel. Paints,

adhesives, and floor coverings are low toxicity to maintain a healthy indoor environment. A pleasant place to work, the offices help recruit top employees and improve both effectiveness and retention.

The roof of The Gap Building is a green roof, planted with native grasses and wildflowers that absorb rainwater and help improve ambient environmental quality. The grass roof also is beautiful and provides thermal and acoustic insulation. At night, cool outdoor air is flushed through the building to provide natural cooling. By providing abundant daylight, high-efficiency fluorescent lamps, fresh air ventilation, and other energy-saving measures, this pioneering building is more than 30 percent more energy efficient than required by California law. Operating savings within the first four to eight years of occupancy are expected to repay the initial costs of these design innovations.

An even more environmentally friendly building was built at Oberlin College in 2001 to house its Environmental Studies Program. Under the leadership of American environmentalist Dr. David Orr, the Adam Joseph Lewis Center was planned around the concept of ecological stewardship and is intended to be both restorative and regenerative rather than merely non-damaging to the environment. The building is designed to be a net energy exporter, generating more power from renewable sources than it consumes annually. More than 3,700 square feet (roughly 350 square meters) of photovoltaic panels on the roof are expected to generate 7,500 kilowatt hours of energy per year. The building also draws on geothermal wells for heating and cooling, and features use of natural daylight and ventilation to maintain interior comfort levels and a healthy interior environment. High efficiency insulation in walls and windows are expected to make energy consumption nearly 80 percent lower than standard academic buildings in the area.

The Lewis Center also incorporates an innovative living machine for internal waste water treatment, a constructed wetland for storm water management, and a landscape that provides social spaces, learning opportunities with live plants, and habitat restoration. It is expected that all water used in the building will be returned to the environment in as good a quality as when it entered. The water produced by natural cleaning processes should be of high enough quality for drinking, although doing so is not planned at present.

Taken together, these restorative and regenerative environmental design approaches could bring about a new industrial revolution. The features of environment design are incorporated in McDonough's Hannover

Principles prepared for the 2000 World Fair in Hannover, Germany. This manifesto for green design urges people to recognize how humans interact with and depend on the natural world. According to McDonough, society needs to recognize even distant effects and consider all aspects of human settlement, including community, dwelling, industry, and trade in terms of existing and evolving connections between spiritual and material consciousness. People should accept responsibility for the consequences of design decisions upon human well-being and the viability of natural systems. They have to understand the limitations of design. No human creation lasts forever, and design does not solve all problems. Those who create and plan should practice humility in the face of nature. They should treat nature as a model and mentor, not an inconvenience to be evaded and controlled. If designers can incorporate these ecologically intelligent principles into practice, they may be able to link long-term, sustainable considerations with ethical responsibility and to reestablish the integral relationship between natural processes and human activity.

A few United States educational institutions have programs that focus on environmental, or green, design. The Cal Poly Pomona College of Environmental Design, which grew out of the landscape architecture program, promotes the integration of the arts, architecture, landscape architecture, regenerative studies, and urban and regional planning. At the University of California-Berkeley, the College of Environmental Design incorporates architecture, city and regional planning, landscape architecture, and environmental planning, and urban design. The University of Georgia's College of Environment and Design offers programs in landscape architecture, historic preservation, and environmental ethics.

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William P. Cunningham

## Environmental dispute resolution

Environmental Dispute Resolution (EDR), or Alternative Dispute Resolution (ADR) of environmental issues, as it is more generally known, is an out-of-court alternative to litigation to resolve disputes between parties. Although ADR can be used with virtually any legal dispute, it is often used to resolve environmental disputes. There are several types of ADR, ranging from the least formal to the most formal process: (a) negotiation, (b) mediation, (c) adjudication, (d) arbitration, (e) mini-trial, and (f) summary jury trial.

*Negotiation:* Negotiation is the simplest and most often practiced form of ADR. The parties do not enter the judicial system, but rather settlements are reached in an informal setting and then reduced to written terms.

*Mediation:* Mediation is an extension of the direct negotiation process. The term is loosely used and is often confused with arbitration or informal processes in general. Mediation is a process in which a neutral third-party intervenes to help disputants reach a voluntary settlement. The mediator has no authority to force the parties to reach an agreement.

Mediation is often the most appropriate technique for environmental disputes because the parties often have no prior negotiating relationship and, because there are often many technical and scientific uncertainties, the assistance of a qualified professional is helpful.

Mediation is also used with varying success in environmental policy-making, standard setting, determination of development choices, and the enforcement of environmental standards. Many states explicitly recognize mediation as the primary method for initially dealing with environmental disputes, and mediation procedures are written into federal environmental policy, specifically in the regulations dealing with the Comprehensive Environmental Response, Compensation and Liability Act (CERCLA) and the Resource Conservation and Recovery Act (RCRA). Mediation is not appropriate, however, with all environmental disputes because some environmental laws were designed to encourage a slower examination of issues that impact society.

*Adjudication:* Adjudication is sometimes referred to as "private judging." It is an ADR process in which the parties give their evidence and arguments to a neutral third-party who then renders an objective, binding decision. It is a voluntary procedure and private unless one party seeks judicial enforcement or review after the decision is made. The parties must agree on the adjudication and procedural rules for the process, and each side is contractually bound for the length of the proceeding.

The advantage of adjudication is that a law- and/or environment-trained third party renders an objective decision based on the presented facts and legal arguments. The parties set their own rules, so an adjudicator is not bound to legal principles of any particular jurisdiction.

*Arbitration:* Arbitration is a process whereby a private judge, or arbitrator, hears the arguments of the parties and renders a judgment. The process works much like a court except that the parties choose the arbitrator and the substantive law he or she should apply. The arbitrator also has much more latitude in creating remedies which are fair to both parties. People often confuse the responsibilities of arbitrators and mediators. Arbitrators are passive functionaries who determine right or wrong; mediators are active functionaries who attempt to move the parties to reconciliation and agreement, regardless of who is right or wrong.

Parties cannot be forced into arbitration unless the contract in question includes an arbitration clause or the parties consented to enter into arbitration after the dispute developed. Since arbitration is a contractual remedy, the arbitrator can consider only those disputes and remedies that the parties agreed to submit to arbitration.

*Mini-trial:* A mini-trial is a private process in which parties agree to voluntarily reach a negotiated settlement. They present their cases in summary form

before a panel of designated representatives of each party. The panel offers non-binding conclusions on the probable outcome of the case, were it to be litigated. The parties may then use the results to assist with negotiation and settlement.

*Summary Jury Trial:* A summary jury trial is similar to a minitrial except that the evidence is presented to a non-expert, impartial jury, rather than a panel chosen by the parties, which subsequently prepares non-binding conclusions on each of the issues in dispute. Parties may then use the assessment of the jury's "verdict" to help with negotiation and settlement.

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Kevin Wolf

## Environmental economics

Environmental economics is a relatively new field, but its roots go back to the end of the nineteenth century when economists first discussed the problem of externality. Economic transactions have external effects that are not captured by the price system. Prime examples of these externalities are air pollution and water pollution. The absence of a price for nature's capacity to absorb wastes has an obvious solution in economic theory. Economists advocate the use of surrogate prices in the form of pollution taxes and discharge fees. The non-priced aspect of the transaction then has a price, which sends a signal to the producers to economize on the use of the resource.

In addition to the theory of externalities, economists have recognized that certain goods, such as those provided by nature, are common property. Lacking a discrete owner, they are likely to be overutilized. Ultimately, they will be depleted. Few will be left for future generations, unless common property goods such as the air and water are protected.

Besides pollution taxes and discharge fees, economists have explored the use of marketable emission permits as a means of rectifying the market imperfection caused by pollution. Rather than establishing a unit charge for pollution, government would issue permits equivalent to an agreed-upon environmental standard. Holders of the permits would have the right to sell them to the highest bidder. The advantage of this system wherein a market for pollution rights has been established is that it achieves environmental quality standards. Under an emissions trading system, also referred to as cap and trade, trial-and-error would be necessary to achieve the standards.

Economists have also advocated the use of cost-benefit analysis in environmental decision making. Since control costs are much easier to measure than pollution benefits, economists have concentrated on how best to estimate the benefits of a clean environment. They have relied on two primary means of doing so. First, they have inferred from the actual decisions people make in the marketplace what value they place on a clean and healthy environment. Second, they have directly asked people to make trade-off choices. The inference method might rely on residential property values, decomposing the price of a house into individual attributes including air quality, or it might rely on the wage premium risky jobs enjoy. Despite many advances, the problem of valuing environmental benefits continues to be controversial with special difficulties surrounding the issues of quantifying the value of a human life, recreational benefits, and ecological benefits, including species and habitat survival.

For instance, the question of how much a life is worth is repellent and absurd because human worth cannot be truly captured in monetary terms. Nonetheless, it is important to determine the benefits for cost-benefit purposes. The costs of reducing pollution often are immediate and apparent, whereas the benefits are far-off and hard to determine. So, it is important to attempt to gauge the value of these benefits.

Economists call for a more rational ordering of risks. The funds for risk reduction are not limitless, and the costs keep mounting. Risks should be viewed in a detached and analytical way. Polls suggest that Americans worry most about dangers such as oil spills, acid rain, pesticides, nuclear power, and hazardous wastes, but scientific risk assessments show that these are only low- or medium-level dangers. The greater hazards come from radon, lead, indoor air pollution, and fumes from chemicals such as benzene and formaldehyde. Radon, the odorless gas that naturally seeps up from the ground and is found in people's homes, causes as many as 20,000 lung cancer deaths per year,

whereas hazardous waste dumps cause only a few hundred cancer deaths per year. Yet the Environmental Protection Agency (EPA) spends over \$6 billion a year to clean up hazardous waste sites while spending only \$100 million a year for radon protection. To test a home for radon costs about \$25, but to clean it up if it is found contaminated costs over \$1,000. To make the entire national housing stock free from radon would cost a few billion dollars. In contrast, projected spending for cleaning up hazardous waste sites is likely to exceed \$500 billion, despite the fact that only about 11 percent of such sites pose a measurable risk to human health.

Greater rationality would mean that less attention would be paid to some risks and more attention to others. For instance, scientific risk assessment suggests that sizable new investments will be needed to address the dangers of ozone layer depletion and greenhouse warming. Ozone depletion may result in 100,000 more cases of skin cancer by the year 2050. Global warming has the potential to cause massive catastrophe.

For businesses, risk assessment provides a way to allocate costs efficiently. Businesses increasingly use risk assessment as a management tool. To avoid another accident like Bhopal, India, Union Carbide has set up a system by which it rates its plants “safe,” “made safer,” or “shut down.” Environmentalists, on the other hand, generally see risk assessment as a tactic that powerful interests use to prevent regulation of known dangers or permit building of facilities where there will be known fatalities. Even if the chances of someone contracting cancer and dying is only one in a million, still someone will perish, which the studies by risk assessors indeed document. Among particularly vulnerable groups of the population (allergy sufferers exposed to benzene, for example), the risks are likely to be much greater, perhaps as great as one fatality for every 100 persons. Environmentalists conclude that the way economists present their findings is too conservative. By treating everyone alike, they overlook the real danger to particularly vulnerable people. Environmentalists argue that risk assessment should not be used as an excuse for inaction.

Environmentalists have also criticized environmental economics for its emphasis on economic growth without considering the unintended side-effects. Economists need to supplement estimates of the economic costs and benefits of growth with estimates of the effects of that growth that cannot be measured in economic terms. Many environmentalists also believe that the burden of proof should rest with new technologies, in that they should not be allowed simply because they advance material progress. In affluent societies especially, economic expansion is not necessary.

Growth is promoted for many reasons: to restore the balance of payments, to make the nation more competitive, to create jobs, to reduce the deficit, to provide for the old and sick, and to lessen poverty. The public is encouraged to focus on statistics on productivity, balance of payments, and growth, while ignoring the obvious costs. Environmental groups, on the other hand, have argued for a steady-state economy in which population and per capita resource consumption stabilize. It is an economy with a constant number of people and goods, maintained at the lowest feasible flows of matter and energy. Human services would play a large role in a steady-state economy because they do not require much energy or material throughput and yet contribute to economic growth. Environmental clean-up and energy conservation also would contribute, since they add to economic growth while also having a positive effect on the environment.

Growth can continue, according to environmentalists, only if the forms of growth are carefully chosen. Free time, in addition, would have to be a larger component of an environmentally-acceptable future economy. Free time removes people from potentially harmful production. It also provides them with the time needed to implement alternative production processes and techniques, including organic gardening, recycling, public transportation, and home and appliance maintenance for the purposes of energy conservation.

Another requirement of an environmentally acceptable economy is that people accept a *new frugality*, a concept that also has been labeled *joyous austerity*, *voluntary simplicity*, and *conspicuous frugality*.

Economists represent the environment’s interaction with the economy as a materials balance model. The production sector, which consists of mines and factories, extracts materials from nature and processes them into goods and services. Transportation and distribution networks move and store the finished products before they reach the point of consumption. The environment provides the material inputs needed to sustain economic activity and carries away the wastes generated by it. People have long recognized that nature is a source of material inputs to the economy, but they have been less aware that the environment plays an essential role as a receptacle for society’s unwanted by-products. Some wastes are recovered by recycling, but the environment absorbs most waste. Waste is dumped in landfills, treated in incinerators, and disposed of as ash. Most waste ends up in the air, water, or soil.

The ultimate limits to economic growth do not come only from the availability of raw materials from

nature. Nature's limited capacities to absorb wastes also set a limit on the economy's ability to produce. Energy plays a role in this process. Energy inputs make food, forest products, chemicals, petroleum products, metals, and structural materials such as stone, steel, and cement. Energy also supports materials processing by providing electricity, heating, and cooling services, and energy aids in transportation and distribution. According to the law of the conservation of energy, the material inputs and energy that enter the economy cannot be destroyed. Rather they change form, finding their way back to nature in a disorganized state as unwanted and perhaps dangerous by-products.

Environmentalists use the laws of physics (the notion of entropy) to show how society systematically dissipates low entropy, highly concentrated forms of energy, by converting it to high entropy, little concentrated waste that cannot be used again except at very high cost. They project current resource use and environmental degradation into the future to demonstrate that civilization is running out of critical resources. The earth cannot tolerate additional contaminants. Human intervention in the form of technological innovation and capital investment complemented by substantial human ingenuity and creativity is insufficient to prevent this outcome unless drastic steps are taken soon. Nearly every economic benefit has an environmental cost, and the sum total of the costs in an affluent society often exceed the benefits. The notion of carrying capacity is used to show that the earth has a limited ability to tolerate the disposal of contaminants and the depletion of resources.

Economists counter these claims by arguing that limits to growth can be overcome by human ingenuity, that benefits afforded by environmental protection have a cost, and that government programs to clean up the environment are as likely to fail as the market forces that produce pollution. The traditional economic view is that production is a function of labor and capital and, in theory, that resources are not necessary because labor and/or capital are infinitely substitutable for resources. Impending resource scarcity results in price increases that lead to technological substitution of capital, labor, or other resources for those that are in scarce supply. Price increases also create pressures for efficiency-in-use, leading to reduced consumption. Thus, resource scarcity is reflected in the price of a given commodity. As resources become scarce, their prices rise accordingly. Increases in price induce substitution and technological innovation.

People turn to less scarce resources that fulfill the same basic technological and economic needs provided by the resources no longer available in large

quantities. To a large extent, the energy crises of the 1970s (the 1973 price shock induced by the Arab oil embargo and 1979 price shock following the Iranian Revolution) were alleviated by these very processes: higher prices leading to the discovery of additional supply and to conservation. By 1985 energy prices in real terms were lower than they were in 1973.

Humans respond to signals about scarcity and degradation. Extrapolating past consumption patterns into the future without considering the human response is likely to be a futile exercise, economists argue. As far back as the end of the eighteenth century, thinkers such as Thomas Malthus have made predictions about the limits to growth, but the lesson of modern history is one of technological innovation and substitution in response to price and other societal signals, not one of calamity brought about by resource exhaustion. In general the prices of natural resources have been declining despite increased production and demand. Prices have fallen because of discoveries of new resources and because of innovations in the extraction and refinement process.

*See also* Greenhouse effect; Trade in pollution permits; Tragedy of the commons.

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Alfred A. Marcus

## Environmental education

Environmental education is fast emerging as one of the most important disciplines in the United States and worldwide. Merging the ideas and philosophy of environmentalism with the structure of formal education systems, it strives to increase awareness of environmental problems as well as to foster the skills and strategies for solving those problems. Environmental

issues have traditionally fallen to the state, federal, and international policymakers, scientists, academics, and legal scholars. Environmental education (often referred to simply as EE) shifts the focus to the general population. In other words, it seeks to empower individuals with an understanding of environmental problems and the skills to solve them.

## Background

The first seeds of environmental education were planted roughly a century ago and are found in the works of such writers as American environmentalist George Perkins Marsh (1801–1882), Scottish-born American naturalist John Muir (1838–1914), American naturalist Henry David Thoreau (1817–1862), and American ecologist Aldo Leopold (1887–1948). Their writings served to bring the country’s attention to the depletion of natural resources and the often detrimental impact of humans on the environment. In the early 1900s three related fields of study arose that eventually merged to form the present-day environmental education.

Nature education expanded the teaching of biology, botany, and other natural sciences out into the natural world, where students learned through direct observation. Conservation education took root in the 1930s, as the importance of long-range, wise-use management of resources intensified. Numerous state and federal agencies were created to tend public lands, and citizen organizations began forming in earnest to protect a favored animal, park, river, or other resource. Both governmental and citizen entities included an educational component to spread their message to the general public. Many states required their schools to adopt conservation education as part of their curriculum. Teacher training programs were developed to meet the increasing demand. The Conservation Education Association formed to consolidate these efforts and help solidify citizen support for natural resource management goals. The third pillar of modern EE is outdoor education, which refers more to the method of teaching than to the subject taught. The idea is to hold classrooms outdoors; the topics are not restricted to environmental issues but include art, music, and other subjects.

With the burgeoning of industrial output and natural resource depletion following World War II, people began to glimpse the potential environmental disasters looming ahead. The environmental movement exploded upon the public agenda in the late 1960s and early 1970s, and the public reacted emotionally and vigorously to isolated environmental crises and events. Yet it soon became clear that the solution

would involve nothing short of fundamental changes in values, lifestyles, and individual behavior, and that meant a comprehensive educational approach.

In August 1970 the newly-created Council on Environmental Quality called for a thorough discussion of the role of education with respect to the environment. Two months later Congress passed the Environmental Education Act, which called for EE programs to be incorporated in all public school curricula. Although the act received little funding in the following years, it energized EE proponents and prompted many states to adopt EE plans for their schools. In 1971 the National Association for Environmental Education formed, as did myriad of state and regional groups.

## Definition

What EE means depends on one’s perspective. Some see it as a teaching method or philosophy to be applied to all subjects, woven into the teaching of political science, history, economics, and so forth. Others see it as a distinct discipline, something to be taught on its own. As defined by federal statute, it is the “education process dealing with people’s relationships and their natural and manmade surroundings, and includes the relation of population, pollution, resource allocation and depletion, conservation, transportation, technology, and urban and rural planning to the total human environment.”

One of the early leaders of the movement is American environmentalist William Stapp (1930–2001), a former professor at the University of Michigan’s School of Natural Resources and the Environment. Stapp’s three-pronged definition has formed the basis for much subsequent thought: “Environmental education is aimed at producing a citizenry that is *knowledgeable* concerning the biophysical environment and its associated problems, *aware* of how to help solve these problems, and *motivated* to work toward their solution.”

Many environmental educators believe that programs covering kindergarten through twelfth grade are necessary to successfully instill an environmental ethic in students and a comprehensive understanding of environmental issues so that they are prepared to deal with environmental problems in the real world. Further, an emphasis is placed on problem-solving, action, and informed behavioral changes. In its broadest sense, EE is not confined to public schools but includes efforts by governments, interest groups, universities, and news media to raise awareness. Each citizen should understand the environmental issues of

his or her own community: land-use planning, traffic congestion, economic development plans, pesticide use, water pollution, and air pollution, and so on.

### International level

Concurrently with the emergence of EE in the United States, other nations began pushing for a comprehensive approach to environmental problems within their own borders and on a global scale. In 1972, at the United Nations Educational, Scientific, and Cultural Organization (UNESCO) and United Nations Environment Program (UNEP) Conference on the Human Environment in Stockholm, the need for an international EE effort was clearly recognized and emphasized. Three years later an International Environmental Education Workshop was held in Belgrade, from which emerged an eloquent, urgent mandate for the drastic reordering of national and international development policies. The Belgrade Charter called for an end to the military arms race and a new global ethic in which “no nation should grow or develop at the expense of another nation.” It called for the eradication of poverty, hunger, illiteracy, pollution, exploitation, and domination. Central to this impassioned plea for a better world was the need for environmental education of the world’s youth. That same year, the UN approved a \$2 million budget to facilitate the research, coordination, and development of an international EE program among dozens of nations.

In 1977 the UNESCO/UNEP Conference on Environmental Education established twelve guiding principles of environmental education in the Tbilisi Declaration. These guidelines encouraged hands-on learning focusing on sustainable development, establishing an appreciation for and valuing the environment, problem-solving, decision-making, diversified subjects, and cooperation on the local, national, and international level to improve the environment. The 1992 United Nations Conference on Environment and Development promoted the direction of education toward Education for Sustainable Development (ESD) in Agenda 21, calling for the integration of environment and development topics into all educational disciplines. Both the 2002 Johannesburg World Summit for Sustainable Development (WSSD) and the International Consultation on Education for Sustainable Development in Sweden in 2004 discussed the issue that school curriculums were not incorporating ESD. The United Nations declared 2005–2014 the UN Decade of Education for Sustainable Development with UNESCO as the head organization.

### Effectiveness

There has been criticism over the last fifteen years that EE too often fails to educate students and makes little difference in their behavior concerning the environment. Researchers and environmental educators have formulated a basic framework for how to improve EE: (1) Reinforce individuals for positive environmental behavior over an extended period of time. (2) Provide students with positive, informal experiences outdoors to enhance their environmental sensitivity. (3) Focus instruction on the concepts of ownership and empowerment. The first concept means that the learner has some personal interest or investment in the environmental issues being discussed. Perhaps the student can relate more readily to concepts of solid waste disposal if there is a landfill in the neighborhood. Empowerment gives learners the sense that they can make changes and help resolve environmental problems. (4) Design an exercise in which students thoroughly investigate an environmental issue and then develop a plan for citizen action to address the issue, complete with an analysis of the social, cultural, and ecological consequences of the action.

Despite the efforts of environmental educators, the movement has a long way to go. The scope and number of critical environmental problems facing the world today far outweigh the successes of EE. Further, most countries still do not have a comprehensive EE program that prepares their students, as future citizens, to make ecologically sound choices and to participate in cleaning up and caring for the environment. Lastly, educators, including the media, are largely focused on explaining the problems but fall short on explaining or offering possible solutions. The notion of empowerment is often absent.

### Recent developments and successes in the United States

Project WILD, based in Boulder, Colorado, is a K–12 supplementary conservation and environmental education program emphasizing wildlife protection, sponsored by fish and wildlife agencies and environmental educators. The project sets up workshops in which teachers learn about wildlife issues. These teachers in turn teach children and help students understand how they can act responsibly on behalf of wildlife and the environment. The program, begun in 1983, has grown tremendously in terms of the number of educators reached and the monetary support from states, which, combined, are spending about \$3.6 million annually.

The Global Rivers Environmental Education Network (GREEN), begun at the University of Michigan under the guidance of William Stapp, has likewise been enormously successful. Teachers worldwide take their students down to their local river and show them how to monitor water quality, analyze watershed usage, and identify socioeconomic sources of river degradation. Lastly, and most importantly, the students then present their findings and recommendations to the local officials. These students also exchange information with other GREEN students around the world via computers.

Another promising development is the National Consortium for Environmental Education and Training (NCEET), also based at the University of Michigan. The partnership of academic institutions, non-profit organizations, and corporations, NCEET was established in 1992 with a three-year, \$4.8 million grant from the Environmental Protection Agency (EPA). Its main purpose is to dramatically improve the effectiveness of environmental education in the United States. The program has attacked its mission from several angles: to function as a national clearinghouse for K–12 teachers, to make available top-quality EE materials for teachers, to conduct research on effective approaches to EE, to survey and assess the EE needs of all fifty states, to establish a computer network for teachers needing access to information and resources, and to develop a teacher training manual for conducting EE workshops around the country.

*See also* Marsh, George Perkins.

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Cathryn McCue

## Environmental enforcement

Environmental enforcement is the set of actions that a government takes to achieve full implementation of environmental requirements (compliance) within the regulated community and to correct or halt situations or activities that endanger the environment or public health. Experience with environmental programs has shown that enforcement is essential to compliance because many people and institutions will not comply with a law unless there are clear consequences for noncompliance. Enforcement by the government usually includes inspections to determine the compliance status of the regulated community and to detect violations; negotiations with individuals or facility managers who are out of compliance to develop mutually agreeable schedules and approaches for achievement of compliance; legal action when necessary to compel compliance and to impose some consequences for violation of the law or for posing a threat to public health and the environment; and compliance promotion, such as educational programs, technical assistance, and subsidies, to encourage voluntary compliance.

Nongovernmental organizations (NGOs) may become involved in enforcement by detecting noncompliance, negotiating with violators, and commenting on governmental enforcement actions. They may also, if the law allows, take legal actions against a violator for noncompliance or against the government for not enforcing environmental requirements. The banking and insurance industries may be indirectly involved with enforcement by requiring assurance of compliance with environmental requirements before they issue a loan or an insurance policy to a facility. Strong social sanctions for noncompliance with environmental requirements can also be effective to ensure compliance. For example, the public may choose to boycott a product if they believe the manufacturer is harming the environment.

Environmental enforcement is based on environmental laws. An environmental law provides the vision, scope, and authority for environmental protection and restoration. Some environmental laws contain requirements while others specify a structure and criteria for establishing requirements, which are then developed separately. Requirements may be general, in which they apply to a group of facilities, or facility-specific.

Examples of environmental enforcement programs include those that govern the ambient environment, performance, technology, work practices, dissemination of information, and product or use bans.

Ambient standards (media quality standard) are goals for the quality of the ambient environment (that is, air and water quality). Ambient standards are usually written in units of concentration, and they are used to plan the levels of emissions that can be accommodated from individual sources while still meeting an area-wide goal. Ambient standards can also be used as triggers (i.e., when a standard is exceeded, monitoring or enforcement efforts are increased). Enforcement of these standards involves relating an ambient measurement to emissions or activities at a specific facility, which can be difficult.

Performance standards, widely used for regulations, permits, and monitoring requirements, limit the amount or rate of particular chemicals or discharges that a facility can release into the environment in a given period of time. These standards allow sources to choose which technologies they will use to meet the standards. Performance standards are often based on output that can be achieved by using the best available control technology. Some standards allow a source with multiple emissions to vary its emissions from each stack as long as the total sum of emissions does not exceed the permitted total. Compliance with emission standards is accomplished by sampling and monitoring, which in some cases may be difficult and/or expensive.

Technology standards require the regulated community to use a particular type of technology (i.e., “best available technology”) to control and/or monitor emissions. Technology standards are effective if the equipment specified is known to perform well under the range of conditions experienced by the source. Compliance is measured by whether the equipment is installed and operating properly. However, proper operation over a long period of time is more difficult to monitor. The use of technology standards can inhibit technological innovation.

Practice standards require or prohibit work activities that may have environmental impacts (e.g., prohibition of carrying hazardous liquids in uncovered containers). Regulators can easily inspect for compliance and take action against noncomplying sources, but ongoing compliance is not easy to ensure.

Dissemination of information and product or use bans are also governed by environmental enforcement programs. Information standards require a source of potential pollution (e.g., a manufacturer or facility involved in generating, transporting, storing, treating, and disposing of hazardous wastes) to develop and submit information to the government. For example, a source generating pollution may be required to monitor, maintain records, and report on the level of

pollution generated and whether or not the source exceeds performance standards. Information requirements are also used when a potential pollution source is a product such as a new chemical or pesticide. The manufacturer may be required to test and report on the potential of the product to cause harm if released into the environment. Finally, product or use bans are used to prohibit a product (i.e., ban the manufacture, sale, and/or use of a product), or they may prohibit particular uses of a product.

An effective environmental law should include the authority or power necessary for its own enforcement. An effective authority should govern implementation of environmental requirements, inspection, and monitoring of facilities, and legal sanctions for noncompliance. One type of authority that is used is guidance for the implementation of environmental laws by issuance of regulations, permits, licenses, and/or guidance policies. Regulations establish, in greater detail than is specified by law, general requirements that must be met by the regulated community. Some regulations are directly enforced, whereas others provide criteria and procedures for developing facility-specific requirements utilizing permits and licenses to provide the basis of enforcement. Permits are used to control activities related to construction or operation of facilities that generate pollutants. Requirements in permits are based on specific criteria established in laws, regulations, and/or guidance. General permits specify what a class of facilities is required to do, whereas a facility-specific permit specifies requirements for a particular facility, often taking into account the conditions there. Licenses are permits to manufacture, test, sell, or distribute a product that may pose an environmental or public health risk if improperly used. Licenses may be general or facility specific. Written guidance and



A sign enforcing a local noise ordinance. (© *rabh images / Alamy*)

policies, which are prepared by the regulator, are used to interpret and implement requirements to ensure consistency and fairness. Guidance may be necessary because not all applications of requirements can be anticipated, when regulation is achieved by the use of facility-specific permits or licenses.

Authority is also required to provide for inspection and monitoring of facilities, with legal sanctions for noncompliance. Requirements may either be waived or prepared for facility-specific conditions. The authority will inspect regulated facilities and gain access to their records and equipment to determine if they are in compliance.

Authority is necessary to ensure that the regulated community monitors its own compliance, maintains records of its compliance activities and status, reports this information periodically to the enforcement program, and provides information during inspections.

An effective law should also include the authority to take legal action against non-complying facilities, imposing a range of monetary penalties and other sanctions on facilities that violate the law, as well as criminal sanctions on those facilities or individuals who deliberately violate the law (e.g., facilities that knowingly falsify data). Also, power should be granted to correct situations that pose an immediate and substantial threat to public health and/or the environment.

The range and types of environmental enforcement response mechanisms available depend on the number and types of authorities provided to the enforcement program by environmental and related laws. Enforcement mechanisms may be designed to return violators to compliance, impose a sanction, or remove the economic benefits of noncompliance. Enforcement may require that specific actions be taken to test, monitor, or provide information. Enforcement may also correct environmental damages and modify internal company management problems.

Enforcement response mechanisms include informal responses, such as phone calls, site visits and inspections, warning letters, and notices of violations, which are more formal than warning letters. They provide the facility manager with a description of the violation, what should be done to correct it, and by what date. Informal responses do not penalize but can lead to more severe responses if ignored. The more formal enforcement mechanisms are backed by law and are accompanied by procedural requirements to protect the rights of the individual. Authority to use formal enforcement mechanisms for a specific situation must be provided in the applicable environmental

law. Civil administrative orders are legal, independently enforceable orders issued directly by enforcement program officials that define the violation, provide evidence of the violation, and require the recipient to correct the violation within a specified time period. If the recipient violates the order, program managers can take further legal action using additional orders or the court system to force compliance.

Further legal action includes the use of field citations, which are administrative orders issued by inspectors in the field. They require the violator to correct a clear-cut violation and pay a small monetary fine. Field citations are used to handle more routine types of violations that do not pose a major threat to the environment. Legal action may also lead to civil judicial enforcement actions, which are formal lawsuits before the courts. These actions are used to require action to reduce immediate threats to public health or the environment, to enforce administrative orders that have been violated, and to make final decisions regarding orders that have been appealed. Finally, a criminal judicial response is used when a person or facility has knowingly and willfully violated the law or has committed a violation for which society has chosen to impose the most serious legal sanctions available. This response involves criminal sanction, which may include monetary penalties and imprisonment. The criminal response is the most difficult type of enforcement, requiring intensive investigation and case development, but it can also create a significant deterrence.

Environmental enforcement must include processes to balance the rights of individuals with the government's need to act quickly. A notice of violation should be issued before any action is taken so that the finding of violation can be contested, or so that the violation can be corrected before further government action. Appeals should be allowed at several stages in the enforcement process so that the finding of violation, the required remedial action, or the severity of the proposed sanction can be reviewed. There should also be dispute resolution processes for negotiations between program officials and the violator, which may include face-to-face discussions, presentations before a judge or hearing examiner, or use of third party mediators, arbitrators, or facilitators.

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Judith Sims

## Environmental estrogens

The United States Environmental Protection Agency (EPA) defines an environmental endocrine disruptor—the term the agency uses for environmental estrogens—as “an exogenous agent that interferes with the synthesis, secretion, transport, binding, action, or elimination of natural hormones in the body that are responsible for the maintenance of homeostasis, reproduction, development, and/or behavior.” Dr. Theo Colborn, a zoologist and senior scientist with the World Wildlife Fund, and the person most credited with raising national awareness of the issue, describes these chemicals as “hand-me-down poisons” that are passed from mothers to offspring and may be linked to a wide range of adverse effects, including low sperm counts, infertility, genital deformities, breast and prostate cancer, neurological disorders in children such as hyperactivity and attention deficits, and developmental and reproductive disorders in wildlife. Colborn discusses these effects in her book, *Our Stolen Future*, co-authored with Dianne Dumanoski and John Peterson Myers, which asks: “Are we threatening our fertility, intelligence, and survival?” Some other names used for the same class of chemicals are hormone disruptors, estrogen mimics, endocrine-disrupting chemicals, and endocrine modulators.

Although EPA takes the position that it is “aware of and concerned” about data indicating that exposure to environmental endocrine disruptors may cause adverse impacts on human health and the environment, the agency at present does not consider endocrine disruption to be “an adverse endpoint *per se*.” Rather, it is “a mode or mechanism of action potentially leading to other outcomes”—such as the health effects Colborn described drawing from extensive research of numerous scientists—but, in EPA’s view, the link to human health effects remains an unproven hypothesis. For Colborn and a significant number of other scientists, however, enough is known to support prompt and far-reaching action to reduce exposures to these chemicals and myriad products that are manufactured using them. Foods, plastic packaging, and

pesticides are among the sources of exposure Colborn raises concerns about in her book.

Ultimately, the environmental estrogens issue is about whether these chemicals are present in the environment at high enough levels to disrupt the normal functioning of wildlife and human endocrine systems and thereby cause harmful effects. The endocrine system is one of at least three important regulatory systems in humans and other animals (the nervous and immune systems are the other two) and includes such endocrine glands as the pituitary, thyroid, pancreas, adrenal, and the male and female gonads (testes and ovaries). These glands secrete hormones into the bloodstream where they travel in very small concentrations and bind to specific sites called cell receptors in target tissues and organs. The hormones affect development, reproduction, and other bodily functions. The term *endocrine disruptors* includes not only estrogens but also antiandrogens and other agents that act on the endocrine system.

The question of whether environmental endocrine disruptors may be causing effects in humans has arisen over the past decade based on a growing body of evidence about effects in wildlife exposed to dichlorodiphenyl-trichlorethane (DDT), polychlorinated biphenyls (PCBs), and other chemicals. For instance, field studies have proven that tributyltin (TBT), which is used as an antifouling paint on ships, can cause “imposex” in female snails, which are now commonly found with male genitalia, including a penis and vas deferens, the sperm-transporting tube. TBT has also been shown to cause decreased egg production by the periwinkle (*Littorina littorea*). As early as 1985, concerns arose among scientists and the public in the United Kingdom over the effects of synthetic estrogens from birth control pills entering rivers, a concern that was heightened when anglers reported catching fish with both male and female characteristics. Other studies have found Great Lakes salmon to invariably have thyroids that were abnormal in appearance, even when there were no overt goiters. Herring gulls (*Larus argentatus*) throughout the Great Lakes have also been found with enlarged thyroids. In the case of the salmon and gulls, no agent has been determined to be causing these effects. But other studies have linked DDT exposure in the Great Lakes to eggshell thinning and breakage among bald eagles and other birds. In Lake Apopka, Florida, male alligators (*Alligator mississippiensis*) exposed to a mixture of dicofol, DDT, and dichlorodiphenyldichloroethylene (DDE) have been “demasculinized,” with phalluses one-half to one-fourth the normal size. Red-eared turtles (*Trachemys*

*scripta*) in the lake have also been demasculinized. One 1988 study reported that four of fifteen female black bears (*Ursus americanus*) and one of four female brown bears (*Ursus arctos*) had, to varying degrees, male sex organs. These and nearly 300 other peer-reviewed studies led the EPA—in conjunction with a multi-agency White House Committee on Environment and Natural Resources—to develop a “framework for planning” and an extensive research agenda to answer questions about the effects of endocrine disruptors. The goal has been to better understand the potential effects of such chemicals on human beings before implementing regulatory actions.

The federal research agenda has been evolving through a series of workshops. As early as 1979, the U.S. National Institute of Environmental Health Sciences (NIEHS) held an “Estrogens in the Environment” conference to evaluate the chemical properties and diverse structures among environmental estrogens. NIEHS held a second conference in 1985 that addressed numerous potential toxicological and biological effects from exposure to these chemicals. NIEHS’s third conference, held in 1994, focused on detrimental effects in wildlife. At an April 1995 EPA-sponsored workshop on “Research Needs for the Risk Assessment of Health and Environmental Effects of Endocrine Disruptors,” a number of critical research questions were discussed: What do we know about the carcinogenic effects of endocrine-disrupting agents in humans and wildlife? What are the research needs in this area, including the highest priority research needs? Similar questions were discussed for reproductive effects, neurological effects, immunological effects, and a variety of risk assessment issues. Drawing on the preceding conferences and workshops, in February 1997 EPA issued a *Special Report on Environmental Endocrine Disruption: An Effects Assessment and Analysis* that recommended key research needs to better understand how environmental endocrine disruptors may be causing the variety of specific effects in human beings and wildlife hypothesized by some scientists. For instance, male reproductive research should include tests that evaluate both the quantity and quality of sperm produced. Furthermore, when testing the endocrine-disrupting potential of chemicals, it is important to test for both estrogenic and antiandrogenic activity because new data suggest that it is possible the latter—antiandrogenic activity—not estrogenic activity, is causing male reproductive effects. In the area of ecological research, EPA’s special report highlighted the need for research on such issues as what chemicals or class of chemicals can be considered genuine endocrine disruptors and what dose is needed to cause an effect.

Even before environmental estrogens received a place on the federal environmental agenda as a priority concern, Colborn and other scientists met in Racine, Wisconsin, to discuss their misgivings about the prevalence of estrogenic chemicals in the environment. From that meeting came the landmark “Wingspread Consensus Statement” of twenty-one leading researchers. The statement asserted that the scientists were certain that a large number of human-made chemicals that have been released into the environment, as well as a few natural ones, “have the potential to disrupt the endocrine system of animals, including humans,” and that many wildlife populations are already affected by these chemicals. Furthermore, the scientists expressed certainty that the effects may be entirely different in the embryo, fetus, or perinatal organisms than in the adult; that effects are more often manifested in offspring than in exposed parents; that the timing of exposure in the developing organism is crucial; and that, while embryonic development is the critical exposure period, “obvious manifestations may not occur until maturity.” Besides these and other “certain” conclusions, the scientists estimated with confidence that “some of the developmental impairments reported in humans today are seen in adult offspring of parents exposed to synthetic hormone disruptors (agonists and antagonists) released in the environment” and that “unless the environmental load of synthetic hormone disruptors is abated and controlled, large scale dysfunction at the population level is possible.” The Wingspread Statement included numerous other consensus views on what models predict and the judgment of the group on the need for much greater research and a comprehensive inventory of these chemicals.

The Food Quality Protection Act of 1996 (FQPA) and the Safe Drinking Water Act Amendments of 1996 require EPA to develop a screening program to determine whether pesticides or other substances cause effects in humans similar to effects produced by naturally occurring estrogens and other endocrine effects. The FQPA requires pesticide registrants to test their products for such effects and submit reports, and it requires that registrations be suspended if registrants fail to comply. Besides the EPA screening program, the United Nations Environment Programme is pursuing a multinational effort to manage “persistent organic pollutants,” including DDT and PCBs, which, though banned in the United States, are still used elsewhere and can persist in the environment and be transported long-distance. In 1998 the EPA announced the Endocrine Disruptor Screening Program (EDSP) to test a variety of chemicals for their potential to disrupt the

human endocrine system. A lengthy, drawn-out process ensued, and a draft list of chemicals was not published until 2007. A so-called final list of the first group of chemicals to be tested using the EDSP-derived set of protocols was published in April 2009. Prioritizing which chemicals to test first has been part of the EPA's EDSP program, since there are many thousands of chemicals that could potentially be tested. The first group of chemicals to be tested consisted of those deemed most likely to cause endocrine problems in people based upon prior evidence.

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David Clarke

## Environmental ethics

Ethics is a branch of philosophy that deals with morals and values. Environmental ethics refers to the moral relationships between humans and the natural world. It addresses such questions as, do humans have obligations or responsibilities toward the natural world, and, if so, how are those responsibilities balanced against human needs and interests? Are some interests more important than others?

Efforts to answer such ethical questions have led to the development of a number of schools of ethical thought. One of these is utilitarianism, a philosophy associated with the English eccentric Jeremy Bentham and later modified by his godson John Stuart Mill. In its most basic terms, utilitarianism holds that an action is morally right if it produces the greatest good for the greatest number of people. The early environmentalist Gifford Pinchot was inspired by utilitarian principles and applied them to conservation. Pinchot proposed that the purpose of conservation is to protect natural resources to produce “the greatest good for the greatest number for the longest time.” Although utilitarianism is a simple, practical approach to human moral dilemmas, it can also be used to justify reprehensible actions. For example, in the nineteenth century many white Americans believed that the extermination of native peoples and the appropriation of their land

was the right thing to do. However, most would now conclude that the good derived by white Americans from these actions does not justify the genocide and displacement of native peoples.

The tenets of utilitarian philosophy are presented in terms of human values and benefits, a clearly anthropocentric (human-centered) world view. Many philosophers argue that only humans are capable of acting morally and of accepting responsibility for their actions. Not all humans, however, have this capacity to be moral agents. Children, the mentally ill, and others are not regarded as moral agents, but, rather, as moral subjects. However, they still have rights of their own—rights that moral agents have an obligation to respect. In this context, moral agents have intrinsic value independent of the beliefs or interests of others.

Although humans have long recognized the value of non-living objects, such as machines, minerals, or rivers, the value of these objects is seen in terms of money, aesthetics, cultural significance, or other benefit. The important distinction is that these objects are useful or inspiring to some person—they are not ends in themselves but are means to some other end. Philosophers term this instrumental value, because these objects are the instruments for the satisfaction of some other moral agent. This philosophy has also been applied to living things, such as domestic animals. These animals have often been treated as simply the means to some humanly-desired end without any inherent rights or value of their own.

Aldo Leopold, in his famous essay on environmental ethics, “A Sand County Almanac” pointed out that not all humans have been considered to have inherent worth and intrinsic rights. As examples he points to children, women, foreigners, and indigenous peoples—all of whom were once regarded as less than full persons, as objects or the property of an owner who could do with them whatever he wished. Most civilized societies now recognize that all humans have intrinsic rights, and, in fact, these intrinsic rights have also been extended to include such entities as corporations, municipalities, and nations.

Many environmental philosophers argue that the human race must also extend recognition of inherent worth to all other components of the natural world, both living and non-living. In their opinion, the anthropocentric view, which considers components of the natural world to be valuable only as the means to some human end, is the primary cause of environmental degradation. As an alternative, they propose a biocentric view which gives inherent value to all the

natural world regardless of its potential for human use.

Paul Taylor outlines four basic tenets of biocentrism in his book, *Respect for Nature*. These are: (1) Humans are members of earth's living community in the same way and on the same terms as all other living things; (2) Humans and other species are interdependent; (3) Each organism is a unique individual pursuing its own good in its own way; (4) Humans are not inherently superior to other living things. These tenets underlie the philosophy developed by Norwegian Arne Naess known as deep ecology.

From this biocentric philosophy Paul Taylor developed three principles of ethical conduct: (1) Do not harm any natural entity that has a good of its own; (2) Do not try to manipulate, control, modify, manage, or interfere with the normal functioning of natural ecosystems, biotic communities, or individual wild organisms; (3) Do not deceive or mislead any animal capable of being deceived or misled. These principles led Professor Taylor to call for an end to hunting, fishing, and trapping; to espouse vegetarianism; and to seek the exclusion of human activities from wilderness areas. However, Professor Taylor did not extend intrinsic rights to non-living natural objects, and he assigned only limited rights to plants and domestic animals. Others argue that all natural objects, living or not, have rights.

Regardless of the appeal that certain environmental philosophies may have in the abstract, it is clear that humans must make use of the natural world if they are to survive. They must eat other organisms and compete with them for all the essentials of life. Humans seek to control or eliminate harmful plants or animals. How is this intervention in the natural world justified? Stewardship is a principle that philosophers use to justify such interference. Stewardship holds that humans have a unique responsibility to care for domestic plants and animals and all other components of the natural world. In this view, humans, their knowledge, and the products of their intellect are an essential part of the natural world, neither external to it nor superfluous. Stewardship calls for humans to respect and cooperate with nature to achieve the greatest good. Because of their superior intellect, humans can improve the world and make it a better place, but only if they see themselves as an integral part of it.

Ethical dilemmas arise when two different courses of action each have valid ethical underpinnings. A classic ethical dilemma occurs when any course of action taken will cause harm, either to oneself or to others. Another sort of dilemma arises when two parties have

equally valid, but incompatible, ethical interests. To resolve such competing ethical claims Paul Taylor suggests five guidelines: (1) it is usually permissible for moral agents to defend themselves; (2) basic interests, those interests necessary for survival, take precedence over other interests; (3) when basic interests are in conflict, the least amount of harm should be done to all parties involved; (4) whenever possible, the disadvantages resulting from competing claims should be borne equally by all parties; (5) the greater the harm done to a moral agent, the greater is the compensation required.

Ecofeminists do not find that utilitarianism, biocentrism, or stewardship provide adequate direction to solve environmental problems or to guide moral actions. In their view these philosophies come out of a patriarchal system based on domination—of women, children, minorities and nature. As an alternative, ecofeminists suggest a pluralistic, relationship-oriented approach to human interactions with the environment. Ecofeminism is concerned with nurturing, reciprocity, and connectedness rather than with rights, responsibilities, and ownership. It challenges humans to see themselves as related to others and to nature. Out of these connections, then, will flow ethical interactions among individuals and with the natural world.

*See also* Animal rights; Bioregionalism; Ecojustice; Environmental racism; Environmentalism; Future generations; Humanism; Intergenerational justice; Land stewardship; Speciesism; Callicott, John Baird; Naess, Arne; Rolston, Holmes.

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Christine B. Jeryan

## Environmental health

Environmental health is concerned with the medical effects of chemicals, pathogenic (disease-causing) organisms, or physical factors in our environment. Because our environment affects nearly every aspect of people's lives in some way or other, environmental health is related to virtually every branch of medical science. The special focus of this discipline, however,

tends to be health effects of polluted air and water, contaminated food, and toxic or hazardous materials in the environment. Concern about these issues makes environmental health one of the most compelling reasons to be interested in environmental science.

For a majority of humans, the most immediate environmental health threat has always been pathogenic organisms. Improved sanitation, nutrition, and modern medicine in the industrialized countries have reduced or eliminated many of the communicable diseases that once threatened people. But for those in the less developed countries where nearly 80 percent of the world's population lives, bacteria, viruses, fungi, parasites, worms, flukes, and other infectious agents remain major causes of illness and death. Hundreds of millions of people suffer from major diseases such as malaria, gastrointestinal infections (diarrhea, dysentery, cholera), tuberculosis, influenza, and pneumonia spread through the air, water, or food. Many of these terrible diseases could be eliminated or greatly reduced by a cleaner environment, inexpensive dietary supplements, and better medical care.

For the billion or so richest people in the world—including most of the population of the United States and Canada—diseases related to lifestyle or longevity tend to be much greater threats than more conventional environmental concerns such as dirty water or polluted air. Heart attacks, strokes, cancer, depression and hypertension, traffic accidents, trauma, and AIDS lead as causes of sickness and death in wealthy countries. These problems are becoming increasingly common in the developing world as people live longer, exercise less, eat a richer diet, and use more drugs, tobacco, and alcohol. Epidemiologists predict that by the middle of the next century, diseases of affluence will be leading causes of sickness and death.

Although a relatively minor cause of illness compared to the factors above, toxic or hazardous synthetic chemicals in the environment are becoming an increasing source of concern as industry uses more and more exotic materials to manufacture goods for purchase. There are many of these compounds to worry about. Somewhere around five million different chemical substances are known, about 100,000 are used in commercial quantities, and about 10,000 new ones are discovered or invented each year. Few of these materials have been thoroughly tested for toxicity. Furthermore, the process of predicting what the chances of exposure and potential harm might be from those released into the environment remains highly controversial. Toxins are poisonous, which means that they react specifically with cellular components or interfere with unique physiological processes. A particular

chemical may be toxic to one organism but not another, or dangerous in one type of exposure but not others. Because of this specificity, they may be harmful even in very dilute concentrations. Ricin, for instance, is a protein found in castor beans and one of the most toxic materials known. Three hundred picograms (trillionths of a gram) injected intravenously is enough to kill an average mouse. A single molecule can kill an individual cell. If humans were as sensitive as mice, a few teaspoons of this compound, divided evenly and distributed uniformly could kill everyone in the world. This points illustrates that not all toxins are produced by industry. Many natural products are highly toxic.

Toxins that have chronic (long-lasting) or irreversible effects are of special concern. Among some important examples are neurotoxins (attack nerve cells), mutagens (cause genetic damage), teratogens (result in birth defects), and carcinogens (cause cancer). Many pesticides and metals such as mercury (Hg), lead (Pb), and chromium (Cr) are neurotoxins. Loss of even a few critical neurons can be highly noticeable or may even be lethal, making this category of great importance. Chemicals or physical factors, such as radiation, that damage genetic material can harm not only cells produced in the exposed individual, but also the offspring of those individuals as well.

Among the most feared characteristics of all these chronic environmental health threats are that the initial exposure may be so small or have results so unnoticeable that the victim doesn't even know that anything has happened until years later. Furthermore the results may be catastrophic and irreversible once they do appear. These are among the worst fears and are powerful reasons that many are so apprehensive about environmental contaminants. There may be no absolutely safe exposure—no matter how small—of some chemicals. Because of these fears, people often demand absolute protection from some of the most dreaded contaminants. Unfortunately, this may not be possible. There may be no way to insure that humans are never exposed to any amount of some hazards. It may be that the only recourse is to ask to reduce exposure or mitigate the consequences of that exposure.

In spite of the foregoing discussion of the dangers of chronic effects from minute exposures to certain materials or factors, not all pollutants are equally dangerous; nor is every exposure an unacceptable risk. Fear of unknown and unfamiliar industrial chemicals can lead to hysterical demands for zero exposure to risks. The fact is that most aspects of life are risky.

Furthermore, some materials are extremely toxic, whereas others are only moderately or even slightly so.

This is expressed in the adage of the German physician, Paracelsus (1493–1541), who said in 1540 that “the dose makes the poison.” It has become a basic principle of toxicology that nearly everything is toxic at some concentration, but most materials have some lower level at which they present an insignificant risk. Sodium chloride (NaCl; table salt), for instance, is essential for human life in small doses. If people were forced to eat a kilogram all at once, however, it would make them very sick. A similar amount injected all at once into the bloodstream would be lethal.

How a material is delivered—at what rate, through which route of entry, in what form—is often as important as what the material is. The movement, distribution, and fate of materials in the environment are important aspects of environmental health. Solubility is one of the most important characteristics in determining how, when, and where a material will travel through the environment and into people’s bodies. Chemicals that are water-soluble move more rapidly and extensively but also are easier to wash off, excrete, or eliminate. Oil or fat-soluble chemicals may not move through the environment as easily as water-soluble materials but may penetrate very efficiently through the skin and into tissues and organs. Fat-soluble substances also may be more likely to be concentrated and stored permanently in fat deposits in the body.

The most common route of entry into the body for many materials is through ingestion and absorption in the gastrointestinal (GI) tract. The GI tract, as well as the urinary system, are the main routes of excretion of dangerous materials. Not surprisingly, those cells and tissues most intimately and continuously in contact with dangerous materials are among the ones most likely to be damaged. Ulcers, infections, lesions, or tumors of the mouth, esophagus, stomach, intestine, colon, kidney, bladder, and associated glands are among the most common manifestations of environmental toxins. Other common routes of entry for toxins are through the respiratory system and the skin. These also are important routes for excreting or discharging unwanted materials.

Some of the most convincing evidence about the toxicity of particular chemicals on humans has come from experiments in which volunteers (students, convicts, or others) were deliberately given measured levels under controlled conditions. Because it is now considered unethical to experiment on living humans, scientists are forced to depend on proxy experiments using computer models, tissue cultures, or laboratory animals.

These proxy tests are difficult to interpret. Experts can’t be sure that experimental methods can be extrapolated to how real living humans would react. The most commonly used laboratory animals in toxicity tests are rodents, such as rats and mice. However, different species can react very differently to the same compound. Of some two hundred chemicals shown to be carcinogenic in either rats or mice, for instance, about half caused cancer in one species but not the other. This raises many questions about how to interpret these results, based on uncertainties about the meaning of these results with respect to effects on humans.

It is especially difficult to determine responses to very low levels of particular chemicals, especially when they are not highly toxic. The effects of random events, chance, and unknown complicating factors become troublesome, often resulting in a high level of uncertainty in predicting risk. The case of the sweetener saccharin is a good example of the complexities and uncertainties in risk assessment. Studies in the 1970s suggested a link between saccharin and bladder cancer in male rats. Critics pointed out that humans would have to drink eight hundred cans of soft drink per day to get a dose equivalent to that given to the rats. Furthermore, they argued, most people are not merely large rats.

The Food and Drug Administration (FDA) uses a range of estimates of the probable toxicity of saccharin in humans. At current rates of consumption, the lower estimate predicts that only one person in the United States will get cancer every 1,000 years from saccharin. That is clearly inconsequential considering the advantages of reduced weight, fewer cases of diabetes, and other benefits from this sugar substitute. The upper estimate, however, suggests that 3,640 people will die each year from this same exposure. That is most certainly a risk worthy of concern.

An emerging environmental health concern with a similarly high level of uncertainty but potentially dire consequences is the disruption of endocrine hormone functions by synthetic chemicals. About ten years ago, wildlife biologists began to report puzzling evidence of reproductive failures and abnormal development in certain wild animal populations. Alligators in a lake in central Florida, for instance, were reported to have a 90 percent decline in egg hatching and juvenile survival along with feminization of adult males including abnormally small penises and lack of sperm production. Similar reproductive problems and developmental defects were reported for trout in the Great Lakes, seagulls in California, panthers in Florida, and a number of other species. Even humans may be effected if reports of

global reduction of sperm counts and increases of hormone-dependent cancers prove to be true.

Both laboratory and field studies point to a possible role of synthetic chemicals in these problems. More than fifty chemicals, if present in high enough concentrations, are now known to mimic or disrupt the signals conveyed by naturally occurring endocrine hormones that control almost every aspect of development, behavior, immune functions, and metabolism. These chemicals are referred to as endocrine disruptors. Some research studies show that small amounts of these chemicals are just as harmful (possibly more harmful) as larger amounts. Among these chemicals are dioxin, polychlorinated biphenyl, and several persistent pesticides. This new field of research promises to be of great concern in the next few years because it combines dreaded factors of great emotional power, such as undetectable exposure, threat to future generations, unknown or delayed consequences, and involuntary or inequitable distribution of risk.

In spite of the seriousness of the concerns expressed above, the Environmental Protection Agency (EPA) warns that people need to take a balanced view of environmental health. The risks associated with allowable levels of certain organic solvents in drinking water or some pesticides in food are thought to carry a risk of less than one cancer in a million people in a lifetime. Many people are outraged about being exposed to this risk, yet they accept risks thousands of times higher from activities they enjoy, such as smoking, driving a car, or eating an unhealthy diet. According to the EPA, the most important things individuals can do to improve their health are to abstain from smoking, drive safely, eat a balanced diet, exercise reasonably, lower stress, avoid dangerous jobs, lower indoor pollutants, practice safe sex, avoid sun exposure, and prevent household accidents. Many of these factors that can be controlled may be more risky than unknown, uncontrollable environmental hazards.

These potential environmental hazards still generate concern about environmental health. Organizations have been established to develop standards and guidelines for the regulation of exposures to potentially harmful substances and situations in an effort to preserve environmental health. Some of these agencies are the American Conference of Governmental Industrial Hygienists (ACGIH; promotes standards for limiting chemical and physical stresses in the workplace) and two groups that issue guidelines for exposure to ionizing radiation: the International Commission on Radiological Protection (ICRP) and the National Council on Radiation Protection and Measurements (NCRP). Federal organizations such as the FDA, the EPA, the

Occupational Health and Safety Administration (OSHA), and the U.S. Nuclear Regulatory Commission (USNRC) compile the available information to set regulations and standards to limit exposures to physical and chemical stresses in the environment. These standards can be primary or secondary. Primary standards refer to the protection of human health, whereas secondary standards concern the protection of the environment (e.g., regulations regarding air pollution).

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William P. Cunningham

## Environmental history

Much of human history has been a struggle for food, shelter, and survival in the face of nature's harshness. Three major events or turning points have been the use of fire, the development of agriculture, and the invention of tools and machines. Each of these advances has brought benefits to humans but often at the cost of

environmental degradation. Agriculture, for instance, increased food supplies but also caused soil erosion, population explosions, and support of sedentary living and urban life. It was the Industrial Revolution that gave humankind the greater power to conquer and devastate the environment. Polish-born British mathematician Jacob Bronowski (1908–1974) called it an energy revolution, with power as the prime goal. As Bronowski noted, it is an ongoing revolution, with the fate of literally billions of people hanging on the outcome.

The Industrial Revolution, with its initial dependence on the steam engine, iron works, and heavy use of coal, made possible a modern lifestyle with its high consumption of energy and material resources. With it, however, has come devastating levels of air, water, land, and chemical pollution. In essence, environmental history is the story of the growing recognition of humankind's negative impact upon nature and the corresponding public interest in correcting these abuses. American botanist William Cunningham and Barbara Saigo describe four stages of conservation history and environmental activism: 1) pragmatic resource conservation; 2) moral and aesthetic resource preservation; 3) growing concern over the impact of pollution on health and ecosystems; and 4) global environmental citizenship.

Environmental history, like all history, is very much a study of key individuals and events. Included here are British economist Thomas Robert Malthus (1766–1834), American environmentalist George Perkins Marsh (1801–1882), American President Theodore Roosevelt (1858–1919), and American marine biologist Rachel Carson (1907–1964). Writing at the end of the eighteenth century, Malthus was the first to develop a coherent theory of population, arguing that growth in food supply could not keep up with the much larger growth in population. Of cruel necessity, population growth would inevitably be limited by famine, pestilence, disease, or war. Modern supporters are labeled neo-Malthusians and include notable spokespersons American entomologist Paul Erlich (1932–) and American environmentalist Lester Brown (1934–).

In his 1864 book *Man in Nature*, Marsh was the first to attack the American myth of superabundance and inexhaustible resources. Citing many examples from Mediterranean lands and the United States, Marsh described the devastating impact of land abuse through deforestation and soil erosion. American urban planner Lewis Mumford (1895–1990) called this book “the fountainhead of the conservation movement,” and American politician Stewart Udall (1920–) described it as the beginning of land wisdom in this

country. Marsh's work led to forest preservation and influenced President Theodore Roosevelt and his chief forester, American Gifford Pinchot (1865–1946).

Effective forest and wildlife protection began during Theodore Roosevelt's presidency; his term of office (1901–1909) has been referred to as The Golden Age of Conservation. Roosevelt's administration established the first wildlife refuges and national forests.

At this time key differences emerged between proponents of conservation and preservation. Pinchot's policies were utilitarian, emphasizing the wise use of resources. By contrast, preservationists led by Scottish-born American naturalist John Muir (1838–1914) argued for leaving nature untouched. A key battle was fought over the Hetch Hetchy Reservoir in Yosemite National Park, a proposed water supply for San Francisco, California. Although Muir lost, the Sierra Club (founded in 1882) gained national prominence. Similar battles are waged in the early 2000s over petroleum extraction in Alaska's Arctic National Wildlife Refuge and mining permits on federal lands, including wilderness areas.

Rachel Carson gained widespread fame through her battle against the indiscriminate use of pesticides. Carson's 1962 book *Silent Spring* has been hailed as the “fountainhead of the modern environmental movement.” It has been translated into more than twenty languages and is still a best seller. Carson argued against pesticide abuse and for the right of common citizens to be safe from pesticides in their own homes. Though vigorously opposed by the chemical industry, Carson's views were vindicated by her overpowering reliance on scientific evidence, some given surreptitiously by government scientists. In effect *Silent Spring* was the opening salvo in the battle of ecologists against chemists. Much of the current mistrust of chemicals stems from her work.

Several historical events are relevant to environmental history. The closing of the American frontier at the end of the nineteenth century gave political strength to the Theodore Roosevelt presidency. The 1908 White House Conference on Conservation, organized and chaired by Pinchot, is perhaps the most prestigious and influential meeting ever held in the United States.

During the 1930s the drought in the American Dust Bowl awakened the country to the soil erosion concerns first voiced by Marsh. The establishment of the Soil Conservation Service in 1935 was a direct response to this national tragedy.

In 1955 an international symposium titled “Man’s Role in Changing the Face of the Earth” was held at Princeton University. An impressive assemblage of scholars led by American geographer Carl Ortwin Sauer (1889–1975), American zoologist Marston O. Bates (1906–1974), and Lewis Mumford documented the history of human impact on the earth, the processes of human alteration of the environment, and the prospects for future habitability.

The *Apollo* moon voyages, especially *Apollo 8* in December 1968 and the dramatic photos taken of Earth from space, awakened the world to the concept of spaceship earth. It was as though the entire human community gave one collective gasp at the small size and fragile beauty of this one planet we call home.

The two energy price shocks of the 1970s spawned by the 1973 Arab-Israeli War and the 1979 Iranian Islamic Revolution fundamentally altered American energy habits. The nation’s salvation came in part from its horrific waste. Simple energy conservation measures and more fuel-efficient automobiles, predominantly of foreign manufacture, produced enough savings to cause a mid-1980s crash in petroleum prices. Nonetheless, many efficiencies begun during the 1970s remain.

The Montreal Accord of 1988 is notable for being the first international agreement to phase out a damaging chemical, chlorofluorocarbons (CFCs). This was a direct response to evidence from satellite data over Antarctica that chlorine (Cl)-based compounds were destroying the stratospheric ozone shield, which provides vital protection against damaging ultraviolet radiation.

Key accidents and corresponding media coverage of environmental concerns have powerfully influenced public opinion. Common themes during the 1960s and 1970s included the death of Lake Erie, the ravages of strip mining for coal, the confirmation of automobile exhaust as a key source of photochemical smog, the destruction of the ozone layer, and the threat of climate change. The ten-hour Annenberg Corporation for Public Broadcasting (CPB) project, *Race to Save the Planet*, is now common fare in environmental science telecourses.

Media coverage of specific accidents or sites has had some of the greatest impact on public awareness of environmental problems. Oil spills have provided especially vivid and troubling images. The wreck of the *Torrey Canyon* in 1967 off southern England was the first involving a supertanker, and a harbinger of even larger disasters to come, such as the *Exxon Valdez* spill in Alaska in 1989. The blowout of an oil well in California’s Santa Barbara Channel played nightly on

network television news programs. Scenes of oil-covered birds and muddy shorelines were powerful images in the battle for environmental awareness and commitment.

The Cuyahoga River in Cleveland was so polluted with petroleum waste that it actually caught fire twice. Love Canal, a forgotten chemical waste dump in Niagara Falls, New York, became the inspiration for passage of the Superfund Act, a tax on chemical companies to pay for cleanup of abandoned hazardous waste sites. It was also personal vindication for American environmental activist Lois Marie Gibbs (1951–), leader of the Love Canal Homeowners Association.

One air pollution episode in New York City was blamed for the deaths of about three hundred people. In response to another in Birmingham, Alabama, a federal judge ordered the temporary shutdown of local steel mills.

The loudest alarms raised against the growing use of nuclear power in the United States were sounded by the combination of the 1979 near-meltdown at Three Mile Island Nuclear Reactor in Pennsylvania and the subsequent Chernobyl Nuclear Power Station disaster in the Ukraine in 1986.

Media coverage and growing public awareness of pollution problems led to widespread support for corrective legislation. A large body of such legislation was passed between 1968 and 1980. Especially notable were the National Environmental Policy Act (NEPA), which created the Environmental Protection Agency (EPA), Clean Air and Water Acts, Superfund, and the Surface Mining Control and Reclamation Act (SMCRA). The latter required miners to reshape the land to near its original contour and to replace topsoil, both essential keys to the long-term recovery of the land.

Earlier noteworthy legislation includes the establishment in 1872 of Yellowstone as the world’s first national park; establishment of national wildlife refuges, forests, and parks, and the agencies to oversee them; and the creation of the Soil Conservation Service. The Wilderness Act of 1964 sought to set aside government land for nondestructive uses only.

Much has been accomplished, and environmental issues now command widespread public attention. A list of books, journals, environmental organizations, and relevant government agencies now fills six pages of small print in one popular environmental textbook. Nonetheless, important challenges lie ahead in the pursuit of a quality environment that will tax environmental organizations, government policymakers, and voters.

Some key issues for the future can be grouped into the following four categories: (1) covering rapidly

increasing costs as control standards reach higher and higher levels. The inexpensive and easy solutions have mostly been attempted. Solving the air pollution problems within the Los Angeles basin is a prime example of this challenge. (2) controlling phosphates (PO<sub>4</sub>) and nitrates (NO<sub>3</sub>) in waterways that require increasing commitment to tertiary (or chemical) sewage treatment plants. It may also be necessary to reroute all urban runoff through such plants. (3) finding solutions to the climate change problem, supported by ongoing scientific research, which will require alternative energy strategies, especially as large, newly emerging economies of China, India, Brazil, and other countries seek a growing share of the total energy pie. (4) improving meaningful environmental education and dialogue. There is a growing conservative trend and related hostility to environmental concerns among the younger population. Internationally, a concerted effort has been executed to incorporate environmental education and sustainable development into school curriculums. The United Nations has declared 2005–2014 the UN Decade of Education for Sustainable Development with the goal of integrating into all disciplines sustainable practices to preserve the environment.

Climate change, brought about by increasing amounts of pollutant deposition into our environment, is a current environmental issue that is being addressed internationally. Global warming of the environment will affect humans and all other organisms on planet Earth. The International Panel on Climate Change (IPCC) was established by the World Meteorological Organization (WMO) and the United Nations Environment Program (UNEP) to assemble world parties and address the available scientific information and the socio-economic issues related to climate change. Many participating countries have adopted legislation and policies to reduce pollution and its environmental impacts. Development of alternative energy sources that are efficient, renewable, and cost-effective with little or no environmental impact is one major issue being addressed to reduce dependence on non-renewable fossil fuels as well as reduce the greenhouse gas emissions that contribute to climate change.

See also Carson, Rachel; Malthus, Thomas; Marsh, George Perkins.

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Nathan H. Meleen

## Environmental impact assessment

An environmental impact assessment is a written analysis or process that describes and details the probable and possible effects of planned industrial or civil project activities on the ecosystem, resources, and environment. The National Environmental Policy Act (NEPA) first promulgated guidelines for environmental impact assessments with the intention that the environment receive proper emphasis among social, economic, and political priorities in governmental decision-making. This act required environmental impact assessments for major federal actions affecting the environment. Many states now have similar requirements for state and private activities. Such written assessments are called Environmental Impact Statements (EISs).

EISs range from brief statements to extremely detailed multi-volume reports that require many years of data collection and analysis. In general the environmental impact assessment process requires consideration and evaluation of the proposed project, its impacts, alternatives to the project, and mitigating strategies designed to reduce the severity of adverse effects. Multi-disciplinary teams in government agencies

and consulting firms complete the assessments. The experience of the United States Army Corps of Engineers in detailing the impacts of projects such as dams and waterways is particularly noteworthy, as the Corps has developed comprehensive methodologies to assess impacts of such major and complex projects. These include evaluation of direct environmental impacts as well as social and economic ramifications.

The content of the assessments generally follows guidelines in the National Environmental Policy Act. Assessments usually include the following sections:

- Background information describing the affected population and the environmental setting, including archaeological and historical features, public utilities, cultural and social values, topography, hydrology, geology and soil, climatology, natural resources, and terrestrial and aquatic communities;
- Description of the proposed action detailing its purpose, location, time frame, and relationship to other projects;
- The environmental impacts of proposed action on natural resources, ecological systems, population density, distribution and growth rate, land use, and human health. These impacts should be described in detail and include primary and secondary impacts, beneficial and adverse impacts, short and long term effects, the rate of recovery, and, importantly, measures to reduce or eliminate adverse effects;
- Adverse impacts that cannot be avoided are described in detail, including a description of their magnitude and implications;
- Alternatives to the project are described and evaluated. These must include the “no action” alternative. A comparative analysis of alternatives permits the assessment of environmental benefits, risks, financial benefits and costs, and overall effectiveness;
- The reason for selecting the proposed action is justified as a balance between risks, impacts, costs, and other factors relevant to the project;
- The relationship between short and long term uses and maintenance is described, with the intent of detailing short and long term gains and losses;
- Reversible and irreversible impacts;
- Public participation in the process is described;
- Finally, the EIS includes a discussion of problems and issues raised by interested parties, such as specific federal, state, or local agencies, citizens, and activists.

The environmental impact assessment process provides a wealth of detailed technical information. It has

been effective in stopping, altering, or improving some projects. However, serious questions have been raised about the adequacy and fairness of the process. For example, assessments may be too narrow or may not have sufficient depth. The alternatives considered may reflect the judgment of decision-makers who specify objectives, the study design, and the alternatives considered. Difficult and important questions exist regarding the balance of environmental, economic, and other interests. Finally, these issues often take place in a politicized and highly charged atmosphere that may not be amenable to negotiation. Despite these and other limitations, environmental impact assessments help to provide a systematic approach to sharing information that can improve public decision-making.

*See also* Risk assessment (public health).

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Stuart Batterman

## Environmental Impact Statement

The National Environmental Policy Act (1969) made all federal agencies responsible for analyzing any activity of theirs “significantly affecting the quality of the human environment.” Environmental Impact Statements (EIS) are the assessments stipulated by this act, and these reports are required for all large projects initiated, financed, or permitted by the federal government. In addition to examining the damage a particular project might have on the environment, federal agencies are also expected to review ways of minimizing or alleviating these adverse effects, a review that can include consideration of the environmental benefits of

abandoning the project altogether. The agency compiling an EIS is required to hold public hearings; it is also required to submit a draft to public review, and it is forbidden from proceeding until it releases a final version of the statement.

NEPA has been called “the first comprehensive commitment of any modern state toward the responsible custody of its environment,” and the EIS is considered one of the most important mechanisms for its enforcement. It is often difficult to identify environmental damages with remedies that can be pursued in court, but the filing of an EIS and the standards the document must meet are clear and definite requirements for which federal agencies can be held accountable. These requirements have allowed environmental groups to focus legal challenges on the adequacy of the report, contesting the way an EIS was prepared or identifying environmental effects that were not taken into account. The expense and the delays involved in defending against these challenges have often given these groups powerful leverage for convincing a company or an agency to change or omit particular elements of a project. Many environmental organizations have taken advantage of these opportunities by filing thousands of lawsuits based on improper EISs.

Although litigation over impact statements can have a decisive influence on a wide range of decisions in government and business, the legal status of these reports and the legal force of the NEPA itself are not as strong as many environmentalists believe they should be. The act does not require agencies to limit or prevent the potential environmental damage identified in an EIS. The Supreme Court upheld this interpretation in 1989, deciding that agencies are “not constrained by NEPA from deciding that other values outweigh the environmental costs.” The government, in other words, is required only to identify and evaluate the adverse impacts of proposed projects; it is not required, at least by NEPA, to do anything about them. Environmentalists have long argued that environmental protection needs a stronger legal grounding than this act provides.

In addition to the controversies over what should be included in these reports and what should be done about the information, there have also been a number of debates over who is required to file them. For example, an EIS is not required of all government agencies; the U.S. Department of Agriculture, for instance, is not required to file such reports on its commodity support programs.

Impact statements have been opposed by business and industrial groups since they were first introduced.

An EIS can be extremely costly to compile, and the process of filing and defending them can take years. Businesses can be left in limbo over projects in which they have already invested large amounts of money, and the uncertainties of the process itself have often stopped development before it has begun. In the debate about these statements, many advocates for business interests have pointed out that environmental regulation accounts for a significant percentage of all federal regulatory expenditures. They argue that impact statements restrict the ability of the United States to compete in international markets by forcing American businesses to spend money on compliance that could be invested in research or capital improvements. Many people believe that impact statements seriously delay many aspects of economic growth, and business leaders have questioned the priorities of many environmental groups, who seem to value conservation over social benefits such as high-levels of employment.

*See also* Economic growth and the environment; Environmental auditing; Environmental economics; Environmental impact assessment; Environmental Monitoring and Assessment Program; Environmental policy; Life cycle assessment; Risk analysis; Sustainable development.

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Douglas Smith

Environmental labeling see **Green Cross; Green seal.**

## Environmental law

Environmental law is concerned with protecting the planet and its people from activities that upset the earth and its life-sustaining capabilities, and it is aimed at controlling or regulating human activity toward that end. Until the 1960s most environmental legal

issues in the United States involved efforts to protect and conserve natural resources, such as forests and water. Public debate focused on who had the right to develop and manage those resources.

In the succeeding decades lawyers, legislators, and environmental activists increasingly turned their attention to the growing and pervasive problem of pollution. In both instances, environmental law—a term not coined until 1969—evolved mostly from a grassroots movement that forced Congress to pass sweeping legislation, much of which contained provisions for citizen suits. As a result the courts were thrust into a new era of judicial review of the administrative processes and of scientific uncertainty.

Initially environmental law formed around the principles of common law, which is law created by courts and judges that rests upon a foundation of judicial precedents. Environmental law, however, soon moved into the arena of administrative and legislative law, which encompasses most of today's environmental law. The following discussion looks at both areas of law, reviews some of the basic issues involved in environmental law, and outlines some landmark cases.

Three types of common law torts have been applied in environmental law with varying degrees of success. A tort is an intentional or negligent act that causes an injury for which the law provides a civil remedy. Trespass is one type of tort that has been applied in the environmental law setting. Trespass is the physical invasion of one's property, which has been interpreted to include situations such as air pollution, runoff of liquid wastes, or contamination of groundwater.

Closely associated with trespass are the torts of private and public nuisance. *Private nuisance* is interference with the use of one's property. Environmental examples include noise pollution, odors and other air pollution, and water pollution. The operation of a hazardous waste site fits the bill for private nuisance, where the threat of personal discomfort or disease interferes with the enjoyment of one's home. A *public nuisance* adversely affects the safety or health of the public or causes substantial annoyance or inconvenience to the public. In these situations the courts tend to balance the plaintiff's interest against the social and economic need for the defendant's activity.

Lastly, *negligence* involves the failure to exercise the care that a reasonable person would exercise under the circumstances. To prove negligence one must show that the defendant owed a duty to the plaintiff, that the defendant breached that duty, that the plaintiff suffered actual loss or damages, and that there is a

reasonable connection between the defendant's conduct and the plaintiff's injury.

These common law remedies have not been very effective in protecting the overall quality of the environment. The lawsuits and resulting decisions were fragmented and site specific as opposed to issue oriented. Further, they relied heavily on a level of hard scientific evidence that is elusive in environmental issues. For instance, a trespass action must be based on a somehow visible or tangible invasion, which is difficult if not impossible to prove in pollution cases. Common law presents other barriers to action. Plaintiffs must prove actual physical injury (so-called aesthetic injuries don't count) and a causal relationship to the plaintiff's activity, which again, is a difficult task in environmental issues.

In the early 1970s, environmental groups, aided by the media, focused public attention on the broad scope of the environmental crisis, and Congress reacted. It passed a host of comprehensive laws, including the Clean Air Act (CAA), the Endangered Species Act (ESA), the National Environmental Policy Act (NEPA), the Resource Conservation and Recovery Act (RCRA), the Toxic Substances Control Act (TSCA), and others. These laws, or statutes, are implemented by federal agencies, who gain their authority through "organic acts" passed by Congress or by executive order.

As environmental problems grew more complicated, legislators and judges increasingly deferred to the agencies' expertise on issues such as the health risk from airborne lead, the threshold at which a species should be considered endangered, or the engineering aspects of a hazardous waste incinerator. Environmental and legal activists then shifted their focus toward administrative law—challenging agency discretion and procedure as opposed to specific regulations—in order to be heard. Hence, most environmental law today falls into the administrative category.

Most environmental statutes provide for administrative appeals by which interest groups may challenge agency decisions through the agency hierarchy. If no solution is reached, the federal Administrative Procedures Act provides that any person aggrieved by an agency decision is entitled to judicial review.

The court must first grant the plaintiff "standing," the right to be a party to legal action against an agency. Under this doctrine, plaintiffs must show they have been injured or harmed in some way. The court must then decide the level of judicial review based on one of three issues—interpretation of applicable statutes,

factual basis of agency action, and agency procedure—and apply a different level of scrutiny in each instance.

Generally, courts are faced with five basic questions when reviewing agency action: Is the action or decision constitutional? Did the agency exceed its statutory authority or jurisdiction? Did the agency follow legal procedure? Is the decision supported by substantial evidence in the record? Is the decision arbitrary or capricious? Depending on the answers, the court may uphold the decision, modify it, remand or send it back to the agency to redo, or reverse it.

By far the most important statute that opened the administrative process to judicial review is NEPA. Passed in 1969 the law requires any agencies to prepare an Environmental Impact Statement (EIS) for any major federal actions, including construction projects and issuing permits. Environmental groups have used this law repeatedly to force agencies to consider the environmental consequences of their actions, attacking various procedural aspects of EIS preparation. For example, they often claim that a given agency failed to consider alternative actions to the proposed one, which might reduce environmental impact.

In filing a lawsuit, plaintiffs might seek an injunction against a certain action, say, to stop an industry from dumping toxic waste into a river, or stop work on a public project such as a dam or a timber sale that they claim causes environmental damage. They might seek compensatory damages for a loss of property or for health costs, for instance, and punitive damages, money awards above and beyond repayment of actual losses.

*Boomer v. Atlantic Cement Co.* (1970) is a classic common law nuisance case. The neighbors of a large cement plant claimed they had incurred property damage from dirt, smoke, and vibrations. They sued for compensatory damages and to enjoin, or stop, the polluting activities, which would have meant shutting down the plant, a mainstay of the local economy. The New York court rejected a long-standing practice and denied the injunction. Further, in an unusual move, the court ordered the company to pay the plaintiffs for present and future economic loss to their properties. A dissenting judge said the rule was a virtual license for the company to continue the nuisance so long as it paid for it.

*Sierra Club v. Morton* (1972) opened the way for environmental groups to act on behalf of the public interest, and of nature, in the courtroom. The Sierra Club challenged the U.S. Forest Service's approval of Walt Disney Enterprises' plan to build a \$35 million complex of motels, restaurants, swimming pools, and

ski facilities that would accommodate up to 14,000 visitors daily in Mineral King Valley, a remote, relatively undeveloped national game refuge in the Sierra Nevada Mountains of California. The case posed the now-famous question: Do trees have standing? The Supreme Court held that the Sierra Club was not "injured in fact" by the development and therefore did not have standing. The Sierra Club reworded its petition, gained standing, and stopped the development.

*Citizens to Preserve Overton Park v. Volpe* (1971) established the so-called "hard look" test to which agencies must adhere even during informal rule making. It opened the way for more intense judicial review of the administrative record to determine if an agency had made a "clear error of judgment." The plaintiffs, local residents and conservationists, sued to stop the U.S. Department of Transportation from approving a six-lane interstate through a public park in Memphis, Tennessee. The court found that Secretary Volpe had not carefully reviewed the facts on record before making his decision and had not examined possible alternative routes around the park. The case was sent back to the agency, and the road was never built.

*Tennessee Valley Authority v. Hill* (1978) was the first major test of the Endangered Species Act and gained the tiny snail darter fish fame throughout the land. The Supreme Court authorized an injunction against completion of a multi-million dollar dam in Tennessee because it threatened the snail darter, an endangered species. The court balanced the act against the money that had already been spent and ruled that Congress's intent in protecting endangered species was paramount.

*Just v. Marinette County* (1972) involved wetlands, the public trust doctrine, and private property rights. The plaintiffs claimed that the county's ordinance against filling in wetlands on their land was unconstitutional, and that the restrictions amounted to taking their property without compensation. The county argued it was exercising its normal police powers to protect the health, safety, and welfare of citizens by protecting its water resources through zoning measures. The Wisconsin appellate court ruled in favor of the defendant, holding that the highest and best use of land does not always equate to monetary value, but includes the natural value. The opinion reads, "we think it is not an unreasonable exercise of that [police] power to prevent harm to public rights by limiting the use of private property to its natural uses."

In 2007 the Supreme Court ruled in *Massachusetts v. Environmental Protection Agency* (549 U.S. 497) that carbon dioxide emissions qualified as a pollutant under standards set forth by the Environmental Protection

Agency (EPA) and that the EPA thus was obliged to regulate carbon dioxide as a pollutant. The EPA had previously declined to regulate carbon emissions.

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Cathryn McCue

## Environmental Law Institute

Environmental Law Institute (ELI) is an independent research and education center involved in developing environmental laws and policies at both national and international levels. The institute was founded in 1969 by the Public Law Education Institute and the Conservation Foundation to conduct and promote research on environmental law. In the ensuing years it has maintained a strong and effective presence in forums ranging from college courses to law conferences. For example, ELI has organized instructional courses at universities for both federal and non-governmental agencies. In addition, it has sponsored conferences in conjunction with such bodies as the American Bar Association, the American Law Institute, and the Smithsonian Institute.

Within the field of environmental law, ELI provides a range of educational programs and services. Through funding and endowments, the institute has

established judicial education programs nationwide to teach judges about environmental law issues.

ELI also offers various workshops to the general public. In New Jersey the institute provided a course designed to guide citizens through the state's environmental laws and thus enable them to better develop pollution-prevention programs in their communities. Broader right-to-know guidance has since been provided—in collaboration with the World Wildlife Fund—at the international level.

ELI's endeavors at the federal level include various interactions with the Environmental Protection Agency (EPA). The two groups worked together to develop the National Wetlands Protection Hotline, which answers public inquiries on wetlands protection and regulation, and to assess the dangers of exposure to various pollutants.

Since its inception, ELI has evolved into a formidable force in the field of environmental law. In 1991 it drafted a statute to address the continuing problem of lead poisoning in children. The institute has also worked—in conjunction with federal and private groups, including scientists, bankers, and even realtors—to address health problems attributable to radon gas.

ELI has compiled and produced several publications. Among the leading ELI books are *Law of Environmental Protection*, a two-volume handbook (updated annually) on pollution control law; *Practical Guide to Environmental Management*, a resource book on worker health and safety; and the *Environmental Law Deskbook*, a collection of environmental statutes and resources. The institute's principal periodical is *Environmental Law Reporter*, which provides analysis and coverage of topics ranging from courtroom decisions to regulation developments. ELI also publishes *Environmental Forum*—a policy journal intended primarily for individuals in environmental law, policy, and management—and *National Wetlands Newsletter*, which reports on ensuing developments—legal, scientific, regulatory—related to wetlands management.

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**ORGANIZATIONS**

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Les Stone

## Environmental liability

Environmental liability refers primarily to the civil and criminal responsibility in the environmental issues of hazardous substances that threaten to endanger public health. Compliance with the standards issued by the U.S. Environmental Protection Agency (EPA) became a major issue following the December 11, 1980, enactment by Congress of the original Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA). In 1986 the Superfund Amendments and Reauthorization Act (SARA) provided an amendment to CERCLA. The initial legislation created a tax on chemical and petroleum companies, and gave the federal government authority to handle the releases or threatened releases of the hazardous waste. That tax created \$1.6 billion over the first five years of the act, which was put into a trust fund to cover the costs of cleaning up abandoned or uncontrolled hazardous waste sites. When the Superfund was created, changes to the original legislation, as well as additions, were made that reflected the experience gained from the first years of administering the program. It also raised the trust fund to \$8.5 billion.

The issue of liability was made more complex following 1986, when regulations increased state involvement and encouraged greater citizen participation in the decisions of site cleanup. In addition to the civil liability of claims due to federal, state, and local governments, a possibility of criminal liability emerged as a matter of particular concern. As those who might be responsible, CERCLA defines four categories of individuals and corporations against whom judgment could be rendered, referred to as potentially responsible parties (PRPs):

- current owners or operators of a specific piece of real estate
- past owners if they owned or operated the property at the time of the hazardous contamination

- generators and possessors of hazardous substances who arranged for disposal, treatment, or transport
- certain transporters of hazardous substances

In acting under EPA-expanded powers, some states have provided exemptions from liability in certain cases. For example, the state of Wisconsin has provided that the person responsible for the discharge of a hazardous substance is the one who is required to report it, investigate it, and clean up the contamination. According to Wisconsin Department of Natural Resources information, the state defines the responsible person as the one who “causes, possesses, or controls” the contamination—the one who owns the property with a contaminant discharge or owns a container that has ruptured. Other Wisconsin exemptions include limiting liability for parties who voluntarily remediate contaminated property; limiting liability for lenders and representatives, such as banks, credit unions, mortgage bankers, and similar financial institutions, or insurance companies, pension funds, or government agencies engaged in secured lending; limiting liability for local government units; and, limiting liability for property affected by off-site discharge.

Courts have also acted in finding persons responsible not specifically listed as PRPs:

- lessees of contaminated property
- lessors of the contaminated property for contamination caused by their lessees
- landlords and lessees for the contamination cause by their sub-lessees
- corporate officers in their personal capacity
- shareholders
- parent corporations liable for their subsidiaries
- trustees and representatives personally liable for contaminated property owned by a trust or estate
- successor corporations
- donees
- lenders who foreclose on and subsequently manage contaminated property

Environmental liability continues into the early twenty-first century to be a matter of grave concern not only with land, but also in maritime issues. After the 2010 Deepwater Horizon oil spill in the Gulf of Mexico, the U.S. government assured citizens that BP, the company who owned the leaking well, would be held financially accountable for all damages done to the coastal environment and economy. BP created a \$20 billion fund to settle claims from those impacted by the spill. Additionally the EPA is permitted to assess fines for the amount of pollutants discharged during the several months that the damaged well spewed oil.

Some environmental advocates assert that the chemical dispersants used by to keep some oil from reaching shore should also be considered as pollutants for liability purposes.

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Jane E. Spear

## Environmental literacy and ecocriticism

Environmental literacy and ecocriticism refer to the work of educators, scholars, and writers to foster a critical understanding about environmental issues. Environmental literacy includes educational materials and programs designed to provide lay citizens and students with a broad understanding of the relationship between humans and the natural world, borrowing from the fields of science, politics, economics, and the arts. Environmental literacy also seeks to develop the knowledge and skills citizens and students may need to identify and resolve environmental crises, individually or as a group. Ecocriticism is a branch of literary studies that offers insights into the underlying philosophies in literature that address the theme of nature and have been catalysts for change in public consciousness concerning the environment.

Americans have long turned to literature and popular culture to develop, discuss, and communicate various ideals about the natural world. This literature has also made people think about the idea of progress: what constitutes advancement in culture, what are the goals of a healthy society, and how nature would be considered and treated by such a society. In contemporary times, the power and visibility of modern media in influencing these

debates is also widely recognized. Given this trend, understanding how these forms of communication work and developing them further to broaden public participation, which is a task of environmental literacy and ecocriticism, is vital to the environmental movement.

Educators and ecocritics take diverse approaches to the task of raising consciousness about environmental issues, but they share a collective concern for the global environmental crisis and begin with the understanding that nature and human needs require rebalancing. In that, they become emissaries, as American writer Barry Lopez (1945–) suggests in *Orion* magazine, who have to “reestablish good relations with all the biological components humanity has excluded from its moral universe.” For Lopez, as with many generations of nature writers, including American naturalist Henry David Thoreau (1817–1862), Scottish-born American John Muir (1838–1914), American Edward Abbey (1927–1989), American Terry Tempest Williams (1955–), and American Annie Dillard (1945–), the lessons to be imparted are learned from long experience with and observation of nature. Lopez suggests another pervasive theme, that observing the ever-changing natural world can be a humbling experience, when he writes of “a horizon rather than a boundary for knowing, toward which we are always walking.”

The career of Henry David Thoreau was one of the most influential and early models for being a student of the natural world and for the development of an environmental awareness through attentive participation within nature. Thoreau also made a fundamental contribution to American’s identification with the ideals of individualism and self-sufficiency. His most important work, *Walden*, was a book developed from his journal written during a two-and-a-half-year experiment of living alone and self-sufficiently in the woods near Concord, Massachusetts. Thoreau describes his process of education as an awakening to a deep sense of his interrelatedness to the natural world and to the sacred power of such awareness. This is contrasted to the human society from which he isolated himself, of whose utilitarianism, materialism, and consumerism he was extremely critical. Thoreau famously writes in *Walden*: “I went to the woods because I wished to live deliberately, to front only the essential facts of life, and see if I could not learn what it had to teach, and not, when I came to die, discover that I had not lived.” For Thoreau, living with awareness of the greater natural world became a matter of life and death.

Many educators have also been influenced by two founding policy documents, created by commissions

of the United Nations, in the field of environmental literacy. The Belgrade Charter (UNESCO-UNEP, 1976) and the Tbilisi Declaration (UNESCO, 1978) share the goal “to develop a world population that is aware of, and concerned about, the environment and its associated problems.” Later governmental bodies such as the Brundtland Commission (Brundtland, 1987), the United Nations Conference on Environment and Development in Rio (UNCED, 1992), and the Thessaloniki Declaration (UNESCO, 1997) have built on these ideas.

One of the main goals of environmental literacy is to provide learners with knowledge and experience to assess the health of an ecological system and to develop solutions to problems. Models for environmental literacy include curriculums that address key ecological concepts, provide hands-on opportunities, foster collaborative learning, and establish an atmosphere that strengthens a learner’s belief in responsible living. Environmental literacy in such programs is seen as more than the ability to read or write. As in nature writing, it is also about a sensibility that views the natural world with a sense of wonder and experiences nature through all the senses. The element of direct experience of the natural world is seen as crucial in developing this sensibility. The Edible Schoolyard program in the Berkeley, California, school district, for example, integrates an organic garden project into the curriculum and lunch program, where students become involved in the entire process of farming, while learning to grow and prepare their own food. The program aims to promote participation in and awareness of the workings of the natural world, and also to awaken all the senses to enrich the process of an individual’s development.

Public interest in environmental education came to the forefront in the 1970s. Much of the impetus as well as the funding for integrating environmental education into school curriculums comes from non-profit foundations and educators’ associations such as the Association for Environmental and Outdoor Education, the Center for Ecoliteracy, and The Institute for Earth Education. In 1990 the U.S. Congress created the National Environmental Education and Training Foundation (NEETF), whose efforts include expanding environmental literacy among adults and providing funding opportunities for school districts to advance their environmental curriculums. The National Environmental Education Act of 1990 directed the Environmental Protection Agency (EPA) to provide national leadership in the environmental literacy arena. To that end, the EPA established several initiatives including the Environmental Education Center as a resource for

educators and the Office of Environmental Education, which provides grants, training, fellowships, and youth awards.

The Public Broadcasting System also plays an active role in the promotion of environmental literacy as evidenced by the partnership of the Annenberg Foundation and the Corporation for Public Broadcasting to create and disseminate educational videos for students and teachers.

A common thread woven through these organizations is a definition of environmental learning that goes beyond simple learning to an appreciation of nature. However, appreciation is measured differently by each organization, and segments of the American population differ on which aspects of the environment should be preserved. In 1993 the North American Association for Environmental Education (NAAEE) established the National Project for Excellence in Environmental Education, which developed standards for environmental education. At the end of the 1990s, the George C. Marshall Institute directed an independent commission to study whether the goals of environmental education were being met. The Commission’s 1997 report found that curricula and texts vary widely on many environmental concepts, including what constitutes conservation. The Commission later became known as the Environmental Literacy Council, which hosts a wealth of background information and resources for both teachers and students concerning environmental issues on their website. With the inception of an Advanced Placement exam in Environmental Science by the College Board in 1997, many more high schools are offering Environmental Science as a course.

Thus, the main challenges to environmental literacy are the lack of unifying programs that would bring together the many approaches to environmental education, and the fact that there is inconsistent support for these programs from the government and public school system. Observers of environmental literacy movements suggest that the new perspectives that learners gain may often be at odds with the concerns and ethics of mainstream society, issues that writers such as Thoreau grappled with. For instance, consumerism and conservationism may be at opposite ends of the spectrum of how people interact with the natural world and its resources. To be effective, literacy initiatives must address these dilemmas and provide tools to solve them. Environmental literacy is thus about providing new ways of seeing the world, about providing language tools to address these new perceptions, and to provide ethical frameworks through which people can make informed choices on how to act.

Ecocriticism develops the tools of literary criticism to understand how the relationship of humans to nature is addressed in literature, as a subject, character, or as a component of the setting. Ecocritics also highlight the ways in which literature is a vehicle to create environmental consciousness. For critic William Rueckert, the scholar who coined the term *ecocriticism* in 1978, poetry and literature are the “verbal equivalent of fossil fuel, only renewable,” through which abundant energy is transferred between nature and the reader.

Ecocritics highlight aspects of nature described in literature, whether frontiers, rivers, regional ecosystems, cities, or garbage, and ask what the purposes of these descriptions are. Their interests have included understanding how historical movements such as the Industrial Revolution have changed the relationship between human society and nature, giving people the false illusion that they can completely control nature, for instance. Ecocriticism also brings together perspectives from various academic disciplines and draws attention to their shared purposes. Studies in ecology and cellular biology, for example, echo the theme of interconnectedness of the individual and the natural world seen in poetry, by demonstrating how the life of all organisms is dependent upon their ongoing interactions with the environment around them.

Although nature writers have expressed their philosophies of nature and reflected on their modes of communication since the nineteenth century, as a self-conscious practice, ecocriticism’s history did not begin until the late 1970s. By the 1990s it had gained wide currency. In his 1997 article “Wild Things,” published in *Utne Reader*, Gregory McNamee notes that courses in environmental literature are available at colleges across the nation and that “ecocriticism has become something of an academic growth industry.” In 1992 the Association for the Study of Literature and Environment (ASLE) was founded with the mission “to promote the exchange of ideas and information about literature and other cultural representations that consider that human relationships with the natural world.”

Nature’s role in theatre and film are also popular ecocriticism topics for academic study in the form of seminars on, for example, *The Nature of Shakespeare*, and suggested lists of commercial films for class discussion.

Ecocritics Carl Herndl and Stuart Brown suggest that there are three underlying philosophies in evaluating nature in modern society. The language used by institutions that make government policies usually regards nature as a resource to be managed

for greater social welfare. This is described as an ethnocentric perspective, which begins with the idea that one opinion or way of looking at the world is superior to others. Thus, the benefits of environmental issues are always measured against various political and social interests, and not seen as important simply in themselves.

Another viewpoint is the anthropocentric perspective, wherein human perspectives are central in the world and are the ultimate source of meaning. The specialized language of the sciences, which treats nature as an object of study, is an example of this. The researcher is seen as existing outside of or above nature, and science is grounded on the faith that humans can come to know all of nature’s secrets.

In contrast, poetry often describes nature in terms of its beauty and emotional and spiritual power. This language sees man as part of the natural world and seeks to harmonize human values and actions with a respect for nature. This is the ecocentric perspective, which means putting nature and ecology as the central viewpoint when considering the various interactions in the world, including human ones. That is, this perspective acknowledges that humans are a part and parcel of nature and ultimately depend upon the ecology’s living and complex interactions for survival.

Scholars make the distinction between environmental writing and other kinds of literature that use images of nature in some fashion or another. Environmental writing explores at length ecocentric perspectives. They include discussions about human ethical responsibility toward the natural world, such as in American ecologist Aldo Leopold’s (1887–1948) *A Sand County Almanac*, considered one of the best explorations of environmental ethics. Many ecocritics also share a concern for the environment, and one aim of ecocriticism is to raise awareness within the literary world about the environmental movement and nature-centered perspectives in understanding human relationships and cultural practices.

In *Silent Spring*, a major text in the field of environmental literacy and ecocriticism, American biologist Rachel Carson (1907–1964) writes that society faces two choices: to travel as it now does on a superhighway at high speed but ending in disaster, or to walk the less traveled other road which offers the chance to preserve the earth. The challenge of ecocriticism is to spread the word of the other road, and to simultaneously offer constructive criticism to the environmental movement from within.

See also Environmental education; Environmental ethics; Muir, John; Thoreau, Henry David.

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Douglas Dupler

Environmental mediation and arbitration see **Environmental dispute resolution.**

## Environmental monitoring

Environmental monitoring detects changes in the health of an ecosystem and indicates whether conditions are improving, stable, or deteriorating. This quality, too large to gauge as a whole, is assessed by measuring indicators, which represent more complex characteristics. The concentration of sulfur dioxide, for example, is an indicator that reflects the presence of other air pollutants. The abundance of a predator indicates the health of the larger environment. Other indicators include metabolism, population, biological and microbiological factors, community, and landscape. All changes are compared to an ideal, pristine ecosystem. The SER (stressor-exposure-response) model, a simple but widely used tool in environmental monitoring, classifies indicators as one of three related types:

- Stressors, which are agents of change associated with physical, chemical, or biological constraints on environmental processes and integrity. Many stressors are caused by humans, such as air pollution, the use of pesticides and other toxic substances, or habitat change caused by forest clearing. Stressors can also be natural processes, such as wildfire, hurricanes, volcanoes, and climate change.
- Exposure indicators, which link a stressor's intensity at any point in time to the cumulative dose received. Concentrations or accumulations of toxic substances are exposure indicators; so are clear-cutting and urbanization.
- Response indicators, which shows how organisms, communities, processes, or ecosystems react when

exposed to a stressor. These include changes in physiology, productivity, or mortality, as well as changes in species diversity within communities and in rates of nutrient cycling.

The SER model is useful because it links ecological change with exposure to environmental stress. Its effectiveness is limited, however. The model is a simple one, so it cannot be used for complex environmental situations. Even with smaller-scale problems, the connections between stressor, exposure, and response are not understood in many cases, and additional research is required.

Environmental monitoring programs are usually one of two types, extensive or intensive. Extensive monitoring occurs at permanent, widely spaced locations, sometimes using remote-sensing techniques. Monitoring provides an overview of changes in the ecological character of the landscape, often detecting regional trends. Environmental monitoring also measures the effects of human activities such as farming, forestry, mining, and urbanization. Information from extensive monitoring is often collected by the government to determine such variables as water and air quality, to calculate allowable forest harvests, to set bag limits for hunting and fishing, and to establish the production of agricultural commodities.

Extensive monitoring usually measures stressors (such as emissions) or exposure indicators (concentration of pollutants in the air). Response indicators, if measured by all in these programs, almost always have some economic importance (damage to forest or agricultural crops). Distinct species or ecological processes do not have economic value and are not usually assessed in extensive-monitoring programs, even though these are the most relevant indicators of ecological integrity.

Intensive monitoring is used for detailed studies of structural and functional ecology. Unlike extensive monitoring, a relatively small number of sites provide information on stressors, such as climate change and acid rain. Intensive monitoring is also used to conduct experiments in which stressors are manipulated and the responses studied, for example by acidifying or fertilizing lakes, or by conducting forestry over an entire watershed. This research, aimed at understanding the dynamics of ecosystems, helps develop ecological models that distinguish between natural and anthropogenic (human-caused) change.

Support for ecological monitoring of either kind has been weak, although more countries are beginning

programs and establishing networks between monitoring sites. The United States has founded the Long-Term Ecological Research (LTER) Network to study extensive ecosystem function, but little effort is directed toward understanding environmental change. The Environmental Monitoring and Assessment Program (EMAP) of the Environmental Protection Agency (EPA), which concluded in 2006, collected environmental data and studied intensive environmental change, but its activities were not integrated with LTER. In comparison, an ecological-monitoring network being designed by the government of Canada to study changes in the environment will integrate both extensive and intensive monitoring.

Communication between the two types of monitoring is important for several reasons. Intensive information provides a deeper understanding of the meaning of extensive-monitoring indicators. For example, it is much easier to measure decreases in surface-water pH and alkalinity caused by acid rain than to monitor resulting changes in fish or other biological variables. These criteria can, however, be measured at intensive-monitoring sites, and their relationships to pH and alkalinity can be used to predict effects on fish and other fauna at extensive sites where only pH and alkalinity are monitored.

The ultimate goal of environmental monitoring is to measure, anticipate, and prevent the deterioration of ecological integrity. Healthy ecosystems are necessary for healthy societies and sustainable economic systems. Environmental monitoring programs can accomplish these goals, but they are expensive and require a substantial commitment by government.

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## Environmental Monitoring and Assessment Program

The Environmental Monitoring and Assessment Program (EMAP), established in 1990 by the Environmental Protection Agency (EPA), is a federal project designed to create a continually updated survey of ecological resources in the United States. This comprehensive list monitors and links resource data from several U.S. agencies, including the National Oceanic and Atmospheric Administration, the Fish and Wildlife Service, and the U.S. Department of Agriculture.

Research from the program is intended to illustrate changes in specific ecosystems in the United States and to determine if those changes could have resulted from “human-induced stress.” In addition to risk assessment, the EPA uses EMAP improve scientific understanding of ecosystems.

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Environmental Monitoring and Assessment Program, [emap@epa.gov](mailto:emap@epa.gov), <http://www.epa.gov/emap>

## Environmental policy

An environmental policy can be strictly defined as a government’s chosen course of action or plan to address issues such as pollution, wildlife protection, land use, energy production and use, waste generation, and waste disposal. However, the way a particular government handles environmental problems is most

often not a result of a conscious choice from a set of alternatives. More broadly, then, a government’s environmental policy may be characterized by examining the overall orientation of its responses to environmental challenges as they occur, or by defining its policy as the sum of plans for, and reactions to, environmental issues made by any number of different arms of government.

A society’s environmental policy will be shaped by the actions of its leaders in relation to the five following questions:

- Should government intervene in the regulation of the environment or leave resolution of environmental problems to the legal system or the market?
- If government intervention is desirable, at what level should that intervention take place? In the United States, for example, how should responsibility for resolution of environmental problems be divided between and among federal, state, and local governments and who should have primary responsibility?
- If government intervenes at some level, how much protection should it give? How safe should the people be and what are the economic trade-offs necessary to ensure that level of safety?
- Once environmental standards have been set, what are the methods to attain them? How does the system control the sources of environmental destruction so that the environmental goals are met?
- Finally, how does the system monitor the environment for compliance to standards and how does it punish those who violate them?

### Policy in the United States

The United States has no single, overarching environmental policy, and its response to environmental issues—subject to conflicting political, corporate and public influence, economic limitation, and scientific uncertainty—is rarely monolithic. American environmental policies are an amalgamation of Congressional, state and local laws, regulations and rules formulated by agencies to implement those laws, judicial decisions rendered when those rules are challenged in court, and programs undertaken by private businesses and industry, as well as trends in public concerns.

In Congress many environmental policies were originally formed by what are commonly known as “iron triangles.” These involve three groups of actors who form a powerful coalition: the Congressional committee with jurisdiction over the issue; the relevant federal agency handling the problem; and the interest group representing the particular regulated industry.

For example, the key actors in forming policy on clear-cutting in the national forests are the House Subcommittee on Forests, Family Farms and Energy, the U.S. Forest Service (USFS), and the National Forest Products Association, which represents many industries dependent on timber.

For more than a century, conservation and environmental groups worked at the fringes of the traditional “iron triangle.” Increasingly, however, these public interest groups, which derived their financial support and sense of mission from an increasing number of citizen members, began gaining more influence. Scientists, whose studies and research play a pivotal role in decision-making, also began to emerge as major players.

### The watershed years

Catalyzed by vocal, energetic activists and organizations, the emergence of an “environmental movement” in the late 1960s prompted the government to grant environmental protection a greater priority and visibility. In 1970, the year of the first celebration of Earth Day, the federal government implemented landmark environmental legislation, Clean Air Act and the National Environmental Policy Act of 1969. U.S. President Richard Nixon also created the Environmental Protection Agency (EPA), which was given control of many environmental policies previously administered by other agencies. In addition, some of the most serious problems, such as DDT and mercury contamination, began to be addressed between 1969 and 1972. Yet, environmental policies in the 1970s developed largely in an adversarial setting pitting environmental groups on one side and the traditional iron triangles on the other.

The first policies that came out of this era were designed to clean up visible pollution—clouds of industrial soot and dust, detergent-filled streams, and so forth—and employed “end-of-pipe” solutions to target point sources, such as waste-water-discharge pipes, smokestacks, and other easily identifiable emitters.

An initial optimism generated by improvements in air and water quality was dashed by a series of frightening environmental episodes at Times Beach, Missouri; Three Mile Island, Pennsylvania; Love Canal, New York; and other locations. Such incidents (as well as memory of the devastation caused by the recently-banned DDT) shifted the focus of public concern to specific toxic agents. By the early 1980s a fearful public led by environmentalists had steered governmental policy toward tight regulation of individual, invisible toxic substances—dioxin, PCBs and others—by

backing measures limiting emissions to within a few parts per million. Without an overall governmental framework for action, the result has been a multitude of regulations and laws that address specific problems in specific regions that sometimes conflict and often fail to protect the environment in a comprehensive manner. “It’s been reactionary, and so we’ve lost the integration of thought and disciplines that is essential in environmental policy making,” says Carol Browner, former administrator of the U.S. EPA.

The 1980 Comprehensive Environmental Response, Compensation and Liability Act (CERCLA), or Superfund toxic waste program grew as much out of the public’s perception and fear of toxic waste as it did from crude scientific knowledge of actual health risks. Roughly \$2 billion dollars a year was spent cleaning up a handful of the nation’s worst toxic sites to near pristine condition. EPA officials now believe the money could have been better spent cleaning up more sites, although to a somewhat lesser degree.

### Current trends in environmental policy

Today, governmental bodies and public interest groups are drawing back from “micro management” of individual chemicals, individual species, and individual industries to focus more on the interconnections of environmental systems and problems. This new orientation has been shaped by several (sometimes conflicting) forces, including:

- industrial and public resistance to tight regulations fostered by fears that such laws impact employment and economic prosperity;
- financial limitations that prevent government from carrying out tasks related to specific contaminants, such as cleaning up waste sites or closely monitoring toxic discharges;
- a perception that large-scale, global problems such as the greenhouse effect, ozone layer depletion, habitat destruction, and the like should receive priority;
- the emergence of a preventative orientation on the part of citizen groups that attempts to link economic prosperity with environmental goals. This approach emphasizes recycling, efficiency, and environmental technology and stresses the prevention of problems rather than their remediation after they reach a critical stage. This strategy also marks an attempt by some citizen organizations to a more conciliatory stance with industry and government.

Since the 1990s scientists and policymakers have stressed the need for international cooperation to tackle global environmental issues, including global climate change and sustainable development. The

Kyoto Protocol, which was adopted in 1997 and entered force in 2005, is an international environmental treaty designed to stabilize greenhouse gas emissions. Although the Kyoto Protocol expires at the end of 2012, the treaty highlighted the need for a coordinated international response to reduce greenhouse emissions. Policymakers hope to have a new greenhouse gas emissions reduction treaty in place by the time the Kyoto Protocol expires.

See also Pollution Prevention Act (1990).

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## Environmental Protection Agency (EPA)

The Environmental Protection Agency (EPA) was established in July 1970, a landmark year for environmental concerns, having been preceded by the implementation of the National Environmental Policy Act

(NEPA) in January 1970, the passage of the Clean Air Act (CAA) Extension of 1970, and the celebration of the first Earth Day in April 1970. President Richard Nixon and Congress, working together in response to the growing public demand for cleaner air, land, and water, sought to create a new agency of the federal government structured to make a coordinated attack on the pollutants that endanger human health and degrade the environment. The EPA was charged with repairing the damage already done to the environment and with instituting new policies designed to maintain a clean environment.

The EPA's mission is "to protect human health and to safeguard the natural environment." At the time the EPA was formed, at least fifteen programs in five different agencies and cabinet-level departments were handling environmental policy issues. For the EPA to work effectively, it was necessary to consolidate the environmental activities of the federal government into one agency. Air pollution control, solid waste management, radiation control, and the drinking water program were transferred from the U.S. Department of Health, Education and Welfare (currently known as the U.S. Department of Health and Human Services). The water pollution control and pesticides research programs were acquired from the U.S. Department of the Interior. Registration and regulation of pesticides was transferred from the U.S. Department of Agriculture, and the responsibility for setting tolerance levels for pesticides in food was acquired from the Food and Drug Administration. The EPA also took over from the Atomic Energy Commission the responsibility for setting some environmental radiation protection standards and assumed some of the duties of the Federal Radiation Council.

For some environmental programs, the EPA works with other agencies: for example, the United States Coast Guard and the EPA work together on flood control, shoreline protection, and dredging and filling activities. And, since most state governments in the United States have their own environmental protection departments, the EPA delegates the implementation and enforcement of many federal programs to the states.

The EPA's headquarters is in Washington, DC, and there are ten regional offices and field laboratories. The main office develops national environmental policy and programs, oversees the regional offices and laboratories, requests an annual budget from Congress, and conducts research. The regional offices implement national policies, oversee the environmental programs that have been delegated to the states, and review

Environmental Impact Statements for federal actions. The field laboratories conduct research, the data from which are used to develop policies and provide analytical support for monitoring and enforcement of EPA regulations, and for the administration of permit programs.

The administrator of the EPA is appointed by the president, subject to approval by the Senate. The same procedure is used to appoint a deputy administrator, who assists the administrator, and nine assistant administrators, who oversee programs and support functions. Other posts include the chief financial officer, who manages the EPA's budget and funding operations; the inspector general, who is responsible for investigating environmental crimes; and a general counsel, who provides legal support.

In addition to the administrative offices, the EPA is organized into the following program offices: the Office of Air and Radiation; the Office of Chemical Safety and Pollution; the Office of Environmental Information; the Office of International and Tribal Affairs, which includes the American Indian Environment Office; the Office of Research and Development; the Office of Water; and the Office of Solid Waste and Emergency Response; and the Office of Water.

One of the major activities of the EPA is the management of Superfund sites. For many years uncontrolled dumping of hazardous chemical and industrial wastes in abandoned warehouses and landfills continued without concern for the potential impact on public health and the environment. Concern over the extent of the hazardous waste site problem led Congress to establish the Superfund Program in 1980 to locate, investigate, and clean up the worst such sites. The EPA Office of Solid Waste and Emergency Response, oversees management of the program in cooperation with individual states. When a hazardous-waste site is discovered, the EPA is notified. The EPA makes a preliminary assessment of the site and gives a numerical score according to Hazard Ranking System (HRS), which determines whether the site is placed on the National Priorities List (NPL). As of 2009 more than 1,250 sites were listed on the final NPL. The final NPL lists Superfund sites in which the clean-up plan is under construction or ongoing. NPL proposed sites include sites for which the HRS indicates that placement on the final NPL is appropriate. A final NPL site is deleted from the list when it is determined that no further clean up is needed to protect human health or the environment. Finally, under Superfund's redevelopment program, former hazardous-waste sites have been remade into office buildings, parking lots, or even golf courses to be re-integrated as productive parts of the community.

The offices and programs of the EPA recognize a set of main objectives, or core functions. These core functions help define the agency's mission and provide a common focus for all agency activities. The core functions are:

- **Pollution Prevention**—taking measures to prevent pollution from being created rather than only cleaning up what has already been released, also known as source reduction
- **Risk Assessment and Risk Reduction**—identifying problems that pose the greatest risk to human health and the environment and taking measures to reduce those risks
- **Science, Research and Technology**—conducting research that will help in developing environmental policies and promoting innovative technologies to solve environmental problems
- **Regulatory Development**—developing requirements such as operating procedures for facilities and standards for emissions of pollutants
- **Enforcement**—assuring compliance with established regulations
- **Environmental Education**—developing educational materials, serving as an information clearinghouse, and providing grant assistance to local educational institutions



**Environmental Protection Agency scientists Peter Kalla (L) and Archie Lee (R) carry samples collected May 3, 2010, on the beach in Biloxi, Mississippi, in anticipation of the oil spill from the BP *Deepwater Horizon* platform disaster. (STAN HONDA/AFP/Getty Images)**

Many EPA programs are established by legislation enacted by Congress. For example, most activities carried out by the Office of Solid Waste and Emergency Response originated in the Resource Conservation and Recovery Act (RCRA). Among other laws that form the legal basis for the programs of the EPA are the National Environmental Policy Act (NEPA) of 1969, which represents the basic national charter of the EPA, the Clean Air Act (CAA) of 1970, the Occupational Safety and Health Act (OSHA) of 1970, the Endangered Species Act (ESA) of 1973, the Safe Drinking Water Act (SDWA) of 1974, the Toxic Substances Control Act (TSCA) of 1976, the Clean Water Act (CWA) of 1977, the Superfund Amendments and Reauthorization Act (SARA) of 1986, and the Pollution Prevention Act (PPA) of 1990. It is through such legislation that the EPA obtains authority to develop and enforce regulations. Environmental regulations drafted by the agency are subjected to intense review before being finalized. This process includes approval by the President's Office of Management and Budget and input from the private sector and from other government agencies.

Growing public concern about water pollution led to a landmark piece of legislation, the Federal Water Pollution Control Act of 1972, amended in 1977, and commonly known as the Clean Water Act. The Clean Water Act gives the EPA the authority to administer pollution control programs and to set water quality standards for contaminants of surface waters.

In 2007 the Supreme Court of the United States ruled in *Massachusetts v. Environmental Protection Agency* that the EPA may regulate carbon dioxide and other greenhouse gases as pollutants under the Clean Air Act. The EPA, under the administration of U.S. President George W. Bush, had asserted that the EPA did not have the authority under the Clean Air Act to regulate greenhouse gases as pollutants. In 2003 the EPA had asserted that, even if it had the authority to regulate greenhouse gases, it would refuse to do so. The Court ruled that the EPA, not only has the authority to regulate greenhouse gases, but the EPA must regulate greenhouse gases unless "it determines that greenhouse gases do not contribute to climate change, or if it provides some reasonable explanation as to why it cannot or will not exercise its discretion to determine whether they do."

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## Environmental racism

The term environmental racism was coined by Reverend Dr. Benjamin F. Chavis Jr. in a 1987 study conducted by the United Church of Christ that examined the location of hazardous waste dumps and found an "insidious form of racism." Concern had surfaced five years before, when opposition to a polychlorinated biphenyl (PCB) landfill prompted Congress to examine the location of hazardous waste sites in the Southeast, the Environmental Protection Agency (EPA)'s Region IV. They found that three of the four facilities in the area were in communities primarily inhabited by people of color. Subsequent studies, such as Ben Goldman's *The Truth about Where You Live*, have contended that exposure to environmental risks is significantly greater for racial and ethnic minorities than for nonminority populations. However, an EPA study contends that there is not enough data to draw such broad conclusions.

The *National Law Journal* found that official response to environmental problems may be racially biased. According to their study, penalties for environmental crimes were higher in white communities. They also found that the EPA takes 20 percent longer to place a hazardous waste site in a minority community on the Superfund's National Priorities List (NPL). And, once assigned to the NPL, these clean ups are more likely to be delayed.

Advocates also contend that environmentalists and regulators have tried to solve environmental problems without regard for the social impact of the solutions. For example, the Los Angeles Air Quality Management District wanted to require businesses to set up programs that would discourage their employees from driving to work. As initially conceived, employers could have simply charged fees for parking

spaces without helping workers set up car pools. The Labor-Community Strategy Center, a local activist group, pointed out that this would have disproportionately affected people who could not afford to pay for parking spaces. As a compromise, regulators now review employers' plans and only approve those that mitigate the any unequal effects on poor and minority populations.

In response to the concern that traditional environmentalism does not recognize the social and economic components of environmental problems and solutions, a national movement for "environmental and economic justice" has spread across the country. Groups such as the Southwest Network for Environmental and Economic Justice attempt to frame the environment as part of the fight against racism and other inequalities.

In addition, the federal government has begun to address the debate over environmental racism. In 1992 the EPA established an Environmental Equity office. In addition, several bills that advocate environmental justice have been introduced. A 2007 study by the University of Colorado at Boulder, examined the sixty-one largest metropolitan areas in the United States to determine patterns in environmental racism. The study indicated that, although African-American and Hispanic residents generally live in more polluted areas of cities than Caucasians, the levels of inequality varied widely from city to city.

*See also* Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA); Environmental economics; Environmental law; South.

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## Environmental refugees

The term environmental refugee was coined in the late 1980s by the United Nations Environment Programme and refers to people who are forced to leave their community of origin because the land can no longer support them. Environmental factors such as soil erosion, drought, or floods, which are often coupled with poor socioeconomic conditions, are the cause of this loss of stability and security. Many environmental influences may cause such a displacement and include the deterioration of agricultural land, natural or "unnatural" disasters, climate change, the destruction resulting from war, and environmental scarcity.

Environmental scarcity can be supply induced, demand induced, or structural. Supply-induced scarcity refers to the depletion of agricultural resources, as in the erosion of cropland or overgrazing. Demand-induced scarcity occurs when consumption of a resource increases or when population increases, as is occurring in countries such as Philippines, Kenya, and Costa Rica. Structural scarcity results from the unequal social distribution of a resource within a community. The causes of environmental scarcity can occur simultaneously and in combination with each other, as seen in South Africa during the years of apartheid. Approximately 60 percent of the cropland in South Africa is marked by low organic content, and half of the country receives less than 19.5 inches (500 mm) of annual precipitation. When these factors were coupled with the rapid soil erosion, overcrowding, and unequal social distribution of resources, environmental scarcity resulted. Other environmental influences such as climate change and natural disasters can greatly compound the problems related to scarcity. Those countries which are especially vulnerable to these other influences are those which are already experiencing the precursors to scarcity, for example, highly populated countries such as Egypt and Bangladesh. Environmental problems place an added burden on a situation that is already under pressure. When this combination occurs in societies without strong social ties or political and economic stability, many times the people within the population have no choice but to relocate.

Environmental refugees tend to come from rural areas and developing countries—those most vulnerable to the influences of scarcity, climate change, and natural disasters. According to the Centers for Disease Control and Prevention, since the early 1960s most emergencies involving refugees have taken place in



Having just walked for two days from Eritrea with her family, Fatima Hassan, 32, constructs her home using sticks and woven mats that she carried, in a refugee camp in Suola, Ethiopia, 2008. (AP Photo / Mandatory Credit: John Stanmeyer / VII)

these less developed countries where resources are inadequate to support the population during times of need. In 1995 CDC directed forty-five relief missions to developing countries such as Angola, Bosnia, Haiti, and Sierra Leone.

The number of displaced people is rising worldwide. Of these, the number forced to migrate because of economic and environmental conditions is growing more rapidly than refugees from political strife. According to Dr. Norman Myers at the University of Oxford, there are 25 million environmental refugees today, compared with 20 million officially recognized refugees migrating due to political, religious, or ethical problems. It has been predicted that by the year 2010, this number could rise to 50 million. The number of migrants seeking environmental refuge is grossly underestimated because many do not actually cross borders but are forced to wander within their own country. As global warming causes major climate changes, these numbers could increase even more. Climate change alone may displace 150 million more people by the middle of the next century. Not only would a global climate change increase the number

of refugees, it could have a negative impact on agricultural production that would seriously limit the amount of food surpluses available to help displaced people.

Although approximately three out of every five refugees are fleeing from environmental hardships, this group of refugees is not legally recognized. According to the 1951 Convention on the Status of Refugees as modified by the 1967 Protocol, a legal refugee is a person who escapes a country and cannot re enter due to fear of persecution for reasons of race, religion, nationality, social affiliation, or political opinion. This definition requires both the element of persecution as well as cross-border migration. Because of these two requirements, states are not legally compelled to recognize environmental refugees; as mentioned above, many of these refugees never leave their own country, and it is unreasonable to expect them to prove fear of persecution. Environmental refugees are often forced to enter a country illegally because they cannot be granted protection or asylum. Many of the Mexican immigrants who enter the United States are escaping the sterile, unproductive land they have been living on. Over 60

percent of the land in Mexico is degraded, with soil erosion contributing to over 494,000 acres (200,000 ha) more land being rendered unproductive every year. Many of the people considered to be economic migrants are actually environmental refugees. Those that are recognized as political refugees often must live in overcrowded refugee camps which are no more prepared to sustain the population than the land they are escaping from. Many environmental refugees never resettle and must live the rest of their lives migrating from place to place, looking for land that can sustain them.

Researchers are currently working on ways to predict where the next large migrations will come from and how to prevent them from occurring. Predictive models are extremely difficult to produce because of the interaction between the socioeconomic status of the people and the environmental influences on the land. Stuart Liederman, an environmental scientist at the University of New Hampshire, creates predictive models to determine which areas are at risk of producing environmental refugees. This model is a mathematical formula that could be used with any population. One side of the equation combines the rate of environmental decay, the amount of time over which this deterioration will take place, and the susceptibility of the people and the environment. The other side of the equation combines the restoration rate, the time it would take to reestablish the environment, and the potential for recovery of the people and the land. These predictions will allow for preventive measures to be taken, for preparations to be made for future refugees, and for restoring the devastated homelands of past migrants. Creation of a working model may also help convince policymakers that those escaping unlivable environmental conditions need to be legally recognized as refugees.

Recently, researchers investigated the possibility of large numbers of environmental refugees being displaced by rising sea levels caused by global climate change. Researchers estimate that rising sea levels could displace as many as 150 million people in the next fifty to one hundred years. In 2005 sea level changes caused by melting glaciers in the Himalayas produced permanent flooding of Bhola Island in Bangladesh. Approximately 500,000 people were left homeless, with many eventually settling in slums in Dhaka, the capital of Bangladesh. Scientists estimate that Bangladesh could lose up to 20 percent of its land area to a rise in sea levels by 2030, which would displace millions of Bangladeshis.

Until environmental refugees are granted legal status, there will be no protection or compensation

granted these individuals. The most effective way to deal with the growing number of displaced persons is to concentrate on the reasons they are being forced to leave their homelands. The increasing number of people forced to leave their homeland due to ecological strife is an indicator of environmental quality. Environmental protection is necessary to prevent the situation in many countries from getting worse. In the meantime, measures must be taken to accommodate the needs of these refugees, and the environment must be recognized as a legitimate source of conflict for individuals seeking protection in other lands.

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## Environmental resources

An environmental resource is any material, service, or information from the environment that is valuable to society. This can refer to anything that people find useful in their environs, or surroundings. Food from plants and animals; wood for cooking, heating, and building; metals; coal; and oil are all environmental resources. Clean land, air, and water are environmental resources as are the abilities of land, air, and water to absorb society's waste products. Heat from the sun, a beautiful view, the discovery of a new species are all environmental resources, or transportation and recreation in lakes, rivers, and oceans.

The environment provides a vast array of materials and services that people use to live. Often these resources have competing uses and values. A piece of land, for instance, could be used as a farm, a park, a parking lot, or a housing development. It could be mined or used as a garbage dump. The topic of environmental resources, then, raises the question, what

do people find valuable in their environment, and how do people choose to use the resources that their environment provides?

Some resources are renewable, or infinite, and some are non-renewable, or finite. Renewable resources such as energy from the sun are plentiful and will be available for a long time. Finite resources, such as oil and coal, are non-renewable because once they are extracted from the earth and burned they cannot be used again. These resources are in limited supply and need to be used carefully. Many resources are becoming more and more limited, especially as population and industrial growth place increasing pressure on the environment. Before the Industrial Revolution, for example, people relied on their own strength and their animals for work and transportation. The invention of the steam engine in the 1850s radically altered peoples' ability to do work and to consume energy. Today society has transformed the environment with machines, cars, and power plants and in the process has burnt extraordinary amounts of coal, oil, and natural gas. Some predict that world coal deposits will last another 200 years, while oil and natural gas reserves will last another one hundred years at current rates of consumption. This rate of use is clearly not sustainable. The terms finite and infinite are important because they indicate how much of a given resource is available, and how fast people can use that resource without limiting future supplies.

Some resources that were once taken for granted are now becoming more valuable. One of these resources is the environment's ability to absorb the waste that people produce. In Jakarta, Indonesia, people living in dense settlements along numerous tidal canals use their only water supply for bathing, washing clothes, drinking water, fishing, and as a toilet. It is common to see people bathing just down stream from others who may be defecating directly into the river. This scene illustrates a central problem in environmental resource management: worldwide, there are populations that have only one water source, but many water needs. The demands that they place on these resources seriously affect the health and quality of life for all. Thoughtful management of such environmental resources could alleviate environmental degradation of water quality and river ecosystems while improving on other human uses of the same resource. As people make decisions about what they will take from their environment, they also must be conscious of what they intend to put back into that environment.

Resource economics was established during a time in human history when environmental resources

were thought to be limitless and without value until they were harvested and brought to market. From this viewpoint, the world is big enough that when one resource is exhausted another resource can be found to take its place. Land is valuable according to what can be taken from it to make a profit. This kind of management leads to enormous short-term gains and is responsible for the speed and efficiency of economic growth throughout the world. This view overlooks longer term profits and the reality that the world is an increasingly small, interconnected, and fragile system. People can no longer assume that they can find fresh supplies when they use up what they have. Very few places on earth remain untouched and unexploited.

The world's remaining forests, if managed with care, could supply all of society's needs for timber and still remain relatively healthy and intact. Forest resources can be renewable, because forests grow quickly enough to replace themselves if used in moderation. Unfortunately, in many places forests are being destroyed at an alarming rate. In Costa Rica, Central America, 28 percent of the remaining forest land has disappeared since 1970. These forests have been cleared to harvest tropical hardwoods, to create farmland and pasture for animals, and to forage wood for cooking and heating. In a country struggling for economic growth, these are all important needs, but they do not always make long-term economic sense. Farmers who graze cattle in tropical rain forests or who clear trees off of steep hillsides destroy their land in a matter of years with the idea that this is the fastest way to make money. In the same way, loggers harvest trees for immediate sale, even though many of these trees take hundreds of years to replenish themselves. In fact, the price for tropical hardwoods went up four-fold between 1970 and 2010. Often the soil on this land quickly erodes downhill into streams and rivers, clogging the rivers with sediment and killing fish and other wildlife. This can also damage hydroelectric and irrigation dams and hurt the fishing industry.

Despite these tragic losses, Costa Rica is a model in Central America and in the world for finding alternative uses for its natural resources. Costa Rica has set aside one-fifth of its total land area for nature preserves and national park lands. These beautiful and varied parks are valuable for several reasons. First, they help to protect and preserve a huge diversity of tropical species, many undiscovered and unstudied. Second, they protect a great deal of vegetation that is important in producing oxygen, stabilizing atmospheric chemistry, and preventing global climate change. Third, the natural beauty of these parks attracts many international tourists. Tourism is one of

Costa Rica's major industries, providing much needed economic development. People from around the world appreciate the beauty and the wonder—the intangible values—of these resources. Local people who would have been hired temporarily to cut down a forest can now be hired for a lifetime to work as park rangers and guides. Some would also argue that these nature preserves have value in themselves without reference to human needs, simply because they are filled with beautiful living birds, insects, plants, and animals.

Much of the dialogue in environmental resource management is about the need to balance the needs for economic growth and prosperity with needs for sustainable resource use. In a limited, finite world, there is a need to close the gap between the rates of consumption and rates of supply. The debate over how to assign value to different environmental resources is a lively one because the way that people think about their environment directly affects how they interact with the world.

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John Cunningham

Environmental restoration see **Restoration ecology.**

Environmental risk analysis see **Risk analysis.**

## Environmental science

Environmental science is not constrained within any one discipline; it is a comprehensive field. A considerable amount of environmental research is accomplished in specific departments such as chemistry, physics, civil engineering, or the various biology disciplines. Much of this work is confined to a single field, with no interdisciplinary perspective. These programs produce scientists who build on their specific training to continue work on environmental problems, sometimes in a specific department, sometimes in an interdisciplinary environmental science program.

Many new academic units are interdisciplinary, their members and graduates specifically designated as environmental scientists. Most have been trained in a specific discipline, but they may have degrees from almost any scientific background. In these units the degrees granted—from B.S. to Ph.D.—are in Environmental Science, not in a specific discipline.

Environmental science is not ecology, though that discipline may be included. Ecologists are interested in the interactions between an organism and its surroundings. Most ecological research and training does not focus on environmental problems except as those problems impact the organism of interest. Environmental scientists may or may not include organisms in their field of view: they mostly focus on the environmental problem, which may be purely physical in nature. For example, acid deposition can be studied as a problem of emissions and as a characteristic of the atmosphere without necessarily examining its impact on organisms. An alternate focus might be on the acidification of lakes and the resulting implications for resident



Air quality monitoring, Hadera, Israel. (© PhotoStock-Israel / Alamy)

fish. Both studies require expertise from more than one traditional discipline; they are studies in environmental science.

Environmental science issues focus on the impact that humans have on the environment, how people can resolve past actions and change the affect on the environment, and what future effects these actions will have. Environmental science incorporates research from biology, chemistry, ecology, geology, engineering, and physics as well as economics, political science, anthropology, law, history, and sociology to approach environmental problems. Key topics in environmental science include climate change; air, water, and soil pollution; biodiversity; waste management; and conservation of natural resources. Climate change, a global warming of the earth, is being caused by the rapid accumulation of human-generated pollutants, such as carbon dioxide (CO<sub>2</sub>), in the atmosphere. A significant amount of current environmental science research is concentrated on the causes, present status, and predictions of climate change and methods of mitigating or eliminating pollutant affects and emissions.

See also Air quality; Environment; Environmental ethics; Water quality.

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Gerald L. Young

## Environmental stress

In the ecological context, environmental stress can be considered any environmental influence that causes a discernible ecological change, especially in terms of a

constraint on ecosystem development. Stressing agents (or stressors) can be exogenous to the ecosystem, as in the cases of long-range transported acidifying substances, toxic gases, or pesticides. Stress can also cause change as a result of an accentuation of some pre-existing site factor beyond a threshold for biological tolerance, for example, thermal loading, nutrient availability, wind, or temperature extremes.

Often implicit within the notion of environmental stress, particularly from the perspective of ecosystem managers, is a judgement about the quality of the ecological change. That is, from the human perspective, whether the effect is good or bad.

Environmental stressors can be divided into several, not necessarily exclusive, classes of causal agencies:

- Physical stress refers to episodic events (or disturbances) associated with intense, but usually brief, loadings of kinetic energy, perhaps caused by a windstorm, volcanic eruption, or an explosion.
- Wildfire is another episodic stress, usually causing a mass mortality of ecosystem dominants such as trees or shrubs and a rapid combustion of much of the biomass of the ecosystem.
- Pollution occurs when certain chemicals are bioavailable in a sufficiently large amount to cause toxicity. Toxic stressors include gaseous air pollutants such as sulfur dioxide and ozone, metals such as lead and mercury, residues of pesticides, and even nutrients that may be beneficial at small rates of supply but damaging at higher rates of loading.
- Nutrient impoverishment implies an inadequate availability of physiologically essential chemicals, which imposes an oligotrophic constraint upon ecosystem development.
- Thermal stress occurs when heat energy is released into an ecosystem, perhaps by aquatic discharges of low-grade heat from power plants and other industrial sources.
- Exploitative stress refers to the selective removal of particular species or size classes. Exploitation by humans includes the harvesting of forests or wild animals, but it can also involve natural herbivory and predation, as with infestations of defoliating insects such as locusts, spruce budworm, or gypsy moth, or irruptions of predators such as crown-of-thorns starfish.
- climatic stress is associated with an insufficient or excessive regime of moisture, solar radiation, or temperature. These can act over the shorter term as weather, or over the longer term as climate.

Within most of these contexts, stress can be exerted either chronically or episodically. For example, the toxic gas sulfur dioxide can be present in a chronically elevated concentration in an urbanized region with a

large number of point sources of emission. Alternatively, where the emission of sulfur dioxide is dominated by a single, large point source such as a smelter or power plant, the toxic stress associated with this gas occurs as relatively short-term events of fumigation.

Environmental stress can be caused by natural agencies as well as resulting directly or indirectly from the activities of humans. For example, sulfur dioxide can be emitted from smelters, power plants, and homes, but it can also be emitted in large quantities by volcanoes. Similarly, climate change has always occurred naturally, but it may also be forced by human activities that result in emissions of carbon dioxide, methane, and nitrous oxide into the atmosphere.

Over most of Earth's history, natural stressors have been the dominant constraints on ecological development. Increasingly, however, the direct and indirect consequences of human activities are becoming dominant environmental stressors. This is caused by both the increasing human population and by the progressively increasing intensification of the per-capita effect of humans on the environment.

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Bill Freedman

## Environmental Working Group

The Environmental Working Group is a public interest research group that monitors public agencies and public policies on topics relating to environmental and social justice. EWG publicizes its findings in research reports that emphasize both national and local implications of federal laws and activities. These research reports are based on analysis of public databases, often obtained through the Freedom of Information Act. In operation since 1993 EWG is a non-profit organization funded by grants from private foundations. EWG is based in Washington, DC, and Oakland, California, and is associated with the Tides Center of San Francisco. The organization performs its research both independently and in collaboration with other public interest research groups such as the Sierra Club and the Surface Transportation Policy

Project (a nonprofit coalition focusing on social and environmental quality in transportation policy).

EWG specializes in analyzing large computer databases maintained by government agencies, such as the Toxic Release Inventory database maintained by the Environmental Protection Agency to record spills of toxic chemicals into the air or water, or the Regulatory Analysis Management System, maintained by the Army Corps of Engineers for internal tracking of permits granted for filling and draining wetlands. Because many of these data sources are capable of exposing actions or policies embarrassing to public agencies, EWG has often obtained data by using the Freedom of Information Act, which legally enforces release of data belonging to the public domain. Many of the databases researched by EWG have never been thoroughly analyzed before, even by the agency collecting the data. EWG is unusual in working with primary data—going directly to the original database—rather than basing its research on secondary sources, anecdotal information, or interviews.

Research findings are published both in print and electronically on the Internet. Electronic publishing allows immediate and inexpensive distribution of reports that concern issues of current interest. EWG is a prolific source of information, producing extensive, detailed reports, often at a rate of more than one a month. Among the environmental topics on which the EWG has reported are drinking water quality, wetland protection and destruction, and the impacts of agricultural pesticides on both farm workers and consumers. Social justice and policy issues that EWG has researched include campaign finance reform, inequalities and inefficiency in farm subsidy programs, and threats to public health and the environment from medical waste. For each general topic, EWG usually publishes a series of articles ranging from the nature and impact of a federal law to what individuals can do about the current problem. Also included with research reports are state-by-state and county summaries of statistics, which provide details of local implications of the general policy issues.

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**ORGANIZATIONS**

Environmental Working Group, 1436 U Street, NW, Suite 100, Washington, DC, USA, 20009, (202) 667-6982, [info@ewg.org](mailto:info@ewg.org), <http://www.ewg.org>

Mary Ann Cunningham

## Environmentalism

Environmentalism is the ethical and political perspective that places the health, harmony, and integrity of the natural environment at the center of human attention and concern. From this perspective human beings are viewed as *part of* nature rather than as overseers. Therefore, to care for the environment is to care about human beings, since they cannot live without the survival of the natural habitat.

Although there are many different views within the very broad and inclusive environmentalist perspective, several common features can be discerned. The first is environmentalism's emphasis on the interdependence of life and the conditions that make life possible. Human beings, like other animals, need clean air to breathe, clean water to drink, and nutritious food to eat. Without these necessities, life would be impossible. Environmentalism views these conditions as being both basic and interconnected. For example, fish contaminated with polychlorinated biphenyl (PCB), mercury, and other toxic substances are not only hazardous to humans but to bears, eagles, gulls, and other predators. Likewise, mighty whales depend on tiny plankton, cows on grass or corn, koala bears on eucalyptus leaves, bees on flowers, and flowers on bees and birds, and so on through all species and ecosystems. All animals, human and nonhuman alike, are interdependent participants in the cycle of birth, life, death, decay, and rebirth.

A second emphasis of environmentalism is on the value of life—not only human life but all life, from the tiniest microorganism to the largest whale. Because the fate of the human species is inextricably tied with all others and because life requires certain conditions

to sustain it, environmentalists contend that people have an obligation to respect and care for anything that nurtures and sustains life in its many forms.

Although environmentalists agree on some issues, there are also a number of disagreements about the purposes of environmentalism and about how to best achieve those ends. Some environmentalists emphasize the desirability of conserving natural resources for recreation, sightseeing, hunting, and other human activities, both for present and future generations. Such a utilitarian view has been sharply criticized by Arne Naess and other proponents of deep ecology who claim that the natural environment has its own intrinsic value apart from any aesthetic, recreational, or other value assigned to it by human beings. Bears, for example, have their own intrinsic value or worth, quite apart from that assigned to their existence via shadow pricing or other mechanisms, by bear-watchers, hunters, or other human beings.

Environmentalists also differ on how best to conserve, reserve, and protect the natural environment. Some groups, such as the Sierra Club and the Nature Conservancy, favor gradual, low-key legislative and educational efforts to inform and influence policy makers and the general public about environmental issues. Other more radical environmental groups, such as the Sea Shepherd Conservation Society and Earth First!, favor carrying out direct action by employing ecotage (ecological sabotage), or monkey-wrenching, to stop strip mining, logging, drift net fishing, and other activities that they deem dangerous to animals and ecosystems. Within this environmental spectrum are many other groups, including the World Wildlife Fund, Greenpeace, Earth Island Institute, Clean Water Action, and other organizations which use various techniques to inform, educate, and influence public opinion regarding environmental issues and to lobby policy makers.

Despite these and other differences over means and ends, environmentalists agree that the natural environment, whether valued instrumentally or intrinsically, is valuable and worth preserving for present and future generations.

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Terence Ball

## Environmentally preferable purchasing

Environmentally preferable purchasing (EPP) invokes the practice of buying products with environmentally-sound qualities—reduced packaging, reusability, energy efficiency, recycled content, and rebuilt or re-manufactured products. It was first addressed officially with Executive Order (EO) 12873 in October 1993, “Federal Acquisition, Recycling and Waste Prevention,” but was further enhanced on September 14, 1998, by EO 13101 also signed by President Clinton. Titled “Greening the Government through Waste Prevention, Recycling, and Federal Acquisition,” it superseded EO 12873, but retained similar directives for purchasing. The “Final Guidance” of directives was issued through the Environmental Protection Agency in 1995.

What the federal government would adopt as a guideline for its purchases also would mark the beginning of environmentally preferable purchasing for the private sector, and create an entirely new direction for individuals and businesses as well as governments. At the federal level, the EPA’s “Final Guidance” applied to all acquisitions, from supplies and services to buildings and systems. Initially the EPA developed five guiding principles for incorporating the plan into the federal government setting.

The five guiding principles are:

- Environment + Price + Performance = Environmentally Preferable Purchasing
- Pollution prevention
- Life cycle perspective/multiple attributes
- Comparison of environmental impacts
- Environmental performance information

In January 2007 President George W. Bush issued EO 13423, “Strengthening Federal Environmental, Energy, and Transportation Management.” The order also defines environmentally preferable as “products or services that have a lesser or reduced effect on human health and the environment when compared with

competing products or services that serve the same purpose.” Furthermore, EO 13423 ordered all federal agencies to use sustainable practices when purchasing products and services.

In the private world of business, environmentally preferable purchasing promises to save money, in addition to meeting EPA regulations and improving employee safety and health. In an age of environmental liability, EPP can make the difference when a question of environmental ethics or damage arises.

For the private consumer, purchasing “green” in the late 1960s and 1970s tended to mean something as simple as recycled paper used in Christmas cards or unbleached natural fibers for clothing. In the twenty-first century, the average American home is affected in countless additional ways—energy-efficient kitchen appliances and personal computers, environmentally-sound household cleaning products, and neighborhood recycling centers. To be certified as “green,” characteristics of items, such as recyclability, biodegradability, amount of organic ingredients, and lack of ozone-depleting chemicals, are tested.

Of those everyday uses, the concern over cleaning products for home, industrial, and commercial use has the focus of particular attention. Massachusetts was one of the states that took a lead on the issue of decreasing toxic chemicals with its Massachusetts Toxic Use Reduction Act. With a focus on products that have known carcinogens and ozone-depleting substances, excessive phosphate concentrations, and volatile organic compounds, testing identifies alternative products that are more environmentally acceptable—and safer—for humans and all forms of life as well.

The products approved for purchasing must meet the following mandated criteria:

- contain no ingredients from the Massachusetts Toxic Use Reduction Act list of chemicals
- contain no carcinogens appearing on lists established by the International Agency for Research on Cancer, the National Toxicology Program, or the Occupational Safety and Health Administration; and cannot contain any chemicals defined as Class A, B, or C carcinogens by the EPA
- contain no ozone-depleting ingredients
- must be compliant with the phosphate content levels stipulated in Massachusetts law
- must be compliant with the Volatile Organic Compound (VOC) content levels stipulated in Massachusetts law

The National Association of Counties offers an extensive list of EPP resources links through its Web

site. In addition to offices and agencies of the federal government, the states of Minnesota and Massachusetts, and the local governments of King County, Washington, and Santa Monica, California, the list includes such organizations as Buy Green, Earth Systems' Virtual Shopping Center for the Environment (an online database of recycling industry products and services), the Environmental Health Coalition, Green Seal, the National Institute of Government Purchasing, Inc., and the National Pollution Prevention Roundtable. Businesses and business-related companies mentioned include the Chlorine Free Products Association, Pesticide Action Network of North America, Chlorine-Free Paper Consortium, and the Smart Office Resource Center.

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### ORGANIZATIONS

National Association of Counties, 25 Massachusetts Avenue, Washington, DC, USA, 20001, (202) 393-6226, (202) 393-2630, <http://www.naco.org>.

U.S. Environmental Protection Agency, 1200 Pennsylvania Avenue, NW, Washington, DC, USA, 20460, (202) 260-2090, <http://www.epa.gov>

Jane E. Spear

## Environmentally responsible investing

Environmentally responsible investing is one component of a larger phenomenon known as *socially responsible investing*. The idea is that investors should use their money to support industries whose operations accord with the investors' personal ethics. This concept is not a new one. In the early part of the century, Methodists, Presbyterians, and Baptists shunned companies that promoted sinful activities such as smoking,

drinking, and gambling. More recently many investors chose to protest apartheid by divesting from companies with operations in South Africa. Investors today might arrange their investment portfolios to reflect companies' commitments to affirmative action, human rights, animal rights, the environment, or any other issues the investors believe to be important.

The purpose of environmentally responsible investing is to encourage companies to improve their environmental records. The emergence and growth of mutual funds identifying themselves as environmentally oriented funds indicates that environmentally responsible investing is a popular investment area. By 2007 socially responsible investing, which includes environmentally responsible investing as a major component in investment selections, had grown to a \$2.7 trillion industry. The naming of these funds can be misleading, however. Some funds have been developed for the purpose of being environmentally responsible; others have been developed for the purpose of reaping the anticipated profits in the environmental services sector as environmentalists in the marketplace and environmental regulations encourage the purchasing of green products and technology. These funds are not necessarily environmentally responsible; some companies in the environmental clean-up industry, for example, have less-than-perfect environmental records.

As the idea of environmentally responsible investing is still new, a generally accepted set of criteria for identifying environmentally responsible companies has not yet emerged.

When grading a company in terms of its behavior toward the environment, one could use an absolute standard. For example, one could exclude all companies that have violated any Environmental Protection Agency (EPA) standards. The problem with such a standard is that some companies that have very good overall environmental records have sometimes failed to meet certain EPA standards. Alternatively, a company could be graded on its efforts to solve environmental problems. Some investors prefer to divest of all companies in heavily polluting industries, such as oil and chemical companies; others might prefer to use a relative approach and examine the environmental records of companies within industry groups. By directly comparing oil companies with other oil companies, for example, one can identify the particular companies committed to improving the environment.

For consistency some investors might choose to divest from all companies that supply or buy from an environmentally irresponsible company. It then becomes

an arbitrary decision as to where this process stops. If taken to an extreme, the approach rejects holding United States treasury securities, since public funds are used to support the military, one of the world's largest polluters and a heavy user of nonrenewable energy.

A potential new indicator for identifying environmentally responsible companies has been developed by the Coalition for Environmentally Responsible Economies (CERES); it is a code called the Ceres Principles. The principles are the environmental equivalent of the Sullivan Principles, a code of conduct for American companies operating in South Africa. Formerly called the Valdez Principles, these directives encourage companies to strive for sustainable use of natural resources and the reduction and safe disposal of waste. By signing the principles, companies commit themselves to continually improving their behavior toward the environment over time. So far, however, few companies have signed the code, possibly because it requires companies to appoint environmentalists to their boards of directors.

As there is no generally accepted set of criteria for identifying environmentally responsible companies, investors interested in such an investment strategy must be careful about accepting "environmentally responsible" labels. Investors must determine their own set of screening criteria based on their own personal beliefs about what is appropriate behavior with respect to the environment.

*See also* Valdez Principles.

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Barbara J. Kanninen

## Enzyme

Enzymes are catalysts that speed the rate at which chemical reactions occur. In living systems catalysts are proteins that facilitate reactions by lowering the activation energy or energy required to complete physiological and cellular reactions. As catalysts, enzymes alter the pace of reactions without undergoing specific permanent

change. They are crucial to life because without them, the vast majority of biochemical reactions would occur too slowly for organisms to survive.

In general, enzymes catalyze two quite different kinds of reactions. The first type of reaction includes those by which simple compounds are combined with each other to make new tissue from which plants and animals are made. For example, the most common enzyme in nature is probably carboxydismutase, the enzyme in green plants that couples carbon dioxide with an acceptor molecule in one step of the photosynthesis process by which carbohydrates are produced.

Enzymes also catalyze reactions by which more complex compounds are broken down to provide the energy needed by organisms. The principal digestive enzyme in the human mouth, for example, is ptyalin (also known as  $\alpha$ -amylase), which begins the digestion of starch.

Enzymes have both beneficial and harmful effects in the environment. For example environmental hazards such as heavy metals, pesticides, and radiation often exert their effects on an organism by disabling one or more of its critical enzymes. As an example, arsenic is poisonous to animals because it forms a compound with the enzyme glutathione. The enzyme is disabled and prevented from carrying out its normal function, the maintenance of healthy red blood cells.

David E. Newton

EPA *see* **Environmental Protection Agency (EPA).**

## Ephemeral species

Ephemeral species are plants and animals whose lifespan lasts only a few weeks or months. The most common types of ephemeral species are desert annuals, plants whose seeds remain dormant for months or years but which quickly germinate, grow, and flower when rain does fall. In such cases the amount and frequency of rainfall determine entirely how frequently ephemerals appear and how long they last. Tiny, usually microscopic, insects and other invertebrate animals often appear with these desert annuals, feeding on briefly available plants, quickly reproducing, and dying in a few weeks or less. Ephemeral ponds, short-duration desert rain pools, are especially noted for supporting

ephemeral species. Here small insects and even amphibians have short lives. The spadefoot toad (*Scaphiopus multiplicatus*), for example, matures and breeds in as little as eight days after a rain, feeding on short-lived brine shrimp, which in turn consume algae and plants that live as long as water or soil moisture lasts. Eggs, or sometimes the larvae of these animals, then remain in the soil until the next moisture event.

Ephemerals play an important role in many plant communities. In some very dry deserts, as in North Africa, ephemeral annuals comprise the majority of living species—although this rich flora can remain hidden for years at a time. Often widespread and abundant after a rain, these plants provide an essential food source for desert animals, including domestic livestock. Because water is usually unavailable in such environments, many desert perennials also behave like ephemeral plants, lying dormant and looking dead for months or years but suddenly growing and setting seed after a rare rain fall.

The frequency of desert ephemeral recurrence depends upon moisture availability. In the Sonoran Desert of California and Arizona, annual precipitation allows ephemeral plants to reappear almost every year. In the drier deserts of Egypt, where rain may not fall for a decade or more, dormant seeds must survive for a much longer time before germination. In addition, seeds have highly sensitive germination triggers. Some annuals that require at least 1 inch (2-3 cm) of precipitation to complete their life cycle will not germinate when less than that has fallen. In such a case seed coatings may be sensitive to soil salinity, which decreases as more rainfall seeps into the ground. Annually-recurring ephemerals often respond to temperature as well. In the Sonoran Desert some rain falls in both summer and winter. Completely different summer and winter floral communities appear in response. Such adaptation to different temporal niches probably helps decrease competition for space and moisture and increase each species' odds of success.

Although they are less conspicuous, ephemeral species also occur outside desert environments. Short-duration food supplies or habitable conditions in some marine environments lead to ephemeral species growth. Ephemerals successfully exploit such unstable environments as volcanoes and steep slopes prone to slippage. More common are spring ephemerals in temperate deciduous forests. For a few weeks between snow melt and closure of the overstory canopy, quick-growing ground plants, including small lilies and violets, sprout and take advantage of available sunshine. Flowering and setting seed before they are shaded out by larger

vegetation, these ephemerals disappear by mid summer. Some persist in the form of underground root systems, but others are true ephemerals, with only seeds remaining until the next spring.

*See also* Adaptation; Food chain/web; Opportunistic organism.

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Mary Ann Cunningham

## Epidemiology

Epidemiology is the study of the origin and cause of disease among populations. Epidemiology is an increasingly important part of public health because rapidly increasing human populations provide a fertile breeding ground for microbes. As the planet becomes more crowded and the distances that separate communities become smaller, infectious diseases that were one limited by geographic isolation now spread globally. In addition, many viruses and bacteria constantly evolve and shift among populations and across species. Epidemiologists use an array of skills to trace the source of disease.

The epidemiologist is concerned with the interactions of organisms and their environments. Environmental factors related to disease may include geographical features, climate, the concentration of pathogens in soil and water, or other factors. Epidemiologists determine the numbers of individuals affected by a disease, the environmental contributions to disease, the causative agent or agents of disease, and the transmission patterns and lethality of disease.

### Origins of epidemiology

Epidemiology is commonly thought to be limited to the study of infectious diseases, but that is only one aspect of the medical specialty. The epidemiology of the environment and lifestyles has been studied since Hippocrates's time. More recently, scientists have broadened the worldwide scope of epidemiology to



**Italian physician Carlo Urbani, a World Health Organization expert on communicable diseases, who died of SARS (Severe Acute Respiratory Syndrome).** (AP Photo/Guido Picchio)

studies of violence, of heart disease due to lifestyle choices, and to the spread of disease because of environmental degradation.

Epidemiologists at the Epidemic Intelligence Service (EIS) of the Centers for Disease Control and Prevention (CDC) have played important roles in landmark epidemiologic investigations. Those include an investigation of the definitive epidemic of Legionnaires' disease in 1976, identification of tampons as a risk factor for toxic-shock syndrome, and investigation of the first cluster of cases that came to be called acquired immunodeficiency syndrome (AIDS). EIS officers are increasingly involved in the investigation of non-infectious disease problems, including the risk of injury associated with all-terrain vehicles and cluster deaths related to flour contaminated with parathion.

In the 1980s, classic epidemiology discovered that a puzzling array of illnesses was linked, and it came to be known as AIDS. Epidemiologists traced the disease to sexual contact, then to contaminated blood supplies, then proved the AIDS virus could cross the placental barrier, infecting babies born to HIV-infected mothers. Since the identification of the HIV virus responsible for

AIDS, multiple genetic studies have established that the HIV virus passed into humans in Africa from chimpanzees that were probably butchered for meat about 100 years ago. Before being identified in the United States in the early 1980s, what eventually became known as AIDS syndrome was effectively hidden because it existed in people living in remote areas and occurred at low population levels. What is now known as AIDS was previously misdiagnosed as other diseases. For example, doctors in Africa often listed leukemia as the cause of death for persons who had AIDS.

### *Pandemic 2009 H1N1 Influenza*

In April 2009 EIS officers investigated cases of a novel influenza in multiple states and quickly identified the cause as new strain of the A (H1N1) influenza virus that likely emerged near Veracruz, Mexico. Although cases spread quickly and eventually resulted in a worldwide influenza pandemic, the rapid response by epidemiologists and other public health officials is credited with minimizing morbidity (illness and disability), mortality (death), and negative economic impacts from the pandemic.

### **Epidemiology and public health**

The epidemiological classification of disease deals with the incidence, distribution, and control of disorders of a population. Using the example of typhoid, a disease spread through contaminated food and water, scientists first must establish that the disease observed is truly caused by *Salmonella typhosa*, the typhoid organism. Investigators then must then determine the number of cases, whether the cases were scattered over the course of a year or occurred within a short period, and the geographic distribution. It is critical that the precise locations of the diseased patients be established. In a hypothetical case, two widely separated locations within a city might be found to have clusters of cases of typhoid arising simultaneously. It might be that each of these clusters revolved around a family unit, suggesting that personal relationships could be important. Further investigation might disclose that all of the infected persons had dined at one time or at short intervals in a specific home, and that the person who had prepared the meal had visited a rural area, suffered a mild attack of the disease, and now was spreading it to family and friends by unknowingly contaminating of food.

Epidemiologists are disease detectives using an array of skills. An epidemic of cholera in the West African nation of Guinea-Bissau was tracked by CDC researchers using maps, interviews, and old-fashioned footwork door-to-door through the country. An investigator eventually tracked the source of the cholera

outbreak to contaminated shellfish. Epidemiologists also use high-tech tools. During a 2010 *Salmonella* outbreak in the United States, epidemiologists used pulse field gel electrophoresis (PFGE) to trace the source of the outbreak, which was linked to eggs sold to consumers under twenty-five different brand names by egg-producing farms that sold eggs to multiple packagers.

Changes in environment or social infrastructure can also fuel epidemics. For example, a breakdown in sanitation frequently offers conditions favorable to the spread of disease. An epidemic can result from an alteration in the environment that normally acts to limit disease.

In other cases, ecological imbalances can also increase the spread of disease. For example, an increase in mosquitoes, vectors for a number of diseases, can offer continuing challenges to public health officials. In addition to tracking the origins of disease, epidemiologists may investigate the factors causing the increase in vector populations.

Lyme disease, which was tracked by epidemiologists from man to deer to the ticks that infest deer, is directly related to environmental changes. The lyme disease spirochete probably has been infecting ticks for a long time; museum specimens of ticks collected on Long Island in the 1940s were found to be infected. Since then, tick populations in the Northeast have increased dramatically, triggering the epidemic. Epidemiologists and biologists concluded that the increase in ticks was linked to reforestation practices. Deer populations in those areas exploded, as did the numbers of *Ixodes dammini* ticks that feed on deer. The deer do not become ill, but when a tick bite infects a human host, the result can be lyme disease, which in severe cases causes crippling arthritis and memory loss.

Epidemiologists also study factors causing heart disease and cancer, developing a deeper understanding of the environmental, nutritional, and lifestyle factors that contribute to disease.

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Linda Rehkopf

## Erodible

Susceptible to erosion or the movement of soil or earth particles due to the primary forces of wind, moving water, ice, and gravity. Tillage implements may also move soil particles, but this transport is usually not considered erosion.

## Erosion

Erosion is the wearing away of the land surface by running water, wind, ice, or other geologic agents, including such processes as gravitational creep.



**Erosion due to deforestation in the Brazilian rainforest.**  
 (© Peter Arnold, Inc. / Alamy)

The term geologic erosion refers to the normal, natural loss of land caused by geological processes acting over long periods of time, undisturbed by humans. Accelerated erosion is a more rapid erosion process influenced by human, or sometimes animal, activities. Accelerated erosion in North America has only been recorded for the past few centuries, and in research studies, post-settlement erosion rates were found to be eight to 350 times higher than pre-settlement erosion rates.

Soil erosion has been both accelerated and controlled by humans since recorded history. In Asia, the Pacific, Africa, and South America, complex terracing and other erosion control systems on arable land go back thousands of years. Soil erosion and the resultant decreased food supply have been linked to the decline of historic, particularly Mediterranean, civilizations, though the exact relationship with the decline of governments such as the Roman Empire is not clear.

A number of terms have been used to describe different types of erosion, including gully erosion, rill

erosion, interrill erosion, sheet erosion, splash erosion, saltation, surface creep, suspension, and siltation. In gully erosion, water accumulates in narrow channels and, over short periods, removes the soil from this narrow area to considerable depths, ranging from 1.5 feet (0.5 m) to as much as 82–98 feet (25–30 m).

Rill erosion refers to a process in which numerous small channels of only a few inches in depth are formed, usually occurring on recently cultivated soils. Interrill erosion is the removal of a fairly uniform layer of soil on a multitude of relatively small areas by rainfall splash and film flow.

Usually interpreted to include rill and interrill erosion, sheet erosion is the removal of soil from the land surface by rainfall and surface runoff. Splash erosion, the detachment and airborne movement of small soil particles, is caused by the impact of raindrops on the soil.

Saltation is the bouncing or jumping action of soil and mineral particles caused by wind, water, or gravity. Saltation occurs when soil particles 0.1–0.5 millimeters in diameter are blown to a height of less than 6 inches (15 cm) above the soil surface for relatively short distances. The process includes gravel or stones affected by the energy of flowing water, as well as any soil or mineral particle movement downslope due to gravity.

Surface creep, which usually requires extended observation to be perceptible, is the rolling of dislodged particles 0.5–1.0 millimeters in diameter by wind along the soil surface. Suspension occurs when soil particles less than 0.1 millimeters diameter are blown through the air for relatively long distances, usually at a height of less than 6 inches (15 cm) above the soil surface. In siltation, decreased water speed causes deposits of water-borne sediments, or silt, to build up in stream channels, lakes, reservoirs, or flood plains.

In the water erosion process, the eroded sediment is often higher (enriched) in organic matter, nitrogen, phosphorus, and potassium than in the bulk soil from which it came. The amount of enrichment may be related to the soil, amount of erosion, the time of sampling within a storm, and other factors. Likewise, during a wind erosion event, the eroded particles are often higher in clay, organic matter, and plant nutrients. Frequently, in the Great Plains, the surface soil becomes increasingly more sandy over time as wind erosion continues.

Erosion measurements using the Universal Soil Loss Equation (USLE) and the Wind Erosion Equation (WEE) estimate erosion on a point basis expressed

in mass per unit area. If aggregated for a large area (e.g., state or nation), very large numbers are generated and have been used to give misleading conclusions. The estimates of USLE and WEE indicate only the soil moved from a point. They do not indicate how far the sediment moved or where it was deposited. In cultivated fields the sediment may be deposited in other parts of the field with different crop cover or in areas where the land slope is less. It may also be deposited in riparian land along stream channels or in flood plains.

Only a small fraction of the water-eroded sediment leaves the immediate area. For example, in a study of five river watersheds in Minnesota, it was estimated that less than 1–27 percent of the eroded material entered stream channels, depending on the soil and topographic conditions. The deposition of wind-eroded sediment is not well quantified, but much of the sediment is probably deposited in nearby areas more protected from the wind by vegetative cover, stream valleys, road ditches, woodlands, or farmsteads.

Although a number of national and regional erosion estimates for the United States have been made since the 1920s, the methodologies of estimation and interpretations have been different, making accurate time comparisons impossible. The most extensive surveys have been made since the Soil, Water and Related Resources Act was passed in 1977. In these surveys a large number of points were randomly selected, data assembled for the points, and the Universal Soil Loss Equation (USLE) or the Wind Erosion Equation (WEE) used to estimate erosion amounts. Although these equations were the best available at the time, their results are only estimations, and subject to interpretation. Considerable research on improved methods of estimation is underway by the U.S. Department of Agriculture (USDA).

In the cornbelt of the United States, water erosion may cause a 1.7–7.8 percent drop in soil productivity over the next one hundred years, as compared to current levels, depending on the topography and soils of the area. The USDA results, based on estimated erosion amounts for 1977, only included sheet erosion, not losses of plant nutrients. Though the figures may be low for this reason, other surveys have produced similar estimates.

In addition to depleting farmlands, eroded sediment causes off-site damages that, according to one study, may exceed on site loss. The sediment may end up in a domestic water supply, clog stream channels, even degrade wetlands, wildlife habitats, and entire ecosystems.

See also Environmental degradation; Gullied land; Soil eluviation; Soil organic matter; Soil texture.

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William E. Larson

## Escherichia coli

*Escherichia coli*, or *E. coli* as a common short form, is a bacterium in the family *Enterobacteriaceae* that is found in the intestines of warm-blooded animals, including humans. *E. coli* represent about 0.1 percent of the total bacteria of an adult’s intestines (on a Western diet). As part of the normal flora of the human intestinal tract, *E. coli* aids in food digestion by producing vitamin K and B-complex vitamins from undigested materials in the large intestine and suppresses the growth of harmful bacterial species. However, *E. coli* has also been linked to diseases in about every part of the body. Pathogenic strains of *E. coli* have been shown to cause pneumonia, urinary tract infections, wound and blood infections, and meningitis.

Toxin-producing strains of *E. coli* can cause severe gastroenteritis (hemorrhagic colitis), which can include abdominal pain, vomiting, and bloody diarrhea. In most people, the vomiting and diarrhea stop within two to three days. However, about 5–10 percent of the

those affected will develop hemolytic-uremic syndrome (HUS), which is a rare condition that affects mostly children under the age of ten but also may affect the elderly as well as persons with other illnesses. About 75 percent of HUS cases in the United States are caused by an enterohemorrhagic (intestinally-related organism that causes hemorrhaging) strain of *E. coli* referred to as *E. coli* O157:H7, while the remaining cases are caused by non-O157 strains. *E. coli* O157:H7 is found in the intestinal tract of cattle. In the United States the Centers for Disease Control and Prevention estimates that there are about 10,000–20,000 infections and 500 deaths annually that are caused by *E. coli* O157:H7.

*E. coli* O157:H7 is also a concern globally. In one example, runoff from a cattle farm contaminated the well-water drinking supply of Walkerton, Ontario, Canada in the summer of 2000. In the resulting illness outbreak, about 2,000 people were sickened, seven people died, and several were afflicted with life-long damage to the kidneys and other organs.

*E. coli* O157:H7, first identified in 1982, and isolated with increasing frequency since then, is found in contaminated foods such as meat, dairy products, and juices. Symptoms of an *E. coli* O157:H7 infection start about seven days after infection with the bacteria. The first symptom is sudden onset of severe abdominal cramps. After a few hours, watery diarrhea begins, causing loss of fluids and electrolytes (dehydration), which causes the person to feel tired and ill. The watery diarrhea lasts for about a day, and then changes to bright red bloody stools, as the infection causes sores to form in the intestines. The bloody diarrhea lasts for two to five days, with as many as ten bowel movements a day. Additional symptoms may include nausea and vomiting, without a fever or with only a mild fever. After about five to ten days, HUS can develop. HUS is characterized by destruction of red blood cells, damage to the lining of blood vessel walls, reduced urine production, and in severe cases, kidney failure. Toxins produced by the bacteria enter the blood stream, where they destroy red blood cells and platelets, which contribute to the clotting of blood. The damaged red blood cells and platelets clog tiny blood vessels in the kidneys, or cause lesions to form in the kidneys, making it difficult for the kidneys to remove wastes and extra fluid from the body, resulting in hypertension, fluid accumulation, and reduced production of urine. The diagnosis of an *E. coli* infection is made through a stool culture.

Treatment of HUS is supportive, with particular attention to management of fluids and electrolytes. Some studies have shown that the use of antibiotics

and antimotility agents during an *E. coli* infection may worsen the course of the infection and should be avoided. Ninety percent of children with HUS who receive careful supportive care survive the initial acute stages of the condition, with most having no long-term effects. In about 50 percent of the cases, short-term replacement of kidney function is required in the form of dialysis. However, between 10 and 30 percent of the survivors will have kidney damage that will lead to kidney failure immediately or within several years. These children with kidney failure require on going dialysis to remove wastes and extra fluids from their bodies or may require a kidney transplant.

The most common way an *E. coli* O157:H7 infection is contracted is through the consumption of undercooked ground beef (e.g., eating hamburgers that are still pink inside). Healthy cattle carry *E. coli* within their intestines. During the slaughtering process, the meat can become contaminated with the *E. coli* from the intestines. When contaminated beef is ground up, the *E. coli* bacteria are spread throughout the meat.

In 1992 and 1993, the bacterium was the source of illnesses that afflicted people who had eaten at several fast food outlets in the U. S. Midwest. The cause of the illness and several deaths were traced to contaminated beef that had been processed into hamburger and subsequently undercooked during preparation.

Additional ways to contract an *E. coli* infection include drinking contaminated water and unpasteurized milk and juices, eating contaminated fruits and vegetables, and working with cattle. The infection is also easily transmitted from an infected person to others in settings such as day care centers and nursing homes when improper sanitary practices are used.

Prevention of HUS caused by ingestion of foods contaminated with *E. coli* O157:H7 and other toxin-producing bacteria is accomplished through practicing hygienic food preparation techniques, including adequate hand washing; cooking of meat thoroughly; defrosting meats safely; vigorous washing of fruits and vegetables; and handling leftovers properly. Irradiation of meat has been approved by the United States Food and Drug Administration and the United States Department of Agriculture to decrease bacterial contamination of consumer meat supplies.

Sediments can act as a reservoir for *E. coli*, as the sediments protect the organisms from bacteriophages and microbial toxicants. The *E. coli* can persist in the sediments and contribute to concentrations in the overlying waters for months after the initial contamination.

The presence of *E. coli* in surface waters indicates that there has been fecal contamination of the water body from agricultural and/or urban and residential areas. Although the detected *E. coli* may not necessarily cause disease (not all strains of the bacterium are pathogenic), it is certainly an indicator of the presence of feces, which can harbour other pathogenic types of bacteria. Examples include *Salmonella typhimurium*, *Vibrio cholerae*, and *Shigella*. Furthermore, because most strains of *E. coli* do not survive long once outside the intestinal tract, the detection in water is usually an indicator of recent (hours to a few days) fecal pollution. These attributes have made *E. coli* a popular indicator organism for the health quality of drinking and recreational waters.

To provide safe drinking water, the water is treated with chlorine, ultra-violet light, and/or ozone. Traditionally fecal coliform bacteria have been used as the indicator organisms for monitoring, but the test for these bacteria also detects thermotolerant non-fecal coliform bacteria.

Therefore, the U.S. Environmental Protection Agency (EPA) is recommending that *E. coli* as well as enterococci be used as indicators of fecal contamination of a water body instead of fecal coliform bacteria. The test for *E. coli* does not include non-fecal thermotolerant coliforms.

The U.S. EPA recreational water quality standard is based on a threshold concentration of *E. coli* above which the health risk from waterborne disease is unacceptably high. The recommended standard corresponds to approximately 8 gastrointestinal illnesses per 1000 swimmers. The standard is based on two criteria: 1) a geometric mean of 126 organisms per 100 ml, based on several samples collected during dry weather conditions; or 2) 235 organisms/100 ml sample for any single water sample. During 2002 the U.S. EPA finalized guidance on the use of *E. coli* as the basis for bacterial water quality criteria to protect recreational freshwater bodies.

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Judith L. Sims

## Essential fish habitat

Essential Fish Habitat (EFH) is a federal provision to conserve and sustain the habitats that fish need to go through their life cycles. The EFH was a 1996 provision added by the United States Congress to the Magnuson Fishery Conservation and Management Act of 1976. Renamed the Magnuson-Stevens Conservation and Management Act in 1996, the act became the federal law governing marine (sea) fishery management in the United States.

The amended act required that fishery management plans include designations and descriptions of essential fish habitats. The plan is a document describing the strategy to reach management goals in a fishery, an area where fish breed and people catch them. The Magnuson-Stevens Act covers plans for waters located within the United States' exclusive economic zone. The zone extends offshore from the coastland for three to 200 miles.

The designation of EFH was necessary because the continuing loss of aquatic habitat posed a major long-term threat to the viability of commercial and recreational fisheries, Congress said in 1996. The mandate of the EFH concerns waters and water-related surfaces (sediment and structures below the water) that are needed for the spawning, breeding, feeding, or full growth of fish.

The Magnuson-Stevens Act called for identification of EFH by eight regional fishery management councils and the Highly Migratory Species Division of the National Marine Fisheries Service (NMFS), an agency of the Commerce Department's National Oceanic and Atmospheric Administration (NOAA). Under the Magnuson-Stevens Act, NOAA manages more than 700 species.

NOAA fisheries and the councils are required by the act to minimize "to the extent practicable" the adverse effects of fishing on EFH. The act also directed the councils and NOAA to devise plans to conserve and enhance EFH. Those plans are included in the management plans. Also in the plan are what is described as "habitat areas of particular concern," rare habitats or habitats that are ecologically important.

Furthermore, the act required federal agencies to work with NMFS when the agencies plan to authorize, finance, or carry out activities that could adversely affect EFH. This process, called an EFH consultation, is required if the agency plans an activity such as dredging near an essential fishing habitat. Although NMFS does not have veto power over the

project, NOAA Fisheries will provide conservation recommendations.

Eight regional fishery management councils were established by the 1976 Magnuson Fishery and Conservation Management Act. That legislation also established the exclusive economic zone and staked the United States' claim to it. The 1976 act also addressed issues such as foreign fishing and how to connect the fishing community to the management process, according to an NOAA report. The councils manage living marine resources in their regions and address issues such as EFH.

The New England Fishery Management Council manages fisheries in federal waters off the coasts of Maine, New Hampshire, Massachusetts, Rhode Island, and Connecticut. New England fish species include Atlantic cod, Atlantic halibut, and white hake.

The Mid-Atlantic Fishery Management Council manages fisheries in federal waters off the mid-Atlantic coast. Council members represent the states of New York, New Jersey, Pennsylvania, Delaware, Maryland, and Virginia. North Carolina is represented on this council and the South Atlantic Council. Fish species found within this region include ocean quahog, Atlantic mackerel, and butterfish.

The South Atlantic Fishery Management Council is responsible for the management of fisheries in the federal waters within a 200-mile area off the coasts of North Carolina, South Carolina, Georgia, and east Florida to Key West. Marine species in this area include cobia, golden crab, and Spanish mackerel.

The Gulf of Mexico Fishery Management Council draws its membership from the Gulf Coast states of Florida, Alabama, Mississippi, Louisiana, and Texas. Marine species in this area include shrimp, red drum, and stone crab.

The Caribbean Fishery Management Council manages fisheries in federal waters off the Commonwealth of Puerto Rico and the U.S. Virgin Islands. The management plan covers coral reefs and species including queen triggerfish and spiny lobster.

The North Pacific Fishery Management Council includes representatives from Alaska and Washington state. Species within this area include salmon, scallops, and king crab.

The Pacific Fishery Management Council draws its members from Washington, Oregon, and California. Species in this region include salmon, northern anchovy, and Pacific bonito.

The Western Pacific Fishery Management Council is concerned with the United States exclusive economic zone that surrounds Hawaii, American Samoa, Guam, the Northern Mariana Islands, and other U.S. possessions in the Pacific. Fishery management encompasses coral and species such as swordfish and striped marlin.

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Liz Swain

## Estuary

Estuaries represent one of the most biologically productive aquatic ecosystems on Earth. An estuary is a coastal body of water where chemical and physical conditions modulate in an intermediate range between the freshwater rivers that feed into them and the salt water of the ocean beyond them. It is the point of mixture for these two very different aquatic ecosystems. The freshwater of the rivers mix with the salt water pushed by the incoming tides to provide a brackish water habitat ideally suited to a tremendous diversity of coastal marine life.

Estuaries are nursery grounds for the developing young of commercially important fish and shellfish. The young of any species are less tolerant of physical extremes in their environment than adults. Many species of marine life cannot tolerate the concentrations of salt in ocean water as they develop from egg to sub-adult, and by providing a mixture of fresh and salt water, estuaries give these larval life forms a more moderate environment in which to grow. Because of this, the adults often move directly into estuaries to spawn.

Estuaries are extremely rich in nutrients, and this is another reason for the great diversity of organisms in these ecosystems. The flow of freshwater and the periodic flooding of surrounding marshlands provides an influx of nutrients, as do the daily surges of tidal fluctuations. Constant physical movement in this environment keeps valuable nutrient resources available to all levels within the food chain/web.



Estuary at Weeks Bay. (Courtesy of the NOAA)

Coastal estuaries also provide a major filtration system for waterborne pollutants. This natural water treatment facility helps maintain and protect water quality, and studies have shown that one acre of tidal estuary can be the equivalent of a small waste treatment plant.

Chesapeake Bay on the Atlantic coast is the largest estuary in the United States, draining six states and the District of Columbia. It is the largest producer of oysters in the country; it is the single largest producer of blue crabs in the world, and 90 percent of the striped bass found on the East Coast hatch there. It one of the most productive estuaries in the world, yet its productivity has declined in recent decades due to a huge increase in the number of people in the region. Between the 1940s and the 1990s, the population jumped from about 3.5 million to more than 15 million, bringing with it an increase in pollution and overfishing of the bay. Sewage treatment plants contribute large amounts of phosphates, and agricultural, urban, and suburban discharges deposit nitrates, which in turn contribute to algal blooms and oxygen depletion. Pesticides and industrial toxics also contribute to the bay's problems.

Beginning in the early 1980s, concerted state and federal government initiatives began, with the aims to clean up the Chesapeake Bay and restore its seafood productivity.

In the 1990s fish populations in Chesapeake Bay were decimated by a disease caused by the fungus *Pfiesteria piscicida*. The cause was determined to be runoff from chicken farms in the region (chickens are a source of the organism). The outbreak highlighted the deleterious effects of agricultural runoff on coastal waters. Runoff of fertilizer into estuaries encourages the overgrowth of algae, which can deplete the nutrients in the water to such an extent that the capacity of the water system as a reservoir of life is affected.

As of October 2010, the United States Environmental Protection Agency was still working on revised plans for the long-term restoration of the Chesapeake Bay.

*See also* Agricultural pollution; Aquatic chemistry; Commercial fishing; Dissolved oxygen; Nitrates and nitrites; Nitrogen cycle; Restoration ecology.

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Eugene C. Beckham

## Ethanol

Ethanol is an organic compound with the chemical formula  $C_2H_5OH$ . Its common names include ethyl alcohol and grain alcohol. The latter term reflects one method by which the compound can be produced: the distillation of corn, sugar cane, wheat, and other grains. Ethanol is the primary component in many alcoholic drinks such as beer, wine, vodka, gin, and whiskey. The use of ethanol in automotive fuels has become common in recent years in the United States and some other countries, especially Brazil. When mixed in a (usually) one to nine ratio with gasoline, it is sold as gasohol. The reduced costs of producing gasohol—thanks largely to government subsidies—make it a viable economic alternative to other automotive fuels.

The production of fuel ethanol from corn and other crops has been criticized both as a net energy consumer (or poor net-energy producer) and as injurious to the world's poor. In the spring of 2008, surging oil prices increased the cost of food production and transport, and increases in biofuel production diverted crops away from growth for consumption. The result of the price and production forces caused the lowest estimated level in world food stocks in more than twenty-five years. Food experts agreed that the push to raise crops for ethanol biofuel for vehicles was part of the problem. Biofuel manufacturers compete directly with food buyers in the market for corn, and high demand for biofuel crops causes growers to switch acreage away from food production. The result is higher food prices, which some the world's poorest simply cannot pay.

See also Renewable energy.

## Ethnobotany

The field of ethnobotany is concerned with the relationship between indigenous cultures and plants. Plants play a major and complex role in the lives of indigenous peoples, providing nourishment, shelter, and medicine. Some plants have had such a major effect on traditional cultures that religious ceremonies and cultural beliefs were developed around their use. Ethnobotanists study and document these relationships.

The discovery of many plant-derived foods and medicines first used by indigenous cultures has changed the modern world. On the economic side, the field of ethnobotany determines the traditional uses of plants to find other potential applications for food, medicine, and industry. As an academic discipline, ethnobotany studies the interaction between peoples and plant life to learn more about human culture, history, and



Peter Gorman and a local shaman study medicinal plants near the Javari River in the Amazon rainforest. (Alison Wright/Photo Researchers, Inc.)

development. Ethnobotany draws upon many academic areas including anthropology, archeology, biology, ecology, chemistry, geography, history, medicine, religious studies, economics, linguistics, and sociology to help understand the complex interaction between traditional human cultures and the plants around them.

Early explorers who observed how native peoples used plants and then carried those useful plants back to their own cultures might be considered the first ethnobotanists, although that is not a word they would have used to describe themselves. The plant discoveries these explorers made caused the expansion of trade between many parts of the globe. For example, civilizations were changed by the discovery and subsequent trade of sugar, tea, coffee, and spices, including cinnamon and black pepper.

During his 1492 voyage to the Caribbean, Genoese explorer Christopher Columbus (c.1451–1506) discovered tobacco in Cuba and took it back with him to Europe, along with later discoveries of corn, cotton, and allspice. Other Europeans traveling to the Americas discovered tomatoes, potatoes, cocoa, bananas, pineapples, and other useful plants and medicines. Latex rubber was discovered in South America when European explorers observed native peoples dipping their feet in the rubber compound before walking across hot coals.

The study of plants and their place in culture has existed for centuries. In India the *vedas*, which are long religious poems that have preserved ancient beliefs for thousands of years, contain descriptions of the use and value of certain plants. Descriptions of how certain plants can be used have been found in the ancient Egyptian scrolls. More than 500 years ago the Chinese made records of medicinal plants. In ancient Greece, the philosopher Aristotle (384 BCE–322 BCE) wrote about the uses of plants in early Greek culture, and theorized that each plant had a unique spirit. The Greek surgeon Dioscorides in AD 77 recorded the medicinal and folk use of nearly 600 plants in the Mediterranean region. During the Middle Ages, there existed many accounts of the folk and medicinal use of plants in Europe in books called herbals.

As the study of plants became more scientific, ethnobotany evolved as a field. One of the most important contributors to the field was Carl Linnaeus (1707–1778), a Swedish botanist who developed the system of naming organisms that is still used today. This system of binomial classification gives each organism a Latin genus and species name. It was the first system that enabled scientists speaking different languages to organize and accurately record new plant

discoveries. In addition to naming the 5,900 plants known to European botanists, Linnaeus sent students around the world looking for plants that could be useful to European civilization. This was an early form of economic botany, an offshoot of ethnobotany that is interested primarily in practical developments of new uses for plants. Pure ethnobotany is more sociology-based, and is primarily interested in the cultural relationship of plants to the indigenous peoples who use them.

In the nineteenth century, bioprospecting, (the active search for valuable plants in other cultures) multiplied as exploration expanded across the globe. The most famous ethnobotanist of the 1800s was Richard Spruce (1817–1893), an Englishman who spent seventeen years in the Amazon living among the native people and observing and recording their use of plants. Observing a native treatment for fever, Spruce collected cinchona bark (*Cinchona* species) from which the drug quinine was developed. Quinine has saved the lives of millions of people infected with malaria. Spruce also documented the native use of hallucinogenic plants used in religious rituals and the accompanying belief systems associated with these hallucinogenic plants.

Many native cultures on all continents believed that certain psychoactive plants that caused visions or hallucinations gave them access to spiritual and healing powers. Spruce discovered that for some cultures, plants were central to the way human life and the world was perceived. Thus, the field of ethnobotany began expanding from the study of the uses of plants by native peoples, to how plants and cultures interacted on more sociological or philosophical/religious grounds.

An American botanist named John Harshberger (1869–1929) first coined the term ethnobotany in 1895, and the field evolved into an academic discipline in the twentieth century. The University of New Mexico offered the first master's degree in ethnobotany. Their program concentrated on the ethnobotany of the Native Americans of the southwestern United States.

In the twentieth century one of the most famous ethnobotanists and plant explorers was American Harvard professor Richard Evans Schultes (1915–2001). Inspired by the story of Richard Spruce, Schultes lived for twelve years with several indigenous tribes in South America while he observed and documented their use of plants as medicine, poison, and for religious purposes. Schultes discovered many plants that have subsequently been investigated for pharmaceutical use. Schultes' work also provided further insight into the use

of hallucinogenic plants by native peoples and the cultural significance of that practice.

Interest in ethnobotany increased substantially in the 1990s. One study showed that the number of papers in the field doubled in the first half of the 1990s, when compared to the last half of the 1980s. Beginning in 1992, the World-Wide Fund for Nature (WWF) and United Nations Educational Scientific and Cultural Organization - Man and the Biosphere Program (UNESCO-MAB) joined forces to launch the People and Plants initiative. This initiative was formed to promote the study of ethnobotany and the sustainable use of plants for medicines and other products. By the start of twenty-first century, ethnobotany was an accepted academic field. By the early 2000s several universities around the world offered graduate programs in ethnobotany, and undergraduate programs were becoming more common. In addition, the pharmaceutical industry continued to research plants used by indigenous cultures in a quest for new or more effective drugs. The WWF and UNESCO-MAB partnered with the Royal Botanic Gardens at Kew in 2004 to develop an international support and training program for local ethnobotanists termed People and Plants.

Many countries conduct ethnobotanical studies of their native cultures. A research survey showed that nearly 40 percent of ethnobotanical studies are being conducted in North America, but other countries including Brazil, Columbia, Germany, France, England, India, China, and Kenya, are also active in the field. For instance, ethnobotanists in China and India are recording traditional medicine systems that use native plants. Researchers are also studying traditional sustainable agriculture methods in Africa in the hope of applying them to new drought-management techniques.

Interest in the field of ethnobotany has increased as scientists have learned more about indigenous cultures. Primitive people were once viewed as backward and lacking in knowledge compared to the civilized world, but ethnobotanists and other scientists have shown that native peoples often have developed sophisticated knowledge about preparing and using plants for food and medicine. Several scientific journals, such as the *Journal of Ethnobiology* and *Ethnobotany Research and Applications*, publish ethnobotany research.

The ways in which people and plants interact are broad and complex, and the modern field of ethnobotany, in its many areas of inquiry, reflects this complexity. Areas of interest to ethnobotanists include

traditional peoples' knowledge and interaction with plant life, traditional agricultural practices, indigenous peoples' conception of the world around them and the role of plants in their religious belief systems and rituals, how plants are used to make products and art objects, the knowledge and use of plants for medicine (a sub-field called ethnopharmacology), and the historical relationship between plants and past peoples (a sub-field called paleoethnobotany).

At the beginning of the 2010s, ethnobotanists were fighting a war against time, because the world's native cultures and stores of plant life were disappearing at a rapid pace. Language researchers have estimated that the world lost more than half of its spoken languages in the twentieth century. In 2010 the United Nations Educational, Scientific, and Cultural Organization (UNESCO) asserted that "half of the 6,700 languages spoken today are in danger of disappearing before the century ends." The loss of traditional languages indicates that indigenous cultures are disappearing quickly as well. Historically, up to 75 percent of all useful plant-derived compounds have been discovered through the observation of folk and traditional plant use. Ethnobotanists are concerned that modern people will lose a storehouse of valuable plant knowledge, as well as rare cultural and historical information, as these indigenous cultures disappear.

Ethnobotanists, like many scientists, are concerned about environmental devastation from development pressure and slash-and-burn agriculture in less developed regions that are rich and diverse in plant life, such as the Amazon rainforest. American Harvard biologist E. O. Wilson (1929–) has estimated that the world is losing as many as seventy-four species per day. To put ethnobotanists' concerns about forest loss in perspective, a single square kilometer of rainforest may contain more plant species than an area in North America equaling the size of Vermont. And, of all the plant species in the rainforest, fewer than 1 percent have been scientifically analyzed for potential uses. Considering that 25 percent of all prescription drugs in the United States contain active ingredients derived from plants, the continuing loss of the world's plant communities could be an incalculable loss.

In the face of disappearing indigenous peoples and the loss of botanically rich habitat, ethnobotanists must work quickly. Active areas in the field of ethnobotany include the identification of new plant-derived foods, fibers, and pharmaceuticals, investigation of traditional agricultural methods and vegetation management, using traditional technologies for sustainable development, and the deeper understanding of ecology and ways to preserve the biodiversity of ecosystems.

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Douglas Dupler

EU see **European Union**.

## Eurasian milfoil

Eurasian milfoil (*Myriophyllum spicatum*) is a feathery-looking underwater plant that has become a major nuisance in waterways in the United States. Introduced into the country more than seventy years ago as an ornamental tank plant by the aquarium industry, it has since spread to every contiguous state except Montana and Wyoming. It is particularly abundant in the Chesapeake Bay, the Potomac River, and in some Tennessee Valley reservoirs.

Eurasian milfoil is able to tolerate a wide range of salinity in water and grows well in inland fresh waters as well as in brackish coastal waters. The branched stems grow from 1–10 feet (0.3–3 m) in length and are upright when young, becoming horizontal as they get older. Although most of the plant is underwater, the tips of the stems often project from the surface. Where the water is hard, the stems may get stiffened by calcification. The leaves are arranged in whorls of four around the branches with twelve to sixteen pairs of leaflets per leaf. As with other milfoils, the red flowers of Eurasian milfoil are small and inconspicuous. As fragments of

the plant break off, they disperse quickly through an area, with each fragment capable of putting out roots and developing into a new plant. Due to its extremely dense growth, Eurasian milfoil has become a nuisance that often impedes the passage of boats and vessels along navigable waterways. It can cause considerable damage to underwater equipment and lead to losses of time and money in the maintenance and operation of shipping lanes. It also interferes with recreational water uses such as fishing, swimming, and diving. In the prairie lakes of North Dakota, the woody seeds of this plant are eaten by some waterfowl such as ducks. However, this plant appears to have little wildlife value, although it may provide some cover to fishlife.

Aquatic weed control experts recommend that vessels that have passed through growths of Eurasian milfoil be examined and plant fragments removed. They also advocate the physical removal of plant growths from shorelines and other areas with a high potential for dispersal. Additionally, chemical agents are also often used to control the weed. Some of the commonly used herbicides include 2,4-D and Diquat. These are usually diluted with water and applied at the rate of one to two gallons per acre. The application of herbicides to control aquatic weed growth is regulated by environmental agencies due to the potential of harm to non-target organisms. Application times are restricted by season and duration of exposure and depend on the kind of herbicide used, its mode of action, and its impacts on the other biota in the aquatic system.

See also Aquatic chemistry; Commercial fishing.

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Usha Vedagiri

European Economic Community (EEC) see **European Union**.

European Greens see **Green politics**.

## European Union

The European Union (EU) is a political and monetary organization of European nations. Its members as of 2010 were Austria, Belgium, Bulgaria, Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, The Netherlands, Poland, Portugal, Romania, Slovakia, Slovenia, Spain, Sweden, and the United Kingdom. Croatia, former Yugoslav Republic of Macedonia, Iceland, and Turkey are candidate countries seeking membership. Although member states retain their national governments, the EU promulgates transnational laws and treaties, has a unified agricultural policy, and has removed trade barriers between members. Most of the EU uses a common currency, the euro. The EU represents about a quarter of the global economy, roughly equal to that of the United States.

The European Union developed gradually in the years after World War II. It began in 1957 as the European Economic Community, or EEC, which originally included France, West Germany, Italy, Belgium, the Netherlands, and Luxembourg. The EEC was superseded by the European Community, or EC, in 1967. The principal aim of the EC was to foster free trade among member countries, eliminating tariffs and customs barriers. The EC expanded its role in the 1970s and 1980s. As more states joined, the EC began to strengthen political cooperation among members, holding meetings to coordinate foreign policy and international law. By the mid-1980s, plans were underway to transform the EC into a single European market. This single market would have a central banking system, a transnational currency, and a unified foreign policy. These goals were articulated in the Single European Act of 1987, which also for the first time set out environmental protection as an important goal of European economic development. A treaty written in 1991, called the Maastricht Treaty or the Treaty on European Union, spelled out the future shape of the European Union. All the member states had ratified the treaty by November 1993, when the European Union officially superseded the EC. The EU went on to launch a new currency, the euro, in 1999. In January 2002 the euro replaced existing national currencies of EU member states. The UK retained its national currency, the pound.

The EU had incorporated environmental policy into its economic plans beginning in 1987. New members were required to conform to certain environmental

standards. And the EU was able to make regional environmental policy, which often made more sense than national laws for problems such as air and water pollution that extended across national borders. Environmental regulation in the EU was generally stricter than in the United States, though member states did not always comply with the law. The EU was often quicker than the United States to implement environmental strategy, such as rules for computer and cell phone disposal. The EU approved the 1997 Kyoto Protocol, an international agreement to reduce the production of greenhouse gases to arrest global warming. As details of the implementation of the plan were being worked out over the next five years, EU negotiators remained committed to curtailing European gas emissions even after it became clear that the United States would not sign the Kyoto treaty. With the EU representing 25 percent of the global economy, the organization's stance on environmental issues was always significant.

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Angela Woodward

## Eutectic

Refers to a type of solar heating system that makes use of phase changes in a chemical storage medium. At its melting point, any chemical compound must absorb a quantity of heat to change phase from solid to liquid, and at its boiling point, it must absorb an additional quantity of heat to change to a gas. Conversely, a compound releases a quantity of heat when condensing or freezing. Therefore, a chemical warmed by solar energy through a phase change releases far more energy when it cools than, for example, water heated from a cool liquid to a warm liquid state. For this reason, solar heating systems that employ phase-changing chemicals can store more energy in a compact space than water-based systems.

Eutrophication see **Cultural eutrophication**.

## Evapotranspiration

Evapotranspiration is a key part of the hydrologic cycle. Some water evaporates directly from soils and water bodies, but much is returned to the atmosphere by transpiration (a word combining transport and evaporation) from plants via openings in the leaves called stomata. Within the same climates, forests and lakes yield about the same amount of water vapor. The amount of evapotranspiration is dependent on energy inputs of heat, wind, humidity, and the amount of stored soil water. In climate studies this term is used to indicate levels of surplus or deficit in water budgets. In this context, aridity may be defined as an excess of potential evapotranspiration over actual precipitation, whereas in humid regions the amount of runoff correlates well with the surplus of precipitation over evapotranspiration.

See also Erosion; Flooding; Soil conservation.

## Everglades

A swampy region in southern Florida, the Everglades are described as a vast, shallow sawgrass (*Cladium effusum*) marsh with tree islands, wet prairies, and aquatic sloughs. The Everglades historically covered most of southeastern Florida, prior to massive drainage and reclamation projects launched at the beginning of the twentieth century. The glades constitute the southern end of the Kissimmee Lake Okeechobee Everglades system, which encompasses most of south and central Florida below Orlando. Originally the Everglades covered an area approximately forty miles (64 km) wide and one hundred miles (161 km) long, or 2.5 million acres (1 million hectares), but large segments have been isolated by canals and levees. Today, intensive agriculture in the north and rapid urban development in the east are among the Everglades' various land uses.

Two general habitat regions can be demarcated in the Everglades. The first includes three water conservation areas, basins created to preserve portions of the glades and provide multiple uses, such as water supply. This region is located in the northern Everglades and contains most of the intact natural marsh. The second is the southern habitat, which includes the Everglades National Park and the southern third of the three water conservation areas. The park has been designated a World Heritage Site of international ecological significance, and

the Everglades as a whole are one of the outstanding freshwater ecosystems in the United States.

Topographically flat, elevations in the Everglades are generally less than 20 feet (6.1 meters). The ground slopes north to south at an average gradient of 0.15 ft per mile, with the highest elevations in the north and the lowest in the south. The climate is generally subtropical, with long, hot, humid, and wet summers from May to October followed by mild, dry winters from November to April. During the wet season severe storms can result in lengthy periods of flooding, while during the dry season cool, sometimes freezing temperatures can be accompanied by thunderstorms, tornadoes, and heavy rainfall.

Before the Everglades were drained, large areas of the system were inundated each year as Lake Okeechobee overflowed its southern rim. The River of Grass flowed south and was in constant flux through evapotranspiration, rainfall, and water movement into and out of the Everglades' aquifer. The water discharged into the tidewaters of south Biscayne Bay, Florida Bay, and Ten Thousand Islands.

In the early 1880s, Philadelphia industrialist Hamilton Disston (1844–1896) began draining the Everglades under a contract with Florida trustees. Disston, whose work ceased in 1889, built a substantial number of canals, mainly in the upper waters of the Kissimmee River, and constructed a canal between Lake Okeechobee and the Calooshattee River to provide an outlet to the Gulf of Mexico. The Miami River was channelized beginning in 1903, and other canals—the Snapper Creek Canal, the Cutler Canal, and the Coral Gables Waterway—were opened to help drain the Everglades. Water tables in south Florida fell 5–6 feet (1.5–1.8 m) below 1900 levels, causing stress to wetlands systems and losses of peat up to 6 feet (1.8 m) in depth.

Full-scale drainage and reclamation occurred under Governor W.S. Jennings (1901–1905) and Governor Napoleon Bonaparte Broward (1905–1909). In 1907 the Everglades Drainage District was created and built six major canals over four hundred miles long before it suffered financial collapse in 1928. These canals enabled agriculture to flourish within the region. In the late 1920s, when settlers realized better water control and flood protection were needed, low muck levees were built along Lake Okeechobee's southwest shore, eliminating the lake's overflow south to the Everglades. But hurricanes in 1926 and 1928 breached the levees, destroying property and killing 2,100 people. As a result the Lake Okeechobee Flood Control District was established in 1929, and over the following fifteen



A swampy area in the Florida Everglades. (Tomasz Szymanski/Shutterstock.com)

years the United States Army Corps of Engineers constructed and enlarged flood control canals.

It was only in the mid-1950s, with the development and implementation of the Central and Southern Florida Project for Flood Control & Other Purposes (C&SF Project), that water control took priority over uncontrolled drainage of the Everglades. The project, completed by 1962, was to provide flood protection, water supply, and environmental benefits over a 1,600 square-mile (41,440-square-kilometer) area. It consists of 1,500 miles (2,415 km) of canals and levees, 125 major water control structures, eighteen major pumping stations, thirteen boat locks, and several hundred smaller structures. Interspersed throughout the Everglades is a series of habitats, each dominated by a few or in some cases a single plant species. Seasonal wetlands and upland pine forests, which once dominated the historic border of the system, have come under the heaviest pressure from urban and agricultural development. In the system's southern part, freshwater wetlands are superseded by muhly grass (*Muhlenbergia filipes*), prairies, upland pine and tropical hardwood forests, and mangrove forests that are influenced by the tides.

Attached algae, also known as periphyton, are an important component of the Everglades food web, providing both organic food matter and habitat for various grazing invertebrates and forage fish that are eaten by wading birds, reptiles, and sport fish. These algae include calcareous and filamentous algae (*Scytonema hoffmani*, *Schizothrix calcicola*) and diatoms (*Mastogloia smithii* v. *lacustris*). Sawgrass (*Cladium jamaicense*) constitutes one of the main plants occurring throughout the Everglades, being found in 65–70 percent of the remaining freshwater marsh. In the north the sawgrass grows in deep peat soils and is both dense and tall, reaching up to 10 feet (3 m) in height. In the south it grows in low-nutrient marl soils and is less dense and shorter, averaging 2.5–5 feet (0.75–1.5 m). Sawgrass is adapted to survive both flooding and burning. Stands of pure sawgrass as well as mixed communities are found in the Everglades. The mixed communities can include maidencane (*Panicum hemitomon*), arrowhead (*Sagittaria lancifolia*), water hyssop (*Bacopa caroliniana*), and spikerush (*Eleocharis cellulosa*).

Wet prairies, which together with aquatic sloughs provide habitat during the rainy season for a wide

variety of aquatic invertebrates and forage fish, are another important habitat of the Everglades system. They are seasonally inundated wetland communities that require certain standing water for six to ten months. Once common, today more than 1,500 square miles (3,885 square kilometers) of these prairies have been drained or destroyed. The lowest elevations of the Everglades are ponds and sloughs, which have deeper water and longer inundation periods. They occur throughout the system, and in some cases can be formed by alligators in peat soils. Among the types of emergent vegetation commonly found in these areas are white water lily (*Nymphaea odorata*), floating heart (*Nymphoides aquatica*), and spatterdock (*Nuphar luteum*). Common submerged species include bladderwort (*Utricularia*) and the periphyton mat community. Ponds and sloughs serve as important feeding areas and habitat for Everglades wildlife.

At the highest elevations are found communities of isolated trees surrounded by marsh, called tree islands. These provide nesting and roosting sites for colonial birds and habitat for deer and other terrestrial animals during high-water periods. Typical dominant species constituting tree islands are red bay (*Persa borbonia*), swamp bay (*Magnolia virginiana*), dahoon holly (*Ilex cassine*), pond apple (*Annona glabra*), and wax myrtle (*Myrica cerifera*). Beneath the canopy grows a dense shrub layer of cocoplum (*Chrysobalanus icacao*), buttonbush (*Cephalanthus accidentalis*), leather leaf fern (*Acrostichum danaeifolium*), royal fern (*Osmunda regalis*), cinnamon fern (*O. cinnamomea*), chain fern (*Anchistea virginica*), bracken fern (*Pteridium aquilinum*), and lizards tail (*Saururus cernuus*).

In addition to the indigenous plants of the Everglades, numerous exotic and nuisance species have been brought into Florida and have now spread in the wild. Some threaten to invade and displace indigenous species. Brazilian pepper (*Schinus terebinthifolius*), Australian pine (*Casuarina equisetifolia*), and melaleuca (*Melaleuca quinquenervia*) are three of the most serious exotic species that have gained a foothold and are displacing native plants.

There are sixty-nine species on the federal list of endangered species within the Everglades. Of the forty mammal species found in the Everglades, there are two endangered species: the Florida panther (*Felis concolor coryi*) and the West Indian manatee (*Trichechus manatus*). There are three threatened and four endangered species of birds including piping plover (*Charadrius melodus*), the wood stork (*Mycteria americana*), snail kite (*Rostrhamus sociabilis*), and the red-cockaded

woodpecker (*Picoides borealis*). Endangered or threatened reptiles and amphibians include the green sea turtle (*Chelonia mydas*), the eastern indigo snake (*Drymarchon corais couperi*), and the loggerhead sea turtle (*Caretta caretta*).

The alligator (*Alligator mississippiensis*) was once endangered due to excessive alligator hide hunting. In 1972 the state made alligator product sales illegal. Protection allowed the species to recover, and it is now widely distributed in wetlands throughout the state. It is still listed as threatened by the federal government, but in 1988 Florida instituted an annual alligator harvest. According to the non-profit National Parks Conservation Association (NPCA), by 2010 there were over a million alligators in the United States, with most living in Florida and three other southern coastal states (Texas, Louisiana, and Georgia).

Faced with pressures on Everglades habitats and the species within them, as well as the need for water management within the rapidly developing state, in 1987 the Florida legislature passed the Surface Water Improvement and Management Act. The law requires the state's five water management districts to identify areas needing preservation or restoration. The Everglades Protection Area was identified as a priority for preservation and improvement planning. Within the state's protection plan, excess nutrients, in large part from agriculture, have been targeted as a major problem that causes natural periphyton to be replaced by species more tolerant of pollution. In turn, sawgrass and wet prairie communities are overrun by other species, impairing the Everglades' ability to serve as habitat and forage for higher trophic level species.

A federal lawsuit was filed against the South Florida Management District in 1988 for phosphorus (chemical symbol P) pollution, and in 1989 President George H. W. Bush (1924–) authorized the addition of more than 100,000 acres to the Everglades National Park. The law that authorized this addition was Public Law 101-229, otherwise known as the Everglades National Park Protection and Expansion Act of 1989. Included in this legislation was the stipulation that the Army Corps of Engineers improve water flow to the Park. In 1994 the Everglades Forever Act was passed by the Florida state legislature. The act called for construction of experimental marshes termed Stormwater Treatment Areas that were designed to remove phosphorus from water entering the Everglades. In 1997 six more Stormwater Treatment Areas were constructed and phosphorus removal was estimated to be as much as 50 percent, due in part to better management practices that were

mandated by the Everglades Forever Act. In 2000 President Bill Clinton (1946–) authorized the spending of billions of federal dollars to restore the Everglades by signing the Water Resources Development Act (WRDA) into law, while Florida Governor Jeb Bush (1953–) agreed to a state commitment of 50 percent of the cost, in a bill referred to as the Everglades Restoration Investment Act. More than \$2 billion from state and local resources were allocated for the Save Our Everglades Trust Fund to benefit restoration over a ten-year period. In 2000 the Florida government passed the Lake Okeechobee Protection Act (LOPA), which provided \$800 million for water quality improvement in the Everglades. In 2001 and 2002, the state of Florida, under Governor Jeb Bush, and the federal government, under President George W. Bush (1946–), committed \$7.8 billion dollars to implement the Comprehensive Everglades Restoration Plan (CERP). The Northern Everglades and Estuaries Protection Program was established in 2007 to expand the area protected and restored by the LOPA funds to include the Lake Okeechobee watershed and Caloosahatchee River and St. Lucie Rivers and estuaries. Also in 2007 Congress passed a new WRDA to fund further projects in the thirty-year, \$10.9 billion CERP and changed the funds for prior authorized projects to reflect increased costs.

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## Evolution

Evolution is an all-pervading concept in modern science applied to physical as well as biological processes. The phrase *theory of evolution*, however, is most commonly associated with organic evolution, the origin and evolution of the enormous diversity of life on this planet.

The idea of organic evolution arose from attempts to explain the immense diversity of living organisms and dates from the dawn of culture. Attempts to formulate a naturalistic explanation of the phenomena of evolution in one form or another had been proposed, and by the end of the eighteenth century a number of naturalists from Carolus Linnaeus to Jean-Baptiste Lamarck had questioned the prevailing doctrine of fixity of species. Lamarck came the closest to proposing a complete theory of evolution, but for several reasons his theory fell short and was not widely accepted.

Two English naturalists conceived the first truly complete theory of evolution. In a most remarkable coincidence, working quite independently, Charles Darwin and Alfred Russell Wallace arrived at essentially the same thesis, and their ideas were initially publicized jointly in 1858. However, it is to Charles Darwin that the recognition as the founder of modern evolution is attributed. His continued efforts toward a detailed development of the evidence and the explication of a complete, convincing theory of organic evolution resulted in the publication in 1859 of his book *On the Origin of Species by Natural Selection*. With the publication of this volume, widely regarded as one of the most influential books ever written, regardless of subject matter, the science of biology would never be the same.

Darwin was the first evolutionist whose theories carried conviction to a majority of his contemporaries. He set forth a formidable mass of supporting evidence in the form of direct observations from nature, coupled with a comprehensive and convincing synthesis of current knowledge. Most significantly, he proposed a rational, plausible instrumentality for evolutionary change: natural selection.

The theory Darwin presented is remarkably simple and rational. In brief, the theoretical framework of evolution presented by Darwin contained three basic elements. First is the existence of variation in natural populations. In nature there are no individuals who are exactly alike, therefore natural populations always consist of members who all differ from one another to some degree. Many of these differences are heritable

and are passed on from generation to generation. Second, some of these varieties are better adapted to their environment than others. Third, the reproductive potential of populations is unlimited. All populations have the capacity to overproduce, but populations in nature are limited by high mortality. Thus, if all offspring cannot survive each generation, the better-adapted members have a greater probability of surviving each generation than those less adapted.

Thomas Henry Huxley, when first presented with Darwin's views, was supposed to have exclaimed: "How extremely stupid not to have thought of that." This apocryphal statement expresses succinctly the immediate intelligibility of this momentous discovery.

Darwin's theory as presented was incomplete, as he was keenly aware, but the essential parts were adequate at the time to demonstrate that the material causes of evolution are possible and can be investigated scientifically.

One of the most critical difficulties with Darwin's theory was the inability to explain the source of hereditary variations observed in populations and, in particular, the source of hereditary innovations which, through the agency of natural selection, could eventually bring about the transformation of a species. The lack of existing knowledge of the mechanism of heredity in the mid-1800s precluded a scientifically sound solution to this problem.

The re discovery in 1900 of Gregor Mendel's experiments demonstrating the underlying process of inheritance did not at first provide a solution to the problem facing Darwinian evolutionary theory. In fact, in one of the most extraordinary paradoxes in the history of science, during the first decades of Mendelian genetics, a temporary decline occurred in the support of Darwinian selectionism. This was primarily because of the direction and level of research as much as the lack of knowledge in further details of the underlying mechanisms of inheritance.

It was not until the publication of Theodosius Dobzhansky's *Genetics and the Origin of Species* (1937) that the modern synthetic theory of evolution began to take form, renewing confidence in selectionism and the Darwinian theory. In his book Dobzhansky, for the first time, integrated the newly developed mathematical models of population genetics with the facts of chromosomal theory and mutation together with observations of variation in natural populations.

Dobzhansky also stimulated a series of books by several major evolutionists that provided the impetus and direction of research over the next decade, resulting in a reformulation of Darwin's theory. This

became known as the *synthetic theory of evolution*. Synthetic is used here to indicate that there has been a convergence and synthesis of knowledge from many disciplines of biology and chemistry.

The birth of molecular genetics in 1950 and the enormous expansion of knowledge in this area have affected the further development and refinement of the synthetic theory markedly. But it has not altered the fundamental nature of the theory. Darwin's initial concept in this expanded, modernized form has taken on such stature as to be generally considered as the most encompassing theory in biology. To the majority of biologists, the synthetic theory of evolution is a grand theory that forms the core of modern biology. It also provides the theoretical foundation upon which most all other theories find support and comprehension. Or, as the distinguished evolutionary biologist Dobzhansky so succinctly put it: "Nothing in life makes sense except in the light of evolution."

If the three main elements of the Darwinian theory were to be restated in terms of the modern synthetic theory, they would be as follows. First, genetic systems governing heredity in each organism are composed of genes and chromosomes that are discrete but tightly interacting units of different levels of complexity. The genes, their organized associations in chromosomes, and whole sets of chromosomes, have a large degree of stability as units. But these units are shuffled and combined in various ways by sexual processes of reproduction in most organisms. The result of this process, called *recombination*, maintains a considerable amount of variation in any population in nature without introducing any new hereditary factors. Genetic experimentation, is, in fact, occurring all the time in natural populations. Each generation, as a result of recombination, is made up of members who carry different hereditary combinations drawn from the common pool of the population. Each member generation in turn interacts within the same environmental matrix which, in effect, "tests" the fitness of these hereditary combinations. The sole source of hereditary innovation from within the population, other than recombination, would come from mutation affecting individual genes, chromosomes or sets of chromosomes, which are then fed into the process of recombination.

Second, populations of similar animals—members of the same species—usually interbreed among themselves making up a common pool of genes. The gene pools of established populations in nature tend to remain at equilibrium from generation to generation. An abundance of evidence has established the fact, as Darwin and others before him had observed, that

populations have greater reproductive capacity than they can sustain in nature.

Third, change, over time, in the makeup of the gene pool, may occur by one of four known processes: mutation; fluctuation in gene frequencies, known as “sampling errors” or “genetic drift,” which is generally effective only in small, isolated populations; introduction of genes from other populations; and differential reproduction.

The first three of these processes do not produce adaptive trends. These are essentially random in their effects, usually nonadaptive and only rarely and coincidentally produce adaptation. Of the four processes, differential reproduction results in adaptive trends. Differential reproduction describes the consistent production of more offspring, on an average, by individuals with certain genetic characteristics than those without those characteristics. This is the modern understanding of natural selection, with emphasis upon success in reproduction. The Darwinian and Neo-Darwinian concepts, on the other hand, placed greater emphasis upon “survival of the fittest” in terms of mortality and survival. Natural selection, in the Darwinian sense, was non-random and produced trends that were adaptive. Differential reproduction produces the same result. In what may appear to be a paradox, differential selection also acts in stabilizing the gene pool by reducing the reproductive success of those members whose hereditary variation result in non-adaptive traits.

As Darwin first recognized, the link between variability or constancy of the environment and evolutionary change or stability is provided by natural selection. The interaction between organisms and their environment will inevitably affect the genetic composition of each new generation. As a result of differential selection, the most fit individuals, in terms of reproductive capacity, contribute the largest proportion of genes or alleles to the next generation. The direction in which natural selection guides the population depends upon both the nature of environmental change or stability and the content of the gene pool of the population.

From the very beginning, evolutionists have recognized the significance of the environment/evolution linkage. This linkage always involves the interactions of a great many individuals both within populations of a single species (intraspecific) and among members of different species (interspecific linkage). These are inextricably linked with changes in the physical environment.

Dobzhansky estimated that the world may contain four to five million different kinds of organisms, each exploiting in various ways a large number of

habitats. The complexity of ecosystems is based upon thousands of different ways of exploiting the same habitats in which each species is adapted to its specifically defined niche within the total habitat. The diversity of these population-environment interactions is responsible for the fact that natural selection can be conservative (normalizing selection) and can promote constancy in the gene pool. It directs continuous change (directional selection), or promotes diversification into new species (diversifying selection).

Recent trends in research have been of special significance in understanding the dynamics of these processes. They have greatly increased the body of information related to the ecological dimension of population biology. These studies have developed methods of analysis and sophisticated models that have made major advances in the study and understanding of the nature of the selective forces that direct evolutionary change. It is increasingly clear that a reciprocal imperative exists between ecological and environmental studies to further our understanding of the role of evolutionary and counter-evolutionary processes affecting stability in biological systems over time. The urgency of the need for an expanded synthesis of ecological and evolutionary studies is driven by the accelerating and expanding counter-evolutionary changes in the world environment resulting from human actions.

Evolutionary processes are tremendously more complex in detail than this brief outline suggests. This spare outline, however, should be sufficient to show that here is a mechanism, involving materials and processes known beyond doubt to occur in nature, capable of shaping and changing species in response to the continuously dynamic universe in which they exist.

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## Exclusive Economic Zone

The Exclusive Economic Zone (EEZ) is a designated area nations use to claim the exclusive right to fish, mine, and otherwise utilize the natural resources located within the zone (e.g., an area bounded and defined by the coastline out to 200 miles [320 km] off the coastline). After World War II, many nations became concerned about the intrusion of foreign fishing vessels into the rich and productive waters off their coasts. The 1958 Convention on Fishing and Conservation of Living Resources of the High Seas, established rules that allowed a coastal nation to impose terms on the uses of natural resources of their coastal oceans. This effort at regulation was unsuccessful, and the rules were never put in place. The policy that ultimately established exclusive economic zones around sovereign nations resulted from provisions of the Third United Nations Conference on the Law of the Sea, held in 1973. As a result of the actions taken in 1958, virtually all of the world's fisheries are controlled by one nation or another. However, the controlling nation must provide access to the resources that it cannot harvest and is responsible for preventing pollution and depletion of the resources in the waters that it controls. In the United States, the Fishery Conservation and Management Act of 1976 established a fishery conservation zone out to 200 miles, however, it wasn't until 1983 that the EEZ was created by presidential proclamation.

Marie H. Bundy

Existence value see **Debt for nature swap**.

## Exotic species

Exotic species are organisms that are introduced to a region or ecosystem, often unintentionally, through human migration or trade. Some exotic species are useful to humans, such as horses, goats, pigs, and edible plants, including wheat and oats. These are examples of species that were brought to the Americas intentionally by European colonists. Other exotic species that were introduced accidentally, such as the Mediterranean fruit fly (*Ceratitis capitata*), Japanese beetle (*Popillia japonica*), and Norway rat (*Rattus norvegicus*) have become pests. Many exotic species, including most tropical fish, birds, and houseplants brought to colder climates, can survive only under continuous care. A few prove extremely

adaptable and thrive in their new environment, sometime becoming invasive and out-competing native species.

The federal government's Office of Technology Assessment has estimated that approximately 2,000 plant species introduced from around the world currently live and thrive in the United States, and that fifteen of these more than \$500 million worth of damage. Other studies indicate approximately 4,000 invasive plant species exist in the United States, of these more than 1,000 species threaten native flora and fauna or agriculture. Economic costs associated with exotic species include agricultural losses; damage to infrastructure, as when aquatic plants clog water intakes; and the costs to restore native species whose survival is endangered by introduced species. A 2004 study estimated the annual economic cost of invasive species at \$138 billion per year in the United States.

Exotic species are most destructive when they adapt readily to their new environment and compete successfully with native species. Unfortunately there is no sure way to know which introduced species will become invasive. Often these plant and animals turn out to be better competitors because, unlike native species, they have no natural pests, parasites, diseases, or predators in their new home.

Purple loosestrife (*Lythrum salicaria*) is an example of a plant that is kept in check in its native habitat, but is invasive in North America. This showy wetland plant with tall purple flowers may have arrived accidentally or been intentionally imported to North America as a garden ornamental. In its native northern Europe, resident beetles feed on its roots and leaves, keeping the loosestrife in check, so that it only appears occasionally and temporarily in disturbed sites. When loosestrife arrived in North America, the beetles, along with other pests and diseases, were left behind. Loosestrife has proven to be extremely adaptable and has become an aggressive weed across much of the American East and Midwest, often taking over an entire wetland and choking out other plants, eliminating much of the wetland biodiversity.

In addition to the competitive advantage of having few predators, invasive exotic plants and animals may have ecological characteristics that make them especially competitive. They can be hardy, adaptable to diverse habitat conditions, and able to thrive on a variety of food sources. They may reproduce rapidly, generating large numbers of seeds or young that spread quickly. If they are aggressive colonizers adapted to living in a marginal habitat, introduced species can drive resident natives from their established sites and

food sources, especially around the disturbed environments of human settlement.

This competitiveness has been a problem, for example, with house sparrows (*Passer domesticus*). These birds were intentionally introduced from Europe to North America in 1850 to control insect pests. Their aggressive foraging and breeding habits often drive native sparrows, martins, and bluebirds from their nests, and today house sparrows are one of the most common birds in North America. Exotic plants can also become nuisance species when they crowd, shade, or out-propagate their native competitors. They can be extraordinarily effective colonists, spreading quickly and eliminating competition as they become established.

The list of species introduced to the Americas from Europe, Asia, and Africa is immense, as is the list of species that have made the reverse trip from the Americas to Europe, Asia, and Africa. Some notable examples are kudzu (*Pueraria lobata*), the zebra mussel (*Dreissena polymorpha*), Africanized bees *Apis mellifera scutellata*, and Eurasian milfoil (*Myriophyllum spicatum*).

Kudzu is a cultivated legume in Japan. It was intentionally brought to the southern United States for ground cover and erosion control. Fast growing and tenacious, kudzu quickly overwhelms houses, tangles in electric lines, and chokes out native vegetation.

Africanized “killer” bees were accidentally released in Brazil by a beekeeper in 1957. These aggressive insects have no more venom than standard honey bees (also an Old World import), but they attack more quickly and in great numbers. Breeding with resident bees and sometimes traveling with cargo shipments, Africanized bees have spread north from Brazil at a rate of up to 200 miles (322 km) each year and now threaten to invade commercially valuable fruit orchards and domestic bee hives in Texas and California.

The zebra mussel, accidentally introduced to the Great Lakes around 1985, presumably in ballast water dumped by ships arriving from Europe, colonizes any hard surface, including docks, industrial water intake pipes, and the shells of native bivalves. Each female zebra mussel can produce 50,000 eggs a year. Growing in masses with up to 70,000 individuals per square foot, these mussels clog pipes, suffocate native clams, and destroy breeding grounds for other aquatic animals. They are also voracious feeders, competing with fish and native mollusks for plankton and microscopic plants. The economy and environment of the Great Lakes now pay the price of zebra mussel infestations. Area industries spend hundreds of millions of dollars annually unclogging pipes and equipment, and commercial fishermen complain of decreased catches.

Eurasian milfoil is a common aquarium plant that can propagate from seeds or cuttings. A tiny section of stem and leaves accidentally introduced into a lake by a boat or boat trailer can grow into a huge mat covering an entire lake. When these mats have consumed all available nutrients in the lake, they die and rot. The rotting process robs fish and other aquatic animals of oxygen, causing them to die.

Exotic species have brought ecological disasters to every continent, but some of the most extreme cases have occurred on isolated islands where resident species have lost their defensive strategies. For example, rats, cats, dogs, and mongooses introduced by eighteenth-century sailors have devastated populations of ground-breeding birds on Pacific islands. Rare flowers in Hawaii suffer from grazing goats and rooting pigs, both of which were brought to the island for food, but have escaped and established wild populations. Grazing sheep threaten delicate plants on ecologically fragile North Atlantic islands, while rats, cats, and dogs endanger northern seabird breeding colonies. Rabbits introduced into Australia overran parts of the island and wiped out hundreds of acres of grassland.

Humans have always carried plants and animals as they migrated from one region to another with little regard to the effects these introductions might have on their new habitat. Many introduced species seem benign, useful, or pleasing to have around, making it difficult to predict which imports will become nuisance species. When an exotic plant or animal threatens human livelihoods or economic activity, as do kudzu, zebra mussels, and killer bees, people begin to seek ways to control these invaders.

Control efforts include using pesticides and herbicides, and introducing natural predators and parasites from the home range of the exotic plant or animal. For example, beetles that naturally prey on purple loosestrife have been experimentally introduced in American loosestrife populations. This deliberate introduction requires a great deal of care, research, and monitoring, however, to ensure that an even worse problem does not result, as happened with the house sparrow. Such solutions, and the time and money to develop them, are usually elusive and politically controversial, so in many cases effective control methods remain unavailable.

In 1999 President Bill Clinton signed the Executive Order on Invasive Species. This order established the Invasive Species Council to coordinate the activities of federal agencies, such as the Aquatic Nuisance Species Task Force, the Federal Interagency Committee for the Management of Noxious and Exotic Weeds, and the Committee on Environment and Natural Resources.

The Invasive Species Council is responsible for the development of a National Invasive Species Management Plan. This plan is intended to be updated every two years to provide guidance and recommendations about the identification of pathways by which invasive species are introduced, and measures that can be taken for their control.

Non-profit environmental organizations across the globe are leading the effort for control of exotic species. For example, The Nature Conservancy has established Landscape Conservation Networks to address issues of land conservation that include invasive species management. These networks bring in outside experts and land conservation partners to develop innovative and cost effective means of controlling exotic species. The Great Lakes to Information Network, managed by the Great Lakes Commission based in Ann Arbor, Michigan, provides online access to information about environmental issues, including exotics species, in the Great Lakes region. The Florida Exotic Pest Plant Council, founded in 1984, provides funding to organizations that educate the public about the impacts of exotic invasive plants in the State of Florida.

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## Experimental Lakes Area

The Experimental Lakes Area (ELA) in northwestern Ontario is in a remote landscape characterized by Precambrian bedrock, northern mixed-species forests, and oligotrophic lakes, and bodies of water deficient in plant nutrients. The Canadian Department of Fisheries and Oceans began developing a field-research facility at ELA in the 1960s, and the area has become the focus of a large number of investigations by David W. Schindler of the University of Alberta and others into chemical and biological conditions in these lakes. Schindler is a pre-eminent environmental researcher in Canada and a vocal critic of industry-driven environmental degradation.

Of the limnological investigations conducted at ELA, the best known is a series of whole-lake experiments designed to investigate the ecological effects of perturbation by a variety of environmental stress factors, including eutrophication, acidification, metals, radionuclides, and flooding during the development of reservoirs.

The integrated, whole-lake projects at ELA were initially designed to study the causes and ecological consequences of eutrophication. In one long-term experiment, Lake 227 was fertilized with phosphate and nitrate. This experiment was designed to test whether carbon could limit algal growth during eutrophication, so none was added. Lake 227 responded with a large increase in primary productivity by drawing on the atmosphere for carbon, but it was not possible to determine which of the two added nutrients, phosphate or nitrate, had acted as the primary limiting factor.

Observations from experiments at other lakes in ELA, however, clearly indicated that phosphate is the primary limiting nutrient in these oligotrophic water bodies. Lake 304 was fertilized for two years with phosphorus, nitrogen, and carbon, and it became eutrophic. It recovered its oligotrophic condition again when the phosphorus fertilization was stopped, even though nitrogen and carbon fertilization were continued. Lake 226, an hourglass-shaped lake, was partitioned with a vinyl curtain into two basins, one of which was fertilized with carbon and nitrogen, and the other with phosphorus, carbon, and nitrogen. Only the latter treatment caused an algal bloom. Lake 302 received an injection of all three nutrients directly into its hypolimnion during the summer. Because the lake was thermally stratified at that time, the hypolimnetic nutrients were not available to fertilize plant growth in the epilimnetic euphotic zone, and no algal bloom resulted. Nitrogen additions to Lake 227 were reduced in 1975 and eliminated in 1990. The lake continued with high levels of productivity by fixing nitrogen from the atmosphere.

Research of this sort was instrumental in confirming conclusively the identification of phosphorus as the most generally limiting nutrient to eutrophication of freshwaters. This knowledge allowed the development of waste management systems which reduced eutrophication as an environmental problem by reducing the phosphorus concentration in detergents, removing phosphorus from sewage, and diverting sewage from lakes.

Another well known ELA project was important in gaining a deeper understanding of the ecological consequences of the acidification of lakes. Sulfuric acid was added to Lake 223, and its acidity was increased

progressively, from an initial pH near 6.5 to pH 5.0–5.1 after six years. Sulfate and hydrogen ions were also added to the lake in increasing concentrations during this time. Other chemical changes were caused indirectly by acidification: manganese increased by 980 percent, zinc by 550 percent, and aluminum by 155 percent.

As the acidity of Lake 223 increased, the phytoplankton shifted from a community dominated by golden-brown algae to one dominated by chlorophytes and dinoflagellates. Species diversity declined somewhat, but productivity was not adversely affected. A mat of the green alga *Mougeotia* sp. developed near the shore after the pH dropped below 5.6. Because of reduced predation, the density of cladoceran zooplankton was larger by 66 percent at pH 5.4 than at pH 6.6, and copepods were 93 percent more abundant. The nocturnal zooplankton predator *Mysis relicta*, however, was an important extinction. The crayfish *Orconectes virilis* declined because of reproductive failure, inhibition of carapace hardening, and effects of a parasite. The most acid-sensitive fish was the fathead minnow (*Pimephales promelas*), which declined precipitously when the lake pH reached 5.6.

The first of many year-class failures of lake trout (*Salvelinus namaycush*) occurred at pH 5.4, and failure of white sucker (*Catostomus commersoni*) occurred at pH 5.1. One minnow, the pearl dace (*Semotilus margarita*), increased markedly in abundance but then declined when pH reached 5.1. Adult lake trout and white sucker were still abundant, though emaciated, at pH 5.0–5.5, but in the absence of successful reproduction they would have become extinct. Overall, the Lake 223 experiment indicated a general sensitivity of many organisms to the acidification of lake water. However, within the limits of physiological tolerance, the tests showed that there can be a replacement of acid-sensitive species by relatively tolerant ones.

In a similarly designed experiment in Lake 302, nitric acid was shown to be nearly as effective as sulfuric acid in acidifying lakes, thereby alerting the international community to the need to control atmospheric emissions of gaseous nitrogen compounds.

As of 2010, research continues at the 58 lakes that comprise the ELA. The area continues to be free of activities that would affect lake water quality, allowing the area to remain one of the world's best sites for studies of freshwater degradation and preservation.

See also Acid rain; Algicide; Aquatic chemistry; C:N ratio; Cultural eutrophication; Water pollution.

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## Exponential growth

Exponential growth refers to growth that occurs at a constant or even accelerating rate with time.

A well-known example is the growth of bacteria under ideal conditions of temperature and nutrients. In such a case, the population can double in set time (for example 15 minutes). In this example, one bacterium will grow and divide to produce two bacteria in 15 minutes. In another 15 minutes there would be four bacteria, then eight in another 15 minutes. Even in very short time of a few hours, the number of bacteria can become very large. Over 24 hours, millions of bacteria are produced.

The notion of exponential growth is of particular interest in population biology, because all populations of organisms have the capacity to undergo exponential growth. The biotic potential or maximum rate of reproduction for all living organisms is very high. Put another way, all species theoretically have the capacity to reproduce themselves many, many times over during their lifetimes. In reality, only a few of the offspring of most species survive, due to reproductive failure, limited availability of space and food, diseases, predation, and other mishaps. A few species, such as the lemming, go through cycles of exponential population growth resulting in severe overpopulation. A catastrophic dieback follows, during which the population is reduced enormously, readying it for the next cycle of growth and dieback. Interacting species will experience related fluctuations in population levels. Generally, however, populations are held stable by environmental resistance, unless an environmental disturbance takes place.

Climatic changes and other natural phenomena may cause such habitat disturbances, but more usually they result from human activity. Pollution, predator

control, and the introduction of foreign species into habitats that lack competitor or predator species are a few examples among many of human activities that may cause declines in some populations and exponential growth in others.

An altogether different case of exponential population growth is that of humans themselves. The human population has grown at an accelerating rate, starting at a low average rate of 0.002% per year early in its history and reaching a record level of 2.06% in 1970. Since then the rate of increase has dropped below 2%, but human population growth is still alarming. In 2010, the estimated number of humans, according to the United States Census Bureau, is about 6.9 billion people. Some scientists have expressed concern that continued growth of the global population in the absence of substantive changes in global food supply could ultimately cause a marked decrease in the population.

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## Externality

Most economists argue that markets ordinarily are the superior means for fulfilling human wants. In a market, deals are ideally struck between consenting adults only when the parties feel they are likely to benefit. Society as a whole is thought to gain from the aggregation of individual deals that take place. The wealth of a society grows by means of what is called the hidden hand of free market mechanisms, which offers spontaneous coordination with a minimum of coercion and explicit central direction. However, the market system is complicated by so-called externalities, which are effects of private market activity not captured in the price system.

Economics distinguishes between positive and negative externalities. A positive externality exists when producers cannot appropriate all the benefits of their activities. An example would be research and development, which yields benefits to society that the producer cannot capture, such as employment in

subsidiary industries. Environmental degradation, on the other hand, is a negative externality, or an imposition on society as a whole of costs arising from specific market activities. Historically, the United States have encouraged individuals and corporate entities to make use of natural resources on public lands, such as water, timber, and even the land itself, in order to speed development of the country. Many undesirable by-products of the manufacturing process, in the form of exhaust gases or toxic waste, for instance, were simply released into the environment at no cost to the manufacturer. The agricultural revolution brought new farming techniques that relied heavily on fertilizers, pesticides, and irrigation, all of which affect the environment. Automobile owners did not pay for the air pollution caused by their cars. Virtually all human activity has associated externalities in the environmental arena, which do not necessarily present themselves as costs to participants in these activities. Over time, however, the consequences have become unmistakable in the form of a serious depletion of renewable resources and in pollution of the air, water, and soil. All citizens suffer from such environmental degradation, though not all have benefited to the same degree from the activities that caused them.

In economic analysis, externalities are closely associated with common property and the notion of free riders. Many natural resources have no discrete owner and are therefore particularly vulnerable to abuse. The phenomenon of degradation of common property is known as the Tragedy of the Commons. The costs to society are understood as costs to non-consenting third parties, whose interests in the environment have been violated by a particular market activity. The consenting parties inflict damage without compensating third parties because without clear property rights there is no entity that stands up for the rights of a violated environment and its collective owners.

Nature's owners are a collectivity that is hard to organize. They are a large and diverse group that cannot easily pursue remedies in the legal system. In attempting to gain compensation for damage and force polluters to pay for their actions in the future the collectivity suffers from the free rider problem. Although everyone has a stake in ensuring, for example, good air quality, individuals will tend to leave it to others to incur the cost of pursuing legal redress. It is not sufficiently in the interest of most members of the group to sue because each has only a small amount to gain. Thus, government intervention is called for to protect the interests of the collectivity, which otherwise would be harmed.

The government has several options in dealing with externalities such as pollution. It may opt for regulation and set standards of what are considered acceptable levels of pollution. It may require reduced lead levels in gasoline and require automakers to manufacture cars with greater fuel economy and reduced emissions, for instance. If manufacturers or social entities such as cities exceed the standards set for them, they will be penalized. With this approach, many polluters have a direct incentive to limit their most harmful activities and develop less environmentally costly technologies. So far, this system has not proved to be very effective. In practice, it has been difficult (or not politically expedient) to enforce the standards and to collect the fines. Supreme Court decisions since the early 1980s have reinterpreted some of the laws to make standards much less stringent. Many companies have found it cheaper to pay the fines than to invest in reducing pollution. Or they evade fines by declaring bankruptcy and reorganizing as a new company.

Economists tend to favor pollution taxes and discharge fees. Since external costs do not enter the calculations a producer makes, the producer manufactures more of the good than is socially beneficial. When polluters have to absorb the costs themselves, to internalize them, they have an incentive to reduce production to acceptable levels or to develop alternative technologies. A relatively new idea has been to give out marketable pollution permits. Under this system, the government sets the maximum levels of pollution it will tolerate and leaves it to the market system to decide who will use the permits. The costs of past pollution (in the form of permanent environmental damage or costly clean-ups) will still be borne disproportionately by society as a whole. The government generally tries to make responsible parties pay for clean-ups, but in many cases it is impossible to determine who the culprit was and in others the parties responsible for the pollution no longer exist.

A special case is posed by externalities that make themselves felt across national boundaries, as is the case with acid rain, ozone layer depletion, and the pollution of rivers that run through more than one country. Countries that suffer from environmental degradation caused in other countries receive none of the benefits and often do not have the leverage to modify the polluting behavior. International conservation efforts must rely on agreements specific countries may or may not follow and on the mediation of the United Nations.

See also Internalizing costs; Trade in pollution permits.

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External costs see **Internalizing costs**.

## Extinction

Extinction is the complete disappearance of a species, when all of its members have died or been killed. As a part of natural selection, the extinction of species has been on-going throughout the earth's history. However, with modern human strains on the environment, plants, animals, and invertebrates are becoming extinct at an unprecedented rate of thousands of species per year, especially in tropical rain forests. Many thousands more are threatened and endangered.

Scientists have determined that mass extinctions have occurred periodically in prehistory, coming about every 50 million years or so. The greatest of these came at the end of the Permian period, some 250 million years ago, when up to 96 percent of all species on the earth may have died off. Dinosaurs and many ocean species disappeared during a well-documented mass extinction at the end of the Cretaceous period (about 65 million years ago). It is estimated that of the billions of species that have lived on the earth during the last 3.5 billion years, 99.9 percent are now extinct.

It is thought that most prehistoric extinctions occurred because of climatological changes, loss of food sources, destruction of habitat, massive volcanic eruptions, or asteroids or meteors striking the earth. Extinctions, however, have never been as rapid and massive as they have been in the modern era. During the last two centuries, more than 75 species of mammals and over 50 species of birds have been lost, along with countless other species that had not yet been identified. According to one estimate, since 1600, including species

and subspecies, the world has lost at least 100 types of mammals and 258 kinds of birds.

The first extinction in recorded history was the European lion, which disappeared around A.D. 80. In 1534, seamen first began slaughtering the great auk, a large, flightless bird once found on rocky North Atlantic islands, for food and oil. The last two known auks were killed in 1844 by an Icelandic fisherman motivated by rewards offered by scientists and museum collectors for specimens. Humans have also caused the extinction of many species of marine mammals. Steller's sea cow, once found on the Aleutian Islands off Alaska, disappeared by 1768. The sea mink, once abundant along the coast and islands of Maine, was hunted for its fur until about 1880, when none could be found. The Caribbean monk seal, hunted by sailors and fishermen, has not been found since 1962.

The early European settlers of America succeeded in wiping out several species, including the Carolina parakeet and the passenger pigeon. The pigeon was one of most plentiful birds in the world's history, and accounts from the early 1800s describe flocks of the birds blackening the sky for days at a time as they passed overhead. By the 1860s and 1870s tens of millions of them were being killed every year. As a result of this overhunting, the last passenger pigeon, Martha, died in the Cincinnati Zoo in 1914. The pioneers who settled the West were equally destructive, causing the disappearance of 16 separate types of grizzly bear, six of wolves, one type of fox, and one cougar. Since the Pilgrims arrived in North America in 1620, over 500 types of native American animals and plants have disappeared.

In the last decade of the twentieth century, the rate of species loss was unprecedented and accelerating. Up to 50 million species could be extinct by 2050, with a rate of three per day. Most of these species extinctions will occur—and are occurring—in tropical rain forests, the richest biological areas on the earth. Rain forests are being cut down at a rate of one to two acres per second.

In 1988, Harvard professor and biologist Edward O. Wilson estimated the current annual rate of extinction at up to 17,500 species, including many unknown rain forest plants and animals that have never been studied or even seen, by humans. Botanist Peter Raven, director of the Missouri Botanical Garden, calculated that a total of one-quarter the world's species could be gone by 2010. A study by the World Resources Institute pointed out that humans have accelerated the extinction rate to 100 to 1,000 times its natural level.

While it is impossible to predict the magnitude of these losses or the impact they will have on the earth and its future generations, it is clear that the results will be profound, possibly catastrophic.

Species whose populations shrink can be at greater risk of extinction than species with large populations. In May 2008, the Zoological Society of London and World Wide Fund for Nature released results of a study indicating a dramatic decline in global wildlife populations during the last four decades. The data showed that between 1970 and 2005, the populations of land-based species fell by 25 percent, of marine species by 28 percent, and of freshwater species by 29 percent. Biodiversity loss was also reported in the form of extinction of about 1 percent of the world's species each year. The two groups, in agreement with most biologists, stated that one of the “great extinction episodes” in Earth's history is now under way.

The losses were attributed to pollution, urbanization, overfishing, and hunting, but both groups emphasized that climate change would play an increasing role in species decline over coming decades.

*See also* Biodiversity; Climate; Dodo; Endangered species.

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Lewis G. Regenstein

## Exxon Valdez

On March 24, 1989, the 987-foot super tanker *Exxon Valdez* outbound from Port Valdez, Alaska, with a full load of oil from Alaska's Prudhoe Bay passed on the wrong side of a lighted channel marker guarding a shallow stretch of Prince William Sound. The momentum of the large ship carried it onto Bligh Reef and opened a 6 x 20 ft hole in the ship's hull. Through this hole poured 260,000 barrels (11 million gallons) to 750,000 barrels (31.5 million gallons) of crude oil out of the ship's approximately 1.26 million



A clean-up worker uses high pressure, high temperature water to wash crude oil off the rocky shore of Block Island, Alaska, after the 1989 *Exxon Valdez* oil spill. (AP Photo/John Gaps III)

barrel (53 million gallon) cargo, making it the largest oil spill in the history of the United States to that date. The *Exxon Valdez* spill was surpassed by the *Deepwater Horizon*, which released approximately 4.9 million barrels (185 million gallons) of oil.

The oil spill resulting from the *Exxon Valdez* accident spread oil along 1,500 miles of pristine shoreline on Prince William Sound and the Kenai Peninsula, covering an area of 460 miles. Oil would eventually reach shores southwest of the spill up to 600 miles away.

The *Exxon Valdez* Oil Spill Trustee Council estimates that 250,000 seabirds, 2,800 sea otters, 300 harbor seals, 250 bald eagles, and 22 killer whales, were killed. These figures may be an underestimate of the animals killed by the oil, because many of the carcasses likely sank or washed out to sea before they could be collected. Most of the birds died from

hypothermia due to the loss of insulation caused by oil-soaked feathers. Many predatory birds, such as bald eagles, died as a result of ingesting contaminated fish and birds. Hypothermia affected sea otters as well, and many of the dead mammals suffered lung damage due to oil fumes. Billions of salmon eggs were also lost to the spill. While a record 43 million pink salmon were caught in Prince William Sound in 1990, the 1993 harvest declined to a record low of three million.

Response to the oil spill was slow and generally ineffective. The Alyeska Oil Spill Team responsible for cleaning up oil spills in the region took more than 24 hours to respond, despite previous assurances that they could mount a response in three hours. Much of the oil containment equipment was missing, broken, or barely operable. By the time oil containment and recovery equipment were in place, 11.1 million gallons (42 million

liters) of oil had already spread over a large area. Ultimately, less than 10% of this oil was recovered, the remainder dispersing into the air, water, and sediment of Prince William Sound and adjacent sounds and fjords. Exxon reports spending a total of \$2.2 billion to clean up the oil. Much of this money employed 10,000 people to clean up oil-fouled beaches; yet after the first year, only 3% of the soiled beaches had been cleaned.

In response to public concern about the poor response time and uncoordinated initial cleanup efforts following the Valdez spill, the Oil Pollution Act (OPA; part of the Clean Water Act) was signed into law in August 1990. The Act established a Federal trust fund to finance clean-up efforts for up to \$1 billion per spill incident.

Ultimately, nature was the most effective surface cleaner of beaches; winter storms removed the majority of oil and by the winter of 1990, less than 6 miles (10 km) of shoreline was considered seriously fouled. Cleanup efforts were declared complete by the U.S. Coast Guard and the State of Alaska in 1992.

On October 9, 1991, a settlement between Exxon and the State of Alaska and the United States government was approved by the U.S. District Court. Under the terms of the agreement, Exxon agreed to pay \$900 million in civil penalties over a 10-year period. The civil settlement also provides for a window of time for new claims to be made should unforeseen environmental issues arise. That window is from September 1, 2002 to September 1, 2006.

Exxon was also fined \$150 million in a criminal plea agreement, of which \$125 million was forgiven in return for the company's cooperation in cleanup and various private settlements. Exxon also paid \$100 million in criminal restitution for the environmental damage caused by the spill.

A flood of private suits against Exxon have also deluged the courts in the years since the spill. In 1994, a district court ordered Exxon to pay \$287 million in compensatory damages to a group of commercial fishermen and other Alaskan natives who were negatively impacted by the spill. The jury who heard the case also awarded the plaintiffs \$5 billion in punitive damages. However, in November 2001 a federal appeals judge overturned the \$5 billion punitive award, deeming it excessive and ordering the district court to reevaluate the settlement. The trial judge reduced punitive damages to \$4.5 billion, which the court of appeals lowered to \$2.5 billion in 2006. Exxon appealed to the Supreme Court of the United States, which, in 2008, vacated the punitive damages judgment and ruled that, under maritime law,

punitive damages could not exceed the \$507 million in compensatory damages owed by Exxon.

The Captain of the *Exxon Valdez*, Joseph Hazelwood, had admitted to drinking alcohol the night the accident occurred, and had a known history of alcohol abuse. Nevertheless, he was found not guilty of charges that he operated a shipping vessel under the influence of alcohol. He was found guilty of negligent discharge of oil, fined \$50,000, and sentenced to 1,000 hours of community service work.

Despite official cleanup efforts by Exxon having ended, the environmental legacy of the *Valdez* spill lives on. The Auke Bay Laboratory of the Alaskan Fisheries Science Center conducted a beach study of Prince William Sound in the summer of 2001. Researchers found that approximately 20 acres of Sound shoreline is still contaminated with oil, the majority of which has collected below the surface of the beaches where it continues to pose a danger to wildlife. Of the 30 species of wildlife affected by the spill, only two—the American bald eagle and the river otter—were considered recovered in 1999. Preliminary 2002 reports from the Exxon Valdez Oil Spill Trustee Council reflect progress is being made in the area of wildlife recovery.

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### ORGANIZATIONS

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# F

Falcon see **Peregrine falcon.**

*Falco peregrinus* see **Peregrine falcon.**

Fallout see **Radioactive fallout.**

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## Family planning

Family planning generally refers to the use of various birth control methods to limit family size. The roots of family planning are related to the global population and food supply. As of August 2010 the global human population was 6.7 billion. In 2011 the population will pass 7 billion. As it has since the fifteenth century, the population has been continuously increasing, especially in developing countries. Worldwide famine has lessened because of the increased food production resulting from modern agricultural procedures (the Green Revolution), which have greatly increased grain production. Nevertheless, with limited land and resources that can be devoted to food production—and increasing numbers of humans who need both space and food—the global population could outstrip the food availability. Because of this, there is increased interest in family planning.

Family planning can also act in reverse. Jurisdictions such as the Canadian province of Quebec are encouraging more children per family in an effort to increase the population. Additionally, family planning is not limited to birth control but includes procedures designed to overcome difficulties in becoming pregnant. About 15 percent of couples are unable to conceive children after a year of sexual activity without using birth control. Many couples feel an intense desire and need to conceive children. Aid to these couples is thus a reasonable part of family planning.

Birth control procedures have evolved rapidly in this century. Further, utilization of existing procedures

is changing with different age groups and different populations. An example of the changing technology includes oral contraception with pills containing hormones. Birth control pills have been marketed in the United States since the 1960s. Since that time there have been many formulations with significant reductions in dosage. There was much greater acceptance of pills by American women under the age of thirty. The intrauterine device (IUD) was much more popular in Sweden than in the United States, whereas sterilization was more common in the United States than in Sweden.

A very common form of birth control is the condom, which is a thin rubber sheath worn by men during sexual intercourse. They are generally readily accessible, cheap, and convenient for those individuals who may not have sexual relations regularly. Sperm cannot penetrate the thin (0.3–0.8 mm thick) latex. Condom use also greatly lessens the likelihood that human immunodeficiency virus (HIV) associated with AIDS or other pathogenic agents of sexually transmitted diseases (STDs) will be passed between individuals during sexual intercourse. Some individuals are opposed to treating healthy bodies with drugs (hormones) for birth control, and, for these individuals, condoms have a special appeal. Natural “skin” (lamb’s intestine) condoms are still available for individuals who may be allergic to latex, but this product provides less protection from HIV and other STDs.

Spermicides—surface active agents that inactivate sperm and STD pathogens—can be placed in the vagina in jellies, foam, and suppositories. Condoms used in conjunction with spermicides have a failure rate lower than either method used alone and may provide added protection against some infectious agents.

The vaginal diaphragm, like the condom, is another form of barrier. The diaphragm was in use in World War I and was still used by about one-third of couples by the time of World War II. However, because of the efficacy and ease of use of oral contraceptives, and



**A volunteer and staff of Planned Parenthood of South Texas pass out free condoms to spring breakers at J.P. Luby Surf Park Beach in Corpus Christi, Texas. (AP Photo/Corpus Christi Caller-Times, Todd Yates)**

perhaps because of the protection against disease by condoms, the use of vaginal diaphragms has decreased. The diaphragm, which must be fitted by a physician, is designed to prevent sperm access to the cervix and upper reproductive tract. It is used in conjunction with spermicides. Other similar barriers include the cervical cap and the contraceptive sponge. The cervical cap is smaller than the diaphragm and fits only around that portion of the uterus that protrudes into the vagina. The contraceptive sponge, which contains a spermicide, is inserted into the vagina prior to sexual intercourse and retained for several hours afterwards to insure that no living sperm remain.

Intrauterine devices (IUDs) were popular during the 1960s and 1970s in the United States, but their use has plummeted. However in China, a nation which is rapidly attending to its population problems, about 60

Types of Contraceptives		
Effectiveness	Predicted (%)	Actual (%)
Birth control pills	99.9	97
Condoms	98	88
Depo Provera	99.7	99.7
Diaphragm	94	82
IUDS	99.2	97
Norplant	99.7	99.7
Tubal sterilization	99.8	99.6
Spermicides	97	79
Vasectomy	99.9	99.9

**Types of contraceptives.** (Reproduced by permission of Gale, a part of Cengage Learning)

million women use IUDs. The failure rate of IUDs in less-developed countries is reported to be less than that with the pill. The devices may be plastic, copper, or stainless steel. The plastic versions may be impregnated with barium sulfate to permit visualization by x ray and also may slowly release hormones such as progesterone. Ovulation continues with IUD use. Efficacy probably results from a changed uterine environment which kills sperm.

Oral contraception is overwhelmingly associated with use of The Pill, a formulation containing an estrogen and a progestational agent, and current dosage is very low compared with several decades ago. The combination of these two agents is taken daily for three weeks, followed by one week with neither hormone. Frequently a drug-free pill is taken for the last week to maintain the pill-taking habit and thus enhance the efficacy of the regimen. The estrogenic component prevents follicle maturation, and the progestational component prevents ovulation. This method of birth control is best designed for women who have one sexual partner. Those with multiple sexual partners, and so who may be at greater risk of exposure to someone with a STD, may more prudently consider the addition of a barrier method to minimize risk for STDs. The reliability of the pill reduces the need for abortion or surgical sterilization. There may be other salutary health effects, which include less endometrial and ovarian cancer as well as fewer uterine fibroids. Use of oral contraceptives in women over the age of thirty-five who also smoke is thought to increase the risk of heart and vascular disease.

Contraceptive hormones can be administered by routes other than oral. Subdermal implants of progestin-containing tubules have been available since 1990 in the United States. In this device familiarly known as Norplant, six tubules are surgically placed on the inside of the upper arm, and the hormone diffuses through the wall of the tubules to provide long-term contraceptive activity. Another form of progestin-only contraception is by intramuscular injection that must be repeated every three months.

Fears engendered by IUD litigation are thought to have increased the reliance of many American women on surgical sterilization (tubal occlusion). Whatever the reason, more American women rely on the procedure than do their European counterparts. Tubal occlusion involves the mechanical disruption of the oviduct, the tube that leads from the ovary to the uterus, and prevents sperm from

reaching the egg. Inasmuch as the fatality rate for the procedure is lower than that of childbirth, surgical sterilization is now the safest method of birth control. Tubal occlusion is far more common now than it was in the 1960s because of the lower cost and reduced surgical stress. Use of the laparoscope and very small incisions into the abdomen have allowed the procedure to be completed during an office visit.

Male sterilization, another method, involves severing the vas deferens, the tube that carries sperm from the testes to the penis. Sperm comprise only a small portion of the ejaculate volume, and thus ejaculation is little changed after vasectomy. The male hormone is produced by the testes and production of that hormone continues as does erection and orgasm.

Another family-planning option for a woman who has become pregnant is the termination of the pregnancy. Legal abortion has become one of the leading surgical procedures in the United States. Morbidity and mortality associated with pregnancy have been reduced more with legal abortion than with any other event since the introduction of antibiotics to fight puerperal fever.

Other methods of birth control are used by individuals who do not wish to use mechanical barriers, devices, or drugs (hormones). One of the oldest of these methods is withdrawal (*coitus interruptus*), in which the penis is removed from the vagina just before ejaculation. Withdrawal must be exquisitely timed, is probably frustrating to both partners, is not thought to be reliable, and provides no protection against HIV and other STD infections. Another barrier- and drug-free procedure is natural family planning (also known as the rhythm method). Abstinence of sexual intercourse is scheduled for a period of time before and after ovulation. Ovulation is calculated by temperature change, careful record keeping of menstruation (the calendar method), or by vaginal mucous inspection. Natural family planning has appeal for individuals who wish to limit their exposure to drugs, but it provides no protection against HIV and other STDs.

See also Population growth.

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Robert G. McKinnell

## Famine

Famine is widespread hunger and starvation. A region struck with famine experiences acute shortages of food, massive loss of lives, social disruption, and economic chaos. Images of starving mothers and children with emaciated eyes and swollen bellies during recent crises in Ethiopia and Somalia have brought international attention to the problem of famine. Other well-known famines include the great Irish potato famines of the 1850s that drove millions of immigrants to America, and a Russian famine during Stalin's agricultural revolution that killed 20 million people in the 1930s. The worst recorded famine in recent history occurred in China between 1958 and 1961, when 23–30 million people died as a result of the failed agricultural program, termed the Great Leap Forward.

Even though these tragedies seem to be single, isolated events, famine and chronic hunger continue to be serious problems. Between 18 and 20 million people, three-quarters of them children, die each year of starvation or diseases caused by malnourishment. Environmental problems such as overpopulation, scarce resources, and natural disasters affect people's ability to produce food. Political and economic problems such as unequal distribution of wealth, delayed or insufficient action by local governments, and imbalanced trade relationships between countries affect people's ability to buy food when they cannot produce it.

Perhaps the most common explanation for famine is overpopulation. The world's population, now more than 6.8 billion people, grows by about 250,000 people every day. It seems impossible that the natural world could support such rapid growth. Indeed, the pressures of rapid growth have had a devastating impact on the environment in many places. Land that once fed one family must now feed ten families and resulting over use harms the quality of the land. The world's deserts are rapidly expanding as people destroy fragile topsoil by poor farming techniques, clearing vegetation, and overgrazing.

Although the demands of population growth and industrialization are straining the environment, the world has yet to exceed the limits of growth. Since the 1800s some have predicted that humans, like rabbits living without predators, would foolishly reproduce far beyond the carrying capacity of their environment and then die in masses from lack of food. This argument assumes that the supply of food will remain the same as populations grow, but as populations have grown, people have learned to grow more

food. World food production increased two-and-a-half times between 1950 and 1980. After World War II, agriculture specialists initiated the green revolution, developing new crops and farming techniques that radically increased food production per acre. Farmers began to use special hybrid crop strains, chemical fertilizers, pesticides, and advanced irrigation systems. These innovations improved the ability of farmers to produce enough food for the population.

Many famines occur in the aftermath of natural disasters such as floods and droughts. In times of drought, crops cannot grow because they do not have enough water. In times of flood, excess water washes out fields, destroying crops and disrupting farm activity. These disasters have several effects. First, damaged crops cause food shortages, making nutrients difficult to find and making any food available too expensive for many people. Second, reduced food production means less work for those who rely on temporary farm work for their income. Famines usually affect only the poorest five to ten percent of a country's population. This subset of the population is most vulnerable because during a crisis wages for the poorest workers go down as food prices go up.

Famine is a problem of distribution as well as production. Environmental, economic, and political factors together determine the supply and distribution of food in a country. Starvation occurs when people lose their ability to obtain food by growing it or by buying it. Often, poor decisions and organizational problems aggravate environmental factors to cause human suffering. In Bangladesh, floods during the summer of 1974 interfered with rice transplantation, the planting of small rice seedlings in their rice patties. Although the crop was only partly damaged, speculators hoarded rice, and fears of a shortage drove prices beyond the reach of the poorest in Bangladesh. At the same time, disruption of the planting meant lost work for the same people. Even though there was plenty of rice from the previous year's harvest, deaths from starvation rose as the price of rice went up. In December of 1974, when the damaged rice crop was harvested, the country found that its crop had been only partly ruined. Starvation resulted not from a shortage of rice, but from price speculation. The famine could have been avoided completely if the government had responded more quickly, acting to stabilize the rice market and to provide relief for famine victims.

In other cases governments have acted to avoid famine. The Indian state of Maharashtra offset effects of a severe drought in 1972 by hiring the poorest people to work on public projects, such as roads and

wells. This relief system provided a service for the country and at the same time it diverted a catastrophe by providing an income for the most vulnerable citizens to compete with the rest of the population for a limited food supply. At the same time, the countries of Mali, Niger, Chad, and Senegal experienced severe famine, even though the average amount of food per person in these countries was the same as in Maharashtra. The difference, it would seem, lies in the actions and intentions of the governments. The Indian government provides a powerful example. Although India lags behind many countries in economic development, education, and health care, the Indians have managed to avert serious famine since 1943, four years before they gained independence from the British.

Responsibility for hunger and famine rests also with the international community. Countries and peoples of the world are increasingly interconnected, continuously exchanging goods and services. They are increasingly dependent on one another for success and for survival. The world economic and political order dramatically favors the wealthiest industrialized countries, Europe and North America. Following patterns established during colonial expansion, Third World nations often produce raw materials, unfinished goods, and basic commodities, such as bananas and coffee that they sell to the First World at low prices. The First World nations then manufacture and refine these products and sell back information and technology, such as machinery and computers for a very high price. As a result the wealthiest nations amass capital and resources and enjoy very high standards of living, while the poorest nations retain huge national debts and struggle to remain stable economically and politically. The world's poorest countries, then, are left vulnerable to all of the conditions that cause famine, economic hardship, political instability, overpopulation, and over-taxed resources.

Furthermore, large colonial powers often left behind unjust political and social hierarchies that are very good at extracting resources and sending them north, but not as good at promoting social justice and human welfare. Many Third World countries are dominated by a small ruling class, who own most of the land, control industry, and run the government. Because the poorest people, who suffer most in famines, have little power to influence government policies and manage the countries economy, their needs are often unheard and unmet. A government that rules without democratic support of its people has less incentive to protect those who would suffer in times of famine. In addition, the poorest often do not benefit from the industry and agriculture that does exist in a

developing country. Large corporate farms often force small subsistence farmers off of their land. These farmers must then work for day wages, producing food for export, while local people go without adequate nutrition.

Economic and social arrangements, as well as environmental conditions, are central to the problems of hunger and starvation. Famine is much less likely to occur in countries that are concerned with issues of social justice. Environmental pressures of population growth and human use of natural resources will continue to be issues of great concern. Natural disasters like droughts and floods will continue to occur. The best response to the problem of famine lies in working to better manage environmental resources and crisis situations and to change political and economic structures that cause people to go without food.

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John Cunningham

Farming see **Agricultural revolution; Conservation tillage; Dryland farming; Feedlots; Organic gardening and farming; Shifting cultivation; Slash and burn agriculture; Strip-farming; Sustainable agriculture.**

## Fauna

All animal life, or compilation of animal species, that lives in a particular geographic area during a particular time in history. The type of fauna to be found in any certain region is determined by factors such as plant life, physical environment, topographic barriers, and evolutionary history. Zoologists sometimes divide the

earth into six regions inhabited by distinct faunas: Ethiopian (Africa south of the Sahara, Madagascar, Arabia), Neotropical (South and Central America, part of Mexico, the West Indies), Australian (Australia, New Zealand, New Guinea), Oriental (Asia south of the Himalaya Mountains, India, Sri Lanka, Malay Peninsula, southern China, Borneo, Sumatra, Java, the Philippines), Palearctic (Europe, Asia north of the Himalaya Mountains, Afghanistan, Iran, North Africa), and Nearctic (North America as far south as southern Mexico).

## Fecundity

Fecundity comes from the Latin word *fecundus*, meaning fruitful, rich, or abundant. It is the rate at which individual organisms in the population produce offspring. Although the term can apply to plants, it is typically restricted to animals.

There are two aspects of reproduction. One is fertility, which refers to the physiological ability to breed. The second is fecundity, which refers to the ecological ability to produce offspring. Thus, higher fecundity is dependent on advantageous conditions in the environment that favor reproduction (e.g., abundant food, space, water, and mates; limited predation, parasitism, and competition). The intrinsic rate of increase equals the birth rate minus the death rate. It is a population characteristic that takes into account that not all individuals have equal birth rates and death rates. So, it refers to the reproductive capacity in the population made up of individual organisms. Fecundity, on the other hand, is an individual characteristic. It can be further subdivided into the potential and actual fecundity. For example, deer can potentially produce four or more fawns per year, but they typically give birth to only one or two per year. In good years with ample food, they often have only two fawns.

Animals in nature are limited by environmental conditions that control their life history characteristics, such as birth, survivorship, and death. A graph of the number of offspring per female per age class (e.g., year) is a fecundity curve. This can then be used to interpret the individuals of a certain age class who contribute more to the population growth than others. In other words, certain age classes have a greater reproductive output than others. Wildlife managers often use this type of information in deciding which

individuals in a population can be hunted versus those that should be protected so they can reproduce.

As the number of animals increase, competition for food may become more intense. Lessened opportunity for food can lead to decreases in both growth and reproduction. The result is an example of density-dependent fecundity. Fecundity in predators typically increases with an increase in the prey population. Conversely, fecundity in prey species typically increases when predation pressure is low.

Fecundity is inversely related to the amount of parental care given to the young. In other words, small organisms such as insects and fish which typically invest less time and energy into caring for the young usually have higher fecundity. Larger organisms such as birds and mammals, which expend a lot of energy on caring for the young through building of nests, feeding, protecting, and caring, have lower fecundity rates.

The changes in global climate that are occurring will influence fecundity in different ways. In some locals, where food may become more plentiful, fecundity could increase, whereas environments experiencing decreased rainfall or less optimal temperatures could be areas of decreased fecundity. The result could be altered species diversity.

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John Korstad

## Federal Energy Regulatory Commission

The Federal Energy Regulatory Commission (FERC) is an independent regulatory commission within the U.S. Department of Energy. The Commission was created in October 1977 as a part of the federal government's mammoth effort to restructure and reorganize its energy program. The Commission was assigned many of the functions earlier assigned to its predecessor, the Federal Power Commission (FPC).

The Federal Power Commission was created in 1920 to license and regulate hydroelectric power plants situated on interstate streams and rivers. Over the next half century, the Power Commission was assigned more and more responsibility for the management of United States energy reserves. In the Public Utilities Holding Company Act of 1935, for example, Congress gave to the Commission responsibility for setting rates for the wholesale pricing of electricity shipped across state lines. FPC's mission was expanded even further in the 1935 Natural Gas Act. That act gave the commission the task of regulating the nation's natural gas pipelines and setting rates for the sale of natural gas.

Regulating energy prices in the pre-1970s era was uniquely difficult. That era was one of abundant and inexpensive energy. Producers, consumers, and regulators consistently dealt with a surplus of energy. There was more energy of almost any kind than could be consumed. That situation began to change in the early 1970s, especially after the oil embargo instituted by the Organization of Petroleum Exporting Countries in 1973. The resulting energy crisis caused the United States government to rethink carefully its policies and practices regarding energy production and use.

One of the studies that came out of that re-analysis was the 1974 Federal Energy Regulation Study Team report. The team found a number of problems in the way energy was managed in the United States. They reported that large gaps existed in some areas of regulation, with no agency responsible, while other areas were characterized by overlaps, with two, three, or more agencies all having some responsibility for a single area. The team also found that regulatory agencies were more oriented to the past than to current problems or future prospects, worked with incomplete or inaccurate data, and employed procedures that were too lengthy and drawn out.

As one part of the Department of Energy Organization Act of 1977, the FPC was abolished and replaced by the Federal Energy Regulatory Commission. The commission is now responsible for setting rates and charges for the transportation and sale of natural gas and for the transmission and sale of electricity. It continues the FPC's old responsibility for the licensing of hydroelectric plants.

In addition the commission has also been assigned responsibility for establishing the rates for the transportation of oil by pipelines as well as determining the value

of the pipe lines themselves. Overall, the commission now controls the pricing of 60 percent of all the natural gas and 30 percent of all the electricity in the United States.

The Energy Policy Act of 2005 expanded the authority of the commission to set standards and impose penalties on those that manipulate the electricity and natural gas markets. The Energy Policy Act of 2005 redefined the priorities of the commission as follows: (1) regulates the transmission and sale of natural gas for resale in interstate commerce; (2) regulates the transmission of oil by pipeline in interstate commerce; (3) regulates the transmission and wholesale sales of electricity in interstate commerce; (4) licenses and inspects private, municipal, and state hydroelectric projects; (5) approves the location and abandonment of interstate natural gas pipelines and storage facilities, and ensures the safe operation and reliability of proposed and operating natural gas terminals; (6) ensures the reliability of high voltage interstate transmission system; (7) monitors and investigates energy markets; (8) uses civil penalties and other means against energy organizations and individuals who violate the commission's rules in the energy markets; (9) oversees environmental matters related to natural gas and hydroelectricity projects and major electricity policy initiatives; and (10) administers accounting and financial reporting regulations and conduct of regulated companies.

As of 2011 the FERC responsibilities focus on four power sources: oil, natural gas, hydroelectric power (hydropower), and electric.

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## Federal Insecticide, Fungicide and Rodenticide Act (1972)

The Environmental Protection Agency (EPA) is the primary regulatory agency of pesticides. The EPA's authority on pesticides is given in the Congressionally enacted Federal Insecticide, Fungicide and Rodenticide Act (FIFRA)—a comprehensive regulatory program for pesticides and herbicides enacted in 1972 and amended nearly fifty times over the years. The goal of FIFRA is to regulate the use of pesticides through registration.

Section 3 of FIFRA mandates that the EPA must first determine that the product "will perform its intended function without unreasonable adverse effects on the environment" before it is registered. The Act defines adverse effects as "any unreasonable effects on man or the environment, taking into account the economic, social and environmental costs and benefits of using any pesticide." To further this objective, Congress placed a number of regulatory tools at the disposal of the EPA. Congress also made clear that the public was not to bear the risk of uncertainty concerning the safety of a pesticide. To grant registration, the EPA must conclude that the food production benefits of a pesticide outweigh any risks.

To make the cost-benefit determination required to register a pesticide as usable, manufacturers must submit to EPA detailed tests on the chemical's health and environmental effects. The burden rests on the manufacturer to provide the data needed to support registration for use on a particular crop. The pesticide manufacturer is required to submit certain health and safety data to establish that the use of the pesticide will not generally cause unreasonable adverse effects. Data required include disclosure of the substance's chemical and toxicological properties, likely distribution in the environment, and possible effects on wildlife, plants, and other elements in the environment.

FIFRA is a licensing law. Pesticides may enter commerce only after they are approved or "registered following an evaluation against statutory risk/benefit standards." The Administrator may take action to terminate any approval whenever it appears, on the basis of new information or a reevaluation of information, that the pesticide no longer meets the statutory standard. These decisions are made on a use-by-use basis because the risks and benefits of a pesticide vary from one use to another.

FIFRA is also a control law. Special precautions and instructions may be imposed. For example, pesticide applicators may be required to wear protective

clothing, or the use of certain pesticides may be restricted to trained and certified applicators. Instructions, warnings, and prohibitions are incorporated into product labels, and these labels may not be altered or removed.

FIFRA embodies the philosophy that those who would benefit by government approval of a pesticide product should bear the burden of proof that their product will not pose unreasonable risks. This burden of proof applies both when initial marketing approval is sought and in any proceeding initiated by the Administrator to interrupt or terminate registration through suspension or cancellation. Of course, while putting the burden on industry, the assumption is that industry will be honest in its research and reports.

Licensing decisions are usually based on tests furnished by an applicant for registration. The tests are performed by the petitioning company in accordance with testing guidelines prescribed by the EPA. Requirements for the testing of pesticides for major use can be met only through the expenditure of several millions of dollars and up to four years of laboratory and field testing.

However, major changes in test standards, advances in testing methodology, and the heightened awareness of the potential chronic health effects of long-term, low-level exposure to chemicals which have come into the marketplace within the past several decades have brought the need to update EPA mandates. Thus, Congress directed that the EPA reevaluate its licensing decisions through a process of re-registration. That means if the government once approved a certain product for domestic use, it does not mean the EPA can be confident that its use can continue.

The EPA has the power to suspend or cancel products. Cancellation means the product in question is no longer considered safe. It must be taken off the commercial market and is no longer available for use. Suspension means the product in question is not to be sold or used under certain conditions or in certain places. This may be a temporary decision, usually dependent on further studies.

There may be certain products that, in the opinion of the administrator, may be harmful. But no action is taken if and when the action is incompatible with administration priorities. That is because the EPA administrator is responsible to the directives and priorities of the president and the executive branch. Thus, some regulatory decisions are political.

Section 18 of FIFRA offers a way to avoid the re-registration process. Section 18 allows for the use of

unregistered pesticides in certain emergency situations. It provides that “the Administrator may, at his discretion, exempt any Federal or State agency from any provisions of this subchapter if he determines that emergency conditions exist which requires such exemption. The Administrator, in determining whether or not such emergency conditions exist, shall consult with the Secretary of Agriculture and the Governor of any state concerned if they request such determination.”

From 1978 to 1983 the General Accounting Office (GAO) and the House Subcommittee on Department Operations, Research and Foreign Agriculture of the Committee on Agriculture (DORFA Subcommittee) thoroughly examined the EPA’s implementation of Section 18. Under the auspices of Chair George E. Brown Jr. (D-CA), the DORFA Subcommittee held a series of hearings that revealed numerous abuses in EPA’s administration of Section 18. A report was issued in 1982 and reprinted as part of the Committee’s 1983 Hearings.

The subcommittee found that “the rapid increase in the number and volume of pesticides applied under Section 18 was clearly the most pronounced trend in the EPA’s pesticide regulatory program.” According to the subcommittee report, “a primary cause of the increase in the number of Section 18 exemptions derived from the difficulty the Agency had in registering chemicals under Section 3 of FIFRA in a timely manner.” The DORFA committee stated: “Regulatory actions involving suspect human carcinogens which meet or exceed the statute’s “unreasonable adverse effects” criterion for chronic toxicity often become stalled in the Section 3 review process for several years. The risk assessment procedures required by States requesting Section 18 actions, and by EPA in approving them, are generally less strict. For example, a relatively new insecticide, first widely used in 1977, was granted some 140 Section 18 emergency exemptions and over 300 (Section 24c) Special Local Needs registrations in the next four years while the Agency debated “the significance to man of positive evidence of oncogenicity in laboratory animals.”

The EPA’s practices changed little over the next eight years. In the spring of 1990, the Subcommittee on Environment of the House Science, Space and Technology Committee (Chair: James H. Scheuer, D-NY) investigated the EPA’s procedures under Section 18 of FIFRA and found if anything, the problem had gotten worse. The report states: “Since 1973, more than 4,000 emergency exemptions have been granted for the use of pesticides on crops for which there is no registration.” A large number of these emergency

exemptions have been repeatedly granted for the same uses for anywhere from fourteen, to ten, to eight, to five years. The House subcommittee also found that the EPA required less stringent testing procedures for pesticides under the exemption, which put companies that follow the normal procedure at a disadvantage. The subcommittee concluded that the large numbers of emergency exemptions arose from “the EPA’s failure to implement its own regulations.”

The subcommittee identified “emergencies” as “routine predicted outbreaks and foreign competition” and “a company’s need to gain market access for use of a pesticide on a new crop, although the company often never intends to submit adequate data to register the chemical for use.”

As for the re-registration requirement, the subcommittee observed: “The EPA’s reliance on Section 18 may be related to the Agency’s difficulty in re-registering older chemical substances. Often, Section 18 requests are made for the use of older chemicals on crops for which they are not registered. These older chemicals receive repetitive exemptions for use despite the fact that many of these substances may have difficulty obtaining re-registration since they have been identified as potentially carcinogenic. Thus, by liberally and repetitively granting exemptions to potentially carcinogenic substances, little incentive is provided to encourage companies to invest in the development of newer, safer pesticides or alternative agricultural practices.” The report concluded, “Allowing these exemptions year after year in predictable situations provides ‘back-door’ pre-registration market access to potentially dangerous chemicals.”

In 2003 Congress passed the Pesticide Registration Improvement Act (PRIA), which established registration service fees for the registration of pesticides under three programs: antimicrobials, biopesticides and pollution prevention, and the registration divisions. PRIA, along with the Pesticide Registration Improvement Renewal Act of 2007 (PRIA 2), sought to establish a more predictable registration review process and to create shorter review periods for less risky applications.

*See also* Environmental law; Integrated pest management; Pesticide Action Network; Risk analysis.

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## Federal Land Policy and Management Act (1976)

The Federal Land Policy and Management Act (FLPMA), passed in 1976, is the statutory grounding for the Bureau of Land Management (BLM), giving the agency authority and direction for the management of its lands. The initiative leading to the passage of FLPMA can be traced to the BLM itself. The agency was concerned about its insecure status—it was formed by executive reorganization rather than by a congressional act. The agency lacked a clear mandate for land management, and it was uncertain of the federal government’s plans to retain the lands it managed. This final point can be traced to the Taylor Grazing Act, which included a clause that these public lands would be managed for grazing “pending final disposal.” The BLM wanted a law that would address each of these issues, so that the agency could undertake long-range, multiple use planning like their colleagues in the Forest Service.

Agency officials drafted the first “organic act” in 1961, but two laws passed in 1964 expedited the legislative process. The Public Land Law Review Commission (PLLRC) Act established a commission to examine the body of public land laws and make recommendations as to how to proceed in this policy area. The Classification and Multiple Use Act instructed the BLM to inventory its lands and classify them for disposal or retention. This would be the first inventory of these lands and resources, and suggested that at least some of these lands would be retained in federal ownership.

The PLLRC issued its report in 1970. In the following years, Congress began to consider three general

types of bills in response to the PLLRC report. The administration and the BLM supported a BLM organic act without additional major reforms of other public land laws. The second approach provided the BLM with an organic act, but also made significant revisions in the Mining Law of 1872 and included environmental safeguards for BLM activities. Environmentalists supported this variety of bill. The final type of bill provided a general framework for more detailed legislation in the future. This general framework tended to support commodity production, and was favored by livestock, mining, and timber interests.

In 1973 a bill of the second variety, introduced by Henry Jackson of Washington, passed the Senate. A similar bill died in the House, though, when the Rules Committee prevented the bill from coming to the floor. Jackson reintroduced a bill that was nearly identical to the bill previously passed, and the Senate passed this bill in February 1976. In the House, things did not move as quickly. The main House bill, drafted by four western members of the Interior and Insular Affairs Committee, included significant provisions dealing with grazing—most importantly, a provision to adopt a statutory grazing fee formula based upon beef prices and private forage cost. This bill had the support of commodity interests, but was opposed by the administration and environmental groups. The bill passed the full House by fourteen votes in July 1976.

The major differences that needed to be addressed in the conference committee included law enforcement, grazing provisions, mining law provisions, wild horses and burros, unintentional trespass, and the California Desert Conservation Area. By late September four main differences remained, three involving grazing and one dealing with mining. For a period it appeared that the bill might die in committee, but final compromises on the grazing and mining issues were made, and a bill emerged out of conference. The bill was signed into law in October 1976 by President Gerald Ford.

As passed, FLPMA dealt with four general issue areas: (1) the organic act sections, giving the BLM authority and direction for managing the lands under its control; (2) grazing policy; (3) preservation policy; and (4) mining policy. The act begins by stating that these lands will remain in public ownership: “The Congress declares that it is the policy of the United States that the public lands be retained in public ownership.” This represented the true, final closing of the public domain; the federal government would retain the vast majority of these lands. To underscore this point, FLPMA repealed hundreds of laws dealing with the public lands that were no longer relevant. The BLM, under the authority of the Secretary of the

Interior, was authorized to manage these lands for multiple use and sustained yield and was required to develop land use plans and resource inventories for the lands based on long-range planning. A director of the BLM was to be appointed by the president, subject to confirmation by the Senate.

FLPMA limited the withdrawal authority of the secretary, often used to close lands to mineral development or to protect them for other environmental reasons, by repealing many of the sources of this authority and limiting its uses in other cases. The act allowed for the sale of public lands under a set of guidelines. In a section of the law that received much attention, the BLM was authorized to enforce the law on the lands it managed. The agency was directed to cooperate with local law enforcement agencies as much as possible in this task. It was these agencies, and citizens who lived near BLM lands, who were skeptical of this new BLM enforcement power. Other important provisions of the law allowed for the capture, removal, and relocation of wild horses and burros from BLM lands and authorized the Secretary of the Interior to grant rights-of-way across these lands for most pipelines and electrical transmission lines.

The controversial grazing fee formula in the House bill, favored by the livestock industry, was dropped in the conference committee. In its place FLPMA froze grazing fees at the 1976 level for one year and directed the Secretaries of Agriculture and the Interior to undertake a comprehensive study of the grazing fee issue so that an equitable fee could be determined. This report was completed in 1977, and Congress established a statutory fee formula in 1978. That formula was only binding until 1985, though, and since that time Congress has debated the grazing fee issue numerous times, but the issue remains unsettled. The BLM, however, currently sets grazing fees for public lands. In 2010 the BLM charged \$1.35 per animal unit month. An animal unit month is the presence of one cow (and her calf), one horse, or five goats or sheep on federal lands for one month.

FLPMA also provided that grazing permits be for ten-year periods, and that at least two year notice be given before permits were cancelled (except in an emergency). At the end of the ten-year lease, if the lands are to remain in grazing, the current permittee has the first priority on renewing the lease to those lands. This virtually guarantees a rancher the use of certain public lands as long as they are to be used for grazing. The permittee is also to receive compensation for private improvements on public lands if the permit is cancelled. These provisions, advocated by livestock interests, further demonstrated their belief, and the

belief of their supporters in Congress, that these grazing permits were a type of property right. Grazing advisory boards, originally started after the Taylor Grazing Act but terminated in the early 1970s, were resurrected. These boards consist of local grazing permittees in the area, and advise the BLM on the use of range improvement funds and on allotment management plans.

FLPMA established a special California Desert Conservation Area and directed the BLM to study this area and develop a long-range plan for its management. In 1994, after eight years of consideration, Congress passed the California Desert Protection Act. Senator Dianne Feinstein of California played the major role in guiding the legislation to passage, including overcoming an opposition-led filibuster against the act in October 1994. The act, which included a number of compromises with desert users, established two new national parks and a new national preserve as well as designating approximately 7.5 million acres (3 million ha) of California desert as wilderness (in the two parks, the preserve, and nearly seventy new wilderness areas). The new national parks were created by enlarging and upgrading the existing Death Valley and Joshua Tree National Monuments. The Mojave National Preserve was originally to be a third national park in the desert, but its status was reduced to a national preserve to allow continued hunting, a compromise that helped gain further support for the bill. This law protected more wilderness than any law since the 1980 Alaska Lands Act. The following year, however, there was a move to alter these provisions. As part of the 1996 fiscal year Interior Appropriations bill, Congress directed that the BLM—not the National Park Service—manage the new Mojave National Preserve. According to Republican supporters, the BLM would allow for more use of the land. President Clinton vetoed this appropriations bill in December 1995, in part due to this change in California Desert management. When the final Interior Appropriations Act was passed in April 1996, it included a provision requiring the Park Service to manage the Mojave under the less restrictive BLM standards, but it also allowed the president to waive this provision. Clinton signed the bill and then immediately waived the provision, so the Mojave is being managed by the National Park Service under its own standards.

FLPMA required that all mining claims, based on the 1872 Mining Law, be recorded with the BLM within three years. Claims not recorded were presumed abandoned. In the past, such claims only had to be recorded at the county courthouse in the county

in which the claim was located. This allowed for increased knowledge about the number and location of such claims. The law also included amendments to the Mineral Leasing Act of 1920, increasing the share of the revenues from such leases that went to the states, allowing the states to spend these funds on any public facilities needed (rather than just roads and schools) and reducing the amount of revenues going to the fund to reclaim these mineral funds.

The implementation of FLPMA has been problematic. One consequence of the act, and the planning and management that it has required, was the stimulation of Western hostility to the BLM and the existence of so much federal lands. According to a number of analysts, FLPMA was largely responsible for starting the Sagebrush Rebellion, the movement to have federal lands transferred to the states. The foremost implementation problems have been due to the poor bureaucratic capacity of the BLM: the lack of adequate funding, the lack of an adequate number of employees, poor standing within the U.S. Department of the Interior and presidential administrations, and its history of subservience to grazing and mining interests.

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Christopher McGroory Klyza

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## Federal Power Commission

The Federal Power Commission was established June 23, 1930, under the authority of the Federal Water Power Act, which was passed on March 3, 1921. The commission was terminated on August 4, 1977, and its functions were transferred to the Federal Energy Regulatory Commission under the umbrella of the U.S. Department of Energy.

The most important function of the commission during its fifty-seven-year existence was the licensing of water-power projects. It also reviewed plans for water-development programs submitted by major federal construction agencies for conformance with the interests of public good. In addition the commission

retained responsibility for interstate regulation of electric utilities and the siting of hydroelectric power plants as well as their operation. It also set rates and charges for the transportation and sale of natural gas and electricity. The five members of the commission were appointed by the president with approval of the Senate; three of the members were the Secretaries of the Interior, Agriculture, and War (later designated as U.S. Department of the Army). During its existence the commission retained its status as an independent regulatory agency.

## Feedlot runoff

Feedlots are containment areas used to raise large numbers of animals to an optimum weight within the shortest time span possible. Most feedlots are open air, and so are affected by variable weather conditions. A substantial portion of the feed is not converted into meat, and is excreted. If the excrement is not contained, air, ground, and surface water quality can suffer. The issues of odor and water pollution from such facilities center on the traditional attitudes of producers that farming has always produced odors, and manure is a fertilizer, not a waste from a commercial undertaking.

Legislative and regulatory actions have increased with encroachment of urban population and centers of high sensitivity, such as shopping malls and recreation facilities. Because odor is difficult to measure, control of these facilities is being achieved on the grounds that they must not pose a “nuisance,” a principle that is being sustained by the courts.

Odor is influenced by feed, number and species of animal, lot surface and manure removal frequency, wind, humidity, and moisture. These factors, individually and collectively, influence the type of decomposition that will occur. Typically, it is an anaerobic process that produces a sharp pungent odor of ammonia, the nauseating odor of rotten eggs from hydrogen sulfide, and the smell of decaying cabbage or onions from methyl mercaptan.

Odorous compounds seldom reach concentrations that are dangerous to the public. However, levels can become dangerously elevated with reduced ventilation in winter months or during pit cleaning. It is this latter activity, in conjunction with disposal onto the surface of the land, that is most frequently the cause of complaints. Members of the public respond to feedlot odors depending on their individual sensitivity, previous experience, and disposition. It can curtail

outdoor activities and require windows to be closed, which means the additional use of air purifiers or air-conditioning systems.

Surface water contamination is the problem most frequently attributed to open feedlot and manure spreading activities. It is due to the dissolving, eroding action of rain striking the manured-covered surface. Duration and intensity of rainfall dictates the concentration of contaminants that will flow into surface waters. Their dilution or retention in ponds, rivers, and streams depends on area hydrology (dry or wet conditions) and topography (rolling or steeply graded landscape). Such factors also influence conditions in those parts of the continent where precipitation is mainly in the form of snow. Large snow drifts form around wind breaks, and in the early spring substantial volumes of snowmelt are generated.

There are many examples of problems due to feedlot runoff. In Walkerton, Ontario, Canada, runoff contaminated well water and led to an illness outbreak in May of 2000 that sickened thousands and killed six people. In 1998 the bursting of a hog sewage lagoon in North Carolina released 25 million gallons of sewage into a neighboring river, killing millions of fish and closing coastal shellfish grounds.

Odor and water pollution control techniques include simple operational changes, such as increasing the frequency of removing manure, scarifying the surface to promote aerobic conditions, and applying disinfectants and feed-digestion supplements. Other control measures require construction of additional structures or the installation of equipment at feedlots. These measures include installing water sparge-lines, adding impervious surfaces, drains, pits and roofs, and installing extraction fans.

As of 2010 the number of hog farms in the United States continued to drop, although the total number of hogs raised remained about the same. The increasing size of the individual farms increases the sewage load and so the possibility of runoff.

*See also* Animal waste; Odor control.

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George M. Fell

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Fens see **Wetlands.**

Ferret see **Black-footed ferret.**

Fertility see **Biological fertility.**

## Feedlots

A feedlot, also referred to as an animal feeding operation (AFO), is an open space where animals are fattened before slaughter. There are approximately 450,000 feedlots in the United States in 2010. Beef cattle usually arrive at the feedlot directly from the ranch or farm where they were raised, while poultry and pigs often remain in an automated feedlot from birth until death. Feed (often grains, alfalfa, and molasses) is provided to the animals so they do not have to forage for their food. This feeding regimen promotes the production of higher quality meat more rapidly. There are no standard parameters for the number of animals per acre in a feedlot, but the density of animals is usually very high. Some feedlots can contain 100,000 cows and steers. Animal rights groups actively campaign against confining animals in feedlots, a practice they consider inhumane, wasteful, and highly polluting.

Feedlots were first introduced in California in the 1940s, but many are now found in the Midwest, closer to grain supplies. Feedlot operations are highly mechanized, and large numbers of animals can be handled with relatively low labor input. Half of the beef produced in the United States is feedlot raised.

Feedlots are a significant source of the pollution flowing into surface waters and groundwater in the United States. As one example, in 1998 a lagoon housing the sewage from a pig feedlot in North Carolina burst, releasing 25 million gallons of sewage into a

neighboring river. Millions of fish were killed and, as the sewage flowed out to the coast, shellfish grounds were closed.

At least half a billion tons of animal waste are produced in feedlots each year. Since this waste is concentrated in the feedlot rather than scattered over grazing lands, it overwhelms the soil's ability to absorb and buffer it and creates nitrate-rich, bacteria-laden runoff to pollute streams, rivers, and lakes. Dissolved pollutants can also migrate down through the soil into aquifers, leading to groundwater pollution over wide areas. To protect surface waters, most states require that feedlot runoff be collected. However, protection of groundwater has proved to be a more difficult problem, and successful regulatory and technological controls have not yet been developed. Nevertheless, most feedlots require some type of state permit and have plans in place to deal with the large amount of waste that is generated. The Clean Water Action Plan (CWAP), initiated by the Clinton administration in 1998, included strategies to control runoff from AFOs. Concentrated AFOs (CAFOs) house and feed a large number of animals in a restricted area for forty-five days or more within a year. CAFOs are required under the Clean Water Act to obtain a permit for discharges through the National Pollutant Discharge Elimination System. Proper regulation also considers issues such as noise and air pollution.

Due to the large numbers of animals being raised, the amount of air pollutants contributed by AFOs is an environmental concern. Methane gas (CH<sub>4</sub>), carbon dioxide (CO<sub>2</sub>), and nitrous oxide (N<sub>2</sub>O) are greenhouse gases that are emitted from livestock production. Of the human-related methane emissions, ruminant livestock production accounts for 28 percent of those annual emissions, amounting to 88.2 million tons (80 million metric tons) of methane gas produced each year. Methane is twenty times more efficient at trapping heat in the atmosphere compared with carbon dioxide.

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## Fertilizer

Any substance that is applied to land to encourage plant growth and produce higher crop yield. Fertilizers may be made from organic material—such as recycled waste, animal manure, compost, etc.—or chemically manufactured. Most fertilizers contain varying amounts of nitrogen, phosphorus, and potassium, inorganic nutrients that plants need to grow.

Since the 1950s crop production worldwide has increased dramatically because of the use of fertilizers. In combination with the use of pesticides and insecticides, fertilizers have vastly improved the quality and yield of such crops as corn, rice, wheat, and cotton. However overuse and improper use of fertilizers have also damaged the environment and affected the health of humans, animals, and plants.

In the United States it is estimated that as much as 25 percent of fertilizer is carried away as runoff. Fertilizer runoff has contaminated groundwater and polluted bodies of water near and around farmlands. High and unsafe nitrate concentrations in drinking water have been reported in countries that practice intense farming, including the United States. Accumulation of nitrogen and phosphorus in waterways from chemical fertilizers has also contributed to the eutrophication of lakes and ponds. Ammonia, released from the decay of fertilizers, causes minor irritation to the respiratory system.

While few advocate the complete eradication of chemical fertilizers, many environmentalists and scientists urge more efficient ways of using them. For example, some farmers use up to 40 percent more fertilizer than they need. Frugal applications—in small doses and on an as-needed-basis on specific crops—helps reduce fertilizer waste and runoff. The use of organic fertilizers, including animal waste, crop residues, or grass clippings, is also encouraged as an alternative to chemical fertilizers.

Within U.S. waters, oxygen-depleted dead zones are annually identified in the Gulf of Mexico and Chesapeake Bay. The zones are, in part, linked to runoff of

nutrient pollution from fertilizers. For example, nitrogen runoff from the length of the Mississippi River ultimately drains into the Gulf of Mexico to create a marine dead zone that fluctuates in size and intensity.

*See also* Cultural eutrophication; Recycling; Sustainable agriculture; Trace element/micronutrient.

## Fibrosis

A medical term that refers to the excessive growth of fibrous tissue in some part of the body. Many types of fibroses are known, including a number that affect the respiratory system. A number of these respiratory fibroses, including such conditions as black lung disease, silicosis, asbestosis, berylliosis, and byssinosis, are linked to specific environmental exposures. A fibrosis develops when a person inhales very tiny solid particles or liquid droplets over many years or decades. Part of the body’s reaction to these foreign particles is to enmesh them in fibrous tissue. The disease name usually suggests the agent that causes the disease. Silicosis, for example, is caused by the inhalation of silica, tiny sand-like particles. Occupational sources of silicosis include rock mining, quarrying, stone cutting, and sandblasting. Berylliosis is caused by the inhalation of beryllium particles over a period of time, and byssinosis (from byssos, the Greek word for flax) is found among textile workers who inhale flax, cotton, or hemp fibers.

## Field capacity

Field capacity refers to the maximum amount of water potentially held in soil after excess water drains due to gravitational force. For example, sandy soils have a lower field capacity (hold less water) than clay soils. The higher a soil’s field capacity (the more water it retains) the greater amount of water available for plants, etc. Field capacity is an important factor in determining or limiting the agricultural use of land.

## Filters

Filters are devices that remove particles from the air or fluid that passes through. The size of the particles that are prevented from crossing the filter depends on the size

of the openings of the filter (the pore size). Filters with a pore size in the nanometer range are capable of restricting the passage of particles as small as viruses.

The most common type of filters used to filter air or gas are fibrous filters, in which the fibers are of cellulose (paper filters). But, almost any fibrous material, including glass fiber, wool, asbestos, and finely spun polymers can be used. Microscopically, these fibers collect fine particles because fine particles vibrate around their average position due to collision with air molecules (Brownian motion). These vibrations are likely to cause them to collide with the fibers as they pass through the filter. Larger particles are removed because, as the air stream carrying them passes through the filter, some of the particles are intercepted as they contact the fibers. Other particles are in air streams that would cause them to miss the fibers, but when the air stream bends to go around the fibers the momentum of the particles is too much to let them remain with the stream. In effect, centrifugal force causes them to contact the fibers. Still other particles may be attracted to the fibers because the particles and the fiber have opposite electric charges. Finally, particles may simply be larger than the space between fibers and will be sifted out of the air in a process called sieving.

Filters are also formed by a process in which polymers such as cellulose esters are made into a film from a solution in an organic solvent containing water. As the solvent evaporates, a point is reached at which the water separates out as microscopic droplets, in which the polymer is not soluble. The final result is a film of polymer full of microscopic holes where the water droplets once were. Such filters can have pore sizes from a small fraction of a micrometer to a few micrometers (a micrometer equals 0.00004 in). These are called membrane filters.

In circumstances where filter strength is of paramount importance, such as in industrial filters where a large air flow must pass through a relatively small filter area, filters of woven cloth are used, made of materials ranging from cotton to glass fiber and asbestos. The latter are used when very hot gases must be filtered. The woven fabric itself is not a particularly good filter, but it retains enough particles to form a particle cake on the surface, and that soon becomes the filter. When the cake becomes thick enough to slow airflow to an unacceptable degree, the air flow is interrupted briefly, and the filters are shaken to dislodge the filter cake, which falls into bins at the bottom of the filters. Then filtration is resumed, allowing the cloth filters to be used for months before being replaced. A familiar domestic example is the bag of a home vacuum cleaner. Cement plants and some electric power plants use dozens of

cloth bags up to several feet in diameter and more than 10 feet (3 meters) in length to remove particles from their waste gases.

Filters are also useful for filtering disease-causing agents from water. The degree of removal of these pathogens can be so good that the water is rendered fit to drink. Some water purification systems utilize filters in a process called reverse osmosis, although the integrity of the finished water is typically guaranteed by the combined use of another water treatment method, such as chlorination or exposure to ultraviolet light.

*See also* Baghouse; Electrostatic precipitation; Odor control; Particulate.

James P. Lodge Jr.

## Filtration

A common technique for separating substances in two physical states. For example, a mixture of solid and liquid can be separated into its components by passing the mixture through a filter paper. Filtration has many environmental applications. In water purification systems, impure water is often passed through a charcoal filter to remove the solid and gaseous contaminants that give water a disagreeable odor, color, or taste. Trickling filters are used to remove solid wastes in plants. Some solid and liquid contaminants in waste industrial gases can be removed by passing them through a filter or series of filters prior to discharge in a smokestack.

Fire see **Prescribed burning; Wildfire.**

## Fire ants

Fire ants are an example of a species that was accidentally introduced into a new habitat and which spread quickly in the new setting. In the United States, fire ants have spread throughout Southern and Southwestern states. In 2009 fire ants caused an estimated \$750 million in agricultural losses, both directly due to crop loss and indirectly due to care of animals bitten by the ants. The total cost of battling fire ants in the United States is estimated to be \$5 billion annually.

Two distinct species of fire ants (genus *Solenopsis*) from South America have been introduced into the



**Red fire ants (*Selonopsis invicta*) moving on their mound, Texas.** (Francesco Tomasinelli/Photo Researchers, Inc.)

United States. The South American black fire ant (*S. richteri*) was first introduced into the United States in 1918. Its close relative, the red fire ant (*S. wagneri*), was introduced in 1940, probably escaping from a South American freighter docked in Mobile, Alabama. Both species became established in the southeastern United States, spreading into nine states from Texas across to Florida and up into the Carolinas. It is estimated that they have infested more than 320 million acres (130 million ha) covering states as well as Puerto Rico.

Successful introduced species are often more aggressive than their native counterparts, and this is definitely true of fire ants. They are very small, averaging 0.2 inches (5 mm) in length, but their aggressive, swarming behavior makes them a threat to livestock and pets as well as humans. These industrious, social insects build their nests in the ground—the location is easily detected by the elevated earthen mounds created from their excavations. The mounds are 18–36 inches (46–91 cm) in diameter and may be up to 36 inches (91 cm) high, although mounds are generally 6–10 inches (15–25 cm) high. Each nest contains as many as 25,000 workers, and there may be more than 100 nests on an acre of land.

If the nest is disturbed, fire ants swarm out of the mound by the thousands and attack with swift ferocity. As with other aspects of ant behavior, a chemical alarm pheromone is released that triggers the sudden onslaught. Each ant in the swarm uses its powerful jaws to bite and latch onto whatever disturbed the nest, while using the stinger on the tip of its abdomen to sting the victim repeatedly. The intruder may receive thousands of stings within a few seconds.

The toxin produced by the fire ant is extremely potent, and it immediately causes an intense burning

pain that may continue for several minutes. After the pain subsides, the site of each sting develops a small bump that expands and becomes a tiny, fluid-filled blister. Each blister flattens out several hours later and fills with pus. These swollen pustules may persist for several days before they are absorbed and replaced by scar tissue. Fire ants obviously pose a problem for humans. Some people may become sensitized to fire ant venom, have a generalized systematic reaction, and go into anaphylactic shock. Fire-ant induced deaths have been reported. Because these species prefer open, grassy yards or fields, pets and livestock may fall prey to fire ant attacks as well.

Attempts to eradicate this pest involved the use of several different generalized pesticides, as well as the widespread use of gasoline either to burn the nest and its inhabitants or to kill the ants with strong toxic vapors. Another approach involved the use of specialized crystalline pesticides, which were spread on or around the nest mound. The workers collected them and took them deep into the nest, where they were fed to the queen and other members of the colony, killing the inhabitants from within. A more recent method involves the release of a natural predator of the fire ant, the “phorid” fly. The fly releases an egg into the fire ant. The larva then eats the ant’s brain while releasing an enzyme. The enzyme systematically destroys the joints causing the ant’s head to fall off. The flies were released in eleven states as of 2001. Although the strategy can lessen the population growth of fire ant colonies, as of 2010 fire ants remained a problem. It is likely that they are too numerous and well established to be completely eradicated in North America.

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Eugene C. Beckham

## First World

The world’s more wealthy, politically powerful, and industrially developed countries are unofficially, but commonly, designated as the First World. In

common use, the term generally differentiates the powerful, capitalist states of Western Europe, North America and Japan from the (formerly) communist states (Second World) and from the nonaligned, developing countries (Third World) in world systems theory. In common usage First World refers mainly to a level of economic strength. The level of industrial development of the First World, characterized by an extensive infrastructure, mechanized production, efficient and fast transport networks, and pervasive use of high technology, consumes huge amounts of natural resources and requires an educated and skilled work force. However, such a system is usually highly profitable. Often depending upon raw materials imported from poorer countries (wood, metal ores, petroleum, food, and so on), First World countries efficiently produce goods that less developed countries desire but cannot produce themselves, including computers, airplanes, optical equipment, and military hardware. Generally, high domestic and international demand for such specialized goods keeps First World countries wealthy, allowing them to maintain a high standard of material consumption, education, and health care for their citizens. An increasing number of critics consider the use of the terms First, Second, or Third World as offensive and inarticulate; preferring instead to use terms such as capitalist, wealthy, poor, industrialized, or developing.

## Fish and Wildlife Service

The United States Fish & Wildlife Service, which is headquartered in Washington, DC, is charged with conserving, protecting, and enhancing fish, wildlife, and their habitats for the benefit of the American people. As a division of the U.S. Department of the Interior, the Service's primary responsibilities are for the protection of migratory birds, endangered species, freshwater and anadromous (saltwater species that spawn in freshwater rivers and streams) fisheries, and certain marine mammals.

In addition to its Washington, DC, headquarters, the service maintains seven regional offices and field units. Those include national wildlife refuges, national fish hatcheries, research laboratories, and a nationwide network of law enforcement agents.

As of 2010 the service managed 548 refuges that provided habitats for migratory birds, endangered species, and other wildlife, and sixty-six national fish hatcheries. It sets migratory bird hunting regulations

and leads an effort to protect and restore endangered and threatened animals and plants in the United States and other countries.

Service scientists assess the effects of contaminants on wildlife and habitats. Its geographers and cartographers work with other scientists to map wetlands and carry out programs to slow wetland loss, or preserve and enhance these habitats. Restoring fisheries that have been depleted by overfishing, pollution, or other habitat damage is a major program of the service. Efforts are underway to help four important species: lake trout in the upper Great Lakes, striped bass in both the Chesapeake Bay and Gulf Coast, Atlantic salmon in New England, and salmonid species of the Pacific Northwest. A notable effort taking place in the U.S. Pacific Northwest in 2010 is directed at protecting the habitat of a threatened species of fish known as the bull trout.

Fish and Wildlife biologists working with scientists from other federal and state agencies, universities, and private organizations develop recovery plans for endangered and threatened species. Among its successes are the American alligator, no longer considered endangered in some areas and a steadily increasing bald eagle population. The service continually evaluates the condition of species of interest to determine if they are endangered or not. As two examples, in 2010 the Sacramento Splittail, a fish that inhabits many California freshwaters, was determined not to be endangered, whereas a species of eider duck called Steller's Eider was designated an endangered species.

Internationally the Service cooperates with forty wildlife research and wildlife management programs and provides technical assistance to many other countries. Its 200 special agents and inspectors enforce wildlife laws and treaty obligations. They investigate cases ranging from individual migratory-bird hunting violations to large-scale poaching and commercial trade in protected wildlife.

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Linda Rehkopf

## Fish kills

Fishing has long been a major provider of food and livelihood to people throughout the world. In the United States millions of people enjoy fishing (in both fresh and salt water) as an outdoor recreation. The Environmental Protection Agency (EPA) is charged with overseeing the quality of the nation's waterways.

Fish kills can result from natural as well as human causes. Natural causes include sudden changes in temperature, oxygen depletion, toxic gases, epidemics of viruses and bacteria, infestations of parasites, toxic algal blooms, lightning, fungi, and other similar factors. Human influences that lead to fish kills include acid rain, sewage effluent, and toxic spills. Human-induced fish kills can also occur as a result of sudden temperature changes caused by industrial processes or release of water from reservoirs. Additionally, climate change may affect the well-being and survival of aquatic organisms due to rising water temperatures, which in turn affect water quality.

Causes of documented fish kills typically include factors such as pollution from municipal-related (sewage effluent), agricultural activities, industrial operations, transportation accidents, oxygen depletions, nonindustrial operations, and mining, disease, and other factors.

Fish kills may occur quite rapidly, even within minutes of a major toxic spill. Usually, however, the process takes days or even months, especially in response to natural causes. Experienced fishery biologists usually need a wide variety of physical, chemical, and biological tests of the habitat and fish to determine the exact causative agent or agents. The investigative procedure is often complex and may require a lot of time.

Species of fish vary in their susceptibility to the different factors that contribute to die-offs. Some species are sensitive to almost any disturbance, whereas other fish are tolerant of changes. Predatory fish at the top of the food chain/web are typically the first fish affected by toxic substances that accumulate slowly in the water.

The most common contributor to fish kills by natural causes is oxygen depletion, which occurs when the amount of oxygen utilized by respiration, decomposition, and other processes exceeds oxygen input from the atmosphere and photosynthesis. Oxygen is more soluble in cold than warm water. Summer fish kills occur when lakes are thermally stratified. If the lake is eutrophic (highly productive), dead plant and animal matter that settles to the bottom undergoes decomposition, utilizing oxygen. Under windless

conditions, more oxygen will be used than is gained, and animals such as fish and zooplankton often die from suffocation. Oxygen depletion can also be caused by human actions via thermal pollution. Unusually warm water released from industrial power plants into cooler bodies of water results in the inability of oxygen to dissolve in water, thus reducing the amount of oxygen available to organisms living in the water.

Winter fish kills can also occur. Algae can photosynthesize even when the lake is covered with ice because sunlight can penetrate through the ice. However, if heavy snowfall accumulates on top of the ice, light may not reach the underlying water, and the phytoplankton die and sink to the bottom. Decomposers and respiring organisms again use up the remaining oxygen, and the animals eventually die. When the ice melts in the spring, dead fish are found floating on the surface. This is a fairly common occurrence in many lakes in Michigan, Wisconsin, Minnesota, and surrounding states. For example, dead alewives (*Alosa pseudoharengus*) often wash up on the southwestern shore of Lake Michigan near Chicago during spring thaws following harsh winters.

In summer and winter, artificial aeration can help prevent fish kills. The addition of oxygen through aeration and mixing is one of the easiest and cheapest methods of dealing with low oxygen levels. In intensive aquaculture ponds, massive fish deaths from oxygen depletion are a constant threat. Oxygen sensors are often installed to detect low oxygen levels and trigger the release of pure oxygen gas (O<sub>2</sub>) from nearby cylinders.

Natural fish kills can also result from the release of toxic gases. For example, hydrogen sulfide (H<sub>2</sub>S), a foul-smelling gas naturally produced in the oxygen-deficient sediments of eutrophic lakes, can also cause fish deaths. Even in oxygenated waters, high H<sub>2</sub>S levels can cause a condition in fish termed brown blood. The brown color of the blood is caused by the formation of sulfhemoglobin, which inhibits the blood's oxygen-carrying capacity. Some fish survive, but sensitive fish, such as trout, usually die.

Fish kills can also result from toxic algal blooms. Some cyanobacteria (commonly referred to as blue-green algae) in lakes and dinoflagellates in the ocean release toxins that can kill fish and other vertebrates, including humans. For example, dense blooms of cyanobacteria such as *Anabaena*, *Aphanizomenon*, and *Microcystis* have caused fish kills in many farm ponds during the summer. Fish die not only from the toxins but also from asphyxiation resulting from decomposition of the mass of cyanobacteria that also die due to lack of sunlight in the densely-populated

lake water. In marine waters toxic dinoflagellate blooms termed red tides are notorious for causing massive fish kills. Blooms of *Gymnodinium* or *Gonyaulax* periodically kill fish along the East and Gulf Coasts of the United States. Die-offs of salmon in aquaculture pens along the southwestern shoreline of Norway have been blamed on these organisms. Millions of dollars can be lost if the fish are not moved to clear waters. Saxitoxin, the toxic chemical produced by *Gonyaulax*, is fifty times more lethal than strychnine or curare.

Pathogens and parasites can also contribute to fish kills. Usually the effect is more secondary than direct. Fish weakened by parasites or infections of bacteria or viruses usually are unable to adapt to and survive changes in water temperature and chemistry. Under stressful conditions of overcrowding and malnourishment, gizzard shad (*Dorosoma cepedianum*) often die from minor infestations of the normally harmless bacterium *Aeromonas hydrophila*. In the same way fungal infections such as *Ichthyophonus hoferia* can contribute to fish kills. Most fresh water aquarium keepers are familiar with the threat of ick for their fish. The telltale white spots under the epithelium of the fins, body, and gills are caused by the protozoan parasite *Ichthyophthirius multifiliis*.

Changes in pH of lakes resulting from acid rain are a modern example of how human actions can contribute to fish kills. Atmospheric pollutants such as nitrogen dioxide (NO<sub>2</sub>) and sulfur dioxide (SO<sub>2</sub>) released from automobiles and industries mix with water vapor and cause the rainwater to be more acid than normal (>pH 6.5). Unprotected lakes downwind that receive this rainfall increase in acidity, and sensitive fish eventually die. Most of the once-productive trout streams and lakes in the southern half of Norway are now devoid of these prized fish. Sweden has combated this problem by adding enormous quantities of lime to their affected lakes in the hope of neutralizing the acid's effects.

Sewage treatment plants add varying amounts of treated effluent to streams and lakes. Sometimes during heavy rainfall, raw sewage escapes the treatment process and pollutes the aquatic environment. The greater the organic matter that comprises the effluent, the more decomposition occurs, resulting in oxygen usage. Scientists call this the biological or biochemical oxygen demand (BOD), the quantity of oxygen required by bacteria to oxidize the organic waste aerobically to carbon dioxide and water. It is measured by placing a sample of the wastewater in a glass-stoppered bottle for five days at 71 degrees Fahrenheit (20 degrees Celsius) and determining the amount of

oxygen consumed during this time. Domestic sewage typically has a BOD of about 200 milligrams per liter, or 200 parts per million (ppm); rates for industrial waste may reach several thousand milligrams per liter. Reports of fish kills in industrialized countries have greatly increased in recent years. Sewage effluent not only kills fish; it can also create a barrier to fish migrating upstream because of the low oxygen levels. For example, coho salmon (*Oncorhynchus kisutch*) will not pass through water with oxygen levels below 5 ppm. Oxygen depletion is often more detrimental to fish than thermal shock.

Toxic chemical spills, whether via sewage treatment plants or other sources, are the major cause of fish kills. Sudden discharges of large quantities of highly toxic substances usually cause massive death of most aquatic life. If they enter the ecosystem at sublethal levels over a long time, the effects are more subtle. Large predatory or omnivorous fish are typically the first ones affected. This is because toxic chemicals such as methyl mercury ([CH<sub>3</sub>Hg]<sup>+</sup>), DDT, PCBs, and other organic pollutants have an affinity for fatty tissue and progressively accumulate in organisms up the food chain. This is referred to as the principle of biomagnification. Unfortunately for human consumers, these fish do not usually die right away, resulting in sickness, and possibly death, for people who eat a lot of these tainted fish. Such is the case for Minamata disease, named for the first documented connection between the death of fishermen and methyl mercury contamination.

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John Korstad

Fish nets see **Drift nets; Gill nets.**

Fission see **Nuclear fission.**

## Fisheries and Oceans Canada

The Department of Fisheries and Oceans (DFO) in Canada was created by the Department of Fisheries and Oceans Act on April 2, 1979. This act formed a separate government department from the Fisheries and Marine Service of the former Department of Fisheries and the Environment. The new department was needed, in part, because of increased interest in the management of Canada's oceanic resources, and also because of the mandate resulting from the unilateral declaration of the 200-nautical-mile Exclusive Economic Zones in 1977.

At its inception the DFO assumed responsibility for seacoast and inland fisheries, fishing and recreational vessel harbors, hydrography and ocean science, and the coordination of policy and programs for Canada's oceans. Four main organizational units were created: Atlantic Fisheries, Pacific and Freshwater Fisheries, Economic Development and Marketing, and Ocean and Aquatic Science. Among the activities included in the department's original mandate were: comprehensive husbandry of fish stocks and protection of habitat; "best use" of fish stocks for optimal socioeconomic benefits; adequate hydrographic surveys; the acquisition of sufficient knowledge for defense, transportation, energy development, and fisheries, with provision of such information to users; and continued development and maintenance of a national system of harbors.

Since its inception the department's mandate has changed in minor ways to include new terminology such as "sustainability" and to include Canada's "ecological interests." In the early 2000s attention was given to support those who make their living or benefit from the sea. This constituency includes the public first, but the DFO also directs its efforts toward commercial fishers, fish plant workers, importers, aquaculturists, recreational fishers, native fishers, and the ocean manufacturing and service sectors. There are now six DFO divisions: Science, Atlantic Fisheries, Pacific Fisheries, Inspection Services, International, and Corporate Policy and Support administered through six regional offices.

A primary focus of DFO's current work is the failing cod and groundfish stocks in the Atlantic; the department has commissioned two major inquiries in recent years to investigate those problems. In addition, the DFO has increased regulation of foreign fleets and works to manage straddling stocks in the Atlantic Exclusive Economic Zone through the North Atlantic Fisheries Organization, the Pacific drift nets fisheries,

recreational fishing, and aquaculture development. In 1992 management problems in the major fisheries arose on both the Pacific and Atlantic coasts. American fisheries managers reneged on quotas established through the Pacific Salmon Treaty, northern cod stocks in Newfoundland virtually failed, and the Aboriginal Fishing Strategy was adopted as part of a land claim settlement on the Pacific coast.

Population figures released in September 2010 indicate that the cod population in the Grand Banks fishery is beginning to recover and has increased almost 70 percent since 2007. Still, because the cod collapse was so sweeping, the actual numbers remain too long to sustain a fishery, and represent only 10 percent of the numbers of fish present prior to the collapse in the 1960s. DFO continues to monitor the cod stocks, both to ensure that recovery can continue and to determine when limited fishing might be allowed.

There are several major problems associated with ocean resource and environment management in Canada—problems that the DFO has neither the resources, the legislative infrastructure, nor the political will to address. One result of this has been the steady decline of commercial fish stocks, highlighted by the virtual collapse of Atlantic cod (*Gadus callarias*), which is Canada's, and perhaps the Atlantic's, most historically significant fishery. A second result has been an increased need to secure international agreements with Canada's ocean neighbours. A third result of social significance is the perception that fisheries have been used in a political sense in cases of regional economic incentives and land claims settlements.

*See also* Commercial fishing.

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David A. Duffus

Fishing see **Commercial fishing; Drift nets; Gill nets.**

## Floatable debris

Floatable debris is buoyant solid waste that pollutes waterways. Sources include boats and shipping vessels, storm water discharge, sewer systems, industrial activities, offshore drilling, recreational beaches, and landfills. Even waste dumped far from a water source can end up as floatable debris when flooding, high winds, or other weather conditions transport it into rivers and streams.

According to the U.S. Environmental Protection Agency (EPA), floatable debris is responsible for the death of more than 100,000 marine mammals and one million seabirds annually. Seals, sea lions, manatees, sea turtles, and other marine creatures often mistake debris for food, eating objects that block their intestinal tract or cause internal injury. They can also become entangled in lost fishing nets and line, six-pack rings, or other objects.

In 2009 ocean researchers and environmentalists warned that new discoveries of floating trash and small particles of plastic in the Atlantic Ocean vastly increased prior estimates of the extent of floating surface pollution across the world's oceans. Scientists documented a large patch of plastics and other surface trash in an area between Bermuda and the Azores Islands. A similar patch of micro-particles, mainly plastics, in the Pacific is commonly known as the Great Pacific Garbage Patch. Improper disposal of non-biodegradable materials that work their way into waterways are prime causes of such floating trash.

Fishing nets lost at sea catch tons of fish that simply decompose, a phenomenon known as “ghost fishing.” Often, seabirds are ensnared in these nets when they try to eat the fish.

Lost nets and other entrapping debris are also a danger for humans who swim, snorkel, or scuba dive. And biomedical waste and sewage can spread disease in recreational waters. Floatable debris takes a significant financial toll as well. It damages boats, deters tourism, and negatively impacts the fishing industry.

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#### ORGANIZATIONS

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Paula Anne Ford-Martin

## Flooding

Flooding occurs when the water level in a stream, river, bay, or lake rises above the restraining level of the surrounding terrain. Bays may flood as the result of a tsunami induced by an earthquake or volcanic eruption or as a result of a tidal storm surge caused by a hurricane or tropical storm moving inland. Streams, rivers, and lakes may be flooded by high amounts of surface runoff resulting from widespread precipitation or rapid snow melting. On a smaller scale, flash floods due to extremely heavy precipitation occurring over a short period of time can flood streams, creeks, and low



Floodwaters from Hurricane Katrina fill the streets near downtown New Orleans, LA, on August 30, 2005. (AP Photo/David J. Phillip)

lying areas in a matter of a few hours. Thus, there are various temporal and spatial scales of flooding. Historical evidence suggests that flooding causes greater loss of life and property than any other natural disaster. The magnitude, seasonality, frequency, velocity, and load are all properties of flooding, which are studied by meteorologists, climatologists, and hydrologists.

Spring and winter floods occur with some frequency primarily in the mid-latitude regions of the earth, and particularly where continental climate is the norm. Five climatic features contribute to the spring and winter flooding potential of any individual year or region: (1) heavy winter snow cover; (2) saturated soils or soils at least near their field capacity for storing water; (3) rapid melting of the winter's snow pack; (4) frozen soil conditions that limit infiltration; and (5) somewhat heavy rains, usually from large scale cyclonic storms. Any combination of three of these five climatic features usually leads to some type of flooding. This type of flooding can cause hundreds of millions of dollars in property damage, but it can usually be predicted well in advance, allowing for evacuation and other protective action to be taken (sandbagging, for instance). In some situations flood-control measures, such as stream or channel diversions, dams, and levees, can greatly reduce the risk of flooding. This is more often done in floodplain areas with histories of very damaging floods. In addition, land use regulations, encroachment statutes, and building codes are often intended to protect the public from the risk of flooding.

Flash flooding is generally caused by violent weather, such as severe thunderstorms and hurricanes. This type of flooding more frequently occurs during the warm season when convective thunderstorms develop more frequently. Rainfall intensity is so great that the carrying capacity of streams and channels is rapidly exceeded, usually within hours, resulting in sometimes life-threatening flooding. It is estimated that the average death toll in the United States exceeds 200 per year as a result of flash flooding. Many government weather services provide the public with flash flood watches and warnings to prevent loss of life. Many flash floods occur as the result of afternoon and evening thundershowers which produce rainfall intensities ranging from a few tenths of an inch per hour to several inches per hour. In some highly developed urban areas, the risk of flash flooding has increased over time as the native vegetation and soils have been replaced by buildings and pavement, which produce much higher amounts of surface runoff. In addition, the increased usage of parks and recreational facilities that lie along stream and river channels has exposed the public to greater risk.

The latest example of the damage and tragedy that can be caused by flooding occurred in August and September 2010 in Pakistan. Floods caused by massive monsoon rainfall surged along several major rivers and displaced an estimated 21 million people and killed more than 2,000 people. Floodwaters destroyed over one million homes and over one-fifth of the country was underwater at one point, causing the loss of a considerable portion of the grain, sugarcane, and rice harvest. International relief efforts have been less enthusiastic than in the past, such as in response to the 2005 earthquake that affected portions of the nation.

*See also* Urban runoff.

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Mark W. Seeley

## Floodplain

An area that has been built up by stream deposition, generally represented by the main drainage channel of a watershed, is called a floodplain. This area, usually relatively flat with respect to the surrounding landscape, is subject to periodic flooding, over variable periods of time. The periodicity is usually characterized as "expected to flood once in 100 years" meaning that an area should experience flooding at least once over a hundred year span of time. Floodplains usually have short periodicity (some flooding several times a year), vary in size, and are linked to a specific river or drainage basin. The soils in floodplains are often dark and fertile,

representing material lost to the erosive forces of heavy precipitation and runoff. These soils are often farmed, though subject to the risk of periodic crop losses due to flooding. In some areas floodplains are protected by flood control measures such as reservoirs and levees and are used for farming or residential development. In other areas land-use regulations, encroachment statutes and local building codes often prevent development on floodplains.

## Flora

All forms of plant life or botanical species that live in a particular geographic region at a particular time in history. A number of factors determine the flora in any particular area, including temperature, sunlight, soil, water, and evolutionary history. The flora in any given area is a major factor in determining the type of fauna found in the area. Scientists have divided the earth's surface into a number of regions inhabited by distinct flora. Among these regions are the African-Indian desert, western African rain forest, Pacific North American region, Arctic and Sub-arctic region, and the Amazon.

## Florida panther

The Florida panther (*Felis concolor coryi*), a subspecies of the mountain lion, is a member of the cat family, Felidae, and is severely threatened with extinction. Listed as endangered, the Florida panther population currently numbers between eighty and 100 individuals. Its former range probably extended from western Louisiana and Arkansas eastward through Mississippi, Alabama, Georgia, and southwestern South Carolina to the southern tip of Florida. At the start of the twenty-first century, the Florida panther's range consists of the Everglades-Big Cypress Swamp area. The preferred habitat for this large cat is subtropical forests comprised of dense stands of trees, vines, and shrubs, typically in low, swampy areas.

Several factors have contributed to the decline of the Florida panther. Historically the most significant factors have been habitat loss and persecution by humans. Land use patterns have altered the environment throughout the former range of the Florida panther.

With shifts to cattle ranching and agriculture, lands were drained and developed, and with the altered



Florida panther

A Florida panther (*Felis concolor coryi*). (© Mark Conlin / Alamy)

vegetation patterns came a change in the prey base for this top carnivore. The main prey item of the Florida panther is white-tailed deer (*Odocoileus virginianus*). Formerly the spring and summer rains kept the area wet, and then, as it dried out, fires would renew the grassy meadows at the forest edges, creating an ideal habitat for the deer. With development and increased deer hunting by humans, the panther's prey base declined and so did the number of panthers. Prior to the 1950s, Florida had a bounty on Florida panthers because the animal was considered a "threat" to humans and livestock. During the 1950s state law protected the dwindling population of panthers. In 1967 the Florida panther was listed by the U. S. Fish and Wildlife Service as an endangered species.

Land development is still expanding in southern Florida. With the annual influx of new residents, fruit orchards being moved south, and continued draining and clearing of land, panther habitat continues to be destroyed. The Florida panther is forced into areas that are not good habitat for white-tailed deer, so the

panthers are catching armadillos and raccoons for food. Panthers then become underweight and anemic due to poor nutrition.

Development contributes to the Florida panther's decline in other ways too. The panther's range is currently split in half by the east-west highway known as Alligator Alley. During peak seasons more than 30,000 vehicles traverse this stretch of highway daily, and since 1972 dozens of panthers have been killed by cars—the single largest cause of death for these cats in recent decades.

Biology is also working against the Florida panther. Because of the extremely small population size, inbreeding of panthers has yielded increased reproductive failures, due to deformed or infertile sperm. The spread of feline distemper virus also is a concern to wildlife biologists. All these factors have led officials to develop a recovery plan that includes a captive breeding program using a small number of injured animals, as well as a mark and recapture program, using radio collars, to inoculate against disease and track young panthers with hopes of saving this valuable part of the biota of south Florida's Everglades ecosystem.

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Eugene C. Beckham

## Flotation

An operation in which submerged materials are brought to the surface under buoyant force created by the induction and adherence of small air bubbles. Bubbles are generated through a system called dissolved air flotation (DAF), which is capable of producing clouds of very fine, very small bubbles. A large

number of small-sized bubbles is generally most efficient for removing material from water.

This process is commonly used in wastewater treatment and by industries, but not in water treatment. For example, the mining industry uses flotation to concentrate fine ore particles, and flotation has been used to concentrate uranium from sea water. It is commonly used to thicken the sludges and to remove grease and oil at wastewater treatment plants. The textile industry often uses flotation to treat process waters resulting from dyeing operations. Flotation might also be used to remove surfactants. Materials that are denser than water or that dissolve well in water are poor candidates for flotation. Flotation should not be confused with foam separation, a process in which surfactants are added to create a foam that affects the removal or concentration of some other material.

## Flu pandemic

The Spanish influenza (flu) outbreak of 1918–1919 killed an estimated 20 to 40 million people worldwide. Although the virus was not especially lethal in terms of number of deaths per total cases (by 1918 standards), the virus infected at least an estimated 500 million people. It was, however, very lethal to otherwise healthy adults ages twenty to forty-four, as opposed to most flu outbreaks, which kill only the very young, the elderly, and people with weakened immune systems. Scientists and public health officials



This undated photo provided by the journal *Science* shows Dr. Terrence Tumpey, microbiologist for the National Center for Infectious Diseases, examining specimens of the 1918 Pandemic Influenza Virus virus that was reconstructed. (AP Photo/ Cynthia Goldsmith, CDC, *Science*)

continue to study Spanish flu in the hopes of preventing a similar outbreak.

The Spanish flu virus caused one of the worst infectious disease pandemics ever recorded in modern history. And although the threats of some diseases, such as smallpox, have been contained by vaccination programs, influenza remains a difficult disease. There are worldwide outbreaks of influenza every year (approximately 300,000–500,000 people die of influenza or influenza complications each year—about 36,000 in the United States alone), and the flu typically reaches pandemic proportions (lethally afflicting an unusually high portion of the population) every ten to forty years. Prior to the declaration of a global pandemic of 2009 A H1N1 influenza in June 2009, the last influenza pandemic was the Hong Kong flu of 1968–1969, which caused an estimated one million deaths worldwide and killed approximately 33,000 Americans. The influenza virus is highly mutable, so each year's flu outbreak presents the human body with a slightly different virus. Because of this, people do not build immunity to influenza. Vaccines are successful in protecting people against influenza, but vaccine manufacturers must prepare a new batch each year, based on their best supposition of which particular virus will spread.

Most influenza viruses originate in Asia, and doctors, scientists, and public health officials closely monitor flu cases there to make the appropriate vaccine. The two main organizations tracking influenza are the Centers for Disease Control (CDC) and the World Health Organization (WHO). The CDC and other government agencies have been preparing for a flu pandemic on the level of Spanish flu since the early 1990s.

In April 2009 a new virus with a mixture of swine, avian, and human influenza genes emanated from Mexico to sites around the world. Initially classified as a swine flu, the flu was renamed the 2009 H1N1 flu (or 2009 A H1N1 influenza) because there was no evidence that pigs were involved in the most recent transmission to humans. The virus must have passed through pigs at one time, but as of August 2010, there was no evidence that pigs played a direct part in the 2009 H1N1 outbreak. The 2009 H1N1 flu spread quickly and reached pandemic status within two months, because it was a new and highly transmissible virus to which humans had no natural immunity. By the end of July 2009, more than 55,867 laboratory-confirmed cases of H1N1 influenza, including 700 deaths, were reported to WHO. Officials at WHO ceased collecting data on individual case counts, as

the epidemic was well established in both the Northern and Southern hemispheres. In August 2010 WHO officials officially declared an end to the global pandemic.

The 2009 pandemic H1N1 virus proved to be less lethal than many other flu viruses (it is not as lethal as the H5N1, Spanish, or Hong Kong viruses, for example), and infectious disease experts predicted that the 2009 influenza pandemic would not approach the severity of prior pandemics such as the 1918–1919 Spanish flu. Priorities for responding to the pandemic included manufacturing and delivering sufficient quantities of antiviral drugs and vaccine specific to the 2009 H1N1 virus.

The Spanish flu actually did not originate in Spain, but presumably in Kansas, where the first case was recorded in March 1918, at the army base Camp Funston. It quickly spread across the United States and then to Europe with American soldiers who were fighting in the last months of World War I (1914–1918). Infected ships brought the outbreak to India, New Zealand, and Alaska. Spanish flu killed quickly. People often died within forty-eight hours of first feeling symptoms. The disease afflicted the lungs, and caused the tiny air sacs, called alveoli, to fill with fluid. Victims were soon starved of oxygen and sometimes drowned in the fluid clogging their lungs. Children and old people recovered from the Spanish flu at a much higher rate than young adults. In the United States, the death rate from Spanish flu was several times higher for men ages twenty-five to twenty-nine than for men in their seventies.

Social conditions at the time probably contributed to the remarkable power of the disease. The flu struck just at the end of World War I, when thousands of soldiers were moving from America to Europe and across that continent. In a peaceful time, sick people may have gone home to bed, and thus passed the disease only to their immediate family. But in 1918 men with the virus were packed in already crowded hospitals and troop ships. The unrest and devastation left by the war probably hastened the spread of Spanish flu. So it is possible that if a similarly virulent virus were to arise again soon, especially with modern antiviral medicines, it would not be as deadly.

Researchers are concerned about a return of Spanish flu because little is known about what made it so virulent. The flu virus was not isolated until 1933, and since then there have been several efforts to collect and study the 1918 virus by exhuming graves in Alaska and Norway, where bodies were preserved in permanently frozen ground. In 1997 a Canadian researcher,

Kirsty Duncan, was able to extract tissue samples from the corpses of seven miners who had died of Spanish flu in October 1918 and were buried in frozen ground on a tiny island off Norway. Duncan's work allowed scientists at several laboratories around the world to do genetic work on the Spanish flu virus. It was subsequently determined by researchers that the Spanish flu was a mixture of genes, including avian genes.

The influenza virus is believed to originate in migratory waterfowl, particularly ducks. Ducks carry influenza viruses without becoming ill. They excrete the virus in their feces. When their feces collect in water, other animals can become infected. Domestic turkeys and chickens can easily become infected with influenza virus borne by wild ducks. But most avian (bird-borne) influenza does not pass to humans, or if it does, is not particularly virulent. But other mammals, too, can pick up influenza from either wild birds or domestic fowl. Whales, seals, ferrets, horses, and pigs are all susceptible to bird-borne viruses. When the virus moves between species, it may mutate. Human influenza viruses may sometimes pass from ducks to pigs to humans.

A flu outbreak among chickens in Hong Kong in 1997 eventually killed six people, but the epidemic was stopped by the quick slaughter of millions of chickens in the area. This virus identified was classified as an avian flu (bird flu), H5N1 strain of influenza. As of August 2010, H5N1 influenza had claimed approximately 300 human lives, and the lethal virus had spread geographically to Europe and Africa. Up to that point, only a few cases of human-to-human transmission of H5N1 had been documented. However, because of its lethality, the H5N1 virus was closely monitored by epidemiologists for signs that the virus could mutate in such a way that it could transmit easily between humans, a necessary step toward pandemic level outbreaks.

Enacting controls on pig and poultry farms may be an important way to prevent the rise of a new influenza pandemic. Some influenza researchers recommend that pigs and domestic ducks and chickens not be raised together. Separating pigs and fowl at live markets may also be a sensible precaution. With the concentration of poultry and pigs at factory farms, it is important for farmers, veterinarians, and public health officials to monitor for influenza. Any action to control flu must be an international effort, because the influenza virus moves rapidly without respect to national borders.

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Angela Woodward

## Flue gas

The exhaust gas vented from combustion, a chemical reaction, or other physical process, which passes through a duct into the atmosphere. Exhaust air is usually captured by an enclosure and brought into the exhaust duct through induced or forced ventilation. Induced ventilation is created by lowering the pressure in the duct using fans at the end of the duct. Forced ventilation occurs when exhaust air is forced into the duct using high-pressure inlet air. Flues are valuable because they direct polluted air to a pollution control device or filtration system. In addition, flue systems can help regulate density and concentration levels needed to maximize filtration efficiency

See also Air pollution control.

## Flue-gas scrubbing

Flue-gas scrubbing is a process for removing oxides of sulfur and nitrogen from the waste gases emitted by various industrial processes. Since the oxides of sulfur and nitrogen have been implicated in a number of health and environmental problems, controlling them is an important issue. The basic principle of scrubbing is that flue gases are forced through a system of baffles within a smokestack. The baffles contain some chemical or chemicals that remove pollutants from these gases.

A number of scrubbing processes are available, all of which depend on the reaction between the oxide and some other chemical to produce a harmless compound that can then be removed from the smokestack. For example, currently the most common scrubbing reaction involves the reaction between sulfur dioxide and lime. In the first step of this process, limestone is heated to produce lime. The lime then reacts with sulfur dioxide in flue gases to form calcium sulfite, which can be removed with electrostatic precipitation.

Many other scrubbing reactions have been investigated. For example, magnesium oxide can be used in place of calcium oxide in the scrubber. The advantage of this reaction is that the magnesium sulfite decomposes readily when heated. The magnesium oxide that is regenerated can then be reused in the scrubber while the sulfur dioxide can be used to make sulfuric acid. In yet another process, a mixture of sodium citrate and citric acid is used in the scrubber. When sulfur dioxide is absorbed by the mixture, a reaction occurs in which elemental sulfur is precipitated out.

Although the limestone/lime process is by far the most popular scrubbing reaction, it has one serious disadvantage. The end product, calcium sulfite, is a solid that must be disposed of in some way. Solid waste disposal is already a serious problem in many areas, so adding to that problem is not desirable. In spite of that, the limestone/lime scrubbing process, or some variation of it, remains the most popular method of extracting sulfur dioxide from flue gases. For that reason, reactions such as those involving magnesium oxide, sodium citrate, and citric acid have been carefully studied. The products of these reactions, sulfuric acid and elemental sulfur, are valuable raw materials that can be sold and used.

Scrubbing to remove nitrogen oxides is much less effective. In principle, reactions such as those used with sulfur dioxide are possible. Experiments have

been conducted in which ammonia or ozone is used in the scrubber to react with and remove oxides of nitrogen. But such methods have had relatively little success and are rarely used by industry.

Flue gas scrubbing has long met with resistance from utilities and industries. For one thing they are not convinced that oxides of sulfur and nitrogen are as dangerous as environmentalists sometimes claim. In addition they argue that the cost of installing scrubbers is often too great to justify their use.

*See also* Air pollution control; Dry alkali injection; Stack emissions.

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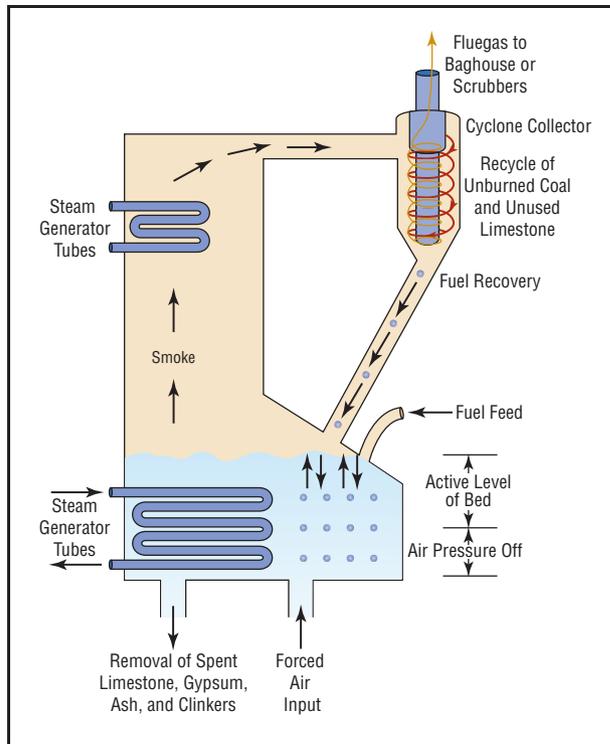
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David E. Newton

## Fluidized bed combustion

Of all fossil fuels, coal exists in the largest amount. In fact, the world's coal resources appear to be sufficient to meet energy needs for a few years. One aim of energy technology, therefore, is to find more efficient ways to make use of these coal reserves. One efficiency procedure that has been under investigation for several decades is known as fluidized bed combustion.

In a fluidized bed boiler, granulated coal and limestone are fed simultaneously onto a moving grate. A stream of air from below the grate lifts coal particles so that they are actually suspended in air when they begin to burn. The flow of air, small size of the coal particles, and exposure of the particles on all sides contribute to an increased rate of combustion. Heat produced by burning the coal is then used to boil water, run a



**Fluidized bed combustion.** Fuel is lifted by a stream of air from underneath the bed. Fuel efficiency is good and sulfur dioxide and nitrogen oxide emissions are lower than with conventional boilers. (Reproduced by permission of Gale, a part of Cengage Learning)

turbine, and drive a generator, as in a conventional power plant.

The fluidized bed process has much to recommend it from an environmental standpoint. Sulfur and nitrogen oxides react with limestone added to the boiler along with the coal. The product of this reaction, primarily calcium sulfite and calcium sulfate, can be removed from the bottom of the boiler. However, disposing of large quantities of this waste product represents one of the drawbacks of the fluidized bed system.

Fly ash is also reduced in the fluidized bed process. As coal burns in the boiler, particles of fly ash tend to adhere to each other, forming larger particles that eventually settle out at the bottom of the boiler. Conventional methods of removal in the stack, such as electrostatic precipitation, can further increase efficiency with which particles are removed.

Incomplete combustion of coal is common in the fluidized bed process. However, carbon monoxide and hydrogen sulfide formed in this way are further oxidized

in the space above the moving grate. The products of this oxidation are then removed by the limestone (which reacts with sulfur dioxide) or is allowed to escape harmlessly into the air (in the case of the carbon dioxide). After being used as a scavenger in the process, the limestone, calcium sulfite, and calcium sulfate can be treated to release sulfur dioxide and regenerate the original limestone. The limestone can then be reused and the sulfur dioxide employed to make sulfuric acid.

A further advantage of the fluidized bed process is that it operates at a lower temperature than does a conventional power plant. Thus, the temperature of cooling water ejected from the plant is lower, and the amount of thermal pollution of nearby waterways is correspondingly lessened.

Writers in the 1970s expressed high hopes for the future of fluidized bed combustion systems, but the cost of such systems remained prohibitively expensive compared to conventional plant until recently. They are also only marginally more efficient than a conventional plant. Still, their environmental assets are obvious. They should reduce the amount of sulfur dioxide emitted by up to 90 percent and the amount of nitrogen oxides by more than 60 percent. Fluidized bed combustion processes reduce sulfur dioxide and nitrogen dioxide levels below federal standards.

See also Air pollution control; Stack emissions.

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David E. Newton

## Fluoridation

Fluoridation is the precise adjustment of the concentration of the essential trace element fluoride in the public water supply to protect teeth and bones. Advocates of fluoridation such as the American Dental Association (ADA) and the National Center for Chronic Disease Prevention and Health Promotion (CDC), state that fluoridation is a safe and effective

method of preventing tooth decay. Opponents of fluoridation however, such as Citizens for Health and the Fluoride Action Network, maintain that the role fluoridation in the decline of tooth decay is in serious doubt and that more research is required before placing a compound in water reservoirs that could cause cancer, brittle bones, and neurological problems.

Fluoride is any compound that contains fluorine, a corrosive, greenish-yellow element. Tooth enamel contains small amounts of fluoride. In addition, fluoride is found in varying amounts in water and in all food and beverages, according to the ADA.

A Colorado dentist discovered the effects of fluoride on teeth in the 1900s. When Frederick McKay began practicing in Colorado Springs, he established a connection between a substance in the water and the condition of residents' teeth. People did not have cavities, but their teeth were stained brown. Dental research started on the substance that was identified as fluoride during the 1930s. Researchers concluded that a concentration of fluoride in drinking water at a ratio of 1 part per million (ppm) prevented tooth decay without staining teeth. In 2000 the ADA stated that a fluoride concentration ranging from 0.7 ppm to 1.2 ppm was sufficient to fight tooth decay.

The first community to try fluoridation was Grand Rapids, Michigan. The city fluoridated the community water supply in 1945. Ten years later Grand Rapids reported that incidents of tooth decay had declined by 60 percent in the children raised on fluoridated water. During the 1950s Chicago, Philadelphia, and San Francisco also started to fluoridate their water supply. Cities including New York and Detroit opted for fluoridation during the 1960s. However, not all Americans advocated fluoridation. During the 1950s and 1960s, members of the John Birch Society maintained that fluoridation was a form of mass medication by the government. Some members charged that fluoridation was part of a communist plot to take over the country. In the decades that followed, fluoridation was no longer associated with conspiracy theories. However, opinion about fluoridation was divided at the close of the twentieth century. By 2000 public water systems served 246.1 million Americans, according to the federal CDC. Of that amount, 65.8 percent of Americans used fluoridated water. By 2010 that figure is about 75 percent.

The CDC reported in 2000 that extensive research during the previous fifty years proved that fluoridation was safe. Fluoridation was also endorsed by groups, including the American Medical Association,

the American Academy of Pediatrics, the National PTA, and the American Cancer Society. Advocates of fluoridation state that it especially benefits people who may not be able to afford dental care. In 2010 evidence still supports the use of fluoride. Opponents counter that toothpaste with fluoride is available for people who believe that fluoride fights tooth decay, and question the research methodology used to conclude that fluoridation is responsible for decreased tooth decay.

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Liz Swain

## Fly ash

Fly ash is the fine ash from combustion processes that becomes dispersed in the air. To the casual observer it might appear as smoke, and indeed it is often found mixed with smoke. Fly ash arises because fuels contain a small fraction of incombustible matter. In a fuel like coal, ash has a rock-like siliceous composition, but the high temperatures at which it is formed often means that metals such as iron are incorporated into the ash particles, which take on the appearance of small, colored, glassy spheres. Petroleum produces less ash, but it is often associated with a range of oxides, such as vanadium (in the case of fuel oils), and, more noticeably, hollow spheres of carbon. In traditional furnaces much ash remained on the grate, but modern furnaces produce such fine ash that it is carried away in the hot exhaust gas.

Early power stations dispersed so much fine fly ash throughout the nearby environment that they were soon forced to adopt early pollution abatement techniques. They adopted "cyclones" in which centrifugal force removes the particles by causing the waste gas stream to flow on a curved or vortical course. This technique is effective down to particle sizes of about 10 g/mm, but smaller particles are not removed well by cyclone collectors; an electrostatic precipitation process often proves more successful, coping with a size range 30—0.1 g/mm.

In electrostatic precipitators the particles are given a negative charge and then attracted to a positive electrode where they are collected and removed. Cloth or paper filters and spraying water through the exhaust gases can be useful in removing fly ash.

Fly ash is a nuisance at high concentrations because it accumulates as grit on the surfaces of buildings, clothes, cars, and outdoor furnishings. It is a highly visible and very annoying aspect of industrial air pollution. The deposition of fly ash increases cleaning costs incurred by people who live near poorly controlled combustion sources. Fly ash also has health impacts because the finer particles can penetrate into the human lung. If the deposits are especially heavy, fly ash can also inhibit plant growth.

Each year millions of tons of fly ash are produced from coal-powered furnaces and electrical generation facilities. Where fly ash settles or from designated waste dumps, high levels of toxic metals and alkalis can potentially leach into surrounding watershed and waterways.

Fly ash may be used as a low-grade cement in road building because it contains a large amount of calcium oxide, but generally the demand is low. Fly ash is also used as a high performance mineral filler for use in carpet backing.

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Peter Brimblecombe

## Flyway

The route taken by migratory birds and waterfowl when they travel between their breeding grounds and their winter sanctuary. Flyways often follow geographic features such as mountain ranges, rivers, or other bodies of water. Protecting flyways is one of the many responsibilities of wildlife managers. Draining of wetlands, residential and commercial development, and overhunting are some of the factors that threaten flyway sites visited by birds for food and rest during migration. In most cases international agreements are needed to guarantee protection along the entire length

of a flyway. In the United States flyway protection is financed to a large extent by funds produced through the Migratory Bird Hunting Stamp Act passed by the U.S. Congress in 1934.

## Food additives

Food additives are substances added to food as flavorants, nutrients, preservatives, emulsifiers, or colorants. In addition, foods may contain residues of chemicals used during the production of plant or animal crops, including pesticides, antibiotics, and growth hormones. The use of most food additives is clearly beneficial because it results in improved public health and prevention of spoilage, which enhances the food supply. Nevertheless, there is controversy about the use of many common additives and over the presence of contaminants in food. This is partly because some people are hypersensitive and suffer allergic reactions if they are exposed to certain types of these chemicals. In addition, some people assume that low levels of chronic toxicity and diseases may be caused in the larger population by exposure to some of these substances. Although there is no compelling scientific evidence that this is indeed the case, the possibility of chronic damage caused by food additives and chemical residues is an important social and scientific issue.

The use of food additives in the United States is closely regulated by the government agencies responsible for health, consumer safety, and agriculture (e.g., Food and Drug Administration or FDA). The FDA composes a partial list of tested food additives approved to be “generally recognized as safe” or GRAS, which means that qualified experts have shown the substance to be safe for intended use, or that the substance does not qualify as a food additive under the FDA definition (e.g., pesticides, color additives). Any additives that do not fit the GRAS description are subject to premarket review and approval by the FDA. This type of regulatory system is also in effect in other developed countries in Europe, Canada, and elsewhere. Chemicals cannot be used as additives in those countries unless regulators are convinced that they have been demonstrated to be toxicologically safe, with a wide margin of security. In addition, chemicals added to commercially prepared foods must be listed on the packaging so that consumers can know what is present in the foodstuffs that they choose to eat.

Because of the intrinsic nature of low-level, toxicological risks, especially those associated with diseases

Unhealthy Food Additives		
Name	Description	Example products
Aspartame	An artificial sweetener associated with rashes, headaches, dizziness, depression, etc.	Diet sodas, sugar substitutes, etc.
Brominated vegetable oil (BVO)	Used as an emulsifier and clouding agent. Its main ingredient, bromate, is a poison.	Sodas, etc.
Butylated hydroxyanisole (BHA)/butylated hydroxytoluene (BHT)	Prevents rancidity in foods and is added to food packaging. It slows the transfer of nerve impulses and affects sleep, aggressiveness, and weight in test animals.	Cereal and cheese packaging
Citrus red dye #2	Used to color oranges, it is a probable carcinogen. The FDA has recommended it be banned.	Oranges
Monosodium glutamate (MSG)	A flavor enhancer that can cause headaches, heart palpitations, and nausea.	Fast food, processed and packaged food
Nitrites	Used as preservatives, nitrites form cancer-causing compounds in the gastrointestinal tract and have been associated with cancer and birth defects.	Cured meats and wine
Saccharin	An artificial sweetener that may be carcinogenic.	Diet sodas and sugar substitutes
Sulfites	Used as a food preservative, sulfites have been linked to at least four deaths reported to the FDA in the United States.	Dried fruits, shrimp, and frozen potatoes
Tertiary butylhydroquinone (TBHQ)	It is extremely toxic in low doses and has been linked to childhood behavioral problems.	Candy bars, baking sprays, and fast foods
Yellow dye #6	Increases the number of kidney and adrenal gland tumors in lab rats. It has been banned in Norway and Sweden.	Candy and sodas

**Unhealthy food additives.** (Reproduced by permission of Gale, a part of Cengage Learning)

that may take a long time to develop, scientists are never able to demonstrate that trace exposures to any chemical are absolutely safe—there is always a level of risk, however small. Because some people object to these potential, low-level, often involuntary risks, a certain degree of controversy will always be associated with the use of food additives. This is also true of the closely related topic of residues of pesticides, antibiotics, and growth hormones in foods.

### Flavorants

Certain chemicals are added to foods to enhance their flavor. This is particularly true of commercially processed or prepared foods, such as canned vegetables and frozen foods and meals. One of the most commonly added flavorants is table salt (or sodium chloride, NaCl), a critical nutrient for humans and other animals. In large amounts, however, sodium chloride can predispose people to developing high blood pressure, a factor that is important in strokes and other circulatory and heart diseases.

Table sugar (or sucrose,  $C_{12}H_{22}O_{11}$ ), manufactured from sugar cane or sugar beets, and fructose ( $C_6H_{12}O_6$ ), or fruit sugar, are commonly used to sweeten prepared foods. Such foods include sugar candies, chocolate products, artificial drinks, sweetened fruit juices, peanut butter, jams, ketchup, and most commercial breads. Sugars are easily assimilated from foods and are a useful form of metabolic energy. In large amounts, however, sugars can lead to weight gain, tooth decay, and hypoglycemia or diabetes in genetically predisposed people. Artificial sweeteners such as saccharine, aspartame, and sucralose avoid the nutritional problems associated with eating too much sugar. Another naturally-derived sweetener is stevia, which was approved by the FDA in 2008. These nonsugar sweeteners may have their own problems, however, and some people consider them to be a low-level health hazard.

Monosodium glutamate (or MSG) is commonly used as a flavor enhancer, particularly in processed meats, prepared soups, and Asian foods. Some people

are relatively sensitive to this chemical, developing headaches and other symptoms that are sometimes referred to as Chinese food syndrome. Other flavorants used in processed foods include many kinds of spices, herbs, vanilla, mustard, nuts, peanuts, and wine. Some people are extremely allergic to even minute exposures to peanuts or nuts in food and can rapidly develop a condition known as anaphylactic shock, which is life-threatening unless quickly treated with medicine. This is one of the reasons why any foods containing peanuts or nuts as a flavoring ingredient must be clearly labeled as such.

Many flavorants are natural in origin. Increasingly, however, synthetic flavorants are being discovered and used. For example, vanilla used to be extracted from a particular species of tropical orchid (*Vanilla* species) and was therefore a rather expensive flavorant. However, a synthetic vanilla flavorant can now be manufactured from wood-pulp lignins, and this has made this pleasant flavor much more readily available than it used to be.

### Nutrients

Many foods are fortified with minerals, vitamins, and other micronutrients. One such example is table salt, which has iodine (I) added (as potassium iodide, KI) to help prevent goiter in the general population. Goiter used to be relatively common but is now rare, in part because of the widespread use of iodized salt.

Other foods that are commonly fortified with minerals and vitamins include milk and margarine (with vitamins A and D), flour (with thiamine, riboflavin, niacin, iron), and some commercial breads and breakfast cereals (with various vitamins and minerals, particularly in some commercial cereal preparations). Micronutrient additives in these and other commercial foods are carefully formulated to help contribute to a balanced diet in their consumers. Nevertheless, some people believe that it is somehow unnatural and unhealthy to consume foods that have been adulterated in this manner, and they prefer to eat “natural” foods that do not have any vitamins or minerals added to them.

### Preservatives

Preservatives are substances added to foods to prevent spoilage caused by bacteria, fungi, yeasts, insects, or other biological agents. Spoilage can lead to a decrease in the nutritional quality of foods, to the growth of food-poisoning microorganisms such as the botulism bacterium, or to the production of deadly chemicals, such as aflatoxin, that can be produced in stored grains and seeds (such as peanuts) by species of fungi.

Salt has long been used to preserve meat and fish, either added directly to the surface or by immersing the food in a briny solution. Nitrates and nitrites (such as sodium nitrate  $\text{NaNO}_3$ , or saltpetre) are also used to preserve meats, especially cured foods, such as sausages, salamis, and hams. These chemicals are especially useful in inhibiting the growth of *Clostridium botulinum*, the bacterium that causes deadly botulism. Vinegar and wood smoke are used for similar purposes. Sulfur dioxide ( $\text{SO}_2$ ), sodium sulfite ( $\text{Na}_2\text{SO}_3$ ), and benzoic acid ( $\text{C}_7\text{H}_6\text{O}_2$ ) are often used as preservatives in fruit products, such beverages as wine and beer, and in ketchup, pickles, and spice preparations.

Anti-oxidants are chemicals added to certain foods to prevent a deterioration in their quality or flavor, occurring due to the exposure of fats and oils to atmospheric oxygen. Examples of commonly used antioxidants are ascorbic acid (or vitamin C), butylated hydroxyanisole (or BHA), butylated hydroxytoluene (or BHT), gallates, and ethoxyquin.

### Stabilizers and emulsifiers

Stabilizers and emulsifiers are added to prepared foods to maintain suspensions of fats or oils in water matrices (or vice versa), or to prevent the caking of ingredients during storage or preparation. One example of an emulsifying additive is glyceryl monostearate ( $\text{C}_{21}\text{H}_{42}\text{O}_4$ ), often added to stored starch products to maintain their texture. Alginates, which are substances found in the cell walls of algae, are compounds added to commercial ice cream, salad dressing, and other foods to stabilize emulsions of oil- or fat-in-water during storage.

### Colorants

Some prepared foods have colors added to improve their aesthetic qualities and thereby to make them more attractive to consumers. This practice is especially common in the preparation of confectionaries such as candies, chocolate bars, ice creams, and similar products, and in fancy cakes and pastries. Similarly, products such as ketchup and strawberry preserves have red dyes added to enhance their color, relishes and tinned peas have green colors added, and dark breads may contain brown colorants. Most margarines have yellow colors added, to make them appear more similar to butter. Artificial drinks and drink-mixes contain food colorants appropriate to their flavor—cherry and raspberry contain red dyes, and so forth. There are nine certified color additives approved by the FDA. Color additives produced from natural sources are exempt from FDA testing.

Various chemicals are used as food colorants, some of them being extracted from plants (e.g., yellow and orange carotenes), whereas many others are synthetic chemicals derived from coal tars and other organic substances. The acute toxicity (i.e., short-term poisoning) and chronic toxicity (i.e., longer-term damage associated with diseases, cancers, and developmental abnormalities) of these colorants are stringently tested on animals in the laboratory, and the substances must be demonstrated to be safe before they are allowed to be used as food additives. Still, some people object to having these chemicals in their food, and choose to consume products that are not adulterated with colorants.

### Residues of pesticides, antibiotics, and growth hormones

Insecticides, fungicides, herbicides, and other pesticides are routinely used in modern, industrial agriculture. Some of these chemicals are persistent, because they do not quickly break down in the environment to simpler substances, and/or they do not readily wash off produce. The chemicals in such cases are called residues, and it is not unusual for them to be present on or in foodstuffs in low concentrations. The permissible residue levels allowed in foodstuffs intended for human consumption are closely regulated by government. However, not all foods can be properly inspected, so it is common for people to be routinely exposed to small concentrations of these chemicals in their diet.

In addition, most animals cultivated in intensive agricultural systems, such as feedlots and factory farms, are routinely treated with antibiotics in their feed. This preemptive treatment is done to prevent outbreaks of communicable diseases under densely crowded conditions. Antibiotic use is especially common during the raising of chickens, turkeys, pigs, and cows. Small residues of these chemicals remain in the meat, eggs, milk, or other products of these animals, and are ingested by human consumers. Also, growth hormones are given to beef and dairy cows to increase their productivity. Small residues of these chemicals also occur in products eaten by consumers.

Strictly speaking, residues of pesticides, antibiotics, and growth hormones are not additives because they are not added directly to foodstuffs. Nevertheless, these chemicals are present in foods eaten by people, and many consumers find this to be objectionable. Foods grown under organic conditions are cultivated without the use of synthetic pesticides, antibiotics, or growth hormones, and many people prefer to eat these foods

instead of the much more abundantly available foodstuffs that are typically sold in commercial outlets. (Note that the term organic foods is somewhat of a misnomer, because all foods are organic in nature. The phrase organic in this sense is used to refer to foods that do not contain additives and/or residues, etc.)

### Irradiation of food

Irradiation is a new technology that can be used to prevent spoilage of foods by sterilizing most or all of the microorganisms and insects that they may contain. This process utilizes gamma radiation, and it is not known to cause any chemical or physical changes in foodstuffs, other than the intended benefit of killing organisms that can cause spoilage. Although this process displaces some of the uses of preservative chemicals as food additives, irradiation itself is somewhat controversial. Even though there is no scientific evidence that food irradiation poses tangible risks to consumers, some people object to the use of this technology and prefer not to consume foodstuffs processed in this manner.

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Bill Freedman

## Food and Drug Administration

Founded in 1927, the Food and Drug Administration (FDA) is an agency of the United States Department of Health and Human Services. One of the nation’s oldest consumer protection agencies, the FDA is charged with enforcing the Federal Food, Drug, and Cosmetics Act and other related public health laws. The agency assesses risks to the public posed by foods, drugs, and cosmetics, as well as medical devices, blood, and medications, such as insulin, which are made from living organisms. It also tests food samples for contaminants, sets labeling standards, and monitors the public health effects of drugs given to animals raised for food.

To carry out its mandate of consumer protection, the FDA employs more than 9,300 people who collect domestic and imported product samples for examination by FDA scientists. The FDA has the power to remove from the market those foods, drugs, chemicals, or medical devices it finds unsafe. The FDA often seeks voluntary recall of the product by manufacturers, but the agency can also stop sales and destroy products through court action. About 3,000 products a year are found to be unfit for consumers and are withdrawn from the marketplace based on FDA action. Also, about 30,000 import shipments each year are detained at the port of entry on FDA orders.

FDA scientists analyze samples of products to detect contamination, or review test results submitted by companies seeking agency approval for drugs, vaccines, food additives, dyes, and medical devices. The FDA also operates the National Center for Toxicological Research at Jefferson, Arkansas, which conducts research to investigate the biological effects of widely used chemicals. The FDA also has centers that test medical devices, radiation-emitting products,

and radioactive drugs. The Bureau of Radiological Health, now the Center for Devices and Radiological Health, was formed in 1971 to protect against unnecessary human exposure to radiation from electronic products such as microwave ovens.

The FDA is one of several federal organizations that oversees the safety of biotechnology, such as the industrial use of microorganisms to processes waste and water products.

In 1996 when the FDA declared that cigarettes and smokeless tobacco are nicotine-delivery devices, it took responsibility for regulating those products under the authority of the Federal Food, Drug, and Cosmetics Act. With regard to these products, the FDA has issued federal mandates concerning sales to minors, sales from vending machines, and advertising campaigns. In 2000, however, in *FDA v. Brown & Williamson Tobacco Corp.*, the Supreme Court of the United States ruled that the FDA did not have the authority to regulate tobacco. The Court noted that Congress had not explicitly granted the FDA that authority under the Food, Drug, and Cosmetics Act. Furthermore, subsequent tobacco legislation enacted by Congress indicated that they did not intend for the FDA to have the power to regulate tobacco. In 2009, however, Congress passed the Family Smoking Prevention and Tobacco Control Act, which explicitly granted the FDA the power to regulate the tobacco industry.

In 2004 the FDA allowed the production and marketing of the first living organism for use as a prescription medical device. The FDA approved medical maggots for “debriding non-healing necrotic skin and soft tissue wounds.” Also in 2004 the FDA approved the use of a species of leaches to drain pooled blood around regrafted amputated appendages.

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**ORGANIZATIONS**

U.S. Food and Drug Administration, 5600 Fishers Lane,  
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Linda Rehkopf

## Food chain/web

Food chains and food webs are methods of describing an ecosystem by explaining how energy flows from one species to another.

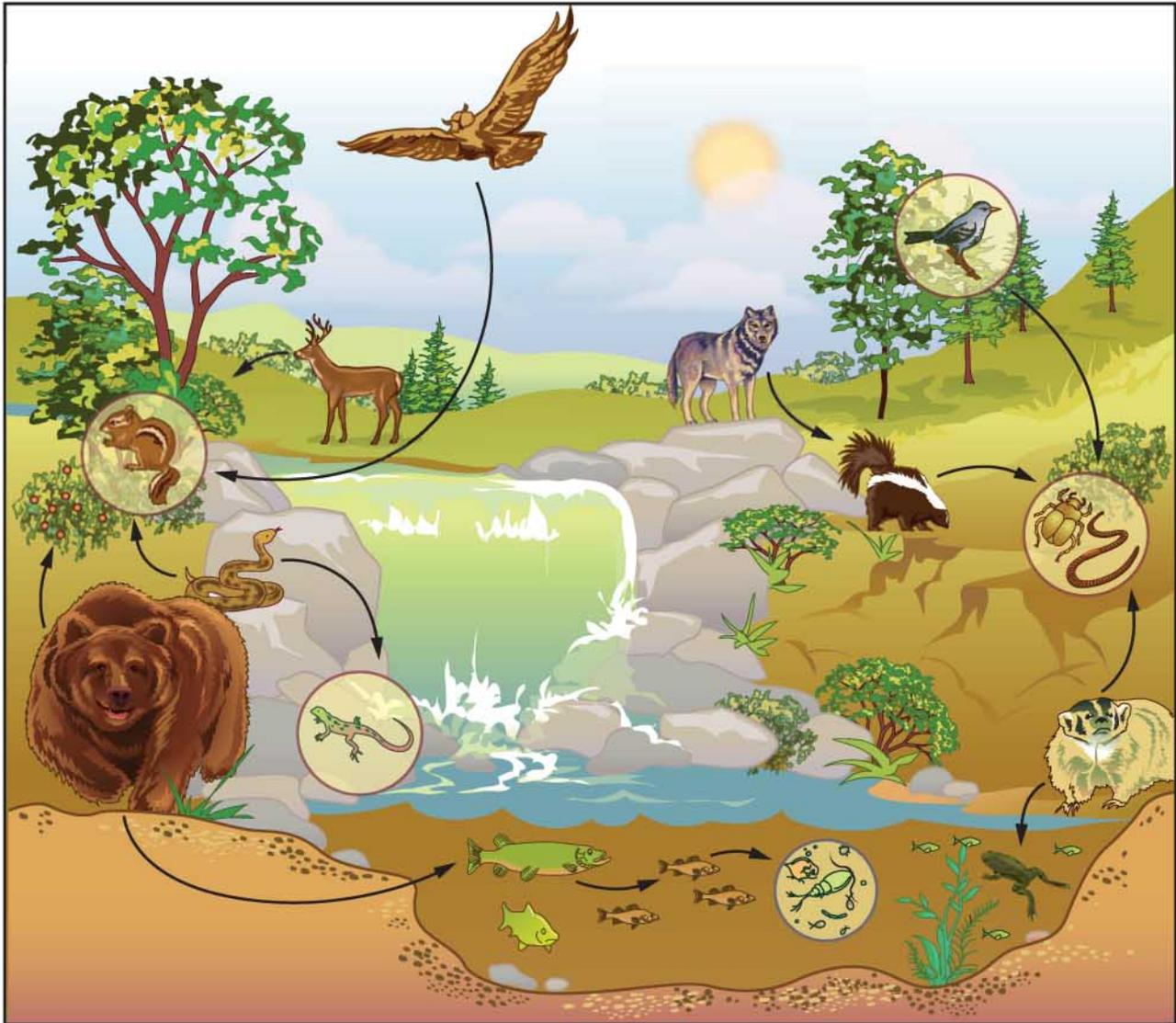
First proposed by the English zoologist Charles Elton in 1927, food chains and food webs describe the successive transfer of energy from plants to the animals that eat them, and to the animals that eat those animals, and so on. A food chain is a model for this process that assumes that the transfer of energy within the community is relatively simple. A food chain in a grassland ecosystem, for example, might be: Insects eat grass, and mice eat insects, and fox eat mice. But such an outline is not exactly accurate, and many more species of plants and animals are actually involved in the transfer of energy. Rodents often feed on both plants and insects, and some animals, such as predatory birds, feed on several kinds of rodents. This more complex description of the way energy flows through an ecosystem is called a food web. Food webs can be thought of as interconnected or intersecting food chains.

The components of food chains and food webs are producers, consumers, and decomposers. Plants and chemosynthetic bacteria are producers. They are also called primary producers or autotrophs (“self-nourishing”) because they produce organic compounds from inorganic chemicals and outside sources of energy. The groups that eat these plants are called primary consumers or herbivores. They have adaptations that allow them to live on a purely vegetative diet which is high in cellulose. They usually have teeth modified for chewing and grinding; ruminants such as deer and cattle have well-developed stomachs, and lagomorphs such as rabbits have caeca which aid their digestion. Animals that eat herbivores are called secondary consumers or primary carnivores, and predators that eat these animals are called tertiary consumers. Decomposers are the final link in the energy flow. They feed on dead organic matter, releasing nutrients back into the ecosystem. Animals that eat dead plant and animal matter are called scavengers, and plants that do the same are known as saprophytes.

The components of food chains and food webs exist at different stages in the transfer of energy through an ecosystem. The position of every group of organisms obtaining their food in the same manner is known as a trophic level. The term comes from a Greek word meaning “nursing,” and the implication is that each stage nourishes the next. The first trophic level consists of autotrophs, the second herbivores, the third primary carnivores. At the final trophic level exists what is often called the top predator. Organisms in the same trophic level are not necessarily connected taxonomically; they are connected ecologically by the fact they obtain their energy in the same way. Their trophic level is determined by how many steps it is above the primary producer level. Most organisms occupy only one trophic level; however some may occupy two. Insectivorous plants like the venus flytrap are both primary producers and carnivores. Horseflies are another example: the females bite and draw blood, whereas the males are strictly herbivores.

In 1942 Raymond Lindeman published a paper titled “The Trophic-Dynamic Aspect of Ecology.” Although a young man and only recently graduated from Yale University, he revolutionized ecological thinking by describing ecosystems in the terminology of energy transformation. He used data from his studies of Cedar Bog Lake in Minnesota to construct the first energy budget for an entire ecosystem. He measured harvestable net production at three trophic levels, primary producer, herbivore, and carnivore. He did this by measuring gross production minus growth, reproduction, respiration, and excretion. He was able to calculate the assimilation efficiency at each trophic level and the efficiency of energy transfers between each level. Lindeman’s calculations are still widely regarded today, and his conclusions are usually generalized by saying that the ecological efficiency of energy transfers between trophic levels averages about 10 percent.

Lindeman’s calculations and some basic laws about physics reveal important truths about food chains, food webs, and ecosystems in general. The First Law of Thermodynamics states that energy cannot be created or destroyed; energy input must equal energy output. The Second Law of Thermodynamics states that all physical processes proceed in such a way that the availability of the energy involved decreases. In other words, no transfer of energy is completely efficient. Using the generalized 10 percent figure from Lindeman’s study, a hypothetical ecosystem with 1,000 kcal of energy available (net production) at the primary-producer level would mean that only 100 kcal would be available to the herbivores at the second trophic level, 10 kcal to the primary carnivores at the third level, and 1 kcal to the secondary carnivores at the



In an ecosystem, food chains become interconnected to form food webs. (Reproduced by permission of Gale, a part of Cengage Learning)

fourth level. Thus, no matter how much energy is assimilated by the autotrophs at the first level of an ecosystem, the eventual number of trophic levels is limited by the laws that govern the transfer of energy. The number of links in most natural food chains is four.

The relationships between trophic levels has sometimes been compared to a pyramid, with a broad base that narrows to an apex. Trophic levels represent successively narrowing sections of the pyramid. These pyramids can be described in terms of the number of organisms at each trophic level. This was first proposed by Charles Elton, who observed that the number of plants usually exceeded the number of herbivores, which in turn exceeded the number of primary carnivores, and so on. Pyramids

of number can be inverted, particularly at the base; an example of this would be the thousands of insects which might feed on a single tree. The pyramid-like relationship between trophic levels can also be expressed in terms of the accumulated weight of all living matter, known as biomass. Although upper-level consumers tend to be large, the population of organisms at lower trophic levels are usually much higher, resulting in a larger combined biomass. Pyramids of biomass are not normally inverted, though they can be under certain conditions. In aquatic ecosystems the biomass of the primary producers may be less than that of the primary consumers because of the rate at which they are being consumed; phytoplankton can be eaten so

rapidly that the biomass of zooplankton and other herbivores are greater at any particular time. The relationship between trophic levels can also be described in terms of energy, but pyramids of energy cannot be inverted. There will always be more energy at the bottom than there is at the top.

Humans are the top consumer in many ecosystems, and they exert strong and sometimes damaging pressures on food chains. For example, overfishing or overhunting can cause a large drop in the number of animals, resulting in changes in the food-web interrelationships. On the other hand, overprotection of some animals like deer or moose can be just as damaging. Another harmful influence is that of biomagnification. Toxic chemicals such as mercury and DDT released into the environment tend to become more concentrated as they travel up the food chain. Some ecologists have proposed that the stability of ecosystems is associated with the complexity of the internal structure of the food web and that ecosystems with a greater number of interconnections are more stable. Although more studies must be done to test this hypothesis, we do know that food chains in constant environments tend to have a greater number of species and more trophic links, whereas food chains in unstable environments have fewer species and trophic links.

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## Food irradiation

The treatment of food with ionizing radiation has been in practice for nearly a century since the first irradiation process patents were filed in 1905. Regular use of the technology in food processing started in 1963 when the U.S. Food and Drug Administration (FDA) approved the sale of irradiated wheat and

wheat flour. Today irradiation treatment is used on a wide variety of food products and is regulated in the United States by the FDA under a Department of Health and Human Services regulation.

Irradiation of food has three main applications: extension of shelf life, elimination of insects, and the destruction of bacteria and other pathogens that cause foodborne illness. This final goal may have the most far-reaching implications for Americans; the U.S. Centers for Disease Control (CDC) estimate that seventy-six million Americans get sick, and five thousand die each year from illnesses caused by foodborne microorganisms, such as *E. coli*, *Salmonella*, the botulism toxin, and other pathogens responsible for food poisoning.

Irradiation technology involves exposing food to ionizing radiation. The radiation is generated from gamma rays emitted by cobalt-60 ( $^{60}\text{Co}$ ) or cesium-137 ( $^{137}\text{Cs}$ ), or from x rays or electron beams. The amount of radiation absorbed during irradiation processing is measured in units termed radiant energy absorbed (RADs). One hundred RADs is equivalent to one Gray (Gy). Depending on the food product being irradiated, treatment can range from 0.05 to 30 kGy. A dosimeter, or film badge, verifies the kGy dose. The ionizing radiation displaces electrons in the food, which slows cell division and kills bacteria and pests.

The irradiation process itself is relatively simple. Food is packed in totes or containers, which are typically placed on a conveyor belt. Beef and other foods that require refrigeration are loaded into insulated containers prior to treatment. The belt transports the food bins through a lead-lined irradiation cell or chamber, where they are exposed to the ionizing radiation that kills the microorganisms. Several trips through the chamber may be required for full irradiation. The length of the treatment depends upon the food being processed and the technology used, but each rotation takes only a few minutes.

The FDA has approved the use of irradiation for wheat and wheat powder, spices, enzyme preparations, vegetables, pork, fruits, poultry, beef, lamb, and goat meat. In 2000 the FDA also approved the use of irradiation to control salmonella in fresh eggs.

Labeling guidelines introduced by the Codex Alimentarius Commission, an international food standards organization sponsored jointly by the United Nations Food and Agricultural Organization (FAO) and the World Health Organization (WHO), requires that all irradiated food products and ingredients be clearly labeled as such for consumers. Codex also created the radura, a voluntary international symbol

that represents irradiation. In the United States the food irradiation process is regulated jointly by FDA and the U.S. Department of Agriculture (USDA). Facilities using radioactive sources such as cobalt-60 are also regulated by the Nuclear Regulatory Commission (NRC). The FDA regulates irradiation sources, levels, food types and packaging, as well as required recordkeeping and labeling. Records must be maintained and made available to FDA for one year beyond the shelf-life of the irradiated food to a maximum of three years. These records must describe all aspects of the treatment and foods that have been irradiated must be denoted with the radura symbol and by the statement “treated with radiation” or “treated by irradiation.” As of 2002 food irradiation was allowed in some fifty countries and was endorsed by the WHO and many other organizations.

The Farm Security and Rural Investment Act of 2002 (the Farm Bill) passed in May 2002 may relax the food irradiation standards. The Farm Bill calls for the Secretary of Health and Human Services and the FDA to implement a new regulatory program for irradiated foods. The program will allow the food industry to instead label irradiated food as pasteurized as long as they meet appropriate food safety standards. These new guidelines have not yet been implemented. Some people argue that labeling irradiated food as pasteurized is misleading and assert that research has not shown the long-term effects of irradiated foods on health.

Food that has been treated with ionizing energy typically looks and tastes the same as non-irradiated food. Just like a suitcase going through an airport x-ray machine, irradiated food does not come into direct contact with a radiation source and is not radioactive. However, depending on the strength and duration of the irradiation process, some slight changes in appearance and taste have been reported in some foods after treatment. Some of the flavor changes may be attributed to the generation of substances known as radiolytic products in irradiated foods.

When food products are irradiated, the energy displaces electrons in the food and forms compounds termed free radicals. The free radicals react with other molecules to form new stable compounds termed radiolytic products. Benzene ( $C_6H_6$ ), formaldehyde ( $CH_2O$ ), and hydrogen peroxide ( $H_2O_2$ ) are just a few of the radiolytic products that may form during the irradiation process. These substances are only present in minute amounts, however, and the FDA reports that 90 percent of all radiolytic products from irradiation are also found naturally in food.

The chemical change that creates radiolytic products also occurs in other food processing methods, such as canning or cooking. However, about 10 percent of the radiolytic products found in irradiated food are unique to the irradiation process, and little is known about the effects that they may have on human health. It should be noted, however, that the WHO, the American Medical Association (AMA), the American Dietetic Association (ADA), and a host of other professional healthcare organizations endorse the use of irradiation as a food safety measure.

Treating fruit and vegetables with irradiation can also eliminate the need for chemical fumigation after harvesting. Produce shelf life is extended by the reduction and elimination of organisms that cause spoilage. It also slows cell division, thus delaying the ripening process, and in some types of produce irradiation extends the shelf life for up to a week. Advocates of irradiation claim that it is a safe alternative to the use of fumigants, several of which have been banned in the United States.

Nevertheless, irradiation removes some of the nutrients from foods, particularly vitamins A, C, E, and the B-complex vitamins. Whether the extent of this nutrient loss is significant enough to be harmful is debatable. Advocates of irradiation say the loss is insignificant, and standard methods of cooking can destroy these same vitamins. However, research suggests that cooking an irradiated food may further increase the loss of nutrients.

Although research studies have shown that consuming irradiated foods does not cause carcinogenic, mutagenic, or toxic effects, critics of irradiation question the long-term safety of consumption of irradiated food and their associated radiolytic products. The changes in texture, flavor, and odor as well as the reduction in nutritional content in irradiated foods are also issues that critics cite in opposing irradiation. These critics charge that the technology does nothing to address the unsanitary food processing practices and inadequate inspection programs that breed foodborne pathogens. Additionally, a potential type of carcinogen termed 2-alkylcyclobutanone (2-ACB) has been identified in foods as a product of irradiation and is being studied with regard to health effects.

Even if irradiation is 100 percent safe and beneficial, there are numerous environmental concerns. Many opponents of irradiation cite the proliferation of radioactive material and the environmental hazards. The mining and on-site processing of radioactive materials are devastating to regional ecosystems. There are also safety hazards associated with the

transportation of radioactive material, production of isotopes, and disposal.

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### ORGANIZATIONS

The Food Irradiation Website, <http://www.foodirradiation.com>

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Public Citizen, Critical Mass Energy & Environmental Program, 1600 20th St. NW, Washington, DC, USA, 20009, (202) 588-1000, [CMEP@citizen.org](mailto:CMEP@citizen.org), <http://www.citizen.org/cmep/foodsafety/food;usirrad>

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## Food policy

Through a variety of agricultural, economic, and regulatory programs that support or direct policies related to the production and distribution of food, the United States government has a large influence on how agricultural business is conducted. The government's major impact on agriculture is the setting of prices and mandates regarding how land can be used by farmers that participate in government programs. These policies can also have a large impact on the adoption or use of alternative practices and technologies that may be more efficient and sustainable in the world marketplace.

Farm commodity programs have had a large influence in the past on the kinds and amounts of crops grown as well as on the choice of management practices used to grow them. Prices under government commodity programs have often been above world market prices, which meant that many farmers felt compelled to preserve or build their farm commodity program base acres, because acreage determines program eligibility and future income. These programs strongly influenced land-use decisions on about two-thirds of the harvested cropland in the United States.

Price and income support programs for major commodities also influence growers not in the programs. For example, pork producers are not a part of a government program, and in the past they have paid higher feed prices because of high price supports on production of feed grains. At other times, particularly after the Food Security Act of 1985, they benefited from policies resulting in lower food costs. So as the government changes policy in one area, there can be widespread indirect impacts in other areas. For example, the federal dairy termination program, which ran from 1985–1987, was designed to reduce overproduction of milk. Those farmers who sold their milk cows and decided to produce hay for local cash markets caused a steep decline in the prices received by other established hay producers. Farm bills such as the Food Security Act of 1985 are the federal government's main vehicle for legislating farm policy, and are usually amended every few years, or new versions are passed. The 2008 farm bill, known as the Food, Conservation, and Energy Act of 2008 continues subsidies and provides funds for research in ethanol fuels.

Federal policy evolved as a patchwork of individual programs, each created to address individual problems. There was not a coherent strategy to direct programs toward a common set of goals. Many programs such as soil conservation and export programs have had conflicting objectives, but attempts have now been made in the most current farm legislation to address some of these problems.

Government food policy has produced a wide variety of results. The policy has not only affected commodity prices and the level of output, but it has also shaped technological change, encouraged uneconomical capital investments in machinery and facilities, inflated the value of land, subsidized crop production practices that have led to resource degradation (such as soil erosion and surface and groundwater pollution), expanded the interstate highway system, financed irrigation projects, and promoted farm commodity exports. Together with other economic

forces, government policy has had a far-reaching structural influence on agriculture, much of it unintended and unanticipated.

Federal commodity programs were put into place beginning in the 1930s, primarily with the Agriculture Adjustment Act of 1938. The purpose of these programs born out of the Depression was primarily to protect crop prices and farmer income, which has been done by a number of programs over the years. A variety of methods have been used including setting prices, making direct payments to farmers, and subsidizing exports. However, by 2000, an increasing number of people felt that these programs impeded movement toward alternative types of agriculture, to the detriment of family farms and society in general.

Two components in particular were highlighted as being problems: base acre requirements and cross-compliance. All crop price and income support programs relied on the concept of an acreage base planted with a given commodity that would produce a predictable yield. Most of this acreage was planted to maximize benefits and was based on a five-year average. Farmers knew that if they reduced their acres for a given crop, they would not only lose the current year's benefits, but would also lose future benefits.

Cross-compliance was instituted in the Food Security Act of 1985. It was designed to control government payments and production by attaching financial penalties to the expansion of program crop base acres. It served as an effective financial barrier to diversification of crops by stipulating that to receive any benefits from an established crop acreage base, farmers must not exceed their acreage base for any other program crop. This had a profound impact on farmers and crop growers because about 70 percent of the United States' cropland acres were enrolled in the programs.

In addition to citing these problem areas, critics of food policy programs argued that many farmers faced economic penalties for adopting beneficial practices, such as crop rotation or strip cropping, practices that reduce soil erosion and improve environmental quality. The economic incentives built into commodity programs, for example, encouraged heavier use of fertilizer, pesticides, and irrigation. These programs also encouraged surplus production, subsidized inefficient use of inputs, and they resulted in increased government expenditures. Critics argued that the rules associated with these programs discouraged farmers from pursuing alternative practices or crops, or technologies that might have proved more effective

in the long term or that were more environmentally friendly.

Critics also contend that much of the research conducted over the past forty years has responded to the needs of farmers operating under a set of economic and policy incentives that encouraged high yields without regard to the long-term environmental impacts. During the late 1980s and early 1990s, several U.S. Department of Agriculture research and education programs were instituted to determine whether current levels of production can be maintained with reduced levels of fertilizers and pesticides, to examine more intensive management practices, to increase understanding of biological principles, and to improve profitability per unit of production with less government support. As the impacts of the alternative production systems on the environment continue to be evaluated, it will be important to have policies in place that will allow the farmer to easily adopt those practices that increase efficiency and reduce impacts. In a farm bill passed in 1996 there are provisions that change these commodity programs. Labeled the "right to farm provisions," these allow farmers to make decisions on what they grow and establish a phased seven-year reduction in price supports.

Food quality and safety are major concerns addressed as a part of federal policy. Programs addressing these concerns are primarily designed to prevent health risks and acute illnesses from chemical and microbial contaminants in food. Supporters say that this has provided the country with the safest food supply in the world. However, critics contend that a number of regulations do not enhance quality or safety and put farmers that use or would adopt alternative agricultural practices at a disadvantage. Several examples can be cited. Critics of government food policy point out that until recently, meat grading standards awarded producers of fatty beef which has been linked to the increased likelihood of heart disease.

The use of pesticides provides another example. The Environmental Protection Agency establishes pesticide residual tolerance levels in food which are monitored for compliance. For some types of risk, cancer in particular, there is a great deal of uncertainty, and critics point out that cosmetic standards that increase prices for fruits and vegetables may encourage higher risks of disease among consumers. Also, certain poultry slaughter and handling practices can result in microbiological contamination. Of particular concern is *Salmonella* food poisoning which has become widespread. After a 2010 *Salmonella*

outbreak that resulted in more than 2,400 *Salmonella*-related illnesses in the United States was linked to contaminated eggs, new policies were formulated for the poultry industry regarding egg sorting and poultry food.

Government food policy heavily influences on-farm decision making. In some cases one part of the policy has negative or unintended consequences for another policy or segment of farmers. Look to the future, the struggle will be to provide a coherent, coordinated policy. The recent changes in policy will need to be evaluated from the standpoint of sustainability and environmental impacts.

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James L. Anderson

## Food waste

Waste food from residences, grocery stores, and food services accounts for nearly 7 percent of the municipal solid waste stream. The per-capita amount of food waste in municipal solid waste has been declining since 1960 due to increased use of garbage disposals and increased consumption of processed foods. Food waste ground in garbage disposals goes into sewer systems and thus ends up in wastewater. Waste generated by the food processing industry is considered to be industrial waste, and is not included in municipal solid waste estimates.

Waste from the food processing industry includes: vegetables and fruits unsuitable for canning or freezing; vegetable, fruit, and meat trimmings; and pomace from juice manufacturing. Vegetable and fruit processing waste is sometimes used as animal feed, and waste from meat and seafood processing can be composted. Liquid waste from juice manufacturing can be applied to cropland as a soil amendment. Much of the waste generated by all types of food processing is wastewater due to such processes as washing, peeling, blanching, and cooling. Some food industries recycle wastewaters back into their processes, but there is potential for more of this wastewater to be reused.

Grocery stores generate food waste in the form of lettuce trimmings, excess foliage, unmarketable produce, and meat trimmings. Waste from grocery stores located in rural areas is often used as hog or cattle feed, whereas grocery waste in urban areas is usually ground in garbage disposals. There is potential for more urban grocery store waste to be either used on farms or composted, but lack of storage space, odor, and pest problems prevent most of this waste from being recycled.

Restaurants and institutional cafeterias are the major sources of food service industry waste. In addition to food preparation wastes, they also generate large amounts of cooking oil and grease waste, post-consumer waste (uneaten food), and surplus waste. In some areas small amounts of surplus waste is utilized by feeding programs, but most waste generated by food services goes to landfills or into garbage disposals.

Most food waste is generated by sources other than households. However, a greater percentage of household food waste is disposed of because there is a higher rate of recycling of industrial and commercial food waste. Only a very small segment of households compost or otherwise recycle their food wastes.

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Teresa C. Donkin

## Food-borne diseases

Food-borne diseases are illnesses caused when people consume contaminated food or beverages. Contamination with disease-causing microbes called pathogens is usually due to improper food handling or storage. Other causes of food-borne diseases are toxic chemicals or other harmful substances in food and beverages. More than 250 food-borne illnesses have been described, according to the United States Centers for Disease Control and Prevention (CDC). The CDC estimates that food-borne pathogens cause approximately 76 million illnesses, 5,000 deaths, and 325,000 hospitalizations in the United States each year.

Most food-borne illnesses are infections caused by bacteria, viruses, and parasites such as *Cryptosporidium*. Harmful toxins cause food poisonings. Because there are so many different types of food-borne illnesses, symptoms will vary. However, some early symptoms are similar because the microbe or toxin travels through the gastrointestinal tract. The initial symptoms of food-borne disease are usually nausea, vomiting, abdominal cramps, and diarrhea.

According to CDC the most common food-borne viruses are caused by three bacteria and a group of viruses. *Campylobacter* are bacteria that live in the intestines of healthy birds. It is also found in raw poultry meat. An infection is caused by eating undercooked chicken or food contaminated by juices from raw chicken. The bacterial pathogen causes fever, diarrhea, and abdominal cramps. *Campylobacter* is also among the primary causes of bacteria-related diarrhea illness throughout the world.

*Salmonella* bacteria are prevalent in the intestines of birds, mammals, and reptiles. The bacteria spread to humans through various foods. *Salmonella* causes the illness *salmonellosis*. Symptoms include fever, diarrhea, and abdominal cramps. This illness can result in a life-threatening infection for a person who is in poor health or has a weakened immune system.

*E. coli* O157:H7 is a bacterial pathogen that has a reservoir in cattle and similar animals. *E. coli* sometimes causes a serious illness. People become ill after



**Handful of monosodium glutamate (MSG) crystalline powder.** A common additive in food, it has been implicated in a number of conditions and allergic reactions. (Cordelia Molloy/Photo Researchers, Inc.)

eating food or drinking water that was contaminated with microscopic amounts of cow feces, according to CDC. A person often experiences severe and bloody diarrhea and painful abdominal cramps. Hemolytic uremic syndrome (HUS) occurs in 3–5 percent of *E. coli* cases. This complication may occur several weeks after the first symptoms. HUS symptoms include temporary anemia, profuse bleeding, and kidney failure.

Food-borne illnesses are also caused by *Calicivirus*, which is also known as the Norwalk-like virus. This group of viruses is thought to spread from one person to another. An infected health service worker preparing a salad or sandwich could contaminate the food. According to CDC, infected fishermen contaminated oysters that they handled. These viruses are characterized by severe gastrointestinal illness. There is more vomiting than diarrhea, and the person usually recovers in two days.

The types and causes of food-borne illnesses have changed through the years. The pasteurization of

milk, improved water quality, and safer canning techniques led to a reduction in the number of cases of common food-borne illness such as typhoid fever, tuberculosis, and cholera. Causes of contemporary food-borne illnesses range from parasites living in imported food to food-processing techniques.

Food may be contaminated during processing. For example, the meat contained in one hamburger may come from hundreds of animals, according to CDC. In 2009 more than 500,000 pounds of ground beef contaminated with *E. coli* was recalled from market shelves after a multi-state outbreak of illness. Nineteen people were hospitalized with illness due to *E. coli* O157:H7. Five of these persons developed hemolytic uremic syndrome, and two persons died.

Technology in the form of food irradiation may eliminate the pathogens that cause food-borne disease. Advocates say that radiating food with gamma rays is effective and can be done when the food is packaged. Opponents say the process is dangerous and could produce the free radicals that cause cancer.

CDC ranks “raw foods of animal origin” as the foods most likely to be contaminated. This category includes meat, poultry, raw eggs, raw shellfish, and unpasteurized milk. Furthermore, raw fruit and vegetables could also pose a health risk. Vegetables fertilized by manure can also be contaminated by the fertilizer. CDC said that some outbreaks of food-borne illness were traced to unsanitary processing procedure. Water quality was crucial when washing vegetables, as was chilling the produce after it was harvested.

CDC advises consumers to thoroughly cook meat, poultry, and eggs. Produce should be washed. Meat, dairy products, and leftovers should be chilled promptly. CDC is part of the United States Public Health Service. It researches and monitors health issues. Federal regulation of food safety is the responsibility of agencies such as the Food and Drug Administration, the United States Department of Agriculture, and the National Marine Fisheries Service.

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## ORGANIZATIONS

- Centers for Disease Control and Prevention, 1600 Clifton Road, Atlanta, GA, USA, 30333, (404) 639-3311, (800) 311-3435, <http://www.cdc.gov>

Liz Swain

## Foot and mouth disease

Foot and mouth disease (FMD), also called hoof and mouth disease, is a highly contagious and economically devastating viral disease of cattle, swine, and other cloven-hoofed (split-toed) ruminants, including sheep, goats, and deer. The disease is highly contagious—nearly 100 percent of exposed animals become infected—and it spreads rapidly through susceptible populations. Although there is no cure for FMD, it is seldom fatal, but it can kill young animals.

The initial symptoms of the disease include fever and blister-like lesions (vesicles). The vesicles rupture into erosions on the tongue, in the mouth, on the teats, and between the hooves. Vesicles that rupture discharge clear or cloudy fluid and leave raw, eroded areas with ragged fragments of loose tissue. Erosions in the mouth result in excessive production of sticky, foamy, stringy saliva, which is a characteristic of FMD. Another characteristic symptom is lameness

with reluctance to move. Other possible symptoms and effects of FMD include elevated temperatures in the early stages of the disease for two to three days, spontaneous abortion of fetuses, low conception rates, rapid weight loss, and drop in milk production. FMD lasts for two to three weeks, with most animals recovering within six months. However, it can leave some animals debilitated, thus causing severe losses in the production of meat and milk. Even cows that have recovered seldom produce milk at their original rates. Animals grown for meat do not usually regain lost weight for many months. FMD can also lead to myocarditis, which is an inflammation of the muscular walls of the heart, and death, especially in newborn animals. Infected animals can spread the disease throughout their lives, so the only way to stop an outbreak is to destroy the animals.

The virus that causes the disease survives in lymph nodes and bone marrow at neutral pH. There are at least seven types and many subtypes of the FMD virus. The virus persists in contaminated fodder and in the environment for up to one month, depending on the temperature and pH. FMD thrives in dark, damp places, such as barns, and can be destroyed with heat, sunlight, and disinfectants.

The disease is not likely to affect humans, either directly or indirectly through eating meat from an infected animal, but humans can spread the virus to animals. FMD can remain in human nasal passages for up to twenty-eight hours. FMD viruses can be spread by other animals and materials to susceptible animals. The viruses can also be carried for several miles on the wind if environmental conditions are appropriate for virus survival. Specifically, an outbreak can occur when:

- people wearing contaminated clothes or footwear or using contaminated equipment pass the virus to susceptible animals
- animals carrying the virus are introduced into susceptible herds
- contaminated facilities are used to hold susceptible animals
- contaminated vehicles are used to move susceptible animals
- raw or improperly cooked garbage containing infected meat or animal products is fed to susceptible animals
- susceptible animals are exposed to contaminated hay, feedstuffs, or hides
- susceptible animals drink contaminated water
- a susceptible cow is inseminated by semen from an infected bull

Widespread throughout the world, FMD has been identified in Africa, South America, the Middle East, Asia, and parts of Europe. North America, Central America, Australia, New Zealand, Chile, but some European countries are considered to be free of FMD. The United States has been free of FMD since 1929, when the last of nine outbreaks that occurred during the nineteenth and early twentieth centuries was eradicated.

In 2001 an FMD outbreak was confirmed in the United Kingdom, France, the Netherlands, the Republic of Ireland, Argentina, and Uruguay. Officials in the United Kingdom detected 2,030 cases of FMD, slaughtered almost four million animals, and took seven months to control the outbreak. The economic losses were estimated to be in the billions of pounds, and tourism in the affected countries was adversely affected. The outbreak was detected on February 20, 2001; no new cases were reported after September 30, 2001. On January 15, 2002, the British government declared the FMD outbreak to be over. The outbreak appeared to have started in a pig finishing unit in Northumberland, which was licensed to feed processed waste food. The disease appeared to have spread through two routes: through infected pigs who were sent to a slaughterhouse and through wind-borne spread to sheep on a nearby farm. These sheep entered the marketing chain and were sold through dealers in markets where they infected other sheep, people, and vehicles, spreading the FMD virus throughout England, Wales, and southern Scotland. As the outbreak continued, cases were detected in other European countries. Infections of foot-and-mouth disease were again found in the United Kingdom in 2007 in Surrey, where the strain seemed to be identical with that used at the nearby research facility.

The Animal and Plant Health Inspection Service (APHIS) of the United States Department of Agriculture (USDA) has developed a ongoing comprehensive prevention program to protect American agriculture from FMD. APHIS continuously monitors for FMD cases worldwide. When FMD outbreaks are identified, APHIS initiates regulations that prohibit importation of live ruminants and swine and many animal products from the affected countries. In the 2001 outbreak in some European Union member countries, APHIS temporarily restricted importation of live ruminants and swine and their products from all European Union member states. APHIS officials are on duty at all United States land and maritime ports-of-entry to ensure that passengers, luggage, cargo, and mail are checked for prohibited agricultural products or other materials that could carry FMD. The USDA

Beagle Brigade, dogs trained to sniff out prohibited meat products and other contraband items, are also on duty at airports to check incoming flights and passengers.

The cooperation of private citizens is a crucial component of the protection program. APHIS prevents travelers entering the United States from bringing any agricultural products that could spread FMD and other harmful agricultural pests and diseases. Therefore passengers must declare all food items and other materials of plant or animal origin that they are carrying. Prohibited agricultural products that are found are confiscated and destroyed. Passengers must also report any visits to farms or livestock facilities. Failure to declare any items may result in delays and fines up to \$1,000. Individuals traveling from countries that have been designated as FMD-affected must have shoes disinfected if they have visited farms, ranches, or other high risk areas, such as zoos, circuses, fairs, and other facilities and events where livestock and animals are exhibited.

APHIS recommends that travelers should shower and shampoo prior to and again after returning to the United States from an FMD-affected country. They should also launder or dry clean clothes before returning to the United States. Full-strength vinegar can be used by passengers to disinfect glasses, jewelry, watches, belts, hats, cell phones, hearing aids, camera bags, backpacks, and purses. If travelers had visited a farm or had any contact with livestock on their trip, they should avoid contact with livestock, zoo animals, or wildlife for five days after their return. Although dogs and cats cannot become infected with FMD, their feet, fur, and bedding should be cleaned of excessive dirt or mud. Pet bedding should not contain straw, hay, or other plant materials. The pet should be bathed as soon as it reaches its final destination and be kept away from all livestock for at least five days after entering the United States.

In the United States, animal producers and private veterinarians also monitor domestic livestock for symptoms of FMD. Their surveillance activities are supplemented with the work of 450 specially trained animal disease diagnosticians from federal, state, and military agencies. These diagnosticians are responsible for collecting and analyzing samples from animals suspected of FMD infection. If an outbreak were confirmed, APHIS would quickly try to identify infected and exposed animals, establish and maintain quarantines, destroy all infected and exposed animals using humane euthanization procedures as quickly as possible, and dispose of the

carcasses by an approved method such as incineration or burial. After cleaning and disinfecting of facilities where the infected animals were housed, the facility would be left vacant for several weeks. After this period, a few susceptible animals would be placed in the facility and observed for signs of FMD. A large area around the facility would be quarantined, where animal and human movement would be restricted or prohibited. In some cases all susceptible animals within a two-mile radius would be also euthanized and disposed of properly by incineration or burial. In addition, APHIS has developed plans to pay affected producers the fair market value of their animals.

APHIS would consider vaccinating animals against FMD to enhance other eradication activities as well as to prevent spread to disease-free areas. However, vaccinated animals may still become infected and serve as a reservoir for the disease, even though they do not develop the disease symptoms themselves. Also, for continued protective immunity, the vaccines must be given every few months. In late 2010 the APHIS announced plans to field test a FMD vaccination by inoculating 600 cattle. Vaccination is part of the overall strategy the USDA has developed to deal with an outbreak of the disease were it ever to reappear in the United States.

APHIS is working with the U.S. Armed Forces to ensure that military vehicles and equipment are cleaned and disinfected before returning to the United States.

Preventing FMD from infecting and becoming established in an FMD-free area requires constant vigilance and a well-developed, thorough plan to control and eradicate any cases that might occur.

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Judith L. Sims

## Forbes, Stephen A.

1844–1930

American entomologist and naturalist

Stephen Alfred Forbes, an entomologist and naturalist, was the son of Isaac Sawyer and Agnes (Van Hoesen) Forbes. Forbes was born at Silver Creek, Illinois. His father was a farmer, and died when Stephen was ten years old. An older brother, Henry, then twenty-one years old, had been independent since he was fourteen, working his way toward a college education, but on his father's death he abandoned his career, took the burden of his family on his shoulders, and supported and educated the children. He taught Stephen to read French, sent him to Beloit to prepare for college; and when the Civil War came, he sold the farm and gave the proceeds (after the mortgage was paid) to his mother and sister for their support. Both brothers then joined the 7th Illinois Cavalry, Henry having retained enough money to buy horses for both. Stephen, enlisting at seventeen, was rapidly promoted, and at twenty became a captain in the regiment of which his brother ultimately became colonel. In 1862, while carrying dispatches, he was captured and held in a Confederate prison for four months. After liberation and three months in the hospital recuperating, he rejoined his regiment and served until the end of the war. He had learned to read Italian and Spanish in addition to French before the war, and studied Greek while in prison.

He was a born naturalist. His farm life as a boy and his open-air life in the army intensified his interest in nature. After the close of the war, he began at once the study of medicine, entering the Rush Medical College where he nearly completed the course. His biographers have not as yet given the reason for the radical change in his plans which caused him to abandon medicine at this late stage in his education; but Forbes' son told one biographer that it was "because of a series of incidents having to do mainly with operations without the use of anesthetics which convinced him that he was not temperamentally adapted to medical practice."

Forbes' scientific interests, however, had been thoroughly aroused, and for several years while he taught school in southern Illinois, he carried on studies in natural history. In 1872, through the interest and influence of Dr. George Vasey, the well-known botanist, he was made curator of the Museum of State Natural History at Normal, Illinois, and three years later was made instructor in zoology at the normal school. In 1877 the Illinois State Museum was established at Springfield; and the museum at Normal, becoming the property of the state, was made the Illinois State Laboratory of Natural History. Forbes was made its director.

During these years he had been publishing the results of his researches rather extensively and had gone into a most interesting and important line of investigation, namely the food of birds and fishes. He studied intensively the food of the different species of fish inhabiting Illinois waters and the food of the different birds. This study, of course, kept him close to entomology, and, in 1884, he was appointed professor of zoology and entomology in the University of Illinois. The State Laboratory of Natural History was transferred to the university and, in 1917, was renamed the Illinois Natural History Survey. He retained his position as chief, and held it up to the time of his death. He was appointed state entomologist in 1882 and served until 1917, when the position was merged in the survey. He retired from his teaching position as an emeritus professor in 1921. He served as dean of the College of Science of the university from 1888 to 1905.

All through his career Forbes had been publishing his writings actively. As early as 1895, Samuel Henshaw, in his *Bibliography of the More Important Contributions to American Economic Entomology*, listed 101 titles. It is said that his bibliography runs to more than 500 titles. And the range of these titles is extraordinary; they include papers on entomology, ornithology, limnology, ichthyology, ecology, and other phases of biology.

All of Forbes' work is characterized by remarkable originality and depth of thought. Forbes was the first writer and teacher in America to stress the study of ecology, and thus began a movement that has gained great headway. He published eighteen annual entomological reports, all of which have been models. He was the first and leading worker in America on hydrobiology. He studied the fresh-water organisms of the inland waters and was the first scientist to write on the fauna of the Great Lakes. His work on

the food of fishes was pioneer work and has been of great practical value.

Forbes was a charter member of the American Association of Economic Entomologists and twice served as its president. He was also a charter member of the Illinois Academy of Science, a member of the National Academy of Sciences and of the American Philosophical Society, and in 1928 was made an honorary member of the Fourth International Congress of Entomology. Indiana University gave him the degree of Ph.D. in 1884 on examination and presentation of a thesis. He married, on December 25, 1873, Clara Shaw Gaston, whose death preceded his by only six months. A son, Dr. Ernest B. Forbes and three daughters survived him.

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Leland Ossian Howard

## Forel, Francois-Alphonse

1841–1912

Swiss professor of medicine

Francois-Alphonse Forel created a legacy by spending a lifetime studying a lake near which he lived. As the recognized founder of the science of the study of lakes, limnology, Forel meticulously observed Lake Geneva. His observations contributed directly to the modern-day ecological and environmental direction. He helped to uncover the mysteries of the *seiche*—movements caused by wind or air pressure and that occur in lakes.

Forel was born in Morges, Switzerland, on February 2, 1841. As a professor of anatomy and physiology at the University of Geneva, Forel spent most of his time investigating the life and movement of the lake near his home, world-famous Lake Geneva. On April 2, 1869, he found a nematode worm 40 meters down in the lake. That discovery was the beginning of his lifelong work. Before publishing his first major work, Forel recruited help in describing the types of algae and invertebrate animals he had found. A preliminary study he published in 1874, *La Faune Profonde des Lacs Suisses*,

(*The Bottom Fauna of Swiss Lakes*), provided the basis for his later work.

When his book on the lake, *Le Léman*, was published Forel himself noted there that, “This book is called *Limnological Monography*. I have to explain this new word and apologize, if it would be necessary. The aim of my description is a part of the Earth, that’s geography. But the geography of the ocean is called oceanography. But a lake, as big it is, is by no means an ocean; its limited area give it a special characteristic, which is very different of the one of the endless ocean. I had to find a more modest word to describe my work, like the word *limnography*. But, because a limnograph is a tool to measure the water level of lakes, I had to fabricate the new word limnology. The limnology is in fact the *oceanography of the lakes*.” A second book, *Handbuch der Seenkunde* (*Handbook of Lake Studies*) also became a standard work in the study of lakes. According to Ayer Company Publishers—the publisher that reprinted the work in 1978—Forel realized that his original work was an encyclopedia, which might not hold the interest of students. He published his handbook to be used as the first textbook for the study of limnology.

Forel died on August 7, 1912. In 1970 his work was honored when the F.-A. Forel Institute was founded in his honor by Professor Jean-Pierr Vernet who directed the laboratory until 1995. The institute became a part of the Earth Sciences department of the University of Geneva in 1980, and plays a vital role in the International Commission for the Protection of Lake Geneva’s water (CIPEL). The active research that occurs through the institute includes limnology, environmental geochemistry, ecotoxicology, and quaternary geology. It is also the home of the secretariat of the Center of Earth and Environmental Sciences Studies (CESNE) and the Diplome d’études en sciences naturelles de l’environnement (DESNE).

One of the other ways in which Forel has been honored is the use of his name added to a device known as the Forel-Ule Color Scale. According to the Marine Education and Research Program at Occidental College, the scale is used in marine studies, and consists of a series of numbered tubes (from 1 to 22) that contain various shades of colored fluids that range from blue (lowest numbers) through green, yellow, brown, and red (highest numbers).

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#### ORGANIZATIONS

- F.-A. Forel Institute, 10, route de Suisse, Geneva, Switzerland, CP 416, +41 22 379 03 00, +41 22 379 03 29, secretariatforel-terre@unige.ch, <http://www.unige.ch/forel>

Jane Spear

## Foreman, Dave

1947–

American radical environmental activist

Dave Foreman is a self-described radical environmentalist, co-founder of Earth First!, and leading defender of “monkey-wrenching” as a direct-action tactic to slow or stop strip mining, clear-cut logging of old-growth forests, the damming of wild rivers, and other environmentally destructive practices. He no longer participates in monkey-wrenching but has no regrets for past destruction carried out with environmental protection as the aim.

The son of a United States Air Force employee, Foreman traveled widely while growing up. In college he chaired the conservative Young Americans for Freedom and worked in the 1964 presidential election campaign of Senator Barry Goldwater. In the 1970s Foreman was a conservative Republican and moderate environmentalist who worked for the Wilderness Society in Washington, DC.

Foreman came to believe that the petrochemical, logging, and mining interests were “extremists” in their pursuit of profit and that government agencies—the Forest Service, the Bureau of Land Management, the U.S. Department of Agriculture, and others—were “gutless” and unwilling or unable to stand up to wealthy and powerful interests intent upon profiting from the destruction of American wilderness. Well-meaning moderate organizations such as the Sierra Club, Friends of the Earth, and the Wilderness Society were, with few exceptions, powerless to prevent the continuing destruction.

What was needed, Foreman reasoned, was an immoderate and unrespectable band of radical environmentalists like those depicted in Edward Abbey’s novel *The Monkey, Wrench Gang* (1975), to take direct action

against anyone who destroyed the wilderness in the name of development. With several like-minded friends, Foreman founded Earth First!, whose motto is “No compromise in defense of Mother Earth.”

From the beginning Earth First! was unlike any other radical group. It did not issue manifestoes or publish position papers; it had “no officers, no bylaws or constitution, no incorporation, no tax status; just a collection of women and men committed to the Earth.” Earth First!, Foreman wrote, “would be big enough to contain street poets and cowboy bar bouncers, agnostics and pagans, vegetarians and raw steak eaters, pacifists and those who think that turning the other cheek is a good way to get a sore face.” Its weapons would include “monkey-wrenching,” civil disobedience, music, “media stunts [to hold] the villains up to ridicule,” and self-deprecating humor: “Radicals frequently verge toward a righteous seriousness. But we felt that if we couldn’t laugh at ourselves we would be merely another bunch of dangerous fanatics who should be locked up (like the oil companies). Not only does humor preserve individual and group sanity, it retards hubris, a major cause of environmental rape, and it is also an effective weapon.” But besides humor, Foreman called for “fire, passion, courage, and emotionalism. We [environmentalists] have been too reasonable, too calm, too understanding. It’s time to get angry, to cry, to let rage flow at what the human cancer is doing to Mother Earth.”

In 1987 Foreman published *Ecodefense: A Field Guide to Monkeywrenching*, in which he described in detail the tools and techniques of environmental sabotage or monkey-wrenching. These techniques included “spiking” old-growth redwoods and Douglas firs to prevent loggers from felling them, “munching” logging roads with nails, sabotaging bulldozers and other earth-moving equipment, pulling up surveyors’ stakes, and toppling high-voltage power lines. These tactics, Foreman said, were aimed at property, not at people. But critics quickly charged that loggers’ lives and jobs were endangered by tree-spiking and other techniques that could turn deadly. Moderate or mainstream environmental organizations joined in the condemnation of the confrontational tactics favored by Foreman and Earth First!

In his autobiography *Confessions of an Eco-Warrior* (1991), Foreman defends monkey-wrenching as an unfortunate tactical necessity that has achieved its primary purpose of attracting the attention of the American people and the media to the destruction of the nation’s remaining wilderness. It also attracted the attention of the FBI, whose agents arrested Foreman at his home

in 1989 for allegedly financing and encouraging *eco-teurs* (ecological saboteurs) to topple high-voltage power poles. Foreman was put on trial to face felony charges, which he denied. The charges were questioned when it was disclosed that an FBI informant had infiltrated Earth First! with the intention of framing Foreman and discrediting the organization. In a plea bargain, Foreman pleaded guilty to a lesser charge and received a suspended sentence.

Foreman left Earth First! to found and direct The Wildlands Project in Tucson, Arizona. In 2007 he and fellow board directors founded the Rewilding Institute, an environmental conservation think-tank. As of 2010 he continues to lecture and write about the protection of the wilderness.

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Terence Ball

Forestry Canada see **Canadian Forest Service**.

Forests see **Coniferous forest; Deciduous forest; Hubbard Brook Experimental Forest; National forest; Old-growth forest; Rain forest; Taiga; Temperate rain forest; Tropical rain forest**.

## Forest and Rangeland Renewable Resources Planning Act (1974)

The Forest and Rangeland Renewable Resources Planning Act (RPA) was passed in response to the growing tension between the timber industry and environmentalists in the late 1960s and the early 1970s. These tensions can be traced to increased controversy over and restrictions on timber harvesting on the national forests, primarily due to wilderness designations and study areas and clear-cutting. These environmental restrictions, coupled with a dramatic increase in the price of timber in 1969, made Congress receptive to timber industry demands for a steadier

supply of timber. Numerous bills addressing timber supply were introduced and debated in Congress, but none passed due to strong environmental pressure. A task force appointed by President Richard Nixon, the President's Panel on Timber and the Environment, delivered its recommendations in 1973. The panel's recommendations were geared toward dramatically increased harvests from the national forests, and hence were also unacceptable to environmentalists.

One aspect of the various proposals that proved to be acceptable to all interested parties—the timber industry, environmentalists, and the Forest Service—was increased long-range resource planning. Senator Hubert Humphrey of Minnesota drafted a bill creating such a program and helped guide it to passage in Congress. RPA planning is based on a two-stage process, with a document accompanying each stage. The first stage is called the *Assessment*, which is an inventory of the nation's forest and range resources (public and private). The second stage, which is based on the *Assessment*, is referred to as the *Program*. Based on the completed inventory, the Forest Service provides a plan for the use and development of the available resources. The assessment is to be done every ten years. A program based on the assessment will be completed every five years. This planning was to be done by interdisciplinary teams and to incorporate widespread public involvement.

The RPA was quite popular with the Forest Service because the plans generated through the process gave the agency a solid foundation on which to base its budget requests, increasing the likelihood of greater funding. This has proved to be successful, as the Forest Service budget increased dramatically in 1977, and the agency fared much better than other resource agencies in the late twentieth century.

The RPA was amended by the National Forest Management Act of 1976. Based on this law, in addition to the broad national planning mandated in the 1974 law, an assessment and program were required for each unit of the national forest system. This has allowed the Forest Service to use the plans to help shield itself from criticism. Since these plans address all uses of the forests and make budget recommendations for these uses, if Congress does not fund these recommendations, the Forest Service can point to Congress as the culprit. However, the plans have also been more visible targets for interest group criticism.

Overall, the RPA has met with mixed results. The Forest Service has received increased funds, and the planning process has been expanded to each national forest unit, but planning at such a scale is a difficult

task. The act has also led to increased controversy and to increased bureaucracy. Perhaps most importantly, planning cannot solve a problem based on conflicting values, commodity use versus forest preservation, which is at the heart of forest management policy.

*See also* Old-growth forest.

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Christopher McGrory Klyza

## Forest decline

Thirty percent of the world's surface area is currently covered with forests. In recent decades there have been observations of widespread declines in vigor and dieback of mature forests in many parts of the world. In many cases pollution may be a factor contributing to forest decline, for example, in regions where air quality is poor because of acidic deposition or contamination with ozone (O<sub>3</sub>), sulfur dioxide (SO<sub>2</sub>), nitrogen (N) compounds, or metals. However, forest decline also occurs in some places where the air is not polluted, and in these cases it has been suggested that the phenomenon is natural.

Forest decline is characterized by a progressive, often rapid deterioration in the vigor of trees of one or several species, sometimes resulting in mass mortality (or dieback) within stands over a large area. Decline often selectively affects mature individuals and is thought to be triggered by a particular stress or a combination of stressors, such as severe weather, nutrient deficiency, toxic substances in soil, or air pollution. According to this scenario, excessively stressed trees suffer a large decline in vigor. In this weakened condition, trees are relatively vulnerable to lethal attack by insects and microbial pathogens. Such secondary agents may not be so harmful to vigorous individuals, but they can cause the death of severely stressed trees.

The preceding is only a hypothetical etiology of forest dieback. It is important to realize that although the occurrence and characteristics of forest decline can be well documented, the primary environmental variables that trigger the disease-causing decline are not usually known. As a result the etiology of the decline

syndrome is often attributed to a vague but unsubstantiated combination of biotic (living factors) and abiotic (non-living such as physical and chemical factors) factors.

The symptoms of decline differ among tree species. Frequently observed effects include: (1) decreased productivity; (2) chlorosis (paling of leaves due to lack of chlorophyll causing reduced photosynthesis), abnormal size or shape, and premature abscission of foliage; (3) a progressive death of branches that begins at the extremities and often causes a stag-headed appearance; (4) root dieback; (5) an increased frequency of secondary attack by fungal pathogens and defoliating or wood-boring insects; and (6) ultimately mortality, often as a stand-level dieback.

One of the best-known cases of an apparently natural forest decline, unrelated to human activities, is the widespread dieback of birches that occurred throughout the northeastern United States and eastern Canada from the 1930s to the 1950s. The most susceptible species were yellow (*Betula alleghaniensis*) and paper birch (*B. papyrifera*), which were affected over a vast area, often with extensive mortality. For example, in 1951 at the time of peak dieback in Maine, an estimated 67 percent of the birch trees had been killed. In spite of considerable research effort, a single primary cause has not been determined for birch dieback. It is known that a heavy mortality of fine roots usually preceded deterioration of the above-ground tree, but the environmental cause(s) of this effect are unknown, although deeply frozen soils caused by a sparse winter snow cover are suspected as being important. No biological agent was identified as a primary predisposing factor, although fungal pathogens and insects were observed to secondarily attack weakened trees and cause their death.

Another apparently natural forest decline is that of ohia (*Metrosideros polymorpha*), an endemic species of tree usually occurring in monospecific (consisting of one species) stands that dominates the native forest of Hawaiian Islands. There are anecdotal accounts of events of widespread mortality of ohia extending back at least a century, but the phenomenon is probably more ancient than this. The most recent widespread decline began in the late 1960s and resulted in about 200 square miles (518 km<sup>2</sup>) of forest with symptoms of ohia decline in a 1982 survey of 308 square miles (798 km<sup>2</sup>). In most declining stands only the canopy individuals were affected. Understory saplings and seedlings were not in decline, and in fact were released from competitive stresses by dieback of the overstory.

A hypothesis to explain the cause of ohia decline has been advanced by ecologist D. Mueller-Dombois and coworkers, who believe that the stand-level dieback is caused by the phenomenon of cohort senescence. This is a stage of the life history of ohia characterized by a simultaneously decreasing vigor in many individuals, occurring in old-growth stands. The development of senescence in individuals is governed by genetic factors, but the timing of its onset can be influenced by environmental stresses. The decline-susceptible, over-mature, life-history stage follows a more vigorous, younger, mature stage in an even-aged stand of individuals of the same generation (i.e., a cohort) that had initially established following a severe disturbance. In Hawaii, lava flows, events of deposition of volcanic ash, and hurricanes are natural disturbances that initiate succession. Sites disturbed in this way are colonized by a cohort of ohia individuals, which produce an even-aged stand. If there is no intervening catastrophic disturbance, the stand matures, then becomes senescent (aged) and enters a decline and dieback phase. The original stand is then replaced by another ohia forest comprised of an advance regeneration of individuals released from the understory. Therefore, according to the cohort senescence theory, the ohia dieback should be considered to be a characteristic of the natural population dynamics of the species.

Other forest declines are occurring in areas where the air is contaminated by various potentially toxic chemicals, and these cases might be triggered by air pollution. In North America prominent declines have occurred in ponderosa pine (*Pinus ponderosa*), red spruce (*Picea rubens*) and sugar maple (*Acer saccharum*). In western Europe, Norway spruce (*Picea abies*) and beech (*Fagus sylvatica*) have been severely affected.

The primary cause of the decline of ponderosa pine in stands along the western slopes of the mountains of southern California is believed to be the toxic effects of ozone. Ponderosa pine is susceptible to the effects of this gas at the concentrations that are commonly encountered in the declining stands, and the symptoms are indicative of ozone damage.

In the other cases of decline noted above that are putatively related to air pollution, the available evidence is less convincing. The recent forest damage in Europe has been described as a new decline syndrome that may in some way be triggered by stresses associated with air pollution. Although the symptoms appear to be similar, the new decline is believed to be different from diebacks that are known to have occurred historically and are believed to have been

natural. The modern decline syndrome was first noted in fir (*Abies alba*) in Germany in the early 1970s. In the early 1980s a larger-scale decline was apparent in Norway spruce, the most commercially-important species of tree in the region, and in the mid 1980s decline became apparent in beech and oak (*Quercus* spp.).

Decline of this type has been observed in countries throughout Europe, extending at least to western Russia. The decline has been most intensively studied in Germany, which has many severely damaged stands, although a widespread dieback has not yet occurred. Decline symptoms are variable in the German stands, but in general: (1) mature stands older than about sixty years tend to be more severely affected; (2) dominant individuals are relatively vulnerable; and (3) individuals located at or near the edge of the stand are more-severely affected, suggesting that a shielding effect may protect trees in the interior. Interestingly epiphytic lichens (symbiosis between a fungus and a photosynthetic organism) often flourish in badly damaged stands, probably because of a greater availability of light and other resources caused by the diminished cover of tree foliage. In some respects this is a paradoxical observation, because lichens are usually hypersensitive to air pollution, especially toxic gases.

From the information that is available, it appears that the new forest decline in Europe is triggered by a variable combination of environmental stresses. The weakened trees then decline rapidly and may die as a result of attack by secondary agents, such as fungal disease or insect attack. Suggestions of the primary inducing factor include gaseous air pollutants, acidification, toxic metals in soil, nutrient imbalance, and a natural climatic effect, in particular drought. However, there is not yet a consensus as to which of these interacting factors is the primary trigger that induces forest decline in Europe, and it is possible that no single stress will prove to be the primary cause. In fact, there may be several different causes contributing to declines occurring simultaneously in different areas.

The declines of red spruce and sugar maple in eastern North America involve species that are long-lived and shade-tolerant, but shallow-rooted and susceptible to drought. The modern epidemic of decline in sugar maple began in the late 1970s and early 1980s, and has been most prominent in Quebec, Ontario, New York, and parts of New England. During the late 1980s and early 1990s, the decline appeared to reverse, and the health of most stands improved. The symptoms are similar to those described for an earlier dieback and include abnormal coloration, size, shape,

and premature abscission of foliage; death of branches from the top of the tree downward; reduced productivity; and death of trees. There is a frequent association with the pathogenic fungus *Armillaria mellea*, but this is believed to be a secondary agent that only attacks weakened trees. Many declining stands had recently been severely defoliated by the forest tent caterpillar (*Malacosoma disstria*), and many stands were tapped each spring for sap to produce maple sugar. Because the declining maple stands are located in a region subject to a high rate of atmospheric deposition of acidifying substances, this has been suggested as a possible predisposing factor, along with soil acidification and mobilization of available aluminum (Al). Natural causes associated with climate, especially drought, have also been suggested. However, little is known about the modern sugar maple decline, apart from the fact that it occurred extensively; no conclusive statements can yet be made about its causation.

The stand-level dieback of red spruce has been most frequent in high-elevation sites of the northeastern United States, especially in upstate New York, New England, and the mid- and southern-Appalachian states. These sites are variously subject to acidic precipitation (mean annual pH about 4.0–4.1), to very acidic fog water (pH as low as 3.2–3.5), to large depositions of sulfur (S) and nitrogen from the atmosphere, and to stresses from metal toxicity in acidic soil.

Declines of red spruce are anecdotally known from the 1870s and 1880s in the same general area where the modern decline is occurring. Up to one-half of the mature red spruce in the Adirondacks of New York was lost during that early episode of dieback, and there was also extensive damage in New England. As with the European forest decline, the past and current episodes appear to have similar symptoms, and it is possible that both occurrences are examples of the same kind of disease.

The hypotheses suggested to explain the modern decline of red spruce are similar to those proposed for European forest decline. These potential causes include acidic deposition, soil acidification, aluminum toxicity, drought, winter injury exacerbated by insufficient hardiness due to nitrogen fertilization, heavy metals in soil, nutrient imbalance, and gaseous air pollution.

Climate change, or global warming, may be a significant factor involved in forest decline. Heat- and drought-related stress leading to tree mortality has been recorded on all forested continents. The global mean temperatures are currently higher than

temperatures in the past thirteen hundred years. Warmer temperatures and shifted or altered precipitation patterns are being observed worldwide as limiting the availability of water for trees, thus potentially contributing to worldwide forest diebacks. Many of the recently documented diebacks are occurring on climate boundaries where trees are on the edge of temperature sensitivity and water stress. Although climate change may not be the only cause of tree mortality, stress induced by heat is thought to be the underlying factor for disease- and pest-related diebacks. Additionally, forest diebacks may further contribute to climate change in becoming a source of atmospheric carbon when originally those forests were carbon sinks.

At present, not enough is known about the etiology of the forest declines in Europe and eastern North America to allow an understanding of possible role(s) of air pollution and of natural environmental factors. This lack of information does not necessarily mean that air pollution and climate change are not involved. Rather, it suggests that more research is required before any conclusive statements can be made regarding the causes and effects of the phenomenon of forest decline. To evaluate the health of forests, long-term global monitoring of forest status would contribute to forest maintenance and management by allowing scientists to check for symptoms of decline such as water stress and predict areas susceptible to mortality.

*See also* Forest management.

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Bill Freedman

## Forest management

The question of how forest resources should be used goes beyond the science of growing and harvesting trees; forest management must solve the problems of balancing economic, aesthetic, and biological value for entire ecosystems. The earliest forest managers in North America were native peoples, who harvested trees for building and burned forests to make room for grazing animals. But many native populations were wiped out by European diseases soon after Europeans arrived. By the mid-nineteenth century, it became apparent to many Americans that overharvesting of timber along with wasteful practices, such as uncontrolled burning of logging waste, was denuding forests and threatening future ecological and economic stability. The Forest Service, established in 1905, began studying ways to preserve forest resources for their economic as well as aesthetic, recreational, and wilderness value.

From the 1600s to 1820s, 370 million acres (150 million hectares) of forests—about 34 percent of the United States' total—were cleared, leaving about 749 million acres (303 million hectares) as of 2005, covering approximately 33 percent of U.S. land area. Only 10 to 15 percent of the forests have never been cut. Many previously harvested areas, however, have been replanted, but the nature of the forests has been altered, often detrimentally. If logging of old-growth forests were to continue at the rate maintained during the 1980s, all remaining unprotected stands would be gone by 2015. Some 33,000 timber-related jobs could also be lost during that time, not just from environmental protection but also from overharvesting, increased mechanization, and increasing reliance on foreign processing of whole logs cut from private lands. Recent federal and court decisions, most notably to protect the northern spotted owl (*Strix occidentalis caurina*) in the United States, have slowed the pace of old-growth harvesting and for now have put more mature forests under protection. But the questions of how to use forest resources is still under fierce debate.

For decades, clear-cutting of tracts has been the standard forestry management practice. Favored by timber companies, clear-cutting takes virtually all material from a tract. But clear-cutting has come under increasing criticism from environmentalists, who point out that the practice replaces mixed-age, biologically diverse forests with single-age, single or few species plantings. Single species planting is termed monoculture, which results in decreased biodiversity and increased disease susceptibility. Clear-cutting also relies heavily on roads to haul out timber, causing root damage, top soil erosion, and siltation of streams.



**A prescribed fire in south Florida is responsible for fuel reduction and wildlife habitat management.** (© Danita Delimont / Alamy)

Industry standards, such as best management practices (BMPs), prevent most erosion and siltation by keeping roads away from stream beds. But BMPs only address water quality. Clear-cutting also removes small trees, snags, boles, and woody debris that are important to invertebrates and fungi.

Rather than focusing on what is removed from a forest, sustainable forest management focuses on what is left behind. In sustainable forestry, tracts are never clear-cut: instead, individual trees are selected and removed to maintain diversity and health of the remaining ecosystem. Such methods avoid artificial replanting, herbicides, insecticides, and fertilizers. However, much debate remains on which trees and how many are chosen for harvesting under sustainable forestry.

In a management style known most commonly as new forestry (also referred to as ecological or natural forestry), select trees on a site are harvested over time. At each harvest some mature trees are retained to maintain a diverse and complex ecosystem. Standing or fallen dead trees and other wood debris are left in forests managed in this way. This method reduces erosion and promotes diversity left behind on a tract. As opposed to clearcutting and other harvesting practices, the methods employed in new forestry more closely resemble natural forest processes. American forest ecologist Jerry Franklin and colleagues coined the term new forestry and developed the method for

the natural management of forests. The establishment of new forestry management is a step toward sustainable forest management and retaining forest resources and ecosystems for future generations.

Those who make their living from America's forests, and those who value the biological ecosystems they support, must resolve the debate on how to best preserve our forests. One-third of forest resources now come from Forest Service lands, and the debate is an increasingly public one, involving interests ranging from the Sierra Club and sporting clubs to the Environmental Protection Agency (EPA), the Department of Agriculture (and its largest agency, the Forest Service), and timber companies and their employees. The future of our forests depends on balancing real short-term needs with the high price of long-term forest health.

Forests have an influence on climate change in that they remove carbon dioxide (CO<sub>2</sub>) from the air and store carbon, acting as significant carbon sinks in the global ecosystem. In 2008 U.S. forests offset about 10 percent of American carbon emissions. Reducing the amount of deforestation and focusing on sustainable forest management can potentially result in a higher compensation for U.S. carbon emissions.

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L. Carol Ritchie

## Forest Service

The national forest system in the United States must be considered one of the great success stories of the conservation movement despite the continual controversies that seem to accompany administration of national forest lands by the United States Forest Service.

The roots of the Forest Service began with the appointment in 1876 of Franklin B. Hough as a “forestry agent” in the U.S. Department of Agriculture to gather information about the nation's forests. Ten years later, Bernhard E. Fernow was appointed chief of a fledgling Division of Forestry. Part way through Fernow's tenure, Congress passed the Forest Reserve Act of 1891, which authorized the president to withdraw lands from the public domain to establish federal forest reserves. The public lands were to be administered, however, by the General Land Office in the U.S. Department of the Interior.

Gifford Pinchot succeeded Fernow in 1899 and was Chief Forester when President Theodore Roosevelt approved the transfer of 63 million acres (25 million ha) of forest reserves into the Department of Agriculture in 1905. That same year, the name of the Bureau of Forestry was changed to the United States Forest Service. Two years later the reserves were redesignated national forests.

The Forest Service today is organized into four administrative levels: the office of the Chief Forester in Washington, D.C.; nine regional offices; 155 national forests; and more than 600 ranger districts. The Forest Service also administers twenty national grasslands. In addition, a research function is served by a forest products laboratory in Madison, Wisconsin, and eight other field research stations.

These lands are used for a wide variety of purposes and given official statutory status with the passage of the Multiple Use-Sustained Yield Act of 1960. That act officially listed five uses—timber, water, range, wildlife, and recreation—to be administered on national forest lands. Wilderness was later included.

Despite a professionally trained staff and a sustained spirit of public service, Forest Service administration and management of national forest lands has been controversial from the beginning. The agency has experienced repeated attempts to transfer it to the Department of the Interior (or once to a new Department of Natural Resources); its authority to regulate grazing and timber use, including attempts to transfer national forest lands into private hands, has been frequently challenged, and some of the Service's management policies have been the center of conflict. These policies have included clear-cutting and “subsidized” logging, various recreation uses, preservation of the northern spotted owl, and the cutting of old-growth forests in the Pacific Northwest.

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### ORGANIZATIONS

Forest Service, U. S. Department of Agriculture, 1400 Independence Avenue, SW, Washington, D.C., USA, 20250, info@fs.fed.us, http://www.fs.fed.us

Gerald L. Young

## Fossey, Dian

1932–1985

American naturalist and primatologist

Dian Fossey is remembered by her fellow scientists as one of the world's foremost authorities on mountain gorillas. But to the millions of wildlife conservationists who came to know Fossey through her articles and book, she will always be remembered as a martyr. Throughout the nearly twenty years she spent studying mountain gorillas in central Africa, the American primatologist tenaciously fought the poachers and bounty hunters who threatened to wipe out the endangered primates. She was brutally murdered at her research center in 1985 by what many believe was a vengeful poacher.

Fossey's dream of living in the wilds of Africa dates back to her lonely childhood in San Francisco. She was born in 1932, the only child of George, an insurance agent, and Kitty, a fashion model, (Kidd) Fossey. The Fosseys divorced when Dian was six years old. A year later, Kitty married a wealthy building contractor named Richard Price. Price was a strict disciplinarian who showed little affection for his stepdaughter. Although Fossey loved animals, she was allowed to have only a goldfish. When it died, she cried for a week.

Fossey began her college education at the University of California at Davis in the preveterinary medicine program. She excelled in writing and botany, but she failed chemistry and physics. After two years, she transferred to San Jose State University, where she earned a bachelor of arts degree in occupational therapy in 1954. While in college, Fossey became a prize-



**Dian Fossey, shown with a baby gorilla, was an American zoologist who undertook an extensive gorilla study in Rwanda, Africa.** (© Liam White / Alamy)

winning equestrian. Her love of horses in 1955 drew her from California to Kentucky, where she directed the occupational therapy department at the Kosair Crippled Children's Hospital in Louisville.

Fossey's interest in Africa's gorillas was aroused through primatologist George Schaller's 1963 book, *The Mountain Gorilla: Ecology and Behavior*. Through Schaller's book, Fossey became acquainted with the largest and rarest of three subspecies of gorillas, *Gorilla beringei beringei*. She learned that these giant apes make their home in the mountainous forests of Rwanda, Democratic Republic of Congo (formerly Zaire), and Uganda. Males grow up to 6 feet (1.8 m) tall and weigh 400 pounds (182 kg) or more. Their arms span up to 8 feet (2.4 m). The smaller females weigh about 200 pounds (91 kg).

Schaller's book inspired Fossey to travel to Africa to see the mountain gorillas in their homeland. Against her family's advice, she took out a three-year bank loan for \$8,000 to finance the seven-week safari. While in Africa, Fossey met the celebrated paleoanthropologist Louis Leakey, who had encouraged Jane Goodall in her research of chimpanzees in Tanzania. Leakey was impressed by Fossey's plans to visit the mountain gorillas.

Those plans were nearly destroyed when she shattered her ankle on a fossil dig with Leakey. But just

two weeks later, she hobbled on a walking stick up a mountain in the Congo (later Zaire, and now Democratic Republic of the Congo) to her first encounter with the great apes. The sight of six gorillas set the course for her future. “I left Kabara (gorilla site) with reluctance but with never a doubt that I would, somehow, return to learn more about the gorillas of the misted mountains,” Fossey wrote in her book, *Gorillas in the Mist*.

Her opportunity came three years later, when Leakey was visiting Louisville on a lecture tour. Fossey urged him to hire her to study the mountain gorillas. He agreed, if she would first undergo a preemptive appendectomy. Six weeks later, he told her the operation was unnecessary; he had only been testing her resolve. But it was too late. Fossey had already had her appendix removed.

The L.S.B. Leakey and the Wilkie Brothers foundations funded her research, along with the National Geographic Society. Fossey began her career in Africa with a brief visit to Jane Goodall in Tanzania to learn the best methods for studying primates and collecting data.

Fossey set up camp early in 1967 at the Kabara meadow in Zaire’s Parc National des Virungas, where Schaller had conducted his pioneering research on mountain gorillas a few years earlier. The site was ideal for Fossey’s research. Because Zaire’s park system protected them against human intrusion, the gorillas showed little fear of Fossey’s presence. Unfortunately, civil war in Zaire forced Fossey to abandon the site six months after she arrived.

She established her permanent research site September 24, 1967, on the slopes of the Virunga Mountains in the tiny country of Rwanda. She called it the Karisoke Research Centre, named after the neighboring Karisimbi and Visoke mountains in the Parc National des Volcans. Although Karisoke was just five miles from the first site, Fossey found a marked difference in Rwanda’s gorillas. They had been harassed so often by poachers and cattle grazers that they initially rejected all her attempts to make contact.

Theoretically, the great apes were protected from such intrusion within the park. But the government of the impoverished, densely populated country failed to enforce the park rules. Native Batusi herdsmen used the park to trap antelope and buffalo, sometimes inadvertently snaring a gorilla. Most trapped gorillas escaped, but not without seriously mutilated limbs that sometimes led to gangrene and death. Poachers who caught gorillas could earn up to \$200,000 for one

by selling the skeleton to a university and the hands to tourists. From the start, Fossey’s mission was to protect the endangered gorillas from extinction—indirectly, by researching and writing about them, and directly, by destroying traps and chastising poachers.

Fossey focused her studies on some fifty-one gorillas in four family groups. Each group was dominated by a sexually mature silverback, named for the characteristic gray hair on its back. Younger, bachelor males served as guards for the silverback’s harem and their juvenile offspring.

When Fossey began observing the reclusive gorillas, she followed the advice of earlier scientists by concealing herself and watching from a distance. But she soon realized that the only way she would be able to observe their behavior as closely as she wanted was by habituating the gorillas to her presence. She did so by mimicking their sounds and behavior. She learned to imitate their belches that signal contentment, their barks of curiosity, and a dozen other sounds. To convince them she was their kind, Fossey pretended to munch on the foliage that made up their diet. Her tactics worked. One day early in 1970, Fossey made history when a gorilla she called Peanuts reached out and touched her hand. Fossey called it her most rewarding moment with the gorillas.

She endeared laypeople to Peanuts and the other gorillas she studied through her articles in National Geographic magazine. The apes became almost human through her descriptions of their nurturing and playing. Her early articles dispelled the myth that gorillas are vicious. In her 1971 *National Geographic* article she described the giant beasts as ranking among “the gentlest animals, and the shiest.” In later articles, Fossey acknowledged a dark side to the gorillas. Six of thirty-eight infants born during a thirteen-year-period were victims of infanticide. She speculated the practice was a silverback’s means of perpetuating his own lineage by killing another male’s offspring so he could mate with the victim’s mother.

Three years into her study, Fossey realized she would need a doctoral degree to continue receiving support for Karisoke. She temporarily left Africa to enroll at Cambridge University, where she earned her Ph.D. in zoology in 1974. In 1977 Fossey suffered a tragedy that would permanently alter her mission at Karisoke. Digit, a young male she had grown to love, was slaughtered by poachers. Walter Cronkite focused national attention on the gorillas’ plight when he reported Digit’s death on the CBS Evening News. Interest in gorilla conservation surged. Fossey took

advantage of that interest by establishing the Digit Fund, a non-profit organization to raise money for anti-poaching patrols and equipment.

Unfortunately, the money wasn't enough to save the gorillas from poachers. Six months later, a silver-back and his mate from one of Fossey's study groups were shot and killed defending their three-year-old son, who had been shot in the shoulder. The juvenile later died from his wounds. It was rumored that the gorilla deaths caused Fossey to suffer a nervous breakdown, although she denied it. What is clear is that the deaths prompted her to step up her fight against the Batusi poachers by terrorizing them and raiding their villages. "She did everything short of murdering those poachers," Mary Smith, senior assistant editor at National Geographic, told contributor Cynthia Washam in an interview. A serious calcium deficiency that causes bones to snap and teeth to rot forced Fossey to leave Africa in 1980. She spent her three-year sojourn as a visiting associate professor at Cornell University. Fossey completed her book, *Gorillas in the Mist*, during her stint at Cornell. It was published in 1983. Although some scientists criticized the book for its abundance of anecdotes and lack of scientific discussion, lay readers and reviewers received it warmly.

When Fossey returned to Karisoke in 1983, her scientific research was virtually abandoned. Funding had run dry. She was operating Karisoke with her own savings. "In the end, she became more of an animal activist than a scientist," Smith said. "Science kind of went out the window."

On December 27, 1985, Fossey, 54, was found murdered in her bedroom at Karisoke, her skull split diagonally from her forehead to the corner of her mouth. Her murder remains a mystery that has prompted much speculation. Rwandan authorities jointly charged American research assistant Wayne McGuire, who discovered Fossey's body, and Emmanuel Rwelekana, a Rwandan tracker Fossey had fired several months earlier. McGuire maintains his innocence. At the urging of U.S. authorities, he left Rwanda before the charges against him were made public. He was convicted in absentia and sentenced to die before a firing squad if he ever returns to Rwanda.

Farley Mowat, the Canadian author of Fossey's biography, *Woman in the Mists*, believes McGuire was a scapegoat. He had no motive for killing her, Mowat wrote, and the evidence against him appeared contrived. Rwelekana's story will never be known. He was found dead after apparently hanging himself a few weeks after

he was charged with the murder. Smith, and others, believe Fossey's death came at the hands of a vengeful poacher. "I feel she was killed by a poacher," Smith said. "It definitely wasn't any mysterious plot."

Fossey's final resting place is at Karisoke, surrounded by the remains of Digit and more than a dozen other gorillas she had buried. Her legacy lives on in the Virungas, as her followers have taken up her battle to protect the endangered mountain gorillas. The Dian Fossey Gorilla Fund, formerly the Digit Fund, finances scientific research at Karisoke and employs camp staff, trackers, and anti-poaching patrols.

The Rwanda government, which for years had ignored Fossey's pleas to protect its mountain gorillas, on September 27, 1990, recognized her scientific achievement with the Ordre National des Grandes Lacs, the highest award it has ever given a foreigner. Gorillas in Rwanda are still threatened by cattle ranchers and hunters squeezing in on their habitat. Shortly after Fossey's death, a census of gorillas in the Virunga Mountains counted 320 gorillas. A 2004 census, however, revealed a significant increase to about 380 gorillas. Smith is among those convinced that the number would be much smaller if not for Fossey's eighteen years of dedication to save the great apes. "Her conservation efforts stand above everything else (she accomplished at Karisoke)," Smith said. "She single-handedly saved the mountain gorillas."

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Cynthia Washam

## Fossil fuels

In early societies, wood or other biological fuels were the main energy source. Today in many non-industrial societies, they continue to be used widely. Biological fuels may be seen as part of a solar economy where energy is extracted from the sun in a way that makes them renewable. However industrialization requires energy sources at much higher density and these have generally been met through the use of fossil fuels such as coal, gas, or oil. In the twentieth century a



**Oil well pump, British Columbia, Canada.** (© All Canada Photos / SuperStock)

number of other options, such as nuclear or higher density renewable energy sources (wind power, hydroelectric power, etc.), became available. Nevertheless, into the early part of the twenty-first century, fossil fuels still represented the principal source of energy for most of the industrialized world.

Fossil fuels are types of sedimentary organic materials, often loosely called bitumens, with asphalt, a solid, and petroleum, the liquid form. More correctly bitumens are sedimentary organic materials that are soluble in carbon disulfide. It is this that distinguishes asphalt from coal, which is an organic material largely insoluble in carbon disulfide.

Petroleum can probably be produced from any kind of organism, but the fact that these sedimentary deposits are more frequent in marine sediments has suggested that oils arise from the fats and proteins in material deposited on the sea floor. These fats would be stable enough to survive the initial decay and burial but sufficiently reactive to undergo conversion to petroleum hydrocarbons at low temperature. Petroleum consists largely of paraffins or simple alkanes, with smaller amounts of naphthenes. There are traces of

aromatic compounds such as benzene present at the percent level in most crude oils. Natural gas is an abundant fossil fuel that consists largely of methane and ethane, although traces of higher alkanes are present. In the past, natural gas was regarded very much as a waste product of the petroleum industry and was simply burnt or flared off. Increasingly it is being seen as the favored fuel.

Coal, unlike petroleum, contains only a little hydrogen. Fossil evidence shows that coal is mostly derived from the burial of terrestrial vegetation with its high proportion of lignin and cellulose.

Most sediments contain some organic matter, and this can rise to many percent in shales. Here the organic matter can consist of both coals and bitumens. This organic material, often called sapropel, can be distilled to yield petroleum. Oil shales containing the sapropel kerogen are very good sources of petroleum. Shales are considered to have formed where organic matter was deposited along with fine grain sediments, perhaps in fjords, where restricted circulation keeps the oxygen concentrations low enough to prevent decay of the organic material.

Fossil fuels are mined or pumped from geological reservoirs where they have been stored for long periods of time. The more viscous fuels, such as heavy oils, can be quite difficult to extract and refine, which has meant that the latter half of the twentieth century has seen lighter oils being favored. However, in recent decades natural gas has been popular because it is easy to pipe and has a somewhat less damaging impact on the environment. These fossil fuel reserves, although large, are limited and non-renewable. According to the U.S. Department of Energy (DOE, from the *Oil and Gas Journal*), as of 2010, the total proved light-to-medium oil reserves in the world were estimated at about 1.354 trillion barrels, of which about a third had already been used. About 56 percent of the world's proved oil reserves are located in the countries of the Middle East. In addition, 80 percent of these reserves are contained within the OPEC countries, along with the non-OPEC countries of Canada and Russia. Natural gas reserves are estimated (again by the DOE, 2010) at the equivalent of 1.177 trillion barrels of oil (6,609 trillion cubic feet) and about a sixth had already been used. Heavy oil and bitumen amount to about 0.6 and 0.34 trillion barrels, most of which remained unutilized. The gas and lighter oil reserves lie predominantly in the eastern hemisphere, which accounts for the enormous petroleum industries of the Middle East. The heavier oil and bitumen reserves lie mostly in the western hemisphere. These are more costly to use and have been for the most part untapped. According to DOE figures as of 2008, of the estimated 909-billion ton coal reserve (which is recoverable), only about 2.5 to 2.6 percent has been used. About 72 percent of the available coal is shared between China, Russia, and the United States.

Petroleum is not burnt in its crude form but must be refined, which is essentially a distillation process that splits the fuel into batches of different volatility. The lighter fuels are used in automobiles, with heavier fuels used as diesel and fuel oils. Modern refining can use chemical techniques in addition to distillation to help make up for changing demands in terms of fuel type and volatility.

The combustion of fuels represents an important source of air pollutants. Although the combustion process itself can lead to the production of pollutants, such as carbon or nitrogen oxides, it has often been the trace impurities in fossil fuels that have been the greatest source of air pollution. Natural gas is a much-favored fuel because it has only traces of impurities such as hydrogen sulfide. Many of these impurities are removed from the gas by relatively simple scrubbing techniques, before it is distributed. Oil is refined, so

although it contains more impurities than natural gas, these become redistributed in the refining process. Sulfur compounds tend to be found only in trace amounts in the light automotive fuels. Thus automobiles are only a minor source of sulfur dioxide in the atmosphere. Diesel oil can have as much as a percent of sulfur, and heavier fuel oils can have even more, so in some situations these can represent important sources of sulfur dioxide in the atmosphere. Oils also dissolve metals from the rocks in which they are present. Some of the organic compounds in oil have a high affinity for metals, most notably nickel and vanadium. Such metals can reach high concentration in oils, and refining will mean that most become concentrated in the heavier fuel oils. Combustion of fuel oil will yield ashes that contain substantial fractions of the trace metals present in the original oil. This means that an element like vanadium is a useful marker of fuel oil combustion.

Coal is often seen as the most polluting fuel because low grade coals can contain large quantities of ash, sulfur, and chlorine. However, it should be emphasized that the quantity of impurities in coal can vary widely, depending on where it is mined. The sulfur present in coal is found both as iron pyrites (inorganic) and bound up with organic matter. The nitrogen in coal is almost entirely organic nitrogen. Coal users are often careful to choose a fuel that meets their requirements in terms of the amount of ash, smoke, or pollution risk it imposes. High rank coals such as anthracite have high carbon content. They are mined in locations such as Pennsylvania (United States) and South Wales (Wales), and they contain little volatile matter and burn almost smokeless. Much of the world's coal reserve is bituminous, which means that it contains from 20 to 25 percent of volatile matter.

The fuel industry is often seen as responsible for pollutants and environmental risks that go beyond those produced by the combustion of its products. Mining and extraction processes result in spoil heaps, huge holes in open cast mining, and the potential for slumping of land (conventional mining). Petroleum refineries are large sources of hydrocarbons, although not usually the largest anthropogenic source of volatile organic compounds in the atmosphere. Refineries also release sulfur, carbon, and nitrogen oxides from the fuel that they burn. Liquid natural gas and oil spills are experienced both in the refining and transport of petroleum.

Being a solid, coal presents somewhat less risk when transported, although wind-blown coal dust can cause localized problems. Coal is sometimes

converted to coke or other refined products such as Coalite, a smokeless coal. These derivatives are less polluting, although much concern has been expressed about the pollution damage that occurs near the factories that manufacture them. Despite this, the conversion of coal to less polluting synthetic solid, and liquid and gaseous fuels would appear to offer much opportunity for the future.

One of the principal concerns about the current reliance on fossil fuels relates not so much to their limited supply, but more to the fact that combustion releases such large amounts of carbon dioxide. Human use of fossil fuels over the twentieth century and now into the twenty-first century has increased the concentration of carbon dioxide in the atmosphere. Already there is mounting evidence that this has increased the temperature of Earth's atmosphere through an enhanced greenhouse effect.

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Peter Brimblecombe

## Fossil water

Water that occurs in an aquifer or zone of saturation that is temporarily excluded or shielded from participation in the the dynamic hydrologic cycle. Such water is often prized both for drinking and scientific study because it does not contain elevated levels of chemicals or contaminants common to water

exposed to an increasingly industrialized and polluted world.

*See also* Drinking-water supply; Groundwater; Water quality.

## Four Corners

The Hopi culture and religious tradition taught that the Four Corners—where Colorado, Utah, New Mexico and Arizona meet—is the center of the universe and holds all life on Earth in balance. It also has some of the largest deposits of coal, uranium, and oil shale in the world. According to the National Academy of Sciences the Four Corners is a "national sacrifice area." This ancestral home of the Hopi and Dineh (Navajo) people is the center of the most intense energy development in the United States. Traditional grazing and farm land are being swallowed up by uranium mines, coal mines, and power plants.

The Four Corners, sometimes referred to as the "joint-use area," is comprised of 1.8 million acres (729,000 ha) of high desert plateau where Navajo sheep herders have grazed their flocks on idle Hopi land for generations. In 1972 Congress passed Public Law (PL) 93-531, establishing the Navajo/Hopi Relocation Commission, which had the power to enforce livestock reduction and the removal of more than 10,000 traditional Navajo and Hopi, the largest forced relocation within the United States since the Japanese internment during World War II. Elders of both Nations issued a joint statement that officially opposed the relocation: "The traditional Hopi and Dineh (Navajo) realize that the so-called dispute is used as a disguise to remove both people from the JUA (joint use area), and for non-Indians to develop the land and mineral resources. . . Both the Hopi and Dineh agree that their ancestors lived in harmony, sharing land and prayers for more than four hundred years. . . and cooperation between us will remain unchanged."

The traditional Navajo and Hopi leaders have been replaced by Bureau of Indian Affairs (BIA) tribal councils. These councils, in association with the U.S. Department of the Interior, Peabody Coal, the Church of Jesus Christ of Later-day Saints, attorneys and public relation firms, created what is commonly known as the "Hopi-Navajo land dispute" to divide the joint- use area, so that the area could be opened up for energy development.

In 1964, 223 Southwest utility companies formed a consortium known as the Western Energy and Supply Transmission Associates (WEST), which includes water and power authorities on the West Coast as well as Four Corners area utility companies. WEST drafted plans for massive coal surface mining operations and six coal-fired, electricity-generating plants on Navajo and Hopi land. By 1966 John S. Boyden, attorney for the Bureau of Indian Affairs Hopi Tribal Council, secured lease arrangements with Peabody Coal to surface mine 58,000 acres (23,490 ha) of Hopi land and contracted WEST to build the power plants. This was done despite objections by the traditional Hopi leaders and the self-sufficient Navajo shepherds. Later that same year Kennecott Copper, owned in part by the Mormon Church, bought Peabody Coal. Peabody supplies the Four Corners' power plant with coal. The plant burned 5 million tons of coal a year, which is the equivalent of ten tons per minute. It emits over 300 tons of fly ash and other particles into the San Juan River Valley every day. Since 1968 the coal mining operations and the power plant have extracted over 60 million gallons (227 million l) of water a year from the Black Mesa water table, which has caused extreme desertification of the area, causing the ground in some areas to sink by up to 12 feet (3.6 m).

One of the worst nuclear accident in American history occurred at Church Rock, New Mexico, on July 26, 1979, when a Kerr-McGee uranium tailings pond spilled over into the Rio Puerco. The spill contaminated drinking water from Church Rock to the Colorado River, over 200 miles (322 km) to the west. The mill tailings dam broke—two months prior to the break cracks in the dam structure were detected, yet repairs were never made—and discharged more than 100 million gallons (379 million l) of highly radioactive water directly into the Rio Puerco River. The main source of potable water for more than 1,700 Navahoes was contaminated. When Kerr-McGee abandoned the Shiprock site in 1980, they left behind 71 acres (29 ha) of “raw” uranium tailings, which retained 85 percent of the original radioactivity of the ore at the mining site. The tailings were at the edge of the San Juan River and have since contaminated communities located downstream.

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Debra Glidden

## Fox hunting

Fox hunting is the sport of mounted riders chasing a wild fox with a pack of hounds. The sport is also known as riding to the hounds, because the fox is pursued by horseback riders following the hounds that chase the fox. The specially trained hounds pursue the fox by following its scent. The riders are called the “field” and their leader is called the “master of foxhounds.” A huntsman manages the pack of hounds.

Foxhunting originated in England, and dates back to the Middle Ages. People hunted foxes because they were predators that killed farm animals, such as chickens and sheep. Rules were established reserving the hunt to royalty, the aristocracy (people given titles by royalty), and landowners. As the British Empire expanded, the English brought fox hunting to the lands they colonized. The first fox hunt in the United States was held in Maryland in 1650, according to the Masters of Foxhounds Association (MFHA), the organization that controls foxhunting in the United States.

Although the objective of most fox hunts is to kill the fox, some hunts do not involve any killing. In a drag hunt, hounds chase the scent of a fox on a trail prepared before the hunt. In the United States a hunt ends successfully when the fox goes into a hole in the ground called the “earth.”

In the United Kingdom, a campaign to outlaw fox hunting started in the late twentieth century. Fox hunting was banned in Scotland in 2002 and in England and Wales in 2004.

Organized hunt supporters such as, the Countryside Alliance, said a hunting ban would result in the loss of 14,000 jobs. In addition, advocates said that hunts help to eliminate a rural threat by controlling the fox population. Hunt supporters described foxes as vermin, a category of destructive, disease-bearing animals. Hunting was seen as less cruel than other methods of eliminating foxes.

Opponents called foxhunting a “blood sport” that was cruel to animals. According to the International Fund for Animal Welfare (IAFW), more than 15,000 foxes are killed during the 200 hunts held each year.

The IAFW reported that young dogs are trained to hunt by pitting them against fox cubs. The group led efforts to have the British Parliament outlaw fox hunting. Drag hunting was suggested as a more humane alternative.

Another opposition group, the Nottingham Hunt Saboteurs Association, would disrupt “blood sports” through “non-violent direct action.” Saboteurs’ methods included trying to distract hounds by laying false scent trails, shouting, and blowing horns.

Both supporters and opponents of fox hunting claimed public support for their positions. In 1997 the Labour Party won the general election and proposed a bill to ban hunting with hounds. The following year, the bill passed through some legislative readings. However, time ran out before a final vote was taken.

In July 1999 Prime Minister Tony Blair promised to make fox hunting illegal. That November, Home Secretary Jack Straw called for an inquiry to study the effect of a ban on the rural economy. The Burns Inquiry concluded in June 2000 that a hunting ban would result in the loss of between 6,000 and 8,000 jobs. The inquiry did not find evidence that being chased was painful for foxes. However, the inquiry stated that foxes did not die immediately. This conclusion about a slower, painful death echoed opponents’ charges that hunting was a cruel practice.

Two months before the inquiry was released, Straw proposed that lawmakers should have several options to vote on. One choice was a ban on fox hunting; another was to make no changes. A third option was to tighten fox hunting regulations.

In November 2004 Parliament adopted the Hunting Act 2004, which banned fox hunting in England and Wales. The ban went into effect on February 18, 2005. Fox hunting remains legal in Northern Ireland. Hunts now involve chasing artificial trails laid for the hounds. The League Against Cruel Sports, however, alleges that actual fox hunting remains widespread in Great Britain.

### Fox hunting in other countries

The Scottish Parliament banned hunting in February of 2002. The ban was to take effect on August 1, 2002. The Countryside Alliance announced plans to legally challenge that ruling.

Fox hunting is legal in the following countries: Ireland, Belgium, Portugal, Italy, and Spain. Hunting with hounds is banned in Switzerland. In the United States, the MFHA was established in 1907. In March of 2002, the MFHA reported that there were 171 organized hunt

clubs in North America. Organized fox hunts in the United States rarely involve killing foxes, although non-registered hunts may engage in such behavior.

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International Fund for Animal Welfare, 290 Summer Street, Yarmouth Port, MA, USA, 02675, (508) 744-2000, (508) 744-2009, (800) 932-4329, info@iafw.org, http://www.iafw.org

Masters of Foxhounds Association, P.O. Box 363, Millwood, VA, USA, 22646, office@mfha.com, http://www.mfha.com

Liz Swain

## Free riders

A free rider, in the broad sense of the term, is anyone who enjoys a benefit provided, probably unwittingly, by others. In the narrow sense, a free rider is someone who receives the benefits of a cooperative venture without contributing to the provision of those benefits. A person who does not participate in a cooperative effort to reduce air pollution by driving less, for instance, will still breathe cleaner air—and thus be a free rider—if the effort succeeds.

In this sense free riders are a major concern of the theory of collective action. As developed by economists and social theorists, this theory rests on a distinction between private and public (or collective) goods. A public good differs from a private good because it is indivisible and non-rival. A public good, such as clean air or national defense, is indivisible because it cannot be divided among people the way food or money can. It is non-rival because one person’s enjoyment of the good does not diminish anyone else’s enjoyment of it. Smith and Jones may be rivals in their desire to win a prize, but they cannot be rivals in their desire to breathe clean air, for Smith’s breathing clean air will not deprive Jones of an equal chance to do the same.

Problems arise when a public good requires the cooperation of many people, as in a campaign to reduce pollution or conserve resources. In such cases,

individuals have little reason to cooperate, especially when cooperation is burdensome. After all, one person's contribution—using less gasoline or electricity, for example—will make no real difference to the success or failure of the campaign, but it will be a hardship for that person. So the rational course of action is to try to be a free rider who enjoys the benefits of the cooperative effort without bearing its burdens. If everybody tries to be a free rider, however, no one will cooperate, and the public good will not be provided. If people are to prevent this from happening, some way of providing selective or individual incentives must be found, either by rewarding people for cooperating or punishing them for failing to cooperate.

The free rider problem posed by public goods helps to illuminate many social and political difficulties, not the least of which are environmental concerns. It may explain why voluntary campaigns to reduce driving and to cut energy use so often fail, for example. As formulated in Garrett Hardin's Tragedy of the Commons, moreover, collective action theory accounts for the tendency to use common resources—grazing land, fishing banks, perhaps the earth itself—beyond their carrying capacity. The solution, as Hardin puts it, is “mutual coercion, mutually agreed upon” to prevent the overuse and destruction of vital resources. Without such action, the desire to ride free may lead to irreparable ecological damage.

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Richard K. Dagger

## Freon

Freon is the generic name for several chlorofluorocarbons (CFCs) widely used in refrigerators and air conditioners, including systems in houses and cars. Freon—comprised of chlorine (Cl), fluorine (F), and carbon atoms—is a non-toxic gas at room temperature. It is environmentally significant because it is extremely long-lived in the atmosphere, with a typical residence time of seventy years. This long life-span permits CFCs to disperse, ultimately reaching the stratosphere 19 miles (30 kilometers) above the earth's surface. Here, high-energy photons in sunlight break

down Freon, and chlorine atoms liberated during this process participate in other chemical reactions that consume ozone (O<sub>3</sub>). The final result is to decrease the stratospheric ozone layer that shields Earth from damaging ultraviolet radiation. Under the 1987 Montreal Protocol, thirty-one industrialized countries agreed to phase out CFC Freon production, banning their production and use as of 1996. As of 2010 more than 190 countries now observe and enforce provisions of the Montreal Protocol. Freon substitutes such as HCFC-22 (chlorodifluoromethane, CHClF<sub>2</sub>) and HFC-134a contain hydrogen in the molecule, causing them to be more readily broken down and destroyed in the lower atmosphere. However, HCFC-22 still contains chlorine, which contributes to the depletion of the ozone layer, although to a lesser extent than Freon. Amendments to the Montreal Protocol in 1992 initiated the phaseout of HCFCs as part of the U.S. Clean Air Act (CAA). As of 2020, HCFC-22 will no longer be produced, but existing HCFC-22 can be used and recycled for maintenance of existing systems. The Environmental Protection Agency (EPA) lists HFC-134a and a blend of HFCs termed HFC-410a as substitutes for banned refrigerants. Freon, HCFCs, and HFCs are considered greenhouse gases and contribute to climate change.

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## Fresh water ecology

The study of fresh water habitats is termed limnology, coming from the Greek word *limnos*, meaning pool, lake, or swamp. Fresh water habitats are normally divided into two groups: the study of standing bodies of water such as lakes and ponds (termed lentic

ecosystems) and the study of rivers, streams, and other moving sources of water (termed lotic ecosystems). Another important area that should be included is fresh water wetlands.

The historical roots of limnology go back to Swiss hydrologist F. A. Forel (1841–1912), who studied Lake Geneva, Switzerland, in the late 1800s and American scientists E. A. Birge (1851–1950) and C. Juday (1871–1944), who studied lakes in Wisconsin in the early 1900s. More recently, the modern father of limnology can arguably be attributed to English-born American zoologist G. Evelyn Hutchinson (1903–1991), who taught at Yale University for more than forty years and died in 1991. Among his prolific writings are four treatises on limnology which offer the most detailed descriptions of lakes that have been published.

Fresh water ecology is an intriguing field because of the great diversity of aquatic habitats. For example, lakes can be formed in different ways: volcanic origin such as Crater Lake, Oregon; tectonic (earth movement) origin such as Lake Tahoe in California/Nevada and Lake Baikal in Siberia; glacially-derived lakes such as the Great Lakes or smaller kettle hole or cirque lakes; oxbow lakes, which form as rivers change their meandering paths; and human-created reservoirs. Aquatic habitats are strongly influenced by the surrounding watershed, and lakes in the same geographic area tend to be of the same origin and have similar water quality.

Lakes are characteristically non-homogeneous. Physical, chemical, and biological factors contribute to both horizontal and vertical zonations. For example, light penetration creates an upper photic (lighted) zone and a deeper aphotic (unlit) zone. Phytoplankton (microscopic algae such as diatoms, desmids, and filamentous algae) inhabit the photic zone and produce oxygen through photosynthesis. This creates an upper productive area termed the trophogenic (productive) zone. The deeper area where respiration prevails is termed the tropholytic (unproductive) zone. Zooplankton (microscopic invertebrates such as cladocerans, copepods, and rotifers) and nekton (free-swimming animals such as fish) inhabit both of these zones. The boundary area where oxygen produced from photosynthesis equals that consumed through respiration is referred to as the compensation depth. Nearshore areas where light penetrates to the bottom and aquatic macrophytes such as cattails and bulrushes grow are termed the littoral zone. This is typically the most productive area, and it is more pronounced in ponds than in lakes. Open water areas are termed the limnetic zone, where most plankton are found. Some species of zooplankton are more concentrated in deeper waters

during the day and in greater numbers in the upper waters during the night. One explanation for this vertical migration is that these zooplankton, often large and sometimes pigmented, are avoiding visually feeding planktivorous fish. These zooplankton are thus able to feed on phytoplankton in the trophogenic zone during periods of darkness and then swim to deeper waters during daylight hours. Phytoplankton are also adapted for inhabiting the limnetic zone. Some species are quite small in size (less than 20 microns in diameter and termed nanoplankton), allowing them to be competitive at nutrient uptake due to their high surface-to-volume ratio. Other groups form large colonies, often with spines, lessening the negative impacts of herbivory and sinking. Cyanobacteria (commonly referred to as blue-green algae) produce oils that help them float on or near the water's surface. Some are able to fix atmospheric nitrogen (termed nitrogen fixation), giving them a competitive advantage in low-nitrogen conditions. Other species of cyanobacteria produce toxic chemicals, making them inedible to herbivores. There have even been reports of cattle deaths following ingestion of water with dense growths of these cyanobacteria.

Lakes can be isothermal (uniform temperature from top to bottom) during some times of the year, but during the summer months they are typically thermally stratified with an upper, warm layer termed the epilimnion (upper lake), and a colder, deeper layer termed the hypolimnion (lower lake). These zones are separated by the metalimnion, which is determined by the depths with a temperature change of more than 1.8°F (1°C) per meter depth, termed the thermocline. The summer temperature stratification creates a density gradient that effectively prevents mixing between zones.

Wind is another physical factor that influences aquatic habitats, particularly in lakes with broad surface areas exposed to the main direction of the wind, termed fetch. Strong winds can produce internal standing waves termed seiches that create a rocking motion in the water once the wind dies down. Other types of wind-generated water movements include Ekman spirals (rotation of flow direction with respect distance from a horizontal boundary) and Langmuir circulation (helical wind patterns induce lines of foam parallel to wind direction). Deep or chemically-stratified lakes that never completely mix are termed meromictic. Lakes in tropical regions that mix several times a year are termed polymictic. In regions with severe winters resulting in ice covering the surface of the lake, mixing normally occurs only during the spring and fall when the water is isothermal. These lakes are termed dimictic, and the mixing process is termed

overtake. People living downwind of these lakes often notice a rotten egg smell caused by the release of hydrogen sulfide ( $\text{H}_2\text{S}$ ). This gas is a product of benthic (bottom-dwelling) bacteria that inhabit the anaerobic muds of productive lakes.

Lakes that receive a low-nutrient input remain fairly unproductive, and are termed oligotrophic (low nourished). These lakes typically have low concentrations of phytoplankton, with diatoms being the main representative. Moderately productive lakes are termed mesotrophic. Eutrophic (well nourished) lakes receive more nutrient input and are therefore more productive. They are typically shallower than oligotrophic lakes and have more accumulated bottom sediments that often experience summer anoxia. These lakes have an abundance of algae, particularly cyanobacteria which are often considered a nuisance because they float on the surface and out-compete the other algae for nutrients and light.

Most lakes naturally age and become more productive over time; however, large, deep lakes may remain oligotrophic. The maturing process is termed eutrophication and it is regulated by the input of nutrients, which are needed by the algae for growth. Definitive limnological studies done in the 1970s concluded that phosphorus (P) is the key limiting nutrient in most lakes. Thus, the accelerated input of this chemical into streams, rivers, and eventually lakes by excess fertilization, sewage input (both human and animal), and erosion is termed cultural eutrophication. Much debate and research has been spent on how to slow down or control this process. One interesting management tool is termed biomanipulation, in which piscivorous (fish-eating) fish are added to lakes to consume planktivorous (plankton-eating) fish. Because the planktivores are visual feeders on the largest prey, this allows higher numbers of large zooplankton to thrive in the water, which consume more phytoplankton, particularly non-toxic cyanobacteria. A more practical approach to controlling cultural eutrophication is by limiting the nutrient loading into our bodies of water. Although this isn't easy, people must consider ways of limiting excessive uses of fertilizers, both at home and on farms, as well as more effectively regulating the release of treated sewage into rivers and lakes, particularly those which are vulnerable to eutrophication. Another lake management tool is to aerate the bottom (hypolimnetic) water so that it remains oxygenated. This keeps iron in the oxidized state ( $\text{Fe}^{+3}$ ), which chemically binds with phosphate ( $\text{PO}_4$ ) and prevents it from being available for algal uptake. Lakes that have anoxic bottom water keep iron in the reduced state ( $\text{Fe}^{+2}$ ), and phosphate is released from the sediment into the water. Fall and

spring turnover then returns this limiting nutrient to the photic zone, promoting high algal growth. When these organisms eventually die and sink to the bottom, decomposers use up more oxygen, producing a snowball effect. Thus, productive lakes can become more eutrophic with time, and may eventually develop into hypertrophic (overly productive) systems.

Lotic ecosystems differ from lakes and ponds in that currents are more of a factor and primary production inputs are generally external (allochthonous) instead of internal (autochthonous). Thus, a river or stream is considered a heterotrophic ecosystem along most of its length. Gradients in physical and chemical parameters also tend to be more horizontal than vertical in running water habitats, and organisms living in lotic ecosystems are specially adapted for surviving in these conditions. For example, trout require higher amounts of dissolved oxygen, and are primarily found in relatively cold, fast-moving water with low nutrient input. Carp are able to tolerate warmer, slower, more productive bodies of running water. Darters are fish that quickly dart back and forth behind rocks in the bottom of fast-moving streams and rivers as they feed on aquatic insects. Many of these insects are shredders and detritivores on the organic material like leaves that enter the water. Other groups specialize by scraping algae and bacteria off rocks in the water.

Recently, ecologists have begun to take a greater interest in studying fresh water wetlands. These areas are defined as being inundated or saturated by surface or ground water for most of the year, and therefore have characteristic wetland vegetation. Although some people consider these areas a nuisance and prefer them being drained, ecologists realize that they are valuable habitats for migrating water fowl. They also serve as major adsorptive areas for nutrients, which are particularly useful around sewage lagoons. Such benefits provide a strong argument for preservation of these habitats.

Climate change and pollution are threatening bodies of fresh water and their inhabitants. Global temperatures are rising as a result of accumulating greenhouse gases such as carbon dioxide ( $\text{CO}_2$ ). Precipitation patterns are also being altered as a consequence of climate change and are affecting the availability of water due to induced drought. Rising temperatures can reduce the amount of dissolved oxygen in a body of water below levels necessary for survival of some aquatic organisms. Water limitations, warmer temperatures, and pollutants can negatively affect aquatic organisms, sometimes leading to death. Reducing emissions and input of agricultural chemicals can aid in preserving the fresh water habitats and their organisms.

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John Korstad

## Friends of the Earth

Friends of the Earth (FOE) is a public interest environmental group committed to the conservation, restoration, and rational use of the environment. Founded by David Brower and other environmentalists in San Francisco in 1969, FOE works on the local, national, and international levels to prevent and reverse environmental degradation, and to promote the wise use of natural resources.

FOE has an international membership of more than two million people. Its particular areas of interest include global climate change, environmental justice, biodiversity, coal mining, coastal and ocean pollution, tropical forests destruction, groundwater contamination, corporate accountability, and food independence and sustainability. Over the years, FOE has published numerous books and reports on various topics of concern to environmentalists.

FOE was originally organized to operate internationally and now has national organizations and more than 5,000 affiliated groups in over seventy-five countries. In several of these, most notably the United Kingdom, FOE is considered to be one of the best-known and most effective public interest group concerned with environmental issues.

The organization has changed its strategies considerably over the years, and not without considerable controversy within its own ranks. Under Brower's leadership, FOE's tactics were media-oriented and often confrontational, sometimes taking the form of direct political protests, boycotts, sit-ins, marches, and demonstrations. Taking a holistic approach to the environment, the group argued that fundamental social change was required for lasting solutions to many environmental problems.

FOE eventually moved away from confrontational tactics and toward a new emphasis on lobbying and legislation, which helped provoke the departure of Brower and some of the group's more radical members. FOE began downplaying several of its more controversial stances (for example, on the control of nuclear weapons) and moved its headquarters from San Francisco to Washington, DC. More recent controversies have concerned FOE's endorsement of so-called green products and its acceptance of corporate financial contributions.

FOE remains committed, however, to most of its original goals, even if it has foresworn its earlier illegal and disruptive tactics. Relying more on the technical competence of its staff and the technical rationality of its arguments than on idealism, FOE has been highly successful in influencing legislation and in creating networks of environmental, consumer, and human rights organizations worldwide. Its publications and educational campaigns have been quite effective in raising public consciousness of many of the issues with which FOE is concerned.

## Resources

### ORGANIZATIONS

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Lawrence J. Biskowski

## Frogs

Frogs are amphibians belonging to the order anura. The anuran group has nearly 2,700 species throughout the world and includes both frogs and toads. The word *anura* means "without a tail," and the term applies to most adult frogs. The anura are distinguished from tailed amphibians (urodeles) such as salamanders, because the latter retain a tail as an adult.

One of the most studied and best understood frogs is the northern leopard frog, *Rana pipiens*. This species is well-known to most children, to people who love the outdoors, and to scientists. Leopard frogs live throughout much of the United States as well as Canada and northern Mexico. Inhabiting a diverse array of environments, the order anura exhibits an impressive display of anatomical and behavioral variations among its members. Despite such diversity, the leopard frog is often used as a model that represents all members of the group.

Leopard frogs mate in the early spring. The frogs deposit their eggs in jelly-like masses. These soft formless clumps may be seen in temporary ponds where frogs are common. Early embryonic development occurs within the jelly mass, after which the eggs hatch, releasing small swimming tadpoles. The tadpoles feed on algal periphyton, fine organic detritus, and yolk reserves through much of the spring and early summer. Next, metamorphosis begins a few weeks or up to two years after the eggs hatch depending on the species. Metamorphosis is the process whereby amphibious tadpoles lose their gills and tails and develop arms and legs. The process of metamorphosis is complex and involves not only the loss of the tail and the development of limbs, but also a fundamental reorganization of the gut. For example, in the leopard frog, the relatively long intestine of the vegetarian tadpole is reorganized to form the short intestine of the carnivorous adult frog because nutrients are more difficult to extract from plant sources. Additionally, metamorphosis profoundly changes the method of respiration in the leopard frog. As the animal loses its gills, air-breathing lungs form and become functional. A significant portion of respiration and gas exchange will occur through the skin of the adult frog. When metamorphosis of a tadpole is complete, the result is a terrestrial, insect-eating, air-breathing frog.

Frogs are important for biological learning and research. Many students first encounter vertebrate anatomy with the dissection of an adult leopard frog. Consequently, physiologists have used frogs for the study of muscle contraction and the circulation of blood which is easily seen in the webbing between the toes of a frog. Embryologists have used frogs for study because they lay an abundance of eggs. A mature *R. pipiens* female may release 3,000 or more eggs during a single spawning. Frog eggs are relatively large and abundant, which simplifies manipulation and experimentation. Another anuran, the South African clawed frog, *Xenopus laevis*, is useful in research because it can be cultivated to metamorphosis easily and can be bred any time of year. For these reasons frogs emerge as

extremely useful laboratory test animals that provide valuable information about human biology.

### Frogs and biomedical research

Many biological discoveries have been made or enhanced by using frogs. For example, the role of sperm in development was studied in the late 1700s in frogs. Amphibians in general, including frogs, have significantly more deoxyribonucleic acid (DNA) per cell than do other chordates. Thus, their chromosomes are large and easy to see with a microscope. In addition, induced ovulation in frogs by pituitary injection was developed during the early 1930s. Early endocrinologists studied the role of the hormone thyroxine (also found in human beings) in vertebrate development in 1912. The role of viruses in animal and human cancer is receiving renewed interest—the first herpes virus known to cause a cancer was the frog cancer herpes virus. Furthermore, mammalian and human cloning, a controversial topic, has its foundations in the cloning of frogs. The first vertebrate ever cloned was *Rana pipiens*, in an experiment published by Thomas King and Robert Briggs in 1952. As such, experimentation with frogs has contributed greatly to biomedical research.

Unfortunately, the future of amphibians, like the northern leopard frog, appears to be in jeopardy. Most amphibians in the world are frogs and toads. Since the 1980s scientists have noted a distinct decline in amphibian populations. Worldwide, more than 200 species of amphibians have experienced recent population declines. At least thirty-two documented species extinctions have occurred. Of the 242 native North American amphibian species, the U.S. Nature Conservancy has identified three species that are presumed to be extinct, another three classified as possibly extinct, with an additional 38 percent categorized as vulnerable to extinction. According to the United States Fish and Wildlife Service, there are four frog species listed as endangered, and three listed as threatened. Occasionally, new frog species continue to be identified, including a tiny (10–12 millimeter) micro-species that can fit on the end of a pencil, which was found in Borneo in 2010.

The actual cause of the reductions in frog and amphibian populations remains unclear, but many well-supported hypotheses exist. One speculation is the run-off of chemicals that poison ponds producing defective frogs that cannot survive well. A second possibility is an increase in amphibian disease caused by virulent pathogens. Viral and fungal infection of frogs has led to recent declines in many populations. A third explanation involves parasitic infections of frogs with flatworms, causing decreased survival. Another

theory blames atmospheric ozone depletion for frog decline. Yet another implicates a toxic combination of intensified agriculture, drainage of habitat, and predator changes as the cause for the drastic declines in frog populations. Although each argument has merits of its own, it is unlikely that any single cause can adequately explain all amphibian decline.

The poisoned pond hypothesis brought the issue of frog population decline to the forefront. In the mid-1990s, students on a field trip at a pond near the Minnesota community of Henderson discovered a number of frogs with extremely abnormal limbs. Some frogs had three or more hind legs, some had fused appendages, and some had no legs at all. Concerned with what they had found, their teacher, Cindy Reinitz, and her students contacted the Minnesota Pollution Control Agency. Soon, state agency biologists and officials from the University of Minnesota confirmed the presence of many abnormal frogs at the site. The word spread, and by late 1996, many sites in Minnesota were discovered to have abnormal frogs.

Frog developmental abnormalities are not new to science. What made the Minnesota case of frog malformations different, however, was the extraordinary concentration of abnormal frogs in an unusually large number of locations. Concern became more urgent when abnormal animals also were reported in several other states, then Canada, and finally in Japan. Many of the abnormal frogs were found in agricultural areas where large amounts of fertilizers and pesticides were used.

One reason for concern is that frogs and humans metabolize toxic xenobiotic chemicals, such as fertilizers or pesticides, in similar ways. Also, human beings and frogs have very similar early developmental stages, which is why frogs are used as model animals in embryology. Because of this, worry exists regarding the potential for human developmental abnormalities from such chemicals. Some of the chemicals implicated in the frog malformations were retinoids. Retinoids are derivatives of vitamin A that are potent and crucial hormonal regulators of vertebrate embryological development. Numerous laboratory studies using retinoic acid (a form of retinoid) have reproduced the abnormalities seen in the Minnesota frogs, and the role of retinoids in the regulation of genes involved in limb development is well-characterized. Frog anatomical defects are regarded as a warning sign for potential problems in humans exposed to the same chemicals, since retinoic acid regulates human limb development as well.

Disease is a growing problem for frogs. Recently, two important frog pathogens have been identified and are suspected to play a role in global frog decline.

Iridiovirus, a virus that commonly infects fish and insects, has now been found to infect frogs. An aquatic fungus, chytrid, is also implicated in frog decline. Infection with the fungus is called chytridiomycosis. The chytrid fungus, *Batrachochytrium dendrobatidis*, which normally resides in decaying plant material, causes the degeneration of tadpole mouthparts. This results in the death of post-metamorphic frogs, and is reportedly involved in amphibian decline in Australia, Europe, and recently in the Sierra Nevada region of California. In Australia the depletion of the frog population may have been caused by a disease introduced through infected fish. The Australian cane toad, *Bufo marinus*, may be responsible for spreading a virus to other frogs outside its native range.

Another potential cause for the striking decline of frog populations involves parasitism, the act of being a parasite. Parasitism is a type of symbiosis in which a parasitic organism gains benefit from living within or upon a host organism. The host, in turn, gains no benefit, and in fact may be harmed by the symbiosis. Scientists have discovered that a parasitic trematode or flatworm is threatening many frog populations. Trematode larvae erupt from snails inhabiting ponds, which then infect frog tadpoles. Once inside the tadpoles, the flatworm larvae multiply and physically scramble developing limb bud cells in the hind quarters of the tadpole. If the limb bud cells are not in their proper places, malformations are the consequence. The result of such parasitism by flatworms is adult frogs with multiple legs or fused legs. Observations indicate that the flatworms derive benefit from the relationship because frogs with defective legs are easier for birds to prey upon. Birds that eat infected frogs in turn become infected. The cycle is complete when bird droppings littered with flatworm larvae are dropped into pond water.

A fourth possible explanation for the decline of frogs involves ozone depletion. Atmospheric ozone loss leads to an increase in ultraviolet light penetration to Earth's surface. Frogs are exquisitely sensitive to ultraviolet light. Presumably due to increased UV-B penetration, mutations in frogs have increased, resulting in limb malformations. Evidence for this hypothesis exists in laboratory experiments that have been able to reliably replicate the limb malformations observed in the Minnesota ponds using UV-B radiation on experimental frogs.

Many scientists conclude, however, that multiple factors are to blame for frog decline. They assume that synergy, or the combination of many factors is the most plausible explanation for the decrease in the number and diversity of frogs. Climate change, urbanization, prolonged drought, global warming, secondary succession, drainage of

habitat for housing developments, habitat fragmentation, introduced predators, loss of territory, and the aforementioned infectious and poisoning reasons may all simultaneously contribute to the decline of frog populations worldwide. Humans perpetuate the decline by hunting frogs for food. Because the decrease in numbers and diversity of frogs is so striking, conservationists are concerned that it is an early indicator of the consequences of human progress and overpopulation.

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Robert G McKinnell

## Frontier economy

An economy similar to that which was prevalent at the frontier of European settlement in North America in the eighteenth and nineteenth centuries. A frontier economy is characterized by relative scarcities (and high prices) of capital equipment and skilled labor, and by a relative abundance (and low prices) of natural resources. Because of these factors, producers will look to utilize natural resources instead of capital and skilled labor whenever possible. For example, a sawmill might use a blade that creates large amounts of wood waste because the cost of extra logs is less than the cost of a better blade. The long-term environmental effects of high natural resource use and pollution from wastes are ignored because

they seem insignificant compared to the vastness of the natural resource base.

A frontier economy is sometimes contrasted with a spaceship economy, in which resources are seen as strictly limited and need to be endlessly recycled from waste products.

## Frost heaving

The lifting of earth by soil water as it freezes. Freezing water expands by approximately 9 percent and exerts a pressure of about 15 tons per square inch. Although this pressure and accompanying expansion are exerted equally in all directions, movement takes place in the direction of least resistance, namely upward. As a result, buried rocks, varying from pebbles to boulders, can be raised to the ground surface; small mounds and layers of soil can be heaved up; young plants can be ripped from the earth or torn apart below ground; and pavement and foundations can be cracked and lifted. Newly planted tree seedlings, grass, and agricultural crops are particularly vulnerable to being lifted by acicular ice crystals during early fall and late spring frosts. Extreme cases in cold climates at high latitudes or high elevation at mid-latitudes result in characteristic patterned ground.

## Fuel cells

Fuel cells produce energy through electrochemical reactions rather than through the process of combustion. These cells convert hydrogen (H) and oxygen (O) into electricity and heat. Fuel cells are sometimes compared to batteries because, like batteries, they have two electrodes, an anode and a cathode, through which an electrical current flows into and out of the cell. But fuel cells are fundamentally different electrical devices from batteries because the latter simply store electrical energy, whereas the former are a source of electrical energy.

In a fuel cell, chemical energy is converted directly into electrical energy by means of an oxidation-reduction reaction. British physicist Sir William Grove (1811–1896) developed the fuel cell concept in 1839. A practical, working model of the concept was not constructed until a century later, however. The earliest fuel cells carried out this energy conversion by means



**Commuters drive past the building of the Maharashtra State Electricity Board, which adorns a Greenpeace banner in Mumbai, India, in 2006. The campaign advocates the use of compact fluorescent lamps (CFL) which are energy efficient.** (AP Photo/Rajesh Nirgude)

of the reaction between hydrogen gas ( $H_2$ ) and oxygen gas ( $O_2$ ), and formed water ( $H_2O$ ) as a by-product. In this chemical reaction, each hydrogen atom loses one electron to an oxygen atom. The exchange of electrons, from hydrogen to oxygen, is what characterizes an oxidation-reduction reaction. A fuel cell thus creates a pathway through which electrons lost by hydrogen atoms must flow before they reach oxygen atoms. The cell typically consists of four basic parts: an anode, a cathode, an electrolyte, and an external circuit.

In the simplest fuel cell, hydrogen gas is pumped into one side of the fuel cell where it passes into a hollow, porous anode. At the anode, hydrogen atoms lose an electron to hydroxide ions present in the electrolyte. The source hydroxide ions ( $OH^-$ ) consist of a solution of potassium hydroxide ( $KOH$ ) in water. The electrons released in this reaction travel up the anode, out of the fuel cell, and into the external circuit, which carries the flow of electrons (an electric current) to some device such as a light bulb where it can be used. Meanwhile, a second reaction is taking place at the opposite pole of the fuel cell. Oxygen gas is pumped into this side of the fuel cell where it passes into the hollow, porous cathode. Oxygen atoms pick up electrons from the cathode and react with water in the electrolyte to regenerate hydroxide ions.

As a result of the two chemical reactions taking place at the two poles of the fuel cell, electrons are removed from hydroxide ions at the anode, passed through the external circuit where they can be used to

do work, returned to the cell through the cathode, and then returned to water molecules in the electrolyte. Meanwhile oxygen and hydrogen are used up in the production of water. A fuel cell such as the one described here should have a voltage of 1.23 volts and a theoretical efficiency (based on the heat of combustion of water) of 83 percent. The actual voltage of a typical hydrogen/ oxygen fuel cell normally ranges between 0.6 to 1.1 volts depending on operating conditions.

Fuel cells have many advantages as energy sources because they are significantly more efficient than energy sources such as nuclear or fossil-fueled power plants. In addition, a fuel cell is technically simple and lightweight. Also, the product of the fuel cell reaction—water—is of course harmless to humans and the rest of the environment. Finally, both hydrogen and oxygen, the raw materials used in a fuel cell, are abundant in nature. They can both be obtained from water, the most common single compound on the planet.

Until recently, electricity produced from fuel cells was more expensive than that obtained from other sources, so it has been used in only specialized applications. One of these is in spacecrafts, where their light weight represents an important advantage. For example, the fuel cell used on an eleven-day Apollo moon flight, weighed 500 pounds (227 kg), whereas on Earth's surface, a conventional generator would have weighed several tons. In addition, the water produced in the cell was purified and then used for drinking by the astronauts.

A great many variations on the simple hydrogen/ oxygen fuel cell have been investigated. In theory any fuel that contains hydrogen can be used at the anode, while any oxidizing agent can be used at the cathode. Elemental hydrogen and oxygen are only the simplest, most fundamental examples of each.

Among the hydrogen-containing compounds explored as possible fuels are hydrazine ( $N_2H_4$ ), methanol ( $CH_3OH$ ), ammonia ( $NH_3$ ), and a variety of hydrocarbons. The order in which these fuels are listed here corresponds to the efficiency with which they react in a fuel cell, with hydrazine being most reactive (after hydrogen itself) and the hydrocarbons being least reactive. Each of these potential alternatives has serious disadvantages. Hydrazine, for example, is expensive to manufacture and dangerous to work with in any environment.

In addition to oxygen, liquid oxidants such as hydrogen peroxide ( $H_2O_2$ ) and nitric acid ( $HNO_3$ ) have also been investigated as possible cathode reactants. Again, neither compound works as efficiently as

oxygen itself, and each presents problems of its own as a working fluid in a fuel cell.

The details of fuel cell construction often differ depending on the specific use of the cell. Cells used in spacecraft, for example, use cathodes made of nickel oxide (NiO) or gold (Au) and anodes made of a platinum (Pt) alloy. The hydrogen and oxygen used in such cells are supplied in liquid form that must be maintained at high pressure and very low temperature.

Fuel cells can also operate with an acidic electrolyte such as phosphoric ( $\text{H}_3\text{PO}_4$ ) or a fluorinated sulfuric acid. The chemical reactions that occur in such a cell are different from those described for the alkaline (potassium hydroxide) cell described above. Fuel cells of the acidic type are more commonly used in industrial applications. They operate at a higher temperature than alkaline cells, with slightly less efficiency.

Another type of fuel cell makes use of a molten salt instead of a water solution as the electrolyte. In a typical cell of this kind, hydrogen is supplied at the anode of the cell, carbon dioxide ( $\text{CO}_2$ ) is supplied at the cathode, and molten potassium carbonate ( $\text{K}_2\text{CO}_3$ ) is used as the electrolyte. In such a cell, the fundamental process is the same as in an aqueous electrolyte cell. Electrons are released at the anode. The electrons then travel through the external circuit where they can do work and return to the cell through the cathode where they make the cathodic reaction possible.

Yet a third type of fuel cell is now being explored, one that makes use of state-of-the-art and sometimes exotic solid-state technology. This is the high-temperature solid ionic cell. In one design of this cell, the anode is made of nickel (Ni) metal combined with zirconium oxide ( $\text{ZrO}_2$ ) while the cathode is composed of a lanthanum-manganese (La-Mn) alloy doped with strontium (Sr). The electrolyte in the cell is a mixed oxide of yttrium (Y) and zirconium (Zr). The fuel provided to the cell is carbon monoxide (CO) or hydrogen; either one is oxidized by the oxygen in ordinary air. A series of these cells are connected to each other by connections made of lanthanum chromite ( $\text{CrLaO}_3$ ) doped with magnesium (Mg) metal.

The solid ionic cell is particularly attractive to electric utilities and other industries because it does not contain any liquids, as do the other fuel-cell designs. The presence of such liquids creates problems in the handling and maintenance of conventional fuel cells that are eliminated with the all-solid cell.

The Proton Exchange Membrane (PEM) fuel cell and Direct Methanol Fuel cells (DMFC) are similar in that they both use a polymer membrane as the electrolyte, but a DMFC has an anode catalyst that utilizes hydrogen from liquid methanol, rather than from hydrogen gas. This improvement avoids the storage problems that are inherent with the use of hydrogen gas. Other possibilities are made up of stacks of fuel cells that use PEM-type technology. In 2001 the U.S. Department of Energy (DOE) started a new program designed to implement the development of efficient and low-cost versions of these planar solid-oxide fuel cells, or SOFCs. In the 2010s Siemens Power Generation is a leading company developing SOFCs under the Solid State Energy Conversion Alliance (SECA) program of the DOE.

Some experts are enthusiastic about the future role of fuel cells in the world's energy equation. If costs for all these emerging technologies can be reduced, their high efficiency should make them attractive alternatives to fossil fuel- and nuclear-generated electricity. The major concerns about fuel cell technologies include the inability of fuel cells to store power and the associated requirement that energy be generated on an as needed basis. Proposals have been made to use pumped water, compressed air, and batteries to store energy that is generated when times of demand are low.

For that reason, still more variations in the fuel cell are being explored. One of these future possibilities is a cell no more than 0.04–0.07 inch (1–2 mm) in diameter. These cells have the advantage of a greater electrode surface area on which oxidation and reduction occur than do conventional cells. The distance that electrons have to travel in such cells is also much shorter, resulting in their having a greater power output per unit volume than that of conventional cells. The technology for constructing and maintaining such small cells is not, however, fully developed. Because of more stringent clean air standards, the transportation industry is especially interested in increasing energy efficiency of the automobile industry. The utility of hybrid vehicles that use conventional fuels when accelerating, and fuel cells for highway driving is being investigated. These cars would use gasoline or methanol as a fuel source for both the conventional engine and as a source of hydrogen for the fuel cells. Fuel cells are also being researched for the aviation industry. An innovative approach is to couple photovoltaic or solar cell technology with fuel cell technology to provide a source of energy for the plane that could be used day or night.

In 2003 former U.S. President George W. Bush (1946–) proposed a Hydrogen Fuel Initiative (HFI) to promote development of hydrogen fuel cell technologies. The 2005 Energy Policy Act and the 2006 Advanced

Energy Initiative legislation supported the development of this technology and aimed for the production of commercial hydrogen-powered vehicles by the year 2020. The U.S. government supplied over \$1 billion to fuel cell development as of 2008. In 2009 the Obama administration decided to stop providing funds for hydrogen fuel cell development arguing that hydrogen fuel cell powered automobiles will not be practical in ten to twenty years. Nevertheless, hydrogen fuel cell uses are increasingly common, and fuel cell buses can be seen in many cities. In 2008 an automobile manufacturer released a hydrogen fuel cell car (Honda FCX Clarity), while the German navy's Type 212 class submarine uses a fuel cell propulsion system, which allows it to be submerged for up to three weeks. Development of and innovations in hydrogen fuel cell technologies will continue, but the U.S. government is primarily interested in technologies that show promise for reducing greenhouse gas emissions in the short-term. As of 2009 Daimler, Honda, Nissan, Hyundai, Ford, General Motors, Kia, Renault, and Toyota had announced intentions to produce hydrogen fuel-cell vehicles in the future.

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- Fuel Cells 2000, 1100 H Street NW, Suite 800, Washington, D.C., USA, 20005, (202) 785-4222, <http://www.fuelcells.org>
- U.S. DOE Energy Efficiency and Renewable Energy, 1100 H Street NW, Suite 800, <http://www.eere.energy.gov/>

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## Fuel switching

Fuel switching is the substitution of one energy source for another to meet requirements for heat, power, or electrical generation. Generally this term refers to the practices of some industries that can substitute among natural gas, electricity, coal, and LPG within thirty days without modifying their fuel-consuming equipment and that can resume the same level of production following the change. Price is the primary reason for fuel switching; however, additional factors may include environmental regulations, agreements with energy or fuel suppliers, and equipment capabilities.

## Fugitive emissions

Contaminants that enter the air without passing through a smokestack and, thus, are often not subject to control by conventional emission control equipment or techniques. Most fugitive emissions are caused by activities involving the production of dust, such as soil erosion and strip mining, building demolition, or the use of volatile compounds. In a steel-making complex, for example, there are several identifiable smokestacks from which emissions come, but there are also numerous sources of fugitive emissions, which escape into the air as a result of processes such as producing coke, for which there is no identifiable smokestack. The control of fugitive emissions is generally much more complicated and costly than the control of smokestack emissions for which known add-on technologies to the smokestack have been developed. Baghouses and other costly mechanisms typically are needed to control fugitive emissions.

## Fumigation

Most commonly, fumigation refers to the process of disinfecting a material or an area by using some type of toxic material in gaseous form. The term has a more specialized meaning in environmental science, where it refers to the process by which pollutants are mixed in the atmosphere. Under certain conditions, emitted pollutants rise above a stable layer of air near the ground. These pollutants remain aloft until convective currents develop, often in the morning, at which time the cooler pollutants trade places with air at ground level as it is warmed by the sun and rises. The resulting damage to ecosystems from the pollutants is most obvious around metal smelters.

## Fund for Animals

Founded in 1967 by author and humorist Cleveland Amory, the Fund for Animals is one of the most activist of the national animal protection groups. Formed “to speak for those who can’t,” it has led sometimes militant campaigns against sport hunting, trapping, and wearing furs, as well as the killing of whales, seals, bears, and other creatures. Amory, in particular, has campaigned tirelessly against these activities on television and radio, and in lectures, articles, and books.

In the early 1970s the Fund worked effectively to rally public opinion in favor of passage of the Marine Mammal Protection Act, which was signed into law in October 1972. This act provides strong protection for whales, seals and sea lions, dolphins, sea otters, polar bears, and other ocean mammals. In 1978 the Fund bought a British trawler and renamed it *Sea Shepherd*. Under the direction of its captain, Paul Watson, they used the ship to interfere with the baby seal kill on the ice floes off Canada. Activists sprayed some 1,000 baby harp seals with a harmless dye that destroyed the commercial value of their white coats as fur, and the ensuing publicity helped generate worldwide opposition to the seal kill and a ban on imports into Europe. In 1979 *Sea Shepherd* hunted down and rammed *Sierra*, an outlaw whaling vessel that was illegally killing protected and endangered species of whales. After *Sea Shepherd* was seized by Portuguese authorities, Watson and his crew scuttled the ship to prevent it from being given to the owners of *Sierra* for use as a whaler.

Also in 1979 the Fund used helicopters to airlift from the Grand Canyon almost 600 wild burros that were scheduled to be shot by the National Park Service.

The airlift was so successful and generated so much favorable publicity, that it led to similar rescues of feral animals on public lands that the government wanted removed to prevent damage to vegetation. Burros were also airlifted by the Fund from Death Valley National Monument, as were some 3,000 wild goats on San Clemente Island, off the coast of California, scheduled to be shot by the United States Navy.

Many of the wild horses, burros, goats, and other animals rescued by the Fund end up, at least temporarily before adoption, at Black Beauty Ranch, a 1430-acre (578-ha) sanctuary near Arlington, Texas. The ranch has provided a home for abused race and show horses, a non-performing elephant, and Nim, the famous signing chimpanzee who was saved from a medical laboratory.

Legal action initiated by the Fund has resulted in the addition of almost 200 species to the U.S. Department of the Interior’s list of threatened and endangered species, including the grizzly bear, the Mexican wolf, the Asian elephant, and several species of kangaroos. The Fund is also active on the grassroots level, working on measures to restrict hunting and trapping.

### Resources

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The Fund for Animals, 200 West 57th Street, New York, NY, USA, 10019, (212) 246-2096, (212) 246-2633, info@fundforanimals.org, <http://www.fundforanimals.org>

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## Fungi

Fungi are broadly characterized as cells that possess nuclei and rigid cell walls but lack chlorophyll. Fungal spores germinate and grow slender tube like structures called *hyphae*, separated by cell walls called *septae*. The vegetative biomass of most fungi in nature consists of masses of hyphae, or *mycelia*. Most species of fungi inhabit soil, where they are active in the decomposition of organic matter. The biologically most complex fungi periodically form spore-producing fruiting structures, known as mushrooms. Some fungi occur in close associations, known as *mycorrhizae*, with the roots of many species of vascular plants. The plant benefits mostly through an enhancement of nutrient uptake, while the fungus benefits through access to metabolites. Certain fungi are also partners in the symbioses with algae known as lichens.

*See also* Fungicide.

## Fungicide

A fungicide is a chemical that kills fungi, the tiny plant-like organisms that obtain their nourishment from dead or living organic matter. Examples of fungi include mushrooms, toadstools, smuts, molds, rusts, and mildew.

Fungicides can be important in protecting crops from the growth of fungi that cause disease (pathogens). The first known fungicide was the naturally occurring substance sulfur. One of the most effective of all fungicides, Bordeaux mixture, was invented in 1885. Bordeaux mixture is a combination of two inorganic compounds, copper sulfate and lime.

With the growth of the chemical industry during the twentieth century, researchers invented synthetic fungicides. Ferbam, ziram, captan, nabam, dithiocarbamate, quinone, and 8-hydroxyquinoline are examples of synthetic fungicides.

Compounds containing mercury and cadmium were popular fungicides. Methylmercury was widely used by farmers in the United States to protect growing plants and treat stored grains.

During the 1970s, however, evidence of a number of adverse effects of mercury- and cadmium-based fungicides began to emerge. The most serious effects were observed among birds and small animals who were exposed to sprays and dusting, or who ate treated grain. A few dramatic incidents of methylmercury poisoning among humans, however, were also recorded. The best known of these was the 1953 disaster at Minamata Bay, Japan, in which residents of the area developed nervous disorders ultimately linked to



Farmer spraying carrots with fungicide. (© IndexStock / SuperStock)

methylmercury in fish they had. The fungicides affected their ability to walk, caused mental disorders, and, in some, caused permanent disability.

The problems with mercury and cadmium compounds spurred the development of less toxic substitutes for the more dangerous fungicides. Dinocap, binapacryl, and benomyl are examples of less toxic compounds.

Another approach has been to use integrated pest management and to develop plants that are resistant to fungi. The latter approach was used with great success during the corn blight disaster of 1970. Researchers worked quickly to develop strains of corn that were resistant to the corn-leaf blight fungus and by 1971 had provided farmers with seeds of the new strain.

Research has also identified natural fungicides, which include tea tree oil, cinnamaldehyde, neem oil, and rosemary oil. The bacterium *Bacillus subtilis* can also function as a fungicide.

A problem with many fungicides is the development of resistance by the target organism, similar to the development of resistance by disease-causing bacteria to antibiotics. If the resistance arises because of a genetic change in the target organism, the resistance can be passed on to subsequent generations. As with antibiotics, a particular fungicide may need to be replaced with another as resistance develops.

*See also* Minamata disease.

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## Furans

Furans are by-products of natural and industrial processes and are considered environmental pollutants. They are chemical substances found in small amounts in the environment, including air, water, and soil, and are also present in some foods. Of particular concern

are the polychlorinated dibenzo furans (PCDFs), which although present in small amounts, are persistent and remain in the environment for long periods of time, accumulating in the food chain. The U.S. Environmental Protection Agency's (EPA) Persistent Bioaccumulative and Toxic (PBT) Chemical Program classifies furans as priority PBTs.

Furans belong to a class of organic compounds known as heterocyclic aromatic hydrocarbons. The basic furan structure is a five-membered ring consisting of four atoms of carbon and one oxygen. Various types of furans have additional atoms and rings attached to the basic furan structure. Some furans are used as solvents or as raw materials for synthesizing chemicals.

PCDFs are considered environmental pollutants of significant concern. These furans consist of three-ringed structures, with two rings of six carbon atoms each (benzene rings) attached to the furan. Between one and eight chlorine (Cl) atoms are attached to the rings. There are more than 135 types of PCDFs, whose properties are determined by the number and position of the chlorine atoms. PCDFs are closely related to polychlorinated dioxins (PCDDs) and polychlorinated biphenyls (PCBs). These three types of toxic compounds often occur together and PCDFs are major contaminants of manufactured PCBs. Production of PCBs ceased in 1977, but PCDDs and PCDFs are by-products of combustion and industrial processes. In fact, the term dioxin commonly refers to a subset of these compounds that have similar chemical structures and toxic mechanisms. This subset includes ten of the PCDFs, as well as seven of the PCDDs and twelve of the PCBs. Less frequently, the term dioxin is used to refer to all 210 structurally-related PCDFs and PCDDs, regardless of their toxicities.

PCDFs are present as impurities in various industrial chemicals and are trace byproducts of most types of combustion, including the incineration of chemical, industrial, medical, and municipal waste; the burning of wood, coal, and peat; and automobile emissions. Thus most PCDFs are released into the environment through smokestacks. However the backyard burning of common household trash in barrels has been identified as potentially one of the largest sources of dioxin and furan emissions in the United States. Because of the lower temperatures and inefficient combustion in burn barrels, burning releases more PCDFs than municipal incinerators. Some industrial chemical processes, including chlorine bleaching in pulp and paper mills, also produce PCDFs.

PCDFs that are released into the air can be carried by currents to all parts of the globe. Eventually they fall to earth and are deposited in soil, sediments, and surface water. Although furans are slow to volatilize and have a low solubility in water, they can wash from soils into bodies of water, evaporate, and be re deposited elsewhere. Furans have been detected in soils, surface waters, sediments, plants, and animals throughout the world, even in arctic organisms. They are very resistant to both chemical breakdown and biological degradation by microorganisms.

Most people have low, but detectable, levels of PCDDs and PCDFs in their tissues. Furans enter the food chain from soil, water, and plants. They bioaccumulate (build up in living tissues) at the higher levels of the food chain, particularly in fish and animal fat. The concentrations of PCDDs and PCDFs may be hundreds or thousands of times higher in aquatic organisms than in the surrounding waters. Most humans are exposed to furans through animal fat, milk, eggs, and fish. Some of the highest levels of furans are found in human breast milk. The presence of dioxins and furans in breast milk can lead to the development of soft, discolored molars in young children. Industrial workers can be exposed to furans while handling chemicals or during industrial accidents.

PCDFs bind to aromatic hydrocarbon receptors in cells throughout the body, causing a wide range of deleterious effects, including developmental defects in fetuses, infants, and children. Furans also may adversely affect the reproductive and immune systems. At high exposure levels, furans can cause chloracne, a serious acne-like skin condition. Furan itself, as well as PCDFs, are potential cancer-causing agents.

The switch from leaded to unleaded gasoline, the halting of PCB production in 1977, changes in paper manufacturing processes, and new air and water pollution controls, have reduced the emissions of furans. Between 1987 and 1995 there was an over 75 percent reduction in dioxin and furan release from known industrial sources in the United States due to regulatory actions as well as voluntary efforts by U.S. industry. In 1999 the United States, Canada, and Mexico agreed to cooperate to further reduce the release of dioxins and furans. As a requirement of the Clean Air Act (CAA), the "maximum achievable control technology" must be employed with regard to dioxin and furan release. Sources of dioxin and furan regulated by the CAA are pulp and paper manufacturing; municipal, medical, and hazardous waste incineration; and specific metals production and refining processes.

In May 2001 American EPA Administrator Christie Whitman (1946–), along with representatives from more than ninety other countries at the Stockholm Convention, signed the global treaty on Persistent Organic Pollutants (POPs). The treaty phases out the manufacture and use of twelve manmade toxic chemicals—the so-called dirty dozen—that persist in the environment for long periods of time without degrading. The goal is to reduce or eliminate the further release of POPs into the environment. The United States opposed a complete ban on furans and dioxins; thus, unlike eight of the other chemicals that were banned outright, the treaty calls for the use of dioxins and furans to be minimized and eliminated where feasible.

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- United Nations Environment Programme: Chemicals, 11–13, chemin des Ane;aamones, 1219 Châftelaine, Geneva, Switzerland, [opereira@unep.ch](mailto:opereira@unep.ch), <http://www.chem.unep.ch>
- United States Environmental Protection Agency, 1200 Pennsylvania Avenue, NW, Washington, DC, USA, 20460, (800) 490-9198, [public-access@epa.gov](mailto:public-access@epa.gov), <http://www.epa.gov>

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Fusion see **Nuclear fusion**.

## Future generations

According to demographers, a generation is an age-cohort of people born, living, and dying within a few years of each other. Human generations are roughly defined categories, and the demarcations are not as distinct as they are in many other species. As the Scottish philosopher David Hume noted in the eighteenth century, generations of human beings are not like generations of butterflies, who come into existence, lay their eggs, and die at about the same time, with the next generation hatching thereafter. But distinctions can still be made, and future generations are all age-cohorts of human beings who have not yet been born.

The concept of future generations is central to environmental ethics and environmental policy, because the health and well-being of human beings depends on how people living today care for the natural environment.

Proper stewardship of the environment affects not only the health and well-being of people in the future but also their character and identity. In *The Economy of the Earth*, Mark Sagoff compares environmental damage to the loss of our rich cultural heritage. The loss of all our art and literature would deprive future generations of the benefits other generations have enjoyed and render them nearly illiterate. By the same token, if humankind destroyed all the wildernesses and dammed all the rivers, allowing environmental degradation to proceed at the same pace, it would do more than deprive people of the pleasures others have known. It would make them into what Sagoff calls "environmental illiterates," or "yahoos" who would neither know nor wish to experience the beauties and pleasures of the natural world. "A pack of yahoos," says Sagoff, "will like a junkyard environment" because they will have known nothing better.

The concept of future generations emphasizes both our ethical and aesthetic obligations to our environment. In relations between existing and future generations, however, the present generation holds all the power. While it is possible to affect them, they can do nothing to affect the past. Though, as some environmental philosophies have argued, moral code is in large degree based on reciprocity, the relationship between generations cannot be reciprocal. Adages such as "like for like," and "an eye for an eye," can apply only among contemporaries. Because an adequate environmental ethic would require that moral consideration be extended to

include future people, views of justice based on the norm of reciprocity may be inadequate.

A good deal of discussion has gone into what an alternative environmental ethic might look like and on what it might be based. But perhaps the important point to note is that the treatment of generations yet unborn has now become a lively topic of philosophical discussion and political debate.

*See also* Environmental education; Environmentalism; Intergenerational justice.

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Terence Ball





# G

## Gaia hypothesis

The Gaia hypothesis was developed by British biochemist James Lovelock, and it incorporates two older ideas. First, the idea implicit in the ancient Greek term *Gaia*, that Earth is the mother of all life, the source of sustenance for all living beings, including humans. Second, the idea that life on Earth and many of Earth's physical characteristics have coevolved, changing each other reciprocally as the generations and centuries pass.

Lovelock's theory contradicts conventional wisdom, which holds "that life adapted to the planetary conditions as it and they evolved their separate ways." The Gaia hypothesis is a startling break with tradition for many, although ecologists have been teaching the coevolution of organisms and habitat for at least several decades, albeit more often on a local than a global scale.

The hypothesis also states that Gaia will persevere no matter what humans do. This is undoubtedly true, but the question remains: in what form, and with how much diversity? If humans don't change the nature and scale of some of their activities, Earth could change in ways that people may find undesirable—loss of biodiversity, more "weed" species, increased desertification, etc.

Many people, including Lovelock, take the Gaia hypothesis a step further and call Earth itself a living being, a long-discredited organismic analogy. Recently a respected environmental science textbook defined the Gaia hypothesis as a "proposal that Earth is alive and can be considered a system that operates and changes by feedbacks of information between its living and nonliving components." Similar sentences can be found quite commonly, even in the scholarly literature, but upon closer examination they are not persuasive. A furnace operates via a positive and negative feedback system—does that imply it is alive? Of course not. The important message in Lovelock's hypothesis

is that the health of Earth and the health of its inhabitants are inextricably intertwined.

*See also* Balance of nature; Biological community; Biotic community; Ecology; Ecosystem; Environment; Environmentalism; Evolution; Nature; Sustainable biosphere.

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Gerald L. Young

## Galápagos Islands

Within the theory of evolution, the concept of adaptive radiation (evolutionary development of several species from a single parental stock) has had as its



Coastline in the Galápagos Islands. (Dr. Morley Reed/Shutterstock.com)

prime example, a group of birds known as Darwin's finches. Charles Darwin discovered and collected specimens of these birds from the Galápagos Islands in 1835 on his five-year voyage around the world aboard the HMS *Beagle*. His cumulative experiences, copious notes, and vast collections ultimately led to the publication of his monumental work, *On the Origin of Species*, in 1859. The Galápagos Islands and their unique assemblage of plants and animals were an instrumental part of the development of Darwin's evolutionary theory.

The Galápagos Islands are located at 90° W longitude and 0° latitude (the equator), about 600 miles (965 km) west of Ecuador. These islands are volcanic in origin and are about 10 million years old. The original colonization of the Galápagos Islands occurred by chance transport over the ocean as indicated by the gaps in the flora and fauna of this archipelago compared to the mainland. Of the hundreds of species of birds along the northwestern South American coast, only seven species colonized the Galápagos

Islands. These evolved into 57 resident species, 26 of which are endemic to the islands, through adaptive radiation. The only native land mammals are a rat and a bat. The land reptiles include iguanas, a single species each of snake, lizard, and gecko, and the Galápagos giant tortoise (*Geochelone elephantopus*). No amphibians and few insects or mollusks are found in the Galápagos. The flora has large gaps as well—no conifers or palms have colonized these islands. Many of the open niches have been filled by the colonizing groups. The tortoises and iguanas are large and have filled niches normally occupied by mammalian herbivores. Several plants, such as the prickly pear cactus, have attained large size and occupy the ecological position of tall trees.

The most widely known and often used example of adaptive radiation is Darwin's finches, a group of fourteen species of birds that arose from a single ancestor in the Galápagos Islands. These birds have specialized on different islands or into niches normally filled by other groups of birds. Some are strictly seed

eaters, while others have evolved more warbler-like bills and eat insects, still others eat flowers, fruit, and/or nectar, and others find insects for their diet by digging under the bark of trees, having filled the niche of the woodpecker. Darwin's finches are named in honor of their discoverer, but they are not referred to as Galápagos finches because there is one of their numbers that has colonized Cocos Island, located 425 miles (684 km) north-northeast of the Galápagos.

Because of the Galápagos Islands' unique ecology, scenic beauty and tropical climate, they have become a mecca for tourists and some settlement. These human activities have introduced a host of environmental problems, including introduced species of goats, pigs, rats, dogs, and cats, many of which become feral and damage or destroy nesting bird colonies by preying on the adults, young, or eggs. Several races of giant tortoise have been extirpated or are severely threatened with extinction, primarily due to exploitation for food by humans, destruction of their food resources by goats, or predation of their hatchlings by feral animals. Most of the thirteen recognized races of tortoise have populations numbering only in the hundreds. Three races are tenuously maintaining populations in the thousands, one race has not been seen since 1906, but it is thought to have disappeared due to natural causes, another race has a population of about twenty-five individuals, and the Pinta Island tortoise is represented today by only one individual, "Lonesome George," a captive male at the Charles Darwin Biological Station. For most of these tortoises to survive, an active capture or extermination program of the feral animals will have to continue. One other potential threat to the Galápagos Islands is tourism. Thousands of tourists visit these islands each year and their numbers can exceed the limit deemed sustainable by the Ecuadorian government. These tourists have had, and will continue to have, an impact on the fragile habitats of the Galápagos.

See also Ecotourism; Endemic species.

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Eugene C. Beckham

## Galdikas, Birute M.

1948–  
Lithuanian/Canadian primatologist

One of the world's leading expert on orangutans, Birute Galdikas has dedicated much of her life to studying the orangutans of Indonesia's Borneo and Sumatra islands. Her work, which has complemented that of such other scientists as Dian Fossey and Jane Goodall, has led to a much greater understanding of the primate world and more effective efforts to protect orangutans from the effects of human infringement. Galdikas has also been credited with providing valuable insights into human culture through her decades of work with primates. She discusses this aspect of her work in her 1995 autobiography, *Reflections of Eden: My Years with the Orangutans of Borneo*.

Galdikas was born on May 10, 1948 in Wiesbaden in what was then West Germany, while her family was en route from their native Lithuania. She was the first of four children. The family moved to Toronto,



Primatologist Birute M. Galdikas was sent by anthropologist Louis Leakey to Asia and Africa to study great apes. (Irwin Fedriansyah)

Canada when she was two, and she grew up in that city. As a child, Galdikas was already enamored of the natural world, and spent much of her time in local parks and reading books on jungles and their inhabitants. She was already especially interested in orangutans. The Galdikas family eventually moved to Los Angeles, where Birute attended the local campus of the University of California. She earned a BA there in 1966 and immediately began work on a master's degree in anthropology. Galdikas had already decided to start a long-term study of orangutans in the rain forests of Indonesia, where most of the world's last remaining wild orangutans live.

Galdikas began to realize her dream in 1969 when she approached famed paleoanthropologist Louis Leakey after he gave a lecture at UCLA. Leakey had helped launch the research efforts of Fossey and Goodall, and she asked him to do the same for her. He agreed, and by 1971 had helped her raise enough money to get started. With her first husband, Galdikas traveled to southern Borneo's Tanjung Puting National Park in East Kalimantan to start setting up her research station. Challenges such as huge leeches, extremely toxic plants, perpetual dampness, swarms of insects, and aggressive viruses slowed Galdikas down, but did not ruin her enthusiasm for her new project.

After finally locating the area's elusive orangutan population, Galdikas faced the difficulty of getting the shy animals accustomed enough to her presence that they would permit her to watch them even from a distance.

Once Galdikas accomplished this, she was able to begin documenting some of the traits and habits of the little-studied orangutans. She compiled a detailed list of staples in the animals' diets, discovered that they occasionally eat meat, and recorded their complex behavioral interactions.

Eventually, the animals came to accept Galdikas and her husband so thoroughly that their camp was often overrun by them. Galdikas recalled in a 1980 National Geographic article that she sometimes felt as though she were "surrounded by wild, unruly children in orange suits who had not yet learned their manners." Meanwhile, she applied her findings to her UCLA education, earning both her master's degree and doctorate in 1978.

During her first decade on Borneo, Galdikas founded the Orangutan Project, which has since been funded by such organizations as the National Geographic Society, the World Wildlife Fund, and Earthwatch. The Project

not only carries out primate research, but also rehabilitates hundreds of former captive orangutans. She also founded the Los Angeles-based nonprofit Orangutan Foundation International in 1987.

From 1996 to 1998, Galdikas served as a senior adviser to the Indonesian Forestry Ministry on orangutan issues as that government attempted to rectify the mistreatment of the animals and the mismanagement of their dwindling rain forest habitat. As part of these efforts, the Jakarta government also helped Galdikas establish the Orangutan Care Center and Quarantine near Pangkalan Bun, which opened in 1999. This center has since cared for many of the primates injured or displaced by the devastating fires in the Borneo rain forest in 1997–1998.

Divorced in 1979, Galdikas married a native Indonesian man of the Dayak tribe in 1981. She has one son with her first husband and two children with her second. Galdikas and her second husband, Galdikas is a professor at Simon Fraser University in Canada and a professor extraordinaire at Universitas Nasional in Indonesia.

Acolades she has received include the PETA Humanitarian Award (1990), United Nations Global 500 Award (1993), and the Tyler Prize for Environmental Achievement (1997). She is also an Officer of the Order of Canada.

In the late 1990s, questions were raised regarding the legality and treatment of orangutans at her home in Indonesia. Charges were never laid and Galdikas publicly declared the incident to be a malicious attempt by critics to discredit her and her work.

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## Game animal

Birds and mammals commonly hunted for sport. The major groups include upland game birds (quail, pheasant, and partridge), waterfowl (ducks and geese), and big game (deer, antelope, and bears). Game animals are protected to varying degrees throughout most of the world, and hunting levels are regulated through the licensing of hunters as well as by seasons and bag limits. In the United States, state wildlife agencies assume primary responsibility for enforcing hunting regulations,

particularly for resident or non-migratory species. The Fish and Wildlife Service shares responsibility with state agencies for regulating harvests of migratory game animals, principally waterfowl.

## Game preserves

Game preserves (also known as game reserves, or wildlife refuges) are a type of protected area in which hunting of certain species of animals is not allowed, although other kinds of resource harvesting may be permitted. Game preserves are usually established to conserve populations of larger game species of mammals or waterfowl. The protection from hunting allows the hunted species to maintain relatively large populations within the sanctuary. However, animals may be legally hunted when they move outside of the reserve during their seasonal migrations or when searching for additional habitat.

Game preserves help to ensure that populations of hunted species do not become depleted through excessive harvesting throughout their range. This conservation allows the species to be exploited in a sustainable fashion over the larger landscape.

Although hunting is not allowed, other types of resource extraction may be permitted in game reserves, such as timber harvesting, livestock grazing, some types of cultivated agriculture, mining, and exploration and extraction of fossil fuels. However, these land-uses are managed to ensure that the habitat of game species is not excessively damaged. Some game preserves are managed as true ecological reserves, where no extraction of natural resources is allowed. However, low-intensity types of land-use may be permitted in these more comprehensively protected areas, particularly non-consumptive recreation such as hiking and wildlife viewing.

### Game preserves as a tool in conservation

The term “conservation” refers to the sustainable use of natural resources. Conservation is particularly



Zebras and giraffes in the Masai-Mara Game Reserve, Kenya. (© Cusp / SuperStock)

relevant to the use of renewable resources, which are capable of regenerating after a portion has been harvested. Hunted species of animals are one type of renewable resource, as are timber, flowing water, and the ability of land to support the growth of agricultural crops. These renewable resources have the potential to be harvested forever, as long as the rate of exploitation is equal to or less than the rate of regeneration. However, potentially renewable resources can also be harvested at a rate exceeding their regeneration. This is known as over-exploitation, a practice that causes the stock of the resource to decline and may even result in its irretrievable collapse.

Wildlife managers can use game preserves to help conserve populations of hunted species. Other methods of conservation of game animals include: (1) regulation of the time of year when hunting can occur; (2) setting of “bag limits” that restrict the maximum number of animals that any hunter can harvest; (3) limiting the total number of animals that can be harvested in a particular area, and; (4) restricting the hunt to certain elements of the population. Wildlife managers can also manipulate the habitat of game species so that larger, more productive populations can be sustained, for example by increasing the availability of food, water, shelter, or other necessary elements of habitat. In addition, wildlife managers may cull the populations of natural predators to increase the numbers of game animals available to be hunted by people.

Some or all of these practices, including the establishment of game preserves, may be used as components of an integrated game management system. Such systems may be designed and implemented by government agencies that are responsible for managing game populations over relatively large areas such as counties, states, provinces, or entire countries.

Conservation is intended to benefit humans in their interactions with other species and ecosystems, which are utilized as valuable natural resources. When defined in this way, conservation is very different from the preservation of indigenous species and ecosystems for their ecocentric and biocentric values, which are considered important regardless of any usefulness to humans or their economic activities.

### Examples of game preserves

The first national wildlife refuge in the United States was established by President Theodore Roosevelt in 1903. This was a breeding site for brown pelicans (*Pelecanus occidentalis*) and other birds in Florida. The U.S. national system of wildlife refuges now totals over 580 sites covering 150 million acres

(61 million ha); an additional 84.4 million acres (34 million ha) of habitat are protected in national parks and monuments. The largest single wildlife reserve is the Arctic National Wildlife Refuge, which covers 19.3 million acres (7.8 million ha); in fact, about 85 percent of the national area of wildlife refuges is in Alaska. Most of the national wildlife refuges protect migratory, breeding, and wintering habitats for waterfowl, but others are important for large mammals and other species. Some wildlife refuges have been established to protect critical habitat of endangered species, such as the Aransas Wildlife Refuge in coastal Texas, which is the primary wintering grounds of the whooping crane (*Grus americana*). Since 1934, sales of *Migratory Bird Hunting Stamps*, or “duck stamps,” have been critical to providing funds for the acquisition and management of federal wildlife refuges in the United States.

Although hunting is not permitted in many National wildlife refuges, closely regulated hunting was permitted in 60 percent of the refuges, and fishing was allowed in 50 percent. In addition, some other resource-related activities are allowed in some refuges. Depending on the site, it may be possible to harvest timber, graze livestock, engage in other kinds of agriculture, or explore for or mine metals or fossil fuels. The various components of the multiple-use plans of particular national wildlife refuges are determined by the Secretary of the Interior. Any of these economically important activities may cause damage to wildlife habitats, and this has resulted in intense controversy between economic interests and some environmental groups. Environmental organizations such as the Sierra Club, Ducks Unlimited, and the Audubon Society have lobbied federal legislators to further restrict exploration and extraction in national wildlife refuges, but business interests demand greater access to valuable resources within national wildlife refuges.

Many states and provinces also establish game preserves as a component of wildlife-management programs on their lands. For example, many jurisdictions in eastern North America have set up game preserves for management of populations of white-tailed deer (*Odocoileus virginianus*), a widely hunted species. Game preserves are also used to conserve populations of mule deer (*Odocoileus hemionus*) and elk (*Cervus canadensis*) in more western regions of North America.

Some other types of protected areas, such as state, provincial, and national parks are also effective as wildlife preserves. These protected areas are not primarily established for the conservation of natural resources—rather, they are intended to preserve natural ecosystems and wild places for their intrinsic value.

Nevertheless, relatively large and productive populations of hunted species often build up within parks and other large ecological reserves, and the surplus animals are commonly hunted in the surrounding areas. In addition, many protected areas are established by non-governmental organizations, such as The Nature Conservancy, which has preserved more than 17 million acres (6.9 million ha) of natural habitat throughout the United States.

Yellowstone National Park is one of the most famous protected areas in North America. Hunting is not allowed in Yellowstone, and this has allowed the build-up of relatively large populations of various species of big-game mammals, such as white-tailed deer, elk, bison (*Bison bison*), and grizzly bear (*Ursus arctos*). Because of the large populations in the park, the overall abundance of game species on the greater landscape is also larger. This means that a relatively high intensity of hunting can be supported. This is considered important because it provides local people with meat and subsistence as well as economic opportunities through guiding and the marketing of equipment, accommodations, food, fuel, and other necessities to non-local hunters.

By providing a game-preserve function for the larger landscape, wildlife refuges and other kinds of protected areas help to ensure that hunting can be managed to allow populations of exploited species to be sustained, while providing opportunities for people to engage in subsistence and economic activities.

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Bill Freedman

## Gamma ray

High energy forms of electromagnetic radiation with high frequency and very short wavelengths. Gamma rays are emitted by cosmic sources or by radioactive decay of atomic nuclei, which occurs during nuclear reactions or the detonation of nuclear weapons. Gamma rays are the most penetrating of all forms of nuclear radiation. They travel about one hundred times deeper into human tissue than beta particles and 10,000 times deeper than alpha particles, although they release much less energy as a function of the distance they

travel. Nevertheless gamma rays cause chemical changes in cells through which they pass. Such radiation causes changes that can result in the cells' death or the loss of their ability to function properly. Organisms exposed to gamma rays may suffer illness, genetic damage, or death. The penetrative power of gamma photons is useful for many applications. For instance, gamma-emitting radionuclides, such as cesium-137 ( $^{137}\text{Cs}$ ) and cobalt-60 ( $^{60}\text{Co}$ ), are used for cancer treatment to target the cancer cells. Gamma emitters are also used in sterilization of medical equipment, measurement of soil density, pasteurization of foods and spices, and for medical diagnostics. Cosmic gamma rays do not usually pose a danger to life because they are absorbed as they travel through the atmosphere, but are a source of mutations in genetic material that act as an evolutionary drive.

*See also* Ionizing radiation; Radiation exposure; Radioactive fallout.

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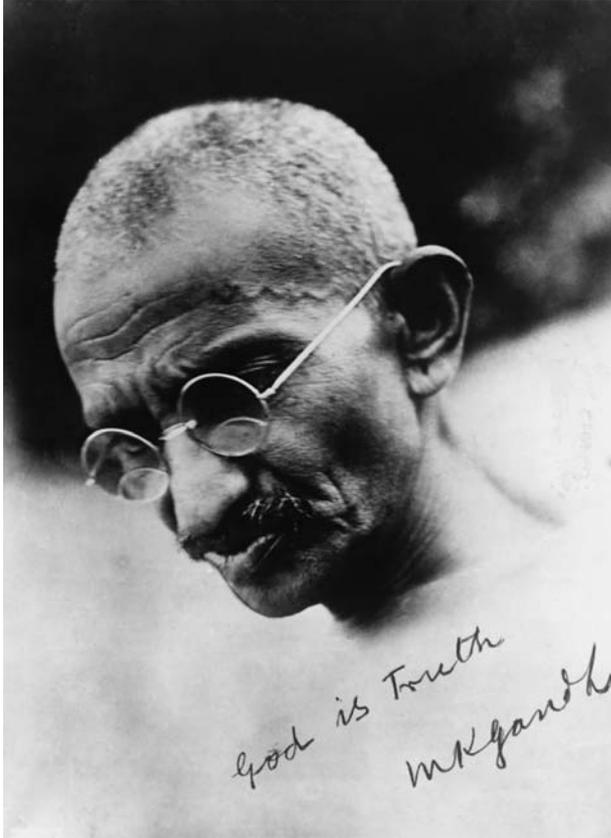
## Gandhi, Mohandas Karamchand

1869–1948

Indian Religious leader

Mohandas Karamchand Gandhi led the movement that freed India from colonial occupation by the British. His leadership was based not only on his political vision but also on his moral, economic, and personal philosophies. Gandhi's beliefs have influenced many political movements throughout the world, including the civil rights movement in the United States, but their relevance to the modern environmental movement has not been widely recognized or understood until recently.

In developing the principles that would enable the Indian people to form a united independence movement, one of Gandhi's chief concerns was preparing the groundwork for an economy that



A portrait of Mohandas Gandhi, called Mahatma ("great-souled") by his followers. (© Hulton-Deutsch Collection/CORBIS)

would allow India to be both self-sustaining and egalitarian. He did not believe that an independent economy in India could be based on the Western model; he considered a consumer economy of unlimited growth impossible in his country because of the huge population base and the high level of poverty. He argued instead for the development of an economy based on the careful use of indigenous natural resources. His was a philosophy of conservation, and he advocated a lifestyle based on limited consumption, sustainable agriculture, and the utilization of labor resources instead of imported technological development.

Gandhi's plans for India's future were firmly rooted both in moral principles and in a practical recognition of its economic strengths and weaknesses. He believed that the key to an independent national economy and a national sense of identity was not only indigenous resources but indigenous products and industries. Gandhi made a point of wearing only homespun, undyed cotton clothing that had been hand-

woven on cottage looms. He anticipated that the practice of wearing homespun cotton cloth would create an industry for a product that had a ready market, for cotton was a resource that was both indigenous and renewable. He recognized that India's major economic strength was its vast labor pool, and the low level of technology needed for this product would encourage the development of an industry that was highly decentralized. It could provide employment without encouraging mass migration from rural to urban areas, thus stabilizing rural economies and national demography. The use of cotton textiles would also prevent dependence on expensive synthetic fabrics that had to be imported from Western nations, consuming scarce foreign exchange. He also believed that synthetic textiles were not suited to India's climate, and that they created an undesirable distinction between the upper classes that could afford them and the vast majority that could not.

The essence of his economic planning was a philosophical commitment to living a simple lifestyle based on need. He believed it was immoral to kill animals for food and advocated vegetarianism; advocated walking and other simple forms of transportation contending that India could not afford a car for every individual; and advocated the integration of ethical, political, and economic principles into individual lifestyles. Although many of his political tactics, particularly his strategy of civil disobedience, have been widely embraced in many countries, his economic philosophies have had a diminishing influence in a modern, independent India, which has been pursuing sophisticated technologies and a place in the global economy. But to some, his work seems increasingly relevant to a world with limited resources and a rapidly growing population.

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Usha Vedagiri  
Douglas Smith

## Garbage

In 2007, the United States generated over 254 million tons of municipal solid waste, compared with 195 million tons in 1990, according to Environmental Protection Agency (EPA) estimates. On average, each person generated 4.6 pounds (2.1 kg) of such waste per day in 2007, and the EPA expects that amount to continue increase. That waste includes cans, bottles, newspapers, paper and plastic packages, uneaten food, broken furniture and appliances, old tires, lawn clippings, and other refuse. This waste can be placed in landfills, incinerated, recycled, or in some cases composted.

Landfilling—waste disposed of on land in a series of layers that are compacted and covered, usually with soil—is the main method of waste management in this country, accounting for about 54 percent of the waste. But old landfills are being closed and new ones are hard to site because of community opposition. Landfills once were open dumps, causing unsanitary conditions, methane explosions, and releases of hazardous chemicals into groundwater and air. Old dumps make up 22 percent of the sites on the Superfund National Priorities List. Today, landfills must have liners, gas collection systems, and other controls mandated under Subtitle D of the Resource Conservation and Recovery Act (RCRA).

Incineration has been popular among solid waste managers because it helps to destroy bacteria and toxic chemicals and to reduce the volume of waste. But public opposition, based on fears that toxic metals and other chemical emissions will be released from incinerators, has made the siting of new facilities extremely difficult. In the past, garbage burning was done in open fields, in dumps, or in backyard drums, but the Clean Air Act (1970) banned open burning, leading to new types of incinerators, most of which are designed to generate energy.

Recycling, which consists of collecting materials from waste streams, preparing them for market, and using those materials to manufacture new products, is catching national attention as a desirable waste management method. All states and the District of Columbia have some type of statewide recycling law aimed at promoting greater recycling of glass, paper, metals, plastics, and other materials. Used oil, household batteries, and lead-acid automotive batteries are recyclable waste items of particular concern because of their toxic constituents.

Composting is a waste management approach that relies on heat and microorganisms—mostly bacteria and fungi—to decompose yard wastes and food scraps, turning them into a nutrient-rich mix called humus or

compost. This mix can be used as fertilizer. However, as with landfills and incinerators, composting facilities have been difficult to site because of community opposition, in part because of the disagreeable smell generated by some composting practices.

Recently, waste managers have shown interest in source reduction, reducing either the amount of garbage generated in the first place or the toxic ingredients of garbage. Reusable blankets instead of throw-away cardboard packaging for protecting furniture is one example of source reduction. Businesses are regarded as a prime target for source reduction, such as implementing double-sided photocopying to save paper, because the approach offers potentially large cost savings to companies.

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David Clarke

## Garbage Project

The Garbage Project was founded in 1973, shortly after the first Earth Day, by William Rathje, professor of anthropology, and fellow archaeologists at the

University of Arizona. The objective was to apply the techniques and tools of their science to the study of modern civilization by analyzing its garbage.

Using sample analysis and assessing biodegradation, they also hoped to increase their understanding of resource depletion and environmental and landfill-related problems. Because it requires sunlight, moisture, and oxygen, as well as organic material and bacteria, little biodegradation actually takes place in landfills, resulting in perfectly preserved heads of lettuce, forty-year-old hot dogs, and completely legible fifty-year-old newspapers.

In *Rubbish: The Archaeology of Garbage*, published in 1992, Rathje and *Atlantic Monthly* managing editor Cullen Murphy discuss some of the data gleaned from the project. For example, the accumulation of refuse has raised the City of New York 6–30 feet (1.8–9 m) since its founding. In 1992, the largest proportion—40 percent—of landfilled garbage was paper, followed by the leftovers from building construction and demolition. In fact, newspapers alone made up about 13 percent of the total volume of trash in 1992.

Just as interesting as what they found was what they did not find. Contrary to much of public opinion, fast-food packaging made up only one-third of 1 percent of the total volume of trash landfilled between 1980 and 1989, while expanded polystyrene foam accounted for no more than 1 percent. Even disposable diapers averaged out at only 1 percent by weight of the total solid waste contents (1.4 percent by volume). Of all the garbage examined, plastics constituted from 20–24 percent. Surveys of several national landfills revealed that organic materials made up 40–52 percent of the total volume of waste.

The Garbage Project also debunked the idea that the United States is running out of space for landfills. While it is true that many landfills have been shut down, it is also true that many of those were quite small to begin with and that they now pose fewer environmental hazards. It is estimated that one landfill 120 feet (35.4 m) deep and measuring 44 square miles (71 km<sup>2</sup>) would adequately handle the needs of the entire nation for the next 100 years (assuming current levels of waste production).

In “A Perverse Law of Garbage,” Rathje extrapolated from “Parkinson’s Law” to define his Parkinson’s Law of Garbage: “Garbage expands so as to fill the receptacles available for its containment.” As evidence he cites a Garbage Project study of the recent mechanization of garbage pick-up in some larger cities and the ensuing effects. As users were provided with increasingly larger receptacles (in order to accommodate the mechanized trucks), they continued to fill

them up. Rathje attributes this to the newfound convenience of disposing of that which previously had been consigned to the basement or secondhand store, and concludes that the move to automation may be counterproductive to any attempt to reduce garbage and increase recycling.

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Ellen Link

## Garbology

The study of garbage, through either archaeological excavation of landfills or analysis of fresh garbage, to determine what the composition of municipal solid waste says about the society that generated it. The term is associated with the Garbage Project of the University of Arizona (Tucson), co-directed by William Rathje and Wilson Hughes, which began studying trash in 1973 and excavating landfills in 1987.

Gardens see **Botanical garden; Organic gardening and farming.**

## Gasohol

Gasohol is a term used for the mixture of ethyl alcohol (also called ethanol or grain alcohol) with gasoline. Ethanol raises the octane rating of lead-free automobile fuel and significantly decreases the carbon monoxide released from tailpipes. Ethanol raises the vapor pressure of gasoline, and it has been reported to increase the release of “evaporative” volatile hydrocarbons from the fuel system and oxides of nitrogen from the exhaust. These substances are components of urban smog, and thus the role of ethanol in reducing pollution is controversial.

The production of fuel ethanol from corn and other crops has been criticized both as a net energy

consumer (or poor net-energy producer) and as injurious to the world's poor. In early 2008, food shortages gripped much of the world, with riots against high prices becoming more common in some poor countries. Food prices worldwide had risen 83 percent since 2005, according to the World Bank. Although there were a number of causes for rising prices, including a drought in Australia that lowered production of rice, the main food of over half the world's population, food experts agreed that the push to raise crops for ethanol biofuel for vehicles was part of the problem. Biofuel manufacturers compete directly with food buyers in the market for corn, and high demand for biofuel crops causes growers to switch acreage away from food production. The result is higher food prices.

## Gasoline

Crude oil in its natural state has very few practical uses. However, when it is separated into its component parts by the process of fractionation, or

refining, those parts have an almost unlimited number of applications.

In the first sixty years after the process of petroleum refining was invented, the most important fraction produced was kerosene, widely used as a home heating product. The petroleum fraction slightly lighter than kerosene—gasoline—was regarded as a waste product and discarded. Not until the 1920s, when the automobile became popular in the United States, did manufacturers find any significant use for gasoline. From then on, however, the importance of gasoline has increased with automobile use.

The term gasoline refers to a complex mixture of liquid hydrocarbons that condense in a fractionating tower at temperatures between 100° and 400° Fahrenheit (40° and 205° C). The hydrocarbons in this mixture are primarily single- and double-bonded compounds containing five to twelve carbon atoms.

Gasoline that comes directly from a refining tower, known as naphtha or “straight-run” gasoline, was an adequate fuel for the earliest motor vehicles. But as improvements in internal combustion engines



Refilling a car with a gas pump. (zhu difeng/Shutterstock.com)

were made, problems began to arise. The most serious problem was “knocking.”

If a fuel burns too rapidly in an internal combustion engine, it generates a shock wave that makes a “knocking” or “pinging” sound. The shock wave will, over time, also cause damage to the engine. The hydrocarbons that make up straight-run gasoline proved to burn too rapidly for automotive engines developed after 1920.

Early in the development of automotive fuels, engineers adopted a standard for the amount of knocking caused by a fuel and, hence, for the fuel’s efficiency. That standard was known as “octane number.” To establish a fuel’s octane number, it is compared with a very poor fuel (n-heptane), assigned an octane number of zero, and a very good fuel (isooctane), assigned an octane number of 100. The octane number of straight-run gasoline is anywhere from 50 to 70.

As engineers made more improvements in automotive engines after the 1920s, chemists tried to keep pace by developing better fuels. One approach they used was to subject straight-run gasoline (as well as other crude oil fractions) to various treatments that changed the shape of hydrocarbon molecules in the gasoline mixture. One such method, called cracking, involves the heating of straight-run gasoline or another petroleum fraction to high temperatures. The process results in a better fuel from newly-formed hydrocarbon molecules.

Another method for improving the quality of gasoline is catalytic reforming. In this case, the cracking reaction takes place over a catalyst such as copper, platinum, rhodium, or other “noble” metal, or a form of clay known as zeolite. Again, hydrocarbon molecules formed in the fraction are better fuels than straight-run gasoline. Gasoline produced by catalytic cracking or reforming has an octane number of at least eighty.

A very different approach to improving gasoline quality is the use of additives, chemicals added to gasoline to improve the fuel’s efficiency. Automotive engineers learned more than fifty years ago that adding as little as two grams of tetraethyl lead to one gallon of gasoline raises its octane number by as much as ten points.

Until the 1970s, most gasoline contained tetraethyl lead. Then, concerns began to grow about the release of lead to the environment during the combustion of gasoline. Lead concentrations in urban air had reached a level five to ten times that of rural air. Residents of countries with few automobiles, such as Nepal, had only one-fifth the lead in their bodies as did residents of nations with many automotive vehicles.

The toxic effects of lead on the human body have been known for centuries, and risks posed by leaded gasoline became a major concern. In addition, leaded gasoline became a problem because it damaged a car’s catalytic converter, which reduced air pollutants in exhaust.

Finally, in 1973, the Environmental Protection Agency (EPA) acted on the problem and set a time-scale for the gradual elimination of leaded fuels. According to this schedule, the amount of lead was to be reduced from 2 to 3 grams per gallon (the 1973 average) to 0.5 g/gal by 1979. Ultimately, the additive was to be totally eliminated from all gasoline.

The elimination of leaded fuels was made possible by the invention of new additives. One of the most popular is methyl tert-butyl ether (MTBE). By 1988, MTBE had become so popular that it was among the forty most widely produced chemicals in the United States. MTBE is highly soluble in water, however, which allows it to spread easily in moist environments and contaminate groundwater and soil. Consequently, as of 2007, twenty-five states had enacted legislation to phase out the use of MTBE.

Yet another approach to improving fuel efficiency is the mixing of gasoline and ethyl or methanol. This product, known as gasohol, has the advantage of high octane rating, lower cost, and reduced emission of pollutants, compared to normal gasoline.

*See also* Air pollution; Renewable energy.

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David E. Newton

## Gasoline tax

Gasoline taxes include federal, state, county, and municipal taxes imposed on gasoline motor vehicle fuel. In the United States, most of the federal tax is

used to fund maintenance and improvements in such transportation infrastructures as interstate highways. As of 2010, the federal excise tax for gasoline stood at 18.4 cents per gallon, and state excise taxes ranged from 8 cents in Alaska to 46.6 cents in California. As of 2010, the national average state tax was 29 cents per gallon. In total, the U.S. national average gasoline tax (combining federal and state taxes) was 47.4 cents per gallon.

Oregon became the first state to institute a tax on gasoline in 1919. By the time the federal government established its own 1 cent gas tax in 1932, every state had a gas tax. After several small increases in the 1930s and 1940s, the gas tax was raised to 3 cents to finance the Highway Trust Fund in 1956. The Trust Fund was earmarked to pay for federal interstate construction and other road work. In 1982, the federal gasoline tax was increased to 9 cents to fund road maintenance and mass transit. The tax was hiked again in 1990 to 14.1 cents, and to 18.4 cents in 1993—where it remained as of 2010.

Over this time, gasoline prices increased from about 20 cents per gallon in 1938 to a U.S. national average of 280.6 cents in November 2010. The average national gasoline tax (both federal and a weighted average of state taxes) accounts for approximately 16 percent of the retail price of a gallon of gas.

In some countries, diesel fuels are taxed and priced less than gasoline. Commercial vehicles are major consumers of diesel, and lower taxes avoid undue impacts on trucking and commerce. In the United States, diesel is taxed at a higher rate than gasoline—an average of 52.5 cents per gallon (including 24.4 cents federal tax and the weight average of state taxes).

Although federal gasoline taxes are a manufacturer's excise tax, meaning that the government collects the tax directly from the manufacturer, rate hikes are often passed on to consumers at the pump. In this light, gasoline taxes have been criticized as regressive and thus inequitable, i.e., lower income individuals pay a greater share of their income as tax than higher income individuals. Also, the tax as a share of the pump price has been increasing.

Politics often play a large factor in setting gasoline taxes. In May 1996, Congress attempted to rollback the 4.3 cents tax increase of 1993. The impact of this repeal for a family of four who drive 12,000 miles a year at 20 miles per gallon is a savings of \$26, which in the House debates was compared to the cost of a family dinner at McDonald's. On the other hand, a rollback could have bigger consequences for the future upkeep of the country's highways and interstates; a 2000 Congressional report estimated that a repeal of

the federal gasoline tax would mean a \$5.2 billion annual loss in revenues for the Highway Trust Fund.

Outside of the United States, both gasoline prices and gas tax rates are typically far higher (e.g., gasoline taxes were 445 U.S. cents per gallon in the United Kingdom as of November 2010). In addition to funding governments, high gasoline taxes form part of a strategy to encourage the use of public transportation, reduce pollution, conserve energy, and improve national security (since most gasoline is imported).

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Paula Anne Ford-Martin

## Gastropods

Gastropods are invertebrate animals that make up the largest class in the phylum Mollusca. Examples of common gastropods include all varieties of snails, abalone, limpets, and land and sea slugs. There are between 60,000 and 80,000 existing species, as well as an additional 15,000 separate fossil species. Gastropods first appeared in the fossil record during the early Cambrian period, approximately 550 million years ago.

This diverse group of animals is characterized by a soft body, made up of three main parts: the head, foot, and visceral mass. The head contains a mouth and often sensing tentacles. The lower portion of the body makes up the foot, which allows slow creeping along rocks and other solid surfaces. The visceral mass is the main part of the body, containing most of the internal organs. In addition to these body parts, gastropods possess a mantle, or fold which secretes a hard, calcium carbonate shell. The single, asymmetrical shell of a gastropod is most often spiral shaped, however, it can be flattened or cone-like. This shell is an important source of protection. Predators have a difficult time accessing the soft flesh inside, especially if there are sharp points on the outside, as there are on the shells of some of the more ornate gastropods. There are also some gastropods, such as slugs and sea hares, that do not have shells or have greatly

reduced shells. Some of the shellless types that live in the ocean (i.e., nudibranchs or sea slugs) are able to use stinging cells from prey that they have consumed as a means of protection.

In addition to a spiraling of their shells, the soft bodies of most gastropods undergo 180 degrees of twisting, or torsion, during early development, when one side of the visceral mass grows faster than the other. This characteristic distinguishes gastropods from other molluscs. Torsion results in a U-shaped digestive tract, with the anal opening slightly behind the head. The torsion of the soft body and the spiraling of the shell are thought to be unrelated evolutionary events.

Gastropods have evolved to live in a wide variety of habitats. The great majority are marine, living in the world's oceans. Numerous species live in fresh water, while others live entirely on land. Of those that live in water, most are found on the bottom, attached to rocks or other surfaces. There are even a few species of gastropods without shells, including sea butterflies, that are capable of swimming. Living in different habitats has resulted in a wide variety of structural adaptations within the class Gastropoda. For example, those gastropods that live in water use gills to obtain the oxygen necessary for respiration, while their terrestrial relatives have evolved lungs to breathe.

Gastropods are important links in food webs in the habitats in which they live, employing a wide variety of feeding strategies. For example, most gastropods move a rasping row of teeth on a tongue like organ called a radula back and forth to scrape microscopic algae off rocks or the surface of plants. Because the teeth on the radula gradually wear away, new teeth are continuously secreted. Other gastropods have evolved a specialized radula for drilling through shells of animals to get at their soft flesh. For example, the oyster drill, a small east coast gastropod, bores a small hole in the shell of neighboring molluscs such as oysters and clams so that it can consume the soft flesh. In addition, some terrestrial gastropods such as snails use their radula to cut through pieces of leaves for food.

Gastropods are eaten by numerous animals, including various types of fish, birds, and mammals. They are also eaten by humans throughout the world. Abalone, muscular shelled gastropods that cling to rocks, are consumed on the west coast of the United States and in Asia. Fritters and chowder are made from the large, snail-like queen conch on many Caribbean islands. Escargot (snails in a garlicky butter sauce) are a European delicacy.

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Max Strieb

## Gene bank

The term gene bank refers to any system by which the genetic composition of some population is identified and stored. Many different kinds of gene banks have been established for many different purposes. Perhaps the most numerous gene banks are those that consist of plant seeds, known as germ banks.

The primary purpose for establishing a gene bank is to preserve examples of threatened or endangered species. Each year, untold numbers of plant and animal species become extinct because of natural processes and more commonly, as the result of human activities. Once those species become extinct, their gene pools are lost forever.

Scientists want to retain those gene pools for a number of reasons. For example, agriculture has been undergoing a dramatic revolution in many parts of the world over the past half century. Scientists have been making available to farmers plants that grow larger, yield more fruit, are more disease-resistant, and have other desirable characteristics. These plants have been produced by agricultural research in the United States and other nations. Such plants are very attractive to farmers, and they are also important to governments as a way of meeting the food needs of growing populations, especially in Third World countries.

When farmers switch to these new plants, however, they often abandon older, more traditional crops that may then become extinct. Although the traditional plants may be less productive, they have other desirable characteristics. They may, for example, be able to survive droughts or other extreme environmental conditions that new the new variety of plants cannot.

Placing seeds from traditional plants in a gene bank allows them to be preserved. At some later time, scientists may want to study these plants further and perhaps identify the genes that are responsible for various desirable properties of the plants. The U.S. Department of Agriculture (USDA) has long maintained a seed bank of plants native to the United States. About 200,000 varieties of seeds are stored at the USDA's Station at Fort Collins, Colorado, and another 100,000 varieties are kept at other locations around the country.

Efforts are now underway to establish gene banks for animals, too. Such banks consist of small colonies of the animals themselves. Animal gene banks are desirable as a way of maintaining species whose natural population is very low. Sometimes the purpose of the bank is simply to maintain the species to prevent its becoming extinct. In other cases, species are being preserved because they were once used as farm animals although they have since been replaced by more productive modern hybrid species. The Fayoumi chicken native to Egypt, for example, has now been abandoned by farmers in favor of imported species. The Fayoumi, without some form of protection, is likely to become extinct. Nonetheless, it may well have some characteristics (genes) that are worth preserving.

In recent years, another type of gene bank has become possible. In this kind of gene bank, the actual base sequence of important genes in the human body will be determined, collected, and catalogued. This effort, begun in 1990, was a part of the Human Genome Project effort to map all human genes. The Human Genome Project was completed in 2003 with the mapping of the 20,000 to 25,000 genes comprising the human genome. As of late 2010, research continued on the data gleaned from the Project. For instance, in 2008 a scholarly paper using data collected by the Human Genome Project appeared in the science journal *Nature* titled "Mapping and sequencing of structural variation from eight human genomes."

*See also* Agricultural revolution; Extinction; Genetic engineering; Population growth.

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David E. Newton

## Gene pool

The term gene pool refers to the sum total of all the genetic information stored within any given population. A gene is a specific portion of a DNA (deoxyribose nucleic acid) molecule, so a gene pool is the sum total of all of the DNA contained within a population of individuals.

The concept of gene pool is important in ecological studies because it reveals changes that may or may not be taking place within a population. In a population living in an ideal environment for its needs, the gene pool is likely to undergo little or no change. If individuals are able to obtain all the food, water, energy, and other resources they need, they experience relatively little stress and there is no pressure to select one or another characteristic.

Changes do occur in gene frequency because of natural factors in the environment. For example, natural radiation exposure causes changes in DNA molecules that are revealed as genetic changes. These natural mutations are one of the factors that make possible continuous changes in the genetic constitution of a population that, in turn, allows for evolution to occur.

Natural populations seldom live in ideal situations, however, and so they experience various kinds of stress that lead to changes in the gene pool. A classic example of this kind of change was reported by J. B. S. Haldane in 1937. Haldane found that a population of moths gradually became darker in color over time as the trees on which they lived also became darker because of pollution from factories. Moths in the population who carried genes for darker color were better

able to survive and reproduce than were lighter-colored moths, so the composition of the gene pool changed to relieve stress.

Humans have the ability to make conscious changes in gene pools that no other species has. Sometimes we make those changes in the gene pools of plants or animals to serve our own needs for food or other resource. Hybridization of plants to produce populations that have some desirable quality such as resistance to disease, shorter growing season, or better-tasting fruit. The modern science of genetic engineering is perhaps the most specific and deliberate way of changing in gene pools today.

Humans can also change the gene pool of their own species. For example, individuals with various genetic disorders were at one time doomed to death. Our inability to treat diabetes, sickle-cell anemia, phenylketonuria, and other hereditary conditions meant that the frequency of the genes causing those disorders in the human gene pool was kept under control by natural forces.

Today, many of those same disorders can be treated by medical or genetic techniques. That results in positive benefit for the individuals who are cured, but raises questions about the quality of the human gene pool overall. Instead of having many of those deleterious genes being lost naturally by an individual's death, they are now retained as part of the gene pool. This fact has at times raised questions about the best way in which medical science should deal with genetic disorders.

*See also* Agricultural revolution; Birth defects; Extinction; Gene bank; Population growth.

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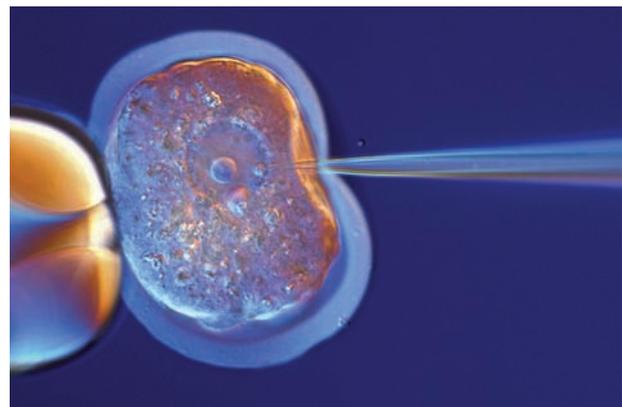
## Genetic engineering

Genetic engineering is the deliberate manipulation of the genetic material of organisms, typically deoxyribonucleic acid (DNA), which is present in chromosomes in eukaryotes and dispersed throughout the interior of prokaryotes. The DNA manipulation can involve the addition, removal, or alteration of genes, the sequences of DNA that code for a product.

DNA consists of a chain of individual nucleotides, which are composed of a nitrogen-containing base (adenine, cytosine, guanine, or thymine), a five-carbon sugar, and a phosphate group. Two DNA chains are bound together to form a two-stranded helical structure that is known as a double helix, with the adenine residues of one strand linked only with thymine on the other chain, and cytosine on one chain linked only with guanine on the other chain.

The total DNA of an organism is referred to as its genome. In the 1950s, scientists first discovered how the structure of DNA molecules worked and how they stored and transmitted genetic information. Beginning in the 1970s, scientists refined the technology that enabled the deliberate and targeted alteration of DNA. This was the beginning of both genetic engineering and the discipline of molecular biology.

A recent example illustrates the power of genetic engineering. A 2010 paper published in the journal *Science* by a research team headed by J. Craig Venter described the construction of a genome and the insertion of the genetic material into a bacterium from which the native genetic material had been removed. The resulting construct was capable of growth and division.



**Foreign DNA (deoxyribonucleic acid) being injected into a pronuclear mouse egg (female reproductive cells). This procedure is known as transgenesis.** (Martin Oeggerli/Photo Researchers, Inc.)

Genetic engineering relies on recombinant DNA technology (the use of select enzymes to cut DNA at specific sites, allowing for insertion or removal of DNA) to manipulate genes. Methods now permit the rapid determination of the nucleotide sequence of pieces of DNA, as well as for identifying particular genes of interest, and for isolating individual genes from complex genomes. This allows genetic engineers to alter genetic materials to produce new substances or create new functions.

The biochemical tools used by genetic engineers or molecular biologists include a series of enzymes called restriction enzymes that can cut and paste genes or regions of genes. As of 2010, more than 500 restriction enzymes have been discovered, allowing a large number of DNA sequences to be recognized and manipulated.

Most restriction enzymes are endonucleases—enzymes that cut one or both strands of the double helix of DNA at the specific nucleotide sequences or, for some of the enzymes, at a region removed from the recognition sequence. Every restriction enzyme is given a specific name to identify it uniquely. The first three letters, in italics, indicate the biological source of the enzyme, the first letter being the initial of the genus, the second and third letters being the first two letters of the species name. Thus, restriction enzymes from *Escherichia coli* are termed *Eco*, those from *Haemophilus influenzae* are *Hin*, from *Diplococcus pneumoniae* are *Dpn*, as three examples.

Particular restriction enzymes can be used in a tailor-made fashion to locate and cut almost any sequence of nucleotide bases. Cuts can be made anywhere along the DNA, dividing it into many small fragments or a few longer ones. The cut made by a specific restriction enzyme occurs at a restriction site characterized by a particular sequence of bases recognized by that restriction enzyme. The results are repeatable: Cuts made by the same enzyme on a given sort of DNA will always be the same. Some enzymes recognize sequences as long as six or seven bases; these are used for opening a circular strand of DNA at just one point. Other enzymes have a smaller recognition site, three or four bases long; these produce small fragments that can then be used to determine the sequence of bases along the DNA. For instance, if a scientist has the sequence of a gene and wants to cut the strands, a restriction enzyme can be selected to correspond with the bases at the desired cutting point to cut the strands of DNA at a very specific point.

The cut that each enzyme makes varies from enzyme to enzyme. Some, like *Hin* dII, make a clean, or blunt, cut straight across the double helix, leaving DNA fragments with ends that are flush. Other

enzymes such as *Eco* RI make a staggered break, leaving single strands with protruding cohesive ends (sticky ends) that are complementary in base sequence. This is advantageous as it allows two complementary protruding regions to associate, which creates the opportunity to introduce new DNA into existing DNA. Following breakage, DNA ligase, an enzyme that allows formation of the bonds between bases, can be utilized to rejoin the complementary bases from different sources. The result is termed recombinant DNA.

Another important biochemical tool used by genetic engineers is DNA polymerase, an enzyme that normally catalyses the growth of a nucleic acid chain. DNA polymerase is used by genetic engineers to seal the gaps between the two sets of fragments in newly joined chimera molecules of recombinant DNA. DNA polymerase is also used to label DNA fragments, for DNA polymerase labels practically every base, allowing minute quantities of DNA to be studied in detail. If a piece of ribonucleic acid (RNA) of the target gene is the starting point, then the enzyme reverse transcriptase is used to produce a strand of complementary DNA (cDNA).

Genetic engineers usually need large numbers of genetically identical copies of the DNA fragment of interest. One way of doing this is to insert the gene into a suitable gene carrier, termed a cloning vector. Common cloning vectors are bacterial plasmids or viruses such as the bacteriophage lambda, which are viruses capable of inserting their circular genetic material (DNA) into bacterial cells. When the cloning vectors divide, they replicate both themselves and the foreign DNA segment contained within the bacterial cell.

In the plasmid insertion method, restriction enzymes are used to cleave the plasmid double helix so that a stretch of DNA (previously cleaved with the same enzyme) can be inserted into the plasmid. As a result, the sticky ends of the plasmid DNA and the foreign DNA are complementary and base-pair when mixed together. The fragments held together by base pairing are permanently joined by DNA ligase. The host bacterium, with its twenty- to thirty-minute reproductive cycle, is like a manufacturing plant. With repeated doublings of its offspring on a controlled culture medium, millions of clones of the purified DNA fragments can be produced overnight.

Similarly, if viruses (bacteriophages) are used as cloning vectors, the gene of interest is inserted into the phage DNA, and the virus is allowed to enter the host bacterial cell where it multiplies. These cloning vectors can be purchased for scientific research and contain a multiple cloning site that has many

different restriction sites for creating recombinant DNA. A single parental lambda phage particle containing recombinant DNA can multiply to several hundred progeny particles inside the bacterial cell (*E. coli*) within roughly twenty minutes.

Cosmids are another type of viral cloning vehicle that attaches foreign DNA to the packaging sites of a virus and introduces the foreign DNA into an infective viral particle. Cosmids allow researchers to insert very long stretches of DNA into host cells where cell multiplication amplifies the amount of DNA available. Large artificial chromosomes of yeast (termed mega yeast artificial chromosomes) are also used as cloning vehicles, since they can store even larger pieces of DNA, thirty-five times more than can be stored conventionally in bacteria.

The polymerase chain reaction (PCR) technique that was developed in 1983 by Kary Mullis, who shared the 1993 Nobel Prize in Chemistry for the accomplishment, is one of the most significant developments in the field of genetic engineering. PCR allows the direct mass production of short segments of DNA, bypassing several steps involved in using bacterial and viruses as cloning vectors.

DNA fragments can be introduced into mammalian cells, but a different method must be used. Here, genes packed in solid calcium phosphate are placed next to a cell membrane that surrounds the fragment and transfers it to the cytoplasm. The gene is delivered to the nucleus during mitosis (when the nuclear membrane has disappeared) and the DNA fragments are incorporated into daughter nuclei, then into daughter cells. A mouse containing human cancer genes (the oncomouse) was generated in this way and patented in 1988.

The potential benefits of recombinant DNA research are enormous. There are more than 6,000 known disorders and diseases that involve the disruption or deletion of a single gene. As of 2010, scientists have identified the genetic basis of a number of these, with the list growing continually. Genetic engineering helps scientists replace a particular missing or defective gene with correct copies of that gene. If that gene then begins functioning normally in an individual, a genetic disorder may be cured. Researchers have already met with great success in finding the single genes that are responsible for common diseases like cystic fibrosis and hemochromatosis.

In August 2001, former U.S. President George W. Bush (1946–) struggled with the debate over allowing federal funding for embryonic stem cell research. He allowed funding for only existing lines of cells, leaving

further research in the hands of those who could seek private funding. In early 2009, U.S. President Barack Obama (1961–) signed an order to allow use of federal funding for stem cell research.

*See also* Gene bank; Gene pool.

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Neil Cumberlidge

## Genetic resistance (or genetic tolerance)

Genetic resistance (or genetic tolerance) refers to the ability of certain organisms to endure environmental conditions that are extremely stressful or lethal to non-adapted individuals of the same species. Such tolerance has a genetic basis, and it evolves at the population level in response to intense selection pressures.

Genetic resistance occurs when genetically variable populations contain some individuals that are relatively tolerant of an exposure to some environmental

factor, such as the presence of a high concentration of a specific chemical. If the tolerance is genetically based (i.e., due to specific information embodied in the DNA of the organism's chromosomes), some or all of the offspring of these individuals will also be tolerant. Under conditions in which the chemical occurs in concentrations high enough to cause toxicity to non-tolerant individuals, the resistant ones will be relatively successful. As time passes their offspring will become increasingly more prominent in the population. Acquiring genetic resistance is an evolutionary process, involving increased tolerance within a population, for which there is a genetic basis, and occurring in response to selection for resistance to the effects of a toxic chemical. Some of the best examples of genetic resistance involve the tolerance of certain bacteria to antibiotics and of certain pests to pesticides.

### Resistance to antibiotics

Antibiotics are chemicals used to treat bacterial infections of humans and domestic animals. Examples of commonly used antibiotics include various kinds of penicillins, streptomycins, and tetracyclines, all of which are metabolic byproducts created by certain microorganisms, especially fungi. There are also many synthetic antibiotics.

Antibiotics are extremely toxic to non-resistant strains of bacteria, and this has been very beneficial in the control of bacterial infections and diseases. However, if even a fraction of a bacterial population has a genetically based tolerance to a specific antibiotic, evolution will quickly result in the development of a population that is resistant to that chemical. Bacterial resistance to antibiotics was first demonstrated for penicillin, but the phenomenon is now quite widespread. This is an important medical problem because some serious pathogens are now resistant to virtually all of the available antibiotics, which means that infections by these bacteria can be extremely difficult to control. Bacterial resistance has recently become the cause of infections by some virulent strains of *Staphylococcus* and other potentially deadly bacteria. Some biologists assert that this problem has been made worse by the failure of many people to finish their course of prescribed antibiotic treatments, which can allow tolerant bacteria to survive and flourish. Also possibly important has been the routine use of antibiotics to prevent diseases in livestock kept under crowded conditions in industrial farming. The small residues of antibiotics in meat, eggs, and milk may be resulting in low-level selection for resistant bacteria in exposed populations of humans and domestic animals.

### Resistance to pesticides

The insecticide dichlorodiphenyl-trichloroethane (DDT) was the first pesticide to which insect pests developed resistance. This occurred because the exposure of insect populations to toxic DDT results in intense selection for resistant genotypes. Tolerant populations can evolve because genetically resistant individuals are not killed by the pesticide and therefore survive to reproduce. Almost 500 species of insects and mites have populations that are known to be resistant to at least one insecticide. There are also more than 100 examples of fungicide-resistant plant pathogens and about fifty herbicide-resistant weeds. Insecticide resistance is most frequent among species of flies and their relatives (order Diptera), including more than fifty resistant species of malaria-carrying *Anopheles* mosquitoes. In fact, the progressive evolution of insecticide resistance by *Anopheles* has been an important factor in the recent resurgence of malaria in countries with warm climates. In addition, the protozoan *Plasmodium*, which actually causes malaria, has become resistant to some of the drugs that used to effectively control it.

Crop geneticists have recently managed to breed varieties of some plant species that are resistant to glyphosate, a commonly used agricultural herbicide that is effective against a wide range of weeds, including both monocots and dicots. The development of glyphosate-tolerant varieties of such crops as rapeseed means that this effective herbicide can be used to control difficult weeds in planted fields without causing damage to the crop.

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Bill Freedman

## Genetically engineered organism

The modern science of genetics began in the mid-nineteenth century with the work of Gregor Mendel, but the nature of the gene itself was not understood



**AquaBounty salmon (rear) have an added growth hormone gene from the Chinook salmon to a normal Atlantic salmon (front) that results in a transgenic salmon that grows to market size in about half the time as a normal salmon.** (Photo courtesy AquaBounty/MCT)

until James Watson (1928–) and Francis Crick (1916–2004) announced their findings in 1953. According to the Watson and Crick model, genetic information is stored in molecules of DNA (deoxyribose nucleic acid) by means of certain patterns of nitrogen base that occur in such molecules. Each set of three such nitrogen bases were codes, they said, for some particular amino acid, and a long series of nitrogen bases were codes for a long series of amino acids or a protein.

Deciphering the genetic code and discovering how it is used in cells has taken many years of work since that of Watson and Crick. The basic features of that process, however, are now well understood. The first step involves the construction of a RNA (ribonucleic acid) molecule in the nucleus of a cell, using the code stored in DNA as a template. The RNA molecule then migrates out of the nucleus to a ribosome in the cell cytoplasm. At the ribosome, the sequence of nitrogen bases stored in RNA act as a map that determines the sequence of amino acids to be used in constructing a new protein.

This knowledge is of critical importance to biologists because of the primary role played by proteins in an organism. In addition to acting as the major building materials of which cells are made, proteins have a number of other crucial functions. All hormones and enzymes, for example, are proteins, and therefore nearly all of the chemical reactions that occur within organisms are mediated by one protein or another.

Our current understanding of the structure and function of DNA makes it at least theoretically possible to alter the biological characteristics of an organism. By changing the kind of nitrogen bases in a DNA molecule, their sequence, or both, a scientist can change the genetic instructions stored in a cell and thus change the kind of protein produced by the cell.

One of the most obvious applications of this knowledge is in the treatment of genetic disorders. A large majority of genetic disorders occur because an organism is unable to correctly manufacture a particular protein molecule. An example is Lesch-Nyhan syndrome. It is a condition characterized by self-mutilation, mental retardation, and cerebral palsy which arises because a person's body is unable to manufacture an enzyme known as hypoxanthine guanine phosphoribosyl transferase (HPRT).

The general principles of the techniques required to make such changes are now well understood. The technique is referred to as genetic engineering or genetic surgery because it involves changes in an organism's gene structure. When used to treat a particular disorder in humans, the procedure is also called human gene therapy. Developing specific experimental techniques for carrying out genetic engineering has proved to be an imposing challenge, yet impressive strides have been made. A common procedure is known as recombinant DNA (rDNA) technology.

The first step in an rDNA procedure is to collect a piece of DNA that carries a desired set of instructions. For a genetic surgery procedure for a person with Lesch-Nyhan syndrome, a researcher would need a piece of DNA that codes for the production of HPRT. That DNA could be removed from the healthy DNA of a person who does not have Lesch-Nyhan syndrome, or the researcher might be able to manufacture it by chemical means in the laboratory.

One of the fundamental tools used in rDNA technology is a closed circular piece of DNA found in bacteria called a plasmid. Plasmids are the vehicle or vector that scientists use for transferring new pieces of DNA into cells. The next step in an rDNA procedure, then, would be to insert the correct DNA into the plasmid vector. Cutting open the plasmid can be accomplished using certain types of enzymes that recognize specific base sequences in a DNA molecule. When these enzymes, called restriction enzymes, encounter the recognized sequence in a DNA molecule, they cleave the molecule. After the plasmid DNA has been cleaved and the correct DNA mixed with it, a second type of enzyme is added. This kind of enzyme inserts the correct DNA into the plasmid and closes it up. The process is known as gene splicing.

In the final step, the altered plasmid vector is introduced into the cell where it is expected to function. In the case of a Lesch-Nyhan patient, the plasmid would be introduced into the cells where it would start producing HPRT from instructions in the correct DNA. Many technical problems remain with rDNA technology, and this last step has caused some of the greatest obstacles. It has proven very difficult to make introduced DNA function. Even when the plasmid vector with its new DNA gets into a cell, it may never actually begin to function.

Any organism whose cells contain DNA altered by this or some other technique is called a genetically engineered organism. The first human patient with a genetic disorder who is treated by human gene therapy will be a genetically engineered organism. The use of genetic engineering on human subjects has gone forward very slowly for a number of reasons. One reason is that humans are very complex organisms. Another reason is that changing the genetic make-up of a human involves more ethical questions and more difficult questions than does the genetic engineering of bacteria, mice, or cows.

Most of the existing examples of genetically engineered organisms, therefore, involve plants, non-human animals, or microorganisms. One of the earliest success stories in genetic engineering involved the altering of

DNA in microorganisms to make them capable of producing chemicals they do not normally produce. Recombinant DNA technology can be used, for instance, to insert the DNA segment or gene that codes for insulin production into bacteria. When these bacteria are allowed to grow and reproduce in large fermentation tanks, they produce insulin. The list of chemicals produced by this mechanism now includes somatostatin, alpha interferon, tissue plasminogen activator (tPA), Factor VIII, erythropoietin, and human growth hormone, and this list continues to grow each year.

The introduction of genetically engineered plants and animals has, at times, generated quite a bit of controversy. One example is the genetically engineered maize (otherwise known as corn) referred to by its brand name of MON810. Developed by the Monsanto Corporation, MON810 is corn genetically engineered with the toxin Bt, which is poisonous to certain insects, including certain insect pests that feed on the corn plant. Although originally cleared by the European Union as safe to grow and consume, several European member nations have since banned the cultivation or sale of MON810 within their borders. The governments that have ruled out use or sale of MON810 include that of France, in 2008, and Germany, in 2009.

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David E. Newton

## Genetically modified organism

A genetically modified organism, or GMO, is an organism whose genetic structure has been altered by incorporating one or more single genes from another

organism or species that adds, removes, or modifies a trait in the organism. The insertion of the gene(s) can be accomplished in several different ways, such as the use of an infecting virus to ferry the gene(s) into the recipient cell. Collectively, the techniques are termed gene splicing. A particular gene in the original organism can also be modified and reintroduced into the organism to produce a desired effect. An organism that has been genetically modified is also called a transgenic organism (because the gene has been transferred) or a living modified organism (LMO). Most often the transferred gene allows an organism—such as a bacterium, fungus, virus, plant, insect, fish, or mammal—to express a trait that enhances its desirability to producers or consumers of the final product.

### Overview

Plants and livestock have been bred for desired qualities (selective breeding) for thousands of years—long before people knew anything about the science of genetics. As technology advanced, however, so did the means by which people could select desired traits. Modern biotechnology represents a significant step in the history of genetic modification.

Until the final decades of the twentieth century, breeding techniques were limited to the transfer of desired traits within the same or closely-related species. Genetically modified organisms, however, may contain traits transferred from completely dissimilar species. For example, before modern biotechnology, apple breeders could only cross-breed apples with apples or other closely related species. So, if a breeder wanted to make a certain tasty apple variety that was more tolerant to the cold, a cold-tolerant apple variety had to be hybridized with a tasty variety. This process usually involved significant trial and error because there was little assurance the cold-tolerance ability would be transferred in any individual attempt to hybridize the two varieties.

### Development of modern biotechnology

The characteristics of all organisms are determined by genes—the basic units of heredity. A gene—a segment of deoxyribonucleic acid (DNA)—is capable of replication and mutation, occupying a fixed position on a chromosome (a group of several thousand genes; humans are defined by twenty-two pairs of chromosomes plus the sex chromosomes, X and Y), and is passed on from parents to offspring during reproduction. A gene determines the structure of a protein or a ribonucleic acid (RNA) molecule. Found in all cells, DNA carries the genetic instructions for creating

proteins; RNA decodes those instructions. Proteins perform diverse biological functions in the body, from helping muscles contract, to enabling blood to clot, to allowing biochemical reactions to proceed quickly enough to sustain life. By modifying a protein, particular phenotypic (physical) or physiologic changes—such as the color of a rose or the ability to bioluminesce (glow like a firefly)—are created.

A fundamental aspect of modern biotechnology is the belief that the essential genetic elements of all life are the same. Since the 1950s, molecular biologists have known that the DNA in every organism is made up of pairs of four nitrogen-containing bases, or building blocks: adenine (A), thymine (T), cytosine (C), and guanine (G).

In 1953, the American scientist James Watson (1928–) and British researcher Francis Crick (1916–2004) were the first to publish the structure of DNA. Their research and that of others, in particular Rosalind Franklin (whose work revealed much of the structure of DNA, but who was not acknowledged until sometime later), revealed that DNA is constructed in a double helix pattern—sort of a twisted ladder. Although A always pairs with T, and G with C, there is significant variety in how the pairs stack. The variable sequence of these DNA base pairs constitutes, in effect, the variety of life. So even though all organisms are made from the same basic building blocks, their differences are a result of varying DNA sequences. A principle of modern molecular biology and biotechnology is that because these genetic building blocks are the same for all species, DNA can be extracted and inserted across species.

### Genetic engineering techniques

The tools of modern biotechnology, which were developed beginning in the 1970s, allow the transfer of specific genes, hence specific traits, to occur with more precision than ever before. The individual gene conveying a trait can be identified, isolated, replicated, and the inserted into another organism. This process is called genetic engineering, or recombinant DNA (rDNA) technology—that is, recombining DNA from multiple organisms.

Through such engineering, the apple breeder who wants the tasty apple variety to be cold-tolerant as well has the potential to find a gene that conveys cold tolerance and insert that gene directly into the tasty variety. Although there is still some trial and error in this process, overall, there is greater precision in the ability to move genes from one organism to another. The gene that conveys a cold-tolerance trait does not

need to come from another apple variety; it may come from any other organism. A cold-tolerant fish, for example, might have a suitable gene.

There are multiple methods by which genetic material may be transferred from one organism to another. Before a gene is moved between organisms, however, it is necessary to identify the individual gene that confers the desired trait. This stage is often quite time-consuming and difficult because often it is not clear what gene is needed or where to find it. Finding the gene entails using or creating a library of the species' DNA, specifying the amino acid sequence of the desired protein, and then devising a probe (any biochemical agent labeled or tagged in some way so that it can be used to identify or isolate a gene, RNA, or protein) for that sequence. Isolating the desired gene is one of the most limiting aspects to creating a GMO. In many cases, more than one gene is responsible for a particular trait, which makes it even more difficult to isolate the gene or genes in question.

Once the desired gene has been identified it must be extracted from the organism, which is usually done with a restriction endonuclease (an enzyme). Restriction endonucleases recognize particular base sequences in a DNA molecule and cut and isolate these sequences in a predictable and consistent manner. Once isolated, the gene must be replicated to generate sufficient usable material, as many copies of the gene are needed for the next steps in the engineering process.

One common method of gene replication is called polymerase chain reaction (PCR). Through the PCR method, the strands of the DNA are broken apart—in effect, the ladder is divided down the middle—and then exact copies of the opposite sides of the ladder are produced, creating thousands or millions of copies of the complete gene.

After replication, the gene must be inserted into the new organism via a vector (an agent that transfers material—typically DNA—from one host to another). A common vector is *Agrobacterium tumefaciens*, a bacterium that normally inserts itself into plants, causing a tumor. By genetically engineering *A. tumefaciens*, however, the bacterium can be used to insert the desired gene into a plant, replacing its tumor-causing genetic material. These vectors contain components that enable insertion of the desired genetic information into the genome of the new organism for production of RNA and, ultimately, protein.

Another vector, called ballistics, involves coating a microprojectile—usually a heavy metal such as tungsten—with the desired gene, then literally shooting

the microprojectile into material from the new host organism. The machine used for this process is referred to as a gene gun. The microprojectile breaks apart the DNA of the host organism. Then when the DNA reassembles, some of the new, desired genetic material is inserted. Once the DNA has been inserted, the host organism can be grown, raised, or produced normally and tests can be performed to observe whether the desired trait manifests.

### Varieties of GMOs

As of 2010, the United States, Argentina, Brazil, and Canada account for most (about 90 percent) of the GMO crops grown worldwide.

A common type of GMO is the modified agricultural seed. Corn, soybeans, and cotton are a few examples of staple agricultural GMO products grown in the United States. Genetic modifications to these products may, for example, alter a crop's nutritional content, storage ability, or taste.

With the advent of genetic engineering, for example, common hybrid crops such as the tomato were launched into a new era. The first food produced from gene splicing and evaluated by the Food and Drug Administration (FDA) was the Flavr Savr Tomato in 1994. Tomatoes usually get softer as they ripen because of a protein in the tomato that breaks down the cell walls of the tomato. Because it is difficult to ship a quality ripe tomato across the country before the tomato spoils, tomatoes are usually shipped unripened. Engineers of the Flavr Savr Tomato spliced a gene into its DNA to prevent the breakdown of the tomato's cell walls. The result of adding the new gene was a firm ripe tomato that was more desirable to consumers than the tasteless variety typically found on store shelves, particularly in the winter months.

The Flavr Savr tomato did not prove popular with consumers, mainly due to the inexperience of the involved company (Calgene) in growing and shipping large amounts of produce. The costs incurred prevented the product from being profitable, and it was discontinued. As well, consumer opposition to the consumption of a genetically modified food was voiced.

Genetic modifications may also confer to a species an ability to produce its own pesticide biologically, thereby potentially reducing or even eliminating the need to apply external pesticides. For example, *Bacillus thuringiensis* (Bt) is a soil bacterium that produces toxins against insects (mainly in the genera *Lepidoptera*, *Diptera*, and *Coleoptera*). When they are genetically modified to carry genetic material from the Bt

bacterium, plants such as soybeans are able to produce their own Bt toxin and be resistant to insects such as the cornstalk borer and velvetbean caterpillar.

By means of genetic engineering, a gene from a soil bacterium called *Agrobacterium* sp confers glyphosate resistance to a plant. Glyphosate (brand names include Roundup, Rodeo, and Accord) is a broad-spectrum herbicide (kills all green plants). Monsanto is the sole developer of all glyphosate resistant crops on the market. These crops (often called Roundup Ready) include corn, soybeans, cotton, and canola. Companies in the field have developed GMO crops that are herbicide-resistant (e.g., corn, cotton, and canola). These crops have been genetically modified to contain a gene which confers resistance to chemicals, such as glufosinate, found in some commercial herbicides. These GMO crops make it possible to kill weeds that compete with the desired crop for space, light, or nutrients without damage to the crops. Over time, as more of these herbicides are used for weed control, weeds that are more resistant to these herbicides than others are likely to survive and breed, thus requiring the development of new herbicides and methods of weed control.

### Pharmaceuticals

Genetically engineered pharmaceuticals are also useful GMO products. One of the first GMOs was a bacterium with a human gene inserted into its genetic code to produce a very high quality human insulin for diabetes. Vaccines against diseases such as meningitis or hepatitis B, for example, are produced by genetically engineered yeast or bacteria. Other pharmaceuticals produced by using GMO microbes include interferon for cancer, erythropoietin for anemia, growth hormone for the treatment of dwarfism, and tissue plasminogen activator for heart attack victims. Through genetic engineering, transgenic plants are likely to become a commercially viable source of pharmaceuticals.

### Benefits and risks

Although the technological advances that allowed the creation of GMOs are impressive, as with any new technology, the benefits must be weighed against the risks. Useful pharmaceutical products have been created as a result of genetic engineering. Also, GMOs have produced new and improved agricultural products that are resistant to crop pests, thus improving production and reducing chemical pesticide usage. These developments have had a major impact on food quality and nutrition.

The possibility that biotech crops could make a substantial contribution to providing sufficient food for an expanding world is also a reason given for engaging in the research that underlies their development. However, even in 2010, the debate over GMOs continues among scientists and between consumers and modern agricultural producers throughout the world regarding issues such as regulation, labeling, human health risk, industrial monopolization of food, and environmental impact.

The European Union (EU), in particular, was stringent in adopting a 1998 moratorium banning the raising and selling of GMO-based foods. However, with time and accumulating data that is indicating that GMO-based foods are innocuous, relative to their naturally-grown counterparts, the guidelines are being loosened. In March, 2010, the EU approved the raising and sale of genetically-modified potatoes. The crop is not intended for human consumption, but instead has been genetically altered to boost starch content, which will be useful in the manufacture of paper. Nonetheless, both supporters and critics agree that this step is the first in what will be other applications for GMO-based crops, some of which will be used for food.

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Environmental Protection Agency (EPA), 1200 Pennsylvania Avenue, NW, Washington, DC, USA, 20460, (202) 260-2090, [public-access@epa.gov](mailto:public-access@epa.gov), <http://www.epa.gov>

Human Genome Project Information, Oak Ridge National Laboratory, 1060 Commerce Park MS 6480, Oak Ridge, TN, USA, 37830, (865) 576-6669, (865) 574-9888, [genome@science.doe.gov](mailto:genome@science.doe.gov), <http://www.ornl.gov/hgmish>

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## Geodegradable

The term geodegradable refers to a material that could degrade in the environment over a geologic time period. While biodegradable generally refers to items that may degrade within our lifetime, geodegradable material does not decompose readily and may take hundreds or thousands of years. Radioactive waste, for example, is degraded only over thousands of years. The glass formed as an end result of a hazardous waste treatment technology known as "in situ vitrification," is considered geodegradable only after a million years.

*See also* Half-life; Hazardous waste site remediation; Waste management.

## Geographic information systems

A Geographic Information System (GIS), sometimes also called a geospatial information system, is a computer system capable of assembling, storing, mapping, modeling, manipulating, querying, analyzing, and displaying geographically referenced information (i.e., data that are identified according to their locations). Some practitioners expand the definition of GIS to include the personnel involved; the data that are entered into the system; and the uses, decisions, and interpretations that are made possible by the system. A GIS can be used for scientific investigations, resource management, and planning. The development of GIS was made possible from innovations in many different disciplines, including geography, cartography, remote

sensing, surveying, civil engineering, statistics, computer science, operations research, artificial intelligence, and demography.

Even early humans used GIS-type systems. Thirty-five thousand years ago, Cro-Magnon hunters in Lascaux, France, drew pictures of the animals they hunted. Along with the animal drawings were track lines that are thought to show migration routes. These early records included two essential elements of modern GIS: a graphic file linked to an attribute data base.

In a GIS, maps and other data from many different sources and in many different forms are stored or filed as layers of information. A GIS makes it possible to link and integrate information that is difficult to associate through other means. A GIS can combine mapped variables to build and analyze new variables. For example, by knowing and entering data for water use for a specific residence, predictions can be made on the amount of wastewater contaminants generated and released to the environment from that residence.

The primary requirement for the source data is that the locations for the variables are known. Any variable that can be located spatially can be entered into a GIS. Location may be determined by x, y, and z coordinates of longitude, latitude, and elevation, or by other systems such as ZIP codes (short for Zone Improvement Plan codes) or highway mile markers. A GIS can convert existing digital information, which may not be in map form, into forms it can recognize and utilize. For example, census data can be converted into map form, such that different types of information can be presented in layers.

If data are not in digital form, (i.e., not in a form that the computer can utilize), various techniques are available to capture the information. Maps can be hand traced with a computer mouse, or other such tracking device, to collect the coordinates of features. Electronic scanning devices can be used to convert map lines and points to digits. Data capture, i.e., entering the information into the system, is the most time-consuming component of a GIS. Identities of the objects on the map and their spatial relationships must be specified. Editing of information that is captured can be difficult. For example, an electronic scanner will record blemishes on a map just as it will record actual map features. A fleck of dirt that is scanned may connect two lines that should not be connected. Such extraneous information must be identified and removed from the digital data file.

Different maps may be at different scales, so map information in a GIS must be manipulated so that it fits with information collected from other maps. Data may also have to undergo projection conversion before

being integrated into a GIS. Projection, a fundamental component of map making, is a mathematical means of transferring information from Earth's three-dimensional curved surface to a two-dimensional medium (i.e., paper or a computer screen). Different projections are used for different types of maps based on the type of projection appropriate for a specific use. Much of the information in a GIS comes from existing maps, so the computing power of the GIS can integrate digital information from different sources with different projections into a common projection.

Digital data are collected and stored in various ways, and so different data sources may not be compatible. A GIS must be able to convert data from one structure to another. For example, image data from a satellite that has been interpreted by a computer to produce a land use map can be entered into the GIS in raster format. Raster format is like a spreadsheet, with rows and columns into which numbers are placed. These rows and columns are linked to x,y coordinates, with the intersection of each row and column forming a cell that corresponds to a specific point in the world. These cells contain numbers that can represent such features as elevation, soils, archeological sites, etc. Maps in a raster GIS can be handled like numbers (e.g., added, subtracted, etc.) to form new maps. Raster data files can be quickly manipulated by computer but are less detailed and may be less visually appealing than vector data files, which appear more like traditional hand-drafted maps. Vector digital data are captured as points, lines (a series of point coordinates), or areas (shapes bounded by lines). A Vector GIS output looks more like a traditional paper map.

A GIS can be used to depict two- and three-dimensional characteristics of Earth's surface, subsurface, and atmosphere from information points. Each thematic map (i.e., a map displaying information about one characteristic of a region) is referred to as a layer, coverage, or level. A specific thematic map can be overlain and analyzed with any other thematic map covering the same area. Not all analyses may require using all of the map layers at the same time. A researcher may use information selectively to consider relationships among specific layers. Information from two or more layers may be combined and transformed into a new layer for use in subsequent analyses. For example, with maps of wetlands, slopes, streams, land use, and soils, a GIS can produce a new overlay that ranks the wetlands according to the relative sensitivity to damage from nearby factories or homes. This process of combining and transforming information from different layers is referred to as map "algebra," as it involves adding and subtracting information.

Recorded information from off-screen files can be retrieved from the maps by pointing at a location, object, or area on the screen. Conditions of adjacency (what is next to what) containment (what is enclosed by what), and proximity (how close something is to something else) can be determined using a GIS.

A GIS can also be used for "what if" scenarios by simulating the route of materials along a linear network. For example, an evaluation could be made on how long it would take for chemicals accidentally released into a river from a factory to move into a wetland area. Direction and speed can be assigned to the digital stream, and the contaminants can be traced through the stream system.

An important component of a GIS is the ability to produce graphics on the computer screen or to output to paper (e.g., wall maps) to inform resource decision makers about the results of analyses. The viewers of such materials can visualize and thus better understand the results of analyses or simulations of potential events. A GIS can be used to produce not only maps, but also drawings and animations that allow different ways of viewing information. These types of images are especially helpful in conveying technical concepts to non-scientists.

GIS technology is an improvement in the efficiency and analytical power of cartographic science. Traditional maps are abstractions of the real world, with important elements portrayed on a sheet of paper with symbols to represent physical objects. For example, topographic maps show the shape of the land surface with contour lines, but the actual shape of the land can only be imagined. Graphic display techniques in a GIS illustrate more clearly relationships among the map elements, increasing the ability to extract and analyze information.

Many commercial GIS software systems are available, some of which are specialized for use in specific types of decision-making situations. Other programs are more general and can be used for a wide number of applications or can be customized to meet individual requirements.

GIS applications are useful for a wide range of disciplines, including urban planning, environmental and natural resource management, facilities management, habitat studies, archaeological analyses, hazards management, emergency planning, marketing and demographic analyses, and transportation planning. The ability to separate information in layers and then combine the layers with other layers of information is the key to making GIS technology a valuable tool for the analysis and display of large volumes of data, thus allowing

better management and understanding of information and increased scientific productivity.

The Open Geospatial Consortium (OGC) is an international organization that provides standards for geographic information systems through voluntary consensus. It was founded in 1994 with eight members: Camber Corporation, University of Arkansas–CAST, Center for Environmental Design Research at the University of California–Berkeley, Intergraph Corporation, PCI Remote Sensing, QUBA, U.S. Army Corps of Engineers Construction Engineering Research Laboratory, and USDA Soil Conservation Service. As of 2010, just over 400 companies, government agencies, universities, and individuals form an international consortium for the development and implementation for all aspects of GIS. The organization supports GIS solutions that enable the development and expansion of mainstream information technology (IT), the Web, and wireless and location-based services.

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Judith L. Sims

## Geological Survey

The United States Geological Survey (USGS) is the federal agency responsible for surveying and publishing maps of topography (giving landscape relief

and elevation), geology, and natural resources—including minerals, fuels, and water. The USGS, part of the U. S. Department of the Interior, was formed in 1879 as the United States began systematically to explore its newly expanded western territories. Today, it has an annual budget of about \$1.1 billion, which is devoted to primary research, resource assessment and monitoring, map production, and providing information to the public and to other government agencies.

The United States Geological Survey, now based in Reston, Virginia, originated in a series of survey expeditions sent to explore and map western territories and rivers after the Civil War. Four principal surveys were authorized between 1867 and 1872: Clarence King’s exploration of the 40th parallel, Ferdinand Hayden’s survey of the Rocky Mountain territories, John Wesley Powell’s journey down the Colorado River and through the Rocky Mountains, and George Wheeler’s survey of the 100th meridian. Twelve years later, in 1879, these four ongoing survey projects were combined to create a single agency, the United States Geological Survey. The USGS’ first director was Clarence King. In 1881, John Wesley Powell, whose name is most strongly associated with the early Survey, became director of the USGS. It was Powell who initiated the USGS topographic mapping program, a project that today continues to produce the most comprehensive map series available of the United States and associated territories.

In addition to topographic mapping, the USGS began detailed surveys and mapping of mineral resources in the 1880s. Mineral exploration led to mapping geologic formations and structures and a gradual reconstruction of geologic history in the United States. Research and mapping of glacial history and fossil records naturally followed from mineral explorations, so that the USGS became the primary body in the United States involved in geologic field research and laboratory research in experimental geophysics and geochemistry. During World Wars I and II, the USGS’ role in identifying and mapping tactical and strategic resources increased. Water and fuel resources (coal, oil, natural gas, and finally uranium) were now as important as copper, gold, and mineral ores, so the Survey took on responsibility for assessing these resources as well as topographic and geologic mapping.

Today, the USGS is one of the world’s largest earth science research agencies and the United States’ most important map publisher. The USGS conducts and sponsors extensive laboratory and field research in geology, hydrology, oceanography, and cartography.

The agency's three divisions, Water Resources, Geology, and National Mapping, are responsible for basic research. They also publish, in the form of maps and periodic written reports, information on the nation's topography, geology, fuel and mineral resources, and other aspects of earth sciences and natural resources. Most of the United States' hydrologic records and research, including streamflow rates, aquifer volumes, and water quality, are produced by the USGS. In addition, the USGS publishes information on natural hazards, including earthquakes, volcanoes, landslides, floods, and droughts. The Survey is the primary body responsible for providing basic earth science information to other government agencies, as well as to the public. In addition, the USGS undertakes or assists research and mapping in other countries whose geologic survey systems are not yet well developed.

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Mary Ann Cunningham

## Georges Bank

Until the 1990s Georges Bank, off the coasts of New England and Nova Scotia, was one of the world's most valuable fisheries. A bank is a plateau found under the surface of shallow ocean water. Georges Bank is the southernmost and the most productive of the banks that form the continental shelf. A majority of the \$800 million northeastern fishery industry comes from Georges Bank. The oval shaped bank is 149 miles long and 74.5 miles wide (240 km by 120 km). Georges Bank covers an area larger than the state of Massachusetts. The ocean bottom of Georges Bank formed ideal habitat for favorable quantities of groundfish, *demersal finfishes*, fish which feed off or near the ocean's floor include cod, *Gadidae*, clam, *Pelecypoda*, haddock, *Melanogrammus aeglefinus*, hake, *Merlucciidae*, herring, *Clupea harengus*, lobster, *Homarus*, pollock, *Pollachius*, flounder, scallops, and

*Pectinidae*. But by 1994 the Georges Bank ground fishery had collapsed.

Cod were by far the most numerous and valuable of the Georges Bank's fish. Atlantic cod form distinct stocks and the Georges Bank stock grows faster than those of the colder waters further north. They are the world's largest and thickest cod. In 1938 a cod weighing 180 pounds (82 kg) was caught off the bank. The cod move in schools from feeding to spawning grounds, in dense aggregates of hundreds of millions of fish, making them easy prey for fishing nets.

During the second half of the twentieth century, gigantic trawlers towing enormous nets could haul in 200 tons (181.4 metric tons) of fish an hour off Georges Bank. At its peak in 1968, 810,000 tons (734,827 metric tons) of cod were harvested. By the 1970s, fleets of Soviet, European, and Japanese factory ships were trawling the cod-spawning grounds, scooping up the fish before they could reproduce. If the catch was of mixed species or the wrong size, the nets were dumped, leaving the ocean surface teeming with dead fish. After the catch was sorted, many species of dead bycatch, including young cod, flounder, and crabs, were discarded. For every three tons of processed fish, at least a ton of bycatch died. These ships also trawled for herring, capelin, mackerel, and other small fish that the cod and other groundfish depend on for food.

The Fisheries Conservation and Management Act of 1976 extended exclusive American fishing rights from 12–200 miles (19–322 km) offshore. Since much of Georges Bank is within the 200-mile (322 km) limit of Nova Scotia, conflict erupted between American and Canadian fishermen. International arbitration eventually gave Canada the northeast corner of the bank. The legislation also established the New England Fishery Management Council to regulate fishing. Although the goal was to conserve fisheries as well as to create exclusive American fishing grounds, the council was controlled by commercial interests. The result was the development of financial incentives and boat-building subsidies to modernize the fishing fleet.

Soon the New England fleet surpassed the fishing capacities of the foreign fleets it replaced and every square foot of Georges Bank had been scraped with the heavy chains that hold down the trawling nets and stir up fish. This destroyed the rocky bottom structure of the bank and the vegetation and marine invertebrates that created habitat for the groundfish. Cod, pollack, and haddock were replaced by dogfish and skates, so-called “trash fish.”

During the 1990s tiny hydroids, similar to jellyfish, began appearing off Georges Bank, in concentrations as high as 100 per gal of water. Although they were drifting in the water, they were in their sedentary life-stage form, indicating that they may have been ripped from their attachments by storms or commercial trawlers. These hydroids ate most of the small crustaceans that the groundfish larvae depend on. They also directly killed cod larvae.

In response to fish stock declines, the Fisheries Service closed large areas of Georges Bank, and issued fishing restrictions. But fishing continued in the Canadian sector and western portions of the American sector. Nevertheless by 1996, 55 percent of the remaining Georges Bank cod stock—the only surviving North Atlantic population—had been caught. Fishing was restricted to 88 days. A satellite-based vessel monitoring system is used to detect fishing boats that enter closed areas of Georges Bank.

The average size of catches harvested from the Georges Bank is a fraction of what it was twenty or thirty years ago.

Georges Bank is just one example of an eastern coastal area negatively affected by excessive trawling. Even though hundreds of millions of dollars worth of fish are extracted from Georges Bank and the surrounding area, there is an overall decline in groundfish stock along the entire boreal and sub-arctic coast of eastern North America. American and Canadian moratoriums on gas and oil exploration and extraction from Georges Bank—activities that could further disrupt the fishery—are in effect until at least 2012.

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## ORGANIZATIONS

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- Coastal Waters Project/Task Force Atlantis, 418 Main Street, Rockland, ME, USA, 04841, (207) 594-5717, [coastwatch@acadia.net](mailto:coastwatch@acadia.net), <http://www.atlantisforce.org>
- Northeast Fisheries Science Center, 166 Water Street, Woods Hole, MA, USA, 02543-1026, (508) 495-2000, (508) 495-2258, <http://www.nefsc.nmfs.gov>
- U.S. GLOBEC Georges Bank Program, Woods Hole Oceanographic Institution, Woods Hole, MA, USA, 02543-1127, (508) 289-2409, (508) 457-2169, [rgroman@whoi.edu](mailto:rgroman@whoi.edu), <http://globec.whoi.edu>

Margaret Alic

## Geosphere

From a technical standpoint, the geosphere includes Earth's inner and outer core and mantle, as well as the lithosphere. For the most part, however, environmental scientists are primarily interested in the relatively thin outer layer of the crust on which plants and animals live, in the ores and minerals that occur within the crust, and in the changes that take place in the crust as a result of plate tectonics, erosion, and other geologic processes.

## Geothermal energy

Geothermal energy is obtained from hot rocks beneath Earth's surface. The planet's core, which may generate temperatures as high as 8,000° Fahrenheit (4,500°C), heats its interior, whose temperature increases, on an average, by about 2° Fahrenheit (1°C) for every 60 feet (18 m) nearer the core. Some heat is also generated in the mantle and crust as a result of the radioactive decay of uranium and other elements.

In some parts of Earth, rocks in excess of 212° Fahrenheit (100°C) are found only a few miles beneath the surface. Water that encounters the rock will be heated above its boiling point. Under some conditions, the water becomes super-heated, that is, is prevented from boiling even though its temperature is greater than 212° Fahrenheit (100°C). Regions of this kind are known as wet steam fields. In other situations,



Bathers enjoy the waters of the Blue Lagoon surrounded by steam in the shadow of a geothermal power plant in Grindavik, Iceland. The lagoon is the liquid run-off from one of Iceland's geothermal power plants. (Robert Rozbora/Shutterstock.com)

the water is able to boil normally, producing steam. These regions are known as dry steam fields.

Humans have long been aware of geothermal energy. Geysers and fumaroles are obvious indications of water heated by underground rock. The Maoris of New Zealand, for example, have traditionally used hot water from geysers to cook their food. Natural hot spring baths and spas are a common feature of many cultures where geothermal energy is readily available.

The first geothermal well was apparently opened accidentally by a drilling crew in Hungary in 1867. Eventually, hot water from such wells was used to heat homes in some parts of Budapest. Geothermal heat is still an important energy source in some parts of the world. More than 99 percent of the buildings in Reykjavik, the capital of Iceland, are heated with geothermal energy.

The most important application of geothermal energy today is in the generation of electricity. In general, hot steam or super-heated water is pumped to the planet surface where it is used to drive a turbine.

Cool water leaving the generator is then pumped back underground. Some water is lost by evaporation during this process, so the energy that comes from geothermal wells is actually non-renewable. However, most zones of heated water and steam are large enough to allow a geothermal mine to operate for a few hundred years.

A dry steam well is the easiest and least expensive geothermal well to drill. A pipe carries steam directly from the heated underground rock to a turbine. As steam drives the turbine, the turbine drives an electrical generator. The spent steam is then passed through a condenser where much of it is converted to water and returned to the Earth.

Dry steam fields are relatively uncommon. One, near Larderello, Italy, has been used to produce electricity since 1904. The geysers and fumaroles in the region are said to have inspired Dante's *Inferno*. The Larderello plant is a major source of electricity for Italy's electric railway system. Other major dry steam fields are located near Matsukawa, Japan, and at Geysers, California. The first electrical generating plant at

the Geysers was installed in 1960. It and companion plants now provide about 5 percent of all the electricity produced in California.

Wet steam fields are more common, but the cost of using them as sources of geothermal energy is greater. The temperature of the water in a wet steam field may be anywhere from 360 to 660°Fahrenheit (from 180 to 250°C). When a pipe is sunk into such a reserve, some water immediately begins to boil, changing into very hot steam. The remaining water is carried out of the reserve with the steam.

At the surface, a separator is used to remove the steam from the hot water. The steam is used to drive a turbine and a generator, as in a dry steam well, before being condensed to a liquid. The water is then mixed with the hot water (now also cooled) before being returned to the earth.

The largest existing geothermal well using wet steam is in Wairakei, New Zealand. Other plants have been built in Russia, Japan, and Mexico. In the United States, pilot plants have been constructed in California and New Mexico. The technology used in these plants is not yet adequate, however, to allow them to compete economically with fossil-fueled power plants.

Hot water (in contrast to steam) from underground reserves can also be used to generate electricity. Plants of this type make use of a binary (two-step) process. Hot water is piped from underground into a heat exchanger at the surface. The heat exchanger contains some low-boiling point liquid (the “working fluid”), such as a freon or isobutane. Heat from the hot water causes the working fluid to evaporate. The vapor then produced is used to drive the turbine and generator. The hot water is further cooled and then returned to the rock reservoir from which it came.

In addition to dry and wet steam fields, a third kind of geothermal reserve exists: pressurized hot water fields located deep under the ocean floors. These reserves contain natural gas mixed with very hot water. Some experts believed that these geopressurized zones are potentially rich energy sources although technology does not currently exist for tapping them.

Another technique for the capture of geothermal energy makes use of a process known as hydrofracturing. In hydrofracturing, water is pumped from the surface into a layer of heated dry rock at pressures of about 7,000 pounds per square inch (500 kg/cm<sup>2</sup>). The pressurized water creates cracks over a large area in the rock layer. Then, some material such as sand or

plastic beads is also injected into the cracked rock. This material is used to help keep the cracks open.

Subsequently, additional cold water can be pumped into the layer of hot rock, where it is heated just as natural groundwater is heated in a wet or dry steam field. The heated water is then pumped back out of Earth and into a turbine-generator system. After cooling, the water can be re-injected into the ground for another cycle. Since water is continually re-used in this process and Earth’s heat is essentially infinite, the hydrofracturing system can be regarded as a renewable source of energy.

Considerable enthusiasm was expressed for the hydrofracturing approach during the 1970s and a few experimental plants were constructed. But, as oil prices dropped and interest in alternative energy sources decreased in the 1980s, these experiments were terminated.

Geothermal energy clearly has some important advantages as a power source. The raw material—heated water and steam—is free and readily available, albeit in only certain limited areas. The technology for extracting hot water and steam is well developed from petroleum-drilling experiences, and its cost is relatively modest. Geothermal mining, in addition, produces almost no air pollution and seems to have little effect on the land where it occurs.

On the other hand, geothermal mining does have its disadvantages. One is that it can be achieved in only limited parts of the world. Another is that it results in the release of gases, such as hydrogen sulfide, sulfur dioxide, and ammonia, that have offensive odors and are mildly irritating. Some environmentalists also object that geothermal mining is visually offensive, especially in some areas that are otherwise aesthetically attractive. Pollution of water by runoff from a geothermal well and the large volume of cooling water needed in such plant are also cited as disadvantages.

At their most optimistic, proponents of geothermal energy claim that up to 15 percent of the power needs for the United States can be met from this source. Lagging interest and research in this area over the past decade have made this goal unreachable. As of the end of the 2000s, no more than 1 percent of the nation’s electricity comes from geothermal sources. Only in California is geothermal energy a significant power source. As an example, GeoProducts Corporation, of Moraga, California, has constructed a \$60 million geothermal plant near Lassen National Park that generates 30 megawatts of power. As of 2006, the energy generation in this region has expanded so now three geothermal projects are actively producing

electrical power. The Honey Lake Geothermal Area is located in three locations within Lassen County, California, and Washoe County, Nevada.

In 2010, the United States leads the world in geothermal electrical production with about 3,086 megawatts (MW) of installed capacity from 77 power plants. As of the end of 2009, 103 new geothermal projects are actively being developed in the United States. The Philippines is second in the world with 1,904 MW.

Even though geothermal generation of electricity has grown about 3 percent annually in the 2000s, until the U.S. government and the general public becomes more concerned about the potential of various types of alternative energy sources, geothermal is likely to remain a minor energy source in the country as a whole.

*See also* Fossil fuels; Renewable energy; Water pollution.

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David E. Newton

## Giant panda

Today, the giant panda (*Ailuropoda melanoleuca*) is one of the best known and most popular large mammals among the general public. Although its existence was known long ago, having been mentioned in a 2,500-year-old Chinese geography text, Europeans did not learn of the giant panda until its discovery by a French missionary in 1869. The first living giant panda did not reach the Western Hemisphere until 1937. The giant panda, variously classified with the true bears or, often, in a family of its own, once ranged throughout much of China and Burma, but is now restricted to a series of 13 wildlife reserves totaling just over 2,200 square miles (5,700 km<sup>2</sup>) in three central and western Chinese provinces. The giant panda population has been decimated



Panda eating bamboo. (javarman/Shutterstock.com)

over the past 2,000 years by hunting and habitat destruction. In the years since 1987, they have lost more than 30 percent of their habitat. Giant pandas are one of the rarest mammals in the world, with current estimates of their population size at about 1,800 individuals (150 reside in captivity). Today, human pressure on giant panda populations has diminished, although poaching continues. Giant pandas are protected by tradition and sentiment, as well as by law in the Chinese mountain forest reserves. Despite this progress, however, IUCN—The International Union for Conservation of Nature and Natural Resources—and the U. S. Fish and Wildlife Service consider the giant panda to be endangered. Some of this species' unique requirements and habits help put them in jeopardy.

The anatomy of the giant panda indicates that it is a carnivore, however, its diet consists almost entirely of bamboo, whose cellulose cannot be digested by the panda. Since the giant panda obtains so little nutrient value from the bamboo, it must eat enormous quantities of the plant each day, about 35 pounds (16 kg) of leaves

and stems, in order to satisfy its energy requirements. Whenever possible, it feeds solely on the young succulent shoots of bamboo, which, being mostly water, requires it to eat almost 90 pounds (41 kg) per day. This translates into ten to twelve hours per day that pandas spend eating. Giant pandas have been known to supplement their diet with other plants such as horse-tail and pine bark, and they will even eat small animals, such as rodents, if they can catch them, but well over 95 percent of their diet consists of the bamboo plant.

Bamboo normally grows by sprouting new shoots from underground rootstocks. At intervals from forty to 100 years, the bamboo plants blossom, produce seeds, then die. New bamboo then grows from the seed. In some regions it may take up to six years for new plants to grow from seed and produce enough food for the giant panda. Undoubtedly, this has produced large shifts in panda population size over the centuries. Within the last quarter century, two bamboo flowerings have caused the starvation of nearly 200 giant pandas, a significant portion of the current population. Although the wildlife reserves contain sufficient bamboo, much of the vast bamboo forests of the past have been destroyed for agriculture, leaving no alternative areas to move to should bamboo blossoming occur in their current range.

Low fecundity and limited success in captive breeding programs in zoos does not bode well for replenishing any significant losses in the wild population. Although there are 150 pandas in captivity, only about 28 percent are breeding. For the time being, the giant panda population appears stable, a positive sign for one of the world's scarcest and most popular animals.

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Eugene C. Beckham

## Giardia

*Giardia* is the genus (and common) name of a protozoan parasite in the phylum Sarcomastigophora. It was first described in 1681 by Antoni van Leeuwenhoek (called "The Father of Microbiology"), who discovered it in his own stool. The most common species is *Giardia intestinalis* (also called *lamblia*), which is a fairly common parasite found in humans. The disease it causes is called giardiasis.

The trophozoite (feeding) stage is easily recognized by its pear-shaped, bilaterally-symmetrical form with two internal nuclei and four pairs of external flagella; the thin-walled cyst (infective) stage is oval. Both stages are found in the upper part of the small intestine in the mucosal lining. The anterior region of the ventral surface of the troph stage is modified into a sucking disc used to attach to the host's abdominal epithelial tissue. Each troph attaches to one epithelial cell. In extreme cases, nearly every cell will be covered, causing severe symptoms. Infection usually occurs through drinking contaminated water. Symptoms include diarrhea, flatulence (gas), abdominal cramps, fatigue, weight loss, anorexia, and/or nausea and may last for more than five days. Diagnosis is usually done by detecting cysts or trophs of this parasite in fecal specimens.

*Giardia* has a worldwide distribution. It is more common in warm, tropical regions than in cold regions. Hosts include frogs, cats, dogs, beaver, muskrat, horses, and humans. Children as well as adults can be affected, although it is more common in children. It is highly contagious. Normal infection rate in the United States ranges from 1.5 percent to 20 percent.



Digitally-colored scanning electron micrograph (SEM) depicting the dorsal (upper) surface of a *Giardia* protozoan. (© P-59 Photos / Alamy)

In one case involving scuba divers from the New York City police and fire fighters, 22–55 percent were found to be infected, presumably after they accidentally drank contaminated water in the local rivers while diving. In another case, an epidemic of giardiasis occurred in Aspen, Colorado, in 1965 during the popular ski season and 120 people were infected. Higher infection rates are common in some areas of the world, including Iran and countries in Sub-Saharan Africa.

*Giardia* can typically withstand sophisticated forms of sewage treatment, including filtration and chlorination. It is therefore hard to eradicate and may potentially increase in polluted lakes and rivers. For this reason, health officials should make concerted efforts to prevent contaminated feces from infected animals (including humans) from entering lakes used for drinking water.

The most effective treatment for giardiasis is the drug Atabrine (quinacrine hydrochloride). Adult dosage is 0.1 g taken after meals three times each day. Side effects are rare and minimal.

See also Cholera; Coliform bacteria.

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John Korstad

## Gibbons

Gibbons (genus *Hylobates*, meaning “dweller in the trees”) are the smallest members of the ape family which also includes gorillas, chimpanzees, and orangutans.



Gibbon in tree. (Nagel Photography/Shutterstock.com)

They spend most of their lives at the tops of trees in the jungle, eating leaves and fruit. They are extremely agile, swinging at speeds of 35 miles per hour (56 km/h) with their long arms on branches to move from tree to tree. The trees can even be 50 feet (15 m) apart. They have no tails and are often seen walking upright on tree branches. Gibbons are known for their loud calls and songs, which they use to announce their territory and warn away others. They are devoted parents, raising usually one or two offspring at a time and showing extraordinary affection in caring for them. Conservationists and animal protectionists who have worked with gibbons describe them as extremely intelligent, sensitive, and affectionate.

Gibbons have long been hunted for food, for medical research, and for sale as pets and zoo specimens. A common method of collecting them is to shoot the mother and capture the nursing or clinging infant, if it is still alive. The mortality rate in collecting and transporting gibbons to areas where they can be sold is extremely high. This, coupled with the fact that their jungle habitat is being destroyed at a rate of 32 acres (13 ha) per minute, has resulted in severe depletion of their numbers.

Gibbons are found in southeast Asia, China, and India, and nine species are recognized. All nine species are considered endangered by the U.S. Department of the Interior and are listed in the most endangered category of the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES). IUCN—The World Conservation Union considers three species of gibbon to be endangered and two species to be vulnerable. Despite the ban on

international trade in gibbons conferred by listing in Appendix I of CITES, illegal trade in gibbons continues on a wide scale in markets throughout Asia.

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Lewis G. Regenstein

## Gibbs, Lois M.

1951–

American environmentalist and community organizer

An activist dedicated to protecting communities from hazardous wastes, Lois Gibbs began her political career as a housewife and homeowner near the Love Canal, New York. She was born in Buffalo on June 25, 1951, the daughter of a bricklayer and a full-time



Environmentalist Lois Gibbs speaks to an interviewer at a 1970s rally in West Virginia to raise factory workers' awareness of toxins and the environment. (© Wally McNamee/CORBIS)

homemaker. Gibbs was 21 and a mother when she and her husband bought their house near a buried dump containing hazardous materials from industry and the military, including wastes from the research and manufacture of chemical weapons.

From the time the first articles about Love Canal began appearing in newspapers in 1978, Gibbs has petitioned for state and federal assistance. She began when she discovered the school her son was attending had been built directly on top of the buried canal. Her son had developed epilepsy and there were many similar, unexplained disorders among other children at the school, yet the superintendent was refusing to transfer anyone. The New York State Health Department then held a series of public meetings in which officials appeared more committed to minimizing the community perception of the problem than to solving the problem itself. The governor made promises he was unable to keep, and Gibbs herself was flown to Washington to appear at the White House for what she later decided was little more than political grandstanding. In the book she wrote about her experience, *Love Canal: My Story*, Gibbs describes her frustration and her increasing disillusionment with government, as the threats to the health of both adults and children in the community became more obvious and as it became clearer that no one would be able to move because no one could sell their homes.

While state and federal agencies delayed, the media took an increasing interest in their plight, and Gibbs became more involved in political action. To force federal action, Gibbs and a crowd of supporters took two officers from the Environmental Protection Agency (EPA) hostage. A group of heavily armed FBI agents occupied the building across the street and gave her seven minutes before they stormed the offices of the Homeowners' Association, where the men were being held. With less than two minutes left in the countdown, Gibbs appeared outside and released the hostages in front of a national television audience. By the middle of the next week, the EPA had announced that the Federal Disaster Assistance Administration would fund immediate evacuation for everyone in the area.

But the families who left the Love Canal area still could not sell their homes, and Gibbs fought to force the federal government to purchase them and underwrite low-interest loans. After she accused President Jimmy Carter of inaction on a national talk show, in the midst of an approaching election, he agreed to purchase the homes. But he refused to meet with her to discuss the loans. Carter signed the appropriations bill in a televised ceremony at the Democratic National

Convention in New York City, and Gibbs simply walked onstage in the middle of it and repeated her request for mortgage assistance. The president could do nothing but promise his political support, and the assistance she had been asking for was soon provided.

Gibbs was divorced soon after her family left the Love Canal area. She moved to Washington, D.C. with her two children and founded the Citizen's Clearinghouse for Hazardous Wastes in 1981 (later renamed the Center for Health, Environment and Justice, CHEJ, in 1997). Its purpose is to assist communities in fighting toxic waste problems, particularly plans for toxic waste dumping sites, and the organization has worked with over 7,000 neighborhood and community groups. Gibbs has also published *Dying from Dioxin, A Citizen's Guide to Reclaiming Our Health and Rebuilding Democracy*. She has appeared on many television and radio shows and has been featured in hundreds of newspaper and magazine articles. Gibbs has also been the subject of several documentaries and television movies. She often speaks at conferences and seminars and has been honored with numerous awards, including the prestigious Goldman Environmental Prize in 1991, Heinz Award (1998), and two honorary doctorates. In 2003, she was nominated for the Nobel Peace Prize.

Because of Gibbs' activist work, no commercial sites for hazardous wastes have been opened in the United States since 1978.

As of 2010, Gibbs serves as the Executive Director of CHEJ and continues to be a vocal proponent of environmental justice.

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Lewis G. Regenstein  
Douglas Smith

## Gill nets

Gill nets are panels of diamond-shaped mesh netting used for catching fish. When fish attempt to swim through the net their gill covers get caught and they cannot back out. Depending on the target species, different mesh sizes are available for use. The top line of the net has a series of floats attached for

buoyancy, and the bottom line has lead weights to hold the net vertically in the water column.

Gill nets have been in use for many years. They became popular in commercial fisheries in the nineteenth century, evolving from cotton twine netting to the more modern nylon twine netting and monofilament nylon netting. As with many other aspects of commercial fishing, the use of gill nets has developed from minor utilization to a major environmental issue. Coupled with overfishing, the use of gill nets has caused serious concern throughout the world.

Because gill nets are so efficient at catching fish, they are just as efficient at catching many non-target species, including other fishes, sea turtles, sea mammals, and sea birds. Gill nets have been used extensively in the commercial fishery for salmon and capelin (*Mallotus villosus*). Dolphins, seals, and sea otters (*Enhydra lutris*) get tangled in the nets, as do diving sea birds such as murre, guillemots, auklets, and puffins that rely on capelin as a mainstay in their diet. Sea turtles are also entangled and drown.

The introduction and extensive use of drift nets by fishing fleets based outside of the U.S. is also problematic. Described as "the most indiscriminate killing device used at sea," drift nets are monofilament gill nets up to 40 miles (64 km) in length. Left at sea for several days and then hauled on board a fishing vessel, these drift nets contain vast numbers of dead marine life, besides the target species, that are simply discarded over the side of the boat. The outrage expressed regarding these "curtains of death" led to a 1992 United Nations resolution banning their use in commercial fishing. Commercial fishermen who use other types of nets for catching fish, such as the purse seines used in the tuna fishing industry and the bag trawls used in the shrimping industry, have modified their nets and fishing techniques to attempt to eliminate the killing of dolphins and sea turtles, respectively. Unfortunately, such modifications of gill nets are nearly impossible due to the nets' design and the way these nets are used.

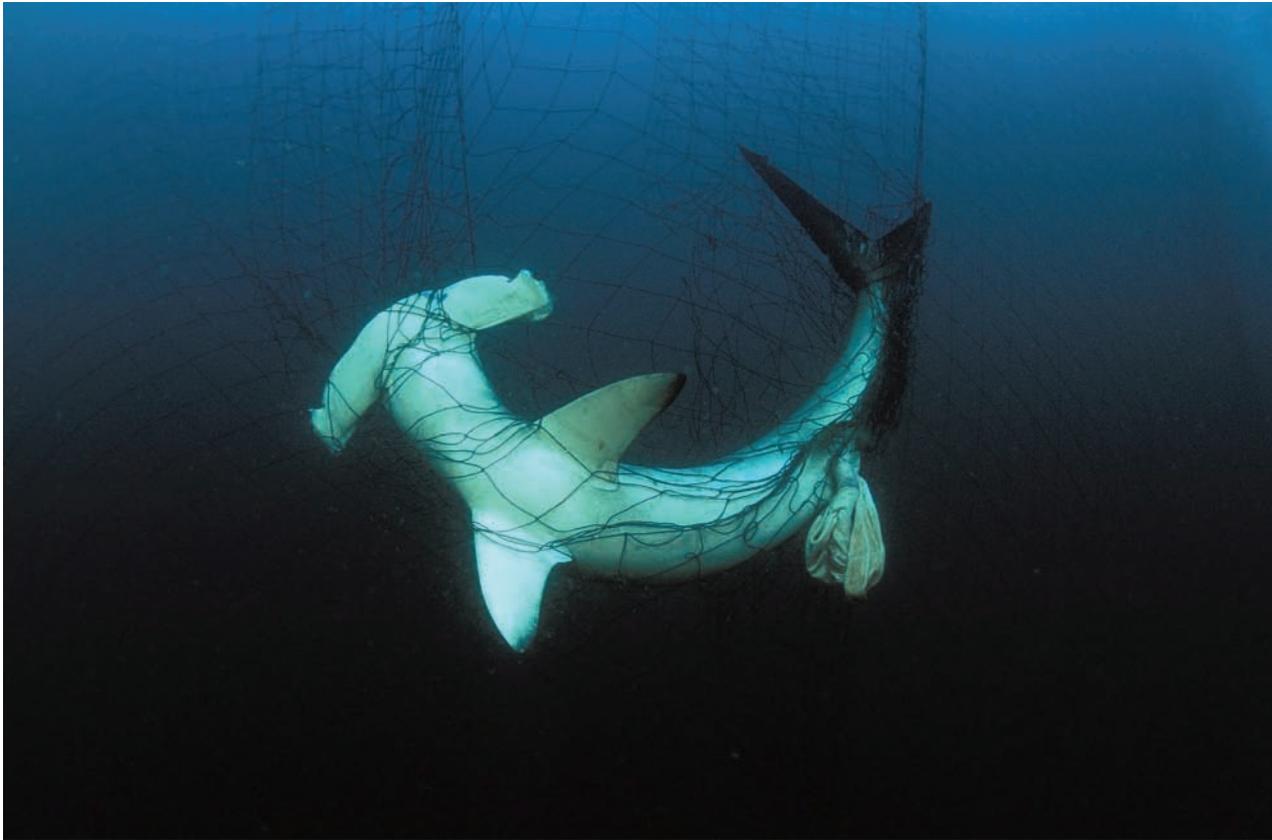
*See also* Turtle excluder device.

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Hammerhead shark (*Sphyrna lewini*) in drift gill net, Sea of Cortez, Mexico. (Mark Conlin/photolibrary.com)

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Eugene C. Beckham

GIS see **Geographic information systems.**

## Glaciation

The covering of Earth’s surface with glacial ice. The term also includes the alteration of the surface of the earth by glacial erosion or deposition. Due to the passage of time, ice erosion can be almost unidentifiable; the weathering of hard rock surfaces often eliminates minor scratches and other evidence of such glacial activities as the carving of deep valleys. The evidence of deposition, known as depositional imprints, can vary. It may consist of specialized features a few meters above

the surrounding terrain, or it may consist of ground materials several meters in thickness covering wide areas of the landscape.

Only 10 percent of Earth’s surface is currently covered with glacial ice, but it is estimated that 30 percent has been covered with glacial ice at earlier times. During the last major glacial period, most of Europe and more than half of the North American continent were covered with ice. The glacial ice of modern day is much thinner than it was in the ice age, and the majority of it (over 85 percent) is found in Antarctica. About 11 percent of the remaining glacial ice is in Greenland, and the rest is scattered in high altitudes throughout the world.

Moisture and cold temperatures are the two main factors for the formation of glacial ice. Glacial ice in Antarctica is the result of relatively small quantities of annual snow deposition and low loss of ice because of the cold climate. In the middle and low latitudes where ice loss, known as ablation, is higher, snowfall also tends to be much higher and glaciers overcome ablation by generating large amounts of ice. These types of systems tend to be more active than the glaciers in Antarctica, and the most active of these are often

located at high altitudes and in the path of prevailing winds carrying marine moisture.

The topography of parts of Earth has been shaped by glaciation. Hills have been reduced in height and valleys created or filled in the movement of glacial ice. *Moraine* is a French term used to describe the ridges and earthen dikes formed near the edges of regional glaciers. Ground moraine is material that accumulates beneath a glacier and has low-relief characteristics, and end moraine is material that builds up along the extremities of a glacier in a ridge-like appearance.

In England, early researchers found stones that were not common to the local ground rock and decided they must have “drifted” there, carried by icebergs on water. Though geology has changed since that time, the term remains and all deposits made by glacial ice are usually identified as drift. These glacial deposits, also known as till, are highly varied in composition. They can be a fine grained deposit, or very coarse with rather large stones present, or a combination of both.

Rock and other soil-like debris are often crushed and ground into very small particles, and they are commonly found as sediment in waters flowing from a glacial mass. This material is called glacial flour, and it is carried downstream to form another kind of glacial deposit. During certain cold, dry periods of the year winds can pick up portions of this deposit and scatter it for miles. Many of the different soils in the American “Corn Belt” originated in this way, and they have become some of the more important agricultural soils in the world.

### Related Information

All glacial ice on Earth is being affected by global climate change. The great majority of the world’s glacial ice—over 85 percent—is located in Antarctica. Different parts of Antarctica are expected to respond to global warming differently; computer models predict that East Antarctica will gain ice as snowfall increases while West Antarctica will lose ice as glacial ice becomes more active. Both effects have been observed. If Antarctica loses more ice than it gains, it contributes to sea-level rise; if the reverse, it slows sea-level rise. Not until 2008 were climatologists sure whether gain or loss dominated. In January of that year, an article in *Nature Geoscience* reported that Antarctica was definitely losing ice overall, and losing it an accelerating pace. Ice loss is near zero in East Antarctica, but in the West Antarctic Peninsula, ice loss increased from 1996 to 2006 by 140 percent, to about 60 billion metric tons per year, and in the rest of West Antarctica it increased

by 59 percent, to about 132 billion tons per year. Most of the increased loss was happening in channels along which glaciers flow to the sea: As ocean currents are changed by global warming, they shrink the parts of the glaciers protruding into the sea, in effect uncorking the glaciers. According to the new figures, Antarctica is now losing ice almost as fast as Greenland. The U.N.’s Intergovernmental Panel on Climate Change announced in January 2008 that its next report would study the possibility of accelerated melting of both the Greenland and Antarctic ice shelves, which could cause several meters of sea-level rise by the end of this century.

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Royce Lambert

## Gleason, Henry A.

1882–1975

American ecologist

Henry A. Gleason was a half generation after that small group of Midwesterners who founded ecology as a discipline in the United States. He was a student of Stephen Forbes and his early work in ecology was influenced strongly by Cowles and Frederic E. Clements. In 1935, however, Gleason claimed standing—bowing only to Cowles and Clements and for some reason not including Forbes—as “the only other original ecologist in the country.” And he was original. His work built on that of the founders, but he quickly and actively questioned their ideas and concepts, especially those of Clements, in the process creating controversy and polarization in the ecological community. Gleason called himself an “ecological outlaw” and probably over-emphasized his early lack of acceptance in ecology, but in a resolution of respect from the Ecological

Society of America after his death, he was described as a revolutionary and a heretic for his skepticism toward *established* ideas in ecology. Stanley Cain said that Gleason was never “impressed nor fooled by the philosophical creations of other ecologists, for he has always tested their ideas concerning the association, succession, the climax, environmental controls, and biogeography against what he knew in nature.” Gleason was a critical rather than negative thinker and never fully rejected the utility of the idea of community, but the early marginalization of his ideas by mainstream ecologists, and the controversy they created, may have played a role in his later concentration on taxonomy over ecology.

He claimed that if plant associations did exist, they were individualistic and different from area to area, even where most of the same species were present. Gleason did clearly reject, however, Clements’ idea of a monoclimate, proclaiming that “the Clementsian concept of succession, as an irreversible trend leading to the climax, was untenable.” His field observations also led him to repudiate Clements’ organismic concept of the plant community, asking “are we not justified in coming to the general conclusion, far removed from the prevailing opinion, that an association is not an organism?” He went on to say that it is “scarcely even a vegetation unit.” He also pointed out the errors in *Raunkiaer’s Law* on frequency distribution, which as Robert McIntosh noted, was “widely interpreted [in early ecology] as being a fundamental community characteristic indicating homogeneity,” and questioned Jaccard’s comparison of two communities through a coefficient of similarity that Gleason believed unduly gave as much weight to rare as to common species.

Gleason’s own approach to the study of vegetation emerged from his skills as a floristic botanist, an approach rejected as “old botany” by the founders of ecology. As Nicolson suggests, “a floristic approach entailed giving primacy to the study of the individual plants and their species. This was the essence of [Gleason’s] individualistic concept.” In hindsight, somewhat ironically then, Gleason used old botany to create a new alternative to what had quickly become dogma in ecology, the centrality of the idea that units of vegetation were real, that the plant association was indispensable to an ecological approach.

Clements was more accepted in the early part of the twentieth century than Gleason, though many ecologists at the time considered both too extreme, just in opposite ways. Today, Clements’ theories remain out of favor and some of Gleason’s have been

revived, though not all of them. Contrary to his own observations, he was persuaded that plants are distributed randomly, at least over small areas, which is seldom if ever the case, though he later backed away from this assertion. He could not accept the theory of continental drift, stating that “the theory requires a shifting of the location of the poles in a way which does considerable violence to botanical and geological facts,” and therefore should have few adherents among botanists.

Despite Gleason’s skepticism about some of Clements’ major ideas, the older botanist was a major influence, especially early in Gleason’s career. Especially influential was Clements’ rudimentary development of the quadrat method of sampling vegetation, which shaped Gleason’s approach to field work; Gleason took the method much further than Clements, and though not trained in mathematics, was the first ecologist to employ a number of quantitative approaches and methods. As McIntosh demonstrated, Gleason, following Forbes lead in aquatic ecology “was clearly one of the earliest and most insightful proponents of the use of quantitative methods in terrestrial ecology.”

Gleason was born in the heart of the area where ecology first flourished in the United States. His interest in vegetation and his contributions to ecology were both stimulated by growing up in and doing research on the dynamics of the prairie-forest border. He won bachelor’s and master’s degrees from the University of Illinois and a Ph.D. from Columbia University. He returned to the University of Illinois as an instructor in botany (1901–1910), where he worked with Stephen Forbes at one of the major American centers of ecological research at the time. In 1910, he moved to the University of Michigan (1910) and while in Ann Arbor, married Eleanor Mattei. Then, in 1919, he moved to the New York Botanical Garden, where he spent the rest of his career, sometimes (reluctantly) as an administrator, always as a research taxonomist. He retired from the Garden in 1951.

Moving out of the Midwest, Gleason also moved out of ecology. Most of his work at the Botanic Garden was taxonomic. He did some ecological work, such as a three-month ecological survey of Puerto Rico in 1926, and a restatement of his “individualistic concept of the plant association (also in 1926 and also in the *Bulletin of the Torrey Botanical Club*), in which he posed what Nicolson described as “a radical challenge” to the basis of contemporary ecological practice. Gleason’s challenge to his colleagues and critics in ecology was to “demolish our whole system of arrangement and classification and start anew with

better hope of success.” His reasoning was that ecologists had “attempted to arrange all our facts in accordance with older ideas, and have come as a result into a tangle of conflicting ideas and theories.” He anticipated twenty-first century thinking that identification on the ground of community and ecosystem as ecological units is arbitrary, noting that vegetation was too continuously varied to identify recurrent associations. He claimed, for example, that “no ecologist would refer the alluvial forests of the upper and lower Mississippi to the same association, yet there is no place along their whole range where one can logically mark a boundary between them. As McIntosh suggests, “one of Gleason’s major contributions to ecology was that he strove to keep the conceptual mold from hardening prematurely.”

In his work as a taxonomist for the Garden, Gleason traveled as a plant collector, becoming what he described as “hooked” on tropical American botany, specializing in the large family of melastomes, tropical plants ranging from black mouth fruits to handsome cultivated flowers, a group which engaged him for the rest of his career. His field work, on this family but especially many others, was reinforced by extensive study and identification on material collected by others and made available to him at the Garden.

A major assignment during his New York years, emblematic of his work as a taxonomist, was a revision of the Britton and Brown *Illustrated Flora of the Northeastern United States* (1952) which Maguire describes as a “heavy duty [that] intervened and essentially brought to a close Gleason’s excellent studies of the South American floras and [his] detailed inquiry into the Melastomataceae..this great work..occupied some ten years of concentrated, self-disciplined attention.” He did publish a few brief pieces on the melastomes after the Britton and Brown, and also two books with Arthur Cronquist, *Manual of Vascular Plants of Northeastern United States and Adjacent Canada* (1963) and the more general *The Natural Geography of Plants* (1964). The latter, though co-authored, was an overt attempt by Gleason to summarize a life’s work and make it accessible to a wider public.

Gleason’s early ecological work on species-area relations, the problem of rare species, and his extensive taxonomic work all laid an initial base for contemporary concern among biologists (especially) about the threat to the earth’s bio-diversity. Gleason wrote that analysis of “the various species in a single association would certainly show that their optimum environments

are not precisely identical,” a foreshadowing of later work on niche separation.

McIntosh claimed in 1975 that Gleason’s individualistic concept “must be seen not simply as one of historical interest but very likely as one of the key concepts of modern and, perhaps, future ecological thought.” A revival of Gleason’s emphasis on the individual at mid-twentieth century became one of the foundations for what some scientists in the second half of the twentieth century called a “new ecology,” one that rejects imposed order and system and emphasizes the chaos, the randomness, the uncertainty and the unpredictability of natural systems. A call for *adaptive* resource and environmental management policies flexible enough to respond to unpredictable change in individually variant natural systems is one outgrowth of such changes in thinking in ecology and the environmental sciences.

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Gerald L. Young

## Glen Canyon Dam

Until 1963, Glen Canyon was often heralded as one of the most beautiful stretches of natural scenery in the American West. The canyon had been cut over thousands of years as the Colorado River flowed over sandstone that once formed the floor of an ancient sea. The colorful walls of Glen Canyon were often compared to those of the Grand Canyon, only about 50 miles (80 km) downstream.

Humans have long seen more than beauty in the canyon, however. They have envisioned the potential value of a water reservoir that could be created by damming the Colorado. In a region where water can be as valuable as gold, plans for the construction of a giant irrigation project with water from a Glen Canyon dam go back to at least 1850.

Flood control was a second argument for the construction of such a dam. Like most western rivers, the Colorado is wild and unpredictable. When fed by melting snows and rain in the spring, its natural flow can exceed 300,000 feet<sup>3</sup> (8,400 m<sup>4</sup>) per second. At the end of a hot dry summer, flow can fall to less than 1 percent

of that value. The river's water temperature can also fluctuate widely, by more than 36° Fahrenheit (20°C), in a year. A dam in Glen Canyon held the promise of moderating this variability.

By the early 1900s, yet a third argument for building the dam was proposed—the generation of hydroelectric power. Both the technology and the demand were reaching the point that power generated at the dam could be supplied to Phoenix, Los Angeles, San Diego, and other growing urban areas in the Far West.

Some objections were raised in the 1950s when construction of a Glen Canyon Dam was proposed, and environmentalists fought to protect this unique natural area. The 1950s and early 1960s were not, however, an era of high environmental sensitivity. Consequently, the U. S. Congress eventually approved plans for the dam. Construction of the dam, just south of the Utah-Arizona border, was completed in 1963 and the new lake it created, Lake Powell, began to develop. Seventeen years later, the lake was full holding a maximum of 27 million acre-feet of water.

The environmental changes brought about by the dam are remarkable. The river itself has changed from a muddy brown color to a clear crystal blue as the sediments it carries are deposited behind the dam in Lake Powell. Erosion of river banks downstream from the dam has lessened considerably as spring floods are brought under control. Natural beaches and sandbars, once built up by deposited sediment, are washed away. River temperatures have stabilized at an annual average of about 50° Fahrenheit (10°C). These physical changes have brought about changes in flora and fauna also. Four species of fish native to the Colorado have become extinct, but at least ten species of birds are now thriving where they barely survived before. The biotic community below the dam is significantly different from what it was before construction.

During the 1980s, questions about the dam's operation began to grow. A number of observers were especially concerned about the fluctuations in flow through the dam, a pattern determined by electrical needs in distant cities. During peak periods of electrical demand, operators increase the flow of water through the dam to a maximum of 30,000 feet<sup>3</sup> (840 m<sup>3</sup>) per second. At periods of low demand, that flow may be reduced to 1,000 feet<sup>3</sup> (28 m<sup>3</sup>) per second. As a result of these variations, the river below the dam can change by as much as 13 feet (4 m) in height in a single 24-hour period. This variation can severely damage riverbanks and can have unsettling effects on wildlife in the area as, for example, fish are stranded on the shore or swept away from spawning grounds. River-

rafting is also severely affected by changing river levels as rafters can never be sure from day to day what water conditions they may encounter.

Operation of the Glen Canyon Dam is made more complex by the fact that control is divided up among at least three different agencies in the U.S. Department of the Interior: the Bureau of Reclamation, the Fish and Wildlife Service, and the National Park Service, all with somewhat different missions. In 1982, a comprehensive re-analysis of the Glen Canyon area was initiated. A series of environmental studies, called the Glen Canyon Environmental Studies, were designed and carried out over much of the following decade. In addition, Interior Secretary Manuel Lujan (1928–) announced in 1989 that an environmental impact statement (EIS) on the downstream effects of the dam would be conducted.

The purpose of the environmental impact statement was to find out if other options were available for operating the dam that would minimize harmful effects on the environment, recreational opportunities, and Native American activities while still allowing the dam to produce sufficient levels of hydroelectric power. The effects that were studied included water, sediment, fish, vegetation, wildlife and habitat, endangered and other special-status species, cultural resources, air quality, recreation, hydropower, and non-use value (i.e., general appreciation of natural resources).

Nine different operating options for the dam were considered. These options fell into three general categories: unrestricted fluctuating flows (two alternative modes); restricted fluctuating flows (four modes); and steady flows (three modes).

The final choice made was one that involves “periodic high, steady releases of short duration” that reduce the dam's performance significantly below its previous operating level. The criterion for this decision was the protection and enhancement of downstream resources while continuing to permit a certain level of flexibility in the dam's operation.

A later series of experiments was designed to see what could be done to restore certain downstream resources that have been destroyed or damaged by the dam's operation. Between March 26 and April 2, 1996, the U.S. Bureau of Reclamation released unusually large amounts of water from the dam (a controlled flood). The intent was to reproduce the large scale flooding on the Colorado River that had normally occurred every spring before the dam was built.

The primary focus of this project was to see if downstream sandbars could be restored by the flooding. The sandbars have traditionally been used as

campsites and have been a major mechanism for the removal of silt from backwater channels used by native fish. Depending on the final results of this study, the Bureau will determine what changes, if any, should be taken in adjusting flow patterns over the dam to provide for maximum environmental benefit downstream along with power output.

Based on the results of the earlier EIS, the Bureau of Reclamation decided to perform another environmental impact statement in 2006. This new EIS, called the Long-Term Experimental Plan Environmental Impact Statement (LTEP EIS), was intended to help protect the natural resources further down stream of Glen Canyon Dam. It was also necessitated on the settlement agreement made through the litigation record of *Center for Biodiversity et al. v. Kempthorne*. Based on the latest news from the Bureau of Reclamation, as of October 21, 2009, the EIS has yet to be completed, being indefinitely suspended.

See also Alternative energy sources; Riparian Land; Wild river.

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David E. Newton

## Global Environment Monitoring System

A data-gathering project administered by the United Nations Environment Programme. The Global Environment Monitoring System (GEMS) is one

aspect of the modern understanding that environmental problems ranging from the greenhouse effect and ozone layer depletion to the preservation of biodiversity are international in scope. The system was inaugurated in 1975, and it monitors weather and climate changes around the world, as well as variations in soils, the health of plant and animal species, and the environmental impact of human activities.

GEMS was not intended to replace any existing systems; it was designed to coordinate the collection of data on the environment, encouraging other systems to supply information it believed was being omitted. In addition to coordinating the gathering of this information, the system also publishes it in an uniform and accessible fashion, where it can be used and evaluated by environmentalists and policy makers.

GEMS operates twenty-five information networks in over 145 countries. These networks monitor air pollution, including the release of greenhouse gases and changes in the ozone layer, and air quality in various urban center; they also gather information on water quality and food contamination in cooperation with the World Health Organization and the Food and Agriculture Organization (FAO) of the United Nations.

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## Global Releaf

Global Releaf, an international citizen action and education program, was initiated in 1988 by the 115-year-old American Forestry Association in response to the worldwide concern over global warming and the greenhouse effect. Campaigning under the slogan "Plant a tree, cool the globe," its over 112,000 members began the effort to reforest the earth one tree at a time.

In 1990, Global Releaf began Global Releaf Forest, an effort to restore damaged habitat on public lands through tree plantings. Global Releaf Fund is its urban counterpart. Using each one-dollar donation to plant one tree resulted in the planting of more than four million trees on seventy sites in thirty-three states. By involving local citizens and resource experts in each project, the program ensures that the right species are planted in the right place at the right time. Results include the protection of endangered and threatened

animals, restoration of native species, and improvement of recreational opportunities.

Funding for the program has come largely from government agencies, corporations, and non-profit organizations. Chevrolet-Geo celebrated the planting of its millionth tree in October 1996. The Texaco/Global Releaf Urban Tree Initiative, utilizing more than 6,000 Texaco volunteers, has helped local groups plant more than 18,000 large trees and invested over \$2.5 million in projects in twelve cities. Outfitter Eddie Bauer began an “Add a Dollar, Plant a Tree” program to fund eight Global Releaf Forest sites in the United States and Canada, planting close to 350,000 trees.

The Global Releaf Fund also helps finance urban and rural reforestation on foreign soil in projects undertaken with its international partners. Engine manufacturer, Briggs & Stratton, for example, has made possible tree plantings both in the United States and in Ecuador, England, Germany, Poland, Romania, Slovakia, South Africa, and Ukraine, while Costa Rica, Gambia, and the Philippines have benefitted from picture-frame manufacturer Larsen-Juhl.

Unfortunately, not enough funding exists to grant all the requests; in 1996, only 40 percent of the proposed projects received financial backing. Forced to pick and choose, the review board favors those projects which aim to protect endangered and threatened species. Burned forests and natural disaster areas—like the Francis Marion National Forest in South Carolina, devastated by 1989’s Hurricane Hugo—are also high on the priority list, as are streamside woodlands and landfills.

Looking to the future, Global Releaf 2000 was launched in 1996 with the aim of encouraging the planting of 20 million trees, increasing the canopy in select cities by 20 percent, and expanding the program to include private lands and sanitary landfills. A twenty-city survey done in 1985 by American Forests showed that four trees die for every one planted in United States cities and that the average city tree lives only thirty-two years (just seven years, downtown). With these facts in mind, Global Releaf asks that communities plant twice as many trees as are lost in the next decade. In August of 2001, more than 19 million trees had been planted. By the end of 2010, an estimated 35 million trees had been planted across the United States and internationally. Releaf’s goal is to plant 100 million trees by 2020.

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American Forests, P.O. Box 2000, Washington, D.C., USA, 20013, (202) 737 1944, [info@amfor.org](mailto:info@amfor.org), <http://www.americanforests.org>

Ellen Link

Global warming see **Greenhouse effect**.

GOBO see **Child survival revolution**.

## Goiter

Generally refers to an abnormal enlargement of the thyroid gland. The most common type of goiter, the simple goiter, is caused by a deficiency of iodine in the diet. In an attempt to compensate for this deficiency, the thyroid gland enlarges and may become the size of a large softball in the neck. The general availability of table salt to which potassium iodide has been added (“iodized” salt) has greatly reduced the incidence of simple goiter in many parts of the world. A more serious form of goiter, toxic goiter, is associated with hyperthyroidism. The etiology of this condition is not well understood. A third form of goiter occurs primarily in women and is believed to be caused by changes in hormone production.

## Golf courses

The game of golf appears to be derived from ancient stick-and-ball games long played in western Europe. However, the first documented rules of golf were established in 1744, in Edinburgh, Scotland. Golf was first played in the United States in the 1770s, in Charleston, South Carolina. It was not until the 1880s, however, that the game began to become widely popular, and it has increasingly flourished since then. In 2010, there were over 17,000 golf courses in the United States, and many thousands more throughout much of the rest of the world.



Golfers playing golf. (phloen/Shutterstock.com)

Golf is an excellent form of outdoor recreation. There are many health benefits of the game, associated with the relatively mild form of exercise and extensive walking that can be involved. However, the development and management of golf courses also results in environmental damage of various kinds. The damage associated with golf courses can engender intense local controversy, both for existing facilities and when new ones are proposed for development.

The most obvious environmental affect of golf courses is associated with the large amounts of land that they appropriate from other uses. Depending on its design, a typical 18-hole golf course may occupy an area of about 100–200 acres. If the previous use of the land was agricultural, then conversion to a golf course results in a loss of food production. Alternatively, if the land previously supported forest or some other kind of natural ecosystem, then the conversion results in a large, direct loss of habitat for native species of plants and animals.

In fact, some particular golf courses have been extremely controversial because their development caused the destruction of the habitat of endangered

species or rare kinds of natural ecosystems. For instance, the Pebble Beach Golf Links course, one of the most famous in the world, was developed in 1919 on the Monterey Peninsula of central California, in natural coastal and forest habitats that harbor numerous rare and endangered species of plants and animals. Several additional golf courses and associated tourist facilities were subsequently developed nearby, all of them also displacing natural ecosystems and destroying the habitat of rare species. Most of those recreational facilities were developed at a time when not much attention was paid to the needs of endangered species. Today, however, the conservation of biodiversity is considered an important issue. It is quite likely that if similar developments were now proposed in such critical habitats, citizen groups would mount intense protests and government regulators would not allow the golf courses to be built.

The most intensively modified areas on golf courses are the fairways, putting greens, aesthetic lawns and gardens, and other highly managed areas. Because these kinds of areas are intrinsic to the design of golf courses, a certain amount of loss of natural

habitat is inevitable. To some degree, however, the net amount of habitat loss can be decreased by attempting, to the degree possible, to retain natural community types within the golf course. This can be done particularly effectively in the brushy and forested areas between the holes and their approaches. The habitat quality in these less-intensively managed areas can also be enhanced by providing nesting boxes and brush piles for use by birds and small mammals, and by other management practices known to favor wildlife. Habitat quality is also improved by planting native species of plants wherever it is feasible to do so.

In addition to land appropriation, some of the management practices used on golf courses carry the risk of causing local environmental damage. This is particularly the case of putting greens, which are intensively managed to maintain an extremely even and consistent lawn surface.

For example, to maintain a monoculture of desired species of grasses on putting greens and lawns, intensive management practices must be used. These include frequent mowing, fertilizer application, and the use of a variety of pesticidal chemicals to deal with various pests affecting the turfgrass. This may involve the application of such herbicides as Roundup (glyphosate), 2,4-D, MCP, or Dicamba to deal with undesirable weeds. Herbicide application is particularly necessary when putting greens and lawns are being first established. Afterward their use can be greatly reduced by only using spot-applications directly onto turf-grass weeds. Similarly, fungicides might be used to combat infestations of turf-grass disease fungi, such as the fusarium blight (*Fusarium culmorum*), take-all patch (*Gaeumannomyces graminis*), and rhizoctonia blight (*Rhizoctonia solani*).

Infestations by turf-damaging insects may also be a problem, which may be dealt with by one or more insecticide applications. Some important insect pests of golf-course turfgrasses include the Japanese beetle (*Popillia japonica*), chafer beetles (*Cyclocephala* spp.), June beetles (*Phyllophaga* spp.), and armyworm beetle (*Pseudaletia unipuncta*). Similarly, rodenticides may be needed to get rid of moles (*Scalopus aquaticus*) and their burrows.

Golf courses can also be major users of water, mostly for the purposes of irrigation in dry climates, or during droughty periods. This can be an important problem in semi-arid regions, such as much of the southwestern United States, where water is a scarce and valuable commodity with many competing users. To some degree, water use can be decreased by ensuring that irrigation is only practiced when necessary,

and only in specific places where it is needed, rather than according to a fixed schedule and in a broadcast manner. In some climatic areas, nature-scaping and other low-maintenance practices can be used over extensive areas of golf courses. This can result in intensive irrigation only being practiced in key areas, such as putting greens, and to a lesser degree fairways and horticultural lawns.

Many golf courses have ponds and lakes embedded in their spatial design. If not carefully managed, these waterbodies can become severely polluted by nutrients, pesticides, and eroded materials. However, if care is taken with golf-course management practices, their ponds and lakes can sustain healthy ecosystems and provide refuge habitat for local native plants and animals.

Increasingly, golf-course managers and industry associations are attempting to find ways to support their sport while not causing an unacceptable amount of environmental damage. One of the most important initiatives of this kind is the Audubon Cooperative Sanctuary Program for Golf Courses, run by the Audubon International, a private conservation organization. The program provides environmental education and conservation advice to golf-course managers and designers.

The Audubon Cooperative Sanctuary Program for Golf Courses provides advice to help planners and managers with: (a) environmental planning; (b) wildlife and habitat management; (c) chemical use reduction and safety; (d) water conservation; and (e) outreach and education about environmentally appropriate management practices. If a golf course completes recommended projects in all of the components of the program, it receives recognition as a Certified Audubon Cooperative Sanctuary. This allows the golf course to claim that it is conducting its affairs in a certifiably “green” manner. This results in tangible environmental benefits of various kinds, while being a source of pride of accomplishment for employees and managers, and providing a potential marketing benefit to a clientele of well-informed consumers.

There are many specific examples of environmental benefits that have resulted from golf courses engaged in the Audubon Cooperative Sanctuary Program. For instance, seven golf courses in Arizona and Washington have allowed the installation of 150 artificial nesting burrows for burrowing owls (*Athene cunicularia*), an endangered species, on suitable habitat on their land. Audubon International conducted a survey of cooperating golf courses, and the results were rather impressive. About 78 percent of the

respondents reported that they had decreased the total amount of turfgrass area on their property; 73 percent had taken steps to increase the amount of wildlife habitat; 45 percent were engaged in an ecosystem restoration project; 90 percent were attempting to use native plants in their horticulture; and 85 percent had decreased their use of pesticides and 91 percent had switched to lower-toxicity chemicals. Just as important, about half of the respondents believed that there had been an improvement in the playing quality of their golf course and in the satisfaction of both employees and their client golfers. Moreover, none of the respondents believed that any of these values had been degraded as a result of adopting the management practices advised by the Audubon International program.

These are all highly positive indicators. They suggest that the growing and extremely popular sport of golf can, within limits, potentially be practiced in ways that do not cause unacceptable levels of environmental and ecological damage.

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Bill Freedman

## Good wood

Good wood, or smart wood, is a term certifying that the wood is harvested from a forest operating under environmentally sound and sustainable practices. A "certified wood" label indicates to consumers that the wood they purchase comes from a forest operating within specific guidelines designed to ensure future use of the forest. A well-managed forestry operation takes into account the overall health of the forest and

its ecosystems, the use of the forest by indigenous people and cultures, and the economic influences the forest has on local communities. Certification of wood allows the wood to be traced from harvest through processing to the final product (i.e., raw wood or an item made from wood) in an attempt to reduce uncontrollable deforestation, while meeting the demand for wood and wood products by consumers around the world.

Public concern regarding the disappearance of tropical forests initially spurred efforts to reduce the destruction of vast acres of rainforests by identifying environmentally responsible forestry operations and encouraging such practices by paying foresters higher prices. Certification, however, is not limited to tropical forests. All forest types—tropical, temperate, and boreal (those located in northern climes)—from all countries may apply for certification. Plantations (stands of timber that have been planted for the purpose of logging or that have been altered so that they no longer support the ecosystems of a natural forest) may also apply for certification.

Certification of forests and forest owners and managers is not required. Rather, the process is entirely voluntary. Several organizations currently assess forests and forest management operations to determine whether they meet the established guidelines of a well-managed, sustainable forest. The Forest Stewardship Council (FSC), founded in 1993, is an organization of international members with environmental, forestry, and socioeconomic backgrounds that monitors these organizations and verifies that the certification they issue is legitimate.

A set of 10 guiding principles known as Principles and Criteria (P&C) were established by the FSC for certifying organizations to utilize when evaluating forest management operations. The P&C address a wide range of issues, including compliance with local, national, and international laws and treaties; review of the forest operation's management plans; the religious or cultural significance of the forest to the indigenous inhabitants; maintenance of the rights of the indigenous people to use the land; provision of jobs for nearby communities; the presence of threatened or endangered species; control of excessive erosion when building roads into the forest; reduction of the potential for lost soil fertility as a result of harvesting; protection against the invasion of non-native species; pest management that limits the use of certain chemical types and of genetically altered organisms; and protection of forests when deemed necessary (for example, a forest that protects a watershed or that contains threatened and/or endangered species).

Guarding against illegal harvesting is a major hurdle for those forest managers working to operate within the established regulations for certification. Forest devastation occurs not only from harvesting timber for wood sales but when forests are clear cut to make way for cattle grazing or farming, or to provide a fuel source for local inhabitants. Illegal harvesting often occurs in developing countries where enforcement against such activities is limited (for example, the majority of the trees harvested in Indonesia are done so illegally).

Critics argue against the worthiness of managing forests, suggesting that the logging of select trees from a forest should be allowed and that once completed, the remaining forest should be placed off limits to future logging. Nevertheless, certified wood products are in the market place; large wood and wood product suppliers are offering certified wood and wood products to their consumers. In 2001, the Forest Leadership Forum (a group of environmentalists, forest industry representatives, and retailers) met to identify how wood retailers can promote sustainable forests. It is hoped that consumer demand for good wood will drive up the number of forests participating in the certification program, thereby reducing the rate of irresponsible deforestation of the world's forests.

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Forest Stewardship Council United States, 212 Third Avenue N, Suite 504, Minneapolis, MN, USA, 55401, (612) 353-4511, (612) 208-1565, info@fscus.org, http://www.fscus.org

Monica Anderson

## Goodall, Jane

1934–

English primatologist and ethnologist

Jane Goodall is known worldwide for her studies of the chimpanzees of the Gombe Stream National Park in Tanzania, Africa. She is well respected within the

scientific community for her ground-breaking field studies and is credited with the first recorded observation of chimps eating meat and using and making tools. Because of Goodall's discoveries, scientists have been forced to redefine the characteristics once considered as solely human traits. Goodall is now leading efforts to ensure that animals are treated humanely both in their wild habitats and in captivity.

Goodall was born in London, England, on April 3, 1934, to Mortimer Herbert Goodall, a businessperson and motor-racing enthusiast, and the former Margaret Myfanwe Joseph, who wrote novels under the name Vanne Morris Goodall. Along with her sister, Judy, Goodall was reared in London and Bourne-mouth, England. Her fascination with animal behavior began in early childhood. In her leisure time, she observed native birds and animals, making extensive notes and sketches, and read widely in the literature of zoology and ethnology. From an early age, she dreamed of traveling to Africa to observe exotic animals in their natural habitats.

Goodall attended the Uplands private school, receiving her school certificate in 1950 and a higher certificate in 1952. At age eighteen she left school and found employment as a secretary at Oxford University. In her spare time, she worked at a London-based documentary film company to finance a long-anticipated trip to Africa. At the invitation of a childhood friend, she visited South Kinangop, Kenya. Through other friends, she soon met the famed anthropologist Louis Leakey, then curator of the Coryndon Museum in Nairobi. Leakey hired her as a secretary and invited her to participate in an anthropological dig at the now famous Olduvai Gorge, a site rich in fossilized prehistoric remains of early ancestors of humans. In addition, Goodall was sent to study the vervet monkey, which lives on an island in Lake Victoria.

Leakey believed that a long-term study of the behavior of higher primates would yield important evolutionary information. He had a particular interest in the chimpanzee, the second most intelligent primate. Few studies of chimpanzees had been successful; either the size of the safari frightened the chimps, producing unnatural behaviors, or the observers spent too little time in the field to gain comprehensive knowledge. Leakey believed that Goodall had the proper temperament to endure long-term isolation in the wild. At his prompting, she agreed to attempt such a study. Many experts objected to Leakey's selection of Goodall because she had no formal scientific education and lacked even a general college degree.



**Scientist Jane Goodall studies the behavior of a chimpanzee during her research in Tanzania in 1987.** (Penelope Breese/Liaison/Getty Images)

While Leakey searched for financial support for the proposed Gombe Reserve project, Goodall returned to England to work on an animal documentary for Granada Television. On July 16, 1960, accompanied by her mother and an African cook, she returned to Africa and established a camp on the shore of Lake Tanganyika in the Gombe Stream Reserve. Her first attempts to observe closely a group of chimpanzees failed; she could get no nearer than 500 yards (457 m) before the chimps fled. After finding another suitable group of chimpanzees to follow, she established a nonthreatening pattern of observation, appearing at the same time every morning on the high ground near a feeding area along the Kakaombe Stream valley. The chimpanzees soon tolerated her presence and, within a year, allowed her to move as close as 30 feet (9 m) to their feeding area. After two years of seeing her every day, they showed no fear and often came to her in search of bananas.

Goodall used her newfound acceptance to establish what she termed the “banana club,” a daily systematic feeding method she used to gain trust and to

obtain a more thorough understanding of everyday chimpanzee behavior. Using this method, she became closely acquainted with more than half of the reserve’s one hundred or more chimpanzees. She imitated their behaviors, spent time in the trees, and ate their foods. By remaining in almost constant contact with the chimps, she discovered a number of previously unobserved behaviors. She noted that chimps have a complex social system, complete with ritualized behaviors and primitive but discernible communication methods, including a primitive “language” system containing more than twenty individual sounds. She is credited with making the first recorded observations of chimpanzees eating meat and using and making tools. Tool making was previously thought to be an exclusively human trait, used, until her discovery, to distinguish man from animal. She also noted that chimpanzees throw stones as weapons, use touch and embraces to comfort one another, and develop long-term familial bonds. The male plays no active role in family life but is part of the group’s social stratification. The chimpanzee “caste” system places the

dominant males at the top. The lower castes often act obsequiously in their presence, trying to ingratiate themselves to avoid possible harm. The male's rank is often related to the intensity of his entrance performance at feedings and other gatherings.

Ethologists had long believed that chimps were exclusively vegetarian. Goodall witnessed chimps stalking, killing, and eating large insects, birds, and some bigger animals, including baby baboons and bushbacks (small antelopes). On one occasion, she recorded acts of cannibalism. In another instance, she observed chimps inserting blades of grass or leaves into termite hills to lure worker or soldier termites onto the blade. Sometimes, in true tool-maker fashion, they modified the grass to achieve a better fit. Then they used the grass as a long-handled spoon to eat the termites.

In 1962, Baron Hugo van Lawick, a Dutch wildlife photographer, was sent to Africa by the National Geographic Society to film Goodall at work. Goodall and van Lawick married on March 28, 1964. Their European honeymoon marked one of the rare occasions on which Goodall was absent from Gombe Stream. Her other trips abroad were necessary to fulfill residency requirements at Cambridge University, where she received a Ph.D. in ethology in 1965, becoming only the eighth person in the university's long history who was allowed to pursue a Ph.D. without first earning a baccalaureate degree. Her doctoral thesis, "Behavior of the Free-Ranging Chimpanzee," detailed her first five years of study at the Gombe Reserve.

Van Lawick's film, *Miss Goodall and the Wild Chimpanzees*, was first broadcast on American television on December 22, 1965. The film introduced the shy, attractive, unimposing yet determined Goodall to a wide audience. Goodall, van Lawick (along with their son, Hugo, born in 1967), and the chimpanzees soon became a staple of American and British public television. Through these programs, Goodall challenged scientists to redefine the long-held "differences" between humans and other primates.

Goodall's fieldwork led to the publication of numerous articles and five major books. She was known and respected first in scientific circles and, through the media, became a minor celebrity. *In the Shadow of Man*, her first major text, appeared in 1971. The book, essentially a field study of chimpanzees, effectively bridged the gap between scientific treatise and popular entertainment. Her vivid prose brought the chimps to life, although her tendency to attribute human behaviors and names to chimpanzees struck

some critics being as manipulative. Her writings reveal an animal world of social drama, comedy, and tragedy where distinct and varied personalities interact and sometimes clash.

From 1970 to 1975, Goodall held a visiting professorship in psychiatry at Stanford University. In 1973, she was appointed honorary visiting professor of Zoology at the University of Dar es Salaam in Tanzania, a position she still holds. Goodall and van Lawick divorced, and she wed Derek Bryceson, a former member of Parliament, in 1975. Bryceson died in 1980. Goodall's life continued to revolve around Gombe Stream. After attending a 1986 conference in Chicago that focused on the ethical treatment of chimpanzees, however, she began directing her energies more toward educating the public about the wild chimpanzee's endangered habitat and the unethical treatment of chimpanzees used for scientific research.

To preserve the wild chimpanzee's environment, Goodall encourages African nations to develop nature-friendly tourism programs, a measure that makes wildlife into a profitable resource. She actively works with business and local governments to promote ecological responsibility. Her efforts on behalf of captive chimpanzees have taken her around the world on a number of lecture tours. She outlined her position strongly in her 1990 book *Through a Window*: "The more we learn of the true nature of non-human animals, especially those with complex brains and corresponding complex social behaviour, the more ethical concerns are raised regarding their use in the service of man-whether this be in entertainment, as 'pets,' for food, in research laboratories or any of the other uses to which we subject them. This concern is sharpened when the usage in question leads to intense physical or mental suffering-as is so often true with regard to vivisection."

Goodall's stance is that scientists must try harder to find alternatives to the use of animals in research. She has openly declared her opposition to militant animal rights groups who engage in violent or destructive demonstrations. Extremists on both sides of the issue, she believes, polarize thinking and make constructive dialogue nearly impossible. While she is reluctantly resigned to the continuation of animal research, she feels that young scientists must be educated to treat animals more compassionately. "By and large," she has written, "students are taught that it is ethically acceptable to perpetrate, in the name of science, what, from the point of view of animals, would certainly qualify as torture."

Goodall's efforts to educate people about the ethical treatment of animals extends to young children as well. Her 1989 book, *The Chimpanzee Family Book*, was written specifically for children, to convey a new, more humane view of wildlife. The book received the 1989 Unicef/Unesco Children's Book-of-the-Year award, and Goodall used the prize money to have the text translated into Swahili. It has been distributed throughout Tanzania, Uganda, and Burundi to educate children who live in or near areas populated by chimpanzees. A French version has also been distributed in Burundi and Congo.

In recognition of her achievements, Goodall has received numerous honors and awards, including the Gold Medal of Conservation from the San Diego Zoological Society in 1974, the J. Paul Getty Wildlife Conservation Prize in 1984, the Schweitzer Medal of the Animal Welfare Institute in 1987, the National Geographic Society Centennial Award in 1988, and the Kyoto Prize in Basic Sciences in 1990. In 1995, Goodall was presented with a CBE (Commander of the British Empire) from Queen Elizabeth II. She was presented with a DBE (Dame of the British Empire) by Prince Charles in 2003. Many of Goodall's endeavors are conducted under the auspices of the Jane Goodall Institute for Wildlife Research, Education, and Conservation, a nonprofit organization located in Ridgefield, Connecticut. In April 2002, Goodall was chosen to be a United Nations Messenger of Peace. She has received numerous honorary doctorates. In 2008, Goodall received the Leakey Prize in human evolutionary science from the Leakey Foundation.

As of 2010, Goodall continues to lecture and write extensively, and remains devoted to chimpanzee welfare and environmental issues. On behalf of the Jane Goodall Institute, she continues to globe-trot over 300 days each year.

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Tom Crawford

*Gopherus agassizii* see **Desert tortoise**.

## Gore Jr., Albert

1948–

American former U.S. representative, senator and vice president of the United States

Albert Gore Jr. is an author, businessman, environmental activist, and was forty-fifth Vice-President of the United States in the administration of Bill Clinton. Following his stint in the White House, Gore has become world-famous for his staunch defense of the environment, particularly for his book and video documentary *An Inconvenient Truth*. Gore has received numerous awards for his efforts, including the Nobel Peace Prize.

Gore was the Democratic Party presidential candidate in the 2000 U.S. election. While receiving the most popular votes, he lost the Electoral College to George W. Bush in a very contentious and controversial election.

Gore was born and raised in Washington, D.C., where his father was a well-known and widely respected representative and later senator from Tennessee. He attended St. Alban's Episcopal School for Boys, where he excelled both academically and athletically. He later went to Harvard, earning a bachelor's degree in government. After graduation he enlisted in the army, serving as a reporter in Vietnam, even though he opposed the war. After completing his tour of duty, in 1974 Gore entered the law school at Vanderbilt University. Following in his father's footsteps, Gore ran for Congress, was elected, and served five terms before running for and winning a Senate seat in 1984. He served in the Senate until 1992, when then-governor and Democratic presidential candidate Bill Clinton selected him as his vice presidential running-mate. After winning the 1992 election, Vice President Gore became the Clinton administration's chief environmental advisor. He was also largely responsible for President Clinton's selection of Carol Browner as head of the Environmental Protection Agency (EPA) and Bruce Babbitt as Secretary of the Interior.

A self-described "raging moderate," Gore for more than two decades championed environmental causes and drafted and sponsored environmental legislation in the Senate. He was one of two U.S. senators to attend and take an active part in the 1992 U.N.-sponsored Rio Summit on the environment, and after he became vice president in 1993, he took a leading role in shaping the Clinton administration's environmental agenda. As vice president, Gore's ability to shape that agenda was perhaps somewhat limited. At the close of Clinton's first term, most environmental organizations rated his



Nobel Peace Prize winners Al Gore, center, and Chairman of the Intergovernmental Panel on Climate Change Dr. Rajendra K. Pachauri, right, receive their medal and diploma from Nobel Committee Chairman Ole at City Hall in Oslo, 2007. (AP Photo/John McConnico)

administration's record on environmental matters as mixed, at best. Yet clearly environmental groups had more access to the White House than they had ever had before. In his second term, Gore was thought to be responsible for salvaging the 1997 Kyoto agreement on climate change when he flew to Japan as negotiations were falling apart and personally represented a new American position.

Gore's most notable contribution to the environmental movement might be as an author. With a wealth of statistical and scientific evidence, Gore's 1992 book *Earth in the Balance* makes the case that careless development and growth have damaged the natural environment; but better policies and regulations will supply incentives for more environmentally responsible actions by individuals and corporations. For example, a so-called carbon tax could provide financial incentives for developing new, nonpolluting energy sources such as solar and wind power. Corporations could also be given tax credits for using these new sources. By structuring a system of incentives that

favors the protection and restoration of the natural environment, government at the local, national, and (through the United Nations) international levels can restore the balance between satisfying human needs and protecting the earth's environment. But restoring this balance, Gore maintained in his book, requires more than public policy and legislation; it requires changes in basic beliefs and attitudes toward nature and all living creatures. More specifically, environmental protection and restoration requires a willingness on the part of individuals to accept responsibility for their actions (or inaction). At the individual level, environmental protection means living, working, eating, and recreating responsibly, with an eye to one's effects on the natural and social environment, now and in the future in which our children and their children will survive.

Despite the strong stances Gore articulated in *Earth in the Balance*, his achievements as vice president under Clinton were more moderate. His former staffer Carol Browner headed the EPA, and she

prevailed in promoting several major pieces of environmental legislation. But she had to withstand concerted attacks on her and her office by the Republican majority in the House and Senate after 1994. Gore had managed to save the Kyoto treaty on climate change by his personal efforts in 1997, but the Clinton administration never committed to specific actions to cut carbon-dioxide emissions. In August 1998, Gore met with the leaders of several environmental groups and explained to them that he had no political backing for advocating controversial actions like limiting pollution from coal-burning power plants. When he ran for president in 2000, the environment was not a strong feature in his campaign, though this was an area where he had sharp differences with his opponent, George W. Bush. In the last months of the campaign, Gore found himself defending his record on the environment against Green Party candidate Ralph Nader. Though Gore had the backing of the Sierra Club and other major environmental groups, Nader accused Gore of “eight years of principles betrayed and promises broken.” In the end Gore lost the election to Bush, who quickly reversed Clinton administration environmental policies such as new standards on drinking water safety, and refused to endorse the Kyoto climate change treaty.

After losing the election, Gore began lecturing at Fisk University in Nashville, UCLA, and the School of Journalism at Columbia University. Out of office, he remained for the most part out of the public eye for several years. He did speak at Vanderbilt University on Earth Day in 2002, roundly criticizing George W. Bush for his environmental policy. Gore noted that some of his more extreme environmental stances, such as calling for new technology to replace the internal combustion engine, were now being considered even by Republican lawmakers. By mid-2002, Gore would not commit to another run for the presidency. But it did seem that he stood by his earlier ideas, and would continue to identify himself with the environmental movement.

Gore’s low public profile was raised by 2006 as he emerged as a leading, vocal environmentalist. That year, his global warming documentary, *An Inconvenient Truth*, was popular. It became the third highest grossing documentary of all time. *An Inconvenient Truth* won Gore and the other filmmakers an Academy Award for best documentary and numerous other awards. The film was not Gore’s only media work. He was also the creator of Current TV, an interactive cable network targeted at young people. In addition, Gore was the co-organizer of July 7, 2007, “Live Earth: The Concert for a Climate in Crisis.” This

media event in which live concerts took place on all seven continents and aired world-wide to raise awareness about environmental issues.

With the success of *An Inconvenient Truth*, as well as its companion book of the same name, Gore was repeatedly asked if he would run for president in 2008. He denied any further political ambitions and never sought the presidency in 2008. He found it easier to push for environmental change outside of political office. However, calls for Gore to run only increased after he was the co-winner of the 2007 Nobel Peace Prize for his environmental work. Instead, Gore continued his activist work and joined the venture capital firm, Kleiner Perkins Caufield & Byers as a partner in November 2007. For all his success and influence, *Time* magazine named him runner-up to person of the year in 2007.

Also in 2007, Gore published *The Assault on Reason*, which analyzed American society and the continuing dearth of discourse on issues that are relevant for our collective future. He ascribed this to the influence of television, and said that the pervasive influence of television and the poverty of ideas in it were a threat to American democracy. In contrast, he also argued that the Internet can serve as a revitalizing influence on representative democracy.

Gore has continued to work as an activist for environmental causes. He endorsed fellow democrat Barack Obama for president in 2008, and he urged the United States to switch all its energy production to wind, solar, and other carbon-free sources within ten years. In 2009, Gore won a Grammy for Best Spoken Word Album for *An Inconvenient Truth*.

On June 1, 2010, Gore and his wife, Tipper, announced their separation after forty years of marriage.

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Terence Ball

## Gorillas

Gorillas (*Gorilla gorilla*) inhabit the forests of Central Africa and are the largest and most powerful of all primates. Adult males stand 6 feet (1.8 m) upright (an unnatural position for a gorilla) and weigh up to 450 pounds (200 kg), while females are much smaller. Gorillas live to about forty-four years and mature males (those usually over thirteen years), or silverbacks, are marked by a band of silver-gray hair on their backs.

Gorillas live in small family groups of several females and their young, led by a dominant silverback male. The females comprise a harem for the silverback, who holds the sole mating rights in the troop. Like humans, female gorillas produce one infant after a gestation period of nine months. The large size and great strength of the silverback are advantages in competing with other males for leadership of the group and in defending the group against outside threats.

During the day these ground-living apes move slowly through the forest, selecting species of leaves, fruit, and stems from the surrounding vegetation. Their home range is about 9–14 square miles (25–40 km<sup>2</sup>). At night the family group sleeps in trees, resting on platform nests that they make from branches; silverbacks usually sleep at the foot of the tree.

Gorillas belong to the family Pongidae, which includes chimpanzees (*Pan troglodytes*), orangutans (*Pongo pygmaeus*), and gibbons (genus *Hylobates*). Together with chimpanzees, gorillas are the animal species most closely related to man. Like most megavertebrates, gorilla numbers are declining rapidly and only about 40,000 remain in the wild. There are three subspecies, the western lowland gorilla (*G. g. gorilla*), the eastern lowland gorilla (*G. g. graueri*), and the mountain gorilla (*G. g. beringei*). The rusty-gray western lowland gorillas are found in Nigeria, Cameroon, Equatorial Guinea, Gabon, Congo, Angola, Central African Republic, and Zaire (now Democratic Republic of the Congo [DRC]). The black-haired eastern lowland gorillas are found in eastern DRC. Deforestation and hunting now threaten lowland gorillas throughout their range.



**Male silverback gorilla.** (©maxexphoto/Image from BigStockPhoto.com.)

The mountain gorilla has been intensely studied in the field, notably by George Schaller and Dian Fossey, upon whose life the film *Gorillas in the Mist* is based. This endangered subspecies is found in the misty mountains of eastern Zaire, Rwanda, and Uganda at altitudes of up to 9,000 feet (3,000 m) and in the Impenetrable Forest in southwest Uganda. Field research has shown these powerful primates to be intelligent, peaceful and shy, and of little danger to humans.

Other than humans, gorillas have no real predators, although leopards will occasionally take young apes. Hunting, poaching (an intact mountain gorilla is worth up to \$150,000), and habitat loss are causing gorilla populations to decline. The shrinking forest refuge of these great apes is being progressively felled in order to accommodate the ever-expanding human population. Mountain gorillas are somewhat safeguarded in the Virunga Volcanoes National Park in Rwanda. Their protection is funded by strictly controlled small-group, gorilla-viewing tourist experiences that exist

alongside long-term field research programs. Recent population estimates are 10,000–35,000 (with 550 in captivity) western lowland gorillas, 4,000 (with twenty-four in captivity) eastern lowlands gorillas, and 620 mountain gorillas.

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Neil Cumberlidge

Grand Canyon see **Colorado River; Glen Canyon Dam**.

## Grand Staircase-Escalante National Monument

The Grand Staircase-Escalante National Monument, encompassing 1.9 million acres (757,000 ha) of public lands on the Colorado Plateau in south-central Utah, was created on September 18, 1996, by presidential proclamation under authority of the Antiquities Act of 1906 (34 Stat. 225, 16 U.S.C. 431). The U.S. Department of the Interior had first recommended the creation of the Escalante National Monument along the Colorado and Green Rivers in 1936. In 1937,

Capitol Reef National Monument was established in the area northeast of the Escalante Canyons along the upper portion of Waterpocket Fold. In 1941, the National Park Service studied the basin in conjunction with a comprehensive study of water resources in the Colorado River Basin. The study, published in 1946, identified the Aquarius Plateau/Escalante River Basin as "a little known, but potentially important recreation area." The area was recognized as a strategic link between the national parks in southwestern Utah and the canyon country of southeastern Utah.

A national monument is the designation given to a particular area to protect "historic landmarks, historic and prehistoric structures, and objects of historic or scientific interest that are situated upon the lands owned or controlled by the government of the United States." President Theodore Roosevelt exercised this authority to ensure protection for the Grand Canyon. U.S. Presidents have established 100 national monuments over the past century, including Zion, Bryce Canyon, Glacier Bay, Death Valley, and Grand Teton. The Grand Staircase-Escalante National Monument was dedicated from the Grand Canyon National Park in Arizona, and no elected official from Utah was at the ceremony because of the controversy in Utah about the designation of the monument.

The Grand Staircase-Escalante National Monument was created to preserve geological, paleontological, archaeological, biological, and historical features of the area. Geological features include clearly exposed stratigraphy and structures. The sedimentary rock layers are relatively undeformed and unobscured by vegetation, providing a view to understanding of the processes of the formation of the earth. A wide variety of geological formations in colors such as red, pink, orange, and purple have been exposed by millennia of erosion. The monument contains significant portions of a vast geological stairway, which was named the Grand Staircase by the geologist Clarence Dutton. This stairway rises 5,500 feet (1,678 m) to the rim of Bryce Canyon in an unbroken sequence of cliffs and plateaus. The monument also includes the canyon country of the upper Paria Canyon system, major components of the White and Vermillion Cliffs and associated benches, and the Kaiparowits Plateau. The Kaiparowits Plateau includes about 1,600 square miles (2,574 km<sup>2</sup>) of sedimentary rock and consists of south-to-north ascending plateaus or benches, deeply cut by steep-walled canyons. Naturally burning underground coal seams have changed the tops of the Burning Hills to brick-red. A major landmark, the East Kaibab Monocline, or Cockscomb, is aligned with the Paunsaugant, Sevier, and Hurricane Faults,

which may indicate that it may also be a fault at depth. The Circle Cliffs, which features intensively colored red, orange, and purple mounds and ledges at the base of the Wingate Sandstone Cliffs, are one of the most distinctive landscapes of the Colorado Plateau. Inclusion of part of the Waterpocket Fold completes the protection of this geologic feature, which was begun with the establishment of the Capitol Reef National Monument in 1936. There are many arches and natural bridges within the monument boundaries, including the 130-foot (39.4-m) high Escalante Natural Bridge, with a 100-foot (30.3-m) span and the Grosvenor Arch, a double arch. The upper Escalante Canyons, in the northeastern part of the monument, include several major arches and bridges and geological features in narrow, serpentine canyons, where erosion has exposed sandstone and shale deposits in colors of red, maroon, brown, tan, gray, and white.

Paleontological features include petrified wood, such as large unbroken logs more than 30 ft (9 m) in length. The stratigraphy of the Kaiparowits Plateau provides one of the best and most continuous records of the paleontology of the late Cretaceous Era. Fossils of marine and brackish water mollusks, turtles, crocodiles, lizards, dinosaurs, fishes, and mammals (including a marsupial primitive mammal) have been recovered from the Dakota, Tropic Shale, and Wahweap Formations and the Tibbett Canyon, Smoky Hollow, and John Henry members of the Straight Cliffs Formation.

Archeological inventories show extensive use of places within the monument by Native American cultures. Recorded sites include rock art panels, occupation sites, rock shelters, campsites, and granaries.

Historical evidence indicates that the monument was occupied by both Kayenta and Fremont agricultural cultures for a period of several hundred years centered around A.D. 1100. The area has been used by modern tribal groups, including the Southern Paiute and the Navajo. In 1872, an expedition of John Wesley Powell did initial mapping and scientific field work in the area. The expedition discovered the Escalante River, naming it in honor of the Friar Silvester Valez de Escalante expedition of 1776. The Escalante River Canyons have been a major barrier to east-west travel in the region in historic times. The river is presently bridged only at its upper end. Early Mormon pioneers left many historic objects, including trails, inscriptions, ghost towns such as the Old Paria townsite (built in 1874 and abandoned in 1890), rock houses, cowboy camps, and they built the Hole-in-the-Rock Trail in 1879–1880 as part of their colonization activities. Sixty miles (96.6 km) of the Hole-in-the-Rock

Trail are within the monument, as well as Dance Hall Rock, used by Mormon pioneers for meetings and dances, and now a National Historic Site.

As a biological resource, the Grand Staircase-Escalante National Monument spans five life zones, from low-lying desert to coniferous forest. Remoteness, limited travel corridors, and low visitation have helped to preserve the ecological features, such as areas of relict vegetation, many of which have existed since the Pleistocene. Pinon-juniper communities containing trees up to 1,400 years old and relict sagebrush-grass park vegetation can be found on No Man's Mesa, Little No Man's Mesa, and Four Mile Bench Old Tree Area. These relict areas can be used to establish a baseline against which to measure changes in community dynamics and biogeochemical cycles in areas impacted by human activity. The monument contains an abundance of unique isolated communities such as hanging gardens and canyon bottom communities, with riparian plants and their pollinators; tinajas, which contain tadpoles, fairy and clam shrimp, amphibians, and snails; saline seeps, with plants and animals adapted to highly saline conditions; dunal pockets, with species adapted to shifting sands; rock crevice communities, consisting of slow-growing species that can thrive in extremely infertile sites; and cryptobiotic crusts, which stabilize the highly erodible desert soils and provide nutrients for plants. The wildlife of the monument is characterized by a diversity of species, where both northern and southern habitat species intermingle. Mountain lions, bears, and desert bighorn sheep, as well as over 200 species of birds, including bald eagles and peregrine falcons, can be found within the monument. The wildlife concentrates around the Paria and Escalante Rivers and other riparian areas.

The Secretary of the Interior, through the Bureau of Land Management (BLM), which in the past has been responsible for the public lands included within the monument area, will manage the monument. This is the first national monument to be managed by the BLM. The BLM will develop a management plan to address measures necessary to protect the scientific and historic features within the monument by three years after the date of establishment of the monument. The BLM will consult with state and local governments, other federal agencies, and tribal governments to prepare the land use plan.

The boundaries of the Grand Staircase-Escalante National Monument were drawn to exclude as much private land as possible, as well as the towns of Escalante, Boulder, Kanab, and Tropic. The national monument designation applies only to Federal land and not

to the approximately 9,000 acres (3,644 ha) of private land remaining within the boundaries of the monument. Private landowners continue to have existing rights of access to their property. The landowners may participate in land exchanges with the BLM to trade land within the monument for land of equal value outside the area. The State of Utah owns about 180,000 acres (73,800 ha) of isolated, 640-acre (262 ha) sections of school lands within the boundaries of monument. The State will be allowed to exchange these isolated school lands for federal lands of equal value outside the monument boundaries. All federal lands were withdrawn from sale or leasing under the public land laws upon designation as a national monument. The designation also prohibited the issuance of any new mineral leases in the area, including new claims made under the Mining Law of 1872. Existing uses under federal or state laws, such as hunting, camping, travel, hiking, backpacking, and other recreational activities, as well as grazing permits, continue under current policies and rules. The proclamation did not reserve water or make any federal water rights claims. As part of the management plan, the BLM will evaluate the extent to which water is necessary for the care and management of objects of the monument and the extent to which further action may be necessary under federal or state law to ensure availability of water.

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Judith Sims

## Grasslands

Grasslands are environments in which herbaceous species, especially grasses, make up the dominant vegetation. Natural grasslands, commonly called prairie, pampas, shrub steppe, palouse, and many other regional names, occur in regions where rainfall is sufficient for grasses and forbs but too sparse or too

seasonal to support tree growth. Such conditions occur at both temperate and tropical latitudes around the world. In addition, thousands of years of human activity—clearing pastures and fields, burning, or harvesting trees for materials or fuel—have extended and maintained large expanses of the world’s grasslands beyond the natural limits dictated by climate. Precipitation in temperate grasslands (those lying between about 25° and 65° latitude) usually ranges from approximately 10–30 inches (25–75 cm) per year. At tropical and subtropical latitudes, annual grassland precipitation is generally between 24 and 59 inches (60 and 150 cm). Besides its relatively low volume, precipitation on natural grasslands is usually seasonal and often unreliable. Grasslands in monsoon regions of Asia can receive 90 percent of their annual rainfall in a few weeks; the remainder of the year is dry. North American prairies receive most of their moisture in spring, from snow melt and early rains that are followed by dry, intensely hot summer months. Frequently windy conditions further evaporate available moisture.

Grasses (family Gramineae) can make up 90 percent of grassland biomass. Long-lived root masses of perennial bunch grasses and sod-forming grasses can both endure drought and allow asexual reproduction when conditions make reproduction by seed difficult. These characteristics make grasses especially well suited to the dry and variable conditions typical of grasslands. However, a wide variety of grass-like plants (especially sedges, Cyperaceae) and leafy, flowering forbs contribute to species richness in grassland flora. Small shrubs are also scattered in most grasslands, and fungi, mosses, and lichens are common in and near the soil. The height of grasses and forbs varies greatly, with grasses of more humid regions standing 7 feet (2 m) or more, while arid land grasses may be less than one-half meter tall. Wetter grasslands may also contain scattered trees, especially in low spots or along stream channels. As a rule, however, trees do not thrive in grasslands because the soil is moist only at intervals and only near the surface. Deeper tree roots have little access to water, unless they grow deep enough to reach groundwater.

Like the plant community, grassland animal communities are very diverse. Most visible are large herbivores—from American bison and elk to Asian camels and horses to African kudu and wildebeests. Carnivores, especially wolves, large cats, and bears, historically preyed on herds of these herbivores. Because these carnivores also threatened domestic herbivores that accompany people onto grasslands, they have been hunted, trapped, and poisoned. Now most wolves, bears, and

large cats have disappeared from the world's grasslands. Smaller species compose the great wealth of grassland fauna. A rich variety of birds breed in and around ponds and streams. Rodents perform essential roles in spreading seeds and turning over soil. Reptiles, amphibians, insects, snails, worms, and many other less visible animals occupy important niches in grassland ecosystems.

Grassland soils develop over centuries or millennia along with regional vegetation and according to local climate conditions. Tropical grassland soils, like tropical forest soils, are highly leached by heavy rainfall and have moderate to poor nutrient and contents. In temperate grasslands, however, generally light precipitation lets nutrients accumulate in thick, organic upper layers of the soil. Lacking the acidic leaf or pine needle litter of forests, these soils tend to be basic and fertile. Such conditions historically supported the rich growth of grasses on which grassland herbivores fed. They can likewise support rich grazing and crop lands for agricultural communities. Either through crops or domestic herbivores, humans have long relied on grasslands and their fertile, loamy soils for the majority of their food.

Along a moisture gradient, the margins of grasslands gradually merge with moister savannas and woodlands or with drier, desert conditions. As grasslands reach into higher latitudes or altitudes and the climate becomes too cold for grasses to flourish, grasslands grade into tundra, which is dominated by mosses, sedges, willows, and other cold-tolerant plants.

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Mary Ann Cunningham

## Grazing on public lands

Grazing on public lands is the practice of raising livestock on land that is not privately owned. Livestock such as cattle and sheep eat forage (grass and other herbage) on the public land. Through the twentieth century and into the twenty-first, ranchers grazed livestock on federal and state public land in the western states.

The western livestock industry developed during the decades after the Civil War, according to a Bureau of Land Management (BLM) report. People headed west, where the land was open. A prospective rancher just needed a headquarters, some horses and cowboy employees. Some ranches consisted of a dugout shelter for the people and a horse corral.

Livestock grazed on open land called the range. When livestock ate all the forage in one area, the rancher moved the herd and headquarters to another area. By 1870, there were 4.1 million beef cattle and 4.8 million sheep in seventeen states, according to BLM. Thirty years later, there were 19.6 million cattle and 25.1 million sheep. As the number of livestock increased, the range became crowded and there was less forage.

The twentieth century opened with discussion in Congress about how to regulate use of public lands. After years of debate, Congress approved the Taylor Grazing Act in 1934. The act established a permit requirement and "significantly reduced" the number of ranchers and livestock, according to BLM. A portion of fees was allocated to the grazing district for improvements like fencing.

The act only addressed grazing as a land use. That policy changed with the approval of the 1976 Federal Land Policy and Management Act. FLPMA stated that federal public lands and their resources would be managed for multiple uses that "best meet the present and future needs for the American people."

Passage of the act reflected concerns about environmental protection and conservation. At the same time, the increase in population brought more demand for recreational uses of public land. In the years since the passage of FLPMA and environmental protection laws, the land-use debate intensified.

The BLM manages 253 million surface acres (102 million hectares) in twelve western states and the 193 million acres (78 million hectares) of United States Forest Service land in forty-four states. Federal grazing permits were issued by those jurisdictions, the National Park Service, and the Fish and Wildlife Service. BLM manages grazing on approximately 157

million acres (64 million hectares). Ranchers pay a fee called an animal unit month (AUM). This is based on the monthly amount of forage needed to sustain one cow and her calf, one horse, or five sheep or goats. The AUM in 2010 was \$1.35. Opponents called the fee a government subsidy. They estimated an AUM of approximately \$11 for grazing on private land.

BLM manages approximately 18,000 grazing permits, mostly cattle and sheep, on its 157 million acres of grazing land. In 2009, the BLM authorized 12.5 million AUMs for its grazing lands. This number represents a significant decrease from the 22 million AUMs that the U.S. Grazing Service authorized in 1941. Of the 12.5 million AUMs authorized in 2009, however, only 8.6 million AUMs were used.

Livestock cause more damage to public land than chainsaws or bulldozers, according to anti-grazing activists. Damage includes trampled vegetation, soil damage, water pollution, and the spread of invasive weeds, according to grazing opponents.

The beef and sheep industries are represented by the Public Lands Council (PLC), an organization that said grazing helped to preserve open space. PLC said that opponents incorrectly blamed ranchers for environmental degradation. According to PLC, research indicated that conservation goals on public land were easier to achieve when grazing was properly managed. Livestock managers could make improvements that would not be done if grazing was prohibited.

Grazing opponents disagreed and used various methods to limit grazing. Lawsuits claimed that grazing violated environmental regulations. Successful cases include the 1998 removal of livestock from the Gila River Basin in Arizona and New Mexico. The ruling was based on the Endangered Species Act and the protection of the spotted owl and other species. Furthermore, activists tried to buy leases. They urged ranchers to end leases by retiring their permits.

The Clinton administration promulgated new regulations designed to reform livestock grazing practices on the 170 million acres of western rangelands. These regulations included standards and guidelines that prescribe minimally acceptable norms for the condition of rangelands, riparian areas, streams, and wildlife habitat.

Another solution was proposed in 2002 by the National Public Lands Grazing Campaign. An umbrella group of various organizations, NPLGC wanted federal law changed to allow the voluntary retirement of grazing permits. The federal government would pay \$175 per AUM to buy out leases. NPLGC said the plan would cost an average of \$13.45 per acre. The livestock industry

rejected the plan. PLC said in April of 2002 that the plan could cost taxpayers more than \$3.2 billion. Plan supporters countered that grazing “subsidies” cost taxpayers up to \$460 million annually.

Although livestock grazing is a legitimate use of some public lands, the special status historically afforded livestock ranching and livestock ranchers may well be unjustified, such that tighter regulation and grazing fees sufficient to recover all of the government costs could be appropriate.

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Western Watersheds Project (National Public Lands Grazing Campaign)., P.O. Box 1770, Hailey, ID, 83333, <http://www.westernwatersheds.org>

Liz Swain

## Great Barrier Reef

The Great Barrier Reef (GBR), located in the Coral Sea off the eastern coast of Queensland in northeastern Australia, consists of more than 2,900 reefs that range in size from 1 hectare to over 10,000 hectares in area. As the largest reef in the world, the GBR 1,250 miles (2,011 km) in length, extending from a point near McKay, Queensland in the south to the Torres Strait in the north, which lies between Australia and New Guinea. The Reef is 45 miles (72 km) across at its widest point, with a total area of more than 300,000 square kilometers. Approximately 20 percent of the reefs are submerged reefs or shoals, while about 26 percent are fringing reefs around continental islands or along the mainland coast. The remaining reefs are shelf reef platforms. Water depths range from 65.6–98 feet (20–30 m) in lagoonal areas to 131–197 feet (40–60 m) between the reefs on the outer shelf. Starting in the south near the Tropic of Capricorn, the



An aerial view of a portion of the Great Barrier Reef. (Dropu/Shutterstock.com)

GBR is a wide scattering of reefs about 186 miles (300 km) out from the coast; moving north the Reef becomes more continuous and is within 10–12 miles (16–19 km) of the coast, with a few individual reefs in the inner lagoon (the area between the reef and the coast). North of Cairns the reef is almost a continuous barrier between the coast and the Coral Sea. Drilling has indicated that in places the reef is over 1,640.5 feet (500 m) thick.

At the end of the last ice age, about 20,000 years ago, the sea level was about 394 feet (120 m) lower than it is today, and dry land extended from the present day coast to the location of today's outer Barrier Reef. As the ice melted, the sea rose, and by 13,000 years ago the coastal plain had become a submerged continental shelf. Corals and reefs grow best where there is water movement, so the reefs tended to form on submerged hills in the flooding coastal plain. By 6000 to 7000 years ago, the sea had reached its present level, and the reef began to assume its present shape. The Spanish mariner Luis Vaez de Torres (c.1565–fl.1607) was the first European known to have sailed the northern reef, but the Spanish kept the route a secret to protect their route between Europe and the Orient. The Reef was explored and charted by British explorer Captain James Cook (1728–1779), whose ship in 1770 ran aground on the reef that now is termed the Endeavor, after the name of his ship.

The GBR provides homes and shelter for a wide diversity of life. The GBR is home to corals, which form the reefs, dolphins and whales, six species of sea turtles, more than 1,500 species of fish, 4,000 types of mollusks, 500 species of seaweed, and more than 200 species of birds. The corals that make up the Reef consist of individual living coral polyps, which as they divide in a process termed budding, form colonies

in fan, antler, brain, and plate shapes. Each polyp, which is a tiny jelly-like, sack-like animal with a mouth surrounded by tentacles, lives inside a shell of aragonite, a type of calcium carbonate ( $\text{CaCO}_3$ ) that is the hard shell typically recognized as coral. The polyps are joined together to form a colony. Coral polyps obtain food by catching plankton in their tentacles as well as deriving up to 90 percent of their nutrients from symbiotic algae, the zooxanthellae, that live within their tissues. The photosynthetic pigments of the zooxanthellae give coral reefs their bright colors. These zooxanthellae produce nutrients through photosynthesis, which are then available for use by the coral. Association with the algae allow corals to build skeletons three times faster in the light than in the dark, and faster than storms and waves can break it down. Coral bleaching occurs when stressed corals expel the zooxanthellae and turn white, or bleached. If the zooxanthellae do not return to the coral, the coral will die.

Every year about one-third of the four hundred species of coral reproduce sexually during a mass spawning event. Spawning occurs in most of the inner reefs around November and in the outer reefs in December. The spawning occurs at night, up to six days after the full moon. Eggs and sperm are released into the water, where they combine to form a free-swimming larval stage.

The GBR is completely within the tropics. The climate of the Reef is a typical monsoon weather pattern, which consists of strong south-easterly winds dominating during the dry winter months and weaker variable winds occurring during the summer wet season. Both air and sea temperatures exhibit seasonal variations. Mean sea temperatures in inshore areas exhibit a range of temperatures of 69.8° Fahrenheit (21°C) in July and August to 86° Fahrenheit (30°C) in January and February. Temperatures on offshore reefs exhibit less seasonal differences, varying from 73.4° Fahrenheit (23°C) in winter to 82° Fahrenheit (28°C) in summer.

There are two types of islands along the GBR. The larger islands, such as those of the Whitsunday group, are the tops of submerged mountains that at one time were high points of a range running along the coast. These islands have vegetation similar to the mainland. Some of these islands that have fertile soils and are affected by heavy monsoonal rains are covered with rain forests. Other islands are isolated low-lying coral cays. Cays are formed when coral grow to levels that are higher than sea level, even at low tide. Coral can only survive a few hours out of water. The dead coral on the cays is worn down and broken off by waves and storm action. Eventually the coral debris is ground into sand. Through time the sand stabilizes, seabirds

start to nest, and hardy vegetation begins to grow. Decomposing plant materials and bird droppings change the sand into a more developed soil, providing an environment for a wider diversity of plant life. Cays are found more frequently on inner reefs in the GBR, where waves and currents are less strong than on reefs further away from the coastline. However, vegetated cays are not sufficiently stable to withstand severe weather, such as storms and cyclones.

Comprehensive protection of the GBR was accomplished in 1975 by the formation of the GBR Marine Park, administered by the Great Barrier Reef Marine Park Authority (GBRMPA). Over 99 percent of the area, with the exception of a few coastal areas, is now included within the Marine Park. Drilling and mining for minerals within all areas of the Marine Park is forbidden. In 1981, in recognition of its outstanding natural heritage value, most of the Great Barrier Reef area was added to the World Heritage List by the United Nations Education, Scientific, & Cultural Organization (UNESCO). The GBR World Heritage Area is the largest of the world's over 890 World Heritage Areas. In addition to the coral reef area, the GBR World Heritage Area also contains the continental slope, the inter-reefal areas, and the GBR lagoon.

The GBR Marine Park has been classified into seventy bioregions, which are areas of differing marine biodiversity with plant and animal communities that, together with physical features, are significantly different than the surrounding areas and the rest of the Marine Park. Based on these bioregions, the GBRMPA has divided the Marine Park into eight zones: (1) the Preservation Zone, which includes areas that are intended to be kept completely untouched; (2) the Commonwealth Islands zone, which includes areas for low impact recreational activities and limited education use; (3) the Marine National Park Zone, which includes areas for low impact recreation, limited research, and limited education use; (4) the Scientific Research Zone, which includes areas set aside exclusively for scientific research; (5) the Buffer Zone, which includes areas for low impact recreation, limited research, and limited education use; (6) the Conservation Park Zone, which includes areas where recreational use (i.e., fishing with one line and one hook) is permitted, but commercial fishing is not permitted; (7) the Habitat Protection Zone, where reasonable recreational and commercial uses are permitted, but trawling and shipping are prohibited (except in designated shipping area); and (8) the General Use Zone, where all reasonable uses are permitted, including trawling and shipping, but mining, oil drilling, commercial spear fishing and fishing with scuba equipment are not permitted. In 2004,

the GBRMPA initiated a new zoning program, which protects one third of the GBR World Heritage Area, placing that entire area into the Preservation zone through the Representative Areas Program (RAP). The RAP ensures that each specific type of habitat in the Reef has a representative area that is protected.

More than two million people a year visit the Reef, generating more than \$AU1 billion in tourism dollars. Tourists visit the reef using private charter boats, regular scheduled daily cruises, seaplanes, air charter, and helicopter flights. The visitors view the reefs through reef walks, glass bottom or semi-submersible boats, snorkeling, or scuba diving.

The GBR is sensitive to climate changes and to changes in patterns of water movement. Increases in temperature of 34.7 to 35.6°Fahrenheit (1.5–2.0°C) that last six to eight weeks can initiate bleaching. If these warmer water temperatures last longer, the coral may die. In 1998 scientists announced that about 60 percent of the reef had been affected by coral bleaching due to increasing sea temperatures and fresh water flooding. The coral bleaching event in 1998 affected 16 percent of the GBR. Bleaching events also occurred in 2002 and 2006 destroying localized sections of reef. Increasing water temperatures may act to weaken the coral, making it more susceptible to disease, predators, and pollutants. Climate change is of significant concern with regard to the survival of the GBR.

The Reef is also susceptible to physical damage. Human damage to the GBR has been occurring due to carelessness, where people walk on the reefs, drop anchors onto them, drag diving gear over them, break them into pieces to take as souvenirs, and knock into and ground boats onto them.

Since the 1960s, during periodic outbreaks, the Crown of Thorns starfish (*Acanthaster planci*) has been preying on and destroying corals that make up the reef. The Crown of Thorns is a large, thorny, brown-colored starfish. The starfish eats coral by turning its stomach out through its mouth, in a process termed stomach eversion, wraps the stomach around a coral, and secretes an enzyme to digest the living coral polyps. When the stomach is drawn back in, all that is left is the limestone coral skeleton. This skeleton becomes covered with green algae and other types of encrusting plants and animals, which give the coral skeleton a dull, gray appearance. Some types of corals become infested with boring organisms. During periods of rough weather (e.g., during a cyclone), the dead colony and the newly attached organisms may collapse. Some scientists assert that outbreaks of the Crown of Thorns starfish may be a natural part of

the lifecycle of the reef. By feeding on fast-growing species of corals, the starfish may act to preserve coral diversity, for fast-growing populations, if left unchecked, could dominate the reef.

Water quality has been identified by the GBRMPA as a critical management issue, as pollution by sediments, toxic metal and organic contaminants, oil spills, and pesticides, can potentially harm the Reef. Sewage discharge is regulated in the Marine Park area, with tertiary treatment (i.e., nutrient reduction) required before marine discharge; alternatively secondary or tertiary treated wastewater can be land applied with minimal marine discharge. However, the primary water quality concern is nutrient loading from inshore ecosystems. Programs are being developed to reduce nutrient inputs through regulation of discharges, integrated catchment management, improved land management practices, and wetland protection.

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Judith L. Sims

## Great Lakes

The advance and retreat of glaciers over millions of years scraped and scoured the Great Lakes basins until they attained their present form about 10,000 years ago. Forming the largest system of inland lakes in the world, the Great Lakes have a surface area of



The great lakes. (Courtesy of NASA)

94,200 square miles (244,000 km<sup>2</sup>) and a volume of more than 28 trillion yards<sup>3</sup> (22,000 km<sup>3</sup>) of water, 20 percent of the world's surface freshwater.

Lake Superior, with more than 31,660 square miles (82,000 km<sup>2</sup>) of water, has the largest surface area of freshwater on earth. Lake Huron, the world's fifth largest lake, is at the same elevation and about the same size as Lake Michigan, the world's sixth largest lake. The two are joined by the narrow, deep Straits of Mackinac. Their accumulated waters empty into the St. Clair River which flows into Lake St. Clair, which has an area of about 460 square miles (1,190 km<sup>2</sup>). The water continues its flow into the Detroit River before entering Lake Erie, the eleventh largest lake in the world. It is the oldest, shallowest, busiest, and most eutrophic (lacking oxygen) of the Great Lakes. The waterway continues into the Niagara River, then to the famous Niagara Falls, where the water descends a total of 325 feet (99 m) before it empties into the last Great Lake, Ontario. The fourteenth largest lake on Earth, Lake Ontario is the smallest in surface area but the second deepest of the Great Lakes. It discharges into the St. Lawrence River, which flows into the Atlantic Ocean at the Gulf of St. Lawrence.

The first European explorers discovered a great variety of native fish. Approximately 153 species were eventually identified before human interference disrupted the ecosystem, first by overfishing, and then by lumbering and industrial development. As many species of fish have disappeared, about twenty new species have been introduced. Some, such as the Pacific salmonids, carp, and smelt, were introduced intentionally. Others, such as the sea lamprey, alewife, and zebra mussel, gained access through the Erie and

Welland Canals or by release with the ballast water of vessels transporting other cargo.

Today, lake trout, burbot, and whitefish are the principal catches of a once extraordinarily rich fisheries enterprise. Despite the decline in the quality and numbers of suitable fish, sport and commercial fishing are still vital Great Lakes industries. The sport fishery consists primarily of coho, chinook salmon, steelhead trout, walleye, and perch. They now attract about five million anglers annually with a regional economic benefit of about \$2 billion.

Besides directly water-related activities, presently, one-fifth of the industry and commerce of the United States is located in the Great Lakes catchment basin because of the availability of abundant cheap and clean freshwater and accessible, efficient water transportation among the lakes and to the oceans. As a consequence, pollution has taken some obvious as well as more subtle forms. Using the lakes as a cheap sewage disposal site for shoreline city populations began in the early seventeenth and eighteenth centuries and continued until the early 1970s. To improve the quality of the Great Lakes, the first efforts concentrated on preventing or removing conventional pollutants such as phosphates, suspended solids, and nitrogen.

More deadly toxic contaminants often are not visible and so initially attracted less attention. Over the past fifty years municipal and industrial wastes so polluted the waters, especially the lower Great Lakes, that, beginning in the middle 1960s organochlorides were identified as serious contaminants. Fish were collecting, through bioaccumulation, relatively large concentrations of agricultural pesticides such as DDT (scientifically known as dichlorodiphenyltrichloroethane) and dieldrin as well as the industrial chemical polychlorinated biphenyl (PCB) in their tissues. These were passed into the human food chain/web. By 1980 more than 400 organic and heavy metals contaminants had been found in fish, and fisherpersons were warned to limit their consumption. The effects of pollutants are seen primarily at the tops of food chains and are usually discovered through changes in population levels of predator species. Organochlorines and methylated mercury, for example, bioaccumulate to levels that may cause reproductive failures in fish-eating birds and animals such as cormorants, eagles, and mink.

Between 1969 and 1972 legislation was enacted in several states bordering the Great Lakes basin to restrict or ban the use of dieldrin, DDT, PCBs, mercury and other toxic chemicals. After point source discharges were regulated, lake trout and chub, especially in Lake Michigan, showed dramatic declines in these

contaminants. By 1978–79, however, the fish contaminant declines were only slight; or the levels remained relatively constant, reflecting airborne inputs as well as the remobilization of contaminants from the sediment.

This problem is likely to continue because the turnover rates of the Great Lakes are very slow; and mercury, PCBs and the pesticides DDT, dieldrin and chlordane are very resistant to degradation in the environment. Also, these compounds continue to enter the Great Lakes ecosystem from highly diffuse nonpoint sources such as airborne deposition, agricultural and urban runoff, remobilization from the sediments, leaching from municipal and industrial landfills, municipal and industrial discharges, and illegal dumping.

Although there has been progress in recent decades, pollution and development practices in the Great Lake region continue to diminish or threaten wetland areas and natural biodiversity is threatened by several invasive species. Toxic wastes from discarded computers and other electronics (e-waste) are also a growing concern. Starting in 2010, the Great Lakes Restoration Initiative (GLRI), a program intended to remediate pollution and other environmental hazards will allow the U.S. Environmental Protection Agency (EPA) to oversee and support a number of federal, state, and local restoration efforts. General GLRI goals include curbing agricultural and industrial runoff and specific goals include the removal of millions of pounds of household, medical, and e-waste. EPA officials will also support programs intent on controlling or eliminating invasive species like zebra mussels and Asian carp.

In addition, in September 2010, the GLRI awarded \$10 million to biological researchers at Central Michigan University (Mount Pleasant) to assess and track the health of wetlands residing within the Great Lakes watershed. Furthermore, Cuyahoga County, which includes Cleveland, Ohio, will receive \$1 million to improve surface waters in Lake Erie. GLRI funds have also been allocated to reduce sediment deposits within Toledo Harbor and to create a reforestation project near Maumee, a suburb of Toledo, Ohio.

*See also* Agricultural chemicals; Agricultural pollution; Great Lakes Water Quality Agreement (1978); Heavy metals and heavy metal poisoning; Industrial waste treatment; Methylation.

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## Great Lakes Water Quality Agreement (1978)

The Great Lakes Water Quality Agreement of 1978 amended and strengthened the International Great Lakes Water Quality Agreement between Canada and the United States, which was signed in 1972. The original agreement established a framework for research, clean-up, and pollution control based on goals determined by the two nations. The existing International Joint Commission, in cooperation with the newly-created International Great Lakes Water Quality Board, was to oversee the implementation of the agreement. Chief goals of the agreement were to reduce the amount of phosphorus being dumped into the lakes by 50 percent, to require all municipal sewage treatment plants to be at the secondary level (removing nutrients such as phosphorous and nitrogen), and to control toxic water pollution.

The impetus for action in 1972, and again in 1978, was the decreasing water quality in the Great

Lakes. Two incidents best symbolized this to the nation. First, the massive algal blooms in Lake Erie, due to eutrophication, led many commentators to declare that the lake was dead. And second, when the Cuyahoga River in Cleveland caught fire in 1969, providing a clear visual message to the rest of the country about the state of pollution in the Great Lakes. Not as visible, but just as serious, the Great Lakes were also suffering from toxic chemical pollution, which was a problem for water use, and also for sports and recreational fishing. Limits, and in some cases, bans, were placed on how much fish from the lakes could be consumed.

The 1978 Agreement substantially strengthened the 1972 accord. The new agreement focused especially on toxic pollutants and phosphorous, the chief nutrient responsible for eutrophication in the Great Lakes. A stricter definition of a toxic substance was included, as well as specific water quality objectives for certain heavy metals, polychlorinated biphenyl (PCB), and pesticides, and a list of hundreds of hazardous and potentially hazardous materials. Phosphorous entering the lakes would be reduced further in certain lakes, primarily by improved municipal solid waste plants. Additional sections of the agreement dealt with pollution resulting from airborne toxins, dredging, shipping, and nonpoint source pollution. A contingency plan between the two nations to respond to spills or other severe pollution episodes was also to be created. The Agreement is an Executive Agreement with no force of law in either Canada or the United States. Rather, each nation must depend on existing laws to meet these joint goals.

The Great Lakes Critical Programs Act, passed in 1990, was designed to increase the efforts of the Environmental Protection Agency (EPA) and the states in cleaning up the Great Lakes. Evaluations of the 1978 Agreement indicated that neither the EPA nor the states had been putting sufficient effort into the implementation of the necessary programs.

By the middle 1990's, significant progress had been made on reducing phosphorous pollution and improving municipal sewage treatment by building new plants and improving existing plants. Phosphorous loadings were below 1978 target loads for Superior, Huron, and Michigan, and at or near target loads for Erie and Ontario. Hence, this aspect of the water quality agreement has largely been achieved. There has also been significant improvement on point source pollution of toxic chemicals such as PCBs. Toxic substances in the lakes remain a major problem due to air pollution, runoff (non-point source pollution), and releases from already contaminated sediments. For

instance, the source of over 75 percent of the PCBs entering Lake Superior and 95 percent of the lead entering the Great Lakes is air pollution. In 1995, Canada and the United States agreed to develop a coordinated bilateral strategy to virtually eliminate persistent toxic substances from the Great Lakes Basin. That same year, the EPA launched the Great Lakes Water Quality Initiative as a framework for achieving that goal.

Although there has been progress in recent decades, the Great Lakes—by some estimates holding approximately 20 percent of the World’s freshwater reserves—remain polluted by agricultural runoff and toxic industrial waste. Pollution and development practices continue to diminish or threaten wetland areas and natural biodiversity is threatened by several invasive species. In February 2010, the U.S. Environmental Protection Agency (EPA) announced the start of the Great Lakes Restoration Initiative, a program intended upon remediation of pollution and other environmental hazards. General program goals include curbing agricultural and industrial runoff in surrounding feeder watershed. Specific program goals include the removal of millions of pounds of household waste, medical waste, and e-waste (waste derived from discarded computers and other electronics). EPA officials also announced plans to support programs intent on controlling or eliminating invasive species like zebra mussels and Asian carp that threaten natural biodiversity. In June 2010, U.S. Secretary of State Hillary Clinton and Canada’s Minister of Foreign Affairs Lawrence Cannon announce that the United States and Canada would modernize the Great Lakes Water Quality Agreement to reflect recent challenges, including global climate change invasive species, using modern technologies.

See also Chemical spills; Heavy metals and heavy metal poisoning; Oil spills; Runoff.

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## Great Smoky Mountains

The Great Smoky Mountains (GSM) National Park covers approximately 543,400 acres (220,000 ha) of western North Carolina and southeastern Tennessee, making the park the single largest wilderness area in the eastern United States. The mountains received their name from the Cherokee for the smoke-like clouds which frequently shroud their peaks and the grey-green haze of the wooded slopes. In early fall, this grey-green haze becomes a blaze of autumnal colors. The GSM are known for their large stands of some of the world’s best remaining examples of temperate deciduous forest, and for their “balds” or treeless mountain tops. The park’s size, scenic beauty, and geographical proximity to large population centers are features that contribute to attracting more visitors annually than any other national park (including the somewhat better-known Yellowstone, Yosemite, and Grand Canyon National Parks).

The GSM are situated in what had been one of the least accessible areas of the eastern United States. Natural barriers contributed to relatively low levels of development and exploitation through the eighteenth and nineteenth centuries. GSM National Park was authorized in 1926. Land purchase (funded in part by a five million dollar donation from foundations associated with the Rockefeller family) began in 1930 and was established through an act of Congress in June of 1934. The Park was formally dedicated by President Franklin D. Roosevelt in 1940. In 1976, the Park was recognized as a biosphere reserve, and in 1983 the GSM were selected as a World Heritage Site.

The GSM contain several of the highest mountain peaks in the United States east of the Mississippi River. The Park’s Mt. Guyot, Mt. Chapman, and Mt. Leconte all tower over 6,000 feet (1,830 m). At 6,643 feet (2,025 m), Clingman’s Dome, on the Tennessee side, is the highest point in the Park, as well as the crest of the Appalachian Trail. This peak was named after General Thomas L. Clingman, a soldier and scientist who, while exploring the area with Arnold Guyot in the late nineteenth century, was the first to measure the summit’s elevation.

Among the oldest mountains in the world, the GSM also contain some of the oldest rock strata in the eastern United States. Sheetlike layers of slate (a fine-grained rock originally formed from mud sediment) create jagged outcrops of spectacularly-angled rock, glinting in areas where mica deposits (a sparkling mineral formed when slate is heated) show through to the surface. The slate that makes up much of the

jagged central ridge line tells the story of how the mountains were formed by repeated elevations rather than by one single geological event. The bedrock ranges in age from about 600 million–1 billion years and exhibits a range of different characteristics owing to metamorphoses caused by the heat and violence of geological shifts.

More than 148,200 acres (60,000 ha) of GSM National Park are pristine or primitive. Early in the summer, the characteristic “balds” of the GSM (clear, treeless patches on the summits) are brightened by rhododendron blooms around their peripheries. Several varieties of spruce, buckeye, birch, hemlock, dogwood are also common. The abundant sunshine and frequent rain of the region help support over 1,500 species of flowering plants, including eight species of trillium, thirty-two species of violets, and twenty-nine species of orchids. During the last ice age, the highlands were a refuge for northern plant species displaced by the continental ice sheet. Remnants of some of these species, including red spruce and Fraser fir, can still be found at the higher elevations but virtually nowhere else at this latitude. The Park contains the largest virgin forests of red spruce and balsam fir, and the finest virgin hardwood forests left in the United States. Indeed, the slopes of the GSM may support more virgin timber than the rest of the eastern United States combined. In the fall, when the green chlorophyll in deciduous leaves begins to break down, these forests put on a spectacular show of color. Fall colors generally peak between October 15–25, when up and down the mountains may be seen the brilliant reds of maples, golden yellow of beech, and the deeper hues of oaks and more southerly species. But Park visitation also peaks at this time, and those visitors who use the main roads through the Park frequently find themselves in bumper-to-bumper traffic jams with other nature-lovers.

Despite the huge annual invasion of tourists and hikers, GSM National Park nevertheless still supports abundant wildlife. Wild hogs, white-tailed deer, turkey, groundhogs, and ruffed grouse are among the species that can be seen and/or heard in the back country. Black bear have done particularly well under the protection and management of the National Park Service, to the extent that they have become habituated to the presence of humans and frequent rest-stops, campsites, and picnic areas. Campers, hikers, and even day trippers in vehicles need to be knowledgeable about bear behavior and about food storage and garbage disposal procedures which help to minimize unwanted attention from the bears.

Several species of trout are found in abundance in the cool and clear mountain streams. Estimating

conservatively, about 250 species of birds may be seen in the Park at various times during the year, 165 of these species being resident. The avian population includes twenty varieties of warbler, thirty of finch, and twenty of geese and ducks. The higher elevations provide habitat for ravens and hawks, as well as occasional peregrine falcons and eagles.

GSM National Park also contains approximately 72 miles (116 km) of the Appalachian Trail, which runs along the crest of the Appalachians all the way from Mt. Katahdin, a granite monolith in Maine, south to Springer Mountain in Georgia. In the Park, the Appalachian Trail runs from the Pigeon River to the Little Tennessee River, and here can be found the highest, roughest, and some of the most scenic segments of the entire route. Over 900 miles (1,450 km) of other trails provide hikers and horseback riders with access to dozens of waterfalls, mountain meadows, and scenic, grassy balds.

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## Green advertising and marketing

In the last two decades growing consumer interest in environmental issues has significantly impacted how advertisers market their products and companies. In 1990, an Abt Associates study of American consumers showed that 90 percent of those interviewed were willing to pay more for environmentally-friendly products. For many years, German, Scandinavian, and Dutch consumers had shown a willingness to buy phosphate-free detergents and other so-called environmentally-friendly products. Indeed, a German business was saved from bankruptcy by offering a washing machine that consumed less water, detergent, and energy than its rivals. A June 2007 article in *Advertising Age*, “A World Gone Green,” cited a recent study by Mintel, a marketing research firm. Mintel’s research classified 12 percent of American consumers as “True Greens,” or

consumers that seek out and regularly purchase green products. The research identified an additional 68 percent of American consumers as “Light Greens,” or consumers who occasionally purchase green products. Studies indicate that, generally, the most environmentally-concerned consumers were well-to-do with the most discretionary income and the highest educational level. In short, they were trend-setters that advertising and marketing people could not ignore.

Marketers began to commonly use the terms “environmentally friendly,” “safe for the environment,” “recycled,” “degradable,” “biodegradable,” “compostable,” and “recyclable.” Cause-related marketing also became popular as companies promised to support moderate environmental organizations such as World Wildlife Fund. While the advertising practices of many companies went uncontested by environmental groups, concerns arose regarding the claims of certain companies. For example, the Mobil Oil Corporation was sued for misleading advertising after claiming that its plastic Hefty garbage bags were recyclable. After suffering much embarrassment, British Petroleum was forced to withdraw its claim that its new brand of unleaded gasoline caused no pollution. Reacting to these and similar findings, ten state Attorney Generals issued a report in 1990 calling for greater accountability in “green” marketing. The Environmental Protection Agency (EPA) and the Federal Trade Commission also devised standards to evaluate the claims made by advertisers.

Often, the issue has been whether one product is really better for the environment than another. For instance, phosphate-free detergents created a controversy when they were introduced in France. Some companies claimed that they were no more environmentally benign than detergents that had phosphates. Rhone-Poulenc, the French producer of the detergents with phosphates, ran ads of dead fish apparently killed by the substances in the detergents which did not have phosphates. Proctor & Gamble launched a campaign which claimed that disposable diapers actually had less negative environmental impacts than reusable diapers. They pointed to the detergents, hot water, and energy used in washing cloth diapers, the energy needed to bring them to consumers, and the pesticides that were in the cotton out of which they were made.

Life-cycle assessments came into vogue as companies argued about the relative environmental merits of various products. Assessments exam the total environmental impact of using the product and how it rates—environmentally—to other similar products. Migros,

the large Swiss retailer, has developed an “eco-balance” or life-cycle program to analyze the impact of its packaging in terms of the resources used and how they are disposed of.

Green labeling programs exist in Germany (Blue Angel), Canada (Environmental Choice), and Japan (Ecomark). They are run by the governments of these countries, but the United States government has not been willing to give this kind of endorsement to commercial products. Instead, various environmental groups have seals of approval which they have applied to selected goods that pass their tests of environmental acceptability.

All of the problems associated with defining and labeling green products has generated concern about a phenomena known as “greenwashing.” Greenwashing is the unjustified advertising and marketing of products or policies as environmentally friendly to gain an economic advantage. The term also refers to companies claiming an environmentally sound reason for an action that is undertaken for an economic benefit. For example, many hotel chains promote the reuse of linens and towels as part of their green philosophy without noting that the hotel chain saves millions of dollars through washing less frequently. While less frequent washing saves water and the discharge of phosphates into the environment, greenwashing promotes a environmentally and economically sound policy as being undertaken solely as a green policy.

*See also* Environmentalism; Green packaging; Green products; Recycling.

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## Green belt/greenway

A green belt is an area of land that usually surrounds a town or city, and is kept open by government restrictions on further development. Often it comprises both public and private land.

Green belts provide both recreational areas and landscape, but their main purpose is to contain cities, diverting future growth, and preventing cities and towns from merging.

It grew out of Sir Ebenezer Howard's "Garden City" approach to town planning, which aimed to provide natural areas for residents of cities. Garden cities were surrounded by countryside; city expansion was only to take place by developing new garden cities on the other side of the green belt. The result would be clusters of cities grouped around a central city. Several garden cities (e.g., Welwyn in England) were built in Britain.

In the 1920s, London's government called for study of an "agricultural belt," although the farming function was considered incidental. Its key purpose was to act as a barrier to growth. Ultimately, these were to serve as open spaces. Sir Raymond Unwin proposed a "green girdle" of spaces easily accessible to towns and cities. This plan led to the 1938 Green Belt Act, and about 38,000 acres (15,580 ha) were purchased. After World War II, green belts were again proposed as a means to limit development. A green belt about 5 miles (8 km) deep separated the inner city and the suburbs from the outer countryside. Land was both purchased and controlled through zoning compensation and by purchasing development rights. Typically, farming was allowed, but housing was not. By 1959, 840 square miles (2,175 km<sup>2</sup>) had been secured. The green belt was not considered completely successful, as it did not halt London's growth, and residents' use of the lands was not high, as they were not easily accessible.

Other difficulties, according to William H. Whyte, author of *The Last Landscape*, were the time and expense of controlling land and maintaining it, arbitrary placement of the green belt areas (not following natural boundaries) and lack of function attached to the land.

Green belts today emphasize the landscape and recreational values of open areas. Containment is negative and it does not work, a conclusion the Japanese came to in 1965 when they abandoned a proposed London-type green belt to contain Tokyo.

The green belt concept came to the United States much later, and many would say that it grew into the *greenways* concept, which have the specific purpose of providing open space and recreational areas. In the United States, the term is often used interchangeably with the term *greenway*, although the meaning is somewhat different.

Greenways, according to Jennifer Howard, author of *Greenways: A Citizen's Guide*, are linear corridors of land and water and the natural, cultural, and recreational resources they link together. They help conserve a variety of resources and create recreational opportunities.

Greenways were inspired both by green belts and by the work of landscape architect Frederick Law Olmstead, who, in the late 1800s, designed a number of urban park systems he called "parkways." One of his most notable is the Emerald Necklace, a string of park areas around Boston.

They may take the form of a riverfront walkway, a bicycle path, an urban walking trail connecting historic sites and neighborhood parks, a wildlife migration corridor, among others. Greenways are typically categorized as river greenways, paths and trails, cultural and historic greenways, wildlife corridors. They are typically comprising both public and private lands, and can include trails, riverways, habitat and resource conservation areas, notable natural features, scenic roads, historic structures, vacant urban lands, forestland, and farm fields—basically any resource that is significant to a community. Greenways help make these resources accessible to residents without need for a car. Four categories of land and water resources can be incorporated into a greenway system: resource-conservation areas, parks and open spaces, cultural and historic resources, and the corridors of land and water which connect these other elements together.

In the last several decades, the greenway concept has increased in recognition and popularity. Today, hundreds are underway. In 1987, The President's Commission on Americans Outdoors focused national attention on greenways, and recommended their establishment "to link together the rural and urban spaces in the American landscape."

The Massachusetts Bay Circuit encircles the entire Boston Metropolitan area; within Boston, the Emerald Necklace, a string of parkways, was planned by Frederick Law Olmsted. Other notable green belts exist in Portland, Oregon; Vienna; and Tokyo.

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Carol Steinfeld

Green consumerism see **Green advertising and marketing; Green Cross; Green products.**

## Green Cross

Long used by several organizations, the Green Cross has become a symbol of environmental awareness and responsibility.

Green Cross International had its beginning at a January 1990 forum held in Moscow, when then-Soviet President Mikhail Gorbachev suggested the formation of an organization that would follow the emergency medical relief model of the Red Cross in addressing global environmental problems. At the Earth Summit that was held in Rio de Janeiro in June, 1992, Gorbachev was urged to follow through on this resolve. At the same time, an environmental organization called the World Green Cross was formed in Switzerland. The merging of both organizations in Kyoto in 1993 generated Green Cross International.

As of September 2010, 31 countries have ties with Green Cross International. As the list of member countries grows with time, the hope is that the resources available will permit the international community to respond to environmental issues and crises that affect one or more members.

The Green Cross Certification Company is the former name of a non-profit group that awarded certifications to manufacturers whose products met certain limited environmental standards. The certification program is now administered by Scientific Certification Systems, Inc. (SCS), a private, for-profit laboratory that charges manufacturers a fee to research products and to verify their performance and claims. SCS is committed to developing programs that motivate private industry to work toward an environmentally sustainable future by conducting independent, unbiased evaluations of products and product claims, and recognizing products achieving exceptional environmental

performance goals. The SCS Environmental Report Card summarizes the environmental performance of a product, including the amount of what is termed the environmental burden associated with the product and its packaging.

SCS does not approve products as environmentally acceptable, but rather verifies the environmental claims that companies make for their products and analyses their environmental impact. SCS tries to evaluate a product's life cycle program, the impact it has from manufacture to disposal. Factors considered usually include the toxic waste generated and the energy used in production, the recycled content of the product, and its recyclability or biodegradability upon disposal. Different product categories have varying standards of acceptability depending on the state of technology for the above factors for the particular product or industry.

See also Environmental ethics; Green advertising and marketing; Green products; Nongovernmental organization.

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## Green packaging

Green packaging refers to the use of packaging that uses recycled materials as much as possible and which otherwise seeks to minimize environmental degradation in the use of non-recycled materials. Maintaining the supply of packaging material and disposing of packing material in ways that are as environmentally benign as possible are related green packaging practices.

The concept of green packaging arose from the realization that packaging is the largest form of domestic garbage. In 2007 it amounted to 34 percent of solid waste as measured by weight in the United States. Significant waste prevention implies reductions

in packaging. There simply is not enough room in landfills or incinerators for all the excess packaging the industry produces.

People value products not only for their content but also for the packaging. It gives products a better feel and a more attractive appearance and suggests less of a risk of contamination. It prolongs the life of the product and allows people to make fewer trips to the supermarket.

For purely economic reasons most packages are becoming lighter. Aluminum cans, for instance, are 45 percent lighter today than they once were. Shrink wrap film and a plastic base are increasingly taking the place of corrugated boxes. Some companies are trying to eliminate packaging entirely. Outer boxes were once thought to be absolutely essential for the sale of toothpaste, but the giant Swiss retailer, Migros, discovered that consumers ultimately became accustomed to unboxed tubes and that sales did not suffer as a result. McDonald's and other fast food restaurants in the United States have stopped using polystyrene boxes, a packaging material now banned in several countries and municipalities, boxes to package their sandwiches, turning instead to paper wraps. Other innovations in packaging are also occurring. For instance, many companies now use soy-based instead of metal-based inks for printing on packages.

The use of green packaging has passed from the fringe into the mainstream. As one example, as of 2010 Pepsico is committed to use of a recycled material called polyethylene terephthalate in at least 10 percent of the material used in soft drink containers. By this measure, the company anticipates reducing packaging weight by 350 million pounds by 2012, which would lessen landfill waste by 1 billion pounds. Elsewhere, the use of material that degrades over time continues to be explored. Some biodegradable packaging materials that are on the market include garbage bags made from cornstarch.

*See also* Container deposit legislation; Green advertising and marketing; Waste reduction.

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## Green plans

Green plans are comprehensive environmental strategies that are intended to improve environmental quality and make rapid progress towards sustainability. Green plans are characterized by a longer-term view, while being thorough in their consideration and integration of environmental issues. Green plans also take account of economic realities, while ensuring an appropriate degree of protection of environmental quality.

Green plans are an important tool for the longer-term protection of environmental quality, conservation of natural resources and ecological values, and achievement of a sustainable economic system. Green plans do this by proposing sustainable alternatives to the many kinds of modern activities that are damaging the environment and biosphere. Green plans attempt to bring together the economic, scientific, and political interests of society to develop a strategy that can achieve a sustainable prosperity for present and future generations of humans and their economic systems, while also supporting other species and natural ecosystems.

Green plans are designed to replace more conventional methods for protecting the environment. One aspect concerns the various levels of government that can be involved in environmental legislation. For example, environmental management by government typically involves separate agencies responsible for air pollution, water pollution, forestry, agriculture, fisheries, metal resources, fossil-fuel resources, industrial development, human health, biodiversity (i.e., the conservation of indigenous species and ecosystems), and other environment-related mandates. Because different levels of government, or different organizations, can have different priorities, the actions of one agency can disrupt the activities. For instance, agencies responsible for managing harvests of timber may not take adequate consideration of the interests of agencies concerned with pollution, endangered species, or rare ecosystems (such as old-growth forests). This commonly results in environmental and ecological damages being caused by timber harvesting, with attendant controversies.

Green plans can be implemented by agencies of government at all levels (federal, state or provincial, county, and city or town), and by companies of any size. Green plans can be designed by any of these organizations, but this is done in close consultation with the public, non-governmental organizations, and environmental specialists. In fact, discussions involving multiple organizations from different sectors of society or government are one of the most important

aspects of green plans. This process allows a broad understanding to be reached among the spectrum of interest groups, allowing green plans to unite the various interested agencies, rather than dividing them.

Green plans have already been implemented by the national governments of Canada, the Netherlands, New Zealand, and Singapore. Green plans are also being developed or seriously considered by the national governments of Austria, Denmark, Germany, Norway, Sweden, and the United Kingdom, and by the European Community as a whole. In addition, many state and provincial governments, municipalities, and companies in some of those countries have implemented green plans. Non-governmental environmental organizations are also advocating that green plans be designed by federal and state governments and companies in the United States. Unfortunately, significant actions in this regard have not yet been undertaken in that country. It is likely, however, that green plans will also be developed in the United States, once their benefits become more broadly recognized.

As of September 2010, U.S. President Obama continues to work towards developing a climate and energy framework that will include green technology as a means of reducing dependence of fossil fuels.

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Bill Freedman

## Green politics

Green politics is a relatively recent, nature-centered, political movement that arose with the growing environmental awareness.

A central view of green politics is that the enormous power that humans have over nature imposes on our species a special responsibility for governance. Greens point out that the fate of the earth and all its creatures now depends, to an unprecedented degree, on human decisions and actions. For not only do we depend on nature, but nature depends on our care.

An important facet of green politics is the view that actions act the local level, such as the reliance on local sources of food, can have far-reaching global influence.

While green politics in various countries shares these views, the implementations of the changes differs. The spectrum ranges from those who advocate societal unrest and protest to those whose path avoids confrontation.

Other differences have to do with the political strategies and tactics to be employed by the environmental movement. In some jurisdictions such as Germany, greens have taken an active part in electoral politics, organizing a Green Party. Aware of the formidable obstacles facing minority third parties, most have favored other strategies, such as working within existing mainstream parties (especially the Democratic Party in the United States), or hiring lobbyists to influence legislation. Still other greens favor working outside of traditional interest group politics. Others, such as social ecologists, tend to favor local, grass-roots campaigns which involve neighbors, friends, and fellow citizens in efforts to protect the environment. Some social ecologists are anarchists who see the state and its pro-business and pro-growth policies as the problem, rather than the solution, and seek its eventual replacement by a decentralized system of communes and cooperatives.

Although all greens agree on the importance of informing and educating the public, they disagree as to how this might best be done. Some groups, such as Greenpeace, favor dramatic direct action calculated to make headlines and capture public attention. Even more militant groups, such as the Sea Shepherd Society and Earth First!, have advocated monkey-wrenching as a morally justifiable means of publicizing and protesting practices destructive of the natural environment.

Such militant tactics are decried by moderate or mainstream groups, which tend to favor subtle, low-key efforts to influence legislation and inform the public on environmental matters. The Sierra Club, for example, lobbies Congress and state legislatures to pass environmental legislation. It also publishes books and produces films and videos about a wide variety of environmental issues. Similar strategies are followed by other groups, such as the Environmental Defense Fund. Another group, The Nature Conservancy, solicits funds to buy land for nature preserves.

In Canada, the Green Party is a federal political party that, as of 2008, had about 11,000 members. The

party elected one member of the federal parliament in 2008, who was defeated in a subsequent election. Green politics have been more influential in Germany, where the party formed a coalition government with the Social democratic Party from 1998 to 2005.

*See also* Environmental Defense; Green advertising and marketing; Green products; Sea Shepherd Conservation Society; Sierra Club; Abbey, Edward; Bookchin, Murray; Brower, David Ross; Foreman, Dave.

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Terence Ball

## Green products

Green products refer to marketed products that do not affect the environment as much as their traditional counterparts in terms of their manufacture and/or disposal. Examples are products made from recycled materials or products made in a way that is minimally disruptive, if at all, to the environment.

Green products are now preferred by many consumers and manufacturers. Some companies have thrived by marketing product lines as environmentally correct or green. One of the first international companies to exclusively promote green products was the Body Shop, a cosmetics company that is strongly and explicitly pro-environment with regard to its products. As of 2010, the Body Shop offered over 700 beauty products that are considered to be green products.

Similarly, the American ice cream manufacturer, Ben and Jerry's, has adopted a similar approach to using rain forest products in what it sells. Mercury- and cadmium-free batteries have been marketed by Varta, a German company. Ecover, a small Belgian company, made major sales gains when it began to

market a line of phosphate-free detergents. Loblaw, a Canadian grocery chain, has introduced a line of environmentally-friendly products and has sold more than twice the amount than it had initially projected.

Many factors comprise a green product. The product has to be made with few raw materials, use recycled or renewable materials when available, produce low or no contaminants, and have the smallest negative effect on human health possible. Consideration must also be given to how consumers will use the product and how they will dispose of it when they are finished. To reduce its waste potential, a product must often last a significant amount of time or be reusable or recyclable. These factors help determine a product's environmental footprint, the environmental cost of a product over its lifetime.

When discussing greenhouse gas emissions and climate change impacts of products, green consumers consider the carbon footprint of a product. Carbon footprints refer to the emissions produced in the manufacture, shipping, use, and disposal of a product. A product's carbon footprint is one part of its total environmental footprint. The greenest products are often those with the smallest footprints. Many green consumers advocate choosing local products to reduce carbon emissions associated with freezing, storage, and shipping.

As consumers become more aware of environmental issues, they will likely look to producers and governments to provide more products that will permit them to maintain a life-style that is less harmful to the environment. Therefore, the very nature of products evolve.

Energy-efficient appliances, low-water washing machines, solar hot water heaters, hybrid and alternative fuel cars, increased investment in public transportation, and green building construction are all examples of commonly available green alternatives to common infrastructure. In contrast to old smokestack industries, new technologies and emerging industries should be able to offer products that are less environmentally harmful.

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Alfred A. Marcus

Green revolution see **Agricultural revolution; Consultative Group on International Agricultural Research; Borlaug, Norman E.**

## Green Seal

Green Seal is an independent, non-profit group that encourages the production and sale of consumer products that are environmentally responsible (also called green products), by examining the products and certifying those that meet its strict environmental standards. The certifying mark has a green check over a blue globe. The certification is done in a non-biased way and is independent from the commercial interests.

The Green Seal approach is consumer-driven. The underlying idea is that by encouraging greater consumer awareness of environmentally responsible products, their popularity will prompt industries to adopt more environmentally responsible product lines. By avoiding harmful products and buying those that do not degrade the



Green Seal symbol. (Photograph by Tom Pantages.)

environment, consumers can encourage corporations to make and sell goods that are environmentally responsible.

The Green Seal certification mark helps consumers choose those products that actually are less harmful to the planet and are not simply marketed in a clever way. Green Seal uses the highly respected Underwriters Laboratory (UL) for most of its product testing and certification. Through its certification process and its educational activities, Green Seal encourages people to think about how they can help protect the environment in their everyday activities and their daily lives.

Consumers, guided by Green Seal, have the opportunity to influence the actions of major corporations and their impact on the environment through their purchasing decisions.

Green Seal is headed by Arthur B. Weissman, who serves as its President and Chief Executive Officer. Its Chairman of the Board is Denis Hayes, the well-known environmentalist and solar energy advocate who organized the 1970 and 1990 Earth Day celebrations.

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#### ORGANIZATIONS

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Lewis G. Regenstein

## Green taxes

A green tax (also known as an ecological tax or ecotax) is a tax that is imposed in an effort to make activities that are more environmentally friendly and sustainable more attractive to undertake.

This can work two ways. Taxes can be reduced on goods and services to increase their popularity. In Canada, a 2008 federal government program to reduce sales on home improvement materials that decreased energy usage. During that year, the government reimbursed some costs of renovations to make dwellings more energy efficient.

Another policy option is to increase taxes on goods or services that are environmentally detrimental, in an effort to discourage their use. One example is a tax increase on fossil fuels, in particular gasoline.

The idea of green taxes in the United States dates back to the 1970s. Then, Charles Schultze, chairman of the Council of Economic Advisers under President Jimmy Carter, maintained in the 1976 Godkin Lectures delivered at Harvard University (later published as a book titled *The Public Use of the Private Interest*) that detailed laws and bureaucratic requirements were a costly and ineffective way to control pollution. Instead, reliance should be placed on taxes and subsidies that would make private interests more congruent with public goals.

In this view, the harm pollution causes to health, property, and aesthetics is not paid for by business. Industries have no reason to consider this harm in their production decisions. In 2010, this view has been challenged with the initiative by the U.S. administration of President Barack Obama to require BP to be accountable for all the costs of clean-up and remediation in the aftermath of the Deepwater Horizon oil rig explosion and subsequent massive oil spill that took place in the Gulf of Mexico beginning in May, 2010. By taxing pollution, the government would make polluters pay for the damage they inflict. External production costs would be incorporated into ordinary production decisions. This would correct a market defect and the market would become more efficient. Green taxes would not lower environmental standards; they would provide more protection at the same level of expenditure, or the same protection with less money.

Industries that can easily reduce pollution should be encouraged to go beyond the standard and not stop at mere compliance. Businesses for whom pollution reduction is a great burden should be able to pay a fine equivalent to the damage caused. To impose the same requirements on all businesses regardless of cost is unfair. Moreover, regulations do not permit pollution-reducing experiments. Companies should be allowed to choose the lowest-cost method whether it means treating wastes, modifying production processes, substituting less-polluting raw materials, or other innovations.

By shifting away from uniform standards, pollution control costs can be cut drastically.

The implementation of green taxes has been slow in the U.S. and many other countries. The primary reason is because taxes have never been popular with legislators or their constituents. Nor do interest groups support them. Businesses prefer court delays and lobbyists, to the certainty of taxes. Environmentalists argue that pollution must be eliminated and that companies should not be given the right to pollute for a fee. Bureaucrats, moreover, have been comfortable with the existing system.

During the Clinton administration there was new interest and support for pollution tax proposals. However, the necessary Congressional support did not materialize. Moreover, industry spokespersons and lobbyists promoted the idea that definitive scientific proof for global warming had yet to be established.

However, in 2002, during the Bush administration, which had previously stated that there was not enough evidence to link industrial emissions to global warming, the Environmental Protection Agency released a report that endorsed what many scientists had argued, i.e., that oil refinery, power plants, and auto emissions were important causes of global warming. In 2001, President Bush had caused international outrage when he said that he would not join other nations in ratifying the Kyoto Protocol, a United Nations plan to cut emissions of green house gases, because he claimed it would be too costly to the American economy.

By 2009, numerous European nations had implemented environmental taxes as a means of reducing air pollutants, the growing shortage of landfill space, and to promote the conservation of water and electricity. But in spite of the growing concern over global warming and pollution, efforts to implement environmental taxes have not found the needed support in the United States due in large part to a general anti-tax sentiment. Tax opponents incorrectly labeled President Barack Obama's cap and trade emissions plan, which would cap polluters at a certain level and require them to purchase credits off the free market to pollute above that level, as a tax. At this point, there remains a majority interest in preserving the status quo as opposed to addressing needed environmental concerns through such measures as environmental tax reform. With the growing body of evidence supporting the need for action, and pressure from other nations, that sentiment may change in the future. However, the issue at that time will more likely be whether or not it is too little reform, too late.

See also Corporate Average Fuel Economy Standards; Environmental economics; Externality; Internalizing costs; Pollution control.

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Bill Asenjo

## Greenhouse effect

The greenhouse effect is a natural phenomenon through which gases present in the atmosphere absorb heat, which lessens the amount of radiating heat that exists the earth's atmosphere heating the Earth's surface. Natural greenhouse gases include water vapor, carbon dioxide (CO<sub>2</sub>), nitrous oxide (N<sub>2</sub>O), methane (CH<sub>4</sub>), and ozone (O<sub>3</sub>), all of which are essential to support life. The enhanced greenhouse effect, which the weight of evidence, such as that presented in a series of reports by the Intergovernmental Panel on Climate Change (IPCC), is directly due to human activities, increases concentrations of these gases in the atmosphere, and leads to pollution of the lower atmosphere contributing to climate change. These gases let in sunlight but tend to insulate Earth against the loss of heat, as do the glass walls of a greenhouse (hence the name). A higher concentration of the greenhouse gases means a warmer climate. For example, the twentieth century was 1° warmer on worldwide average than the nineteenth century—warming at a rate twenty times faster than average.

CO<sub>2</sub> is the predominant greenhouse gas and the gas that most influences atmospheric temperature. From April 1958, when monthly measurements of CO<sub>2</sub> from atop the Mauna Loa volcano began, through July 2010, 2009, the CO<sub>2</sub> concentration in parts per million went from 316 ppm to 390 ppm. During that time, the

concentration has always increased, except for 1974 when the concentration remained approximately unchanged.

The examination of ice cores retrieved from the Antarctic has provided a snapshot through time of the concentration of various parameters, including carbon dioxide. Data from several studies has conclusively demonstrated that, prior to the mid-eighteenth century, the level of CO<sub>2</sub> was maintained at a baseline level of approximately 280 ppm. Then, coinciding with the Industrial Revolution, CO<sub>2</sub> levels began their sharp upward rise.

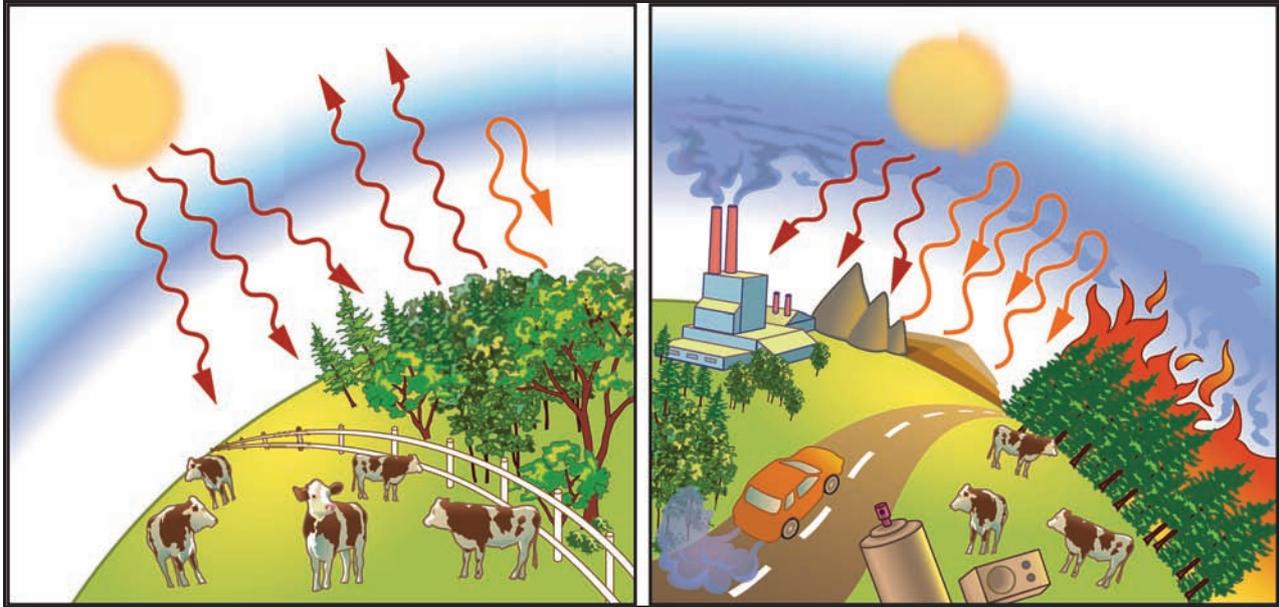
CH<sub>4</sub> is produced when oxygen is not freely available and bacteria have access to organic matter, such as in swamps, bogs, rice paddies and moist soils. CH<sub>4</sub> is also produced in the guts of creatures as varied as termites and cows, in garbage dumps, landfills, emissions from coal mining, natural gas production and distribution, and changing land use. CH<sub>4</sub> concentrations have increased over 100 percent since 1765.

On an equal weight basis, CH<sub>4</sub> is approximately 21 times more potent as an atmospheric warming agent than CO<sub>2</sub>. Fortunately, in terms of dealing with atmospheric warming, its' half-life (the time for half of a set amount of the compound to degrade) is about 12 years. In contrast, the half-life of CO<sub>2</sub> is approximately 38 years. Strategies to reduce the amount of CH<sub>4</sub> being added to the atmosphere will be apparent as a change in atmospheric temperature long before the change due to reduced CO<sub>2</sub>

N<sub>2</sub>O concentrations in the atmosphere have increased due to fertilizer use and chemical production, such as in the manufacture of nylon. N<sub>2</sub>O is also dispersed during fossil fuel combustion, biomass burning, and changing land use.

Chlorofluorocarbons (CFCs), also implicated in depletion of the ultraviolet-trapping O<sub>3</sub> layer, act as greenhouse gases. While useful and widely used as refrigerants, their total effect is significant because compared to a molecule of CO<sub>2</sub>, each CFC molecule absorbs much more radiation, thereby trapping heat in the atmosphere.

Rain forest destruction (deforestation) also contributes to global warming. When the canopy of leaves is removed through clear-cutting or burning, the sudden warming of the forest floor releases CH<sub>4</sub> and CO<sub>2</sub>. The massive increase in the number of dead tree trunks and branches leads to a population explosion of termites, which themselves produce methane. Dead trees can no longer take up CO<sub>2</sub> or convert it to oxygen and are not able to serve as a carbon sink.



**The greenhouse effect.** (Reproduced by permission of Gale, a part of Cengage Learning)

The accelerating melting of the permafrost region at higher northern latitudes as a consequence of the warming atmosphere may also be another source of  $\text{CH}_4$ . Permafrost is estimated to contain 500 billion tons of  $\text{CH}_4$  that has remained locked in the frozen ground since prior to the last ice age. A relatively sudden addition of much of this  $\text{CH}_4$  to the atmosphere would more than double the amount of carbon in the atmosphere.

Two factors that appear to mitigate the effect of enhanced greenhouse gases are aerosols and dust. Aerosols are minute solid particles produced by combustion and from natural sources, primarily volcanoes. The particles along with dust are dispersed in the atmosphere and can offset the greenhouse effect by blocking light. For example, a significant cooling trend in the spring and summer of 1992 seemed to correlate with the eruption of Mount Pinatubo in the Philippines. The fall and winter of 1992 were fairly mild on worldwide average. As all the particulate matter from the Mt. Pinatubo eruption settled out of the atmosphere, the surface cooling effects abated and the climate change trend resumed. Other aerosol particles are soot and can be produced as a result of cooking fires and coal burning. These particles absorb heat and promote climate change.

Anthropogenic (human-caused) greenhouse gases now appear to be responsible for increasing the global average temperature. According to current projections, global temperatures may rise as much as  $35.6\text{--}37.4^\circ\text{Fahrenheit}$  ( $2\text{--}3^\circ\text{C}$ ) above the

pre-industrial temperatures by the year 2100. To place this change in perspective, the temperature rise that brought the planet out of the most recent ice age was only about  $37.4\text{--}39.2^\circ\text{Fahrenheit}$  ( $3\text{--}4^\circ\text{C}$ ).

The top ten warmest years of average global recorded temperatures were in the last fifteen years of the twentieth century and saw devastating fires in Yellowstone National Park, flooding in Bangladesh, record number of hurricanes and tornadoes, and a deadly heat wave and drought in the southeastern United States. It is probable, based on computer models, that warming will accompany changes in regional weather. A forty-year trend of increased precipitation in Europe and decreased precipitation in the African Sahel (Ethiopia, the Sudan, Somalia) may be an early consequence of global warming due to the greenhouse effect. Longer and more frequent heat waves would result in public health threats as well as inconveniences such as road buckling, electrical brownouts, or blackouts.

Precipitation is likely to increase regionally because as the temperature increases, more evaporation takes place, leading to more precipitation. The average precipitation event is likely to be heavier: wetter monsoons in coastal subtropics; more frequent and heavier winter snows at high altitudes and high latitudes; an earlier snowmelt, and a wetter spring.

Increases in rain or snowfall are not expected to offset the effects of higher temperatures on soil, however. Higher temperatures are expected to dry the soil

in North America and southern Europe, among other places, by boosting the rates of evaporation and transpiration through plants. More favorable agricultural conditions in high latitudes could move the center of agriculture farther north into Canada and Siberia and out of the United States.

Other consequences of global warming from the enhanced greenhouse effect include the reduction of sea ice, coastal sea level rises of several feet per century, more frequent and powerful hurricanes, and more frequent and severe forest fires.

The rise of sea level is the most easily predicted consequence. The one-degree increase in temperature over the past century contributed to a 4–8 inch (10–20 cm) rise in mean sea level. This rise could lead to severe and frequent storm damage, flooding and disappearance of wetlands and lowlands, coastal erosion, loss of beaches and low islands, wildlife extinctions, and increased salinity of rivers, bays and aquifers. However, because the global atmosphere operates as a complex system, it is difficult, even with today's sophisticated computer models, to predict the exact nature of the changes we are likely to cause with increased greenhouse gas emissions. Scientists have predicted that low-lying areas and islands, including the Seychelles, the Maldives, the Marshall Islands, and large areas of Bangladesh, Egypt, Florida, Louisiana, and North Carolina will disappear over the next few decades.

The predicted rise in sea level along the east coast of the United States would swamp portions of major cities including New Orleans, Boston, and New York. In New York State, a Sea Level Rise Task Force was created in 2007 to recommend measures to protect the city from damage and to adapt to the changing coastline. Their report is due in January, 2011.

The earth's natural atmospheric cleanser—rain—may wash excess greenhouse gases out of the atmosphere. But, until rates of greenhouse gases slow their rapid increases or actually begin to decrease, the planet will get warmer. In response to climate projections, the United Nations Framework Convention on Climate Change (UNFCCC), adopted and signed by 162 countries in 1992 at the Rio Earth Summit, sets country-by-country standards to reduce the emissions of greenhouse gases, particularly CO<sub>2</sub>.

Policy-makers in the United States, including former Vice President Albert Gore Jr., proposed stricter requirements for more fuel-efficient cars, environment taxes that penalize heavy polluters and help pay for cleansing the atmosphere, and trading technological advances for rain forest protection in Third World countries.

The greatest controversy over slowing the rate of greenhouse gases injected into the atmosphere concerns methods of reducing emissions. Some scientists advocate increased use of nuclear power to reduce dependence on fossil fuels, but that carries its own controversies. Nuclear power plants are so energy-intensive just to build, the trade-off from current nuclear technology is negligible. As of 2010, thorium-based nuclear power is gaining popularity as a safe and relatively cost-effective nuclear option. Conservation and a switch from a dependence on fossil fuels to dependence on renewable resources such as wind, biofuels, and solar energy, would slow the rate of increase of CO<sub>2</sub> emissions into the atmosphere. Others oppose the use of nuclear power because of concerns over the long-term, safe storage of radioactive waste and the potential for environmental harm from rare catastrophic accidents.

### Additional information

As of mid-2010, 2010 has the potential to be the hottest year in recorded weather history. The eight years following 1998 have had the record highest global average temperatures since 1850. By some analyses, 1998 was slightly warmer than 2005 globally. The warmest year on record so far for the continental United States, as of 2008, was actually 1934, which according to an August 2007 update from NASA was warmer than 1998 in the U.S. by a few hundredths of a degree. The temperature of the earth's surface is currently increasing at 0.32° Fahrenheit (–17.6°C) per decade corresponding to 3.2° Fahrenheit (–16°C) per century.

Increased extreme weather is a predicted consequence of global climate change. In August 2007, scientists at the World Meteorological Organization, an agency of the United Nations, announced that during the first half of 2007, Earth showed significant increases above long term global averages in both high temperatures and frequency of extreme weather events including heavy rainfall, cyclones, wind storms, and the El Niño-Southern Oscillation (ENSO); an extreme weather event brought about by temperature changes in the surface waters of the tropical Pacific Ocean.

In February 2007, the Earth Challenge Prize was established to provide incentives for research on methods to remove CO<sub>2</sub> from the atmosphere. The prize, to be judged by an international panel that includes climate experts, is intended for the person or group who develops the best and least ecologically harmful method of removing at least one billion tons of carbon per year from Earth's atmosphere. The vast majority of climate and atmospheric scientists have concluded that excess levels of carbon dioxide from human activity are partially responsible for climate change. England's Virgin Airways

founder Sir Richard Branson (1950–) and former U.S. Vice President and environmental activist Albert Gore (1948–) announced the formation of the prize. Gore's award-winning film, *An Inconvenient Truth*, focused on climate change. Gore shared the 2007 Nobel Peace Prize with the IPCC, a group of scientists organized under the United Nations. Although there are ongoing research efforts to study methods of carbon capture and storage (termed carbon sequestration), early proposals to transform CO<sub>2</sub> into stable and storable solid and liquids or inject it into oil and gas reserves (or oceans and seas) has met with economic and environmental barriers.

The near certainty that the greenhouse effect would continue to intensify was underlined in October 2007, when scientists announced that, since 2000, CO<sub>2</sub> levels had increased faster than even the most pessimistic forecasts of the late 1990s had predicted. Growth in atmospheric CO<sub>2</sub> was only 1.1 percent per year for 1990–1999, but accelerated sharply to more than 3 percent per year for 2000–2004. Climate scientists attribute most of the increased emissions to increases in human population and industrial activity. The amount of CO<sub>2</sub> emitted per unit of economic activity (defined as energy intensity) remained level or increased globally. The increase in CO<sub>2</sub> was due also—to a lesser extent—to decreased absorption of CO<sub>2</sub> by the oceans. In 2004, developing (poorer) nations accounted for 41 percent of total emissions but 74 percent of total emissions growth.

A 2010 report released by the National Aeronautics and Space Administration, in which various sources of greenhouse gas emission were analyzed to clarify their contribution to global warming, concluded that the emissions from motor vehicle is the single greatest contributor of greenhouse gases. The hope is that the report will help guide policy changes in developed countries that will reduce vehicular traffic, and so reduce emissions, and continue the emphasis of reducing emissions from gasoline powered motor vehicles.

*See also* Environmental economics; Environmental policy.

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Linda Rehkopf

## Greenhouse gases

Greenhouse gases are gases in the atmosphere that absorb and re-emit energy from the sun. This absorption and emission of radiation by gases is referred to as the greenhouse effect. The accumulation of these gases, which has been occurring since the mid-eighteenth century and has been conclusively linked with human activities, is resulting in warmer temperatures and causing global climate change.

The Earth's climate is influenced by a wide variety of gases, vapors, and aerosols, and many of these contribute to climate change. Water vapor is the most abundant greenhouse gas followed by carbon dioxide (CO<sub>2</sub>). Water vapor is a major contributor to climate change. Researchers found that water vapor is capable of doubling the effect of increased CO<sub>2</sub> levels. Warmer temperatures increase the amount of water vapor absorbed into the air, and more water vapor results in higher temperatures. This cycle is further amplified by the presence of other greenhouse gases such as CO<sub>2</sub> that result in warmer temperatures. CO<sub>2</sub> concentrations in the atmosphere have increased about 35 percent since 1765 and are continuing to increase at a rapid rate.



People march during a 2009 climate change protest in Rio de Janeiro, 2009. (AP Photo/Silvia Izquierdo)

From April 1958, when monthly measurements of CO<sub>2</sub> from atop the Mauna Loa volcano began, through July 2010, the CO<sub>2</sub> concentration in parts per million went from 316 ppm to 390 ppm.

Other important greenhouse gases include ozone (O<sub>3</sub>), methane (CH<sub>4</sub>), nitrous oxide (N<sub>2</sub>O), and chlorofluorocarbons (CFCs). Halogenated gases and a variety of volatile organic hydrocarbons are also important trace gases. Volatile compounds can absorb solar and infrared radiation directly affecting the photochemistry of ozone, increasing the transmission of heat, and thus indirectly affecting the climate. While not gases, small long-persisting particles, such as aerosols, can be products of agricultural and industrial processes as well as natural sources such as volcanic eruptions. These particles can be either light-colored and reflect heat, resulting in a cooling effect, or they can be dark (such as soot particles) produced from coal burning that can contribute to climate change. Industrial emissions of greenhouse gases consist largely of CO<sub>2</sub>; these emissions arise from burning fossil fuels such as coal, oil, and natural gas.

Increases in the concentrations of CO<sub>2</sub> and methane in the atmosphere during this century have been caused mostly by rapid increases in the burning of fossil fuels. A series of reports by the Intergovernmental Panel on Climate Change (IPCC), particularly a landmark report issued in 2007, has conclusively established that the atmospheric change is mainly and directly due to human activities. Efforts to reduce greenhouse gas emissions have focused on limiting and controlling the burning of these fuels, but, as of the early 2000s, had not had a noticeable impact, and CO<sub>2</sub> emissions continue to increase rapidly. Even if greenhouse gas emissions

could be terminated immediately, climate models are consistent in the prediction that an effect on climate change would not be evident for a century or longer. Programs to encourage development of technologies and the utilization of other sources of energy such as nuclear power, or alternative energy sources such as biofuels, solar energy, or hydropower have been implemented. Technologies for controlling fossil fuel emissions and sequestering ambient CO<sub>2</sub> have also been developed. Researchers have emphasized the importance of improving energy efficiency and energy conservation in an effort to reduce emissions.

See also Air pollution; Air pollution control; Flue gas; Pollution control.

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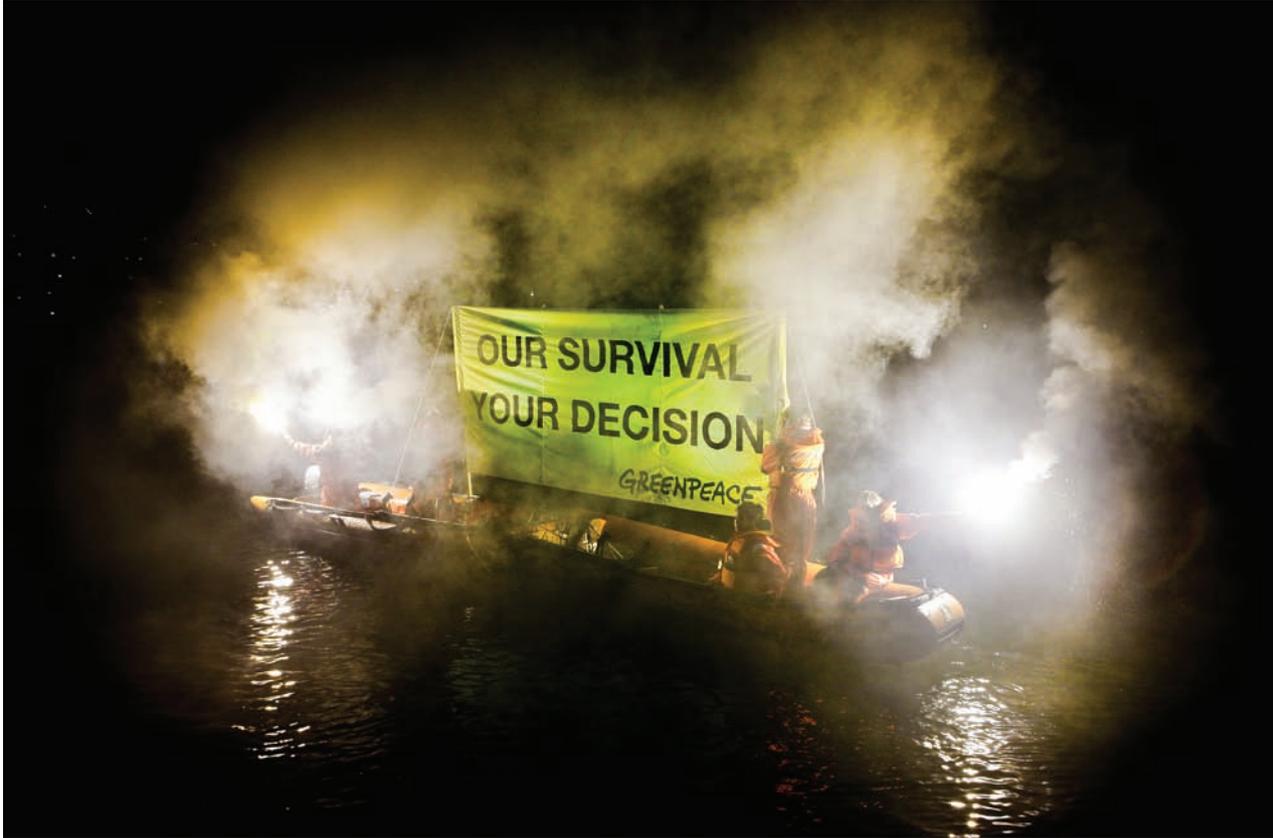
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Stuart Batterman  
Douglas Smith

## Greenpeace

Greenpeace is an international environmental organization founded in 1971 in Vancouver, British Columbia, Canada. The organization is dedicated to



Greenpeace activists display a sign stating 'Our survival - your decision' at the Copenhagen Planetarium in 2009, while a dinner was hosted there by Danish Climate Minister Connie Hedegaard. (© JENS ASTRUP/epa/Corbis)

protecting the global environment through non-violent direct action, public education, and legislative lobbying. As of September 2010, Greenpeace is represented in over forty countries with a worldwide membership of nearly 2.9 million and maintains a scientific base in Antarctica.

In 2008, Greenpeace revenue was approximately \$500 million USD. These funds are used to address priority issues that include deforestation, commercial whaling, overfishing, global climate change and nuclear power.

Having mounted successful campaigns on a wide variety of environmental issues, Greenpeace is perhaps best known for its direct and often confrontational crusades against nuclear testing and commercial whaling. The group has also garnered wide publicity for protesting various environmental abuses by hanging enormous banners from smokestacks, buildings, bridges, and the scaffolding used in the renovation of the Statue of Liberty.

Greenpeace works to eliminate widespread dependence upon fossil fuels and lobbies for laws and policies

encouraging energy efficiency and renewable energy sources. The group is also working to halt the spread of nuclear power and the dumping of radioactive waste as well as to seek a global ban on the manufacture and use of ozone-depleting chemicals such as chlorofluorocarbons (CFCs).

The organization seeks to protect both habitats and threatened species, including whales, harp seals, dolphins, sea turtles, elephants, and birds of prey. It works to discourage overfishing and other wasteful fishing practices, particularly the killing of dolphins in tuna nets. Greenpeace was instrumental in protecting Antarctica by persuading twenty-three nations to sign an accord banning all mining in Antarctica for at least fifty years. Supporting the principle of biodiversity, the group also works to protect tropical and temperate forests around the world. In 2002, the ships *MV Esperanza* and *Rainbow Warrior* stopped illegally logged timber from Africa and the Amazon from being imported.

Greenpeace is especially concerned with stopping the use of unneeded chlorine in the bleaching of paper and with preventing the dumping of hazardous waste

in Third World nations. Particularly concerned in recent years with dioxin, polychlorinated biphenyl (PCB), CFCs, and pesticides, the group regularly investigates, publicizes, and lobbies against chemical pollution. Greenpeace also conducts research on the effects of toxic substances on human beings and the environment and encourages recycling as a means of reducing pollution. In 1998, Greenpeace activists prevented a PVC plant from opening in Convent, Louisiana.

Greenpeace conducts research into the effects of warfare on human beings and the environment and advocates the global elimination of nuclear weapons. More immediately, the group also urges the cessation of all nuclear and chemical weapons testing and is trying to persuade the major powers to agree to a global ban on naval nuclear propulsion.

In an effort to avoid compromising its goals and activities, Greenpeace does not seek corporate or government funding. Nor does it become directly involved in the electoral process in any of the nations in which it is active. The confrontational tactics have provoked angry responses from various governmental authorities, including the bombing and sinking of Greenpeace's flagship vessel *Rainbow Warrior* by agents of the French government in 1985. The *Rainbow Warrior* had been in New Zealand preparing to protest French nuclear testing in the South Pacific when it was sabotaged.

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- Greenpeace, 702 H Street NW, Washington, D.C., USA, 20001, (800) 326-0959, <http://www.greenpeaceusa.org>

Lawrence J. Biskowski

political party, but to any individual or group making environmental issues the central focus and main political concern. Thus, the term covers a wide array of political perspectives and organizations, ranging from moderate or mainstream groups such as the Sierra Club and Greenpeace to more militant movements and direct-action organizations such as the Sea Shepherd Conservation Society and Earth First!, as well as ecofeminists, bioregionalists, social ecologists, and deep ecologists.

Greens in the United States and Canada are divided over many issues. Some, for example, are in favor of organizing as interest groups to lobby for environmental legislation, while others reject politics in favor of a more spiritual orientation. Some greens (for example, social ecologists and ecofeminists) see their cause as connected to questions of social justice—the elimination of exploitation, militarism, racism, sexism, and so on—while others (deep ecologists, for instance) seek to separate their cause from such humanistic concerns, favoring a biocentric instead of an anthropocentric orientation. Despite such differences, however, all greens agree that the preservation and protection of the natural environment is a top priority and a precondition for every other human endeavor.

*See also* Environmental ethics; Environmentalism.

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## Greens

The term greens applies to those who engage in green politics. The term originated in Germany, where members of the environmentally-oriented Green Party were quickly dubbed *die Grünen*, or “the Greens.” In the United States, greens refers not to a particular

## Greenwashing

Greenwashing, or greensheening, describes deceptive marketing that promotes a product, policy, or company as environmentally beneficial. Greenwashing can include making products appear more environmentally friendly than they actually are by using nature imaging on their labels or including vague affirmations like “natural” for which there may be no legal standard. Giving a harmful product a name



Campaigners dressed as mock clean-up crew called the “Greenwash Guerrillas” gather outside the National Portrait Gallery in London in June 22, 2010, where the BP Portrait Award ceremony is held. (AP Photo/Akira Suemori)

that evokes the earth or wholesomeness is also considered greenwashing. Companies engaged in environmentally harmful work that, through marketing, present themselves as environmentally sound also engage in greenwashing. The practice can also be extended to cover policies that may or may not have significant environmental benefit, but which were initially motivated only by economic considerations.

The term greenwashing is a *portmanteau* (combination) of “green,” indicating environmental soundness, and “whitewashing” or glossing over flaws or concealing the truth. The term also developed as a play on words, as the laundering of domestic linens is sometimes referred to as “washing the whites.” New York environmentalist Jay Westerveld coined the word greenwashing in a 1982 article describing new laundering practices in hotels. Campaigns encouraging hotel guests to reuse their towels and bed linens for several days initially advertised the move as a green policy to save water and lessen detergent

use. However, Westerveld asserted that the campaigns’ true objective was to increase profits by reducing hotelier laundering and labor expenses; any environmental benefit was incidental.

There is no legal standard for what is considered greenwashing. However, the environmental marketing group TerraChoice has established an evaluative list of seven “sins of greenwashing.” The identified sins represent a logical flaw in the marketing story or labeling of green products. The sin of the hidden trade-off suggests that a product is entirely environmentally responsible by emphasizing one, narrow attribute of greenness without sufficient consideration of the entire environmental impact of the product. The sin of no proof applies to green claims that are not supported by science or independent verification. Similarly, the TerraChoice dubbed the presentation of meaningless certifications (ones not backed up by scientific rigor or independent verification) as worshipping false labels. Greenwashing also

includes presenting outdated environmental claims (such as not containing a substance that was long-ago banned) or otherwise truthful claims that are unimportant when comparing the environmental impact of two products. This is labeled the sin of irrelevance. The sin of fibbing covers greenwashing via untruthful statements about a product's environmental benefits. The sin of the lesser of two evils, as in the case of organic pesticides, lulls consumers into thinking that a product is a completely safe, green alternative type of product, when all similar products have significant negative environmental consequences.

TerraChoice considers vague claims that a product is natural or green without defining any specific criteria as the Sin of Vagueness. In its initial survey of over 3,000 green products, TerraChoice found this was the type of greenwashing most commonly committed on product packaging. Common examples of greenwashing using vagueness include claims that products are "natural," without acknowledgement that some natural ingredients can be just as environmentally toxic or harmful as their synthetic counterparts. Claims that products are "chemical free" misrepresent the scientific definition of chemicals, which are omnipresent. Employed in greenwashing, the phrase "chemical-free" plays on public fears of manufactured chemicals that may or may not have negative health effects or cause environmental harm.

Greenwashing is not only applicable to products and labels, but also whole company advertising campaigns and industrial processes. Environmental advocates assert that clean coal is a greenwashed, industrial process that makes consumers focus only on re-reductions in air pollution at the expense of the industry's reliance on damaging processes like strip mining, mountain top removal, slurry impounding, and valley filling. In 2000, the international energy company British Petroleum re-branded itself as BP. It re-designed its corporate logo—one that appears on all of its auto gasoline stations—as a flower design in green and yellow. The company's new slogan, still in use over a decade later, was "Beyond Petroleum." Critics asserted that BP did not change its activities and still engaged in drilling, shipping, and refining practices that ultimately caused environmental damage. BP claimed that the campaign represented the company's research on alternative energy sources. General Electric faced similar accusations of greenwashing when it launched its \$90 million "Ecomagination" advertising campaign in 2005.

Opponents of greenwashing, including media and environmental watchdog groups, rely on media coverage and public education to expose greenwashing practices.

Greenpeace, the international environmental activism group, features greenwashing claims on its blog, Stop Greenwash. The Greenwashing Index, maintained by the University of Oregon and EnviroMedia Social Marketing, features online examples of greenwashed advertising and products sent in by members of the public.

Laws have been slow to address greenwashing concerns. In the United States, the parameters for natural and organic standards apply predominantly to food, and there is some verification on the inclusion and labeling of post-consumer recycled products. Untrue marketing claims about human health are covered by advertising regulations. In Britain, the Code of Advertising Sales Promotion and Direct Marketing has a specific provision (section 49) addressing false and misleading environmental claims.

Greenwashing is prevalent in the modern marketplace where consumers have expressed a demand for greener products. Manufacturers of green products claim that greenwashing dilutes consumer confidence and creates confusion with legitimately green alternatives. An October 2010 survey found that more than 95 percent of products claiming environmental benefits, or reduced environmental impacts, make excessive claims of greenness. There are, however, signs that consumers are becoming more knowledgeable about what they accept as a green product, and that product advertising is getting better with environmental claims. TerraChoice's 2010 survey of products found that there were 73 percent more allegedly green products in the marketplace than there were just one year before. Evaluating almost 5,300 products under their "deadly sins" criteria, 4.5 percent were free of greenwashing, representing an increase of 3.5 percent since 2007.

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Adrienne Wilmoth Lerner

## Grinevald, Jacques

1946–

French university professor and historian

Philosopher and historian Jacques Grinevald is recognized as a key expert on biospheres. His studies and publications regarding the concept's initiator, Vladimir Vernadsky who published his work on the subject first in 1926, have comprised the substance of Grinevald's work as a scientific historian and philosopher.

Grinevald was a faculty member of the University of Geneva (Switzerland) from 1980 to 2005, serving as a part-time lecturer. He received a science degree in policies from the University Institute of High International Studies in Geneva in 1970; and his doctorate of third cycle of philosophy, Paris X-Nanterre, in 1979. His early career included positions at the University of Geneva as an assistant in charge of research and teaching to the faculty of law, in addition to duties as the person in charge of press information; part-time lecturer position at the federal polytechnic school of Lausanne, serving as program man technique environment beginning in 1981; and, serving as an invited professor at the Federal Universidade of Rio de Janeiro (Brazil) in 1980 and 1984. His active schedule has taken him all over the world for conferences and seminars. Grinevald retains membership in several professional societies, including International Society for Ecological Economics; European Association for Bioeconomic Studies; and, World Council for the Biosphere.

Grinevald has been published extensively. His writings—among them chapters and articles in various books and journals—include, *The Greening of Europe* in 1990; “The Revolution Carnotienne: thermodynamics, economy and ideology,” from the *European Review of Social Sciences*, 1976; and, “There is holistic total concept for deep and ecology: the Biosphere,” for *Fundamenta Scientiae*, 1987. He has lectured and written on subjects that include the biosphere, the greenhouse effect, and famous scientists such as Stephen H. Schneider, a native New Yorker, whose research has focused on the greenhouse effect on civilization. He has been a regular contributor to a journal established by a group at the University of Geneva in 1990, *Strategies Energetiques Biosphere et Society* (Energy Strategies, Biosphere and Company) (SEBES). What began as a special volume became a publication devoted to the biosphere.

Writing for the publication *Etat De La Planete* (State of the Planet), Grinevald discussed the key issues of the biosphere. “This concept underlines the fact that the Life exceeds the individuals and is an

ecological phenomenon of solidarity on various scales, microbial communities on a planetary scale of the Biosphere. It is the observer which decides scale of observation, so much [more] at the geographical level than at the temporal level. It is our world civilization which discovers the Biosphere as a phenomenon characteristic of the face of the Earth in cosmos. That implies a certain responsibility. The interdisciplinary and holistic concept of Biosphere associates astronomy, geophysics, meteorology, biogeography, evolutionary biology, geology, the geochemistry and, in fact, all science of the ground and the living.”

As of 2010, Grinevald is a professor of development studies at The Graduate Institute, Geneva.

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Jane Spear

## Grizzly bear

The grizzly bear (*Ursus arctos*), a member of the family Ursidae, is the most widely distributed of all bear species. Although reduced from prehistoric times, its range today extends from Scandinavia to eastern Siberia, Syria to the Himalayan Mountains, and, in North America, from Alaska and northwest Canada into the northwestern portion of the lower forty-eight states. Even though the Russian, Alaskan, and Canadian populations remain fairly large, the grizzly bear population in the northwestern continental United States represents only about 1 percent of its former size of less than 200 years ago. Grizzly bears occupy a variety of habitats, but in North America they seem to prefer open areas including tundra, meadows, and coastlines. Before the arrival of Europeans on the continent, grizzlies were common on the Great Plains. Now they are found primarily in wilderness forests with open areas of moist meadows or grasslands.

Female grizzly bears vary in size from 200–450 pounds (91–204 kg), whereas the much larger males can weigh up to 800 pounds (363 kg). The largest individuals—from the coast of southern Alaska—weigh up to 1,720 pounds (780 kg). Grizzly bears measure from 6.5–9 feet



Male grizzly bears can get up to 800 pounds (363 kg). (*Ursus arctos*). (Photograph)

(2–2.75 m) tall when standing erect. To maintain these tremendous body sizes, grizzly bears must eat large amounts of food daily. They are omnivorous and are highly selective feeders. During the six or seven months spent outside their den, grizzly bears will consume up to 35 pounds (16 kg) of food, chiefly vegetation, per day. They are particularly fond of tender, succulent vegetation, tubers, and berries, but also supplement their diet with insect grubs, small rodents, carrion, salmon, trout, young deer, and livestock, when the opportunity presents itself. In Alaska, along the McNeil River in particular, when the salmon are migrating upstream to spawn in July and August, it is not unusual to see congregations of dozens of grizzly bears, along the riverbank or in the river, catching and eating these large fish.

Grizzly bears breed during May or June, but implantation of the fertilized egg is delayed until late fall when the female retreats to her den in a self-made or natural cave, or a hollow tree. Two or three young are born in January, February, or March, and are small (less than 1 lb/0.45 kg) and helpless. They remain in the den for three or four months before emerging, and stay with their mother for one and a half to four years. The

age at which a female first reproduces, litter size, and years between litters are determined by nutrition, which induces females to establish foraging territories which exclude other females. These territories range from 10–75 square miles (26–194 km<sup>2</sup>). Males tend to have larger ranges extending up to 400 square miles (1,036 km<sup>2</sup>) and incorporate the territories of several females. Young females, however, often stay within the range of their mother for some time after leaving her care, and one case was reported of three generations of female grizzly bears living within the same range.

Grizzly bear populations have been decimated over much of their original range. Habitat destruction and hunting are the primary factors involved in their decline. The North American population, particularly in the lower forty-eight states, has been extremely hard hit. Grizzly bears numbered near 100,000 in the lower forty-eight states as little as 180 years ago, but today, fewer than 1,000 remain on less than 2 percent of their original range. This population has been further fragmented into seven small, isolated populations in Washington, Idaho, Montana, Wyoming, and Colorado. This decline and fragmentation makes their potential for

survival in these habitats tenuous. The U.S. Fish and Wildlife Service considers the grizzly bear to be threatened in the lower forty-eight states. Related brown bear species and populations are not threatened, with over 100,000 estimated to inhabit Russia alone.

Little has been done to protect this declining species in the lower 48 states. In 1999 it was agreed to begin slowly reintroducing grizzlies into the 1.2 million acre (49,000 ha) area of the Selway-Bitterroot Wilderness on the border of Idaho and Montana. Unfortunately, the project was put on hold as of 2001 due to unfounded fear of the animal. Habitat loss due to timbering, road building, and development in this region is still a major problem and will continue to impact these threatened populations of bears.

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Eugene C. Beckham

## Groundwater

Groundwater refers to water that is located underground in the spaces between soil particles and bedrock, and even in cracks within rocks.

Almost all groundwater originates as surface water. Some portion of rain hitting the earth runs off into streams and lakes, and another portion soaks into the soil, where it is available for use by plants and subject to evaporation back into the atmosphere. The third portion soaks below the root zone and continues moving downward until it enters the groundwater.

Precipitation is the major source of groundwater. Other sources include the movement of water from lakes or streams and contributions from such activities as excess irrigation and seepage from canals. Water has also been purposely applied to increase the available supply of groundwater. Water-bearing formations called aquifers act as reservoirs for storage and conduits for transmission back to the surface.

The occurrence of groundwater is usually discussed by distinguishing between a zone of saturation and a zone of aeration. In the zone of saturation the pores are entirely filled with water, while the zone of aeration has pores that are at least partially filled by air. Suspended water does occur in this zone. This water is called vadose, and the zone of aeration is also known as the vadose zone. In the zone of aeration, water moves downward due to gravity, but in the zone of saturation it moves in a direction determined by the relative heights of water at different locations.

Water that occurs in the zone of saturation is termed groundwater. This zone can be thought of as a natural storage area of reservoir whose capacity is the total volume of the pores of openings in rocks.

An important exception to the distinction between these zones is the presence of ancient sea water in some sedimentary formations. The pore spaces of materials that have accumulated on an ocean floor, which has then been raised through later geological processes, can sometimes contain salt water. This is called connate water.

Formations or strata within the saturated zone from which water can be obtained are called aquifers. Aquifers must yield water through wells or springs at a rate that can serve as a practical source of water supply. To be considered an aquifer the geological formation must contain pores or open spaces filled with water, and the openings must be large enough to permit water to move through them at a measurable rate. Both the size of pores and the total pore volume depends on the type of material. Individual pores in fine-grained materials such as clay, for example, can be extremely small, but the total volume is large. Conversely, in coarse material such as sand, individual pores may be quite large but total volume is less. The rate of movement from fine-grained materials, such as clay, will be slow due to the

small pore size, and it may not yield sufficient water to wells to be considered an aquifer. However, the sand is considered an aquifer even though they yield a smaller volume of water because, they will yield water to a well.

The water table is not stationary but moves up or down depending on surface condition such as excess precipitation, drought, or heavy use. Formations where the top of the saturated zone or water table define the upper limit of the aquifer are called unconfined aquifers. The hydraulic pressure at any level with an aquifer is equal to the depth from the water table, and there is a type known as a water-table aquifer, where a well drilled produces a static water level which stands at the same level as the water table.

A local zone of saturation occurring in an aerated zone separated from the main water table is called a perched water table. These most often occur when there is an impervious strata or significant particle-size change in the zone of aeration which causes the water to accumulate. A confined aquifer is found between impermeable layers. Because of the confining upper layer, the water in the aquifer exists within the pores at pressures greater than the atmosphere. This is termed an artesian condition and gives rise to an artesian well.

Groundwater has always been an important resource, and it will become more so in the future as the need for good quality water increases due to urbanization and agricultural production. It has recently been estimated that 50 percent of the drinking water in the United States comes from groundwater; 75 percent of the nation's cities obtain all or part of their supplies from groundwater, and rural areas are 95 percent dependent upon it. For these reasons, it is widely believed that every precaution should be taken to protect groundwater purity. Once contaminated, groundwater is difficult, expensive, and sometimes impossible to clean up. The most prevalent sources of contamination are waste disposal, the storage, transportation and handling of commercial materials, mining operations, and nonpoint sources such as agricultural activities.

An example of a groundwater resource that is threatened is the Ogalla Aquifer, an approximately 17,000 square mile region of groundwater that underlies eight mid-west states. The aquifer, which supplies the bulk of irrigation water for the cropland in the eight states (which are a major crop source for the country) is being depleted at a rate faster than the addition of water. At this rate of depletion, the aquifer will run dry by about 2030.

*See also* Agricultural pollution; Aquifer restoration; Contaminated soil; Drinking-water supply; Safe Drinking Water Act (1974); Water quality.

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James L. Anderson

## Groundwater monitoring

Monitoring groundwater quality and aquifer conditions can detect contamination before it becomes a problem. The appropriate type of monitoring and the design of the system depends upon hydrology, pollution sources, and the population density and climate of the region. There are four basic types of groundwater monitoring systems: ambient monitoring, source monitoring, enforcement monitoring, and research monitoring.

Ambient monitoring involves collection of background water quality data for specific aquifers as a way to detect and evaluate changes in water quality. Source monitoring is performed in an area surrounding a specific, actual, or potential source of contamination such as a landfill or spill site. Enforcement monitoring systems are installed at the direction of regulatory agencies to determine or confirm the origin and concentration gradients of contaminants relative to regulatory compliance. Research monitoring wells are installed for detection and assessment of cause and effect relationships between groundwater quality and specific land use activities.

*See also* Aquifer restoration; Contaminated soil; Drinking-water supply; Leaching; Water quality standards.

## Groundwater pollution

When contaminants in groundwater exceed the levels deemed safe for the use of a specific underground reservoir of water (aquifer), the groundwater is considered polluted. There are three major sources of groundwater pollution: natural sources; waste disposal; and spills, leaks, and nonpoint source activities such as run-off from agricultural land.

All groundwater naturally contains some dissolved salts or minerals. These salts and minerals may be leached from the soil and from the aquifer materials themselves and can result in water that poses problems for human consumption, is considered polluted, or does not meet the secondary standards for water quality. Natural minerals or salts that may result in polluted ground water include chloride, nitrate, fluoride, iron and sulfate.

The large-scale disposal of waste will always carry a risk for serious pollution of the environment. Waste-disposal practices that specifically threaten groundwater range from separate sewage treatment systems for individual residences, such as septic fields, which, as of 2010, are the basis of sewage disposal for about 25 percent of US households, to the storage and disposal of industrial wastes. Many of the problems posed by industrial waste arise from the use of surface storage facilities that rely on evaporation for disposal. These facilities are also known as discharge ponds. In other types, the waste is treated to standards suitable for discharge to surface water. The potential exists in both types of sewage disposal for the movement of contaminants into groundwater. Many of the numerous sanitary landfills in the country are in the same situation. Water moving down and away from these sites into groundwater aquifers carries with it a variety of chemicals leached from the material deposited in the landfills. The liquid that moves out of landfills is called leachate.

Modern landfills are constructed with an outer barrier that is impermeable to water. This should restrict the movement of leachate into the groundwater. However, any imperfection in the barrier can allow percolation of liquid into the soil. Older landfills that were built when barrier construction was mandatory may not have a surrounding impermeable barrier.

Agricultural practices also contribute to groundwater pollution. For example, there have been increases in nitrate concentrations and low-level concentrations of pesticides. For control of groundwater pollution, one of the most important agricultural practices is the management of nitrogen from all sources including

fertilizer, nitrogen-fixing plants, and organic waste. Once nitrogen is in the nitrate form it is subject to leaching, so it is important that the amount applied not exceed the crops' ability to use it. At the same time, crops need adequate nitrogen to obtain high yields, and a good balance must be maintained. Low-level pesticide contamination occurs in areas where aquifers are sensitive to surface activity, particularly areas of shallow aquifers beneath rapidly permeable soils, and regions of topography where deep and wide range pollution can occur due to fractures in the bedrock.

Except in cases of deep-well injection waste or substances contained in sanitary landfills, most contaminants move from the land surface to aquifers. The water generally moves through an unsaturated zone, in which biological and chemical processes may act to degrade or change the contaminant. Plant uptake can also reduce some of the pollution. Once in the aquifer, however, the movement of the contaminant with the water will depend on the solubility of the compound, and the speed of contamination will depend on how fast water moves through the aquifer. Chemical and biological degradation of contaminants can occur in the aquifer, but usually at a slower rate than it does on the surface due to lower temperatures, less available oxygen, and reduced biological activity. In addition, aquifer contaminants exist in lower concentrations, diluted by the large water volume. Most pollution remains relatively localized in aquifers, since movement of the contaminants usually occurs in plumes that have definite boundaries and do not mix with the rest of the water. This does provide an advantage for isolation and treatment.

The types of chemicals that pollute groundwater are as varied as their sources. They range from such simple inorganic materials as nitrate from fertilizers, septic tanks, and feedlots, chloride from high salt, and heavy metals such as chromium from metal plating processes, to very complex organic chemicals used in manufacturing and household cleaners.

The most efficient way to protect groundwater is to limit activities in recharge areas (areas where the groundwater is replenished). For confined aquifers it may be possible to control activities that can result in pollution, but this is extremely difficult for unconfined aquifers, which are essentially open systems and that are subject to effects from any land activity. In areas of potential salt-water intrusion excess pumping can be regulated, and this can also be done where water is being used for irrigation faster than the recharge rate, so that the water becomes saline. Another important activity for the protection of groundwater is the proper sealing of all wells that are not currently being used.

Classification of aquifers according to their predominant use is another management tool now employed in a number of states. This establishes water-quality goals and standards for each aquifer, and means that aquifers can be regulated according to their major use. This protects the most valuable aquifers, but leaves the problem of predicting future needs. Once an aquifer is contaminated, it is very expensive if not impossible to restore, and this management tool may have serious drawbacks in the future.

In rural areas of the United States, 95 percent of the population draws their drinking water from the groundwater supply. As of 2010, almost half of the U.S. population drinks water that has been obtained from a groundwater source. Nearly 20 billion gallons of groundwater are withdrawn from aquifers every day. With a growing population, continued industrialization, and increasing agricultural reliance on the use of chemicals, many believe it is now more important than ever to protect groundwater. Contamination problems have been encountered in every state, but prevention is far more efficient and effective than restoration after damage has been done. Prevention can be achieved through regional planning and enforcement of state and federal regulations.

*See also* Agricultural pollution; Aquifer restoration; Contaminated soil; Drinking-water supply; Feedlot runoff; Groundwater monitoring; Hazardous waste site remediation; Heavy metals and heavy metal poisoning; Waste management; Water quality; Water quality standards; Water treatment.

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James L. Anderson

## Growth curve

A graph in which the number of organisms in a population is plotted against time. Such curves are amazingly similar for populations of almost all organisms

from bacteria to human beings and are considered characteristic of populations.

Growth curves typically have a sigmoid or S-shaped curve. When a few individuals enter a previously unoccupied area, growth is at first slow during the positive acceleration phase. The growth then becomes rapid and increases exponentially, called the logarithmic phase. The growth rate eventually slows down as environmental resistance gradually increases; this phase is called the negative acceleration phase. It finally reaches an equilibrium or saturation level. The final stage of the growth curve is termed the carrying capacity of the environment.

A good example of a species' growth curve is demonstrated by the sheep population in Tasmania. Sheep were introduced into Tasmania in 1800. Careful records of their numbers were kept, and by 1850 the sheep population had reached 1.7 million. The population remained more or less constant at this carrying capacity for nearly a century.

The figures used to plot a growth curve—time and the total number in the population—vary from one species to another, but the shape of the growth curve is similar for all populations. Once a population has become established in a certain region and has reached the equilibrium level, the numbers of individuals will vary from year to year depending on various environmental factors. Comparing these variations for different species living in the same region is helpful to scientists who manage wildlife areas or who track factors that affect populations.

For example, a study of the population variations of the snowshoe hare and the lynx (*Lynx canadensis*) in Canada is a classic example of species interaction and interdependence. The peak of the hare population comes about a year before the peak of the lynx population. Since the lynx feeds on the hare, it is obvious that the lynx cycle is related to the hare cycle. This leads to a decline in the population of hares and secondarily to a decline in the lynx population. This permits the plants to recover from the overharvesting by the hares, and the cycle can begin again.

Growth curves are just one of the characteristics of populations. Other characteristics that are a function of the whole group and not of the individual members include population density, birth rate, death rate, age distribution, biotic potential, and rate of dispersion.

*See also* Population growth.

Linda Rehkopf

Growth, exponential see  
**Exponential growth.**

## Growth limiting factors

There are a number of essential conditions which all organisms, both plants and animals, require to grow. These are known as growth factors. Plants, for example, require sunlight, water, and carbon dioxide in order to perform photosynthesis. They require nutrients such as nitrogen, phosphorus, and various trace elements in order to form tissues. The environment in which the plant is growing does not contain an unlimited supply of these growth factors. When one or more of them is present in levels or concentrations low enough to constrain the growth of the plant, it is known as a growth limiting factor. The rate or magnitude of the growth of any organism is controlled by the growth factor that is available in the lowest quantities. This concept is analogous to the saying that a chain is only as strong as its weakest link.

These factors limit population growth. If they did not exist, a population could increase exponentially, limited only by its own intrinsic lifespan. Growth limiting factors are essential to the traditional concept of carrying capacity, which rests on the assumption that the available resources limit the population that can be sustained in that area. Advances in technology have enabled people to increase the carrying capacity in certain areas by manipulating the growth limiting factors. Perhaps the best example of this is the use of fertilizers on farmland.

In the field of population ecology, identifying growth limiting factors is part of establishing the constraints and pressures on populations and predicting growth in various conditions. Algal growth in New York Harbor provides an example of the importance of identifying growth limiting factors. In New York Harbor, several billion gallons of untreated wastewater are released daily, bringing enormous quantities of nutrients and suspended solids into the water. Algae in the harbor take advantage of the nutrient loads and grow more than they would under nutrient-poor conditions. At the same time, however, the suspended solids and silts brought into harbor cause the water to become very turbid, limiting the amount of sunlight that penetrates it. Sunlight is rarely a growth limiting factor for algae; nutrients are usually what limits their growth, but in this case nutrients are in excess supply. This means that if pollution control in the harbor ever results in control of the turbidity in the water, there will probably be a sharp increase in the growth of algae.

Consideration of growth limiting factors is also very important in the field of conservation biology and habitat protection. If the goal is to protect a bird such as the heron, which may feed on fish from a lake and nest in upland trees nearby, limiting factors must be taken into account not only for the growth of the individual but also for the population. Conservation efforts must not be directed only toward ensuring there are enough fish in the lake. Enough trees must also be left uncut and undisturbed for nesting in order to address all of the growth requirements for the population. Regardless of how abundant the fish are, the number of herons will only grow to the extent allowed by the number of available nesting sites.

Environmentalists use growth limiting factors to distinguish between undisturbed ecosystems and unstable or stressed systems. In an ecosystem that has been distressed or disturbed, the nature of growth limiting factors changes, and these changes are often human-induced, as they are in New York Harbor. Though the change in circumstances may not always appear negative in impact, it still represents a shift away from the original balance, and it may have effects on other species or lead to subtle long-term changes in the system. Any cleanup or management strategy must use these new growth limiting factors to identify the nature of the imbalance that has occurred and develop a procedure to restore the system to its original condition.

Growth limiting factors are extensively used in the field of bioremediation, in which microbes are used to clean up environmental contaminants by breakdown and decomposition. Oil spills are a good example. Bacteria that can break down and degrade oils are naturally present in small quantities in soil, but under normal conditions their growth is limited by both the availability of essential nutrients and the availability of oil. In the event of an oil spill on land, the only growth limiting factor for these bacteria is nutrients. Bioremediation scientists can add nitrogen and phosphorus to the soil in these circumstances to stimulate growth, which increases degradation of the oil. Techniques such as these, which use naturally occurring bacterial populations to control contamination, are still in development; they are most useful when the contaminants are present in high concentrations and confined to a limited area.

*See also* Algal bloom; Decline spiral; Ecological productivity; Exponential growth; Food chain/web; Restoration ecology.

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Usha Vedagiri  
Douglas Smith

Growth, logistic see **Logistic growth**.

Growth, population see **Population growth**.

*Grus americana* see **Whooping crane**.

## Guano

Guano is manure created by flying animals that is deposited in a central location because of nesting habits. Guano can occur in caves from bats or in nesting grounds where large populations of birds congregate. Guano was frequently used as a source of nitrogen (N) fertilizer prior to the time when nitrogen fertilizer was commercially manufactured from methane (CH<sub>4</sub>) in natural gas. Guano was also used as saltpeter for the production of gunpowder. Due to demand for guano as nitrogen fertilizer and for its use in producing gunpowder in the mid-1800s, the U.S. passed the Guano Islands Act in 1856, stating that the U.S. could take possession of any unoccupied, unclaimed island found to house guano deposits.

*See also* Animal waste.

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## Guinea worm eradication

In 1986, the world health community began a campaign to eliminate the guinea worm (*Dracunculus medinensis*) from the entire world. If successful, this will be only the second global disease affecting humans ever completely eradicated (smallpox, which was eradicated in 1977 was first), and the only time that a human

parasite will have been totally exterminated worldwide. Known as the fiery serpent, the guinea worm has been a scourge in many tropical countries. Dracunculiasis (pronounced dra-KUNK-you-LIE-uh-sis) or guinea worm disease, starts when people drink stagnant water contaminated with tiny copepod water fleas (called cyclops) containing guinea worm larvae. Inside the human body, the worms grow to as long as 3 feet (1 m). After a year of migrating through the body, a threadlike adult worm emerges slowly through a painful skin blister. Most worms come out of the legs or feet, but they can appear anywhere on the body. The eight to twelve weeks of continuous emergence are accompanied by burning pain, fever, nausea, and vomiting. Many victims bathe in a local pond or stream to soothe their fever and pain. When the female worm senses water, she releases tens of thousands of larvae, starting the cycle once again. Once the worms become established in local ponds, infections among people living nearby are at high risk for further infections.

As the worm emerges from the wound, it is often rolled around small stick and pulled out a few centimeters each day. Sometimes the entire worm can be extracted in a few days, but the process usually takes weeks. Unfortunately, if the worm is removed too fast and breaks off, the part left in the body can die, leading to serious secondary infections. If the worm exits the body through a joint, permanent crippling can occur. There is no cure for guinea worm disease once the larvae are ingested. There is no vaccine, and having been infected once doesn't provide immunity. Many people in affected villages suffer the disease repeatedly year after year. The only way to break the cycle is through behavioral changes. Community health education, providing clean water from wells or by filtering or boiling drinking water, eliminating water fleas by chemical treatment, and teaching infected victims to stay out of drinking supplies are the only solutions to this problem.

Although people rarely die as a direct effect of the parasite, the social and economic burden at both the individual and community level is great. During the weeks that worms are emerging, victims usually are unable to work or carry out family duties. This debilitation often continues for several months after worms are no longer visible. In severe cases, arthritis-like conditions can develop in infected joints, and the person may be permanently crippled.

When the eradication campaign was started in 1986, guinea worms were endemic to 16 countries in sub-Saharan Africa as well as Yemen, India, and Pakistan. Every year, about 3.5 million people were stricken and at least 100 million people were at risk.

With the leadership of former United States President Jimmy Carter, a consortium of agencies, institutions, and organizations—the World Health Organization (WHO), UNICEF, the United Nations Development Program (UNDP), the World Bank, bilateral aid agencies, and the governments of many developed countries—banded together to fight this disease. Although complete success has not yet occurred, encouraging progress has been made. Already the guinea worm infections are down more than 96 percent. Pakistan was the first formerly infested country to be declared completely free of these parasites. More than 80 percent of all remaining cases occur in Sudan, where civil war, poverty, drought, and governmental resistance to outside aid have made treatment difficult. By 2010, there were only about 3200 cases of known guinea worm infection, all occurring in the African nations of Ethiopia, Ghana, Mali, and Sudan.

An encouraging outcome of this crusade is the demonstration that public health education and community organization can be effective, even in some of the poorest and most remote areas. Village-based health workers and volunteers conduct disease surveillance and education programs, allowing funds and supplies to be distributed in an efficient manner. Once people understand how the disease spreads and what they need to do to protect themselves and their families, they do change their behavior. A great advantage of this community health approach is educating villagers about the importance of proper sanitation and clean drinking water is effective not only against dracunculiasis, but also can help eliminate many other water-borne diseases.

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### ORGANIZATIONS

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William P. Cunningham

## Gulf oil spill

On April 22, 2010, fires from an explosion taking place two days earlier, sank the *Deepwater Horizon* oil rig, located in the Gulf of Mexico about 52 miles (84 kilometers) off the coast of Louisiana. The explosion killed eleven workers and seriously injured seventeen others. The destruction created a massive oil leak from the wellhead located 5,000 feet (1,500 meters) below the surface. Over the next eighty-seven days, the resulting oil spill would become the largest accidental oil spill in history, creating a swath of environmental devastation and death along broad areas of the central and northern Gulf Coast.

By April 24, 2010, the oil slick was visible on surrounding surface waters. Over the following weeks and months, NASA satellite photographs—obtained from the Moderate Resolution Imaging Spectroradiometer (MODIS) mounted on the Aqua satellite and the Advanced Land Imager aboard the Earth Observing-1 (EO-1) satellite—provided evidence of an expanding surface oil slick over the northern Gulf of Mexico.

Scientists using fluorometers mapped and measured clouds and plumes of sub-surface oil, and later layers of subsurface oil mixed with chemical dispersants.

The oil leak was initially estimated at 1,000 barrels of oil (42,000 gallons) per day. Expert estimates of the volume of crude oil spilling into the Gulf each day quickly increased to 5,000 barrels (210,000 gallons). Many experts asserted that it was clear from pictures of the continuing underwater gusher eventually released that a significant amount of oil continued to spewing into the Gulf, especially in light of the fact that the company responsible for the spill, BP (formerly British Petroleum) claimed that a temporary funnel-like cap was collecting more than 15,000 (630,000 gallons) of oil per day. An array of marine and oil industry experts argued that the underwater pictures and surface observations provided clear evidence of an underreporting of the size of the spill.

Estimates of the volume of oil gushing into the Gulf increased steadily throughout the spill, ultimately reaching 5 million barrels (210 million gallons) of oil. The Gulf of Mexico spill (also called the BP oil spill or *Deepwater Horizon* oil spill) surpassed the estimated 3.3 million barrels (approximately 140 million gallons) of oil released during the 1979 Ixtoc I spill to become the worst accidental marine oil spill in history. The Ixtoc 1 spill followed a Petroleos Mexicanos’ (PEMEX) rig explosion in the Bay of Campeche (the southern Gulf of Mexico off Mexico’s coast). The 2010 Gulf of Mexico spill far surpassed the 11 million



**This baby tern stuck in an oil patch on a Grand Isle, Louisiana, beach was rescued by Chris Hernandez, the Street Superintendent of Grand Isle after the 2010 Gulf of Mexico oil spill. (Julie Dermansky/Photo Researchers, Inc.)**

gallons of oil spilled into Alaskan waters following the 1989 grounding of the tanker *Exxon Valdez*.

Although there was initial uncertainty in estimating the rate of leakage, within days of the accident it became clear that enough oil would be spilled into the Gulf of Mexico to create a significant—and perhaps unprecedented—ecological disaster.

### Undersea oil leak

Using remotely operated submersibles to examine the wreckage, engineers quickly discovered at least three major leaks. Oil was leaking from a ruptured drill pipe near the wellhead and from the crumpled riser pipe that had once connected the *Deepwater Horizon* rig to the well head.

Stopping the spill proved difficult. Automatic shut-off valves on the blowout preventer, a 50-foot (17 yd) stack of valves that sits on top of the wellhead, failed to operate and then failed to respond to remote commands. The blowout preventer's valves are designed to close when there are sudden surges or drops in oil

or gas pressure. Such surges or fluctuations in pressure are often the cause of blowout explosions. With the valves damaged or open, the oil spill continued as engineers also attempted to use robot submersibles to close the valves. While continuing efforts to stop the leak at the source, engineers immediately began to assemble oil collection domes. Containment domes are normally used in shallower waters and prior to the *Deepwater Horizon* spill had never been deployed at the depth required to contain the leak. BP officials immediately dispatched drilling equipment and two rigs capable of drilling nearby relief wells to reduce the pressure within the leaking well, thus reducing the rate and amount of oil spill, and ultimately plug the well with heavy drilling mud and concrete. However, engineers cautioned that drilling relief wells would take months.

Efforts to stop the leak were performed under difficult marine conditions. Rough seas hampered initial efforts to close the blowout preventer shut-off valves. The vertical column of pipes from the seafloor was so badly damaged that, akin to kinks in a hose, the

twisted remains of the connecting pipe actually acted to slow the oil leak. Engineers had to proceed with caution because while attempting repairs, they ran the risk of opening new leaks or inadvertently increasing the rate of leaks already spewing oil into the Gulf.

At the time the disaster occurred, the platform was located within the Mississippi Canyon Block 252 (Macondo Prospect oil field). BP, Transocean, and Halliburton were the three primary companies involved in operations related to the catastrophe.

The federal government formed the *Deepwater Horizon* Unified Command, which includes BP and Transocean, along with numerous government agencies, to fight the environmental problems associated with the BP Deepwater oil spill. On June 1, the organization commanded 1,400 vessels, 20,000 personnel, and seventeen staging areas. As of that date, the organization has already used over 910,000 gallons (3,445,000 l) of dispersants, recovered over 12.1 million gallons (45.8 million l) of oily water, and deployed over 3.7 million feet (1.13 million m) of booms. Several official investigations were also underway, including the Deepwater Horizon Joint Investigation (by the MMS and Coast Guard) and an investigation by the National Academy of Engineering. In addition, President Barack Obama announced that a bipartisan National Commission on the BP *Deepwater Horizon* Oil Spill and Offshore Drilling to investigate the incident

As of November 2010, investigations into the *Deepwater Horizon* sinking provided tentative conclusions that a series of technical and human failures resulted in the massive Gulf oil spill. Congressional hearings have produced documents and testimony to show the reliability of deepwater technology and the ability to handle “worst-case scenarios” are questionable at best. In addition, lax government inspections and improper relationships between government regulators and oil representatives have also been highlighted during ongoing investigations.

On May 28, 2010, President Obama ordered a suspension of any future drilling deepwater offshore pending a safety review. Obama also invoked a six-month moratorium on the issuance of drilling permits. In addition, the U.S. government named BP the responsible party in the disaster. BP accepted responsibility and agreed to pay all cleanup costs, but added that the accident was not entirely its fault because the rig’s owner and operator was Transocean and operations potentially related to the explosion and spill were carried out by other companies.

As of November 2010, the oil spill has cost BP approximately \$10 billion, but the final cost could go upwards of \$30 billion.

### *Containment efforts*

**BLOWOUT PREVENTER.** Numerous methods to limit the impact of the Deepwater Horizon spill were attempted throughout May and early June, including using ROVs to manually close the blowout preventer (BOP), a shutoff device at the wellhead. In all, BP sent six of these ROVs to cut the flow of oil at the wellhead. These attempts ultimately failed.

**RELIEF WELLS.** During this time, BP also began drilling two relief wells to intercept the original well at about 12,800 feet (3,900 m) below the seafloor. These relief wells were to be used in case the other attempts failed. BP hoped that by August these relief wells would be ready to end the oil flow.

**CONTAINMENT DOME.** Early in May, an attempt was made to place a 98-ton steel and concrete containment dome (called a “top hat”) on top of the largest leak. The top hat, which was four feet (1.2 m) in diameter and 5 feet (1.5 m) tall, would attach to a drill pipe that would siphon the oil to a ship waiting on the ocean’s surface. However, this procedure failed when the pipe became blocked with gas hydrates (crystalline solids of methane gas and water molecules). In the second week, the insertion of a Riser Insertion Tube Tool (RITT) between the platform pipe and the broken seafloor pipe was attempted. The apparatus allowed some collection of leaking oil.

**TOP KILL AND JUNK SHOT.** Then, on May 25, the RITT apparatus was removed so a “top kill” technique and a “junk shot” technique could be attempted to permanently close the leak. Heavy drilling fluids were pumped through two lines into the blowout preventer on the seabed. The top kill technique was designed to restrict the flow of oil so that cement could be poured in to permanently seal the leak. After temporarily stopping the flow, BP announced on May 29 that the “top kill” method had failed to permanently stop it. The junk shot technique, which consisted of shooting shredded tire bits, golf balls, knotted rope, and other selected materials into the BOP with the intention of clogging it, also failed.

**LMRP CAP.** Thereupon, BP began using the Lower Marine Riser Package (LMRP) Cap Containment System. A diamond saw blade began cutting the damaged riser so a custom-built cap could be placed on the newly cut pipe; however, the saw became stuck. With a substituted pair of shears, a successful cut was accomplished on June 3 and a cap attached. Recovery began the next day, with less than one-tenth of the oil captured. On June 8, according to BP, a total of about 15,000 barrels of oil had been collected that day, and about 57,500 barrels over the

past four days. Ultimately, this technique also failed to contain the leaking oil.

**NEW CAP ASSEMBLY.** On July 10, the LMRP cap was removed so that a different cap could be installed. The new cap assembly, which was hopefully a better fit than the older one, consisted of a flange transition spool and a 3 ram stack. Five days later, BP announced that the leak had stopped when the BOP was closed shut with the new cap assembly.

**STATIC KILL.** With the success of the LMRP containment cap, on August 3, BP began a process called “static kill,” or hydrostatic kill. The spill prevention process involves injecting several thousand barrels of cement and mud through the containment cap and into the top of the damaged BP well. The cement plug created from the process is designed to hold back the pressure of the oil, which was estimated at about 7,000 pounds per square inch, or almost 500 times atmospheric pressure. On August 9, BP reported that the static kill procedure was holding and leaking oil was no longer present.

**BOTTOM KILL.** The final major step is called the “bottom kill” technique. It involved pumping cement and mud from the bottom of the well, similar to the “static kill” technique used earlier. A storm entering the Gulf Coast area delayed the bottom kill procedure for several days. However, in late August, the bottom kill was completed and the flow of oil was permanently stopped from flowing out of the damaged well.

**NEW BOP.** On September 4, the damaged BOP was removed from the site, and lifted to the Gulf surface, a process that lasted just over one day. A new BOP was then installed in order to prevent any new leaks from occurring.

The two relief wells were completed in September, which allowed engineers to permanently seal the well with drilling mud and cement at levels deep into the reservoir. On September 19, Incident Commander and Retired Coast Guard Admiral Thad Allen announced that the well was dead.

### Environmental impact

By June 2010, the surface slick extended over most of the northern Gulf of Mexico. While the bulk of the spill initially remained at sea, oil began washing into ecologically sensitive marshlands in Louisiana. Extending eastward into Florida waters, the surface slick spotted white-sand beaches vital to local tourist-based economies. Fishing bans extended over more than a quarter of the Gulf of Mexico, resulting in crippling economic hardship and apocalyptic predictions for the future of a Gulf seafood industry integral to the

regional economy and deeply entwined with the culture of the region. In Alabama, oil flowed into inland waterways and wetland areas. Deaths of marine mammals, fish, birds, and other wildlife began to spike upwards.

In addition to the surface slick, more than 1.1 million gallons of dispersants, much of it sprayed deep underwater as oil gushed from the damaged well, reduced the surface slick at the expense of clouds and plumes of oil suspended in the water column.

Predicting precise landfalls and the degree of damage to specific areas proved difficult. Oil slicks are generally not consistent in thickness; the vast majority of a discernable slick is a thin sheen that can dissipate to create gaps in the slick area. However, within oil slicks are areas of thicker oil that pose a substantial threat to wildlife, coastal environments, and the economies of impacted areas. Estimating what portion of a visible spill might dissipate before reaching shore is also complex, and subject to wave action, distance to the shore, and other variables. Wave action can churn the slick, hardening and clumping oil so that “globs” sink to ocean floor. However, such globs of oil can wash up on beaches and contaminate coastal areas for weeks and months following a spill. Ultimately, oil washed up along more than 600 miles (966 km) of coastline.

### Mitigation and cleanup efforts

Initial mitigation and cleanup efforts include measures to both contain and directly remove surface oil. Such efforts relied on floating booms and skimmers to contain the slick until it could be pumped into container vessels. Boats and aircraft can also apply massive amounts of oil dispersants.

While still at sea, the slick killed and threatened birds, marine mammals, plankton, and species of fish that lay eggs at the surface.

**CONTAINMENT BOOMS.** Many miles of floating containment booms were used to restrict where oil could go, such as into mangroves, marshes, and other ecologically sensitive areas. These booms were about 1 to 4 feet (0.3 to 1.2 m) above and below the water line in order to fulfill its purpose.

**SKIMMING AND CONTROLLED BURNS.** The U.S. Coast Guard used dozens of skimmer ships (“skimmers”) to collect (“skim”) oil that was on the surface waters of the Gulf of Mexico. These skimmer vessels were used to contain this oil in preparation for controlled fires. This activity was done in an attempt to burn off the spilled oil before it reached land and devastate the environment.

**DISPERSANTS.** Dispersants are detergent-like chemicals that break up oil slicks. The molecular nature of the dispersants (one part of the molecular structure of dispersants has a polar affinity to water, the other end a non-polar affinity to oil) allows them to surround and coat small droplets of oil. Oil remains on the inside of the oil-dispersant glob in contact with the non-polar parts of the dispersant molecule. On the surface of the glob the polar portions of the dispersant molecules allow the glob to drop out of the spill and mix with water (a polar substance). Ultimately the oil-dispersant globs drop to the sea floor. Over thousands of years, those globules that do not wash up on beaches are consumed by microorganisms.

Chemical dispersants were used to accelerate the way that oil is naturally dispersed in water following oil spills. Such artificially made dispersants used on the Gulf oil spill were primarily Corexit EC9500A and Corexit EC9527A. Although many marine experts expressed disagreement or caution, according to their manufacturer (Nalco), “[COREXIT 9500] is a simple blend of six well-established, safe ingredients that biodegrade, do not bioaccumulate and are commonly found in popular household products. COREXIT products do not contain carcinogens or reproductive toxins. All the ingredients have been extensively studied for many years and have been determined safe and effective by the EPA.”

By September 4, 2010, approximately 1.1 million gallons (4.2 million l) of chemical dispersant was applied to the wellhead. There remains much controversy with the use of such dispersants. Chemical dispersants have been used for over fifty years to treat oil spills around the world. However, the medical community has yet to decide the long-term effects of such dispersants on marine life.

Some dispersants have proven toxic to marine organisms. In addition, dispersed oil globules can also be highly toxic. By June, U.S. Environmental Protection Agency (EPA) officials expressed concern about the untested toxicity of the nearly million gallons of dispersants used to reduce the surface slick. EPA officials ordered changes on the types of dispersants used and, at one point, issued a ban on the use of some types of dispersants. Experts contend that it will take years to measure the full impact of the unprecedented use of dispersants.

### *Challenging scientific questions*

Although it may take decades, the Gulf and its ecosystem have an enormous restorative capacity. Microbial life devours an estimated 1,000 barrels of

crude oil naturally seeping into Gulf waters each day and microbes flourishing in the warm Gulf waters quickly devoured significant amounts of oil. However, there are differences in scientist’s estimates of how much oil was consumed. While composed primarily of hydrocarbons, crude oil contains thousands of other chemical species in trace amounts, and bacterial responses to the particular crude spilled vary. Some bacteria consume selected elements of crude, leaving residues for other bacteria or for slower physical degradation. The rate at which microbes feed on oil is also related to levels of other nutrients present such as nitrogen, phosphorus, and iron.

Several species of prokaryotic microorganisms are responsible devouring the petroleum hydrocarbons emanating from natural seafloor seeps of oil and gas found around the world. The microbe *Vibrio parahaemolyticus*, common in warm Gulf waters and a rare, but known source of shellfish poisoning is an avid consumer of petroleum hydrocarbons derived from oil and methane. A related species *Vibrio vulnificus*, sometimes found in raw oysters, is far more pathogenic (able to cause disease). The combination of warm water and abundances of hydrocarbon food fueled nearly exponential growth rates in some areas of the Northern Gulf of Mexico. Scientists remain uncertain, however, at the real extent of the enhanced growth, whether pathogenic bacteria respond differently, how long enhanced growth rates will last, and what additional threats the higher bacterial counts pose to human health.

A challenging question for marine scientists is also whether the microbial population explosion in Gulf will create larger hypoxic regions devoid of oxygen and life. Such “dead zone” areas already existed in the Gulf prior to the spill, but experts feared that the spill will expand their the number, area, and depth of such zones.

The abundance of oil may also alter the population balances between microbes and have lasting impacts on their evolutionary development. There are also unanswered question as to how the microbes that normally feed on oil will respond to the partially emulsified oil in large undersea oil clouds and plumes. Microbiologists initially defended the use of dispersants because reducing the droplet size of the spilled oil created a larger surface area upon which microbes could feed. Some microorganisms, including *Alcanivorax borkumensis* naturally feed on oil by producing their own detergent-like surfactant substances to break down oil film into more digestible micro-droplets.

Adding complexity to the analysis are the natural checks on the growth of bacteria. For example, as a consequence of the higher bacterial counts, predatory bacterial viruses and protozoa not normally pathogenic to humans, but normally effective in stabilizing the populations of pathogenic bacteria such as *Vibrio* also increased in numbers.

Following the *Exxon Valdez* spill in 1989, the percentage of petroleum-consuming microbes in contaminated waters soared to ten times normal levels.

**HEAVILY IMPACTED SPECIES.** During the disaster, damage to the coastal environment of Louisiana visibly worsened. Workers helping to recover wildlife on Louisiana's East Grand Terre Island report that birds had been found "coated in thick, black goo" and brown pelicans "drenched in thick oil, struggling and flailing in the surf." The wetlands system—supporting a complex array of wildlife including seabirds and wading birds, speckled trout, shrimp, whooping cranes, wood storks, songbirds, sea turtles including the endangered Kemp's Ridley turtle, along with untold numbers of lower life forms—were destroyed or damaged to varying degrees by the oil spill.

In addition to helping measure and remediate devastating impacts on the environment and wildlife, scientists also face an array of continuing challenges. In addition to fighting for access to data, they must also take into account the influence of natural factors and preexisting phenomena. For example, media reports of dolphin deaths in the northern Gulf in May 2010 were quickly attributed to the oil spill. However, there was already an observed spike in bottlenose dolphin deaths in the region prior to the oil spill and prior to the oil spill, bottlenose dolphin deaths were already at a seven-year high. Prior to the spill, in March 2010 wildlife officials recorded more than three times the normal number of dead bottlenose dolphins. NOAA officials declared the deaths an "unusual mortality event" and ordered an investigation. Although oil residues are highly toxic to marine mammals and significant deaths and damage were observed pathologists conducting necropsies did not definitively link observed bottlenose dolphin death directly to the oil spill prior to June 2010. Scientists are also investigating alternative causes, including the influence of an abnormally cold winter, possible paralytic shellfish poisoning, or deaths caused by viruses such as the Morbillivirus.

Experts contend that such scientific rigor, at times unyielding to personal perceptions, political correctness, or popular media influences, is critical to determining the full extent of the damage caused by the spill. Incontrovertible scientific evidence strengthens claims made

against parties responsible for the spill—making it difficult for them to escape legal accountability—and also strengthens efforts to create effective solutions.

**HUMAN HEALTH CONCERNS.** Medical professions state that the oil spilled into the Gulf waters and the dispersants used to clean them up will pose short- and long-term health problems to humans involved in the cleanup and to the citizens of the Gulf area. Specifically, hundreds of workers were treated for various medical problems during the cleanup. Symptoms were present, such as vomiting, coughing, chest pain, headaches, dizziness, nausea, and respiratory stress. Experts found that these symptoms of toxic origins are common within humans exposed to oil spills. For example, workers at the *Exxon Valdez* spill were treated for numerous respiratory problems during the clean-up activities, and subsequently showed a higher than normal rate of chronic airway disease.

### Additional impacts

Carbon from the oil spill was ultimately traced into the marine food chain. Although the impacts remain uncertain and under study, a lack of consumer confidence in the safety of Gulf seafood severely damaged a Gulf seafood industry already crippled by fishing bans. Gulf fishing and tourism industries also suffered devastating losses.

A number of efforts continue in order to minimize damage and remediate existing damage to bays, estuaries, and wetlands, including the use of protective sand berms.

The U.S. Department of the Interior reported on August 9 that its Fish and Wildlife Service and National Parks Service cleanup crews were continuing shoreline duties at Gulf Islands National Seashore in Mississippi. So far the crews had removed 3,540 pounds (1,605 kg) of oily debris from Horn Island, 1,000 pounds (454 kg) from Petit Bois, 1,925 pounds (873 kg) from Cat Island, and 1,600 pounds (726 kg) from Ship Island.

As of November 2010, at least 11,000 people are still working to clean up the Gulf Coast shore affected by the BP *Deepwater Horizon* oil spill. The highest number of cleanup workers was estimated to be about 48,000 throughout the many months of cleaning of the shoreline. As of October 27, 2010, about 93 miles (150 km) of shoreline still had moderate to heavy oil present, with most of oiled coastline in Louisiana. An additional 483 miles (779 km) of shoreline had light-to-trace amounts of oil present, with about 226 miles (365 km) in Louisiana, 119 miles (192 km) in Florida, 78 miles (126 km) in Mississippi, and 60 miles

(97 km) in Alabama. Clean-up efforts are expected to be completed, with respect to “deep beach cleaning of oil” in Pensacola, Florida, and Orange Beach and Gulf Shores, Alabama, by 2011.

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K. Lee P. Lerner

## Gulf War syndrome

Approximately 697,000 United States service members were deployed to the Persian Gulf from January to March 1991 as part of a multinational effort to stop Iraq’s attack against Kuwait. And while the war itself was short, a long battle has been taking place ever since by veterans, the United States government, and scientists to determine what has caused “Gulf War Syndrome,” a mysterious collection of symptoms reported by as many as 70,000 U.S. men and women who served in the war. They are joined by British veterans in their health complaints, and in smaller numbers by Canadians, Czechs, and Slovaks.

Gulf War Syndrome is a complex array of symptoms, including chronic fatigue, rashes, headaches,

diarrhea, sleep disorders, joint and muscle pain, digestive problems, memory loss, difficulty concentrating, and depression. A small percentage of veterans have had babies born with twisted limbs, congestive heart failure, and missing organs. The veterans blamed these abnormalities on their service in the Gulf. The U.S. Environmental Protection Agency (EPA) has also found high rates of brain and nervous system cancers among these veterans, up to seven to fourteen times higher than among the general population, depending on the age group. Considering that most soldiers and veterans are younger and in better physical shape than the general population, researchers find such figures unusual.

Collectively, these ailments suggest that neurological processes may have been altered, or immune systems damaged. While no single cause has been identified, various analyses of the Gulf War experience point to low-level exposure of chemical weapons, combined with other environmental and medical factors, as key contributors to the health problems triggered years after exposure.

The war was unique in the levels of physical and emotional stresses created for those who served, as well as for their families. A significant portion of troops were from the reserves, rather than active enlistees. Deployment occurred at unprecedented speed. Most troops were given multiple vaccinations that singularly do not have adverse effects, but their combined effects were not tested before distribution. Detectors often signaled the presence of chemical weapons during the conflict, but were mostly ignored as inaccurate. The soldiers worked long hours in extreme temperatures, lived in crowded and unsanitary conditions where pesticides were used indiscriminately to rid areas of flies, snakes, spiders, and scorpions, and breathed and had dermal exposures to chemicals from the continuous oil fires—burning trash, feces, fuels, and solvents. Blazing sun, blowing sand and biting sandflies further increased the discomfort and stress of military life in the desert. Exposures to the various fumes often exceeded federal standards and World Health Organization (WHO) health guidelines; these alone could have caused “permanent impairment,” according to a 1994 National Institutes of Health (NIH) report.

The U.S. military now admits it was inadequately prepared for chemical and biological warfare, which it knew Iraq had previously used. Three of four reserve units, for example, didn’t have protective gear. The drug pyridostigmine bromide (PB, 3-dimethylaminocarbonyloxy-N-methylpyridium bromide) was given to almost 400,000 troops before

and during the Gulf War to combat the effects of nerve gas, even though it is approved by the Food and Drug Administration (FDA) only for treatment of the neurological disorder myasthenia gravis. The FDA agreed on the condition that commanders inform troops what they were taking and what the potential side effects were. One survey, however, found that sixty-three of seventy-three veterans who had taken the drug did not receive such information. Records were not kept on who took which drugs or vaccines, as required by FDA and Defense Department guidelines.

While the Defense Department and other government agencies have spent more than \$80 million to try to identify the cause of veterans' ailments, a privately funded team of toxicologists and epidemiologists may have discovered an explanation for at least some problems experienced by Gulf War veterans. Researchers treated chickens in 1996 with nonlethal doses of three chemicals veterans were exposed to: DEET (N,N-diethyl-m-toluamide) and chlorpyrifos (O,O-diethyl O-3,5,6-trichloropyridinyl phosphorothioate), used topically or sprayed on uniforms as insecticides, and the anti-nerve gas drug pyridostigmine bromide. They found that simultaneous exposure to two or more of the insecticides and drugs damaged the chickens' nervous system, even though none of the chemicals caused problems by itself. The range of symptoms the chickens developed is similar to those the veterans describe. A similar study by the Defense Department found that the chemicals were more toxic to rats when given together than individually. Follow up studies are underway to determine if this also holds for humans.

The researchers hypothesize that multiple chemicals overwhelmed the animals' ability to neutralize them. The enzyme butyrylcholinesterase, which circulates in the blood, breaks down a variety of nitrogen-containing organic compounds, including the three substances tested. But the anti-nerve gas drug, in particular, can monopolize the enzyme, preventing it from dealing with the insecticides. Those chemicals could then sneak into the brain and cause damage they would not produce on their own.

Many veterans believe that, while the drugs and pesticides may have played a role in their ailments, so have chemical weapons. Troops could have been subjected to a higher degree of sustained, low-level exposure of chemical weapons than previously believed, either directly or via air plumes, because 75 percent of Iraq's chemical weapons production capability, along with 21 chemical weapons storage sites, were destroyed by allied air raids.

In addition, U.S. battalions blew up an Iraqi arms dump soon after the war was over, before many troops had left the Gulf. Khamisiyah, an enormous ammunition storage site, covered 20 square miles (50 km<sup>2</sup>) with 100 ammunition bunkers and other storage facilities. Two large explosions were set off, one on March 4 and a second on March 10, 1991. Smaller demolition operations continued in the area through most of April 1991.

While the site was not believed to have contained chemical weapons at the time, the Defense Department admitted in June 1996 that the complex had included nerve and mustard gases. The Central Intelligence Agency (CIA) also admitted in April 1997 that it knew in 1986 that thousands of mustard gas weapons had been stored at the Khamisiyah depot, but the agency failed to include it on a list of suspected sites provided to the Defense Department before the 1991 war, which led troops to assume it was safe to blow it up.

Weather data shows that upper-level winds in the gulf were blowing in a southerly direction during and after the bombing. Thus, vapors carried by these winds could have contaminated troops hundreds of miles away. A 1974 report, *Delayed Toxic Effects of Chemical Warfare Agents*, found that chemicals weapons plant workers suffer as many chronic symptoms as those now suffered by Gulf War veterans, including neurological, gastrointestinal and heart problems, loss of memory, and a greater risk of cancer; exposure to these chemicals may also create birth effects in children. A 1995 study by a British medical researcher found many of the same symptoms in Third World people exposed to organophosphate insecticides, like DEET, which are diluted versions of chemical weapons.

While British, Canadian and Slovak veterans have reported similar ailments, albeit in smaller numbers, no French veterans have complained of such illnesses, despite extensive publicity. This is also providing valuable clues to the U.S. veterans' maladies, in several ways. For example, the French did not use many of the vaccines that the British and Americans used, including pyridostigmine bromide. French camps were not sprayed with insecticides as a preventive measure, rather only when needed to control pest populations. When they did spray, they did not use organophosphates. Finally, the French were nowhere near the Khamisiyah munitions depot when the destruction occurred.

In February 1997, a series of study results established the most definitive links between Gulf War

syndrome and chemicals to date. The research identifies six “syndromes,” or clusters of like symptoms in discrete groups of veterans, and associates each with distinct events during the war. Troops who reported exposure to chemical weapons, for example, are likely to suffer from confusion, balance problems, impotence, and depression. Other sets of symptoms correspond to the use of insect repellants and anti-nerve gas drugs. While not conclusive, these findings will likely spur further research into the effects of low-level exposure to certain chemicals.

Such research was advocated by the presidential advisory committee, a twelve-member panel of veterans, scientists, and health care and policy experts established in 1995. The committee held 18 public meetings between August 1995 and November 1996 to investigate the nature of Gulf War veterans’ illnesses, health effects of Gulf War risk factors, and the government’s response to Gulf War illnesses. While the committee’s final report in January 1997 concluded that no single, clinically recognizable disease can be attributed to Gulf War service, it recommended additional research on the long-term health effects of low-level exposures to chemical weapons, on the synergistic effects of pyridostigmine bromide with other Gulf War risk factors, and on the body’s physical response to stress.

While the debate continues, the Veterans Affairs (VA) and Defense Departments are providing free medical help to any veteran who believes he or she is suffering from Gulf War Syndrome. In January 1997, President Clinton proposed new regulations that would extend the time available to veterans to prove their disabilities are related to Gulf War service from two to ten years. He also initiated a presidential review to ensure that in any future deployments the health of servicemen and women and their families is better protected.

Definitive answers as to the causes and treatments for veterans’ ailments have yet to be found. What is clear is that the complex biological, chemical, physical, and psychological stresses of the Persian Gulf War appear to have produced a variety of complex adverse health effects. No single disease or syndrome is apparent, but rather multiple illnesses with overlapping symptoms and causes. If what had been considered acceptable trace levels of chemical agents in the war environment are found to be harmful, the U.S. military will have to revamp the way it protects its forces against even those tiny amounts. Tragically, that would mean that not only did “friendly fire” account for nearly 25 percent of the

146 U.S. deaths, but also that allied actions were responsible for the war’s most persistent and haunting pain.

In November 2008, the U.S. Congress-mandated Research Advisory Committee on Gulf War Veterans’ Illnesses issued a report, “Gulf War Illness and the Health of Gulf War Veterans: Scientific Findings and Recommendations,” which stated that “scientific evidence leaves no question that Gulf War illness is a real condition with real causes and serious consequences for affected veterans.” The report indicated that exposure to two neurotoxins—pesticides and anti-nerve agent pyridostigmine bromide (PB) pills—were “causally associated with Gulf War illness.” The VA announced in February 2010 that their Department was conducting a reexamination of the disability claims of Gulf War veterans who appeared to still be suffering from Gulf War Syndrome.

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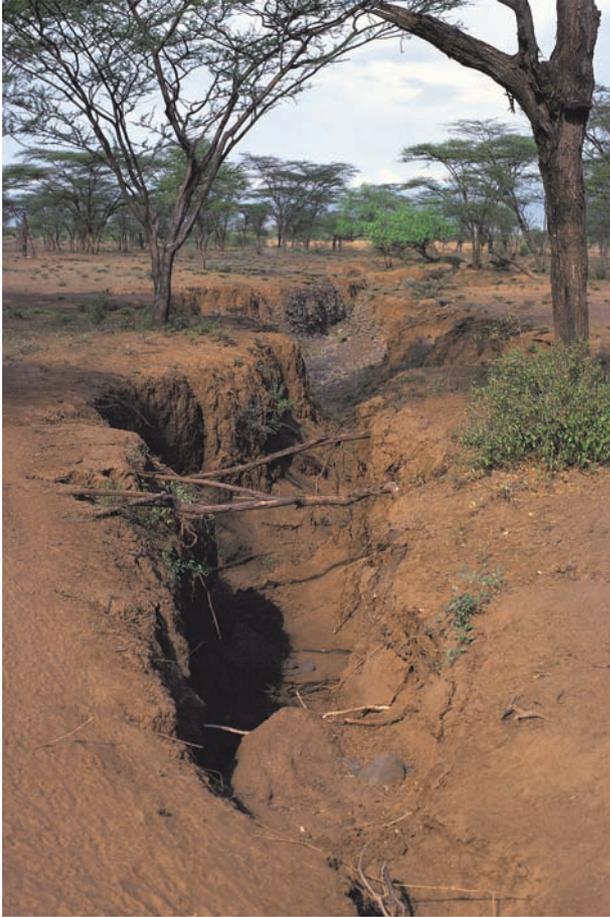
Sally Cole-Misch

## Gullied land

Areas where all diagnostic soil horizons have been removed by flowing water, resulting in a network of V-shaped or U-shaped channels. Generally, gullies are so deep that extensive reshaping is necessary for most uses. They cannot be crossed with normal farm machinery. While gullied land can occur on any land, they are often most prevalent on loess, sandy, or other soils with low cohesion.

*See also* Erosion; Soil profile; Soil texture.

*Gymnogyps californianus* *see* **Gypsy moth.**



**Gully erosion in Australia.** (Photograph by A. B. Joyce. Photo Researchers Inc.)

## Gypsy moth

The gypsy moth (*Portheria dispar*), a native of Europe and parts of Asia, has been causing both ecological and economic damage in the eastern United States and Canada since its introduction in New England in the 1860s.

In 1869, french entomologist Leopold Trouvelet brought live specimens of the insect to Medford, Massachusetts for experimentation with silk production. Several individual specimens escaped and became an established population over the next twenty years. The destructive abilities of the gypsy moth became readily apparent to area residents, who watched large sections of forest be destroyed by the larvae. From the initial infestation in Massachusetts, the gypsy moth spread throughout the northeastern United States and southeastern Canada. In 2000, states located along the leading edge of gypsy moth populations, along with the United States

Department of Agriculture Forest Service, launched a project to stop its spread. In 2008, North Carolina, Virginia, West Virginia, Kentucky, Ohio, Indiana, Illinois, Wisconsin and Minnesota participated in the program that reduces gypsy moth spread by 70 percent.

Gypsy moths have a voracious appetite for leaves, and the primary environmental problem caused by them is the destruction of huge areas of forest. Gypsy moth caterpillars defoliate a number of species of broadleaf trees including birches, larch, and aspen, but prefer the leaves of several species of oaks, though they have also been found to eat some evergreen needles. One caterpillar can consume up to one square foot of leaves per day. In 2001, 84.9 million acres (34.4 million ha) had been defoliated by these insects. The sheer number of gypsy moth caterpillars produced in one generation can create other problems as well. Some areas become so heavily infested that the insects have covered houses and yards, causing psychological difficulties as well as physical.



**Female adult gypsy moth (*Lymantria dispar*).** (© Marcos Veiga / Alamy)

There are few natural predators of the gypsy moth in North America, and none that can keep its population under control. Attempts have been made since the 1940s to control the insect with pesticides, including DDT, but these efforts have usually resulted in further contaminating of the environment without controlling the moths or their caterpillars. Numerous attempts have also been made to introduce species from outside the region to combat it, and almost 100 different natural enemies of the gypsy moth have been introduced into the northeast United States. Most of these have met, at best, with limited success. Recent progress has been made in experiments with a Japanese fungus that attacks and kills the gypsy moth. The fungus enters the body of the caterpillar through its pores and begins to destroy the insect from the inside out. It is apparently non-lethal to all other species in the infested areas, and

its use has met with limited success in parts of Rhode Island and upstate New York. It remains unknown however, whether it will control the gypsy moth, or at least stem the dramatic population increases and severe infestations.

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Eugene C. Beckham





## Haagen-Smit, Arie Jan

1900–1977

Dutch atmospheric chemist

The discoverer of the causes of photochemical smog, Haagen-Smit was one of the founders of atmospheric chemistry, but first made significant contributions to the chemistry of essential oils. Haagen-Smit was born in Utrecht, Holland, in 1900, and graduated from the University of Utrecht. He became head assistant in organic chemistry there and, later, served as a lecturer until 1936. He came to the United States as a lecturer in biological chemistry at Harvard, then became an associate professor at the California Institute of Technology in Pasadena. He retired as Professor of Biochemistry in 1971, having served as executive officer of the department of biochemistry and director of the plant environment laboratory. Haagen-Smit also contributed to the development of techniques for decreasing nitrogen oxide formation during combustion in electric power plants and autos, and to studies of damage to plants by air pollution.

While early workers on the haze and eye irritation that developed in Los Angeles, California, tried to treat it as identical with the smog (smoke + fog) then prevalent in London, England, Haagen-Smit knew at once it was different. In his reading, Haagen-Smit had encountered a 1930s Swiss patent on a process for introducing random oxygen functions into hydrocarbons by mixing the hydrocarbons with nitrogen dioxide and exposing the mixture to ultraviolet light. He thought this mixture would smell much more like a smoggy day in Los Angeles than would some sort of mixture containing sulfur dioxide, a major component of London smog. He followed the procedure and found his supposition was correct. Simple analysis showed the mixture now contained ozone, organic peroxides, and several other compounds. These findings showed that the

sources of the problems in Los Angeles were petroleum refineries, petrochemical industries, and ubiquitous automobile exhaust.

Haagen-Smit was immediately attacked by critics, who set up laboratories and developed instruments to prove him wrong. Instead the research proved him right, except in minor details.

Haagen-Smit had a long and distinguished career. In addition to his work on the chemistry of essential flower oils and famous findings on smog, he also contributed to the chemistry of plant hormones and plant alkaloids and the chemistry of microorganisms. He was a founding editor of the *International Journal of Air Pollution*, now known as *Atmospheric Environment*, one of the leading air pollution research journals. Though he found the work uncongenial, he stayed with it for the first year, then retired to the editorial board, where he served until 1976.

Once it was obvious that he had correctly identified the cause of the Los Angeles smog, he was showered with honors. These included membership in the National Academy of Science, receipt of the Los Angeles County Clean Air Award, the Chambers Award of the Air Pollution Control Association (now the Air and Waste Management Association), the Hodgkins Medal of the Smithsonian Institution, and the National Medal of Science. In his native Netherlands he was made a Laureate of Labor by the Netherlands Chemical Society, and Knight of the Order of Orange Nassau.

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James P. Lodge Jr.

## Habitat

Refers to the type of environment in which an organism or species exists in, as defined by its physical properties (e.g., rainfall, temperature, topographic position, soil texture, soil moisture) and its chemical properties (e.g., soil acidity, concentrations of nutrients and toxins, oxidation reduction status). Some authors include broad biological characteristics in their definitions (e.g., forest versus prairie habitats), when referring to the different types of environments occupied by trees and grasses. Within a given habitat there may be different micro-habitats, such as the hummocks and hollows on bogs or the different soil horizons in forests.

## Habitat conservation plans

Protection of the earth’s flora and fauna, the myriad of plants and animals that inhabit the planet, is totally dependent upon preserving their habitats. This is because a habitat, or natural environment for a specific variety of plant or animal, provides everything necessary for sustaining life for that species. Habitat conservation is part of a larger picture involving interdependency between all living things. Human life has been sustained since its dawning through the utilization of both plants and animals for food, clothing, shelter, and medicines. It follows then that the destruction of any species’ environment, which will result in the eventual destruction of the species itself, adversely affects all other species.

Habitat destruction occurs for a number of reasons. Human industry has usually resulted in pollution that has often destroyed the balance of natural elements in soil, water, and air that are necessary to life. The need for forest products such as lumber has threatened woodlands in more ways than one. A lumbering practice called clear-cutting, in addition to over-harvesting a forest, leaves behind barren ground that results in

erosion and threatens species dependent on the vegetation that grows on the forest floor. This wearing away of soil often results in negative changes to nearby streams and thus the water supply to multitudes of living things. The introduction of non-native species into an area can also threaten a habitat, as plants and animals with no natural enemies may thrive abnormally. This in turn will throw off the delicate balance and natural biological controls on population growth that each environment provides for its inhabitants.

As the understanding of these facts became more widespread, the demand for habitat conservation throughout the world increased. In the United States, in 1973, Congress passed the Endangered Species Act to protect both at-risk species and their environments. In order to control activities by private and nonfederal government landowners who might disturb habitats, the Act included a section outlining Habitat Conservation Plans (HCPs).

These HCPs were not implemented without a good deal of controversy. Some environmentalists were critical of the plans, believing that they failed to actually preserve the habitats and species they were designed to protect. Conservationists, landowners, and industrialists all argued that these HCPs were based upon faulty science and invalid and insufficient data. Impartial reviews did indicate that there were flaws. One cited weakness was that a species could theoretically be added to the endangered list but have no modification made in the plan to protect that species’ living space.

It is clearly a measure of this controversy that in the law’s first twenty years, only fourteen such plans were developed and approved nationwide. During the administration of President Bill Clinton (b. 1946), the federal government revised policies to encourage more numerous and more effective HCP applications. A more responsive to change *no-surprises* policy helped encourage participation in habitat conservation plans. During the 1990s, 259 HCPs were approved and there are now over 330, affecting more than 100 threatened or endangered species.

These policies recognize that natural resources change and environments require continual monitoring and alterations in plans, that those trying to balance environments can do so with adaptive management. Such alterations encouraged participation in HCPs, and by 1997, more than 200 plans covering nine million acres (3.6 million ha) of land had been approved. As of April 2002, the United States Fish and Wildlife Service noted that nearly double that number, 379 plans covering nearly 30

million acres (12.1 million ha) and protecting more than 200 endangered and threatened species have now been approved.

One positive example of the possibilities created by such plans is the work of the Plum Creek Timber Company, a nationwide timberland company whose corporate offices are located in Seattle, Washington. Self-described as “the second largest private timberland owner in the United States, with 7.8 million acres (3.2 million ha) located in the northwestern, southern, and northeastern regions of the country,” the company lists many initiatives it has undertaken to preserve the environments under its charge. In the early 1990s, Plum Creek Timber Company developed a plan to provide a *ladder-like* framework in Coquille River tributaries in Oregon to aid fish in reaching upper areas blocked for many years by a culvert. Spawning surveys afterward showed that coho salmon and steelhead trout, for the first time in forty years, were present above the culvert.

HCPs were created to focus attention on the problem of declining wildlife on land not owned and protected by the federal government and to attempt to maintain the biodiversity so necessary for all life. This goal would ideally assure that land is developed in such a way that it serves both the needs of the landowner and of threatened wildlife. However, in reality, it is not always possible to achieve such a goal. Often the more appropriate aim, if habitat conservation is to be successful, will be total protection of a wildlife environment even at the price of banning all development.

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National Wildlife Federation, 111000 Wildlife Center Drive, Reston, VA, USA, 20190-5362, (800) 822-9919, [info@nwf.org](mailto:info@nwf.org), <http://www.nwf.org>

Joan M. Schonbeck

## Habitat fragmentation

The habitat of a living organism, plant, animal, or microbe is a place, or a set of environmental conditions, where the organism lives. Net loss of habitat obviously has serious implications for the survival and well-being of dependent organisms, but the nature of remaining habitat is also very important. One factor affecting the quality of surviving habitat is the size of its remaining pieces. Larger areas tend to be more desirable for most species. Various influences, often a result of human activity, cause habitat areas to be divided into smaller and smaller, widely separated pieces. This process of habitat fragmentation has profound implications for species living there.

Each patch created when larger habitat areas are fragmented results in more edge area where patches interface with the surrounding environment. These smaller patches with a relatively large ratio of edge to interior area have some unique characteristics. They are often distinguished by increased predation when predators are able to hunt or forage along this edge more easily. The decline of songbirds throughout the United States is due in part to the increase of the brown-headed cowbird competing with other birds along habitat edges. The cowbird acts as a parasite by laying its eggs in other birds' nests and leaving them for other birds to hatch and raise. After hatching, the young cowbirds compete with the smaller birds of the nest, almost always killing them.

In the smaller patches formed from fragmentation, habitat areas are less protected from adverse environmental events, and a single storm may destroy the entire area. A disease outbreak may eliminate an entire population of a species. When the number of breeding adults becomes very low, some species can no longer reproduce successfully.

Some songbirds found in the United States are declining in number as their habitat shrinks or disappears. When they migrate south in the winter they find that habitat to be more scarce and fragmented. When they return from the tropics in the spring, they discover that the nesting territory that they used the previous year has disappeared.

Species dispersal is decreased as organisms must travel farther to go from one habitat area to another, increasing their exposure to predation and possibly harmful environmental conditions. Populations become increasingly insular as they become separated from related populations, losing the genetic benefits of a larger interbreeding population.

Road building often divides habitat areas, seriously disrupting migration of some mammals and herptiles (frogs, snakes, and turtles). Large swaths of land used by modern freeways are particularly effective in this regard. In earlier times, railroads built across the Great Plains to connect the west coast of the United States with states east of the Mississippi River, divided bison habitat and hampered their migration from one grazing area to another. This was one of the factors that led to their near extinction.

Habitat fragmentation, usually a result of human activity, is found in all major habitat types around the world. Rain forests, wetlands, grasslands, and hardwood and conifer forests are all subject to various degrees of fragmentation. Globally, rain forests are currently by far the most seriously impacted ecosystem. Because they contain 50 percent or more of the world's species, the resulting number of species extinctions is particularly disturbing. It is estimated that 25 percent of the world's rainforests disappeared during the twentieth century, and another 25 percent were seriously fragmented and degraded. In the last two centuries, nearly all of the prairie grassland once found in the United States has disappeared. Remaining remnants occur in small, scattered, and isolated patches. This has resulted in the extinction or near extinction of many plant and animal species.

Ability to survive habitat fragmentation and other environmental changes varies greatly among species. Most find the stress overwhelming and simply disappear. A few of the common species that have been very successful in adapting to changing conditions include animals such as the opossum, raccoon, gray squirrel, and European starling. Plant examples include dandelions, crab grass, creeping Charlie, and many other weed species. The wetland invader, purple loosestrife, originally imported from Europe to the United States, is rapidly spreading into disrupted habitat previously occupied by native emergent aquatic vegetation such as reeds and cattails. Animal species that once found a comfortable home in cattail stands must move on.

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Douglas C. Pratt, Ph.D.

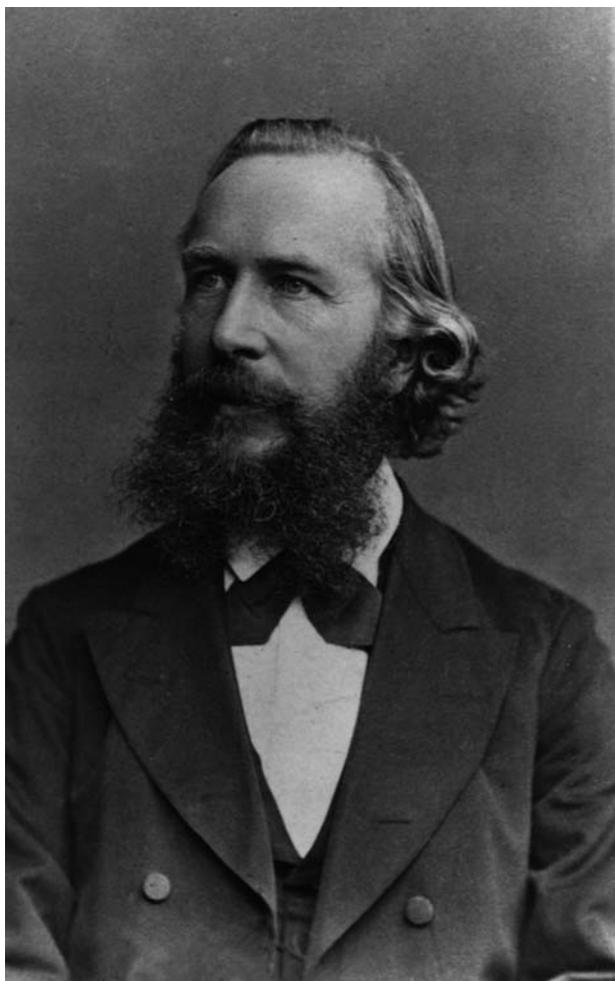
## Haeckel, Ernst H.

1834–1919

German naturalist, scientist, biologist, philosopher, and professor

Ernst Haeckel was born in Potsdam, Germany. As a young boy he was interested in nature, particularly botany, and kept a private herbarium, where he noticed that plants varied more than the conventional teachings of his day advocated. Despite these natural interests, he studied medicine—at his father's insistence—at Würzburg, Vienna, and Berlin, Germany, between 1852 and 1858. After receiving his license, Haeckel practiced medicine for a few years, but his desire to study *pure science* won over, and he enrolled at the University of Jena to study zoology. Following completion of his dissertation, he served as professor of zoology at the university from 1862 to 1909. The remainder of his adult life was devoted to science.

Haeckel was considered a liberal nonconformist of his day. He was a staunch supporter of Charles Darwin, one of his contemporaries. Haeckel was a prolific researcher and writer. He was the first scientist to draw a family tree of animal life, depicting the proposed relationships between various animal groups. Many of his original drawings are still used in current textbooks. One of his books, *The Riddle of the Universe* (1899), expounded many of his theories on evolution. Prominent among these was his theory of recapitulation, which explained his views on evolutionary vestiges in related animals. This theory, known as the *biogenetic law*, stated that ontogeny recapitulates phylogeny—the development of the individual (ontogeny) repeats the history of the race (phylogeny). In other words, he argued that when an embryo develops, it passes through the various evolutionary stages that reflect its evolutionary ancestry. Although this theory was widely prevalent in biology for many years, scientists today consider it inaccurate or only partially correct. Some even argue that Haeckel falsified his diagrams to prove his theory.



Ernst Haeckel. (Corbis-Bettmann)

In environmental science, Haeckel is perhaps best known for coining the term *ecology* in 1869, which he defined as “the body of knowledge concerning the economy of nature—the investigation of the total relationship of the animal both to its organic and its inorganic environment including, above all, its friendly and inimical relations with those animals and plants with which it directly or indirectly comes into contact—in a word, ecology is the study of all those complex interrelations referred to by Darwin as the conditions for the struggle for existence.”

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John Korstad

## Half-life

A term primarily used to describe the atomic transformations, how radioactive decay processes cause unstable atoms to be transformed into other isotopes and elements, but which can also refer to the biological half-life of substances that are not radioactive.

Specifically, the physical half-life is the time required for half of a given initial quantity to decay into another entity or disappear (via conversion or removal) from a defined system.

*See also* Radioactivity.

*Haliaeetus leucocephalus* see **Bald eagle**.

## Halons

Halons are chemicals that contain carbon (C), fluorine (F), and bromine (Br). They are used in fire extinguishers and other firefighting equipment. Because of their bromine content, halons can very efficiently destroy molecules of ozone (O<sub>3</sub>), contributing to the depletion of ozone in the stratosphere. The ozone layer is located 10 to 28 miles (16–47 km) above the surface of the earth and it protects humans and the environment from damaging solar ultraviolet-B radiation. Halons account for approximately 20 percent of the ozone depletion.

Halons have been used since the 1940s, when they were discovered by United States Army researchers looking for a fire-extinguishing agent to replace carbon tetrachloride (CCl<sub>4</sub>). Halons are very effective against most types of fires, are nonconductive, and dissipate without leaving a residue. They are also economical, very stable, and safe for human use.

Halons consist of carbon atom chains with attached hydrogen atoms that are replaced by the halogens fluorine and bromine. Some also contain chlorine (Cl). Halon-1211 ((bromochlorodifluoromethane, CF<sub>2</sub>ClBr), halon-1301 (bromotrifluoromethane, CF<sub>3</sub>Br), and halon-2402 (dibromotetrafluoroethane, C<sub>2</sub>F<sub>4</sub>Br<sub>2</sub>) are the major fire-suppressing halons. Halon-1211 is discharged as a liquid and vaporizes into a cloud within a few feet. Halon-1301 is stored as a liquid but discharges as a gas. Halons suppress fires because they bond with the free radicals and intermediates of the decomposing fuel molecules that fuel the fire. They also lower the temperature of the fire.

Halogens may take up to seven years to drift up and distribute throughout the stratosphere, with the highest concentrations over the poles. High-energy ultraviolet radiation breaks their bromine and chlorine bonds, releasing these very reactive components, which in turn break down the ozone molecules and react with free oxygen to interfere with ozone creation. Although chlorine is more abundant, bromine is more than one hundred times more damaging to the ozone. Because of the ozone-depleting actions of halons, the substances also contribute to global warming and climate change.

Halogens are categorized as class I ozone-depleting substances, along with chlorofluorocarbons (CFCs) and other substances with ozone-depleting potentials (ODPs) of 0.2 or greater. The ODP is the ratio that refers to the amount of ozone depletion caused by a substance compared to a similar mass of CFC-11—a common refrigerant—which has an ODP of 1.0. Halon-1211 has an ODP of 3.0 and an atmospheric lifetime of sixteen years. It also has a global warming potential (GWP) of 1300 to 1890. The GWP of a chemical is a ratio that indicates the amount of global warming caused by the substance compared with a similar mass of carbon dioxide (CO<sub>2</sub>). Halon-1301 has an ODP of 10.0, an average lifetime of sixty-five years, and a GWP of 6900 to 7140. Data from the World Meteorological Organization has shown that the ODP values for halon-1301 and halon-1211 are higher than originally reported at 16.0 and 7.1, respectively. Halon-2402 has an ODP of 11.5. Halon-1211 and halon-1301 are the most common halons in the United States. Halon-2402 is widely used in Russia and the developing world. Although total halon production between 1986 and 1991 accounted for only about 2 percent of the total production of class I substances, it accounted for about 23 percent of the ozone depletion caused by class I substances.

Halon production in the United States ended on December 31, 1993, because of their ozone depletion. Under the Montreal Protocol on Ozone Depleting Substances, first negotiated in 1987 and now including more than 191 countries, halons became the first ozone-depleting substances to be phased out in industrialized nations, with production stopped in 1994. Under the Clean Air Act, the United States banned the production and importation of halons as of January 1, 1994. The use of existing halons in fire protection systems continues and recycled halons can be purchased to recharge such systems. It is estimated that about 50 percent of all halons ever produced currently exist in portable fire extinguishers and firefighting equipment. The United States has 40 percent of the world's supply of halon-1301. In 1997 approximately 1,080 tons (977 metric

tons) of halon-1211 and 790 tons (717 metric tons) of halon-1301 were released in the United States. In 1998, the U.S. Environmental Protection Agency prohibited the venting of halons during training, testing, repair, or disposal of equipment, and banned the blending of halons, to prevent the accumulation of nonrecyclable stocks.

The European Union has gone beyond the Montreal Protocol, banning the sale and noncritical use of halons after December 31, 2002, and, as of December 31, 2003, mandating the decommissioning of noncritical halon systems.

Alternatives are now available for most halon applications. Existing halon supplies from fire-suppression systems are being recycled for critical uses where no alternative exists.

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- Stratospheric Ozone Information Hotline, United States Environmental Protection Agency, 1200 Pennsylvania Avenue, NW, Washington, D.C., USA, 20460, (202) 775-6677, (800) 296-1996, [public-access@epa.gov](mailto:public-access@epa.gov), <http://www.epa.gov/ozone>
- United Nations Environment Programme, Division of Technology, Industry and Economics, Energy and Ozone Action Programme, Tour Mirabeau, 39-43 quai Andre;aa Citroë;aun, 73759 Paris Cedex 15, France, (33-1) 44 37 14 50, (33-1) 44 37 14 74, [ozonaction@unep.fr](mailto:ozonaction@unep.fr), <http://www.unep.org/ozonaction>

Margaret Alic

## Hanford Nuclear Reservation

The Hanford Engineering Works was conceived in June 1942 under the direction of Major General Leslie R. Groves (1896–1970), head of the famous Manhattan Project, to produce plutonium and other materials for use in the development of nuclear weapons. By December 1942, a decision was reached to proceed with the construction of three plants—two to be located at the Clinton Engineering Works in Tennessee and a third at the Hanford Engineering Works in Washington.

Hanford was established in the southeastern portion of Washington state between the Yakima Range and the Columbia River, about 15 miles (24 km) northwest of Pasco, Washington. The site occupies approximately 586 square miles (1,517 km<sup>2</sup>) of desert with the Columbia River flowing through its northern region. Once a linchpin of U.S. nuclear weapons production during the Cold War era (1947–1991), Hanford has now become the world's largest environmental cleanup project.

Hanford Engineering Works (HEW), known by various other names such as Hanford Works, Hanford Project, or “site W” in classified terms, was originally under the control of the Manhattan District of the Army Corps of Engineers (MED) until the Atomic Energy Commission (now the Department of Energy, DOE) took over in 1947. The actual operation of the site has been managed by a series of contractors since its inception. The first organization granted a contract to run site operations at Hanford was E.I. DuPont de Nemours and Company. In 1946, General Electric took over, and with the aid of several subcontractors, ran construction and operation of the site through 1965. A series of contractors have directed operations at both the main DOE-Richland Operations Office and the DOE-Office of River Protection (ORP), the agency responsible for overseeing hazardous waste tank farm clean up along the Columbia River, since then.

In Battelle Memorial Institute, a nonprofit organization, assumed management of the federal government's DOE research laboratories on the Hanford site. The newly formed Pacific Northwest Laboratory (which became Pacific Northwest National Laboratory [PNNL] in 1995) supports the Hanford site cleanup through the development and testing of new technologies. Battelle still runs the PNNL today.

A number of contractors have directed operations at HEW throughout its history. As of 2010, the

prime contractors at the DOE-Richland Operations Office include the following: AdvanceMed Hanford (AMH), CH2M HILL Plateau Remediation Company (CHPRC), Mission Support Alliance (MSA), and Washington Closure Hanford (WCH).

The DOE-ORP, responsible for overseeing hazardous waste tank farm clean-up along the Columbia River, is managed by prime contractors: Advanced Technologies and Laboratories International, Inc. (ATL), Bechtel National, Inc. (BNI), and Washington River Protection Solutions, LLC (WRPS).

From 1943 to 1963, a total of nine plutonium-production reactors and five processing centers were built at Hanford, with the last of the reactors ceasing operations in 1987 (permanent shut-down of the Fast Flux Test Facility [FFTF], a sodium-cooled breeding reactor that produced isotopes for medical and industrial use, was ordered to close in 2001). Plutonium produced at Hanford was used in the world's first atomic explosion at Alamogordo, New Mexico, in 1945. The Hanford site processed an estimated 74 tons (67 metric tons) of plutonium during its years of active operation, accounting for approximately two-thirds of all plutonium produced for U.S. military use.

The plutonium fuel was processed on site at the Plutonium and Uranium Extraction Plant (PUREX). In the processing of plutonium, a substantial quantity of radioactive waste is produced; at Hanford, it amounts to 40 percent of the nation's one billion curies of high-level radioactive waste from weapons production. PUREX ceased regular production in 1988 and was officially closed in 1992. Deactivation of the plant was completed in 1997.

During the HEW's operational lifetime, some high-level radioactive wastes were diverted from the relatively safe underground storage to surface trenches. Lower-level contaminated water was also released into ditches and the nearby Columbia River. The DOE reports that over 450 billion gallons of waste liquid from the Hanford plants was improperly discharged into the soil column during their operational lifetime. These wastes contained cesium-137, technetium-99, plutonium-239 and -240, strontium-90, and cobalt-60. So much low-level waste was dumped that the groundwater under the reservation was observed to rise by as much as 75 feet (23 m).

Today more than 50 million gallons (189.3 million l) of high-level radioactive waste is contained in approximately 177 underground storage tanks, many of which have known leaks. One million gallons (3.8 million l) of this tank waste has already leaked into the

surrounding ground and groundwater. An estimated 25 million cubic feet of radioactive solid wastes remains buried in trenches, which are similar to septic-tank drainage fields. Spent nuclear fuel basins have leaked over 15 million gallons (56.8 million l) of radioactive waste. In total, over 1,900 waste sites and 500 contaminated facilities have been identified for clean up at Hanford. The contaminated zone encompasses a variety of ecosystems and the nearby Columbia River. According to a 2007 Associated Press article, the site is considered to be one of the most contaminated nuclear sites in the United States.

In the early 1990s, Congress passed a bill requiring the DOE to create a watchdog list of those Hanford tanks at risk for explosion or other potential release of high-risk radioactive waste. By 1994 the list had fifty-six tanks listed as a high-risk potential. By late 2001, the final twenty-four tanks had been removed from the congressional watch list, which is sometimes referred to as the “Wyden Watch List” (after sponsoring Senator Ron Wyden).

In 1989 the DOE, U.S. Environmental Protection Agency (EPA), and the State of Washington Department of Ecology signed the Hanford Federal Facility Agreement and Consent Order (i.e., the Tri-Party Agreement [TPA]). The TPA outlines cleanup efforts required to achieve compliance with the Comprehensive Environmental Response Compensation and Liability Act (CERCLA; or Superfund) and the Resource Conservation and Recovery Act (RCRA).

Compliance with federal and state authorities under the TPA has not always progressed without problems for Hanford officials. The EPA fined DOE for poor waste management practices in 1999, levying \$367,078 in civil penalties that were later reduced to \$25,000 and a promise to spend \$90,000 on additional clean-up activities. The following year EPA issued an additional \$55,000 fine against the DOE for noncompliance with the TPA. However, the five-year review of the project completed by the EPA in 2001, while specifying eighteen “action items” for DOE compliance, also states that cleanup of soil waste sites and burial grounds “are proceeding in a protective and effective manner.” In March 2002 DOE was fined \$305,000 by the Washington Department of Ecology for failing to start construction of a waste treatment facility as outlined in the TPA. Over the next eight years, little was accomplished concerning the agreement, as negotiations continued fruitlessly among the parties.

Even though the Hanford site poses significant environmental concerns, the facility has generated a number of innovative technological advances in the

cleanup and immobilization of radioactive wastes. For instance, one process developed in Hanford’s Pacific Northwest Laboratory is *in situ vitrification*, which uses electricity to treat waste and surrounding contaminated soil, melting it into a glass material that is more easily disposed. The William R. Wiley Environmental and Molecular Sciences Laboratory (EMSL), which opened in 1997, houses a wide range of experimental programs aimed at solving nuclear waste treatment issues.

And despite the extent of its contamination, the isolation and security of the Hanford site has made the area home to a large and diverse population of flora and fauna. A 1997 Nature Conservancy study at Hanford found dozens of previously undiscovered and rare plant and animal species in various site habitats. The biodiversity study led to the establishment of the federally-protected 195,000-acre (79,000-ha) Hanford Reach National Monument in June 2000.

On October 26, 2010, a public announcement was made that the U.S. District Court in Spokane (Washington state) had approved a judicial decree that stipulated an enforceable schedule to clean up the Hanford waste, as per the TPA. The key points of the decree include the following: (1) agreed-upon milestones for the construction of the Waste Treatment Plant (WTP), with completion in 2047; (2) retrieval of single-shell tanks at C Farm in 2014, with full retrieval in 2040; (3) treatment of tank waste commencing in 2019, with full operations by 2022; and (4) closure of the double-shell tank farms in 2052.

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Paula A. Ford-Martin

## Hardin, Garrett

1915–2003

American environmentalist and writer

Trained as a biologist (University of Chicago undergraduate degree; PhD from Stanford in 1942 in microbial ecology), Garrett Hardin spent most of his career at the University of California at Santa Barbara, where his title was Professor of Human Ecology. He was born in Dallas, Texas, and grew up in various places in the Midwest, spending summers on his grandparents' farm in Missouri.

Very few biologists, short of Charles Darwin, have generated the levels of controversy that Hardin's thinking and writing have. The controversies, which continue today, center on two metaphors of human-ecological relationships: "the tragedy of the commons" and "the lifeboat ethic."

Hardin is widely credited with inventing the idea of the tragedy of the commons, but his work was long preceded by an ancient rhyme about the tragedy that results from stealing the commons from the goose. He did popularize the idea, though, and it made him a force to reckon with in population studies. Seldom is an academic author so identified with one article (though his thoughts on lifeboat ethics have since become almost equal in identification and impact). The idea is very simple: Resources held in common will be exploited by individuals for personal gain in disregard of public impacts; individual profit belongs to individual exploiters while they bear the brunt of only part of the impacts. Much of the controversy centers on Hardin's solutions to the tragedy: first, that private property owners "recognize their responsibility to care for" the land, thus lending at least implicit support to privatization efforts; and, second, the paradoxical idea that because exercise of individual

freedom leads to ruin, such freedom cannot be tolerated, thus we must turn to "mutual coercion mutually agreed upon." As Hardin noted, "If everyone would restrain himself, all would be well; but it takes only one less than everyone to ruin a system of voluntary restraint. In a crowded world of less than perfect human beings, mutual ruin is inevitable if there are no controls. This is the tragedy of the commons." Hardin later expanded on his thesis, answering his critics by incorporating the differences between an open access system and a closed one. But the debate continues.

His other widely debated metaphor was of a lifeboat (standing for a nation's land and resources) occupied by rich people in an ocean of poor people (who have fallen out of their own, inadequate lifeboats). If the rich boat is close to its margin of safety, what should its occupants do about the poor people in the ocean? Or in the other boats? If the occupants let in even a few more people, the boat may be swamped, thus creating an ethical dilemma for the rich occupants. How do these occupants of the already full lifeboat justify taking in additional people if it guarantees the collapse for all? Ever since, Hardin has been accused of social Darwinism, of racism, of ignoring the possibility that "the poverty of the poor may be caused in part by the affluence of the rich," and of isolationism (because he argued that "for the foreseeable future survival demands that we govern our actions by the ethics of a [sovereign] lifeboat" rather than "space-ship" ethics that arguably try to care for all equitably).

What Hardin was trying to do in both of these cases was to look unemotionally at population growth and resource use, employing the rationale and language of a scientist viewing human populations in an objective, evolutionary perspective. Biologists know that "natural" populations that overuse their resources, that exceed the carrying capacity of their range, are then adjusted—in numbers or in resource use levels—also naturally and often brutally (from the perspective of many people). The problems remain that the division between the rich and the poor in the world is widening, and that many resources and ecosystems on earth are being stressed, though how close to the breaking point no one really knows. Also, nation-states are arbitrary divisions, and perhaps—in a corollary to the spaceship metaphor—the ultimate lifeboat is the earth and all the inhabitants must exist in it together.

Hardin retired as a professor emeritus of human ecology, a rare title that reflects his attempts to fold the

human species into the evolutionary ecology perspective developed in biology. Ultimately what he tried to get across was what he called an *ecolite* view: *ecolacy* asks the question “and then what?” The basic insight of the ecolite citizen is that the world is a complex variety of systems so intricately interconnected that one can seldom be very confident that a proposed intervention in this system of systems will produce the consequences one wants. Hardin’s views are rich and varied—the themes presented here are only two of many—but he still summarizes the human condition by what he labels “the ecolite predicament”: that all human interventions are doomed to failure if a population exceeds its carrying capacity, whether of a region or of the world. Doing so “will bring everyone down to a level of poverty.”

Hardin and his wife, both of whom suffered from fatal, chronic illnesses, took their lives on September 14, 2003.

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Gerald L. Young

## Hawaiian Islands

The Hawaiian Islands are made up of a chain of ancient volcanic islands that have formed at irregular intervals over the last ten million years. There are over 100 islands in the chain, eight of which are considered major. Of the eight major islands, Kauai is the oldest, and the island of Hawaii is the youngest, having formed within the past million years. This vast discrepancy in age relative to the other islands on the chain has contributed to the tremendous biodiversity that has evolved there. The other factor responsible for Hawaii’s great species diversity is the island chain’s remoteness. The nearest continent is 2,400 miles (3,862 km) away, thus limiting the total colonization that could, or has, taken place. The niches available to these colonizing

species are very diverse because of geophysical events. For example, on the island of Kauai, the average annual rainfall on the windward side of Mount Waialeale is 460 inches (1,169 cm), whereas on its leeward side, it is only 19 inches (48 cm). The temperature on the islands ranges from 75–90°Fahrenheit (24–32°C) for 300 days each year. The lowest temperature ever recorded in Hawaii was 54°Fahrenheit (12°C). Thus with its tropical climate and unique biotic communities, it is easy to understand why Hawaii has been considered a paradise. But now this paradise is threatened by serious environmental problems caused by humans.

The impact of humans has been felt in the Hawaiian Islands since their first arrival, but perhaps never more so than today. In the last quarter century tourism has replaced sugar cane and pineapple as the islands’ main revenue source. Over six million tourists visit Hawaii each year, who collectively spend \$11 million a day. With tourism comes development that often destroys natural habitats and strains existing natural resources. Hawaii has more than sixty golf courses, with plans to develop at least 100 more. This would destroy thousands of acres of natural vegetation, require the use of millions of gallons of freshwater for irrigation, and necessitate the use of tons of chemical fertilizers and pesticides in their maintenance. The increased number of tourists, many of whom visit the islands to enjoy its natural beauty, are often destroying the very thing they are there to see. Along the shore of Hanauma Bay, just south of Honolulu, over 90 percent of the coral reef is dead, primarily because people have trampled it in their desire to see and experience this unique piece of nature.

Much of Hawaii’s fauna and flora are unique. Over ten thousand species are native to the Hawaiian Islands, having evolved and filled specialized niches there through the process of adaptive radiation from the relatively few original colonizing species. Examples are found in virtually every group of plants and animals in Hawaii. The avian adaptive radiation in the Hawaiian Islands surpasses even the best-known example, Darwin’s finches of the Galapagos Islands. From as few as fifteen colonizing species evolved ninety native species of birds in the Hawaiian archipelago. Included in this number are the Hawaiian honeycreepers of the family Drepanididae. This endemic family of birds arose from a single ancestral species, which gave rise to twenty-three species, including twenty-four subspecies, of honeycreepers spread throughout the main islands. Niche availability and reproductive isolation contributed greatly to the spectacular diversity of forms that evolved. These birds developed

adaptations such as finch-like bills for crushing seeds, parrot-like bills for foraging on larvae in wood, long decurved bills for taking nectar and insects from specialized flowers, and small forcep-like bills for capturing insects.

Introductions of vast numbers of alien species is taking its toll on native Hawaiian species, a process that began when the first humans settled the islands over 1,500 years ago. Many native species of birds had experienced drastic population declines by the time Europeans first encountered the islands over two hundred years ago. Since then at least twenty-three species of Hawaii's native birdlife have become extinct, and currently over thirty additional avian species are threatened with extinction. Through the process of primary ecological succession, one new species became established in the Hawaiian Islands every seventy thousand years. Introductions of alien species are taking place a million times faster, and are thus eliminating native species at an unprecedented rate. Recent estimates indicate that there are over eight thousand introduced species of plants and animals throughout the Hawaiian Island chain. The original Polynesian settlers brought with them pigs, dogs, chickens, and rats, along with a variety of plants they had cultivated for fiber, food, and medicine. With the Europeans came cattle, horses, sheep, cats, and additional rodents. The mongoose was introduced purposefully to control the rat populations; however, they presented more of a threat to ground-nesting birds. The introduction of rabbits caused the loss of vast quantities of vegetation and ultimately the extinction of three bird species on Laysan Island. Over 150 species of birds, including escaped cage birds, have been introduced to the islands. However, most of these have not established breeding populations.

Although Hawaii represents only 0.2 percent of the United States' land base, almost 75 percent of the total extinctions of birds and plants of the nation have occurred in this state. Hawaii's endemic flora are disappearing rapidly. Introduced mammalian browsers are decimating the native plantlife, which never needed to evolve defense mechanisms against such predators, and other introduced animals are destroying populations of native pollinators. Habitat destruction and opportunistic nonnative vegetation are also working against the endemic Hawaiian plant species. Organizations such as the Hawaii Plant Conservation Center are working to preserve the state's floral diversity by collecting and propagating as many of the rare and endangered species as possible. Their greenhouses now contain over two thousand plants representing almost two-thirds of Hawaii's native species, and their goal is to propagate four hundred of the state's most endangered plants.

Introduced plant and animal species and their assault on native species are by no means the only environmental problems facing the Hawaiian Islands. Because Hawaii's population is growing at a higher rate than the national average, and in part because of its isolation, it is facing many environmental problems on a grander scale and at a more rapid rate than its sister states on the mainland. Energy is one of the primary problems. Much of Hawaii's electricity is produced by burning imported oil, which is extremely expensive. Because of limited reserve capabilities with regard to electrical generation, Hawaii faces the potential for blackouts.

Planners have been, over the past several decades, looking at the feasibility of tapping into Hawaii's seemingly vast geothermal energy resources. Hawaii has the most active volcano in the world, Kilauea, whose underground network of geothermal reserves is the largest in the state. The proposed Hawaii Geothermal Deep Water Cable project would supply the energy for a 500-megawatt power plant, the electrical power of which would be transmitted from the island of Hawaii to Oahu by three undersea cables. This would, of course, be of economic and environmental benefit by reducing dependence on oil reserves. Opponents of this geothermal power plant point to several problems. They are concerned with the potential for the release of toxic substances, such as hydrogen sulfide, lead, mercury, and chromium, into the environment from wellheads. They also have voiced negative opinions concerning construction of a geothermal plant so near the lava flows and fissures of Hawaii's two active volcanoes. An alternately proposed site would have the facility located in Hawaii's last major inholding of lowland tropical rain forest. To avoid economic disaster from escalating prices for imported oil and the problem of frequent blackouts, Hawaii must reach some compromise on geothermal energy or research the potential for getting its electricity from wind, wave, or solar energy.

Hawaii is also faced with environmental problems of another sort: natural disasters. Volcanoes not only provide the potential for geothermal energy, they also have the potential for massive destruction. Over the past two hundred years, Hawaii's two active volcanoes, Kilauea and Mauna Loa, have covered nearly 200,000 acres (81,000 ha) of land with lava, and geologists expect them to remain active for centuries to come. Severe hurricanes and tsunamis also hold the potential for vast destruction, not only of human property and lives, but of natural areas and the wildlife it holds. Many of the endemic species of Hawaii are threatened or endangered, and their populations are often so low that a single storm could wipe out most or all of its numbers.

There are efforts to reverse the environmental destruction in the Hawaiian Islands. Several organizations comprised of native Hawaiians are working to stem the destruction and loss of the wilderness paradise discovered by their ancestors.

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Eugene C. Beckham

## Hayes, Denis A.

1944–

American environmental activist and Earth Day organizer

Denis Hayes, an environmental activist and early advocate of solar power, is best known as the organizer of Earth Day and creation of the Earth Day Network. As of 2010, the network comprises over 180 member countries.

As executive director of the first Earth Day in April 1970, Hayes helped launch the modern movement of environmentalism, and has promoted the use of solar energy and other renewable resources. A native of Camas, Washington, Hayes acquired his appreciation of nature exploring and enjoying the mountains, lakes, and beaches of the Pacific Northwest. At age nineteen he dropped out of Clark College in Vancouver, Washington, and spent the next three years traveling the world. He installed church pews in Honolulu, taught swimming and modeled in Tokyo, and hitchhiked through Africa.

After returning to the United States, Hayes enrolled at Stanford as a history major, was elected student body president, and became active in the anti-Vietnam War movement, occupying laboratories that researched military projects. After graduating from Stanford, he went to Harvard Law School, but dropped out in 1970 to help organize the first Earth Day. During Jimmy Carter's presidential administration, Hayes headed the federal Solar Energy Research Institute. He left after the agency's \$120 million budget was cut by Ronald Reagan's presidential administration. From 1983 to



**Earth Day founder and Palo Alto, California, resident Denis Hayes at a dump site in Palo Alto, California, 1990, as he displays burlap sacks filled with empty glass bottles and metal cans that local residents use in a weekly recycling program. (Paul Sakuma)**

1992, after completing his law degree at Stanford, he served there as an adjunct professor of engineering.

In 1990, as the international chairman of Earth Day on its twentieth anniversary, Hayes helped organize participation by over two hundred million people in 141 countries. This event generated extraordinary publicity for and concern about global environmental problems. In 1992 Hayes was named president of the Seattle-based Bullitt Foundation, which works to protect the environment of the Pacific Northwest and to help disadvantaged children. He remains in this position as of 2010. He also serves as chairman of the board of Green Seal, a group that endorses consumer products meeting strict environmental standards and cochairs the group promoting corporate responsibility.

Hayes has received awards and honors from many groups, including the Humane Society of the United

States, the Interfaith Center for Corporate responsibility, the National Wildlife Federation, and the Sierra Club. In 1990, *Life* magazine named him one of the eighteen Americans most likely to have an impact on the twenty-first century.

Hayes has written over one hundred papers and articles, and his book on solar energy, *Rays of Hope: The Transition to a Post-Petroleum World*, has been published in six languages. He has long advocated increased development of solar and other renewable energy sources, which he believes could provide most of the nation's energy supply within a few years.

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Lewis G. Regenstein

## Hazard ranking system

The hazard ranking system (HRS) is a numerical scoring procedure that the federal Environmental Protection Agency (EPA) uses to place and prioritize waste sites on the National Priorities List. Only these priority sites can be cleaned up through the Superfund Trust Fund program.

The HRS score is based on an evaluation of threats related to the release or potential release of hazardous substances. The HRS assessment of a site ranks public health factors such as threats to drinking water, the food chain, and populations exposed through occupational and ambient environments. Also evaluated are environmental threats such as the effect of substances on air quality, resources, and sensitive ecosystems.

Federal investigators score a site by evaluating four pathways that could be affected by hazardous releases. The pathways are ground water migration, surface water migration, air migration, and soil exposure.

The pathway scores are combined using a root-mean-square equation. This calculation produces the overall score for a site. A high HRS score does not guarantee immediate action because clean-up work

may be going on at other sites. The decision to take action on a site is based on additional research that includes a remedial investigation of what corrective action is needed.

Liz Swain

Hazardous chemicals see **Hazardous material; Hazardous waste.**

## Hazardous material

Any agent that presents a risk to lifeforms or the environment can be considered a hazardous material. This is a very broad term that encompasses pure compounds and mixtures, raw materials, and other naturally occurring substances, as well as industrial products and wastes. Depending on the nature and the length of exposure, virtually all substances can have toxic effects, ranging from headaches and dizziness to cancer. The challenge facing any legislation is not only to devise regulations for the safe handling of hazardous materials but also to define the term itself.

The U.S. legislation that offers the most detailed and comprehensive definition of hazardous materials is the Resource Conservation and Recovery Act (RCRA), enacted in 1976. RCRA classifies a waste mixture or compound as hazardous if it fails what is called a *characteristic test* or appears on one of a few lists. The lists of hazardous wastes include those from specific and non-specific sources and those that are acutely hazardous and generally hazardous. There are four characteristic tests: ignitability, reactivity, corrosivity, and extraction-procedure toxicity.

A waste fails the ignitable test if it is a liquid with a flash point below 140° Fahrenheit (60° C); a solid that, under standard temperature and pressure, causes fire through friction, absorbing moisture, or spontaneous changes and burns vigorously and persistently; or a compressed gas defined by the Department of Transportation (DOT) as an oxidizer or as being ignitable. Spent solvents, paint removers, epoxy resins, and waste inks are often classified as hazardous under this definition.

A waste fails the corrosivity test if it is aqueous and has a pH of either 2 or less or 12.5 or more, or if it is a liquid that corrodes steel at a rate equal to or more than 0.25 inches (6.35 mm) per year. Examples of corrosive wastes include various acids and bases such as nitric acid, ammonium hydroxide, perchloric acid, sulfuric

acid, and sodium hydroxide, though a waste will not be classified as hazardous in this test if these acids and bases are neutralized or present at low levels.

Reactivity is related to one of the following criteria: If the material is unstable, with the potential for violent reactions with water, or generates toxic fumes; if it has cyanide and sulfide content; if it can be easily detonated; or if it is defined by DOT as a Class A or B explosive. Compounds commonly causing wastes to fall into this category are chromic acid, hypochlorites, picric acid, nitroglycerin, dinitrophenol, and organic peroxides.

Extraction-procedure toxicity is determined through the extraction of solid waste, in a procedure referred to as the *Toxicity Characteristic Leaching Procedure* (TCLP). Liquid waste can also fail this test, although a liquid containing less than 0.5% solids after filtration does not need to undergo it. Such a liquid can be directly analyzed for contaminants. In the TCLP, solids are extracted in a mildly acidic medium (pH 5.0) for eighteen hours using a tumbling apparatus, and the resulting liquid extract is then analyzed for contaminants. The waste is deemed to be hazardous if the level of a contaminant detected in this extraction procedure exceeds a given level. If liquid extracts contain arsenic at a concentration greater than 5.0 milligrams per liter, for example, the waste is classified as hazardous. Different methods are recommended by the Environmental Protection Agency (EPA) for measuring the contaminants in each class.

The RCRA and the Hazardous and Solid Waste amendments added to it in 1984 were intended to protect groundwater, surface water, and land from improper management of solid wastes. The Act and the amendments defined the responsibilities of industries and others who generate and transport hazardous waste. They also set standards for land disposal facilities and underground storage tanks, as well as standards for proper management of hazardous materials from “cradle to grave.”

The Toxic Substances Control Act (1976) is another important piece of legislation concerning hazardous materials. It was intended to regulate the introduction and use of new, potentially hazardous substances. The bill requires industry to extensively test chemicals that may be harmful, and it requires industries to provide the EPA with information about the production, use, and health effects of any new substances or mixtures before they are manufactured.

The 1980 Comprehensive Environmental Response, Compensation, and Liabilities Act (CERCLA) and the 1986 Superfund Amendments and Reauthorization

Act (SARA) set policy for situations in which hazardous materials have been mismanaged in the past. These legislations have established a system for ranking sites that need remediation, called the Hazard Ranking System, as well as a procedure for raising funds to support these efforts. The bills also impose schedules for site investigations, feasibility studies, and remedial action.

The definition of hazardous materials remains a difficult and complex issue. There are changes in public perceptions about certain materials, such as asbestos or lead, and there are scientific contributions that result in the addition or deletion of various chemicals or compounds from lists of hazardous materials. The definition is therefore dynamic, but changes are made within a regulatory framework designed to protect both life and the environment.

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Hazardous materials, solidification of see **Solidification of hazardous materials.**

Hazardous materials, storage and transport see **Storage and transport of hazardous material.**

## Hazardous Materials Transportation Act (1975)

The Hazardous Materials Transportation Act, enacted in 1975 as part of a law dealing with transportation safety, strengthened the 1970 Hazardous Materials Transportation Control Act. The impetus for this act was to make needed change in regards to increased illegal, or midnight, dumping; increasing spills; and poor enforcement. Illegal dumping increased in the 1970s as many landfills began to refuse to take hazardous waste, thus dramatically increasing the costs of disposal. The illegal dumping took place in vacant lots, along highways, or actually on the highways. In the congressional debate on the act, the U.S. Department of Transportation (DOT), which administers this legislation, estimated that 75 percent of all hazardous waste shipments violated the existing regulations. This poor enforcement was because of a lack of inspection personnel, fragmented jurisdiction, and lack of coordination among the Coast Guard, the Federal Aviation Administration, the Federal Highway Administration, and the Federal Railroad Administration.

The law establishes minimum standards of regulation for the transport of hazardous materials by air, ship, rail, and motor vehicle. The DOT regulates the packing, labeling, handling, vehicle routing, and manufacture of packing and transport containers for hazardous materials transportation. The hazardous materials and wastes covered by the law, based on DOT regulations, are those on the Resource Conservation and Recovery Act (RCRA) list and certain substances designated by the Environmental Protection Agency under the authority of Superfund. All hazardous waste transporters must register as such with the proper state and federal agencies; they must use the RCRA uniform manifest system to track the pick up and delivery of all shipments; they must only deliver to permitted hazardous waste facilities; they must notify the proper agencies of any accidents; and they must clean up any discharges that occur during the transportation process. The law also provides a significant role for the states, though there are provisions in the act to prevent overly strict state and local regulations of hazardous waste transport. The Hazardous Materials Transportation Act includes numerous information requirements, also designed to increase public safety. Each vehicle carrying hazardous materials must display a sign identifying the hazard class of the cargo, and emergency response information has been required since 1990. Each shipment must also be accompanied by its RCRA hazardous waste manifest.

The manifest system is part of the RCRA “cradle-to-grave” approach to regulating hazardous materials. The system is designed to prevent illegal dumping, because hazardous waste transporters could not accept hazardous waste without a manifest, and, similarly, hazardous waste treatment and disposal facilities could not accept waste from transporters without a manifest. Because all hazardous materials could be traced and accounted for through such manifests, illegal dumping should stop. Nevertheless, it is unclear how much effect the manifest system has had on illegal dumping because such dumping is still less costly than proper disposal.

In 1990 the Hazardous Materials Transportation Uniform Safety Act was passed, the first major amendments to the 1975 Act. Poor enforcement of the existing law was the stimulus for taking further action. The law focused on better enforcement by increasing the number of inspectors, increasing the civil and criminal penalties for violation of the regulations, and helping states better respond to accidents involving hazardous materials.

*See also* Chemical spills; Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA); Solidification of hazardous materials; Storage and transport of hazardous material.

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Christopher McGrory Klyza

## Hazardous Substances Act (1960)

This law was one of Congress’s first forays into consumer protection, and it helped to pave the way for the explosion in consumer protection legislation that began in the mid-1960s. The Hazardous Substances Labeling Act was passed in 1960 (the word “Labeling” was deleted by the 1966 amendments to the act).

The law authorized the Secretary of the Department of Health, Education, and Welfare (HEW) to require warning labels for household substances that were deemed hazardous. These substances were categorized as toxic, corrosive, irritant, strong sensitizer, flammable or combustible, pressure generating, or radioactive. The law does not cover pesticides (which are regulated by the Federal Insecticide, Fungicide, and Rodenticide Act); food, drugs, or cosmetics (which are covered by the Federal Food, Drug, and Cosmetics Act); radioactive materials related to nuclear power; fuels for cooking, heating, or refrigeration; or tobacco products.

A product is defined to be hazardous if it might lead to personal injury or substantial illness, especially if there is a reasonable danger that a child might ingest the substance. When the HEW Secretary declares a substance to be hazardous, a label is required. The label must include a description of the chief hazard and first aid instructions, along with handling and storage instructions. If its chief hazard is flammable, corrosive, or toxic, it must say “danger” on the label; other hazardous substances require either “caution” or “warning.” In addition, all labels must include the statement “Keep out of the reach of children.”

Major amendments to the Child Protection Act were passed in 1966. These amendments, largely in response to the message on consumer issues by President Lyndon Johnson, expanded federal control over hazardous substances. The Food and Drug Administration (FDA), which administered the law, could now ban substances (after formal hearings) that were deemed too hazardous, even if they had a warning label or if “the degree or nature of the hazard involved in the presence or use of such substance in households is such that the objective of the protection of the public health and safety can be adequately served” only by such a ban. The amendments also extended the scope of the law to pay greater attention to toys and children’s articles. This meant the government could require a warning on all household items, rather than just packaged items.

The Child Protection and Toy Safety Act of 1969 further amended the Hazardous Substances Act. Toys could be declared hazardous if they presented electrical, mechanical, or thermal dangers. Also, substances that were hazardous to children, including toys, could be banned automatically. As the titles of these amendments suggest, the Hazardous Substances Act became the primary vehicle to protect children from dangerous substances and toys. Administration of the act has been shifted to the Consumer Product Safety Commission. If the Commission finds a “substantial risk of

injury,” children’s clothes, furniture, and toys can be pulled from the market immediately.

*See also* Environmental law; Hazardous material; Hazardous Materials Transportation Act (1975); Hazardous waste; Radioactive waste; Radioactivity.

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## Hazardous waste

Of the thousands of millions of tons of waste generated in the United States annually, approximately 60 million tons (54.4 million metric tons) are classified as hazardous. Hazardous waste is legally defined by the Resource Conservation and Recovery Act (RCRA) of 1976. The RCRA defines hazardous waste as any waste or combination of wastes, which because of its quantity, concentration, or physical, chemical, or infectious



**Toxic sludge being dumped into the River Tame, Walsall, West Midlands, United Kingdom.** (Robert Brook/Photo Researchers, Inc.)

characteristics may: (A) cause, or significantly contribute to, an increase in mortality or an increase in serious irreversible or incapacitating illness; or, (B) pose a substantial present or potential hazard to human health or the environment when improperly treated, stored, transported, disposed of, or otherwise managed.

In the Code of Federal Regulations, the Environmental Protection Agency (EPA) specifies that a solid waste is hazardous if it meets any of these four conditions: (1) It exhibits ignitability corrosivity, reactivity, or EP toxicity; (2) has been listed as a hazardous waste; (3) is a mixture containing a listed hazardous waste and a nonhazardous waste, unless the mixture is specifically excluded or no longer exhibits any of the four characteristics of hazardous waste; (4) is not specifically excluded from regulation as a hazardous waste.

The EPA established two criteria for selecting the characteristics given above. The first criterion is that the characteristic is capable of being defined in terms of physical, chemical, or other properties. The second criterion is that the properties defining the characteristic must be measurable by standardized and available test procedures. For example under the term ignitability (Hazard code label “I”), any one of four criteria can be met: (1) A liquid with a flash point less than 60° Fahrenheit (16°C); (2) if not a liquid, then it is capable under standard temperature and pressure of causing fire through friction, absorption of moisture, or spontaneous chemical changes, and when ignited, burns so vigorously and persistently that it creates a hazard; (3) it may be an ignitable compressed gas; (4) it is an oxidizer.

Similarly under the characteristics of corrosivity, reactivity, and toxicity, there are specifically defined requirements that are spelled out in the Code of Federal Register (CFR). Further examples are given below:

*Corrosivity* (hazard code “C”) has either of the following properties: an aqueous waste with a pH equal to or less than 2.0 or greater than 12.5, or a liquid that will corrode carbon steel at a rate greater than 0.25 inches (0.64 cm) per year.

*Reactivity* (hazard code “R”) has at least one of the following properties: a substance which is normally unstable and undergoes violent physical or chemical change without being detonated; a substance that reacts violently with water (for example, sodium metal); a substance that forms a potentially explosive mixture when mixed with water; a substance that can generate harmful gases, vapors, or fumes when mixed with water; a cyanide- or sulfide-bearing waste that can generate harmful gases, vapors, or fumes when exposed to pH conditions between 2.0 and 12.5; a waste that, when subjected to a

strong initiating source or when heated in confinement, will detonate or generate an explosive reaction; a substance that is readily capable of detonation at standard temperature and pressure.

*Toxicity* (hazard code “E”) has the properties such that an aqueous extract contains contamination in excess of that allowed (e.g., arsenic greater than 5 milligrams per liter, barium greater than 0.1 milligrams per liter, cadmium greater than 1 milligrams per liter, chromium greater than 5 milligrams per liter, lead greater than 5 milligrams per liter). Additional codes under toxicity include an “acute hazardous waste” with code “H”: a substance that has been found to be fatal to humans in low doses or has been found to be fatal in corresponding human concentrations in laboratory animals. Toxic waste (hazard code “T”) designates wastes that have been found through laboratory studies to be a carcinogen, mutagen, or teratogen for humans or other life forms.

Certain wastes are specifically excluded from classification as hazardous wastes under RCRA, including domestic sewage, irrigation return flows, household waste, and nuclear waste. The latter is controlled via other legislation. The impetus for this effort at legislation and classification comes from several notable cases in locations such as Love Canal, New York; Bhopal, India; Stringfellow Acid Pits (Glen Avon, California); and Seveso, Italy; which have brought media and public attention to the need for identification and classification of dangerous substances, their effects on health and the environment, and the importance of having knowledge about the potential risk associated with various wastes.

A notable feature of the legislation is its attempt at defining terms so that professionals in the field and government officials will share the same vocabulary. For example, the difference between toxic and hazardous has been established: Toxic denotes the capacity of a substance to produce injury, and hazardous denotes the *probability* that injury will result from the use of (or contact with) a substance.

The RCRA legislation on hazardous waste is targeted toward larger generators of hazardous waste rather than small operations. The small generator is one who generates less than 2,205 pounds (1,000 kg) per month; accumulates less than 2,205 pounds (1,000 kg); produces wastes that contain no more than 2.2 pounds (1 kg) of acutely hazardous waste; has containers no larger than 5.3 gallons (20 L) or contained in liners less than 22 pounds (10 kg) of weight of acutely hazardous waste; has no greater than 220 pounds (100 kg) of residue or soil contaminated from a spill. The purpose of this exclusion is to enable the system of

regulations to concentrate on the most egregious and sizable of the entities that contribute to hazardous waste and thus provide the public with the maximum protection within the resources of the regulatory and legal systems.

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## Hazardous waste site remediation

The overall objective in remediating hazardous waste sites is the protection of human health and the environment by reducing risk. There are three primary approaches that can be used in site remediation to achieve acceptable levels of risk:

- The hazardous waste at a site can be contained to preclude additional migration and exposure.
- The hazardous constituents can be removed from the site to make them more amenable to subsequent ex situ treatment, whether in the form of detoxification or destruction.
- The hazardous waste can be treated in situ (in place) to destroy or otherwise detoxify the hazardous constituents.

Each of these approaches has positive and negative ramifications. Combinations of the three principal approaches may be used to address the various problems at a site. There is a growing menu of technologies available to implement each of these remedial approaches. Given the complexity of many of the sites, it is not uncommon to have treatment trains with a sequential implementation of various in situ or ex situ technologies to remediate a site.

Hazardous waste site remediation usually addresses soils and groundwater. However, it can also include wastes, surface water, sediment, sludges, bedrock, buildings, and other man-made items. The hazardous

constituents may be organic, inorganic, and, occasionally, radioactive. They may be elemental ionic, dissolved, sorbed, liquid, gaseous, vaporous, solid, or any combination of these.

Hazardous waste sites may be identified, evaluated, and if necessary, remediated by their owners on a voluntary basis to reduce environmental and health effects or to limit prospective liability. However, in the United States, there are two far-reaching federal laws that may mandate entry into the remediation process: the Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA, also called the Superfund law), and the Resource Conservation and Recovery Act (RCRA). In addition, many of the states have their own programs concerning abandoned and uncontrolled sites, and there are other laws that involve hazardous site remediation, such as the clean-up of polychlorinated biphenyl (PCB) under the auspices of the federal Toxic Substances Control Act (TSCA).

Potential sites may be identified by their owners, by regulatory agencies, or by the public in some cases. Site evaluation is usually a complicated and lengthy process. In the federal Superfund program, sites at which there has been a release of one or more hazardous substances that might result in a present or potential future threat to human health or the environment are first evaluated by a Preliminary Assessment/Site Inspection (PA/SI). The data collected at this stage are evaluated, and a recommendation for further action may be formulated. The Hazard Ranking System (HRS) of the U.S. Environmental Protection Agency (EPA) may be employed to score the site with respect to the potential hazards it may pose and to see if it is worthy of inclusion on the National Priorities List (NPL) of sites most deserving the attention of resources.

A prime example of a Superfund site is the Hanford site (also known as the Hanford Nuclear Reservation) in Washington state. The Hanford site was created in 1943 as part of the Manhattan Project effort to develop atomic weapons. The 586-square-mile (1,524-sq-km) site was extensively polluted in the course of nearly fifty years of plutonium production for the U.S. nuclear weapons program, and is currently the nation's single largest repository of nuclear waste. According to the EPA, the Hanford site constitutes one of the largest and most complex clean-up projects in the United States. The EPA also reports that the Hanford site contains over 43 million cubic yards (33 million cubic meters) of radioactive waste, accompanied by contaminated soil and debris exceeding 130 million cubic yards (99 million cubic meters).

Regardless of the HRS score or NPL status of a hazardous waste site, the EPA may require the parties responsible for the release (including the present property owner) to conduct a further assessment, in the form of the two-phase Remedial Investigation/Feasibility Study (RI/FS). The objective of the RI is to determine the nature and extent of contamination at and near the site. The RI data are next considered in a baseline risk assessment. The risk assessment evaluates the potential threats to human health and the environment in the absence of any remedial action, considering both present and future conditions. Both exposure and toxicity are considered at this stage.

The baseline risk assessment may support a decision of no action at a site. If remedial actions are warranted, the second phase of the RI/FS, an engineering feasibility study, is performed to allow for educated selection of an appropriate remedy. The final alternatives are evaluated on the basis of nine criteria in the federal Superfund program. The EPA selects the remedial action it deems to be most appropriate and describes it and the process that led to its selection in the Record of Decision (ROD). Public comments are solicited on the proposed clean-up plan before the ROD is issued. There are also other public comment opportunities during the RI/FS process. Once the ROD is issued, the project moves to the Remedial Design/Remedial Action (RD/RA) phase unless there is a decision of no action. Upon design approval, construction commences. Then, after construction is complete, long-term operation, maintenance, and monitoring activities begin.

For Superfund sites, the EPA may allow one or more of the responsible parties to conduct the RI/FS and RD/RA under its oversight. If possibly responsible parties are not willing to participate or are unable to be involved for technical, legal, or financial reasons, the EPA may choose to conduct the project with government funding and then later seek to recover costs in lawsuits against the parties. Other types of site remediation programs often replicate or approximate the approaches described above. Some states, such as Massachusetts, have very definite programs, whereas others are less structured.

Containment is one of the available treatment options. There are several reasons for using containment techniques. A primary reason is difficulty in excavating the waste or treating the hazardous constituents in place. This may be caused by construction and other man-made objects located over and in the site. Excavation could also result in uncontrollable releases at concentrations potentially detrimental to the surrounding area. At many sites, the low levels of

risks posed, in conjunction with the relative costs of treatment technologies, may result in the selection of a containment remedy.

One means of site containment is the use of an impermeable cap to reduce rainfall infiltration and to prevent exposure of the waste through erosion. Another means of containment is the use of cut-off walls to restrict or direct the movement of groundwater. In situ solidification can also be used to limit the mobility of contaminants. Selection among alternatives is very site-specific and reflects such things as the site hydrogeology, the chemical and physical nature of the contamination, proposed land use, and so on. Of course, the resultant risk must be acceptable.

As with any in situ approach, there is less control and knowledge of the performance and behavior of the technology than is possible with off-site treatment. Because the use of containment techniques leaves the waste in place, it usually results in long-term monitoring programs to determine if the remediation remains effective. If a containment remedy were to fail, the site could require implementation of another type of technology.

The ex situ treatment of hazardous waste provides the most control over the process and permits the most detailed assessments of its efficacy. Ex situ treatment technologies offer the biggest selection of options, but include an additional risk factor during transport. Examples of treatment options include incineration; innovative thermal destruction, such as infrared incineration; bioremediation; stabilization or solidification; soil washing; chemical extraction; chemical destruction; and thermal desorption. Another approach to categorizing the technologies available for hazardous waste site remediation is based upon their respective levels of demonstration. There are existing technologies that are fully demonstrated and in routine commercial use. Performance and cost information is available. Examples of existing technologies include slurry walls, caps, incineration, and conventional solidification or stabilization.

The next level of technology is innovative and has grown rapidly as the number of sites requiring remediation grew. Innovative technologies are characterized by limited availability of cost and performance data. More site-specific testing is required before an innovative technology can be considered ready for use at a site. Examples of innovative technologies are vacuum extraction, bioremediation, soil washing or flushing, chemical extraction, chemical destruction, and thermal desorption. Vapor extraction and in situ bioremediation are expected to be the next innovative technologies to reach existing status as a result of the growing base

of cost and performance information generated by their use at many hazardous waste sites.

The last category is that of emerging technologies. These technologies are at a very early stage of development and therefore require additional laboratory and pilot scale testing to demonstrate their technical viability. No cost or performance information is available. An example of an emerging technology is electrokinetic treatment of soils for metals removal.

Groundwater contaminated by hazardous materials is a widespread concern. Most hazardous waste site remediations use a pump-and-treat approach as a first step. Once the groundwater has been brought to the surface, various treatment alternatives exist, depending upon the constituents present. In situ air sparging of the groundwater using pipes, wells, or curtains is also being developed for removal of volatile constituents. The vapor is either treated above ground with technologies for off-gas emissions, or biologically in the unsaturated or vadose zone above the aquifer. While this approach eliminates the costs and difficulties in treating the relatively large volumes of water (with relatively low contaminant concentrations) generated during pump-and-treat, it does not necessarily speed up remediation.

Contaminated bedrock frequently serves as a source of groundwater or soil recontamination. Constituents with densities greater than water enter the bedrock at fractures, joints, or bedding planes. From these locations, the contamination tends to diffuse in all directions. After many years of accumulation, bedrock contamination may account for the majority of the contamination at a site. Currently, little can be done to remediate contaminated bedrock. Specially designed vapor stripping applications have been proposed when the constituents of concern are volatile. Efforts are ongoing in developing means to enhance the fractures of the bedrock and thereby promote removal. In all cases, the ultimate remediation will be driven by the diffusion of contaminants back out of the rock, a very slow process.

The remediation of buildings contaminated with hazardous waste offers several alternatives. Given the cost of disposal of hazardous wastes, the limited disposal space available, and the volume of demolition debris, it is beneficial to determine the extent of contamination of construction materials. This contamination can then be removed through traditional engineering approaches, such as scraping or sandblasting. It is then only this reduced volume of material that requires treatment or disposal as hazardous waste. The remaining building can be reoccupied or disposed of as nonhazardous waste.

See also Hazardous material; Solidification of hazardous materials; Vapor recovery system.

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## Haze

An aerosol in the atmosphere of sufficient concentration and extent to decrease visibility significantly when the relative humidity is below saturation is known as haze. Haze may contain dry particles or droplets or a mixture of both, depending on the precise value of the humidity. In the use of the word, there is a connotation of some degree of permanence. For example, a dust storm is not a haze, but the coarse particles may settle rapidly and leave a haze behind once the velocity drops.

Human activity is responsible for many hazes. Enhanced emission of sulfur dioxide results in the formation of aerosols of sulfuric acid. In the presence of ammonia, which is excreted by most higher animals including humans, such emissions result in aerosols of ammonium sulfate and bisulfate. Organic hazes are part of photochemical smog, such as the smog often associated with Los Angeles, California, and they consist primarily of polyfunctional, highly oxygenated compounds with at least five carbon atoms. Such hazes can also form if air with an enhanced nitrogen oxide content meets air containing the natural terpenes emitted by vegetation.

All hazes, however, are not products of human activity. Natural hazes can result from forest fires, dust storms, and the natural processes that convert gaseous contaminants into particles for subsequent removal by precipitation or deposition to the surface

or to vegetation. Still other hazes are of mixed origin, as noted above, and an event such as a dust storm can be enhanced by human-caused devegetation of soil.

Though it may contain particles injurious to health, haze is not of itself a health hazard. It can have a significant economic impact, however, when tourists cannot see scenic views, or if it becomes sufficiently dense to inhibit aircraft operations.

See also Air pollution; Air quality; Air quality criteria; Los Angeles Basin; Mexico City, Mexico.

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James P. Lodge Jr.

## Heat (stress) index

The heat index (HI) or heat stress index—sometimes called the apparent temperature or comfort index—is a temperature measure that takes into account the relative humidity. Based on human physiology and on clothing science, it measures how a given air

temperature feels to the average person at a given relative humidity. The HI temperature is measured in the shade and assumes a wind speed of 5.6 miles per hour (9.0 kph) and normal barometric pressure.

At low relative humidity, the HI is less than or equal to the air temperature. At higher relative humidity, the HI exceeds the air temperature. For example, according to the National Weather Service's (NWS) HI chart, if the air temperature is 70°Fahrenheit (21°C), the HI is 64°Fahrenheit (18°C) at 0 percent relative humidity and 72°Fahrenheit (22°C) at 100 percent relative humidity. At 95°Fahrenheit (35°C) and 55 percent relative humidity, the HI is 110°Fahrenheit (43°C). In very hot weather, humidity can raise the HI to extreme levels; at 115°Fahrenheit (46°C) and 40 percent relative humidity, the HI is 151°Fahrenheit (66°C). This is because humidity affects the body's ability to regulate internal heat through perspiration. The body feels warmer when it is humid because perspiration evaporates more slowly; thus the HI is higher.

The HI is used to predict the risk of physiological heat stress for an average individual. Caution is advised at an HI of 80–90°Fahrenheit (27–32°C): fatigue may result with prolonged exposure and physical activity. An HI of 90–105°Fahrenheit (32–41°C) calls for extreme caution, as it increases the likelihood of sunstroke, muscle cramps, and heat exhaustion. Danger warnings are issued at HIs of 105–130°Fahrenheit (41–54°C), when sunstroke and heat exhaustion are likely and there is a potential for heat stroke. Category IV, extreme danger, occurs at HIs above 130°Fahrenheit (54°C), when heatstroke and sunstroke are imminent.

Individual physiology influences how people are affected by high HIs. Children and older people are more vulnerable. Acclimatization (being used to the climate) can alleviate some of the danger. However sunburn can increase the effective HI by slowing the skin's ability to shed excess heat from blood vessels and through perspiration. Exposure to full sunlight can increase HI values by as much as 15°Fahrenheit (8°C). Winds, especially hot dry winds, also can increase the HI. In general, the NWS issues excessive heat alerts when the daytime HI reaches 105°Fahrenheit (41°C) and the nighttime HI stays above 80°Fahrenheit (27°C) for two consecutive days; however, these values depend somewhat on the region or metropolitan area. In cities, high HIs often mean increased air pollution. The concentration of ozone, the major component of smog, tends to rise at ground level as the HI increases, causing respiratory problems for many people.

At higher temperatures, the air can hold more water vapor; thus humidity and HI values increase as

the atmosphere warms. Since the late nineteenth century, the mean annual surface temperature of the earth has risen between 0.5 and 1.0°Fahrenheit (0.3 and 0.6°C). According to the National Aeronautics and Space Administration (NASA), the five-year mean temperature increased about 0.9°Fahrenheit (0.5°C) between 1975 and 1999, the fastest rate of recorded increase. In 1998 global surface temperatures were the warmest since the advent of reliable measurements and the 1990s accounted for seven of the ten warmest years on record. Nighttime temperatures have been increasing twice as fast as daytime temperatures.

Greenhouse gases, including carbon dioxide, methane, nitrous oxide, and chlorofluorocarbons, increase the heat-trapping capabilities of the atmosphere. Evaporation from the ocean surfaces increased during the twentieth century, resulting in higher humidity that enhanced the greenhouse effect. It is projected that during the twenty-first century greenhouse gas concentrations will double or even quadruple from preindustrial levels. Increased urbanization also contributes to global warming, as buildings and roads hold in the heat. Climate simulations predict an average surface air temperature increase of 4.5–7°Fahrenheit (2.5–4°C) by 2100. This will increase the number of extremely hot days and, in temperate climates, double the number of very hot days, for an average increase in summer temperatures of 4–5°Fahrenheit (2–3°C). More heat-related illnesses and deaths will result.

The National Oceanic and Atmospheric Administration projects that the HI could rise substantially in humid regions of the tropics and subtropics. Warm, humid regions of the southeastern United States are expected to experience substantial increases in the summer HI because of increased humidity, even though temperature increases may be smaller than in the continental interior. Predictions for the increase in the summer HI for the southeast United States over the next century range from 8–20°Fahrenheit (4–11°C).

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- Physicians for Social Responsibility, 1875 Connecticut Avenue, NW, Suite 1012, Washington, DC, USA, 20009, (202) 667-4260, (202) 667-4201, [psrnatl@psr.org](mailto:psrnatl@psr.org), <http://www.psr.org>
- Union of Concerned Scientists, 2 Brattle Square, Cambridge, MA, USA, 02238, (617) 547-5552, [ucs@ucsusa.org](mailto:ucs@ucsusa.org), <http://www.ucsusa.org>

Margaret Alic

## Heavy metals and heavy metal poisoning

There is no agreed upon chemical definition of the group of elements commonly described as “heavy metals.” Heavy metals are a loosely defined group of elements with variable chemical and physical properties (e.g., atomic weight and position on the periodic table) all of which exhibit some of the characteristics of metals. Many heavy metals are essential for life in some form or lower concentrations yet toxic in higher concentrations.

Whether based on their physical or chemical properties, the distinction between heavy metals and nonmetals is not sharp. For example, arsenic (As), germanium (Ge), selenium (Se), tellurium (Te), and antimony (Sb) possess chemical properties of both metals and nonmetals. Defined as metalloids, they are often loosely classified as heavy metals. The category heavy metal is, therefore, somewhat arbitrary and highly non-specific because it can refer to approximately eighty of



**Local health workers remove earth contaminated by lead from a family compound in the village of Daretu in Gusau, Nigeria, in June 2010. (AP Photo/Sunday Alamba)**

the 103 elements in the periodic table. The term trace element is commonly used to describe substances that cannot be precisely defined but most frequently occur in the environment in concentrations of a few parts per million (ppm) or less. Only a relatively small number of heavy metals such as cadmium (Cd), copper (Cu), iron (Fe), cobalt (Co), zinc (Zn), mercury (Hg), vanadium (V), lead (Pb), nickel (Ni), chromium (Cr), manganese (Mn), molybdenum (Mo), silver (Ag), and tin (Sn) as well as the metalloids arsenic and selenium are associated with environmental, plant, animal, or human health problems.

Some chemical forms of heavy metals are persistent environmental contaminants. Natural processes such as bedrock and soil weathering, wind and water erosion, volcanic activity, sea salt spray, and forest fires release heavy metals into the environment. While the origins of anthropogenic releases of heavy metals are lost in antiquity, they probably began as our prehistoric ancestors learned to recover metals such as gold, silver, copper, and tin from their ores and to produce bronze. The modern age of heavy

metal pollution has its beginning with the Industrial Revolution. The rapid development of industry, intensive agriculture, transportation, and urbanization over the past 150 years, however, has been the precursor of today's environmental contamination problems. Anthropogenic utilization has also increased heavy metal distribution by removing the substances from localized ore deposits and transporting them to other parts of the environment. Heavy metal by-products result from many activities including the following: ore extraction and smelting, fossil fuel combustion, dumping of industrial wastes into landfills, exhausts from leaded gasolines, steel, iron, cement and fertilizer production, or refuse and wood combustion. Heavy metal cycling has also increased through activities such as farming, deforestation, construction, dredging of harbors, and the disposal of municipal sludges and industrial wastes on land.

Thus, anthropogenic processes, especially combustion, have substantially supplemented the natural atmospheric emissions of selected heavy metals or metalloids such as selenium, mercury, arsenic, and

antimony. They can be transported as gases or adsorbed on particles. Other metals such as cadmium, lead, and zinc are transported atmospherically only as particles. In either state heavy metals may travel long distances before being deposited on land or water.

The heavy metal contamination of soils is a far more serious problem than either air or water pollution because heavy metals are usually tightly bound by the organic components in the surface layers of the soil and may, depending on conditions, persist for centuries or millennia. Consequently, the soil is an important geochemical sink that accumulates heavy metals rapidly and usually depletes them very slowly by leaching into groundwater aquifers or bioaccumulating into plants. Bioaccumulation is the accumulation of a substance in an organism. However, heavy metals can also be very rapidly translocated through the environment by erosion of the soil particles to which they are adsorbed or bound and redeposited elsewhere on the land or washed into rivers, lakes, or oceans to the sediment.

The cycling, bioavailability, toxicity, transport, and fate of heavy metals are markedly influenced by their physicochemical forms in water, sediments, and soils. Whenever a heavy metal-containing ion or compound is introduced into an aquatic environment, it is subjected to a wide variety of physical, chemical, and biological processes. These include the following: hydrolysis (splitting of water molecules), chelation and complexation (binding of a compound, usually organic, to a metal ion), redox (oxidation-reduction), biomethylation (biochemical addition of methyl group), precipitation (a solid forming in a solution), and adsorption (accumulation of surface molecules) reactions. Often heavy metals experience a change in the chemical form or speciation as a result of these processes and so their distribution, bioavailability, and other interactions in the environment are also affected.

The interactions of heavy metals in aquatic systems are complicated because of the possible changes due to many dissolved and particulate components and non-equilibrium conditions. For example, the speciation of heavy metals is controlled not only by their chemical properties but also by environmental variables such as: (1) pH; (2) redox potential; (3) dissolved oxygen; (4) ionic strength; (5) temperature; (6) salinity; (7) alkalinity; (8) hardness; (9) concentration and nature of inorganic ligands such as carbonate ( $\text{CO}_2^{3-}$ ), bicarbonate ( $\text{HCO}_3^-$ ), sulfate ( $\text{SO}_4^{2-}$ ), sulfides ( $\text{S}^{2-}$ ), chlorides ( $\text{Cl}^-$ ); (10) concentration and nature of dissolved organic chelating agents such as organic acids, humic materials, peptides, and polyamino-carboxylates; (11) the concentration and nature of particulate matter with

surface sites available for heavy metal binding; and (12) biological activity.

In addition, various species of bacteria can oxidize arsenate or reduce arsenate ( $\text{As}^{5+}$ ) to arsenite ( $\text{As}^{3+}$ ), or oxidize ferrous iron ( $\text{Fe}^{2+}$ ) to ferric iron ( $\text{Fe}^{3+}$ ), or convert mercuric ion ( $\text{Hg}^{2+}$ ) to elemental mercury or the reverse. Various enzyme systems in living organisms can biomethylate a number of heavy metals. While it had been known for at least sixty years that arsenic and selenium could be biomethylated, microorganisms capable of converting inorganic mercury into monomethyl ( $[\text{CH}_3\text{Hg}]^+$ ) and dimethylmercury ( $[\text{CH}_3]_2\text{Hg}$ ) in lake sediments were not discovered until 1967. Since then, numerous heavy metals such as lead, tin, cobalt, antimony, platinum, gold, tellurium, thallium (Tl), and palladium (Pd) have been shown to be biomethylated by bacteria and fungi in the environment.

As environmental factors change the chemical reactivities and speciation of heavy metals, they influence not only the mobilization, transport, and bioavailability, but also the toxicity of heavy metal ions toward biota in both freshwater and marine ecosystems. The factors affecting the toxicity and bioaccumulation of heavy metals by aquatic organisms include the following: (1) the chemical characteristics of the ion; (2) solution conditions, which affect the chemical form (speciation) of the ion; (3) the nature of the response such as acute toxicity, bioaccumulation, various types of chronic effects, and so on; (4) the nature and condition of the aquatic animal such as age or life stage, species, or trophic level in the food chain. The extent to which most of the methylated metals are bioaccumulated or biomagnified is limited by the chemical and biological conditions and how readily the methylated metal is metabolized by an organism. At present, only methylmercury seems to be sufficiently stable to bioaccumulate to levels that can cause adverse effects in aquatic organisms. All other methylated metal ions are produced in very small concentrations and are degraded naturally faster than they are bioaccumulated. Therefore, they do not biomagnify in the food chain. Biomagnification occurs when a bioaccumulated substance is present in higher concentrations in organisms because of persistence in the environment as well as the inability of organisms to degrade or excrete the substance.

The largest proportion of heavy metals in water is associated with suspended particles, which are ultimately deposited in the bottom sediments where concentrations are orders of magnitude higher than those in the overlying or interstitial waters. The heavy metals associated with suspended particulates or bottom sediments are complex mixtures of the following: (1)

weathering and erosion residues such as iron and aluminum oxyhydroxides, clays, and other aluminosilicates; (2) methylated and nonmethylated forms in organic matter such as living organisms, bacteria and algae, detritus, and humus; (3) inorganic hydrous oxides and hydroxides, phosphates, and silicates; and (4) diagenetically produced iron and manganese oxyhydroxides in the upper layer of sediments and sulfides in the deeper, anoxic layers.

In anoxic waters (decreased amounts of oxygen) the precipitation of sulfides may control the heavy metal concentrations in sediments, whereas in oxic waters adsorption, absorption, surface precipitation, and coprecipitation are usually the mechanisms by which heavy metals are removed from the water column. Moreover, physical, chemical, and microbiological processes in the sediments often increase the concentrations of heavy metals in the pore waters, which are released to overlying waters by diffusion or as the result of consolidation and bioturbation, which is the mixing of sediments by bottom-dwelling organisms. Transport by living organisms does not represent a significant mechanism for local movement of heavy metals. However, accumulation by aquatic plants and animals can lead to important biological responses. Even low environmental levels of some heavy metals may produce subtle and chronic effects in animal populations.

Despite these adverse effects, at very low levels, some metals have essential physiological roles as micronutrients. Heavy metals such as chromium, manganese, iron, cobalt, molybdenum, nickel, vanadium, copper, and selenium are required in small amounts to perform important biochemical functions in plant and animal systems. In higher concentrations they can be toxic, but usually some biological regulatory mechanism is available by means of which animals can speed up their excretion or retard their uptake of excessive quantities.

In contrast, nonessential heavy metals are primarily of concern in terrestrial and aquatic systems because they are toxic and persist in living systems. Metal ions commonly bond with sulfhydryl (-SH) and carboxylic acid (CO<sub>2</sub>H) groups in amino acids, which are components of proteins (enzymes) or polypeptides. This increases their bioaccumulation and inhibits excretion. For example, heavy metals such as lead, cadmium, and mercury bind strongly with sulfur-containing groups such as -SH and -SCH<sub>3</sub> in cysteine and methionine and so inhibit the metabolism of the bound enzymes. In addition, other heavy metals may replace an essential element, decreasing its availability and causing symptoms of deficiency.

Uptake, translocation, and accumulation of potentially toxic heavy metals in plants differ widely depending on soil type, pH, redox potential, moisture, and organic content. Public health officials closely regulate the quantities and effects of heavy metals that move through the agricultural food chain to be consumed by human beings. While heavy metals such as zinc, copper, nickel, lead, arsenic, and cadmium are translocated from the soil to plants and then into the animal food chain, the concentrations in plants are usually very low and generally not considered to be an environmental problem. However, plants grown on soils either naturally enriched or highly contaminated with some heavy metals can bioaccumulate levels high enough to cause toxic effects in the animals or human beings that consume them.

Some heavy metals are toxic or carcinogenic, having adverse effects on the central nervous system of humans. Minamata disease is caused by mercury poisoning and affects the central nervous system, in some cases resulting in death. In Japan, the Chisso Corporation released methylmercury-containing wastewater into Minamata Bay from 1932 to 1968. Mercury bioaccumulated in fish and shellfish harvested and consumed by humans, causing mercury poisoning and neurological symptoms. Approximately three thousand people were diagnosed with Minamata disease. Because of the persistence of mercury in the environment, a clean-up project was initiated in 1974 to dredge the bottom sediment in Minamata Bay for removal of mercury. The project took sixteen years to complete and cost forty-eight billion yen (or \$500 million 2009 U.S. dollars).

Contamination of soils caused by land disposal of sewage and industrial effluents and sludges may pose the most significant long-term problem. While cadmium and lead are the greatest hazard, other elements such as copper, molybdenum, nickel, and zinc can also accumulate in plants grown on sludge-treated land. High concentrations can, under certain conditions, cause adverse effects in animals and human beings that consume the plants. For example, when soil contains high concentrations of molybdenum and selenium, these metals can be translocated into edible plant tissue in sufficient quantities to produce toxic effects in ruminant animals. Consequently, the U.S. Environmental Protection Agency has issued regulations that prohibit or tightly regulate the disposal of contaminated municipal and industrial sludges on land to prevent heavy metals, especially cadmium, from entering the food supply in toxic amounts. However, presently, the most serious known human toxicity is not through bioaccumulation from crops but from either

mercury in fish or lead in gasoline, paints, and water pipes, and other metals derived from occupational or accidental exposure. One method of removing heavy metals from the environment involves the use of plants that are able to bioaccumulate heavy metals. This method of removal, termed phytoremediation (or bioremediation), is completed by harvesting the plants and incinerating them, thus allowing recovery of the metals if desired.

In 2010 Médecins Sans Frontières (MSF) teams treated more than two thousand heavy metal lead-poisoning victims in Zamfara, Nigeria. MSF officials reported treating children with lead blood levels more than a dozen times normal levels. The severity of the poisonings required emergency treatment to alleviate life-threatening and brain-damaging convulsions. MSF and World Health Organization (WHO) officials called the poisoning an “unprecedented environmental emergency” caused by unsafe mining and dangerous environmental disposal practices related to the extraction of gold from lead-rich ore.

Heavy metal poisoning is especially dangerous to young children. Chelation therapy, considered an alternative medicine therapy for some purposes, is designed to use chemical binding agents to neutralize heavy metals in the body before they can cause tissue damage. The chelating agents are then excreted with bound heavy metals. Although chelation therapy is considered standard and approved treatment for heavy metal poisoning, studies have failed to support its usefulness in treating other conditions. Moreover, although the chelating agent used to treat heavy metal poisoning is approved in the United States, other chelating agents routinely used in alternative or nonstandard practice await further study.

*See also* Aquatic chemistry; Atmospheric (air) pollutants; Biological methylation; Biomagnification; Contaminated soil; Ducktown, Tennessee; Hazardous material; Heavy metals precipitation; Itai-itai disease; Methylmercury seed dressings; Minamata disease; Smelter; Sudbury, Ontario; Xenobiotic.

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Frank M. D'Itri

## Heavy metals precipitation

The principle technology to remove metals pollutants from wastewater is by chemical precipitation. Chemical precipitation includes two secondary removal mechanisms: coprecipitation and adsorption. Precipitation processes are characterized by the solubility of the metal to be removed. They are generally designed to precipitate trace metals to their solubility limits and obtain additional removal by coprecipitation and adsorption during the precipitation reaction.

There are many different treatment variables that affect these processes. They include the optimum pH, the type of chemical treatments used, and the number of treatment stages, as well as the temperature and volume of wastewater, and the chemical specifications of the pollutants to be removed. Each of these variables directly influences treatment objectives and costs. Treatability studies must be performed to optimize the relevant variables, so that goals are met and costs minimized.

In theory, the precipitation process has two steps, nucleation followed by particle growth. Nucleation is represented by the appearance of very small particle seeds which are generally composed of between ten to one hundred molecules. Particle growth involves the addition of more atoms or molecules into this particle structure. The rate and extent of this process is dependent upon the temperature and chemical characteristics of the wastewater, such as the concentration of metal initially present and other ionic species present, which can compete with or form soluble complexes with the target metal species.

## Heilbroner, Robert L.

1919–2005

American economist and author

An economist by profession, Robert Heilbroner was the author of a number of books and articles that put economic theories and developments into historical perspective and relate them to contemporary social and political problems. He was especially noteworthy for his gloomy speculations on the future of a world confronted by the environmental limits to economic growth.

Born in New York City in 1919, Heilbroner received a bachelor's degree from Harvard University in 1940 and a Bronze Star for his service in World War II. In 1963, he earned a PhD in economics from the New School for Social Research in New York, and, in 1972, became the Norman Thomas Professor of Economics there. His books include *The Worldly Philosophers* (1953), *The Making of Economic Society* (1962), *Marxism: For and Against* (1980), and *The Nature and*

Heavy metals are present in many industrial wastewaters. Examples of such metals are cadmium, copper, lead, mercury, nickel, and zinc. In general, these metals can be complexed to insoluble species by adding sulfide, hydroxide, and carbonate ions to a solution. For example, the precipitation of copper (Cu) hydroxide is accomplished by adjusting the pH of the water to above 8, using precipitant chemicals such as lime (Ca[OH]<sub>2</sub>) or sodium hydroxide (NaOH). Precipitation of metallic carbonate and sulfide species can be accomplished by the addition of calcium carbonate or sodium sulfide. The removal of coprecipitative metals during precipitation of the soluble metals is aided by the presence of solid ferric oxide, which acts as an adsorbent during the precipitation reaction. For example, hydroxide precipitation of ferric chloride can be used as the source of ferric oxide for coprecipitation and adsorption reactions. Precipitation, coprecipitation, and adsorption reactions generate suspended solids that must be separated from the wastewater. Flocculation and clarification are again employed to assist in solids separation. The treatment is an important variable that must be optimized to effect the maximum metal removal possible.

Determining the optimal pH range to facilitate the maximum precipitation of metal is a difficult task. It is typically accomplished by laboratory studies, such as by jar tests rather than theoretical calculations. Often the actual wastestream behaves differently, and the theoretical metal solubilities and corresponding optimal pH ranges can vary considerably from theoretical values.

*See also* Heavy metals and heavy metal poisoning; Industrial waste treatment; Itai-itai disease; Minamata disease; Sludge; Waste management.

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James W. Patterson



**Robert L. Heilbroner.** (Photograph by Jose Pelaez. W. W. Norton)

*Logic of Capitalism* (1985). He has also served on the editorial board of the socialist journal *Dissent*.

In 1974 Heilbroner published *An Inquiry into the Human Prospect*, in which he argued that three so-called external challenges confronted humanity: the population explosion, the threat of war, and, quoting from the book, “the danger...of encroaching on the environment beyond its ability to support the demands made on it.” Each of these problems, he maintained, has arisen from the development of scientific technology, which has increased the human life span, multiplied weapons of destruction, and encouraged industrial production that consumes natural resources and pollutes the environment. Heilbroner believed that these challenges confront all economies, and that meeting them will require more than adjustments in economic systems. Societies will have to muster the will to make sacrifices.

Heilbroner argued that persuading people to make these sacrifices may not be possible. Those living in one part of the world are not likely to give up what they have for the sake of those in another part, and people living now are not likely to make sacrifices for future generations. His reluctant conclusion was that coercion is likely to take the place of persuasion. Authoritarian governments may well supplant democracies because “the passage through the gantlet ahead may be possible only under governments capable of rallying obedience far more effectively than would be possible in a democratic setting. If the issue for mankind is survival, such governments may be unavoidable, even necessary.”

Heilbroner wrote *An Inquiry into the Human Prospect* in 1972 and 1973, but his position had not changed by the end of the decade. In a revised edition written in 1979, he continued to insist upon the environmental limits to economic growth: “The industrialized capitalist and socialist worlds can probably continue along their present growth paths” for about twenty-five years, at which point “we must expect...a general recognition that the possibilities for expansion are limited, and that social and economic life must be maintained within fixed...material boundaries.” Heilbroner published a number of books, including *Twenty-first Century Capitalism* and *Visions of the Future*. He also received the New York Council for the Humanities Scholar of the Year award in 1994.

Until his death on January 4, 2005, at the age of 85 in New York City, Heilbroner was Norman Thomas Professor of Economics, Emeritus, at the New School for Social Research.

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Richard K. Dagger

## Hells Canyon

Hells Canyon is a stretch of canyon on the Snake River between Idaho and Oregon. This canyon, deeper than the Grand Canyon and formed in ancient basalt flows, contains some of the United States' wildest rapids and has provided extensive recreational and scenic boating since the 1920s. The narrow canyon has also provided outstanding dam sites. Hells Canyon became the subject of nationwide controversy between 1967 and 1975, when environmentalists challenged hydroelectric developers over the last stretch of free-flowing water in the Snake River from the border of Wyoming to the Pacific.

Historically Hells Canyon, over 100 miles (161 km) long, filled with rapids, and averaging 6,500 feet (1,983 m) deep, presented a major obstacle to travelers and explorers crossing the mountains and deserts of southern Idaho and eastern Oregon. Nez Perce, Paiute, Cayuse, and other Native American groups of the region had long used the area as a mild wintering ground with good grazing land for their horses. European settlers came for the modest timber and with cattle and sheep to graze. As early as the 1920s travelers were arriving in this scenic area for recreational purposes, with the first river runners navigating the canyon's rapids in 1928. By the end of the Great Depression, the Federal Power Commission was urging regional utility companies to tap the river's hydroelectric potential, and in 1958 the first dam was built in the canyon.

Falling from the mountains in southern Yellowstone National Park through Idaho, and into the Columbia River, the Snake River drops over 7,000 vertical feet (2,135 m) in 1,000 miles (1,609 km) of

river. This drop and the narrow gorges the river has carved presented excellent dam opportunities, and by the end of the 1960s there were eighteen major dams along the river's course. By that time the river was also attracting great numbers of whitewater rafters and kayakers, as well as hikers and campers in the adjacent national forests. When a proposal was developed to dam the last free-running section of the canyon, protesters brought a suit to the United States Supreme Court. In 1967 Justice William O. Douglas led the majority in a decision directing the utilities to consider alternatives to the proposed dam.

Hells Canyon became a national environmental issue. Several members of Congress flew to Oregon to raft the river. The Sierra Club and other groups lobbied vigorously. Finally, in 1975 President Gerald Ford signed a bill declaring the remaining stretch of the canyon a National Scenic Waterway, creating a 650,000-acre (260,000-ha) Hells Canyon National Recreation Area, and adding 193,000 acres (77,200 ha) of the area to the National Wilderness Preservation System.

See also Wild and Scenic Rivers Act (1968); Wild river.

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Mary Ann Cunningham

## Henderson, Hazel

1933–

English/American environmental activist and writer

Hazel Henderson is an environmental activist and futurist who has called for an end to current unsustainable industrial modes and urges redress for what she describes as “unequal access to resources which is now so dangerous, both ecologically and socially.”

Born in Clevedon, England, Henderson immigrated to the United States after finishing high school; she became a naturalized citizen in 1962. After working for several years as a freelance journalist, she married Carter F. Henderson, former London bureau chief of the *Wall Street Journal* in 1957. Her activism began when she became concerned about air quality in

New York City, where she was living. To raise public awareness, she convinced the Federal Communications Commission (FCC) and television networks to broadcast the air pollution index with the weather report. She persuaded an advertising agency to donate their services to her cause and teamed up with a New York City councilman to cofound Citizens for Clean Air. Her endeavors were rewarded in 1967, when she was commended as Citizen of the Year by the New York Medical Society.

Henderson's career as an advocate for social and environmental reform took flight from there. She argued passionately against the spread of industrialism, which she called “pathological” and decried the use of an economic yardstick to measure quality of life. Indeed, she termed economics “merely politics in disguise” and even “a form of brain damage.” Henderson believed that society should be measured by less tangible means, such as political participation, literacy, education, and health. “Per-capita income,” she felt, is “a very weak indicator of human well-being.”

She became convinced that traditional industrial development wrought little but “ecological devastation, social unrest, and downright hunger. . . I think of development, instead, . . . as investing in ecosystems, their restoration and management.”

Even the fundamental idea of labor should, Henderson argued, “be replaced by the concept of ‘Good Work’—which challenges individuals to grow and develop their faculties; to overcome their ego-centeredness by joining with others in common tasks; to bring forth those goods and services needed for a becoming existence; and to do all this with an ethical concern for the interdependence of all life forms.”

To advance her theories, Henderson has published several books, *Creative Alternative Futures: The End of Economics* (1978), *The Politics of the Solar Age: Alternatives to Economics* (1981), *Building a Win-Win World* (1996), *Toward Sustainable Communities: Resources for Citizens and Their Governments* (1998), and *Beyond Globalization: Shaping a Sustainable Global Economy* (1999). She has also contributed to several periodicals, and lectured at colleges and universities. In 1972 she cofounded the Princeton Center for Alternative Futures, of which she is still a director. She is a member of the board of directors for Worldwatch Institute and the Council for Economic Priorities, among other organizations. In 1982 she was appointed a Horace Allbright Professor at the University of California at Berkeley. In 1996 Henderson was awarded the Global Citizen Award.

In 2005 she founded Ethical Markets Media, LLC, dedicated to providing information on green or ethical investing, business, energy, and sustainable development. This led to the establishment of Ethical Markets TV in 2007. As of 2010, Henderson is a producer of the public television series *Ethical Markets* and is a lecturer at the University of California at Santa Barbara.

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Amy Strumolo

## Herbicide

Herbicides are chemical pesticides that are used to manage vegetation. Usually, herbicides are used to reduce the abundance of weeds, so as to release desired crop plants from competition for space, light, water, and nutrients. This is the context of most herbicide use in agriculture, forestry, and lawn management. Sometimes herbicides are not used to protect crops, but to reduce the quantity or height of vegetation, for example along highways and transmission corridors. The reliance on herbicides to achieve these ends has increased greatly in recent decades, and the practice of chemical weed control appears to have become an entrenched component of the modern technological culture of humans, especially in agroecosystems.

Millions of pounds of pesticides are used each year with herbicides the most widely used form of chemical pesticide used in agriculture. In many areas, the same tracts of land are treated numerous times each year with various pesticides.

Herbicides are either contact or systemic, acting in two different ways. Contact herbicides kill any plant tissue that comes into contact with the chemical. Systemic herbicides are taken up either by the leaves or roots and are distributed throughout the plant. Additionally, herbicides can be applied for preemergence control or postemergence. Preemergence herbicides inhibit the development of weeds before they emerge through the soil, whereas postemergence chemicals act on plants that have emerged from the soil.

A wide range of chemicals is used as herbicides, including the following:

- chlorophenoxy acids, especially 2,4-D and 2,4,5-T, which have an auxin-like (auxin is a naturally occurring plant hormone) growth-regulating property and are selective against broadleaved angiosperm plants
- triazines such as atrazine, simazine, and hexazinone
- chloroaliphatics such as dalapon and trichloroacetate
- the phosphonoalkyl chemical, glyphosate
- inorganics such as various arsenicals, cyanates, and chlorates

A weed is usually considered to be any plant that interferes with the productivity of a human-desired plant, even though in other contexts weed species may have positive ecological and economic values. Weeds exert this effect by competing with the crop for light, water, and nutrients. Studies in Illinois demonstrated an average reduction of yield of corn or maize (*Zea mays*) of 81 percent in unweeded plots, while a 51 percent reduction was reported in Minnesota. Weeds also reduce the yield of small grains, such as wheat (*Triticum aestivum*) and barley (*Hordeum vulgare*), by 25–50 percent.

Because there are several herbicides that are toxic to dicotyledonous weeds but not grasses, herbicides are used most intensively in grain crops of the Gramineae. For example, in North America almost all of the area of maize cultivation is treated with herbicides. In part this is due to the widespread use of no-tillage cultivation, a system that reduces erosion and saves fuel. Because an important purpose of plowing is to reduce the abundance of weeds, the no-tillage system would be impracticable if not accompanied by herbicide use. The most important herbicides used in maize cultivation are atrazine, propachlor, alachlor, 2,4-D, and butylate. Most of the area planted to other agricultural grasses such as wheat, rice (*Oryza sativa*), and barley is also treated with herbicide, mostly with the phenoxy herbicides 2,4-D or MCPA.



Farmer applying herbicide with bell sprayer to a tomato plant. (© age fotostock / SuperStock)

The intended ecological effect of any pesticide application is to control a pest species, usually by reducing its abundance to below some economically acceptable threshold. In a few situations, this objective can be attained without important nontarget damage. For example, a judicious spot-application of a herbicide can allow a selective kill of large lawn weeds in a way that minimizes exposure to nontarget plants and animals.

Of course, most situations where herbicides are used are more complex and less well-controlled. Whenever a herbicide is broadcast-sprayed over a field or forest, a wide variety of onsite, nontarget organisms is affected, and sprayed herbicide also drifts from the target area. In addition to drifting, runoff of herbicides as a result of irrigation or precipitation may drain into nearby bodies of water, thus affecting aquatic organisms. These cause ecotoxicological effects directly, through toxicity to nontarget organisms and ecosystems, and indirectly, by changing habitat or the abundance of food species for wildlife. These effects can be illustrated by the use

of herbicides in forestry, with glyphosate used as an example.

The most frequent use of herbicides in forestry is for the release of small coniferous plants from the effects of competition with economically undesirable weeds. Usually the silvicultural use of herbicides occurs within the context of an intensive harvesting and management system, which may include clear-cutting, scarification, planting seedlings of a single desired species, spacing, and other practices.

Glyphosate is a commonly used systemic herbicide in forestry and agriculture. The typical spray rate in silviculture is about 2.2–4.9 pounds (1–2.2 kg) active ingredient, and the typical projection is for one to two treatments per forest rotation of forty to one hundred years. In agriculture, many crops have been genetically modified to be resistant to the effects of glyphosate, which enables growers to spray herbicide onto crops to suppress weeds without damaging the crops.

Immediately after an aerial application in forestry, glyphosate residues on foliage are about six

times higher than litter on the forest floor, which is physically shielded from spray by overtopping foliage. The persistence of glyphosate residues is relatively short, with typical half-lives of two to four weeks in foliage and the forest floor, and up to eight weeks in soil. The disappearance of residues from foliage is mostly due to translocation and wash-off, but in the forest floor and soil, glyphosate is immobile (and unavailable for root uptake or leaching) because of binding to organic matter and clay, and residue disappearance is due to microbial oxidation. Residues in oversprayed waterbodies tend to be small and short-lived. For example, two hours after a deliberate overspray on Vancouver Island, Canada, residues of glyphosate in stream water rose to high levels, then rapidly dissipated through flushing to only trace amounts ninety-four hours later.

Because glyphosate is soluble in water, there is no propensity for bioaccumulation in organisms in preference to the inorganic environment, or to occur in larger concentrations at higher levels of the food chain. This is in marked contrast to some other pesticides such as DDT, which is soluble in organic solvents but not in water, so it has a strong tendency to bioaccumulate into the fatty tissues of organisms.

As a plant poison, glyphosate acts by inhibiting the pathway by which four essential amino acids are synthesized. Only plants and some microorganisms have this metabolic pathway; animals obtain these amino acids from food. Consequently, glyphosate has a relatively small acute toxicity to animals, and there are large margins of toxicological safety in comparison with environmental exposures that are realistically expected during operational silvicultural sprays.

Acute toxicity of chemicals to mammals is often indexed by the oral dose required to kill 50 percent of a test population, usually of rats (i.e., rat  $LD_{50}$ ). The  $LD_{50}$  value for pure glyphosate is 5,600 milligrams per kilogram, and its silvicultural formulation has a value of 5,400 milligrams per kilogram. Compare these to  $LD_{50}$ s for some chemicals that many humans ingest voluntarily: nicotine 50 milligrams per kilogram, caffeine 366, acetylsalicylic acid (ASA) 1,700, sodium chloride 3,750, and ethanol 13,000. The documented risks of longer-term, chronic exposures of mammals to glyphosate are also small, especially considering the doses that might be received during an operational treatment in forestry.

Considering the relatively small acute and chronic toxicities of glyphosate to animals, it is unlikely that wildlife inhabiting sprayed clear-cut areas would be directly affected by a silvicultural application. However,

glyphosate causes large habitat changes through species-specific effects on plant productivity, and by changing habitat structure. Therefore, wildlife such as birds and mammals could be secondarily affected through changes in vegetation and the abundance of their prey arthropod foods. These indirect effects of herbicide spraying are within the context of ecotoxicology. Indirect effects can affect the abundance and reproductive success of terrestrial and aquatic wildlife on a sprayed site, irrespective of a lack of direct, toxic effects.

Studies of the effects of habitat changes caused by glyphosate spraying have found relatively small effects on the abundance and species composition of wildlife. Much larger effects on wildlife are associated with other forestry practices, such as clear-cutting and the broadcast spraying of insecticides. For example, in a study of clear-cuts sprayed with glyphosate in Nova Scotia, Canada, only small changes in avian abundance and species composition could be attributed to the herbicide treatment. However, such studies of bird abundance are conducted by enumerating territories, and the results cannot be interpreted in terms of reproductive success. Regrettably, there are not yet any studies of the reproductive success of birds breeding on clear-cuts recently treated with a herbicide. This is an important deficiency in terms of understanding the ecological effects of herbicide spraying in forestry.

An important controversy related to herbicides focused on the military use of herbicides during the Vietnam War. During this conflict, the U.S. Air Force broadcast-sprayed herbicides to deprive their enemy of food production and forest cover. More than 5,600 square miles (14,503  $km^2$ ) were sprayed at least once, about one-seventh of the area of South Vietnam. More than 55 million pounds (25 million kg) of 2,4-D, 43 million pounds (21 million kg) of 2,4,5-T, and 3.3 million pounds (1.5 million kg) of picloram were used in this military program. The most frequently used herbicide was a 50-50 formulation of 2,4,5-T and 2,4-D known as Agent Orange. The rate of application was relatively large, averaging about ten times the application rate for silvicultural purposes. About 86 percent of spray missions were targeted against forests, and the remainder against cropland.

As was the military intention, these spray missions caused great ecological damage. Opponents of the practice labeled it ecocide (i.e., the intentional use of antienvironmental actions as a military tactic). The broader ecological effects included severe damage to mangrove and tropical forests, and a great loss of wildlife habitat.

In addition, the Agent Orange used in Vietnam was contaminated by the dioxin isomer known as TCDD, an incidental by-product of the manufacturing process of 2,4,5-T. Using post-Vietnam manufacturing technology, the contamination by TCDD in 2,4,5-T solutions can be kept to a concentration well below the maximum of 0.1 parts per million (ppm) set by the U.S. Environmental Protection Agency (EPA). However, the 2,4,5-T used in Vietnam was grossly contaminated with TCDD, with a concentration as large as 45.0 ppm occurring in Agent Orange, and an average of about 2.0 ppm. Perhaps 243–375 pounds (110–170 kg) of TCDD was sprayed with herbicides onto Vietnam. TCDD is well-known as being extremely toxic, and it can cause birth defects and miscarriage in laboratory mammals, although as is often the case, toxicity to humans is less well understood. There has been great controversy about the effects on soldiers and civilians exposed to TCDD in Vietnam, but epidemiological studies have been equivocal about the damages.

A preferable approach to pesticide use is integrated pest management (IPM). In the context of IPM, pest control is achieved by employing an array of complementary approaches based on monitoring in addition to biological and mechanical controls. A successful IPM program can greatly reduce, but not necessarily eliminate, the reliance on pesticides. With specific relevance to herbicides, more research into organic systems and into procedures that are pest-specific are required for the development of IPM systems. Examples of pest-specific practices are the biological control of certain introduced weeds, for example:

- St. John's wort (*Hypericum perforatum*) is a serious weed of pastures of the southwest United States because it is toxic to cattle, but it was controlled by the introduction in 1943 of two herbivorous leaf beetles;
- the prickly pear cactus (*Opuntia* spp.) became a serious weed of Australian rangelands after it was introduced as an ornamental plant, but it has been controlled by the release of the moth *Cactoblastis cactorum*, whose larvae feed on the cactus.

Unfortunately, effective IPM systems have not yet been developed for most weed problems for which herbicides are now used. With a growing interest in organic farming and reducing the input of potentially toxic chemicals into the environment, there are some natural herbicides that can be used to control weeds such as garlic oil, vinegar, and clove oil. Pelargonic acid, a natural chemical found in soaps, can be used as a contact herbicide that causes the plant cells to break apart. Until there are other alternatives, pest-specific methods to achieve an economically acceptable degree

of control of weeds in agriculture and forestry, herbicides will continue to be used for that purpose.

*See also* Agricultural chemicals.

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Bill Freedman

## Heritage Conservation and Recreation Service

The Heritage Conservation and Recreation Service (HCRS) was created in 1978 as an agency of the U.S. Department of the Interior (Secretarial Executive Order 3017) to administer the National Heritage Program initiative of President Jimmy Carter. The new agency was an outgrowth of and successor to the former Bureau of Outdoor Recreation. The HCRS resulted from the consolidation of some thirty laws, executive orders, and interagency agreements that provided federal funds to states, cities, and local community organizations to acquire, maintain, and develop historic, natural, and recreation sites. HCRS focused on the identification and protection of the nation's significant natural, cultural, and recreational resources. It classified and established registers for heritage resources, formulated policies and programs for their preservation, and coordinated federal, state, and local resource and recreation policies and actions. In February 1981 HCRS was abolished as an agency

and its responsibilities were transferred to the National Park Service.

## Hetch Hetchy Reservoir

The Hetch Hetchy Reservoir, located on the Tuolumne River in Yosemite National Park, was built to provide water and hydroelectric power to San Francisco, California. Its creation in the early 1900s led to one of the first conflicts between preservationists and those favoring utilitarian use of natural resources. The controversy spanned the presidencies of Theodore Roosevelt, William Taft, and Woodrow Wilson.

A prolonged conflict between San Francisco and its only water utility, Spring Valley Water Company, drove the city to search for an independent water supply. After surveying several possibilities, the city decided to build a dam and reservoir in the Hetch Hetchy Valley because the river there could supply the most abundant and purest water. This option was also the least expensive because the city planned to use the dam to generate hydroelectric power. It would also provide an abundant supply of irrigation water for area farmers and the recreation potential of a new lake.

The city applied to the U.S. Department of the Interior in 1901 for permission to construct the dam, but the request was not approved until 1908. The department then turned the issue over to Congress to work out an exchange of land between the federal government and the city. Congressional debate spanned several years and produced a number of bills. Part of the controversy involved the Right of Way Act of 1901, which gave Congress power to grant rights of way through government lands; some claimed this was designed specifically for the Hetch Hetchy project.

Opponents of the project likened the valley to Yosemite on a smaller scale. They wanted to preserve its high cliff walls, waterfalls, and diverse plant species. One of the most well-known opponents, California naturalist John Muir, described the Hetch Hetchy Valley as “a grand landscape garden, one of Nature’s rarest and most precious mountain temples.” Campers and mountain climbers fought to save the campgrounds and trails that would be flooded.

As the argument ensued, often played out in newspapers and other public forums, overwhelming national opinion appeared to favor the preservation of the

valley. Despite this public support, a close vote in Congress led to the passage of the Raker Act, allowing the O’Shaughnessy Dam and Hetch Hetchy Reservoir to be constructed. President Wilson signed the bill into law on December 19, 1913.

The Hetch Hetchy Reservoir was completed in 1923 and still supplies water and electric power to San Francisco. In 1987, Secretary of the Interior Donald Hodel created a brief controversy when he suggested tearing down O’Shaughnessy Dam.

*See also* Economic growth and the environment; Environmental law; Environmental policy.

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Teresa C. Donkin

## Heterotroph

A heterotroph is an organism that derives its nutritional carbon and energy by oxidizing (i.e., decomposing) organic materials. The higher taxonomic animals, fungi, actinomycetes, and most bacteria are heterotrophs. These are the biological consumers that eventually decompose most of the organic matter on the earth. The decomposition products then are available for chemical or biological recycling.

*See also* Oxidation reduction reactions.

## High-grading (mining, forestry)

The practice of high-grading can be traced back to the early days of the California gold rush, when miners would sneak into claims belonging to others and steal the most valuable pieces of ore. The practice of high-grading remains essentially unchanged today. An individual or corporation will enter an area and selectively

mine or harvest only the most valuable specimens, before moving on to a new area. High-grading is most prevalent in the mining and timber industries. It is not uncommon to walk into a forest, particularly an old-growth forest, and find the oldest and finest specimens marked for harvesting.

*See also* Forest management; Strip mining.

## High-level radioactive waste

High-level radioactive waste consists primarily of the by-products of nuclear power plants and defense activities. Such wastes are highly radioactive and often decay very slowly. They may release dangerous levels of radiation for hundreds or thousands of years. Most high-level radioactive wastes have to be handled by remote control by workers who are protected by heavy shielding. They present, therefore, a serious health and environmental hazard. No entirely satisfactory method for disposing of high-level wastes has as yet been devised. In the early twenty-first century, the best approach seems to involve immobilizing the wastes in a glass-like material and then burying them deep underground.

*See also* Low-level radioactive waste; Radioactive decay; Radioactive pollution; Radioactive waste management; Radioactivity.

## High-solids reactor

Solid waste disposal is a serious problem in the United States and other developed countries. Solid waste can constitute valuable raw materials for commercial and industrial operations, however, and one of the challenges facing scientists is to develop an economically efficient method for utilizing it.

Although the concept of bacterial waste conversion is simple, achieving an efficient method for putting the technique into practice is difficult. The main problem is that efficiency of conversion requires increasing the ratio of solids to water in the mixture, and this makes mixing more difficult mechanically. The high-solids reactor was designed by scientists at the Solar Energy Research Institute (SERI) to solve this problem. (In 1991, the SERI became known as the National Renewable Energy Laboratory [NREL],

when it was designated a national laboratory for the U.S. Department of Energy.) It consists of a cylindrical tube on a horizontal axis, and an agitator shaft running through the middle of it, which contains a number of Teflon-coated paddles oriented at ninety-degree angles to each other. The pilot reactors operated by SERI had a capacity of 2.6 gallons (10 l).

SERI (now NREL) scientists modeled the high-solids reactor after similar devices used in the plastics industry to mix highly viscous materials. With the reactor, they have been able to process materials with 30 to 35 percent solids content, whereas existing reactors normally handle wastes with 5–8 percent solid content. With higher solid content, NREL reactors have achieved a yield of methane five to eight times greater than that obtained from conventional mixers. Researchers hope to be able to process wastes with solid content ranging anywhere from 0 to 100 percent. They believe that they can eventually achieve 80 percent efficiency in converting biomass to methane.

The most obvious application of the high-solids reactor is the processing of municipal solid wastes. Initial tests were carried out with sludge obtained from sewage treatment plants in Denver (Colorado), Los Angeles (California), and Chicago (Illinois). In all cases, conversion of solids in the sludge to methane was successful, and other applications of the reactor are also being considered. For example, it can be used to leach out uranium from mine wastes: Anaerobic bacteria in the reactor will reduce uranium in the wastes and the uranium will then be absorbed on the bacteria or on ion exchange resins. The use of the reactor to clean contaminated soil is also being considered in the hope that this will provide a desirable alternative to current processes for cleaning soil, which create large volumes of contaminated water.

As of October, 2010, the NREL recently completed its Integrated Biorefinery Research Facility (IBRF). The facility will expand its Alternative Fuels User Facility (AFUF) by adding additional laboratory space, which will house new biomass processing equipment, and an additional storage area, which will hold feedstock milling and general storage materials. This advancement to the NREL facility will allow its researchers to better develop and test bioprocessing technologies for the production of fuels, such as ethanol, and cellulosic biomass chemicals.

*See also* Biomass fuel; Solid waste incineration; Solid waste recycling and recovery; Solid waste volume reduction; Waste management.

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David E. Newton

High-voltage power lines see  
**Electromagnetic field.**

High-yield crops see **Consultative Group on International Agricultural Research; Borlaug, Norman E.**

## Hiroshima, Japan

Hiroshima is a beautiful modern city located near the southwestern tip of the main Japanese island of Honshu. It had been a military center with the headquarters of the Japanese southern army and a military depot prior to the end of World War II. The city is now a manufacturing center with a major university and medical school. It is most profoundly remembered because it was the first city to be exposed to the devastation of an atomic bomb.

At 8:15 A.M. on the morning of August 6, 1945, a single B-29 bomber flying from Tinian Island in the Marianas Islands released the bomb at 31,060 feet (9,467.1 m) above the city. The target was a T-shaped bridge near the city center. The only surviving building in the city center after the atomic bomb blast was a domed cement building at ground zero, just a few yards from the bridge. An experimental bomb developed by the Manhattan Project had been exploded at Alamogordo, New Mexico, only a few weeks earlier. The Alamogordo bomb had the explosive force of 15,000 tons (13,607 metric tons) of TNT. The Hiroshima uranium-235 bomb, with the explosive power of 20,000 tons (18,143 metric tons) of TNT, was even more powerful than the New Mexico bomb. The immediate effect of the bomb was to destroy by blast, winds, and fire an area



**August 6th, 1945: The first of two atomic bombs was dropped on the city of Hiroshima, Japan.** (Science Source/Photo Researchers, Inc.)

of 4.4 square miles (7 km<sup>2</sup>). Two-thirds of the city was destroyed. A portion of Hiroshima was protected from the blast by hills, and this is all that remains of the old city. Destruction of human lives was caused immediately by the blast force of the bomb or by burns or radiation sickness later. The blast killed 70,000 people, and by the end of 1945 between 90,000 and 160,000 people had died. Nagasaki, to the south and west of Hiroshima, was bombed on August 9, 1945, with much loss of life. The bombing of these two cities brought World War II to a close. The lessons that Hiroshima and Nagasaki teach are the horrors of war with its random killing of civilian men, women, and children.

The reasons for bombing Hiroshima should be taken in the context of the battle for Okinawa, which occurred only weeks before. America forces suffered twelve thousand dead with thirty-six thousand wounded in the battle for that small island 350 miles (563.5 km) from the mainland of Japan. The Japanese were reported to have lost 100,000 men. The determination of the Japanese to defend their homeland was well known, and it was estimated that the invasion of Japan would cost no less than 500,000

American lives. Japanese casualties were expected to be larger. It was the military judgment of American President Harry Truman that a swift termination of the war would save more lives, both American and Japanese, than it would cost. Whether this rationale for the atomic bombing of Hiroshima was correct (i.e., whether more people would have died if Japan was invaded) will never be known. However, it certainly is a fact that the war came to a swift end after the bombing of the two cities.

The second lesson to be learned from Hiroshima is that radiation exposure is hazardous to human health and radiation damage results in radiation sickness and increased cancer risk. It had been known since the development of X-rays at the turn of the century that radiation has the potential to cause cancer. However, the thousands of survivors at Hiroshima and Nagasaki were to become the largest group ever studied for radiation damage. The Atomic Bomb Casualty Commission, now referred to as the Radiation Effects Research Foundation (RERF), was established to monitor the health effects of radiation exposure and has studied these survivors since the end of World War II. The RERF has reported a ten to fifteen times excess of all types of leukemia among the survivors compared with populations not exposed to the bomb. The leukemia excess peaked four to seven years after exposure but still persists among the survivors. All forms of cancer tended to develop more frequently in heavily irradiated individuals, especially children under the age of ten at the time of exposure. Thyroid cancer was also increased in these children survivors of the bomb.

Robert G. McKinnell

## Holistic approach

First formulated by Jan Smuts, holism has been traditionally defined as a philosophical theory that states that the determining factors in nature are wholes that are irreducible to the sum of their parts and that the evolution of the universe is the record of the activity and making of such wholes. More generally, it is the concept that wholes cannot be analyzed into parts or reduced to discrete elements without unexplainable residuals. Holism may also be defined by what it is not: It is not synonymous with organicism; holism does not require an entity to be alive or even a part of living processes. And neither is holism confined to spiritual mysticism, unaccessible to scientific methods or study.

The holistic approach in ecology and environmental science derives from the idea proposed by Harrison Brown that “a precondition for solving [complex] problems is a realization that all of them are interlocked, with the result that they cannot be solved piecemeal.” For some scholars holism is the rationale for the very existence of ecology. As David Gates notes, “the very definition of the discipline of ecology implies a holistic study.”

The holistic approach has been successfully applied to environmental management. The U.S. Forest Service, for example, has implemented a multilevel approach to management that takes into account the complexity of forest ecosystems, rather than the traditional focus on isolated incidents or problems.

Some people claim belief that a holistic approach to nature and the world will counter the effects of reductionism—excessive individualism, atomization, mechanistic worldview, objectivism, materialism, and anthropocentrism. Advocates of holism claim that its emphasis on connectivity, community, processes, networks, participation, synthesis, systems, and emergent properties will undo the ills of reductionism. Others warn that a balance between reductionism and holism is necessary. American ecologist Eugene Odum mandated that “ecology must combine holism with reductionism if applications are to benefit society.” Parts and wholes, at the macro- and micro-level, must be understood. The basic lesson of a combined and complementary parts-whole approach is that every entity is both part *and* whole—an idea reinforced by philosopher Arthur Koestler’s concept of a *holon*. A holon is any entity that is both a part of a larger system and itself a system made up of parts. It is essential to recognize that holism can include the study of *any* whole, the entirety of any individual in all its ramifications, without implying any organic analogy other than organisms themselves. A holistic approach alone, especially in its extreme form, is unrealistic, condemning scholars to an unproductive wallowing in an unmanageable complexity. Holism and reductionism are both needed for accessing and understanding an increasingly complex world.

*See also* Environmental ethics.

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Gerald L. Young

## Homeostasis

All organisms live in an environment of constant change. Survival depends on the capacity of biological systems to respond to this constant flux by maintaining a relatively stable internal environment. An example taken from mammalian biology is temperature, which appears to be *fixed* at approximately 98.6°F (37°C). Although humans can be exposed to extreme summer heat, and arctic mammals survive intense cold, body temperature remains constant within very narrow limits. Homeostasis is the sum of all the biological responses that provide internal equilibrium and assure the maintenance of conditions for survival.

Humans have a greater variety of living conditions than any other organism. The ability of humans to live and reproduce in such diverse circumstances is because of a combination of homeostatic mechanisms coupled with cultural (behavioral) responses.

The scientific concept of homeostasis emerged from the work of Claude Bernard, a French physiologist, and Walter Bradford Cannon, an American physician. Bernard contrasted the external environment that surrounds an organism and the internal environment of that organism. He was aware that the external environment fluctuated considerably in contrast to the internal environment, which remained remarkably constant. He is credited with the enunciation of the constancy of the internal environment in 1859. Bernard believed that the survival of an organism depended upon this constancy, and he observed it not only in temperature control but in the regulation of all of the systems that he studied. The concept of the stable *milieu intérieur* has been accepted and extended to the many organ systems of all higher vertebrates. This precise control of the internal environment is affected through hormones, the autonomic nervous system, endocrines, and other systems.

The term “homeostasis,” derived from the Greek *homoios* meaning similar and *stasis* meaning to stand, suggests an internal environment that remains relatively similar or the same through time. The term was devised by Cannon in 1929, who noted that, in addition to temperature, there were complex controls involving many organ systems that maintained their internal stability within narrow limits. When those limits are exceeded, there is a reaction in the opposite direction that brings the condition back to normal, and the reactions returning the system to normal are referred to as negative feedback. Both Bernard and Cannon were concerned with human physiology.

Nevertheless, the concept of homeostasis is applied to all levels of biological organization from the molecular level to ecological systems, including the entire biosphere. Engineers design self-controlling machines known as servomechanisms with feedback control by means of a sensing device, an amplifier that controls a servomotor, which in turn runs the operation of the device. Examples of such devices are the thermostats that control furnace heat in a home or the more complicated automatic pilots of aircraft. While the human-made servomechanisms have similarities to biological homeostasis, they are not considered here.

Temperature is closely regulated in humans and other homeotherms (birds and mammals). The human skin has thermal receptors sensitive to heat or cold. If cold is encountered, the receptors notify an area of the brain known as the hypothalamus via a nerve impulse. The hypothalamus has both a heat-promoting center and a heat-losing center, and, with cold, it is the former that is stimulated. Thyroid-releasing hormone, produced in the hypothalamus, causes the anterior pituitary to release thyroid stimulating hormone that, in turn, causes the thyroid gland to increase production of thyroxine, which results in increased metabolism and therefore heat. Sympathetic nerves from the hypothalamus stimulate the adrenal medulla to secrete epinephrine and norepinephrine into the blood, which also increases body metabolism and heat. Increased muscle activity will generate heat and that activity can be either voluntary (stamping the feet, for instance) or involuntary (shivering). Because heat is dissipated via body surface blood vessels, the nervous system causes surface vasoconstriction (decreased diameter of blood vessels) to decrease that heat loss. Further, the small quantity of blood that does reach the surface of the body, where it is chilled, is reheated by countercurrent heat exchange resulting from blood vessels containing cold blood from the limbs running adjacent to blood vessels from the body core, which contain warm blood. The chilled blood is prewarmed prior to returning to the body core.

The body responds with opposite results when excessive heat is encountered. The individual tends to shed unnecessary clothing, and activity is reduced to minimize metabolism. Vasodilation (increased diameter) of superficial blood vessels allows for radiation of heat. Sweat is produced, which by evaporation reduces body heat. It is clear that the maintenance of body temperature is closely controlled by a complex of homeostasis mechanisms.

Each step in temperature regulation is controlled by negative feedback. As indicated above, with exposure

to cold the hypothalamus, through a series of steps, induces the synthesis and release of thyroxine by the thyroid gland. The elevated levels of thyroxine control the level of activity of the thyroid by negative feedback inhibition of the thyroid-stimulating hormone. An appropriate level of thyroid hormone is thus maintained. In contrast, with inadequate thyroxine, more thyroid stimulating hormone is produced. Negative feedback controls assure that any particular step in homeostasis does not deviate too much from the normal.

Historically, biologists have been particularly impressed with mammalian and human homeostasis. Lower vertebrates have received less attention. However, while internal physiology may vary more in a frog than in a human, there are mechanisms that assure the survival of frogs. For instance, when the ambient temperature drops significantly in the autumn in northern latitudes, leopard frogs move into lakes or rivers that do not freeze, a behavioral response to a change in the external environment resulting in internal temperature stability. The metabolism and structure of the frog is inadequate to protect the frog from freezing, but the specific heat of the water is such that freezing does not occur except at the surface of the overwintering lake or river. Even though life at the bottom of a lake with an ice cover moves at a slower pace than during the warm summer months, a functioning circulatory system is essential for survival. In general, frog blood increases in viscosity as temperature decreases. Frog blood, however, decreases in viscosity with the prolonged autumnal and winter cold temperatures, thus assuring adequate circulation during the long nights under an ice cover. This is another control mechanism that assures the survival of frogs by maintaining a relatively stable internal environment during the harsh winter. With a return of a warm external environment, northern leopard frogs leave cold water to warm up under the spring sun. Warm temperature causes frog blood viscosity to increase to summer levels. It may be that the behavioral and physiological changes do not prevent oscillations that would be unsuitable for warm-blooded animals but, in the frog, the fluctuations do not interfere with survival.

There is homeostasis in ecological systems. Populations of animals in complex systems fluctuate in numbers, but the variations in numbers are generally between limits. For example, predators survive in adequate numbers as long as there is prey. If predators become too great in number, the population of prey will diminish. With fewer prey, the numbers of predators plummet through negative feedback thus permitting recovery of the preyed upon species. The situation

becomes much more complex when other food sources are available to the predator.

Many organisms encounter a negative feedback on growth rate with crowding. This density dependent population control has been studied in larval frogs, as well as many other organisms, where excretory products seem to specifically inhibit the crowded species but not other organisms in the same environment. Even with adequate food, high density culture of laboratory mice results in negative feedback on reproductive potential with abnormal gonad development and delayed sexual maturity. Density independent factors affecting populations are important in population control but would not be considered homeostasis. Drought is also an important factor, and its effects can be contrasted with crowding. Populations of tadpoles will drop catastrophically when breeding ponds dry. Instead of fluctuating between limits (with controls), all individuals are affected the same (i.e., they die). The area must be repopulated with immigrants at a subsequent time, and the migration can be considered a population homeostatic control. The inward migration results in maintenance of population within the geographic area and aids in the survival of the species.

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Robert G. McKinnell

## Homestead Act (1862)

The Homestead Act was signed into law in 1862. The law offered free homesteads on unappropriated public lands. Any citizen (or alien who filed a declaration of intent to become a citizen), who had reached the age of twenty-one, and was the head of a family could acquire title to a tract of public land of up to 160 acres (65 ha) after living on it and farming it for five years. The only payment required was administrative fees. The settler could also obtain the land without the

requirement of residence and cultivation for five years, against payment of \$1.25 per acre. With the advent of machinery to mechanize farm labor, 160-acre (65-ha) tracts soon became uneconomical to operate, and Congress modified the original act to allow acquisition of larger tracts. The Homestead Act is still in effect, but good unappropriated land is scarce. Only Alaska still offers opportunities for homesteaders.

The Homestead Act was designed to speed development of the United States (especially westward) and to achieve an equitable distribution of wealth. Poor settlers, who lacked the capital to buy land, were able to start their own farms. Indeed, the Act contributed greatly to the growth and development of the country, particularly in the period between the Civil War and World War I, and it did much to speed settlement west of the Mississippi River. In all, well over a quarter of a billion acres (101 million ha) of land has been distributed under the Homestead Act and its amendments. However, only a small percentage of land granted under the Act between 1862 and 1900 was in fact acquired by homesteaders. According to estimates, between one of every six acres (0.4 of every 2.4 ha) and possibly only one in nine acres (0.4 in 3.6 ha) passed into the hands of family farmers.

The railroad companies and land speculators obtained the bulk of the land, sometimes through gross fraud using dummy entrants. Moreover, the railroads often managed to get the best land, whereas the homesteaders, ignorant of farming conditions on the Plains, often ended up with tracts least suitable to farming. Speculators frequently encouraged settlement on land that was too dry or had no sources of water for domestic use. When the homesteads failed, many settlers sold the land to speculators.

The environmental consequences of the Homestead Act were many and serious. The Act facilitated railroad development, often in excess of transportation needs. In many instances, competing companies built lines to connect the same cities. Railroad development contributed significantly to the destruction of bison herds, which in turn led to the destruction of the way of life of the Plains Indians. Cultivation of the Plains caused wholesale destruction of the vast prairies, so that whole ecological systems virtually disappeared. Overfarming of semiarid lands led to another environmental disaster, whose consequences were fully experienced only in the 1930s. The great Dust Bowl, with its terrifying dust storms, made huge areas of the country unlivable.

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## Horizon

Layers in the soil develop because of the additions, losses, translocations, and transformations that take place as the soil ages. The soil layers occur as a result of water percolating through the soil and leaching substances downward. The layers are parallel to the soil surface and are called horizons. Horizons will vary from the surface to the subsoil and from one soil to the next because of the different intensities of the above processes. Soils are classified into different groups based on the characteristics of the horizons.

## Horseshoe crabs

The horseshoe crab (*Limulus polyphemus*) is the American species of a marine animal that is only a distant relation of crustaceans, such as crabs and lobsters. Horseshoe crabs are more closely related to spiders and scorpions. The crabs have been called living fossils because the genus dates back millions of years, and *Limulus* evolved very little over the years.

Fossils found in British Columbia indicate that the ancestors of horseshoe crabs were in North America about 520 million years ago. During the late twentieth century, the declining horseshoe crab population concerned environmentalists. Horseshoe crabs are a vital food source for dozens of species of birds that migrate from South America to the Arctic Circle. Furthermore, crabs are collected for medical research. After blood is taken from the crabs, they are returned to the ocean.



**A horseshoe crab (*limulus polyphemus*).** (©John M. Burnley, National Audubon Society Collection. Photo Researchers Inc.)

American horseshoe crabs live along the Atlantic Ocean coastline. Crab habitat extends south from Maine to the Yucatán in the Gulf of Mexico. Several other crab species are found in Southeast Asia and Japan.

The American crab is named for its helmet-like shell that is shaped like a horseshoe. *Limulus* has a sharp tail shaped like a spike. The tail helps the crab move through the sand. If the crab tips over, the tail serves as a rudder so the crab can get back on its feet. The horseshoe crab is unique; its blood is blue and contains copper. The blood of other animals is red and contains iron.

Mature female crabs measure up to 24 inches (61 cm) in length. Males are about two-thirds smaller. Horseshoe crabs can live for nineteen years, and they reach sexual maturity in ten years. The crabs come to shore to spawn in late May and early June. They spawn during the phases of the full and new moon. The female digs

nests in the sand and deposits from two hundred to three hundred eggs in each pit. The male crab fertilizes the eggs with sperm, and the egg clutch is covered with sand.

During the spawning season, a female crab can deposit as many as ninety thousand eggs. This spawning process coincides with the migration of shorebirds. Flocks of birds, such as the red knot and the sandpiper, eat their fill of crab eggs before continuing their northbound migration.

Through the years, people found a variety of uses for horseshoe crabs. During the sixteenth century, Native Americans in South Carolina attached the tails to the spears that they used to catch fish. In the nineteenth century, people ground the crabs up for use as fertilizer or food for chickens and hogs.

During the twentieth century, researchers learned much about the human eye by studying the horseshoe crab's compound eye. Furthermore, researchers discovered that the crab's blood contained a special clotting agent that could be used to test the purity of new drugs and intravenous solutions. The agent called *limulus amoebocyte lysate* is obtained by collecting horseshoe crabs during the spawning season. Crabs are bled and then returned to the beach.

Horseshoe crabs are also used as bait. The harvesting of crabs increased sharply during the 1990s when people in the fishing industry used crabs as bait to catch eels and conch. The annual numbers of crabs harvested jumped from the thousands to the millions during the 1990s, according to environmental groups and organizations such as the National Audubon Society.

The declining horseshoe crab population could affect millions of migrating birds.

The Audubon Society and environmental groups have campaigned for state and federal regulations to protect horseshoe crabs. By 2002, coastal states and the Atlantic States Marine Fisheries Commission had set limits on the amount of crabs that could be harvested. The state of Virginia made bait bags mandatory when fishing with horseshoe crab bait. The mesh bag made of hard plastic holds the crab. That made it more difficult for predators to eat the crab so fewer *Limulus* crabs were needed as bait.

Furthermore, the federal government created a 1,500-square-mile (3,885-square-km) refuge for horseshoe crabs in the Delaware Bay. The refuge extends from Ocean City, New Jersey, to north of Ocean City, Maryland. As of March of 2002, harvesting was banned in the refuge. People who took crabs from the area faced a fine of up to \$100,000, according to the National Marine Fisheries Service.

As measures such as those were enacted, marine biologists said that it could be several decades before the crab population increased. One reason for slow population growth was that it takes crabs ten years to reach maturity.

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Liz Swain

Hospital wastes see **Medical waste**.

## Household waste

Household waste is commonly referred to as garbage or trash. As the population of the world expands, so does the amount of waste produced. Generally, the more automated and industrialized human societies become, the more waste they produce. For example, the industrial revolution introduced new manufactured products and new manufacturing processes that added to household solid waste and industrial waste. Modern consumerism and the excess packaging of many products also contribute significantly to the increasing amount of solid waste.

The amount of household waste produced in the United States each year equates to about thousands of pounds of trash for each American. About 40 percent of this total is paper and paper products. Much of the waste comes from packaging materials. Other types of waste produced by consumers are durable goods such as tires, appliances, and furniture, while other household solid waste is made up of nondurable goods such as paper, disposable products, and clothing. Many of these items could be recycled and reused, so they also can be considered a nonutilized resource.

In less industrialized times and even today in many developing countries, households and industries disposed of unwanted materials in bodies of water or in land dumps. However, this practice creates

undesirable effects such as health hazards and foul odors. Open dumps serve as breeding grounds for disease-carrying organisms such as rats and insects. As the first world became more alert to environmental hazards, methods for waste disposal were studied and improved. Today, however, governments, policy makers, and individuals still wrestle with the problem of how to improve methods of waste disposal, storage, and recycling.

In 1976 the U.S. Congress passed the Resource Conservation and Recovery Act (RCRA) in an effort to protect human health and the environment from hazards associated with waste disposal. In addition, the Act aims to conserve energy and natural resources and to reduce the amount of waste Americans generate. Further, the RCRA promotes methods to manage waste in an environmentally sound manner. The Act covers regulation of solid waste, hazardous waste, and underground storage tanks that hold petroleum products and certain chemicals.

Most household solid waste is removed from homes through community garbage collection and then taken to landfills. The garbage in landfills is buried, but it can still produce noxious odors. In addition, rainwater can seep through landfill sites and leach out pollutants from the landfill trash. These are then carried into nearby bodies of water. Pollutants can also contaminate groundwater, which in turn leads to contamination of drinking water.

In order to fight this problem, sanitary landfills were developed. Clay or plastic liners are placed in the ground before garbage is buried. This helps prevent water from seeping out of the landfill and into the surrounding environment. In sanitary landfills, each time a certain amount of waste is added to the landfill, it is covered by a layer of soil. At a predetermined height the site is capped and covered with dirt. Grass and trees can be planted on top of the capped landfill to help prevent erosion and to improve the look of the site. Sanitary landfills are more expensive than open pit dumps, and many communities do not want the stigma of having a landfill near them. These factors make it politically difficult to open new landfills. Landfills are regulated by state and local governments and must meet minimum requirements set by the U.S. Environmental Protection Agency (EPA). Some household hazardous wastes such as paint, used motor oil, or insecticides can not be accepted at landfills and must be handled separately.

Incineration (burning) of solid waste offers an alternative to disposal in landfills. Incineration converts large amounts of solid waste to smaller amounts

of ash. The ash must still be disposed of, however, and it can contain toxic materials. Incineration releases smoke and other possible pollutants into the air. However, modern incinerators are equipped with smokestack scrubbers that are quite effective in trapping toxic emissions. Many incinerators have the added benefit of generating electricity from the trash they burn.

Composting is a viable alternative to landfills and incineration for some biodegradable solid waste. Vegetable trimmings, leaves, grass clippings, straw, horse manure, wood chippings, and similar plant materials are all biodegradable and can be composted. Compost helps the environment because it reduces the amount of waste going into landfills. Correct composting also breaks down biodegradable material into a nutrient-rich soil additive that can be used in gardens or for landscaping. In this way, nutrients vital to plants are returned to the environment. To successfully compost biodegradable wastes, the process must generate high enough temperatures to kill seeds or organisms in the composted material. If done incorrectly, compost piles can give off foul odors.

Families and communities can help reduce household waste by making some simple lifestyle changes. They can reduce solid waste by recycling, repairing rather than replacing durable goods, buying products with minimal packaging, and choosing packaging made from recycled materials. Reducing packaging material is an example of source reduction. Much of the responsibility for source reduction is with manufacturers. Businesses need to be encouraged to find smart and cost-effective ways to manufacture and package goods in order to minimize waste and reduce the toxicity of the waste created. Consumers can help by encouraging companies to create more environmentally responsible packaging through their choice of products. For example, consumers successfully pressured McDonald's to change from serving their sandwiches in nonbiodegradable Styrofoam boxes to wrapping them in biodegradable paper.

Individual households can reduce the amount of waste they send to landfills by recycling. Paper, aluminum, glass, and plastic containers are the most commonly recycled household materials. Strategies for household recycling vary from community to community. In some areas materials must be separated by type before collection. In others, the separation occurs after collection.

Recycling preserves natural resources by providing an alternative supply of raw materials to industries. It also saves energy and eliminates the emissions

of many toxic gases and water pollutants. In addition, recycling helps create jobs, stimulates development of more environmentally sound technologies, and conserves resources for future generations. For recycling to be successful, there must be an end market for goods made from recycled materials. Consumers can support recycling by buying "green" products made of recycled materials.

Composting is another alternative waste disposal option that is increasingly gaining favor. In Edmonton, Alberta, Canada, a municipal composting operation that is the size of fourteen National Hockey League rinks composts about 250,000 tons (226,800 metric tons) of household waste each year. In the United States, San Francisco (California) and Seattle (Washington) mandate the composting of food and yard waste.

Battery recycling is also becoming increasingly common in the United States and is required by law in many European countries. In 2001 a nonprofit organization called Rechargeable Battery Recycling Corporation (RBRC) began offering American communities cost-free recycling of portable rechargeable batteries such as those used in cell phones, camcorders, and laptop computers. These batteries contain cadmium, which is recycled back into other batteries or used in certain coatings or color pigments.

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HRS see **Hazard ranking system**.

## Hubbard Brook Experimental Forest

The Hubbard Brook Experimental Forest is located in West Thornton, New Hampshire. It is an experimental area established in 1955 within the White Mountains National Forest in New Hampshire's central plateau and is administered by the U.S. Forest

Service. Hubbard Brook was the site of many important ecological studies beginning in the 1960s that established the extent of nutrient losses when all the trees in a watershed are cut.

Hubbard Brook is a north temperate watershed covered with a mature forest, and it is still accumulating biomass. In one early study, vegetation cut in a section of Hubbard Brook was left to decay while nutrient losses were monitored in the runoff. Total nitrogen losses in the first year were twice the amount cycled in the system during a normal year. With the rise of nitrate in the runoff, concentrations of calcium, magnesium, sodium, and potassium rose. These increases caused eutrophication and pollution of the streams fed by this watershed. Once the higher plants had been destroyed, the soil was unable to retain nutrients.

Early evidence from the studies indicated that total losses in the ecosystem because of the clear-cutting were a large number of the total inventory of species. The site's ability to support complex living systems was reduced. The lost nutrients could accumulate again, but erosion of primary minerals would limit the number of plants and animals sustained in the area.

Another study at the Hubbard Brook site investigated the effects of forest cutting and herbicide treatment on nutrients in the forest. All of the vegetation in one of Hubbard Brook's seven watersheds was cut and then the area was treated with the herbicides. At the time the conclusions were startling: deforestation resulted in much larger runoffs into the streams. The pH of the drainage stream went from 5.1 to 4.3, along with a change in temperature and electrical conductivity of the stream water. A combination of higher nutrient concentration, higher water temperature, and greater solar radiation because of the loss of forest cover produced an algal bloom, the first sign of eutrophication. This signaled that a change in the ecosystem of the watershed had occurred. It was ultimately demonstrated at Hubbard Brook that the use of herbicides on a cut area resulted in their transfer to the outgoing water.

Hubbard Brook Experimental Forest continues to be an active research facility for foresters and biologists. Most current research focuses on water quality and nutrient exchange. The Forest Service also maintains an acid rain monitoring station, and conducts research on old-growth forests. The results from various studies done at Hubbard Brook have shown that mature forest ecosystems have a greater ability to trap

and store nutrients for recycling within the ecosystem. In addition, mature forests offer a higher degrees of biodiversity than do forests that are clear-cut.

*See also* Aquatic chemistry; Cultural eutrophication; Decline spiral; Experimental Lakes Area; Nitrogen cycle.

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Linda Rehkopf

## Hudson River

Starting at Lake Tear of the Clouds, a two-acre (0.8-ha) pond in New York's Adirondack Mountains, the Hudson River runs 315 miles (507 km) to the Battery on Manhattan Island's southern tip, where it meets the Atlantic Ocean. Although polluted and extensively dammed for hydroelectric power, the river still contains a wealth of aquatic species, including massive sea sturgeon (*Acipenser oxyrinchus*) and short-nosed sturgeon (*A. brevirostrum*). The upper Hudson is fast-flowing trout stream, but below the Adirondack Forest Preserve, pollution from municipal sources, paper companies, and industries degrades the water. Stretches of the upper Hudson contain so-called warm water fish, including northern pike (*Esox lucius*), chain pickerel (*E. niger*), smallmouth bass (*Micropterus dolomieu*), and largemouth bass (*M. salmoides*). These latter two fish swam into the Hudson through the Lake Erie and Lake Champlain canals, which were completed in the early nineteenth century.

The Catskill Mountains dominate the mid-Hudson region, which is rich in fish and wildlife, though

dairy farming, a source of runoff pollution, is strong in the region. American shad (*Alosa sapidissima*), historically the Hudson's most important commercial fish, spawn on the riverflats between Kingston and Coxsackie. Marshes in this region support snapping turtles (*Chelydra serpentina*) and, in the winter, muskrat (*Ondatra zibethicus*) and mink (*Mustela vison*). Water chestnuts (*Trapa natans*) grow luxuriantly in this section of the river.

Deep and partly bordered by mountains, the lower Hudson resembles a fiord. The unusually deep lower river makes it suitable for navigation by ocean-going vessels for 150 miles (241 km) upriver to Albany. Because the river's surface elevation does not drop between Albany and Manhattan, the tidal effects of the ocean are felt all the way upriver to the Federal Lock and Dam above Albany. These powerful tides make long stretches of the lower Hudson saline or brackish, with saltwater penetrating as high as 60 miles (97 km) upstream from the Battery.

The Hudson contains a great variety of botanical species. Over a dozen oaks thrive along its banks, including red oaks (*Quercus rubra*), black oaks (*Q. velutina*), pin oaks (*Q. palustris*), and rock chestnut (*Q. prinus*). Numerous other trees also abound, from mountain laurel (*Kalmia latifolia*) and red pine (*Pinus resinosa*) to flowering dogwood (*Cornus florida*), together with a wide variety of small herbaceous plants.

The Hudson River is comparatively short. More than eighty American rivers are longer than it, but it plays a major role in New York's economy and ecology. Pollution threats to the river have been caused by the discharge of industrial and municipal waste, as well as pesticides washed off the land by rain. From 1930 to 1975, one chemical company on the river manufactured approximately 1.4 billion pounds (635,000 kg) of polychlorinated biphenyls (PCBs), and an estimated 10 million pounds (4.5 million kg) a year entered the environment. In all, a total of 1.3 million pounds (590,000 kg) of PCB contamination allegedly occurred during the years prior to the ban, with the pollution originating from plants at Ford Edward and Hudson Falls. A ban was put in place for a time prohibiting the possession, removal, and eating of fish from the waters of the upper Hudson River. A proposed cleanup was designated, to proceed by means of a 40-mile (64.4-km) dredging and sifting of 2.65 million cubic yards (202607037 m<sup>3</sup>) of sediment north of Albany, with an anticipated yield of 75 tons (68 metric tons) of PCBs.

In February of 2001 the U.S. Environmental Protection Agency (EPA), having invoked the Superfund law, required the chemical company to begin planning

the cleanup. The company was given several weeks to present a viable plan of attack, or else face a potential \$1.5 billion fine for ignoring the directive in lieu of the cost of cleanup. The cleanup cost, estimated at \$500 million was presented as the preferred alternative. The engineering phase of the cleanup project was expected to take three years of planning and was to be scheduled after the offending company filed a response to the EPA. The company responded within the allotted time frame in order to placate the EPA, although the specifics of a drafted work plan remained undetermined, and the company refused to withdraw a lawsuit filed in November of 2000, which challenged the constitutionality of the so-called Superfund law that authorized the EPA to take action. The river meanwhile was ranked by one environmental watchdog group as the fourth most endangered in the United States, specifically because of the PCB contamination. Environmental groups demanded also that attention be paid to the issues of urban sprawl, noise, and other pollution, while proposals for potentially polluting projects were endorsed by industrialists as a means of spurring the area's economy. Among these industrial projects: the construction of a cement plant in Catskill where there is easy access to a limestone quarry; and the development of a power plant along the river in Athens, which generated controversy, stemming from the industrial asset afforded by development along the river versus the advantages of a less-fouled environment. Additionally, the power plant, which threatened to add four new smokestacks to the skyline and to aggravate pollution, was seen as potentially detrimental to tourism in that area. Also in recent decades, chlorinated hydrocarbons, dieldrin, endrin, DDT, and other pollutants have been linked to the decline in populations of the once common Jefferson salamander (*Ambystoma jeffersonianum*), fish hawk (*Pandion haliaetus*), and bald eagle (*Haliaeetus leucocephalus*).

The precipitous environmental state of the Hudson River in the 1960s prompted folk singer and activist Pete Seeger to found an organization called Hudson River Sloop Clearwater. An important facet of the groups was the construction of the sailboat Clearwater. The group's efforts helped galvanize resolve to clean up the river.

*See also* Agricultural pollution; Dams (environmental effects); Estuary; Feedlot runoff; Industrial waste treatment; Runoff.

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David Clarke

## Human ecology

Human ecology may be defined as the branch of knowledge concerned with relationships between human beings and their environments. Among the disciplines contributing seminal work in this field are sociology, anthropology, geography, economics, psychology, political science, philosophy, and the arts. Applied human ecology emerges in engineering, planning, architecture, landscape architecture, conservation, and public health. Human ecology, then, is an interdisciplinary study that applies the principles and concepts of ecology to human problems and the human condition. The notion of interaction—between human beings and the environment—is fundamental to human ecology, as it is to biological ecology.

Human ecology as an academic inquiry has disciplinary roots extending back as far as the 1920s. However, much work in the decades prior to the 1970s was narrowly drawn and was often carried out by a few individuals whose intellectual legacy remained isolated from the mainstream of their disciplines. The work done in sociology offers an exception to the latter (but not the former) rule; sociological human ecology is traced to the Chicago school and the intellectual lineage of Robert Ezra Park, his student Roderick D. Mackenzie, and Mackenzie's student Amos Hawley. Through the influence of these men and their school, human ecology, for a time, was narrowly identified with a sociological analysis of spatial patterns in urban settings (although broader questions were sometimes contemplated).

Comprehensive treatment of human ecology is first found in the work of Gerald L. Young, who pioneered the study of human ecology as an interdisciplinary field and as a conceptual framework. Young's definitive framework is founded upon four central themes. The first of these is interaction, and the other three are developed from it: levels of organization, functionalism (part-whole relationships), and holism. These four basic concepts form the foundation for a series of field derivatives (niche, community, and ecosystem) and consequent

notions (institutions, proxemics, alienation, ethics, world community, and stress or capacitance). Young's emphasis on linkages and process set his approach apart from other synthetic attempts in human ecology, which some viewed as largely cumbersome classificatory schemata. These attempts were subject to harsh criticism because they tended to embrace virtually all knowledge, resolve themselves into superficial lists and mnemonic "building blocks," and had little applicability to real-world problems.

Generally, comprehensive treatment of human ecology is more advanced in Europe than it is in the United States. A comprehensive approach to human ecology as an interdisciplinary field and conceptual framework gathered momentum in several independent centers during the 1970s and 1980s. Among these have been several college and university programs and research centers, including those at the University of Gothenburg, Sweden, and, in the United States, at Rutgers University and the University of California at Davis. Interdisciplinary programs at the undergraduate level were first offered in 1972 by the College of the Atlantic (Maine) and The Evergreen State College (Washington). The Commonwealth Human Ecology Council in the United Kingdom, the International Union of Anthropological and Ethnological Sciences' Commission on Human Ecology, the Centre for Human Ecology at the University of Edinburgh, the Institute for Human Ecology in California, and professional societies and organizations in Europe and the United States have been other centers of development for the field.

Dr. Thomas Dietz, President of the Society for Human Ecology, defined some of the priority research problems that human ecology addresses in his testimony before the U.S. House of Representatives Subcommittee on Environment and the National Academy of Sciences Committee on Environmental Research. Among these, Dietz listed global change, values, post-hoc evaluation, and science and conflict in environmental policy. Other human ecologists would include in the list such items as commons problems, carrying capacity, sustainable development, human health, ecological economics, problems of resource use and distribution, and family systems. Problems of epistemology or cognition such as environmental perception, consciousness, or paradigm change also receive attention.

*Our Common Future*, the report of the United Nation's World Commission on Environment and Development of 1987, has stimulated a new phase in the development of human ecology. A host of new

programs, plans, conferences, and agendas have been put forth, primarily to address phenomena of global change and the challenge of sustainable development. These include the *Sustainable Biosphere Initiative* published by the Ecological Society of America in 1991 and extended internationally; the United Nations Conference on Environment and Development; the proposed new United States National Institutes for the Environment; the Man and the Biosphere Program's Human-Dominated Systems Program; the report of the National Research Council Committee on Human Dimensions of Global Change and the associated National Science Foundation's Human Dimensions of Global Change Program; and green plans published by the governments of Canada, Norway, the Netherlands, the United Kingdom, and Austria. All of these programs call for an integrated, interdisciplinary approach to complex problems of human-environmental relationships. The next challenge for human ecology will be to digest and steer these new efforts and to identify the perspectives and tools they supply.

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Jeremy Pratt

## Humane Society of the United States

The largest animal protection organization in the United States, the Humane Society of the United States (HSUS) works to preserve wildlife and wilderness, save endangered species, and promote humane treatment of all animals. Formed in 1954, the HSUS specializes in education, cruelty investigations and prosecutions, wildlife and nature preservation, environmental protection, federal and state legislative activities, and other actions designed to protect animal welfare and the environment.

Major projects undertaken by the HSUS in recent years have included campaigns to stop the killing of whales, dolphins, elephants, bears, and wolves; to help reduce the number of animals used in medical research

and to improve the conditions under which they are used; to oppose the use of fur by the fashion industry; and to address the problem of pet overpopulation.

The group has worked extensively to ban the use of tuna caught in a way that kills dolphins, largely eliminating the sale of such products in the United States and Western Europe. It has tried to stop international airlines from transporting exotic birds into the United States. Other high priority projects have included banning the international trade in elephant ivory, especially imports into the United States, and securing and maintaining a general worldwide moratorium on commercial whaling.

The HSUS Companion Animals section works on a variety of issues affecting dogs, cats, birds, horses, and other animals commonly kept as pets, striving to promote responsible pet ownership, particularly the spaying and neutering of dogs and cats to reduce the tremendous overpopulation of these animals. The HSUS works closely with local shelters and humane societies across the country, providing information, training, evaluation, and consultation.

Several national and international environmental and animal protection groups are affiliated and work closely with HSUS. One of them is the Humane Society International (HSI), which works abroad to fulfill the HSUS's mission and to institute reform and educational programs that will benefit animals.

In addition, the National Association for Humane and Environmental Education is the youth education division of the HSUS, developing and producing periodicals and teaching materials designed to instill humane values in students and young people.

The Center for Respect of Life and the Environment (CRLE) works with academic institutions, scholars, religious leaders and organizations, arts groups, and others to foster an ethic of respect and compassion toward all creatures and the natural environment. Its quarterly publication, *Earth Ethics*, examines such issues as earth education, sustainable communities, ecological economics, and other values affecting the human relationship with the natural world. The Interfaith Council for the Protection of Animals and Nature (ICPAN) promotes conservation and education mainly within the religious community, attempting to make religious leaders, groups, and individuals more aware of their moral and spiritual obligations to preserve the planet and its myriad life forms.

HSUS has been quite active, hard-hitting, and effective in promoting its animal protection programs, such as leading the fight against the fur industry. It

accomplishes its goals through education, lobbying, grassroots organizing, and other traditional, legal means of influencing public opinion and government policies.

With about eleven million members or constituents and an annual revenue of over \$218 million, HSUS, headquartered in Washington, DC, is considered the largest and one of the most influential animal protection groups in the United States and, perhaps, the world.

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The Humane Society of the United States, 2100 L Street, NW, Washington, DC, USA, 20037, (202) 452-1100, <http://www.hsus.org>

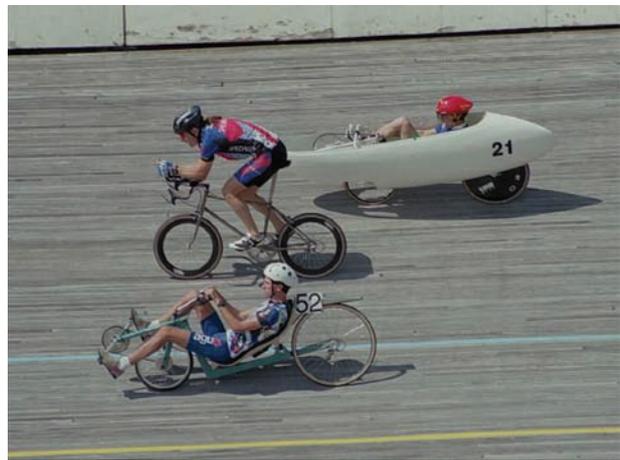
Lewis G. Regenstein

## Humanism

A perspective or doctrine that focuses primarily on the interests, capacities, and achievements of human beings. This focus on human concerns has led some to conclude that human beings have rightful dominion over the earth and that their interests and well-being are paramount and take precedence over all other considerations. *Religious humanism*, for instance, generally holds that God made human beings in His own image and put them in charge of His creation. *Secular humanism* views human beings as the source of all value or worth. Some environmentally-minded critics of humanism assert that anthropocentric (human-centered) thinking can be detrimental to other animal species and the environment.

## Human-powered vehicles

Finding easy modes of transportation seems to be a basic human need, but finding easy *and clean* modes is becoming imperative. Traffic congestion, overconsumption



**Competitors in the Human-powered Vehicles British Open Championships in Leicester, United Kingdom.** ©Nigel Farrow/Assignments Photographers/CORBIS

of fossil fuels, and air pollution are all direct results of automotive lifestyles around the world. The logical alternative is human-powered vehicles (HPVs), perhaps best exemplified in the bicycle, the most basic HPV. New high-tech developments in HPVs are not yet ready for mass production, nor are they able to compete with cars. Pedal-propelled HPVs in the air, on land, or under the sea are still in the expensive, design-and-race-for-a-prize category. But the challenge of human-powered transport has inspired a lot of inventive thinking, both amateur and professional.

Bicycles and rickshaws comprise the most basic HPVs. Of these two vehicles, bicycles are clearly the most popular, and production of these HPVs has surpassed production of automobiles in recent years. The number of bicycles in use throughout the world is roughly double that of cars; China alone contains approximately 270 million bicycles, or one-third of the total bicycles worldwide. Indeed the bicycle has overtaken the automobile as the preferred mode of transportation in many nations. There are many reasons for the popularity of the bike: It fulfills both recreational and functional needs, it is an economical alternative to automobiles, and it does not contribute to the problems facing the environment.

Although the bicycle provides a healthy and scenic form of recreation, people also find it useful in basic transportation. In the Netherlands, bicycle transportation accounts for 30 percent of work trips and 60 percent of school trips. One-third of commuting to work in Denmark is by bicycle. In China, the vast majority of all trips there are made via bicycle.

A surge in bicycle production occurred in 1973, when in conjunction with rising oil costs, production doubled to 52 million per year. Soaring fuel prices in the 1970s inspired people to find inexpensive, economical alternatives to cars, and many turned to bicycles. Besides being efficient transportation, bikes are simply cheaper to purchase and to maintain than cars. There is not a need to pay for parking or tolls, expensive upkeep is not required, and high fuel costs are eliminated.

The lack of fuel costs associated with bicycles leads to another benefit: Bicycles do not harm the environment. Cars consume fossil fuels and in so doing release more than two-thirds of the United States' smog-producing chemicals. Furthermore, they are considered responsible for many other environmental ailments: depletion of the ozone layer through release of chlorofluorocarbons (CFCs) from automobile air conditioning units; cause of cancer through toxic emissions; and consumption of the world's limited fuel resources. With human energy as their only requirement, bicycles have none of these liabilities.

Nevertheless, in many cases—such as long trips or traveling in inclement weather—cars are the preferred form of transportation. Bicycles are not the optimal choice in many situations. Thus, engineers and designers seek to improve on the bicycle and make machines suitable for transport under many different conditions. They are striving to produce new human-powered vehicles—HPVs that maximize air and sea currents, that have reasonable interior ergonomics, and that can be inexpensively produced. Several machines designed to fit this criteria exist.

As for developments in human-powered aircraft, success is judged on distance and speed, which depend on the strength of the person pedaling and the lightness of the craft. The current world record holder (for straight distance and duration) is Greek Olympic cyclist Kanellos Kanellopoulos who flew *Daedalus 88*. *Daedalus 88* was created by engineer John Langford and a team of Massachusetts Institute of Technology (MIT) engineers and funded by American corporations. Kanellopoulos flew *Daedalus 88* for three hours, fifty-three minutes, and thirty seconds across the Aegean Sea between Crete and Santorini, a distance of 74 miles (119 km), on April 23, 1988. The craft averaged 19.0 miles per hour (30.6 kph) and flew 15 feet (4.6 m) above the water. Upon arrival at Santorini, however, the sun began to heat up the black sands and generate erratic shore winds and *Daedalus 88* plunged into the sea. It was a few yards short of its goal, and the tailboom of the 70-pound (32-kg) vehicle was snapped by the wind. But to cheering crowds on

the beach, Kanellopoulos rose from the sea with a victory sign and strode to shore.

The International Human Powered Vehicle Association (IHPVA) acts as the sanctioning and regulatory body for new world records in human powered land, water, and air vehicles. Various records for HPVs are found on its Web page.

In the creation of a human-powered helicopter, students at California Polytechnic State University (in San Luis Obispo) had been working on perfecting one since 1981. In 1989 they achieved liftoff with Greg McNeil, a member of the United States National Cycling Team, pedaling an astounding 1.0 horsepower. The graphite epoxy, wood, and Mylar craft, *Da Vinci III*, rose 7 inches (17.7 cm) for 6.8 seconds. But rules for the \$10,000 Sikorsky prize, sponsored by the American Helicopter Society, stipulate that the winning craft must rise nearly 10 feet (3 m) and stay aloft one minute.

On land, recumbent vehicles, or recumbents, are wheeled vehicles in which the driver pedals in a semi-recumbent position, contained within a windowed enclosure. The world record was set in 2008 by Canadian Sam Whittingham during the World Human Powered Speed Challenge held outside of Battle Mountain, Nevada in an HPV named *Varna Diablo III*. Whittingham pedaled a peak speed of 82.3 miles per hour (132.5 kph).

Unfortunately, the realities of road travel cast a long shadow over recumbent HPVs. Crews discovered that they tended to be unstable in crosswinds, distracted other drivers and pedestrians, and lacked the speed to correct course safely in the face of oncoming cars and trucks. On July 19, 2009, *Varna Tempest* set a new IHPVA record recognized for a human powered vehicle when pedaled by Sam Whittingham, at Romeo, Michigan, to cover a distance of 56.29 miles (90.60 km) in an hour.

In the sea, being able to maneuver at one's own pace and be in control of one's their vehicle—as well as being able to beat a fast retreat undersea—are the problems faced by HPV submersible engineers. Human-powered submarines (subs) are not a new idea. The Revolutionary War (1775–1783) created a need for a bubble sub that was to plant an explosive in the belly of a British ship in New York Harbor. (The naval officer, breathing one-half hour's worth of air, failed in his night mission, but survived).

The special design problems of modern two-person HP subs involve controlling buoyancy and ballast, pitch, and yaw (to turn by angular motion about the vertical axis); reducing dra; increasing thrust; and positioning the pedaler and the propulsor in the flooded cockpit (called “wet”) in ways that

maximize air intake from scuba tanks and muscle power from arms and legs.

Depending on the design, the humans in HP-submersibles lie prone, foot to head or side by side, or sit, using their feet to pedal and their hands to control the rudder through the underwater currents. Studies by the U.S. Navy Experimental Dive Unit indicate that a well-trained athlete can sustain 0.5 horsepower for ten minutes underwater.

On the surface of the water, fin-propelled watercraft—lightweight inflatables that are powered by humans kicking with fins—are ideal for fishermen whom maneuverability, not speed, is the goal. Paddling with the legs, which does not disturb fish, leaves the hands free to cast. In most designs, the angler sits on a platform between tubes, his feet in the water. Controllability is another matter, however. In open windy water, the craft is at the mercy of the elements in its current design state. Top speed is about 50 yards (46 m) in three minutes.

Finally, over the surface of the water, the first human-powered hydrofoil, *Flying Fish*, with national track sprinter Bobby Livingston, broke a world record in September 1989 when it traveled 328 feet (100 m) over Lake Adrian, Michigan, at 18.5 miles per hour (29.8 kph). A vehicle that pedaled like a bicycle, resembled a model airplane with a two-blade propeller and a 6-foot (1.8-m) carbon graphite wing, *Flying Fish* sped across the surface of the lake on two pontoons.

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## Humus

Humus is essentially decomposed organic matter in soil. Humus can vary in color but is often dark brown. Besides containing valuable nutrients, there are many other benefits of humus: It stabilizes soil mineral particles into aggregates, improves pore space relationships and aids in air and water movement, aids in water holding capacity, and influences the absorption of hydrogen ions as a pH regulator.

## Hunting and trapping

Wild animals are a potentially renewable natural resource. This means that they can be harvested in a sustainable fashion, as long as their birthrate is greater than the rate of exploitation by humans. In the sense meant here, "harvesting" refers to the killing of wild animals as a source of meat, fur, antlers, or other useful products, or it refers to the outdoor sport. The harvesting can involve trapping, or hunting using guns, bows and arrows, or other weapons. From the ecological perspective, it is critical that the exploitation is undertaken in a sustainable fashion; otherwise, serious damages are caused to the resource and to ecosystems more generally.

Unfortunately, there have been numerous examples in which wild animals have been harvested at grossly unsustainable rates, which caused their populations to decline severely. In a few cases this caused species to become extinct—they no longer exist anywhere on earth. For example, commercial hunting in North America resulted in the extinctions of the great auk (*Pinguinnis impennis*), passenger pigeon (*Ectopistes migratorius*), and Steller's sea cow (*Hydrodamalis stelleri*). Unsustainable commercial hunting also brought other species to the brink of extinction, including the Eskimo curlew (*Numenius borealis*), northern right whale (*Eubalaena glacialis*), northern fur seal (*Callorhinus ursinus*), grey whale (*Eschrichtius robustus*), and American bison or buffalo (*Bison bison*).

Fortunately, these and many other examples of overexploitation of wild animals by humans are regrettable cases from the past. Today, the exploitation of wild animals in North America is undertaken with a view to the longer-term conservation of their stocks, that is, an attempt is made to manage the harvesting in a sustainable fashion. This means that



**Hunter using a birdcall in a blind in the Sacramento Valley, California. Hunting blinds are often located in harvested rice fields, which are then flooded to attract waterfowl. (Ron Sanford/Photo Researchers, Inc.)**

trapping and hunting are much more closely regulated than they used to be.

If harvests of wild animals are to be undertaken in a sustainable manner, it is critical that harvest levels are determined using the best available understanding of population-level productivity and stock sizes. It is also essential that trappers and hunters respect harvest quotas and that illegal exploitation (or poaching) does not compromise what might otherwise be a sustainable activity. The challenge of modern wildlife management is to ensure that good conservation science is sensibly integrated with effective monitoring and management of the rates of exploitation.

### Ethics of trapping and hunting

From a strictly ecological perspective, sustainable trapping and hunting of wild animals is no more objectionable than the prudent harvesting of timber or agricultural crops. However, people have widely divergent attitudes about the killing of wild (or domestic) animals for meat, sport, or profit. At one end of

the ethical spectrum are people who see no problem with the killing of wild animals as a source of meat or cash. At the other extreme are individuals with a profound respect for the rights of all animals, and who argue that killing any sentient creature is ethically wrong. Many of these latter people are animal-rights activists, and some of them are involved in organizations that undertake high-profile protests and other forms of advocacy to prevent or restrict trapping and hunting. In essence, these people object to the lethal exploitation of wild animals, even under closely regulated conditions that would not deplete their populations. Most people, of course, have attitudes that are intermediate to those just described.

### Trapping

The fur trade was a very important commercial activity during the initial phase of the colonization of North America by Europeans. During those times, as now, furs were a valuable commodity that could be obtained from nature and could be sold at a great

profit in urban markets. In fact, the quest for furs was the most important reason for much of the early exploration of the interior of North America, as fur traders penetrated all of the continent's great rivers seeking new sources of pelts and profit. Most fur-bearing animals are harvested by a form of hunting known as trapping.

Most trappings used to involve leg-hold traps, a relatively crude method that results in many animals enduring cruel and lingering deaths. Fortunately, other, more humane alternatives now exist in which most trapped animals are killed quickly and do not suffer unnecessarily. In large part, the movement toward more merciful trapping methods has occurred in response to effective, high-profile lobbying by organizations that oppose trapping. As a result, the trapping industry has responded by developing and using more humane methods of killing wild furbearers.

Various species of furbearers are trapped in North America, particularly in relatively remote, wild areas, such as the northern and montane forests of the continental United States, Alaska, and Canada. Among the most valuable fur-bearing species are beaver (*Castor canadensis*), muskrat (*Ondatra zibethicus*), mink (*Mustela vison*), river otter (*Enhydra lutris*), bobcat (*Lynx rufus*), lynx (*Lynx canadensis*), red fox (*Vulpes vulpes*), wolf (*Canis lupus*), and coyote (*Canis latrans*). The hides of other species are also valuable, such as black bear (*Ursus americanus*), white-tailed deer (*Odocoileus virginianus*), and moose (*Alces alces*), but these species are not hunted primarily for their pelage.

Some species of seals are hunted for their fur, although this is largely done by shooting, clubbing, or netting, rather than by trapping. The best examples of this are the harp seal (*Phoca groenlandica*) of the northwestern Atlantic Ocean and the northern fur seal (*Callorhinus ursinus*) of the Bering Sea. Many seal pups are killed by commercial hunters in the spring when their coats are still white and soft. This harvest has been highly controversial and is the subject of intense opposition from animal rights groups.

### Game mammals

Hunting is a popular sport in North America, enjoyed by about 12.5 million adults each year, most of them men. According to the U.S. Fish and Wildlife Service, in 2001, hunting contributed more than \$67 billion to the United States economy, about half of which was spent by big-game hunters.

Various species of terrestrial animals are hunted in large numbers. This is mostly done by stalking the animals and shooting them with rifles, although

shotguns and bow and arrow are sometimes used. Some hunting is done for subsistence purposes, that is, the meat of the animals is used to feed the family or friends of the hunters. Subsistence hunting is especially important in remote areas and for aboriginal hunters. Commercial or market hunts also used to be common, but these are no longer legal in North America (except under exceptional circumstances) because they have generally proven to be unsustainable. However, illegal, semicommercial hunting (or poaching) still takes place in many remote areas where game animals are relatively abundant and where there are local markets for wild meat.

In addition, many people hunt as a sport, that is, for the excitement and accomplishment of tracking and killing wild animals. In such cases, using the meat of the hunted animals may be only a secondary consideration, and in fact the hunter may only seek to retain the head, antlers, or horns of the prey as a trophy (although the meat may be kept by the hunter's guide). Big-game hunting is an economically important activity in North America, with large amounts of money being spent on the equipment, guides, and transportation necessary to undertake this sport.

The most commonly hunted big-game mammal in North America is the white-tailed deer. Other frequently hunted ungulates include the mule deer (*Odocoileus hemionus*), moose, elk or wapiti (*Cervus canadensis*), caribou (*Rangifer tarandus*), and pronghorn antelope (*Antilocapra americana*). Black bear and grizzly bear (*Ursus arctos*) are also hunted, as are bighorn sheep (*Ovis canadensis*) and mountain goat (*Oreamnos americanus*). Commonly hunted small-game species include various species of rabbits and hares, such as the cottontail rabbit (*Sylvilagus floridanus*), snowshoe hare (*Lepus americanus*), and jackrabbit (*Lepus californicus*), as well as the grey or black squirrel (*Sciurus carolinensis*) and woodchuck (*Marmota monax*). Wild boar (*Sus scrofa*) is also hunted in some regions—these are feral animals descended from escaped domestic pigs.

### Game birds

Various larger species of birds are hunted in North America for their meat and for sport. So-called upland game birds are hunted in terrestrial habitats and include ruffed grouse (*Bonasa umbellus*), willow ptarmigan (*Lagopus lagopus*), bobwhite quail (*Colinus virginianus*), wild turkey (*Meleagris gallopava*), mourning dove (*Zenaidura macroura*), and woodcock (*Philohela minor*). Several introduced species of upland game birds are also commonly hunted, particularly ring-

necked pheasant (*Phasianus colchicus*) and Hungarian or grey partridge (*Perdix perdix*).

Much larger numbers of waterfowl are hunted in North America, including millions of ducks and geese. The most commonly harvested species of waterfowl are mallard (*Anas platyrhynchos*), wood duck (*Aix sponsa*), Canada goose (*Branta canadensis*), and snow and blue goose (*Chen hyperborea*), but another thirty-five or so species in the duck family are also hunted. Other hunted waterfowl include coots (*Fulica americana*) and moorhens (*Gallinula chloropus*).

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Bill Freedman

## Hurricanes

Hurricanes are intense rotating (cyclonic) storms that form over warm tropical waters. The names for tropical cyclones vary, depending on which part of the world they are formed. In the Eastern Pacific and Atlantic oceans, these storms are called hurricanes; in the Western Pacific, they are called typhoons; and in the Indian Ocean, they are called cyclones. Other local names also exist.

Hurricanes form in a series of stages, beginning as systems of strong thunderstorms. Under certain conditions, these storm systems will become tropical depressions and then strengthen into tropical storms. Tropical storms become hurricanes when winds exceed speeds of 74 mph (120 kph).

Hurricanes remain strong only while over warm waters. Typically when hurricanes strike land and move inland, they immediately start to disintegrate. The radius of a major hurricane can be 100 miles (160 km) or greater. Major hurricanes can cause widespread destruction of property and loss of life, primarily because of storm surges—walls of seawater or the temporary rise in sea level associated with hurricanes—and to high winds along coastlines. Thunderstorms, hail, and tornados are also frequently produced by hurricanes, and major storms such as these may cause

additional flooding and destruction hundreds of miles inland from the point of initial landfall.

### Hurricane structure and movement

The center of a hurricane is called the eye. It is an area of relative calm, few clouds, higher temperatures, and it represents the center of the low-pressure system. Cyclonic circulation in hurricanes is caused by two forces acting on the air: the pressure gradient (the changes in pressure between two areas) and the Coriolis force (a manifestation of the rotation of the earth underneath atmospheric systems). Usually in association with initial intense thunderstorm formation, air rises at the center of a hurricane, creating a lower barometric pressure area. Air flows from regions of higher pressure to regions of lower pressure. This pressure gradient force creates strong winds. As the air moves toward the low-pressure center, the Coriolis force deflects the air to the right (in the Northern Hemisphere) to form a counterclockwise rotation (when viewed from above).

Atlantic hurricanes usually move from east to west near the tropics, but when they migrate toward either the North or South Pole, moving into the mid-latitudes—the areas between the tropics and the polar regions—they can get caught up in the general west to east wind flows.

### Hurricane strength

Hurricanes are currently measured on the Saffir-Simpson hurricane scale, which assigns hurricanes to categories—from Category 1 to Category 5—based upon their wind speed. A Category 1 storm has winds between 74 and 95 mph (120 and 153 kph). Hurricanes in this category generally have a low storm surge and cause minimal damage to building structures. At the other extreme, a Category 5 hurricane has top sustained winds greater than 155 mph (250 kph) and often comes ashore with a high storm surge with enormous destructive power. Although wind speed is an important indicator of strength, the storm surge is often the most damaging part of a hurricane.

### Recent major hurricanes

With specific regard to hurricanes impacting the United States, the 2004 and 2005 hurricane seasons produced four of the ten most intense Atlantic hurricanes on record. Hurricane Ivan slammed the U.S. Gulf Coast in 2004. In 2005, major hurricanes Katrina, Rita, and Wilma were among the most intense hurricanes recorded. As they crossed the warm waters of the Gulf



**Floodwaters from Hurricane Katrina pour through a levee along Inner Harbor Navigational Canal near downtown New Orleans, LA., August 30, 2005, a day after Katrina passed through the city. (AP Photo/Vincent Laforet, Pool)**

of Mexico, all four of these storms reached Category 5 on the Saffir-Simpson scale.

Hurricane Katrina, which made landfall three times during August 2005, was by far the most damaging and deadly of the 2004 and 2005 storms. Katrina caused more than \$60 billion in damage, making it the most expensive natural disaster in U.S. history. Katrina's storm surge, arriving at an already high tide, reached 30 feet (9.14 m) and was the highest ever recorded in the United States. Strong winds in excess of 140 mph (225 kph) also whipped waves on top of the surge to more than 60 feet (18 m) in height. Extensive damage ranged from Louisiana to the Florida panhandle. The approach of Katrina as a Category 5 hurricane prompted a mandatory evacuation of New Orleans, a city largely below sea level. Despite warnings, many residents remained in the city either by choice or necessity. Most of the city was flooded when heavy rains and the storm surge caused levees between New Orleans and Lake Pontchartrain to fail after the storm had passed. In September 2010, five years after the storm and subsequent flooding, estimates of the number of people killed by the storm varied from

1,800 to 3,600 people. Many bodies were unidentified or remain unclaimed. Significant storm damage is still visible along the Gulf Coast from Texas to the Florida panhandle. Repairs to about 350 miles (563 km) of levees, along with installation of a renovated pumping system, continue as of 2010. Engineers estimate completing the levee repairs and renovations before the 2011 hurricane season. Both environmental and engineering experts argue that renovation and restoration of Louisiana's wetlands, currently lost at a rate of approximately 24,000 acres (9,712 ha) per year, will be required to provide adequate protection from the strongest categories of hurricanes. Such storms normally carry a substantial storm surge that can be blunted only by wetlands and barrier islands.

In 2008 Hurricane Ike killed more than six hundred people and caused massive flooding and homelessness in the Caribbean before crossing the Gulf to strike Galveston Island off the Texas coast near Houston. Although it struck the United States as a Category 2 storm, Ike was such a large storm—with a high storm surge more characteristic of a Category 4 or

Category 5 storm—that it caused tremendous flooding and damage. Hurricane Ike became the third costliest hurricane to strike the United States behind Hurricanes Katrina and Andrew (1992).

### Global warming and hurricanes

Climate scientists and climate models vary in their predictions about the impact of global warming on hurricanes. Studies released late in 2009 suggested that global warming would result in fewer, but more intense, hurricanes. Although 2004 and 2005 produced a number of highly destructive hurricanes, there were also four years during the same decade (2000 to 2009) when no hurricane made landfall in the United States. Atlantic hurricane formation is also strongly correlated to a number of climate and weather factors that influence hurricane formation and strength. For example, El Niño events are historically associated with decreased hurricane activity in the Atlantic Ocean and Caribbean Sea.

Because of warmer water temperatures and other factors, forecasters predicted that the 2010 U.S. hurricane season, which ended on November 30, would be one of the most active seasons on record. Mathematical models estimated that fifteen to twenty named storms would develop during the 2010 season. Eight storms we predicted to reach hurricane strength, with three developing into major hurricanes. Hurricane Alex, a Category 2 storm eventually making landfall along the coast of Mexico about 100 miles (160 km) south of Brownsville, Texas, became the first Atlantic basin hurricane to form in the month of June in fifteen years.

*See also* Tornado and cyclone.

## Hutchinson, George E.

1903–1991

American ecologist

Born January 30, 1903, in Cambridge, England, Hutchinson was the son of Arthur Hutchinson, a professor of mineralogy at Cambridge University, and Evaline Demeny Shipley Hutchinson, an ardent feminist. He demonstrated an early interest in flora and fauna and a basic understanding of the scientific method. In 1918, at the age of fifteen, he wrote a letter to the *Entomological Record and Journal of Variation* about a grasshopper he had seen swimming in a pond. He described an experiment he performed on the insect and included it for taxonomic identification.

In 1924 Hutchinson earned his bachelor's degree in zoology from Emmanuel College at Cambridge University, where he was a founding member of the Biological Tea Club. He then served as an international education fellow at the Stazione Zoologica in Naples from 1925 until 1926, when he was hired as a senior lecturer at the University of Witwatersrand in Johannesburg, South Africa. He was apparently fired from this position two years later by administrators who never imagined that in 1977 the university would honor the ecologist by establishing a research laboratory in his name.

Hutchinson earned his master's degree from Emmanuel College *in absentia* in 1928 and applied to Yale University for a fellowship so he could pursue a doctoral degree. He was instead appointed to the faculty as a zoology instructor. He was promoted to assistant professor in 1931 and became an associate professor in 1941, the year he obtained his U.S. citizenship. He was made a full professor of zoology in 1945, and between 1947 and 1965 he served as director of graduate studies in zoology. Hutchinson never did receive his doctoral degree, though he amassed an impressive collection of honorary degrees during his lifetime.

Hutchinson was best known for his interest in limnology, the science of freshwater lakes and ponds. He spent most of his life writing the four-volume *Treatise on Limnology*, which he completed just months before his death. The research that led to the first volume—covering geography, physics, and chemistry—earned him a Guggenheim Fellowship in 1957. The second volume, published in 1967, covered biology and plankton. The third volume, on water plants, was published in 1975, and the fourth volume, about invertebrates, appeared posthumously in 1993.

The *Treatise on Limnology* was among the nine books, nearly one hundred and fifty research papers, and many opinion columns that Hutchinson penned. He was an influential writer whose scientific papers inspired many students to specialize in ecology. Hutchinson's greatest contribution to the science of ecology was his broad approach, which became known as the "Hutchinson school." His work encompassed disciplines as varied as biochemistry, geology, zoology, and botany. He pioneered the concept of biogeochemistry, which examines the exchange of chemicals between organisms and the environment. His studies in biogeochemistry focused on how phosphates and nitrates move from the earth to plants, then animals, and then back to the earth in a continuous cycle. His holistic approach influenced later environmentalists when they began to consider the global scope of environmental problems.

In 1957 Hutchinson published an article titled “Concluding Remarks,” considered his most inspiring and intriguing work, as part of the Cold Spring Harbor Symposia on Quantitative Biology. Here, he introduced and described the ecological niche, a concept that has been the source of much research and debate ever since. The article was one of only three in the field of ecology chosen for the 1991 collection *Classics in Theoretical Biology*.

Hutchinson won numerous major awards for his work in ecology. In 1950 he was elected to the National Academy of Science. Five years later, he earned the Leidy Medal from the Philadelphia Academy of Natural Sciences. He was awarded the Naumann Medal from the International Association of Theoretical and Applied Limnology in 1959. This is a global award, granted only once every three years, which Hutchinson earned for his contributions to the study of lakes in the first volume of his treatise. In 1962 the Ecological Society of America chose him for its Eminent Ecologist Award.

Hutchinson’s research often took him out of the country. In 1932 he joined a Yale expedition to Tibet, where he amassed a vast collection of organisms from high-altitude lakes. He wrote many scientific articles about his work in North India, and the trip also inspired his 1936 travel book, *The Clear Mirror*. Other research projects drew Hutchinson to Italy, where, in the sediment of Lago di Monterosi, a lake north of Rome, he found evidence of the first case of artificial eutrophication, dating from around 180 BC.

Hutchinson was devoted to the arts and humanities, and he counted several musicians, artists, and writers among his friends. The most prominent of his artistic friends was English author Rebecca West. He served as her literary executor, compiling a bibliography of her work, which was published in 1957. He was also the curator of a collection of her papers at Yale’s Beinecke Library. Hutchinson’s writing reflected his diverse interests. Along with his scientific works and his travel book, he also wrote an autobiography and three books of essays, *The Itinerant Ivory Tower* (1953), *The Enchanted Voyage and Other Studies* (1962), and *The Ecological Theatre and the Evolutionary Play* (1965). For twelve years, beginning in 1943, Hutchinson wrote a regular column titled “Marginalia” for the *American Scientist*. His thoughtful columns examined the impact on society of scientific issues of the day.

Hutchinson’s skill at writing, as well as his literary interests, was recognized by Yale’s literary society, the Elizabethan Club, which twice elected him president.

He was also a member of the Connecticut Academy of Arts and Sciences and served as its president in 1946.

While Hutchinson built his reputation on his research and writing, he also was considered an excellent teacher. His teaching career began with a wide range of courses including beginning biology, entomology, and vertebrate embryology. He later added limnology and other graduate courses to his areas of expertise. He was personable as well as innovative, giving his students illustrated note sheets, for example, so they could concentrate on his lectures without worrying about taking their own notes. Leading oceanographer Linsley Pond was among the students whose careers were changed by Hutchinson’s teaching. Pond enrolled in Yale’s doctoral program with the intention of becoming an experimental embryologist. But after one week in Hutchinson’s limnology class, he had decided to do his dissertation research on a pond.

Hutchinson was also active in several professional associations, including the American Academy of Arts and Sciences, the American Philosophical Society, and the National Academy of Sciences. He served as president of the American Society of Limnology and Oceanography in 1947, the American Society of Naturalists in 1958, and the International Association for Theoretical and Applied Limnology from 1962 until 1968.

Hutchinson retired from Yale as professor emeritus in 1971, but continued his writing and research for twenty more years, until just months before his death. He produced several books during this time, including the third volume of his treatise, as well as a textbook titled *An Introduction to Population Ecology* (1978), and memoirs of his early years, *The Kindly Fruits of the Earth* (1979).

He also occasionally returned to his musings on science and society, writing about several topical issues in 1983 for the *American Scientist*. Here, he examined the question of nuclear disarmament, speculating that “it may well be that total nuclear disarmament would remove a significant deterrent to all war.” In the same article, he also philosophized on differences in behavior between the sexes: “On the whole, it would seem that, in our present state of evolution, the less aggressive, more feminine traits are likely to be of greater value to us, though always endangered by more aggressive, less useful tendencies. Any such sexual difference, small as it may be, is something on which perhaps we can build.”

Several of Hutchinson’s most prestigious honors, including the Tyler Award, came during his retirement. Hutchinson earned the \$50,000 award, often called the Nobel Prize for conservation, in 1974. That same year, the National Academy of Sciences gave him the

Frederick Garner Cottrell Award for Environmental Quality. He was awarded the Franklin Medal from the Franklin Institute in 1979, the Daniel Giraud Elliot Medal from the National Academy of Sciences in 1984, and the Kyoto Prize in Basic Science from Japan in 1986. Having once rejected a National Medal of Science because it would have been bestowed on him by President Richard Nixon, he was awarded the medal posthumously by President George H.W. Bush in 1991.

Hutchinson's first marriage, to Grace Evelyn Pickford, ended with a divorce in 1933. Later that year, Hutchinson married Margaret Seal, who died in 1983 from Alzheimer's disease. Hutchinson cared for her at home during her illness. In 1985 he married Anne Twitty Goldsby, whose care enabled him to travel extensively and continue working in spite of his failing health. When she died unexpectedly in December 1990, the ailing widower returned to his British homeland. He died in London on May 17, 1991, and was buried in Cambridge.

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Cynthia Washam

## Hybrid vehicles

The roughly 255.9 million registered passenger vehicles in use in the United States, as of 2008 (according to the U.S. Bureau of Transportation Statistics [BTS]), travel over three trillion miles (5 trillion km) every year, and consume almost two-thirds of the U.S. oil supply. They also produce about two-thirds of the carbon monoxide, one-third of the lead and nitrogen oxides, and one-quarter of all volatile organic compounds (VOCs). More efficient transportation energy use could have dramatic effects on environmental quality as well as saving billions of dollars every year in U.S. payments to foreign governments (for oil and oil-related products). In response to high gasoline prices in the 1970s and early 1980s, automobile gas-mileage averages in the United States more than doubled from 13 miles per gallon (mpg) or 5.5 kilometers per liter (kpl) in 1975 to 28.8 mpg (12.2 kpl) in 1988.

Unfortunately, cheap fuel prices and the popularity of sport utility vehicles (SUVs) and light trucks in the 1990s caused fuel efficiency to slide back below where it was twenty-five years earlier. By 2002 the average mileage for U.S. cars and trucks was only 27.6 mpg (11.7 kpl). In 2008, according to the U.S. BTS, the average mileage for U.S. passenger cars was worse at 22.6 mpg (9.4 kpl). In 2009 the average mileage for U.S. light trucks fared only slightly better than cars at 23.1 mpg (9.8 kpl)—but still lower than the 2002 number. Amory B. Lovins, of the Rocky Mountain Institute in Colorado, estimated that raising the average fuel efficiency of the U.S. car and light truck fleet by one mpg (0.43 kpl) would cut oil consumption about 295,000 barrels per day. In one year, this would equal the total amount of oil reserves contained in the Arctic National Wildlife Refuge (ANWR) in Alaska.

It is not inevitable that U.S. citizens (and others in the world) will continue to consume and pollute so much. A number of alternative transportation options already are available. Of course, the lowest possible fossil fuel consumption option is to walk, skate, ride a bicycle, or engage in other forms of human-powered movement. Many people, however, want or need the comfort and speed of a motorized vehicle. Several models of battery-powered electric automobiles have been built, but the batteries are heavy, expensive, and require more frequent recharging than most customers will accept. Even though 90 percent of all daily commutes are less than 50 miles (80 km), most people want the capability to take a long road trip of several hundred miles without needing to stop for fuel or recharging.



**Toyota Prius is a hybrid electric car, powered by a hybrid synergy drive.** (Martin Shields/Photo Researchers, Inc.)

An alternative that appears to have much more customer appeal is the hybrid gas-electric vehicle. The first hybrid to be marketed in the United States was the two-seat Honda Insight. A three-cylinder, 1.0 liter gas engine is the main power source for this sleek, lightweight vehicle. A seven-horsepower (hp) electric motor helps during acceleration and hill climbing. When the small battery pack begins to run down, the gas engine recharges it so that the vehicle never needs to be plugged into an energy source. More electricity is captured during “regenerative” braking further increasing efficiency. With a streamlined lightweight plastic and aluminum body, early models of the Insight managed about 75 mpg (33.7 kpl) in highway driving and had low-enough emissions to qualify as a “super low emission vehicle.” Newer Insight models, which are larger and safer than early models, get about 43 mpg (18.3 kpl). The Insight meets the most strict air quality standards anywhere in the United States. Quick acceleration and nimble handling make the Insight fun to drive. The U.S. price for the hybrid Honda Insight, for model year 2010, ranged from an invoice price of \$18,688 to \$21,790.

Perhaps the biggest drawback to the Insight is its limited passenger and cargo capacity. Although the vast majority of all motor vehicle trips made in the United States involve only a single driver, most people want the ability to have more than one passenger or to carry several suitcases at least occasionally. To meet this need, Honda introduced a hybrid-engine version of its popular Civic line in 2002, which contains four doors and ample space for four adults plus a reasonable amount of luggage. The five-speed manual version of the Civic hybrid gets 48 mpg (20.4 kpl) in both

city and highway driving. With a history of durability and consumer satisfaction in other Honda models, and a ten-year warranty on its battery and drive train, the hybrid Civic appears to offer the security that consumers will want in adopting this new technology. With the 2011 model, the Civic Hybrid was advertised as one of the “greenest vehicles of 2010” according to the American Council for an Energy Efficient Economy (ACEEE).

Toyota also has introduced a hybrid vehicle called the Prius. Similar in size to the Honda Civic, the Prius comes in a four-door model with enough room for the average American family. During most city driving, it depends only on its quiet, emission-free electric motor. The batteries needed to drive the forty-hp are stacked up behind the back seat providing a surprisingly large trunk for luggage. The seventy-hp, 1.5 liter gas engine kicks in to help accelerate or when the batteries need recharging. Getting about 52 mpg (22 kpl) in city driving, the Prius is one of the most efficient cars on the road and can travel more than 625 miles (1,000 km) without refueling. Some drivers are unnerved by the noiseless electric motor. Sitting at a stoplight, it does not make any sound at all. One might think it was dead, but when the light changes and the brake is released, the car glides off silently and smoothly.

Introduced in Japan in 1997, the Prius sells in the United States for about the same price as the Honda hybrids. The Sierra Club estimates that in 100,000 miles (160,000 km) of average driving, a Prius will generate 27 tons (24.5 metric tons) of carbon dioxide (CO<sub>2</sub>), a Ford Taurus will generate 64 tons (58 metric tons), while the Ford Excursion SUV will produce 134 tons (121.5 metric tons). In 1999 the Sierra Club awarded both the Insight and the Prius an “excellence in engineering” award, the first time this organization has ever endorsed commercial products. Now in 2010, the Toyota Prius was awarded the top prize in WhatGreenCar.com’s U.S. Market Green Car of the Year.

There have been some marketing successes. In June 2007 Toyota reached one million worldwide hybrid sales and in the same month the Prius broke into the U.S. top-ten sales models for the first time. By 2009 most major automakers, including Ford, General Motors (GM), Honda, Toyota, Nissan, and Bavarian Motor Works (BMW), sold hybrid vehicles in the United States. By 2006 automakers moved away from the smaller vehicles offered as the initial hybrid vehicles and began offering hybrid versions of popular makes, such as the Toyota Camry, Chevrolet Tahoe, and GM Yukon.

In 2002 President George W. Bush announced he was abandoning the \$1.5 billion government-subsidized

project to develop high-mileage, gasoline-fueled vehicles. The program had started with great fanfare eight years earlier by Bill Clinton's presidential administration. Instead, Bush was throwing his support behind a plan to develop hydrogen-based fuel cells to power the automobiles of the future. Fuel cells use a semi-permeable film or electrolyte that allows the passage of charged atoms, called ions, but is impermeable to electrons to generate an electrical current between an anode and cathode.

A fuel cell runs on pure oxygen and hydrogen, and does not produce waste products except drinkable water and radiant heat. Fossil fuels can be used as the source for the hydrogen, but some pollutants are released (most commonly carbon dioxide) in the process of hydrogen generation. The fuel cells available need to be quite large to provide enough energy for a vehicle. Fuel cell-powered buses and vans that have space for a large power system are currently being tested, but a practical, family vehicle appears to be years away as of 2010.

Whether automotive experts agree or not that fuel cells offer a wonderful option for cars of the future, many environmentalists regard putting all efforts into this one project to be misguided at best. It probably will be at least another five years (as of 2010) before fuel-cell vehicles are commercially available. This prediction is especially true now that Barack Obama's presidential administration has drastically cut hydrogen research from its 2010 budget. Over \$100 million has been cut from the federal annual budget, leaving only about \$68 million for basic research in fuel cell development. However, as of the end of 2009, a group of U.S. automakers, including Daimler, Ford, GM, Honda, Hyundai, Kia, Renault, Nissan, and Toyota issued a joint statement to develop and launch fuel-cell electric vehicles as early as 2015.

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William P. Cunningham

## Hydrocarbons

Any compound composed of elemental carbon and hydrogen, hydrocarbons may also contain chlorine, oxygen, nitrogen, and other atoms. Hydrocarbons are classified according to the arrangement of carbon atoms and the types of chemical bonds. The major classes include *aromatic* or carbon ring compounds, *alkanes* (also called aliphatic or paraffin) compounds with straight or branched chains and single bonds, and *alkenes* and *alkynes* with double and triple bonds, respectively. Most hydrocarbon fuels are a mixture of many compounds. Gasoline, for example, includes several hundred hydrocarbon compounds, including paraffins, olefins, and aromatic compounds, and consequently exhibits a host of possible environmental effects. All of the fossil fuels, including crude oils and petroleum, as well as many other compounds important to industries, are

hydrocarbons. Hydrocarbons are environmentally important for several reasons. First, hydrocarbons give off greenhouse gases, especially carbon dioxide, when burned and are important contributors to smog. In addition, many aromatic hydrocarbons and hydrocarbons containing halogens are toxic or carcinogenic.

## Hydrochlorofluorocarbons

The term hydrochlorofluorocarbon (HCFC) refers to halogenated hydrocarbons that contain chlorine (Cl) and fluorine (F) in place of some hydrogen atoms in the molecule. They are chemically related to the chlorofluorocarbons (CFCs), but have less chlorine. A total of fifty-three HCFCs and CFCs are possible.

While HCFCs and CFCs have been commercially important since the mid-twentieth century, by the 1980s scientists realized the HCFCs and CFCs had a negative effect on the environment. Their growing significance has resulted from increasing concerns about the damage being done to stratospheric ozone (O<sub>3</sub>) by CFCs.

Significant production of the CFCs began in the late 1930s. At first, they were used almost exclusively as refrigerants. Gradually, they were used in other applications that developed, especially as propellants and blowing agents. By 1970, the production of CFCs was growing by more than 10 percent per year, with a worldwide production of well over 662 million pounds (300 million kg) of one family member, CFC-11.

Environmental studies began to show, however, that CFCs decompose in the upper atmosphere.

Chlorine atoms produced in this reaction attack ozone molecules, converting them to normal oxygen (O<sub>2</sub>). Because stratospheric ozone provides protection for humans against solar ultraviolet radiation, this finding was a source of great concern. In 1989, the Montreal Protocol, an international agreement to phase out the production of CFCs, went into effect. Every member nation of the United Nations has signed the Montreal Protocol.

Research to develop substitutes for CFCs began. The problem was especially severe in developing nations where CFCs are widely used in refrigeration and air-conditioning systems. Countries like China and India refused to take part in the CFC-reduction plan unless developed nations helped them switch over to an equally satisfactory substitute.

Scientists soon learned that HCFCs were a more benign alternative to the CFCs. They discovered that compounds with less chlorine than the amount present in traditional CFCs were less stable and often decomposed before they reached the stratosphere. By mid-1992, the U.S. Environmental Protection Agency (EPA) had selected eleven chemicals that they considered to be possible replacements for CFCs. Nine of those compounds are HFCs and two are HCFCs.

Both HCFCs and HFCs were developed as replacements for ozone-depleting CFCs, but it was later discovered that HCFCs also contribute to ozone depletion. CFCs, HCFCs, and HFCs all contribute to climate change. These compounds can also break down in the atmosphere into the exceptionally stable compounds such as trifluoroacetic acid (TFA) (C<sub>2</sub>HF<sub>3</sub>O<sub>2</sub>), which have the potential to accumulate in surface waters. Computer models have shown that nearly all of the proposed substitutes will have at least some slight



**HFC-23**

**HCFC-123**

**HCFC-133b**

**HCFC-151a**

**Hydrochlorofluorocarbons.** (Reproduced by permission of Gale, a part of Cengage Learning)

effect on the ozone layer or the greenhouse effect. In fact, the British government considered banning one possible substitute for CFCs, HCFC-22, almost as soon as the compound was developed. In addition, HCFC-123, was found to be carcinogenic in rats. In 1992, amendments to the Montreal Protocol, which currently has 191 participating countries, initiated the phaseout of HCFCs as part of the U.S. Clean Air Act (CAA) because of their ability to break down ozone and contribute to global warming. As of 2020, HCFC-22 will no longer be produced, but existing HCFC-22 can be used and recycled for maintenance of existing systems. In 2007 an international agreement accelerated the complete elimination of HCFCs, although developing nations were given until 2030 to attain this. Countries, such as the United States and China, who had previously resisted these changes agreed with the accelerated phaseout. The EPA lists HFC-134a and a blend of HFCs termed HFC-410a as substitutes for banned refrigerants.

See also Aerosol; Air pollution; Air pollution control; Air quality; Carcinogen; Ozone layer depletion; Pollution; Pollution control.

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David E. Newton

## Hydrogen

The lightest of all chemical elements, hydrogen has a density about one-fourteenth that of air. It has a number of special chemical and physical properties.

For example, hydrogen has the second-lowest boiling and freezing points of all elements. The combustion of hydrogen produces large quantities of heat, with water as the only waste product. From an environmental standpoint, this fact makes hydrogen a highly desirable fuel. Energy experts predict that hydrogen-based energy technologies will replace some of the energy currently produced by fossil fuel technologies.

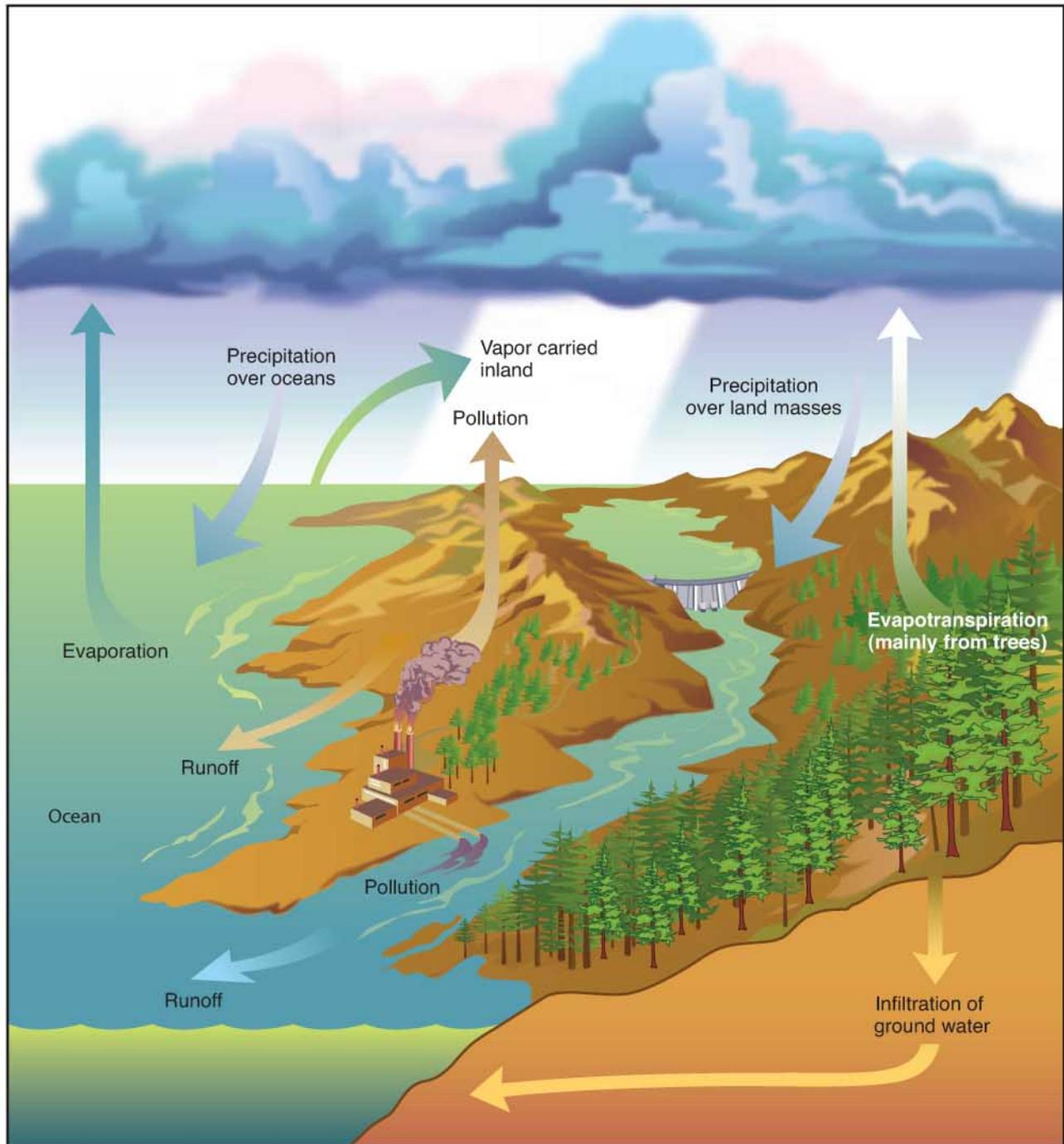
## Hydrogeology

Sometimes called groundwater hydrology or geohydrology, this branch of hydrology is concerned with the relationship of subsurface water and geologic materials. Of primary interest is the saturated zone of subsurface water, called groundwater, which occurs in rock formations and in unconsolidated materials such as sands and gravels. Groundwater is studied in terms of its occurrence, amount, flow, and quality. Historically, much of the work in hydrogeology centered on finding sources of groundwater to supply water for drinking, irrigation, and municipal uses. More recently, groundwater contamination by pesticides, chemical fertilizers, toxic wastes, and petroleum and chemical spills have become new areas of concern for hydrogeologists.

## Hydrologic cycle

The natural circulation of water on earth is called the hydrologic cycle. Water cycles from bodies of water, via evaporation to the atmosphere, and eventually returns to the oceans as precipitation, runoff from streams and rivers, and groundwater flow. Water molecules are transformed from liquid to vapor and back to liquid within this cycle. On land, water evaporates from the soil or is taken up by plant roots and eventually transpired into the atmosphere through plant leaves; the sum of evaporation and transpiration is called evapotranspiration.

Water is recycled continuously. The molecules of water in a glass used to quench your thirst today, at some point in time may have dissolved minerals deep in the earth as groundwater flow, fallen as rain in a tropical typhoon, been transpired by a tropical plant, been temporarily stored in a mountain glacier, or quenched the thirst of people thousands of years ago.



**The hydrologic or water cycle.** (Reproduced by permission of Gale, a part of Cengage Learning)

The hydrologic cycle has no real beginning or end but is a circulation of water that is sustained by solar energy and influenced by the force of gravity. Because the supply of water on earth is fixed, there is no net gain or loss of water over time. On an average annual basis, global evaporation must equal global

precipitation. Likewise, for any body of land or water, changes in storage must equal the total inflow minus the total outflow of water. This is the hydrologic or water balance.

At any point in time, water on earth is either in active circulation or in storage. Water is stored in

icecaps, soil, groundwater, the oceans, and other bodies of water. Much of this water is only temporarily stored. The residence time of water storage in the atmosphere is several days and is only about 0.04 percent of the total freshwater on earth. For rivers and streams, residence time is weeks; for lakes and reservoirs, several years; for groundwater, hundreds to thousands of years; for oceans, thousands of years; and for icecaps, tens of thousands of years. As the driving force of the hydrologic cycle, solar radiation provides the energy necessary to evaporate water from the earth's surface, almost three-quarters of which is covered by water. Nearly 86 percent of global precipitation originates from ocean evaporation. Energy consumed by the conversion of liquid water to vapor cools the temperature of the evaporating surface. This same energy, the latent heat of vaporization, is released when water vapor changes back to liquid. In this way, the hydrologic cycle globally redistributes heat energy as well as water.

Once in the atmosphere, water moves in response to weather circulation patterns and is transported often great distances from where it was evaporated. In this way, the hydrologic cycle governs the distribution of precipitation and, hence, the availability of fresh water over the earth's surface. About 10 percent of atmospheric water falls as precipitation each day and is simultaneously replaced by evaporation. This 10 percent is unevenly distributed over the earth's surface and, to a large extent, determines the types of ecosystems that exist at any location on earth and likewise governs much of the human activity that occurs on the land.

The earliest civilizations on earth settled in close proximity to fresh water. Subsequently, and for centuries, humans have been striving to correct, or cope with, this uneven distribution of water. Historically, we have extracted stored water or developed new storages in areas of excess, or during periods of excess precipitation, so that water could be available where and when it is most needed.

Understanding processes of the hydrologic cycle can help one develop solutions to water problems. For example, it is known that precipitation occurs unevenly over the earth's surface because of many complex factors that trigger precipitation. For precipitation to occur, moisture must be available and the atmosphere must become cooled to the dew point, the temperature at which air becomes saturated with water vapor. This cooling of the atmosphere occurs along storm fronts or in areas where moist air masses move into mountain ranges and are pushed up into colder air. However, atmospheric particles must be present for the moisture to condense upon, and water droplets must coalesce until they are large enough to fall to the earth under the influence of gravity.

Recognizing the factors that cause precipitation has resulted in efforts to create conditions favorable for precipitation over land surfaces via cloud seeding. Limited success has been achieved by seeding clouds with particles, thus promoting the condensation-coalescence process. Precipitation has not always increased with cloud seeding and questions of whether cloud seeding limits precipitation in other downwind areas is of both economic and environmental concern.

Parts of the world have abundant moisture in the atmosphere, but it occurs as fog because the mechanisms needed to transform this moisture into precipitation do not exist. In dry coastal areas, for example, some areas have no measurable precipitation for years, but fog is prevalent. By placing huge sheets of plastic mesh along coastal areas, fog is intercepted, condenses on the sheets, and provides sufficient drinking water to supply small villages.

Total rainfall alone does not necessarily indicate water abundance or scarcity. The magnitude of evapotranspiration compared to precipitation determines to some extent whether water is abundant or in short supply. On a continent basis, evapotranspiration represents from 56–80 percent of annual precipitation. For individual watersheds within continents, these percentages are more extreme and point to the importance of evapotranspiration in the hydrologic cycle.

Weather circulation patterns responsible for water shortages in some parts of the world are also responsible for excessive precipitation, floods, and related catastrophes in other parts of the world. Precipitation that falls on land, but that is not stored, evaporated, or transpired, becomes excess water. This excess water eventually reaches groundwater, streams, lakes, or the ocean by surface and subsurface flow. If the soil surface is impervious or compacted, water flows over the land surface and reaches stream channels quickly. When surface flow exceeds a channel's capacity, flash flooding is the result. Excessive precipitation can saturate soils and cause flooding no matter what the pathway of flow. For example, in August 2010 there were catastrophic floods and mudslides in the Gansu Province of China that resulted in nearly 1,800 fatalities, along with extensive property damage. Geologists and land management specialists argue that the effects of heavy rainfall were exacerbated by the removal of natural forest cover and various development programs by the Chinese government, including nearby hydroelectric power projects.

Although floods and mudslides occur naturally, many of the pathways of water flow that contribute to such occurrences can be influenced by human activity. Any time vegetative cover is severely reduced and soil

exposed to direct rainfall, surface water flow and soil erosion can degrade watershed systems and their aquatic ecosystems.

The implications of global warming or greenhouse effects on the hydrologic cycle raise several questions. The possible changes in frequency and occurrence of droughts and floods are of major concern, particularly given projections of population growth. Global warming can result in some areas becoming drier, whereas others may experience higher precipitation. Globally, increased temperature will increase evaporation from oceans and ultimately result in more precipitation. The pattern of precipitation changes over the earth's surface, however, cannot be fully predicted at the present time.

The hydrologic cycle influences nutrient cycling of ecosystems, processes of soil erosion and transport of sediment, and the transport of pollutants. Water is an excellent liquid solvent; minerals, salts, and nutrients become dissolved and transported by water flow. The hydrologic cycle is an important driving mechanism of nutrient cycling. As a transporting agent, water moves minerals and nutrients to plant roots. As plants die and decay, water leaches out nutrients and carries them downstream. The physical action of rainfall on soil surfaces and the forces of running water can seriously erode soils and transport sediments downstream. Any minerals, nutrients, and pollutants within the soil are likewise transported by water flow into groundwater, streams, lakes, or estuaries.

Atmospheric moisture transports and deposits atmospheric pollutants, including those responsible for acid rain. Sulfur and nitrogen oxides are added to the atmosphere by the burning of fossil fuels. Being an excellent solvent, water in the atmosphere forms acidic compounds that become transported via the atmosphere and deposited great distances from their original site. Atmospheric pollutants and acid rain have damaged freshwater lakes in the Scandinavian countries and terrestrial vegetation in Eastern Europe. Once pollutants enter the atmosphere and become subject to the hydrologic cycle, problems of acid rain have little chance for resolution. However, programs that reduce atmospheric emissions in the first place provide some hope.

An improved understanding of the hydrologic cycle is needed to better manage water resources and the environment. Opportunities exist to improve the global environment, but better knowledge of human impacts on the hydrologic cycle is needed to avoid unwanted environmental effects.

*See also* Estuary; Leaching.

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Kenneth N. Brooks

## Hydrology

The science and study of water, including its physical and chemical properties and its occurrence on earth. Most commonly, hydrology encompasses the study of the amount, distribution, circulation, timing, and quality of water. It includes the study of rainfall, snow accumulation and melt, water movement over and through the soil, the flow of water in saturated, underground geologic materials (groundwater), the flow of water in channels (called streamflow), evaporation and

transpiration, and the physical, chemical, and biological characteristics of water. Solving problems concerned with water excesses, flooding, water shortages, and water pollution are in the domain of hydrologists. With increasing concern about water pollution and its effects on humans and on aquatic ecosystems, the practice of hydrology has expanded into the study and management of chemical and biological characteristics of water.

## Hydroponics

Hydroponics is the practice of growing plants in water as opposed to soil. It comes from the Greek terms *hydro* (“water”) and *ponos* (“labor”), implying “water working.” The essential macro- and micro-nutrients (i.e., trace nutrients) needed by the plants are supplied in the water.

Hydroponic methods have been used for more than 2,000 years, dating back to the Hanging Gardens of Babylon. More recently, they have been used by plant physiologists to discover which nutrients are essential for plant growth. Unlike soil, where nutrient levels are unknown and variable, precise amounts and kinds of minerals can be added to deionized water, and removed individually, to find out their role in plant growth and development. During World War II hydroponics was used to grow vegetable crops by U.S. troops stationed on some Pacific islands.

Hydroponics is becoming a more popular alternative to conventional agriculture in locations with low or inaccessible sources of water or where land available for farming is scarce. For example, islands and desert areas such as the American Southwest and the Middle East are prime regions for hydroponics. Plants are typically grown in greenhouses to prevent water loss. Even in temperate areas where fresh water is readily available, hydroponics can be used to grow crops in greenhouses during the winter months.

Two methods are traditionally used in hydroponics. The original technique is the water method, where plants are supported from a wire mesh or similar framework so that the roots hang into troughs that receive continuous supplies of nutrients. A recent modification is a nutrient-film technique (NFT), also called the nutrient-flow method, where the trough is lined with plastic. Water flows continuously over the roots, decreasing the stagnant boundary layer surrounding each root, and thus enhances nutrient uptake. This provides a versatile, lightweight, and inexpensive system. In the second method,

plants are supported in a growing medium such as sterile sand, gravel, crushed volcanic rock, vermiculite, perlite, sawdust, peatmoss, or rice hulls. The nutrient solution is supplied from overhead or underneath holding tanks either continuously or semi-continuously using a drip method. The nutrient solution is usually not reused.

On some Caribbean Islands such as St. Croix, hydroponics is being used in conjunction with intensive fish farms (e.g., tilapia), which use recirculated water (a practice that is more recently known as aquaponics). This is a “win-win” situation because the nitrogenous wastes, which are toxic to the fish, are passed through large greenhouses with hydroponically-grown plants such as lettuce. The plants remove the nutrients and the water is returned to the fish tanks. There is a sensitive balance between stocking density of fish and lettuce production. Too high a ratio of lettuce plants to fish results in lower lettuce production because of nutrient limitation. Too low a ratio also results in low vegetable production, but this time as a result of the buildup of toxic chemicals. The optimum yield came from a ratio of 1.9 lettuce plants to 1.0 fish. One pound (0.45 kg) of feed per day was appropriate to feed 33 pounds (15 kg) of tilapia fingerlings, which sustained 189 lettuce plants and produced nearly thirty-three hundred heads of lettuce annually. When integrated systems (fish-hydroponic recirculating units) are compared to separate production systems, the results clearly favor the former. The combined costs and chemical requirements of the separate production systems was nearly two to three times greater than that of the recirculating system to produce the same amount of lettuce and fish. However, there are some drawbacks that must be considered: disease outbreaks in plants or fish; the need to critically maintain proper nutrient (especially trace element), plant, and fish levels; uncertainties in fish and market prices; and the need for highly-skilled labor. The integrated method can be adapted to grow other types of vegetables such as strawberries, ornamental plants such as roses, and other types of animals such as shellfish. Some teachers have even incorporated this technique into their classrooms to illustrate ecological as well as botanical and culture principles.

Some proponents of hydroponic gardening make fairly optimistic claims and state that a sophisticated unit is no more expensive than an equivalent parcel of farmed land. They also argue that hydroponic units (commonly called “hydroponicums”) require less attention than terrestrial agriculture. What follows are some examples of different types of successful hydroponicums: a person in the desert area of southern California has used the NFT system for over eighteen years and grows his plants void of substrate in water contained in open cement troughs that cover 3

acres (7.5 ha); a hydroponicum in Orlando, Florida, utilizes the Japanese system of planting seedlings on styrofoam boards that float on the surface of a nutrient bath that is constantly aerated; an outfit in Queens, New York, uses the Israeli Ein-Gedi system, which allows plant roots to hang free inside a tube that is sprayed regularly with a nutrient solution, yielding 150,000 pounds (68,000 kg) of tomatoes, 100,000 pounds (45,500 kg) of cucumbers, and one million heads of lettuce per acre (0.4 ha) each year; and finally, a farmer in Blooming Prairie, Minnesota, uses the NFT system in a greenhouse to grow Bibb and leafy lettuce year-round so he can sell his produce to area hospitals, some supermarkets, and a few produce warehouses.

Most people involved in hydroponics agree that the main disadvantage is the high cost for labor, lighting, water, and energy. Root fungal infections can also be easily spread. Advantages include the ability to grow crops in arid regions or where land is at a premium; more controlled conditions, such as the ability to grow plants indoors, and thus minimize pests and weeds; greater planting densities; and constant supply of nutrients.

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John Korstad

## Hydrothermal vents

Hydrothermal vents are hot springs located on the ocean floor. The vents spew out water heated by magma, molten rock from below the earth's crust. Water temperatures of higher than 660°F (349 C) have been recorded at some vents.

Water flowing from vents contains minerals such as iron, copper, and zinc. The minerals fall like rain and settle on the ocean floor. Over time, the mineral deposits build up and form a chimney around the vent.

The first hydrothermal vents were discovered in 1977 by scientists aboard the submersible *Alvin*. The scientists found the vents near the Galápagos Islands in the eastern Pacific Ocean. Other vents were soon discovered in the Pacific, Atlantic, and Indian oceans.

Hydrothermal vents are located at ocean depths of 8,200 to 10,000 feet (2,500 m to 3,048 m). The area near a hydrothermal vent is home to unique animals. They exist without sunlight and live in mineral-rich levels that would poison animals living on land. These unique animals include 10-foot-long (3 m) tube worms, 1-foot-long (30.5 cm) clams, and shrimp. Extremophiles are also commonly found near hydrothermal vents. Extremophiles are bacteria that are able to exist and thrive in environments that are extremely harsh (e.g., in terms of attributes such as temperature, acidity, available light, etc.) in comparison with those environments usually considered as being hospitable to bacterial growth.

Liz Swain

Hypolimnion, Lakes see **Great Lakes**.



IAEA see **International Atomic Energy Agency**.

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## Ice age

In general use, the term “ice age” usually refers to the Pleistocene epoch, the most recent occurrence of continental glaciation. Beginning several million years ago in Antarctica, it is marked by at least four major advances and retreats (excluding Antarctica). Ice ages occur during times when temperature and humidity patterns shift to favor more ice accumulation than ice loss by melting, evaporation, and sublimation. Earth’s history contains multiple glaciation events. Alternating glacial and interglacial stages are best explained by a combination of Earth’s orbital cycles and changes in carbon dioxide levels. These cycles operate on time scales of tens of millennia. By contrast, global warming projections of glacial change involve periods of time ranging from decades to centuries.

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## Ice age refugia

The series of ice ages that occurred between 2.4 million and 10,000 years ago had a dramatic effect on the climate and the life forms in the tropics. During each glacial period the tropics became both cooler and drier, turning some areas of tropical rain forest into dry seasonal forest or savanna. For reasons associated with local topography, geography, and climate, some areas of forest escaped the dry periods and acted as refuges (refugia) for forest biota. During subsequent interglacials, when humid conditions returned to the tropics, the forests expanded and were repopulated by plants and animals from the species-rich refugia.

Ice age refugia today correspond to present day areas of tropical forest that typically receive a high rainfall and often contain unusually large numbers of species, including a high proportion of endemic species. These species-rich refugia are surrounded by relatively species-poor areas of forest. Refugia are also centers of distribution for obligate forest species (such as the gorilla [*Gorilla gorilla*]) with a present day narrow and disjunct distribution best explained by invoking past episodes of deforestation and reforestation. The location and extent of the forest refugia have been mapped in both Africa and South America. In the African rain forests there are four main centers of species richness and endemism recognized for mammals, birds, reptiles, amphibians, butterflies, freshwater crabs, and flowering plants. These centers are in Upper Guinea, Cameroon, and Gabon, and the eastern rim of the Democratic Republic of the Congo basin. In the Amazon Basin more than twenty refugia have been identified for different groups of animals and plants in Peru, Columbia, Venezuela, and Brazil.

The precise effect of the ice ages on biodiversity in tropical rain forests is currently a matter of debate. Some have argued that the repeated fluctuations between humid and arid phases created opportunities for the rapid evolution of certain forest organisms. Others have argued the opposite—that the climatic fluctuations resulted in a net loss of species diversity through an increase in the extinction rate. It has also been suggested that refugia owe their species richness not to past climate changes but to other underlying causes such as a favorable local climate or soil.

The discovery of centers of high biodiversity and endemism within the tropical rain forest biome has profound implications for conservation biology. A “refuge rationale” has been proposed by conservationists, whereby ice age refugia are given high priority for preservation, since this would save the largest number of species (including many unnamed, threatened, and endangered species) from extinction.

Since refugia survived the past dry-climate phases, they have traditionally supplied the plants and animals for the restocking of the new-growth forests when wet conditions returned. Modern deforestation patterns, however, do not take into account forest history or biodiversity, and both forest refugia and more recent forests are being destroyed equally. For the first time in millions of years, future tropical forests that survive the present mass deforestation episode could have no species-rich centers from which they can be restocked.

*See also* Biotic community; Deciduous forest; Desertification; Ecosystem; Environment; Mass extinction.

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Neil Cumberlidge

## Impervious material

As used in hydrology, this term refers to rock and soil material that occurs at the earth's surface or within the subsurface that does not permit water to enter or move through them in any perceptible amounts. These materials normally have small-sized pores or have pores that have become clogged (sealed), which severely restrict water entry and movement. At the ground surface, rock outcrops, road surfaces, or soil surfaces that have been severely compacted would be considered impervious. These areas shed rainfall easily, causing overland flow or surface runoff which pick up and transport soil particles and cause excessive soil erosion. Soils or geologic strata beneath the earth's surface are considered impervious, or impermeable, if the size of the pores is small and/or if the pores are not connected.

## Improvement cutting

Removal of physically distorted (e.g., crooked and forked) trees or diseased trees from a forest in which tree diameters are 5 inches (13 cm) or larger. In forests where

trees are smaller, the same process is called cleaning or weeding. Both have the objective of improving species composition, stem quality and/or growth rate of the forest. Straight, healthy, vigorous trees of the desired species are favored. By discriminating against certain tree species and eliminating trees with cavities or insect problems, improvement cuts can reduce the variety of habitats and thereby diminish biodiversity. An improvement cut is often an initial step to prepare a neglected or unmanaged stand for future harvest.

*See also* Clear-cutting; Forest management; Selection cutting.

## Inbreeding

Inbreeding occurs when closely related individuals mate with one another. Inbreeding may happen in a small population or due to other isolating factors; the consequence is that little new genetic information is added to the gene pool. Thus recessive, deleterious alleles become more plentiful and evident in the population. Manifestations of inbreeding are known as *inbreeding depression*. A general loss of fitness often results and may cause high infant mortality, lower birth weights, fecundity, and longevity. Inbreeding depression is a major concern when attempting to protect small populations from extinction.

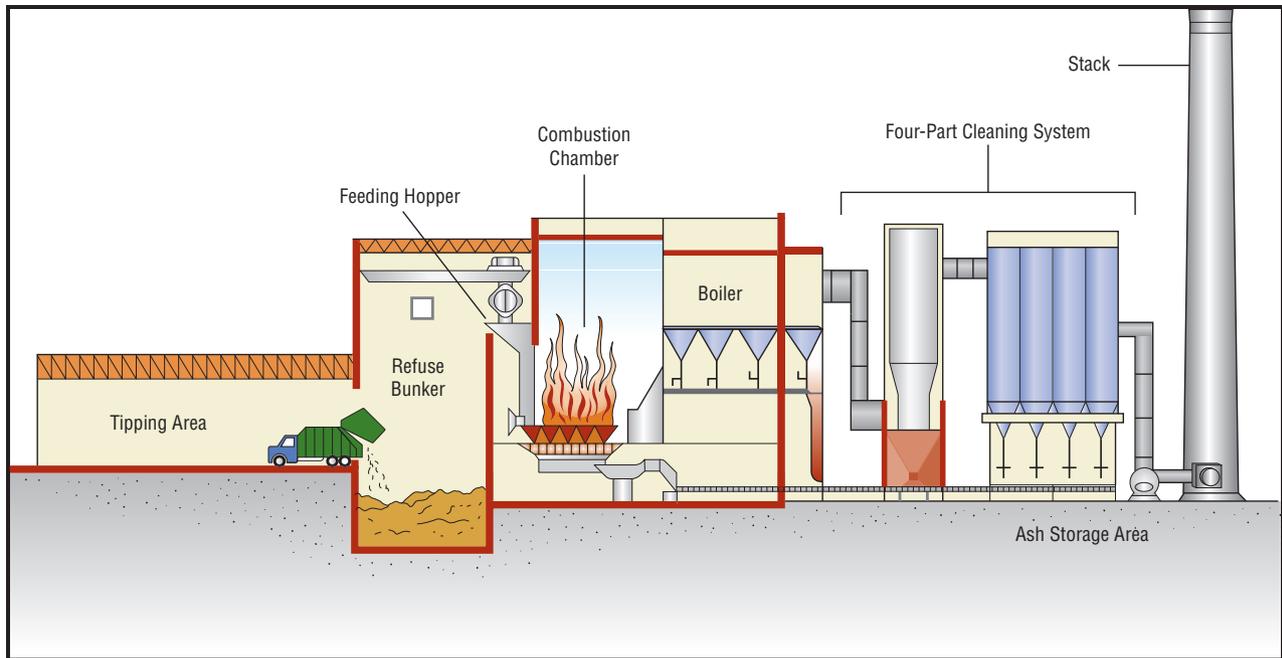
Incidental catch *see* **Bycatch**.

## Incineration

As a method of waste management, incineration refers to the burning of waste. It helps reduce the volume of landfill material and can render toxic substances non-hazardous, provided certain strict guidelines are followed. There are two basic types of incineration: municipal and hazardous waste incineration.

### Municipal waste incineration

The process of incineration involves the combination of organic compounds in solid wastes with oxygen at high temperature to convert them to ash and gaseous products. A municipal incinerator consists of a series of unit operations that include a loading area under slightly negative pressure to avoid the escape of odors,



**Diagram of a municipal incinerator.** (Reproduced by permission of Gale, a part of Cengage Learning)

a refuse bin that is loaded by a grappling bucket, a charging hopper leading to an inclined feeder and a furnace of varying type—usually of a horizontal burning grate type—a combustion chamber equipped with a bottom ash and clinker discharge, followed by a gas flue system to an expansion chamber. If byproduct steam is to be produced either for heating or power generation purposes, then the downstream flue system includes heat exchanger tubing as well. After the heat has been exchanged, the flue gas proceeds to a series of gas cleanup systems which neutralizes the acid gases (sulfur dioxide and hydrochloric acid, the latter resulting from burning chlorinated plastic products), followed by gas scrubbers and then solid/gas separation systems such as baghouses before dischargement to tall stacks. The stack system contains a variety of sensing and control devices to enable the furnace to operate at maximum efficiency consistent with minimal particulate emissions. A continuous log of monitoring systems is also required for compliance with county and state environmental quality regulations.

There are several products from a municipal incinerator system: items that are removed before combustion such as large metal pieces; grate or bottom ash (which is usually water-sprayed after removal from the furnace for safe storage); fly (or top ash), which is removed from the flue system generally mixed with products from the acid neutralization process; and

finally the flue gases that are expelled into the environment. If the system is operating optimally, the flue gases will meet emission requirements, and the heavy metals from the wastes will be concentrated in the fly ash. (Typically these heavy metals, which originate from volatile metallic constituents, are lead and arsenic.) The fly ash typically is then stored in a suitable landfill to avoid future problems of leaching of heavy metals. Some municipal systems blend the bottom ash with the top ash in the plant in order to reduce the level of heavy metals by dilution. This practice is undesirable from an ultimate environmental viewpoint.

There are advantages to municipal waste incineration. The waste volume is reduced to a small fraction of the original. Reduction is rapid and does not require semi-infinite residence times in a landfill. For a large metropolitan area, waste can be incinerated on site, minimizing transportation costs. The ash residue is generally sterile, although it may require special disposal methods. By use of gas clean-up equipment, discharges of flue gases to the environment can meet stringent requirements and be readily monitored. Incinerators are much more compact than landfills and can have minimal odor and vermin problems if properly designed. Finally, some of the costs of operation can be reduced by heat-recovery techniques such as the sale of steam to municipalities or electrical energy generation.

There are disadvantages to municipal waste incineration as well. Generally, the implementation cost is high and is escalating as emission standards change. For example, an incineration plant proposed for Durham, Ontario, Canada, will, if approved, cost an estimated \$275 million to build and require about \$15 million annually to keep it running. Permitting requirements are becoming increasingly more difficult to obtain. Supplemental fuel may be required to burn municipal wastes, especially if yard waste is not removed prior to collection. Certain items such as mercury-containing batteries can produce emissions of mercury that the gas cleanup system may not be designed to remove. Continuous skilled operation and close maintenance of process control is required, especially since stack monitoring equipment reports any failure of the equipment which could result in mandated shut down. Certain materials are not burnable and must be removed at the source. Traffic to and from the incinerator can be a problem unless timing and routing are carefully managed. The incinerator, like a landfill, also has a limited life, although its lifetime can be increased by capital expenditures. Finally, incinerators also require landfills for the ash. The ash usually contains heavy metals and must be placed in a specially designed landfill to avoid leaching.

Incineration of municipal waste is controversial. For example, as of April 2010, an incineration-based power plant near Toronto, Ontario, was approved by the provincial government. The plan was actively opposed by those who would be nearby the plant and those downwind. Opposition centered around the possible health hazards of the emissions if inhaled and when toxic particulates settle on soil or water.

### Hazardous waste incineration

For the incineration of hazardous waste, a greater degree of control, higher temperatures, and a more rigorous monitoring system are required. An incinerator burning hazardous waste must be designed, constructed, and maintained to meet Resource Conservation and Recovery Act (RCRA) standards. An incinerator burning hazardous waste must achieve a destruction and removal efficiency of at least 99.99 percent for each principal organic hazardous constituent. For certain listed constituents such as polychlorinated biphenyl (PCB), mass air emissions from an incinerator are required to be greater than 99.9999 percent. The Toxic Substances Control Act requires certain standards for the incineration of PCBs. For example, the flow of PCB to the incinerator must stop automatically whenever the combustion temperature drops below the specified value; there must be continuous monitoring of the stack for a list of emissions; and scrubbers must be used for hydrochloric acid control.

Recently medical wastes have been treated by steam sterilization, followed by incineration with treatment of the flue gases with activated carbon for maximum absorption of organic constituents. The latter system was installed at the Mayo Clinic in Rochester, Minnesota, as a model medical disposal system.

*See also* Fugitive emissions; Solid waste incineration; Solid waste volume reduction; Stack emissions.

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Malcolm T. Hepworth

Incineration, solid waste see **Solid waste incineration**.

## Indicator organism

Indicator organisms, sometimes called bioindicators, are plant or animal species known to be either particularly tolerant or particularly sensitive to pollution. The health of an organism can often be associated with a specific type or intensity of pollution, and its presence can then be used to indicate polluted conditions relative to unimpacted conditions.

Tubificid worms are an example of organisms that can indicate pollution. Tubificid worms live in the bottom sediments of streams and lakes, and they are highly tolerant of sewage. In a river polluted by wastewater discharge from a sewage treatment plant, it is common to see a large increase in the number of tubificid worms in stream sediments immediately downstream. Upstream of the discharge, the number of tubificid worms are often much lower or almost absent, reflecting cleaner conditions. The number of tubificid worms also decreases downstream, as the discharge is diluted.

Pollution-intolerant organisms can also be used to indicate polluted conditions. The larvae of mayflies live in stream sediments and are known to be particularly sensitive to pollution. In a river receiving wastewater

discharge, mayflies will show the opposite pattern of tubificid worms. The mayfly larvae are normally present in large numbers above the discharge point; they decrease or disappear at the discharge point and reappear farther downstream as the effects of the discharge are diluted.

Similar examples of indicator organisms can be found among plants, fish, and other biological groups. Giant reedgrass (*Phragmites australis*) is a common marsh plant that is typically indicative of disturbed conditions in wetlands. Among fish, disturbed conditions may be indicated by the disappearance of sensitive species like trout, which require clear, cold waters to thrive.

The usefulness of indicator organisms is limited. Although their presence or absence provides a reliable general picture of polluted conditions, they are often little help in identifying the exact sources of pollution. In the sediments of New York Harbor, for example, pollution-tolerant insect larvae are overwhelmingly dominant. However, it is impossible to attribute the large larval populations to just one of the sources of pollution there, which include ship traffic, sewage and industrial discharge, and storm runoff.

The U.S. Environmental Protection Agency (EPA) is working diligently to find reliable predictors of aquatic ecosystem health using indicator species. The EPA has developed standards for the usefulness of species as ecological indicator organisms. A potential indicator species for use in evaluating watershed health must successfully pass four phases of evaluation. First, a potential indicator organism should provide information that is relevant to societal concerns about the environment, not simply academically interesting information. Second, use of a potential indicator organism should be feasible. Logistics, sampling costs, and timeframe for information gathering are legitimate considerations in deciding whether an organism is a potential indicator species or not. Third, enough must be known about a potential species before it may be effectively used as an indicator organism. Sufficient knowledge regarding the natural variations to environmental flux should exist before incorporating a species as a true watershed indicator species. Lastly, the EPA has set a fourth criterion for evaluation of indicator species. A useful indicator should provide information that is easily interpreted by policy makers and the public, in addition to scientists.

Additionally, in an effort to make indicator species information more reliable, the creation of indicator species indices are being investigated. An index is a formula or ratio of one amount to another that is used to measure relative change. The major advantage of developing an indicator organism index that is somewhat universal to all aquatic environments is that it can be tested using

statistics. Using mathematical statistical methods, it may be determined whether a significant change in an index value has occurred. Furthermore, statistical methods allow for a certain level of confidence that the measured values represent what is actually happening in nature. For example, a study was conducted to evaluate the utility of diatoms (a kind of microscopic aquatic algae) as an index of aquatic system health. Diatoms meet all four criteria mentioned earlier, and various species are found in both fresh and salt water. An index was created that was calculated using various measurable characteristics of diatoms that could then be evaluated statistically over time and among varying sites. It was determined that the diatom index was sensitive enough to reliably reflect three categories of the health of an aquatic ecosystem. The diatom index showed that values obtained from areas impacted by human activities had greater variability over time than diatom indices obtained from less disturbed locations. Many such indices are being developed using different species, and multiple species in an effort to create reliable information from indicator organisms. As more is learned about the physiology and life history of indicator organisms and their individual responses to different types of pollution, it may be possible to draw more specific conclusions.

*See also* Algal bloom; Nitrogen cycle; Water pollution.

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Terry Watkins

## Indigenous peoples

Cultural or ethnic groups living in an area where their culture developed or where their people have existed for many generations. Most of the world's indigenous

peoples live in remote forests, mountains, deserts, or arctic tundra, where modern technology, trade, and cultural influence are slow to penetrate. Many had much larger territories historically but have retreated to, or been forced into, small, remote areas by the advance of more powerful groups. Indigenous groups, also sometimes known as native or tribal peoples, are usually recognized in comparison to a country's dominant cultural group. In the United States the dominant, non-indigenous cultural groups speak English, have historic roots in Europe, and maintain strong economic, technological, and communication ties with Europe, Asia, and other parts of the world. Indigenous groups in the United States, on the other hand, include scores of groups, from the southern Seminole and Cherokee to the Inuit and Yupik peoples of the Arctic coast. These groups speak hundreds of different languages or dialects, some of which have been on their continent for thousands of years. Their traditional economies were based mainly on small-scale subsistence gathering, hunting, fishing, and farming. Many indigenous peoples around the world continue to engage in these ancient economic practices.

It is often difficult to distinguish who is and who is not indigenous. European-Americans and Asian-Americans are usually not considered indigenous even if they have been in the United States for many generations. This is because their cultural roots connect to other regions. On the other hand, a German residing in Germany is also not usually spoken of as indigenous, even though by any strict definition she or he *is* indigenous. This is because the term is customarily reserved to denote economic or political minorities—groups that are relatively powerless within the countries where they live.

Historically, indigenous peoples have suffered great losses in both population and territory to the spread of larger, more technologically advanced groups, especially (but not only) Europeans. Hundreds of indigenous cultures have disappeared entirely just in the past century. In recent decades, however, indigenous groups have begun to receive greater international recognition, and they have begun to learn effective means to defend their lands and interests—including attracting international media attention and suing their own governments in court. The main reason for this increased attention and success may be that scientists and economic development organizations have recently become interested in biological diversity and in the loss of world rain forests. The survival of indigenous peoples, of the world's forests, and of the world's gene pools are now understood to be deeply interdependent. Indigenous peoples, who know and depend on some of the world's most endangered and

biologically diverse ecosystems, are increasingly looked on as a unique source of information, and their subsistence economies are beginning to look like admirable alternatives to large-scale logging, mining, and conversion of jungles to monocrop agriculture.

There are probably between 4,000 and 5,000 different indigenous groups in the world; they can be found on every continent (except Antarctica) and in nearly every country. The total population of indigenous peoples amounts to between 200 million and 600 million (depending upon how groups are identified and their populations counted) out of a world population just over 6.69 billion. Some groups number in the millions; others comprise only a few dozen people. Despite their worldwide distribution, indigenous groups are especially concentrated in a number of “cultural diversity hot spots,” including Indonesia, India, Papua New Guinea, Australia, Mexico, Brazil, Democratic Republic of the Congo, Cameroon, and Nigeria. Each of these countries has scores, or even hundreds, of different language groups. Neighboring valleys in Papua New Guinea often contain distinct cultural groups with unrelated languages and religions. These regions are also recognized for their unusual biological diversity.

Industrial economies involved in international trade consume tremendous amounts of land, wood, water, and minerals. Indigenous groups tend to rely on intact ecosystems and on a tremendous variety of plant and animal species. Indigenous lands hold some of the richest remaining reserves of natural resources worldwide. Frequently state governments claim all timber, mineral, water, and land rights in areas traditionally occupied by tribal groups. In Indonesia, Malaysia, Burma (Myanmar), China, Brazil, Democratic Republic of the Congo Cameroon, and many other important cultural diversity regions, timber and mining concessions are frequently sold to large or international companies that can quickly and efficiently destroy an ecological area and its people.

Many indigenous communities, including various Arctic peoples, support international policy measures to reduce pollution, curb greenhouse gas emissions, and combat global climate change. Arctic indigenous leaders have spoken about the impact of warmer winters and less dense ice packs on life in the Arctic region. These indigenous groups assert that climate change threatens not only their local ecosystem, but also their way of life.

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Mary Ann Cunningham

## Indonesian forest fires

For several months in 1997 and 1998, a thick pall of smoke covered much of Southeast Asia. Thousands of forest fires, burning simultaneously on the Indonesian islands of Kalimantan (Borneo) and Sumatra, are thought to have destroyed about 8,000 square miles (20,000 sq km) of primary forest, or an area about the size of New Jersey. The smoke generated by these fires spread over eight countries and 75 million people,

covering an area larger than Europe. Hazy skies and the smell of burning forests could be detected in Hong Kong, nearly 2,000 miles (3,200 km) away. The air quality in Singapore and the city of Kuala Lumpur, Malaysia, just across the Strait of Malacca from Indonesia, was worse than any industrial region in the world. In towns such as Palembang, Sumatra, and Banjarmasin, Kalimantan, in the heart of the fires, the air pollution index frequently passed 800, twice the level classified in the United States as an air quality emergency that is hazardous to human health. People drove their automobiles with the headlights on, even at noon. They groped along smoke-darkened streets unable to see or breathe normally. The damage from the fires in 1997 and 1998 is estimated at about \$9 billion, affecting tourism, environment, health, and other activities.

At least 20 million people in Indonesia and Malaysia were treated for illnesses such as bronchitis, eye irritation, asthma, emphysema, and cardiovascular diseases. It is thought that three times that many who could not afford medical care went uncounted. The



A firefighter battles a blaze in the sub-district of Ilir Barat outside Palembang city, South Sumatra, in September 2006. (© STRINGER/INDONESIA/Reuters/Corbis)

number of extra deaths from this months-long episode is unknown, but it seems likely to have been hundreds of thousands, mostly elderly or very young children. Unable to see through the thick haze, several boats collided in the busy Straits of Malacca, and a plane crashed on Sumatra, killing 234 passengers. Cancelled airline flights, aborted tourist plans, lost workdays, medical bills, and ruined crops are estimated to have cost countries in the afflicted area several billion dollars. Wildlife suffered as well. In addition to the loss of habitat destroyed by fires, breathing the noxious smoke was as hard on wild species as it was on people. At the Pangkalanbuun Conservation Reserve, weak and disoriented orangutans were found suffering from respiratory diseases much like those of humans.

Geographical isolation on the 16,000 islands of the Indonesian archipelago has allowed evolution of the world's richest collection of biodiversity. Indonesia has the second largest expanse of tropical forest and the highest number of endemic species anywhere. This fact makes destruction of Indonesian plants, animals, and their habitat of special concern. The dry season in tropical Southeast Asia has probably always been a time of burning vegetation and smoky skies. Farmers practicing traditional slash-and-burn agriculture start fires each year to prepare for the next growing season. Because they generally burn only a hectare or two at a time, however, these shifting cultivators often help preserve plant and animal species by opening up space for early successional forest stages. Globalization and the advent of large, commercial plantations, however, have changed agricultural dynamics. There is now economic incentive for clearing huge tracts of forestland to plant oil palms, export foods such as pineapples and sugar cane, and fast-growing eucalyptus trees. Fire is viewed as the only practical way to remove biomass and convert wild forest into domesticated land. Although it can cost the equivalent of \$200 to clear a hectare of forest with chainsaws and bulldozers, dropping a lighted match into dry underbrush is essentially free.

In 1997 to 1998, the Indonesian forest was unusually dry. A powerful El Niño/Southern Oscillation (ENSO) weather pattern caused the most severe droughts in fifty years. Forests that ordinarily stay green and moist even during the rainless season became tinder dry. Lightning strikes are thought to have started many forest fires, but many people took advantage of the drought for their own purposes. Although the government blamed traditional farmers for setting most of the fires, environmental groups claimed that the biggest fires were caused by large agribusiness conglomerates with close ties to the government and military. Some of these fires were set to

cover up evidence of illegal logging operations. Others were started to make way for huge oil-palm plantations and fast-growing pulpwood trees.

Neil Byron of the Center for International Forestry Research was quoted as saying that "fire crews would go into an area and put out the fire, then come back four days later and find it burning again, and a guy standing there with a petrol can." According to the World Wildlife Fund (WWF), thirty-seven plantations in Sumatra and Kalimantan were responsible for a vast majority of the forest burned on those islands. The plantation owners were politically connected to the ruling elite, however, and none of them was ever punished for violation of national forest protection laws. Indonesia has some of the strongest land-use management laws of any country in the world, but these laws are rarely enforced. In theory, more than 80 percent of its land is in some form of protected status, either set aside as national parks or classified as selective logging reserves where only a few trees per hectare can be cut. The government claims to have an ambitious reforestation program that replants nearly 1.6 million acres (1 million hectares) of harvested forest annually, but when four times that amount is burned in a single year, there is not much to be done but turn it over to plantation owners for use as agricultural land.

Aquatic life, also, is damaged by these forest fires. Indonesia, Malaysia, and the Philippines have some of the richest coral reef complexes in the world. More than 150 species of coral live in this area, compared with only about thirty species in the Caribbean. The clear water and fantastic biodiversity of Indonesia's reefs have made it an ultimate destination for scuba divers and snorkelers from around the world. However, soil eroded from burned forests clouds coastal waters and smothers reefs.

Perhaps one of the worst effects of large tropical forest fires is that they may tend to be self-reinforcing. Moist tropical forests store huge amounts of carbon in their standing biomass. When this carbon is converted into carbon dioxide (CO<sub>2</sub>) by fire and released to the atmosphere, it acts as a greenhouse gas to trap heat and cause climate change. All the effects of human-caused global climate change are still unknown, but stronger climatic events such as severe droughts may make further fires even more likely. Alarmed by the magnitude of the Southeast Asia fires and the potential they represent for biodiversity losses and global climate change, world leaders have proposed plans for international intervention to prevent recurrence. Fears about imposing on national sovereignty, however, have made it difficult to come up with a plan for how to cope with this growing threat.

The fires have continued each year since 1997. In 2002 the Association of Southeast Asian Nations (ASEAN) countries signed an agreement termed the ASEAN Agreement on Transboundary Haze Pollution. This agreement focuses on the countries taking measures to control haze and preventing the haze from affecting other countries. Despite the agreement, the fires and haze continue each year during the dry season, with burning being particularly rampant in 2006. As of 2010, Indonesia had not ratified the agreement, meaning that the control provisions are not binding. Even with attempts at regional agreements over the fires, the problems persist. The haze in Southeast Asia seems almost an annual event, and 2008 was no different, when in April the growing number of forest fires from farming activities in Sumatra created concern in Malaysia.

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William P. Cunningham

## Indoor air quality

An assessment of air quality in buildings and homes based on physical and chemical monitoring of contaminants, physiological measurements, or psychosocial perceptions. Indoor air quality measures can also refer to the air in types of transportation such as airplanes, trains, buses, and passenger cars. Factors contributing to the quality of indoor air include lighting, ergonomics, thermal comfort, tobacco smoke, noise, ventilation, and psychosocial or work-organizational factors such as employee stress and satisfaction. Sick building syndrome (SBS) and building-related illness (BRI) are responses to indoor air pollution commonly described

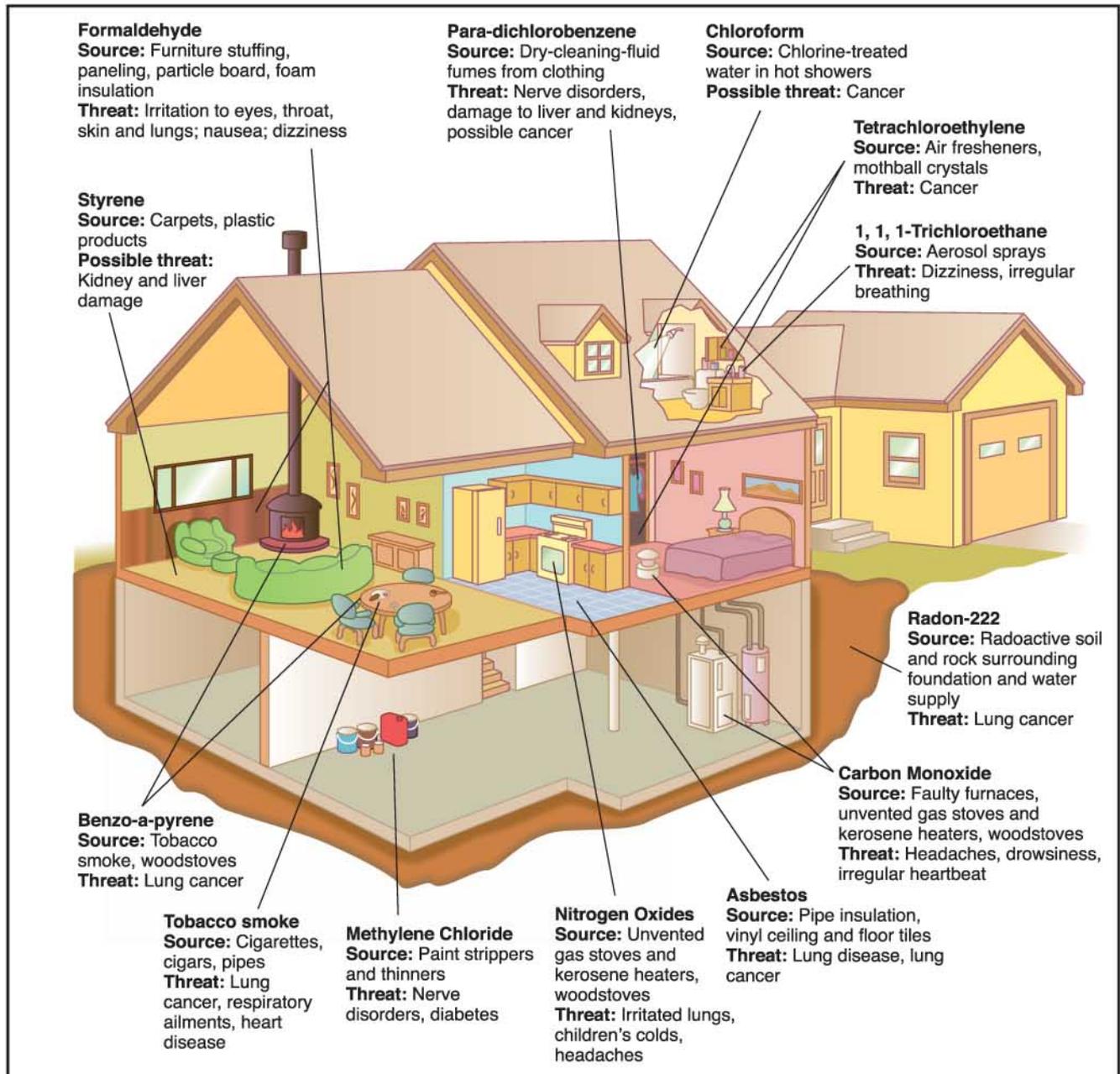
by office workers. Most symptoms are nonspecific; they progressively worsen during the week, occur more frequently in the afternoon, and disappear on the weekend.

Poor indoor air quality (IAQ) in industrial settings such as factories, coal mines, and foundries has long been recognized as a health risk to workers and has been regulated by the U.S. Occupational Safety and Health Administration (OSHA). The contaminant levels in industrial settings can be hundreds or thousands of times higher than the levels found in homes and offices. Nonetheless, IAQ in homes and offices has become an environmental priority in many countries, and federal IAQ legislation has been introduced in the U.S. Congress for the past several years. However, none has yet passed, and currently the U.S. Environmental Protection Agency (EPA) has no enforcement authority in this area. The EPA and the National Institute for Occupational Safety and Health (NIOSH) have developed a Building Air Quality Action plan with guidelines for improving and maintaining IAQ in public and commercial buildings.

### Importance of IAQ

The prominence of IAQ issues has risen in part due to well-publicized incidents involving outbreaks of Legionnaires' disease, Pontiac fever, SBS, multiple chemical sensitivity, and asbestos mitigation in public buildings such as schools. Legionnaire's disease, for example, caused twenty-nine deaths in 1976 in a Philadelphia hotel due to infestation of the building's air conditioning system by a bacterium termed *Legionella pneumophila*. This microbe affects the gastrointestinal tract, kidneys, and central nervous system. It also causes the non-fatal Pontiac fever.

IAQ is important to the general public for several reasons. First, individuals typically spend the vast majority of their time—80–90 percent—indoors. Second, an emphasis on energy conservation measures, such as reducing air exchange rates in ventilation systems and using more energy efficient but synthetic materials, has increased levels of air contaminants in offices and homes. These newer buildings are more airtight and have fewer cracks and openings so minimal fresh air enters such buildings. Low ventilation and exchange rates can result in increased indoor levels of carbon monoxide (CO), nitrogen oxides (NO<sub>x</sub>), ozone (O<sub>3</sub>), volatile organic compounds, bio-aerosols, and pesticides and maintain high levels of second-hand tobacco smoke generated inside the building. Thus, many contaminants are found indoors at levels that greatly exceed outdoor levels. Third, an increasing number of synthetic chemicals—found in



Some major indoor air pollutants. (Wadsworth Inc.)

building materials, furnishing, cleaning and hygiene products—are used indoors. Fourth, studies show that exposure to indoor contaminants such as radon (Rn), asbestos, and tobacco smoke pose significant health risks. Fifth, poor IAQ is thought to adversely affect child development and lower productivity in the adult population. Demands for IAQ investigations of problem buildings have increased rapidly in recent years, and a large fraction of buildings are known or suspected to have IAQ problems.

### Indoor contaminants

Indoor air contains many contaminants at varying but generally low concentration levels. Common contaminants include radon and radon progeny from the entry of soil gas and groundwater; from concrete and other mineral-based building materials; tobacco smoke from cigarette and pipe smoking; formaldehyde from polyurethane foam insulation and building materials; volatile organic compounds (VOCs) emitted from

binders and resins in carpets, furniture, or building materials, as well as VOCs used in dry cleaning processes and as propellants and constituents of personal use and cleaning products, such as hair sprays and polishes; pesticides and insecticides; carbon monoxide, nitrogen oxides, and other combustion products from gas stoves, appliances, and vehicles; asbestos from high temperature insulation; and biological contaminants including viruses, bacteria, molds, pollen, dust mites, and indoor and outdoor biota. Many or most of these contaminants are present at low levels in all indoor environments. High humidity and temperatures can result in increased concentration of some pollutants.

The quality of indoor air can change rapidly over time and from room to room. There are many diverse sources that emit various physical and chemical forms of contaminants. Some releases are slow and continuous, such as out-gassing associated with building and furniture materials, while others are nearly instantaneous, like the use of cleaners and aerosols. Many building surfaces demonstrate significant interactions with contaminants in the form of sorption-desorption processes. Building-specific variation in air exchange rates, mixing, filtration, building and furniture surfaces, and other factors alter dispersion mechanisms and contaminant lifetimes. Most buildings employ filters that can remove particles and aerosols. Filtration systems do not effectively remove very small particles and have no effect on gases, vapors, and odors.

Outdoor air enters indoor spaces via three different methods termed infiltration, natural ventilation, and mechanical ventilation. In infiltration, air enters through cracks and openings around windows, flooring, doors, and walls. Natural ventilation is achieved by opening windows and doors. Mechanical ventilation can be accomplished through the use of outdoor-vented fans or air handling systems that utilize fans and ducts to remove air from inside and to introduce outdoor air inside. By removing indoor air and replacing it with outdoor air, it is possible to remove contaminants or pollutants that have built up inside. Ventilation and air exchange units designed into the heating and cooling systems of buildings are designed to diminish levels of these contaminants by dilution. In most buildings, however, ventilation systems are turned off at night after working hours, leading to an increase in contaminants through the night. Though operation and maintenance issues are estimated to cause the bulk of IAQ problems, deficiencies in the design of the heating, ventilating, and air conditioning (HVAC) system can cause problems as well. For example, locating a building's fresh air intake

near a truck loading dock will bring diesel fumes and other noxious contaminants into the building.

### Health impacts

Exposures to indoor contaminants can cause a variety of health problems. Depending on the pollutant and exposure, health problems related to IAQ may include non-malignant respiratory effects, including mucous membrane irritation, allergic reactions, and asthma; cardiovascular effects; infectious diseases such as Legionnaires' disease; immunologic diseases such as hypersensitivity pneumonitis; skin irritations; malignancies; neuropsychiatric effects; and other non-specific systemic effects such as lethargy, headache, and nausea. In addition indoor air contaminants such as radon, formaldehyde (CH<sub>2</sub>O), asbestos, and other chemicals are suspected or known carcinogens. There is also growing concern over the possible effects of low level exposures on suppressing reproductive and growth capabilities and impacting the immune, endocrine, and nervous systems.

### Solving IAQ problems

Acute IAQ problems can be greatly eliminated by identifying, evaluating, and controlling sources of contaminants. IAQ control strategies include use of higher ventilation and air exchange rates, and the use of lower emission and more benign constituents in building and consumer products (including product use restriction regulations), air cleaning and filtering, and improved building practices in new construction. According to the EPA, the maximum acceptable amount of radon in a building is four picocuries per liter of air (pCi/L). Radon may be reduced by inexpensive sub-slab ventilation systems, which consist of a system of pipes and fans that originates below the home to collect the radon and vent it to the outside air. Installation of a heat recovery ventilator (HRV) can increase ventilation to decrease radon levels. There are also systems that pump air inside to increase the air pressure in the lower levels of a building preventing radon from entering. New buildings could implement a day of bake-out, which heats the building to temperatures over 90°F (32°C) to drive out volatile organic compounds. Filters to remove ozone, organic compounds, and sulfur (S) gases may be used to condition incoming and recirculated air. Copy machines and other emission sources should have special ventilation systems. Building designers, operators, contractors, maintenance personnel, and occupants are recognizing that healthy buildings result from combined and continued efforts to control emission sources, provide adequate ventilation and air cleaning, and good maintenance of building

systems. Efforts toward this direction will greatly enhance IAQ.

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Stuart Batterman

## Industrial waste treatment

Industrial waste treatment refers to the treatment of waste generated during industrial processes. The treatment is intended to produce a product that is safe to release to the environment or to be reused.

Many different types of solid, liquid, and gaseous wastes are discharged by industries. Most industrial waste is recycled, treated and discharged, or placed in a landfill. There is no one means of managing industrial wastes because the nature of the wastes varies widely from one industry to another. One company might generate a waste that can be treated readily and discharged to the environment (direct discharge) or to a sewer in which case final treatment might be accomplished at a municipal treatment plant. Treatment at the company before discharge to a sewer is referred to

as pretreatment. Another company might generate a waste that is regarded as hazardous and therefore requires special management procedures related to storage, transportation, and final disposal. Such wastes may need a special facility, which can be the responsibility of the particular company.

The pertinent legislation governing to what extent wastewaters need to be treated before discharge is the 1972 Clean Water Act (CWA). Major amendments to the CWA were passed in 1977 and 1987. The Environmental Protection Agency (EPA) was also charged with the responsibility of regulating the priority pollutants under the CWA. The CWA specifies that toxic and non-conventional pollutants are to be treated with the best technology that is available. Gaseous pollutants are regulated under the Clean Air Act (CAA), enacted in 1970 and amended in 1977 and 1990. An important part of the CAA consists of measures to attain and maintain nationwide standards of air quality. According to the legislation, hazardous air pollutant emissions are to be controlled as best as is possible with the available technology.

In 1990 the United States, through the Pollution Prevention Act, adopted a program designed to reduce the volume and toxicity of waste discharges. Pollution prevention (P2) strategies might involve changing process equipment or chemistry, developing new processes, eliminating products, minimizing wastes, recycling water or chemicals, trading wastes with another company, etc. In 1991 the EPA instituted the 33/50 program, which was to result in an overall 33 percent reduction of seventeen high priority pollutants by 1992 and a 50 percent reduction of the pollutants by 1995. Both goals were surpassed. Not only has this program been successful, but it sets an important precedent because the participating companies volunteered. Additionally, P2 efforts have led industries to rigorously think through product life cycles. A Life Cycle Analysis (LCA) starts with consideration for acquiring raw materials, moves through the stages related to processing, assembly, service and reuse, and ends with retirement/disposal. The LCA therefore reveals to industry the costs and problems versus the benefits for every stage in the life of a product.

In designing a waste management program for an industry, one must think first in terms of P2 opportunities, identify and characterize the various solid, liquid and gaseous waste streams, consider relevant legislation, and then design an appropriate waste management system. Treatment systems that rely on physical (e.g., settling, floatation, screening, sorption, membrane technologies, air stripping) and chemical (e.g., coagulation, precipitation, chemical oxidation and reduction, pH adjustment) operations are referred to as physicochemical, whereas systems in which

microbes are cultured to metabolize waste constituents are known as biological processes (e.g., activated sludge, trickling filters, biotowers, aerated lagoons, anaerobic digestion, aerobic digestion, composting). Oftentimes, both physicochemical and biological systems are used to treat solid and liquid waste streams. Biological systems might be used to treat certain gas streams, but most waste gas streams are treated physicochemically (e.g., cyclones, electrostatic precipitators, scrubbers, bag filters, thermal methods). Solids and the sludges or residuals that result from treating the liquid and gaseous waste streams are also treated by means of physical, chemical, and biological methods.

In many cases, the systems used to treat wastes from domestic sources are also used to treat industrial wastes. For example, municipal wastewaters often consist of both domestic and industrial waste. The local publicly owned treatment works (POTW) therefore may be treating both types of wastes. To avoid potential problems caused by the input of industrial wastes, municipalities commonly have pretreatment programs that require industrial wastes to be discharged to the sewer meet certain standards. The standards generally include limits for various toxic agents such as metals, organic matter measured in terms of biochemical oxygen demand or chemical oxygen demand, nutrients such as nitrogen and phosphorus, and pH and other contaminants that are recognized as having the potential to have an impact on the performance of the POTW. At the other end of the spectrum, there are wastes that need to be segregated and managed separately in special systems. For example, an industry might generate a hazardous waste that needs to be placed in barrels and transported to an EPA-approved treatment, storage or disposal facility.

It is not possible to simply use one type of treatment for all industrial waste streams, but an effective, generic strategy has been developed in recent years for considering the waste management options available to an industry. The basis for the strategy is to look for P2 opportunities and to consider the life cycle of a product. An awareness of waste stream characteristics and the potential benefits of stream segregation is then melded with the knowledge of regulatory compliance issues and treatment system capabilities/performance to minimize environmental risks and costs.

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Gregory D. Boardman

Inertia see **Resistance (inertia)**.

## Infiltration

In hydrology, infiltration refers to the maximum rate at which a soil can absorb precipitation. This is based on the initial moisture content of the soil or on the portion of precipitation that enters the soil. In soil science, the term refers to the process by which water enters the soil, generally by downward flow through all or part of the soil surface. The rate of entry relative to the amount of water being supplied by precipitation or other sources determines how much water enters the root zone and how much runs off the surface.

*See also* Groundwater; Soil profile; Water table.

## INFOTERRA (U.N. Environment Program)

INFOTERRA has its international headquarters in Kenya and is a global information network operated by the Earthwatch program of the United Nations Environment Program (UNEP).

Under INFOTERRA, participating nations designate institutions to be national focal points, such as the Environmental Protection Agency (EPA) in the United States. Each national institution chosen as a focal point prepares a list of its national environmental experts and selects what it considers the best sources for inclusion in INFOTERRA's international directory of experts.

INFOTERRA initially used its directory only to refer questioners to the nearest appropriate experts, but the organization has evolved into a central information agency. It consults sources, answers public queries for information, and analyzes the replies. INFOTERRA is used by governments, industries, and researchers in 177 countries.

## Resources

### ORGANIZATIONS

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Linda Rehkopf

## Injection well

Injection wells are used to dispose waste into the subsurface zone. These wastes can include brine from oil and gas wells, liquid hazardous wastes, agricultural and urban runoff, municipal sewage, and return water from air-conditioning. Recharge wells can also be used for injecting fluids to enhance oil recovery, injecting treated water for artificial aquifer recharge, or enhancing a pump-and-treat system. If the wells are poorly designed or constructed, or if the local geology is not sufficiently studied, injected liquids can enter an aquifer and cause groundwater contamination. Injection wells are regulated under the Underground Injection Control Program of the Safe Drinking Water Act.

*See also* Aquifer restoration; Deep-well injection; Drinking-water supply; Groundwater monitoring; Groundwater pollution; Water table.

## Inoculate

To inoculate involves the introduction of microorganisms into a new environment. Originally the term referred to the insertion of a bud or shoot of

one plant into the stem or trunk of another to develop new strains or hybrids. These hybrid plants would be resistant to botanic disease or they would allow greater harvests or range of climates. With the advent of vaccines to prevent human and animal disease, the term inoculate has come to represent injection of a serum to prevent, cure, or make immune from disease.

Inoculation is of prime importance in that the introduction of specific microorganism species into specific macroorganisms may establish a symbiotic relationships where each organism benefits. For example, the introduction of mycorrhiza fungus to plants improves the plants' ability to absorb nutrients from the soil.

*See also* Symbiosis.

Insecticide *see* **Pesticide**.

## Integrated pest management

Integrated pest management (IPM) is a newer science that aims to give the best possible pest control while minimizing damage to human health or the environment. IPM means either using fewer chemicals more effectively or finding ways, both new and old, that substitute for pesticide use.

Technically, IPM is the selection, integration, and implementation of pest control based on predicted economic, ecological, and sociological consequences. IPM seeks maximum use of naturally occurring pest controls, including weather, disease agents, predators, and parasites. In addition, IPM utilizes various biological, physical, and chemical control and habitat modification techniques. Artificial controls are imposed only as required to keep a pest from surpassing intolerable population levels which are predetermined from assessments of the pest damage potential and the ecological, sociological, and economic costs of the control measures. Farmers have come to understand that the presence of a pest species does not necessarily justify action for its control. In fact, tolerable infestations may be actually desirable, providing food for important beneficial insects. This change in farming practices has occurred due to both the accumulation of pesticides in the environment and the negative effects of these chemicals.

The introduction of synthetic organic pesticides such as the insecticide DDT, and the herbicide 2,4-D (half the formula in Agent Orange) after World War II began a new era in pest control. These products were followed by hundreds of synthetic organic fungicides, nematocides (affects nematodes), rodenticides (affects

rodents), and other chemical controls. These chemical materials were initially very effective and very cheap. Synthetic chemicals eventually became the primary means of pest control in productive agricultural regions, providing season-long crop protection against insects and weeds. They were used in addition to fertilizers and other treatments. However, IPM has applications that go well beyond farming and has been applied in other settings, such as in schools and museums. IPM in these buildings focuses on eliminating food, shelter, and water for pests and results in decreased exposure to potentially harmful chemical pesticides.

The success of modern pesticides led to widespread acceptance and reliance upon them, particularly in the United States. Of all the chemical pesticides applied worldwide in agriculture, forests, industry, and households, one-third to one-half were used in the United States. Herbicides have been used increasingly to replace hand labor and machine cultivation for control of weeds in crops, in forests, on the rights-of-way of highways, utility lines, railroads, and in cities. Agriculture consumes perhaps 65 percent of the total quantity of synthetic organic pesticides used in the United States each year.

For more than a decade, problems with pesticides have become increasingly apparent. Significant groups of pests have evolved with genetic resistance to pesticides. The increase in resistance among insect pests has been exponential, following extensive use of chemicals in the last forty years. Ticks, insects and spider mites (nearly four hundred species) are now especially resistant, and the creation of new insecticides to combat the problem is not keeping pace with the emergence of new strains of resistant insect pests. Despite the advances in modern chemical control and the dramatic increase in chemical pesticides used on U.S. cropland, annual crop losses from all pests appear to have remained constant or to have increased. Losses caused by weeds have declined slightly, but those caused by insects have nearly doubled. The price of synthetic organic pesticides has increased significantly in recent years, placing a heavy financial burden on those who use large quantities of the chemicals. As farmers and growers across the United States realize the limitations and human health consequences of using artificial chemical pesticides, interest in the alternative approach of IPM grows.

IPM aims at management rather than eradication of pest species. Since potentially harmful species will continue to exist at tolerable levels of abundance, the philosophy now is to manage rather than eradicate the pests. The ecosystem is the management unit. Every crop is in itself a complex ecological system. Spraying pesticides too often, at the wrong time, or on the

wrong part of the crop may destroy the pests' natural enemies ordinarily present in the ecosystem. Knowledge of the actions, reactions, and interactions of the components of the ecosystems is requisite to effective IPM programs. With this knowledge, the ecosystem is manipulated in order to hold pests at tolerable levels while avoiding disruptions of the system.

The use of natural controls is maximized. IPM emphasizes the fullest practical utilization of the existing regulating and limiting factors in the form of parasites, predators, and weather, which regulate the pests' population growth. IPM users understand that control procedures may produce unexpected and undesirable consequences, however. It takes time to change over and determination to keep up the commitment until the desired results are achieved.

An interdisciplinary systems approach is essential. Effective IPM is an integral part of the overall management of a farm, a business, or a forest. For example, timing plays an important role. In order to effectively manage pest populations, monitoring of the crops for signs of infestation and for the status of the pest population is key to successful IPM. Knowledge regarding the life cycles of pests allows for more effective control. Certain pests are most prevalent at particular times of the year. By altering the date on which a crop is planted, serious pest damage can be avoided. Some farmers simultaneously plant and harvest, since the procedure prevents the pests from migrating to neighboring fields after the harvest. Others may plant several different crops in the same field, thereby reducing the number of pests. The variety of crops harbor greater numbers of natural enemies and make it more difficult for the pests to locate and colonize their host plants. In Thailand and China, farmers flood their fields for several weeks before planting to destroy pests. Other farmers turn or till the soil, so that pests are brought to the surface and die in the sun's heat.

The development of a specific IPM program depends on the pest complex, resources to be protected, economic values, and availability of personnel. It also depends upon adequate funding for research and to train farmers. Some of the techniques are complex, and expert advice is needed. However, while it is difficult to establish absolute guidelines, there are general guidelines that can apply to the management of any pest group.

Growers must analyze and continually monitor the pest status of each of the reputedly injurious organisms and establish economic thresholds for the real pests. The economic threshold is, in fact, the population level, and is defined as the density of a pest population below which the cost of applying control measures exceeds

the losses caused by the pest. Economic threshold values are based on assessments of the pest damage potential and the ecological, sociological, and economic costs associated with control measures. A given crop, forest area, backyard, building, or recreational area may be infested with dozens of potentially harmful species at any one time. For each situation, however, there are rarely more than a few pest species whose populations expand to intolerable levels at regular and fairly predictable intervals. Key pests recur regularly at population densities exceeding economic threshold levels and are the focal point for IPM programs.

Farmers must also devise schemes for lowering equilibrium positions of key pests. A key pest will vary in severity from year to year, but its average density, known as the equilibrium position, usually exceeds its economic threshold. IPM efforts manipulate the environment in order to reduce a pest's equilibrium position to a permanent level below the economic threshold. This reduction can be achieved by deliberate introduction and establishment of natural enemies (parasites, predators, and diseases) in areas where they did not previously occur. Natural enemies may already occur in the crop in small numbers or can be introduced from elsewhere. Certain microorganisms, when eaten by a pest, will kill it.

Newer chemicals show promise as alternatives to synthetic chemical pesticides. These include insect attractant chemicals, weed and insect disease agents, and insect growth regulators or hormones. A toxin produced by a pathogen, *Bacillus thuringiensis* (Bt), has proven commercially successful when the Bt gene that produces the toxin is introduced into the plant genome, thus conferring resistance to pests affected by the toxin. Since certain crops have a built-in resistance to pests, pest-resistant or pest-free varieties of seed, crop plants, ornamental plants, orchard trees, and forest trees can be used. Growers can also modify the pest environment to increase the effectiveness of the pest's biological control agents, to destroy its breeding, feeding, or shelter habitat or otherwise render it harmless. These measures include crop rotation, destruction of crop harvest residues and soil tillage, and selective burning or mechanical removal of undesirable plant species and pruning, especially for forest pests. Another method of ensuring low populations of pests is the planting of pest-free rootstocks when possible.

Although nearly permanent control of key insect and plant disease pests of agricultural crops has been achieved, emergencies will occur, and IPM advocates acknowledge this. During those times, measures should be applied that create the least ecological destruction.

Growers are urged to utilize the best combination of the three basic IPM components: natural enemies, resistant varieties, and environmental modification. However, there may be a time when pesticides may be the only recourse. In that case, it is important to coordinate the proper pesticide, the dosage, and the timing in order to minimize the hazards to non-target organisms and the surrounding ecosystems.

Pest management techniques have been known for many years and were used widely before World War II. They were deemphasized by insect and weed control scientists and by corporate pressures as the synthetic chemicals became commercially available after the war. Now there is a renewed interest in the early control techniques and in new chemistry.

Reports detailing the success of IPM are emerging at a rapid rate as thousands of farmers yearly join the ranks of those who choose to eliminate chemical pesticides. Sustainable agricultural practice increases the richness of the soil by replenishing the soil's reserves of fertility. IPM does not produce secondary problems such as pest resistance or resurgence. It also diminishes soil erosion, increases crop yields, and saves money over time. Organic foods are reported to have better cooking quality, better flavor, and greater longevity in the storage bins. And with little to no pesticide residue, the amount of chemicals ingested from food produced through IPM or organic methods are low or non-existent. The U.S. Department of Agriculture (USDA) has no certification for IPM-grown crops, comparable to their program for organically grown foods, but some areas are working to define IPM and label foods as IPM-grown.

*See also* Sustainable agriculture.

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Liane Clorfene Casten

## Intergenerational justice

One of the key features of an environmental ethic or perspective is its concern for the health and well-being of future generations. Questions about the rights of future people and the responsibilities of those presently living are central to environmental theory and practice and are often asked and analyzed under the term *intergenerational justice*. Most traditional accounts or theories of justice have focused on relations between contemporaries: What distribution of scarce goods is fairest or optimally just? Should such goods be distributed on the basis of merit or need? These and other questions have been asked by thinkers from Greek philosopher Aristotle (384–322 BCE) to American philosopher John Rawls (1921–2002). Recently, however, some philosophers have begun to ask about just distributions over time and across generations.

The subject of intergenerational justice is a key concern for environmentally minded thinkers for at least two reasons. First, human beings now living have the power to permanently alter or destroy the planet (or portions thereof) in ways that will affect the health, happiness, and well-being of people living long after they are all dead. One need only think, for example, of the radioactive wastes generated by nuclear power plants that will be intensely “hot” and dangerous for many thousands of years. No one yet knows how to safely store such material for a hundred, much less many thousands, of years. Considered from an intergenerational perspective then, it would be unfair—that is, unjust—for the present generation to enjoy the benefits of nuclear power, passing on to distant posterity the burdens and dangers caused by society’s (in)action.

Second, people not only have the power to affect future generations, but they *know* that they have it. And

with such knowledge comes the moral responsibility to act in ways that will prevent harm to future people. For example, since people know about the health effects of radiation on human beings, having that knowledge imposes upon them a moral obligation not to needlessly expose anyone—now or in the indefinite future—to the harms or hazards of radioactive wastes. Many other examples of intergenerational harm or hazard exist: global warming, topsoil erosion, disappearing tropical rain forests, depletion and/or pollution of aquifers, among others. But whatever the example, the point of the intergenerational view is the same: the moral duty to treat people justly or fairly applies not only to people now living, but to those who will come later. To the extent that society’s actions produce consequences that may prove harmful to people who have not harmed (and in the nature of the case cannot harm) people today is, by any standard, unjust. And yet it seems quite clear that the present generation is in many respects acting unjustly toward distant posterity. This is true not only for harms or hazards bequeathed to future people, but the point applies also to deprivations of various kinds.

Consider, for example, the present generation’s profligate use of fossil fuels. Reserves of oil and natural gas are both finite and nonreplaceable; once burned (or turned into plastic or some other petroleum-based material), a gallon of oil is gone forever. Every drop or barrel used now is therefore unavailable for future people. As Wendell Berry observed, the claim that fossil fuel energy is *cheap* rests on a simplistic and morally doubtful assumption about the *rights* of the present generation: “We were able to consider [fossil fuel energy] ‘cheap’ only by a kind of moral simplicity: the assumption that we had a ‘right’ to as much of it as we could use. This was a ‘right’ made solely by might. Because fossil fuels, however abundant they once were, were nevertheless limited in quantity and not renewable, they obviously did not ‘belong’ to one generation more than another. We ignored the claims of posterity simply because we could, the living being stronger than the unborn, and so worked the ‘miracle’ of industrial progress by the theft of energy from (among others) our children.”

And that, Berry adds, “is the real foundation of our progress and our affluence. The reason that we are a rich nation is not that we have earned so much wealth—you cannot, by any honest means, earn or deserve so much. The reason is simply that we have learned, and become willing, to market and use up in our own time the birthright and livelihood of posterity.”

These and other considerations have led some environmentally minded philosophers to argue for limits on present-day consumption, so as to save a fair share of scarce resources for future generations. John Rawls, for instance, constructs a *just savings*

*principle* according to which members of each generation may consume no more than their fair share of scarce resources. The main difficulty in arriving at and applying any such principle lies in determining what counts as fair share. As the number of generations taken into account increases, the share available to any single generation then becomes smaller; and as the number of generations approaches infinity, any one generation's share approaches zero.

Other objections have been raised against the idea of intergenerational justice. These objections can be divided into two groups, which can be called conceptual and technological. One conceptual criticism is that the very idea of intergenerational justice is itself incoherent. The idea of justice is tied with that of reciprocity or exchange; but relations of reciprocity can exist only between contemporaries. Therefore, the concept of justice is inapplicable to relations between existing people and distant posterity. Future people are in no position to reciprocate. Therefore, people now living cannot be morally obligated to do anything for them. Another conceptual objection to the idea of intergenerational justice is concerned with rights. Briefly, the objection runs as follows: Future people do not (yet) exist; only actually existing people have rights, including the right to be treated justly. Therefore, future people do not have rights which those in the present have a moral obligation to respect and protect. Critics of this view counter that it not only rests on a too-restrictive conception of rights and justice, but that it also paves the way for grievous intergenerational injustices.

Several arguments can be constructed to counter the claim that justice rests on reciprocity (and therefore applies only to relations between contemporaries) and the claim that future people do not have rights, including the right to be treated justly by their predecessors. Regarding reciprocity: Because it is acknowledged in ethics and recognized in law that it is possible to treat an infant or a mentally disabled or severely retarded person justly or unjustly, even though they are in no position to reciprocate, it follows that the idea of justice is not necessarily connected with reciprocity. Regarding the claim that future people cannot be said to have rights that require recognition and respect today: one of the more ingenious arguments against this view consists of modifying John Rawls's imaginary *veil of ignorance*. Rawls argues that principles of justice must not be partisan or favor particular people but must be blind and impartial. To ensure impartiality in arriving at principles of justice, Rawls suggests imagining an original position in which rational people are placed behind a veil of ignorance

wherein they are unaware of their age, race, sex, social class, economic status, etc. Unaware of their own particular position in society, rational people would arrive at and agree upon impartial and universal principles of justice. To ensure that such impartiality extends across generations, one need only *thicken* the veil by adding the proviso that the choosers be unaware of the generation to which they belong. Rational people would not accept or agree to principles under which predecessors could harm or disadvantage successors.

Some critics of intergenerational justice argue in technological terms. They contend that existing people need not restrict their consumption of scarce or non-renewable resources in order to save some portion for future generations. They argue that substitutes for these resources will be discovered or devised through technological innovations and inventions. For example, as fossil fuels become scarcer and more expensive, new fuels—gasohol or fusion-derived nuclear fuel—will replace them. Thus people need never worry about depleting any particular resource because every resource can be replaced by a substitute that is as cheap, clean, and accessible as the resource it replaces. Likewise, people need not worry about generating nuclear wastes that they do not yet know how to store safely. Some solution is bound to be devised sometime in the future.

Environmentally minded critics of this technological line of argument claim that it amounts to little more than wishful thinking. Like Charles Dickens's fictional character Mr. Micawber, those who place their faith in technological solutions to all environmental problems optimistically expect that "something will turn up." Just as Mr. Micawber's faith was misplaced, so too, these critics contend, is the optimism of those who expect technology to solve all problems, present and future. Of course such solutions may be found, but that is a gamble and not a guarantee. To wager with the health and well-being of future people is, environmentalists argue, immoral.

There are of course many other issues and concerns raised in connection with intergenerational justice. Discussions among and disagreements between philosophers, economists, environmentalists, and others are by no means purely abstract and academic. How these matters are resolved will have a profound effect on the fate of future generations.

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Terence Ball

## Intergovernmental Panel on Climate Change (IPCC)

The Intergovernmental Panel on Climate Change (IPCC) was established in 1988 as a joint project of the United Nations Environment Programme (UNEP) and the World Meteorological Organization (WMO). The primary mission of the IPCC is to bring together the world's leading experts on the earth's climate to gather, assess, and disseminate scientific information about climate change, with a view to informing international and national policy makers. The IPCC has become the highest-profile and best-regarded international agency concerned with the climatic consequences of greenhouse gases, such as carbon dioxide (CO<sub>2</sub>) and methane (CH<sub>4</sub>), byproducts of fossil fuel combustion.

The IPCC was established partly in response to Mexican chemist and Nobel Laureate Mario Molina's

(1943–) 1985 documentation of chemical processes which occur when human-made chemicals deplete the earth's atmospheric ozone (O<sub>3</sub>) shield. Ozone layer depletion results in increased levels of ultraviolet radiation reaching the earth's surface, producing a host of health, agricultural, and environmental problems. Molina's work helped to persuade most of the industrialized nations to ban chlorofluorocarbons (CFCs) and several other ozone-depleting chemicals. It also established a context in which national and international authorities began to pay serious attention to the global environmental consequences of atmospheric changes resulting from industrialization and reliance on fossil fuels.

Continuing to operate under the auspices of the United Nations and headquartered in Geneva, Switzerland, the IPCC is organized into three working groups and a task force, and meets about once a year. The first group gathers scientific data and analyzes the functioning of the climate system with special attention to the detection of potential changes resulting from human activity. The second group's duty is to assess the potential socioeconomic impacts and vulnerabilities associated with climate change. It is also charged with exploring options for humans to adapt to potential climate change. The third group focuses on ways to reduce greenhouse gas emissions and to stop or reduce climate change. The task force is charged with maintaining inventories of greenhouse emissions for all countries.



IPCC Chairman Rajendra Pachauri delivers a keynote speech at the thirty-second session of the Intergovernmental Panel on Climate Change (IPCC) in Busan, South Korea, in October 2010. The conference opened for a four-day run with some 400 officials from 194 IPCC member nations attending. (© STF/epa/Corbis)

The IPCC has published its major findings in Full Assessment Reports, first issued in 1990 and 1995. The Tenth Session of the IPCC (Nairobi, 1994) directed that future full assessments should be prepared approximately every five years. The Third Assessment Report was titled “Climate Change 2001.” Special reports and technical papers are also published as the panel identifies issues.

The IPCC has drawn a great deal of criticism virtually from its inception. Massive amounts of money are at stake in policy decisions, which might seek to limit greenhouse gas emissions, and much of the criticism directed at the IPCC tends to come from lobbying and research groups mostly funded by industries that either produce or use large quantities of fossil fuels. Thus, a lobbying group sponsored by energy, transportation, and manufacturing interests termed the Global Climate Coalition attacked parts of the 1995 report as unscientific. At the core of the controversy was Chapter Eight of the report, termed Detection of Climate Change and Attribution of Causes. Although the IPCC was careful to hedge its conclusions in various ways, acknowledging difficulties in measurement, disagreements over methodologies for interpreting data, and general uncertainty about the conclusions of its findings, it nevertheless suggested a connection between greenhouse gas emissions and global warming. Not satisfied with such caveats, the Global Climate Coalition charged that the IPCC’s conclusions had been presented as far less debatable than they actually were. This cast a cloud of uncertainty over the report, at least for some U.S. policymakers. However, other leaders took the report more seriously. The Second Assessment Report provided important input to the negotiations that led to the development of the Kyoto Protocol in 1997, a treaty aimed at reducing the global output of greenhouse gases.

In the summer of 1996, results of new studies of the upper atmosphere were published, which provided a great deal of indirect support for the IPCC’s conclusions. Investigators found significant evidence of cooling in the upper atmosphere and warming in the lower atmosphere, with this effect being especially pronounced in the southern hemisphere. These findings confirmed the predictions of global warming models such as those employed by the IPCC.

Perhaps emboldened by this confirmation, but still facing a great deal of political opposition, the IPCC released an unequivocal statement about climate change and its causes in November 1996. The IPCC declared that “the balance of evidence suggests that there is a discernible human influence on global climate.” The statement made clear that a preponderance of evidence and a majority of scientific experts

indicated that observable climate change was a result of human activity. The IPCC urged that all nations limit their use of fossil fuels and develop more energy-efficient technologies.

These conclusions and recommendations provoked considerable criticism from less-developed countries. Leaders of the less-industrialized areas of the world tend to view potential restrictions on the use of fossil fuels as unfair hindrance of their efforts to catch up with the United States and Western Europe in industry, transportation, economic infrastructure, and standards of living. The industrialized nations, they point out, were allowed to develop without any such restrictions and now account for the vast majority of the world’s energy consumption and greenhouse gas emissions. These industrialized nations therefore should bear the brunt of any efforts to protect the global climate, substantially exempting the developing world from restrictions on the use of fossil fuels.

The IPCC’s conclusions and recommendations have also drawn strong opposition from industry groups in the United States, such as the American Petroleum Institute, and conservative Republican politicians. These critics charge that the IPCC’s new evidence is only fashionable but warmed-over theory, and that no one has yet proven conclusively that climate change is indeed related to human influence. In view of the likely massive economic impact of any aggressive program aimed at the reduction of emissions, they contend that there is no warrant for following the IPCC’s dangerous and ill-considered advice. Under Republican leadership, Congress slashed funds for the Environmental Protection Agency (EPA) and the Department of Energy (DOE) programs concerned with climate change and its causes, as well as funds for researching alternative and cleaner sources of energy.

These funding cuts and the signals they sent created foreign relations problems for the Clinton administration. The United States was unable to honor former President George H. W. Bush’s (1924–) 1992 pledge (at the Rio de Janeiro Earth Summit) to reduce the country’s emission of carbon dioxide and methane to 1990 levels by the year 2000. Indeed, owing in part to low oil prices and a strong domestic economy, the United States was consuming more energy and emitting more greenhouse gases than ever before by 2000.

In the summer of 2001, the IPCC released its strongest statement to date on the problem of climate change, in its Third Assessment Report. The report, titled “Climate Change 2001,” provided further evidence for global warming and its cause—the wide scale burning of fossil fuels by humans. The report projected that

global mean surface temperatures on Earth would increase by 2.5–10.4°F (1.5–5.9°C) by the year 2100, unless greenhouse gas emissions were reduced well below current levels. The report also noted that this warming trend would represent the fastest warming of the earth in ten thousand years, with possible dire consequences to human society and the environment.

In the early 2000s, the administration of President George W. Bush (1946–), a former oilman, was resistant to the ideas of climate change and reducing greenhouse gas emissions. The administration strongly opposed the Kyoto Treaty and domestic pollution reduction laws, claiming such measures would cost jobs and reduce the standard of living, and that the scientific evidence was inconclusive. In June 2001, a National Academy of Science (NAS) panel reported to President Bush that the IPCC's studies on global warming were scientifically valid. In April 2002, with pressure from the oil industry, the Bush administration forced the removal of IPCC chairman Robert Watson, a British-born American atmospheric scientist who had been outspoken over the issue of climate change and the need for greenhouse gas reduction in industrialized countries.

The IPCC elected Indian industrial engineer Dr. Rajendra K. Pachauri (1940–) as its next chairman at its nineteenth session in Geneva. Dr. Pachauri is a well-known world-class expert in economics and technology, with a strong commitment to the IPCC process and to scientific integrity. Dr. Pachauri was re-elected as the chairman of the IPCC at the 29th Plenary Session in Geneva in September 2008.

The IPCC Fourth Assessment Report, titled *Climate Change 2007*, was released in 2007. The Synthesis Report included the findings of the three working groups with reports titled *Physical Science Basis*; *Impacts, Adaptation and Vulnerability*; and *Mitigation of Climate Change*. The Fourth Assessment Report was much clearer that climate change is certainly occurring, and that the observed increases in temperatures since the mid-twentieth century are very likely due to a parallel increase in anthropogenic greenhouse gas concentrations. Global greenhouse gas emissions from human activities increased by 70 percent from 1970 to 2004. Global increases in average air and ocean temperatures, melting of snow and ice, and a rising average sea level were reported. Of the years on record, the average global surface temperature for eleven of the twelve years from 1995 to 2006 were the warmest years since 1850. Warm spells, heat waves, and heavy rainfall are very likely to increase, while there will also likely be an increase in droughts and tropical cyclones. Sea level is predicted to rise by approximately 7 to 23 inches (18–59 centimeters) in

the twenty-first century and continue for centuries onward. At the end of 2007, the IPCC was in Oslo, Norway, to receive the Nobel Peace Prize, shared with former Vice President of the United States Al Gore (1948–). Although it is not uncommon for the Nobel Peace Prize to be awarded to organizations, it was significant that climate change was recognized as relevant to peace. Such an award also served to focus world attention on the problem of climate change.

The Fifth Assessment is set for release in 2014.

An increasing number of climate scientists are also critical of the delays between major IPCC assessments, contending that more frequent updates would offer the IPCC timely opportunities to correct errors or omissions in data and reports. In 2009 and 2010, research polls in both Europe and in the United States showed a decline in public confidence in climate research after exposure of a series of errors in the 2007 IPCC report. In addition to a publication error regarding the rate of glacial melting in the Himalayas, other failures in the IPCC's internal review process resulted in the publication of errant data. Although not directly related to the IPCC, further damage to public confidence resulted from what was dubbed the "Climategate" scandal, which involved the public release of private emails of climate researchers that critics contended reflected unprofessional scientific practices. The vast majority of climate scientists and independent review boards ultimately characterized the mistakes as embarrassing, but minor and with no scientific impact on the main 2007 IPCC conclusions regarding global warming and climate change trends.

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Lawrence J. Biskowski  
Douglas Dupler

## Internalizing costs

Private market activities create so-called externalities. An example of a negative externality is air pollution. It occurs when a producer does not bear all the costs of an activity in which he or she engages. Since external costs do not enter into the calculations producers make, they will make few attempts to limit or eliminate pollution and other forms of environmental degradation.

Negative externalities are a type of market defect all economists believe is appropriate to try to correct. Milton Friedman refers to such externalities as “neighborhood effects” (although it must be kept in mind that some forms of pollution have an all but local effect). The classic neighborhood effect is pollution. The premise of a free market is that when two people voluntarily make a deal, they both benefit. If society gives everyone the right to make deals, society as a whole will benefit. It becomes richer from the aggregation of the many mutually beneficial deals that are made. However, what happens if in making mutually beneficial deals there is a waste product that the parties release into the environment and that society must either suffer from or clean up? The two parties to the deal are better off, but society as a whole has to pay the costs. Friedman points out that individual members of a society cannot appropriately charge the responsible parties for external costs or find other means of redress.

Friedman’s answer to this dilemma is simple: Society, through government, must charge the responsible parties the costs of the clean-up. Whatever damage they generate must be internalized in the price of the transaction. Polluters can be forced to internalize environmental costs through pollution taxes and discharge fees, a method generally favored by economists. When such taxes are imposed, the market defect (the price of pollution which is not counted in the transaction) is corrected. The market price then reflects the true social costs of the deal, and the parties have to adjust accordingly. They will have an incentive to decrease harmful activities and develop less environmentally damaging technology. The drawback of such a system is that society will not have direct control over pollution levels, although it will receive monetary compensation for any losses it sustains. However, if the government imposed a tax or charge on the polluting parties, it would have to place a monetary value on the damage. In practice, this is difficult to do. How much for a human life lost to pollution? How much for a vista destroyed? How much for a plant or animal species brought to extinction? Finally, the idea that pollution

is all right as long as the polluter pays for it is unacceptable to many people.

Government has tried to control activities with associated externalities through regulation, rather than by supplementing the price system. It has set standards for specific industries and other social entities. The standards are designed to limit environmental degradation to acceptable levels and are enforced through the Environmental Protection Agency (EPA). They prohibit some harmful activities, limit others, and prescribe alternative behaviors. When market actors do not adhere to these standards, they are subject to penalties. In theory, potential polluters are given incentives to reduce and treat their waste, manufacture less harmful products, and develop alternative technologies. In practice, the system has not worked as well as it was hoped in the 1960s and 1970s, when much of the environmental legislation presently in force was enacted. Enforcement has been fraught with political and legal difficulties. Extensions on deadlines are given to cities for not meeting clean air standards and to the automobile industry for not meeting standards on fuel economy of new cars, for instance. It has been difficult to collect fines from industries found to have been in violation. Some companies simply declare bankruptcy to evade fines. Others continue polluting because they find it cheaper to pay fines than to develop alternative production processes.

Pollution credit trading schemes, or a trade in pollution permits, are an alternative means of regulation. The government issues a number of permits that altogether set a maximum acceptable pollution level. Buyers of permits can either use them to cover their own polluting activities or resell them to the highest bidder (typically other polluters who have expended all of their allotted credits). The price of pollution is determined by market demand for unused credits. Credit schemes encourage polluters to internalize the environmental costs of their activities and invest in longer-term pollution-reduction solutions instead of buying pollution credits. Several nations have established pollution trading schemes for different kinds of pollutants such as acid-rain causing sulfur, carbon emissions linked to climate change, and pollutants released into waterways.

*See also* Environmental economics.

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Internal costs see **Internalizing costs**.

## International Atomic Energy Agency

The first decade of research on nuclear weapons and nuclear reactors was characterized by extreme secrecy, and the few nations that had the technology carefully guarded their information. In 1954, however, that philosophy changed, and the United States, in particular, became eager to help other nations use nuclear energy for peaceful purposes. A program called "Atoms for Peace" brought foreign students to the United States for the study of nuclear sciences and provided enriched uranium to countries wanting to build their own reactors, encouraging interest in nuclear energy throughout much of the world.

But this program created a problem. It increased the potential diversion of nuclear information and nuclear materials for the construction of weapons, and the threat of nuclear proliferation grew. The United Nations created the International Atomic Energy Agency (IAEA) in 1957 to address this problem. The agency had two primary objectives: to encourage and assist with the development of peaceful applications of nuclear power throughout the world and to prevent the diversion of nuclear materials to weapons research and development.



Technicians of the International Atomic Energy Agency inspect the site of the Isfahan uranium conversion plant in central Iran in 2007. (© Abedin Taherkenareh/epa/Corbis)

In first decade of the IAEA's existence, several nations independently signed bilateral non-proliferation treaties, choosing not to involve the IAEA in the negotiations or inspections process of those treaties. The 1970 nuclear non-proliferation treaty more clearly designated the IAEA's responsibilities for the monitoring of nuclear material, however, and its involvement in international non-proliferation discussions grew.

Today the agency is an active division of the United Nations, and its headquarters are in Vienna. The IAEA operates with a staff of more than 2,300 workers and a budget of about \$396 million. To accomplish its goal of extending and improving the peaceful use of nuclear energy, the IAEA conducts regional and national workshops, seminars, training courses, and committee meetings. It publishes guidebooks and manuals on related topics and maintains the International Nuclear

Information System, a bibliographic database on nuclear literature that includes more than 2 million records. The database is made available on magnetic tape to its 151-member states.

The IAEA also carries out a rigorous program of inspection. In a typical year, IAEA activities include conducting safety reviews in a number of different countries, assisting in dealing with accidents at nuclear power plants, providing advice to nations interested in building their own nuclear facilities, advising countries on methods for dealing with radioactive wastes, teaching nations how to use radiation to preserve foods, helping universities introduce nuclear science into their curricula, sponsoring research on the broader applications of nuclear science, and aiding the implementation of nuclear medicine technologies in developing regions.

The IAEA and its former Director General Mohamed ElBaradei were awarded the Nobel Peace Prize in 2005.

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#### ORGANIZATIONS

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## International Convention for the Regulation of Whaling (1946)

The International Whaling Commission (IWC) was established in 1949 following the inaugural International Convention for the Regulation of Whaling, which took place in Washington, D.C., in 1946. Many nations have membership in the IWC, which primarily

sets quotas for whales. The purpose of these quotas is twofold: They are intended to protect the whale species from extinction while allowing a limited whaling industry. In recent times, however, the IWC has come under attack. The vast majority of nations in the commission have come to oppose whaling of any kind and object to the IWC's practice of establishing quotas. Furthermore, some nations—principally Iceland, Japan, and Norway—wish to protect their traditional whaling industries and are against the quotas set by the IWC. With two such divergent factions opposing the IWC, its future is as doubtful as that of the whales.

Since its inception, the commission has had difficulty implementing its regulations and gaining approval for its recommendations. In the meantime whale populations have continued to dwindle. In its original design, the IWC consisted of two sub-committees, one scientific and the other technical. Any recommendation that the scientific committee put forth was subject to the politicized technical committee before final approval. The technical committee evaluated the recommendation and changed it if it was not politically or economically viable; essentially, the scientific committee's recommendations have often been rendered powerless. Furthermore, any nation that has decided an IWC recommendation was not in its best interest could have dismissed it by simply registering an objection. In the 1970s this gridlock and inaction attracted public scrutiny; people objected to the IWC's failure to protect the world's whales. Thus in 1972 the United Nations Conference on the Human Environment voted overwhelmingly to stop commercial whaling.

Nevertheless, the IWC retained some control over the whaling industry. In 1974 the commission attempted to bring scientific research to management strategies in its New Management Procedure. The IWC assessed whale populations with finer resolution, scrutinizing each species to see if it could be hunted and not die out. It classified whales as either initial management stocks (harvestable), sustained management stocks (harvestable), or protection stocks (unharvestable). Although these classifications were necessary for effective management, much was unknown about whale population ecology, and quota estimates contained high levels of uncertainty.

Since the 1970s, public pressure has caused many nations in the IWC to oppose whale hunting of any kind. At first, one or two nations proposed a whaling moratorium each year. Both pro- and anti-whaling countries began to encourage new IWC members to vote for their respective positions, thus dividing the

commission. In 1982 the IWC enacted a limited moratorium on commercial whaling, to be in effect from 1986 until 1992. During that time it would thoroughly assess whale stocks and afterward allow whaling to resume for selected species and areas. Norway and Japan, however, attained special permits for whaling for scientific research: They continued to catch approximately 400 whales per year, and the meat was sold to restaurants. Then in 1992—the year when whaling was supposed to have resumed—many nations voted to extend the moratorium. Iceland, Norway, and Japan objected strongly to what they saw as an infringement on their traditional industries and eating customs. Iceland subsequently left the IWC, and Japan and Norway have threatened to follow. These countries intend to resume their whaling programs. Members of the IWC are torn between accommodating these nations in some way and protecting the whales, and amid such controversy it is unlikely that the commission can continue in its present mission.

Although the IWC has not been able to marshal its scientific advances or enforce its own regulations in managing whaling, it is broadening its original mission. The commission may begin to govern the hunting of small cetaceans such as dolphins and porpoises, which are believed to suffer from overhunting. The group has become concerned over the probable extinction of the vaquita (porpoise) unless immediate action is taken. There are at most 150 animals left of this species, which is only found in the upper Gulf of California off Mexico. The IWC recently held its sixtieth annual and associated meetings in Santiago, Chile, in June 2008. Here a key issue was a proposal by Denmark for an annual strike limit of 10 humpback whales for the period 2008–2012 for West Greenland. Although the Scientific Committee had agreed that this strike limit would not harm the population, there was concern that the need for the additional whales was not satisfactorily documented, and the proposal was defeated. There was discussion over a South Atlantic Whale Sanctuary. Additionally special permit whaling programs that allowed 551 Antarctic minke whales to be taken in 2007 and smaller numbers of common minke, sei, Bryde's and sperm whales from the North Pacific as with all special permit whaling deeply divides the commission. There were strong statements both in favor and against lethal research programs. There were additional worries about the endangered western North Pacific gray whale, whose feeding grounds coincide with oil and gas operations off Sakhalin Island.

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International Council for Bird Preservation  
see **BirdLife International.**

## International Environmental Legislation and Treaties

International environmental laws exist in numerous forms. Treaties, including conventions, are the most important and prominent source of international environmental law. A treaty is an instrument that two or more countries have agreed upon and ratified. A treaty contains agreed-upon provisions designed to govern the actions of each member nation. While international treaties may cover a wide array of topics—from nuclear arms reduction to trade agreements—environmental law has generated more international treaties than any other area of specialization. As of 2010, countries had agreed to more than 1,000 bilateral or multilateral treaties that either address environmental issues directly or contain provisions that touch on environmental issues.

Customary international law and subsidiary sources of law, such as decisions by international courts and tribunals, are also sources of international environmental law. Customary international law involves



**Executive-Secretary of the UN Climate Conference Yvo de Boer (L) and UN Secretary-General Ban Ki-Moon attend the plenary session at the end of the 2009 CMP5 UN Climate Change Conference.** (Oliver Morin / AFP / Getty Images)

examining the practices between or among states. Pervasive customary actions and rules may be deemed to have become a binding part of international law. Customary international law is often difficult to determine and typically lies on a careful fact-based analysis of state behavior.

Decisions by international courts and tribunals may also become binding or persuasive rulings on environmental issues. International courts and tribunals that rule on environmental issues include the International Court of Justice, the European Court of Justice, and the World Trade Organization (WTO) Dispute Settlement Board, or a tribunal established to govern a specific convention or treaty, such as the International Tribunal of the Law of the Sea. The role of treaties and customary international law may be closely related to these subsidiary sources of law, because courts and tribunals may rely on treaty interpretation or findings of international custom to issue rulings. Once a court or tribunal issues a decision regarding a particular area of law, however, that decision should influence similar future conduct by nations.

Despite the prevalence of international environmental treaties and other regulations, enforcement of international environmental law remains a major issue. Enforcement of international environmental law involves two aspects: determining a nation's compliance with its obligations and imposing sanctions on non-compliant nations. Determining compliance in many situations is a simple task. For example, a nation may easily determine the source of an illegal discharge of water pollution from a neighboring nation.

Compliance management relies on oversight of activities and a system that encourages compliance through benefits or dissuades non-compliance through punitive measures. In some situations compliance determination is rather simple. For example, a nation may easily determine that a neighboring nation is not in compliance with a transboundary pollution treaty when polluted water flows across the border. In other situations, however, compliance determinations are more difficult. Determining whether a nation has met agreed upon standards for the emission of carbon dioxide or other greenhouse gases poses serious issues for the international community.

Often the issue of compliance relies upon self-reporting of activities by nations. Self-reporting does not necessarily produce reliable results, however, because of dishonest reporting by some nations or inaccurate reporting by nations that do not have the technical ability to monitor and report accurately. The Kyoto Protocol, however, established a Compliance Committee to monitor and ensure compliance with the agreement's greenhouse gas reduction targets. The enforcement branch of the Compliance Committee reviews allegations of non-compliance by examining expert reports from a variety of sources. International courts and tribunals may be able to determine compliance in certain situations, too. International pressure and the desire of nations to conform to accepted environmental norms also shape compliant behavior.

Typically, when a nation is non-compliant with its obligations under an environmental treaty, sanctions are imposed under the terms of the treaty. Sanctions are designed to impose punitive measures on non-compliant nations and encourage future compliance by the offending state and, by way of example, other states. Countermeasures, a form of self-help actions undertaken by other states in response to the non-compliant state, are often used in international law. A common example is the imposition of tariffs in response to another nation that imposes tariffs in violation of a trade agreement. In international environmental law, however, countermeasures are not a common sanction, because such reciprocal actions would undermine the treaty and further damage the environment. Environmental treaties and conventions, therefore, typically include mechanisms for the imposition of bilateral or collective sanctions against a non-compliant member nation.

Sanctions may include membership sanctions, which affect the non-compliant nation's membership standing in the organization governing the treaty. Membership sanctions may include the loss of voting rights, removal from committees, or expulsion from

the organization. Expulsions are rare under multilateral treaties, however, because such actions often weaken the membership organization and grant the non-compliant nation free license to continue its objectionable behavior.

Bilateral or multilateral sanctions are the most common form of coercive compliance mechanisms. Bilateral and multilateral sanctions often impose civil penalties, including fines and injunctions, on non-compliant treaty member nations. Bilateral sanctions involve the imposition of sanctions by one nation against another nation under the terms of a treaty. Under the terms of most treaties, bilateral sanctions typically require the nation seeking sanctions to go before a tribunal, such as the WTO Dispute Settlement Board, to seek authorization to impose sanctions. Multilateral sanctions usually are imposed by a treaty's governing organization.

Over the past several decades, the international community has adopted several notable, multilateral environmental treaties, including the Convention on Biological Diversity (CBD), Convention on International Trade in Endangered Species (CITES), UN Convention to Combat Desertification (UNCCD), UN Framework Convention on Climate Change (UNFCCC), Kyoto Protocol to the United Nations Framework Convention on Climate Change (Kyoto, 1997), and Montreal Protocol of the Vienna Convention (Montreal). Although many of these treaties have been effective in accomplishing their environmental goals, many policymakers lament the cost of treaty compliance. Developing nations often do not have the financial resources to comply with the requirements of such treaties. A recent movement has focused on creating mechanisms that allow developed nations to cover the cost of implementation in developing nations.

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## International Geosphere-Biosphere Programme (U.N. Environmental Programme)

A major effort to organize research on important, worldwide scientific questions such as climate change was begun in the early 1980s. Largely through the efforts of scientists from two U.S. organizations, the National Aeronautics and Space Administration (NASA) and the National Research Council, a proposal was developed for the creation of an International Geosphere-Biosphere Programme (IGBP). The purpose of the IGBP was to help scientists from around the world focus on major issues about which there was still too little information. Activity funding comes from national governments, scientific societies, and private organizations.

IGBP was not designed to be a new organization. Instead, it was conceived of as a coordinating program that would call on existing organizations to attack certain problems. The proposal was submitted in September 1986 to the General Assembly of the International Council of Scientific Unions (ICSU), where it received enthusiastic support.

Within two years, more than twenty nations agreed to cooperate with IGBP, forming national committees to work with the international office. A small office, administered by Harvard oceanographer James McCarthy, was installed at the Royal Swedish Academy of Sciences in Stockholm.

IGBP has identified research questions and existing programs that fit the program's goals and developed new research efforts. Because many global processes are gradual, a number of IGBP projects are designed with time frames of ten to twenty years and beyond.

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## International Institute for Sustainable Development

The International Institute for Sustainable Development (IISD) is a nonprofit organization that serves as an information and resources clearinghouse for policy makers promoting sustainable development. IISD aims to influence decision-making worldwide by assisting with policy analysis, providing information about practices, measuring sustainability, and building partnerships to further sustainability goals. It serves businesses, governments, communities, and individuals in both developing and industrialized nations. IISD's stated aim is to "create networks designed to move sustainable development from concept to practice." Founded in 1990 and based in Winnipeg, Canada, IISD is funded by foundations, governmental organizations, private sector sources, and revenue from publications and products.

IISD works in seven program areas. The Business Strategies program focuses on improving competitiveness, creating jobs, and protecting the environment through sustainability. Projects include several publications and the EarthEnterprise program, which offers entrepreneurial and employment strategies. IISD's Trade and Sustainable Development program works on building positive relationships between trade, the environment, and development. It examines how to make international accords, such as those made by the World Trade Organization, compatible with the goals of sustainable development. The Community Adaptation and Sustainable Livelihoods program identifies adaptive strategies for drylands in Africa and India, and it examines the influences of policies and new technology on local ways of life. The Great Plains program works with community, farm, government, and industry groups to assist

communities in the Great Plains region of North America with sustainable development. It focuses on government policies in agriculture, such as the Western Grain Transportation Act, as well as loss of transportation subsidies, the North American Free Trade Agreement (NAFTA), soil salination and loss of wetlands, job loss, and technological advances. Measurement and Indicators aims to set measurable goals and progress indicators for sustainable development. As part of this, IISD offers information about the successful uses of taxes and subsidies to encourage sustainability worldwide. Common Security focuses on initiatives of peace and consensus-building.

IISD's Information and Communications program offers several publications and Internet sites featuring information on sustainable development issues, terms, events, and media coverage. This includes *Earth Negotiations Bulletin*, which provides online coverage of major environmental and development negotiations (especially United Nations conferences), and IISDnet, with information about sustainable development worldwide. IISD also publishes books, monographs, and discussion papers, including *Sourcebook on Sustainable Development*, which lists organizations, databases, conferences, and other resources. IISD produces five journals that include *Developing Ideas*, published bimonthly both in print and electronic form, and featuring articles on sustainable development terms, issues, resources, and recent media coverage. *Earth Negotiations Bulletin* reports on conferences and negotiation meetings, especially United Nations conferences. IISD's Internet journal, *[linkages|journal]*, focuses on global negotiations. Its reporting service, Sustainable Developments, reports on environmental and development negotiations for meetings and symposia via the Internet. IISD also operates IISDnet, an Internet information site featuring research, new trends, global activities, contacts, information on IISD's activities and projects, including United Nations negotiations on environment and development, corporate environmental reporting, and information on trade issues.

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## ORGANIZATIONS

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## International Joint Commission

The International Joint Commission (IJC) is a permanent, independent organization of the United States and Canada formed to resolve trans boundary ecological concerns. Founded in 1912 as a result of provisions under the Boundary Waters Treaty of 1909, the IJC was patterned after an earlier organization, the Joint Commission, which was formed by the United States and Britain.

The IJC consists of six commissioners, with three appointed by the president of the United States, and three by the governor-in-council of Canada, plus support personnel. The commissioners and their organizations generally operate free from direct influence or instruction from their national governments. The IJC is frequently cited as an excellent model for international dispute resolution because of its history of successfully and objectively dealing with natural resources and environmental disputes between friendly countries.

The major activities of the IJC have dealt with apportioning, developing, conserving, and protecting the binational water resources of the United States and Canada. Some other issues, including transboundary air pollution, have also been addressed by the commission.

The power of the IJC comes from its authority to initiate scientific and socio-economic investigations, conduct quasi-judicial inquiries, and arbitrate disputes.

Of special concern to the IJC have been issues related to the Great Lakes. Since the early 1970s, IJC activities have been substantially guided by provisions under the 1972 and 1978 Great Lakes Water Quality Agreement plus updated protocols. For example, it is widely acknowledged, and well documented, that environmental quality and ecosystem health have been substantially degraded in the Great Lakes. In 1985 the Water Quality Board of the IJC recommended that

states and provinces with Great Lakes boundaries make a collective commitment to address this communal problem, especially with respect to pollution. These governments agreed to develop and implement remedial action plans (RAPs) toward the restoration of environmental health within their political jurisdictions. Forty-three areas of concern have been identified on the basis of environmental pollution, and each of these will be the focus of a remedial action plan.

An important aspect of the design and intent of the overall program, and of the individual RAPs, will be developing a process of integrated ecosystem management. Ecosystem management involves systematic, comprehensive approaches toward the restoration and protection of environmental quality. The ecosystem approach involves consideration of interrelationships among land, air, and water, as well as those between the inorganic environment and the biota, including humans. The ecosystem approach would replace the separate, more linear approaches that have traditionally been used to manage environmental problems. These conventional attempts have included directed programs to deal with particular resources such as fisheries, migratory birds, land use, or point sources and area sources of toxic emissions. Although these non-integrated methods have been useful, they have been limited because they have failed to account for important inter-relationships among environmental management programs, and among components of the ecosystem.

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### ORGANIZATIONS

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## International Primate Protection League

Founded in 1974 by Shirley McGreal, International Primate Protection League (IPPL) is a global conservation organization that works to protect non-human primates, especially monkeys and apes (chimpanzees, orangutans, gibbons, and gorillas).

IPPL has 30,000 members; branches in the United Kingdom, Germany, and Australia; and field representatives in thirty-one countries. Its advisory board consists of scientists, conservationists, and experts on primates, including the world-renowned primatologist Jane Goodall, whose famous studies and books are considered the authoritative texts on chimpanzees. Her studies have also heightened public interest and sympathy for chimpanzees and other nonhuman primates.

IPPL runs a sanctuary and rehabilitation center at its Summerville, South Carolina, headquarters, which houses two dozen gibbons and other abandoned, injured, or traumatized primates who are refugees from medical laboratories or abusive pet owners. IPPL concentrates on investigating and fighting the multi-million dollar commercial trafficking in primates for medical laboratories, the pet trade, and zoos, much of which is illegal trade and smuggling of endangered species protected by international law.

IPPL's work has helped to save the lives of thousands of monkeys and apes, many of which are threatened or endangered species. For example, the group was instrumental in persuading the governments of India and Thailand to ban or restrict the export of monkeys, which were being shipped by the thousands to research laboratories and pet stores across the world.

IPPL often undertakes actions and projects that are dangerous and require a good deal of skill. In 1992, its investigations led to the conviction of a Miami, Florida, animal dealer for conspiring to help smuggle six baby orangutans captured in the jungles of Borneo. The endangered orangutan is protected by the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES), as well as by the United States Endangered Species Act. In retaliation, the dealer unsuccessfully sued McGreal, as did a multi-national corporation she once criticized for its plan to capture chimpanzees and use them for hepatitis research in Sierra Leone.

One of IPPL's victories occurred in April 2002. In 1997 Chicago O'Hare airport received two shipments from Indonesia, each of which contained more than 250

illegally imported monkeys. Included in the shipments were dozens of unweaned baby monkeys. After several years of pursuing the issue, the U.S. Fish and Wildlife Service and the U.S. Federal prosecutors charged the LABS Company (a breeder of monkeys for research based in the United States) and several of its employees, including its former president, on eight felonies and four misdemeanors.

IPPL publishes *IPPL News*, online news updates, and periodic emails alerting members of events, volunteer and fundraising opportunities, and issues that affect primates worldwide.

### ORGANIZATIONS

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## International Register of Potentially Toxic Chemicals (U. N. Environment Programme)

The International Register of Potentially Toxic Chemicals is published by the United Nations Environment Programme (UNEP). Part of UNEP's Chemicals Branch and the UN's three-pronged Earthwatch program, the register is an international inventory of chemicals that threaten the environment. Along with the Global Environment Monitoring System and INFOTERRA, the register monitors and measures environmental problems worldwide. Information from the register is routinely shared with agencies in developing countries. Such countries have long been the toxic dumping grounds for the world, and they still use many chemicals that have been banned elsewhere. Environmental groups regularly send information from the register to toxic chemical users in developing countries as part of their effort to stop the export of toxic pollution.

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International Register of Potentially Toxic Chemicals, Chemin des Anémones 15, Châtelaine, Switzerland, CH-1219, +41-22-979 91 11, +41-22-979 91 70, [chemicals@chemicals.unep.ch](mailto:chemicals@chemicals.unep.ch)

**ORGANIZATIONS**

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Nicole Beatty

## International Society for Environmental Ethics

The International Society for Environmental Ethics (ISEE) is an organization that seeks to educate people about the environmental ethics and philosophy concerning nature. An environmental ethic is the philosophy that humans have a moral duty to sustain the natural environment. It attempts to answer how humans should treat other species (plant and animal), use Earth's natural resources, and place value on the aesthetic experiences of nature.

The society is an auxiliary organization of the American Philosophical Association, with hundreds of members in more than twenty countries. Many of ISEE's current members are philosophers, teachers, or environmentalists.

ISEE publishes a quarterly newsletter available to members and maintains an online archive of its publications. Of special note is the ISEE Bibliography, an ongoing project that contains one of the world's largest databases of works on environmental ethics with records from journals such as *Environmental Ethics*, *Environmental Values*, and the *Journal of Agricultural and Environmental Ethics*.

The ISEE Syllabus Project maintains a database of university-level course offerings in environmental philosophy and ethics, based on information from two-year community colleges and four-year state universities, private institutions, and master's- and doctorate-granting universities.

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## International trade in toxic waste

Just as computers, cars, and laundry soap are traded across borders, so too is the waste that accompanies their production. In the United States alone, industrial production accounts for at least 500 million lb (230 million kg) of hazardous waste a year. The industries of other developed nations also produce waste. Although some of it is disposed within national borders, a portion is sent to other countries where costs are cheaper and regulations less stringent than in the waste's country of origin.

Unlike consumer products, internationally traded hazardous waste has begun to meet local opposition. In some recent high-profile cases, barges filled with waste have traveled the world looking for final resting places. In at least one case, a ship may have dumped about ten tons of toxic municipal incinerator ash in the ocean after being turned away from dozens of ports. In recent years national and international bodies have begun to voice official opposition to this dangerous trade through bans and regulations.

Typically a manufacturing facility generates waste during the production process. The facility manager pays a waste-hauling firm to dispose of the waste. If the landfills in the country of origin cost too much, or if there are no landfills that will take the waste, the disposal firm will find a cheaper option, perhaps a landfill in another country. In the United States, the shipper must then notify the Environmental Protection Agency (EPA), which then notifies the State Department. After ascertaining that the destination country will indeed accept the waste, American regulators approve the sale.

Disposing of the waste overseas in a landfill is only the most obvious example of this international trade. Waste haulers also sell their cargo as raw materials for recycling. For example, used lead-acid batteries discarded by American consumers are sent to Brazil where factory workers extract and resmelt the lead. Though the lead-acid alone would classify as hazardous, whole batteries do not. Waste haulers can ship these batteries overseas without notification to Mexico,

Japan, and Canada, among other countries. In other cases, waste haulers sell products that have been banned in one country to buyers in another country that has no ban. Whatever the strategy for disposal, waste haulers are most commonly small, independent operators who provide a service to waste producers in industrialized countries.

These haulers bring waste to other countries to take advantage of cheaper disposal options and less stringent regulatory climates. Some countries forbid the disposal of the certain kinds of waste. Countries without such prohibitions will import more waste. Cheap landfills depend on cheap labor and land. Countries with an abundance of both can become attractive destinations. Entrepreneurs or government officials in countries, like Haiti, or regions within countries, such as Wales, that lack a strong manufacturing base, view waste disposal as a viable, inexpensive business. Inhabitants may view it as the best way to make money and create jobs.

A waste hauler's life becomes complicated when the receiving country's government or local activists discover that the waste may endanger health and the environment. Local regulators may step in and forbid the sale. This happened many times in the case of the *Khian Sea*, a ship that had contracted to dispose of Philadelphia's incinerator ash. The ship was turned away from Haiti, from Guinea-Bissau, from Panama, and from Sri Lanka. For two years, beginning in 1986, the ship carried the toxic ash from port to port looking for a home for its cargo before finally mysteriously losing the ash somewhere in the Indian Ocean.

In 1989 under the auspices of the United Nations Environment Programme (UNEP), the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and Their Disposal was negotiated. This requires shippers to obtain government permission from the destination country before sending waste to foreign landfills or incinerators. Critics contend that Basel merely formalizes the trade.

In 1991 the nations of the Organization of African Unity negotiated another treaty restricting the international waste trade. The Bamako Convention on the Ban of the Import into Africa and the Control of Transboundary Movement and Management of Hazardous Wastes within Africa criminalized the import of all hazardous waste. Bamako further forbade waste traders from importing to Africa materials that had been banned in one country to a country that has no such ban. Bamako also radically redefined the assessment of what constitutes a health hazard. Under the

treaty, all chemicals are considered hazardous until proven otherwise.

The European Union (EU) and Association of Southeast Asian Nations (ASEAN) have also negotiated regional transboundary hazardous waste agreements.

These international strategies find their echoes in national law. At least eighty-five African, Latin-Caribbean, and Asian-Pacific countries have banned hazardous waste imports. And the United States, in a policy similar to the Basel Convention, requires hazardous waste shipments to be authorized by the importing country's government.

The efforts to restrict toxic waste trade reflect, in part, a desire to curb environmental inequity. When waste flows from a richer country to a poorer country or region, the inhabitants living near the incinerator, landfill, or recycling facility are exposed to the dangers of toxic compounds. For example, tests of workers in the Brazilian lead resmelting operation found blood-lead levels several times the United States standard. Lead was also found in the water supply of a nearby farm after five cows died. The loose regulations that keep prices low and attract waste haulers mean that there are fewer safeguards for local health and the environment. For example, leachate from unlined landfills can contaminate local groundwater. Jobs in the disposal industry tend to be lower paying than jobs in manufacturing. The inhabitants of the receiving country receive the wastes of industrialization without the benefits.

E-waste from electronics, computer, video gaming systems, and cell phones also contributes to toxic waste problems, largely in developing nations where electronics are sent for disassembly, processing, separating, or recycling. Elevated levels of mercury, cadmium, lead, beryllium oxide, and other toxic substances have been identified in communities near e-waste processing sites in Asia.

Stopping the waste trade is a way to force manufacturers to change production processes. As long as cheap disposal options exist, there is little incentive to change. A waste-trade ban makes hazardous waste expensive to discard, and will force business to search for ways to reduce this cost.

Companies that want to reduce their hazardous waste may opt for source reduction, which limits the hazardous components in the production process. This can both reduce production costs and increase output. Measures forced by a lack of disposal options can therefore benefit the corporate bottom line, while reducing risks to health and the environment.

See also Environmental law; Environmental policy; Groundwater pollution; Incineration; Industrial waste treatment; Leaching; Ocean dumping; Radioactive waste; Radioactive waste management; Smelter; Solid waste; Solid waste incineration; Solid waste recycling and recovery; Solid waste volume reduction; Storage and transport of hazardous material; Toxic substance; Waste management; Waste reduction.

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Alair MacLean

International Union for the Conservation of Nature and Natural Resources see **IUCN—The World Conservation Union.**

## International Voluntary Standards

International Voluntary Standards are industry guidelines or agreements that provide technical specifications so that products, processes, and services can be used worldwide. The need for development of a set of international standards to be followed and used consistently for environmental management systems was recognized in response to an increased desire by the global community to improve environmental management practices. The International Organization for Standardization or ISO, which is located in Geneva, Switzerland, maintains development of a strategic plan to promote a common international approach to environmental management. ISO 14000 is a series of voluntary international environmental standards

that is developed by ISO and its 163 member nations, including the United States. Some of the standards developed by ISO include standardized sampling, testing, and analytical methods for use in the monitoring of environmental variables such as the quality of air, water, and soil.

Marie H. Bundy

International Whaling Commission see **International Convention for the Regulation of Whaling (1946).**

## International Wildlife Coalition

The International Wildlife Coalition (IWC) was established by a small group of individuals who came from a variety of environmental and animal rights organizations in 1984. Like many NGOs (nongovernmental organizations) that arose in the 1970s and 1980s, the group's initial work involved the protection of whales. The IWC raised money for whale conservation programs on endangered Atlantic humpback whale populations. This was one of the first species where researchers identified individual animals through tail photographs. Using this technique the IWC developed what is now a common tool, a whale adoption program based on individual animals with human names.

From that basis, the fledgling group established itself in an advocacy role with three principles in their mandate: to prevent cruelty to wildlife, to prevent killing of wildlife, and to prevent destruction of wildlife habitat. In light of those principles, the IWC can be characterized as an extended animal rights organization. The group maintains the prevention-cruelty aspect common to humane societies, perhaps the oldest progenitor of animal rights groups. In standing by an ethic of preventing killing, members stand with animal rights groups, but by protecting habitat they take a more significant step by acting in a broad way to achieve their initial two principles.

The program thus works at both ends of the spectrum, undertaking wildlife rehabilitation and other programs dealing with the individual animals, as well as lobbying and promoting letter writing campaigns to improve wildlife legislation. For example, members used their Brazilian office to create pressure to combat the international trade in exotic pets, and their Canadian office to oppose the harp seal hunt and the

deterioration of Canada's impending endangered species legislation. Their United States-based operation has built a reputation in the research field working with government agencies to ensure that whale-watching on the eastern seaboard does not harm the whales.

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## Intrinsic value

Intrinsic value describes attributes of an entity that may not be calculable in market-based monetary valuations. However, intrinsic values may nevertheless be valuable. The northern spotted owl (*Strix occidentalis caurina*) for example, has no instrumental or market value; it is not a means to any human end, nor is it sold or traded in any market. But, environmentalists argue, utility and price are not the only measures of worth. Indeed, they say, some of the things humans value most—truth, love, respect—are not for sale at any price, and to try to put a price on them would only tend to cheapen them. Such things have “intrinsic value.”

Similarly, environmentalists say, the natural environment and its myriad life-forms are valuable in their own right. Wilderness, for instance, has intrinsic value and is worthy of protecting for its own sake. To say that something has intrinsic value is not necessarily to deny that it may also have instrumental value for humans and non-human animals alike. Deer, for example, have intrinsic value; but they also have instrumental value as a food source for wolves and other predator species.

See also Shadow pricing.

## Introduced species

Introduced species (also called invasive species) are those that have been released by humans into an area to which they are not native. These releases can occur accidentally, from places such as the cargo holds of ships. They can also occur intentionally, and species have been introduced for a range of ornamental and recreational uses, as well as for agricultural, medicinal, and pest control purposes.

Introduced species can have dramatically unpredictable effects on the environment and native species. Such effects can include overabundance of the introduced species, competitive displacement, and disease-caused mortality of the native species. Numerous examples of adverse consequences associated with the accidental release of species or the long term effects of deliberately introduced species exist in the United States and around the world. Introduced species can be beneficial as long as they are carefully regulated. Almost all the major varieties of grain and vegetables used in the United States originated in other parts of the world. This includes corn, rice, wheat, tomatoes, and potatoes.

The kudzu vine, which is native to Japan, was deliberately introduced into the southern United States for erosion control and to shade and feed livestock. It is, however, an extremely aggressive and fast-growing species, and it can form continuous blankets of foliage that cover forested hillsides, resulting in malformed and dead trees. Other species introduced as ornamentals have spread into the wild, displacing or outcompeting native species. Several varieties of cultivated roses, such as the multiflora rose, are serious pests and nuisance shrubs in fields and pastures. The purple loosestrife, with its beautiful purple flowers, was originally brought from Europe as a garden ornamental. It has spread rapidly in freshwater wetlands in the northern United States, displacing other plants such as cattails. This is viewed with concern by ecologists and wildlife biologists since the food value of loosestrife is minimal, while the roots and starchy tubes of cattails are an important food source to muskrats. Common ragweed was accidentally introduced to North America, and it is now a major health irritant for many people.

Introduced species are sometimes so successful because human activity has changed the conditions of a particular environment. The Pine Barrens of southern New Jersey form an ecosystem that is naturally acidic and low in nutrients. Bogs in this area support a number of slow-growing plant species that

are adapted to these conditions, including peat moss, sundews, and pitcher plants. But urban runoff, which contains fertilizers, and wastewater effluent, which is high in both nitrogen and phosphorus, have enriched the bogs; the waters there have become less acidic and shown a gradual elevation in the concentration of nutrients. These changes in aquatic chemistry have resulted in changes in plant species, and the acidophilus mosses and herbs are being replaced by fast-growing plants that are not native to the Pine Barrens.

Zebra mussels were transported by accident from Europe to the United States, and they are causing severe problems in the Great Lakes. They proliferate at a prodigious rate, crowding out native species and clogging industrial and municipal water-intake pipes. Many ecologists fear that shipping traffic will transport the zebra mussel to harbors all over the country. Scattered observations of this tiny crustacean have already been made in the lower Hudson River in New York.

Although introduced species are usually regarded with concern, they can occasionally be used to some benefit. The water hyacinth is an aquatic plant of tropical origin that has become a serious clogging nuisance in lakes, streams, and waterways in the southern United States. Numerous methods of physical and chemical removal have been attempted to eradicate or control it, but research has also established that the plant can improve water quality. The water hyacinth has proved useful in the withdrawal of nutrients from sewage and other wastewater. Many constructed wetlands, polishing ponds, and waste lagoons in waste treatment plants now take advantage of this fact by routing wastewater through floating beds of water hyacinth.

The reintroduction of native species is extremely difficult, and it is an endeavor that has had low rates of success. Efforts by the U.S. Fish and Wildlife Service to reintroduce the endangered whooping crane into native habitats in the southwestern United States were initially unsuccessful because of the fragility of the eggs, as well as the poor parenting skills of birds raised in captivity. The FWS then devised a strategy of allowing the more common sandhill crane to incubate the eggs of captive whooping cranes in wilderness nests, and the fledglings were then taught survival skills by their surrogate parents. Such projects, however, are extremely time and labor intensive; they are also costly and difficult to implement for large numbers of most species.

Due to the difficulties and expense required to protect native species and to eradicate introduced species, there are not many international laws and policies that seek to prevent these problems before they begin. Thus

customs agents at ports and airports routinely check luggage and cargo for live plant and animal materials to prevent the accidental or deliberate transport of non-native species. Quarantine policies are also designed to reduce the probability of spreading introduced species, particularly diseases, from one country to another.

There are similar concerns about genetically engineered organisms, and some have argued that their creation and release could have the same environmental consequences as some introduced species. Both the Food and Drug Administration and the Environmental Protection Agency (EPA) impose some controls on the field testing of bioengineered products, as well as on their cultivation and use.

Conservation policies for the protection of native species are now focused on habitats and ecosystems rather than single species. It is easier to prevent the encroachment of introduced species by protecting an entire ecosystem from disturbance, and this is increasingly well recognized both inside and outside the conservation community.

*See also* Bioremediation; Endangered species; Fire ants; Gypsy moth; Rabbits in Australia; Wildlife management.

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Inversion *see* **Atmospheric inversion.**

## Iodine 131

Iodine 131 is a radioactive isotope of the element iodine. During the 1950s and early 1960s, iodine-131 attached to atmospheric water droplets and dust was a major public health concern because of open testing of atomic weapons. Along with cesium-137 and strontium-90, iodine-131 was one of the three most abundant isotopes found in the fallout from the atmospheric

testing of nuclear weapons. These three isotopes settled to the earth's surface and were ingested by cows, ultimately affecting humans by way of dairy products. In the human body, iodine-131, like all forms of that element, tends to concentrate in the thyroid, where it may cause cancer and other health disorders. The 1986 Chernobyl nuclear reactor explosion in the Ukraine is also known to have released large quantities of iodine-131 into the atmosphere.

*See also* Radioactivity.

## Ion

An atom that has gained or lost electrons. Ions are electrically charged. Positive ions result from negatively charged electrons and are called *cations* because when charged electrodes are placed in a solution containing ions, the positive ions migrate to the cathode (negative electrode). Negative ions (those that have gained extra electrons) are called *anions* because they migrate toward the anode (positive electrode). Environmentally important cations include the hydrogen ion ( $H^+$ ) and dissolved metals. Important anions include the hydroxyl ion ( $OH^-$ ) as well as many of the dissolved ions of nonmetallic elements.

*See also* Ion exchange; Ionizing radiation.

## Ion exchange

The process of replacing one ion that is attached to a charged surface with another. An example of ion exchange is the exchange of cations bound to soil particles. Soil clay minerals and organic matter both have negative surface charges that bind cations. In a fertile soil the predominant exchangeable cations are  $Ca^{2+}$ ,  $Mg^{2+}$  and  $K^+$ . In acid soils  $Al^{3+}$  and  $H^+$  are also important exchangeable ions. When materials containing cations are added to soil, cations leaching through the soil are retarded by cation exchange.

## Ionizing radiation

High-energy radiation with penetrating competence such as x rays and gamma rays, which induces ionization in living material. Molecules are bound

together with covalent bonds, and generally an even number of electrons binds the atoms together. However, high-energy penetrating radiation can fragment molecules resulting in atoms with unpaired electrons known as "free radicals." The ionized free radicals are exceptionally reactive, and their interaction with the macromolecules (DNA, RNA, and proteins) of living cells can, with high dosage, lead to cell death. Cell damage (or death) is a function of penetration ability, the kind of cell exposed, the length of exposure, and the total dose of ionizing radiation. Cells that are mitotically active and have a high oxygen content are most vulnerable to ionizing radiation.

*See also* Radiation exposure; Radiation sickness; Radioactivity.

## Iron minerals

The oxides and hydroxides of ferric iron (Fe(III)) are important minerals in many soils, and are important suspended solids in some fresh water systems. Common oxides and hydroxides of iron include goethite, hematite, lepidocrocite, and ferrihydrite.

These minerals tend to be very finely divided and can be found in the clay-sized fraction of soils, and like other clay-sized minerals, are important adsorbers of ions. At high pH they adsorb hydroxide ( $OH^-$ ) ions creating negatively charged surfaces that contribute to cation exchange surfaces. At low pH they adsorb hydrogen ( $H^+$ ) ions, creating anion exchange surfaces. In the pH range between 8 and 9 the surfaces have little or no charge. Iron hydroxide and oxide surfaces strongly adsorb some environmentally important anions, such as phosphate, arsenate, and selenite, and cations such as copper, lead, manganese, and chromium. These ions are not exchangeable, and in environments where iron oxides and hydroxides are abundant, surface adsorption can control the mobility of these strongly adsorbed ions.

The hydroxides and oxides of iron are found in the greatest abundance in older highly weathered landscapes. These minerals are very insoluble; during soil weathering they form from the iron that is released from the structure of the soil-forming minerals. Thus, iron oxide and hydroxide minerals tend to be most abundant in old landscapes that have not been affected by glaciation, and in landscapes where the rainfall is high and the rate of soil mineral weathering is high. These minerals give the characteristic red (hematite or ferrihydrite) or yellow-brown

(goethite) colors to soils that are common in the tropics and subtropics.

See also Arsenic; Erosion; Ion exchange; Phosphorus; Soil profile; Soil texture.

Irradiation of food see **Food irradiation**.

## Irrigation

Irrigation is the method of supplying water to land to support plant growth. This technology has had a powerful role in the history of civilization. In arid regions sunshine is plentiful and soil is usually fertile, so irrigation supplies the critical factor needed for plant growth. Yields have been high, but not without costs. Historic problems include salinization and water logging; contemporary difficulties include immense costs, spread of water-borne diseases, and degraded aquatic environments.

One geographer described California's Sierra Nevada as the "mother nurse of the San Joaquin Valley." Its heavy winter snowpack provides abundant and extended runoff for the rich valley soils below. Numerous irrigation districts, formed to build diversion and storage dams, supply water through gravity-fed canals. The snow melt is low in nutrients, so salinization problems are minimal. Wealth from the lush fruit orchards has enriched the state.

By contrast, the Colorado River, like the Nile, flows mainly through arid lands. Deeply incised in places, the river is also limited for irrigation by the high salt

content of desert tributaries. Still, demand for water exceeds supply. Water crossing the border into Mexico is so saline that the U.S. government built a desalinization plant at Yuma, Arizona. Colorado River water is imperative to the Imperial Valley, which specializes in winter produce in the rich, delta soils. To reduce salinization problems, one-fifth of the water used must be drained off into the growing Salton Sea.

Salinization and water logging have long plagued the Tigris, Euphrates, and Indus River flood plains. Once fertile areas of Iraq and Pakistan are covered with salt crystals. Half of the irrigated land in the western United States is threatened by salt buildup.

Some of the worst problems are degraded aquatic environments. The Aswan High Dam in Egypt has greatly amplified surface evaporation, reduced nutrients to the land and to fisheries in the delta, and has contributed to the spread of schistosomiasis via water snails in irrigation ditches. Diversion of drainage away from the Aral Sea for cotton irrigation has severely lowered the shoreline, and threatens this water body with ecological disaster.

In the United States, spray irrigation in the High Plains is lowering the Ogallala Aquifer's water table, raising pumping costs. Kesterson Marsh in the San Joaquin Valley has become a hazard to wildlife because of selenium poisoning from irrigation drainage. The U.S. Bureau of Reclamation has invested huge sums in dams and reservoirs in western states. Some question the wisdom of such investments, given the past century of farm surpluses, and argue that water users are not paying the true cost.

Irrigation still offers great potential, but only if used with wisdom and understanding. New technologies may yet contribute to the world's ever-increasing need for food.

See also Climate; Commercial fishing; Reclamation.

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Farm irrigation system. (Tish1/Shutterstock.com)

Nathan H. Meleen

## Island biogeography

Island biogeography is the study of past and present animal and plant distribution patterns on islands and the processes that created those distribution patterns. Historically, island biogeographers mainly studied geographic islands—continental islands close to shore in shallow water and oceanic islands of the deep sea. In the last several decades, however, the study and principles of island biogeography have been extended to ecological islands such as forests and prairie fragments isolated by human development. Biogeographic “islands” may also include ecosystems isolated on mountaintops and landlocked bodies of water such as Lake Malawi in the African Rift Valley. Geographic islands, however, remain the main laboratories for developing and testing the theories and methods of island biogeography.

### Equilibrium theory

Until the 1960s, biogeographers thought of islands as living museums—relict (persistent remnant of an

otherwise extinct species of plant or animal) scraps of mainland ecosystems in which little changed—or closed systems mainly driven by evolution. That view began to change radically in 1967 when Robert H. MacArthur and Edward O. Wilson published *The Theory of Island Biogeography*.

In their book, MacArthur and Wilson detail the equilibrium theory of island biogeography—a theory that became the new paradigm of the field. The authors proposed that island ecosystems exist in dynamic equilibrium, with a steady turnover of species. Larger islands—as well as islands closest to a source of immigrants—accommodate the most species in the equilibrium condition, according to the theory. MacArthur and Wilson also worked out mathematical models to demonstrate and predict how island area and isolation dictate the number of species that exist in equilibrium.

### Dispersion

The driving force behind species distribution is dispersion—the means by which plants and animals



Aerial view of the Maldives Islands in the Indian Ocean. The islands are fringed with coral reefs and separated by deep water. Ring-shaped coral atolls are also seen. (Alexis/Photo Researchers, Inc.)

actively leave or are passively transported from the source area. An island ecosystem can have more than one source of colonization, but nearer sources dominate. How readily plants or animals disperse is one of the main reasons equilibrium will vary from species to species.

Birds and bats are obvious candidates for anemochory (dispersal by air), but some species normally not associated with flight are also thought to reach islands during storms or even normal wind currents. Orchids, for example, have hollow seeds that remain airborne for hundreds of kilometers. Some small spiders, along with other insects like bark lice, aphids, and ants (collectively known as aerial plankton), often are among the first pioneers of newly formed islands.

Whether actively swimming or passively floating on logs or other debris, dispersal by sea is called thalassochory. Crocodiles have been found on Pacific islands 600 miles (950 km) from their source areas, but most amphibians, larger terrestrial reptiles, and, in particular, mammals, have difficulty crossing even narrow bodies of water. Thus, thalassochory is the medium of dispersal primarily for fish, plants, and insects. Only small vertebrates such as lizards and snakes are thought to arrive at islands by sea on a regular basis.

Zoochory is transport either on or inside an animal. This method is primarily a means of plant dispersal, mostly by birds. Seeds ride along either stuck to feathers or survive passage through a bird's digestive tract and are deposited in new territory.

Anthropochory is dispersal by human beings. Although humans intentionally introduce domestic animals to islands, they also bring unintended invaders, such as rats.

Getting to islands is just the first step, however. Plants and animals often arrive to find harsh and alien conditions. They may not find suitable habitats. Food chains they depend on might be missing. Even if they manage to gain a foothold, their limited numbers make them more susceptible to extinction. Chances of success are better for highly adaptable species and those that are widely distributed beyond the island. Wide distribution increases the likelihood a species on the verge of extinction may be saved by the rescue effect, the replenishing of a declining population by another wave of immigration.

### Challenging established theories

Many biogeographers point out that isolated ecosystems are more than just collections of species that can make it to islands and survive the conditions they

encounter there. Several other contemporary theories of island biogeography build on MacArthur and Wilson's theory; other theories contradict it.

Equilibrium theory suggests that species turnover is constant and regular. Evidence collected so far indicates MacArthur and Wilson's model works well in describing communities of rapid dispersers who have a regular turnover, such as insects, birds, and fish. However, this model may not apply to species who disperse more slowly.

Proponents of historical legacy models argue that communities of larger animals and plants (forest trees, for example) take so long to colonize islands that changes in their populations probably reflect sudden climatic or geological upheaval rather than a steady turnover. Other theories suggest that equilibrium may not be dynamic, that there is little or no turnover. Through competition, established species keep out new colonists; the newcomers might occupy the same ecological niches as their predecessors. Established species may also evolve and adapt to close off those niches. Island resources and habitats may also be distinct enough to limit immigration to only a few well-adapted species.

Thus, in these later models, dispersal and colonization are not nearly as random as in MacArthur and Wilson's model. These less random, more deterministic theories of island ecosystems conform to specific assembly rules—a complex list of factors accounting for the species present in the source areas, the niches available on islands, and competition between species.

Some biogeographers suggest that every island—and perhaps every habitat on an island—may require its own unique model. Human disruption of island ecosystems further clouds the theoretical picture. Not only are habitats permanently altered or lost by human intrusion, but anthropochory also reduces an island's isolation. Thus, finding relatively undisturbed islands to test different theories can be difficult.

Since the time of naturalists Charles Darwin and his colleague, Alfred Wallace, islands have been ideal “natural laboratories” for studying evolution. Patterns of evolution stand out on islands for two reasons: island ecosystems tend to be simpler than other geographical regions, and they contain greater numbers of endemic species, plant, and animal species occurring only in a particular location.

Many island endemics are the result of adaptive radiation—the evolution of new species from a single lineage for the purpose of filling unoccupied ecological niches. Many species from mainland source areas simply never make it to islands, so species that can

immigrate find empty ecological niches where once they faced competition. For example, monitor lizards immigrating to several small islands in Indonesia found the niche for large predators empty. Monitors on these islands evolved into Komodo Dragons, filling the niche.

### Conservation of biodiversity

Theories of island biogeography also have potential applications in the field of conservation. Many conservationists argue that as human activity such as logging and ranching encroach on wild lands, remaining parks and reserves begin to resemble small, isolated islands. According to equilibrium theory, as those patches of wild land grow smaller, they support fewer species of plants and animals. Some conservationists fear that plant and animal populations in those parks and reserves will sink below minimum viable population levels—the smallest number of individuals necessary to allow the species to continue reproducing. These conservationists suggest that one way to bolster populations is to set aside larger areas and to limit species isolation by connecting parks and preserves with wildlife corridors.

Islands with the greatest variety of habitats support the most species; diverse habitats promote successful dispersal, survival, and reproduction. Thus, in attempting to preserve island biodiversity, conservationists focus on several factors: the size (the larger the island, the more habitats it contains), climate, geology (soil that promotes or restricts habitats), and age of the island (sparse or rich habitats). All of these factors must be addressed to ensure island biodiversity.

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Darrin Gunkel

## ISO 14000: International Environmental Management Standards

ISO 14000 refers to a series of environmental management standards that were adopted by the International Organization for Standardization (ISO) in 1996 and are implemented by businesses worldwide, including businesses themselves, their legal representatives, environmental organizations and their members, government officials, and others.

### What is the ISO and what are ISO standards?

The International Organization for Standardization (ISO) is a private (nongovernmental) worldwide organization whose purpose is to promote uniform standards in international trade. Its members are elected representatives from national standards organizations in 163 countries. The ISO covers all fields involving promoting goods, services, or products and where a member body suggests that standardization is desirable, with the exception of electrical and electronic engineering, which are covered by a different organization called the International Electrotechnical Commission (IEC). However, the ISO and the IEC work closely together.

Since the ISO began operations in 1947, its Central Secretariat has been located in Geneva, Switzerland. Between 1951 (when it published its first standard) and 2010, the ISO issued over 10,000 standards. Standards are documents containing technical specifications, rules, guidelines, and definitions to ensure equipment, products, and services perform as specified.

The ISO series is a set of standards for quality management and quality assurance. The standards apply to processes and systems that produce products; they do not apply to the products themselves. Further, the standards provide a general framework for any industry; they are not industry-specific. A company that has become registered under ISO has demonstrated that it has a documented system for quality that is in place and consistently applied. ISO 14000 standards apply to all kinds of companies whether large or small, in services or manufacturing.

The impetus for the ISO 14000 series came from the United Nations Conference on the Environment and Development (UNCED), which was held in Rio de Janeiro in 1992 and attended by representatives of over one hundred nations. One of the documents resulting from that conference was the *Global Environmental Initiative*, which prompted the ISO to develop

its ISO 14000 series of international environmental standards. The ISO's goal is to insure that businesses adopt common internal procedures for environmental controls including, but not limited to, audits. It is important to note that the standards are process standards, not performance standards. The goal is to ensure that businesses are in compliance with their own national and local applicable environmental laws and regulations. The initial standards in the series include numbers 14001, 14004, and 14010-14012; all of them adopted by the ISO in 1996.

### Provisions of ISO 14000 Series standards

ISO 14000 sets up criteria pursuant to which a company may become registered or certified as to its environmental management practices.

Central to the process of registration pursuant to ISO 14000 is a company's Environmental Management System (EMS). The EMS is a set of procedures for assessing compliance with environmental laws and company procedures for environmental protection, identifying and resolving problems, and engaging the company's workforce in a commitment to improved environmental performance by the company.

ISO 14001 series can be divided into two groups: guidance documents and specification documents. The series sets out standards against which a company's EMS will be evaluated. For example, it must include an accurate summary of the legal standards with which the company must comply, such as permit stipulations, and relevant provisions of statutes and regulations, and even provisions of administrative or court-certified consent judgments. To become certified, the EMS must: (1) include an environmental policy; (2) establish plans to meet environmental goals and comply with legal requirements; (3) provide for implementation of the policy and operation under it including training for personnel, communication, and document control; (4) set up monitoring and measurement devices and an audit procedure to insure continuing improvement; and (5) provide for management review. The EMS must be certified by a registrar who has been qualified under ISO 13012, a standard that predates the ISO 14000 series.

The ISO 14004 series is a guidance document that gives advice that may be followed but is not required. It includes five principles, each of which corresponds to one of the five areas of ISO 14001 listed above.

ISO 14010, 14011, and 14012 are auditing standards. For example, 14010 covers general principles of environmental auditing, and 14011 provides guidelines for auditing of an EMS. ISO 14012 provides

guidelines for establishing qualifications for environmental auditors, whether those auditors are internal or external.

### Plans for additional standards within the ISO 14000 Series standards

The ISO is considering proposals for standards on training and certifying independent auditors (called registrars) who will certify that ISO 14000-certified business have established and adhere to stringent internal systems to monitor and improve their own environmental protection actions. Later the ISO may also establish standards for assessing a company's environmental performance. Standards may be adopted for use of eco-labeling and life cycle assessment of goods involved in international trade.

### Benefits and consequences of ISO 14000 Series standards

A company contemplating obtaining ISO 14000 registration must evaluate its potential advantages as well as its costs to the company.

ISO 14000 certification may bring various rewards to companies. For example, many firms are hoping that, in return for obtaining ISO 14000 certification (and the actions required to do so), regulatory agencies such as the U.S. Environmental Protection Agency (EPA) will give them more favorable treatment. For example, leniency might be shown in less stringent filing or monitoring requirements or even less severe sanctions for past or present violations of environmental statutes and regulations.

Further, compliance may be merely for good public relations, leading consumers to view the certified company as a good corporate citizen that works to protect the environment. There is public pressure on companies to demonstrate their environmental stewardship and accountability; obtaining ISO 14000 certification is one way to do so.

The costs to the company will depend on the scope of the EMS. For example, the EMS might be international, national, or limited to individual plants operated by the company. That decision will affect the costs of the environmental audit considerably. National and international systems may prove to be costly.

Nevertheless, a company may realize cost savings. For example, an insurance company may give reduced rates on insurance to cover accidental pollution releases to a company that has a proven environmental management system in place. Internally, by implementing an

EMS, a company may realize cost savings as a result of waste reduction, use of fewer toxic chemicals, less energy use, and recycling.

ISO 14000 has potential consequences with respect to international law as well as international trade. ISO 14000 is intended to promote a series of universally accepted EMS practices and lead to consistency in environmental standards between and among trading partners. Some developing countries such as Mexico are reviewing ISO 14000 standards and considering incorporating their provisions within their own environmental laws and regulations. On the other hand, some developing countries have suggested that environmental standards created by ISO 14000 may constitute nontariff barriers to trade, in that costs of ISO 14000 registration may be prohibitively high for small- to medium-size companies.

Companies that implemented various revisions of ISO have learned to view their companies' operations through the lenses of quality of management and environmental quality. ISO 14000 has the potential to lead to two kinds of cultural changes. First, within the corporation, it has the potential to lead to consideration of environmental issues throughout the company and its business decisions ranging from hiring of employees to marketing. Second, ISO 14000 has the potential to become part of a global culture as the public comes to view ISO 14000 certification as a benchmark connoting good environmental stewardship by a company.

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Paulette L. Stenzel

## Isotope

Atoms of the same element (number of protons) with different numbers of neutrons. Isotopes have the same atomic number, but differ in atomic mass. Atoms consist of a nucleus, containing positively charged particles (protons) and neutral particles (neutrons), surrounded by negatively charged particles (electrons). Isotopes of an element differ only in the number of neutrons in the nucleus and hence in atomic

weight. Isotopes vary in stability and some may be highly unstable and undergo relatively rapid radioactive decay. An element may have several stable and radioactive isotopes, but most elements have only two or three isotopes that are common. Also, for most elements, the radioactive isotopes are only of concern in material exposed to certain types of radiation sources. Carbon has three important isotopes with atomic weights of 12, 13, and 14. C-12 is stable and represents 98.9 percent of natural carbon. C-13 is also stable and represents 1.1 percent of natural carbon. C-14 represents an insignificant fraction of naturally occurring carbon, but it is radioactive and important because its radioactive decay is valuable in the dating of fossils and ancient artifacts. It is also useful in tracing the reactions of carbon compounds.

*See also* Nuclear fission; Nuclear power; Radioactivity; Radiocarbon dating.

## Itai-itai disease

The symptoms of Itai-itai disease were first observed in 1913 and characterized between 1947 and 1955; it was 1968, however, before the Japanese Ministry of Health and Welfare officially declared that the disease was caused by chronic cadmium poisoning in conjunction with other factors such as the stresses of pregnancy and lactation, aging, and dietary deficiencies of vitamin D and calcium. The name arose from the cries of pain, "itai-itai" (ouch-ouch), by the most seriously stricken victims—older Japanese farm women. Although men, young women, and children were also exposed, 95 percent of the victims were post-menopausal women over fifty years of age. They usually had given birth to several children and had lived more than thirty years within 2 miles (3 km) of the lower stream of the Jinzu River near Toyama.

The disease started with symptoms similar to rheumatism, neuralgia, or neuritis. Then came bone lesions, osteomalacia, and osteoporosis, along with renal dysfunction and proteinuria. As it escalated, pain in the pelvic region caused the victims to walk with a duck-like gait. Next, they were incapable of rising from their beds because even a slight strain caused bone fractures. The suffering could last many years before it finally ended with death. Overall, an estimated 199 victims have been identified, of which 162 had died by December 1992.

The number of victims increased during and after World War II as production expanded at the Kamioka

Mine owned by the Mitsui Mining and Smelting Company. As 3,000 tons of zinc-lead ore per day were mined and smelted, cadmium was discharged in the wastewater. Downstream, farmers withdrew the fine particles of flotation tailings in the Jinzu River along with water for drinking and crop irrigation. As rice plants were damaged near the irrigation inlets, farmers dug small sedimentation pools that were ineffective against the nearly invisible poison.

Both the numbers of Itai-itai disease patients and the damage to the rice crops rapidly decreased after the mining company built a large settling basin to purify the wastewater in 1955. However, even after the discharge into the Jinzu River was halted, the cadmium already in the rice paddy soils was augmented by airborne exhausts. Mining operations in several other Japanese prefectures also produced cadmium-contaminating rice, but afflicted individuals were not certified as Itai-itai patients. That designation was applied only to those who lived in the Jinzu River area.

In 1972 the survivors and their families became the first pollution victims in Japan to win a lawsuit against a major company. They won because in 1939 Article 109 of the Mining Act had imposed strict liability upon mining facilities for damages caused by their activities. The plaintiffs had only to prove that cadmium discharged from the mine caused their disease, not that the company was negligent. As epidemiological proof of causation sufficed as legal proof in this case, it set a precedent for other pollution litigation as well.

Despite legal success and compensation, the problem of contaminated rice continued. In 1969 the government initially set a maximum allowable standard of 0.4 parts per million (ppm) cadmium in unpolished rice. However, because much of the contaminated farmland produced grain in excess of that level, in 1970, under the Foodstuffs Hygiene Law this was raised to 1 ppm cadmium for unpolished rice and 0.9 ppm cadmium for polished rice. To restore contaminated farmland, Japanese authorities instituted a program in which, each year, the most highly contaminated soils in a small area were exchanged for uncontaminated soils. Less contaminated soils were rehabilitated through the addition of lime, phosphate, and a cadmium sequestering agent, EDTA.

By 1990 about 10,720 acres (4,340 ha), or 66.7 percent, of the approximately 16,080 acres (6,510 ha) of the most highly cadmium contaminated farmland had been restored. In the remaining contaminated areas where farm families continued to eat homegrown

rice, the symptoms were alleviated by treatment with massive doses of vitamins B1, B12, D, calcium, and various hormones.

*See also* Bioaccumulation; Environmental law; Heavy metals and heavy metal poisoning; Mine spoil waste; Smelter; Water pollution.

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Frank M. D'Itri

## IUCN—The World Conservation Union

Founded in 1948, the International Union for the Conservation of Nature (IUCN) works with governments, conservation organizations, and industry groups to conserve wildlife and approach the world's environmental problems using "sound scientific insight and the best available information." Its membership, currently over 1,000 member organizations, comes from 140 countries and includes over 200 government agencies and over 800 non-governmental organizations (NGOs). IUCN exists to serve its members, representing their views and providing them with the support necessary to achieve their goals. Above all, IUCN works with its members "to achieve development that is sustainable and that provides a lasting improvement in the quality of life for people all over the world." IUCN's three basic conservation objectives are: (1) to secure the conservation of nature, and especially of biological diversity, as an essential foundation for the future; (2) to ensure that where the earth's natural resources are used, this is done in a wise, equitable, and sustainable way; (3) to guide the

development of human communities toward ways of life that are both of good quality and in enduring harmony with other components of the biosphere.

IUCN is one of the few organizations to include both governmental agencies and nongovernmental organizations. It is in a unique position to provide a neutral forum where these organizations can meet, exchange ideas, and build partnerships to carry out conservation projects. IUCN is also unusual in that it both develops environmental policies and then implements them through the projects it sponsors. Because the IUCN works closely with, and its membership includes, many government scientists and officials, the organization often takes a conservative, pro-management, as opposed to a preservationist, approach to wildlife issues. It may encourage or endorse limited hunting and commercial exploitation of wildlife if it believes this can be carried out on a sustainable basis.

IUCN maintains a global network of almost 11,000 scientists and wildlife professionals who are organized into six standing commissions that deal with various aspects of the union's work. There are commissions on Ecosystem Management: Education and Communication; Environmental, Economic, and Social Policy; Environmental Law; Protected Areas; and Species Survival. These commissions create action plans, develop policies, advise on projects and programs, and contribute to IUCN publications, all on an unpaid, voluntary basis.

IUCN publishes an authoritative series of Red Data Lists, describing the status of rare and endangered wildlife. Each volume provides information on the population, distribution, habitat and ecology, threats, and protective measures in effect for listed species. The Red-Data-Lists concept was originated in the mid-1960s by the famous British conservationist Sir Peter Scott, and the series now includes a variety of publications on regions and species. Other titles in the series of "Red Data Lists" include *Dolphins, Porpoises, and Whales of the World*; *Lemurs of Madagascar and the Comoros*; *Threatened Primates of Africa*; *Threatened Swallowtail Butterflies of the World*; *Threatened Birds of the Americas*; and books on plants and other species of wildlife, including a series of conservation action plans for threatened species.

Other notable IUCN works include *World Conservation Strategy: Living Resources Conservation for Sustainable Development* and its successor document *Caring for the Earth—A Strategy for Sustainable Living*; and the *United Nations List of Parks and Protected Areas*. IUCN also publishes books and

papers on regional conservation, habitat preservation, environmental law and policy, ocean ecology and management, and conservation and development strategies.

Every four years the IUCN publishes a detailed analysis of its Red List. The most recent analysis, released in July 2009 and titled *Wildlife in a Changing World—An Analysis of the 2008 IUCN Red List of Threatened Species*, examines all 44,838 species on the IUCN Red List and presents the results by groups of species, geographical regions, and habitats. It shows that life on Earth remains under serious threat. Nearly one-third of amphibians, more than 12 percent of birds, and nearly 25 percent of mammals are threatened with extinction. The report also details trends of extinction risk in groups of species, and these trends reveal that birds, mammals, amphibians, and corals show a continuing decline, with a particularly rapid decline among coral species. An analysis of bird and mammal species used for food and medicine shows that these species are much more threatened than other birds and mammals. Habitat analyses also paint a troubling picture. For example, marine species are experiencing significant losses due to pollution, coastal development, over-fishing, invasive species, and climate change. At least 17 percent of sharks and rays, 85 percent of marine turtles, 27 percent of reef-building corals, and 27.5 percent of marine birds are threatened.

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IUCN—The International Union for Conservation of Nature, Rue Mauverney 28, Gland, Switzerland, 1196, +41 (22) 999-0000, +41 (22) 999-0002, [mail@iucn.org](mailto:mail@iucn.org), <http://www.iucn.org>.

Lewis G. Regenstein

## Ivory-billed woodpecker

The ivory-billed woodpecker (*Campephilus principalis*) is one of the rarest birds in the world and is considered by most authorities to be extinct in the United States. The last confirmed sighting of ivory-bills was in Cuba in 1987 or 1988. Though never common, the ivory-billed woodpecker was rarely seen in the United States after the first years of the twentieth century. Some were seen in Louisiana in 1942, and since then, occasional sightings have been unverified. Interest in the bird rekindled in 1999, when a student at Louisiana State University claimed to have seen a pair of ivory-billed woodpeckers in a wilderness preserve. Teams of scientists searched the area for two years. No ivory-billed woodpecker was sighted, though some evidence made it plausible the bird was in the vicinity. By mid-2002, the ivory-billed



Stuffed ivory-billed woodpecker. (*Campephilus principalis*)  
(© Grant Heilman Photography / Alamy)

woodpecker's return from the brink of extinction remained a tantalizing possibility, but not an established fact.

The ivory-billed woodpecker was a huge bird, averaging 19–20 inches (48–50 cm) long, with a wingspan of over 30 inches (76 cm). The ivory-colored bills of these birds were prized as decorations by Native Americans. The naturalist John James Audubon found ivory-billed woodpeckers in swampy forest edges in Texas in the 1830s. But by the end of the nineteenth century, the majority of the bird's prime habitat had been destroyed by logging. Ivory-billed woodpeckers required large tracts of land in the bottomland cypress, oak, and black gum forests of the Southeast, where they fed off insect larva in mature trees. This species was the largest woodpecker in North America, and they preferred the largest of these trees, the same ones targeted by timber companies as the most profitable to harvest. The territory for breeding pairs of ivory-billed woodpeckers consists of about 1.15 square miles (3 square km) of undisturbed, swampy forest, and there was little prime habitat left for them after 1900, for most of these areas had been heavily logged. By the 1930s one of the only virgin cypress swamps left was the Singer Tract in Louisiana, an 80,000-acre (32,375-ha) swathe of land owned by the Singer Sewing Machine Company. In 1935 a team of ornithologists descended on it to locate, study, and record some of the last ivory-billed woodpeckers in existence. They found the birds and were able to film and photograph them, as well as make the only sound recordings of them in existence. The Audubon Society, the state of Louisiana, and the U.S. Fish and Wildlife Service tried to buy the land from Singer to make it a refuge for the rare birds. But Singer had already sold timber rights to the land. During World War II, when demand for lumber was particularly high, the Singer Tract was leveled. One of the giant cypress trees that was felled contained the nest and eggs of an ivory-billed woodpecker. Land that had been virgin forest then became soybean fields.

Few sightings of these woodpeckers were made in the 1940s, and no reports exist for the 1950s. But in the early 1960s, ivory-billed woodpeckers were reportedly seen in South Carolina, Texas, and Louisiana. Intense searches, however, left scientists with little hope by the end of that decade, as only six birds were reported to exist. Subsequent decades yielded a few individual sightings in the United States, but none were confirmed.

In 1985 and 1986, there was a search for the Cuban subspecies of the ivory-billed woodpecker. The first expedition yielded no birds, but trees were found that had apparently been worked by the birds.

The second expedition found at least one pair of ivory-billed woodpeckers. Most of the land formerly occupied by the Cuban subspecies was cut over for sugar cane plantations by the 1920s, and surveys in 1956 indicated that this population had declined to about a dozen birds. The last reported sightings of the species occurred in the Sierra de Moa area of Cuba. They are still considered to exist there, but the health of any remaining individuals must be in question, given the inbreeding that must occur with such a low population level and the fact that so little suitable habitat remains.

In 1999 a student at Louisiana State University (LSU) claimed to have seen a pair of ivory-billed woodpeckers while he was hunting for turkey in the Pearl River Wildlife Management Area near the Louisiana-Mississippi border. The student, David Kulivan, was a credible witness, and he soon convinced ornithologists at LSU to search for the birds. News of the sighting attracted thousands of amateur and professional birders over the next two years. Scientists from LSU, Cornell University, and the Louisiana Department of Wildlife and Fisheries organized an expedition that included posting of high-tech listening devices. Over more than two years, no one else saw the birds, though scientists found trunks stripped of bark, characteristic of the way the ivory-billed woodpecker feeds, and two groups heard the distinct double rapping sound the ivory-billed woodpecker makes when it knocks a trunk. No one heard the call of the ivory-billed woodpecker, though this sound would have been considered definitive evidence of the ivory-billed woodpecker's existence. Hope for confirmation of Kulivan's sighting rested on deciphering the tapes made by a dozen recording devices, a project undertaken at Cornell University.

Over the next few years, other sightings of the ivory-billed woodpecker were reported. In 2005 the Cornell scientists declared that their analysis of all the evidence suggested the bird did indeed exist. However, further searches led to the conclusion, in 2010, that there is currently no sustainable population of ivory-billed woodpeckers, at least in the parts of Arkansas that have been searched.

See also Deforestation; Endangered species; Extinction; Wildlife management.

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Eugene C. Beckham

## Izaak Walton League

In 1922, fifty-four sportsmen and sportswomen—all concerned with the apparent destruction of American fishing waterways—established the Izaak Walton League of America (IWLA). They looked upon Izaak Walton, a seventeenth-century English fisherman and author of *The Compleat Angler*, as inspiration in protecting the waters of America. The Izaak Walton League has since widened its focus: As a major force in the American conservation movement, IWLA now pledges in its slogan “to defend the nation’s soil, air, woods, water, and wildlife.”

When sportsmen and sportswomen formed IWLA approximately ninety years ago, they worried that American industry would ruin fishing streams. Raw sewage, soil erosion, and rampant pollution threatened water and wildlife. Initially the league concentrated on preserving lakes, streams, and rivers. In 1927, at the request of President Calvin Coolidge, IWLA organized the first national water pollution inventory. Izaak Walton League members (called “Ikes”) subsequently helped pass the first national water pollution control act in the 1940s. In 1969 IWLA instituted the Save Our Streams (SOS) program, and this group mobilized forces to pass the groundbreaking Clean Water Act of 1972. The league did not only concentrate on the preservation of American waters, however. From its 1926 campaign to protect the black bass, to its 2010 campaign to have ecological restoration of marsh and bay areas made part of federal Clean Water Act regulations, IWLA has also been instrumental in the preservation of wildlife. In addition, the league has fought to protect public lands such as the National Elk Refuge in Wyoming, Everglades National Park in Florida, and Isle Royale National Park in Michigan.

IWLA currently sponsors several environmental programs designed to conserve natural resources and educate the public. The aforementioned SOS is a grass-roots program active in all fifty U.S. states designed to monitor water quality in streams and rivers. Through over 250 local chapters nationwide, SOS promotes stream rehabilitation through stream adoption kits and water pollution law training. Another program,

Wetlands Watch, allows local groups to purchase, adopt, and protect nearby wetlands. Similarly, the Izaak Walton League Endowment buys land to save it from unwanted development. IWLA's Uncle Ike Youth Education program aims to educate children and convince them of the necessity of preserving the environment. Another major program from the league is its internationally acclaimed Outdoor Ethics program. Outdoor Ethics works to stop poaching and other illegal and unsportsmanlike outdoor activities by educating hunters, anglers, and others.

The league also sponsors and operates regional conservation efforts. Its midwest office, based in Minnesota, concentrates on the preservation of the Upper Mississippi River and Great Lakes regions. The Chesapeake Bay Program is another major regional focus. Almost 25 percent of the "Ikes" live in the region of this estuary, and public education, awards, and local conservation projects help protect Chesapeake Bay. In addition the Soil Conservation Program focuses on combating soil erosion and groundwater pollution, and the Public Lands Restoration Task Force works out of its headquarters in Portland, Oregon, to strike a balance between forests and the desire for their natural resources in the West.

IWLA makes its causes known through a variety of publications. *Splash*, a product of SOS, enlightens the public as to how to protect streams in America. *Outdoor Ethics*, a newsletter from the program of the same name, educates recreationists to responsible practices of hunting, boating, and other outdoor

activities. The league also publishes a membership magazine, *Outdoor America*.

Having expanded its scope from water to general environmental conservation, IWLA has become a vital force in the national conservation movement. Through its many and varied programs, the league continues to promote constructive and active involvement in environmental problems.

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Andrea Gacki





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## Jackson, Wes

1936–

American environmentalist and writer

Wes Jackson is a plant geneticist, writer, and co-founder, with his wife Dana Jackson, of the Land Institute in Salina, Kansas. He is one of the leading critics of conventional agricultural practices, which in his view are depleting topsoil, reducing genetic diversity, and destroying small family farms and rural communities. Jackson is also critical of the culture that provides the pretext and the context within which this destruction occurs and is justified as necessary, efficient, and economical. He contrasts a culture or mindset that emphasizes humanity's mastery or dominion over nature with an alternative vision that takes, as he puts it, "nature as the measure" of human activity. The former viewpoint can produce temporary triumphs but not long-lasting or sustainable livelihood; only the latter holds out the hope that humans can live with nature, on nature's terms.

Jackson was born in 1936 in Topeka, Kansas, the son of a farmer. Young and restless, Jackson held various jobs—welder, farm hand, ranch hand, teacher—before devoting his time to the study of agricultural practices in the United States and abroad. He attended Kansas Wesleyan University, the University of Kansas, and North Carolina State University, where he earned his doctorate in plant genetics in 1967.

According to Jackson, agriculture as we know it is unnatural, artificial, and, by geological time-scales, of relatively recent origin. It requires plowing, which leads to loss of topsoil, which in turn reduces and finally destroys fertility. Large-scale "industrial" agriculture also requires large investments, complex and expensive machinery, fertilizers, pesticides, and herbicides, and leads to a loss of genetic diversity, to soil erosion and compaction, and other negative consequences. It is also

predicated on the planting and harvesting of annual crops—corn, wheat, soybeans—that leaves fields uncovered for long periods and thus leaves precious topsoil unprotected and vulnerable to erosion by wind and water. For every bushel of corn harvested, a bushel of topsoil is lost. Jackson estimates that America has lost between one-third and one-half of its topsoil since the arrival of the first European settlers.

At the Land Institute, Jackson and his associates are attempting to re-think and revise agricultural practices to enable farmers to meet the expectations of the land, rather than the other way around. In particular, they are returning to, and attempting to learn from, the native prairie plants and the ecosystems that sustain them. They are also exploring the feasibility of alternative farming methods that might minimize or even eliminate entirely the planting and harvesting of annual crops, favoring instead the use of perennials that protect and bind topsoil.

Jackson's emphasis is not exclusively scientific or technical. Like his long-time friend Wendell Berry, Jackson emphasizes the *culture* in agriculture. Why humans grow food is not at all mysterious or problematic: we must eat in order to live. But how we choose to plant, grow, harvest, distribute, and consume food is clearly a cultural and moral matter having to do with our attitudes and beliefs. Our contemporary consumer culture is out of kilter, Jackson contends, in various ways. For one, the economic emphasis on minimizing costs and maximizing yields ignores longer-term environmental costs that come with the depletion of topsoil, the diminution of genetic diversity, and the depopulation of rural communities. For another, most Americans have lost (and many have never had) a sense of connectedness with the land and the natural environment; Jackson contends that they are unaware of the mysteries and wonder of birth, death and rebirth, and of cycles and seasons, that are mainstays of a meaningful human life. To restore this sense of mystery and

meaning requires what Jackson calls *homecoming* and “the resettlement of America,” and “becoming native to this place.” More Americans need to return to the land, to repopulate rural communities, and to re-learn the wealth of skills that we have lost or forgotten or never acquired. Such skills are more than matters of method or technique, they also have to do with ways of relating to nature and to each other. Jackson has received several awards, such as the Pew Conservation Scholars award (1990), a MacArthur Fellowship (1992) and the Right Livelihood Award (2000).

Wes Jackson has been called a radical and a visionary by critics and admirers alike.

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Terence Ball

## James Bay hydropower project

James Bay forms the southern tip of the much larger Hudson Bay in Quebec, Canada. To the east lies the Quebec-Labrador peninsula, an undeveloped area with vast expanses of pristine wilderness. The region is similar to Siberia, covered in tundra and sparse forests of black spruce (*Picea mariana*) and other evergreens. It is home to roughly one hundred species of birds, twenty species of fish, and dozens of mammals, including muskrat (*Ondatra zibethicus*), lynx (*Lynx* species), black bear (*Ursus americanus*), red fox (*Vulpes vulpes*), and the world’s largest herd of caribou (*Rangifer tarandus*). The area has also been home to the Cree and other Native Indian tribes for centuries. Seven rivers drain the wet, rocky region, the largest being the La Grande.

In the 1970s the government-owned Hydro-Quebec electric utility began to divert these rivers, flooding 3,861 square miles (10 thousand km<sup>2</sup>) of land. For the purpose of generating hydroelectric power, they built a series of reservoirs, dams and dikes on La Grande that generated 10,300 megawatts of power for homes and businesses in Quebec, New York, and New England. With its \$16

billion price tag, the project is one of the world’s largest energy projects. The La Grande Complex now generates a total of 83 terawatt-hours (TWh) a year.

Despite the success of producing enough energy to meet the equivalent of the energy needs of Belgium, the project has had many opponents. The Cree and other Inuit tribes joined forces with American environmentalists to protest the project. The environmental impact of the project had scant analysis before construction began. Ten thousand caribou drowned in 1984 while crossing one of the newly-dammed rivers on their migration route. When the utility flooded the surrounding land, it destroyed habitat for countless plants and animals. The graves of Cree Indians, who for millennia, had hunted, traveled, and lived along the rivers, were inundated. The project also altered the ecology of the James and Hudson bays, disrupting spawning cycles, nutrient systems, and other important maritime resources. Naturally-occurring mercury (Hg) in rocks and soil was released as the land was flooded and is able to bioaccumulate (build up concentration in living tissues) as it passes through the food chain from microscopic organisms, to fish, to human. Elevated levels of mercury have been found in people living the area.

Cree and environmentalists, joined by New York political representatives, took their fight to court. On Earth Day 1993, they filed suit against New York Power Authority in United States District Court in New York, challenging the legality of the agreement, which was to go into effect in 1999. Their claim was based on the United States Constitution and the 1916 Migratory Bird Treaty with Canada.

In February 2002 nearly 70 percent of Quebec’s James Bay Cree Indians endorsed a \$2.25 billion deal with the Quebec government for hydropower development on their land. Approval for the deal ranged from a low of 50 percent, to a high of 83 percent, among the nine communities involved. Some Cree spokespersons considered the agreement a vindication of the long campaign, waged since 1975, to have Cree rights respected.

Under the deal the James Bay Cree would receive \$16 million in 2002, \$30.7 million in 2003, then \$46.5 million a year for forty-eight years. In return, the Cree dropped environmental lawsuits totaling \$2.4 billion. The Cree also agreed to hydroelectric plants along the Eastmain River and Rupert River so long as those projects were studied for potential environmental impacts and approved. The deal also guaranteed the Cree jobs with the hydroelectric authority and gave them more control over logging in the area.

An agreement in 2004 ended litigation between the parties and initiated a joint environmental assessment of the projected diversion of the Rupert River. The Eastmain-1-A and Sarcelle powerhouses and the Rupert diversion projects are expected for completion in 2012 and will contribute 918 megawatts in installed power as well as generating an additional 8.7 Terawatt hours (TWh) per year.

*See also* Environmental law; Hetch Hetchy Reservoir.

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Bill Asenjo

## Japanese logging

In recent decades the timber industry has intensified efforts to harvest logs from tropical, temperate, and boreal forests worldwide to meet an increasing demand for wood and wood products. Japanese companies have been particularly active in logging and importing timber from around the world. Because of wasteful and destructive logging practices that result from efforts to maximize corporate financial gains, those interested in reducing deforestation have raised many concerns about Japan's logging industry.

The world's forests, especially tropical rain forests, are rich in species, including plants, insects, birds, reptiles, and mammals. Many of these species exist only in very limited areas where conditions are suitable for their existence. These endangered forest dwellers provide unique and irreplaceable genetic material that can contribute to the betterment of domestic plants and animals. The forests are a valuable resource for medically useful drugs. Healthy forests stabilize watersheds by absorbing rainfall and retarding runoff. Mat roots help control soil erosion, preventing the silting of

waterways and damage to reefs, fisheries, and spawning grounds.

The United Nations Food and Agriculture Organization (FAO) reports that between the years 2000 and 2005 the annual average tropical deforestation rate was approximately 32 million acres (13 million ha) worldwide. Because many governments and timber companies have committed to planting new stands of trees, the annual *net rate* of forest loss worldwide was actually a smaller 18 million acres (7.3 million ha) during 2000–2005. This is still a rather alarming rate of deforestation; nevertheless, it was significantly better than the 1990–2000 annual average of 22 million acres (8.9 million ha) of net forest lost. More than half of the Earth's primary tropical forest area has vanished, and more than half of the remaining forest has been degraded. While Brazil contains about a third of the world's remaining tropical rain forest, Southeast Asia is now a major supplier of tropical woods. Burma, Thailand, Laos, Vietnam, Kampuchea, Malaysia, Indonesia, Borneo, New Guinea, and the Philippines contain 20 percent of the world's remaining tropical forests. While a number of countries make use of rain forest wood, Japan is one of the top importers of tropical timber.

Japan also imports large amounts of timber from temperate and boreal forests in Canada, Russia, and the United States. These three countries contain most of the remaining boreal forests, and they supply more than half of the world's industrial wood. As demand for timber continues to climb, previously undisturbed virgin forests are increasingly being used. To speed harvesting, logging roads are built to provide access, and heavy equipment is brought in to hasten work. In the process, soil is compacted, making plant re-growth difficult or impossible. Although these practices are not limited to one country, Japanese firms have been cited by environmentalists as particularly insensitive to the environmental impact of logging.

The globe's forests are sometimes referred to as the "lungs of the planet," exchanging carbon dioxide for oxygen. Critics claim that the wood harvesting industry is destroying this vital natural resource, and in the process this industry is endangering the planet's ability to nurture and sustain life. Widespread destruction of the world's forests is a growing concern. Large Japanese companies, and companies affiliated with Japanese firms, have logged old growth forests in several parts of the globe to supply timber for the Japanese forest products industry. Clear-cutting of trees over large areas in tropical rain forests has made preservation of the original flora and fauna impossible. Many species are becoming extinct. Replanting may in time

restore the trees, but it will not restore the array of organisms that were present in the original forest.

Large scale logging activities have had a largely negative impact on the local economies in exporting regions because whole logs are shipped to Japan for further processing. Developed countries such as the United States and Canada, which in the past harvested timber and processed it into lumber and other products, have lost jobs to Japan. Indigenous cultures that have thrived in harmony with their forest homelands for centuries are displaced and destroyed. Provision has not been made for the survival of local flora and fauna, and provision for forest re-establishment has thus far proven inadequate. As resentment has grown in impacted areas, and among environmentalists, efforts have emerged to limit or stop large-scale timber harvesting and exporting.

Although concern has been voiced over all large-scale logging operations, special concern has been raised overharvesting of tropical timber from previously undisturbed primary forest areas. Tropical rain forests are especially unique and valuable natural resources for many reasons, including the density and variety of species within their borders. The exploitation of these unique ecosystems will result in the extinction of many potentially valuable species of plants and animals that exist nowhere else. Many of these forms of life have not yet been named or scientifically studied. In addition, overharvesting of tropical rainforests has a negative effect on weather patterns, especially by reducing rainfall.

Japan is a major importer of tropical timber from Malaysia, New Guinea, and the Solomon Islands. Although the number of imported logs has declined in recent years, this has been matched by an increase in imported tropical plywood manufactured in Indonesia and Malaysia. As a result, the total amount of timber removed has remained fairly constant. An environmentalist group called the Rainforest Action Network (RAN) has issued an alarm concerning recent expansion of logging activity by firms affiliated with Japanese importers. The RAN alleges that: "After laying waste to the rain forests of Asia and the Pacific islands, giant Malaysian logging companies are setting their sights on the Amazon. Major players include the WTK Group, Samling, Mingo, and Rimbunan Hijau." The RAN claims that "the same timber companies in Sarawak, Malaysia, worked with such rapacious speed that they devastated the region's forest within a decade, displacing traditional peoples and leaving the landscape marred with silted rivers and eroded soil."

One large Japanese firm, the Mitsubishi Corporation, has been targeted for criticism and boycott by the RAN, as one of the world's largest importers of timber. The boycott is an effort to encourage environmentally-conscious consumers to stop buying products marketed by companies affiliated with the huge conglomerate, including automobiles, cameras, beer, cell phones, and consumer electronics equipment. Through its subsidiaries, Mitsubishi has logged or imported timber from the Philippines, Malaysia, Papua New Guinea, Bolivia, Indonesia, Brazil, Chile, Canada (British Columbia and Alberta), Siberia, and the United States (Alaska, Oregon, Washington, and Texas). The RAN charges that "Mitsubishi Corporation is one of the most voracious destroyers of the world's rain forests. Its timber purchases have laid waste to forests in the Philippines, Malaysia, Papua New Guinea, Indonesia, Brazil, Bolivia, Australia, New Zealand, Siberia, Canada, and even the United States." The Mitsubishi Corporation itself does not sell consumer products, but it consists of 190 interlinked companies and hundreds of associated firms that do market to consumers. This conglomerate forms one of the world's largest industrial and financial powers. The Mitsubishi umbrella includes Mitsubishi Bank, Mitsubishi Heavy Industries, Mitsubishi Electronics, Mitsubishi Motors, and other major components.

The Mitsubishi Corporation countered criticism by launching a program "to promote the regeneration of rain forests . . . in Malaysia that plants seedlings and monitors their development." The corporation formed an Environmental Affairs Department, one of the first of its kind in Japan, to draft environmental guidelines, and coordinate corporate environmental activities. In the words of the Mitsubishi Corporation Chairman, "A business cannot continue to exist without the trust and respect of society for its environmental performance." Mitsubishi Corporation launched a program to support experimental reforestation projects in Malaysia, Brazil, and Chile. In Malaysia, the company cooperated with a local agricultural university, under the guidance of a professor from Japan. About 300,000 seedlings were planted on a barren site. Within five years, the trees were over 33 feet (10 m) in height and the corporation claimed that they were "well on the way to establishing techniques for regenerating tropical forest on burnt or barren land using indigenous species." However, in spite of management's declaration of increased concern for the environment, Mitsubishi Paper Mills Ltd.—a part of the Mitsubishi conglomerate—was implicated in a 2008 scandal involving several Japanese corporations that used

deceptive advertising regarding their paper products. The companies involved inflated the percentage of recycled paper being used in various consumer products, as well as lied about the sources of woodchips used to produce their paper goods. The offending companies were found to be using woodchips derived from old growth forests in Tasmania—contradicting previous assertions that they (the paper companies) had only used wood products from approved sources, such as secondary forests (i.e., non-old-growth) and tree plantations. Mitsubishi Paper Mills Ltd. thereafter pledged to discontinue the use of woodchips and other wood products derived from old growth forests.

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Bill Asenjo





# K

## Kapiowitz Plateau

The Kapiowitz Plateau, a wildlife refuge on the northern rim of the Grand Canyon, has come to symbolize wildlife management gone awry, a classic case of misguided human intervention intended to help wildlife that ended up damaging the animals and the environment. The Kapiowitz is located on the Colorado River in northwestern Arizona, and is bounded by steep cliffs dropping down to the Kanab Canyon to the west, and the Grand and Marble canyons to the south and southeast. Because of its inaccessibility, according to naturalist James B. Trefethen, the Plateau was considered a “biological island,” and its deer population “evolved in almost complete genetic isolation.”

The lush grass meadows of the Kapiowitz Plateau supported a resident population of 3,000 mule deer (*Odocoileus hemionus*), which were known and renowned for their massive size and the huge antlers of the old bucks. Before the advent of Europeans, Paiute and Navajo Indians hunted on the Kapiowitz in the fall, stocking up on meat and skins for the winter. In the early 1900s, in an effort to protect and enhance the deer population of the Kapiowitz, the federal government prohibited all killing of deer, and even eliminated the predator population in the area. As a result, the deer population exploded, causing massive overbrowsing, starvation, and a drastic decline in the health and population of the herd.

In 1893, when the Kapiowitz and surrounding lands were designated the Grand Canyon National Forest Reserve, hundreds of thousands of sheep, cattle, and horses were grazing on the Plateau, resulting in overgrazing, erosion, and large-scale damage to the land. On November 28, 1906, President Theodore Roosevelt established the 1 million-acre (400,000-ha) Grand Canyon National Game Preserve, which provided complete protection of the Kapiowitz’s deer

population. By then, however, overgrazing by livestock had destroyed much of the native vegetation and changed the Kapiowitz considerably for the worse. Continued pasturing of over 16,000 horses and cattle degraded the Kapiowitz even further.

The Forest Service carried out President Roosevelt’s directive to emphasize “the propagation and breeding” of the mule deer by not only banning hunting, but also natural predators as well. From 1906 to 1931, federal agents poisoned, shot, or trapped 4,889 coyotes (*Canis latrans*), 781 mountain lions (*Puma concolor*), 554 bobcats (*Felis rufus*), and twenty wolves (*Canis lupus*). Without predators to remove the old, the sick, the unwary, and other biologically unfit animals, and keep the size of the herd in check, the deer herd began to grow out of control and lost those qualities that made its members such unique and magnificent animals. After 1906, the deer population doubled within ten breeding seasons, and by 1918 (two years later), it doubled again. By 1923, the herd had mushroomed to at least 30,000 deer, and perhaps as many as 100,000 according to some estimates.

Unable to support the overpopulation of deer, range grasses and land greatly deteriorated; and by 1925, 10,000 to 15,000 deer were reported to have died from starvation and malnutrition. Finally, after relocation efforts mostly failed to move a significant number of deer off of the Kapiowitz, hunting was reinstated, and livestock grazing was strictly controlled. By 1931, hunting, disease, and starvation had reduced the herd to under 20,000. The range grasses and other vegetation returned, and the Kapiowitz began to recover. In 1975 James Trefethen wrote, “the Kapiowitz today again produces some of the largest and heaviest antlered mule deer in North America.”

In the fields of wildlife management and biology, the lessons of the Kapiowitz Plateau are often cited (as in the writings of naturalist Aldo Leopold) to demonstrate the valuable role of predators in maintaining the

balance of nature (such as between herbivores and the plants they consume) and survival of the fittest. The experience of the Kapirowitz shows that in the absence of natural predators, prey populations (especially ungulates) tend to increase beyond the carrying capacity of the land, and eventually the results are overpopulation and malnutrition.

See also Predator-prey interactions; Predator control.

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Lewis G. Regenstein

## Kennedy Jr., Robert

1954–

American environmental lawyer

Robert "Bobby" Kennedy Jr. had a very controversial youth. Kennedy entered a drug rehabilitation program, at the age of twenty-eight, after being found guilty of possession of heroin following his arrest in South Dakota. He was sentenced to two years probation and community service.

Clearly the incident was a turning point in Kennedy's life. "Let's just say, I had a tumultuous adolescence that lasted until I was twenty-nine" he told a reporter for *New York* magazine, which ran a long profile of Kennedy in 1995, entitled "Nature Boy." The title refers to the passion that has enabled Kennedy to emerge from his bleak years as a strong and vital participant in environmental causes.

A Harvard graduate and published author, Kennedy serves as chief prosecuting attorney for a group called the Hudson Riverkeeper (named after the famed New York river). Kennedy also serves as president of Waterkeeper Alliance. Kennedy previously served as a senior attorney for the Natural Resources Defense Council. Kennedy, who earlier in his career

served as assistant district attorney in New York City after passing the bar, is also a clinical professor and supervising attorney at the Environmental Litigation Clinic at Pace University School of Law in New York.

While Kennedy appeared to be following in the family's political footsteps, working, for example, on several political campaigns and serving as a state coordinator for his uncle and former Senator Ted Kennedy's 1980 presidential campaign, it is in environmental issues that Kennedy Jr. has found himself. He has worked on environmental issues across the Americas and has assisted several indigenous tribes in Latin America and Canada in successfully negotiating treaties protecting traditional homelands. He is also credited with leading the fight to protect New York City's water supply, a battle that resulted in the New York City Watershed Agreement, regarded as an international model for combining development and environmental concerns.

Opportunity was always around the corner for a young, confident, and intelligent Kennedy. (A number of his relatives achieved great success in the political arena.) After Harvard, Kennedy Jr. earned a law degree at the University of Virginia. In 1978, the subject of Kennedy's Harvard thesis—a prominent Alabama judge—was named head of the Federal Bureau of Investigation (FBI). A publisher offered Kennedy Jr. money to expand his previous research into a book, published in 1978, called *Judge Frank M. Johnson Jr.: A Biography*.

Kennedy Jr. did a publicity tour that included TV appearances, but the reviews were mixed. In 1982, Kennedy Jr. married Emily Black, a Protestant who later converted to Catholicism. Two children followed: Robert Francis Kennedy III and Kathleen Alexandra, named for Kennedy Jr.'s aunt Kathleen, who died in a plane crash in 1948.

The marriage, however, coincided with Kennedy Jr.'s fall out through drug addiction. In 1992, Kennedy Jr. and Emily separated, and a divorce was obtained in the Dominican Republic. In 1994, Kennedy Jr. married Mary Richardson, an architect, with whom he would have two more children.

During this time Kennedy Jr. emerged as a leading environmental activist and litigator. Kennedy is quoted as saying: "To me...this is a struggle of good and evil between short-term greed and a long-term vision of building communities that are dignified and enriching and that meet the obligations of future generations. There are two visions of America. One is that this is just a place where you make a pile for yourself and keep moving. And the other is that you put down roots and build communities that are examples to the rest of humanity." Kennedy goes on: "The environment

cannot be separated from the economy, housing, civil rights. How we distribute the goods of the earth is the best measure of our democracy. It's not about advocating for fishes and birds. It's about human rights."

Among his accomplishments, Kennedy Jr. is an experienced falconer and white-water rafter. His efforts with Riverkeeper to restore the Hudson River led him to be named a "hero of the planet" by Time.com. From 2005 to 2010, he has advocated strongly for wind power. While he flirted with running for the U.S. Senate, he renounced that intention early in 2008.

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### ORGANIZATIONS

Riverkeeper, Inc., 20 Secor Road, Ossining, NY, USA, 10562, (800) 21-RIVER, info@riverkeeper.org, http://www.riverkeeper.org

## Kepone

Kepone (C<sub>10</sub>Cl<sub>10</sub>O) is an organochlorine pesticide that was manufactured by the Allied Chemical Corporation in Virginia from the late 1940s to the 1970s. Kepone was responsible for human health problems and extensive contamination of the James River and its estuary in the Chesapeake Bay. It is a milestone in the development of a public environmental consciousness, and its history is considered by many to be a classic example of negligent corporate behavior and inadequate oversight by state and federal agencies.

Kepone is an insecticide and fungicide that is closely related to other chlorinated pesticides such as dichlorodiphenyltrichloroethane (DDT) and aldrin. As with all such pesticides, Kepone causes lethal damage to the nervous systems of its target organisms. A poorly water-soluble substance, it can be absorbed through the skin, and it bioaccumulates in fatty tissues from which it is later released into the bloodstream. It is also a contact poison; when inhaled, absorbed, or ingested by humans, it can damage the central nervous system as well as the liver and kidneys. It can also lead to neurological symptoms such as tremors, muscle spasms, sterility, and cancer. Although the manufacture and use of Kepone is now banned by the Environmental Protection Agency (EPA), organochlorines have long half-lives, and these compounds, along

with their residues and degradation products, can persist in the environment over many decades.

Allied Chemical first opened a plant to manufacture nitrogen-based fertilizers in 1928 in the town of Hopewell, on the banks of the James River in Virginia. This plant began producing Kepone in 1949. Commercial production was subsequently begun, although a battery of toxicity tests indicated that Kepone was both toxic and carcinogenic and that it caused damage to the functioning of the nervous, muscular, and reproductive systems in fish, birds, and mammals. It was patented by Allied in 1952 and registered with federal agencies in 1957. The demand for the pesticide grew after 1958, and Allied expanded production by entering into a variety of subcontracting agreements with a number of smaller companies, including the Life Science Products Company.

In 1970, a series of new environmental regulations came into effect, which should have changed the way wastes from the manufacture of Kepone were discharged. The Refuse Act Permit Program and the National Pollutant Discharge Elimination Program (NPDES) of the Clean Water Act required all dischargers of effluents into United States waters to register their discharges and obtain permits from federal agencies. At the time these regulations went into effect, Allied Chemical had three pipes discharging Kepone and plastic wastes into the "gravelly run", a tributary of the James River, about 75 miles (120 km) north of Chesapeake Bay.

A regional sewage treatment plant, which would accept industrial wastes, was then under construction but not scheduled for completion until 1975. Rather than installing expensive pollution control equipment for the interim period, Allied chose to delay. They adopted a strategy of misinformation, reporting the releases as temporary and unmonitored discharges, and they did not disclose the presence of untreated Kepone and other process wastes in the effluents. The Life Science Products Company also avoided the new federal permit requirements by discharging their wastes directly into the local Hopewell sewer system. These discharges caused problems with the functioning of the biological treatment systems at the sewage plant; the company was required to reduce concentrations of Kepone in sewage, but it continued its discharges at high concentrations, violating these standards with the apparent knowledge of plant treatment officials.

During this same period, an employee of Life Science Products visited a local Hopewell physician, complaining of tremors, weight loss, and general aches and pains. The physician discovered impaired liver and

nervous functions, and a blood test revealed an astronomically high level of Kepone—7.5 parts per million. Federal and state officials were contacted, and the epidemiologist for the state of Virginia toured the manufacturing facility at Life Science Products. This official reported that “Kepone was everywhere in the plant,” and that workers wore no protective equipment and were “virtually swimming in the stuff.” Another investigation discovered seventy-five cases of Kepone poisoning among the workers; some members of their families were also found to have elevated concentrations of the chemical in their blood. Further investigations revealed that the environment around the plant was also heavily contaminated. The soil contained 10,000 to 20,000 ppm of Kepone. Sediments in the James River, as well as local landfills and trenches around the Allied facilities, were just as badly contaminated. Government agencies were forced to close 100 miles (161 km) of the James River and its tributaries to commercial and recreational fishing and shellfishing.

In the middle of 1975, Life Science Products finally closed its manufacturing facility. It has been estimated that since 1966, it and Allied together produced 3.2 million pounds (1.5 million kg) of Kepone and were responsible for releasing 100,000 to 200,000 pounds (45,360–90,700 kg) into the environment. In 1976, the Northern District of Virginia filed criminal charges against Allied, Life Science Products, the city of Hopewell, and six individuals on 1,097 counts relating to the production and disposal of Kepone. The indictments were based on violations of the permit regulations, unlawful discharge into the sewer systems, and conspiracy-related to that discharge.

The case went to trial without a jury. The corporations and the individuals named in the charges negotiated lighter fines and sentences by entering pleas of “no contest.” Allied ultimately paid a fine of \$13.3 million, although their annual sales reach was \$3 billion. Life Science Products was fined \$4 million, which it could not pay because of lack of assets. Company officers were fined \$25,000 each, and the town of Hopewell was fined \$10,000. No one was sentenced to a jail term. Civil suits brought against Allied and the other defendants resulted in a settlement of \$5.25 million to pay for cleanup expenses and to repair the damage that had been done to the sewage treatment plant. Allied paid another \$3 million to settle civil suits brought by workers for damage to their health.

Environmentalists and many others considered the results of legal action against the manufacturers of Kepone unsatisfactory. Some have argued that these results are typical of environmental litigation.

It is difficult to establish criminal intent beyond a reasonable doubt in such cases; and even when guilt is determined, sentencing is relatively light. Corporations are rarely fined in amounts that affect their financial strength, and individual officers are almost never sent to jail. Corporate fines are generally passed along as costs to the consumer, and public bodies are treated even more lightly, since it is recognized that the fines levied on public agencies are paid by taxpayers.

Today, the James River has been reopened to fishing for those species that are not prone to the bioaccumulation of Kepone. Nevertheless, sediments in the river and its estuary contain large amounts of deposited Kepone, which is released during periods of turbulence. Scientists have published studies which document that Kepone is still moving through the food chain and the ecosystem in this area, and Kepone toxicity has been demonstrated in a variety of invertebrate test species. There are still deposits of Kepone in the local sewer pipes in Hopewell; these continue to release the chemical, endangering treatment plant operations and polluting receiving waters.

Usha Vedagiri  
Douglas Smith

## Kesterson National Wildlife Refuge

One of a dwindling number of freshwater marshes in California’s San Joaquin Valley, Kesterson National Wildlife Refuge achieved national notoriety in 1983 when refuge managers discovered that agricultural runoff was poisoning the area’s birds. Among other elements and agricultural chemicals reaching toxic concentrations in the wetlands, the naturally occurring element selenium was identified as the cause of falling fertility and severe birth defects in the refuge’s breeding populations of stilts, grebes, shovelers, coots, and other aquatic birds. Selenium, lead, boron, chromium, molybdenum, and numerous other contaminants were accumulating in refuge waters because the refuge had become an evaporation pond for tainted water draining from the region’s fields.

The soils of the arid San Joaquin Valley are the source of Kesterson’s problems. The flat valley floor is composed of ancient sea bed sediments that contain high levels of trace elements, heavy metals, and salts.



The sun sets over a man-made wetland at the San Luis National Wildlife Refuge near Gustine, California. (AP Photo/Marcio Jose Sanchez)

But with generous applications of water, this sun-baked soil provides an excellent medium for food production. Perforated pipes buried in the fields drain away excess water—and with it dissolved salts and trace elements—after flood irrigation. An extensive system of underground piping, known as tile drainage, carries wastewater into a network of canals that lead to Kesterson Refuge, an artificial basin constructed by the Bureau of Reclamation to store irrigation runoff from central California’s heavily-watered agriculture. Originally a final drainage canal from Kesterson to San Francisco Bay was planned, but because an outfall point was never agreed upon, contaminated drainage water remained trapped in Kesterson’s twelve shallow ponds. In small doses, selenium and other trace elements are not harmful and can even be dietary necessities. But steady evaporation in the refuge gradually concentrated these contaminants to dangerous levels.

Wetlands in California’s San Joaquin valley were once numerous, supporting huge populations of breeding and migrating birds. In the past one-half

century drainage and the development of agricultural fields have nearly depleted the area’s marshes. The new ponds and cattail marshes at Kesterson presented a rare opportunity to extend breeding habitat, and the area was declared a national wildlife refuge in 1972, one year after the basins were constructed. Eleven years later, in the spring of 1983, observers discovered that a shocking 60 percent of Kesterson’s nestlings were grotesquely deformed. High concentrations of selenium were found in their tissues, an inheritance from parent birds who ate algae, plants, and insects—all tainted with selenium—in the marsh.

Following extensive public outcry the local water management district agreed to try to protect the birds. Alternate drainage routes were established, and by 1987 much of the most contaminated drainage had been diverted from the wildlife refuge. The California Water Resource Control Board ordered the Bureau of Reclamation to drain the ponds and clean out contaminated sediments, at a cost of well over \$50 million. However, these contaminants, especially in such large volumes and high concentrations, are difficult to

contain, and similar problems could quickly emerge again. Furthermore, these problems are widespread. Selenium poisoning from irrigation runoff has been discovered in at least nine other national wildlife refuges, all in the arid west because it appeared at Kesterson. Researchers continue to work on affordable and effective responses to such contamination in wetlands, an increasingly rare habitat in this country.

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Kesterson National Wildlife Refuge, c/o San Luis NWR Complex, 340 I Street, PO Box 2176, Los Banos, CA, USA, 93635, (209) 826-3508

Mary Ann Cunningham

volatile organic compounds (VOC) by the Environmental Protection Agency (EPA). As such, the Clean Air Act regulates their use.

In addition to these industrial sources, ketones are released into the atmosphere in cigarette smoke and car and truck exhaust. More "natural" environmental sources such as forest fires and volcanoes also emit ketones. Acetone, in particular, is readily produced in the atmosphere during the oxidation of organic pollutants or natural emissions. Ketones (in the form of acetone, beta-hydroxybutyric acid, and acetoacetic acid) also occur in the human body as a by-product of the metabolism, or break down of fat.

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American Chemical Society, 1155 Sixteenth St. NW, Washington, DC, USA, 20036, (202) 872-4600, (202) 872-4615, (800) 227- 5558, [help@acs.org](mailto:help@acs.org), <http://www.chemistry.org>

Paula Anne Ford-Martin

## Ketones

Ketones belong to a class of organic compounds known as carbonyls. They contain a carbon atom linked to an oxygen atom with a double bond (C=O). Acetone (dimethyl ketone) is a ketone commonly used in industrial applications. Other ketones include methyl ethyl ketone (MEK), methyl isobutyl ketone (MIBK), methyl amyl ketone (MAK), isophorone, and diacetone alcohol.

As solvents, ketones have the ability to dissolve other materials or substances, particularly polymers and adhesives. They are ingredients in lacquers, epoxies, polyurethane, nail polish remover, degreasers, and cleaning solvents. Ketones are also used in industry for the manufacture of plastics and composites and in pharmaceutical and photographic film manufacturing. Because they have high evaporation rates and dry quickly, they are sometimes employed in drying applications.

Some types of ketones used in industry, such as methyl isobutyl ketone and methyl ethyl ketone, are considered both hazardous air pollutants (HAP) and

## Keystone species

Keystone species have a major influence on the structure of their ecological community. The profound influence of keystone species occurs because of their position and activity within the food chain. In the sense meant here, a "major influence" means that removal of a keystone species would result in a large change in the abundance, and even the extirpation (i.e., the local extinction) of one or more species in the community. This would fundamentally change the structure of the overall community in terms of species composition, productivity, and other characteristics. Such changes would have substantial effects on all of the species that are present, and could allow new species to invade the community.

The original use of the word "keystone" was in architecture. An architectural keystone is a wedge-shaped

stone that is strategically located at the summit of an arch. The keystone serves to lock all other elements of the arch together, and it thereby gives the entire structure mechanical integrity. Keystone species play an analogous role in giving structure to the “architecture” of their ecological community.

The concept of keystone species was first applied to the role of certain predators (i.e., keystone predators) in their community. More recently, however, the term has been extended to refer to other so-called strong interactors. This has been particularly true of keystone herbivores that have a relatively great influence on the species composition and relative abundance of plants in their community.

Keystone species directly exert their influence on the populations of species that they feed upon, but they also have indirect effects on species lower in the food web. Consider, for example, a hypothetical case of a keystone predator that regulates the population of a herbivore. This effect will also, of course, indirectly influence the abundance of plant species that the herbivore feeds upon. Moreover, by affecting the competitive relationships among the various species of plants in the community, the abundance of plants that the herbivore does not eat will also be indirectly affected by the keystone predator. Although keystone species exert their greatest influence on species with which they are most closely linked through feeding relationships, their influences have ramifications throughout the food web.

Ecologists have documented the presence of keystone species in many types of communities. The phenomenon does not, however, appear to be universal in that keystone species have not been identified in many ecosystems.

### Predators as keystone species

The term “keystone species” was originally used by the American ecologist Robert Paine to refer to the critical influence of certain predators. His original usage of the concept was in reference to rocky intertidal communities of western North America, in which the predatory starfish (*Pisaster ochraceus*) prevents the mussel (*Mytilus californianus*) from monopolizing the available space on rocky habitats and thereby eliminating other, less-competitive herbivores and even seaweeds from the community. By feeding on mussels, which are the dominant competitor among the herbivores in the community, the starfish prevents these shellfish from achieving the dominance that would otherwise be possible. This permits the development of a community that is much richer in species

than would occur in the absence of the predatory starfish. Paine demonstrated the keystone role of the starfish by conducting experiments in which the predator was excluded from small areas using cages. When this was done, the mussels quickly became strongly dominant in the community and eliminated virtually all other species of herbivores. Paine also showed that once mussels reached a certain size they were safe from starfish predation. This prevented the predator from eliminating the mussel from the community.

Sea otters (*Enhydra lutris*) of the west coast of North America are another example of a keystone predator. This species feeds heavily on sea urchins when these invertebrates are available. By greatly reducing the abundance of sea urchins, the sea otters prevent these herbivores from overgrazing kelps and other seaweeds in subtidal habitats. Therefore, when sea otters are abundant, urchins are not, and this allows luxurious kelp “forests” to develop. In the absence of otters, the high urchin populations can keep the kelp populations low, and the habitat then may develop as a rocky barren ground. Because sea otters were trapped very intensively for their fur during the eighteenth and nineteenth centuries, they were extirpated over much of their natural range. In fact, the species had been considered extinct until the 1930s, when small populations were discovered off the coast of California and in the Aleutian Islands of Alaska. Thanks to effective protection from trapping, and deliberate reintroductions to some areas, populations of sea otters have now recovered over much of their original range. This has resulted in a natural depletion of urchin populations, and a widespread increase in the area of kelp forests.

As of late 2010, the sea otter was still listed as endangered under the U.S. Endangered Species Act. The endangered status remains in place in spite of population counts that have generally demonstrated a slow but steady rise in sea otter numbers. Sea otters are grouped into southern and northern regions along the western coast of North America. Southern sea otters (also called California sea otters) have seen their numbers grow from approximately 1,300 in 1985 to a record high of just over 3,000 in 2007. The 2010 count, led by the U.S. Geological Survey (USGS), was just over 2,700 southern otters. In order to delist the southern sea otter from endangered status, the three-year population average must exceed 3,090 otters.

### Herbivores as keystone species

Some herbivorous animals have also been demonstrated to have a strong influence on the structure and productivity of their ecological community. One such

example is the spruce budworm (*Choristoneura fumiferana*), a moth that occasionally irrupts in abundance and becomes an important pest of conifer forests in the northeastern United States and eastern Canada. The habitat of spruce budworm is mature forests dominated by balsam fir (*Abies balsamea*), white spruce (*Picea glauca*), and red spruce (*P. rubens*). This native species of moth is always present in at least small populations, but it sometimes reaches very high populations, which are known as irruptions. When budworm populations are high, many species of forest birds and small mammals occur in relatively large populations that subsist by feeding heavily on larvae of the moth. However, during irruptions of budworm most of the fir and spruce foliage is eaten by the abundant larvae; and after this happens for several years, many of the trees die. Because of damages caused to mature trees in the forest the budworm epidemic collapses, and then a successional recovery begins. The plant communities of early succession contain many species of plants that are uncommon in mature conifer forests. Eventually, however, another mature conifer forest redevelops, and the cycle is primed for the occurrence of another irruption of the budworm. Clearly, spruce budworm is a good example of a keystone herbivore, because it has such a great influence on the populations of plant species in its habitat, and also on the many animal species that are predators of the budworm.

Another example of a keystone herbivore concerns snow geese (*Chen caerulescens*) in salt marshes of the western Hudson Bay. In the absence of grazing by flocks of snow geese this ecosystem would become extensively dominated by several competitively superior species, such as the salt-marsh grass (*Puccinellia phryganodes*) and the sedge (*Carex subspathacea*). However, vigorous feeding by the geese creates bare patches of up to several square meters in area, which can then be colonized by other species of plants. The patchy disturbance regime associated with goose-grazing results in the development of a relatively complex community, which supports more species of plants than would otherwise be possible. In addition, by manuring the community with their droppings, the geese help to maintain higher rates of plant productivity than might otherwise occur. In recent decades, however, large populations of snow goose have caused severe damages to the salt-marsh habitat by over-grazing. This has resulted in the development of salt-marsh barrens in some places, which may take years to recover.

### Plants as keystone species

Some ecologists have also extended the idea of keystone species to refer to plant species that are

extremely influential in their community. For example, sugar maple (*Acer saccharum*) is a competitively superior species that often strongly dominates stands of forest in eastern North America. Under these conditions most of the community-level productivity is contributed by sugar maple trees. In addition, most of the seedlings and saplings are of sugar maple. This is because few seedlings of other species of trees are able to tolerate the stressful conditions beneath a closed sugar-maple canopy.

Other ecologists prefer to not use the idea of keystone species to refer to plants that, because of their competitive abilities, are strongly dominant in their community. Instead, these are sometimes referred to as “foundationstone species.” This term reflects the facts that strongly dominant plants contribute the great bulk of the biomass and productivity of their community, and that they support almost all herbivores, predators, and detritivores that are present.

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Bill Freedman

Killer bees see **Africanized bees**.

## Kirtland's warbler

Kirtland's warbler (*Dendroica kirtlandii*) is an endangered species and one of the rarest members of the North American wood warbler family. Its entire

breeding range is limited to a seven-county area of north-central Michigan. The restricted distribution of the Kirtland's warbler and its specific niche requirements have probably contributed to low population levels throughout its existence, but human activity has had a large impact on their numbers over the past hundred years.

The first specimen of Kirtland's warbler was taken by Samuel Cabot in October 1841 and brought on ship in the West Indies during an expedition to the Yucatan. However, this specimen went unnoticed until 1865, long after the species had been formally described. Charles Pease is credited with discovering Kirtland's warbler. He collected a specimen on May 13, 1851, near Cleveland, Ohio, and gave it to his father-in-law, Jared P. Kirtland, a renowned naturalist. Kirtland sent the specimen to his friend, ornithologist Spencer Fullerton Baird, who described the new species the following year and named it in honor of the naturalist.

The wintering grounds of Kirtland's warbler is the Bahamas, a fact which was well-established by the turn of the century, but its nesting grounds went undiscovered until 1903, when Norman Wood found the first nest in Oscoda County, Michigan. Every nest found since then has been within a 60 mile (95 km) radius of this spot.

In 1951, the first exhaustive census of singing males was undertaken in an effort to establish the range of Kirtland's warblers as well as its population level. Assuming that numbers of males and females are approximately equal and that a singing male is defending an active nesting site, the total of 432 in this census indicated a population of 864 birds. Ten years later another census counted 502 singing males, indicating the population was over 1,000 birds. In 1971, annual counts began, but for the next twenty years these counts revealed that the population had dropped significantly, reaching lows of 167 singing males in 1974 and 1987. In the early 1990s, conservation efforts on behalf of the species began to bear fruit and the population began to recover. By 2001 the annual census counted 1,085 singing males or a total population of over 2,000 birds.

The first problem facing this endangered species centers on its specialized nesting and habitat requirements. The Kirtland's warbler nests on the ground, and its reproductive success is tied closely to its selection of young jack pine trees as nesting sites. When the jack pines are 5 to 20 feet (1.5–6 m) tall, at an age of between eight and twenty years, their lower branches are at ground level and provide the cover this warbler needs. The life cycle of the pine, however, is dependent

on forest fires, as the intense heat is needed to open the cones for seed release. The advent of fire protection in forest management reduced the production of the number of young trees the warblers needed and the population suffered. Once this relationship was fully understood, jack pine stands were managed for Kirtland's warbler, as well as commercial harvest, by instituting controlled burns on a fifty-year rotational basis.

The second problem is the population pressures brought to bear by a nest parasite, the brown-headed cowbird (*Molothrus ater*), which lays its eggs in the nests of other songbirds. Originally a bird of open plains, it did not threaten Kirtland's warbler until Michigan was heavily deforested, thus providing it with appropriate habitat. Once established in the warbler's range, it has increasingly pressured the Kirtland's population. Cowbird chicks hatch earlier than other birds and they compete successfully with the other nestlings for nourishment. Efforts to trap and destroy this nest parasite in the warbler's range have resulted in improved reproductive success for Kirtland's warbler.

A 2007 census of Kirtland's warbler suggested that there were slightly fewer than 5,000 birds remaining. The species remains endangered but the population has shown encouraging growth since the year 2000.

*See also* Deforestation; Endangered Species Act (1973); Rare species; Wildlife management.

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Eugene C. Beckham

## Krakatoa

The explosion of this triad of volcanoes on August 27, 1883, the culmination of a three-month eruptive phase, astonished the world because of its global impact. Perhaps one of the most influential factors, however, was its timing. It happened during

a time of major growth in science, technology, and communications, and the world received current news accompanied by the correspondents' personal observations. The explosion was heard some 3,000 miles (4,828 km) away, on the Island of Rodriguez in the Indian Ocean. The glow of sunsets was so vivid three months later that fire engines were called out in New York City and nearby towns.

Krakatoa (or Krakatau), located in the Sunda Strait between Java and Sumatra, is part of the Indonesian volcanic system, which was formed by the subduction of the Indian Ocean plate under the Asian plate. A similar explosion occurred in AD 416, and another major eruption was recorded in 1680. Now a new volcano is growing out of the caldera, likely building toward some future cataclysm.

This immense natural event, perhaps twice as powerful as the largest hydrogen bomb, had an extraordinary impact on the solid earth, the oceans, and the atmosphere, and demonstrated their interdependence. It also made possible the creation of a wildlife refuge and tropical rain forest preserve on the Ujung Kulon Peninsula of southwestern Java.

Studies revealed that this caldera, like Crater Lake, Oregon, resulted from Krakatoa's collapse into the now empty magma chamber. The explosion produced a 131-foot (40-m) high tsunami, which carried a steamship nearly 2 miles (3.2 km) inland, and caused most of the fatalities resulting from the eruption. Tidal gauges as far away as San Francisco Bay and the English Channel recorded fluctuations.

The explosion provided substantial benefits to the young science of meteorology. Barometers all around Earth recorded the blast wave as it raced toward its antipodal position in Columbia, and then reverberated back and forth in six more recorded waves. The distribution of ash in the stratosphere gave the first solid evidence of rapidly flowing westerly winds, as debris encircled the equator over the next thirteen days. Global temperatures were lowered about 0.9°Fahrenheit (0.5°C), and did not return to normal until five years later.

An ironic development is that the Ujung Kulon Peninsula was never resettled after the tsunami killed most of the people living there. Without Krakatoa's explosion, the population would have most likely grown significantly, and much of the habitat there would likely have been altered by agriculture. Instead, the area is now a national park that supports a variety of species, including the Javan rhino (*Rhinoceros sondaicus*), one of Earth's rarest and most endangered species. This park has provided a laboratory for

scientists to study nature's healing process after such devastation.

In 1927 debris began to appear above the ocean surface above the collapsed caldera of Krakatoa, and Anak Krakatoa was thus born. Significant pyroclastic flows cascaded down the right flank of Anak Krakatoa in 1952. The latest cycle of eruptions began in April 2008. Continuous releases of hot gases, rocks, and lava have prompted officials to recommend a 4.8-mile (3-km) exclusion zone around the island.

See also Mount Pinatubo; Mount St. Helens; Volcano.

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Nathan H. Meleen

## Krill

Marine crustaceans in the order Euphausiacea. Krill are zooplankton, and most feed on microalgae by filtering them from the water. In high latitudes, krill may account for a large proportion of the total zooplankton. Krill often occur in large swarms and in a few species these swarms may reach several hundred square meters in size with densities over 60,000 individuals per square meter. This swarming behavior makes them valuable food sources for many species of whales and seabirds. Humans have also begun to harvest krill for use as a dietary protein supplement.

## Krutch, Joseph Wood

1893–1970

American literary critic and naturalist

Through much of his career, Krutch was a teacher of criticism at Columbia University and a drama critic for *The Nation*. But then respiratory problems led him

to early retirement in the desert near Tucson, Arizona. He loved the desert and there turned to biology and geology, which he applied to maintain a consistent, major theme found in all of his writings, that of the relation of humans and the universe. Krutch subsequently became an accomplished naturalist.

Readers can find the theme of man and universe in Krutch's early work, such as in *The Modern Temper* (1929) and in his later writings on human-human and human-nature relationships, including natural history—what Rene Jules Dubos described as “the social philosopher protesting against the follies committed in the name of technological progress, and the humanist searching for permanent values in man's relationship to nature.” Assuming a pessimistic stance in his early writings, Krutch despaired about lost connections, arguing that for humans to reconnect, they must conceive of themselves, nature, “and the universe in a significant reciprocal relationship.”

Krutch's later writings repudiated much of his earlier despair. He argued against the dehumanizing and alienating forces of modern society and advocated systematically reassembling—by reconnecting to nature—“a world man can live in.” In *The Voice of the Desert* (1954), for instance, he claimed that “we must be part not only of the human community, but of the whole community.” In such books as *The Twelve Seasons* (1949) and *The Great Chain of Life* (1956), he demonstrated that humans “are a part of Nature. . . whatever we discover about her we are discovering also about ourselves.” This view was based on a solid anti-deterministic approach that opposed mechanistic and behavioristic theories of evolution and biology.

His view of modern technology as out of control was epitomized in the automobile. Driving fast prevented people from reflecting or thinking or doing anything except controlling the monster: “I'm afraid this is the metaphor of our society as a whole,” he commented. Krutch also disliked the proliferation of suburbs, which he labeled “affluent slums.” He argued in *Human Nature and the Human Condition* (1959) that “modern man should be concerned with achieving the good life, not with raising the [material] standard of living.”

An editorial ran in *The New York Times* a week after Krutch's death: Today's younger generation, it read, “unfamiliar with Joseph Wood Krutch but concerned about the environment and contemptuous of materialism,” should “turn to a reading of his books with delight to themselves and profit to the world.”

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Gerald L. Young

## Kudzu

*Pueraria lobata* or kudzu, also jokingly referred to as “foot-a-night” and “the vine that ate the South,” is a highly aggressive and persistent semi-woody vine introduced to the United States in the late nineteenth century. It has since become a symbol of the problems possible for native ecosystems caused by the introduction of exotic species. Kudzu's best-known characteristic is its extraordinary capacity for rapid growth, managing as much as 12 inches (30.5 cm) a day and 60 to 100 feet (18–30 m) a season under ideal conditions. When young, kudzu has thin, flexible, and downy stems that grow outward as well as upward, eventually covering virtually everything in its path with a thick mat of leaves and tendrils. This lateral growth creates the dramatic effect, common in southeastern states such as Georgia, of telephone poles, buildings, neglected vehicles, and whole areas of woodland being enshrouded in blankets of kudzu. Kudzu's tendency toward aggressive and overwhelming colonization has many detrimental effects, killing stands of trees by robbing them of sunlight and pulling down or shorting out utility cables. Where stem nodes touch the ground, new roots develop that can extend 10 feet (3 m) or more underground and eventually weigh several hundred pounds. In the nearly ideal climate of the Southeast, the prolific vine easily overwhelms virtually all native competitors and also infests cropland and yards.

A member of the pea family, kudzu is itself native to China and Japan. Introduced to the United States at the Japanese garden pavilion during the 1876 Philadelphia Centennial Exhibition, kudzu's broad leaves and richly fragrant reddish-purple blooms made it seem highly desirable as an ornamental plant in American gardens. It now ranges along the eastern seaboard from Florida to Pennsylvania, and westward to Texas. Although hardy, kudzu does not tolerate cold weather and prefers acidic, well-drained soils and bright sunlight. It rarely flowers or sets seed in the northern part of its range and loses its leaves at first frost.

For centuries, the Japanese have cultivated kudzu for its edible roots, medicinal qualities, and fibrous leaves and stems, which are suitable for paper production.

After its initial introduction as an ornamental plant, kudzu also was touted as a forage crop and as a cure for erosion in the United States. Kudzu is nutritionally comparable to alfalfa, and its tremendous durability and speed of growth were thought to outweigh the disadvantages caused for cutting and baling by its rope-like vines. But its effectiveness as a ground cover, particularly on steeply-sloped terrain, is responsible for kudzu's spectacular spread. By the 1930s, the United States Soil Conservation Service was enthusiastically advocating kudzu as a remedy for erosion, subsidizing farmers as well as highway departments and railroads, with as much as \$8 an acre to use kudzu for soil retention. The Depression-era Civilian Conservation Corps also facilitated the spread of kudzu, planting millions of seedlings as part of an extensive erosion control project.

Kudzu also has had its unofficial champions, the best-known of whom is Channing Cope of Covington, Georgia. As a journalist for Atlanta newspapers and a popular radio broadcaster, Cope frequently extolled the virtues of kudzu, dubbing it the "miracle vine" and declaring that it had replaced cotton as "king" of the South. The spread of the vine was precipitous. In the early 1950s, the federal government began to question the wisdom of its support for kudzu. By 1953, the Department of Agriculture stopped recommending the use of kudzu for either fodder or ground cover. In 1982, kudzu was officially declared a weed.

Funding is now directed more at finding ways to eradicate kudzu or at least to contain its spread. Continuous over-grazing by livestock will eventually eradicate a field of kudzu. Repeated applications of defoliant herbicides can kill or limit many kudzu vines, but some have developed a resistance to common herbicides. Stubborn patches may take five or more years to be completely removed. Controlled burning is usually ineffective and attempting to dig up the massive root system is generally an exercise in futility, but kudzu can be kept off lawns and fences (as an ongoing project) by repeated mowing and vigorous pruning.

A variety of new uses are being found for kudzu, and some very old uses are being rediscovered. Kudzu root can be processed into flour and baked into breads and cakes; as a starchy sweetener, it also may be used to flavor soft drinks. Medical researchers investigating the scientific bases of traditional herbal remedies have suggested that isoflavones found in kudzu root may significantly reduce craving for alcohol in alcoholics. Eventually, derivatives of kudzu may also prove to be useful for treatment of high blood pressure. Methane and gasohol have been successfully produced from kudzu, and kudzu's stems may prove to be an economically viable source of fiber for paper production and

other purposes. The prolific vine has also become something of a humorous cultural icon, with regional picture postcards throughout the south portraying spectacular and only somewhat exaggerated images of kudzu's explosive growth. Many fairs, festivals, restaurants, bars, rock groups, and road races have borrowed their name and drawn some measure of inspiration from kudzu, poems have been written about it, and kudzu cookbooks and guides to kudzu crafts are readily available in bookstores.

Kudzu is only one of several aggressive, invasive species found in the southern United States. Air potato, *Dioscorea bulbifera*, a native of Africa and India is more aggressive in warm climates than kudzu. The U.S. Department of Agriculture and several individual states have banned the importation, sale, and planting of various types of invasive species. They have also worked to educate the public about the environmental problems caused by nonnative, invasive plants.

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Lawrence J. Biskowski

## Kwashiorkor

Kwashiorkor is one of many severe protein energy malnutrition disorders that are a widespread problem among children in developing countries. The word's origin is in Ghana, where it means a deposed child, or a child that is no longer suckled. The disease usually affects infants between one and four years of age who have been weaned from breast milk to a high starch, low protein diet. The disease is characterized by lethargy, apathy, or irritability. Over time, the individual will experience delayed growth processes, both physically

and mentally. Kwashiorkor occurs most often among children living in developing countries where food insecurity occurs because of famine, drought, or conflict.

Kwashiorkor results in amino acid deficiencies, which inhibit protein synthesis in all tissues. The lack of sufficient plasma proteins, specifically albumin, results in systemic pressure changes, ultimately causing generalized edema (swelling because of fluid accumulation). The edema, however, often masks wasted muscle tissue, severely reduced body fat, and overall dehydration. Hair becomes dry and brittle, and the color usually fades. Skin lesions often resemble either flaking paint or burns, and both leave the child vulnerable to infection. Children with kwashiorkor usually have little energy or appetite, and it is often difficult to persuade them to eat.

Eventually, the liver swells with stored fat because there are no hepatic proteins being produced for digestion of fats. Kwashiorkor additionally results in reduced bone density and impaired renal function. If treated early on in its development, the disease can be reversed with proper dietary therapy and treatment of associated infections. If the condition is not reversed in its early stages, prognosis is poor.

*See also* Sahel.

## Kyoto Protocol/Treaty

In the mid-1980s, a growing body of scientific evidence linked man-made greenhouse gas emissions to global warming. In 1990, the United Nations General Assembly issued a report that confirmed this link. The United Nations Framework Convention on Climate Change (UNFCCC), an accord signed by various nations at the Earth Summit in Rio de Janeiro, Brazil, in 1992, committed industrialized nations to stabilizing their emissions at 1990 levels by 2000.

In December 1997, representatives of 160 nations met in Kyoto, Japan, in an attempt to produce a new and improved treaty on climate change. Major differences occurred between industrialized and still-developing countries with the United States perceived, particularly by representatives of the European Union (EU), as not doing its share to reduce emissions, especially those of carbon dioxide.

The outcome of this meeting, the Kyoto Protocol to the United Nations Framework Convention on Climate Change (UNFCCC), required industrialized nations to reduce their emissions of carbon dioxide, methane, nitrous oxide, hydrofluorocarbons, sulfur



**Members of Japanese environmental groups stage a demonstration in downtown Kyoto to celebrate the Kyoto Protocol, the world's most far-reaching environmental treaty.**  
(Kazuhiro Nogi /AFP/ Getty Images)

dioxides, and perfluorocarbons below 1990 levels by 2012. The requirements would be different for each country and would have to begin by 2008 and be met by 2012. There would be no requirements for the developing nations. Whether or not to sign and ratify the treaty was left up to the discretion of each individual country.

## Global warming

The organization that provided the research for the Kyoto Protocol was the Intergovernmental Panel on Climate Change (IPCC), set up in 1988 as a joint project of the United Nations Environment Programme (UNEP) and the World Meteorological Organization (WMO). In 2001, the IPCC released a report, "Climate Change, 2001." Using the latest climatic and atmospheric scientific research available, the report predicted that global mean surface temperatures on earth would increase by 2.5 to 10.4°Fahrenheit (1.5–5.9°C) by the year 2100, unless greenhouse gas emissions were reduced. This warming trend was seen as rapidly accelerating, with possible dire consequences to human society and the environment. These accelerating temperature changes were expected to lead to rising sea levels, melting glaciers and polar ice packs, heat waves, droughts and wildfires, changes in agricultural production, and a profound and deleterious effect on human health and well-being.

Some of the effects of these temperature changes may already be occurring. According to the Intergovernmental Panel on Climate Change's (IPCC) "Fourth

Assessment Report” in 2007, global surface temperatures increased by an average of  $1.33 \pm 0.32^\circ\text{Fahrenheit}$  ( $0.74 \pm 0.18^\circ\text{C}$ ) during the twentieth century. Sea ice is melting in both the Antarctica and the Arctic. The world’s glaciers are melting at an alarming rate with a mean thickness loss of approximately 46 feet (14 m). The sea level is rising at three times its historic rate. Florida is already feeling the early effects of global warming with shorelines suffering from erosion, with dying coral reefs, with saltwater polluting the fresh water sources, with an increase in wildfires, and with higher air and water temperatures. In Canada, forest fires have more than doubled since 1970, water wells are going dry, lake levels are down, and there is less rainfall.

### Controversy

Since its inception, the Kyoto Protocol has generated a great deal of controversy. Richer nations have argued that the poorer, less-developed nations are getting off easy. The developing nations, by contrast, have argued that they will never be able to catch up with the richer nations unless they are allowed to develop with the same degree of pollution as that which let the industrial nations become rich in the first place.

Another controversy rages between environmentalists and big business. Environmentalists have argued that the Kyoto Protocol does not go far enough, while petroleum and industry spokespersons have argued that it would be impossible to implement without economic disaster.

In the United States, the controversy has waxed especially high. The Kyoto Protocol was signed under the administration of President Bill Clinton, but was never ratified by the Republican-dominated U.S. Senate. Then, in 2001, President George W. Bush, backed out of the treaty, saying it would cost the U.S. economy \$400 billion and 4.9 million jobs. Bush unveiled an alternative proposal to the Kyoto accord that he said would reduce greenhouse gases, curb pollution, and promote energy efficiency. But critics of his plan argued that by the year 2012, the Bush plan would have increased the 1990 levels of greenhouse gas emissions by more than 30 percent.

Soon after the Kyoto Protocol was rejected by the Bush administration, the EU criticized the action. In particular, Germany was unable to understand why the Kyoto restrictions would adversely affect the American economy, noting that Germany had been able to reduce their emissions without serious economic problems. The Germans also suggested that President Bush’s program to induce voluntary reductions was politically

motivated and was designed to prevent a drop in the unreasonably high level of consumption of greenhouse gases in the United States, a drop that would be politically damaging for the Bush administration. In rejecting the Kyoto Protocol, President Bush claimed that it would place an unfair burden on the United States. He argued that it was unfair that developing countries such as India and China should be exempt.

Politics has always been at the forefront of this debate. The IPCC has provided assessments of climate change that have helped shape international treaties, including the Kyoto Protocol. However, the Bush administration, acting at the request of ExxonMobil, the world’s largest oil company, and attempting to cast doubts upon the scientific integrity of the IPCC, was behind the ouster in 2002 of the IPCC chairperson Robert Watson, an atmospheric scientist who supported implementing actions against global warming.

The ability of trees and plants to fix carbon through the process of photosynthesis, a process called *carbon or C sequestration*, results in a large amount of carbon stored in biomass around the world. In the framework of the Kyoto Protocol, C sequestration to mitigate the greenhouse effect in the terrestrial ecosystem has been an important topic of discussion in numerous recent international meetings and reports. To increase C sequestration in soils in the dryland and tropical areas, as a contribution to global reductions of atmospheric CO<sub>2</sub>, the United States has promoted new strategies and new practices in agriculture, pasture use, and forestry, including conservation agriculture and agroforestry. Such practices should be facilitated particularly by the application of article 3.4 of the Kyoto Protocol covering the additional activities in agriculture and forestry in the developing countries and by appropriate policies.

### Into the future

In June 2002, the fifteen member nations of the EU formally signed the Kyoto Protocol. The ratification by the fifteen EU countries was a major step toward making the 1997 treaty effective. Soon after, Japan signed the treaty, and Russia was expected to follow suit.

To take effect, the Kyoto Protocol must be ratified by fifty-five countries, but these ratifications have to include industrialized nations responsible for at least 55 percent of the 1990 levels of greenhouse gases. As of 2002, over seventy countries had already signed, exceeding the minimum number of countries needed. If Russia signs the treaty, nations responsible for over 55 percent of the 1990 levels of greenhouse

gas pollution will have signed, and the Kyoto Protocol will take effect.

Before the EU ratified the protocol, the vast majority of countries that had ratified were developing countries. With the withdrawal of the United States, responsible for 36.1 percent of greenhouse gas emissions in 1990, ratification by industrialized nations was crucial. For example, environmentalists hope that Canada will ratify the treaty as it has already committed compliance.

Although the Bush administration opposed the Kyoto Protocol, saying that its own plan of voluntary restrictions would work as well without the loss of billions of dollars and without driving millions of Americans out of work, the Environmental Protection Agency (EPA), under its administrator Christine Todd Whitman, sent a climate report to the United Nations in 2002 detailing specific, far-reaching, and disastrous effects of global warming upon the American environment and its people. The EPA report also admitted that global warming is occurring because of man-made carbon dioxide and other greenhouse gases. However, it offered no major changes in administration policies, instead recommending adapting to the inevitable and catastrophic changes.

Although the United States was still resisting the Kyoto Protocol in mid-2002, and the treaty's implications for radical and effective action, various states and communities decided to go it alone. Massachusetts and New Hampshire enacted legislation to cut carbon emissions. California was considering legislation limiting emissions from cars and small trucks. Over 100 U.S. cities had already opted to cut carbon emissions. Even the U.S. business community, because of their many overseas operations, was beginning to voluntarily cut back on their greenhouse emissions.

As of 2010, 187 nations had signed and ratified the Kyoto Protocol. The Kyoto Protocol, however, will expire at the end of 2012. The international community has engaged in negotiations to produce a successor greenhouse gas reduction treaty. Delegates to the 2007 United Nations Climate Change Conference in Bali,

Indonesia, adopted a roadmap to reach a successor agreement in 2009. Delegates to the 2009 United Nations Climate Change Conference in Copenhagen, Denmark, however, failed to reach consensus on a comprehensive climate change agreement.

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Douglas Dupler





## La Niña

La Niña, Spanish for “the little girl,” is also called a cold episode, “El Viejo” (The Old Man), or anti-El Niño. It is one of two major changes in the Pacific Ocean surface temperature that affect global weather patterns. La Niña and El Niño (“the little boy”) are the extreme phases of the El Niño/Southern Oscillation, a climate cycle that occurs naturally in the eastern tropical Pacific Ocean. The effects of both phases are usually strongest from December to March. In some ways, La Niña is the opposite of El Niño. For example, La Niña usually brings more rain to Australia and Indonesia, areas that are susceptible to drought during El Niño.

La Niña is characterized by unusually cold ocean surface temperatures in the equatorial region. Ordinarily, the sea surface temperature off the western coast of South America ranges from 60–70°Fahrenheit (15–21°C). According to the National Oceanic and Atmospheric Administration (NOAA), the temperature dropped by up to 7°Fahrenheit (4°C) below normal during the 1988–1989 La Niña. In the United States, La Niña usually brings cooler and wetter than normal conditions in the Pacific Northwest and warmer and drier conditions in the Southeast. In contrast, during El Niño, surface water temperatures in the tropical Pacific are unusually warm. Because water temperatures increase around Christmas, people in South America called the condition “El Niño” to honor the Christ Child.

The two weather phenomena are caused by the interaction of the ocean surface and the atmosphere in the tropical Pacific. Changes in the ocean affect the atmosphere and climate patterns around the world, with changes in the atmosphere in turn affecting the ocean temperature and currents. Before the onset of La Niña, there is usually a build-up of cooler than normal subsurface water in the tropical Pacific. The

cold water is brought to the surface by atmospheric waves and ocean waves. Winds and currents push warm water towards Asia. In addition, the system can drive the polar jet stream (a stream of winds at high altitude) to the north; this affects weather in the United States.

The effects of La Niña and El Niño are generally seen in the United States during the winter. The two conditions usually occur every three to five years. However, the period between episodes may be from two to seven years. The conditions generally last from nine to twelve months, but episodes could last as long as two years.

Since 1975, El Niños have occurred twice as frequently as La Niñas. While both conditions are cyclical, a La Niña episode does not always follow an El Niño episode. La Niñas in the twentieth century occurred in 1904, 1908, 1910, 1916, 1924, 1928, 1938, 1950, 1955, 1964, 1970, 1973, 1975, 1988, 1995, 1998, 2000, 2007, and 2010. Effects of the 1998 La Niña, the most severe of the twentieth century, included flooding in Mozambique in 2000 and a record warm winter in the United States. Nationwide temperatures averaged 38.4°Fahrenheit (3.5°C) from December 1999 through February 2000, according to the NOAA. In addition, that three-month period was the sixteenth driest winter in the 105 years that records have been kept by National Climatic Data Center.

Scientists from the NOAA and other agencies use various tools to monitor La Niña and El Niño. These tools include satellites and data buoys that are used to monitor sea surface temperatures. Tracking the two weather phenomena can help nations to prepare for potential disasters such as floods or drought.

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Liz Swain

## La Paz Agreement

The 1983 La Paz Agreement between the United States and Mexico is a pact to protect, conserve, and improve the environment of the border region of both countries. The agreement defined the region as the 62 miles (100 km) to the north and south of the international border. This area includes maritime (sea) boundaries and land in four American states and six Mexican border states.

Representatives from the two countries signed the agreement on August 14, 1983, in La Paz, Mexico. The agreement took effect on February 16, 1984. It established six workgroups, with each group concentrating on an environmental concern. Representatives from both countries serve on the workgroups that focus on water, air, hazardous and solid waste, pollution prevention, contingency planning and emergency response, and cooperative enforcement and compliance.

Environmental officials from the two countries established the U.S.-Mexico Environmental Program (Border 2012) to improve the borderlands environment

and address environmental health threats of the region's twelve million residents.

Liz Swain

## Lagoon

A lagoon is a shallow body of water separated from a larger, open body of water. It is typically associated with the ocean, such as coastal lagoons and coral reef lagoons. Lagoon also can be used to describe shallow areas of liquid waste material as in sewage lagoons. Oceanic lagoons can be formed in several ways. Coastal lagoons are typically found along coastlines where there are sand bars or barrier islands that separate the open ocean from the near shore body of water. Coral reef lagoons can form in two ways. The first type is found in barrier reefs such as those in Australia and Belize, where there is a body of water (lagoon) which is separated from the open ocean by the reef formed many miles off shore. Another type of lagoon is that formed in the center of atolls, which are circular or horse-shoe shaped bodies of water in the middle of partially sunken volcanic islands with coral reefs growing around their periphery. Some of these atoll lagoons are more than 30 mi (50 km) across and have breath-taking visibility, thus providing superb sites for SCUBA diving.

Lakes see **Experimental Lakes Area; Lake Baikal; Lake Erie; Lake Tahoe; Lake Washington; Mono Lake; National lakeshore.**

## Lake Baikal

The Great Lakes are a prominent feature of the North American landscape, but Russia holds the distinction of having the "World's Great Lake." Called the "Pearl of Siberia" or the "Sacred Sea" by locals, Lake Baikal is the world's deepest, oldest, and largest lake by volume. It has a surface area of 12,248 square miles (31,722 sq km), a maximum depth of 5,387 feet (1,642 m), or slightly more than 1 mile, an average depth of 2,442 feet (744.4 m), and a volume of 30,061 cubic yards (23,000 cu m). It thus contains more water than the combined volume of all of the North American Great



**Lake Baikal.** (poresh/Shutterstock.com)

Lakes—20 percent of the world’s fresh water (and 80 percent of the fresh water of the former Soviet Union).

Lake Baikal is located in Russia in south-central Siberia near the northern border of Mongolia. Scientists estimate that the lake was formed 25 million years ago by tectonic (earthquake) displacement, creating a crescent-shaped, steep-walled basin 395 miles (635 km) long by 50 miles (80 km) wide and nearly 5.6 miles (9 km) deep. In contrast, the Great Lakes were formed by glacial scouring a mere 10,000 years ago.

Although sedimentation has filled in 80 percent of the basin over the years, the lake is believed to be widening and deepening ever so slightly with time because of recurring crustal movements. The area surrounding Lake Baikal is underlain by at least three crustal plates, causing frequent earthquakes. Fortunately, most earthquakes in the region are too weak to cause damage.

Like similarly ancient Lake Tanganyika in Africa, the waters of Lake Baikal host a great number of unique

species. Of the 1,550 known animal and 1,085 known plant species, more than 80 percent are endemic to this lake. These include many species of fish, shrimp, and the world’s only exclusively fresh water species of sponges and seals. Called *nerpa* or *nerpy* by the natives, these seals (*Phoca sibirica*) are silvery-gray in color and can grow to 5 feet (1.5 m) long and weigh up to 286 pounds (130 kg). Their diet consists almost exclusively of a strange-looking relict fish called *golomyanka* (*Comephorus baicalensis*), rendered translucent by its fat-filled body. Unlike other fish, they lack scales and swim bladders and give birth to live larvae rather than eggs. The seal population is estimated at 60,000. Seal hunting is now restricted in Lake Baikal, but commercial hunters are permitted to kill 3,500 seals each year.

Although the waters of Lake Baikal are pristine by the standards of other large lakes, increased pollution threatens its future. Towns along its shores and along the stretches of the Selenga River, the major tributary flowing into Baikal, add human and industrial wastes,

some of which is nonbiodegradable and some highly toxic. A hydroelectric dam on the Angara River, the lake's only outlet, raised the water level and placed spawning areas of some fish below the optimum depth. Most controversial to the people who depend on this lake for their livelihood and pleasure, however, was the construction of a large cellulose plant at the southern end near the city of Baikalsk in 1957. Built originally to manufacture high-quality aircraft tires (ironically, synthetic tires proved superior), today it produces clothing from bleached cellulose and employs 3,500 people. Uncharacteristic public outcry over the years has resulted in the addition of advanced sewage treatment facilities to the plant. Although some people would like to see it shut down, the local (and national) economy has taken precedence.

In 1987 the Soviet government passed legislation protecting Lake Baikal from further destruction. Logging was prohibited anywhere close to the shoreline and nature reserves and national parks were designated. However, with the recent political turmoil and crippling financial situation in the former Soviet Union, these changes have not been enforced and the lake continues to receive pollutants. Much more needs to be done to assure the future of this magnificent lake. The lake has been a world heritage site since 1996 and the Russian parliament has continued to note concern over a number of integrity issues including pollution. Despite this, in 2006 the Russian Government announced plans to build a uranium enrichment centre at an existing nuclear facility in Angarsk, 95 kilometers from the lake, which environmentalists have argued would be a disaster for the region.

*See also* Endemic species.

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John Korstad

## Lake Erie

Lake Erie is the fourth largest of the Great Lakes by surface area. Located along the southern fringe of the Precambrian Shield of North America, Lake Erie has been ecologically degraded by a variety of

anthropogenic stressors including nutrient loading; extensive deforestation of its watershed that caused severe siltation and other effects; vigorous commercial fishing; and pollution by toxic chemicals.

The watershed of Lake Erie is much more agricultural and urban in character than are those of the other Great Lakes. Consequently, the dominant sources of phosphorus (the most important nutrient causing eutrophication) to Lake Erie are agricultural runoff and municipal point sources. The total input of phosphorus to Lake Erie (standardized to watershed area) is about 1.3 times larger than to Lake Ontario and more than five times larger than to the other Great Lakes.

Because of its large loading rates and concentrations of nutrients, Lake Erie is more productive and has a larger standing crop of phytoplankton, fish, and other biota than the other Great Lakes. During the late 1960s and early 1970s, the eutrophic western basin of Lake Erie had a summer-chlorophyll concentration averaging twice as large as in Lake Ontario and eleven times larger than in oligotrophic Lake Superior. However, since that time the eutrophication of Lake Erie has been alleviated, in direct response to decreased phosphorus inputs with sewage and detergents. A consequence of the eutrophic state of Lake Erie was the development of anoxia (lack of oxygen) in its deeper waters during summer stratification. In the summer of 1953, this condition caused a collapse of the population of benthic mayfly larvae (*Hexagenia* spp.), a phenomenon that was interpreted in the popular press as the "death" of Lake Erie.

Large changes have also taken place in the fish community of Lake Erie, mostly because of its fishery, the damming of streams required for spawning by anadromous fishes (fish that ascend rivers or streams to spawn), and sedimentation of shallow-water habitat by silt eroded from deforested parts of the watershed. Lake Erie has always had one of the most productive freshwater commercial fisheries in the world. Lake Erie fish landings that typically exceed the combined totals of all the other Great Lakes. The peak years of commercial fishery in Lake Erie were in 1935 and 1956 (62 million lb/28 million kg), while the minima were in 1929 and 1941 (24 million lb/11 million kg). Overall, the total catch by the commercial fishery has been remarkably stable over time, despite large changes in species, effort, eutrophication, toxic pollution, and other changes in habitat.

The historical pattern of development of the Lake Erie fishery was characterized by an initial exploitation of the most desirable and valuable species. As the

populations of these species collapsed because of unsustainable fishing pressure, coupled with habitat deterioration, the fishery diverted to a progression of less-desirable species. The initial fishery focused on lake white fish (*Coregonus clupeaformis*), lake trout (*Salvelinus namaycush*), and lake herring (*Leucichthys artedii*), all of which rapidly declined to scarcity or extinction. The next target was “second-choice” species, such as blue pike (*Stizostedion vitreum glaucum*) and walleye (*S. v. vitreum*), which are now extinct or rare. Today’s fishery is dominated by species of much smaller economic value, such as yellow perch (*Perca flavescens*), rainbow smelt (*Osmerus mordax*), and carp (*Cyprinus carpio*).

In 1989 an invasive species—the zebra mussel (*Dreissena polymorpha*)—reached Lake Erie and began to have a significant ecological impact on the lake. Zebra mussels are filter feeders, and each adult mussel can filter a liter of water per day, removing every microscopic plant (phytoplankton or algae) and animal (zooplankton) in the process. Zebra mussel densities in Lake Erie reached such a level that the entire volume of the lake’s western basin is filtered each week. In 1989, the quagga mussel, which is closely related to the zebra mussel, was also discovered in Lake Erie. By the mid-1990s, the quagga mussel began competing with zebra mussels for dominance in Lake Erie. By late in the first decade of the twenty-first century, the more aggressive quagga mussel had displaced the zebra mussel from Lake Erie.

See also Cultural eutrophication; Water pollution.

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Bill Freedman

## Lake Tahoe

A beautiful lake 6,200 feet (1,891 m) high in the Sierra Nevada, straddling the California-Nevada state line, Lake Tahoe is a jewel to both nature-lovers and developers. It is the tenth deepest lake in the world, with a maximum depth of 1,600 feet (488 m) and a total volume of 37 trillion gallons. At the south end of

the lake sits a dam that supplies up to six feet of Lake Tahoe’s water flow into the outlet of the Truckee River. The U.S. Bureau of Reclamation controls water diversion into the Truckee, which is used for irrigation, power, and recreational purposes throughout Nevada.

Tahoe and Crater Lake are the only two large alpine lakes remaining in the United States. Visitors have expressed their awe of the lake’s beauty since it was discovered by General John Freémont in 1844. Mark Twain wrote that it was “the fairest sight the whole Earth affords.”

The arrival of Europeans in the Tahoe area was quickly followed by environmental devastation. Between 1870 and 1900, forests around the lake were heavily logged to provide timber for the mine shafts of the Comstock Lode. While this logging dramatically altered the area’s appearance for years, the natural environment eventually recovered and no long-term logging-related damage to the area around the lake can be detected today.

The same can not be said for a later assault on the lake’s environment. Shortly after World War II, people began moving into the area to take advantage of the region’s natural wonders—the lake itself and superb snow skiing—as well as the young casino business on the Nevada side of the lake. The 1960 Winter Olympics, held at Squaw Valley, placed Tahoe’s recreational assets in the international spotlight. Lakeside population grew from about 20,000 in 1960 to more than 65,000 today, with an estimated tourist population of 22 million annually.

The impact of this rapid population growth soon became apparent in the lake itself. Early records showed that the lake was once clear enough to allow visibility to a depth of about 130 feet (40 m). By the late 1960s, that figure had dropped to about 100 feet (30 m).

Lake Tahoe is now undergoing eutrophication at a fairly rapid rate. Algal growth is being encouraged by sewage and fertilizer produced by human activities. Much of the area’s natural pollution controls, such as trees and plants, have been removed to make room for residential and commercial development. The lack of significant flow into and out of the lake also contributes to a favorable environment for algal growth.

Efforts to protect the pristine beauty of Lake Tahoe go back at least to 1912. Three efforts were made during that decade to have the lake declared a national park, but all failed. By 1958, concerned conservationists had formed the Lake Tahoe Area Council to “promote the preservation and long-range development of the Lake Tahoe basin.” The Council was followed by other

organizations with similar objectives, the League to Save Lake Tahoe among them.

An important step in resolving the conflict between preservationists and developers occurred in 1969 with the creation of the Tahoe Regional Planning Agency (TRPA). The agency was the first and only land use commission with authority in more than one state. It consisted of fourteen members, seven appointed by each of the governors of the two states involved, California and Nevada. For more than a decade, the agency attempted to write a land-use plan that would be acceptable to both sides of the dispute. The conflict became more complex when the California Attorney General, John Van de Kamp, filed suit in 1985 to prevent TRPA from granting any further permits for development. Developers were outraged but lost all of their court appeals.

By 2000, the strain of tourism, development, and nonpoint automobile pollution was having a visible impact on Lake Tahoe's legendary deep blue surface. A study released by the University of California—Davis and the University of Nevada—Reno reported that visibility in the lake had decreased to 70 feet (21 m), an average decline of a foot a year since the 1960s. As part of a renewed effort to reverse Tahoe's environmental decline, President Clinton signed the Lake Tahoe Restoration Act into law in late 2000, authorizing \$300 million towards restoration of water quality in Lake Tahoe over a period of ten years. In summer 2008 approximately \$700 million was committed to continue the Environmental Improvement Program, but \$1.8 billion is still needed to carry the program into its second decade. In 2009, Congress approved the Lake Tahoe Restoration Act, which declared the Lake Tahoe Basin a National Scenic Forest and Recreation Area and authorized an additional \$300 million for restoration efforts.

*See also* Algal bloom; Cultural eutrophication; Environmental degradation; Fish kills; Sierra Club; Water pollution.

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## Lake Washington

Lake Washington lies along the state of Washington's west coastline, near the city of Seattle. It is 24 miles (39 km) from north to south and its width varies from 2–4 miles (3–6 km). For the first half of the twentieth century, Lake Washington was clear and pristine, a beautiful example of the Northwest's spectacular natural scenery. Extensive wooded areas and a few small towns with populations of no more than 10,000 occupied the lake's shores. The lake's purity was not threatened by Seattle, which dumped most of its wastes into Elliot Bay, an arm of Puget Sound. This situation changed rapidly during and after World War II. In 1940, the spectacular Lake Washington Bridge was built across the lake, joining its two facing shores with each other and with Seattle. Population along the lake began to boom, reaching more than 50,000 by 1950.

Many of the growing communities dumped their raw sewage directly into the lake or, at best, passed their wastes through only preliminary treatment stages. By one estimate, 20 million gallons (76 million liters) of wastes were being dumped into the lake each day. On average, these wastes contained about half of their pollutants when they reached the lake. In less than a decade, the effect of these practices on lake water quality were easily observable. Water clarity was reduced from at least 15 feet (4.6 m) to 2.5 feet (0.8 m) and levels of dissolved oxygen were so low that some species of fish disappeared. In 1956, W. T. Edmonson, a zoologist and pollution authority, and two colleagues reported their studies of the lake. They found that eutrophication of the lake was taking place very rapidly as a result of the dumping of domestic wastes into its water.

Solving this problem was especially difficult because water pollution is a regional issue over which each individual community had relatively little control. The

solution appeared to be the creation of a new governmental body that would encompass all of the Lake Washington communities, including Seattle. In 1958, a ballot measure establishing such an agency, known as Metro, was passed in Seattle but defeated in its suburbs. Six months later, the Metro concept was redefined to include the issue of sewage disposal only. This time it passed in all communities.

Metro's approach to the Lake Washington problem was to construct a network of sewer lines and sewage treatment plants that directed all sewage away from the lake and delivered it instead to Puget Sound. The lake's pollution problems were solved within a few years. By 1975 the lake was back to normal, water clarity returned to 15 feet (4.6 m), and levels of potassium and nitrogen in the lake decreased by more than 60 percent. Lake Washington's biological oxygen demand (BOD), a critical measure of water purity, decreased by 90 percent and fish species that had disappeared were once again found in the lake.

By the first decade of the twenty-first century, rising water temperatures emerged as a new threat to Lake Washington's ecosystem. Since the late 1960s and early 1970s, the average water temperature of Lake Washington has risen by 1° Fahrenheit (0.6°C) with a summer temperature increase of 2.5° Fahrenheit (1.4°C). Global climate change appears to be driving the warming temperatures, which threaten the lake's ecosystem.

See also Aquatic chemistry; Cultural eutrophication; Waste management; Water quality.

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David E. Newton

## Land ethic

Land ethic refers to an approach to issues of land use that emphasizes conservation and respect for our natural environment. Rejecting the belief that all

natural resources should be available for unchecked human exploitation, a land ethic advocates land use without undue disturbances of the complex, delicately balanced ecological systems of which humans are a part. Land ethic, environmental ethics, and ecological ethics are sometimes used interchangeably.

Discussions of land ethic, especially in the United States, usually begin with a reference of some kind to Aldo Leopold. Many participants in the debate over land and resource use admire Leopold's prescient and pioneering quest and date the beginnings of a land ethic to his *A Sand County Almanac*, published in 1949. However, Leopold's earliest formulation of his position may be found in "A Conservation Ethic," a benchmark essay on ethics published in 1933.

Even recognizing Leopold's remarkable early contribution, it is still necessary to place his pioneer work in a larger context. Land ethic is not a radically new invention of the twentieth century but has many ancient and modern antecedents in the Western philosophical tradition. The Greek philosopher Plato, for example, wrote that morality is "the effective harmony of the whole"—not a bad statement of an ecological ethic. Reckless exploitation has at times been justified as enjoying divine sanction in the Judeo-Christian tradition (man was made master of the creation, authorized to do with it as he saw fit). However, most Christian thought through the ages has interpreted the proper human role as one of careful husbandry of resources that do not, in fact, belong to humans. In the nineteenth century, the Huxleys, Thomas and Julian, worked on relating evolution and ethics. The mathematician and philosopher Bertrand Russell wrote that "man is not a solitary animal, and so long as social life survives, self-realization cannot be the supreme principle of ethics." Albert Schweitzer became famous—at about the same time that Leopold formulated a land ethic—for teaching reverence for life, and not just human life. Many non-Western traditions also emphasize harmony and a respect for all living things. Such a context implies that a land ethic cannot easily be separated from age-old thinking on ethics in general.

See also Bureau of Land Management; Riparian rights.

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Gerald L. Young  
Marijke Rijsberman

## Land Institute

Founded in 1976 by Wes and Dana Jackson, the Land Institute is both an independent agricultural research station and a school devoted to exploring and developing alternative agricultural practices. Located on the Smoky Hill River near Salina, Kansas, the Institute attempts—in Wes Jackson’s words—to “make nature the measure” of human activities so that humans “meet the expectations of the land,” rather than abusing the land for human needs. This requires a radical rethinking of traditional and modern farming methods. The aim of the Land Institute is to find “new roots for agriculture” by reexamining its traditional assumptions.

In traditional tillage farming, furrows are dug into the topsoil and seeds planted. This leaves precious topsoil exposed to erosion by wind and water. Topsoil loss can be minimized but not eliminated by contour plowing, the use of windbreaks, and other means. Although critical of traditional tillage agriculture, Jackson is even more critical of the methods and machinery of modern industrial agriculture, which in effect trades topsoil for high crop yields (approximately one bushel of topsoil is lost for every bushel of corn harvested).

Modern industrial agriculture also relies on plant monocultures—genetically uniform strains of corn, wheat, soybeans, and other crops. These crops are especially susceptible to disease and insect infestations and require extensive use of pesticides and herbicides which, in turn, kill useful creatures (for example, worms and birds), pollute streams and groundwater, and produce other destructive side effects. Although spectacularly successful in the short run, such agricultural practices are both non-sustainable and self-defeating. The supposed strengths of modern industrial agriculture—productivity, efficiency, and

economies of scale—are also its weaknesses. Short-term gains in production do not, Jackson argues, justify the longer term depletion of topsoil, the diminution of genetic diversity, and such social side-effects as the disappearance of small family farms and the abandonment of rural communities.

If these trends are to be questioned—much less slowed or reversed—a practical, productive, and feasible alternative agriculture must be developed. To develop such a workable alternative is the aim of the Land Institute. The Jacksons and their associates attempt to devise an alternative vision of agricultural possibilities. This begins with the important but oft-neglected truism that agriculture is not self-contained but is intertwined with and dependent on nature. The Land Institute explores the feasibility of alternative farming methods that might minimize or even eliminate the planting and harvesting of annual crops, turning instead to “herbaceous perennial seed-producing polycultures” that protect and bind topsoil. Food grains would be grown in pasture-like fields and intermingled with other plants that would replenish lost nitrogen and other nutrients, without relying on chemical fertilizers. Covered by a rooted living net of diverse plant life, the soil would at no time be exposed to erosion and would be aerated and rejuvenated by natural means. And the farmer, in symbiotic partnership, would take nature as the measure of his methods and results.

The experiments at the Land Institute are intended to make this vision into a workable reality. It is as yet too early to tell exactly what these continuing experiments might yield. But the re-visioning of agriculture has already begun and continues at the Land Institute.

*See also* Land stewardship.

### Resources

#### ORGANIZATIONS

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Terence Ball

## Land reform

Land reform is a social and political restructuring of the agricultural systems through redistribution of land. Successful land reform policies take into account the political, social, and economic structure of the area.

In agrarian societies, large landowners typically control the wealth and the distribution of food. Land reform policies in such societies allocate land to small landowners, to farm workers who own no land, to collective farm operations, or to state farm organizations. The exact nature of the allocation depends on the motivation of those initiating the changes. In areas where absentee ownership of farmland is common, land reform has become a popular method for returning the land to local ownership. Land reforms generally favor the family-farm concept, rather than absentee landholding.

Land reform is often undertaken as a means of achieving greater social equality, but it can also increase agricultural productivity and benefit the environment. A tenant farmer may have a more emotional and protective relation to the land he works, and he may be more likely to make agricultural decisions that benefit the ecosystem. Such a farmer might, for instance, opt for natural pest control. An absentee owner often does not have the same interest in land stewardship.

Land reform does have negative connotations and is often associated with the state collective farms under communism. Most proponents of land reform, however, do not consider these collective farms good examples, and they argue that successful land reform balances the factors of production so that the full agricultural capabilities of the land can be realized. Reforms should always be designed to increase the efficiency and economic viability of farming.

Land reform is usually more successful if it is enacted with agrarian reforms, which may include the use of agricultural extension agents, agricultural cooperatives, favorable labor legislation, and increased public services for farmers, such as health care and education. Without these measures land reform usually falls short of redistributing wealth and power, or fails to maintain or increase production.

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Linda Rehkopf

## Land stewardship

Little has been written explicitly on the subject of land stewardship. Much of the literature that does exist is limited to a biblical or theological treatment of stewardship. However, literature on the related ideas of sustainability and the land ethic has expanded dramatically in recent years, and these concepts are at the heart of land stewardship.

Webster's and the *Oxford English Dictionary* both define a "steward" as an official in charge of a household, church, estate, or governmental unit, or one who makes social arrangements for various kinds of events; a manager or administrator. Similarly, stewardship is defined as doing the job of a steward or, in ecclesiastical terms, as "the responsible use of resources," meaning especially money, time and talents, "in the service of God."

Intrinsic in those restricted definitions is the idea of responsible caretakers, of persons who take good care of the resources in their charge, including natural resources. "Caretaking" universally includes caring for the material resources on which people depend, and by extension, the land or environment from which those resources are extracted. Any concept of steward or stewardship must include the notion of ensuring the essentials of life, all of which derive from the land.

While there are few works written specifically on land stewardship, the concept is embedded in the writings of many articulate environmentalists. For example, Wendell Berry, a poet and essayist, is one of the foremost contemporary spokespersons for stewardship of the land. In his books, *Farming: A Handbook* (1970), *The Unsettling of America* (1977), *The Gift of Good Land* (1981), and *Home Economics* (1987), Berry shares his wisdom on caring for the land and the necessity of stewardship. He finds a mandate for good stewardship in religious traditions, including Judaism and Christianity: "The divine mandate to use the world justly and charitably, then, defines every person's moral predicament as that of a steward." Berry, however, does not leave stewardship to divine intervention. He describes stewardship as "hopeless and meaningless unless it involves long-term courage, perseverance, devotion, and skill" on the part of individuals, and not just farmers. He suggests that when we lost the skill to use the land properly, we lost stewardship.

However, Berry does not limit his notion of stewardship to a biblical or religious one. He lays down seven rules of land stewardship—rules of "living right." These are:

- using the land will lead to ruin of the land unless it “is properly cared for”;
- if people do not know the land intimately, they cannot care for it properly;
- motivation to care for the land cannot be provided by “general principles or by incentives that are merely economic”;
- motivation to care for the land, to live with it, stems from an interest in that land that “is direct, dependable, and permanent”;
- motivation to care for the land stems from an expectation that people will spend their entire lives on the land, and even more so if they expect their children and grandchildren to also spend their entire lives on that same land;
- the ability to live carefully on the land is limited; owning too much acreage, for example, decreases the quality of attention needed to care for the land;
- a nation will destroy its land and therefore itself if it does not foster rural households and communities that maintain people on the land as outlined in the first six rules.

Stewardship implies at the very least then, an attempt to reconnect to a piece of land. Reconnecting means getting to know that land as intimately as possible. This does not necessarily imply ownership, although enlightened ownership is at the heart of land stewardship. People who own land have some control of it, and effective stewardship requires control, if only in the sense of enough power to prevent abuse. But, ownership obviously does not guarantee stewardship—great and widespread abuses of land are perpetrated by owners. Absentee ownership, for example, often means a lack of connection, a lack of knowledge, and a lack of caring. And public ownership too often means non-ownership, leading to the “Tragedy of the Commons.” Land ownership patterns are critical to stewardship, but no one type of ownership guarantees good stewardship.

Berry argues that true land stewardship usually begins with one small piece of land, used or controlled or owned by an individual who lives on that land. Stewardship, however, extends beyond any one particular piece of land. It implies knowledge, and caring for, the entire system of which that land is a part, a knowledge of a land’s context as well as its content. It also requires understanding the connections between landowners or land users and the larger communities of which they are a part. This means that stewardship depends on interconnected systems of ecology and economics, of politics and science, of sociology and planning. The web of life that exists interdependent with a piece of land mandates attention to a complex

matrix of connections. Stewardship means keeping the web intact and functional, or at least doing so on enough land over a long-enough period of time to sustain the populations dependent on that land.

Berry and many other critics of contemporary land-use patterns and policies claim that little attention is being paid to maintaining the complex communities on which sustenance, human and otherwise, depends. Until holistic, ecological knowledge becomes more of a basis for economic and political decision-making, they assert, stewardship of the critical land-base will not become the norm.

*See also* Agricultural pollution; Sustainable agriculture; Sustainable development.

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Gerald L. Young

## Land Stewardship Project

The Land Stewardship Project (LSP) is a non-profit organization based in Minnesota and committed to promoting an ethic of environmental and agricultural stewardship. The group believes that the natural environment is not an exploitable resource but a gift given to each generation for safekeeping. To preserve and pass on this gift to future generations, for the LSP, is both a moral and a practical imperative.

Founded in 1982, the LSP is an alliance of farmers and city-dwellers dedicated both to preserving the small family farm and practicing sustainable agriculture. Like Wendell Berry and Wes Jackson (with whom they are affiliated), the LSP is critical of conventional agricultural practices that emphasize plant monocultures, large acreage, intensive tillage, extensive use of herbicides and pesticides, and the economies of scale that these practices make possible. The group believes that agriculture conducted on such an industrial scale is

bound to be destructive not only of the natural environment but of family farms and rural communities as well. The LSP accordingly advocates the sort of smaller scale agriculture that, in Berry's words, "depletes neither soil, nor people, nor communities."

The LSP sponsors legislative initiatives to save farmland and wildlife habitat, to limit urban sprawl and protect family farms, and to promote sustainable agricultural practices. It supports educational and outreach programs to inform farmers, consumers, and citizens about agricultural and environmental issues. The LSP also publishes a quarterly *Land Stewardship Letter* and distributes video tapes about sustainable agriculture and other environmental concerns.

See also Environmental ethics; Holistic approach; Land use; Sustainable agriculture; Sustainable biosphere; Sustainable development.

## Resources

### ORGANIZATIONS

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Terence Ball

## Land trusts

A land trust is a private, legally incorporated, non-profit organization that works with property owners to protect open land through direct, voluntary land transactions. Land trusts come in many varieties, but their intent is consistent. Land trusts are developed for the purpose of holding land against a development plan until the public interest can be ascertained and served. Some land trusts hold land open until public entities can purchase it. Some land trusts purchase land and manage it for the common good. In some cases land trusts buy development rights to preserve the land area for future generations while leaving the current use in the hands of private interests with written documentation as to how the land can be used. This same technique can be used to adjust land use so that some part of a parcel is preserved while another part of the same parcel can be developed, all based on land sensitivity.

There is a hierarchy of land trusts. Some trusts protect areas as small as neighborhoods, forming to address one land use issue after which they disband. More often, land trusts are local in nature but have a

global perspective with regard to their goals for future land protection. The big national trusts are names that we all recognize such as the Conservation Fund, The Nature Conservancy, the American Farmland Trust, and the Trust for Public Land. The Land Trust Alliance coordinates the activities of many land trusts. Some of these trusts form as a direct response to citizen concerns about the loss of open space. Most land trusts evolve out of citizen's concerns over the future of their state, town, and neighborhood. Many are preceded by failures on the part of local governments to respond to stewardship mandates by the voters.

Land trusts work because they are built by concerned citizens and funded by private donations with the express purpose of securing the sustainability of an acceptable quality of life. Also, land trusts are effective because they purchase land (or development rights) from local people for local needs. Transactions are often carried out over a kitchen table with neighbors discussing priorities. In some cases the trust's board of directors might be engaged in helping a citizen to draw up a will leaving farmland or potential recreation land to the community. This home rule concept is the backbone of the land trust movement. Additionally, land trusts gain strength from public/private partnerships that emerge as a result of shared objectives with governmental agencies. If the work of the land trust is successful, part of the outcome is an enhanced ability to cooperate with local government agencies. Agencies learn to trust the land trust staff and begin to rely on the special expertise that grows within a land trust organization. In some cases the land trust gains both opportunities and resources as a result of its partnership with governmental agencies. This public/private partnership benefits citizens as projects come together and land use options are retained for current and future generations.

Flexibility is an important and essential quality of a land trust that enables it to be creative. Land trusts can have revolving accounts, or lines of credit, from banks that allow them to move quickly to acquire land. Compensation to landowners who agree to work with the trust may come in the form of extended land use for the ex-owner, land trades, tax compensation, and other compensation packages. Often some mix of protection and compensation packages will be created that a governmental agency simply does not have the ability to implement. A land trust's flexibility is its most important attribute. Where a land trust can negotiate land acquisition based on a discussion among the board members, a governmental agency would go through months or even years of red tape before an offer to buy land for the public domain could be made. This

quality of land trusts is one reason why many governmental agencies have built relationships with land trusts in order to protect land that the agency deems sensitive and important.

There are some limiting factors constraining what land trusts can do. For the more localized trusts, limited volunteer staff and extremely limited budgets cause fund raising to become a time-consuming activity. Staff turnover can be frequent so that a knowledge base is difficult to maintain. In some circumstances influential volunteers can capture a land trust organization and follow their own agenda rather than letting the agenda be set by affected stakeholders.

Training is needed for those committed to working within the legal structure of land trusts. The national Trust for Public Lands has established training opportunities to better prepare local land trust staff for the complex negotiations that are needed to protect public lands. Staff that work with local citizenry to protect local needs must be aware of the costs and benefits of land preservation mechanisms. Lease purchase agreements, limited partnerships, and fee simple transactions all require knowledge of real estate law. Operating within enterprise zones and working with economic development corporations requires knowledge of state and federal programs that provide money for projects on the urban fringe. In some cases urban renewal work reveals open space within the urban core that can be preserved for community gardens or parks if that land can be secured using HUD funds or other government financing mechanisms. A relatively new source of funding for land acquisition is mitigation funds. These funds are usually generated as a result of settlements with industry or governmental agencies as compensation for negative land impacts. Distinguishing among financing mechanisms requires specialized knowledge that land trust staff need to have available within their ranks in order to move quickly to preserve open space and enhance the quality of life for urban dwellers. On the other hand some land trusts in rural areas are interested in conserving farmlands using preserves that allow farmers to continue to farm while protecting the rural character of the countryside. Like their urban counterparts, these farmland preserve programs are complex, and if they are to be effective the trust needs to employ its solid knowledge of economic trends and resources.

The work that land trusts do is varied. In some cases a land trust incorporates as a result of a local threat, such as a pipeline or railway coming through an area. In some cases a trust forms to counter an

undesirable land use such as a landfill or a low-level radioactive waste storage facility. In other instances, a land trust comes together to take advantage of a unique opportunity, such as a family wanting to sell some pristine forest close to town or an industry deciding to relocate leaving a lovely waterfront location with promise as a riverfront recreation area. It is rare that a land trust forms without a focused need. However, after the initial project is completed, its success breeds self-confidence in those who worked on the project and new opportunities or challenges may sustain the goals of the fledgling organization.

There are many examples of land trusts and the few highlighted here may help to enhance understanding of the value of land trust activities and to offer guidance to local groups wanting to preserve land. One outstanding example of land trust activity is the Rails to Trails program. Under this program, abandoned railroad right of ways are preserved to create green belts for recreation use through agreements with the railroad companies. The Trust for Public Lands (TPL) has assisted many local land trusts to implement a wide variety of land acquisition projects.

Our current land trust effort in the United States has affected the way we protect our sensitive lands, reclaim damaged lands, and respond to local needs. Land trusts conserve land, guide future planning, educate local citizens and government agencies to a new way of doing business, and do it all with a minimum amount of confrontation and legal interaction.

## Resources

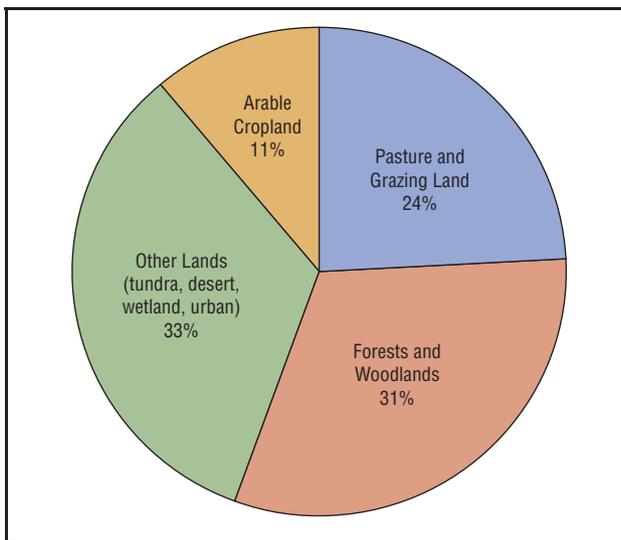
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Cynthia Fridgen

## Land use

Land is any solid portion of the Earth's surface. The location of the land is extremely important in determining land use and land value. Land is limited in supply, and, as our population increases, we have less land to support each person. Land nurtures the plants and animals that provide our food and shelter. It is the



**World land use.** (Reproduced by permission of Gale, a part of Cengage Learning)

watershed or reservoir for our water supply. Land provides the minerals we use, the space on which we build our homes, and the site of many recreational activities. Land is also the depository for much of the waste created by modern society. The growth of human population only provides a partial explanation for the increased pressure on land resources. Economic development and a rise in the standard of living have brought about more demands for the products of the land, which threaten to degrade land.

Conflicts among the competing land uses have created the need for land-use planning. Previous generations have used and misused the land as though the supply was inexhaustible. Today, goals and decisions about land use must take into account and link information from the physical and biological sciences with the current social values and political realities.

Land characteristics and ownership provide a basis for the many uses of land. Some land uses are classified as irreversible, for example, when the application of a particular land use changes the original character of the land to such an extent that reversal to its former use is impracticable. Reversible land uses do not change the soil cover or landform, and the land manager has many options when overseeing reversible land uses.

A framework for land-use planning requires the recognition that plans, policies, and programs must consider physical and biological, economical, and institutional factors. The physical framework of land focuses on the inanimate resources of soil, rocks and geological features,

water, air, sunlight, and climate. The biological framework involves living things such as plants and animals. A key feature of the physical and biological framework is the need to maintain healthy ecological relationships. The land can support many human activities, but there are limits. Once the resources are brought to these limits, they can be destroyed and replacing them will be difficult.

The economic framework for land use requires that operators of land be provided sufficient returns to cover the cost of production. Those who bear the production costs and production decisions must surpluses of returns above costs. The economic framework provides the incentive to use the land in a way that is economically feasible. The institutional framework requires that programs and plans be acceptable within the working rules of society. Plans must also have the support of current governments. A basic concept of land use is the *right of land*—who has the right to decide the use of a given tract of land. Legal decisions have provided the framework for land resource protection.

Attitudes play an important role in influencing land use decisions, and changes in attitudes will often bring changes in our institutional framework. Recent trends in land use in the United States show that substantial areas have shifted to urban and transportation uses, state and national parks, and wildlife refuges since 1950. The use of land has become one of our most serious environmental concerns. Today's land use decisions will determine the quality of our future life styles and environment. The land use planning process is one of the most complex and least understood domestic concerns facing the nation. Additional changes in the institutional framework governing land use are necessary to allow society to protect the most limited resource on the planet—the land we live on.

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Terence H. Cooper

## Landfill

Surface water, oceans, and landfills are traditionally the main repositories for society's solid and hazardous waste. Landfills are located in excavated areas such as sand and gravel pits or in valleys that are near waste generators. They have been cited as sources of surface and groundwater contamination and are believed to pose a significant health risk to humans, domestic animals, and wildlife. Despite these adverse effects and the attendant publicity, landfills are likely to remain a major waste disposal option for the immediate future.

Among the reasons that landfills remain a popular alternative are their simplicity and versatility. For example, they are not sensitive to the shape, size, or weight of a particular waste material. Since they are constructed of soil, they are rarely affected by the chemical composition of a particular waste component or by any collective incompatibility of co-mingled wastes. By comparison, composting and incineration require uniformity in the form and chemical properties of the waste for efficient operation. Landfills also have



Landfill sites are used to dispose of about 90 percent of the world's domestic waste. (SPL/Photo Researchers, Inc.)

been a relatively inexpensive disposal option, but this situation is rapidly changing. Shipping costs, rising land prices, and new landfill construction and maintenance requirements contribute to increasing costs.

According to the U.S. Environmental Protection Agency (EPA), in 2007 some 254 million tons of municipal solid waste (MSW) was generated in the United States. About 54 percent of that solid waste (137 million tons) was dumped into landfills. Slightly less than half (46 percent) of the MSW generated in 2007 was recycled, composted, or burned. More specifically, nearly 34 percent (85 million tons) was recycled or composted, while a little over 12 percent (32 million tons) was burned within waste-to-energy plants.

In a sanitary landfill, refuse is compacted each day and covered with a layer of dirt. This procedure minimizes odor and litter, and discourages insect and rodent populations that may spread disease. Although this method does help control some of the pollution generated by the landfill, the fill dirt also occupies up to 20 percent of the landfill space, reducing its waste-holding capacity. Sanitary landfills traditionally have not been enclosed in a waterproof lining to prevent leaching of chemicals into groundwater, and many cases of groundwater pollution have been traced to landfills.

Historically landfills were placed in a particular location more for convenience of access than for any environmental or geological reason. Now more care is taken in the siting of new landfills. For example, sites located on faulted or highly permeable rock are passed over in favor of sites with a less-permeable foundation. Rivers, lakes, floodplains, and groundwater recharge zones are also avoided. It is believed that the care taken in the initial siting of a landfill will reduce the necessity for future clean-up and site rehabilitation. Due to these and other factors, it is becoming increasingly difficult to find suitable locations for new landfills. Easily accessible open space is becoming scarce, and many communities are unwilling to accept the siting of a landfill within their boundaries. Many major cities have already exhausted their landfill capacity and must export their trash, at significant expense, to other communities or even to other states and countries.

Although a number of significant environmental issues are associated with the disposal of solid waste in landfills, the disposal of hazardous waste in landfills raises even greater environmental concerns. A number of urban areas contain hazardous waste landfills. Love Canal is, perhaps, the most notorious example of the hazards associated with these landfills. This Niagara Falls, New York, neighborhood was built over a dump containing 20,000 metric tons of toxic chemical waste.

Increased levels of cancer, miscarriages, and birth defects among those living in Love Canal led to the eventual evacuation of many residents. The events at Love Canal were also a major impetus behind the passage of the Comprehensive Environmental Response, Compensation and Liability Act in 1980, designed to clean up such sites. The EPA estimates that there may be as many as 2,000 hazardous waste disposal sites in this country that pose a significant threat to human health or the environment.

Love Canal is only one example of the environmental consequences that can result from disposing of hazardous waste in landfills. However, techniques now exist to create secure landfills that are an acceptable disposal option for hazardous waste in many cases. The bottom and sides of a secure landfill contain a cushion of recompacted clay that is flexible and resistant to cracking if the ground shifts. This clay layer is impermeable to groundwater and safely contains the waste. A layer of gravel containing a grid of perforated drain pipes is laid over the clay. These pipes collect any seepage that escapes from the waste stored in the landfill. Over the gravel bed a thick polyethylene liner is positioned. A layer of soil or sand covers and cushions this plastic liner, and the wastes, packed in drums, are placed on top of this layer.

When the secure landfill reaches capacity it is capped by a cover of clay, plastic and soil, much like the bottom layers. Vegetation is planted to stabilize the surface and make the site more attractive. Sump pumps collect any fluids that filter through the landfill either from rainwater or from waste leakage. This liquid is purified before it is released. Monitoring wells around the site ensure that the groundwater does not become contaminated. In some areas where the water table is particularly high, above-ground storage may be constructed using similar techniques. Although such facilities are more conspicuous, they have the advantage of being easier to monitor for leakage.

Although technical solutions have been found to many of the problems associated with secure landfills, several nontechnical issues remain. One of these issues concerns the transportation of hazardous waste to the site. Some states do not allow hazardous waste to be shipped across their territory because of concerns of accidental spills. If hazardous waste disposal is concentrated in only a few sites, then a few major transportation routes will carry large volumes of this material. Citizen opposition to hazardous waste landfills is another issue. Given the past record of corporate and governmental irresponsibility in dealing with hazardous waste, it is not surprising that community residents greet proposals for new landfills with the NIMBY (Not

In My Back Yard) response. However, the waste must go somewhere. These and other issues must be resolved if secure landfills are to be a viable long-term solution to hazardous waste disposal.

Even apparently benign landfills, containing only kitchen waste, can create a problem as the organic matter decomposes under anaerobic conditions and produces the greenhouse gas methane. The EPA reports that, in 2008, landfills accounted for 22 percent of man-made methane emissions in the U.S. Landfill gas is about 40–60 percent methane, with the remainder being mostly carbon dioxide (CO<sub>2</sub>). It also contains varying amounts of nitrogen, oxygen, sulfur gases, and many other trace contaminants. The EPA's Landfill Methane Outreach Program (LMOP) promotes the use of landfill gas as a renewable, green energy source, but this is unlikely to be an economical option in many cases.

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George M. Fell  
Christine B. Jeryan

## Landscape ecology

Landscape ecology is an interdisciplinary field that emerged from several intellectual traditions in Europe and North America. Landscape ecology started in central Europe in the 1960s and in North America in the late 1970s and early 1980s. It became more established with the founding, in 1982, of the International Association of Landscape Ecology, with the publication of a major text in the field, *Landscape Ecology*, by Richard

Forman and Michel Godron in 1984, and with the publication of the first issue of the association's journal, *Landscape Ecology* in 1987.

The term landscape ecology was first used in 1939 by German geographer, Carl Troll (1899–1975). Troll suggested that the “concept of landscape ecology is born from a marriage of two scientific outlooks, the one geographical (landscape), the other biological (ecology).” Troll coined the term landscape ecology to denote “the analysis of a physico-biological complex of interrelations, which govern the different area units of a region.” Troll believed that “landscape ecology must not be confined to the large scale analysis of natural regions. Ecological factors are also involved in problems of population, society, rural settlement, land use, transport, etc.”

Landscape has long been a unit of analysis and a conceptual centerpiece of geography, with scholars such as American geographer Carl Sauer (1889–1975) and French-born American writer J. B. Jackson (1909–1996) adept at reading and assessing the landscape, including both the natural landscape of landforms and vegetation, and the cultural landscape as marked by human actions and as perceived by human minds.

Dutch landscape ecologist Zev Naveh (1919) has been working on his own version of landscape ecology in Israel since the early 1970s. Like Troll, Naveh includes humans in his conception, in fact enlarges landscape ecology to a global human ecosystem science, a “bio-cybernetic systems approach to the landscape and the study of its use by [humans].” Naveh sees landscape ecology first as a holistic approach to biosystems theory, the centerpiece being “recognition of the total human ecosystem as the highest level of integration,” and, second, as playing a central role in cultural evolution and as a “basis for interdisciplinary, task-oriented, environmental education.”

Landscape architecture is also to some extent landscape ecology, since landscape architects design complete vistas, from their beginnings and at various scales. This concern with designing and creating complete landscapes from bare ground can certainly be considered ecological, as it includes creating or adapting local land forms, planting appropriate vegetation, and designing and building various kinds of furniture and other artifacts on site. The British Landscape Institute and the British Ecological Society held a joint meeting in 1983, recognizing “that the time for ecology to be harnessed for the service of landscape design has arrived.” The meeting produced the twenty-fourth symposium of the British Ecological Society titled *Ecology and Design in Landscape*.

Landscape planning can also to some degree be considered landscape ecology, especially in the ecological

approach to landscape planning developed by Scottish landscape architect Ian McHarg (1920–2001) and his students and colleagues, and the Landscape Ecological Planning, or LANDEP, approach designed by Ladislav Miklos and Milan Ruzicka. Both of these ecological planning approaches are complex syntheses of spatial patterns, ecological processes, and human needs and wants.

Building on all of these traditions, yet slowly finding its own identity, landscape ecology is considered by some as a sub-domain of biological ecology and by others as a discipline in its own right. In Europe, landscape ecology continues to be an extension of the geographical tradition that is preoccupied with human-landscape interactions. In North America, landscape ecology has emerged as a branch of biological ecology, more concerned with landscapes as clusters of interrelated natural ecosystems. These clusters are referred to as mosaics, interrelated patches of habitats or ecosystems that comprise the pattern of the landscape. The structure or pattern of a landscape is composed of these patches and implies spatial heterogeneity, meaning that the different habitats are connected spatially. A particular landscape can contain a forest, wetland, roads, and the edges connecting these habitats. There are two types of edges or boundaries in landscapes, termed ecotone and ecocline. Ecotones are the areas of transition of two ecosystems in a landscape. An ecocline refers to a boundary lacking a sharp transition, thus exhibiting a gradual change between habitats or patches.

The European form of landscape ecology is applied to land and resource conservation, while in North America it focuses on fundamental questions of spatial pattern and exchange. Both traditions can address major environmental problems, especially the extinction of species and the maintenance of biological diversity. With the increasing human population, there is an increasing amount of habitat fragmentation occurring as a result of deforestation for crop production as well as construction as humans expand into previously undisturbed ecosystems. This disturbance has an effect on the composition and connection of the landscape mosaic by either creating smaller patches or possibly even eliminating patches. These disruptions can have a profound effect on the landscape with organisms being displaced or removed entirely from the landscape equation.

The term landscape, despite the varied traditions and emerging disciplines described above, remains somewhat indeterminate, depending on the criteria set by individual researchers to establish boundaries. Some consensus exists on its general definition in the new landscape ecology, as described in the composite form

attempted here: a terrestrial landscape is miles- or kilometers-wide in area; it contains a cluster of interacting ecosystems repeated in somewhat similar form; and it is a heterogeneous mosaic of interconnected land forms, vegetation types, and land uses. As American landscape ecologist Paul Risser (1939–) and his colleagues emphasize, this interdisciplinary area focuses explicitly on spatial patterns: “Specifically, landscape ecology considers the development and dynamics of spatial heterogeneity, spatial and temporal interactions, and exchanges across heterogeneous landscapes, influences of spatial heterogeneity on biotic and abiotic processes, and management of spatial heterogeneity.” Instead of trying to identify homogeneous ecosystems, landscape ecology focuses particularly on the heterogeneous patches and mosaics created by human disruption of natural systems, by the intermixing of cultural and natural landscape patterns. The real rationale for landscape ecology perhaps should be this acknowledgment of the heterogeneity of contemporary landscape patterns, and the need to deal with the patchwork mosaics and intricate matrices that result from long-term human disturbance, modification, and utilization of natural systems.

Typical concerns of landscape ecologists include these formulated by Risser and his colleagues: what historical and present influential processes are responsible for the existing landscape pattern, how fluxes of organisms, material, and energy are related to landscape heterogeneity, and how heterogeneity in the landscape affects the distribution of disturbances such as disease. While the first issue is similar to ones long asked in geography, the other two are concerns traditional to ecology, but distinguished here by the focus on heterogeneity.

Richard Forman, a prominent figure in the evolving field of landscape ecology, thinks the field has matured enough for general principles to have emerged; not ecological laws as such, but principles backed by enough evidence and examples to be true for 95 percent of landscape analyses. Forman’s twelve principles are organized by four categories: landscapes and regions, patches and corridors, mosaics, and applications. The principles outline expected or desirable spatial patterns and relationships, and how those patterns and relationships affect system functions and flows, organismic movements and extinctions, resource protection, and optimal environmental conditions. Forman claims the principles “should be applicable for any environmental or societal land-use objective,” and that these principles are useful in more effectively “growing wood, protecting species, locating houses, protecting soil, enhancing game, protecting water resources, providing recreation, locating roads, and creating sustainable environments.”

Perhaps Swiss author Andre Corboz (1928–) provided the best description when he wrote of “the land as palimpsest (parchment on which an earlier writing has been erased and written on again):” landscape ecology recognizes that humans have written large on the land, and that behind the current writing visible to the eye, there is earlier writing as well, which also tells us about the patterns we see. Landscape ecology also deals with gaps in the text and tries to write a more complete accounting of the landscapes in which we live and on which we all depend. Climate change as a result of human activities is an example of landscape ecology as Corboz describes it, with an ever-changing, or re-written, composition of ecosystems. The established ecosystem patterns are initially disturbed by human expansion (deforestation and habitat fragmentation) and further being disrupted by the effects of the warming environment and changing weather patterns brought about by climate change.

*See also* Groundwater monitoring; International trade in toxic waste; Storage and transport of hazardous material.

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Gerald L. Young

## Landslide

A general term for the discrete downslope movement of rock and soil masses under gravitational influence along a failure zone. The term “landslide” can refer to the resulting land form, as well as to the process of movement. Many types of landslides occur, and they are classified by several schemes, according to a variety of criteria. Landslides are categorized most commonly on basis of geometric form, but also by size, shape, rate of movement, and water content or fluidity. Translational, or planar, failures, such as debris avalanches and earth flows, slide along a fairly straight failure surface which runs approximately parallel to the ground surface. Rotational failures, such as rotational slumps, slide along a spoon shaped failure surface, leaving a hummocky appearance on the landscape. Rotational slumps commonly transform into earthflows as they continue down slope. Landslides are usually triggered by heavy rain or melting snow, but major earthquakes can also trigger landslides.

Land degradation see **Desertification**.

## Land-use control

Land-use control is a relatively new concept. For most of human history, people assumed that they could do whatever they wished with their own property. However, societies have usually recognized that the way an individual uses private property can sometimes have harmful affects on neighbors.

Land-use planning has reached a new level of sophistication in developed countries over the last century. One of the first restrictions on land use in the United States, for example, was a 1916 New York City law limiting the size of skyscrapers because of the shadows they might cast on adjacent property. Within a decade, the federal government began to act aggressively on land control measures. It passed the Mineral Leasing Act of 1920 in an attempt to control the exploitation of oil, natural gas, phosphate, and potash. It adopted the Standard State Zoning Act of 1922 and the Standard City Planning Enabling Act of 1928 to promote the concept of zoning at state and local levels. Since the 1920s, every state and most cities have adopted zoning laws modeled on these two federal acts.

Often detailed, exhaustive, and complex zoning regulations now control the way land is used in nearly



**A patio deck hangs over the side of a hill after a 2005 landslide in Highland Park, California. (AP Photo/Gene Blevins)**

every governmental unit. They specify, for example, whether land can be used for single-dwelling construction, multiple-dwelling construction, farming, industrial (heavy or light) development, commercial use, recreation or some other purpose. Requests to use land for purposes other than that for which it is zoned requires a variance or conditional use permit, a process that is often long, tedious, and confrontational.

Many types of land require special types of zoning. For example, coastal areas are environmentally vulnerable to storms, high tides, flooding, and strong winds. The federal government passed laws in 1972 and 1980, the National Coastal Zone Management Acts, to help states deal with the special problem of protecting coastal areas. Although initially slow to make use of these laws, states are becoming more aggressive about restricting the kinds of construction permitted along seashore areas.

Areas with special scenic, historic, or recreational value have long been protected in the United States. The nation's first national park, Yellowstone National Park, was created in 1872. Not until 44 years later, however, was the National Park Service created to administer Yellowstone and other parks established since 1872. Today, the National Park Service and other governmental agencies are responsible for a wide variety of national areas such as forests, wild and scenic rivers, historic monuments, trails, battlefields, memorials, seashores and lakeshores, parkways, recreational areas, and other areas of special value.

Land-use control does not necessarily restrict usage. Individuals and organizations can be encouraged to use land in certain desirable ways. An enterprise zone, for example, is a specifically designated area in which certain types of business activities are encouraged. The tax rate might be reduced for businesses locating in the area or the government might relax certain regulations there.

Successful land-use control can result in new towns or planned communities, designed and built from the ground up to meet certain pre-determined land-use objectives. One of the most famous examples of a planned community is Brasilia, the capital of Brazil. The site for a new capital—an undeveloped region of the country—was selected and a totally new city was built in the 1950s. The federal government moved to the new city in 1960, and it now has a population of more than 2.6 million.

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David E. Newton

## Latency

Latency generally describes the delay between stimulus or event and response or reaction.

For example, latency refers to the period of time it takes for a disease to manifest itself within the human body from the exposure to a pathogen. The latency period differs dramatically for each stimulation and as a result, each disease has its unique time period before symptoms occur.

When pathogens gain entry into a potential host, the body may fail to maintain adequate immunity and thus permits progressive viral or bacterial multiplication. This time lapse is also known as the incubation period. Each disease has definite, characteristic limits for a given host. During the incubation period, dissemination of the pathogen takes place. Proliferation of the pathogen, either in a target organ or throughout the body, then manifests in disease.

Botulism, tetanus, gonorrhea, diphtheria, staphylococcal and streptococcal disease, pneumonia, and tuberculosis are among the thousands of diseases that take varied periods of time before symptoms are evident. In the case of the childhood diseases—measles, mumps, and chicken pox—the incubation period is 14–21 days.

Liane Clorfene Casten

## Lawn treatment

Lawn treatment in the form of pesticides and inorganic fertilizers poses a substantial threat to the environment. Homeowners in the United States use approximately three times more pesticides per acre than the average farmer, adding up to about 136 million pounds (61.7 kg) annually. Home lawns occupy more acreage in the United States than any agricultural crop, and a majority of the wildlifepesticide poisonings tracked by the Environmental Protection Agency (EPA) annually are attributed to chemicals used in lawn care. The use of grass fertilizer is also problematic when it runs off into nearby waterways. Lawn grass in almost all climates in the United States requires watering in the summer, accounting for some 40 to 60 percent of the average homeowner's water use annually. Much of the water sprinkled on lawns is lost as runoff. When this runoff carries fertilizer, it can cause eutrophication in adjacent bodies of water. Eutrophication is the accumulation of nutrients such as nitrogen (N) and phosphorous (P) such that excessive primary productivity results. This buildup of nutrients causes excess growth of algae in downstream waterways, clogging the surface of the water and

depleting the water of oxygen for other plants and animals. Herbicides and pesticides are also carried into downstream water, and some of these are toxic to fish, birds, and other wildlife.

Turf grass lawns are ubiquitous in all parts of the United States, regardless of the local climate. From Alaska to Arizona to Maine, homeowners surround their houses with grassy lawns, ideally clipped short, brilliantly green, and free of weeds. In almost all cases, the grass used is a hybrid of several species of grass from Northern Europe. These grasses thrive in cool, moist summers. In general, the United States experiences hotter, dryer summers than Northern Europe. Moving from east to west across the country, the climate becomes less and less like the environment the common turf grass evolved in. The ideal American lawn is based primarily on English landscaping principals, and it does not look like an English lawn unless it is heavily supported with water.

The prevalence of lawns is a relatively recent phenomenon in the United States, dating to the late nineteenth century. When European settlers first came to this country, they found indigenous grasses that were not as nutritious for livestock and died under the trampling feet of sheep and cows. Settlers replaced native grasses with English and European grasses as fodder for grazing animals. In the late eighteenth century, American landowners began surrounding their estates with lawn grass, a style made popular earlier in England. The English lawn fad was fueled by eighteenth century landscaper Lancelot “Capability” Brown (1716–1783), who removed whole villages and stands of mature trees and used sunken fences to achieve uninterrupted sweeps of green parkland. Both in England and the United States, such lawns and parks were mowed by hand, requiring many laborers, or they were kept cropped by sheep or even deer. Small landowners meanwhile used the land in front of their houses differently. The yard might be of stamped earth, which could be kept neatly swept, or it may have been devoted to a small garden, usually enclosed behind a fence. The trend for houses set back from the street behind a stretch of unfenced lawn took hold in the mid-nineteenth century with the growth of suburbs. American landscape architect Frederick Law Olmsted (1822–1903), the designer of New York City’s Central Park, was a notable suburban planner and fueled the vision of the English manor for the suburban home. The unfenced lawns were supposed to flow from house to house, creating a common park for the suburb’s residents. These lawns became easier to maintain with the invention of the lawn mower. This machine debuted in England as

early as 1830, but became popular in the United States after the Civil War. The first patent for a lawn sprinkler was granted in the United States in 1871. These developments made it possible for middle class home owners to maintain lush lawns themselves.

Chemicals for lawn treatment came into common use after World War II. Herbicides such as 2,4-D were used against broadleaf weeds. The now-banned DDT was used against insect pests. Homeowners had previously fertilized their lawns with commercially available organic formulations like dried manure, but after World War II inorganic, chemical-based fertilizers became popular for both agriculture and lawns and gardens. Lawn care companies (such as Chemlawn and Lawn Doctor) originated in the 1960s, an era when homeowners were confronted with a bewildering array of chemicals deemed essential to a healthy lawn. American marine biologist Rachel Carson’s (1907–1964) 1962 book *Silent Spring* raised an alarm about the prevalence of lawn chemicals and their environmental costs. Carson explained how the insecticide DDT builds up in the food chain, passing from insects and worms to fish and small birds that feed on them, ultimately endangering large predators like the eagle. DDT was banned in 1972, and some lawn care chemicals were restricted. Nevertheless, the lawn care industry continued to prosper, offering services such as combined seeding, herbicide, and fertilizer at several intervals throughout the growing season. Lawn care had grown to a \$25 billion industry in the United States by the 1990s. Even as the perils of particular lawn chemicals became clearer, it was difficult for homeowners to give them up. Statistics from the United States National Cancer Institute show that the incidence of childhood leukemia is 6.5 percent greater in families that use lawn pesticides. In addition, thirty-two of the thirty-four most widely used lawn care pesticides have not been tested for health and environmental issues. Because some species of lawn grasses grow poorly in areas of the United States, lawns in these areas do not thrive without extra water and fertilizer. Lawns are vulnerable to insect pests, which can be controlled with pesticides, and if a weed-free lawn is the aim, herbicides are less labor-intensive than digging out dandelions one by one.

Some common pesticides used on lawns are acephate, bendiocarb, and diazinon. Acephate is an organophosphate insecticide which works by damaging the insect’s nervous system. Bendiocarb is termed a carbamate insecticide, sold under several brand names, which works in the same way. Both were first developed in the 1940s. These will kill many insects, not only pests such as leafminers, thrips, and cinch bugs, but also beneficial

insects, such as bees. Bendiocarb is also toxic to earthworms, a major food source for some birds. Birds too can die from direct exposure to bendiocarb, as can fish. Both these chemicals can persist in the soil for weeks. Diazinon is another common pesticide used by homeowners on lawns and gardens. It is toxic to humans, birds, and other wildlife, and it has been banned for use on golf courses and turf farms. Nevertheless, homeowners may use it to kill pest insects such as fire ants (*Solenopsis* species). Harmful levels of diazinon were found in metropolitan storm water systems in California in the early 1990s, leached from orchard run-off. Diazinon is responsible for about half of all reported wildlife poisonings involving lawn and garden chemicals. Due to environmental and health concerns, both Quebec and Ontario provinces in Canada have banned the use and sale of cosmetic lawn pesticides including insecticides, herbicides, and fungicides. Prince Edward Island province will follow with a ban on cosmetic lawn pesticides as of 2010.

Common lawn and garden herbicides appear to be much less toxic to humans and animals than pesticides. The herbicide 2,4-D, one of the earliest herbicides used in this country, can cause skin and eye irritation to people who apply it, and it is somewhat toxic to birds. It can be toxic to fish in some formulations. Although contamination with 2,4-D has been found in some urban waterways, it is usually in trace amounts thought to be harmless to humans. Glyphosate is another common herbicide, sold under several brand names (most notably, Roundup). It is considered nontoxic to humans and other animals. Unlike 2,4-D, which kills broadleaf plants, glyphosate is a broad spectrum herbicide used to control a great variety of annual, biennial, and perennial grasses, sedges, broadleaf weeds and woody shrubs.

Common lawn and garden fertilizers are generally not toxic unless ingested in sufficient doses, yet they can have serious environmental effects. Run-off from lawns can carry fertilizer into nearby waterways. The nitrogen and phosphorus in the fertilizer stimulates plant growth, principally algae and microscopic plants. These tiny plants bloom, die, and decay. Bacteria that feed off plant decay then also undergo a surge in population. The overabundant bacteria consume oxygen, leading to oxygen-depleted water. This water condition is termed hypoxia. In some areas, fertilized run-off from lawns is as big a problem as run-off from agricultural fields. Lawn fertilizer is thought to be a major culprit in pollution of the Everglades in Florida. In 2001 the Minnesota legislature debated a bill to limit homeowners' use of phosphorus in fertilizers because of problems with algal blooms on

the state's lakes. Fertilizer production and application results in the accumulation of greenhouse gases such as nitrous oxide (N<sub>2</sub>) and carbon dioxide (CO<sub>2</sub>) in the atmosphere. Overuse of fertilizers, for lawn maintenance and agriculture, is considered a leading cause of climate change. In some areas highly focused on agriculture such as the Philippines and Thailand, chemical fertilizers have leached into and contaminated drinking water sources.

There are several viable alternatives to the use of chemicals for lawn care. Lawn care companies often recommend multiple applications of pesticides, herbicides, and fertilizers, but an individual lawn may need such treatment on a reduced schedule. Some insects such as thrips and mites are susceptible to insecticidal soaps and oils, which do not persist in the environment. These soaps could be used instead of diazinon, acephate and other pesticides. Weeds can be pulled by hand, or left alone. Homeowners can have their lawn evaluated and their soil tested to determine how much fertilizer is necessary for maintenance. Slow-release fertilizers or organic fertilizers such as compost or seaweed emulsion do not give off such a large concentration of nutrients at once, so these are gentler on the environment. Another way to cut back on the excess water and chemicals used on lawns is to reduce the size of the lawn. The lawn can be bordered with shrubbery and perennial plants, leaving just enough open grass as needed for recreation. Another alternative is to replace non-native turf grass with a native grass. Some native grasses stay green all summer, can be mown short, and look very similar to a typical lawn. Native buffalo grass (*Buchloe dactyloides*) has been used successfully for lawns in the South and Southwest. Other native grass species are adapted to other regions. Another example is blue grama grass (*Bouteloua gracilis*), native to the Great Plains. This grass is tolerant of extreme temperatures and is fairly drought tolerant, requiring very little rainfall. Some native grasses are best left unmown, and in some regions homeowners have replaced their lawns with native grass prairies or meadows. In some cases, homeowners have done away with their lawns altogether, using stone or bark mulch in its place, or planting a groundcover plant like ivy or wild ginger. These plants might grow between trees, shrubs and perennials, creating a very different look than the traditional green carpet.

For areas with water shortages, or for those who are concerned about conserving natural resources, xeriscape landscaping is an option. The term Xeriscape comes from the Greek word xeros, meaning dry. Xeriscaping takes advantage of using cacti and grasses, such as Mexican feather grass and blue oat

grass that thrive in desert conditions. Xeriscaping can also include rock gardening as part of the overall landscape plan.

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## LD<sub>50</sub>

LD<sub>50</sub> is the dose of a chemical that is lethal to 50 percent of a test population. It is therefore a measure of a particular median response which, in this case, is death. The term is most frequently used to characterize the response of animals such as rats and mice in acute toxicity tests. The term is generally not used in connection with aquatic or inhalation toxicity tests. It is difficult, if not impossible, to determine the dosage of an animal in such tests; results are most commonly represented in terms of lethal concentrations (LC), which refer to the concentration of the substance in the air or water surrounding an animal.

In LD testing, dosages are generally administered by means of injection, food, water, or forced feeding. Injections are used when an animal is to receive only one or a few dosages. Greater numbers of injections would disturb the animal and perhaps generate some false-positive types of responses. Food or water may serve as a good medium for administering a chemical, but the amount of

food or water wasted must be carefully noted. Developing a healthy diet for an animal which is compatible with the chemical to be tested can be as much art as science. The chemical may interact with the foods and become more or less toxic, or it may be objectionable to the animal due to taste or odor. Rats are often used in toxicity tests because they do not have the ability to vomit. The investigator therefore has the option of gavage, a way to force-feed rats with a stomach tube or other device when a chemical smells or tastes bad.

Toxicity and LD<sub>50</sub> are inversely proportional, which means that high toxicity is indicated by a low LD<sub>50</sub> and vice versa. LD<sub>50</sub> is a particular type of effective dose (ED) for 50 percent of a population (ED<sub>50</sub>). The midpoint (or effect on half of the population) is generally used because some individuals in a population may be highly resistant to a particular toxicant, making the dosage at which all individuals respond a misleading data point. Effects other than death, such as headaches or dizziness, might be examined in some tests, so EDs would be reported instead of LDs. One might also wish to report the response of some other percent of the test population, such as the 20 percent response (LD<sub>20</sub> or ED<sub>20</sub>) or 80 percent response (LD<sub>80</sub> or ED<sub>80</sub>).

The LD is expressed in terms of the mass of test chemical per unit mass of the test animals. In this way, dose is normalized so that the results of tests can be analyzed consistently and perhaps extrapolated to predict the response of animals that are heavier or lighter. Extrapolation of such data is always questionable, especially when extrapolating from animal response to human response, but the system appears to be serving us well. However, it is important to note that sometimes better dose-response relations and extrapolations can be derived through normalizing dosages based on surface area or the weight of target organs.

*See also* Bioassay; Dose response; Ecotoxicology; Hazardous material; Toxic substance.

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Gregory D. Boardman

LDC see **Less developed countries.**

Leachate see **Contaminated soil; Landfill.**

## Leaching

Leaching is the process by which soluble substances are dissolved out of a material. When rain falls on farmlands, for example, it dissolves weatherable minerals, pesticides, and fertilizers as it soaks into the ground. If enough water is added to the soil to fill all the pores, then water carrying these dissolved materials moves to the groundwater—the soil becomes leached. In soil chemistry, leaching refers to the process by which nutrients in the upper layers of soil are dissolved out and carried into lower layers, where they can be a valuable nutrient for plant roots. Leaching also has a number of environmental applications. For example, toxic chemicals and radioactive materials stored in sealed containers underground may leach out if the containers rupture over time.

See also Landfill; Leaking underground storage tank.

## Lead

One of the oldest metals known to humans, lead (Pb) compounds were used by Egyptians to glaze pottery as far back as 7000 BC. The toxic effects of lead also have been known for many centuries. In fact, the Romans limited the amount of time slaves could work in lead mines because of the element's harmful effects. Some consequences of lead poisoning are anemia, headaches, convulsions, and damage to the kidneys and central nervous system.

More recent work has focused on broader social impacts and linked lead exposure with poor performance at school and delinquency. Children are particularly susceptible to lead toxicity, with low levels (as low as 10µg/dL in blood) affecting intelligence and neurological development. In early 2008, the U.S. Environmental Protection Agency (EPA) required that certain manufacturers of consumer children's products provide unpublished health and safety information regarding the lead content of the products under the Toxic Substances Control Act. In early 2009, the Consumer Product Safety Improvement Act set a maximum limit

of six hundred parts per million (ppm) on the lead content in children's products. This maximum was dropped to three hundred ppm in August 2009. The widespread use of lead in plumbing, gasoline, and lead-acid batteries, for example, has made it a serious environmental health problem, as it is present in the air, land, and water. EPA regulations limit lead in drinking water to 0.015 mg/L. Bans on the use of lead in motor fuels and paints attempt to deal with this problem and, in a superficial sense, have been successful in lowering lead concentrations in air. The EPA banned the sale of leaded fuel for use in on-road vehicles as of 1996. In 2008, the National Ambient Air Quality Standards (NAAQS) set a maximum lead concentration of 0.15µg/m<sup>3</sup> using a three month average, although typical levels are far lower at 0.1 or 0.2µg/m<sup>3</sup>.

See also Heavy metals and heavy metal poisoning; Lead shot.

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## Lead management

Lead (Pb), a naturally occurring bluish gray metal, is extensively used throughout the world in the manufacture of storage batteries, chemicals including paint and gasoline, and various metal products including



**A worker extracts lead from old car batteries at the Metaleurop recycling center in Villefranche-sur-Saone, France. Metaleurop is a European company that processes and recycles special metals. (© Alain Nagues/Sygma/Corbis)**

sheet lead, solder, pipes, and ammunition. Due to its widespread use, large amounts of lead exist in the environment, and substantial quantities of lead continue to be deposited into air, land, and water. Lead is a poison that has many adverse effects, with children being especially susceptible. At present, the production, use, and disposal of lead are regulated with demonstrably effective results. However, because of its previous widespread use and persistence in the environment, lead exposure is a pervasive problem that affects many populations. Effective management of lead requires an understanding of its effects, blood action levels, sources of exposure, and policy responses, topics reviewed in that order.

### Effects of lead

Lead is a strong toxicant that adversely affects many systems in the body. Severe lead exposures can

cause brain and kidney damage to adults and children, coma, convulsions, and death. Lower levels (e.g., lead concentrations in blood (PbB) below  $50\mu\text{g}/\text{dL}$ ) may impair hemoglobin synthesis, alter the central and peripheral nervous systems, cause hypertension, affect male and female reproductive systems, and damage a developing fetus. These effects depend on the level and duration of exposure and on the distribution and kinetics of lead in the body. Most lead is deposited in bone, and some of this stored lead may be released long after exposure due to a serious illness, pregnancy, or other physiological event. Lead has not been shown to cause cancer in humans, however, tumors have developed in rats and mice given large doses of lead and thus several United States agencies consider lead acetate ( $\text{Pb}[\text{C}_2\text{H}_3\text{O}_2]_2$ ) and lead phosphate ( $\text{Pb}_3[\text{PO}_4]_2$ ) as human carcinogens.

Children are particularly susceptible to lead poisoning. PbB levels as low as  $10\mu\text{g}/\text{dL}$  are associated with decreased intelligence and slowed neurological development. Low PbB levels also have been associated with deficits in growth, vitamin metabolism, and effects on hearing. The neurological effects of lead on children are profound and are likely persistent. Unfortunately, childhood exposures to chronic but low lead levels may not produce clinical symptoms, and many cases go undiagnosed and untreated. In recent years, the number of children with elevated blood lead levels has declined substantially. For example, the average PbB level has decreased from over  $15\mu\text{g}/\text{dL}$  in the 1970s to about  $5\mu\text{g}/\text{dL}$  in the 1990s. As described later, these decreases can be attributed to the reduction or elimination of lead in gasoline, food can and plumbing solder, and residential paint. Still, childhood lead poisoning remains the most widespread and preventable childhood health problem associated with environmental exposures, and childhood lead exposure remains a public health concern since blood levels approach or exceed levels believed to cause effects. Though widely perceived as a problem of inner city minority children, lead poisoning affects children from all areas and from all socioeconomic groups. In 2003, a Department of Housing and Urban Development (HUD) study found that 28 percent of licensed childcare centers in the U.S. contain lead-based paint. Half of these centers built prior to 1960 have lead-based paint. The Environmental Protection Agency (EPA) made a decision in early 2008 to require certain manufacturers of consumer products for children to provide unpublished health and safety information regarding the lead content of the products under the Toxic Substances Control Act. In early 2009, the Consumer Product Safety Improvement Act required that children's

products contain less than 600 parts per million (ppm) total lead. In August 2009, the allowable level dropped to three hundred ppm.

The definition of a PbB level that defines a level of concern for lead in children continues to be an important issue in the United States. The childhood PbB concentration of concern has been steadily lowered by the Centers for Disease Control (CDC) from 40 $\mu\text{g}/\text{dL}$  in 1970 to 10 $\mu\text{g}/\text{dL}$  in 1991. The EPA lowered the level of concern to 10 $\mu\text{g}/\text{dL}$  (10–15 and possibly lower) in 1986, and the Agency for Toxic Substances and Disease Registry (ATSDR) also identified 10 $\mu\text{g}/\text{dL}$  in its 1988 Report to Congress on childhood lead poisoning. According to the CDC, about 250 thousand U.S. children have lead concentrations higher than 10 $\mu\text{g}/\text{dL}$ .

In the workplace, the medical removal PbB concentration is 50 $\mu\text{g}/\text{dL}$  for three consecutive checks and 60 $\mu\text{g}/\text{dL}$  for any single check. Blood level monitoring is triggered by an air lead concentration above 30 $\mu\text{g}/\text{m}^3$ . A worker is permitted to return to work when his blood lead level falls below 40 $\mu\text{g}/\text{dL}$ . In 1991, the National Institute for Occupational Safety and Health (NIOSH) set a goal of eliminating occupational exposures that result in workers having PbB levels greater than 25 $\mu\text{g}/\text{dL}$ .

### Exposure and sources

Lead is a persistent and ubiquitous pollutant. Since it is an elemental pollutant, it does not dissipate, biodegrade, or decay. Thus, the total amount of lead pollutants resulting from human activity increases over time, no matter how little additional lead is added to the environment. Lead is a multi-media pollutant, i.e., many sources contribute to the overall problem, and exposures from air, water, soil, dust, and food pathways may be important.

For children, an important source of lead exposure is from swallowing nonfood items, an activity known as pica (an abnormal eating habit; e.g., chips of lead-containing paint), most prevalent in two and three year-olds. Children who put toys or other items in their mouths may also swallow lead if these toys were imported from countries with less stringent regulations on lead content in toys and if lead-containing dust and dirt are on these items. Touching dust and dirt containing lead is commonplace, but relatively little lead passes through the skin. The most important source of high-level lead exposure in the United States is household dust derived from deteriorated lead-based paint. Numerous homes contain lead-based paint and continue to be occupied by families with small children, including 21 million pre-1940 homes and rental units which, over time, are rented to

different families. Thus, a single house with deteriorated lead-based paint can be the source of exposure for many children.

In addition to lead-based paint in houses, other important sources of lead exposure include (1) contaminated soil and dust from deteriorated paints originally applied to buildings, bridges, and water tanks; (2) drinking water into which lead has leached from lead, bronze, or brass pipes and fixtures (including lead-soldered pipe joints) in houses, schools, and public buildings; (3) occupational exposures in smelting and refining industries, steel welding and cutting operations, battery manufacturing plants, gasoline stations, and radiator repair shops; (4) airborne lead from smelters and other point sources of air pollution, including vehicles burning leaded fuels; (5) hazardous waste sites which contaminate soil and water; (6) food cans made with lead-containing solder and pottery made with lead-containing glaze; and (7) food consumption if crops are grown using fertilizers that contain sewage sludge or if much lead-containing dust is deposited onto crops. In the atmosphere, the use of leaded gasoline has been the single largest source of lead (90 percent) since the 1920s, although the sale of leaded fuel intended for on-road vehicles was banned by the EPA as of 1996. Drinking water and other sources remain contaminated in some areas. A number of other countries, however, continue to use leaded fuel and other lead-containing products.

### Government responses

Many agencies are concerned with lead management. Lead agencies in the United States include the EPA, the CDC, the U.S. Department of Health and Human Services (HHS), the Department of Housing and Urban Development (HUD), the Food and Drug Administration (FDA), the Consumer Product Safety Commission (CPSC), the NIOSH, and the Occupational Safety and Health Administration (OSHA). These agencies have taken many actions to reduce lead exposures, several of which have been very successful. General types of actions include: (1) restrictions or bans on the use of many products containing lead where risks from these products are high and where substitute products are available (e.g., interior paints, gasoline fuels, and solder); (2) recycling and safer ultimate disposal strategies for products where risks are lower, or for which technically and economically feasible substitutes are not available (e.g., lead-acid automotive batteries, lead-containing wastes, pigments, and used oil); (3) emission controls for lead smelters, primary metal industries, and other industrial point sources, including the use of the

best practicable control technology (BPCT) for new lead smelting and processing facilities and reasonable available control technologies (RACT) for existing facilities, and; (4) education and abatement programs where exposure is based on past uses of lead.

The current goals of the EPA strategy are to reduce lead exposures to the fullest extent practicable, to significantly reduce the incidence of PbB levels above 10 $\mu\text{g}/\text{dL}$  in children, and to reduce lead exposures that are anticipated to pose risks to children, the general public, or the environment. Several specific actions of this and other agencies are discussed below.

The Residential Lead-based Paint Hazard Reduction Act of 1992 (Title X) provides the framework to reduce hazards from lead-based paint exposure, primarily in housing. It establishes a national infrastructure of trained workers, training programs and proficient laboratories, and a public education program to reduce hazards from lead exposure in paint in the nation's housing stock. Earlier, to help protect small children who might swallow chips of paint, the CPSC restricted the amount of lead in most paints to 0.06 percent by weight. The CDC further suggests that inside and outside paint used in buildings where people live be tested for lead. If the level of lead is high, the paint should be removed and replaced with a paint that contains an allowable level of lead. The CPSC published a consumer safety alert/brochure on lead paint in the home in 1990, and has evaluated lead test kits for safety, efficacy, and consumer-friendliness. These kits are potential screening devices that may be used by the consumer to detect lead in paint and other materials. Title X also requires the EPA to promulgate regulations that ensure personnel engaged in abatement activities are trained, to certify training programs, to establish standards for abatement activities, to promulgate model state programs, to establish a laboratory accreditation program, to establish a information clearinghouse, and to disclose lead hazards at property transfer.

The HUD has begun activities that include updating regulations dealing with lead-based paint in HUD programs and federal property; providing support for local screening programs; increasing public education; supporting research to reduce the cost and improve the reliability of testing and abatement; increasing state and local support; and providing more money to support abatement in low and moderate income households. The HUD estimated that the total cost of testing and abatement in high-priority hazard homes will be \$8 to 10 billion annually over ten years, although costs could be

substantially lowered by integrating abatement with other renovation activities.

The CPSC, the EPA, and states are required by the Lead Contamination Control Act of 1988 to test drinking water in schools for lead and to remove lead if levels are too high. Drinking water coolers must also be lead-free and any that contain lead must be removed. EPA regulations limit lead in drinking water to 0.015 mg/L.

In 2008, the National Ambient Air Quality Standards (NAAQS) set a maximum lead concentrations of 0.15 $\mu\text{g}/\text{m}^3$  using a three month average, although typical levels are far lower, 0.1 or 0.2 $\mu\text{g}/\text{m}^3$ .

To identify and mitigate sources of lead in the diet, the FDA has undertaken efforts that include voluntary discontinuation of lead solder in food cans by the domestic food industry, and elimination of lead in glazing on ceramic ware. Regulatory measures are being introduced for wine, dietary supplements, crystal ware, food additives, and bottled water.

For workers in lead-using industries, the OSHA has established environmental and biological standards that include maximum air and blood levels. This monitoring must be conducted by the employer, and elevated PbB levels may require the removal of an individual from the work place (levels discussed previously). The Permissible Exposure Level (PEL) limits air concentrations of lead to 50 $\mu\text{g}/\text{m}^3$ , and, if 30 $\mu\text{g}/\text{m}^3$  is exceeded, employers must implement a program that includes medical surveillance, exposure monitoring, training, regulated areas, respiratory protection, protective work clothing and equipment, housekeeping, hygiene facilities and practices, signs and labels, and record keeping. In the construction industry, the PEL is 200 $\mu\text{g}/\text{m}^3$ . The NIOSH recommends that workers not be exposed to levels of more than 100 $\mu\text{g}/\text{m}^3$  for up to ten hours, and the NIOSH has issued a health alert to construction workers regarding possible adverse health effects from long-term and low-level exposure. The NIOSH has also published alerts and recommendations for preventing lead poisoning during blasting, sanding, cutting, burning, or welding of bridges and other steel structures coated with lead paint.

Finally, lead screening for children has recently increased. The CDC recommends that screening (testing) for lead poisoning be included in health care programs for children under seventy-two months of age, especially those under thirty-six months of age. For a community with a significant number of children having PbB levels between 10–14 $\mu\text{g}/\text{dL}$ , community-wide lead poisoning prevention activities should be initiated.

For individual children with PbB levels between 15–19µg/dL, nutritional and educational interventions are recommended. PbB levels exceeding 20µg/dL should trigger investigations of the affected individual's environment and medical evaluations. The highest levels, above 45µg/dL, require both medical and environmental interventions, including chelation therapy. The CDC also conducts studies to determine the impact of interventions on children's blood lead levels.

These regulatory activities have resulted in significant reductions in average levels of lead exposure. Nevertheless, lead management remains an important public health problem.

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Stuart Batterman

## Lead shot

Lead (Pb) shot refers to the small pellets that are fired by shotguns while hunting waterfowl or upland fowl, or while skeet shooting. Most lead shots miss their target and are dissipated into the environment. Because the shot is within the particle-size range that is

favored by medium-sized birds as grit, it is often ingested and retained in the gizzard to aid in the mechanical abrasion of plant seeds, the first step in avian digestion. However, the shot also abrades during this process, releasing toxic lead that can poison the bird. It has been estimated that as much as 2–3 percent of the North American waterfowl population, or several million birds, may die from shot-caused lead poisoning each year. The U.S. Fish and Wildlife Service (USFWS) initiated a ban on lead shot for waterfowl hunting for phase-in in 1986 and finalized in 1991. Lead shot is banned for use in shotgun hunting in national wildlife refuge wetlands. As of 1998, the USFWS also banned the use of lead shot in waterfowl protection areas. Some state-managed hunting areas are requiring non-toxic shot for upland/small game hunting. The regulations on the use of lead shot will potentially result in a decrease in wildlife lead poisoning, with lead shot being replaced by steel shot or other alternatives in North America. The USFWS and some state agencies are currently urging the phase-out of lead shot for mourning dove hunting. Nationally, 300 million shotgun shells are used to kill 41.3 million mourning doves, resulting in over 10,300 tons (9,344 metric tons) of lead deposition onto the landscape. Studies have shown that ingestion of one to three pellets can kill a dove. Nevertheless some hunters remain unconvinced about regulating against the use of lead shot. Although steel shot is hard and so stays round and flies truer, it is less dense and has an increased tendency to ricochet. This difference in density cannot be compensated for by using steel shot of equal weight, although there is little difference in their energy until they reach sixty to seventy-five yards downrange. There are effective replacements for lead such as Hevi-Shot, which is composed of tungsten (W), nickel (Ni), and iron (Fe). It is even heavier than lead with a density of 12.0 g/cc, but is more expensive and extremely hard, so can only be used with the appropriate guns. Other alternatives to lead shot include bismuth (Bi) and tungsten/polymer blends.

*See also* Heavy metals and heavy metal poisoning.

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## Leafy spurge

Leafy spurge (*Euphorbia esula* L.), a perennial plant from Europe and Asia, was introduced to North America through imported grain products by 1827. It is 12 inches (30.5 cm) to 3 feet (1 m) in height. Stems, leaves, and roots contain milky white latex which contains toxic cardiac glycosides that is distasteful to cattle, who will not eat it. Considered a noxious, or destructive, weed in southern Canada and the northern Great Plains of the United States, it crowds out native rangeland grasses, reducing the number of cattle that can graze the land. It is responsible for losses of approximately 35–45 million dollars per year to the United States cattle and hay industries. Its aggressive root system makes controlling spread difficult. Roots spread vertically to 15 feet (5 m) with up to 300 root buds, and horizontally to nearly 30 feet (9 m). It regenerates from small portions of root. Tilling, burning, and herbicide use are ineffective control methods as roots are not damaged and may prevent immediate regrowth of the desired species. The introduction of specific animals, herbivores, and pathogenic fungi has proved an effective means of control in some areas.

Monica Anderson

## League of Conservation Voters

In 1970, Marion Edey, a House committee staffer, founded the League of Conservation Voters (LCV) as the non-partisan political action arm of the United States’ environmental movement. LCV works to establish a pro-environment—or “green”—majority in Congress and to elect environmentally conscious candidates throughout the country. Through campaign donations, volunteers, and endorsements, pro-environment advertisements, and annual publications such as the *National Environmental Scorecard*, the League raises voter awareness of the environmental positions of candidates and elected officials.

Technically it has no formal membership, but the League’s supporters—who make donations and purchase its publications—number 100,000. The board of directors is comprised of twenty-four important environmentalists associated with such organizations as the Sierra Club, the Environmental Defense Fund, and Friends of the Earth. Because these organizations would endanger their charitable tax-exempt status if they participated directly in the electoral process, environmentalists developed the League. Since 1970, LCV has influenced many elections.

From its first efforts in 1970, the League has grown to be a significant force in American politics. In the 1989–90 elections LCV supported 120 pro-environment candidates and spent approximately \$250,000 on their campaigns. In 1990, the League developed new endorsement tactics. First, it invented the term “greenscam” to identify candidates who only appear green. Next, LCV produced two generic television advertisements for candidates. One advertisement, entitled “Greenscam,” attacked the aforementioned candidates; the other, entitled “Decisions,” was an award-winning positive advertisement in support of pro-environment candidates. LCV also introduced the Dirty Dozen campaign to raise awareness of anti-environment candidates (of all party affiliations) currently running for national and state offices. LCV names twelve candidates to both the state and national Dirty Dozen lists, encouraging people to support, vote for, and help fund the listed candidates’ opponents. In 2010, LCV raised and donated over one million dollars in support of both Democratic and Republican candidates nationwide.

In endorsing a candidate the League no longer simply contributes money to a campaign. It provides “in-kind” assistance—for example, it places a trained field organizer on a staff, creates radio and television advertisements, or develops grassroots outreach programs and campaign literature. LCV also aims to hold all elected officials accountable for their track records on environmental issues. The League’s annual publication *National Environmental Scorecard* lists the voting records of House and Senate members on environmental legislation. Likewise, the *Presidential Scorecard* identifies the positions that presidential candidates have taken. Through these publications and direct endorsement strategies, the League continues to apply pressure in the political process and elicit support for the environment.

### Resources

#### ORGANIZATIONS

League of Conservation Voters, 1920 L Street, NW, Suite 800, Washington, DC, USA, 20036, (202) 785-8683, (202) 835-0491, <http://www.lcv.org>

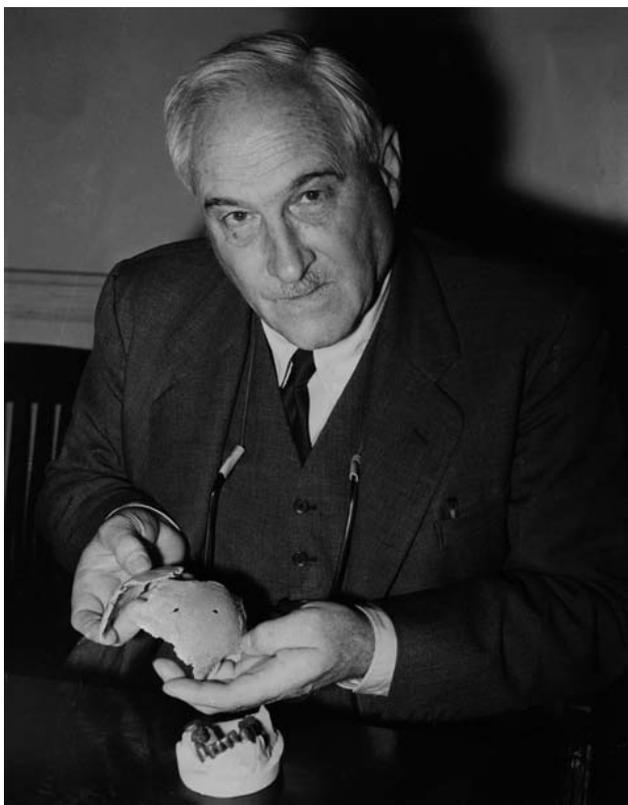
Andrea Gacki

## Leakey, Louis S.

1903–1972

African-born English paleontologist and anthropologist

Louis Seymour Bazett Leakey was born on August 7, 1903, in Kabete, Kenya. His parents, Mary Bazett (d. 1948) and Harry Leakey (1868–1940) were Church of England missionaries at the Church Missionary Society, Kabete, Kenya. Louis spent his childhood in the mission, where he learned the Kikuyu language and customs (he later compiled a Kikuyu grammar book). As a child, while pursuing his interest in ornithology—the study of birds—he often found stone tools washed out of the soil by the heavy rains, which Leakey believed were of prehistoric origin. Stone tools were primary evidence of the presence of humans at a particular site, as toolmaking was believed at the time to be practiced only by humans and was, along with an erect posture, one of the chief characteristics used to differentiate humans from nonhumans. Scientists at the time, however, did not consider East Africa a likely site for finding evidence of early humans; the discovery of *Pithecanthropus* in Java in 1894 (the so-called Java Man, now considered to be an example of *Homo erectus*) had



Louis Leakey. (The Library of Congress)

led scientists to assume that Asia was the continent from which human forms had spread.

Shortly after the end of World War I, Leakey was sent to a public school in Weymouth, England, and later attended St. John's College, Cambridge. Suffering from severe headaches resulting from a sports injury, he took a year off from his studies and joined a fossil-hunting expedition to Tanganyika (now Tanzania). This experience, combined with his studies in anthropology at Cambridge (culminating in a degree in 1926), led Leakey to devote his time to the search for the origins of humanity, which he believed would be found in Africa. Anatomist and anthropologist Raymond A. Dart's discovery of early human remains in South Africa was the first concrete evidence that this view was correct. Leakey's next expedition was to northwest Kenya, near Lakes Nakuru and Naivasha, where he uncovered materials from the Late Stone Age; at Kariandusi he discovered a 200,000 year-old hand ax.

In 1928, Leakey married Henrietta Wilfrida Avern, with whom he had two children: Priscilla, born in 1930, and Colin, born in 1933; the couple was divorced in the mid-1930s. In 1931, Leakey made his first trip to Olduvai Gorge—a 350-mile (564-km) ravine in Tanzania—the site that was to be his richest source of human remains. He had been discouraged from excavating at Olduvai by Hans Reck, a German paleontologist who had fruitlessly sought evidence of prehistoric humans there. Leakey's first discoveries at that site consisted of both animal fossils, important in the attempts to date the particular stratum (or layer of earth) in which they were found, and, significantly, flint tools. These tools, dated to approximately one million years ago, were conclusive evidence of the presence of hominids—a family of erect primate mammals that use only two feet for locomotion—in Africa at that early date; it was not until 1959, however, that the first fossilized hominid remains were found there.

In 1932, near Lake Victoria, Leakey found remains of *Homo sapiens* (modern man), the so-called Kanjera skulls (dated to 100,000 years ago) and Kanam jaw (dated to 500,000 years ago); Leakey's claims for the antiquity of this jaw made it a controversial find among other paleontologists, and Leakey hoped he would find other, independent, evidence for the existence of *Homo sapiens* from an even earlier period—the Lower Pleistocene.

In the mid-1930s, a short time after his divorce from Wilfrida, Leakey married his second wife, Mary Douglas Nicol; she was to make some of the most

significant discoveries of Leakey's team's research. The couple eventually had three children: Philip, Jonathan, and Richard E. Leakey. During the 1930s, Leakey also became interested in the study of the Paleolithic period in Britain, both regarding human remains and geology, and he and Mary Leakey carried out excavations at Clacton in southeast England.

Until the end of the 1930s, Leakey concentrated on the discovery of stone tools as evidence of human habitation; after this period he devoted more time to the unearthing of human and prehuman fossils. His expeditions to Rusinga Island, at the mouth of the Kavirondo Gulf in Kenya, during the 1930s and early 1940s produced a large number of finds, especially of remains of Miocene apes. One of these apes, which Leakey named *Proconsul africanus*, had a jaw lacking in the so-called simian shelf that normally characterized the jaws of apes; this was evidence that *Proconsul* represented a stage in the progression from ancient apes to humans. In 1948, Mary Leakey found a nearly complete *Proconsul* skull, the first fossil ape skull ever unearthed; this was followed by the unearthing of several more *Proconsul* remains.

Louis Leakey began his first regular excavations at Olduvai Gorge in 1952; however, the Mau Mau (an anti-white secret society) uprising in Kenya in the early 1950s disrupted his paleontological work and induced him to write *Mau Mau and the Kikuyu*, in an effort to explain the rebellion from the perspective of a European with an insider's knowledge of the Kikuyu. A second work, *Defeating Mau Mau*, followed in 1954.

During the late 1950s, the Leakeys continued their work at Olduvai. In 1959, while Louis was recuperating from an illness, Mary Leakey found substantial fragments of a hominid skull that resembled the robust australopithecines—African hominids possessing small brains and near-human dentition—found in South Africa earlier in the century. Louis Leakey, who quickly reported the find to the journal *Nature*, suggested that this represented a new genus, which he named *Zinjanthropus boisei*, the genus name meaning “East African man,” and the species name commemorating Charles Boise, one of Leakey's benefactors. This species, now called *Australopithecus boisei*, was later believed by Leakey to have been an evolutionary dead end, existing contemporaneously with *Homo* rather than representing an earlier developmental stage.

In 1961, at Fort Ternan, Leakey's team located fragments of a jaw that Leakey believed were from a hitherto unknown genus and species of ape, one he designated as *Kenyapithecus wickeri*, and which he

believed was a link between ancient apes and humans, dating from 14 million years ago; it therefore represented the earliest hominid. In 1967, however, an older skull, one that had been found two decades earlier on Rusinga Island and which Leakey had originally given the name *Ramapithecus africanus*, was found to have hominid-like lower dentition; he renamed it *Kenyapithecus africanus*, and Leakey believed it was an even earlier hominid than *Kenyapithecus wickeri*. Leakey's theories about the place of these Lower Miocene fossil apes in human evolution have been among his most widely disputed.

During the early 1960s, a member of Leakey's team found fragments of the hand, foot, and leg bones of two individuals, in a site near where *Zinjanthropus* had been found, but in a slightly lower and, apparently, slightly older layer. These bones appeared to be of a creature more like modern humans than *Zinjanthropus*, possibly a species of *Homo* that lived at approximately the same time, with a larger brain and the ability to walk fully upright. As a result of the newly developed potassium-argon dating method, it was discovered that the bed from which these bones had come was 1.75 million years old. The bones were, apparently, the evidence for which Leakey had been searching for years: skeletal remains of *Homo* from the Lower Pleistocene. Leakey designated the creature whose remains these were as *Homo habilis* (“man with ability”), a creature who walked upright and had dentition resembling that of modern humans, hands capable of toolmaking, and a large cranial capacity. Leakey saw this hominid as a direct ancestor of *Homo erectus* and modern humans. Not unexpectedly, Leakey was attacked by other scholars, as this identification of the fragments moved the origins of the genus *Homo* back substantially further in time. Some scholars felt that the new remains were those of australopithecines, if relatively advanced ones, rather than very early examples of *Homo*.

Health problems during the 1960s curtailed Leakey's field work; it was at this time that his Centre for Prehistory and Paleontology in Nairobi became the springboard for the careers of such paleontologists as Jane Goodall and Dian Fossey in the study of nonhuman primates. A request came in 1964 from the Israeli government for assistance with the technical as well as the fundraising aspects involved in the excavation of an early Pleistocene site at Ubeidiya. This produced evidence of human habitation dating back 700,000 years, the earliest such find outside Africa.

During the 1960s, others, including Mary Leakey and the Leakeys' son Richard, made significant finds

in East Africa; Leakey turned his attention to the investigation of a problem that had intrigued him since his college days: the determination of when humans had reached the North American continent. Concentrating his investigation in the Calico Hills in the Mojave Desert, California, he sought evidence in the form of stone tools of the presence of early humans, as he had done in East Africa. The discovery of some pieces of chalcedony (translucent quartz) that resembled manufactured tools in sediment dated from 50,000 to 100,000 years old stirred an immediate controversy; at that time, scientists believed that humans had settled in North America approximately 20,000 years ago. Many archaeologists, including Mary Leakey, criticized Leakey's California methodology—and his interpretations of the finds—as scientifically unsound, but Leakey, still charismatic and persuasive, was successful in obtaining funding from the National Geographic Society and, later, several other sources. Human remains were not found in conjunction with the supposed stone tools, and many scientists have not accepted these “artifacts” as anything other than rocks.

Shortly before Louis Leakey's death, Richard Leakey showed his father a skull he had recently found near Lake Rudolf (now Lake Turkana) in Kenya. This skull, removed from a deposit dated to 2.9 million years ago, had a cranial capacity of approximately 800 cubic centimeters, putting it within the range of *Homo* and apparently vindicating Leakey's long-held belief in the extreme antiquity of that genus; it also appeared to substantiate Leakey's interpretation of the Kanam jaw. Leakey died of a heart attack in early October, 1972, in London.

Some scientists have questioned Leakey's interpretations of his discoveries. Other scholars have pointed out that two of the most important finds associated with him were actually made by Mary Leakey, but became widely known when they were interpreted and publicized by him; Leakey had even encouraged criticism through his tendency to publicize his somewhat sensationalistic theories before they had been sufficiently tested. Critics have cited both his tendency toward hyperbole and his penchant for claiming that his finds were the “oldest,” the “first,” the “most significant”; in a 1965 *National Geographic* article, for example, Melvin M. Payne pointed out that Leakey, at a Washington, D.C., press conference, claimed that his discovery of *Homo habilis* had made all previous scholarship on early humans obsolete. Leakey has also been criticized for his eagerness to create new genera and species for new finds, rather than trying to fit them into existing categories. Leakey,

however, recognized the value of publicity for the fundraising efforts necessary for his expeditions. He was known as an ambitious man, with a penchant for stubbornly adhering to his interpretations, and he used the force of his personality to communicate his various finds and the subsequent theories he devised to scholars and the general public.

Leakey's response to criticism was that scientists have trouble divesting themselves of their own theories in the light of new evidence. “Theories on prehistory and early man constantly change as new evidence comes to light,” Leakey remarked, as quoted by Payne in *National Geographic*. “A single find such as *Homo habilis* can upset long-held—and reluctantly discarded—concepts. A paucity of human fossil material and the necessity for filling in blank spaces extending through hundreds of thousands of years all contribute to a divergence of interpretations. But this is all we have to work with; we must make the best of it within the limited range of our present knowledge and experience.” Much of the controversy derives from the lack of consensus among scientists about what defines “human”; to what extent are toolmaking, dentition, cranial capacity, and an upright posture defining characteristics, as Leakey asserted?

Louis Leakey's significance revolves around the ways in which he changed views of early human development. He pushed back the date when the first humans appeared to a time earlier than had been believed on the basis of previous research. He showed that human evolution began in Africa rather than Asia, as had been maintained. In addition, he created research facilities in Africa and stimulated explorations in related fields, such as primatology (the study of primates). His work is notable as well for the sheer number of finds—not only of the remains of apes and humans, but also of the plant and animal species that comprised the ecosystems in which they lived. These finds of Leakey and his team filled numerous gaps in scientific knowledge of the evolution of human forms. They provided clues to the links between prehuman, apelike primates, and early humans, and demonstrated that human evolution may have followed more than one parallel path, one of which led to modern humans, rather than a single line, as earlier scientists had maintained.

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Michael Sims

## Leakey, Mary D.

1913–1996

English paleontologist and anthropologist

For many years Mary Leakey lived in the shadow of her husband, Louis Leakey, whose reputation, coupled with the prejudices of the time, led him to be credited with some of his wife's discoveries in the field of early human archaeology. Yet she established a substantial reputation in her own right and came to be recognized as one of the most important paleoanthropologists of the twentieth century. It was Mary Leakey who was responsible for some of the most important discoveries made by Louis Leakey's team. Although her close association with Louis Leakey's work on Paleolithic sites at Olduvai Gorge—a 350-mile (564-km) ravine in Tanzania—led to her being considered a specialist in that particular area and period, she in fact worked on excavations dating from as early as the Miocene Age (an era dating to approximately 18 million years ago) to those as recent as the Iron Age of a few thousand years ago.

Mary Leakey was born Mary Douglas Nicol on February 6, 1913, in London. Her mother was Cecilia Frere, the great-granddaughter of John Frere, who had discovered prehistoric stone tools at Hoxne, Suffolk, England, in 1797. Her father was Erskine Nicol, a painter who himself was the son of an artist, and who had a deep interest in Egyptian archaeology. When Mary was a child, her family made frequent trips to southwestern France, where her father took her to see the Upper Paleolithic cave paintings. She and her father became friends with Elie Peyrony, the curator of the local museum, and there she was exposed to the vast collection of flint tools dating from that period of human prehistory. She was also allowed to accompany Peyrony on his excavations, though the archaeological work was not conducted in what would now be considered a scientific way—artifacts were removed from the site without careful study of the place in the earth where each had been found, obscuring valuable data that could be used in dating the artifact and analyzing its context. On a later trip, in 1925, she was taken to Paleolithic caves by the Abbe Lemozi of France, parish priest of Cabrerets, who had written papers on cave art. After her father's death in 1926, Mary Nicol was taken to Stonehenge and Avebury in England, where she began to learn about the archaeological activity in that country and, after meeting the archaeologist Dorothy Liddell, to realize the possibility of archaeology as a career for a woman.

By 1930, Mary Nicol had undertaken coursework in geology and archaeology at the University of London and had participated in a few excavations in order to obtain field experience. One of her lecturers, R. E. M. Wheeler, offered her the opportunity to join his party excavating St. Albans, England, the ancient Roman site of Verulamium; although she only remained at that site for a few days, finding the work there poorly organized, she began her career in earnest shortly thereafter, excavating Neolithic (early Stone Age) sites in Henbury, Devon, where she worked between 1930 and 1934. Her main area of expertise was stone tools, and she was exceptionally skilled at making drawings of them. During the 1930s Mary met Louis Leakey, who was to become her husband. Leakey was by this time well known because of his finds of early human remains in East Africa; it was at Mary and Louis's first meeting that he asked her to help him with the illustrations for his 1934 book, *Adam's Ancestors: An Up-to-Date Outline of What Is Known about the Origin of Man*.

In 1934 Mary Nicol and Louis Leakey worked at an excavation in Clacton, England, where the skull of a hominid—a family of erect primate mammals that use only two feet for locomotion—had recently been found and where Louis was investigating Paleolithic geology as well as fauna and human remains. The excavation led to Mary Leakey's first publication, a 1937 report in the *Proceedings of the Prehistoric Society*.

By this time, Louis Leakey had decided that Mary should join him on his next expedition to Olduvai Gorge in Tanganyika (now Tanzania), which he believed to be the most promising site for discovering early Paleolithic human remains. On the journey to Olduvai, Mary stopped briefly in South Africa, where she spent a few weeks with an archaeological team and learned more about the scientific approach to excavation, studying each find *in situ*—paying close attention to the details of the geological and faunal material surrounding each artifact. This knowledge was to assist her in her later work at Olduvai and elsewhere.

At Olduvai, among her earliest discoveries were fragments of a human skull; these were some of the first such remains found at the site, and it would be twenty years before any others would be found there. Mary Nicol and Louis Leakey returned to England. Leakey's divorce from his first wife was made final in the mid-1930s, and he and Mary Nicol were then married; the couple returned to Kenya in January 1937. Over the next few years, the Leakeys excavated Neolithic and Iron Age sites at Hyrax Hill, Njoro River

Cave, and the Naivasha Railway Rock Shelter, which yielded a large number of human remains and artifacts.

During World War II, the Leakeys began to excavate at Ologasailie, southwest of Nairobi, but because of the complicated geology of that site, the dating of material found there was difficult. It did prove to be a rich source of material, however; in 1942, Mary Leakey uncovered hundreds, possibly thousands, of hand axes there. Her first major discovery in the field of prehuman fossils was that of most of the skull of a *Proconsul africanus* on Rusinga Island, in Lake Victoria, Kenya, in 1948. *Proconsul* was believed by some paleontologists to be a common ancestor of apes and humans, an animal whose descendants developed into two branches on the evolutionary tree: the *Pongidae* (great apes) and the *Hominidae* (who eventually evolved into true humans). *Proconsul* lived during the Miocene Age, approximately 18 million years ago. This was the first time a fossil ape skull had ever been found—only a small number have been found since—and the Leakeys hoped that this would be the ancestral hominid that paleontologists had sought for decades. The absence of a “simian shelf,” a reinforcement of the jaw found in modern apes, is one of the features of *Proconsul* that led the Leakeys to infer that this was a direct ancestor of modern humans. *Proconsul* is now generally believed to be a species of *Dryopithecus*, closer to apes than to humans.

Many of the finds at Olduvai were primitive stone hand axes, evidence of human habitation; it was not known, however, who had made them. Mary’s concentration had been on the discovery of such tools, while Louis’s goal had been to learn who had made them, in the hope that the date for the appearance of toolmaking hominids could be moved back to an earlier point. In 1959 Mary unearthed part of the jaw of an early hominid she designated *Zinjanthropus* (meaning “East African Man”) and whom she referred to as “Dear Boy”; the early hominid is now considered to be a species of *Australopithecus*—apparently related to the two kinds of australopithecine found in South Africa, *Australopithecus africanus* and *Australopithecus robustus*—and given the species designation *boisei* in honor of Louis Leakey’s sponsor Charles Boise. By means of potassium-argon dating, recently developed, it was determined that the fragment was 1.75 million years old, and this realization pushed back the date for the appearance of hominids in Africa. Despite the importance of this find, however, Louis Leakey was slightly disappointed, as he had hoped that the excavations would unearth not another australopithecine, but an example of *Homo* living at that early date. He was seeking evidence for his theory that more than one

hominid form lived at Olduvai at the same time; these forms were the australopithecines, who eventually died out, and some early form of *Homo*, which survived—owing to toolmaking ability and larger cranial capacity—to evolve into *Homo erectus* and, eventually, the modern human. Leakey hoped that Mary Leakey’s find would prove that *Homo* existed at that early level of Olduvai. The discovery he awaited did not come until the early 1960s, with the identification of a skull found by their son Jonathan Leakey that Louis designated as *Homo habilis* (“man with ability”). He believed this to be the true early human responsible for making the tools found at the site.

In her autobiography, *Disclosing the Past*, released in 1984, Mary Leakey revealed that her professional and personal relationship with Louis Leakey had begun to deteriorate by 1968. As she increasingly began to lead the Olduvai research on her own, and as she developed a reputation in her own right through her numerous publications of research results, she believed that her husband began to feel threatened. Louis Leakey had been spending a vast amount of his time in fundraising and administrative matters, while Mary was able to concentrate on field work. As Louis began to seek recognition in new areas, most notably in excavations seeking evidence of early humans in California, Mary stepped up her work at Olduvai, and the breach between them widened. She became critical of his interpretations of his California finds, viewing them as evidence of a decline in his scientific rigor. During these years at Olduvai, Mary made numerous new discoveries, including the first *Homo erectus* pelvis to be found. Mary Leakey continued her work after Louis Leakey’s death in 1972. From 1975, she concentrated on Laetoli, Tanzania, which was a site earlier than the oldest beds at Olduvai. She knew that the lava above the Laetoli beds was dated to 2.4 million years ago, and the beds themselves were therefore even older; in contrast, the oldest beds at Olduvai were two million years old. Potassium-argon dating has since shown the upper beds at Laetoli to be approximately 3.5 million years old. In 1978 members of her team found two trails of hominid footprints in volcanic ash dated to approximately 3.5 million years ago; the form of the footprints gave evidence that these hominids walked upright, thus moving the date for the development of an upright posture back significantly earlier than previously believed. Mary Leakey considered these footprints to be among the most significant finds with which she was associated.

In the late 1960s, Mary Leakey received an honorary doctorate from the University of the Witwatersrand in South Africa, an honor she accepted only after university officials had spoken out against apartheid.

Among her other honorary degrees are a D.S.Sc. from Yale University and a D.Sc. from the University of Chicago. She received an honorary D.Litt. from Oxford University in 1981. She also received the Gold Medal of the Society of Women Geographers.

Louis Leakey was sometimes faulted for being too quick to interpret the finds of his team and for his propensity for developing sensationalistic, publicity-attracting theories. In recent years Mary Leakey had been critical of the conclusions reached by her husband—as well as by some others—but she did not add her own interpretations to the mix. Instead, she was always more concerned with the act of discovery itself; she wrote that it is more important for her to continue the task of uncovering early human remains to provide the pieces of the puzzle than it is to speculate and develop her own interpretations. Her legacy lies in the vast amount of material she and her team unearthed; she left it to future scholars to deduce its meaning.

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Michael Sims

## Leakey, Richard E.

1944–

African-born English paleontologist and anthropologist

Richard Erskine Frere Leakey, the second of three sons of Louis and Mary Leakey, like his parents, worked as a paleoanthropologist. He is also a conservationist and has been active in the government of Kenya.

Leakey was born on December 19, 1944, in Nairobi, Kenya. Continuing the work of his parents, he has pushed the date for the appearance of the first true humans back even further than they had, to nearly three million years ago. This represents nearly a doubling of the previous estimates. Leakey also has found more evidence to support his father's still controversial theory that there were at least two parallel branches of human evolution, of which only one was successful. The abundance of human fossils uncovered by Richard Leakey's team has provided an enormous

number of clues as to how the various fossil remains fit into the puzzle of human evolution. The team's discoveries have also helped to answer, if only speculatively, some basic questions: When did modern human's ancestors split off from the ancient apes? On what continent did this take place? At what point did they develop the characteristics now considered as defining human attributes? What is the relationship among and the chronology of the various genera and species of the fossil remains that have been found?

While accompanying his parents on an excavation at Kanjera near Lake Victoria at the age of six, Richard Leakey made his first discovery of fossilized animal remains, part of an extinct variety of giant pig. Richard Leakey, however, was determined not to “ride upon his parents' shoulders,” as Mary Leakey wrote in her autobiography, *Disclosing the Past*. Several years later, as a young teenager in the early 1960s, Richard demonstrated a talent for trapping wildlife, which prompted him to drop out of high school to lead photographic safaris in Kenya. His paleontological career began in 1963, when he led a team of paleontologists to a fossil-bearing area near Lake Natron in Tanganyika (now Tanzania), a site that was later dated to approximately 1.4 million years ago. A member of the team discovered the jaw of an early hominid—a member of the family of erect primate mammals that use only two feet for locomotion—called an *Australopithecus boisei* (then named *Zinjanthropus*.) This was the first discovery of a complete *Australopithecus* lower jaw and the only *Australopithecus* skull fragment found since Mary Leakey's landmark discovery in 1959. Jaws provide essential clues about the nature of a hominid, both in terms of its structural similarity to other species and, if teeth are present, its diet. Richard Leakey spent the next few years occupied with more excavations, the most important result of which was the discovery of a nearly complete fossil elephant.

In 1964, Richard married Margaret Cropper, who had been a member of his father's team at Olduvai the year before. It was at this time that he became associated with his father's Centre for Prehistory and Paleontology in Nairobi. In 1968, at the age of 23, he became administrative director of the National Museum of Kenya.

While his parents had mined with great success the fossil-rich Olduvai Gorge, Richard Leakey concentrated his efforts in northern Kenya and southern Ethiopia. In 1967 he served as the leader of an expedition to the Omo Delta area of southern Ethiopia, a trip financed by the National Geographic Society. In a site dated to approximately 150,000 years ago, members of his team located portions of two fossilized

human skulls believed to be from examples of *Homo sapiens*, or modern humans. While the prevailing view at the time was that *Homo sapiens* emerged around 60,000 years ago, these skulls were dated at 130,000 years old.

While on an airplane trip, Richard Leakey flew over the eastern portion of Lake Rudolf (now Lake Turkana) on the Ethiopia-Kenya border, and he noticed from the air what appeared to be ancient lake sediments, a kind of terrain that he felt looked promising as an excavation site. He used his next National Geographic Society grant to explore this area. The region was Koobi Fora, a site that was to become Richard Leakey's most important area for excavation. At Koobi Fora his team uncovered more than four hundred hominid fossils and an abundance of stone tools, such tools being a primary indication of the presence of early humans. Subsequent excavations near the Omo River in Kenya, from 1968, unearthed more examples of early humans, the first found being another *Australopithecus* lower jaw fragment. At the area of Koobi Fora known as the KBS tuff (tuff being volcanic ash; KBS standing for the Kay Behrensmeyer Site, after a member of the team) stone tools were found. Preliminary dating of the site placed the area at 2.6 million years ago; subsequent tests over the following few years determined the now generally accepted age of 1.89 million years.

In July of 1969, Leakey discovered a virtually complete *Australopithecus boisei* skull—lacking only the teeth and lower jaw—lying in a river bed. A few days later a member of the team located another hominid skull nearby, comprising the back and base of the cranium. The following year brought the discovery of many more fossil hominid remains, at the rate of nearly two per week. Among the most important finds was the first hominid femur to be found in Kenya, which was soon followed by several more. It was at about this time that Leakey obtained a divorce from his first wife, and in October of 1970, he married Meave Gillian Epps, who had been on the 1969 expedition.

In 1972, Leakey's team uncovered a skull that appeared to be similar to the one identified by his father and called *Homo habilis* ("man with ability"). This was the early human that Louis Leakey maintained had achieved the toolmaking skills that precipitated the development of a larger brain capacity and led to the development of the modern human—*Homo sapiens*. This skull was more complete and apparently somewhat older than the one Louis Leakey had found and, thus, was the earliest example of the species

*Homo* yet discovered. They labeled the new skull, which was found below the KBS tuff, "Skull 1470," and this proved to be among Richard Leakey's most significant discoveries. The fragments consisted of small pieces of all sides of the cranium, and, unusually, the facial bones, enough to permit a reasonably complete reconstruction. Larger than the skulls found in 1969 and 1970, this example had approximately twice the cranial capacity of *Australopithecus* and more than half that of a modern human—nearly 800 cubic centimeters. At the time, Leakey believed the fragments to be 2.9 million years old (although a more recent dating of the site would place them at less than 2 million years old). Basing his theory in part on these data, Leakey developed the view that these early hominids may have lived as early as 2.5 or even 3.5 million years ago and gave evidence to the theory that *Homo habilis* was not a descendant of the australopithecines, but a contemporary.

By the late 1960s, relations between Richard Leakey and his father had become strained, partly because of real or imagined competition within the administrative structure of the Centre for Prehistory, and partly because of some divergences in methodology and interpretation. Shortly before Louis Leakey's death, however, the discovery of Skull 1470 by Richard Leakey's team allowed Richard to present his father with apparent corroboration of one of his central theories.

Richard Leakey did not make his theories of human evolution public until 1974. At this time, scientists were still grappling with Louis Leakey's interpretation of his findings that there had been at least two parallel lines of human evolution, only one of which led to modern humans. After Louis Leakey's death, Richard Leakey reported that, based on new finds, he believed that hominids diversified between 3 and 3.5 million years ago. Various lines of australopithecines and *Homo* coexisted, with only one line, *Homo*, surviving. The australopithecines and *Homo* shared a common ancestor; *Australopithecus* was not ancestral to *Homo*. As did his father, Leakey believes that *Homo* developed in Africa, and it was *Homo erectus* who, approximately 1.5 million years ago, developed the technological capacity to begin the spread of humans beyond their African origins. In Richard Leakey's scheme, *Homo habilis* developed into *Homo erectus*, who in turn developed into *Homo sapiens*, the present-day human.

As new finds are made, new questions arise. Are newly discovered variants proof of a plurality of species, or do they give evidence of greater variety within

the species that have already been identified? To what extent is sexual dimorphism responsible for the apparent differences in the fossils? In some scientific circles, the discovery of fossil remains at Hadar in Ethiopia by archaeologist Donald Carl Johanson and others, along with the more recent revised dating of Skull 1470, cast some doubt on Leakey's theory in general and on his interpretation of *Homo habilis* in particular. Johanson believed that the fossils he found at Hadar and the fossils Mary Leakey found at Laetoli in Tanzania, and which she classified as *Homo habilis*, were actually all australopithecines; he termed them *Australopithecus afarensis* and claimed that this species is the common ancestor of both the later australopithecines and *Homo*. Richard Leakey has rejected this argument, contending that the australopithecines were not ancestral to *Homo* and that an earlier common ancestor would be found, possibly among the fossils found by Mary Leakey at Laetoli.

The year 1975 brought another significant find by Leakey's team at Koobi Fora: the team found what was apparently the skull of a *Homo erectus*, according to Louis Leakey's theory a descendent of *Homo habilis* and probably dating to 1.5 million years ago. This skull, labeled "3733," represents the earliest known evidence for *Homo erectus* in Africa.

Richard Leakey began to suffer from health problems during the 1970s, and in 1979 he was diagnosed with a serious kidney malfunction. Later that year he underwent a kidney transplant operation, his younger brother Philip being the donor. During his recuperation Richard completed his autobiography, *One Life*, which was released in 1984, and following his recovery, he renewed his search for the origins of the human species. The summer of 1984 brought another major discovery: the so-called Turkana boy, a nearly complete skeleton of a *Homo erectus*, missing little but the hands and feet, and offering, for the first time, the opportunity to view many bones of this species. It was shortly after the unearthing of Turkana boy—whose skeletal remains indicate that he was a twelve-year-old youngster who stood approximately five-and-a-half feet tall—that the puzzle of human evolution became even more complicated. The discovery of a new skull, called the Black Skull, with an *Australopithecus boisei* face but a cranium that was quite ape-like, introduced yet another complication, possibly a fourth branch in the evolutionary tree. Leakey became the Director of the Wildlife Conservation and Management Department for Kenya (Kenya Wildlife Service) in 1989 and in 1999 became head of the Kenyan civil service.

In 1995, Leakey and others formed a new Kenyan political party called the Safina Party. Two years later he returned to the Moi government as Cabinet Secretary. He resigned his post in 2001.

Leakey founded WildlifeDirect in 2004, as a way of enabling global support for conservation efforts in Kenya. The organization was instrumental in securing protection for the gorillas inhabiting Virunga National Park from a rebel insurgency. In 2007, he assumed the chair of the Kenya branch of Transparency International, an organization that seeks to end government corruption.

That same year, Leakey was elected a fellow of the Royal Society.

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Michael Sims

## Leaking underground storage tank

Leaking underground storage tanks (LUST) that hold toxic substances have come under new regulatory scrutiny in the United States because of the health and environmental hazards posed by the materials that can leak from them. There are about 617,000 underground storage tanks (USTs) holding toxic substances in the U.S. These storage tanks typically hold petroleum products and other toxic chemicals beneath gas stations and other petroleum facilities. An estimated sixty-three thousand of the nation's underground storage tanks have been shown to leak contaminants into the environment or are considered to have the potential to leak at any time. One reason for the instability of underground storage tanks is their construction. Only 5 percent of underground storage tanks are made of corrosion-protected steel, while 84 percent are made of bare steel, which corrodes easily. Another 11 percent of underground storage tanks are made of fiberglass.

Hazardous materials seeping from some of the nation's six million LUSTs can contaminate aquifers, the water-bearing rock units that supply much of the earth's drinking water. An aquifer, once contaminated, can be ruined as a source of fresh water. In particular,

benzene (C<sub>6</sub>H<sub>6</sub>) has been found to be a contaminant of groundwater as a result of leaks from underground gasoline storage tanks. Benzene and other volatile organic compounds have been detected in bottled water despite manufacturers' claims of purity. In 1990 to 1991, a massive recall (seventy million bottles in North America alone) of a brand of bottled mineral water was implemented due to the discovery of benzene in the water. Ultimately it appeared that the water source was uncontaminated, and the benzene arose from contamination during filtration.

According to the Environmental Protection Agency (EPA), more than thirty states reported groundwater contamination from petroleum products leaking from underground storage tanks. States also reported water contamination from radioactive waste leaching from storage containment facilities. Other reported pollution problems include leaking hazardous substances that are corrosive, explosive, readily flammable, or chemically reactive. While water pollution may be the most visible consequence of leaks from underground storage tanks, fires and explosions are dangerous and sometimes real possibilities in some areas.

The EPA is charged with exploring, developing, and disseminating technologies and funding mechanisms for cleanup. The primary job itself, however, is left to state and local governments. Actual cleanup is sometimes funded by the LUST Trust Fund established by Congress in 1986. Each gallon of motor fuel purchased in the U.S. is taxed one cent for the financing of the LUST Trust Fund. Under the Superfund Amendment and Reauthorization Act, owners and operators of underground storage tanks are required to take corrective action to prevent leakage. In the American Recovery and Reinvestment Act of 2009, Congress appropriated \$200 million from the LUST Trust Fund to the EPA for cleaning up leaks originating from LUSTs in the U.S. In terms of the necessary assessments and cleanup, the EPA estimates that this funding will result in thousands of jobs and approximately 1,600 cleanup sites. The average cleanup cost per contaminated site is about \$125,000.

*See also* Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA); Groundwater monitoring; Groundwater pollution; Storage and transport of hazardous material; Toxic Substances Control Act (1976).

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Linda Rehkopf

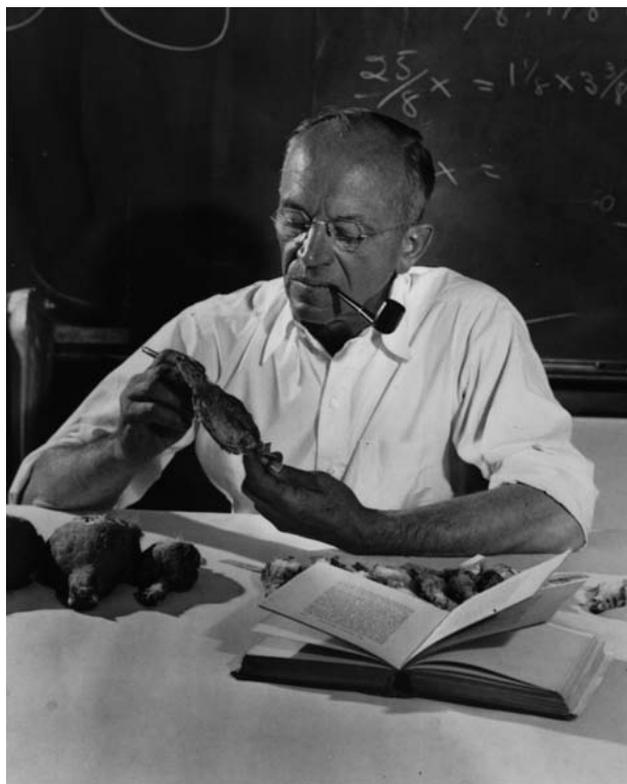
## Leopold, Aldo

1886–1978

American conservationist, ecologist, and writer

Leopold was a noted forester, game manager, conservationist, college professor, and ecologist. Yet he is known worldwide for *A Sand County Almanac*, a little book considered to be one of the most important, influential works of the conservation movement of the twentieth century. In it, Leopold established the land ethic, guidelines for respecting the land and preserving its integrity. Leopold grew up in Iowa, in a house overlooking the Mississippi River, where he learned hunting from his father and an appreciation of nature from his mother. He received a master's degree in forestry from Yale and spent his formative professional years working for the United States Forest Service in the American Southwest.

In the Southwest, Leopold began slowly to consider preservation as a supplement to Gifford Pinchot's "conservation as wise use—greatest good for the greatest number" land management philosophy that he learned at Yale and in the Forest Service. He began to formulate arguments for the preservation of wilderness and the sustainable development of wild game. Formerly a hunter who encouraged the elimination of predators to save the "good" animals for hunters, Leopold became a conservationist who remembered with sadness the "dying fire" in the eyes of a wolf he had



**Aldo Leopold is photographed in 1947. Leopold was the first professor of wildlife management at the University of Wisconsin, and a pioneer of the modern ecology movement.** (AP Photo)

killed. In the *Journal of Forestry*, he began to speculate that perhaps Pinchot's principle of highest use itself demanded "that representative portions of some forests be preserved as wilderness."

Leopold must be recognized as one of a handful of originators of the wilderness idea in American conservation history. He was instrumental in the founding of the Wilderness Society in 1935, which he described in the first issue of *Living Wilderness* as "one of the focal points of a new attitude—an intelligent humility toward man's place in nature." In a 1941 issue of that same journal, he asserted that wilderness also has critical practical uses "as a base-datum of normality, a picture of how healthy land maintains itself," and that wilderness was needed as a living "land laboratory."

This thinking led to the first large area designated as wilderness in the United States. In 1924, some 574,000 acres (232,000 ha) of the Gila National Forest in New Mexico was officially named a wilderness area. Four years before, the much smaller Trappers Lake valley in Colorado was the first area designated "to be kept roadless and undeveloped."

Aldo Leopold is also widely acknowledged as the founder of wildlife management in the United States. His classic text on the subject, *Game Management* (1933), remains in print and widely read. Leopold tried to write a general management framework, drawing upon and synthesizing species monographs and local manuals. "Details apply to game alone, but the principles are of general import to all fields of conservation," he wrote. He wanted to coordinate "science and use" in his book and felt strongly that land managers could either try to apply such principles, or be reduced to "hunting rabbits." Here can be found early uses of concepts still central to conservation and management, such as limiting factor, niche, saturation point, and carrying capacity. Leopold later became the first professor of game management in the United States at the University of Wisconsin.

Leopold's *A Sand County Almanac*, published in 1949, a year after his death, is often described as "the bible of the environmental movement" of the second half of the twentieth century. The *Almanac* is a beautifully written source of solid ecological concepts such as trophic linkages and biological community. The book extends basic ecological concepts, forming radical ideas to reformulate human thinking and behavior. It exhibits an ecological conscience, a conservation aesthetic, and a land ethic. He advocated his concept of ecological conscience to fill in a perceived gap in conservation education: "Obligations have no meaning without conscience, and the problem we face is the extension of the social conscience from people to land." Lesser known is his attention to the aesthetics of land: according to the *Almanac*, an acceptable land aesthetic emerges only from learned and sensitive perception of the connections and needs of natural communities. The last words in the *Almanac* are that a true conservation aesthetic is developed "not of building roads into lovely country, but of building receptivity into the still unlovely human mind."

Leopold derived his now famous land ethic from an ecological conception of community. All ethics, he maintained, "rest upon a single premise: that the individual is a member of a community of interdependent parts." He argued that "the land ethic simply enlarges the boundaries of the community to include soils, waters, plants, and animals, or collectively: the land." Perhaps the most widely quoted statement from the book argues that "a thing is right when it tends to preserve the integrity, stability, and beauty of the biotic community. It is wrong when it tends otherwise."

Leopold's land ethic was first proposed in the *Journal of Forestry* article in 1933 and later expanded

in the *Almanac*. It is a plea to care for land and its biological complex, instead of considering it a commodity. As Wallace Tegner noted, Leopold's ideas were heretical in 1949, and to some people still are. "They smack of socialism and the public good," he wrote. "They impose limits and restraints. They are anti-Progress. They dampen American initiative. They fly in the face of the faith that land is a commodity, the very foundation stone of American opportunity." As a result, Stegner and others do not think Leopold's ethic had much influence on public thought, though the book has been widely read. Leopold recognized this. "The case for a land ethic would appear hopeless but for the minority which is in obvious revolt against these 'modern' trends," he commented. Nevertheless, the land ethic is alive and still flourishing, in an ever-growing minority. Even Stegner argued that "Leopold's land ethic is not a fact but [an on-going] task." Leopold did not shrink from that task, being actively involved in many conservation associations, teaching management principles and the land ethic to his classes, bringing up all five of his children to become conservationists, and applying his beliefs directly to his own land, a parcel of "logged, fire-swept, overgrazed, barren" land in Sauk County, Wisconsin. As his work has become more recognized and more influential, many labels have been applied to Leopold by contemporary writers. He is a "prophet" and "intellectual touchstone" to Roderick Nash, a "founding genius" to J. Baird Callicott, "an American Isaiah" to Stegner, the "Moses of the new conservation impulse" to Donald Fleming. In a sense, he may have been all of these, but more than anything else, Leopold was an applied ecologist who tried to put into practice the principles he learned from the land.

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Gerald R. Young

## Less developed countries

Less developed countries (LDCs) have lower levels of economic prosperity, health care, and education than most other countries. Development or improvement in economic and social conditions encompasses

various aspects of general welfare, including infant survival, expected life span, nutrition, literacy rates, employment, and access to material goods. Less developed countries (LDCs) are identified by their relatively poor ratings in these categories. In addition, most LDCs are marked by high population growth, rapidly expanding cities, low levels of technological development, and weak economies dominated by agriculture and the export of natural resources. Because of their limited economic and technological development, LDCs tend to have relatively little international political power compared to more developed countries (MDC) such as Japan, the United States, and Germany.

A variety of standard measures, or development indices, are used to assess development stages. These indices are generalized statistical measures of quality of life for individuals in a society. Multiple indices are usually considered more accurate than a single number such as Gross National Product, because such figures tend to give imprecise and simplistic impressions of conditions in a country. One of the most important of the multiple indices is the infant mortality rate. Because children under five years old are highly susceptible to common diseases, especially when they are malnourished, infant mortality is a key to assessing both nutrition and access to health care. Expected life span, the average age adults are able to reach, is used as a measure of adult health. Daily calorie and protein intake per person are collective measures that reflect the ability of individuals to grow and function effectively. Literacy rates, especially among women, who are normally the last to receive an education, indicate access to schools and preparation for technologically advanced employment.

Fertility rates are a measure of the number of children produced per family or per woman in a population and are regarded as an important measure of the confidence parents have in their children's survival. High birth rates are associated with unstable social conditions because a country with a rapidly growing population often cannot provide its citizens with food, water, sanitation, housing space, jobs, and other basic needs. Rapidly growing populations also tend to undergo rapid urbanization. People move to cities in search of jobs and educational opportunities, but in poor countries the cost of providing basic infrastructure in an expanding city can be debilitating. As most countries develop, they pass from a stage of high birth rates to one of low birth rates, as child survival becomes more certain and a family's investment in educating and providing for each child increases.

Most LDCs were colonies under foreign control during the past 200 years. Colonial powers tended to

undermine social organization, local economies, and natural resource bases, and many recently independent states are still recovering from this legacy. Thus, much of Africa, which provided a wealth of natural resources to Europe between the seventeenth and twentieth centuries, now lacks the effective and equitable social organization necessary for continuing development. Similarly, much of Central America (colonized by Spain in the fifteenth century) and portions of South and Southeast Asia (colonized by England, France, the Netherlands, and others) remain less developed despite their wealth of natural resources. The development processes necessary to improve standards of living in LDCs may involve more natural resource extraction, but usually the most important steps involve carefully choosing the goods to be produced, decreasing corruption among government and business leaders, and easing the social unrest and conflicts that prevent development from proceeding.

*See also* Child survival revolution; Debt for nature swap; Economic growth and the environment; Indigenous peoples; Shanty towns; South; Sustainable development; Third World; Third World pollution; Tropical rain forest; World Bank.

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Muthena Naseri

## Leukemia

Leukemia is a disease of the blood-forming organs. Primary tumors are found in the bone marrow and lymphoid tissues, specifically the liver, spleen, and lymph nodes. The characteristic common to all types of leukemia is the uncontrolled proliferation of leukocytes (white blood cells) in the blood stream. This results in a lack of normal bone marrow growth, and bone marrow is replaced by immature and undifferentiated leukocytes or "blast cells." These immature and undifferentiated cells then migrate to various organs in the body, resulting

in the pathogenesis of normal organ development and processing.

Leukemia occurs with varying frequencies at different ages, but it is most frequent among the elderly. Acute lymphoblastic leukemia, most common in children, is responsible for two-thirds of all cases. Acute nonlymphoblastic leukemia and chronic lymphocytic leukemia are most common among adults. While there is clear evidence that some leukemias are linked to genetic traits, environmental exposure to radiation, toxic substances, and other risk factors plays an important role in manifesting some leukemias.

*See also* Cancer; Carcinogen; Radiation exposure; Radiation sickness.

## Lichens

Lichens represent a symbiotic relationship (a relationship that benefits both participants) between fungi and algae. Varying in color from pale whitish green to brilliant red and orange, lichens usually grow attached to rocks and tree trunks and appear as thin and crusty coatings, as networks of small branched strands, or as flattened and leaf-like forms. As of 2010, approximately 25,000 lichen species have been identified. Because they often grow under cold, dry, inhospitable conditions, they are usually the first plants to colonize barren rock surfaces.

In the symbiotic relationship, the fungus forms the body of the lichen, called the thallus. The thallus attaches itself to the surface of a rock or tree trunk, and the fungal cells take up water and nutrients from the environment. The algal cells grow inside the fungal cells and perform photosynthesis, as do other plant cells, to form carbohydrates.

Lichens are essential in providing food for other organisms, breaking down rocks, and initiating soil building. They are also important indicators and monitors of air pollution effects. Since lichens grow attached to rock and tree surfaces, they are fully exposed to airborne pollutants, and chemical analysis of lichen tissues can be used to measure the quantity of pollutants in a particular area. For example, sulfur dioxide, a common emission from power plants, is a major air pollutant. As the concentration of sulfur dioxide in the air increases, the number of lichen species decreases. The disappearance of lichens from an area may be indicative of other, widespread biological impacts. As sulfur dioxide has declined in urban areas,

lichen reinvade, although it may not be the same species mix that were originally present.

See also Indicator organism; Symbiosis.

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Usha Vedagiri

## Life cycle assessment

Life cycle assessment (or LCA) refers to a process in industrial ecology by which the products, processes, and facilities used to manufacture specific products are each examined for their environmental impacts. A balance sheet is prepared for each product that considers: the use of materials; the consumption of energy in manufacturing; the potential costs and savings of recycling, re-use, and disposal (including manufacturing byproducts and wastes). In taking comprehensive integrated outlook to the costs and benefits of production, life cycle assessment intends to increase efficiency and lessen overall environmental costs.

Lignite see **Coal**.

## Limits to Growth (1972) and Beyond the Limits (1992)

Published at the height of the oil crisis in the 1970s, the *Limits to Growth* study is credited with lifting environmental concerns to an international and global level. Its fundamental conclusion is that if rapid growth continues unabated in the five key areas of population, food production, industrialization, pollution, and consumption of nonrenewable natural resources, the planet will reach the limits of growth within 100 years. The most probable result will be a

“rather sudden and uncontrollable decline in both population and industrial capacity.”

The study grew out of an April 1968 meeting of 30 scientists, educators, economists, humanists, industrialists, and national and international civil servants who had been brought together by Dr. Aurelio Peccei, an Italian industrial manager and economist. Peccei and the others met at the Accademia dei Lincei in Rome to discuss the “present and future predicament of man,” and from their meeting came the Club of Rome. Early meetings of the club resulted in a decision to initiate the Project on the Predicament of Mankind, intended to examine the array of problems facing all nations. Those problems ranged from poverty amidst plenty and environmental degradation to the rejection of traditional values and various economic disturbances.

In the summer of 1970, Phase One of the project took shape during a series of meetings in Bern, Switzerland and Cambridge, Massachusetts. At a two-week meeting in Cambridge, Professor Jay Forrester of the Massachusetts Institute of Technology (MIT) presented a global model for analyzing the interacting components of world problems. Professor Dennis Meadows led an international team in examining the five basic components, mentioned above, that determine growth on this planet and its ultimate limits. The team’s research culminated in the 1972 publication of the study, which touched off intense controversy and further research.

Underlying the study’s dramatic conclusions is the central concept of exponential growth, which occurs when a quantity increases by a constant percentage of the whole in a constant time period. “For instance, a colony of yeast cells in which each cell divides into two cells every ten minutes is growing exponentially,” the study explains. The model used to capture the dynamic quality of exponential growth is a System Dynamics model, developed over a thirty-year period at MIT, which recognizes that the structure of any system determines its behavior as much as any individual parts of the system. The components of a system are described as “circular, interlocking, sometimes time-delayed.” Using this model (called World3), the study ran scenarios—what-if analyses—to reach its view of how the world will evolve if present trends persist.

“Dynamic modeling theory indicates that any exponentially growing quantity is somehow involved with a positive feedback loop,” the study points out. “In a positive feedback loop a chain of cause-and-effect relationships closes on itself, so that increasing

any one element in the loop will start a sequence of changes that will result in the originally changed element being increased even more.”

In the case of world population growth, the births per year act as a positive feedback loop. For instance, in 1650, world population was half a billion and was growing at a rate of 0.3 percent a year. In 1970, world population was 3.6 billion and was growing at a rate of 2.1 percent a year. Both the population and the rate of population growth have been increasing exponentially. But in addition to births per year, the dynamic system of population growth includes a negative feedback loop: deaths per year. Positive feedback loops create runaway growth, while negative feedback loops regulate growth and hold a system in a stable state. For instance, a thermostat will regulate temperature when a room reaches a certain temperature, the thermostat shuts off the system until the temperature decreases enough to restart the system. With population growth, both the birth and death rates were relatively high and irregular before the Industrial Revolution. But with the spread of medicines and longer life expectancies, the death rate has slowed while the birth rate has risen. Given these trends, the study predicted a worldwide jump in population of seven billion over thirty years.

This same dynamic of positive and negative feedback loops applies to the other components of the world system. The growth in world industrial capital, with the positive input of investment, creates rising industrial output, such as houses, automobiles, textiles, consumer goods, and other products. On the negative feedback side, depreciation, or the capital discarded each year, draws down the level of industrial capital. This feedback is “exactly analogous to the death rate loop in the population system,” the study notes. And, as with world population, the positive feedback loop is “strongly dominant,” creating steady growth in worldwide industrial capital and the use of raw materials needed to create products.

This system in which exponential growth is occurring, with positive feedback loops outstripping negative ones, will push the world to the limits of exponential growth. The study asks what will be needed to sustain world economic and population growth until and beyond the year 2000 and concludes that two main categories of ingredients can be defined. First, there are physical necessities that support all physiological and industrial activity: food, raw materials, fossil and nuclear fuels, and the ecological systems of the planet that absorb waste and recycle important chemical substances. Arable land, fresh water, metals, forests, and oceans are needed to obtain those necessities. Second, there are

social necessities needed to sustain growth, including peace, social stability, education, employment, and steady technological progress.

Even assuming that the best possible social conditions exist for the promotion of growth, the earth is finite and therefore continued exponential growth will reach the limits of each physical necessity. For instance, about 1 acre (0.4 ha) of arable land is needed to grow enough food per person. With that need for arable land, even if all the world’s arable land were cultivated, current population growth rates will still create a “desperate land shortage before the year 2000,” the study concludes. The availability of fresh water is another crucial limiting factor, the study points out. “There is an upper limit to the fresh water runoff from the land areas of the earth each year, and there is also an exponentially increasing demand for that water.”

This same analysis is applied to nonrenewable resources, such as metals, coal, iron, and other necessities for industrial growth. World demand is rising steadily and at some point demand for each nonrenewable resource will exceed supply, even with recycling of these materials. For instance, the study predicts that even if 100 percent recycling of chromium from 1970 onward were possible, demand would exceed supply in 235 years. Similarly, while it is not known how much pollution the world can take before vital natural processes are disrupted, the study cautions that the danger of reaching those limits is especially great because there is usually a long delay between the time a pollutant is released and the time it begins to negatively affect the environment.

While the study foretells worldwide collapse if exponential growth trends continue, it also argues that the necessary steps to avert disaster are known and are well within human capabilities. Current knowledge and resources could guide the world to a sustainable equilibrium society provided that a realistic, long-term goal and the will to achieve that goal are pursued.

The sequel to the 1972 study, *Beyond the Limits*, was not sponsored by the Club of Rome, but it is written by three of the original authors. While the basic analytical framework remains the same in the later work—drawing upon the concepts of exponential growth and feedback loops to describe the world system—its conclusions are more severe. No longer does the world only face a potential of “overshooting” its limits. “Human use of many essential resources and generation of many kinds of pollutants have already surpassed rates that are physically sustainable,” according to the 1992 study. “Without significant reductions in material and energy flows, there will be in the coming

decades an uncontrolled decline in per capita food output, energy use, and industrial output.”

However, like its predecessor, the later study sounds a note of hope, arguing that decline is not inevitable. To avoid disaster requires comprehensive reforms in policies and practices that perpetuate growth in material consumption and population. It also requires a rapid, drastic jump in the efficiency with which we use materials and energy.

Both the earlier and the later study were received with great controversy. For instance, economists and industrialists charged that the earlier study ignored the fact that technological innovation could stretch the limits to growth through greater efficiency and diminishing pollution levels. When the sequel was published, some critics charged that the World3 model could have been refined to include more realistic distinctions between nations and regions, rather than looking at all trends on a world scale. For instance, different continents, rich and poor nations, North, South, and East, various regions—all are different, but those differences are ignored in the model, thereby making it unrealistic even though modeling techniques have evolved significantly since World3 was first developed.

*See also* Sustainable development.

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David Clarke

## Limnology

Derived from the Greek word *limne*, meaning marsh or pond, the term limnology was first used in reference to lakes by F. A. Forel (1841–1912) in 1892

in a paper titled “Le Léman: Monographie Limnologie,” a study of what we now call Lake Geneva in Switzerland. Limnology, also known as aquatic ecology, refers to the study of fresh water communities within continental boundaries. It can be subdivided into the study of lentic (standing water habitats such as lakes, ponds, bogs, swamps, and marshes) and lotic (running water habitats such as rivers, streams, and brooks) environments. Collectively, limnologists study the morphological, physical, chemical, and biological aspects of these habitats.

## Lindeman, Raymond L.

1915–1942

American ecologist

Few scholars or scientists, even those much published and long-lived, leave singular, indelible imprints on their disciplines. Yet, Raymond Lindeman, who published just six articles, was described shortly after his death by G. E. Hutchinson as “one of the most creative and generous minds yet to devote itself to ecological science,” and the last of those six papers, “The Trophic-Dynamic Aspect of Ecology,”—published posthumously in 1942—continues to be considered one of the foundational papers in ecology, an article “path-breaking in its general analysis of ecological succession in terms of energy flow through the ecosystem,” an article based on an idea that Edward Kormondy has called “the most significant formulation in the development of modern ecology.”

Immediately after completing his doctorate at the University of Minnesota, Lindeman accepted a one-year Sterling fellowship at Yale University to work with G. Evelyn Hutchinson, the Dean of American limnologists. He had published chapters of his thesis one by one and at Yale worked to revise the final chapter, refining it with ideas drawn from Hutchinson’s lecture notes and from their discussions about the ecology of lakes. Lindeman submitted the manuscript to *Ecology* with Hutchinson’s blessings, but it was rejected based on reviewers’ claims that it was speculation far beyond the data presented from research on three lakes, including Lindeman’s own doctoral research on Cedar Bog Lake. After input from several well-known ecologists, further revisions, and with further urging from Hutchinson, the editor finally overrode the reviewers’ comments and accepted the manuscript; it was published in the October, 1942 issue of *Ecology*, a few months after Lindeman died in June of that year.

The important advances made by Lindeman's seminal article included his use of the ecosystem concept, which he was convinced was "of fundamental importance in interpreting the data of dynamic ecology," and his explication of the idea that "all function, and indeed all life" within ecosystems depends on the movement of energy through such systems by way of trophic relationships. His use of ecosystem went beyond the little attention paid to it by Hutchinson and beyond Tansley's labeling of the unit seven years earlier to open up "new directions for the analysis of the functioning of ecosystems." More than half a century after Lindeman's article, and despite recent revelations on the uncertainty and unpredictability of natural systems, a majority of ecologists probably still accept ecosystem as the basic unit in ecology and, in those systems, energy exchange as the basic process.

Lindeman was able to demonstrate effectively a way to bring together or synthesize two quite separate traditions in ecology, autecology, dependent on physiological studies of individual organisms and species, and synecology focused on studies of communities, aggregates of individuals. He believed, and demonstrated, that ecological research would benefit from a synthesis of these organism-based approaches and focus on the energy relationships that tied organism and environment into one unit—the ecosystem—suggesting as a result that biotic and abiotic could not realistically be disengaged, especially in ecology.

Half a decade or so of work on cedar bog lakes, and half a dozen articles would seem a thin stem on which to base a legacy. But it really boils down to Lindeman's synthesis of that work in that one singular, seminal paper, in which he created one of the significant stepping stones from a mostly descriptive discipline toward a more sophisticated and modern theoretical ecology.

Gerald J. Young

## Liquefied natural gas

Natural gas is a colorless, odorless gas that, when burned, yields on average 30 percent less carbon dioxide (CO<sub>2</sub>) than oil derivatives and 45 percent less CO<sub>2</sub> than coal. Carbon dioxide is a greenhouse gas that, in excess of natural levels, is driving anthropogenic (human-caused) global warming. Natural gas also releases significantly less particulate matter (including nitrogen oxides, and sulfur dioxides) that contribute to

acid rain. Even so, it accounts for a significant fraction of global greenhouse gas emissions. Just over one-fifth of carbon dioxide pumped into the atmosphere by countries around the globe is a product of burning natural gas. Moreover, natural gas itself—composed primarily of methane—is at least twenty-one times more potent a greenhouse gas than carbon dioxide and so contributes to global warming, when it escapes into the atmosphere following leakage or loss during production and transport. Transporting natural gas through pipelines is generally far less expensive and efficient in comparison to the transport of crude oil. Oceanic shipping is difficult, however, because of the flammability of the gas and the high volumes required. The most common way of dealing with these problems is to condense the gas first and then transport it in the form of liquefied natural gas (LNG). LNG must be maintained at temperatures of about -260° Fahrenheit (-160°C) and protected from leaks and flames during loading and unloading.

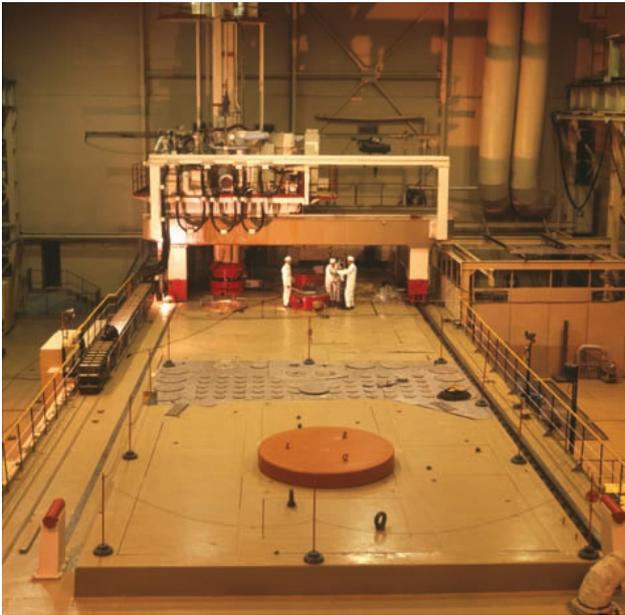
*See also* Fossil fuels.

## Liquid metal fast breeder reactor

The liquid metal fast breeder reactor (LMFBR) is a nuclear reactor that has been modified to increase the efficiency at which non-fissionable uranium-238 (U-238) is converted to the fissionable isotope plutonium-239 (Pu-239) using artificial radioactive decay. Then, the plutonium-239 can be used as fuel in the production of nuclear power. The reactor uses "fast" (high-energy) rather than "slow" (low-energy) neutrons to strike a uranium-238 nucleus, resulting in the formation of plutonium-239. In a second modification, it uses a liquid metal, usually sodium (Na), rather than neutron-absorbing water (H<sub>2</sub>O) as a more efficient coolant. Since the reactor produces new fuel as it operates, it is called a breeder reactor.

There are two functional types of LMFBR reactors: (1) loop, in which the primary coolant circulates through primary heat exchangers found outside of the reactor tank; and (2) pool, in which the primary heat exchangers and circulators are found inside the reactor tank.

A LMFBR was built in the United States at the Enrico Fermi Nuclear Generating Station on the shore of Lake Erie, near Monroe, Michigan, in 1956. The Fermi 1 became operational in 1963 but was forced to shutdown in 1966 due to mechanical problems. It re-



**Reactor hall of the BOR-60 fast breeder nuclear reactor in Dimitrovgrad, Russia. Fast breeder reactor cores use plutonium fuel surrounded by uranium; they are cooled by molten sodium metal. (Novosti / Photo Researchers, Inc.)**

started in 1970 but was permanently shutdown in 1972 when its operating license was not re-issued. It is the first and only LMFBR to have operated in the United States, as of October 2010.

The main appeal of breeder reactors is that they provide an alternative way of obtaining fissionable materials. The supply of natural uranium in Earth's crust is large, but it will not last forever. Plutonium-239 from breeder reactors might become the major fuel used in reactors built a few hundred or thousand years from now.

However, the potential of LMFBRs has not as yet been realized. One serious problem involves the use of liquid sodium as coolant. Sodium is a highly corrosive metal and in an LMFBR it is converted into a radioactive form, sodium-24 (Na-24). Accidental release of the coolant from such a plant could, therefore, constitute a serious environmental hazard. In addition, plutonium itself is difficult to work with. It is one of the most toxic substances known to humans, and its half-life of approximately 24,000 years means that its release presents long-term environmental problems.

Small-scale pilot LMFBR reactors have been tested in the United States, Saudi Arabia, the United Kingdom, and Germany since 1966, and all have turned out to be far more expensive than had been anticipated. The

major U.S. research program based at Clinch, Tennessee, began in 1970. By 1983, the U. S. Congress refused to continue funding the project due to its slow and unsatisfactory progress.

*See also* Nuclear fission; Nuclear Regulatory Commission; Radioactive waste management; Radioactivity.

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David E. Newton

## Lithology

Lithology is the study of rocks, emphasizing their macroscopic physical characteristics, including grain size, mineral composition, and color. Lithology and its related field, petrography (the description and systematic classification of rocks), are subdisciplines of petrology, which also considers microscopic and chemical properties of minerals and rocks as well as their origin and decay.

## Littoral zone

In marine systems, littoral zone is synonymous with intertidal zone and refers to the area on marine shores that is periodically exposed to air during low tide. The freshwater littoral zone is that area near the shore characterized by submerged, floating, or emergent vegetation. The width of a particular littoral zone may vary from several miles to a few feet. These areas typically support an abundance of organisms and are important feeding and nursery areas for fishes, crustaceans, and birds. The distribution and abundance of individual species in the littoral zone is dependent on

predation and competition as well as tolerance of physical factors.

*See also* Neritic zone; Pelagic zone.

## Loading

In environmental science, loading is used to describe an increase in concentration within, or contribution to any system by, a defined component. One might analyze, for example, how an increase in chlorofluorocarbon (CFC), or CFC loading in the stratosphere, might affect the concentration of ozone.

## Logging

Logging is the systematic process of cutting down trees for lumber and wood products. The method of logging termed clearcutting, in which entire areas of forests are cleared, is the most prevalent practice used by lumber companies. Clearcutting is the cheapest and most efficient way to harvest a forest's available resources. This practice drastically alters the forest ecosystem, and many plants and animals are displaced or destroyed by it. After clearcutting is performed on forests, forestry management techniques may be introduced in order to manage the growth of new trees on the cleared land. Selective logging is an alternative to clearcutting. In selective logging, only certain trees in a forest are chosen to be logged, usually on the basis of their size or species. By taking a smaller percentage of trees, the forest is



**Deforestation in the Amazon.** (luoman / iStockphoto.com)

protected from destruction and fragile plants and animals in the forest ecosystem are more likely to survive. New, innovative techniques offer alternatives for preserving the forest. For example, the Shelterwood Silvicultural System harvests mature trees in phases. First, part of the original stand is removed to promote growth of the remaining trees. After this occurs, regeneration naturally follows using seeds provided by the remaining trees. Once regeneration has occurred, the remaining mature trees are harvested.

Early logging equipment included long, two-man straight saws and teams of animals to drag trees away. After World War II, technological advances made logging easier. The bulldozer and the helicopter allowed loggers to enter into new and previously untouched areas. The chainsaw allowed loggers to cut down many more trees each day. Today, enormous machines known as feller-bunchers take the place of human loggers. These machines use a hydraulic clamp that grasps the individual tree and huge shears that cut through it in one swift motion.

High demands for lumber and forest products have caused prolific and widespread commercial logging. Certain methods of timber harvesting allow for subsequent regeneration, while others cause deforestation, or the irreversible creation of a non-forest condition. Deforestation significantly changed the landscape of the United States. Some observers remarked as early as the mid-1700s upon the rapid changes made to the forests from the East Coast to the Ohio River Valley. Often, the lumber in forests was burned away so that the early settlers could build farms upon the rich soil that had been created by the forest ecosystem. The immediate results of deforestation are major changes to Earth's landscapes and diminishing wildlife habitats. Longer-range results of deforestation, including unrestrained commercial logging, may include damage to the earth's atmosphere and the unbalancing of living ecosystems. Forests help to remove carbon dioxide (CO<sub>2</sub>) from the air. Through the process of photosynthesis, forests release oxygen into the air. A single acre of temperate forest releases more than six tons of oxygen into the atmosphere every year. In the last 150 years, deforestation, together with the burning of fossil fuels, has raised the amount of carbon dioxide in the atmosphere by more than 25 percent. This accumulation of carbon dioxide is contributing to climate change, which is the accumulation of greenhouse gases (e.g., carbon dioxide, nitrous oxide) leading to a gradual increase in Earth's surface temperature. Burning or the decomposition of trees returns the carbon in the living trees to the atmosphere. Through deforestation, trees that were previously able to mitigate amounts of carbon dioxide in the

atmosphere are removed from the ecosystem, and depending on the end product of the trees, the pent up carbon from the harvested trees is added back to the atmosphere, thus resulting in a considerable increase of carbon dioxide. Deforestation can also lead to desertification, which is a degradation of land into desert. Climate change can also contribute to desertification. Human beings are still learning how to measure their need for wood against their need for a viable environment for themselves and other life forms.

Although human activity, especially logging, has decimated many of the world's forests and the life within them, some untouched forests still remain. These forests are known as old-growth or ancient-growth forests. Old-growth forests are at the center of a heated debate between environmentalists, who wish to preserve them, and the logging industry, which continually seeks new and profitable sources of lumber and other forest products.

Very little of the original uncut North American forest still remains. It has been estimated that the United States has lost over 96 percent of its old-growth forests. This loss continues as logging companies become more attracted to ancient-growth forests, which contain larger, more profitable trees. A majority of old-growth forests in the United States are in Alaska and Pacific Northwest. On the global level, barely 20 percent of the old-growth forests still remain, and the South American rainforests account for a significant portion of these. About 1 percent of the Amazon rainforest is deforested each year. At the present rate of logging around the world, old-growth forests could be gone within the first few decades of the twenty-first century unless effective conservation programs are instituted.

As technological advancements of the twentieth century dramatically increased the efficiency of logging, there was also a growth in understanding about the contribution of the forest to the overall health of the environment, including the effect of logging upon that health. Ecologists, scientists that study the complex relationships within natural systems, have determined that logging can affect the health of air, soil, water, plant life, and animals. For instance, clearcutting was at one time considered a healthy forestry practice, as proponents claimed that clearing a forest enabled the growth of new plant life, sped the process of regeneration, and prevented fires. The American Forest Institute, an industry group, ran an ad in the 1970s that stated, "I'm clearcutting to save the forest." Ecologists have come to understand that clearcutting old-growth forests has a devastating effect on plant and animal life, and affects the health of the forest ecosystem from its rivers to its soil. Old-growth trees, for example, provide

an ecologically diverse habitat including woody debris and fungi that contribute to nutrient-rich soil. Furthermore, many species of plants and wildlife, some still undiscovered, are dependent upon old-growth forests for survival. The huge canopies created by old-growth trees protect the ground from water erosion when it rains, and their roots help to hold the soil together. This in turn maintains the health of rivers and streams, upon which fish and other aquatic life depend. In the Pacific Northwest, for example, ecologists have connected the health of the salmon population with the health of the forests and the logging practices therein. Ecologists now understand that clearcutting and the planting of new trees, no matter how scientifically managed, cannot replace the wealth of biodiversity maintained by old-growth forests.

The pace of logging is dictated by the consumer demand for lumber and wood products. In the United States, for instance, the average size of new homes doubled between 1970 and 2000, and the forests ultimately bear the burden of the increasing consumption of lumber. In the face of widespread logging, environmentalists have become more desperate to protect ancient forests. There is a history of controversy between the timber industry and environmentalists regarding the relationship between logging and the care of forests. On the one hand, the logging industry has seen forests as a source of wealth, economic growth, and jobs. On the other hand, environmentalists have viewed these same forests as a source of recreation, spiritual renewal, and as living systems that maintain the overall environmental health. In the 1980s, a controversy raged between environmentalists and the logging industry over the protection of the northern spotted owl (*Strix occidentalis caurina*), a threatened species of bird whose habitat is the old-growth forest of the Pacific Northwest. Environmentalists appealed to the Endangered Species Act of 1973 to protect some of these old-growth forests. In other logging controversies, some environmentalists chained themselves to old-growth trees to prevent their destruction, and one American activist, Julia Butterfly Hill (1974–), lived in an old-growth California redwood tree for two years in the 1990s to prevent it from being cut down. The clash between environmentalists and the logging industry may become more intense as the demand for wood increases and supplies decrease. However, in recent years these opposing views have been tempered by discussion of concepts such as responsible forest management to create sustainable growth, in combination with preservation of protected areas.

Most of the logging in the United States occurs in the national forests. From the point of view of the U.S. Forest Service, logging provides jobs, helps manage

the forest in some respects, prevents logging in other parts of the world, and helps eliminate the danger of forest fires. To meet the demands of the logging industry, the national forests have been developed with a labyrinth of logging roads and contain vast areas that have been devastated by clearcutting. In the United States there are enough logging roads in the National Forests to circle the earth 15 times, roads that speed up soil erosion that then washes away fertile topsoil and pollutes streams and rivers.

The Roadless Initiative was established in 2001 to protect 60 million acres (24 million ha) of national forests. The initiative was designed by the Clinton administration to discourage logging and taxpayer-supported road building on public lands. The goal was to establish total and permanent protection for designated roadless areas. Advocates of the initiative contended that roadless areas encompassed some of the best wildlife habitats in the nation, while forest service officials argued that banning road building would significantly reduce logging in these areas. Under the initiative, more than half of the 192 million acres (78 million ha) of national forest would still remain available for logging and other activities. This initiative was considered one of the most important environmental protection measures of the Clinton administration.

Illegal logging has become a problem with the growing worldwide demand for lumber. For example, the World Bank predicted that if Indonesia does not halt all current logging, it would lose its entire forest within the next ten to fifteen years. Estimates indicate that up to 70 percent of the wood harvested in Indonesia comes from illegal logging practices. Much of the timber being taken is sent to the United States. Indigenous peoples of Indonesia are being displaced from their traditional territories. Wildlife, including endangered tigers, elephants, rhinos, and orangutans are also being displaced and may be threatened with extinction. In 2002 Indonesia placed a temporary moratorium on logging in an effort to stop illegal logging.

Other countries around the world were addressing logging issues in the early twenty-first century. In China, 160 million acres (65 million ha) out of 618 million acres (250 million ha) were put under state protection. Loggers turned in their tools to become forest rangers, working for the government in order to safeguard trees from illegal logging. China has set aside millions of acres of forests for protection, particularly those forests that are crucial sources of fresh water. China also announced that it was planning to further reduce its timber output in order to restore and enhance the life-sustaining abilities of its forests. In

August 2007, China issued guidelines for overseas logging, following accusations that it is plundering the world's forests to meet booming demand for wood. The Guidelines on Sustainable Management of Overseas Forests for Chinese Enterprises were issued jointly by the State Forestry Administration and the Ministry of Commerce, aiming to encourage forestry cultivation highlighting sustainability and biodiversity. However, China probably remains the world's leading importer of illegal timber and one of its principal suppliers of illegal timber, with neither the State Forestry Administration nor the Ministry of Commerce appearing to take responsibility for illegal wood-based products. Internally the situation does not appear positive either with claims that Fujian Province, which boasts high natural forest cover is, despite protest, being rapidly replaced with fast-growing tree plantations. There seems a desperate need for stricter implementation of forestry laws and regulations as well as due punishment of those who violate them, not only in China, but globally.

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Douglas Dupler

## Logistic growth

Logistic growth is growth pattern regulated by internal and external factors that ultimately establish an equilibrium with environmental resources. Logistic growth curves can be calculated using the equation  $dN/dt = r_{\max} N((K - N)/(K))$  or  $dN/dt = r_{\max} N(1 - (N/K))$  where  $dN/dt$  yields the population growth rate,  $r_{\max}$  is the maximum value that the per capita growth rate can be for a particular species in a ecosystem,  $N$  is the population size, and  $K$  is the carrying capacity.

The resulting sigmoid (idealized S-shaped) curve illustrates logistic growth where environmental factors limit population growth. The rate of per capita growth also slows as population sizes increase. In this model, a low-density population begins to grow slowly, then goes through an exponential or geometric phase, and then levels off at the environmental carrying capacity.

See also Exponential growth; Growth limiting factors; Sustainable development; Zero population growth.

Muthena Naseri

## Lomborg, Bjørn

1965–  
Danish political scientist

Bjørn Lomborg is a Danish statistician, author an adjunct professor at the Copenhagen Business School. He is best known for his authorship of *The Skeptical Environmentalist: Measuring the Real State of the World*, which was published in 2001. The book triggered a firestorm of criticism, with many well-known scientists denouncing it as an effort to “confuse legislators and regulators, and confuse public environmental information.” In January, 2002, *Scientific American* published a series of articles by five distinguished environmental scientists contesting Lomborg’s claims. To some observers, the ferocity of the attack was surprising. Why so much furor over a book that claims to have good news about our environmental condition?

Lomborg portrays himself as an “left-wing, vegetarian, Greenpeace member,” but says he worries about the unrelenting “doom and gloom” of mainstream environmentalism. He describes what he regards as an all-pervasive ideology that says, among other things, “Our

resources are running out. The population is ever growing, leaving less and less to eat. The air and water are becoming ever more polluted. The planet’s species are becoming extinct in vast numbers. The forests are disappearing, fish stocks are collapsing, and coral reefs are dying.” This ideology has pervaded the environmental debate so long, Lomborg says, “that blatantly false claims can be made again and again, without any references, and yet still be believed.”

In fact, Lomborg tells us, these allegations of the collapse of ecosystems are “simply not in keeping with reality. We are not running out of energy or natural resources. There will be more and more food per head of the world’s population. Fewer and fewer people are starving. In 1900, we lived for an average of 30 years; today we live 67. According to the [United Nations], we have reduced poverty more in the last 50 years than in the preceding 500, and it has been reduced in practically every country.” He goes on to challenge conventional scientific assessment of global warming, forest losses, fresh water scarcity, energy shortages, and a host of other environmental problems. Is Lomborg being deliberately (and some would say, hypocritically) optimistic, or are others being unreasonably pessimistic? Is this simply a case of regarding the glass as half full versus half empty?

The inspiration to look at environmental statistics, Lomborg says, was a 1997 interview with the controversial economist Dr. Julian L. Simon in *Wired* magazine. Simon, who died in 1998, spent a good share of his career arguing that the “litany” of the Green movement—human overpopulation leading to starvation and resource shortages—was premeditated hyperbole and fear mongering. The truth, Simon claimed, is that the quality of human life is improving, not declining.

Lomborg felt sure that Simon’s allegations were “simple American right-wing propaganda.” It should be a simple matter, he thought, to gather evidence to show how wrong Simon was. Back at his university in Denmark, Lomborg set out with 10 of his sharpest students to study Simon’s claims. To their surprise, the group found that while not everything Simon said was correct, his basic conclusions seemed sound. When Lomborg began to publish these findings in a series of newspaper articles in the *Guardian* in 1998, he stirred up a hornet’s nest. Some of his colleagues at the University of Aarhus set up a website to denounce the work. When the whole book came out, their fury only escalated. Altogether, between 1998 and 2002, more than 400 articles appeared in newspapers and

popular magazines either attacking or defending Lomborg and his conclusions.

In general, the debate divides between mostly conservative supporters on one side and progressive, environmental activists and scientists on the other. The *Wall Street Journal* described the *Skeptical Environmentalist* as “superbly documented and readable.” *The Economist* called it “a triumph.” A review in the *Daily Telegraph* (London) declared it “the most important book on the environment ever written.” A review in the *Washington Post* said it is a “richly informative, lucid book, a magnificent achievement.” And, *The Economist*, which started the debate by publishing Lomborg’s first articles, announced that, “this is one of the most valuable books on public policy—not merely on environmental policy—to have been written in the past ten years.”

Among most environmentalists and scientists, on the other hand, Lomborg has become an anathema. A widely circulated list of “Ten Things You Should Know About the *Skeptical Environmentalist*” charged that the book is full of pseudo-scholarship, statistical fallacies, distorted quotations, inaccurate or misleading citations, misuse of data, interpretations that contradict well-established scientific work, and many other serious errors. This list accuses Lomborg of having no professional credentials or training—and having done no professional research—in ecology, climate science, resource economics, environmental policy, or other fields covered by his book. In essence, they complain, “Who is this guy, and how dare he say all this terrible stuff?”

Harvard University Professor E. O. Wilson, one of the world’s most distinguished biologists, deplors what he calls “the Lomborg scam,” and says that he and his kind “are the parasite load on scholars who earn success through the slow process of peer review and approval.” It often seems that more scorn and hatred is focused on those, like Lomborg, who are viewed as turncoats and heretics, than for those who are actually out despoiling the environment and squandering resources.

Perhaps the most withering criticism of Lomborg comes from his reporting of statistics and research results. Stephen Schneider, a distinguished climate scientist from Stanford University who died in August 2010, for instance, writes in *Scientific American*, “Most of [Lomborg’s] nearly 3,000 citations are to secondary literature and media articles. Moreover, even when cited, the peer-reviewed articles come elliptically from those studies that support his rosy view

that only the low end of the uncertainty ranges [of climate change] will be plausible. IPCC authors, in contrast, were subjected to three rounds of review by hundreds of outside experts. They didn’t have the luxury of reporting primarily from the part of the community that agrees with their individual views.”

Lomborg also criticizes extinction rate estimates as much too large, citing evidence from places like Brazil’s Atlantic Forest, where about 90 percent of the forest has been cleared without large numbers of recorded extinctions. Thomas Lovejoy, chief biodiversity adviser to the World Bank, responds, “First, this is a region with very few field biologists to record either species or their extinction. Second, there is abundant evidence that if the Atlantic Forest remains as reduced and fragmented as it is, it will lose a sizable fraction of the species that at the moment are able to hang on.”

Part of the problem is that Lomborg is unabashedly anthropocentric. He dismisses the value of biodiversity, for example. As long as there are plants and animals to supply human needs, what does it matter if a few non-essential species go extinct? In Lomborg’s opinion, poverty, hunger, and human health problems are much more important problems than endangered species or possible climate change. He isn’t opposed to reducing greenhouse gas emissions, for instance, but argues that rather than spend billions of dollars per year to try to meet Kyoto standards, we could provide a healthy diet, clean water, and basic medical services to everyone in the world, thereby saving far more lives than we might do by reducing global climate change. Furthermore, Lomborg believes, solar energy will probably replace fossil fuels within 50 years anyway, making worries about increasing CO<sub>2</sub> concentrations moot.

Lomborg infuriates many environmentalists by being intentionally optimistic, cheerfully predicting that progress in population control, use of renewable energy, and unlimited water supplies from desalination technology will spread to the whole world, thus avoiding crises in resource supplies and human impacts on our environment. Others, particularly Lester Brown of the Worldwatch Institute and Professor Paul Ehrlich of Stanford University, according to Lomborg, seem to deliberately adopt worst-case scenarios.

Protagonists on both sides of this debate use statistics selectively and engage in deliberate exaggeration to make their points. As Stephen Schneider, one of the most prominent anti-Lomborgians, said in an interview in *Discover* in 1989, “[We] are not just scientists but human beings as well. And like most people, we’d like to see the world a better place. To do that we

need to get some broad-based support to capture the public's imagination. That, of course, entails getting loads of media coverage. So we have to offer up scary scenarios, make simplified, dramatic statements, and make little mention of any doubts we might have. Each of us has to decide what the right balance is between being effective and being honest."

In November, 2001, Lomborg was selected Global Leader for Tomorrow by the World Economic Forum, and in February, 2002, he was named director of Denmark's National Environmental Assessment Institute, a position he held until 2004. In 2005, he became an adjunct professor at the Copenhagen Business School, and, in 2006, he became director of the Copenhagen Consensus Center at the school as well. The Center grew out of Lomborg's organization of the 2004 "Copenhagen Consensus," a project that brought top world economists together to prioritize solutions to the planet's biggest problems. The project was repeated in 2006, that time with United Nations' ambassadors, and again in 2008.

In 2007, Lomborg published *Cool It: The Skeptical Environmentalist's Guide to Climate Change*, a continuation of the perspectives he delineated in his first book, thus adding fuel to the ongoing environmental debate. In addition to the use of statistics in environmental issues, his professional interests are simulation of strategies in collective action dilemmas, simulation of party behavior in proportional voting systems, and use of surveys in public administration. Lomborg also recently acted as contributing editor to three other major published works, continuing to fuel the debate on global climate change: *Global Crises, Global Solutions* in 2004, *How to Spend \$50 Billion to Make the World a Better Place* in 2006, and *Solutions for the World's Biggest Problems: Costs and Benefits* in 2007.

As is often the case in complex social issues, there are both truth and error on both sides of this debate. It takes good critical thinking skills to make sense out of the flurry of charges and counter-charges. In the end, what you believe depends on your perspective and your values. Future events will show us whether Bjørn Lomborg or his critics are correct in their interpretations and predictions. In the meantime, it's probably healthy to have the vigorous debate engendered by strongly held beliefs and articulate partisans from many different perspectives.

As of 2010, Lomborg continues to be opposed to the Kyoto Protocol, which seeks to lessen carbon emissions, arguing instead for adaptive human action to deal with a temperature rise in this century that is

inevitable. Lomborg, who had publicly acknowledged his homosexuality, has become a prominent spokesperson for gay rights.

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William Cunningham

London Dumping Convention see  
**Convention on the Prevention of Marine Pollution by Dumping of Waste and Other Matter (1972).**

## Lopez, Barry H.

1945–

American environmental writer

Barry Lopez is a nonfiction writer. He has often called his own nonfiction writing natural history, and he is often categorized as a nature writer. This partially describes his work, but limiting him to that category is misleading, partly because his work transcends the kinds of subjects implicit in that classification. He could as well, for example, be called a travel writer, though that label also does not completely describe his work. He has in addition published a number of unusual works of fiction, and even has one children's book (on *Crow and Weasel*) to his credit.

Barry Lopez was born in Port Chester, New York, but he spent several early childhood years in rural southern California and, at the age of 10, returned to the East to grow up in New York City. He earned a BA degree from the University of Notre Dame, followed by an MAT from the University of Oregon in 1968. His initial goal was to teach, but in the late 1960s he set out to become a professional writer and, since 1970, has earned his living writing (as well as by lecturing and giving readings).

Lopez's nonfiction writing transcends the category of natural history because his real topic, as Rueckert suggests, is the search for human relationships with nature, relationships that are "dignified and honorable." Natural history as a category of literature implies a focus on primeval nature undisturbed by human activity, or at least on nature as it exists in its

own right rather than as humans relate to it. He is a practitioner of what some have called “the new naturalism—a search for the human as mirrored in nature.” Lopez’s focus then is human ecology, the interactions of human beings with the world around them, especially the natural world. Even his most “natural” book of natural history, *Of Wolves and Men*, is not just about the natural history of wolves but about how that species’ existence or “being” in the wild is affected by human perceptions and actions, and it is as well about the image of the wolf in human minds.

His fiction works can be called unusual, partly because they are often presented as brief notes or sketches, and partly because they are frequently blended into and combined with legends, factual observations of nature, and personal meditations. Everything Lopez writes, however, is in the form of a story, whether fiction, natural history, folklore, or travel writing. His story-telling makes all of his writing enjoyable to read, easy to access and ingest, and often memorable.

Lopez as a story teller, occupies the spaces between truth-teller and mystic, between natural scientist and folklorist. He has written of wolves and humans, and then of people with blue skins who could not speak and, apparently, subsisted only on clean air. He writes of the land in reality and the land in our imaginations, frequently in the same text. His writings on natural history provide the reader with great detail about the places in the world he describes, but his fiction can force the shock of recognition of places in the mind. In 1998, Lopez was a National Magazine Award in Fiction finalist for *The Letters of Heaven*, and, in 1999, he received the Lannan residency fellowship.

Barry Lopez is in part a naturalist, in the best sense of that word. He is also something of an anthropologist. He is a student of folklore and mythology. He travels widely, but he studies his own home place and local environment intently.

Recent publications include *Resistance* in 2004 and *Home Ground: Language for an American Landscape* in 2010. Lopez has received numerous awards that include a National Book Award, a Guggenheim Fellowship, and the John Burroughs Medal.

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Gerald L. Young

## Los Angeles Basin

The second most populous city in the United States, Los Angeles (LA) has perhaps the most fascinating environmental history of any urban area in the country. The Los Angeles Basin, into which more than eighty communities of Los Angeles County are crowded, is a trough-shaped region bounded on three sides by the Santa Monica, Santa Susana, San Gabriel, San Bernardino, and Santa Ana Mountains. On its fourth side, the county looks out over the Pacific Ocean.

The earliest settlers arrived in the Basin in 1769 when Spaniard Gaspar de Portolá (1767–1784) and his expedition set up camp along what is now known as the Los Angeles River. The site was eventually given the name El Pueblo de la Reyna de Los Angeles (the Town of the Queen of the Angels).

For the first century of its history, Los Angeles grew very slowly. Its population in 1835 was only 1,250. By the end of the century, however, the first signs of a new trend appeared. In response to the promises of sunshine, warm weather, and “easy living,” immigrants from the East Coast began to arrive in the Basin. Its population more than quadrupled between 1880 and 1890, from 11,183 to 50,395.

The rush was on, and it has scarcely abated today. The metropolitan population grew from 102,000 in 1900, to 1,238,000 in 1930, to 3,997,000 in 1950, to 9,838,000 in 2000, to approximately 10,441,000 in 2010 (based on figures from the California State Demographic Research Unit and U.S. Census Bureau).

The pollution facing Los Angeles today results from a complex mix of natural factors and intense population growth. The first reports of Los Angeles’s famous photochemical smog go back to 1542. The “many smokes” described by Juan Cabrillo in that year were not the same as today’s smog, but they occurred because of

geographic and climatic conditions that are responsible for modern environmental problems.

The Los Angeles Basin has one of the highest probabilities of experiencing thermal inversions of any area in the United States. An inversion is an atmospheric condition in which a layer of cold air becomes trapped beneath a layer of warm air. That situation is just the reverse of the most normal atmospheric condition in which a warm layer near the ground is covered by a cooler layer above it. The warm air has a tendency to rise, and the cool air has a tendency to sink. As a result, natural mixing occurs. In contrast, when a thermal inversion occurs, the denser cool air remains near the ground while the less dense air above it tends to stay there.

Smoke and other pollutants released into a thermal inversion are unable to rise upward and tend to be trapped in the cool lower layer. Furthermore, horizontal movements of air, which might clear out pollution in other areas, are blocked by the mountains surrounding LA county. The lingering haze of the “many smokes” described by Cabrillo could have been nothing more than the smoke from campfires trapped by inversions that must have existed even in 1542.

As population and industrial growth occurred in Los Angeles during the second half of the twentieth century, the amount of pollutants trapped in thermal inversions also grew. By the 1960s, Los Angeles had become a classic example of how modern cities were being choked by their own wastes.

The geographic location of the Los Angeles Basin contributes another factor to Los Angeles’s special environmental problems. Sunlight warms the Basin for most of the year and attracts visitors and new residents. Solar energy fuels reactions between components of Los Angeles’s polluted air, producing chemicals even more toxic than those from which they came. The complex mixture of noxious compounds produced in Los Angeles has been given the name *smog*, reflecting the combination of human (*smoke*) and natural factors (*fog*) that make it possible. Smog, also called ground level ozone, can cause a myriad of health problems including breathing difficulties, coughing, chest pains, and congestion. It may also exacerbate asthma, heart disease, and emphysema.

As Los Angeles grew in area and population, conditions which guaranteed a continuation of smog increased. The city and surrounding environs eventually grew to cover 400 square miles (1,036 square kilometers), a widespread community held together by freeways and cars. A major oil company bought the city’s public transit system, then closed it down,

ensuring the wide use of automobile transportation. Thus, gases produced by the combustion of gasoline added to the city’s increasing pollution levels.

Los Angeles and the State of California have been battling air pollution for over thirty years. California now has some of the strictest emission standards of any state in the nation, and LA has begun to develop mass transit systems once again. For an area that has long depended on the automobile, however, the transition to public transportation has not been an easy one. But some measurable progress has been made in controlling ground level ozone. In 1976, smog was detectable at levels above the state standard acceptable average of 0.09 ppm a staggering 237 days out of the year. By 2001, the number had dropped to 121 days. By the last few years of the decade (i.e., circa 2010) there were very few smog alerts issued in the LA area. Still, much work remains to be done; the American Lung Association’s 2010 report covering the years 2006, 2007, and 2008 listed Los Angeles as the nation’s most ozone-polluted city and county. Although Los Angeles continues to be ranked atop two of the three most-polluted lists, it has nevertheless seen continued improvements in recent years in reducing both particle pollution and ozone levels.

Another of Los Angeles’s population-induced problems is its enormous demand for water. As early as 1900, it was apparent that the Basin’s meager water resources would be inadequate to meet the needs of the growing urban area. The city turned its sights on the Owens Valley, 200 miles (322 km) to the northeast in the Sierra Nevada. After a lengthy dispute, the city won the right to tap the water resources of this distant valley. A 200-mile water diversion public works project, the Los Angeles Aqueduct, was completed in 1913.

This development did not satisfy the area’s growing need for water, however, and in the 1930s, a second canal was built. This canal, the Colorado River Aqueduct, carries water from the Colorado River to Los Angeles over a distance of 444 miles (714 km). Even this proved to be inadequate, however, and the search for additional water sources has gone on almost without stop. In fact, one of the great on-going debates in California is between legislators from Northern California, where the state’s major water resources are located, and their counterparts from Southern California, where the majority of the state’s people live. Since the latter contingent is larger in number, it has won many of the battles so far over distribution of the state’s water resources.

Of course, Los Angeles has also experienced many of the same problems as urban areas in other parts of

the world, regardless of its special geographical character. For example, the Basin was at one time a lush agricultural area, with some of the best soil and growing conditions found anywhere. From 1910 to 1950, Los Angeles County was the wealthiest agricultural region in the nation. But as urbanization progressed, more and more farmland was sacrificed for commercial and residential development. During the 1950s, an average of 3,000 acres (1,215 hectares) of farmland per day was taken out of production and converted to residential, commercial, industrial, or transportation use.

One of the mixed blessings faced by residents of the Los Angeles Basin is the existence of large oil reserves in the area. On the one hand, the oil and natural gas contained in these reserves is a valuable natural resource. On the other hand, the presence of working oil wells in the middle of a modern metropolitan area creates certain problems. One is aesthetic, as busy pumps in the midst of barren or scraggly land contrasts with sleek new glass and steel buildings.

Another petroleum-related difficulty is that of land subsidence. As oil and gas are removed from underground, land above it begins to sink. This phenomenon was first observed as early as 1937. Over the next two decades, subsidence had reached 16 feet (5 m) at the center of the Wilmington oil fields. Horizontal shifting of up to 9 feet (2.74 m) was also recorded.

Estimates of subsidence of up to 45 feet (14 m) spurred the county to begin remedial measures in the 1950s. These measures included the construction of levees to prevent seawater from flowing into the subsided area and the repressurizing of oil zones with water injection. These measures have been largely successful, at least to the present time, in halting the subsidence of the oil field.

Los Angeles' annual ritual of pumping and storing water into underground aquifers in anticipation of the long, dry summer season has also been responsible for elevation shifts in the region. Researchers with the United States Geological Survey (USGS) observed that the ground surface of a 12 by 24 mile (20 by 40 km) area of Los Angeles rises and falls approximately 3.9–4.4 inches (10–11 cm) annually in conjunction with the water storage activities.

As if population growth itself were not enough, the Basin poses its own set of natural challenges to the community. For example, the area has a typical Mediterranean climate with long hot summers, and short winters with little rain. Summers are also the occasion of Santa Ana winds, severe windstorms in which hot air sweeps down out of the mountains and across the Basin. Urban and forest fires that originate during a

Santa Ana wind not uncommonly go out of control causing enormous devastation to both human communities and the natural environment.

The Los Angeles Basin also sits within a short distance of one of the most famous fault systems in the world, the San Andreas Fault. Other minor faults spread out around Los Angeles on every side. Earthquakes are common in the Basin, and the most powerful earthquake in Southern California history struck Los Angeles in 1857 (8.25 magnitude). Sixty miles (97 kilometers) from the quake's epicenter, the tiny community of Los Angeles lost the military base at Fort Tejon although only two lives were lost in the disaster. Like San Franciscans, residents of the Los Angeles Basin live not wondering if another earthquake will occur, but only when "The Big One" will hit.

*See also* Air quality; Atmospheric inversion; Environmental Protection Agency (EPA); Mass transit; Oil drilling.

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David E. Newton  
Paula Anne Ford-Martin

## Love Canal

A hazardous waste site, the Love Canal neighborhood of Niagara Falls, New York, was largely evacuated of its residents in 1980 after testing revealed high levels of toxic chemicals and genetic damage.

Between 1942 and 1953, the Olin Corporation and the Hooker Chemical Corporation buried over 20,000 tons of deadly chemical waste in the canal, much of which is known to be capable of causing



**A bulldozer pushes soil away from one of the tanks used to hold toxic waste in Love Canal, New York. As a result, the town closed down for contamination caused by the Hooker Chemical Company. (Joe Traver/Liaison/Getty Images)**

cancer, birth defects, miscarriages, and other health disorders. In 1953, Hooker deeded the land to the local board of education but did not clearly warn of the deadly nature of the chemicals buried there, even when homes and playgrounds were built in the area.

The seriousness of the situation became apparent in 1976, when years of unusually heavy rains raised the water table and flooded basements. As a result, houses began to reek of chemicals, and children and pets experienced chemical burns on their feet. Plants, trees, gardens, and even some pets died.

Soon neighborhood residents began to experience an extraordinarily high number of illnesses, including cancer, miscarriages, and deformities in infants. Alarmed by the situation, and frustrated by inaction on the part of local, state, and federal governments, a 27-year-old housewife named Lois Gibbs began to organize her neighbors. In 1978, they formed the Love Canal Homeowners Association and began a two-year fight to have the government relocate them into another area.

In August 1978, the New York State Health Commissioner recommended that pregnant women and young children be evacuated from the area, and subsequent studies documented the extraordinarily high rate of birth defects, miscarriages, genetic damage and other health affects. In 1979, for example, of seventeen pregnant women in the neighborhood, only two gave birth to normal children. Four had miscarriages, two suffered stillbirths, and nine had babies with defects.

Eventually, the state of New York declared the area “a grave and imminent peril” to human health. Several hundred families were moved out of the area, and the others were advised to leave. The school was closed and barbed wire placed around it. In October 1980, President Jimmy Carter declared Love Canal a national disaster area.

In the end, some sixty families decided to remain in their homes, rejecting the government’s offer to buy their properties. The cost for the cleanup of the area has been estimated at \$250 million. Twelve years after the neighborhood was abandoned, the state of New

York approved plans to allow families to move back to the area, and homes were allowed to be sold.

Love Canal is not the only hazardous waste site in the country that has become a threat to humans—only the best known. Indeed, the United States Environmental Protection Agency has estimated that up to 2,000 hazardous waste disposal sites in the United States may pose “significant risks to human health or the environment,” and has called the toxic waste problem “one of the most serious problems the nation has ever faced.”

*See also* Contaminated soil; Hazardous waste site remediation; Leaching; Storage and transport of hazardous material; Stringfellow Acid Pits; Toxic substance.

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Lewis G. Regenstein

## Lovelock, Sir James E.

1919–  
English chemist

Sir James Lovelock is a scientist and environmentalist who is the framer of the Gaia hypothesis and developer of, among many other devices, the electron capture gas chromatographic detector. The highly selective nature and great sensitivity of this detector made possible not only the identification of chlorofluorocarbons in the atmosphere, but led to the measurement of many pesticides, thus providing the raw data that underlie Rachel Carson’s *Silent Spring*.

Sir James Lovelock was born in Letchworth Garden City, earned his degree in chemistry from Manchester University, and took a Ph.D. in medicine from the London School of Hygiene and Tropical Medicine. His early studies in medical topics included work at Harvard University Medical School and Yale University. He spent three years as a professor of chemistry at



**English chemist Sir James Ephraim Lovelock.** (Photo Bruno Comby/EFN-Environmentalists For Nuclear Energy - [www.ecolo.org](http://www.ecolo.org))

Baylor University College of Medicine in Houston, Texas. It was from that position that his work with the Jet Propulsion Laboratory for NASA began.

The Gaia hypothesis, Sir James Lovelock’s most significant contribution to date, grew out of the work of Lovelock and his colleagues at the lab. While attempting to design experiments for life detection on Mars, Sir James Lovelock, Dian Hitchcock, and later Lynn Margulis, posed the question, “If I were a Martian, how would I go about detecting life on Earth?” Looking in this way, the team soon realized that our atmosphere is a clear sign of life and it is totally impossible as a product of strictly chemical equilibria. One consequence of viewing life on this or another world as a single homeostatic organism is that energy will be found concentrated in certain locations rather than spread evenly, frequently, or even predominantly, as chemical energy. Thus, against all probability, the earth has an atmosphere containing about 21 percent free oxygen and has had about this much for millions of years. Sir James Lovelock bestowed on this superorganism comprising the whole of the biosphere the name “Gaia,” one spelling of the name of the Greek earth-goddess, at the suggestion of a neighbor, William Golding, author of *Lord of the Flies*.

Lovelock's hypothesis was initially attacked as requiring the whole of life on earth to have purpose, and hence in some sense, common intelligence. Lovelock then developed a computer model called "Daisyworld" in which the presence of black and white daisies controlled the global temperature of the planet to a nearly constant value despite a major increase in the heat output of its sun. The concept that the biosphere keeps the environment constant has been attacked as sanctioning environmental degradation, and accusers took a cynical view of Lovelock's service to the British petrochemical industry. However, the hypothesis has served the environmental community well in suggesting many ideas for further studies, virtually all of which have given results predicted by the hypothesis.

Since 1964, Lovelock has operated a private consulting practice, first out of his home in Bowerchalke, near Salisbury, England, and later from a home near Launceston, Cornwall. He has authored over 200 scientific papers, covering research that ranged from techniques for freezing and successfully reviving hamsters to global systems science, which he has proposed to call geophysiology. Lovelock has been honored by his peers worldwide with numerous awards and honorary degrees, including Fellow of the Royal Society. He was also named a Commander of the British Empire by Queen Elizabeth in 1990.

As of 2010, Lovelock is still active at 91 years of age. He has been a prolific author. Books written in the last decade include *Homage to Gaia: The Life of an Independent Scientist* in 2000, *Gaia: The Practical Science of Planetary Medicine* in 2001, *Gaia: Medicine for an Ailing Planet* in 2005, *The Revenge of Gaia: Why the Earth is Fighting Back - and How We Can Still Save Humanity* in 2006, and *The Vanishing Face of Gaia: A Final Warning: Enjoy It While You Can* in 2009. As is evident from the final few titles, Lovelock has become increasingly pessimistic over the future of the Earth.

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James P. Lodge Jr.

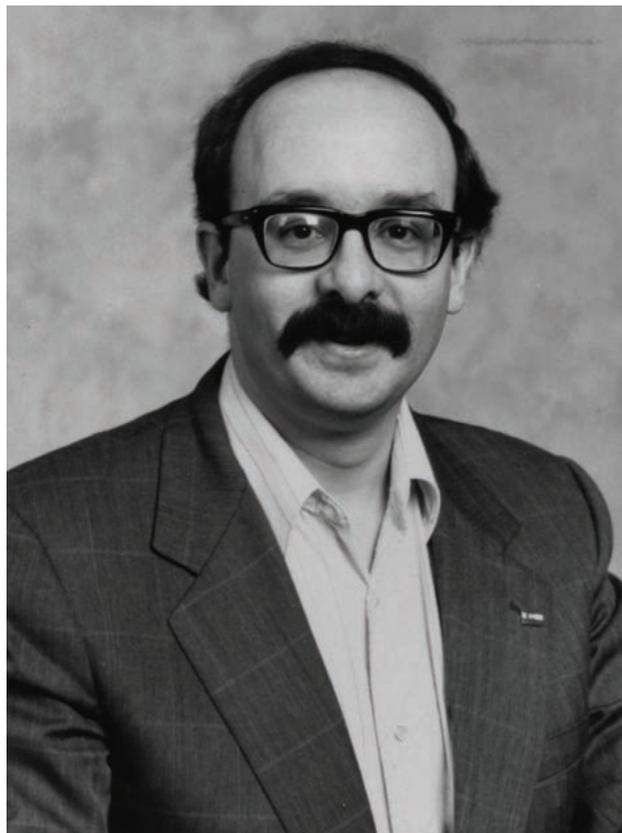
## Lovins, Amory B.

1947–

American physicist and energy conservationist

Amory Lovins is a physicist specializing in environmentally safe and sustainable energy sources. He is the chair and chief scientist of the Rocky Mountain Institute, whose principle concern is seeking for-profit energy and resource uses that are sustainable.

Born in 1947 in Washington, D.C., Lovins attended Harvard and Oxford universities. He has had a distinguished career as an educator and scientist. After resigning his academic post at Oxford in 1971, Lovins became the British representative of Friends of the Earth. He has been Regents' Lecturer at the University of California at Berkeley and has served as a consultant to the United Nations and other international and environmental organizations. Lovins is a leading critic of hard energy paths and an outspoken proponent of soft alternatives.



**Amory Lovins.** (Reproduced by permission of the Rocky Mountain Institute)

According to Lovins, an energy path is difficult if the route from source to use is complex and circuitous requires extensive, expensive, and highly complex technological means and centralized power to produce, transmit, and store the energy produces toxic wastes or other unwanted side effects has hazardous social uses or implications and tends over time to harden even more, as other options are foreclosed or precluded as the populace becomes ever more dependent on energy from a particular source. A hard energy path can be seen in the case of fossil fuel energy. Once readily abundant and cheap, petroleum fueled the internal combustion engines and other machines on which humans have come to depend, but as that energy source becomes scarcer, oil companies must go farther afield to find it, potentially causing more environmental damage. As oil supplies run low and become more expensive, the temptation is to sustain the level of energy use by turning to another, and even harder, energy path—nuclear energy.

With its complex technology, its hazards, its long-lived and highly toxic wastes, its myriad military uses, and the possibility of its falling into the hands of dictators or terrorists, nuclear power is perhaps the hardest energy path. No less important are the social and political implications of this hard path: Radioactive wastes will have to be stored somewhere nuclear power plants and plutonium transport routes must be guarded we must make trade-offs between the ease, convenience, and affluence of people presently living and the health and well-being of future generations and so on. A hard energy path is also one that, once taken, forecloses other options because, among other considerations, the initial investment and costs of entry are so high as to render the decision, once made, nearly irreversible. The longer term economic and social costs of taking the hard path, Lovins argues, are astronomically high and incalculable.

Soft energy paths, by contrast, are shorter, more direct, less complex, cheaper (at least over the long run), are inexhaustible and renewable, have few if any unwanted side-effects, have minimal military uses, and are compatible with decentralized local forms of community control and decision-making. The old windmill on the family farm offers an early example of such a soft energy source newer versions of the windmill, adapted to the generation of electricity, supply a more modern example. Other soft technologies include solar energy, biomass furnaces burning peat, dung or wood chips, and methane from the rotting of vegetable

matter, manure, and other cheap, plentiful, and readily available organic material.

Much of Lovins's work has dealt with the technical and economic aspects, as well as the very different social impacts and implications, of these two competing energy paths. A resource consultant agency, the Rocky Mountain Institute, was founded by Lovins and his wife, Hunter in 1982. In 1989, Lovins and his wife won the Onassis Foundation's first Delphi Prize for their "essential contribution towards finding alternative solutions to energy problems."

Other honors include ten honorary doctorates, election as a Fellow of the American Association for the Advancement of Science, Volvo Environmental Prize, Blue Planet Prize, Hero of the Planet Award, and Time magazine designation in 2009 as one of the planet's 100 most influential people.

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Terence Ball

## Lowest Achievable Emission Rate

Governments have explored a number of mechanisms for reducing the amount of pollutants released to the air by factories, power plants, and other stationary sources. One mechanism is to require that a new or modified installation releases no more pollutants than determined by some law or regulation determining the lowest level of pollutants that can be maintained by existing technological means. These limits are known as the Lowest Achievable Emission Rate (LAER). The Clean Air Act of 1970 required, for example, that any new source in an area where minimum air pollution standards were not being met had to conform to the LAER standard.

See also Air quality; Best Available Control Technology; Emission standards.

LUST see **Leaking underground storage tank.**

## Low-head hydropower

The term hydropower often suggests giant dams capable of transmitting tens of thousands of cubic feet of water per minute. Such dams are responsible for only about six percent of all the electricity produced in the United States today.

Hydropower facilities do not have to be massive buildings. At one time in the United States—and still, in many places around the world—electrical power is generated at low-head facilities, dams where the vertical drop through which water passes is a relatively short distance and/or where water flow is relatively modest. The first commercial hydroelectric facility in the world consisted of a waterwheel on the Fox River in Appleton, Wisconsin. The facility, opened in 1882, generated enough electricity to operate lighting systems at two paper mills and one private residence.

Electrical demand grew rapidly in the United States during the early twentieth century, and hydropower supplied much of that demand. By the 1930s, nearly 40 percent of the electricity used in this country was produced by hydroelectric facilities. In some Northeastern states, hydropower accounted for 55–85 percent of the electricity produced.

A number of social, economic, political, and technical changes soon began to alter that pattern. Perhaps most important was the vastly increased efficiency of power plants operated by fossil fuels. The fraction of electrical power from such plants rose to more than 80 percent by the 1970s.

In addition, the United States began to move from a decentralized energy system in which many local energy companies met the needs of local communities, to large, centralized utilities that served many counties or states. In the 1920s, more than 6,500 electric power companies existed in the nation. As the government recognized power companies as monopolies, that number began to drop rapidly. Companies that owned a handful of low-head dams on one or more rivers could no longer compete with their giant cousins that operated huge plants powered by oil, natural gas, or coal.

As a result, hundreds of small hydroelectric plants around the nation were closed down. According to one study, over 770 low-head hydroelectric plants were abandoned between 1940 and 2000. In some states, the loss of low-head generating capacity was especially striking. Between 1950 and 1973 alone, Consumers Power Company, one of Michigan's two electric utilities, sold off forty-four hydroelectric plants.

Some experts believe that low-head hydropower should receive more attention today. Social and technical factors still prevent low-head power from seriously competing with other forms of energy on a national scale. But it may meet the needs of local communities in special circumstances. For example, a project has been undertaken to rehabilitate four low-head dams on the Boardman River in northwestern Michigan. The new facility is expected to increase the electrical energy available to nearby Traverse City and adjoining areas by about 20 percent.

Low-head hydropower appears to have a more promising future in less-developed parts of the world. For example, China has more than 77,000 low-head dams that generate a total of 10,000 megawatts of power. Scores of rural townships depend on such plants to meet their electrical needs. Low-head hydropower is also of increasing importance in nations with fossil-fueled plants and growing electricity needs. Among the fastest growing of these are Peru, India, the Philippines, Costa Rica, Thailand, and Guatemala.

See also Alternative energy sources; Electric utilities; Wave power.

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David E. Newton

Low-inut agriculture see **Sustainable agriculture.**

## Low-level radioactive waste

Low-level radioactive waste consists of materials used in a variety of medical, industrial, commercial, and research applications. They tend to release a low level of radiation with a short half-life. Although care must be taken in handling such materials, they normally pose little health or environmental risk to healthy individuals subjected only to short-term exposure.

*See also* Hanford Nuclear Reservation; High-level radioactive waste; Radioactive waste; Radioactivity.

## Lyell, Sir Charles

1797–1875

Scottish geologist

Lyell was born in Kinnordy, Scotland, the son of well-to-do parents. When Lyell was less than a year old, his father moved his family to the south of England where he leased a house near the New Forest in Hampshire. Lyell spent his boyhood there, surrounded by his father's collection of rare plants. At the age of seven, Lyell became ill with pleurisy and while recovering began to collect and study insects. As a young man he entered Oxford to study law, but he also became interested in mineralogy after attending lectures by the noted geologist William Buckland. Buckland advocated the theories of Abraham Gottlob Werner, a neptunist who postulated that a vast ocean once covered the earth and that the various rocks resulted from chemical and mechanical deposition underwater, over a long period of time. This outlook was more in keeping with the Biblical story of Genesis than that of the vulcanists or plutonists who subscribed to the idea that volcanism, along with erosion and deposition, were the major forces sculpting the Earth. While on holidays with his family, Lyell made the first of many observations in hopes of confirming the views of Buckland and Werner. However, he continued to study law and was eventually called to

the bar in 1822. Lyell practiced law until 1827 while still devoting time to geology.

Lyell traveled to France and Italy where he collected extensive data which caused him to reject the neptunist philosophy. He instead drew the conclusion that volcanic activity and erosion by wind and weather were primarily responsible for the different strata rather than the deposition of sediments from a "world ocean." He also rejected the catastrophism of Georges Cuvier, who believed that global catastrophes, such as the biblical Great Flood, periodically destroyed life on Earth, thus accounting for the different fossils found in each rock layer. Lyell believed change was a gradual process that occurred over a long period of time at a constant rate. This theory, known as uniformitarianism, had been postulated fifty years earlier by Scottish geologist James Hutton. It was Lyell, though, who popularized uniformitarianism in his work *The Principles of Geology*, which is now considered a classic text in this field. By 1850, his views and those of Hutton had become the standard among geologists. However, unlike many of his colleagues, Lyell adhered so strongly to uniformitarianism that he rejected the possibility of even limited catastrophe. Today, most scientists accept that catastrophes such as meteor impacts played an important, albeit supplemental, role in the earth's evolution.

In addition to his championing of uniformitarianism, Lyell named several divisions of geologic time such as the Eocene, Miocene, and Pliocene Epochs. He also estimated the age of some of the oldest fossil-bearing rocks known at that time, assigning them the then startling figure of 240 million years. Even though Lyell came closer than his contemporaries to guessing the correct age, it is still less than half the currently accepted figure used by geologists today. While working on *The Principles of Geology*, Lyell formed a close friendship with Charles Darwin who had outlined his evolutionary theory in *The Origin of Species*. Both scientists quickly accepted the work of the other (Lyell was one of two scientists who presented Darwin's work to the influential Linnaean Society). Lyell even extended evolutionary theory to include humans at a time when Darwin was unwilling to do so. In his *The Antiquity of Man* (1863), Lyell argued that humans were much more ancient than creationists (those who interpreted Book of Genesis literally) and catastrophists believed, basing his ideas on archaeological artifacts such as ancient ax heads. Lyell was

knighted for his work in 1848 and created a baronet in 1864. He also served as president of the Geological Society and set up the Lyell Medal and the Lyell Fund. He died in 1875 while working on the twelfth edition of his *Principles of Geology*.

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## Lysimeter

A device for (1) measuring percolation and leaching losses from a column of soil under controlled conditions, or (2) for measuring gains (precipitation, irrigation, and condensation) and losses (evapotranspiration) by a column of soil. Many kinds of lysimeters exist: weighing lysimeters record the weight changes of a block of soil; non-weighing lysimeters enclose a block of soil so that losses or gains in the soil must occur through the surface suction lysimeters are devised for removing water and dissolved chemicals from locations within the soil.

*Lythrum salicaria* see **Purple loosestrife**.





## MacArthur, Robert Helmer

1930–1972

Canadian biologist and ecologist

Few scientists have combined the skills of mathematics and biology to open new fields of knowledge the way Robert Helmer MacArthur did in his pioneering work in evolutionary ecology. Guided by a wide-ranging curiosity for all things natural, MacArthur had a special interest in birds and much of his work dealt primarily with bird populations. His conclusions, however, were not specific to ornithology but transformed both population biology and biogeography in general.

MacArthur was born in Toronto, Ontario, Canada, on April 7, 1930, the youngest son of John Wood and Olive (Turner) MacArthur. While Robert spent his first seventeen years attending public schools in Toronto, his father shuttled between the University of Toronto and Marlboro College in Marlboro, Vermont, as a professor of genetics. Robert MacArthur graduated from high school in 1947 and immediately immigrated to the United States to attend Marlboro College. He received his undergraduate degree from Marlboro in 1951 and a master's degree in mathematics from Brown University in 1953. Upon receiving his doctorate from Yale University in 1957 under the direction of G. Evelyn Hutchinson, MacArthur headed for England to spend the following year studying ornithology with David Lack at Oxford University. When he returned to the United States in 1958, he was appointed Assistant Professor of Biology at the University of Pennsylvania.

As a doctoral student at Yale, MacArthur had already proposed an ecological theory that encompassed both his background as a mathematician and his growing knowledge as a naturalist. While at Pennsylvania, MacArthur developed a new approach to the frequency distribution of species. One of the problems confronting ecologists is measuring the numbers of a

specific species within a geographic area—one cannot just assume that three crows in a 10-acre corn field means that in a 1000-acre field there will be three hundred crows. Much depends on the number of species occupying a habitat, species competition within the habitat, food supply, and other factors. MacArthur developed several ideas relating to the measurement of species within a known habitat, showing how large masses of empirical data relating to numbers of species could be processed in a single model by employing the principles of information theory. By taking the sum of the product of the frequencies of occurrences of a species and the logarithms of the frequencies, complex data could be addressed more easily.

The most well-known theory of frequency distribution MacArthur proposed in the late 1950s is the so-called broken stick model. This model had been suggested by MacArthur as one of three competing models of frequency distribution. He proposed that competing species divide up available habitat in a random fashion and without overlap, like the segments of a broken stick. In the 1960s, MacArthur noted that the theory was obsolete. The procedure of using competing explanations and theories simultaneously and comparing results, rather than relying on a single hypothesis, was also characteristic of MacArthur's later work.

In 1958, MacArthur initiated a detailed study of warblers in which he analyzed their niche division, or the way in which the different species will to be best suited for a narrow ecological role in their common habitat. His work in this field earned him the Mercer Award of the Ecological Society of America. In the 1960s, he studied the so-called “species-packing problem.” Different kinds of habitat support widely different numbers of species. A tropical rain forest habitat, for instance, supports a great many species, while arctic tundra supports relatively few. MacArthur proposed that the number of species crowding a given habitat correlates to niche breadth. The book *The*

*Theory of Island Biogeography*, written with biodiversity expert Edward O. Wilson and published in 1967, applied these and other ideas to isolated habitats such as islands. The authors explained the species-packing problem in an evolutionary light, as an equilibrium between the rates at which new species arrive or develop and the extinction rates of species already present. These rates vary with the size of the habitat and its distance from other habitats.

In 1965, MacArthur left the University of Pennsylvania to accept a position at Princeton University. Three years later, he was named Henry Fairfield Osborn Professor of Biology, a chair he held until his death. In 1971, MacArthur discovered that he suffered from a fatal disease and had only a few years to live. He decided to concentrate his efforts on encapsulating his many ideas in a single work. The result, *Geographic Ecology: Patterns in the Distribution of Species*, was published shortly before his death the following year. Besides a summation of work already done, *Geographic Ecology* was a prospectus of work still to be carried out in the field.

MacArthur was a Fellow of the American Academy of Arts and Science. He was also an Associate of the Smithsonian Tropical Research Institute, and a member of both the Ecological Society and the National Academy of Science. He married Elizabeth Bayles Whittemore in 1952; they had four children: Duncan, Alan, Donald, and Elizabeth. Robert MacArthur died of renal cancer in Princeton, New Jersey, on November 1, 1972, at the age of 42.

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## Mad cow disease

Mad cow disease, a relatively newly discovered malady, was first identified in Britain in 1986, when farmers noticed that their cows' behavior had changed. The cows began to shake and fall, became unable to walk or even stand, and eventually died or had to be killed. It was later determined that a variation of this fatal neurological disease, formally known as Bovine

Spongiform Encephalopathy (BSE), could be passed on to humans.

It is still not known to what extent the population of Britain and perhaps other countries is at risk from consumption of contaminated meat and animal by-products. The significance of the BSE problem lies in its as yet unquantifiable potential to not only damage Britain's multi-billion dollar beef industry, but also to endanger millions of people with the threat of a fatal brain disease.

A factor that stood out in the autopsies of infected animals was the presence of holes and lesions in the brains, which were described as resembling a sponge or Swiss cheese. This was the first clue that BSE was a subtype of untreatable, fatal brain diseases called transmissible spongiform encephalopathies (TSEs). These include a very rare human malady known as Creutzfeldt-Jakob Disease (CJD), which normally strikes just one person in a million, usually elderly or middle-aged. In contrast to previous cases of CJD, the new bovine-related CJD in humans is reported to affect younger people, and manifests with unusual psychiatric and sensory abnormalities that differentiate it from the endemic CJD. The BSE-related CJD has a delayed onset that includes shaky limb movements, sudden muscle spasms, and dementia.

As the epidemic of BSE progressed, the number of British cows diagnosed began doubling almost yearly, growing from some 7,000 cases in 1989, to 14,000 in 1990, to over 25,000 in 1991. The incidence of CJD in Britain was simultaneously increasing, almost doubling between 1990 and 1994 and reaching 55 cases by 1994. In response to the problem and growing public concern, the government's main strategy was to issue reassurances. However, it did undertake two significant measures to try to safeguard public health. In July 1988, it ostensibly banned meat and bone meal from cow feed, but failed to strictly enforce the action. In November 1989, a law that intended to remove those bovine body parts considered to be the most highly infective (brain, spinal cord, spleen, tonsils, intestines, and thymus) from the public food supply was passed. A 1995 government report revealed that half of the time, the law was not being adhered to by slaughterhouses. Thus, livestock—and the public—continued to be potentially exposed to BSE.

As the disease continued to spread, so did public fears that it might be transmissible to humans, and could represent a serious threat to human health. But the British government, particularly the Ministry of Agriculture, Fisheries, and Food (MAFF), anxious to protect the multibillion dollar cattle industry, insisted

that there was no danger to humans. However, on March 20, 1996, in an embarrassing reversal, the government officially admitted that there could be a link between BSE and the unusual incidence of CJD among young people. At the time, fifteen people had been newly diagnosed with CJD. Shocking the nation and making headlines around the world, the Minister of Health Stephen Dorrell announced to the House of Commons that consumption of contaminated beef was “the most likely explanation” for the outbreak of a new variant CJD in ten people under the age of forty-two, including several teenagers. Four dairy farmers, including some with infected herds, had also contracted CJD, as had a Frenchman who died in January 1996.

British authorities estimated that some 163,000 British cows had contracted BSE. But other researchers, using the same database, put the figure at over 900,000, with 729,000 of them having been consumed by humans. In addition, an unknown number had been exported to Europe, traditionally a large market for British cattle and beef. Many non-meat products may also have been contaminated. Gelatin, made from ligaments, bones, skin, and hooves, is found in ice cream, lipstick, candy, and mayonnaise; keratin, made from hooves, horns, nails, and hair, is contained in shampoo; fat and tallow are used in candles, cosmetics, deodorants, soap, margarine, detergent, lubricants, and



**Cattle such as the one pictured here, which are affected by BSE, experience progressive degeneration of the nervous system.** (Science Source/Photo Researchers, Inc.)

pesticides; and protein meal is made into medical and pharmaceutical products, fertilizer, and food additives. Bone meal from dead cows is used as fertilizer on roses and other plants, and is handled and often inhaled by gardeners.

In reaction to the government announcement, sales of beef dropped by 70 percent, cattle markets were deserted, and even hamburger chains stopped serving British beef. Prime Minister Major called the temporary reaction “hysteria” and blamed the press and opposition politicians for fanning it.

On March 25, 1996, the European Union banned the import of British beef, which had since 1990 been excluded from the United States and fourteen other countries. Shortly afterwards, in an attempt to have the European ban lifted, Britain announced that it would slaughter all of its 1.2 million cows over the age of 30 months (an age before which cows do not show symptoms of BSE), and began the arduous task of killing and incinerating 22,000 cows a week. The government later agreed to slaughter an additional 100,000 cows considered most at risk from BSE.

A prime suspect in causing BSE is a by-product derived from the rendering process, in which the unusable parts of slaughtered animals are boiled down or “cooked” at high temperatures to make animal feed and other products. One such product, called meat and bone meal (MBM), is made from the ground-up, cooked remains of slaughtered livestock—cows, sheep, chicken, and hogs—and made into nuggets of animal feed. Some of the cows and sheep used in this process were infected with fatal brain disease. (Although MBM was ostensibly banned as cattle feed in 1988, spinal cords continued to be used.)

It is theorized that sheep could have played a major role in initially infecting cows with BSE. For over two hundred years, British sheep have been contracting scrapie, another TSE that results in progressive degeneration of the brain. Scrapie causes the sheep to tremble and itch, and to “scrape” or rub up against fences, walls, and trees to relieve the sensation. The disease, first diagnosed in British sheep in 1732, may have recently jumped the species barrier when cows ate animal feed that contained brain and spinal cord tissue from diseased sheep. In 1999 the World Health Organization (WHO) implored high-risk countries to assess outbreaks of BSE-like manifestations in sheep and goat stocks. The U.S. Centers for Disease Control and Prevention (CDC) reports that the British BSE epidemic peaked in early 1993, at which time nearly one thousand new cases per week were being identified. In 2010, the CDC reported that

from the beginning of the BSE epidemic up until the end of 2008, approximately 185,000 cases of BSE had been confirmed in the United Kingdom; those cases represented infections in over 35,000 separate herds of cattle.

Scrapie, and perhaps these other spongiform brain diseases, are believed to be caused not by a virus (as originally thought) but rather by a form of infectious protein-like particles called prions, which are extremely tenacious, surviving long periods of high intensity cooking and heating. They are, in effect, a new form of contagion. The first real insights into the origins of these diseases were gathered in the 1950s by Dr. D. Carleton Gajdusek, who was awarded the 1976 Nobel Prize in Medicine for his work. His research on the fatal degenerative disease “kuru” among the cannibals of Papua, New Guinea, which resulted in the now-familiar brain lesions and cavities, revealed that the malady was caused by consuming or handling the brains of relatives who had just died.

In the United States, Department of Agriculture officials say that the risk of BSE and other related diseases is believed to be small, but cannot be ruled out. Up through February of 2010, the CDC notes that there have been twenty-one confirmed cases of BSE in North America—eighteen cases identified in Canada, and 3 in the United States. Over and above those 21 confirmed cases in cattle, several hundred mink in Idaho and Wisconsin have died from an ailment similar to BSE, and many of them ate meat from diseased “downer” cows, those that fall and cannot get up. Some experts believe that BSE can occur spontaneously, without apparent exposure to the disease, in one or two cows out of a million every year. This would amount to an estimated 150 to 250 cases annually among the United States cow population of some 150 million (however, those numbers are merely estimates, and not confirmed by laboratory tests on cattle carcasses). American feed processors render the carcasses of some 100,000 downer cows every year, thus utilizing for animal feed cows that are possibly seriously and neurologically diseased.

In June 1997, the Food and Drug Administration (FDA) announced a partial ban on using in cattle feed remains from dead sheep, cattle, and other animals that chew their cud. But the ruling exempts from the ban some animal protein, as well as feed for poultry, pigs, and pets. In March of that year, a coalition of consumer groups, veterinarians, and federal meat inspectors had urged the FDA to include pork in the animal feed ban, citing evidence that pigs can develop a form of TSE, and that some may already have done so. The coalition had recommended that the United States adopt a ban similar to Britain’s, where protein

from all mammals is excluded from animal feed, and some criticized the FDA’s action as “totally inadequate in protecting consumers and public health.”

The CDC has reclassified CJD that is associated with the interspecies transmission of BSE disease-causing factor. The current categorization of CJD is termed new variant CJD (nvCJD) to distinguish it from the extremely rare form of CJD that is not associated with BSE contagion. According to the CDC, there have been seventy-nine nvCJD deaths reported worldwide. By April 2002, the global incidence of nvCJD increased to 125 documented reports. In mid-2008, revised figures suggested 167 cases in the UK and twenty-three in France with 202 worldwide. Only three cases have arisen in the United States, although the reporting requirements are less stringent given the low prevalence. The CDC stresses that nvCJD should not be confused with the endemic form of CJD. In the United States, CJD seldom occurs in adults under thirty years old, having a median age of death of sixty-eight years. In contrast, nvCJD, associated with BSE, tends to affect a much younger segment of society. In the United Kingdom, the median age of death from nvCJD is twenty-eight years.

The outlook for BSE is uncertain. Since tens of millions of people in Britain may have been exposed to the infectious agent that causes BSE, plus an unknown number in other countries, some observers fear that a latent epidemic of serious proportions could be in the offing. (There is also concern that some portion of the several million Americans alone now diagnosed with Alzheimer’s disease may actually be suffering from CJD.) There are others who feel that a general removal of most infected cows and animal brain tissue from the food supply has prevented a human health disaster. But since the incubation period for CJD is thought to be seven to forty years, it will be some time before it is known how many people are already infected and the extent of the problem becomes apparent.

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Lewis G. Regenstein

## Madagascar

Described as a crown jewel among earth's ecosystems, this 1,000-mile (1,610-km) island-continent is a microcosm of ecological problems facing developing nations. It abounds with unique species, which are being threatened by the exploding human population. Many scientists consider Madagascar one of the world's foremost conservation priority. Since 1984, united efforts have sought to slow the island's deterioration, hopefully providing a model for treating other problem areas.

Madagascar is the world's fourth largest island, with a rain forest climate in the east, deciduous forest in the west, and thorn scrub in the south. Its Malagasy people are descended from African and Indonesian seafarers who arrived about 1,500 years ago. Most Malagasy farm the land using ecologically devastating slash and burn agriculture, which has turned Madagascar into one of the most severely eroded land on earth. It has been described as an island with the shape, color, and fertility of a brick; second growth forest does not do well.

Having been separated from Africa for 160 million years, this unique land was sufficiently isolated during the last 40 million years to become a laboratory of evolution. There are 160,000 unique species, mostly in the rapidly disappearing eastern rain forests. These include 65 percent of its plants, half of its birds, and all of its reptiles and mammals. Sixty percent of the earth's chameleons live here. Lemurs, displaced elsewhere by monkeys, have evolved into twenty-six species. Whereas Africa has only one species of baobab tree, Madagascar has six, including one species that is termite resistant. The thorn scrub abounds with potentially useful poisons evolved for plant defense. One species of periwinkle provides a substance effective in the treatment of childhood (lymphocytic) leukemia.

Humans have been responsible for the loss of 93 percent of Madagascar's forests and two-thirds of its rain forest. Four-fifths of the land is now barren as the result of habitat destruction set in motion by the exploding human population (3.2 percent growth per year). Although nature reserves date from 1927, few Malagasy have ever experienced their island's

biological wonders; urbanites disdain the bush, and peasants are driven by hunger.

The people are awakening to their loss and the impact this may have on all Madagascar's inhabitants. Pride in their island's unique biodiversity is growing. Many Malagasy now work in Madagascar's growing ecotourism industry. If population growth can be controlled, and high yield farming replaces slash and burn agriculture, there is yet hope for preserving the diversity and uniqueness of Madagascar.

*See also* Deforestation; Erosion; Tropical rain forest.

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Nathan H. Meleen

## Magnetic separation

An on-going problem of environmental significance is solid waste disposal. As the land needed to dispose of solid wastes becomes less available, recycling becomes a greater priority in waste management programs. One step in recycling is the magnetic separation of ferrous (iron-containing) materials. In a typical recycling process, wastes are first shredded into small pieces and then separated into organic and inorganic fractions. The inorganic fraction is then passed through a magnetic separator where ferrous materials are extracted. These materials can then be purified and reused as scrap iron.

*See also* Iron minerals; Resource recovery.

## Malaria

Malaria is a disease that affects hundreds of millions of people worldwide. In the developing world, malaria contributes to a high infant mortality rate and a heavy loss of work time. Malaria is caused by the single-celled

protozoan parasite, *Plasmodium*. The disease follows two main courses: tertian (three-day) malaria and quartan (four-day) malaria. *Plasmodium vivax* causes benign tertian malaria with a low mortality (5 percent), while *Plasmodium falciparum* causes malignant tertian malaria with a high mortality (25 percent) due to interference with the blood supply to the brain (cerebral malaria). Quartan malaria is rarely fatal.

*Plasmodium* is transmitted from one human host to another by female mosquitoes of the genus *Anopheles*. Thousands of parasites in the salivary glands of the mosquito are injected into the human host when the mosquito takes blood. The parasites (in the sporozoite stage) are carried to the host's liver where they undergo massive multiplication into the next stage (cryptozoites). The parasites are then released into the blood stream, where they invade red blood cells and undergo additional division. This division ruptures the red blood cells and releases the next stage (the merozoites), which invade and destroy other red blood cells. This red blood cell destruction phase is intense but short-lived. The merozoites finally develop into the next stage (gametocytes) which are ingested by the biting mosquito.

The pattern of chills and fever characteristic of malaria is caused by the massive destruction of the red blood cells by the merozoites and the accompanying release of parasitic waste products. The attacks subside as the immune response of the human host slows the further development of the parasites in the blood. People who are repeatedly infected gradually develop a limited immunity. Relapses of malaria long after the original infection can occur from parasites that have remained in the liver, since treatment with drugs kills only the parasites in the blood cells and not in the liver. Malaria can be prevented or cured by a wide variety of drugs (quinine, chloroquine, mefloquine, atovaquone and proguanil, hydroxychloroquine, or pyrimethamine). However, resistant strains of the common species of *Plasmodium* mean that some prophylactic drugs (chloroquine and pyrimethamine) are no longer totally effective. In 2010, a large study reported in the medical journal *Lancet* showed the new anti-malaria drug artesunate reduced the number of deaths from severe malaria by 22.5 percent compared with quinine. The study recommends that artesunate become the treatment of choice for children and adults with malaria worldwide.

Malaria is controlled either by preventing contact between humans and mosquitoes or by eliminating the mosquito vector. Outdoors, individuals may protect themselves from mosquito bites by wearing protective clothing, applying mosquito repellents to the skin, or by burning mosquito coils that produce smoke containing

insecticidal pyrethrins. Inside houses, mosquito-proof screens and nets keep the vectors out, while insecticides (including the previously banned DDT) applied inside the house kill those that enter. The aquatic stages of the mosquito can be destroyed by eliminating temporary breeding pools, by spraying ponds with synthetic insecticides, or by applying a layer of oil to the surface waters. Biological control includes introducing fish (*Gambusia*) that feed on mosquito larvae into small ponds. Organized campaigns to eradicate malaria are usually successful, but the disease is sure to return unless the measures are vigilantly maintained. Some climatologists have argued that the changing global climate of the twenty-first century could see malaria spread to more temperate parts of the world.

*See also* Epidemiology; Pesticide.

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Neil Cumberlidge

## Male contraceptives

Current research into male contraceptives will potentially increase the equitability of family planning between males and females. This shift will also have the potential to address issues of population growth and its related detrimental effects on the environment.

While prophylactic condoms provide good barrier protection from unwanted pregnancies, they are not as effective as oral contraceptives for women. Likewise, vasectomies are very effective, but few men are willing to undergo the surgery. There are three general categories of male contraceptives that are being explored. The first category functionally mimics a vasectomy by physically blocking the vas deferens, the channel that carries sperm from the seminiferous tubules to the ejaculatory duct. The second uses heat to induce temporary sterility. The third involves medications to halt sperm production. In essence, this third category concerns the development of “The Pill” for men.

Despite its near 100 percent effectiveness, there are two major disadvantages to vasectomy that make it unattractive to many men as an option for contraception. The first is the psychological component relating to surgery. Although vasectomies are relatively non-invasive, when compared to taking a pill the procedure seems drastic. Second, although vasectomies are reversible, the rate of return to normal fertility is only about 40 percent. Therefore, newer “vas occlusive” methods offer alternatives to vasectomy with completely reversible effects. Vas occlusive devices block the flow of or render dysfunctional the sperm in the vas deferens. A fairly recent form of vas occlusive male contraception, called Reversible Inhibition of Sperm Under Guidance (RISUG), involves the use of a styrene that is combined with the chemical DMSO (dimethyl sulfoxide). The complex is injected into the vas deferens. The complex then partially occludes passage of sperm and also causes disruption of sperm cell membranes. As sperm cells contact the RISUG complex, they rupture. It is believed that a single injection of RISUG may provide contraception for up to ten years. Large safety and efficacy trials examining RISUG are being conducted in India. With a population estimate in 2010 of nearly 1.2 billion people living in India, the dependable, relatively inexpensive (yet reversible) RISUG technology is especially welcome as another option for population control (obviously, techniques such as RISUG will also be welcomed in other countries with high population growth rates). As of late-2010, the RISUG technique was in Phase III clinical trials in India—Phase III trials are normally the last clinical trials before introduction to the general public.

Two additional vas occlusive methods of male contraception involve the injection of polymers into the vas deferens. Both methods involve injection of a liquid form of polymer, microcellular polyurethane (MPU) or medical-grade silicon rubber (MSR), into the vas deferens where it hardens within 20 minutes. The resulting plug provides a barrier to sperm. The technique was developed in China, and since 1983 some 300,000 men have reportedly undergone this method of contraception. Reversal of MPU and MSR plugs requires surgical removal of the polymers. Another method involving silicon plugs (called the Shug for short) offers an alternative to injectable plugs. This double-plug design offers a back-up plug should sperm make their way past the first.

Human sperm is optimally produced at a temperature that is a few degrees below body temperature. Infertility is induced if the temperature of the testes is elevated. For this reason, men trying to conceive are often encouraged to avoid wearing snugly-fitting undergarments. The thermal suspensory method of male contraception utilizes specially designed suspensory briefs to use natural body heat or externally applied heat to suppress spermatogenesis. Such briefs hold the testes close to the body during the day, ideally near the inguinal canal where local body heat is greatest. Sometimes this method is also called artificial cryptorchidism since it simulates the infertility seen in men with undescended testicles. When worn all day, suspensory briefs lead to a gradual decline in sperm production. The safety of briefs that contain heating elements to warm the testes is being evaluated. Externally applied heat in such briefs would provide results in a fraction of the time required using body heat. Other forms of thermal suppression of sperm production utilize simple hot water heated to about 116° Fahrenheit (46.7°C). Immersion of the testicles in the warm water for forty-five minutes daily for three weeks is said to result in six months of sterility followed by a return to normal fertility. A newer, but essentially identical, method of thermal male contraception uses ultrasound. This simple, painless, and convenient method using ultrasonic waves to heat water results in six-month, reversible sterility within only ten minutes.

Drug therapy is also being evaluated as a potential form of male contraception. Many drugs have been investigated in male contraception. An intriguing possibility is the observation that a particular class of blood pressure medications, called calcium channel blockers, induces reversible sterility in many men. One such drug, nifedipine, is thought to induce sterility by blocking calcium channels of sperm cell membranes. This reportedly results in cholesterol deposition and membrane instability of the sperm, rendering them incapable of

fertilization. Herbal preparations have also been used as male contraceptives. Gossypol, a constituent of cottonseed oil, was found to be an effective and reliable male contraceptive in very large-scale experiments conducted in China. Unfortunately, an unacceptable number of men experienced persistent sterility when gossypol therapy was discontinued. Additionally, up to 10 percent of men treated with gossypol experienced kidney problems in the studies conducted in China. Because of the potential toxicity of gossypol, the World Health Organization concluded that research on this form of male contraception should be abandoned. Most recently, a form of sugar that sperm interact with in the fertilization process has been isolated from the outer coating of human eggs. An enzyme in sperm, called N-acetyl-beta-D-hexosaminidase (HEX-B) cuts through the protective outer sugar layer of the egg during fertilization. A decoy sugar molecule that mimics the natural egg coating is being investigated. The synthetic sugar would bind specifically to sperm HEX-B enzyme, curtailing the sperm's ability to penetrate the egg's outer coating. Related experiments in male rats have shown effective and reversible contraceptive properties.

Perhaps one of the most researched methods of male contraception using drugs involves the use of hormones. Like female contraceptive pills, Male Hormone Contraceptives (MHCs) seek to stop the production of sperm by stopping the production of hormones that direct the development of sperm. Many hormones in the human body work by feedback mechanisms. When levels of one hormone are low, another hormone is released that results in an increase in the first. The goal of MHCs is to artificially raise the levels of hormone that would result in suppression of hormone release required for sperm production. The best MHC produced only provides about 90 percent sperm suppression, which is not enough to reliably prevent conception. Also, for poorly understood reasons, some men do not respond to the MHC preparations under investigation. Despite initial promise, more research is needed to make MHCs competitive with female contraception. Response failure rates for current MHC drugs range from 5–20 percent.

## Resources

### ORGANIZATIONS

Contraceptive Research and Development Program  
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Terry Watkins

Malignant tumors see **Cancer**.

## Malthus, Thomas Robert

1766–1834

English economist

Malthus' name has come to represent a pessimistic philosophy labeled neo-Malthusian that sees population explosion as one of the world's most serious problems. Malthus was the first to put in writing a consistent theory of population. His *Essay on the Principle of Population* first appeared in 1798, with numerous later editions.

Thomas Robert Malthus was born in Surrey on February 17, 1766. He graduated from Cambridge University and served as a clergyman until 1805 when he became a professor of history and political economy in the college of the East India Company. He held this post until his death. Malthus' population theory is based on the fact that population growth occurs by multiplication, similar to compound interest (2, 4, 8, 16, 32), while the food supply increases arithmetically (2, 4, 6, 8, 10). Because of this, Malthus believed that population growth must ultimately overwhelm the food supply unless or until checked by rising death rates from famine, pestilence, disease, or war. Though later tempering his harshest conclusions, Malthus' main emphasis was always on the simple relationships among life, death, and the means of subsistence.

Malthus' theory describes what is now called biotic potential, and his work profoundly influenced both Charles Darwin and Alfred Wallace in their theories of evolution. Darwin wrote: "In October 1838. I happened to read for amusement 'Malthus on Population,' and being well prepared to appreciate the struggle for existence...it at once struck me that under these circumstances favourable variations would tend to be preserved, and unfavourable ones to be destroyed. The result of this would be the formation of new species. Here then I had at last got a theory by which to work."

Malthus' motivation came from his opposition to ideas expressed by such eighteenth century philosophers as the Marquis de Condorcet. He believed that the law of population presented an insurmountable barrier to the idea that science and human reason could create a world of plenty and a happier social system. His contemporary relevance comes from what is often described by the phrase, the Malthusian dilemma, which essentially states that aid to poor or starving people will only result in increased numbers, leading to greater poverty and death. By the term neo-Malthusian, Malthus' name is now firmly linked to proponents of population control by all feasible means. Malthus himself would have opposed this, since he viewed birth control devices as a form of vice.

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Nathan H. Meleen

## Manatees

A relative of the elephant, manatees are totally aquatic, herbivorous mammals of the family Trichechidae. This group arose 15 to 20 million years ago during the Miocene period, a time which also favored the development of a tremendous diversity of aquatic plants along the coast of South America. Manatees are adapted to both marine and freshwater habitats and are divided into three distinct species: the Amazonian



An endangered Florida manatee (*Trichechus manatus latirostrus*) rests underwater in the springs of Crystal River, Florida. (A Cotton Photo/Shutterstock.com)

manatee (*Trichechus inunguis*), restricted to the freshwaters of the Amazon River; the West African manatee (*Trichechus senegalensis*), found in the coastal waters from Senegal to Angola; and the West Indian manatee (*Trichechus manatus*), ranging from the northern South American coast through the Caribbean to the southeastern coastal waters of the United States. Two other species, the dugong (*Dugong dugon*) and Steller's sea cow (*Hydrodamalis gigas*), along with the manatees, make up the order Sirenia. Steller's sea cow is now extinct, having been exterminated by man in the mid-1700s for food.

These animals can weigh 1,000–1,500 pound (454–680 kg) and grow to be more than 12 feet (3.7 m) long. Manatees are unique among aquatic mammals because of their herbivorous diet. They are non-ruminants, therefore, unlike cows and sheep, they do not have a chambered stomach. They do have, however, extremely long intestines (up to 150 ft/46 m) that contain a paired blind sac where bacterial digestion of cellulose takes place. Other unique traits of the manatee include horizontal replacement of molar teeth and the presence of only six cervical, or neck, vertebrae, instead of seven as in all other mammals. The intestinal sac and tooth replacement are adaptations designed to counteract the defenses evolved by the plants that the manatees eat. Several plant species contain tannins, oxalates, and nitrates, which are toxic, but which may be detoxified in the manatee's intestine. Other plant species contain silica spicules, which, due to their abrasiveness, wear down the manatee's teeth, necessitating the need for tooth replacement. The life span of manatees is long, greater than thirty years, but their reproductive rate is low, with gestation being thirteen months and females giving birth to one calf every two years. Because of this the potential for increasing the population is low, thus leaving the population vulnerable to environmental problems.

Competition for food is not a problem. In contrast to terrestrial herbivores, which have a complex division of food resources and competition for the high-energy level land plants, manatees have limited competition from sea turtles. This is minimized by different feeding strategies employed within the two groups. Sea turtles eat blades of seagrasses at greater depths than manatees feed, and manatees tend to eat not only the blades, but also the rhizomes of these plants, which contain more energy for the warm-blooded mammals.

Because manatees are docile creatures and a source of food, they have been exploited by man to the point of extinction. A 2010 population survey indicated there were just over five thousand manatees in the United States. Also, because manatees are slow moving, a more recent threat is taking its toll on these shallow-swimming animals. Power boat propellers have struck hundreds of

manatees in recent years, causing 90 percent of the man-related manatee deaths. This has also resulted in permanent injury or scarring to others. Conservation efforts, such as the Marine Mammals Protection Act of 1972 and the Endangered Species Act of 1973, have helped reduce some of these problems but much more will have to be done to prevent the extirpation of the manatees.

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Eugene C. Beckham

## Mangrove swamp

Mangrove swamps or forests are the tropical equivalent of temperate salt marshes. They grow in protected

coastal embayments in tropical and subtropical areas around the world, and some scientists estimate that 60-75 percent of all tropical shores are populated by mangroves.

The term “mangrove” refers to individual trees or shrubs that are angiosperms (flowering plants) and belong to more than eighty species within twelve genera and five families. Though unrelated taxonomically, they share some common characteristics. Mangroves only grow in areas with minimal wave action, high salinity, and low soil oxygen. All of the trees have shallow roots, form pure stands, and have adapted to the harsh environment in which they grow. The mangrove swamp or forest community as a whole is called a mangal.

Mangroves typically grow in a sequence of zones from seaward to landward. This zonation is most highly pronounced in the Indo-Pacific regions, where thirty to forty species of mangroves grow. Starting from the shoreline and moving inland, the sequence of genera there is *Avicennia* followed by *Rhizophora*, *Bruguiera*, and finally *Ceriops*. In the Caribbean, including Florida, only three species of trees normally grow: red mangroves (*Rhizophora mangle*) represent the pioneer species growing on the water's edge, black mangroves (*Avicennia germinans*) are next, and white mangroves (*Laguncularia racemosa*)



A Mangrove swamp. (Kuznetsov Alexey/Shutterstock.com)

grow mostly inland. In addition, buttonwood (*Conocarpus erectus*) often grows between the white mangroves and the terrestrial vegetation.

Mangrove trees have made special adaptations to live in this environment. Red mangroves form stilt-like prop roots that allow them to grow at the shoreline in water up to several feet deep. Like cacti, they have thick succulent leaves which store water and help prevent loss of moisture. They also produce seeds which germinate directly on the tree, then drop into the water, growing into a long, thin seedling known as a “sea pencil.” These seedlings are denser at one end and thus float with the heavier hydrophilic (water-loving) end down. When the seedlings reach shore, they take root and grow. One acre of red mangroves can produce three tons of seeds per year, and the seeds can survive floating on the ocean for more than 12 months. Black mangroves produce straw-like roots called pneumatophores which protrude out of the sediment, thus enabling them to take oxygen out of the air instead of the anaerobic sediments. Both white and black mangroves have salt glands at the base of their leaves which help in the regulation of osmotic pressure.

Mangrove swamps are important to humans for several reasons. They provide water-resistant wood used in construction, charcoal, medicines, and dyes. The mass of prop roots at the shoreline also provides an important habitat for a rich assortment of organisms, such as snails, barnacles, oysters, crabs, periwinkles, jellyfish, tunicates, and many species of fish. One group of these fish, called mud skippers (*Periophthalmus*), have large bulging eyes, seem to skip over the mud, and crawl up on the prop roots to catch insects and crabs. Birds such as egrets and herons feed in these productive waters and nest in the tree branches. Prop roots tend to trap sediment and can thus form new land with young mangroves. Scientists reported a growth rate of 656 feet (200 m) per year in one area near Java. These coastal forests can be helpful buffer zones to strong storms.

Despite their importance, mangrove swamps are fragile ecosystems whose ecological importance is commonly unrecognized. They are being adversely affected worldwide by increased pollution, use of herbicides, filling, dredging, channelizing, and logging.

*See also* Marine pollution; Wetlands.

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John Korstad

Manure *see* **Animal waste**.

Manville Corporation *see* **Asbestos**.

## Marasmus

A severe deficiency of all nutrients, categorized along with other protein malnutrition disorders. Marasmus, which means “to waste” can occur at any age but is most commonly found in neonates (children under one year old). Starvation resulting from marasmus is a result of protein and carbohydrate deficiencies. In developing countries and impoverished populations, early weaning from breast feeding and over dilution of commercial formulas places neonates at high risk for developing marasmus.

Because of the deficiency in intake of all dietary nutrients, growth is severely retarded. Caloric intake is too low to support metabolic activity such as normal protein synthesis or storage of fat. If the condition is prolonged, muscle tissue wasting will result. Fat wasting and anemia are common and severe. Severe vitamin A deficiency commonly results in blindness, although if caught early, this process can be reversed. Death will occur in approximately 40 percent of children left untreated.

## Mariculture

Mariculture is the cultivation and harvest of marine flora and fauna in a controlled saltwater environment. Sometimes referred to as marine fish farming, marine aquaculture, or aquatic farming, mariculture involves some degree of human intervention to enhance the quality and/or quantity of a marine harvest. This involvement may be achieved by feeding practices,

protection from predators, breeding programs, or other means.

Fish, crustaceans, saltwater plants, and shellfish may be farm-raised for bait, fishmeal and fish oil production; scientific research; biotechnology development; or repopulating threatened or endangered species. Ornamental fish are also sometimes raised by fish farms for commercial sales. The most widespread use of aquaculture, however, is the production of marine life for human food consumption. Approximately 28 percent of fish stocks are overfished or nearing extinction. With seafood consumption steadily rising and overfishing of the seas a growing global problem, mariculture has been hailed as a low-cost, high-yield source of animal-derived protein.

Fish world production in 2006 was over 158 million tons (143.6 million metric tons), roughly 36 percent of which was contributed by aquaculture. The increase in contribution of aquaculture to the total world fish production is about 8 percent each year. According to the Fisheries Department of the United Nations' Food and Agriculture Organization (FAO), about 57 million tons (over 51.7 million metric tons) of fish and shellfish were produced worldwide via aquaculture in 2006. Pound for pound, China leads the world in aquaculture production with 67 percent of world output as of 2006. In comparison, the United States is only responsible for about 1 percent of global aquaculture output by weight. In 2006, just 8.7 percent of the total U.S. aquatic production (both farmed and captured resources) was attributable to aquaculture (compared to 66.8 percent of China's total aquatic output).

In the U.S., approximately 20 percent of aquaculture production is contributed by marine species. Oysters, clams, and mussels account for about two-thirds of total U.S. marine aquaculture. Salmon accounts for about 25 percent, and shrimp contribute 10 percent of U.S. marine aquaculture. Though most farmed seafood is consumed domestically, the United States imports a large amount of its total edible seafood annually, representing a \$9 billion annual trade deficit. The Department of Commerce launched an aquaculture expansion program in 1999 with the goal of increasing domestic seafood supply derived from aquaculture production to \$5 billion annually by the year 2025. U.S. aquaculture interests harvested about 513 thousand tons (about 465 thousand metric tons) in 2006.

To encourage further growth of the U.S. aquaculture industry, the National Aquaculture Act was passed in 1980. The Act established funding and mandated the development of a national aquaculture plan

that would encourage "aquaculture activities and programs in both the public and private sectors of the economy; that will result in increased aquacultural production, the coordination of domestic aquaculture efforts, the conservation and enhancement of aquatic resources, the creation of new industries and job opportunities, and other national benefits."

In the United States, aquaculture is regulated by the U.S. Department of Agriculture (USDA) and the Department of Commerce through the National Marine Fisheries Service (NMFS), National Oceanic and Atmospheric Administration (NOAA). State and local authorities may also have some input into the location and practices of mariculture facilities if they are located within an area governed by a Coastal Zone Management Plan (CZMP). CZMPs, as authorized by the Coastal Zone Management Act (CZMA) of 1972, allow individual states to determine the appropriate use and development of their respective coastal zones. Subsequent amendments to the CZMA have also made provision for states to be eligible for federal funding for the creation of state plans, procedures, and regulations for mariculture activities in the coastal zone.

Tilapia, catfish, striped bass, yellow perch (*Perca flavescens*), walleye (*Sander vitreus*), salmon, and trout are just a few of the fresh and saltwater finned fish species farmed in the United States. Crawfish, shrimp, and shellfish are also cultured in the U.S. Some shellfish, such as oysters, mussels, and clams, are planted as juveniles and farmed to maturity, when they are harvested and sold. Shellfish farmers buy the juvenile shellfish (known as spat) from shellfish hatcheries and nurseries. Oysters and mussels are attached to lines or nets and put in a controlled ocean environment, while clams are buried in the beach or in sandy substrate below low tide. All three of these shellfish species feed on plankton from salt water.

But just as overfarming takes a toll on terrestrial natural resources, aquaculture without appropriate environmental management can damage native ecosystems. Farmed fish are raised in open-flow pens and nets. Because large populations of farmed fish are often raised in confined areas, disease spreads easily and rapidly among them. And farmed fish often transmit sea lice and other parasites and diseases to wild or naturally-occurring fish, wiping out or crippling native stock. Organic pollution from effluent, the waste products from farmed fish, can build up and suffocate marine life on the sea floor below farming pens. This waste includes fish feces, which contributes to nutrientloading, and chemicals and drugs used to keep the fish disease free and promote growth. It also contains excess fish food, which often contains dyes to

make farmed fish flesh more aesthetically analogous to its wild counterparts.

Farmed fish that escape from their pens interbreed with wild fish and weaken the genetic line of the native stock. Due to the effects of mariculture on the ecosystem and escaping fish, the native fish populations can suffer, thus resulting in reduced biodiversity. If escaped fish are diseased, they can trigger outbreaks among indigenous marine life. Infectious Salmon Anemia, a viral disease that plagued fish farms in New Brunswick and Scotland in the 1990s, was eventually found in native salmon. In 2001, the disease first appeared at U.S. Atlantic salmon farms off the coast of Maine.

The use of drugs in farmed fish and shellfish intended for human consumption is regulated by the U.S. Food and Drug Administration (FDA). In recent years, antibiotic resistance has been a growing issue in aquaculture, as fish have been treated with a wide array of human and veterinary antibiotic drugs to prevent disease.

The commercial development of genetically-engineered, or transgenic, farmed fish is also regulated by FDA. As of September 2010, no transgenic fish had been cleared by FDA for human consumption. In September 2010, a FDA advisory panel debated the science and safety surrounding the proposed use of genetically engineered salmon. The panel's conclusion was that the FDA should require more testing, especially with regard to unintended allergic responses, before endorsing what would become the first approved use of a genetically engineered animal for food. In preliminary findings, the FDA concluded that the genetically engineered salmon was safe for human consumption people to eat and that the commercial development of the species did not pose a significant environmental risk. However, members of the FDA's Veterinary Medicine Advisory Committee successfully argued that the data supplied the Committee came from too small a sample size to be more conclusive. The genetically altered salmon is produced from eggs of North Atlantic salmon fertilized with a modified growth gene from Chinook salmon. The growth gene includes genetic modifications that allow the fish to grow in colder months and waters, thus producing commercially harvestable fish in a shorter period of time. The genetic modifications allow the salmon to continue production of growth hormone at colder temperatures. As a result, tissue samples also had higher than normal level of growth hormone. Proponents of approving the genetically altered salmon as safe to eat noted that there is no data to suggest that such consumption is unsafe, that the genetic technology is well-tested, and that the higher than normal levels of growth hormone are still within safe limits.

As mandated by the 1938 Mitchell Act, the NMFS funds twenty-five salmon hatcheries in the Columbia River Basin of the Pacific Northwest, the largest federal marine fishery program in the United States. These aquaculture facilities were originally introduced to assist in repopulation of salmon stocks that had been lost to or severely hampered by hydroelectric dam projects. However, some environmentalists charge that the salmon hatcheries may actually be endangering wild salmon further, by competing for local habitat and weakening the genetic line of native species.

Without careful resource management, aquaculture may eventually take an irreversible toll on other non-farmed marine species. Small pelagic fish, such as herring, anchovy, and chub, are captured and processed into fish food compounds for high-density carnivorous fish farms. According to the FAO, at its current rate, fish farming is consuming twice as many wild fish in feeding their domestic counterparts as aquaculture is producing in fish harvests—an average of 4 pounds (1.9 kg) of wild fish required for every kilogram of fish farmed.

No matter how economically sound mariculture has been, it has also led to serious habitat modification and destruction, especially in mangrove forests. In the past twenty years, the area of mangrove forests have dwindled by approximately 40 percent worldwide. Though some of that loss is due to active herbicide control of mangroves, their conversion to salt flats, and the industrial harvesting of forest products (wood chips and lumber), mariculture is responsible for 52 percent of the world's mangrove losses.

Mangrove forests are important to the environment because these ecosystems are buffer zones between salt-water areas and freshwater/land areas. Mangroves act as filters for agricultural nutrients and pollutants, trapping these contaminants before they reach the deeper waters of the ocean. These forests also prevent coastal erosion, provide spawning and nursery areas for fish and shellfish, host a variety of migratory wildlife (birds, fish, and mammals), and support habitats for a number of endangered species.

Shrimp farming, in particular, has played a major role in mangrove forest reduction. Increasing from 3 percent to 30 percent in less than fifteen years, commercial shrimp farming has impacted coastal mangroves profoundly by cutting down mangrove trees to create shrimp and prawn ponds. In the Philippines, 50 percent of the mangrove environments were converted to ponds and between 50 percent and 80 percent of those in Southeast Asia were lost to pond culture as well.

Shrimp mariculture places high demands on resources. It requires large supplies of juvenile shrimp, which can seriously deplete natural shrimp stocks, and large quantities of shrimp meal to feed them. There also is considerable waste derived from shrimp production. This can pump organic matter and nutrients into the ponds, causing eutrophication, which results in algal blooms and oxygen depletion in the ponds or even downstream. Many shrimp farmers need to pump pesticides, fungicides, parasiticides, and algicides into the ponds between harvests to sterilize them and mitigate the effects of nutrient loading. Shrimp ponds also have extremely short life spans, usually about five to ten years, forcing their abandonment and the cutting of more mangrove forests to create new ponds.

Mariculture also limits other marine activities along coastal waters. Some aquaculture facilities can occupy large expanses of ocean along beaches, which become commercial and recreational no-fish zones. These nursery areas are also sensitive to disturbances by recreational activities like boating or swimming and the introduction of pathogens by domestic or farm animals.

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### ORGANIZATIONS

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Paula Anne Ford-Martin

## Marine ecology

Marine ecology is the study of life that lives in or near oceans, and the interaction of ocean and coastal life with the sea.

Understanding the nature of ocean life and the patterns of its diversity is challenging because of the technical difficulties involved with studying life under water (high pressure, need for oxygen tanks, lack of light).

Mechanical, thermodynamic, chemical, and biological forces create variation through such things as differential heating, Coriolis force, wind, dissolved gases, salinity differences, and evaporation. The actions in turn set controls in motion that move toward physical equilibrium through feedback mechanisms. Those physical changes then interact with biological systems in nonlinear ways, that is, out of synchronization with the external stimuli and become quite difficult to predict.

Ocean life is arranged in active layers dictated by nutrients and light in the horizontal planes, and by vertical current (downwelling and upwelling) in the vertical planes. Life decreases through the depth zones from the epipelagic zone in the initial 328 feet (100 m) of the water column to the bottom layers of water, and then jumps again at the benthic layer at the water-substrate interface. Life also decreases from the littoral zones along the world's shorelines to the open ocean, interrupted by certain areas with special life supporting systems, like floating sargasso weed beds.

The focus of conservation has shifted to include not only individual species or habitats, but to a phenomenon called biological diversity, or biodiversity. Biodiversity in general encompasses from three to four aspects. Genetic diversity is the level of genotypic differences within all the individuals that constitute a population of organisms. Species diversity refers to the number of species in an area. Community diversity refers to the number of different community types in a landscape. In the oceanic setting, where genetic and community information is limited, biodiversity is concerned with species diversity.

In species diversity, it is the taxonomic interpretation of diversity that is most commonly discussed (e.g., species or higher taxonomic levels such as families and



**Shallow coral reef with anthias and Sergeant Major fishes.** (Rich Carey/Shutterstock.com)

classes, orders and phyla). Of all the species known, about 16 percent are from the seas. General diversity patterns in the sea are similar to those on land, with smaller species being more numerous than larger species. Also, there are more tropical species than temperate or polar species. There are centers of diversity for specific taxa, and the structure of communities and ecosystems is based on particular patterns of energy availability. For example, estuary systems are productive due to importation of nitrogen from the land, coral reefs are also productive, but use scarce nutrients efficiently by specially adapted filter feeding mechanisms. Abyssal communities, which live on the ocean bottom, depend on their entire energy supply from detritus fall from upper levels in the ocean. Perhaps the most specifically adapted of all life forms are the hydrothermal vent communities that employ chemosynthesis rather than photosynthesis for primary production. Water temperature, salinity, and pressure create differing ecosystems in ways that are distinctly different from terrestrial systems. In addition, the boundaries between systems may be dynamic, and are certainly more difficult to detect than on land.

The number of species in the ocean is probably underestimated as we discover more cryptic species, very similar organisms that are actually distinct, many of which have been discovered on the deep ocean floor. This is one of the important diversity issues in the marine environment. Originally, the depths were cast as biological deserts, however, that view may have been promoted by a lack of samples, the small size of many benthic invertebrates, and the low density of benthic populations in the deep sea beds.

Improved sampling since the 1960s changed that view to one of the ocean floor as a highly species diverse environment. The deep sea is characterized by a few individuals in each of many species; rarity dominates. Whereas, in shallow water benthic environments, there are large, dense populations dominated by a few species. At least three theoretical explanations for this pattern have been made. The stability-time hypothesis suggests that ocean bottoms have been very stable environments over long periods of time. This condition causes very finely tuned adaptations to narrow niches, and results in many closely related species. The disturbance or “cropper” hypothesis suggests that intense predation of

limited food sources prevents populations from reaching high levels and because the food source is dominated by detrital rain, generalist feeders abound and compete for the same food, which results in only small differences between species. A third hypothesis is that the area of the deep sea bed is so large it supports many species, following from generalizations made by the species area relationship concept used in island biogeography theory. The picture of species number and relative rarity is still not clearly understood.

In general, some aspects of marine biology are well studied. Rocky intertidal life has been the subject of major ecological research and yielded important theoretical advances. Similarly, coral reefs are the subject of many studies of life history adaptation and evolutionary biology and ecology. Physiology and morphology research has used many marine animals as examples of organisms' functions under extreme conditions. This new found knowledge is timely. Up until now we have considered the oceans as an inexhaustible source of food and a sink for our wastes, yet we now realize they are neither. Relative to the land, the sea is in good ecological condition, but to prevent major ecological problems in the marine environment we need to increase human knowledge rapidly and manage our behavior toward the oceans very conservatively, which is a difficult task under the conditions where the ocean is treated as a common resource.

As of 2010, a global network of researchers spearheaded by oceanographers at Dalhousie University in Halifax, Nova Scotia, Canada, is engaged in trying to establish a census of ocean life. A report of the census progress released in August 2010, report a tally of organisms exceeding 114,000. When the final report is released later in 2010, the final tally of ocean life may exceed 230,000 species. The list will continue to grow as new species are discovered.

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David Duffus

## Marine Mammals Protection Act (1972)

The Marine Mammals Protection Act (MMPA) was initially passed by Congress in 1972 and is the most comprehensive federal law aimed at the protection of marine mammals. The MMPA prohibits the taking (i.e., harassing, hunting, capturing, or killing, or attempting to harass, hunt, capture, or kill) on the high seas of any marine mammal by persons or vessels subject to the jurisdiction of the United States. It also prohibits the taking of marine mammals in waters or on land subject to United States jurisdiction and the importation into the United States of marine mammals, parts thereof, or products made from such animals. The MMPA provides that civil and criminal penalties apply to illegal takings.

The MMPA specifically charges the National Marine Fisheries Service (NMFS), a federal agency under the National Oceanic and Atmospheric Administration (NOAA), with responsibility for the protection and conservation of some marine mammals, including seals, sea lions, and cetaceans. The NMFS is given statutory authority to grant or deny permits to take whales, dolphins, and other mammals from the oceans. The MMPA authorizes the U.S. Fish and Wildlife Service (FWS) to protect otters, walrus, polar bears, and manatees. The Marine Mammal Commission examines NMFS and FWS policies and makes recommendation on the implementation of the MMPA.

The original legislation established “a moratorium on the taking of marine mammals and marine mammal products during which time no permit may be issued for the taking of any marine mammal and no marine mammal may be imported into the United States.” Four types of exceptions allowed for limited numbers of marine mammals to be taken: (1) animals taken for scientific review and public display, after a specified review process; (2) marine mammals taken incidentally to commercial fishing operations prior to October 21, 1974; (3) animals taken by Native Americans and Inuit Eskimos for subsistence or for the production of traditional crafts or tools; and (4) animals taken under a temporary exemption granted to persons who could demonstrate economic hardship as a result of MMPA (this exemption was to last for no more than a year and was to be eliminated in 1974). MMPA also sought specifically to reduce the number of marine mammals killed in purse-seine or drift net operations by the commercial tuna industry.

The language used in the legislation is particularly notable in that it makes clear that the MMPA is intended to protect marine mammals and their supporting ecosystem, rather than to maintain or increase commercial harvests: “[T]he primary objective in management should be to maintain the health and stability of the marine ecosystem. Whenever consistent with this primary objective, it should be the goal to obtain an optimum sustainable population keeping in mind the optimum carrying capacity of the habitat.”

All regulations governing the taking of marine mammals must take these considerations into account. Permits require a full public hearing process with the opportunity for judicial review for both the applicant and any person opposed to the permit. No permits may be issued for the taking or importation of a pregnant or nursing female, for taking in an inhumane manner, or for taking animals on the endangered species list.

Subsidiary legislation and several court decisions have modified, upheld, and extended the original MMPA: *Globe Fur Dyeing Corporation v. United States* upheld the constitutionality of the statutory prohibition of the killing of marine mammals less than eight months of age or while still nursing.

In *Committee for Humane Legislation v. Richardson*, the District of Columbia Court of Appeals ruled that the NMFS had violated MMPA by permitting tuna fishermen to use the purse-seine or drift net method for catching yellowfin tuna, which resulted in the drowning of hundreds of thousands of porpoises.

Under the influence of the Reagan Administration, MMPA was amended in 1981 specifically to allow this type of fishing, provided that the fishermen employed “the best marine mammal safety techniques and equipment that are economically and technologically practicable.” The Secretaries of Commerce and the Interior were empowered to authorize the taking of small numbers of marine mammals, provided that the species or population stocks of the animals involved were not already depleted and that either Secretary found that the total of such taking would have a negligible impact.

The 1984 reauthorization of MMPA continued the tuna industry’s general permit to kill incidentally up to 20,500 porpoises per year, but provided special protection for two threatened species. The new legislation also required that yellowfin tuna could only be imported from countries that have rules at least as protective of porpoises as those of the United States.

In *Jones v. Gordon* (1985), a federal district court in Alaska ruled in effect that the National Environmental Policy Act provided regulations which were applicable to the MMPA permitting procedure. Significantly, this decision made an environmental impact statement mandatory prior to the granting of a permit.

Presumably owing to the educational, organizing, and lobbying efforts of environmental groups and the resulting public outcry, the MMPA was amended in 1988 to provide a three-year suspension of the “incidental take” permits, so that more ecologically responsible standards could be developed. Subsequently, Congress decided to prohibit the drift netting method as of the 1990 season.

In 1994, the MMPA was amended to include more concrete definitions of harassment levels and grouped them as level A harassment (potential to hurt a wild marine mammal) and level B harassment (potential to disrupt their environment or biology). The 1994 amendments also constructed new restrictions of photography permits and states under harassment level B that scientific research must limit its influence on the marine mammals being studied. The 1994 amendments also established exceptions to the MMPA’s take prohibitions for scientific research and Alaska Native subsistence fishing.

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Lawrence J. Biskowski

## Marine pollution

Marine pollution is the detrimental alteration of a portion of an ocean or ocean coastline. Pollution is often a threat to any organism living in or depending upon the ocean. Human impact on coastal and open ocean habitats comes in many forms: nutrient loading from agricultural runoff and sewage discharges, toxic chemical inputs from industry and agriculture, petroleum spills, and inert solid wastes.

Nutrient loading is perhaps the most well-studied form of pollution, and its biological consequences have been observed and documented. Algal blooms, including red tides, have been attributed to elevated nutrient levels in coastal systems. These blooms, through their respiration and decomposition, can deplete the levels of dissolved oxygen in the waters to almost zero, killing zooplankton, fish, and shellfish. These nutrients often



**Rubbish washed up on the shore in the Arabian Gulf. Mineral water bottles, shampoo bottles, and old tins are among the hazards facing marine wildlife despite regulations controlling dumping. (Paul Cowan/Shutterstock.com)**

come from runoff of agricultural fertilizers, the use of which has increased seven-fold since 1950. Approximately 25 percent of the fertilizer used in the United States annually enters rivers and coastal waters.

Another source of nutrients is the discharge of sewage. Throughout the world, raw sewage is released into rivers and coastal habitats by many countries, leading to algal blooms and increased biochemical oxygen demand (BOD). BOD, the rate at which oxygen disappears from a sample of water, increases dramatically with loading of organic material such as sewage, and results in the lowering of dissolved oxygen levels. The wastes of domesticated animals may also have a major impact in some systems. A single cow produces approximately thirty-one pounds (fourteen kilograms) of waste per day, the equivalent of ten people. When discharged into rivers or coastal waters, wastes produced by large herds or feedlots may have substantial effects.

Toxic substances are introduced into the marine environment from various sources, some of which may be hundreds of kilometers away. Heavy metals, pesticides, and acid rain threaten not only coastal and estuarine systems, but also life in the open ocean. Heavy metals occur in many forms, some of which are soluble in seawater. These soluble compounds may not be the forms in which they were originally released into the environment, often making their sources difficult to determine. Many heavy metals are released in industrial effluents, especially from chemical plants, smelters, and mining runoff. These compounds may affect humans directly through contact, or indirectly, from the consumption of fish and shellfish, where metals often accumulate in tissues.

Acid precipitation is rain, snow, or fog that has a lower pH than normal and is caused by inputs of nitric ( $\text{HNO}_3$ ) and sulfuric acid ( $\text{H}_2\text{SO}_4$ ) into the atmosphere from manufacturing and the burning of fossil fuels, originating from industrial processes and automobiles. These causes may be far from the area of impact since prevailing winds can carry pollutants considerable distances. The ocean has a high buffer capacity, that is, the ability to neutralize many of the acid inputs. Therefore, most of the severe effects of acid rain are observed in freshwater lakes and rivers. Some estuaries, however, may also be seriously impacted, as has been observed in the upper Chesapeake Bay. The pH of a river feeding the Bay dropped from 6.3 to 5.8 between 1972 and 1978. Juvenile and spawning striped bass may not be able to tolerate such high acidity. Precipitation of nitrogen-based acid also increases nutrient loading in aquatic systems.

One of the most publicized sources of marine pollution is that caused by petroleum products. While large oil spills can devastate a local area, equally important is the discharge of crude oil while cleaning bilges and emptying tanks at sea. In the period from 2000 to 2008, an average of 24 tons (21.8 metric tons) of oil has been spilled annually (based on spills of at least 7.7 tons). Since tanker ports and refineries are, by necessity, located on the coast, these sensitive areas receive considerable damage from the spills. The damage to marine life is staggering. Large numbers of seabirds are killed annually, their oil-matted plumage making flight impossible and exposing them to hypothermia. Oil-soaked fur of marine mammals loses its water repellency, also leading to death by hypothermia. Ingestion of oil by fishes, birds, and mammals also may result in death.

Hundreds of tons of inert solid wastes are dumped into the oceans from ships annually. Of these, plastics and polystyrene (styrofoam) are deadly to marine life. Often floating for hundreds of miles and lasting for many years, plastics are frequently mistaken for food by fishes, turtles, and mammals, and proceed to either interfere with subsequent feeding or strangle the consumer. It has been estimated that plastics and discarded fishing gear, such as monofilament line and discarded nets, kill one million seabirds and 150,000 marine mammals each year.

Beginning with the Refuse Act of 1899 and the Water Pollution Act of 1948, there have been efforts to remedy the polluted oceans. Not until 1972, however, was legislation drafted that was powerful enough, and sufficient monies appropriated, to effect any change. With the Federal Water Pollution Act, or Clean Water Act, improvement of water quality in the United States began. By 1985, five of the goals of the 1972 Act were reached, and since then, the Clean Water Act has been amended to reflect increasing national concerns.

Pollution of the world's oceans had become so pervasive that an international convention was convened in 1972 to establish laws governing the discharge of all wastes into the ocean. The Convention on the Prevention of Marine Pollution by Dumping of Wastes and Other Matter (or London Convention) was entered into force in 1975 and prohibited the dumping of certain hazardous wastes, required a special permit for a number of identified materials, and required a general permit for other wastes. Amendments to the London Convention included the banning of radioactive waste dumping, the phasing out of dumping industrial wastes by 1995, and the banning of incinerating industrial wastes at sea. The 1996 Protocol was enacted to replace the London Convention,

taking a “precautionary approach” to dumping and the potential effects of dumping on the environment and aquatic ecosystems. According to the protocol, proposals for dumping had to provide proof that the deposition of waste would not harm the environment. The 2006 amendment to the 1996 Protocol was adopted to allow carbon dioxide (CO<sub>2</sub>) storage, a process termed carbon sequestration, under the seabed as of February 2007 in order to reduce the amount of carbon dioxide in the atmosphere. This amendment regulates carbon capture and storage (CCS) in sub-seabed geological formations for permanent sequestration to mitigate climate change. The International Convention for the Prevention of Pollution from Ships (1978), commonly known as MARPOL, and subsequent annexes to the convention, covers all garbage discharged by normal operation of ships at sea beyond three miles from shore. While it is too soon to tell, adherence to the MARPOL agreement should significantly reduce the amount of solid wastes polluting the world's oceans.

On April 20, 2010, an explosion on the *Deepwater Horizon* drilling rig in the Gulf of Mexico destroyed the structure and triggered an oil leak on the ocean floor. For 87 days oil spewed into the Gulf, with only a fraction of the more than 200 million gallons of polluting crude oil collected by a fleet of recovery vessels. Millions of gallons of chemical dispersants were also used. While the extensive use of dispersant chemicals was at first trumpeted as an effective means of removing much of the threat to wildlife, fisheries and coastal habitats from the massive surface and underwater oil, later studies have demonstrated that, as of September 2010, a huge amount of oil remains suspended in the water column. The long term ecological impacts remain under study.

*See also* Bioaccumulation; Estuary; Food chain/web; Heavy metals and heavy metal poisoning.

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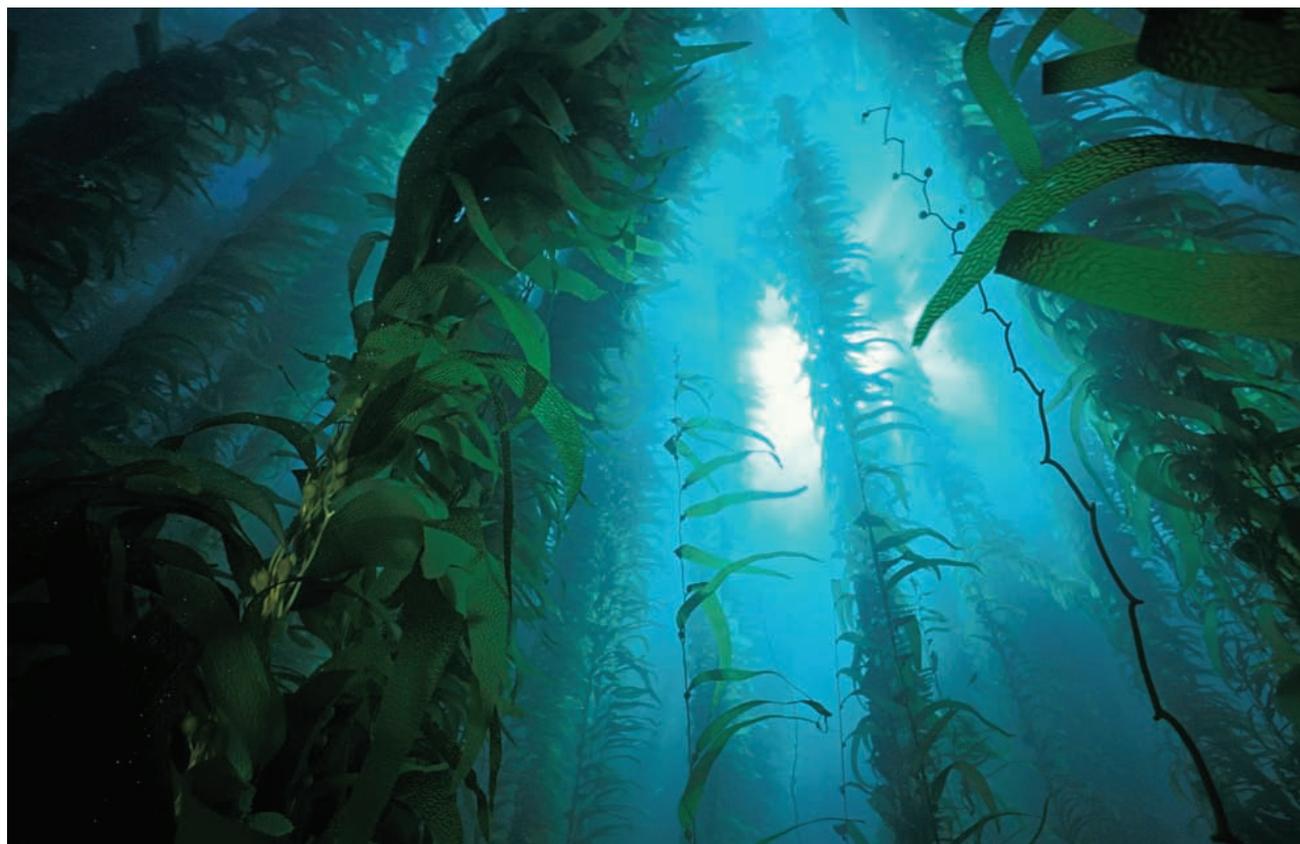
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## Marine protected areas

Marine protected areas (MPAs) are marine environments that enjoy certain federal, state, local, or tribal regulation and conservation management programs by virtue of their unique ecosystems and/or cultural resources. Currently, there are approximately 5,000 MPAs around the world, but they only cover less than 1 percent of the world's oceans.

Known variously as marine reserves, marine sanctuaries, and/or no- take areas, marine protected areas may include national marine sanctuaries, marine features of national parks and monuments, marine wildlife refuges, fishery reserves, estuarine reserves, or state conservation areas and reserves. They may be regulated as no-take areas, meaning that marine resources and/or marine life cannot be removed from these sanctuaries. Or, they may allow fishing and other commercial or recreational activities within certain guidelines and limitations. Management of an MPA is determined on a site-by-site basis by one or more



Kelp forest underwater near Santa Barbara Island, Channel Islands National Park, California. (Gregory Ochocki/Photo Researchers, Inc.)

federal, state, territorial, local, and/ or tribal regulatory authorities.

Marine protected areas are not just a reaction to the effects of industrialization. They have existed in some form among traditional fishing cultures for centuries. Tabu or kapu areas, controlled by clans or chiefs in Oceania, created no-take zones. When westernization occurred, many of these areas disappeared. In recent years, some of these tabu areas have been revived.

Some countries created protected areas along their coastlines through governmental decrees or legislation. The Royal National Park in New South Wales, Australia, is perhaps the oldest protected area in the world. Designated in 1879, the park prohibits the use of explosives, net-fishing, and the commercial harvesting of oysters. Certain areas restrict all commercial fishing. Other Australian reserves followed. Today, another Australian MPA, the Great Barrier Reef Marine Park, is considered one of the most successful multiple-use MPAs in the world.

Other governments soon instituted marine protected areas on their shores. New Zealand has led the world with the establishment of hundreds of MPAs. By 2010, the government of New Zealand hopes to have 10 percent of its territorial waters protected as MPAs. Africa has established approximately 100 reserves, and Europe is rapidly catching up, with MPAs popping up in France, Spain, Greece, Albania, Bosnia, and Croatia.

Though the United States has 93,208 miles (150,000 km) of coastline and had some initial legislation in place, it waited until serious damage was occurring before developing a viable plan to coordinate the nation's MPA-related initiatives. Unfortunately, much of the focus of the U.S. MPAs will first be on remediation of many of its fragile coastal environments before concentrating on developing an inclusive plan for its extensive coastline.

In May 2000, President Clinton signed Executive Order 13158 on Marine Protected Areas, which was designed to ensure the long-term security of critical ocean habitats, marine life, and cultural resources through an integrated national network of science-based MPA selection and management. The order designated the Department of Interior and Department of Commerce as jointly responsible for the MPA mission to “(a) strengthen the management, protection, and conservation of existing marine protected areas and establish new or expanded MPAs; (b) develop a scientifically based, comprehensive national system of MPAs representing diverse U.S. marine ecosystems, and the Nation’s natural and cultural resources; and

(c) avoid causing harm to MPAs through federally conducted, approved, or funded activities.”

Executive Order 13158 mandated the creation of a national database of MPAs. This register of nationally-recognized MPAs would promote integrated conservation efforts between federal, state, and local authorities and stakeholders. Order 13158 defined an MPA as “any area of the marine environment that has been reserved by federal, state, territorial, tribal or local laws or regulations to provide lasting protection for part or all of the natural and cultural resources therein.” To further hone this relatively broad-based definition, the National Oceanic and Atmospheric Administration (NOAA) has established five criteria for inclusion in the MPA inventory:

- The site must have defined geographical boundaries and must be in federal, U.S. territorial, state, or tribal marine areas.
- The site must be marine in nature, meaning that it includes ocean or coastal waters, including intertidal areas, bays, and estuaries. It may also be a part of the Great Lakes or their connecting waters, and can include land as an integral component of the site.
- Must be established as a reserved area by Federal, state, territorial, local, or tribal law or regulation.
- Must be lasting, as in a permanent and year-round protected area.
- Must have existing laws or regulations that are designed and applied to afford the site with increased protection for part or all of the natural and cultural resources therein. As of 2010, a national system for managing the nation’s 1,500 MPAs had not been established. Over one hundred federal, state, local, and tribal agencies manage MPAs in the United States.

The establishment of a MPA list or inventory would bring further clarity and regulatory integration to a legacy of environmental legislation affecting ocean habitats that began with the National Marine Sanctuaries Act (NMSA) in 1972 (and its amendments and reauthorizations in 1980, 1984, 1988, 1992, 1996, and 2000). NMSA, Title III of the Marine Protection, Research and Sanctuaries Act, was signed by President Nixon on the 100-year anniversary of the National Park Service. The Act set up the criteria for national sanctuaries that today only include thirteen marine areas spanning approximately 18,000 square miles (approximately 28,967 km<sup>2</sup>). U.S. nationally- designated sanctuaries include sites such as:

- *Channel Islands*. Designated as a marine sanctuary in 1980, the Channel Islands are located off the coast of Santa Barbara, California. The unique ecosystem of

- the sanctuary is home to giant kelp forests and 27 species of whales and dolphins.
- *Cordell Bank*. Cordell Bank is a submerged island on the edge of the continental shelf off the coast of San Francisco. The sanctuary, created in 1989, covers about 526 square miles (about 846 km<sup>2</sup>) that are home to a rich and diverse array of marine life.
  - *Fagatele Bay*. A tropical coral reef formed by a now-extinct volcano, Fagatele Bay is located off of Tutuila, an island of American Samoa. The sanctuary was designated in 1986.
  - *Florida Keys*. The Florida Keys National Marine Sanctuary encompasses approximately 28,000 square miles (about 51,856 km<sup>2</sup>) surrounding the Florida Keys, and includes such unique features as coral reefs and mangroves. It was established in 1990.
  - *Flower Garden Banks*. A series of three sites off the coasts of Texas and Louisiana, Flower Garden Banks was established in 1992 and 1996 as a national marine sanctuary (two sites in 1992 and the third in 1996). The area's unique coral reefs and twin salt domes host an abundance of tropical marine life.
  - *Gerry E. Studds-Stellwagen Bank National Marine Sanctuary*. This nutrient-rich expanse of Massachusetts Bay is home to the endangered right and humpback whales. It was designated in 1992.
  - *Gray's Reef*. Given sanctuary status in 1981 by President Carter, Gray's Reef is a sandstone reef with a live-bottom habitat consisting of corals, sponges, and other invertebrates. The sanctuary encompasses 17 square miles (27.4 km<sup>2</sup>) and is located off the coast of Georgia.
  - *Gulf of the Farallones*. The 1,255 square mile (approximately 2,020 square kilometer) Gulf of the Farallones National Marine Sanctuary was created in 1981. Located 30 miles (48 km) west of San Francisco Bay's Golden Gate Bridge, the Farallones sanctuary is home to a variety of seals, sea lions, and seabirds.
  - *Hawaiian Islands Humpback Whale*. An estimated two-thirds of the entire Pacific humpback whale population return to the Hawaiian Islands in the winter to breed, calve, and raise their young. The sanctuary was designated in 1992 to protect this important habitat.
  - *Monitor*. The first of only two archaeological sites of the thirteen U.S. marine sanctuaries, the Monitor National Marine Sanctuary is the location of the sunken remains of the U.S.S. *Monitor*, the first iron-clad Civil War battleship. The *Monitor* site was the first U.S. marine sanctuary, designated in 1975 in the coastal waters off North Carolina.
  - *Monterey Bay*. Another California coastal sanctuary, Monterey Bay is the largest of the marine sanctuaries at over 5200 square miles (approximately 8,368 km<sup>2</sup>). It was established in 1992.
  - *Olympic Coast*. The Olympic Coast National Marine Sanctuary was designated in 1994 and covers 3,300 square miles (approximately 5,311 km<sup>2</sup>) off the Washington State coast and Olympic Peninsula. It is home to a rich and complex intertidal ecosystem and a variety of seabirds and marine mammals.
  - *Thunder Bay*. Thunder Bay National Marine Sanctuary and Underwater Preserve is the only designated sanctuary located in the Great Lakes. The 448 square mile (721 km<sup>2</sup>) Lake Huron location, a historical shipping alley, is the site of at least 116 nineteenth- and twentieth-century shipwrecks. It became a national sanctuary in 2000.
- In 2006, President George W. Bush established the Papahānaumokuākea Marine National Monument off the coast of Hawai'i. At nearly 140,000 square miles (363,000 km<sup>2</sup>), Papahānaumokuākea Marine National Monument covers a greater area than of the United States' national parks combined. The reserve protects the fragile coral reefs of the area, which serve as the home for over 7,000 marine species.
- The NMSA calls for marine use management that balances the public's right for appropriate recreational and commercial use of protected areas with habitat conservation and ecosystem needs. Some areas may allow recreational use with permits, or during specific times of the year. Others may restrict certain uses completely. Because human use of marine resources frequently conflicts with biodiversity and conservation goals, this is a major challenge of the ongoing management of marine protected areas.

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National Marine Sanctuary System, National Ocean Service, National Oceanic and Atmospheric Administration, 1305 East-West Highway, 11th Floor, Silver Spring, MD, USA, 20910, (301) 713-3125, (301) 713-0404, [nmscomments@noaa.gov](mailto:nmscomments@noaa.gov), <http://www.sanctuaries.nos.noaa.gov/>

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## Marine Protection, Research and Sanctuaries Act (1972)

The Marine Protection, Research and Sanctuaries Act of 1972 (also known as the National Marine Sanctuaries Act) is a comprehensive law designed to deal with ocean resources. The law has three main sections: first, it regulates ocean dumping; second, it authorizes marine pollution research; third, it establishes the marine sanctuary program. These sanctuaries can be established to protect areas of significant conservation, cultural, ecological, educational, esthetic, historical, or recreational values. A fourth component to the law, added in 1990, establishes regional marine research programs.

The law established a permit process administered by the Environmental Protection Agency (EPA) to regulate all ocean dumping, with the exception of dredging materials, which require a permit from the Army Corps of Engineers. The act mandated that ocean dumping of sewage sludge and industrial waste end by 1981, but this deadline was missed. The Ocean Dumping Ban Act of 1988 amended the 1972 law, establishing a deadline of December 31, 1991, for the end of ocean dumping of sewage sludge. A system of escalating fees and fines was incorporated into the act to help reach the deadline. The law also immediately prohibits the dumping of medical waste in the ocean

The marine sanctuaries can be designated in coastal waters or in the Great Lakes. Thus far, thirteen National Marine Sanctuaries have been designated: Channel Islands (off the coast of California), Cordell Bank (California), Fagatele Bay (American Samoa), Florida Keys (Florida), Flower Garden Banks (Louisiana/Texas), Gray’s Reef (Georgia), Gulf of the Farallones (California), Humpback Whale (Hawaii), Key Largo (Florida), Looe Key (Florida), Monitor (North Carolina), Monterey Bay (California), and Sellwagen Bank (Massachusetts). Numerous additional sites are being studied for designation. The sanctuaries range in size from the 0.25 square miles (0.65 km<sup>2</sup>). Fagatele Bay to the 5,312 square miles (13,758 km<sup>2</sup>) at Monterey Bay (larger than Connecticut).

Designation is usually made by the Secretary of Commerce, after consultation with other agencies and the affected states’ governments. State waters can be included in a sanctuary if the state agrees.

In the 1980s Congress and environmental groups were concerned with the slow pace of sanctuary designation. Congress acted to expedite the designation process, and in 1990 by-passed the usual process completely to designate the Florida Keys Marine Sanctuary, largely in response to a number of ship groundings in the area.

The Sanctuaries and Reserves Division of the National Oceanic and Atmospheric Administration (NOAA), in the Department of Commerce, is responsible for the administration of these sanctuaries. Management of the sanctuaries is based on a multiple use approach: the significant resources within the sanctuaries are to be protected, but uses such as diving and sport and commercial fishing may also continue in most cases if such uses do not harm the significant resources. Regulations as to what is allowed and not allowed are established for each particular sanctuary, depending on what resources are present and being protected. Offshore gas and oil drilling, for example, is not allowed in sanctuaries, but shipping (including oil tankers) often is. In addition to protection and use, the sanctuaries are also sites for research and marine education.

The act has had several amendments added, with the a complete overhaul happening in 1992. Two of those changes were that the management plans of the sanctuaries must be reviewed every five years by the Secretary and the violation fine was raised to \$100,000. The most recent amendments were made in 2000. The most significant of those was the clarification of illegal acts on the sanctuaries.

The U.S. Congress last reauthorized the National Marine Sanctuaries Act in 2000, authorizing appropriations through the end of fiscal year 2005. As of August 2010, although further reauthorization remained pending, NOAA continued to enforce the Act and manage designated marine sanctuaries.

See also Environmental education; Environmental science; Recreation.

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## Marine provinces

Marine provinces are specific geographic areas of the ocean delineated by common landform (i.e., coastal geology and position of the continental shelf) and water conditions (i.e., temperature, currents, wind patterns, and salinity). In addition, marine provinces may be categorized by the unique flora and fauna (or biota) they support.

With less than five percent of the ocean mapped as of early 2002, the exact number of marine provinces worldwide is unknown. The United States Geological Survey (USGS) specifies ten coastal marine and estuarine provinces bordering on the United States—the Acadian, Arctic, Californian, Carolinian, Columbian, Fjord, Louisianian, Pacific Insular, Virginian, and West Indian provinces. The U.S. Environmental Protection Agency (EPA) also uses these classifications for its Environmental Monitoring and Assessment Program (EMAP), but further divides the Arctic marine province surrounding Alaska into the Arctic, Bering, Aleutian, and Alaskan Provinces.

Marine provinces may be subdivided into marine biogeographical regions or ecoregions. In their classification system for marine ecosystems and habitats, the National Oceanic and Atmospheric Administration (NOAA) defines marine provinces as benthic (bottom floor) or pelagic (water column) zones of the ocean. These zones are then categorized by depth (i.e., intertidal, sublittoral, bathyal, abyssal, hadal, neritic, and oceanic) and ocean floor topographical features (e.g., reef, sandbar, crevice, etc.).

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Paula Anne Ford-Martin

Marsh see **Wetlands**.

Marshall Islands see **Bikini atoll**.

## Marsh, George Perkins

1801–1882

American diplomat, philologist, conservationist, politician, and lawyer

A long-time diplomat, George Perkins Marsh served twenty-one years as ambassador to Italy and a shorter term in Turkey. He was a skilled lawyer, a Congressman from Vermont, a many-times-failed businessman, a learned scholar, and master of numerous languages. He was also author of *Man and Nature: Physical Geography as Modified by Human Action*, a book that Gifford Pinchot called "epoch-making" and that Lewis Mumford, in *The Brown Decades*, described as "the fountainhead of the conservation movement." Rene Jules Dubos described Marsh himself as "the first American prophet of ecology" and not a few have ascribed to him the actual founding of the science.

Marsh was born and grew up in Woodstock, Vermont. As a young person, poor eyesight turned him from avid book-worm to student of nature, and created his lifelong attitude: "the power most important to cultivate and, at the same time, hardest to acquire, is that of seeing what is before [you]." What he saw, especially in the over-grazed, deforested lands of Italy and Turkey, is what inspired the writing of *Man and Nature*.

The emphasis in Marsh's book is on human beings as agents of change, which is often detrimental to

nature. “Man is everywhere a disturbing agent. Wherever he plants his foot, the harmonies of nature are turned to discords,” he wrote. He went on to emphasize that “not a sod has been turned, not a mattock struck into the ground, without leaving its enduring record of the human toils and aspirations that accompany the act.” The consequence of this change, Marsh wrote, is that “the earth is fast becoming an unfit home” for its human inhabitants.

Nevertheless, Marsh was also an early humanist, believing that human dominion over nature could be used constructively: he saw the purpose of his book as not only tracing human ravages of nature, but as also suggesting “the possibility and the importance of the restoration of disturbed harmonies and the material improvements of waste and exhausted regions.” His writings and activities spurred others into action.

His reaction to deforestation directly influenced the United States Congress to establish a federal forest commission and, eventually, to the reserves now part of the vast system of national forests in the United States. While ambassador to Italy, he wrote a report on irrigation for the U.S. Commissioner of Agriculture that led to the formation of the Bureau of Reclamation in 1902. His claim that it is “desirable that some large and easily accessible region of American soil should remain as far as possible in its primitive condition” influenced wilderness advocates such as John Muir and Aldo Leopold and was an early clarion call for the setting aside of pristine wildlands.

Though not a trained scientist nor a particularly skilled writer, Marsh sensed early the destructive capability and impact of human activities. He assessed those impacts with great clarity and did much to establish a base for intelligent restorative actions.

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Gerald L. Young

## Marshall, Robert

1901–1939

American wilderness advocate

Robert Marshall was the son of a prominent New York lawyer who helped insert the phrase “forever wild” into the state constitution, a key to preserving

the forests of the Adirondack region. His experiences in the Adirondack Mountains as a youth, at his family’s summer place, and the early habit of taking long walks, gave early shape to his preoccupation with the importance of wilderness. Marshall worked diligently for the protection of wilderness in the United States for aesthetic reasons and for the psychological health of the American people by counteracting the “drabness” and “horrible banality” of modern civilization.

Marshall earned a forestry degree from Syracuse University, a Masters of Forestry from Harvard, and ultimately a doctorate in plant physiology from Johns Hopkins. He first worked for the United States Forest Service at an experimental station in Missoula, Montana, before leaving to continue his education in graduate school. His experience in Montana exposed him to “the working man’s” view of forestry, views that conditioned his later opinions on social reform.

The death of his father left him financially independent, but Marshall returned to the Forest Service in 1932 and 1933 to work on the National Plan for American Forestry. He later left to become director of forestry for the Office of Indian Affairs and then returned as chief of the Division of Recreation and Lands. In his Indian Affairs position, he worked for a greater role by Native Americans in the management of the forests on their reservations. As head of the Forest Service Division of Recreation and Lands, he became one of the twentieth century’s best known voices in support of protection of wilderness areas in national forests. His last achievement may have been the adoption in 1939 of the Regulation U1, governing wilderness areas and Regulation U2 governing wild areas (the two known together as the U Regulations). The Regulations were signed by Agriculture Secretary, Henry Wallace, as a Forest Service policy to endorse the recommendations developed by Marshall (and others on a committee) in an effort to protect these areas from development, including—and especially—road building (but also, logging, building, etc.), and to give such areas more permanence by requiring public notice and a waiting period before any alterations.

Marshall’s travels to Alaska in the late 1920s and 1930s resulted in detailed mapping of parts of the Brooks Range. This led in part to their preservation as wilderness and to a better understanding of tree growth at timberline in the Arctic.

Two books were written based on his travels to Alaska. The first, *Arctic Village* (1933), based on a year’s residence in the Arctic village of Wiseman, earned him wide recognition, both critically and popularly, and was almost an ethnographic study of life among both

the Eskimo and the white settlers in the wilderness back country of northern Alaska. The second, *Arctic Wilderness*, published in 1956 (well after his death), was more in line with his well-deserved reputation as a scholar and activist with vast knowledge of wilderness conditions.

Bob Marshall contributed also as one of the founders and financial supporters of the Wilderness Society. The Wilderness Society was a culmination of his call for “an organization of spirited people who will fight for the freedom of the wilderness.” The Society helped to implement his dream of wilderness preservation through its decisive role in the eventual passage of the Wilderness Act, though that did not take place until more than two decades after Marshall’s death. The Bob Marshall Wilderness system in Montana was later named in his honor.

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Gerald L. Young

## Mass burn

Mass burn refers to the incineration of unsorted municipal waste in a Municipal Waste Combustor (MWC) or other incinerator designated to burn only waste from municipalities. This waste management method avoids the expensive and unpleasant task of sorting through the garbage for unburnable materials. All waste received at the facility is shredded into small pieces and fed into the incinerator. Steam produced in the incinerator’s boiler can be used to generate electricity or to heat nearby buildings. The residual ash and unburnable materials, representing about 10–20 percent of the original volume of waste, are taken to a landfill for disposal. Mass burn incineration also has several drawbacks. Since the waste is unsorted, it often generates more polluting emissions than sorted waste, and it is more likely to corrode burner grates and chimneys. The residual ash and unburned materials may be toxic and require special treatment.

The Environmental Protection Agency (EPA) establishes standards for the burning of municipal waste. A MWC is designed so that it cannot burn continuously 24 hours a day. Waste cannot be fed to the unit nor can ash be removed while combustion is occurring. As a result, burning occurs in batches or spurts. A large MWC plant has a capacity greater than 250 tons per day. A very large MWC plant has a capacity of about 1,100 tons per day.

Municipal solid waste includes household, commercial or retail, and institutional waste. Household waste includes material discarded by single and multiple residential dwellings, hotels, motels, and other similar permanent or temporary housing establishments. Commercial or retail waste includes material discarded by stores, offices, restaurants, warehouses, and nonmanufacturing activities at industrial facilities. Institutional waste includes material discarded by schools, hospitals, and nonmanufacturing activities at prisons, government facilities, and other similar establishments.

Household, commercial or retail, and institutional wastes do not include sewage, wood pallets, construction and demolition wastes, industrial process or manufacturing wastes, or motor vehicles (including motor vehicle parts or vehicle fluff). Municipal solid waste also does not include solely segregated medical wastes. However, any mixture of segregated medical wastes and other wastes which contains more than 30 percent medical waste discards is considered to be acceptable as municipal solid waste.

The *Code of Federal Regulations* (CFR) specifically defines a Municipal Waste Combustor (MWC) unit as any device that combusts solid, liquid or gasified municipal solid waste including but not limited to field-erected incinerators (with or without heat recovery), modular incinerators (starved air or excess air), boilers (steam generating units), furnaces (whether suspension-fired, grate-fired, mass-fired or fluidized bed-fired), and gasification/combustion units. This does not include combustion units, engines or other devices that combust landfill gases collected by landfill gas collection systems.

According to the CFR, terms connected with a MWC must be clearly defined. MWC acid gases are defined as all acid gases emitted in the exhaust gases from MWC units including sulfur dioxide and hydrogen chloride gases. MWC organics are defined as organic compounds emitted in the exhaust gases from MWC units including total tetra- (4) through octa- (8) chlorinated di-benzo-p-dioxins and dibenzofurans. This

class of chlorinated chemicals (where chlorine gas binds with certain organic matter, especially carbon atoms) includes dioxin or TCDD.

EPA also identifies some of following as potential discharges from a MWC: hydrogen chloride, sulfur dioxide, refuse-derived fuel (RDF), nitrogen oxides, and carbon monoxide. The amounts of these emissions discharged are closely monitored. EPA sets allowable standards and compliance schedules for each emission. These standards are legally enforceable regulations.

EPA also sets standards for facility operations. These standards include guidelines for monitoring, for handling ash, for reporting and recordkeeping, for operating the MWC unit within acceptable standards, for maintaining proper combustion air supply levels, and for start-up, shutdown and malfunction of the unit. Compliance and performance testing guidelines are also included in the CFR. These standards and guidelines apply to MWCs constructed, modified, or reconstructed after December 20, 1989. The Code pertaining to MWC is updated periodically.

*See also* Fly ash; Hazardous waste; Scrubbers; Solid waste; Solid waste incineration.

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Liane Clorfene Casten

## Mass extinction

Periodically, every fifty to one hundred million years or so, the earth has experienced mass extinctions, the relatively rapid, large-scale disappearance of many if not most living creatures. The world may be experiencing this phenomenon now at a far more rapid pace than ever before.

Of the five largest mass extinctions, the oldest occurred during the lower Ordovician period some 435 million years ago, when approximately one-quarter of all ocean families, and half of ocean genera, disappeared. (Creatures living in the sea are often used to measure the severity of mass extinctions, because the

fossil record of marine sediments is more complete than that for terrestrial animals.) Among the creatures lost during this time were many types of trilobites, cephalopods, and crinoids, all of which are thought to have died out in shallow tropical waters because of sudden changes in ocean levels.

During the late Devonian period, about 357 million years ago, over a fifth of marine families and more than half of marine genera gradually died out over what may have been a ten-million-year interval. Climate and sea-level changes apparently doomed many types of corals, trilobites, fish, and brachiopods.

The most severe mass extinction of all took place at the end of the Permian period 250 million years ago. This destroyed as much as 96 percent of all plant and animal species, probably over an interval of at least a million years. Over half of all ocean families were wiped out, as were up to 80 percent of the marine genera. This was fatal for the remaining trilobites and most land species. But the "great dying," as the event is called, also created ecological niches for future life forms, including the dinosaurs.

In the Permian extinctions the suspected but unproven cause is a massive and extremely violent volcanic eruption in Siberia, which catapulted huge quantities of sunlight-blocking dust and aerosol droplets into the atmosphere. This, in turn, cooled the climate abruptly, expanding the polar icecaps, shrinking the oceans, and causing a new ice age. The rapid fluctuations in sea levels decimated the marine creatures of this period. To make matters worse, volcanic explosions could also have turned sulfate minerals into sulfuric acid and sulfur dioxide gas, which would have produced a ruinous rain of acidic precipitation. Over a 600,000 year period, these eruptions spewed a flood of molten basalt that created rock formations 870 miles (1400 km) in diameter next to Lake Baikal, an area called the Siberian Traps.

Another mass extinction occurred about 198 million years ago, during the late Triassic period, when a quarter of marine families and half or more of marine genera disappeared. Cephalopods, gastropods, bivalves, brachiopods, and reptiles, were destroyed, as were the conodonts, the fish from which vertebrates may have descended.

The most recent and best known mass extinction occurred a mere 65 million years ago, at the end of the Cretaceous period. It brought about the end of many creatures, including the dinosaurs and ammonites (shellfish). Sixteen percent of marine families and up to 46 percent of marine genera were lost at this time.

Scientists are still debating the causes of this catastrophic event. It could have coincided with (and may have been caused or accelerated by) a massive volcanic eruption and lava flood in what is now India, where it created a rock formation called the Deccan Traps. Other experts believe that the explosion of a nearby star cooled the atmosphere and emitted deadly radiation for thousands of years. Or perhaps herbivorous dinosaurs starved because they could not adapt to the new types of vegetation that developed. Their extinction would have killed off the carnivorous dinosaurs that preyed on the plant-eaters. Maybe the dinosaurs could not compete for food with the newly-emerging mammals, which ultimately replaced them as the dominant creatures on the planet.

In truth, much is unknown about the causes of the past mass extinctions. A theory with the weight of some evidence behind it raises the possibility that one or more comets or asteroids may have hit the earth, flinging billions of tons of dust and other debris—or ice crystals, if the object impacted in the oceans—into the atmosphere. By blocking out the sunlight for several months, and plunging the earth into darkness, the collision could have lowered temperatures below freezing, killing off the dinosaurs and the plants on which they fed. This hypothesis is based in part on the 1979 discovery, by Dr. Walter Alvarez of the University of California at Berkeley, of the presence of unusually high concentrations of the precious metal iridium in sediments from the time of the dinosaurs' extinction. Iridium, which belongs to the platinum family of elements, is much more plentiful in meteorites than planetary rocks, but it is also frequently found in strata from volcanic eruptions. Walter Alvarez, along with his father Luis Alvarez (1911–1988), who won the Nobel Prize in physics, proposed the theory that a massive asteroid or other celestial body struck Earth and precipitated the dinosaur mass extinction. After the Alverezes published their impact theory, the Chicxulub crater in the Yucatan peninsula of Mexico was discovered and subsequently dated to the time of the Cretaceous extinction. The impact that formed the crater has since been considered to be the prime candidate for the dinosaur's demise. At more than 110 mi (180 km) in diameter, the Chicxulub crater is one of the largest impact structures known to exist on Earth. Scientists estimate that the impacting body was at least 6 mi (10 km) in diameter. Most scientists today concur with the hypothesis that the Chicxulub impact (and perhaps other impacts around that time) caused the dinosaur's mass extinction.

However, other scientists hold to the contention that virtually all mass extinctions could have been caused

by volcanic eruptions and the huge floods of basalt resulting from such explosions. There is some evidence to support both theories. University of Chicago paleobiologist Dr. David M. Raup may have put it best when he wrote, "The disturbing reality is that for none of the thousands of well-documented extinctions in the geologic past do we have a solid explanation of why the extinction occurred."

Mass extinctions are not just a phenomenon of ancient geologic history; the last few thousand years have witnessed several such events, albeit on a far smaller geographic scale than those previously discussed. For example, the extinction of the giant animals of North America appears to have coincided with the arrival of humans on the continent, with the changing climate at the end of the last ice age also a possible factor. Crossing the Bering land bridge linking Siberia and Alaska about 12,000 years ago, primitive tribespeople, possibly from Siberia, found a population of large mammals, including giant beavers, bison, camels, mammoths, mastodons, and even lions in North America. These prehistoric hunters, moving south from Alaska towards South America, may have wiped out most of these species within 1,000 years.

Fossil remains of these Pleistocene mammals, some with spear points imbedded in them, reveal such creatures as the elephant-like mastodon; the huge-tusked, shaggy red-haired mammoth; a giant beaver weighing over 400 pounds (181 kg); the fabled saber toothed "tiger"; a 7-foot (2.1 m) wide, long-necked camel; 20-foot (6 m) long ground sloths; a bison 7 feet (2.1 m) high at the hump, with a 6-foot (1.8 m) spread between its horns; and huge wolves, lions, bears, and horses.

The earth now faces another threat of mass extinction which may occur over a few years or decades, instead of thousands or millions of years. Particularly endangered are those species that are less well known, and in many cases not yet identified by science. Many of these are plants, animals, and invertebrates are found in tropical rain forests, which are rapidly being cut and destroyed.

A report issued in 2007 by the Intergovernmental Panel on Climate Change (jointly established by the United Nations Environment Program and World Meteorological Organization) projected that as worldwide temperatures rise due to global warming, the rate of species extinction will rise as well. For instance, the report estimates that a 3.6° Fahrenheit (2°C) increase in average global temperature will lead to the outright extinction—or near extinction—of 20 to 30 percent of the world's species.

Many of these lost species may be potential sources of food or medicines, and may perform essential ecological functions critical to sustaining life on the planet. It is impossible to predict precisely what the effects of these modern mass extinctions will be, but the ecological disruptions that will inevitably occur could have drastic consequences for human welfare and survival.

See also Acid rain; Biodiversity; Endangered species; Food chain/web; Greenhouse effect; Ice age refugia; Radioactivity; Radiocarbon dating; Rare species; Volcano.

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Lewis G. Regenstein

## Mass spectrometry

Mass spectrometry is a technique of elemental analysis first developed by Sir Francis Aston in the early twentieth century. In a mass spectrometer, a sample is first vaporized and then converted to positively charged ions. These ions are accelerated to a high speed and then passed through a magnetic field. Since ions of different weight are bent by different amounts in the magnetic field, elements can be identified on the basis of how far they are bent in the field. Mass spectrometry is a very sensitive analytical technique that permits the detection of trace amounts of a substance. Not only can the technique be very sensitive and potentially specific, it can also distinguish between different isotopes.

As of 2010, mass spectrometers are used by environmental investigators to detect point sources of pollution. Because mass spectrometers can be used to detect explosives

or explosive residues, put into daily use by security forces protecting buildings and airports.

See also Measurement and sensing.

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## Mass transit

Mass transit (also called public transport, public transit, or public transportation) systems transport large numbers of people simultaneously in single vehicles. Examples of mass transit systems include buses, ferries, rapid rail, light rail, commuter rail, and intercity rail systems. Although popular before the age of the automobile, mass transit systems have become marginal transportation modes in many cities in the United States. Recently however, as the negative impacts of automobile use have become of greater public concern, a renewed interest in encouraging mass transit has emerged. The Intermodal Surface Transportation Efficiency Act (ISTEA) of 1991 explicitly allocated funds toward improving mass transit systems.

Now in the twenty-first century (as it was in the last quarter of the twentieth century), transportation congestion is worsening in the United States. In addition to the opportunity costs of time associated with traffic congestion, there are environmental costs associated with automobile use: emission of greenhouse gases, air pollution, noise pollution, and increased suburban sprawl and land use. Other social costs include increased probability of traffic accidents, increased occurrence of stress-related disorders, and increased social severance. Yet in most cities, people seem to be unwilling to use alternative modes of transportation, such as public transit, carpooling, or bicycling, which might impose



**Public subway transport on concrete bridge view.** (kentoh/Shutterstock.com)

lower environmental and time costs on society. In 2009 (according to the U.S. Department of Transportation), the average occupancy rate for cars is 1.59 per vehicle and for sport-utility vehicles the rate is 1.92. Trends indicate the situation will worsen over time.

The social costs associated with automobile use, including environmental pollution, congestion, accidents and public health effects, are known in the economics literature as “externalities.” These are costs associated with automobile use, which are not borne directly by the individuals making the decisions to use their automobiles. Although everyone experiences the negative effects of air pollution, and all drivers experience the negative effects of congestion, each individual driver only experiences a fraction of the total social cost produced when he or she drives. When a driver makes the decision about whether or not to drive an automobile, the full costs of driving are not taken into account. The result is that too many commuters choose to drive, and the social costs far outweigh the private benefits associated with the existing level of driving.

The solution from an economic standpoint is to force drivers to “internalize the externalities” or bear the full cost of their driving. This can be done through policy tools such as congestion pricing or gasoline taxes, both of which discourage driving. An alternative solution to the inefficiency is to encourage more use of mass transit by making mass transit less costly to commuters. Many people believe driving is more

safe, comfortable, and convenient than mass transit. In order to compete with automobile use, mass transit must either be less expensive than automobiles or more attractive in amenities.

A commonly-held misconception about mass transit is that it must pay for itself. Mass transit is a public good that benefits all commuters, including those who use mass transit and those driving who enjoy less-congested roads and less-polluted communities. Because both users and non-users receive benefits, it makes sense for the public sector to be subsidizing mass transit. In fact, because the external benefits of mass transit are difficult to quantify, it is unclear how much subsidization of mass transit is optimal. More subsidization creates lower transit fares and a more convenient system which encourages more riders; both riders and non-riders benefit from this.

In order to be an effective solution to the transportation problem, mass transit should satisfy several criteria. It should be cost-effective and less polluting per passenger mile than automobile use. Its public benefits should outweigh the public costs of operating the system. Investment in mass transit is only worthwhile if it will cause a significant number of people to switch to transit from using automobiles. National statistics show the number of vehicle occupants moving closer to one over time, demonstrating the high value the general public seems to place on driving their cars. Alternative modes such as mass transit must be competitive with automobiles in order to cause a decrease in automobile usage and a subsequent improvement in the environment.

Mass transit systems have significant environmental benefits, including substantial reductions in greenhouse gases, energy consumption and traffic congestion, provided enough commuters switch to using it. A fully occupied train can remove one hundred cars from the road during rush hour, a bus forty, and a vanpool thirteen. Associated energy savings can be around 40 to 60 percent, and cleaner fuels can reduce emissions even further. Mass transit systems behave in different ways. Park and ride systems for example, are much less environmentally friendly than transit-only options. During the first few miles of an automobile trip, emissions and fuel use are very high, due to the cold start. In a 10-mile trip for example, 90 percent of emissions are produced in the first few miles. Although they offer fewer environmental benefits than full transit systems, they still decrease traffic congestion and accidents.

In addition, the increased use of mass transit systems also provide a viable way to test, develop, and

implement environmental friendly fuel alternatives, such as hydrogen electric, ammonia, biofuel, and compressed natural gas powered vehicles, along with flexible fuel vehicles (those vehicles whose engines use more than one type of fuel).

Not every city in the United States is suited for mass transit systems. Large cities such as New York City and Washington, DC, have the density of people and businesses necessary to make the systems viable and, consequently, mass transit systems are heavily used. Many cities have grown in a sprawling manner, due mainly to the dominance of the automobile, so that the density of the population makes good mass transit infeasible. On the other hand, if a good mass transit system were in place, one could envision the development of business and residential hubs around the transit system, which would eventually increase population density and ridership. An addition to the above-mentioned benefits of mass transit, the revitalization of downtowns, many of which have deteriorated with the growth of the suburbs, might occur as development adapts to improved mass transit systems.

Future technological developments might improve the attractiveness of mass transit relative to automobile use. Intelligent Vehicle Highway Systems (IVHS) refer to the application of advanced technologies, especially communications technologies, to improve the efficiency of the nation's surface transportation system. Potential outcomes of this endeavor include the creation and implementation of "smart cars," "smart transit," and "smart streets."

A great deal of IVHS is devoted to improving the efficiency of automobile transportation. IVHS might offer traffic signal timing and smart car technology, which would reduce congestion and traffic accidents. These technologies will make automobile use more convenient and safe than before, encouraging more automobile travel. On the other hand, IVHS offers the possibility of implementing a congestion pricing system where automobile users pay a fee when using certain roads at congested times. This cost would make transit more competitive relative to automobiles during heavy commute hours.

Certain components of IVHS might improve convenience to make mass transit more competitive with automobiles. A component of IVHS is the development of communications systems controlling traffic patterns and flows on streets and highways. These systems can be used in conjunction with HOV (high-occupancy vehicle) lanes to make transit systems more competitive in travel time. In addition, computerized fare-collection devices speed transit boarding, a time-consuming aspect

of present transit travel. These technologies make transit and ridesharing more convenient and attractive to commuters, increasing transit use relative to individual vehicle use.

IVHS could encourage or discourage mass transit use. For planning purposes, it is important to consider the implications of the different components of IVHS to the future of mass transit systems. The benefits associated with increased mass transit use are generally public as opposed to private. Yet, the individual decision about which transportation mode to use is a private decision. Increasing mass transit use requires the development of attractive, competitive mass transit systems that are a convenient, safe, and comfortable option to automobile travel. Improved mass transit in these ways should pay off in terms of an improved environment and quality of life, what is often called the green initiative or 'going green.'

*See also* Environmental economics; Externality; Urban sprawl.

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Barbara J. Kanninen

## Material Safety Data Sheets

The use of a Material Safety Data Sheet (MSDS), as mandated by the Occupational Health and Safety Administration (OSHA), is an integral part of hazard communication and "right-to-know" in the work place. An MSDS for a chemical substance is designed to provide both workers and emergency personnel with procedures for handling or working with that substance. An MSDS provides information concerning safe handling procedures, first aid measures, and

procedures to be taken when the substance is accidentally spilled or released. MSDSs are provided by the manufacturer and supplier of chemicals and should be available to all employees at all times. MSDSs for many substances are available on the Internet. Many MSDSs are complete, accurate, and informative, while others, especially for those chemicals a manufacturer considers to be proprietary, may be missing key pieces of information. An MSDS must contain (written in English) the information described below.

An MSDS contains information on ingredients and their physical and chemical characteristics. Except for trade secrets, the MSDS gives the specific chemical name, chemical formula, and common names for the ingredients that have been determined by OSHA to be hazardous chemicals (i.e., any chemical that is defined as a physical or health hazard). If the substance is a mixture that has been tested as a whole to determine its hazardous properties, the chemical and common names of the ingredients that contribute to those known hazards and the common names for the mixture are listed.

If the substance is a mixture that has not been tested as a whole, the chemical and common names are listed for all ingredients that are determined to be health hazards and that comprise 1 percent or more of the mixture; identified as carcinogens and present at 0.1 percent or more; or determined to present a physical hazard when present in the mixture.

The MSDS also gives information about physical and chemical characteristics that indicate what a material or mixture is like and how it behaves, including boiling point, vapor pressure, vapor density, water solubility, specific gravity, evaporation rate, and reactivity with water and other substances.

An MSDS also contains information describing hazards, precautions, control measures, and emergency procedures. It describes physical hazards such as combustibility, flammability, and explosiveness, and it identifies health hazards, which include acute and chronic hazards that result from exposure, as well as whether the substance is listed as a confirmed or potential carcinogen. In addition, the MSDS gives the applicable precautions for safe handling and use, including steps to be followed if material is spilled or released; waste disposal methods for spilled substances, which must follow federal, state, and local regulations; precautions to be taken in handling and storage; personal protective equipment that are required; signs and symptoms of exposure; and description of routes of entry into the human body during normal usage or a foreseeable emergency. The MSDS describes the applicable control measures such as special fire fighting procedures and extinguishing media and emergency first aid procedures.

Finally, MSDSs describe exposure limits and give information about responsible parties. The document lists the OSHA Permissible Exposure Limit (PEL), the American Conference of Governmental Industrial Hygienists (ACGIH) Threshold Limit Value (TLV), and any other recommended exposure limits that might apply. The MSDS includes the date of preparation or latest revision, with the name of the person responsible for preparing the MSDS being optional. It also lists the name, address, and telephone number of the manufacturer, importer or other responsible party.

Judith L. Sims

## Materials balance approach

A materials (or mass) balance approach for contaminants of public health and/or environmental concern is used to determine the presence, fate and transport of contaminants in the environment. The materials balance approach, a fundamental principle of science, engineering, and industrial research and risk analysis, is familiar to professionals trained in the physical or life sciences and engineering. The use of a materials balance approach provides a technique to describe the environment as it is today and as it might be under conditions resulting from remedial actions or from changes in the way society produces, uses, and disposes of chemicals of environmental concern. It also provides a rational and fundamental basis for asking specific questions and for obtaining specific information, which is necessary for determining fate and transport of contaminants, selecting and evaluating remedial treatment options, and monitoring treatment effectiveness.

The determination or construction of a materials balance is dependent on conservation of materials. Material is not created nor is it destroyed by ordinary processes, but it is transformed. When applied to chemicals of environmental concern, conservation of materials requires that a chemical entering a specific environment must be transformed, held in, or transported out of the environment. The amount of a chemical that leaves any process, environmental compartment, or area must be exactly balanced by the amount that enters minus net accumulation within the process or compartment boundaries. This materials balance can be stated as a simple equation:

$$\text{Change in mass in a volume} = \text{Mass entering a volume} - \text{Mass leaving a volume}$$

The control volume (compartment) for analysis, the shape of the volume, and the identification of input and output flows as well as the processes acting within the control volume all must be chosen carefully in order for the materials balance to provide useful information for the assessment of environmental impacts and the control of environmental effects.

The steps involved in determining a materials balance of contamination in an environment include:

- Where is the contamination, in what form(s) does it exist and in what concentrations is it present?
- Where is the contamination going under the influence of natural geochemical and geobiological processes (that is, what are key pathways of transport and fate; what are the processes that affect mobility and degradation of contaminants)?
- Based on the answers to questions 1 and 2, how can the contamination be contained, destroyed, or immobilized in the specific phases in which it is found? Through time, as natural and remedial processes act upon specific contaminants, additional determination of the materials balance is required to assess the fate and transport of the contaminants or their transformation products and to develop further treatment or containment methodologies.
- Based on the answers to questions 1 and 2, what environmental phases should be monitored through time to assess the fate and transport of the contamination and effectiveness of treatment or containment under both natural and remedial processes?

Data to answer questions 1 and 2 may be obtained from three categories:

- direct data from administrative records regarding the type and quantity of contamination, as well as where it entered the environment;
- direct data from chemical measurements;
- indirect data from modelling and simulations of natural processes.

Types of direct data may include an assessment of the presence of contaminants in all environmental compartments present in a specific environment, which may include the air phase, aqueous and non-aqueous liquid phases, and solid phases. Contaminants may be transformed partially or completely in the respiration process to obtain energy to synthesize new microbial cells, so an estimate of mineralization (for example, by measuring oxygen utilization or carbon dioxide production in aerobic systems) may also provide important information.

A determination of the accuracy of a materials balance assessment is indicated by a good agreement between direct and indirect measurements, suggesting

that the control volume was well-bounded and the processes acting within the volume were well-defined. The study of the materials balance approach involves both art and science, but if well-implemented, can provide an understanding of the environment of concern.

*See also* Risk assessment (public health); Waste management.

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Judith Sims

## Maximum permissible concentration

In radiology, the maximum permissible concentration refers to the recommended upper limit for the dose which may be safely received during a specific period by a person exposed to ionizing radiation. It is also sometimes called permissible dose.

*See also* LD<sub>50</sub>; Threshold dose.

Maximum social welfare *see* **Pareto optimality (Maximum social welfare)**.

## McHarg, Ian Lennox

1920–2001

Scottish design ecologist and writer

Ian Lennox McHarg was a landscape architect and prolific author on regional planning. His work on ecological planning laid the foundation for the development of geographic information systems.

Ian McHarg was born and raised in Clydebank, Scotland. Before his first move to the United States, McHarg attended two colleges in Scotland and spent

eight years in the British army, including active battle service in World War II. He entered the army as an enlisted man, after four years attended Officer Training School, and was demobilized in 1946 at the rank of major.

That same year, McHarg entered Harvard University to study landscape architecture, from which he graduated with a bachelor's degree in landscape architecture 1949 and a masters in the same subject, one year later. He returned to Scotland for a short while and then earned another advanced degree from Harvard in city planning (1951). For a short time, he returned to Scotland, serving as a planning officer in the country's Department of Health and teaching a course in landscape architecture at Edinburgh College of Art and then at Glasgow College of Art. His career in the United States began in 1954 when he accepted an appointment as an assistant professor of landscape architecture and city planning at the University of Pennsylvania, where a year later he was instrumental in creating the Department of Landscape Architecture.

While many practitioners consider landscape architecture a traditional design field that creates back yards for the wealthy, McHarg is widely credited with a revolution in the field. At the university, he taught landscape architecture and planning from an interdisciplinary, ecological perspective, bringing in ecologists, geologists, anthropologists, and even lawyers to participate in team-taught courses. McHarg's students have emerged to foment McHarg's ecological revolution in university design and planning departments, in planning agencies, and in design firms across the country.

As an active designer and planner outside the university McHarg put his ecological ideas into practice in such places as Hazleton, Pennsylvania, Medford, New Jersey, Amelia Island, Florida, Teheran, Iran, and Tarko, Taiwan. What one person can plan and build in a lifetime is limited, so it is McHarg's ideas (and the students, readers, and practitioners instilled with those ideas) that will remain significant far into the future.

The best known distillation and presentation of those ideas is in his *Design with Nature*, published in 1969 and reissued in a twenty-fifth anniversary edition in 1992. Lewis Mumford, in his preface to the first edition, best describes McHarg's accomplishment:

“McHarg's emphasis is not on either design or nature by itself, but upon the preposition *with*, which implies human cooperation and biological partnership. He seeks, not arbitrarily to impose design, but to use to the fullest the potentialities—and with them, necessarily, the restrictive conditions—that nature

offers. So, too, in embracing nature, he knows that man's own mind, which is part of nature, has something precious to add that is not to be found at such a high point of development in raw nature untouched by man.” Later, Mumford exclaims “here are the foundations for a civilization.”

In *Design with Nature*, McHarg tried, and to a large degree succeeded, to direct planning away from its socioeconomic preoccupation toward an in-depth consideration of environment; to help integrate the physical and life sciences into an applied environmental science; to explore the role of values in planning, and to present a theory and method of planning as a way to understand and channel human adaptations to the environment.

McHarg's ideas changed both landscape architecture and planning, but perhaps the latter more so. In planning, the human ecological philosophy he developed and advocated—in *Design with Nature* (and in numerous articles)—and the very practical method of comprehensive data collection and utilization he worked out in many years of classes and design projects, are today employed worldwide, including integrated applications in new GIS systems. Known by many as the father of ecological planning, McHarg moved from a limited environmental determinism to a contemporary interdisciplinary approach that defines planning as interactive, as applied human ecology.

McHarg died on March 5, 2001, from pulmonary disease at eighty years of age.

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Gerald L. Young

## McKibben, Bill Ernest

1960–  
American environmentalist and writer

William Ernest “Bill” McKibben is an American nature writer who was born in Palo Alto, California. He graduated from Harvard University in 1982 and

was a staff writer and editor at *The New Yorker* until 1987 when he began his freelance career. He now lives with his wife, writer Sue Halpern, and their daughter in Vermont. As of 2010, he is scholar-in-residence at Middlebury College and head of a fellowship program in environmental journalism. He is a fellow at the Post Carbon Institute.

In *The End of Nature*, McKibben's first book, he argues that the greenhouse effect is not only part of humanity's destruction of the environment, but a symptom of Man's alienation from the natural world. He calls for an end to practices that contribute to the greenhouse effect, such as burning fossil fuels. Such practices, he writes, "will lead us, if not straight to hell, then straight to a place with a similar temperature."

While critics contended the book was too one-sided and lacked scientific rigor, environmentalists praised the book.

McKibben's second book, *The Age of Missing Information* (1993), is a study of the seduction of television. On one day he videotaped every show on every cable channel available in his area, more than 1,000 hours of television, and then watched it all. To contrast that experience, he spent a day camping in the woods near his Adirondack home. "This book is about the results of that experiment—about the information that each day imparted," he says. Talking about this book, McKibben says, "Television...is a very private experience, with an almost constant message of 'you are the most important, this Bud's for you.' And if you are at the center of the world, it's hard to live environmentally aware."

McKibben has also edited *Birch Browsings: A John Burroughs Reader* (1992). John Burroughs, a nature writer whose career spanned sixty years beginning in 1865, is credited with establishing the nature essay as a literary genre. Many environmentalists are returning to the writings of such early nature writers as Burroughs, John Muir, and Aldo Leopold to study their ideas about land stewardship.

In 2010, he continues to write numerous articles and essays for a variety of magazines and has nine other authored books. His latest book, published in 2010, is *Eaarth: Making a Life on a Tough New Planet*.

In 2007, McKibben founded Step It Up, an environmental grassroots campaign advocating for the U.S. government to address global warming. In 2008, the campaign went global when McKibben announced a campaign called 350.org (which refers to the level of carbon dioxide that is the safe atmospheric limit). By

2010, 350.org had been instrumental in organizing over 5,000 demonstrations in over 180 countries.

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Linda Rehkopf

MDC see **More developed country**.

## Measurement and sensing

A fundamental premise of all scientific research is that scientists can make measurements, expressed in precise mathematical terms, of conditions and events. Indeed, some argue that a field of study can only be called a science to the extent that the data being collected can be mathematically measured. These criteria apply to environmental science as they do to other physical and biological sciences.

Measurements serve a number of functions in environmental studies. One is to provide baseline information about certain aspects of the environment. A source of concern to scientists and non-scientists has been the apparent depletion of the earth's ozone layer over at least the past 40 years. According to the National Aeronautics and Space Administration (NASA), the first observations of unusual ozone depletion in earth's atmosphere were reported in 1974. Additional atmospheric measurements and laboratory experiments indicated that the

decreasing concentration of ozone in the stratosphere seemed to be linked to human activities (such as the manufacture and use of chlorofluorocarbons [CFCs]). That finding was significant because the ozone layer acts as a shield against potentially harmful ultraviolet radiation from the sun.

But how do scientists know that changes are taking place in the ozone layer? Such a conclusion can be drawn only if information exists regarding the “normal” concentrations of ozone in the stratosphere. One purpose of measurements, therefore, is to accumulate a huge volume of information regarding the “normal” condition of the environment.

A second purpose of measuring is to determine how some aspect of the environment may be changing, often as a result of human activities. Our concern about the ozone layer, for example, arises out of readings taken by high-flying aircraft and orbiting satellites over a period of several decades. Those readings show consistent changes (decreases) from the level that is regarded as natural or normal. These levels are not fluctuating, that is, decreasing and then regaining normalcy. Rather, there has been an overall decades-long trend towards decreased ozone levels. However, continued measurements by NASA demonstrated that the long-term decrease in stratospheric ozone had more-or-less ceased by the later half of the twenty-first century. For instance, measurements in 2010 of the annually appearing ozone “hole” over the Antarctic indicated that it was one of the smallest of the decade—in other words, stratospheric ozone seems to be generally (but slowly) recovering. This stabilization (and even improvement) in ozone levels is attributed, at least in part, to the ban on CFCs and other synthetic ozone-depleting chemicals. Without continuing observations and measurements of troposphere ozone, scientists would be unaware whether the ozone layer is still being depleted, has stabilized, or is regenerating.

Dozens of techniques are available for measuring environmental characteristics. Many of these techniques are not unique to environmental science. For example, the use of thermometers to record temperatures is vitally important in many environmental studies. But temperature-taking is not a specialized procedure used by environmental scientists. The measurement of radioactivity is another example. Geiger counters are used to determine the level of radiation in environmental studies just as they are in scientific research, medical applications, industrial processes and other situations.

Over the years, a number of techniques have been developed for measuring specific environmental

characteristics. Many of these techniques can be classified according to their use in the measurement of air quality or water quality.

An example of the former is the high-volume sampler (HVS) used in measuring particulates in the atmosphere. An HVS is essentially a modified vacuum cleaner that sucks air into a hose and forces it through a filter paper. The difference in the weight of the paper before and after it has trapped the particulate matter is used to determine the weight of the particulates. Since the total volume of air passing through the HVS can be easily measured, the systems provides of measure of particulates in mass per volume.

Techniques for measuring various components of a gas are also becoming more sophisticated. At one time, for example, the determination of ozone levels was made simply by suspending a piece of natural rubber of known size and weight in the air. Since ozone causes rubber to crack, the speed at which cracking occurred was taken as a measure of ozone levels in the air.

As with most other gases, ozone is now measured by chemical means. A sample of gas is passed through some device, often a “bubbler,” that contains a compound that will react with the gas being tested. The concentration of that gas can be measured, then, by determining the amount of chemical change that takes place in the measuring device.

For example, a reaction with which many high school students are familiar can be used to determine the level of sulfur dioxide in the air. Sulfur dioxide reacts with lead dioxide ( $\text{PbO}_2$ ) to form a characteristic black precipitate of lead sulfate.



If lead dioxide is added to a bubbler through which a sample of gas is passed, the amount of darkening in the device (due to the formation of lead sulfate) is a measure of the concentration of sulfur dioxide in the gas.

Many environmental measurements now make use of sophisticated chemical techniques. One example is infrared spectrometry. The term *spectrometry* refers to the measurement of energy absorbed or emitted by various compounds. All molecules are held together by electrons that vibrate with characteristic frequencies. If energy is added to those molecules, they will absorb frequencies of energy that match their characteristic frequencies, but no others. Each kind of molecule can be identified, therefore, by a “map” of the frequencies that it does and does not absorb.

Infrared spectrometry is the most common form of the technique used because most molecules vibrate

with frequencies in the infrared region. Techniques such as infrared spectrometry are valuable because they can detect concentrations of a material at much lower levels than can most chemical systems.

The increasing sophistication of measuring techniques does not mean that all simple procedures have been abandoned. For example, one method for measuring the level of air pollution requires no more than good eyesight and a reference card. The reference card contains the Ringelmann scale, a set of six squares that range from pure white to pure black. Each square contains an amount of hatching that corresponds to completely pure air (pure white, no hatching) to badly polluted air (totally black). The four intermediary squares contain increasingly more hatching, constituting equivalents of 20 percent, 40 percent, 60 percent, and 80 percent “blackness.”

For comparison, opacity (darkening) of air can also be determined by electronic means more precisely. A photometer, for example, is a device that records the amount of light transmitted by a sample of air. The light is converted to an electric current that can be read on a meter. In some industrial and power plants, a photometer is attached to the smokestack to obtain a continuous record of the opacity of the gases being emitted.

The two most common types of air quality measurements are those for ambient air quality and emissions. Ambient air refers to the outdoor air that surrounds us. Ambient air quality measurements provide information on the possible accumulation of harmful compounds such as carbon monoxide, sulfur dioxide, nitrogen oxides, and hydrocarbons.

Emission measurements reveal the level of such compounds being released from a power plant, a factory, or some other source. In many cases, emissions can be studied by simply drilling a hole in a smokestack, extracting a small sample of exhaust gases, and studying their composition by methods described above.

Many biological, chemical, and physical methods are available for measuring water quality. The presence of pathogens in a sample of water can be determined, for example, by standard bacteriological techniques in which a water sample is allowed to incubate for some period of time and the number of bacteria produced counted visually or electronically.

At one time, most water tests were fairly straightforward chemical tests. The concentration of nitrogen in a water sample, for example, can be determined by a standard procedure known as the Kjeldahl test and the amount of chlorination by precipitation with a silver salt. Today, most water tests can be conducted

by more sophisticated instrumental techniques. A photometer can be used to compare an unknown water sample with a known standard to determine the concentration of nitrogen, phosphorus, chlorine, or some other component.

One of the most basic measurements of water quality is that of oxygen demand. The more polluted a water sample is, the more organic matter it is likely to contain. The more organic matter, the greater the amount of oxygen the sample will require to decompose the organic material.

Traditionally, the method for determining this characteristic was biochemical oxygen demand (BOD), a test in which a sample of water is studied over a five-day period. To overcome the lengthy time required to conduct this test, modifications such as total organic carbon (TOC) have been developed.

Specialized measuring techniques are sometimes required for particular types of environmental studies. Determining the amount of noise pollution in an area, for example, requires the use of a sound level meter, a device consisting of a microphone, amplifier, frequency-measuring circuit, and read-out screen.

One consequence of the improved technology now available for making measurements is that smaller and smaller concentrations of a substance can be detected. Chemical means can routinely detect the presence of a substance to the level of one part in a thousand or one part per million (ppm). The most advanced technologies today have stretched that sensitivity to levels of one part per billion (ppb) and even one part per trillion (ppt).

The efficiency of these measuring devices poses some new issues for environmentalists. What does it mean, for example, to learn that a toxic substance exists in the soil at a level of one ppb if we know the material is harmful only in much higher concentrations? Does any level of exposure to the substance pose a hazard, or can we safely ignore such a minuscule quantity of the substance?

A field of measurement of increasing importance in environmental studies is remote sensing. The term refers to any method by which an object or an area is studied at some great distance. Most commonly today, the term is used to describe surveys of the earth's surface by satellites orbiting around it.

Remote sensing procedures depend on the fact that various types of materials absorb and reflect solar energy in different ways. Instruments in satellites that can measure these differences can, therefore, detect variations in land and ocean surfaces.

Remote sensing uses three parts of the electromagnetic spectrum: the visible, infrared, and microwave regions. Perhaps the most common form of remote sensing is that which uses photography. Photographic equipment has been developed to the point where objects of no more than a few feet apart can be distinguished from outer space.

Some objects and features emit radiation in electromagnetic regions other than the visual, hence infrared and microwave techniques are also used. The images obtained from any one of these methods can be further improved by computer enhancement of the original photographs.

Remote sensing has been used for a variety of environmental applications. Some examples include the locating of possible mineral reserves, the tracing of water drainage patterns, the determination of soil moisture, the tracing of plant diseases, the calculation of snow and ice masses, and the measurement of biological productivity in the oceans.

See also Drinking-water supply; Emission standards; Greenhouse gases; National Ambient Air Quality Standard; Ozone layer depletion; Radiocarbon dating; Water pollution.

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David E. Newton

## Medical waste

Medical waste, sometimes also called clinical waste, is a subcategory of hazardous waste that is attracting increasing concern. The Environmental Protection Agency (EPA)



**Contaminated sharps include items such as used scalpel blades and syringe needles. They are disposed of carefully and incinerated to reduce the risk of accidental injury and infection with blood-borne pathogens such as hepatitis or HIV.** (© Sotiris Zafeiris / Photo Researchers, Inc.)

lists the following categories of medical waste: cultures and stocks; pathological wastes, which includes body parts; blood and blood products; used “sharps” such as needles and scalpels; animal waste or animal corpses, which have been inoculated with infectious substances in medical research; isolation wastes, which come from people with highly contagious diseases; and unused, discarded “sharps.” Medical waste is generally considered any type of waste from healthcare facilities, such as hospitals, physicians’ offices, nursing offices, etc., that is not considered general waste.

Ten to fifteen percent of medical waste is considered infectious, although guidelines on just what is infectious medical waste vary from state to state. As a result, state and federal guidelines on disposal of these wastes are a hodgepodge of confusing laws and regulations. In addition, the guidelines that do exist usually exempt generators of 50 pounds (23 kg) or less per month from any regulatory action.

The need to address medical waste began soon after such items as syringes, intravenous (IV) bags, and scalpels were observed washing up on ocean beaches in the summer of 1988. Congress directed the EPA to gather data on their sources, associated health

hazards, and current procedures and regulations for management and disposal and to evaluate the health hazards associated with transporting them, incinerating them, burying them in a landfill, and disposing of them in a sanitary sewer system.

A two-year, voluntary program enacted by Congress, the Medical Waste Tracking Act of 1988, was instituted in response to public concern over the treatment of medical waste. The Act created a “cradle-to-grave” tracking system based on detailed shipping records, similar to the program in place for hazardous waste. The pilot program has now expired, the EPA data has been sent to Washington D.C. administrators, and any action on the findings of the EPA’s study is in limbo. It is doubtful that the EPA report on medical waste will ever be submitted to Congress or find its way into the *Federal Register* because many of the states that participated in the program went on to pass strict medical-waste management guidelines of their own. All the states participating at least revised their municipal solid waste guidelines to include the category of medical waste, whether or not management practices were included.

Another complicating factor in the regulation of medical waste is that at least four different federal agencies are involved with medical-waste issues: the EPA, the Occupational Safety and Health Administration (OSHA), the Centers for Disease Control and Prevention (CDC), and the Agency for Toxic Substances and Disease Registry.

The EPA’s study reported that approximately 3.2 million tons of medical waste are produced in the United States each year. Not surprisingly, hospitals, long-term health care facilities, and physician’s offices are the major producers of medical wastes, which account for about 0.3 percent by weight of all municipal solid waste. The EPA found out that current practices of management and disposal range from handling the waste as nonhazardous municipal solid waste to strict segregation, packaging, labeling, and tracking from the generator to the disposal site, the so-called “cradle-to-grave” management.

Health care workers are required by federal law to segregate medical waste in special containers; if the waste is transported for treatment, it must be labeled with the generator’s name and carry a biological hazard symbol. However, facilities that produce less than 50 pounds (23 kg) of medical waste per month are exempt from most requirements. Home and small generator medical wastes fall through the cracks. Insulin-dependent diabetics, for instance, typically

dispose of used syringes in their household trash or flush them down the toilet. In some northeastern cities, New York City for example, antiquated sewage systems pour material into rivers and oceans during heavy rainfalls. Depending on tides and currents, syringes can end up on beaches, which is what New York and New Jersey coastlines experienced in 1988 and 1989.

A growing source of medical waste that is not regulated is that generated by home health-care providers. As a result of new patient-care strategies and rising medical costs, more long-term illnesses are being treated at home. That means that medical waste is being disposed of in ordinary household trash. Medical clinics and intravenous drug users are also suspected of contributing to unsafe dumping of medical wastes. Even U.S. Navy vessels contributed to beach medical waste.

The need to address the problem evolved long before wastes washed up on northeastern beaches, however. Between 2000 and 2010, and partly in response to the AIDS (acquired immune deficiency syndrome) and hepatitis epidemics, the use of disposable health care products has contributed to the increased volume of medical waste. Cost-containment measures have increased the use of plastic disposables in health care settings. From syringes to bedpans, health care aids are increasingly thrown away.

Common treatment techniques of medical waste include steam sterilization and incineration, although some waste is discarded into sewage systems. During autoclaving, the waste is exposed to steam at a temperature of 250 °Fahrenheit (121 °C) for at least forty-five minutes. Autoclaving fails to reduce the volume of waste that must be landfilled, and is only preferred in areas where there appropriate incineration equipment is not available. Proper incineration efficiently destroys all categories of infectious wastes, effectively kills live and dormant forms of pathogenic organisms, and alters the waste volume.

About 60 percent of medical waste is treated on site at hospital facilities. After treatment, ash residues or sterilized and disinfected materials must be transported to commercial treatment facilities or taken directly to landfills. Other options for medical waste treatment include compaction, microwaving, and mechanical or chemical disinfection, which could be less costly and alleviate concerns about emissions from incinerators.

According to the congressional Office of Technology Assessment (OTA), air emissions of dioxin and

heavy metals from hospital incinerators average ten to 100 times more per gram of waste burned than emissions from well-controlled municipal waste incinerators. And while waste generators are generally required to track with manifests the route their wastes take to reach disposal, strict requirements do not exist for on-site treatment facilities.

Some industry watchers, environmentalists, and policy makers are advocating a medical waste disposal program similar to some in Europe. Virtually all medical wastes in Switzerland and Germany, for example, go to regional incineration facilities. Stringent air-quality regulations in these countries make it impractical for hospitals to do on-site incineration, but the regional facilities made advanced air pollution control devices cost-effective. In Munich (Germany), operators dump the resulting ash in specially lined landfills. Hospitals in Canada are turning away from disposable supplies in favor of products that can be cleaned and reused.

For a regional system to work, however, medical waste must be safely transported. In the United States, there are no federal statutes governing the transport of medical refuse. Refrigerated trucks legally can and do carry food after transporting medical wastes.

A proposal to manage home users of syringes and medicines that end up in municipal solid waste landfills would include a pharmacy “swap” system. In Switzerland and Germany, for example, pharmacies accept old medicines for appropriate disposal, and then send the medicine to regional incineration outlets. A deposit-and-return system on syringes has been advocated in this country to insure the safe disposal of syringes.

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Linda Rehkopf

## Mediterranean fruit fly

The Mediterranean fruit fly (referred to as Med fly, or Moscard in Spanish), *Ceratitis capitata*, is one of the most destructive fruit pests in the world. The United States has suffered infestations of Med fly in California, Florida, Hawaii, and Texas, but with aggressive detection and eradication programs, the Med fly has been eradicated from all states, except Hawaii. Eradication efforts were difficult and expensive. There is a loss of crop yield associated with the infestation, control measures are costly, and both fresh and processed fruit and vegetables must be sorted. Some countries maintain quarantines against the Med fly, which eliminates potential markets when Med fly becomes established in an area.

The Med fly, which originated in Africa, is widely distributed throughout the world, as it has a wide range of hosts and is able to tolerate colder climates better than most other species of fruit flies. It has caused infestations in over eighty-five countries in tropical and subtropical regions. Since 1929, the United States has been involved in several outbreak and eradication programs. The Med fly became established in Hawaii in 1910. As of 2010, Hawaii remains infested.

Med fly larvae can develop and feed on most deciduous, subtropical, and tropical fruits and some vegetables. It has been shown to attack more than 260 different fruits, flowers, vegetables, and nuts. The Med fly prefers thin-skinned, ripe succulent fruits such as deciduous fruits (for example, pear, peach, and apple) more than citrus fruits. The larvae feed on the pulp of fruits, tunneling through it, and reducing the fruit to an inedible juicy mass. In the Mediterranean countries, often only the earlier maturing fruits are grown, because later maturing fruits would be too heavily infested to be marketable. Harvesting before complete maturity is also practiced in Mediterranean areas with Med fly infestations.

The adult Med fly is slightly smaller than a common housefly. It is colorful, with dark blue eyes, shiny black thorax (back), and a yellowish abdomen with silvery cross bands. Its droopy wings are covered with yellow, brown, and black blotchy spots and bands.

The life cycle of the Med fly has five phases: (1) the adult female pierces the skin of a fruit or vegetable with a needle-like ovipositor and deposits one to 10 eggs (other Med flies may deposit in the same puncture); (2) eggs hatch into maggots (worm-like, legless larvae); (3) larvae feed upon the pulp of the fruit or vegetable before dropping to the ground; (4) the larvae transform into pupae into the soil; and (5) the pupae

mature into adults and emerge from the soil. The life cycle is completed in twenty-one to thirty days under tropical summer weather conditions.

Eradication programs consists of three areas of action: survey, regulation, and control.

The Animal and Plant Health Inspection Service (APHIS) of the U.S. Department of Agriculture in cooperation with state departments of agriculture survey high-risk areas of states susceptible to Med fly infestations by conducting trapping programs. If one or more Med flies is collected in a trap, APHIS and state officials implement a survey to determine if there is an infestation and if so, to define the limits of the infested area. Using the original detection site as the focal point, additional traps are placed and monitored.

If an infestation is identified, then federal and state regulations dictate that a quarantine must be imposed to prevent the spread of the Med fly. Federal quarantine laws prevent the interstate movement of any article that might harbor the fly. State regulations control the movement of these articles going to uninfested areas within the same state. The regulated articles include all of the fruits and vegetables within the area that could serve as a Med fly host. Open-air vegetable and fruit stands are required to cover produce to prevent infestation. All commercial and home-grown produce may not be transported without inspection and treatment.

To eradicate a Med fly infestation, three kinds of treatment are used alone or in combination. An aerial and ground bait spray can be used that contains a protein/sugar bait to attract the fly and an insecticide in minimal amounts to kill the flies.

In the Sterile Insect Technique (SIT), Med flies are raised in large numbers, sterilized with a non-lethal dose of irradiation, and released into infested areas, where they mate with the wild Med flies. As these matings do not produce offspring, the wild population is eliminated through attrition. This technique is most effective in areas with low Med fly populations where a high proportion of sterile to wild Med flies can be achieved. Before the use of SIT, bait spray can be applied to decrease local populations down to lower densities.

The third method is to apply insecticide to the soil under host trees, where the insecticide kills some larvae as they enter the soil to pupate but most of the adults as they emerge from the ground. Application of insecticide to the soil is used only when larvae are detected. The preferred method of eradication control is an integrated approach, using all three treatments, with the use of SIT emphasized.

To prevent Med flies from being brought into the United States, APHIS administers agricultural quarantine laws to keep foreign plant pests and diseases from being brought into the country. Travelers coming to the continental United States from Hawaii or a foreign country are not allowed to bring into the country fresh fruits, meats, plants, birds, and plant and animal products.

If Med fly became established in the United States, prices of fruits and vegetables would increase, and produce would become less available. Both commercial production areas and backyard gardens would require the application of more pesticides on a regular basis.

Judith L. Sims

## Mediterranean Sea

For centuries, the Mediterranean Sea has been the focal point of western civilization. It is an area rich in history and has played critical roles in the development of shipping and trade, as a resource for feeding growing populations, and as an aid to the spread and mingling of races and cultures.

The Mediterranean began to form about 250 million years ago when the Eurasian and African continental plates began moving toward each other, pinching off the Tethys Sea, an extensive shallow sea that separated Europe and much of Asia from Africa and India. It now has only two outlets, the Straits of Gibraltar and the Bosphorus, a narrow strait between the Mediterranean and Black Seas. While the central basin of the Mediterranean reaches depths of several thousand yards, there is a sill under the Straits of Gibraltar that is only 1,970 feet (600 m) below the surface. Through this passageway flows surface water from the Atlantic Ocean.

Since the Mediterranean is situated in one of the world's arid belts, the inputs from precipitation and rivers is far less than the water lost through evaporation. If the straight at Gibraltar were to close due to further plate movements, the Mediterranean would dry up. In fact, data from the Deep Sea Drilling Project, seismic surveys, and fossil analysis have found evidence of salt deposits, ancient river valleys, and fresh water animals, all suggesting that this has occurred at least once. Since the African and Eurasian plates are moving together, this will probably happen again.

Humans can do nothing about this impending geological disaster. There are, however, events that people can influence. Domestic sewage, industrial discharge, agricultural runoff, and oil spills are seriously threatening the Mediterranean, fouling its once clear waters, altering its chemical cycling, and killing its organisms. Along its northern coastline are some of the most heavily industrialized nations in the world, whose industries are destroying nearshore nursery habitats, damaging fisheries. Dams on inflowing rivers reduce the sediment inputs, making coastal erosion a major problem. Shipping, once the hallmark of Mediterranean civilization, releases every manner of waste into the Sea, including oil. Annually, 6 million barrels of oil end up in the Mediterranean. The limited water circulation patterns of the Mediterranean compound this problem as pollutants accumulate.

Today seafood contamination and eye, skin, and intestinal diseases are frequently experienced by coastal residents. Marine mammal and sea turtle populations are threatened by habitat loss and non-degradable pollutants dumped into the waters. Sea grass (*Posidonia oceanica*), which provides food and habitat for some 400 species of algae and thousands of species of fish and invertebrates, is disappearing. Nutrient enrichment of the Mediterranean results in large plankton blooms which, combined with destructive fishing practices, contribute to the demise of the sea grass beds.

These problems have been recognized, and efforts are being made to reverse the declining health of the Mediterranean. Early efforts included the 1910 construction of one of the first institutions for study of the seas, the Musée Océanographique by Prince Albert I of Monaco. Since then, the conflicts between the political and religious ideologies of the 18 nations surrounding the Mediterranean have been major hurdles in completing cleanup plans. In 1976, the *Mediterranean Action Plan* was signed by 13 of the nations. A major component of this agreement was the Blue Plan, a study of future effects of increasing coastal populations. Other efforts include the Genoa Declaration in 1985 and the Nicosia Charter in 1990. The latter commits resources of the community, the World Bank, the European Investment Bank, and the United Nations Environment Programme to achieve a Mediterranean environment compatible with sustainable development by 2025. Hopefully, these efforts can reverse the decline of this natural wonder.

*See also* Algal bloom; Biofouling; Commercial fishing; Environmental degradation; Ocean dumping; Water pollution.

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William G. Ambrose  
Paul E. Renaud

## Megawatt (MW)

A megawatt is a unit of power equivalent to one million watts ( $10^6$  watts), or one thousand kilowatts. A unit of power, a megawatt expresses the rate at which energy is produced. A megawatt is equivalent to one million joules per second. A megawatt is a large unit of power and is used, therefore, when discussing the size of a power plant, a nation's total energy-generating capacity, or some other such large statistic. For example, the total electrical energy generating capacity for the state of California in 1988 was 45,900 megawatts.

*See also* Energy and the environment; Energy efficiency.

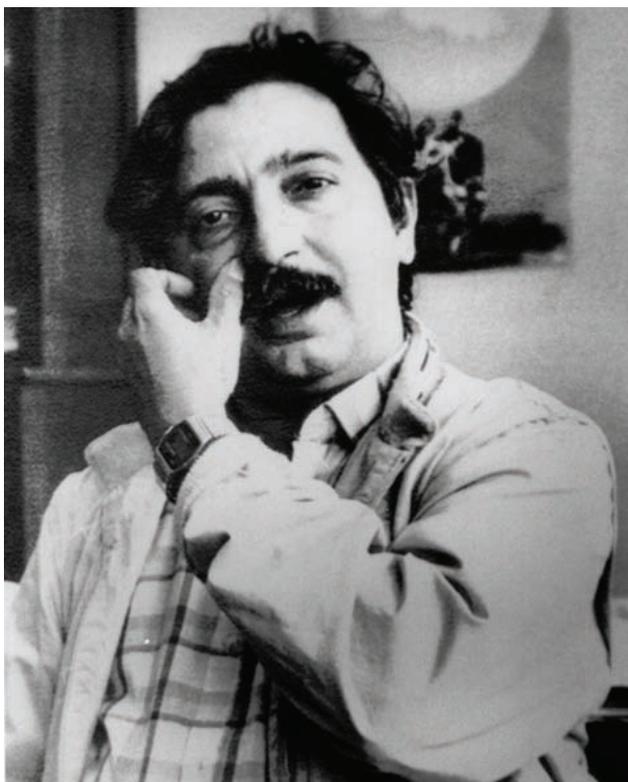
## Mendes, Chico

1944–1988

Brazilian union leader, conservationist, and activist

Francisco Alvo Mendes Filho, known as Chico Mendes, was a defender of the tropical rain forests and a champion of the concept of sustainable harvest as a means of saving and protecting that threatened ecosystem. As president of the local Rural Workers Union, representing rubber tappers in his native Brazil, Mendes became too powerful and politically influential for ranchers who wanted to turn the rain forest into grazing land for their cattle. The struggle between them ended in 1988, when Mendes was assassinated.

Chico Mendes was born in 1944 in Acre Province of Brazil, along the upper reaches of the Amazon River not far from the border with Peru and Bolivia. Following his father, he was a *seringueiro*, a rubber



**Chico Mendes.** (Archive/Corbis-Bettmann)

tapper. He farmed a small clearing, but relied on the sale of rubber from several hundred native rubber trees in the rain forest itself to provide income for him and his family. Mendes inherited the land and the trees from his father who had begun tapping them in the 1930s. Two long v-shaped cuts made with care in the bark of each rubber tree would yield one or two cups of the milky latex sap each week, which could then be dried to make natural rubber.

Mendes would also collect other natural forest products, such as fruits and Brazil nuts, to supplement his income. There are approximately 100,000 other rubber tappers living throughout the rain forest, and this is what they do as well. It is sustainable harvest which does not destroy the forest and provides a substantial income. On average, logging yields a one-time profit of \$1,290 per acre; if the land is converted to cattle pasture, it yields an income of about \$61 per acre per year. The sustainable yield of forest products, on the other hand, provides an income of \$2,762 per acre per year.

Land speculators and large cattle ranching concerns are more interested in the short-term profits they can realize by cutting down the forest, selling the timber, and converting the land for cattle grazing.

Ranching requires huge tracts of land, and satellite images indicate that in 1988 alone about 30 million acres of forest was destroyed for this industry. Low land prices, low tax rates, and direct subsidies to ranchers further encourage this practice. The Brazilian government had further aided ranching by building and maintaining roads into the forest, which are then used to ship cattle to market.

Chico Mendes fought to end this destruction of the tropical rain forest. He made many political inroads, gaining influence with the Interior Ministry as well as the public. His main adversary was Darli Alves da Silva, a cattle rancher who had begun acquiring forest land in Acre through strong-arm tactics and he vowed that Mendes would not live out 1988. Mendes had helped establish several forest reserves that year, thus all but ending forest clearing in Acre.

Mendes, his wife, and two policemen assigned to guard him were playing cards at his home on the night of December 22, 1988. Mendes stepped outside for a moment and was killed by a shotgun blast to the chest from a waiting assassin. The local police claimed no clues or suspects in the case, but local and international protests forced the Brazilian government to enter the investigation. Darli da Silva, his son Darci Pereira da Silva, and Jerdeir Pereira, one of da Silva's ranch hands were convicted of murder and sentenced to 19 years prison. The men staged an escape in 1993, but only Darci was successful in the attempt. He was last seen in 2004 and was thought to be living in jungle. Testimony indicated that Darli ordered the murder and that Darci supervised as Jerdeir carried out the plot.

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Eugene C. Beckham

## Mercury

Mercury (Hg) is a naturally occurring element in minerals, rocks, soil, water, air, plants, and animals. The predominant forms in the atmosphere, water, and aerobic soils and sediments are elemental and mercuric

mercury; while cinnabar is commonly found in mineralized ore deposits and anaerobic soils and sediments. Mercury is present throughout the atmosphere because of its relatively high vapor pressure. It vaporizes from the earth's surface and is transported in a global cycle, sometimes for hundreds of kilometers, before being deposited again with particulates, rain, or snow. The background concentrations in rocks and soils typically range between 20 and 100  $\mu\text{g Hg/kg}$  with a worldwide average of about 50  $\mu\text{g Hg/kg}$ . Natural background concentrations in the uncontaminated atmosphere are in the order of between 1 and 10  $\text{ng/m}^3$  increasing to between 50 and 1,000,000  $\text{ng/m}^3$  or more over mineralized areas. Mercury is transported to aquatic ecosystems via surface runoff and atmospheric deposition. Airborne concentrations associated with anthropogenic activities such as coal burning, smelting, industry, and incineration range between 100 and 100,000  $\text{ng/m}^3$ . These sources of mercury account for about 70 percent of anthropogenic mercury in the atmosphere.

The element can be divided into two major categories, organic and inorganic. Inorganic mercury includes the elemental ( $\text{Hg}^0$ ) silvery liquid metal (mp, 38°C; bp, 357°C) as well as mercurous ion ( $\text{Hg}^+$ ), mercuric ion ( $\text{Hg}^{++}$ ), and their compounds. Organic mercury includes chemical compounds which contain carbon atoms that are covalently bound to a mercury atom, such as methylmercury ( $\text{CH}_3\text{-Hg}^+$ ).

During the latter half of the twentieth century, inorganic mercury was used extensively to produce caustic soda and chlorine (Cl) as well as to manufacture batteries, switches, street lamps, and fluorescent lamps. Gold mining, dental amalgams, pharmaceuticals, and other consumer items also consume inorganic mercury. Organic mercury applications have mostly been eliminated in agricultural fungicides, slimicides in paper pulp production, bacteriostats in water-based paints, and industrial catalysts.

Over the centuries the symptoms of inorganic mercury poisoning were well documented by the exposure of miners and industrial workers as mercury accumulated in their brains, kidneys, and livers. Loose teeth, tremors, and psychopathological symptoms were common at low exposure, but removal from the source would often enable the victims to recover. However, the effects of organic alkyl mercurials, such as methylmercury, were more severe. With a half-life in the human body of about seventy days, continued exposure elevates the levels. It also crosses the blood/brain and placental barriers, attacking the central nervous system and inducing teratogenic (causing developmental disruption) changes in the fetus. The neurological symptoms include: loss of coordination in walking;

slurred speech; constriction of the field of vision; loss of sensation, especially in the fingers, toes, and lips; and loss of hearing. Severe poisoning can cause coma, blindness, and death.

The concentrations of mercury in the ocean and uncontaminated freshwater are generally believed to be less than 300 and 200  $\text{ng/l}$  respectively. However, new ultra clean analytical techniques indicate that the actual concentrations may be three- to five-fold lower. In contaminated aquatic systems concentrations as high as 5  $\mu\text{g Hg/l}$  have been reported. In the water column, mercury readily adsorbs onto organic particulates, metal oxides, and clays and settles into the sediments. Historically, depending on their location, the natural background concentrations of mercury in sediments have ranged between 10 and 200  $\mu\text{g/kg}$ . However, most aquatic systems have received some mercury contamination, and the rate has increased during the past century. Among sites that have been measured, the total concentrations have usually been from five to ten times greater than background and ranged from less than 0.5  $\text{mg Hg/kg}$  (dry weight) in remote areas to 2010  $\text{mg Hg/kg}$  (dry weight) in Minamata Bay, Japan where mercury was dumped for many years into the bay by an industrial company, leading to severe public health problems.

In the aquatic ecosystem inorganic mercury is converted to methylmercury by both biotic and abiotic processes. It is then released, and aquatic organisms bioaccumulate it easily and have difficulty with metabolism and excretion. The biological half-life in fish may be as long as one to three years. Exposed organisms at each level of the food chain bioconcentrate methylmercury and pass it on to animals at the higher trophic levels.

Depending on the species of fish and the type and amount of mercury being released from the sediments, it may be magnified biologically from one thousand and 100 thousand times or more. While background levels of total mercury in freshwater and marine fishes from unpolluted waters typically range from less than 0.1 to about 0.2  $\text{mg Hg/kg}$ , higher concentrations are found in some pelagic top predator ocean fishes such as tuna and shark, sometimes exceeding 1.5  $\text{mg/kg}$ . Conversely, fish from contaminated waters typically contain levels between 0.5 and 5.0  $\text{mg Hg/kg}$  and up to 35 to 50  $\text{mg Hg/kg}$  in highly contaminated areas.

The annual worldwide production of mercury was over 1,600 tons (about 1,500 metric tons) in the early twenty-first century, with most coming from China and Kyrgyzstan. Annual output fluctuates, and the Kyrgyzstan mines have suffered problems in recent years. The

U.S. Natural Resources Defense Council (NRDC) is coordinating with the Chemical Registration Center (CRC) of China's State Environmental Protection Administration to assess China's mercury supply and demand to develop a plan to stop the mining of mercury and decrease the input of mercury into the environment. The NRDC's plan focuses on eliminating export of mercury by industrialized nations and phasing out mercury mining as well as the promotion of alternatives to mercury-based production in industry. Their goal is to reduce mercury trade by 75 percent over a ten-year period.

The Environmental Protection Agency (EPA) prepared a Mercury Study Report, which was published in 1997. This report includes sources of mercury emissions in the United States, environmental and human health impacts of mercury emissions, and discussed control methods. Mercury is considered a hazardous air pollutant according to the Clean Air Act. In 2005, the EPA issued the Clean Air Mercury Rule, which regulates mercury emissions from coal-firing plants. The Clean Air Mercury Rule and the Clean Air Interstate Rule are part of the Clean Air Rules of 2004, which focus on ozone and fine particle production, non-road diesel emissions, and power plant emissions. Several standards have been developed to protect the public's health from the threat of mercury poisoning. The maximum permissible concentration allowed by the United States Environmental Protection Agency (EPA) under its drinking water standards is 2 µg Hg/l. The United States Food and Drug Administration (FDA) guideline for mercury in seafood is 1 mg Hg/kg freshweight; however, some states, such as Michigan, adhere to a more restrictive guideline of 0.5 mg Hg/kg freshweight. The Food and Agriculture Organization of the United Nations (FAO), on the other hand, recommends a provisional tolerable intake (PTI) of 0.3 mg mercury per week for a person weighing 154 pounds (70 kg), of which no more than 0.2 should be in the methylated form.

See also Biological methylation; Birth defects; Food chain/web; Minamata disease; Teratogen; Water pollution; Xenobiotic.

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Frank M. D'Itri

## Metabolism

The sum total of biochemical reactions occurring in living organisms by which energy is made available to the organism. Metabolism consists of *catabolism*, the chemical breakdown of large molecules into their smaller molecular components (e.g., from proteins to amino acids), and *anabolism*, the reconstruction or chemical synthesis of large molecules. In physiology, metabolism also describes regulated sequences of chemical reactions (physiological pathways), such as protein metabolism or urea metabolism. In ecology, the metabolism of lakes or ponds is the sum of the chemical reactions taking place between the inhabitants and the environment.

## Metals, as contaminants

Metals, objects made from metal, and chemical compounds containing metal are pervasive in the home, workplace, and environment. Although metals play an essential role in modern human society, there are many instances where they occur as unneeded and harmful contaminants. Heavy metals such as mercury, cadmium, lead, uranium, chromium, manganese, nickel, thallium, and bismuth are all industrially useful, but they are also potentially harmful at high concentrations in the environment or when found in food or drinking water. Environmental contamination with heavy metals can result from many activities including mining, large-scale combustion of coal in heating or power plants, mineral and metal processing, and use of fungicides and pesticides.

The metal mercury (Hg), a useful component of many products including thermometers, pesticides, drugs, paints, and batteries, is unusual in that it is a liquid at ordinary temperatures. It forms a variety of useful salts and organic compounds. Misuse of products containing mercury can lead to serious health problems. Disorders caused by mercury depend on the form of mercury ingested and the method of exposure. Ingestion of mercury salts can lead to kidney damage and death. Exposure to mercury vapor can lead to inhalation or absorption through the skin. Brain function is affected, and loss of memory, depression, anxiety, and other personality changes may result. Ingestion of organic mercury compounds used in pesticides can result in permanent neurological damage. One very serious mercury pollution incident occurred in the 1950s at Minamata Bay, Japan, where releases of mercury in effluent from a manufacturing plant were ingested by fish. People eating the fish developed serious, and in some cases fatal, neurological maladies. Infants and children were particularly vulnerable to this “Minimata disease.”

Lead (Pb) has been used for many purposes for thousands of years. However, toxicity has been largely unnoticed or ignored until recent decades. Lead uptake by the body is quite slow, but its rate of excretion is even slower. Thus in cases of long term exposure, lead levels in the body gradually increase. There are many sources of contamination. Lead water pipes were once used to carry domestic water. Until recently, lead-containing solder was used to join copper piping in homes. Lead contamination of drinking water resulted, and symptoms of lead toxicity were noted in those who used the water. Until recently, white lead pigment was widely used in house

paint. Deteriorating and chipping paint poisoned occupants, particularly children, who accidentally ingested or inhaled dust and fragments. Symptoms of lead toxicity can be both chronic and acute. Weakness, loss of appetite, anemia, vomiting, and convulsions have all been reported. Lead causes lesions in the central nervous system and serious long-term damage.

Cadmium (Cd) is useful both as an element and in compounds. The element is a lustrous silvery metal that is usually associated in nature with zinc. It is used to plate steel, copper, brass, and other alloys and serves to retard corrosion. Cadmium oxide is used in nickel-cadmium storage batteries and as a pigment in paints and inks. Although metallic cadmium is not dangerous under ordinary conditions, cadmium ions, produced when the metal is attacked by mineral acids, are very toxic. Toxicity symptoms resemble those of mercury.

Uranium (U) with an atomic number of 92, is one of the heaviest of all elements. Once thought to be rare, it is now known to be widely distributed in Earth's crust. Its concentration in sea water exceeds those of mercury and cadmium and is at about the same level as copper and lead. Interest in uranium and its use expanded dramatically with the development of atomic power and nuclear weapons. Environmental and health concerns have centered on the radioactive nature of uranium, but chemical toxicity is also a potential problem. Uranium contamination surrounding mining and mill tailings in southwestern United States has been a major concern for Native Americans living nearby.

Each of the heavy metals has its own specific toxic effects on human health and the environment. Before this fact was generally realized, mining, smelting and manufacturing activities often resulted in appalling instances of environmental contamination. While greater concern has resulted in improvement, serious problems remain in instances where proper control has not been practiced.

Heavy metal poisonings continue. In July 2010, World Health Organization (WHO) officials identified more than 2,000 lead-poisoning victims in Zamfara, Nigeria, including children with lead blood levels more than a dozen times normal levels. The severity of the poisonings required emergency treatment to alleviate life-threatening and brain-damaging convulsions. WHO officials called the poisoning an “unprecedented environmental emergency” caused by unsafe mining and dangerous environmental disposal practices related to the extraction of gold from lead-rich ore. Health care workers established chelation therapy units in hospitals in order to provide emergency treatment to people suffering

toxic lead poisoning. Chelation therapy, considered an alternative medicine therapy for some purposes, is a standard and approved treatment for heavy metal poisoning. Chelation uses chemical binding agents to neutralize heavy metals in the body before they can cause tissue damage. The chelating agents are then excreted with bound heavy metals.

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Douglas C. Pratt

## Meteorology

Meteorology is derived from the Greek words *meteora* meaning things in the air or things above, and *logy* meaning science or discourse. It is a branch of physics concerned with the study and theory of atmospheric phenomena and is frequently equated to atmospheric science. One of the earliest references to this branch of physics is Aristotle's *Meteorologica* written around 340 BC.

In the modern context meteorology is founded upon the basic physical principles and laws governing the energy and mass exchanges within the earth's atmosphere and involves the study of short term variations of atmospheric properties (temperature, moisture, wind) and interactions with the earth's surface. The ability to predict and explain short term changes in the atmosphere from observations and numerical models (using the laws of physics) is an important dimension of meteorology as well. Thus the words meteorologist and forecaster are often used interchangeably to describe someone who can predict the weather.

Meteorologists are trained in observations, instrumentation, data processing, and modeling techniques for the purpose of analyzing and predicting trajectories of major weather systems, including their associated temperature, precipitation, wind, and sky conditions. Modern methods include the use of automated surface observation systems, radar, satellites, radiosondes, wind

profilers, and high resolution computer models (sometimes called global circulation models) to estimate temporal and spatial variability.

*See also* Acid rain; Climate; Cloud chemistry; Hydrologic cycle; Photochemical smog.

## Methane

An organic compound with the chemical formula CH<sub>4</sub>, methane occurs naturally in air at a concentration of about 0.0002 percent. It is produced in processes such as the anaerobic decay of organic matter, the growth of certain types of plants, and the belching of cattle. Methane is the major component of natural gas, making up about 85 percent of that fuel. Environmental scientists are increasingly concerned about methane as a possible greenhouse gas. Like carbon dioxide, methane traps heat reflected from the earth and, therefore, may contribute to global warming. Increases in agricultural and dairying activities have resulted in an increase in methane production, contributing to documented global warming.

*See also* Greenhouse effect; Greenhouse gases.

## Methane digester

Methane digesters are systems that use anaerobes to produce methane through fermentation. Methane is a main constituent of natural gas and can be readily substituted for that nonrenewable resource.

The anaerobes used in methane digesters are methanogenes, bacteria belonging to the genera *Methanobacterium*, *Methanosarcina*, and *Methanococcus*. They can be found in the gastrointestinal tracts of animals such as cows and other ruminants, as well as in soil, water, and sewage. In septic tanks, bacteria liquefy some of the organic matter; which releases energy for the bacteria and by-products such as methane and carbon dioxide.

Methane digesters are also known as biogas digesters and organic digesters. The central portion is an airtight drum, called a digester unit, which contains the methanogenes. Raw material is placed into the drum, and the unit is kept at a constant temperature of about 95° Fahrenheit (35°C). Some of the methane produced by the digester heats the water. Outlets on the digester unit take away the various products of the system. Liquid and solid fertilizers are collected to be

used for crops and other plants. Methane is stored in a tank, from which it can be drawn off for fuel for a variety of purposes. Carbon dioxide and hydrogen sulfide can be filtered out of the methane and put under pressure for use in turning turbines.

Common household organic wastes can be put into a digester, and the methane produced can be used to make electricity. It can also be used for cooking, illumination, heating, and automobile fuel. One system at the University of Maine produced over \$8,000 worth of power a year in the early 1990s; the digester also produced a sludge that could be used as a nutritious and relatively odorless plant fertilizer. Some sludges, however, can contain high level of metals if the original material is unsorted municipal waste.

Methane is a clean and nontoxic automobile fuel, and it produces no pollutants when burned. It has an octane number of 130. Italy has used it as a motor fuel for over fifty years, and Modesto, California, has a small fleet of methane-powered cars. Because of its cleanliness, it extends the life of engines as well as making starting easier.

The organic matter this system converts into other uses would otherwise have decomposed in a landfill, leaching into the surrounding environment and contaminating groundwater supplies. In a digester, it becomes a useful resource.

*See also* Alternative energy sources; Anaerobic digestion; Bioremediation; Groundwater pollution; Renewable energy.

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Nikola Vrtis

## Methanol

Methanol is an organic compound with the chemical form  $\text{CH}_3\text{OH}$ . It is also known as methyl alcohol or wood alcohol. Like most alcohols, methanol is very

toxic. Its ingestion can cause severe nerve damage leading to blindness, insanity, and death. Methanol can be prepared through destructive distillation of coal, wood and wood products, garbage, sewage sludge, and other forms of biomass. It is an alternative automotive fuel under the Energy Policy Act of 1992 and has long been used to power racing cars. Production cost for methanol is relatively low, making it an economically viable alternative to gasoline, and it has lower flammability compared with gasoline. Methanol requires significant engine redesign and may increase peak formaldehyde ( $\text{CH}_2\text{O}$ ) concentrations in urban smog. The use of methanol has declined significantly since the early 1990s. Automobile manufacturers have stopped making vehicles that utilize methanol for fuel. Current research is focusing on developing fuel cell vehicles that use methanol as a hydrogen fuel source.

*See also* Fuel switching; Renewable energy.

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## Methyl tertiary butyl ether

Methyl tertiary butyl ether (MTBE) is a flammable, volatile, and colorless liquid fuel additive that is manufactured by the chemical reaction of methanol and isobutylene. It is one of a group of chemicals referred to as oxygenates, because they raise the level of oxygen in gasoline. Oxygen helps gasoline burn more completely, thus reducing emissions.

MTBE has a strong odor similar to a general anesthetic. Humans can detect it by smell at very low concentrations: 53 parts per billion (ppb) in air and 20 to 40 ppb in water. MTBE is very soluble in water and more soluble than other gasoline constituents. It is also persistent and resistant to degradation.

As a gasoline additive, it is used to increase the octane level (replacing the use of lead additives) and to

reduce vehicular emissions of carbon monoxide (CO) and ozone-forming pollutants. It was first used in the United States in the 1970s, with its use increasing during the 1990s, when the Clean Air Act Amendments of 1990 created the Oxygenated Fuel Program. Areas of the country with severe air pollution problems are required to add oxygenates to gasoline during winters to reduce vehicular emissions of CO. Although regulatory requirements do not specify the types of oxygenates required, MTBE and ethanol are the main oxygenates used. Ethanol is the primary oxygenate used in the Winter Oxyfuel Program. Areas with problems meeting ozone standards require the use of reformulated gasoline (RFG) year-round to reduce ozone-forming pollutants. RFG is oxygenated gasoline (with a minimum of 2 percent oxygen by weight) that is specially blended to have fewer polluting compounds than conventional gasoline. As the addition of ethanol increases the vapor pressure of gasoline such that it is difficult for ethanol-containing gasoline to comply with summertime volatility requirements, MTBE has been the preferred oxygenate for use during the summer. In 1970, MTBE was the thirty-ninth-highest produced organic chemical in the United States; by 1998, it was the fourth-highest produced organic chemical. In 1999, over 200,000 barrels of MTBE per day were produced.

The use of RFG has improved the quality of air in the United States. The U.S. Environmental Protection Agency estimates that smog-forming pollutants are being reduced annually by at least 105 million tons and toxic chemicals by at least 24 million tons.

However, the success of the air quality program that utilizes MTBE to reduce emissions has been offset by contamination of ground and surface waters with MTBE. MTBE has been detected in soil, surface water, and ground water throughout the United States. Point sources of MTBE include releases from underground and above-ground storage tanks and pipelines due to leaks, overfilling, and faulty construction. Non-point sources of MTBE include urban runoff, precipitation, vehicle accidents, and motorized water craft. MTBE may also be released to the environment through gasoline fumes during vehicle refueling. A study by the U.S. Geological Survey in 1993–1994 showed that MTBE was the second most frequently detected volatile organic chemical of sixty chemicals in samples collected from shallow ground water in eight urban areas. Another report in 1999 by the U.S. Environmental Protection Agency (EPA) titled “Blue Ribbon Panel on Oxygenates in Gasoline” indicated that between five and ten percent of drinking water wells in areas with high use of MTBE had detectable levels of MTBE, while

one percent had levels higher than 20 micrograms per liter. During the summer of 1996, the City of Santa Monica in California stopped pumping ground water from two of its well fields because of persistent and increasing levels of MTBE. These two wells provided about 50 percent of the city’s drinking water supply.

MTBE has been shown to have the potential to produce adverse effects associated with central nervous system depression, including headaches, dizziness, nausea, and disorientation. These effects are reversible if exposure is discontinued. Evidence from animal studies suggests that MTBE is a potential human carcinogen. When it enters the human body through inhalation or absorption through the skin, it may metabolize into two compounds, tertiary butyl alcohol and formaldehyde, that are carcinogenic in animals and are also classified as potential human carcinogens.

In 1997 the EPA issued a Drinking Water Advisory for MTBE. The Advisory recommended that the levels of MTBE in drinking water be limited to 20 to 40 micrograms per liter. This limit was set to assure consumer acceptance with regards to taste and odor and to provide an adequate margin of safety from toxic effects. Based on this Advisory, several states have set their own drinking water standards for MTBE.

In March of 2000, the EPA formally began regulatory action to eliminate or phase down MTBE, issuing an Advance Notice of Proposed Rulemaking under Section 6 of the Toxic Substances Control Act, which gives the EPA authority to ban, phase out, limit or control the manufacture of any chemical substance deemed to pose an unreasonable risk to the public or the environment. According to statistics released by the EPA in August 2007, a total of twenty-five U.S. states had either completely banned, or else severely restricted, the use of MTBE in gasoline. States that have imposed a complete ban on the use of MTBE in gasoline include California, New York, Colorado, Michigan, Connecticut, and Vermont. As time passes, more states are expected to join the complete-ban list. Research is being conducted to develop alternative oxygenates so that in spite of the regional bans on MTBE, the air quality benefits derived from the use of oxygenated fuels will be preserved.

Because of its physical properties, during a release MTBE migrates rapidly through the soil column. Upon reaching the ground water, MTBE moves at the same velocity as water and faster and farther than other gasoline constituents. As the gasoline contaminant plume degrades over time, benzene, toluene, ethyl benzene, and xylene (BTEX) decrease in concentration more than MTBE, which is more resistant to

degradation. Eventually MTBE may be the only contaminant remaining from the release.

The extent of MTBE contamination in the subsurface has led to the investigation of treatment technologies. If MTBE in soil is not dissolved in water, it can be removed by such treatment methods as soil vapor extraction. However, the high solubility of MTBE in water and its resistance to degradation makes it difficult and time consuming to remove from ground and surface waters using many common remedial technologies. Promising technologies for removal of MTBE that are being studied include chemical oxidation using ultraviolet light and hydrogen peroxide, phytoremediation using deep-rooted trees, and cometabolic degradation processes.

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Judith L. Sims

## Methylation

A chemical reaction in which the methyl radical ( $-CH_3$ ) is added. The most common mechanism by which methylation occurs in the environment is biological methylation, which involves the action of living organisms. Bacteria in oxygen-poor soils, for example, can convert metallic mercury to an organic compound, methyl mercury. Similar reactions occur with other metals, including arsenic, selenium, tin, and lead. These reactions are significant because they convert non-soluble metals of low toxicity into soluble forms with high toxicity. Light can also induce methylation. Photomethylation can also take place under laboratory conditions.

## Methylmercury seed dressings

Seed dressings were devised to prevent diseases caused by a wide variety of seed-borne plant-pathogenic fungi, to protect the germinating seeds against secondary

infections, and to increase crop yields. Various chemicals, including several heavy metals, have been used as fungicides to treat seeds since the end of the nineteenth century. The effectiveness of these fungicides was greatly increased when aryl organomercurials were introduced around 1914. They had a wider spectrum of fungicidal activity than nonmercurial formulations and were used extensively until the mid-1980s to control fungus diseases through their application as seed dressings on many grains, vegetables, and nuts, as well as to protect fruit trees, rice, turf grasses, and golf courses. However, with the introduction of the more effective alkyl mercury compounds in the 1930s, especially methyl mercury and ethyl mercury, severe poisoning incidents followed. In developing countries hundreds of people died or became incapacitated due to either the consumption of grains treated with alkylmercury compounds or meat from animals that had eaten such treated seeds.

Poisonings from eating alkylmercury-treated grains occurred on several occasions in various parts of Iraq. Destitute, illiterate rural families either did not understand the words or poison symbols on the bags of grain or did not believe government warnings that it was unsafe to eat. In some instances the families fed some of the grain to chickens and swine first. When they did not observe poisoning symptoms in the livestock and poultry after a few days, the farmers became convinced that the warnings were false and the grain was safe to eat. However, depending on the amount of methyl mercury consumed, there is a latency period of weeks or months between exposure and the development of poisoning symptoms.

When the seed grain was ground into flour, baked into bread, and consumed by the rural victims, both sexes and all ages were affected. The ingested quantities of methylmercury ranged from small amounts that produced no overt effects to lethal doses. Fetuses suffered the most damage. Among the rest of the population, the severity of the neurological and psychiatric symptoms was almost directly proportional to the amount of bread consumed. In cities where bread was produced from government-inspected flour mills, not a single case of poisoning was reported.

The first documented incident occurred in Iraq in 1956. Of the 200 persons afflicted, seventy died. In 1960 an estimated 1000 persons were affected in a similar incident and over 200 died. During the 1960s other smaller but similar episodes of alkylmercury poisoning occurred on a more limited scale in West Pakistan, Guatemala, Ghana, and in other countries such as Mexico and the United States. The total death

toll in these countries was forty-two with approximately 197 individuals less seriously affected.

But the most serious outbreak of poisoning from eating methyl mercury-poisoned bread occurred in Iraq early in 1972. In October and November of 1971 a total of about 73,000 tons of high-yield Mexipac wheat seed grain and 22,000 tons of barley seed grain, all treated with alkyl mercury fungicide, had been distributed by cooperatives to farmers for planting throughout the country. Some of this grain, as before, was used to prepare homemade bread. The Iraqi government estimated that the treated grain was distributed to no more than five percent of the rural population, about 200,000 people. Most of the fatalities occurred within three months after the end of the exposure, although a few long-term illnesses resulted in fatalities as well.

By March 1973, up to 40,000 persons, residents of every province, were unofficially estimated to have been poisoned. The total number of casualties will never be known precisely because hospitals were quickly overloaded, and many victims did not have access to them. In addition, most of the poisonings occurred in rural areas, and many were not reported to authorities. The government officially acknowledged that 6,530 persons were hospitalized and 459 died. These figures were not confirmed because news reporters were denied entry to the country and the movements of foreigners were restricted. However, tourists reported that large numbers of Iraqis suffered brain damage, blindness, and paralysis. Since then, with the exception of a few follow-up scientific reports published between 1985 and 1989, hardly any new information relative to the long term health effects on the thousands of victims has been published or released by the Iraqi government although this was the largest such tragedy of this kind. Because of the highly toxic nature of the alkyl mercurials, as well as the severity of the accidents caused by misuse of the treated seeds, they were banned in 1970 in the United States and many other countries.

*See also* Agricultural chemicals; Birth defects; Heavy metals and heavy metal poisoning; Minamata disease; Plant pathology; Teratogen; Xenobiotic.

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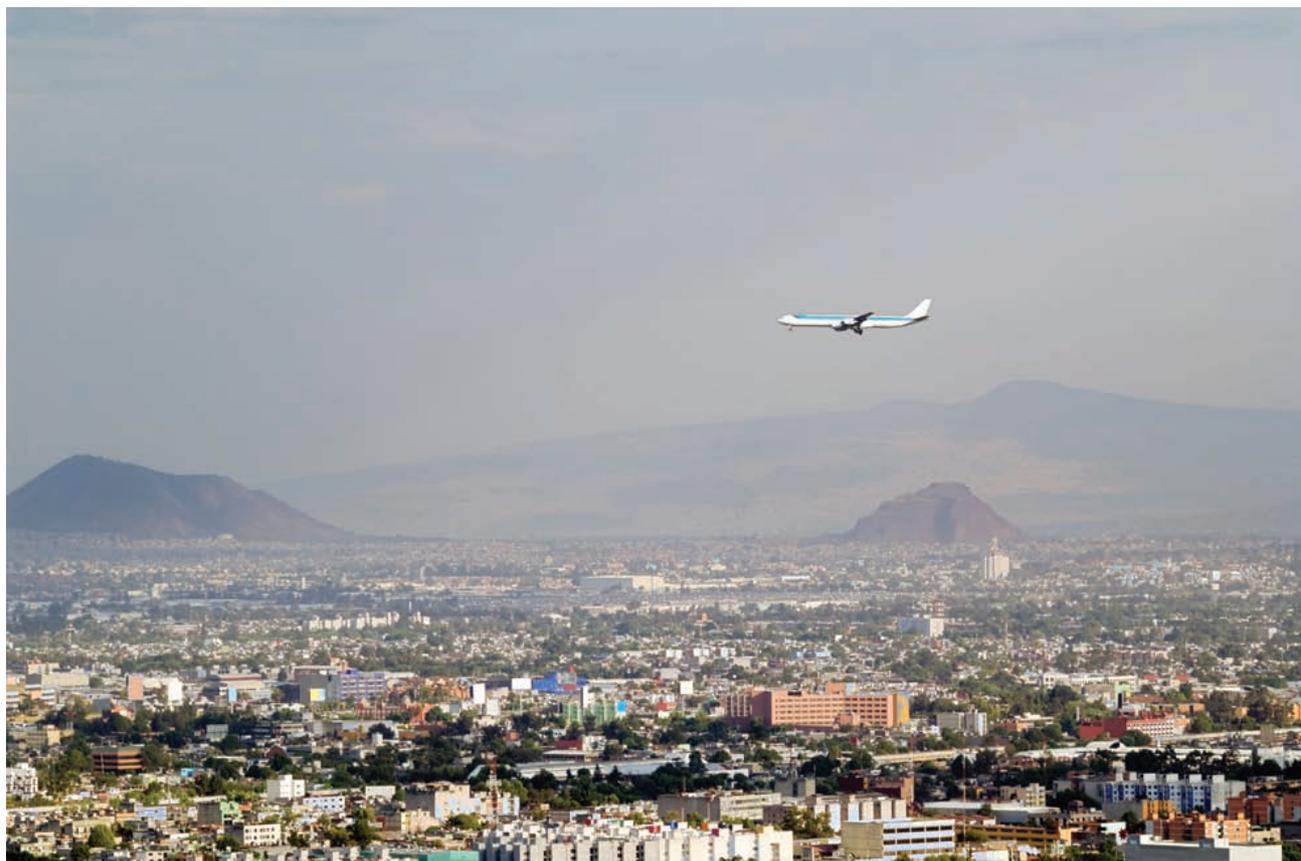
Frank M. D'Itri

## Mexico City, Mexico

Founded in the fourteenth century, Mexico City has been a center for three great civilizations: the Aztecs, the Spanish, and the modern-day Mexicans. But in addition to an imposing political background, its geographical location has assured the city a fascinating ecological and environmental history. Mexico City lies in a basin 7,350 feet (2,240 m) high. It is surrounded by mountain ranges on all sides, and the presence of the extinct volcanoes Ixtacihuatl and Popocatepetl to the east are a reminder that the city lies on an active earthquake fault.

Most of Mexico City's environmental problems are caused by a combination of its geographical location and explosive population growth. Most of the developing world's largest cities (those with populations greater than ten million people) have similar air quality problems to those of Mexico City. Air quality in Cairo, Egypt; Bangkok, Thailand; Jakarta, Indonesia; Bombay, Calcutta, and New Delhi, India; Shanghai and Beijing, China; and Sao Paulo, Brazil, regularly reach levels scientists consider dangerous to human, animal, and plant life.

The amount of pollution produced by large and densely populated city is often difficult to control in even the most favorable geographic circumstances. But the basin in which Mexico City is built traps the ozone, nitrogen oxides, sulfur, and particulates that



**An airplane flying across the smog of the Valley of Mexico. Mexico City is one of the largest cities in the world. (© iStockphoto.com/stockcam)**

are released each day. Soft coal, wood, and low-grade gasoline and oil are burned widely throughout the city, contributing greatly to this problem. In addition, prevailing winds from the northeast carry dust particles into the city from rural areas, further degrading air quality. The city has gone from having one of the most perfect natural settings and ideal climates in the world to being the most heavily polluted. Pollution levels can rise so high that schools are occasionally forced to close so students can remain indoors and not breathe the polluted air. Entrepreneurs have even set up booths on city streets where people can pay to breathe oxygen from tanks.

The city has regulations to restrict activities when the air is under extreme threat. In October 1999 ozone rose almost three times above the highest level considered acceptable by other countries so hundreds of thousands of cars were taken off the roads and factories reduced work at thirty percent their normal capacity. In 2008 Mayor Marcelo Ebrard revealed plans to install more than 500,000 square feet of green roofs by 2012, starting with government buildings. The plan also seeks to reduce emissions by taking

some of the six million cars off city streets. Other steps include a new subway line, ten new bus lanes on major avenues, miles of bike lanes and obligatory school bus transportation for schoolchildren

In April 2010, senior environmental officials of the Pan-American Health Organization lauded Mexico City's progress toward reducing air pollution. Once considered one of the world's most polluted cities due in part to pollution-trapping topography, rapid population growth, and automotive emissions, Mexico City has been praised for efforts to control auto emissions and other environmental impacts of rapid urbanization over the last twenty years and is being cited as a model for pollution-plagued cities in China, India, and other parts of the industrializing world. Although officials insist that further improvement is needed, as of 2010, Mexico City no longer ranks among the world's top ten most polluted cities.

Lying near the boundary of the Pacific and North American geological plates, Mexico City has long been at risk for major earthquakes, a risk which has been greatly increased by the city's history. When the

Aztecs first settled the area, it was largely covered by an enormous lake, Lake Tenochtitlán, which either was filled in or dried out as the city began to grow. Today, Mexico City sits on a soft subsoil that is highly unstable. Some parts it are actually sinking into the old lake bed, while the whole area rides out each earthquake like a boat on an unsettled ocean.

The geographical instability of the area has been worsened by the fact that residents traditionally obtain their water from wells. As the population grows, more water is removed and subsidence increases. In some parts of the old city, buildings have actually sunk more than 6 feet (2 m) below street level. Since measurements were first made in 1891, subsidence in some areas has exceeded 26 feet (8 m), and it measures at least 13 feet (4 m) in nearly all parts of the city.

See also Air pollution control; Air quality criteria; Los Angeles Basin; Nitrogen cycle; Population growth; Sulfur cycle; Sulfur dioxide.

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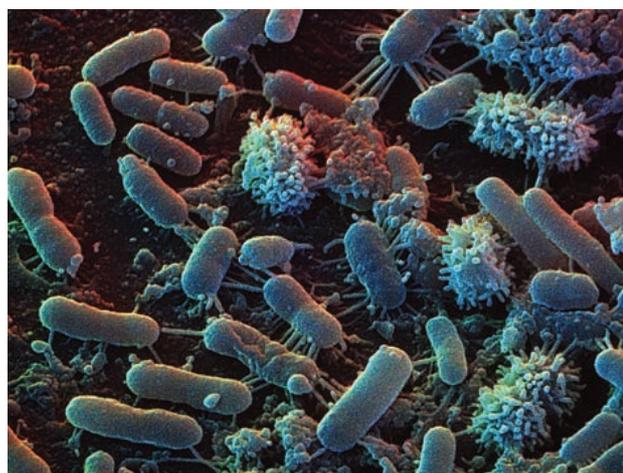
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David E. Newton

## Microbes (microorganisms)

Microbes, which are also known as microorganisms and which include viruses, bacteria, blue-green bacteria, some algae, some fungi, yeasts, and protozoans, are defined on the basis of their size—they are too small to be seen by the naked eye. Microorganisms are only visible if they are magnified in size, using an optical or electron microscope.



**Colored scanning electron micrograph (SEM) of unidentified bacteria on a kitchen scrub pad. Magnification: x5,600 at 6x7cm size. x9000 at 4x5.** (David Scharf/Photo Researchers, Inc.)

## Viruses

Although viruses are commonly considered to be microorganisms, they are actually pseudo-organisms because they do not display all of the characteristics of life. Viruses consist only of bits of nucleic acid (either deoxyribonucleic acid (DNA), or ribonucleic acid (RNA)) surrounded by a protein capsule (called a capsid). Viruses are not capable of independent reproduction, and they cannot perform other important metabolic functions. To reproduce and grow viruses must invade and parasitize the living cells of other organisms, and appropriate the metabolic capabilities of their host.

It is probable that all living cells are infected by viruses of various sorts, and in some cases serious diseases are caused. Viral diseases of humans include colds, influenza (flu), and more deadly ailments such as smallpox, yellow fever, rabies, herpes, polio, and human immunodeficiency syndrome (HIV; which is cause of Acquired Immunodeficiency Syndrome, abbreviated as AIDS). Some viral diseases can be controlled by vaccination, a practice that involves infecting hosts with killed but intact virus bodies. These are non-virulent but nevertheless cause the host to develop resistance to pathogenic forms of the strain. Vaccination made it possible to achieve the eradication of smallpox, a disease that had long been a scourge of humans. Vaccination as a protective measure from infection is not restricted to viral diseases. Some diseases caused by bacteria can also be prevented by vaccination.

## Bacteria and blue-green bacteria

Bacteria and blue-green bacteria are in the kingdom of life known as Monera. Most species in this group are bacteria; these have rigid or semi-rigid cell walls, propagate by binary division of the cell, and do not display mitosis during cell division. Blue-green bacteria or cyanobacteria (sometimes incorrectly referred to as blue-green algae) utilize chlorophyll dispersed within their cytoplasm as a pigment for capturing light energy during photosynthesis. The genetic material of monerans is organized as a single strand of DNA, and it is not contained within a membrane-bounded organelle called a nucleus, so these microorganisms are referred to as being prokaryotic. (All of the other kingdoms have a nucleus within their cells, and are known as eukaryotic.) In addition, prokaryotes do not display meiosis or mitosis, their reproduction is by asexual cellular division, and they do not have organelles such as chloroplasts, mitochondria, or flagella. Prokaryotes were the first organisms to evolve, about 3.5 billion years ago. It was not until 2 billion years later that the first eukaryotes evolved.

About 4,800 species of bacteria have been named, but microbiologists believe that there are many additional species that have not yet been discovered. Bacteria are capable of exploiting an astonishing range of ecological and metabolic opportunities. Some species can only function in the presence of oxygen, and others only under anaerobic conditions, although some species are able to opportunistically switch between these metabolic types. Some bacteria can tolerate very extreme environments, surviving in hot springs at temperatures as hot as 172° Fahrenheit (78°C), while other species have been found active in the frigid conditions that occur as deep as 436 yards (400 m) in glaciers.

Most free-living bacteria are heterotrophic, and among the diversity of bacterial species are some that are capable of metabolizing virtually any organic substances as a source of nutrition. Other species of bacteria are photosynthetic (including blue-green bacteria), capable of capturing sunlight and using it to reduce carbon dioxide and water into simple sugars, which are used as a source of energy in more complex biochemical syntheses. Other bacteria are chemosynthetic, coupling their biosynthetic abilities to energy released during the oxidation of certain inorganic compounds, as when pyritic sulfur or sulfide are oxidized to sulfate.

Many species of bacteria are not free-living, and instead live in a mutualistic symbiosis with more complex organisms, such as plants or invertebrate or vertebrate animals. For example, numerous species of bacteria live as a microbial community within the rumen of cows and sheep, while others live in the gut of humans and other

primates. These gut bacteria help with the digestion of complex organic foods, and they also synthesize vitamins and micronutrients that are useful to their host. Other bacteria in the genus *Rhizobium* live in a mutualism with the roots of peas, clovers, and other leguminous plants, fixing atmospheric dinitrogen gas (N<sub>2</sub>) into ammonia (NH<sub>3</sub>), which after conversion to ammonium (NH<sub>4</sub>), it becomes a source of nitrogen that plants can utilize as a nutrient.

Many bacteria are parasites of other organisms, and some cause important diseases. Significant diseases of humans caused by bacteria include various kinds of infections, bacterial pneumonia, cholera, diphtheria, gastric ulcers, gonorrhea, Legionnaire's disease, leprosy, scarlet fever, syphilis, tetanus, tooth decay, tuberculosis, whooping cough, most types of food poisoning, and the "flesh-eating disease," which is caused by a virulent strain of *Streptococcus*. Bacteria also cause diseases of other species, and this is sometimes used to the advantage of humans. For example, *Bacillus thuringiensis* is a pathogen of many species of moths, butterflies, and blackflies, and strains of this bacterium have been used as a biological insecticide against certain insect pests in agriculture and forestry.

## Microscopic protists

The kingdom Protista consists of a wide range of simple eukaryotic organisms, including numerous unicellular and multicellular species. Microbial protists include protozoans, foraminifera, slime molds, single-celled algae, and multicellular algae. (Some other multicellular algae are not microscopic—the largest seaweeds, known as kelps, can grow fronds longer than 11 yards [10 meters]) Eukaryotic organisms have their genetic material organized within a membrane-bounded nucleus, containing paired chromosomes of DNA. Protists have flagellated spores, and mitochondria and plastids are often, but not always, present.

Several phyla of protists are photosynthetic, and these are collectively known as algae. Most species in the following groups are microscopic: diatoms (phylum Bacillariophyta), green algae (Chlorophyta), dinoflagellates (Dinoflagellata), euglenoids (Euglenophyta), and red algae (Rhodophyta). The brown algae and kelps (Phaeophyta) are macroscopic, as are some colonial species in several of the other groups. Algae are important primary producers in marine and freshwater ecosystems. Uncommon phenomena known as "red tides" are natural blooms of certain species of marine dinoflagellates that produce toxic biochemicals.

Other phyla of microbial protists have a heterotrophic nutrition. Protozoans are single-celled microorganisms, generally considered to be microscopic animals. Protozoans reproduce by binary fission, and are often motile, usually using cilia or flagella for propulsion. Some protozoans are colonial. Protozoans are abundant in most aquatic environments.

Other heterotrophic protists include the ciliates (Ciliophora), forams (Foraminifera), amoebae (Rhizopoda), and unicellular flagellates (Zoomastigina). Forams are unicellular microorganisms that form a “shell” of calcium carbonate. Chalk is a mineral that is formed from the remains of forams that have accumulated over geologically long periods of time. (The white cliffs of Dover, England, consist of the fossil remains of innumerable forams).

Some species of protists cause diseases of humans, such as *Plasmodium*, a unicellular blood parasite that causes malaria and is spread to people and other vertebrate animals by mosquitoes. Some species of amoebae are parasites of animals, causing amoebic dysentery in humans. Hiker’s diarrhea (or beaver fever) is a waterborne disease caused by the ciliate *Giardia*, which is the reason why even the cleanest-looking natural waters should be boiled or otherwise disinfected before drinking.

### Microscopic fungi

The kingdom Fungi consists of single-celled microorganisms known as yeasts, plus the fungi, which are multi-celled, filamentous in their growth form, and reproduce by budding or by cellular fission. All yeasts and fungi are heterotrophic, excreting enzymes that digest complex organic materials, allowing simple organic compounds to be ingested.

A few species of yeasts are economically important because they have the ability to ferment sugars under anaerobic (O<sub>2</sub>-deficient) conditions, yielding gaseous CO<sub>2</sub> and ethyl alcohol (or ethanol). The CO<sub>2</sub> produced during fermentation can be utilized to raise bread dough prior to baking, while the alcohol can be used by brewers to produce beer, wine, and other intoxicating beverages.

Microorganisms are characterized only by their microscopic size. Otherwise they comprise a wide range of diverse but not necessarily related groups of organisms. As a larger group, microorganisms are extremely important as primary producers in ecosystems, as agents of decay of dead organisms and recycling of the nutrients contained in their biomass, and as parasites and diseases of humans and other species.

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Bill Freedman

## Microbial pathogens

Microbial pathogens are microorganisms that are capable of producing disease. Virtually all groups of bacteria have some members that are pathogens. Other disease-causing microbial agents are viruses and parasitic protozoa. Earlier methods of detecting and identifying microbial pathogens involved culturing and isolating bacterial colonies in growth media in the lab. With the advent of polymerase chain reaction (PCR) assays, identification of microorganisms became more definitive. Bacterial pathogens can be controlled antibiotics. However, these drugs are not effective against viruses or parasites, and indiscriminate use may increase the percentage of resistant strains of bacterial pathogens in any population. Antibiotic resistance has resulted in the reemergence of several disease. As of 2010, many of the major bacteria causing disease in humans have at least one subtype or strain that is characterized by bacterial resistance to antibiotics. Viruses may also exhibit resistance to antiviral medications.

Marie H. Bundy

## Microclimate

In general, climate conditions near the ground are called microclimates. More specifically, microclimate refers to the climate characteristics of highly localized

areas, ranging from the area around an individual plant to a field of crops or a small forested area. The horizontal area considered may be less than one square meter or up to several thousands of square meters. The vertical extent may range from a few centimeters involving the still layers of air within a plant canopy, for instance, to 100 meters or more, when the atmosphere surrounding a forested area is studied.

Microclimates are governed to a large extent by the interactions of surface features with the overlying atmosphere, and their characteristics may differ markedly from those of the surrounding large-scale climate. Microclimates exhibit great ranges in environmental conditions depending on the moisture and radiation properties of the surface. They typically show large diurnal temperature ranges and are highly influenced by slope, aspect, and elevation. Most plants and animals are adapted to highly specific microclimatic conditions.

Micronutrient see **Trace element/  
micronutrient.**

## Migration

Although some scientists define animal migration as any animal movement, this definition becomes cumbersome because it does not distinguish between small-scale daily movements, annual migrations, and irrupting dispersions. Mobile animals tend to move frequently, and migration should be distinct from emigration (directional one-way movement) and dispersal (non-directional one-way movement), and it should refer primarily to regular round trip movement that happens at least once in the life span of the organism. Migration is a spatial behavior pattern that allows animals to locate themselves in the most favorable portions of their habitat for as long as necessary. Such favorable conditions may vary according to season or life history, but in both cases it is related to adaptive fitness. This allows the organism to take in nutrients in excess of energy expenditures and to successfully reproduce.

Generally animal migration can be divided into two areas of study: the behavioral aspects, which concentrates on “how” migration happens; and the ecological aspects, which addresses “why” migrations takes place. The ecological questions also concern evolution, for spatial behavior is an evolved compromise between differing requirements of an organism’s life. Most migratory behavior depends on food abundance. In most habitats

productivity varies with the seasons, and thus energy availability also varies at all upper levels of food chains. Migration must often accommodate several energy and reproductive requirements. As a result migration patterns tend to be complicated with subsections of migrants taking slightly differing paths at various times to serve different needs.

Many species of North American waterfowl use several breeding areas, from the northern prairies to the Arctic coast, and travel along several major flyways to wintering areas that range from the southern prairies to the estuaries of northern South America. Within that framework, males desert the hens during nesting season and make shorter migrations to molting areas, afterward meeting with the females and newly fledged young during the fall migration. In either of those sites, requirements for habitat differ in terms of water-cover ratios, water permanence, and food preferences.

The altitudinal migration of mountain sheep (*Ovis canadensis*) in the Rocky Mountains brings them into more productive habitats during the summer in the alpine meadows and into the mountain forest during the winter. Thus, food intake requirements are accommodated and, simultaneously, the sheep take advantage of the microclimate of the forested area during the winter to supplement energy losses from body temperature maintenance.

Migration can also be a response to particular breeding site requirements, mate location, and a combination of several forces acting together. The longest mammal migration, that of the California gray whale (*Eschrichtius robustus*), places the breeding-ready adults and newly impregnated females in the productive shallows of the Bering and Chukchi Seas, the non-breeding animals in the more patchy feeding grounds of the northeast Pacific Coast, and the calving females in the warm shallow lagoons of the Baja Peninsula.

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David A. Duffus

## Milankovitch weather cycles

According to the theory of the Milankovitch weather cycles, ice ages are cyclical, caused by changes in the Earth's orbit. The theory was developed by Serbian geophysicist Milutin Milankovitch (1879–1958) in the 1930s, and it postulates that the amount of available sunlight in the northern hemisphere is affected by the earth's orientation in space. Because the earth is a globe in motion, sunlight strikes the earth differently depending on the following factors: The eccentricity of its orbit, which returns to the same point every 100,000 years; the tilt of the axis of its rotation, a 41,000-year cycle; and the precession of the equinoxes, a 23,000-year cycle. Milankovitch proposed that decreased sunlight prevents ice and snow from melting in the summer in the northern latitudes. This, in turn, cools the atmosphere, because ice reflects 90 percent of solar radiation back into space, and over a long period of time, the ice accumulates and moves south.

The theory has gone through its own cycles of acceptance and rejection. The first problem for proponents of the theory was to prove the occurrence of glacial-interglacial patterns during the Pleistocene era, the epoch preceding ours. It was also necessary to date the onset of each ice age in order to calculate the date of the next one. During the last fifty years, data collection improvements in astronomy and geology, especially in satellites and deep-sea sediment coring, have challenged and refined the theory. Supported first by astronomical and geological data, the theory encountered opposition in the 1950s when scientists used the newly-developed Carbon-14 method to date warm-era fossils in supposed ice-age deposits. But examination of fossilized microscopic sea creatures on the ocean bed, known as foraminifera, revealed two types, the larger of which flourished when the ocean was warm. In 1955, Italian-American geologist Cesare Emiliani (1922–1995) refined dating techniques of the foraminifera retrieved by deep-sea coring. He isolated oxygen isotopes. Oxygen 16, a lighter isotope,

evaporates more quickly and becomes trapped in ice, while oxygen 18, which thrives in warm waters, was absorbed by the shells of the foraminifera. From the evidence of these oxygen isotopes, Emiliani was able to reconstruct glacial-interglacial periods for 300,000 years. His dates agreed with Milankovitch's orbital dates.

In 1971 John Imbrie (1925–) of Brown University created Project CLIMAP, which coordinated all of the data relevant to Milankovitch's cycles. From this data, scientists were able to develop a "rosetta stone" for Pleistocene glacial-interglacial dates. In the 1980s, Project SPECMAP brought together all of the deep-sea core data. It was from this data that some scientists predicted that the next ice age was imminent. Although there was intense popular media speculation about a possible ice age, there was no scientific consensus in the 1970s or 1980s that Earth faced an imminent ice age. In fact, the vast majority of climate studies in peer-reviewed scientific literature were already presenting evidence of global warming.

Critics have argued that the Milankovitch orbital theory does not take into account the concept of chaos as well as the climatic influence of the heat transfer between the atmosphere and the ocean. In 1992, United States Geological Survey hydrogeologist Isaac Winograd challenged the Milankovitch theory when a scuba team with a submersible drill retrieved a 14-inch (36-cm) core of calcite in a water-filled fault called Devils Hole in Nevada. The ice age dates for this core of calcite differed from the Milankovitch dates, and this suggested to Winograd that ice ages were tied less to orbital cycles than to an interaction of heat and moisture between the atmosphere, the ocean, and ice sheets. Imbrie and many others defended Milankovitch against these findings. They stood on their mountain of ocean-sediment core data, and they argued that the calcite core from Devils Hole reflected local rather than global changes.

Another problem for the Milankovitch theory is identifying the effects of human activity on the environment. The current interglacial period began 10,000 years ago, and according to Imbrie, it might become a "super interglacial" period due to the burning of fossil fuels and the subsequent greenhouse effect. Imbrie has argued that the natural cooling cycle which began 7,000 years ago will be postponed until the excess carbon dioxide is exhausted in 2,000 years. Then, after another 1,000 years, serious cooling will set in, expected to last for 23,000 years.

*See also* Climate; Glaciation; Ice age refugia; Ozone layer depletion; Radiocarbon dating.

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Stephanie Ocko

Milfoil see **Eurasian milfoil**.

## Minamata disease

The town of Minamata, near the southern tip of Japan's Kyushu Island, gave its name to one of the most notorious examples of environmental contamination known. Minamata disease is really alkylmercury poisoning, caused by eating food such as fish or grain contaminated with mercury or its derivatives. At Minamata people were poisoned when they ate large quantities of methylmercury-contaminated fish. Often the victims were the poorest members of society, who could not afford to stop eating the cheap fish known to be affected by effluent discharged from the local chemical company. The first victims were reported in 1956; before that, cats were seen moving strangely, sometimes flinging themselves into the sea, and birds were observed flying awkwardly or even falling out of the sky.

The Chisso Company, a leading chemical manufacturer, produced acetaldehyde by passing acetylene gas across an inorganic mercury catalyst, leaving methylmercury as a by-product. The company was a major local employer and the only significant major source of industrial waste discharged into Minamata Bay. There, methylmercury in the water biomagnified to high levels in fish and shellfish consumed by the local inhabitants. Methylmercury concentrates in

specific regions of the central nervous system and readily crosses the blood/brain barrier as well as the placental barrier. It is a human teratogen which causes brain damage during prenatal exposures, resulting in congenital or fetal Minamata disease. The compound has a half-life of about 70 days in the human body, and the damage is generally irreversible. Although company officials knew that similar symptoms could be induced in cats by feeding them fish taken from the bay, Chisso was not blamed by the Japanese government for the disaster until 1968. Despite the evidence, it was difficult to be certified as a Minamata disease victim. Those affected were not compensated until after another epidemic occurred at Niigata, Japan, in 1965. That one was caused by methylmercury waste discharged from the Showa Denko Corporation Kanose factory into the Agano River. The company also manufactured acetaldehyde using the same process as Chisso.

Nearly four decades after Minamata disease was identified, the full range of its neurological symptoms is still not known, nor have the total number of sufferers been determined. While some children were born with contorted bodies and severe retardation, in milder cases the victims may be moderately retarded or exhibit only sensory disturbances. Even as the symptoms advance in adult patients, it is often difficult to determine whether they are the result of long-term poisoning, delayed effects of residual methylmercury, aging, or other complications. Therefore, even thirty years later, as of December 31, 1992, only 2,945 individuals were officially certified as Minamata Disease victims and 1,343 of them had died. Another 13,761 had been denied certification and the fate of 2,430 was still pending.

Lawsuits dragged on for more than twenty-five years, and victims seeking compensation tried confrontation tactics like an encampment that lasted a year and a half in front of Chisso's main headquarters in Tokyo. Reclamation of the environment has been even slower. The Chisso Company installed waste treatment equipment in 1966 and stopped making acetaldehyde in 1968. By then 400 to 600 tons of mercury and 60,000 tons of sludge had been dumped into the shallow Minamata Bay. Mercury levels in fish remained elevated. Dredging scheduled to begin in 1975 did not get underway until 1982. Since then, mercury levels in ten species of fish have dropped; but as of March 2005, they were still almost two times higher than what the government deems acceptable.

See also Agricultural chemicals; Biomagnification; Birth defects; Environmental law; Food chain/web; Heavy metals and heavy metal poisoning; Marine

pollution; Methylmercury seed dressings; Water pollution; Xenobiotic.

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Frank M. D'Itri

## Mine spoil waste

Most human extractions of earth materials, such as clay for pottery or coal for power generation, produce some waste. The raw materials are rarely pure, so unwanted detritus is discarded, usually close to the extraction site. Over the last two centuries, exponential industrial growth has resulted in huge increases in the production of mine spoil waste. The management of this waste has become an increasingly important issue.

New technologies allow the mining of ever lower grades of ore, with mounting waste as a byproduct. Early mining actually wasted ore, since only the richest veins were extracted. However, less waste was generated by this high-grade ore. Now operations tend to remove varying grades of ore *en masse*, yielding a higher return, but multiplying the waste produced. Where concentrations are high, it has even been profitable to rework older tailings.

Surface mining accounts for most mining waste, but underground work also contributes. Metallic ores, sand, gravel, and building stone, including aggregate for concrete, are usually extracted from open pit

mines. Strip mining is effective where resources lie in sedimentary layers, and is particularly used for coal, phosphate, and gypsum. Much of the waste from strip mining comes from overburden removal. Dredging is used to extract sand and heavy placer deposits such as gold and tin; it reinjects large amounts of fluvial sediment into the flowing water. Hydraulic mining with high pressure hoses is common in gold fields; devastating effects are still visible in the foothills of California's Sierra Nevada Mountains, more than a century later.

Environmental impacts of mining include the creation of new landforms, severe ecosystem disruption, and the formation of dangerous chemicals. The scale of operation varies greatly, but some projects are immense. The holes and mountains of overburden created may become useful as chat for railroad beds, sub-base material for roads, or recreational lakes. But, severe environmental problems are a more common result. Ecosystem disruption stems mainly from loss of topsoil, rich in organisms and nutrients; sterile landscapes with high sediment runoff are a common outcome.

The most serious problem resulting from mine wastes is acid drainage and leaching of hazardous substances. When these wastes are moved from a reducing environment (oxygen deficient) to an oxidizing environment, sulfuric acids are formed by the oxidation of the sulfides in metallic ores or the sulfur that commonly accompanies coal deposits. These acids may flow into surface waters or may leach hazardous metals from the waste. The best solution is to minimize exposure to oxygen, usually by burial.

Mining presents the dilemma of short term gains versus long term losses, especially of land suitable for agriculture or forestry. The goal of sustainability makes reclamation of mine spoil wastes imperative.

*See also* Acid mine drainage; Erosion; Hazardous material; Sustainable development; Waste management.

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Nathan H. Meleen

Minimum-tillage agriculture see

**Conservation tillage.**

Mining see **Acid mine drainage; Ducktown, Tennessee; Itai-itai disease; Mine spoil waste; Placer mining; Silver Bay; Strip mining; Sudbury, Ontario; Surface mining; Surface Mining Control and Reclamation Act (1977).**

## Mineral Leasing Act (1920)

The Mineral Leasing Act of 1920 regulates the exploitation of fuel and fertilizer minerals on the public lands. The act resulted from the perceived failure of existing federal laws dealing with coal and oil resources. Coal lands had been managed under an 1873 law, allowing the coal to be mined for either \$10 or \$20 per ton on tracts of 160 or 320 acres (64.8 or 129.6 ha). These acreage limitations led to abuse of the law, and in 1906 over 65 million acres (26.3 million ha) of land were withdrawn from coverage under of the coal lands law. These lands were then reclassified according to whether they contained coal or not, and the price for lands containing coal was increased. The withdrawal and reclassification process slowed development and led many to argue for a new approach. The fate of these coal lands was soon tied to the fate of oil lands.

Oil resources were being managed based on the Mining Law of 1870 and the Oil Placer Act of 1897. Neither law, however, sufficiently recognized the difference between petroleum and other minerals this in turn resulted in major problems in the exploitation of petroleum. These problems included overproduction, market instability, claim jumping, and national security concerns. In 1909, President William Howard Taft withdrew over three million acres of public land from oil development in California and Wyoming, thereby initiating a policy debate over how to manage petroleum resources on the public lands.

The first leasing bill, supported by the Taft administration, was introduced in Congress in 1913, but because it was so controversial in the western states, the Mineral Leasing Act was not passed until 1920. The law, which applies to deposits of coal, oil, gas, oil shale, phosphate, potash, sodium, and sulfur on the public lands, has two main features: federal regulatory authority and conditional access to the public lands. Controversy centered on the oil provisions of the Act.

These gave the Secretary of the Interior the authority to issue permits for prospecting on land that was not known to have any oil. If oil were discovered, the prospector acquired lease rights for twenty years and paid a royalty fee of five percent. For proven oil-producing lands, tracts were offered under a system of competitive bidding based on royalty payments. The minimum area covered was 640 acres (259.2 ha), and the minimum royalty accepted was 12.5 percent. These royalties were to be divided among the Reclamation Fund (for western water projects), the states in which the land is located (for education and roads), and the federal government.

Amendments to the act have done away with prospecting permits, increased the size of tracts that can be leased, and changed the bidding procedures for leases. Most lands that are not known to contain oil are leased through a lottery conducted by the U.S. Department of the Interior. For known oil lands, a competitive bidding procedure is used. In addition to the bid fee, a 12.5 percent royalty and an annual rental fee of \$2.00 per acre is also required.

The Mineral Leasing Act was a significant departure from past mining policy, based on the Mining Law of 1872, which granted free access to the public lands, the potential for inexpensive purchase of mineral lands, and included no royalty payments to the government.

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Christopher McGrory Klyza

Minerals, strategic see **Strategic minerals.**

Mine drainage see **Acid mine drainage.**

## Mining, undersea

Practically all of the mineral and energy resources found on land are also present under the sea. Development, however, is limited by extraction costs that increase

with depth of water, by the relative abundance of resources on land, and by political questions involving ownership of deep ocean resources.

The most valuable undersea commodity is oil and natural gas, representing 90 percent of the resources obtained from the seafloor. Large deposits have been found on the continental shelves in the Gulf of Mexico, the Persian Gulf, the North Sea, and off the coasts of northern Australia, southern California, and the Arctic Ocean. Other sites are promising, and many other continental shelves remain relatively unexplored. The harsh conditions found in the North Sea have fostered huge investments in colossal offshore rigs. Extraction costs on the continental shelves are several times higher than on land, and projected costs in the Arctic Ocean are several times higher again. Such fields are profitable only because of their high flow rates and reserves. Oil exploration continues to push the limits of profitable extraction into deeper and deeper waters.

Nearshore resources are much more likely to be mined than distant ones. Sand and gravel predominate in volume. Though in great demand for use in concrete and as fill material and beach sand, their low value restricts usage to local areas.

Delta sediments are often mined for valuable deposits washed from the land, including gold, platinum, tin, diamonds, iron, and uranium. Japan, an island country with limited land resources, is especially eager to exploit such deposits; coal beds are also being mined.

Phosphorite is the source rock for phosphate, a key fertilizer. Phosphorite deposits occur where upwelling brings dissolved phosphate to the surface, where, in turn, rising temperature and pH cause them to precipitate out. Their value comes from their high grade, since they are not diluted by land-derived sediments.

Manganese nodules were first discovered on the deep-ocean floor during the 1873–76 voyage of the British ship, *Challenger*. Although rich in valuable ferroalloys used in steelmaking, these potato-like ores have yet to be mined commercially. The deposits are immense, but the technology for profitable mining does not currently exist.

Another tantalizing resource is the sulfide ores precipitated out of ocean water around hydrothermal vents in deep-ocean rift systems. Commercial extraction is being attempted in the Red Sea, and experimental vehicles have been built for use in the deep ocean, but technological and economic limitations hinder commercial development.

Economic considerations are one of the greatest limitations to the growth of undersea mining, along

with uncertain environmental impact. Only highly profitable ventures, as in oil and gas extraction, or readily accessible, near-shore, bulk resources, such as sand and gravel, are currently feasible. Undersea mining appears to hold great potential resources for the future, when supplies and extraction costs of land-based resources tip the scales in its favor.

Political considerations, especially questions of ownership and the sharing of profits, are also key factors here, with developing countries clamoring for their share. During the 1970s, the United Nations strove for a treaty to regulate deep-ocean exploitation. The 1982 Law of the Sea Treaty claimed manganese nodules as the heritage of all humankind, to be regulated by the United Nations' seabed authority and with profits to be shared. The United States chose not to sign the treaty, and has joined with other developed countries in a provisional understanding to award exploitation licenses.

These political and environmental issues are insignificant, so long as the economic realities favor resources on land. It will be beneficial if the time spent waiting for development of undersea mining creates the opportunity for more productive international discussions and agreements.

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Nathan Meleen

## Mirex

An organic compound manufactured for use as an insecticide against imported fire ants and, secondarily, as a fire retardant for plastics, rubber, paint, paper, and electrical products. The chemical name of Mirex is dodecachloro-octahydro-1,3,4-metheno-1H-cyclobuta[c,d]pentalene. The United States Environmental Protection Agency (EPA) classifies it as a carcinogen. Mirex can be degraded to the toxic pesticide Kepone in the environment.

*See also* Cancer; Great Lakes; Toxic substance.

## Mission to Planet Earth (NASA)

The Mission to Planet Earth (MTPE), now officially called the Earth Science Enterprise (ESE), is a research program of the National Aeronautics and Space Administration (NASA) of the United States government. The mission of the ESE is to use spacecraft and space technology to provide a comprehensive scientific study of Earth's living systems as viewed from space. Using satellites with precise measuring equipment, the mission will provide information about weather patterns, climate, oceans, coastlines, surface activity, atmospheric conditions, natural disasters, pollution, and hundreds of other measurements.

The goals of the program, as cited from NASA, are to (1) "Observe, understand, and model Earth system to learn how it is changing, and the consequences for life on Earth," what is overall calls Science; (2) "Expand and accelerate the realization of economic and societal benefits from Earth science, information, and technology," what NASA calls Applications; and (3) "Develop and adopt advanced technologies to enable mission success and serve national priorities," what it calls Technology.

Specifically, through its ESE program NASA wants to find out how the living system of planet Earth is changing, and to determine the causes and consequences of this change. NASA is also determined to use the program's results to develop a "predictive capability" for climate, weather, and natural hazards. In addition, the program has an end-to-end strategy of performing studies and gathering data as well as making the findings readily available to the public and scientific community. Other countries are also taking part in the mission, including Canada, Japan, Russia, and many major European countries, providing funding and scientific studies.

MTPE was initiated by President George Bush in 1989, on the recommendation of the National Research Council. At first, NASA and the U.S. government were ambitious about the plan to study Earth from space, and proposed a budget of \$35 billion for its first fifteen years. The original concept of the program was to build a huge observatory that would orbit and monitor Earth. The program was scaled down during budget battles in the early 1990s and received about \$8 billion for its first decade of operation. The scientific concept of the program was changed as well due to the revised budget; MTPE will utilize a series of small satellites that will monitor Earth from space, called the earth Observing System, and collect data that will be coordinated by

computers. In 1998 NASA changed the name of MTPE to the Earth Science Enterprise.

By 2002, the program had several satellites and measuring instruments in space. The Earth Resources Observation System (EROS) has mapped cities and recorded urban growth and habitat destruction. The *Geostationary Environmental Satellite* (GOES) has tracked hurricanes and weather patterns. The *Upper Atmosphere Research Satellite* was launched in 1991 to study the ozone layer. In 1996, a Japanese satellite carried NASA instruments to study global wind patterns and, in 1997, a joint United States/Japanese satellite was launched to study how tropical rainfall affects the world's climate. In April 1999, NASA launched the *Landsat 7* satellite to map and study environmental changes on Earth's surface, including mapping the world's forest canopy.

In November 2000, a new generation of satellite was launched, the *EO-1*, which was only one-seventh the size of the Landsat satellites. The new satellite contained sophisticated instruments, some of which can measure parameters such as Earth's gravity field. This measurement helps scientists better understand ocean currents and heat movement between the poles. Other governmental departments besides NASA are also contributing to the project. The National Oceanic and Atmospheric Administration's (NOAA) *Polar-orbiting Operational Environmental Satellite* is monitoring factors such as global vegetation, ocean currents, ice warming, weather patterns, the ozone layer, and solar storms. The MTPE was projected to consist of twenty-five measuring instruments on ten satellites by 2002, depending on launch schedules and other factors.

Over the next eight years, NASA launched many satellites as part of its ESE program. Two of them include *Jason 1*, which launched on December 7, 2001, and *Meteor 3M-1*, on December 10, 2001. *Jason 1* was tasked to monitor global ocean circulating so that scientists could better forecast and predict Earth's global climate. In the next year, NASA launched *GRACE* (on March 17), *Aqua* (May 4), and *ADEOS II* (December 12). The GRACE mission, which is short for Gravity Recovery And Climate Experiment, is a joint effort of the United States (NASA) and Germany (German Space Agency) for the study of the gravitational field of Earth.

In 2003, the ESE program began the missions of *ICESat* (January 12), *SORCE* (January 25), and followed *Aura* on July 16, 2004. On April 26, 2006, NASA successfully lifted the following two spacecraft into space on board the same rocket: *CloudSat* and *CALIPSO*, which are both Earth observation probes. Then, in June 2006, *Hydros* launched into space.

The *National Polar-orbiting Operational Environmental Satellite System C1* (NPOESS-C1), which is scheduled to be launched by NASA in 2013, will monitor Earth's atmosphere, land and near-space environment, oceans, and weather.

NASA planned to make all of the data from MTPE satellites available to the public on computers. The information is stored in NASA's Earth Observing System Data and Information System (EOSDIS). NASA estimates that MTPE satellites will send enough data back to Earth during its first fifteen years of operation to fill nearly 6.5 million books per day. The management of this information will be as demanding a project as sending the satellites to space, and users will be able access the data from the Internet, CD-ROMs, tapes, microfiche, and photographs. By 2002 MTPE data was available via the Internet, including live broadcasts of the earth from satellites. In 2010, users of MTPE data include atmospheric scientists studying the ozone layer, meteorologists predicting weather changes, ecologists monitoring tropical rain forests, scholars performing environmental research, and many others interested in environmental patterns and problems.

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Douglas Dupler

Mitsui Mining and Smelting Company see **Itai-itai disease**.

## Mixing zones

Most wastewater treatment plants and industrial facilities discharge their effluent into the nearest available body of surface water such as a stream or river. The mixing zone is the localized area in the receiving stream within which the mixing, dispersal, or dissipation of the effluent can be detected. For example, cooling water discharges from power plants typically create a mixing zone in the receiving stream where the temperature is higher than the ambient background temperature of the stream waters. Environmental regulations usually require that the mixing zone be limited in size and not create a nuisance or hazardous conditions.

MMPA see **Marine Mammals Protection Act (1972)**.

## Modeling (computer applications)

Computer modeling is a mathematical tool used to analyze complicated systems or to predict events such as floods, climate changes, or population changes. Computer models are applied in many disciplines, from engineering (predicting the strength of a bridge or dam) to economics (predicting inflation rates) to ecology (describing a food chain/web or projecting the survival chances of an endangered species). A model may consist of a few simple calculations on a spreadsheet, or it may involve millions of calculations on hundreds of thousands of input parameters, as in the case of general circulation models used to describe and predict climate conditions. Both simple and complex models involve quantifying input variables and the relationships between them, in order to produce numeric output that can describe or predict some real world phenomenon or condition. Models are often criticized because they can generalize real-world phenomena unrealistically, or because any errors in initial assumptions are expanded as assumptions are added and multiplied together. However, modeling remains a common tool because it is often the only available method to find answers to important research questions.

Models let researchers experiment with systems that do not allow manipulative experimentation. One cannot actually build a power plant just to test its effect on a population, and one cannot deliberately

change the temperature of the atmosphere in order to see what happens to plant populations and sea levels. Instead a model can be used to predict probable outcomes of known conditions. Different variables can also be easily introduced in a model, for example, on the computer a programmer can easily add pollution control equipment to the power plant and observe its effect on lung disease rates in the surrounding population, or a modeler can easily reduce worldwide carbon dioxide (CO<sub>2</sub>) emissions and calculate the impact on atmospheric temperatures.

Computer models have many applications. Some aid in assessing or visualizing a phenomenon. Three-dimensional geological models are used to help visualize or approximate the volume and dimensions of an aquifer, an oil reserve, or a magma chamber beneath a volcano. A simple ecosystem model can help identify general relationships between populations and resources, by letting the modeler adjust variables and see which arrangements most closely approximate observed data. Other models are used to predict the outcome of known conditions. For example, a demographic model representing known rates of population growth, reproduction, and death can reasonably predict a country's population a century from now. Still other models are used to address a potential problem and answer cause-and-effect questions. A pollution dispersion model showing how much pollution could spread from a power plant to a town can be used to test different scenarios. For instance, one can model what might happen if there were a northwest wind for an entire week. Other possible situations that could be addressed using models are the power plant needing to double its energy production or the expansion of the town in the direction of the power plant. Models used to describe or visualize a system are known as descriptive models. Models that project or predict the results of input conditions are known as predictive models.

Anything that approximates or simulates a real-world phenomenon could be considered a model. A plaster replica of a landform, a diagram drawn on paper to describe links in a food web, or a verbal description of a storm could be considered models, because each of these describes or simulates a real phenomenon. Computer models approximate phenomena in the real world by performing calculations on input variables. These calculations produce numeric output that predicts the outcome of the variables in the model. For example, a wolf population model might multiply the number of breeding adults (A) by the average number of pups per litter (P) to produce the expected number of young wolves in a year (N):  $A \times P = N$ .

This is a very simple, and not very realistic, mathematical model. A computer model can produce a more realistic representation of a wolf population, by incorporating more variables, by using randomized variables, by performing more complicated mathematical functions, and by repeating calculations many times in order to produce a statistically significant number of experiments.

Models can be composed of variables, parameters, and constants. A variable is any factor that is changeable. The number of breeding adults in a wolf population might change from year to year, as might the number of pups per adult. Parameters are sometimes distinguished from variables as input factors that are unlikely to change: the number of litters in a wolf pack is unlikely to be more than one per year, or the month in which pups are born might reasonably be expected not to change from one year to the next. Constants are input factors that are assumed to be unchanging. In a model of a dam's structure and strength, the force of gravity on the water behind the dam would be a constant. In addition, randomized (stochastic), values can also be used to introduce an element of chance into the model. For example, in a simulation of population change in wolves a realistic birth rate may be somewhere between four to nine pups per breeding female per year. A computer model can randomly select a number between four to nine, for this variable each time the model is run. In addition there might be a 70 percent chance that each pup would survive its first year. This stochastic variable could be added to increase the precision of the model's prediction of population at the end of the year. As the computer processes the model it arbitrarily picks a value for the stochastic variable. Stochastic variables can improve a model by making it better represent real events that are somewhat random, or at least unpredictable.

### Deterministic and stochastic models

A deterministic model produces a single answer for each set of variables; that is, the input variables determine the outcome. Different results can be produced by entering different values for the input variables. Deterministic models are useful where the values of input variables are reliably known. For example, if an engineer knows with reasonable certainty the strength of a concrete dam and the force applied by a full reservoir, a deterministic model should be used to calculate the water level that would cause the dam to break. A stochastic model, in contrast, includes an element of randomness, so that there will probably be a different result each time the model is run. For example, a population model might include a slightly

randomized birth rate, or a reservoir model might include a randomized variable for rainfall, which in fact varies unpredictably from year to year. An advantage of using a stochastic (random) variable is that it helps the model approximate the fluctuations that can occur in a real system. There is no way to predict the actual number of pups a wolf pack will have each year, but it is possible to predict with some precision the minimum and maximum number that is likely. Running a stochastic model repeatedly can produce a statistically significant sample of experimental results. Statistical analysis can be used to assess the probability of various outcomes, such as a 25 percent probability that a wolf population will rise over the next one hundred years.

### Development of modeling

Although mathematical models and analog models (physical structures such as clay models of landforms or river basins) have been used in environmental problem solving for a long time, the growth of modern computer modeling began in the 1960s with the development of computers. One of the first publicized computer models was a climate model that calculated climate conditions based on three input parameters: temperature, air pressure, and wind speed. Since then models have been used to predict weather, stream discharge, soil erosion, population growth, pollution impacts, and many other occurrences. The ability to use a computer greatly increased the complexity of models that could be developed, and the widespread availability of computers increased the number of people developing models for a growing number of purposes. Models are used in environmental monitoring and management, physics, engineering, hydrology, economics, demographics, and many other fields. One reason modeling developed more or less simultaneously in many disciplines is that the techniques of programming a computer to simulate real-world variables are highly portable: the structure of a model used to interpret water flow might be modified and applied to magma movement in the earth or to nutrient flows through a landscape. Modeling is now a widespread technique that is used to help explain how natural systems function and to inform public policy concerning the environment, the economy, and many other issues.

### Sensitivity analysis, calibration, and validation

Once a model is developed it is usually tested against observed events or data to indicate how reliable it is or where its weaknesses lie. One of the first

tests usually run is sensitivity analysis. A model's outcome may be more sensitive to changes in one variable or process than another: in a beach erosion model, for example, the predicted erosion rate is likely to be influenced more by wave energy than by sand particle size, even though both factors need to be considered. It is essential to know to which factors the model is most sensitive, because error in estimating those factors could introduce significant error into the results. Sensitivity analysis involves adjusting variables or processes and then running the model to see which factors cause the greatest variability in outcomes.

Once the input variables and relationships in a model are established, the model can be calibrated, or tuned, to make it better represent reality. Calibration usually entails adjusting different parameters in order to produce a result similar to some observed results in the real world. Calibrating a model for forest growth, for example, might involve running the model repeatedly with different growth rates until the model approximates observed historic growth rates and densities.

Validation is like calibration, in that it involves comparing a model's results to observed data. The objective of validation, though, is to demonstrate that the model is reliable. The assumption of model validation is that if the model predicts the correct results in a known situation, then it will probably predict correctly in an unknown, experimental situation.

### General circulation models

A type of model that has received much attention since the mid-1980s is the general circulation model, or GCM. This is a class of highly complex models designed to predict with reasonable accuracy the behavior of the earth's atmosphere (climate) or oceans. GCMs have been used to predict worldwide climate change as a result of increased concentrations of greenhouse gases such as carbon dioxide in the atmosphere as well as other climate-affecting particulate matter (e.g., aerosols). GCMs are complex because they simulate the behavior of the atmosphere and the oceans, which have complex three-dimensional movements or flows of air masses or water. These models are either atmospheric GCMs (AGCMs) or oceanic GCMs (OGCMs). The two types can be coupled to reflect contributions of both models in an atmospheric-oceanic GCM or AOGCM. AOGCMs can also be coupled with sea ice models to incorporate sea level changes for a more comprehensive prediction of climate change. Chemical transport models (CTMs) can also be employed as a GCM component to focus on the concentration and movements of a particular chemical such as carbon

dioxide. Predicting how changes in heat input at one location impacts temperatures and flow rates at another location requires thousands of calculations performed on many inter-related variables at thousands of points in space. Because GCMs must keep track of so many variables and so many points in space, they are usually run on supercomputers that can perform (billions) of calculations per second. Although the accuracy of these models has been questioned, it is difficult to predict climate change exactly as there are numerable variables that affect climate and potentially interacting variables that represent a degree of uncertainty. According to the models consulted for the most recent Intergovernmental Panel on Climate Change (IPCC) assessment in 2007, the temperature of the Earth is expected to warm at a rate of (32.36° Fahrenheit 0.2°C) per decade for the next two decades.

The appropriate complexity of a model depends on its intended use. A model designed to describe ocean circulation over space and time to produce realistic results on which to base public policy might incorporate many variables. A model built to test very general relationships between variables, in order to stimulate a researcher's insight into a small aspect of ecosystem functions, may more appropriately be quite simple, with only a few input parameters and equations.

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Mary Ann Cunningham

## Molina, Mario José

1943–

Mexican chemist

Mario José Molina is the Mexican chemist who received the 1995 Nobel Prize in Chemistry (along with Paul Crutzen and F. Sherwood Rowland) for the discovery of the depletion of the ozone layer of the atmosphere by released chlorofluorocarbons.

Molina was born on March 19, 1943, in Mexico. He received his bachelor's degree from the National Autonomous University of Mexico in 1965 and his Ph.D. in physical chemistry from the University of California at Berkeley in 1972. After teaching for a year at the National Autonomous University, he returned to Berkeley as a research associate for one year.

In 1973, Molina joined the research laboratory of F. Sherwood Rowland at the University of California at Irvine. Molina was looking for a topic on which he could do his post-doctoral research with Rowland, and Rowland suggested studying the possible effects of an important commercial chemical, trichlorofluoromethane, also known as chlorofluorocarbon–11, or CFC–11. The compound was a member of a widely-successful group of chemicals, called freons, produced by Dow Chemical Company.

In particular, the question that interested Rowland was what effects, if any, this compound would have on atmospheric gases. CFC–11 was rapidly becoming very popular as a propellant in hair sprays, spray paints, and other aerosol products. By 1974, more than \$2 billion of CFC–11 and related chlorofluorocarbons were being used each year.

Rowland and Molina developed a theory about the fate of CFC molecules released in the troposphere, the layer of the atmosphere in which people live. They predicted that those molecules would rise into the stratosphere, the layer of air above the troposphere, where solar energy would cause CFC molecules to decompose, releasing free chlorine atoms.

If that were to happen, they hypothesized, the free chlorine would be likely to attack ozone molecules, converting them to ordinary oxygen. The chlorine oxide formed in that reaction might then react with single oxygen atoms, to form more oxygen and regenerate the original chlorine.

Two important conclusions can be drawn from this series of reactions. First, a single atom of chlorine would be capable of destroying many (Rowland and Molina predicted about 100,000) molecules of ozone.

Second, since ozone in the stratosphere absorbs ultraviolet radiation, this process would result in more ultraviolet radiation reaching the earth's surface and causing an increase of skin cancer among humans.

When Rowland and Molina first proposed this theory, no measurements had ever been made of chlorine in the stratosphere. By 1979, they had carried out the first of those measurements and obtained results that closely matched their predictions. An important new environmental problem, ozone layer depletion, had been identified.

Molina held positions as a research associate, assistant professor, and associate professor at the University of California at Irvine. In 1983, he left Irvine to become Senior Research Scientist at the Jet Propulsion Laboratory in Pasadena, California. In 2004, he assumed his present position at the University of California, San Diego.

Molina was awarded the Society of Hispanic Engineers Award in 1983. He is also the recipient of more than a dozen awards including the 1987 American Chemical Society Esselen Award, the 1988 American Association for the Advancement of Science Newcomb-Cleveland Prize, the 1989 NASA Medal for Exceptional Scientific Advancement, and the 1989 United Nations Environment Programme Global 500 Award. In 1995, he received the Nobel Prize in Chemistry along with Rowland and Crutzen. Molina later was awarded the UN Environment Programme Sasakawa Environment Prize (1999), the 9th Annual Heinz Award in the Environment (2003), and the Volvo Environment Prize (2004).

Molina was a member of the transition team of President-elect Barack Obama, tasked with environmental issues.

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David E. Newton

Molluscicide see **Pesticide**.

## Monarch butterfly

Like all butterflies, moths, and other insects with a lifecycle that involves complete metamorphosis, individual monarch butterflies (*Danaus plexippus*) go through four stages from eggs to larvae (caterpillars) to pupae to adults. Unlike other insects, as a species monarchs also undergo an annual cycle that involves several generations and a migration that covers thousands of miles.

Monarch butterflies are native to North and South America, but have been spread by humans throughout much of the world in the 1800s. They first appeared in Hawaii in the 1840s, then spread throughout the rest of the South Pacific in the 1850s and 1860s. In the early 1870s, the first monarchs were reported in Australia and New Zealand. These different populations have adapted to their new habitats with an amazing range of behaviors, but the annual migration undergone by the North American monarchs makes them unique among insects.

It is thought that there are three distinct populations of monarchs in the United States. One breeds east of the Rocky Mountains and overwinters in the mountains of central Mexico. Another breeds west of the Rocky Mountains and overwinters on the California coast. These populations constitute separate breeding pools with little genetic exchange. A third population is found in southern Florida. This population is genetically less isolated and probably receives significant influx from the eastern population in the fall and possibly the spring.

## The monarch life cycle

An individual monarch's life cycle begins when a female lays an egg on a plant in the milkweed family (Asclepiadaceae). Dozens of milkweed species are found throughout North America, and most of these are suitable hosts for monarchs. Common milkweed (*Asclepias syriaca*) in the northern United States and Canada is probably host to more monarchs than any other species. It is difficult to tell just how many eggs each butterfly lays during her life, but the average female in the wild is thought to lay three hundred to five hundred eggs. In captive females average about seven hundred eggs over two to five weeks of egg laying. Eggs usually hatch three to seven days after they are laid.

The newly hatched larva's first food is its egg-casing, but it soon begins eating the plant on which it was hatched. Only after consuming milkweed does the larva develop the characteristic yellow, white, and black striped pattern. Monarch larvae undergo five stages called instars, shedding their old skin between stages to allow for growth. The main activity of the larva is eating, and during the larval period of about eight to fourteen days, they increase their mass about two thousand times.

The third stage in the monarch life cycle is the pupa, or chrysalis. When the monarch is ready to pupate, it seeks a protected location and spins a white silk pad using a spinneret located just under its mouth. It attaches itself to this pad and dangles head down. About a day later it sheds its skin for the last time and the gold-spotted green chrysalis, or pupa, is complete. This stage lasts eight to fifteen days. The day before the adult butterfly emerges, its folded wings are visible through the pupa case, and it is possible to see the black, orange and white color pattern that is the monarch's trademark. This pattern is due to tiny scales that cover the monarch's wings and body. On the day that the butterfly emerges, the colors are very distinct and the pupa no longer has any green coloring.

Adults live for two to six weeks, spending their time gathering nectar from flowers, mating, and laying eggs. Four to five generations repeat this cycle throughout the spring and summer.

## The monarch's annual cycle

Butterflies that emerge in late August and September put reproduction on hold. Instead of eating, mating, and laying eggs, the adults fly south. Individuals in the eastern North American population, live up to nine times as long as their spring and summer counterparts. They travel to old growth oyamel fir (*Abies religiosa*) forests high in the transvolcanic mountains of Michoacan, Mexico. West coast monarchs also begin a migration that will end in

the eucalyptus and Monterey pine (*Pinus radiata*) groves of Pacific Grove, Santa Cruz, and Fremont on the Central California coast.

Starting in early November, the monarchs form compact roosts thickly covering the trunks and branches of hundreds of trees. They remain in a nearly dormant state until the temperatures warm in February, when they become more active, mating, and seeking water and nectar. In mid-March, they fly north to look for the milkweed plants in order to produce new generations of monarchs that will continue the migration cycle. Recolonization of the summer breeding grounds is a two-step process. Monarchs that have overwintered make part of the return trip. Then they lay eggs and die. Their offspring continue the journey north until the breeding range is reoccupied by late May or early June.

Because of their incredible yearly migration cycle, monarchs depend on resources in widely dispersed locations, making them especially vulnerable to disruption of their habitat. In their breeding range throughout the United States and southern Canada, they need fields, roadsides, gardens, and prairies filled with milkweed for larvae and nectar sources for adults. During their fall migration monarchs need safe flyways and nectar sources to fuel their long journey. Finally, the migratory generation requires an intact habitat in their wintering one that will protect them from temperature and humidity extremes during their winter rest. Just as with migratory song birds, preserving the monarch's migratory life cycle requires international interest, knowledge, and cooperation.

## Monarch population size

There are no reliable estimates of monarch population size during the breeding stage of the annual cycle. However, estimates of absolute numbers during the overwintering period exist. During the winters of 1985 to 2002, researchers estimated that overwintering monarch densities are approximately 10 million butterflies per half acre (1 ha), with 3 to 6 acres (6–12 ha) of land used for roosting. However, estimates of monarch mortality during a major winter storm in 2002 suggested that this estimate is too low and that densities may be more like 50 or 60 million monarchs per half acre (1 ha) of occupied forest.

## Sources of monarch mortality

About 90–95 percent of monarch eggs never reach adulthood, because predators, milkweed defenses, and environmental conditions can all cause mortality during the immature stages. Predators and environmental

conditions can also kill adults. Habitat destruction limits the number of monarchs reaching maturity during the summer breeding period, and also affects the number that survive the overwintering period.

Invertebrate predators such as insects and spiders, and diseases caused by bacteria, viruses, fungi, and other organisms kill many monarchs in natural populations. Invertebrate predators of monarchs include both native and introduced flies and wasps that lay eggs in living larvae or on the leaves that larvae eat. The wasp or fly larvae that emerge from these eggs ultimately kill the monarch larvae.

Monarchs accumulate chemicals called cardenolides (also called cardiac glycosides) that are present in the milkweed. These cardenolides are poisonous to most vertebrates, and monarchs face little predation from frogs, lizards, mice, or birds during the adult breeding stage. However, vertebrate predation is a major source of mortality for overwintering monarchs. Both birds and mice consume significant numbers of overwintering adults.

The milky latex present in milkweed leaves is contained under pressure in a system of vesicles. When the plant is punctured, the latex flows out of these vesicles and coagulates on contact with air. The latex serves as a defense to the plant, since it is similar to glue after coagulation. It can kill small larvae by sealing their mandibles or gluing their entire body to the leaf. While monarch larvae can cut off latex flow by trenching the leaves, about 25 percent of first instar larvae die after becoming mired in the latex of some milkweed species.

Monarch eggs do not hatch in very dry conditions. Dry weather can also reduce the population of monarch by killing milkweed and reducing the amount of nectar in flowers. Very hot weather also causes mortality. Studies have shown that prolonged temperatures above approximately 95° Fahrenheit (35°C) can be lethal to all stages. Likewise, temperatures below freezing can kill monarchs. All stages can survive if temperatures are only a few degrees below freezing for short periods, but extended periods of severe cold and wet kill them. In the overwintering colonies, the highest mortality occurs when adult monarchs become wet from rain or snowfall, then are subjected to freezing temperatures. Since temperatures extremes are likely to be greater in forests that have been thinned, logging can exacerbate the effects of winter storms.

The most important source of human-caused mortality in the breeding range of monarch is habitat loss, especially the destruction of milkweed and nectar sources. Milkweed is considered a noxious weed by some people, and is often destroyed. Herbicides used

in agriculture and on roadsides and lawns kill both nectar plants needed by adults and milkweed needed by larvae. Monarchs are also exposed to insecticides used to control insects such as mosquitoes. Research conducted in 2001 found that most monarchs in the upper Midwest probably originate in agricultural fields, especially corn and soybean fields. This means that any agricultural practices that affect milkweed abundance or monarch survival in these fields could have large impacts on monarch populations.

There is also concern that genetically corn containing Bt toxin is a hazard to monarchs. Pollen produced by this corn contains the toxin. Pollen may be blown onto milkweed plants growing in and near cornfields. The most commonly used Bt strains express levels of toxin in their pollen that are unlikely to kill monarch larvae outright. In 2001, the *Proceedings of the National Academy of Sciences* published six comprehensive studies funded by the U.S. Department of Agriculture (USDA), which determined that Bt corn does not pose a risk to monarch butterflies.

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Karen S. Oberhauser

## Monkey-wrenching

Also called *ecotage* (ecological sabotage), monkey-wrenching refers to techniques used by some radical environmentalists to stop or slow the machinery used in logging, strip mining, and other sorts of environmentally destructive activities. The term was popularized by Edward Abbey's novel, *The Monkey Wrench Gang* (1975), and the concept was developed by Dave Foreman in *Ecodefense: A Field Guide to Monkeywrenching* (1987). The techniques of monkey-

wrenching include “spiking” old-growth trees to prevent loggers from cutting them down, “munching” logging roads with nails to puncture the tires of logging vehicles, pulling up surveyors’ stakes, putting sand or grinding compound in the gas tanks or oil intakes (or oatmeal or Minute Rice in the radiators) of bulldozers and logging trucks, and other forms of disruption or destruction.

## Mono Lake

Clear, cold water tumbles from snowcapped peaks and alpine fields at 13,000 feet (4,000 m) down the precipitous eastern escarpment of California’s Sierra Nevada. The water feeds semi-arid, sagebrush-covered Mono Basin and, at the basin’s heart, majestic Mono Lake. Mono Lake is a salt lake with an area of 60 square miles (155 km<sup>2</sup>) at an elevation of 6,400 feet (2,000 m), and mountain waters have flowed to it for at least the past half million years, making it one of the oldest lakes in North America.

Mono Basin lies in the rain shadow of the Sierra Nevada; shielded from moist Pacific air masses to the west, it has a semi-arid climate. Being surrounded by higher ground, it also has no natural outlet. Over the millennia, the major water loss from Mono Lake has been by evaporation, a process that removes pure water and leaves dissolved salts behind, and the water in the lake has become alkaline as a result and two and a half times saltier than sea water.

The salty, alkaline lake water excludes fish, but it does provide ideal conditions for several life forms that normally are uncommon to inland waters. Algae blooms in abundance during winter, sometimes turning the lake water pea-soup green, and it provides summer sustenance to a profusion of brine flies and tiny brine shrimp. Brine flies and brine shrimp make Mono Lake a summer haven for hundreds of thousands of nesting and migratory birds. The lake’s islands provide safe nesting for tens of thousands of California gulls and snowy plovers. Nearly 90 percent of the state’s population of California gulls, which live mainly along the Pacific coast, are hatched on these islands. More than seventy species of migratory birds use Mono Lake—most notably, several hundred



**Mono Lake.** (Rick Whitacre/Shutterstock.com)

thousand eared grebes which stop over during their fall migration, and more than 100,000 phalaropes which come from South America for the summer.

Mono Lake's aquatic chemistry, combined with its unusual geologic and topographic environment, has given rise to the lake's signature feature: tufa towers. Tufa is a type of limestone which consists of calcium carbonate that forms around fresh water springs emanating from the lake bottom. Calcium carried by the spring water combines with carbonate in the alkaline lake to form soft rock masses that slowly grow into underwater pinnacles. These towers were almost entirely covered by water until recently; they were first exposed when the lake level started dropping in the early 1940s, because the growing city of Los Angeles had begun intercepting fresh water from streams feeding the lake and diverting it south to the city in a 250-mile (400-km) long aqueduct.

By the mid-1980s, Mono Basin supplied nearly 20 percent of the water used by Los Angeles, and the lake level had dropped more than 40 feet (12 m). As the water level dropped, the lake's salinity increased and shoreline habitat for brine flies decreased. Tufa formations became more exposed, dust storms grew more frequent and severe, and predators occasionally found access to island nesting sites. Meanwhile, California created the Mono Lake Tufa State Reserve, and the United States Congress established the Mono Basin National Forest Scenic Area.

Ultimately, the demand for water by Los Angeles clashed with the demands of environmental groups, who sought to maintain Mono Lake's ecological integrity and the fish habitat of streams feeding the lake. Lawsuits have been fought in state and federal courts, and in 1989 California's State Supreme Court ordered the Los Angeles Department of Water and Power (LADWP) to reduce the amount of water it was diverting from the lake. In 1993, the State Water Resources Control Board recommended that the diversion be cut again, this time by half.

*See also* Drinking-water supply; Hydrologic cycle; Los Angeles Basin; National forest; Water allocation; Water resources; Water rights.

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Ronald D. Taskey

## Monoculture

The agricultural practice of planting only one or two crops over large areas. In the United States, corn and soybean are the only crops grown on many farms in the central Midwest, while on the Great Plains wheat is often the exclusive crop grown. Although it minimizes farmers' investments in large, expensive implements, the practice exposes crops to the risk of being wiped out by a single predator. This happened with the Irish potato blight of the 1840s and the corn leaf blight of 1970 in the United States, which destroyed millions of acres of corn. Ecologists warn against monoculture's oversimplification of the food chain/web, arguing that complex webs are more stable.

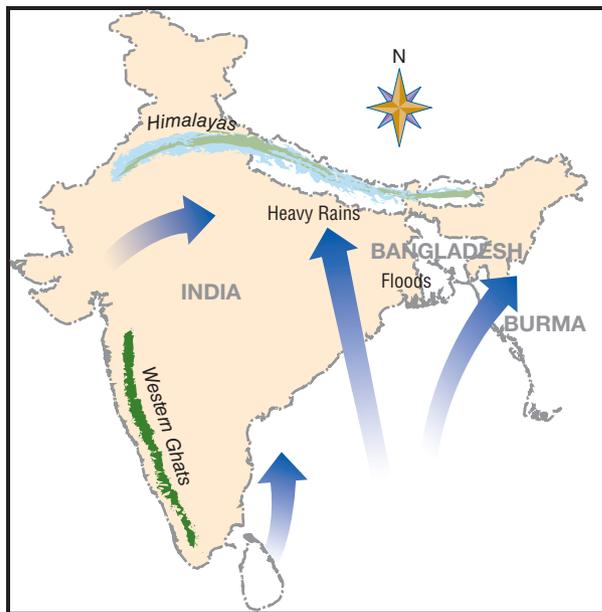
## Monsoon

Monsoon (from Arabic, *mausim*, season) technically means a reversal of winds, that point between the dry and the wet seasons in tropical and subtropical India, Southeast Asia, and parts of Africa and Australia, when seasonal winds change their direction. When the land heats up, the hot air rises, causing a low pressure zone that sucks in moisture-filled cooler ocean air, creating clouds and producing rain. In winter, the opposite happens: warm air over the ocean rises and makes a low pressure zone that draws the cooler air off the land.

Although monsoon winds have always been watched by traders and sailors in the Eastern Hemisphere, their arrival is critical to millions of people who depend on agriculture. Cultural and religious customs, especially in India and southeast Asia, are tied to the monsoon rains that bring a season of fertility after a long hot and sterile dry period.

Coastal radar and satellites aid in weather prediction, but the climatological components of monsoons are complex. Tied to the heat and moisture exchange between land and oceans, their effect can be altered by changes in the circulation of hemispheric winds at the equator, as well as by precessional changes in the orbit of the earth.

Environmental changes such as deforestation or soil erosion can invite severe flooding, as in Bangladesh during the 1980s. Scientists believe a rise in sea surface temperature in the Atlantic Ocean, possibly related to the greenhouse effect, prevented the monsoon rain from reaching the African Sahel and contributed to



Each summer, warming air rises over the plains of central India, creating a low-pressure cell that draws in warm, moisture-laden air from the ocean. Rising over the Western Ghats or the Himalayas, the air cools causing heavy monsoon rains. (Reproduced by permission of Gale, a part of Cengage Learning.)

recent droughts. This ocean temperature rise may also be tied to the El Niño event in the Pacific Ocean.

Any fluctuations in monsoon rain patterns can cause disease and death, along with millions of dollars in damage. If the rains are delayed, or never come, or fall too heavily in the beginning or at the end of the growing season, disastrous results often follow.

*See also* Climate; Cloud chemistry; Meteorology.

## Montreal Protocol on Substances That Deplete the Ozone Layer (1987)

The 1987 Montreal Protocol regulates production, use, and emission of chlorofluorocarbons (CFCs). After observing the success of the initial signatory countries at reducing CFC usage, additional countries signed the Montreal Protocol. As of 2010, more than 190 countries now observe and enforce provisions of the Montreal Protocol and the manufacture of CFCs is banned in most industrialized countries. The Montreal Protocol allows each country to develop its own economically

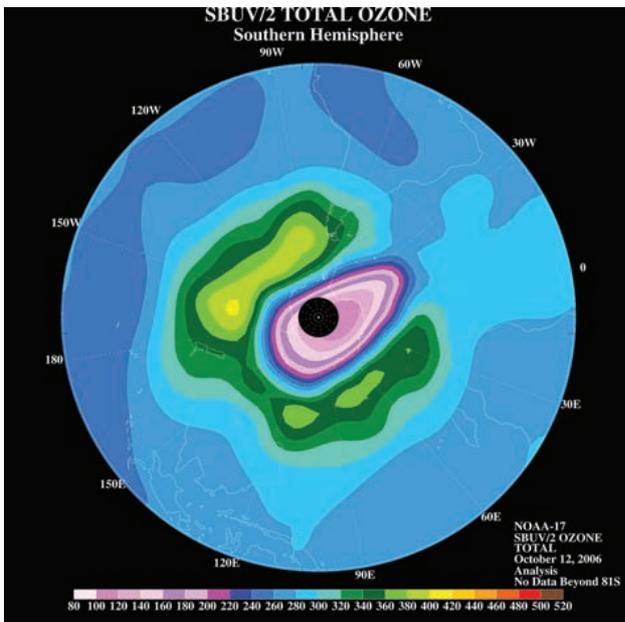
feasible but effective way to limit its emissions of ozone depleting substances.

The historical agreement made in 1987 was designed to phase out substances that are harmful to the Earth’s ozone layer. The ozone layer protects life on earth by blocking out the Sun’s harmful ultraviolet radiation. Since the 1970s scientists have documented the depletion of the ozone by chlorofluorocarbons (CFCs), commonly used for refrigeration and as solvents and aerosolpropellants. Alarmed by this growing global trend, scientists and policymakers urged a decrease in the use and production of CFCs as well as other ozone-damaging chemicals. Ratifying the 1987 Montreal Protocol was a difficult process, however, with the European Community, the former Soviet Union, and Japan reluctant to pose strict controls on chemicals reduction. United States, Canada, Norway, and Sweden, among others, favored stronger control and negotiated with these nations to cut back and eventually phase out completely ozone-depleting substances.

An amendment of the Montreal Protocol was made in 1990 by 93 nations, including China and India, who had not previously participated, to eliminate the use of CFCs, carbon tetrachloride, and halon gases by the year 2000 and eliminate the production of methyl chloroform by 2005. Some countries, like the United States, accelerated the schedule to 1995. This 1990 amendment also established the “Montreal Protocol Multilateral Fund” to help developing countries become less dependent on ozone-depleting chemicals.

In November 1992 delegates from all over the world met again in Copenhagen, Denmark, to further revise the Montreal Protocol and accelerate the phase-out of ozone- damaging substances and regulate three additional chemicals. Some of those provisions were as follows: phase out production of CFCs and carbon tetrachloride by 1996; ban halons by 1994 (the production of halogen was ended in 1994 in most industrialized nations and is expected to be halted in China, Korea, India, and the former Soviet Union by 2010); end production of methyl chloroform by 1996; control the use of hydrochlorofluorocarbons (HCFCs) and eliminate them by 2030; and increase funding for the Multilateral Fund (between \$340 and \$500 million by 1996).

Since the Copenhagen Amendments there have been other amendments, such as the Montreal Amendment of 1997, which according to the *Journal of Environmental Law & Policy* “adjusted the timetable for phaseout of some substances and modified trade restrictions, including the creation of a licensing system to



**Ozone layer hole.** (Courtesy of NASA)

attempt to decrease the black market in ozone depleting substances;” and the Beijing Amendment in 2002, which closely monitors bromochloromethane and the trade of hydrochlorofluorocarbons.

By the end of 2006, the parties to the Montreal Protocol had phased out 95 percent of all ozone-depleting substances. Production of ODS fell from more than 1.8 million metric tons in 1987 to 83,000 metric tons in 2005. Due to the long half-life of CFCs, however, the recovery of the atmospheric ozone levels has been slow. Atmospheric chlorine levels did not begin to drop until 1997. Although the thinning in the ozone layer over Antarctica has improved to some degree, the largest hole in the ozone ever recorded occurred in October 2006. Scientists predict that Antarctic ozone levels could recover completely between 2060 and 2075.

Former United Nations Secretary General Kofi Annan called the Montreal Protocol “perhaps the most successful international agreement to date.”

*See also* Biodegradable; Ozonation.

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Kyung-Sun Lim

## More developed country

The terms more developed countries (MDCs) and less developed countries (LDC) were coined by economists to classify the world’s countries on the basis of economic development (average annual per capita income and gross national product). In comparison to other countries, MDC countries tend to be wealthy and industrially-developed. They tend to have temperate climates and fertile soils. About a quarter of the world’s population live in MDCs, but they consume more than three quarters of the world’s mineral and energy resources. In contrast the LDCs are poorer and less industrially-developed. They tend to be located in the Southern Hemisphere where the climate is less favorable and soils are generally less fertile. Though the boundaries are purposely vague, this dichotomy is useful for contrasting the economic and social welfare of the richer and poorer countries and in critical environmental categories involving mainly demographic, economic, and social statistics.

*See also* Environmental economics; First world; Third World.

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Nathan H. Meleen

## Mortality

A measure of the death rate in a biological population, usually presented in terms of the number of deaths per hundred or per thousand. If there are 100 mice at the beginning of the year and fifteen of them die by the end of the year, the group's mortality rate is fifteen per 100 individuals (the initial population), or 15 percent. In ecological and demographic studies of populations mortality is an important measurement, along with birth rates (natality), immigration, and emigration, used to assess changes in population size over time. In human populations mortality rates are often calculated with regard to specific age and gender groups, or for other demographic (population sub categories) factors such as race, income level, occupation, and so on. Demographic studies allow comparisons between groups with regard to mortality rates and an array of health, occupational, and social factors.

*See also* Evolution; Extinction; Population growth; Zero population growth.

## Mount Pinatubo

Mount Pinatubo in the Philippines erupted on June 15, 1991. When the 5,770-foot (1760 m) mountain shot sulfur dioxide 25 miles (40 km) into the atmosphere, the cloud mixed with water vapor and circled the globe in twenty-one days, temporarily offsetting the effects of global warming. Satellite images taken of the area after the eruption showed a dustlike smudge in the stratosphere. The sulfur dioxide cloud deflected 2 percent of the earth's incoming sunlight and lowered temperatures on worldwide average. Although the effects on global temperatures were significant, they are thought to be temporary. These light sulfur dioxides are expected to remain in the stratosphere for years and contribute to damage to the ozone layer.

The Philippine islands originated as volcanoes built up from the ocean floor. Most volcanoes erupt along plate edges where ocean floors plunge under continents and melting rock rises to the surface as magma. The earth's crust pulls apart, creating gaps where the magma can rise. The island of Luzon, where



**A soldier walks towards an abandoned house as Mt. Pinatubo spews ash as high as 12 miles (19 km) in its 1991 eruption. (AP Photo/Bullit Marquez, File)**

Mount Pinatubo is located, has thirteen active volcanoes. The pattern of volcanoes around the rim of the Pacific Ocean is called the Ring of Fire.

Mount Pinatubo sits in the center of a 3-mile (5-km) wide *caldera*, a depression from an earlier eruption that made the volcano collapse in on itself. A new cone formed over time, and geothermal vents gave a clue that the volcano was active. Before the 1991 eruption, Mount Pinatubo last erupted six hundred years ago. In April 1991 steam eruptions, earthquakes, increasing sulfur dioxide emissions, and rapid growth of a lava dome all indicated a powerful impending blast. Minor explosions began on the mountain on June 12, 1991.

This major eruption occurred in a country with an already shaky economy, and the human effects are likely to be significant for a long period of time. A total of 42,000 homes and 100,000 acres (40,500 ha) of crops were destroyed. Nine hundred people died and 200,000 were relocated, with 20,000 people remaining in tent cities. More than 500 of those have died from disease and exposure. The country suffered over \$1 billion in economic losses. Nevertheless, many lives were saved as a consequence of scientists' predictions of the eruption.

The destruction from Mount Pinatubo came mostly from lahars, rushes of cementlike mud, formed when heavy rains loosened the tons of ash dumped on the mountain's sides. These lahars can bury towns and roads and virtually anything else in the way. Also deadly were the pyroclastic flows, killer clouds of hot gases, pumice, and ash that traveled up to 80 miles (130 km) per hour across the countryside, up to 11 miles (18 km) away from the volcano.

*See also* Geothermal energy; Greenhouse effect; Ozone layer depletion; Plate tectonics.

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Linda Rehkopf

## Mount St. Helens

On May 18, 1980, Mount St. Helens exploded with a force comparable to 500 Hiroshima-sized atom bombs. David Johnston, a United States Geological Survey (USGS) geologist based at a monitoring station 6 miles (9.7 km) away announced the eruption with his final words, "Vancouver, Vancouver, this is it." Dramatic photographs provided the public with an awesome display of nature's power.

Mount St. Helens, in southwestern Washington near Portland, Oregon, is part of the Cascade Range, a chain of subduction volcanoes running from northern California through Washington. The Mount St. Helens eruption was instrumental in the expansion of the USGS Volcano Hazards Program. Research at the new Cascades Volcano Observatory in Vancouver, Washington, has strengthened basic understanding of volcanic processes and the ability to predict eruptions. Highly relevant ecological studies have corrected previous errors and misconceptions, leading to a new theory about nature's ability to recover after such events.

Research has heightened public awareness of the inherent instability of high, snow-covered volcanoes, where even small eruptions can almost instantaneously melt large volumes of snow. A relatively small 1985 eruption at Nevado del Ruiz in central Colombia killed more than 23,000 people. The Mount St. Helens blast and subsequent collapse generated a 0.7 cubic mile (2.8 km<sup>3</sup>) mud flow which raced 22 miles (35 km) at speeds as high as 157 miles per hour (253 k/h). This caused massive problems, even halting traffic on the Columbia River. These flows may also create unstable dams, which may burst years after the initial eruption.

In addition to its awesome power and destructive force, the Mount St. Helens eruption has provided rich material for research. Conductivity studies have located a large rotating block under Mounts Rainier, Adams, and St. Helens, the friction from which is a likely source of eruptions. Geologic mapping and



Mount St. Helens eruption. (Courtesy of the USGS.)

historical research, coupled with field studies of current volcanism, have corrected misconceptions and given clues to hazard frequency. Studies of nature's recovery efforts have produced surprises, notably the early arrival in the eruption zone of predatory insects; elk grazing in open, reforested areas; and the explosive growth of uncommon, dangerous bacteria due to the high temperatures generated by the eruption. *Biological legacy* has emerged as the unifying theory describing nature's recovery capabilities, an idea with direct applications to forestry practices and reclamation of human-disturbed land. Nature's mess provides valuable nutrients and nurseries; furthermore, old growth areas within managed ecosystems nurture the recovery of biodiversity.

Mount St. Helens has provided a unique laboratory for study of volcano hazards and nature's ability to recover from the devastation caused by volcanic eruptions.

See also Mount Pinatubo; Reclamation; Topography.

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Nathan H. Meleen

MTBE see **Methyl tertiary butyl ether**.

## Muir, John

1838–1914

American naturalist and writer

John Muir is considered one of the towering giants of the conservation/environmental movement in the United States. Anyone seriously interested in natural history, conservation, wilderness preservation, or the national parks in this country should be aware of John Muir's work. He was a spirited, joyous naturalist, a serious student of glaciers, an influential advocate of wilderness preservation, and the acknowledged founder of the national park idea. Born in Dunbar, Scotland, Muir emigrated with his family to the United States in 1849 when he was eleven years old. He spent his youth working on a farm in the Wisconsin wilderness, trying to please his father, who was a deeply religious man. The wilderness, his religious background, and the hard labor influenced his thinking the rest of his life.

Muir's father believed the Bible to be the only book necessary for a young person, but Muir managed to educate himself and to spend several years at the



**John Muir.** (Archive/Corbis-Bettmann.)

University of Wisconsin (where he chose his own curriculum and so left without a degree). After school, he worked at various jobs, generally quite successfully, until a factory accident temporarily blinded him. He vowed that if his sight returned, he would leave the factory and see as much of the world as possible. After about a month, his sight did return and he left for various jaunts in the wilderness, including a famous 1,000 miles (1,609 km) walk through the country to the Gulf of Mexico, an account recorded in *A Thousand Mile Walk to the Gulf* (1916).

His eventual goal was to reach South America and wander through the Amazonian tropical rain forests. He reached Cuba, but a bout with fever (carried over from the humid lowlands of Florida) turned him instead toward the drier West, especially California and the Yosemite Valley, about which he had seen a brochure and which he determined to see for himself. “Seeing for himself” also became a life-time habit, and he eventually traveled over much of the world. As he had planned, he did make it up the Amazon, in 1911, at the age of seventy-three.

Arriving in California in 1868, he made his way to Yosemite and spent several years studying its landforms, wildlife, and waterways, earning his living by herding sheep, working in a sawmill, and other odd jobs. As Edward Hoagland noted, Muir “lived to hike,” a mode of transportation that involved him intimately

in the landscape. He traveled light, and alone, often with little more than some dry bread in a sack, tea in a pocket, a few matches and a tin cup, and perhaps a plant press.

Through his travels in Yosemite, he became convinced that the spectacular land forms of Yosemite had been carved by glaciers or, as he put it, “nature chose for a tool the tender snow-flowers noiselessly falling through unnumbered centuries.” His belief in glacial origins placed him in conflict with the established scientific ideas of the time, especially those held by the California state geologist. But Muir eventually prevailed, his ideas vindicated when he found the first known glacier in the Sierra range. The results of his years of intense glacial investigations are available in *Studies in the Sierra* (1950). Current views have verified his theories, changing only the number of glacial events and emphasizing the role of water in cutting the canyons. Muir also made five trips to Alaska to study glaciers there, one of which is named for him.

His glacial studies were the principle contributions Muir made as an original scientist, most of his life being devoted to travel, writing, and conservation activism. Even as early as 1868, Muir was concerned with the effects sheepherding had on plant life and soil erosion.

Muir’s travels were interrupted for a time when, in early 1880, he married Louise Strentzel, the daughter of a fruit rancher in the Alhambra valley. Muir helped run the ranch, first rented and then bought some of the acreage, applying his inventiveness and hard work to fruit growing. Reportedly, he was a good businessman, prospering after only a decade to ensure a measure of financial independence. He then sold part of the ranch and leased the rest, which allowed him time with his daughters, to return to his beloved wilderness, and to write and actively promote his wilderness ideas. Muir’s intimate acquaintance with the Yosemite area and the Sierra Nevada exposed him not only to the depredations of sheep but also to the rapid felling of giant old Sequoias, cut up for shingles and grape stakes. Muir’s response: “As well sell the rain clouds, and the snow, and the river, to be cut up and carried away.” In 1889, he escorted the editor of *Century* magazine to Yosemite and showed him the negative impacts of sheep, which he called “hoofed locusts.” A series of articles in that magazine alerted the public to the destruction of the land, and they eventually pressured Congress to establish the Yosemite area a national park in 1890.

An earlier attempt to rally interest in the plight of the western forests—a suggestion for a government

commission to survey the forests and recommend conservation measures—was also realized with the appointment of such a commission in 1896. Charles Sargent, the chair of the commission, invited Muir to participate and, on the basis of the Sargent Commission's recommendation, President Grover Cleveland created thirteen forest preserves, setting aside 21 million acres. Negative reaction from commercial interests, however, nullified most of these gains. Muir responded by writing two articles on forest reserves and parks in *Harper's Weekly* and *Atlantic Monthly* in 1897. These articles helped to rally public support and in 1898, the annulments were reversed by Congress.

Muir influenced the public and extended his influence by friendships and correspondence with some of the most powerful people of his time. A number of the successes of the early conservation movement, for example, can be attributed to his influence on such figures as Theodore Roosevelt. After a three-day camping trip with Muir under the Big Trees in 1903, Roosevelt added many millions more acres to the national forest system, as well as national monuments and national parks and created what became the national wildlife refuge system.

Known for his many successes, Muir was much saddened by his one big loss: the damming of Hetch Hetchy valley in Yosemite National Park as a reservoir to supply water to San Francisco. Muir's public image was damaged by the excessive vehemence of his attacks upon the citizens of San Francisco, whom he denounced as "satanic," and following the Hetch Hetchy incident, Muir retired to his ranch to edit his journals for publication.

Muir never considered himself much of a writer and begrudged the time it took away from his beloved mountains and forests. Most of his books were published late in life, after the turn of the century. His writings are still widely read today by students, scholars, activists, and philosophers. In the opinion of most observers, the primary importance of Muir's writings lies not in their literary quality but in the fact that they persuaded a large number of Americans to regard scenic wilderness areas as irreplaceable natural resources which must be protected and preserved.

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Gerald L. Young

## Multiple chemical sensitivity

Multiple chemical sensitivity (MCS) is a highly controversial disorder associated with extreme sensitivity in response to low-level exposure to chemicals, solvents, perfumes, and volatile organic chemicals (VOCs) in particular. The syndrome is also referred to as environmental illness, total allergy syndrome, and chemical injury. Sufferers experience fatigue, malaise, headache, dizziness, lack of concentration, and loss of memory, and are often so disabled that they cannot live or work except in completely chemical-free environments. Critics argue that this condition should not receive clinical recognition as a disease, insisting that there is no conclusive scientific evidence to link the causes to the symptoms of the condition. MCS has no uniform cause or consistent, measurable features. Advocates for sufferers say it is a chronic condition marked by heightened sensitivity to even slight chemical exposures, and that multiple symptoms occur in multiple organ systems, usually caused by large triggering exposures to consumer products, such as new carpeting. Proposed theories regarding the cause of MCS comprise allergy, immune system dysfunction, neurobiological sensitization, and various psychological theories. Cases of MCS are usually diagnosed and evaluated based on the patient's description of symptoms and their connection to environmental exposures. Although it is clear that some people are very sensitive to various microorganisms, noxious chemicals, and common foods, there is little evidence that an immunologic basis exists for generalized allergy to environmental substances. This had made approaches to treatment difficult, but treatment measures can involve careful choice in diet and living conditions, and counseling and psychotherapy have been useful in some cases.

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## Multiple Use-Sustained Yield Act (1960)

On June 12, 1960, Congress passed the Multiple Use-Sustained Yield Act, designed to prevent the obliteration of national forests by logging and water reclamation projects. This law officially mandated the management of national forests to "best meet the needs of the American people." The forests were to be used not primarily for economic gain, but for a balanced combination of "outdoor recreation, range, timber, watershed, and wildlife and fish purposes."

The Multiple Use Act emerged from a strong history of diverse uses of the federal reserves. Early settlers assumed access to and free use of public lands. The text of the Sundry Civil Act of 1897 mandated that no public forest reservation was to be established except to improve and protect forests and water flow. The act also provided for free use of timber and stone and of all reservation waters by miners and residents. The Act of February 28, 1899, strengthened use policy by providing for recreational use of the reserves.

When the reserves were transferred from the General Land Office to the Bureau of Forestry, the Secretary of Agriculture signed a letter (actually written by Gifford Pinchot) dictating formal forest policy: "all the resources of the forest reserves are for use . . . you will see to it that the water, wood, and forage of the reserves are conserved and wisely used." The first and subsequent editions of the *Use Book*—an extensive guide to management and use of national forest lands—stated concisely that the aim of Forest Service

policy was that "the timber, water, pasture, mineral and other resources of the forest reserves are for the use of the people."

The first use of the term "multiple use" appears to be in two Forest Service reports of 1933. That year's chief's report reaffirmed that the "principle to govern the use of land . . . is multiple-purpose use." The "National Plan for American Forestry" (the Copeland Report) emphasized that "the peculiar and highly important multiple use characteristics of forest land [involve] five major uses—timber production, watershed protection, recreation, production of forage, and conservation of wildlife."

Multiple use has always been controversial. Some critics argued that multiple uses meant that the Forest Service was losing sight of its original protective function: a 1927 article in the *Outlook* claimed that "the Forest Service will have to be called from its enthusiasm for entertaining visitors to the original but more somber work of forestry." Many similar statements can be identified. A writer in the *Journal of Forestry* in 1946 went so far as to propose separating all the lands in each use class, consolidating each type into a separate bureau under one cabinet officer. The Sierra Club proposed a vast land exchange in 1959, hoping to move scenic areas out of the Forest Service and into the U.S. Department of the Interior.

Critics have argued, and feel that subsequent policy and events on the ground bear them out, that the Multiple Use Act did not reduce confusion because it did not eliminate water and timber as priority uses. Proponents of the act feel that it did give statutory authority to all uses as equal to timber and did give the other four uses more stature and visibility.

The act was amended in 1996 by the Omnibus Parks and Public Lands Management Act.

*See also* Bureau of Land Management; Commercial fishing; Public Lands Council.

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Gerald L. Young

## Municipal solid waste

Americans generated about 254 million tons of municipal solid waste (MSW), in 2007, from residences, businesses, and institutions produced. This is approximately 4.6 pounds of waste per person per day, up from 2.7 pounds per person per day in 1960. In 2007, 85 million tons (77 million metric tons) of MSW was recycled or composted, amounting to a 33.4 percent recycling rate, up from less than 10 percent in 1980. Of the 4.6 pounds (2.6 kg) of waste per person per day, 1.5 pounds (0.7 kg) were recycled or composted on average.

MSW is waste generated by households, commercial businesses, institutions, light industry, and agricultural enterprises and nontoxic wastes from hospitals and laboratories. In 2007, municipal waste was composed of paper/packaging (33 percent); yard waste (12.8 percent); food waste (12.5 percent); plastics (12 percent); glass (5 percent); wood (6 percent); leather, rubber, and textiles (about 8 percent); metals (8 percent); and other miscellaneous contributions (3 percent). In addition to this relatively benign list, there is other municipal waste that ends up in a landfill inappropriately—e.g., leftover paint, crankcase oil, batteries, and parts of some appliances such as capacitors in refrigerators and air conditioners. In some cases sewage sludge from the local wastewater treatment facility ends up in the landfill with other MSW. It is these inappropriate wastes that cause concern in the minds of local officials as they ponder the siting of landfills.

Since municipal waste is a non-homogeneous stream of materials, its impact is unpredictable. Although only about 2 percent of the municipal waste stream is toxic, even that small amount has the potential of contaminating large amounts of groundwater if the leachate from landfills reaches the aquifer. In addition, landfills situated near a lake or a stream can leak laterally and contaminate surface water. In spite of the fact that the engineering of landfills has become much more sophisticated in the last ten years, there is still sincere concern about the impact of municipal waste on ground and surface water.

Several methods for managing MSW have been designed and implemented over the last twenty years. In the United States in 1980, 89 percent of the MSW ended up in landfills. As of 2007, only 54 percent of MSW (about 137 million tons) was deposited in landfills. Waste-to-energy incineration is an option aimed at reducing the volume of municipal waste and producing an ongoing supply of energy for nearby markets. In 2007, 32 million tons (29 million metric tons)

of MSW was combusted for energy recovery, equivalent to 12.6 percent of the total generated MSW. The complication of this treatment method is the ash residue and its disposal as well as air pollution from combustion. The most desirable management technique for MSW is recycling or composting or source reduction.

Recycling captures the embodied energy in the products to be discarded. The conversion of the waste stream into a second or third generation of usable products is far superior to simple volume destruction or land burial. Unfortunately, recycling requires behavioral change on the part of the waste generator and behavioral and infrastructure change on the part of industry in order to create markets for post-consumer materials. Individuals must learn to clean and separate household garbage and make it ready for recycling. Industry must make the investment to change manufacturing processes to accommodate a heterogeneous raw material. Recycling waste reduces the energy required to produce new materials and the energy required to dispose of these materials. This results in a reduction in greenhouse gas emissions. The 85 million tons (77 million metric tons) of recycled materials in 2007 amounts to an annual benefit of 212.7 million tons (193 million metric tons) of carbon dioxide (CO<sub>2</sub>) emissions reduced. Benefits of recycling include reduced greenhouse gas emissions (which contribute to climate change), reduced land, air, and water pollution, and the establishment of a sustainable economy.

Even more desirable than recycling is source reduction and reuse of household products and industrial and business supplies. Buying durable and re-usable products reduces the volume of the MSW stream. Packaging that can be reused after the product has been used is another way of shrinking MSW volume. In some European countries like Germany, manufacturers are required to accept all of their packaging materials.

The cost of MSW disposal has increased dramatically over time. Part of this cost is due to the pressure being put on fewer and fewer landfills as older landfills close. Many metropolitan areas of the United States have exhausted their landfill capacity and are transporting waste into rural areas. Unfortunately, in rural areas the volume of MSW is not sufficient for landfill companies to make the investment and build state-of-the-art landfills. Furthermore there is increasing concern that many towns and cities are dumping their MSW in areas where people have less political power or need the revenue from tipping fees. Consequently the management of waste has become a social issue as well as an environmental concern.

In 2007, there were approximately 17 hundred licensed landfills operating in the United States, roughly half the number of landfills in operation in 1994. The number of landfills in the U.S. has decreased over the last twenty years, but the size of landfills has increased. Tipping fees have increased as have transport fees. States are transporting MSW hundreds of miles to other states because they are unable build landfills due to citizen opposition. The Not In My Backyard (NIMBY) syndrome makes it difficult to create more landfills. Waste management companies are offering extensive incentive packages to local communities in order to get approval for landfill siting. Yet, almost without exception, local citizens attempt to block the construction of landfills.

MSW is a renewable resource because it is continually generated. Even though many citizens are beginning to turn to nondisposable items, and there is a real effort to launch recycling programs nationwide, we are still faced with an increasing volume of municipal waste. It is a complex problem that will require behavioral change on the part of individuals, a commitment on the part of local city planners, and an investment on the part of industry in order to recycle the waste stream.

*See also* Medical waste.

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Cynthia Fridgen

## Municipal solid waste composting

Municipal Solid Waste (MSW) composting is a rapidly growing method of solid waste management in the United States. MSW includes the residential,

commercial, and institutional solid waste generated within a community. MSW composting is the process by which the organic, biodegradable portion of MSW is microbiologically degraded under aerobic conditions.

During the process of degradation, bacteria are used to decompose and break down the organic matter into water and carbon dioxide (CO<sub>2</sub>), which produces large amounts of heat and water vapor in the process. Given sufficient oxygen and optimum temperatures, the composting process achieves a high degree of volume reduction and also generates a stable end product termed compost that can be used for mulching, soil amendment, and soil enhancement. As a form of solid waste management, MSW composting reduces the amount of waste that would otherwise end up in landfills.

Although composting has been practiced by humans for centuries, the concept of composting mixed solid waste as a form of large-scale solid waste management is still in an early stage of development in the United States. MSW generally consists of a mixture of organic compostable materials such as food waste and paper and inert, nonbiodegradable materials such as plastics and glass. The introduction of non-compostable materials may pose problems in materials handling during the composting process and also hinder the formation of a uniform, homogeneous compost. Therefore, in order to practice MSW composting as a form of waste management, the composting system must be designed to remove the non-compostable materials either by presorting and screening or by sifting and removal at the end of the process.

The three most common methods of MSW composting are closed in-vessel, windrow, and static aerated pile composting. The method of choice depends on the volume of waste to be composted and the availability of space for composting. In the closed in-vessel method, the MSW is physically contained within large drums or cylinders and all necessary aeration and agitation is supplied to the vessel. In windrows, the MSW is heaped in long rows of material approximately four to seven feet high. Air and ventilation are supplied by physically turning over the piles with mechanical windrow turners. In static aerated compost piles, the MSW piles are not physically agitated, rather air is supplied and excess heat is removed by a system of sensors and pipes within the pile. In all cases, the goal is to ensure a steady, optimum rate of composting by providing adequate oxygen and ventilation to remove excess heat and water so that microbiological action is not impaired. When there is insufficient air supply, microbial action is unable to fully decompose the waste and the piles become anaerobic and unpleasant odors and putrefaction may

result. Strong neighborhood complaints against odors is the single most common reason for the failure and shutdown of composting plants.

In the United States, numerous, small-scale pilot projects have demonstrated the feasibility of MSW composting for townships and municipalities. However, there are relatively few operations that successfully carry out MSW composting on a large, commercial scale. The operational large-scale facilities are located mainly in Florida and Minnesota, two states that have traditionally shown interest in innovative waste management options. In 2006, a plant in Mariposa County, California, and another in Delaware County, New York initiated operations. As of 2007, thirteen mixed MSW plants were operating in the United States.

Due to growing concern for the environment, recycling and composting is becoming more prevalent in the U.S. In 2007, of the approximately 254 million tons of trash generated by Americans, 85 million tons (about 77 million metric tons) of this material was either recycled or composted, equivalent to a 33 percent recycling recovery rate. The recycling recovery rate was up by about 1.1 percent from 2006 with an increase of 2.8 million tons (2.5 million metric tons) of recycled and composted materials. The highest recycle rate was for yard trimmings, with 64 percent being recycled for composting and mulching. Composting resulted in the recovery of 22 million tons (about 20 million metric tons) of waste. In 2007, approximately 3,510 community composting programs were in operation, up from 3,227 programs in 2002.

*See also* Aerobic sludge digestion; Solid waste incineration; Solid waste recycling and recovery; Waste reduction.

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Usha Vedagiri

*Mustela nigripes* see **Black-footed ferret.**

## Mutagen

Any agent, chemical or physical, that has the potential for inducing permanent change to the genetic material of an organism by altering its DNA. The alteration may be either a point mutation (nucleotide substitution, insertion, or deletion) or a chromosome aberration (translocation, inversion, or altered chromosome complement). There are long lists of chemical mutagens which include such diverse agents as formaldehyde, mustard gas, triethylenemelamine, vinyl chloride, aflatoxin B, benzo(a)pyrene, and acridine orange. Chemical mutagens may be direct acting, or they may have to be converted by metabolic activity to the ultimate mutagen. Physical mutagens include (but are not limited to) x rays and ultraviolet radiation.

*See also* Agent Orange; Birth defects; Chemicals; Gene pool; Genetic engineering; Love Canal.

## Mutation

A mutation is a change in the DNA of an organism, which is genetically transmitted, and may give rise to a heritable variation. Mutagens, substances that have the competence to produce a mutation, may be subject to chromosomal changes such as deletions, translocations, or inversions. Mutations may also be more subtle, resulting in changes of only one or a few nucleotides in the sequence of DNA. These more subtle mutations are called as "point mutations," and it is these that most people refer to when discussing mutation.

Ordinarily, mutation is thought of as a genetic change that results in alterations in a subsequent generation. Germinal tissue, which gives rise to spermatozoa and ova, is the tissue in which such mutations occur. However, mutations can arise in many cell types in addition to the germ line, and these changes to non-germinal DNA are referred to as somatic mutations. Although somatic mutations are not passed to subsequent generations, they are not less important than germinal mutations. Ionizing radiation and certain chemicals can have mutagenic effects on somatic cells which often result in cancer.

Mutations may be either spontaneous or induced. The term spontaneous is perhaps misleading; even these mutations have a physical basis in cosmic rays, natural background radiation, or simply kinetic effects of molecular motion. Induced mutations ensue from known exposure to a diversity of chemicals and certain ionizing radiations.



**A six-legged green frog—the result of a genetic mutation.**  
(JLM Visuals.)

DNA is composed of a linear array of nucleotides, which are translocated through RNA into protein. The genetic code consists of consecutive nucleotide triplets which correspond to particular amino acids, and it may be altered by substitution, insertion, or deletion of individual nucleotides. Substitution of a nucleotide in some cases can be inconsequential, since the substituted nucleotide may specify an amino acid which does not affect the function of the protein. On the other hand, substitution of one nucleotide for another one can result in a protein gene product with a changed amino acid sequence that has a major biological effect. An example of such a single nucleotide substitution is sickle cell hemoglobin, which differs from normal hemoglobin by a single amino acid. The substitution of a valine for a glutamic acid in the mutant hemoglobin molecule results in sickle cell disease, which is characterized by chronic hemolytic anemia.

The insertion or deletion of a single nucleotide pair results in what is known as a frameshift mutation; this is because the mutation changes the sequence of molecules beyond the point at which it occurs, causing them to be read in different groups of three. Consequently, there is a miscoding of the nucleotides into their gene product. Such proteins are usually shortened in length and no longer functional.

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Robert G. McKinnell

## Mutualism

A mutualism is a symbiosis where two or more species gain mutual benefit from their interactions, and suffer negative impacts when the mutualistic interactions are prevented from occurring. Mutualism is a form of symbiosis where the interactions are frequently obligatory, with neither species being capable of surviving without the other. A well-known example of mutualism is the relationship between certain species of algae or blue-green bacteria and fungi that results in organisms called lichens. The fungal member of the relationship provides a spatial habitat for the algae, that in turn provide energy from photosynthesis to the fungus. Mutualistic interactions are thought to be the origin of the many cell organelles like mitochondria and chloroplasts, which may have resulted from the acquisition of free-living phytoplankton and other single-celled organisms by host species.

Marie H. Bundy

MW see **Megawatt (MW)**.

## Mycorrhiza

Refers to a close, symbiotic relationship between a fungus and the roots of a higher plant. Mycorrhiza (from the Greek *myketos* meaning fungus and *rhiza* meaning root) are common among trees in temperate and tropical forests. There are generally two forms—ectomycorrhiza, where the fungus forms a sheath around the plant roots, and endomycorrhiza, where the fungus penetrates into the cells of the plant roots. In both cases, the fungus acts as extended roots for the plant and therefore increase its total surface area. This allows for greater adsorption of water and nutrients vital to growth. Mycorrhiza even allow plants to utilize

nutrients bound up in silicate minerals and phosphate-containing rocks that are normally unavailable to plant roots. They also can stimulate the plants to produce chemicals that hinder invading pathogens in the soil. In addition to the physical support, the mycorrhiza obtain carbohydrates from the higher, photosynthetic plant. This obligate relationship between fungi and plant roots is especially important in nutrient-impooverished soils.

*See also* Symbiosis; Temperate rain forest; Tropical rain forest.

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## Mycotoxin

Mycotoxins are toxic biochemical substances produced by fungi. They are produced on grains, fishmeal, peanuts, and many other substances, including

all kinds of decaying vegetation. Mycotoxins are produced by several species of fungi—especially *Aspergillus*, *Penicillium*, and *Fusarium*—under appropriate environmental conditions of temperature, moisture, and oxygen on crops in the field or in storage bins. In recent years, research on this subject has indicated considerable specialization in mycotoxin production by fungi. For example, aflatoxins B<sub>1</sub>, B<sub>2</sub>, G<sub>1</sub>, and G<sub>2</sub> are relatively similar mycotoxins produced by the fungus *Aspergillus flavus* under conditions of temperatures ranging from 80–100° Fahrenheit (27–38°C) and 18–20 percent moisture in the grain. Aflatoxins are among the most potent carcinogens among naturally occurring products. Head scab on wheat in the field is produced by the fungus *Fusarium graminearum* which produces a mycotoxin known as DON (*deoxynivalenol*), also known as Vomitoxin.

*Myriophyllum spicatum* see **Eurasian milfoil**.



NAAQS see **National Ambient Air Quality Standard; National Ambient Air Quality Standard.**

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## Nader, Ralph

1934–

American consumer advocate

Ralph Nader is a U.S. lawyer, political activist, and author. He has also run for the U.S. presidency four times, the final time in the 2008 election. In that campaign, he finished third in the popular vote.

Born in Connecticut, Nader is the son of Lebanese immigrants who emphasized citizenship and democracy and stressed the importance of justice rather than power. Nader earned his bachelor's degree in government and economics from Princeton University in 1955 and his law degree from Harvard University in 1958, having served as editor of the prestigious *Harvard Law Record*. Nader reads some fifteen publications daily and speaks several languages, including Arabic, Chinese, and Russian.

Nader published his first article, "American Cars: Designed for Death," as editor of the *Harvard Law Record*. He later made his reputation with *Unsafe at Any Speed* (1965), a condemnation of the American automobile industry's record on safety. The book was initially commissioned by then-assistant secretary of labor Daniel Patrick Moynihan in 1963 as a report to Congress, and was then brought out as a trade book by a small publisher. In the book Nader condemned U.S. automakers for valuing style over safety in developing their products and specifically targeted General Motors and its Chevrolet Corvair. General Motors hired a private investigator to dig dirt on Nader, resulting in a scandal that propelled the book to best-seller status.

The controversy that *Unsafe at Any Speed* generated led to passage of the Traffic and Motor Vehicle Safety Act of 1966, which gave the government the right to enact and regulate safety standards for all automobiles sold in the United States.

With the money and fame generated by his book, Nader went on to champion a wide variety of causes. He played a key role in the establishment of the Occupational Safety and Health Administration (OSHA) as well as the Consumer Product Safety Commission. One of his most important victories was the establishment of the Environmental Protection Agency (EPA) in 1970, during Richard Nixon's presidential administration. He also worked to ensure passage of the Freedom of Information Act (1974). Nader got many young people to work on consumer and environmental issues through the public information research groups found on college campuses across the country. To assist him in his far-reaching investigative efforts, Nader created a watchdog team, known as Nader's Raiders. This group of lawyers was the core of what became the Center for Study of Responsive Law (CSRL), which has been Nader's headquarters since 1968. A network of public interest organizations branched off from CSRL, including Public Citizen, Health Research Group, Critical Mass Energy Project, and Congress Watch. Other groups have been established by Nader's associates, and though not run by Nader himself, they follow the same ideals as CSRL and work toward similar goals. Among these groups are the Clean Water Action Project, the Center for Auto Safety, and the Center for Science in the Public Interest. A 1971 Harris poll placed Nader as the sixth most popular figure in the nation, and he is still recognized as the most important consumer advocate in the country into the early twenty-first century.

Nader was at the height of his influence during the 1970s, but through the 1980s and early 1990s he was less in the public eye. Ronald Reagan's tenure as president



Independent presidential candidate and consumer advocate **Ralph Nader** speaks during a news conference outside of the Nuclear Energy Institute in Washington, Thursday, May 29, 2008. (AP Photo/Jose Luis Magana)

saw a loosening of the regulations for which he had fought, and Nader himself suffered many personal setbacks, including the death of his brother and his own neurological illness. In 1987, Nader vigorously campaigned for Proposition 103 in California, which would roll back automobile insurance rates. The bill passed and exit polls showed that Nader's efforts had made the difference. Though he had been asked to run for president by the Beatles' John Lennon and by writer Gore Vidal in the early 1970s, Nader had always claimed that he needed to stay outside government in order to reform it. This changed in 1992, when Nader asked voters to write him in on the presidential ballot. He voiced his disgust at the two major parties, the Republicans and the Democrats, and asked to be considered as a none-of-the-above option. In 1996, he made a wider effort as the candidate for the Green Party. Nader was on the ballot in twenty-one states and polled a minuscule 0.68 percent of the vote (Reform Party candidate Ross Perot, by contrast, earned over 8 percent of the total national vote). Nader ran an informal campaign, mainly touring college campuses, and he did not appear to have much of an effect on the election.

In 2000, Nader ran a much more concerted campaign, again as the Green Party candidate. He famously declared the leading candidates, George W. Bush and Al Gore, to be "tweedledum and tweedledee," meaning they were virtually indistinguishable because of their support for corporate interests. Late in the campaign, it appeared that Gore and Bush were tied, and the Democratic Party began to excoriate Nader. Though it appeared that Nader might siphon crucial votes away from Gore and thus let Bush win, Nader refused to alter his position or throw his support to Gore. Environmentalism became a key issue in the three-way race. Though Gore had a strong record on the environment and Bush had what might be called an abysmal one, Nader continued to criticize Gore for not having done enough. Finally, Gore won the popular vote with 48.39 percent, Bush came in with 47.88 percent, and Nader with 2.72 percent. But the election went to Bush after the Supreme Court halted the recount of contested Florida ballots, and that state's electoral college votes put Bush in the White House. Nader had won some 97,000 votes in Florida, and arguably if these votes had all gone to Gore, the outcome of the presidential race would have been different.

After the election, Nader was anathematized in some circles, and blamed for Gore's loss. Nader claimed to have no regrets except that he had not gotten at least 5 percent of the vote, which would have qualified the Green Party for federal matching funds for an election campaign in 2004. Nader published a memoir about the campaign, called *Crashing the Party: How to Tell the Truth and Still Run for President*. Nader ran for president again in 2008, but only garnered 0.56 percent of the popular vote.

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Kimberley Peterson

## Naess, Arne

1912–2009

Norwegian philosopher and naturalist

Arne Naess was a noted mountaineer and philosopher and a founder of the deep ecology movement. He was born to Ragnar and Christine Naess in Oslo, Norway, on January 27, 1912, the youngest of five children. Naess was an introspective child, and he displayed an early interest in logic and philosophy. After undergraduate work at the Sorbonne in Paris, he did graduate work at the University of Vienna, the University of California, Berkeley, and the University of Oslo. While in Vienna, his interests in logic, language, and methodology drew him to the Vienna Circle of logical empiricism. He was awarded a PhD in philosophy from the University of Oslo in 1938.

A year later, in 1939, Naess was made full professor of philosophy at the same university. He promptly reorganized Norwegian higher education, making the history of ideas a prerequisite for all academic specializations and encouraging greater conceptual sophistication and tolerance. From the beginning, Naess was interested in empirical semantics, that is, how ordinary persons use words to communicate. In *“Truth” as Conceived by Those Who Are Not Professional Philosophers* (1939), he was one of the first to use statistical methods and questionnaires to survey philosophical beliefs. Shortly after his appointment at the university, the Germans occupied Norway. Naess resisted any changes in academic routine, insisting that education be separate from politics. The increasing brutality of Vidkun Quisling's regime, however, impelled him to

join the resistance movement. While in the resistance he helped avert the shipment of thousands of university students to concentration camps. Immediately after the war, he confronted Nazi atrocities by mediating conversations between the families of torture victims and their pro-Nazi Norwegian victimizers.

In the post-War period, Naess's academic interests and accomplishments were many and varied. He continued his work on language and communication in *Interpretation and Preciseness* (1953) and *Communication and Argument* (1966), concluding that communication is not based on a precise and shared language. Rather people understand words, sentences, and intentions by interpreting their meaning. Language is thus a double-edged sword. Communication is often difficult and requires successive interpretations. Even so, the ambiguity of language allows for a tremendous flexibility in verbal meaning and content. Because of his work in communication and his resistance to the Nazis, Naess was selected by the United Nations Educational, Scientific, and Cultural Organization (UNESCO) in 1949 to explore the meanings of democracy. This project resulted in *Democracy, Ideology, and Objectivity* (1956). In 1958, he founded the journal *Inquiry*, serving as its editor until 1976. The magazine explores the relations of philosophy, science, and society, especially as they reflect normative assumptions and implications. He also published on diverse topics, including Gandhian nonviolence, the philosophies of science, and the Dutch philosopher Baruch Spinoza (1632–1677). These works include *Gandhi and the Nuclear Age* (1965), *Skepticism* (1968), *Four Modern Philosophers: Carnap, Wittgenstein, Heidegger, Sartre* (1968), *The Pluralist and Possibilist Aspect of the Scientific Enterprise* (1972), and *Freedom, Emotion, and Self-Subsistence: The Structure of a Central Part of Spinoza's “Ethic”* (1972).

Naess began examining humanity's relationship with nature during the early 1970s. The genesis of this interest is best understood in the context of Norway's environment, culture, and politics. Nature, not humanity, dominates the landscape of Norway. The nation has the lowest population density in Europe, and over 90 percent of the land is undeveloped. As a consequence, the interior of Norway is relatively wild and diverse, a mixture of mountains, glaciers, fjords, forests, tundra, and small human settlements. Moreover, Norwegian culture deeply values nature; environmental themes are common in Norwegian literature, and the majority of Norwegians share a passion for outdoor activities and recreation. This passion is known as *friluftsliv*, meaning “open air life.” *Friluftsliv* is widely touted as one means of reconnecting with the natural world. Norwegian environmental politics has been

wracked by a succession of ecological and resource conflicts. These conflicts involve predator control, recreation areas, national parks, industrial pollution, dams and hydroelectric power, nuclear energy, North Sea oil, and economic development. During the 1960s, Naess became deeply involved in environmental activism. His participation in protests led to his arrest for nonviolent civil disobedience. He even wrote a manual to help environmental and community activists participate in nonviolent resistance. Growing up, Naess was deeply moved by his experiences in the wild places of Norway. He became an avid mountaineer, leading several ascents of the Tirich Mir 25,300 feet (7,700 m) in the Hindu Kush range. In 1937, he built a small cabin near the final ascent to the summit of Hallingskarvet, a mountain approximately 111 miles (180 km) northeast of Oslo. He named it *Tvergastein*, meaning “across the stones.”

After retirement, Naess published widely on environmental topics. His main contributions were in ecophilosophy, environmental policy, and conservation biology. The insight and controversy surrounding these writings propelled him to the forefront of environmental ethics and politics.

Naess regards philosophy as “wisdom in action.” He noted that many policy decisions are “made in a state of philosophical stupor” wherein narrow and short-term goals are all that is considered or recommended. Lucid thinking and clear communication help widen and lengthen the options available at any point in time. Naess described this work as a labor in “ecophilosophy,” that is, an inquiry where philosophy is used to study the natural world and humanity’s relationship to it. Recalling the ambiguity of language and communication, he distinguished ecophilosophy from ecosophy—a personal philosophy whose conceptions guide one’s conduct toward nature and human beings. While important elements of our ecosophies may be shared, each person proceeds from assumptions, norms, and hypotheses that vary in substance or interpretation. Of central importance to Naess’s exploration of ecophilosophy were norms and beliefs about what one should or ought to do based on what is prudent or ethical. Norms play a leading role in any ecophilosophy. While science may explain nature and human ecology, it is norms that justify and motivate human actions in the natural world. Along with the concept of norms, Naess stressed the importance of depth. By depth, Naess meant reflecting deeply on one’s concepts, emotions and experiences of nature, as well as digging to the cultural, personal, and social roots of environmental problems. Thinking deeply means taking a broad and incisive look at our values, lifestyles,

and community life. In so doing, one discovers if their way of life is consistent with their most deeply felt norms.

An ecophilosophy that deeply investigates and clarifies its norms is called a “deep ecological philosophy.” A social movement that incorporates this process, shares significant norms, and seeks deep personal and social change is termed “deep ecology movement.” Naess coined the term “deep ecology” in 1973, intending to highlight the importance of norms and social change in environmental decision-making. He also coined the term “shallow ecology” to describe what he considered short-term technological solutions to environmental concerns. Naess’s own ecophilosophy is called “Ecosophy T.” The “T” symbolizes Tvergastein. Ecosophy T stresses a number of themes, including the intrinsic value of nature, the importance of cultural and natural diversity, and the norm of self-realization for persons, cultures, and non-human life-forms. Naess offers his ecosophy as a tentative template, encouraging others to construct their own ecosophies.

Naess died in 2009.

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William S. Lynn

NAFTA *see* **North American Free Trade Agreement; North American Free Trade Agreement.**

## Nagasaki, Japan

Nagasaki is a harbor city located at the southwestern tip of Japan. It is Japan’s oldest open port. Traders from the Western world started arriving in the mid-sixteenth century. It became a major shipbuilding center by the twentieth century. It was the second city to



**Destruction of the city of Nagasaki is shown in Aug. 1945, after the atomic bomb was dropped on this Japanese city.**  
(AP Photo/USMC)

be devastated by an atomic bomb, which was dropped on August 9, 1945, just three days after the bomb that destroyed Hiroshima. The bomb that was dropped at Nagasaki resulted in 35,000 to 40,000 men, women, and children killed with an equal number injured. Like Hiroshima, most of the city was destroyed. The Nagasaki survivors of the atomic bomb have an increased risk for radiation-induced cancer and are part of a continuing study by the Atomic Bomb Casualty Commission, which is now known as the Radiation Effects Research Foundation (RERF). The city was rebuilt after the end of World War II and is now a tourist attraction.

Robert G. McKinnell

## National Academy of Sciences

The National Academy of Sciences (NAS) is a private, nonprofit, and self-governing organization that is responsible for advising the U.S. federal government, upon request and without fee, on questions of science and technology that affect policy decisions. The NAS was created in 1863 by a congressional charter approved by President Abraham Lincoln. Under this same charter, the institution was expanded to include sister organizations: in 1916 the National Research Council was established, in 1964 the National Academy of Engineering, and in 1970 the Institute of Medicine. Collectively these organizations are called the National Academies.

The NAS publishes a scholarly journal, *Proceedings of the National Academy of Sciences*, organizes symposia, and calls meetings on issues of national importance and urgency. Most of its study projects are conducted by the National Research Council rather than by committees within the NAS. However, NAS sponsors two committees, the Committee on International Security and Arms Control and the Committee on Human Rights.

The NAS is an honorary society that elects new members each year in recognition of their distinguished and continuing achievements in original research. New members are nominated and voted on by existing members. Election to membership is one of the highest honors that a scientist can receive. As of 2010, the NAS had approximately 2,100 regular members and over 350 foreign associates. Almost two hundred members have won Nobel Prizes. No formal duties are required of members, but they are invited to participate in the governance and advisory activities of the NAS and the National Research Council. The NAS is governed by a council, comprised of twelve councilors and five officers elected from the Academy membership. Committee members are not paid for their work, but are reimbursed for travel and subsistence costs. Foreign associates are elected using the same standards that apply to regular members, but do not vote in the election of new members or in other deliberations of the NAS.

The NAS does not receive federal appropriations directly, but is funded through contracts and grants with appropriations made available to federal agencies. Most of the work done by the NAS is at the request of federal agencies. The NAS is responsive to requests from both the executive and legislative branches of government for guidance on scientific issues.

The NAS also cooperates with foreign scientific organizations. Officers of the NAS meet with officers of the Royal Society of England every two or three years. The NAS represents U.S. scientists as an institutional member of the International Council of Scientific Unions.

The work and service of the NAS and its sister organizations have resulted in significant and lasting improvement in the health, education, and welfare of U.S. citizens.

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**ORGANIZATIONS**

National Academy of Sciences, 500 Fifth St., NW, Washington, DC, USA, 20001, <http://www.nationalacademies.org>

Judith L. Sims

## National Ambient Air Quality Standard

The key to national air pollution control policy since the passage of the Clean Air Act amendments of 1970 are the National Ambient Air Quality Standards (NAAQSs). Under this law, the Environmental Protection Agency (EPA) had to establish NAAQSs for six of the most common air pollutants, sometimes referred to as criteria pollutants, by April 1971. Included are carbon monoxide, lead (added in 1977), nitrogen oxides, ozone, particulate matter, and sulfur dioxide. Hydrocarbons originally appeared on the list of pollutants, but were removed in 1978 because they were adequately regulated through the ozone standard. The provisions of the law allow the EPA to identify additional substances as pollutants and add them to the list.

For each of these pollutants, primary and secondary standards are set. The primary standards are designed to protect human health. Secondary standards are to protect crops, forests, and buildings if the primary standards are not capable of doing so; a secondary standard presently exists only for sulfur dioxide. These standards apply uniformly throughout the country, in each of 247 air quality control regions. All parts of the country were required to meet the NAAQSs by 1975, but this deadline was extended, in some cases, to the year 2011. The states monitor air pollution, enforce the standards, and can implement stricter standards than the NAAQSs if they desire.

The primary standards must be established at levels that would “provide an adequate margin of safety . . . to protect the public . . . from any known or anticipated adverse effects associated with such air pollutant[s] in the ambient air.” This phrase was based on the belief that there is a threshold effect of pollution: Pollution levels below the threshold are safe, levels above are unsafe. Although such an approach to setting the standards reflected scientific knowledge at the time, more recent research suggests that such a threshold probably does not exist. That is, pollution at any level is unsafe. The NAAQSs are also to be established without consideration of how much it will cost to achieve them; they

are to be based on the best available control technology (BAT). The secondary standards are to “protect the public welfare from any known or anticipated adverse effects.”

The NAAQSs are established based on the EPA’s “criteria documents,” which summarize the effect on human health caused by each pollutant, based on current scientific knowledge. The standards are usually expressed in parts of pollutant per million parts of air and vary in the duration of time a pollutant can be allowed into the environment, so that only a limited amount of contaminant may be emitted per hour, week, or year, for example. The 1977 Clean Air Act amendments require the EPA to submit criteria documents to the Clean Air Scientific Advisory Committee and the EPA’s Science Advisory Board for review. Several revisions of the criteria documents are usually required. Although standards should be based on scientific evidence, politics often become involved as environmentalists and public health advocates battle industrial powers in setting standards.

The six criteria pollutants come from a variety of sources and have a variety of health effects. Carbon monoxide is a gas produced by the incomplete combustion of fossil fuels. It can lead to damage of the cardiovascular, nervous, and pulmonary systems, and can also cause problems with short-term attention span and sensory abilities.

Lead, a heavy metal, has been traced to many health effects, mainly brain damage leading to learning disabilities and retardation in children. Most of the lead found in the air came from gasoline fumes until a 1973 court case, *Natural Resources Defense Council v. EPA*, prompted its inclusion as a criteria pollutant. Lead levels in gasoline are now monitored.

Nitrogen oxide is formed primarily by fossil fuel combustion. It not only contributes to acid rain and the formation of ground-level ozone, but it has been linked to respiratory illness.

Ground-level ozone is produced by a combination of hydrocarbons and nitrogen oxides in the presence of sunlight, and heat. It is the prime component of photochemical smog, which can cause respiratory problems in humans, reduce crop yields, and cause forest damage. In 1979, the first revision of an original NAAQS slightly relaxed the photochemical oxidant standard and renamed it the ozone standard. Experts are currently debating whether this standard is low enough, and in 1991 the American Lung Association sued the EPA for failure to review the ozone NAAQS in light of new evidence.

Particulate matter is composed of small pieces of solid and liquid matter, including soot, dust, and organic matter. It reduces visibility and can cause eye and throat irritation, respiratory ailments, and cancer. Through 1987, total suspended particulates were the basis of the NAAQS. In 1987, the standard was revised and based on particulate matter with an aerodynamic diameter of 10 micrometers or less (PM-10), which was identified as the main health risk.

Sulfur dioxide is a gas produced primarily by coal-burning utilities and other fossil fuel combustion. It is the chief cause of acid rain and can also cause respiratory problems.

To achieve the NAAQSs for these six pollutants, the Clean Air Act incorporated three strategies. First, the federal government would establish new source performance standards (NSPSs) for stationary sources such as factories and power plants; they would also establish emission standards for mobile sources. Finally, the states would develop state implementation plans (SIPs) to address existing sources of air pollution. If the federal government determined that a SIP was not adequate to assure that the state would meet the NAAQSs, it could impose federal controls to meet them. According to the EPA, the SIPs must be designed to bring substandard air quality regions up to the NAAQSs, or to make sure any area already meeting the requirements continued to do so. The SIPs should prevent increased air pollution in areas of noncompliance, either by preventing significant expansions of existing industries or the opening of new plants. To allow economic development and growth in such nonattainment areas while still working to reduce air pollution, the 1977 amendments to the Clean Air Act required that new sources of pollution in nonattainment areas control emissions to the lowest achievable emission rate (LAER) for that type of source and pollution and demonstrate that the new pollution would be offset by new emission reductions from existing sources in the area, reductions that went beyond existing permits and compliance plans. So, new sources were allowed, but only if the additional pollution was offset by reductions at existing sources.

The 1990 amendments to the Clean Air Act dealt with the problem of such nonattainment areas. Six categories of ozone nonattainment were established, ranging from marginal to extreme, and two categories for both carbon monoxide and particulate matter were implemented. Deadlines to achieve the NAAQSs were extended from three to twenty years, and the EPA has allowed subsequent extensions. Increased restrictions were required in nonattainment areas; existing controls were tightened and smaller sources were made subject

to regulation. Also, annual reduction goals were mandated. Areas considered to have made inadequate progress toward reaching attainment are subjected to stringent regulations on new plants and limited use of federal highway funds.

*See also* Air pollution control; Air pollution index; Air quality criteria; Heavy metals and heavy metal poisoning; Nonpoint source; Point source.

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Christopher McGrory Klyza

## National Audubon Society

The National Audubon Society (NAS) is one of the largest and oldest conservation organizations in the world. Founded in New York City in February 1886, its original purpose was to protect American birds from destruction for the millinery trade. Many species of birds were being killed and sold as adornments on hats and bonnets for women, as well as other clothing. The first preservation battle taken on by the NAS was the snowy egret—a white, wading marshland bird—whose long plumes were in high demand. The group was also instrumental in securing passage of the New York Bird Law in 1886, an act for the preservation of the state's avifauna.

The NAS was named after John James Audubon, the nineteenth-century artist and naturalist. Audubon was not a conservationist; he often killed dozens of birds to get a single one that was right for his paintings. But he had published his life-sized renderings of all the birds in North America in 1850, and his name was the

one most closely associated with birds when the society was founded.

The protection of wildlife and habitats continues to be the primary focus of the NAS. Since their founding, much of their conservation work has been accomplished through education. Their *Audubon* magazine is perhaps their most important educational tool. They also publish *American Birds*, which records many of the field observations reported to the society from around the country.

One of the most important observations published in *American Birds* is the data recorded on Christmas Bird Counts, an annual winter bird census maintained by NAS since 1900. During a three-week period from December to early January, teams of observers count and record every different species of bird observed within a twenty-four-hour period in a designated circle, 15 miles (24 km) in diameter. The count circles remain constant from year to year, which allows for comparison of data collected. The first circle was Central Park in New York City; the number of circles have grown from one to over 1,600 in recent years. Thousands of people participate in this annual event.

Additional educational and conservation programs have proven successful for NAS over the years, including a nature club for children and a series of ecology camps for the field study of different ecosystems in North America. The society has also produced nature films and nationally televised programs on environmental issues facing the world today. NAS also addresses these issues through lobbying on a national level in Washington, D.C., and on regional and local levels by members of the several hundred local Audubon societies, clubs, and state coalitions nationwide. With over one-half million environmentally-conscious members, the NAS continues to make a difference in the battle to conserve the natural world and the wildlife in it.

## Resources

### ORGANIZATIONS

National Audubon Society, 225 Varick St., New York, NY, USA, 10014, (212) 979-3000, (212) 979-3188, <http://www.audubon.org>

Eugene C. Beckham

National Biological Service see **Biological Resources Division**.

National Coalition Against the Misuse of Pesticides see **Beyond Pesticides**.

## National Emission Standards for Hazardous Air Pollutants

Emission standards for hazardous air pollutants are set forth in the Section 112 of the Clean Air Act of 1970 and the National Ambient Air Quality Standard. Section 112 directed the Environmental Protection Agency (EPA) to issue a list of hazardous pollutants that were to be regulated. However, the provisions of the 1970 Clean Air Act that were designed to regulate hazardous pollutants proved to be ineffective. Although more than 300 toxic substances are known to be emitted into the air, between 1970 and 1990 emission standards were established for only eight substances: asbestos, benzene, beryllium, coke oven emissions, inorganic arsenic, mercury, radionuclides, and vinyl chloride. Although the EPA had epidemiologic and laboratory data that linked more than sixty other airborne toxins with cancer, birth defects, or neurological disease, they remained unregulated. The regulatory process specified by Section 112 was expensive and conservative. It required the EPA to gather sufficient evidence to demonstrate that an airborne toxin was hazardous before it could act. Frequently, there was a lack of scientific information on the health effects of these toxins. There was also a strong potential for legal challenges by industry.

Records dating from 1987 indicated that between 1987 and 1989, over 2 billion pounds (907,000 kg) of toxic chemicals were released into the air each year. Furthermore, more toxic chemicals were released into the air than into any other medium (water or soil) during these three years. Environmentalists and their supporters in Congress argued that the 1970 program had not been successful. The issue of airborne toxins was a major air pollution problem that was not being adequately addressed by Section 112. For this reason, an improved program to regulate airborne toxins became a central component in the efforts to amend the Clean Air Act.

One of the major components in the 1990 Clean Air Act included provisions to regulate hazardous air pollutants. Title III of the law required the thousands of sources of airborne toxins in the country to use the best available technologies to control hazardous air pollutants (HAPs). The act requires the EPA to regulate 187 chemicals that are considered HAPs. This effectively removed the power to designate hazardous air pollutants from the EPA. However, the legislation did provide a mechanism to designate other HAPs for regulation in the future. Since 2000, all major stationary sources, regardless of age, location, or size, have been required to meet emission standards for every HAP established by the EPA. Major sources of HAPs

(those emitting at least 10 tons [9 metric tons] of one HAP or 25 tons [22.7 metric tons] of a combination of HAPs, per year) are required to apply “maximum achievable control technology” (MACT) and are required to receive emission permits. An incentive-based provision of the 1990 Clean Air Act ensured that sources that voluntarily and permanently achieved HAP reductions of 90 percent (from 1987 levels) could postpone instillation of MACT for up to six years.

Under the revised law, the EPA was directed to focus on those HAPs and sources that are deemed the most dangerous. Further, the EPA was directed to devise strategies to control all sources of HAPs in urban areas. By the year 2000, the EPA was mandated to identify the thirty HAPs that posed the greatest threat to human health and to identify the sources generating 90 percent of these emissions. The EPA has undertaken programs to comply with these directives and has identified seventy area source categories that are responsible for 90 percent of the emissions. As of 2010, the EPA regulated twenty-eight out of the seventy area source categories. The EPA was also to devise a strategy to reduce HAP-related cancer risk by 75 percent. It was estimated that these additional provisions would reduce hazardous air pollutants from industrial sources by 75-90 percent.

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L. Fleming Fallon Jr.

## National Environmental Policy Act (1969)

The National Environmental Policy Act (NEPA) of 1969 was signed into law on January 1, 1970, launching a decade marked by passage of key environmental legislation and increased awareness of environmental problems. The act established the first comprehensive national policies and goals for the protection, maintenance, and use of the environment. The act also established the Council on Environmental Quality (CEQ) to

oversee the NEPA and advise the president on environmental issues.

Title I of the NEPA declares that the U.S. federal government will use all practicable means and measures to create and maintain conditions under which people and nature can exist in productive harmony, while fulfilling the social and economic requirements of the American people. Included in this declaration are goals to attain the widest range of beneficial uses of the environment without undesirable consequences and to preserve culturally and aesthetically important features of the landscape. The declaration also commits each generation of Americans to stewardship of the environment for future generations.

To achieve the national environmental goals, the act directs all federal agencies to evaluate the impacts of major federal actions upon the environment. Before taking an action, each federal agency must prepare a statement describing (1) the environmental impact of the proposed action; (2) adverse environmental effects that cannot be avoided; (3) alternatives to the proposal; (4) short-term versus long-term impacts; and (5) any irreversible effects on resources that would result if the action were implemented. The act requires any federal, state, or local agency with jurisdiction over the impacted environment to take part in the decision-making process. The general public also is given opportunities to take part in the NEPA process through hearings and meetings, or by submitting written comments to agencies involved in a project.

Title II of the NEPA created the CEQ, as part of the executive office of the president, to oversee implementation of the NEPA and assist the president in preparing an annual environmental quality report. In 1993, President Bill Clinton established the White House Office on Environmental Policy, with broad powers to coordinate national environmental policy. The CEQ issued regulations in 1978, which implement the NEPA and are binding on all federal agencies. The regulations cover procedures and the administration of the NEPA, including preparation of environmental assessments and environmental impact statements. Many federal agencies have established their own NEPA regulations, following CEQ regulations, but customized for the particular activities of the agencies. In addition, eleven states have passed state environmental policy acts, sometimes called “little NEPAs.”

Federal agencies must incorporate the NEPA review process early in project planning. A complete environmental analysis can be very complex, involving potential effects on physical, chemical, biological, and social factors of the proposed project and its alternatives. Various

systematic methods have been developed to deal with the complexity of environmental analysis. There are three levels at which an action may be evaluated, depending on how large an impact it will have on the environment.

The first level is categorical exclusion, which allows an undertaking to be exempt from detailed evaluation if it meets previously determined criteria designated as having no significant environmental impact. Some federal agencies have lists of actions, which have been thus categorically excluded from evaluation under the NEPA.

When an action cannot be excluded under the first level of analysis, the agency involved may prepare an environmental assessment to determine if the action will have a significant effect on the environment. An environmental assessment is a brief statement of the impacts of an action and alternatives to such an action. If the assessment determines there will not be significant environmental consequences, the agency issues a finding of no significant impact (FONSI). The finding may describe measures that will be taken to reduce potential impacts. An agency can skip the second level if it anticipates in advance that there will be significant impacts.

An action moves to the third level of analysis, an environmental impact statement (EIS), if the environmental assessment determines there will be significant environmental impacts. An EIS is a detailed evaluation of the action and whether it is needed or if there are alternatives to it that will be less harmful to the environment, and this evaluation is used to make decisions on how to proceed with the action. An agency preparing an EIS must release a draft statement for comment and review by other agencies, local governments, and the general public. A final statement is released with modifications based on the results of the public review of the draft statement. When more than one agency is involved in an action, a lead agency is designated to coordinate the environmental analysis. An agency also may be called upon to cooperate in an environmental analysis if it has expertise in an area of concern. The CEQ regulations describe a process for settling disagreements that arise between agencies involved in an environmental analysis.

In addition to having to prepare their own environmental assessments and environmental impact statements, the Environmental Protection Agency (EPA) is involved in all NEPA review processes of other federal agencies, as mandated by Section 309 of the Clean Air Act. Section 309 was added to the Clean Air Act in 1970, after the NEPA was passed and the EPA formed, with the purpose of ensuring independent reviews of all federal actions impacting the environment. As a result, the EPA reviews and comments on all federal environmental impact statements in draft and final form, on proposed

environmental regulations and legislation, and on other proposed federal projects the EPA considers to have significant environmental impacts. The EPA's procedures for carrying out the Section 309 requirements are contained in the publication "Policies and Procedures for the Review of Federal Actions Impacting the Environment." The EPA is also responsible for many of the administrative aspects of the EIS filing process. The NEPA review process includes an evaluation of a project's compliance with other environmental laws such as the Clean Air Act. Federal agencies often integrate NEPA reviews with review requirements of other environmental laws to expedite decision-making and reduce costs and effort.

The NEPA requires that previously unquantified environmental amenities be given consideration in decision-making along with more technical considerations. That means that the environmental analysis can be a subjective process, guided by the values of the particular players in any given project. The act does not define what constitutes a major action or what is considered a significant effect on the environment. Some agencies have developed their own guidelines for what types of actions are considered major. Examples of major actions include construction projects such as highway expansion and creek channelization. However, major actions do not always involve construction. For example, legislative changes that may affect the environment may come under the NEPA review. When an action will be controversial or clearly violate a preset environmental standard, it also may be categorized as a major action with significant impacts. Actions that will have less measurable effects, such as disrupting scenic beauty, must be categorized more subjectively. The courts are frequently used to settle disputes about whether actions require compliance with the NEPA.

Although the NEPA is targeted to federal agencies, its implementation has resulted in closer scrutiny of major environmental actions other than those sponsored by the government. Environmental analyses also are required for private developments that need federal pollution control permits such as water discharges, air emissions, waste disposal, and wetlands filling.

The level of effort that compliance with the NEPA can require because of a new act of Congress can be seen in regards to the American Recovery and Reinvestment Act of 2009 (often simply referred to as the Recovery Act). The act directed that large government expenditures be made to create jobs (such as in public construction projects), encourage investment, and otherwise improve the U.S. economy during a time of recession. The large number of construction projects alone required a multitude of environmental reviews. Periodically, the

CEQ has issued reports to the U.S. Senate and House of Representatives concerning compliance of the Recovery Act with the NEPA. The sixth CEQ report concerning the Recovery Act was published in August 2010. One interesting aspect of the report was its assertion that “as of June 30, 2010, more than 184,500 NEPA reviews have been completed, an increase of more than 11,000 since the previous report.”

*See also* Environmental impact assessment.

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Teresa C. Donkin

National Environmental Satellite, Data and Information Service see **National Oceanic and Atmospheric Administration (NOAA)**.

## National Estuary Program

The National Estuary Program (NEP) was established in 1987 when amendments to the Clean Water Act provided that the significant estuaries of the United States must be identified and protected. According to the National Oceanic and Atmospheric Administration (NOAA) in its publication *Where the Rivers Meet the Sea*, “an estuary occurs where saltwater from the sea meets and mixes with fresh water from the land.” Estuaries have brackish water, which is a mix of fresh and salt water. Typical estuaries are known as bays, harbors, inlets, sounds, or lagoons. As much as 80 percent of the fish that are caught for food or for recreation depend on estuaries for all or part of their lives, according to the *Association of National Estuary Programs (ANEP)*. Because of their vital role in the impact of marine life,

estuaries are often referred to as cradles of the sea. The water bodies of the United States that are of significance to Americans and foreign visitors alike are estuaries—San Francisco Bay, Chesapeake Bay, Puget Sound, and Long Island Sound.

The extensive ecosystem that comprises all estuaries creates a similar balance of characteristics, as well as inherent problems. A continual force of tides and winds mix salt and fresh water constantly. Excessive nutrient pollution and loss of natural habitats—many because of human manipulation—can upset that mix and create an inhospitable environment for the living organisms that reside there. The estuary is not alone in its environmental home. The surrounding wetlands, rivers, and streams, as well as the surrounding and adjacent land, all play integral roles affecting both humans and wildlife in their struggles for survival. The NOAA points out also that, “Estuaries are among the most biologically productive systems on earth. More than two-thirds of the fish and shellfish commercially harvested in coastal waters spend part or all their lives in estuaries.”

As of 2002, the Environmental Protection Agency (EPA) had identified the seven key issues in managing estuaries, particularly those in the NEP, as well as the challenges they face in their survival. Listed below are common to each of the twenty-eight areas named in the NEP:

- enrichment of nutrients—nitrogen (N) and phosphorus (P) are vital to a healthy aquatic system; in excess, these nutrients lead to eutrophication (overenrichment of nutrients) and can cause or enhance fish disease, red or brown tide, algal blooms, and low dissolved oxygen (hypoxia)
- pathogen contamination—viruses, bacteria, and parasites that indicate a health hazard to swimmers, surfers, divers, and seafood consumers
- toxic chemicals—metals, polycyclic aromatic hydrocarbons (PAHs), polychlorinated biphenyls (PCBs), heavy metals, and pesticides, which are threatening to humans and animals who would consume any fish or seafood from the water body
- alteration of freshwater inflow
- habitat loss and degradation—the ecological balance of any estuary depends on the health of a habitat for survival. Removal of vegetation can lead to altered water flow and erosion, which enhances the runoff and deposition of pollutants such as fertilizers and pesticides
- decline in the fish and wildlife population
- introduction of invasive species—either by intention or accident, such an addition can upset the balance of



Coastal estuary on the Santa Clara River at the Pacific Ocean and Anacapa Island in Ventura, California. (Rich Reid/Getty Images)

the system and create unexpected impacts economically, socially, and ecologically

Threats to estuary ecosystems affect water quality and the biodiversity of the areas. Water quality is diminished or altered through the deposition of contaminants such as fertilizers (both agriculture and lawns), pesticides, wastewater or sewage, and chemicals and metals from industrial processes. The addition of these foreign components to the ecosystem alters the original aquatic characteristics and has a downstream effect on the organisms residing in these bodies of water such as the depletion of the amount of available oxygen in the water. Depleted dissolved oxygen levels, termed hypoxia, can also result from increases in water temperature that are occurring because of climate change. Alterations such as the construction of dams, levees, channeling and straightening of rivers, construction on wetlands, and diversion of water for human use can also have an effect on water quality by inducing erosion, changing the water composition, and destruction of habitat.

As a voluntary, community-based watershed program, the NEP has not only set as its goal to improve the quality of water in the estuaries. The program has

sought to improve the entire ecological system—its chemical, physical, and biological properties, in addition to its economic, recreational, and aesthetic values. As of 2002, there were 102 estuaries in the United States. Only twenty-eight of those have been designated as nationally significant and are therefore the focus of the entire effort of restoration and preservation. These twenty-eight estuaries include 42 percent of the continental U.S. shoreline, with over half of the population living in the nation's coastal counties.

Since June 1995, the twenty-eight nationally significant estuaries are Albemarle-Pimlico in North Carolina; Barataria-Terrebone in Louisiana; Barnegat Bay in New Jersey; Buzzards Bay in Massachusetts; Casco Bay in Maine; Charlotte Harbor in Florida; Corpus Christi in Texas; Delaware Estuary Program in Delaware, Pennsylvania, and New Jersey; Delaware Inland Bays in Delaware; Galveston Bay in Texas; Indian River Lagoon in Florida; Long Island Sound in New York and Connecticut; Lower Columbia River in Oregon and Washington; Maryland Coastal Bay in Maryland; Massachusetts Bay in Massachusetts; Mobile Bay in Alabama; Morro Bay in California; Narragansett Bay in

Rhode Island; New Hampshire Estuaries in New Hampshire; New York–New Jersey Harbor in New York and New Jersey; Peconic Bay in New York; Puget Sound in Washington; San Francisco Bay in California; San Juan Bay in Puerto Rico; Santa Monica Bay in California; Sarasota Bay in Florida; Tampa Bay in Florida; and, Tillamook Bay in Oregon. The Chesapeake Bay in Maryland by itself is not specifically included in the NEP but is related to it. The Chesapeake Bay Program is a separate, federally-mandated program.

Economic factors as well as environmental concerns play a significant role in the maintenance of estuaries, primarily in estimating their worth. Each year, these particular estuaries account for over \$7 billion in revenue from commercial and recreational fishing and related marine industries; \$16 billion is generated by the attendant tourism and recreation activities.

The program as it has been established is a descendant of the early environmental programs of the 1970s and 1980s that sought to restore the Great Lakes and the Chesapeake Bay—all of which had become polluted to such an extent that concerns of whether the problems could be reversed were tantamount to the discussions and burgeoning activism that sought to fix them. When the Cuyahoga River in Cleveland, Ohio, was set fire in 1970, the laughter that was provoked publicly was only to hide a city's, and a nation's, horror that such an event had occurred.

The Chesapeake Bay was about to meet a similarly dark fate with out-of-control pollution, unbridled industrial and private development, and a fishing industry that was dying. In 1983 the governors of Maryland, Virginia, and Pennsylvania; the mayor of Washington, D.C.; and the EPA administrator signed the Chesapeake Bay Agreement committing their states and the District of Columbia to prepare plans for protecting and improving the water quality and living resources of the bay.

The implementation of the Chesapeake Bay Agreement, along with the 1965 Water Resources and Planning Act, the 1972 Federal Water Pollution Control Act, and the 1977 Clean Water Act, laid the groundwork for the Comprehensive Conservation Management Plans (CCMP) for the estuaries that had been identified.

The process for developing the CCMP for the national estuaries involves four steps:

- building a management and decision-making framework
- scientifically characterizing existing resources and identifying priority problems
- developing both conventional and innovative solutions to identified problems

- implementing the management recommendations, with support from public and private sectors

Each local community has a particular stake in maintaining the estuary in its midst. The NEP is designed so that each community takes responsibility for managing it. Representatives from local, state, and federal governmental agencies join together to serve as managers of the estuaries. Local citizens, business leaders, educators, and researchers serve on a volunteer basis, too, and all groups work together to create their individual CCMPs. On the national level, the EPA administers the NEP—but the programs are carried out by the previously mentioned people. Nominations for determining significant estuaries are also handled by the EPA submitted to them by the governors of the states where the estuary is located. It is after an estuary has been named that the committees continue with their participation.

ANEP is a trade association that supports the twenty-eight NEP estuaries, and provides for a joint effort in addressing the issues facing citizens and lawmakers. The ANEP has been successful in getting key legislation passed as in the instance of Senate Bill 835, signed by former U.S. President Bill Clinton; and it is responsible for committing federal dollars to restore 1 million acres (405,000 ha) of estuary habitat, and ensuring the continued survival of the NEP. *The Estuaries and Clean Waters Act of 2000* authorizes a total of \$275 million until 2005 for matching funds for local restoration projects and also designates an additional \$35 million per year until 2004 in support of the NEP. That bill also served to clear up the issue that NEP funds could be used to carry out existing, locally crafted CCMPs for the twenty-eight NEP estuaries throughout the United States.

In celebration of the tenth anniversary of the NEP in 1987, the EPA prepared a ten-year perspective it has posted through its Web site. It notes that, “A number of key lessons have been learned over the past ten years. The NEP has demonstrated that community-based resource management achieves results. Although it takes time to see environmental changes such as improvements in water quality, progress is being made. In order to demonstrate improvements in an estuary, we have seen the importance of NEPs setting measurable environmental goals and indicators.” Of the many local programs, the EPA noted that, “the NEPs have created innovative management approaches to solve these [of the estuaries] common problems. The programs have employed alternative on-site wastewater treatment technologies to control nitrogen; established marina pump-out facilities; provided education and training for owners, installers, and pumpers of septic systems to reduce pathogens; promoted beneficial uses of dredged material to restore and create wetland habitat; installed fish

passages to increase spawning; and helped citizen volunteers remove invasive plant species from public areas.”

There are a total of 130 estuaries in the United States—the question remains whether or not all of these should also become a part of the NEP. What improvements have been accomplished through the designated twenty-eight, however, can serve as focal points for improvements for those not named. Restore America’s Estuaries (RES) is an organization dedicated to preserving the coastal integrity through the improvement of all estuaries, including those other than the twenty-eight in the NEP. RES has prepared its document titled, *A National Strategy to Restore Coastal and Estuarine Habitat* in which the stated purpose is to provide a framework for restoring function to coastal and estuarine habitat. As with the ANEP, the work of RES includes professionals and citizens—scientists, community leaders, nongovernmental organizations, and governmental representatives from various agencies and levels. Communities Actively Restoring Estuaries (CARE), as of 2002, is scheduled to work in a three-year, \$12 million partnership with the NOAA’s community-based restoration program to activate on-the-ground habitat restoration in eleven major estuaries throughout the United States. The program funds salt marsh restoration, oyster reef restoration, the installation of fishways, shoreline restoration, and other projects.

In 2008, the EPA announced the inception of its Climate Ready Estuaries Program (CREP), which is aimed at preparing for and adapting to climate change in estuarine ecosystems. The NEP is working in concert with the CREP and coastal managers to “assess climate change vulnerabilities, develop and implement adaptation strategies, engage and educate stakeholders, and share the lessons learned with other coastal managers.” This program involves an extensive monitoring system to assess changes in ecosystems in response to increasing temperatures and rising sea levels caused by climate change.

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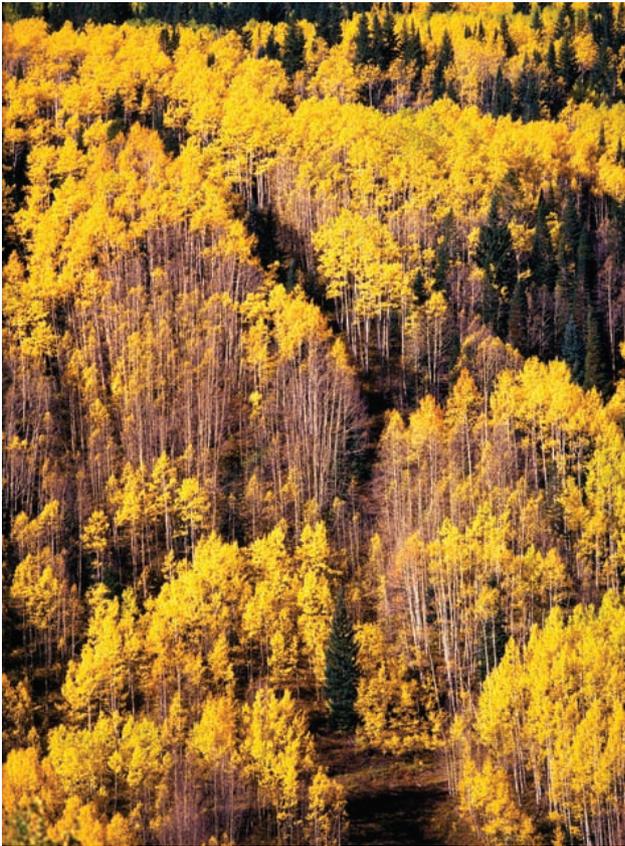
- Association of National Estuary Programs, 4505 Carrico Drive, Annandale, VA, USA, 22003, (703) 333-6150, (703) 658-5353, <http://www.anep-usa.org>
- Estuarine Research Foundation, PO Box 510, Port Republic, MD, USA, 20676, (410) 586-0997, (410) 586-9226, <http://www.erf.org>
- Restore America’s Estuaries, 3801 N. Fairfax Drive, Suite 53, Arlington, VA, USA, 22203, (703) 524-0248, (703) 524-0287, <http://www.estuaries.org>
- U.S. Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W., Washington, DC, USA, 20460, (202) 260-2090, <http://www.epa.gov>

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## National forest

A national forest is forest land owned and administered by a national government. Mandates designating national forest ownership, administration, and the distribution of benefits vary greatly around the world. Some nations (for example, Canada) retain little or no national forest, delegating public land ownership to regional provinces or communities. Other nations (for example, Albania and other former Communist states) retain all or some public forests as national forests. In many former colonies, national forest administration is patterned after that of the colonizing nation, and lands now comprising national forests were appropriated from indigenous peoples. In all cases, the term “national forest” refers to a type of *state* (that is, government) property and must not be confused with forests that are owned as *common* property (that is, private forest owned by a group) or *private* property. The precepts of modern national forests originated in early eighteenth-century France and Germany where feudal lords set aside forests to preserve hunting grounds. National forest administration stems from this tradition of managing the forests for the direct benefit of central government authorities.

As in several nations in Southeast Asia, national forests in Indonesia comprise the vast majority of national territory, and colonial-style legal and organizational structures continue to dominate management. Indonesia’s State Forest Corporation centrally administers national forests, but provinces maintain some authority over harvesting and marketing. Shared



**Forest of golden aspen trees (*Populus tremuloides*) in autumn color in the Grand Mesa National Forest, Colorado.**  
(© Lorenz Britt / Alamy)

government and private party forestry successions are common. Indonesia derives a substantial portion of national income from timber production and has been charged with excluding the concerns of indigenous peoples from management decision.

In 1957 the government of Nepal nationalized all forested land, the majority of which was previously managed as community common property. This act resulted in the breakdown of community management systems and accelerated deforestation and forest degradation. The Forest Act of 1992 seeks to reverse these trends and divides national forests into five classes: community forests (managed by community user groups); leasehold forests (leased to private entities for timber production); government-managed forests (retained by government for national purposes); protection forests (managed by government for environmental protection); and religious forests.

In the United States the term “national forest” refers to specific federal land units that are administered by the U.S. Department of Agriculture Forest Service (USDAFS). The USDAFS currently administers 155

national forests entailing 193 million acres (78,104,330 ha) and covering over 8 percent of the nation’s land area. Establishment of these forests, beginning in 1905, was largely a response to growing public fears of timber famine, wildfires, flooding, depleted wildlife populations, and damage to the beauty of the national landscape. National forest management is governed by federal law and edicts from the executive branch of government. The USDAFS is currently mandated to (1) manage forests for multiple uses (wood, water, recreation, wildlife, and wilderness in perpetuity); (2) protect the habitats of endangered species; and (3) impartially serve the public interest in choosing between management alternatives. National forests have been the focus of great conflict since the 1960s when the environmental movement began challenging USDAFS actions. Recent legislation, and numerous federal court decisions, have resulted in greater integration of public opinion into the USDAFS decision-making process.

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## National Forest Management Act (1976)

The National Forest Management Act (NFMA), passed in 1976, is the law that established the guidelines for the management of national forests. The act replaced the Organic Act of 1897, which had supplied such guidelines for the previous seventy-nine years. The impetus for this new bill was a court case related to clear-cutting of the Monongahela National Forest in West Virginia. Such clear-cutting had begun on this forest in the 1960s, and many environmental and recreation groups opposed it. When the Forest Service

continued this management technique, the Izaak Walton League filed suit against the agency, claiming that clear-cutting violated the Organic Act on three counts: that only mature timber was to be harvested, that all timber cut had to be marked and designated, and that all cut timber had to be removed from the forest. The court ruled in favor of the Izaak Walton League; clear-cutting did violate the Organic Act on each of these counts (*Izaak Walton League v. Butz* [1973]). The judge issued an injunction against any cutting in West Virginia that violated the Organic Act. The Forest Service appealed, but lost, and the injunction was applied throughout the Fourth Circuit of the Court of Appeals (*Izaak Walton League v. Butz* [1975]). Injunctions based on similar cases were soon issued in Alaska and Texas. With timber harvesting on the national forests in chaos, the Forest Service and timber industry turned to Congress for new management authority.

Congressional action came quickly because of these court decisions. The debate centered around bills introduced by Senator Hubert Humphrey of Minnesota, more favorable to industry, and by Senator Jennings Randolph of West Virginia, supported by environmentalists. The final act that eventually passed was based primarily on the Humphrey bill. The act basically granted legislative approval to how the Forest Service had been managing the national forests. The act granted the agency a great deal of administrative discretion to manage the forests based on the general philosophy of multiple use and sustained yield.

Five of the most contentious issues regarding national forest management were discussed in the law, but the fundamental issues were left unresolved. Clear-cutting is recognized as a legitimate management technique, and the Forest Service was given qualified discretion to determine when and how this approach would be used. Species diversity is to be considered in forest management, but the specifics are left to agency discretion. The Forest Service is directed to identify marginal lands and, based on agency discretion, to move away from timber management on these lands. Trees should be cut at a mature age when possible, but rotation age can be lessened if the agency deems it necessary. Finally, timber is to be harvested based on nondeclining even flow, which is a very conservative approach to sustained yield. How Forest Service management has proceeded on such decisions has continued to stir debate. The NFMA also substantially amended the interdisciplinary national forest planning provisions of the Resources Planning Act of 1974.

*See also* Biodiversity; Deforestation; Environmental law; Forest decline; Sustainable development.

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Christopher McGrory Klyza

## National Institute for the Environment

The National Institute for the Environment (NIE) was a proposed federal agency conceived in 1989 by a group of scientists, environmentalists, and policy analysts who were concerned that the nation's decision-makers did not have adequate scientific information to make suitable environmental policies. They were also concerned that the existing federal environmental research and development activities were spread over many uncoordinated agencies, each with different missions and interests therefore preventing an integrated effort to resolve environmental problems. Led by Stephen Hubbell of Princeton University and Henry Howe of the University of Illinois, Chicago, the group developed a proposal to establish the NIE. This initiative to create the NIE was promoted by the National Council on Science and the Environment (NCSE), formerly called the Committee for the National Institute for the Environment (CNIE).

Founded in 1990, the NCSE is a national non profit organization comprising scientists, educators, business leaders, state and local government officials, environmental advocates, and other interested individuals from around the country whose goal it was to work with the U.S. Congress to establish the NIE.

The goal of the NIE was to have an independent, nonregulatory, federal institute similar to the National Institutes of Health that focuses on environmental issues. The NIE was to promote better communication between scientists and policymakers to help balance social, economic, and environmental goals. Additionally, the NIE would have to concentrate activities in four integrated areas: identification and assessment of current knowledge of environmental problems of national interest through a Center for Environmental

Assessment; the funding of peer-reviewed, interdisciplinary research to increase scientific understanding of these environmental problems through three research divisions; development of an electronic, online library (the National Library for the Environment) to disseminate environmental information; and support of education and training of scientists and other professionals interested in working on environmental issues. The NIE was to have been led by a president-appointed board of governors representing federal and nonfederal scientists, state and local government, environmental organizations, citizen groups, academia, and industry.

Within the NIE, a center for environmental assessment was to be established to perform evaluations on the current state of knowledge of particular environmental issues. Assessments would have included a review of existing scientific information on an identified environmental problem, an assessment of policy decisions made based on existing information, and the identification of additional research needed to fill information gaps to improve the scientific basis for policy development.

The centerpiece of the NIE was the research it would sponsor. Experts in the natural sciences, engineering, economics, and the social sciences were to work cooperatively on complex environmental topics that could potentially require long-term investigations. Research funded by the NIE was to be administered by three directors: a director of environmental resources, a director of environmental systems, and a director of environmental sustainability. The director of environmental resources would develop research programs to inventory natural resources, monitor and predict change to environmental systems, and develop tools for improved environmental assessment. The director of environmental systems would focus on creating a research program to improve understanding of the functioning of environmental systems and human impact on these systems. For example, possible research programs could include an evaluation of the ecological and social effects of global climate change and research to distinguish between natural ecosystem variation and environmental change resulting from human activities. The director of environmental sustainability would concentrate on issues related to maintaining environmental health while utilizing resources in such a way to ensure their availability and viability in the future.

A key component of the NIE would be to provide information to decision-makers, researchers, environmental managers, educators and other professionals, and the public at large. An electronic, online national library for the environment would be developed. The Committee for the National Institute for the Environment is in the process of establishing a prototype

that can be accessed electronically. The prototype library will include research reports from the Congressional Research Service, an environmental encyclopedia, and peer-reviewed and nonpeer-reviewed research articles.

The NIE would support higher education training (i.e., education above the high school level) through research grants, fellowships, teacher training, and grants to develop environmental programs at colleges and universities. The NIE would also maintain a small in-house Center for Integrative Studies on the Environment that would invite visiting scholars to work with a core of scientific staff to evaluate emerging environmental issues on which the NIE and other federal agencies need to focus.

The NIE proposal was endorsed by over 284 various groups, including colleges and universities; scientific, professional, environmental, and business organizations; and state and local governments. Legislation to create the NIE was first introduced in 1989. The legislation was reintroduced in the 103rd Congress and again in 1995 during the 104th Congress by Representative Jim Saxton (R-NJ). The bill was cosponsored by numerous Democratic and Republican members of Congress. The bill was referred to the House Committee on Science where in 1997 it awaited consideration by the Subcommittee on Energy and the Environment.

In 1999, the CNIE, the NCSE's predecessor, superseded the creation of the NIE and the National Science Board approved an interim report recommending that the National Science Foundation implement most of the activities initially proposed for a national institute for the environment. In October 1999, CNIE announced its support for the full and effective implementation of this report and suspended its call for the creation of a national institute for the environment to work in support of the National Science Foundation initiative.

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## National Institute for Occupational Safety and Health

The National Institute for Occupational Safety and Health (NIOSH) is a research institute created by the Occupational Safety and Health Act of 1970, and since 1973 it has been a division of the Centers for Disease Control (CDC). The purpose of NIOSH is to gather data documenting incidences of occupational disease, exposure, and injury in the United States. After gathering and evaluating data, the agency develops criteria documents for specific hazards; in some cases the Occupational Safety and Health Administration (OSHA) has used these documents as the basis for specific legal standards to be followed by industry. NIOSH has developed databases that are available to other federal agencies, as well as state governments, academic researchers, industry, and private citizens. The organization also conducts seminars for those in the field of occupational safety and health, as well as for industry, labor, and other government agencies. NIOSH prepares various publications for sale to the public, and it provides a telephone hotline in its Cincinnati, Ohio, office to answer inquiries.

In April of 1996, NIOSH and the Occupational Safety and Health Administration (OSH) commemorated their twenty-fifth anniversary in an event that was jointly sponsored by those two agencies and by the Smithsonian Institute. CDC Director David Satcher stated, “Thanks in large measure to NIOSH’s efforts, the nation has made dramatic advancements in recognizing that safe and healthful workplaces are an integral part of good public health, and that the tools we use to curb infectious diseases also work against occupational diseases—knowledge, timely intervention, and prevention.”

### Resources

#### ORGANIZATIONS

National Institute for Occupational Safety and Health,  
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<http://www.cdc.gov/niosh>

## National Institute for Urban Wildlife

Founded in 1973 as the Urban Wildlife Research Center (UWRC), the National Institute for Urban Wildlife (NIUW) was an organization that promoted the

preservation of wildlife in urban settings. In 1983, the Urban Wildlife Research Center changed its name to the National Institute for Urban Wildlife, which continued the UWRC’s mission of providing support to individuals and organizations involved in maintaining a place for wildlife in the expanding American cities and suburbs. The NIUW conducted research exploring the relationship between humans and wildlife in urban areas, publicized methods of urban wildlife management, and raised public awareness of the value of wildlife in city settings. Its activities were divided into four programs: research, urban conservation education, technical services, and urban wildlife sanctuaries. The NIUW dissolved as an organization in 1995.

The research program provided specific information on the interplay between urban dwellers and wildlife. Developers, engineers, government agencies, industry, planners, students, and the general public continue to use the research conducted by the institute. Some of the studies published by the institute included *Planning for Wildlife in Cities and Suburbs*; *Urban Wetlands for Stormwater Control and Wildlife Enhancement*; *Planning for Urban Fishing and Waterfront Recreation*; *Highway-Wildlife Relationships: A State-of-the-Art Report*; and *An Annotated Bibliography on Planning and Management for Urban-Suburban Wildlife*.

Through its educational arm, the institute published numerous documents on the major issues of wildlife to professional environmentalists as well as to the general public. The quarterly *Urban Wildlife News*, the official publication of the organization, was one of the NIUW’s primary publications. In addition to the newsletter, the institute published other resources, such as the *Urban Wildlife Manager’s Notebook*, the *Wildlife Habitat Conservation Teacher’s PAC* series, and *A Guide to Urban Wildlife Management*.

The NIUW provided technical services to urban planners, developers, land managers, state and federal nongame programs, and homeowners. Among the information and services offered were environmental assessments and impact statements, open space planning and management, recreational planning, experimental design, urban wetlands enhancement, data analysis, literature research, and expert testimony.

The urban wildlife sanctuaries program was designed to create a network of certified sanctuaries on public and private land across the United States. Landowners who dedicated their land to wildlife preservation and were certified by the institute receive support from the institute’s wildlife biologists.

The institute’s members included organizations and individuals who were concerned about the preservation

of wildlife in urban settings. Although the NIUW did not have an official volunteer program offered through the institute, the NIUW offered guidance to members who worked in grassroots organizations.

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Linda M. Ross

## National Institute of Environmental Health Sciences (Research Triangle Park, North Carolina)

The National Institute of Environmental Health Sciences (NIEHS) is one of twenty-seven components of the National Institutes of Health (NIH), which is part of the U.S. Department of Health and Human Services (DHHS). The mission of the NIEHS is to conduct research on environment-related diseases. The focus of research is on understanding how environmental factors, individual susceptibility, and age interrelate to cause human illness and developing methods to reduce these illnesses. The NIEHS achieves its mission through biomedical research programs, prevention and intervention activities, and communication strategies that include training, education, technology transfer, and community outreach.

The NIEHS was established as the Division of Environmental Health Sciences within the NIH in 1966. In 1967, the Research Triangle Foundation in North Carolina presented the U.S. Surgeon General with 509 acres (206 ha) in the Research Triangle Park to serve as a site for NIEHS. In 1969, the Division of Environmental Health Sciences was raised to Institute status.

Research is conducted through both onsite resources and an extramural science program. The Division of Extramural Research and Training (DERT) supports a network of university-based environmental health science centers and also provides research and training grants and contracts for research and development. Through DERT, the NIEHS supported the research of Mexican chemist Mario J. Molina (1943–) of the Massachusetts Institute of Technology (MIT), who was a corecipient of the 1995 Nobel Prize in chemistry for

work showing the loss of the earth's protective ozone shield.

The purpose of the Division of Intramural Research (DIR) is to provide research that addresses the environmental components of many different diseases. American biochemist Martin Rodbell (1925–1998), a NIEHS scientist in DIR, was a corecipient of the Nobel Prize in medicine for discoveries about the communication system that regulates cellular activity. DIR is organized into programs for clinical research, environmental biology, environmental diseases and medicine, the National Toxicology Program (NTP), and environmental toxicology (the study of the effects of chemicals on organisms). The Clinical Research Laboratory conducts clinical trials to assess the effects of environmental agents (such as chemicals) on diseases. The program for environmental biology includes the work of four laboratories: The Laboratory of Molecular Genetics studies the basic mechanisms of the mutational process, fundamental mechanisms of genomic stability, and the impact of environmental agents on the genetic apparatus; the Laboratory of Signal Transduction studies the effects of environmental agents on physiological processes and mechanisms; the Laboratory of Structural Biology studies environmentally associated diseases resulting from perturbations in biological processes; and the Laboratory of Neurobiology researches cellular and molecular processes in the nervous system and the vulnerability of these processes with respect to environmental toxins.

The second DIR program, for environmental diseases and medicine, includes six branches: epidemiology (study of the transmission and control of disease), biostatistics, reproductive and developmental toxicity, respiratory biology, molecular carcinogenesis, and comparative medicine. The epidemiology branch studies the impacts of environmental toxicants on human health and reproduction using sensitive health endpoints, susceptible subgroups, and highly exposed populations. The Laboratory of Reproductive and Developmental Toxicology develops an understanding of the basic mechanisms underlying normal and abnormal development and reproduction. The Laboratory of Respiratory Biology studies the respiratory tract system biology at the cellular, biochemical, and molecular level in order to develop an understanding of pathogenic mechanisms involved in the onset of diseases of the airways. The Laboratory of Molecular Carcinogenesis, comprised of eight interdisciplinary research groups, studies the mechanisms of environmental carcinogenesis by identifying the target genes in the process and by defining how chemicals act on these genes to influence cancer development. The biostatistics research groups conduct research in biomathematics and population genetics and in

design and analysis of laboratory animal toxicology and carcinogenicity studies to develop methods for epidemiological and clinical studies, and also provides statistical and computational support to the NIEHS scientists. The sixth branch, comparative medicine, provides services and collaborative support to the NIEHS scientists in the areas of animal facilities management, animal procurement, health surveillance and disease diagnosis, clinical veterinary services, rodent breeding, technical and surgical assistance, and quality assurance support.

The third DIR program, the NTP, uses the research conducted at five laboratories. The Laboratory of Host Susceptibility is involved in all aspects of genetically-modified animal model research with respect to chemical toxicity from planning studies to the analysis of results. In the cellular and molecular pathology branch (CMPB), researchers investigate the mechanisms involved in the formation of lesions, which arise spontaneously or in response to chemicals. Core laboratories for histology (the study of structure of biological tissues at the microscopic level), electron microscopy, and clinical pathology (the study of disease) are operated through this laboratory. The CMPB is also responsible for all pathology data originating from the NTP studies on toxins and carcinogens. The programs operations branch provides the necessary information on new research technologies as well as supporting the information technology activities of the NTP research through development and maintenance of databases and Web sites. This branch also advises researchers on the implementation of their studies and provides quality control measures. The toxicology branch researches the effects of toxins and carcinogens on the immune and reproductive systems, development, and genetics of rodent models. This information is used for hazard identification and characterization, and ultimately in the establishment of regulations regarding toxins. Information on test substances is used by this branch to develop mathematical models for the prediction of the effects on organisms based on exposure to toxins as well as developing new technologies or methods of toxin assessment. The bio-molecular screening branch performs testing to study the biological effects of toxins and determine future research measures based on the level of toxicity.

Two laboratories aid DIR's environmental toxicology program. The Laboratory of Molecular Toxicology conducts studies utilizing genetics and genomics to characterize chemical toxic effects on biological mechanisms, including immune, reproductive, genetic, respiratory, and nervous system toxicities. This laboratory studies interactions of chemicals and metabolites with sub-cellular macromolecules and develops methods for

characterizing toxicity of chemicals and other agents. The Laboratory of Pharmacology studies the exposure and disposition of environmental chemicals; the laboratory studies the enzyme systems involved in the metabolism of environmental chemicals and drugs; and it studies the mechanisms responsible for the toxic effects of xenobiotics (chemicals foreign to living organisms such as pesticides) and their metabolites, including photochemical and free radical mechanisms. The lab utilizes alternative model systems (from comparative and marine biology) to study the pharmacology and toxicology of chemicals and drugs; in addition, it provides chemical support for NIEHS scientists, including the assessment of chemical purity, stability, and biotransformation, which is the modification of a chemical through chemical processes in an organism.

The NIEHS sponsors research on the effects of environmental impacts in several areas. Some research topics include birth and developmental defects and sterility; women's health issues, including breast cancer susceptibility and osteoporosis; and Alzheimer's as well as other neurological disorders. The NIEHS sponsors research on hazards to the poor resulting from likely exposure to lead paint, hazardous chemicals at work, air and water pollution, and hazardous waste sites in their communities; some researchers focus on agricultural pollution, including natural materials (e.g., grain dust) and agricultural chemicals, whereas other researchers study signal error (i.e., whether environmental chemicals can mimic hormonal growth factors and contribute to the development of cancer or reproductive disorders). Still other research investigates alternatives to reducing the number of animals used in research, to refine the design of experiments to obtain more information at lower cost, and to replace animals with microbial and tissue cultures. Some research identifies biomarkers to measure the uptake and exposure to environmental toxins.

The NIEHS is the headquarters for the NTP, an interagency program within the DHHS. The NTP was established in 1978 to coordinate toxicology research and testing activities within the DHHS, to provide information about potentially toxic chemicals to regulatory and research agencies, and to strengthen the scientific basis of toxicology. The NTP coordinates toxicology activities of the NIEHS, the National Institute for Occupational Safety and Health of the Centers for Disease Control (NIOSH/CDC), and the National Center for Toxicological Research of the Food and Drug Administration (NCTR/FDA). The director of the NIEHS is also the director of the NTP. Primary research support within the NIEHS for the NTP is in the environmental toxicology program.

The Superfund Basic Research Program (SBRP), in coordination with the U.S. Environmental Protection Agency (EPA), is also administered by the NIEHS. The Superfund Amendments and Reauthorization Act (SARA) of 1986 established a university-based program of basic research within the NIEHS. The SBRP receives funding from the EPA through an interagency agreement using Superfund trust monies. The funding is used to study human health effects of hazardous substances in the environment, especially those found at uncontrolled, leaking waste disposal sites. The primary objectives of the SBRP are to find methods, through basic research, to reduce the amount and toxicity of hazardous substances and to prevent resulting adverse human health effects. The SARA legislation specifically mandates that the basic research program administered by the NIEHS focus on methods and technologies to detect hazardous substances in the environment; developing advanced techniques for the detection, assessment, and evaluation of the effects on human health of hazardous substances; and basic biological, chemical, and physical methods to reduce the amount and toxicity of hazardous substances.

In support of these mandates, the NIEHS supports projects in the areas of engineering, ecological, and hydrogeological research in conjunction with biomedically-related components, thus encouraging collaborative efforts among researchers. Specific research areas included in the SBRP include health effects, exposure or risk assessment, ecology, fate and transport, remediation, bioremediation, analytical chemistry, biomarkers, epidemiology, metals, and waste site characterization.

The NIEHS was also given responsibility for initiating a training grants program under the SARA. The major objective of the NIEHS worker education and training program, initiated in 1987, is to prevent work-related harm by assisting the training of workers to know how to protect themselves and their communities from exposure to hazardous materials during hazardous waste operations, hazardous materials transportation, environmental restoration of nuclear weapons facilities, or chemical emergency response. Through this program, nonprofit organizations with a demonstrated record of providing occupational safety and health education develop safety and health curriculum for workers involved in handling hazardous waste or in responding to emergency releases of hazardous materials. Information concerning this program is disseminated through the National Clearinghouse for Worker Safety and Health Training.

The SBRP, through an interagency agreement, provides additional training by support of the NIOSH

Hazardous Substance Continuing Education Program (HST), initiated in 1988 for hazardous substance professionals, and the NIOSH Hazardous Substance Academic Training Program (HSAT), a graduate academic program initiated in 1993 allowing occupational safety and health professionals to specialize in the study of hazardous substances.

In coordination with the U.S. Department of Energy (DOE), the NIEHS is overseeing the implementation of the Electric and Magnetic Fields Research and Public Information Dissemination (EMF RAPID) program, established by the 1992 Energy Policy Act. The EMF RAPID program is a five-year federally coordinated effort to conduct research on potential effects on the biological systems of exposure to sixty hertz of electric and magnetic fields produced by the generation, transmission, and use of electric energy; and it evaluates developing technologies for characterizing and mitigating these fields. The program also involves collection, compilation, publication, and dissemination of information to the public concerning possible human effects of electric and magnetic fields; types and extent of human exposure to electrical and magnetic fields in various occupational and residential settings; technologies to measure and characterize electric and magnetic fields; and methods to assess and manage exposure to electric and magnetic fields.

The NIEHS clearinghouse is an information service staffed with scientists who respond to questions concerning environmental health issues. Information on research is also provided through a NIEHS-sponsored journal, *Environmental Health Perspectives*.

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## National lakeshore

National lakeshores are part of a system of U.S. coastlines administered by the National Park Service and preserved for their scenic, recreational, and habitat resources. The national lakeshore system is an extension of the national seashores system established in the 1930s to preserve the nation's dwindling patches of publicly-owned coastline on the Atlantic, Pacific, and Gulf coasts. Since the early twentieth century, the movement to preserve both seashores and lakeshores has been a conservationist response to the rapid privatization of coastlines by industrial interests and private homeowners. As of 2010, the United States has four designated national lakeshores: Indiana Dunes on the southern tip of Lake Michigan, Sleeping Bear Dunes on Lake Michigan's eastern shore, and the Apostle Islands and Pictured Rocks, both on Lake Superior's southern shore.

Attention focused on disappearing Great Lakes shorelines, sometimes called the United States' "fourth coastline," as Midwestern development pressures increased after

World War II. During the 1950s, lakeshore industrial sites became especially valuable with the impending opening of the St. Lawrence Seaway. The seaway, which gave landlocked lake ports access to Atlantic trade from Europe and Asia, promised to boost Midwestern industry considerably. Facing this threat to remaining wild lands, the National Park Service conducted a survey taken during 1957 and 1958, attempting to identify and catalog the Great Lakes' remaining natural shoreline. The survey produced a list of sixty-six sites qualified for preservation as natural, scenic, or recreational areas. Of these, five sites were submitted to Congress in the spring of 1959.

The Indiana Dunes site was a spearhead for the movement to designate national lakeshores. Of all the proposed preserves, the Indiana Dunes site faced immediate threats in the 1950s and 1960s from northern Indiana's expanding steel industries. Residents of Gary, Indiana, were eager for jobs and industrial development, but conservationists and politicians of nearby Chicago, Illinois, argued that most of the lake was already developed and lobbied intensely for preservation. The Indiana Dunes provided a rare patch of



Shoreline near Miners Castle beach, Pictured Rocks National Lakeshore in the Upper Peninsula of Michigan at the southern shore of Lake Superior. (© iStockphoto.com/Steve Geer)

undeveloped acreage that residents of nearby cities valued for recreation. Equally important, the dunes and their intradunal ponds, grasslands, and mixed deciduous forests provided habitat for animals and migratory birds, most of whose former range already held the industrial complexes of Gary and Chicago. In addition, the dunes harbored patches of relict boreal habitat left over from the last ice age. After years of debate, the Indiana Dunes and Great Sleeping Bear Dunes National Lakeshores were established in 1966, with the remaining two lakeshores designated four years later.

The other three national lakeshores are less threatened by industrial development than Indiana Dunes, but they preserve important scenic and historic resources. Sleeping Bear Dunes contains some of Michigan's sandy pine forests as well as arid land forbs, grasses, and sedges that are rare in the rest of the Midwest. Two prized aspects of this national lakeshore are its spectacular bluffs and active dunes, some standing hundreds of feet high along the edge of Lake Michigan.

Wisconsin's Apostle Islands, a chain of twenty-two glacier-scarred, rocky islands, bear evidence of thousands of years of human habitation and activity. However most of the historic relics date from the nineteenth century, when loggers, miners, and sailors left their mark. In this area the coniferous boreal forest of Canada meets the deciduous Midwestern forests, producing an unusual mixture of sugar maple, hemlock, white cedar, and black spruce forests. Nearly twenty species of orchids find refuge in these islands. Pictured Rocks National Lakeshore preserves extensive historic navigation relics, including sunken ships, along with its scenic and recreational resources.

*See also* Coniferous forest; Conservation; Ecosystem; Glaciation; National Parks and Conservation Association; Wilderness.

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Mary Ann Cunningham

National Marine Fisheries Service see **National Oceanic and Atmospheric Administration (NOAA)**.

## National Mining and Minerals Act (1970)

The Mining and Minerals Policy Act of 1970 was the first of a series of efforts by the U.S. Congress to address the seeming lack of a coordinated and comprehensive federal minerals policy. The act directed the secretary of the interior to follow a policy that encouraged the private mining sector in four ways: to develop a financially viable and stable domestic mining sector, to develop domestic mineral sources in an orderly manner, to conduct research to further "wise and efficient use" of these minerals, and to develop methods of mineral extraction and processing that would be as environmentally benign as possible. Given the broad and vague nature of these directives, it is difficult to determine what, if any, effect the law has had. For example, the U.S. Department of the Interior's report on the bill found that it did not provide the department any new authority. The act, however, included one new directive: the secretary of the interior was to report to Congress annually on the mining industry and to make any legislative recommendations to help the industry at that time.

Two additional general mining policy laws were passed within fifteen years of the Mining and Minerals Policy Act. Congress passed the National Materials and Minerals Policy, Research, and Development Act in 1980. Like the 1970 act, this law was an effort to develop a coordinated national minerals policy. But, like its predecessor, this law also had little effect because of its lack of specifics. The 1984 National Critical Materials Act underscored the concern among some members of Congress that the United States had become vulnerable,

because of foreign dependence, in the supply of such strategic defense and high technology minerals as cobalt and platinum group metals. While this law was also filled with generalities, it did create a three-person National Critical Materials Council and charged this council with overseeing minerals policy. As with the prior two acts, the 1984 law has had little effect.

The growing concern for mineral policy in the 1970s and 1980s can be traced to three sources: administrative law and capacity, environmental concerns, and national security concerns. The federal government, then, had little control over mining policy. The General Mining Act of 1872 essentially privatized mining on federal land. Individuals or private firms could stake claims on public lands and remove the minerals; the government received no royalties and issued no permits. The mining policy regime was also fragmented. Three agencies had major roles in mining policy: the Bureau of Land Management, the Bureau of Mines, and the United States Geological Survey. Throughout the 1970s and 1980s, concern for the environment grew in the country, and a significant concern of environmentalists was mining operations, which often generated large amounts of pollution and had significant effects on the land. Hence, environmentalists successfully sought to restrict mining in certain areas and regulate the mining that continued. Partially in response to the reduced access and increased regulation, the mining industry and its supporters began to focus on U.S. dependence on strategic foreign minerals. They argued that more lands should be open to mining, and regulations should be relaxed. Despite the passage of these three laws, though, no significant change in federal mining policy has occurred. In 2007 and 2009, Congress considered bills that would have established a royalty rate and reclamation tax for mining operations on federal land, but neither bill passed both houses of Congress.

*See also* Environmental law; Environmental policy; Natural resources.

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Christopher McGrory Klyza

## National Oceanic and Atmospheric Administration

The National Oceanic and Atmospheric Administration (NOAA) is a multifaceted agency of the U.S. government that concerns itself with a variety of challenges, from making five-day weather forecasts to protecting sea turtles. Under the U.S. Department of Commerce, the NOAA is unusual because it functions not only as a service agency, providing weather reports, for example, but also as a regulatory and research agency. Many of its regulatory functions appear to overlap with those of the Environmental Protection Agency (EPA), and much of its research parallels that of the National Aeronautics and Space Administration (NASA). The NOAA's National Ocean Service, for example, assesses the damage from oil spills and serves as an oil spill first responder. The NOAA also monitors the rate and speed of the earth's rotation.

Created in 1807 by President Thomas Jefferson as the United States Coast Survey, its first duty was to survey the coasts to set up shipping lanes for trade routes in the United States. Later, its function as an air and sea chart-making agency, when known as the U.S. Coast and Geodetic Survey, was in high demand, especially during World Wars I and II. When the government formed the NOAA in 1970, the new agency assumed the duties of U.S. Coast and Geodetic Survey, the Weather Bureau, and the Bureau of Commercial Fisheries.

In 1970, when the National Oceanic and Atmospheric Administration was instituted, it settled into five major service branches and a NOAA corps. The corps, called "the seventh and smallest uniformed service," consists of over three hundred men and women trained to perform diverse duties, such as flying into the eye of a hurricane and making descents into the ocean to perform deep ocean research.

The NOAA's six branches are as follows:

- The National Weather Service (NWS), established in 1870, the U.S. government established the U.S. Weather Bureau under the Department of War, but the agency moved to the Department of Agriculture in 1890 and to the Department of Commerce in 1940. The agency was renamed the National Weather Service in 1967. The NWS has provided daily weather forecasts for several decades by gathering data from manned weather forecast offices around the country. To perfect its capability, to increase accuracy, and to lengthen predictions for severe weather, the NOAA is implementing a long-term program to modernize the NWS by increasing the agency's ability to monitor and predict severe



Rear view of a NOAA WP3D Orion Hurricane Research Aircraft, with insignia indicating major hurricanes penetrated. (© Science Faction / SuperStock)

weather, precipitation, and flooding. The Hydrometeorological Prediction Center issues spring flood warnings from river forecast centers well in advance of their occurrence. Nexrad (“next generation radar”), a new Doppler radar that can pick up severe weather 125 miles (201 km) away, predicts tornadoes with a nineteen-minute lead time, giving residents more time to find shelter.

- The Office of Oceanic and Atmospheric Research collects data from polar-orbiting and geostationary satellites. These not only provide televised weather pictures, but also monitor elements of climate change (such as greenhouse gases in the stratosphere). At the NOAA’s environmental research labs, studies of the ozone layer are conducted jointly with NASA; the NOAA and Japan have also joined forces to study thermal vents located twenty thousand feet (6096 m) below the surface of the Pacific Ocean in the Mariana Trench. The NOAA funds university research through the National Sea Grant College Program and the National Undersea Research Program.
- The National Environmental Satellite, Data, and Information Service (NESDIS) possesses one of the world’s

largest banks of information on earth geophysics, solar activity, geomagnetic variations, and paleoclimates. The NESDIS stores data collected from the NOAA’s satellites and environmental research labs.

- The National Ocean Service (NOS) makes coastal charts for trade ships and recreational boaters and sailors. The NOS also assesses ocean and coastal pollution, protects wetlands, and is charged with creating and maintaining sanctuaries for various sea creatures, including whales and living coral reefs.
- The National Marine Fisheries Service (NMFS) manages fisheries by overseeing coastal fish-breeding habitats, restoring endangered species, collecting abandoned drift nets, and trying to save turtles, dolphins, and whales. The NMFS instituted the use of turtle excluder devices (TEDs) on shrimp nets to keep turtles from being strangled.
- The Office of Program Planning and Integration (PPI) coordinates the efforts of the NOAA’s other branches and ensures that all of the NOAA’s activities are guided by a strategic plan. The PPI also evaluates the performance of the NOAA and its branches. The PPI was established in 2002.

The NOAA also operates a rescue satellite, known as Satellite-Aided Search and Rescue. Stranded fishermen and sailors, whose boats are equipped with an emergency position indicating radio beacon (EPIRB), send a signal to the satellite that relays it to a ground station that responds by sending a rescue vessel within hours of the initial signal.

Because the NOAA is unusual in its functions as a regulatory, research, and service agency, it is often an easy target for budget-cutting. Underfunded during most of the 1980s and always dependent on congressional funding, the NOAA is currently struggling to streamline its duties in the face of rapid climate change and increased marine pollution.

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### ORGANIZATIONS

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National Ocean Service see **National Oceanic and Atmospheric Administration (NOAA)**.

## National park

National parks are areas that have been legally set apart by national governments because they have cultural or natural resources that are deemed significant for the particular country. National parks are typically large areas that are mostly undisturbed by human occupation or exploitation. They are characterized by spectacular scenery, abundant wildlife, unique geologic features, or interesting cultural or historic sites.

National parks are managed to eliminate or minimize human disturbances, while allowing human visitation for recreational, educational, cultural, or inspirational purposes. Activities consistent with typical national park management include hiking, camping, picnicking, wildlife observation, and photography. Fishing is usually allowed,

but hunting is often prohibited. In the United States, national parks are distinguished from national forests and other federal lands because timber harvesting, cattle grazing, and mining are, with a few exceptions, not permitted in national parks, whereas they are permitted on most other federal lands.

The United States was the first country to establish national parks. The Yosemite Grant of 1864 was the first act that formally set aside land by the federal government for “public use, resort, and recreation.” Twenty square miles (52 km<sup>2</sup>) of land in the Yosemite valley and four square miles (10 km<sup>2</sup>) of giant sequoia were put under the care of the state of California to be held “inalienable for all time.” In 1872, President Ulysses S. Grant signed into law the establishment of Yellowstone National Park. Yellowstone differed from Yosemite in that it was to be managed and controlled by the federal government, not the state, and therefore has received the honor of being considered the first national park. Years later, Yosemite was turned over to the federal government for federal management also. Since 1916, national parks in the United States have been administered by the National Park Service, an agency in the U.S. Department of the Interior.

The concept of national parks has caught on all over the world and continues to spread. Between the years 1972 and 1982 the number of national parks in the world increased by 47 percent and the area encompassed in the parks increased 82 percent. As of 2010, over seven thousand national parks can be found worldwide in more than 120 countries.

Many national parks in both developed and developing countries are facing threats, however. The most commonly reported threats are illegal removal of wildlife, destruction of vegetation, and increased erosion. Often there is a lack of personnel to deal with these threats. Management problems also arise because demand for use of park resources is increasing. Many of these uses are conflicting, and virtually all would have significant impacts on the resources that characterize the parks.

Although parks consist of natural resources, they are conceived, established, maintained, and often threatened by humans. In order to maintain public support for national parks, society should derive benefits from the parks. In light of the need for public support, merely putting a fence around the park and keeping people out is likely to fail in the long term. Paradoxically then, some development and use is necessary for conservation of the resources. Deciding on the appropriate amounts and kind of uses compatible with the resources is the key to successful park management.

During the summer of 1962 the first World Conference on National Parks was held in Seattle, Washington. This historic conference and subsequent ones have given people of many nations a forum to discuss threats facing their parks and strategies for meeting the demand for conflicting uses. Only through such international dialogue and continued diligence will treasured national parks be saved for future generations.

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Ted T. Cable

## National Park Service

The National Park Service, an agency in the U.S. Department of the Interior, was established by the National Park Service Act of 1916, making it the first such agency in the world. Its mission as stated in the act is “to conserve the scenery and the natural and historic objects and the wildlife therein and to provide for the enjoyment of the same in such a manner and by such means as will leave them unimpaired for the enjoyment of future generations.”

The mission has not changed over the years but the ambiguous wording of the act has sparked much debate over what the primary use of a national park should be. Some people feel the parks should be commercially developed in order to best provide for the enjoyment of the resources, whereas others think the highest priority should be preserving the resources in an unimpaired state for future generations. These conflicting views have existed ever since the agency was established, and the debate will likely continue. The dual mandate of providing enjoyment from the resources and leaving them unchanged has been called the “preservation versus use paradox.” Striking the balance between use and preservation is still at the forefront of national park policy issues.

National parks existed in the United States prior to the establishment of the National Park Service. The

Yosemite Grant of 1864 was the first act that formally set aside land by the federal government for “public use, resort, and recreation.” Twenty square miles (52 km<sup>2</sup>) of land in the Yosemite valley and 4 square miles (10 km<sup>2</sup>) of giant sequoia were put under the care of the state of California by President Abraham Lincoln. This land was to be held “inalienable for all time.” In 1872, President Ulysses S. Grant signed into law the establishment of Yellowstone National Park. Yellowstone differed from Yosemite in that it was to be managed and controlled by the federal government, not the state, and therefore has received the honor of being considered the first national park. Years later, Yosemite was also turned over to the federal government for management.

Originally no money had been set aside for the protection and care of the national parks. The parks suffered from illegal timber harvesting, grazing, poaching, and vandalism. The U.S. cavalry was sent in to protect the lands and did so until 1916 when the National Park Service was formed.

Horace Albright was named assistant for Parks in 1913 by the Secretary of Interior Franklin Lane. Albright, in turn, recruited self-made millionaire and nature-lover Stephen Mather to help him establish an agency to manage the parks. All the parks thus far had been created through separate acts of Congress with no unified guidelines to manage and control them. Bills to form an agency to oversee the parks had been introduced to Congress, but none had yet passed. Through the intensive lobbying efforts of Albright, Mather, and others, the National Park Service was formed in 1916. Upon its creation the National Park Service took over the management of fourteen national parks and twenty-one national monuments. Mather was named the agency’s first director and Albright became his assistant. Later Albright would become the second director of the National Park Service.

Albright and Mather believed that if the park system was to successfully defend itself from attacks by individuals with utilitarian philosophies (i.e., those believing timber-cutting, grazing, and mining should be allowed on federal lands), it would have to have a strong base of public support. They emphasized a tourism effort named “See America First” and embarked on a policy of development and expansion to include tennis courts, golf courses, and swimming pools. As the automobile became popular they encouraged visitation by car and tried to make the parks easily accessible. Through their efforts park use soared.



**Grand Canyon National Park in Arizona.** (Photograph by Robert J. Huffman. Fieldmark Publications.)

Although public support was strong, lands still had to be deemed worthless for agriculture or economic development to be set aside as parks by Congress.

Therefore, parks typically were high altitude with steep rocky terrain. Also, because they were still being justified and preserved as tourist destinations, only

those areas of spectacular scenery were included within the park boundaries. This has resulted in many management problems, as park managers attempt to look after park resources that are a part of ecosystems that extend beyond the park boundaries.

The number of visitors to the parks increased so greatly that by the 1920s the limited facilities could no longer keep up with the growing demand. Overcrowding and pollution were becoming problems. Conservationists complained that tourism was given priority over preservation and that the parks were being degraded. Lodges and other amenities continued to be added to the parks to handle the increased visitation.

Until the establishment of Everglades National Park in 1934, all of the national parks had been designated from land that was already under federal government control. The Everglades was the first park taken from annexed private land. It was also the first park that was not preserved for its spectacular scenery. Rather it was primarily established to preserve its fragile ecosystem, especially its colorful and conspicuous wildlife. Shortly thereafter, the Great Smoky Mountain and Shenandoah National Parks were established. These were developed from private lands that were purchased using donations from private citizens, including John D. Rockefeller.

With the growing environmental awareness of the 1970s, increased public scrutiny was focused on the balance between providing an enjoyable experience for visitors while preserving the park resources. The National Park Service looked for ways to accommodate the increasing numbers of visitors while minimizing the impacts to the environment. For example, to cut down on pollution and congestion, shuttle buses now take visitors into the Yosemite, Grand Canyon, and Denali national parks. The National Park Service continues to seek creative ways to simultaneously achieve their dual missions of use and preservation.

As of 2010, the National Park Service administered 393 separate sites covering 84 million acres (34 million ha) in forty-nine states. Fifty of these sites are national parks, sometimes referred to as the “crown jewels” of the United States. The largest of the parks is Wrangell-St. Elias covering 13.2 million acres (5.3 million ha) in Alaska. The most visited national park is Great Smoky Mountain National Park. In 2009 the National Park Service logged over 285 million recreational visitors. The fiscal year 2010 budget for the National Park Service was \$3.16 billion, with approximately 20,500 paid workers and 145,000 volunteers.

In addition to the national parks, the National Park Service also administers seventy nine National Monuments, sixty nine National Historic Sites, and twenty nine National Historic Parks. They manage sites in twenty two different categories including wild and scenic rivers, seashores, lakeshores, scenic trails, parkways, preserves, and even the White House. Each of the sites are placed into one of these categories based on such attributes as size, level of development, and significance. They are managed under policies appropriate for that type of site. For example, a national preserve is typically set aside to protect a particular resource. Hunting, fishing, mining, or extraction of fuels can be allowed on the preserve so long as it does not threaten the specific resource being preserved.

Each year millions of people from around the world come to the National Parks to recreate, to learn, and to be inspired in these wondrous places.

## Resources

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Ted T. Cable

## National Parks Conservation Association

The National Parks Conservation Association (NPCA) was founded in 1919, as the National Parks Association, for the defense, promotion, and improvement of the United States’ national park system and the education of the general public regarding national parks. Throughout its history, the NPCA has worked toward a three-fold goal: to save the parks from

exploitation, pollution, and degradation of their natural resources; to facilitate easy access to the national parks for all Americans; and to preserve the great variety of wildlife found within the boundaries of the parks.

With a membership of over 340,000—including both individuals and organizations—the NPCA boasts considerable political influence and is particularly effective in organizing groundswell support. Members are kept informed of political, environmental, and other issues through *National Parks*, the official NPCA publication. The magazine also contains articles of interest on state parks, international public lands, and matters of general environmental importance.

For more than ninety years, the NPCA has helped increase the holdings of the National Park System by lobbying for the expansion of existing grounds and for the establishment of new parks. Through the National Park Trust Fund, the NPCA has acquired and donated land to the National Park System. It has labored to protect national parks from overdevelopment and encroaching urban sprawl and has participated in studies to analyze and minimize the impact of visitor traffic.

Other support programs sponsored by the NPCA include fund-raisers such as the March for Parks program, independent studies of resource management in national parks, and efforts to protect and revitalize populations of wild animals within the parks. Working in conjunction with other conservation groups, the NPCA has dealt with such wide-ranging environmental issues as air pollution, acid rain, water pollution, endangered species, and damage to coastal areas.

Through its bimonthly magazine, *National Parks*, the NPCA helps coordinate grassroots activities such as the Park Watchers Program, a network of concerned local parties who keep track of potential threats to the park system and the contact system, which alerts members to make their views known to public officials on issues concerning the environment. Services to its members include special tours of national parks, general information about environmental issues and their impact on the park system, and periodic legislative updates and action alerts.

A second bimonthly publication, *Exchanges*, is directed to those who participate in NPCA volunteer programs. These volunteers serve in a variety of capacities, including public relations, trip coordination, event planning, and fund-raising.

The NPCA also has significant political influence, supporting such proposals as the American Heritage Trust Act, which would “protect our historic and natural heritage” with funding provided by royalties from offshore oil drilling. Internships are offered by

the NPCA to college students and graduates who want to work in the legislative process.

## Resources

### ORGANIZATIONS

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Linda M. Ross

## National Pollutant Discharge Elimination System

The National Pollutant Discharge Elimination System (NPDES) seeks to end the discharge of all types of industrial, municipal, and agricultural waste from point sources into American waters. These point sources include industrial facilities and publicly owned treatment works (POTWs), as well as runoff from urban areas.

The NPDES was established by the Federal Water Pollution Control Act Amendments of 1972 and was expanded under the Water Quality Act of 1987. Most NPDES programs are administered by the states, under the direction of the Office of Wastewater Management of the U.S. Environmental Protection Agency (EPA).

Nationwide more than 400,000 facilities are required to obtain NPDES permits. NPDES permits usually license a facility to discharge a given amount of a pollutant under specific conditions, although permits also are granted for other types of waste disposal. Permits are required only for facilities that discharge directly into bodies of water. The NPDES National Pretreatment Program regulates indirect discharges, such as discharges to POTWs. Although the NPDES encompasses all of the nation’s surface waters, including wetlands and seasonal streams, discharges into ground water that is not connected to surface water are not regulated unless required by the state. Individual permits are issued to a single facility for a maximum of five years. General permits license multiple facilities that have similar types of discharge within a specific geographical area. Most agricultural facilities, with the exception of concentrated animal-feeding facilities, are exempt from NPDES regulations.

NPDES permits require that discharges be treated using the best technology available. If these technology-based standards do not protect the water, water-quality-based standards must be met instead. Permits may

contain both technology-based limits, such as a nationwide limit on total suspended solids in a discharge, and water-quality-based limits, such as ammonia limitations based on aquatic toxicity. Permits issued by the EPA must meet state water-quality standards and local discharge limits. Permits include monitoring and reporting requirements and draft permits are open for public comment.

The NPDES initially focused on controlling the discharge of conventional pollutants such as suspended solids, oil and grease, and disease-causing organisms. By 1989 facilities were required to meet “best conventional pollutant control technology” (BCT) limits, as well as “best available technology economically achievable” (BAT) limits for the discharge of toxic and non-conventional pollutants. Toxic and priority pollutants include 126 metals, organic substances, and pollutant classes. Nonconventional pollutants include nitrogen, phosphorus, chlorine, and ammonia, as well as parameters such as whole effluent toxicity. BAT standards require facilities to utilize the best control and treatment methods that are achievable. Unlike earlier NPDES standards, the benefits do not have to be weighed against the costs of control and treatment.

NPDES permits typically require POTWs to provide physical separation and settling of wastes, biological treatment, and disinfection. The NPDES also regulates sludge from POTWs, as well as municipal separate storm sewer systems and combined sewer overflow systems. The latter discharge untreated effluent during storms. Polluted storm water discharges include runoff from land, streets, parking lots, and building roofs. Industrial storm-water discharges must meet both water-quality-based standards and the storm-water equivalents of BCT and BAT standards. As of March 2008, construction sites of at least 1 acre (0.4 ha), as well as some smaller sites, were required to obtain permits from the NPDES.

The NPDES has significantly reduced the discharge of pollutants into American waterways and has brought about major improvements in the quality of the nation’s water resources. In 1972 only one-third of these waters were safe for swimming and fishing compared with two-thirds in 2001. Wetlands loss has been reduced from 460,000 acres (186,155 ha) annually to 70,000–90,000 acres (28,327–36,420 ha) per year. Soil erosion from agricultural runoff has been reduced by 1 billion tons (907,000 metric tons) annually. Nitrogen and phosphorous levels in waterways have decreased. Modern wastewater treatment facilities now serve over 200 million people, compared to only eighty-five million in 1972.

However the remaining sources of water pollution are more difficult to regulate. The EPA estimates that over 20,000 river sections, lakes, and estuaries, including

about 300,000 miles (482,800 km) of shoreline, are polluted. The vast majority of the American population lives within 10 miles (16 km) of these waters. The millions of smaller sources of pollution are varied and less amenable to available technological solutions. These include hundreds of thousands of storm-water sources and about 450,000 animal-feeding operations. Therefore the NPDES is focusing more on the pollution problems of individual watersheds, developing total maximum daily loads, or pollution budgets, for up to 40,000 bodies of water and rivers segments.

## Resources

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### ORGANIZATIONS

- Office of Wastewater Management, U.S. Environmental Protection Agency, Mail Code 4201, 401 M Street, SW, Washington, DC, USA, 20460, (202) 260-5922, (202) 260-6257, [www.epa.gov/npdes](http://www.epa.gov/npdes)

Margaret Alic

## National Priorities List

The National Priorities List (NPL) is a list compiled by the U.S. Environmental Protection Agency (EPA) as a part of the Superfund program under the Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA). The CERCLA was enacted by Congress on December 11, 1980. This law levied a tax on the chemical and petroleum industries and gave the federal government broad authority to respond directly to actual or threatened releases of hazardous substances. The tax money was placed in a trust fund for cleaning up abandoned or uncontrolled hazardous waste sites. The CERCLA was amended by the Superfund Amendments and Reauthorization Act (SARA) on October 17, 1986.

CERCLA requires the EPA to identify hazardous waste sites on an annual basis throughout the United States. The law allows for two types of response: short-term removal of hazardous materials in emergency situations and long-term remedial response actions.

Long-term response actions can be conducted only at sites placed on the national priorities list (NPL) by the EPA.

After in-depth testing and studies, the EPA places sites on the NPL in order of severity of contamination. Contamination is measured by the hazard ranking system (HRS), which yields a numerical score calculated on the basis of the site's risk factors. Risk factors are grouped into three categories: the site's potential for releasing hazardous substances into the environment; the amount and toxicity of the waste materials; and the number of people or sensitive environments affected by the hazardous materials. The HRS evaluates four possible pathways of contamination: ground water; surface water; soil exposure; and air migration.

HRS scores range between zero and 100. Sites that score above 28.5 qualify for listing on the NPL. A site may also be listed if the Agency for Toxic Substances and Disease Registry (ATSDR) issues a health advisory for the site. In addition, each state or territory of the United States is allowed to place one top-priority site on the NPL without regard to its HRS score. The proposal is then published in the *Federal Register*. At this point, the public has an opportunity to comment in writing on the site's addition to the NPL. As of 2010, 1,098 sites on the NPL were classified as having construction completed and 345 sites have been delisted; 1,282 sites remained on the NPL, with fifty-four new sites proposed for addition to the list.

The EPA administers the Superfund program in cooperation with individual states and Native American tribal governments. The Office of Emergency and Remedial Response (OERR) oversees management of the program.

*See also* Hazardous waste site remediation; Superfund Amendments and Reauthorization Act (1986).

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## ORGANIZATIONS

Environmental Protection Agency, 1200 Pennsylvania Avenue, NW, Washington, DC, USA, 20460, (202) 272-0167, <http://www.epa.gov/>

Rebecca J. Frey

## National Recycling Coalition

Founded in 1978, the National Recycling Coalition (NRC) is a nonprofit organization comprised of concerned individuals and environmental, labor, and business organizations that wish to promote the recovery and reuse of materials and energy. The NRC believes that recycling is vital to the nation's well-being and, therefore, encourages collection, the development of more processing venues, and the purchase and promotion of recycled materials.

Originally formed by a small group of recycling professionals to convince others that recycling provides many benefits to the U.S. economy, the NRC has since grown to over 5,400 members and partner organizations. As of 2010, the NRC has affiliated recycling organizations in nineteen states. Members may join either an affiliated organization, which focuses on state and local recycling issues, or the NRC national organization.

Members participate in several different projects, including ReMix, the NRC Bin Grant Program, and NRC's Climate Change Initiative. ReMix is a public education program designed to promote the recycling of magazines and catalogs. The NRC Bin Grant Program, operated in partnership with Coca-Cola, provides recycling bins to select local community recycling programs. The NRC Climate Change Initiative promotes recycling as a means of reducing greenhouse gas emissions. According to the NRC Climate Change Initiative, the U.S. recycling rate of 32 percent of municipal waste prevents the discharge of nearly 200 million tons (180 million metric tons) of greenhouse gases into the atmosphere.

NRC sponsors an annual conference, the National Recycling Congress and Exposition. Regarded as one of the nation's most significant recycling events, this membership meeting exhibits the latest innovations in recycling and allows networking within the recycling industry. NRC also publishes Mobius, an e-newsletter, about twenty times per year, thereby keeping members abreast of recycling issues.

## Resources

### ORGANIZATIONS

National Recycling Coalition, 805 15th St., NW, Washington, DC, USA, 20005, (202) 789-1430, (202) 789-1431, [info@nrc-recycle.org](mailto:info@nrc-recycle.org), <http://www.nrc-recycle.org>

Andrea Gacki

## National Research Council

The National Research Council (NRC) was organized by the National Academy of Sciences (NAS) in 1916 to connect the broad community of science and technology with the goals of the NAS (that is, advancing the state of knowledge and advising the federal government). The NRC has become the principal agency of both the NAS and the National Academy of Engineering (NAE) in providing services to the government, the public, and the scientific and engineering communities. The NRC is administered by both academies and the Institute of Medicine.

During the early years of World War I, there was concern about the lack of American preparedness if the United States should enter the war. The NAS had been active during the Civil War and for some years after the end of the war, but had become inactive during the latter part of the nineteenth century and into the first decade of the twentieth century. In 1915, George Ellery Hale, an astrophysicist and member of the NAS since 1902, advocated to the NAS president the offering of the services of the NAS to the U.S. government in case of entry in the war. In April of 1916, Hale introduced a resolution to the NAS, which passed unanimously. It stated the following:

“That the President of the Academy be requested to inform the President of the United States that in the event of a break in diplomatic relations with any other country the academy desires to place itself at the disposal of the Government for any service within its scope.”

President Woodrow Wilson agreed to the proposal, and a Committee for the Organization of the Scientific Resources of the Country for National Service was appointed. Members of the committee included some of the leading scientists of the time: physicist Robert A. Millikan, biologist Edwin G. Conklin, medical laboratory director Simon Flexner, and physical chemist Arthur A. Noyes. In June of 1916 the committee called for the following:

“A National Research Council, the purpose of which shall be to bring into cooperation government, educational, industrial, and other research organizations with the object of encouraging the investigation of natural phenomena, and increased use of scientific research in the development of American industries, the employment of scientific methods in strengthening the national defense, and such other applications of science as will promote the national security and welfare.”

The plan was approved by the NAS and by President Wilson. The NRC was organized in September, 1916, with Hale as the first chairman. Members represented the government, various branches of the military, universities, and private research laboratories. Members were grouped into divisions, which were organized according to disciplines or functions. Smaller units within the divisions worked on specific projects and studies.

Although organized to meet a specific emergency, the NRC, because of its valuable wartime service, was made a permanent organization by an executive order of President Wilson in 1918.

As of 2010, the activities of the NRC are supervised by major program units. The major program units may conduct projects on their own, but more frequently supervise projects organized under numerous subsidiary standing boards and committees. These boards and committees, which provide independent advice to the government, are comprised of experts who serve without compensation. They provide draft reports on issues of concern and subject the draft reports to rigorous review before release to ensure quality and integrity. The composition and balance of the study committees are carefully monitored to avoid potential conflict of interest and bias. Major program units include Policy and Global Affairs Division; Division on Engineering and Physical Science; Division of Behavioral and Social Sciences and Education; Division on Earth and Life Studies; and Transportation Research Board.

The NRC also provides library services to the staffs and committee members of the NAS and NAE.

## Resources

### ORGANIZATIONS

The National Academies, National Research Council, 500 Fifth St., NW, Washington, DC, USA, 20001, (202) 334-2000, <http://sites.nationalacademies.org/NRC/>

Judith Sims

## National seashore

The National Park Service, under the U.S. Department of the Interior, manages ten tracts of coastal land known as national seashores. Over 435 miles (700 km) of Atlantic, Gulf, and Pacific coastline, covering an area of approximately 479,000 acres (194,000 ha) of beaches, dunes, sea cliffs, maritime forests, fresh ponds, marshes, and estuaries comprise the National Seashores System.

Protection of the sensitive natural habitats is only one of the objectives of the National Seashores System that the Park Service has established. These areas are also lightly developed for recreational purposes, including roads, administrative buildings, and some commercial businesses. In fact, it was stipulated that public access must be provided to these areas. A third objective is to combat coastal erosion, as beaches and dunes are important as buffers to coastal storms.

The need to preserve coastal areas in their natural states was recognized as long ago as 1934, when the



Point Reyes National Sea Shore, California. (© Kip Evans / Alamy)

Park Service surveyed the Gulf and Atlantic coasts and identified twelve areas deserving of federal protection. The first of these to be authorized was Cape Hatteras National Seashore, a narrow strip of barrier island on the North Carolina outer banks. Acquiring the land, however, remained a problem until after World War II, when the Mellon Foundation matched state contributions and purchased the first of what is now over 100 miles (160 km) of beaches, dunes, marsh, and maritime forest.

In 1961, Cape Cod National Seashore in Massachusetts was the second area to be so designated. Protecting beach and dune areas of biological and geological significance, this site was acquired with legislation that set the standard for future Park Service acquisitions. The “Cape Cod formula” is the model for current regulation and purchase of private improved lands by the Park Service.

Five more sites were authorized between 1962 and 1966, including Fire Island on Long Island, New York, and Point Reyes National Seashore, the only national seashore on the West Coast. Assateague Island, on the eastern shore of Maryland and Virginia, was deemed too developed to become a protected area but a nor'easter storm in March 1962 destroyed or seriously damaged nearly all of the development. By 1965, about 31 miles (50 km) of shoreline was purchased and became part of the National Seashore System.

In the 1970s, the final three national seashores were authorized. Gulf Islands is a noncontinuous collection of estuarine, barrier island, and marsh habitats in Mississippi and Florida. It also includes a historic Spanish fort. Cumberland Island, Georgia, is the most “natural” of the ten national sea shores and is completely undeveloped with the only access being a public ferry from the mainland. The other National Seashores are Padre Island, in Texas; Cape Lookout, in North Carolina; and the newest national seashore, Florida’s Cape Canaveral.

The National Historic Preservation Act (passed in 1966), the Coastal Zone Management Act (1972), and the National Seashore Act (1976) have been written to ensure that not all natural coastal areas fall to development. Public recognition and subsequent congressional action have saved these few areas that are important as fish and shellfish spawning and nursery areas, bird and sea turtle nesting grounds, and refuges for threatened vegetation and wildlife.

*See also* Wetlands.

## Resources

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William G. Ambrose, Jr.

National Weather Service see **National Oceanic and Atmospheric Administration (NOAA)**.

## National Wildlife Federation

With over four million members, the highly influential National Wildlife Federation (NWF) is the largest U.S. conservation organization. The NWF has worked since 1936 to promote wise use of the nation's wildlife and natural resources, and their primary goal is "to educate citizens about the need for sustainable use and proper management of our natural resources."

NWF sponsors National Wildlife Week, and it distributes hundreds of thousands of education kits to millions of students. NWF also operates the Institute for Wildlife Research, which concentrates on such creatures as bears, wildcats, and birds of prey. Their Backyard Wildlife Habitat Program distributes information and encourages homeowners to set up their own refuges for wild animals by providing food, water, and shelter, and by planting or preserving trees and shrubs and building backyard ponds. The program has certified thousands of backyard habitats nationwide, and the group maintains a model backyard habitat at Laurel Ridge Conservation Center in Vienna, Virginia.

The NWF also sponsors a variety of nature-oriented meetings and programs. These include workshops and training sessions for grassroots leaders, children's wildlife camps, and Earth Tomorrow. Earth Tomorrow is a science-based environmental program designed to

encourage conservation among urban teens. The organization also offers conservation internships, allowing young people to work with their professional staff; outdoor vacations for adults and families; symposiums and lectures on urban wildlife and gardening at the Laurel Ridge Conservation Center; and the National Conservation Achievement awards, recognizing outstanding work in the field. Other NWF activities include producing large-format films, television programs, and publishing books on wildlife for adults and children.

The NWF's magazines have a combined circulation of over one million, and include the bimonthly publications *National Wildlife* and *International Wildlife* for adults, *Ranger Rick* for elementary school children, and *Your Big Backyard* for preschoolers and *Wild Animal Baby* for toddlers. The NWF also publishes *The Conservation Directory*, a comprehensive listing of regional, national, and international conservation groups, agencies, and officials, and *EnviroAction*, an environmental news digest covering the latest topics and legislative and regulatory updates.

Each year, NWF issues its environmental quality index, assessing the status of air, water, wildlife, and other natural resources, as well as the quality of life in America. It also coordinates a nationwide bald eagle count each year and distributes millions of its famous wildlife stamps. NWF has been involved in a wide range of political campaigns. They have challenged government strip mining and timber-cutting programs, worked to upgrade the Environmental Protection Agency (EPA) to cabinet-level status, helped strengthen enforcement of the Safe Drinking Water Act, and created the Corporate Conservation Council to encourage dialogue with industry on conservation issues.

The National Wildlife Federation differs from many other conservation groups in that the organization, and especially its state affiliates, emphasizes "educating and empowering people to make a difference." This grassroots approach has led the NWF in such conservation efforts as returning gray wolves to Yellowstone National Park and Idaho, rallying support for the restoration of Florida's Everglades, imploring Congress to allow a portion of offshore oil and gas leasing revenues to be used to fund environmental programs, publishing the "higher ground" report that illustrated how voluntary buyouts of flood-prone property would save tax dollars and restore natural habitat, and continuing to address global climate change and its effects on the the world's animal and human populations.

## Resources

### ORGANIZATIONS

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9919, info@nwf.org, http://www.nwf.org

Jacqueline L. Longe

## National wildlife refuge

The United States began establishing wildlife refuges during the presidency of Theodore Roosevelt. In 1903, Roosevelt declared Pelican Island in Florida a refuge for the brown pelican, protecting a species that was close to extinction. In 1906, Congress closed all refuges to hunting, and in 1908, Congress established the National Bison Range refuge in Montana to protect that endangered species.

The refuge system continued to expand later in the century, with a primary emphasis on migratory waterfowl. In 1929, Congress passed the Migratory Bird Convention Act, followed by the Migratory Bird Hunting Stamp Act of 1934, which provided funding for waterfowl reserves. Wetlands now make up roughly 75 percent of the national wildlife refuges and serve as linked management units along the major waterfowl flyways.

The second focus in the expansion of the refuge system has been the need to protect endangered and rare species. Several acts have been passed for this purpose, including the Migratory Bird Treaty Act of 1918 and the Endangered Species Act of 1973. For example, the whooping crane is protected by a series of national wildlife refuges in Texas, Oklahoma, and Kansas that are linked to wildlife management areas in Kansas and Nebraska; and these are linked to a national wildlife area in Saskatchewan and their primary breeding grounds in a Canadian national park.

A third focus has been on the addition of high-latitude wilderness in Alaska. In 1980, Congress passed the Alaska National Interest Conservation Lands Act, which added roughly 54 million acres (22 million ha) of Alaskan land to the national wildlife refuge system.

The refuge system now consists of slightly over 580 refuges and thirty-seven wetland management districts, which encompass over 150 million acres (60.7 million ha) of land in parcels ranging from 2.5 acres (1 ha) to

nineteen million acres (7.7 million ha) in size. The National Wildlife Refuge (NWR) system is administered by the U.S. Fish and Wildlife Service, which was consolidated under the U.S. Department of the Interior in 1940. As it is officially written, the refuge system's mandate is to provide, preserve, restore, and manage a national network of land and water for the benefit of society. The wording of the mandate has resulted in an open policy approach, and NWRs have been used for a variety of activities, some of which might seem incompatible with the ideal of a refuge. For example, a consequence of having financial support from the sale of duck stamps has been the opening of refuges to waterfowl hunting. Other activities, such as fertilizer runoff and other agricultural pollution, have seriously impacted the ecological integrity of several reserves in the western United States, including Kesterson National Wildlife Refuge in California's San Joaquin Valley.

Perhaps the most significant test of the government's ability to maintain the ecological integrity of the refuge system came over the fight to open the Arctic National Wildlife Refuge (ANWR) for oil exploration. The ANWR is the largest reserve in the system, and one of the most spectacular refuges in terms of wilderness values. Although the proposal had the presidential support of both George H.W. Bush and George W. Bush, Congress repeatedly rejected legislation to open ANWR for drilling. Critics argued that it was inconsistent to maintain that oil production would benefit the country when it was earmarked for export. And the disaster caused by the *Exxon Valdez* reduced the credibility of oil companies claiming they were sensitive to environmentalist concerns.

The general challenges now facing the refuge system arise both from pressure to develop in order to accommodate increased visitor demand and the vague criteria defined in the National Wildlife Refuge Administration Act of 1966 for multiple use.

*See also* Forest Service; Migration; National Park Service; Oil drilling; Wilderness Study Area; Wildlife management; Pinchot, Gifford.

## Resources

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David A. Duffus

## Native landscaping

Landscaping with native plants (also called indigenous plants) can help improve the environment in several ways. North America is home to thousands of indigenous plants that over many thousands of years have evolved and adapted to specific North American habitats such as prairies, deciduous forests, deserts, or coastal plains. However, many plants existing in North America today were brought here by European and Asian immigrants. Some of the most common landscaping plants used in North America, such as zinnias (*zinnia elegans*) or Kentucky bluegrass (*poa pratensis*), are not native to areas where they now thrive.

Plants brought from their native habitat to another ecosystem are called nonnative or alien plants. For example, early colonists brought with them seeds from their homes in Europe to plant for food or use for landscaping. Likewise, some native North American plants, such as tobacco, were taken back to Europe. Many of these plants thrived in their new homes.

While new plants were being imported, increased colonization altered the character of the land in North America. Forests were felled and prairies plowed under to convert large swatches of land to farming. Some of the newly introduced plants grew better under these altered conditions than did native North American plants. Some species of nonnative plants begin to take over the habitat of native plants, forcing the native plants out. Sometimes this was intentional, as when a nonnative plant was grown for food or planted for landscaping. Other times, it was accidental, as when a nonnative plant used in landscaping escaped the garden and began to grow uncontrolled in the wild.

One way to maintain native plants is to set aside large nature preserves and protected parks where native plants can grow undisturbed. Native landscaping helps to protect plant heritage in another way, by planting native species in home gardens and landscaped areas such as the grounds around corporate headquarters, on college campuses, and in city parks. Native landscaping in many cases saves water, reduces pesticide use, and maintains unique systems of indigenous plants and animals.

Landscaping around urban and suburban homes usually employs only several dozen kinds of plants, from turf grass to evergreens for foundation plantings to decorative flowers. This typical landscape supports little variety in insect and animal life. Lawns and

evergreen hedges offer little protective cover for small mammals, and streams or ponds that provide water and a home for animals are often diverted or drained to make way for development. Gardeners may plant beds of decorative annual flowers, but these are usually not adequate for feeding bees, butterflies, and other insects that depend on nectar.

Native plants, by contrast, have evolved in complex communities. Often the land can support a greater variety of native plant species. In some cases these plant communities naturally enrich the soil to make it fertile enough to support still more species. These communities shelter a variety of animals and insects that in turn help plant species thrive by pollinating the plants and distributing their seeds. The plants and animals are tied together in ecosystems where all have evolved to live with specific natural conditions (e.g., high soil acidity, low rainfall, or high mineral content of the soil).

The number of different species of plants and animals that form an ecological community determines the biodiversity of that community. Many urban and suburban landscapes have low biodiversity, because they support only a few different species. Even landscaped lawns and gardens that support a high level of biodiversity are considered of low value by ecologists when the plants are almost entirely alien.

Native landscaping helps to develop biocommunities that improve the ecological integrity of the land. The biodiversity of the area returns more closely to its original state. This is considered a desirable goal by ecologists. Often, when native plants are grown in their natural habitat, they require fewer resources (e.g., water and fertilizer) than alien species because they have evolved to suit the natural conditions of the area. For example, the turf grass that covers most American yards evolved in the cool, rainy summers of northern Europe. Consequently, in many parts of the United States there is inadequate natural rainfall in the summer for this grass to thrive and people must water their lawns to keep the nonnative grass green and healthy. Alien flowers and nonnative ornamental trees may also be more susceptible to disease and pests. Native landscaping is a valuable way to cut back on the use of chemical pesticides and fertilizers, as well as water, because native plants in the proper site can support themselves without this supplemental care.

In some cases, plants introduced from other places have become pests, growing abundantly and choking off native growth. These plants are called invasive

plants, because they invade or take over the habitat of native plants. One example is purple loosestrife (*Lythrum salicaria*), an ornamental plant brought from Europe in the 1800s. It has grown so well in some areas of North America that it is now a significant threat to the biodiversity of wetland habitats. Alien insects that arrive on introduced plants can also threaten native plants with extinction. Native landscapers help root out invasive plants and restore the original plant communities.

The trend toward landscaping with native plants emerged in the United States in the 1980s and 1990s. Some communities (for example in the San Francisco Bay area) now have local native plant societies that hold annual sales of native plants to help the gardener interested in native landscaping. The first step toward native landscaping is usually to reduce the amount of lawn. Some gardeners have replaced traditional turf lawn with a meadow or prairie planting of native grasses. Some environments, such as deserts, do not naturally support any kind of grass. Native landscapers in these regions tend to favor drought-tolerant perennials. In dry areas, landscaping with native plants can be an important element in landscaping for water conservation (called xeriscaping).

Another move toward native landscaping is to border a turf lawn with plantings of native perennials, shrubs, and trees. Many native plants are quite ornamental, but the native landscaper may also select plants based on the kind of ecological community they support. Native planting might include shrubs that bear fruits eaten by local birds and mammals, early spring wildflowers that provide nectar for insects just emerging from winter dormancy, or nut trees to feed squirrels through the winter. A native landscape might also include flowers that will attract local butterflies. The resulting landscape may prove to be easier and cheaper to maintain than a traditional planting, need few or no pesticides or fertilizers, use less water, and provide food and habitat for a diverse animal population.

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Angela Woodward

## Natural gas

Naturally occurring gas that primarily contains methane, CH<sub>4</sub>, a fossil fuel also found with coal and petroleum, it is the hottest and cleanest burning of these fuels and is increasingly touted as a substitute for petroleum. It is, however, an explosion hazard in coal mines and an unwanted by-product of anaerobic digestion in landfills. It is most commonly found with petroleum or at depths that vaporize oil. Its use is hampered by transportation difficulties and risks, and thus it is limited to pipelines and compression tanks. Huge quantities exist as unconventional sources, including coal gasification and conversion to methanol.

Natural radiation see **Background radiation**.

## Natural resources

Natural resources, unlike man-made resources, exist independently of human labor. These resources are, however, not unlimited and must be used with care. Some natural resources are called “fund resources” because they can be exhausted through use, such as the burning of fossil fuels. Other fund resources, such as metals, can be dissipated or wasted if they are discarded instead of being reused or recycled. Some natural resources can be used up like fund resources, but they can renew themselves if they are not completely destroyed. Examples of the latter would include the soil, forests, and fisheries.

Because of population growth and a rising standard of living, the demand for natural resources is steadily increasing.

The world’s industrialized nations are consuming nonrenewable resources at an accelerating pace. The three most important causes for global environmental problems today are population growth, excessive resource consumption, and high levels of pollution. All of these threaten the natural resource base.

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Terence H. Cooper

## Natural Resources Defense Council

Founded in 1970, the Natural Resources Defense Council (NRDC) is a major national environmental organization active in most areas of concern to environmentalists and conservationists. It boasts a membership of 500,000 nationwide and had an annual budget in 2001 of nearly \$41 million. These resources are employed in the organization's quest to use science and law to create "a world in which human beings live in harmony with our environment." The NRDC seeks a sustainable society and believes that economic growth can continue only if it does not destroy the natural resources that fuel it. The NRDC's stated goals include access to pure air and water and safe food for every human being. The NRDC's philosophy is based increasingly on a belief in the inherent sanctity of the natural environment. As its mission statement proclaims, the NRDC ultimately "strives to help create a new way of life for humankind, one that can be sustained indefinitely without fouling or depleting the resources that support all life on Earth." The organization is headquartered in New York City, New York, with regional offices in Washington, DC and San Francisco and Los Angeles, California.

Generally regarded as an influential and highly effective mainstream environmental organization, the NRDC's successes have often come from its expertise in lobbying governmental officials, helping to draft environmental laws, and working with public utility officials to reduce wasteful and environmentally destructive practices. The NRDC has also made considerable use of the judicial system in defense of environmental causes and retains on its staff some of the nation's top environmental lawyers.

Among its many notable achievements, the NRDC has been instrumental in setting national standards for building and appliance efficiency. It collaborated with over a dozen states and Canadian provinces on the

reform of electric utilities and helped the Soviet Union design an electrical planning program in the late 1980s. The NRDC has helped protect dozens of national forests from abusive logging practices, including forests in the greater Yellowstone ecosystem and old-growth forests in the Sierra Nevada. In addition, the NRDC led the fight for the national phase out of lead from gasoline and lobbied intensively for passage of the 1990 Clean Air Act. It was a sponsor of the Nuclear Non-Proliferation Act and helped prove that an underground nuclear test ban treaty could be verified.

The NRDC is active in several areas, including air and energy; global warming; clean water and oceans; wildlife and fish; parks, forests, and wildlands; toxic chemicals and health; nuclear weapons and waste; cities and green living; and environmental legislation. Its ongoing projects are numerous and include the continuing protection of the Arctic National Wildlife Refuge and California coastal areas from oil drilling. The NRDC continues to monitor the policies and practices of the Environmental Protection Agency (EPA) and has convinced the EPA to strengthen its rules regarding the reporting of releases of toxic substances into the environment. It has lobbied the government to designate new funds for the protection of endangered species; to include environmental standards and controls in the North American Free Trade Agreement; to close loopholes in proposed rules for controlling acid rain; and to strengthen automobile pollution standards, as well as on many other environmental issues. In addition, the organization publishes a quarterly magazine, available online, of environmental thought and opinion, titled *OnEarth* (formerly the *Amicus Journal*).

Internationally, the NRDC seeks the eventual elimination of nuclear weapons, the protection of habitat and ecosystems in developing countries, and the worldwide phaseout of chlorofluorocarbons. It promotes alternative agricultural techniques to reduce the use of pesticides, the strengthening of regulations governing the disposal of waste in landfills, and the testing and treatment of poor children for lead poisoning. The NRDC is urging states and municipalities to stop the ocean dumping of sewage sludge and is encouraging mass transit programs as an alternative to automobiles and new highways.

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**ORGANIZATIONS**

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Natural resource accounting see **Environmental accounting.**

Natural selection see **Evolution.**

## Nature

The word "nature" stems from the Latin *natura*, whose meaning ranges from "birth" to "the order of things." In English, nature comprises all plants, animals, and ecosystems, as well as the biological and nonbiological materials and processes of the planet. This range of meaning narrows if one considers the use of the word *natural*. Something is natural if it is not artificial, if it pertains to or comes from the natural world. When one speaks of bears, mountains, or evolution and says, "They are natural," one means they are neither human nor created by humans. Thus the concept of nature is often restricted to beings, things, or processes that are not human in origin.

Conceptual and empirical inquiries into nature loosely center on eight questions. The first question is a scientific one that simply asks what constitutes nature: What are its essential properties and how shall people classify them? The second seeks purpose in nature, asking whether the earth is a designed home for humankind or an accident of cosmic history. A third question explores the effect of the natural environment on human physiology, psychology, and culture, examining whether nature determines who one is or constrains what one can do. A fourth examines the metaphors used to understand nature: Is nature an

organism subject to death or a machine of fungible parts? The fifth question studies how nature changes: Is it dynamic, changing as a result of internal processes, or static, changing in response to external human disturbances? A sixth surveys how people have transformed the natural world, and the seventh queries whether human beings and their societies are part of or separate from nature. The final question investigates whether nature has a structure of intrinsic moral values: Does nature have values or a good of its own, and if so, should human beings respect these values or promote this good?

Unfortunately, humans lack unambiguous answers to these questions, though the questions are important in themselves, for they help one clarify their assumptions about nature. Humans, for example, have emerged from the evolutionary processes of nature. Thus their thoughts and actions are certainly natural, yet their societies and technologies are unique in the natural world, and the scope of their impact on nature is without precedent in other species. It seems that while humans are part of nature biologically, they are separate from the rest of nature in their social and technological characteristics, and to declare humanity natural or nonnatural is unhelpful. The best alternative may be to accept the ambiguity of the human relationship to nature, conceiving of humankind as relatively natural and nonnatural depending on the time, place, and activity.

*See also* Bioregionalism; Deep ecology; Ecosophy; Environmental ethics; Environmentalism; Humanism; Speciesism.

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William S. Lynn

## Nature Conservancy

The Nature Conservancy is America's fourth-largest environmental organization, with one million members and net assets of over \$5.5 billion at the close of the 2009 fiscal year. It owns or helps manage over 1,400 nature preserves worldwide, covering more than 12 million acres (4.8 million ha) (2 million acres [809,000 ha] of which is owned outright by the organization) in the United States alone. It is the largest private system of nature sanctuaries in the world, helping like-minded partner organizations to preserve more than eighty million acres (3.2 million ha) (7 million acres [2.8 million ha] of which the Nature Conservancy manages directly) in the Asia Pacific, Canada, the Caribbean, and Latin America.

The organization is dedicated to protecting nature and its animal inhabitants by preserving their habitats. This is accomplished with a strategic, science-based planning process, called Conservation by Design. Conservation by Design helps the Nature Conservancy identify the highest-priority places (landscapes and seascapes) that, if conserved, promise to ensure biodiversity (the variety of life that can be found on earth—plants, animals, fungi, and microorganisms—as well as to the communities that they form and the habitats in which they live) over the long term. Conservation is achieved by the organization through buying and managing ecologically important areas; negotiating agreements to manage areas without holding title to them, through partnerships or conservation easements; offering training to partner organizations and government agencies that manage land; educating people who live in ecologically sensitive areas about the importance of biodiversity and helping them to live in better harmony with the natural environment; working with resource-based industries to alter their business practices to have less negative environmental impact; and helping government agencies work together and better allocate public resources toward conservation.

The organization was incorporated in 1951 by American Richard Pough, who had been inspired by the British government's nature preserves of the same name. Unlike the English version, Pough's organization was funded privately. The Nature Conservancy's early years were difficult financially, but Pough eventually secured two major endowments that made the society solvent: a \$100,000 donation from DeWitt Wallace, co-owner of *Readers Digest*, and a \$55-million bequest from Minnesota Mining heiress Katharine Ordway. Most of the latter money went for land purchases. Subsequent purchases have acquired millions of acres, although some tracts are later traded or sold to the government, individuals, or other environmental organizations.

One example of the Nature Conservancy's international programs is the *Debt for Nature Swaps* that have been contracted in Central America. These allow the Nature Conservancy, in conjunction with the Department of Defense, to conduct ecological inventories and supervise over 25 million acres (10 million ha). Other projects, such as Parks in Peril, have given the Nature Conservancy charge of over 28 million acres (11.3 million ha) in Latin America and the Caribbean. In the United States, a partnership with Ducks Unlimited restored riparian forests and wetlands in several states. A three-year program called the Rivers of the Rockies, spent \$5 million to preserve twenty sites along Colorado rivers and tributaries. Similar projects are under way in other states as well.

The Nature Conservancy cooperates with federal land and wildlife agencies, as well as other environmental associations, and is instrumental in helping to identify land of critical environmental interest. In addition to its local and foreign activities in land preservation, the Nature Conservancy identifies and protects animal life, especially the "rarest of the rare," those species most in need of preservation.

The Nature Conservancy's mission statement is "to preserve the plants, animals and natural communities that represent the diversity of life on Earth by protecting the lands and waters they need to survive." The Nature Conservancy attempts to live up to the spirit of its mission statement with bold, innovative projects such as its "Plant a Billion Trees Campaign," which was launched in 2008. The goal is to restore some 2.5 million acres (1 million ha) of land, and to plant one billion trees by 2015 in the Atlantic Forest area of Brazil. Each dollar donated to the campaign purchases one tree planting in Brazil's Atlantic Forest.

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#### ORGANIZATIONS

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## Nearing, Scott

1883–1983

American conservationist

A prolific and iconoclastic writer, a socialist, and a conservationist, Scott Nearing—along with his wife Helen—is now considered the “great-grandparent” of the back-to-the-land movement. Nearing was born August 6, 1883, in Morris Run, Pennsylvania. He is the author of nearly fifty books and thousands of pamphlets and articles, some of which have become classics of modern environmentalism.

Originally a law student at the University of Pennsylvania, Nearing received his BS from that university in 1905 and a PhD in economics in 1909. He was the secretary of the Pennsylvania Child Labor Commission from 1905 to 1907. He taught economics at the Wharton School, at Swarthmore College, and at the University of Toledo in Ohio. In 1915, he was dismissed from his position at the Wharton School for his politics, particularly his position on child labor. A pacifist, he was arrested during World War I and charged with obstructing recruitment for the armed forces. He was a Socialist candidate for U.S. Congress in 1919. His socialism, his pacifism, and his politics in general made it increasingly difficult for him to find and maintain teaching positions after 1917, and he supported himself for the rest of his life by writing, lecturing, and farming.

In 1932, Nearing and his wife bought a small, dilapidated farm in Vermont, hoping, in their words, “to live sanely and simply in a troubled world.” They restored the land and removed or rebuilt the buildings there. They farmed organically and without machinery, feeding themselves from their garden, and making and selling maple sugar. They wrote about their experiences in *The Maple Sugar Book* (1950) and *Living the Good Life* (1954). Their small farm became a mecca for people seeking both to simplify their lives and to live in harmony with nature, as well as each other.

In his 1972 autobiography, *The Making of a Radical*, Nearing wrote that the attempt “to live simply and inexpensively in an affluent society dedicated to extravagance and waste” was the most difficult project he had ever undertaken, but also the most physically and spiritually rewarding. He defined the good life he was striving toward as life stripped to its essentials—a life devoted to labor and learning, to doing no harm to humans or animals, and to respecting the land. As he wrote in his autobiography, he tried to live by three

basic principles: “To learn the truth, to teach the truth, and to help build the truth into the life of the community.”

Confronted by the growth of the recreational industry in Vermont during the 1950s, particularly the construction of a ski resort near them, the Nearings sold their property and moved their farm to Harborside, Maine, where Scott Nearing died on August 24, 1983, just over two weeks after his one-hundredth birthday.

Reviled as a radical for most of his life, Nearing’s critique of the wastefulness of modern consumer society, his emphasis on smallness, self-reliance, and the restoration of ravaged land, as well as his vegetarianism, have struck a responsive chord in many Americans since the late 1960s. He is considered by some as the representative of twentieth-century American counterculture, and he has inspired activists throughout the environmental movement, from the editors of *The Whole Earth Catalog* and the founders of the first Earth Day to proponents of appropriate technology. He was made an honorary professor emeritus of economics at the Wharton School in 1973.

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Terence Ball

## Nekton

Nekton are aquatic animals that swim or move freely in the water. Their movement is generally not controlled by waves and currents. Nekton include fish, squid, marine mammals, and marine reptiles. They live in the sea, lakes, rivers, ponds, and other bodies of water.

Fish are a major segment of the nektonic animals, with approximately 14,500 kinds of fish living in the ocean. Many nekton live near the ocean surface because food is abundant there. Other nekton live in the deep ocean.

Most nekton are chordates, animals with bones or cartilage. This category of nekton includes whales, sharks, bony fish, turtles, snakes, eels, dolphins, porpoises, and seals.

Molluscan nekton such as squid and octopus are invertebrates, animals with no bones. Squid, octopus, clams, and oysters are mollusks. However, molluscan nekton have no outer shells.

Molluscan nekton such as squid and octopus are invertebrates, animals with no bones. Squid, octopus, clams, and oysters are mollusks. However, molluscan nekton have no outer shells.

Arthropod nekton are invertebrates such as shrimp. Many arthropod live on the ocean floor.

Most nektonic mammals live only in water. However, walrus, seals, and sea otters can exist on land for a time. Other nekton mammals include manatees and dugongs, whale-like animals that live in the Indian Ocean.

Liz Swain

Nematicide see **Pesticide**.

## Neoplasm

Neoplasms are both benign and malignant tumors or cancers. A neoplasm is a mass of new cells, which proliferate without control. This lack of control or contact inhibition is particularly marked in malignant tumors (cancer). The major difference between a benign and malignant tumor is that the former generally remains at its site of origin, whereas the latter acquires the ability to induce tumor formation in other organs or systems, or by invading and colonizing other tissues and organs by a process known as metastasis.

## Neotropical migrants

Birds that migrate each year between the American tropics and higher latitudes, especially in North America, are known as neotropical migrants. So called because they migrate from the tropics of the “new world” (the Western hemisphere), neotropical migrants are a topic of concern because many species have been declining in recent years, and because they are vulnerable to habitat destruction and other hazards in both winter and summer ranges. One survey of sixty-two forest-dwelling migrant

bird species found that forty-four declined significantly from 1978 to 1987. Migrants’ dependence on nesting and feeding habitat in multiple regions, usually in different countries, makes conservation difficult because habitat protection often requires cooperation between multiple countries. In addition, it is often unclear whose fault it is when populations fall. Most of the outcry over neotropical migrants has been raised in the United States and Canada, where summer bird populations have thinned noticeably in recent decades. Conservationists in northern latitudes tend to attribute bird disappearances to the destruction of tropical forests and other winter habitat. In response, governments in Mexico, Central America, and South America argue that loss of suitable summer nesting habitat, as well as summer feeding and shelter requirements, are responsible for the decline of migratory birds.

About 250 species of birds breed in North America and winter in the South. In the southern and western United States 50-60 percent of breeding birds are migrants, a number that rises to 80 percent in southern Canada and to 90 percent in the Canadian subarctic. Among the familiar migrants are species of orioles, hummingbirds, sandpipers and other wading birds, herons and bitterns, flycatchers, swallows, and almost all warblers. Half of these winter in Mexico, the Bahamas, and the Greater Antilles islands. Some thirty species migrate as far south as the western Amazon Basin and the foothills of the Andes Mountains, where forest clearance poses a significant threat. Species most vulnerable to habitat loss may be those that require large areas of continuous habitat, especially the small woodland and aquatic birds whose wetland habitats are being drained, filled, or contaminated in both summer and winter ranges.

In addition to habitat loss, neotropical migrants suffer from agricultural pesticides, which poison both food sources and the birds themselves. The use of pesticides, including such persistent chemicals as dichlorodiphenyl-trichloroethane (DDT), has risen in Latin America during the same period that logging has decimated forest habitat. Furthermore, some ecologists argue that migrants are especially vulnerable in their winter habitat because winter ranges tend to be geographically more restricted than summer ranges. Sometimes an entire population winters in just a few islands, swamps, or bays. Any habitat damage, pesticide use, or predation could impact a large part of the population if the birds concentrate in a small area.

Population declines are by no means limited to loss of wintering grounds. An estimated 40 percent of North America’s eastern deciduous forests, the primary breeding grounds of many migrants, have either been cleared or fragmented. “Habitat fragmentation” is the term

used to describe breaking up a patch of habitat into small, dispersed units, or dissecting a patch of habitat with roads or suburban developments. This problem is especially severe on the outskirts of urban areas, where suburbs continue to cut into the surrounding countryside. For reasons not fully understood, neotropical migrants appear to be more susceptible to habitat fragmentation than short-distance migrants or species that remain in residence year-round. Hazards of fragmentation include nesting failure because of predation (often by raccoons, snakes, crows, or jays), nesting failure because of competition with human-adapted species such as starlings and English sparrows, and mortality because of domestic house cats. (An Australian study of house cat predation estimated that 500,000 cats in the state of Victoria had killed thirteen million small birds and mammals, including sixty-seven different native bird species.) In addition to hazards in their summer and winter ranges, threats along migration routes can impact migratory bird populations. Loss of stopover wetlands, forests, and grasslands can reduce food sources and protective cover along migration routes. Sometimes birds are even forced to find alternative migration paths.

European-African migrants suffer similar fates and risks to those of American migrants. In addition to wetland drainage, habitat fragmentation, and increased pesticide use, Europeans and Africans also continue to hunt their migratory birds, a hazard that probably impacts American migrants but that is little documented here. It is estimated that in Italy alone, some fifty million songbirds are killed each year as epicurean delicacies. Hunting is also practiced in Spain and France, as well as in African countries where people truly may be short of food.

One of the principal sources of data on bird population changes is the North American breeding bird survey (BBS), an annual survey conducted by volunteers who traverse approximately 3,000 established transects each year and report the number of breeding birds observed. The BBS is jointly sponsored by the Canadian Wildlife Service and a division of the U.S. geological survey (USGS). The latest survey results available through the USGS (as of October 2010) cover the years 1966 through 2007. While this record is by no means complete, it provides the best available approximation of general trends. Not all birds are disappearing. Some have even increased slightly, as a consequence of reduced exposure to DDT, a pesticide outlawed in the United States because it poisons birds, and because of habitat restoration efforts. However the BBS has documented significant and troubling declines in dozens of species.

Declines in migrant bird populations alarm many people because birds are often seen as indicators of more general ecosystem health. Birds are highly visible and their disappearance is noticeable, but they may indicate the simultaneous declines of insects, amphibians, fish, and other less visible groups using the same habitat areas.

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Mary Ann Cunningham

## Neritic zone

The portion of the marine ecosystem that overlies the world's continental shelves. This subdivision of the pelagic zone includes some of the ocean's most productive water. This productivity supports a food chain culminating in an abundance of commercially important fish and shellfish and is largely a consequence of abundant sunlight and nutrients. In the shallow water of the neritic zone, the entire water column may receive sufficient sunlight for photosynthesis. Nutrients (and pollutants) are delivered to the area by terrestrial runoff and through resuspension from the bottom of the ocean by waves and currents.

## Neurotoxin

Neurotoxins are a special class of metabolic poisons that attack nerve cells. Disruption of the nervous system as a result of exposure to neurotoxins is usually quick and destructive. Neurotoxins are

categorized according to the nature of their impact on the nervous system. Anesthetics (ether, chloroform, halothane), chlorinated hydrocarbons (dichlorodiphenyltrichloroethane [DDT], Dieldrin, Aldrin), and heavy metals (lead, mercury) disrupt the ion transport across cell membranes essential for nerve action. Common pesticides, including carbamates such as Sevin, Zeneb, and Maneb and the organophosphates such as Malathion and Parathion, inhibit acetylcholinesterase, an enzyme that regulates nerve signal transmission between nerve cells and the organs and tissues they innervate.

Environmental exposure to neurotoxins can occur through a variety of mechanisms. These include improper use, improper storage or disposal, occupational use, and accidental spills during distribution or application. Because the identification and ramifications of all neurotoxins are not fully known, there is risk of exposure associated with this lack of knowledge.

Cell damage associated with the introduction of neurotoxins occurs through direct contact with the chemical or a loss of oxygen to the cell. This results in damage to cellular components, especially in those required for the synthesis of protein and other cell components.

The symptoms associated with pesticide poisoning include eye and skin irritation, miosis, blurred vision, headache, anorexia, nausea, vomiting, increased sweating, increased salivation, diarrhea, abdominal pain, slight bradycardia, ataxia, muscle weakness and twitching, and generalized weakness of respiratory muscles. Symptoms associated with poisoning of the central nervous system include giddiness, anxiety, insomnia, drowsiness, difficulty concentrating, poor recall, confusion, slurred speech, convulsions, coma with the absence of reflexes, depression of respiratory and circulatory centers, and fall in blood pressure.

The link between environmental neurotoxin exposure and neuromuscular and brain dysfunction has recently been identified. Physiological symptoms of Alzheimer's disease, amyotrophic lateral sclerosis (ALS) or Lou Gehrig's disease, and lathyrism have been identified in populations exposed to substances containing known neurotoxins. For example studies have shown that heroin addicts who used synthetic heroin contaminated with methylphenyltetrahydropyridine developed a condition that manifests symptoms identical to those associated with Parkinson's disease. On the island of Guam, the natives who incorporate the seeds of the false sago plant (*cycas circinalis*) into their diet develop a condition very similar to ALS. The development of this condition has been associated with the specific nonprotein amino acid, B methylamino-1-alanine, present in the seeds.

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Brian R. Barthel

## Neutron

A subatomic particle with a mass of a proton and no electric charge. When a neutron strikes certain nuclei, such as that of uranium-235, it fissions those nuclei, producing additional neutrons in the process. The chain reaction thus initiated is the basis for nuclear weapons and nuclear power. Because they have no electric charge, neutrons easily penetrate matter, including human tissue. They can constitute, therefore, a serious health hazard.

*See also* Nuclear fission; Radioactivity.

## Nevada Test Site

The Nevada Test Site (NTS)—now called the Nevada National Security Site (N2S2)—is one of two locations (the South Pacific being the other) at which the United States has conducted the majority of its nuclear weapons tests. The site was chosen for weapons testing in December 1950 by President Harry S. Truman (1884–1972) and originally named the Nevada Proving Ground. The first test of a nuclear weapon was carried out at the site in January 1951, when a B-50 bomber dropped a bomb for the first of five tests in "Operation Ranger."

The NTS is located 65 miles (105 km) northwest of Las Vegas. It occupies 1,350 square miles (3,497 km<sup>2</sup>),



**Aerial view of preparations for an underground atomic bomb test in Nevada, USA. A rack containing detectors which will be placed underground has been assembled in the tower at right.** (U.S. Department of Energy/Photo Researchers, Inc.)

an area slightly larger than the state of Rhode Island. Nellis Air Force Base and the Tonopah Test Range surround the site on three sides.

Over 3,500 people are employed by NTS, 1,500 of whom work on the site itself. The site's annual budget is about \$450 million, a large percentage of which goes toward weapons testing and a small percentage that is spent on the radioactive waste storage facility at nearby Yucca Mountain.

The first nuclear tests at the site were conducted over an area known as Frenchman Flat. Between 1951 and 1962, fourteen atmospheric tests were carried out in this area to determine the effect of nuclear explosions on structures and military targets. Ten underground tests were also conducted at Frenchman Flat between 1965 and 1971. According to the U.S. National Nuclear Security Administration, over 900 detonations occurred at the installation from 1951 to 1992.

Since 1971, most underground tests at the site have been conducted in the area known as Yucca Flat. These tests are usually carried out in wells 10 feet (3 m) in diameter and 600 feet (182 m) to 1 mile (1.6 km) in depth. On average, about twelve tests per year are carried out at NTS.

Some individuals have long been concerned about possible environmental effects of the testing carried out at NTS. During the period of atmospheric testing, those effects (radioactive fallout, for example) were relatively easy to observe. But the environmental consequences of underground testing have been more difficult to determine.

One such consequence is the production of earthquakes, an event observed in 1968 when a test code named "Faultless" produced a fault with a vertical displacement of 15 feet (5 m). Such events are rare, however, and of less concern than the release of radioactive materials into groundwater and the escape of radioactive gases through venting from the test well.

In 1989, the Office of Technology Assessment (OTA) of the U.S. Congress carried out a study of the possible environmental effects from underground testing. The OTA concluded that the risks to humans from underground testing at NTS are very low indeed. It found that, in the first place, there is essentially no possibility that any release of radioactive material could go undetected. The OTA also calculated that the total amount of radiation a person would have received by standing at the NTS boundary for every underground test conducted at the site so far would be about equal to one-thousandth of a single chest X-ray or equivalent to thirty-two minutes of additional exposure to natural background radiation in a person's lifetime.

The National Nuclear Security Administration (NNSA) changed the name of the Nevada Test Site to the Nevada National Security Site in 2010 because of its primary mission in the future of training rather than of testing nuclear weapons, as in the past. The NNSA administrator Thomas D'Agostino stated that the installation is used by numerous federal agencies to train personnel and to test weapon technologies. As of 2010, explosive tests of nuclear weapons are not conducted at the facility; however, subcritical testing is still conducted at the N2S2 to verify the health of the U.S. nuclear arsenal. In addition, the storage of low-level radioactive waste is still provided at the site.

National Security Technologies (NSTs), a joint venture of Northrop Grumman; the Architecture, Engineering, Consulting, Operations, and Management (AECOM) technology corporation; CH2M Hill companies; and Nuclear Fuel Services operate the facility for the federal government, as of 2006.

*See also* Groundwater pollution; Hazardous waste; Nuclear fission; Radiation exposure; Radioactive decay; Radioactivity.

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David E. Newton

## New Madrid, Missouri

The most powerful earthquake in the continental United States occurred in the middle of the country near New Madrid, Missouri. Between December 16, 1811, and February 7, 1812, about 2,000 tremors shook southeastern Missouri and adjacent parts of Arkansas, Illinois, and Tennessee. The largest of these earthquakes is thought to have had a magnitude of 8.8 on the Richter scale, making it one of the most massive ever recorded.

Witnesses reported shocks so violent that trees 6 feet (2 meters) thick were snapped like matchsticks. More than 150,000 acres (60,000 ha) of forest were flattened. Fissures several yards wide and many miles long split the earth. Geysers of dry sand or muddy water spouted into the air. A trough 150 miles (242 km) long, 40 miles (64 km) wide, and up to 30 feet (9 m) deep formed along the fault line. The town of New Madrid sank about 12 feet (4 m). The Mississippi River reversed its course and flowed north rather than south past New Madrid for several hours. Many people feared that it was the end of the world.

One of the most bizarre effects of the tremors was soil liquefaction. Soil with high water content was converted instantly to liquid mud. Buildings tipped over, hills slid into the valleys, and animals sank as if caught in quicksand. Land surrounding a hamlet called Little Prairie suddenly became a soupy swamp. Residents had to wade for miles through hip-deep mud to reach solid ground. The swamp was not drained for nearly a century.

Some villages were flattened by the earthquake, whereas others were flooded when the river filled in subsided areas. The tremors rang bells in Washington, DC, and shook residents out of bed in Cincinnati, Ohio. Because the country was sparsely populated in 1812, however, few people were killed.

The situation is much different in early twenty-first century, of course. The damage from an earthquake of that magnitude would be calamitous. Much of Memphis, Tennessee, only about 100 miles (160 km) from New Madrid, is built on land similar to that in the Mission District of San Francisco, California, where so much damage occurred in the earthquake of 1990. St. Louis, Missouri, had only 2,000 residents in 1812; nearly one-half million live there now. Scores of smaller cities and towns lie along the fault line and transcontinental highways and pipelines cross the area. Few residents have been aware of earthquake dangers or how to protect themselves. Midwestern buildings generally are not designed to survive tremors.

Anxiety about earthquakes in the Midwest was aroused in 1990 when climatologist Iben Browning predicted a fifty-fifty chance of an earthquake 7.0 or higher on or around December 3, in or near New Madrid. Browning based his prediction on calculations of planetary motion and gravitational forces. Many geologists were quick to dismiss these techniques, pointing out that seismic and geochemical analyses predict earthquakes much more accurately than the methods he used. The probability of a major tremor on the faultline remains high.

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William P. Cunningham

## New Source Performance Standard

The Clean Air acts of 1963 and 1967 gave to the Environmental Protection Agency (EPA) the authority to establish emission standards for new and modified stationary sources. These standards are called new source performance standards (NSPS) and are determined by the best emission control technology available, the energy needed to use the technology, and its overall cost.

## New York Bight

A bight is a coastal embayment usually formed by a curved shoreline. The New York Bight forms part of the Middle Atlantic Bight, which runs along the east coast of the United States. The dimensions of the New York Bight are roughly square, encompassing an area that extends out from the New York-New Jersey shore to the eastern limit of Long Island and down to the southern tip of New Jersey. The apex of the bight, as it is known, is the northwestern corner, which includes the Hudson River estuary, the Passaic and Hackensack River estuaries, Newark Bay, Arthur Kill, Upper Bay, Lower Bay, and Raritan Bay.

The New York Bight contains a valuable and diverse ecosystem. The waters of the bight vary from relatively fresh near the shore to brackish and salty as one moves eastward, and the range of salinity, along with the islands and shore areas present within the area, have created a diversity of environmental conditions and habitats, which include marshes, woods, and beaches, as well as highly developed urban areas. The portion of the bight near the shore lies directly in the path of one of the major transcontinental migratory pathways for birds, the North Atlantic flyway.

The New York Bight has a history of extremely intensive use by humans, especially at the apex, and here environmental impacts have been most severe. Beginning with the settlement of New York City in the 1600s, the bight area has supported one of the world's busiest

harbors and largest cities. It receives more than 2 billion gallons (7,570,000 l) per day of domestic sewage and industrial wastewater. Millions of gallons of nonpoint source runoff also pours into the bight during storms; regulated ocean dumping of dredge spoils also occurs.

Numerous studies have shown that the sediments of the bight, particularly at the apex, have been contaminated. Levels of heavy metals such as lead, cadmium, and copper in the sediments of the apex are of special concern because they far exceed current guidelines on acceptable concentrations. Similarly, organic pollutants such as polychlorinated biphenyls (PCBs) from transformer oil and polycyclic aromatic hydrocarbons (PAHs) degraded from petroleum compounds are also in the sediments at levels high enough to be of concern. Additionally, the sewage brings enormous quantities of nitrogen and phosphorus into the bight, which promotes excessive growth of algae.

The continual polluting of the bight since the early days of settlement has progressively reduced its capacity as a food source for the surrounding communities. The oyster and shellfishing industry that thrived in the early 1800s began declining in the 1870s, and government advisories, as of 2010, prohibit shellfishing in the waters of the bight because of the high concentrations of contaminants that have accumulated in shellfish. Fishing is highly regulated throughout the area, and health advisories have been issued for consumption of fish caught in the bight. The bottom-dwelling worms and insect larvae in the sediments of the apex consist almost entirely of species that are extremely tolerant of pollution; sensitive species are absent and biodiversity is low.

There are, however, some reasons to be optimistic. The areas within the bight closest to the open ocean are much cleaner than the highly degraded apex. In these less-impacted areas, the bottom-dwelling communities have higher species diversity and include species that prefer unimpacted conditions. Since the 1970s, enforcement of the Clean Water Act has helped greatly in reducing the quantities of untreated wastewater entering the bight. Some fish species that had been almost eliminated from the area have returned, and today striped bass again swim up the Hudson River to spawn.

*See also* Algal bloom; Environmental stress; Marine pollution; Pollution control; Sewage treatment; Storm runoff.

Usha Vedagiri

NGO see **Nongovernmental organization.**

## Niche

The term “niche” is used in ecology with a variety of distinct meanings. It may refer to a spatial unit or to a function unit. One definition focuses on niche as a role claimed exclusively by a species through competition. The word is also used to refer to “utilization distribution” or the frequency with which populations use resources. Still, the term niche is well enough established in ecology that Stephen Jay Gould labeled it as “the fundamental concept” in the discipline, “an expression of the location and function of a species in a habitat.” Niche is used to address such questions as what determines the species diversity of a biological community, how similar organisms coexist in an area, how species divide up the resources of an environment, and how species within a community affect each other over time.

Niche has not been applied very satisfactorily in the ecological study of humans. Anthropologists have used it perhaps most successfully in the study of how small preindustrial tribal groups adapt to local conditions. Some sociologists have not been very successful with niche, subdividing the human species by occupations or roles, and creating false analogies that do not come very close to the way niche is used in biology. More recently, sociologists have extended niche to help explain organizational behavior, though some think this should not be viewed as an ecological concept.

Some attempts were made to build on the vernacular sense of niche as in “he found his niche,” a measure of how individual human beings attain multidimensional “fit” with their surroundings. But this usage was again criticized as too much of a distortion of the original meaning of niche in biology. The word and related concepts remain common, however, and are widely understood in vernacular usage to describe how individual human beings make their way in the world.

The niche concept has not been much employed by environmental scientists, though it might be helpful in attempts to understand the relationships between humans and their environments, for instance. Efforts to formulate niche or a synonym of some sort for use in the study of such relationships will probably continue. The best use of the term niche might be in its utility as an indicator of the richness and diversity of habitat, serving in this way as an indicator of the general health of the environment.

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## Nickel

Nickel is classified as heavy metal and can be a toxic chemical in the environment. Natural pollution by nickel is associated with soils that have a significant presence of a mineral known as serpentine. Serpentine-laced soils are toxic to nonadapted plants, and although the most significant toxic stressor is a large concentration of nickel, sometimes the presence of cobalt or chromium, along with high pH and an impoverished supply of nutrients also create a toxic environment. Serpentine sites often have a specialized flora dominated by nickel-tolerant species, many of which are endemic to such sites. Nickel pollution can occur through human influence as well—most often in the vicinity of nickel smelters or refineries.

*See also* Heavy metals and heavy metal poisoning.

Nickel mining see **Sudbury, Ontario**.

NIMBY see **Not In My Backyard**.

NIOSH see **National Institute for Occupational Safety and Health**.

## Nitrates and nitrites

Nitrates and nitrites are families of chemical compounds containing atoms of nitrogen and oxygen. Occurring naturally, nitrates and nitrites are critical to the continuation of life on the earth because they are one of the main sources from which plants obtain nitrogen. This element is required for the production of amino acids, which in turn are used in the manufacturing of proteins in both plants and animals.

One of the great transformations of agriculture over the past century has been the expanded use of synthetic chemical fertilizers. Ammonium nitrate is one of the most important of these fertilizers. In recent years, this compound has ranked in the top fifteen

among synthetic chemicals produced in the United States.

The increased use of nitrates as fertilizer has led to some serious environmental problems. All nitrates are soluble, so whatever amount is not taken up by plants in a field is washed away into groundwater and, eventually, into rivers, streams, ponds, and lakes. In these bodies of water, the nitrates become sources of food for algae and other plant life, resulting in the formation of algal blooms. Such blooms are usually the first step in the eutrophication of a pond or lake. As a result of eutrophication, a pond or lake slowly evolves into a marsh or swamp, then into a bog, and finally into a meadow.

Nitrates and nitrites present a second, quite different kind of environmental issue. These compounds have long been used in the preservation of red meats. They are attractive to industry not only because they protect meat from spoiling, but also because they give meat the bright red color that consumers expect.

The use of nitrates and nitrites in meats has been the subject of controversy, however, for at least twenty years. Some critics argue that the compounds are not really effective as preservatives. They claim that preservation is really affected by the table salt that is usually used along with nitrates and nitrites. Furthermore, some scientists believe that nitrates and nitrites may themselves be carcinogens or may be converted in the body to a class of compounds known as the nitrosamines, compounds that are known to be carcinogens.

In the 1970s, the Food and Drug Administration (FDA) responded to these concerns by dramatically cutting back on the quantity of nitrates and nitrites that could be added to foods. By 1981, however, a thorough study of the issue by the National Academy of Sciences showed that nitrates and nitrites are only a minor source of nitrosamine compared to smoking, drinking water, cosmetics, and industrial chemicals. Based on this study, the FDA finally decided in January 1983 that nitrates and nitrites are safe to use in foods.

*See also* Agricultural revolution; Cancer; Cigarette smoke; Denitrification; Drinking-water supply; Nitrification; Nitrogen cycle; Nitrogen waste.

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David E. Newton

## Nitrification

A biological process involving the conversion of nitrogen-containing organic compounds into nitrates and nitrites. It is accomplished by two groups of chemo-synthetic bacteria that utilize the energy produced. The first step involves the oxidation of ammonia to nitrite, and is accomplished by *Nitrosomas* in the soil and *Nitrosoccus* in the marine environment. The second step involves the oxidation of nitrites into nitrates, releasing 18,000 calories (18 kcal) of energy. It is accomplished by *Nitrobacter* in the soil and *Nitrococcus* in salt water. Nitrification is an integral part of the nitrogen cycle, and is usually considered a beneficial process because it converts organic nitrogen compounds into nitrates that can be absorbed by green plants. The reverse process of nitrification, occurring in oxygen-deprived environments, is called denitrification and is accomplished by other species of bacteria.

Nitrites *see* **Nitrates and nitrites**.

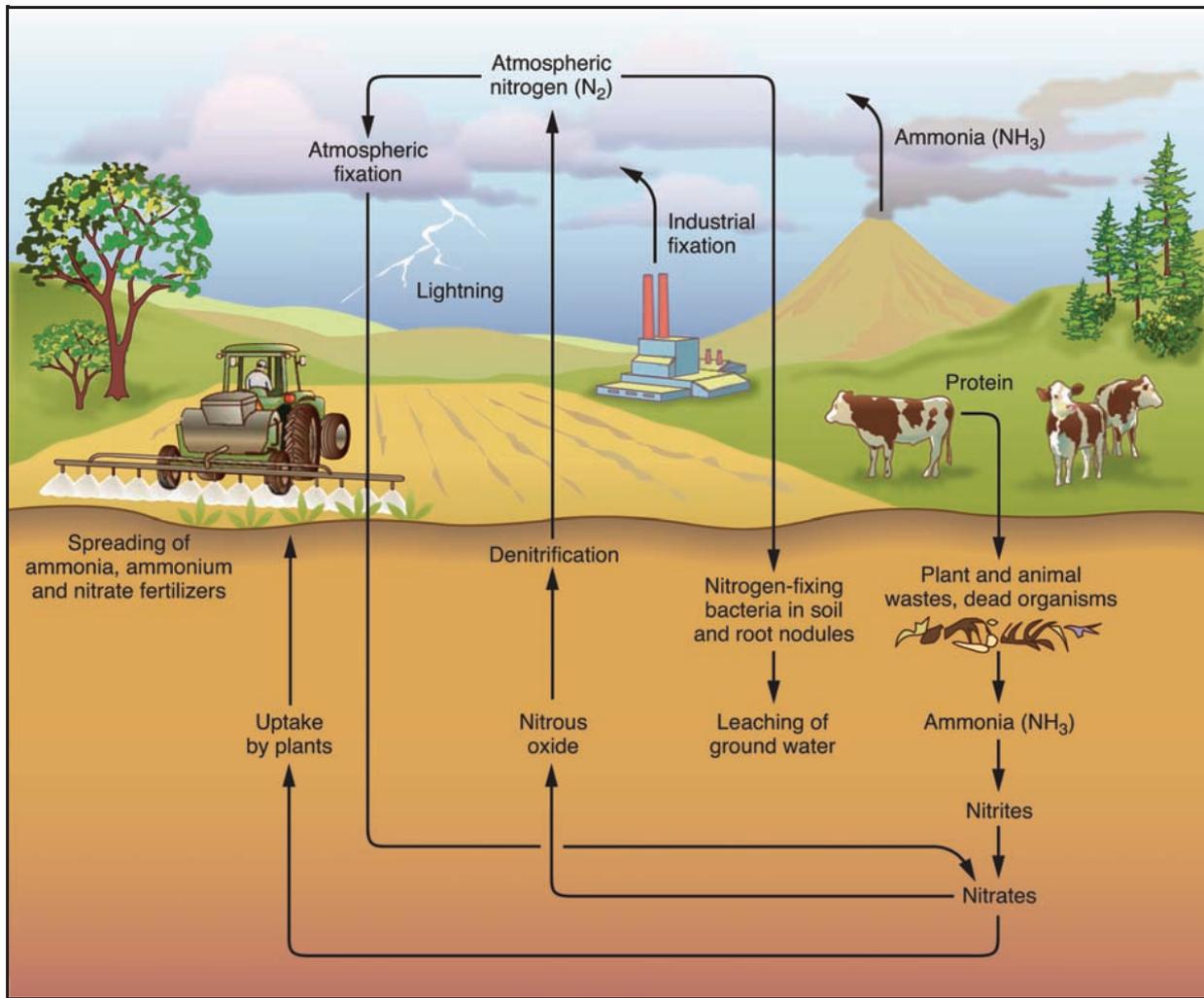
## Nitrogen

Comprising about 78 percent of the earth's atmosphere, nitrogen ( $N_2$ ) has an atomic number of seven and an atomic weight of fourteen. It has a much lower solubility in water than in air—there is approximately 200 times more nitrogen in the atmosphere than in the ocean. The main source of gaseous nitrogen is volcanic eruption; the major nitrogen sinks are synthesis of nitrate in electrical storms and biological nitrogen fixation. All organisms need nitrogen. It forms part of the chlorophyll molecule in plants, it forms the nitrogen base in deoxyribonucleic acid (DNA) and ribonucleic acid (RNA), and it is an essential part of all amino acids, the building blocks of proteins. Nitrogen is needed in large amounts for respiration, growth, and reproduction. Nitrogen oxides, produced mainly by motor vehicles and internal combustion engines, are one of the main contributors to acid rain. They react with water molecules in the atmosphere to form nitric acid.

*See also* Nitrates and nitrites; Nitrogen cycle.

## Nitrogen cycle

Nitrogen is a macronutrient essential to all living organisms. It is an integral component of amino acids, which are the building blocks of proteins; it



**The nitrogen cycle.** (Reproduced by permission of Gale, a part of Cengage Learning)

forms part of the nitrogenous bases common to deoxyribonucleic acid (DNA) and ribonucleic acid (RNA); it helps make up adenosine triphosphate (ATP), which is a major energy-transport carrier within human cells. Nitrogen also is a major component of the chlorophyll molecule in plants. In essence, life as humans know it cannot exist without nitrogen.

Although nitrogen is readily abundant as a gas (it comprises 79 percent of the earth's atmospheric gases by volume), most organisms cannot use it in this state. It must be converted to a chemically usable form such as ammonia ( $NH_3$ ) or nitrate ( $NO_3$ ) for most plants, and amino acids for all animals. The processes involved in the conversion of nitrogen to its various forms comprise the nitrogen cycle. Of all the nutrient cycles, this is considered the most complex and least well-understood scientifically. The processes that make up the nitrogen

cycle include nitrogen fixation, ammonification, nitrification, and denitrification.

Nitrogen fixation refers to the conversion of atmospheric nitrogen gas ( $N_2$ ) to ammonia ( $NH_3$ ) or nitrate ( $NO_3$ ). The latter is formed when lightning or sometimes cosmic radiation causes oxygen and nitrogen to react in the atmosphere. Farmers are usually delighted when electrical storms move through their areas because it supplies "free" nitrogen to their crops, thus saving money on fertilizer. Ammonia is produced from  $N_2$  by a special group of microbes in a process called biological fixation, which accounts for about 90 percent of all fixed  $N_2$  each year worldwide. This process is accomplished by a relatively small number of species of bacteria and blue-green algae, or blue-green bacteria. The most well-known of these nitrogen-fixing organisms are the bacteria in the genus *Rhizobium*, which are

associated with the root nodules of legumes. The legumes attract these bacteria by secreting a chemical into the soil that stimulates the bacteria to multiply and enter the root hair tips. The resultant swellings contain millions of bacteria and are called root nodules, and here, near the soil's surface, they actively convert atmospheric  $N_2$  to  $NH_3$ , which is taken up by the plant. This is an example of a symbiotic relationship, where both organisms benefit. The bacteria benefit from the physical location in which to grow, and they also utilize sugars supplied by the plant photosynthate to reduce the  $N_2$  to  $NH_3$ . The legumes, in turn, benefit from the  $NH_3$  produced. The energetic cost for this nutrient is quite high, however, and legumes typically take their nitrogen directly from the soil rather than from their bacteria when the soil is fertilized.

Although nitrogen fixation by legume bacteria is the major source of biological fixation, other species of bacteria are also involved in this process. Some are associated with nonlegume plants such as toyon (*Ceanothus*), silverberry (*Elaeagnus*), water fern (*Azolla*), and alder (*Alnus*). With the exception of the water fern, these plants are typically pioneer species growing in low-nitrogen soil. Other nitrogen-fixing bacteria live as free-living species in the soil. These include microbes in the genera *Azotobacter* and *Clostridium*, which live in aerobic and anaerobic sediments, respectively.

Blue-green algae are the other major group of living organisms that fix atmospheric  $N_2$ . They include approximately forty species in such genera as *Aphanizomenon*, *Anabaena*, *Calothrix*, *Gloeotrichia*, and *Nostoc*. They inhabit both soil and freshwater and can tolerate adverse and even extreme conditions. For example, some species grow in hot springs where the water is 212°Fahrenheit (100°C), whereas other species inhabit glaciers where the temperature is 32°Fahrenheit (0°C). The characteristic bluish-green coloration is a telltale sign of their presence. Some blue-green algae are found as pioneer species invading barren soil devoid of nutrients, particularly nitrogen, either as solitary individuals or associated with other organisms such as lichens. Flooded rice fields are another prime location for nitrogen-fixing, blue-green algae.

Perhaps the most common environments where blue-green algae are found are lakes and ponds, particularly when the body of water is eutrophic—containing high concentrations of nutrients, especially phosphorus. Algae can reach bloom proportions during the warm summer months and are often considered a nuisance because they float on the surface, forming dense scum. The resultant odor following decomposition is usually

pungent, and fish such as catfish often acquire an off-flavor taste from ingesting these algae.

The next major component of the nitrogen cycle is ammonification. It involves the breakdown of organic matter (in this case amino acids) by decomposer organisms to  $NH_3$ , yielding energy. It is therefore the reverse reaction of amino acid synthesis. Dead plant and animal tissues and waste materials are broken down to amino acids and eventually  $NH_3$  by the saprophagous bacteria and fungi in both soil and water.

Nitrification is a biological process where  $NH_3$  is oxidized in two steps, first to  $NO_2$  and next to nitrate ( $NO_3$ ). It is accomplished by two genera of bacteria, *Nitrosomonas* and *Nitrobacter* in the soil, and *Nitrosococcus* and *Nitrococcus* in salt water. Because nitrification is an oxidation reaction, it requires oxygenated (i.e., aerobic) environments.

Denitrification is the reverse reaction of nitrification and occurs under anaerobic conditions. It involves the breakdown of nitrates and nitrites into gaseous  $N_2$  by microorganisms and fungi. Bacteria in the genus *Pseudomonas* (e.g., *P. denitrificans*) reduce  $NO_3$  in the soil.

The cycling of nitrogen in an ecosystem is obviously complex. In aquatic ecosystems, nitrogen can enter the food chain through various sources, primarily surface runoff into lakes or rivers, mixing of nutrient-rich bottom waters (normally only during spring and fall turnovers in northern temperate lakes), and biological fixation of atmospheric nitrogen by blue-green algae. Phytoplankton (microscopic algae) then rapidly take up the available nitrogen in the form of  $NH_3$  or  $NO_3$  and assimilate it into their tissues, primarily as amino acids. Some nitrogen is released by leakage through cell membranes. Herbivorous zooplankton that ingest these algae convert their amino acids into different amino acids and excrete the rest. Carnivorous or omnivorous zooplankton and fish that eat the herbivores do the same. Excretion (usually as  $NH_3$  and urea) is thus a valuable nutrient recycling mechanism in aquatic ecosystems. Different species of phytoplankton actively compete for these nutrients when they are limited. Decomposing bacteria in the lake, particularly in the top layer of the bottom sediments, play an important role in the breakdown of dead organic matter that sinks to the bottom. The cycle is thus complete.

The cycling of nitrogen in marine ecosystems is similar to that in lakes, except that nitrogen lost to the sediments in the deep open water areas is essentially lost. Recycling only occurs in the nearshore regions, usually through a process called upwelling. Another difference is that marine phytoplankton prefer to take up nitrogen in the form of  $NH_3$  rather than  $NO_3$ .

In terrestrial ecosystems,  $\text{NH}_3$  and  $\text{NO}_3$  in the soil is taken up by plants and assimilated into amino acids. As in aquatic habitats, the nitrogen is passed through the food chain from plants to herbivores to carnivores, which manufacture new amino acids. Upon death, decomposers begin the breakdown process, converting the organic nitrogen to inorganic  $\text{NH}_3$ . Bacteria are the main decomposers of animal matter, whereas fungi are the main group that break down plants. Shelf and bracket fungi, for example, grow rapidly on fallen trees in forests. The action of termites, bark beetles, and other insects that inhabit these trees greatly speed up the process of decomposition.

There are three major differences between nitrogen cycling in aquatic versus terrestrial ecosystems. First, the nitrogen reserves are usually much greater in terrestrial habitats because nutrients contained in the soil remain accessible, whereas nitrogen released in water and not taken up by phytoplankton sinks to the bottom where it can be lost or held for a long time. Second, nutrient recycling by herbivores is normally a more significant process in aquatic ecosystems. Third, terrestrial plants prefer to take up nitrogen as  $\text{NO}_3$  and aquatic plants prefer  $\text{NH}_3$ .

Forces in nature normally operate in a balance, and gains are offset by losses. So it is with the nitrogen cycle in freshwater and terrestrial ecosystems. Losses of nitrogen by denitrification, runoff, sedimentation, and other releases equal gains by fixation and other sources.

Humans, however, have an influence on the nitrogen cycle that can greatly change normal pathways. Fertilizers used in excess on residential lawns and agricultural fields add tremendous amounts of nitrogen (typically as urea or ammonium nitrate) to the target area. Some of the nitrogen is taken up by the vegetation, but most washes away as surface runoff, entering streams, ponds, lakes, and the ocean. This contributes to the accelerated eutrophication of these bodies of water. For example, periodic unexplained blooms of toxic dinoflagellates off the coast of southern Norway have been blamed on excess nutrients, particularly nitrogen, added to the ocean by the fertilizer runoff from agricultural fields in southern Sweden and northern Denmark. These algae have caused massive die-offs of salmon in the mariculture pens popular along the coast, resulting in millions of dollars of damage. Similar circumstances have contributed to blooms of other species of dinoflagellates, creating what are known as red tides. When filter-feeding shellfish ingest these algae, they become toxic, both to fishes and humans. Paralytic shellfish poisoning may result within thirty minutes, leading to impairment of normal nerve conduction, difficulty in breathing, and possible death.

Saxotoxin, the toxin produced by the dinoflagellate *Gonyaulax*, is fifty times more lethal than strychnine and curare.

Other forms of human intrusion into the nitrogen cycle include harvesting crops, logging, sewage, animal wastes, and exhaust from automobiles and factories. Harvesting crops and logging remove nitrogen from the system. The other processes are point sources of excess nitrogen. Autos and factories produce nitrous oxides such as nitrogen dioxide ( $\text{NO}_2$ ), a major air pollutant.  $\text{NO}_2$  contributes to the formation of smog, often irritating eyes and leading to breathing difficulty. It also reacts with water vapor in the atmosphere to form weak nitric acid ( $\text{HNO}_3$ ), one of the major components of acid rain.

*See also* Agricultural pollution; Air pollution; Aquatic weed control; Marine pollution; Nitrogen waste; Urban runoff.

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John Korstad

## Nitrogen fixation

Nitrogen fixation is the biological process by which atmospheric nitrogen gas ( $\text{N}_2$ ) is converted into ammonia ( $\text{NH}_3$ ). Nitrogen is an essential nutrient for the growth of all organisms, as it is a component of proteins, nucleic acids, amino acids, and other cellular constituents. Nearly 79 percent of the earth's atmosphere is  $\text{N}_2$ , but  $\text{N}_2$  is unavailable for use by most organisms, because it is nearly inert because of the triple bonds between the two nitrogen atoms. To utilize nitrogen, organisms

require that it be fixed (combined) in the form of ammonium ( $\text{NH}_4$ ) or nitrate ions ( $\text{NO}_3$ ).

The bacteria that accomplish nitrogen fixation are either free-living or form symbiotic associations with plants or other organisms such as termites or protozoa. The free-living bacteria include both aerobic and anaerobic bacteria, including some species that are photosynthetic.

The most well-known, nitrogen-fixing symbiotic relationships are those of legumes (e.g., peas, beans, clover, soybeans, and alfalfa) and the bacteria *Rhizobium*, which is present in nodules on the roots of the legumes. The plants provide the bacteria with carbohydrates for energy and a stable environment for growth, while the bacteria provide the plants with usable nitrogen and other essential nutrients. The bacteria invade the plant and cause formation of the nodule by inducing growth of the plant host cells. The *Rhizobium* are separated from the plant cells by being enclosed in a membrane. The cultivation of legumes as green manure crops to add nitrogen by incorporation into the soil is a long-established agricultural process.

*Frankia*, a type of bacteria belonging to the bacterial group actinomycetes, form nitrogen-fixing root nodules with several woody plants, including alder and sea buckhorn. These trees are pioneer species that invade nutrient-poor soils.

The photosynthetic, nitrogen-fixing bacteria cyanobacteria (also known as blue-green algae) live as free-living organisms or as symbionts with lichens in pioneer habitats such as desert soils. They also form a symbiotic association with the fern *Azolla*, which is also found in lakes, swamps, and streams. In rice paddies, *Azolla* has been grown as a green manure crop; it is plowed into the soil to release nitrogen before planting of the rice crop.

The nitrogen fixed by the nitrogen-fixing bacteria is utilized by other living organisms. After their death, the nitrogen is released into the environment through decomposition processes and continues to move through the nitrogen cycle.

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Judith L. Sims

## Nitrogen oxides

Five oxides of nitrogen commonly exist:  $\text{N}_2\text{O}$ ,  $\text{NO}$ ,  $\text{N}_2\text{O}_3$ ,  $\text{NO}_2$ , and  $\text{N}_2\text{O}_5$ . Environmental scientists usually refer to only two of these, nitric oxide and nitrogen dioxide, when they use the term "nitrogen oxides." The term may also be used, however, for any other combination of the five compounds. Nitric oxide and nitrogen dioxide are produced during the combustion of fossil fuels in automobiles, jet aircraft, industrial processes, and electrical power production. The gases have a number of deleterious effects on human health, including irritation of the eyes, skin, respiratory tract, and lungs; chronic and acute bronchitis; and heart and lung damage.

See also Ozone; Smog.

Nitrogen scrubbing see **Raprenox (nitrogen scrubbing)**.

## Nitrogen waste

Nitrogen waste is a component of sewage often borne by human excreta and detergents but also from fertilizers and such industrial processes as steel-making. Nitrogen waste consists primarily of nitrates and nitrites as well as compounds of ammonia. Because they tend to encourage algae growth that clog waterways, nitrogen wastes are usually undesirable. They present a problem for wastewater treatment because they are normally not removed by either primary or secondary treatment steps. Their removal at the tertiary stage can be achieved only by specialized modern procedures. Another approach is to use wastewater on

farmlands. This use removes about 50 percent of nitrogen wastes from water by converting it into nutrients that enrich the soil.

*See also* Sewage treatment.

## Nitrous oxide

Nitrous oxide (N<sub>2</sub>O), also called di-nitrogen monoxide, is one of several gaseous oxides of nitrogen. It is sometimes referred to as laughing gas, because when inhaled it causes a feeling of intoxication, mild hysteria, and sometimes laughter. The gas is colorless and has a faint odor and slightly sweet taste. It can lessen the sensation of pain and is used as an anesthetic in dentistry and in minor surgery of short duration. For more complex surgery, it is combined with other anesthetics to produce a deeper and longer-lasting state of anesthesia. Nitrous oxide also is commonly used as a propellant for pressurized food products, and sometimes is used in race cars to boost the power of high-performance engines. It is one of the few gases capable of supporting combustion. In this process, it transfers its oxygen to the material being combusted and is converted to molecular nitrogen (N<sub>2</sub>).

Nitrous oxide is an important component of the earth's upper atmosphere at heights above 30 miles (45 km). It is one of the greenhouse gases, together with carbon dioxide, methane, and ozone, that allow radiation from the sun to reach the earth's surface but prevent the infrared or heat component of sunlight from re-irradiating into space. This leads to the greenhouse effect, and results in warmer temperatures at the earth's surface. Greenhouse warming is important in creating surface temperatures suitable for life, but studies have shown that greenhouse gases are increasing and are driving global warming and climate change.

Concern over greenhouse gases has centered on reports of increasing concentrations of atmospheric carbon dioxide (CO<sub>2</sub>). Two factors thought to be responsible are the widespread use of fossil fuels (which add CO<sub>2</sub> to the atmosphere) and the rapid destruction of tropical rain forests (which remove CO<sub>2</sub> from the atmosphere). Studies show that atmospheric levels of nitrous oxide are also increasing as a result of human activity. Nitrous oxide is produced in nature by microorganisms acting on nitrogen-containing compounds in the soil. Increased use of nitrogen fertilizer in lawns, gardens, and agricultural fields may stimulate microbial production of nitrous oxide. Manure and

municipal sludge, applied as soil enhancers and fertilizers, and burning fossil fuels also may contribute to an increase. Although levels and human activity-related emissions of nitrous oxide are far less than carbon dioxide, nitrous oxide is about 296 times more potent a greenhouse gas. The Kyoto Protocol addresses and targets limits on human-activity related emissions of nitrous oxide.

Nitrous oxide production in soils is greatly influenced by soil temperature, moisture level, organic content, soil type, pH, and oxygen availability. In agricultural soil, rates of fertilizer application, fertilizer type, tillage practices, crop type, and irrigation all influence nitrous oxide production. High soil temperature, moisture, and organic content tend to enhance production, whereas tilling of the soil tends to lower it. The relative importance of each of these factors has not been determined, and further study is needed to develop recommendations to limit harmful nitrous oxide emissions from soils.

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Douglas C. Pratt

NOAA *see* **National Oceanic and Atmospheric Administration (NOAA)**.

## Noise pollution

Every year since 1973, the U.S. Department of Housing and Urban Development has conducted a survey to find out what city residents dislike about their environment. And every year the same factor has been named most objectionable. It is not crime, pollution, or congestion; it is noise—something that affects every person every day.

It has been known for a long time that prolonged exposure to noises, such as loud music or the roar of machinery, can result in hearing loss. Evidence now suggests that noise-related stress also causes a wide range of psychological and physiological problems ranging from irritability to heart disease. An increasing number of people are affected by noise in their environment. By age forty, nearly everyone in America has



**Boeing 747 operated by Cathay Pacific Cargo on approach for landing at London Heathrow Airport, United Kingdom. (© Antony Nettle /Alamy)**

suffered hearing deterioration in the higher frequencies. An estimated 10 percent of Americans (24 million people) suffer serious hearing loss, and the lives of another 80 million people are significantly disrupted by noise.

What is noise? There are many definitions, some technical and some philosophical. What is music to your ears might be noise to someone else. Simply defined, noise pollution is any unwanted sound or any sound that interferes with hearing, causes stress, or disrupts one's life. Sound is measured either in dynes, watts, or decibels. Note that decibels are logarithmic; that is, a ten decibels (db) increase represents a doubling of sound energy.

Noises come from many sources. Traffic is generally the most omnipresent noise in the city. Cars, trucks, and buses create a roar that permeates nearly everywhere. Around airports, jets thunder overhead, stopping conversation, rattling dishes, sometimes even cracking walls. Jackhammers rattle in the streets; sirens pierce the air; motorcycles, lawnmowers, snowblowers, and chain saws create an infernal din; and music from radios, TVs, and loudspeakers fill the air everywhere.

Humans detect sound by means of a set of sensory cells in the inner ear. These cells have tiny projections (called microvilli and kinocilia) on their surface. As sound waves pass through the fluid-filled chamber within which these cells are suspended, the microvilli rub against a flexible membrane lying on top of them. Bending of fibers inside the microvilli sets off a mechano-chemical process that results in a nerve signal being sent through the auditory nerve to the brain where the signal is analyzed and interpreted.

The sensitivity and discrimination of one's hearing is remarkable. Normally, humans can hear sounds between sixteen and 20,000 hertz (hz). A young child whose hearing has not yet been damaged by excess noise can hear the whine of a mosquito's wings at the window when less than one quadrillionth of a watt per square centimeter is reaching the eardrum.

The sensory cell's microvilli are flexible and resilient, but only up to a point. They can bend and then spring back up, but they die if they are smashed down too hard or too often. Prolonged exposure to sounds above about ninety decibels can flatten some of the microvilli

permanently and their function will be lost. By age thirty, most Americans have lost five decibels of sensitivity and cannot hear anything above 16,000 hertz; by age sixty-five, the sensitivity reduction is forty decibels for most people, and all sounds above 8,000 hertz are lost. By contrast, in the Sudan, where the environment is very quiet, even seventy-year-olds have no significant hearing loss.

Extremely loud sounds—above 130 decibels, the level of a loud rock band or music heard through earphones at a high setting—actually can rip out the sensory microvilli, causing aberrant nerve signals that the brain interprets as a high-pitched whine or whistle. Many people experience ringing ears after exposure to very loud noises. Coffee, aspirin, certain antibiotics, and fever also can cause ringing sensations, but they usually are temporary.

A persistent ringing is called tinnitus. It has been estimated that 94 percent of the people in the United States suffer from some degree of tinnitus. For most people, the ringing is noticeable only in a very quiet environment, and they rarely are in a place that is quiet enough to hear it. About thirty-five out of 1,000 people have tinnitus severe enough to where it interferes with their lives. Sometimes the ringing becomes so loud that it is unendurable, such as shrieking brakes on a subway train. Unfortunately, there is not yet a treatment for this distressing disorder. One of the first charges to the Environmental Protection Agency (EPA) when it was founded in 1970 was to study noise pollution and to recommend ways to reduce the noise in one's environment. Standards have since been promulgated for noise reduction in automobiles, trucks, buses, motorcycles, mopeds, refrigeration units, power lawnmowers, construction equipment, and airplanes. The EPA is considering ordering that warnings be placed on power tools, radios, chain saws, and other household equipment. The Occupational Safety and Health Administration (OSHA) also has set standards for noise in the workplace that have considerably reduced noise-related hearing losses.

Noise is inescapable, however. In many cases, the most dangerous noise is that to which one voluntarily subjects themselves. In-ear headphones commonly used with portable media devices may reduce noise pollution for others, but have negative health impacts on the wearer at volumes over fifty decibels.

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## Nonattainment area

Any locality found to be in violation of one or more National Ambient Air Quality Standards (NAAQSs) set by the Environmental Protection Agency (EPA) under the provisions of the Clean Air Act. As of 2010, an estimated ninety million Americans live in nonattainment areas. However, a nonattainment area for one standard may be an attainment area for a different standard. The seven criteria pollutants for which standards were established in 1970 under the Clean Air Act (later amended in 1990) are carbon monoxide, lead, nitrogen dioxide, ozone (a key ingredient in smog), particulate matter, sulfur dioxide, and hydrocarbons. Violation of NAAQSs for one of the seven criteria pollutants can have a variety of consequences for an area, including restrictions on permits for new stationary sources of pollution (or significant modifications to existing ones), mandatory institution of vehicle emissions inspection programs, or loss of federal funding (including funding unrelated to pollution problems). Several urban areas are classified as nonattainment areas for at least one criteria air pollutant.

*See also* Automobile emissions.

## Noncriteria pollutant

Pollutants for which specific standards or criteria have not been established. Although some air pollutants are known to be toxic or hazardous, they are released in

relatively small quantities or in locations where individual regulation is not required. Other air pollutants are not yet regulated because data are insufficient to set definite criteria for acceptable ambient levels or control methods. Political and economic interests may also block regulatory designation or action.

*See also* Criteria pollutant.

## Nondegradable pollutant

A pollutant that is not broken down by natural processes. Some nondegradable pollutants, such as most of the heavy metals, create problems because they are toxic and persistent in the environment. Others, such as synthetic plastics, are a problem because of their sheer volume. One way of dealing with nondegradable pollutants is to reduce the quantity released into the environment either by recycling them for reuse before they are disposed of, or by curtailing their production. A second method is to find ways of making them degradable. Scientists have been able to develop new types of bacteria, for example, that do not exist in nature, but that will degrade plastics.

*See also* Decomposition; Pollution.

## Nongame wildlife

Terrestrial and semiaquatic vertebrates not normally hunted for sport. The majority of wild vertebrates are contained in this group. In the United States, wildlife agencies are funded largely by hunting license fees and by excise taxes on arms and ammunition used for hunting; and they have had to develop other revenue sources for nongame wildlife. A common method of funding in states with income tax is the state income-tax checkoff system, by which citizens may donate portions of their tax returns to nongame wildlife programs. Federal aid for such nongame wildlife programs and agencies is also mandated, but not specifically funded as part of the Nongame Wildlife Act of 1980.

*See also* Game animal.

## Nongovernmental organization

A nongovernmental organization (NGO) is any group organized and administered outside of direct government control. The vast majority of NGOs are privately- or self-funded. NGOs serve a broad range of purposes and needs, from long-term environmental protection to the delivery of emergency health care following disasters. The term encompasses a broad range of indigenous groups, private charities, advisory committees, and professional organizations.

NGOs have played a pivotal role in the creation of environmental policy, directing lobbying efforts and mobilizing the kind of popular support that have made such changes possible. They have been involved in the protection of many endangered species and threatened habitats. NGOs are extensively involved in the debate about the future of environmental protection and issues such as sustainable development and zero population growth.

NGOs worldwide have grown rapidly in number and influence

*See also* Animal rights; Bioregionalism; Environmental education; Environmental ethics; Environmental monitoring; Environmentalism; Green politics; Greens; United Nations Earth Summit (1992).

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Douglas Smith

## Nonpoint source

A diffuse, scattered source of pollution. Nonpoint sources have no fixed location where they discharge pollutants into the air or water as do chimneys, outfall pipes, or other point sources. Nonpoint sources include runoff from agricultural fields, feedlots, lawns, golf courses, construction sites, streets, and parking lots, as well as emissions from quarrying operations, forest fires, and the evaporation of volatile substances from small businesses such as dry cleaners. Unlike pollutants discharged by point sources, nonpoint pollution

is difficult to monitor, regulate, and control. Also, it frequently occurs episodically rather than predictably. Where treatment plants have been installed to control discharge from point sources, nonpoint sources can be responsible for most of the pollution found in bodies of water. As much as 90 percent of the pollution load in a body of water may come from nonpoint sources.

*See also* Water pollution.

## Nonrenewable resources

Any naturally occurring, finite resource that diminishes with use, such as oil and coal. In terms of the human timescale, a nonrenewable resource cannot be renewed once it has been consumed. Most nonrenewable resources can only be renewed over geologic time, if at all. All the fossil fuels and mineral resources fall into this category.

## Non-timber forest products

Forest covers 30 percent of the world's land area. Fifty-six percent of the world's forest is classified as tropical and subtropical, and 44 percent is considered temperate and boreal. Forests are most often valued for their timber resources; however, timber is not the only product available from the forest. Forests are also host to an array of non-timber forest products (NTFPs).

NTFPs include berries and fruits, wild mushrooms, honey, gums, spices, nuts, ornamental foliage, mosses, and lichens as well as botanicals used for medicinal, cosmetic, and handicraft purposes. Although there is debate on the matter, some definitions of NTFPs also include fish, wild game, insects, and firewood. NTFPs can also be referred to as special forest products, nonwood forest products, minor forest products, alternative forest products, and secondary forest products. Many NTFPs come from mature, intact forests, illustrating the need to conserve the forests for the vast renewable resources they provide.

People use NTFPs everyday and are unaware of it. Most medicines contain ginseng (*Panax* species) or other roots that must be harvested in the wild. A very important drug for the treatment of ovarian and other cancers, Taxol is extracted from the bark of Pacific yew trees (*Taxus brevifolia*). These trees are scarce in

number and found predominately in old-growth forests between California and Alaska.

Indigenous people around the world have a long history of using NTFPs in everyday life. They also have a great knowledge and tradition of the medicinal, nutritional, cultural, and spiritual uses of NTFPs. Settler populations moving into areas inhabited by native peoples throughout history have learned of these diverse uses of NTFPs and also developed their own traditions and culture of use.

NTFPs provide market and nonmarket benefits and commodities for households, communities, and enterprises around the world without the large-scale extraction of timber. In most countries in the world, large-scale industrial logging has become the main economic focus in the forest, with timber being at the center of forest management. In the last fifty years industrial logging operations have become larger and more mechanized and are often controlled by large corporations or central governments. This shift has left many forest-based communities and forest workers with diminished access to the forest and reduced economic connected with the forest. Increasing concern for community and ecosystem health has brought NTFPs into the spotlight. NTFPs and the development of community-based NTFP enterprises can be seen as important steps toward a diverse, sustainable, multiple-use forest ecology and economy.

Although not as large as timber trade values, NTFPs are also a valuable commodity on the global market. In 2005, the Food and Agricultural Organization (FAO) assessment reported a value of \$4.7 billion for NTFPs. According to the 2005 assessment by the FAO of the United Nations, the annual value of international trade in NTFPs reported for plant products was just under \$3 billion, while the largest annual removal value in terms of animal products was for bushmeat at \$600 million. For plant products, the majority of the value was dedicated to food sources at \$1.3 billion. The general direction of trade is from developing to developed countries. Removals in Asia and Europe contributed to about 90 percent of reported annual NTFP removal values.

While developed countries import many NTFPs, NTFP harvesting and production are also important locally and domestically in the countries of the developed world. For example, researchers estimate that in 1994 the floral and Christmas greens markets alone in Washington and Oregon in the United States and southwest British Columbia in Canada reached a level of \$106.8 million. Mushrooms are also an important

product. Experts estimate that as many as thirty-six mushroom species are traded commercially in the states of Washington, Oregon, and Idaho. In 1992 the wild mushroom market was estimated to be valued at \$41.1 million, increasing from \$21.5 million in 1985.

Because of the nature of the NTFPs and the markets dictating their value, it is difficult to come up with exact figures for the value of different products. The figures listed above for the value of international trade record only those NTFPs that come onto the world market. Because many people in the world are using NTFPs for their own household subsistence, the figures above only give a partial picture of the total value and use of NTFPs. For instance, the FAO estimates that 80 percent of the population of the developing world uses NTFPs for health and nutritional needs.

Furthermore, NTFPs have a social and spiritual value for many people making it difficult to assign a dollar value. Economists stumble when trying to assign a value to activities such as, say, enjoying a couple of hours in the forest picking a bucket full of berries to give to a relative or friend. Economists and policymakers are focusing more attention on these nonmarket values, and attempts are being made to set these values. Maintenance of biodiversity (the diversity of living organisms in an area), carbon sequestration (long-term storage of carbon to manage the amount of carbon in the atmosphere contributing to climate change), and fresh water supplies can also be considered as NTFPs at risk of elimination by deforestation. Quantifying these important nonmarket values may provide decision-makers with tools to better incorporate NTFPs into land-use planning and management.

Knowledge of the economics of NTFPs is limited, and knowledge of the ecology of NTFPs is patchy at best. Of course there are some pockets of extensive knowledge. For example, researchers in Finland forecast the volume of several berry species on a regular basis throughout the harvest season, alerting harvesters and buyers of where the best picking will be, based on weather and on-the-ground reporting.

On the other side of the spectrum, little is known about many of the individual NTFP species and their interaction with other species in the greater forest ecosystem. There is a risk that as markets grow for individual NTFPs, they will experience greater harvesting pressures. Lack of scientific knowledge and regulation of harvests may bring over-harvesting in concentrated areas or unexpected, uncontrolled market expansion, which could lead to unchecked stress

on species with unknown effects on species population and viability. Many NTFP development programs are, in fact, starting to look to cultivation and agroforestry alternatives as a way of taking the pressure off of the wild-growing species.

As more attention is paid to the opportunities that NTFPs present for multiple-use forestry and locally-based economies, it is important that gaps in ecological and economic knowledge be filled. Generally speaking it can be said that, during the later years of the twentieth century, many regions have witnessed a paradigm shift in forest management from management for timber resources only to ecosystem management.

Management of NTFPs presents complex challenges for policymakers, managers, scientists, enterprises, and communities. There is a need for greater flow of information about the ecology, economy, and social issues surrounding NTFP development and management. The list below exemplifies the difficult challenges in developing sustainable management systems for NTFPs. Traditional policymakers and forest resource managers will be pushed to expand their grasp of the issue and their way of working. NTFP management demands, among other things, adaptive management planning, nonlinear thinking, and the involvement of multiple stakeholders. In 1991, the FAO established a program termed Promotion and Development of Nonwood Forest Products (NWFP) to advocate sustainable use of the NTFPs, preserve biodiversity, and enhance the generation of income and food security relating to NTFPs.

Primary considerations for the sustainable management of NTFPs include the following:

- Understanding the unique biology and ecology of special forest product species
- Anticipating the dynamics of forest communities on a landscape level, delineating present and future areas of high production potential, and identifying areas requiring protection
- Developing silvicultural and vegetation management approaches to sustain and enhance production
- Integrating human behavior by monitoring and modeling people's responses to management decisions about special forest products
- Conducting necessary inventory, evaluation, and research monitoring

The nonformal nature of much of the NTFP activity does have its benefits for households and communities. It must be kept in mind when developing NTFP management schemes that regulatory systems be designed so that they protect NTFP ecology, but do

not result in reduced access for small enterprises and individual users or user groups. For example, regulatory systems involving difficult bureaucratic processes and fee-based permits may make it difficult for small actors with less capital to participate in the commercial and recreational harvest.

In many countries in the developing world, women are the primary actors in NTFP trade. In many countries and cultures women are denied land ownership and decision-making power, but are able to have access to the forest resources to earn income and provide for the subsistence nutritional and medicinal needs of their families. However, according to the Center for International Forestry Research, in many cases, attempts to formalize the NTFP production and trade have pushed women out of these traditional roles. NTFP development programs designed with women specifically in mind have managed to avoid some of this displacement.

NTFP development offers opportunities for communities and enterprises in the forests of the world. The challenge today is to broaden the view of the forest's economic, ecological, and social values to include NTFPs. In adopting this expanded view, policymakers, citizens, scientists, and industry must come together to fill in the gaps of knowledge and to plan the continued and sustainable use of the resources.

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Sarah E. Lloyd

## No-observable-adverse-effect-level

The NOAEL is the lowest "dose" or exposure level to a non-cancer-causing chemical at which no increase in adverse effects is observed in test animals compared with animals in a control group. First, a NOAEL is determined. For example, it is established that no adverse effects are seen in test animals after they have been exposed to a set amount, such as an ounce per day for a year, whereas a higher exposure causes adverse effects. Then this level is further reduced by one or more uncertainty factors to establish an acceptable human exposure level. Regulatory agencies typically use a factor of ten, 100, or 1,000 to account for the uncertainty arising from the fact that the NOAEL is based on an animal study but is being extrapolated to set a safe level of exposure for human beings.

## North American Association for Environmental Education

The North American Association for Environmental Education (NAAEE), founded in 1971, is a nonprofit network for professionals and students working in the field of environmental education. It claims to be the world's largest association of environmental educators, with members in the United States and more than fifty-five countries. The organization's stated mission is to "go beyond consciousness-raising about these issues. [It] must prepare people to think together about the difficult decisions they have to make concerning environmental stewardship, and to work together to improve and try to solve environmental problems." It advocates a cooperative and nonconfrontational approach to environmental education.

The NAAEE conducts an annual conference and produces publications, including a member newsletter, the *Environmental Communicator*, and a *Directory of Environmental Educators*. It also operates a "skills bank" and numerous programs. Several of these programs focus on community-based activities. The volunteer-led investigations of neighborhood ecology (VINE) network promotes programs using volunteers to lead children in explorations of the ecology of their urban neighborhoods. The urban leadership collaboratives program aims to develop collaborative environmental education programs in cities. The environmental issues

forums (EIF) program assists students and adults in working with controversial environmental issues in their communities and nationally.

Other NAAEE programs have a wider focus. The NAAEE Training and Professional Development Institute offers workshops and training events on such topics as fundraising and long-range planning, as well as courses for educators from developing countries in cooperation with the U.S. Assistance for International Development (USAID) and the Smithsonian Institute. The Environmental Education and Training Partnership (EETAP), under a U.S. Environmental Protection Agency grant, coordinates a consortium of eighteen environmental education organizations that promote training. The NAAEE Policy Institute aims to develop standards for environmental education. Additional NAAEE activities include writing reports to the U.S. Congress on environmental education issues, reviewing proposals submitted for funding, and testifying to support environmental education legislation and innovative programs. Internationally, the organization has helped to establish environmental education centers in Moscow, Kiev, and a program in Thailand, and has assisted with developing educational materials in Sri Lanka.

In 1996, the Conservation Education Association was incorporated into the NAAEE.

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### ORGANIZATIONS

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Carol Steinfeld

## North American Free Trade Agreement

The North American Free Trade Agreement (NAFTA) is an international trade agreement among the United States, Canada, and Mexico that became effective on January 1, 1994. Pursuant to the NAFTA, most tariffs among the three countries are being phased out over a period of fifteen years. In addition, the agreement liberalizes rules regulating investment by U.S. and Canadian firms in Mexico. The NAFTA includes side agreements on environment, labor, and dealing with import surges. Controversy surrounding the adoption of the NAFTA by the United States in 1993 included issues related to trade, employment, immigration, labor law, and environmental protection. Observers continue to debate the wisdom of the agreement.

While the NAFTA's adoption was being debated, environmentalists were divided in response to whether or not they are environmentally better off with the NAFTA. Thus, its adoption was opposed by some environmental groups and supported by others. Major groups that opposed it included the Sierra Club, Greenpeace, the U.S. Public Interest Research Group (PIRG), Citizen's Action, Public Citizen, the Clean Water Fund, and the Student Environmental Action Coalition. The NAFTA was supported, however, by a coalition of major environmental organizations, including the National Wildlife Federation, the World Wildlife Fund, the National Audubon Society, the Environmental Defense Council, the Natural Resources Defense Council, Conservation International, Defenders of Wildlife, and the Nature Conservancy. Environmental groups remain divided with respect to the NAFTA and its consequences for the environment.

### NAFTA's provisions

The NAFTA is first and foremost a trade agreement. Thus, the majority of the provisions within its over 1,200 pages focus on elimination of barriers to trade. Over a period of fifteen years, beginning on January 1, 1994, tariffs on over 9,000 products traded among the United States, Mexico, and Canada were phased out. In addition, other nontariff barriers to trade were eased or eliminated.

### Elimination of tariffs

Tariffs on approximately 4,500 products traded among the NAFTA parties were eliminated on January 1, 1994, and, since 1999, tariffs remain on only about 3,000 products. All other tariffs were phased out by 2009. The period during which tariffs are phased out

gives producers time to adjust gradually to competition from products of other NAFTA countries. Those products for which tariffs are being phased out gradually are labeled “sensitive products” and include many farm commodities. For example, to protect Mexican producers, corn and dry beans are being protected through a phase-out period for tariffs. Producers in the United States are being protected with respect to sugar, asparagus, orange juice concentrate, and melons.

The automobile industry is another area for which the NAFTA includes special provisions. The NAFTA sets out formulas requiring a certain minimum percentage of content from North America (the three NAFTA parties) for an automobile to qualify for duty-free treatment. As of 2002, automobiles must contain 62.5 percent North American content to qualify for such treatment.

### Removal of nontariff barriers to trade

The NAFTA removes certain barriers to trade that are not in the form of tariffs. For example, the NAFTA allows financial service providers of a NAFTA country to establish banking, insurance, securities operations, and other types of financial services in another NAFTA country. The NAFTA also provides U.S. and Canadian firms with greater access to Mexico’s energy markets. Under the NAFTA, U.S. and Canadian firms can sell products to Pemex, Mexico’s federally owned petroleum company. In addition, Mexico has opened operation of self-generation, cogeneration, and independent power plants in Mexico to investment by U.S. or Canadian firms.

Transportation has also been facilitated by the NAFTA. Since 1995, U.S., Mexican, and Canadian firms have been allowed to establish cross-border routes. In the early summer of 2002, the United States signed an agreement allowing Mexican trucks to travel throughout the United States. The long-term effects of transportation agreements are difficult to evaluate, however. Statistics for 2001 indicate that truck crossings into the United States from Canada and Mexico fell by 4.2 percent from their level in 2000. This decrease represents the first annual decline, since the agreement among the three countries took effect in 1994. This decrease may be only temporary, as it reflects the impact of tightened border security measures following the terrorist attacks of September 11, 2001.

The NAFTA does not create a common market for movement of labor, but it does provide for temporary entry of business people from one NAFTA country into another. The four different categories for such movement of workers include business visitors

in marketing, sales and related activities, traders and investors, specified kinds of intracompany transfers, and specified professionals meeting educational or special-knowledge requirements.

### Dispute resolution

Administration of the NAFTA is handled by a commission of Cabinet-level officers, each of whom has been appointed by one of the NAFTA countries. The commission includes a secretary, who is the chief administrator.

The process for resolution of disputes under the NAFTA is cumbersome and time-consuming. When a dispute arises with respect to a NAFTA country’s rights under the agreement, a consultation can be requested. If the consultation does not resolve the dispute, the commission will seek to resolve the dispute through alternative dispute resolution procedures. If those are unsuccessful, the complaining country can request the establishment of a five-member arbitration panel that will recommend solutions, monitor results, and, if necessary, impose sanctions.

### NAFTA’s side agreements

In areas involving labor law and environmental protection, the NAFTA’s provisions are limited.

### Labor side agreement

The North American Agreement on Labor Cooperation, also known as the “side agreement on labor” or “labor side agreement,” was negotiated in response to concerns that the NAFTA itself did little to protect workers in Mexico, the United States, and Canada. In the labor side agreement, the three countries articulate their desire to improve labor conditions and encourage compliance with labor laws. Each party agrees to enforce its own labor laws, but new laws protecting workers are not established. The Agreement establishes a multiplestep process for dealing with instances in which a NAFTA country has exhibited a “consistent pattern of . . . failure to effectively enforce its own occupational safety and health, child labor, or minimum wage labor standards.” If the three NAFTA parties cannot agree to a resolution, then a committee of experts can be convened to assist in resolving the dispute.

Seven years after the NAFTA was enacted, a number of observers consider its side agreement on labor a conspicuous failure that protected international investors at the expense of ordinary workers in all three countries. In the United States, the NAFTA led to the elimination of 800,000 manufacturing jobs. Contrary to predictions in 1995, the agreement did not result in an

increased trade surplus with Mexico, but the reverse. As manufacturing jobs disappeared, workers in the United States were downscaled to lower-paying, less-secure services jobs. Employers' threats to move production to Mexico became a powerful weapon for undercutting workers' bargaining power. In Canada, a similar process has brought about a decline in stable full-time employment as well as damaging Canada's social safety net.

Mexican workers have not benefited from this redistribution of jobs. While some manufacturing jobs did move to Mexico, they are largely confined to *maquiladoras* just across the border. *Maquiladoras* are factories that assemble electronics equipment and small appliances for export. Workers are typically paid low wages and have few rights. The *maquiladoras* are isolated from the rest of the Mexican economy and contribute very little to its development. In addition, the expiration of the duty-free status of *maquiladora* products in 2001 means that these factories are less competitive in the global market. As a result, many foreign companies began to shut down their plants in Mexico in 2002.

### Environmental side agreement

The North American Agreement on Environmental Cooperation, also known as the "environmental side agreement," was adopted along with the labor side agreement and was negotiated in response to concerns that the body of the NAFTA did little to protect the environment. In the environmental side agreement, the three parties articulate their desire to promote environmental concerns without harming the economy, and promote open public discussion of environmental issues. As in the labor side agreement with respect to labor laws, each party agrees to enforce its own environmental laws, but no new environmental laws are made. The agreement establishes a Commission for Environmental Cooperation (CEC) that includes a secretariat and a council, which is charged with implementing the environmental side agreement.

The environmental side agreement allows a nongovernmental organization (such as an environmental group) or a private citizen to file a complaint with the commission asserting that a NAFTA country "has shown a persistent pattern of failure to effectively enforce its environmental laws." Thus, such groups and individuals can serve as watchdogs for the commission.

The environmental side agreement allows a NAFTA country to request a consultation with a second NAFTA country regarding that second country's persistent pattern of failure to enforce its environmental laws. If a resolution is not reached as a result of the consultation, a party can request that the council meet. If the council is

unable to resolve the dispute, it can convene an arbitration panel, which will study the dispute and issue a report. After the parties submit comments, the report is made final. The parties are given an opportunity to reach their own action plan, but, if there is no such agreement, the panel can be reconvened to establish a plan. If an imposed fine is not paid, as under the labor side agreement, the NAFTA benefits can be suspended.

### Environmental consequences of the NAFTA

Environmentalists share many concerns with respect to the NAFTA, three of which will be introduced here. First, environmentalists are concerned that the NAFTA represents a threat to the sovereignty of the United States because U.S. environmental laws may be challenged under the NAFTA. For example, it is feared that the United States will not be allowed to refuse entry of goods produced in Mexico even if those goods are produced using production methods that do not meet U.S. environmental, health, or food safety standards. This is based on U.S. experience under the General Agreement on Tariffs and Trade (GATT) when a GATT panel declared that a U.S. embargo on Mexican tuna caught using methods violating the U.S. Marine Mammal Protection Act was illegal. The GATT panel held that products must be treated equally regardless of how they are produced.

Ironically, the first major challenge to national sovereignty came from Canadian corporations. A Canadian conglomerate known as Methanex made use of a little-known section of the NAFTA known as Chapter 11 to sue the state of California, demanding financial compensation for loss of market share. Methanex is the world's largest producer of the key ingredient in a gasoline additive known as MBTE (methyl tertiary butyl ether), a substance that California ordered to be phased out after it began to contaminate public water systems in 1995. A phrase in Chapter 11 written to protect investors from having their property seized by foreign governments has been invoked to justify corporate lawsuits against state and federal environmental regulations. In 2005, an international trade panel rejected Methanex's \$970 million lawsuit against California.

Second, environmentalists label Mexico as a "pollution haven" for U.S. businesses seeking less-stringent enforcement of environmental laws than that which occurs in the United States. Concerns about environmental degradation in Mexico because of increased industrialization do seem to be valid. Environmentalists, business leaders, and government officials from the three NAFTA countries agree that environmental contamination had reached serious proportions in northern Mexico in the decades preceding the 1994

effective date of the NAFTA. Such contamination continues to spread as Mexico becomes more heavily industrialized under the NAFTA. A report published by the CEC in 2002 indicates that chemical producers accounted for the largest amount of pollutants.

On paper, Mexico has environmental laws that parallel many, but not all, of the federal environmental laws of the United States. Mexico's 1988 Código Ecológico, known in English as the "general ecology law," is a comprehensive statute addressing pollution, resource conservation, and environmental enforcement. Often, however, Mexico's environmental laws are not enforced. This lack of enforcement can be attributed to a variety of reasons. Because Mexico is a developing country striving to implement open, democratic systems of government, this casual attitude toward legislation is a significant hurdle to be overcome throughout Mexican society.

Third, Mexico is a debt-ridden country that continues to struggle with periodic financial crises. Thus, even if Mexico's leaders were convinced that there should be vigorous enforcement of environmental laws and regulations, Mexico lacks money for such enforcement. In connection with its lack of capital, Mexico lacks technology and it lacks infrastructure such as water treatment plants, sewage systems, and waste disposal facilities. Lacking a place to dispose of toxic waste and knowing the low probability of being cited by government officials for violations, most companies and individuals continue to dump hazardous wastes into Mexico's waters, air, and land.

### Looking ahead

The NAFTA represents a significant turning point in international law, because it recognizes explicitly that trade policy and environmental policy are inextricably linked. Through its environmental side agreement, it establishes mechanisms for dealing with citizens' concerns and for dealing with that party or country that systematically fails to enforce its own environmental laws. However, the NAFTA's environmental provisions provide only a foundation upon which citizens and governments of the United States, Mexico, and Canada must build if the environment of North America is to be protected from the often detrimental effects of expanded trade.

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Paulette L. Stenzel  
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## Northern spotted owl

The northern spotted owl (*Strix occidentalis caurina*) is one of three subspecies of the spotted owl (*Strix occidentalis*). Adults are brown, irregularly spotted with white or light brown spots. Their face is round with dark brown eyes and a dull yellow colored bill. They are 16-19 inches (41-48 cm) long and have wingspans of about 42 inches (107 cm). The average weight of a male is 1.2 pounds (544 g), whereas the average female weighs 1.4 pounds (635 g).

This subspecies of the spotted owl is found only in the southwestern portion of British Columbia, western Washington state, western Oregon, and the western coastal region of California south to the San Francisco Bay. Occasionally the bird can be found on the eastern slopes of the Cascade Mountains in Washington state and Oregon. It is estimated that there are about 3,000-5,000 individuals of this subspecies.

The other two subspecies of spotted owl are the California spotted owl (*S. o. occidentalis*), found in the coastal ranges and western slopes of the Sierra Nevada mountains from Tehama to San Diego counties, and the Mexican spotted owl (*S. o. lucida*), found from northern Arizona, southeastern Utah, southwestern Colorado, south through western Texas to central Mexico.

It is thought that spotted owls mate for life and are monogamous. Breeding does not occur until the birds are two to three years of age. The typical clutch size is two, but sometimes as many as four eggs are laid in March or early April. The incubation period is between twenty-eight and thirty-two days. The female performs the task of incubating the eggs, while the male bird brings food to the newly-hatched young. The owlets leave the nest for the first time when they are between thirty-two and thirty-six days old. Without fully mature wings, the young are not yet able to fly well and must often climb back to the nest using their talons and beak. Juvenile survivorship may be only 11 percent.



**Northern spotted owl.** (Photograph by John and Karen Hollingsworth. U. S. Fish & Wildlife Service)

Spotted owls hunt by sitting quietly on elevated perches and diving down swiftly on their prey. They forage during the night and spend most of the day roosting. Mammals make up over 90 percent of the spotted owl's diet. The most important prey species is the northern flying squirrel (*Glaucomys sabrinus*), which makes up about 50 percent of the owl's diet. Woodrats and hares also are important. In all, thirty species of mammals, twenty-three species of birds, two reptile species, and even some invertebrates have been found in the diets of spotted owls.

Northern spotted owls live almost exclusively in very old coniferous forests. They are found in virgin stands of Douglas fir, western hemlock, grand fir, red fir, and areas of redwoods that are at least 200 years old. They favor areas that have an old-growth overstory with layers of second-growth understory beneath. The overstory is the preferred nesting site and the owls tend to build their nests in trees that have broken tops or cavities, or that are

on stick platforms. In one study, 64 percent of the nests were in cavities, with the remainder on stick platforms or other debris on tree limbs. All of the nests in this study were in conifers, all but two of which were living.

Little is known about what features of a stand are critical for spotted owls. The large trees that have nest sites may be important, particularly those producing a multilayered canopy in which the owls can find a benign microclimate. A thick canopy may be critical in sheltering juvenile owls from avian predators, whereas the understory may be important in providing a cool place for the birds to roost during the warm summer months.

Because of this subspecies' dependence on old-growth coniferous forests and because it feeds at the top trophic level in the old-growth forest food chain it is considered an "indicator species." Indicator species are used by ecologists to measure the health of the ecosystem. If the indicator species is endangered, then it is likely that scores of other species in the ecosystem are just as endangered.

The owls are nonmigratory, with dispersal of young being the only regularly observed movement out of established home ranges. The home range size of spotted owls varies from an average of 4,200 acres (1,700 ha) in Washington state to about 2,000 acres (800 ha) in California. In 1987, a team of scientists recommended that in order to be reasonably sure of the species' survival, habitats for 1,500 pairs be set aside. This would necessitate preserving 4-5 million acres (1.5-2 million ha) of old-growth forests—most of what remains.

Unfortunately for these owls, old-growth forests are a scarce habitat that is commercially valuable for timber. Because of the demand for old-growth timber, these birds have been the center of controversy between timber interests and environmentalists. The declining numbers of owls alarm preservationists who want old-growth forests set aside to protect the owls, while the loggers feel it is in the public's best interest to continue to cut the economically valuable old-growth timber. Timber companies claimed that 12,000 jobs would be lost along with about \$300 million annually if felling was restricted. In addition to pressures from logging, biologists are also concerned that the invasive barred owl is partly responsible for the decrease in spotted owl numbers. In comparison to spotted owls, the larger barred owls tend to be the more aggressive bird, and either out-compete or intimidate their smaller kin.

It has been argued that since old-growth forests are being destroyed, these jobs and revenue will be lost eventually anyway. It has also been argued that

the U.S. Forest Service, which manages most of the remaining old-growth forests, subsidizes the timber industry by building expensive access roads and selling the timber at artificially low prices. Environmentalists suggest that the social costs associated with not cutting old-growth forests could be mitigated by redirecting these monies to retraining programs and income supplements.

In 1990, the northern spotted owl was designated by the U.S. Fish and Wildlife Service as a “threatened species.” This requires that the owl’s habitat be protected from logging. Although the decision to list the spotted owl as “threatened” did not affect existing logging contracts, timber companies are trying to avoid compliance with the decision. Specifically, they are trying to persuade the president and Congress to revise the Endangered Species Act in allowing consideration of economic impacts or to make a specific exception for some or all of the spotted owl’s habitat. Under certain circumstances, economic factors can take precedence over biological criteria in deciding whether it is necessary to comply with habitat protection measures. In these cases a special seven-person interdisciplinary committee can assess the economic impacts of protecting the habitat and circumvent the Endangered Species Act if they believe it is warranted. Former president George H.W. Bush’s Secretary of Interior Manuel Lujan (confirmed by the U.S. Senate in 1989) convened the committee to consider allowing logging in spotted owl habitat on some Bureau of Land Management lands.

Since the early 1990s, spotted owl policy has been largely determined by federal judges rather than biologists. For example, it was a court order that forced the U.S. Fish and Wildlife Service to identify 11.6 million acres (4.7 million ha) as critical habitat. In 1991, a federal judge issued an injunction stopping all new timber sales in areas where the spotted owls live on national forest land. The judge also mandated that the Forest Service produce a conservation plan and environmental impact statement by March 1992. This controversy continued into the presidency of Bill Clinton, who convened a Forest Summit in Portland, Oregon, on April 2, 1993, to gather information from loggers and environmentalists. Following the summit, President Clinton asked his Cabinet to devise a balanced solution to the old-growth forest dilemma.

A team of scientists appointed by the federal government to study the situation recommended that the annual harvest on old-growth forests be reduced by 47 percent. However, former President George W. Bush

(successor to Bill Clinton) rejected this recommendation and instead proposed that harvest be reduced by 21 percent. This angered both the environmentalists and the timber industry and the two sides became deadlocked.

In 2008, the U.S. Fish and Wildlife Service issued a recovery plan for the northern spotted owl. The recovery plan was challenged in federal court, and on September 1, 2010, the court ordered that the 2008 plan be revised within nine months. On September 15, 2010, a draft-revised recovery plan was announced by the Fish and Wildlife Service for the protection and recovery of the northern spotted owl. The Fish and Wildlife Service announcement stated “The draft revised recovery plan is different from the 2008 Recovery Plan in several respects. We are conducting a scientifically rigorous, multi-step, range-wide modeling effort to design a habitat conservation network and assess its ability to provide for long-term recovery of the spotted owl...Until the barred owl threat is reduced, the draft revised plan recommends maintaining all occupied sites and unoccupied high-quality spotted owl habitat on all lands within the range of the spotted owl. The draft revised plan also recognizes the possibility of needing additional conservation contributions from non-Federal lands. Finally, the draft revised plan affirms our support for forest restoration management actions that are neutral or beneficial to spotted owl recovery.”

Ultimately the fate of the northern spotted owl will be decided in the courtrooms and halls of government, where environmentalists and timber interests continue to battle. It is important to realize that the dispute is not merely over one species of owl. The spotted owl is just one of many species dependent on old-growth forests, and may not be in the greatest danger of extinction. As an indicator of the prosperity of old-growth ecosystems in the Pacific Northwest, though, its survival means continued health for the entire biological community.

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Ted T. Cable

## Not In My Backyard

NIMBY is an acronym for “not in my backyard” and is often heard in discussions of waste management. While every community needs a site for waste disposal, frequently no one wants it near his or her home. In the early part of the twentieth century, the town dump was a smelly, rodent-infested place that caught fire on occasion. Loose debris from these facilities would also blow onto adjacent property. Citizens were justified in their aversion to landfills because hazardous waste and chemicals were often dumped into landfills, which contaminated groundwater and surface water. After the passage of the Resource Conservation and Recovery Act (RCRA) in 1976, many of these facilities were closed and dumps converted into sanitary landfills that required daily cover, fencing, leachate collection systems, and other design elements that made them much better neighbors. Still, many citizens refused to have landfills close to their homes.

In addition to landfills, citizens are also concerned about having other waste management facilities near them. Large open air composting facilities are not popular because of the odor they produce. A materials recycling facility (MRF) is not always a desirable neighbor because of the noise it generates. Water and sewage treatment facilities are frequently opposed with NIMBY objection from prospective neighbors.

Despite assurances by experts of the improvements and safety of modern waste management facilities, communities continue to be wary of them. In order to overcome the NIMBY attitude, professionals in the waste management field must collaborate with communities so that citizens gain understanding and ownership of solid waste management problems. The problem of waste is generated at the community level, so the solution must be generated at the community level. With sensible planning and patience there may be less NIMBY-mentality in the future.

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Cynthia Fridgen

NRC see **Nuclear Regulatory Commission**.

Nuclear accidents see **Chelyabinsk, Russia; Chernobyl Nuclear Power Station; Radioactive pollution; Three Mile Island Nuclear Reactor; Windscale (Sellafield) plutonium reactor**.

## Nuclear fission

When a neutron strikes the nucleus of certain isotopes, the nucleus breaks or splits apart into two roughly equal and smaller parts (lighter nuclei) in a process known as nuclear fission. The two parts into which the nucleus splits are called fission products. In addition to fission products, one or more neutrons is also produced. The fission process also results in the release of large amounts of energy.

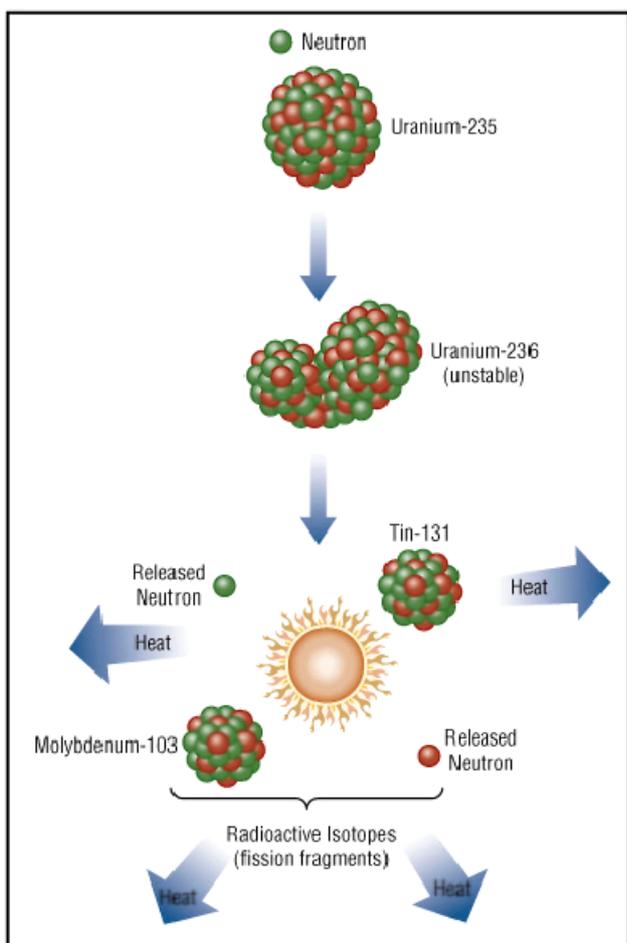
The release of neutrons during fission makes possible a chain reaction. That is, the particle needed to initiate a fission reaction—the neutron—is also produced as a result of the reaction. Each neutron produced in a fission reaction has the potential for initiating one other fission reaction. Because the average number of neutrons released in any one fission reaction is about two and a half, the rate of fission in a block of material increases rapidly.

A chain reaction will occur in a block of fissionable material as long as neutrons (1) do not escape from the block and (2) are not captured by nonfissionable materials in the block. Two steps in making fission commercially possible, then, are (1) obtaining a block of fissionable material large enough to sustain a chain reaction—the critical size—and (2) increasing the ratio of fissionable to nonfissionable material in the block—enriching the material.

Atomic bombs, developed in the 1940s, obtain all of their energy from fission reactions, whereas hydrogen bombs use fission reactions to trigger nuclear fusion. A long-term environmental problem accompanying the use of these weapons is their release of radioactive fission products during detonation.

The energy available from fission reactions is far greater, pound for pound, than can be obtained from the combustion of fossil fuels. This fact has made fission reactions highly desirable as a source of energy in weapons and in power production.

Many experts in the post-World War II years (after 1945) argued for a massive investment in nuclear power plants. Such plants were touted as safe, reliable,



**The process of nuclear fission as carried out in the core of a nuclear reactor. A neutron strikes the unstable isotope Uranium-236. This isotope absorbs the neutron and splits or fissions into tin-131 and molybdenum-103. Two or three neutrons are released.** (Reproduced by permission of Gale, a part of Cengage Learning)

and nonpolluting sources of energy. When operating properly, they release none of the pollutants that accompany power generation in fossil fuel plants. By the 1970s, more than a hundred nuclear power plants were in operation in the United States.

Then, questions began to arise about the safety of nuclear power plants. These concerns reached a peak when the cooling water system failed at the Three Mile Island Nuclear Reactor at Harrisburg, Pennsylvania, in March 1979. That accident resulted in at least a temporary halt in nuclear power plant construction in the United States. New plants have not been authorized since that time. Already authorized at that time, the Watts Bar Nuclear Generating Station (Watts Bar 1), in Tennessee, became operational in 1996, the last commercial nuclear reactor to do so, as of 2010. A

much more serious accident occurred at Chernobyl, Ukraine, in 1986 when one of four reactors on the site exploded, spreading a cloud of radioactive material over parts of Russia, Poland, and northern Europe.

Perhaps the most serious environmental concern about fission reactions relates to fission products. The longer a fission reaction continues, the more fission products accumulate. These fission products are all radioactive, some with short half-lives and others with longer half-lives. The former can be stored in isolation for a few years until their radioactivity has reduced to a safe level. The latter, however, may remain hazardous for hundreds or thousands of years. As of the early 2010s, a completely satisfactory method for storing these nuclear wastes had not yet been developed.

*See also* Nuclear fusion; Nuclear weapons; Radiation exposure; Radioactive pollution; Radioactive waste; Radioactive waste management; Radioactivity.

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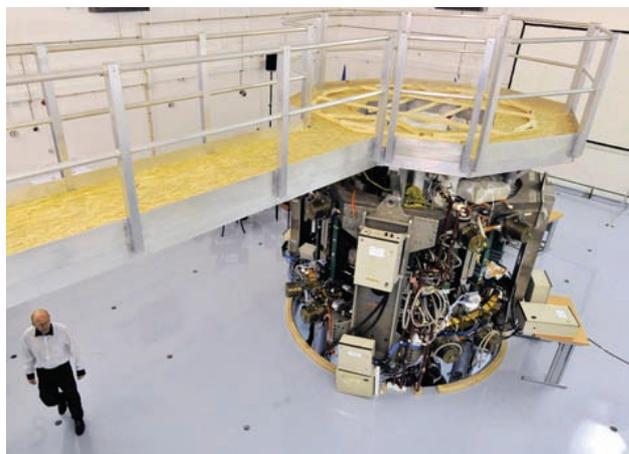
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David E. Newton

## Nuclear fusion

Nuclear fusion is the process commonly found in nature (and very infrequently produced by humans) that occurs when two or more atomic nuclei fuse, or come together, to form a single and heavier nucleus. When this happens, such as inside stars of the sun, a gigantic amount of energy is released or absorbed. The process by which stars produce energy has always been of great interest to scientists. Not only would the answer to that puzzle be of value to astronomers, but it might also suggest a method by which energy could be generated for human use on earth.

In 1938, German-American physicist Hans Bethe (1906–2005) suggested a method by which solar energy



**A man passes by a new fusion reactor device, tokamak Compass, which was unveiled at the Institute of Plasma Physics of the Czech Academy of Sciences (CAV) in Prague, Czech Republic, in 2008. (AP Photo/CTK, Michal Dolezal)**

might be produced. According to Bethe's hypothesis, four hydrogen atoms come together and fuse—join together—to produce a helium atom. In the process, very large amounts of energy are released.

This process is not a simple one, but one that requires a series of changes. In the first step, two hydrogen atoms fuse to form an atom of deuterium or “heavy” hydrogen. In later steps, hydrogen atoms are regenerated, providing the materials needed to start the process over again. Like nuclear fission, then, nuclear fusion is a chain reaction.

Since Bethe's original research, scientists have discovered other fusion reactions. One of these was used in the first practical demonstration of fusion on earth, the hydrogen bomb. It involved the fusion of two hydrogen isotopes, deuterium, and tritium (T, or H-3).

Nuclear fusion reactions pose a difficult problem. Fusing isotopes of hydrogen requires that two particles with like electrical charges be forced together. Overcoming the electrical repulsion of these two particles requires the initial input into a fusion reaction of very large amounts of energy. In practice, this means heating the materials to be fused to very high temperatures, a few tens of millions of degrees Celsius. Because of these very high temperatures, fusion reactions are also known as thermonuclear reactions.

Temperatures of a few millions of degrees Celsius are common in the center of stars, so nuclear fusion can easily be imagined there. On Earth, the easiest way to obtain such temperatures is to explode a fission (atomic) bomb. That explosion momentarily produces temperatures of a few tens of millions of degrees Celsius.

A fusion weapon such as a hydrogen bomb consists, therefore, of nothing other than a fission bomb surrounded by a mass of hydrogen isotopes.

As with nuclear fission, there is a strong motivation to find ways of controlling nuclear fusion reactions so that they can be used for the production of power. This research, however, has been hampered by some extremely difficult technical challenges. Obviously, ordinary construction material cannot withstand the temperatures of the hot, gaseous-like material, or plasma, involved in a fusion reaction. Efforts have been aimed, therefore, at finding ways of containing the reaction with a magnetic field. The tokamak reactor, originally developed by Russian scientists, appears to be one of the most promising methods of solving this problem.

Research on controlled fusion has been a slow, but continuous, progress. Some researchers are confident that a solution is close at hand. Others doubt the possibility of bringing nuclear fusion under human control. All agree, however, that successful completion of this research could provide humans with perhaps the final solution to their energy needs.

The International Thermonuclear Experimental Reactor (ITER) consists of an international group of organizations (from China, the European Union, India, Japan, South Korea, Russia, and the United States) that is working on the largest and most advanced experimental tokamak nuclear fusion reactor ever built in the world. Members of the research and engineering project, with the conceptual design begun in 1988, are building the facility at Cadarache, France. The construction of the facility began in 2008, with its completion expected in 2018. According to the ITER, the purpose of the project is to build “a large-scale scientific experiment intended to prove the viability of fusion as an energy source, and to collect the data necessary for the design and subsequent operation of the first electricity-producing fusion power plant.”

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## Nuclear power

When nuclear reactions were first discovered in the 1930s, many scientists doubted they would ever have any practical application. But the successful initiation of the first controlled reaction at the University of Chicago in 1942 quickly changed their views.

In the first controlled nuclear reaction, scientists discovered a source of energy greater than anyone had previously imagined possible. They discovered that the nuclei of uranium (U) isotopes could be split, thus releasing tremendous energy. The reaction occurred when the nuclei of certain isotopes of uranium were struck and split by neutrons. This is now known as nuclear fission, and the fission reaction results in the formation of three types of products: energy, neutrons, and smaller nuclei about half the size of the original uranium nucleus.

Neutrons are actually produced in a fission reaction, and this fact is critical for energy production. The release of neutrons in a fission reaction means that the particles required to initiate fission are also a product of

the reaction. Once initiated in a block of uranium, fission occurs over and over again, in a chain reaction. Calculations done during these early discoveries showed that the amount of energy released in each fission reaction is many times greater than that released by the chemical reactions that occur during a conventional chemical explosion.

The possibility to release such high energies with nuclear reactions was used in the development of the atomic bomb. After the dropping of this bomb brought World War II to an end, scientists began researching the harnessing of nuclear energy for other applications, primarily the generation of electricity. In developing the first nuclear weapons, scientists only needed to find a way to initiate nuclear fission—there was no need to control it once it had begun. In developing the peacetime application of nuclear power, however, the primary challenge was to develop a mechanism for keeping the reaction under control once it had begun so that the energy released could be managed and used. This is the main purpose of nuclear power plants—controlling and converting the energy produced by nuclear reactions.



**Nuclear power plants are a peacetime application of energy initiated through nuclear fission.** (Photograph by Robert J. Huffman. Field Mark Publications.)

There are many types of nuclear power plants, but all plants have a reactor core and every core consists of three elements. First, the fuel rods; these are long, narrow, cylindrical tubes that hold small pellets of some fissionable material. At present only two such materials are in practical use, uranium-235 and plutonium-239 (Pu-239). The uranium used for nuclear fission is known as enriched uranium, because it is actually a mixture of uranium-235 with uranium-238. Uranium-238 is not fissile and the required chain reaction will not occur if the fraction of uranium-235 present is not at least 3 percent.

The second component of a reactor core is the moderator. Only slow-moving neutrons are capable of initiating nuclear fission, but the neutrons produced as a result of nuclear fission are fast-moving. These neutrons move too fast to initiate other reactions, thus moderators are used to slow them down. Two of the most common moderators are graphite (pure carbon) and water.

The third component of a reactor core are the control rods. In operating a nuclear power plant safely and efficiently, it is of the utmost importance to have exactly the right amount of neutrons in the reactor core. If there are too few, the chain reaction comes to an end and energy ceases to be produced. If there are too many, fission occurs too quickly, too much energy is released all at once, and the rate of reaction increases until it can no longer be controlled or contained. Control rods decrease the number of neutrons in the core because they are made of a material that has a strong tendency to absorb neutrons. Cadmium (Cd) and boron (B) are materials that are both commonly used. The rods are mounted on pulleys allowing them to be raised or lowered into the reactor core as need may be. When the rods are fully inserted, most of the neutrons in the core are absorbed and relatively few are available to initiate a chain reaction. As the rods are withdrawn from the core, more and more neutrons are available to initiate fission reactions. The reactions reach a point where the number of neutrons produced in the core are almost exactly equal to the number being used to start fission reactions, and it is then that a controlled chain reaction occurs.

The heat energy produced in a reactor core is used to boil water and make steam, which is then used to operate a turbine and generate electricity. The various types of nuclear power plants differ primarily in the way in which heat from the core is used to do this. The most direct approach is to surround the core with a huge tank of water, some of which can be boiled directly by heat from the core. One problem with boiling-water reactors is that the steam produced can

be contaminated with radioactive materials. Special precautions must be taken with these reactors to prevent contaminated steam from being released into the environment. A second type of nuclear reactor makes use of a heat exchanger. Water around the reactor core is heated and pumped to a heat exchange unit, where this water is used to boil water in an external system. The steam produced in this exchange is then used to operate the turbine and generator.

There is also a type of nuclear reactor known as a breeder, or fast-spectrum reactor, because it not only produces energy but also generates more fuel in the form of plutonium-239. In conventional reactors, water is used as a coolant as well as a moderator, but in breeder reactors the coolant used is sodium (Na). Neutrons have to be moving quickly to produce plutonium, and sodium does not moderate their speed as much as water does. Another design, the Canada deuterium uranium reactor (CANDU), uses deuterium oxide ( $D_2O$ , which is heavy water) as moderator and natural uranium as fuel. With the uranium fuel surrounded by heavy water, chain reaction fission takes place, releasing energy in the form of heat. The heat is transferred to a second heavy water system pumped at high pressure through the tubes to steam generators, from which the heat is transferred to ordinary water that boils to become the steam that drives the turbine generator.

Nuclear power plants could never explode with the power of an atomic bomb, because the quantity of uranium-235 required is never present in the reactor core. However, they do pose a number of well-known safety hazards. From the very beginning of the development of nuclear reactors, safety was an important consideration as scientists and engineers tried to anticipate the dangers associated with nuclear reactions and radioactive materials. Thus, control rods were developed to prevent the fission reactions from generating too much heat. The reactor and its cooling system are always enclosed in a containment shell made of thick sheets of steel to prevent the escape of radioactive materials. Nuclear power plants are highly complex facilities, with backup systems for increased safety, which are themselves supported by other backup systems. But the components of these systems age, and human errors can and do occur; safety measures do not always function the way they were designed.

On December 2, 1957, the first nuclear power plant opened in Shippingport, Pennsylvania, and to many the nuclear age seemed to have begun. Over the next two decades, more than fifty plants were commissioned, with dozens more ordered. But safety problems plagued the industry. An experimental reactor in Idaho Falls, Idaho, had already experienced a partial meltdown as a result

of operator error in 1955. In October 1957, just months before the Shippingport plant came on line, a production reactor near Liverpool, England, caught fire, releasing radiation over Great Britain and northern Europe.

The most critical event in the history of nuclear power in the United States was the accident at the Three Mile Island nuclear reactor near Harrisburg, Pennsylvania. In March 1979, fission reactions in the reactor core went out of control, generating huge amounts of heat, and a meltdown resulted. Fuel rods and the control rods were melted; the cooling water was turned to steam and the containment structure itself was threatened. No new plants have been ordered in the United States since this accident, and sixty-five plants on order at that time were cancelled. The explosion at the Chernobyl reactor near Kiev, Ukraine, dealt a second blow to the industry.

Even without these accidents, another problem with nuclear power would remain. This is the problem of spent radioactive wastes. About one-third of the 10 million fuel pellets used in any reactor core must be removed each year because they have been so contaminated with fission by-products that they no longer function efficiently. These highly radioactive pellets must be disposed of in a safe manner, but almost seventy years after the first controlled reaction, no method has yet been discovered to address this issue. As of 2010, these wastes are most commonly stored on a temporary basis at or near the power plant itself. Many have argued that further development of nuclear power should not even be considered until better methods for radioactive waste management have been developed. A federal repository for nuclear power radioactive wastes and high-level defense waste was proposed in Yucca Mountain, Nevada. The site was approved by Congress and then-President George W. Bush (1946–) in 2002. In 2008, the U.S. Department of Energy (DOE) submitted an application to the Nuclear Regulatory Commission (NRC) for approval to initiate construction. The application is under review by the NRC; however, in 2009, U.S. Secretary of Energy Steven Chu (1948–) reported to the Senate that the Yucca Mountain site was no longer being considered for radioactive waste storage, though a new plan for waste disposal is under development.

The International Nuclear Safety Center (INSC), which operates under the guidance of the director of International Nuclear Safety and Cooperation in the DOE, has the mission of improving nuclear power reactor safety worldwide. The INSC is dedicated to developing improved nuclear safety technology and promoting the open exchange of nuclear safety information among nations, sponsoring scientific research activities as collaborations between the United States

and its international partners. Safety issues are addressed at several levels, including the following: risk assessment, containment, structural integrity of reactors, assessment of their seismic reliability, equipment operability, fire protection, and reactor safeguards.

The security of nuclear facilities has also been a point of growing concern. In 1991, the NRC instituted an operational safeguards response evaluation (OSRE) program, which evaluated the ability of nuclear facility security personnel to withstand a staged commando-style attack by intruders. Unfortunately, six of the eleven evaluations performed in 2000 and 2001 resulted in the attackers being able to penetrate security and simulate damage to reactor equipment.

In the post-September 11, 2001, terrorist attack environment, the vulnerability of America's 104 nuclear power facilities are a critical national security concern. All nuclear facilities were placed on high alert immediately following the attacks, and in early 2002 the NRC issued interim confidential security orders for all licensees to comply with. In addition, decommissioned nuclear plants and spent fuel storage facilities were also required to implement the security orders. The NRC also conducted a thorough review of its Internet Web site, taking the site offline temporarily to analyze content and remove all documents deemed sensitive to national security. In April 2002, the NRC announced the establishment of a dedicated department for plant security, the Office of Nuclear Security and Incident Response.

Nuclear power plants currently provide 20 percent of electricity in the United States. The future role of nuclear power in energy production throughout the world is uncertain. But the current absence of nuclear power plant development in the United States should not be taken as indicative of future trends, as well as trends in the rest of world. France, for example, obtains more than half of its electricity from nuclear power, despite the safety problems. And many believe that nuclear power should be an important part of energy production in the United States as well. Proponents of nuclear power argue that its dangers have been greatly exaggerated in this country. The risks, they argue, must be compared with the health and environmental hazards of other fuels, particularly fossil fuels.

In 2005, the Energy Policy Act was passed and promoted the development of clean (low or no greenhouse gas emissions) technologies for nuclear power generation based on the Generation IV Nuclear Energy Systems Initiative. The two technologies that are being

developed as possibilities are the very-high temperature reactors (VHTRs) and the sodium-cooled fast reactors (SFRs). The VHTR technology is being pursued as a priority for the U.S. Next Generation Nuclear Plant (NGNP) because of its potential for advanced electricity and hydrogen production, high temperature process heat applications, and safe integration into industry without greenhouse gas emissions.

In February 2010, U.S. President Barack Obama announced that the DOE was committed to providing loan guarantees in order to ensure construction of two new nuclear reactors set for development in the state of Georgia. Such loan guarantees were initially authorized by the U.S. Energy Policy Act of 2005. Although the new plants still need approval from the NRC, the reactors could become the first new nuclear construction projects in the United States in more than thirty years. In a show of support for the development of nuclear power, President Obama pledged to more than triple the proposed level of federal government loan guarantees from levels specified in the Energy Policy Act of 2005. Obama and nuclear power proponents claim that nuclear power must be a part of the development of clean energy technologies. Critics in the environmental advocacy community counter that the nuclear power, with its waste disposal controversies and perils, should not be considered clean technology.

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- Sandia National Laboratories: Energy and Critical Infrastructure, PO Box 5800, Albuquerque, NM, USA, 87185, (505) 284-5200, <http://www.sandia.gov>
- U.S. Nuclear Regulatory Commission, Office of Public Affairs (OPA), Washington, DC, USA, 20555, (301) 415-8200, (800) 368-5642, [opa@nrc.gov](mailto:opa@nrc.gov), <http://http://www.nrc.gov>

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## Nuclear Regulatory Commission

The development of nuclear weapons during World War II raised a number of difficult nonmilitary questions for the United States. Most scientists and many politicians realized that the technology used in weapons research had the potential for use in a variety of peacetime applications. In fact, research on techniques for controlling nuclear fission for the production of electricity was well under way before the end of the war.

An intense congressional debate over the regulation of commercial nuclear power resulted in the creation in 1946 of the Atomic Energy Commission (AEC). The AEC had two major functions: to support and promote the development of nuclear power in the United States and to monitor and regulate the applications of nuclear power.

Some critics pointed out the contradictory nature of the commission. How could the AEC act as a vigorous protector of the public safety, they asked, if it also had to encourage industry growth? The validity of that argument did not become totally obvious for nearly two decades. It was not until the early 1970s that the suppression of information about safety hazards from existing plants by the AEC became public knowledge.

The release of this information prompted Congress and the president to rethink the government's role in nuclear power issues. The result of that process was the Energy Reorganization Act of 1973 and Executive Order 11834 of January 15, 1975. These two actions established two new governmental agencies: the Nuclear Regulatory Commission (NRC) and the Energy Research and Development Agency (ERDA). The NRC was assigned all of the AEC's old regulatory responsibilities, while the ERDA assumed its energy development functions.

The mission of the NRC is to ensure that the civilian uses of nuclear materials and facilities are conducted in a manner consistent with public health and safety, environmental quality, national security, and antitrust laws. The single most important task of the commission is to regulate the use of nuclear energy in the generation of electric power.

In order to carry out this mission, the commission has a number of specific functions. It is responsible for inspecting and licensing every aspect of nuclear power plant construction and operation, from initial plans through actual construction and operation to disposal of radioactive waste materials. The commission also contracts for research on issues involving the commercial use of nuclear power and holds public hearings on any topics involving the use of nuclear power. An important ongoing NRC effort is to establish safety standards for nuclear radiation exposure.

A fair amount of criticism is still directed at the NRC. Critics feel that the NRC has not been an effective watchdog for the public in the area of nuclear safety. For example, the investigation of the 1979 Three Mile Island nuclear reactor accident found that the commission was either unaware of existing safety problems at the plant or failed to inform the public adequately about these problems.

## Resources

### ORGANIZATIONS

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## Nuclear test ban

The Comprehensive Nuclear-Test Ban Treaty (CTBT), which prohibits all nuclear explosions either for military or “peaceful” purposes, was adopted by the United Nations General Assembly in September 1996. Although disputes remain in some countries over the ratification of the treaty, the adoption of the text by the General Assembly marks the culmination of several decades of intermittent negotiation aimed at the worldwide prohibition of nuclear explosions within the United Nations nuclear nonproliferation framework. The CTBT aims at preventing the development and proliferation of nuclear weapons and is viewed by the

United Nations as an important step toward eventual nuclear disarmament.

President Dwight D. Eisenhower first suggested a ban on atmospheric testing in 1955, but it was not until August 1963, in the aftermath of the 1962 superpower showdown over the stationing of nuclear-capable missiles in Cuba, that the United States and the Union of Soviet Socialist Republics (USSR) agreed to a Partial Test Ban Treaty (PTBT). This agreement banned all atmospheric tests of nuclear weapons, but allowed both parties to set off explosions in the more frequently-used underground tests. With both superpowers concerned about issues of sovereignty and espionage, the PTBT limited procedures for verification of compliance to what were called “national technical means,” which consisted mainly of satellite, communications, and long-distance seismic monitoring. While the PTBT’s overall impact on arms control is generally thought to have been negligible, it did serve to prevent a great deal of pollution of the atmosphere and the earth’s surface by plutonium and other radioactive substances.

In 1974, the PTBT was supplemented by the signing of a threshold test ban treaty (TTBT) that limited the explosive yield of underground nuclear tests. Initially, the TTBT also relied on national technical means for verification. But in 1990, the original protocol was replaced with a new agreement on verification means that allowed for on-site inspections and in-country seismic monitoring.

Fourteen years after the adoption of the PTBT, the United States, the USSR, and the United Kingdom convened trilateral talks aimed at achieving a comprehensive test ban. Some limited progress was made toward resolving several points of disagreement, but in general the parties achieved few tangible results in these negotiations. This lack of success combined with a general toughening of U.S. policy toward the USSR after the 1980 elections all but ended discussion of a test ban treaty.

Against the wishes of both the United States and the United Kingdom, a series of amendments was suggested in January 1991, under the authority of the existing treaties’ revision procedures. A conference of the nuclear powers was convened to consider the proposed amendments. These negotiations were inconclusive, but they did signal considerable international interest in a comprehensive ban. Political momentum toward this end was given a boost in 1992, when Russia, France, and the United States declared a nine-month moratorium on all testing. In doing so, the United States reversed official

doctrine introduced in the 1980s, which held that testing via explosions was a necessary component of national security. The radical geopolitical changes associated with the disintegration of the USSR also played a role in changing the climate of negotiations. As a result, the 1994 Conference on Disarmament created a committee with a mandate to establish a framework for a comprehensive ban. By extending its own moratorium on nuclear tests, Bill Clinton's presidential administration signaled its willingness to sign the resulting CTBT, giving the final process of negotiation an important boost.

Technological and political developments, however, are already raising new issues for arms control experts and negotiators. Advances in communications and surveillance technology aid the verification of compliance. But advances in nuclear physics and weapons technology, such as the advent of so-called micronukes and hydronuclear tests with greatly reduced yields, make the very definition of nuclear testing problematic. Several countries, especially India and Pakistan, have expressed strong misgivings about the CTBT. Although the United States strongly supports the treaty on an official level, substantial and deep-rooted opposition to the CTBT persists, including some notable critics among those charged with oversight of its huge nuclear arsenal. Opponents of the treaty argue that limited testing is necessary for checking the safety and reliability of existing nuclear warheads and for maintaining the credibility of the nuclear deterrent.

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Nuclear waste see **Radioactive waste**.

## Nuclear weapons

There are two types of nuclear weapons, each of which utilizes a different nuclear reaction: nuclear fission and nuclear fusion. The bomb developed by the Manhattan Project and dropped on Japan during World War II (1939–1945) were fission weapons, also known as atomic bombs. Hydrogen bombs are fusion weapons, and these were first developed and produced during the early 1950s.

In fission weapons, the explosive energy is derived from nuclear fission, in which large atomic nuclei are split into two roughly equal parts. Every time a nucleus divides, it releases a large amount of energy. Each fission reaction also produces one or more neutrons, the subatomic particles that are needed to initiate a fission

reaction. Thus, once a fission reaction has been started in a few nuclei, it rapidly spreads to other nuclei around it, creating a chain reaction. The two nuclei most commonly used for this type of nuclear reaction are uranium-235 and plutonium-239.

The necessary fission reaction will not occur if an atomic bomb carries any single piece of fissionable material that is more than a few kilograms. The bomb can contain more than one piece of this size, but it seldom contains more than three or four. Thus, there is a limit to the size of a fission weapon—as well as the energy it can release. Nuclear weapons and the force of their blasts are measured in kilotons, each of which is equivalent to a thousand tons of TNT, which is short for trinitrotoluene, with a chemical formula of  $C_7H_5N_3O_6$ . Fission weapons are limited to 20 or 30 kilotons (20,000 or 30,000 tons).

Fusion weapons derive their explosive power from a reaction that is the opposite of fission. In fusion, two small nuclei combine or fuse, releasing large amounts of energy in the process. The materials needed to initiate another fusion reaction are produced as a by-product. Fusion, like fission, is a cyclic reaction.

In contrast to fission weapons, a hydrogen bomb can be of almost any size. Such a bomb consists of a fission bomb at the core, surrounded by a mass of hydrogen isotopes used in the fusion reactions. No limit exists to the mass of hydrogen that can be used, and there is thus no theoretical limit to the size of fusion weapons. The practical limit is simply the necessity of transporting it to a target; the bomb cannot be so large that a rocket or an airplane is unable to carry it effectively.

Both fission and fusion weapons are often classified as strategic or tactical. Strategic weapons are long-range weapons intended primarily for attack on enemy land. Tactical weapons are designed for use on the battlefield, and their destructive power is adjusted for their shorter range.

Nuclear weapons cause destruction in a number of different ways. They create temperatures upon explosion that are, at least initially, millions of degrees. Some of their first effects are heat effects, and materials are often incinerated on contact. The heat from the blast also causes rapid expansion of air, resulting in very high winds that can blow over buildings and other structures. A nuclear weapon blast also releases high levels of radiation, such as X-rays and gamma rays. Humans and other animals close to the center of the blast suffer illness and death from radiation exposure. The set of symptoms associated with such exposure is known as radiation sickness. Many individuals who survive radiation sickness eventually develop cancer and their offspring



**U.S. Air Force Museum and National Aviation Hall of Fame. Replica of Little Boy Bomb dropped on Hiroshima, Japan. Dayton, Ohio, USA. (© age fotostock / SuperStock)**

frequently suffer genetic damage. Finally, a weapon's blast releases huge amounts of radioactive materials. Some of these materials settle out of the atmosphere almost immediately, creating widespread contamination. Others remain in the atmosphere for weeks or months, resulting in long-term radioactive fallout.

Because of their destructive power, the nations of the world have been trying for many years to reach agreements on limiting the manufacture and possession of nuclear weapons. Between July 16, 1945, and September 23, 1992, the United States conducted (by official count) 1,054 nuclear tests, and two nuclear attacks. In 1963, the United States and the former Soviet Union agreed to a Limited Nuclear Test Ban Treaty that banned explosions in the atmosphere, outer space, and underwater. After 1963, both nations continued testing underground. The 1974 Threshold Test Ban Treaty restricted the underground testing of nuclear weapons by the United States and the former Soviet Union to yields no greater than 150 kilotons (150,000 tons).

In the 1980s and 1990s, debates over arms control and continued testing of nuclear weapons were

affected by a number of international developments. The first was the growing internal weakness and eventual disintegration of the former Soviet Union. After a decade of difficult negotiations, the United States and the Soviet Union signed a bilateral Strategic Arms Reduction Agreement, or (START I), on July 31, 1991. Five months later the Soviet Union dissolved. It was succeeded by four states that had nuclear weapons on their territories: Russia, the Ukraine, Belarus, and Kazakhstan. On December 5, 2001, the United States and the Russian Federation succeeded in reducing their number of deployed warheads to the level specified by START I. The Ukraine, Belarus, and Kazakhstan have eliminated or removed from their territory all nuclear weapons left over from the Soviet period. The START II treaty, which specifies further reductions in the number of nuclear weapons possessed by both powers, was ratified by the U.S. Senate on January 26, 1996, and by the Russian Duma on April 14, 2000. On May 24, 2002, President George W. Bush (1946–) of the United States and President Vladimir Putin (1952–) of Russia signed the Strategic Offensive Reductions

Treaty, which commits both nations to reduce the number of their nuclear warheads to two-thirds of their 2002 levels by December 31, 2012.

The second international development of concern has been the steady increase in the number of states possessing nuclear weapons, and the potential for their use in international conflicts. The latest figures of the Carnegie Institute's Non-Proliferation Project estimates that thirty-five nations have some type of nuclear ballistic missile. It is difficult to obtain precise information about the number and location of these weapons because many countries in the so-called "nuclear club" have not openly acknowledged their membership.

The third development since the 1980s is the growing possibility that terrorist groups might acquire the information and materials to build nuclear devices. This fear acquired new prominence after the terrorist attacks of September 11, 2001. As early as 1997, Alexander Lebed (1950–2002), a Russian general, claimed that the former Soviet Union had developed nuclear weapons small enough to fit in a suitcase. Although technical information is lacking on the Russian side, the United States' experimental development of small-sized nuclear weapons suggests that it is technically possible to design a bomb small enough to fit in a large suitcase. In addition, it is known that members of al-Qaeda made several attempts to purchase commercial reactor-grade plutonium during the 1990s.

As of 2010, however, the likelihood of a terrorist group's constructing a small portable nuclear device is not high, but still possible. The construction of a suitcase bomb using plutonium would require a very high degree of sophistication, and would be extremely hazardous to its creators. Still, there are no substantial technical obstacles for a small terrorist group to manufacture a simple but highly destructive nuclear bomb about the size of a truck. The U.K. Daily Mail newspaper stated the following in 2010: "Complacency among world governments could lead to terrorists getting hold of the material needed to make a nuclear weapon, scientists and weapons experts have warned." Gareth Evans, a former Australian foreign minister, stated this within the article: "There are a number of people inclined to think that maybe concerns about nuclear terrorism are alarmist, that terrorists could never make a functioning nuclear weapon." Former U.S. diplomat and arms control expert Robert Gallucci responded by saying that terrorists developing a crude nuclear device was "possible, plausible and, over time, probable."

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- Federation of American Scientists, 1725 DeSales Street, Sixth Floor, Washington, DC, USA, 20036, (202) 546-3300, [www.fas.org](http://www.fas.org)
- Sandia National Laboratories, PO Box 5800, Albuquerque, NM, USA, 87185, <http://www.sandia.gov/>

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Nuclear weapons testing see **Bikini atoll;**  
**Nevada Test Site.**

## Nuclear winter

"Nuclear winter" is a term given to what would happen to the earth's environment following a large-scale nuclear war. In effect, the entire planet would be plunged into a very bitter winter that would last months or years. The most severe consequence would be that the earth's climate would become too cold to grow vital food crops, including rice, wheat, and corn. It would likely lead to the starvation of hundreds of millions of people who survived the initial nuclear blasts. In effect, it would likely be the end of civilization.

However, with the end of the Cold War and the breakup of the Soviet Union, the threat of a full-scale nuclear exchange between the United States and Russia has greatly diminished. Today, the term nuclear winter is also used to describe the aftermath of any event that sends huge amounts of particulate matter into the atmosphere, blocking the sun and drastically cooling the earth's atmosphere. Such events could include the simultaneous

eruption of a number of large volcanoes, or the impact upon the planet of a large comet or asteroid.

Although nine countries are known to possess nuclear weapons as of 2010, it was the thousands of warheads held by the United States and the Soviet Union (now Russia) that most worried scientists during the Cold War. A nuclear exchange between countries other than the United States and Russia, such as India and Pakistan, would not create a nuclear winter since those nations possess much smaller nuclear arsenals, and hence the potential detrimental effects of a nuclear exchange would be much less than a U.S.-Russian exchange. A nuclear exchange between countries with comparatively smaller nuclear arsenals would spare most of the world's croplands from destruction.

Many of the horrible consequences of a global nuclear conflict have been well-known for nearly half a century. Though much research has been conducted in this area, there is still much to be learned. Many scientists believe that the smoke produced as a result of a nuclear conflict would reduce the transmission of sunlight to the earth's surface over a significant portion of the planet. According to the theory, this reduction in sunlight would then cause a cooling on land surfaces of anywhere from 18–65°F (10–35°C). Interior parts of a continent would experience greater cooling than would coastal regions. Some parts of the planet that now experience a temperate climate might be plunged into winter-like conditions.

The idea of nuclear winter has been controversial ever since it was first proposed in 1983 by famed astronomer Carl Sagan (1934–1996). The U.S. National Academy of Sciences, the U.S. Office of Science and Technology Policy, the World Meteorological Organization, the International Council of Scientific Unions, and the Scientific Committee on Problems of the Environment, as well as dozens of individual scientists, have analyzed the potential for a nuclear winter and the problems that it might engender. These studies have not resolved the many issues surrounding a possible nuclear winter effect, but they have clarified a number of factors involved in that effect.

First, the fundamental logic behind a possible nuclear winter effect has been considerably strengthened. Experts estimate that about 9,000 teragrams (9 trillion grams) of finished lumber exist in the world. A large portion of that is found in urban areas that are likely to be the focus of a nuclear attack. By one estimate, anywhere from 25-75 percent of the wood in an urban area would be ignited in a nuclear attack. A second resource vulnerable to nuclear attack is the

world's petroleum reserves. Most experts agree that oil refineries, oil storage centers, and other concentrations of oil deposits would be likely targets in a nuclear attack. These materials would also serve as fuel in a widespread firestorm.

The main product of concern in the combustion of wood, petroleum, and other materials (plastics, tar, asphalt, vegetation, etc.) would be sooty smoke. This smoke would decrease solar radiation by as much as 50 percent. In addition, it would not easily be washed out of the atmosphere by rain, snow, and other forms of precipitation. Computer models have also shown that soot in the atmosphere may be more stable than first imagined. Studies also suggest that a nuclear winter effect could produce serious consequences for the ozone layer. One effect would be the dislocation of the ozone layer over the Northern Hemisphere toward the Southern Hemisphere. Another effect would involve actual destruction of the ozone layer by nitrogen oxide molecules carried aloft by smoke.

Scientists have studied a number of natural phenomena with nuclear winter-like effects. Volcanic eruptions, massive forest fires, natural dust clouds, urban fires, and extensive wildfires all produce massive amounts of smoke similar to what would be expected in a nuclear conflict. For example, massive wildfires in China during May of 1987 were found to reduce daytime temperatures in Alaska by 4-12°F (2-6°C) in ensuing months. Possible climatic effects from the enormous oil well fires during the Persian Gulf War, as well as recent volcanic eruptions, have also been studied. One scientist studying this phenomenon has said that “severe environmental anomalies—possibly leading to more human casualties globally than the direct effects of nuclear war—would be not just a remote possibility, but a likely outcome.”

A number of scientists have challenged Sagan's findings, saying he overestimated the devastation that would result from a large-scale nuclear war. Several scientists suggested the result of such a war would be a “nuclear fall” rather than nuclear winter. While this would also be a disaster, the scientists suggested that it would not mean the end of civilization as Sagan predicted. The scientists explained that this is because Sagan greatly overestimated both the amount of smoke and dust that would be created and its duration in the atmosphere.

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Ken R. Wells

## Nucleic acid

Nucleic acids are macromolecules composed of polymerized nucleotides. Nucleotides, in turn, are structured of phosphoric acid, pentose sugars, and organic bases. Deoxyribonucleic acid (DNA) most commonly exists as a double stranded helix. The genetic information of some viruses, bacteria, and all higher organisms is encoded in DNA, and the physical basis of heredity of these organisms is dependent upon the molecular structure of DNA.

DNA is transcribed into single stranded ribonucleic acid (RNA), which is then translated into protein. The conversion of the genetic information of a species into the fabric of that organism involves several kinds of RNA (viz., messenger RNA, transfer RNAs, and

ribosomal RNAs). The genetic material of some viruses is RNA.

## Nutrient

All plants and animals require certain chemicals for growth and survival. These chemicals are called biogenic salts or nutrients (from the Latin word *nutrio* meaning to feed, rear, or nourish). They can be categorized as those needed in large amounts called macronutrients, and those needed in minute amounts called micronutrients or trace elements. Macronutrients include nitrogen (an essential building block of chlorophyll and protein), phosphorus (used to make deoxyribonucleic acid [DNA] and phosphorylated nucleotide [ATP]), calcium (a component of cell walls and bones), sulfur (a component of amino acids), and magnesium (a component of bones and chlorophyll). Micronutrients, although needed only in trace amounts, are still essential for survival. Examples include cobalt (used in the synthesis of vitamin B<sub>12</sub>), iron (essential for photosynthesis and blood respiratory pigment), and sodium (used in the maintenance of proper acid-based balance called osmoregulation, nerve transmission, and several other functions). Some micronutrients, such as copper and zinc, can be harmful in large amounts. The borderline between necessary and excessive is often narrow and varies among species.



## Oak Ridge, Tennessee

Along with towns such as Los Alamos, New Mexico, and the area around the Savannah River in Georgia, Oak Ridge is central to the history of the development of nuclear weapons in the United States. It has also come to represent many of the environmental consequences of nuclear research and weapons production.

Oak Ridge was a small, sleepy town when it was selected as a research site in the 1940s for the development of the atomic bomb. Amidst an atmosphere of intense secrecy, the government built the Oak Ridge National Laboratories within a period of months and assembled a force of 75,000 scientists and other employees. These physicists, engineers, and others worked under extreme security to design various components of the hydrogen bomb. Their research was carried out under the auspices of the Manhattan Project, though the tasks were compartmentalized and few scientists are thought to have been aware of the larger significance of their work.

After the end of World War II, the laboratories at Oak Ridge were used for the research activities and weapons production of the Cold War. Although the area did experience decreases in the number of highly trained personnel, the research center remained an important part of the government's network of national laboratories. During this period, the U.S. Department of Energy (DOE) took over the management of the Oak Ridge laboratories and subcontracted administrative operations to such private corporations as Union Carbide and the Martin Marietta Corporation. Peacetime activities also included major research and educational initiatives developed in association with the local university.

Because of the urgency and secrecy under which military research was conducted at Oak Ridge, little attention was paid to the health impacts of radiation

or the safe disposal of hazardous waste. Starting in 1951, the research facilities were responsible for storing 2.7 million gallons (10.2 million liters) of concentrated acids and radioactive wastes in open ponds. Seventy-six thousand rusting barrels and drums containing mixed radioactive wastes remained on the site. Millions of cubic yards of toxic and radioactive waste were also buried in the ground with no containment precautions; 2.4 million pounds (1 million kg) of mercury and an unknown amount of uranium are estimated to have been released into the ambient environment through the air, water and soil pathways. The DOE has spent \$1.5 billion evaluating the level of contamination and planning remediation and treatment activities, and that figure is expected to grow exponentially. Cleanup programs are now the focus of much of the research done by the nuclear scientists at Oak Ridge.

Vegetation and wildlife (water fleas, frogs and deer) around the laboratories have set off high readings of radioactivity in Geiger counters. Radionuclides such as strontium, tritium, and plutonium have been traced in surface waters 40 miles (64 km) downstream of the plant. However, few published studies exist on human health effects from hazardous waste disposal in the area. Studies that examined short term cancer rates in the male worker population found no correlation between cancer risk and worker radiation exposure; these studies also noted that Oak Ridge employees were actually 20 percent less likely to die from cancer as the rest of the country,—a fact that may be due to the quality of their medical care. Yet studies that followed the health patterns of workers over a period of forty years documented that cancer risk did indeed increase by 5 percent with each rem of increasing radiation exposure. These studies also found that white male workers at the laboratories had a 63 percent higher leukemia death rate than the national average.

In 1977 large numbers of employee health records were deliberately destroyed at the Oak Ridge National Laboratories, and the DOE has attempted to influence

the interpretation and publication of health studies on the effects of radiation exposure. These facts raise troubling questions for many about the level of knowledge at the research center of the effects of low-level radiation. Both the laboratories and the town itself are considered case studies of the environmental problems that can be caused by large federal facilities operating under the protection of national security.

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Usha Vedagiri  
 Douglas Smith

## Occupational Safety and Health Act (1970)

The Occupational Safety and Health Act (1970) was intended to reduce the incidence of personal injuries, illness, and deaths as a result of employment. It requires employers to provide each of their employees with a workplace that is free from recognized hazards that may cause death or serious physical harm. The act directed the creation of the Occupational Safety and Health Administration (OSHA) within the U. S. Department of Labor. This agency is responsible for developing and promulgating safety and health standards, issuing regulations, conducting inspections, and issuing citations as well as proposing fines for violations.

## Occupational Safety and Health Administration

The Occupational Safety and Health Administration (OSHA) was established pursuant to the Occupational Safety and Health Act (1970). Within the Department of Labor, OSHA has the responsibility for occupational safety and health activities pursuant to the act, which covers virtually every employer in the country except all types of mines, which are regulated separately under the Mine Safety and Health Act (1977). The Occupational Safety and Health Administration develops and

promulgates standards, develops and issues regulations, conducts inspections to insure compliance, issues citations, and proposes penalties. In the case of a disagreement over the results of safety and health inspections performed by OSHA, employers have the right of appeal to the Occupational Safety and Health Review Commission, which works to ensure the timely and fair resolution of these cases.

## Resources

### ORGANIZATIONS

U.S. Department of Labor, Occupational Safety & Health Administration - Room N3641, 200 Constitution Avenue, Washington, DC, USA, 20210, (800) 321-OSHA <http://www.osha.gov>

## Ocean Conservancy, The

“The will to understand, conserve, and protect ocean life is at the very core of the Ocean Conservancy’s mission. To fulfill this mission, the Ocean Conservancy seeks to: protect marine ecosystems, prevent marine pollution, protect endangered marine species, manage fisheries for conservation, and conserve marine biodiversity.” Since its founding in 1972, the Ocean Conservancy (formerly the Center for Marine Conservation) has worked toward these goals. The endangered species the group helps to protect include, among many others, whales, dolphins, seabirds, seals and sea lions, and sea turtles.

More than 110,000 Ocean Conservancy members nationwide volunteer their time in many different ways, including writing to Congress asking for support of marine conservation and organizing or participating in beach cleanups across the country. In 1988, for example, more than 16,000 people took part in beach cleanups in Florida and Texas. As of 2010 The Ocean Conservancy boasted more than 500,000 members, who engage in various projects, including cleanup of thousands of miles of coast. More than 100 countries have helped the United States in this effort to clean the beaches.

Because of human actions and the ever-changing environment, the Ocean Conservancy’s goals constantly grow and change. Over the years they have challenged formidable opponents, including Exxon. After the *Exxon Valdez* oil spill, the Ocean Conservancy participated in the cleanup and forced Exxon to step up the rescue and rehabilitation of sea otters (*Enhydra lutris*) and other mammals and birds injured by the accident. The Ocean Conservancy also took an active role in promoting cleanup following the Deepwater Horizon oil spill in the Gulf of Mexico in 2010.

Another important Ocean Conservancy activity is the Marine Habitat Program. Through this program the Ocean Conservancy has played a pivotal role in establishing six marine sanctuaries in the United States (there are only eight total), as well as in other countries. One of these, the Silver Back Humpback Whale Sanctuary, protects humpback whales (*Megaptera novaeangliae*) near the Dominican Republic. Established in 1986, it was the world's first whale sanctuary.

Research is an important component of all Ocean Conservancy programs. The group's ongoing research and advocacy has resulted in the adoption of stricter regulations on commercial whaling by the International Whaling Commission. The Ocean Conservancy has also helped to pass federal and state regulations requiring the use of turtle excluder devices (TEDs) on shrimp nets to help protect thousands of endangered sea turtles. Turtles have also benefited from the Ocean Conservancy's research in artificial lighting. The group convinced counties and cities throughout Florida to control the use of artificial light on the state's beaches after proving that it prevents turtles from nesting and lures baby turtles away from their natural habitat.

In 1988 the Ocean Conservancy established a database on marine debris and, subsequently, the group created two Marine Debris Information offices, one in Washington, DC, and one in San Francisco. These offices provide information on marine debris, especially plastics, to scientists, policy makers, teachers, students, and the general public. Many of the Ocean Conservancy's projects are exemplary, but one has received so much attention that it has been used as a model by other environmental groups, including the Environmental Protection Agency (EPA). The California Marine Debris Action Plan, which went into effect in 1994, is a comprehensive strategy for combating marine debris, and a collaborative effort of the Ocean Conservancy and a wide network of public and private organizations. In 2000 President Clinton enacted the Oceans Act, which set up an Oceans Commission to review and revise all policies dealing with the protection of the ocean and the coast. The most recent win for the Ocean Conservancy came in 2001, when Tortugas (200 square nautical miles) was established as the largest no-take marine reserve near Key West, Florida.

## Resources

### ORGANIZATIONS

The Ocean Conservancy, 1300 19th Street, NW, 8th Floor, Washington, DC, USA, 20036, (202) 429-5609, [info@oceanconservancy.org](mailto:info@oceanconservancy.org), <http://www.oceanconservancy.org>

Cathy M. Falk

## Ocean dumping

Ocean dumping is internationally defined as “any deliberate disposal at sea of wastes or other matter from vessels, aircraft, platforms, or other man-made structures at sea, and any deliberate disposal at sea of vessels, aircraft, platforms, or other man-made structures at sea.” The discharge of sewage and other effluents from a pipeline and the discharge of waste incidental to, or derived from the normal operations of, ships are not considered ocean *dumping*. Wastes have been dumped into the ocean for thousands of years. Fish and fish processing wastes, rubbish, industrial wastes, sewage sludge, dredged material, radioactive waste, pharmaceutical wastes, drilling fluids, munitions, coal wastes, cryolite, ocean incineration wastes, and wastes from ocean mining have all been dumped at sea. Ocean dumping has historically been more economically attractive, when compared with other land-based waste management options.

The 1972 Convention on the Prevention of Marine Pollution by Dumping of Wastes and Other Matter, commonly called the London Convention, came into force in 1975 to control ocean dumping activities. The framework of the London Convention includes a *black list* of materials that may not be dumped at sea under any circumstances (including radioactive and industrial wastes), a *grey list* of materials considered less harmful that may be dumped after a special permit is obtained, and criteria that countries must consider before issuing an ocean dumping permit. These criteria require considering of the effects dumping activities can have on marine life, amenities, and other uses of the ocean, and encompass factors related to disposal operations, waste characteristics, attributes of the site, and availability of land-based alternatives. Most ocean dumping permits (90–85%) reported by parties to the London Convention are for dredged material. Currently only three parties to the London Convention dump sewage sludge at sea. Other categories of wastes that are permitted for ocean dumping include inert, geological materials, vessels, and fish wastes. The International Maritime Organization is responsible for administrative activities related to the London Convention, and it facilitates cooperation among the countries party to the Convention. As of 2005 eighty-one countries had ratified the Convention.

In the early 1990s the parties to the London Convention began a comprehensive review of the treaty. This resulted in the adoption of the 1996 Protocol to the London Convention. The purpose of the protocol is



**A ship dumping jarosite waste into the ocean off the Australia coast.** (Photograph by Hewetson. Greenpeace)

similar to that of the convention, but the protocol is more restrictive: application of a “precautionary approach” is included as a general obligation, a “reverse list” approach is adopted, incineration of wastes at sea is prohibited, and export of wastes for the purpose of dumping or incineration at sea is prohibited. Under the reverse list approach, dumping is prohibited unless explicitly permitted, and only seven categories of materials may be considered for dumping: dredge material; sewage sludge; fish waste; vessels and platforms; inert, inorganic geological material; organic material of natural origin; and bulky items of unharmed materials when produced at locations with no other disposal options.

Ocean dumping has been used as a method for municipal waste disposal in the United States for about eighty years, and even longer for dredged material. The law to regulate ocean dumping in the United States is the Marine Protection, Research, and Sanctuaries Act of 1972. This act, also known as the Ocean Dumping Act, banned the disposal of radiological, chemical, and biological warfare agents, high-level radioactive waste, and medical waste. It requires a permit for the ocean dumping of any other materials.

Such a permit can only be issued where it is determined that the dumping will not unreasonably degrade or endanger human health, welfare, or amenities, or the marine environment, ecological systems, or economic potentialities. Furthermore, all permits require notice and opportunity for public comment. The U.S. Environmental Protection Agency (EPA) was directed to establish criteria for reviewing and evaluating ocean dumping permit applications that consider the effect of, and need for, the dumping. The Ocean Dumping Act has been revised by the United States Congress in the years since its enactment. In 1974 Congress amended this act to conform with the London Convention. In 1977 the act was amended to incorporate a ban by 1982 on ocean dumping of wastes that may unreasonably degrade the marine environment. As a result of this ban, approximately 150 permittees dumping sewage sludge and industrial waste stopped ocean dumping of these materials. In 1983 the law was amended to make any dumping of low-level radioactive waste require specific approval by Congress. In 1988 the Ocean Dumping Ban Act was passed to prohibit ocean dumping of all sewage sludge and industrial

waste by 1992. Virtually all material ocean-dumped in the United States today is dredged material. Other materials include fish wastes, human remains, and vessels.

Physical properties of wastes, such as its density and chemical composition, affect the dispersal and settling of the wastes dumped at sea. Many contaminants, such as metals, found in trace amounts in natural materials are enriched in wastes. After material is dumped at sea, there is usually initial, rapid dispersion of the waste. For example, some wastes are dumped from a moving vessel, with dilution rates of 1,000 to 100,000 from the ship's wake. As the wake subsides, naturally occurring turbulence and currents further disperse wastes eventually to nondetectable background levels in water in a matter of hours to days, depending on the type of wastes and physical oceanic processes. Dilution is greater if the material is released more slowly and in smaller amounts, with dispersal rates decreasing over time. Wastes, such as dredged material, that are much denser than the surrounding seawater sink rapidly. Less dense waste sinks more slowly, depending on the type of waste and physical processes of the dumpsite. As sinking waste particles reach seawater of equal density, they spread horizontally, with individual particles slowly settling to the sea bottom. The accumulation of the waste on the seafloor varies with the location and characteristics of the dumpsite. Quiescent waters with little tidal and wave action in enclosed shallow environments have more waste accumulate on the bottom in the general vicinity of the dumpsite. Wastes dumped in more open, well-mixed ocean waters are transported away from the dumpsite by currents and can disperse over a very large area, as much as several hundred square kilometers.

The physical and chemical properties of wastes change after the material has been dumped into the ocean. As wastes mix with seawater, acid-base neutralization, dissolution or precipitation of waste solids, particle adsorption and desorption, volatilization at the sea surface, and changes in the oxidation state may occur. For example, when acid-iron waste is dumped at sea, the buffering capacity of seawater rapidly neutralizes the waste. Hydrous iron oxide precipitates are formed as the iron reacts with seawater and changes from a dissolved to a solid form.

The fraction of the waste that settles to the bottom is further changed as it undergoes geochemical and biological processes. Some of the elements of the waste may be mobilized in organic-rich sediment; however, if sulfide ions are present, metals in waste may be immobilized by precipitation of metal sulfides.

Organisms living on the sea floor may ingest waste particles, or mix waste deeper in the sediment by burrowing activities. Microorganisms decompose organic waste, potentially recycling elements from the waste before it becomes part of the sea floor sediments. Generally sediment-related processes will act on waste particles over a longer time scale than hydrologic processes in the water column.

The effects of dumping on the ocean are difficult to measure and depend on complex interactions of factors including type, quantity, and physical and chemical properties of the wastes; method and rate of dumping; toxicity to the biotic community; and numerous site-specific characteristics, such as water depth, currents (turbulence), water column density structure, and sediment type. Many studies have found that the effects of ocean dumping on the water column are usually temporary and that the ocean floor receives the most impact. Impacts from dumping dredged material are usually limited to the dumpsite. Burial of some benthic organisms (organisms living at or near the sea floor) may occur; however, burrowing organisms may be able to move vertically through the deposited material and fishes typically leave the area. Seagrasses, coral reefs, and oyster beds may never recover after dredged material is dumped on them. There also can be topographic changes to the sea floor.

*See also* Dredging.

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Ocean Dumping Act see **Marine Protection, Research and Sanctuaries Act (1972)**.

## Ocean Dumping Ban Act (1988)

The Ocean Dumping Ban Act of 1988 (Public Law 100-688) marked an end to almost a century of sewage sludge and industrial waste dumping into the ocean. The law was enacted amid negative publicity about beach closures from high levels of pathogens and floating debris washing up along New York and New Jersey beaches and strong public sentiment that ending ocean dumping would improve coastal water quality. The Ban Act prohibited sewage sludge and industrial wastes from being dumped at sea after December 31, 1991. This law is an amendment to the Marine Protection, Research, and Sanctuaries Act of 1972 (Public Law 92-532), which regulates the dumping of wastes into ocean waters. These laws do not cover wastes that are discharged from outfall pipes, such as from sewage treatment plants or industrial facilities or that are generated by vessels.

The Ocean Dumping Ban Act was not the first attempt to prohibit dumping of sewage sludge and industrial wastes at sea. An earlier ban was developed by the U. S. Environmental Protection Agency (EPA) and later passed by the U.S. Congress (Public Law 95-153) in 1977, amending the 1972 act. This 1977 law prohibited ocean dumping that "may unreasonably degrade the marine environment" by December 31, 1981. Approximately 150 entities dumping sewage sludge and industrial waste sought alternative disposal options. However, New York City and eight municipalities in New York and New Jersey filed a lawsuit against the EPA objecting to the order. A federal district court granted judgment in their favor, allowing them to continue ocean dumping under a court order. The court held that the EPA must balance, on a case-by-case basis, all relevant statutory criteria with the economics of ocean dumping against land-based alternatives. After 1981 the New York and New Jersey entities were the only dumpers of sewage sludge, and only two companies were dumping industrial waste at sea.

In anticipation of the 1988 Ocean Dumping Ban Act, one of the two industries stopped its dumping activities in 1987. The remaining industry, which was dumping hydrochloric acid waste, also ceased its activities before the 1988 Ban Act became law. The entities from New Jersey and New York continued to dump a total of approximately eight million wet metric tonnes of sewage sludge (half from New York City) annually into the ocean.

From 1924 to 1987, sludge dumpers used a site approximately 12 miles (19 km) off the coasts of New Jersey and New York. The EPA, working with the National Oceanic and Atmospheric Administration (NOAA), determined that ecological impacts such as shellfish bed closures, elevated levels of metals in sediments, and introduction of human pathogens into the marine environment were attributed entirely or in part to sludge dumping at the 12 mile site. As a result the EPA decided to phase out the use of this site by December 31, 1987. The sewage sludge dumpers were required to move their activities further offshore to the 106-mile (171-km) deep water dumpsite, located at the edge of the continental shelf off southern New Jersey. Industries had used this dumpsite from 1961 to 1987.

The Ocean Dumping Ban Act prohibits all dumping of sewage sludge and industrial waste into the ocean, without exception. The law also prohibits any new dumpers and required existing dumpers to obtain new permits that included plans to phase-out sewage sludge dumping at sea. The Ban Act also established ocean dumping fees and civil fines for any dumpers that continued their activities after the mandated end date. The fines were included in the law in part because legislators assumed that some sludge dumpers would not be able to meet the December 31, 1991 deadline. The law required fees of \$100 per dry ton of sewage sludge or industrial waste in 1989, \$150 per dry ton in 1990, and \$200 per dry ton in 1991. After the 1991 deadline, penalties rose to \$600 per ton for any sludge dumped, and increased incrementally in each subsequent year. Those ocean dumpers that continued beyond December 31, 1991, were allowed to use a portion of their penalties for developing and implementing alternative sewage sludge management strategies. As the amount of the penalty increased each year after 1991, the amount that could be devoted to developing land-based disposal alternatives decreased.

As part of the law, the EPA, in cooperation with NOAA, is responsible for implementing an environmental monitoring plan at the 12-mile site, the 106-mile site, and surrounding areas potentially influenced by

dumping activities to determine the effects of dumping on living marine resources. The Ban Act also includes provisions not directly associated with dumping of sewage sludge or industrial waste at sea. Massachusetts Bay-MA, Barataria Terrebonne Estuary Complex-LA, Indian River Lagoon-FL, and Peconic Bay-NY were named as priority areas for consideration to the National Estuary Program by EPA. The law also includes a prohibition on the disposal of medical waste at sea by public vessels. Finally, the Ban Act requires vessels transporting solid waste over the New York Harbor to the Staten Island Landfill to use nets to secure the waste to minimize the amount that may spill overboard.

The New Jersey dumpers ceased ocean dumping by March 1991. The two New York counties stopped ocean dumping by December 1991 and New York City, the last entity to dump sewage sludge into the ocean, stopped in June 1992. Landfilling is currently used as an alternative to ocean dumping, but with closure of its Fresh Kills Landfill on Staten Island in 2001, New York City had to export its municipal solid waste (MSW) to landfills outside its metropolitan area. The process of trucking New York City's MSW to outside landfills is quite expensive, amounting to \$400 million in 2008 alone. New York City's longer-range plan for dealing with its solid waste entails greater efforts at recycling and composting, so as to reduce the amount of waste material that needs to be disposed of, as well as switching from trucks to barges to transport the MSW more economically to more distant (and less expensive) landfills outside of New York state.

*See also* Convention on the Prevention of Marine Pollution by Dumping of Waste and Other Matter (1972).

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## Ocean farming

Although oceans cover about 70 percent of the earth's surface, most food is produced on land areas through agriculture and animal husbandry. This is because 90 percent of the ocean is unproductive. The productive areas are near shore, such as continental shelves, upwellings, coral reefs, mangrove swamps (also known as mangal communities), and estuaries. The primary reason why these near shore areas are more productive than the open ocean is because of the supply of nutrients that are needed for plant (primarily phytoplankton) productivity, which fuels the food chain/web.

A worldwide plateau in harvesting of natural fish catch was reached in about 1989, so commercial and sport fisheries in the oceans cannot be increased unless something is changed in terms of fishing management and regulations. One possibility is to enhance production through fertilization of the water. Another, more practical, possibility is through aquaculture. As wild harvests decrease and per-capita seafood consumption increases, aquaculture may play an important role to fulfill the increasing demand for seafood. For example, the United States imports 60 percent of its seafood, which contributes to its trade imbalance. Aquaculture can help by providing jobs as well as food products for domestic consumption and for export.

Aquaculture done in seawater is known as mariculture. Fish and shellfish are grown in improved conditions to produce more and better food in optimal environments with lower predation and disease. There are several forms of aquaculture: intensive, extensive, and open ocean. In extensive aquaculture relatively little control is exerted by the mariculturist. These environments typically occur near shore where organisms are grown in several different ways: floating cages or pens; cordoned-off bodies of water, which can be fertilized for enhanced production; or racks and other structures (to grow shellfish). For example, oyster farmers in the Chesapeake Bay place clean substrates in selected areas of the bottom mud each summer to collect young oyster larvae (termed spat) as they settle down from the plankton. These young oysters attach and grow on the substrates, which are periodically transferred to new locations to prevent them from being covered with mud and silt. After several years the oysters are large enough to be marketed. Other culture methods for bivalves such as oysters and clams utilize the stake method, which involves the use of bamboo or other poles driven into the sediment and placed approximately 6 feet (2 m) apart. Nylon ropes hanging from floats can also be used to collect larvae. Following growout (the growth and development of the larvae to reach market size), the shellfish are

collected and sold on the market. Farmers in the Philippines are able to produce nearly 1.5 tons per acre (0.60 tons per ha) of mussels and 1.7 tons per acre (0.70 tons per ha) of oysters annually. These techniques all have relatively low cost and maintenance. Sometimes there are problems with pollution from domestic and industrial waste, and periodic blooms of toxic dinoflagellates (a type of phytoplankton), which create algal blooms termed red tides. The dinoflagellates that cause red tides produce toxins that can be unhealthy or even lethal to humans.

Intensive mariculture systems involve the production of large quantities of marine animals in relatively small areas. These systems are expensive to build and maintain, so typically only highly valued species are used, such as lobsters, shrimp, halibut, and certain other fish. Intensive systems can sometimes be located in tanks on land, using either pumped salt water from the nearby ocean or recirculated artificial seawater if the system is located farther away from the ocean. Typically, however, near-shore or offshore floating tanks or pens are used. The organisms are raised from eggs to market size in these controlled environments. Salmon have been raised in sea pens (netted cages) for more than twenty years along the Pacific coast of the United States and Canada and along the Atlantic coasts of France, Scotland, and the Scandinavian countries, especially Norway. This process typically involves the growth of salmon from fertilized eggs through the fry stage in indoor fresh water hatcheries. This step is followed by the transfer of parr (the stage after the fry in which the fish develop vertical lines along their sides) to outdoor tanks or cages in salt water. The fish are fed either commercial pellets or trash fish such as herring, capelin, menhaden, and anchovies. The feeding process can be quite labor-intensive, and thus translates into one of the highest expenses for the salmon farmer. After one to two years, the salmon go through a process termed smoltification, which involves a physiological change that allows them to live in saltwater. The smolt are then transferred to salt water cages for another one to two years until they reach a market size of about 4–12 pounds (2–5 kg). Rainbow and sea trouts are handled in a similar way, although they are usually larger when moved into sea pens. As with the extensive method, problems can arise through pollution and red tides.

Most mariculture industries use both near-shore and offshore cages for raising the fish. The near-shore cages are necessary for raising young fish, but the offshore cages are better for raising fish to maturity because of enhanced growing conditions (cleaner water and lower mortality). Improvements are still needed, such as methods to remove dead fish, make grading (sorting) of fish possible, make harvesting easier, make feeding

possible (particularly during adverse weather), and make fouling removal easier. It would also be helpful to construct twenty-four-hour living accommodations on some of the larger offshore units.

A third form of mariculture is known as ocean ranching, or enhancement aquaculture. This process can be compared to cattle ranching on land. For example, salmon are raised in hatcheries until they reach the smolt stage and are then released at a particular point along the shore where they swim away until they reach reproductive maturity. Like other anadromous fish (fish that breed in fresh water but live in seawater upon maturing into the adult stage), they return to that same area several years later, where they are recaptured, processed, and sold on the market. Salmon are ideal fish for this because they are self-herding. However, normal returns range from only 1–20 percent, depending upon the water quality of the return environment and the release size of the fish. For example, Coho salmon (*Oncorhynchus kisutch*) fry that were around 0.5 ounce (14 g) showed a 1 to 2 percent return while those twice this size had a 7 to 8 percent return rate. Recent research has shown that released salmon can be imprinted to return to saltwater sites, which has the added advantages of lower cost (due to decreased requirements for more expensive property located along streams that enter the ocean) and better meat quality (which declines when salmon swim from salt to fresh water). The Sea Run, Inc. salmon company of Kennebunkport, Maine, rears Pacific pink (*Oncorhynchus gorbuscha*) and chum (*Oncorhynchus keta*) salmon from eggs to fingerlings in a hatchery located near the discharge of an oil-fired power plant. The water has a characteristic temperature and smell, which attracts the salmon back to the same area each year. Salmon companies and researchers have also experimented with the release of morpholine ( $C_4H_9NO$ ) and phenethyl alcohol ( $C_8H_{10}O$ ) (synthetic organic compounds) to the water to aid in imprinting.

Similar ocean-ranching techniques have been used in other countries. For example, in Japan the natural catch of Red Sea bream has declined for the last twenty-four years (more than a 51 percent decrease) due to over exploitation, so these fish have been raised in tanks and cages since 1962. Other species commonly raised in Japan include salmon, flounder, mackerel, bluefin tuna, shrimp, scallops, and abalone. Many of these species have a high consumer demand and thus are highly priced. Abalone can also be grown to a small size of approximately 1 inch (3 cm) and used to re-seed areas where the natural population has declined. The abalone can then be harvested as adults about three to five years later. However, recapture rates are typically low (only 0.5–10 percent).

Ocean farming or ranching is becoming popular worldwide. In Ecuador, Mazatlan Yellowtail fish are grown to commercial size (2.7 lb; 1.2 kg) in six months with a 90 percent survival rate. Other species raised in this country include flounder, snook, red drum (*Sciaenops ocellatus*), and Pacific pompano (*Peprilus simillimus*). In Australia, oysters are commonly raised via sea ranching. Scallops and salmon are now raised in Canada. Mussels, oysters, clams, bream, turbot, and salmon are common mariculture species in Spain, where the warm water allows these species to be grown to market size in a shorter time.

Research has been done on algal turf mariculture around some Caribbean islands in natural, unfertilized waters. Test plots have shown that they can raise up to 25 million tons (23 million metric tonnes) of dry algae per year without harming the natural ecosystem. This product can then be used for direct human ingestion or as food to raise marine invertebrates (such as West Indian spider crabs, conchs, and whelks) as well as some herbivorous fish. This would be particularly helpful because conch are now an endangered species through over harvesting in this area.

Ocean ranching, along with other forms of aquaculture, is a growing field that should be in greater demand in the future. The world production of fisheries was 154.9 million tons in 2004, 32 percent of which was contributed by aquaculture. The increase in contribution of aquaculture to the total world fish production is about 8 percent each year. Later generations may depend on it more for global food production to feed the increasing human population. However, there is concern that aquaculture is disrupting the natural ecosystem by decreasing biodiversity and introducing excess nutrients and waste into bodies of water, leading to algal blooms. These algal blooms deplete the amount of dissolved oxygen in the water (hypoxia), therefore stressing the inhabiting organisms.

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John Korstad

## Ocean outfalls

Pipelines extending into coastal and ocean waters that are used by various industries and municipal wastewater treatment facilities to discharge treated effluent. Some may be simple pipes serving as conveyances from land-based facilities; others include diffusers that help to rapidly dilute effluent or risers that ensure effluent is discharged at a certain height above the ocean floor. The conveyances may extend more than three miles (5 km) offshore, beyond coastal waters into open ocean. Offshore oil and gas exploration, development, and production rigs also possess ocean outfalls. Discharges from these pipes must be permitted under the Clean Water Act national pollutant discharge elimination system.

*See also* Sewage treatment.

Ocean pollution see **Marine pollution;**  
**Ocean dumping.**

## Ocean thermal energy conversion

For many years scientists have been aware of one enormous reservoir of energy on the earth's surface: the oceans. As sunlight falls on the oceans, its energy is absorbed by seawater. The oceans are in one sense, therefore, a huge "storage tank" for solar energy. The practical problem is finding a way to extract that energy and make it available for human use.

The mechanism suggested for capturing heat stored in the ocean depends on a thermal gradient always present in seawater. Upper levels of the ocean may be as much as 36°F (20°C) warmer than regions 0.6 mile (1 km) deeper. The technology of ocean thermal energy conversion (OTEC) takes advantage of this temperature gradient.

An OTEC plant would consist of a very large floating platform with pipes at least 100 feet (30 m) in diameter reaching to a depth of up to 0.6 mile (1 km). The working fluid in such a plant would be ammonia, propane, or some other liquid with a low boiling point.

Warm surface waters would be pumped into upper levels of the plant, causing the working fluid to evaporate. As the fluid evaporates, it will also exert increased pressure. That pressure can be used to drive a turbine that, in turn, generates electricity. The electricity could be carried to shore along large cables or

used directly on the OTEC plant to desalinate water, electrolyze water, or produce other chemical changes.

In the second stage of operation, cold water from deeper levels of the ocean would be brought to the surface and used to cool the working fluid. Once liquefied, the working fluid would be ready for a second turn of the generating cycle.

OTEC plants are attractive alternative energy sources in regions near the equator, where surface temperatures may reach 77°F (25°C) or more. These parts of the ocean are often adjacent to less developed countries, where energy needs are growing.

Wherever they are located, OTEC plants have a number of advantages. For one thing, oceans cover nearly 70 percent of the planet's surface so that the raw material OTEC plants need—seawater—is readily available. The original energy source—sunlight—is also plentiful and free. Such plants are also environmentally attractive because they produce no pollution and cause no disruption of land resources. Planners suggest that a by-product of OTEC plants might be nutrients brought up from deeper ocean levels and used to feed farms of fish or shellfish.

Unfortunately, many disadvantages exist also. The most important is the enormous cost of building and maintaining the mammoth structures needed for an OTEC plant.

See also Desalinization; Energy efficiency; Power plants; Thermal stratification (water).

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David E. Newton

OCRWM see **Office of Civilian Radioactive Waste Management.**

## Octane rating

Octane rating is a method for describing antiknock properties of gasoline. Knocking is a pinging sound produced by internal combustion engines when fuel



Colorado gas prices at a pump, 2010. (iStockphoto.com/Marek Uliasz)

ignites prematurely during the engine's compression cycle. Because knocking can damage an engine and rob it of power, gasoline formulations have been developed to minimize the problem. Gasolines containing relatively large amounts of straight-chain hydrocarbons (such as n-heptane) have an increased tendency to knock, whereas those containing branched-chain forms (such as isooctane) burn more smoothly. In addition to isooctane, other compounds also reduce engine knocking. By using an index called octane number, it is possible to compare the antiknock properties of gasoline mixtures. A higher octane number indicates that a mixture has the equivalent antiknock properties of a gasoline containing a higher percentage of isooctane.

Although gasoline used as automotive fuel is now delead, some fuel mixtures used as aviation fuel still contain tetraethyl lead as an octane enhancer and antiknock agent.

## Odén, Svante

1924–1986

Swedish agricultural scientist

Svante Odén was a Swedish agricultural scientist who was best known for his pioneering efforts to alert people to acid rain and its consequences.

One of the great environmental issues of the 1970s and 1980s was the problem of acid precipitation. At that time, research studies had suggested that rain, snow, and other forms of precipitation in certain parts of the world had become increasingly acidic over the preceding century, in particular in the southern parts of Scandinavia and England, the northeastern United States, and eastern Canada.

Evidence began to accumulate that the increasing level of acidity might be associated with environmental damage, such as the death of trees and aquatic life. Scientists began to ask how extensive this damage might be and what sources of acid precipitation could be identified.

As early as 1852 English chemist Robert Smith hypothesized a connection between air pollution in Manchester and the high acidity of rains falling in the area. He first used the term acid rain in a book he published in 1872. Smith's research held relatively little interest to most scientists, however.

Odén's attitude was quite different. He had been asked by the Swedish government to prepare a report on his hypothesis that acid rain falling on Swedish land and lakes had its origins hundreds or thousands of miles away. In preparing his report, he came to the conclusion that acid rain might be responsible for widespread fish kills then being reported by Swedish fishermen. Odén was shocked by this discovery because, as he later said, it was the "first real indication that acid precipitation had an impact on the biosystem."

Odén's method of dealing with his discoveries was unorthodox. In most cases a researcher sends the report of his or her work to a scientific journal, which has the report reviewed by other scientists in the same field. If the research is judged to have been well done, the report is published.

In this instance, however, Odén sent his report to a Stockholm newspaper, *Dagens Nyheter*, where it was published on October 24, 1967. Odén's decision undoubtedly disturbed some scientists, but it did bring the issue of acid rain to the attention of the general public.

A year later Odén published a more formal report of his research, "The Acidification of Air and Precipitation, and Its Consequences," in the *Ecology Committee Bulletin*. The article was later translated into English. Odén carried his message about acid precipitation to the United States in person in 1971, when he presented a series of fourteen lectures on the topic at various institutions across the country. In his presentations he argued that acid rain was an international phenomenon that, in Europe, originated especially in England and Germany and was spreading over thousands of miles to other parts of the continent, especially Scandinavia. His work also laid the foundation for Sweden's case study for the United Nations Conference on the Human Environment "Air Pollution Across National Boundaries" presented at Stockholm in 1972. He further suggested that a number of environmental effects, such as the death of trees and fish and damage to buildings, could be traced to acid precipitation. Odén's passionate commitment to publicizing his findings about acid precipitation was certainly a critical factor in awakening the world's awareness to the potential problems of this environmental danger.

He died in 1986.

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David E. Newton

## Odor control

Refuse handlers and many industries release unpleasant odors into the air that can travel for miles. Odors inside factories can also make it difficult for people to work, and pollutants can impart a strong odor to water.

Odors can be released from chemicals, chemical reactions, fires, or rotting material. The air carries odor-producing gas molecules, which are then detected by breathing or sniffing. The molecules stimulate receptor cells in the nose, which in turn send nerve impulses to the brain where they are processed into information about the odor.

Research is being performed to quantify and characterize odors. Tests such as sniff chromatography, emission rate measurement, and hedonics are being used in an effort to develop a better definition of what offensive odors are.

Scientists have found that the perception of odors is highly subjective. What one person might not like, another person might not be able to smell at all, and what people define as an offensive odor depends on age and sex, as well as other characteristics.

One method of controlling unpleasant smells is deodorizers. Deodorizers either disguise offensive odors with an agreeable smell or destroy them. Some deodorizers do this by chemically changing odor-producing particles, whereas others merely remove them from the air. Disinfectants, such as formaldehyde, can kill bacteria, fungi, or molds that create odors. Odors can also be removed through ventilation systems. The air can be "scrubbed" by forcing it through liquid or through filters containing such materials as charcoal, methods that trap and

remove odor-producing particles from the air. Factories can also employ a process known as re-odorization, which works on the principle that there are seven basic odor types: camphoraceous, mint, floral, musky, ethereal, putrid, and pungent. The process is based on the theory that different combinations of these odor types produce different smells, and reodorization releases chemicals into the air to combine with the regular factory odors and generate a more pleasant smell.

Aeration is one method of removing objectionable odors from water. The surface of the water is mixed with air and the oxygen oxidizes various materials that would otherwise turn the water foul. Aeration can be accomplished by running the water over steps or spraying the water through nozzles. Trickling the water over trays of coke also helps eliminate offensive odors, and adding activated charcoal can have the same effect.

Even though science has shown that the perception of odors is subjective, many people are offended by them. It is often considered a quality-of-life issue, and politicians have been strongly influenced by their constituencies. Nuisance regulations have been passed in many states and municipalities, and, though they often vary, their attempts to distinguish between acceptable and unacceptable odors are often vague and difficult to apply. Proving that odors interfere with the quality of life is not only subjective, it is nearly impossible. Refuse handlers and factories are perhaps the most adversely affected; they often receive heavy fines for odors, although there is no proven method for eliminating them.

*See also* Noise pollution; Pollution control.

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Nikola Vrtis

## Odum, Eugene P.

1913–2002

American ecologist

Eugene P. Odum has been called “the ecologist’s ecologist,” meaning that he has been of special significance to his colleagues in the development of the discipline and in formulating its content, outlines, and boundaries. He helped lay the foundations for what might be called the modern study of ecology in the 1940s and 1950s by redirecting the field to studies based on energetics, on the relatively new ecosystem concept, and on functional, as well as structural, analyses of living communities. From early in his career, he worked to define general principles in ecology. His colleagues and associates recognized Odum’s efforts by electing him president of the Ecological Society of America and the National Academy of Sciences, and by awarding prestigious prizes, including the Tyler Award in 1977 and the Crafoord Prize in 1987.

Odum was born in Lake Sunapee, New Hampshire, to an academic family. His father, Howard W. Odum, was a well-known sociologist, remembered today especially for his work on regionalism. His brother, Howard T. Odum, is a well-known systems ecologist. Eugene Odum received his bachelor’s and master’s degrees in biology from the University of North Carolina, and his Ph.D. in ecology and ornithology from the University of Illinois in 1939. He spent brief stints as an instructor at Western Reserve University and as a research biologist at the Edmund Niles Huck Preserve in New York. In 1940 he accepted a teaching position at the University of Georgia, spent the next four decades there, and since his retirement in 1984, has been an emeritus professor. During his tenure in Georgia, the university became a world center for the study of ecology, through various departments but also through the Institute of Ecology, which he helped initiate and of which he was the long-time director. In 2007 the University of Georgia renamed the Institute of Ecology as the Odum School of Ecology.

Odum’s best-known work is his general text, *Fundamentals of Ecology*, first published in 1953, with a third edition published in 1971. This text dominated the market for two decades and is landmark work in the field. Odum revised the third edition “in light of the increasing importance of the subject in human affairs.” That edition is organized into three parts: basic ecological principles and concepts, emphasizing energy and ecosystems; “the habitat approach,” which discusses freshwater, marine, estuarine, and terrestrial

ecology; and applications and technology, which includes chapters on resources, pollution and environmental health, radiation ecology, remote sensing, and microbial ecology.

Odum’s early work was on birds, an interest threaded into his publications for many years. For several years Odum’s research focused on various aspects of radiation ecology. He turned early to an emphasis on energetics and on ecosystem studies, becoming almost as well known for systems ecology as his younger brother. Much of Odum’s work focused on productivity in estuaries and marshes. Odum also became known for his work on old fields in the southern United States. That research has been applied, in many instances, to problems in environmental management and to issues of human impact and reciprocity.

Odum devoted a considerable amount of his energy, in his later years, to human interactions with and impact on environmental systems. These interests turned Odum into something of a philosopher, resulting in the publication of several papers on values and ethics. One influence of his thinking that will be debated for a long time is his repeated attempts to outline ecology as an integrative discipline and to locate ecologists as scientists interested in every level of integration. That work led Odum to move away from the classical reductionist approach in science on several occasions and to try an outline for a holistic approach in ecology.

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Gerald L. Young

## Office of Civilian Radioactive Waste Management

Humans have been using nuclear materials for nearly sixty years. Nuclear reactors and nuclear weapons account for the largest volume of these materials, while industrial, medical, and research applications account for smaller volumes. One of the largest problems involved with the use of nuclear materials is the volume of wastes resulting from these applications. By

one estimate, 8,000–9,000 metric tons (8,816–9,918 tons) of high-level radioactive wastes alone are produced in the United States every year. It is something of a surprise, therefore, to learn that as late as 1982, the United States had no plan for disposing of the radioactive wastes produced by its commercial, industrial, research, and defense operations.

In that year the United States Congress passed the Nuclear Waste Policy Act establishing national policy for the disposal of radioactive waste. Responsibility for the implementation of this policy was assigned to the U.S. Department of Energy through the Office of Civilian Radioactive Waste Management (OCRWM). OCRWM manages federal programs for recommending, constructing, and operating repositories for the disposal of high-level radioactive wastes and spent nuclear fuel. It is also responsible for arranging for the interim storage of spent nuclear fuel and for research, development, and demonstration of techniques for the disposal of high-level radioactive waste and spent nuclear fuel.

In addition, OCRWM oversees the Nuclear Waste Fund. The fund was established to enable the federal government to recover all costs of developing a disposal system and of disposing of high-level waste and spent nuclear fuel. It is paid for by companies that produce nuclear power, power consumers, and those involved in the use of nuclear materials for defense purposes.

OCRWM experienced a number of setbacks in the first decade of its existence. No state was willing to allow the construction of a high-level nuclear waste repository within its borders. Eventually, however, OCRWM announced that it had chosen a site under Yucca Mountain in southeastern Nevada. The site lies on the boundaries of the Nevada Test Site and Nellis Air Force Base. It is near the town of Beatty, 100 miles (161 km) northwest of Las Vegas. The site has been studied since 1977 and initially was scheduled to receive wastes around 2010. However, the facility was never popular among many environmentalists, Native American groups, and residents of Nevada. In March 2010 the Department of Energy requested that the Nuclear Regulatory Commission move to end its licensing evaluation of Yucca Mountain, thus delaying—and potentially permanently preventing—receipt of wastes at the site.

The Office of Civilian Radioactive Waste Management was eliminated from the 2011 fiscal year federal budget. The Office of Nuclear Energy assumed most of its duties, including leading used fuel storage policies.

David E. Newton

Office of Energy Research see **U.S. Department of Energy**.

## Office of Management and Budget

The Office of Management and Budget (OMB), established in 1939 within the office of the president, determines both how much money will be spent by the federal government and what kinds of regulations will be adopted to implement all environmental and other legislated programs.

According to the 1946 Administrative Procedures Act, regulations “interpret, implement or prescribe law or policy.” Draft regulations must be publicized, and agencies must incorporate public comments into the final regulations. Executive Orders 12291 and 12498, issued in 1981 and 1984, respectively, gave the Office of Information and Regulatory Affairs (OIRA), a division of OMB, the authority to review and approve all regulations and paperwork drafted by the Environmental Protection Agency, as well as all other federal agencies.

### Resources

#### ORGANIZATIONS

Office of Management and Budget, 725 17th Street, NW, Washington, DC, USA, 20503, (202) 395-3080, <http://www.whitehouse.gov/omb>

Office of Oceanic and Atmospheric Research see **National Oceanic and Atmospheric Administration (NOAA)**.

## Office of Surface Mining

In 1977 the Office of Surface Mining (OSM) was created to police coal extraction within the United States and to enforce the federal Surface Mining Control and Reclamation Act (SMRCA). Under the auspices of OSM, citizens are empowered to enforce reclamation of surface mines, as well as deep mines, that have damaged surface features.

The government, industry, or a combination of both is required to pay all expenses, including legal fees, when citizens bring successful administrative or judicial complaints for noncompliance under the SMRCA. The reclamation of abandoned mines is funded by a tax on coal.

To fund the reclamation of new mines OSC requires performance bonds that cover the cost of reclamation should the operator not complete the process.

The majority of mining states have been granted primacy by OSM, which means that the individual states are authorized to handle reclamation enforcement, with OSM stepping in only when states fail to enforce the SMRCA. There are almost 30,000 known violations that remain uncorrected, yet OSM issues less than twenty-five citations a year. The majority of citations issued are disposed of by vacating the citation or by making arrangements with the company.

There are 24,000 operations including surface mines, deep mines, refuse piles, and prep plants that come under the act (including those that went into operation after 1977); about 17,000 of these have been reclaimed. Many of these reclaimed sites are merely grass planted over desert, incapable of supporting any form of wildlife. The reclamation plans developed for many surface mining sites designate the post mining land use to be pastureland, but due to the remoteness and inaccessibility, many reclaimed sites sit idle devoid of all wildlife.

Environmentalists and other opponents of the mining industry charge that coal industries and OSM personnel are the same group. According to many critics the coal industry has become adept at manipulating the OSM and SMCRA for its own purposes. The agency came under intense scrutiny after a series of coal mining disasters caused the deaths of dozens of U.S. miners in the first decade of the twenty-first century.

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Office of Surface Mining, 1951 Constitution Ave. NW, Washington, DC, USA, 20240, (202) 208-2719, [getinfo@osmre.gov](mailto:getinfo@osmre.gov), <http://www.osmre.gov>

Debra Glidden

Office of Surface Mining, Reclamation and Enforcement see **Office of Surface Mining**.

Offshore drilling see **Oil drilling**.

## Off-road vehicles

Off-road vehicles (ORVs) include motorcycles, dirt bikes, snowmobiles, bicycles, and all-terrain vehicles (ATVs) that can be ridden or driven in areas where there are no paved roads. While the use of off-road vehicles has gained in popularity, conservationists and landowners have prompted some legislatures to restrict their use because of the damage the vehicles do to the environment.



**Yellow ATV aggressively climbing rocky hill.** (© iStockphoto.com/David H. Lewis)

In October 2001 the U.S. Environmental Protection Agency (EPA) announced plans to impose pollution control restrictions on off-road vehicle engines. The EPA said off-road vehicles, including snowmobiles, account for 13 percent of hydrocarbon emission released into the atmosphere each year. The EPA wants off-road vehicle manufacturers to reduce emissions.

In 2002 the federal Bureau of Land Management (BLM) proposed lifting the ban on off-road vehicles in the 50,000-acre Imperial Sand Dunes Recreation Area in California. However, that same year, the BLM closed nearly 18,000 acres of federal land in California's Mojave Desert to off-road vehicles. The closing was necessary to protect the desert tortoise, a threatened species.

Because driving off-road vehicles is a popular sport in desert areas, mountain passes, and riverbeds, owners and drivers of off-road vehicles defend their right to ride wherever they like. They contend that the Clinton administration policy of closing federal land to ORVs was discriminatory, since it favored recreational use of public land only for certain groups of the public, such as hikers, campers, and backpackers. But environmentalists claim that the vehicles scar the land, kill wildlife, destroy vegetation, and cause noise, safety, and pollution problems. The knobby tires of mountain bicycles contribute to erosion in delicate desert areas and along the sides of steep mountain trails. Motorized off-road vehicles are more devastating to local ecology as landowners across the country are finding.

In Missouri's Black River, off-roaders typically discard beer cans, used baby diapers, and empty motor-oil cans. Usually clear, the Black River in places runs as green as a sewage ditch when algae are stirred up by the commotion of off-road vehicles. Some drivers drain their crankcases into the river. Inevitably, the oil and gas from motorized vehicles seeps into the river ecosystem. A bill passed by the Missouri legislature in April 1988 restricted the vehicles to areas of the river where landowners gave permission. Since much of the area is not posted, however, the law failed to halt a good deal of the use of off-road vehicles in the river.

At the Chincoteague Wildlife Refuge in Virginia, an environmental assessment of the effects of off-road vehicles and foot traffic found the effects devastating to some species, particularly the threatened piping plover. The assessment recommended that the area be closed to the vehicles and to all recreation during the nesting season, concluding that the nesting site is subject to damage not only from off-road vehicles, but also from the human intrusion accompanying the use of these vehicles.

In California about 500,000 acres of public land are open to use by off-road vehicles, and California

conservationists have fought since the late 1980s to ban the sport in state parks. Off-roaders, however, waged their own battle. Editorials in magazines for off-road vehicles users urged readers to ignore posted property, sue for the right to use the land, and lobby their state and federal elected officials.

More than thirty states now have enacted legislation that regulates the sale and use of off-road vehicles, especially motorized ones. The legislation was more a reaction to the safety problems inherent in three-wheel vehicles than to the detrimental ecological effects of the vehicles in pristine areas. The Consumer Product Safety Commission listed more than 3,500 deaths related to the use of ATVs from 1990 to 2005, and hundreds of product liability suits have been brought against manufacturers.

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The Wilderness Society, 1615 M St. NW, Washington, DC, USA, 20036, (800) 843-9453, <http://www.wilderness.org>

Ken R. Wells

## Ogallala Aquifer

The Ogallala Aquifer is an extensive underground reservoir that supplies water to most of the irrigated agriculture in the central United States. Discovered early in the nineteenth century, this aquifer—also known as the High Plains regional aquifer—became a major economic resource in the 1960s and 1970s when advanced pumping technology made large-scale irrigation possible. In 1980 this aquifer supported 170,000 wells and provided one-third of all irrigation water pumped in the United States. In the last several decades, Ogallala-irrigated agriculture has redefined the landscape of the central United States by fostering economic expansion, population growth, and the development of large-scale agribusiness in an arid region.

The High Plains regional aquifer underlies eight states, including most of Nebraska and portions of South Dakota, Wyoming, Colorado, Kansas, Oklahoma, Texas, and New Mexico. Covering an area of 175,000 square miles (453,250 sq km), the aquifer runs 800 miles (1,288 km) from north to south, and



**The Arkansas River cuts through the irrigated fields in western Kansas. Irrigation, above all other uses, places the biggest demand on the Ogallala aquifer in western Kansas.**  
(AP Photo/Orlin Wagner)

stretches 200 miles (322 km) at its widest point. Nebraska holds by far the greatest amount of water, with 400–1,200 feet (130–400 m) of saturated thickness, while much of the aquifer's southern extent averages less than 100 feet (30 m) thick. Geologically this aquifer comprises a number of porous, unconsolidated sand, silt, and clay formations that were deposited by wind and water from the Rocky Mountains. The most important of these formations is the Ogallala formation, which makes up 77 percent of the regional aquifer. The aquifer's total drainable water is about 3.25 billion acre feet.

Large volume use of groundwater became possible with the invention of powerful pumps and center-pivot irrigation in the late 1950s. The center pivot sprays water from a rotating arm long enough to water a quarter section (160-acre) field. This irrigation technique is responsible for the characteristic circular pattern visible from the air in most agricultural landscapes in the western United States. Although installation is extremely expensive, center pivots and powerful pumps enable farmers to produce great amounts of corn, wheat,

sorghum, and cotton where low rainfall had once made most agriculture impossible.

High-volume pumping has also reduced the amount of available groundwater. Most water in the High Plains aquifer is fossil water, a term for water that has been stored underground for thousands or millions of years. New water enters the aquifer extremely slowly. In most of the Ogallala region, pumping exceeds recharge rates, a process known as water mining, and the net volume is steadily decreasing. Region-wide, less than 0.5 percent of the water pumped each year is replaced by infiltration of rainwater. At current rates of pumping, the resource should be 80 percent depleted by about 2020.

As saturation levels in the aquifer fall, consequences on the surface are clearly visible. Many streams and rivers, dependent on groundwater for base flow, run dry. The state of Kansas alone has lost more than 700 miles (1,126 km) of rivers that once flowed year round. Center pivot irrigation, requiring a well that can pump 750 gallons (2,839 liters) per minute, is beginning to disappear in Texas and New Mexico, where the aquifer can no longer provide this volume. Groundwater pollution is becoming more concentrated as more agricultural chemicals seep into a shrinking reservoir of Ogallala water. Many high plains towns, formerly rich with pure, clean groundwater, now have tap water that is considered unhealthy for children and pregnant women. As the Ogallala runs dry, the region's demographics are also changing. Many farmers assumed heavy debt burdens in the 1970s when they installed center pivots. Drying wells and falling production have led to farm foreclosures and the depopulation of small, rural towns. Regional populations that swelled with irrigated agriculture are beginning to shrink again. Land ownership, formerly held by independent families and ranchers, is increasingly in the hands of banks, insurance companies, and corporations, which provide little support to local communities.

As of 2010, estimates are that, at the present rate of depletion, the aquifer will run dry by about 2030.

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Mary Ann Cunningham

## Oil drilling

Petroleum occurs naturally in the earth in porous rock, found anywhere from thousands of meters underground all the way to Earth's surface. The porous layer of rock normally lies between two nonporous layers, so oil does not flow out of its reservoir. In most cases water and/or natural gas occur along with petroleum in the rock. Oil can be extracted from the rock by sinking a pipe into the earth until it penetrates the saturated porous rock. In most cases, oil will then begin to flow of its own accord out of the rock and into the pipe.

The upward flow of oil can be caused by a number of different factors. In some cases the porous layer of rock is covered by a hollow cap filled with natural gas. Pressure of the gas forces petroleum out of the rock into the pipe. Sometimes gas pressure will be so great as to force oil out of a new well in a fountainlike effect known as a gusher.

In other cases the pressure of water also present in the saturated rock pushes oil toward and into the pipe. In still other instances, gases dissolved in petroleum exert pressure on it, forcing it up the pipe.

The ease with which oil flows through a rock layer and into a well depends on a number of factors. In addition to water and gas pressure, viscosity of the oil determines how easily it will move through rock. Even under the most favorable conditions, no more than about 30 percent of the oil in a rock layer can be extracted by any of the natural methods described above.

The term *primary recovery* is used to describe the natural flow of oil by any of the means described above. By adding a pump to the well—*secondary recovery*—an additional quantity of oil can be removed. Even after primary and secondary recovery, however, 40 to 80 percent of the oil may still remain in a reservoir. All or most of that oil can be recovered by a variety of techniques described as *tertiary recovery*.

All forms of tertiary recovery involve the injection of some kind of fluid into the oil-bearing stratum. A long pipe is stuck into the ground parallel to the oil-recovery pipe. Into the second pipe is injected a mixture of carbon dioxide in water, steam, or some combination of water and chemicals. In any one of these cases, the injected material diffuses through the oil-bearing rock, pushing the petroleum out and up into the recovery pipe.

Yet another tertiary recovery approach is to set fire to the oil remaining in one part of the stratum. The heat thus generated reduces the viscosity of the unburned oil

remaining in the stratum, allowing it to flow more easily into the recovery pipe. Any form of tertiary recovery is relatively expensive and is not used, therefore, until the price of oil justifies this approach. The same can be said for off-shore drilling.

Organic materials washed into the oceans from rivers often settle on the sloping underwater area known as the continental shelf. In this oxygen-free environment, those materials often decay to produce petroleum and natural gas. Oil companies have found it profitable to locate and drill for oil in these off-shore reserves.

The technique for drilling from off shore wells is generally the same as that used on land. The major difference is that before drilling may begin a stable platform on the water's surface for the drilling rig and ancillary equipment must be constructed. The drilling platform must be protected from high winds, waves, and serious storms. In addition, special safeguards must be taken to protect pipes from breaking and releasing oil into the environment. When fail-safe technology itself fails, the results can be catastrophic for the environment.

In April 2010 a deadly explosion at an offshore oil drilling rig operating in the Gulf of Mexico created an oil leak from the wellhead and damaged pipes located 5,000 feet (1,500 meters) below the surface. The *Deepwater Horizon* rig leased by BP (formerly British Petroleum), sank about 52 miles (84 kilometers) off the coast of Louisiana. Automatic shut-off valves on the blowout preventer, a 450-ton, 50-foot stack of valves, failed to contain the flow of oil, and multiple efforts to stop the gushing oil failed. A series of interim caps and other procedures limited only a portion of the leakage and over the eighty-seven day period when oil gushed into the Gulf of Mexico, the spill grew to be the largest unintentional marine oil spill in history. Official estimates are that approximately 4.9 million barrels (206 million gallons) of crude oil spilled into the Gulf, only 800,000 barrels (33.6 million gallons) of which was recovered by surface collection methods. A drilling mud and cement plug was placed into the wellhead on July 15, 2010. Relief wells completed in September 2010 allowed engineers to permanently seal the well with drilling mud and cement at levels deep into the reservoir.

*See also* Oil spills.

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David E. Newton

## Oil embargo

Prior to 1973 the world had become accustomed to a plentiful supply of inexpensive fossil fuels: coal, petroleum, and natural gas. Developed nations had built economies that depended not just on these fossil fuels, but also on their relatively low cost. Patterns of urban growth in the United States, to take just one example, reflected the fact that the average person could easily afford to drive an automobile many miles a day. The average price of a barrel of oil in 1973, for example, was \$2.70 and the average cost of gasoline at the pump about \$.30 a gallon.

A number of factors combined in 1973, however, to change this picture dramatically. The most obvious of those factors was a decision made by the Arab members of the Organization of Petroleum Exporting Countries (OPEC) to cut back on its export of petroleum to many nations of the world. The reason given for this decision was the support given by the United States to Israel during the eighteen-day war with Syria



Cars wait in a long line at a gas station during the 1974 gas shortage. (© Everett Collection / SuperStock)

and Egypt. The action, taken on October 18, 1973, reduced the direct flow of oil from the Arab states to the United States to zero.

OPEC had been established in 1960 by Venezuelan oil man Juan Perez Alfonso. An extremely conservative businessman who rode a bicycle and read by candlelight to save energy, Perez Alfonso saw OPEC as an organization that could encourage energy conservation throughout the world.

His objectives were certainly achieved in some places as a result of the 1973 embargo. Americans, along with citizens of other developed nations, found themselves waiting in long lines to buy gasoline, turning their thermostats down to 60°F, and learning how to live with less energy in general.

The embargo also had a devastating effect on national economies. In the United States, inflation climbed to more than 10 percent a year, an enormous trade deficit developed, and interest rates climbed to the high teens. Elsewhere, a global recession began.

By the time the embargo ended in March 1974, oil prices had climbed to nearly \$12 a barrel, an increase of 330 percent. Gasoline prices had also begun to climb, reaching \$.57 a gallon by 1975 then doubling again by 1980.

The embargo caused developed nations to rethink their dependence on fossil fuels. Research on alternative energy sources, such as wind, tides, geothermal, and solar energy, suddenly attained a new importance. The United States government responded to the new era of expensive energy by formulating an entirely new energy policy expressed in such legislation as the Energy Policy and Conservation Act of 1976, the Energy Conservation and Production Act of 1976, the Energy Reorganization Act of 1974, and the National Energy Act of 1978.

Interestingly enough, the OPEC embargo had impacts that were relatively little known and discussed at the time. For example, the United States was importing only about 7 percent of its oil from Arab nations in 1973. Yet, almost as soon as the embargo was announced, prices at the gasoline pump began to rise. Such a fast response is, at first, difficult to understand since “old,” cheap oil was still on its way from the Middle East and was still being processed, shipped, and stored by petroleum companies.

The embargo must also be understood, therefore, as an opportunity for which oil companies had been looking to increase their prices and profits. In the years preceding the embargo, these companies had been feeling increased pressures from domestic environmental groups to cut back on drilling in sensitive areas. They were also losing business to small, independent competitors.

The embargo afforded the companies an opportunity to turn this situation around and once more increase profitability, which they did. In the one year following the embargo, for example, the seven largest oil companies reported profits from 40–85 percent. Two years after the embargo, one of these companies (Exxon) became the nation’s richest corporation, with revenues of \$45.1 billion.

Neither have the long term effects of the embargo been what observers in 1973 might have expected. In 2010, the United States imported a larger percentage of its oil from Arab OPEC members than it did in 1973. Enthusiasm for alternative energy sources has increased, but viable and affordable solutions remain a small fraction of the total energy market.

*See also* Energy conservation; Geothermal energy; Renewable energy; Wave power; Wind energy.

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Oil pipeline see **Trans-Alaska pipeline**.

Oil-recycle toilet see **Toilets**.

Oil see **Petroleum**.

## Oil shale

The term oil shale is technically incorrect in that the rock to which it refers, marlstone, is neither oil nor shale. Instead, it is a material that contains an organic substance known as *kerogene*. When heated to a

temperature of (900°FvC) (480°C) or more, kerogene decomposes, forming a petroleum-like liquid and a combustible gas.

*See also* Renewable energy.

## Oil spills

Oil spills are created by the accidental or intentional release of crude oil (petroleum). Oil spills present environmental perils that immediately threaten animals and plant life and present long-term hazards to ecosystems. When spilled into rivers, streams, or marine environments, oil can damage ecosystems far from the original spill site. In addition to costs to repair and clean, oil spills can result in substantial economic loss and long-term economic damage to nearby areas.

According to the National Research Council (NRC) of the National Academy of Sciences, approximately 210 million gallons (790 million liters) of oil spill into oceans each year. Sources include the wells from which oil is extracted and the ships used to transport it, as well as natural oil seepage from geologic formations below the seafloor, as for example in Coal Oil Point along the California coast, where an estimated 2,000–3,000 gallons (7,570–11,350 liters) of crude oil is released naturally from the ocean floor every day. Natural seepage accounts for 46 percent of oil released into the oceans. Although accidental tanker oil spills receive the most publicity, they only account for approximately 20 percent of the crude oil released into the oceans each year by human activity, with the remainder largely due to routine oil tanker ship maintenance operations such as loading, discharging, and emptying ballast tanks.

Oil and spills are often measured in gallons or barrels of petroleum; a barrel equals forty-two gallons (159 liters), and a metric ton equals 7.2 barrels. Tankers sometimes transport more than 30,000 barrels of oil (200,000 metric tons).

According to one NRC study, a total of 29 million gallons (110 million liters) of petroleum are released into North American ocean waters each year as a result of human activities or carelessness. However, only a small fraction of that environmental pollution is due to pipeline ruptures or oil tanker spills. Approximately 85 percent of those spills involve land-based runoffs from cars and trucks, fuel dumping by commercial airplane pilots, and emissions from small boats and crafts.



**Dispersant-clotted oil and fresh crude float on surface nine miles from the *Deepwater Horizon* oil well spill source in the Gulf of Mexico on May 6, 2010.** (© CHRISTOPHER BERKEY/epa/Corbis)

### Oil spills and their aftermaths

After a spill, oil can spread very quickly unless physically or chemically contained. In rivers, lakes, and marine environments, response teams often attempt to physically contain spilled oil by using booms and other barriers.

Oil spills have occurred all over the world and have been reported in the waters of more than 112 countries. Oil spills occur more frequently in production areas with wells, but can also occur in areas with pipelines or along oil transport routes. Major marine oil spills from tankers have occurred in the Gulf of Mexico, the northeastern United States, the Mediterranean Sea, the Persian Gulf, the North Sea, Japan, the Baltic Sea, the United Kingdom and English Channel, Malaysia and Singapore, the west coast of France, the north and west coasts of Spain, and Korea.

Oil spills often result in substantial environmental damage. In April 2010 a deadly explosion at an off-shore oil drilling rig operating in the Gulf of Mexico created an oil leak from the well head and damaged pipes located 5,000 feet (1,500 meters) below the surface. The *Deepwater Horizon* rig leased by BP (formerly British Petroleum), sank about 52 miles (84 kilometers) off the coast of Louisiana. Automatic shut-off valves on the blowout preventer, a 450-ton, 50-foot stack of valves, failed to contain the flow of oil, and initial efforts to stop the flow of oil failed. Oil gushed into the Gulf of Mexico for eighty-seven days, until initially capped on July 15, 2010. In August 2010 a team of scientists and engineers working under contract to the U.S. government, compared pressure readings taken from the well prior to the accident with pressure readings taken after the well was capped. Using the differential readings, the team estimated that the average rate of leakage was 53,000 barrels (2.27 million gallons) of oil per day. Because pressure with the oil reservoir declined as oil spewed from the runaway Macondo well above, over the course of the spill, the rate of flow gradually declined from an initial rate estimated at approximately 62,000 barrels (2.6 million gallons) of oil per day. The estimates carried an estimated error of plus or minus 10 percent.

Officials eventually estimated the total BP spill at approximately 5 million barrels (210 million gallons). The BP spill eclipsed the estimated 3.3 million barrels (approximately 140 million gallons) of oil released during the 1979 Ixtoc I spill to become the worst accidental marine oil spill in history. The Ixtoc 1 spill followed a Petroleos Mexicanos' (PEMEX) rig explosion in the Bay of Campeche (the southern Gulf of Mexico off Mexico's coast). Only the land-based spill, the Lakeview Gusher Number One spill in the United States in 1910, and deliberate spills of oil during the Persian Gulf War in 1991 were larger than the BP oil spill. President Barack Obama characterized the 2010 BP oil spill as "the worst environmental disaster in U.S. history." The 2010 BP spill far surpassed quantity of oil spilled into Alaskan waters following the 1989 grounding of the tanker *Exxon Valdez*. The *Exxon Valdez* ran aground on Bligh Reef in Prince William Sound, Alaska, spilling more than 11 million gallons (41 million liters) of crude oil.

In September 2010 federal officials commissioned a scientific survey and assessment of the amount of oil potentially remaining in the Gulf, along with an assessment of potential environmental impacts. National Oceanic and Atmospheric Administration (NOAA) administrator Jane Lubchenco, herself a marine scientist, was chosen to chair the study. Initial plans called for

funding from the \$500 million promised by BP over the following decade for oil spill-related scientific research.

The study is designed to eliminate confusion over conflicting claims regarding the fate of spilled oil, especially in submerged oil appearing in underwater plumes. In August NOAA officials asserted that uncollected oil had been dispersed, degraded, or completely consumed by microbes. Within days, however, a report by Woods Hole marine scientists provided evidence of a underwater oil plume stretching more than 20 miles (32 km) from the damaged well.

The Woods Hole study was also at odds with another report published just a week later in the same journal, *Science*, that concluded that the plume was rapidly devoured by microbes. In the following weeks, studies by various teams of researchers, however, continued to provide evidence of oil along the deep ocean floor and diminished oxygen levels in previously contaminated areas.

Although it will take years or decades for scientists to fully measure the damage caused by the 2010 BP oil spill, several immediate impacts were measurable. About one-third of the Gulf was closed to fishing for months. Oil fouled approximately than 600 miles (966 kilometers) of beach and barrier islands along the Louisiana, Mississippi, Alabama, and Florida coasts. Oil flowed into marshes, wetlands, and inland waterways. In addition to damage to local economies dependent on seafood and tourism, the spill and cleanup efforts also killed thousands of birds and marine animals, imperiling both local and migratory species.

### Oil and its properties

The word oil usually refers to petroleum, a liquid that occurs in nature and consists of hydrocarbons, a group of organic chemical compounds of hydrogen (H) and carbon (C) atoms. Petroleum, also known as crude oil, is classified into categories that range from light, volatile oils (Class A) to heavy, sticky oils (Class C), depending on physical properties and characteristics. Refined oil is crude oil that has been processed for use as gasoline, kerosene, lubricating oil, and fuel oils of varying weights.

In spills the majority of oils spread horizontally to form a smooth, slippery layer on the surface of water. This layer is termed a slick. When oil stays on the ocean surface, it acts to deplete the oxygen supply available in the vertical column of water underneath the spill in at least three ways. First, oil at the surface acts as a direct barrier to oxygenation of water normally accomplished through natural diffusion of oxygen from higher to lower concentrations. Oil also

inhibits wave action that increases surface oxygenation. Lastly, oil attracts microbes that ingest or utilize petroleum in various physiological processes. Many species of these petroleum-utilizing microbes help deplete and dissolve surface oil slicks, but they also utilize oxygen and thus reduce the amount of oxygen available to other marine life. The oil also kills birds and animals by coating their feathers or fur and can harm the water supply and the coastline.

Fate is the term used by scientists to describe what happens to the various oil components after a spill. Factors that determine fate include the type of oil, the quantity spilled, the water temperature, and the climate. The fates of oil are natural processes. Weathering is a series of chemical and physical changes that causes oil to break down and become heavier than water. Evaporation occurs when lighter substances in the oil evaporate and leave the water surface.

Up to 40 percent of a spill may evaporate within several days. Warm water temperatures increase the rate of evaporation and speed other processes. Although the volatile components of oil evaporate, other components of oil mix with water in the process of emulsification. Emulsions are a mixture of small drops of oil and water. Wave action mixes together a water-in-oil mixture referred to as mousse. According to United States Environmental Protection Agency (EPA) studies, mousse may remain in the environment for months or years.

Oxidation reactions may also result from the contact of oil with water and oxygen. Portions of the slick cling together in tar balls that may contaminate subsurface and seafloor areas. Tar balls may slowly move along the ocean floor, washing ashore weeks or months following a spill. The rate of weathering processes and biodegradation by microbes determines how long spills remain intact. Weathering processes and currents can also limit the time for recovery efforts to remove oil from a river or marine environment.

Oil slicks are also shaped and constrained by surface tension, which is the measure of the attraction between the surface molecules of a liquid. Oil with a higher surface tension usually remains in one place. If the surface tension is lower, the oil tends to spread. Higher temperatures can reduce surface tension so oil tends to spread more easily in warmer water. Wind and water currents can also drive and expand surface oil slicks and underwater plumes of dissolved and emulsified oil.

Light refined products like gasoline and kerosene quickly spread on the water surface and more easily penetrate porous soil. The risk of fire and toxic hazards is high. Although often toxic, these volatile

petroleum distillates usually evaporate quickly and leave little residue. Heavier refined oil products are less of a fire risk, but present challenging cleanup and toxic risks.

### Oil recovery

Containment and recovery are usually the primary goals of an oil spill response team. Various methods are used to recover or eliminate spilled oil. Siphoning, burning, and mechanical cleanup, remove oil that has not evaporated directly from the surface slick. Chemical dispersants reduce slicks by increasing the rate of oil emulsification (mixing water and oil droplets).

Floating booms are mechanical barriers that extend above and below the surface of the water to stop the spread of oil. They can be used to surround a slick completely and reduce its spread, to protect harbor entrances or biologically sensitive areas, and to divert oil to recovery areas.

Spill response teams also work to keep birds and animals away from the oil spill area using an array of propane scare cans, floating dummies, helium balloons, and other devices.

Use of dispersants, detergent-like chemicals that break up oil slicks, is often controversial. The polar nature of the dispersants (e.g., one part of the molecular structure of dispersants has an affinity to water, the other end an affinity to oil) allows them to surround and coat small droplets of oil. Oil remains on the inside of the oil-dispersant glob in contact with the non-polar parts of the dispersant molecule with an affinity toward oil. On the surface of the hybrid glob, the polar portions of the dispersant molecules with an affinity for water allow the glob to drop out of the spill and mix with water. Ultimately, the oil-dispersant globs drop to the sea floor. Over many years, those globules that do not wash up on beaches are eventually consumed by microorganisms. Clean up engineers and crews rely on dilution of dispersants and dispersed oil to minimize levels of toxicity to marine organisms.

Burning is usually conducted by first placing a fire-resistant boom. Boom towlines are attached to ships, which then move very slowly throughout the slick until the boomed area between them is full of oil. They then close off the loop of booms containing the oil and tow the captured oil from the slick before setting fire to the oil. Mechanical cleanup also relies on booms, skimmers, steam machines, and the use of absorbent materials used to directly clean rocks, beaches, and animals.

## Oil spills on a global level

The United States uses 7.14 billion barrels (300 billion gallons) of crude oil each year (including refined petroleum products and biofuels). Only China uses more oil. In 2008 the United States imported about 58 percent of its annual oil consumed. Oil transport from tankers and pipelines is a major source of non-natural oil spills (e.g., oil seeps from geologic formations). Oil from small boats, vehicles, and street run-off can also create minor spills.

From 2000 to 2009 an average of 24 tons (21.8 metric tons) of oil was spilled annually (based on spills of at least 7.7 tons). The International Convention for Prevention of Pollution of the Sea by Oil (OILPOL) in 1954 acknowledged the potential pollution of the oceans by oil and prohibited the dumping of oil near land and in specified areas. The 1973 and 1978 International Conventions for the Prevention of Pollution from Ships (MARPOL) established further guidelines and regulations for new ship construction, ship safety, and oily water discharges. In 1992 amendments were made to MARPOL requiring new ships to be constructed with double hulls. MARPOL was revised in 2004 to address ship construction, equipment provisions relating to new and existing ships, and operational requirements for the prevention of oil spills. The EPA, the National Oceanic and Atmospheric Administration (NOAA), and the American Petroleum Institute are among the sponsors of the International Oil Spill Conference. Goals include delineating the overall oil spill problem and exploring ways to prevent and respond to spills.

Oil spills are a continuing global problem. Following the *Exxon Valdez* spill, the United States adopted the federal Oil Pollution Act of 1990. The law created a spill clean-up fund, set penalties for oil spillers, and directed the federal government to respond quickly to oil spills. In 1993 U.S. federal law required a double hull for all tankers carrying oil to the United States. The U.S. Clean Water Act, with penalties ranging from \$1,100 to \$4,300 per barrel of oil spilled in cases of gross negligence, adds to potential penalties for the destruction of wildlife and endangered species. In addition to cleanup costs and government imposed penalties, companies found responsible for spills often face civil lawsuits and, in some cases, potential legal action for criminal violations.

Other major spills at sea include, but are not limited to, the spill resulting from the collision of the *Atlantic Empress* and *Aegean Captain* off Trinidad and Tobago in 1979, a Nowruz Field platform spill into the Persian Gulf off Iran in 1983, the *Amoco Cadiz* spill off

the coast of France in 1978, the *Odyssey* spill off Nova Scotia in 1988, and the *Torrey Canyon* spill near the Isles of Scilly, United Kingdom, in 1967.

In 1999 the tanker *Erika* spilled 3 million gallons (11 million liters) of oil off the coast of Brittany, France. The following year, a pipeline ruptured and spilled 343,200 gallons (1.3 million liters) of oil into Guanabara Bay in Brazil. And in 2000 the tanker *Westchester* ran aground south of New Orleans, spilling 567 thousand gallons (2.1 million liters) of oil into the Mississippi River. In 2002 the *Prestige* spilled more than 69 thousand major tons (63 thousand metric tons) of oil when the ship split off the coast of Spain. The string of accident resulted in amendments to MARPOL that accelerated the phase-out of single hull ships as well as the implementation of a Condition Assessment Scheme (CAS) for tankers.

## Other oil spills

In July 2010 a deadly pipeline explosion at a port in Dalian, a city along China's Yellow Sea coast, resulted in what the state-controlled Xinhua News Agency described as that country's largest spill. Government and company officials speaking on state-run television claimed that the spill was quickly contained, but not before the spill spread into the open ocean and toward North Korea. The cause of the explosion was not initially known, but the ruptured pipeline is registered and operated by the China National Petroleum Corporation. Environmental experts were skeptical of government claims that there was no environmental damage.

In addition to marine spills, oil spills occur in rivers and other inland waterways. Land-based spills from pipelines can also pollute watersheds. In late July 2010 a ruptured pipeline created a large oil spill that flowed into the Kalamazoo River watershed in Michigan.

United Nations environmental experts argue that there are many unreported oil spill in waters off developing nations caused by either sabotage or industrial negligence that are often not reported by global media outlets.

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- EPA—Oil Spill Program, UNIDO New York Office, (800) 424-9346, [oilinfo@epa.gov](mailto:oilinfo@epa.gov), <http://www.epa.gov/oilspill/>
- International Tanker Owners Pollution Federation Limited, Staple Hall, Stonehouse Court, 87-90 Houndsditch, London, U.K., EC3A 7AX, +44(0)20-7621-1255, (800) 424-9346, [central@itopf.com](mailto:central@itopf.com), <http://www.itopf.com/index2.html>
- National Oceanic and Atmospheric Administration., 14th Street and Constitution Avenue NW, Room 6013, Washington, D.C., USA, 20230, (202) 482-6090, (202) 482-3154, [answers@noaa.gov](mailto:answers@noaa.gov), <http://www.noaa.gov>
- Oil Spill Response Ltd (ORSL), 1 Great Cumberland Place, London, U.K., W1H 7AL, +44 (0)20-7724-0102, (800) 424-9346, [orl@orl.co.uk](mailto:orl@orl.co.uk), <http://www.oilspillresponse.com/>
- The National Academies, 2101 Constitution Avenue, NW, Washington, DC, USA, 20418, (202) 334-2000, <http://www4.nationalacademies.org>

Liz Swain

## Old-growth forest

The trees stand tall and thick of girth. The air about them is cool and moist. The soil is rich and matted by a thick organic blanket. People marvel at the forest, imbibing its grandeur, coveting its timber. In another area across the mountains, perhaps, the trees stand stooped and scraggly. The air about them is parched. The soil is coarse, barren, and hardened to the elements. People pass by the forest, ignoring its dignity, rejecting its worth.

These images reflect extremes in old-growth forests. The first is the compelling one: it exemplifies the common perception of an ecosystem at the center of a bitter environmental controversy. The second depicts an equally valid old-growth forest, but it is one whose fate few people care to debate.

The controversy over old-growth forests is the result of competition for what has become a scarce natural resource—large, old trees that can be either harvested to produce high value lumber products or preserved as notable relics as a forest proceeds through its stages of ecological succession. This competition is a



**Prescribed burning of a prairie in South Dakota for land management purposes.** (Photograph by Stephen J. Krasemann. National Audubon Society Collection/Photo Researchers, Inc.)

classic environmental struggle, impelled by radically different perceptions of value and conflicting goals of consumptive and nonconsumptive use.

What is an old-growth forest? Before the modern debates, the definition seemed simple. Old-growth was a mature virgin forest; it consisted of giant old trees, many past their prime, which towered over a shady, multilayered understory and a thick, fermenting forest floor. In contrast to second-growth timber, the stand never had been harvested. It was something that existed in the West, having long since been cut in the East.

In the mid to late 1980s several professional and governmental organizations, including the Society of American Foresters, the U.S. Forest Service, and California's State Board of Forestry, began efforts to define formally old-growth forest and the related term ancient forest for ecological and regulatory purposes. The task was complicated by the great diversity in forest types, as well as by different views of the purpose and use of the definition. For example, sixty years of age might be considered old for one type, whereas 200 or 1,000 years might be more accurate for other types. Moreover, forest attributes other than age are more important for the wellbeing of certain species, which are dependent on forests commonly considered old growth, such as the northern spotted owl and marbled murrelet. Nonetheless, some common attributes and criteria were developed.

Old-growth forests are now defined as those in a late seral stage of ecological succession, based on their composition, structure, and function. Composition is the representation of plant species—trees, shrubs, forbs, and grasses—that comprise the forest. (Often, in referring to an old-growth stand, foresters limit composition to the tree species present). Structure includes the concentration, age, size, and arrangement of living plants, standing dead trees (called snags), fallen logs, forest-floor litter, and stream debris. Function refers to the forest's broad ecological roles, such as habitat for terrestrial and aquatic organisms, a repository for genetic material, a component in the hydrologic and biogeochemical cycles, and a climatic buffer. Each of these factors vary and must be defined and evaluated for each forest type in the various physiographic regions, while accounting for differences in disturbance history, such as wildfires, landslides, hurricanes, and human activities. The problem of specifically defining and determining use of these lands is exceedingly complex, especially for managers of multiple-use public lands who often are squeezed between the opposing pressures of commercial interests, such as the timber industry, and environmental preservation groups.

The modern controversy centers primarily around forests in the northwest of the United States and Canada—forests consisting of virgin redwoods, Douglas firs, and mixed conifers.

As an example of old-growth characteristics, the Douglas-fir forests are characterized by large, old, live trees, many more than 150 feet (46 m) tall, 4 feet (1.2 m) in diameter, and 200 years old. Interspersed among the trees are snags of various sizes—skeletons of trees long dead, now home to birds, small climbing mammals, and insects. Below the giants are one or more layers of understory—subdominant and lower growing trees of the same or perhaps different species—and beneath them are shrubs, either in a thick tangle providing dense cover and blocking passage or separated and allowing easy passage. The trees are not all healthy and vigorous. Some are malformed, with broken tops or multiple trunks and infected by fungal rots whose conks protrude through the bark. Eventually, these will fall, joining others that fell decades or centuries ago, making a criss-cross pattern of rotting logs on the forest floor. In places, high in the trees, neighboring crowns touch all around, permanently shading the ground; elsewhere, gaps in the canopy allow sunlight to reach the forest floor.

Proponents of harvesting mature trees in old-growth forests assert that the forests cannot be preserved, that they have reached the carrying capacity of the site and the stage of decadence and declining productivity that ultimately will result in loss of the forests as well as their high commercial value, which supports local lumber-based economies. They feel that society would be better served by converting these aged, slow-growing ecosystems to healthy, productive, managed forests. Management proponents also argue that adequate old-growth forests are permanently protected in designated wildernesses and national and state parks. Moreover, they point out that even though most old-growth forests are on public land, many forests are privately owned, and that land owners not only pay taxes on the forests, but they also have made an investment from which they are entitled a reasonable profit. If the forests are to be preserved, land owners and others suffering loss from the preservation should be reimbursed.

Proponents of saving the large old trees and their environments claim that the forests are dynamic, that although the largest, oldest trees will die and rot, they also will be returned to earth to support new growth, foster biological diversity, and preserve genetic linkages. Moreover, protection of the forests will help ensure survival of dependent species, some of which are threatened or endangered. Defenders claim that

the trees will not be wasted; they simply will have alternative value. They believe that their cause is one of moral as well as biological imperative. More than 90 percent of America's old-growth forests have been logged, depriving future generations of the scientific, social, and psychic benefits of these forests. As a vestige of North American heritage, the remaining forests, they believe, should be manipulated only insofar as necessary to protect their integrity and minimize threats of natural fire or disease from spreading to surrounding lands.

See also American Forests; Endangered species; National forest; National Forest Management Act (1976); Restoration ecology.

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Ronald D. Taskey

## Oligotrophic

The term *oligotrophic* is derived from the Greek term meaning *poorly nourished* and refers to an aquatic system that has low overall levels of primary production, principally because of low concentrations of the nutrients that plants require. The bottom waters of an oligotrophic lake do not become depleted of oxygen in summer, when rates of primary productivity in surface waters of the lake are typically at their highest. Oligotrophic bodies of water tend to have a more diverse community of zooplankton than waters with high levels of primary production. The term can also be used to describe any organism that only needs a limited supply of nutrients, or an insect that only utilizes a few plants as habitat.

Marie H. Bundy

## Olmsted Sr., Frederick L.

1822–1903

American landscape designer

The famous landscape designer of Central Park (on which he collaborated with the architect Calvert Vaux), Frederick Law Olmsted Sr. is widely known for that accomplishment alone. Considering the impact of the park on city life in New York and on other designs around the world, that would be accomplishment enough. Many of Olmsted's other achievements, however, remain overshadowed by his work on Central Park.

Olmsted Sr., was born in Hartford, Connecticut, the oldest son of a well-to-do merchant from an old New England family. He was educated in a series of boarding schools in the rural Connecticut area where he grew up. More unorthodox educational benefits accrued from his apprenticeships as a civil engineer and farmer, along with his stint as a ship's cabin boy on a trip to China.

Reportedly, Olmsted's firm laid out some 1,000 parks in 200 cities. He also designed university campuses including University of California at Berkeley, Harvard, Amherst, and Yale as well as cemeteries, hospital grounds (including the grounds for the hospital where he died, unhappy—even while suffering a terminal illness—that his plans for the hospital had not been followed carefully enough). Another source of disappointment was the fact that many of his plans were modified in ways he did not agree with (e.g., Stanford University), or even suppressed, as happened with his plan for Yosemite National Park.

The crown jewel of Olmsted's creativity was Central Park in New York City. An army of workers created a lake, shoveled in enormous quantities of top soil to create natural-appearing meadows, and planted whole forests to screen the park from the city.

Among Olmsted's lesser known accomplishments were both his role in the commission to establish Yosemite Park, a task undertaken in 1864, long before John Muir first saw Yosemite Valley, and preserve Niagara Falls, a movement that resulted in creation of the Niagara Falls State Reservation in 1888. He also was a prominent participant in the campaign to preserve the Adirondack region in upstate New York.

Even less well known are his writings not directly associated with landscape architecture or ecological design. Olmsted was an accomplished travel writer, producing still readable books such as a *Saddle-trip on the Southwestern Frontier of Texas* or his first book

titled *Walks and Talks of an American Farmer in England*. Olmsted's travels in the South were as a roving journalist for the *New York [Daily] Times*, he was an acute observer and his travel books on the South were also commentaries on the institution of slavery, which he believed "to be both economically ruinous and morally indefensible." Books from this period included *The Cotton Kingdom: A Traveler's Observations on Cotton and Slavery in the American Slave States*, *A Journey in the Seaboard Slave States*, and *Slavery and the South, 1852–1857*. He was also a founder of the liberal journal of commentary, *The Nation*.

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Gerald L. Young

Onchocerciasis see **River blindness**.

OPEC see **Organization of Petroleum Exporting Countries**.

## Open marsh water management

Open Marsh Water Management (OMWM) refers to the practice of controlling the mosquito population in salt marshes by creating an appropriate habitat for the natural enemies of the mosquitoes and by reducing flooding in areas that are not wet on an ordinary basis,—thus reducing an environment that would support mosquitoes but not their predators, without the use of chemicals that might be harmful to the natural resources surrounding the water body, or harmful to wildlife and humans, OMWM calls on nature's own ecological balance to successfully alter the pest—mosquito. The techniques were eventually employed by individuals and municipalities to control mosquitoes even in their own backyards, no matter how far that might be from tidal wetlands.

Before this plan was developed and first used in New Jersey to control the mosquito problem in their tidal wetlands and marshes, the normal practice utilized a network of ditches. Under this method, which started around the time of the Civil War, the shallow

ponds and water pools, the natural habitats of the birds and fish that fed on mosquitoes, were changed or destroyed through ditch digging to drain the marshes and remove standing water. According to the *Conservationist* in an article published in June 1997, the digging also created a problem because, "Spoil generated by the ditch digging was often disposed of in marsh areas, creating high areas. The high areas were soon invaded by reeds and shrubs—vegetation of lesser value for marsh wildlife. In addition spoil mounds restricted the ebb and flow of tides, reducing flushing and creating habitat that actually favored mosquitoes. Many marshes became cut off from tidal flow, causing them to gradually become less saline [salty]. This resulted in changes in the composition of flora and fauna, enabling such non-native plants as the common reed (*Phragmites australis*) to invade the marsh. While somewhat attractive and often collected for dry plant arrangements, this plant has little wildlife value and displaces other more valuable species.

The key to OMWM is creating a habitat for one particular mosquito predator, the mummichogs (*Fundulus heterclitus*), or marsh killfish. By restoring the body of water to its natural state, marsh killfish are provided with a habitat for survival, as are other fish and wildlife. The killfish are small but have appetites for mosquito larvae that never subside. Natural habitats are created by the tidal flow that goes between being pools of deep water and shallow ponds. Killfish thrive under these conditions—laying their eggs when it is dry and hatching them when it floods. The mosquito produces a vast number of larvae (all waiting to emerge as mosquitoes) as soon as the marshes are once again full of water. Yet simply producing more killfish does not ensure that they will reach the mosquitoes, and thus control the population. The *Conservationist* pointed out that "for a project to be effective over a wide tidal range, the design of the tidal channels must be carefully tailored to the specific conditions of the marsh. Shallow access channels are constructed to allow the fish access to all parts of the marsh surface. However, pool and ditch sections must provide enough water and adequate depth to prevent excessive predation of the fish by wading birds."

### Mosquito control in history

The state of New Jersey was the first place where OMWM was used—and the reasons for that go as far back as the early settlers. According to the *New Jersey Mosquito Homepage (NJMH)*, yellow fever, contracted from mosquitoes, was known as the American plague, infecting the Massachusetts colony as early as 1647. In

1793 Philadelphia was hit, and the city's population was devastated. When U.S. army surgeon Walter Reed (1851–1902) finally traced the virus to the mosquito, *Aedes aegypti*, in 1900, the disease was dealt a death blow. But the mosquito remained more than a simple annoyance that even the window screen introduced in the 1880s could not totally erase. Humans could contract Eastern equine encephalitis through mosquitoes, a disease of epidemic proportions even into the middle half of the twentieth century. Horses continue to be infected if they are not inoculated against the disease. Mosquitoes can also transmit heartworm disease to dogs. Even in modern-day America, a variety of the species has been found to infect humans with the deadly West Nile virus.

The *NJMH* elaborates further on the history of mosquito control as an early priority in that state and others. “Considerable public debate was given to the question whether mosquitoes could ever be controlled. Mosquito control operations grew in some towns but not in all towns. Newspaper battles raged when it was painfully noted that mosquitoes ignored municipal and even state borders. Local boards of health funded most of the extermination work. Laws in 1906 required support for local efforts from the state experiment station. Another law in 1912 directed the creation of county mosquito extermination commissions to assure full-time mosquito work. With an increase in mosquito control workers and their rapid progress, it became clear that an organization was needed within which these workers could discuss their problems and share their experiences.” Following the first convention of county commission in February 1914 in Atlantic City, the permanent organization known as the New Jersey Mosquito Extermination Association was formed. In 1935 a regional organization was formed with ten other states, the Eastern Association of Mosquito Control Workers, from a meeting at Trenton; it was re-named in 1944. The American Mosquito Control Association remains the premier organization concerned with mosquito control.

The common salt marsh mosquitoes include those known as *Aedes sollicitans*, *Aedes contator*, and *Aedes taeniorhynchus*. Adult females deposit their eggs on the marsh surface, which dry for twenty-four hours. The egg-filled depressions fill with water during the monthly high tides when the marsh is flooded, causing the larvae to hatch quickly. In addition to killfish acting as predators for the larvae, they can be controlled through the OMWM technique of utilizing a series of ditches to connect mosquito breeding depressions to more permanent bodies of water. It eliminates standing water, and

also provides for predator fish to reach any mosquito larvae that are still there.

One example of a project to turn around the results of the former ditch-digging practice that had been used and proven harmful is a research project that began operating in 2000, supervised by the U.S. Fish and Wildlife Service (USFWS), Region 5, at the Rachel Carson Wildlife Refuge in Maine. The U.S. Department of the Interior's U.S. Geological Survey information system project of the Patuxent Wildlife Research Center in Laurel, Maryland, provided a history and description of the project. “By the 1930s, most salt marshes throughout the northeastern United States had been ditched for mosquito control purposes. Documented impacts of this extensive network of ditching include drainage of marsh pools, lowered water table levels, vegetation changes, and associated trophic [feeding] responses. To restore the ecological functions of ditched salt marshes, while maintaining effective mosquito control, the USFWS (Region 5) is in the process of plugging the salt marsh ditches and establishing marsh pools. Ditch plugging is an adaptation of the mosquito control practice known as Open Marsh Water Management. The purpose of this proposed study is to compare and evaluate marshes that have been ditch plugged with unditched and parallel ditched marshes. Physical (tidal hydrology, water table level), chemical (soil salinity), and ecological (vegetation, nekton, marsh invertebrates, waterbirds, mosquito production) factors will be evaluated.” Before data could be collected, this study was expected to create an established pattern for long-term salt-marsh monitoring.

Mosquito control programs currently focus on Integrated Mosquito Management (IMM), which incorporates interdisciplinary methods that recognize the social, economic, and ecological issues involved with mosquito management. These methods are developed with special regard for human health as well as the environment. Both physical and biological controls are employed for mosquito management. Source reduction involves digging a network of ditches and canals to eradicate areas of standing water, resulting in the elimination of mosquito breeding sites. This excavation must be accomplished without the formation of spoils and must support and retain the original vegetation. Biological controls consist of introducing mosquito larvae predators, killfish or *Gambusia* species, that feed on mosquito larvae. This control of the number of mosquitoes able to develop from larvae into flying adults reduces the amount of pesticide necessary to control both mosquito larvae and adults.

OMWM establishment has resulted in up to a 98 percent reduction in numbers of mosquitoes. East Coast states, as well as areas around the country, have engaged in OMWM not merely for simple mosquito control but as a part of the restoration of estuaries and other wildlife management and restoration projects. OMWM techniques were still being refined as of 2002 as part of a return to an ecologically-balanced system that provides for the survival of natural resources and for the survival of humans and wildlife.

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- U.S. Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W., Washington, DC, USA, 20460, (202) 260-2090, [www.epa.gov](http://www.epa.gov)
- U.S. Fish and Wildlife Service, Washington, DC, USA, [www.fws.gov](http://www.fws.gov)

Jane E. Spear

## Open system

The relationship between any system and its surrounding environment can be described in one of three ways. In an isolated system neither matter nor energy is exchanged with its environment. In a closed system energy states of the two systems may change, but matter is not exchanged. In an open system both matter and energy are exchanged between the system and its surrounding environment. Any ecosystem is an example of an open system. Energy can enter the system in the form of sunlight, for example, and leave in the form of heat. Matter can enter the system in many ways. Rain falls upon it and leaves by evaporation or streamflow, or animals migrate into the system and leave in the form of decay products.

## Opportunistic organism

Opportunistic organisms commonly refer to animals and plants that tolerate variable environmental conditions and food sources. Some opportunistic species can thrive on almost any available nutrient source: omnivorous rats, bears, and raccoons are all opportunistic feeders. Many opportunists flourish under varied environmental conditions: the common house sparrow (*Passer domesticus*) can survive both in the warm, humid climate of Florida and in the cold, dry conditions of a Midwestern winter. Aquatic opportunists, often aggressive fish species, fast-spreading plankton, and water plants, frequently tolerate fluctuations in water salinity as well as temperature.

A secondary use of the term opportunistic signifies species that can quickly take advantage of favourable conditions when they arise. Such species can postpone reproduction or even remain dormant, until appropriate temperatures, moisture availability, or food sources make growth and reproduction possible. Some springtime-breeding lizards in Australian deserts, for example, can spend months or years in a juvenile form, but when temperatures are right and a rare rainfall makes food available, no matter what time of year, they quickly mature and produce young while water is still available. More familiar opportunists are viruses and bacteria that reside in the human body. Often such organisms will remain undetected with a healthy host for a long time. But when the host's immune system becomes weak, resident viruses and bacteria establish an infection. Thus, people suffering from malnutrition, exhaustion, or a prolonged illness are especially vulnerable to common opportunistic diseases, such as the common cold or pneumonia. As another example, people whose immune system has been compromised due to an infection (e.g. acquired immunodeficiency syndrome, AIDS) or drug therapy can be prone to opportunistic infections by fungi and yeast, or the development of cancer.

Adaptable and prolific reproductive strategies usually characterize opportunistic organisms. While some plants can reproduce only when pollinated by a specific, rare insect, and many animals can breed only in certain conditions and at a precise time of year, opportunistic species often reproduce at any time of year or under almost any conditions. House mice (*Mus musculus*) are extremely opportunistic breeders: they can produce sizeable litters at any time of year. Opportunistic feeding aids their ability to breed year round. These mice can nourish their young with almost any available vegetable matter, fresh or dry.

The common dandelion (*Taraxacum officinale*) is also an opportunistic breeder. Producing thousands of seeds per plant from early spring through late fall, the dandelion can reproduce despite competition from fast-growing grass, under heavy applications of chemical herbicides, and even with the violent weekly disturbance of a lawn mower. Once mature, dandelion seeds disperse rapidly and effectively, riding on the wind or on the fur of passing rodents. The common housefly (*Musca domestica*) is also an opportunistic feeder and reproducer—it can both feed and lay eggs on almost any organic material as long as it is fairly warm and moist.

Because of their adaptability, opportunistic organisms commonly tolerate severe environmental disturbances. Fire, floods, drought, and pollution disturb or even eliminate plants and animals that require stable conditions and have specialized nutrient sources. Fireweed (*Epilobium angustifolium*), an opportunist that readily takes advantage of bare ground and open sunlight, spreads quickly after land is cleared by fire or by human disturbance. Because it tolerates, or even thrives, in disturbed environments, many opportunists flourish around human settlements, actively expanding their range as human activity disrupts the habitat of more sensitive animals and plants. Opportunists are especially visible where chemical pollutants contaminate habitat. In such conditions overall species diversity usually declines, but the population of certain opportunistic species may increase as competition from more sensitive or specialized species is eliminated.

Because they are tolerant, prolific, and hardy, many opportunistic organisms, including the house fly, the house mouse, and the dandelion, are considered pests. Where they occur naturally and have natural limits to their spread, however, opportunists play important environmental roles. By quickly colonizing bare ground, fireweed and opportunistic grasses help prevent erosion. Cottonwood trees (*Populus spp.*), highly opportunistic propagators, are among the few trees able to spread into arid regions, providing shade and nesting places along stream channels in deserts and dry plains. Some opportunists that are highly tolerant of pollution are now considered indicators of otherwise undetected chemical spills. In such hard-to-observe environments as the sea floor, sudden population explosions among certain bottom-dwelling marine mollusks, plankton, and other invertebrates have been used to identify petrochemical spills around drilling platforms and shipping lanes.

*See also* Adaptation; Contaminated soil; Environmental stress; Flooding; Growth limiting factors; Indicator organism; Parasites; Resilience; Scavenger; Symbiosis.

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Mary Ann Cunningham

## Orangutan

The orangutan (*Pongo pygmaeus*), one of the Old World great apes, has its population restricted to the rain forests of the Malaysian and Indonesian islands of Sumatra and Borneo. The orangutan is the largest living arboreal mammal, and it spends most of the daylight hours moving slowly and deliberately through the forest canopy in search of food. Sixty percent of its diet consists of fruit, and the remainder is composed of young leaves and shoots, tree bark, mineral-rich soil, and insects. Orangutans are long-lived, with many individuals reaching between fifty and sixty years of age in the wild. These large, chestnut-colored, long-haired apes are facing possible extinction from two different causes: habitat destruction and the wild animal trade.

The rain forest ecosystem on the islands of Sumatra and Borneo is rapidly disappearing. Sumatra loses



**Mother orangutan with her baby.** (©EnjoyLife. Image from BigStockPhoto.com)

370 square miles (960 square km) of forest per year, or about 1.6 percent faster than any other Indonesian island. The rest of central Indonesia, of which Borneo comprises a major part, loses about 2,700 square miles (7,000 square km) per year. Some experts argue this estimate is too low, and they argue it could be closer to 4,600 square miles (12,000 square km) per year. Another devastating blow was dealt Borneo's rain forests when more than 15,400 square miles (40,000 square km) of the island's tropical forest was destroyed by drought and fire between 1982 and 1983. The fire was set by farmers who claimed to be unaware of the risks involved in burning off vegetation in a drought stricken area. Even though Indonesia still has more than 400,000 square miles (1,000,000 square km) of rain forest habitat remaining, the rate of loss threatens the continued existence of the wild orangutan population, which was estimated by an Indonesian government survey in 2005 at about 60,000 individuals.

Both the Indonesian government and the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES) have banned international trade of orangutans, yet their population continues to be threatened by the black market. To meet the demand for these apes as pets around the world, poachers kill the mother orangutan to secure the young ones, and the mortality rate of these orphans is extremely high, with less than 20 percent of those smuggled ever arriving alive at their final destination. This high mortality rate is directly due to stress, both emotional and physiological, on the young orangutans. The transportation scheme involved in smuggling these animals out of Indonesia to major trade centers throughout the world is intricate and time-consuming, and the way in which they are concealed for shipping is inhumane. These are two more reasons why only one out of five or six orangutan babies will survive the ordeal.

Some hope for the species rests in a global effort to manage a captive propagation program in zoos. A potentially self-sustaining captive population of more than 850 orangutans has been established. An elaborate system of networking and recording of all legally held individuals may also aid in the recognition and recapture of smuggled animals. Researchers have also developed methods of determining whether smuggled orangutans are of Bornean or Sumatran origin, thus providing a means of maintaining genetic integrity for those that can be bred in captivity or relocated.

#### Resources

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Eugene C. Beckham

## Order of magnitude

A mathematical term used loosely to indicate tenfold differences between values. This concept is crucial to interpreting logarithmic scales—including standard use pH or earthquake magnitude scales—where each number differs by a factor of 10, and two numbers differ by a factor of 100 (10 times 10). For example, a pH of 4 is 100 times as acidic as a pH of 6 because they differ by two orders of magnitude. Scientists often generalize with this term; for example “our ability to measure pollutants has improved by several orders of magnitude” means that whereas before we were able to measure parts per million (ppm), we can now measure parts per billion (ppb).

## Organic gardening and farming

Agriculture has changed dramatically since the end of World War II. As a result of new technologies, mechanization, increased chemical use, specialization,



**Al Morgan spreads some of his invention, organic foam-in-place mulch, on a flower bed of his home in Clinton, Illinois. Morgan, a retired chemist, devised the pourable, biodegradable mixture as a solution to weeding.** (AP Photo/Tom Roberts)

and government policies, food and fiber productivity has soared. Whereas some of these changes have led to positive effects, there have been significant costs: topsoil depletion, groundwater contamination, harmful pesticide residue, the decline of family farms, and increasing costs of production. To counterbalance these costs, there is a growing movement to grow plants organically.

On a local level, suburban homeowners and city dwellers are finding ways to plant their own food for personal consumption in plots that are not sprayed with chemicals or treated with synthetic fertilizers. City dwellers may team up to work a collective, organic garden on a vacant lot. Homeowners have the option to create mulch piles—a mixture of leaves and organic materials, vegetable leavings, egg shells, coffee grounds, etc. (commonly referred to as compost)—that eventually become a rich soil, thanks to the breakdown of these elements by tiny organisms. This enriched soil becomes a fertile, clean foundation for a bountiful garden. The inevitable weeds that grow can be picked by hand.

On a larger level, emerging as an answer to some of these farming problems is a movement called sustainable agriculture. Sustainability rests on the principle that people must meet the needs of the present without compromising the ability of future generations to meet their own needs.

Organic farming is part of this movement. Most significantly, organic foods are grown without synthetic fertilizers, pesticides, or herbicides. Organic farming and gardening is the result of the belief that the best food crops come from soil that is nurtured rather than

treated. Organic farmers take great care to give the soil nutrients to keep it healthy, just as a person works to keep his or her body healthy with certain nutrients. Thus, organic farmers prefer to provide those essential soil builders in natural ways: using cover crops instead of chemical fertilizers, releasing predator insects rather than spraying pests with pesticides, and hand weeding rather than applying herbicides.

Organic production practices involve a variety of farming applications. Specific strategies must take into account topography, soil characteristics, climate, pests, local availability of inputs, and the individual grower's goals. Despite the site-specific and individual nature of this approach, several general principles can be applied to help growers select appropriate management practices. Growers must:

1. Select species and varieties of crops that are well suited to the site and to the conditions of the farm.
2. Diversify the crops, including livestock and cultural practices, to enhance the biological and economic stability of the farm.
3. Manage the soil to enhance and protect its quality.

Making the transition to sustainable agriculture is a process. For farmers the transition normally requires a series of small, realistic steps. For example in California, which had a water shortage, steps are being taken to develop drought-resistant farming systems even in normal years. Farmers are encouraged to improve water conservation and storage measures, provide incentives for selecting specific crops that are drought-tolerant, reduce the volume of irrigation systems, and manage crops to reduce water loss.

To stop soil erosion, farmers are encouraged to reduce or eliminate tillage, manage irrigation to reduce runoff, and keep the soil covered with plants or mulch.

Farmers are also encouraged to diversify, because diversified farms are usually more economically and ecologically resilient. By growing a diversity of crops, farmers spread economic risk, and the crops are less susceptible to the infestation of certain predators that feed off one crop. Diversity can buffer a farm biologically. For example, in annual cropping systems, crop rotation can be used to suppress weeds, pathogens, and insect pests.

Cover crops can have a stabilizing effect on the agro-ecosystem. Cover crops hold soil and nutrients in place, conserve soil moisture with mowed or standing dead mulches, and increase the water infiltration rate and soil water-holding capacity. Cover crops in orchards

and vineyards can buffer the system against pest infestations by increasing beneficial arthropod populations and can therefore reduce the need for chemicals. Using a variety of cover crops is also important to protect against the failure of a particular species to grow and to attract and sustain a wide range of beneficial arthropods.

Optimum diversity may be obtained by integrating both crops and livestock in the same farming operation. This was the common practice for centuries until the mid-1900s, when technology, government policy, and economics compelled farms to become more specialized. Mixed crop and livestock operations have several advantages. First, growing row crops only on more level land and pasture or forages on steeper slopes will reduce soil erosion. Second, pasture and forage crops in rotation enhance soil quality and reduce erosion; livestock manure in turn contributes to soil fertility. Third, livestock can buffer the negative impacts of low rainfall periods by consuming crop residue that in plant only-systems would have been considered failures. Finally, feeding and marketing are more flexible in animal production systems. This can help cushion farmers against trade and price fluctuations and, in conjunction with cropping operations, make more efficient farm labor.

Animal production practices are also sustainable or organic. In the midwestern and northeastern United States, many farmers are integrating crop and animal systems, either on dairy farms or with range cattle, sheep, and hog operations. Many of the principles outlined in the crop production section apply to both groups. The actual management practices will, of course, be quite different.

Animal health is crucial, because unhealthy stock waste feed and require additional labor. A herd health program is critical to sustainable livestock production. Animal nutrition is another major issue. Although most feed may come from other enterprises on the ranch, some purchased feed is usually imported. If the animals feed from the outside, this feed should be as free of chemicals as possible.

A major goal of organic farming is a healthy soil. Healthy soil will produce healthy crops and plants that have optimum vigor and less susceptibility to pests. In organic or sustainable systems, the soil is viewed as a fragile and living medium that must be protected and nurtured to ensure its long-term productivity and stability. Fertilizers and other inputs may be needed, but they are minimized as the farmer relies on natural, renewable, and on-farm inputs.

Although many crops have key pests that attack even the healthiest of plants, proper soil, water, and nutrient management can help prevent some pest

problems brought on by crop stress or nutrient imbalance. Additionally, crop management systems that impair soil quality often result in greater inputs of water, nutrients, pesticides, and/or energy for tillage to maintain yields.

Sustainable approaches are those that are the least toxic and least energy-intensive, yet maintain productivity and profitability. Farmers are encouraged to use preventive strategies and other alternatives before using chemical inputs from any source. However, there may be situations where the use of synthetic chemicals would be more sustainable than a strictly nonchemical approach or an approach using toxic organic chemicals. For example, one grape grower in California switched from tillage to a few applications of a broad spectrum contact herbicide in his vine row. This approach may use less energy and may compact the soil less than numerous passes with a cultivator or mower.

Sustainable agricultural practices may also be able to reduce the effects of climate change. Deforestation, excess fertilizer input, and soil degradation contribute to the accumulation of greenhouse gases in the atmosphere. Reducing the amount of applied fertilizers, employing crop rotation, and managing the soil to reduce the need for tilling and cultivation are sustainable ways in which the emission of greenhouse gases can be decreased.

The Organic Food Production Association of North America is the trade and marketing arm of the organic industry in the United States and Canada. The Farm Bill of 1990—Organic Foods Production Act—addressed the growing organic farming movement. The 1990 law required that organic farmers wait for three years before they were officially certified—to ensure that most of the chemicals had been eliminated. With proper documentation, on-site inspectors were then able to certify the farm. Organic groups admit that no organic program can claim absolutely it is residue free. The issue here is a process—of farming and producing a product in as chemically free and healthy an environment as is possible.

Europe (the European Common Market), Australia, Argentina, and many countries in South America have established their own organic standards and are joined together under the organization called International Federation of Organic Agricultural Movements (IFOAM). Based on the guidelines set by IFOAM, the European Union developed regulations for organic farming in twelve countries with the inception of its EU-Eco-regulation standards in 1991. Japan established organic farming regulations in 2001, followed by the United States in 2002, with the formation of the National Organic Program (NOP). The U.S. Department of Agriculture, with the

guidance of an advisory committee or National Organic Standards Board, established the federal regulations that standardized the rules for the entire organic industry in the United States, from growing and manufacturing to distribution. More than seventy countries have developed regulations for organic farming. IFOAM reported in 2010 that around 86.6 million acres (35 million hectares) were being organically farmed worldwide by the end of 2008, with nearly 1.4 million farmers practicing organic farming.

In addition to the United States federal standardization of organic farming, more policies are needed to promote simultaneous environmental health and economic profitability. For example, commodity and price-support programs could be restructured to allow farmers to realize the full benefits of the productivity gains made possible through alternative practices. Tax and credit policies could be modified to encourage a diverse and decentralized system of family farms rather than corporate concentration and absentee ownership. Government and land grant university research could be modified to emphasize the development of sustainable alternatives. Congress can become more rigorous in preventing the application of certain pesticides, especially those that have been shown to be carcinogenic. Marketing orders and cosmetic standards (i.e. color and uniformity of product, etc.) could be amended to encourage reduced pesticide use.

Consumers play a key role. Through their purchases, they send strong messages to producers, retailers, and others in the system about what they think is important. Food cost and nutritional quality have always influenced consumer choices. The challenge now is to find strategies that broaden consumer perspectives so that environmental quality and resource use are also considered in shopping decisions. Coalitions organized around improving the food system are one specific method of systemizing growing and delivery for the producers, retailers, and consumers.

Clean meat, vegetables, fruits, beans, and grains are available, as are products such as organically grown cotton. As consumer demands increase, growers will respond.

*See also* Biodiversity; Monoculture.

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Liane Clorfene Casten

## Organic waste

Organic wastes contain materials that originated from living organisms. There are many types of organic wastes, and they can be found in municipal solid waste, industrial solid waste, agricultural waste, and wastewaters. Organic wastes are often disposed of with other wastes in landfills or incinerators, but because they are biodegradable, some organic wastes are suitable for composting and land application.

Organic materials found in municipal solid waste include food, paper, wood, sewage sludge, and yard waste. Because of recent shortages in landfill capacity, the number of municipal composting sites for yard wastes is increasing across the country as is the number of citizens who compost yard wastes in their backyards. On a more limited basis, some mixed municipal waste composting is also taking place. In these systems, attempts to remove inorganic materials are made prior to composting.

Some of the organic materials in municipal solid waste are separated before disposal for purposes other than composting. For example, paper and cardboard are commonly removed for recycling. Food waste from restaurants and grocery stores is typically disposed of through garbage disposals, therefore, it becomes a component of wastewater and sewage sludge.

Although sewage sludge has traditionally been buried in landfills or incinerated, it is increasingly being applied to land as a fertilizer. Sewage sludge may be used as an agricultural fertilizer or as an aid in reclaiming land devastated by strip mining, deforestation, and overapplication of inorganic fertilizers. It may also be applied to land solely as a means of disposal, without the intention of improving the soil.

The agricultural use of sewage sludge is contentious. Some argue that because the sludge is so concentrated, even harmful compounds, such as heavy metals, that are typically present in small quantities

can be present in the sludge in appreciable, and perhaps unhealthy, amounts. Proponents of the agricultural use of sludge argue that the stringent treatment of the sludge prior to land use renders it safe.

The organic fraction of industrial waste covers a wide spectrum including most of the components of municipal organic waste, as well as countless other materials. A few examples of industrial organic wastes are papermill sludge, meat-processing waste, brewery wastes, and textile mill fibers. Because a large variety and volume of industrial organic wastes are generated, there is a lot of potential to recycle and compost these materials. Waste managers are continually experimenting with different means for composting industrial organic wastes into soil conditioners and soil amendments. Some treated industrial wastewaters and sludges contain large amounts of organic materials, and they too can be used as soil fertilizers and amendments.

Production of bio-gas is another use of organic waste. Bio-gas is used as an alternative energy source in some third world countries. It is produced in digester units by the anaerobic decomposition of organic wastes, such as manures and crop residues. Beneficial byproducts of bio-gas production include sludges that can be used to fertilize and improve soil and the inactivation of pathogens in the waste. In addition, there is ongoing research on using organic wastes in developing countries for functions that include fish farming; production of algae for human and animal consumption, fertilizer, and other uses; and production of aquatic macrophytes for animal feed supplements.

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Teresa C. Donkin

## Organization of Petroleum Exporting Countries

Established in 1960 by Iran, Iraq, Kuwait, and Venezuela, the Organization of Petroleum Exporting Countries (OPEC) was created to control the price of

oil by controlling the volume of production. Modeled on the Texas Railroad Commission in the United States, the group was also intended to make other decisions about petroleum policy and to provide technical and economic support to its members. Indonesia, Libya, Qatar, Algeria, Nigeria, Saudi Arabia, and the United Arab Emirates have been admitted since 1960, and it is now estimated that the nations in OPEC control nearly three-quarters of the world's oil reserves.

In October 1973 members of OPEC met at their headquarters in Vienna and voted to raise oil prices by 70 percent. OPEC is dominated by oil-producing countries from the Middle East, and this decision was designed to retaliate against Western support of Israel during its war with Egypt. At a conference in Tehran, Iran, in December of that same year, OPEC countries raised oil prices an additional 130 percent, and they enacted an embargo on shipments to the United States and the Netherlands. The Iranian revolution in 1979 further restricted the world supply of oil, intensifying the effects of OPEC policies. Between 1973 and 1980 the price of a barrel of oil rose from three dollars to thirty-five dollars.

The steep rise in oil prices had a disruptive effect in the United States, which is the largest oil importer in the world. It had an ever greater economic impact on industrialized countries such as Japan, which have little or no petroleum reserves of their own. But the economies in less developed countries (LDC) were the hardest hit; the rising price of oil decreased their purchasing power, increasing their trade deficit as well as their level of debt. There was a rapid transfer of wealth to oil-producing countries during this period, with the annual income of OPEC countries increasing 22.5 billion dollars in 1973 to 275 billion dollars in 1980.

The organization was not, however, able to maintain its influence over the international oil market in the 1980s, and prices dropped to as low as ten dollars a barrel during this decade. World oil consumption reached a peak in 1979, at a high of 66 million barrels a day, and then dropped sharply in the years that followed. Many Western countries encouraged conservation; they had also invested in other sources of energy, most notably nuclear power. Oil exploration had resulted in discoveries in Alaska and the North Sea; Mexico and Soviet Union, oil-exporting countries that were not members of OPEC, had also become an increasingly important part of the international petroleum trade. As their power over the price of oil became more diffused, consensus within OPEC became more difficult, and these internal divisions were made worse by political conflicts within the Middle East, particularly the war between Iran and Iraq.

From an environmental perspective, the most important effect of the oil price shocks of the 1970s may have been how it changed world patterns of energy consumption. Though the increase in prices forced many countries to use coal and nuclear power despite the damage they can do to the environment, economic pressures also stimulated research into many alternative energy sources, such as solar energy, wind energy, and hydroelectric power. In the 1990s decreased oil prices limited the sense of urgency as well as the funding for many of these research projects. In the first decade of the twentieth-first century, oil prices again rose dramatically, reaching a high point in 2007–2008 then slightly easing. Many environmentalists have suggested that sustained higher oil prices might be better for the environment and the global alternative energy economy over the long term.

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#### ORGANIZATIONS

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Douglas Smith

## Organochloride

Usually refers to organochlorine pesticides, although it also refers to any chlorinated organic compound. The organochlorine pesticides are classified by their molecular structures. The cyclopentadiene pesticides are aliphatic, cyclic structures made from Diels-Alder reactions of pentachlorocyclopentadiene and include chlordane,

nonachlor, heptachlor, heptachlor epoxide, dieldrin, aldrin, endrin, mirex, and kepone. Other subclasses of organochlorine pesticides are the DDT family and the hexachlorocyclohexane isomers. All of these pesticides have low solubilities and volatilities, and are resistant to breakdown processes in the environment. Their toxicities and environmental persistence have led to their restriction or suspension for most uses in the United States.

*See also* Organophosphate.

## Organophosphate

Refers to classes of organic compounds that are often used in insecticides. Many of the organophosphate pesticides were developed in the late twentieth century to replace organochlorine insecticides. They generally have the highest solubilities and shortest half-lives of the common classes of pesticides, but tend to have higher acute toxicities. Organophosphates act by disrupting the central nervous system, specifically by blocking the action of the enzyme acetylcholinesterase, which controls nerve impulse transmission. Organophosphates can have an impact on human health at moderate levels of exposure, causing disorientation, numbness, and tremors. Acute exposures can cause blindness and death. Well-known organophosphate pesticides include parathion, malathion, diazinon, and phosdrin.

*See also* Cholinesterase inhibitor; Organochloride.

## Orr, David W.

1944–  
American environmental writer

David Orr is an environmental education researcher, teacher, author and businessman. As of 2010 he was professor of environmental studies and politics at the University of Vermont.

Orr is best known for his 1992 book titled *Ecological Literacy* and for his brief editorial essays in the journal *Conservation Biology*, written from his position as education editor for that journal. Born in Des Moines, Iowa, and raised in New Wilmington, Pennsylvania, he holds degrees from Westminster College (BA), Michigan State University (MA), and the University of Pennsylvania (Ph.D. in International Relations). He was a professor of political science before

becoming professor and chair of the Environmental Studies Program at Oberlin College in Ohio. He is well-known for his criticism of the environmental degradation brought about by the free market system, his critique of the American educational system, and his activist attempts to change both systems toward more ecologically sustainable behavior.

Though the free market system seems to be in the ascendancy today, Orr believes that capitalism is failing because it produces too much and shares too little. He especially laments conservatives in the capitalist system who do not understand the history and meaning of what conservative means. He claims that a genuine conservatism would be conservation oriented and not intent, for example, on perpetual economic growth that “has become the most radicalizing force for change in the modern world.”

Much of this failure in the Western system he traces to an ecological crisis that is largely due to a failure of education. He faults every level of education for not teaching students how to learn, while in school and throughout life, and for failing to define knowledge adequately for postmodern life. Too many disciplines do not consider the interrelations between people and the earth on which they live.

Much of Orr’s work focuses on changing universities to eliminate what he considers the nationwide campus emphasis on “fast knowledge,” or “ignorant knowledge” that is “cut off from its ecological and social context.” He laments the over-professionalization of the professorate, researchers who churn out fast knowledge and create students (and citizens) who are “knowledge technicians instead of broadly thoughtful, liberally educated persons.” He relates narrowness and self-interest to “the problem of ecological denial,” in which people cannot think in the long term or about the “large and messy questions” associated with environmental issues. Throughout his career Orr has received many awards, such as an Honorary Doctorate in Humane Letters from Arkansas College in 1990, Benton Box Award from Clemson University in 1995, Millennium Leadership Award, Bioneers Award, and a National Wildlife Federation Leadership Award.

Orr believes that campus buildings even teach negative lessons. In 1996 he was instrumental in designing the first environmentally green building on a university campus in the United States. He is also involved in the drafting of a climate-action plan for the Obama administration. In 2009 his book, *Down to the Wire: Confronting Climate Collapse*, was published.

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Gerald L. Young

## Osborn, Henry F.

1887–1969

American conservationist and naturalist

Born in Princeton, New Jersey, Osborn was the son of a renowned paleontologist, who was also president of the American Museum of Natural History. Osborn graduated from Princeton University in 1909 and attended Cambridge University in England. He then held a variety of jobs, working in freight and railroad yards and serving as a soldier in World War I. He finally took a position at a bank dealing in Wall Street investments.

Throughout his life Osborn accompanied his father on paleontological expeditions. As these trips continued into his adulthood, Osborn realized that his true vocation lay not finance but in natural science. In 1935 he accepted a position as secretary of the New York Zoological Society, an organization devoted to the “instruction and recreation of the people of New York.” He was named president of the society five years later and remained in office until 1968. As president he actively pursued the creation of the Marine Aquarium at Coney Island, New Jersey, as well as improvements to the Bronx Zoological Park.

Osborn recognized the crucial need for an organization that would foster the preservation of endangered species and their habitats. With this purpose in mind, he founded in 1947 the Conservation Foundation (CF), an adjunct of the New York Zoological Society. CF was absorbed in 1990 by the World Wildlife Fund, which still works for the conservation of endangered wildlife and natural areas.

Osborn used his knowledge of nature and his positions within these organizations to influence policy in Washington. He served on the Conservation

Advisory Committee of the U.S. Department of the Interior as well as on the Planning Committee of the Economic and Social Council of the United Nations.

Like William Vogt and later Paul R. Ehrlich, Osborn often expressed concern about population growth and available natural resources. He fully realized the serious ramifications of environmental and ecological neglect and understood the steps needed to conserve existing resources and develop improved methods of distribution. He published two highly successful books, *Our Plundered Planet* (1948) and *The Limits of the Earth* (1953), each of which outlined the importance of conservationist ideals and the perils of a population explosion. Osborn was an active member of the Save-the-Redwoods League, the International Council for Bird Preservation, and other related organizations. He died in New York City in 1969 at the age of eighty-two.

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Kimberley A. Peterson

OSHA see **Occupational Safety and Health Administration.**

## Osmosis

Taken from the Greek word *osmos* (meaning a thrusting, an impulse, or a pushing), osmosis is the diffusion of water (the major biological solvent) through a selectively permeable membrane from a region of higher concentration of water to a region of lower concentration of water. In other words water is diffused through a semipermeable membrane: (1) from a region of lower solute, the substance that is dissolved (in this case by water) increases to a higher solute concentration; and (2) from a hypertonic (less solute, less solvent) solution to a hypotonic (less solute, more solvent) solution. Thus, osmosis is the process cells use to equalize dilution on both sides of their membranes.

Freshwater fish are hypertonic compared to their aquatic medium and thus must actively excrete the water that diffuses into their cells. Conversely, salt water fish are hypotonic compared to the ocean and must actively conserve water and therefore excrete concentrated urine.

Otter see **Sea otter.**

## Our Common Future (Brundtland Report)

In late 1983 Gro Harlem Brundtland, the former Prime Minister of Norway, was asked by the secretary-general of the United Nations to establish and chair the World Commission on Environment and Development, a special, independent commission convened to formulate “a global agenda for change.”

The secretary-general’s request emerged from growing concern in the General Assembly about a number of issues, including long-term sustainable development, cooperation between developed and developing nations, more effective international management of environmental concerns, the differing international perceptions of long-term environmental issues, and strategies for protecting and enhancing the environment.

The commission worked for three years and produced what is commonly known as “The Brundtland Report.” Published in book form in 1987 as *Our Common Future*, the report addresses what it identifies as common concerns, such as a threatened future, sustainable development, and the role of the international community. The report also examines common challenges, including population growth, food security, biodiversity, and energy choices, as well as how to make industry more efficient. Finally the report lists common endeavours, such as managing the commons, maintaining peace and security while not suspending development or degrading the environment and changing institutional and legal structures. A chapter on each one of these concerns, challenges, and endeavors is included in the book.

Two years after publication of the report, Brundtland summarized its findings in a speech to the National Academy of Sciences in the United States. The reports’s core concepts, she explained, were “that development must be sustainable, and the environment and world economy are totally, permanently intertwined.” She went on to assert that these concepts

“transcend nationality, culture, ideology, and race.” She summarized by repeating the report’s urgent warning: “Present trends cannot continue. They must be reversed.”

The Brundtland Report issued a multitude of recommendations to help attain sustainable development and to address the problems posed by a global economy that is intertwined with the environment. The report recommends ways to deal with the debt crisis in developing nations, and insists on linking poverty and environmental deterioration: “A world in which poverty is endemic will always be prone to ecological and other catastrophes.” The report also argues that security issues should be defined in environmental rather than military terms.

The members of the commission felt that a vast array of institutional changes were necessary if progress was to be made, and the report addresses these issues. It declares that the problems confronting the world are all tied together, “yet most of the institutions facing those challenges tend to be independent, fragmented, working to relatively narrow mandates with closed decision processes.” Much of the work done by the commission focused on policy issues, such as long-term, multifaceted population policies and ways to create effective incentive systems to encourage production, especially of food crops. Recommendations also include methods for a successful transition from fossil fuels to low-energy paths based on renewable resources.

The report repeatedly notes the differences between developed and developing nations in terms of energy use, environmental degradation, and urban growth, but it also emphasizes that “specific measures must be located in a wider context of effective [international] cooperation, if the problems are to be solved.” The report always brings the problems back to people, to the importance of meeting basic human needs, and to the necessity of decreasing the disparities between developed and developing countries: “All nations will have a role to play in changing trends, and in righting an international economic system that increases rather than decreases inequality, that increases rather than decreases numbers of poor and hungry.”

The report is not merely a grim listing of “ever increasing environmental decay, poverty, and hardship in an ever more polluted world among ever decreasing resources.” Surprising to some, it is also a documentation of “the possibilities for a new era of economic growth,” an on-going era of sustainable, non-destructive growth.

*See also* Economic growth and the environment; Environmental economics; Environmental monitoring; Environmental policy; Environmental stress; Green politics; International Geosphere-Biosphere Programme (U.N. Environmental Programme); United Nations Earth Summit (1992); United Nations Environment Programme; World Bank.

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Gerald L. Young

## Overburden

Refers to the rock and soil above a desired economic resource, such as coal or an ore body. It is normally associated with surface mining, in contrast to underground mining where tailings are a more common byproduct. The depth of overburden is a critical economic factor when assessing the feasibility of mining. Unless the topsoil is stored for later reclamation, overburden removal usually destroys this crucial resource, magnifying the task of natural or cultural revegetation. In North America, overburden removal often requires blasting through hard caprock, which leave landscapes resembling fields of glacial debris.

*See also* Mine spoil waste.

## Overfishing

With the tremendous increase in the human population since the industrial revolution, there has been an ever-increasing use and, often, exploitation of many of the world’s natural resources. The demand for fish and shellfish has exemplified this overuse of natural resources. Paralleling the changes in agriculture, the fisheries industry has progressed from a small-scale, subsistence operation to a highly mechanized, ultra-efficient means of securing huge quantities of fish and shellfish to satisfy the burgeoning market demand. These industrialized commercial fisheries have allowed anglers to easily



Tuna fishing boats in Balearic Islands, Spain. (Herve Donnezan/Photo Researchers, Inc.)

work the far offshore waters, and more efficient refrigeration has allowed greater travel time, thus allowing for longer excursions.

Overfishing results in the removal of a substantial portion of a species' population so that there are too few individuals left to reproduce and bring the population back to the level it was the year before. Overfishing has a tremendous negative impact on non-target species as well. More than half, and occasionally as much as 90 percent, of a catch may be discarded, and this can adversely affect the environment by altering predator-prey ratios and adding excess organic waste through the dumping of nearly thirty million tons of dead or dying fish and shellfish overboard annually. Overfishing has also had a negative impact on diving seabird, sea turtle, and dolphin populations because these animals often get trapped and killed in fishing nets. It is estimated that 44,000 albatrosses are killed each year by Japanese tuna fishermen, and that, over the years, tuna purse seine nets in the eastern tropical Pacific have caused the death of millions of dolphins.

The offshore waters and the coastal waters of continents were not regulated in the past. Therefore,

several populations of commercially important species were overfished. Often, fishermen simply try to catch as many fish as possible (as quickly as possible), because they believe that any fish left in the water will be caught and will contribute to someone else's income. The codfish (*Gadus* species) industry of the Atlantic coast of Canada and the United States had been a productive fishery since the time of the early settlers hundreds of years ago. But with the introduction, in 1954, of a new highly industrialized fishing vessel, the factory trawler, conditions changed for the cod population. In 1968, exceeding the normal catch for the region by more than 500,000 tons, 810,000 tons of cod were taken off Labrador and the Grand Banks off Newfoundland. In the decade to follow, the cod population declined to the point that the number of spawning codfish off Newfoundland in 1977 showed a 94 percent decrease from the number in 1962. Another species to show population decline due to overfishing is the American shad (*Alosa sapidissima*), often referred to as the poor man's salmon. Harvested by Native Americans and the early colonists, the shad fishery was supplying one of the most

commercially valuable fish to the region surrounding the Chesapeake Bay during the 1800s. Overfishing, combined with an increase in pollution and the disruption of spawning runs by the damming of rivers, took a fishery with an annual harvest of nearly eighteen million pounds at the turn of the twentieth century to less than two million pounds in the 1970s.

A tragic example of the effect of overfishing is exemplified at Georges Bank in the Atlantic Ocean. Georges Bank, discovered by the Italian explorer Giovanni da Verrazano (c.1485–c.1528) in the early part of the sixteenth century, was named after St. George by English settlers in 1605. Home to more than 100 species of fish, Georges Bank has been a historically significant site of plentiful fishing for centuries. It is an ovoid embankment that is about 75 miles (120 km) off of the coast of New England. Georges Bank encompasses an area 149 miles (240 km) in length and 75 miles (120 km) in width, and is more than 330 feet (100 meters) above the sea floor of the adjacent Gulf of Maine. A bank, or shoal, is a shallow portion of an ocean that is analogous to a plateau. Georges Bank is part of a large range of shoals that extends from Newfoundland to the edge of the North American continental shelf. Georges Bank itself is larger than the state of New Jersey and is an extremely important breeding ground for cod, haddock (*Melanogrammus aeglefinus*), herring (*Clupea* species), flounder, lobster, and scallops. Its unique conditions are formed by the mixing of two major Atlantic currents: the cold Labrador current and the warm Gulf stream. The mixing of nutrient-rich and well-oxygenated waters in combination with good light penetration due to shallow depth creates an ideal environment for plankton that is a vital food source for young fish.

Known for its abundant fish supply, Georges Bank was heavily fished for centuries. Early fisheries believed the supply to be inexhaustible. The first documented consequence of indiscriminate fishing was in 1850 when, after a concentrated season of overfishing, a dramatic decline in halibut numbers in Georges Bank threatened commerce. The near extinction of halibut in this area occurred when ocean fishing was still accomplished using relatively small sailboats and handlines with baited hooks. After World War II colossal factory-sized fishing ships were created and new large-scale fishing techniques were employed in Georges Bank. Miles of gill nets and other efficient methods became standard tools for trolling the bank. Fisheries from the United States, the Soviet Union, Spain, Japan, and Germany collected huge bounties from Georges Bank for decades. Each modern ship could catch as much cod in a single hour as an average

boat from the 1600s could collect in an entire season. The fishing industry boomed from the 1950s through the 1970s, until it became apparent that even the ocean had limits that could be surpassed.

In 1976 the United States passed the Magnuson Act, which established American authority to ban international factory fishing in the Georges Bank area. The intention was to protect and preserve fish stocks for the United States and Canada. Rather than initiating conservation strategies for managing the fish populations of Georges Bank, Western fisheries, free from foreign competition in the area, advanced. The New England Council, created by the Magnuson Act to protect Georges Bank, was effectively controlled by fishing industry interests that did little to address growing concerns about dwindling supplies.

In 1994 the National Marine Fisheries Service estimated a 40 percent decline in cod stocks in the Georges Bank area that occurred over an alarmingly short four-year period. Survey after survey found that the once-abundant bank had been stripped of every prime commercial fish species that once filled its waters. As a result, that same year, fishing was banned in a 9,600 square kilometer area of Georges Bank. As of 1999 scientists reported that some commercial species were beginning to show signs of a slow increase in numbers, but that cod continued a rapid decline. While the ban on heavy fishing continued into 2010, some strictly regulated fishing was permitted in certain areas of Georges Bank. Although the depletion of fish populations in Georges Bank are assumed by some to be temporary, scientists remain cautious about providing assurances of a return to former abundance because recovery from such massive overfishing is not well understood.

Because regions relatively close to land, such as Georges Bank, tend to be more regulated, fishermen have begun to move farther out into unregulated water. Lack of regulations, however, is not the only reason fishermen are going farther offshore. Many populations have been overfished in coastal waters, but fish and shellfish populations have declined in these waters due to near-shore pollution as well. Decreased water quality has had an impact on the population numbers and also on the quality of the fish itself, therefore creating a demand for fish and shellfish from distant, presumably cleaner, waters. Being driven farther off shore to make their catches, commercial fishermen often overfish a population to bring in more revenues to offset the huge debt incurred on their equipment, crew, and ever increasing fuel costs.

A particularly alarming new method of fishing that threatens ocean fauna is cyanide fishing. Cyanide

fishing is an increasingly popular method of fishing that uses cyanide to temporarily stun fish in exotic areas, such as coral reefs. Once stunned, the fish are easily collected, then sold either as aquarium pets or as live fish in restaurants. Live fish sell for much higher prices than frozen fish, and live fish selections in restaurants is a growing trend. One problem with cyanide fishing is that only about half of the fish captured survive for sale, making it a wasteful effort. A second problem is the nonselective poisoning of other wildlife found in the ecosystems. Coral is killed by the cyanide used to flush out exotic species from hiding places for luxury fish markets in Asia. Cyanide fishing constitutes a new threat for overfishing. It is estimated that roughly sixty-five tons of cyanide are used each year in Philippine coral reefs alone. Cyanide used in one area spreads and jeopardizes ocean life on a scale that is poorly understood.

Popular trends in cuisine, in addition to live exotic fish selections in restaurants, can effect fishing. During the 1980s, when a New Orleans chef popularized blackened redfish and the dish was in demand across the country, the Gulf of Mexico population of redfish, actually the Red drum (*Sciaenops ocellatus*), was nearly decimated by overfishing. Because of its unpopularity as a food and game fish, the Red drum was not regulated as are the more important commercial fish and shellfish species of this region. Therefore, when orders for the fish started coming in from across the nation, commercial fishermen began catching them in record numbers. There were cases in which great numbers of dead redfish were washing up on the shores of the Gulf states because fishermen had caught too many to process on their vessels and dumped the excess overboard. In just a couple of years, the Red drum population crashed in the Gulf of Mexico, prompting the fish and game officials in all of the Gulf states to halt commercial fishing for redfish. A recovery program, however, was meeting with success as of 2010. This problem could have been avoided had the original dish been named blackened fish or had the nation's seafood markets and restaurants known that the flavor in blackened redfish is in the seasonings and not in the fish itself, thus relying on local fisheries rather than the redfish of the Gulf of Mexico.

One means of offsetting overfishing by commercial fishing fleets, and reducing fuel use as well, is aquaculture, the cultivation and harvesting of fish and shellfish under controlled conditions. With pressures on fish populations from overfishing, increased pollution problems, and a dying industry strapped with higher costs of fuel and equipment, fish farming may offer a true alternative to greatly reduced quantities

and inferior quality seafood in the future. However, aquaculture has negative aspects as well in that it has the potential to reduce biodiversity and cause algal blooms due to oxygen-depleted water. If aquaculture could be sustained for production of fish for the population, it might be possible to rebuild the natural fish populations by reducing fishing pressures. Establishing quotas for fisheries could also play a role in regenerating the fish populations. Sustainable fishing methods, which include aquaculture or fishing in ways that preserve the ecosystems, may also help maintain and rebuild fish populations. The Marine Stewardship Council (MSC) has established a standard for sustainable management of fisheries. By mid-2009, forty-eight fisheries met this standard and were labeled with the MSC ecolabel. Consumers concerned about the status of fish populations can show their support for sustainable fisheries by purchasing products that sport the MSC label. These measures are potential solutions to the overfishing problem; however, climate change is also threatening fish populations through increased air and water temperatures, sea level changes, and altered weather patterns as well as changes in water quality.

*See also* Commercial fishing.

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Eugene Beckham

## Overgrazing

Overgrazing is one of the most critical environmental problems facing the western United States. Rangelands have been mismanaged for more than a hundred years, mainly due to cattle grazing. In addition to consuming vegetation, cattle alter the ecosystem of rangeland by trampling, urination, defecation, and trashing. Degradation due to heavy livestock grazing continues to occur in many diverse and fragile ecosystems, including savanna, desert, meadow, and alpine communities.

Riparian lands, highly vegetated, narrow strips of land bordering rivers or other natural watercourses, make up only two percent of rangelands, but have the most diverse populations of vegetation and wildlife. Overgrazing has had a devastating effect on these areas. Cattle eat the seedlings of young trees, which has led to the elimination of some species, and this has reduced the species of birds in these areas and disrupted migratory patterns. Lack of new tree growth in riparian areas has also resulted in the drying up of stream beds and the loss of habitat for fish and amphibians. It has contributed to the problem of soil erosion, desertification, and the greenhouse effect. Other rangeland ecosystems are facing similar disruption.

The Bureau of Land Management (BLM) part of the U. S. Department of the Interior is the largest landholder in the United States. They are responsible for 334 million acres of land which fall under multiple-use mandates. The BLM leases much of this public land to individuals for grazing purposes, charging ranchers a monthly per animal fee. The agency has come under attack for leasing grazing rights at extremely low rates. It has also been criticized for allowing abusive land management practices. Critics claim that those who utilize these public lands try to maximize profits by putting excessive numbers of livestock on the range, and they argue that almost half of the range areas in the United States are in dire need of conservation.

Environmentalists argue that it is possible to eliminate overgrazing and manage rangelands in a way that both preserves ecosystems and meets the needs of ranchers. They advocate above all the reduction of herds. They also suggest that cattle should not be allowed to roam at will and should be rotated among various pastures, so that all rangeland areas can receive back-to-back spring and summer rest.

Perhaps the main obstacle in conservation of rangelands is the lack of knowledge regarding their diverse ecosystems. Rangelands are regions where natural revegetation tends to be slow. Artificial attempts to introduce

and establish plant growth have been frustrated by the fact that development is a long-term process in these environments. Knowledge of the dynamics of competition, reaction, and stabilization of species is minimal. For over a century, rangers have tried to eliminate sagebrush, planting wheatgrass in its place. The solution has been short-lived, because sagebrush usually prevails over wheatgrass in the natural succession of plants. To further compound the problem, overgrazing has depleted the perennial grasses that compete with sagebrush, and the plant has become even more prolific. A better understanding of the dynamic relationship between plants, animals, microorganisms, soil, and the climate is necessary to reestablish rangeland areas.

*See also* Agricultural pollution; Agricultural Stabilization and Conservation Service; Agroecology; Feedlot runoff; Feedlots; Land use; Sagebrush Rebellion; Taylor Grazing Act (1934).

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Debra Glidden

## Overhunting

Overhunting is any hunting activity that has an adverse impact on the total continuing population of a species. With the tremendous increase in the human population since the industrial revolution, there has been an ever increasing use and, often, exploitation of many of the world's natural resources. The demand for fish and shellfish has exemplified this misuse of natural resources. The amount of hunting pressure that a species can tolerate depends on its productivity, and it may change seasonally and annually because of drought, habitat alteration, pollution, or other mortality factors. Hunting which is well-regulated can be sustained, and sportsmen in countries with regulated hunting are quick to point out that they are not responsible for the endangerment or extinction of any species.

In unregulated situations, however, overhunting does occur, and it has endangered wildlife, even driving some species to extinction. The great auk (*Alca impennis*), a large, flightless, penguin-like bird of the North Atlantic coasts, was so easy to catch that sailors could kill hundreds in a few minutes, and by 1844 they had become extinct. Even the world's most abundant bird, the passenger pigeon (*Ectopistes migratorius*), was driven to extinction by overhunting. In the 1800s there were 3 to 5 billion passenger pigeons, about one-fourth of all North American land birds, and enormous flocks of them darkened the sky for days as they flew overhead. One colony in Wisconsin covered 850 square miles (220,149 ha) and included 135 million adult birds. Commercial hunting sent train loads of these birds to markets, including 15 million birds from a single colony in Michigan, and eliminated the species in the early 1900s.

In several cases overhunting has nearly exterminated a species. In 1850 there were 60 million American bison (*Bison bison*) on the Great Plains of the United States, and within forty years, overhunting had reduced the wild population to 150 individuals. At the turn of the century, snowy egrets (*Leucophoyx thula*) were almost wiped out by hunters who sold the feathers to be made into fashionable women's hats. Many species of whales were also driven to the brink of extinction by whalers.

Many species continue to be overhunted today. Although protected by law, African rhinoceroses are endangered by poachers who sell the horns to Yemen and China. In Yemen the horns are used to make dagger handles for wealthy businessmen, and in China they are made into popular folk remedies, including an aphrodisiac. Likewise, elephants are being slaughtered for their ivory tusks despite bans on ivory trading.

Many large cat species are also threatened by overhunting, because the economic incentive to poach these animals far outweighs the risks of being caught and fined. For example, a Bengal tiger (*Panthera tigris tigris*) fur coat sells for more than \$100,000; an ocelot (*Felis pardalis*) skin can sell for \$50,000; a snow leopard (*Panthera uncia*) skin sells for \$14,000; and tiger meat routinely sells for more than \$600 per pound (\$/kg).

Even when appropriate hunting regulations do exist, there are often not enough rangers or conservation officers to enforce them. As species become more scarce, the demand increases on the black market, inflating the price, and the economic incentive for poaching only becomes greater.

See also Conservation; Convention on International Trade in Endangered Species of Wild Fauna and

Flora (1975); Defenders of Wildlife; Endangered species; Endangered Species Act (1973); Fish and Wildlife Service; IUCN; The World Conservation Union; Wildlife management; Wildlife rehabilitation.

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Ted T. Cable

Owl see **Northern spotted owl**.

## Oxidation reduction reactions

An oxidation-reduction or redox reaction is transformation involving electron transfer. It consists of a half reaction in which a substance loses an electron or electrons (oxidation) and another half reaction in which a substance gains an electron or electrons (reduction).

The substance that gains electrons is the oxidizing agent, whereas the substance that gives up electrons is the reducing agent. Adding the two half equations algebraically and eliminating the free electrons gives the complete oxidation-reduction equation. This equation, however, is not an assurance that the reaction will proceed spontaneously as written. One way to know the direction of the reaction is to determine the value of the standard free energy change,  $\Delta G$ , and then to calculate the free energy change,  $\Delta G$ , for the complete redox reaction. If the resulting value of  $\Delta G$  is negative, the reaction will proceed spontaneously as written. If  $\Delta G$  is positive, the reaction can proceed spontaneously in the opposite direction. If  $\Delta G$  is zero, the reaction is in equilibrium.

Simple redox reactions occur with the direct transfer of electrons from the reducing agent to the oxidizing agent. An example is the reaction between hydrogen ( $H_2$ ) and chlorine ( $Cl_2$ ) to form hydrogen chloride. In this reaction, hydrogen, the reducing agent, donates two electrons to chlorine which is the oxidizing agent. Because it gains electrons, the oxidizing agent changes in valence and becomes more negative or less positive. In the given example, the valence of chlorine changes

from 0 to -1. The reducing agent, on the other hand, loses electrons and hence becomes more positive. Thus hydrogen, being the reducing agent in the given example, changes in valences from 0 to +1.

Some common applications of redox reactions in wastewater treatment are the detoxification of cyanide and the precipitation of chromium. Highly toxic cyanide is converted to nontoxic cyanate and finally to carbon dioxide and nitrogen gas by the action of strong oxidizing agents, such as chlorine gas or sodium hypochlorite. In removing hexavalent chromium, the objective is to reduce it to trivalent chromium, which is less toxic and can be precipitated out in the form of hydroxide. Hexavalent chromium is reduced by sodium bisulfite or ferrous sulfate in an acid medium. Lime can then be applied to precipitate out the trivalent chromium as chromium hydroxide, which collects as a chemical sludge upon settling.

In biochemical redox reactions, however, the electrons go through a series of transfers before reaching the terminal acceptor, which is oxygen in aerobic systems. Examples of these electron carriers are NAD (nicotinamide adenine dinucleotide), NADP (nicotinamide adenine dinucleotide phosphate), and flavoproteins. In anaerobic systems, other materials such as nitrates, sulfates, and carbon dioxide may become the electron acceptor, as in the process of denitrification in which nitrate ( $\text{NO}_3$ ) is reductively degraded to molecular nitrogen ( $\text{N}_2$ ). In either case, organic or inorganic matter, which serves as food for the microorganisms, is the substance oxidized. A simplified stoichiometry of the complete aerobic biochemical oxidation of glucose, for example, produces six moles of carbon dioxide and six moles of water with the release of 686 kilocalories per mole.

*See also* Corrosion and material degradation; Electron acceptor and donor; Industrial waste treatment; Sewage treatment; Waste management.

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James W. Patterson

## Oxidizing agent

Any substance that donates oxygen or that gains electrons in a chemical reaction (oxidation reduction or redox reactions). Perhaps the most common example of an oxidizing agent is the element oxygen itself. When a substance burns, rusts, or decays, that substance combines with oxygen in the air around it. Oxidizing agents can have both beneficial and harmful environmental effects. Chlorine gas is used as an oxidizing agent to kill bacteria in the purification of water. Ozone damages plant and animal cells by oxidizing them.

Oxygen, dissolved see **Dissolved oxygen**.

## Ozonation

The process that takes advantage of the oxidizing properties of ozone is known as ozonation. Ozone can be used in a variety of applications including the treatment of drinking water, bottled water, beverages, wastewater, industrial wastes, air pollutants, swimming pool water, and cooling tower water. In addition, there are a number of proprietary processes that use ozone, ranging from carpet cleaning to the making of gourmet ice cubes. Ozonation consists of four fundamental tasks: drying and cleaning the oxygen-containing feed-gas, generating ozone in a silent corona discharge generator, bringing the ozone into contact with the material being treated, and finally destroying remaining ozone prior to releasing the waste gas to the atmosphere. All ozonation processes require ozone generation and contacting, but not all applications require feed-gas preparation and off-gas treatment.

Ozone can be generated by three processes: electrical discharge, photochemical reaction with ultraviolet radiation, and electrolytic reactions. The latter two methods produce very low concentrations of ozone, limiting their application. Electrical discharge generators are currently the most economical choice for producing

large quantities of ozone. Feed-gas must contain oxygen and be free of contaminants, such as particles, hydrocarbons, and water vapor. For optimum production of ozone, the feed-gas should have a dew point of at least  $-37^{\circ}\text{F}$  ( $-40^{\circ}\text{C}$ ) and preferably  $-73^{\circ}\text{F}$  ( $-60^{\circ}\text{C}$ ). Small ozonation systems can use air that is dried before entering the ozone generator. Larger systems may find pure oxygen is economically viable. The temperature of the feed-gas and the generator is one of the most important parameters affecting the production of ozone. High temperatures reduce the concentration of ozone and greatly decrease the life of ozone in the gas phase. The recycling of ozone process gases increases the concentration of nitrogen, which leads to decreases in ozone production, and, in the presence of water vapor, to the production of corrosive nitric acid.

Contacting ozone with the material to be treated is complicated by several factors: ozone is reactive and disappears, so it must be generated at the site where it is used, and the waste ozone in the exhaust gas is toxic. When choosing ozone contacting devices for an aquatic system, two types of reactions must be taken into account. In mass-transfer limited reactions, ozone is being consumed faster than it can be transferred to solution. In reaction-rate limited reactions, ozone is in surplus in solution, but the material being oxidized is rate limiting, so that ozone is wasted. Bubble-diffuser systems are commonly used in water treatment because they are good compromises for satisfying the need to control both mass-transfer and rate limited reactions. However, in some aquatic applications in-line dissolution and contacting may be the optimum technique for ozonating the water in question.

Exhaust gas or off-gas requires treatment to remove traces of ozone remaining in the gas after contacting. Thermal destruction is one of the most commonly used methods for removing ozone from the waste gas. Other methods for destroying ozone include catalytic destruction, activated carbon adsorption, and zeolites.

*See also* Industrial waste treatment; Water treatment.

Gordon R. Finch

## Ozone

Ozone is a toxic, usually colorless gas (which can be blue when in high concentration) with a characteristic acrid odor. A variant of normal oxygen, it has



**Weather balloon being released by a meteorologist. It contains helium gas, allowing the balloon to rise many kilometers through the atmosphere. A sensor attached below the balloon (in protective styrofoam packaging, white) will measure ozone distribution** (David Hay Jones/Photo Researchers, Inc.)

three oxygen atoms per molecule rather than the usual two. Ozone strongly absorbs ultraviolet radiation at wavelengths of 220 through 290 nm with peak absorption at 260.4 nm. Ozone will also absorb infrared radiation at wavelengths in the range 9–10  $\mu\text{g}$ . Ozone occurs naturally in the ozonosphere (ozone layer), which surrounds the earth, protecting living organisms at the earth's surface from ultraviolet radiation. The ozonosphere is located in the stratosphere from 6–31 miles (10–50 km) above the earth's surface, with the highest concentration between 7.5 and 12 miles (12 and 20 km). The concentration of ozone in the ozonosphere is 1 molecule per 100,000 molecules, or if the gas were at standard temperature and pressure, the ozone layer would be 0.12 inch (3 mm) thick. However, the ozone layer absorbs about 90 percent of incident ultraviolet radiation.

Ozone in the stratosphere results from a chemical equilibrium between oxygen, ozone, and ultraviolet radiation. Ultraviolet radiation is absorbed by oxygen and produces ozone. Simultaneously, ozone absorbs ultraviolet radiation and decomposes to oxygen and other products. Ozone layer depletion occurs as a result of complex reactions in the atmosphere between organic compounds that react with ozone faster than the ozone is replenished. Compounds of most concern include the byproducts of ultraviolet degradation of chlorofluorocarbons (CFCs), chlorine and fluorine.

Ozone is also a secondary air pollutant at the surface of the earth as a result of complex chemical reactions between sunshine and primary pollutants, such as hydrocarbons and oxides of nitrogen. Ozone can also be generated in the presence of oxygen from equipment that gives off intense light, electrical sparks, or creates intense static electricity, such as photocopiers and laser printers. Human olfactory senses are very sensitive to ozone, being able to detect ozone odor at concentrations between 0.02 and 0.05 parts per million. Toxic symptoms for humans from exposure to ozone include headaches and drying of the throat and respiratory tracts. Ozone is highly toxic to many plant species and destroys or degrades many building materials, such as paint, rubber, and some plastics. The threshold limit value (TLV) for air quality standards is 0.1 ppm or 0.2 mg O<sub>3</sub> per m<sup>3</sup> of air.

Industrial uses of ozone include chemical manufacturing and air, water, and waste treatment. Industrial quantities of ozone are typically generated from air or pure oxygen by means of silent corona discharge. Ozone is used in water treatment as a disinfectant to kill pathogenic microorganisms or for oxidation of organic and inorganic compounds. Combinations of ozone and hydrogen peroxide or ultraviolet radiation in water can generate powerful oxidants useful in breaking down complex synthetic organic compounds. In wastewater treatment, ozone can be used to disinfect effluents, or to decrease their color and odor. In some industrial applications, ozone can enhance biodegradation of complex organic molecules. Industrial cooling tower treatment with ozone prevents transmission of airborne pathogenic organisms and can reduce odor.

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Gordon R. Finch

## Ozone layer depletion

### Destroying the ozone shield

Ozone (O<sub>3</sub>), a form of oxygen consisting of three atoms of oxygen instead of two, is considered an air pollutant when found at ground levels and is a major component of smog. Ozone is formed by the reaction of various air pollutants in the presence of sunlight. Additionally, ozone is used commercially as a bleaching agent and to purify municipal water supplies. Ozone is toxic, so the gas is harmful to health when generated near the earth's surface. Because of its high rate of breakdown, such ozone never reaches the upper atmosphere.

The ozone that shields Earth from the sun's radiation, however, is found in the stratosphere, a layer of the upper atmosphere located 9–30 miles (15–50 km) above ground. This ozone layer is maintained as follows: the action of ultraviolet light breaks O<sub>2</sub> molecules into atoms of elemental oxygen (O). The elemental oxygen then attaches to other O<sub>2</sub> molecules to form O<sub>3</sub>. When it absorbs ultraviolet radiation that would otherwise reach the earth, ozone is, in turn, broken down into O<sub>2</sub> + O. The elemental oxygen generated then finds another O<sub>2</sub> molecule to become O<sub>3</sub> once again.

In 1974 American chemist F. Sherwood Rowland (1927–) and Mexican chemist Mario J. Molina (1943–) realized that chlorine (Cl) from chlorofluorocarbon (CFC) molecules was capable of breaking down ozone in the stratosphere. In time, evidence began to accumulate that the ozone layer was indeed being broken apart by these industrial chemicals, and to a lesser extent by nitrogen oxide emissions from jet airplanes, as well as hydrogen chloride (HCl) emissions from large volcanic eruptions.

When released into the environment, CFCs slowly rise into the upper atmosphere, where they are broken apart by solar radiation. This releases chlorine atoms that act as catalysts, breaking up molecules of ozone by stripping away one of their oxygen atoms. The chlorine

atoms, unaltered by the reaction, are each capable of destroying ozone molecules repeatedly. Without a sufficient quantity of ozone to block its way, ultraviolet radiation from the sun passes through the upper atmosphere and reaches the surface of the earth.

When damage to the ozone layer first became apparent in 1974, propellants in aerosol spray cans were a major source of CFC emissions, and CFC aerosols were banned in the United States in 1978.

### The Antarctic ozone hole

The most dramatic evidence of the destruction of the ozone layer has occurred over Antarctica, where a massive hole in the ozone layer appears each winter and spring, apparently exacerbated by the area's unique and violent climatological conditions. The destruction of ozone molecules begins during the long, completely dark, and extremely cold Antarctic winter, when swirling winds and ice clouds form in the lower stratosphere. This ice reacts with chlorine compounds in the stratosphere (such as HCl and chlorine nitrate,  $\text{ClNO}_3$ ) that come from the breakdown of CFCs, creating molecules of chlorine.

When spring returns in August and September, a seasonal vortex—a rotating air mass—causes the ozone to mix with certain chemicals in the presence of sunlight. This mixing helps break down the chlorine molecules into chlorine atoms, which, in turn, react with and break up the molecules of ozone. A single chlorine, bromine (Br), or nitrogen (N) molecule can break up literally thousands of ozone molecules.

During December the ozone-depleted air can move out of the Antarctic area, as happened in 1987, when levels of ozone over southern Australia and New Zealand sank by 10 percent over a three week period, causing as much as a 20 percent increase in ultraviolet radiation reaching the earth. This radiation increase may have been responsible for a reported rise in skin cancers and damage to some food crops.

The seasonal hole in the ozone layer over Antarctica has been monitored by scientists at the National Aeronautics and Space Administration's (NASA) Goddard Space Flight Center outside Washington, D.C. NASA's NIMBUS-7 satellite first discovered drastically reduced ozone levels over the Southern Hemisphere in 1985, and measurements are also conducted with instruments on aircraft and balloons.

In October 1987, ozone levels within the Antarctic ozone hole were found to be 45 percent below normal, and similar reductions occurred in October 1989. A 1988 study revealed that since 1969, ozone levels had declined by 2 percent worldwide and by as much as 3

percent or more over highly populated areas of North America, Europe, South America, Australia, and New Zealand.

In September 1992 the NIMBUS-7 satellite found that the depleted ozone area over the southern polar region had grown 15 percent from the previous year, to a size three times larger than the area of the United States and was 80 percent thinner than usual. The ozone hole over Antarctica was measured at approximately 8.9 million square miles (23 million square km), as compared to its usual size of 6.5 million square miles (17 square km). The contiguous forty-eight states is, by comparison, about 3 million square miles (7.8 million square km), and all of North America covers 9.4 million square miles (24.3 million square km). Researchers attributed the increased thinning not only to industrial chemicals but also to the 1991 volcanic eruptions of Mount Pinatubo in the Philippines and Mount Hudson in Chile, which emitted large amounts of sulfur dioxide ( $\text{SO}_2$ ) into the atmosphere.

Total global ozone was reduced 3 percent from 1997 to 2001 compared with the average from 1964 to 1980. The 1987 Montreal Protocol regulating international production, use, and emission of chlorofluorocarbons (CFCs) produced a leveling in CFCs around the year 2000. As of 2010 more than 190 countries had begun to observe and enforce provisions of the Montreal Protocol, and the manufacture of CFCs has since been banned in most industrialized countries. By the end of 2006, parties to the Montreal Protocol phased out emissions of 95 percent of all ozone-depleting substances. Due to the long half-life of CFCs, however, the recovery of atmospheric ozone levels has been slow. Atmospheric chlorine levels did not begin to drop until 1997. Although the thinning in the ozone layer over Antarctica improved to some degree, the largest hole in the ozone ever recorded occurred in October 2006. The hole measured 11.4 million square miles (29.5 million square km), an area larger than North America. By late 2007 the hole in the ozone layer had returned to its ten-year declining average. Scientists predict that Antarctic ozone levels could recover completely between the year 2060 and the year 2075.

### Dangers of ultraviolet radiation

The major consequence of the thinning of the ozone layer is the penetration of more solar radiation, especially Ultraviolet-B (UV-B) rays, the most dangerous type, which can be extremely damaging to plants, wildlife, and human health. Because UV-B can penetrate the ocean's surface, it is potentially harmful to marine life forms and, indeed, to the entire chain of life in the seas as well.

UV-B can kill and affect the reproduction of fish, larvae, and other plants and animals, especially those found in shallow waters, including phytoplankton, which form the basis of the oceanic food chain/web. The National Science Foundation (NSF) reported in February 1992 that its research ship, on a six week Antarctic cruise, found that the production of phytoplankton decreases at least 6 to 12 percent during the period of greatest ozone layer depletion and that the destructive effects of UV radiation could extend to depths of 90 feet (27 m).

A decrease in phytoplankton would affect all other creatures higher on the food chain that depend on them, including zooplankton, microscopic ocean creatures that feed on phytoplankton and are also an essential part of the ocean food chain. Marine phytoplankton are also the main food source for krill, tiny Antarctic shrimp that are the major food source for fish, squid, penguins, seals, whales, and other creatures in the Southern Hemisphere.

Moreover, phytoplankton are responsible for absorbing, through photosynthesis, great amounts of carbon dioxide ( $\text{CO}_2$ ) and then releasing oxygen. It is not known how a depletion of phytoplankton would affect the planet's supply of life-giving oxygen, but more  $\text{CO}_2$  in the atmosphere would exacerbate the critical problem of climate change: the greenhouse effect. The greenhouse effect is the increase in the earth's temperature due to the trapping of heat in the atmosphere by greenhouse gases such as nitrous oxide ( $\text{N}_2\text{O}$ ) and  $\text{CO}_2$ .

### Action to protect the ozone layer

As the critical threat posed by ozone layer depletion increased, the world community took steps to address the problem. In 1987 the United States and twenty-two other nations signed the Montreal Protocol, agreeing, by the year 2000, to cut CFC production in half and to phase out two ozone-destroying gases, Halon 1301 and Halon 1211. Halons are man-made bromine compounds used mainly in fire extinguishers that can destroy ozone at a rate ten to forty times more rapidly than CFCs. By 1992 the rate at which these two Halon gases accumulated in the atmosphere dropped significantly. The rate of increase of levels of Halon 1301 was about 8 percent per year from 1989 to 1992, about half of the average annual rate of growth over previous years. Similarly, Halon 1211 increased only 3 percent annually, much less than the previous growth of 15 percent per year.

Since the Montreal Protocol, other international treaties have been signed that limit the production and

use of ozone-destroying chemicals. When alarming new evidence on the destruction of stratospheric ozone became available in 1988, the world's industrialized nations convened a series of conferences to plan remedial action. In March 1989 the twelve-member European Economic Community (EEC) announced plans to end the use of CFCs by the turn of the century, and the United States agreed to join in the ban. A week later 123 nations met in London to discuss ways to speed the CFC phase-out. The industrial nations initially agreed to cut their own domestic CFC production in half, while continuing to allow exports of CFCs, to accommodate developing nations.

After the meeting in London, leaders and representatives from twenty-four countries met in an environmental summit at The Hague and agreed that the United Nations' authority to protect the world's ozone layer should be strengthened.

In May 1989 members of the EEC and eighty-one other nations that had signed the 1987 Montreal Protocol decided at a meeting in Helsinki to try to achieve a total phase-out of CFCs by the year 2000, as well as phase-outs as soon as possible of other ozone-damaging chemicals such as carbon tetrachloride ( $\text{CCl}_4$ ), halons, and methyl chloroform ( $\text{C}_2\text{H}_3\text{Cl}_3$ ). In London in June 1990, most of the Montreal Protocol's signatory nations formally adopted a deadline of the year 2000 for industrial nations to phase out the major ozone-destroying chemicals, with 2010 being the goal for developing countries.

Finally, in November 1992 eighty-seven nations meeting in Copenhagen decided to move up the phase-out deadline from 2000 to January 1, 1996, for CFCs, and to January 1, 1994, for halons. A timetable was also set for eliminating hydrochlorofluorocarbons (HCFCs) by the year 2030. HCFCs are being used as substitutes for CFCs, even though they also deplete ozone, albeit on a far lesser scale than CFCs. The conference also initiated the phase-out in production of the pesticide methyl bromide ( $\text{CH}_3\text{Br}$ ), which they expected to account for 15 percent of ozone depletion by the year 2000, so they froze production at 1991 levels.  $\text{CH}_3\text{Br}$  was 100 percent phased out by 2005, but critical use is granted under certain exemptions according to the Montreal Protocol.

American former Environmental Protection Agency (EPA) Administrator William K. Reilly (1940–), who headed the U.S. delegation, estimated that the reductions agreed to could, by the year 2075, prevent up to one million cases of cancer and twenty thousand deaths.

Although the restrictions initially applied to industrialized nations, which produce most of the ozone-damaging chemicals, it was also agreed to consider

moving up a phase-out of such compounds by developing nations from 2010 to 1995. A month after the Copenhagen conference, the nations of the European Community agreed to push bans on the use of CFCs and carbon tetrachloride to 1995 and to cut CFC emissions by 85 percent by the end of 1993.

Despite faster reductions, there is a lag between reduced emissions and levels of ozone-depleting substances found in the upper atmosphere. It routinely takes six to eight years for some of these compounds to reach the upper atmosphere, and once there, they will destroy ozone for another 20–25 years. Thus, even if all emissions of destructive chemicals were stopped, compounds already released would continue to damage the ozone layer for another quarter century.

### Understanding ozone depletion

Scientists do not yet have a complete understanding of the reactions or rates of reaction that take place in the upper atmosphere. Although certain key reactions that maintain and destroy ozone are theoretically and observationally supported, scientists must comprehend the interaction of dozens, if not hundreds, of reactions between natural and artificial species of hydrogen (H), nitrogen, bromine, chlorine, and oxygen before a complete picture of ozone-layer dynamics emerges. The powerful eruption of Mount Pinatubo, for example, made scientists aware that *heterogenous processes*—those reactions that require cloud surfaces to take place—may play a far greater role in causing ozone depletion than originally believed. Such reactions had previously been observed taking place only at the earth's poles, where stratospheric clouds form during the long winter darkness, but it is now thought that sulfur (S) aerosols ejected by Pinatubo may be a catalyst to speed ozone depletion at nonpolar latitudes.

Ironically, ozone-depleting reactions are best understood around the thinly inhabited polar regions, where stable and isolated conditions over the winter allow scientists to understand stratospheric changes most easily. In contrast, at the temperate latitudes where constantly moving air masses undergo no seasonal isolation, it is difficult to determine whether a fluctuation in a given chemical's density is a result of local reactions or atmospheric turbulence. In 1995 the Nobel Prize for Chemistry was awarded to Rowland, Molina, and Dutch chemist Paul Crutzen (1933–) for their work on the formation and destruction of the ozone layer.

*See also* Photochemical reaction.

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Lewis G. Regenstein



PAHs see **Polycyclic aromatic hydrocarbons.**

## Paleoecology/paleolimnology

Paleoecology, the scientific study of ancient environments and the interrelationships of their plants and animals, and paleolimnology, the scientific study of evidence of ancient inland waterways, including lakes, ponds, freshwater marshes, and streams, were both established at the end of the nineteenth century. The roots of both disciplines can be traced to early nineteenth-century botanical and chemical studies, and later, geological studies of the consolidated sediments of ancient lakebeds, whose organisms and environments were examined in relationship to both the lakes and the surrounding uplands.

By the early 1920s limnologists began to collect sediment cores from lakes and to interpret stratigraphic data from plant and animal fossils as a record of a lake's history. This provided clues to how lakes had changed over time as a result of either natural events or human activities. Data collected from lakes and wetlands provide baselines to compare the impacts of activities such as land clearance, drainage, water pollution, and air pollution. They can also be used to assess the rate of recovery from the activities once they have ended.

Lake and wetland sediments contain detailed archeological records of how the overlying ecosystems have been affected over time. It is in many ways equivalent to archeological reconstruction of past civilizations by examining their stratified remains. Lake sediments and wetland peat deposits form a selective trap for a variety of plant and animal remains and elements such as carbon, phosphorus, sulfur, iron, and

manganese that are stored at varying concentrations depending on the activities that were occurring at the time the sediment layer was formed. Changes in this profile record not only the history of the lake or peatland but also what happened in the surrounding watershed. Initially limnologists collected core samples from lakes and interpreted them on the basis of plant and animal fossils. The limnologists observed that in some lakes there were thin laminated sediments. These were shown to result from an annual deposition cycle that involves summer deposition of calcium carbonate and deposition of organic matter during the rest of the year. These alternating light and dark layers represent a yearly cycle. Using these bands, or laminae, scientists can count back in time and date individual strata of sediment cores.

Studying plant and animal microfossil remains (such as pollen, diatom shells, and remains of zooplankton bodies) in the sediment cores and knowing the environments in which these organisms occur today allow a limnologist to reconstruct historical conditions in a lake as well as in its drainage basin. Such wetland studies were limited, however, until the development of radioisotope dating methods in the 1950s and 1960s. Today radioisotopes such as carbon-14 and lead-210 can be used to date the time a sediment was deposited.

Limnologists study the pollen, which indicates what types of land vegetation were present. They also examine plant and animal fossil remains, including cell fragments and molecules such as plant pigments, all of which provide clues about vegetation and aquatic life. Limnologists also search for organic pollutants and certain trace elements, whose presence indicates the result of human activities.

Analysis of the layers in sediment cores from lakes and wetlands has provided information about regional variations in past climatic conditions and watershed vegetation patterns that indicate the causes of environmental

change. Studies on recently deposited lake sediments have provided evidence for the timing and causes of lake pollution, including the effects of excess nutrients on lake ecology and information about atmospheric transport of various pollutants.

These techniques have also been applied to address basic plant ecology questions regarding plant succession, on which there are two major schools of thought. The first is the community concept, which has three basic attributes: vegetation occurs in recognizable and characteristic communities, community change through time occurs because of the vegetation, and the changes occur in a sequence that leads to a mature stable climax ecosystem. The community explanation can be contrasted with the continuum concept. Here, the distribution of vegetation is determined by the environment. Because each plant species adapts differently, no two occupy the exact same location. Additionally, the observed replacement sequence is influenced by the chance occurrence of viable seeds that allow a certain plant to grow on the site. This results in a continuum of overlapping sets of species. In this scenario although ecosystems change, it is not directed toward a particular climax community.

One of the areas where these two concepts directly collide is in the study of wetlands and peatlands. The classical community view of succession is that wetlands are a transitional stage in the progression from shallow lake to terrestrial forested climax community. This view requires that lakes gradually fill in as organic material from dying plants accumulates and minerals are carried down from upslope into the water. At first change is slow, but it accelerates when the lake becomes shallow enough to support rooted aquatic plants. When the water becomes even more shallow, it supports the development of a peat mat, allowing trees and shrubs to grow, which further modifies the site by not only adding organic matter but also by drying the site through evapotranspiration. According to the community version, in the final stages a climax forest occupies the site. This sequence implies that most of the change is caused by plants rather than external environmental changes.

Paleoecological analyses of peat beds have provided information refuting the validity of the community concept's explanation. Fossil records, including analysis of pollen in northern peat lands, provide two generalizations: in some sites the present vegetation has existed for several thousand years, and climatic change and glaciation had a large impact on plant species and distribution. Generally, bogs expanded during warm, wet periods and contracted during cool, drier periods,

although the influence of local topographic and drainage conditions often mask climatic shifts.

Although this evidence supports the continuum assumptions that other environmental factors overwhelm the vegetation effects, evidence within the bogs shows that vegetation can have a large impact on the character of the landscape. In particular, this is evidenced by the patterned landscape of the peatlands as the water flow is changed due to the vegetation. It seems that in the wetlands or bogs changes in vegetation can occur over time as a result of succession. However, these changes do not necessarily point toward a terrestrial climax. In fact, pollen profiles indicate that a bog, not a terrestrial forest, is the endpoint. These studies confirmed conclusions from a classical study on the Lake Agassiz Plain (Minnesota and south-central Canada) that indicates most of the peatlands developed during a moist climate about 4,000 years ago when surface water levels rose about 12 feet (4 m). This implies that, although there may be changes within the peatlands, they are stable, so the central concepts of succession in this case are not supported.

Examples of the findings and results of some significant paleolimnological studies show how they are useful in evaluating changes and as indicators of potential problems due to human activities. The Red Lake peatland in northwestern Minnesota has been used as a study site to document the occurrence of acid rain and global warming. Based on past changes in the type of vegetation and changes in pH, future impacts on the bog surface can be evaluated. If acid deposition were to lower the pH of the bog significantly, different types of mosses would be evident. Likewise, if global warming were to lower the water tables' moss types, characteristics of drier conditions would occur.

Using sediment cores from lakes and observing the changes in flora, fauna, and chemistry allows the evaluation of impacts of human settlement on lakes in the upper Midwest of the United States. A lake studied in northern Minnesota on the iron range shows these changes. There was a distinct increase in hematite (iron) grains in the sediments as mining began and a decrease as it declined. Settlement was also marked by a rise in the concentration of ragweed pollen, which indicates the replacement of forests with agricultural fields. Changes in the type of diatom shells reflected the nutrient enrichment of the lake due to the discharge of sewage directly to the lake. By analyzing the pH preferences of individual species of diatoms and algae, the past pH conditions

in a lake can be determined. Using the results of these studies, researchers have demonstrated that lakes in the Adirondack Mountains were not naturally acidic. Current monitoring suggests that these lakes, which were acidified due to acid rain, have not yet responded to reductions in the amount of sulfate deposition.

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James L. Anderson

PAN see **Peroxyacetyl nitrate (PAN)**.

Panda see **Giant panda**.

Panther see **Florida panther**.

*Panthera tigris* see **Tigers**.

Paper mills see **Pulp and paper mills**.

## Parasites

Parasites are organisms that live in or on the body of a host organism and are metabolically dependent on the host for completion of their life cycle, to the detriment of the host.

Parasites may be plants, animals, viruses, bacteria, or fungi. Parasites feed either on their host directly or upon its surplus fluids. Some parasites, known as endoparasites, live inside their host, whereas ectoparasites live on the outside of their host. Organisms in which parasites reach maturity are called definitive hosts, and hosts harboring parasite stages are called intermediate hosts. Organisms that spread parasite stages between hosts are known as vectors. Full-time, or obligatory, parasites have an absolute dependence on their hosts. Examples of this type are viruses, which can only live and multiply inside living cells, and tapeworms, which can only live and multiply inside other



**Color-enhanced scanning electron micrograph of a tick with its mouth parts buried in the skin of its human host while feeding on its blood.** (*Eye of Science/Photo Researchers, Inc.*)

species. Part-time, or facultative, parasites, such as wood ticks, have parasitic and free-living stages in their life cycle and are only temporary residents of their hosts.

The effects of parasites on their hosts depend on the health of the host, as well as the severity of the infestation. In diseased, old, or poorly-fed individuals, parasite infestations can be fatal, but parasites do not typically kill their hosts, although they can slow growth and cause weight loss. Some plant parasites do kill their hosts and then live on its decomposing remains, and certain species of hymenopteran insects are parasitoids, whose larva feeds within the living body of the host, eventually killing it. Some parasites, such as *Sacculina*, castrate their host by infecting its reproductive organs. Cuckoos are brood parasites, and they lay their eggs in the nest of another bird species, evicting the eggs that were there and leaving the young cuckoos to be raised by the parents of the host species.

In community ecology, parasites are often grouped together with predators, because both feed directly upon other organisms, either harming them or killing them. Ecologically, small disease-causing organisms (viruses, bacteria, protozoans) are regarded as microparasites, whereas larger parasites (flatworms, roundworms, lice, fleas, ticks, rusts, mistletoe) are

macroparasites. Together with predators and diseases, parasites are one of the natural components of environmental resistance that serve to limit population growth. Microparasites that are transmitted directly between infected hosts, such as rabies or distemper, target herd animals with a high host density and can significantly reduce population levels. Macroparasites that employ one or more intermediate hosts, such as flukes, tapeworms, or roundworms, have highly effective transmission stages but usually have only a limited effect on the population of the host.

Parasites can play a larger role in altered ecosystems. In the eastern United States, parasitic infections have held populations of cottontail rabbits well below the carrying capacity of the habitat. Parasitic insects have been used to control populations of the olive scale insect, a serious pest of olive trees in California. Accidentally introduced parasites have had a negative impact on populations of commercial species by altering the balance of the ecosystem. For example, a protozoan parasite infecting California oysters was introduced to French oyster beds, wiping out the native European oysters and seriously damaging commercial fishing there.

A particularly infamous human parasite is *Plasmodium*, which causes malaria in humans when transferred in the bite of infected mosquitoes. Almost one million people, mostly in underdeveloped countries in Africa and mainly children, were killed by malaria in 2008. Worldwide in the same year, there were almost 250 million cases of malaria. The disease is one of the priorities of the World Health Organization.

*See also* Population biology; Predator-prey interactions.

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Neil Cumberlidge

## Pareto optimality (Maximum social welfare)

Economists describe and define a Pareto optimum as a situation where the allocation of resources is optimal if no other allocation exists wherein a person is better off and everyone is at least as well off. The term is derived from the work of the Italian economist and sociologist Vilfredo Pareto, whose late nineteenth-century writings on political economy inspired much thinking about what made an economy efficient. Economic theory holds that these conditions are met if consumers maximize utility, producers maximize profits, competition prevails, and information is adequate for the making of rational decisions. A free market unconstrained by government involvement, it is assumed, will achieve Pareto optimality by an invisible hand, that is, automatically, provided that production and consumption decisions do not entail substantial environmental disamenities. Imperfect market conditions, which include environmental disamenities on a large scale, challenge the assumptions of Pareto optimality and call for remedial measures such as pollution taxes or emission rights to restore market efficiency.

*See also* Externality.

## Parrots and parakeets

Intelligent, brightly colored, and affectionate, parrots and parakeets are found mainly in warm, tropical regions and have long been popular as pets, in large part because many of them can learn to talk. Capture of wild parrots for the pet trade, along with the destruction of forests, have decimated populations of these birds, and many species are now threatened with extinction.

About half of the approximately 315 species of parrots in the world are native to Central and South America. Members of the parrot order (Psittaciformes) include the macaws of Central and South America, which are the largest parrots, with bright feathers; cockatoos of Australia with white feathers and crests on their heads; lorikeets of Australia with orange-red bills and brightly-colored feathers; cockatiels, which are small, long-tailed, crested parrots also from Australia; and parakeets, which are small, natural acrobats, usually with green feathers. Parakeets include lovebirds from Africa and budgies from Australia. Budgies are the most common type of pet

parakeet, and they can be trained to say many words. Most parakeets sold as pets are bred for that purpose, thus their trade does not usually threaten wild populations.

Part of the attraction of parrots is their high intelligence, but this can make them unsuitable pets. The birds are often loud, and they demand a great deal of attention, so many people who buy parrots give them up because of the frustrations of owning one of these complex birds. In addition, parrots often carry a disease called *psittacosis*, which can be transmitted to humans and commercially raised poultry. For this reason, parrots must be examined by officials from the U.S. Department of Agriculture (USDA) before entering the United States, and hundreds of thousands of parrots have been destroyed to prevent the spread of *psittacosis*.

The large-scale capture of parrots for the legal and illegal pet trade has been a major factor in the depletion of these beautiful birds. The world trade in wild birds has been estimated at over seven million annually, and the United States is the world's largest consumer of exotic birds. More than 1.4 million wild birds were imported into the U.S. during 1988–1990, and half of these were parrots or other birds supposedly protected under the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES). The mortality rate for birds transported in international trade is massive. For every wild bird that makes it to the pet store, at least five may have died along the way, and U.S. Department of Agriculture (USDA) statistics show that 79,192 birds perished in transit to the United States from 1985 to 1990; 258,451 also died while in quarantine or because they were refused entry due to Newcastle disease. In 1991 one airline shipment alone included 10,000 dead birds. Moreover, the shock of capture and caging the birds before shipment may cause even greater mortality rates.

As a species becomes rare or endangered, it often becomes more valuable and sought-after, and some parrots have been sold for \$10,000 or more. In October 1992, shortly before being named Secretary of the Interior, former Arizona governor Bruce Babbitt addressed the annual meeting of the Humane Society of the United States. Expressing dismay at “the looming extinction of tropical parrots and macaws in South America,” he described their exploitation in the following terms: “These birds are captured for buyers in the United States who will pay up to \$30,000 for a hyacinth macaw. You can stand on docks outside Manaus, Brazil, and other towns in the Amazon and see confiscated crates with blue and yellow macaws,

their feet taped, their beaks wired, stacked up like cordwood in boxes. They have a fatality rate of 50 percent by the time they're smuggled into Miami.”

Some progress has been made in restricting the international trade in parrots. By the end of 1992, more than 100 airlines had agreed to forbid the carrying of wild birds. The Wild Bird Conservation Act, signed into law on October 27, 1992, banned trade immediately for several severely exploited bird species and, within a year of passage, outlawed commerce in all parrots and other birds protected under CITES. The act requires exploiters to prove that a species can withstand removal from the wild. This has greatly reduced the number of birds imported into the United States. Conservation groups, such as the Humane Society of the United States and the Animal Welfare Institute, had worked for years to secure passage of this legislation. In 2007 the European Union also enacted import and trade restrictions for tropical birds.

Nevertheless, the persistence of smuggling as well as the legal trade in some species of these birds continues to threaten wild populations. This is especially true when the trees in which parrots nest are cut down to provide collectors access to chicks in the nest. As of 2008 sixteen parrot species, ten parakeet species, and three macaw species were listed as endangered by the U.S. Department of the Interior, and all species of parrots, parakeets, macaws, lorries, and cockatoos are listed in the most endangered categories of CITES. One-third of all parrot species in the wild are considered threatened. IUCN—The World Conservation Union—includes sixty-nine members of the parrot order in its 2000 list of threatened animals.

Nine parrots are listed as extinct by IUCN. One is the beautiful orange, yellow, and green Carolina parakeet (*Conuropsis carolinensis*) that once ranged in large numbers from Florida to New York and Illinois, but the demand for its feathers by the millinery trade was so great that the species was hunted into extinction between 1904 and 1920. Conservationists fear that several other species of the parrot family may soon join the Carolina parakeet on the list of extinct birds.

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Lewis G. Regenstein

## Particulate

An adjective describing anything that consists of, or relates to, particles. The term was formerly used in laboratory slang to stand for particulate matter, but this use has nearly disappeared since its repudiation by the Environmental Protection Agency (EPA). The term particulate matter, which often means the particle content of a given volume of air, is used as a more inclusive variant of particles.

Typical atmospheric particulate matter may comprise three populations of particles according to size. The smallest of these is found only near sources, because the particles rapidly aggregate to larger sizes. The upper size limit for these particles is near 0.1 micrometer (1 micrometer = 1 gmm = 0.001 mm). Number concentrations can be quite high. This population is referred to as the nuclei mode of particles. The next larger size class, which begins at a diameter of about 0.1 gmm and extends to about 2.0 gmm, is called the accumulation mode, because once in the air it tends to remain for days; number concentrations have become low enough that agglomeration is slow and settling velocities are very small. The sum of the nuclei mode and the accumulation mode is called the fine particles. These are characteristically formed by condensation from the gas phase or by agglomeration of particles

formed from the gas phase. The final particle population in air is called the coarse mode, or simply the coarse particles. These are of any size larger than about 2 gmm (about 1/10,000 inch), and are formed by mechanical grinding of larger masses of matter. Coarse particles are usually particles of local soil or rocks.

## Partnership for Pollution Prevention

The Partnership for Pollution Prevention (Ppp) is an international cooperative effort to ensure the environmental sustainability of the Western hemisphere. Pollution prevention requires the efficient utilization of raw materials and energy, as well as modifications in products and manufacturing processes to minimize environmental impact. The Ppp promotes technical and financial cooperation and information exchange among nations. It also promotes the adoption of strict, internationally compatible environmental laws and regulations, and the implementation of international environmental agreements. The Ppp works toward improving technologies for environmental protection and increasing public awareness and participation in environmental issues, particularly among indigenous groups and other affected communities. Finally, the Ppp encourages the inclusion of sustainability objectives in other developmental and national policies.

The Ppp is Action Initiative 23 of the Plan of Action developed at the First Summit of the Americas, held in Miami, Florida, in 1994. It is based on the policies formulated at the 1992 United Nations Conference on Environment and Development and the 1994 Global Conference on the Sustainable Development of Small Island Developing States. The initial Ppp priorities were formulated at a meeting in San Juan, Puerto Rico, in November 1995. The meeting also addressed issues of financing, public-private partnerships, new legislation, compliance and enforcement, and public participation. Sponsored by the Organization of American States (OAS), the U.S. Environmental Protection Agency (EPA), and the Pan American Health Organization (PAHO), this meeting included representatives from twenty countries, as well as members of international organizations, non-governmental organizations, and development banks.

The initial Ppp priorities are health and environmental problems stemming from lead contamination

and the misuse of pesticides. The Ppp also addresses problems of waste, air and water quality, marine pollution, and urbanization. Under the Ppp, ten nations made formal political commitments in the areas of health and the environment. Furthermore, under the Ppp the environmental policies of the North American Free Trade Agreement (NAFTA) are being integrated with its trade and economic policies, and NAFTA is confronting issues of pesticide registration and chemical pollution control.

An OAS meeting in 1996 that included the Inter-American Development Bank, the World Bank, the PAHO, the EPA, and the United States Agency for International Development (USAID) formed a task force for Ppp implementation. In October 1997 the task force merged with a similar task force from the 1996 Santa Cruz (Bolivia) Summit on Sustainable Development. This new task force established a number of working groups to address cleaner production, innovative financing for sustainable development, improved access to drinking water, and the networking of environmental experts. The working group on energy infrastructure has obtained funding for a gas pipeline being constructed between Santa Cruz and São Paulo, Brazil. The working group for sustainable cities and communities initiates projects to strengthen local governments, promote local involvement in planning, and improve access to capital and to low-income housing.

The Ppp working group to phase out leaded gasoline has served as a model for other working groups. The EPA, PAHO, and the World Health Organization (WHO) have held lead-phase-out training workshops. The World Bank works with individual countries to finance refinery upgrades and conversions. As a result of these efforts, a number of nations have banned the sale of leaded gasoline. Leaded gasoline was phased out in the Western hemisphere by 2007. The World Bank, USAID, and the EPA also developed a lead-monitoring program for children and adults in Latin America and the Caribbean.

Under the auspices of the Ppp, the Cleaner Production Conference of the Americas was held in São Paulo in 1998. It established the Cleaner Production Roundtable of the Americas, a formal network of cleaner production practitioners who share ideas and promote the benefits of cleaner production for sustainable development. The conference also set up a communications network and identified priority needs for cleaner production and pollution controls.

Under the Ppp the USAID's Regional Environmental Project for Central America, in collaboration

with the EPA and the Central American Commission on Environment and Development, has established regional pollution prevention networks to address solid waste and wastewater management and the safe use of pesticides. Wastewater and air-contamination regulations, municipal pollution prevention, environmental action plans, and a training network in environmental law have been established in Central American countries. As part of the Ppp, the USAID has carried out projects for pollution prevention, clean industrial technologies, and coastal and marine management throughout Latin America and the Caribbean.

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### ORGANIZATIONS

- National Pollution Prevention Roundtable, 11 Dupont Circle, NW, Suite 201, Washington, DC, USA, 20036, (202) 299-9701, (202) 299-9704, [talk@p2.org](mailto:talk@p2.org), [www.p2.org](http://www.p2.org)
- Organization of American States, 17th Street and Constitution Ave., NW., Washington, DC, USA, 20006, (202) 458-3000, <http://www.oas.org>
- United States Environmental Protection Agency, 1200 Pennsylvania Avenue, NW, Washington, DC, USA, 20460, (800) 490-9198, [public-access@epa.gov](mailto:public-access@epa.gov), <http://www.epa.gov>

Margaret Alic

## Parts per billion

A means of expressing minute concentrations, often of an element, compound, or particle that contaminates soil, water, air, or blood. The expression may be on either a mass or a volume basis, or a combination of the two, such as micrograms of a chemical per kilogram of soil, or micrograms of chemical per liter of water. In the United States the term “one billion” equals  $1 \times 10^9$ , in Europe a billion refers to  $1 \times 10^{12}$ . Dioxin, a contaminant associated with the herbicide 2,4,5-T and implicated in the formation of birth defects, can cause concern at concentrations measured in ppb.

## Parts per million

A means of expressing small concentrations, usually of an element, compound, contaminant, or particle in water or a mixed medium such as soil, and normally on a mass basis. Units that are equivalent to ppm include micrograms per gram, milligrams per kilogram, and milligrams per liter. One ppm times 10,000 equals one part per hundred or one percent. The nutrient phosphorus and the contaminant lead found in soil are commonly measured in ppm.

## Parts per trillion

A means of expressing extremely minute concentrations of substances in water or air. In the United States the concentration is the number of units of the substance found in  $1 \times 10^{12}$  (in Europe,  $1 \times 10^{12}$  is often called a billion) units of water or air; an equivalent unit is nanograms per kilogram. Detection of concentrations this low, which was not possible until the late twentieth century, is limited to only a few types of chemical compounds. For example, one gram of sulfur hexafluoride, used as a tracer in studies of ocean mixing, can be detected in a cubic kilometer of sea water, a concentration of 1,000 parts per trillion (U.S. reference data).

## Passenger pigeon

The passenger pigeon (*Ectopistes migratorius*), perhaps the world’s most abundant bird species at one time, became extinct due directly to human



**Passenger pigeon.** (Photograph by John D. Cunningham. Visuals Unlimited)

activity. In the mid-1800s passenger pigeons travelled in flocks of astounding numbers. Alexander Wilson, the father of American ornithology, noted a flock he estimated to contain two billion birds. The artist and naturalist John James Audubon once observed a flock over a three-day period and estimated the birds were flying overhead at a rate of 300 million per hour.

The species became extinct within a span of fifty years; several factors having led to its rapid demise. The passenger pigeon was considered an agricultural pest, thus providing ample reason to kill large numbers of the birds. It was also in demand as food, largely due to the fact that nesting flocks were easily accessible. Young squabs were easy prey for hunters who knocked them from their nests or forced them out by setting fires below them. Adults were also killed in huge numbers. They were baited with alcohol-soaked grain or with captive pigeons set up as decoys, then trapped and shot. A common practice of the day was to use the live pigeons as targets in shooting galleries. In 1878 near Petoskey, Michigan, a professional market hunter earned \$60,000 by killing more than three million passenger pigeons near their nesting grounds. Once killed, many of the birds were packed in barrels and shipped to cities where they were sold in markets and restaurants. The demand was particularly high on the East Coast where forest clearing and hunting had already eradicated the species from the area.

By the 1880s commercial hunting of passenger pigeons was no longer profitable, because the population had been depleted to only several thousand birds. Michigan provided their last stronghold, but that population became extinct in 1889. The remaining small flocks of birds were so spread out and isolated that

their numbers were too low to be maintained. The disruption of the population in the 1860s and 1870s had been so severe that breeding success was permanently reduced. At one time the sheer numbers of passenger pigeons in a flock was enough to discourage potential predators. Once the population was split into small, isolated remnants, however, natural predation also contributed to the species' rapid decline.

The last individual passenger pigeon was a female named Martha, which died in the Cincinnati Zoo in 1914. It is now on display at the National Museum of Natural History in Washington, DC.

Eugene C. Beckham

## Passive solar design

Passive solar design is the use of sunlight for energy in a way that does not involve mechanical systems such as pumps or fans.

Each day, the earth receives  $1.78 \times 10^{14}$  kilowatts of energy, more than 10,000 times the amount needed by the whole world this year. All that is required is a way to collect and harness the energy of sunlight.



**The London building, commonly known as the Gherkin due to its shape, maximizes the amount of natural light and ventilation in the building, reducing energy consumption by half. (Mark Thomas/Photo Researchers, Inc.)**

Humans have explored systems for the capture of solar energy for centuries. The Roman architect Vitruvius described a plan in the first century BCE. for building a bathhouse heated by sunlight. Much more recently, water heaters operated by solar energy were built and widely sold in the early 1990s, especially in California and Florida.

Most historical examples illustrate the principles of passive solar heating, namely constructing a building so that it can take advantage of normal sunlight without the use of elaborate or expensive accessory equipment. A home built on this principle, for example, has as much window space as possible facing toward the south, with few or no windows on other sides of the house. Sunlight enters the south-facing window and is converted to heat, which is then trapped inside the house. To reduce loss of heat produced in this way, the window panes are double- or triple-glazed, that is, consist of two or three panes separated by air pockets. The rest of the house is also as thoroughly insulated as possible. In some cases a house can be built directly into a south-facing hill so that the earth itself acts as insulation for the north-, east-, and west-facing walls.

Adjustments can also be made to take into account changing sun angles throughout the year. In the winter when the sun is low in the sky, solar heat is needed most. In the summer when the sun is high in the sky, heating is less important. An overhang of some kind over the south-facing window can provide the correct amount of sunlight at various times of the year. Changing seasonal temperatures can also be managed by installing insulating screens on the south-facing window. When the screens are open, they allow solar energy to come in. When they are closed (as at night), they keep heat inside the building.

The primary drawback to the use of solar energy is its variability. Often the energy supply and the energy requirements are out of balance for weeks or months depending on season, amount of cloud cover, latitude, or other factors. Thus, there is frequently the need for storage of energy for later use. Several technologically-simple methods for storing solar energy are commonly used in passive solar design. A large water tank on top of the house, for example, provides one way to store this energy. Sunlight warms the water during the day and the water can then be pumped into the house at night as a heat source. A heat sink, such as a dark-colored masonry wall or concrete floor near the south facing windows, can also enhance a passive solar system by absorbing heat during the day and slowly radiating it into the room during the night. A Trombe wall may also be installed. This masonry wall, 6–18 inches (15–46 cm)

thick with gaps at the top and bottom, faces the sun. The space in front of the wall is enclosed by glass. Air between the glass and the masonry wall is heated by the sun, rises and passes into the room behind the wall through the upper gap. Cooler air is drawn from the room into the space through the lower gap. The masonry wall also acts as a heat sink.

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David E. Newton

## Passmore, John A.

1914–2004

Australian philosopher and writer

As a philosopher, John Passmore defended Western civilization against the charge that such societies, in his words, “can solve their ecological problems only if they abandon the analytical, critical approach which has been their peculiar glory and go in search of a new ethics, a new metaphysics, a new religion.”

Born in Manly, New South Wales, Australia, on September 9, 1914, Passmore earned his B.A. and M.A. degrees from the University of Sydney, where he subsequently taught philosophy from 1935 to 1949. He then served on the faculties of Otago University in New Zealand and the Australian National University, holding the chair of philosophy at the latter from 1959 to 1979. The best known of his many publications are *Hume's Intentions* (1952), *A Hundred Years of Philosophy* (1957), *The Perfectibility of Man* (1970), and *Man's Responsibility for Nature* (1974).

Part of Passmore's argument in *Man's Responsibility for Nature* is that people in the West, like people everywhere, cannot simply adopt a new and unfamiliar way of thinking: one must begin where one is. He also insists that Western civilization encompasses more than one way of thinking. He argues that

“central Stoic-Christian traditions are not favourable to the solution of [the West's] ecological problems,” but that these “are not the only Western traditions and their influence is steadily declining.” More favorable are traditions such as Jewish thought and especially modern science. These are hospitable to two attitudes—a sense of stewardship and a sense of cooperation with nature—that are incompatible with the Stoic-Christian traditions, “which deny that man's relationships with nature are governed by any moral principles and assign to nature the very minimum of independent life.”

As a champion of stewardship and cooperation with nature, Passmore sharply disagreed with those who regarded civilization as an enemy of nature. On the contrary Passmore regarded civilization as “man's great memorials—his science, his philosophy, his technology, his architecture, his countryside . . . all of them founded upon his attempt to understand and subdue nature.” He considered the transformation of the natural environment necessary and, when done with care, desirable.

Passmore's case for a more responsible attitude toward nature rested, finally, on a rejection of mysticism that included a rejection of religion and the concept of the sacred.

Passmore became a Companion of the Order of Australia in 1994. He died on July 25, 2004.

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Richard K. Dagger

## Pathogen

A pathogen is an agent that causes disease. Pathology is the scientific study of human disease. One could argue that anything that causes disease is therefore by definition a pathogen. Sunlight is the environmental agent that (with excessive exposure) induces the potentially fatal skin cancer known as melanoma.

Ordinarily, however, most people do not consider sunlight to be a pathogen. An unbalanced diet may result in nutritional deficiencies that can lead to diseases such as pellagra (caused by a niacin deficiency) and scurvy (caused by inadequate vitamin C in the diet). Nevertheless, the failure to consume a balanced diet is not considered to be a pathogen. Generally, most students of disease refer to biological agents when they use the term pathogen. Such agents, which include viruses, bacteria, fungi, protozoa, and worms, cause a tremendous diversity of diseases.

Viruses, although considered biological agents, are not cellular organisms and, accordingly, are not living in the usual sense. They are tiny particles consisting of either DNA or RNA as the genetic material and a protein coat, and they are incapable of metabolism outside a living cell. Pathogenic viruses cause diseases of the respiratory system such as colds, laryngitis, croup, and influenza. Skin eruptions such as measles, rubella, chicken pox, and foot-and-mouth disease are viral in origin. The long list of viral diseases includes insect-borne Western equine encephalitis and yellow fever. Recently, some human cancers have been thought to be associated with viruses, perhaps because animal cancers such as the Lucke renal carcinoma and mouse mammary carcinoma are known to be caused by viruses. One such human cancer is Burkitt's lymphoma, a childhood malignancy occurring primarily in Africa, which is associated with a herpes virus. AIDS results from infection with HIV and is a pandemic viral disease.

Bacteria are true cells, but their genetic material, DNA, is not packaged in a nucleus as in all higher forms of life. Not all bacteria are pathogens, but many well-known diseases are caused by bacterial infections. Tuberculosis, cholera, plague, gonorrhea, syphilis, rheumatic fever, typhus, and typhoid fever are some of the very serious diseases caused by pathogenic bacteria.

Probably 100 million cases of malaria occur each year, primarily in Africa, Asia, and Central and South America. Malaria is caused by four species of the protozoan *Plasmodium*. *Amebiasis* and *giardiasis* are parasitic protozoa infections. Protozoa are single-celled animals. Thrush (*candidiasis*) is a common infection of mucous membranes with a yeast-like fungus. Valley fever (*coccidioidomycosis*) is a fungal infection generally limited to the lungs. Athlete's foot is a common skin infection caused by a fungus.

Worms that infect humans are a significant health problem in those parts of the world where there is inadequate public health protection. Examples of helminth infections are the beef and pork tapeworms.

The consumption of inadequately cooked pork can result in trichinosis, which is a roundworm (nematode) infection of human muscle. Trematode flukes cause an extraordinarily problematic infection in Asia and Africa called schistosomiasis, with hundreds of millions of individuals infected. Perhaps the most common parasitic helminth infection in the United States is *enterobiasis*, known as pinworm or seatworm infection, which is a common condition of children with improper personal hygiene.

Many diseases caused by microbial pathogens can be treated with a diversity of antibiotics and other drugs. However, viral pathogens remain intractably difficult to manage with drugs.

Robert G. McKinnell

## Patrick, Ruth

1907–

American biologist and limnologist

Emphasizing the vital importance of environmental clean-up and conservation, Ruth Patrick has spent a lifetime facilitating cooperation among the scientific and political communities to find solutions to biospheric pollution. Her aggressive endeavors in freshwater research, including work on diatoms and the biodynamic cycles of rivers, have made much progress toward rectifying damage done by pollutants.

Her enthusiasm and concern for the biosphere began at an early age in her hometown of Kansas City, where, as a child, she conducted many expeditions through the countryside surrounding her family home. Collecting specimen materials and identifying them set the course for Patrick's future. She was fascinated by the microscopic world that could be found even in the smallest drop of water.

Patrick continued to foster her interests in science as she grew older. She attended Coker College in Hartsville, South Carolina, and earned a bachelor's degree in botany there in 1929. She then attended the University of Virginia where she earned a doctoral degree in 1934. Throughout her career Patrick has been awarded honorary degrees from many institutions, including Princeton University and Wake Forest University. In 1975 she received the prestigious John and Alice Tyler Ecology Award (through Pepperdine University).

Patrick was a key force in the founding of limnology, the scientific study of the biological, physical, and chemical conditions of freshwater. By conducting further studies, she also became widely known as an expert on diatoms, the basic food substance of freshwater organisms, and she later developed the diatometer. The diatometer is used to measure the levels of diatoms in freshwater, a measurement that is used in evaluating the pollution levels and general health of freshwater bodies. During the late 1960s and early 1970s, she acted as a consultant in both the private sector and in government, and her vast knowledge and influence enabled her to play an active role in the development of environmental policy. She worked with the U.S. Department of the Interior and served on numerous committees, including the Hazardous Waste Advisory Committee of the Environmental Protection Agency (EPA) and the Science Advisory Council of the World Wildlife Federation. Often called the “Ralph Nader of water pollution,” she has a wide spread reputation as a dauntless watchdog. Patrick conducted most of her work through her offices at the Academy of Natural Sciences in Philadelphia, where she joined the staff in the late 1930s. She was the first female board chairperson at the academy.

Patrick also maintains membership in such organizations as the National Academy of Sciences, the National Academy of Engineering, the American Academy of Arts and Sciences, the International Limnological Society, the American Society of Limnology and Oceanography, and the American Society of Naturalists. She has been bestowed dozens of awards from schools, institutions, and organizations, and she has written four books, including *Diatoms of the United States* (with C. W. Reimer). In 1996 Patrick was presented with a National Medal of Science by President Clinton for her work in pioneering the field of limnology and was inducted into the South Carolina Hall of Science and Technology.

Other honors include the Heinz Award Chairman’s Medal in 2002 and the A.C. Redfield Lifetime Achievement Award in 2006. A gala celebration was held in honor of her century birthday on November 17, 2007, at the Academy of Natural Sciences in Philadelphia.

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Kimberley A. Peterson

PBBs see **Polybrominated biphenyls**.

PCBs see **Polychlorinated biphenyls**.

PCP see **Pentachlorophenol**.

## Peat soils

A soil that is derived completely from the decomposing remains of plants. Plants that commonly form peat include reeds, sedges, sphagnum moss, and grasses. The plant remains do not decompose but continue to accumulate because the wet and/or cool environment in which they occur is not conducive to aerobic decomposition. Vegetable crops are often grown on peat soils. Peat can also be harvested for use in horticulture or as a fuel for heating and cooking.

See also Peatlands.

## Peatlands

Expansive areas of peat soils are referred to as peatlands. These areas are often located in what were once lakes or oceans. The clay deposits from the former lake provide an impermeable layer so that water accumulates. Plants growing in this wet environment cannot decompose because of a lack of oxygen. Accumulations of plants continue to increase the thickness of the peat deposit until a soil formed entirely of peat is created. These deposits can be 40 feet (12 m) or more thick. Extensive peatlands occur in Minnesota, Wisconsin, Michigan, New England, Russia, England, and Scandinavian countries.

## Pedology

The scientific study of soil as a natural body, without emphasizing the practical uses or ecological significance of the soil. Pedology includes soil formation and morphology, its basic physical and chemical

properties, its distribution, and the erosional processes affecting it without direct regard to plants or animals. Pedology provides the foundation for the study of soil as a medium of biological activity, called edaphology, and for the study of soil necessary in construction and drainage projects.

## Pelagic zone

The entire water column in marine ecosystems, regardless of depth. Plants and algae in the pelagic zone directly or indirectly support most of the ocean's animal life. Because plant growth is confined to the shallow depths of the pelagic zone reached by light (epipelagic zone, 0 to 650 feet, or 0 to 200 meters), much of the biological activity in the pelagic zone is concentrated in near-surface waters. The pelagic zone is further subdivided by depth into the mesopelagic zone (650 to 3,280 feet, or 200 to 1,000 meters), bathypelagic zone (3,280 to 13,125 ft, or 1,000 to 4,000 meters) and abyssopelagic zone (greater than 13,125 feet or 4,000 meters), each zone inhabited by a distinctive fauna. These deeper fauna are nourished largely by organic matter (e.g. dead plankton, fecal material) settling from surface waters.

See also Littoral zone; Neritic zone; Phytoplankton; Zooplankton.

*Pelecanus occidentalis* see **Brown pelican.**

Pelican see **Brown pelican.**

## Pentachlorophenol

Pentachlorophenol (PCP, Penta) is a white organic solid used extensively as a wood preservative or fungicide for telephone poles, fences, and indoor or outdoor construction materials. It has also been used for slime control in the pulp and paper manufacturing process, for weed control, termite control, and as paint preservative. It was banned for over-the-counter purchases as an herbicide and other uses as of 1987. Technical grade PCP contains trace amounts of less-chlorinated phenols and certain chlorinated dioxins and furans. Dioxins and furans are two categories of Persistent Organic Pollutants (POPs) recognized by the Stockholm Convention, an international initiative to reduce and eliminate the release of these chemicals. Concerns

over its toxicity have curtailed the use of PCP in the United States to treat materials that humans or animals will have contact with, including fence posts and feeding troughs. The U.S. Environmental Protection Agency (EPA) now requires that the level of certain dioxins produced during the manufacture of PCP used for wood treatment must be below 4 parts per million (ppm). Exposure can cause an increase in body temperature, immune system damage, and liver effects as well as reproductive and developmental effects. The EPA considers PCP to be a probable human carcinogen. Readily absorbed through skin and also volatile, it is acutely toxic from dermal exposure or inhalation.

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## People for the Ethical Treatment of Animals

Founded in 1980 by Alex Pacheco and Ingrid Newkirk, People for the Ethical Treatment of Animals (PETA) is a non-profit charitable organization dedicated to protecting and promoting animal rights, including the right to live free from human-caused pain or predation. To this end PETA has mounted campaigns against the use of animals in painful and frequently fatal medical experiments, as well as in the testing of cosmetics and other products. They have also campaigned against the trapping of fur-bearing



Members of PETA (People for the Ethical Treatment of Animals) demonstrate outside of the Air & Space Museum in Washington, DC, on January 14, 2010. (© Patsy Lynch./Retna Ltd./Corbis)

animals, so-called factory farming, such as confining cattle in crowded feedlots and the cruel use of animals in rodeos, carnivals, and circuses. Through its newsletters, blog, and social media postings, PETA publicizes these and other abuses and encourages readers to take action. Members write to the offending organizations, organize boycotts of their products or services, and contact their political representatives in support of proposed or pending legislation regarding the treatment of animals.

Drawing many of its ideas and much of its inspiration from philosophers such as Tom Regan and Peter Singer, PETA attempts (in Singer's words) to "expand the circle" of creatures considered worthy of respect and protection. Although other forms of discrimination, such as racism and sexism, have been widely discredited (if not yet eliminated), another form of discrimination has barely begun to be recognized. Speciesism is the view that one particular

species, *Homo sapiens*, is superior to all other species and that humans, therefore, have the unquestionable and unlimited right to use or to kill those other species for food, fur, leather, labor, or amusement. Such speciesist beliefs and attitudes are deeply rooted in our culture, Regan and Singer argue, and challenging them is often very difficult.

Animal rights advocates have been accused of putting the interests of animals above or at least on par with those of human beings. Many critics of PETA quote, out of context, Ingrid Newkirk's statement that "a rat is a pig is a dog is a boy," thereby purporting to prove that PETA and other animal rights organizations equate the rights and interests of human and non-human animals. This statement of Newkirk's was actually intended to show that humans, like animals, are sentient beings because they have a central nervous system and are therefore able to feel pleasure and pain. "When it comes to having a central nervous system and the ability to feel pain, hunger, and thirst," said Newkirk, "a rat is a pig is a dog is a boy." More elaborate and extended versions of the argument about sentience can be found in Peter Singer's *Animal Liberation* (1990) and in Tom Regan's *The Case for Animal Rights* (1983). PETA's primary aim, however, is not to engage in philosophical disputation but to participate in political, economic, and educational campaigns on behalf of creatures who cannot defend themselves against humanly caused pain and predation.

## Resources

### ORGANIZATIONS

PETA, 501 Front St., Norfolk, VA, USA, 23510, (757) 622-  
PETA, (757) 622-0457, <http://www.peta.org>

Terence Ball

## Peptides

A chemical compound consisting of two or more amino acids joined to each other through a bond between the nitrogen atom of one amino acid to an oxygen atom of an adjacent amino acid. A more precise term describes the number of amino acid units involved. A dipeptide or tripeptide consists of two or three amino acid units respectively. A few oligopeptides (about ten amino acid units) are of physiological importance. The antibiotics bacitracin, gramicidin S, and tyrocidin A are examples of oligopeptides. The largest polypeptides contain dozens or hundreds of amino acid units and are better known as proteins.

The bond between peptide units is especially sensitive to attack by various types of corrosive poisons such as strong acids and bases.

*Percina tanasi* see **Snail darter**.

## Percolation

The movement of water through soil and the unsaturated zone into and through the pores of materials in the zone of saturation. Groundwater is recharged by this movement of water through the unsaturated zone. From a soil science perspective, percolation refers to the drainage of initially wetted areas of soil and movement of water beyond the rooting zone of plants toward the water table. Sanitarians commonly use percolation, however, in reference to results of the common soil test, known as the Perk test, which evaluates the rate at which soils accept water. The rate water moves into the soil is referred to as percolation.

See also Aquifer; Aquifer restoration; Drinking-water supply; Recharge zone; Vadose zone; Water table draw-down.

## Peregrine falcon

The peregrine falcon (*Falco peregrinus*), a bird of prey in the family Falconidae, is one of the most wide-ranging birds in the world with populations in both the Eastern and Western hemispheres. However, with extensive pesticide use, particularly DDT, beginning in the 1940s, many populations of these birds were decimated. In the United States by the 1960s, the peregrine falcon was completely extirpated from the eastern half of the country because DDT and related compounds, which are amplified in the food chain/web, caused the birds' eggshells to become thin and fragile. This led to reproductive failures, as eggs were crushed in the nest during incubation. Prior to the DDT-induced losses, about 400 breeding pairs of peregrine falcons lived in the eastern United States. In the early 1970s there were more than 300 active nests in the western states, but within a single decade that number dropped to 200. The numbers continued to decline, and in 1978 no breeding pairs of peregrine falcons existed in the eastern United States. By 1984, due



Peregrine falcon

**Peregrine falcon (*Falco peregrinus*).** (© Arco Images GmbH / Alamy)

to reintroduction efforts, there were twenty-seven nesting pairs, and in 1985 thirty-eight nesting pairs were present in the east with at least sixteen pairs fledging young. Also in 1985, 260 young, captive-raised peregrine falcons were released into the wild, 125 in the eastern states, and 135 in the west. By 1986 forty-three pairs were nesting, and twenty-five of those pairs fledged fifty-three young. By 1991 more than 100 breeding pairs were found in the east, and 400 pairs were found in the west. The increase of peregrine falcon hatchings brought the numbers up to 215 breeding pairs in the Midwest.

The recovery success of the peregrine falcon is due largely to the efforts of two groups, the Peregrine Fund based at Cornell University in Ithaca, New York, and the Canadian Wildlife Service at Camp Wainwright in Alberta. Much of their research centered on captive breeding for release in the wild and finding ways to induce the falcons to nest and raise young in their former range. With great patience and limited early success, the initiators of the projects succeeded.

The restoration projects also yielded much valuable information as well as innovative approaches to reestablishing peregrine falcon populations. For captive breeding, falcons trapped as nestlings stood a much better chance of reproducing in captivity than when trapped as flying immatures or adults. Because habitat destruction and human encroachment limit

potential nesting sites—which typically are cliff ledges—researchers found that a potential, and ultimately successful, alternative nest site was the window ledge of a tall city building. These locations mimic their natural nest sites, and these “duck hawks,” as they were once called, had a readily available prey in their new urban ecosystem. Peregrine falcons immediately began killing rock doves for food, which some saw as a service to the cities, because these “pigeons” tended to be regarded as a nuisance species.

Since it began in the 1970s, this captive breeding and release program has become well established, with more than 4,000 captive-bred peregrine falcons released over the past three decades. In August of 1999, the peregrine falcon was removed from the Endangered Species list by the U.S. Fish and Wildlife Service. According to the International Union for Conservation of Nature and Natural Resources (IUCN), peregrine populations have been stable since that time.

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Eugene C. Beckham

## Perfluorooctane sulfonate

Fluoro-organic chemicals such as perfluorooctane sulfonate (C<sub>8</sub>F<sub>17</sub>SO<sub>3</sub>H; perfluoro-octane sulfonic acid, PFOS), have been used since the 1950s in soil- and stain-resistant coatings for fabrics, carpets, and leather (2.4 million pounds [1 million kilograms] in 2000), as well as in oil- and grease-resistant coatings for paper products (about 2.7 million pounds

[1.2 million kilograms] in 2000). Though PFOS is mostly applied to products in textile mills, leather tanneries, finishers, and carpet manufacturing facilities, the use of Scotchgard fabric protector also allows for the use of PFOS by the consumer. Additional uses of PFOS and related compounds in specialized industrial applications (1.5 million pounds [680 thousand kilograms] in 2000) include fire-fighting foams, mining and oil well surfactants, acid mist suppressants for metal plating and electronic etching baths, alkaline cleaners, floor polishes, photographic film, denture cleaners, shampoos, and ant insecticide.

In 2000 3M Corporation announced that it would phase out a group of perfluorinated chemicals, including PFOS, used in Scotchgard and other products. 3M Corporation was the only manufacturer of PFOS in the United States. All of the fluorochemicals that were being phased out either used PFOS in their manufacture or break down to PFOS, which is persistent in the environment due to the high energy of the carbon-fluorine bond. 3M Corporation had conducted a major research effort to characterize the environmental presence, environmental and human effects, and environmental fate of PFOS; the results of those research efforts indicated that continued use of PFOS could potentially have severe long-term consequences to human health and the environment.

PFOS, unlike most other persistent organic compounds, does not accumulate in fats, but as a surfactant with both lipophilic and hydrophobic tendencies, binds to blood proteins and accumulates in the liver and gall bladder. In a study published in 2001, PFOS was shown to be present in each of sixty-five human blood samples from persons who had not been industrially exposed to PFOS. In another 2001 study, 247 tissue samples from marine mammals collected from Florida, California, and Alaskan coastal waters, as well as the northern Baltic Sea, the Arctic, and Sable Island in Canada were analyzed for PFOS. PFOS was found in the blood and livers of nearly all of the marine mammals. Although animals from sites nearer to developed and industrialized regions had higher levels of PFOS in their tissues, animals from even the most remote locations, including the Arctic Ocean, were found to contain significant levels of PFOS. Near-shore animals had higher concentrations than those that lived off shore. Further, freshwater species had higher concentrations than ocean species. PFOS was also found in samples of twenty-one species of fish-eating birds, including eagles, ospreys, albatrosses, gulls, herons, loons, ibises, and gannets that

had been collected from locations in the United States and the central Pacific Ocean. Birds in more urbanized areas had higher concentrations. Birds from the central Pacific also had measurable PFOS levels, indicating the presence of PFOS in remote marine locations. The lowest concentrations of PFOS were found in an insect-eating gull. Contamination levels observed in these studies were lower than those known to be toxic in wildlife; however, additional studies are required to determine the long-term effects of low-level exposure to PFOS.

Toxicological studies have shown that PFOS can result in adverse developmental, reproductive, and systemic effects. In a study of two generations of rats and the effects of PFOS on reproduction, PFOS was found to cause postnatal death as well as have detrimental developmental effects. At the highest doses (3.2 milligrams per kilogram per day [mg/kg/day]), the offspring in the first generation of rats died, while at one-half of the highest dose (1.6 mg/kg/day), almost one-third of the offspring in the first generation died. At a lower dose (0.4 mg/kg/day), PFOS resulted in lower pup weight compared to controls. Reversible delays in reflex and physical development were also observed in this study.

In Rhesus monkey (*Macaca mulatta*) studies, no monkeys survived more than three weeks at 10 mg/kg/day or more than seven weeks at doses of 4.5 mg/kg/day. Cynomolgus monkeys (*Macaca fascicularis*) also died at a dose of 0.75 mg/kg/day, after first becoming listless and exhibiting loss of appetite. Both species of monkeys showed liver enlargement and reduced cholesterol levels in the blood.

From the results of the toxicological studies and the survey of animals and human blood samples throughout the world, a representative of the U.S. Environmental Protection Agency (EPA) stated that PFOS exhibits “persistence, bioaccumulation, and toxicity to an extraordinary degree.” Many countries (e.g. Italy, Japan, Switzerland) contacted the PFOS manufacturers to determine the likelihood of PFOS production being phased out. In 2003 the UK risk reduction strategy document for PFOS was published. In 2009 nine additional POPs, including PFOS, were added to the Stockholm Convention on Persistent Organic Pollutants (POPs) dirty dozen list. POPs are substances shown to persist for long periods of time and have adverse effects on human health and the environment. The Stockholm Convention is an international agreement that calls for the reduction and eventual elimination of production and use of these chemicals.

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Judith L. Sims

## Permaculture

Permaculture is an approach to land management that creates high-yielding, low-energy-consuming, self-perpetuating systems by which the functions of animals, plants, humans, and Earth are integrated to maximize their value and create sustainable human habitats. Permaculture brings together disciplines relating to food, shelter, energy, water, waste management, economics, and social sciences. It aims to maximize a site’s productivity, while maintaining ecosystems and restoring damaged land to a healthy, life-promoting state. Bill Mollison has written five books on this topic: *Introduction to Permaculture*, *Permaculture: A Designer’s Manual*; *Permaculture One*; *Permaculture Two*; and *Permaculture: A Practical Guide for a Sustainable Future*. Several permaculture organizations and model projects exist around the world.

The term was first coined in 1972 by Bill Mollison of Tasmania, Australia, by merging the terms, *permanent* and *agriculture*. Although originally developed for small subsistence farms, the practice has expanded to apply to gardens and urban settings. Some consider it a lifestyle as much as a design approach.

Permaculture principles focus on designs for small-scale intensive systems that are labor-efficient and use biological resources instead of fossil fuels. These designs stress ecological connections and closed energy and material loops. The core of permaculture is integrating working relationships and connections between all things. Each component in a system performs multiple functions, and each function is supported by many elements. Key to efficient permaculture design is observing and replicating natural ecosystems. Designers maximize diversity with polycultures, stress efficient energy planning for houses and settlements, and use and accelerate natural plant succession. The philosophy behind permaculture is one of working with, rather than against, nature; looking at systems in all of their functions; and using systems for multiple purposes. It is a method of agriculture that aims to endure without constant human inputs and does not deplete the land.

According to Mollison, permaculture has several distinct characteristics. It makes the most of small landscapes, using intensive practices. Ideally nothing is wasted, and everything is arranged so that the least amount of effort is exerted and the highest yield from the systems is gained. Systems are designed that use and complement the natural systems that are present. For example, storm water is controlled with planted swales (marshy depressions), not concrete drains. The design harvests the natural flows of energy through the landscape (such as sunlight, rain, and plant and animal behaviors). Diversity is promoted in plant species, varieties, yield, microclimate, and habitat. Permaculture maintains that in a monoculture, a single species cannot make full use of all of the available energy and nutrients. Wild or seldom-selected animal and plant species are used. Each element performs many functions in the system. For example, a fruit tree provides not only a crop, but also wind shelter, a trellis, soil conditioning, and shade and roosting for birds. The long-term evolution of the land is recognized, and those changes are incorporated in planning. All activities involving the land—agriculture, animal husbandry, extant forest management, animal cropping, and landform engineering—are integrated. Difficult landscapes (rocky, marshy, marginal, or steep) not typically suited to other systems are utilized. Permaculture involves long-term and evolving land-use planning, using diverse flora and fauna in various ways at different times, recognizing that different species use different nutrients and resources.

Permaculture systems typically feature: passive energy systems and minimal external energy needs; on-site climate control; planned future developments; on-site provision for food self-sufficiency; safe on-site disposal of wastes; low-maintenance structures and grounds; assured and conserved water supply; and control and direction of fire, cold, excess heat, and wind factors.

Permaculture originated as a strategy for designing systems for permanent or perennial agriculture by creating agroforestry systems using tree crops, shrubs, vines, and herbaceous plants in highly productive symbiotic assemblages. The practice was originally oriented to subsistence farms in Tasmania, which typically were small and on poor land. It was then extended to include other landscapes, urban settings, and climates worldwide, and has even been applied to other systems, such as houses and factories.

### Permaculture as a life philosophy

Some consider permaculture a life philosophy. In this context permaculture emphasizes putting oneself

into a symbiotic relationship with Earth and one's community. Permaculture is oriented to place, with reliance on native plants and a close awareness of the ecosystem. It revolves around self-reliance, growing food, and building attractive energy-efficient structures from local materials. Designing a permaculture landscape begins with assessing a site's native features (such as soil structure, microclimates, cycles of decay, and existing flora and fauna) to take advantage of existing resources. This assessment also helps in selecting and adapting technologies to the site. These technologies might include methods of composting, gardening, irrigating, or generating electricity. Multifunctional living systems abound in a typical permaculture design.

### Examples of permaculture practices and approaches

Animals are raised for their value as producers of food, skins, and manure, and as pollinators, heat sources, gas producers, earth tillers, and pest controller. For example, rabbits raised in a rabbit hutch are fed kitchen scraps. Their droppings fall into worm bins (vermiculture) as fodder for worms, and the resulting worm castings are used to fertilize gardens. In the winter the rabbits are harvested for their meat and fur. As another example, movable chicken hutches can be used to place chickens in gardens where they effectively till and fertilize the soil.

Particular varieties of trees are chosen for their fuel, forage, material (for fences, structures, and shelters), or heat reflection and windbreak values, as well as for crop diversification. When they are young, the trees may act as hedgerows, then grow to serve as a fuel source. Plants provide many services for other plants. They act as trellises, screen and shade them, provide nutrients, cross-fertilize them (as happens with varieties of plums and nuts), help to repel pests, prevent erosion, and provide spare parts (grafts) for other plants. Fruit trees and vines are planted strategically around a house to provide shade. In a home's front yard, attractive gardens feature high-yielding food, as well as medicinal and culinary plants where they are easily accessed. Some crops are planted for their self-propagating patterns, such as leeks, onions, potatoes, and garlic.

Water harvesting is an essential function in a permaculture landscape and is supported by as many components as possible. Filtration of water for animals may be provided with shells and water plants. Water pathways are traced, and systems are created for collecting it. Swales may direct rain water and

run-off to fruit trees. Run-off water from culverts may be directed to ponds where water plants and fish are raised and where it can be used for irrigation and firefighting. Water can be collected from roofs. Composting toilets and septic systems with planted leaching beds can be employed.

Insects and crops are used to aerate the soil. Mulching, growing green crops, composting, and strategic planting are employed to build soil health. Permaculture grows forests and shrubs to protect the soil, and uses plows that do not turn the soil. Food crops, such as corn and legumes, are chosen for their low-maintenance qualities and their ability to fix nitrogen in the soil.

Permaculture emphasizes reactive homes, sheltered from cold winds with windbreak planting. Such homes are oriented on an east-west axis facing the sun, usually with a greenhouse, and are well-sealed. They should use few resources not found on site. Shelters can be built into the earth, with living turf roofs. Sometimes, living trees and plants are used to create shelters.

Permaculture offers an environmental design practice for making better use of resources in a variety of growing settings. What started as a method for cultivating desert land has grown into a system that integrates living systems, fostering greater consciousness of ecosystems and helping to ensure economic and ecological sustainability for its practitioners.

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Carol Steinfeld

## Permafrost

Permafrost is any ground, either of rock or soil, that is perennially frozen. Continuous permafrost refers to areas that have a continuous layer of

permafrost. Discontinuous permafrost occurs in patches. It is believed that continuous permafrost covers approximately 4 percent of Earth's surface and can be as deep as 3,281 feet (1,000 m), though normally it is much less. Permafrost tends to occur when the mean annual air temperature is less than the freezing point of water. Permafrost regions are characterized by a seasonal thawing and freezing of a surface layer known as the active layer which is typically 3–10 feet (1–3 m) thick.

## Permanent retrievable storage

Permanent retrievable storage is a method for handling highly toxic hazardous wastes on a long-term basis. At one time it was widely assumed that the best way of dealing with such wastes was to seal them in containers and either bury them underground or dump them into the oceans. However, these containers tended to leak, releasing these highly dangerous materials into the environment.

The current method is to store such wastes in a quasi-permanent manner in salt domes, rock caverns, or secure buildings. This is done in the expectation that scientists will eventually find effective and efficient methods for converting these wastes into less hazardous states, in which they can then be disposed of by conventional means. One chemical for which permanent retrievable storage has been used so far is the group of compounds known as polychlorinated biphenyl (PCB)s.

Permanent retrievable storage has its disadvantages. Hazardous wastes so stored must be continuously guarded and monitored to detect breaks in containers or leakage into the surrounding environment. In comparison with other disposal methods now available for highly toxic materials, however, permanent retrievable storage is still the preferred alternative means of disposal.

*See also* Hazardous waste site remediation; Not In My Backyard; Toxics use reduction legislation.

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David E. Newton

## Permeable

In soil science permeable is a qualitative description for the ease with which water or some other fluid passes through soil. Permeability in this context is a function of factors such as total pore volume (e.g., the size of the pores) as well as the distribution of the pores. In geology, particularly with reference to groundwater, permeability is the ability of water to move through any water-bearing formation, rock, or unconsolidated material. This condition can be measured in the laboratory by measuring the volume of water that flows through a sample over a defined period of time.

*See also* Aquifer; Recharge zone; Soil profile; Vadose zone; Zone of saturation.

## Peroxyacetyl nitrate (PAN)

A member of a class of photochemical oxidizing agents known as the peroxyacyl nitrates. The peroxyacyl nitrates form when ozone reacts with hydrocarbons such as those found in unburned petroleum. They are commonly found in photochemical smog. The peroxyacyl nitrates attack plants, causing spotting and discoloration of leaves, destruction of flowers, reduction in fruit production and seed formation, and death of the plant. They also cause red, itchy, runny eyes and irritated throats in humans. Cardiac and respiratory conditions, such as emphysema and chronic bronchitis, may result from long-term exposure to the peroxyacyl nitrates.

*See also* Air pollution; Los Angeles Basin.

## Persian Gulf War

The Persian Gulf War in 1991 had a variety of environmental consequences for the Middle East. The most devastating of these effects were from the oil spills and oil fires deliberately committed by the Iraqi army. There was extensive press coverage of these events at the time, and the United States accused the Iraqis of “environmental terrorism.” The devastation, though not as extensive as originally supposed, was substantial.

The Iraqis began discharging oil into the Persian Gulf from the Sea Island Terminal and other super-tanker terminals off the coast of Kuwait on January 23, 1991. Allied bombers tried to limit the damage by striking at pipelines carrying oil to these locations, but the flow continued throughout the war. Estimates of the size of the spill have varied widely and the controversy still continues, with a number of diplomatic and political pressures preventing many government agencies from committing themselves to specific figures. The Persian Gulf War spill and the Kuwait oil fires were the worst oil disaster recorded more than twenty times larger than the *Exxon Valdez* spill in Prince William Sound, Alaska, and twice the size of the 2010 spill from the blowout of the Deepwater Horizon well in the Gulf of Mexico.

Whatever the actual size of the spill, it occurred in an area that was already one of the most polluted in the world. Oil spills and oil dumping are common in the Persian Gulf; it has been estimated that as many as two million barrels of oil are spilled in these waters every year. Some ecologists believe that the ecosystem in the area has a certain amount of resistance to the effects of pollution. Other scientists have maintained that the high level of salinity in the Gulf will prevent the oil from having many long-term effects and that the warm water will increase the speed at which the oil degrades. But the Gulf has a slow circulation system and large areas are very shallow; many scientists and environmentalists have predicted that it will be many years before the water can clear itself.

The spill killed thousands of birds within months after it began, and it had an immediate and drastic effect on commercial fishing in the region. Oil soaked miles of coastline, coral reefs and wetlands were damaged, and the seagrass beds of the Gulf were considered particularly vulnerable. Mangrove swamps, migrant birds, and endangered species such as green turtles and the dugong, or sea cow, are still threatened by the effects of the spill. The Saudi Arabian government has protected the water they draw from the Gulf



**Oil wells burning out of control in the Al-Ahmadi oil fields located on the outskirts of Kuwait City in 1991. The oil fields were set on fire by retreating Iraqi troops. (Wesley Bocxe / Photo Researchers, Inc.)**

for desalinization, but little has been done to limit or alleviate the environmental damage. This has been the result, at least in part, of a shortage of resources during and after the war, as well as obstacles such as floating mines and shallow waters that restricted access for boats carrying cleanup equipment.

At the end of the war, the retreating Iraqi army set over 600 Kuwaiti oil wells on fire. When the last burning well was extinguished on November 6, 1991, these fires had been spewing oil smoke into the atmosphere for months, creating a cloud that spread over the countries around the Gulf and into parts of Asia. It was thought at the time that the cloud of oil smoke would rise high enough to cause global climatic changes. Some scientists, who had first proposed the possibility of a nuclear winter as one of the consequences of a nuclear war, believed that rain patterns in Asia and parts of Europe would be affected by the oil fires, and they predicted failed harvests and widespread starvation as a result. Though there was some localized cooling in the Middle East, these global predictions did not occur, and the fires were extinguished

rather quickly. The smoke from the fire still created the potential for environmental consequences in the region. Air-quality levels have resulted in extensive health problems, although the plume was often elevated, so ground-level effects of air pollution were fortunately less severe than feared. Acid rain and acid deposition may have damaged millions of acres of forests and many historic monuments in Iran, although it is not easy to establish whether these outcomes were a consequence of the fires or other environmental problems.

The oil fires and oil spills were not the only environmental consequences of the Persian Gulf War. The movement of troops and military machinery, especially tanks, damaged the fragile desert soils and increased wind erosion. Wells sabotaged by the Iraqis released large amounts of oil that was never ignited, and lakes of oil as large as half a mile wide formed in the desert. These lakes continue to pose a hazard for animals and birds, and tests have shown that the oil is seeping deeper into the ground, causing long-term contamination and perhaps, eventually, leaching into the Gulf.

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Douglas Smith

## Persistent compound

A persistent compound is slow to degrade in the environment, which often results in its accumulation and deleterious effects on human and environmental health if the compound is toxic. Toxic metals such as lead and cadmium, organochlorides such as polychlorinated biphenyls (PCBs), and polycyclic aromatic hydrocarbons (PAHs) are persistent compounds.

Persistent molecules are termed recalcitrant if they fail to degrade, metabolize, or mineralize at significant rates. Their compounds can be transported through the environment over long periods of time and over long distances, resulting in long-term exposure and possible changes in organisms and ecosystems. However, organisms and ecosystems may adapt to the compounds, and deleterious effects may weaken or even disappear.

Compounds may be persistent for several reasons. A compound can be persistent due to its chemical structure. For example, in a molecule the number and arrangement of chlorine ions or hydroxyl groups can make a compound recalcitrant. It can also persist due to unfavorable environmental conditions such as pH, temperature, ionic strength, potential for oxidation reduction reactions, unavailability of nutrients, and absence of organisms that can degrade the compound.

The period of persistence can be expressed as the time required for half of the compound to be lost, the half-life. It is also often expressed as the time for detectable levels of the compound to disappear entirely. Compounds are classified for environmental persistence in the following categories: (1) Not degradable, compound half-life of several centuries; (2) Strong persistence, compound half-life of several

years; (3) Medium persistence, compound half-life of several months; and (4) Low persistence, compound half-life less than several months.

Non-degradable compounds include metals and many radioisotopes, whereas semi-degradable compounds (of medium to strong persistence) include PAHs and chlorinated compounds. Compounds with low persistence include most organic compounds based on nitrogen, sulfur, and phosphorus.

In general the greater the persistence of a compound, the more it will accumulate in the environment and the food chain/web. Non-degradable and strongly persistent compounds will accumulate in the environment and/or organisms. For example, because of bioaccumulation through the food chain, dieldrin can reduce populations of birds of prey. Compounds with intermediate persistence may or may not accumulate, but non-persistent pollutants generally do not accumulate. However, even compounds with low persistence can have long-term deleterious effects on the environment. Both 2,4-D and 2,4,5-T can cause defoliation, which may result in soil erosion and long-term effects on the ecosystem.

Chemical properties of a compound can be used to assess persistence in the environment. Important properties include: (1) rate of biodegradation (both aerobic and anaerobic); (2) rate of hydrolysis; (3) rate of oxidation or reduction; and (4) rate of photolysis in air, soil, and water. In addition, the effects of key parameters, such as temperature, concentration, and pH, as well as on the rate constants and the identity and persistence of transformation products should also be investigated. However, measured values for these properties of many persistent compounds are not available, because there are thousands of chemicals, and the time and resources required to measure the desired properties for all the chemicals is unrealistic. In addition, the data that are available are often of variable quality.

Persistence of a compound in the environment is dependent on many interacting environmental and compound-specific factors, which makes understanding the causes of persistence of a specific compound complex and, in many cases, incomplete.

*See also* Chronic effects; Heavy metals and heavy metal poisoning; Toxic substance.

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Judith Sims

## Persistent organic pollutants

Persistent organic pollutants (POPs) are man-made organic compounds that persist in the natural environment for long periods of time without degrading. Because of their long-lasting presence in air, water, and soil, these pollutants accumulate in the bodies of fish, animals, and humans over time. Exposure to POPs can create serious health disorders throughout the tiers of the food web. In human beings POPs can cause cancer, autoimmune deficiencies, kidney disorders, birth defects, and other reproductive problems.

Because these chemicals are derived from manufacturing industries, pesticide applications, waste disposal sites, spills, and combustion processes, POPs are a global problem. Many POPs are carried long distances through the atmosphere. The compounds tend to move from warmer climates to colder ones, which is why even remote regions, such as the Arctic, contain significant levels of these contaminants. Because of the global creation and transmission of POPs, no country can protect itself against POPs without cooperation from other countries. An international commitment is essential for eradication of this problem.

In the early 1990s the Organization for Economic Cooperation and Development (OECD), United Nations Environment Programme (UNEP), World Health Organization (WHO), and other groups began to assess the impacts of hundreds of chemicals, including POPs. In

1998 thirty-six countries participated in the POPs Protocol, sponsored by the Convention on Long-range Transboundary Air Pollution. The purpose was to build an international effort toward controlling POPs in the environment.

In 2001, led by the UNEP, more than one hundred countries participated in the Stockholm Convention on Persistent Organic Pollutants. The proposal became a legally binding agreement upon its ratification by more than fifty countries. As of 2009 there are 164 participating parties. It is considered by many to be a landmark human-health document of international proportions. Research will address the most effective ways to reduce or eliminate POP production, various import/export issues, disposal procedures, and the development of safe, effective, alternative chemical compounds.

The screening criteria for POP designation are: the potential for long-range atmospheric transport, persistence in the environment, bioaccumulation (substances accumulating in living organisms) in the tissues of living organisms, and toxicity. Many chemicals volatilize (vaporize), which increases the concentrations of these chemicals in the air. Longevity is measured by a chemical's half-life, or how much time passes before half of the original amount of a chemical discharge or emission breaks down naturally and dissipates from the environment. The minimum half-life for a POP in water is two months; for soil or sediment, it is about six months. Several POPs have half-lives as long as twelve years. Animals accumulate POPs in their fatty tissue (bioaccumulation); these organisms with accumulated POPs are then consumed and reconcentrated by higher-order animals in the food chain through a process termed biomagnification. In some species, biomagnification can result in concentrations up to one million times greater than the background value of the POP. Most humans are exposed through consumption of food products (especially meat, fish, and dairy products) that contain small amounts of these chemicals.

The Stockholm Convention called for the immediate ban on production and use of twelve POPs (known as the dirty dozen): aldrin, dieldrin, endrin, DDT, chlordane, heptachlor, mirex, toxaphene, hexachlorobenzene (HCB), polychlorinated biphenyls (PCBs), polychlorinated dioxins, and furans. The convention did acknowledge, however, certain health-related use exemptions until effective, environmentally friendly substitutes can be found. For example, DDT can still be applied to control malarial mosquitoes subject to WHO guidelines. Electrical transformers that contain PCBs can also be used until 2025, at

which point any old transformers that are still active must be replaced with PCB-free equipment.

A brief description of the twelve banned POPs is shown below:

- Aldrin was a commonly used pesticide for the control of termites, corn rootworm, grasshoppers, and other insects. It has been proven to cause serious health problems in birds, fish, and humans. Aldrin biodegrades in the natural environment to form dieldrin, another POP.
- Dieldrin was applied extensively to control insects, especially termites. Its half-life in soil is about five years. Dieldrin is especially toxic to birds and fish.
- Endrin, another insecticide, is used to control insects and certain rodent populations. It can be metabolized in animals, however, which reduces the risk of bioaccumulation. It has a half-life of about twelve years.
- DDT, also known as dichlorodiphenyl trichloroethane, was applied during World War II to protect soldiers against insect-borne diseases. In the 1960s and 1970s its use on crops resulted in dramatic decreases in bird populations, including the bald eagle (*Haliaeetus leucocephalus*). DDT is still being manufactured and is a chemical intermediate in the manufacture of dicofol. Regions that want to continue using DDT for public-health purposes include Africa, China, and India. Mosquitoes, however, are becoming resistant to DDT in these areas.
- Chlordane is a broad-spectrum insecticide used in termite control. Its half-life is about one year. Chlordane is easily transported through the air and suspected of causing immune system problems in humans.
- Heptachlor was applied to control fire ants, termites, and mosquitoes and has been used in closed industrial electrical junction boxes. Its production has been eliminated in most countries.
- Mirex was used as an insecticide to control fire ants and termites. It is also a fire-retardant component in rubber, plastics, and electrical goods. It is a very stable POP with a half-life of up to ten years.
- Toxaphene is an insecticide that was widely used in the United States in the 1970s to protect cereal grains, cotton crops, and vegetables. Toxaphene has a half-life of twelve years. Aquatic life is especially vulnerable to toxaphene toxicity.
- Hexachlorobenzene, also termed HCB, was introduced in the 1940s to treat seeds and kill fungi (fungicide) that damaged crops. It can also be produced as a byproduct of chemical manufacturing. It is suspected of causing reproductive problems in humans.
- Polychlorinated biphenyls, commonly referred to as PCBs, were used extensively in the electrical industry as a heat exchange fluid in transformers and capacitors. PCBs were also used as an additive in plastics and paints. PCBs are no longer produced but are still in use in many existing electrical systems. Thirteen varieties of PCBs create dioxin-like toxicity. Studies have shown PCBs are responsible for immune system suppression, developmental neurotoxicity, and reproductive problems.
- Polychlorinated dibenzo-p-dioxins, usually shortened to the term dioxins, are chemical by products of incomplete combustion or chemical manufacturing. Common sources of dioxins are municipal and medical waste incinerators, backyard burning of trash, and past emissions from elemental chlorine bleach pulp and paper manufacturing. A dioxin's half-life is typically ten to twelve years. Related health problems can include birth defects, reproductive problems, immune and enzyme disorders, and increased cancer risk.
- Polychlorinated dibenzofurans, usually shortened to furans or PCDFs, are structurally similar to dioxins and are by-products of the same processes as dioxins. The health impacts of dibenzofuran toxicity are considered to be similar to those of dioxins. More than 135 types of PCDFs are known to exist.

The UNEP and other world organizations are continuing to look for safer and more economically viable alternatives to POPs. Manufacturing facilities are being upgraded with cleaner technologies that will reduce or eliminate emissions. Focus is also being placed on regulating the international trade of hazardous substances. Disposal is also an issue; poorer countries do not have the funds or proper technological resources to dispose of the growing accumulations of obsolete toxic chemicals. The UNEP is working creatively with countries to secure financing to introduce alternative products, technology, methods enforcement, and critical infrastructure. Research sponsored by UNEP studies the chemical characteristics of current POPs so that chemical companies will be less likely to create new POPs.

As of 2009 nine other POPs were added to the Stockholm Convention list including:

- Alpha- and beta-hexachlorocyclohexane (alpha-, beta-HCH) are no longer in production, but are still formed as a by-product of lindane.
- Hexabromodiphenyl, heptabromodiphenyl, tetrabromodiphenyl, and pentabromodiphenyl (PentaBDE) ethers are brominated (Br) organic substances that inhibit combustion of organic material. These substances are used as flame retardants. PentaDBE

volatilizes easily and enters the air long after the disposal of treated products.

- Chlordecone is a synthetic chlorinated substance used in agriculture as a pesticide. It was first produced in 1951 and commercially available as of 1958. Current production and usage information is not reported.
- Hexabromobiphenyl (HBB) was used in the 1970s as a flame retardant, but is no longer used or produced according to available data.
- Lindane is an insecticide used to treat seeds and soil as well as foliage, trees, and wood. The chemical is also used for control of ectoparasites (parasites that do not enter the body but remain in or on the skin) such as fleas and lice. There are a few countries that still produce lindane, but its production has decreased.
- Pentachlorobenzene (PeCB) was used as a fungicide, flame retardant, and as a component in dielectric fluids. PeCB is produced as a byproduct of combustion in industrial and thermal processes. Although it is no longer being produced, there is still an abundance of PeCB in the environment.
- Perfluorooctane sulfonic acid and its salts and perfluorooctane sulfonyl fluoride (PFOS) are produced both intentionally and as a degradation byproduct of human-induced chemicals. PFOS has been found in electronic and electric parts, foam for fighting fires, photo imaging, hydraulic fluids, and textiles. PFOS chemicals are still in production in some countries.

The Convention on Long-range Transboundary Air Pollution has been extended by eight protocols, including the 1998 protocol on POPs. The third meeting of an ad-hoc group of experts on POPs was held in 2002. These scientists continue to research other chemicals that may qualify in the future as POPs.

As of 2010, technical review activities focused on the following chemicals:

- Endosulfan, an organochlorine compound, is used as an insecticide and has been shown to be toxic in animals as well as acting as an endocrine disruptor (a chemical capable of altering development by mimicking endogenous hormones). This compound also has produced neurotoxic effects in laboratory animals and mental retardation or death in farm workers handling the chemical. Endosulfan became available in the 1950s and is still a component in some agricultural products.
- Hexabromocyclododecane (HBCDD) is a solid white substance used as a flame retardant applied to insulation boards used in the construction of buildings and vehicles. Alternative substitutes are available to avoid the use and application of HBCDD. HBCDD studies have shown that it is toxic to aquatic

organisms and can affect the functioning of the liver and thyroid in mammals.

- Short-chain chlorinated paraffins (SCCP) are still being used in the rubber, leather, and metal-working industries, especially in China.

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- United Nations Environment Programme, Chemicals Division, 11–13 chemin des Anemones, 1219 Chatelaine, Geneva, Switzerland, (41) (22) 917-8191, (41) (22) 797-3460
- U.S Environmental Protection Agency, 1200 Pennsylvania Avenue NW, Washington, DC, USA, 20460, (202) 260–2090, <http://www.epa.gov>

Mark J. Crawford

## Pest

A pest is any organism that humans consider destructive or unwanted. Whether or not an organism is considered a pest can vary with time, geographical location, and individual attitude. For example, some

people like pigeons, whereas others regard them as pests. Some pests are merely an inconvenience. The most dangerous pests are those that carry disease or destroy crops. One direct way of controlling pests is by poisoning them with toxic chemicals (pesticides). A more environmentally sensitive approach is to find natural predators that can be used to control prey populations (biological controls).

## Pesticide

Pesticides are chemicals that are used to kill insects, weeds, and other organisms to protect humans, crops, and livestock. There have been many substantial benefits of the use of pesticides. The most important of these have been: (1) an increased production of food and fiber because of the protection of crop plants from pathogens, competition from weeds, defoliation by insects, and parasitism by nematodes; (2) the prevention of spoilage of harvested, stored foods; and (3) the

prevention of debilitating illnesses and the saving of human lives by the control of certain diseases.

Unfortunately, the considerable benefits of the use of pesticides are offset by some serious environmental damages. There have been rare, but far-reaching, incidents of toxicity to humans, as occurred in 1984 at Bhopal, India, where more than twenty-eight hundred people were killed and more than twenty thousand seriously injured by a large emission of poisonous methyl isocyanate ( $C_2H_3NO$ ) vapor, a chemical used in the production of an agricultural insecticide.

A more pervasive problem is the widespread environmental contamination by persistent pesticides, including the presence of chemical residues in wildlife, in well water, in produce, and even in humans. Ecological damages have included the poisoning of wildlife and the disruption of ecological processes such as productivity and nutrient cycling. Many of the worst cases of environmental damage were associated with the use of relatively persistent chemicals such as dichlorodiphenyltrichloroethane (DDT). Most modern pesticide use involves less-persistent chemicals.



A field of barley being sprayed with pesticides, Somerset, United Kingdom. (© Christopher Pillitz/In Pictures/Corbis)

Pesticides can be classified according to their intended pest target:

- fungicides protect crop plants and animals from fungal pathogens;
- herbicides kill weedy plants, decreasing the competition for desired crop plants;
- insecticides kill insect defoliators and vectors of deadly human diseases such as malaria, yellow fever, plague, and typhus;
- acaricides kill mites, which are pests in agriculture, and ticks, which can carry encephalitis of humans and domestic animals;
- molluscicides destroy snails and slugs, which can be pests of agriculture or in bodies of water and are vectors of human diseases such as schistosomiasis;
- nematocides kill nematodes, which can be parasites of the roots of crop plants;
- rodenticides control rats, mice, gophers, and other rodent pests of human habitation and agriculture;
- avicides kill birds, which can depredate agricultural fields;
- antibiotics treat bacterial infections of humans and domestic animals.

The most important categories of use of pesticides are in human health, agriculture, and forestry.

### Human health

In various parts of the world, species of insects and ticks play a critical role as vectors in the transmission of disease-causing pathogens of humans. The most important of these diseases and their vectors are: (1) malaria, caused by the protozoan *Plasmodium* and spread to humans by a mosquito (*Anopheles*) vector; (2) yellow fever and related viral diseases such as encephalitis, also spread by mosquitoes; (3) trypanosomiasis or sleeping sickness, caused by the protozoans, *Trypanosoma* species, and spread by the tsetse fly (*Glossina* species); (4) plague or black death, caused by the bacterium *Pasteurella pestis* and transmitted to people by a flea (*Xenopsylla cheops*), a parasite of rats; and (5) typhoid fever, caused by the bacterium *Rickettsia prowazeki* and transmitted to humans by the body louse *Pediculus humanus*.

The incidence of all of these diseases can be reduced by the judicious use of pesticides to control the abundance of their vectors. For example, in many cases the local abundance of mosquito vectors has been reduced by the application of insecticide to their aquatic breeding habitats, or by the application of a persistent insecticide to walls and ceilings of houses, which serve as a resting place for these insects. The use

of insecticides to reduce the abundance of the mosquito vectors of malaria has been especially successful, although in many areas this disease is now re-emerging because of the evolution of mosquitoes' tolerance to insecticides.

### Agriculture

Modern, technological agriculture employs pesticides for the control of weeds, arthropods, and plant diseases, all of which cause large losses of crops. In agriculture, arthropod pests are regarded as competitors with humans for a common food resource. Sometimes defoliation can result in a total loss of the economically harvestable agricultural yield, as in the case of acute infestations of locusts. More commonly defoliation causes a reduction in crop yields. In some cases insects may cause only trivial damage in terms of the quantity of biomass that they consume, but by causing cosmetic damage they can greatly reduce the economic value of the crop. For example, the codling moth (*Carpocapsa pomonella*) larvae do not consume much of the apple that they infest, but they cause great aesthetic damage by their presence and can render produce unsalable.

In agriculture, weeds are considered to be any plants that interfere with the productivity of crops by competing for light, water, and nutrients. To reduce the effects of weeds on agricultural productivity, fields may be sprayed with a herbicide that is toxic to the weeds but not to the crop plant. Because several herbicides are toxic to dicotyledonous weeds but not to members of the grass family, fields of maize, wheat, barley, rice, and other grass-crops are often treated with those herbicides to reduce weed populations.

Many diseases of agricultural plants can be controlled by the use of pesticides. Examples of important fungal diseases of crop plants that can be managed with appropriate fungicides include: (1) late blight of potato (*Phytophthora infestans*); (2) apple scab (caused by a fungus, *Venturia inaequalis*); and (3) *Pythium*-caused seed-rot, damping-off, and root-rot of many agricultural species.

### Forestry

In forestry the most important uses of pesticides are for the control of defoliation by epidemic insects and the reduction of weeds. If left uncontrolled, these pest problems could result in large decreases in the yield of merchantable timber. In the case of some insect infestations, particularly spruce budworm (*Choristoneura fumiferana*) and gypsy moth (*Lymantria dispar*), repeated defoliation can cause the death of

trees over a large area of forest. Most herbicide use in forestry is for the release of desired conifer species from the effects of competition with angiosperm herbs and shrubs. In most places the quantity of pesticide used in forestry is much smaller than that used in agriculture.

Pesticides can also be classified according to their similarity of chemical structure. The most important of these are:

- Inorganic pesticides, including compounds of arsenic (As), copper (Cu), lead (Pb), and mercury (Hg). Some prominent inorganic pesticides include Bordeaux mixture, a complex pesticide with several copper-based active ingredients, used as a fungicide for fruit and vegetable crops, and various arsenicals used as non-selective herbicides and soil sterilants and sometimes as insecticides.
- Organic pesticides are a chemically diverse group of chemicals. Some of these chemicals are produced naturally by plants, but the great majority of organic pesticides have been synthesized by chemists. Some prominent classes of organic pesticides are:
- Biological pesticides consist of bacteria, fungi, or viruses that are toxic to pests. One of the most widely used biological insecticide is a preparation manufactured from spores of the bacterium *Bacillus thuringiensis*, or Bt. Because this insecticide has a relatively specific activity against leaf-eating lepidopteran (moths and butterflies) pests and a few other insects such as blackflies and mosquitoes, its non-target effects are small.

The intended ecological effect of a pesticide application is to control a pest species, usually by reducing its abundance to an economically acceptable level. In a few situations, this objective can be attained without major non-target damage. However, whenever a pesticide is broadcast-sprayed over a field or forest, a wide variety of onsite, non-target organisms are affected. In addition some of the sprayed pesticide invariably drifts away from the intended site of deposition, and it lands on non-target organisms and in their ecosystems. The ecological importance of any damage caused to non-target, pesticide-sensitive organisms partly depends on their role in maintaining the integrity of their ecosystem. From the human perspective, however, the importance of a non-target pesticide effect is also influenced by specific economic and aesthetic considerations.

Some of the best known examples of ecological damage caused by pesticide use are the effects of DDT and other chlorinated hydrocarbons on predatory birds, marine mammals, and other wildlife. These chemicals

accumulate to large concentrations in predatory birds, affecting their reproduction and are sometimes lethal in adults. There have been high-profile, local and/or regional collapses of populations of peregrine falcon (*Falco peregrinus*), bald eagle (*Haliaeetus leucocephalus*), and other raptors, along with brown pelican (*Pelecanus occidentalis*), western grebe (*Aechmophorus occidentalis*), and other waterbirds. It was the detrimental effects on birds and other wildlife, coupled with the discovery of a pervasive presence of various chlorinated hydrocarbons in human tissues, that led to the banning of DDT in most industrialized countries in the early 1970s. These same chemicals are, however, still manufactured and used in some tropical countries.

Some of the pesticides that replaced DDT and its relatives also cause damage to wildlife. For example, the commonly used agricultural insecticide carbofuran has killed thousands of waterfowl and other birds that feed in treated fields. In late 2008 the only manufacturer of carbofuran in the U.S. requested that the EPA discontinue all permitted uses of the pesticide with the exception of six crops grown for seed production. Similarly, broadcast-spraying of the insecticides phosphamidon and fenitrothion to kill spruce budworm in infested forests in New Brunswick, Canada, has killed untold numbers of birds of many species.

These and other environmental effects of pesticide use are highly regrettable consequences of the broadcast-spraying of these toxic chemicals to cope with pest management problems. So far similarly effective alternatives to most uses of pesticides have not been discovered, although this is a vigorously active field of research. Researchers are in the process of discovering pest-specific methods of control that cause little non-target damage and in developing methods of integrated pest management (IPM). So far, however, not all pest problems can be dealt with in these ways, and there will be continued reliance on pesticides to prevent human and domestic-animal diseases and to protect agricultural and forestry crops from weeds, diseases, and depredations caused by pests.

Due to the adverse human and environment health effects associated with pesticides, sustainable and organic farming methods and produce are becoming more popular. Both organic farming and sustainable farming practices focus on the reduced or even eliminated use of chemicals in crop production. These methods employ IPM to reduce pests via mechanical and biological means. For instance, beneficial insects can be introduced into a crop area to control the pests having adverse effects on crop growth and development. Sustainable farming practices utilize soil cultivation or tilling as well as crop rotation to reduce undesirable pests

such as weeds and insects. Because many chemicals used as pesticides have been shown to have negative effects on organisms and ecosystems, a growing interest in farming methods that reduce the amount of introduced chemicals has developed.

See also 2,4-D; 2,4,5-T; Agent Orange; Agricultural chemicals; Agricultural pollution; Algicide; Chlordane; Cholinesterase inhibitor; Diazinon; Environmental health; Federal Insecticide, Fungicide and Rodenticide Act (1972); Kepone; Methylmercury seed dressings; Organochloride; Persistent compound; Pesticide Action Network; Pesticide residue.

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Bill Freedman

## Pesticide Action Network

The Pesticide Action Network is an international coalition of nongovernmental organizations (NGOs) that works to stop both pesticide misuse and the proliferation of pesticide use worldwide. The Pesticide Action Network North America Regional Center (PANNA) is the North American member.

PANNA serves as a clearinghouse to disseminate information to pesticide action groups and individuals in the United States and abroad. It promotes research on and implementation of alternatives to pesticide use in agriculture, such as integrated pest management programs. PANNA sponsors an extensive pesticide database and online issues referral and information service.

Formerly called the Pesticide Education Action Project, which was founded in 1982, PANNA conducts the Dirty Dozen Campaign to "replace the most notorious pesticides with sustainable, ecologically sound alternatives." Various materials published by PANNA include brochures, pamphlets, and books on United States pesticide problems and on global pesticide use. PANNA publishes the *Global Pesticide Campaigner* and *PANNA Outlook*.

The organization also publishes a number of books dealing with pesticides and pest control.

## Resources

### ORGANIZATIONS

- Pesticide Action Network North America, 49 Powell St., Suite 500, San Francisco, CA, USA, 94102, (415) 981-1771, (415) 981-1991, [panna@panna.org](mailto:panna@panna.org), <http://www.panna.org>

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## Pesticide residue

Chemical substances—pesticides and herbicides—that are used as weed and insect control on crops and animal feed leave a residue either on the surface or within the crop itself after treatment. The amounts of residue vary, but they do remain on the crop when it is ready for harvest and consumption.

Although these chemicals are generally registered for use on food crops, it does not necessarily mean they are safe or harmless. As part of its program to regulate the use of pesticides, the Environmental Protection Agency (EPA) sets maximum residue limits (MRLs) or tolerances, which are the maximum

amounts of pesticides that may remain in or on food and animal feed. MRLs are set at levels that are supposed to ensure that the public (including infants and children) is protected from unreasonable health risks posed by eating foods that have been treated.

MRLs are initially calculated by measuring the amount of pesticide that remains in or on a crop after it is treated with the pesticide at the proposed maximum allowable level. The EPA then calculates the possible risk posed by that proposed MRL to determine if it is acceptable.

In calculating risk the EPA estimates exposure based on the theoretical maximum residue concentration (TMRC) normally assuming three factors: that all of the crop is treated, that residues on the crop are all at the maximum level, and that all consumers eat a certain fixed percent of the commodity in their diet. This exposure calculation is then compared to an allowable daily intake (ADI) and calculated on the basis of the pesticide's inherent toxicity.

The ADI represents the level of exposure in animal tests in which there appears to be no significant toxicological effects. If the residue level for that crop—the TMRC—exceeds the ADI, then the MRL will not be granted, as the residue level of the pesticide on that crop is presumed unsafe. Conversely if the residue level is lower than the ADI, then the MRL would normally be approved. Exposure rates are based on assumptions regarding the amount of treated commodities that an average adult person, weighing 132 pounds (60 kg), eating an average diet would consume.

To set MRLs that are protective of human health, information about the anticipated amount of pesticide residues found on food, the toxic effects of these residues, and estimates of the types and amounts of foods that make up our diet must be obtained. The burden of proof is on the manufacturer who has a vested interest in getting EPA approval. A pesticide manufacturer begins the MRL-setting process by proposing a tolerance level, which is based on field trials reflecting the maximum residue that may occur as a result of the proposed use of the pesticide. The petitioner must provide food residue and toxicity studies to show that the proposed MRL would not pose an unreasonable health risk.

The Federal Food, Drug, and Cosmetic Act (FFDCA) requires that the manufacturer of any substances apply to the EPA for a tolerance or MRL to be allowed in or on food. Because of the way pesticide residues are defined and incorporated into the food additive provisions of the FFDCA, there are actually several kinds of MRLs, each subject to different decisional criteria. A given pesticide can thus have many

MRLs, both for use on different crops and for any one crop. For example, for each crop for which it is registered, a pesticide may need a raw MRL, a food additive MRL, and an animal feed MRL, each of which will be subject to particular decisional criteria.

The EPA sets MRLs on the basis of data the manufacturer is required to submit on the nature, level, and toxicity of a substance's residue. According to the EPA, one requirement is to supply the product chemistry data, which is information about the content of the pesticide products, including the concentration of the ingredients and any impurities. The EPA also requires information about how plants and animals metabolize (break down) pesticides to which they are exposed and whether residues of the metabolized pesticides are detectable in food or feed. Any products of pesticide metabolism, or metabolites, that may be significantly toxic are considered along with the pesticide itself in setting MRLs.

The EPA also requires field experiments for pesticides and their metabolites for each crop or crop group for which a MRL is requested. This required testing includes each type of raw food derived from the crop. Manufacturers must also provide information on residues found in many processed foods (such as raisins) and in animal products if animals are exposed to pesticides directly through their feed.

A pesticide's potential for causing adverse health effects, such as cancer, birth defects and other reproductive disorders, and adverse effects on the nervous system or other organs, is identified through a battery of tests. Tests are conducted for both short-term (acute) toxicity and long-term (chronic) toxicity. In several series of tests, laboratory animals are exposed to different doses of a pesticide, and EPA scientists evaluate the tests to find the highest level of exposure that did not cause any effect. This level is termed the No Observed Effect Level or NOEL.

Then, according to the EPA, the next step in the process is to estimate the amount of the pesticide to which the public may be exposed through the food supply. The EPA uses the Dietary Risk Evaluation System (DRES) to estimate the amount of the pesticide in the daily diet, which is based upon a national food consumption survey conducted by the U.S. Department of Agriculture (USDA). The USDA survey provides information about the diets of the overall American population and any number of subgroups, including several different ethnic groups, regional populations, and age groups such as infants and children.

Finally, for non-cancer risks, the EPA compares the estimated amount of pesticide in the daily diet to

the Reference Dose. If the DRES analysis indicates that the dose consumed in the diet by the general public or key subgroups exceeds the Reference Dose, then generally the EPA will not approve the MRL. For potential carcinogens, the EPA ordinarily will not approve the MRL if the dietary analysis indicates that exposure will cause more than a negligible risk of cancer.

Starting in 1987 the U.S. Food and Drug Administration (FDA) implemented a Pesticide Residue Monitoring Program. Currently, through this program, market baskets of about 300 food types are sampled and tested four times a year to assess pesticide residue levels. This testing ensures that the food supply is in compliance with pesticide use laws and allowable MRLs. The FDA's Total Diet Study program (TDS) provides incidence and pesticide level residue data for the annual reports. The reports continue to show that the food in the United States is in compliance with the EPA's pesticide residue limits and regulations.

There have been serious problems in carrying out the law. Although the law requires a thorough MRL review for chemical pesticides prior to registration for health and environmental impacts, the majority of pesticides currently on the market and in use today were registered before modern testing requirements were established. According to a 1987 report published by the National Research Council Board on Agriculture, "Many older pesticides do not have an adequate data base, as judged by current standards, particularly data about potential chronic health effects."

The 1972 amendments to the Federal Insecticide, Fungicide and Rodenticide Act (FIFRA) required a review—or registration—of all then-registered products according to contemporary standards. Originally the EPA was to complete the review of all registered products within three years, but this process broke down completely. In 1978, amendments were added to FIFRA that authorized the EPA to group together individual substances by active ingredients for an initial generic review of similar chemicals to identify data gaps and assessment needs. The amendments mandate that after this generic review, the EPA is to establish a registration standard for the active ingredient basis. Then the EPA must wait for additional data to establish specific requirements for each substance's use on differing crops. The EPA does not specifically address inert ingredients, although inert ingredients have been found to be as potentially toxic as the active ingredients.

The 1988 amendments extended the deadline for re-registration until 1997. By 2010, however, the EPA

only had completed residue data on less than 25 percent of pesticides used on foods, and fewer than ten products, as yet, had been registered. Thus, the backlog of substances awaiting re-registration is about 16,000–20,000 compounds, including, according to the General Accounting Office, more than 650 active ingredients. As a consequence most of these untested chemicals temporarily retain their registration status under the former testing requirements.

Once tested in accordance with contemporary standards, many of the older pesticides are likely to be identified as carcinogenic. In fact, the National Academy of Sciences estimates that 25–33 percent of the pesticides that undergo re-registration procedures are likely to be oncogenic (tumor-inducing). An oncogen causes tumors in laboratory animals and is considered potentially cancerous to humans. In general such older pesticides, registered prior to 1972, have not been tested adequately for oncogenicity. For a substance to be used on a new crop, however, current data must be submitted to obtain a MRL.

One way pesticide companies are able to completely skirt EPA regulations is to send pesticides that are suspended or banned in the United States to Third World countries. At least 25 percent of American pesticide exports are products that are banned, heavily restricted, or have never been registered for use in the United States. Hazardous pesticide exports are a major source of pollution in Third World countries where lack of regulation, illiteracy, and repressive working conditions can turn even a safe pesticide into a deadly weapon for the field workers. These pesticides are returned to the United States, unexamined, as residues in the imported food American consumers eat.

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Liane Clorfene Casten

PETA see **People for the Ethical Treatment of Animals**.

## Pet trade

Pets are part of human cultures all around the world. People keep animals to admire their beauty or to enjoy their companionship or devotion. The pet trade is big business throughout the world, but along with its positive aspects, there are distinct negative ones as well. As with any commodity, economics dictates that the rarer an item is, the more valuable it becomes in the market place. Many rare species thus become quite valuable to wealthy collectors, who are willing to pay exorbitant prices to black market dealers for certain species—even though they are protected by international treaties and laws.

Because these illegal pets must be smuggled into the market arenas, concealment almost always requires physical abuse of the animals. This may be in the form of constrictive bindings, overcrowded or confined spaces, exposure to extreme heat, or lack of oxygen due to these restrictions. Such treatment results in the death of many individuals during transit, with mortality that often approaches 80–90 percent. Therefore, collectors and smugglers must overcollect specimens to ensure the delivery of a sufficient quantity to realize a profit. Two groups, monkeys and parrots, have been the recipients of the bulk of this treatment. Due to their popularity as pets, there is a lucrative business in their illegal trade, and because the rarer species bring a higher price, these practices further threaten extinction of certain species.

This problem extends beyond the black market trade in birds and mammals. Other animal groups, as well as the legal pet trade, are also involved. Exotic reptiles—snakes and lizards in particular—are a target group. Legitimate pet dealers get involved in this problem when they, often unknowingly, purchase pets that were illegally caught or smuggled.

The aquarium trade has also faced illegal collecting. Overcollecting and poor handling of specimens during shipment have been problems in the freshwater segment of this business, but recent years have produced rampant abuses in the marine aquarium trade. Advances in technology over the past twenty years have made marine aquaria more accessible to the general public, and the sheer beauty of the brilliant, often neon-like, colors of many of the coral reef fish has fascinated a new breed of aquarists.

The main problems with the aquaria trade include the removal of large numbers of specimens of localized populations of rare or low density species and their illegal trade. New and profound problems exist in the capture of these marine creatures: the total destruction of their habitat and the killing of unwanted, non-commercial species. Although legitimate collecting of

marine fish is done with nets, it is estimated that during the 1980s about 80 percent of specimens for the marine aquarium trade were collected by using poison. Because most of the target species use coral reefs as hiding places, collectors use a squeeze bottle filled with sodium cyanide to force the fish out into the open water. The stunned, gasping fish are thus more easily caught, but the section of coral reef sprayed with poison, and the animals that did not escape, are killed. Lingering effects of the poison and abusive shipping practices lead to mortality rates of 60–80 percent for these potential pets.

Public awareness and some governmental regulations have eased some of the abuses of these animals, but the illegal pet trade is still a profitable business. As long as people are willing to purchase rare species, the illegal pet trade will threaten the very species the collectors hold in high esteem.

*See also* Endangered Species Act (1973); Overhunting; Parrots and parakeets; Poaching; Wildlife management.

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Eugene C. Beckham

## Peterson, Roger T.

1908–1996

American ornithologist

Roger Tory Peterson was an American naturalist, illustrator, and educator. His detailed paintings of birds inspired many to have a greater appreciation for the natural environment. He is now considered to have been one of the principle figures in the environmental movement that occurred during the twentieth century.

A small book, tucked away in innumerable backpacks and car pockets, ever ready to hand for perhaps the majority of birders in the United States, is quite likely to be Roger Tory Peterson's *A Field Guide to the Birds*, first published in 1934, revised and reissued several times, and still a must-have for many bird-watchers, serious or otherwise. In 1941 it was joined by a companion volume, *A Field Guide to Western Birds*. Together, the two guides (the first rejected by four publishers before Houghton Mifflin finally accepted it) had sold

about seven million copies by 1997. The critic William Zinser suggested that Peterson's *Field Guide* was the single most revolutionary development in American birding. He was the first to introduce simplified, comparative drawings and to point out key field marks (distinguishing characteristics) that help identification in the field. Later critics judged Peterson harshly for his last revision of the *Guide* as not knowing much about contemporary identification skills. Yet his work has and continues to inspire many people.

The man who has been called the modern successor to John James Audubon was born in Jamestown, New York, to a Swedish immigrant father and a German immigrant mother. Reportedly a loner, a boy considered strange by other children, Peterson came out of his shell when one of his teachers started a Junior Audubon Club. Birds became a passion for the eleven year old, and he sought a job as a newspaper delivery boy so that he could buy a camera to photograph birds. Some seven decades later, he was still making pictures of birds.

Peterson studied art rather than ornithology, and he considered himself first a painter, then a writer, and only third a naturalist. Yet such was his reputation and standing among people interested in natural history that the *New York Times* could announce his death as that of the best-known ornithologist of the twentieth century. His work reached so many people because it helped them become involved in knowing more about the world around them, and because it inspired them to actually get out in the field and get involved, Peterson might even deserve *Sports Afield's* label of the twentieth century's most influential naturalist. Academically trained or not, he spent his lifetime studying birds and in getting other people to join in that passion. His guides provided clear access to bird identification, even for the most rank amateur. Along with his many awards for art, he was also honored as a Fellow in the American Association for the Advancement of Science and was bestowed with a gold medal from the Royal Swedish Academy of Sciences.

After art school he taught at a boys' school in Massachusetts. The success of the first field guide allowed him to return to New York to a position as an educational specialist and art editor for *Audubon Magazine*. He remained associated with the National Audubon Society for the rest of his professional life, serving in various capacities as artist, writer, secretary, and two different terms as director.

His passion for nature centered on birds, certainly, but he also was enthusiastic for all of the

natural world, worked on many fronts to protect its diversity, and became an advocate for and teacher about managing the environment wisely. For example, he and his wife shared a love of flowers and created and maintained butterfly gardens; one of his best known field guides is for wildflowers. All told, he published almost fifty books, including his edition of *Audubon's Birds of America*, and wrote many articles on birds as well as on other topics in conservation and management of gardens and wildlands.

As stated in a *New York Times* editorial, Peterson did indeed become "a great naturalist and more. He was one of the pioneers in teaching twentieth-century Americans to walk more gently on their land."

Peterson died in 1996.

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Gerald L. Young

## Petrochemical

Petroleum is probably best known as a source for many important fuels, such as gasoline, kerosene, acetylene, and natural gas. However, many of the organic compounds that make up the complex mixture known as petroleum have other uses. Petroleum components are raw materials used in the production of a host synthetic products. These chemicals are known as petrochemicals. To a large extent, these petrochemicals are hydrocarbons. Some of these petrochemicals and the products into which they are made include ethylene (plastics, synthetic fibers, and anti-freeze), benzene (synthetic rubber, latex paints, and paper coatings), propylene (drugs and detergents), and phenol (adhesives, perfumes, flavorings, and pesticides).

See also Fossil fuels; Synthetic fuels; Volatile organic compound.

## Petroleum

Petroleum is a complex mixture of solid, liquid, and gaseous hydrocarbons normally found a few miles beneath the earth's surface. It, along with coal and natural gas, is one of the fossil fuels. That name comes from the most common scientific theory that these three materials were formed between 10 and 20 million years ago as a product of the decay of plants and animals. Petroleum is sometimes called crude oil, or simply oil, although some authorities give slightly different meanings to these terms.

Most commonly petroleum and natural gas occur together under dome-shaped layers of rock. When wells are drilled into such domes, gas pressure forces petroleum up into the well, producing the gushers that are typical of successful new wells.

Petroleum has been known and used by humans for thousands of years. Collecting the liquid that seeped to the surface, the Egyptians, Babylonians, and Native American Indians used petroleum for waterproofing, embalming, caulking ships, warpaint, and medicine. The first successful oil well in the United States was drilled in 1859 by "Colonel" Edwin Drake.

The number and variety of compounds found in petroleum are large, and its composition varies significantly from location to location. Petroleum from Pennsylvania tends to have a high proportion of aliphatic (open-chain) hydrocarbons, that from the West contains more aromatic (benzene-based) hydrocarbons, and that from the Midwest contains a more even mix of the two.

The most common impurities (non-hydrocarbon compounds) in petroleum are compounds of sulfur and nitrogen. The percentage of sulfur in a sample of petroleum determines its status as "sweet" (low-sulfur) or "sour" (high-sulfur) crude oil. One of the most undesirable products of the combustion of petroleum is sulfur dioxide. Oil with low sulfur is, therefore, more desirable than that with high sulfur.

The complex mix of compounds that make up petroleum gives it relatively few important uses in its natural state. It becomes an important product only when the native liquid is separated into its component parts. The fractional distillation of petroleum results in the production of petroleum ether, gasoline, kerosene, heating oil, lubricating oil, and a semi-solid residue that includes paraffin, pitch, and tar. Each of these fractions can then be utilized directly or subdivided further.

Few naturally occurring materials have as many environmental effects as does petroleum. During its removal from the earth, it may escape from wells and contaminate groundwater. The combustion of some of its most important components—gasoline, kerosene and fuel oil—results in the release of carbon dioxide, carbon monoxide, carbon, sulfur dioxide, and nitrogen oxides, all compounds that contribute to air pollution, global warming, or other environmental problems.

In recent decades petroleum has another major use—the production of petrochemicals. The compounds found in oil are now important starting materials in the manufacturing of a nearly limitless number of synthetic products. The manufacture, use, and disposal of these petrochemical products contributes to additional environmental problems, such as hazardous waste disposal and solid waste accumulation.

*See also* Decomposition; Emission standards; Greenhouse effect; Groundwater pollution; Oil drilling; Oil spills; Renewable energy.

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David E. Newton

## Pfiesteria

*Pfiesteria piscicida* (fee-STEER-ee-uh pis-kuh-SEED-uh) is a microscopic, polymorphic organism that belongs to a group of single-celled, free-swimming phytoplankton called dinoflagellates. Although many

phytoplankton photosynthesize to generate the energy they need to survive, *Pfiesteria* does not—it is more animal-like in that it consumes other organisms such as bacteria and algae. It can transform in and out of the following stages within a matter of minutes: flagellated, amoeboid, and cyst. In the flagellated stage *Pfiesteria* is powered by two tiny flagella that resemble tails. The cyst stage lies dormant in the bottom sediments of estuaries and rivers.

Two species are known to release toxins that are harmful to aquatic and human life: *Pfiesteria piscicida* and *Pfiesteria shumwayae*.

When it was discovered in 1988, *Pfiesteria* was declared to be a new family (Pfiesteriaceae), genus (*Pfiesteria*), and species (*piscicida*) in the order Dinamoebales. It was named in honor of Dr. Lois Pfiester, an internationally respected researcher of dinoflagellates. Since its discovery, it has been identified in mud cores from the bottom of Chesapeake Bay that are at least 3,000 years old.

Depending on its stage, *Pfiesteria piscicida*, the most studied *Pfiesteria* species, ranges in size from about 5–450 microns in diameter. It is typically found in the bottom sediments and water of brackish tidal rivers and estuaries, primarily along the eastern seaboard of the United States.

*Pfiesteria* is a remarkably hardy organism that can survive over a wide range of temperatures and water salinities. For most of its life cycle *Pfiesteria* is a nontoxic predator, feeding on bacteria, algae, and other small organisms. When schools of fish are present, *Pfiesteria* occasionally responds with a quick release of biotoxins into the water. These chemicals stun or kill the fish, which allow the *Pfiesteria* to feed on the injured skin, blood, and dying tissue.

These toxic episodes last for several hours before dissipating, sometimes resulting in large-scale fish kills, many of which plagued the Atlantic coastline in the late 1980s and 1990s. Fish kills attributed to *Pfiesteria* occurred in estuaries in the Albemarle–Pamlico estuarine system in North Carolina; Chesapeake Bay tributaries in Virginia; the Chicamacomico, Pokomoke, and Manokin Rivers and King’s Creek in Maryland; and Indian River in Delaware. *Pfiesteria* has been identified as far north as Long Island, New York, and as far west as the southern tip of Texas. It may also occur in Pacific waters along the California–Oregon–Washington coastline, but has not yet been identified because these waters have not been sampled.

Although *Pfiesteria* is technically not an algae, its fish kills are usually included under the category of

harmful algal blooms (HABs). This is because *Pfiesteria* shares many physiological and toxicological characteristics with the various algae species that cause algal blooms (red or brown tides), some of which are toxic to aquatic life.

Toxic strains of *Pfiesteria* have been identified along European, Scandinavian, New Zealand, and Australia coastlines. It likely occurs in other international waters that have not been tested for its presence. As the technology of detection improves, it is highly probable the global distribution of *Pfiesteria* will become better defined. However, the United States is the only country in the world that has experienced the serious deleterious effects of its toxins on aquatic life and human health.

When *Pfiesteria* detects fish oils or excretions in the water from a large school of fish, a biological toxic response may be triggered. The organisms change from the cyst stage (excystment) into the predator flagellate or amoeboid stages, which then release potent toxins into the water.

The toxins penetrate the skin of the fish, creating bleeding ulcers. The fish become lethargic or die. Their skin becomes so damaged that the fish cannot maintain their internal salt balance. The *Pfiesteria* organisms feed on the dead skin tissue, blood, and other fish fluids leaking into the water. Within several hours *Pfiesteria* transforms back to the cyst stage (encystment) and lays dormant in the bottom sediments. Fish kills usually occur in warmest times of the year and when the dissolved oxygen content of the water is low.

There has been a global increase in toxic and harmful algae blooms in the late twentieth century, including *Pfiesteria*. Modern technology increasingly allows for the rapid identification of the species causing the bloom or the fish kill. *Pfiesteria* attacks are becoming a growing problem because they have a negative impact on commercial fishing, recreation, and human health along the eastern seaboard.

Toxins can be present in the water or aerosolize into the air. Toxic episodes and fish kills close down sections of coastline, which impact the fish and shellfish industries. If fish aren’t killed directly by *Pfiesteria*, they are seriously weakened, which makes them susceptible to other predators or bacterial and fungal infections. Humans can suffer serious health problems from coming into contact with, or inhaling, these biotoxins. Effects to humans and aquatic life persist for six to eight weeks after the bloom disappears.

Two kinds of toxins have been discovered to date: a fat-soluble toxin that blisters skin and a water-soluble toxin that affects the nervous system. Injection of

*Pfiesteria* toxin has induced significant learning problems in laboratory rats. Symptoms in humans consist of lethargy, sores and rashes, eye sensitivity, headaches, blurred vision, gastrointestinal distress, nausea, memory loss, kidney and liver problems, shortness of breath, and fibromyalgia-like symptoms. Symptoms can recur for up to eight years after exposure. This condition, sometimes referred to as possible estuary-associated syndrome (PEAS) can be developed from exposure to *Pfiesteria* biotoxins, even if there is no evidence of fish kills or fish disease in the water.

Identifying a fish kill as being *Pfiesteria*-related (and taking subsequent actions, such as closing shorelines and issuing fish consumption warnings) can be difficult because *Pfiesteria* has twenty-four recognized morphological shapes at different growth stages. Scientists use light microscopes, bioassays, scanning electron microscopes, or polymerase chain reaction (PCR) methods to establish positive identifications.

Data suggest that *Pfiesteria* has a fairly wide global distribution, but only creates health problems under certain conditions, which seem to be best demonstrated in the coastal estuaries of the eastern and southern United States.

Research at North Carolina State University's Center of Applied Aquatic Ecology shows that *Pfiesteria* is stimulated by organic or inorganic nitrogen and phosphorus in the water—two key components of fertilizer. Carbon may also be a trigger substance. It has been documented that *Pfiesteria* outbreaks occur in areas that are downstream from septic tanks; sewage-plant discharges; agricultural waste such as excrement from cattle, swine, and poultry; and agricultural or landscaping chemical runoff. When these nutrients accumulate in slow-moving or stagnant sections of estuaries and mix with the incoming tidal water, *Pfiesteria* can become more active and toxic. Other stimulators may be airborne pollutants that settle in the water. An abundance of fish is also required. As yet it has not been determined if the nutrient-loading stimulates the growth of the algae on which *Pfiesteria* feeds or if directly stimulates *Pfiesteria*.

Federal organizations such as the U.S. Environmental Protection Agency (EPA), U.S. Department of Agriculture, U.S. Department of the Interior, National Oceanic and Atmospheric Administration (NOAA), Centers for Disease Control and Prevention (CDC), National Institute of Environmental Health Sciences (NIEHS), state departments of health or natural resources, and several prominent university research centers are continuing research into the life cycles of *Pfiesteria* and other *Pfiesteria*-like organisms, their

nutritional ecologies, and the trigger mechanisms that result in toxic episodes.

Researchers are also attempting to purify and study *Pfiesteria* biotoxins. When the actual toxin can be identified, it will be much easier to determine if fish kill/disease episodes are related to *Pfiesteria* attacks. Once the chemical signature of the toxin is identified, methods can be developed to test humans for exposure. Antitoxins or related drugs may also be developed. It is also possible that, when consumed or injected in prescribed dosages under certain conditions, *Pfiesteria* toxins may be useful medicines that reduce or alleviate other health conditions in humans.

As the global climate continued to change in 2010, the eastern seaboard of the United States was expected to be progressively influenced by the northward flow of the warming equatorial waters. Modeling studies conducted by scientists at institutions, including Woods Hole Oceanographic Institution, predicted a greater incidence of algal blooms during the remainder of the twenty-first century.

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Mark J. Crawford

PFOS see **Perfluorooctane sulfonate**.

## pH

The term pH refers to the concentration of hydrogen ions (H<sup>+</sup>) in a solution. An acidic environment is enriched in hydrogen ions, whereas a basic environment is relatively depleted of hydrogen ions. The hydrogen ion concentration can be determined empirically and expressed as the pH scale ranging from 0 to 14, with 1 being the most acidic and 14 being the most basic. Mathematically, pH is calculated as the negative logarithm of the hydrogen ion concentration. For example, the hydrogen ion concentration of distilled water is 10<sup>-7</sup> and hence pure water has a pH of 7. The pH scale is a logarithmic scale. That is, each division is



**Measuring acidity of rainwater samples.** Test tubes of normal rain (left) and acid rain (right) with universal indicator added to show acidity. The normal rainwater has a pH (a measure of acidity) of around 5, with the acid rain at 4. (Martyn F. Chillmaid/Photo Researchers, Inc.)

different from the adjacent divisions by a factor of ten. For example, a solution that has a pH of 5 is ten times as acidic as a solution with a pH of 6.

Microorganisms can tolerate a spectrum of pHs. However, an individual microbe usually has an internal pH that is close to that of distilled water. The surrounding cell membranes and external layers, such as the glycocalyx, contribute to buffering the cell from the different pH of the surrounding environment.

Some microorganisms are capable of modifying the pH of their environment. For example, bacteria that utilize the sugar glucose can produce lactic acid, which can lower the pH of the environment by up to two pH units. Another example is that of yeast. These microorganisms can actively pump hydrogen ions out of the cell into the environment, creating more acidic conditions. Acidic conditions can also result from the microbial utilization of a basic compound such as

ammonia. Conversely, some microorganisms can raise the pH by the release of ammonia.

Values above 7 indicate progressively greater alkalinity, while values below 7 indicate progressively increasing acidity. pH can be measured using litmus paper or a device termed a pH meter, which measures the activity of hydrogen ions in an aqueous solution. Litmus paper is filter paper containing dyes extracted from lichens that change color upon exposure to acidic or basic solutions, turning to shades of blue in basic solutions and red in acidic solutions. The shade of red or blue indicates the approximate pH of the solution based on a color scale. The natural pH of environmental systems frequently departs from pH 7, such that seawater is about pH 8, whereas rainfall in remote areas often tends to be close to pH 5.5. Acid rain contains nitric and sulfuric acids that can alter the pH of waterbodies, therefore affecting the aquatic organisms. The pH of human blood is somewhat basic at 7.4 and is commonly referred to as physiological pH.

*See also* Acid and base; Buffer.

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## Phosphates

Phosphorus is essential to the growth of biological organisms, including both their metabolic and photosynthetic processes. Phosphorus occurs naturally in bodies of water mainly in the form of phosphate (i.e., a compound of phosphorus and oxygen). Addition of phosphates through the activities of humans can accelerate the eutrophication process of nutrient enrichment that results in accelerated ecological aging of lakes and streams. Low levels of phosphorus, especially in inland waters, often limits the growth of aquatic plants. Thus when it is added to a body of water, it may result in increased plant growth that gradually fills in the lake. Critical levels of phosphorus in water—above which eutrophication is likely to be triggered—are approximately 0.03 mg/l of dissolved phosphorus and 0.1 mg/l of total phosphorus. The discharge of raw or treated wastewater, agricultural drainage, or certain industrial wastes that contain phosphates to a surface water body may result in a highly eutrophic state, in which the growth of

photosynthetic aquatic micro- and macroorganisms are stimulated to nuisance levels. Aquatic plants and mats of algal scum may cover the surface of the water. As these algal mats and aquatic plants die, they sink to the bottom, where their decomposition by microorganisms uses most of the oxygen dissolved in the water. The decrease in oxygen severely inhibits the growth of many aquatic organisms, especially more desirable fish (e.g., recreational catch fish such as trout) and in extreme cases may lead to massive fish kills. Excessive input of phosphorus can change clear, oxygen-rich, good-tasting water into cloudy, oxygen-poor, foul-smelling, and possibly toxic water. Therefore, control of the amount of phosphates entering surface waters from domestic and industrial waste discharges, natural runoff, and erosion may be required to prevent eutrophication.

Rocks may contain phosphates; those with calcium phosphate upon heat treatment with sulfuric acid serve as a source of fertilizers. Phosphates, in addition to those found in fertilizers, are also present in such consumer products as detergent, baking powders, toothpastes, cured meats, evaporated milk, soft drinks, processed cheeses, pharmaceuticals, and water softeners. Phosphates are classified as orthophosphates ( $\text{PO}_4^{-3}$ ,  $\text{HPO}_4^{-2}$ ,  $\text{H}_2\text{PO}_4^-$ , and  $\text{H}_3\text{PO}_4$ ); condensed phosphates, or polyphosphates, which are molecules with two or more phosphorus atoms, oxygen atoms and in some cases, hydrogen atoms, combined in a complex molecule; and organically-bound phosphates.

Orthophosphates in an aqueous solution can be used for biological metabolism without further breakdown. Orthophosphates applied to agricultural or residential cultivated land as fertilizers may be carried into surface waters with storm runoff and melting snow.

Polyphosphates can be added to water when it is used for laundering or cleaning; polyphosphates are a precursor ingredient to some commercial cleaning preparations for the public health sector. Massive algal blooms in lakes and floating foam on rivers aroused alarm in the United States in the 1970s. After it was shown that phosphates from detergents were a key factor, legislation banning the use of phosphates in home laundry detergents was passed in many areas. Phosphate legislation usually includes exemptions for products such as hard-surface cleaner and automatic dishwashing detergents used in the public health sector. Polyphosphates may also be added to water supplies during culinary water treatment and during treatment of boiler water. Polyphosphates slowly undergo hydrolysis in aqueous solutions and are converted to the orthophosphate forms.

Organic phosphates are formed primarily by biological processes. They enter sewage water through body wastes and food residues. They may also be formed from orthophosphates in biological treatment processes and by receiving water organisms. Like polyphosphates, they are biologically transformed back to orthophosphates.

One means of surface water protection from phosphorus addition in both domestic and industrial wastewaters is the use of phosphorus removal processes in wastewater treatment. Phosphates are typically present in raw wastewaters at concentrations near 10 mg/l as P. During wastewater treatment, about 10–30 percent of the phosphates in raw wastewater is utilized during secondary biological treatment for microbial cell synthesis and energy transport. Additional removal is required to achieve low effluent concentration levels from the wastewater treatment process. Effluent limits usually range from 0.1–2 milligrams per liter (mg/l) as P, with many established at 1.0 mg/l. Removal processes for phosphates from wastewaters incorporate them into suspended solids and subsequently remove those solids. Phosphates can be incorporated into chemical precipitates that are insoluble or of low solubility or into biological solids, (e.g, microorganisms).

Chemical precipitation is accomplished by the addition of metal salts or lime, with polymers often used as flocculant aids. The precipitation of phosphates from wastewater can occur during different phases within the wastewater treatment process. Pre-precipitation, where the chemicals are added to raw wastewater in primary sedimentation facilities, removes the precipitated phosphates with the primary sludge. In co-precipitation the chemicals are added during secondary treatment to the effluent from the primary sedimentation facilities to the mixed liquor in the activated-sludge process or to the effluent from a biological treatment process before secondary sedimentation. They are removed with the waste biological sludge. In post-precipitation the chemicals are added to the effluent from secondary sedimentation facilities and are removed in separate sedimentation facilities or in effluent filters.

The most commonly used metal salts are ferric chloride and aluminum sulfate (alum), which combine with phosphate to form the precipitates aluminum phosphate and iron phosphate, with one mole of iron or aluminum precipitating one mole of phosphate. However, because of competing reactions and the effects of alkalinity, pH, trace elements, and ligands found in wastewater, appropriate dosages are established on the basis of bench-scale or full-scale testing. Less commonly used metal salts are ferrous sulfate and

ferrous chloride, which are available as byproducts of steel production operations. Because polyphosphates and organic phosphorus are less easily removed than orthophosphates, adding metal salts after secondary treatment, where organic phosphorus and polyphosphates are transformed into orthophosphates, usually results in the best control.

Lime ( $\text{CaOH}_2$ ) is used less frequently because of the larger amounts of sludge produced as compared with the use of metal salts. Also, operation and maintenance problems are associated with the handling, storage, and feeding of lime. As lime is added to water, it reacts with natural bicarbonate alkalinity to precipitate  $\text{CaCO}_3$ . As the pH of the wastewater increases beyond about 10, excess calcium ions react with phosphates to precipitate hydroxylapatite,  $\text{Ca}_{10}(\text{PO}_4)_6(\text{OH})_2$ . The amount of lime required will be independent of the amount of phosphate present and will depend primarily on the alkalinity of the wastewater and the degree of phosphate removal required. The pH of the wastewater must be adjusted by recarbonation with carbon dioxide ( $\text{CO}_2$ ) before subsequent treatment or disposal. Lime can be added to primary sedimentation tanks or following secondary treatment.

Biological phosphate removal is accomplished by sequencing and producing appropriate environmental conditions in a reactor system in which microorganisms absorb and store phosphorus. The *Acinetobacter* bacteria are one of the primary microorganisms responsible for phosphorus uptake. The sludge containing the microorganisms with the excess phosphorus can either be wasted or removed and treated in a side stream to release the excess phosphate, which can then be treated with chemical precipitation processes. Wasted sludge, if it has a relatively high phosphorus content (3–5%), may have fertilizer value.

In natural treatment systems for wastewater, which include managed land-farms, landscape irrigation sites, groundwater recharge sites, and constructed wetlands, phosphates are removed by sorption with clay minerals in the soil matrix and by chemical precipitation. Sorbed phosphates are held tightly and are generally resistant to leaching until the soil sorptive capacity for phosphates becomes saturated. Chemical precipitation with calcium (in soils with neutral or alkaline pH) or with iron or aluminum (at acid pH) occurs at a slower rate than sorption, but is also an important removal mechanism. The degree of phosphorus removal in a natural treatment system depends on the degree of wastewater contact with the soil matrix.

Transport of phosphates into surface waters from nonpoint sources is controlled by practices that minimize soil erosion and runoff water. Phosphate losses from a watershed can be increased by a number of human activities, including timber harvest, intensive livestock grazing, soil tillage, and soil application of animal manures and phosphate-containing fertilizers. Because most soils, except for very sandy soils or soils with high levels of organic matter, retain phosphates through sorption and precipitation, techniques that prevent soil transport will also prevent transport of phosphates. Control of runoff water is required to prevent dissolved phosphates from entering rivers and lakes.

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Judith L. Sims

## Phosphorus

An important chemical element, which has the atomic number 15 and atomic weight 30.9738, phosphorus (P) forms the basis of a large number of compounds, by far the most environmentally important of which are phosphates. All plants and animals need phosphates for growth and function, so phosphorus is added to some agricultural soils. Phosphorous is one of three major ingredients in fertilizer. In many natural waters the production of algae and higher plants is limited by the low natural levels of phosphorus. As the amount of available phosphorus in an aquatic environment increases, plant and algal growth can increase dramatically, leading to eutrophication, which occurs when nutrient-rich waters lead to low levels of dissolved oxygen, impairing the health of aquatic organisms. The excess available nutrients lead to enhanced primary productivity causing algal blooms, which block sunlight and affect oxygen levels. In the past one of the major contributors to phosphorus, pollution was household detergents containing phosphates, termed builders. Builders in household

detergents account for as much as 50 percent of the phosphorus in municipal wastewater. These substances were banned from laundry detergent as of 1993, but are still ingredients in dish detergents. Some states such as Washington, Maryland, Pennsylvania, and Virginia initiated bans on phosphates in dish detergent. Other contributors to phosphorus pollution are sewage treatment plants and runoff from cattle feedlots. Animal feces contain significant amounts of phosphorus. Erosion of farmland treated with phosphorus fertilizers or animal manure also contributes to eutrophication and water pollution.

*See also* Cultural eutrophication.

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## Phosphorus removal

Phosphorus (usually in the form of phosphate,  $\text{PO}_4^{3-}$ ) is a normal part of the environment. It occurs in the form of phosphate-containing rocks and as the excretory and decay products of plants and animals. Human contributions to the phosphorus cycle result primarily from the use of phosphorus-containing detergents and fertilizers.

The increased load of phosphorus in the environment as a result of human activities has been a matter of concern for more than four decades. The primary issue has been to what extent additional phosphorus has contributed to the eutrophication of lakes, ponds, and other bodies of water. Scientists have long recognized that increasing levels of phosphorus are associated with eutrophication. But the evidence for a direct cause and effect relationship is not entirely clear. Eutrophication is a complex process involving nitrogen and carbon, as well as phosphorus. The role of each nutrient and the interaction among them is still not entirely clear.

In any case, environmental engineers have long explored methods for the removal of phosphorus from wastewater to reduce possible eutrophication effects. Primary and secondary treatment techniques are relatively inefficient in removing phosphorus with only about ten percent extracted from raw wastewater in each step. Thus, special procedures during the tertiary treatment stage are needed to remove the remaining phosphorus.

Two methods are generally available: biological and chemical. Bacteria formed in the activated sludge produced during secondary treatment have an unusually high tendency to adsorb phosphorus. If these bacteria are used in a tertiary treatment stage, therefore, they are very efficient in removing phosphorus from wastewater. The sludge produced by this bacterial action is rich in phosphorus and can be separated from the wastewater leaving water with a concentration of phosphorus only about five percent that of its original level.

The more popular method of phosphorus removal is chemical. A compound is selected that will react with phosphate in wastewater, forming an insoluble product that can then be filtered off. The two most common substances used for this process are alum, aluminum sulfate [ $\text{Al}_2(\text{SO}_4)_3$ ] and lime, or calcium hydroxide [ $\text{Ca}(\text{OH})_2$ ]. An alum treatment works in two different ways. Some aluminum sulfate reacts directly with phosphate in the wastewater to form insoluble aluminum phosphate. At the same time the aluminum ion hydrolyzes in water to form a thick, gelatinous precipitate of aluminum hydroxide that carries phosphate with it as it settles out of solution.

The addition of lime to wastewater results in the formation of another insoluble product, calcium hydroxyapatite, which also settles out of solution.

By determining the concentration of phosphorus in wastewater, these chemical treatments can be used very precisely. Exactly enough alum or lime can be added to precipitate out the phosphate in the water. Such treatments are normally effective in removing about 95 percent of all phosphorus originally present in a sample of wastewater.

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David E. Newton

## Photochemical reaction

Photochemical reactions are driven by light or near-visible electromagnetic radiation. In general, incoming units of energy, known as photons, excite effected molecules, raising their energy to a point where they can undergo reactions that would normally be exceedingly difficult. The process is distinguished from thermal reactions, which take place with molecules in their normal energy states. Under sunlit conditions, photochemical processes can generate small amounts of extremely reactive molecules that initiate important chemical reaction sequences.

To initiate a photochemical reaction, two requirements need to be met. First, the photon must have enough energy to initiate the photochemical reaction in the molecule. Second, the compound must be colored, to be able to react with visible or near-visible photon radiation.

In environmental chemistry, photochemical reactions are of considerable importance to the trace chemistry of the atmosphere. The Los Angeles photochemical smog is an example of a system of photochemical reactions. Perhaps one of the most important reactions in this system is the photochemically driven conversion of nitrogen dioxide, a major component of automobile exhaust, to nitric oxide and an atom of oxygen, which usually occur in pairs in the air. The oxygen atom subsequently attaches to an oxygen molecule to form the secondary pollutant, ozone. Photochemically initiated reactions are also responsible for generating the all important hydroxyl radical, a key intermediate in the trace chemistry of the lower atmosphere.

Photochemical reactions are also important in natural waters. Here they may be responsible for enhancing the reaction rate of organic compounds or changing the oxidation state of metallic ions in solution. However, some of these compounds are not colored, so they are unable to absorb light. In such cases reactions may be mediated by photosensitizers, compounds capable of being energized by absorbed light, which then pass the energy onto other molecules. Seawater appears to contain natural photosensitizers, perhaps in the form of fulvic acid or chlorophyll derivatives. Photosensitizers activated by sunlight can react with organic compounds or dissolved oxygen to produce the reactive singlet-oxygen, which can react rapidly with many seawater compounds.

Photochemical reactions also occur in solids. Because solids often lack the transparency required for the light to penetrate the surface, reactions are usually limited to the surface, where incoming photons initiate reactions in the top-most molecules. There is also some evidence that, under extremely bright desert sunlight, traces of nitrogen and water absorbed on titanium and zinc oxides can be photochemically converted to ammonia. Such processes are no doubt also important on planetary surfaces.

*See also* Air pollution; Air quality; Emission; Los Angeles Basin.

Peter Brimblecombe

## Photochemical smog

Photochemical smog forms in the lower atmosphere (troposphere) when sunlight hits high concentrations of nitrogen oxides (NO<sub>x</sub>) and volatile organic compounds (VOCs), which promotes a series of photochemical reactions that lead to the formation of ozone (O<sub>3</sub>) and a range of oxidized and nitrated organic compounds. The smog is a mixture of pollutants that turns brownish in color and creates a haze that lowers visibility toward the middle of the day as sunlight becomes intense. Nitrogen oxides and VOCs are formed by both natural and anthropogenic processes. Nitrogen oxides originate naturally through bushfires, lightning, and microbial nitrogen fixation in soil. VOCs are formed as products of evaporation from naturally occurring compounds. Anthropogenic sources of nitrogen oxides are emissions from combustion of fossil fuels in industrial sources and motor

vehicles. VOCs are formed from human activities through the incomplete combustion of fossil fuels, combustion of plant matter, and evaporation of solvents and fuel.

Photochemical smog, though typified by the atmosphere of the Los Angeles Basin, is now widely found in cities all over the world. Areas, such as valleys, where airflow and circulation are reduced can restrain pollutants in the atmosphere from dispersing and concentrating smog over those areas. Also situations in which colder air is trapped by an upper layer of warmer air can inhibit the movement of pollutants and lead to prolonged periods of smog. Health effects from smog include eye irritation and respiratory illnesses as well as a range of less distinct short- and long-term health effects. The U.S. Environmental Protection Agency (EPA) monitors pollutant concentrations and overall air quality, reporting these measurements as an Air Quality Index (AQI). The Clean Air Act sets the National Ambient Air Quality Standards (NAAQS), which are in place to maintain air quality for the benefit of human and environmental health. The AQI monitoring makes the EPA aware of air quality issues that require mitigation. Reducing the pollutants in the atmosphere that cause smog can alleviate the adverse effects of smog. Less reliance on fossil fuels and measures to reduce the emission of fossil fuels into the environment, such as catalytic converters on motor vehicles, are measures that are being implemented to decrease input of the chemicals that induce photochemical smog. The global warming brought about by climate change may enhance the formation of smog through increased VOC emissions from plants and increased incidence of lightning storms.

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## Photodegradable plastic

For their many advantages, plastics also create serious environmental problems. About one-third to one-half of all plastics now produced are used for short-term purposes such as shopping bags and wrapping material. These plastics are used once, briefly, and then discarded.

Current estimates are that plastic materials make up about 7 percent by weight and 18 percent by volume of all municipal wastes. Since most plastics do not degrade naturally, they will continue to be a part of the nation's (and the world's) solid waste problem for decades.

One solution to the accumulation of plastics is to do a better job of recycling them. Technical, economic, and social factors have limited the success of this approach so far, and no more than 1 percent of all discarded plastics are ever recycled. Another solution is to fabricate plastics in such a way that they will degrade. Addition of starch as a filler in plastics, for example, makes them more biodegradable, that is, degradable by naturally-occurring organisms.

Yet another approach is photodegradable plastics, plastics that will decompose when exposed to sunlight. The process of photodegradation is well understood by chemists. In general, when light strikes a molecule, it may initiate any number of reactions that result in the destruction of that molecule. For example, light may dislocate one or more electrons that make up a chemical bond in the molecule. When the bond is broken, the molecule is destroyed.

On a practical level, it means that light can cause certain materials to decompose. Among the plastics, aromatic-based polymers (those that have benzene-like ring structures) are particularly susceptible to photodegradation. Those that lack an aromatic structure are less susceptible to the effects of light. Polyethylene, polypropylene, polyvinyl chloride, polymethyl methacrylate, polyamides, and polystyrene—some of the most widely used plastics—fall into that latter category.

In addition to the type of plastic, the kind of light that falls on a material affects the rate of photodegradation. Ultraviolet (UV) light is more effective, in general, in degrading all plastics than are most other forms of light.

The chemist's task, then, is to find a mechanism for converting a substance that is normally non-photodegradable (such as polystyrene) into a form that is decomposed by light. In principle, that challenge is rather easily met. Certain metallic ions and organic groups are known to absorb visible light strongly. If these ions or groups are included in a plastic material,

their absorption of light will contribute to the decay of the plastic.

Photodegradable plastics are not a new market item. Webster Industries of Peabody, Massachusetts, has been making photodegradable plastic bags since 1978. But critics raise a number of points about photodegradable (as well as biodegradable) plastic materials. For one thing, most plastics are disposed of in sanitary landfills where they are rapidly covered with other materials. The critics question the good of having a photodegradable plastic that is not exposed to sunlight after it is discarded.

Questions have also been raised about the possible by-products of photodegradation. It is possible that the very additives used to make a plastic photodegradable may have toxic or other harmful environmental effects, critics say.

Finally, photodegradable plastics take so long to decay (typically two months to two years) that they still pose a hazard to wildlife and contribute to solid waste volume. The “false promise” of photodegradability may, in fact, actually encourage the use of more plastics and add to the present problem, rather than helping to solve it.

*See also* Decomposition; Green packaging; Green products; ultraviolet radiation.

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David E. Newton

## Photoperiod

The light phase in a cycle of alternating periods of light and dark. Changes in light-dark cycles, such as changes in daylight exposure, are the most dependable

external time cues (zeitgebers). The physiological response to the length of the day or night, known as photoperiodism, is mediated by an internal or biological clock that synchronizes daily activities with external light-dark cycles. Most species studied show a circadian or daily rhythm set to a twenty-four-hour cycle. Organisms also show long-term photoperiodism, where their seasonal activities, such as courtship, mating, reproduction, migration, flowering, and seed production, are coordinated with others in the population and within the community.

## Photosynthesis

Photosynthesis is the process by which green plants capture sunlight and convert its kinetic energy into chemical energy by manufacturing complex sugar molecules or carbohydrates. The plants use carbon dioxide from the air and water as the source materials for photosynthesis. Both carbon dioxide and water store relatively small amounts of energy. The carbohydrates manufactured are rich in energy. Later, during the process of respiration, the plant breaks down these carbohydrates, and the energy that is then released is used to fuel the growth and metabolism of the plant. The photosynthetic process also releases oxygen along with the formation of the carbohydrates. The water (H<sub>2</sub>O) that is used in the photosynthetic reaction contributes its hydrogen to the formation of the carbohydrate. The oxygen that is released comes from the remaining, unused portion of the water molecule. Therefore, water is just as essential a component as carbon dioxide to the photosynthetic process. The entire reaction is carried out with the help of green, light-sensitive pigments within the plant, known as chlorophylls.

Photosynthesis is vital to the earth in two ways. It is the process by which plant life is established, thereby providing food and supporting all the other consumers in the food chain/web. The release of oxygen also ensures a livable, breathable atmosphere for all other oxygen-dependent life forms. The maintenance of a stable balance between the processes of photosynthesis (which produces oxygen) and respiration (which consumes oxygen) is critical to the environment. For example, a large amount of organic matter goes into a lake receiving sewage. This organic matter will be used as a food source by bacteria, which break it down by the process of respiration just as humans do. During the respiration process, the oxygen that is dissolved in the water is used up. If there is insufficient plant

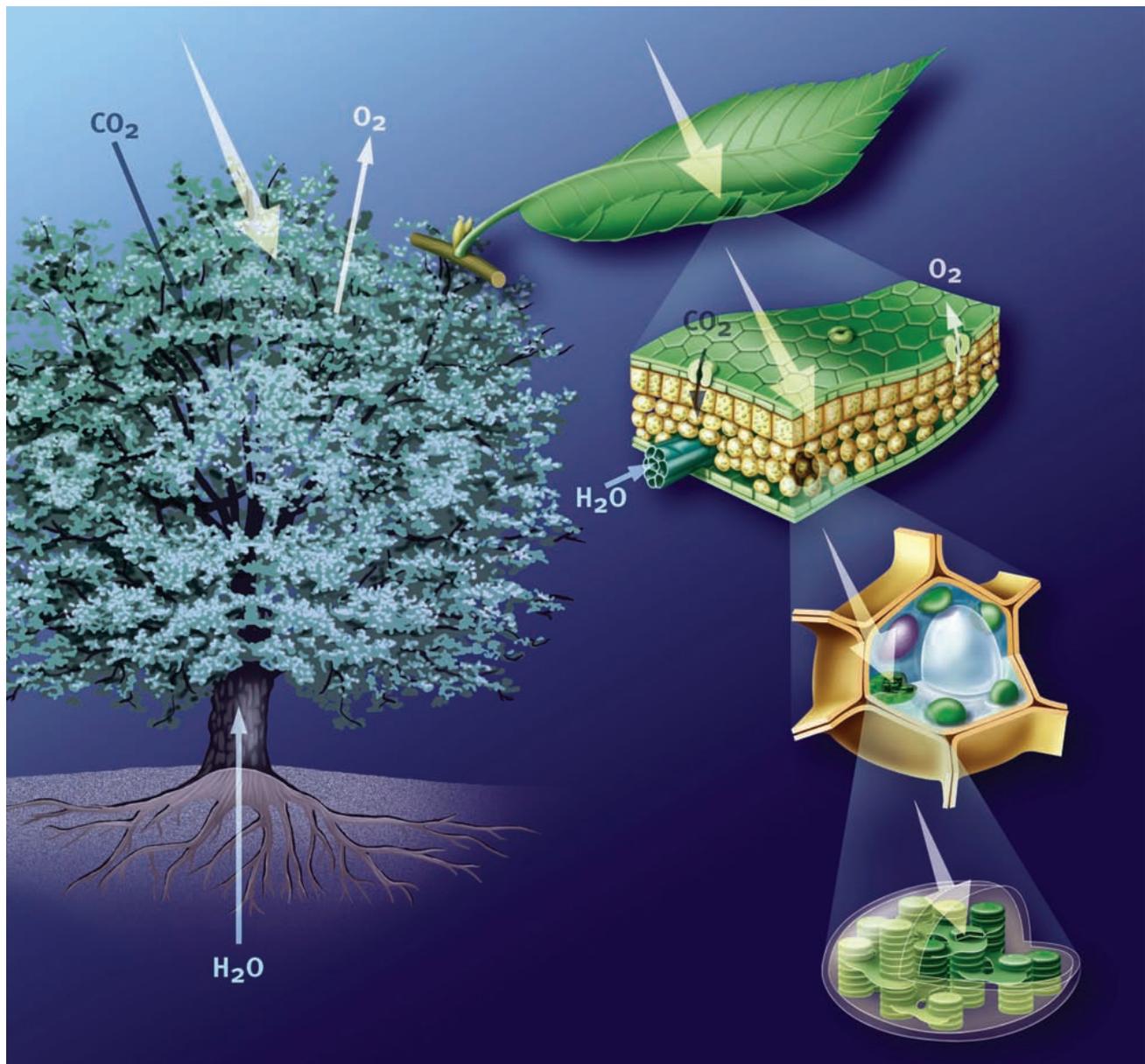


Diagram of a tree illustrating the process of photosynthesis, with close-ups of the various parts: the leaf, its blade, the plant cell, and the chloroplast. (BSIP / Photo Researchers, Inc.)

material in the lake to restore this used-up oxygen by photosynthesis, the total supply of dissolved oxygen in the waters of the lake may drop dangerously. Because fish are completely dependent on the dissolved oxygen for their breathing requirements, severe drops in the concentration of dissolved oxygen may kill the fish, leading to sudden, and sometimes massive, fish kills.

Another aspect of environmental pollution is the effect on the process of photosynthesis itself. A number of contaminants have been shown to affect plant growth and metabolism by inhibiting the plant's ability

to photosynthesize. Frequently, as in the case of metals such as copper, lead, and cadmium, the mechanism of inhibition is due to the contaminant's effect on the chlorophyll pigment. Copper replaces the necessary magnesium in the chlorophyll molecule, and the copper-substituted chlorophyll cannot effectively capture light energy. Therefore, the effectiveness of the photosynthetic process is greatly diminished, which leads to a stunting of plant growth and a depletion of oxygen in the environment. The maintenance of a healthy level of photosynthesis is thus essential to the life of the planet, both on the global and the micro-environmental scales.

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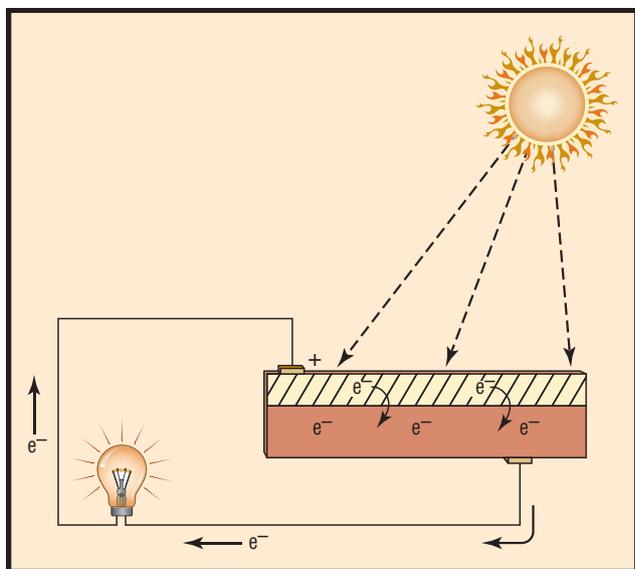
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Usha Vedagiri

## Photovoltaic cell

Photovoltaics (PV) are active solar systems that use flat electronic devices termed solar cells for direct conversion of a fraction of the energy in sunlight to electricity.

All energy on earth is received from the sun through its electromagnetic spectrum. At any one instant the sun delivers one thousand watts (kilowatt)



**The operation of a photovoltaic cell.** (Reproduced by permission of Gale, a part of Cengage Learning)

per square meter to the earth's surface. Most of this energy is absorbed as heat by the lithosphere (soil), hydrosphere (water), and atmosphere, but photovoltaic cells (PVCs) are capable of converting it into a non-polluting, ecologically sound, and dependable source of electrical power. Although the PV effect was discovered 150 years ago, economically viable applications were not possible until the recent development of efficient semiconductor material and processing methods.

The physics of converting sunlight into electricity is simple. Most PVCs are a standard negative/positive type with attached leads. The negative terminal lead is soldered on the light-sensitive side of the cell, and the positive lead is attached to the back side of the cell. When exposed to light, each cell produces about the same voltage between the two terminals. But if the cell is exposed to light when a load such as a discharged battery or an electric motor is connected between the two terminals, the voltage difference causes a flow of electrons. This current is caused by the formation of hole-electron pairs by the absorbed light photons, and the amount of current is dependent on the amount of absorbed light, which is in turn dependent on the incident light intensity and the surface area of the absorbing PVCs.

There are two main types of solar cells: thick-film cells with a thickness greater than twenty-five microns of crystalline silicon (Si) and thin-film cells with a thickness of less than ten microns. Thin-film cells are made of various materials, including amorphous silicon and copper indium diselenide (CuInSe<sub>2</sub>, CIS). By combining several varieties of these in tandem, each with unique absorbing characteristics, the solar flux can be more efficiently utilized. Because these cells use less material, they are less costly to produce and can replace thick-film cells.

Both thick-film and thin-film cells are classified by the materials from which they are made—as crystalline (a wafer sliced from a large ingot), amorphous (the condensed gaseous form of a semi-conductor material such as silicon), and polycrystalline. Material combinations known as compound semiconductors were investigated in the 1990s. These are cell materials whose active layers are comprised of various semiconductor materials, such as gallium arsenide (GaAs), copper sulfide (Cu<sub>2</sub>S), and cadmium telluride (CdTe).

To increase voltage, multiple cells are connected in a series by attaching the positive lead of one cell to the negative lead of another. The series most commonly used for both commercial and domestic applications is

known as a module and usually consists of thirty-six cells. One or more modules can be connected directly to a load, such as a battery, a water pump, or an exhaust fan. A typical PV system consists of the modules, a storage battery, a charge and voltage regulator, and a suitable load. Some compact high-performance modules are designed to charge twelve-volt batteries or directly power a twelve-volt DC motor.

PV modules can be installed on a standard ground mount or on a tracker. When installed on a standard ground mount, the modules can be adjusted from 15–65 degrees at 5 degree increments. Ground mounts can support two to eight modules. The tracker utilizes a variable thermal expansion of gas, due to the changing solar exposure, and actually follows the sun at approximately 15 degrees plus latitude value. To maximize efficiency several modules are mounted on a tracker supported by a single pole.

Decentralized single dwellings, cattle ranches and tree farms remote from electric power lines, and small villages with limited power demands are one of the three market segments where PVCs can be utilized competitively. The consumer and leisure market is another, and solar cells are already widely used in boats, motor homes, and campsites, as well as in calculators and other electronics. The third market is in industrial applications, such as offshore buoys, lighthouses, illuminated road signs, and railroad and traffic signals.

Growing concern about climate change has resulted in development of renewable energy sources and the generation of electricity with minimal or no greenhouse gas emissions. As part of the 2009 American Recovery and Reinvestment Act, the U.S. Department of Energy provided funds for further development of a solar energy grid integration system (SEGIS).

Converting sunlight into electricity with photovoltaic cells is a versatile and simple process. Unlike diesel or gasoline generators, all-weather modules have no moving parts to wear out or break down, and they produce electricity without contributing to air pollution and climate change. Solar cells do not produce any noise and do not require alternating-current power lines because PV electricity is direct current. Maintenance is minimal and requires little technical skill; systems are easy to expand, and there are no expensive fuels to purchase on a continuous basis.

Research continues to develop solar cells. An example is organic photovoltaics, in which solar cells are constructed on flexible plastic, allowing it to be transported in (or on) a backpack and even on awnings.

See also Alternative energy sources; Energy and the environment; Energy policy; Renewable energy.

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## Phthalates

Phthalates areromatic compounds that are found throughout the environment and are used in some plastics, solvents, detergents, lubricating oils, and cosmetics. There is concern that phthalates can cause cancer or reproductive problems in people and animals. In 1998 the U.S. Consumer Product Safety Commission (CPSC) conducted a study of the amount of one type of phthalate, diisononyl phthalate (DINP), that can leach from children's products, such as teething rings, rattles, and toys. This study set an international standard concentration for DINP in children's products that is ten times higher than the level found to cause health problems in laboratory animals. The results of a study released in 2000 by the Centers for Disease Control and Prevention (CDC) and the National Toxicology Program (NTP) found four types of phthalates in the urine of more than 75 percent of test subjects, indicating that the phthalates that humans are exposed to in the environment are metabolized. As of 2010 lingering concerns over health impacts prompted manufacturers to reduce or eliminate phthalates from an array of products intended for sale in the European Union (EU), United States, and Canada. Starting in 1999 the EU restricted use of some phthalates in children's toys. In 2009 the United States started enforcing provisions,

restricting use of some phthalates in children's toys or child care articles.

Marie H. Bundy

## Phytoplankton

Photosynthetic plankton, including microalgae, blue-green bacteria, and some true bacteria. These organisms are produced in surface waters of aquatic ecosystems to depths with minimal lighting. Sunlight is necessary because, like terrestrial plants, phytoplankton use solar radiation to convert carbon dioxide and water into organic molecules such as glucose. Phytoplankton form the base of nearly all aquatic food chain/webs, directly or indirectly supplying the energy needed by most aquatic protozoans and animals. Temperature, nutrient levels, light intensity, and consumers (grazers) are among the factors that influence phytoplankton community structure.

## Phytoremediation

Phytoremediation combines the Greek word *phyton* (plant) with the Latin word *remediare* (to remedy) to describe a system whereby certain plants, working together with soil organisms, can transform contaminants into harmless and often valuable forms. This practice is increasingly used to remediate sites contaminated with heavy metals and toxic compounds, including pesticides, oil, solvents, and even explosives.

Phytoremediation takes advantage of plants' nutrient utilization processes to take in water and nutrients through roots, transpire water through leaves, and act as a transformation system to metabolize organic compounds, such as oil and pesticides. Or they may absorb and bioaccumulate toxic trace elements, such as the heavy metals, lead, cadmium, and selenium. In some cases plants contain 1,000 times more metal than the soil in which they grow. Heavy metals are closely related to the elements plants use for growth.

Phytoremediation is an affordable technology that is most useful when contaminants are within the root zone of the plants (top 3–6 feet [1–2 meters]). For sites with contamination spread over a large area, phytoremediation may be the only economically feasible technology. The process is relatively inexpensive because it uses the same equipment and supplies used in agriculture.

Soil microorganisms can degrade organic contaminants. This is called bioremediation and has been used for many years both as an in-situ process and in land farming operations with soil removed from sites.

Plants can accelerate bioremediation in surface soils by their ability to stimulate soil microorganisms through the release of nutrients from and the transport of oxygen to their roots. The zone of soil closely associated with the plant root, the rhizosphere, has much higher numbers of metabolically active microorganisms than unplanted soil. The rhizosphere is a zone of increased microbial activity and biomass at the root-soil interface that is under the interface of the plant roots. It is this symbiotic relationship between soil microbes that is responsible for the accelerated degradation of soil contaminants.

The interaction between plants and microbial communities in the rhizosphere is complex and has evolved to the mutual benefit of both organisms. Plants sustain large microbial populations in the rhizosphere by secreting substances such as carbohydrates and amino acids through root cells and by sloughing root epidermal cells. Root cells also secrete mucigel, a gelatinous substance that is a lubricant for root penetration through the soil during growth. Using this supply of nutrients, soil microorganisms proliferate to form the plant rhizosphere.

In addition to this rhizosphere effect, plants themselves are able to passively take up a wide range of organic wastes from soil through their roots. One of the more important roles of soil microorganisms is the decomposition of organic residues with the release of plant nutrient elements such as carbon, nitrogen, potassium, phosphate, and sulfur. A significant amount of the carbon dioxide (CO<sub>2</sub>) in the atmosphere is utilized for organic matter synthesis primarily through photosynthesis. This transformation of carbon dioxide and the subsequent sequestering of the carbon as root biomass contributes to balancing the effect of burning fossil fuels on global warming or cooling.

Compounds are frequently transformed in the plant tissue into less toxic forms or sequestered and concentrated so they can be removed (harvested) with the plant. For example, hydroponically grown sunflowers were used to absorb radioactive metals near the Chernobyl nuclear site in the Ukraine after the 1986 nuclear accident. When trees such as poplars are used, the idea is to move as much water through them as possible, so that they take up as much of the contaminants as possible. Once the heavy metals are absorbed, they are sequestered in the trees' roots. Any organic compounds that are absorbed are metabolized.

Absorption of large amounts of nutrients by plants and only a small amount of plant toxins that might be harmful to them is the key factor. Plants generally absorb large amounts of elements they need for growth and only small amounts of toxic elements that could harm them. Therefore phytoremediation is a cost-effective alternative to conventional remediation methods. Cleaning the top 6 inches (15 cm) of contaminated soil with phytoremediation costs an estimated \$2,500–\$15,000 per hectare (2.5 acres), compared to \$7,500–\$20,000 per hectare for onsite microbial remediation. If the soil is moved, the costs escalate, but phytoremediation costs are still far below those of traditional remediation methods, such as stripping the contaminants from the soil using physical, chemical, or thermal processes.

Plants are effective at remediating soils contaminated with organic chemical wastes, such as solvents, petrochemicals, wood preservatives, explosives, and pesticides. The conventional technology for soil cleanup is to remove the soil and isolate it in a hazardous waste landfill or incinerate it.

Salt-tolerant plants, called halophytes, reduced the salt levels in soils by 65 percent in only two years in a project involving brine-damaged land from oil and gas production runoff in Oklahoma. After the salt was reduced, the halophytes died and native grasses, which failed to thrive when too much salt entered the soil, naturally returned, replacing the salt-converting plants.

The establishment of vegetation on a site also reduces soil erosion by wind and water, which helps to prevent the spread of contaminants and reduces exposure of humans and animals.

Classes of organic compounds that are more rapidly degraded in rhizosphere soil than in unplanted soil include total petroleum hydrocarbons, polycyclic aromatic hydrocarbons, chlorinated pesticides (PCP, 2,4-D), other chlorinated compounds (PCBs, TCE), explosives (TNT, DNT), organophosphate insecticides (diazanone and parathion), and surfactants (detergents).

Some plants used for phytoremediation are alfalfa, which is symbiotic with hydrocarbon-degrading bacteria; arabisopsis, which carries a bacterial gene that transforms mercury into a gaseous state; bladder campion, which accumulates zinc and copper; brassica juncea (Indian mustard greens), which accumulates selenium, sulfur, lead, chromium, cadmium, nickel, zinc, and copper; buxaceae (boxwood), which accumulates nickel; compositae family (symbiotic with arthrobacter bacteria), which accumulates cesium and strontium; euphorbiaceae (succulent), which accumulates nickel; ordinary tomato, which accumulates lead, zinc, and copper;

poplar, used in the absorption of the pesticide, atrazine; and thlaspi caerulescens (alpine pennycress), which accumulates zinc and cadmium.

In 2010, research continued to use techniques of genetic engineering to design plants that were tolerant to ever-higher concentrations of the target toxic compound.

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Carol Steinfeld

## Phytotoxicity

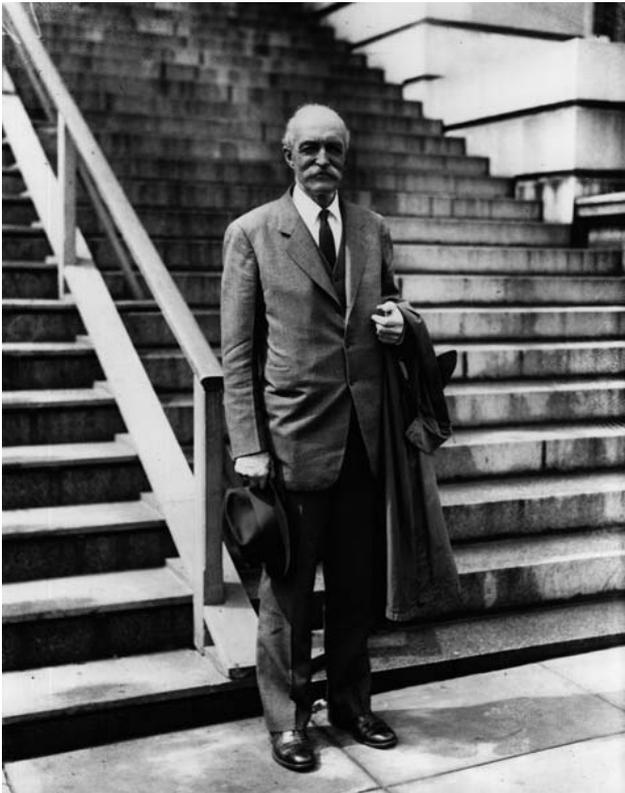
Phytotoxicity refers to the damage to plants caused by exposure to a toxic stressor. Phytotoxicity can manifest as an acute injury (e.g., observably damaged necrotic tissues). Chronic phytotoxicity does not present such obvious symptoms and may result in such “hidden injuries” as a decrease in productivity or a change in growth form. Plants can be poisoned by naturally occurring toxins, such as nickel in serpentine-influenced soils. Humans also release phytotoxic chemicals into the environment. Such emissions occur when, for example, gaseous and/or metal pollutants around smelters damage vegetation. Deliberate use of phytotoxic chemicals includes the use of herbicides in agriculture or forestry to decrease the unwanted plants or weed species populations.

## Pinchot, Gifford

1865–1946

American conservationist and forester

Pinchot was born in Simsbury, Connecticut, to a prosperous business and industrial family, part of whose wealth came from timber holdings in several



Gifford Pinchot. (Archive/Corbis-Bettmann)

states. The Pinchots, like other lumber investors of their day, practiced clear-cutting forests to maximize their profits, shipped the logs to market, and with the returns, repeated the cycle. Young Gifford was pressured by his grandfather to enter the family business, but his father James was beginning to dislike the deforestation of his area, and he encouraged his son to pursue forestry.

Pinchot was educated at Exeter and then Yale, graduating in 1889. After he graduated, his family supported his further education at L'École nationale forestière in Nancy, France, where he studied silviculture, or forest ecology. It was in Nancy that he learned “*le coup d’œil forestier*—the forester’s eye, which sees what it looks at in the woods.” In his 1947 book, *Breaking New Ground*, Pinchot noted that it was in France where he began to think of the forest as a crop, that forests could sustain human use by a fixed and annual supply of trees ready for harvest.

Returning to the United States in 1892, Pinchot engaged in what was the first scientific study of a forest in the United States, on the Vanderbilt estate in North Carolina, hoping to prove that trees could be cut and the forest simultaneously preserved. Pinchot figured

out how to sustain the forest while maintaining its yield and income. He called his first successful year “a balance on the side of practical forestry.”

Pinchot then worked as a consulting forester in New York City, where he attracted increasing attention for his ideas. The National Academy of Science appointed him to the new National Forest Commission, organized by the U.S. Department of the Interior. On the commission’s recommendation, President Grover Cleveland added thirteen more forest reserves totaling 21 million acres (8.5 million ha). Pinchot, voicing a minority opinion on the commission, saw the reserves as property for public use, not as a way to lock up the nation’s natural resources.

In 1898 Pinchot became head of the U.S. Department of Agriculture’s Division of Forestry. The division did not operate the nation’s forest reserves, which were then administered by the Department of the Interior’s General Land Office. Pinchot lobbied hard to have the reserves transferred to his division, but his efforts were unsuccessful until William McKinley’s assassination moved Theodore Roosevelt into the White House. Pinchot and Roosevelt together mustered enough support in Congress to approve the transfer to the renamed Bureau of Forestry in 1905, marking the beginning of the national forest system and what became the U.S. Forest Service.

Pinchot’s long-time collaboration with Roosevelt was one of the benchmarks of the conservation movement, which culminated in the creation of what were termed midnight forests. These 16 million acres of forest were set aside as reserves late in the night before a Congressional bill to limit the president’s power to do so took effect. After Roosevelt left office, Pinchot’s association with President William Howard Taft soured quickly, climaxing in a feud with Richard Ballinger, Taft’s Secretary of the Interior and ending in 1910, when Pinchot was fired by the president.

Despite leaving before he had accomplished all that he wished, Pinchot left his mark on the Forest Service. Ironically, modern environmentalists often criticize both Pinchot and the Forest Service for their utilitarian policies. Yet this early conservationist was one of first to protect national forest lands from the industrial powers who sought to destroy them completely.

Even though Pinchot died in 1946, he still generates controversy. Most writers trace the conflict between conservationists and preservationists to his falling-out with John Muir over the Hetch Hetchy Reservoir in California. Pinchot, with his pragmatic approach, argued that the river valley served the

greatest public good as a dependable source of water for the people of San Francisco. Muir maintained that Hetch Hetchy was sacred and too beautiful to flood. He also believed the dam would betray the national park ideal, because Hetch Hetchy was in Yosemite National Park.

As he grew older, Pinchot did become less utilitarian, creating what he called the “new conservationism,” but even his approach was an extension of his long-held conviction that the forests of the nation belonged first to the people, to be used by them wisely and in perpetuity. To Pinchot, conservation meant what he described as “the greatest good to the greatest number for the longest time.” Pinchot’s beliefs, which have been revived in recent years, make sense both ecologically and economically. He claimed that “the central thing for which Conservation stands is to make this country the best possible place to live in, both for us and our descendants.”

Pinchot was active on many fronts of the struggle for conservation. The Society of American Foresters, a professional association and major force in resource management and conservation today was created in 1900, due in part to his efforts. He served two terms as governor of Pennsylvania (1923–1927; 1931–1935), and his efforts to relieve the effects of the Great Depression led to the creation of emergency work camps there, providing a model for the Civilian Conservation Corps started by President Franklin Roosevelt.

Pinchot recognized that people *must* live off the resources of land where they live. In *The Fight for Conservation* (1910), he stated bluntly that “the first principle of conservation is development, the use of the natural resources now existing on the continent for the benefit of the people who live here now.” But, he also recognized that use of resources could quickly turn into abuse of resources, especially by exploitation for the few. He believed that destruction of forest resources was detrimental to the environment and to the many who depended on it.

Pinchot’s ideas and his national forests are still with us today. His concern with ensuring the greatest totality of land use produced the wilderness that is preserved, but accessible, and forest that is logged and renewed. Pinchot, a giant of the conservation and the environmental movements, leaves his legacy of a vast forest domain that belongs to the American people.

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Gerald L. Young

PIRG see **U.S. Public Interest Research Group.**

## Placer mining

Placer deposits are collections of a mineral existing in discrete particles, mixed with sand, gravel, and other forms of eroded rock. Some of the minerals most commonly found in placer deposits are diamond, gold, platinum, magnetite, rutile, monazite, and cassiterite. These deposits are formed by the action of wind, water, and chemical changes on more massive beds of the mineral. Placer deposits can be classified according to the method by which they are produced. Some examples include stream placers, eolian placers (formed by the action of winds), beach placers, and moraine placers (formed by glacial action).

Placer deposits are important sources of some minerals that are more dense than the sand or gravel around them. For example, the gold in a placer deposit tends to settle out and accumulate in a stream as surrounding materials wash away.

With few exceptions the mining of placer deposits is carried out by traditional surface (compared to underground) mining. Four general methods are in use. The oldest and perhaps most familiar to the general public is the hand method. Placerville, California, commemorates by its name the type of mining that was done during the Gold Rush of 1849. As the worker rocks his or her box or pans back and forth, clay and sand are washed away, and the heavier gold (or other minerals) settles to the bottom. This method is less used today because deposits where it works best have been largely depleted.

Artificial streams of water are used in a second method of placer mining—hydraulic mining. In this process intense streams of water are directed with large hoses at a placer deposit. The water washes away the overlying sand and clay, leaving behind the desired mineral.

A number of variations of this procedure exists. In some cases, a natural stream is diverted from its course and directed against a placer deposit. The action of the

stream substitutes for that of a hose in the traditional hydraulic method. In another variation a stream may be dammed rather than diverted. When the dam is broken, the pent-up water is released all at once with a force that can tear apart a placer deposit. This technique is known as booming a deposit, and, although it is no longer used in the United States, the method is used around the world.

An increasingly popular approach to placer mining makes use of familiar surface mining machinery to extract coal and other minerals. This machinery is often very large and efficient. It removes overburden, extracts mineral-containing earths with streams of water and then separates and processes the extracted material by gravity methods.

A fourth method of mining is used with deposits underwater, as in a lake or along the seashore. In this process a large machine somewhat similar to a surface mining excavator is placed on a barge. The machine then scoops materials off the lake, stream, or ocean bottom and carries them to a processing area on another part of the barge. This type of dredging machine might be thought of as a very large, mechanized version of the old prospector's gold pan and rocker box.

Placer mining poses two kinds of environmental problems. The first is one that is common to all types of surface mining, the disturbance of land. Federal law now requires that land disturbed by surface mining such as placer mining be restored to a condition that approximates its original state.

The extensive use of water during placer mining is a second source of concern. By its nature placer mining produces huge flows of water that have become contaminated with mud, sand, and other suspended solids. As this water is dumped into natural waterways, these solids may damage aquatic plant and animal life and, as they settle out, create new navigational hazards. In the United States the Clean Water Acts and other environmental laws carry provisions to reduce the potential harm posed by placer mining.

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David E. Newton

## Plague

For centuries past, plague was the scourge of the earth, killing an estimated minimum of 163 million people during three major worldwide pandemics. Plague still exists in the world, although the number of cases and deaths is much lower. Plague still infects several thousand people a year and kills several hundred. Most cases are in southern Africa, Asia, and central South America.

Plague is an infectious disease that affects animals and humans. It is caused by the bacterium *Yersinia pestis* and is usually found in rodents and their fleas. When released into the air, the bacterium can survive for up to an hour. People usually contract the disease after being bitten by an infected flea or after handling an infected animal. To reduce the risk of death, antibiotics must be given within twenty-four hours after the first symptoms occur.

There are three types of plague, and they can occur separately or in combination. The types are:

- Bubonic plague, the most common form of the disease. It does not spread from person to person but is transmitted when an infected flea bites a person or when material contaminated with the bacterium enters through a cut in a person's skin. Symptoms include swollen lymph glands, fever, headache, chills, and weakness.
- Pneumonic plague, which infects a person through the lungs. It can be spread in the air from person to person. Symptoms include fever, headache, weakness, shortness of breath, chest pain, cough, and pneumonia.
- Septicemic plague, which occurs when plague bacteria multiply in the blood. It can occur by itself or be a complication of pneumonic or bubonic plague. It is not spread from person to person. Symptoms include fever, chills, exhaustion, abdominal pain, shock, and bleeding into the skin and other organs.

To reduce the chance of death, people with plague must receive antibiotics within twenty-four hours after

the first symptoms appear. Effective antibiotics include streptomycin, gentamicin, and tetracycline. Early treatment allows most people with plague to be cured if diagnosed in time. There is no vaccine against plague available.

From 1954 to 2006 there were roughly 100,000 reported plague cases in nearly forty countries worldwide, according to the World Health Organization (WHO). The Centers for Disease Control and Prevention (CDC) has stated that the number of plague cases worldwide each year is somewhere in the vicinity of 1,000 to 2,000. However, these numbers likely represent only about a third of the actual number of cases, due to inadequate surveillance and reporting methods. Although the vast majority of plague cases each year occur in less-developed countries, plague can still occur in developed nations, such as the United States; for instance, the CDC reported a plague outbreak in 2006 in the four U.S. states of California, Colorado, New Mexico, and Texas that infected thirteen people, two of whom died from the disease.

Plague has been around since the dawn of civilization and probably existed in animals before humans inhabited the earth. The first recorded plague epidemic occurred in 1320 BCE and is described by its symptoms in the Bible (I Samuel, V and VI). It is not known how many people were infected. The first known pandemic, or severe worldwide epidemic, occurred in Asia, Africa, and Europe between 542 and 546 CE. It was named Justinian's plague, after the emperor of the Byzantine Empire at the time, and killed an estimated 50 million to 100 million people.

The first pandemic is believed to have started in Egypt, spreading north through the eastern Mediterranean. The plague struck at a critical time. In Constantinople, the capital of the Byzantine empire, Justinian and his generals were in the midst of battles to rejoin Byzantium to the remains of Western Roman Empire. Justinian's dream was to reestablish the former Roman Empire, and he might have succeeded if not for the plague. Procopius, a historian living in Constantinople at the time, vividly described the plague and its effects. His writings also include an accurate description of what would later be called the bubonic plague. He wrote about agitated, feverish disease victims with painfully enlarged lymph nodes (buboes) under their arms and in their groins. According to Procopius, 300,000 people died within the city alone. It is impossible to verify whether that figure is accurate, but it is certain that the plague had a dramatic effect on history. Justinian's plans to reestablish the Roman Empire were very likely derailed because of the loss of manpower. In the

west the remnants of the Western Roman Empire collapsed into the Dark Ages.

Following the initial outbreak in 542, the plague disappeared and reappeared at intervals over the next 200 years. The years 542 to 600 were the most intense plagues years, but local epidemics flared up throughout the Mediterranean region through the mid-eighth century. The population of Europe wasn't able to recover between outbreaks, and some historians estimate that the population dropped by half between 542 and 700. After the late-eighth century, plague disappeared from Europe for nearly 600 years.

The second pandemic was the infamous "Black Death" from 1347 to 1350 CE. It killed from 25 million to 50 million people, half in Asia and Africa and half in Europe (a quarter of the population). Its entry into Europe was through Kaffa, a small Italian trading colony on the shore of the Black Sea in Crimea. Kaffa was besieged by an army, and, during the siege, plague broke out among the soldiers. According to some sources, the soldiers threw the corpses of those who died over the town walls to spread plague among the men defending the town. Whether that caused plague to break out in the town is unknown, but the defenders were afflicted. They managed to get to their boats and flee to Italy, unknowingly carrying the disease with them.

By late 1347 plague was widespread in the Mediterranean region, and in 1348 it spread throughout Italy, France, and England. The Middle East and the Far East were also severely affected. Medieval physicians were at a loss to explain the disease. Some claimed it was due to person-to-person infection, while others said it arose from a poisonous atmosphere. Other explanations put forth by panicked citizens blamed astrological influences, divine punishment, and the Jewish community. Tens of thousands of Jewish citizens were burned in Spain, Germany, Switzerland, and France despite protests by Pope Clement VI, Emperor Charles IV, and medical experts who said that they were innocent. However, the authorities were powerless to stop the spread of plague, and they were not believed. Quarantines of plague victims were ordered, but they were largely ineffective.

The Black Death was the beginning of a number of plague outbreaks that ravaged Europe and Africa in subsequent centuries. In England alone, there were plague outbreaks in 1361, 1369, 1390, 1413, 1434, 1439, and 1464. Additional outbreaks occurred in Scotland in 1401–1403, 1430–1432, and 1455. The epidemics cut England's population of about seven million in half between 1300 to 1380. The last major outbreaks of the Black Death pandemic occurred in London in 1665–

1666 and in Marseilles in 1720–1722. Each of these outbreaks resulted in approximately 100,000 deaths.

In a controversial 2001 book, *Biology of Plagues*, authors and epidemiologists Susan Scott and Christopher Duncan write they believe the plague of 1347–1351 was not caused by the bubonic plague, but rather by a still unknown disease. They say the quick spread of the disease and the fact that bubonic plague is not transmitted person to person, as the Black Death was, points to another cause. Another possibility, however, is that the ship trade of that time would have allowed for disease-infested rats to move from location to location rapidly and thus, spread the disease quickly through various populations.

The world's third plague pandemic began in 1894 in China and Hong Kong. Called the Modern Pandemic, it quickly spread throughout the world, carried by rats aboard steamships. From 1894 through 1903, plague-infested ships had brought the disease to seventy-seven ports in Asia, Africa, Europe, North America, Australia, and South America. In India alone, plague killed about 13 million people. In the year the pandemic started, scientists discovered the cause of the disease and established that rats and their fleas were the carriers.

According to most sources, the Modern Pandemic continues to the present time. Epidemics linked to the Modern Pandemic were reported in San Francisco, New Orleans, and other coastal cities throughout the world and millions died. The Modern Pandemic also became established in areas that previously had been plague free, including North America, South America, and southern Africa. With the advent of antibiotics and the understanding of how plague spreads, the Modern Pandemic was contained, so the plague no longer claims millions of victims. However, health authorities remain vigilant, because the plague has not been eradicated.

At the turn of the twentieth century, plague outbreaks twice hit San Francisco. The first outbreak was from 1900 to 1904, and the second from 1907 to 1909. Several hundred infections were confirmed, but health officials believe hundreds of cases went unreported. More recently in the summer of 2009, an outbreak of bubonic plague occurred in remote western China, amongst a mostly Tibetan population, infecting many people and resulting in at least three deaths.

The decrease in the incidence of plague is due primarily to improved living standards and health services in many underdeveloped nations. In India, plague epidemics have stopped altogether. The reasons for this are not clear, according to WHO scientists. The government of India, however, has taken a number of stringent measures to prevent spread of the

disease. These include mandatory screening at airports of every airline passenger intending to travel abroad for signs and symptoms of plague and fumigation of aircraft, including both the passenger cabin and the cargo hold. Additionally, all aircraft are inspected for the presence of rats. At all major sea ports, all outgoing vessels are inspected for the presence of rats and insects. Ships are required to have a certificate, issued by the port health officer, showing that they have been deratted, before they can leave port. All these measures are in accordance with the WHO International Health Regulations.

Plague is often mentioned as a potential biological weapon of terrorists. When released into the air, the bacterium can survive for up to an hour. Of the three types of plague (pneumonic, bubonic, and septicemic), pneumonic is the one most likely to be used by terrorists because large stockpiles were developed by the United States and Soviet Union in the 1950s and 1960s. Much of the Soviet supply is now in the hands of several of its former republics, now independent nations; some is suspected to have made its way into the illicit international weapons trading market. The U.S. government believes several so-called rogue nations, such as Iran and North Korea, have biological weapons programs. Although several other nations presumably have biological weapons programs, rogue nations and rogue groups pose a more significant threat to the United States and its allies.

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### ORGANIZATIONS

World Health Organization Regional Office, 525 23rd Street NW, Washington, DC, USA, 20037, (202) 974-3000, (202) 974-3663, [postmaster@paho.org](mailto:postmaster@paho.org), <http://www.who.int>

Ken R. Wells

## Plankton

Organisms that live in the water column, drifting with the currents. Bacteria, fungi, algae, protozoans, invertebrates, and some vertebrates are represented; some organisms spend only parts of their lives (e.g. larval stages) as members of the plankton. Plankton is a relative term because many planktonic organisms possess some means by which they may control their horizontal and/or vertical positions. For example, organisms may possess paddle-like flagella for propulsion over short distances, or they may regulate their vertical distributions in the water column by producing oil droplets or gas bubbles. Plankton comprise a major item in aquatic food chains and food webs.

*See also* Phytoplankton; Zooplankton.

## Plant pathology

Plant pathology is the study of plant diseases. Plant diseases are caused by bacteria, fungi, algae, viruses, and roundworms. Plant diseases can result in malnutrition, famine, and economic hardship. On a global scale they may be responsible for the loss of up to ten percent of cultivated crops each year. Plant pathology is also important in environmental studies. Many plants are especially sensitive to pollutants in the air and water. Plant diseases are often cited as an early warning system of pollution-related problems.

## Plasma

The term plasma has two major definitions in science. In biology it refers to the clear, straw-colored liquid portion of blood. In physics it refers to a state of matter in which atoms are completely ionized. By this definition, a plasma consists entirely of separate positive and negative ions. Plasmas of this kind exist only at high temperatures.

## Plastics

The term plastic refers to any material that can be shaped or molded. In this sense, ordinary clay or a soft wax is a plastic material. Perhaps more commonly,

plastic has become the term used to describe a class of synthetic materials more accurately known in chemistry as polymers. Some common examples of plastics are the polyethylenes, polystyrenes, vinyl polymers, methyl methacrylates, and polyesters. These synthetic materials may or may not be plastic in the pliable sense.

Research on plastic-like materials began in the mid-nineteenth century. At first, this research made use of natural materials. Credit for discovery of the first synthetic plastic is often given to the American inventor, John Wesley Hyatt. In 1869 Hyatt was awarded a patent for the manufacture of a hard, tough material made out of natural cellulose. He called the product celluloid.

It was not until 1907, however, that an entirely synthetic plastic was invented. In that year the Belgian-American chemist Leo Baekeland discovered a new compound that was hard, water- and solvent-resistant, and electrically non-conductive. He named the product Bakelite. Almost immediately the new material was put to use in the manufacture of buttons, radio cases, telephone equipment, knife handles, counter tops, cameras, and dozens of other products.

Thousands of different plastics are known. Their importance is illustrated by the fact that of the fifty chemicals produced in the greatest volume in the United States in 2010, twenty-four were used in the production of polymers. Products that were unknown until the 1920s are now manufactured by the millions of tons each year in the United States.

Despite the bewildering variety of plastics available, most can be classified in one of a small number of ways. First, all plastics can be categorized as thermoplastic or thermosetting. A thermoplastic polymer is one that, after being formed, can be reheated and reshaped. If the handle of a toothbrush is warmed in a flame, for example, it can be bent into another shape. A thermosetting polymer is different, however, because, once formed, it can be reheated, but not reshaped.

Polymers can also be classified according to the chemical reaction by which they are formed. Additional polymers are formed when one kind of molecule reacts with a second molecule of the same kind. This type of reaction can occur only when the molecules involved have a special grouping of atoms containing double or triple bonds.

As an example, one molecule of ethylene can react with a second molecule of ethylene. This reaction can continue with a third ethylene molecule adding to the product. In fact, this reaction can be repeated many times until a very large molecule, polyethylene, results.

The prefix *poly* means “many,” indicating that many molecules of ethylene were used in its production. In the formation of a polymer-like polyethylene, “many” can be equal to a few hundred or a few thousand ethylene molecules. The basic unit of which the polymer is made (ethylene, in this case) is called the monomer. The process by which monomers combine with each other many times is known as polymerization.

A second type of polymer, the condensation polymer, is formed when two different molecules combine with each other through the loss of some small molecule, most commonly, water. For example, Baeckeland’s original Bakelite is made by the reaction between a molecule of phenol and a molecule of formaldehyde. Phenol and formaldehyde fragments condense to make a new molecule ( $C_6H_5CHO$ ) when water is removed. As with the formation of polyethylene, this reaction can repeat hundreds or thousands of times to make a very large molecule.

The names of polymers often reveal how they are made. For example, polyethylene is made by the polymerization of ethylene, polypropylene by the polymerization of propylene, and polyvinyl chloride by the polymerization of vinyl chloride. The names of other polymers give no hint as to the way they are formed. One would not guess, for example, that nylon is a condensation polymer of adipic acid and hexamethylene diamine or that Teflon is an addition polymer of tetrafluorethylene.

Listing all the uses to which plastics have been put is probably impossible. Such a list would include squeeze bottles, electrical insulation, motion picture film, indoor-outdoor carpeting, floor tile, garden hoses, pipes for plumbing, trash bags, fabrics, latex paints, adhesives, contact lenses, boat hulls, gaskets, non-stick pan coatings, insulation, dinnerware, and table tops.

Plastics technology has become highly sophisticated since the end of the twentieth century. Rarely is a simple polymer used by itself in a product. Instead, all types of additives are available for giving the polymer special properties. Ultraviolet stabilizers, as one example, are added to absorb ultraviolet light that would otherwise attack the polymer itself. Many polymers become stiff and brittle when exposed to ultraviolet light.

Plasticizers are compounds that make a polymer more flexible. Foaming agents are used to convert a polymer to the foam used in insulation or cooler chests. Fillers are materials such as clay, alumina, or carbon black that add properties such as color, flame

resistance, hardness, or chemical resistance to a plastic.

Some of the most widely used additives are reinforcing agents. These are fibers made of carbon, boron, glass, or some other material that add strength to a plastic. Reinforced plastics are used in car bodies and boat hulls and in many kinds of sporting equipment, such as football helmets, tennis rackets, and bicycle frames.

One of the most interesting variations in polymer properties is the relatively recent development of conducting polymers. Until 1970 the word plastic was nearly synonymous with electrical non-conductance. In fact, one important use of plastics has been as electrical insulation. In 1970, however, a Korean university student accidentally produced a form of polyacetylene that conducts electricity.

For a number of reasons, that discovery did not lead to a commercial product until 1975. Then, two researchers at the University of Pennsylvania discovered that adding a small amount of iodine to polyacetylene increases its conductivity a trillion times. Scientists are now studying dozens of ways in which conduction plastics can be substituted for metals in a variety of applications.

For all their many advantages, plastics have long posed some difficult problems for the environment. Perhaps the most serious of those problems is their stability. Because plastics have not occurred in nature for very long, microorganisms that can degrade them generally have not yet had an opportunity to evolve. Thus plastic objects that are discarded may remain in the environment for hundreds or thousands of years. However, there are exceptions to the general rule regarding biological organisms and plastics; for instance, a variation of *Flavobacterium*, which encompasses a genus of bacteria, was discovered in 1975 to be capable of digesting certain byproducts related to the manufacture of a plastic called nylon 6. In spite of the ability of certain *Flavobacterium* bacteria to consume plastics-related chemicals, plastic degrades extremely slowly in the environment.

In 2005 about 16 percent of all municipal solid wastes in the United States consisted of plastic products. Plastic waste was third only to paper products and yard and food wastes. And the large amounts of plastic materials embedded in landfills are expected to resist degradation for very long periods of time. The stability of plastics in the environment can actually be life-threatening to various organisms. Stories of aquatic birds strangled by plastic beer or soda can holders are no longer news because they occur so often.

The presence of plastics can interfere with some of the methods suggested for dealing with solid wastes. For example, incineration has been recommended as a way of getting rid of wastes and producing energy at the same time. But the combustion of some types of plastics results in the release of toxic hydrochloric acid, hydrogen cyanide, and other hazardous gases.

Scientists are now moving forward in the search for ways of dealing with waste plastic materials. Some success has been achieved, for example in the development of photodegradable plastics, polymers that degrade when exposed to light. One problem with such materials is that they are often buried in landfills and are never exposed to sunlight.

Research also continues to progress on the recycling of plastics. A major problem here is that some kinds of polymers are more easily recycled than others, and separating one type from the other is often difficult to accomplish in everyday practice.

The use of plastics is also interconnected with the world's energy problems. In the first place, the manufacture of most plastics is energy intensive. It takes only 24 million Btu to make one ton of steel, but 49 million Btu to make one ton of polyvinyl chloride and 106 million Btu to make one ton of low-density polyethylene.

Perhaps even more important is the fact that petroleum provides the raw materials from which the great majority of plastics are made. Thus, as supplies of petroleum dwindle, as they inevitably will, scientists will have to find new ways to produce plastics. Although they will probably be able to meet that challenge, the changeover from an industry based on petroleum to one based on other raw materials is likely to be long, expensive, and disruptive.

### Polyethylene derived from sugarcane

Polyethylene is the most widely used plastic. It is used as plastic film for food wraps and to produce many other common plastic items, such as containers and pipes. The starting chemical for the manufacture of polyethylene is ethylene, a chemical that is being produced from nonrenewable sources, such as natural gas and coal. In July 2007 the leading U.S.-based producer of ethylene and polyethylene announced it had formed a partnership with Brazil's leading producer of sugarcane-based fuel ethanol to make ethylene from some of its sugarcane-based ethanol. This is a breakthrough for the future of plastics with the production of polyethylene from a renewable resource. Although polyethylene is not biodegradable, it is fully recyclable.

There may be future supply issues when the production of the ethylene increases and begins to compete seriously with the fuel ethanol market for the available sugar cane in Brazil, but that will not happen soon. Brazil can expand its acreage for sugar by 12 percent and only half of the potential sugar that could be extracted from the cane is currently being used.

### New sources for producing plastics

New sources of plastics are an ongoing research interest. Early in the 1900s the Ford Motor Company developed a plastic material made from soybeans to use for auto bodies. With petroleum readily available and inexpensive, the idea of plastics made from soybeans was soon forgotten. Political uncertainties in oil-producing regions of the world and the escalating cost of oil, however, created new interest in plastics made from soybean oil, because soybean oil is a renewable resource. At Iowa State University in the United States, soy-based plastics are being developed to use in the manufacture of animal feed containers. A number of commercial entrepreneurs are introducing soy-based plastics for a variety of products, including artificial turf, foam plastics for furniture, and even plastics for the automotive industry.

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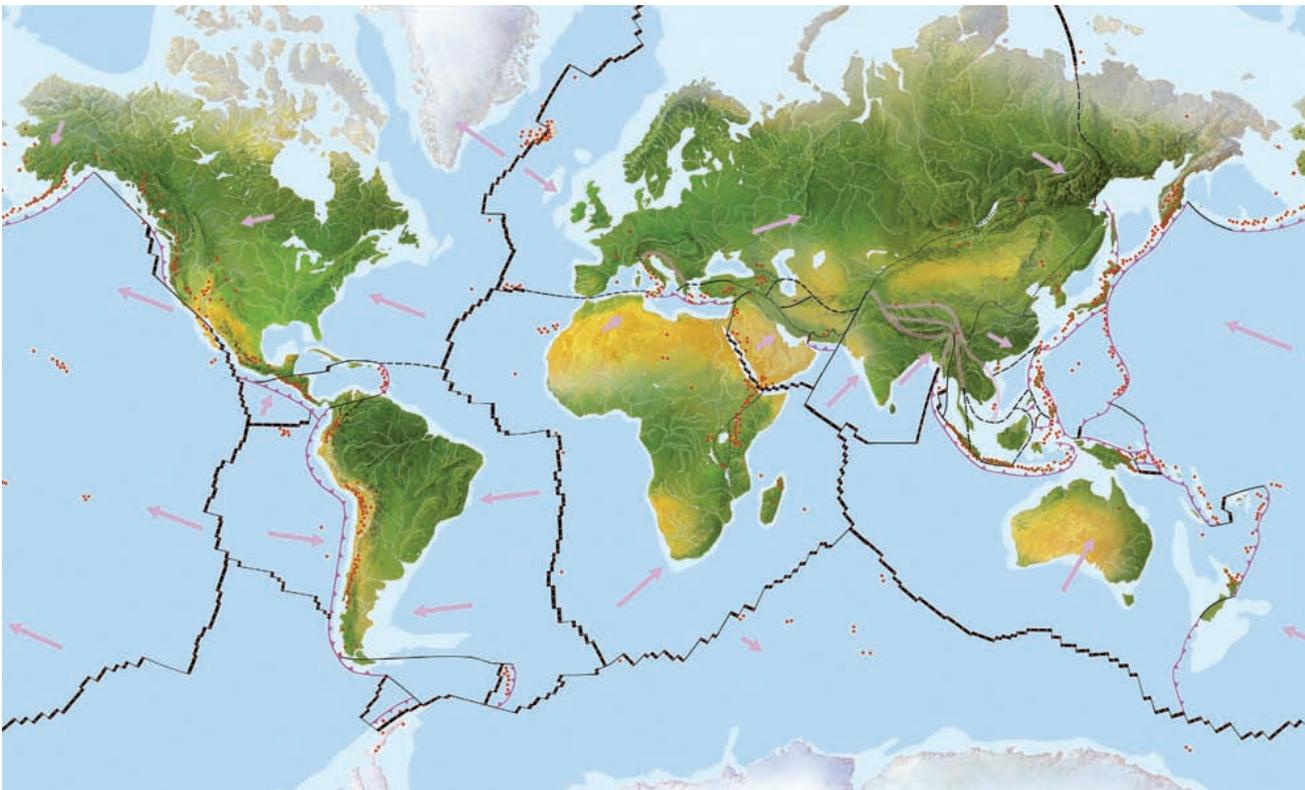
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David E. Newton

## Plate tectonics

Anyone who looks carefully at a map of the Atlantic Ocean is likely to be struck by an interesting point. The eastern coastline of South America bears a striking similarity to the western coastline of Africa. Indeed, it looks almost as if the two continents could somehow fit



The earth's surface is divided up into nine major plates. These are rocky structures that float and move on the more fluid layer beneath them. The boundaries between plates are geologically active areas. (Gary Hincks / Photo Researchers, Inc.)

together—provided, of course, one could find a way to slide the land masses across the ocean floor.

The match of coastlines was noticed by scholars almost as soon as good maps were first available in the late fifteenth century. In 1620, for example, the English philosopher Sir Francis Bacon commented on this fact and argued that the match was “no mere accidental occurrence.”

One obvious explanation for the South America-Africa fit was that the two continents had once been connected and had somehow become separated in the past. A few early scientists tried to use the Biblical story of The Flood to show how that might have happened. But as Biblical explanations for natural phenomena began to lose credibility, this approach was discarded.

That made the concept of moving continents even more difficult to believe. The earth's crust was generally thought to be solid and immovable. How could one or two whole continents somehow slide through such a material?

Yet, over time, more and more evidence began to accumulate, supporting the notion that South America and Africa might once have been joined to

each other. Much of that early evidence came from the research of the German geographer, Alexander von Humboldt. Von Humboldt spent a number of years traveling through South America, Africa, and other parts of the world. In his journeys he collected plant and animal specimens and studied geological and geographic patterns. He was struck by the many similarities he observed between South America and Africa, similarities that went far beyond an obvious geographic fit of continental coastlines.

For example, he observed that mountain ranges in Brazil that end at the sea appear to match other mountain ranges in Africa that began at the coastline. He noted similar patterns among mountain ranges in Europe and North America.

During the nineteenth century, similarities among fauna and flora on either side of the Atlantic were observed. Although species in eastern South America do differ to some extent from those in western Africa, their similarities are often striking. Before long, similarities across other oceanic gaps began to be noted. Plant and animal fossils found in India, for example, were often remarkably similar to those found in Australia.

Attempts to explain the many similarities in various continental properties were consistently stymied by the belief that the earth's crust was solid and immovable. One way around this problem was the suggestion that mammoth land bridges existed between continents. These large bridges would have allowed the movement of plants and animals from one continent to another. But no evidence for such bridges could be found, and this idea eventually fell into disrepute.

By the mid-1850s an important breakthrough in geological thought began to occur. A few geologists started to accept the hypothesis that the earth's crust is not as solid and immovable as it appears. In fact, they said, it may be that the earth's outer layer is actually floating (and, thus, is moveable) on the layer below it, the mantle.

Still, it was not until the early years of the twentieth century that a new theory of floating continents was seriously proposed. Then, in a period of less than four years, two distinct theories of this kind were suggested. The first was offered in a December 29, 1908, paper by the American geologist Frank B. Taylor. Taylor outlined a theory that described how the continents had slowly shifted over time with a "mighty creeping movement."

Taylor's paper met largely with indifference. Such was not the fate, however, of the ideas of a German astronomer and meteorologist, Alfred Wegener. While browsing through the University of Marburg library in the fall of 1911, Wegener was introduced to the problem of continental similarities. Almost immediately, he decided to devote his attention to this question and began a study that was to dominate the rest of his professional life and to revolutionize the field of geology.

By January 1912 Wegener had developed a theory to explain continental similarities. Such similarities cannot be explained by sunken land bridges, he said, but are the result of continents having moved slowly across the face of the planet. Three more years of research were needed before Wegener's theory was completed. In 1915 he published *The Origins of Continents and Oceans*, summarizing his ideas about continental similarities.

According to Wegener's theory, the continents were once part of one large land mass, which he called Pangaea. Eventually this land mass broke into two parts, two super-continents, which he called Gondwanaland and Laurasia. Over millions of years, Gondwanaland broke apart into South America, Africa, India, Australia, and Antarctica, he suggested, whereas Laurasia separated into North America and Eurasia.

The basic problem Wegener faced was to explain how huge land masses like continents can flow. His answer was that the materials of which the earth's

crust is made are of two very different types. One, then called "sial," is relatively light, but strong. The other, then called "sima," can be compared to very thick tar. Continents are made of sial, he said, and sea floors of sima. The differences in these materials allows continents to "ride" very slowly across sea floors.

Wegener's theory was met with both rejection and hostility. Fellow scientists not only disagreed with his ideas, but also attacked him personally even for suggesting the ideas. The theory of continental drift did not totally disappear as a result of these attitudes, but it fell into disfavor for more than three decades.

Research dating to the mid-1930s revealed new features of the sea floor that made Wegener's theory more plausible. Scientists found sections of the ocean bottoms through which flows of hot lava were escaping from the mantle, somewhat like underwater volcanoes. These discoveries provided a crucial clue in the development of plate tectonics, the modern theory of continental drift.

According to the theory of plate tectonics, the upper layer of the earth is made of a number of plates, large sections of crust, and the upper mantle. Seven major plates have been identified, with numerous smaller plates. The largest plate is the Pacific Plate, underlying the Pacific Ocean. The North and South American, Eurasian, African, Antarctic, and Indo-Australian are the other major plates. Examples of secondary plates are the Arabian, Caribbean, Cocos, and Nazca plates.

Scientists believe that plates rest on an especially plastic portion of the mantle known as the "asthenosphere." Hot magma from the asthenosphere seeps upward and escapes through the ocean floor by way of openings known as rifts. As the magma flows out of the rift, it pushes apart the plates adjoining the rift. The edge of the plate opposite the rift is ultimately forced downward, back into the asthenosphere. The region in which plate material moves down into the mantle is a trench.

Plates move at different speeds in different directions at different times. On an average, they travel 0.4–2 inches (1–5 cm) per year. To the extent that this theory is correct, a map of the earth's surface ten million years from now will look quite different from the way it does now. The motions of the various tectonic plates relative to one another are measured using astronomical techniques of one sort or another. For instance, scientists have fired Earth-based laser beams at satellites orbiting the planet; the laser beam then bounces back from the satellite, and a telescope next to the Earth-based laser is utilized to measure the round trip time-of-flight of the

laser beam. Using such techniques, scientists have calculated various plate motions for decades. With the advent of the U.S. Global Positioning System (GPS) starting in the late 1970s, and becoming fully functional in the 1990s, scientists gained a powerful new tool to track plate movements. These types of measurements should only become more precise as GPS satellite technology improves, such as when upgraded GPS satellites are placed into Earth orbit. The first in an upgraded series of GPS satellites, designated as Block IIF, was launched in May 2010.

The theory of plate tectonics explains a number of natural phenomena that had puzzled scientists for centuries. Earthquakes, for example, can often be explained as the sudden, rather than gradual, movement of two adjacent plates. One of the world's most famous earthquake zones, the San Andreas Fault, lies at the boundary of the Pacific and the North American plates. Volcanoes often accompany the movement of plates and earthquakes. The boundaries of the Pacific Plate, for example, define a region where volcanoes are very common, a region sometimes called the Ring of Fire.

Plate tectonics is now accepted as one of the fundamental theories of geology. Its success depends not only on the discovery of an adequate explanation for continental movement (sea-floor spreading, rifts, and trenches), but also on the discovery of more and more similarities between continents.

For example, modern geologists have re-examined Humboldt's ideas about the correlation of mountain ranges in South America and Africa. They have found that rock strata, or layers, in Brazil lie almost exactly where they should be expected if strata in Ghana are projected to the west. If the Atlantic Ocean could somehow be removed, the two strata could be made to coincide almost perfectly.

Some interesting data have come from studies of paleomagnetism. Paleomagnetism refers to the orientation of iron crystals in very old rock. Because the earth's magnetic poles have shifted over time, so has the orientation of iron crystals in rock. Scientists have found that the orientation of iron crystals in one continent correspond very closely to those found in rocks of another continent thousands of miles away.

Fossils continue to be a crucial way of confirming continental drift. For example, scientists have learned that the fossil population of Madagascar is much less like the fossil population of Africa (300 miles [483 km] to the west) than it is like the fossil population of India (3,000 miles [4,830 km] to the northeast). This finding would make almost no sense at all unless one recognized that the theory of continental drift has

Madagascar breaking off from India millions of years ago, not off Africa.

The flow of magma out of the asthenosphere often results in the formation of ores, regions that are rich in some mineral. For example, the high temperatures characteristic of a rift or a trench may be sufficient to cause the release of metals from their compounds. The flow of magma across rock may also result in a phenomenon known as contact metamorphism, a mechanism that also results in the formation of metals such as lead and silver.

*See also* Biogeography; Endemic species; Evolution; Geosphere; Topography.

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David E. Newton

## Plow pan

A plow pan is a subsurface horizon or soil layer having a high bulk density and a lower total porosity than the soil directly above or below it as a result of

pressure applied by normal tillage operations, such as plows, discs, and other tillage implements. Plow pans may also be called pressure pans, tillage pans, or traffic pans. Plow pans are not cemented by organic matter or chemicals. Plow pans are the result of pressure exerted by humans, whereas hard pans occur naturally.

*See also* Soil compaction.

## Plume

A flowing, often somewhat conical, trail of emissions from a continuous point source, for example, the plume of smoke from a chimney. As a plume spreads, driven by air currents (or underwater currents if an underwater plume), its constituents are diluted into the surrounding medium. When plumes disperse in media with high turbulence, they can take on more complex shapes with loops and meanders. This somewhat chaotic nature has led to probabilistic descriptions of the concentration of materials in plumes; for example, calculations concerning the downstream impact of pollutants released from pipes and chimneys will be couched in terms of average concentrations. Typically plumes are found in air or water, but plumes of trace contaminants may also be found in less-mobile media such as soils.

## Plutonium

A synthetically produced transuranium element originally thought to exist only as a result of nuclear reactions but which has been detected in extremely trace amounts in Earth's crust (in association with uranium deposits). Glenn Seaborg and his colleagues at the University of California at Berkeley first prepared this element in 1940. Plutonium is by far the most important of all transuranium elements because one of its isotopes, plutonium-239, can be fissioned. Plutonium-239 is the only isotope other than uranium-235 that is readily available for use in nuclear weapons and nuclear reactors. Unfortunately plutonium is also one of the most toxic substances known to humans, making its commercial use a serious environmental hazard. With a half-life of 24,000 years, the isotope presents difficult disposal problems.

*See also* Nuclear fission; Nuclear power.

## Poaching

Poaching is the stealing of game or fish from private property or from a place where shooting, trapping, or fishing rights are reserved. Until the twentieth century, most poaching was subsistence hunting or fishing to augment scanty diets. Today, poaching is usually committed for sport or profit.

The Fish and Wildlife Service estimates that illegal trade in U.S. wildlife generates \$200 million per year, a hefty slice of a \$1.5 billion worldwide market. Big game animals are shot as trophies, and animal parts such as bear gallbladders are sold for "medicinal" purposes—usually as tonics to enhance male virility. Poaching also attracts organized crime because wildlife crime sentences, if tendered, tend to be lenient, and probation requirements are difficult to enforce.

Poaching has a long history in the United States: spotlighting a deer at night or shooting a duck in the family pond for dinner have long been a part of rural life. By 2010, despite a 94 percent conviction rate for those caught, poachers fed a demand for wildlife in both domestic and global markets. They decapitated walrus for ivory tusks, netted thousands of night-roosting robins for Cajun gumbo, and shot anhingas nesting in the Everglades and raptors for decorative feathers. Wolves were tracked and shot from airplanes. Sturgeon and paddlefish were caught and killed for their caviar. Poaching poses a serious threat to wildlife because it kills off the biggest and best of a species' gene pool. A report by the Fish and Wildlife Service estimates that 3,600 threatened or endangered species receive little or no federal protection.

The extinction of the Labrador duck in the 1880s and the near extinction of a dozen other waterfowl species led to the U.S.-Canadian Migratory Bird Treaty Act of 1918. The enactment of kill limits and the ban on commercial market hunting helped populations of birds. The U.S. Marine Mammal Protection Act of 1972 makes it a crime for non-Native Americans to hunt or sell walrus, sea otters, seals and sea lions, and polar bears. And the Lacey Act, passed in 1990, makes it a federal crime to transport illegally taken wildlife across state lines.

The sale of bear parts is legal in some states, creating a convenient outlet for poachers. Because merchandise such as bear meat, galls, and paws is difficult to track, the poachers are fairly safe. Uniform regulations in all fifty states would create a more difficult environment for poachers to operate in and might lead to a decrease in poaching, especially of bears.



**A poached rhinoceros with its horn removed.** (Photograph by Warren and Jenny Garst. Tom Stack & Associates)

As economic and political instability increased in many developing countries, so did poaching. A global demand for ivory caused wholesale slaughter of elephants and rhinoceros. As the big male elephants disappeared, poachers turned to females, the primary caretakers of the young. To help stop the carnage of elephant and rhinoceros for their ivory, 105 nations party to the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES) have agreed to ban the raw ivory trade. The ban has caused ivory prices to plummet to pre-1970s levels, making poaching much less attractive. In Zimbabwe, game wardens now also have orders to shoot on sight poachers who menace the rare black rhino.

*See also* Grizzly bear.

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Linda Rehkopf

## Point source

A localized, discrete, and fixed contaminant emission source, such as a smokestack or waste discharge pipe. Because point sources are usually easily identified, their discharges of pollution can be monitored

readily. Point sources are distinguished from nonpoint sources and mobile (or vehicular) sources, so historically they were the first to receive emission controls. Some point sources release exceptionally large quantities of contaminants detectable far away from the point of release.

## Poisoning

Poisoning, either from naturally occurring or manmade chemicals, can result from ingestion, inhalation, or skin contact with the toxin. It can also be either acute (a one-time, high amount such as a drug overdose) or chronic (a smaller amount over a long period of time, such as lead poisoning). Poison control centers in many countries can provide information on treatment and prevention of accidental poisoning from household or industrial products. There are more than 13 million known toxins, but less than 3,000 cause most incidents of poisoning. Several practices put in place since the 1950s have reduced accidental poisoning by ten-fold. These practices include the accurate labeling of potentially poisonous household compounds and the use of monitoring devices, such as carbon-monoxide detectors. One of the most successful prevention tactics is the use of child-resistant caps on containers of medicine and household products.

Marie H. Bundy

## Pollination

Pollination is the process of moving pollen grains, which contain male sex cells, from the anthers (the pollen-containing part of floral stamens, the male reproductive structure) of flowers to the stigma (the glandular female receptive portion) in the pistil (female reproductive organ). When a pollen grain lands on the female part of the flower, the male sex cell joins with the female sex cells in the flower in a process called fertilization to form a seed from which a new plant can grow. The anthers and stigma can be on the same flower (self-pollination) or on different flowers (cross-pollination), but must be of the same species. All higher plants, including flowers, herbs,

bushes, grasses, conifers, and broad-leaved trees, use pollination for sexual reproduction.

Pollination can be accomplished by abiotic means, such as wind and water. Many pollen grains are small (less than 0.05 mm, or 0.002 in). Thus wind can carry the pollen grains to other members of their species. Many plants, to ensure pollination, grow in dense stands and produce millions of pollen grains. Wind-pollinated plants generally have small, inconspicuous flowers that dangle in the wind (e.g., willow catkins). Grasses have wispy plume-like flowers that catch grains floating in the air. Some water plants, such as the hornwort, have their pollen transferred by water currents.

Many plants use animals, such as insects, birds, and bats, to transport pollen grains. This process, referred to as biotic pollination, requires a relationship between the pollinator and the flower in order for the flower to be pollinated. Such a relationship is usually established by a direct attractant, such as nectar, sweet-tasting pollen, odor, or visual attraction (e.g., brightly-colored flowers). There may also be an indirect attraction, such as when insects of prey visit flowers to catch other visiting pollinators.

Insects, including bees, beetles, flies, wasps, ants, butterflies, and moths, are common biotic pollinators. As an insect crawls in and out of flowers in its search for nectar or other food source, it receives a dusting of pollen grains from the anther, the male part of the flower. When the insect visits another flower, the pollen rubs off onto the stigma, the female part. If the pollen is left on the same species of flower, a long tube grows from each pollen grain down the style (stalk) of the stigma and into the ovule at the base, which contains the female egg cells. The male cells from the pollen grains pass along the tubes to the female cells and fertilize them. Plants with trumpet-shaped flowers, such as petunias, have nectar at the bottom, so only insects with long tube-like tongues can act as their pollinators.

The best-known and best-adapted biotic pollinator is the bee. The bee is a relatively large insect with a large demand for food, both for itself and for its carefully looked-after brood. It normally gets all of its food from flower blossoms. The bee has an ability to remember plant forms, which aids in its ability to find flowers. Social bees live together in a communal nest and often share foraging and nest activities. The amount of food carried into a hive by a honeybee has been estimated to be 100 times its own nutritional requirements. Social bees have developed communication systems that permit them to inform each other about the location and sources of food. These communication systems include odor paths, special buzz

tones to alert other individuals, and dances that can indicate direction, distance to, and yield of a source of food. However, most of the world's 40,000 species of bees are solitary. The female bee mates and then constructs a nest underground or within woody stems containing many smooth-walled cells. The cells are filled with a mixture of nectar and pollen, which provides all the food required for larvae to complete their development into adult bees.

Biotic pollination may also be accomplished by such animals as birds and bats. For example, hummingbirds feeding from the hibiscus flower carry pollen on their beak and heads. Bats hover in front of flowers that open at night, licking nectar and covering their faces with pollen. Successful pollination, often mediated by animals but also accomplished abiotically, is extremely important for food production as well as maintenance of biological plant diversity. Pollination of plants is necessary for seed set, fruit yields, and reproduction of most food crops.

Many threats to animal pollinators and pollination processes have been identified. These include use of toxic chemicals, decline in pollinator populations, habitat loss, and migratory corridor fragmentation. Toxic chemicals can kill pollinators, and wild pollinators are often more vulnerable to insecticides and herbicides than domestic honeybees. Laws regulate and control the use of many pesticides during periods when pollinators are foraging, and use of toxic chemicals near pollinators' nesting sites should also be controlled. Pesticides that are known to be less toxic to pollinators can be used to reduce stress on pollinator populations. Fewer pollinators will result in fewer plants. When factors such as the use of pesticides and habitat fragmentation reduce populations of pollinators, plants will have low reproductive success. Some endangered plant species may even become extinct. Appropriate pesticide spraying set-back distances should be based upon on-site determinations made by pollination ecologists familiar with the plant and pollinator species involved.

Another threat to pollination processes is the decline in honeybee populations. Since 1990 U.S. beekeepers have lost one-fifth of their domestic-managed honeybee colonies for reasons that include two kinds of mite infestations, diseases, spraying of pesticides, and several other factors. The *Varroa* mite is an external parasite that was identified in the United States in 1987 and affects bee colonies in thirty states. This mite lives and feeds on developing bee larvae so that when the bees hatch, they are small and deformed. *Varroa* mites can be controlled by placing medicated plastic strips inside hives to kill the mites. The bees walk on the strips and then carry the medicine on their feet to

the larvae growing in the honeycomb. The tracheal mite infects the respiratory system of adult honeybees. These mites were found in the United States in 1984 and by 2010 were present in most states. These tracheal mites make bees weak and can kill an entire colony. To control the mites, an antibiotic powder, such as terramycin, is mixed into sugar and oil and is placed inside the bee hive.

Diseases and use of pesticides also take their toll on bee populations. Several diseases can kill bees; these include American foul brood, chalk brood, European foul brood, paralysis virus, sacbrood disease, and kashmir virus. Some bacterial diseases can be treated by stirring antibiotics into feed sugar. Often, if a hive is badly infected, the hive is burned to prevent the infection of other hives. Also, the spraying of pesticides (e.g., by farmers for crop protection or by towns and communities for control of mosquitoes) when bees are foraging can result in their death. Some pesticides kill bees directly while they are in the crops, whereas others are carried back to the hives with the pollen, where they are stored in the honeycombs. The bees and larvae die when they eat the pollen, which can be at any time of year. Often the pesticide does not kill the entire colony, but makes the colony susceptible to mite infections or freezing in cold weather.

Dating to a spike in bee colony deaths starting in 2006, an estimated 20 to 40 percent of honey bee colonies in the United States suffered what was termed colony collapse. Entomologists and other investigators combed through multiple theories before the evidence began to clearly point to a joint fungal viral threat. Although neither the *N. ceranae* fungus or the suspected viral strain are alone lethal, together they can quickly kill every bee in a colony.

Several other factors have contributed to declining bee populations. Africanized bees, a type of highly defensive bee that is also known as the killer bee, became established in the United States in 1990, after years of northward migration from South America where they were first released. Beekeepers often are forced to abandon their hives when Africanized bees move into an area. Also, bee populations that have been weakened by other factors are in danger of freezing in winter, due to an insufficient number of bees to provide necessary warmth or an insufficient supply of food to convert to heat energy. Finally, loss of agricultural subsidies and price supports in the United States adversely affects the economics of managing bee colonies.

Habitat loss can constitute threats to animal pollinators and pollination processes. Habitat fragmentation is the division of natural ecosystems into smaller areas

due to land conversion for agriculture, forestry, and urbanization. As habitat areas become smaller and widely scattered, they may be insufficient to provide an adequate diversity of host plants and nectar sources that their pollinators require. Habitat fragmentation may also cause reduction in pollinator populations due to loss of nesting habitats. Ecologists need to monitor populations of pollinators and habitat fragmentation trends to determine possible causes of pollinator decline and to develop land use plans to protect pollinator populations, such as maintenance of habitat set-asides or greenbelts near agricultural fields and timber areas.

The severing of migratory corridors can also disrupt pollination processes. Some pollinating animal species, such as nectarivorous bats, navigate through a variety of nectar-providing plants as they migrate from tropical to arid and temperate environments. A bat species may utilize a single type of flower in each local environment, but a series of plants are linked into a nectar corridor of successive flowering times along the bat's migration route. For example, a type of long-nosed bat flies a loop of 3,200 miles (5,152 km) to follow the sequential flowering of at least sixteen flowering plants species, including tree morning glories, several century plants, and giant columnar cactus. Severing of migratory corridors by habitat and vegetation destruction or by spraying toxic pesticides may adversely affect the success of the migration. For example, migratory monarch butterflies require critical habitats throughout their migratory cycle and can be affected if habitat is lost due to activities such as development. Monitoring of plant/pollinator changes in migratory corridors is required to develop appropriate protection strategies.

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Judith L. Sims

## Pollution

Pollution can be defined as unwanted or detrimental changes in a natural system. Usually pollution is associated with the presence of toxic chemicals in some large quantity, but pollution can also be caused by the presence of excess quantities of heat or by excessive fertilization with nutrients.

Because pollution is judged on the basis of degradative changes, there is a strongly anthropocentric bias to its determination. In other words, humans decide whether pollution is occurring and how bad it is. Of course, this bias favors species, communities, and ecological processes that are especially desired or appreciated by humans. In fact, some other less-desirable species, community, or ecological processes may benefit from pollution.

An important aspect of the notion of pollution is that ecological change must actually be demonstrated. If some potentially polluting substance is present at a concentration or intensity that is less than the threshold required for a demonstrable ecological change, then the situation is referred to as contamination rather than pollution.

This aspect of pollution can be illustrated by reference to the stable elements, for example, cadmium (Cd), copper (Cu), lead (Pb), mercury (Hg), nickel (Ni), selenium (Se), uranium (U), etc. All of these are consistently present in at least trace concentrations in the environment. Moreover, all of these elements are potentially toxic. However, they generally affect biota—and therefore only cause pollution—when they are present at water-soluble concentrations of more than about 0.01 to 1 parts per million (ppm).

Some other elements can be present in very large concentrations, for example, aluminum (Al) and iron (Fe), which are important constituents of rock and soil. Aluminum constitutes 8–10 percent of the earth's crust and iron 3 to 4 percent. However, almost all of the aluminum and iron present in minerals are insoluble in water and are therefore not readily assimilated by the biotic community and cannot cause toxicity. In acidic environments, however, ionic forms of aluminum are solubilized, and these can cause toxicity in concentrations of less than one part per million. Therefore, the bio-availability of a chemical is an important determinant of whether its presence in some concentration will cause pollution.

Most instances of pollution result from the activities of humans. For example, anthropogenic (manmade) pollution can be caused by:



**Factory emissions.** (El Greco/Shutterstock.com)

- the emission of sulfur dioxide ( $\text{SO}_2$ ) and metals from a smelter, causing toxicity to vegetation and acidifying surface waters and soil;
- the emission of waste heat from an electricity generating station into a river or lake, termed thermal pollution, causing community change through thermal stress; or
- the discharge of nutrient-containing sewage wastes into a water body, causing eutrophication.

Most instances of anthropogenic pollution have natural analogues, that is, cases where pollution is not the result of human activities. For example, pollution can be caused by the emission of sulfur dioxide from volcanoes, by the presence of toxic elements in certain types of soil, by thermal springs or vents, and by other natural phenomena. In many cases natural pollution can cause an intensity of ecological damage that is as severe as anything caused by anthropogenic pollution.

An interesting case of natural air pollution is the Smoking Hills, located in a remote and pristine wilderness area in the Canadian Arctic, virtually uninfluenced by humans. However, at a number of places along the 18.6 miles (thirty kilometers) of seacoast, bituminous shales in sea cliffs have spontaneously ignited, causing

a fumigation of the tundra with sulfur dioxide and other pollutants. The largest concentrations of sulfur dioxide (more than two ppm) occur closest to the combustions. Farther away from the sea cliffs the concentrations of sulfur dioxide decrease rapidly. The most important chemical effects of the air pollution are acidification of soil and fresh water, which in turn causes a solubilization of toxic metals. Surface soils and pond waters commonly have a pH less than 3, compared with about a pH of 7 at non-fumigated places. The only reports of similarly acidic water are for volcanic lakes in Japan, in which a natural pH as acidic as 1 occur, and a pH less than 2 occurs in waters affected by drainage from coal mines.

At the Smoking Hills, toxicity by sulfur dioxide, acidity, and water-soluble metals has caused great damage to ecological communities. The most-intensively fumigated terrestrial sites have no vegetation, but farther away a few pollution-tolerant species are present. About one kilometer away the toxic stresses are low enough that reference tundra is present. There are a few pollution-tolerant algae in the acidic ponds, with a stunted community of six species occurring in the most-acidic (pH 1.8) pond in the area.

Other cases of natural pollution concern places where certain elements are present in toxic amounts. Surface mineralizations can have toxic metals present in large concentrations, for example copper at 10 percent in peat at a copper-rich spring in New Brunswick, or surface soil with 3 percent lead plus zinc (Zn) on Baffin Island. Soils influenced by nickel-rich serpentine minerals have been well-studied by ecologists. The stress-adapted plants of serpentine habitats form distinct communities, and some plants can have nickel concentrations larger than 10 percent in their tissues. Similarly, natural soils with large concentrations of selenium support plants that can hyperaccumulate this element to concentrations greater than one percent. These plants are poisonous to livestock, causing a toxic syndrome known as *blind staggers*.

Of course, there are many well-known cases where pollution is caused by anthropogenic emissions of chemicals. Some examples include:

- Emissions of sulfur dioxide and metals from smelters can cause damage to surrounding terrestrial and aquatic ecosystems. The sulfur dioxide and metals are directly toxic. In addition, the deposition of sulfur dioxide can cause an extreme acidification of soil and water, which causes metals to be more bio-available, resulting in major secondary toxicity. Because smelters are point sources of emission, the spatial pattern of chemical pollution and ecological damage displays an exponentially decreasing intensity with increasing distance from the source.
- The use of pesticides in agriculture, forestry, and around homes can result in a nontarget exposure of birds and other wildlife to these chemicals. If the non-target biota are vulnerable to the pesticide, then ecological damage will result. For example, during the 1960s urban elm trees in the eastern United States were sprayed with large quantities of the insecticide DDT to kill beetles that were responsible for the transmission of Dutch elm disease (caused by *Ophiostoma* fungi), an important pathogen. Because of the very large spray rates, many birds were killed, leading to reduced populations in some areas. The effects of this spraying on wildlife were discussed in detail in the book *Silent Spring*, written by American marine biologist Rachel Carson (1907–1964). Birds and other non-target biota have also been killed by modern insecticide-spray programs in agriculture and in forestry.
- The deposition of acidifying substances from the atmosphere, mostly as acidic precipitation (termed acid rain) and the dry deposition of sulfur dioxide, can cause an acidification of surface waters. The acidity solubilizes metals, most notably aluminum, making them bio-available. The acidity in combination with the metals causes toxicity to the biota, resulting in large changes in ecological communities and processes. Fish, for example, are highly intolerant of acidic waters.
- Oil spills from tankers and pipelines can cause great ecological damage. When oil spilled at sea washes up onto coastlines, it destroys seaweeds, invertebrates, and fish, and their communities are changed for many years. Seabirds are very intolerant of oil and can die of hypothermia if even a small area of their feathers is coated by petroleum.
- Most of the lead shot fired by hunters and skeet shooters miss their target and are dispersed into the environment. Waterfowl and other avian wildlife can ingest lead shot because it is similar in size and hardness to the grit that they ingest to aid in the mechanical abrasion of hard seeds in their gizzard, but the lead shot is toxic to these birds. In 1991 the U.S. Fish and Wildlife Service (FWS) banned the use of lead shot for waterfowl hunting, as well as its use in wetland areas. Subsequent studies showed remarkable reductions in lead ingestion and toxicity in waterfowl in North America. However, in 2010 the U.S. Environmental Protection Agency (EPA) turned down petitions from various conservationist groups to ban the sale of all lead shot in the United States. The EPA stated that it simply does not have the authority to invoke a total ban of all lead ammunition sold in the United States.

Humans can also cause pollution by excessively fertilizing natural ecosystems with nutrients. For example, freshwaters can be made eutrophic by fertilization with phosphorus in the form of phosphate. The most conspicuous symptoms of eutrophication are changes in species composition of the phytoplankton community and, especially, a large increase in algal biomass, known as a bloom. In shallow water bodies there may also be a vigorous growth of vascular plants. These primary responses are usually accompanied by secondary changes at higher trophic levels, including arthropods, fish, and waterfowl, in response to greater food availability and other habitat changes. However, in the extreme cases of very eutrophic waters, the blooms of algae and other microorganisms can be noxious, producing toxic chemicals and causing periods of oxygen depletion that kill fish and other biota. Extremely eutrophic water bodies often cannot support a fishery, cannot be used for drinking water, and have few recreational opportunities and poor esthetics.

Climate change, the warming of the earth's surface and water temperatures, is a major environmental issue caused by the accumulation of air pollutants.

These pollutants, such as carbon dioxide (CO<sub>2</sub>) and methane, trap heat in the lower atmosphere (troposphere) causing the temperature of the earth to rise above typical levels. Emissions from industrial sources, such as power plants and motor vehicles, produce these pollutants that accumulate in large quantities in the troposphere. Climate change has the potential to affect public health, organisms, and the environment by altering weather patterns due to increasing average global temperatures. Altered weather can induce drought in some areas and cause flooding in others, damaging crops and affecting communities dependent on crops for economic stability. Some organisms may be unable to tolerate increasing temperatures, especially in aquatic ecosystems where higher temperatures reduce the amount of dissolved oxygen.

The United Nations Intergovernmental Panel on Climate Change (IPCC), a scientific body with representatives from member countries, assesses and evaluates the status of climate change and its causes, and also develops plans for mitigation of global warming. Periodically, the IPCC publishes a major report concerning climate change, providing (among other things) assessments of climate-related scientific research, and recommendations on particular strategies for mitigating, and adapting to, the phenomenon of global climate change. The IPCC's first assessment report was issued in 1990; its Fourth Assessment Report (AR4) was released in 2007. Among its more notable findings, AR4 predicted that, due to climate change, "World temperatures could rise by between 2 and 11.5°F (1.1 and 6.4°C) during the 21st century."

Pollution is associated with ecological degradation, caused by environmental stresses originating with natural phenomena or with human activities. The prevention and management of anthropogenic pollution is one of the greatest challenges facing modern society.

*See also* Cultural eutrophication.

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Bill Freedman

## Pollution control

Pollution control is the process of reducing or eliminating the release of pollutants into the environment. It is regulated by various federal and state environmental agencies that establish pollutant discharge limits for air, water, and land.

The U.S. Environmental Protection Agency (EPA) regulates air and water quality through the Clean Air and Clean Water Acts. The EPA has set standards for air quality—the National Ambient Air Quality Standards (NAAQS)—to monitor, assess, and mitigate the amounts of hazardous pollutants present in the air. Greenhouse gas emissions such as carbon dioxide (CO<sub>2</sub>) are contributing to climate change, which is a global warming of the Earth's temperature brought about by the accumulation of heat-trapping gases and particles in the lower atmosphere. The Clean Water Act prohibits industrial and municipal pollutant discharges into U.S. water bodies without obtaining a permit through the National Pollution Discharge Elimination System (NPDES). The Pollution Prevention Act of 1990 promotes source reduction of pollutants. Alternative fuels and methods of electricity production are being researched and developed to reduce the generation of pollutants contributing to climate change and the degradation of ecosystems.

Air pollution control strategies can be divided into two categories, the control of particulate emissions and the control of gaseous emissions. Particulate emissions can be reduced in a variety of ways. Physical separation of the particulates from the air can be done using settling chambers, cyclone collectors, impingers, wet scrubbers, electrostatic precipitators, and filtration devices.

Settling chambers use gravity separation to reduce particulate emissions. The air stream is directed through a chamber, which is relatively long and has a large cross section, causing the velocity of the air stream to be

greatly decreased and allowing sufficient time for the settling of solid particles.

A cyclone collector is a cylindrical device with a conical bottom that creates a tornado-like air stream. Centrifugal force is imparted to the particles, causing them to cling to the wall and roll downward, while the cleaner air stream exits through the top of the device.

An impinger is a device that uses the inertia of the air stream to impinge mists and dry particles on a solid surface. Mists are collected on the impinger plate as liquid forms and then drips off, while dry particles tend to build up or reenter the air stream.

Wet scrubbers control particulate emissions by wetting the particles to enhance their removal from the air stream. Wet scrubbers typically operate against the current by a water spray contacting with the gas flow. The particulate matter is trapped in the water droplets and is separated from the gas stream. Wet scrubbers such as packed bed venturi, or plate scrubbers, utilize initial impaction, and cyclone scrubbers use a centrifugal force.

Electrostatic precipitators are devices that use an electrostatic field to induce a charge on dust particles and collect them on grounded electrodes. Electrostatic precipitators are usually operated dry, but wet systems are also used, mainly by providing a water mist to aid in the process of cleaning the particles off the collection plate.

One of the oldest and most efficient methods of particulate control is filtration. The most commonly used filtration device is known as a baghouse. It consists of fabric bags through which the air stream is directed. Particles are trapped in the fiber mesh as well as the filter cake that is subsequently formed.

Gaseous emissions are controlled by similar devices and typically can be used in conjunction with particulate control options. Devices include scrubbers, adsorption systems, condensers, flares, and incinerators.

Scrubbers use adsorption to remove gaseous pollutants from the air stream. There are a wide variety of scrubbers available, including spray towers, packed towers, and venturi scrubbers. Absorbing agents such as lime, magnesium oxide (MgO), and sodium hydroxide (NaOH) are typically used.

Adsorption can also be used to control gaseous emissions. Activated carbon is commonly used as an adsorbent in fixed bed and fluidized bed adsorbers.

Condensers operate by either increasing pressure or decreasing the temperature of the gas stream. Surface condensers are usually of the shell-and-tube type, and contact condensers provide physical contact between the vapors, coolant, and condensate inside the unit.

Flaring and incineration take advantage of the combustibility of a gaseous pollutant. In general, excess air is added to these processes to drive the combustion reaction to completion, forming carbon dioxide and water.

Another means of controlling both particulate and gaseous air pollutants involves modifying the process that generates the pollutants. For example, modifications to process equipment or raw materials can provide effective source reduction. Also, employing fuel cleaning methods such as desulfurization and increasing fuel-burning efficiency can lessen air emissions.

Water pollution control methods can be subdivided into physical, chemical, and biological treatment systems. Most treatment systems use combinations of any of these three technologies. Additionally, water conservation is a beneficial means to reduce the volume of wastewater generated.

Physical treatment systems are processes that rely on physical forces to aid in the removal of pollutants. Physical processes that are frequently used in water pollution control include screening, filtration, sedimentation, and flotation. Screening and filtration are similar methods, which are used to separate coarse solids from water. Suspended particles are also removed from water with the use of sedimentation processes. Just as in air pollution control, sedimentation devices utilize gravity to remove the heavier particles from the water stream. The wide array of sedimentation basins in use slows the water velocity in the unit to allow time for the particles to drop to the bottom. Likewise, flotation uses differences in particle densities to achieve removal. Fine gas bubbles are often introduced to assist this process; they attach to the particulate matter, causing them to rise to the top of the unit where they are mechanically removed.

Chemical treatment systems in water pollution control are processes that utilize chemical reactions to remove water pollutants or to form other, less toxic, compounds. Typical chemical treatment processes are chemical precipitation, adsorption, and disinfection reactions. Chemical precipitation processes add chemicals to the water to precipitate dissolved solids, which are removed by a physical process such as sedimentation or filtration. Chemical precipitation processes are often used for the removal of heavy metals and phosphorus from water streams. Adsorption processes are used to separate soluble substances from the water stream. Like air pollution adsorption processes, activated carbon is the most widely used adsorbent. Water may be passed through beds of granulated activated carbon or powdered activated

carbon may be added to facilitate the removal of dissolved pollutants. Disinfection processes selectively destroy disease-causing organisms such as bacteria and viruses. Typical disinfection agents include chlorine, ozone (O<sub>3</sub>), and ultraviolet radiation.

Biological water pollution control methods utilize biological activity to remove pollutants from water streams. These methods are used for the control of biodegradable organic chemicals, as well as nutrients such as nitrogen and phosphorus. In these systems microorganisms consisting mainly of bacteria convert carbonaceous matter as well as cell tissue into gas. There are two main groups of microorganisms used in biological treatment, aerobic and anaerobic microorganisms. Each requires unique environmental conditions. Anaerobic processes occur in the absence of oxygen. Both processes may be utilized whether the microorganisms exist in a suspension or are attached to a surface. These processes are termed suspended growth and fixed film processes, respectively.

Solid pollution control methods typically used include landfilling, composting, and incineration. Sanitary landfills are operated by spreading the solid waste in compact layers that are separated by a thin layer of soil. Aerobic and anaerobic microorganisms help to break down the biodegradable substances in the landfill and produce carbon dioxide and methane (CH<sub>4</sub>) gas, which is typically vented to the surface. Landfills also generate a strong wastewater (leachate), which must be collected and treated to avoid groundwater contamination.

Composting of solid wastes is the microbiological biodegradation of organic matter under either aerobic or anaerobic conditions. This process is most applicable for readily biodegradable solids such as sewage sludge, paper, food waste, and household garbage, including garden waste and organic matter. This process can be carried out in static pile, agitated beds, or a variety of reactors.

In an incineration process, solids are burned in large furnaces, reducing the volume of solid wastes that are disposed of in landfills, as well as reducing the possibility of groundwater contamination. Incineration residue can also be used for metal reclamation. These systems are typically supplemented with air pollution control devices.

*See also* Air pollution index; Air quality criteria; Clean Air Act (1963, 1970, 1990); Clean Water Act (1972, 1977, 1987); Emission standards; Heavy metals and heavy metal poisoning; Industrial waste treatment; Primary standards; Secondary standards; Sewage treatment; Water quality standards.

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## Pollution credits

Pollution credits emerged from the federal Clean Air Act of 1990 (CAA) as a way for businesses to deal with the regulations that attempt to lower air pollution. The Environmental Protection Agency (EPA) and individual states have created a "cap and trade" system (in practice since 1995) giving utilities and manufacturers allowances to emit a certain amount of specific pollutants. This system offers companies some flexibility in their compliance while helping to maintain the standards required for cleaner air.

A company can earn pollution credits by voluntarily reducing polluting emissions below limits dictated by the EPA. Earned credits can then be sold to another company that has trouble keeping its emissions within permissible limits, or saved for future use. According to Dean S. Sommer writing for *The Albany (New York) Business Review* in March 1999, “The ‘cap’ portion of the system refers to the specific amount of emissions that may be discharged within a state, region or the nation as set forth by the EPA and the CAA. The ‘trade’ portion refers to a participant’s ability to buy or sell the allowances. States, or the EPA, allocate emission allowances to affected sources in specified amounts to ensure that the cap is not exceeded by emissions from all participants.”

Different “cap and trade” allowances apply to the most common pollutants, sulfur dioxide and nitrogen oxides, which contribute to acid rain, smog, and ozone depletion. Each allowance, or credit, is a trading unit equivalent to one ton of air emissions. The EPA exacts annual penalties from those companies whose emissions exceed the number of allowances.

According to the EPA the practice of pollution-credits bartering has proven beneficial not only in reducing air pollution, but in providing an incentive for companies to meet environmental goals. For example, the EPA’s national acid rain program to reduce sulfur dioxide resulted in a decrease of six million tons of emissions a year compared to 1980 levels. Nitrogen oxide levels were reduced by 50 percent compared to 1990 levels as a result of a program undertaken by numerous northeastern states.

Critics of the trading program, however, have suggested that the system is flawed. They contend that although it was meant to be a way of encouraging industry to find ways of producing less pollution, the system provides a way of merely transferring responsibility—the one polluting is not held responsible for the poor quality of air it has affected.

In 2000, for example, the state of New York identified several midwestern and southeastern states—Delaware, Illinois, Indiana, Ohio, Michigan, Kentucky, Maryland, New Jersey, North Carolina, Pennsylvania, Tennessee, Virginia, West Virginia, and Wisconsin—as the major sources of its acid rain, a serious problem undermining natural resources and wildlife. Between 1995 and 2000, the state had accumulated more than 700,000 pollution credits—providing the New York businesses selling them with a total of approximately \$37.5 million. The result was that pollution within New York had declined only to be blown back over state lines by other states that had purchased the credits.

According to a May 2, 2000, report of the *Environment News Service* (ENN) by writer Cat Lazaroff, “Despite the state’s efforts to reduce sulfur dioxide emissions, many high altitude lakes in New York’s Adirondack Mountains remain too acidic to support many native species. Some government studies estimate about half of the region’s 3,000 lakes and ponds may become too acidic to support life if acid rain is not reduced.” The state fought back with legislation authorizing fines for utility companies that sell their pollution credits to the polluting states.

In 2009 and 2010, in an attempt to bring U.S. climate change policy more in line with its peer nations in Europe, U.S. President Barack Obama pushed Congress to establish a plan for a cap-and-trade program for carbon emissions. However, the Senate failed to adopt the proposed legislation, with opponents of cap-and-trade claiming that the regulation would increase energy costs for businesses and households.

Attempts to regulate less and provide more incentives have been met with suspicion by program critics, who fear an increase in pollution, and applause by businesses, who view the reduction in standards as a possible financial relief. In any case, pollution credits had become a valuable commodity on the open market by the early twenty-first century, in the United States and throughout the world.

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## Pollution Prevention Act (1990)

The Pollution Prevention Act of 1990 is a piece of legislation intended to limit the creation of pollution. As part of the Omnibus Budget Reconciliation Act of 1990, the Pollution Prevention Act differed from

previous legislation, which had generally treated pollution after it had been created. Environmental Protection Agency (EPA) administrator William K. Reilly strongly supported the act, believing that much hazardous or toxic pollution can be more effectively and economically controlled and the environment protected more fully, if the pollution never occurs.

The impetus for this act lies in a 1986 EPA report to Congress titled “Minimization of Hazardous Wastes.” Based on this report, the agency began to take actions designed to reduce pollution. According to a subsequent report by the Office of Technology Assessment (OTA), however, the EPA needed to do more. The two reports identified four main approaches to prevent pollution: (1) manufacturing changes, (2) equipment changes, (3) product reformulations and substitutions, and (4) improved industrial housekeeping. By using such approaches, companies (and individuals) can both save money by reducing pollution control costs and have a cleaner environment because certain pollution will be prevented. The corporation 3M, for instance, has saved hundreds of millions of dollars since 1975 by reducing air, water, and sludge pollutants at the source. The OTA estimated that a ten percent reduction in hazardous wastes per year for five years could be achieved through source reduction. The reports also found that pollution prevention is primarily hindered not by a lack of technology but rather by a lack of knowledge and awareness due to institutional hurdles. The Pollution Prevention Act was designed to help overcome these hurdles through information collection, assistance in technology transfer, and financial assistance to state pollution prevention programs.

In addition to developing a general strategy to promote the reduction of pollution at its source, the act created a new EPA office to administer the program. The major provisions of the law are as follows: a state grant program to aid states in establishing similar pollution prevention programs; EPA technical assistance for business; the development of a Source Reduction Clearinghouse, administered by the EPA and available for public use, to help in the collection and distribution of source reduction information; the establishment of an advisory committee on the issue; the creation of a training program on pollution prevention; and the creation of annual awards to businesses that achieve outstanding source reduction. Businesses using toxic substances were required to meet special standards—to report on how many and the amount of toxic substances released into the environment, how much they recycled, how they attempted to prevent the generation of toxic pollutants, and how

they learned of source reduction techniques. Lastly, the EPA is to report biennially on the effectiveness of the new program and its components. The act authorized \$16 million each year for three years for the EPA to implement this program.

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Christopher McGrory Klyza

## Polunin, Nicholas

1909–1997

English environmentalist

Nicolas Polunin will be long remembered for two unusual achievements. First, he founded and was first editor of not one but two influential journals, *Biological Conservation* (founded in 1967 and edited from that year to 1974) and then *Environmental Conservation* (founded in 1974 and edited from its inception through 1995, when he was in his mid-eighties). Second, the readers of either journal, but particularly the latter, will remember the vivid language Polunin used in numerous editorials and other articles and texts to urge readers to pay attention to the impact of human activities on other species on earth and on the natural environment.

Polunin credited his very public concern about such issues to a small UNESCO Conference in Finland in 1966, where he “had a sudden realization, amounting to a kind of vision, that if the world’s populations went on growing and acting as profligately as they had been doing in recent decades, more and more ecodisasters would be inevitable and ultimately there would be an end to much of what was best in our civilization and conceivably to all life on Earth.” He went on to say that “thereupon we decided that it was our solemn duty to do all we could henceforth to warn humanity of these grave dangers.” That

“quite shocking realization” led to his founding and editing of the international journal on conservation, and, after he considered it “sufficiently established,” a “more predominately environmental journal.” *Biological Conservation* has become a mainstay for scientists investigating the vast range and significance of biological diversity on the planet and on the possibilities for sustainable use by humans of the biosphere. *Environmental Conservation* tries to reach a wider readership, one well outside the community of research biologists and is much more activist in tone and content. Establishment of two such influential journals is a significant accomplishment, and they remain Polunin’s enduring legacy, one matched by few other ecologists.

On the cover of the first issue of *Biological Conservation*, Polunin listed thirty headings as “the content of our subject.” These included the history and development of conservation, a focus on man and environment, disturbance and maintenance of ecosystems and the biosphere, population dynamics, threatened species and nature reserves and parks, legislation and enforcement, education and training, fresh waters and wetlands, and a list of chemical, physical and biological threats to wildlife and environment. Those thirty topics were listed only on the first six issues, but the editorial description of topics for papers (as noted on an inside cover sheet in most science journals) are almost identical in the 2002 issues to those Polunin stated in the first issue. Typically Polunin’s topical editorial in that first issue was titled “Some Warnings,” suggesting that “our world is beset with many threats,” including the rapid increase in human population, a concern he voiced many times in editorials in both journals. In a still relevant and prescient voice, he called for a “severe limitation of human breeding and cognate desecrating tendencies.” When he stepped down as editor, the publishers noted his energy and enthusiasm as reflected in “the great wealth of material published under his guidance.”

The stated purpose in the first journal was, and remains, the widest possible dissemination of original papers on “the preservation of wildlife and all nature.” Polunin’s editorial directions to authors in the first issue of *Environmental Conservation* stated that the journal “advocates timely action for the protection and amelioration of the environment of Man and Nature throughout the world . . . for the lasting future of Earth’s fragile biosphere.” His editorial language remained strong, continuing to warn about the plagues human actions were visiting on each other, on other organisms, and on the earth. Words and phrases such as *faustian bargain*, *ecodisasters*, *our world menaced*,

and *rays of hope* were common in his editorial pleas for sanity.

Polunin published longer statements, such as his “Thoughts on Some Conceivable Ecodisasters” in the Autumn 1974 issue of *Environmental Conservation*. He organized major international conferences on the environmental future and edited the proceedings, all evidence of his world wide influence on thinking about human-environment issues and problems. He also never lost hope, claiming in 1991 (Autumn issue of *Environmental Conservation*) that there had been “recent changes for the better in the prospect of an improved future for the global environment” and listing twelve rays of hope, including “a growing realization that there are coming to be far too many human beings on our limited Planet Earth.”

Nicholas Polunin was born in England to a Russian father and an English mother. He earned a First Class Honours degree in botany and ecology from Oxford in 1932, a master’s from Yale, and returned to Oxford for a PhD in 1935. One of his mentors was Arthur Tansley. Polunin traveled widely with a number of scientific expeditions while still an undergraduate and published his first book (*Russian Waters*, 1931) before he completed his degree. He was a member of the party that discovered Prince Charles Island, the “last major island to be marked on the world’s map.” He held academic positions at McGill University and at universities in Iraq and Nigeria, among others.

Perhaps unknown to general readers more familiar with his visible roles as editor, writer, and environmental activist, Polunin was a productive research biologist, specializing in arctic vegetation and plant ecology and, later, on marine ecosystems. His 1960 text on plant geography is one of the best known in that field and still in print more than fifty years later.

Myers remembered Polunin as a “scientist in the round,” an appropriate epitaph for one whose legacy includes two influential international journals, ever renewed encouragement to scientists to focus more clearly on global issues of conservation and environmental science, a better informed and more aware public around the world, and more realistic hopes for a better quality of life for all humanity and for continued maintenance of the diversity of Earth’s natural biota.

Polunin died in 1997.

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Gerald L. Young

## Polybrominated biphenyls

A mixture of compounds having from one to ten bromine atoms attached to a biphenyl ring, analogous to polychlorinated biphenyl (PCB). Manufactured as fire retardants, PBBs were banned after a 1973 Michigan incident when pure product was accidentally mixed with cattle feed and distributed throughout the state. PBBs were identified as the cause of weight loss, decreased milk production, and mortality in many dairy herds. Although human exposures have been well-documented, long-term epidemiological studies have not shown widespread health effects.

## Polychlorinated biphenyls

Mixture of compounds having from one to ten chlorine atoms attached to a biphenyl ring structure. There are 209 possible structures theoretically; the manufacturing process results in approximately 120 different structures. PCBs resist biological and heat degradation and were used in numerous applications, including dielectric fluids in capacitors and transformers, heat transfer fluids, hydraulic fluids, plasticizers, dedusting agents, adhesives, dye carriers in carbonless copy paper, and pesticide extenders. The United States manufactured PCBs from 1929 until 1977, when they were banned due to adverse environmental effects and ubiquitous occurrence. They bioaccumulate in organisms and can cause skin disorders, liver dysfunction, reproductive disorders, and tumor formation. They are one of the most abundant organochlorine contaminants found throughout the world.

*See also* Organochloride.

## Polycyclic aromatic hydrocarbons

Polycyclic aromatic hydrocarbons are a class of organic compounds having two or more fused benzene rings. Although usually referring to compounds made of carbon and hydrogen, PAH also may include fused aromatic compounds containing nitrogen, sulfur, or cyclopentene rings. Some of the more common PAH include naphthalene (2 rings), anthracene (3 rings), phenanthrene (3 rings), pyrene (4 rings), chrysene (4 rings), fluoranthene (4 rings), benzo(a)pyrene (5 rings), benzo(e)pyrene (5 rings), perylene (5 rings), benzo(g,h,i)perylene (6 rings), and coronene (7 rings).

PAH are formed by a variety of human activities, including incomplete combustion of fossil fuels, wood, and tobacco; the incineration of garbage; coal gasification and liquefaction processes; smelting operations; and coke, asphalt, and petroleum cracking. They are also formed naturally during forest fires and volcanic eruptions. Low molecular-weight PAH (those with four or fewer rings) are generally vapors, whereas heavier molecules condense on submicron, breathable particles. It is estimated that more than 800 tons of PAH are emitted annually in the United States. PAH are found worldwide and are present in elevated concentrations in urban aerosols and in lake sediments in industrialized countries. They also are found in developing countries due to coal and wood heating, open burning, coke production, and vehicle exhaust.

Because of PAH's association with small particles, it remains in the atmosphere for days to weeks and can be transported long distances. They are removed from the atmosphere by gravitational settling and are washed out during precipitation to the earth's surface, where they accumulate in soils and surface waters. PAH also directly enter water in discharges, runoff, and oil spills. They associate with water particulates due to their low water solubility, and eventually accumulate in sediments. They do not bioaccumulate in biota to any appreciable extent, as they are largely metabolized.

Many, but not all, PAH have carcinogenic and mutagenic activity; the most notorious is benzo(a)pyrene, which has been shown to be a potent carcinogen. Coal tar and soot were implicated in the elevated skin cancer incidence found in the refining, shale oil, and coal tar industries in the late nineteenth century. Subsequent research led to the isolation and identification of several carcinogens in the early part of this century, including benzo(a)pyrene. More recent research into the carcinogenicity of PAH revealed that there is

significant additional biological activity in urban aerosols and soot beyond that explained by known carcinogens, such as benzo(a)pyrene. Whereas benzo(a)pyrene must be activated metabolically, these other components have direct biological activity as demonstrated by the Ames test. They are polar compounds, thought to be mixtures of mono- and dinitro-PAH and hydroxy-nitro derivatives. Tobacco smoking exposes more humans to PAH than any other source.

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Deborah L. Swackhammer

## Polycyclic organic compounds

In organic chemistry a cyclic compound is one whose molecules consist of three or more atoms joined in a closed ring. A polycyclic compound is one whose molecules contain two or more rings joined to each other. Environmentally, among the most important polycyclic organic compounds are the polycyclic aromatic hydrocarbons, also known as polynuclear aromatic hydrocarbons (PAHs). Some examples of polycyclic organic compounds include naphthalene, anthracene, pyrene, and the benzopyrenes. A number of polycyclic hydrocarbons pose hazards to human health. For example, benzo(a)pyrene from automobile exhaust and tobacco smoke is a known carcinogen.

*See also* Cigarette smoke.

Polynuclear aromatic hydrocarbons *see*  
**Polycyclic aromatic hydrocarbons.**

## Polystyrene

Polystyrene is a lightweight transparent plastic derived from petroleum by-products and natural gas. It is widely used in the packaging industry, but most polystyrene is used to make durable goods such as television cabinets, appliances, and furniture. Polystyrene also has excellent insulating properties. As packaging, polystyrene is used both in foam and

solid forms. Solid polystyrene is used in yogurt, sour cream, and cottage cheese containers, cutlery, clear clamshells used at salad bars, and video and audio cassette containers. As foam, polystyrene is used in cups, bowls, plates, trays, clamshell containers, meat trays, egg cartons, and packaging for electronics and other delicate items. Polystyrene foam is manufactured by processing solid polystyrene resin pellets with a gaseous expansion agent. In the past about 30 percent of polystyrene foam products were made with chlorofluorocarbons (CFCs), which were identified as contributors to the deterioration of the ozone layer in the earth's upper atmosphere. By 1990 manufacturers had phased out the use of CFCs as a polystyrene expansion agent. Some manufacturers switched to the use of hydrochlorofluorocarbon-22 (HCFC-22), which reduced ozone-layer depletion potential by about 95 percent over CFCs. By 1994, as required by federal law, polystyrene foam manufacturers phased out the use of HCFC-22 and by 1997 were using alternative expansion agents, most commonly pentane gas. Pentane does not affect the ozone layer, but because it can contribute to smog formation, some manufacturers recycle pentane emissions. Carbon dioxide (CO<sub>2</sub>) is also used by manufacturers as a polystyrene expansion agent. CO<sub>2</sub> is nontoxic, nonflammable, does not contribute to smog, and has no atmospheric ozone-depletion potential, but it has been implicated in global warming.

Polystyrene packaging accounts for about 1.2 percent (by weight) of the total solid waste stream in the United States, and polystyrene makes up from about 2–10 percent of materials thrown away as litter. However, polystyrene can be recycled and used to make new polystyrene products such as wall insulation, packing filler, and cafeteria trays. Polystyrene has an energy content of 17,000 Btu/pound, about four times that of average municipal solid waste, and can be burned with other solid wastes in an incinerator that has appropriate emission controls to contain potentially toxic combustion products. Another potential environmental concern is the release of toxic metals such as cadmium and lead from combustion of inks used to tint the polystyrene. The polystyrene manufacturing industry is developing source reduction techniques, including improving resin properties to make stronger products with less material and making lighter-weight foam products.

Polystyrene is manufactured from styrene, which is a hazardous chemical. When styrene is heated to 392°F (198°C), it is converted into the polymer polystyrene. Health concerns have been raised about styrene residues remaining in polystyrene products,

especially those that are used to contain food for consumption. Styrene is soluble in oil and in ethanol, substances commonly found in foods and alcoholic beverages. A U.S. EPA National Human Adipose Tissue Survey in 1986 identified styrene in 100 percent of the forty-six human fat samples collected.

Judith Sims

## Polyvinyl chloride

Polyvinyl chloride, also known as PVC, is a plastic produced by the polymerization of vinyl chloride. It is used with plasticizers to make products such as packaging films, boots, and garden hose. Without plasticizers, PVC is used to make pipe, siding, shingles, window frames, toys, and other items. An attractive aspect of PVC for industry is its ability to withstand weathering and its resistance to chemicals and solvents. However, this attractive aspect is the major environmental concern for PVC and many other plastics. The great bulk of such plastic is neither reused nor recycled but occupies ever dwindling landfill space.

Pongo pygmaeus see **Orangutan.**

POPs see **Persistent organic pollutants.**

## Population biology

Population biology is the study of the factors determining the size and distribution of a population, as well as the ways in which populations change over time. The discipline of population biology dates back to the 1960s, when researchers merged aspects of population ecology with aspects of population genetics. It employs a traditional empirical approach that consists of observation of the numbers of individuals in a population and the variation in those numbers over time and space, and the measurement of physical (abiotic) factors and the living (biotic) factors that may affect population numbers.

Given optimum conditions, the populations of most organisms grow at a constant rate, doubling in size at regular intervals, which is known as exponential growth. Exponential population growth is explosive, but it is usually opposed by factors that

reduce numbers, such as disease, predation, or harsh climates. The result is logistic growth, where the rapidly growing population slows and reaches a stable, but dynamic, equilibrium at or near the carrying capacity of the environment. Carrying capacity refers to the number of individuals of a population a certain area or ecosystem can sustain in terms of food, habitat, and water. The populations of some species such as migratory locusts show boom and bust cycles: in these cases explosive growth produces vast numbers of individuals that eventually overwhelm the carrying capacity and a catastrophic dieback follows.

The rate of growth of a population is the net result of the gains and losses from a number of intrinsic factors operating within the population. These include natality (birthrate), fecundity (fertility), life span, longevity, mortality, and immigration and emigration. Patterns of survivorship and age structure created by these interacting factors show how a population is growing and indicate what general role a species plays in the ecosystem. Density-dependent biotic factors that decrease natality or increase mortality include the numbers of competitors both interspecific and intraspecific, as well as predators, prey, parasites, and other interactive species. Stress and overcrowding are other density-dependent biotic factors that limit population size through excessive intraspecific competition for limited resources.

The local distribution pattern of populations of most species is limited by physical factors such as temperature, moisture, light, pH, soil quality, and salinity. Within their areas of distribution, animals occur in varying densities (either scattered thinly or crowded) and in varying dispersal patterns (either spaced evenly or clumped into herds). Population density and dispersion are often studied together, and are important in ecology and management. Density is measured by direct visual count, and by trapping, collecting fecal pellets, using pelt records, monitoring vocalization frequencies, and so on. Life tables constructed from these data show precisely how a population is age-structured.

Human activities have a significant impact on the populations of living organisms on Earth. Increasing temperatures as a result of climate change can affect populations by exceeding the optimal (or even acceptable) temperatures at which various organisms thrive. Human-produced pollutants and their effects on the ecosystem can accelerate climate change and threaten the health of living organisms by contaminating air, soil, and water bodies. Additionally, deforestation and habitat destruction disrupt distribution patterns, thus altering and reducing available habitats. These events

are likely to either weaken populations or ultimately may cause the extinction of vulnerable organisms.

Population genetics recognizes two important attributes of a population—its gene frequencies and its total gene pool. Population size exerts some influence on the genetic composition of its members, because the number of sexually interbreeding individuals influences the transfer of genes within a population. It also affects the kinds of genotypes that are available, and the survival and reproductive capacity of individuals with certain genes. The application of the principles of population genetics is vital to the success of programs to improve the breeds of animals and plants for agricultural use, and for the captive breeding of endangered species.

*See also* Captive propagation and reintroduction; Gene bank; Genetic engineering; Predator-prey interactions; Sustainable development; Wildlife management.

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Neil Cumberlidge

Population control *see* **Family planning; Male contraceptives.**

## Population growth

A population is the number of individuals of a given species, usually within a specified habitat or area. Within the science of ecology, the study of population dynamics, or the ways in which the number of

individuals in a community expands and contracts, makes up an important subfield known as population biology. Although ecologists and wildlife biologists rely on understanding the nature of population change and the factors that influence population size, one of the main ways population studies have been used is in projecting the growth of the human population. Since the 1960s human population growth has been an issue of fierce theoretical debate. Many scientists and social planners predict that the human population, like animal populations frequently observed in nature, is growing at a perilous rate that will ultimately lead to a catastrophic die-off in which billions of people will perish. Other scholars and planners argue that current trends may not lead to disaster and that humankind may yet find a reasonable and stable population level. Both sides of the debate use ecological principles of population biology in predicting human population dynamics and their effect upon the conditions in which we may live in the future.

The extent of population growth is based on fertility, or the number of offspring that individuals of reproductive age successfully produce. In some animal and plant species (flies, dandelions), every individual produces a great number of offspring. These populations grow quickly, as long as nutrients and space are available. Other species (elephants, pandas) produce few offspring per reproductive adult; populations of these species usually grow slowly even when ample food and habitat are available. The maximum number of offspring an organism can produce under ideal conditions is known as the biotic potential of that organism. For instance, if an individual housefly can produce 120 young in her lifetime, and each of those successfully produces 120 young, and so on, the fly's biotic potential in one year (seven generations) is nearly six trillion flies. Clearly biotic potentials are rarely met: reproductive failures and life hazards usually prevent the majority of houseflies, like other species, from achieving their theoretical reproductive potential.

Populations increase through reproduction, but they do not increase infinitely because of environmental limitations, including disease, predation, competition for space and nutrients, and nutrient shortages. These limits to population growth are collectively known as environmental resistance.

An important concept in environmental resistance is the idea of carrying capacity. Carrying capacity is the maximum number of individuals a habitat can support. In any finite system, there is limited availability of food, water, nesting space, and other essentials, which limits that system's carrying capacity. When a population exceeds its environment's carrying

capacity, shortages of nutrients or other necessities usually weaken individuals, reduce successful reproduction, and raise death rates from disease, until the population once again falls below its maximum size. A population that grows very quickly and exceeds its environment's carrying capacity is said to overshoot its environmental capacity. A catastrophic dieback, when the population plummets to well below its maximum, usually follows an overshoot. In many cases populations undergo repeated overshoot-dieback cycles. Sometimes these cycles gradually decrease in severity until a stable population, in equilibrium with carrying capacity, is reached. In other cases overshoot-dieback cycles go on continually, as in the well-known case of lemmings. Prolific breeding among these small arctic rodents leads to a population explosion every four to six years. In overshoot years, depletion of the vegetation on which they feed causes widespread undernourishment, which results in starvation, weakness, and vulnerability to predators and disease. The lemming population collapses, only to begin rebuilding, gradually approaching overshoot and another dieback. At the same time, related populations fluctuate in response to cycles in the lemming population: populations of owls and foxes surge when lemmings become plentiful and fall when lemmings are few. The grasses and forbs on which lemmings feed likewise prosper and diminish in response to the lemming population.

As a population grows, the number of breeding adults increases so that growth accelerates. Increase at a constant or accelerating rate of change is known as exponential growth. If each female lemming can produce four young females, and each of those produces another four, then the population is multiplied by four in each generation. After two generations there are sixteen (or twenty-four) lemmings; after three generations there are sixty-four (thirty-four); after four generations there are 256 (forty-four) lemmings, if all survive. When environmental resistance (e.g., predation, nutrient limitations) causes a population to reach a stable level, without significant increases or decreases over time, population equilibrium is achieved. Generally stable (equilibrium) populations are more desirable because repeated diebacks involve extensive suffering and death.

These principles of population biology have strongly informed the understanding of human population changes. Over the centuries the world's human population has tended to expand to the maximum allowed by available food, water, and space. When humans exceeded their environment's carrying capacity, catastrophic diebacks (usually involving disease, famine, or

war) sometimes resulted. However, in many cases, diebacks have been avoided through emigration, as in European migrations to the Americas, or through technological innovation, including advances in medicine, agriculture, irrigation, and mechanization; each of which effectively expanded environmental carrying capacities. For tens of thousands of years the human population climbed gradually, until about the year 1000 CE, when it began to grow exponentially. Where it once took a thousand years (200 to 1200 CE) to double the population from two hundred million to four hundred million, at current rates of growth it would require only forty years to double the population. Since the eighteenth century, population theorists have increasingly warned that the current pattern of growth is leading toward a serious overshoot, perhaps one that will permanently damage the environment and result in a consequent dieback. The only course to avoid such a catastrophe, argue population theorists, is to stabilize the human population somewhere below the environment's carrying capacity.

Popular awareness of population issues and agreement with the principle of population reduction have spread with the publication of such volumes as *The Population Bomb* by American entomologist Paul Ehrlich (1932–), and *The Limits to Growth* by American environmental scientist Donella Meadows (1941–2001), and others. The current population debate, however has older roots, especially in the work of Thomas Malthus (1766–1834), an English cleric who in 1798 published *An Essay on the Principle of Population as It Affects the Future Improvement of Society*. Malthus argued that, while unchecked human population growth increases at an exponential rate, food supplies increase only arithmetically (a constant amount being added each year, instead of multiplying by a constant amount). The consequence of such a disparity in growth rates is starvation and death. The remedy is to reduce reproductive rates, where possible by “moral restraint,” but where necessary by force. Malthus' work has remained well-known principally because of its conclusion about social policy: because providing food and shelter to the poor only allows them to increase their rates of breeding, assistance should be withheld. If the poorer classes should starve as a result, argued Malthus, at least greater rates of starvation at a later date would be avoided. This conclusion continues to be promoted today by neo-Malthusians, who protest the principle of aiding developing countries. Poorer countries, neo-Malthusians point out, have especially dangerous growth rates. If wealthy countries provide assistance because they cannot bear to watch the suffering in poorer nations, the developed countries only

make the situation worse. By giving aid, neo-Malthusians argue that donor nations only postpone temporarily the greater suffering that will eventually result from artificially supported growth rates.

Naturally this conclusion is deeply offensive to developing nations and to those who sympathize with the plight of poorer countries. Malthusian conclusions are especially harsh in situations where military and economic repression, often supported by North American and European governments, have caused the poverty. Those who defend aid to developing countries argue that high reproductive rates often result from poverty, rather than vice versa. Where poor nutrition and health care make infant mortality rates high, parents choose to have many children, thus increasing the odds that at least some will survive to support them in their old age. Assistance to poor countries and to poor areas within a single country, argue anti-Malthusians, helps to alleviate the poverty that necessitates high birth rates. When people become confident of their children's survival, then birth rates will drop, and population equilibrium may be achieved.

There are some ways in which ecological principles of population dynamics do not necessarily fit the human population. Improving health care and increasing life expectancies have caused much of the population expansion. Wars, changes in prosperity, development and transportation of resources, and social changes sometimes increase or decrease populations locally. Immigration and emigration tend to redistribute populations from one region to another, temporarily alleviating or exacerbating population excesses. Also unlike most animals, people have not historically been subject to a fixed environmental carrying capacity. The agricultural revolution, the industrial revolution, and other innovations have expanded the environment's capacity to support humans. Some population theorists today, known as technological optimists, insist that, even as the human population continues to grow, technological inventiveness will help to feed and shelter the world's population. Even in the past fifty years, when the world's population jumped from less than three billion to more than six billion, world food production has exceeded population growth. Technological optimists point to such historical evidence as support for their position.

Also unlike most animal populations, humans are, at least in principle, able to voluntarily limit reproductive rates. Most population theorists point to some sort of voluntary restraint as the most humane method of preventing a disastrous population overshoot. Family planning programs have been developed around the world in an effort to encourage voluntary population

limits. Furthermore, human societies have been observed to go through a demographic transition as their economic and social stability has improved. A demographic transition is a period when infant mortality rates have decreased, but people have not yet adjusted their reproductive behavior to improved life expectancies. After twenty to thirty years, people adjust, realizing that more children are surviving and that smaller families now have economic advantages. The birth rate then begins to fall. Whereas families in poor countries often have five to ten children, the average family in developed countries has about two children.

Because of family planning programs and demographic transitions, birth rates around the world have fallen dramatically in recent years. Where the average number of children per woman (total fertility rate, TFR) was 6.1 in 1970, the average number in 1990 was only 3.4. This rate still exceeds the minimum replacement level (zero population growth level) of 2.1 children per woman, but if recent demographic trends continue, the world's population will stabilize within the next few years. The U.S. TFR estimate for 2009 is 2.05 children per woman, which is just under the minimum replacement level. As of 2010 global fertility rates were declining, especially in developed countries.

No one knows exactly what the earth's carrying capacity is. Some warn that the world has already surpassed a population size. Others argue that the earth can comfortably support far more people than it currently does. Many worry about what would happen to other species if the human population continues to expand. Human population increases result in the deforestation (or clearcutting) of land for construction and crop production purposes. These actions result in the reduction or destruction of habitat for other organisms, which are subsequently displaced. Increasing habitat destruction affects the biodiversity in ecosystems by reducing the survival rates of organisms that no longer have habitat or food supplies. Additionally, human population growth is affecting the environment through increased pollution. Pollutants in the atmosphere and in soil and waterways are affecting human health as well as ecosystem health and that of other organisms. Increasing amounts of greenhouse gases, such as carbon dioxide (CO<sub>2</sub>), are being produced as a result of deforestation and the escalating input of chemicals into the environment by the human population. The accumulation of greenhouse gases is leading to climate change (a warming of the earth's surface and atmosphere), which in turn is affecting the health of the environment and the organisms within it. Most people agree that the world would benefit from a

decrease in population growth. How urgent the population question is and how best to curb growth rates are issues that continue to be debated.

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Mary Ann Cunningham

## Porter, Eliot Furness

1901–1990

American photographer

Eliot Porter was an American photographer. He is remembered for his pioneering use of color photography to record natural settings.

Born in Winnetka, Illinois, Eliot Porter's fascination with nature (and birds in particular) was evident from a very early age. His father, an architect with a keen interest in Greek, Gothic, and Roman architecture and art, encouraged his son's insightful, artistic talents. Using the camera he received as a gift from his father, the young Porter began photographing primarily landscapes during the family's sojourns on islands off the coast of Maine. There he cultivated an enthusiasm and love for naturalist photography. He noted in the introduction to the classic book *Birds of America*, the most satisfactory outlet for expressing my excitement over birds was the camera, rather than pencil or brush."

Porter began his undergraduate education at Harvard University in 1920. Casting aside his passion for photography, he pursued the more practical major of chemical engineering; he earned his bachelor's degree (cum laude) in 1924. He continued his education at Harvard's Medical School where he earned his doctor of medicine in 1929. Porter used his degrees to teach biochemistry and bacteriology at Harvard and Radcliffe College until 1939. In addition to his teaching,

Porter was conducting numerous studies through the Harvard Biology Department. By 1939 whatever attraction there had been to biochemistry diminished, and Porter turned his energies back to photography. Determined to transform bird photography from less-than-professional reportage to art, Porter became involved with the Eastman Kodak company. Porter was awarded Guggenheim Fellowships in 1941 and again in 1946 to finance experiments with Kodachrome photography—a new venture for Kodak. He believed that by using the new color film, the photographer could provide a more sensitive interpretation of the subject.

Finally achieving success with color film, Porter produced a number of bird photography publications, including a photo-essay pairing his photos with specific Thoreau excerpts. The book, *In Wildness Is the Preservation of the World*, was published by the Sierra Club. Porter produced subsequent books with the organization (*Baja California—The Geography of Hope* and *The Place No One Knew: Glen Canyon on the Colorado*), and later—until 1971—served on the Sierra Club's Board of Directors.

Although Porter's photography took him to locations throughout the world (Greece, Iceland, Turkey, and the Galapagos Islands), his most brilliant work came from his travels in eleven of the United States. *Birds of America—A Personal Selection* was the result of his trip and became one of his best-known books. It combines rich, full-color photographs with anecdotal notes detailing his trials and tribulations in taking them. Porter's other publications include *Galapagos—The Flow of Wilderness*, *Antarctica*, and *Intimate Landscapes*.

Porter's one-man exhibits have appeared in some of the most influential art institutes and museums in the United States, among them: the George Eastman House, Museum of Modern Art, Metropolitan Museum of Art, and Stielglitz's An American Place. He maintained membership in several organizations including Advocates for the Arts, American Civil Liberties Union, American Ornithologists' Union, and the Audubon Society.

Porter died in November 1990 in New Mexico following a heart attack; he had also suffered from Lou Gehrig's disease.

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Kimberley A. Peterson

*Portheria dispar* see **Gypsy moth**.

## Positional goods

A concept coined by English economist Fred Hirsch (1931–1978) to describe goods or activities whose value depends on their exclusivity. For example, fame is considered a positional good because by definition only a few people can be famous and thus enjoy this privilege. If everyone were famous, no one would be. Similarly, solitude on a mountain peak or in the wilderness would qualify as a positional good, because if one shared the peak or the wilderness with many other people, one could not experience solitude. Ironically a positional good tends to diminish its own value because of the high demand it creates: as more people enjoy positional goods, they no longer become exclusive or valued. For instance, automobiles were once a positional good in America, but as more and more people began owning cars, they were no longer such a status symbol. A number of concerned environmentalists contend that access to wilderness areas should be restricted or limited to prevent the destruction of this positional good.

Posterity see **Future generations**.

## Postmodernism and environmental ethics

Environmental ethics are a set of norms that a society accepts as a foundation for mediating and guiding their behavior toward the environment. In Western society, people have used science as well as other traditions (e.g. capitalist economics, humaneness, aesthetics), to form the foundation of environmental behavior. Society is in the midst of a search for new ethical foundations. Deep ecology has offered one possible route; Eastern religions have been another source for advancing ethics.

Yet nothing so far has proved palatable for Western social systems.

Western science has guided the environmental interactions of society, which in turn has dominated global development processes, but this pattern has some definite shortcomings. Although science has served to develop an understanding of nature and enhanced the knowledge of the ecological difficulties that people have created for themselves, the system of which science is a part has been very slow in responding to what many suggest is an ecological crisis. Sorting the science from the politics and the ethics of society is not an easy task. Yet science has been the target of criticism in the environmental arena because, although it has the power to expose the depth of the ecological crisis, it has done little in that regard. Critics point to that inaction as a negative structural attribute of how embedded science has become in society.

An alternative proposed from within the humanist tradition in the social sciences suggests that science can only produce a false construction of nature. From this viewpoint science is tied to traditions that inevitably create an end product related to the position from which the observer views nature. Further, it holds that humans, who cannot step outside of themselves to view the reality they think exists, construct all views of nature. Thus, no view is more or less close to reality; each is merely their creation. This alternative approach falls under the rubric of postmodernism and is elucidated through one of the principal methods in the postmodernist tool kit, deconstruction.

The deconstructionist approach of the postmodernist suggests that, even though the views of all are equally worthy, an imbalance of power has been created by the dominant classes. The disempowered classes attempt to create a balance, resulting in a struggle that proceeds by dissecting everything down to its bare power relationships. Once these power relationships have been revealed, the wrong can be corrected. Deconstruction is a term that does not differ significantly from any other critical analytical method, but it pays particular homage to power and position, supposedly more conscientiously than other methods.

Nature then becomes a series of constructions made by humans with different positions within a continuum of power relations. Nature is the invention of humans in power and is constantly reinvented by people in those same positions. To the postmodernist the present invention of nature, held by the current cadre of largely male Caucasian scientists, is biased. The postmodernist wish

is to level all points of view, so that life is seen as a struggle of words and nature becomes another, albeit large, “text” (a metatext). An outcome of this view of life is the idea that things in nature are doing what they do only because people think of them doing it. Furthermore, those who wish to protect nature may then become a force of oppression against those of other social orders who are struggling to gain power. Thus, a thinly disguised structuralist approach emerges. Power creates a framework within which the powerful struggle to remain empowered, and the remainder struggle to gain power. Environmental views supported by science are the handmaidens of the power elite and can only succeed in supporting the status quo.

Although there is undoubtedly a cultural context in which nature is understood and in which science operates, this does not necessarily mean that context is equivalent to the content of nature. Even though reality may be seen as having been invented by words and the human shaping of sensory data, nevertheless there is much commonality across cultures regarding what is seen in nature. The postmodernist view appears to be remote from the natural world, occupying an anthropocentric (human-centered) stronghold in which humans are dominant. Interestingly, whereas humanists refer to a nature made by humans, scientists since Darwin have referred to humans as having been created by nature through natural selection.

The diametrically opposed viewpoints under discussion here are not new; they merely reflect the range of opinions humans have always held about their relationship with nature. The heart of the environmental problem has been blamed to a large degree on humanity’s separation of itself from nature, and any proposal that maintains or widens that gap may exacerbate the problems. The postmodernist critique seems to ignore the power and influence that nature has on humankind, and instead uses an intricate semantics to describe the power people have over each other.

Most naturalists and ecologists are immediately struck by the apparent naiveté of a position that denies the creative strength in nature and the complex architecture of life provided by natural selection. A postmodern view is that knowledge of distributions of organisms is a construct of the way people have studied distributions, and the whole idea of species is merely a human distinction. The notion of objective criteria devised by, critiqued by, and used by scientists is rendered unusable. The postmodern view maintains that ecological tolerance of the organisms, historical events, and climate

create a relationship based on a combination of determinist relationships and random events is a mere artifact regardless of how many people agree to the concepts. This leads to a conclusion that provides little guidance for environmental ethics.

This does not mean that the entire postmodernist critique leads to less than useful conclusions. Rather, the very aspect of a critique that causes environmentalists to examine their position may be an important and valuable tool. Environmentalists have relied heavily on science and found at the center of ecology some powerful, yet largely incorrect, metaphors firmly rooted in their conception of nature. The ideas of balance, succession, and homogeneity in nature have all fallen by the wayside since the last decades of the twentieth century. Those readjustments have given ecologists pause, because they are strong examples of how viewpoint can influence the interpretation of nature. Ecologists considering the postmodernist ideas might ask if there are misconceptions that they hold about ecology and environment that need to be examined. Clearly the possibility exists, so it is important to search for those by any and all reasonable methods. The postmodernist viewpoint that all human perspectives are inherently equal may create an obstacle to proposing a comprehensive ethic toward the environment. It is necessary to synthesize a more positive relationship between nature and humanity to bring all cultures and philosophies into an integrated understanding of nature. However, a revised ethical foundation for humanity’s relationship with the environment may benefit from the tradition of critical thinking that various philosophical positions bring and from an examination of biases linked to environmentalists’ present positions.

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David A. Duffus

Potable water see **Drinking-water supply**.

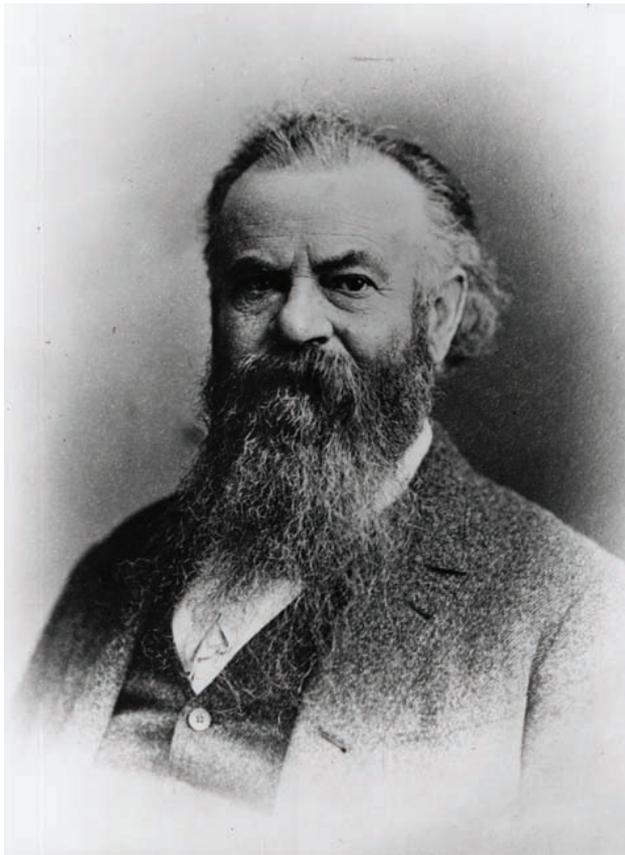
## Powell, John Wesley

1834–1902

American philosopher, geologist, anthropologist, and scientific explorer

John Wesley Powell—Civil War veteran, college professor, long-time head of the U.S. Geological Survey, member of the National Academy of Sciences, president of the American Association for the Advancement of Science, and instrumental in the establishment of the National Geographic Society, the Geological Society of America, the U.S. Geological Survey, the Bureau of Ethnology, and the Bureau of Reclamation—is best-known for two expeditions down the Green and Colorado Rivers.

Born in Mount Morris, New York, of English-born parents, Powell was discouraged from education by his father who believed that the ministry was the only purpose for which one needed to be educated. The younger Powell, however, worked at a variety of jobs to support his attendance at numerous schools, but never completed a degree. His hard-won recognition as a scientist is based in large part on self-taught



John Wesley Powell. (Archive/Corbis-Bettmann)

concepts and methods that he applied all his life and in everything he did.

Powell made significant contributions to conservation and environmental science. He was an early and ardent student of the culture of the fast-disappearing North American Indians tribes, and his work ultimately led to the creation of the Bureau of Ethnology, of which he was the first director. He served as the second director of the United States Geological Survey (USGS) from 1881 to 1894, and as such he instigated extensive topographic mapping projects and geological studies; stimulated studies of soils, groundwater, and rivers; and advocated work on flood control and irrigation. His Irrigation Survey led eventually to the creation of first the Reclamation Service and then the Bureau of Reclamation.

Powell was the author of the *Report on the Lands of the Arid Region of the United States*, published in 1878. Through this document he became an early advocate of land-use planning. Powell was open to controlled development and suggested that “to a great extent, the redemption of . . . these lands will require extensive and comprehensive plans.” In his role as director of the USGS, in his writings, in his work on various commissions, and in public hearings, Powell advocated that the federal government assume a major role to insure an orderly and environmentally sound settlement of the arid lands of the West.

Wallace Stegner argues that Powell’s ultimate importance derives from his impact as an agent of change, from setting in motion ideas and agencies that still benefit the country. Stegner also widens Powell’s impact, asserting that Powell’s ideas, through his friend and employee W.J. McGee, heavily influenced the whole conservation movement at the beginning of the twentieth century. Powell informed the American public of the grandeur and vulnerability of the arid lands and canyon lands of the West. The legacy of that heritage is the Grand Canyon, still largely unspoiled as well as a better understanding of land use possibilities on arid and all lands.

Powell died in 1902.

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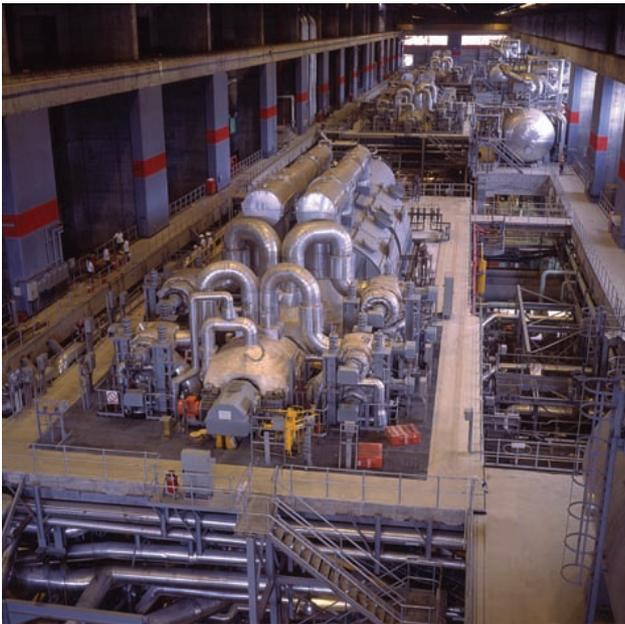
Gerald L. Young

Power lines see **Electromagnetic field**.

## Power plants

The term power plant refers to any installation at which electrical energy is generated. Power plants can operate using any one of a number of fuels: oil, coal, nuclear material, or geothermal steam, for example. The general principle on which most power plants operate, however, is the same. In such a plant, a fuel such as coal or oil is burned. Heat from the burning fuel is used to boil water, converting it to steam. The hot steam is then used to operate a steam turbine.

A steam turbine is a very large machine whose core is a horizontal shaft of metal. Attached to the horizontal shaft are many fan-shaped blades. As hot steam is directed at the turbine, it strikes the blades and causes the horizontal shaft to rotate on its axis. The rotating shaft is, in turn, attached to the shaft of an electric generator. The pressure of hot steam on



Large turbine generators in a coal fired power station in Guangzhou, China. (Peter Bowater/Photo Researchers, Inc.)

turbine blades, is therefore, ultimately converted into the generation of electric current. One of the first successful steam turbine designs for the generation of electricity was patented by Charles Parson (1854–1931) in 1884. Twenty years later entrepreneurs had already put such turbines to use for the generation of electricity for street lines, subways, train lines, and individual appliances.

Most early power plants were fueled with coal. Coal was the best-known, most abundant fossil fuel at the time. It had several disadvantages, however. It was dirty to mine, transport, and work with. It also did not burn cleanly. Areas around a coal-fired power plant were characterized by clouds of smoke belching from smokestacks and films of ash deposited on homes, cars, grass, and any other exposed surface.

By the 1920s interest in oil-fired power plants began to grow. The number of these plants remained relatively low, however, as long as coal was inexpensive. But by the 1960s there was a resurgence of interest in oil-fired plants, largely in response to increased awareness of the many harmful effects of coal combustion on the environment. One of the most troublesome effects of coal combustion is acid rain. Coal contains trace amounts of elements that which produce acid-forming oxides when burned. The two most important of these elements are sulfur and nitrogen. During the combustion of coal, sulfur is oxidized to sulfur dioxide and nitrogen to nitric oxide. Both sulfur dioxide and nitric oxide undergo further changes in the atmosphere, forming sulfur trioxide and nitrogen dioxide. Finally, these two oxides combine with water in the atmosphere to produce sulfuric and nitric acids.

This series of chemical reactions in the atmosphere may take place over hundreds or thousands of miles. Oxides of sulfur and nitrogen that leave a power plant smokestack are then carried eastward by prevailing winds. It may be many days or weeks before these oxides are carried back to earth—now as acids—in rain, snow, or some other form of precipitation. During the 1960s and 1970s, many authorities became concerned about the possible effects of acid precipitation on the environment. They argued that the problem could be solved only at the source—the electric power-generating plant.

One approach to the reduction of pollutants from a power plant is the installation of equipment that will remove undesirable materials from waste gases. An electrostatic precipitator is one such device that removes particulates. It attaches electrical charges to the particulates in waste gases and then applies an opposing electric field to a plate that attracts the

particulates and removes them from the waste gas stream. Scrubbers, filters, and cyclone collectors also remove harmful pollutants from waste gases.

Another approach to acid rain and other power-plant-generated pollution problems is to switch fuel from coal to oil or natural gas. Although petroleum and natural gas also contain sulfur and nitrogen, the concentration of these elements is much less than it is in many forms of coal. As a result, a number of electrical utilities began to retrofit their generating plants in the 1960s and early 1970s to allow them to burn oil or natural gas rather than coal. This change over is reflected in the increased use of petroleum by electrical utilities in the United States between 1965 and 1975. Consumption increased more than six-fold in that ten year period, from about 100 million barrels per year to more than 6,700 million barrels per year. Interestingly enough, the use of coal by utilities did not drop off during the same period, but continued to rise from about 200 million short tons to more than 300 million short tons annually.

This pattern of fuel switching turned out to be short-lived, however. Although oil and natural gas are relatively clean fuels, they also appealed to utilities because of their relatively low cost. The oil embargo instituted by the Organization of Petroleum Exporting Countries (OPEC) in 1973 altered that part of the equation. Worldwide oil prices jumped from \$1.36 per million Btu in 1973 to \$2.23 per million Btu in 1975, an increase of 64 percent per million Btu in just two years. A decade later the price of oil had more than doubled. And the situation was even worse for natural gas. The cost of a million Btu of this resource skyrocketed from 36.7 cents in 1970 to 69.3 cents in 1975 to \$2.23 in the mid-1980s.

Suddenly, the conversion of power plants from coal to oil and natural gas no longer seemed like such a wonderful idea. And the utilities industry began once more to focus on the fossil fuel in most plentiful supply—coal. Between 1975 and 1990, the fraction of U.S. power plants powered by oil and natural gas dropped from 12 percent to 4 percent for the former and from 24 percent to less than 10 percent for the latter. In the same period, the fraction of plants powered by coal rose from 46 to 57 percent. However, environmental concerns began playing an increasingly important role in the choice of fuels for power generation throughout the 1990s and 2000s. For instance, the U.S. Environmental Protection Agency (EPA) imposed increasingly stringent air quality standards on fossil-fuel-fired power plants. There was also a heightened awareness of carbon dioxide emissions because of its role as a major greenhouse gas. Natural

gas is a “cleaner” fossil fuel choice than coal or oil; by 2010 the use of natural gas accounted for nearly a quarter of U.S. electric power generation, with coal slipping to slightly less than half. Oil continued its decline to a mere one percent of U.S. electric power production.

Power plants using fossil fuels, of whatever kind, are not the world’s final solution to the generation of electricity. In addition to the environmental problems they cause, they have a more fundamental limitation. Fossil fuels are a nonrenewable resource. The time will come—sooner or later—when coal, oil, and natural gas supplies will be depleted. Thus, research on alternatives to fossil-fuel-fired plants has gone on for many decades.

In the later twentieth century, many people believed that nuclear power was the best solution to the world’s need to produce large amounts of electricity. Indeed, the number of nuclear power plants in the United States grew from 6 in 1965 to 95 in 1985. But enthusiasm for nuclear power plants began to wane in the 1980s. One reason for this trend was the serious accidents at the Three Mile Island Nuclear Reactor (Middletown, Pennsylvania) in 1979 and at the Chernobyl Nuclear Power Station (Chernobyl, Ukraine) in 1986. Another reason was the growing concern about disposal of the ever-increasing volume of dangerous radioactive wastes produced by a nuclear power plant. In any case, no new nuclear power plant has been ordered in the United States since the Three Mile Island accident, and sixty-five plant orders have been canceled since that event. Forty-nine nuclear plants were under construction in other parts of the world, but this number is only a quarter as many as were under construction a few decades ago. Indeed, between 1990 and 1991, the total installed nuclear generating capacity worldwide declined for the first time since commercial nuclear power generation began. Nevertheless, nuclear power generation produces no greenhouse gas emissions (at least not directly), such as carbon dioxide, making it attractive to many governments concerned about climate change. By 2009 nuclear plants supplied around 14 percent of the world’s electricity. In a 2008 report the International Atomic Energy Agency (IAEA) projected that global electrical generating capacity from nuclear would double by the year 2030; however, nuclear energy would still retain about the same share of global electricity capacity as in 2009, because other forms of power generation (such as fossil fuels and renewable energy) would increase as well.

A nuclear power plant operates on much the same principle as does a fossil-fuel power plant. In a nuclear power plant, water is heated not by the combustion of a fuel like coal or oil, but by nuclear fission reactions that

take place within the reactor core. Some scientists anticipate that another type of nuclear power plant—the fusion reactor—may provide a long-term answer to the world’s electrical power needs. In a fusion reactor, atoms of light elements are fused together to make heavier elements, releasing large amounts of energy in the process. Nuclear fusion is the process by which stars make their energy and is also the main energy source in the hydrogen bomb. Research efforts to develop an economic and safe method of controlling fusion power have been underway for nearly fifty years. Although progress has been made, a full-scale commercial fusion power plant appears to be many decades in the future.

Other types of power plants also have been under investigation for many years. For example, it is possible to operate a steam turbine with hot gases that come directly from geothermal vents. In locations where geysers or other types of vents exist, geothermal power plants are an economical, safe, and dependable alternative to fossil-fueled and nuclear power plants.

Finally, power plants that do not use heat at all are possible. One of the oldest forms of power plant is, in fact, the hydroelectric power plant. In a hydroelectric power plant, the movement of water (as from a river) is directed against turbine blades, causing them to rotate. In 1970, 16 percent of all electric power in the United States came from hydropower, although that portion had fallen to less than seven percent in 2009.

Proposals for wind-power-generated electricity, tidal power plants, and other alternative energy sources as substitutes for fossil fuels and nuclear power have been around for decades. During the 1960s and 1970s, governments aggressively encouraged research on these new technologies, offering both direct grants and tax breaks. By 2009 just under six percent of U.S. electrical production was derived from renewable energy sources (wind, solar, landfill gas, etc.) other than hydroelectric, which is considered a renewable energy as well.

*See also* Geothermal energy; Ocean thermal energy conversion; Solar energy; Tidal power; Wind energy.

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David E. Newton

Ppb see **Parts per billion.**

Ppm see **Parts per million.**

PPP see **Partnership for Pollution Prevention.**

Ppt see **Parts per trillion.**

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## Prairie

An extensive temperate grassland with flat or rolling terrain and moderate to low precipitation. The term is most often applied to North American grasslands, which once extended from Alberta to Texas and from Illinois to Colorado, but similar grasslands exist around the world. Perennial bunchgrasses and forbes—herbaceous flowering plants that are not grasses—dominate prairie flora. In dry climate prairies, a lack of precipitation prohibits tree growth except in isolated patches, such as along stream banks. In wetter prairies, periodic fires are essential in preventing the incursion of trees and preserving open grasslands. Historically, huge herds of large mammals and scores of bird, rodent, and insect species composed native



**A prairie.** (Photograph by Robert J. Huffman. Field Mark Publications)

prairie fauna. During the nineteenth and twentieth centuries, most of North America's native prairie habitat and its occupants disappeared in the face of agricultural settlement, cattle grazing, and fire suppression. Today the geographic extent of native prairie plants and animals is severely restricted, but pockets of remaining prairie are sometimes preserved for their ecological interest and genetic diversity.

Although grasses make up the dominant vegetation type in all prairies, grass sizes and species vary from shortgrass prairies, usually less than 20 inches (50 cm) high, to tallgrass prairies, whose grasses can exceed 7 feet (2 m) in height. Following the gradual east-west decrease in precipitation rates on the Great Plains, eastern tallgrass prairies gradually gave way to midgrass and then shortgrass prairies in western states. On their margins, prairie grasslands extended into a mixed parkland, or savanna, with bushes and scattered trees such as oak and juniper. Characteristic prairie grasses are perennial bunchgrasses, which have deep, extensive root systems and up to a hundred shoots from a single plant. Bunchgrasses typically grow, sprout, and flower early in the summer when rainfall is plentiful in the prairies, and their deep root systems make the long-lived plants resistant to drought, hail, grazing, and fire. In addition to the dominant grasses, vast numbers of flowering annual and perennial forbes add color to prairie landscapes.

Prairie plant species are well adapted to live with fire. Under natural conditions fires sweep across prairies every few years. Prairie fires burn intensely and quickly, fed by dry grass and prairie winds, but they burn mainly the dry stalks of previous years' growth. Below the fire's heat, the roots of prairie plants remain unharmed, and new stalks grow quickly, fertilized by ashes and unencumbered by accumulations of old litter. Before European settlement, many prairie fires were ignited by lightning, but some were started by the American Indians, who had an interest in maintaining good grazing land for bison, antelope, and other game animals.

Well into the nineteenth century, prairie habitats supported a tremendous variety of animal species. Vast herds of bison, pronghorn antelope, deer, and elk ranged the grasslands; smaller mammals from mice and prairie dogs to badgers and fox lived in or below the prairie grasses. Carnivores, including grey wolves, coyotes, cougar, grizzlies, hawks, and owls, fed on large and small herbivores. Shorebirds and migratory waterfowl thrived on millions of prairie pothole ponds and marshes. Most of these species saw their habitats severely diminished with the expansion of agricultural settlement and cattle grazing. Prairie soils, mainly mollisols, are rich and black with a thick, fertile organic

layer composed of fine roots, microorganisms, and soil. Where water was available, this soil proved ideal for growing corn, wheat, soybeans, and other annual crops. Where water was scarce, nutritious bunchgrasses provided excellent grazing for cattle, which quickly replaced buffalo, elk, and antelope on the prairies by the middle of the nineteenth century.

Prairie habitat and animal species also lost ground through fire suppression and wetland drainage. Farmers and ranchers actively suppressed fires, allowing trees, exotic annuals, and other non-prairie species to become established. Widespread wetlands drainage seriously diminished bird populations because of habitat loss. Especially in wetter northern and eastern regions, canals were cut into prairie wetlands, draining them to make arable fields. This practice continues, even though it destroys scarce breeding grounds for many birds and essential migratory stopovers for others.

In recent decades increased attention has been given to preserving the few remaining patches of native prairie in North America. Public and private organizations now study and protect prairie grasslands and wetlands, but the long-term value of these efforts may be questionable because most remnant patches are small and widely separated. Whether genetic diversity can be maintained, and whether habitat for large or ranging animals can be reestablished under these conditions, is yet to be seen.

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Mary Ann Cunningham

## Prairie dogs

Prairie dogs, members of the genus *Cynomys*, belong to the squirrel family of the order *Rodentia*. There are five species of prairie dogs found on North American plains and plateaus from southern Saskatchewan,



**Standing prairie dog.** (Geoffrey Kuchera/Shutterstock.com)

Canada, to northern Mexico: Utah (*C. parvidens*), Gunnison's (*C. gunnisoni*), white-tailed (*C. leucurus*), black-tailed (*C. ludovicianus*), and Mexican (*C. mexicanus*).

Meriwether Lewis, explorer of the American West, described prairie dogs as squirrels that “bark at you as you approach them, their note being much like that of little toy dogs.” These barking calls, including barks, chirps, and whistles, are used to communicate greetings, social status, and approaching or retreating danger.

Prairie dogs as social animals live in colonies, or “towns,” which consist of extensive and complex underground burrows one to five meters deep. Cone-shaped mounds at the entrance of the burrows are used as look-out points; they also prevent water from entering the burrows. The burrows contain several chambers, including one near the entrance where the prairie dogs can listen for above-ground activity, as well as one or more nesting chambers where young prairie dogs sleep and are cared for. Prairie dogs generally prefer to

eat grasses and herbs. They also clip vegetation in the vicinity of their colonies to provide clear views of predators. They spend daylight hours above ground, grooming each other, grazing on grass, tumbling in play, and defending their family territorial boundaries.

More than 200 other wildlife species are associated with prairie dog colonies, resulting in the increased biological diversity seen in prairie lands with colonies. Some of these animals are prairie dog predators, such as hawks, eagles, snakes, and coyotes, while others use the colonies as their habitat. The reduction and/or spread of certain insects and plants is also dependent on prairie dogs.

However, prairie dog populations have been greatly reduced, in some cases to one to two percent of their historical numbers. This decrease in prairie dog populations was, and continues to be, due to poisoning, hunting, and extermination campaigns by some ranchers who believe that prairie dogs compete for livestock forage. Long-term overgrazing by livestock has resulted in loss of grasses that prairie dogs depend on, as well as in erosion and destruction of prairie dog habitat. Additional habitat losses are due to development of lands for human housing and other uses. Prairie dogs are also susceptible to diseases, including the plague, which can wipe out entire colonies.

The IUCN—The World Conservation Union lists the black-tailed and Utah prairie dogs at low risk and the Mexican prairie dog is already endangered. Environmental and citizen's groups are acting to preserve prairie dog habitats throughout the Western grassland areas that serve as their homelands.

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Judith L. Sims

## Precision

Precision refers to the ability to repeat the same measurement and arrive at the same value or expected set of values within an expected error tolerance. In statistics, precision refers to the difference between

repeated measurements of the same quantity, where the closer the measurements are to each other, the greater the precision of the estimate of the quantity. Precise measurements are not necessarily accurate measurements, because the repeated measurements can be very close to the same value and still be far from correct. As an example, if the differences in ten measurements of the same distance are very close to each other, the distance-measuring device is considered to be precise. However, there is no surety that the distance measured is correct unless the device is also accurate.

Marie H. Bundy

## Precycling

Precycling is source reduction and reuse. In most waste management planning, the hierarchy involves instituting practices that reduce, reuse, and recycle. Reduction and reuse are the first lines of defense against increasing waste volume. Precycling are those actions that can be taken before recycling becomes an option. It is the decision on the part of a consumer to not purchase an unnecessary product or the decision to purchase a reusable as opposed to a disposable item. An example of precycling would be the use of existing china plates for a party instead of purchasing paper or plastic plates. Precycling is also demonstrated with a decision to buy in bulk or to buy refillable containers. Precycling considers the ultimate destination of the containers and packaging of products with the goal of reducing the amount ultimately sent to landfills. In addition to individual decisions to reduce waste before it happens, decisions by industry to reuse chemicals and to buy from parts suppliers that take back packaging and reuse it reduce waste.

*See also* Green packaging; Green products; Solid waste.

## Predator control

Predator control is a wildlife management policy specifically aimed at reducing populations of predatory species either to protect livestock or to boost populations of game animals. Coyotes, bobcats, grey and red wolves, bears, and mountain lions have been the most frequent targets. Historically, efforts were

centered in government-run programs to hunt, trap, or poison predators, while bounties offered for particular predatory species encouraged private citizens to do the same. Over time, however, it has become apparent that predator control is disruptive of ecosystems and often inefficient.

Predator control is based on a lack of understanding of the complex interactive mechanisms by which natural environments sustain themselves. Control efforts take the position that prey populations benefit when predators are removed. In the long term, however, removing predators is generally bad for the prey species and their ecosystems. The conservationist Aldo Leopold, noticing the devastating effects of overgrazing by deer, realized as early as the 1920s that shooting wolves, although it led to an immediate increase in the deer population, ultimately posed a threat to the deer themselves as well as other fauna and flora in their environment. Another example can be found in the undesirable consequences of killing significant numbers of coyotes. Immediate benefits rebound not only to livestock but also to populations of rabbits and other rodents, which are normally controlled by predation. Because rabbits compete with livestock for the same rangeland, an explosion in the rabbit population means that the land can sustain fewer livestock animals.

In 1940 the U.S. Department of the Interior initiated a large-scale program against livestock predators, particularly aiming at the coyote and relying heavily on poisons. The poison most widely used in bait was sodium fluoroacetate, also called “compound 1080,” which is highly persistent and wreaks havoc on many animals besides the coyote, including threatened and endangered species. Moreover, when a poisoned coyote dies, the carrion enters the food chain/ web, with lethal effects primarily on scavengers. Eagles, including the rare bald eagle, are particularly hard-hit by efforts to poison coyotes.

Rising environmental awareness has caused continuing controversy over predator control. By 1972 the government-appointed Committee on Predator Control concluded that the use of poisons had unacceptably harmful consequences. Government agencies discontinued the use of poisons, and poison was banned on public lands. Nevertheless, private citizens, mostly ranchers and hunters who feel that their interests have been sorely overlooked, have continued using poisons with little regard for the law.

In spite of aggressive methods, the fight against coyotes has mostly been unsuccessful. Even after

decades of predator control, total annual losses are given in the hundreds of thousands of animals (mostly sheep and goats) by ranchers who claim they lose as much as 25 percent of their lambs to predators each year. Conservationists and supporters of wildlife say these numbers are inflated, but, even if they are accurate, the cost of lost livestock is significantly lower than the cost of the government's predator control programs. Some Conservation groups argue that it would be much cheaper to give up on predator control and reimburse ranchers for livestock lost to predation.

Besides killing predators, ranchers also have a number of other options when it comes to protecting their livestock. Sheep can be protected by letting them graze together with cattle or other animals too big for coyotes to handle. Llamas and donkeys have also been suggested for the purpose. Trained guard dogs are an effective deterrent to coyotes as well.

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## Predator-prey interactions

The relationship between predators and their prey within an ecosystem is often a quite complex array of offenses and defenses. Predation is the consumption of one living organism by another. Predators must have ways of finding, catching, and eating their prey (the offensive strategies), and prey organisms must have ways of avoiding or discouraging this activity (the defensive strategies). Predator-prey interactions are often simply thought of as one animal, a carnivore, catching and eating another animal, another carnivore or an herbivore. The interrelationships of predators and their prey is much more involved than this and encompasses all levels within an ecological food chain/web, from plant-herbivore systems to herbivore-carnivore systems,

to three-way interactions of interdependent plant-herbivore-carnivore systems.

Even though a plant may not be killed outright, removing leaves, stems, roots, bark, or the sap from plants will reduce its fitness and ultimately its ability to survive. The younger, more tender leaves and stems of a plant are typically consumed by herbivores. Because these are sites of active growth, the plants send stores of nutrients from roots and other tissues to these developing regions. When they are eaten, plants lose a disproportionate amount of nutrients that will be difficult to replenish. Severe defoliation results in a tremendous loss of vigor and the loss of the ability to photosynthesize and store energy reserves for the dormant season. Without this energy store available, the plants are more vulnerable to insect or disease attack the following year.

Plants have responded to herbivory in a variety of ways. Some plants have developed spines or thorns, whereas others have developed the ability to produce chemical products that deter herbivory by making the plant unpalatable, barely digestible, or toxic. Many herbivores have found ways to circumvent these defenses, however. Some insects are able to absorb or metabolically detoxify these chemicals from the plants. Other insects have learned to cut trenches in the leaves of plants before they eat them, which stops the flow of these chemical substances to the leaves. Other animals have developed digestive modifications, such as bacterial communities that break down cellulose, to enable them to ingest less nutritious, but more abundant, vegetation.

A classic example of predator-prey interactions in carnivores is the relationship of the lynx, snowshoe hare, and woody browse of northern coniferous forests. The hare feeds on buds and twigs of conifer, aspen, alder, and willow trees. Excessive browsing, as the hare population increases, decreases the subsequent year's growth, initiating a decline in the hare population. The lynx population follows that of the snowshoe hare, having greater reproductive success when more prey are available, then declining as hare populations drop. This relationship is cyclical and follows its course over a ten-year period.

The prey of carnivores, both herbivores and other carnivores, have developed a variety of defensive mechanisms to avoid being detected, caught, or eaten. Some employ chemicals, such as odorous secretions, stored toxic substances ingested from plants, or synthesized venom or poisons. Other animals have taken to hiding from predators through cryptic coloration, patterns,

shapes, and postures. Mimicry, widespread in the animal kingdom, is a system whereby one animal, a palatable species, mimics an inedible species, and is thus avoided by the predator. Additional means of predator avoidance or discouragement involves the employment of armor coats or shells, the use of quills or spines, or living in groups. However, predators can benefit prey populations by removing sick or inferior individuals. Subtle, long-term interactions, in fact, can benefit both predator and prey as they co-evolve into more highly adapted or finely tuned forms.

*See also* Evolution; Population biology.

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## Prescribed burning

Intentional burning, usually in forests, prairies, and savannas, to maintain desired ecological conditions or prevent major wildfires that could result from accumulated dry, woody debris. Prescribed burning, also called controlled burning, has become a widely recognized component of modern ecosystem management as ecologists and managers have recognized the role of fire in natural ecosystems. In practice, though, prescribed burning is still used only on a small portion of public and private lands. In a forest, prescribed burning is usually aimed at removing accumulated fuel—fallen branches, pine needles, and other dry debris. In a prairie or savanna environment, prescribed burns may be used to prevent encroachment of trees, to reduce populations of nonnative grassland species, and to enhance the health of native grassland vegetation that is adapted to periodic fires.

The principle of prescribed burning is that fire is a normal component of ecosystems, and that frequent small fires can serve both to maintain species diversity and to prevent large, catastrophic wildfires. Under natural conditions, wildfires, usually ignited by lightning, occur frequently in many forest and grassland biomes. Proponents of prescribed burning argue that these natural fires would usually be small and cool, occurring too frequently to allow excessive accumulation of fallen branches, dead grass, and other fuel.



**Prescribed burning of a prairie in South Dakota for land management purposes.** (Photograph by Stephen J. Krasemann. National Audubon Society Collection/Photo Researchers, Inc.)

Frequent, low-intensity fires should do little damage either to mature trees or to the root systems of most plants. At the same time, by clearing away debris every few years, using a natural fire regime should provide fresh ground, exposed to sunlight and freshly fertilized by ashes, to encourage new germination and plant growth.

Deliberate burning is also an age-old method of maintaining grazing lands in many parts of the world. Periodic forest burning to maintain pasturage and to clear farm plots has probably been practiced for millennia in Africa and South America. In North America, indigenous peoples used fire to maintain open forests and prairies to provide grazing for game animals, such as deer and bison. In some areas, especially New England and the rural South, early European immigrants to the New World adopted Native American methods of burning. These practices differ from what is usually considered a prescribed burn, because they were often carried out without the planning and

the careful fire control usually exercised in a controlled or prescribed burn. In most of North America, traditional burning practices have also disappeared as more and more forests have come under professional management for timber production.

The introduction of prescribed burning to professional land management strategies in the United States is often attributed to American ecologist Aldo Leopold (1887–1948), who pointed out in 1924, while he was serving as a forester and firefighter in Arizona, that fire control programs were producing brush-choked forests susceptible to extreme wildfire. Leopold argued that decades of fire suppression had actually increased the probability of severe fires, and that forests were healthier when subject to regular, natural fires. Since Leopold began arguing for prescribed burning in the 1920s, ecosystem managers have widely recognized the ecological benefits of fire. The amount of prescribed burning carried out still remains modest, however. Between 1983 to 1994, prescribed burns were carried out on only about 0.1 percent of public lands in the United States each year, as compared to 0.3 percent burned annually by wildfires in the same interval.

Proponents of prescribed burning sometimes argue that fire-suppression techniques—bulldozed fire breaks, tree felling, air drops of chemical fire retardants, and other measures—cause more damage than the fires they are meant to control. In addition, these expensive, and dangerous, tactics may ultimately have little effect. Prescribed burn proponents argue that most large natural fires die out as a result of rainfall, cooler weather, or a lack of fuel, not because of the efforts of fire fighters. These opponents of standard military-style fire suppression programs propose that it would be more effective to use fire control budgets for planned burns than for impressive but useless shows of force against large forest fires. However events such as the Yellowstone National park fires of 1988, a series of highly publicized fires that led to loud criticism from the media and politicians that park officials were negligent in their duties to protect the park, demonstrate that the public is unlikely to settle for inactivity during a forest fire. During fires such as those in Yellowstone, the public is quick to criticize officials for doing nothing even if there is nothing to be done. The campaigns to fight fires can be costly and cause serious damage to the forest.

### Benefits of prescribed fires

Fire has many ecological benefits. By clearing patches of forest, fire allows tree seeds to germinate. Some seeds require fire or intense heat to be able to

germinate. The seedlings of many trees, including Douglas fir (*Pseudotsuga menziesii*) and white pine (*Pinus strobus*), both prized for timber, require the strong sunlight of an open patch in the forest to begin growing. Other species, such as jack pine (*Pinus banksiana*) require intense heat, such as that produced by a fire or extremely hot sun, to release the seeds from their resin-sealed cones. These trees are considered fire-adapted, because they reproduce best, or only, when seedlings can grow in the full sun and fresh ashes of recently burned patches of forest. Many prairie grasses and flowers are also fire adapted, thriving best when periodic fires remove dead stem litter and release stored nutrients to the soil.

Fire plays an important role in cleaning out damaged or diseased regions of a forest. Where parasites, fungus, or disease have damaged stands of trees, a fire can locally eliminate the pest population, reducing the risk of parasite or disease spread, at the same time as it removes standing dead trees and makes room for new seedlings to replace the damaged trees. Land managers in southern Alaska, faced with the worst spruce beetle outbreak ever in the United States, considered using prescribed fire to control beetles.

Undesirable species encroaching on grasslands and savannas can also be controlled with fire. Opportunistic trees and brush such as aspen, buckthorn, juniper and sumac, introduced European grasses, as well as nuisance species such as poison oak and poison ivy can all be set back by periodic burning. At the same time prescribed burns can rejuvenate fire-adapted plant populations by clearing debris and releasing nutrients into the soil. In a burned area increased sunlight can extend the growing season, an important advantage in cold climates. In addition, periodic patchy burns help maintain habitat diversity by producing a mosaic of landscape types and different-aged tree stands. Because many animal species utilize a variety of environments for feeding and nesting, a mosaic landscape maintained by fire can benefit wild-life populations, thus encouraging biodiversity.

### Methods

A prescribed burn is a fire set under conditions that should allow control of the fire. Usually this means identifying the limits of an area that should be burned and creating or identifying fire breaks that will prevent the uncontrolled spread of the fire once it has been lit. Often a backfire is used. A backfire is line of fire burned on the downwind edge of the area to be burned. The backfire is kept low and forced to burn upwind until it has created a swath of land clear of

any combustible fuel. Flank fires, similarly, clear combustible materials from strips along the flanks of the burn area. The main fire, moving forward in a downwind direction, will die out due to a lack of fuel when it reaches the blacked zone created by the backfire. In addition to these precautions, prescribed burning usually requires selecting a day with high humidity and light winds, to keep the fire relatively low.

Ideally areas should be burned periodically in order to mimic the pattern of natural fires. Fire ecologists and managers usually attempt to burn areas with the frequency they estimate would occur in local ecosystems under natural conditions. In some areas natural fire frequency might be as often as every two to three years; elsewhere natural fires might normally occur as infrequently as every ten to twenty years. Or managers may simply burn any areas in which debris has accumulated to dangerous levels.

### Prescribed natural fires

Sometimes a prescribed burn policy means establishing a rule to let naturally ignited fires, usually started by lightning, burn themselves out. When rules establish that fires should be allowed to burn, fires that occur are referred to as prescribed natural fires. Let-burn policies are widely applied in national parks and forests in the United States. Seventy-five percent of Yosemite National Park, for example, is treated as a prescribed natural fire zone. In large part this is because it is more politically palatable to allow nature to take its course than it is to deliberately burn a park. In addition, it is less costly to let fires burn naturally than to maintain a burn crew, design burn plans and fire breaks, and carry out and monitor a regular program of prescribed burns. Usually a prescribed natural fire policy sets limits on the conditions and size of fires that will be allowed to burn, and any natural fires that do occur are closely monitored to ensure that they do not become too large or dangerous.

### History of prescribed burning in the United States

Two factors influenced the development of prescribed burning in the United States. One was the observation that despite decades of fire suppression, the number and intensity of wildfires had actually increased since the advent of major fire-suppression programs. One United States Forest Service study showed that total acreage burned, after declining from 1917 to 1955, increased steadily from the 1960s to the 1990s. The other factor was a transition in

ecological theory toward understanding ecosystems as complex and dynamic, not static structures that should be maintained in a single, undisturbed, optimal climax state. The shift in ecological theory developed partly from the observation that ecosystems maintained rigorously by managers were not necessarily healthier than those that underwent periodic catastrophic events such as floods and fires. In fact, according to this theory, ecosystems may require periodic change and disruption to remain healthy.

The Nature Conservancy, which maintains scattered patches of native prairie, savanna, and other ecosystems, was one of the pioneers of prescribed burning. Since it conducted its first prescribed burn on a North Dakota prairie in the 1970s, the Nature Conservancy has expanded its prescribed burning program to a current level of more than 40,000 acres (16,400 ha) of native habitat each year. The organization's objectives are controlling invasive plant species and maintaining the vigor of native fire-adapted plant communities.

### Controversy over prescribed burns

Prescribed burning is controversial for several reasons. First, decades of education about fire suppression, both in the general public and among land management professionals, makes burning seem intuitively wrong to many people. Cultural icons such as Smokey Bear and Bambi, both fictional characters who suffered from forest fires, have symbolized the trauma and destruction of fires. The idea that fire might rejuvenate a forest is often harder to explain than the idea that fire destroys a forest. Second, people living near forests and grasslands fear that a controlled burn may escape and damage their property—an event that occurs rarely but is always a potential risk. Conversely, prescribed burns have sometimes been used to protect property. A number of homes adjacent to the Wenatchee National Forest in Washington State were spared from the Tyee Creek fire of 1994 because previous burns had reduced fuel levels in the surrounding forest.

In addition to objections to fire danger, the smoke resulting from a prescribed fire is unpleasant and sometimes hazardous. There can be a real health risk for people with lung ailments or asthma if they are exposed to concentrated smoke. In addition, a deliberately set fire often violates air quality laws, making fires technically illegal in many populated areas. If the fire occurs near a road, visibility is also reduced, so that the road must often be closed while a burn is in

progress. Although the smoke produced by a series of prescribed fires may be less than the smoke from a single large wildfire, prescribed fires are sensitive issues, because the individual or group responsible for the fire is also liable for any damage or injury it causes.

Although fires do produce greenhouse gases such as carbon dioxide (CO<sub>2</sub>) and nitrous oxide (NO<sub>2</sub>), successful prescribed fires during times of cooler temperatures and high humidity can reduce the amount of emissions that would normally occur in an uncontrolled wildfire that develops in hotter, dryer conditions. Prescribed burns have been accomplished in this manner in the tropical savannas of Australia where the land is prone to wildfires. These burns have been shown to be less destructive than the naturally occurring wildfires due to the fire remaining in the grassy layer, leaving some vegetation unburnt. By preemptive actions to prevent more intense wildfires, prescribed burns in areas subject to wildfires may effectively reduce the emissions responsible for climate change.

Controversy over the use of prescribed burns has become especially serious in areas where human settlement is encroaching on natural areas. Where cities and suburbs spread into forested areas or reach the edges of preserves that are managed with fire, residents of new subdivisions usually object to the potential risks and inconvenience imposed by fire near their property. This problem is especially severe in the chaparral country of southern and central California. Chaparral is a fire-adapted ecosystem with highly combustible, dry vegetation that normally burns every thirty years or so. As suburban development, with its high real estate values, moves into the chaparral, the economic and environmental costs of fire control have risen sharply. In wildlife and habitat preserves near metropolitan areas land managers have sometimes had to abandon successful fire management programs because of suburban sprawl.

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## Price-Anderson Act (1957)

The Price-Anderson Nuclear Industries Indemnity Act, passed in 1957, limits the liability of civilian producers of nuclear power in the case of a catastrophic nuclear accident. If such an accident occurs, damages would be recovered from two sources: private insurance covering each plant and a common fund created by contributions from each nuclear power plant. This common fund would cover the difference in damages between the private insurance and the liability limit.

The act, named for its chief sponsors, Senator Clinton Anderson (NM) and Representative Melvin Price (IL), was passed to encourage private sector investment in nuclear power production. Without such liability limitations, the risk for utilities and manufacturers of developing nuclear power would be too great. Private insurance companies were not willing to underwrite the risks due to the uncertainty involved and the potential magnitude of damages.

The law requires private operators to carry the maximum amount of private insurance available (\$65 million in the late 1950s). The upper limit for liability was set at \$560 million per accident for personal damages, with the common fund covering the difference between \$65 million and up to \$560 million. Once the liability limit is reached, this law protects the operator from further financial liability. In addition to setting liability limits, the law establishes a common fund for victims to draw on if the utility did not have the ability to pay damages up to the liability limit. In return for this limited liability, utilities had to accept sole responsibility for accidents; equipment manufacturers would not be liable for any damages.

The Price-Anderson Act serves as a subsidy to the nuclear power industry. If it were not for this liability limitation, it is unlikely that any private firms would have become involved in the production of nuclear power. As a further incentive to private industry, the federal government set the liability limits at a very low level. This was done despite a 1957 government estimate of the damages due to a catastrophic accident: 3,000 immediate deaths and \$7 billion in property damage.

In 1977 the Carolina Environmental Study Group filed a lawsuit, claiming that the Price-Anderson Act was unconstitutional because it was a violation of the Fifth Amendment. If a nuclear accident did occur and compensation was limited, the argument went, a taking of private property had occurred and compensation was limited in advance. A federal district court ruled for the environmental group: the act was unconstitutional. In 1978, however, the Supreme Court overturned this ruling, maintaining the constitutionality of the Price-Anderson Act.

The 1988 amendments to the act increased the liability limit per accident from \$720 million to \$7.2 billion, with the utilities continuing to contribute to a common fund to help pay for any damages if a major accident did occur. The private insurance coverage was set at \$160 million per plant, with the common fund to make up the more than \$7 billion difference. These liability limits lasted through 2003. Despite this tremendous increase in liability limits, the nuclear power industry was pleased to retain any liability limit in the face of significant opposition. The amendments also protect U.S. Department of Energy nuclear contractors from damage claims and limit the liability for nuclear waste accidents to \$7 billion. The Act was renewed in 2005 for a twenty-year period. Currently, under the Price-Anderson Act, as amended, the nuclear industry funds the first \$10 billion in damages, and the federal government pays any damages above this amount.

*See also* Environmental law; Environmental liability; Nuclear fission; Radioactive waste; Radioactivity; Three Mile Island Nuclear Reactor.

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## Primary pollutant

Primary pollutants cause pollution following their direct release into the environment from the generating source. The substance released may already be present in some quantities, but it is considered a primary pollutant if the additional release brings the total quantity of the substance to pollution levels. For example, carbon dioxide is already naturally present in the atmosphere, but it becomes toxic when additional releases elevate it above its natural concentrations. The rise in levels of carbon dioxide is a driving factor in the enhanced greenhouse effect and global warming.

The direct release into the atmosphere of a chemical that is not normally present in the air is also classified as a primary pollutant. Hydrogen fluoride, for example, is released from some coal-fired furnaces, but the compound is not usually present in unpolluted air.

A primary pollutant can be generated by many sources: pesticide dust sprayed intensively in agricultural areas, emissions from car and industrial exhausts, or dust kicked about from mining operations, to name a few. Some primary pollutants are composed of particulate matter that is not easily dispersed. Smoke, soot, dust, and liquid droplets released into the air either by the burning of fuel or other industrial or agricultural processes are considered primary pollutants.

Primary pollutants also originate from natural sources. Volcanic ash, as well as grit and dust from volcanic explosions, belong to this category. Salt dust blown inland by strong oceanic winds or gaseous pollution that originates from bogs, marshes, and other decomposing matter can also be classified as primary pollutants.

When two or more primary pollutants react in the atmosphere and cause additional atmospheric pollution, the result is called secondary pollution. Nitrogen oxides, for instance, can react with volatile organic compounds to form smog, a secondary pollutant.

See also Air pollution; Air pollution index; Air quality criteria; National Ambient Air Quality Standard.

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## Primary productivity (gross and net)

Primary producers (or autotrophs) are organisms that synthesize their own biochemical constituents using simple inorganic compounds and an external energy source to drive the process. The amount of energy fixed by autotrophs is known as primary production, and the rate of fixation is primary productivity. Both primary productivity and primary production may be measured in units of carbon dioxide (CO<sub>2</sub>) fixed, energy fixed (as calories or joules), or biomass produced. Productivity in terrestrial ecosystems is often expressed in such units as kilograms of dry biomass (or its energy equivalent) per hectare per year (e.g., kg/ha.yr or kJ/ha.yr), whereas aquatic productivity is often measured on a volumetric basis (e.g., kg/m<sup>3</sup>.yr). Many studies have been made of the primary productivity of various ecosystems.

Most primary producers are photoautotrophs that use sunlight as the external source of energy to drive photosynthesis. Photoautotrophs capture solar radiation using photosynthetic pigments, such as chlorophyll. Green plants are the most abundant photosynthetic organisms, along with algae and some bacteria. A much smaller number of autotrophs are

chemoautotrophs, which capture some of the energy content of certain inorganic chemicals to drive their chemosynthesis. For example, *Thiobacillus thiooxidans* is a bacterium that oxidizes sulfide minerals to sulfate, utilizing some of the energy liberated during this reaction to drive its chemosynthesis.

The total fixation of solar (or chemical) energy by primary producers within an ecosystem is known as gross primary production (or GPP). Autotrophs use some of this production to support their own respiration (R). Respiration involves physiological functions needed to maintain organisms in a healthy condition. Its complex reactions involve the metabolic oxidation of biochemicals, which requires a supply of oxygen and releases carbon dioxide and water as waste products. Net primary production (NPP) refers to the fraction of gross primary production that remains after primary producers have utilized some of their GPP for their own respiration. In other words,  $NPP = GPP - R$ .

For example, studies of an oak-pine forest in New York found that the total fixation of solar energy by vegetation (i.e., the gross primary productivity) was about 48.1-thousand kilojoules per hectare per year. This fixation rate was equivalent to less than 0.01 percent of the input of solar radiation to the forest. Because the plants utilized 27.2-thousand kJ/ha.yr in support of their respiration, the net primary productivity was 20.9-thousand kJ/ha.yr, occurring as the accumulating biomass of trees.

The NPP of primary producers supports the productivity of all other organisms, known as heterotrophs, in ecosystems. Heterotrophs can only utilize living or dead biomass as food, and they rely on other organisms to supply this fixed energy. Animal heterotrophs that feed on plants are known as herbivores (or primary consumers). Animals that kill and eat other animals are known as carnivores (or secondary consumers). Animals that feed on both plant and animal biomass are known as omnivores. Many heterotrophs feed on dead organic matter, and are called decomposers or detritivores.

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Primary sewage treatment see **Sewage treatment.**

## Primary standards

Primary standards are numerical limits of allowable air and water pollutants designed to protect human health, but not necessarily other parts of the environment. Primary standards differ from secondary standards, which are those that protect against all adverse effects on the environment, such as those on animals and vegetation. Primary standards usually refer to air pollution or air quality; there are also federal primary standards for the drinking water supply.

The Clean Air Act is the major piece of legislation that protects and enhances the nation's air quality. As part of the act, The U.S. Congress required the Environmental Protection Agency (EPA) to establish National Ambient Air Quality Standards (NAAQS) for air pollutants, which were characterized by wide dispersal and emission from many sources.

The NAAQS, or primary air quality standards, define the level of air quality to be achieved and maintained nationwide for six criteria pollutants: sulfur dioxide, carbon monoxide, nitrogen oxides, ozone, particulate matter, and lead. In general, these standards are not permitted to be exceeded more than once a year. In addition, the EPA is required to identify local hazardous air pollutants that could increase mortality or result in serious illnesses such as cancer, and establish national standards for them. Although hundreds of potentially hazardous air pollutants exist, only eight had been listed as of 1990: mercury, beryllium, asbestos, vinyl chloride, benzene, radioactive substances, coke oven emissions, and inorganic arsenic.

The Clean Air Act originally set a deadline of December 1987 for cities in the United States to meet federal primary standards. Some cities did meet the standards, increasing automobile emission inspections and instituting tighter regulations for incinerators, but some sixty cities could not comply. There has been a measurable increase in nitrogen oxide emissions in recent years, and more than 100 areas nationwide have exceeded standards at least part of the time for ozone and carbon monoxide levels. The EPA has estimated that 130 to 160 million people live in areas exceeding these standards.

The second amendment of the Clean Air Act in 1990 included provisions to tighten pollution control requirements in cities that had not attained the National Ambient Air Quality Standards. These provisions included requirements for stringent automobile emission standards. The amended act also required a 50 percent reduction in sulfur dioxide emissions and set

primary and secondary standards for dozens of chemicals that were not mentioned in the original act or the 1970 amendment.

Other countries have versions of clean air and clean water acts, but primary standards are not consistent from country to country. For example, standards are defined for different average times at varying numerical limits. In thirteen countries the sulfur dioxide standard ranges from 0.30 to 0.75 mg/m<sup>3</sup> for a thirty-minute average, and from 0.05 to 0.38 mg/m<sup>3</sup> for a twenty-four-hour average. Because pollution does not stay in one place and because acid rain is often a source of controversy between bordering countries, the lack of international standards continue to contribute to air pollution problems.

In the United States, concern about water pollution resulted in higher standards for water cleanliness. These standards have been adopted by state and federal agencies, but the involvement of so many different agencies on various levels has contributed to a lack of agreement on some water standards.

The primary law to protect the integrity of the nation's water is the Clean Water Act (1972). The act requires the EPA to set water quality criteria based on the most recent scientific information. Under the Clean Water Act Reauthorization (1987), the EPA is required to publish revised water quality criteria, stress new programs to combat water pollution from toxics, and restrict waivers from national standards that had been easily obtained by discharges.

Criteria are not rules; they are a compilation of data about pollutants that can be used to formulate standards. Congress intended for the EPA to set the criteria and for the states to set the water quality standards. By 1990 the EPA had established criteria for 126 priority pollutants. Of these, 109 dealt with primary standards and thirty-four with secondary standards. But although these criteria were published, few states actually established standards for toxic pollutants or incorporated these standards into the regulation of toxic discharge.

Under the Safe Drinking Water Reauthorization Act (1986), the EPA is not only required to set standards for contaminants in drinking water, but it also must monitor public drinking water for unregulated contaminants and set deadlines for the issuance of new standards. By 1990 the EPA had set primary standards for drinking water that covered the inorganic chemicals arsenic, barium, cadmium, chromium, lead, mercury, nitrate, selenium, silver, and fluoride. Primary drinking water standards are measured in terms of maximum contaminant levels (MCLs), which are

the maximum permissible levels of a contaminant in water at the tap; they are health related and legally enforceable.

Organic chemicals regulated by primary standards for drinking water include the pesticides endrin, lindane, methoxychlor, and toxaphene; the herbicides 2,4-D and 2,4,5-T silvex; the organics benzene, carbon tetrachloride, p-dichlorobenzene, 1,2-dichlorobenzene, 1,2-dichloroethane, 1,1-dichloroethylene, 1,1, 1-trichloroethane, trichloroethylene, vinyl chloride; and an organic compound referred to as total trihalomethanes. Microbiological standards for drinking water have been set for coliform bacterium such as *Escherichia coli*, which is present in sewage and which can cause gastroenteric infections, dysentery, hepatitis, and other diseases, and whose presence in water is an indicator of the probable presence of other gastrointestinal disease-causing bacteria and viruses. Standards have also been set for turbidity, the murkiness of treated water that can interfere with disinfection processes. Radionuclides regulated by primary standards include beta particles and photon activity, gross alpha particles, and Radium-226 and -228.

In the United States, there is evidence that primary standards regarding air and water pollutants have had some impact on air and water quality. Some of the nation's surface waters have improved since the implementation of the Clean Water Act. Coliform bacteria counts and dissolved solids have been reduced, and dissolved oxygen levels have increased enough to permit the reestablishment of plants and animals that had died out in polluted waters.

*See also* Air pollution index; Air Quality Control Region; Air quality criteria; Attainment area; Nitrates and nitrites; Nonattainment area; Radioactive waste; Safe Drinking Water Act (1974); Sewage treatment; Toxic substance.

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Linda Rehkopf

## Prince William Sound

British explorer Captain James Cook made the European discovery of Prince William Sound in 1778. Today, the 25,090-square-mile (65,000-square-kilometer) passage southeast of Anchorage, Alaska, is the focal point of an ongoing political and environmental issue: whether oil can be safely transported in extreme climates without seriously threatening terrestrial and marine habitats or the recreational, agricultural, and industrial interests of the region.

Prince William Sound is bordered on the north and west by the Chugach and Kenai mountain ranges, on the east by the Copper River, and by the Hinchinbrook Islands on the south. Ten percent of its area is open water, with depths ranging from 492–2,952 feet (149–895 m). The remainder consists of shallow coastal waters, shoals, and reefs. The combined 2,980 miles (4,800 km) of mainland and island shoreline are home to more than 200 species of birds, among them approximately 3,000 bald eagles. Ten species of marine mammals, including sea lions, seals, whales, porpoises, and some 10,000 sea otters thrive in its bountiful waters. The natural beauty of Prince William Sound is responsible for the area's healthy tourism industry.

The sound is world-renowned for its salmon fishery. More than 300 streams are used by salmon for spawning and, combined with hatchery inputs, more than one billion fry are released annually into the sound. The herring season brings in an additional \$12 million to the area each year. The inhabitants of the four communities on the sound are supported primarily by fishing and its related industries. For economic reasons residents of the area are concerned with preserving the sound's natural resources.

In the mid-1970s, the Cordova Island fishermen fought the oil industry's plan to build an oil pipeline from Prudhoe Bay in northern Alaska to Prince William Sound. The decision was left to the United States Senate which, despite warnings from scientists and environmental groups, voted to approve the proposed pipeline route into the sound.

Natural and man-induced disasters threaten the health of the sound. The Alaskan coast makes up the western boundary of the North American continental plate and is, therefore, subject to considerable seismic activity. On March 24, 1964, an earthquake centered in the mountains surrounding Prince William Sound had substantial effects on the sound. Twenty-five years later to the day, the oil tanker *Exxon Valdez* wrecked on the rocks of the sound's Bligh Reef in waters less than 39



Mountains, glaciers, forests in Prince William Sound, Alaska. (Lone Wolf Photos/Shutterstock.com)

feet (12 m) deep. Approximately 265,000 barrels (42 million liters) of heavy crude oil leaked into the sound, one of the worst oil spills in United States history until that time. The local sea otter population was nearly destroyed; many pelagic and shore bird populations were also heavily affected. Subsequent clean up efforts took years to complete. Although bird and most marine life communities have slowly returned to the sound, bivalve communities continued to show high levels of contamination even in 2008. Some whale communities that felt the impact of the spill have proven to have significantly reduced or curtailed birthrates, resulting in aging and diminishing pods.

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## Priority pollutant

Under the 1977 amendments to the Clean Water Act, the Environmental Protection Agency is required to compile a list of priority toxic pollutants and to establish toxic pollutant effluent standards. A list of 126 key water pollutants was first produced by EPA in 1981, and is updated regularly, with the updates

appearing in the *Code of Federal Regulations*. This list, consisting of metals and organic compounds and known as the Priority Toxic Pollutant list, also prescribes numerical water quality standards for each compound. State water quality programs must use these standards or develop their own standards of at least equal stringency.

## Probability

Probability is a quantity that describes the likelihood that an event will occur. If an event is almost certain to occur, the probability of the event is high. If the event is unlikely to occur, the probability is low. Probability is represented in statistics as a number between one and zero and is derived from the ratio of the number of potential outcomes of interest to the number of all potential outcomes. For example, if there are fifty-two cards in a deck and a card player is equally likely to draw any card, then the probability of drawing hearts is  $1/4$  or 0.25 percent. The probability of drawing a king is  $4/52$  or 0.0769 percent. An event that has a probability of one will always occur, and an event that has a probability of zero will never occur.

Marie H. Bundy

## Propellants

Propellants are used to disperse aerosols, powders, and other materials in a wide variety of applications. Consumers are familiar with products such as cleaners, waxes, and spray paints that are packaged in “aerosol spray cans” that use propellants. In addition, many medical, commercial, and industrial products use propellants. These products include adhesives; document preservation sprays; portable fire extinguishing equipment; insecticides; sterilants; animal repellants; medical devices such as anti-asthma inhalers and topical anesthetic applicators; lubricants, coatings and cleaning fluids used in the electrical, electronic, and aerospace industries; and blowing agents and mold release agents used in the production of foams, plastic and elastomeric materials. In many cases the propellant pressurizes, atomizes, and delivers the product as an aerosol spray. In other cases the propellant itself is the sole or

major active ingredient of the product, usually for solvent and/or cleaning applications.

Aerosol sprays and other dispersions are made possible using propellants to form very small and uniform droplets with controllable properties. These characteristics are desirable or necessary in critical applications where a very thin layer or coating must be applied to a surface, for example, as a lubricant coating in an aerospace application. Additionally, the small aerosols can be inhaled deeply into the lung and the droplet itself may evaporate quickly, depending on the product. Such characteristics help optimize the delivery of drugs using medical inhalers.

The ideal propellant should generate sufficient volume and pressure of vapor or gas for a particular application and should be safe for humans and the environment, inert with respect to reactions with other materials, miscible or highly soluble in the product, easy to handle, and inexpensive. Each chemical has its own physical and chemical properties that affect the selection of a propellant for a given product and application. Important properties are solvency, performance, cost, and environmental considerations. Many of these properties are well characterized. For example, the solvency of a propellant can be measured as its solubility in water or other chemicals. Performance properties include the amount and pressure of vapor generated from the liquid, measured as vapor pressure (the volume of vapor generated per mass or volume of the liquid) and the ratio of the volume of gas to the volume of liquid. Performance also includes the range of temperatures where the propellant will function adequately, measured as the boiling and freezing points of the propellant, and as the vapor generation rates at different temperatures. The flammability of the propellant is another often critical property, measured as the flammability limits in air and the flash point. Additional properties that may be important include the density of the liquid and gas, the critical temperature and pressure, the specific heat of gas and liquid, the heat of vaporization, the viscosity of the liquid propellant, the coefficient of liquid expansion, and the surface tension. Environmental characteristics of propellants include the toxicity (often measured as cancer-causing potency), the ozone depleting potential, the greenhouse warming potential, and the reactivity (in terms of forming ground-level ozone). It is the environmental considerations that have brought propellants forward in the last thirty-plus years as a national and international environmental issue.

### Restrictions on the use of propellants

Prior to the late 1970s, aerosol propellants were frequently chlorofluorocarbon (CFC) propellants, and

this use constituted over 50 percent of total U.S. CFC consumption. At the time the most widely used CFCs were CFC-11 and CFC-12. (These chemicals were also used in refrigeration, foam blowing, and sterilization). Following concerns raised in 1974 regarding possible stratospheric ozone depletion resulting from CFCs, the U.S. Environmental Protection Agency (EPA) and the Food and Drug Administration (FDA) acted on March 17, 1978, to ban the use of CFCs as aerosol propellants in all but essential applications. This reduced aerosol use of CFCs by approximately 95 percent and achieved nearly a 50 percent reduction in the U.S. consumption of CFCs. This reduction was largely accomplished without economic penalty as consumers voluntarily responded to advertising for “ozone safe” substitutes. Also in the late 1970s, Canada and a few Nordic nations banned or restricted aerosol propellant uses, also resulting in a sharp drop in their total CFC use. Later in the 1980s, however, increases in other uses of CFCs, largely as solvents, offset the earlier decreases.

The 1978 ban specifically exempted certain products based on a determination of essentiality. Also excluded were products where the CFC itself was the active ingredient or sole ingredient in aerosol or pressurized dispenser products, as this did not fit the ban’s narrow definition of an “aerosol” propellant. These restrictions remained in effect until 1990. Title VI of the 1990 Clean Air Act Amendments (CAAA) included provisions relevant to ozone-depleting chemicals used as propellants and expanded restrictions for propellants. EPA’s response is the “Significant New Alternatives Policy” (SNAP) Program, which includes the evaluation of alternatives to ozone-depleting substances, including an assessment of their ozone-layer-depletion potential, global-warming potential, toxicity, flammability, and exposure potential (section 612 of the CAAA; 59 FR 13044). Periodically, the EPA publishes “SNAP Notices of Acceptability” that, according to the EPA, “expand the list of acceptable or pending substitutes for ozone depleting substances (ODSs) under the . . . SNAP program.” The substances listed in the SNAP Notices are approved as substitutes without any restrictions (at least for the end-uses that are specified). An example of a SNAP Notice of Acceptability is Notice 25, which was released by the EPA on June 16, 2010.

In general, ozone-depleting substances are divided into two classes with different product bans (section 610 in the CAAA): Class I comprises CFCs, halons, carbon tetrachloride, methyl chloroform (MCF), hydrobromofluorocarbons and methyl bromide; and

Class II is comprised solely of hydrochlorofluorocarbons (HCFCs). The EPA was instructed to prohibit the sale or distribution of certain “nonessential” products (as determined by Congress and EPA) that release class I substances, mandate the phaseout of class I and class II substances (sections 604 and 605), review substitutes (section 612), and prohibit the sale of certain nonessential products made with class I and class II substances (section 610). After its review, EPA issued regulations on January 15, 1993 (58 FR 4767), which banned CFC propellants in aerosols and other pressurized dispensers (and also in some other products such as flexible and packaging foam). Exceptions were made for a number of products, including certain medical devices; lubricants for pharmaceutical and tablet manufacture; gauze bandage adhesives and adhesive removers; topical anesthetic and vapocoolant products; lubricants, coatings and cleaning fluids for electrical and electronic equipment and aircraft maintenance that contain CFC-11, CFC-12 or CFC-113; release agents for molds used to produce plastic or elastomeric materials that contain CFC-11 or CFC-113; spinnerette lubricant/cleaning sprays used to produce synthetic fibers that contain CFC-114; CFCs used as halogen ion sources in plasma etching; document preservation sprays that contain CFC-113; and red pepper bear repellent sprays that contain CFC-113. However, these products are not exempted from the phase-out requirements for CFCs.

HCFCs can make excellent propellants, but their use has been limited due to their cost and restrictions regarding the class II ozone-depleting substances. In 1993, as a result of increased taxation and CFC phase-out, HCFCs were temporarily economically viable in applications where flammability is a concern and cheaper alternatives (hydrocarbons) could not be used. However, section 610(d) of the CAAA prohibited the sale or distribution of aerosol or foam products containing or manufactured with class II substances after January 1, 1994. Again, exceptions and exclusions from this ban have been made for essential uses, that is, if necessary due to flammability or worker safety issues and if the only available alternative is the use of a class I substance. On December 30, 1993, EPA published a final rule (58 FR 69637) that exempted medical devices; lubricants, coatings or cleaning fluids for electrical, electronic equipment, and aircraft maintenance; mold release agents used in the production of plastic and elastomeric materials and synthetic fibers; document preservation sprays containing HCFC-141b or HCFC-22; portable fire extinguishing equipment sold to commercial users, owners of boats, or noncommercial aircraft; and wasp and hornet sprays for use near high-tension

power lines. However, no other exceptions for class II propellants were because substitutes are available.

### Propellant alternatives and substitutes

Due to the restrictions on propellants use, a large number of propellant alternatives and substitutes have been investigated. Both EPA and industry have been active in this area.

Many products can be effectively packaged, distributed, and used without employing a propellant. For example, some products are now sold for direct application as liquids or use manually operated finger and trigger pumps, two-compartment aerosol mechanism, mechanical pressure dispenser systems, and nonspray dispensers (e.g., solid stick dispensers). The elimination of propellants altogether can be a viable option for some products and applications. In other cases, however, this may not provide proper dispersal or accurate application of the product. Also, persons using manual pumps or sprays may become fatigued with the constant pumping motion, which can result in poor product performance. Because propellants are considered essential in a variety of uses, there remains a need to find propellants that are not environmentally damaging. Unfortunately, no propellant exists that has favorable properties for every application. For example, some CFC propellant substitutes (e.g., ammonia, butane and pentane), have problems with toxicity and flammability. There may be some essential applications for CFCs propellants for which no practical substitutes exist; the use of CFC propellants in anti-asthma inhalers is a frequently cited example.

A variety of propellants have been considered or are being used as alternative propellants for class I and II controlled substances. Each alternative propellant has its own physical and chemical characteristics that influence its suitability for a given application. The primary substitutes for aerosol propellant uses of CFC-11, HCFC-22 and HCFC-142b are saturated hydrocarbons (C3-C6), dimethyl ether, compressed gases, and HFCs. As described previously, EPA's SNAP program lists alternatives to ozone-depleting substances. SNAP Notice 25, for instance, lists "acceptable substitutes for HCFC-22, HCFC-142b, and blends thereof for end uses in refrigeration and air conditioning, foam blowing, aerosols, and sterilants." A few additional EPA-approved alternative propellants are discussed below.

Of the hydrocarbons, butane, isobutane, and propane may be used singly or in mixtures. All have low boiling points and are relatively nontoxic, inexpensive, and readily available. (As with essentially any

propellant, very high concentrations may result in asphyxiation because of the lack of oxygen.) However, these propellants are flammable and should not be used around electrical equipment if sparks could ignite the hydrocarbon propellant. To reduce product flammability, hydrocarbons can be used with water-based formulations. In the United States, nearly 50 percent of aerosol propellants were using hydrocarbon propellants prior to 1978, and nearly 90 percent in 1979 as a result of the CFC ban. Propane/butane propellants have been used since 1987 in the Scandinavian market.

Dimethyl ether (DME) is a medium pressure, flammable, liquefied propellant generally used in combination with other propellants. Its properties are similar to the hydrocarbons.

Compressed gases, including carbon dioxide, nitrogen, air, and nitrous oxide, are used in applications where a nonflammable propellant is necessary. These gases are inexpensive, readily available, nonflammable (although certain temperatures and pressures of nitrous oxide may create a moderate explosion risk), relatively nontoxic, and industrial practices for using these substitutes are well established. Because these gases are under significantly greater pressure than CFCs and HCFCs, containers holding these gases must be larger and bulkier, and safety precautions are necessary during filling operations. Often, modifications must be made to use compressed gases as they require new dispensing mechanisms and stronger containers due to the greater pressure; their low molecular weights restrict certain applications; high pressure compressed gases dispel material faster, which may waste product; compressed gases cool upon expansion; and finally, they are not well suited for applications that require a fine and even dispersion.

Hydrofluorocarbons (HFCs) such as HFC-134a, HFC-125, and HFC-152a are partially fluorinated hydrocarbons, developed relatively recently and currently priced significantly higher than HCFC-22. HFCs are less dense than HCFC-22, but can provide good performance in applications (but not products such as noise horns, which require a more dense gas). HFC-134a and HFC-125 are nonflammable and have very low toxicity. HFC-152a is slightly flammable. All three HFCs have zero ozone depletion potential, but are potential greenhouse gases, although their atmospheric residence times are short. Additionally, these HFCs are chemically reactive, and they contribute to the formation of tropospheric ozone. In ozone nonattainment areas, state and local controls on volatile organic compounds (VOCs) may restrict the use of these products. HFCs may be combined with flammable propellants to reduce the flammability of the

mixtures. HFC-134a and HFC-227a are possible alternative propellants in medical applications (currently using CFC-12 and CFC-114). Approvals from both FDA and EPA are needed for these applications.

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Stuart Batterman

Public health risk assessment see **Risk assessment (public health)**.

## Public Health Service

The United States Public Health Service is the health component of the U.S. Department of Health and Human Services. It originated in 1798 with the organization of the Marine Hospital Service, out of concern for the health of the nation's seafarers who brought diseases back to this country. As immigrants came to America, they brought with them cholera, smallpox, and yellow fever; the Public Health Service was charged with protecting the nation from infectious diseases.

Today the Service helps city and state health departments with health problems. Its responsibilities include controlling infectious diseases, immunizing children, controlling sexually transmitted diseases, preventing the spread of tuberculosis, and operating a quarantine program.

The Centers for Disease Control in Atlanta, Georgia, is the Public Health Service agency responsible for disease identification, research, and prevention.

## Public interest group

Public interest groups may be defined as those groups pursuing goals that will benefit the public at large or at least a broader population than the group's

own membership. Thus, for example, if a public interest group concerned with air quality is successful in its various strategies and activities, the achieved benefit—cleaner air—will be available to the public at large, not merely to the group's members. The competition of interest groups, each pursuing either its own good or its conception of the public good, has been an increasingly prominent feature of American politics in the latter half of the twentieth century.

There is no single, universally applicable definition or test of the public good, and thus there is often a great deal of disagreement about what is in the public interest, with different public interest groups taking quite different positions on various issues. In any particular political controversy, moreover, there may be several quite different public interests at stake. For example, the question of whether to build a nuclear-powered generator plant may involve competing public interests: protection of the environment from radioactive waste and other dangers, the maintenance of public safety, and the promotion of economic growth, among others.

Similarly, the question of who benefits from the activities of a public interest group can also be quite complicated. Some benefits that are generally available to the public may not be equally available or accessible to everyone. If wilderness preservation groups are successful, for example, in having land set aside in, say, Maine, that land is in principle available to potential recreational users from all over the country. But people from New England will find that benefit much more accessible than people from another region.

The membership, resources, and number of active public interest groups in the United States have all increased dramatically in the previous twenty-five years. There are now well over 5,000 national organizations promoting the public interest, as determined from almost every conceivable viewpoint, in a wide variety of issue areas. More than 50 million individual members support these groups with membership fees and other contributions totalling more than \$4.5 billion every year. Many groups find additional support from various corporations, private foundations, and governmental agencies.

This growth in the public interest sector has been more than matched by, and partly was a response to, a similar explosion in the number and activities of organized interest groups and other politically active organizations pursuing benefits available only or primarily to their own members. Public interest groups often provide an effective counterweight to the activities of these more narrowly oriented associations.

The growth of interest-group politics has not been without its negative consequences. Some critics argue that every interest group, including public interest groups, ultimately pursues relatively narrow goals, which are important mainly to fairly limited constituencies. A politics based on the competition of these groups may be one in which well-organized narrow interests prevail over less well-organized broader interests. It may also produce decreased governmental performance and economic inefficiencies of various types. Public interest groups, moreover, seem to draw most of their support and membership from the middle and upper economic strata, whose interests and concerns are then disproportionately influential.

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Lawrence J. Biskowski

Public Interest Research Group see **U.S. Public Interest Research Group**.

## Public land

Public land refers to land owned by the government. Most frequently, it is used to refer to land owned and managed by the United States government, although it is sometimes used to refer to lands owned by state governments. The U.S. government manages about one-eighth of the land in the country, the bulk of which is located in the western states, including Alaska. The lands are managed primarily by the Bureau of Land Management, the Department of Defense, the Fish and Wildlife Service, the Forest Service, and the National Park Service.

## Public Lands Council

An important environmental debate is currently focused on the use of public lands by livestock owners, particularly owners of cattle and sheep. Historically, the federal government has sold leases and permits for

grazing on public land to these individuals at very low prices, often a few cents per acre.

In recent years many environmentalists have argued that cattle are responsible for the destruction of large tracts of rangeland in the western states. They believe the government should either greatly increase the rates they charge for the use of western lands or prohibit grazing entirely on large parts of it. In response, livestock owners have claimed that they practice good-land management techniques and that in many cases the land is in better condition than it was before they began using it.

The Public Lands Council (PLC) is one of the primary groups representing those who use public lands for grazing. It is a nonprofit corporation that represents approximately 31,000 individuals and groups who hold permits and leases allowing them to use federal lands in fourteen western states for the grazing of livestock. Twenty-six state groups belong to the council, and in addition the council coordinates the public lands policies of three other organizations, the National Cattlemen's Association, the American Sheep Industry Association, and the Association of National Grasslands.

The PLC also represents the interests of public land ranchers before the United States Congress. It lobbies and monitors Congress and various federal agencies responsible for grazing, water use, wilderness, wildlife, and other federal land management policies that are of concern to the livestock industry.

The council was founded in 1968 for the purpose of promoting principles of sound management of federal lands for grazing and other purposes. The council obtains its funds from dues collected by state organizations and by contributions from other organizations it represents. It has two classes of membership. General members are those who belong to a state organization that contributes to the PLC or those who make individual contributions. Voting members are elected by the general membership of each state, which may have a maximum of four voting members. Voting members meet at least once each year to establish PLC policy.

The PLC maintains a 500-volume library at its offices in Washington, DC. It also publishes a quarterly newsletter, as well as news releases on specific issues, and regular columns in various western livestock publications. The council has also sponsored workshops and seminars on issues of importance to users of federal lands.

The American Lands and Resources Foundation has been established as an arm of the council for the purpose of receiving charitable and tax-deductible

contributions to be used to educate the general public about the benefits of using federal lands for livestock grazing.

David E. Newton

## Public trust

The public trust doctrine is a legal doctrine dealing with the protection of certain uses and resources for public purposes, regardless of ownership. These uses or resources must be made available to the public, whether they are under public or private control. That is, they are held in trust for the public.

The modern public trust doctrine can be traced back at least as far as Roman civil law. It was further developed in English law as “things common to all.” This public trust was applied mostly to navigation: the Crown possessed the ocean, the rivers, and the lands underlying these bodies of water (referred to as *jus publicum*). These were to be controlled to guarantee the public benefit of free navigation.

In the United States the public trust doctrine is rooted in *Illinois Central Railroad Co. v. Illinois* (1892). In this case the Supreme Court ruled that a grant of the Chicago waterfront held by the Illinois Central Railroad was void because it violated the state’s public trust responsibilities to protect the rights of its citizens to navigate and fish in these waters. In making this decision, the court relied on English common law and served to underscore the existence of the public trust doctrine in the United States.

As a trustee the government (federal or state) faces certain restrictions in protecting the public trust. These include using the trust property for common purpose and making it available for use by the public, not selling the trust property, and maintaining the trust property for particular types of uses. If any of these responsibilities are violated, the government can be sued by its citizens for neglecting its trust responsibilities.

With the rise of the environmental movement, the public trust doctrine has been applied with greater frequency and to a broader array of subjects. In addition to navigable waters, the doctrine has been applied to wetlands, state and national parks, and fossil beds. This expanded use of the doctrine has led to increased conflict. On the ground there has been growing conflict over the limitations of private property. Landholders

who find their actions limited argue that the public trust doctrine is a way to mask indirect takings and argue that they deserve just compensation in return for the restrictions. Among legal scholars there is concern that the public trust doctrine is not firmly grounded in the law and simply reflects the opinions of judges in various cases. Proponents of the public trust doctrine, however, argue that it is a useful vehicle to temper unrestricted private property rights in the United States.

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Christopher McGrory Klyza

## Puget Sound/Georgia Basin International Task Force

The Puget Sound/Georgia Basin ecosystem consists of three shallow marine basins: the Strait of Georgia to the north, Puget Sound to the south, and the Strait of Juan de Fuca that connects this inland sea to the Pacific Ocean. In addition to open water, the ecosystem includes islands, shorelines, wetlands, and the watersheds of several mountain ranges whose freshwater rivers dilute the salt water. This rich and diverse ecosystem spans the United States-Canadian border and is threatened by population growth, urbanization, agriculture, and industry. In addition to Pacific salmon, valuable ground fish, and marine mammals including orca whales, Puget Sound/Georgia Basin has three of North America’s busiest ports—Seattle, Tacoma, and Vancouver, British Columbia (BC). Already, more than 60 percent of the coastal wetlands of Puget Sound, which provide vital habitat to fish and birds, have been lost to development.

The Puget Sound/Georgia Basin International Task Force was created 1992 by the BC/Washington Environmental Cooperation Council to coordinate priority environmental efforts between the state of Washington and the Canadian province of British Columbia. The task force includes representatives from the U.S. Environmental Protection Agency (EPA), the U.S. Fish and Wildlife Service, the Northwest Fisheries Science Center, the Department of Fisheries and Oceans Canada, and the Department

of Environment Canada. State and provincial representation include the Washington Departments of Ecology, Natural Resources, and Fish and Wildlife and the BC Ministries of Water, Land, and Air Protection and Sustainable Resource Management (formerly combined under the BC Ministry of Environment, Lands, and Parks). The task force also includes representatives from the Puget Sound Water Quality Action Team, the Northwest Straits Commission, the Coast Salish Sea Council, and the Northwest Indian Fisheries Commission. The task force is charged with addressing marine, near-shore, and shoreline environmental issues for the entire Puget Sound/Georgia Basin shared ecosystem, as well as identifying and responding to new issues that may affect the shared waters.

The task force pursues project funding and promotes communication and cooperation among federal, state, provincial, local, and tribal/aboriginal governments and groups. In 1994 a Marine Science Panel (MSP), made up of Washington and B.C. scientists, identified priority environmental issues to be addressed by the task force's working groups. The task force cooperates with government agencies on implementation of the working groups' proposals.

The MSP recommended that fish and wildlife management shift its goal from maximum sustainable harvest to species protection. To this end, the task force's highest priority issues are protecting marine life, establishing marine protected areas (MPAs), preventing near-shore and wetland habitat loss, and introducing exotic or nonindigenous species (NIS). The Task Force Work Group on Marine Protected Areas has conducted an assessment of Puget Sound MPAs. The BC Nearshore Habitat Loss Work Group has devised a plan for preventing coastal habitat loss in the Georgia Basin. The Washington Aquatic Nuisance Species Coordination Committee and the BC Working Group on Non-Indigenous Species have developed nuisance species legislation and proposals for regulating ballast water release from ships, a major source of NIS introduction. In collaboration with various agencies, the task force has launched a public NIS education program.

The medium priority recommendations of the MSP included the control of toxic waste discharges and the prevention of large oil spills and major diversions of freshwater for dams and other projects. The Washington and B.C. toxic chemical work groups have undertaken research, inventoried contaminated sites, and assessed the movement of toxic chemicals through the shared waters.

On May 15, 2002, the Transboundary Georgia Basin-Puget Sound Environmental Indicators Working Group released their ecosystem indicators report, concluding that population growth constitutes the primary threat to the region. During the 1990s the region's population grew by about 20 percent to seven million people. It is projected to reach nearly 10 million people by 2020. Whereas most of the growth during the 1990s was in urban areas, new growth is expected to occur in more rural areas. The report found that air pollution from inhalable particles had declined since 1994, the amount of waste produced per person remained about the same, and recycling increased, particularly in BC. However, the loss of stream and shoreline habitats to development is endangering the region's plants and animals. In the Georgia Basin almost 35 percent of the freshwater fish and 12 percent of the reptiles are in danger of extinction, as are 18 percent of Puget Sound's freshwater fish and 25 percent of its reptiles. The harbor seals of Puget Sound are much more heavily contaminated with polychlorinated biphenyls (PCBs) than the Strait of Georgia seals. PCB contamination levels have remained high despite major cleanup efforts. The harbor seals also are contaminated with other persistent organic pollutants including dioxins and furans. The report found that most of the ecosystem's protected land is in the mountains: only 1 percent of land below 3,000 feet (914 m) is protected.

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Puget Sound Partnership, PO Box 40900, Olympia, WA,  
USA, 98504-0900, (360) 725-5444, (800) 54-SOUND,  
<http://www.psp.wa.gov/>

Margaret Alic

## Pulp and paper mills

Pulp and paper mills take wood and transform the raw product into paper. Hardwood logs (beech, birch, and maple) and softwoods (pine, spruce, and fir) are harvested from managed forestlands or purchased from local farms and timberlands across the world and are transported to mills for processing. Hardwoods are more dense, shorter fibered, and slower growing. Softwoods are less dense, longer fibered, and faster growing.

Today, the process is mainly done with high tech, sophisticated machinery. Wood products, which consist of lignin (30%), fiber (50%), and other materials, such as carbohydrates, proteins, fats, turpentine, and resins (20%), are transformed into paper consisting of fiber and additives, such as clay, titanium dioxide ( $\text{TiO}_2$ ), calcium carbonate ( $\text{CaCO}_3$ ), water, rosin, alum, starches, gums, dyes, synthetic polymers, and pigments. Wood is about 50 percent cellulose fiber. The structure of paper is a tightly bonded web of cellulose fibers. About 80 percent of a typical printing paper by weight is cellulose fiber. First in the process,



**View of a timber processing plant with piles of logs for production of wood chips, wood pulp, and paper.** (Simon Fraser/Photo Researchers, Inc.)

the standard eight-foot (2.4-m) logs are debarked by tumbling them in a giant barking drum, and then they are chipped by a machine that reduces them to half-inch chips. In the chemical method the chips are cooked, after being screened and steamed, in a digester using sodium bisulfite ( $\text{NaHSO}_3$ ) cooking liquor to remove most of the lignin, the sticky matter in a tree that bonds the cellulose fibers together. This is the pulping process. Other methods of pulping use mechanical means to break down the wood chips.

Then the chips are washed, refined, and cleaned to separate the cellulose fibers and create the watery suspension called pulp. The pulp is bleached in a two-stage process with a number of possible chemicals. Those companies that choose to avoid chlorine bleach will use hydrogen peroxide and sodium hydro-sulfite, which yields a northern high-yield hardwood sulfite pulp. This pulp is blended with additional softwood kraft pulp after refining as part of the stock preparation process, which involves adding such materials as dyes, pigments, clay fillers, internal sizing, additional brighteners, and opacifiers.

Late in the process, the stock is further refined to adjust fiber length and drainage characteristics for good formation and bonding strength. The consistency of the stock is reduced by adding more water, and the stock is cleaned again to remove foreign particles. The product is then pumped to the paper machine headbox.

From here the dilute stock (99.5% water) flows out in a uniformly thin slice onto a Fourdrinier wire—an endless moving screen that drains water from the stock to form a self-supporting web of paper. The web moves off the wire into the press section which squeezes out more water between two press felts, then into the first drier section where more moisture is removed by evaporation as the paper web winds forward around an array of steam-heated drums. At the size press, a water-resistant surface sizing is added in an immersion bath.

From there the sheet enters a second drier section where the sheet is redried to the final desired moisture level before passing through the computer scanner. The scanner is part of a system for automatically monitoring and regulating basis weight and moisture. The paper enters the calendar stack, where massive steel polishing rolls give the sheet its final machine finish and bulking properties.

The web of paper is then wound up in a single long reel, which is cut and moved off the paper machine to a slitter/winder machine which slices the reel into rolls of

the desired width and rewinds them onto the appropriate cores. The rolls are then conveyed to the finishing room where they are weighed, wrapped, labeled, and shipped.

All papers, even newsprint, are pulp blends, but they are placed in one of two categories for convenient description: groundwood and free sheet. And in practice, other pulp varieties enter into the picture. They may be reclaimed pulps such as de-inked or post-consumer waste; recycled pulps that include scraps, trim, and unprinted waste; cotton fiber pulps; synthetic fibers; and pulps from plants other than trees (e.g., bagasse, sparto, bamboo, hemp, water hyacinth) and banana, or rice. But the dominant raw material remains wood pulp. Paper makers choose and blend from the spectrum of pulps according to the grade demands for strength, cleanliness, brightness, opacity, printing and converting requirements, aesthetics, and market price.

From cotton-fiber-based sheets to the less expensive papers made from groundwood to recycled grades manufactured with various percentages of wastepaper content, papermakers have consistently responded to the need of the marketplace. In the increasingly environmentally conscious marketplace, papermakers are being called on to produce pulp that is environmentally friendly. Eliminating chlorine from the bleaching process is a major step in eliminating unwanted toxins. The changeover costs money and is the source of controversy in the United States.

However, the chlorine-free trend has taken a firm hold in Europe. Sweden required all of its printing and writing paper mills to be chlorine-free by the year 2010. France, Germany, and several other countries have several mills that were reported to be chlorine-free, and the trend is moving across Canada. These totally chlorine-free (TCF) processes had not become widespread by 2005, when only 5 to 6 percent of bleached paper resulted from TCF methods, mostly from Finland and Sweden. Most bleached kraft paper, as of 2005, was produced in elemental chlorine-free (ECF) processes that use chlorine dioxide ( $\text{ClO}_2$ ) as an alternative. Chlorine dioxide processing produces less dioxin, but still contains chlorine.

In the bleaching process, chlorine, chlorine dioxide, and other chlorine compounds create toxic byproducts. These byproducts consist of more than 1,000 chemicals, some of which are the most toxic known to humans. The list includes dioxin and other organochlorines compounds, such as PCBs, DDT, chlordane, aldrin, dieldrin, toxaphene, chloroform, heptachlor and furans. These compounds are formed by the reaction of lignin in the pulp with chlorine or

chlorine-based compounds used in the bleaching sequence of all kraft pulps.

These unwanted chemical byproducts must be discharged and end up in the effluent. The effluent is released into rivers, lakes, and streams and is threatening the groundwater and drinking water, as well as the food chain through fish and birds. Epidemic health effects among thirteen species of fish and wildlife near the top of the Great Lakes food web have been identified. Not only are these toxic chemicals causing cancer and birth deformities in humans and wildlife, but they are very persistent, building up in waterways and eventually ending up in people's bodies.

Dioxin traces have been found in papers and even in coffee from chlorine-bleached coffee filters and in milk from chlorine-bleached milk cartons, as well as in women's hygiene products. Most of the paper sold in the United States after 2010 labeled as dioxin-free is actually dioxin undetectable, because dioxin can be measured in parts per trillion or parts per quintillion, but beyond that level, no scientific measurements are sophisticated enough. Or if measurable, the process becomes very expensive. If exposed often enough, even these minuscule quantities build up in the environment and in humans. To be truly dioxin free, the paper must be made from pulps that have been bleached without chlorine or chlorine-based compounds.

A newer trend is to eliminate chlorine from the bleaching process completely. Some North American mills have been turning to hydrogen peroxide ( $\text{H}_2\text{O}_2$ ), oxygen brightening, or ozone ( $\text{O}_3$ ) brightening. These compounds do not produce dioxin or other organochlorine compounds and are considered environmentally-sound. The United States pulp and paper industry has sharply reduced its use of the chemical.

In part this reduction can be traced to the increased sophistication of pulp and paper plants since the turn of the century. The cooking and bleaching operations have been fine tuned. Wood chips are cooked more before they go to the bleach plant, so less bleaching is required.

The result of these changes means an 80 percent reduction in the amount of dioxin associated with bleaching. The United States Environmental Protection Agency (EPA) established guidelines in 1998 on dioxin concentrations in effluents. This regulation was expected to result in a greater than 95 percent decrease in dioxin discharges from paper mills.

A number of lawsuits have been filed by residents living near or downstream of dioxin-contaminated pulp mills because of the health threats. The more the plaintiffs win, the sooner will the use of chlorine be eliminated completely. The eventual goal is zero discharge.

Paper production results in greenhouse gas emissions as well as the introduction of chemicals into the environment that contribute to climate change. Using only recycled components to make paper would use 44 percent less energy and would reduce greenhouse gas emissions by 38 percent. Additionally, less trees would need to be harvested resulting in less carbon dioxide in the atmosphere

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Liane Clorfene Casten

## Purple loosestrife

Purple loosestrife (*Lythrum salicaria*) is an aggressive wetland plant species first introduced into the United States from Europe. It is a showy, attractive plant that grows up to 4 feet (1.2 m) in height with pink and purple flowers arranged on a spike, and it is common in shallow marshes and lakeshores all across the northern half of the United States.

Loosestrife is a perennial plant species that spreads rapidly because of the high quantity of seeds it produces (sometimes up to 300,000 seeds per plant) and the efficient dispersal of seeds by wind and water. The plant has a well-developed root system and is able to tolerate a variety of soil moisture conditions. This has made it an effective colonizer of disturbed ground as well as areas with fluctuating hydrological regimes. Because of its attractive flowers, it has also been planted as an ornamental species.

As a highly adaptive and tolerant plant, loosestrife is often able to outcompete native plant species in many environments, particularly in wetlands and disturbed areas. Other species often have difficulty surviving once loosestrife becomes established, and loosestrife has low value as food and nesting habitat. For example, the cattail plants in many wetlands of New York state have been replaced by purple loosestrife. Wildlife experts prize cattails as plants whose roots and tubes are of high value as a food source to small mammals and rodents, and the spread of



**Purple loosestrife (*Lythrum salicaria*).** (Photograph by John Dudak. Phototake)

loosestrife has led to a decline in the habitat values of these wetlands. So, in spite of its pleasing appearance, New York and a number of other states have embarked on purple loosestrife eradication programs.

The most commonly used chemical method for eradicating purple loosestrife is a herbicide known as Rodeo—a selective herbicide that kills only dicotyledonous or broadleaf plants. When a diluted solution of Rodeo is sprayed directly on mature leaves, it disrupts protein synthesis and causes plant death within two to seven days. Physical removal of the plants by mowing early in the growing season, prior to seed set, has also been effective in keeping areas free of loosestrife.

*See also* Introduced species.

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Usha Vedagiri

PVC see **Polyvinyl chloride.**



## Quammen, David

1948–

American writer

David Quammen is a writer with an emphasis on science, travel and nature. He started writing as a novelist, but, beginning in 1981, spent fifteen years writing a column titled “Natural Acts” for *Outside* magazine. The focus of the column was to present the natural world and human relationships with nature in an interesting and compelling light. His first collection of essays (*Natural Acts: A Sidelong View of Science and Nature*), mostly taken from his column, appeared in 1985.

Although many of his essays were and continue to be quirky, they are just as frequently serious commentaries on science and its relationship to politics, philosophy, and a variety of other subjects. He has described himself as a science journalist.

Born in Cincinnati in 1948, Quammen went on to earn a B.A. degree in English from Yale University in 1970, and, as a Rhodes scholar, a B. Litt. in 1973 from Oxford.

The *Song of the Dodo* (1996), illustrates both his grasp of the then-current ideas in science and the heat that he (and the scientists themselves) take when they relate those ideas to human interaction with the natural world. The variety in human-environment relationships is a basic theme Quammen claims for much of his writing. He built the popular book *Song of the Dodo* on the less publicly accessible island biogeography research of Robert MacArthur and E.O. Wilson, creating a sharp, clear depiction of the ideas that islands are bounded, isolated places vulnerable to extinctions, a vulnerability repeated as humans create “isolated, island-like enclaves” in terrestrial ecosystems, places too small, fragmented, and isolated to support diverse populations.

This mix of grave warnings of environmental degradation and species extinctions with personal anecdotes and popular travel writing draws both praise and criticism. His books are accessible to a wide audience, so the environmental issues he discusses are widely disseminated, increasing the public’s awareness.

His honours include three National Magazine Awards, the Helen Berstein Award for Excellence in Journalism, Academy Award in Literature, a Guggenheim Fellowship, and the John Burroughs medal for nature writing.

Quammen’s more recent books include *The Boilerplate Rhino: Nature in the Eye of the Beholder* (2001), *Monster of God: The Man-Eating Predator in the Jungles of History and the Mind* (2003), and *The Reluctant Mr. Darwin: An Intimate Portrait of Charles Darwin and the Making of his Theory of Evolution* (2006). His periodical writing has continued to be prolific.

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Gerald L. Young





## Rabbits in Australia

Imported into Australia in the mid-nineteenth century, rabbits have overrun much of the country, causing extensive agricultural and environmental damage and demonstrating the dangers of introducing non-native species into an area. Before the first humans arrived in Australia, the only mammals living there were about 150 species of marsupials as well as bats, rats, mice, platypuses, and echidnas. The chief predator in Australia today is the dingo, a wild dog introduced about 40,000 years ago by Australia's first human settlers, the Aborigines. When the British settled Australia as a penal colony in the late 1700s, they brought a variety of pets and livestock with them, including rabbits.

The problems with rabbits began in 1859, when twelve pairs of European rabbits were released on a ranch. Since no significant numbers of natural predators were present in Australia, the rabbit population exploded within a few years. They overgrazed the grass used for sheep raising, and despite extensive efforts to reduce and control the population, by 1953 approximately 1.2 million square miles (3 million km<sup>2</sup>) were inhabited by between several hundred million and over one billion rabbits.

The rabbits have caused considerable damage to Australia's environment, especially its native plants and wildlife. Their extensive burrows cause soil erosion, and they eat large quantities of grass and shoots of other plants, devastating native flora that birds, insects, and other creatures depend on for food and cover.

Repeated attempts have been made to exterminate the rabbits and limit their populations, often by fumigating and ripping up their burrows, or by using poison bait. The most effective effort undertaken involved the introduction of myxomatosis in the 1950s, a disease that is fatal to rabbits. But after an initial decline, the

rabbit population began to recover, and much of Australia remained overrun by the animals.

Proponents of eradication say that the most promising new biological agent to control wild rabbits is known as RCV (rabbit calicivirus), which is thought to be a new disease, and has killed farmed rabbits in China and Europe. In its early stages, it kills over 90 percent of infected rabbits. In the laboratory, it has been shown to kill rabbits shortly after infection; and after thirty-one different native species were exposed to the virus, it did not appear to be capable of infecting non-target animals. But the certainty of this is disputed by other scientists, who worry that release of the virus is "an uncontrollable and unpredictable" biological experiment; that it could eventually jump the species barrier, especially if it mutates into a different form; and that rabbits will eventually develop resistance to the virus, as they did to myxomatosis.

The elimination of rabbits might even have adverse consequences, such as removing an important food source from eagles and other predators who have come to depend on them. Wild dogs and cats, deprived of their normal prey, may turn to increased hunting of kangaroos and other marsupials. On the other hand, the number of feral canines and felines might decline with the demise of an easy and abundant food source, which would benefit marsupials. So, the ultimate impact of RCV is not entirely predictable.

There is little danger of an immediate collapse of the rabbit population. The latest data estimates that the population has been reduced from about 200–300 million rabbits to 100 million. The Rabbit Management Advisory Group (founded by two researchers from the government-funded Invasive Animals Cooperative Research Centre) works to characterize rabbit numbers and distribution throughout Australia. In May 2009, the Rabbit Management Advisory Group launched *Rabbitscan*, which, according to the Group, is an internet-based tool that "allows community and school



Rabbit plague in South Australia. (© John Carnemolla/Corbis)

groups to report rabbit abundance.” Data collection utilizes Google Earth to pinpoint rabbit locations across Australia. When combined with pre-existing data, the information provided through the Rabbitscan program will hopefully yield a much clearer picture of rabbit distributions throughout Australia, which in turn may help researchers mitigate some of the environmental toll caused by this invasive species.

The proliferation of rabbits in Australia has cost the government and ranchers billions of dollars. This situation remains a primary example of the harm that can result from the introduction of non-native species, no matter how seemingly harmless, into a foreign environment.

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Lewis G. Regenstein

## Rachel Carson Council

The Rachel Carson Council focuses on the dangers of pesticides and other toxic chemicals and their impact on human health, wildlife, and the environment. After the 1962 publication of her classic book on pesticides, *Silent Spring*, Rachel Carson was overwhelmed by the public interest it generated, including letters from many people asking for advice, guidance, and information. Shortly after her death in April 1964, colleagues and friends of Carson established an organization to keep the public informed on new developments in the field of chemical contamination.

Originally called the Rachel Carson Trust for the Living Environment, it was incorporated in 1965 to work for conservation of natural resources, to increase knowledge about threats to the environment, and to serve as a clearinghouse of information for scientists, government officials, environmentalists, journalists, and the public.

The Council has long warned that many pesticides, regulated by the Environmental Protection Agency (EPA) and widely used by homeowners, farmers, and industry, are extremely harmful. Many of these chemicals can cause cancer, miscarriages, birth defects, genetic damage, and harm to the central nervous system in humans, as well as destroy wildlife and poison the environment and food chain/web for years to come.

Other, less acutely toxic chemicals that are commonly used, the organization points out, can cause “delayed neurotoxicity,” a milder form of nerve damage that can show up in subtle behavior changes such as memory loss, fatigue, irritability, sleep disturbance, and altered brain wave patterns. Concerning termiticides used in schools, “children are especially vulnerable to this kind of poisoning,” the Council observes, “and the implications for disturbing their ability to learn are especially serious.”

Through its studies, publications, and information distribution, the Council has provided data strongly indicating that many pesticides now in widespread use should be banned or carefully restricted. The group has urged and petitioned the EPA to take such action on a variety of chemicals that represent serious potential dangers to the health and lives of millions of Americans and even to future generations.

The Council has also expressed strong concern about, and sponsored extensive research into, the link between exposure to toxic chemicals and the dramatic increase in cancer incidence and death rates. The group’s publications and officials have warned that the presence of dozens of cancer-causing chemicals in our food, air, and water is constantly exposing us to deadly carcinogens and is contributing to the mounting incidence of cancer, which eventually strikes almost one American in three, and kills over 500,000 Americans every year.

The Council publishes a wide variety of books, booklets, and brochures on pesticides and toxic chemicals and alternatives to their use. Other publications discuss the least toxic methods of dealing with pests in the home, garden, and greenhouse; non-toxic gardening; ways to safely cure and prevent lawn diseases; and the dangers of poisons used to keep lawns green. In 2009, the Council also highlighted the increased use of spot-on flea control treatments for pets, providing pet

owners with a guide for safe usage of the pesticides and pushing for manufacturers to more accurately list the products’ ingredients and possible side-effects. The Council also provides information to veterinarians and pet owners on the effects of common household and yard pesticides on pets.

The Council’s board of directors includes experts and leaders in the fields of environmental science, medicine, education, law, and consumer interest, and a board of consulting experts includes scientists from many fields.

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### ORGANIZATIONS

- Rachel Carson Council, Inc., PO Box 10779, Silver Spring, MD, USA, 20914, (301) 593-7507, [rccouncil@aol.com](mailto:rccouncil@aol.com), <http://www.rachelcarsoncouncil.org>

Lewis G. Regenstein

## Radiation exposure

Radiation is defined as the emission of energy from an atom in the form of a wave or particle. Such energy is emitted when an atomic nucleus undergoes decay, which is associated with the radioactivity of certain naturally-occurring and man-made isotopes. The radiation emitted through the radioactive decay of certain atomic nuclei consists of electromagnetic waves, or sub-atomic particles, or both. Electromagnetic radiation includes radio waves, infrared waves (or heat), visible light, ultraviolet radiation, x-rays, and gamma rays. Radioactivity usually takes the form of a sub-atomic particle such as an alpha particle or beta particle, though atomic decay can also release electromagnetic gamma rays.

While radiation in the form of heat, visible light, and even ultraviolet light is essential to life, the word “radiation” is often used to refer only to those emissions which can damage or kill living things. Such harm is specifically attributed to radioactive particles as well as

Radiation Sources			
Natural Sources		Artificial Sources	
Radon gas	55%	Medical x rays	11%
Inside body	11%	Nuclear medicine	4%
Rocks, soil, and groundwater	8%	Consumer products	3%
Cosmic rays	8%	Miscellaneous*	<1%

\*includes occupation exposure, nuclear fallout, and the production of nuclear materials for nuclear power and weapons

**Radiation sources.** (Reproduced by permission of Gale, a part of Cengage Learning)

the electromagnetic rays with frequencies higher than visible light (ultraviolet, x-rays, gamma rays). Harmful electromagnetic radiation is also known as ionizing radiation because it strips atoms of one or more of their electrons, leaving highly reactive ions called *free radicals* which can damage tissue or genetic material.

### Effects of radiation

The effects of radiation depend upon the type of radiation absorbed, the amount or dose received, and the part of the body irradiated. While alpha and beta particles due to radioactivity have only limited power to penetrate the body, gamma rays and x rays are far more potent. The damage potential of a radiation dose is expressed in *rems*, a quantity equal to the actual dose in *rads* (units per kg) multiplied by a quality factor, called  $Q$ , representing the potency of the radiation in living tissue. Over a lifetime, a person typically receives 7–14 rems from natural sources. Exposure to 5–75 rems causes few observable symptoms. Exposure to 75–200 rems leads to vomiting, fatigue, and loss of appetite. Exposure to 300 rems or more leads to severe changes in blood cells accompanied by hemorrhage. Such a dosage delivered to the whole body is lethal 50 percent of the time. An exposure of more than 600 rems causes loss of hair, loss of the body's ability to fight infection, and results in death. A dose of 10,000 rem will kill quickly through damage to the central nervous system.

The symptoms that follow exposure to a harmful dose of radiation are often termed “radiation sickness” or “radiation burn.” Bone marrow and lymphoid tissue cells, testes and ovaries, and embryonic tissue are most sensitive to radiation exposure. Since the lymphatic tissue manufactures white blood cells (WBCs), radiation sickness is almost always accompanied by a reduction in WBC production within seventy-two hours, and recovery from a radiation dose is first indicated by an increase in WBC production.

Any exposure to radiation increases the risk of cancer, birth defects, and genetic damage, as well as accelerating the aging process, and causing other health problems including impaired immunity. Among the chronic diseases suffered by those exposed to excessive radiation are cancer, stroke, diabetes, hypertension, and cardiovascular and renal disease.

### Sources of radiation

Some 82 percent of the average American's radiation exposure comes from natural sources. These sources include radon gas emissions from underground, cosmic rays from space, naturally occurring radioactive elements within our own bodies, and radioactive particles emitted from soil and rocks. Man-made radiation, the other 18 percent, comes primarily from medical x-rays and nuclear medicine, but is also emitted from some consumer products (such as smoke detectors and blue topaz jewelry), or originates in the production and testing of nuclear weapons and the manufacture of nuclear fuels.

Though artificial sources of radiation contribute only a small fraction to overall radiation exposure, they remain a strong concern for two reasons. First, they are preventable or avoidable, unlike cosmic radiation, for example. Second, while the *average* individual may not receive a significant dose of radiation from artificial sources, geographic and occupational factors may mean dramatically higher doses of radiation for large numbers of people. For instance, many Americans have been exposed to radiation from nearly 600 nuclear tests conducted at the Nevada Test Site. From the early 1950s to the early 1960s, atmospheric blasts caused a lingering increase in radiation-related sickness downwind of the site, and increased the overall dose of radiation received by Americans by as much as 7 percent. Once the tests were moved underground, that figure fell to less than 1 percent.

A February 1990 study of the Windscale plutonium processing plant in Britain clearly demonstrated the importance of the indirect effects of radiation exposure. The study correlated an abnormally high rate of leukemia among children in the area with male workers at the plant, who evidently passed a tendency to leukemia to their children even though they had been receiving radiation doses that were considered “acceptable.”

Environmental scientists believe that radon, a radioactive gas, accounts for most of the radiation dose Americans receive. Released by the decay of uranium in the earth, radon can infiltrate a house through pores in block walls, cracks in basement walls or floors, or around pipes. The Environmental Protection Agency (EPA) reported estimates (in 2010) that roughly one in every fifteen homes in the United States has elevated levels of

radon. The EPA calls radon “the largest environmental radiation health problem affecting Americans.” Inhaled radon may contribute to as many as 21,000 lung cancer deaths each year in the United States. The EPA now recommends that homeowners test their houses for radon gas and install a specialized ventilation system if excessive levels of gas are detected.

The 2008–2009 report from the *President’s Cancer Panel* recognized the human-health danger and ubiquitous nature of radon gas in the United States. The Panel offered a number of recommendations, such as a requirement of radon-resistant features as part of all new home construction; improvements in the reliability and accuracy of testing methods to uncover radon in buildings; as well as calling for mandatory testing of radon exposure levels in the workplace, at school, and in daycare facilities.

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## Radiation sickness

Radiation sickness, also known as acute radiation syndrome (ARS) or ionizing radiation injury, is an acute illness resulting from human exposure (either the entire body or most of it) to a high dose of ionizing radiation over a small period (usually only a few minutes or longer are needed). The radiation exposure may be from natural sources, such as radium, or from human-made sources, such as x rays, nuclear reactors, or atomic bombs. Ionizing radiation penetrates cells of the body and ultimately causes damage to critically important molecules such as nucleic acids and enzymes. Immediate cell death may occur if the dose of ionizing radiation is sufficiently high; lower doses result in cell injury that may preclude cell replication. Tissues at greatest risk for radiation injury are those that have cells that are rapidly dividing. Blood forming cells, the lining to the gastrointestinal tract, skin, and hair forming cells are particularly vulnerable. Muscle, brain, liver, and other tissues, which have a low rate of cell division, are less vulnerable.

Epidemiological data on radiation sickness has been accumulated from the study of individual cases, as well as from the study of large numbers of afflicted individuals, such as the survivors of the atomic bombing of Hiroshima and Nagasaki (both in Japan) in 1945, and the 135,000 people evacuated from close proximity to the fire at the Chernobyl Nuclear Power Station (in the former Soviet Union) in 1986.

In those who survive, radiation sickness may be characterized by four phases. The initial stage occurs immediately after exposure and is characterized by nausea, vomiting, weakness, and diarrhea. This is a period of short duration, typically one or two days. It is followed by a period of apparent recovery lasting for one to three weeks. No particular symptoms appear during this time. The third stage is characterized by fever, infection, vomiting, lesions in the mouth and pharynx, abscesses, bloody diarrhea, hemorrhages, weight loss, hair loss, bleeding ulcers, and petechiae, small hemorrhagic spots on the skin. During this phase, there is a loss of appetite, nausea, weakness, and weight loss. These symptoms are due to the depletion of cells that would normally be rapidly dividing. Bone marrow depression occurs with reduced numbers of white blood cells, red blood cells, and blood platelets. Gut cells, which are normally lost, are not replaced, and hair follicle cells are depressed resulting in hair loss. If death does not occur during this phase, a slow recovery follows. This is the fourth phase, but recovery is frequently accompanied by long-lasting or permanent disabilities including widespread scar tissue, cataracts, and blindness.

The toxic side effects of many cancer chemotherapeutic agents are similar to acute radiation sickness, because both radiation and chemotherapy primarily affect rapidly dividing cells. Little effective treatment can be administered in the event of casualties occurring in enormous numbers such as in war or a major nuclear power plant disaster. However, in individual cases such as in accidental laboratory or industrial exposure, some may be helped by isolation placement to prevent infection, transfusions for hemorrhage, and bone marrow transplantation.

*See also* Epidemiology; Nuclear weapons; Nuclear winter; Radiation exposure; Radioactive pollution; Threshold dose.

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## Radioactive decay

Radioactive decay is the process by which an atomic nucleus undergoes a spontaneous change, emitting an alpha particle or beta particle and/or a gamma ray. Radioactive decay is a natural process that takes place in the air, water, and soil at all times. The decay of isotopes such as uranium-238, radium-226, radon-222, potassium-40, and carbon-14 produces levels of radiation termed background radiation. Some areas with high background radiation levels pose special health risks.

*See also* Carbon; Radioactive fallout; Radioactivity.

## Radioactive fallout

In the 1930s, scientists found that bombarding uranium metal with neutrons caused the nuclei of uranium atoms to break apart, or split. Today, this reaction is called nuclear fission. One significant feature of this reaction was that very large amounts of energy are released during nuclear fission. The first practical application of this discovery was the atomic bomb, developed by scientists working in the United States in the early 1940s.

The atomic bomb takes advantage of the energy released during fission to bring about massive destruction of property and enormous death and sickness to humans and other living creatures. However, every bomb blast is also accompanied by another event known as radioactive fallout. The term radioactive fallout refers to all radioactive dust and particles that fall to Earth after a nuclear explosion. This combination of dust and particles contains hundreds of isotopes formed when a uranium nucleus fissions (or "splits"). Iodine-131 and yttrium-98 are only two of the many isotopes that are formed during an atomic bomb blast.

When these isotopes are formed, they come together as only very small particles. The force of the blast assures that large particles will not survive. In this form, the radioactive dust and particles may remain suspended in air for days, weeks, or months. Only when they have consolidated to form larger particles will they fall back to Earth.

Once they have reached Earth, these particles face different fates. Some isotopes formed during fission have very short half-lives. They will decay rapidly and pose little or no environmental threat. Others have longer half-lives and may remain in the environment for many years.

The components of radioactive fallout that cause the greatest concern are those that can take some role in plant or animal metabolism. For example, the element strontium is chemically similar to the element calcium. Strontium can replace calcium in many biochemical reactions. That explains why the following scenario is so troubling.

Strontium-90 released during a fission bomb blast falls to Earth, coats grass, and is eaten by cows. The cows incorporate strontium-90 into their milk, just as they do calcium. When growing children drink that milk, the strontium-90 is used to build bones and teeth, just as calcium is normally used. Once incorporated into bones and teeth, however, the radioactive strontium continues to emit harmful radiation for many years.

The dangers of radioactive fallout are one of the major reasons that the United States and the former Soviet Union were able to agree in 1963 on a limited ban of nuclear weapons testing. In that agreement, both nations promised to stop nuclear weapons testing in the atmosphere, under water, and in outer space.

See also Half-life; Radioactive decay; Radioactivity.

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## Radioactive pollution

Radioactive pollution can be defined as the release of radioactive substances or high-energy particles into the air, water, or earth as a result of human activity, either by accident or by design. The sources of such waste include: (1) nuclear weapon testing or detonation; (2) the *nuclear fuel cycle*, including the mining, separation, and production of nuclear materials for use in nuclear power plants or nuclear bombs; (3) accidental release of radioactive material from nuclear power plants. Sometimes natural sources of radioactivity, such as radon gas emitted from beneath the ground, are considered pollutants when they become a threat to human health.

Since even a small amount of radiation exposure can have serious (and cumulative) biological consequences, and since many radioactive wastes remain toxic for centuries (or longer), radioactive pollution is a serious environmental concern even though natural

sources of radioactivity far exceed artificial ones at present.

The problem of radioactive pollution is compounded by the difficulty in assessing its effects. Radioactive waste may spread over a broad area quite rapidly and irregularly (from an abandoned dump into an aquifer, for example), and may not fully show its effects upon humans and organisms for decades in the form of cancer or other chronic diseases. For instance, radioactive iodine-131, while short-lived, may leave those who ingest it with long-term health problems. By the time a radioactive leak is revealed and a health survey is carried out, many of the individuals affected by the leak may have already moved from the area (or died without having been examined with the possible cause in mind). In addition, since most radioactive materials are under the jurisdiction of governmental agencies—usually in the secretive defense and military establishments—the dumping activities and accidental discharges that accompany nuclear materials production and bomb testing tend to remain concealed until governments are obligated to disclose them under public pressure.

### Atmospheric pollution

Radioactive pollution that is spread through the Earth's atmosphere is termed *fallout*. Such pollution was most common in the two decades following World War II, when the United States, the Soviet Union, and Great Britain conducted hundreds of nuclear weapons tests in the atmosphere. France and China did not begin testing nuclear weapons until the 1960s and continued atmospheric testing even after other nations had agreed to move their tests underground.

Three types of fallout result from nuclear detonations: local, tropospheric, and stratospheric. Local fallout is quite intense but short-lived. Tropospheric fallout (in the lower atmosphere) is deposited at a later time and covers a larger area, depending on meteorological conditions. Stratospheric fallout, which releases extremely fine particles into the upper atmosphere, may continue for years after an explosion and attain a worldwide distribution.

The two best known examples illustrating the effect of fallout contamination are the bombing of Hiroshima and Nagasaki, Japan in 1945, and the Chernobyl Nuclear Power Station disaster in April 1986. Within five years of the American bombing of Japan, as many as 225,000 people had died as a result of long-term exposure to radiation from the bomb blast, chiefly in the form of fallout.

The disaster at the Chernobyl Nuclear Power Station in Ukraine on April 26, 1986, produced a staggering release of radioactivity. In ten days, radioactive particles emitting at least 36 million curies spewed across the world. The fallout contaminated approximately 1,000 square miles (2,590 sq km) of farmland and villages in (what was then) the Soviet Union. Dangerous levels of radioactivity were reported in virtually every European country, with radioactive pollutants contaminating rainwater, pastures and food crops. Radiation alerts were posted in almost every country, children were kept indoors, and sales of milk, vegetables, and meat were banned in some areas. In the Soviet Union alone, 135,000 people were evacuated from their homes. Hundreds of people were killed at the time of the explosion, composed mostly of personnel (such as firemen) at the Chernobyl site attempting to deal with the explosion and fire. In April 2006, the *International Journal of Cancer* published an article about malignancies attributable to the Chernobyl fallout. Because many of the cancers due to nuclear fallout can take decades to become manifest, the researchers who composed the article used models to predict Chernobyl-related cancers far into the future. Projecting out to the year 2065, the researchers predicted that “about 16,000 cases of thyroid cancer and 25,000 cases of other cancers may be expected due to radiation from the accident and that about 16,000 deaths from these cancers may occur.”

### Pollution on land and in water

The major sources of radioactive pollution on land and water include: (1) the nuclear fuel cycle—the extraction, separation and refinement of materials for use in nuclear weapons and nuclear power—and (2) the day-to-day operations of nuclear power plants.

At every stage in the production of nuclear fuels, contaminants are left behind. The mining of uranium, for example, produces highly radioactive *tailings* which can be blown into the air, contaminate soil, or leach into bodies of water. The magnitude of radioactive pollution caused by the nuclear fuel cycle, especially in the United States, Great Britain, and the Soviet Union, has only recently been revealed. Through the years of the cold war, the extent of accidental discharges and intentional dumping carried out by government plants like Britain’s Windscale and the United States’ Hanford Nuclear Reservation remained largely unknown. Not until the late 1980s, for instance, was the legacy of flagrant pollution at Hanford exposed to public scrutiny. This legacy included the release of half a million curies of radioactive iodine into the air from 1944 to 1955, and the release of millions of curies

of radioactive material into the Columbia River, Washington from 1944 through at least the 1960s. In 1956, 450,000 gallons (1.7 million l) of high-level waste were accidentally spilled on the Hanford grounds. Through the 1950s, additional millions of gallons of waste were dumped into the ground. Residents in the area and along the river were never notified about any of the radioactive discharges that took place at Hanford.

In 1988, the U.S. Department of Energy (DOE) reported that radioactive wastes from Hanford were contaminating some underground water supplies; Hanford was shut down a year later. For many years, some 177 deteriorating steel tanks held approximately 56 million gallons (over 210 million l) of radioactive waste combined with toxic chemicals. In late 2010, workers at the Hanford site were continuing the remediation process of transferring this toxic brew to new, double-lined steel tanks. In September 2009, the U.S. Government Accountability Office (GAO) forecast that the cleanup at Hanford, which had previously been estimated at 57 billion dollars, might ultimately exceed 100 billion dollars. The DOE is in charge of the cleanup effort at Hanford; as of 2010, DOE’s deadline for completion of the massive cleanup effort at Hanford had slipped from the year 2028, to the new deadline of 2047.

Nuclear power plants also contribute to radioactive pollution. Spent nuclear fuel from these plants, a *high-level* waste, must be kept from human contact for hundreds or thousands of years, yet no completely reliable disposal method exists. At present, most high-level waste has simply been left in pools at power plant sites while the government seeks a central location for permanent disposal. In the 2000s, the George W. Bush administration intended to begin depositing high-level nuclear waste within the Yucca Mountain complex in Nevada. However, with the advent of the Obama administration in 2009, the decision to utilize Yucca Mountain as the nation’s main depository for high-level nuclear waste was rescinded. The entire issue of whether or not to use the Yucca Mountain complex for nuclear waste deposition may ultimately be decided in the courts.

Most *low-level* waste (anything that is not spent fuel or transuranic waste) generated by nuclear power plants has been landfilled throughout the country. Three such landfill sites (Maxey Flats, Kentucky; West Valley, New York; and Sheffield, Illinois) have been shut down due to leakage of radioactive liquid into the ground.

Nuclear power plants also discharge wastes directly, as a result of malfunctions or intentional dumping. Though the vast majority of these incidents resulted in

no release of radioactive material, some accidents, such as the Three Mile Island partial meltdown and the Chernobyl explosion, have caused significant discharges of radioactivity into the environment.

The health effects of radioactive leaks are still debated. While the harmful effects of Chernobyl are beyond question, analysis of the Three Mile Island incident has not detected a long-term increase in cancer or diseases as a result of the discharge there. Studies conducted in England, however, have revealed increased rates of leukemia in areas surrounding the nuclear plants at Hinkley Point, Dounreay, and Windscale.

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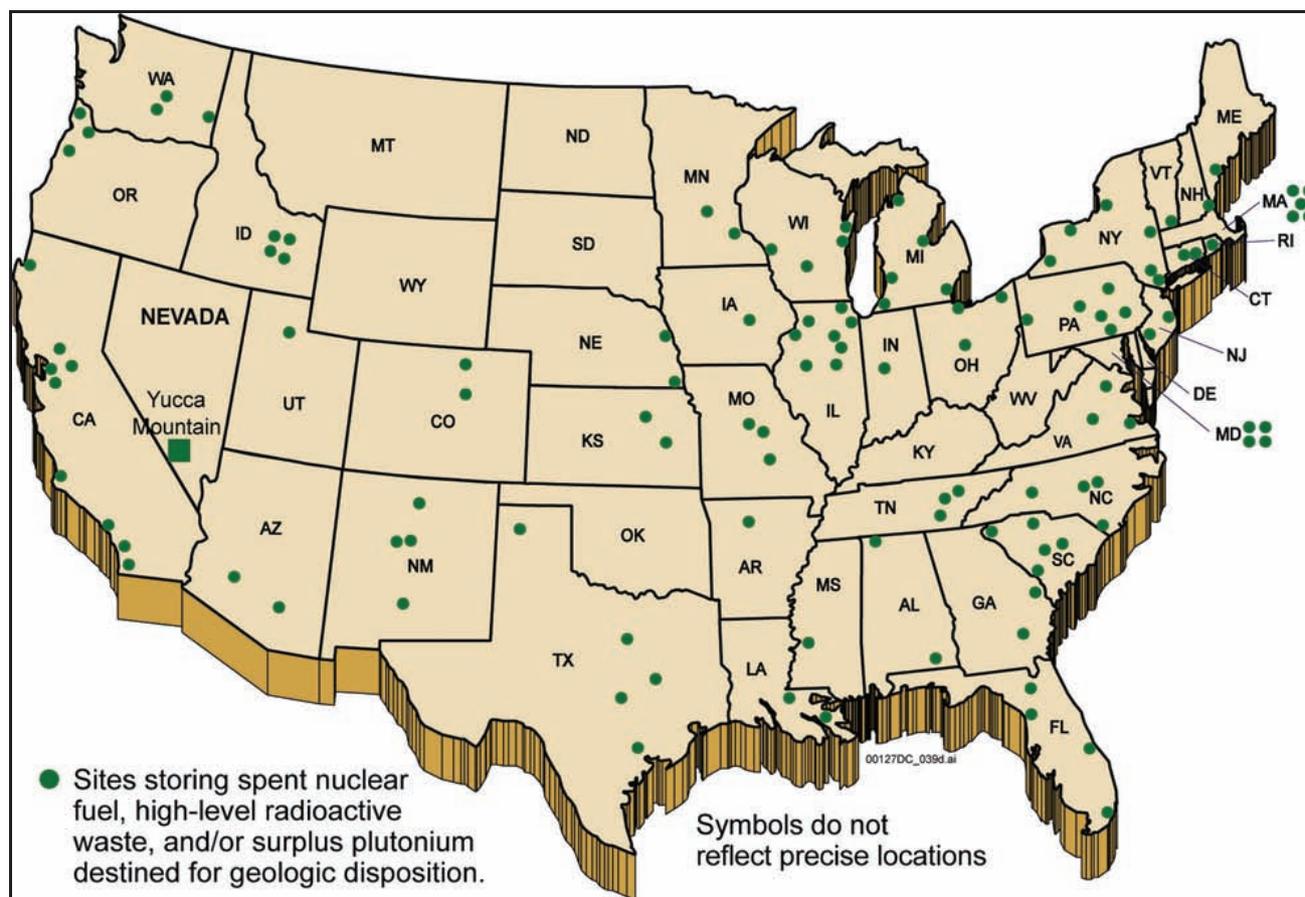
## Radioactive waste

Radioactive waste is the "garbage" left as a result of the use of nuclear materials by human societies. Such waste can be categorized as low-level, intermediate-level, or high-level waste. The term *transuranic waste* is also used to describe materials consisting of elements heavier than uranium in the periodic table. Uranium is a light-silvery colored metallic chemical element that is weakly radioactive. It has six isotopes, all of which are unstable. Uranium-235, one of these isotopes, is the only naturally occurring fissile (fissionable, able to be split) isotope.

The term low-level radioactive waste usually refers to materials that contain a small amount of radioactivity dispersed in a large volume of material. Such materials are produced in a great variety of industrial, medical, and research procedures. A common practice is to store these materials in sealed containers until their level of radioactivity is very low and then to dispose of them by shallow burial or in other traditional solid waste disposal systems.

The assumption by the scientific community has been that the level of radiation (most of it categorized as low-level waste) released by these wastes is too low to cause any harmful environmental effects. Scientists, who believe that not enough data is yet known about the long-term effects of such radiation, have sometimes challenged that assumption. They suggest that safer methods of disposal for such wastes need to be developed.

Intermediate-level wastes, as the name suggests, contain a higher level of radioactivity than low-level wastes, but a lower level than high-level wastes. These materials cannot be discharged directly into the environment. An important source of such wastes is the reprocessing of nuclear fuels. At one time, large quantities of intermediate-level wastes were dumped into the deepest parts of the Atlantic Ocean. That practice has been discontinued and intermediate-level wastes are now being stored on land until a permanent disposal system is developed.



A map of the United States showing radioactive waste disposal site locations. (United States Department of Energy)

High-level radioactive wastes consist of materials that contain a large amount of radioactivity that will remain at dangerous levels for hundreds or even thousands of years. These materials pose the most difficult disposal problem of all since they must be completely isolated and stored for very long periods of time. The primary sources of high-level wastes are nuclear power plants and research and development of nuclear weapons.

A number of methods for the storage of high-level wastes have been suggested. Among these are burial in large chunks of concrete, encapsulation in glass or ceramic, projection of them inside rockets into outer space, and burial in the Antarctic ice sheet. Various countries around the world have developed a variety of methods for storing their high-level wastes. In Canada, such wastes have been stored in water-filled pools for more than 30 years. France, with one of the world's largest nuclear power establishments, has not developed any permanent storage system but plans to build a large underground vault for its wastes by the early twenty-first century. As of 2010, France

has not completed such plans. In fact, in some cases, the country sends its nuclear waste to Germany to be stored.

In the United States, Congress passed the Nuclear Waste Policy Act in 1982, outlining a complete program for the construction of a high-level waste repository in the early twenty-first century. In 1987, Yucca Mountain, Nevada, was selected as the location for that site. Those operational plans, which includes a huge vault 1,000 feet (305 m) underground for the storage of long-term, high-level waste, has been cancelled by the United States, as of 2010. In that year, the Barack Obama administration canceled further federal funding for the Yucca Mountain nuclear waste repository. Such action leaves the United States without an effective long-term plan for the storage of its nuclear waste.

*See also* Nuclear fission; Nuclear Regulatory Commission; Ocean dumping; Office of Civilian Radioactive Waste Management; Radioactive decay; Radioactive waste management.

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## Radioactive waste management

Radioactive waste materials are produced as by-products of research, nuclear power generation, and nuclear weapons manufacture. Radioactive waste is classified by the U.S. government into five groups: high-level, transuranic (chemical elements heavier than uranium), spent fuel, uranium mill tailings, and low-level radioactive waste.

The management and disposal of radioactive waste receives attention at all levels of state and local governments, but the regulations sometimes conflict or are confusing. The U.S. Congress passes relevant legislation, the Environmental Protection Agency (EPA) sets



**Nuclear decontamination workers wearing sealed radiation protection suits and breathing apparatuses while they decontaminate a radioactive nuclear site.** (Patrick Landmann/Photo Researchers, Inc.)

applicable environmental standards, and the Nuclear Regulatory Commission (NRC) develops regulations to implement the standards. For high-level radioactive waste, the U.S. Department of Energy (DOE) is responsible for the design, construction and operation of suitable disposal facilities. And courts have recently gotten into the act, ordering states to arrange to have necessary disposal facilities designed, constructed and operated for management of low-level wastes.

The principal federal laws related to the management and disposal of radioactive waste include the Atomic Energy Act (1954), the Uranium Mill Tailings Radiation Control Act (1978), the Low-Level Radioactive Waste Policy Act (1980), the Nuclear Waste Policy Act (1982), the Low-Level Radioactive Waste Policy Amendments Act (1985), and the Nuclear Waste Policy Amendments Act (1987). In addition,

the Federal Facility Compliance Act (1992) forces the military to clean up its waste sites.

One of the more difficult aspects of the regulatory quagmire is the problem of dealing with waste, especially spent nuclear fuel and contaminated material such as worn-out reactor parts. Today, the official policy objective for nuclear waste is to dispose of it so that it will never do any appreciable damage to anyone, under any circumstances, for all time. However, for some radioactive waste, “for all time” is measured in thousands of years.

Although radioactive waste carries some hazard for many years, for most types of radioactive waste the process of radioactive decay removes most of the hazard after a few hundred years. A well-designed waste storage system can be made safe for a long time, some scientists and policy-makers insist, provided that engineers ensure that erosion, groundwater, earthquakes, and other unpredictable natural or human activities do not breach safety barriers.

Disposal methods for radioactive wastes have varied. Over the past seventy-plus years, low-level wastes have been flushed down drains, dumped into the ocean, and tossed into landfills. Uranium mill tailings have been mounded into small hills at sites throughout the western United States. Storage tanks and barrels at DOE sites hold millions of gallons of radioactive waste and toxic chemicals, the by-products of plutonium production for nuclear weapons.

Over 80 percent of the total volume of radioactive waste generated in the United States is considered low-level waste (LLW). There is now a shift away from the most common disposal method of shallow land burial. Because of public demand for disposal methods that provide the greatest safety and security, disposal methods now include above- and below-ground vaults and earth-mounded concrete bunkers.

However, the country’s 17,000 laboratories, hospitals, and nuclear power plants that produce low-level radioactive byproducts could become *de facto* disposal sites. The federal low-level radioactive waste policy enacted in 1980 was designed to remedy the inequity of shipping all the nation’s low-level radioactive waste to three states (Illinois, Kentucky, and New York) which never agreed to serve as the nation’s sole disposal facilities. Its intent was to require every state to either build its own low-level repository or contract with other states to build regional facilities. There are currently dozens of LLW sites scattered across the United States under the auspices of the DOE.

The principal sources of high-level radioactive waste are nuclear power plants, and programs of the U.S.

Department of Defense and DOE, especially those dealing with nuclear weapons. These wastes include spent fuel removed from nuclear plants, and fission products separated from military fuel that has been chemically processed to reclaim unused uranium and plutonium. In the United States, commercial nuclear power plant fuel removed from those facilities is stored on-site until a geologic repository is completed. That national repository—intended to hold most of the nation’s high-level nuclear wastes—was originally identified as Yucca Mountain in Nevada. Beginning in 1987 with the Nuclear Waste Policy Amendments Act, the U.S. Congress specified Yucca Mountain as a prime site to be evaluated as the nation’s central high-level nuclear waste repository. The issue of whether or not to deposit high-level nuclear waste within Yucca Mountain has been highly controversial ever since. The administration of George W. Bush pushed ahead with plans to activate the Yucca Mountain site. However, with the ascendancy of the Obama administration beginning in 2009, the situation totally changed—the DOE filed a petition with the U.S. Nuclear Regulatory Commission to “withdraw the license application for a high-level nuclear waste repository at Yucca Mountain.” As of late 2010, the issue was being litigated in the U.S. federal court system, with several U.S. states and nuclear power companies joining together to try and force the federal government to activate and use the Yucca Mountain complex for nuclear waste storage.

High-level nuclear waste is generated at various nuclear facilities around the United States such as at nuclear power plants. Typically, waste fuel is stored at the power station in a pressurized buffer storage tube for twenty-eight days, then the fuel rod is broken down to component parts. Irradiated fuel elements then are stored for 80 days in a water-filled pond to allow for further decay. For the nonreusable radioactive waste that remains, about 2.5 percent, there is no totally safe method for disposal.

Radioactive waste from one of the first nuclear bomb plants is so volatile that the clean-up problems have stymied the experts. The plant at the DOE’s Hanford Nuclear Reservation near Richland, Washington, is the nation’s largest repository of nuclear waste. There, 177 tanks initially contained approximately 56 million gallons (over 210 million liters) of radioactive waste and toxic chemicals, by-products of plutonium production for nuclear weapons. Corrosive, highly radioactive liquids have eaten through Hanford’s storage tanks and are being removed to computer-monitored, carbon-steel storage tanks. According to a September 2009 report from the U.S. Government Accountability Office (GAO) the clean-up at Hanford (previously estimated at

\$57 billion) could exceed 100 billion dollars. The report goes on to note that the DOE's deadline for completing cleanup of the Hanford site has slipped from 2028 to the year 2047. The only other country known to have had a waste problem as large as the one at Hanford is the former Soviet Union, where a nuclear waste dump exploded in the nuclear complex at Chelyabinsk in 1957, contaminating thousands of square miles of land.

The U.S. military has identified 17 nuclear facilities as among the worst of the more than 20,000 suspected toxic sites. For example, since the 1970s, about 77,000 barrels of low-level radioactive wastes have been stored at the Oak Ridge, Tennessee nuclear reservation. Other identified radioactive waste sites include California's Lawrence Livermore National Laboratory and Sandia National Laboratory, Colorado's Rocky Flats nuclear plant, and New Mexico's Los Alamos National Laboratory. The Savannah River nuclear plant in South Carolina has been called especially dangerous. Both the Savannah River site and the Hanford site pose the risk of the kind of massive nuclear waste explosion that occurred at Chelyabinsk.

One new technology in development for the treatment of hazardous and radioactive waste is *in situ vitrification* (ISV), which utilizes electricity to melt contaminated material in place. This technology was developed primarily to treat radioactive waste, but it also has applications to hazardous chemical wastes. The end-result glass formed by ISV is unaffected by extremes of temperature, is not biotoxic, should remain relatively stable for one million years, and passes government tests that measure the speed of contaminant leaching over time. The process permits treatment of mixtures of various wastes including organic, inorganic, and radioactive. The fact that it is not necessary to excavate the waste prior to treatment is seen as a major advantage of the technology, since excavation along with transportation increases health risks. The technology is currently being used in the United States at Superfund sites.

Incineration is also used for disposal of low-level radioactive waste, but incomplete combustion can produce dioxins and other toxic ash and aerosols. Although finding a site for ash disposal is difficult, the ash is considered a better form for burial than the original waste. It is biologically and structurally more stable, and many of the compounds it contains are insoluble. The residual waste produced by incineration also is less susceptible to leaching by rain and groundwater.

Although progress is slow and the situation is often chaotic in the United States, the state of radioactive waste management in much of the rest of the world is even less advanced. The situation is particularly acute in

Eastern Europe and the former Soviet Union, since these countries have generated huge quantities of radioactive waste. In many cases the information-gathering necessary to plan clean-up efforts has only recently begun, and much of the actual clean-up remains.

*See also* Eastern European Pollution; Ocean dumping; Radiation exposure; Radiation sickness; Radioactive pollution; Waste Isolation Pilot Plan; Yucca Mountain.

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Linda Rehkopf

## Radioactivity

In 1896, the French physicist Henri Becquerel accidentally found that an ore of uranium, pitchblende, emits an invisible form of radiation, somewhat similar to light. The phenomenon was soon given the name *radioactivity* and materials like pitchblende were called *radioactive*.

The radiation Becquerel discovered actually consists of three distinct parts, called alpha, beta, and gamma rays. Alpha and beta rays are made up of rapidly moving particles—helium nuclei in the case of alpha rays, and electrons in the case of beta rays. Gamma rays are a form of electromagnetic radiation with very short wavelengths.

Alpha rays have relatively low energies and can be stopped by a thin sheet of paper. They are not able to penetrate human skin and, in most circumstances, pose a relatively low health risk. Beta rays (electrons) are more energetic, penetrating a short distance into human tissue, but they can be stopped by a thin sheet of aluminum. Gamma rays are by far the most penetrating form of radiation, permeating wood, paper, plastic, tissue, water, and other low-density materials in the environment. They can be stopped, however, by sheets of lead a few inches thick.

Radioactivity is a normal and ubiquitous part of the environment. The most important sources of natural radioactivity are rocks containing radioactive isotopes of uranium, thorium, potassium, and other elements. Each chemical element can exist in different isotopic versions, which differ from one another in the number of neutrons they possess. For instance, the lightest element is hydrogen, and on Earth it comes in three naturally occurring isotopes. The most abundant isotope of hydrogen possesses one proton in the nucleus, but no neutron. The hydrogen isotope deuterium has one proton and one neutron, while tritium has one proton and two neutrons. All three forms are isotopes of hydrogen, and they all possess a single proton; but each hydrogen isotope has a different number of neutrons than the other two.

The most common radioactive isotope in air is carbon-14, formed when neutrons from cosmic ray showers react with nitrogen in the atmosphere. Humans, other animals, and plants are constantly exposed to low-level radiation emitted from these isotopes, and they do suffer to some extent from that exposure. A certain number of human health problems—cancer and genetic disorders, for example—are attributed to damage caused by natural radioactivity.

In recent years, scientists have been investigating the special health problems related to one naturally occurring radioactive isotope, radon-226. This isotope of radon is produced when uranium decays, and since uranium occurs widely in rocks, radon-226 is also a common constituent of the environment. Radon-226 is an alpha-emitter, and though the isotope does have a long half-life (1,620 years), the alpha particles are not energetic enough to penetrate the skin. The substance, however, is a health risk because it is a gas that can be directly inhaled. The alpha particles come into contact with lung tissue, and scientists now assert that long-term radon-226 exposure is responsible for a certain number of cases of lung cancer. The radioactive radon isotope can be a problem when homes are constructed on land containing an unusually high concentration of uranium. Radon-226 released by uranium can escape

into the basements of homes, and thereafter migrate into higher levels. Studies by the Environmental Protection Agency (EPA) have found that as many as eight million houses in the United States have levels of radon-226 that exceed the maximum permissible concentration recommended by experts.

Though Becquerel had discovered radiation occurring naturally in the environment, scientists immediately began asking themselves whether it was possible to convert normally stable isotopes into radioactive forms. This question became the subject of intense investigation in the 1920s and 1930s, and was finally answered in 1934 when Irene Curie and Frédéric Joliot-Curie bombarded the stable isotope aluminum-27 with alpha particles and produced phosphorus-30, a radioactive isotope. Since the Joliot-Curie experiment, scientists have found ways to manufacture hundreds of artificially radioactive isotopes. One of the most common methods is to bombard a stable isotope with gamma rays. In many cases, the product of this reaction is a radioactive isotope of the same element.

Highly specialized techniques have recently been devised to meet specific needs. Medical workers often use radioactive isotopes with short half-lives because they can be used for diagnostic purposes without remaining in a patient's body for long periods of time. But the isotope cannot have such a short half-life that it will all but totally decay between its point of manufacture and its point of use.

One solution to this problem is the so-called molybdenum cow. The cow is no more than a shielded container of radioactive molybdenum-99. This isotope decays with a long half-life to produce technetium-99, whose half-life is only six hours. When medical workers require technetium-99 for some diagnostic procedure, they simply "milk" the molybdenum cow to get the short-lived isotope they need.

Artificially radioactive isotopes have been widely employed in industry, research, and medicine. Their value lies in the fact that the radiation they emit allows them to be tracked through settings in which they cannot be otherwise observed. For example, a physician might want to know if a patient's thyroid is functioning normally. In such a case, the patient drinks a solution containing radioactive iodine, which concentrates in the thyroid like stable iodine. The isotope's movement through the body can be detected by a Geiger counter or some other detecting device, and the speed as well as the extent to which the isotope is taken up by the thyroid is an indication of how the organ is functioning.

Artificially radioactive isotopes can pose a hazard to the environment. The materials in which they are wrapped, the tools with which they are handled, and the clothing worn by workers, may all be contaminated by the radioisotopes. Even after they have been used and discarded, they may continue to be radioactive. Users must find ways of disposing of these wastes without allowing the release of dangerous radiation into the environment, a relatively manageable problem. Most materials discarded by industry, medical facilities, and researchers are low-level radioactive waste. The amount of radiation released decreases quite rapidly, and after isolation for just a few years, the materials can be disposed of safely with other non-radioactive wastes.

The same cannot be said for the high-level radioactive waste produced by nuclear power plants and defense research and production. Typically consisting of long-lived radioactive isotopes, such wastes are produced during fission reactions and release dangerously large amounts of radiation for hundreds or thousands of years.

Nuclear fission was discovered accidentally in the 1930s by scientists who were trying to produce artificial radioactive isotopes. In a number of cases, they found that the reactions they used did not result in the formation of new radioactive isotopes, but in the splitting of atomic nuclei, a process that came to be known as nuclear fission.

By the early 1940s, nuclear fission was recognized as an important new source of energy. That energy source was first put to use for destructive purposes, in the construction of nuclear weapons. Later, scientists found ways to control the release of energy from nuclear fission in nuclear reactors.

The most serious environmental problem associated with fission reactions is that their waste products are largely long-lived radioactive isotopes. Attempts have been made to isolate these wastes by burying them underground or sinking them in the ocean. All such methods have proved so far to be unsatisfactory, however, as containers break open and their contents leak into the environment.

The United States government has been working for more than four decades to find better methods for dealing with these wastes. In 1982, Congress passed a *Nuclear Waste Policy Act*, providing for the development of one or more permanent burial sites for high-level wastes. The United States Congress amended the Nuclear Waste Policy Act in 1987 designating Yucca Mountain in Nevada as the permanent repository for all U.S. nuclear waste. However, political and

environmental pressures have stalled the implementation of the Act and nearly three decades after its passage, the nation still has no method for the safe disposal of its most dangerous radioactive wastes. The U.S. Department of Energy (DOE) reported in March 2010 that “a motion with the Nuclear Regulatory Commission to withdraw the license application for a high-level nuclear waste repository at Yucca Mountain” had been filed at the behest of DOE Secretary Dr. Steven Chu. As of late 2010, the issue of whether or not Yucca Mountain would be the nation’s repository for high-level nuclear waste was being litigated in the federal courts between the U.S. government and various plaintiffs, including some U.S. states and nuclear industry corporations.

*See also* Ecotoxicology; Hazardous waste; Nuclear fusion; Nuclear winter; Radiation exposure; Radiation sickness; Radioactive fallout; Radioactive pollution; Radioactive waste management.

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David E. Newton

## Radiocarbon dating

Radiocarbon dating is a technique for determining the age of very old objects consisting of organic (carbon-based) materials, such as wood, paper, cloth, and bone. The technique is based on the fact that both stable and radioactive isotopes of carbon (C) exist. These isotopes behave almost identically in biological, chemical, and physical processes.

Carbon-12 ( $^{12}\text{C}$ ), a stable isotope, makes up about 99 percent of all carbon found in nature. Radioactive carbon-14 ( $^{14}\text{C}$ ) is formed in the atmosphere when neutrons produced in cosmic ray showers react with nitrogen (N) atoms.

Despite the fact that it makes up no more than 0.08 percent of the earth’s crust, carbon is an exceedingly important element. It occurs in all living materials and

is found in many important rocks and minerals, including limestone and marble, as well as in carbon dioxide (CO<sub>2</sub>). Carbon moves through the atmosphere, hydrosphere, lithosphere, and biosphere in a series of reactions known as the carbon cycle. Stable and radioactive isotopes of the element take part in identical reactions in the cycle. Thus, when green plants convert carbon dioxide to carbohydrates through the process of photosynthesis, they use both stable carbon-12 and radioactive carbon-14 in exactly the same way. Any living material consists, therefore, of a constant ratio of carbon-14 to carbon-12.

In the mid-1940s, American physical chemist Willard F. Libby (1908–1980) realized that this fact could be used to date organic material. As long as that material was alive, Libby pointed out, it should continue to take in both carbon-12 and carbon-14 in a constant ratio. At its death, the material would no longer incorporate carbon in any form into its structure. From that point on, the amount of stable carbon-12 would remain constant. The amount of carbon-14, however, would continuously decrease as it decayed by beta emission to form nitrogen. Over time, the ratio of carbon-14 to carbon-12 would grow smaller and smaller. That ratio would provide an indication of the length of time since the material had ceased being alive.

Radiocarbon dating has been used to estimate the age of a wide variety of objects ranging from charcoal taken from tombs to wood found in Egyptian and Roman ships. One of its most famous applications was in the dating of the Shroud of Turin. Some religious leaders had claimed that the Shroud was the burial cloth in which Jesus was wrapped after his crucifixion. If so, the material of which it was made would have to be nearly two thousand years old. Radiocarbon dating of the material showed, however, that the cloth could not be more than about seven hundred years old.

Based on newly developed techniques that increased sensitivity, radiocarbon dating can be used for objects up to 60,000 years of age, but it is highly reliable only for objects less than 7,000 years old. These limits result from the fact that eventually carbon-14 has decayed to such an extent that it can no longer be detected well or, eventually, at all in a sample. One of the issues with radiocarbon dating is the assumption that the levels of carbon-14 in the atmosphere are constant. Calibration methods are used to correct for these differences in dating materials. However, this calibration may complicate age determination of materials originating during certain periods of time in history such as the time when modern humans migrated to Europe. Another issue arises when an object is contaminated with newer or younger material, making it difficult to determine the actual age of the object. For

older specimens, radioisotopes with longer half-lives can be used for age determination.

*See also* Half-life; Radioactive decay.

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David E. Newton

## Radioisotope

The term radioisotope is shorthand for radioactive isotope. Isotopes are forms of an element whose atoms differ from each other in the number of neutrons contained in their nuclei and, hence, in their atomic masses. Hydrogen (H), for instance has three naturally occurring isotopes. Hydrogen-1, hydrogen-2, and hydrogen-3 are all isotopes of each other. Hydrogen also has other isotopes that have been manufactured in research laboratories but are not observed in nature.

Isotopes may be stable or radioactive. That is, they may exist essentially unchanged forever (stable), or they may spontaneously emit an alpha particle or beta particle and/ or a gamma ray, changing in the process into a new substance. Hydrogen-1 and hydrogen-2 are stable isotopes, but hydrogen-3 is radioactive.

The first naturally occurring radioisotopes were discovered in the late 1890s. Scientists found that all isotopes of the heaviest elements—uranium, radium, radon, thorium, and protactinium, for example—are radioactive. This discovery raised the question as to

Radioisotope	Half-life(Years)	Radiation Emitted
Americium-241	460	
Cesium-134	2.1	
Cesium-135	2,000,000	
Cesium-137	30	Beta and gamma
Curium-243	32	
Iodine-131	0.02	Beta and gamma
Krypton-85	10	Beta and gamma
Neptunium-239	2.4	
* Plutonium-239	24,000	Gamma and neutron
Radon-226	1,600	Alpha
Ruthenium-106	1	Beta and gamma
Strontium-90	28	Beta
Technetium-99	200,000	
* Thorium-230	76,000	
Tritium	13	Beta
* Uranium-235	713,000,000	Alpha and neutron
Xenon-133	0.01	
Zirconium-93	900,000	

\* Used as fuel in nuclear reactions. All others are by-products

**Some radioisotopes associated with nuclear power.**  
(Reproduced by permission of Gale, a part of Cengage Learning)

whether stable isotopes of other elements could be converted to radioactive forms.

By the 1930s, the techniques for doing so were well established, and scientists routinely produced hundreds of radioisotopes that do not occur in nature. As an example, when the stable isotope carbon-12 is bombarded with neutrons, it may be converted to a radioactive cousin, carbon-13. This method can be used to manufacture radioisotopes of nearly every element.

Naturally occurring radioisotopes are responsible for the existence of background radiation. Background radiation consists of alpha and beta particles and gamma rays emitted by these isotopes. In addition to the heavy isotopes mentioned above, the most important contributors to background radiation are carbon-14 and potassium-40.

Synthetic radioisotopes have now become ubiquitous in human society. They occur commonly in every part of nuclear power plant operations. They are also used extensively in the health sciences, industry, and scientific research. A single example of their medical application is cancer therapy. Gamma rays emitted by the radioisotope cobalt-60 have been found to be very effective in treating some forms of cancer. Other gamma-emitting radioisotopes can also be used in this procedure.

As of October 2010, the World Nuclear Association (WNA) estimates that over ten thousand hospitals throughout the world use radioisotopes. Most of the radioisotopes are used for diagnostic procedures.

The WNA also states that technetium-99 is the most commonly used radioisotope in the world, with around 20 million procedures performed annually with this particular radioisotope.

The potential for the release of radioisotopes to the environment is great. For example, medical wastes might very well contain radioisotopes that still emit measurable amounts of radiation. Stringent efforts are made, therefore, to isolate and store radioisotopes until their radiation has reached a safe level.

These efforts have two aspects. Some radioisotopes have short half-lives. The level of radiation they emit drops to less than one percent of the original amount in a matter of hours or days. These isotopes need only be stored in a safe place for a short time before they can be safely discarded with other solid wastes.

Other radioisotopes have half-lives of centuries or millennia. They will continue to emit harmful radiation for hundreds to thousands of years. Safe disposal of such wastes may require burying them deep within Earth, a procedure that still has not been satisfactorily demonstrated. In spite of the potential environmental hazard posed by radioisotopes, they do not presently pose a serious threat to plants, animals, or humans. The best estimates place the level of radiation from artificial sources at less than five percent of that from natural sources.

See also Half-life; Radioactive decay; Radioactive waste management; Radioactivity.

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David E. Newton

## Radiological Emergency Response Team

The Radiological Emergency Response Team (RERT) of the federal Environmental Protection Agency (EPA) responds to emergencies that involve the release of radioactive materials. The team responds to emergencies such as accidents at nuclear power plants, accidents involving the shipment of radioactive material, acts of nuclear terrorism, and even accidents involving satellites containing radioactive materials. For instance, personnel responded to nuclear power plant accidents at both Three Mile Island, in the United States, and to Chernobyl, in the Soviet Union (now within the Ukraine). RERT works with the EPA Superfund Program, as well as federal, state, and local agencies to develop and enforce strategic plans.

RERT is based in the EPA Office of Radiation and Indoor Air in Washington, D, and at two national laboratories. Environmental monitoring and assessment is performed by employees at the National Air and Radiation Environmental Laboratory in Montgomery, Alabama, and the Radiation and Indoor Environments National Laboratory in Las Vegas, Nevada. Approximately seventy-five RERT members were stationed in Washington and at the laboratories in the spring of 2002.

In an emergency, an RERT field team goes to the site where radioactive material was released. The team's duties include taking environmental measurements and doing laboratory work. In addition, RERT works with state and local authorities to protect the public from exposure to harmful radiation levels. Team equipment ranges from a mobile radiation laboratory to the personal dosimeter used to measure the radiation dose in an individual.

The September 11, 2001 terrorist attacks on the United States led to the development and creation of the National Response Plan (NRP). The RERT is included within the NRP and its Nuclear/Radiological Incident Annex, which coordinates all types of radiological activities involving the United States. Since then RERT personnel have been involved with many high-profile situations where terrorism was deemed possible such as the 2002 Winter Olympic Games, held in Salt Lake City, and the 2005 U.S. presidential inauguration of Barack Obama, performed in Washington, DC.

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Liz Swain

## Radionuclides

Radionuclides are radioactive elements. Radioactivity can be defined as the release of alpha and beta particles from atoms, and/or gamma rays that takes place when the nuclei of certain unstable substances spontaneously disintegrate. It is during this disintegration process that they emit radiation. The two types of radiation released during such processes are termed ionizing, or of sufficient strength to forcibly eject electrons from their orbit around an atoms nucleus, producing ions, and non-ionizing, of less strength and incapable of displacing electrons and forming ions. Ionizing radiation is highly significant because when it interacts with the atoms within living things, it is capable of causing biological damage such as the death of cells or the unnatural reproduction of cells. We term this unnatural reproduction of cells cancer.

Radionuclides occur in the environment both naturally and as a result of human industry. Naturally-occurring radionuclides exist all over the Earth and have been present since its formation some 4.5 billion years ago. Even non-radioactive elements can be made artificially radioactive by bombarding them with high-energy particles such as neutrons. Each radionuclide forms and behaves in unique ways, with its own method and rate of decay. Such decay is measured by what is called half-life, or the time it takes for a group of atoms to decay to half of their original number.

One of the substances that occurs naturally in the Earth's crust is uranium, a radionuclide used often as fuel to produce nuclear power. Uranium is one of the densest elements found on Earth. When the German chemist Martin H. Klaproth discovered uranium in 1789, he named it in honor of the recently discovered planet Uranus. The scientific community showed little interest in uranium until 1896, when Henri Becquerel

discovered radioactivity, and uranium was named as one of only two known radioactive elements of the time. In 1938, scientists discovered nuclear fission, a reaction that produces energy. In fact, uranium can produce nearly three million times more energy than an equivalent amount of coal (1 pound [0.5 kg] of uranium can produce the same amount of energy produced by 3 million pounds [1.4 million kg] of coal).

The most abundant form (isotope) of uranium boasts a half-life nearly identical to the age of the earth itself. This allows scientists to use the substance's disintegration to date other geological features of the Earth by comparison. However, uranium use also has many drawbacks. Most notably, use of the substance produces nuclear waste that must be carefully transported and stored. In addition, easily obtainable supplies of uranium on Earth are limited, and therefore the costs of locating and refining uranium can be extremely high.

Radium occurs as a result of uranium disintegration. Marie Curie, the first woman to win a Nobel Prize, and one of the few scientists to ever receive the Nobel Prize twice, along with her husband Pierre, discovered the substance. She had followed up on Becquerel's observations on uranium's radioactive properties for her doctoral dissertation in the late 1890s. The Curies began refining pitchblende, a waste ore that was commonly found around uranium mines, known to emit radiation. The Curies first discovered the radioactive salt they named polonium, and then radium, found to be thousands of times more radioactive than any other substance discovered to date.

Radium is found in water, soil, plants, and food, but at low concentrations. Drinking water holds the highest potential for human exposure to radium. When humans are exposed to radium orally, they are at risk for lung, bone, brain and nasal passage tumors. Chronic exposure has led to acute leukemia and other complications. Radium has been classified by the Environmental Protection Agency (EPA) as a human carcinogen. Today, radium is still considered among the most radioactive metals on Earth, and requires careful attention and handling. Its use has been limited in many products, but the substance still helps treat cancers through radiation therapy and aids in some forms of research. As evidence of the validity of the EPA's finding, its discoverer, Marie Curie died at the age of sixty-seven of leukemia, caused by her prolonged exposure to radiation.

Radon is a gas that can diffuse out of uranium (from radium) in the ground from uranium and thorium present in minerals, ores and rocks. A German physics

professor named Friedrich Dorn discovered radon in 1900, after he followed the experiments of Marie Curie. He found that radium emitted a radioactive gas he termed radium emanation. Dorn's discovery was important because it showed that an element could be transmuted from metal to gas as part of the radioactive decay process. The hazards of radon were not discovered until late in the twentieth century, and since that time, testing houses for the gas has become an important precaution. Radon has shown the capability of seeping into groundwater and contaminating public drinking supplies.

As radon is colorless and odorless, it can prove particularly dangerous. Radon exposure occurs mostly through inhalation of the gas in indoor locations such as schools, homes, or office buildings. Chronic exposure produces serious respiratory effects, and smokers are at particular risk for lung cancer, estimated at ten to twenty times the risk of nonsmokers. Although hazardous, radon has been useful in predicting earthquakes. In the 1960s, the discovery by a group of Chinese scientists that radon levels in groundwater rise just before earthquakes led to the prediction of several earthquakes by monitoring radon concentrations in well water. Radon has also proved useful in detecting leaks, inspecting metal welds, and measuring flow rates. However, radon's high risk status as a carcinogen outweighs its beneficial uses.

Plutonium is an example of an artificial radioactive substance. It was discovered around the early 1940s during experiments on nuclear fission conducted by a number of scientists. Plutonium is used in so-called "breeder reactors" when uranium undergoes nuclear fission to produce energy and occurs as a waste product of uranium. Like uranium, plutonium produces large amounts of energy per unit mass and its properties were put to the test in the first nuclear weapons test in New Mexico in 1945.

Knowledge of the properties and risks of all radionuclides have made it necessary for people all over the world to become more aware of the inherent dangers they possess and the necessary preventative measures needed for protection. People that work in factories that process uranium, or with phosphate fertilizers, are at increased risk of exposure to radionuclides, as are those living in close proximity to uranium mines. Tests can measure radioactivity levels in the body and elimination of radium and radon in exhaled breath. Uranium, radon, and radium levels can be measured in the urine.

One of the greatest challenges facing scientists and policymakers throughout the world is the safe transport

and disposal of the hazardous waste resulting from radionuclides. The subject has been steeped in controversy for many years, as no place seems safe enough to handle storage of a substance that lives for millions of years. However, scientists continue to develop new methods for storage of nuclear byproducts. In early 2001, a Los Alamos National Laboratory team announced that certain ceramic materials held up against radiation damage and could potentially offer new solutions to resist leaching and radiation for thousands of years.

A consortium of international agencies promotes international cooperation in managing nuclear waste, since nearly every developed country has some sort of nuclear power or weaponry project in place. Most of these countries have developed plans to safely dispose of nuclear waste early in the twenty-first century. The United States began a long-term plan for nuclear waste storage with passage in 1982 of the Nuclear Waste Policy Act. The act identified objectives for developing geologic repositories for high-level nuclear waste.

The federal government identified Yucca Mountain in Nevada for deep underground storage of wastes to replace storage that now lies at commercial nuclear power plants and research reactor sites scattered throughout the United States. The EPA continues to develop public health and environmental standards to set safe limits for the long-term storage of highly radioactive waste. However, a coalition of environmental groups also continues to watch and question the agency to ensure that the radiation standard remains protective enough. The Yucca Mountain repository has turned out to be a focus of controversy for several decades. Proponents of the Yucca Mountain site claim that it is completely safe for long-term nuclear waste storage, while its detractors assert that certain geological features associated with the site raise questions about its fitness for storing such high-level radioactive waste for many tens of thousands (or even hundreds of thousands) of years. While the administration of George W. Bush supported activation and use of Yucca Mountain as the nation's main high-level nuclear waste storage facility, the succeeding Obama administration, via the Department of Energy (DOE), in early 2010 filed "a motion with the Nuclear Regulatory Commission to withdraw the license application for a high-level nuclear waste repository at Yucca Mountain." The issue of whether or not Yucca Mountain will be the central nuclear waste disposal site for the United States remained uncertain as of late 2010.

The EPA provides printed fact sheets on radionuclides that warn of environmental and occupational exposure to the substances and acceptable levels of contact for humans. According to the agency, uranium is

present in rocks and soil and throughout the environment, and although exposure can occur through air, higher levels generally occur in food or drinking water. Chronic long-term exposure to uranium and radon has been linked to both lung and kidney diseases.

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## Radiotracer

A radiotracer, or radioactive label as it is sometimes called, is any substance containing a radioisotope (any element with an unstable nucleus) that is used to measure the speed of chemical reactions or to determine (trace) the motion of substances through physical or biological systems such as bodies of water and human tissues.

A radioactive isotope—or a radioisotope, and sometimes also called a radionuclide, such as uranium (chemical symbol: U)—progressing through a biological or physical system can be followed by several tracking procedures.

For example, fertilizer containing radioactive phosphorus can be added to soil. Plants grown in this soil then take up the radioactive phosphorus just as they do non-radioactive phosphorus. If one of these plants is placed on a photographic plate, radiation from the radioactive phosphorus exposes the plate. The plant "takes its own picture," showing where the phosphorus concentrates in the plant. Radiotracers are a highly desirable research technique as they do not require the destruction of an organism for its study.

In other examples, radiotracers are used to prevent parasitic pests from devouring fruit and vegetables; to improve the health of domesticated animals such as cattle; and to examine welds in pipes to detect

any leakage or wear. In addition, most U.S. homes use ionization chamber smoke detectors to warn of fires with use of a radiotracer. These detectors contain the radioisotope americium (chemical symbol: Am).

It was reported in 2010 that a new radioactive compound (called Pittsburgh compound B, or PIB) used as a radiotracer is able to analyze the brain of a person with Alzheimer's disease and distinguish it from a normal brain. Such medical breakthroughs will eventually lead to better ways to counter dementia and other diseases of the brain.

## Resources

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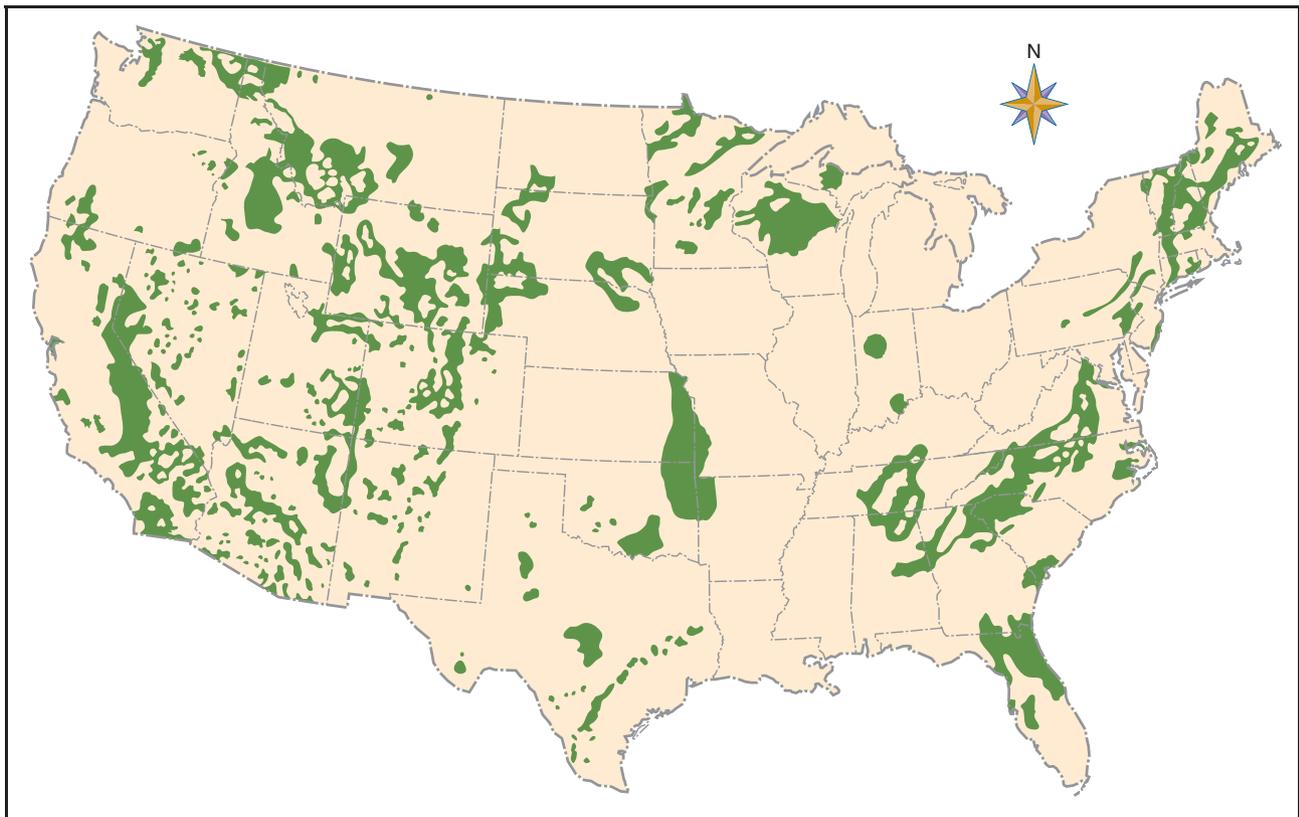
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## Radon

Although it has received attention as an environmental hazard only in the last quarter of the twentieth century, radon is a naturally occurring radioactive gas that is present at low concentrations everywhere in the environment. Colorless and odorless, radon is a decay product of radium (Ra); radium is a decay product of the radioactive element uranium (U), which occurs naturally in the earth's crust. Radon continues to break down into products termed radon progeny. Radon is measured in units termed picocuries per liter of air (pCi/L), and it becomes a health concern when people are exposed to concentrations higher than normal background levels. Some geologic formations, such as the Reading Prong in New Jersey, are naturally very high in radon emissions.

During their normal decay process, radioactive elements emit several kinds of radiation, one of which is alpha radiation. The health effects of radon are associated with these alpha particles. These particles are too heavy to travel far and cannot penetrate the skin, but they can enter the body through the lungs



The shaded areas on the map have potentially high levels of uranium in the soil and rocks. Radon levels may be high in these areas. (Reproduced by permission of Gale, a part of Cengage Learning)

during inhalation. The U.S. Surgeon General has stated that radon may be the second leading cause of lung cancer following smoking. Studies of miners exposed to high concentrations of radon have shown an increased risk of lung cancer, and this is the health effect most commonly associated with radon. Background levels are usually estimated at 1 pCi/L. It is estimated that a person exposed to this concentration for eighteen hours a day for five years increases their risk of developing cancer to one in one thousand. At radon levels of 200 pCi/L, the increased risk of lung cancer after five years of exposure at eighteen hours per day rises to sixty in one thousand. Because cancer is a disease that is slow to develop, it may take five to fifty years after exposure to radon to detect lung cancer.

In the outdoor environment, radon gas and its decay products are usually too well-dispersed to accumulate to dangerous levels. It is indoors without proper ventilation, in places such as basements and ground floors, where radon can seep from the soil and accumulate to dangerous concentrations. According to the Environmental Protection Agency (EPA), the maximum acceptable amount of radon in a building is 4 pCi/L. The average radon concentration in outside air is 0.4 pCi/L. Even if the radon levels are as low as 2 pCi/L, the EPA suggests that action be taken to reduce concentrations. The EPA recommends that all occupied buildings be tested for radon on all floors below the third floor. When buying or selling a home, it is recommended that the dwelling be tested by a qualified inspector to ensure that the radon concentrations are below the acceptable level. Many home inspectors are qualified and equipped to test for radon in a building. Inexpensive radon testing kits can also be purchased. It is estimated that one in fifteen homes in the U.S. has unhealthy levels of radon (above 4 pCi/L). In the U.S., environmental and public health agencies have instituted free programs to test for radon concentrations, and they also offer assistance and guidelines for remedying the problem.

The most common methods of reducing radon buildup inside the home include installing blowers or simply opening windows. Plugging cracks and sealing floors that are in contact with soil also reduces the concentration. Most methods to reduce radon accumulation in the home consist of a system of pipes and vent fans to draw radon that could potentially enter the home and instead release the radon to the outside air. In new construction, homes are being built with radon prevention systems termed soil suction, which consist of a pipe that originates below the home, collecting the radon and venting it to the outside air. Installation of a heat recovery ventilator (HRV) in a

building increases ventilation that reduces radon levels. There are also systems that pump air to increase the air pressure in the lower levels of a building to reduce radon infiltration.

*See also* Radiation exposure; Radioactive decay; Radioactive pollution; Radioactivity.

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Usha Vedagiri

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## Rails-to-Trails Conservancy

In 1985, the Rails-to-Trails Conservancy, a non-profit organization, was established to convert abandoned railroad corridors into open spaces for public use. During the nineteenth century, the railroad industry in the United States boomed as rail companies rushed to acquire land and assemble the largest rail system in the world. By 1916, over 250,000 miles (402,000) of track

had been laid across the country, connecting even the most remote towns to the rest of the nation. However, during the next few decades, the automobile drastically changed the way Americans live and travel.

With Henry Ford's introduction of mass production techniques, the automobile became affordable for nearly everyone, and industry shifted to trucking for much of its overland transportation. The invention and widespread use of airplanes had a significant impact on the railroad industry as well, and people began abandoning railroad transportation.

As a result of these developments, thousands of miles of rail corridors fell into disuse. Rails-to-Trails Conservancy estimates that over 3,000 miles (4,828 km) of track are abandoned each year. Since much of the track is in the public domain, Rails-to-Trails strives to find uses for the land that will benefit the public. Thus Rails-to-Trails works with citizen groups, public agencies, railroads, and other concerned parties to, according to the organization, "build a transcontinental trailway network that will preserve for the future our nation's spectacular railroad corridor system."

Some of the rails have been converted to trails for hiking, biking, and cross-country skiing as well as wildlife habitats. By providing people with information about upcoming abandonments, assisting public and private agencies in the effort to gain control of those lands, sponsoring short term land purchases, and working with Congress and other federal and state agencies to simplify the acquisition of abandoned railways, Rails-to-Trails has mobilized a powerful grassroots movement across the country. Today there are over 11,500 miles (18,506 km) of converted rails-to-trails.

Rails-to-Trails also sponsors an annual conference and other special meetings and publishes materials related to railway conservation. Its quarterly newsletter, *Trailblazer*, is sent to members and keeps them aware of rails-to-trails issues. Many books and pamphlets are available through the group, as are studies such as *How to Get Involved with the Rails-to-Trails Movement* and *The Economic Benefits of Rails-to-Trails Conversions to Local Economies*. In addition, the Rails to-Trails Conservancy provides legal support and advice to local groups seeking to convert rails to trails.

## Resources

### ORGANIZATIONS

Rails-to-Trails Conservancy, The Duke Ellington Building 2121 Ward Ct., NW, 5th floor, Washington, DC, USA, 20036, (202) 331-9696, <http://www.railstotrails.org>

Linda M. Ross

## Rain forest

The world's rainforests are the richest ecosystems on Earth, containing an incredible variety of plant and animal life. These forests play an important role in maintaining the health and biodiversity of the planet. But rain forests throughout the world are rapidly being destroyed, threatening the survival of millions of species of plants and animals and disrupting climate and weather patterns. The rain forests of greatest concern are those located in tropical regions, particularly those found in Central and South America, and the ancient temperate rain forests along the northeastern coast of North America.

Tropical rain forests (TRFs) are amazingly rich and diverse biologically and may contain one-half to two-thirds of all species of plants and animals, though these forests cover only about 5–7 percent of the world's land surface. TRFs are found near the equatorial regions of Central and South America, Africa, Asia, and on Pacific



Tall trees rising above rain forest canopy in Sabah, Malaysian Borneo. (© Frans Lanting/Corbis)

Islands, with the largest remaining forest being the Amazon rainforest, which covers a third of South America.

TRFs remain warm, green, and humid throughout the year and receive at least 150 inches (4 m) of rain annually, up to half of which may come from trees giving off water through the pores of their leaves in a process termed transpiration. The tall, lush trees of the forest form a two- to three-layer closed canopy, allowing very little light to reach the ground. Although tropical forests are known for their lush, green vegetation, the soil stores very few nutrients. Dead and decomposing animals, trees, and leaves are quickly taken up by forest organisms, and very little is absorbed into the ground.

In 1989 *World Resources Institute* predicted that “between 1990 and 2020, species extinctions caused primarily by tropical deforestation may eliminate somewhere between 5–15 percent of the world’s species. These extinctions would amount to a potential loss of fifteen thousand to fifty thousand species per year, or fifty to 150 species per day.” It is estimated that thirty to eighty million species of insects alone may exist in TRFs, at least 97 percent of which have never been identified or even discovered.

TRFs also provide essential winter habitat for many birds that breed and spend the rest of the year in the United States. Some 250 species found in the United States and Canada spend the winter in the tropics, but their population levels are decreasing alarmingly due to forest depletion.

TRFs have unique resources, many of which have yet to be utilized. Food, industrial products, and medicinal supplies are common examples. Among the many fruits, nuts, and vegetables that we use on a regular basis and which originated in TRFs are citrus fruits, coffee, yams, nuts, chocolate, peppers, and cola. A variety of oils, lubricants, resins, dyes, and steroids are also products of the forests. Natural rubber, the fourth biggest agricultural export of southeast Asia, brings in over \$3 billion a year to developing countries. The forests could also yield a sustainable supply of woods like teak, mahogany, bamboo, and others. Although only about 1 percent of known tropical plants have been studied for medicinal or pharmaceutical applications, these have produced 25–40 percent of all prescription drugs used in the United States. Some two thousand tropical plants now being studied have shown potential as cancer-fighting agents.

Scientists studying ways to commercially utilize these forests in a sustainable, non-destructive way have determined that two to three times more money could be made from the long-term collection of such products as nuts, rubber, medicines, and food, compared with cutting the trees for logging or cattle

ranching. Perhaps the greatest value of TRFs is the essential role they play in the earth’s climate. By absorbing carbon dioxide (CO<sub>2</sub>) and producing oxygen through photosynthesis, the forests help prevent climate change (the greenhouse effect) and are important in generating oxygen for the planet. Not only do these forests take up carbon dioxide in the air, but they also act as carbon sinks for carbon sequestration. When these trees are removed, the amount of carbon dioxide in the air will increase as there are fewer plants to absorb it. Additionally, if these trees are burned or left to decay, the combustion or decomposition releases the pent up carbon into the ecosystem, increasing the input of carbon dioxide into the atmosphere. The forests help prevent droughts and flooding, soil erosion and stream sedimentation, maintain the hydrologic cycle, and keep streams and rivers flowing by absorbing rainfall and releasing moisture into the air.

Despite the worldwide outcry over deforestation, destruction is actually increasing. At one time, there were 6 million square miles (15.5 square kilometers) of TRF worldwide, but deforestation has reduced these areas to 2.6 million square miles. A 1990 study by the United Nations Food and Agriculture Organization (FAO) found that TRFs were disappearing at a rate exceeding 40 million acres (16.2 million ha) a year—an area the size of Washington state. This rate is almost twice that of the previous decade.

Timber companies in the United States and western Europe are responsible for most of this destruction, mainly through farming, cattle ranching, logging, and huge development projects. Japan, the world’s largest hardwood importer, buys 40 percent of the timber produced, with the United States a close second. Ironically, much of the destruction of forests worldwide has been paid for by American taxpayers through such government-funded international lending and development agencies as the World Bank, the International Monetary Fund, and the Inter-American Development Bank, along with the United States Agency for International Development.

The World Bank’s Operational Policy on Forests was released in October 2002 and focuses on “the management, conservation, and sustainable development of forest ecosystems as essential to lasting poverty reduction and sustainable development.” The release was delayed by a World Bank dispute over whether its Forest Policy would apply to the World Bank’s growing area of lending, which directly or indirectly finances logging activities. All investments supported by the World Bank must adhere to the Forest Policy and other World Bank policies such as indigenous people, natural habitats, and environmental assessment.

American, European, and Latin American demand for beef has contributed heavily to the conversion of rain forest to pasture land. It is estimated that one-fourth of all tropical forests destroyed each year are cut and cleared for cattle ranching. Between 1950 and 1980, two-thirds of Central America's primary forests were cut, mostly to supply the United States with beef for fast food outlets and pet food.

In Brazil and other parts of the Amazon Basin, cattle ranchers, plantation owners, and small land-owners clear the forest by setting it on fire (termed slash-and-burn agriculture), which causes an estimated 23–43 percent increase in carbon dioxide levels worldwide and spreads smoke over millions of square miles, which interferes with air travel and causes respiratory difficulties.

Unfortunately, cleared forest that is turned into pasture land provides very poor quality soil, which can only be ranched for a few years before the land becomes infertile and has to be abandoned. Eventually desertification sets in, causing cattle ranchers to move on to new areas of the forest.

While huge timber and multi-national corporations have justifiably received much of the blame for the destruction of TRFs, local people also play a major role. Populations of developing countries gather wood for heating and cooking, and the demand brought about by their growing numbers has resulted in many deforested areas. The proliferation of coca farms, producing cocaine mainly for the American market, has also caused significant deforestation and pollution, as has gold prospecting in the Amazon.

The destruction of TRFs has already had devastating effects on indigenous peoples in tropical regions. Entire tribes, societies, and cultures have been displaced by environmental damage caused by deforestation. In Brazil fewer than 200,000 Indians remain, compared to a population of some 6 million about 400 years ago. Sometimes they are killed outright when they come into contact with settlers, loggers, or prospectors, either by disease or because they are shot. And those who are not killed are often herded into miserable reservations or become landless peasants working for slave labor wages.

Today, about 5 percent of the world's remaining TRFs have some type of protective status, and there is often little or no enforcement of prohibitions against logging, hunting, and other destructive activities.

The ancient rain forests of North America are also important ecosystems, composed in large part of trees that are hundreds and even thousands of years old. Temperate rain forests (or evergreen forests) are usually composed of conifers (needle-leafed, cone-bearing

plants) or broadleaf evergreen trees. These trees thrive in cool coastal climates with mild winters and heavy rainfall and are found along the coasts of the Pacific Northwest area of North America, southern Chile, western New Zealand, and southeast Australia, as well as on the lower mountain slopes of western North America, Europe, and Asia.

The rain forest of the Pacific Northwest, the largest coniferous forest in the world, stretches over 112,000 square miles (129,000 km<sup>2</sup>) of coast from Alaska to northern California, and parts extend east into mountain valleys. The forests of the Pacific Northwest consist of several species of coniferous trees, including varieties of spruce (*Picea* species), cedar (*Cedrus* species), pine (*Pinus* species), Douglas fir (*Pseudotsuga* species), Hemlock (*Tsuga* species), and Pacific yew (*Taxus brevifolia*). Broadleaf trees, such as Oregon oak (*Quercus garryana*), tanoak (*Lithocarpus densiflorus*), and madrone (*Arbutus menziesii*), are also found there. Redwood tree growth extends to central California. Farther south are the giant sequoias (*Sequoiadendron giganteum*), the largest living organisms on earth, some of which are over three thousand years old.

In some ways, the temperate rain forests of the Pacific Northwest may be the most biologically rich in the world. Although TRFs contain many more species, temperate rain forests have far more plant matter per acre and contain the tallest and oldest trees on Earth. Over 210 species of fish and wildlife live in ancient forests, and a single tree can support over one hundred different species of plants. One tree found in cool, moist forests is the slow-growing Pacific yew, whose bark and needles contain taxol, considered one of the most powerful anti-cancer drugs ever discovered.

Unfortunately, the clear-cutting of most of the ancient forests and their yew trees has caused a serious shortage of taxol. Some yew trees are unavailable for harvesting because they grow in forests protected as habitat for the endangered northern spotted owl (*Strix occidentalis caurina*). The logging of federal land under the jurisdiction of the Bureau of Land Management (BLM) and the U.S. Forest Service (USFS) has eliminated some of the last and best habitats for the Pacific yew.

The remaining ancient forest, almost all of which is now on BLM and USFS land, is being cut at a rate of 200,000 acres (81,000 ha) a year, as of 1992. At this rate it will be destroyed within less than two decades. The consequences of this destruction will include the disappearance of rare species dependent on this habitat, the silting of waterways and erosion of soil, and the decimation of salmon populations, which provide the world's richest salmon fishery, worth billions of dollars annually.

Although the timber industry claims that logging maintains jobs in the Pacific Northwest, logging national forest often makes no economic sense. Because of the expense of building logging roads, surveying the area to be cut, and the low price it charges for trees, the USFS often loses money on its timber sales. As a result of such deficit or below cost timber sales between 1989 and 1992, the USFS lost an average of almost \$300 million a year by selling timber from national forests.

Several private conservation groups, such as the Wilderness Society and the Sierra Club, are working to preserve the remaining ancient forests on BLM and USFS land through federal legislation, lawsuits, and other actions. In April 1993, President Bill Clinton attended a timber summit in Portland, Oregon, to discuss the ancient forests, endangered species, and timber jobs. But the cutting of old growth forests and below cost timber sales have continued much as before. The Bush administration has not supported environmental issues. In 2001, President Bush triggered international outrage when he refused to agree to the Kyoto Protocol, a United Nations approved plan to preserve the environment.

Two of the largest remaining temperate rain forests are Alaska's 16.9 million-acre (6.8 million ha) Tongass National Forest and the Russian Taiga. Tongass is the last large, relatively undisturbed, temperate rainforest in the United States, stretching over 600 miles (966 km) of coast along the Alaskan Panhandle. It has the highest concentration of bald eagles (*Haliaeetus leucocephalus*) and grizzly bears (*Ursus arctos horribilis*) anywhere on Earth. In addition to reducing habitat for wildlife and salmon hatching, scenic areas are being destroyed, reducing tourism and recreation in the area.

The huge forests in the Russian Far East and Siberia are termed the Taiga. The Siberian portion is the world's largest forest. Comprising some 2 million square miles (5.1 million km<sup>2</sup>), the Taiga is much larger than the Brazilian Amazon and would cover the entire American lower forty-eight states. With Russia becoming more market-oriented and in desperate need of money, some have discussed selling the logging rights to some of these forests to American, Japanese, and Korean logging companies.

Pressure from environmentalists and increasing public concern for rain forests has encouraged world leaders to consider more environmental laws. In June 1992, at the United Nations Earth Summit conference in Rio de Janeiro, Brazil, a set of voluntary principles to conserve the world's threatened forests

were agreed upon. The document affirms the right of countries to economically exploit forests but states that this should be done "on a sustainable basis," recognizing the value of forests in absorbing carbon dioxide and slowing climate change. Initial hope that the agreement on principles might eventually be turned into a binding international convention has evaporated, and throughout the world, forests continue to be destroyed. Some of the last remaining untouched forests are being opened up to poaching, logging, and other exploitation, such as the one million acre (405,000 ha) virgin Ndoke rain forest of the northern Congo Republic, a wildlife paradise full of thousand-year-old trees, along with elephants, leopards, gorillas, and other endangered species.

There is a small but positive sign of a much-needed change. In October 2001 Brazil suspended all trade in mahogany. The government's decision followed a two-year investigation by Greenpeace using ground, air, and satellite surveillance to document rampant illegal logging on Indian reservations and other protected wildlife areas. However, the mean annual deforestation rate in the Amazon Rain forest from 2000 to 2005 was substantially higher than in the previous five years. Such rates would mean that in two decades the entire forest will be reduced by 40 percent, which is why the issue still deeply concerns environmentalists. As of early 2010, the Brazilian government had added 19.3 million acres (7.8 million hectares) of the Amazon rain forest as protected areas to ensure conservation of biodiversity and prevention of climate change.

See also Compaction; Deciduous forest; Decomposition; Migration; Old-growth forest.

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Bill Asenjo

## Rain shadow

A region of relative dryness found on the downwind side of a mountain range or other upland area. As an air mass rises over the upwind side of a mountain range, pressure drops and temperature falls. This



West flank of the inactive Chimborazo volcano, Andean high paramo (puna) made dry by rain shadow, Central Highlands, Ecuador. (© Robert Harding Picture Library Ltd / Alamy)

causes the relative humidity of the air mass to rise. Eventually the moisture in the air condenses and precipitation occurs. An air mass that is now cooler and drier passes over the top of the mountain range. As it descends on the downwind side of the range, it warms again and its relative humidity is further reduced. This reduction in relative humidity not only prevents further rainfall, but also causes the air mass to absorb moisture from other sources, drying the climate on the downwind side. The ultimate result is lush vegetation on the windward side of a mountain separated by the summit from an arid environment on the downwind side. Examples of rain shadow effects include the arid areas on the downwind eastern sides of the mountain ranges of western North America, and the Atacama Desert in Chile on the downwind side of the Andes Mountains.

Ramsar Convention see **Convention on Wetlands of International Importance (1971)**.

## Rainforest Action Network

Rainforest Action Network (RAN), founded in 1985, is an activist group that works to protect rain forests and their inhabitants worldwide. Its strategy includes imposing public pressure on those corporations, agencies, nations, and politicians whom the group believes are responsible for the destruction of the world's rain forests by organizing letter-writing campaigns and consumer boycotts. In addition to mobilizing consumer and environmental groups in the United States, RAN organizes and supports conservationists committed to rain forest protection around the world.

RAN's first direct action campaign was the boycott of Burger King. The fast-food restaurant chain was importing much of its beef from Central and South America, where large areas of forests have been turned into pastureland for cattle. RAN contends that "after sales dropped 12 percent during the boycott in 1987, Burger King canceled \$35 million worth of beef contracts in Central America and announced that it had stopped importing rain forest beef... The formation of Rainforest Action Groups (RAGs) that staged demonstrations and held letter-writing parties in U.S. cities helped make the boycott

and other campaigns a success.” RAN states that there are now over 150 RAGs in North America alone.

RAN also works with human rights groups around the world, attempting to protect and save the cultures of indigenous peoples dependent on rain forests. The group helps support ecologically sustainable ways to use the rain forest, such as rubber tapping and the harvesting of such foods as nuts and fruits.

RAN urges the public to avoid buying tropical wood, such as rosewood and mahogany, and plywood made from rain forest timber. The group also recommends that people not buy rain forest beef, which is often found in fast-food hamburgers and processed beef products.

RAN is strongly pushing its boycott of the Japanese conglomerate Mitsubishi, which makes televisions, VCRs, fax machines, and stereos. RAN points out that “Mitsubishi has big logging operations in Malaysia, Borneo, Philippines, Indonesia, Chile, Canada, and Brazil. . . It is the world’s number one importer of tropical timber.” Other corporations that RAN has criticized for damaging rain forests include ARCO, Scott Paper, Coca-Cola, Texaco, and CONOCO, as well as such international agencies as the World Bank and the International Tropical Timber Organization (ITTO).

RAN operates an extensive media campaign targeted at companies and decision-makers who create policy on rain forests by running full-page advertisements in such major newspapers as *The New York Times* and the *Wall Street Journal*, RAN’s publications include its quarterly *World Rainforest Report*; its monthly *Action Alerts*; *The Rainforest Action Guide*; and *The Rainforest Catalogue*, offering books, videos, bumper stickers, T-shirts, and other products promoting rain forest protection, as well as cosmetics and food made from rain forest plants.

Among the victories achieved by or with the help of RAN are the halting of a plan to cut down the 1-million-acre (404,687-ha) La Mosquito Forest in Honduras—made famous by the movie *The Mosquito Coast*—which is essential to the cultures and livelihoods of some 35,000 indigenous people; forcing the World Bank to stop making loans to nations that destroy their rainforests; stopping oil drilling in the Ecuadorian Amazon by CONOCO and Du Pont; preventing the opening of a major road to take timber out of the Amazon to the Pacific Coast; and persuading Coca-Cola to donate land in Belize for a nature preserve.

In 2008, RAN and its allies persuaded ANZ, one of Australia’s leading banks, not to fund the controversial Bell Bay pulp mill project in Tasmania, which had been proposed by Gunns Ltd, one of Australia’s biggest logging companies. The project would have accelerated the conversion of native and old growth forest to wood chips for export to Japan.

Destruction of the world’s rain forests continues on an enormous scale. As RAN’s director Randall Hayes points out: “Over 50 percent of the world’s tropical rainforests are gone forever. Two-thirds of the southeast Asia forests have disappeared, mostly for hardwood shipped to Japan, Europe, and the U.S. And this destruction continues at a rate of 150 acres per minute, or a football field per second.”

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### ORGANIZATIONS

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Lewis G. Regenstein

## Rangelands

Concentrated in 16 western states, rangelands comprise 770 million acres (311.6 million hectares) and over one-third of the land base in the United States. Rangelands are vegetated predominately by shrubs, and they include grasslands, tundra, marsh, meadow, savanna, desert, and alpine communities. They are fragile ecosystems that depend on a complex interaction of plant and animal species with limited resources, and many range areas have been severely damaged by overgrazing. Currently over 300 million acres (121 million hectares) within the United States alone are classified as being in need of conservation

treatment and management. Effective range-management techniques include soil conservation, preservation of wildlife habitat, and protection of watersheds.

*Raphus cucullatus* see **Dodo**.

## Raprenox (nitrogen scrubbing)

A technique for removing nitrogen oxides from waste gases that makes use of a common, nontoxic organic compound known as cyanuric acid,  $C_3H_3N_3O_3$ . When heated to temperatures of about 660°Fahrenheit (345°), cyanuric acid decomposes to form isocyanic acid. The acid, in turn, reacts with oxides of nitrogen to form carbon dioxide, carbon monoxide, nitrogen, and water. The process has been given the name of *raprenox*, which comes from the expression *rapid removal of nitrogen oxides*. In tests so far, the method has worked very well with internal combustion engines, removing up to 99 percent of all nitrogen oxides from exhaust gases.

See also Scrubbers.

## Rare species

A species that is uncommon, few in number, or not abundant. A species can be rare and not necessarily be endangered or threatened, for example, an organism found only on an island or one that is naturally low in numbers because of a restricted range. Such species are, however, usually vulnerable to any exploitation, interference, or disturbance of their habitats. Species may also be common in some areas but rare in others, such as at the edge of its natural range.

“Rare” is also a designation that the IUCN—The World Conservation Union gives to certain species “with small world populations that are not at present ‘endangered’ or ‘vulnerable’ but are at risk. These species are usually localized within restricted geographical areas or habitats or are thinly scattered over a more extensive range.” Some American states have also employed this category in protective legislation.

RDFs see **Refuse-derived fuels**.

## Recharge zone

The area in which water enters an aquifer. In a recharge zone surface water or precipitation percolate through relatively porous, unconsolidated, or fractured materials, such as sand, moraine deposits, or cracked basalt, that lie over a water bearing, or aquifer, formation. In some cases recharge occurs where the water bearing formation itself encounters the ground surface and precipitation or surface water seeps directly into the aquifer. Recharge zones most often lie in topographically elevated areas where the water table lies at some depth. Aquifer recharge can also occur locally where streams or lakes, especially temporary ponds, are fed by precipitation and lie above an aquifer. Karst sinkholes also frequently serve as recharge conduits. A recharge zone can extend hundreds of square miles, or it can occupy only a small area, depending upon geology, rainfall, and surface topography over the aquifer. Recharge rates in an aquifer depend upon the amount of local precipitation, the ability of surface deposits to allow water to filter through, and the rate at which water moves through the aquifer. Water moves through the porous rock of an aquifer sometimes a few centimeters a day and sometimes, as in karst limestone regions, many kilometers in a day. Surface water can enter an aquifer only as fast as water within the aquifer moves away from the recharge zone.

Because recharge zones are the water intake for extensive underground reservoirs, they can easily be a source of groundwater contamination. Agricultural pesticides and fertilizers are especially common groundwater pollutants. Applied year after year and washed downward by rainfall and irrigation water, agricultural chemicals frequently percolate into aquifers and then spread through the local groundwater system. Equally serious are contaminants leaching from solid waste dumps. Rainwater percolating through household waste picks up dozens of different organic and inorganic compounds, and contamination appears to continue a long time: pollutants have recently been found leaching from waste dumps left by the Romans almost 2,000 years ago. Perhaps most serious are petroleum products, including automobile oil, which Americans dump or bury in their back yards at the rate of 240 million gallons (910 million l) per year, or 4.5 million gallons (18 million l) each week. On a more industrial scale, inadequately sealed toxic waste and radioactive materials contaminate extensive areas of groundwater when they are deposited near recharge zones. Because recharge occurs in a vast range of geologic conditions, all these contamination sources present real threats to groundwater quality.

See also Hazardous waste; Radioactive waste.

Mary Ann Cunningham

## Reclamation

This term has been used environmentally in two distinct ways. The more historic use refers to making land productive for agriculture. The current usage refers mostly to the restoration of disturbed land to an ecologically stable condition.

The main application for agricultural purposes is the development of irrigation. That was the mission given in 1902 to the federal Bureau of Reclamation under the U.S. Department of Agriculture (USDA). This agency has built a total of 180 water projects in seventeen western states, including the Hoover and Glen Canyon Dams in Arizona, and supplies ten trillion gallons (37.8 trillion l) of water to thirty-one million people every year. However, this type of reclamation has led to much environmental damage, and now scientists are trying to figure out how to reverse this damage. The term reclamation has also been used to describe the system of dikes and pumps in the Netherlands to allow farming of lands below sea level.

The main thrust of reclamation today is the restoration of land damaged by human activity, especially by strip mining for coal. Surface or strip mining represented a third of coal production in 1963, increasing to 60 percent in 1973. This increase in production led to more damage to the land, and once the environmental impact was realized, the reclamation movement began. Since 1970, over 2 million acres (800 thousand ha) of mined lands have been restored, plus 100 thousand acres (40,500 ha) of abandoned mines.

However, it was not until 1977 that Congress finally passed the landmark legislation, the Surface Mining Control and Reclamation Act (SMCRA). Earlier attempts had failed because of the perceived threat to jobs. This act applies only to coal mining and restricts mining in prime western farmlands or where owners of surface rights object. The act requires mine operators to (1) demonstrate reclamation proficiency; (2) restore the shape of the land to the original contour and revegetate it if requested by the landowner; (3) minimize impacts on the local watershed and groundwater and prevent acid contamination; and (4) pay a fee on each ton of coal mined into a \$4.1 billion fund to reclaim orphaned lands left by earlier mining.

Since the act was passed, it has come under fire by both industry and environmentalists, resulting in more than fifty amendments. During the Carter administration, excessive litigation caused many delays to implementing and enforcing the regulations. When Reagan was in office, 60 percent of the regulations were reviewed or eliminated. The Office of Surface

Mining (OSM) Reclamation and Enforcement came under attack during the first Bush administration because of its lack of performance in enforcing the legislation. During this time 6,000 mines were abandoned without reclamation, and there were many exemptions from regulations.

In 1995, several amendments were proposed to minimize the duplication of state and federal regulations. A 1997 report by the Public Employees for Environmental Responsibility claimed that continued lack of enforcement led to less than 1 percent of the 120,000 acres (48,500 ha) strip mined in Colorado and not one acre of over 90,000 acres (36,000 ha) of stripped Indian lands being reclaimed as of 1996. During the second Bush administration, new regulations governing mining on federal lands were established in 2001, which environmentalists claimed would reinstate dated reclamation standards that led to pollution of land and water. In 2006, amendments to the SMCRA reinstated fees (extended through 2021) that must be paid by operators on active mining operations for the reclamation of land and water resources affected by mining prior to 1977. The authority of OSM for this fee collection ended as of 2004.

Another type of strip mining, mountaintop removal, has recently come under attack for violating the reclamation legislation. This method involves using machinery to cut off entire mountaintops, as much as 400 feet (122 m), to reach the coal underneath. The rock and earth removed from these mountaintops are dumped into nearby streams in waste piles termed valley fills. Environmentalists believe many streams are being destroyed; in West Virginia alone over 1,000 miles (1600 km) of streams have been buried. These mines violate the conditions for buffer zone variances (no mining activities can take place within a 100-foot (30-m) buffer zone near streams, unless environmental conditions are satisfied.) Another federal court ruling in 1999 said that valley fills also violated the Clean Water Act. Some mine operators claim that their sites will be used for grazing or wildlife habitat, but improper reclamation methods prevent the sites from being used for this purpose. In 2009, the Obama administration approved an Interagency Action Plan to reduce the environmental impacts of mountaintop mining. This plan includes short-term actions that require extensive environmental reviews prior to obtaining permits for mountaintop mining and long-term actions enforcing tighter regulations on mining with respect to its effects on the environment. The Environmental Protection Agency (EPA), Fish and Wildlife Service (FWS) and state governments will work together in accordance with the Clean Water Act to ensure that adjacent communities, water quality,

streams, and wildlife resources are minimally affected by this mining.

Reclamation clearly adds to the cost of coal. For Appalachian coal this reaches 15 percent of the cost per British Thermal Unit (Btu). The 1977 act created a level playing field where all compete under the same rules. Costs easily involve \$1,000–\$5,000 per acre, but this is small compared to royalties paid the landowner. In Germany, where coal seams are very thick and land values are at a premium because of heavy population pressure, great efforts are made to reclaim the land, even up to \$10,000 per acre. In terms of productive farm, grazing, or timber lands, restoration after a one-time extraction of coal allows a return to sustainable agriculture or forestry.

Strip mining creates four landforms which the reclamation process must address: rows of spoil banks, final cut canyons, high walls adjacent to the final cut, and coal-haul roads. The first two are relatively easy to reclaim, but the latter two require special treatment. Reshaping the land to the original contour and keeping the soil in place are major challenges in hilly terrain. Operators usually find it necessary to cut into the unmined hillside to make it grade into the mined land below. Subsidence of overburden could conceivably create a cliff face along the headwall if not adequately compacted. Minimizing grading during the mining process is one way to minimize the amount of land excavation and alteration.

When possible, natural looking slopes can be achieved during the mining process by mining to the prescribed safety angles, or by the cut-and-fill method. This method is generally the most inexpensive means of reclamation. Before mining, topography maps should be made of existing slopes and contours so that mining can match these as close as possible.

Besides physically reshaping the land, for reclamation to succeed the essential needs of erosion control, topsoil replacement, and nurturing and protecting young vegetation until it can survive on its own must be met. These needs are interdependent. Vegetation is crucial for erosion control, especially as the slope gradient increases; however vegetation struggles without topsoil, critical nutrients, and protection from wildlife and livestock. One additional problem, acid mine drainage, is eliminated by good reclamation, as the oxidizing materials which produce the acid are buried.

Coal-haul roads are very dense from the heavy vehicles traversing them. The roads must be ripped up and plowed for even minimal revegetation success or, less desirable, be buried under overburden. Such access roads can cause the biggest disturbance to sites

since they must be designed to meet roadway standards. These roads should thus be designed to minimize grading and require careful planning.

The one most crucial element, and most expensive, is topsoil replacement. Earthwork (backfilling and grading) accounts for up to 90 percent of reclamation costs. Original soils provide five major benefits: (1) a seedbed with the physical properties needed for survival; (2) a reservoir for needed nutrients; (3) a superior medium for water absorption and retention; (4) a source of native seeds and plants; and (5) an ecosystem where the decomposer and aerator-mixer organisms can thrive. The absence of even one of these categories often dooms reclamation efforts.

Increased examination of this problem makes it more apparent how important topsoil is; truly it is one of the earth's most vital resources. Loose overburden is sometimes so coarse and lacking in nutrients that it can support little plant cover. A quick buildup of biomass is critical for erosion control, but without the topsoil to sustain both plant productivity and the microorganisms needed to decompose the dead biomass, any ground cover is soon lost, exposing the soil to increasingly higher erosion rates.

Some areas, such as flat lowlands, can revegetate with or without human aid. But for many lands, especially those with large fractures of rock and air voids, reclamation is practically an all or nothing venture, with little tolerance for halfway measures. Indeed, in conditions where most of the negative impacts are retained within the site, reclamation may easily worsen conditions by removing the barriers to runoff and sediment.

Stabilization of the site may also be needed to complete the mining and reclamation process. Methods include extensive grading, slope alteration, hazard removal, and soil stabilization. The latter method increases the load carrying capacity of soils and can be achieved by using reinforced earth or a chemical treatment. The ability to handle runoff and precipitation is improved. Runoff can also be reduced by planting vegetation on top of the slope or cut.

Much of what is needed for effective reclamation is known. What has been missing has been the will to do it and the legal clout for enforcement. A new approach holds promise to increase this willingness to reclaim the land, which is based on the emerging field of eco-asset management. Ecological resources such as forests and wetlands are developed and treated as financial assets to their owner. This market-based approach results in higher quality reclamation, an

increase in the number of sites reclaimed, and economic benefits to property owners and other participants. Perhaps this type of approach will help persuade the mining industry that reclamation is an investment in the future.

*See also* Mine spoil waste; Restoration ecology.

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Laurel M. Sheppard

## Record of Decision

A Record of Decision (ROD) is a public document that explains which remedial alternatives will be used to clean up a Superfund site. A Superfund site is a site listed on the National Priorities List (NPL), which identifies sites in the United States that pose the greatest long term threat to human health and the environment. Placement on the NPL means that clean up of the site must follow the requirements of the Comprehensive Environmental Response, Compensation, and Liability Act, which outlines the process for completing site clean up.

The first step in the process is to conduct a Remedial Investigation and Feasibility Study (RI/FS). During the RI, data are collected to characterize site conditions, determine the nature of the wastes, assess risk to human health and the environment, and conduct treatability studies to evaluate the potential performance and cost of treatment technologies being considered. The FS is the mechanism used to develop, screen and evaluate alternative remedial actions. A result of the RI/FS is the development of the Proposed Plan, which is the identification of a recommended remedy. The preferred remedy is one that will be effective over both the short and long-term, reduce toxicity, mobility and/or volume of contamination, be technically and economically feasible to implement, and be acceptable to the state and the community. After a period for public comment on the RI/FS and the Proposed Plan, the ROD is prepared. The ROD has three basic components: (1) the Declaration, which is an abstract and data certification sheet for the key information in the ROD and is the formal authorizing signature page; (2) the Decision Summary, which provides an overview of site characteristics, alternatives evaluated, and the analysis of those options; it also identifies the selected remedy and explains how the remedy fulfills statutory and regulatory requirements; and (3) the Responsiveness Summary, which presents stakeholder concerns that were obtained during the public comment period about the site and preferences regarding the remedial alternatives. The Summary also explains how those concerns were addressed and how the preferences were factored into the remedy selection process.

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## Recreation

The term recreation comes from the Latin word *recreatio*, referring to refreshment, restoration, or recovery. The modern notion of recreation is complex,

and many definitions have been suggested to capture its meaning. In general, the term recreation carries the idea of purpose, usually restoration of the body, mind, or spirit. Modern definitions of recreation often include the following elements: (1) it is an activity rather than idleness or rest, (2) the choice of activity or involvement is voluntary, (3) recreation is prompted by internal motivation to achieve personal satisfaction, and (4) whether an activity is recreation is dependent on the individual's feelings or attitudes about the activity.

The terms “leisure” and “play” are often confused with recreation. Recreation is one kind of leisure, but only part of the expressive activity is leisure. Leisure may also include non-recreational pursuits such as religion, education, or community service. Although play and recreation overlap, play is not so much an activity as a form of behavior, characterized by make-believe, competition, or exploration. Moreover, whereas recreation is usually thought of as a purposeful and constructive activity, play may not be goal-oriented and in some cases may be negative and self-destructive.

The benefits of recreation include producing feelings of relaxation or excitement and enhancing self-reliance, mental health, and life-satisfaction. Societal benefits also result from recreation. Recreation can contribute to improved public health, increased community involvement, civic pride, and social unity. It may strengthen family structures, decrease crime, and enhance rehabilitation of individuals. Outdoor recreation promotes interest in protecting our environment and has played an important educational role. However, recreational activities have also damaged the environment. Edward Abbey, among others, have decried the tendency of *industrial tourism* to destroy wild areas and animal habitats. For example, some cite the damming of wild rivers to create lakes for boating and skiing or defacing mountain sides for ski runs and ski lifts as putting human recreation over environment.

Recreation is big business, creating jobs and economic vitality. In 2001 American consumers spent \$100 billion on recreation and leisure activities. Much of these expenditures are for wildlife-related recreation. In 2001, more than 80 million Americans age 16 or older enjoyed some sort of recreation related to wildlife like fishing, hunting, bird-watching, or wildlife photography. More than 13 million adults hunted in 2010. Wildlife watching remained a popular outdoor activity and more than 66 million people age 16 or older fed, photographed, or observed wildlife, spending some \$40 billion on their wildlife activities.

More adults participate in walking for pleasure than any other recreational activity. Driving for pleasure, sightseeing, picnicking, and swimming are also among top recreational activities. Canoeing has been the fastest growing activity over the past 30 years.

Outdoor recreation also stimulates tourism. In 2010, there were over 270,000,000 recreation visits made to our national parks. The United States has approximately 800 million acres of publicly owned recreation lands. An area equal to one-third of the contiguous United States is public recreation land; however, these lands are not evenly distributed. Most of these lands are in the sparsely populated western states. Over 90 percent of all U.S. public lands are administered by the federal government. However, when number of sites, rather than acres, is considered, the recreation supply picture changes considerably. Almost 62 percent of all recreation sites, such as local parks, are municipal.

The private sector is also a major recreation supplier, particularly for certain activities. For example, there are more than 10,000 private campgrounds, 600 ski resorts, and 4,789 privately owned golf courses in the United States.

Several important trends will affect the supply and demand of future recreation opportunities in the United States. Increasing populations, increasing ethnic diversity, the aging of the population, changes in leisure time, disposable income, and mobility will affect the demand for recreation. The loss of open spaces; pollution of our lakes, rivers, and coastlines; increasingly limited access to private lands; and increasing liability concerns will make the task of meeting future demand for recreation more difficult.

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Ted T. Cable

## Recyclables

Recyclables are products or materials that can be separated from the waste stream and used again in place of raw materials. Since colonial times, Americans have recycled a host of materials, ranging from corn husks used for mattress stuffing to old clothes used for quilts. Today, household recyclables include newspapers, mixed waste paper, glass, tin, aluminum, steel, copper, plastics, batteries, yard debris, wood, and used oil. Commercial recyclables include scrap metals, concrete, plastics, corrugated cardboard, and other nonferrous scrap material. The list of recyclables will likely expand as technology meets a growing demand for more recycling in response to increased consumer awareness and waste disposal costs, dwindling landfill space, and more stringent waste management regulations. The Environmental Protection Agency (EPA) has emphasized the importance of diverting recyclables from the waste stream by endorsing integrated waste management, in which municipal solid waste is managed according to a hierarchy of source reduction, recycling, solid waste incineration, and landfilling.

Programs to divert household recyclables from the waste stream are typically developed and implemented at the local or community level, while commercial recyclables are usually collected by private industry. Collection and preparation methods vary among recycling programs. Some communities have implemented curbside collection programs that require households to separate recyclables from their waste and sort them into segregated containers. Other curbside collection programs pick up recyclables separated from household waste but commingled together. Still other communities are responsible for picking out recyclables mixed in the waste stream. In some municipalities, recyclables are sorted and prepared for processing or market at a Materials Recovery Facility (MRF). Most MRFs rely on workers to hand-sort recyclables, though some also use sorting equipment, including magnets for removing metals and blowers for sorting plastics. Plastics are also hand-sorted according to resins identified by a voluntary coding system consisting of a triangular arrow stamp with a number in the center and letters underneath that identify the seven major types of plastic resins used in containers. Other equipment exists for size reduction (shredding or grinding), weighing, and baling recyclables.

Increasing both the type and quantity of recyclables are goals of many states. In 2008, recycling (including composting) diverted 83 million tons of material away from landfills and incinerators. That is an overall recycling rate of 33.2 percent, which is

similar to figures for 2007. After a steady increase in the recycling rate since 1960, the rate now seems to be leveling off. Batteries had the highest recovery rate of any recyclable; almost 97 percent of all batteries were recycled. Slightly more than 71 percent of all paper and paperboard products were recycled and around 30 percent plastic drink containers made their way to recycling centers, a major improvement over the last decade or so. An estimated 71 percent of glass was recovered from the waste stream. Approximately 40 percent of aluminum packaging was recovered for recycling. Recyclables also exist in the form of durable goods, which are products that have a lifetime of over three years. These are usually bulky items, such as major appliances, which are not mixed with the rest of the waste stream. Ferrous metals can be recycled from refrigerators, washing machines, and other major appliances, known as “white goods.”

Recyclables are commodities, and markets for these commodities fluctuate dramatically. The dynamic nature of markets is a key factor in whether a recyclable is actually recycled instead of being disposed in a landfill or combusted in an incinerator. Municipalities involved in recycling programs must deal with rapid shifts in the market for recyclables. For example, the demand for a particular recyclable may drop, reducing the price and forcing the municipality to pay for the material to be taken away for recycling or disposal. Consequently, recycling must compete with raw material markets, as well as waste disposal methods.

An additional advantage of recycling is that it helps reduce greenhouse gas emissions. These emissions can impact the earth’s climate. In 2008, it was estimated that recycling of solid waste in the United States alone may have prevented the release of 182 million tons of carbon into the air, about the same amount as emitted by 33 million cars in one year.

*See also* Garbage; Green packaging; Municipal solid waste; Solid waste incineration; Waste reduction.

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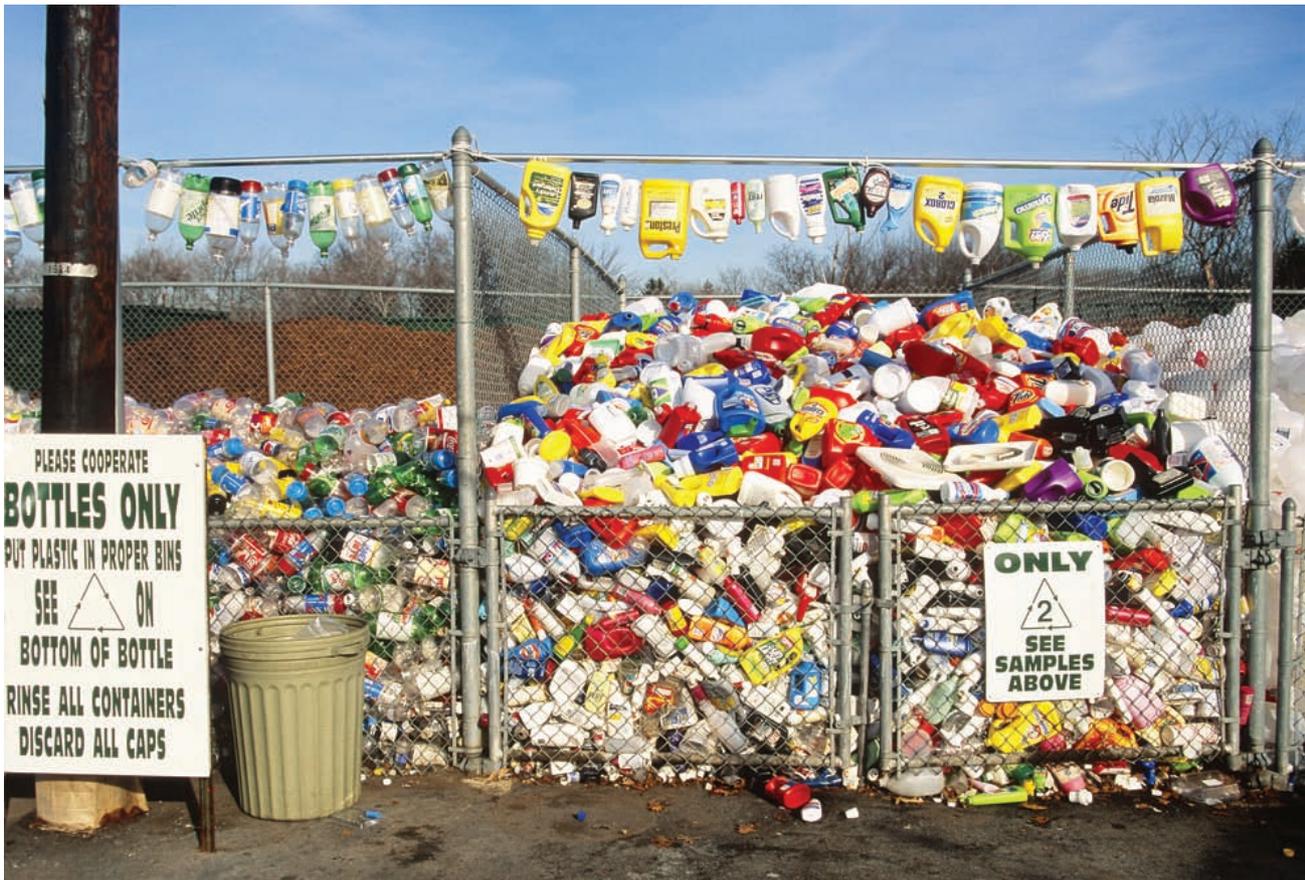
## Recycling

Recycling waste is not a new idea. Throughout history, people have disposed garbage in myriad ways. They fed household garbage to domestic animals. Scavengers gleaned the waste stream for usable items that could be fixed, then sold or traded for other goods and services. Homemakers mended clothing, and children grew up in hand-me-downs. Durable goods were just that; goods that could be reused until their durability wore out. These practices were not due to a desire to reduce the waste stream, but rather a need to produce products from all available resources. Modern society has moved away from such straightforward recycling practices, choosing instead to toss out the old and buy new goods. This throw-away society now faces a trash crisis.

The volume of solid waste generated in the United States has continued to increase, along with the cost of building landfills and incineration facilities. Recycling some of this material into new uses saves existing landfill space, conserves energy and natural resources,

reduces pollution, and saves tax money. Recycling can provide new jobs, create new industries, and contribute to the increase in the gross national product (GNP, total value of goods and services produced by its country's citizens). With the growing concern regarding climate change, recycling also has the potential to reduce greenhouse gas emissions. Instead of referring to what people discard every day as garbage, advocates of recycling emphasize the need to refer to waste products as post consumer materials (PCMs) and consider them renewable resources for various manufacturing processes.

The challenge of collecting adequate volumes of recyclable materials in a form ready for manufacturing into new products is a formidable task. Unlike raw materials that are extracted from the earth or manufactured in a lab, PCMs are mixed materials, and are sometimes contaminated with toxic and non-toxic residue. PCMs must be cleaned and source-separated at the individual household and business level. Once the materials are made available at the point of generation, they must be collected and transported to a



Plastic container recycling bins. (Alan L. Detrick / Photo Researchers, Inc.)

collection site, usually referred to as a Materials Recycling Facility (MRF). At this location, materials are processed to make transportation easier and increase their value. Glass is separated by color, plastics are pelletized, and paper is baled. Other materials may be cleaned and reduced in volume, depending on the equipment available. The exceptions to this rule are single materials collected and transported directly to the point of use, such as newspaper collected at a drop off site and transported to a local insulation manufacturer. The existence of an MRF enhances the recycling process because it allows large volumes of materials to be amassed at a single location, making marketing of PCMs more profitable. If manufacturers know that there are adequate volumes of high-quality raw material consistently available, they are more likely to agree to long-term purchasing contracts.

Manufacturers are being encouraged to retrofit, or re-tool, their manufacturing processes in order to use PCMs instead of raw materials. Many glass manufacturers now use cullet (cut glass) instead of silica and sand to make new glass containers, and some paper manufacturers have installed de-inking equipment in order to manufacture paper from used newsprint instead of wood pulp. Once the initial expense of equipment change has been absorbed, such changes can reduce costs. But these are long-term investments on the part of manufacturers, and they must be ensured that recycling is a long-term commitment on the part of consumers and communities.

Recycling begins with people, and the biggest challenge for recycling lies in educating and motivating the public upon whom successful source separation depends. Except in rare circumstances recycling is a voluntary act, so the attitudes, knowledge, and feelings of the participants are the key to sustained recycling behavior. It has been found that people will change their behavior to protect the health of their families, the value of their property, and their own self-image, and recycling has often been justified in these terms. Once the rationale to begin recycling has been shared with community residents, a program for making recycling convenient and inexpensive must be institutionalized.

Curbside recycling is currently the most desirable recycling option, primarily because of its convenience. BioCycle Magazine reported in April of 1999 only nine states had fewer than ten curbside recycling programs. These are usually commingled, or mixed, systems. Residents clean and prepare glass, cans, paper, and plastic, before putting them together in a container and placing them at the curb. Most waste haulers pick up the recyclables on the same day as the rest of the waste, separating them into bins on the truck right at the curb. In

more rural areas, where homes are too far apart, recycling often takes place at a drop-off center. This system usually consists of a trailer with separate bins, which is hauled directly to an MRF when it is full and replaced by an empty trailer. Research has shown that the best system is one where curbside recycling is offered, but a drop-off site is also available as a backup in case residents miss their recycling day. In some communities there is a weekly or monthly drop-off. Trucks from different industries use a convenient parking lot and residents are encouraged to bring their recyclables to company representatives. Some communities also have buy-back centers where residents can sell PCMs to a broker who in turn sells the material to manufacturers.

In addition to residential recycling there is office or business recycling. Office paper recycling programs are usually set up so office workers separate the paper at their desks. The paper is taken regularly to a location where it is either baled or picked up loose and transported to a manufacturing plant. Offices that generate a large amount of white office paper can collect and market the paper directly to paper manufacturers. Smaller offices can cooperate with other businesses, contracting with paper manufacturers to pick up paper at a central location. Another example of business recycling is the recycling of corrugated cardboard by groceries and clothing stores. These businesses have been breaking down boxes and baling them on site for pickup by collection trucks. Dry cleaning establishments are currently taking back hangers and plastic bags from their customers for recycling. Businesses of many kinds have begun to realize that positive publicity can be achieved through their recycling efforts, and they have been increasingly inclined to participate.

One of the biggest challenges to recycling in the future is market development. For communities near cities that have major manufacturers taking PCMs, marketing is not a problem. For smaller, more rural communities, however, different strategies must be developed. In some cases a well-run MRF that brokers recycled materials is adequate. In other cases, it may be necessary to search for local markets to utilize PCMs, such as the use of newspaper as animal bedding. Newspaper collected and processed within the community can be sold to local farmers at a lower cost, less than straw or sawdust. Another approach is encouraging a niche industry to locate nearby, such as a small insulation contractor who is promised all the post-consumer newsprint from the community. Ultimately, recycling will not be successful unless consumers buy products made from PCMs. Products made from these materials are becoming easier to find, and they are more clearly marked than in the past, though some green or earth-friendly product labeling has

been controversial. Recycling advocates have argued that such labeling should not only say that the product is made from recycled material, it should also note what percentage of the material is post consumer waste, as opposed to manufacturing waste.

Many states are encouraging recycling through legislation. Certain states have banned all compostable materials from landfills in order to force composting. In some states, daily newspapers are required to use a certain percentage of recycled paper in order to publish. These and other legislative steps have enhanced the recycling movement nationwide.

According to the Environmental Protection Agency (EPA), the most current information on MSW indicates that the consumers in the U.S. recycled over 33 percent of the total MSW generated in 2007. This reduction in MSW reduces national greenhouse gas emissions by approximately 200 million tons. The amount of MSW increased 1.9 million tons from 2006 to 2007, and the amount of MSW generated per person decreased by 0.6 percent during the same period. The highest recycle rate was for yard trimmings, with 64 percent being recycled for composting and mulching. Paper and paperboard were recycled at a rate of 55 percent, while 35 percent of metals (including aluminum cans and steel) were recycled. The EPA sponsors a free voluntary program to all U.S. organizations, called WasteWise, to encourage and track the results of corporate recycling programs. In 2005, the EPA initiated the Municipal Solid Waste Action Plan with a goal to recycle 35 percent of MSW generated in the U.S. annually by 2008.

The growing tonnage of computers, computer monitors, and television sets that overpower municipal landfills is an increasing problem that demands resolution. An estimated 70 percent of heavy metals found in third millennium landfills comes from digital hardware. Eight pounds (3.6 kg) of lead (Pb) are contained in a single cathode ray tube (CRT) monitor, contributing to the threats of lead contamination. In May of 2002 the EPA proposed a recycling program to control this high-tech waste, including a provision to classify CRT computer monitors as reusable waste products. The necessity for these measures is evident in consideration of the 500 million computers in use worldwide, which contain an estimated 1.58 billion pounds (716 million kg) of lead and 632,000 pounds (287,000 kg) of mercury (Hg). According to EPA estimates the number of discarded computers between 2002 and 2007 might reach 250 million units in the United States alone.

Equally critical is the problem of battery recycling. Growing concerns over poor battery recycling practices by consumers are well-founded because they are classified as toxic waste, with mercury and lead

contamination the most serious problems caused by improper battery disposal. Yet rarely are batteries disposed of accordingly. Most nonrechargeable batteries are tossed in the trash where they end up in the landfills. The use of rechargeable batteries offers a very effective solution to alleviate much of this problem, because each rechargeable battery has a life cycle equal to hundreds of nonrechargeable batteries; some rechargeables have a life cycle of 1,000 recharges. Because of poor disposal practices, every rechargeable battery protects the landfill from potential contamination by hundreds of batteries.

The National Recycling Coalition is a nonprofit organization that provides leadership and coordination at the national level, sponsoring events, scholarships, and educational programs to encourage effective recycling practices.

*See also* Container deposit legislation; Municipal solid waste; Solid waste recycling and recovery; Solid waste volume reduction; Transfer station; Waste management; Waste reduction.

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Cynthia Fridgen

Recycling and recovery, solid waste see **Solid waste recycling and recovery.**

## Red tide

Red tide is the common name for the phenomenon created when toxic algal blooms make seawater appear red or brown, killing marine life and making water unsuitable for human or animal use. These algal blooms are also referred to as harmful algal blooms (HABs). Red tides are caused by several species of dinoflagellates and diatoms, microscopic unicellular phytoplankton that live in cold and warm seas. A red pigment, termed peridinin, which collects light during photosynthesis, renders the water red when large numbers of the plankton populate an area.

Red tides are harmful due to toxins released by large numbers of these plankton that can paralyze fish and bioaccumulate (accumulate in living tissues) in the tissues of shellfish and filter-feeding mollusks. These

toxins can cause neurological or gastrointestinal illness in exposed organisms. Other toxins can cause amnesia, paralysis, and possibly, death. Swimming in red tides or breathing the air near red tides can cause eye and nose irritation as well as symptoms of respiratory illness. Predators of the shellfish, including humans, ingest the toxins, which can have adverse effects specific to the particular toxin. As of 2009, eighty-five toxic algal species have been identified. Thirty-seven of these species were found in the Gulf of Mexico.

Species of dinoflagellates that cause red tides generally belong to the red tide genera, *Karenia* (formerly known as *Gymnodinium* and *Ptychodiscus*) and *Alexandrium* (formerly known as *Gonyaulax*). Though several are known to cause red tides, a single red tide is nearly always tied to one specific species, possibly caused by three factors. First, the conditions responsible for the bloom may cause the red tide species to reproduce more rapidly than other phytoplankton, thus outcompeting them for available nutrients. Second, the toxins excreted may prevent the growth of other species. Additionally, behavioral differences may give the blooming species a competitive advantage.



Red tide bloom, Hood Canal, Puget Sound, Washington State. (© Don Paulson/SuperStock/Corbis)

Red tides have occurred periodically through recorded history in seas around the world. The Red Sea may have been named for algal blooms that made the water appear red in biblical times. However, in recent decades red tides have been more frequent in areas that have little or no algal bloom history.

The coast of Chile has reported the occurrence of red tides since the beginning of the nineteenth century, while the Peruvian coast has recorded red tides, which they named *aguajes*, since 1828. Red tides appear more frequently in the summer months when the water becomes warmer, and they also seem to be associated with the El Niño current. Coastal up-welling events are also known to be essential for the occurrence of *Alexandrium tamarensis* blooms in the Gulf of Maine.

Red tides have been plaguing the east and west coasts of Florida on a nearly annual basis since at least 1947. Blooms on the west Florida shelf are initiated by the Loop Current, an annual intrusion of oceanic waters into the Gulf of Mexico. Here, the toxic dinoflagellate *Karenia breve* produces rather predictable blooms. The red tide is transported inshore by winds, tides, and other currents where it may be sustained if adequate nutrients are available.

In 1987 and 1988, red tide spread northward from the Gulf Coast of Florida as far as North Carolina, where it caused a loss of \$25 million to the shellfish industry due to brevetoxin contamination. This was the first time that *K. breve* blooms were reported so far north. Also during the 1987–1988 blooms, seven hundred bottlenose dolphins washed ashore along the United States Atlantic coast. Red tides commonly occur along the northwest coast of British Columbia, Canada, and as far north as Alaska and along the Russian coast of the Bering Sea.

Researchers blame the recent spread of red tides and the occurrence of other algal blooms, which ordinarily occur in harmless low concentrations, on the increasing amount of coastal water pollution. Sewage and agricultural runoff increase concentrations of nutrients, such as nitrogen (N) and phosphorus (P), in coastal waters. This nutrient-rich condition, termed eutrophication, results in favorable conditions leading to algal blooms that can deplete the amount of dissolved oxygen in the water, thus affecting the survival of other aquatic organisms. This increase in nutrients may create optimal conditions for the growth of red tide organisms.

In April 1992, two fishermen suffered paralytic shellfish poisoning (PSP) within several minutes of eating a few butter clams from Kingcome Inlet, British Columbia. In August 1992, saxitoxin, another dinoflagellate

toxin associated with PSP, was found in the digestive systems of dungeness crabs (*Cancer magister*) caught in Alaskan waters. On Prince Edward Island, Canada, in 1987, three people died and more than 100 others became ill from domoic acid-contaminated mussels. Some of those who became ill from this toxin developed amnesic shellfish poisoning, which causes short-term memory loss.

It is difficult to accurately predict the time and location of red tide development, and how severely they will contaminate shellfish. The algal blooms shift position based on tides and wind. Monitoring levels of toxins in shellfish meat and population sizes of the dinoflagellates is important in preventing widespread poisoning of humans. Any detectable level of brevetoxin in 3.5 ounces (100 grams) of shellfish meat is potentially harmful to humans. The U.S. Food and Drug Administration (FDA) does not inspect shellfish growing areas regularly, but relies on state agencies to monitor toxin levels. In selected states, surveillance programs have been established that randomly examine shellfish that have been harvested in natural shellfish beds. Florida is the only state that has a constant monitoring and research program for both shellfish poisoning and dinoflagellate blooms that cause poisoning. Monitoring for shellfish poisoning is costly, but the expense may increase if red tides become more frequent due to deteriorating coastal water quality. In April and May of 1996, 158 manatees in southwest Florida died from an unusual type of red tide. In China from 1997 until 1999, there were forty-five red tides, which destroyed 75 percent of the entire stock of Hong Kong's fish farms. This was an estimated \$240 million loss to Hong Kong's economic system. The red tides that occur in Canadian waters have spread further south than in past years, observed as far south as Maine and Massachusetts in 2005. The shellfish operations along the U.S. coast were so severely affected by these outbreaks that the federal government provided \$5 million for disaster relief for the harvesters. In 2009, red tide development closed the 95 percent of the coast of Maine to clamming operations. Due to the human and ecosystem health as well as economic costs, research to predict the formation and identify the causes of red tides is necessary for developing preventative measures.

See also Agricultural pollution; Bioaccumulation; Competition; Marine pollution; Sewage treatment.

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## Redwoods

There are three genera of redwood trees, each with a single species. The native range of the coast redwood (*Sequoia sempervirens*) is a narrow 450-mile (725-km) strip along the Pacific Ocean from central California to southern Oregon. The giant sequoia (*Sequoiadendron giganteum*) is restricted to about seventy-five groves scattered over a 260-mile (418-km) belt, nowhere more than 15 miles (24 km) wide, extending along the west slope of the Sierra Nevadas in central California. The third species, dawn redwood (*Metasequoia glyptostroboides*), was described first from a fossil and was presumed to be extinct. However, in 1946 live trees were discovered in a remote region of China. Since then, seeds have been brought to North America, and this species is now found in many communities as an ornamental planting. Unlike the coast redwoods and giant sequoias, dawn redwoods are deciduous.

Redwoods are named for the color of their heartwood and bark. The thick bark protects the trees from fires which occur naturally throughout their ranges. Their wood has a high tannin content which makes it resistant to fungi and insects, making redwood a particularly desirable building material. This demand for lumber was responsible for most of the destruction of the original redwood forests in North America. In 1918, the Save-the-Redwoods League was formed to



**Giant Sequoia at Calaveras Big Trees State Park, California.**  
(© iStockphoto.com/Joseph Tringali)

save redwoods from destruction and to establish redwood parks. This organization has purchased and protected over 189,000 acres (76,500 ha) of redwood forests and was instrumental in the establishment of the Redwoods National Park. The term *Sequoia* used in the generic names of these species, and in the common name giant sequoia, honors the Cherokee Chief Sequoyah who developed an alphabet for the Cherokee language.

### Coast redwoods

Coast redwoods are the tallest and one of the longest living tree species. Average mature trees are typically 200–240 feet (61–73 m) tall, although some trees exceed 360 feet (109 m). The world's tallest known tree is a coast redwood that stands 368 feet (112 m) tall on the banks of Redwood Creek in Redwood National Park. In some areas coast redwoods can live for more than 2,000 years. They are evergreen, with delicate

foliage consisting of narrow needles 0.5–0.75 inches (1.3–2 cm) long, growing flat along their stems and forming a feathery spray.

Coast redwoods are prolific. Their cones are about an inch long and contain from 30 to 100 seeds. They produce seeds almost every year with maximum seed production occurring between the ages of 20–250 years old. They also have an advantage over other species in that new trees can sprout from the roots of damaged or fallen trees.

Unlike the other two species of redwoods, coastal redwoods cannot tolerate freezing temperatures. They thrive in areas below 2,000 feet (607 m), with summer fog, abundant winter rainfall, and moderate temperatures. Although coast redwoods are often found in mixed evergreen forest communities, they can form impressive pure stands, especially on flat, riparian areas with rich soils.

### Giant sequoia

The giant sequoia, although not as tall as the coast redwood, is a larger and more long-lived species. Giant sequoias can attain a diameter of 35 feet (10.6 m); whereas the largest Coast redwood has a 22-foot (6.7-m) diameter. The giant sequoia is the largest tree species by volume. The most massive specimen, the General Sherman tree, located in Sequoia National Park, has a bole volume of 52,500 ft<sup>3</sup> (1,575 m<sup>3</sup>).

The oldest giant sequoia is 3,600 years old, compared with 2,200 years old for the oldest coast redwood. This makes the giant sequoia the second oldest living thing on earth (the bristlecone pine is the most long-lived).

Giant sequoias can be found between elevations of 5,000 and 8,000 feet (1,517 and 2,427 m), growing best on mesic sites (such as bottomlands) with deep, well-drained sandy loam soil. Unlike coast redwoods, mature trees of this species cannot sprout from the roots, stumps, or trunks of injured or fallen trees (young trees can produce stump sprouts subsequent to injury). It is paradoxical that one of the largest living organisms is produced by one of the smallest seeds. Three thousand seeds would weigh only an ounce. Typically, cones bearing fertile seeds are not produced until the tree is 150–200 years old. The cones are egg-shaped and 2.0–3.5 inches (5–9 cm) in length. Once cones develop they may continue to grow without releasing the seeds for another twenty years. A typical mature tree may produce 1,500 cones each year, and because they are not dropped annually, a tree may have 30,000 cones at one time, each with about 200 seeds. Two species of animals, a wood-boring beetle (*Phymatodes nitidus*) and the

chickaree or Douglas squirrel (*Tamiasciurus douglasii*), play important roles in dislodging the seed from the cones. Fire is also important in seed release as the heat dries the cones. Fire has the added advantage of also preparing a seed bed favorable for germination. The light seeds are well-adapted for wind dispersal, often travelling up to a quarter mile away from the source tree.

Like the other redwoods, the wood of the giant sequoia is extremely durable. Because of this durability and the ornate designs in the wood, this species was harvested extensively. One tree can produce up to 600,000 board feet of lumber, enough to fill 280 railroad freight cars or build 150 five-room houses. Unfortunately, because of the enormous size of the trees and the brittle nature of the wood, when a tree was felled, as much as half would be wasted because of splintering and splitting. Now that virtually all giant trees have been protected from logging, the greatest threats from humans are soil compaction around their bases and the elimination of fire which allows fuels to accumulate, thereby increasing the chances of deadly crown fires. Toppling over is the most common natural cause of death for mature giant sequoia trees. Weakening of the shallow roots and lower trunk by fire and decay, coupled with the tremendous weight of the trees, results in the tree falling over. Sometimes wind, heavy snows, undercutting by streams, or water-soaked soils contribute to the toppling. In its natural range this species is now valued primarily for its aesthetic appeal.

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## Refuse-derived fuels

The concept of refuse-derived fuels (RDFs) is one that has the potential for addressing two of the most troubling environmental problems in the world at the

same time: solid waste disposal and a source of energy. The term refuse-derived fuel refers to any process or method by which waste materials are converted into a form in which they can be burned as a source of energy.

In regions of the world, such as the United States and other developed countries of the world, characterized by a throw-away ethic and swamped with essentially non-degradable materials, accumulation and disposal of solid waste continue to be a growing problem. In 1960, each American generated 2.7 pounds (1.2 kg) of solid waste. This grew to 4.3 pounds (1.9 kg) per person by 1990. Americans continue to generate more solid waste each day but the rate of growth has decreased. In 2002, every man, woman, and child in the United States produced an average of 4.5 pounds (2.0 kg) of waste each day. In 2008, that average (4.5 pounds per day per person) was also reported by the U.S. Environmental Protection Agency.

Materials are labeled wastes because they tend not to have a future use once they are discarded. Yet, by their very nature, most solid wastes are potentially valuable as fuels. A typical sample of municipal waste in the United States, for instance, may consist of about 30–40 percent paper, 5 percent textiles, 5 percent wood, and 20–30 percent organic material. All of these materials are combustible. Metals, glass, plastics, sand, and other non-combustible materials constitute the remaining portion of a waste sample. Each of these non-combustible materials is potentially recyclable.

Even though 70 percent or more of municipal wastes appear to have potential value as sources of fuel, that potential has not, as yet, been extensively developed. In most countries, solid wastes are mainly disposed of in landfills. A small fraction is incinerated or used for other purposes. However, conversion of solid wastes to a useable fuel is still an experimental process.

The primary roadblock to the commercial development of RDFs is the economic cost of preparing such fuels. Given that most RDFs produced have only about half the energy value of a typical sample of industrial coal and given the relatively low price of coal, there is little economic incentive for municipalities to build energy systems based on refuse-derived fuels.

Many scientists, engineers, and environmentalists see a positive future for refuse-derived fuels. They argue that as fossil fuel reserves are consumed, the cost of traditional fuels such as coal, gas, and oil will inevitably increase. In addition, RDFs tend to burn more cleanly and have a significant environmental advantage over coal and other fossil fuels. Research must continue,

therefore, to develop more efficient and less expensive RDFs and associated processes to obtain and use them.

One of the fundamental problems in the development of refuse-derived fuels is obtaining the raw materials in a physical condition that will allow the extraction of combustible organic matter. The solid waste material entering most landfills consists of a complex mixture of substances. Some of these are combustible while others are not. Some have other commercial values while others have none. Plastics provide a convenient example that illustrates both of these aspects.

The first step in preparing wastes for the production of refuse-derived fuels is known as size reduction. In this step, waste materials are shredded, chopped, sliced, pulverized, or otherwise treated in order to break them up into smaller pieces. Most methods for separating combustible from non-combustible materials require that waste particles be small. Both magnetic separation and air classification, two methods used in preparing RDFs, will work only with small particles.

Size reduction also serves a number of other functions. For example, it breaks open plastic and glass bottles, releasing any contents that may still be in them. It also reduces clumping and tangling that often occurs with larger particles. Finally, when used as a fuel, particles of small size burn more efficiently than larger particles.

One indication of the importance of size reduction in the production of RDFs is the number of different machines that have been developed to accomplish this step. Bag breakers are used to tear open plastic bags and cardboard boxes. Machines called chippers reduce cut wood into small pieces. Granulators have sharp knives on rotating blades. These devices cut materials against a stationary blade, somewhat similar to a pair of scissors. Flail mills are rotary machines that swing hammers around in a circle to smash materials. Ball and rod mills consist of cylindrical drums that hold balls or rods. When the drums spin, balls or rods smash against the inside of the drum, crushing solid wastes also present in them.

Once waste materials have been reduced in size, the portion that is combustible must be removed from that which is not. Several different procedures can be used. A common process, for example, is to pass the solid wastes under a magnet. The magnet will extract all metals containing iron (ferrous) from the mixture.

Another technique is rotary screening, in which wastes are spun in a drum and sorted using screens that have openings of either 50 millimeters or 200 millimeters in diameter. Sand, broken glass, dust, and other non-organic materials that do not burn tend to be removed by this procedure.

A third process is known as air classification. When solid wastes are exposed to a blast of air, various components are separated from each other on the basis of their size, shape, density, and moisture content. A number of different kinds of air classifiers exist, and all are reasonably efficient in separating organic from inorganic materials.

One type of air classifier is the horizontal model, in which size-reduced wastes are dropped into a horizontal stream of air. Various types of material are separated on the basis of the horizontal distance they travel from their point of origin. Vertical classifiers separate on a similar principle except that particles are injected into a rising stream of air. The rotary classifier consists of an inclined cylindrical column into which wastes are injected and then separated by a rotating, rising column of air.

The organic matter that remains after size reduction and separation can be used as a fuel in four distinct ways. First of all, it can be converted to other combustible forms through pyrolysis. The process of pyrolysis occurs when organic materials are heated to high temperatures in an oxygen-free or oxygen-deficient atmosphere. The product of this reaction is a mixture of gaseous, liquid, and solid compounds. The solid compounds can be used as fuels and are collectively called char.

Two particularly valuable fuels, methane (a gas) and ethanol (ethyl alcohol, a liquid), can also be produced from organic wastes. Scientists have long known that methane is produced when anaerobic bacteria act on organic compounds found in solid wastes. They have successfully extracted methane from landfills and used it as a fuel. However, they have achieved only limited success in generating methane from other refuse outside of landfills.

Some success has been obtained in the production of ethanol from wastes. This process separates materials containing cellulose from other wastes and then hydrolyzes them in an acidic medium. As of 2010, ethanol has not been generated in commercially useful amounts from solid waste streams. However, ethanol has been obtained from corn, grass, animal waste, wood pulp, and even garbage. In some states, ethanol is added to gasoline, producing a fuel that burns more cleanly and efficiently than pure gasoline.

Some heating systems make use of the dried material produced as a result of size reduction and separation directly as a fuel. The Imperial Metal Industries (IMI) company in Birmingham, England, has operated such a plant since 1976. Solid wastes provided by the West Midlands County Council is delivered to the IMI plant where it is reduced in size, separated, dried,

and then fed directly into furnaces, where it is burned. A similar plant operated by Commonwealth Edison and run on wastes from the city of Chicago, Illinois, was opened in 1978.

A convenient and popular method of handling RDFs is to process them as pellets or briquettes. Pellets are formed from wastes that have been size reduced, separated, and partially dried. The material is extruded through a dye or compacted into small nuggets in devices known as densifying machines. An important factor in the success of this method is keeping the wastes moist enough to stick together, but dry enough for efficient combustion.

Finally, research has been conducted on the use of RDFs in combustion systems, such as fluidized bed combustion. In this process, fuel is mixed with some material such as sand and suspended in a furnace by a stream of air. The second material makes thorough mixing of the fuel possible. The fuel is then burned in the air stream.

A considerable number of research and engineering studies have been conducted on refuse-derived fuels. As of 2010, many municipalities operate plants that generate electricity. With the help of modest tax benefits in some but not all areas, most of these facilities are commercially successful. They have reduced the volume of waste entering local landfills. In turn, the life of existing landfills has been extended and the need to open new landfills has been reduced. They generate a modest but significant proportion of electricity for customers in their municipalities.

For instance, the Arnold O. Chantland Resource Recovery Plant (RRP), in Ames, Iowa, was the first municipally operated waste-to-energy facility in the country when it opened in 1975. All of the garbage generated by citizens of the city and surrounding county that is burnable is taken to the plant and sent to the Ames power plant where it is used as supplemental fuel for its coal boilers to generate electricity. The non-burnable garbage materials are shredded into smaller pieces so the volume taken up in landfills is much less than if left in its original state. The city of Ames states that 4,600 homes are provided with electricity each year from these RDFs.

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## Regan, Tom [Thomas Howard]

1938–

American philosopher and animal rights activist

Regan is a well-known figure in the animal liberation movement. His *The Case for Animal Rights* (1983) is a systematic and scholarly defense of the controversial claim that animals have rights that humans are morally obligated to recognize and respect. Arguing against the views of earlier philosophers like René Descartes, who claimed that animals are machine-like and incapable of having mental states such as consciousness or feelings of pleasure or pain, Regan attempts to show that such a view is misguided, muddled, or incorrect.

Regan was born in Pittsburgh, Pennsylvania. He attended Thiel College and the University of Virginia, where he earned his doctorate in philosophy. Before publishing *The Case for Animal Rights*, Regan also edited *Animal Rights and Human Obligations* (1976) and *Matters of Life and Death* (1980).

Within the animal rights movement, Regan disagrees with certain philosophical arguments advanced in defense of animal liberation by, most notably, the nineteenth-century English philosopher Jeremy Bentham and the twentieth-century Australian philosopher Peter Singer. Both Bentham and Singer are utilitarians who believe that morality requires the pleasures of all sentient creatures—human and nonhuman alike—be maximized and their pain minimized. From a utilitarian perspective, most discussions about rights are unnecessary. Regan disagrees, arguing that sentience—the ability to feel pleasure and pain—is an inadequate basis on which to build a case for animal rights and against such

practices as meat-eating, factory farming, fur trapping, and the use of animals in laboratory experiments.

As of 2010, Regan is a professor emeritus at North Carolina State University where he set up the National Bioethics Institute in 1999. He was also the recipient of the 2000 Holladay Medal. Regan views the case for animal rights as an integral part of a broader and more inclusive environmental ethic. He predicts and participates in the coming of a new kind of revolution—a revolution of gentility and concern—made not by a self-centered *me-generation* but by an emerging *thee generation* of caring and concerned people from every political party, religion, and socioeconomic class. Among the indications of this forthcoming revolution is the growing popularity of and financial support for the various groups and organizations that comprise the more broadly based environmental and animal rights movements.

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Terence Ball

## Regulatory review

The regulatory review process allows the executive branch of the United States federal government to ensure that the regulations drafted by different agencies contribute to the current administration's overall goals. Regulatory review is also carried on at the state level, although the organizational structure of these councils or commissions varies somewhat from state to state. In the past the regulatory review process has emphasized a cost-benefit analysis that has tended to delay or halt the publication of environmental regulations. This pattern shifted after 1993 to a greater

emphasis on citizen participation in the process of rulemaking.

Executive agencies carry out congressionally enacted laws by drafting and enforcing regulations. The Environmental Protection Agency (EPA) has responsibility for writing the regulations that implement environmental laws, like the Clean Air Act and the Comprehensive Environmental Response, Compensation and Liability Act (Superfund). For example, when the Resource Conservation and Recovery Act (RCRA) called for states to protect groundwater from landfill leachate, the EPA drafted a regulation that included specifications for the kind of equipment that landfills should install to ensure that leachate would not leak.

An emerging concern in regulatory review is the perceived high costs of regulations related to the environment. The price tag on environmental regulation has tripled over the last quarter century, from about \$80 billion in 1977 to more than \$280 billion in 2008. In addition, a recent study of regulatory review found that federal agencies involved with environmental regulations received the largest increases in the federal budget from 1995 through 2002.

Once drafted, before being published for public comment, all regulations are sent for review to the Office of Information and Regulatory Affairs (OIRA) within the Office of Management and Budget (OMB). OIRA either approves, rejects, or asks for specific changes in the regulation. If a regulation is approved it can be published on the Internet or in hard copy for public comment.

This centralization of the regulatory process was accomplished by Ronald Reagan's executive orders 12291 and 12498. Executive Order 12291, issued in 1981, established a cost-benefit review process. All federal agencies must weigh costs and benefits for each "major" regulation and submit these considerations to OIRA. (A "major" regulation costs more than \$100 million or is otherwise deemed major by OIRA.) Agencies cannot publish proposed or final rules until OIRA has ensured that the benefits of a regulation outweigh the costs. Executive Order 12498, issued in 1984, requires agency heads to predict their regulatory actions for the following year. All regulations are then compiled into the *Regulatory Program*. Compiling this program allows OIRA to be involved in the early planning stages of all regulations.

In September 1993, Executive Orders 12291 and 12498 were replaced by President Clinton with Executive Order 12866 on regulatory planning and review.

While Executive Order 12866 left the basic structure of OIRA in place, including the figure of \$100 million as the standard of a major regulation, the order was intended to harmonize federal regulations regarding the environment with related state, local, and tribal regulations and procedures. The order also allowed for negotiated rulemaking and other consensual approaches to developing regulations in appropriate situations. A third important feature of Executive Order 12866 is its emphasis on transparency; that is, federal agencies are not only required to "provide the public with meaningful participation in the regulatory process," but also to allow time for comments on proposed regulations. To facilitate citizens' participation and response, all regulatory information is to be provided to the public "in plain, understandable language."

Executive Order 12866 became the basis for the establishment of two online databases maintained by OMB for purposes of regulatory review and communication with the public. OIRA has a Regulation Tracking System, or REGS, to monitor the regulatory review process. When a proposed regulation is submitted to OIRA, the REGS system verifies the information contained in the document and assigns each submission a unique OMB tracking number. It then prints out a worksheet for review. After the worksheet has been reviewed, its contents are entered into the REGS system and maintained in its database. REGS also provides a listing, updated daily, of all pending and recently approved regulations on the OMB home page. The OIRA Docket Library maintains certain records related to proposed Federal regulatory actions reviewed by OIRA under Executive Order 12866. Telephone logs and materials from public meetings attended by the OIRA Administrator are also available in the Docket Library.

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**ORGANIZATIONS**

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Alair MacLean  
Rebecca J. Frey

## Rehabilitation

Rehabilitation aims to reverse the deterioration of a national resource, even if it cannot be restored to its original state.

In England, gardener and architect Lancelot “Capability” Brown devoted his life to restoring vast stretches of the English countryside that had been dramatically modified by human activities.

Reforestation was one of the common forms of rehabilitation used by Brown, and this method continues to be used throughout the world. The demand for wood both as a building material and a source of fuel has resulted in the devastation of forests on every continent. Sometimes the objective of reforestation is to ensure a new supply of lumber for human needs, and in other cases the motivation is to protect the environment by reducing land erosion. Aesthetic concerns have also been the basis for reforestation programs. Recently, the role of trees in managing atmospheric carbon dioxide and global climate has created yet another motivation for the planting of trees.

Another activity in which rehabilitation has become important is surface mining. The process by which coal and other minerals are mined using this method results in massive disruption of the environment. For decades, the policy of mining companies was to abandon damaged land after all minerals had been removed. Increasing environmental awareness in the 1960s and 1970s led to a change in that policy, however. In 1977, the United States Congress passed the Surface Mining Control and Reclamation Act (SMCRA), requiring companies to rehabilitate land damaged by these activities. The act worked well at first and mining companies began to take a more serious view of their responsibilities for restoring the land they had damaged, but by the mid-1980s that trend had been reversed to some extent.

Rehabilitation is also widely used in the area of human resources. For example, the spread of urban blight in American cities has led to an interest in the

rehabilitation of public and private buildings. After World War II, many people moved out of central cities into the suburbs. Untold numbers of houses were abandoned and fell into disrepair. In the last decade, municipal, state, and federal governments have shown an interest in rehabilitating such dwellings and the areas where they are located. Many cities now have urban homesteading laws under which buildings in depressed areas are sold at low prices, and often with tax breaks, to buyers who agree to rehabilitate and live in them.

*See also* Environmental degradation; Environmental engineering; Forest management; Greenhouse effect; Restoration ecology; Strip mining; Wildlife rehabilitation.

David E. Newton

## Reilly, William K.

1940–

American conservationist and Environmental Protection Agency administrator

Called the “first professional environmentalist” to head the Environmental Protection Agency since its founding in 1970, Reilly came to the agency in 1989 with a background in law and urban planning. He had been appointed to President Richard Nixon’s Council on Environmental Quality in 1970, and was named executive director of the Task Force on Land Use and Urban Growth two years later. In 1973, Reilly became president of the Conservation Foundation, a non-profit environmental research group based in Washington, DC, which he is credited with transforming into a considerable force for environmental protection around the world. In 1985, the Conservation Foundation merged with the World Wildlife Fund; Reilly was named as president, and under his direction, membership grew to 600,000 with an annual budget of \$35 million.

Reilly began to cautiously criticize White House environmental policy during Ronald Reagan’s administration, objecting to the appointments of James G. Watt, and his successor William P. Clark, as secretary of the interior. He published articles and spoke publicly about pollution, species diversification, rainforest destruction, and wetlands loss.



**Former EPA Administrator and BP Oil Commission co-chair William Reilly listens to President Barack Obama speak in the Rose Garden of the White House in Washington, June 1, 2010.** (AP Photo/Pablo Martinez Monsivais)

After his confirmation as EPA administrator, Reilly laid out an agenda that was clearly different from his predecessor, Lee Thomas. Reilly promised “vigorous and aggressive enforcement of the environmental laws,” a tough new Clean Air Act, and an international agreement to reduce chlorofluorocarbons (CFCs) in the atmosphere. Calling toxic waste cleanup a top priority, Reilly also promised action to decrease urban smog, remove dangerous chemicals from the market more quickly, encourage strict fuel efficiency standards, increase recycling efforts, protect wetlands, and encourage international cooperation on global warming and ozone layer depletion.

In March 1989, Reilly overruled a recommendation of a regional EPA director and suspended plans to build the Two Forks Dam on the South Platte River in Colorado. The decision relieved environmentalists who had feared construction of the dam would foul a prime trout stream, flood a scenic canyon, and disrupt wildlife migration patterns. That same year, Reilly criticized the federal government’s response to the

grounding of the *Exxon Valdez* and the oil spill in Prince William Sound, Alaska.

At Reilly’s urging, President George Bush proposed major revisions of the Clean Air Act of 1970. The new act required public utilities to reduce emissions of sulfur dioxide by nearly half. It also contained measures that reduced emissions of toxic chemicals by industry and lowered the levels of urban smog.

Under Reilly’s direction, the EPA also enacted a gradual ban on the production and importation of most products made of asbestos. He left the agency in 1992, and in 1993 became President and Chief Executive Officer of Aqua International Partners, L. P. In 2007, he became a director of a company called AgraQuest and director of Energy Future Holdings Corporation.

In 2010, Reilly was appointed by U.S. President Obama as a co-chair of the investigation launched by the federal government into the Gulf of Mexico oil spill.

Linda Rehkopf

## Relict species

A species surviving from an earlier time, usually in isolated populations representing the localized remains of a broader population distribution. These populations become isolated through disruptive geophysical events such as glaciation, or immigration to outlying islands, which is not followed by reunification of the fragmented populations. The origins and relationships of several relict species are well documented, and these include local Central American avian populations which are remnants of the North American avifauna left after the last glacial retreat. The origins of other relict species are unknown because all related species are extinct. This group includes lungfishes, rhynchocephalian reptiles (genus *Sphenodon*), and the duck-billed platypus (*Ornithorhynchus anatinus*).

*See also* California condor; Endangered species; Extinction; Rare species.

## Religion and the environment

All of the world’s major faiths have, as integral parts of their laws and traditions, teachings requiring protection of the environment, respect for nature and

wildlife, and kindness to animals. While such tenets are well-known in such Eastern religions as Buddhism and Hinduism, there is also a largely forgotten but strong tradition of such teachings in Christianity, Judaism, and Islam. All of these faiths recognize a doctrine of their deity's love for creation and for all of the living creatures of the world. The obligation of humans to respect and protect the natural environment and other life forms appears throughout the sacred writings of the prophets and leaders of the world's great religions.

These tenets of "environmental theology" contained in the world's religions are infrequently observed or practiced, but many theologians feel that they are more relevant today than ever. At a time when the earth faces an ecological crisis, these leaders say, traditional religion shows us a way to preserve our planet and the interdependent life forms living on it. Efforts are under way by representatives of virtually all the major faiths to make people more aware of the strong conservation and humane teachings that are an integral part of the religions of most cultures around the globe. This movement holds tremendous potential to stimulate a spiritually based ecological ethic throughout the world to improve and protect the natural environment and the welfare of humans who rely upon it.

### The Bible's ecological message

The early founders and followers of monotheism were filled with a sense of wonder, delight, and awe of the greatness of God's creation. Indeed, nature and wildlife were sources of inspiration for many of the prophets of the Bible. The Bible contains a strong message of conservation, respect for nature, and kindness to animals. It promotes a reverence for life, for "God's Creation," over which humans were given stewardship responsibilities to care for and protect. The Bible clearly teaches that in despoiling nature, the Lord's handiwork is being destroyed and the sacred trust as caretakers of the land over which humans were given stewardship is violated.

Modern-day policies and programs that despoil the land, desecrate the environment, and destroy entire species of wildlife are not justified by the Bible. Such actions clearly violate biblical commands to humans to "replenish the earth," conserve natural resources, and treat animals with kindness, and to animals to "be fruitful and multiply" and fill the earth. For example, various laws requiring the protection of natural resources are found in the Mosaic law, including passages mandating the preservation of fruit trees (Deuteronomy 20:19, Genesis 19:23–25); agricultural lands (Leviticus 25:2–4); and wildlife (Deuteronomy 22:6–7; Genesis 9). Numerous

other biblical passages extol the wonders of nature (Psalms 19, 24, and 104) and teach kindness to animals, including the Ten Commandments, which require that farm animals be allowed to rest on the Sabbath.

### Christianity: Jesus' nature teachings

The New Testament contains many references by Jesus and his disciples that teach people to protect nature and its life forms. Throughout the Sermon on the Mount, Jesus used nature and pastoral imagery to illustrate his points and uphold the creatures of nature as worthy of being emulated. In stressing the lack of importance of material possessions such as fancy clothes, Jesus observed that "God so clothes the grass of the field" and cited wildflowers as possessing more beauty than any human garments ever could: "Consider the lilies of the field, how they grow; they toil not, neither do they spin. And yet I say unto you, that even Solomon in all his glory was not arrayed like one of these" (Matthew 6:28–30; Luke 12:27). In Luke 12:6 and Matthew 10:29, Jesus stresses that even the lowliest of creatures is loved by God: "Are not five sparrows sold for two pennies? And not one of them is forgotten before God."

### Judaism: A tradition of reverence for nature

The teachings and laws of Judaism, going back thousands of years, strongly emphasize kindness to animals and respect for nature. Indeed, an entire code of laws relates to preventing "the suffering of living creatures." Also important are the concepts of protecting the elements of nature, and *tikkun olam*, or "repairing the world." Jewish prayers, traditions, and literature contain countless stories and admonitions stressing the importance of the natural world and animals as manifestations of God's greatness and love for His creation.

Many examples of practices based on Judaism's respect for nature can be cited. Early Jewish law prevented pollution of waterways by mandating that sewage be buried in the ground, not dumped into rivers. In ancient Jerusalem, dung heaps and garbage piles were banned, and refuse could not be disposed of near water systems. The Israelites wisely protected their drinking water supply and avoided creating hazardous and unhealthy waste dumps. The rabbis of old Jerusalem also dealt with the problem of air pollution from wheat chaff by requiring that threshing houses for grain be built no closer than 2 miles (3.2 km) from the city. In order to prevent foul odors, a similar ordinance existed for graves, carrion, and tanneries, with tanneries sometimes required to be constructed on the edge of the city downwind from prevailing air currents. Wood from certain types of rare trees could

not be burned at all, and the Talmud cautioned that lamps should be set to burn slowly so as not to use up too much naphtha. The biblical injunction to allow land to lie fallow every seven years (Lev. 25:3–7) permitted the soil to replenish itself.

### Islam and ecology

In the Qur'an (Koran), the holy book of the Islamic faith, scholars have estimated that as many as 750 out of the book's 6,000 verses (about one-eighth of the entire text) have to do with nature. In Islamic doctrine there are three central principles that relate to an environmental ethic, including *tawhid* (unity), *khalifa* (trusteeship), and *akhirah* (accountability). Nature is considered sacred because it is God's work, and a unity and interconnectedness of living things is implied in certain scriptures, such as: "There is no God but He, the Creator of all things" (Q.6: 102). The balance of the natural world is also described in some verses: "And the earth we have spread out like a carpet; set thereon mountains firm and immobile; and produced therein all kinds of things in due balance" (Q.15:19). On the earth, humans are given the role of stewards (called "vicegerents"), when the Qur'anic scripture states, "Behold, the Lord said to the angels: 'I will create a vicegerent on earth. . .'" (Q.2: 30). In the teachings of the Prophet Muhammad, humans are told to cultivate and care for the earth. ("Whoever brings dead land to life, that is, cultivates wasteland, for him is a reward therein"), and humans are cautioned in the Qur'an against abusing the creation: "Do no mischief on the earth after it hath been set in order, but call on Him with fear and longing in your hearts: for the Mercy of God is always near to those who do good" (Q.7: 56).

### Other faiths

The religions of the East have also recognized and stressed the importance of protecting natural resources and living creatures. Buddhism and Hinduism have doctrines of non-violence to living beings and have teachings that stress the unity and sacredness of all of life. Some Hindu gods and goddesses are embodiments of the natural processes of the world. Taoists, who practice a philosophy and worldview that originated in ancient China, strive to live in harmony with the *tao*, or the natural way of the universe. Many other religions, including the Bahá'í and those of Native Americans, Amazon Indian tribes, and other indigenous and tribal peoples, stress the sanctity of nature and the need to conserve wildlife, forests, plants, water, fertile land, and other natural resources.

## Resources

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Lewis G. Regenstein  
Douglas Dupler

## Remediation

Remediation refers to the treatment of soil and groundwater that has been contaminated with hazardous biological or chemical material. The aim of remediation is to lessen or, ideally, remove the danger posed to wildlife and humans from the contaminated surroundings.

The treatment of hazardous wastes in most industrialized countries is now controlled through regulations, policies, incentive programs, and voluntary efforts. However, until the 1980s, society knew little of the harmful effects of inadequate management of hazardous wastes, which resulted in many contaminated sites and polluted natural resources. Even in the 1970s, when environmental legislation required disposal of hazardous wastes to landfills and other systems, contamination of soils and groundwater continued, because these systems were often not leak proof. To meet the environmental and public health standards of the twenty-first century, these contaminated sites must continue to be rehabilitated, or remediated, so they no longer pose a threat to the public or the ecosystem. However, the price will be high; total costs for cleaning up the U.S. Department of Energy's (DOE) nuclear weapons sites alone will cost \$147 billion from 1997 to 2070. Cleaning America's contaminated groundwater will cost even more: \$750 billion over the next 30 years.

The Superfund program for remediation of contaminated sites was created in 1980 by the Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) and was amended by the Superfund Amendments and Reauthorization Act (SARA) of 1984. The Superfund program is designed to provide an immediate response to emergency situations that pose an imminent hazard, known as *removal or emergency response-actions*, and to provide permanent remedies for environmental problems resulting from past practices (i.e., abandoned or inactive waste sites), known as *remedial-response actions*. Under the

Superfund program, the remedial action process is implemented in structured stages: (1) Preliminary assessment; (2) Site inspection; (3) Listing on the National Priorities List (NPL), a rank ordering of sites representing the greatest threats; (4) Remedial investigation/ feasibility study (RI/ FS); (5) U.S. Environmental Protection Agency's Record of Decision (ROD); (6) Negotiation of a consent decree and remedial action plan between the U.S. Environmental Protection Agency and the responsible parties; and (7) Final design and construction.

Money for the Superfund came from special taxes on chemical and petroleum companies, which expired in 1995 and were not renewed. Superfund programs cost \$14–\$16.4 billion between 2000 and 2009, with annual costs of between \$1.3 billion and \$1.7 billion.

As of October 2010, there were 1281 Superfund sites.

In addition to the Superfund program, site remediation projects may be required for: the Resource Conservation and Recovery Act (RCRA) Corrective Action Program for operating treatment, storage, and disposal facilities; the underground storage tanks program established by RCRA; Federal Facility cleanup of sites operated primarily by DOE and the Department of Defense (regulated under both CERCLA and RCRA); and state-regulated, private, and voluntary programs. The cleanup of federal facilities is expected to be the most expensive, followed by the RCRA Corrective Action Program, and then Superfund. DOE must characterize, treat, and dispose of hazardous and radioactive waste at more than 120 sites in thirty-six states and territories, at an estimated cost of over \$60 billion.

The costs of remediation are determined by the degree of remediation required. Superfund cleanup standards require that contaminated waters be remediated to concentrations at least as clean as the Maximum Contaminant Levels (MCLs) of the Safe Drinking Water Act and the water quality criteria of the Clean Water Act. Carcinogen risks from exposures to a Superfund site should be less than one excess cancer in a population of one million people. Some states require that remediation projects restore the site to an equivalent of its original condition.

Remedial techniques are divided into two basic types: on-site methods and removal methods. Most remedial techniques are used in combination (e.g., pump and treat systems) rather than singly. Generally remediation techniques that significantly reduce the volume, toxicity, or mobility of hazardous wastes are preferred for use. Off-site transport and disposal is usually the least favored option. On-site methods include containment, extraction,

treatment, and destruction, while removal methods consist of excavation and dredging. Containment is used to contain liquid wastes or contaminated ground waters within a site; or to divert ground or surface waters away from the site. Containment is achieved by the construction of low-permeability or impermeable cutoff walls or diversions. On-site groundwater extraction is accomplished by pumping, which is usually followed by above-ground treatment (referred to as *pump and treat*). Pumping is used to control water tables so as to contain or remove contaminated groundwater plumes or to prevent plume formation. Soil vapor extraction, by either passive or forced ventilation collection systems, is used to remove hazardous gases from the soil. Gases are collected in PVC perforated pipe wells or trenches and transported above ground to treatment or combustion units.

On-site treatment of hazardous wastes can be conducted above ground, following extraction or excavation, or below ground (*in situ*). Above ground treatment methods include the use of conventional environmental engineering unit processes. Below ground treatment can be non-biological or biological. Non-biological *in situ* treatment involves the delivery of a treatment fluid through injection wells into the contaminated zone to achieve immobilization and/or detoxification of the contaminants. This treatment is accomplished by processes such as oxidation, reduction, neutralization, hydrolysis, precipitation, chelation, and stabilization/solidification. Biological *in situ* treatment (bioremediation) is accomplished by modifying site conditions to promote microbial degradation of organic compounds by naturally occurring microorganisms. Exogenous microorganisms that have been adapted to degrade specific compounds or classes of compounds are also sometimes pumped into ground water or applied to contaminated soil. Certain types of bacteria and plants containing peptides are also being developed for treating radioactive materials and heavy metals.

Finally, on-site destruction of organic hazardous wastes can be accomplished by the use of high-temperature incineration. Inorganic materials are not destroyed, so residues from the incineration process must be disposed of in a secure landfill. *In situ* vitrification (ISV), or thermal destruction, involves the heating of buried wastes to melting temperatures (by applying electric current to the soil) so that a chemically inert, stable glass block is produced. Nonvolatile materials are immobilized in the vitrified mass, and organic materials are pyrolyzed. Combustion off-gases are collected and treated.

Removal methods of remediation include extraction and dredging. Excavation of contaminated solid or semisolid hazardous wastes may be required if the wastes are not amenable to *in situ* treatment. Excavated materials may be treated and replaced or transported off-site. Dredging is used to remove contaminated sediments from streams, estuaries, or surface impoundments. Removal of well-consolidated sediments in shallow waters requires the use of a clamshell, dragline, or backhoe. Sediments with a high liquid content or that are located in deeper waters are removed by hydraulic dredging. Dredged materials are pumped or barged to treatment facilities.

Nanoparticle technology is one method that shows promise for cleaning groundwater sites, since it can reduce 96 percent of contaminants compared to 25 percent with conventional methods.

## Resources

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Laurel M. Sheppard

Remote sensing see **Measurement and sensing**.

## Renewable energy

All naturally occurring products, substances, or sources of energy that are useful to human beings are termed resources. Any resource, including any energy resource, is either renewable or non-renewable. The major non-renewable energy resources are fossil fuels such as coal, petroleum, and natural gas or elemental fuels such as uranium (U). Although these materials might be renewed or replaced by natural processes over millions of years, they are not renewed on the time-scale of human history. For all realistic purposes, once they are used, they are gone forever.

Some renewables, like solar energy, tidal and wave energy, hydropower (electricity produced by rivers running downhill through dams), and wind energy, are perpetual. That is, no matter how many windmills

are raised or solar panels are exposed to the light, the same amount of wind will blow and the same amount of sun will shine. However, other renewable energy resources are renewable only so long as human beings do not exploit them too greedily or change the environmental conditions that allow their renewal. Among these contingent or fragile renewables are those like fuel-wood, bio-diesel, or ethanol (C<sub>2</sub>H<sub>5</sub>OH) that depend on agriculture and forestry. Farmlands and forests can be permanently ruined by practices that destroy the fertility of the soil, ending the cycle of renewal.

The main sources of renewable energy in the world today—also termed alternative energy—are biofuels (fuel-wood or liquid fuels manufactured from crops), hydropower, geothermal energy (energy from heat sources below Earth's surface), solar energy, and wind energy.

Biofuel energy—firewood—was the first concentrated energy source besides muscle power to be exploited by humans. Fire was first controlled between 1 and 1.5 million years ago. Water and wind power came into use several thousand years ago, while non-renewable fuels such as coal and petroleum only began to be widely used starting with the Industrial Revolution in the late eighteenth century. Some renewables, such as hydropower and wood, remained in use even after the Industrial Revolution, though their share of energy supply dwindled.

Renewable energy sources received new attention in the United States and other energy-importing countries after the OPEC (Organization of Petroleum Exporting Countries) oil embargo of 1973. For decades, coal and oil had easily supplied nearly 90 percent of the United States' energy, but the sudden oil shortage prompted the U.S. government and others to invest in alternative and renewable energy sources as well as in more efficient ways to use energy. The U.S. commitment to efficiency and renewables, symbolized by the installation of solar hot-water panels on the roof of the White House by President Jimmy Carter (1924–) in 1979, was greatly scaled back in the 1980s, a change signaled by the removal of the Carter panels by President Ronald Reagan (1911–2004) in 1986.

The 1980s and 1990s were decades of cheap and abundant fossil fuel, and U.S. development of efficiency and renewable energy stagnated. The share of U.S. primary energy met by renewables—mostly large-scale hydropower from mega-dams like the 6.8 gigawatt (GW) Grand Coulee Dam power station—peaked in the mid 1990s at about 7.5 percent, then began to decline. By 2005 only about 6 percent of U.S. energy came from renewables, and about 45 percent of



**Steam rising from geothermal vents near Monterotondo, in the Larderello area of Tuscany, Italy. The Larderello geothermal area, the site of the world's first geothermal power station, has been used to generate electricity since 1913. (Martin Bond/Photo Researchers, Inc.)**

that came from large hydropower plants—about the same figures as in 1979. However, in the late 1990s and early 2000s renewable energy again became prominent thanks to increasing concerns over rapidly rising oil prices, pollution, coal mining, and climate change. Climate change is driven largely by carbon dioxide ( $\text{CO}_2$ ) released from the burning of fossil fuels; most forms of renewable energy do not contribute to climate change. Hopeful attention was also turned to renewable energy by political issues, such as growing U.S. dependence on Middle Eastern oil reserves and the protection of wildlife and offshore areas that contain U.S. oil reserves.

It should be noted that energy is not the same as electricity. Electricity is only one form of energy. Energy is also consumed as motive power in vehicles and as heat in buildings, cooking, and industrial processes.

According to the Energy Information Administration (EIA) of the U.S. Department of Energy (DOE), renewable energy sources accounted for almost 8 percent of all energy consumed in the United States in 2009. Conventional hydroelectric projects provided 41 percent of renewable energy while biomass contributed about 50 percent. Biomass includes wood used to heat homes, the burning of solid municipal waste (garbage), and the addition of ethanol from fermented plant material (mostly corn in the United States) to gasoline for use in internal combustion engines. Another biomass energy source is landfill gas, methane ( $\text{CH}_4$ ) that is emitted by decaying garbage in landfills.

Hydropower uses the force of dammed water running downhill to turn turbines, which produce electricity. Future U.S. hydropower supplies will not increase greatly, as most of the most productive sites have

already been developed. Several Third World countries have viewed hydropower as a cheap source of future energy and are developing new projects, but environmentalists and native peoples have protested the damming of more rivers and the destruction of natural habitats and human communities associated with large hydropower facilities. Also, research since the 1990s has shown that large hydropower projects in the tropics may contribute as much to climate change as making an equal amount of electricity by burning fossil fuels. This is possible because of the seasonal nature of tropical rainfall. In the dry season, water levels in reservoirs fall, exposing large flat, muddy areas where plants grow quickly. These plants, like all others, build their tissues by extracting carbon from carbon dioxide in the atmosphere. Carbon dioxide is the major greenhouse gas. When the rainy season raises the water level again, the plants are submerged. They then die and decay through an oxygen-free (anaerobic) process that releases the plants' carbon as methane, another greenhouse gas. Molecule for molecule, methane is about twenty times as effective as carbon dioxide at causing climate change. Tropical dams therefore can act as factories that transform carbon dioxide into methane, contributing to global warming. Dams in the U.S. and other countries far from the equator do not suffer from this drawback, but do disrupt river ecosystems and drown many square miles of territory.

The United States is the world's largest producer of Geothermal energy, accounting for about 44 percent of the world total. Geothermal sources produced about 5 percent of United States renewable energy (0.36 percent of U.S. electricity output) in 2007. Geothermal energy is produced by using steam-powered turbines to make electricity or by using Earth's heat directly. High-intensity heat is not the only source of geothermal energy: the technology known as geothermal heat pumping heats and cools buildings by exploiting the steady temperature of soil and rock only a few feet below the surface. U.S. geothermal power continues to grow, with the number of installed geothermal heat pumps increasing by about 15 percent per year as of 2007 and a number of large, high-intensity geothermal electric generation projects planned in California and other western states. Geothermal plants are also found in the Philippines, New Zealand, and Iceland. Iceland derives about 20 percent of its electricity and almost 90 percent of its space heat (interior building heat) from geothermal sources.

Solar energy accounted for only 0.1 percent of the electricity generated in the United States in 2008, though its share was growing. Solar energy systems

include passive systems and active systems. Passive solar systems are buildings that are designed to use the sun's heat and light directly, while active systems use mechanical methods to capture the sun's energy as heat or electricity.

Photovoltaics are active solar systems that use flat electronic devices termed solar cells to convert a fraction of the energy in sunlight directly to electricity. Photovoltaics' contribution to U.S. and world energy supply was growing rapidly in the early 2000s as improving technology lowered costs. Globally, the amount of photovoltaic generating capacity being manufactured every year increased by almost 50 percent per year from 2002 through 2007. As of 2007, Germany was the leading global consumer of photovoltaic electricity.

In 2007, wind energy accounted for just over 1 percent of U.S. electricity production and 5 percent of electricity production from renewable sources, exceeding geothermal for the first time. Wind energy is produced when wind turns windmills that convert the wind's kinetic energy (energy of motion) into electricity. Technology improvements helped wind energy to become the world's fastest growing alternative energy source by 2001, lowering its price and making it competitive with other energy sources, including coal. During the 1990s, the United States temporarily lost its edge in wind energy technology, as countries such as Germany, Denmark, Spain, and Japan invested heavily in wind production. By 2007, however, wind energy was booming in the United States as never before, with more new capacity (5,216 megawatts) installed that year in the United States than in any other country. The United States installed 8.4 GW in 2008, surpassing Germany in installed wind power capacity. As of 2008, the global installed wind energy capacity exceeded 120 GW. If the trend in wind power continues, wind energy will supply 10 to 12 percent of the global electricity demand by 2020, resulting in a reduction of 1.7 tons (1.5 metric tons) of carbon dioxide per year.

Globally, all forms of renewable electric generation other than large hydropower were growing rapidly in the early 2000s, increasing their total capacity by 50 percent from 2004 to 2007. By 2007, renewables were supplying 3.4 percent of the global electricity supply. Global wind capacity doubled from 1995 to 1998, then again by 2001, reaching 23,300 megawatts. By 2007, it had almost quadrupled from 2001, reaching 95 gigawatts (95 billion watts), with annual growth still accelerating. Forty percent more wind power was installed worldwide in 2007 than in 2006. Several European countries, anxious to decrease their dependence on insecure foreign energy sources, had pushed

their reliance on renewables to much higher levels than the global average. For example, by 2008 Denmark was producing about 25 percent of its electricity from wind.

Engineers and scientists are researching other renewable energy sources besides wind, solar, hydro-power, and biofuels. For example, some countries are developing technologies to capture the energy in the ocean's waves (wave power) or tides (tidal power) or in warm ocean water (ocean thermal energy conversion). Algae in glass tubes may prove an efficient way to harvest solar energy as biofuel. Improved technologies for producing, storing, and using hydrogen (which can be made from water and which produces only water when burned) may, according to many experts, extend to the usefulness of various renewables.

However, renewable energy sources also face several obstacles. (1) Because they harvest energy from natural flows, most renewables have low power density. That is, the area of land needed to produce a given amount of power from solar cells, biofuel crops, windmills, or other renewable methods tends to be fairly large. Without reducing energy demand through high-efficiency usage, it will be difficult to meet growing global demand for energy services through renewables or indeed any other means, including coal or nuclear power. (2) The two major non-hydro renewable sources of electricity, namely solar and wind, tend to be intermittent—that is, a windmill only produces power when the wind is blowing above a certain speed, while a solar cell only produces power during the daytime. Fuel-fired plants such as those running on coal or uranium do not have this particular disadvantage, though they do experience outages due to mechanical failures and refueling. For example, the average U.S. nuclear power plant must shut down for refueling for thirty-seven days once every seventeen months. However, the intermittency problem has sometimes been exaggerated: a quilt or patchwork of complementary renewables could produce output that was much more steady than that from any single windmill or solar panel. This is because renewable generators can be complementary, that is, make up for each other's shortcomings. Stormy weather tends to increase wind output while decreasing solar output, demand for electricity is higher during the daytime when the sun is shining, and countries such as the U.S. are so large that the wind is almost certain to be blowing across at least a certain fraction of wind-turbine locations at all times. German studies have shown that a power system composed of solar panels, windmills, and biogas generators could provide 100 percent of German electricity with acceptable reliability.

The production of fuel ethanol has been criticized both as a net energy consumer (or poor net-energy producer) because of the fossil fuels needed to grow corn and other ethanol crops. It has also been criticized as injurious to the world's poor. In early 2008, food shortages gripped much of the world, with riots against high prices becoming common in some poor countries. According to the World Bank, food prices worldwide rose 83 percent from 2005 to 2008. Although there were a number of causes for rising prices, including a drought in Australia that lowered production of rice, the main food of over half the world's population, food experts agreed that the push to raise crops for ethanol biofuel for vehicles was a major part of the problem. Biofuel manufacturers competed directly with food buyers in the market for corn, and high demand for biofuel crops caused growers to switch acreage away from food production. The result was higher food prices, which some the world's poorest simply cannot pay. In Haiti, for example, by late 2007 many of the rural poor were routinely eating mud, which eases hunger pangs but has no food value. Expert estimates of how much of the rise in global food prices was due to biofuel programs ranged from 10 percent to well over 50 percent.

Despite such difficulties, many renewables—especially solar, wind, and biogas—are increasingly affordable and are being deployed around the world at an increasing pace. The Energy Policy Act of 2005 included numerous provisions regarding alternative energy sources, including increasing the amount of biofuels mixed with gasoline, loan guarantees for development of clean energy technologies, funding for geothermal energy technology, and subsidies for wind and other renewable energy producers. In 2006, renewables (excluding large hydropower) already generated a fourth as much energy globally as did nuclear power, and renewable output was growing exponentially while nuclear output remained approximately level. In 2007, alternative energy sources contributed to 7 percent of U.S. energy consumption, with an average annual growth rate of 3 percent. One of the biggest advantages of renewables in the energy marketplace is that solar and wind generators can be built in a matter of months, whereas large centralized thermal plants fueled by coal or uranium take five to ten years to build.

In 2009, the American Recovery and Reinvestment Act was passed and included loan guarantees for the development of renewable energy source technologies such as biofuels. A goal of the Obama administration is to double U.S. renewable electricity generation over the next three years. In July 2009, five projects that will

contribute to the development of solar energy grid integration systems (SEGIS) were granted an investment of up to \$11.8 million by the government. The SEGIS program will “enable electrical power grids to seamlessly integrate large amounts of distributed electricity from solar energy.”

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- National Renewable Energy Laboratory, 1617 Cole Blvd., Golden, CO, USA, 80401-3393, (303) 275-3000, [usservices@nrel.gov](mailto:usservices@nrel.gov), <http://www.nrel.gov>

Douglas Dupler

## Renewable Natural Resources Foundation

The Renewable Natural Resources Foundation (RNRf) was founded in 1972 to further education regarding renewable resources in the scientific and public sectors. According to the organization, it seeks to “promote the application of sound scientific practices in managing and conserving renewable natural resources; foster coordination and cooperation among professional, scientific, and educational organizations having leadership responsibilities for renewable resources; and develop a Renewable Natural Resources Center.”

The Foundation is composed of organizations that are actively involved with renewable natural resources and related public policy, including the American Fisheries Society, the American Water Resources Association, the Association of American Geographers, Resources for the Future, the Soil and Water Conservation Society, the Nature Conservancy, and the Wildlife Society. Programs sponsored by the Foundation include conferences, workshops, summits of elected and appointed leaders of RNRf member organizations, the RNRf Round Table on Public Policy, publication of the *Renewable Resources Journal*, joint human resources development of member organizations, and annual awards to recognize outstanding contributions to the field of renewable resources.

## Resources

### ORGANIZATIONS

- Renewable Natural Resources Foundation, 5430 Grosvenor Lane, Bethesda, MD, USA, 20814-2193, (301) 493-9101, (301) 493-6148, [info@rnrf.org](mailto:info@rnrf.org), <http://www.rnrf.org>

Linda Ross

## Reserve Mining Company

In 1947, the beginnings of one of the first classic cases of environmental conflict emerged when the Reserve Mining Company received permits to dump up to 67,000 tons per day—or 47 tons per minute—of waste rock containing asbestos-like fiber tailings from its taconite mining operations in Silver Bay, Minnesota, into western Lake Superior. The Reserve Mining case typifies the conflict that occurs when the complex problems of pollution, economics, politics, and law

collide. After decades of investigation and lawsuits, the corporation stopped the dumping in spring 1980, but the change did not come easily for any of the participants.

Taconite is a low-grade iron ore, which can be crushed, concentrated, and pelletized to provide a good source of iron ore. Silver Bay, Minnesota, was created as a result of the mine's development by Reserve, and approximately 80 percent of the local labor force of 3,500 residents worked at the \$350 million facility in 1980. The first permits were given to the company in 1947, on the condition that it would strictly comply with all provisions, including providing continued assurances that the discharges posed no harm to the lake or surrounding inhabitants. In 1956 and 1960, Reserve Mining was allowed to increase its use of Lake Superior water, thus increasing its dumping of waste taconite tailings. This continued until the late 1960s, when two major signs emerged that the lake—and potentially local residents—were being harmed by the waste discharges.

The first sign was the obvious discoloration of the water on the northwest shores of Lake Superior. Up to 67,000 tons of tiny fibers resembling asbestos, a carcinogen, were dumped into the lake each day, turning the water into a green slime from Silver Bay to Duluth, Minnesota, and nearby Superior, Wisconsin. Second, several local citizens made the link between the company's discharge and possible harmful effects on humans when they read about a discovered connection between cancer and asbestos used to polish rice in Japan in the 1960s.

By June 1973, after completing several studies at the request of these local citizens, the U.S. Environmental Protection Agency concluded that the drinking water of Duluth and other northshore communities was contaminated with asbestos-like fibers, which might cause cancer. The Minnesota Pollution Control Agency followed with the finding that a suspected source of the fibers was the Reserve Mining Company discharge. Duluth and other affected communities installed filtration systems to prevent further contamination of local drinking water supplies, and convinced state and federal agencies to join citizen groups in legal action against the company.

In a series of subsequent court appearances, Reserve Mining continually argued that the company would be forced to close its operations if Lake Superior discharges were stopped, presumably resulting in the demise of the town of Silver Bay as well. Five years of lawsuits seemingly ended on April 20, 1974, when federal District Judge Miles Lord completed a nine-month trial and ordered the plant to cease discharges of taconite tailings into the air and water within

24 hours. Less than 48 hours later, however, the plant was ordered reopened by three judges from the Eighth U.S. Circuit Court of Appeals. While concluding that the original permits issued to Reserve Mining were a “monumental environmental mistake,” they allowed the company to remain open while it altered its production process to create alternative disposal methods. In June 1974, the governors of Minnesota and Wisconsin filed an appeal of this ruling to the Supreme Court, but were rebuffed when the court refused to reinstate Judge Lord's order. Justice William Douglas' written dissent to the court's ruling stated, “I am not aware of a constitutional principle that allows either private or public enterprises to despoil any part of the domain that belongs to all of the people. Our guiding principle should be Mr. Justice Holmes' dictum that our waterways, great and small, are treasures not garbage dumps or cesspools.”

Six years later, Reserve Mining Company and its parent owners Armco and Republic Steel were fined more than one million dollars for their permit violations and intransigence in completing disposal upgrades. Reserve Mining switched to onland disposal, via rail and pipeline, to a 6-square mile (9.7-km<sup>2</sup>) basin 40 miles (64.4 km) inland, which kept tailings covered under 10 feet (3 m) of water to prevent fiber dust from escaping. The basin operated efficiently until a production cut resulted in more water and plant effluent being sent to the disposal basin than was used in the plant to concentrate the crushed taconite rock into iron ore. Reserve Mining sought a permit in October 1985 from the Minnesota Pollution Control Agency to pump water out of the disposal basin to a filtration plant on the Beaver River, which flows into Lake Superior. After several arguments from environmental groups and others, the permit was eventually issued at a lowered level from 15 to 1 million fibers per liter. Operations ultimately closed in the summer of 1986, due to reduced demand for the taconite pellets.

The mine was purchased and reopened in 1990 by Cyprus Mining of Colorado, and operated at 30 percent of capacity. The one-million-fiber-per-liter limit has generally been met, and thus asbestos-like fibers continue to be discharged into Lake Superior, albeit at a drastically reduced rate from those discharged for decades directly into the largest freshwater lake in the world.

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Sally Cole-Misch

## Reservoir

Although the word can be used to describe large environmental systems such as the atmosphere, commonly a reservoir is a body of water held by a dam on a river or stream, usually for use in irrigation, electricity generation, or urban consumption. By catching and holding floods in spring or in a rainy season, reservoirs also prevent flooding downstream. Most reservoirs fill a few miles of river basin, but large reservoirs on major rivers can cover thousands of square miles. Lake Nasser, located behind Egypt's Aswan High Dam, stretches 310 miles (500 km), with an average width of six miles (ten kilometers). Utah's Lake Powell, on the Colorado River, fills almost ninety-three miles (150 km) of canyon.

Because of their size and their role in altering water flow in large ecosystems, reservoirs have a great number of both positive and negative environmental effects. Reservoirs allow more settlement on flat, arable flood plains near the river's edge because the threat of flooding is greatly diminished. Water storage benefits farms

and cities by allowing a gradual release of water through the year. Without a dam and reservoir, much of a river's annual discharge may pass in a few days of flooding, leaving the river low and muddy the rest of the year. Once a reservoir is built, water remains available for irrigation, domestic use, and industry even in the dry season. Reservoirs are also constructed as a source of hydroelectricity, a system in which water is used to generate electricity.

At the same time, there are many negative effects of reservoirs. Dams and reservoirs can have an effect on both abiotic (nonliving) and biotic (living) components in their ecosystems. In terms of abiotic factors, a reservoir can affect water quality, distribution, and movement. The evaporation of water in a reservoir brought about by the increased water surface area can result in an increase in salinity (concentration of salts). Increased irrigation causes the underground water level to rise and introduces salts from deep soil to the soil surface, increasing soil salinity. Water pH is also affected by the building of dams as the water covers plants and algae previously above the river



**Lake Hollywood Dam in Los Angeles, California. The dam serves as flood control and reservoir.** (David R. Frazier/Photo Researchers, Inc.)

surface. As these organisms decompose, carbon dioxide (CO<sub>2</sub>) is released, increasing the acidity of the water. Water from the reservoir is generally a different temperature than the river water temperature. When reservoir water is released into a river, thermal pollution can result, which alters the level of dissolved oxygen.

Sedimentation is another problem associated with reservoirs. Free-flowing rivers usually carry a great deal of suspended sediment, but the still water of a reservoir allows these sediments to settle. As the sediments accumulate in the reservoir, storage capacity decreases. The lake gradually becomes more shallow and warmer, with an accompanying decrease in water quality. Even more important, sediment-free water downstream of the dam no longer adds sand and mud to riverbanks and deltas. Erosion of islands, banks, and deltas results, undermining bridges and walls as well as natural riverside habitat.

Humans also frequently lose agricultural land and riverside cities to reservoir flooding. China's Three Gorges Dam on the Chang Jiang (Yangtze River) displaced 1.4 million people; India's Narmada Valley reservoir will flood the homes of 1.5 million people. In such cases the displaced populations must move elsewhere, clearing new land to reestablish towns and farms. Water loss from evaporation and seepage can drastically decrease water volumes in a river, especially in hot or arid regions. Lakes Powell and Mead on the Colorado River annually lose 1.3 billion cubic yards (one billion cubic meters) of water through evaporation, water that both people and natural habitats downstream sorely need. Egypt's long and shallow Lake Nasser is even worse, losing as much as twenty billion cubic yards (fifteen billion cubic meters) per year. Impounded water also seeps into the surrounding bedrock, especially in porous sandstone or limestone country. This further decreases river flow and sometimes causes slope instability in the reservoir's banks. A disastrous 1963 landslide in the waterlogged banks of Italy's Vaiont reservoir sloshed 192 million cubic yards (300 million cubic meters) of water over the dam and down the river valley, killing almost 2,500 people in towns downstream. A further risk arises from the sheer weight of stored water, which can strain faults deep in the bedrock and occasionally cause earthquakes.

The construction of reservoirs affects the survival of vegetation, aquatic organisms, birds, and mammals that inhabit the area. The well-being of the organisms is generally linked to abiotic factors associated with reservoirs, mainly water quality. The water in the reservoir accumulates nitrogen (N) and phosphorus (P), leading to eutrophication (excess primary productivity) and excessive algal growth, which diminishes the amount of light penetrating the water surface and

depletes the oxygen in the water. Level of dissolved oxygen in the river can also be depleted via thermal pollution when warmer water in the reservoir is released into the cooler river water. Dam construction also involves extreme modifications to the river ecosystem including alterations in the riverbed (expansion of depth and width) and the destruction and submergence of vegetation. Instream and streamside vegetation and habitat destruction is caused by flooding hundreds of miles of river basin behind reservoirs. Because reservoirs often stretch several miles across, as well as far upstream, they can drown habitat essential to aquatic and terrestrial plants, as well as extensive tracts of forest.

One of the losses felt most acutely by humans is that of scenic river valleys, gorges, and canyons. China's Three Gorges Dam drowned ancient cultural and historic relics to which travelers made pilgrimages for centuries. In the United States, the loss of Utah's cathedral-like Glen Canyon and other beautiful or unusual environmental features are tragedies that many environmentalists still lament.

*See also* Arable land; Environmental economics; Environmental policy; Riparian Land; River basins.

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Mary Ann Cunningham

## Residence time

Residence time is the length of time that an atom or molecule remains in a given environmental reservoir. It is often thought of as the average lifetime of a molecule in a system, and can be mathematically related to the concept of half-life. As an example, when airborne pollutants are carried into the atmosphere by natural

updrafts, their concentration, size of the particulates, and their residence time imparts varying degrees of influence on the solar radiation balance. Other examples include the period of time that oxidants such as peroxyacetylnitrate (PAN) or ozone (O<sub>3</sub>) remain in the atmosphere, or compounds such as iron (Fe) remain in the oceans. Residence time can be employed in determining the length of time particles of greenhouse gases remain in the atmosphere. This information could be useful in studies concerning the effect of greenhouse gases on climate change. In the ocean, residence time can be used to determine the transport and fate of pollutants in aquatic systems. For example, this measure can establish the average lifetime of a particular molecule or organism in an estuary or bay. The concept is equally applicable to natural substances as it is to pollutants.

*See also* Radioactive waste.

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## Resilience

In ecology, resilience refers to the rate at which a community returns to a base state following change or displacement. Ecosystems comprised of communities with high inherent resilience are, over time, relatively stable in the face of environmental stress. These communities return to their original structure and functions, or similar ones, relatively quickly in response to a disturbance. Of course, there are thresholds for resilience. If a perturbation is too intense, then the inherent resilience of the community may be exceeded, and the previous state of ecological development may not be rapidly reattained, if at all. Populations of species that are smaller in size, poor competitors, short-lived, with short generation times and the ability to spread quickly are relatively resilient. Those that are larger in size, competitive, longer-lived, with longer generation times and high parental investment in offspring have a higher threshold, or resistance, to a

stress factor, but generally have less resilience, and regenerate more slowly.

*See also* Biosphere; Biotic community.

## Resistance (inertia)

In ecology, resistance is the ability of a population or community to avoid displacement from some state of development as a result of an environmental stress. Populations or communities with inherently high resistance are relatively stable when challenged by such conditions. If the stress is greater than the population threshold, though, change must occur.

In general, species that are larger in size, relatively competitive, longer-lived, with longer generation times and high parental investment in offspring are relatively resistant to intensified stresses. When these species or their communities are overcome by environmental change, however, they have little resilience and tend to recover slowly.

In contrast, species that are smaller in size, short-lived, highly fecund, and with shorter generation times have little ability to resist the effects of perturbation. However, these species and their communities are resilient, and have the ability to quickly recover from disturbance. This assumes, of course, that the environmental change has not been too excessive, and that the habitat still remains suitable for their regeneration and growth.

*See also* Biotic community; Ecosystem; Population biology.

## Resource Conservation and Recovery Act

The Resource Conservation and Recovery Act (RCRA), an amendment to the Solid Waste Disposal Act, was enacted in 1976 to address a problem of enormous magnitude—how to safely dispose of the huge volumes of municipal and industrial solid waste generated nationwide. It is a problem with roots that go back well before 1976.

There was a time when the amount of waste produced in the United States was small and its impact on the environment relatively minor. (A river could purify itself every 10 miles [16 km].) However, with the industrial revolution in the latter part of the

nineteenth century, the country began to grow with unprecedented speed. New products were developed and consumers were offered an ever-expanding array of material goods.

This growth continued through the early twentieth century and took off after World War II when the nation's industrial base, strengthened by the war, turned its energies toward domestic production. The results of this growth were not all positive. With more goods came more waste, both hazardous and non-hazardous. In the late 1940s, the United States was generating roughly 500,000 metric tons of hazardous waste a year. For 2007, the most recent year for which the Environmental Protection Agency (EPA) has figures, the EPA estimates that nearly 47 million tons of hazardous waste were generated nationwide.

Waste management was slow in coming. Much of the waste produced made its way into the environment where it began to pose a serious threat to ecological systems and public health. In the mid-1970s, it became clear, to both Congress and the nation alike, that action had to be taken to ensure that solid wastes were managed properly. This realization began the process that resulted in the passage of RCRA. The goals set by RCRA were: to protect human health and the environment; to reduce waste and conserve energy and natural resources; to reduce or eliminate the generation of hazardous waste as expeditiously as possible.

To achieve these goals, four distinct yet interrelated programs exist under RCRA. The first program, under Subtitle D, encourages states to develop comprehensive plans to manage primarily nonhazardous solid waste, e.g., household waste. The second program, under Subtitle C, establishes a system for controlling hazardous waste from the time it is generated until its ultimate disposal—or from “cradle-to-grave.” The third program, under Subtitle I, regulates certain underground storage tanks. It establishes performance standards for new tanks and requires leak detection, prevention and corrective action at underground tank sites. The newest program to be established is the medical waste program under Subtitle J. It establishes a demonstration program to track medical waste from generation to disposal.

Although RCRA created a framework for the proper management of hazardous and nonhazardous solid waste, it did not address the problems of hazardous waste encountered at inactive or abandoned sites or those resulting from spills that require emergency response. These problems are addressed by the Comprehensive Environmental Response, Compensation and Liability Act (CERCLA), commonly called Superfund.

Today, RCRA refers to the overall program resulting from the Solid Waste Disposal Act. The Solid Waste Disposal Act was enacted in 1965 for the primary purpose of improving solid waste disposal methods. It was amended in 1970 by the Resource Recovery Act and again in 1976 by RCRA. The changes embodied in RCRA remodeled our nation's solid waste management system and added provisions pertaining to hazardous waste management.

The Act continues to evolve as Congress amends it to reflect changing needs. It has been amended several times since 1976, most significantly in 1984. The 1984 amendments, called the Hazardous and Solid Waste Amendments (HSWA), expanded the scope and requirements of RCRA. Provisions resulting from the 1984 amendments are significant, since they tend to deal with the waste problems resulting from more complex technology.

The Act is a law that describes the kind of waste management program that Congress wants to establish. This description is in very broad terms—directing the EPA to develop and promulgate criteria for identifying the characteristics of hazardous waste. The Act also provides the Administrator of the EPA (or designated representative) with the authority necessary to carry out the intent of the Act—the authority to conduct inspections.

The Act includes a Congressional mandate for EPA to develop a comprehensive set of regulations. Regulations are legal mechanisms that define how a statute's broad policy directives are to be implemented. RCRA regulations have been developed by the EPA, covering a range of topics from guidelines for state solid waste plans to a framework for the hazardous waste permit program.

RCRA regulations are published according to an established process. When a regulation is proposed it is published in the *Federal Register*. It is usually first published as a proposed regulation, allowing the public to comment on it for a period of time—normally 30 to 60 days. Included with the proposed regulation is a discussion of the Agency's rationale for the regulatory approach and an explanation, or preamble, of the technical basis for the proposed regulation. Following the comment period, EPA evaluates public comments. In addressing the comments, the EPA usually revises the proposed regulation. The final regulation is published in the *Federal Register* (“promulgated”). Regulations are compiled annually and bound in the *Code of Federal Regulations* (CFR) according to a highly structured format.

Although the relationship between an Act and its regulations is the norm, the relationship between HSWA and its regulations differs. HSWA is unusual in that Congress placed explicit requirements in the statute in addition to instructing the EPA in general language to develop regulations. Many of these requirements are so specific that the EPA incorporated them directly into the regulations. HSWA is all the more significant because of the ambitious schedules that Congress established to implement the Act's provisions. Another unique aspect of HSWA is that it establishes "hammer" provisions—statutory requirements that go into effect automatically as regulations if EPA fails to issue these regulations by certain dates. EPA further clarifies its regulations through guidance documents and policy statements.

Policy statements specify operating procedures that *should* be followed. They are a mechanism used by EPA program offices to outline the manner in which pieces of the RCRA program are to be carried out. In most cases, policy statements are addressed to staff working on implementation. Many guidance and policy documents have been developed to aid in implementing the RCRA Program. To find out what documents are available, the Office of Solid Waste's Directives System lists all RCRA-related policy guidance and memoranda and identifies where they can be obtained.

RCRA works as follows: Subtitle D of the Act encourages States to develop and implement solid waste management plans. These plans are intended to promote recycling of solid wastes and require closing or upgrading of all environmentally unsound dumps. Due to increasing volumes, solid waste management has become a key issue facing many localities and states. Recognizing this, Congress directed the EPA in HSWA to take an active role with the states in solving the difficult problem of solid waste management. The EPA has been in the process of revising the standards that apply to municipal solid waste landfills. Current with the revision of these standards, the EPA formed a task force to analyze solid waste source reduction and recycling options. The revised solid waste standards, together with the task force findings, form the basis for the EPA's development of strategies to better regulate municipal solid waste management.

Subtitle C establishes a program to manage hazardous wastes from "cradle-to-grave." The objective of the C program is to ensure that hazardous waste is handled in a manner that protects human health and the environment. To this end, there are Subtitle C regulations regarding the generation, transportation and treatment, storage, or disposal of hazardous wastes. In practical

terms, this means regulating a large number of hazardous waste handlers.

The Subtitle C program has resulted in perhaps the most comprehensive regulations the EPA has ever developed. They first identify those solid wastes that are "hazardous" and then establish various administrative requirements for the three categories of hazardous waste handlers: generators, transporters and owners or operators of treatment, storage and disposal facilities (TSDFs). In addition, Subtitle C regulations set technical standards for the design and safe operation of TSDFs. These standards are designed to minimize the release of hazardous waste into the environment. Furthermore, the regulations for TSDFs serve as the basis for developing and using the permits required for each facility. Issuing permits is essential to making the Subtitle C regulatory program work, since it is through the permitting process that the EPA or a State actually applies the technical standards to facilities.

Subtitle I regulates petroleum products and hazardous substances (as defined under Superfund) stored in underground tanks. The objective of Subtitle I is to prevent leakage to groundwater from tanks and to clean up past releases. Under Subtitle I, the EPA developed performance standards for new tanks and regulations for leak detection, prevention, closure, financial responsibility, and corrective action at all underground tank sites. This program may be delegated to states.

Subtitle J was added by Congress when, in the summer of 1988, medical wastes washed up on Atlantic beaches, thus highlighting the inadequacy of medical waste management practices. Subtitle J instructed the EPA to develop a two-year demonstration program to track medical waste from generation to disposal in demonstration States. The demonstration program was completed in 1991 and Congress has yet to decide upon the merits of national medical waste regulation. At this point no Federal regulatory authority is administering regulations, but individual states are; some are setting up very stringent regulations on storage, packaging, transportation, and disposal.

Congress and the President set overall national direction for RCRA programs. The EPA's Office of Solid Waste and Emergency Response translates this direction into operating programs by developing regulations, guidelines and policy. The EPA then implements RCRA programs or delegates implementation to the states, providing them with technical and financial assistance.

Waste minimization or reduction is a major EPA goal. It is defined as any source reduction or recycling activity that results in either reduction of total volume of hazardous waste or reduction of toxicity of hazardous waste, or both, as long as that reduction is consistent with the general goal of minimizing present and future threats to human health and the environment.

*See also* Waste reduction.

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## Resource recovery

Resource recovery is the process of recovering materials or energy from solid waste for reuse. The aim is to make the best use of the economic, environmental, and social costs of these materials before they are permanently laid to rest in a landfill. The Environmental Protection Agency (EPA) and environmentalists have set up a hierarchy for resource recovery: reduce first, then reuse, recycle, incinerate with energy recovery, and landfill last. Following the hierarchy will cut solid waste and reduce resources consumed in production. Solid waste managers have turned to resource recovery in an effort to cut disposal costs, and the hierarchy has become not only an important guideline but a major inspiration for local recycling programs.

After the Industrial Revolution made a consumer society possible, garbage was considered a resource for a class of people who made their living sorting through open dumps, scavenging for usable items and recovering valuable scrap metals. Once public health concerns forced cities to institute garbage collection and dump owners began to worry about liability for injuries, city dumps were for the most part closed to the public. Materials recovery continued in the commercial sector, however, with an entire industry growing up around the capturing of old refrigerators, junk cars, discarded clothing, and anything else that could be broken down into its raw materials and made into something else. That industry is still strong; it is represented by powerful trade associations, and accounts for three-quarters of all ocean-borne bulk cargo that leaves the Port of New York and New Jersey for foreign markets.

The Arab oil embargo of the 1970s focused attention on the fact that natural resources are limited and can be made unavailable, and environmentalists took up the cause of recycling. But only after a barge loaded with New York City garbage spent months stopping in port after port on the eastern seaboard because it was unable to find a landfill was the real problem recognized. There were too few places to put it. The places that did take garbage often leaked a toxic brew of leachate and were seldom subject to environmental requirements.

Environmental restrictions on waste disposal, however, began to change. The EPA required that landfills be lined with expensive materials; states began mandating complicated leachate collection systems to protect groundwater. Amendments to the Clean Air Act of 1990 changed the regulations for garbage incinerators and waste-to-energy plants, which capture the energy created from burning trash to run turbines or to heat and cool buildings. These facilities were now required to install scrubbers or other devices for cleaning emissions and to dispose of the ash in safe landfills. The result of all these changes was a dramatic increase in the cost of dumping; as the price shot up, municipal leaders took notice. Economics joined environmentalism to support recycling, and those charged with managing solid wastes began to look for ways to get the most out of their trash or avoid creating it altogether.

Reduction—cutting waste by using less material to begin with—is at the top of the resource recovery hierarchy because it eliminates entirely the need for disposal, while avoiding the environmental costs of using raw materials to make replacement goods. Waste reduction, or source reduction, requires a change in behavior that many say is unrealistic when both the culture and the economy in the United States

are based on consumption. However, manufacturers have responded to consumer demands that products last longer and use fewer resources. The durability of the average passenger tire, for example, nearly doubled during the 1970s and 1980s. Makers of disposable diapers advertise the fact that they have reduced diaper size so that they take up less space in landfills.

Reuse also cuts the need for raw materials. Perhaps the best example is the reusable beverage bottles that were widely used until the second half of this century. Studies have shown that reusing a glass bottle takes less energy than making a new one or melting it down to make a new one.

Recycling has become a popular way to make new use of the resources in old products. In its purist and most efficient form, recycling means turning an old object into the same kind of new object—an old newspaper into a new one, an old plastic detergent jug into a new one. But new uses for certain materials are limited, and some laws prevent recycled plastic from coming into contact with food; in such cases old items can only be made into lesser products. Recycled plastic soda bottles, for example, can be used in carpeting, television sets, and plastic lumber. The act of recycling itself also uses energy and causes pollution. Materials must be shipped from drop-off site to the remanufacturer and then to producer before they can be returned to the retailer. Grinding plastic into pellets takes energy, and cleaning old newsprint produces by-products that can pollute water. Another drawback to recycling is the unstable price of materials, which has caused some solid waste managers to reevaluate local programs.

After composting, the resource recovery hierarchy lists incineration with energy recovery. As the last option before landfilling, incinerators or waste-to-energy plants only burn what cannot be recycled, and they can recover one-quarter to one-third of their operating costs by selling the energy, usually in the form of electricity or steam. But incineration also produces waste ash, which can contain toxic materials such as lead or mercury. Incinerator ash often meets EPA standards for hazardous wastes, but because it mainly comes from household garbage, it is considered non-hazardous no matter what is detected, although this may change in the future. Other hazardous materials escape out the stacks of incinerators in the form of air pollution, despite high-tech scrubbers and other pollution controls. Environmentalists also maintain that the resources used in making materials that are incinerated are lost, despite the energy that is produced when they burn. They claim that waste-to-energy proponents have adopted the term resource recovery for

the process in an effort to mask its problems and put an updated face on an industry that once was unscientific and uncontrolled.

Last on the list is landfilling. Although the United States has potential landfill space to last centuries, many landfills are socially or environmentally unsound. Even with extensive liner systems, landfills are expected to leak chemicals into groundwater. Most communities, moreover, are unwilling to have new landfills as neighbors, and citizens groups throughout the country have demonstrated an increasing ability to keep them out through sophisticated protests. Finding space for new landfills has become a nightmare for elected officials and public works managers.

Despite their need for solutions, the resource recovery hierarchy does not always prove practical for local officials who are usually faced with tight budgets and the restrictions of democratic politics. Many of the advantages of the methods at the top of the hierarchy, such as waste reduction or recycling, are distant in either time or geography for many municipalities. A city might be hundreds of miles from the nearest plant that recycles paper and from the forests that might benefit from an overall decrease in demand for trees, for instance. In such a case, recycling paper makes little sense on a small scale. Environmentalists believe that the solution is for manufacturers and solid waste managers to make decisions together. Finding the most efficient use of resources derived from both virgin materials and recovered from wastes may have to be considered regionally or nationally to be fully realized.

*See also* Container deposit legislation; Groundwater pollution; Not In My Backyard; Plastics; Solid waste incineration; Solid waste volume reduction; Waste stream.

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## Resources for the Future

In 1952 President Harry S. Truman's Materials Policy Commission, headed by William Paley of the Columbia Broadcasting System (CBS), released its final report. The commission had been asked to examine the status of the nation's material resources, such as fuels, metals, and minerals. It concluded that the country's material base was in quite good condition, but the report maintained that further research was necessary to insure the continued use of these resources. Paley established Resources for the Future (RFF) as a nonprofit corporation to carry out this research, especially the updating of resource statistics.

At the same time, the Ford Foundation had created a fund to support resource conservation issues and to organize a national conference on the issue. Paley allowed this fund to use the name and facilities of his new corporation, and RFF hosted the Mid-Century Conference on Resources for the Future in Washington, D.C. with Ford Foundation funding.

Perhaps the major result of the conference was organizational. The Ford Foundation decided that RFF should become the base of its resource research program as an independent foundation. These organizations began in 1954 with a five-year grant to RFF of \$3.4 million "aimed primarily at the economics of the nation's resource base." This has been the goal of research at RFF ever since. Most of the staff and most of the visiting scholars, as well as most of the beneficiaries of their small grants program have been economists, analyzing the importance of material resources to the United States economy, and examining a wide range of intertwined economic, resource, conservation, and environmental issues.

Resources for the Future is now organized into two divisions, the Energy and Natural Resources Division, and the Quality of the Environment Division. There are also two centers, the National Center for Food and Agricultural Policy, and the Center for Risk Management. Well-known experts on resource and environmental issues such as Marion Clawson, Hans Landsberg, John Krutilla, and Allen Kneese are long-time staff members or "fellows" of RFF. The organization also supports resource and environmental research by non-staff scholars through visiting fellowships and its small grants program, and the range of these activities exceeds RFF's original mandate. RFF publishes an annual report and a quarterly journal called *Resources*. The annual report lists the year's publications by resident staff, making it a good reference source for up-to-date materials on a wide range of resource and environmental issues. *Resources* publishes

short articles, mostly by staff members or visiting fellows, and a quarterly report entitled "Inside RFF."

Gerald L. Young

## Respiration

On a cellular level, respiration is the chemical process by which food molecules are oxidized to release energy. More specifically, the oxidation of food within cells involving consumption of oxygen and production of carbon dioxide, water, and chemical energy in the form of ATP (adenosine triphosphate). Cellular respiration is, therefore, the reverse of photosynthesis, the process by which carbon dioxide and water are combined to form complex carbohydrate molecules.

On a larger physiologic level, respiration refers to the act of inhaling and exhaling. Respiratory diseases are among the most common of all environmental illnesses. Inhaling particulate matter in polluted air may result, for example, in various forms of pneumoconiosis.

## Respiratory diseases

Respiratory diseases—diseases of the lungs and airways such as asthma, chronic bronchitis, emphysema, and lung cancer—have diverse causes. While cigarette smoking is the leading cause of most major respiratory diseases, air pollutants and workplace toxins can also contribute to respiratory illness.

The lungs are equipped with an elaborate defense system to repel toxins and invading organisms. Before air reaches the lungs, it passes through the nose, throat, and bronchi that are lined with mucus to trap irritants. Within the bronchi, smaller bronchial tubes and bronchioles are covered with cilia that sweep particles out. Lungs are far from vulnerable, however. The defenses can fail, leading to any number of respiratory diseases.

Cigarette smoking is the most important cause of Chronic Obstructive Pulmonary Disease (COPD), a name covering pulmonary emphysema and chronic bronchitis. These lung diseases damage the air passages and interfere with the lung's ability to function.

COPD is the fifth leading cause of death in the United States, and in a smoking, aging population, will probably not decline.

Chronic bronchitis is a persistent inflammation of the bronchial tubes. Mucus cells of the bronchial tree produce excess mucus, and the lungs may become permanently filled with fluid. Eventually, scar tissue from infection replaces the cilia, decreasing the lungs' efficiency. With each infection, mucus clogs the alveoli, or air sacs. Little or no gas exchange occurs, and the ventilation-blood flow imbalance reduces oxygen levels and raises carbon dioxide levels in the blood.

Chronic bronchitis usually accompanies the development of emphysema. The destruction of too many elastic fibers in the lungs' framework and air sac walls results in hyperinflated air sacs that impair the lungs' ability to recoil during expiration. Eventually, the alveoli merge into one large air sac, and the network of capillaries in the lungs is lost. This reduces gas exchange that occurs, and stale air is trapped in the lungs.

These two COPDs are not curable, but can be treated. Patients can prevent pulmonary infections and the inhalation of harmful substances, reduce airway obstruction, improve muscle conditioning and use supplemental oxygen.

Asthma is a disease characterized by a narrowing of the airways, episodic wheezing, tightness in the chest, shortness of breath, and coughing. There are various types of asthma, including exercise-induced asthma, occupational asthma triggered by irritants in the workplace, and asthmatic bronchitis. Trigger factors can include dust, odors, cold air, sulfur dioxide fumes, emotional stress, upper-respiratory infection, exertion, and airborne allergens. Asthma is often preventable if trigger factors can be identified and eliminated.

Scientists are also turning their attention to health hazards posed by atmospheric acids and other air pollutants. One study at seventy-nine southern Ontario hospitals showed a consistent association between the summer levels of atmospheric sulfates and ozone and hospital admissions for acute respiratory illnesses such as asthma, chronic bronchitis, and emphysema.

This "acid air" forms when emissions of sulfur dioxide and nitrogen oxides, mostly from coal-burning power plants and motor vehicles, are transformed to sulfuric and nitric acids. Acid aerosol concentrations tend to be higher on hot summer days. The fine acid particles can penetrate the deepest, most delicate tissues of the lungs, inflame respiratory-tract tissue, depress pulmonary function, and constrict air passages. Health effects are more pronounced when acid aerosols are accompanied by ozone in the lower atmosphere. Together,

ozone and acid aerosols produce changes in the lungs that inhibit their ability to clear themselves of toxins and other irritants.

High levels of air pollution may foster respiratory-disease symptoms in otherwise healthy individuals. One study indicates that air pollution in Los Angeles may begin to permanently "derange" an individual's lung cellular architecture by age fourteen. Another study has implicated wood-burning stoves, which foul the air with tiny particulates that may cause or exacerbate outbreaks of respiratory illnesses. An environmental team studying respiratory problems at a Boston-area high school implicated the school's poorly designed ventilation system as the most likely cause of the students' high rate of respiratory illnesses.

Workplace-related respiratory diseases are on the increase. In 1990, the National Safety Council listed occupational lung diseases as the leading work-related diseases in this country. The Chicago-based National Safe Workplace Institute recently concluded that 2–4 percent of pulmonary disease is related to working conditions. Diseases that top the list include asbestosis, lung cancer, silicosis, occupational asthma, and coal workers' pneumoconiosis, commonly known as black lung disease.

Asbestosis is a chronic fibrotic lung disease caused by the inhalation of inert dusts. This affliction has received widespread media coverage because the majority of its victims have been subjected to long-term exposure to asbestos. Asbestosis causes a scarring of lung tissue that can result in serious shortness of breath. Silicosis is a disease of the lungs caused by breathing in dust that contains silica. Black lung disease is a long-term lung disease caused by the settlement of coal dust on the lungs, eventually resulting in emphysema.

Insurance data indicate that people in certain high-risk occupations, including agriculture, construction, mining, and quarrying have three to four times the average death rate for all industries, mostly from respiratory diseases.

Residential homes are also vulnerable. Chlorine bleach and cleaning fluids, insecticides, wood-burning fireplaces, and gas stoves that produce nitrogen dioxide can be toxic to the lungs. In 2010, the U.S. Centers for Disease Control and Prevention (CDC) reported that approximately 196,000 new cases of lung cancer were diagnosed in the United States in 2006 (the latest year of statistics available for lung cancer). Broken down by gender, some 106,374 men and 90,080 women were diagnosed in 2006. The causes of those nearly 200,000 new cases of lung cancer include exposure to cigarette

smoke, ionizing radiation, heavy metals, and industrial carcinogens.

See also Air quality; Automobile emissions; Fibrosis; Respiration; Sick Building Syndrome; Smog; Smoke; Yokkaichi asthma.

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## Restoration ecology

Ecological restoration is an attempt to reset the ecological clock and return a damaged ecosystem to its predisturbance state—to turn a disused farm into a prairie or to convert a parcel of low-lying acreage into a vigorous wetland. Precise replication of the predisturbance condition is unlikely to occur because each ecosystem is the result of a sequence of climatic and biological events unrepeatably in precisely the same order and intensity as the original sequence. However, close approximations of the predisturbance condition are often possible, with differences from the original apparent only to professionals.

Within this limitation, restorationists strive to rebuild ecosystems that, if not exactly like their original predecessors, possess the qualities of a healthy ecosystem. These properties include:

- dominance of indigenous (native) species
- sustainability or the ability to perpetuate
- resistance to invasion by non-native or pest species
- the presence of healthy functions such as photosynthesis, respiration, and plant and animal reproduction.

- the ability to generate nutrients such as nitrogen (N) and store them in the ecosystem
- a pattern of interaction between key species similar to the pattern found in undisturbed ecosystems, including relationships in the food chain

Regrettably, the term restoration as used in the media and by organizations responsible for ecological damage often refers to cosmetic activity—the clean up of oil, for instance, with the expectation that once a substantial amount of spilled oil has been removed, natural processes will restore the ecosystem to its former condition. Merely re-creating the form of an ecosystem without attention to whether it is functioning is not considered to be true ecological restoration. Developers also abused the term restoration when acquiring land for malls or residences in exchange for creating equivalent or larger wetlands elsewhere. Instead of functioning restorations, the developers often carry out substandard cosmetic restoration efforts.

Restoration ecology developed in the twentieth century as a response to habitat destruction brought about by industrialization, overpopulation, and agricultural mismanagement. Pioneering biologists, such as American ecologist Aldo Leopold (1887–1948), began to advocate an approach to healing damaged ecosystems that involved direct human intervention.

Moreover, restoration ecology is more than just applied science that uses the insights of ecology to build ecosystems. It is becoming an essential research tool to test the accuracy of ecological theories through direct experience. For example, the role of fire in the maintenance of prairie ecosystems has come to be better understood through its application on restored tracts that were failing to thrive.

As habitat loss accelerates, restoration takes on increasing importance as means of preserving habitat for threatened species, rekindling lost biodiversity, restoring mineral balance to eroded and infertile lands, improving water quality, and preserving atmospheric gas balance. The task of restoration, seen as rather abstract in years past, is now viewed more concretely as the consequences of destruction of habitat begin to be understood. One issue that complicates our understanding of ecosystem development and maintenance is that of climate change. With the increasing amount of greenhouse gases in the atmosphere, a gradual warming of the Earth's surface and atmosphere is occurring. Climate change is affecting biodiversity through increased temperatures and altered weather patterns inducing droughts and flooding in different areas of the world.

Although the specific stages of a restoration project depend on the initial state of the land and the desired end, a typical restoration project begins with certain steps to create the conditions necessary for natural processes to gain a foothold. On eroded and compacted soils the first step is often to physically loosen the damaged soil by tearing or furrowing. This promotes water retention and creates suitable micro-environments for plant seeds. On depleted land, it also might be necessary to add fertilizer or organic mulch to provide initial replenishment of nutrients such as nitrogen and phosphorus (P).

Suitable plant seeds, preferably from indigenous species, are then introduced. These are usually key varieties termed matrix species. Nurse species that create the conditions needed to hasten growth of other plants (a process termed facilitation) may be introduced. Sometimes a sequence of species must be introduced in a specific order. For example, on clay mining wastes, the best growth is obtained by planting annual grasses and legumes, then perennial grasses a year later.

Often animal colonization is left to nature, especially if the site under restoration is near other predis-turbance sites. Management of the site to monitor its progress and to remove unwanted invading species is usually carried out in succeeding months and years. If a restoration effort is successful, the site gradually returns to its natural state, and the need for further intervention decreases.

Ecological restoration requires contributions from a large number of academic disciplines, although the precise mixture will vary from one restoration project to another. The term restoration ecology is misleading in suggesting that the activity is for ecologists only. All restoration projects require some degree of funding, and large-scale projects, such as surface mine reclamation or restoration of a wetland, river, or lake, may require substantial multi-year funding. In addition, if the restored ecosystem is to be protected from further damage, public understanding and support are necessary. As a consequence, disciplines that study the values of society and identify those that align with ecological values are extremely important for large-scale, long-term successful restoration efforts.

Equally important is the knowledge obtained from climatologists, chemists, engineers (where restructuring is necessary), hydrologists, geologists, statisticians, forestry and wildlife specialists, geneticists, soils and sediment chemists, political scientists, attorneys, and a variety of other professions. Historians and anthropologists often have a crucial role in

restoration because the history of ecological damage may be reconstructed with historic evidence, both written and through cultural and biological artifacts and relics.

The role of private citizens in a restoration effort often is pivotal. Community leaders may provide funds toward carrying out restoration, while the work of local volunteers helps to connect the community with the organizations and institutions administering a project. Community involvement also provides a project with a long-term focus months or years after the initial effort is complete. Moreover, the labor-intensive task of restoration seems to thrive when it is carried out by volunteers and concerned individuals working together with specialists.

Although underlying theory in the field of restoration ecology is still in its infancy and the precise outcome of a project is almost always uncertain, restoration efforts virtually all result in improvement to damaged environments. The condition of a restored system may be strikingly superior to the damaged condition. For example, the tidal Thames River in England had virtually no fish species in the 1950s. However, many years after pollution clean-up and restoration, over one hundred species were found in the tidal area. In the United States, Lake Washington in the Pacific Northwest, the Kissimmee River in Florida, the Rio Blanco in Colorado, and the Hackensack River Meadowlands in the New York metropolitan area are examples of successful ecological pollution clean-ups and restoration. Many of these efforts were citizen-initiated.

The Society for Ecological Restoration (SER) International, established in 1988, is a non-profit organization that consists of interdisciplinary individuals and organizations focused on the repair, management, and monitoring of ecosystems. The SER has twenty-three hundred members in thirty-seven countries and in all fifty U.S. states. The organization's mission is "to promote ecological restoration as a means of sustaining the diversity of life on Earth and reestablishing an ecologically healthy relationship between nature and culture." Through conferences and journal publications, the organization assembles scientists, environmental activists, teachers, volunteers, and others to promote awareness and broadcast research findings for improving the process of restoring the world's damaged or degraded ecosystems.

The United States Department of Agriculture Fish and Wildlife Service (USFWS) has undertaken a new approach to restoring waterways, lakes, and wetlands looking at the processes that impact these ecosystems from a larger watershed perspective. Identifying sources

of pollution and environmental stress at the level of the watershed allows scientists to incorporate study of the surrounding land and its effects on habitat destruction in the at risk body of water. An example where watershed analysis successfully provided the necessary information for ecological restoration is in the restoration of stream corridors in the Whitefish Mountains of Montana.

Another success story is the restoration of the Lanphere Dunes Unit of the Humboldt Bay National Wildlife Refuge by the Nature Conservancy and its partners. The major challenge in the Lanphere Dunes was eradication of a ten-acre (four-hectare) patch of non-native invasive grass termed European beachgrass (*Ammophila arenaria*). European beachgrass is destructive to dunes because it changes the way that sand accumulates. This change alters the suitability of the habitat for native plants. The elimination of the non-native grass and restoration of native plant communities was accomplished with more than two thousand person hours per acre of volunteer labor over the course of three years. By 1997, native plant cover had increased by almost 50 percent.

Similar international restorations, such as the Guanacaste dry forest in Costa Rica, show that citizens of developing countries with far fewer monetary resources than the United States can also have strong involvement in ecological restoration. In a time of environmental attrition, the restoration movement plays a role in shaping the future by helping citizens develop a feeling of connection between themselves and their wild lands, while providing concrete improvements in ecological conditions.

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## Retention time

Retention time refers to the time chemicals remain detectable in a location or sample. The term is used interchangeably with residence or renewal time, which in limnology (aquatic ecology) describes the length of time a water molecule or a chemical resides in a body of water. The residence time of water is called the hydraulic retention time. It may range from days to hundreds of years, depending on the volume of the lake and rates of inflow and outflow, and is often used in calculations of nutrient loading. Retention time can also influence the length of time needed to detoxify harmful substances or the time required to break down hazardous chemicals in pharmaceutical or sewage treatment plants; the length of time chemicals stay in a living organism; or the amounts needed and time required to detect molecules via gas chromatography residual testing.

## Reuse

Reuse, using a product more than once in its original form, is one of the preferred methods of solid waste management because it prevents materials from becoming part of the waste stream. It is a form of source reduction of waste.

Some products are specifically designed to be reusable while others are commonly reused as a matter of convenience. In the former instance, products such as canvas shopping bags and cloth napkins are meant to be used again and again, unlike their paper cousins. In the latter case, glass and plastic food containers are often reused for numerous household purposes, even though they are intended to be used only as food packaging.

Reuse of products is a basic component of diaper services and home bottled water delivery. Although neither of these services were originally created with waste reduction in mind, they do impact solid waste generation. A cotton diaper can be used 70 or more times, unlike a single-use disposable diaper. The three and five gallon bottles used by most bottled water services are picked up and taken back to the water plant for refilling, eliminating the need for consumers to buy individual gallon containers in supermarkets.

Deposit systems for beer and soft drink containers represent another form of product reuse. In these systems, consumers pay a nominal fee when they purchase beverages sold in specific types of containers. The fee is refunded when they return the empty containers to the retailer or other designated location. Virtually all beer and soft drinks used to be sold in returnable bottles. During the 1950s, the use of nonreturnable bottles and metal cans started to be more commonplace. By the mid-1980s, most beverages were packaged in nonreturnable bottles, cans, or plastic containers.

Some states have varying legislation related to deposits on beverage containers. The deposits are meant to be incentives to consumers to return the bottles. Where such legislation exists, between 70 and 90 percent of the targeted containers are returned. Litter control rather than solid waste reduction is sometimes the purpose of beverage container deposit legislation. Reduction in litter has been documented to be as high as 80 percent, but the impact on solid waste disposal is more difficult to calculate since beverage containers account for a relatively small portion of the waste stream.

Another type of product reuse is resale of used clothing and household items through thrift stores and garage sales. Although calculating the impact of such practices on waste generation would be extremely difficult, there is no doubt that they result in some reduction in waste disposal.

See also Recyclables; Recycling; Waste reduction.

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Revegetation see **Restoration ecology**.

## Rhinoceroses

Popularly called rhinos, rhinoceroses are heavily-built, thick-skinned herbivores with one or two horns on their snout and three toes on their feet. The family Rhinocerotidae includes five species found in Asia and Africa, all of which face extinction.

The two-ton, one-horned Great Indian rhinoceroses (*Rhinoceros unicornis*) are shy and inoffensive animals that seldom act aggressively. These rhinos were once abundant in Pakistan, northern India, Nepal, Bangladesh, and Bhutan. Today, there are about 2,400 Great Indian rhinos left in two game reserves in Assam, India, and in Nepal. The smaller one-horned Javan rhinoceros (*Rhinoceros sondaicus*) is the only species in which the females are hornless. Once ranging throughout southeast Asia, Javan rhinos are now on the verge of extinction, with only 60 living on reserves in Java and Vietnam.

The Sumatran rhinoceros (*Didermocerus sumatrensis*), the smallest of the rhino family, has two horns and a hairy hide. There are two subspecies—*D. s. sumatrensis* (found in Sumatra and Borneo) and *D. s. lasiotis*—found in Thailand, Malaysia, and Burma. Sumatran rhinos are found in hilly jungle terrain and once coexisted in southeast Asia with Javan rhinos. Now there are less than 300 Sumatran rhinos left.

The two-horned, white, or square-lipped, rhinoceros (*Ceratotherium simum*) of the African savanna is the largest land mammal after the African elephant, standing 7 feet (2 m) at the shoulder and weighing more than 3 tons. White rhinos have a wide upper lip for grazing. There are two subspecies: the northern white (*C. s. cottoni*) and the southern white (*C. s. simum*). Once common in the Sudan, Uganda, and Zaire, northern white rhinos are now extremely rare, with less than ten left in the wild (another ten reside in zoos). Southern African white rhinos are faring somewhat better (7,500) and are the world's most common rhino.

The smaller two-horned black rhinoceros (*Diceros bicornis*) has a pointed upper lip for feeding on leaves



**The white rhinoceros, or square-lipped rhinoceros, (*Ceratotherium simum*) is one of the few megafauna species left.** (©searagen/Image from BigStockPhoto.com.)

and twigs. Black rhinos can be aggressive but their poor eyesight makes for blundering charges. Black rhinos (which are actually dark brown) were once common throughout sub-Saharan Africa but are now found only in Kenya, Zimbabwe, Namibia, and South Africa. Today, there are only 3,000 black rhinos left in the wild, compared to 100,000 30 years ago.

Widespread poaching has diminished rhino populations. The animals are slaughtered for their horns, which are made of hardened, compressed hair-like fibers. In Asia, the horn is prized for its supposed medicinal properties, and powdered horn brings \$28,000 per kg. In Yemen, a dagger handle made of rhino horn can command more than \$1,000. As a result, rhinos now survive only where there is strict protection from poachers. Captive breeding programs for endangered rhinos are hindered by the general lack of breeding success for most species in zoos and a painfully slow reproduction rate of only one calf every three to five years. The present world rhino population of about 16,000 is little more than half the estimated safe long-term survival number of 22,500.

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Neil Cumberlidge

## Ribonucleic acid

Ribonucleic acid (RNA) exists as a long polymer constructed of four kinds of nucleotides. Ribonucleic acids are ordinarily involved in the conversion of genetic information from DNA into protein: information flows from the genetic material via RNA for the fabrication of an organism. Ribosomes are cytoplasmic particles structured of protein and ribosomal RNA (rRNA) and are the sites of protein synthesis. Messenger RNA (mRNA), transcribed from genomic DNA, carries genetic information to the ribosomes. In addition, there are about twenty transfer RNAs (tRNA), which bind to specific amino acids and to particular regions of mRNA for the assembly of amino acids into proteins. The genetic material of some viruses is RNA.

Similar to DNA (deoxyribonucleic acid), RNA encodes information along its length as a series of molecular subunits (nucleotides). The earliest forms of life probably used only RNA as their hereditary material; this hypothetical stage of life's history is termed the RNA world.

## Richards, Ellen H.

1842–1911  
American chemist

Ellen Swallow Richards was born in Ipswich, Massachusetts, and was a daughter of Peter and Fanny Swallow, who were school-teachers and part-time farmers. Ellen Swallow obtained a Baccalaureate (the equivalent of an undergraduate degree) from Vassar Female College, and in 1870 she became the first woman to be accepted as a student by the Massachusetts Institute of Technology (MIT). Founded in Boston in 1865, MIT had rapidly become a highly regarded school of engineering and applied science. In 1873, she graduated as a Bachelor of Science in chemistry and in the same year received a Master of

Arts degree from Vassar, based on a thesis involving examination of the vanadium content of an iron ore.

Swallow then spent several years studying towards a doctorate at MIT, but did not graduate, apparently because the faculty members of the department in which she was studying, all of whom were men, were unwilling to allow a woman to be the first-ever Doctor of Science graduate from their program. Ellen Swallow was undaunted by this and other forms of gender bias that were pervasive at the time. She went on to become a pioneer in the development of the new engineering field of public sanitation, and was a strong advocate of domestic science and home economics.

In 1875, Swallow married Robert Hallowell Richards, a professor of metallurgy and mining engineering at M.I.T. The couple shared a devotion to science and to each other, but had no children. Ellen Richards collaborated with her husband in his metallurgical and mining work, and her contributions in those fields were recognized later on, when she became the first woman to be elected to the American Institute of Mining and Metallurgical Engineers.

Ellen Richards understood that one of her major responsibilities was to foster the scientific education of American women. She was central in the establishment of a Women's Laboratory at M.I.T., as well as other facilities and programs that made important contributions towards allowing women to pursue careers in science. Some of her early research involved investigations of household and food chemistry and food additives. In 1882, she published *The Chemistry of Cooking and Cleaning*, and, in 1885, *Food Materials and Their Adulterations*.

In 1884, Richards was appointed to a position in a new chemical laboratory at M.I.T. that specialized in the study of public sanitation. She held this position for twenty-seven years until her death in 1911. One of her initial accomplishments was running the chemical laboratory responsible for the first survey of lakes and rivers in Massachusetts, an extensive study that became a classic in its field.

In 1890, M.I.T. established a ground breaking program in sanitary engineering in which Ellen Richards taught the chemistry and analyses of water, sewage, and atmosphere. In collaboration with A. G. Woodman, in 1900 she published *Air, Water, and Food Chemistry for Colleges*, a textbook in support of the teaching of public sanitation. Her most important scientific contributions were in the field of water sanitation. She was, for example, an influential advocate of the chlorination of drinking water to kill pathogenic bacteria. This practice was

critical in lowering the high death rates that were being caused by drinking unsanitary water in most towns and cities of the time.

After about 1890, Richard's interests increasingly focused on what was to become known as the "home economics movement." This involved the study and teaching of nutrition, food preparation, household sanitation and hygiene, and related subjects, mostly in the public school system. The home economics movement can be likened to a domestic-science literacy program for the masses, and it became an important means by which urban women learned how to run economical and healthy households. Richards wrote numerous papers and several books in this subject area, including *Home Sanitation: A Manual for Housekeepers* in 1887, *Domestic Economy as a Factor in Public Education* in 1889, and *Euthenics: The Science of Controllable Environments* in 1912. Richards is considered a parent of home economics in the United States. For this contribution, she is indirectly responsible for many pervasive improvements in living conditions throughout America and in other countries where home economics has become a popular subject in school curricula.

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Bill Freedman

## Right-to-know

In the United States, many states and local governments, as well as the federal government, have passed legislation, referred to as right-to-know laws, that requires the release of information on hazards associated with chemicals produced or used in a given facility. Most right-to-know laws address both community and employee access to information about potential hazards. Requirements of these laws usually include providing public access to information on hazardous materials present, conducting inventories or surveys, establishing record-keeping and exposure reporting systems, and complying with labeling

regulations. Notification of emergency releases of hazardous substances into the environment is also required under right-to-know laws, such as the Federal Emergency Planning and Community Right-to-Know Act of 1986 (EPCRA).

Rio Conference see **United Nations Earth Summit (1992)**.

## Riparian land

Riparian land refers to terrain that is adjacent to rivers and streams and is subject to periodic or occasional flooding. The plant species that grow in riparian areas are adapted to tolerate conditions of periodically waterlogged soils. Riparian lands are generally linear in shape and may occur as narrow strips of streambank vegetation in dry regions of the American Southwest or as large expanses of bottomland hardwood forests in the wetter Southeast. The ecosystems of riparian areas are generally called riparian wetlands. In the western United States, riparian vegetation generally includes willows, cottonwoods, saltcedar, tamarisk, and mesquite, depending on the degree of dryness.

The riparian zone of bottomland hardwood forests can be differentiated into several zones based on the frequency of flooding and degree of wetness of the soils. Proceeding away from the channel of the river, the zones may be described as follows: intermittently exposed, semipermanently flooded, seasonally flooded, temporarily flooded, and intermittently flooded. The vegetation of each zone is adapted to survive and thrive under the conditions of flooding peculiar to that zone. Because of the irregularities in topography and the formation of streambank levees that are normal to any landscape, it is rare that these zones always occur in the same predictable sequence.

Intermittently exposed zones have standing water present throughout the year and the vegetation grows in saturated soil throughout the growing season. Bald cypress and water tupelo are typical trees of this zone and have adaptations such as stilt roots and anaerobic root respiration to cope with the permanently flooded conditions. Semipermanently flooded zones have standing water or saturated soils through most of the year, and flooding duration may last more than six to eight weeks of the growing season. Black willow and silver maple are abundant tree species of this zone. Seasonally flooded zones are areas where flooding is

usually present for three to six weeks of the growing season. A number of hardwood tree species thrive in this zone, including green ash, American elm, sweetgum, and laurel oak. Temporarily flooded zones have saturated soils for one to three weeks of the growing season. For the rest of the year, the water table will be well below the soil surface. Many oaks, such as swamp chestnut oak and water oak, as well as hickories may be found here. Intermittently flooded zones are areas where soil saturation is rarely present and flooding occurs with no predictable frequency. This is an area that may actually be difficult to distinguish from the adjacent uplands. Many transitional and upland species such as eastern red cedar, beech, sassafras, and hop-hornbeam are common.

For all riparian wetlands in the field, these zones of moisture and vegetation gradients occur in an overlapping, intergrading fashion, and the plant species are distributed in varying degrees throughout the riparian zone. Riparian wetlands are valued for their specialized plant life and wildlife values. They are recognized as interfaces where uplands and aquatic areas meet to form intermediate ecosystems that are themselves unique in their diversity, productivity, and function. They also provide significant economic benefits by minimizing flood and erosion damage.

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Usha Vedagiri

## Riparian rights

Riparian rights are the rights of persons who own land bordering a river, bay, or other natural surface water. A riparian right entitles the property owner to the use of both the shore and the bed as well as the water upon it. Such rights, however, may not be exercised to the detriment of others with similar rights to

the same watercourse. As Sir William Blackstone observed in his *Commentaries on the Laws of England* (1765–1769), “If a stream be unoccupied, I may erect a mill thereon, and detain the water; yet not so as to injure my neighbor’s prior mill, or his meadow; for he hath by the first occupancy acquired a property in the current.”

Riparian rights, or riparianism, is a legal doctrine used in the eastern United States to govern water claims. Riparian landowners have rights to the use of the water adjoining their property, but not to groundwater or artificial waterways such as canals. Riparian rights were once subject to the “natural flow doctrine,” which held that the right-holder could use and divert the water adjoining his or her property at will unless this use diminished the quantity or quality of the natural flow to other right-holders. Since almost any use could be said to diminish the natural flow, this doctrine has given way to the “reasonable use” rule. David H. Getches explains the “reasonable use” rules in this way: “If there is insufficient water to satisfy the reasonable needs of all riparians, all must reduce usage of water in proportion to their rights, usually based on the amount of land they own.”

In the arid western states, water rights are governed by the “prior appropriation” doctrine, under which rights are derived from the first use of water rather than ownership of riparian property. If someone bought land on a river and simply let it flow past, for instance, someone else could acquire a right to that water by putting it to use. The right remains in force, furthermore, unless the right-holder abandons the use of the water. Prior appropriation rights may also be transferred, and some cities in Arizona and elsewhere in the arid Southwest have recently taken advantage of this option by buying large ranches with established water rights in order to secure water supplies for their residents.

California has given its name to a hybrid system, followed in several states, that combines features of the riparian and prior appropriation doctrines. Whatever the system, water rights are limited by the “reserved rights doctrine,” intended to assure adequate water for Native American reservations and public lands.

State and federal agencies have the legal right to regulate land use in the protection of riparian areas. Some states have established setbacks, or buffer zones, along shorelines or riverfronts that regulate development activities within the area. For example, in 1996, Massachusetts enacted the Rivers Protection Act that required property owners to follow a permitting procedure before developing any part of a corridor of 200

feet (61 m) along a riverfront or stream. New Hampshire, Wisconsin, and Montana are among the other states that have implemented state regulations of activity in riparian areas. Some of these state regulatory actions have been challenged in the federal court system as violating the Fifth Amendment constitutional right of citizens to be protected from “takings” by any government entity. Takings law prohibits the government from appropriating property for a non-public use or from failing to provide the owner with suitable and just compensation. The placement of development, the destruction of wetlands, and the amounts of fair compensation to property owners are common points of contention in court cases that challenge the government’s ability to control development in riparian areas. For example, the Federal Circuit Court determined that a mining company in Florida was due compensation when it was prohibited from removing minerals from a portion, but not all of its property located in a wetland. The Court ruled that the restriction placed on the company constituted a “partial takings,” because it forced the company to bear an expense that was the public’s responsibility.

Other issues that have been increasingly contested in the courts are the rights of private property owners to build docks on their waterfront property. In the 2001 case in New York, *Stutchin vs. the Village of Lloyd Harbor*, the plaintiffs charged that the action by the Village to deny them the right to build a longer dock than the regulations allowed constituted a takings because the action denied them the use of their riparian rights. Riparian rights include the right to wharf out to a navigable depth as long as navigation is not impeded. The Village was acting on the regulations developed along the guidelines of the New York Coastal Management Program, which assists communities in protection of coastal resources. The New York district court ruled that the municipality of Lloyd Harbor had the authority and the right to restrict the length of the private dock because the plaintiff’s access to the water was not eliminated, and the local jurisdiction has the right to insure that public rights are protected. The judge saw that the construction of the planned dock would interfere with navigation and pose a threat to the natural resources of the area.

*See also* Irrigation; Water allocation; Water conservation; Water resources; Water table draw-down.

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## Risk analysis

Risk is the chance that something undesirable will happen. Everyone faces risk daily. While an unpleasant, but they all can and do happen occasionally, some more frequently than others. Even though all risk is unpleasant, the consequences of being struck by an automobile are much more serious than those of catching a cold. Most people would do more and pay more to avoid the risks they consider most serious. Thus, risk has two important components: (1) the consequences of an event and (2) its probability. In addition, while the threat of lightning has always been present, the possibility of being struck by a car emerged only in the last century. Modern risks are constantly evolving.

Events that challenge the health of ecological systems are also becoming apparent. Ecosystems have always faced the risk of severe damage from fire, flooding, and volcanoes. More recently, however, population increases, especially in cities; global climate change; deforestation; acid rain; pesticides; and sewage, garbage, and industrial waste disposal have all threatened ecosystems.

Healthy ecosystems supply air, water, food, and raw materials that make life possible; they also process the wastes human societies produce. For these compelling reasons, we must protect them. In the United States, the National Environmental Policy Act, the Toxic Substances Control Act, the Clean Water Act, the Federal Insecticide, Fungicide, and Rodenticide Act, and other legislation has been passed by Congress to protect the environment.

Risk analysis can determine whether proposed actions will damage ecosystems. This screening process evaluates plans that might prove destructive, and allows people to make informed decisions about which would be most environmentally sound. Proposed utilities,

roads, waste-disposal sites, factories, and even new products can use risk analysis to address environmental concerns during planning stages, when changes are most easily made. The process also allows people to rank environmental problems and allocate attention, resources, and corrective efforts.

Risk analyses are made by both scholars and government decision makers. Scientists are interested in a thorough understanding of the way ecosystems function, but decision makers need quick and efficient tools for making choices.

Scholarly approaches take many forms: Synoptic surveys assess the characteristics of stressed and unstressed natural systems. Experiments determine how the whole or one part of an ecosystem (such as fish) will respond to stress. Extrapolations apply specific observations to other ecosystems, chemicals, or properties of interest by analyzing dose-response curves, establishing relationships between the molecular structure of a chemical and its likely environmental effects, or simulation of entire ecosystems on a computer. Using these tools, scientists can also measure change in ecosystems and translate the results for the general public.

A less precise method, often used by public officials and other nonscientists, is called ecosystem risk assessment. It uses available toxicological, ecological, geological, geographical, chemical, and sociological information to estimate possible damage. The process has three steps:

- The problem is identified. For example: could nutrient runoff from local agriculture affect commercial fishing on a nearby lake?
- Available scientific information is gathered to predict both the level of stress that could result and the likely ecosystem response. Where do the nutrients go and how are organisms exposed to them? How does increasing nutrient levels affect biological systems, especially fish?
- Risk is quantified by comparing exposure and effects data. If the predicted stress level is lower than those known to cause serious damage, risk is low. On the other hand, if the predicted stress is higher, risk is high.

Despite the reams of data available, there is never enough information about the possible effects of any stress. An assessment based squarely on facts is more reliable than one that uses scarce, preliminary information. Anyone charged with making decisions must weigh all options.

The possibility of an undesirable occurrence, the seriousness of the consequences, and the uncertainty involved in any prediction all factor into the estimate. Alternative actions and their risks and benefits must also be considered, and the consistency of the action balanced with other societal goals. An action's risks and benefits are often not distributed evenly in society. For example, people sharing the water table with a proposed landfill may shoulder more risk, while those who use many nonrecyclable consumer products may benefit disproportionately. Who benefits and who loses can also affect decisions.

Risk predictions are similar to weather forecasts—they are based on careful observation and are useful, but they are far from perfect. They indicate useful precautions—whether these involve carrying an umbrella or treating waste before it enters the water. They help us understand ecosystems and allow us to consider the environment before potentially harmful action is taken. If ecological risks are considered when decisions are being made, ecosystems on which people depend can be protected.

*See also* Environmental policy; Environmental stress; Greenhouse effect; Industrial waste treatment; Sewage treatment; Solid waste.

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John Cairns Jr.  
B. R. Neiderlehner

## Risk assessment (public health)

Risk assessment refers to the process by which the short and long-term adverse consequences to individuals or groups in a particular area resulting from the use of specific technology, chemical substance, or natural hazard are determined. Generally, quantitative methods are used to predict the number of affected individuals, morbidity or mortality, or other outcome measures of adverse consequences. Many risk assessments have been completed over the last two decades to predict human and ecological impacts with the intent of aiding policy and regulatory decisions. Well-known examples of risk assessments include evaluating potential effects of herbicides and insecticides, nuclear power plants, incinerators, dams (including dam failures), automobile pollution, tobacco smoking, and such natural catastrophes as volcanoes, earthquakes, and hurricanes. Risk assessment studies often consider financial and economic factors as well.

### Human health risk assessments

Human health risk assessments for chemical substances that are suspected or known to have toxic or carcinogenic effects is one critical and especially controversial subset of risk assessments. These health risk assessments study small populations that have been exposed to the chemical in question. Health effects are then extrapolated to predict health impacts in large populations or to the general public who may be exposed to lower concentrations of the same chemical.

One mathematical formula that determines an individual's risk from chemical exposures is:

$$\text{Risk} = (\text{emissions}) \times (\text{transport}) \times (\text{loss factor}) \times (\text{exposure period}) \times (\text{uptake}) \times (\text{toxicity factor})$$

For the case of a hazardous waste incinerator, emissions might be average smokestack emissions of gas; the transport term represents dilution in the air from the stack to the community; the loss factor might represent chemical degradation of reactive contaminants as stack

gases are transported in the atmosphere; the exposure period is the number of hours that the community is downwind of the incinerator; uptake is the amount of contaminants absorbed into the lung (a function of breathing rate and other factors); and toxicity is the chemical potency. Multiplying these factors indicates the probability of a specific adverse health impact caused by contaminants from the incinerator. In typical applications, such models give the incremental lifetime risk of cancer or other health hazards in the range of one in a million (equivalent to 0.000001). Cancers currently cause about one-third of deaths, thus, a one in a million probability represents a tiny increase in the total cancer incidence. However, calculated risks can vary over a large range—0.001 to 0.00000001.

While the same equation is used for all individuals, some assumptions regarding uptake and toxicity might be modified for certain individuals such as pregnant women, children, or individuals who are routinely exposed to the chemicals. In some cases, monitoring might be used to verify exposure levels. The equation illustrates the complexity of the risk assessment process.

### Risk assessment process

The risk assessment/management procedure consists of five steps: (1) *Hazard assessment* seeks to identify causative agent(s). Simply put, is the substance toxic and are people exposed to it? The hazard assessment demonstrates the link between human actions and adverse effects. Often, hazard assessment involves a chain of events. For example, the release of pesticide may cause soil and ground water pollution. Drinking contaminated groundwater from the site or skin contact with contaminated soils may therefore result in adverse health effects.

(2) *Dose-response relationships* describe the toxicity of a chemical using models based on human studies (including clinical and epidemiologic approaches) and animal studies. Many studies have indicated a threshold or “no-effect” level, that is, an exposure level where no adverse effects are observed in test populations. Some health impacts may be reversible once the chemical is removed. In the case of potential carcinogens, linear models are used almost exclusively. Risk or potency factors are usually set using animal data, such as experiments with mice exposed to varying levels of the chemical. With a linear dose-response model, a doubling of exposure would double the predicted risk.

(3) *Exposure assessment* identifies the exposed population, detailing the level, duration, and frequency of exposure. Exposure *pathways* of the chemical include ingestion, inhalation, and dermal contact. Human and technological defenses against exposure

must be considered. For example, respirators and other protective equipment reduce workplace exposures. In the case of prospective risk assessments for facilities that are not yet constructed—for example, a proposed hazardous waste incinerator—the exposure assessment uses mathematical models to predict emissions and distribution of contaminants around the site. Probably the largest effort in the risk assessment process is in estimating exposures.

(4) *Risk characterization* determines the overall risk, preferably including quantification of uncertainty. In essence, the factors listed in the equation are multiplied for each chemical and for each affected population. To arrive at the total risk, risks from different exposure pathways and for different chemicals are added. Populations with the maximum risk are identified. To gauge their significance, results are compared to other environmental and societal risks. These four steps constitute the scientific component of risk assessment.

(5) *Risk management* is the final decision-making step. It encompasses the administrative, political, and economic actions taken to decide if and how a particular societal risk is to be reduced to a certain level and at what cost. Risk management in the United States is often an adversarial process involving complicated and often conflicting testimony by expert witnesses. In recent years, a number of disputes have been resolved by mediation.

### Risk management and risk reduction

Options that result from the risk management step include performing no action, product labeling, and placing regulations and bans. Examples of product labeling include warning labels for consumer products, such as those on tobacco products and cigarette advertising, and Material Safety Data Sheets (MSDS) for chemicals in the workplace. Regulations might be used to set maximum permissible levels of chemicals in the air and water (e.g., air and water quality criteria is set by the U.S. Environmental Protection Agency). In the workplace, maximum exposures known as Threshold Limit Values (TLVs) have been set by the U.S. Occupational Safety and Health Administration. Such regulations have been established for hundreds of chemicals. Governments have banned the production of a few materials, including DDT and PCBs, and product liability concerns have largely eliminated sales of some pesticides and most uses of asbestos. An international agreement in 2001 known as the Stockholm Convention on Persistent Organic Pollutants (POPs) banned nine chemicals including pesticides, PCBs, and dioxin from production or use. Persistent organic pollutants accumulate in the tissues of humans and other animals, and are linked to cancer

and reproductive problems. POPs are also spread across worldwide by weather patterns, currents, and migrating animals. Later, the banned list increased a “dirty dozen,” and in 2010, nine more chemicals were either banned or restricted by the agreement. Over 170 nations have become party to the Stockholm Convention; the United States is not a party.

A variety of social and political factors influence the outcome of the risk assessment/management process. Options to reduce risk, like banning a particular pesticide that is a suspected carcinogen, may decrease productivity, profits, and jobs. Furthermore, agricultural losses due to insects or other pests if pesticide is banned might increase malnutrition and death in subsistence economies. In general, risk assessments are most useful when used in a relative or comparative fashion, weighing the benefits of alternative chemicals or agricultural practices to another. Risk management decisions must consider what degree of risk is acceptable, whether it is a voluntary or involuntary risk, and the public’s perception of the risk. A risk level of one in a million is generally considered an acceptable lifetime risk by many federal and state regulatory agencies. This risk level is mathematically equivalent to a decreased life expectancy of forty minutes for an individual with an average expected lifetime of seventy-four years. By comparison, the 40,000 traffic fatalities annually in the United States represent over a 1 percent lifetime chance of dying in a wreck—10,000 times higher than acceptable for a chemical hazard. The discrepancy between what an individual accepts for a chemical hazard in comparison to risks associated with personal choices like driving or smoking might indicate a need for more effective communication about risk management.

Risk assessments are often controversial. Scientific studies and conclusions about risk factors have been questioned. For example, animals are often used to determine dose-response and exposure relationships. Results from these studies are then applied to humans, sometimes without accounting for physiological differences. The scientific ability to accurately predict absolute risks is also poor. The accuracy of predictions might be no better than a factor of 10, thus 10 to 1,000 cancers or other health hazard might be experienced. The uncertainty might be even higher, a factor of 100, for example. Risks due to multiple factors are considered independent and additive. For instance, smoking and asbestos exposure together have been shown to greatly increase health risks than exposure to one factor alone. Conversely, multiple chemicals might inhibit or cancel risks. In nearly all cases, these factors cannot be modeled with our

present knowledge. Finally, assessments often use a worst- case scenario, for example, the complete failure of a pollution control system, rather than a more modest but common failure like operator error.

### Ecological risk assessment

Ecological risk assessments are similar to human health risk assessments but they estimate the severity and extent of ecological effects associated with an exposure to an anthropogenic agent or a perturbational change. Again, the risk estimate is stated in probability terms that reflect the degree of certainty. Ecological assessments tend to be more complex than human health assessments since a variety of dynamic ecological communities or systems may be involved, and these systems have important but often poorly understood interactions and feedback loops. In addition the current status and health of ecological systems must be defined by measurements and analysis before an assessment can begin. In some cases, animal species or ecosystems may be more sensitive than humans. Contingency or hazard assessment resembles that made for human health but focuses on low probability events such as failure of dams, nuclear power plants, and industrial facilities that have the potential for significant public health and welfare damage. Efforts to access these types of hazards that could occur due to intentional acts or terrorism have increased in the 21st century. Finally, risk reduction approaches have been suggested that shift focus from end-of-pipe controls, for instance, pollution control equipment, to preventing pollution in the first place by minimizing waste and recycling.

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Stuart Batterman

Rivers see **Amazon basin; Colorado River; Cuyahoga River; Hudson River; River basins; Wild river.**

## River basins

Recognition of the river as the dominant force in forming basins may be traced back to John Playfair in 1802. In contrast to the leading opinions, Playfair observed that rivers were proportional to valley size and tributaries were accordant, neither of which would be likely unless rivers had created the basins, rather than the other way around. Now known as Playfair’s law, his observation has led to extensive efforts to quantify river basin characteristics.

In 1945, R. E. Horton developed the concept of stream order, and Arthur Strahler further elaborated on the subject. The smallest tributaries are labeled “1,” and when two first order streams converge, they form a second order stream (“2”), and so forth. The ratio of lower order to higher order streams remains remarkably consistent throughout a given basin. Uniformity is the important factor in all of these because it demonstrates that drainage network characteristics are quantitatively consistent.

Renowned geomorphologist William Morris Davis, noted in *Water, Earth, and Man* (1969) that “the river is like the veins of a leaf; broadly viewed, it is like the entire leaf.” There is, however, one critical difference. In the leaf, the flow of water and nutrients is primarily from larger to smaller veins. However, in river basins all matter, good and bad, flows downstream. That is why when urban water systems use rivers, their intakes are located upstream and drainage outlets downstream. Groundwater pollution follows this same downward pathway, though at a far slower pace; it is one of our most serious problems.

The river basin, as part of the hydrologic cycle, is increasingly a technological and social system. For the United States, an estimated 10 percent of the national wealth is devoted to structures involving the movement of water, including dams, irrigation systems, water supply networks, and sewers, with increasingly sophisticated controls.

The importance of river basins is well illustrated by the Tennessee Valley Authority (TVA) project. Launched by the Roosevelt Administration in 1933, it was a massive economic and social effort aimed at the chronic problems of depleted soils, rampant soil erosion, recurrent flooding, and economic desolation. Industrial demand for electricity has subsequently grown so large that hydroelectric power, the initial source, now supplies less than 20 percent of TVA demand.

See also Environmental economics; Sewage treatment; Topography.

Nathan H. Meleen

## River blindness

River blindness is a disease responsible for a high incidence of partial or total blindness in parts of tropical Africa, Central America, and in Yemen. The World Health Organization estimates that about 17 million people are infected with the parasite that causes river blindness, and about one million people have visual impairment or are blind as a result of the disease. Also called *onchocerciasis*, river blindness is caused by infection with *Onchocerca volvulus*, a thread-shaped round worm (a nematode), which is transmitted between people by the biting blackfly *Simulium*. The larvae of *Onchocerca* develop into the infective stage, called *L4*, inside the blackfly and are introduced into humans by the bite of an infected blackfly.

Adult *Onchocerca* (2.5 ft or 0.76 m in length) develop in the connective tissue under the skin of humans. The adult worms lie coiled within subcutaneous nodules several inches in diameter. The nodules are painless and cause little damage, but can be cosmetically unattractive; fortunately, they are easily removed by simple surgery. The more serious health problems associated with onchocerciasis are caused by the release of masses of early-stage larvae, known as *microfilaria*, into the host’s connective tissue under the skin. The mobile larvae spread throughout the body, including the surface tissues of the eyes. It is the



**A young mother blinded by onchocerciasis (“river blindness”). This type of filariasis is caused by the parasitic tiny worms of *Onchocerca volvulus*.** (Andy Crump, TDR, World Health Organization/Photo Researchers, Inc.)

burrowing activities of these larvae that cause the symptoms associated with onchocerciasis—either severe *dermatitis* or blindness. Onchocerciasis can now be treated with drugs such as ivermectin, which kill the larvae, but the blindness is usually permanent.

Avoiding blackfly bites with protective clothing and skin repellents is not a practical control measure on a large scale. The major method of prevention of onchocerciasis in humans is the control of the blackfly intermediate hosts and vectors. The larvae and pupae of blackfly are strictly aquatic and are found only in fast-running water. In Kenya, the larval stages of *S. neavei* have been killed by releasing DDT into streams where the blackflies breed and evolve. However, the waterways must be treated frequently to prevent the re-establishment of blackfly populations, and there have been serious questions raised over the safety of DDT. In West Africa, *S. damnosum* is more difficult to control, since the adults can fly over considerable

distances, and can easily reinfest cleared sites from up to 60 miles (97 km) away.

Onchocerciasis has long been socially debilitating in an eleven-country area of West Africa where both the flies and parasites are abundant. Here, more than one-fifth of all males over the age of thirty may be blind, turning productive people into long-term dependents. The presence of the disease often results in the migration of people away from rivers to higher ground, which they then clear for cultivation. Clearing vegetation in Africa frequently results in soil erosion and the formation of gullies that channel water during heavy rains. The moving water allows blackflies to breed, spreading the disease to the new area. The reappearance of river blindness results in still further human migration, until large areas of badly eroded land are left unpopulated and unproductive, and entire villages are abandoned. The disease has now been brought largely under control by a World Health Organization program begun in 1974, in which 63 million acres (25 million ha) of land have been made safe for resettlement.

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Neil Cumberlidge

## River dolphins

River dolphins are cetaceans, which means that they are related to whales and porpoises. These mammals live only in rivers and waterways of Asia and South America. There are five species of river dolphins belonging to three different families. In Asia the Baiji



**Amazon river dolphin or Boto (*Inia geoffrensis*), Amazon and Orinoco Rivers, South America.** (Gregory Ochocki/Photo Researchers, Inc.)

or Chinese river dolphin (*Lipotes vexillifer*) lives in the lower part of China's Chang Jiang or Yangtze River. On the Indian subcontinent, Indus river dolphins (*Platanista indi*) originally were found throughout almost the entire length of the Indus River, but now are limited to a stretch of that river in the Sind Province of Pakistan. Ganges river dolphins (*Platanista gangetica*) are found throughout the Ganges River, although their numbers are decreasing. In South America the Amazon river dolphin (*Inia geoffrensis*), also called the boto, is found primarily in the Amazon and Orinoco Rivers of Brazil and Venezuela. The South American franciscana river dolphin (*Pontoporia blainvillei*), unlike other river dolphins, is a marine (ocean) dolphin that lives in shallow water close to shore from central Brazil south to Argentina.

The baiji live in China's Yangtze River, one of the busiest waterways in the world. The Chinese have recognized the baiji river dolphin for centuries. The name "baiji," which means "white dolphin," has been found in a Chinese dictionary from 200 B.C. This dolphin is also called the Chinese river dolphin or whitefin dolphin.

Baiji coloring ranges from pale to dark blue-gray on the back and sides. The belly is white or gray-white. The dolphin has a triangular dorsal fin and a snout that continues to grow as it ages.

Newborn calves measure 32–35 inches (about 0.8 m) in length and weigh from 6 to 11 pounds (2.7–5 kg). Adult females are somewhat larger than the males. Adult length ranges from 6.5 to 8 feet (2–2.4 m). Adults weigh from 220 to 355 pounds (100–160 kg).

Indus river dolphins live in a short stretch of the Indus River in Pakistan. Most dolphins live in an area between two dams built during the 1930s. The dams have limited their migration to other parts of the river. Ganges river dolphins live in the Ganges, Meghna, and Brahmaputra rivers in western India, Nepal, Bhutan, and Bangladesh. They are also found in the Karnaphuli River in Bangladesh.

Indus and Ganges river dolphins share many similarities. They are both called "susu." The word sounds like a sneeze and resembles the sound of a dolphin breathing. They are also called blind river dolphins, because they are the only dolphins that cannot see.

These dolphins navigate by echolocation, producing sound waves, then interpreting the echoes these sound waves generate.

The Indus and Ganges river dolphins range in color from gray-blue to brown. Both have a hump on their back instead of a dorsal fin. They swim on their side, and females sometimes carry their young on their back.

Newborn Indus and Ganges river dolphins weigh about 17 pounds (7.7 kg) and measure 28–35 inches (about 0.8 m) in length. Adults weigh 155 to 200 pounds (70–90 kg). Their length ranges from 5 to 8 feet (1.5–2.5 m).

Amazon river dolphins live in rivers in Brazil, Bolivia, Colombia, Guyana, Ecuador, Peru, and Venezuela that are part of the Amazon and Orinoco watersheds. They are also called boto or pink river dolphins. Adults range in color from blue-gray to pink. The pink color becomes more intense when these dolphins are more active and dims when they are less active. The Amazon river dolphin has a hump on its back instead of a dorsal fin.

Newborn calves weigh about 15 pounds (7 kg) and are about 31 inches (0.8 m) long. Adults weigh from 185 to 355 pounds (85–160 kg). Adult females range in length from 5 to 7.5 feet (1.5–2.4 m). Male dolphin length ranges from 6.5 to 9 feet (2–2.7 m).

According to the Whale and Dolphin Conservation Society, Amazon river dolphins have entered local South American folklore. One legend says that male dolphins captured women and took them underwater. Some women explained their pregnancies by saying that dolphins fathered their babies.

Franciscana are classified as river dolphins, although they live in the Atlantic Ocean. They were originally thought to move from fresh water to salt water during their lifecycle, but scientists have now determined that they live their entire life in the sea. Franciscana are found in the shallow coastal waters off eastern South America, primarily in the La Plata estuary. They are also known as La Plata dolphins.

Franciscana have gray-brown backs. Their color may lighten during the winter or as they age. Some older dolphins are primarily white. In relation to their size, franciscana have the longest beaks of any dolphin.

Newborn franciscana are 28 in (0.7 m) long and weigh from 16 to 19 pounds (7.3–8.6 kg). Adult length ranges from about 4 to 6 feet (1.2 to 1.8 m). Adult franciscana dolphins weigh from 65 to 115 pounds (29–52 kg).

## Declining populations

The river dolphin population is decreasing throughout the world, often through stresses caused by development. Some dolphins are killed by chemical pollution. Other dolphins become entangled in fishing nets and die, and hunting has led to declines in the populations of all river dolphins except franciscana.

The damming of rivers divides populations and prevents migration to better environments. This caused a decline in the population of baiji, Ganges, and Indus river dolphins. The lack of adequate food supply (prey depletion), caused declines in franciscana, baiji, and Ganges dolphins. Development has destroyed or polluted much of the habitat where dolphins live. Loss of habitat has threatened the baiji, franciscana, and Ganges river dolphins.

Baiji are the most rare cetacean in the world. The Chinese government declared the baiji a protected animal in 1949, and people were punished for intentionally killing baiji. However, accidents and development still caused many deaths. In 1975, China declared the baiji a national treasure, and subject to more protection. In 1996, the International Union for Conservation of Nature (IUCN) rated baiji as one of the 12 most endangered species in the world. In 1998, the IUCN estimated that the population of Indus river dolphins was less than 1,000. In the year 2000, fewer than 100 individuals were believed to survive in the wild. According to the IUCN—which claims to be the world's oldest and largest global environmental network—the baiji may now be extinct. An expedition on the Yangtze River in 2006 failed to find any baiji at all. Biologists contend that even if a few individual baiji were overlooked by the expedition and are still living in the Yangtze, it is highly improbable that such a small number of animals could sustain the viability of the species.

Also in the year 2000, the Indus dolphin was considered critically endangered and threatened with extinction. According to the World Wildlife Fund (WWF) there were approximately 1,100 Indus dolphins in existence by 2010. And the IUCN estimated in 2009 that only about 2,000 wild Ganges river dolphins remained. It is also considered an endangered species.

South American river dolphin population has not been depleted as much as the river dolphin population of Asia. Although the exact population of Amazon river dolphins has not been estimated, they are believed to have the largest population of all species of river dolphin. In 1996, the IUCN considered Amazon river dolphins to be vulnerable to population decreases because of increased dam building along the Amazon River. According to the IUCN, inadequate

verifiable data exists about the population of franciscana dolphins. Lack of data has made protection and management of this dolphin population difficult.

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American Cetacean Society, P.O. Box 1391, San Pedro, CA, USA, 90733-1391, (310) 548-6279, (310) 548-6950, [acs@pobox.com](mailto:acs@pobox.com), <http://www.acsonline.org>

Whale and Dolphin Conservation Society, P.O. Box 232, Melksham Wiltshire, United Kingdom, SN12 7SB, 44 (0) 1225-354333, (44) (0) 1225-791577, [webmaster@wdcs.org](mailto:webmaster@wdcs.org), <http://www.wdcs.org>

World Conservation Union Headquarters, Rue Mauverney 28, Gland, Switzerland, 1196, 41 (22) 999-0000, 41 (22) 999-0002, [mail@hq.iucn.org](mailto:mail@hq.iucn.org), <http://www.iucn.org>

Liz Swain

RMA see **Rocky Mountain Arsenal**.

RNA see **Ribonucleic acid**.

## Rocky Flats nuclear plant

The production of nuclear weapons inherently poses serious risks to the environment. At any point in the production process, radioactive materials may escape into the surrounding air and water, and safe methods for the disposal of waste from the manufacturing process still have not been developed. The environmental risks posed by the production of nuclear weapons are illustrated by the history of the Rocky Flats Nuclear Munitions Plant, located 16 miles (26 km) northwest of Denver, Colorado.

Rocky Flats was built in 1952, following an extensive search for sites at which to build plants for the processing of plutonium metal, a critical raw material used in the production of nuclear weapons. Authorities wanted a location that was close enough to a large city to attract scientists, but far enough away to ensure the safety of city residents.

Another important factor in site selection was wind measurements. The government wanted to be sure that, in the event of an accident, radioactive gases would not be blown over heavily populated areas. The selection of Rocky Flats was justified on the basis of wind measurements made at Denver's Stapleton airport, showing that prevailing winds blow from the south in that area. Had the same studies been carried out at Rocky Flats itself, however, they would have shown that prevailing winds come from the northwest, and any release of radioactive gases would be carried not away from Denver but toward it.

Over the next four decades, this unfortunate mistake was to have serious consequences as spills, leaks, fires, and other accidents became routine at Rocky Flats. On September 11, 1957, for example, the filters on glove boxes caught fire and burned for thirteen hours. These filters were used to prevent plutonium dust on used gloves from escaping into the outside air, but once the fire began this is exactly what happened. The release of plutonium was even accelerated when workers turned on exhaust fans to clear the plant of smoke. Smokestack monitors showed levels of plutonium 16,000 times greater than the maximum recommended level. Officials at Rocky Flats reportedly made no effort to notify local authorities or residents about the accidental release of the radioactive gases.

This incident reflects the contradiction between the commitment of the United States government to the development of nuclear weapons and its concern for protecting the health of its citizens, as well as the natural environment. In 1992, a government report on Rocky Flats accused the Department of Energy (DOE) of resisting efforts by the Environmental Protection Agency (EPA) and state environmental agencies to make nuclear weapons plants comply with environmental laws and regulations. DOE officials defended this policy by saying that Rocky Flats was the only site in the United States at which plutonium triggers for nuclear weapons were being produced.

The Rocky Flats plant was originally operated by Dow Chemical Company. In 1975, Rockwell International Corporation replaced Dow as manager of the plant. Over the next fourteen years, Rockwell faced

increasing criticism for its inattention to safety considerations both within the plant and in the surrounding area.

Rockwell's problems came to a head on a June morning in 1989, when a team of seventy-five FBI agents entered the plant and began searching the 6,550-acre (2,653-ha) complex for evidence of deliberate violations of environmental laws. As a result of the search, Rockwell was relieved of its contract at Rocky Flats and replaced by EG&G, Inc., an engineering firm based in Wellesley, Massachusetts. The ensuing investigation of safety violations lasted over two years and in March 1992 Rockwell plead guilty to ten crimes, five of them felonies, involving intentional violations of environmental laws. The company agreed to pay \$18.5 million in fines, one of the largest fines for an environmental offense in United States history.

The fine did not, however, end the dispute over the safety record at Rocky Flats. Rockwell officials claimed that the Department of Energy was also at fault for the plant's poor environmental record. The company argued that the DOE had not only exempted them from environmental compliance but had even encouraged it to break environmental laws, especially hazardous waste laws. The federal grand jury that investigated the Rocky Flats case agreed with Rockwell. Not only was the DOE equally guilty, the grand jury decided, but the plant's new manager, EG&G, was continuing to violate environmental laws even as the case was being heard in Denver. Members of the jury were so angry about the way the case had been handled that they wrote President Bill Clinton, asking him to investigate the government's role at Rocky Flats.

Secretary of Energy James Watkins had closed Rocky Flats for repairs in November 1989 and it remained closed during the course of the investigation. Rocky Flats's problems appeared to be over in January 1992 when EG&G announced that, after spending \$50 million in repairs, the plant was ready to re-open. Within a matter of days, however, Secretary Watkins ordered that weapons production at the plant permanently cease.

When Secretary Watkins made his decision to close Rocky Flats, the area faced two environmental challenges. In the first place, the site contained the largest stockpile of weapons grade plutonium in the United States, totaling more than 14 tons of the metal. The plutonium had been left in whatever form it occurred at the time of the plant shutdown, including water solutions, partially machined parts, and raw materials. The plutonium posed a threat because of its potential for starting fires, its emission of ionizing

radiation, and its proximity to nearby communities in the event of an accident at the site.

In the second environmental challenge, buildings and facilities covering a 6,500 acre (2,630 ha) region had become seriously contaminated during the plant's 40-year lifetime. While a less serious short-term danger, this extensive contamination posed a threat that could extend over centuries without remediation of some sort.

Agencies responsible for the future of Rocky Flats—primarily the EPA, the Colorado Department of Public Health and Environment, and the Defense Nuclear Facilities Safety Board—decided on a two-prong approach to the clean-up of Rocky Flats. The first step was to deal with the immediate threat of plutonium left behind on the site by finding safe ways of safely storing the material. This step involved activities such as draining tanks and pipes that had been used for plutonium-containing solutions; venting plutonium-containing waste drums and tanks of hydrogen gas that had built up within them; and re-packaging containers in which plutonium had been stored, which made them safer for long-term storage. The cost of this operation in the site's first full year of clean-up was \$573 million and employed 9,374 workers.

The second stage of the Rocky Flats clean-up operation involved dealing with contamination that had developed on the site over its forty-year history. For this purpose, Rocky Flats was added to the National Priorities List for Superfund in 1989. The Rocky Flats National Wildlife Refuge Act was passed by Congress in 2001, and signed into law that same year by President George W. Bush. Under the terms of the Act, the Rocky Flats site would be converted to a National Wildlife Refuge upon certification by the EPA that the cleanup of the Rocky Flats site had been completed, and that it (the cleanup) had complied with all applicable laws and regulations. EPA certification was forthcoming in mid-2007, formally establishing the Rocky Flats National Wildlife Refuge.

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David E. Newton

## Rocky Mountain Arsenal

The Rocky Mountain Arsenal (RMA), a few miles northeast of Denver, Colorado, was originally constructed and operated by the Chemical Corps of the United States Army. Beginning in 1942, the arsenal was the main site at which the Chemical Corps manufactured chemical weapons such as mustard gas, nerve gas, and phosgene. The Army eventually leased part of the 27 square miles (70 km<sup>2</sup>) plot of land to the Shell Oil Company which produced dichlorodiphenyltrichloroethane (DDT), dieldrin, chlordane, parathion, aldrin, and other pesticides at the site.

The presence of a chemical weapons plant has long been a source of concern for many Coloradans. In 1968, for example, a group of Denver-area residents complained that nerve gas was being stored in an open pit directly beneath one of the flight paths into Denver's Stapleton International Airport.

Indeed, the Army was well aware of the hazard posed by its RMA products. In 1961, it found that wastes from manufacturing processes were seeping into the ground, contaminating groundwater and endangering crops in the area. The Army's solution was to institute a new method of waste disposal. In September 1961, engineers drilled a deep well 12,045 feet (3,654 m) into the earth. The lowest 75 feet (23 m) of the well was located in a highly fractured layer of rock. The Army's plan was to dump its chemical wastes into this deep well. The unexpressed principle seemed to be out of sight, out of mind.

Fluids were first injected under pressure into the well on March 8, 1962, and pressure-injection continued over the next six months at the rate of 5.5 million gallons (21 million l) per month. After a delay of about a year, wastes were once more injected at the rate of 2 million

gallons (7.5 million l) per month from August 1964 to February 1966. This practice was then terminated.

The reason for ending this method of waste disposal was the discovery that earthquakes had begun to occur in the Denver area at about the same time that the Army had started using its deep injection well. Seismologists found that the pattern of the earthquakes in the region between 1962 and 1966 closely matched the pattern of waste injection in the wells. When large volumes of wastes were injected into the well, many earthquakes occurred. When injection stopped, the number decreased. Scientists believe that the liquid wastes pumped into the injection well lubricated the joints between rock layers, making it easier for them to slide back and forth, creating earthquakes.

Earthquakes are hardly a new phenomenon for residents of Colorado. Situated high in the Rocky Mountains, they experience dozens each year although most are minor earthquakes. However, the suggestion that the Army's activity at RMA might be increasing the risk of earthquakes became a matter of great concern. The proximity of RMA to Denver raised the possibility of a major disaster in one of the West's largest metropolitan areas.

Faced with this possibility, the Army decided to stop using its injection well on February 20, 1966. Earthquakes continued to occur at an abnormally high rate, however, for at least another five years. Scientists hope that liquids in the well will eventually diffuse through Earth, reducing the risk of further major earthquakes.

In 1992, Congress announced plans to convert the arsenal to a wildlife refuge. The land around RMA had been so badly poisoned that humans essentially abandoned the area for many years. The absence of humans, however, made it possible for a number of species of wildlife to flourish.

On October 16, 1996, the U.S. Army announced its plans for the clean-up of the Rocky Mountain Arsenal. That plan dealt with three areas of environmental concern: water, structures, and soil. The Army declared its intent to continue its program of treating water supplies and groundwater on the site and to provide safe drinking water to neighboring off-site communities. It outlined plans to demolish all existing buildings for which no future use had been designated. These buildings along with all other contaminated materials were scheduled for disposal in new on-site capped landfills.

The most extensive clean-up efforts were to be focused on soil that had been contaminated by activities at the Arsenal. Any unexploded weapons were scheduled for removal and off-site detonation. Contaminated

soils and other materials were to be buried in new waste landfills. These landfills were designed to consist of leak-proof barriers with 6 inches (15 cm) concrete barrier caps and underground barrier walls to protect wildlife from their contents. Existing trenches and sewers are to be surrounded by barrier walls, covered with concrete barrier caps, and/or plugged with concrete caps, all to protect wildlife from their contents.

In addition to these physical plans, the Army announced plans for three other on-going activities at the Arsenal: (1) the discontinuation of any additional hazardous waste disposal activities at the Arsenal; (2) on-going medical monitoring at adjacent communities during the period of clean-up; and (3) monitoring and control of emissions and odors from the site during clean-up.

Clean-up activities at RMA are being directed by the U.S. Army under the supervision of the Environmental Protection Agency. In 1997, almost 6,000 acres (2,428 ha) of RMA were deemed to have satisfied clean-up standards. In 2004, 4,927 acres (1,994 ha) of remediated land was transferred from the U.S. Army to the U.S. Fish and Wildlife Service (USFWS) to form part of the Rocky Mountain Arsenal Wildlife Refuge. The Army transferred an additional 7,200 acres (2,914 ha) to the USFWS in 2006. A soccer stadium was opened in 2007 on part of the land once known as the Rocky Mountain Arsenal. Named Dick's Sporting Goods Park, it is located on land now owned by Commerce City, a northern suburb of Denver. The Park hosts professional soccer games for the soccer team the Colorado Rapids of Major League Soccer (MLS), a league sanctioned by the U.S. Soccer Federation (U.S. Soccer). In addition, in 2008, the introduction of a small herd of bison occurred on the land, as part of the USFWS Bison Project. Clean-up and environmental remediation of an additional 11,080 acres (4,484 ha) at RMA is expected to be completed in 2010.

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David E. Newton

## Rocky Mountain Institute

Founded by energy analysts Hunter and Amory B. Lovins in 1982, the Rocky Mountain Institute (RMI) is a nonprofit research and education center dedicated to the conservation of energy and other resources worldwide. According to literature published by the Institute, the Lovinses founded RMI with the intention of fostering "the efficient and sustainable use of resources as a path to global security." RMI targets seven main areas for reform: energy, water, agriculture, transportation, economic renewal, green development, and global security.

RMI's energy and water programs attempt to promote energy efficiency and the use of renewable resources. The programs take an "end-use/least-cost" approach, promoting awareness of which activities require energy, how much and what types of energy those activities require, and the cheapest way that energy can be supplied. E Source, a subsidiary of the energy program, serves as a clearinghouse for technological information on energy efficiency.

The agriculture program at RMI focuses on several conservation-based methods, including low-input, organic and alternative-crop farming, efficient irrigation, local and direct marketing, and the raising of extra-lean and range beef. The program is closely linked to RMI's water project.

RMI's transportation program is based on the belief that "inefficient transportation systems shape and misshape our world." The project seeks an end to a transportation-based society and a start to one that is access-based, emphasizing superefficient vehicles and a decrease in the necessity to travel over mobility.

RMI's economic renewal program seeks to create lasting economic bases in rural areas. The project works on a grassroots level and has been tested in four towns. Through workshops and workbooks based on studies of several towns, RMI hopes to promote energy use based on sustainability in the future rather than industrialism in the present.

In the area of green development, RMI is involved in cost-effective innovative construction and energy planning for new towns. RMI has conducted efficiency studies for a new building and has acted as consultants on a prototype store for a major retailer as part of this project.

The global security program is more scholarship- and theory-oriented than RMI's other projects and is based on the concept that innovation in the other six areas—energy, water, agriculture, economic renewal, transportation, and green development—will lead to new ways of thinking with regards to global security. Through scholarly exchange and analysis of security practice around the world, the staff at RMI hope to help develop a post-Cold War, cooperative approach to global security that is less reliant on military strength.

RMI's technologically advanced, energy efficient facility that houses their headquarters features a semi-tropical bioshelter among other showcases of conservation innovations. The facility stands as a visible monument to RMI's commitment to conserving the world's resources.

Hunter and Amory Lovins have received several awards for their work with the Institute, including a Mitchell Prize in 1982, a Right Livelihood award in 1983, and the Onassis Foundation's first Delphi Prize in 1989. The Delphi Prize is considered one of the top two environmental awards in the world. In 1993, the John D. and Catherine T. MacArthur Foundation named Amory Lovins a MacArthur Fellow, and, in 2009, Time named Amory Lovins one of the world's 100 most influential people.

## Resources

### ORGANIZATIONS

Rocky Mountain Institute, 2317 Snowmass Creek Road, Snowmass, CO, USA, 81654, (970) 927-3851, <http://www.rmi.org>

Kristin Palm

## Rodale Institute

The Rodale Institute developed out of the efforts of by J. I. Rodale to promote organic gardening and farming in the 1940s. The notion of recycling organic matter back into the soil to yield healthier and more productive crops was not widely accepted at that time.

In response, Rodale began publishing *Organic Gardening and Farming* magazine in 1942.

From those simple beginnings, Rodale's mission grew to become a multi-faceted organization dedicated to "improving human health through regenerative farming and organic gardening." Today, the Rodale Institute supports and publishes research to further organic farming, facilitates farming networks, engages in international farming programs, and publishes numerous resources for gardeners and organic farmers.

The Rodale Institute Research Center is a 333-acre (135-ha) farm in Kutztown, Pennsylvania, where organic horticulture and sustainable agriculture techniques are tested. The Rodale staff focuses on farming projects that help enrich and protect the world's natural resources. Of particular importance to the institute's gardeners are the flower, fruit, vegetable, and herb gardens that are maintained at the Research Center. Practices that are employed in these gardens include the use of beneficial insects and cover crops to reduce reliance on chemical pesticides.

Another goal of the institute is to facilitate communication between farmers and urban dwellers. This is especially important as urban communities continue to expand into the countryside. The Rodale Institute sponsors programs to help these two seemingly competing groups work together for mutual benefit. For example, Rodale has initiated a community composting program whereby the grass cuttings and leaves from urban areas are collected and delivered to farms, where they are composted and used to enrich the soil. This program decreases the need for landfill space and provides soil-enriching organic matter to farmers. Rodale Institute also encourages mutual understanding between farmers and city dwellers by hosting such events as Field Days and GardenFest.

The Rodale Institute's networking program helps farmers link up with one another to share information on sustainable farming. These farms participate in Rodale Institute's research and often experiment with alternatives to conventional farming methods. Through the publication *The New Farm*, the cooperative farmers share their experiences and questions with many farmers across the country.

Perhaps to the non-farmer, Rodale is best known for its publications. In addition to *Organic Gardening* and *The New Farm* magazines, Rodale publishes a large selection of instructional books for farmers, gardeners, and others interested in organic farming.

## Resources

### ORGANIZATIONS

The Rodale Institute, 611 Siegfriedale Road, Kutztown, PA, USA, 19530-9320, (610) 683-1400, (610) 683-8548, info@rodaleinst.org

Linda Ross

Rodenticide see **Pesticide**.

RODs see **Record of Decision**.

## Rolston, Holmes

1932–

American environmental and religious philosopher

Holmes Rolston III is a professor of philosophy at Colorado State University who is best known for his study of the relationships between science and religion, with an emphasis on environmental ethics. He has devoted his distinguished career to plausibly and meaningfully interpreting the natural world from a philosophical perspective and is regarded as one of the world's leading scholars on the philosophical, scientific, and religious conceptions of nature.

His early work on values in nature, as well as his role as a founder of the influential academic journal *Environmental Ethics*, was critical not only in establishing but also in shaping and defining the modern field of environmental philosophy. In his 1988 book *Environmental Ethics: Values in and Duties to the Natural World*, Rolston presented a philosophically sophisticated and defensible case for a value-centered ecological ethic that derives ethical conclusions from descriptive premises. Rolston clearly states that intrinsic values objectively exist at the species, biotic community, and individual levels in nature and that these values impose on humans certain direct obligations to non-human entities, such as plants, animals, and ecosystems. These obligations are separate from and sometimes in conflict with those based on the instrumental value of nature, which may motivate humans to protect the environment for their own benefit.

Rolston is a prolific writer and sought-after speaker. His work is unusually accessible to a wide audience, and he has pioneered the application of ethical theory to actual environmental problems by consulting with two dozen conservation and policy groups, including the United States Congress and a Presidential Commission.



Rolston, Holmes

Holmes Rolston. (Colorado State University)

Rolston came to prominence in this field in a roundabout way. Born in the Shenandoah Valley of Virginia, he studied physics as an undergraduate at Davidson College before entering theological seminary. After completing his Ph.D. in theology and religious studies at the University of Edinburgh in Scotland, Rolston spent nearly a decade as a Presbyterian pastor in rural southwest Virginia. During this time, Rolston's love for and curiosity about nature and wilderness grew unabated. He fed his love of these things by learning the natural history of his surroundings in splendid detail and by becoming an activist on local environmental issues. In his search for a philosophy of nature to complement his biology, Rolston entered the philosophy program at the University of Pittsburgh, where he received a master's degree in philosophy of science in 1968. He then embarked on an academic career at Colorado State University, where he currently holds the position of University Distinguished Professor.

He has established himself as the foremost proponent and defender of intrinsic natural value theory. Rolston is often identified as the father of environmental

ethics as a modern academic discipline. As such, he occupies a singular place of importance in modern philosophy. Rolston continues to integrate the practical and theoretical dimensions of his work, as he examines life to discover its meaning and expands the circle of moral significance to include all natural entities, processes, and systems. In addition to *Environmental Ethics*, Rolston has written several other critically acclaimed books, including *Philosophy Gone Wild*, *Science and Religion: A Critical Survey*, and *Conserving Natural Value*, and has contributed to dozen other books and professional and popular periodicals. Rolston is also an avid backpacker, accomplished field naturalist, and respected bryologist.

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Ann S. Causey

## Ronsard, Pierre

1524–1585

French naturalist and poet

Pierre Ronsard transformed his life from one of possible anguish into one in which he created a legacy that has lasted for centuries. Born into a noble family in near Vendome, France in September of 1524, Ronsard was the younger of their sons. His life was one of privilege—from the benefits of a classical education in his family's residence at the Chateau de la Poissonniere to being sent away to the College of Navarre, in Paris, at the early age of nine. Before graduating from college, he left and was appointed page to the Duke of Orleans, son of King Francis I. Later, he would become page to James V, King of Scotland where he stayed for three years. While there and through time spent in England Ronsard became proficient in the

English language. His travels then took him to Germany, Italy, and other countries throughout Europe.

In 1841, Ronsard was afflicted with an incurable deafness. Plans for a military career were no longer an option for him. He was only seventeen and decided to retire from public life and pursue his studies again. For seven years he studied Greek at the College de Coqueret; and he became further involved with his passion of poetry. By 1550 he was awarded with the title of "Prince of Poets," successful in his desire to find a new direction for French poetry. He continued to be a favorite of royalty abroad. He received a diamond from Queen Elizabeth of England who was a relative. Mary Stuart of Scotland escaped the woes of prison life through Ronsard's poetry. The city of Toulouse in his native France presented him with a solid silver award in tribute to the goddess Minerva.

Ronsard remained in ill health while writing volumes of poetry that was revolutionary in many ways, including the words he carried into it and enhance the entire French vocabulary. Not only did he add words from Greek and Latin; he also uncovered old romantic dialects, and utilized the technical languages found in the trades, in science, and in sports. Writing for him produced harmony for others. Scholars consider him to be the best lyrical poet in France until the romantic age that arrived with the nineteenth century poets. He was a figure known and beloved throughout his country, a celebration that would rival only writer Victor Hugo nearly 300 years later. One of his many poems, *Roses* would inspire an honor bestowed on the two hundredth anniversary of his death—the Pierre Ronsard rose, a delicate pink known also as the Eden Climber.

During the last years of his life Ronsard became a minor monk with the Priory of Saint Cosme on an ancient island on the Loire River. The original buildings were established between the eleventh and fifteenth centuries. Ronsard's original works are contained there in the Prior's house, rebuilt over a hundred years following his death in 1585. Visitors to the priory (reservations are required) can visit Ronsard's tomb, walk through the gardens, see his original works, and attend festivals in his honor. His devotion to love and nature has given him the honor of being known as the "Father of Nature." Many present-day environmentalists credit Ronsard with beginning the movement toward preservation of lands, forests, and gardens. He carried his passion with him and gave of it so generously to all his readers, and to generations following that would enjoy the graceful harmony with which he presented it.

Jane Spear

## Roosevelt, Theodore

1858–1919

American politician and conservationist

Historians often cite conservation of natural resources as one of Theodore Roosevelt's most enduring contribution to the United States. As the nation's twenty-sixth President, Roosevelt was faced with critical conservation issues and made decisive moves to promote conservation, thus becoming the national leader most clearly associated with preservation of public land.

Roosevelt was born into a wealthy family in New York City, and early took an interest in the outdoors, partly to compensate for asthma and a frail constitution. He was an avid naturalist as a child, an early interest that lasted all his life and, as Paul R. Cutright documents in detail in his *Theodore Roosevelt: The Making of a Conservationist*, Roosevelt was instrumental in creating a role model for conservationists. Certainly, much of his attention to conservation derived at least in part from his interest in the natural science that underlay those issues.

He was, for example, a life long bird watcher and relished the fact that he could match John Burroughs's



Theodore Roosevelt with John Muir. (Archive/Corbis-Bettmann)

proress at identifying birds on a field walk with the naturalist- writer. Roosevelt's interest in the natural history of birds and other animals provided much of the motivation for two long and perilous trips taken after his presidency to South America and Africa, trips that compromised his health and may have shortened his life.

From a very young age, Roosevelt was also a politician. In 1881, at the age of twenty-three he was elected to the New York State Assembly. Always contradictory, the young Roosevelt was conservative and pro-establishment but was also a reformer, anticorruption, and anti-machine politics.

As governor of New York (1898–1900), Roosevelt defined and tried to act on conservation issues. In 1900, Gifford Pinchot helped Roosevelt formulate his message to the New York State Assembly about the need for forest management. The Governor also tried to outlaw the use of bird feathers for adornment. Historians claim that his actions so alarmed the Assembly that he was “manipulated” out of the governor's mansion into the vice-presidency, from there he became President after William McKinley's assassination.

Roosevelt's contribution to conservation can be divided into four categories: first, his role in setting aside and managing what are now called national forests; second, his decisive initiation of a national wildlife refuge system; third, his impact on transforming the arid lands of the American West into irrigated farmland; and, fourth, his efforts to promote natural resources nation-wide.

Roosevelt is best known for his collaboration with Pinchot in appropriating public forest lands once controlled by private interests and “reserving” them for “our people unborn.” Congress passed the Forest Reserve Act (authorizing the Presidents to create forest reserves from the public domain) in 1891, well before Roosevelt took office. The three Presidents immediately before him established forest reserves of some 50 million acres (20.3 million ha). Roosevelt publicized the value of forest reserves to the people; and reorganized management of the reserves, placing them under the Bureau of Forestry (later the Forest Service) in the Department of Agriculture. Increased the acreage in reserves—by 150 million acres (60.8 million ha), 16 million (6.5 million ha) of which were set aside almost overnight in a famous and successful action in 1907 by which he and Pinchot worked long hours to create the reserves before the President had to sign a congressional act with a rider limiting his powers to do so. All of these actions remain controversial today. The

Forest Service's timber management policies are still being criticized for catering to special interests, and not realizing Roosevelt's goal to preserve the forests for the people, not powerful private interests.

The story of Pelican Island illustrates perhaps better than any other incident in Roosevelt's administration his approach to conservation issues. Visited by naturalist friends alarmed at the decimation of birds on Florida's Pelican Island, Roosevelt asked if the law prevented him from declaring the island "a Federal Bird Reservation." Told that no such law existed, the President responded, "Very well, then I so declare it." During his tenure, Roosevelt created fifty additional wildlife refuges. His enthusiastic initiation of these preserves provided a base for the extensive national wildlife refuge system.

Roosevelt entered the presidency with the idea that the western drylands could become productive through irrigation. He realized, however, that the scale of such projects prohibited private enterprise from undertaking the task. One of his first initiatives was to work with congressmen representing western states to pass the Reclamation Act of 1902. Sixteen projects were soon initiated in those states. Reclamation from Roosevelt's point of view—especially large dams—are considered a mixed blessing by many conservationists today.

Roosevelt, was a natural publicist and, along with Pinchot and John Muir, he provided an extraordinary legacy to the American people of a variety of lands and resources in public ownership. Roosevelt used the Presidency's "bully pulpit" effectively to arouse public interest in conservation issues. Harold Pinket argues that Roosevelt's main contribution to the conservation movement was "wielding his presidential prestige to craft a coalition of people with otherwise opposed perspectives on natural resources, from naturalists and civic leaders who favored preservation to utilitarian resource specialists and users." No accomplishment illustrates this better than the Governor's Conference of 1907. At this conference Roosevelt bought all the nation's governors and many other leaders together and, using his own enthusiasm for conservation, he ignited discussions, policies and actions that resonate still today at many levels of government.

Roosevelt's concern for conservation was reflected in his message to Congress, delivered two months after becoming President. It contained strong references to all the relevant issues of the time—preservation and use of forests, soil and water conservation, wildlife protection, recreation, and reclamation of arid lands. He is still recognized for his leadership on these areas. In

addition to his concern for conservation issues Roosevelt was a widely published author. Many readers can still be entertained by vivid accounts of hunting trips in the west or his life as a rancher in the Dakotas.

Most environmentalists pay strong tribute to Roosevelt's accomplishments as a conservationist and his contributions to the conservation movement. However, he is not universally admired. Some view Roosevelt as an elitist while other criticize what some consider his excessive slaughter as a hunter. Theodore Roosevelt's energy and bluster, his cultivation of well-known naturalists and conservationists, his willingness to listen to and act on their advice, his political skills in getting policies enacted—all those endure in a lasting legacy of national forests, a national wildlife refuge system, a strengthened national park system, and increased and on-going awareness of the importance of protecting these for future generations.

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Gerald L. Young

## Roszak, Theodore

1933–  
American social critic

As of 2010, Theodore Roszak was a professor emeritus at California State University. Throughout his life and career, he has been an active critic of the status quo. He was prominent in the counterculture movement in the 1960s.

Roszak was born in 1933. He received his B.A. from the University of California at Los Angeles in 1955 and a Ph.D. from Princeton University in 1958. Roszak began his career as an instructor in history at Stanford University. He received a Guggenheim fellowship in 1971.

Like his mentor Lewis Mumford, Roszak combines political and cultural criticism with a thoroughgoing critique of technology and technological society. Published in 1969, his first book was an effort to understand the counterculture movement. In *The Making of a Counterculture: Reflections on the Technocratic Society and Its Youthful Opposition*, Roszak criticizes consumer society, the military-industrial complex it supports, the

increasing concentration of populations in unclean, unsafe, and ungovernable cities, and the technocratic and bureaucratic mentality that views such dilemmas as essentially technical problems with technical or scientific solutions. Roszak is highly critical of this rationalist point-of-view and argues that modern society should attempt to recover and return to a sense of the sacred and mysterious dimensions of human life.

In 1972, Roszak published *Where the Wasteland Ends: Politics and Transcendence in Post-Industrial Society*. In the book he offered an outline of what he termed a “visionary commonwealth,” an alternative society that would check or eliminate the destructive tendencies of modern technocratic civilization. The commonwealth he described is decentralized and small in scale. Politics is participatory, technology is appropriate and intermediate, and there is widespread experimentation with different forms of social, economic, and political organization. Then as now, Roszak believes that resettling populations into such small-scale, economically self-sufficient, and politically self-governing communities cannot happen quickly. However, he contends that such a shift will happen and he argues that it should happen, if humans are to live spiritually rich and meaningful lives.

Published in 1978, *Person/Planet* expanded on Roszak’s vision of the future of humanity and continued his critique of modern society. Roszak argued that industrial society is disintegrating in a creative way. Large, complex institutions, including government itself, are failing to attract the loyalty and allegiance they need to maintain their authority, and Roszak believes their disintegration will make his utopian commonwealth possible. For him, the needs of the individual and the needs of the planet are identical. Both flourish in an atmosphere of authenticity, diversity, and respect, and he argues that these are things that large industrial institutions, with their emphasis on uniformity, linearity, and wastefulness, can neither comprehend nor tolerate.

Rozzak has been criticized for his romanticism and his utopianism. His attacks on science and rationalism, in particular, have been frequently condemned as vague and imprecise, and he has been accused of confusing the methodology of science with the failings of the people who employ it. However, many admire Roszak not only for his passionate prose but also for his vision of human possibilities, and his books are still frequently consulted for their images of people living reverently and responsibly in harmony with the earth.

He has been nominated twice for the National Book Award. As well as his non-fiction, Roszak has written a number of fictional books including *The Devil and Daniel Silverman*, which was published in 2003.

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Terence Ball

## Rowland, Frank Sherwood

1927–

American atmospheric chemist

Frank Sherwood Rowland is a chemist who received the 1995 Nobel Prize in Chemistry for his discovery of the ozone depleting power of chlorofluorocarbons (CFCs).

Rowland was born in the central Ohio city of Delaware. After a brief period of service in the United States Navy, he attended Ohio Wesleyan University where he earned his bachelor’s degree in chemistry in 1948. He balanced his semi-professional baseball career with his studies and continued his education in chemistry, earning master’s and doctorate degrees at the University of Chicago in 1951 and 1952, respectively. Fresh out of school, Rowland pursued a career in education and research. He taught chemistry at Princeton University from 1952 to 1956 and the University of Kansas between 1963 and 1964.

Rowland has conducted research in many areas of the chemical and radiochemical fields. In 1971, for example, to calm an alarmed public, Rowland and a team of scientists investigated the seemingly high levels of mercury being found in tuna and swordfish. They tested the tissues of museum exhibit, century-old fish and found the levels of the dangerous substance were in about the same range as those recently pulled from the water, and therefore proved the fish were not a health threat. In addition to this type of testing, he completed work for

such organizations as the International Atomic Energy Administration and the United States Atomic Energy Commission. Rowland is probably best known, however, for his work in atmospheric and chemical kinetics and especially his investigations of CFCs.

Inert and versatile compounds, CFCs were most often found in items like cooling devices and aerosol cans; CFCs are also found throughout the atmosphere. The inherent dangers of CFCs were unknown until Rowland teamed up with University of California associate Mario Molina in 1973 and found that certain atoms of those CFCs present in the atmosphere were combining with the ozone, causing rapid depletion of the protective ozone layer itself. Such destruction could result in drastic climatic changes, as well as increased atmospheric penetration by the sun's rays (causing a huge upswing of the occurrence of skin cancer).

Initially, Rowland's and Molina's theory was not readily accepted. However, the theory has come to be accepted as an unpleasant fact; the importance of CFC elimination has been realized and is being actively pursued. The 1995 Nobel Prize in chemistry was awarded to Rowland, Molina, and Paul Crutzen.

Rowland is currently the Bren Research Professor at the University of California at Irvine, where he has been a faculty member since 1964. He maintains membership in numerous organizations, among them: the Ozone Commission of the International Association of Meteorological and Atmospheric Physics; Committee of Atmospheric Chemical and Global Pollution; and various committees of the United States National Academy of Science.

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Kimberley A. Peterson

## Ruckleshaus, William Doyle

1932–

American former Environmental Protection Agency administrator

William Doyle Ruckleshaus is a lawyer who has served in the United States federal government, most prominently as the first head of the Environmental

Protection Agency (EPA) from 1970 to 1973, and again from 1983 to 1984.

Ruckleshaus is a member and ardent supporter of the Republican party, and a skilled administrator who, in his government roles, was able to work effectively with environmentalists as well as industry representatives.

Ruckleshaus was born on July 24, 1932 in Indianapolis, Indiana, into a renowned Republican family. He earned his bachelor of arts degree *cum laude* from Princeton University in 1957 and his law degree from Harvard University in 1960. His early career in the 1960s included the practice of law between 1960 and 1968 and service in the Indiana House of Representatives from 1967 to 1969. In 1969, newly elected President Richard Nixon appointed Ruckleshaus to serve as Assistant Attorney General for the United States. In 1970, President Nixon selected Ruckleshaus to become the first head of the recently created EPA. Under his direction, fifteen environmental programs were brought together under the agency. Ruckleshaus left the EPA in 1973 to serve as acting director of the Federal Bureau of Investigation (FBI), and later that year, he was appointed Deputy Attorney General for the United States. In 1974, he resigned from that position rather than comply with President Richard Nixon's order to dismiss the special Watergate prosecutor.

From 1974 to 1976, he practiced law with the firm Ruckleshaus, Beveridge, Fairbanks and Diamond, in Washington, D.C. Ruckleshaus has been criticized for going through the revolving door of government. While at his law firm he was the legal representative of several companies that contested rules made by the EPA while he was its administrator. From 1975 to 1983 he was Senior Vice-President for Legal Affairs of the Weyerhaeuser Company, Tacoma, Washington, a large timber and wood products company.

Ruckleshaus was again called to head the EPA in 1983. Early in 1983, the EPA came under criticism from the public and from Congress regarding allegations of mishandling of the federal Superfund program. Allegations included lax enforcement against polluters, mishandling of Superfund monies, manipulation of the Superfund for political purposes, and conflicts of interest involving ties between EPA officials and regulated businesses. The allegations and the ensuing investigation led to the resignation of twenty-one top EPA officials including its administrator, Anne Burford Gorsuch.

Upon Gorsuch's resignation in March 1983, President Ronald Reagan asked Ruckleshaus to serve as interim EPA administrator. He agreed to do so, serving

until the appointment of his successor Lee Thomas in November of 1984. During this second period of service as the EPA's top administrator, Ruckleshaus used his experience, his skills as an administrator, and ability to work with industry and environmentalists to stabilize the EPA. He succeeded in quelling much of the criticism being leveled against the agency.

After leaving the EPA at the end of 1985, Ruckleshaus joined the firm Perkins Coie in Seattle, Washington. He has served on the boards of directors of several major corporations and on the board of advisors for the Wharton School of Business, University of Pennsylvania.

In 1989, he was named chairperson of Browning-Ferris Industries, Inc. (BFI). BFI is one of the world's largest waste management firm, and owns and operates substantial hazardous and non-hazardous waste-disposal facilities throughout the states. When Ruckleshaus took over as chairperson, BFI had been cited in various lawsuits related to its operations. BFI has been making steady progress since 1989 in obtaining required permits. Two major lawsuits against BFI were also settled under Ruckleshaus leadership in 1996.

In 1995, the Environmental Law Institute (ELI) honored Ruckleshaus on the twenty-fifth anniversary of the U.S. EPA by presenting him with the 1995 ELI award. The ELI presents its award annually to a life-long leader in environmental protection who represents the highest ideals of service.

Ruckelshaus was appointed by then U.S. President George W. Bush to the U.S. Commission on

Ocean Policy, which submitted its report during 2004. The document was designed to help guide U.S. ocean policy. Despite his Republican party background, Ruckelshaus supported Barack Obama during the 2008 presidential election.

Paulette L. Stenzel

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## Runoff

The amount of rainfall or snowmelt that either flows over the soil surface or that drains from the soil and enters a body of water, thereby leaving a watershed. Accordingly, runoff is the excess amount of precipitation that is not held in the soil nor is it evaporated or transpired back to the atmosphere. Water that reaches deep groundwater and does not, therefore, directly flow into a surface body of water is usually not considered runoff. Runoff can follow many pathways on its journey to streams, rivers, lakes, and oceans. Water that primarily flows over the soil surface is surface runoff. It travels more quickly to bodies of water than water that flows through the soil, called subsurface flow. As a rule, the greater the proportion of surface to subsurface flow, the greater the chance of flooding. Likewise, the greater the amount of surface runoff, the greater the potential for soil erosion.

*See also* Storm runoff.





## Safe Drinking Water Act (1974)

The Safe Drinking Water Act (SDWA) of 1974 is the main federal law that ensures the quality of drinking water in the United States. When implemented, it extended coverage of federal drinking water standards to all public water supplies. Previous standards, established by the United States Public Health Service beginning in 1914 and administered by the United States Environmental Protection Agency (EPA) since its creation in 1970, had legally applied only to water supplies serving interstate carriers (e.g., planes, ships, and rail cars engaged in interstate commerce). However, many states and municipalities complied with them on a voluntary basis. Under the SDWA, public water supplies were defined as those publicly or privately owned community water systems having at least fifteen connections or serving at least twenty-five year-round customers or non-community water supplies serving at least twenty-five non-residents for at least sixty days per year.

The SDWA required the EPA to promulgate primary drinking water regulations to protect public health and secondary drinking water regulations to protect the aesthetic and economic qualities of the water. The EPA was granted authority to regulate: (1) contaminants which may affect health (e.g., trace levels of carcinogenic chemicals which may or may not have an impact on human health); (2) compounds which react during water treatment to form contaminants; (3) classes of compounds (if more convenient than regulating individual compounds); and (4) treatment techniques, when it is not feasible to regulate individual contaminants (e.g., disinfection is required in lieu of standards on individual disease-causing microorganisms).

Recognizing the right and the responsibility of the states to oversee the safety of their own drinking water supplies, the SDWA authorized the EPA to grant

primacy to states willing to accept primary responsibility for administering their own drinking water program. To obtain primacy, a state must develop a drinking water program meeting minimum federal requirements and must establish and enforce primary regulations at least as stringent as those promulgated by the EPA. States with primacy are encouraged to enforce the federal secondary drinking water regulations, but are not required to do so.

Other provisions of the SDWA authorized control of underground injection (e.g., waste disposal wells); required special protection of sole-source aquifers (those providing the only source of drinking water in a given area); authorized funds for research on drinking water treatment; required the EPA to conduct a rural water supply survey to investigate the quality of drinking water in rural areas; allocated funds to subsidize up to 75 percent of the cost of enlarging state drinking water programs; required utilities to publicly notify their customers when the primary regulations are violated; permitted citizens to file suit against the EPA or a state having primacy; and granted the EPA emergency powers to protect public health.

Dissatisfied with the slow pace at which new regulations were being promulgated by the EPA, which had in 1983 initiated a process to revise the primary and secondary standards, Congress amended the SDWA in 1986. The 1986 amendments required the EPA: to set primary standards for nine contaminants within one year, forty more within two years, thirty-four more within three years, and twenty-five more by 1991; to specify criteria for filtration of surface water supplies and disinfection of groundwater supplies; to require large public water systems to monitor for the presence of certain unregulated contaminants; to establish programs to demonstrate how to protect sole-source aquifers; to require the states to develop well-head protection programs; and to issue, within eighteen months, rules regarding injection of waste below a water source.

The 1986 SDWA amendments also prohibited the use of lead solder, flux, and pipe; authorized the EPA to treat Indian tribes as states, making them eligible for primacy and grant assistance; required the EPA to conduct a survey of drinking water quality on Indian lands; authorized the EPA to initiate enforcement action if a state fails to take appropriate action within 30 days; and increased both civil and criminal penalties for failure to comply with the SDWA.

Since 1986 was a Congressional election year and every member of Congress wanted to go on record as having voted for safe drinking water, the amendments passed unanimously. However, Congress failed to provide federal funds to assist state programs in complying with the many new provisions of the SDWA. The average annual cost per state to comply with the SDWA by 1995 has been estimated to be nearly \$500 million.

Further amendments to SDWA were passed in 1996. The new amendments established a Drinking Water State Revolving Fund to finance state compliance costs for water treatment facilities, easier access to water quality information for consumers, and contamination prevention initiatives. The mandate for contaminant testing was changed to a risk-based prioritized system that granted the EPA the authority to decide whether or not to regulate a contaminant after completing a required review of five contaminants every five years. The amendments also called for specific risk assessments and final regulation of radon, arsenic, DBP/cryptosporidium, and sulfate.

The SDWA ranked the following drinking water standards as rule making priorities:

- **Arsenic:** The SDWA required the EPA to revise the existing 50 parts per billion (ppb) standard for arsenic in drinking water; the EPA implemented a 10 ppb standard for arsenic in January 2001; After the Bush administration briefly withdrew the standard, the new rule 10 ppb standard was reaffirmed in February 2002. In 2010, the EPA asserted that some 13 million people in the U.S had benefited from the reduction of arsenic concentrations in various U.S. public water systems, which were all required to be in compliance with the 10 ppb standard in 2006.
- **Ground Water Rule:** The EPA was directed to regulate the appropriate use of disinfection in ground water and of other components of ground water systems to ensure public health protection.
- **Lead and copper:** The EPA estimates that approximately 20 percent of human exposure to lead is attributable to lead in drinking water.
- **Microbials and disinfection byproducts:** The EPA considers that a major challenge for water suppliers

is how to balance the risks from microbial pathogens and disinfection byproducts.

- **MTBE:** MTBE (methyl-t-butyl ether) belongs to a group of chemicals commonly known as fuel oxygenates and has replaced lead as an octane enhancer since 1979.
- **Radionuclides:** The EPA has updated standards for radionuclides in drinking water.
- **Radon:** Radon is a naturally-occurring radioactive gas associated with cancer, and that may be found in drinking water and indoor air. The EPA has developed a regulation to reduce radon in drinking water.

In the summer of 2010, the EPA launched its new Drinking Water Strategy initiative. Touted by the EPA as a “national conversation” on how to best improve the nation’s public drinking water supply, the Strategy encompassed four basic principles: determining which water contaminants might best be addressed within groups, instead of individually; developing new technologies to deal with broad categories of drinking water contaminants, and to do so in the most economical ways feasible; applying multiple federal statutes governing water supplies in the effort to improve drinking water safety; and finally, encouraging cooperation between the states and the appropriate federal agencies to share a larger variety of data compiled from monitoring public water systems.

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 November 9, 2010).

#### ORGANIZATIONS

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Stephen J Randtke  
 Paula Anne Ford-Martin

## Sagebrush Rebellion

A political movement in certain western states during the late 1970s, sparked by passage of the Federal Land Policy and Management Act in 1976. The federal government owns 30 to 60 percent of the land in the twelve states that include the Rockies or lie west of them. Cattlemen, miners, loggers, developers, farmers, and others argued not only that federal ownership had an adverse impact on the economy of their states, but that it violated the principle of states' rights. This group demanded that the federal government transfer control over large amounts of this land to individual states, insisting on their right to make their own decisions about the management of both the land itself and the natural resources. The rebellion was defused after the election of Ronald Reagan in 1980. He appointed James Watt as Secretary of the Interior. Watt implemented a so-called “good neighbor” policy for the management of federal lands.

*See also* Wise use movement.

## Sahel

A 3,000-mile (5,000 km) band of semi-arid country extending across Africa south of the Sahara desert, the Sahel zone (“the shore” in Arabic) passes through Mauritania, Senegal, Mali, Burkina Faso, Niger, Chad, and the Cape Verde Islands. Similar semi-arid conditions prevail in Sudan, Ethiopia, and Somalia. These countries are among the poorest in the world. The low annual rainfall in this region is variable (4–20 in or 10–50 cm) and falls in a short, intense period in July and August. In some years the rains fail to develop, and droughts are a common occurrence. The uncertain rainfall of the Sahel makes it generally unfavorable for agriculture.

For centuries, the indigenous nomadic Tuareg people used the Sahel in a sustainable way, constantly moving herds of camels from one grazing area to the next; they practiced little agriculture. In the nineteenth and twentieth centuries, following European colonization, herds of water-dependent, non-native cattle were introduced, which were poorly suited to the arid conditions of the region. The above average rainfall of the 1950s and 1960s attracted large numbers of farmers and pastoralists into the Sahel, which placed new stresses on this fragile ecosystem. A series of droughts of the 1970s and 1980s in the Sahel resulted in episodes of large-scale starvation. From 2000 to 2010, endemic conflict in some Sahel nations, such as Sudan, led to further environmental degradation, mass migration of displaced persons, and food insecurity.

Studies of long-term climate patterns show that while droughts have been common in the Sahel for at least 2,500 years, the droughts of recent years have increased in frequency and duration. Records also show that the annual rainfall has decreased and that the sands of the Sahara have shifted some 60 miles (100 km) south into the region.

The causes of these changes have been linked to the expanding human settlement of the Sahel, with consequent increased demands on the area to produce more food and more firewood. These demands were met by increases in domestic animal herds and by more intensive agriculture. This in turn led to drastic reductions in vegetation cover. Land was cleared for farming and human settlements, vegetation was overgrazed, and large numbers of trees were cut for firewood. In this way, the natural vegetation of the Sahel (sparse, coarse grasses interspersed with thorn trees and shrubs) was dramatically altered and the ecosystem degraded.

Less vegetation cover meant more soil erosion and less groundwater recharge as heavy seasonal rainstorms hit exposed ground, carrying away valuable topsoil in flash floods. Less vegetation also meant more soil erosion from wind and rain, as there were fewer root systems to bind the soil together. In addition, fewer plants meant that less water was released into the air from their leaves to form rain-making clouds. The net result of these processes was the trend towards less annual rainfall, more soil erosion, and desertification. Other reasons for famine and desertification in the Sahel include political events (such as prolonged civil wars) and social changes (such as the breakdown of the old sustainable tribal systems of using the land).

*See also* Desertification.

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Neil Cumberlidge

## St. Lawrence Seaway

The St. Lawrence Seaway is a series of canals, locks, and lakes giving ocean-going ships of the Atlantic access to the Great Lakes and dozens of inland ports, including Toronto, Cleveland, Chicago, and Duluth. A herculean engineering project built jointly by the Canadian and United States governments beginning in 1954 and which opened in 1959, the St. Lawrence Seaway has become an essential trade outlet for the Midwest. It has also become an inlet for exotic and often harmful aquatic plants and animals, from which the landlocked Great Lakes were historically protected. The seaway's canals and locks, completed in 1959 after decades of planning and five years of round-the-clock construction, bypasses such drops as Niagara Falls and allow over 6,000 ships to sail in and out of the Great Lakes every year.

The initial impetus for canal construction came from the steel industries of Ohio and Pennsylvania. During the first half of this century, these industries relied on rich ore supplies from the Mesabi iron range in northern Minnesota. The end of this source was in sight by the 1930s; mining engineers pointed to remote but rich iron deposits in Labrador as the next alternative. Lacking a cheap transport method from Labrador to the Midwest, steel industry backers began lobbying for the St. Lawrence Seaway. Midwestern grain traders and manufacturers joined the effort, promoting the route as a public works and jobs project, an economic booster for inland states and provinces, and a symbol of joint United States and Canadian cooperation, power, nationalism, and progress.

Unfortunately the seaway and its heavy traffic have also brought modern problems to the Great Lakes. Aside from the petroleum and chemical leaks associated with active shipping routes, the seaway opened the Great Lakes to aggressive foreign animal and plant invaders. The world's largest freshwater ecosystem, the Great Lakes, had been isolated from external invasion by steep waterfalls and sheer distance until the canals and locks opened. Since the 1960s, an increasing number of Atlantic, European, and Asian species have spread through the lakes. Some arrive under their own power, but most appear to have entered with ocean-going ships. Until recently, ships arriving at Great Lakes ports commonly carried freshwater ballast picked up in Europe or Asia. When the ships reached their Great Lakes destinations they discharged their ballast and loaded grain, ore, automobiles, and other goods. A profusion of plankton and larvae riding in the ballast water thus entered a new environment; some of them thrived and spread with alarming speed.

One of the first introduced species to make its mark was the lamprey (*Petromyzon marinus*), an 18-inch (45.7-cm) long, eel-shaped Atlantic species that attaches itself to the side of a fish with its circular, suction-like mouth. Once attached, the lamprey uses its rasping teeth to feed on the fish's living tissue, usually killing its host. After the lamprey's arrival in the 1960s, Lake Superior's commercial whitefish and trout fisheries collapsed. Between 1970 and 1980, Great Lakes trout and salmon populations plummeted by 90 percent. The region's \$2 billion per year sport fishery nearly disappeared with commercial fishing. Since the 1970s, innovative control methods such as carefully-timed chemical spraying on spawning grounds have reduced lamprey populations, and native game fish have shown some recovery.

However, many other invaders have reached the Great Lakes via the seaway. Tubenose gobies (*Proterorhinus marmoratus*), a bottom-dwelling fish from the Black Sea, compete for food and spawning grounds with native perch and sculpins. The prolific North European ruffes (*Gymnocephalus cernuus*, a small perch) and spiny water flea (*Bythotrephes cederstroemi*, a tiny crustacean) also compete with native fish, and having hard, sharp spines, both are difficult for other species to eat. Asian invaders include the Asiatic clam (*Corbicula fluminea*), which colonizes and blocks industrial water outlets.

Most threatening to the region's businesses and economies is the zebra mussel (*Dreissena polymorpha*). Originating in the Baltic region, this tiny, extraordinarily prolific bivalve colonizes and suffocates industrial

water pipes, docks, hard lake-bottom surfaces, and even the shells of native clams. Ironically, the zebra mussel has also benefited the lakes by raising public awareness of exotic invasions. Alarmed industries and states, faced with the enormous cost of cleaning and replacing zebra mussel-clogged pipes and screens, have begun supporting laws forcing incoming ships to dump their ballast at sea. Although reversals of exotic invasions are probably impossible, such remedial control measures may help limit damages.

In 2010, zebra mussels were detected in waters in California, complete the coast-to-coast spread of the invader that began with the St. Lawrence Seaway.

Also in 2010, measurements of the water level of the St. Lawrence River at Montreal suggested that the level has dropped about 6 feet in the past century. In order to maintain the St. Lawrence Seaway as a viable corridor for larger ships, thought is being given to modifying the locks along the route to help retain upriver water. In one design, which echoes an ancient flood control system, submerged hydraulic doors would be triggered to raise and lower to control the depth of waterways near the locks in the seaway.

See also Biofouling; Exotic species; Introduced species; Parasites; Water pollution.

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Mary Ann Cunningham

Subbituminous coal see **Coal**.

## Sale, Kirkpatrick

1937–  
American environmental writer

Kirkpatrick Sale is a writer whose interests include the environment, the influence of technology on life and politics. In particular, he has and continues to write on

the threat that a growing, resource-hungry population poses to the environment.

Sale was born in Ithaca, New York. His father was an English professor at Cornell University who was considered something of a campus rebel. Sale attended Swarthmore College for one year before transferring to Cornell in 1955, where he majored in history and edited the student newspaper. By the time he graduated in 1958, writing had become more important to him than history, and he decided to pursue a career in journalism.

Sale first worked as an editor for the *New Leader*, an important leftist journal. During the early 1960s, he spent time in Africa, which he believed would become the center of world attention, and then worked briefly at the *New York Times Magazine*. By 1968, he had given up journalism and begun a career as a freelance writer. His first book, *SDS*, dealt with the radical Vietnam-era organization, Students for a Democratic Society. Working on the book, Sale later said, “radicalized me in a way beyond where I’d been.” After *SDS* was published in 1972, Sale began work on *Power Shift*, an analysis of shifting political themes in America, published in 1975.

*Power Shift* was published at the height of the environmental movement in the United States. Sale had grown concerned about the future of a world in which an ethic of continuous progress and development required the continued consumption of natural resources at a terrifying rate. In *Human Scale* (1980) and *Dwellers in the Land* (1985), Sale focused on a concept that he defined as bioregionalism. He used the term to describe an ethic of living within the limitations of the environment. Society, he believed, must be a part of nature; human ends and means must accommodate nature, not the reverse.

The approaching quincentennial celebration of Columbus’ arrival in the New World gave Sale the inspiration for his next book. For more than four years, he immersed himself in rereading source documents about the discoverer and his voyages. He began to think about the ways in which the transplantation of European culture and environmental ethics had transformed the New World and contributed to the modern environmental crisis. The result of this work was *Conquest of Paradise: Christopher Columbus and the Columbian Legacy*. One conclusion Sale reached in this book was that the cultures destroyed by the European invasion, those of the Native Americans, had practiced many of the environmental concepts to which modern societies must return if the world is to survive.

His most recent book, published in 2006, is *After Eden: The Evolution of Human Domination*.

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David E. Newton

## Saline soil

Soils containing enough soluble salts to interfere with the ability of plants to take up water. The conventional measurement that determines the salinity of soil is a *deciSiemens meter*; soils are considered saline if the conductivity of their saturation extract solution exceeds 4 *deciSiemens meter*-1. This unit of measure closely approximates the ionic salt concentration, and it is relatively easy to evaluate. The most common salts are composed of mixtures of sodium, calcium, and magnesium with chlorides, sulfates, and bicarbonates. Other less soluble salts of calcium sulfate and calcium and magnesium carbonate may be present as well. The pH is commonly less than 8.5.

In saline soils, there is often what is known as a perched water table—water close to the surface of the land. This phenomenon can be caused by restricting layers of fine clay within the soil, or by the application of waters at a rate greater than the natural permeability of the ground. When the water table is so close to the top of the soil, water is often transmitted to the surface where evaporation occurs, leaving the ions in the water to precipitate as salts. The resulting complex of salts can be seen on the surface of the soil as a white, crust-like layer.

The surface layers of a saline soil commonly have very good soil structure, and this is important to understand if the soil is to be reclaimed or leached of the high salt concentrations. This kind of structure

has relatively large pores; water can flow quickly through them, which aids in carrying away salty water, thus making it easier to flush out the soil. Artificial drains can also be installed beneath the surface to provide an outlet for water trapped within the soil, and once these drains are in place, salt concentrations can be further reduced by the application of good quality water.

Excessive irrigation and the use of fertilizers and animal wastes can all increase the salinity of soil. The yields of common crops and the level of agricultural production are severely reduced in areas where salts have been allowed to accumulate in the soil. Salinization can be so severe in some cases that only salt-tolerant crops can be grown. Leaching is often necessary to reduce the levels of salt and keep the soil suitable for crop production. But this process can remove other soluble components from the soil and carry them into the waste stream, polluting both groundwater and surface water. The environmental degradation from this form of agricultural pollution can be extensive, and a method needs to be developed for leaching saline soils without these consequences. If this problem cannot be solved, it will no longer be possible to use some saline soils for agriculture. As population growth continues and the global demand for food increases, another approach might be the development of more salt-tolerant plant species.

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Royce Lambert  
Douglas Smith

## Salinity

A salt is a compound of a metal with a nonmetal other than hydrogen or oxygen. Sodium chloride (NaCl), or table salt, is one of the best-known examples. The solubility of various salts in water at a standard temperature is highly variable. When salts are dissolved in water, the result is termed a saline solution, and the salinity of the solution is measured by its ability to carry an electrical current. Salinity of water is one of the

indicators of water quality. Salinity is also measured in soil. Soil can become salinized from water containing sufficient salts, from the natural degradation of soil minerals, or from materials added to the soil such as fertilizer. Large amounts of water may be required to leach the accumulated salts from the root zone and prevent reduced plant growth or plant desiccation. Salinization of soil has become a significant problem on agricultural lands, resulting in a degradation of land and a reduction in crop productivity. The salinity of land is increased primarily by soil and mineral weathering of saline parent rocks. Secondary salinization is human-caused and results from irrigation, deforestation, overgrazing, or intense agriculture. According to the United Nations Food and Agriculture Organization (FAO), as of 2008, 6 percent of global land surface is salt affected. It is estimated that approximately 4 million acres (1.6 million hectares) of farmland are rendered unusable by salinization each year. Development and use of salt stress tolerant crops is a tactic that can be employed to make use of salinized land.

*See also* Soil profile; Water quality standards.

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**Salt of the Dead Sea.** (Isabella Pfenninger/Shutterstock.com)

## Salinization

An increase in salt content, usually of agricultural soils, irrigation water, or drinking water is called salinization. Salinization is a problem because most food crops, like the human body, require fresh (nonsaline) water to survive. Although a variety of natural processes and human activities serve to raise the salt contents of soil and water, irrigation is the most widespread cause of salinization. Almost any natural water source carries some salts; with repeated applications these salts accumulate in the soil of irrigated fields. In arid regions, streams, lakes, and even aquifers can have high salt concentrations. Farmers forced to use such saline water sources for irrigation further jeopardize the fertility of their fields. In coastal areas, field salinization also results when seawater floods or seeps into crop lands. This occurs when falling water tables allow sea water to seep inland under ground, or where aquifersubsidence causes land to settle. Salinization also affects water sources, especially in arid regions where evaporation results in

concentrated salt levels in rivers and lakes. Remedies for salinization include the selection of salt-tolerant crops and flood irrigation, which washes accumulated salts away from fields but deposits them elsewhere.

Most of the world's people rely on irrigated agriculture for food supplies. Regular applications of water, either from rivers, lakes, or underground aquifers, allow grain crops, vegetables, and fruits to grow even in dry regions. California's rich Central Valley is an outstanding example of irrigation dependent agriculture. One of North America's primary gardens, the valley is naturally dry and sun baked. Canals carrying water from distant mountains allow strawberries, tomatoes, and lettuce to grow almost year round. The cost of this miraculous productivity is a gradual accumulation of salts, which irrigation water carries onto the valley's fields from ancient sea bed sediments in the surrounding mountains. Without heavy flooding and washing, Central Valley soils would become salty and infertile within a few years. Some of California's soils have become salty and infertile despite

flooding. Similar situations are extremely widespread and have been known since humankind's earliest efforts in agriculture. The collapse of early civilizations in Mesopotamia and the Indus Valley resulted in large part from salt accumulation, caused by irrigation, which made food supplies unreliable.

Salts are a group of mineral compounds, composed chiefly of sodium, calcium, magnesium, potassium, sulfur, chlorine, and a number of other elements that occur naturally in rocks, clays, and soil. The most familiar salts are sodium chloride (table salt) and calcium sulfate (gypsum). These and other salts dissolve easily in water, so they are highly mobile. When plenty of water is available to dilute salt concentrations in water or wash away salt from soil, these naturally occurring compounds have little impact. Where salt-laden water accumulates and evaporates in basins or on fields, it leaves behind increasing concentrations of salts.

Most crop plants exposed to highly saline environments have difficulty taking up water and nutrients. Healthy plants wilt, even when soil moisture is high. Leaves produced in saline conditions are small, which limits the photosynthetic process. Fruits, when fruiting is successful, are also small and few. Seed production is poor, and plants are weak. With increasing salinity, crop damage increases, until plants cannot grow at all. Water begins to have a negative effect on some crops when it contains 250–500 parts per million (ppm) salts; highly saline water, sometimes used for irrigation out of necessity, may contain 2,000–5,000 ppm or more. For comparison, sea water has salt concentrations upwards of 32,000 ppm. In soil, noticeable effects appear when salinity reaches 0.2 percent; soil with 0.7 percent salt is unsuitable for agriculture.

Another cause of soil salinization is subsidence. When water is pumped from underground aquifers, pore spaces within rocks and sediments collapse. The land then compacts, or subsides, often lowering several meters from its previous level. Sometimes this compaction brings the land surface close to the surface of remaining groundwater. Capillary action pulls this groundwater incrementally toward the surface, where it evaporates, leaving the salts it carried behind in the soil. Near coastlines such processes can be especially severe. Seawater often seeps below the land surface, especially when fresh-water aquifers have been depleted. When seawater, with especially high salt concentrations, rises to the surface it evaporates, leaving crystalline salt in the soil.

In such cases, the salinization of the aquifer itself is also a serious problem. Many near-shore aquifers are threatened today by seawater invasions. Usually

seawater invasions occur when farms and cities have extracted a substantial amount of the aquifer's water volume. Water pressure falls in the fresh-water aquifer until it no longer equals pressure from adjacent sea water. Sea water then invades the porous aquifer formation, introducing salts to formerly fresh water supplies.

Rivers are also subject to salinization. Both cities and farms that use river water return their wastewater to the river after use. Urban storm sewers and sewage treatment plants often send poor quality water back to rivers; drainage canals carry intensely saline runoff from irrigated fields back to the rivers that provided the water in the first place. When dams block rivers, especially in dry regions, millions of cubic meters of water can evaporate from reservoirs, further intensifying in-stream salt concentrations.

The Colorado River is one familiar example out of many rivers suffering from artificial salinization. The Colorado, running from Colorado through Utah and Arizona, used to empty into the Sea of Cortez south of California's Imperial Valley before human activities began consuming the river's entire discharge. Farms and cities in adjacent states consume the river's water, adding salts in wastewater returned to the river. In addition, the Colorado's two huge reservoirs, Lake Powell and Lake Mead, lie in one of the continent's hottest and driest regions and lose about 10 percent of the river's annual flow through evaporation each year. By the time it reaches the Mexican border, the river contains 850 ppm salts, too much for most urban or agricultural uses. Following a suit from Mexico, the United States government has built a \$350 million desalination plant to restore the river's water quality before it leaves Arizona. The Colorado's story is, unfortunately a common one. Similar situations abound on major and minor rivers from the Nile to the Indus to the Danube.

Salinization occurs on every occupied continent. The world's most severely affected regions are those with arid climates and long histories of human occupation or recent introductions of intense agricultural activity. North America's Great Plains, the southwestern states, California, and much of Mexico are experiencing salinization. Pakistan and northwestern India have seen losses in agricultural productivity, as have western China and inland Asian states from Mongolia and Kazakhstan to Afghanistan. Iran and Iraq both suffer from salinization, and salinization has become widespread in Africa. Egypt's Nile valley, long northern Africa's most bountiful bread basket, also has rising salt levels because of irrigation and subsidence. One of the world's most notorious case histories of

salinization occurs around the Aral Sea, in southern Russia. This inland basin, historically saline because it lacks an outlet to the sea, is fed by two rivers running from northern Afghanistan. Since the 1950s, large portions of these rivers' annual discharge has been diverted for cotton production. Consequently, the Aral Sea is steadily drying and shrinking, leaving great wastes of salty, dried sea bottom. Dust storms crossing these new deserts carry salts to both cotton and food crops hundreds of miles away.

Avoiding salinization is difficult. Where farmers have a great deal of capital to invest, as in California's Central Valley and other major agricultural regions of the United States, irrigators install a network of perforated pipes, known as tiles, below their fields. They then flood the fields with copious amounts of water. Flooding washes excess salts through the soil and into the tiles, which carry the hypersaline water away from the fields. This is an expensive method that wastes water and produces a toxic brine that must be disposed of elsewhere. Usually this brine enters natural rivers or lakes, which are then contaminated unless their volume is sufficient to once again dilute salts to harmless levels. However this method does protect fields. More efficient irrigation systems, with pipes that drip water just near plant roots themselves may be an effective alternative that contaminates minimal volumes of water.

Water can also be purified after agricultural or urban use. Purification, usually by reverse osmosis, is an expensive but effective means of removing salts from rivers. The best way to prevent water salinization is to avoid dumping urban or irrigation wastes into rivers and lakes. Equally important is avoiding evaporation by reconsidering large dam and reservoir developments. Unfortunately, most societies are reluctant to consider these options: reservoirs are widely viewed as essential to national development, and wastewater purification is an expensive process that usually benefits someone else downstream.

Perhaps the best way to deal with salinization is to find or develop crop plants that flourish under saline conditions. Governments, scientists, and farmers around the world are working hard to develop this alternative. Many wild plants, especially those native to deserts or sea coasts, are naturally adapted to grow in salty soil and water. Most food plants on which we now depend—wheat, rice, vegetables, fruits—originate in nondesert, nonsaline environments. When domestic food plants are crossed with salt-tolerant wild plants, however, salt-tolerant domestics can result. This process was used to breed tomatoes that can bear fruit when watered with 70% seawater. Other vegetables and grains, including

rice, barley, millet, asparagus, melons, onions, and cabbage, have produced such useful crossbreeds.

Equally important are innovative uses of plants that are naturally salt tolerant. Some salt-adapted plants already occupy a place in our diet—beets, dates, quinoa (an Andean grain), and others. Furthermore, careful allocation of land could help preserve remaining salt-free acreage. Planting salt-tolerant fodder and fiber crops in soil that is already saline can preserve better land for more delicate food crops, thus reducing pressure on prime lands and extending soil viability.

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Mary Ann Cunningham

## Salinization of soils

Salinization of soil involves the processes of salt accumulation in the upper rooting zone so that many plants are inhibited or prohibited from normal growth. Salinization occurs primarily in the semi-arid and arid portions of the earth. Salinization is commonly thought to occur only in the hot climatic regions, but may be found in cooler to cold portions of the earth where precipitation is very limited. Where the annual precipitation exceeds about 20 inches (500 mm), there is usually adequate downward movement of salts through leaching to prohibit the development of saline soil. Occasionally salinization of soil will occur where there has been an inundation of land by sea water. Some areas of the world were once under sea water, but due to uplift the land is now many feet above sea level. These lands are common sources of "ancient salts" and may lead to the development of saline waters as these soils are slowly leached.

Natural sources of salts in soil are primarily from the decomposition of rocks and minerals through the processes of chemical weathering. With adequate amounts of moisture present, hydrolysis, hydration, solution, oxidation, and carbonation cause the minerals to decompose and release the ionic constituents to form various salts. The more common cations are sodium, calcium, and magnesium with lesser amounts of potassium and boron. The common anions are chloride and sulfate with

lesser amounts of bicarbonate, carbonate, and occasionally nitrate.

Inherent factors of the landscape may also lead to salinization of soils. One of the more common factors is the restriction of water drainage within the soil, often referred to as soil permeability. Soil becomes less permeable because of genetic or inherited layers within the soil profile that have relatively high amounts of clay. Because of the extremely small sizes of the clay particles, the natural pore size is also very small, frequently being less than 0.0001 mm average diameter. The natural tortuosity and small size combine to severely reduce the rate of water movement downward which tends to increase salt buildup.

In addition, because of the soil pore size, saline waters may be transported upward through the processes of capillary action. While the rate of upward movement of water may be relatively slow, the process can deliver saline water from several inches below the surface of the land and create a zone of highly saline soil at the surface of the earth.

Salinization of soils has also occurred in areas where irrigation waters have been applied to lands that have not been subject to long-term natural leaching by rainfall. In several cases the application of relatively good quality water to arid soils with poor internal drainage has caused the soil to develop a higher water table which in turn has permitted the salts within the soil to be carried to the surface by high evaporation demand. In other cases water of relatively high salt content has been applied to soils without proper drainage, resulting in the development of saline soils. As the water is evaporated from the soil or the plant surfaces, the salts are left to accumulate in the soil.

Because of the increasing demand for food and fiber, many marginal lands are being brought into agronomic production. Proper irrigation management and drainage decisions must be considered on a worldwide and long-term basis to avoid a deleterious influence on the ability to produce on these soils and to determine the appropriate environmental considerations for disposal of salts from salinized soils.

*See also* Arable land; Nitrates and nitrites; Run-off; Soil conservation; Soil eluviation; Soil illuviation.

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Royce Lambert

## Salmon

Salmon is a popular fish for food and sport fishing. Five species of salmon live in the North Pacific Ocean: Pink, Sockeye, Coho, Chum, and Chinook. One species, the Atlantic salmon, lives in the North Atlantic Ocean. Two other fish species that are also members of the *Salmonidae* fish family—steelhead and sea-run cutthroat trout—live in the Pacific Northwest. The Pacific Coast salmon populations are being threatened with extirpation from much, if not all, of their range.

At the heart of the Pacific salmon species' range, and perhaps indicative of the heart of its problems, is the Columbia River basin. Covering parts of seven states and two Canadian provinces, the Columbia River system contains over 100 dams, fifty-six of which are major structures, including nineteen major generators of hydroelectric power. These structures present an insurmountable obstacle for these migrating fishes. Adult salmon, after growing and maturing in the ocean, return to the freshwater stream of their origin as they swim upstream to spawn. The adults will die shortly after this culmination of their arduous journey, and, after hatching, the young salmon—called smolts—swim downstream to the ocean to continue this lifecycle.

About three-fourths of all of the population declines of salmon are directly attributable to hydroelectric dams. The dams simply do not allow a majority of these fish to successfully complete their migration, and many salmon die as they swim, or are swept, directly into the turbines. Fish ladders, stepped pools intended as an aid for fish to bypass the dams, enable some salmon to continue their journey, but many do not find their way through. As they move downstream, the smolts are slowed or stopped by the reservoirs created by the dams. Here they are exposed to larger populations of predators than in their natural riverine habitat. They are exposed to a wide variety of pathogens as well as a physical environment of warmer, slow moving waters, to which they are only

moderately tolerant. Only 20 percent of the downstream migrants ever make it to the Pacific. Poor water quality and nutrient deficits in many stretches of the Columbia River also takes a toll on the young smolts.

Overfishing, both offshore and along the rivers, contributes to the decline of salmon populations. Fishery biologists have attempted to offset these losses of native stocks by releasing hatchery-raised salmon. However, interbreeding reduces the genetic hardiness of these fish. They also weaken the genetic lines of wild fish when they breed with them. Historically, when hatchery programs have increased the mixed stock fish population (i.e., wild and farmed) dramatically, fishing activity increases and a further depletion of wild salmon results.

To address overfishing issues, in 1996 Washington State began using a mass-marking program designed to enable fishermen to more easily identify hatchery chinook and coho salmon. Fish bred in a hatchery have their adipose fin (a small tail fin) removed before they are released. Anyone who catches a marked hatchery fish may keep it; wild salmon that are unmarked must be released back into the wild.

Realizing the need for a more balanced management plan for the Columbia River basin, Congress passed the Northwest Power Act in 1980. This act established the Northwest Power Planning Council (NWPPC), which was charged with the task of balancing long-term hydroelectric energy needs with minimizing the negative impact of dams on native salmon populations. However, despite modifications to water flow along the Snake and Columbia Rivers instituted by the NWPPC, the native salmon population continued to decline throughout the 1980s.

In 1991, the National Marine Fisheries Service (NMFS)—a division of the National Oceanic and Atmospheric Administration (NOAA)—began an extensive study of salmon populations in the northwestern United States. The NMFS found that fifty-two distinct populations (termed Evolutionarily Significant Units, or ESUs) of Pacific salmon have been identified in west coast states. That same year, Snake River stocks of sockeye salmon were first listed under the Endangered Species Act (ESA) as endangered. Twenty-six salmon ESUs are now listed as threatened or endangered status.

In June 2000, the NMFS adopted a rule prohibiting the killing or injuring of fourteen ESUs of Pacific salmon and steelhead classified as threatened under the Endangered Species Act (ESA). This “take” rule was adopted under section 4(d) of the ESA. The rule does allow for the removal of ESA-listed salmon in

association with approved programs such as scientific research and tribal fishing rights.

Various species of salmon have been added to the ESA list in the past several decades. For instance, in May 2002 Atlantic salmon was included on the ESA with an endangered status, while chum and coho were listed as threatened. Dual status ESA species (endangered in one part of their range and threatened in another) have included chinook salmon, sockeye salmon, and steelhead.

In late 2001, a U.S. district court ruled that the NMFS listing of Oregon coast coho salmon as endangered was “arbitrary and capricious” (*Alsea Valley Alliance v. Evans*). The court determined that excluding hatchery stock from the population assessment of this species, as NMFS had done, was inappropriate. In response to the *Alsea* ruling, the NMFS published a new policy regarding the listing of endangered salmon in mid-2005, this time taking the corresponding hatchery stock of each “kind” (or ESU) into account. The 2005 policy update created by the NMFS has itself been a target of further litigation, and is currently the subject of lawsuits between NMFS and litigants representing various interest groups. The litigation was still ongoing towards the end of 2010.

Regardless of controversies and lawsuits, the NMFS has nonetheless forged ahead with its mandate of protecting the various subspecies of salmon in the United States and its territorial waters. The Endangered Species Act status of each of the fifty-two ESUs of Pacific salmon is regularly updated by the NMFS. The July 1, 2009, update from the NMFS lists thirty-one salmon ESUs as being under some sort of threat; twenty-three ESUs were listed as threatened, five as endangered, and three were listed as being “species of concern.”

Long-term, sustained population recovery for these ecologically, as well as economically, important salmon populations will depend on changes in both habitat and human behavior. More water is needed downstream to aid migration. This would mean less water for irrigation and for hydroelectric-generated power. Increased water flow through releases from reservoirs and spillway openings in the hydroelectric dam system has been shown to improve salmon survival rates in the Snake River. Balancing the power requirements of the Pacific Northwest with the habitat needs of salmon species will be a key part of ensuring their continued survival.

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Eugene C Beckham  
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## Salt, Henry S.

1851–1939

English writer and reformer

Although he is probably best known as the vegetarian author of *Animals' Rights Considered in Relation to Social Progress* (1892), Henry Salt was a man with many roles—teacher, biographer, literary critic, and energetic advocate of causes he grouped under the title of humanitarianism. These included pacifism and socialism as well as vegetarianism, anti-vivisectionism, and other attempts to promote the welfare of animals. Late in his life, Salt also advocated efforts to conserve the beauties of nature, especially wildflowers and mountain districts.

Salt was born in India in 1851, the son of a colonel in the Royal Bengal Artillery. When his parents separated a year later, Salt's mother moved to England, and he spent much of his childhood at the home of her well-to-do parents. Educated at Eton and Cambridge, he embarked on an apparently comfortable career when he returned to Eton as an assistant master. While teaching at Eton, however, Salt met some of the leading radicals and reformers of the day, including William Morris, John Ruskin, and George Bernard Shaw, with whom he formed a lasting friendship. During this period Salt became a vegetarian. He also read Henry David

Thoreau's *Walden*, which inspired Salt and his wife to leave Eton and move to a country cottage in 1885 to lead a simple, self-sufficient life. For Salt, the simple life was far from dull. He became a prolific writer, although his efforts brought little financial profit. In addition to *Animals' Rights*, his works include a biography of Thoreau, studies of Shelley and Tennyson, a translation of Virgil's *Aeneid*, and an autobiography, *Seventy Years Among Savages* (1921), in which he examined English life as if he were an anthropologist studying a primitive tribe. Another book, *A Plea for Vegetarianism*, came to the attention of Mohandas Karanchand Gandhi when he was a student in London. Gandhi, who was raised a vegetarian, later wrote in his *Autobiography* that Salt's book made him "a vegetarian by choice." Salt apparently met Gandhi in 1891, then corresponded with him in 1929 during Gandhi's nonviolent struggle for the independence of India—a struggle inspired, in part, by Thoreau's essay, "Civil Disobedience."

Perhaps the best statement of Salt's views is the address he wrote to be read at his funeral. Declaring himself "a rationalist, socialist, pacifist, and humanitarian," Salt disavowed any belief "in the present established religion," but acknowledged "a very firm religious faith" in "a Creed of Kinship," stating "a belief that in years yet to come there will be a recognition of the brotherhood between man and man, nation and nation, human and sub-human, which will transform a state of semi-savagery into one of civilization, when there will be no such barbarity as warfare, or the robbery of the poor by the rich, or the ill-usage of the lower animals by mankind."

Richard K. Dagger

Salt marsh see **Wetlands**.

## Salt (road)

While several chemicals are available for deicing winter roads, common salt (NaCl) is most frequently used. Approximately 20 billion pounds (9 billion kg) of salt are used each year in the United States for treating ice and snow on roads. Calcium chloride (CaCl<sub>2</sub>), potassium chloride (KCl), and urea are also available but used in smaller quantities. Common salt is preferred because it is cheaper per pound and more effective. While the price per pound of salt is cheap, about 1.5 billion dollars are spent per year on the enormous quantity used, and its distribution and application.

Salt in its solid form does not melt ice. It first must go into solution to form a brine, and the brine effects a melting of ice and snow. Ordinarily, snow is packed on the road surface by vehicular traffic; known as the hard-pack, it forms a bond with the underlying pavement that is frequently impossible to remove with snowplows. Salt melts through the hard-pack and breaks the ice-pavement bonding. Traffic breaks the loosened ice, and snowplows are then able to remove the broken ice and packed snow. Deicing facilitates traffic movement after a snow fall and it is thought to make winter driving safer.

But there are hidden costs in the use of salt. It corrodes steel, and it is estimated that about three billion dollars is spent annually to protect vehicles from rust with corrosion-resistant coatings. Some have added to this estimate the costs of frequent washings to save vehicles from rust. The expense of salt deicing does not stop, however, with motor vehicle protection and damage. The United States has about 500,000 bridges, of which an estimated 40 percent are currently considered deficient. Damage to bridges comes from a variety of causes, but many experts consider the most significant agent for premature deterioration is deicing salt. It is estimated that repair and protection to damaged bridges in the United States in the next decade will cost between one-half and two-thirds of a billion dollars. Salt damage is also causing bridges in Great Britain to deteriorate more rapidly than expected and is believed to be the principal cause of bridge damage with an anticipated cost of repair in the next decade of a half billion pounds.

Salt damages roadside vegetation, and it has been shown that waters downstream from a deiced highway contain significantly more (in one case 31 times as much) chloride than waters in the same rivers upstream. Well water can similarly become contaminated with salt, and both Massachusetts and Connecticut have large numbers of wells with a sodium content in excess of 20 mg per liter, which is considered to be the upper limit for individuals who must control sodium intake. One area of Massachusetts has ceased using deicing salt because of concern for sodium in the drinking water.

It would be useful to develop a deicer that has less impact on the economy and the environment. One such alternative is calcium magnesium acetate (CME) which, while initially far more costly than salt, is believed to have less potential for damaging the environment. Urea remains widely used, but suffers from a number of limitations: it is effective only down to  $-7^{\circ}\text{C}$  and it can damage plant life as it is so rich in nitrogen.

*See also* Automobile; Groundwater pollution; Salinity; Salinization.

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Robert G. McKinnell

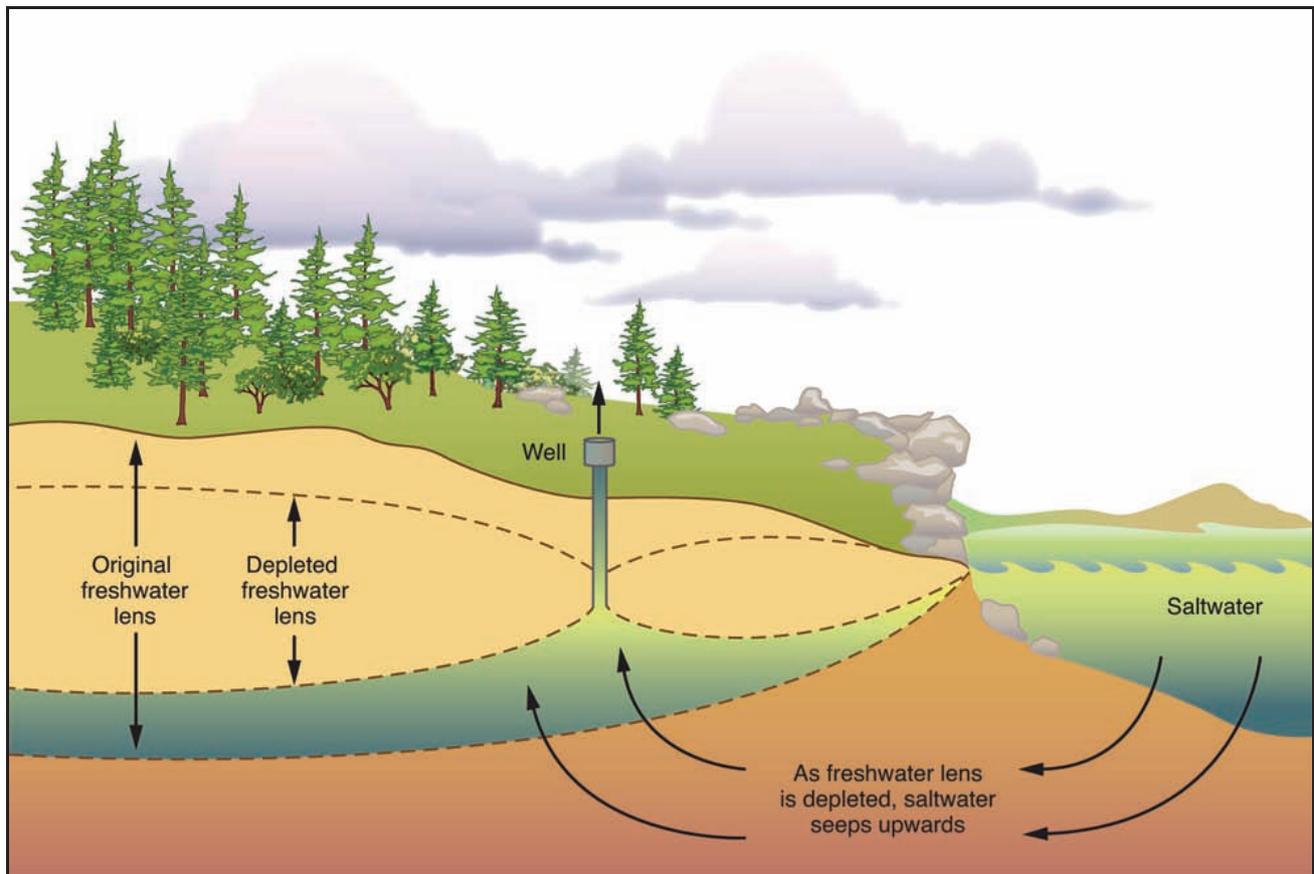
## Salt water intrusion

Aquifers in coastal areas where fresh groundwater is discharged into bodies of salt water such as oceans are subject to salt water intrusion. Intrusion occurs when water usage lowers the level of freshwater contained in the aquifer. This lowering is known as draw-down. The natural gradient sloping down toward the ocean is changed, resulting in a decrease or reversal of the flow from the aquifer to the salt water body, which causes salt water to enter and penetrate inland. If salt water travels far enough inland well fields supplying freshwater can be ruined and the aquifer can become so contaminated that it may take years to remove the salt, even with fresh groundwater available to flush out the saline water.

Salt water intrusion can also develop where there is artificial access to salt water, such as sea level canals or drainage ditches. On the coastal perimeter of the United States there are a number of areas with such intrusion problems. The options to control salt water intrusion include reduction or rearrangement of the pumping draw-down, direct recharge of freshwater into the aquifer, development of a series of wells near the coastline that pump saltwater out of the aquifer (a pumping trough, maintenance of a freshwater ridge above sea level along the coast, and construction of artificial subsurface barriers.

Developing a pumping trough or maintaining a pressure ridge are methods that do not solve the basic problem. Salt water intrusion is truly solved when the excessive removal of fresh water from the aquifer is stopped. In some areas, it is simply not economically feasible to control intrusion.

Oceanic islands, such as Hawaii, have unique problems with salt water intrusion. Most islands consist of sand, lava, coral, or limestone; they are relatively permeable, so freshwater is in contact with salt water on all sides. Because fresh water is supplied entirely by rainfall in these places, only a limited amount is available. A freshwater lens is formed by



**Saltwater intrusion into a coastal aquifer due to depletion of freshwater in the aquifer.** (Reproduced by permission of Gale, a part of Cengage Learning)

movement of the freshwater toward the coast. Depth to salt water at any location is a function of rainfall recharge, the size of the island, and permeability. Tidal and seasonal fluctuations may form a transition zone between freshwater and salt water.

The close proximity of salt water in oceanic islands can introduce saline water into fresh groundwater even without excessive overdraft. An island well pumping at a rate high enough to lower the water table disturbs the fresh-salt water equilibrium, and salt water then rises as a cone within the well. To avoid this condition, island wells are designed for minimum drawdown, and they skim freshwater from the top of the aquifer. In general, a drawdown of a few inches to a few feet provide plentiful water supplies.

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James L. Anderson

## Sand dune ecology

Dunes are mounds of sand that have been piled by the action of winds. The sand is usually composed of bits of minerals that have been eroded from rocks, picked up by water or winds, and then re-deposited somewhere else. Typically, the sand is deposited behind some object that is a barrier to the movement of air currents, which causes the windspeed to slow suddenly so that the load of sand particles can no longer be contained against the force of gravity, and it falls to



**Egypt, western desert, Dakhla Oasis; sand dunes invading fields.** (Georg Gerster / Photo Researchers, Inc.)

the ground. If there is a suitable source of sand, dunes may deposit along the edges of oceans, large lakes, rivers, and even in inland locations.

The mineralogical composition of sand in dunes varies greatly from place to place. The most common minerals are quartzitic (this is a silica sand), but other minerals may also be mixed in, and they may dominate the composition of the sand in some places. The White Sands National Monument in New Mexico, for example, is characterized by a sand of gypsum (calcium sulfate). The grain size of sands varies depending on the strength of the winds that delivered the sand to the location where the dune has formed—the greater the typical windspeed, the larger the sand grains can be.

Once deposited to the surface, unconsolidated sand tends to be moved about and shifted by the winds. In fact, dunes tend to “migrate” in the direction of the prevailing winds, with sand being picked up at the windward side of the dune, and deposited on the leeward side. Because of the mechanical instability of their surface young dunes initially provide rather precarious habitats for the establishment of vegetation. However,

if plants do manage to establish a foothold on a dune, the progressive development of vegetation over time will help to stabilize the surface, allowing an ecosystem to develop through the process of succession.

Some of the most extensive dune systems in the world occur in deserts, including parts of the Sahara Desert of northern Africa, the Gobi Desert of eastern Asia, and the Great Western Desert of the United States and Mexico. Because of the aridity of those environments only a limited amount of ecological development is possible. In places with a more moderate climate, however, sand dunes can be colonized by vegetation and succession can proceed to the development of tall, mixed-species forests. This type of succession is typical of many dune systems along the coasts of the oceans and many large lakes and rivers of North America.

### Environmental conditions

Sandy soils are generally free-draining, so that even in regions with abundant rainfall sand-dune habitats can be quite arid. The dry soil conditions are made worse by the frequently windy conditions in dune

habitats, a factor that speeds the evaporation of water from plant foliage and the soil surface. Droughty conditions are especially important on the higher parts of dunes; in low places the water table may come to the surface, creating locally moist or wet conditions, which are sometimes referred to as dune slacks.

In addition, most sands contain few nutrients, so they provide rather infertile conditions for plant growth. Sandy soils are also lacking in organic matter, which prevents them from holding much water or nutrients for later uptake by plants. In fact, some sand-dune habitats can be referred to as ombrotrophic, or “fed from the clouds,” which means that atmospheric deposition is the principal source of nutrient inputs in support of plant productivity. In addition, the low levels of calcium concentrations make sandy soils highly vulnerable to acidification, a condition that can be stressful to some species of plants.

### Vegetation of sand dunes

The species of plants that grow on sand dunes vary depending on the climate, the stability of the dunes, soil chemistry, and biogeography. Dunes of the deserts of southern California, southwestern Arizona, and nearby Mexico are sparsely vegetated with a mixture of perennial grasses, such as *Pleuraphis rigida*, perennial herbs such as the sand sagebrush (*Artemisia filifolia*), and species of shrubs, including ephedra (*Ephedra trifurca*), daleas (*Dalea* spp.), Colorado desert buckwheat (*Eriogonum deserticola*), and mesquite (*Prosopis* spp.). During occasional rainy periods these sandy deserts can bloom prolifically with showy annual herbs, such as species of *Allionia*, *Boerhavia*, *Euphorbia*, *Oenothera*, and *Pectis*. Areas of gypsum-rich sand have other species that are specific to that soil type, including yucca (*Yucca elata*), ephedra (*Ephedra torreyana*), onion blanket (*Gaillardia multiceps*), and grasses such as *Bouteloua breviseta*.

In more humid climatic regimes of North America, younger sand-dune systems are initially colonized by species of dune-grasses, such as American beach grass (*Ammophila breviligulata*), sea oats (*Uniola paniculata*), sand rye (*Elymus mollis*), and beach grass (*Calamovilfa longifolia*). As the dunes stabilize, other species invade the community. These may form a prairie with interspersed shrub- and tree-dominated nuclei, which may eventually coalesce to form continuous forest (see the next section on dune succession). Some dune-rich areas of the east coast of the United States support forests of pines (*Pinus* spp.), oaks (*Quercus* spp.), and magnolia (*Magnolia virginiana*). Older dunes in the Great Lakes region tend to be covered by mixed stands of oaks, pines, and tulip-tree (*Liriodendron tulipifera*). The inland sand hills of

northern Alberta are commonly occupied by stands of jack pine (*Pinus banksiana*).

### Primary succession on sand dunes

Succession on recently formed sand dunes is a type of primary succession. This is because the sandy substrate that is newly exposed for ecological development has no pre-existing biological capability to initiate the succession. Succession can not begin until microbes, plants, and animals have invaded the site from existing ecosystems somewhere else. (Secondary succession occurs after a disturbance, but one that has allowed some of the original biota to survive, so they can play a prominent role in ecological recovery.)

Some classic studies of primary succession have involved sand-dune ecosystems. In North America, the most famous studies were conducted on systems of dune ridges at several places on Lake Michigan and Lake Huron. Dune ridges are actively developing in those places to this day. This occurs as sand is piled up onto the beach by wave action, to be moved inland by the prevailing on-shore winds and mostly deposited on the dune closest to the edge of the lake, on its leeward, or building side. This process of dune-building is an ancient phenomenon, and it has been actively occurring in the region since deglaciation, more than twelve thousand years ago.

The dune building is, however, superimposed upon another geological process—the slow rebounding in elevation of the regional ground surface, a phenomenon that is known as isostasy. This slow, uplifting process is still occurring in response to the long-ago melting of the continental glaciers that once covered virtually all of northern North America; when the glaciers were present, their enormous weight actually depressed crustal bedrocks beneath them into Earth’s plastic mantle. The surface has been rebounding since deglaciation released the crust from the weight of the gigantic glaciers, at a fairly steady rate of about 1 yard (1 m) per century. Because of isostatic rebound, ancient shorelines of the Great Lakes are now higher in elevation than they used to be, and in some flatter areas well-defined dune systems formed on ancient shores can be found miles inland of the present shoreline of the lakes. These circumstances mean that dunes located further inland are older than dunes occurring closer to the modern lake shores. Because the ages of the various dunes can be dated, ecologists are able to study a series of dunes of various age and their associated communities. This so-called chronosequence technique allows the ecologists to reconstruct the basic elements of the successional process.

The first plants to colonize the embryonic dunes are annual and biennial plants of shoreline habitats, such as the American searocket (*Cakile edentula*), Russian thistle (*Salsola kali*), orache (*Atriplex patula*), and a small euphorb (*Eurphorbia polygonifolia*). Although buffeted by severe weather, these plants help in the initial stages of dune stabilization. The next invaders of the site are several species of dune grasses, particularly *Ammophila breviligulata* and *Calamovilfa longifolia*. The extensive roots and rhizomes of these grasses are important in binding the sandy substrate, and in stabilizing the lake-ward dune ridges and allowing them to grow larger. Once these grasses take hold, some other foredune plants can establish and begin to grow, including the beach pea (*Lathyrus maritimus*) and evening primrose (*Oenothera biennis*). With time, a tall grass prairie develops, dominated by various species of grasses and broad-leaved herbs. The prairie becomes invaded by shade-intolerant shrub and tree species, which form forest nuclei. Eventually a climax forest develops that is dominated by oaks, pines, and tulip-tree.

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Bill Freedman

Sanitary landfill see **Landfill**.

## Sanitary sewer overflows

Sanitary sewer overflows (SSOS) are discharges of untreated sewage from municipal sanitary sewer systems that were not designed to carry storm-water runoff. Almost all sewer systems experience at least occasional SSOS and they occur frequently in some systems. SSOS are a major source of water pollution in lakes, rivers, and streams. Although they are illegal under the Clean Water Act, the U.S. Environmental Protection Agency (EPA) estimated in 2010 that around 40,000 SSOS occur annually nationwide.

Of the approximately 50 trillion gallons (189 trillion l) of raw sewage that flow daily through about 19,500 sewer systems in the United States, it is estimated that well over a billion gallons (over 3.75 billion l)

were released in SSOS in 2010. This raw sewage is discharged from manholes, bypassing pump stations and treatment plants. It flows into basements, lawns, streets, parks, streams, swimming areas, and drinking water. It is estimated that sewers back up into basements 400,000 times a year in the United States.

SSOS pose a serious threat to human health and to the environment. Raw sewage can introduce disease-causing organisms into drinking water and swimming and fishing areas. People may swallow contaminated water or eat contaminated fish or shellfish. Diseases also can be contracted by breathing in organisms from sewage or by absorption through the skin. Although most such illnesses are mild stomach upsets, there is a risk of life-threatening diseases such as cholera, dysentery, and hepatitis. SSOS are a major cause of beach closures. In 2000, an SSO discharged an estimated 72 million gallons (273 million l) of raw sewage into the Indian River, causing drinking-water advisories and beach closures throughout much of Florida. According to EPA estimates (provided in 2010), there are several million cases of illness related to swimming in contaminated U.S. oceans, lakes, rivers, and streams caused by SSOS each year. Sewage contamination can rob water of oxygen and result in floating debris and harmful blooms of algae. Sewage from SSOS can destroy habitat and harm plants and animals.

SSOS often occur when excess rainfall or snowmelt in the ground enters leaky sanitary sewers that were not built to hold rainfall or which do not drain properly. Excess water also can enter sewers from roof drains that are connected to sewers, through broken pipes, or through poorly connected service lines. In some cities, as many as 60 percent of SSO incidents originate at service connections to buildings. Sometimes new subdivisions or commercial buildings are connected to systems that are too small. SSOS also can occur when pipes crack, break, or become blocked by tree roots or debris. Sediment, debris, oil, and grease can build up in pipes, causing them to break or collapse. Sometimes pipes settle or shift so that the joints no longer match. Pump or power failures also can cause SSOS. Many sewer systems in the United States are thirty to 100 years old and the EPA estimates that about 75 percent of all systems have deteriorated to where they carry only 50 percent or less of their original capacity. SSOS are also caused by improper installation or maintenance of the system and by operational errors. Some municipalities have had to spend as much as a billion dollars correcting sewer problems or have halted new development until the system is fixed or its capacity increased.

Most SSOS are avoidable. Cleaning, maintenance, and repair of systems, enlarging or upgrading

sewers, pump stations, or treatment plants, building wet-weather storage and treatment facilities, or improving operational procedures may be necessary to prevent SSOS. In some cases backup facilities may be required. Many municipalities are developing flow-equalization basins to retain excessive wet-weather flow until the system can handle it. The EPA estimates that an additional 12 billion dollars will be required annually until 2020, if the nation's sewer systems are to be repaired.

In 1995 representatives from states, municipalities, health agencies, and environmental groups formed the Sso Federal Advisory Subcommittee to advise the EPA on Sso regulations. In May 1999 President Bill Clinton ordered stronger measures to prevent SSOS that close beaches and adversely affect water quality and public health. Each EPA region is now required to inventory Sso violations and to address SSOS in priority sewer systems. In January 2001 the EPA's Office of Wastewater Management proposed a new SSO Rule that will expand the Clean Water Act permit requirements for 19,000 municipal sanitary sewer collection systems to reduce SSOS. Furthermore, some 4,800 additional municipal collection systems will be required to obtain permits from the EPA. Both the public and health and community officials will have to be notified immediately of raw sewage overflows that endanger public health. The EPA has continued to express concern about the ill effects of SSOS and how best to remediate the problem. For instance, in mid-2010 the EPA sponsored a series of "listening sessions" to gain input from various organizations—government, industry, and environmental—in order to gain feedback about the regulations contained in the National Pollutant Discharge Elimination System (NPDES) in relation to municipal sanitary sewer collection systems and SSOS; and how, or if, those regulations might best be updated.

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U.S. Environmental Protection Agency, Office of Water (4101M), 1200 Pennsylvania Avenue, N.W., Washington, DC, USA, 20460, (202) 260-7786, (202) 260-6257, <http://water.epa.gov>

Margaret Alic

## Sanitation

Sanitation encompasses the measures, methods, and activities that prevent the transmission of diseases and ensure public health. Specifically, "sanitation" refers to the hygienic principles and practices relating to the safe collection, removal and disposal of human excreta, refuse, and wastewater.

For a household, sanitation refers to the provision and ongoing operation and maintenance of a safe and easily accessible means of disposing of human excreta, garbage, and wastewater, and providing an effective barrier against waste-related and waterborne diseases.

The problems that result from inadequate sanitation can be illustrated by the following events in history:

1700 BC: Ahead of his time by a few thousand years, King Minos of Crete had running water in his bathrooms in his palace at Knossos. Although there is evidence of plumbing and sewerage systems at several ancient sites, including the cloaca maxima (or great sewer) of ancient Rome, their use did not become widespread until modern times.

1817: A major epidemic of cholera hit Calcutta, India, after a national festival. There is no record of exactly how many people were affected, but there were 10,000 fatalities among British troops alone. The epidemic then spread to other countries and arrived in the United States and Canada in 1832. The governor of New York quarantined the Canadian border in a vain attempt to stop the epidemic. When cholera reached New York City, people were so frightened they either fled or stayed inside, leaving the city streets deserted.

1854: The London physician John Snow demonstrated that cholera deaths in an area of the city could all be traced to a common public drinking water pump that was contaminated with sewage from a nearby house. Although he could not identify the exact cause, he did convince authorities to close the pump.

1859: The British Parliament was suspended during the summer because of the stench coming from the Thames. As was the case in many cities at this time,

storm sewers carried a combination of sewage, street debris, and other wastes, and storm water to the nearest body of water. According to one account, the river began to “seethe and ferment under a burning sun.”

1892: The comma-shaped bacterium that causes cholera was identified by German scientist Robert Koch during an epidemic in Hamburg. His discovery proved the relationship between contaminated water and the disease.

1939: Sixty people died in an outbreak of typhoid fever at Manteno State Hospital in Illinois. The cause was traced to a sewer line passing too close to the hospital’s water supply.

1940: A valve that was accidentally opened caused polluted water from the Genesee River to be pumped into the Rochester, New York, public water supply system. About 35,000 cases of gastroenteritis and six cases of typhoid fever were reported.

1955: Water containing a large amount of sewage was blamed for overwhelming a water treatment plant and causing an epidemic of hepatitis in Delhi, India. An estimated one million people were infected.

1961: A worldwide epidemic of cholera began in Indonesia and spread to eastern Asia and India by 1964; Russia, Iran, and Iraq by 1966; Africa by 1970; and Latin America by 1991.

1968: A four-year epidemic of dysentery began in Central America resulting in more than 500,000 cases and at least 20,000 deaths. Epidemic dysentery is currently a problem in many African nations.

1993: An outbreak of cryptosporidiosis in Milwaukee, Wisconsin, claimed 104 lives and infected more than 400,000 people, making it the largest recorded outbreak of waterborne disease in the United States.

2010: Ten months after an earthquake displaces more than one million people on the island of Haiti, a cholera outbreak begins in one of the many rural tent cities lacking adequate sanitation that where survivors are living in cramped conditions. Despite efforts to extinguish the outbreak that had already taken the lives of over 500 people, Hurricane Tomas hits the island, flooding the contaminated water sources that initiated the outbreak and spreading cholera closer to the heavily populated capital city of Port-Au-Prince.

### Modern sanitation challenges, Pacific Islands example

The problem of sanitation in developed countries, who have the luxury of adequate financial and technical resources is more concerned with the consequences

arising from inadequate commercial food preparation and the results of bacteria becoming resistant to disinfection techniques and antibiotics. Flush toilets and high-quality drinking water supplies have all but eliminated cholera and epidemic diarrheal diseases. However, in many developing countries, inadequate sanitation is still the cause of life or death struggles.

In 1992, the South Pacific Regional Environment Programme (SPREP) and a Land-Based Pollutants Inventory stated that “[t]he disposal of solid and liquid wastes (particularly of human excrement and household garbage in urban areas), which have long plagued the Pacific, emerge now as perhaps the foremost regional environmental problem of the decade.”

High levels of fecal coliform bacteria have been found in surface and coastal waters. The SPREP Land-Based Sources of Marine Pollution Inventory describes the Federated States of Micronesia’s sewage pollution problems in striking terms: The prevalence of water-related diseases and water quality monitoring data indicate that the sewage pollutant loading to the environment is very high. A waste quality monitoring study was unable to find a single clean, uncontaminated site in the Kolonia, Pohnpei, area.

Many central wastewater treatment plants constructed with funds from United States Environmental Protection Agency in Pohnpei and in Chuuk States have failed due to lack of trained personnel and funding for maintenance.

In addition, septic systems used in some rural areas are said to be of poor design and construction, while pour-flush toilets and latrines—which frequently overflow in heavy rains—are more common. Over-the-water latrines are found in many coastal areas, as well.

In the Marshall Islands, signs of eutrophication—excess water plant growth due to too many nutrients—resulting from sewage disposal are evident next to settlements, particularly urban centers. According to a draft by the Marshall Islands NEMS, “one-gallon blooms occur along the coastline in Majuro and Ebeye, and are especially apparent on the lagoon side adjacent to households lacking toilet facilities.” Stagnation of lagoon waters, reef degradation, and fish kills resulting from the low levels of oxygen have been well documented over the years. Additionally, red tides plague the lagoon waters adjacent to Majuro.

There is significant groundwater pollution in the Marshall Islands as well. The Marshall Island EPA estimates that more than 75 percent of the rural wells tested are contaminated with fecal coliform and other bacteria. Cholera, typhoid and various diarrheal disorders occur.

With very little industry present, most of these problems are blamed on domestic sewage, with the greatest contamination problems believed to be from pit latrines, septic tanks, and the complete lack of sanitation facilities for 60 percent of rural households. As is often the case, poor design and inappropriate placement of these systems are often identified as the cause of contamination problems. In fact, even the best of these systems in the most favorable soil conditions allow significant amounts of nutrients and pathogens into the surrounding environment, and the soil characteristics and high water table typically found on atolls significantly inhibit treatment. In addition, the lack of proper maintenance, due to a lack of equipment to pump out septic tanks, is likely to have degraded the performance of these systems even further.

Forty percent of the population in the Republic of Palau is served by a secondary sewage treatment plant in the state of Koror, which is generally thought to provide adequate treatment. However, the Koror State government has expressed concern over the possible contamination of Malakal Harbor, into which the plant discharges. Also, some low-lying areas served by the system experience periodic back-flows of sewage which run into mangrove areas, due to mechanical failures with pumps and electrical power outages. In other low-lying areas not covered by the sewer system, septic tanks and latrines are used, which also overflow, affecting marine water quality.

Rural areas primarily rely on latrines, causing localized marine contamination in some areas. Though there have been an increasing number of septic systems installed as part of a rural sanitation program funded by the United States, there is anecdotal evidence that they may not be very effective. Many of the septic tank leach fields may not be of adequate size. In addition, a number of the systems are not used at all, as some families prefer instead to use latrines as the actual toilets and enclosures are not provided with the septic tanks as part of the program.

Wastewater problems also result from agriculture. According to the EPA, pig waste is considered to be a more significant problem than human sewage in many areas.

Because sanitation has become a social responsibility, national, state and local governments have adopted regulations that, when followed, should provide adequate sanitation for the governed society. However, some of the very technologies and practices that were instituted to provide better health and sanitation now have been found to be contaminating ground and surface waters. For example, placing

chlorine in drinking water and wastewater to provide disinfection, has now been found to produce carcinogenic compounds called trihalomethanes and dioxin. Collecting sanitary waste and transporting them along with industrial waste to inadequate treatment plants costing billions of dollars has failed to provide adequate protection for public health and environmental security.

### Sanitation through stable ecosystems model

Increasingly, the solution seems to be found in methods and practices that borrow from the stable ecosystems model of waste management. That is, there are no wastes, only resources that need to be connected to the appropriate organism that requires the residuals from one organism as the nutritional requirement of another. New waterless composting toilets that destroy human fecal organisms while they produce fertilizer, are now the technology of choice in the developing world and have also found a growing niche in the developed world. Wash water, rather than being disposed of into ground and surface waters, is now being utilized for irrigation. The combination of these two ecologically engineered technologies provides economical sanitation, eliminates pollution, and creates valuable fertilizers for plants, while reducing the use of potable water for irrigation and toilets.

Simple hand washing is the most important measure in preventing disease transmission. Hand washing breaks the primary connection between surfaces contaminated with fecal organisms and the introduction of these pathogens into the human body. The use of basic soap and water, not exotic disinfectants, when practiced before eating and after defecating may save more lives than all of the modern methodologies and technologies combined.

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Carol Steinfeld

## Santa Barbara oil spill

On January 28, 1969, a Union Oil Company oil drilling platform 6 miles (10 km) off the coast of Santa Barbara, California, suffered a blowout, leading to a tremendous ecological disaster. Before it could be stopped, 3 million gallons (11.4 million l) of crude oil gushed into the Pacific Ocean, killing thousands of birds, fish, sea lions, and other marine life. For weeks after the spill, the nightly television news programs showed footage of the effects of the giant black slick, including oil-soaked birds on the shore dead or dying.

Many people viewed the disaster as an event that gave the modern environmental movement—which began with the publication of Rachel Carson’s book *Silent Spring* in 1962—a new impetus in the United States. “The blowout was the spark that brought the environmental issue to the nation’s attention,” Arent Schuyler, an environmental studies lecturer at the University of California at Santa Barbara (UCSB), said in a 1989 interview with the *Los Angeles Times*. “People could see very vividly that their communities could bear the brunt of industrial accidents. They began forming environmental groups to protect their communities and started fighting for legislation to protect the environment,” Schuyler explained.

## The background

The spill began when oil platform workers were pulling a drilling tube out of one of the wells to replace a drill bit. The pressure differential created by removing the tube was not adequately compensated for by pumping mud back down into the 3,500-ft-deep well (about 1,067 m). This action caused a drastic buildup of pressure, resulting in a blowout; natural gas, oil, and mud shot up the well and into the ocean. The pressure also caused breaks in the ocean floor surrounding the well, from which more gas and oil escaped.

## Cleanup and environmental impact

It took workers eleven days to cap the well with cement. Several weeks later, a leak in the well occurred, and for months, the well continued to spew more oil. On the surface of the ocean, an 800-square miles-long (about 1,278 km<sup>2</sup>) oil slick formed. Incoming tides carried the thick tar-like oil onto 35 miles (56 km) of scenic California beaches—from Rincon Point to Goleta. The spill also reached the off-shore islands of Anacapa, Santa Cruz, Santa Rosa, and San Miguel.

The Santa Barbara area is known to have some “natural” petroleum seepages, thus allowing for some degree of adaptability in the local marine plants and animals. However, the impact of the oil spill on sea life was disastrous. Dozens of sea lions and dolphins were assumed killed, and many were washed ashore. The oil clogged the blowholes of the dolphins, causing massive bleeding in the lungs, and suffocation. It has been estimated that approximately 9,000 birds died as the oil stripped their feathers of the natural waterproofing that kept them afloat. Multitudes of fish were killed, and many others fled the area, causing economic harm to the region’s fishermen. A great number of whales migrating from the Gulf of Alaska to Baja, Mexico, were forced to take a sweeping detour around the polluted water.

Although hundred of volunteers, many of them UCSB students, worked on-shore catching and cleaning birds and mammals, an army of workers used skimmers to scoop up oil from the ocean surface. Airplanes were used to drop detergents on the oil slick to try to break it up. The cleanup effort took months to complete and cost millions of dollars. It also had a detrimental effect on tourism in the Santa Barbara area for many months. The excessively toxic dispersants and detergents used in these sensitive habitats also caused great ecological damage to the area. In later years, chemicals used to control petroleum spills were far less toxic.

## Legal impact

Environmentalists claimed the spill could have been prevented and blamed both Union Oil and the U.S. Geological Survey (USGS). By approving the drilling permit, USGS officials were waiving current federal safety regulations for the oil company's benefit. Federal and state investigations also determined that if additional steel-pipe sheeting had been placed inside the drilling hole, the blowout would have been prevented. This type of sheeting was required under the regulations waived by the USGS.

In the years following the oil spill, the state and federal governments enacted many environmental protection laws, including the California Environmental Quality Act and the National Environmental Policy Act of 1969. The state also banned offshore oil drilling for sixteen years, established the federal Environmental Protection Agency (EPA) and the California Coastal Commission, and created the nation's first environmental studies program at UCSB.

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Ken R. Wells

## Saprophyte

A saprophyte is an organism that survives by consuming nutrients from dead and decaying plant and animal material. Saprophytes include fungi, molds, most bacteria, actinomycetes, and a few plants and animals. Saprophytes contain no chlorophyll and are, therefore, unable to produce food through photosynthesis, the light-

driven conversion of chemical compounds that can then power cellular processes. Organisms that do not produce their own food, heterotrophs, obtain nutrients from surrounding sources, living or dead. For saprophytes, the source is non-living organic matter. Saprophytes are known as decomposers. They absorb nutrients from forest floor material, reducing complex compounds in organic material into components useful to themselves, plants, and other microorganisms. For example, lignin, one of three major materials found in plant cell walls, is not digestible by plant-eating animals or useable by plants unless broken down into its various components, mainly complex sugars. Certain saprophytic fungi are able to reduce lignin into useful compounds. Saprophytes thrive in moist, temperate to tropical environments. Most require oxygen to live. North American plant saprophytes include truffles, Indian Pipe (*Monotropa uniflora*), pinedrops (*Pterospora andromedea*), and snow orchid (*Cephalanthera austinae*), all of which feed on forest floor litter in the northeast forests.

Monica Anderson

SARA see **Superfund Amendments and Reauthorization Act (1986)**.

## Savanna

A savanna is a dry grassland with scattered trees. Most ecologists agree that a characteristic savanna has an open or sparse canopy with 10–25 percent tree cover, a dominant ground cover of annual and perennial grasses, and less than 20 inches (50 cm) of rainfall per year. Greatly varied environments, from open deciduous forests and parklands, to dry, thorny scrub, to nearly pure grasslands, can be considered savannas. At their margins these communities merge, more or less gradually, with drier prairies or with denser, taller forests. Savannas occur at both tropical and temperate latitudes and on all continents except Antarctica. Most often savannas occupy relatively level, or sometimes rolling, terrain. Characteristic savanna soils are dry, well-developed ultisols, oxisols, and alfisols, usually basic and sometimes lateritic. These soils develop under savannas' strongly seasonal rainfall regimes, with extended dry periods that can last up to ten months. Under natural conditions an abundance of insect, bird, reptile, and mammal species populate the land.

Savanna shrubs and trees have leathery, sometimes thorny, and often small leaves that resist drought, heat, and intense sunshine normally found in this environment. Savanna grasses are likewise thin and tough, frequently growing in clumps, with seasonal stalks rising from longer-lived underground roots. Many of these plants have oily or resinous leaves that burn intensely and quickly in a fire. Extensive root systems allow most savanna plants to exploit moisture and nutrients in a large volume of soil. Most savanna trees stand less than 32 feet (10 m) tall; some have a wide spreading canopy while others have a narrower, more vertical shape. Characteristic trees of African and South American savannas include acacias and miombo (*Brachystegia* spp.). Australian savannas share the African baobab, but are dominated by eucalyptus species. Oaks characterize many European savannas, while in North America oaks, pines, and aspens are common savanna trees.

Because of their extensive and often nutritious grass cover, savannas support extensive populations of large herbivores. Giraffes, zebras, impalas, kudus, and other charismatic residents of African savannas are especially well-known. Savanna herbivores in other regions include North American bison and elk and Australian kangaroos and wallabies. Carnivores—lions, cheetahs, and jackals in Africa, tigers in Asia, wolves and pumas in the Americas—historically preyed upon these huge herds of grazers. Large running birds, such as the African ostrich and the Australian emu, inhabit savanna environments, as do a plethora of smaller animal species. In the past century or two many of the world's native savanna species, especially the large carnivores, have disappeared with the expansion of human settlement. Today ranchers and their livestock take the place of many native grazers and carnivores.

Savannas owe their existence to a great variety of convergent environmental conditions, including temperature and precipitation regimes, soil conditions, fire frequency, and fauna. Grazing and browsing activity can influence the balance of trees to grasses. Fires, common and useful for some savannas but rare and harmful in others, are an influential factor in these dry environments. Precipitation must be sufficient to allow some tree growth, but where rainfall is high some other factors, such as grazing, fire, or soil drainage needs to limit tree growth. Human activity also influences the occurrence of these lightly-treed grasslands. In some regions recent expansion of ranches, villages, or agriculture have visibly extended savanna conditions. Elsewhere centuries or millennia of human occupation make natural and anthropogenic conditions difficult to distinguish. Because savannas are

well suited to human needs, people have occupied some savannas for tens of thousands of years. In such cases people appear to be an environmental factor, along with climate, soils, and grazing animals, that help savannas persist.

*See also* Deforestation.

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Mary Ann Cunningham

## Savannah River site

From the 1950s to the 1990s, the manufacture of nuclear weapons was a high priority item in the United States. The nation depended heavily on an adequate supply of atomic and hydrogen bombs as its ultimate defense against enemy attack. As a result, the government had established seventeen major plants in twelve states to produce the materials needed for nuclear weapons.

Five of these plants were built along the Savannah River near Aiken, South Carolina. The Savannah River Site (SRS) is about 20 miles (32 km) southeast of Augusta, Georgia, and 150 miles (242 km) upstream from the Atlantic Ocean. The five reactors sit on a 310 square mile (803 km<sup>2</sup>) site that also holds 34 million gallons (129 million l) of high-level radioactive wastes.

The SRS reactors were originally used to produce plutonium fuel used in nuclear weapons. In recent years, they have been converted to the production of tritium gas, an important component of fusion (hydrogen) bombs.

For more than a decade, environmentalists have been concerned about the safety of the SRS reactors. The reactors were aging; one of the original SRS reactors, for instance, had been closed in the late 1970s because of cracks in the reactor vessel.

The severity of safety issues became more obvious, however, in the late 1980s. In September 1987, the U.S. Department of Energy (DOE) released a memorandum summarizing thirty “incidents” that had occurred at SRS between 1975 and 1985. Among these were a number of unexplained power surges that nearly went out of control. In addition, the meltdown of a radioactive fuel element in November 1970 took approximately 900 people about three months to clean up.

E. I. du Pont de Nemours and Company, operator of the SRS facility at that time, claimed that all “incidents” had been properly reported and that the reactors did not present a serious risk to the area. Worried about maintaining a dependable supply of tritium, DOE officials accepted du Pont’s explanation and allowed the site to continue operating.

In 1988, however, the problems at SRS began to snowball. By that time, two of the original five reactors had been shut down permanently and two, temporarily. Then, in August of 1988, an unexplained power surge caused officials to temporarily close down the fifth, “K,” reactor. Investigations by federal officials found that the accident was a result of human errors. The investigation found that its operators were poorly trained and improperly supervised. In addition, they were working with inefficient, aging equipment that lacked adequate safety precautions.

Still concerned about its tritium supply, the DOE spent more than \$1 billion to upgrade and repair the K reactor and to retrain staff at SRS. On December 13, 1991, Energy Secretary James D. Watkins (1927–) announced that the reactor was to be re-started again, operating at 30 percent capacity.

During final tests prior to re-starting, however, 150 gallons (569 l) of cooling water containing radioactive tritium leaked into the Savannah River. A South Carolina water utility company and two food processing companies in Savannah, Georgia, had to discontinue using river water in their operations.

The ill-fated reactor experienced yet another setback only five months later. During another effort at re-starting the K reactor in May 1992, radioactive tritium once again escaped into the surrounding environment and, once again, start-up was postponed.

By the fall of 1992, changes in the balance of world power would cast additional doubt on the future of SRS. The Soviet Union had collapsed and neither Russia nor the Soviet Union’s other former satellite states were regarded as an immediate threat to U.S. security, as former Soviet military might was now spread among a number of nations with urgent economic priorities.

American president George H. W. Bush (1924–) and Russian leader Boris Yeltsin (1931–2007) had agreed to sharp cut-backs in the number of nuclear warheads held by both sides. In addition, experts determined that the existing supply of tritium would be sufficient for U.S. defense needs until at least 2012.

In 2000, the K reactor was turned into a materials storage facility, used in part for storage of plutonium beginning in 2002. The plutonium will be held at the site until it is converted into nuclear reactor fluid by 2017. If the plutonium has not been converted, it will be transferred to an other location. In 2005, the Tritium Extraction Facility (TEF) became operational. The TEF is used to extract tritium from materials irradiated from nuclear reactors maintained by the Tennessee Valley Authority. In 2007, design plans for the Salt Waste Processing Facility (SWPF) began. The SWPF will process radioactive liquid waste that is currently stored in underground storage tanks at the SRS site. In addition, that same year, construction for the Mixed Oxide Fuel Fabrication Facility (MOFFF) began. The MOFFF will process plutonium oxide.

As of 2008, the contract for the management and operation of the SRS is held by Savannah River Nuclear Solutions LLC (SRNS)—a joint effort by Honeywell, Lockheed Martin, and Northrop Grumman. The liquid waste operations contract is under the control of Savannah River Remediation.

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David E. Newton

## Save the Whales

Save the Whales is a non-profit, grassroots organization that was founded and incorporated in 1977. It was conceived by fourteen-year-old Maris Sidenstecker II when she learned that whales were being cruelly slaughtered. She designed a T-shirt with a logo that read “Save the Whales” and designated the proceeds to help save whales. Her mother, Maris Sidenstecker I, helped co-found the organization and still serves as its executive director.

Unlike some environmental groups, Greenpeace for example, Save the Whales is involved in very few direct action protests and activities. Its primary focus is education. The group’s educational staff is comprised of marine biologists, environmental educators, and researchers. They speak to school groups, senior citizen organizations, clubs, and numerous other organizations. Save the Whales is opposed to commercial whaling and works to save all whale species from extinction. The group also sends a representative to the annual International Whaling Commission conference.

WOW!, Whales On Wheels, is an innovative program developed by Save the Whales. WOW! travels throughout California educating thousands of children and adults about marine mammals and their environment. WOW! features live presenters and incorporates audio and video into the program along with hands-on exhibits and marine mammal activity projects.

Save the Whales also developed a regional Marine Mammal Beach Program for school aged children. The organization also supports marine mammal research. It helps finance research on seals and sea lions in Puget Sound (Washington), and makes financial contributions to SCAMP (Southern California Migration Project) to support studies of gray whale migration.

### ORGANIZATIONS

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Debra Glidden

## Save-the-Redwoods League

The Save-the-Redwoods League was founded in 1918 to protect California’s redwood forests for future generations to enjoy. Prominent individuals involved in

the formation of the League included Stephen T. Mather, first Director of the National Park Service; Congressman William Kent, author of the bill creating the National Park Service; Newton Drury, Director of the National Park Service from 1940 to 1951; and John Merriam, paleontologist and later president of the Carnegie Institute. The impetus for forming the organization was a trip taken in 1917 by several of these men and others during which they witnessed widespread destruction of the forests by loggers. They were appalled to learn that not one tree was protected by either the state or the federal government. Upon their return, they wrote an article for *National Geographic* detailing the devastation. Shortly thereafter they formed the Save-the-Redwoods League. One of the League’s first actions was to recommend to Congress that a Redwoods National Park be established.

The specific stated objectives of the organization are:

- “to rescue from destruction representative areas of our primeval forests,
- to cooperate with the California State Park Commission, the National Park Service, and other agencies, in establishing redwood parks, and other parks and reservations,
- to purchase redwood groves by private subscription,
- to foster and encourage a better and more general understanding of the value of the primeval redwood or sequoia and other forests of America as natural objects of extraordinary interest to present and future generations,
- to support reforestation and conservation of our forest areas.”

This non-profit organization uses donations to purchase redwood lands from willing sellers at fair market value. All contributions, except those specified for research or reforestation, are used for land acquisition.

The League’s members and donors have given tens of millions of dollars in private contributions since the formation of the organization. These monies have been used to purchase and protect more than 181,000 acres (73,250 ha) of redwood park land. The establishment of the Redwoods National Park in 1968, and the park’s subsequent expansion in 1978, represented milestones for the Save-the-Redwoods League which had fought for such a national park for sixty years.

Mere acquisition of the redwood groves does not ensure the long-term survival of the redwoods ecosystem. Based on over seventy-five years of study by park planners and ecologists, a major goal of the Save-the-Redwoods League is to complete each of the existing redwoods parks as ecological units along logical

watershed boundary lines. The acquisition of these watershed lands are necessary to act as a buffer around the groves to protect them from effects of adjacent logging and development.

#### ORGANIZATIONS

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Ted T. Cable

## Scarcity

As most commonly used, the term scarcity refers to a limited supply of some material. With the rise of environmental consciousness in the 1960s, scarcity of natural resources became an important issue. Critics saw that the United States and other developed nations were using natural resources at a frightening pace. How long, they asked, could non-renewable resources such as coal, oil, natural gas, and metals last at the rate they were being consumed?

A number of studies produced some frightening predictions. The world's oil reserves could be totally depleted in less than a century, according to some experts, and scarce metals such as silver, mercury, zinc, and cadmium might be used up even faster at then-current rates of use.

One of the most famous studies of this issue was that of the Club of Rome, conducted in the early 1970s. The Club of Rome was an international group of men and women from twenty-five nations concerned about the ultimate environmental impact of continued population growth and unlimited development. The club commissioned a complex computer study of this issue to be conducted by a group of scholars at the Massachusetts Institute of Technology. The result of that study was the now famous book *The Limits to Growth*.

*Limits* presented a depressing view of the Earth's future if population and technological development were to continue at then-current rates. With regard to non-renewable resources such as metals and fossil fuels, the study concluded that the great majority of those resources would become "extremely costly 100 years from now." Unchecked population growth and development would, therefore, lead to widespread scarcity of many critical resources.

The *Limits* argument appears to make sense. There is only a specific limited supply of coal, oil, chromium, and other natural resources on Earth. As population grows and societies become more advanced technologically, those resources are used up more rapidly. A time must come, therefore, when the supply of those resources becomes more and more limited, that is, they become more and more scarce.

Yet, as with many environmental issues, the obvious reality is not necessarily true. The reason is that there is a second way to define scarcity, an economic definition. To an economist, a resource is scarce if people pay more money for it. Resources that are bought and sold cheaply are not scarce.

One measure of the *Limits* argument, then is to follow the price of various resources over time, to see if they are becoming more scarce in an economic sense. When that study is conducted, an interesting result is obtained. Supposedly "scarce" resources such as coal, oil, and various metals have actually become *less* costly since 1970 and must be considered, therefore, to be less scarce than they were two decades ago.

How this can happen has been explained by economist Julian Simon, a prominent critic of the *Limits* message. As the supply of a resource diminishes, Simon says, humans become more imaginative and more creative in finding and using the resource. For example, gold mines that were once regarded as exhausted have been re-opened because improved technology makes it possible to recover less concentrated reserves of the metal. Industries also become more efficient in the way they use resources, wasting less and making what they have go further.

In one sense, this debate is a long-term versus short-term argument. One can hardly argue that the Earth's supply of oil, for example, will last forever. However, given Simon's argument, that supply may last much longer than the authors of *Limits* could have imagined twenty years ago.

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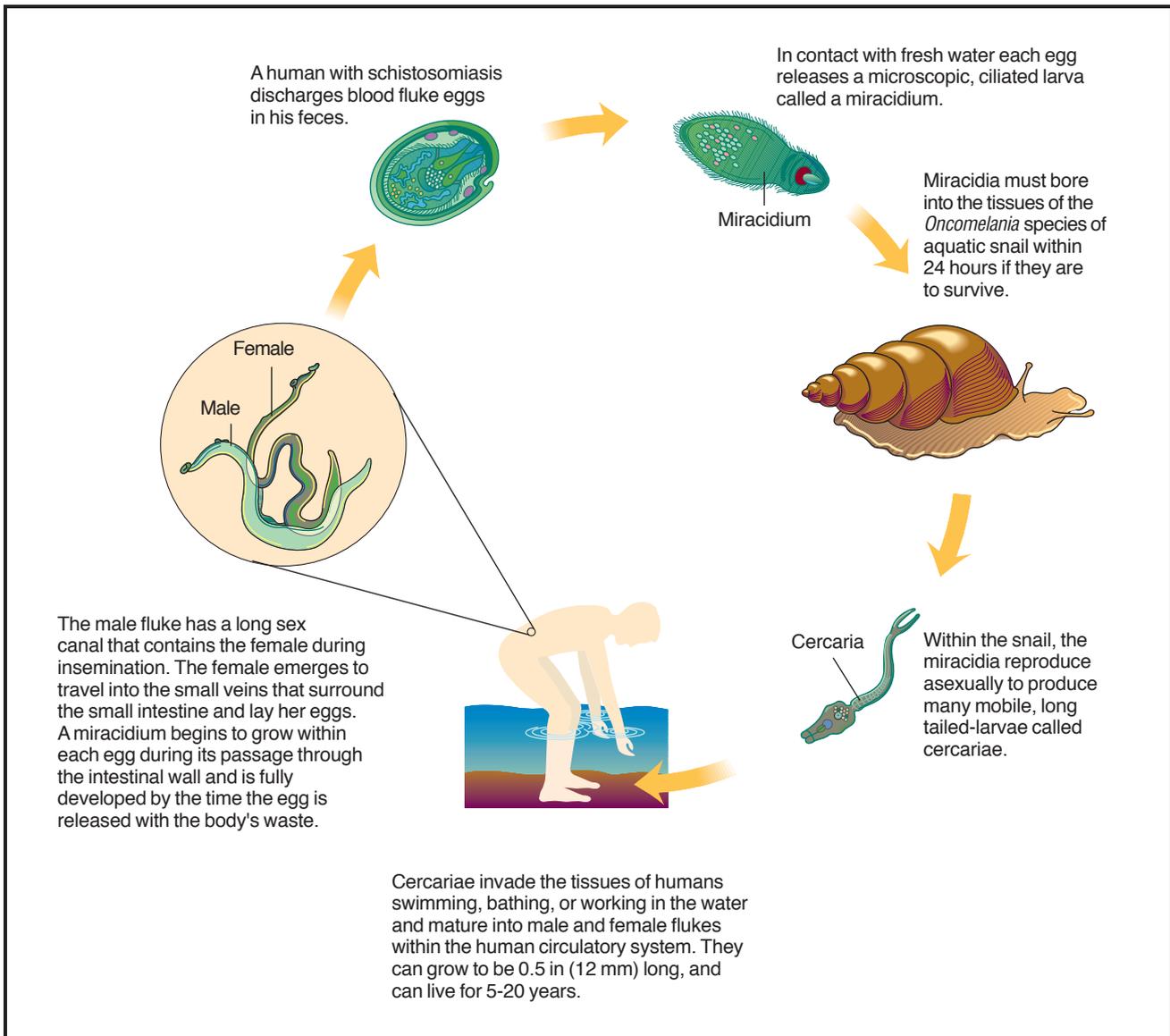
## Scavenger

Any substance or organism that cleans a setting by removing dirt, decaying matter, or some other unwanted material. Vultures are typical biological scavengers because they feed on the carcasses of dead animals. Certain chemicals can act as scavengers in chemical reactions. Lithium and magnesium are used in the metal industry as scavengers since these metals react with and remove small amounts of oxygen and nitrogen from molten metals. Even rain and snow can

be regarded as scavengers because they wash pollutants out of the atmosphere.

## Schistosomiasis

Human blood fluke disease, also called schistosomiasis or bilharziasis, is a major parasitic disease affecting over 207 million people worldwide, mostly those in the tropics. Although sometimes fatal, schistosomiasis



**The life cycle of the blood fluke. This parasite causes the disease schistosomiasis in humans.** (Reproduced by permission of Gale, a part of Cengage Learning)

more commonly results in chronic ill-health and low energy levels. The disease is caused by small parasitic flatworms of the genus *Schistosoma*. Of the three species, two (*S. haematobium* and *S. mansoni*) are found in Africa and the Middle East, the third (*S. japonicum*) in the Orient. *Schistosoma haematobium* lives in the blood vessels of the urinary bladder and is responsible for over 100 million human cases of the disease a year. *Schistosoma mansoni* and *Schistosoma japonicum* reside in the intestine; the former species infect 75 million people a year and the latter 25 million.

Schistosomiasis is spread when infected people urinate or defecate into open waterways and introduce parasite eggs that hatch in the water. Each egg liberates a microscopic free-living larva called the *miracidium* which bores into the tissues of a water snail of the genus *Biomphalaria*, *Bulinus*, or *Onchomelania*, the intermediate host. Inside the snail the parasite multiplies in sporocyst sacs to produce masses of larger, mobile, long-tailed larvae known as *cercariae*. The cercariae emerge from the snail into the water, actively seek out a human host, and bore deep into the skin. More than 700 million people worldwide are at risk of infection with the parasite, as their farming, domestic chores, and recreation put them in contact with infested water. Larvae that reach the blood vessels are carried to the liver where they develop into adult egg-producing worms that settle in the vessels of the urinary bladder or intestine. Adult *Schistosoma* live entwined in mating couples inside the small veins of their host. Fertilized females release small eggs (0.2 mm long), at the rate of 3,500 per day, which are carried out of the body with the urine or the feces.

The symptoms of schistosomiasis correlate with the progress of the disease. Immediately after infection migrating cercariae cause itching skin. Subsequent establishment of larvae in the liver damages this organ. Later, egg release causes blood in the stool (dysentery), damage to the intestinal wall, or blood in the urine (hematuria), and damage to the urinary bladder.

Schistosomiasis is increasing in developing countries due in part to rapidly increasing human populations. In rural areas, attempts to increase food production that include more irrigation and more dams also increase the habitat for water snails. In urban areas the combination of crowding and lack of sanitation ensures that increasingly large numbers of people become exposed to the parasite.

Most control strategies for schistosomiasis target the snail hosts. One strategy kills snails directly by adding snail poisons (molluscicides) to the water. Another

strategy either kills or removes vegetation upon which snails feed. Biological methods of snail control include the introduction of fish that feed on snails, of snails that kill schistosome snail hosts, of insect larvae that prey on snails, and of flukes that kill schistosomes inside the snail. Some countries, such as Egypt, have attempted to eliminate the parasite in humans through mass treatment with curative drugs including ambilhar, niridazole, nicolifan, and praziquantel. Total eradication programs for schistosomiasis focus both on avoiding contact with the parasite through education, better sanitation, and on breaking its life cycle through snail control and human treatment.

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Neil Cumberlidge

## Schumacher, Ernst F.

1911–1977

German/English economist

Ernst Schumacher combined his background in economics with an extensive background in theology to create a unique strategy for reforming the world's socioeconomic systems, and by the early 1970s he had risen nearly to folk hero status.

Schumacher was born in Bonn, Germany. He attended a number of educational institutions, including the universities of Bonn and Berlin, as well as Columbia University in the United States. He eventually received a diploma in economics from Oxford University, and emigrated to England in 1937. During World War II, Schumacher was forced to work as a farm laborer in an internment camp. By 1946, however, he had become a naturalized British citizen, and in that same year he accepted a position with the British section of the Control Commission of West Germany. In 1953 he was appointed to Great Britain's

National Coal Board as an economic advisor, and in 1963 he became director of statistics there. He stayed with the National Coal Board until 1970.

Schumacher recognized the contemporary indifference to the course of world development, as well as its implications. He began to seek alternative plans for sustainable development, and his interest in this issue led him to found the Intermediate Technology Development Group in 1966. In 2010, this organization, through seven offices in Africa and South America, continues to provide information and other assistance to less developed countries. Schumacher believed that in order to build a global community that would last, development could not exploit the environment and must take into account the sensitive links between the environment and human health. He promoted research in these areas during the 1970s as president of the Soil Association, an organization that advocates organic farming worldwide.

Schumacher also combined his studies of Buddhist and Roman Catholic theology into a philosophy of life. In his highly regarded book, *Small is Beautiful: Economics as if People Mattered* (1973), he stressed the importance of self-reliance and promotes the virtues of working with nature rather than against it. He argued that continuous economic growth is more destructive than productive, maintaining that growth should be directly proportionate to human need. People in society, he continues, should apply the ideals of conservation (durable-goods production, solar energy, recycling) and appropriate technology in their lives whenever possible.

In his *A Guide For the Perplexed* (1977), Schumacher was even more philosophical. He attempted to provide personal rather than global guidance, encouraging self-awareness and urging individuals to embrace what he termed a “new age” ethic based on Judeo-Christian principles. He also wrote various pamphlets, including: *Clean Air and Future Energy*, *Think About Land*, and *Education for the Future*.

Schumacher died in 1977 while traveling in Switzerland from Lausanne to Zurich.

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Kimberley A. Peterson

## Schweitzer, Albert

1875–1965

German theologian, musicologist, philosopher, and physician

Albert Schweitzer was an individual of remarkable depth and diversity. He was born in the Upper Alsace region of what is now Germany. His parents, Louis (an Evangelical Lutheran pastor and musician) and Adele cultivated his inquisitive mind and passion for music. He developed a strong theological background and, under his father’s tutelage, studied piano, eventually acting as substitute musician at the church.

As a young adult, Schweitzer pursued extensive studies in philosophy and theology at the University of Strasbourg, where he received doctorate degrees in both fields (1899 and 1900 respectively). He continued to further his interests in music. While on a fellowship in Paris (researching Kant), he also studied organ at the Sorbonne. He began a career as an organist in 1893 and was eventually renowned as expert in the area of organ construction and as one of the finest interpreters and scholars of Johann Sebastian Bach. His multitudinous published works include the respected *J. S. Bach: the Musician Poet* (1908).

In 1905, after years of pursuing various careers—including minister, musician, and teacher—he determined to dedicate his work to the benefit of others. In 1913 he and his wife, Helene, traveled to Lambaréné in French Equatorial Africa (now Gabon), where they built a hospital on the Ogowe River. At the outbreak of World War I, they were allowed to continue working at the hospital for a time but were then sent to France as prisoners of war (both were German citizens). They were held in an internment camp until 1918. After their release, the Schweitzers remained in France and Albert returned to the pulpit. He also gave organ concerts and lectures. During this time in France he wrote “Philosophy of Civilization” (1923), an essay in which he described his philosophy of “reverence for life.” Schweitzer felt that it was the responsibility of all people to “sacrifice a portion of their own lives for others.” The Schweitzers returned to Africa in 1924, only to find their hospital overgrown with jungle vegetation. With the assistance of volunteers, the facility was rebuilt, using typical African villages as models.

Awarded the Nobel Peace Prize in 1952, Schweitzer used \$33,000 of the prize money to establish a leper colony near the hospital in Africa. It was not until late 1954 that he gave his Nobel lecture in Oslo. He took advantage of the opportunity to object to

war as “a crime of inhumanity.” Three more years passed before Schweitzer sent out an impassioned plea to the world in his “Declaration of Conscience,” which he read over Oslo radio. He called for citizens to demand a ban of nuclear weapons testing by their governments. His words ignited a series of arms control talks among the superpowers that began in 1958 and, five years later, resulted in a limited but formal test-ban treaty.

Schweitzer received numerous awards and degrees. Among his long list of published works are *The Quest of the Historical Jesus* (1906), *The Mysticism of Paul the Apostle* (1931), *Out of My Life and Thought* (1933, autobiography), and *The Light Within Us* (1959). He died at the age of ninety at Lambarene.

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Kimberley A. Peterson

## Scientific Committee on Problems of the Environment

The Scientific Committee on Problems of the Environment (SCOPE) is a worldwide group of twenty-two international science unions and thirty-eight national science academies that work together on issues pertaining to the environment. SCOPE was founded in 1969 and today is headquartered in Paris, France. SCOPE stands as a permanent committee of the International Council for Science.

SCOPE describes its organization as “an interdisciplinary body of natural and social science expertise focused on global environmental issues, operating at the interface between scientific and decision-making instances” and “a worldwide network of scientists and scientific institutions developing syntheses and reviews of scientific knowledge on current or potential environmental issues.” The committee aims to determine not only how human activities impact the environment, but also how environmental changes impact people’s health and welfare.

With its broad international nature, SCOPE acts as an independent source of information for governments and nongovernmental entities around the world by offering research and consulting expertise

on environmental topics. In past years, the committee has gathered scientists to produce reports including possible effects of nuclear war, biosphere programs to keep the earth inhabitable, and radioactive waste.

SCOPE’s scientific program focuses on three main areas: managing societal and natural resources, ecosystem processes and biodiversity, and health and the environment. Managing societal and natural resources involves those projects that are founded on scientific research but that can be applied in a practical manner to help sustain the biosphere. In other words, the committee wants to ensure that Earth can continue to produce the fuel, food, and other natural resources needed to support the population. This area of concentration includes projects involving biosphere development, urban waste management, agriculture, conservation, and others.

Ecosystem processes and biodiversity projects focus on how ecosystems interact with human activities. These projects look at how activities such as mining and movement of substances such as nitrogen in rivers will affect the earth’s land and water. Projects also look at changes in Earth’s climate and the impact that those changes may have on future ecology.

The committee’s health and environment projects develop methods to assess chemical risks of various activities to humans, plants, animals, and habitats. For example, the committee has worked on a project involving the study of effects of mercury, particularly in aquatic environments, and is conducting similar research on cadmium. Another major project studied radioactivity at nuclear sites. Called RADSITE, the project reviewed radioactive wastes generated in development of nuclear weapons.

In addition to its own work and affiliation with the International Council for Science, SCOPE works in partnership with several international bodies including the United Nations Education, Social and Culture Organization (UNESCO), and the International Human Dimensions Program on Global Environmental Change (IHDP). SCOPE is a unique organization in its international scientific approach to, and cooperation on, environmental issues.

### Resources

#### ORGANIZATIONS

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Teresa G. Norris

## Scotch broom

Scotch broom (*Cytisus scoparius*) is a member of the pea family that is native to southern Europe and northern Africa. It can grow up to 10 feet (3 m) in height. Scotch broom's yellow flowers are shaped like peas, and its leaves each have three leaflets.

During the 1800s, Scotch broom was introduced into the United States as an ornamental plant. In addition, people used the plant for sweeping and make beer and tea with the seeds. During the mid-1800s, Scotch broom was planted in the western United States to stabilize roads and prevent soil erosion. By the end of the twentieth century, the non-native plant was classified as an invasive weed in the Pacific Northwest and in parts of the northeastern United States and southeastern Canada.

Scotch broom is a hearty plant that grows quickly. Its seeds can last eighty years. Thick fields of Scotch broom can crowd out vegetation that is native to an area. Spread of the plant can reduce the amount of grazing habitat for animals. Broom can block pathways used by wildlife. In addition, broom can be a fire hazard.

Methods of controlling the spread of Scotch broom include removing the plant by its roots, spraying herbicide, and controlled burning that destroys seeds.

Liz Swain

## Scrubbers

Scrubbers are air pollution control devices that help clean the emissions from an incinerator's smoke stack. Hot exhaust gas comes out of the incinerator duct and scrubbers help to wash the particulate matter (dust) resulting from the combustion out of the gas. High efficiency scrubbers literally scrub the smoke by mixing dust particles and droplets of water (as fine as mist) together at a very high speed. Scrubbers force the dust to move like a bullet fired at high velocity into the water droplet. The process is similar to the way that rain washes the air.

Scrubbers can also be used as absorbers. Absorption dissolves material into a liquid, much as sugar is absorbed into coffee. From an air pollution standpoint, absorption is a useful method of reducing or

eliminating the discharge of air contaminants into the atmosphere. The gaseous air contaminants most commonly controlled by absorption include sulfur dioxide, hydrogen sulfide, hydrogen chloride, chlorine, ammonia, nitrogen oxides, and light hydrocarbons.

Gas absorption equipment is designed to provide thorough contact between the gas and liquid solvent in order to permit interphase diffusion and solution of the materials. This contact between gas and liquid can be accomplished by dispersing gas in liquid and visa versa. Scrubbers help wash out polluting chemicals from the exhaust gas by facilitating the mixture of liquid (solvent) and gas together.

A number of engineering designs serve to disperse liquid. These include a packed tower, a spray tower or spray chamber, venturi absorbers, and a bubble tray tower.

The most appropriate design for incineration facilities is the packed tower—a tower filled with one of many available packing materials. The packing material should provide a large surface area and, for good fluid flow, should be shaped to give large void space when packed. It should also be chemically inert and inexpensive. It must be designed so as to expose a large surface area and be made of materials, such as stainless steel, ceramic or certain forms of plastic.

Packing materials come in various manufactured shapes. They may look like a saddle, a thick tube, a many-faceted star, a scouring pad, or a cylinder with a number of holes carved in it. Packing may be dumped into the column at random or stacked in some kind of order. Randomly dumped packing has a higher gas pressure drop across the bed. The stacked packings have an advantage of lower pressure and higher possible liquid throughout, but the installation cost is much higher because they are packed by hand.

Rock and gravel have also been used as packing materials but are usually considered too heavy. They also have small surface areas, give poor fluid flow and at times are not chemically inert.

The liquid is introduced at the top of the tower and trickles down through to the bottom. Since the effectiveness of a packed tower depends on the availability of a large, exposed liquid film, poor liquid distribution that prevents a portion of the packing from being irrigated renders that portion of the tower ineffective. Poor distribution can result from improper introduction of the liquid at the top of the tower or using the wrong rate of liquid flow. The liquid rate must be sufficient to wet the packing but not flood the tower. A liquid rate of at least 800 pounds of liquid per hour per square foot of tower cross-section is typical.

While the liquid introduced at the top of the tower trickles down through the packing, the gas is introduced at the bottom and passes upward through the packing. This process results in the highest possible efficiency. Where the gas stream and solvent enter at the top of the column, there is initially a very high rate of absorption that constantly decreases until the gas and liquid exit in equilibrium.

Scrubbers are used in coal burning industries that generate electricity. They can be used in high sulfur coal emissions because high sulfur coal emits high levels of sulfur dioxide. Scrubbers are also utilized in industrial chemical manufacturing as an important operation in the production of a chemical compound. For example, one step in the manufacture of hydrochloric acid involves the absorption of hydrochloric acid gas in water. Scrubbers are used as a method of recovering valuable products from gas streams—as in petroleum production where natural gasoline is removed from gas streams by absorption in a special hydrocarbon oil.

See also Air pollution; Flue-gas scrubbing; Tall stacks.

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Liane Clorfene Casten

## Sea level change

Changes in sea level have been a natural part of the earth's environment for billions of years. Such changes have occurred due to land movement, ice formation or melting in mountain glaciers, and increases or decreases in the amount of water trapped in the polar ice caps. In most cases, the changes were very gradual in human terms. But in the past several decades, many scientists have become alarmed at the rapid increase in ocean levels.

The reason is because the earth is warming, which causes sea water to expand in volume and ice caps to melt. Over the past one hundred years, scientists have measured a mean sea level rise of about 4 inches (10 cm). Scientists assert that this rise is due to an average increase of 1.8°Fahrenheit (1°C) in worldwide surface

temperatures of the planet. With the advent of satellites and other technology in the last half of the twentieth century, scientists have been able to more precisely measure the ocean levels. They have found that sea levels are rising at a rate of about 0.4–1.2 inches (1–2 cm) per year. 1-foot (30-cm) rise in sea level would place at least 100 feet (30 m) of beach width underwater.

Many researchers have focused on the causes and future development of climate change. A major cause of climate change is the heavy burning of fossil fuels, such as coal and oil, which causes increases in the amounts of certain greenhouse gases, particularly carbon dioxide (CO<sub>2</sub>), in the atmosphere. Climate change is based on the atmospheric process known as the greenhouse effect, in which pollutants absorb heat energy from the Earth and trap the heat, preventing it from escaping the lower atmosphere (troposphere). This process results in an overall warming of the troposphere and Earth's surface. Climate change could cause ice cap melting, which would raise the sea level and flood many of the world's largest cities, including New York, Los Angeles, Tokyo, and London, and other lowland areas. Nearly half of the world's population inhabit coastal areas. Because the polar regions are the engines that drive the world's weather system, this research is essential to identify the effect of human activity on these regions.

Many scientists are concerned about the increasing levels of carbon dioxide in Earth's atmosphere. With more carbon dioxide in the atmosphere, more heat will be trapped. Earth's annual average temperature will begin to rise. Some estimates suggest that a doubling of atmospheric carbon dioxide will result in an increase of 4.5°Fahrenheit (2.5°C) in the planet's annual average temperature. The warming trend for the period from 1956 to 2005 was an average increase in temperature of 0.13°C per decade. This average increase in temperature is almost twice that of the increase observed over the period from 1906 to 2005.

While that number may seem small, it could have disastrous effects on the world's economies and ecosystems. One result might be the partial melting of Earth's ice caps at the North and South poles, with a resulting increase in the volume of the ocean's water. Were that to happen, many of the world's largest cities, those located along the edge of the oceans, might be flooded.

As with many environmental issues, there continue to be disagreements about one or more aspects of global climate change. Few are not convinced that the anthropogenic addition of carbon dioxide and other greenhouse gases to the atmosphere has a significant long-term effect on Earth's average temperature. Climate



**Huge chunks of ice calf off of the San Rafael glacier in Laguna San Rafael National Park, Chile. The San Rafael glacier is the Earth's tidewater glacier located closest to the equator. San Rafael is rapidly receding. (MARIA STENZEL/National Geographic Stock)**

and weather scientists are convinced that Earth's temperature is increasing as a result of human activities, and urge that nations act quickly to reduce the combustion of fossil fuels and other sources of greenhouse gases either by reducing the use of fossil fuels or developing alternatives to fossil fuels.

In early 2008, ongoing independent research projects confirmed what many scientists had earlier concluded from measurements of sea level, namely, that global sea level is rising. Sea-level rise threatens some freshwater supplies, coastal land use, and vital economic activity. For some islands, significant sea level rise could be devastating. According to the Intergovernmental Panel on Climate Change (IPCC), which is an international body of government-appointed scientists and economists representing as broadly as possible the world community of climate and weather scientists, global sea levels rose an average of 0.07 inches (0.18 cm) per year from 1961 to 2003. In the period from 1993 to 2003, the average sea level rise per year was 0.12 inches (0.31 cm) per year. Contributions to sea level rise since 1993 are attributed to thermal expansion (57 percent),

decreases in glaciers and ice caps (28 percent), and polar ice sheet losses (15 percent). In the fourth assessment on climate change in 2007, the IPCC forecast that by 2100, sea levels would rise between 7 and 23 inches (18–59 cm). It was widely reported that the upper end of this estimate's range had been reduced from the IPCC's 2001 estimate of 3.1–34.7 inches (8–88 cm). However, no other figure in the 2007 IPCC report has been so disputed by scientists as its prediction for sea level rise. The range calculated by the IPCC for its 2007 report did not include the possibility of increased contributions to sea level rise from accelerated glacial melting. A number of scientists have argued in the world's leading scientific journals that future sea-level rise may be greater than the IPCC's 2007 forecast, but is unlikely to be less.

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- National Oceanic and Atmospheric Administration, 14th St. and Constitution Ave. NW, Room 6013, Washington, DC, USA, 20230, (202) 482-6090, (202) 482-3154, [answers@noaa.gov](mailto:answers@noaa.gov), <http://www.noaa.gov>

Ken R. Wells

Sea lions see **Seals and sea lions**.

## Sea otter

The sea otter (*Enhydra lutris*) is found in coastal marine waters of the northeastern Pacific Ocean, ranging from California to as far north as the Aleutian Islands. Sea otters spend their entire lives in the ocean and even give birth while floating among the kelp beds. Their ability to use tools, often "favorite" rocks, to open clam shells and sea urchins is well-known and fascinating since few other animals are known to exhibit this behavior. Their playful, curious nature makes them the subjects of many wildlife photographers but also has aided in their demise. Many are injured or killed by ship propellers or in fishing nets.

Some sea otters are killed for their highly valued fur. Their thick hair traps air, insulating the otter from the cold water in which it lives. Before they were placed under international protection in 1924, 800,000 to one million sea otters were slaughtered for their pelts, eliminating them from large portions of their original range.

Despite poaching, which remains a problem, they are slowly returning through relocation efforts and natural migration. Their proximity to human settlement, however, still poses a problem for their continued survival.

Pollution, especially from oil spills, is deadly to the sea otter. The insulating and water-repellant properties of their fur are inhibited when oil causes the fine hairs to stick together, and otters die from hypothermia. Ingestion of oil during grooming does extensive, often fatal, internal damage. In 1965, an oil spill near Great Sitkin Island, Alaska, reduced the island's otter population from 600 to six. In 1989, the oil tanker *Exxon Valdez* spilled over 11 million gallons (40 million l) of crude oil into Prince William Sound, Alaska, leading to the nearly complete elimination of the Sound's once thriving sea otter population. In California, fears that a similar incident could destroy the sea otter population there have led to relocation efforts.

Sea otters have few natural enemies, but they were extensively hunted by Aleuts and later by Europeans. Sea otters were hunted to extinction around several islands in Alaska, an event that led to studies on the importance of sea otters in maintaining marine communities. Attu Island, one of the islands that has lost its otter population, has high sea urchin populations that have, through their grazing, transformed a kelp forest into a "bare" hard ground of coralline and green algae. Few fish or abalone are present in these waters anymore. On nearby Amchitka Island, otters are present in densities of 7.7–11.6 per square mile (20–30 per km<sup>2</sup>) and forage at depths up to 22 yards (20 m). In this area, few sea urchins persist and dense kelp forests harbor healthy fish and abalone populations. These in turn support higher-order predators such as seals and bald eagles.

Effects of sea otter foraging have also been documented in soft-bottom communities, where they reduce densities of sea urchins and clams. In addition, disturbance of the bottom sediment leads to increased predation of small bivalves by sea stars. Otters' voracious appetite for invertebrates also brings them into conflict with people. Fishermen in northern California blame sea otters for the decline of the abalone industry. Farther south, residents of Pismo Beach, an area noted for its clam industry, exerted pressure to remove otters. Sea urchin and crab fishermen have also come into conflict with these competitors. It remains a challenge for fishermen, environmentalists, and regulators to arrive at a mutually agreeable management policy that will allow successful coexistence with sea otters. The sea otter census of 2004 counted 3,000 otters in California, less than 73,000 in Alaska, 3,000 in Canada, 600 in Washington, and about 27,000 in Russia. They are considered

endangered by the International Union for Conservation of Nature and Natural Resources (IUCN).

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William G. Ambrose Jr.  
Paul E. Renaud

## Sea Shepherd Conservation Society

The Sea Shepherd Conservation Society was founded in 1977 by Paul Watson, one of the founding members of Greenpeace, as an aggressive direct action organization dedicated to the international conservation and protection of marine wildlife in general and marine mammals in particular. The society seeks to combat exploitative practices through education, confrontation, and the enforcement of existing laws, statutes, treaties, and regulations. It maintains offices in the United States, Great Britain, Australia, Belgium, Brazil, Canada, Ecuador, France, the Netherlands, Singapore, and has an international membership of over 15,000.

Sea Shepherd regards itself virtually as a police force dedicated to ocean and marine life conservation. Most of its attention over the years has been devoted to the enforcement of the regulations of the International Whaling Commission (IWC), which makes policies for signatory states on whaling practices but does not itself have powers of enforcement. The stated objective of the society has been to harass, interfere with, and ultimately shut down all continuing illegal whaling activities.

Called a "samurai conservation organization" by the Japanese media, Sea Shepherd often walks a thin line between legal and illegal tactics. The society

operates three ships, the *Steve Irwin*, *Yoshka*, and the *Farley Mowat*, and has been known to ram illegal or pirate whaling ships and to sabotage whale processing operations. All crew members are trained in techniques of "creative non-violence." They are forbidden to carry weapons or explosives or to endanger human life and are enjoined to accept all moral responsibility and legal consequences for their actions.

Crew members also pledge never to compromise on the lives of the marine mammals they protect. The Society has documented on film illegal whaling operations in the former Soviet Union and presented this evidence to the IWC, despite being chased back to United States waters by a Soviet frigate and helicopter gunships. Moreover, members are not at all squeamish about the destruction of weapons, ships, and other property used in the slaughter of marine wildlife. In 1979, Sea Shepherd hunted down and rammed the pirate whaler *Sierra*, eventually putting it out of business. Publicity over the *Sierra* operation motivated the arrest of two other pirate whalers in South Africa. The next year Sea Shepherd was involved in the sinking of two Spanish whalers that had flagrantly exceeded whale quotas set by the IWC. In 1986, Sea Shepherd was involved in the sinking of two Icelandic whalers (half the Icelandic whaling fleet) in Reykjavik harbor and also managed to damage the nearby whale-processing plant. Seeking publicity, crew members demanded to be arrested for their actions, but Iceland refused to charge them. Indeed, Sea Shepherd claims that in all of its operations it has never caused nor suffered an injury, nor have any of its crew members been convicted in criminal proceedings. In 2010, however, a Japanese whaling vessel rammed and sank the *Ady Gil*, a Sea Shepherd trimaran, while the ship was dead in the water. Each side blamed the other for the collision.

Typically, Sea Shepherd invites members of the news media along to document and publicize the destructive and exploitative practices it opposes. Such documentary footage has been shown on major television networks in the United States, Britain, Canada, Australia, and Western Europe. This publicity played an important role in increasing public awareness of marine conservation issues and in mobilizing public opinion against the slaughter of marine mammals. Sea Shepherd helped to bring about the end of commercial seal-killing in Canada and in the Orkney Islands, Scotland. In 2008, a weekly television documentary about the anti-whaling efforts of Sea Shepherd, *Whale Wars*, premiered on the cable television channel Animal Planet.



The Sea Shepherd Conservation Society ship *Farley Mowat* is seen in the waters of the Antarctic in this 2006 photo. (AP Photo/Sea Shepard Conservation Society, HO)

Highly successful in its efforts against outlaw whalers, Sea Shepherd continues to conduct research on conservation and pollution issues and to monitor national and international law on marine conservation issues. Its members are working to establish a wildlife sanctuary in the Orkney Islands. Its present campaign is focused primarily against drift net fishing in the North Pacific, in support of a United Nations call for a complete international ban on drift-net fishing.

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#### ORGANIZATIONS

Sea Shepherd Conservation Society, 22774 Pacific Coast Hwy., Malibu, CA, USA, 90265, (360) 370-5650, <http://www.seashepherd.org>

Lawrence J. Biskowski

## Sea turtles

Sea turtle populations have dramatically declined in numbers over the past half century. Green sea turtles (*Chelonia mydas*), hawksbills (*Eretmochelys imbricata*), Kemp's Ridleys (*Lepidochelys kempii*), loggerheads (*Caretta caretta*), and leatherbacks (*Dermochelys coriacea*) have all had their numbers decimated by human activity. The decline has been caused by several factors, including the development of a highly industrialized fishery to meet the demand for seafood on a worldwide basis. The most economical fishing method involves pulling multiple nets underwater for extended periods of time, and any air-breathing animals, such as sea turtles, which get caught in the net are usually drowned before they are hoisted on board.

In the United States, this problem has led to the introduction of the turtle excluder device (TED), which must be placed on each tow net used by commercial shrimpers and fishermen. These cage-like devices have a slanted section of bars which allow fish and shellfish into the net but deflect turtles. These highly



**Green sea turtles at a cleaning station.** (© Stephen Frink Collection / Alamy)

controversial devices have been mandatory for less than a decade, but there are some indications that they are saving thousands of turtles per year.

As significant as the impact of commercial fishing may seem, it does little to sea turtle populations compared to losses incurred at the earliest stages of the turtles' life history. In the late 1940s, along an isolated beach near Tamaulipas, Mexico, an extremely dense assemblage of sea turtles were observed digging out nests and laying eggs at the beach. So many females were present that they were seen crawling over one another and digging out the nests of others in order to lay their own eggs. At this location alone, the sea turtle population was estimated in the millions. In the early 1960s, scientists realized that the turtles found at Tamaulipas were a distinct species, Kemp's Ridley, and that they nested nowhere else in the world; but by that time the population had declined to only a few hundred turtles.

Threats to the survival of newly hatched sea turtles have always been enormous; crows, gulls, and other predators attack them as they scurry seaward, and they are prey for waiting barracudas and jacks as they reach

water. Other animals raid their nests for the eggs, and humans are among these nest predators, collecting the eggs for food. Sea turtles concentrate their numbers in small nesting locations such as Tamaulipas in order to greatly outnumber their natural predators, thus allowing for the survival of at least a few individuals to perpetuate the species. However, this congregating behavior has contributed to their demise, because it has made human predation easier and more profitable. After the 2010 oil spill in the Gulf of Mexico, U.S. the Fish and Wildlife Service coordinated a plan to remove about 70,000 turtle eggs, mostly loggerheads, from 800 nests across Florida Panhandle and Alabama beaches. The eggs were relocated and hatched on beaches on the Southeast coast of Florida.

Adult turtles are harvested as a protein source in many Third World countries, and many turtles are also subjected to increasing levels of marine pollution. Both of these factors have contributed to the sharp decline in their population. Public awareness and conservation efforts may keep sea turtles from extinction, but it is not clear whether species will be capable of rebounding from the decimation that has already taken place.

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Eugene C. Beckham

## Seabed disposal

Over 70 percent of Earth's surface is covered by water. The coastal zone—the boundary between the ocean and land—is under the primary influence of humans, while the rest of the ocean remains fairly remote from human activity. This remoteness has in part led scientists and policy makers to examine the deep ocean, particularly the seabed, as a potential location for waste disposal.

Much of the deep ocean seabed consists of abyssal hills and vast plains that are geologically stable and have sparse numbers of bottom-dwelling organisms. These areas have been characterized as oozes, hundreds of meters thick, which are in effect "deserts" in the sea. Other attributes of the deep ocean seabed that have led scientists and policy makers to consider the sea bottom as a repository for waste include the immobility of the interstitial pore water within the sediment, and the tendency for ions to adsorb or stick to the sediment, which limits movement of elements within the waste. Another important factor has been the lack of known commercial resources such as hydrocarbons, minerals, or fisheries.

The deep seabed has been studied as a potential disposal option specifically for the placement of high-

level radioactive waste. Investigations on the feasibility of disposing radioactive wastes in the seabed were carried out for over a decade by a host of scientists from around the world. In 1976, the Organization for Economic Cooperation and Development (OECD) and the Nuclear Energy Agency coordinated research at the international level and formed the Seabed Working Group. Members of the group included Belgium, Canada, France, the Federal Republic of Germany, Italy, Japan, the Netherlands, Switzerland, United Kingdom, United States, and the Commission of European Communities. The Seabed Working Group focused its investigation on two sites in the Atlantic Ocean, Great Meteor East and the Southern Nares Abyssal Plain, and one site in the Pacific Ocean, known as E2. The Great Meteor East site lies between 30.5°N and 32.57°N, 23°W and 26°W, approximately 1,865 miles (3,000 km) southwest of Britain. The Southern Nares Abyssal Plain site lies between 22.58°N and 23.17°N, 63.25°W and 63.67°W, approximately 375 miles (600 km) north of Puerto Rico. Site E2 in the Pacific Ocean lies between 31.3°N and 32.67°N, 163.42°E and 165°E, and is approximately 1,240 miles (2,000 km) east of Japan.

This working group pursued a multidisciplinary approach to studying the deep-ocean sediments as a potential disposal option for high-level radioactive waste. High-level radioactive waste consists of spent nuclear fuel or by-products from the reprocessing of spent nuclear fuel. It also includes transuranic wastes, a byproduct of fuel assembly, weapons fabrications, and reprocessing operations, and uranium mill tailings, a byproduct of mining operations. Low-level radioactive waste is legally defined as all types of waste that do not fall into the high-level radioactive waste category. They are made up primarily of byproducts of nuclear reactor operations and products that have been in contact with the use of radioisotopes. Low-level radioactive wastes are characterized as having small amounts of radioactivity that do not usually require shielding or heat-removing equipment.

One proposal to dispose of high-level radioactive waste in the deep seabed involved the enclosure of the waste in an insoluble solid with metal sheathing or projectile-shaped canisters. When dropped overboard from a ship, the canisters would fall freely to the ocean bottom and bury themselves 33–44 yards (30–40 m) into the soft sediments of the seabed. Other proposals recommend drilling holes in the seabed and mechanically inserting the canisters. After emplacement of the canisters, the holes would then be plugged with inert material.

The 1972 international Convention on the Prevention of Marine Pollution by Dumping of Waste and Other Matter, commonly called the London Dumping Convention, prohibits the disposal of high-level radioactive wastes. In the United States, the Marine Protection, Research and Sanctuaries Act of 1972 also bans the ocean disposal of high-level radioactive waste. However, the United Kingdom has considered using the continental shelf seabed for disposal of low-and intermediate-level radioactive waste. The European countries discontinued ocean dumping of low-level radioactive waste in 1982. In the United States the ocean disposal of low-level radioactive waste ceased in the 1970s. Between 1951 and 1967, approximately 34,000 containers of low-level radioactive waste were dumped in the Atlantic Ocean by the United States.

Another important aspect in the debate about seabed disposal is the risk to humans, not only because of the potential for direct contact with wastes but also because of the possibility of accidents during transport to the disposal location and contamination of fishery resources. When comparing seabed disposal of high-level radioactive waste to land disposal, for example, the transportation risks may be higher because travel to a site at sea would likely be longer than travel to a location on land. Increased handling by personnel substantially increases the risk. Also, there is a statistically greater risk of accidents at sea than on land when transporting anything, especially radioactive wastes, although such an accident at sea would probably pose less risk to humans.

It is also a concern that the seabed environment may be more inhospitable than a land site to the metal canisters containing the radioactive waste, because corrosion is more rapid due to the salts in marine systems. In addition, it is uncertain how fast radionuclides will be transported away from the site. The heat associated with the decay of high-level radioactive waste may cause convection in the sediment pore waters, resulting in the possibility that the dissolved radioactive material will diffuse to the sediment-water interface. Predictions from calculations, however, indicate that convection may not be significant. According to laboratory experiments that simulate subseabed conditions, it would take roughly 1,000 years for radioactive waste buried at a depth of 33 yards (30 m) to reach the sediment-water interface. Other technical considerations adding to the uncertainty of the ultimate fate of buried radioactive waste in the seabed involve possible sorption of the radionuclide cations to clay particles in the sediment, and possible uptake of radionuclides by bottom dwelling organisms.

The Seabed Working Group concluded from their investigation that seabed disposal of high-level radioactive

waste is safe. Compared to land disposal sites, the predicted doses of possible radiation exposure are lower than published radiological assessments. However, there are political concerns over deep-ocean seabed disposal of wastes. Deep-ocean disposal sites would likely be in international waters. Therefore, international agreements would have to be reached, which may be very difficult with countries without a nuclear power industry, particularly for disposal of radioactive waste.

In 1991, the Woods Hole Oceanographic Institution held a workshop to discuss the research required to assess the potential of the abyssal ocean as an option for disposal of sewage sludge, incinerator ash, and other high volume benign wastes. The disposal technology considered at this workshop entailed employing an enclosed elevator from a ship to emplace the waste at or close to the seabed. One issue raised at the workshop was the need to investigate the incidence of benthic storms that may occur along the deep ocean seabed. These benthic storms, also called turbidity flows, are currents with high concentrations of sediment that can stir up the sea bottom, erode the seabed, and redistribute sediment further downstream.

Woods Hole held a follow-up workshop in 1992. Included were a broader array of scientists and representatives from environmental organizations, and these two groups disagreed over the use of the ocean floor as a waste-disposal option. The researchers supported the consideration and study of the seabed and the ocean in general as sites for disposal of wastes. The environmentalists did not support ocean disposal of wastes. The environmentalists' view is consistent with the law passed in 1988, the Ocean Dumping Ban Act, which prohibits the dumping of sewage sludge and industrial waste in the marine environment. In 1993, a ban on the dumping of any radioactive materials into the sea was put into effect at the London Convention and will be enforced until 2018.

Now in the early 2010s, some scientists have proposed using deep ocean trenches, such as the Challenger Deep (located at the southern end of the Mariana Trench), as a place to dump nuclear waste. Although this method has some merit with respect to its likelihood of being successful, as of 2010, such dumping is illegal based on a resolution at the sixteenth meeting of the London Dumping Convention in 1993.

*See also* Convention on the Law of the Sea (1982); Dredging; Marine pollution; Ocean dumping; Radioactive pollution.

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Marci L. Bortman

## Seabrook Nuclear Reactor

Americans once looked to nuclear energy as the nation's great hope for power generation in the twenty-

first century. However, in the last quarter of the twentieth century, nuclear power plants began to be regarded with suspicion and distrust, especially brought on by disasters at Three Mile Island, in the United States, and at Chernobyl, in the Soviet Union (now in the Ukraine). Consequently, new proposals to construct them were met with opposition. Perhaps the best transition in the perception of nuclear power is the debate that surrounded the construction of the Seabrook Nuclear Reactor (also called the Seabrook Nuclear Power Plant, or simply as the Seabrook Station) in Seabrook, New Hampshire.

The plant was first proposed in 1969 by the Public Service Company of New Hampshire (PSNH, in 2010 a subsidiary of Northeast Utilities), an agency then responsible for providing 90 percent of all electrical power used in that state. The PSC planned to construct a pair of atomic reactors in marshlands near Seabrook in order to ensure an adequate supply of electricity in the future.

Residents were not enthusiastic about the plan. The marshlands and beaches around Seabrook have long been a source of pride to the community, and in



The controversial Seabrook Nuclear Power Plant shown under construction, New Hampshire, United States. (© Philip Scalia / Alamy)

March of 1976, the town voted to oppose the plant. Townspeople soon received a great deal of support. Seabrook is only a few miles north of the Massachusetts border, and residents and government officials from that state joined the opposition against the proposed plant. In addition, an umbrella organization of 15 anti-nuclear groups called the Clamshell Alliance was formed to fight the PSC plan.

The next twelve years were characterized by almost non-stop confrontation between the PSC and its supporters and Clamshell Alliance and other groups opposed to the proposal. Hardly a month passed during the 1970s and 1980s without news of another demonstration or the arrest of someone protesting construction. The issues became more complex as economic and technical considerations changed during this time. The demand for electricity, for example, began to drop instead of increasing, as the PSC had predicted, and at least three other utilities that had agreed to work with PSC on construction of the plant withdrew from the program. The total cost of construction also continued to rise. When first designed, construction costs were estimated at \$973 million for both reactors. Only one reactor was ever built, and by the time that it was finally licensed in 1990, total expenditures for it alone had reached nearly \$6.5 billion.

The decision to build at Seabrook eventually proved to be a disaster financially and from a public relations stance for PSC. The company's economic woes peaked in 1979 when the courts ruled that PSC could not pass along additional construction costs at Seabrook to its customers. Over the next decade, the company fell into even more difficult financial straits, and on January 28, 1988, it filed for bankruptcy protection. The company promised that its action was not the end for the Seabrook reactor and maintained that the plant would eventually be licensed.

A little more than two years later, the Nuclear Regulatory Commission (NRC) granted a full-power operating license to the Seabrook plant. The decision was received enthusiastically by the utility companies in the New England Power Pool, who believed that Seabrook's additional capacity would reduce the number of power shortages experienced by consumers in the six-state region.

Private citizens and government officials were not as enthusiastic. Consumers faced the prospect of higher electrical bills to pay for Seabrook's operating costs, and many observers continued to worry about potential safety problems. Massachusetts attorney general, James Shannon (1952–), for example, was

quoted as saying that Seabrook received “the most legally vulnerable license the NRC has ever issued.”

As of July 2002, Seabrook Station, on a 900-acre (364-ha) site in the towns of Seabrook, Hampton, and Hampton Falls, all in New Hampshire, was operating at 100 percent power and providing electricity for over one million homes. As of October 2010, NextEra Energy Resources owns about 88.2 percent of the Seabrook Nuclear Power Plant. The company states that Seabrook Station generates about 1,094 million watts of electricity annually, which is sufficient power to deliver the electrical needs of over 900,000 homes.

*See also* Electric utilities; Energy policy; Nuclear fission; Radioactive waste management; Three Mile Island Nuclear Reactor.

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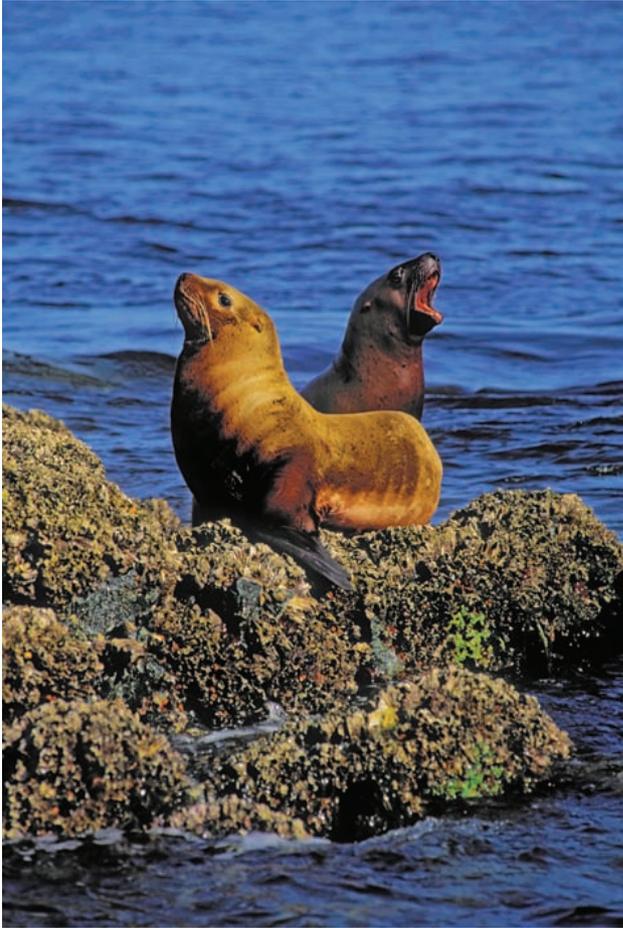
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David E. Newton

## Seals and sea lions

Members of the suborder Pinnipedia, seals and sea lions are characterized by paddle-like flippers on a pair of limbs. Most pinnipeds are found in boreal or polar regions and are the most important predators in many high latitude areas, feeding primarily on fish and squid. Seals and sea lions catch their prey during extended dives of up to 25 minutes at depths of 2,625 feet (800 m) or more. Pinniped biologists are interested in the physiological changes these animals undergo during their dives. Known as mammalian dive response, a combination of reduced heart rate and a lowered core body temperature enables these warm-blooded animals to complete such dives.

Seals and sea lions must return to beaches and ice floes each year to give birth. Here they raise their pups in large congregations known as colonies. In some species the larger, more aggressive males will form polygamous mating groups, or harems, and claim and defend territories in these limited breeding areas. Males that do not get to mate form “bachelor male” groups in areas less suitable for weaning pups. Males of most species of pinnipeds do not mate before age



California sea lions, *Zalophus californianus*, Ano Nuevo Island, Monterey Bay, California. (© Frans Lanting/Corbis)

four but may acquire harems when they attain large size and fighting experience. Some individual seals have been known to live as long as forty-six years.

Because of the commercial value of their pelts, many species of seals and sea lions are threatened by hunting. One of the largest pinnipeds, the Stellar sea lion (*Eumetopias jubatus*) has been steadily decreasing in numbers and is now listed as endangered by the International Union for Conservation of Nature and Natural Resources (IUCN). Within fifteen years, the new pup count at the Marmot Island Rookery (the largest known sea lion rookery in the world) decreased from an average of 6,700 to only 804. The northern or Pribilof fur seal (*Callorhinus ursinus*), which had been heavily exploited for its fur and in steady decline since the mid-1950s, is now listed as vulnerable. In California, the Guadeloupe fur seal (*Arctocephalus townsendi*) is also listed as vulnerable. The Monk seal (*Monachus monachus*) in the Mediterranean is listed as critically endangered.

These animals are in danger from natural and man-induced pressures, and the consequences of their demise are unknown. Other than hunting, perceived competition with fishermen, pollution, and habitat destruction are threatening pinnipeds. Despite the sanctions afforded by the Marine Mammals Protection Act (1972), fishers continue to kill seals that are “interfering” with fishing operations. Recent revisions to the act require some vessels to include observers who can monitor compliance with the law. Some environmentalists contend that it is the fisher who “interferes” with the seals. The California Department of Fish and Game estimates that 2,000 seals die each year from accidental entanglement in fishing nets.

In the summer of 1988, 18,000 harbor seals washed up on European shores. They were discovered to be suffering from an acute viral infection, possibly due to an immune-system breakdown. Chemical pollutants, mainly polychlorinated biphenyl (PCB), have been implicated in reduced immune function in marine mammals, and levels of PCBs have been constantly increasing in many coastal waters. A connection has also been made between mass seal die-offs and increasing temperature. Four of the six recorded mass mortalities have occurred in the past two decades, a period that includes some of the warmest weather in the twentieth century. Other pollutants, such as heavy metals, may also affect seal health or reproductive ability. Oil spills also poison seal food sources and reduce the insulation ability of the seals’ fur.

Finally, the reduction of habitat for feeding and reproduction has not been investigated thoroughly. Reputedly caused by reductions in prey-fish stocks due to overfishing and by development of coastal shorelines, this reduction may negatively affect pinniped populations.

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William G. Ambrose Jr.  
Paul E. Renaud

## Sears, Paul B.

1891–1990

American ecologist and conservationist

Paul Bigelow Sears was an ecologist and writer. Born in Bucyrus, Ohio, he obtained bachelor's degrees in zoology and economics, then earned a Ph.D. in botany from the University of Chicago. He spent most of his career as professor or head of various botany departments. In these positions, Sears researched changes in native flora as a result of human activities, conducted pioneering studies of fossil pollen, and studied the relationship between vegetation and climatic change. A respected and influential ecologist, he served as president of the Ecological Society of America (1948) and received the ESA's "Eminent Ecologist Award" in 1965. He spent the last ten years of his academic career as chair of the graduate program in conservation at Yale University and retired in 1960.

Sears was one of the few biological ecologists interested in human ecology. In a 1957 Condon Lecture at the University of Oregon, he called attention to what he termed "the ecology of man" and demanded "its skillful application to human affairs."

He considered ecology subversive, arguing that if it were taken seriously, it would "endanger the assumptions and practices accepted by modern societies, whatever their doctrinal commitments." But Sears was an optimist and believed that scientists would eventually agree because the nature of their work mandated that they have "confidence that the world hangs together."

As a conservationist, Sears believed that one of the basic lessons ecology teaches is that materials cycle and re-cycle through natural systems. Thus, he became a strong advocate of intensive recycling by human societies. He also taught that a return to greater use of human muscle power would be healthy for people because it would promote fitness and energy conservation, as well as an impact on the biosphere.

Sears was also one of the few prominent ecologists to successfully write for popular audiences. At least one of his popular books, *Deserts on the March*, first published in 1935, has become a minor American classic. The title provides an apt summary: Sears documents the mistakes American farmers made in creating conditions that led to the disastrous Dust Bowl. This book had a major influence on the soil conservation movement in the United States.

Throughout his life, Sears believed that the best way to solve ecological problems was to teach every person about their own immediate environment: "Each of us can begin quite simply by learning to look about himself, wherever he may be." The touchstone of education, especially a scientific one, should be "the final ability to read and enjoy the landscape. While there is life there is hope, but only for the enlightened."

He died on April 30, 1990 in Taos, New Mexico, where he had retired.

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Gerald L. Young

## Seattle, Noah

1786–1866

Duwamish chief

Noah Seattle (or See-athl) was a chief of the Duwamish or Suquamish tribe, one of the Salish group of the Northwest Coast of North America. Born in the Puget Sound area circa 1786, Seattle lived there until his death on June 7, 1866. He was baptized a Roman Catholic about 1830 and is buried in the graveyard of the Port Madison Catholic Church. Ironically, Native Americans were banned by law from living in Seattle, Washington, the city named after him, one year after the chief's death.

By most accounts, Seattle was a great orator and a skilled diplomat. Although he never fought in a war against white people, he was a warrior with a reputation

for daring raids on neighboring tribes. Seattle owned eight Native American slaves, but freed them after President Abraham Lincoln's Emancipation Proclamation. He was the first to sign the Port Elliott Treaty negotiations of 1855, which surrendered most Native American lands in the Puget Sound area for white settlement. He gave two short speeches on that occasion, which are preserved in the National Archives in Washington, D.C. Both speeches encouraged others to sign the agreement and to cooperate with the United States authorities.

Seattle has become famous in recent years as the author of one of the most widely quoted pieces of environmental literature in the world. Among some familiar passages are: "How can you buy or sell the sky, the warmth of the land? The earth is our mother. This we know, the earth does not belong to man. Man belongs to the earth. Whatever befalls the earth, befalls the sons of the earth. Man did not weave the web of life; he is merely a strand in it. Whatever he does to the web, he does to himself."

Generally called the speech, letter, or lament of Chief Seattle, this text exists in many forms. It has been set to music, called the *Fifth Gospel* in religious services, and used to sell everything from toilet tissue to recyclable plastic bags. A version was used by artist Susan Jeffers in 1991 to accompany drawings in a best selling children's book called, *Brother Eagle, Sister Sky*. This piece is a poetic, moving, environmentally sensitive work that supports beliefs about the reverence of Native Americans for the earth. Unfortunately, there is no evidence that Chief Seattle ever said any of the wise words attributed to him.

Historian Rudolf Kaiser has traced the origins and myths surrounding the Chief Seattle text. The first report of what we now know as Seattle's speech appeared in an article by Dr. Henry A. Smith published in the *Seattle Sunday Star* on October 29, 1887, as part of a series of old pioneer reminiscences. Smith was recalling remarks made by the Chief in 1854 on the arrival of Governor Stevens to the territory. Although thirty-three years had passed since the event, Smith wrote that he clearly remembered the "grace and earnestness of the sable old orator."

As Smith reconstructed it, the speech was dark and gloomy, the farewell of a vanishing race. "Your God loves his people and hates mine. It matters but little where we pass the remainder of our days. They are not many. Some grim Nemesis of our race is on the redman's trail, and wherever he goes he will still hear the sure approaching footsteps of the fell destroyer." This version was mostly a justification for displacement of the native peoples by the pioneers. Smith remembered the Chief saying that the President's offer to buy Native American

lands was generous "for we are no longer in need of a great country." This version has no mention of the web of life or other ecological concepts.

In 1969, Smith's stuffy Victorian prose was translated into modern English by poet William Arrowsmith. Two years later, Ted Perry wrote the script for a film called *Home* produced by the Southern Baptist Convention. He used some quotes from Arrowsmith's translation together with a great deal of imagery, symbolism, and sentiments of 1970s environmentalism. Perry is the source of 90 percent of what we now know as Seattle's speech. Over Perry's objections, the film's editors attributed the text to Chief Seattle to make it seem more authentic.

The piece has since assumed a life of its own. Some obvious inconsistencies exist, such as "hearing the lovely cry of the whippoorwill" or having "seen a thousand rotting buffaloes on the prairie, left by the white man who shot them from a passing train." Chief Seattle lived his whole life in the Puget Sound area and died thirteen years before the railroads reached the West Coast. He never heard a whippoorwill or saw a buffalo. Many people who use the speech are unperturbed by evidence that it is untrue. It so perfectly supports their view of Native Americans that they believe some Native American should have said these things even if Seattle did not.

This story is a good example of a myth that persists because it fits our preconceived views. In Chief Seattle, we seem to find an unsophisticated primitive who spoke in poetic, beautiful language and prophesied all the evils we now experience. Indigenous cultures probably contain much ecological wisdom that can be taught to others, but care must be taken not to blindly accept mythological texts such as this.

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William P. Cunningham

Sea cows see **Manatees**.

## Secchi disk

An instrument used to measure the transparency of water. A Secchi disk is a metal, plastic, or wooden disk that is 8–12 inches (20–30 cm) in diameter. It

may be white or have alternating black and white quadrants. A long calibrated rope is attached to the center of the disk. Researchers use the disk by lowering it into the water, usually from the shaded side of a boat, and measuring the depth at which it just disappears from sight. The disk is then lowered a little more and then raised until it reappears. The average of the depths at which the disk disappears and then reappears is known as the Secchi depth. The amount of sediment, algae, and other solids in the water affects clarity and depth of light penetration and thus, Secchi depth. Scientists use this measure of transparency as a simple indication of water quality, often by comparing Secchi depth measurements over a period of time to track changes in the clarity of a particular water body.

Second-hand smoke see **Cigarette smoke**.

## Second World

Former Soviet Union states and Soviet bloc countries politically and economically controlled or allied with the former Soviet Union are often informally called the Second World. The term developed after World War II to distinguish communist bloc states from powerful capitalist states (First World) and from smaller, nonaligned developing states (Third World). These terms developed as a part of theories that the world's countries together made up a single dynamic system in which these three sectors interacted. Although the term is often used to indicate countries and regions of intermediate economic strength, its proper meaning has to do with political economy, or the politics of communist-socialist economics. In recent years, with the shrinking role and then disintegration of the communist bloc, the term has become much less common than those of First and Third Worlds.

Secure landfill see **Landfill**.

## Secondary recovery technique

The term secondary recovery technique refers to any method for removing oil from a reservoir after all natural recovery methods have been exhausted.

The term has slightly different meanings depending on the stage of recovery at which such methods are used.

The oil trapped in an underground reservoir is typically mixed with water, natural gas, and other gases. When a well is sunk into the reservoir, oil may flow up the well pipe to the earth's surface at a rate determined by the concentration of these other substances. If the gas pressure is high, for example, the oil may be pushed out in a fountain-like gusher.

Flow out of the reservoir continues under the influence of a number of natural factors, such as gravity, pressure of surrounding water, and gas pressure. Later, flow is continued by means of pumping. All such recovery approaches that depend primarily on natural forces are known as primary recovery techniques.

Primary recovery techniques normally remove no more than about 30 percent of the oil in a reservoir. Petroleum engineers have long realized that another fraction of the remaining oil can be forced out by fluid injection. The process of fluid injection involves the drilling of a second hole into the reservoir at some distance from the first hole through which oil is removed. Some gas or liquid is then pumped down into the second hole, increasing pressure on the oil remaining in the reservoir. The increased pressure forces more oil out of the reservoir and into the recovery pipe.

The single most common secondary recovery technique is water flooding. When water is pumped into the second well, it diffuses out into the oil reservoir and tends to displace oil from the particles to which it is absorbed. This process forces more of the residual oil up into the recovery pipe.

Water flooding was used as early as 1900, but did not become legal until 1921. A common practice was to drill a series of wells, some of which were still producing and others of which employed water injection. As the former became exhausted, they were converted to water injection wells and another group of producer wells were drilled. The process was repeated until all available oil was recovered from the field.

Recently, more sophisticated approaches to fluid injection have been developed from a reservoir. The two fluids that have been used most extensively in these approaches are liquid hydrocarbons and carbon dioxide. The principle behind liquid hydrocarbons is to find some material that will mix completely with oil and then push the oil-mixture that is formed out of the reservoir into the recovery pipe. A commonly used

hydrocarbon for this process is liquified petroleum gas (LPG), which is completely miscible with oil.

Since LPG is fairly expensive, only a small volume is actually used. It is pumped down into the reservoir and followed by a “pusher gas.” The pusher gas, often methane, is inexpensive and can be used in larger volume. The pusher gas forces LPG into the reservoir where it (the LPG) mixes with residual oil.

This system has worked well in the laboratory, but not so well in actual practice. The LPG has a tendency to get lost in the reservoir to an extent that it does not effectively remove very much residual oil.

The most effective fluid now available for injection appears to be carbon dioxide. A mixture of carbon dioxide and water is pumped down into the reservoir and followed by an injection of pure water that drives the carbon dioxide-water mixture through the reservoir. As carbon dioxide comes into contact with oil, it dissolves in the oil, causing it to expand and break loose from surrounding rock. The oil-carbon dioxide-water is then pumped out of the recovery pipe where the carbon dioxide is removed from the mixture and re-used in the next recovery pass.

The carbon dioxide process has been effective in removing oil after water flooding has already been used and only 25 percent of the oil in a reservoir still remains. In most cases, however, it is more efficiently used with reservoirs containing a larger fraction of residual oil.

Fluid injection is one type of secondary recovery technique. Another whole group of methods can also be used to extract the remaining oil from a reservoir. If these methods are employed after fluid injection has been tried, they are often referred to as tertiary recovery techniques. If they are used immediately after primary recovery, they are known as secondary recovery techniques. A whole set of recovery techniques can be called by different names, therefore, depending on the stage at which they are used. It is becoming more common today to refer to *any* method for removing the residual oil from a reservoir as an enhanced recovery technique.

Another technique for removing residual oil from a reservoir makes use of surfactants. A surfactant is a substance whose molecules are attracted to water at one end and oil at the other end. The most familiar surfactants are probably the soaps and detergents found in every home.

If surfactants are injected into an oil reservoir, they will form emulsions between the oil and water in the reservoir. The oil is essentially washed off particles of rock in the reservoir the way grease is washed off a

pan by a household detergent. The emulsion that is formed is then pushed through the reservoir and out the producer pipe by a flood of water pushed down the injection pipe.

The surfactant method works well in the laboratory, although it has been less successful in the field. Surfactants tend to adsorb on rock particles and get left behind as the water pushes forward. Methods for overcoming this problem are now being explored.

One of the fundamental problems with recovering residual oil in a reservoir is that oil droplets often have difficulty in squeezing through the small openings between adjacent rock particles. The use of surfactants is one way of helping the oil particles slip through those openings more easily. Another approach is to increase the temperature of the oil in the reservoir, thereby reducing its viscosity (tendency to flow). As it becomes less viscous, the oil can more easily force its way through pores in the reservoir.

One of the earliest applications of this principle, the steam soak method, was first used in Venezuela in 1959. In this method, steam is injected into one part of the reservoir and the producer pipe is closed off. After a few days, the pipe is reopened, and the loosened oil flows out. This process is repeated a few times before a change is made in the method and steam is piped in continuously while the producer pipe remains open.

Steam injection works especially well with heavy oils that are not easily displaced by other secondary recovery techniques. It is now used commercially in a number of fields, primarily in Venezuela and California.

A dramatic form of secondary recovery is *in situ* (in place) combustion. The principle involved is fairly simple. A portion of the oil in the reservoir is set on fire. The heat from that fire then warms the remaining residual oil and reduces its viscosity, forcing it up the producer pipe.

In practice, the fire can sometimes be made to ignite spontaneously simply by pumping air down the injection well. In some cases, however, the oil must actually be ignited at the bottom of the well. The temperature produced in this process may reach 650–1200° Fahrenheit (350–650°C) and the region of burning oil may creep through the rock at a speed of 1–12 inches (3–30 cm) per day. As the fire continues, air, and usually water, are continually pumped into the injection well to keep the combustion zone moving. Under the best of circumstances, *in situ* combustion has recovered up to half of all the oil remaining in a reservoir.

Research has demonstrated that each recovery method is suitable for particular kinds of reservoirs.

Oil viscosity, rock porosity, depth of the reservoir, and amount of oil remaining in the reservoir are all factors in determining which method to use. To date, however, the only method that has proved to be practical in actual field situations is steam injection.

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David E. Newton

## Secondary standards

Pollution control levels that affect the welfare of plants, animals, buildings and materials. Secondary standards may relate to either water quality or air pollution under the control of either the Safe Drinking Water Act or the Clean Air Act. These so-called “welfare effects” are thought not to affect human health but primarily crops, livestock, vegetation, and buildings. Secondary standards can refer to effects to which some monetary value could be ascribed, such as damage to materials, recreation, natural resources, community property, or aesthetics. Primary standards, on the other hand, refer to pollution levels that directly affect human health.

## Sediment

A mixture of sand, silt, clay, and organic components. Soil eroded from one location and deposited in another is identified as sediment. The sedimentary fraction has the ability to carry not only the mineral (sand, silt, and clay) and organic (humus) components, but also other “rider” components such as nitrogen compounds, herbicides, and pesticides. These riders are of high concern to those involved in environmental studies. Products applied to the soil in one location and beneficial to that system may be transported to other locations where the effect is detrimental to the habitat.

## Sedimentation

Driven by gravitational force, sedimentation is the settling or deposition of material suspended in a liquid. Sedimentation is normally considered a function of water deposition of the finer soil separates of sand, silt, and clay, but it may also include organic debris. Sometimes this is referred to as the siltation process, although there may be other fractions of material present other than silt. The term can be applied to wind-transported sediments as well. Sedimentation can be both harmful and beneficial. River and stream channels, reservoirs, and other water bodies may be degraded because of the deposition of sediment materials. Many of the most important food-producing and fiber-producing soils of the world have been developed from the deposition of fine particulates by both water and wind. In some cases, large topographic land forms are the result of long-term sedimentation processes.

## Seed bank

A seed bank is the reservoir of viable seeds present in a plant community. Seed banks are evaluated by a variety of methods. For some species, it is possible to make careful, direct counts of viable seeds. In most cases, however, the surface substrate of the ecosystem must be collected and seeds encouraged to germinate by exposure to light, moisture, and warmth. The germinating seedlings are then counted and, where possible, identified to species.

In most cases, the majority of seeds are found in surface layers. For example, the organic-rich forest floor contains almost all of the forest’s seed bank, with much smaller numbers of seeds present in the mineral soil.

The seeds of some plant species can be remarkably long-lived, extending the life of the seed bank. For example, in northeastern North America, the seeds of pin cherry (*Prunus pensylvanica*) and red raspberry (*Rubus idaeus*) can persist in the forest floor for perhaps a century or longer. This considerably exceeds the period of time that these ruderal species are present as mature, vegetative plants during the initial stages of post-disturbance forest succession. However, because these species maintain a more-or-less permanent presence on the site through their persistent seed bank, they are well placed to take advantage of temporary opportunities of resource availability that

follow disturbance of the stand by wildfire, wind-storm, or harvesting.

The seeds of many other plant species have only an ephemeral presence in the seed bank. In addition to some tropical species whose seeds are short-lived, many species in temperate and northern latitudes produce seeds that cannot survive exposure to more than one winter. This is a common trait in many grasses, asters, birches, and most conifers, including pines, spruces, and fir. Often these species produce seeds that disperse widely, and can dominate the short-lived seed banks during the autumn and springtime. Species with an ephemeral presence in the seed bank must produce large numbers of well-dispersed seeds each year or at least frequently, if they are to successfully colonize newly disturbed sites and persist on the landscape.

Although part of the plant community, seed banks are much less prominent than mature plants. In some situations, however, individual plants in the seed bank can numerically dominate the total-plant density of the community. For example, in some cultivated situations the persistent seed bank can commonly build up to tens of thousands of seeds per square meter and sometimes densities which exceed 75,000 seeds per square meter. Even natural communities can have seed banks in the low tens of thousands of seeds per square meter. However, these are much larger than the densities of mature plants in those ecosystems.

The seed bank of the plant community is of great ecological importance because it can profoundly influence the vigour and species composition of the vegetation that develops after disturbance.

Bill Freedman

Seed dressings see **Methylmercury seed dressings.**

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## Seepage

A process by which water gradually moves through soil. Seepage is the cause of a variety of environmental problems. For example, pesticides used at the surface may seep into groundwater. In some cases, toxic or radioactive wastes stored in sealed tanks underground seep into water supplies following a loss of storage tank integrity by rust or structural failure.

*See also* Water quality.

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## Selection cutting

A harvesting method that removes mature trees individually or in small groups. The resulting gaps in the canopy allow understory trees to develop under the protection of the remaining overstory. In comparison to other regeneration methods, selection cuttings offer seedlings the most protection against sun and wind. They also protect against the erosion of soil and maintain aesthetic value in forests. On the negative side, selection logging can damage the remaining trees and requires highly skilled labor and more expense. Because most of the overstory remains, selection cutting favors shade-tolerant species and is not appropriate when the goal is growth of light-demanding species.

*See also* Clear-cutting.

Sellafield (U.K) see **Windscale (Sellafield) plutonium reactor.**

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## Septic tank

Nearly 20 million homes, which include almost 30 percent of the population of the United States, dispose of their wastewater through an on-site disposal system. Over four billion gallons of wastewater is deposited below the ground surface each day in the United States. The most commonly used type of system is the septic tank, which is an individual treatment system that uses the soil to treat small wastewater flows. The system is usually used in rural or large lot settings where centralized wastewater treatment is impractical. Septic tank systems are designed specifically for each site, using standardized design principles that are usually state-regulated. Septic tank systems commonly contain three components: the septic tank, a distribution box, and a drainfield, all of which are connected by conveyance lines.

The septic tank—typically made of fiberglass, concrete, or polyethylene—serves to separate solids from the liquids in the wastewater. All sources of wastewater, including those from sinks, baths, showers, washing machines, dishwashers, and toilets, are directed into the septic tank, since any of these waters can contain disease-causing microorganisms or environmental pollutants. The size of the septic tank varies depending on the number of bedrooms in the home, but an average tank holds one thousand gallons (3,790 liters) of liquid. Wastewater in a septic tank is treated by anaerobic bacteria that digest organic materials,

while encouraging the separation of solid materials from the wastewater. The solids accumulate and remain in the septic tank in the form of sludge, which collects at the bottom of the tank, and also in the form of scum, which floats on the top of the wastewater. Periodically (for example, every two or three years) the indigestible sludge and scum (referred to as septage) are removed from the tank by pumping and are disposed of in a septage disposal system (like a municipal sewage treatment system). Unfortunately, many homeowners do not properly maintain septic systems by pumping them out as frequently as every two to three years and often wait until there is a back up in the system or some other type of problem. Periodic pumping is designed to prevent the solids from leaking out of the septic tank in the wastewater effluent. The effluent from the septic tank is a cloudy liquid that still contains many pollutants (including nitrogen (N) compounds, suspended solids, and organic and inorganic materials) and microorganisms (including bacteria and viruses, some of which may be potentially pathogenic) that require further treatment.

Treatment of the wastewater effluent from the septic tank is continued by transporting the wastewater by gravity to a soil absorption field through a connecting pipe. The absorption field is also referred to as the soil drainfield or the nitrification field. The absorption field consists of a series of underground perforated pipes covered with soil and turf, which may be connected in a closed loop system. The wastewater enters a constructed gravel bed (the trench) through perforations in the pipe, where it is stored before entering the underlying unsaturated soil. The wastewater is treated as it trickles into and through the soil by filtration and adsorption processes as well as by aerobic degradation processes before the wastewater enters the ground water. Filtration removes most of the suspended solids and may also remove microorganisms. Adsorption is the process by which pollutants and microorganisms are attracted to and held on the surfaces of soil particles, thus immobilizing them. Adsorption attracts such nutrients such as phosphorus (P) and some forms of nitrogen (mostly ammonium  $[\text{NH}_4^+]$ ) and is most effective when fine-textured soil is used as the adsorption medium. However, soils with a very fine texture, such as soils high in clay, may have too low permeability to allow much wastewater to pass through the soil. Microbial degradation results in the removal of many remaining nutrients and organic materials. If the volume and type of soil underlying a soil absorption system are adequate, most pollutants (with the exception of nitrate  $[\text{NO}_3^-]$  nitrogen) should be removed before the wastewater reaches the groundwater.

Estuaries (bays, harbors, etc.) often experience nitrogen loading from septic systems along the shore (within 656 feet [200 meters] of the shore or greater in sandy soil environments). Typically on-site disposal systems such as septic tanks cannot remove more than 50–60 percent of the nitrogen (mostly in the form of nitrate) and it ends up in the nearby coastal waterway, which is a problem because many of the estuaries around the world are experiencing problems with eutrophication as a direct result of overloading of nitrogen.

Although some difficulties can arise with septic systems, there are some simple practices that can prevent common problems. For example, groundwater pollution and surfacing of untreated or poorly filtered effluent from a septic tank system can be prevented by ensuring that excessive amounts of water are not allowed to enter or flood the drainfield. Reduced production of wastewater, or water conservation, is recommended to prevent system overload. Water from roof drains, basement sump pump drains, and other rainwater or surface water drainage systems should be directed away from the absorption field. The functioning of the system can also be damaged by the addition of such materials as coffee grounds, wet-strength towels, disposable diapers, facial tissues, cigarette butts, and excessive amounts of grease, which can clog the inlet to the septic tank, or if carried out of the septic tank, may impede drainage of wastewater in the soil absorption field. The septic tank should be pumped more frequently if a garbage disposal is used.

Groundwater pollution can also be caused by the addition of hazardous chemicals to the septic tank system, which may be transported through the system to the ground water without removal or treatment in the system. Hazardous chemicals may be found in such commonly used products as pesticides, solvents, latex paint, oven cleaners, dry cleaning fluids, motor oils, or degreasers.

Siting requirements for a soil absorption system depend on the amount of daily sewage flow and site conditions that affect the ability of the soil to absorb, treat, and dispose of septic tank effluent without creating a public health hazard or contamination of ground or surface waters. If a proposed site is located on a gently sloping surface that is not susceptible to flooding, and has at least six feet (1.8 meters) of well-drained, permeable soil, with a low content of coarse fragments, only a minimum area is required for installation of the absorption drainfield. However, area requirements increase as slope increases and soil permeability, depth of suitable soil, and depth to

groundwater decrease. However, at some sites, the soil type, depth, or site topography may not be suitable for the use of the conventional soil absorption drainfield, and modifications or additions to the conventional system may be required.

Siting requirements usually also include that sufficient area be reserved for installation of a repair system if the original system fails. This additional area should meet all requirements of the original soil absorption system and should be kept free of development and traffic. The disposal site should also be located at safe distances from ground water supply sources, wetlands, lakes, streams, drain tile, and escarpments where seepage may occur, as well as set back from buildings and roads that may interfere with the proper operation of the system.

A common problem encountered in drainfields is excessive development of a clogging mat at the interface between the gravel bed in the absorption trench and the underlying soil due to the accumulation of organic materials and the growth of microorganisms. The development of a clogging mat is a natural process and at some sites, such as those with sandy soils, may be desirable to slow the movement of the water through the sandy materials to allow for treatment of the wastewater to occur. However, excessive development of a clogging mat may result in formation of anaerobic soil conditions, which are less conducive to degradation of the organic waste materials, as well as in surfacing of the effluent or backing up of the wastewaters into the residence.

In some areas, the soil characteristics prevent the operation of a typical septic system, and alternatives must be used to achieve efficient septic system operation. Other situations that prohibit the installation or proper operation of a septic system would be if there are too many typical septic systems in the area or that the septic system would be too close to surface waters or groundwater. When alternative systems must be implemented, the use of sand, peat, or plastic media may be employed as a replacement for soil to improve wastewater treatment. Septic systems can also be altered for improved operation by utilizing lagoons, wetlands, aerators, or disinfection devices. At sites with limiting features or where problems with the excessive development of clogging mats occur, landowners may modify or enhance the conventional soil absorption drainfield to increase its performance. Examples of such alterations include:

- Alternating drainfields: The wastewater effluent from the septic tank is directed to two or more

separate drainfields; a section of the drainfield receives effluent for six to twelve months and then is allowed to rest for a similar period of time. The clogging mat that forms at the soil/trench interface dries and is oxidized during this dormant period, thus increasing the expected life span of the section and restoring aerobic soil conditions. Some states require that an area of a homeowner's property be established as a backup or reserve drainfield in the instance that the original drainfield fails to operate properly.

- Pressure distribution: A pressure head is created within the distribution pipe system in the drainfield. This is usually achieved by using a dosing tank and a pump or a siphon and yields uniform distribution of the wastewater throughout the system. The pressure distribution system differs from a conventional system because approximately the same amount of effluent flows out of each hole in the distribution pipes, rather than a concentrated amount of effluent flowing by gravity into a few localized areas. The effluent is discharged periodically to the drainfield so that a dose/rest cycle is maintained, and in turn allows for the wastewater to be absorbed into the underlying soil before additional effluent is added to the drainfield. The dose/rest cycle may also slow down the formation rate of the clogging mat that naturally occurs over time. Most commonly, landowners use these types of pressure distribution systems (which also employ vegetation to help evaporate liquids): (a) low-pressure subsurface pipe distribution system, which consists of a network of small-diameter perforated plastic pipes buried in narrow, shallow trenches; (b) mound system, in which wastewater is pumped to perforated plastic pipe that is placed in a vegetated sand mound constructed above the natural surface of the ground; and (c) evapotranspiration bed, in which a vegetated sand bed is lined with plastic or other waterproof material.

A wide variety of onsite septic systems exist from which to select the most appropriate for a specific site. The primary criterion for selection of an appropriate system is the ability of the system to protect public health and to prevent environmental degradation at the specific site.

Experimental septic treatment systems now run in private solar-powered greenhouses that house complete ecosystems of plants and fish to create a natural cleansing action that can process wastewater into clean drinking water in as little as twelve days. Systems were cropping up sparingly by the end of the 1990s, often in milder climates where the solar source is

plentiful. One self-contained ecosystem proved capable of servicing an entire small town at a development cost as low as \$300 thousand. The potential for third world implementation is under consideration, and prototype systems developed in the early part of the decade were implemented even in the harsh New England climate, where water pollution is particularly severe.

Serious ecological threats in the northeast led to a series of comprehensive changes to the Massachusetts State Environmental Code (Title V) beginning in 1995. The state set restrictions on the siting and maintenance of septic tanks and systems and imposed requirements for state-certified testing of systems whenever a property title transfers. The code changes were prompted by dangerous levels of water pollution making it unsafe to swim and fish in many of the state's lakes and bays and contributing to toxins in the drinking water. The legislation requires that detailed engineering plans be included as part of construction permits. Initial inspection of existing systems is required combined with regular inspections of certain private and public systems. Follow-up inspections are mandated coincident with any changes to building occupancy. Permissible septic system additives are clearly defined.

Some developers hope to eliminate the septic tank altogether with high-tech waste systems allowing an entire sewer system to be housed inside a single-family dwelling, including a 91-gallon (344-liter) wastewater tank that operates on 240 volts of electricity. The system, with an ability to pump wastewater vertically or as far as 2 miles (3.2 kilometers) horizontally, can easily follow the contour of the landscape. The system is easier and cheaper to build because it eliminates the deep digging associated with septic tank system installation and offers the advantages of a completely contained sewer system.

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Judith Sims

## Serengeti National Park

Serengeti National Park lies in northern Tanzania between Lake Victoria and the East African Rift Valley. It was established in 1929 (and expanded in 1940) to protect 5,600 square miles (14,500 sq. km) of the Serengeti plains ecosystem. This vast park spans an area twice the size of Yellowstone National Park and supports over ninety-four species of mammals, 400 species of birds, and includes the spectacular migration routes of the largest herds of grazing animals to be seen anywhere in the world.

Each year, migrating herds move clockwise around the park, constantly seeking better feeding grounds. Changing water availability is the key factor in the annual migrations, which correlate closely with the local cycles of rainfall. At the right time of year, visitors to the Park can see hundreds of thousands of migrating herds of wildebeest (*Connochaetes taurinus*), running in winding lines several miles long. The wildebeest are accompanied by herds of zebra (*Equus burchelli*) and Thomson's gazelle (*Gazella thomsoni*).

During the peak dry season (August to November), the grazers congregate in the Serengeti's northern extension, moving south east when the first storms appear in December. The greatest concentration of animals occurs in the short grass pastures of the eastern Serengeti from December to May when millions of the park's migratory grazers assemble. They are accompanied by packs of nomadic predators such as lion (*Panthera leo*) and hyenas (*Crocuta crocuta*). As the dry season progresses (June and July) and the grazing gets worse, the massive herds move to the wooded savannas of the western corridor of the park. By mid-August, when this food supply is exhausted, the herds move back into the northern plains, crossing also into the adjacent Masai Mara National Reserve in Kenya.

The non-migrant inhabitants of the Serengeti plains include ostrich (*Struthio camelus*), impala (*Aepyceros melanious*), topi (*Damalicus korrigum*), buffalo (*Syncerus kaffer*), giraffe (*Giraffa camelopardalis*), Grant's gazelle (*Gazella granti*), leopard (*Panthera pardus*), cheetah (*Acinonyx jubatus*), hunting dogs (*Lycyaeon pictus*), and jackals (*Canis adustus*).



African buffalo in the Serengeti National Park, Tanzania. (© Jon Arnold Images Ltd / Alamy)

The three principal species of migratory grazers—zebra, wildebeest, and Thomson’s gazelle—do not compete directly for food, and it is common to find them grazing together. Zebras eat the upper parts of the grass shoots, exposing the softer leaf bases for the wildebeest. Grazing wildebeest in turn expose the herb layer beneath the grass, which is eaten by gazelles. Balanced populations of grazers actively maintain the stability of grassland ecosystems: too little grazing will allow woody vegetation to grow, while too much grazing will turn grassland into desert.

Today, the Serengeti National Park is under pressure from the rapidly growing human population outside the park. Domestic cattle herders and farmers operate inside Park boundaries, competing with the wildlife for food, water, and land, while well-armed poachers kill game for meat, horns, and tusks. Human ancestors (*Australopithecus robustus* and *Homo habilis*) once hunted game on the Serengeti plains, as evidenced by the finds of archeologists Louis and Mary Leakey at Olduvai Gorge, which lies close to the park’s eastern entrance.

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Neil Cumberlidge

## Seveso, Italy

Accidents in which large quantities of dangerous chemicals are released into the environment are almost inevitable in the modern world. In fact, toxic chemicals are produced in such large volumes today that it would

be a surprise if such accidents were never to occur. One of the most infamous accidents of this kind occurred at Seveso, Italy, a town near Milan, on July 10, 1976.

The Swiss manufacturing firm of Hoffman-LaRoche operated a plant at Seveso for the production of hexachlorophene, a widely used disinfectant. One of the raw materials used in this process is 2,4,5-trichlorophenol (2,4,5-TCP). At one point in the operation, a vessel containing 2,4,5-TCP exploded, releasing the carcinogenic (cancer causing) chemical into the atmosphere as a toxic vapor. A cloud 100–160 feet (30–50 m) high escaped from the plant and then drifted downwind. It eventually covered an area about 2,300 feet (700 m) wide and 1.2 meters (2 km) long.

Although 2,4,5-TCP is a skin irritant, it was not this chemical that caused concern. Instead, it was an impurity in 2,4,5-TCP, a compound called 2,3,7,8-tetrachlorodibenzo-p-dioxin, that caused alarm. This compound, one of a family known as dioxins, is one of the most toxic chemicals known to science. It occurs as a byproduct in many manufacturing reactions in which 2,4,5-TCP is involved. Experts estimated that 7–35 pounds (3–16 kg) of dioxin were released into the atmosphere as a result of the Seveso explosion.

People living closest to the Hoffman-LaRoche plant were evacuated from their homes and the area was closed off. About 5,000 nearby residents were allowed to stay, but were subsequently prohibited from raising crops or farm animals.

Damage to plants and animals in the exposed area was severe. Thousands of farm animals died or had to be destroyed. More than 2.5 tons (225 kg) of contaminated soil were removed before planting could begin again. Short- and long-term effects on human health, however, were relatively modest. In the months following the accident, 176 individuals were found to have chloracne, an inflammation of the skin caused by chlorine-based chemicals. An additional 137 cases of the condition were found in a follow-up survey six months after the accident.

Some people claimed that exposed women had higher rates of miscarriage and of deformed children, but local authorities were unable to substantiate these claims. No human lives were lost in the accident. It is increasingly seen as a lucky escape, where a potentially catastrophic release caused relatively little damage. The outcome has often been linked with a set of innovative public policies for managing industrial disasters, known as the Seveso Directive, within the European Union. After a series of accidents, in 2003 the directive was substantially updated to strength monitoring and enforcement provisions.

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David E. Newton

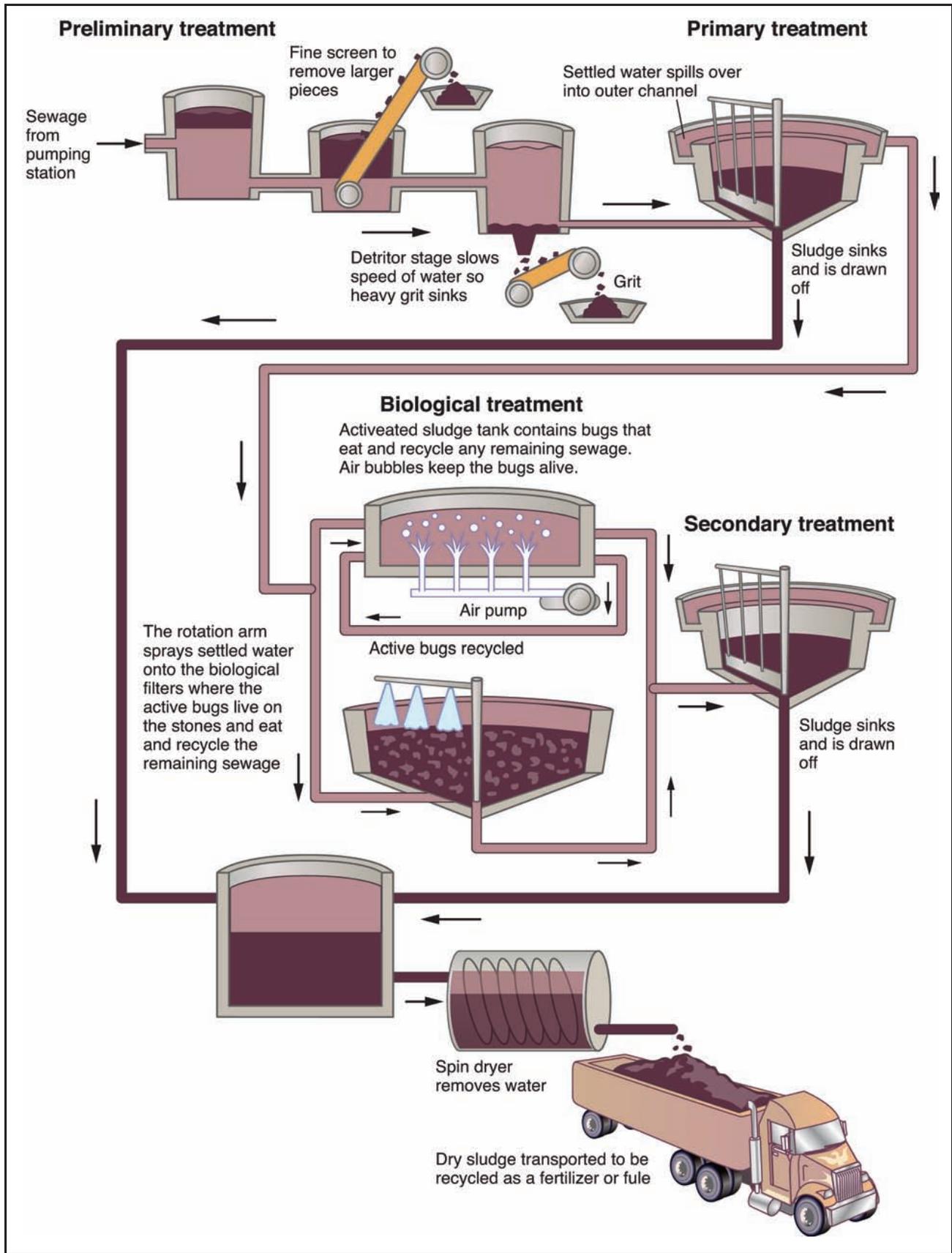
## Sewage treatment

Sewage is wastewater discharged from a home, business, or industry. Sewage is treated to remove or alter contaminants in order to minimize the impact of discharging wastewater into the environment. The operations and processes used in sewage treatment consist of physicochemical and biological systems.

The concerns of those involved in designing sewage treatment systems have changed over the years. Originally, the biochemical oxygen demand (BOD) and total suspended solids (TSS) received most of the attention. This was primarily because excessive BOD and TSS levels could cause severe and readily apparent problems, such as oxygen deficits that led to odors and fish kills, and sludge deposits that suffocated benthic organisms. By removing BOD and TSS, other contaminants were also removed and other benefits were realized; so even today, some discharge permits contain only limits for BOD and TSS. However, many permits now contain limits on other contaminants as well, and these limits, as well as other requirements, are constantly changing.

Among the first contaminants to be added to the requirements for discharge permits were nutrients. The most commonly regulated nutrients are phosphorus and nitrogen. Originally removing phosphorus and nitrogen could only be done through expensive, advanced methods. But scientists have discovered ways to accomplish enhanced removals of nutrients in conventional biological treatment plants with relatively minor operational and structural adjustments.

The most recently regulated pollutants are toxicants. There are regulations for specific toxic agents, and there are the generic-type regulations, which specify that the toxicity to certain test organisms should not exceed a certain level. For example, the wastewater discharged from a particular municipality may be restricted from killing more than 50% of the *Ceriodaphnia* in an aquatic toxicity test. The municipality would not need to determine what is causing the



Schematic representation of a sewage treatment system. (Reproduced by permission of Gale, a part of Cengage Learning)

toxicity, just how to minimize its effects. Efforts to understand the causes of toxicity are referred to as toxicity reduction evaluations. The generic limit can therefore sometimes turn into a more specific standard, in the view of the municipality or industry, when the identity of a toxicant is determined; the general regulatory limit might remain, but treatment personnel are more cognizant of the role that a certain pollutant plays in overall, effluent toxicity.

The systems used to treat sewage can be divided into stages. The first stage is known as preliminary treatment. Preliminary treatment includes such operations as flow equalization, screening, comminution (or grinding), grease removal, flow measurement, and grit removal. Screenings and grit are taken to a landfill. Grease is directed to sludge handling facilities at the plant.

The next stage is primary treatment, which consists of gravity settling to remove suspended solids. Approximately 60 percent of the TSS in a domestic wastewater is removed during primary settling. Grease that floats to the surface of the sedimentation tank is skimmed off and handled along with the sludge (known as primary sludge) collected from the bottom of the tank.

The next stage is secondary treatment, which is designed to remove soluble organics from the wastewater. Secondary treatment consists of a biological process and secondary settling. There are a number of biological processes. The most common is activated sludge, a process in which microbes, also known as biomass, are allowed to feed on organic matter in the wastewater. The make-up and dynamics of the biomass population is a function of how the activated sludge system is operated. There are many types of activated sludge systems that differ based on the time wastewater remains in the biological reactor and the time microbes remain there. They also differ depending on whether air or oxygen is introduced, how gas is introduced, and where wastewater enters the biological reactor, as well as the number of tanks and the mixing conditions.

There are also biological treatment systems in which the biomass is attached. Trickling filters and biological towers are examples of systems that contain biomass adsorbed to rocks or plastic. Wastewater is sprayed over the top of the rocks or plastic and allowed to trickle down and over the attached biomass, which removes materials from the waste through sorption and biodegradation. A related type of attached-growth system is the rotating biological contactor, where biomass is attached to a series of thin, plastic wheels that rotate the biomass in and out of the wastewater.

It is important to note that each of the above biological systems is aerobic, meaning that oxygen is present for the microbes. Anaerobic biological systems are also available in both attached and suspended growth configurations. Examples of the attached and suspended growth systems are, respectively, anaerobic filters and upflow anaerobic sludge blanket units.

The end-products of aerobic and anaerobic processes are different. Under aerobic conditions, if completely oxidized, organic matter is transformed into products that are not hazardous. But an anaerobic process can produce methane ( $\text{CH}_4$ ), which is explosive, and ammonia ( $\text{NH}_3$ ) and hydrogen sulfide ( $\text{H}_2\text{S}$ ), which are toxic. There are thus special design considerations associated with anaerobic systems, though methane can be recovered and used as a source of energy. Some materials are better degraded under anaerobic conditions than under aerobic conditions. In some cases, the combination of anaerobic and aerobic systems in a series provides better and more economical treatment than either system could alone. Many substances are not completely mineralized to the end-products mentioned above, and other types of intermediate metabolites can be considered in selecting a biological process.

Biomass generated during biological treatment is settled in secondary clarifiers. This settled biomass or secondary sludge is then piped to sludge-management systems or returned to the biological reactor in amounts needed to maintain the appropriate biomass level. The hydraulic detention time of secondary clarifiers is generally in the area of two hours.

As mentioned above, biological systems are designed on the basis of hydraulic residence time and sludge age. In a conventional activated-sludge system, sewage is retained in the reactor for about five to seven hours. Biomass, due to the recycling of sludge from the secondary clarifier, remains in the reactor, on average, for about ten days.

Disinfection follows secondary clarification in most treatment plants. Disinfection is normally accomplished with chlorine. Due to the potential environmental impact of chlorine, most plants now dechlorinate wastewater effluents before discharge.

Some facilities use another stage of treatment before disinfection. This stage is referred to as tertiary treatment or advanced treatment. Included among the more commonly used advanced systems are adsorption to activated carbon, filtration through sand and other media, ion exchange, various membrane processes, nitrification-denitrification, coagulation-flocculation, and fine screening.

The treatment systems used for municipal sewage can be different from the systems used by industry, for industrial wastes can pose special problems which require innovative applications of the technologies available. Additionally, industrial wastes are sometimes pretreated before being discharged to a sewer, as opposed to being totally treated for direct discharge to the environment.

See also Aerobic sludge digestion; Anaerobic digestion; Bioremediation; Industrial waste treatment; Sludge treatment and disposal; Waste management.

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Gregory D. Boardman

## Shade-grown coffee and cacao

Coffee (*Coffea arabica*) and cacao (*Theobroma cacao*) are important agricultural crops in the developing world that have been traditionally grown under a light canopy of rainforest trees created by thinning the original rainforest. In the 1970s, growers in South America began changing their planting practices, setting out sun-tolerant varieties of these plants in uniform rows. Coffee and cacao grown in full sun require more pesticides and fertilizer in order to thrive. In addition, when rainforest is cleared in order to grow these crops in sun, animals and native plants lose their habitat, and biodiversity (the number of different plant and animal species in a habitat) decreases.

Coffee and cacao are grown in places that would normally support tropical rainforests with enormous biodiversity. The original technique of thinning the forest and growing these crops in shade under a light

canopy of trees reduced biodiversity. Completely clearing the forest and growing a single crop in full sun severely reduces biodiversity. When coffee and cacao are grown in shade, far less of the original habitat is destroyed.

Destruction of tropical rainforests due to logging, subsistence cultivation (slash and burn agriculture), and cash-crop cultivation, including the growing of coffee and cacao, has become of increasing concern to ecologists worldwide because of its far-reaching effects. In the late 1990s, ecologists in the United States recognized that deforestation in the tropics was destroying the winter habitat of many migrating songbirds as well as native birds. One solution, among many, was to advocate for the return of traditional agricultural methods for South American coffee and cacao. Combined efforts of conservation groups, farmers, and coffee buyers working across international borders led to a growing market in shade-grown coffee beginning in the early 2000s. Advocating for shade-grown cacao has met with a more limited success.

The coffee plant is a low shrub or tree originating in Africa in the high forests of Ethiopia and the Sudan. Colonists introduced the plant to Central and South America in the 1700s. Coffee developed into an increasingly important cash crop in the nineteenth century. It grew well in the higher elevations of Central and South America, where moist conditions and the cloud and tree cover of the rainforests allowed it to flourish. Coffee production grew two-to three-fold in parts of Central America between 1870 and 1910, as coffee became a valued commodity worldwide. According to the non-profit Rainforest Alliance, in 2010 around 30 million acres (12 million ha), spread across nearly fifty countries, were farmed for coffee worldwide. The Alliance also noted that some 25 million people in the world's tropical regions depend upon coffee to make a living.

Until the 1970s, coffee was produced mostly by small farmers who grew the crop under the forest canopy. Farmers began planting coffee in rows in full sun in the 1970s. United States Agency for International Development (USAID) and local government groups encouraged farmers to apply monoculture plantation farming to their coffee farms, setting the plants out in deforested fields and treating them with chemical pesticides and fertilizers. Almost 70 percent of Columbia's coffee plantations were transformed to full-sun plantations between the 1970s and early 1990s. In Mexico, Costa Rica, and other parts of Central America, some 30–40 percent of coffee production shifted to full-sun fields.

In most instances, direct-sun coffee plants yield significantly larger crops than do shade-grown coffee plants. Yet this method has hidden costs, because the plants soon deplete nutrients from the soil, requiring chemical fertilizer to continue production of high yields. Coffee plants grown in full sun also do not live as long as plants grown in shade. While a shade-grown coffee shrub can survive for eighty to 100 years, plants grown in full sun live only about fifteen years.

Clearing land for full-sun coffee production (and to plant other agricultural crops and for logging) has had devastating effects on all parts of the ecosystem. For example, full-sun coffee plantations have been found to support fewer than ten percent of the species of birds found in the rainforest.

Conservationists in the United States began recording a decline in the population of migratory songbirds such as the northern oriole (*Icterus galbula*) in the 1980s. By the 1990s, some scientists suspected the falling songbird population in the United States was due in part to loss of habitat in the birds' winter homes in Central and South America. This loss of habitat was wide-ranging, with full-sun plantations making up only one small part of the problem.

Conservation groups began promoting shade-grown coffee in the late 1990s as a way to conserve rainforest habitat. One of the biggest coffee buyers in the United States, Starbucks, began purchasing shade-grown coffee from Mexico in 2000 to support the rainforest conservation effort. A growing market for gourmet coffee in the United States made it economically feasible for some farmers to return to traditional shade-grown crops. Since Starbucks began its purchasing effort of shade-grown coffee, many other corporations have stepped in to support sustainable coffee-growing methods. For instance, in November 2010 American Airlines began serving sustainably-grown, hand roasted coffee Certified by the Rainforest Alliance to all its inflight customers.

Cacao production has followed a similar path. Cacao is native to South America, and grows in similar conditions to coffee. The conversion to full-sun cacao plantations began in the 1970s. In Ecuador, a prime producer of cacao, collapse of the market led farmers to replant with quick-growing, sun-tolerant hybrids. Like coffee, cacao grown on plantations without forest shade depletes the soil, requiring the addition of chemical fertilizers. Furthermore, monoculture fields diminish biodiversity and increase susceptibility to disease and pests. Some growers' cooperatives, in league with North American conservation groups, began returning to shade-grown cacao in the late 1990s.

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Rainforest Alliance, 665 Broadway, Suite 500, New York, NY, USA, 10012, (212) 677-1900, canopy@ra.org, <http://www.rainforestalliance.com>

Angela Woodward

## Shadow pricing

A practice employed by some economists that involves assigning a price on objects or goods that normally do not have a market value. For example, pollution degrades common property and the natural heritage of present and future generations, but because it is considered a “free” good, no one “pays” for it at the marketplace. Yet pollution has a cost even if the market fails to explicitly take into account this cost and assign it to responsible parties. Thus pollution is perceived by most economists as a defect that undermines otherwise efficient markets. To correct this defect, economists often advocate the use of some type of shadow pricing for pollution. Such prices are set by the “shadow” procedure of asking people what they would be willing to pay for breathing clean air, watching whales migrate, or preventing the extinction of a particular species of plant or animal. Hypothetical or shadow prices for these “commodities” can thereby be estimated. Some environmentalists and economists, however, criticize shadow pricing for falsely attempting to assign a monetary value to things that are invaluable precisely because they (like beauty, love, and respect) are not and cannot be bought, sold, or traded in markets. To assign a shadow price, these critics claim, is to make the mistake of assuming that nothing has intrinsic value and that the worth of everything can be measured and reduced to its utilitarian or instrumental value.

## Shanty towns

The United Nations estimates that approximately one billion people—15 percent of the world's population—live in crowded, unsanitary slums of the central cities and in the vast shanty towns and squatter



**Hillside favela in Rio de Janeiro, Brazil. These slums are home to thousands of people living in poverty and squatting on public land.** (David R. Frazier Photolibrary, Inc. / Photo Researchers, Inc.)

settlements that ring the outskirts of most cities in developing nations. The United Nations estimates that the number of people living in slums will increase to two billion people by 2030 as urbanization continues in developing nations.

Slums are generally legal but otherwise inadequate multifamily tenements or rooming houses, either custom built for rent to poor people or converted from some other use. The *chals* of Mumbai, India for example, are high-rise tenements built in the 1950s to house immigrant workers. Never very safe or sturdy, these dingy, airless buildings are already crumbling and often collapse without warning. The vast majority of the families in these tenements live in a single room; most of those families consist of six or more people. Space is limited, and they may share kitchen and bathroom facilities down the hall with dozens of other people. Even more crowded are the rooming houses for mill workers, where

up to twenty-five men sleep in a single room only 75 square feet (7 m<sup>2</sup>). Because of this overcrowding, household accidents are a common cause of injuries and deaths in cities of developing countries, especially to children. Charcoal braziers or kerosene stoves used in crowded homes are a routine source of fires and injuries. With no place to store dangerous materials beyond the reach of children, accidental poisonings and other mishaps are a constant hazard.

Shanty towns are created when people move onto undeveloped lands and build their own houses. Shacks are built of corrugated metal, discarded packing crates, brush, plastic sheets, or whatever building materials people can scavenge. Some shanty towns are simply illegal subdivisions where the landowner rents land without city approval. Others are spontaneous or popular settlements or squatter towns where people occupy land without the owner's permission. Sometimes this occupation involves thousands of people who move onto unused land in a highly organized, overnight land invasion, building huts and laying out streets, markets, and schools before authorities can evict them. In other cases, shanty towns gradually appear.

Called *barriads*, *barrios*, *favelas*, or *turgios* in Latin America, *bidonvillas* in Africa, or *bustees* in India, shanty towns surround every megacity of the developing world. They are not an exclusive feature of poor countries, however. Smaller enclaves of the poor and dispossessed can be found in most American and European cities.

The problem is magnified in less developed countries. Nouakchott, Mauritania, one of the fastest growing cities in the world, consists almost entirely of squatter settlements and shanty towns. It has been called "the world's largest refugee camp." As of 2009, Orangi Town, Pakistan was largest shanty town in Asia covering 22 square miles (57 sq km) and housing between 1.5 to 2.5 million people. Many governments try to clean out illegal settlements by bulldozing the huts and sending riot police to drive out the settlers, but the people either move back or relocate to another shanty town elsewhere.

These popular but unauthorized settlements usually lack sewers, clean water supplies, electricity, and roads. Often the land on which they are built was not previously used because it is unsafe or unsuitable for habitation. In Bhopal, India, and Mexico City, for example, squatter settlements were built next to deadly industrial sites. In such cities as Rio de Janeiro, Brazil; La Paz, Bolivia; Guatemala City, Guatemala; and Caracas, Venezuela, they are perched on landslide-prone hills. In Bangkok, Thailand, thousands of people live in shacks built over a

fetid tidal swamp. In Lima, Peru; Khartoum, Sudan; and Nouakchott, shanty towns have spread onto sandy deserts. In Manila in the Philippines, thousands of people live in huts built on towering mounds of garbage amidst burning industrial waste in city dumps.

Few developing countries can afford to build modern waste treatment systems for their rapidly growing cities, and the spontaneous settlements or shanty towns are the last to be served. The United Nations estimates that almost 50% of urban residents in developing countries lack satisfactory sanitation services. In Egypt, Cairo's sewer system was built about fifty years ago to serve a population of two million people. It is now being overwhelmed by more than 17 million inhabitants.

According to the United Nations, 1.1 billion people do not have access to improved drinking water. Where people must buy water from merchants, it often costs 100 times as much as piped city water and may not be safe to drink after all. Many rivers and streams in developing countries are little more than open sewers, and yet, they are all that poor people have for washing clothes, bathing, cooking, and, in the worst-cases, for drinking. Diarrhea, dysentery, typhoid, and cholera are epidemic diseases in these countries, and infant mortality is tragically high.

A striking aspect of most shanty towns is the number of people selling goods and services of all types on the streets or from small stands in informal markets. Food vendors push carts through crowded streets; children dart between cars selling papers or cigarettes; curbside mechanics make repairs using primitive tools and ingenuity. Nearly everything city residents need is available on the streets. These individual entrepreneurs are part of the informal economy: small-scale family businesses in temporary locations outside the control of normal regulatory agencies. In many developing countries, this informal sector accounts for a major portion of the economy.

Governments often consider these independent businesses to be backward and embarrassing, a barrier to orderly development. It is difficult to collect taxes or to control these activities. In many cities, police drive food vendors, beggars, peddlers, and private taxis off the streets at the same time that they destroy shanties and squatter settlements.

Recent studies, however, have shown that this informal economy is a vital, dynamic force that is more often positive than negative. The sheer size and vigor of this sector means that it can no longer be ignored or neglected. The informal economy is often the only feasible source of new housing, jobs, food

distribution, trash removal, transportation, or recycling for the city. Small businesses and individual entrepreneurs provide services that people can afford and that cities cannot or will not provide.

The businesses common to the informal sector are ideal in a rapidly changing world. They tend to be small, flexible, and labor intensive. They are highly competitive and dynamic, avoiding much of the corruption of developing nations' bureaucracies. Government leaders beginning to recognize that the informal sector should be encouraged rather than discouraged are making microloans and assisting communities with self-help projects. When people own their houses or businesses, they put more time, energy, and money into improving and upgrading them.

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William P. Cunningham

## Sharks

Sharks compose eight orders of cartilaginous fishes in the class Elasmobranchii. Sharks first appear in the fossil record of about 430 million years, during the Silurian Period. The Silurian is sometimes known as the "Age of Fishes," because this is when the first kinds of fish-like animals appeared and then rapidly radiated into a great diversity of forms. Today, there are about 400 living species of sharks, divided into eight orders and thirty families. New species continue



Great white shark (*Carcharodon carcharias*) underwater, Guadalupe Island, Mexico. (© Visual & Written / SuperStock)

to be discovered as marine biologists begin to explore the relatively unknown abyssal waters of the oceans.

The living orders of sharks are:

- Squatiniformes, including thirteen species of angelsharks, with a flat body, extremely wide and elongate pectoral fins, two dorsal fins, no anal fin, and a body length up to 8 feet (2.4 m); an example is the Pacific angelshark (*Squatina californica*).
- Pristiophoriformes, including five species of sawsharks, with a narrow cylindrical body, two dorsal fins, no anal fin, a long blade-like snout edged with needle-like teeth, and a body length up to 6 feet (1.8 m); an example is the longnose sawshark (*Pristiophorus cirratus*).
- Squaliformes, including more than 80 species of dogfish sharks, with a cylindrical body, two dorsal fins, no anal fin, a moderately long snout, and a body length up to 23 feet (7.0 m); examples are the Greenland shark (*Somniosus microcephalus*) and spiny dogfish (*Squalus acanthias*).

- Hexanchiformes, including five species of six-gill and seven-gill sharks, with a cylindrical body, one dorsal fin, an anal fin, and a body length up to 16.5 feet (5.0 m); an example is the six-gill shark (*Hexanchus griseus*).
- Carcharhiniformes, including almost 200 species of ground sharks, with a cylindrical body, two dorsal fins, an anal fin, a moderately long snout, a nictitating eye membrane, and a body length up to 25 feet (7.6 m); examples are the tiger shark (*Galeocerdo cuvieri*) and scalloped hammerhead (*Sphyrna lewini*).
- Lamniformes, including 16 species of mackerel sharks, with a cylindrical body, two dorsal fins, an anal fin, a moderately long snout, and a body length up to 45 feet (14 m); examples are the megamouth shark (*Megachasma pelagios*), pelagic thresher shark (*Alopias pelagicus*), and basking shark (*Cetorhinus maximus*).
- Orectolobiformes, including thirty-three species of carpet sharks, with a cylindrical body, two spineless dorsal fins, an anal fin, a short snout, and a body length up to 45 feet (14 m); examples are the nurse shark (*Ginglymostoma cirratum*), great white shark (*Carcharodon carcharias*), and whale shark (*Rhincodon typus*; the world's largest fish).
- Heterodontiformes, including eight species of bullhead sharks, with a cylindrical body, two spined dorsal fins, no anal fin, a short snout, teeth adapted for either gripping or crushing, and a body length up to 66 inches (1.7 m); an example is the horn shark (*Heterodontus francisci*).

Species of sharks vary greatly in body design. In general, however, they have a relatively streamlined body shape, which allows them to swim without using much energy. The conservation of energy is important to sharks because they do not appear to sleep and most species must swim continuously to maintain a flow of water over their gills, which is necessary for the exchange of respiratory oxygen and carbon dioxide. As sharks swim, water passes through their mouth, over the gills, and then exits the body through lateral gill slits located behind the head.

Sharks do not have true bones; rather, their skeleton is made up of a softer material known as cartilage. The center of their larger vertebrae, however, may contain a bone-like deposit of calcium phosphate. Sharks do have hard teeth, and to a lesser degree hard scales and spines, which preserve well in the fossil record and are the main indicators of their presence in ancient times. Sharks grow new teeth throughout their life, replacing the ones that break or wear down with use. Sharks also have rigid fins, and they do not have an air bladder. Sharks have extremely acute senses, including

those of vision, hearing (or vibration), smell, taste, and electro-perception.

Sharks appear to be highly resistant to infections, cancers, and circulatory diseases, and they can often recover from severe injuries. Sharks are slow-growing animals that can live for a long time, and most species appear to take decades to reach sexual maturity. Almost all species are ovoviviparous, meaning the eggs are retained inside the body of the mother until they hatch, and so the young are born “alive,” or as fully formed miniatures of the adult form. The fecundity of sharks is much less than that of most other fish, and ranges from as few as two to as many as about 135 pups per reproductive event.

All species of sharks are predators or scavengers of other sea creatures. However, the size range of the prey varies enormously among species of sharks. The largest species are the whale shark and the basking shark, which can attain a length of up to 45 feet (14 m) and may weigh as much as 1 ton (1 tonne). However, these enormous animals filter-feed on such relatively tiny prey as zooplankton, small fish, and fish eggs and larvae. The smallest species of shark is the spined pygmy shark (*Squaliolus laticaudus*), which only grows to a length of about 10 inches (25 cm). Some sharks are fierce predators of large fish, marine mammals, and other relatively big animals. Almost any shark longer than about 6 feet (2 m) is a potential danger to swimming or surfing humans. The species most often linked with attacks on people are the great white shark, the tiger shark, and the bull shark (*Carcharhinus leucas*). These are all widely distributed species that are adapted to feeding on seals or sea turtles, but may also opportunistically attack humans.

According to data compiled by the International Shark Attack File, in the year 2008 there were fifty-nine confirmed unprovoked shark attacks on humans world-wide. This included forty-two attacks in U.S. waters, mostly occurring off beaches in Florida. (This tally does not include “provoked” attacks, as might happen when a fisherman is attempting to remove an entangled shark from a net or hook, or scavenging damage done to an already-drowned human.). Only four of those unprovoked attacks, however, resulted in fatalities. This relatively low, 5 percent fatality rate is thought to occur because, from the perspective of the shark, an attack on a human is usually a “mistake” that happens when a swimmer or surfer is confused with a more usual prey animal, such as a seal. In such cases, the shark usually breaks off the attack before lethal damage occurs. The decade of the 1990s held the record for the

highest total number of shark attacks worldwide; however, it appears (as of 2010) that the record of the 1990s will be exceeded by the total number of attacks during the 2000s. This does not necessarily imply that sharks suddenly became more aggressive, but rather that the number of human-shark interactions increased due to the greater number of people going into the water.

Although there is always a risk of being attacked by a large shark while swimming or surfing in waters that they frequent, the actual probability of this happening is extremely small. In the United States, for example, many more human fatalities are caused by bee and wasp stings and by venomous snakebites. Even lightning strikes cause about thirty times as many deaths per year as do shark attacks. In fact, it is much more dangerous to drive to and from a beach in the United States, compared to the risk of a shark attack while swimming there.

Although it is a fact that very few humans are hurt by sharks each year, the reverse is definitely not true. Sharks are extremely vulnerable to fishing pressure because of their slow growth rate, length maturation period, and low birth rate. Consequently, commercial fishing practices are devastating populations of many species of sharks throughout the oceans of the world. In waters of the United States, for example, fishing mortality of sharks in recent years has been equivalent to about 18,000 tons (20,000 tonnes) per year. This is much more than the maximum sustainable yield calculated by fishery scientists, which is equivalent to about 9,000–11,000 tons (10,000–15,000 tonnes) per year. Because of this intense overfishing, there has been a catastrophic decline in the numbers of sharks in U.S. territorial waters, by as much as 80 percent since the 1970s and 1980s. A similar decrease is also occurring in many other regions of the world, and for the same reasons.

The excessive killing of sharks is occurring for several reasons. Some species of sharks, such as the spiny dogfish, are commercial species caught for their meat. Larger species of sharks may be caught in large numbers solely for their fins, which are cut off and sold as a delicacy in certain Asian markets, particularly in China. Shark “finning” is a widely illegal but common practice. It is also extremely wasteful as the de-finned sharks are thrown back into the sea, either as dead carcasses or as live fish doomed to starve to death because they are incapable of swimming properly. As many as 30–100 million sharks may be subjected to this finning practice each year. There are also economically

significant recreational fisheries for sharks, involving sportsmen catching them as trophies.

In addition to sharks being targets of commercial and recreational fisheries, immense numbers are also incidentally caught as so-called “bycatch” in fisheries targeted for other species of fish. This is especially the case in long-line fisheries for large tuna and swordfish. This open-ocean fishing method, mostly practiced by boats fishing for Japan, Korea, and Taiwan, involves setting tens of miles of line containing thousands of baited hooks. This is a highly non-selective practice that kills enormous numbers of species that are not the intended target of the fishery, including many sharks. Some fishery biologists believe that the bycatch mortality of sharks, about 12-million animals per year, may be at least half the size of the commercial fishery of these animals. This excessive bycatch of sharks, almost all of which is discarded at sea as “waste,” is further contributing to the devastation of their populations. The World Conservation Union (IUCN) lists numerous species of sharks as being at risk of endangerment or extinction.

In a few places, sharks are contributing to the local economy in ways that do not require the destruction of these marvelous animals. This is happening through ecotourism involving recreational diving with sharks in non-threatening situations. For instance, waters off Cocos Island, Costa Rica, sustain large numbers of whitetip reef sharks (*Triaenodon obesus*), hammerheads (*Sphyrna zygaena*), and whale sharks (*Rhincodon typus*), and this is a local attraction for scuba-diving tourists.

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Bill Freedman

Sheet erosion see **Erosion**.

## Shepard, Paul H.

1925–1996

American environmental theorist

A scientist, teacher, and environmental theorist, Shepard moved beyond the factual realm of biological science to expand upon the nature of human behavior and its roots in the natural world. He was a prolific writer who had a profound influence on a variety of contemporary thinkers.

In his introduction to *The Subversive Science: Essays Toward an Ecology of Man*, edited with Daniel McKinley, Shepard discussed the reluctance of modern (as opposed to primitive) people to view humanity as one element of the whole of creation. Instead, humanity is wrongly seen as the singular focus or the intended culmination of creation, thereby denying the role played by all other life forms in the evolution of humankind. Shepard suggested this need to dominate has led to physical and mental separation from the whole, resulting in innumerable problems for humankind, among them environmental degradation. He believed that people should simultaneously appreciate the integrity of every being as well as the relatedness of all things, acquiring a world view that is inclusive and holistic rather than exclusive and superficially hierarchical: “Without losing our sense of a great human destiny and without intellectual surrender, we must affirm that the world is a being, a part of our own body.”

Shepard continued to assess and emphasize the relationships between other living things and human development, particularly the role played by animals. In *The Others: How Animals Made Us Human*, he discusses the paradox of people who both love and kill or hunt animals, and contrasts them with those who would protect the “others” of the natural world from humans in the name of “animal rights.” “In the perspective of the enormous history of life and the role of animals in human evolution for a million years, I feel only disconnected by the precept of untouchability. Great naturalists and primal peoples were motivated not by the ideal of untouchability but by a cautious willingness to consume and be consumed, both literally and in a mythic sense.”

Shepard was born on July 12, 1925, in Kansas City, Missouri; his father was a horticulturist. During World War II he served in the U.S. Army from 1943–1946, in the European theater. He received his B.A. from the University of Missouri (1949) and his M.S. in conservation (1952). His Ph.D. from Yale (1954) was based on an interdisciplinary study of ecology, landscape

architecture, and art history. Shepard was a Fulbright senior research scholar in New Zealand in 1961, and later received both a Guggenheim fellowship to research the cultures of hunting-gathering peoples (1968–69) and a Rockefeller fellowship in the humanities (1979).

For twenty-one years Shepard taught concurrently at Pitzer College and Claremont Graduate School (both in Claremont, California), serving as the Avery Professor of Natural Philosophy and Human Ecology at Claremont from 1973 until his retirement in 1994. Earlier he had taught at other schools, including Knox College, Dartmouth College, and Smith College. He published numerous books, was a regular contributor to *Landscape* and *North American Review*, and also wrote for *BioScience*, *Perspectives in Biology and Medicine*, and *School Science and Mathematics*.

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Ellen Link

Shifting cultivation is most common in the tropics where farming techniques are less technologically advanced. The soils are usually low in plant nutrients. For two or three years following land clearing, the nutrients brought to, or near, the soil surface by deep rooting trees, shrubs, and other plants support cultivated crops. With native management, the available nutrients are removed by the cultivated crops or leached so that after a few years the soil will support only minimal plant growth. It is then allowed to return to native vegetation which slowly concentrates the nutrients in the surface soil again. After a period of years the cycle repeats. Erosion is often severe on sloping land.

*See also* Leaching; Soil compaction; Soil organic matter.

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William E. Larson

## Shifting cultivation

Shifting cultivation refers to a practice whereby a tract of land is alternately used for crop production and then allowed to return to native vegetation for a period of years. Typically, the land is cleared of vegetation, crops are grown for two or three years, and then the land abandoned for a period of ten or more years. To facilitate land clearing prior to cultivation, the vegetation is cut and the debris burned. The practice is also called slash-and-burn agriculture or swidden agriculture.

## Shoreline armoring

Shoreline armoring is the construction of barriers or structures for the purpose of preventing coastal erosion and/or manipulating ocean currents. Although they may prevent the deterioration of the immediate shoreline, these man-made structures almost always exacerbate erosion problems at nearby, downstream beaches by changing wave patterns and water flow.

Armoring may also promote the loss of shoreline vegetation, which in turn can degrade the ecosystem

that seabirds and other beach and sea-dwelling life depend upon. By altering water flow and erosion and deposition and creating physical barriers, some types of shoreline armoring prevent sea turtles from reaching their nesting sites on shore. Finally, shoreline structures can block sunlight imperative to aquatic plants such as eelgrass, a prime habitat for herring and other marine life.

Shoreline erosion is a natural process determined by a complex array of environmental causes. Weather changes, tidal currents, and sea level adjustments all impact erosion over time. Catastrophic weather events such as hurricanes, tsunamis, and tropical storms can completely change the shape and nature of the coast in one fell swoop. Beach location, currents, and other conditions may also create depositional shorelines, where sand and sediment collect rather than erode. Armoring is a human attempt to minimize the impact of these natural forces on an isolated section of coastal shoreline. Because the coastal ecosystem is extensive and interconnected, these attempts ultimately affect other nearby, unprotected locations.

Common types of shoreline armoring include:

- *Groins*. Long barriers that run perpendicular from the shoreline and are designed to trap sand and deposit it on the adjacent beach.
- *Jetties*. Similar to groins, jetties are rock structures that are built out from the shoreline. They are often erected for the purpose of keeping ship or boating channels clear of sediment build up by directing currents appropriately.
- *Seawalls*. These concrete or rock walls act as a barrier between beach and ocean; but increase neighboring beach erosion by deflecting normal wave patterns.
- *Bulkheads*. Like a seawall, a bulkhead is designed to separate the also promotes erosion further downstream.
- *Revetments*. A small seawall barrier, often constructed from rocks or boulders.
- *Breakwaters*. Breakwaters are offshore structures that absorb much of the force of waves before they reach the shoreline. They sometimes serve a dual purpose as navigational aids in boating channels.
- *Rip Rap*. Rip rap is a rock armoring sometimes used on river beds as well as coastal slopes to deter erosion.
- *Docks*. Although they are not built for the purpose of preventing coastal erosion, boat dock structures do impact marine life by blocking sunlight crucial for vegetation. Pilings, or posts, for docks can also disrupt sea-floor sediments. Depending on their size, structure, and location, they can also influence natural water flow patterns.

Alternatives to shoreline armoring include maintaining or planting native coastal shrubs, trees, and other plants that have an established root system to help prevent erosion. Beach renourishment, the process of replacing eroded beach with dredged offshore sand, is also used for erosion management. However, renourishment is cost-prohibitive in many cases, and may have a negative impact on marine flora and fauna. It also requires ongoing maintenance, as erosion is a perpetual process. Finally, the relocation of structures placed at risk by severe erosion, sometimes referred to as a retreat strategy, allows the natural processes of shoreline evolution to continue while preserving the public interest.

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Paula Anne Ford-Martin

## Sick Building Syndrome

Sick Building Syndrome (SBS) is a term applied to an indoor environment that causes its occupants to become ill. The syndrome is usually associated with indoor air pollutants, although it has often been difficult to associate the symptoms with specific pollutants. Other issues such as poor building management and work-place stress, may also be factors. Indoor air quality (IAQ) health problems fall into three categories: SBS, building-related illnesses (BRI), and multiple chemical sensitivity. Of the three, SBS accounts for 75 percent of all IAQ complaints.

Indoor air is a health hazard in 30 percent of all buildings, according to the World Health Organization (WHO). The Environmental Protection Agency (EPA) lists IAQ fourth among top environmental health threats. The problem of SBS is of increasing concern to employees and occupational health specialists, as well as landlords and corporations who fear the financial consequences of illnesses among tenants and employees. Respiratory diseases attributed to SBS account for about 150 million lost work days each year, \$59 billion in indirect costs, and \$15 billion in medical costs.

Sick building syndrome was first recognized in the 1970s around the time of the energy crisis and the move

toward conservation. Because heating and air conditioning systems accounted for a major portion of energy consumption in the United States, buildings were sealed for energy efficiency. In these buildings occupants depend on mechanical systems rather than open windows for outside air and ventilation. There are three methods in which outside air can enter a building including infiltration, natural ventilation, and mechanical ventilation. Infiltration occurs when outside air enters a building through cracks around windows, floors, doors, and walls. Natural ventilation occurs through open doors or windows. For mechanical ventilation, outdoor-vented fans or heating, venting, and air conditioning systems (HVAC) bring outside air in and move inside air out. A building that is well-insulated and sealed for energy efficiency, referred to as a tight building, can seal in and create contaminants. Common complaints of SBS include headaches, fatigue, cough, sneezing, nausea, difficulty concentrating, bleary eyes, and nose and throat irritations. Symptoms are caused by a range of contaminants, including volatile organic compounds (VOC), which are chemicals that turn to gas at room temperature and are given off by paints, adhesives, caulking, vinyl, telephone cable, printed documents, furniture, and solvents. Most common VOCs are benzene (C<sub>6</sub>H<sub>6</sub>) and chloroform (CHCl<sub>3</sub>), both of which have been shown to be carcinogenic. Formaldehyde (CH<sub>2</sub>O) in building materials is also an indoor irritant.

Biological agents such as viruses, bacteria, fungal spores, algae, pollen, mold, and dust mites add to the problems. These are produced by water-damaged carpet and furnishing or standing water in ventilation systems, humidifiers, and flush toilets.

Carbon dioxide (CO<sub>2</sub>) levels increase as the number of people in a room increases, and too much can cause occupants to suffer hyperventilation, headaches, dizziness, shortness of breath, and drowsiness, as does carbon monoxide (CO) and the other toxins from cigarette smoke.

Schoolchildren are considered more vulnerable to SBS because schools typically have more people per room breathing the same stale air. Their size, childhood allergies, and asthmas add to their vulnerability.

Sick buildings can be treated by updating and cleaning ventilation systems regularly and using air cleaners and filtration devices. Also, plants spaced every 100 square feet<sup>2</sup> (9.3 square meters) in offices, homes, and schools have been shown to filter out pollutants in recycled air.

A simple survey of the indoor environment can detect many SBS problems. Each room should have an air source; if windows cannot be opened, every room

should have a supply vent and exhaust vent. The vents should be cleaned regularly. A tissue can be placed at each vent opening to check that air is circulating through the system. The tissue should blow out at a supply vent and blow in at an exhaust vent. Vents should not be blocked by partitions, file cabinets, or boxes. Supply and exhaust vents should be more than a few feet apart. Dead spaces where air stagnates and pollutants build up should be renovated. Printing and copying machines should be moved away from people and should be given adequate exhaust. The ventilation system should be checked every season for verification of full operation.

The EPA enforces laws on outdoor air pollution, but not for indoor air except for some smoking bans. Yet almost every pollutant, according to the EPA, is at higher levels indoors than out. Help in detecting and correcting SBS is available from the National Institute of Occupational Safety and Health (NIOSH), the federal agency responsible for conducting research and making recommendations for safe and healthy work standards. The EPA and the NIOSH have developed a Building Air Quality Action plan with guidelines for improving and maintaining IAQ in public and commercial buildings.

*See also* Occupational Safety and Health Act (1970); Occupational Safety and Health Administration.

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Linda Rehkopf

## Sierra Club

The Sierra Club is one of the nation’s foremost conservation organizations and has worked for over 100 years to preserve “the wild places of the earth.” Founded in 1892 by author and wilderness explorer John Muir, who helped lead the fight to establish Yosemite National Park, the group’s first goal was to preserve the Sierra Nevada mountain chain. Since then, the club has worked to protect dozens of other national treasures.

The preserve of Mount Rainier was one of the Sierra Club’s earliest achievements, and in 1899 Congress made that area into a national park. The group also helped to establish Glacier National Park in 1910. The Sierra Club supported the creation of the National Park Service in 1916, and in 1919 began a campaign to halt the indiscriminate cutting of redwood trees.

The club has helped secure many conservation victories. They worked to create such national parks as Kings Canyon, Olympic, and Redwood, national seashores such as Point Reyes in California and Padre Island in Texas, as well as the Jackson Hole National Monument. The club also campaigned to expand Sequoia and Grand Teton national parks. In the 1960s, the Sierra Club helped to secure such legislative victories as the Wilderness Act in 1964, the establishment of the National Wilderness Preservation System, and the expansion of the Land and Water Conservation Fund in 1968.

By 1970, the Sierra Club had 100,000 members, with chapters in every state, and the group took advantage of growing public support for the environment to accelerate progress towards conserving America’s natural heritage. The National Environmental Policy Act was passed by Congress that year, and the Environmental Protection Agency (EPA) was created. Later, the club helped defeat a proposal to build a fleet of polluting Supersonic Transports, and they organized the Sierra Club Legal Defense Fund. In 1976, the club’s lobbying efforts sped passage of the Bureau of

Land Management (BLM) Organic Act, which increased governmental protection for an additional 459 million acres (185 ha).

One of the most important victories for the Sierra Club came in 1980, when a year-long campaign culminated in passage of the Alaska National Interest Conservation Act, establishing 103 million acres (41.6 million ha) as either national parks, monuments, refuges, or wilderness areas. Superfund legislation was also enacted to clean up the nation’s abandoned toxic waste sites.

The decade of the 1980s, however, was a difficult one for conservationists. With James Watt as Secretary of Interior under President Ronald Reagan, and Ann Gorsuch Burford as EPA administrator, the Sierra Club was placed in a defensive position. The group focused mainly on preventing environmentally destructive projects and legislation—for example, blocking the MX missile complex in the Great Basin (1981), preventing weakening of the Clean Air Act, and stopping BLM from dropping 1.5 million acres (607,030 ha) from its wilderness inventory in 1983. Despite government interference, pressure from the public and from Congress helped the club continue its record of positive accomplishments.

In 1990, after years of grassroots lobbying, a compromise Clean Air Act was reauthorized, strengthening safeguards against acid rain and air pollution. Projects in the first decade of the twenty-first century included protecting the remaining ancient forests of the Pacific Northwest; preventing oil and gas drilling in the 1.5-million-acre (607,030-ha) Arctic National Wildlife Refuge in Alaska; securing wilderness and park areas in California, Colorado, Idaho, Montana, Nebraska, North Carolina, South Dakota, New Mexico, and Utah; and campaigning for energy conservation and reducing carbon emissions to combat global warming.

Sierra Club had grown to 1.3 members by 2010. It is now considered one of the “big ten” American conservation organizations. An extensive professional staff is required to operate this complex organization, and members tend to have little influence over club policy at the national level. Some radical activists have criticized mainline organizations of this kind for being too conservative, too comfortable in their relationship to established powers, and too willing to compromise basic principles in order to maintain power and prestige. Supporters of the club argue that a spectrum of environmental organizations is desirable and that different organizations can play useful roles.

## Resources

### ORGANIZATIONS

Sierra Club, 85 Second St., Second Floor, San Francisco, CA, USA, 94105-3441, (415) 977-5500, (415) 977-5799, [information@sierraclub.org](mailto:information@sierraclub.org), <http://www.sierraclub.org>

Lewis G. Regenstein

Silent Spring see **Carson, Rachel.**

## Silt

A soil separate consisting of particles of a certain equivalent diameter. The most commonly used size for silt is from 0.05 to 0.002 mm equivalent diameter. This is the size used by the Soil Science Society of America and the U.S. Department of Agriculture, but others recognize slightly different equivalent diameters. As compared to clay (less than 0.002 mm), the silt fraction is less reactive and has a low cation exchange capacity. Because of its size, which is intermediate between clay and sand, silt contributes to formation of desirable pore sizes, and the weathering of silt minerals provides available plant nutrients. Wind-blown silt deposits are referred to as “loess.”

*See also* Soil conservation; Soil consistency; Soil profile.

## Siltation

The process or action of depositing sediment. Sediment is composed of solid material—mineral or organic—and can be of any texture. The material has been moved from its site of origin by the forces of air, water, gravity, or ice and has come to rest on the earth’s surface. The term siltation does not imply the deposition of silt separates, although the sediment deposited from erosion of agricultural land is often high in silt because of the sorting action into soil separates during the erosion process.

## Silver Bay

Silver Bay, on the Minnesota shore of Lake Superior, became the center of pollution control lawsuits in

the 1970s when cancer-causing asbestos-type fibers, released into the lake by a Silver Bay factory, turned up in the drinking water of numerous Lake Superior cities. While pollution lawsuits have become common, Silver Bay was a landmark case in which a polluter was held liable for probable, but not proven, environmental health risks. Asbestos, a fibrous silicate mineral that occurs naturally in rock formations across the United States and Canada, entered Lake Superior in the waste material produced by Silver Bay’s Reserve Mining Corporation. This company processed taconite, a low-grade form of iron ore, for shipment across the Great Lakes to steel-producing regions. Fibrous asbestos crystals removed from the purified ore composed a portion of the plant’s waste tailings. These tailings were disposed of in the lake, an inexpensive and expedient disposal method. For almost twenty-five years the processing plant discharged wastes at a rate of 67,000 tons per day into the lake.

Generally clean, Lake Superior provides drinking water to most of its shoreline communities. However, water samples from Duluth, Minnesota, 50 mi (80.5 km) southwest of Silver Bay, showed trace amounts of asbestos-like fibers as early as 1939. While the term “asbestos” properly signifies a specific long, thin crystal shape that appears in many mineral types, both the long fibers and shorter ones, known as “asbestos-like” or “asbestiform,” have been linked to cancer in humans.

Early incidences of asbestos-like fibers in drinking water probably resulted from nearby mining activities, but fiber concentrations suddenly increased in the late 1950s when Reserve Mining began its tailing discharge into the lake. By 1965 asbestiform fiber concentrations had climbed significantly, and municipal water samples in the 1970s were showing twice the acceptable levels defined by the federal Occupational Safety and Health Administration (OSHA). Lawsuits filed against Reserve Mining charged that the company’s activity endangered the lives of the region’s residents.

Although industrial discharge often endangers communities, the Silver Bay case was a pivotal one because it was an early test of scientific uncertainty in cases of legal responsibility. Cancer that results from exposure to asbestos fibers appears decades after exposure, and in a large population an individual’s probability of death may be relatively small. Asbestos concentrations in Lake Superior water also varied, depending largely upon weather patterns and city filtration systems. Finally, it was not entirely proven that the particular type of fibers released by Reserve Mining were as carcinogenic as similar fibers found elsewhere. In such circumstances it is difficult to place clear blame on the agency producing the pollutants. The 200,000

people living along the lake's western arm were clearly at some risk, but the question of how much risk must be proven to close company operations was difficult to answer. Furthermore, the Reserve plant employed nearly all the breadwinners from nearby towns. Plant closure essentially spelled death for Silver Bay.

In 1980, a federal judge ordered the plant closed until an on-land disposal site could be built. Reserve Mining did construct on-shore tailings ponds, which served the company for several years until economic losses finally closed the plant in the late 1980s.

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Mary Ann Cunningham

## Singer, Peter A.

1946–

Australian philosopher and animal rights activist

Philosopher and leading advocate of the animal liberation movement, Singer was born in Melbourne, Australia. While teaching at Oxford University in England, Singer encountered a group of people who were vegetarians not because of any personal distaste for meat, but because they felt, as Singer later wrote, that "there was no way in which [maltreatment of animals by humans] could be justified ethically." Impressed by their argument, Singer soon joined their ranks. Out of his growing concern for the rights of animals came the book *Animal Liberation*, a study of the suffering we inflict upon animals in the name of scientific experimentation and food production. *Animal Liberation* caused a sensation when it was published in 1972 and soon became a major manifesto of

the growing animal liberation movement in North America, Australia, England, and elsewhere.

As a utilitarian, Singer—like his nineteenth-century forebear and founder of utilitarianism, the English philosopher Jeremy Bentham—believes that morality requires that the total amount of happiness be maximized and pain minimized. Or, as the point is sometimes put, we are morally obligated to perform actions and promote policies and practices that produce "the greatest happiness of the greatest number." But, Singer says, the creatures to be counted within this number should include all sentient creatures, animals as well as humans.

To promote only the happiness of humans and to disregard the pains of animals Singer calls speciesism—the view that one species, *Homo sapiens*, is privileged above all others. Singer likens speciesism to sexism and racism. The idea that one sex or race is innately superior to another has been discredited. The next step, Singer believes, is to recognize that all sentient creatures—human and nonhuman alike—deserve moral recognition and respect. Just as we do not eat the flesh or use the skin of our fellow humans, so, Singer argues, we should not eat meat or wear fur from animals. Nor is it morally permissible for humans to kill animals, to confine them, or to subject them to lethal laboratory experiments.

Although Singer's conclusions are congruent with those of Tom Regan and other defenders of animal rights, the route by which he reaches them is quite different. As a utilitarian, Singer emphasizes sentience, or the ability to experience pleasure and pain. Regan, by contrast, emphasizes the intrinsic value or inherent moral worth of all living creatures. Despite their differences, both have come under attack from the fur industry, defenders of "factory farming," and advocates of animal experimentation. Singer remains a key figure at the center of this continuing storm.

As of 2010, Singer is the Ira DeCamp Professor of Bioethics at Princeton and a faculty member of the Centre for Applied Philosophy and Public Ethics at the University of Melbourne. He continues to be a prolific writer. Since 2000, he has written and edited seventeen books.

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Terence Ball

## Sinkholes

Sinkholes are one of the main landforms in karst topography, so named for the region in Yugoslavia where solution features such as caves, caverns, disappearing streams and hummocky terrain predominate. Karst features occur primarily in limestone but may also occur in dolomite, chert, or even gypsum (Alabaster Caverns in Oklahoma).

As the name implies, sinkholes are depressions formed by solution enlargement or the subsidence of a cavern roof. Subsidence may occur slowly, as the cavern roof is gradually weakened by solution, or rapidly as the roof collapses. Several of the latter occurrences have gained widespread coverage because of the size and amount of property damage involved.

An often-described sinkhole formed during May 1981 in Winter Park, Florida, swallowing a three-bedroom house, half a swimming pool, and six Porsches in a dealer's lot. The massive "December Giant" occurred near Montevallo, Alabama, and measured 400 feet (122 m) wide by 50 feet (15 m) deep. A nearby resident reported hearing a roaring noise and breaking timbers, as well as feeling earth tremors under his house.

*Cenotes* is the Spanish name for sinkholes. One sacred cenote at the Mayan city of Chichen Itza in Yucatan, Mexico, was known as "the Well of Sacrifice." Archaeologists postulate that, to appease the gods during a drought, human sacrifices were cast into the water 80 feet (24 m) below, followed by a showering of precious possessions from onlookers. Since most of the gold and silver objects from the New World were melted down, these sacrifices are now highly prized artifacts from pre-Columbian civilizations.

Although occurring naturally, sinkhole formation can be intensified by human activity. These sinkholes offer an easy pathway for injection of contaminated runoff and sewage from septic systems into the groundwater. Because karst landscapes have extensive underground channels, the polluted water often travels considerable distances with little filtration or

chemical modification from the relatively inert limestone. Therefore, the most serious hazard posed by sinkholes is the access they provide for turbid, polluted surface waters. This allows bacteria to thrive, so testing of spring water that emerges within or below karst regions is vital.

Sinkholes also pose special problems for construction of highways, reservoirs, and other massive objects. Fluctuating water levels weaken the overlying rock when the water table is high, but remove support when water levels are low. Thornbury (1954) described the problems resulting from efforts by Bloomington, Indiana, to build a water supply reservoir on top of karst topography. Much valuable water escaped through channels in the limestone beneath the dam. This structure eventually was abandoned and a new reservoir constructed in a region composed of relatively impervious siltstone below the limestone.

Anthropogenic (human-caused) sinkholes form as a result of mine subsidence, often catastrophically. Collapsing mine tunnels within the 1,000-mile (1,600-km) labyrinth in the historic Tri-State Mines of Kansas, Missouri, and Oklahoma have created scenarios very similar to the Winter Park, Florida, example. Subsidence of the strata overlying underground coal mines is another rich source of these anthropogenic sinkholes.

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Nathan H. Meleen

## Site index

A means of evaluating a forest's potential to produce trees for timber. Site refers to a defined area, including all of its environmental features, that supports or is capable of supporting a stand of trees. Site index is an indicator used to predict timber yield based on the height of dominant and co-dominant trees in a stand at a given index age, usually fifty or 100 years. Normally, site index curves are prepared by graphically plotting projected tree height as a function of age.

*See also* Forest management.

Site remediation see **Hazardous waste site remediation.**

## Skidding

An extraction technique in forest harvesting that relies on dragging logs or trees from the cutting to collection areas. To avoid skidding operations, trees may be transported by helicopter lift to sites where they are loaded for transport to a mill. Trees and logs may be dragged by mechanical means, such as a crawler tractor or rubber-tired skidder, or by draft animals. Skidding normally disturbs the ground surface and forms "skid trails" which may be used once or reused in subsequent harvests. Soil in skid trails, especially on steep land, may channel water flow during rainfall and snow melt and be susceptible to erosion.

SLAP suits see **Strategic Lawsuits Against Public Participation.**

## Slash

The waste material, consisting of limbs, branches, twigs, leaves, or needles, left after forest harvesting. Logging slash left on the ground can protect the soil from raindrop impacts and erosion, and it can decompose to make humus and recycle nutrients. Unusually large amounts of slash, which may present a fire hazard or provide favorable habitat for harmful insects or disease organisms, are often reduced by burning or mechanical chopping.

## Slash and burn agriculture

Also known as swidden cultivation or shifting cultivation, slash-and-burn agriculture is a primitive agricultural system in which sections of forest are repeatedly cleared, cultivated, and allowed to regenerate over a period of many years. This kind of cultivation was used in Europe during the Neolithic period, and it is still widely used by indigenous peoples and landless peasants in the tropical rainforests of South America.

The plots used in slash-and-burn agriculture are small, typically 1–1.5 acres (0.4–0.6 hectare). This land is also polycultural and polyvarietal, as opposed to monoculture; farmers plant more than one crop on them at a time, and each of these crops may be grown in several varieties. This method of agriculture helps control populations of agricultural pests and is employed in sustainable agriculture or organic farming to reduce pesticide input. The cutting and burning involved in clearing the site releases nutrients that the cultivated crops can utilize, and the fallow period, which usually lasts at least as long as fifteen years, allows these nutrients to accumulate again. In addition to restoring fertility, re-growth protects the soil from erosion.

Families and other small groups practicing slash-and-burn agriculture generally clear one or two new plots a year, working a number of areas at various stages of cultivation at a time. These plots can be close to each other, even interconnected, or spread out at a distance through the forest, designed to take advantage of particularly fertile soils or to meet different needs of the group. As the nutrients are exhausted and productivity declines, the areas cleared for slash-



Burning rain forest in Southeast Asia. (© *blickwinkel / Alamy*)

and-burn agriculture are rarely simply abandoned; the fallow period begins gradually, and species such as fruit trees are still cultivated as the forest begins to reclaim the open spaces. The forest may contain originally cultivated species that still yield a harvest many years after the plot has been overgrown.

Although this system of agriculture was practiced for thousands of years with relatively modest effects on the environment, the pressures of a rapidly growing population in South America have made it considerably less benign. Population growth has greatly increased the number of peasants who do not own their own land; they have been forced to migrate into the rainforests, where they subsist practicing slash-and-burn agriculture. In Brazil, the number of farmers employing this system of agriculture has increased by more than 15 percent a year since 1975. A recently released report by the United Nations Population Fund has emphasized the destruction this system can cause when practiced on such a large scale and identified it as a threat to species diversity. Because rainforests contain a large amount of the world's biodiversity (an estimated one half to two-thirds of the world's species), the destruction of large amounts of the rainforest area is displacing or removing many species, many of which have probably not been discovered yet. Many useful products originate from rainforest species including 25 to 40 percent of prescription drugs, and slash-and-burn agriculture may be destroying species of potential use for medicine.

In addition to the effects of this method of agriculture on biodiversity, slash-and-burn practices result in an increase of the amount of greenhouse gases in the atmosphere, thus leading to climate change. Slash-and-burn agriculture contributes an estimated 23 to 43 percent of global levels of carbon dioxide (CO<sub>2</sub>), a major atmospheric pollutant, to the atmosphere. Although these deforested areas are replanted, the harvest and burning of existing flora removes a major source of carbon sequestration and releases the pent-up carbon to the atmosphere during combustion. While the land is fallow, significant land erosion can occur.

*See also* Agricultural revolution; Agroecology.

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Douglas Smith

## Sludge

A suspension of solids in liquid, usually in the form of a liquid or a slurry. It is the residue that results from wastewater treatment operations, and typical concentrations range from 0.25 to 12 percent solids by weight. An estimated 8.5 million dry tons of municipal sludge is produced in the United States each year.

The volume of sludge produced is small compared with the volume of wastewater treated. The cost of sludge treatment, however, is estimated to be from 25 to 40 percent of the total cost of operating a wastewater-treatment plant. In the design of sludge treatment and disposal facilities, the term sludge refers to primary, biological, and chemical sludges, and excludes grit and screenings. Primary sludge results from primary sedimentation, while the sources of biological and chemical sludges are secondary biological and chemical settling and the processes used for thickening, digesting, conditioning, and dewatering the sludge from the primary and secondary settling operations.

Primary sludge is usually gray to dark gray in color and has a strong offensive odor. Fresh biological sludge—activated sludge, for example—is brownish and has a musty or earthy odor. Chemical sludge can vary in color depending on composition and may have objectionable odor. There are several sludge treatment options available. These operations and processes are principally intended for size reduction, grit removal, moisture removal, and stabilization of the organic matter in the sludge. Examples of these sludge processing methods are sludge grinding and cyclone degritter for the preliminary operations, chemical conditioning and heat treatment, centrifugation and vacuum filtration for dewatering operations, aerobic or anaerobic digestion, composting, and incineration for sludge stabilization.

Other than its organic content, sewage sludge is known to contain some essential soil nutrients, such as phosphorus and nitrogen, that make it suitable for use as fertilizer or soil conditioner after further processing. Sludge may also be disposed of in landfills. Landfilling

and incineration, however, are strictly disposal methods and, unlike land application, do not recycle sludge. Raw sludge contains potentially harmful pathogens, heavy metals and toxic organics; it is regulated by the Environmental Protection Agency (EPA).

Sludge is sometimes held in earthen ponds or reservoirs until it is processed further. A major spill of industrial sludge occurred on October 4, 2010 in western Hungary when a reservoir holding the sludge was breached. Known as the Ajka alumina sludge spill for the company that produced the sludge, the spill consisted of around 35 million cubic feet (one million cubic meters) of highly-caustic liquid sludge derived from the processing of bauxite ore for aluminum production. The flood of sludge inundated some 15 square miles (40 sq km) of land, including inhabited areas. The high pH of the sludge caused severe burns to both people and animals that came into contact with it. The human toll shortly after the disaster included nine dead and over 120 injured; many animals, including livestock and pets, were killed or injured as well. The sludge possessed a characteristic red color due to its high iron oxide content. It also contained aluminum oxide, as well as various heavy metals; fortunately, the concentrations of heavy metals in the sludge were relatively low, and were considered not to pose significant risk to humans.

*See also* Aerobic sludge digestion; Contaminated soil; Industrial waste treatment; Sewage treatment; Waste management.

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James W. Patterson

Sludge digestion see **Aerobic sludge digestion.**

## Sludge treatment and disposal

The proper treatment and disposal of sludge require knowledge of the origin of the solids to be handled, as well as their characteristics and quantities. The type of treatment employed and the method of operation determine the origin of sludge. In sewage treatment plants, sludge is produced by primary settling, which is used to remove readily settleable solids from raw wastewater. Biological sludges are produced by treatment processes such as activated sludge, trickling filter, and rotating biological contractors. Chemical sludges result from the use of chemicals to remove constituents through precipitation; examples of precipitates that are produced by this process include phosphate precipitates, carbonate precipitates, hydroxide precipitates, and polymer solids.

Sludge is characterized by the presence or absence of organic matter, nutrients, pathogens, metals and toxic organics. These characteristics are an important consideration for determining both the type of treatment to be used and the method of disposal after processing. According to the U.S. Environmental Protection Agency (EPA), the typical chemical compositions of untreated and digested primary sludge include solids, grease and fats, protein, nitrogen, phosphorus, potash, iron, silica, and pH.

Municipal sludge is a high-volume waste. Typical volumes for raw primary sludge range from 2,950 to 3,530 gallons of sludge per million gallons of wastewater treated. Volumes for trickling filter humus range from 530 to 750 gallons per million, while the volumes for activated sludge are much higher, from 14,600 to 19,400 gallons per million. It is possible to calculate the quantities of sludge theoretically, but the EPA recommends that treatment operations use pilot plant equipment to make these calculations whenever possible. In developing a treatment and disposal system, the EPA also recommends that large wastewater treatment plants adopt a methodical approach "to prevent cursory dismissal of options." For small treatment plants, with a capacity of less than a million gallons a day, the task of determining an operating procedure is often shorter and less complex.

Sludge treatment and disposal generally include several unit processes and operations, which fall under the following classifications: thickening, stabilization, disinfection, conditioning, dewatering, drying, thermal reduction, miscellaneous processes, ultimate disposal, or reuse.

Thickening is a volume-reducing process in which the sludge solids are concentrated to increase the efficiency of further treatment. Sludge with 0.8 percent solids thickened to a content of 4 percent solids yields a five-fold decrease in sludge volume. Thickening methods commonly employed are gravity thickening, flotation thickening, and centrifuge.

Sludges are stabilized to eliminate offensive odors and reduce toxicity. A stable sludge has been defined as “one that can be disposed of without damage to the environment, and without creating nuisance conditions.” In sludges, toxicity is characterized by high concentrations of metals and toxic organics, as well as by high oxygen demand, abnormally high or low pH levels, and unsafe levels of pathogenic microorganisms. There are a variety of technologies available for stabilizing toxic sludge; these include lime stabilization, heat treatment, and biological stabilization, which consists of aerobic or anaerobic digestion and composting.

Sludge that has been stabilized may also be disinfected in order to further reduce the level of pathogens. There are several methods of sludge disinfection: thermal treatment such as pasteurization, chemical treatment, and irradiation. This process is important for the reuse and application of sludge on land.

Dewatering is used to achieve further reductions in moisture content. It is a process designed to reduce moisture to the point where the sludge behaves like a solid; at the end of this process, the concentration of solids in sludge is often greater than 15 percent. Dewatering can include a number of unit operations: Sludge can be dried in drying beds or lagoons, filtered through a vacuum filter, a filter press, or a strainer, and separated in machines such as a solid-bowl centrifuge. It can be determined whether a sludge will settle in a centrifuge by testing it in a test-tube centrifuge, where the concentration of cake solids are determined as a function of centrifugal acceleration. The Capillary Suction Time (CST) and the specific resistance to filtration are important parameters for the filterability of sludge.

Sludge is conditioned to prepare it for other treatment processes; the purpose of sludge conditioning is to improve the effectiveness of dewater and thickening. The methods most commonly employed are the addition of organic materials such as polymers, or the addition of inorganic materials such as aluminum compounds. Heat treatment is also used; in this treatment, temperatures range from 356–392°Fahrenheit (180–200°C) for a period of 20–30 minutes.

After thickening and dewatering, further reduction of moisture is necessary if the sludge is going to be incinerated, or processed for starved air combustion, co-disposal, and wet oxidation. These processes have a number of advantages. They can achieve maximum volume reduction; they can destroy toxic organic compounds, and they also produce heat energy which can be utilized. But sludge combustion cannot be considered an ultimate or long-term disposal option because of the residuals it produces, such as ash and air emissions, which may have detrimental effects on the environment. Landfills are another disposal option, but limitations on space as well as regulatory constraints restrict their long-term feasibility. Reuse is probably the best solution for the long-term management of sludge; the most feasible beneficial use option will probably be either land application, land reclamation, or raw material recovery. Examples include the conversion of sludge into commercial fertilizer, fuel, and building products.

Whatever the selected array of sludge treatment and disposal measures chosen, the main factors that influence the choice will always be cost-effectiveness, public health, and environmental protection.

*See also* Activated sludge; Municipal solid waste composting; Solid waste; Waste management.

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James W. Patterson

## Slurry

A thin mixture of water and fine, insoluble materials suitable for pumping as a liquid. Pipelines are the cheapest and most efficient means of moving materials long distances. In Arizona, the Black Mesa slurry pipeline carries eight tons of powdered coal per minute to the electrical power plant near Page on Powell Reservoir. A much longer pipeline has been proposed for shipping subbituminous coal in Wyoming to Midwestern cities. Water supply is a crucial factor in these arid regions lying in the Rocky Mountain rain shadow zone.

## Small quantity generator

Small quantity generators (SQGs) are facilities that do not generate more than 2,205 pounds (1,000 kg) of regulated hazardous waste or more than 2.2 pounds (1 kg) of acute hazardous waste in a calendar month, or do not store more than 13,228 pounds (6,000 kg) of hazardous waste in any 180-day period. If the facility has to transport the waste for more than 200 miles (322 km) to dispose of it, then the period that waste can be stored may be increased to 270 days, without the operator of the site losing the small quantity generator designation. The Environmental Protection Agency (EPA) requires that the site maintain at least one emergency responder at the site at all times. If a site generates 220 pounds (100 kg) or less of hazardous waste in a calendar year, then the site is designated as a conditionally exempt small quantity generator or (CESQG).

Marie H. Bundy

## Smart growth

Smart growth is a relatively new movement in the United States, at least by name, a movement promoted since the early 1990s as a new way to direct growth and development, especially in urban areas, away from sprawl and toward urban centers of various kinds. There seems to be no universally accepted definition of smart growth, and that alone indicates problems in agreement about what it is, what its goals are, the nature and extent of its benefits and impacts, and to what degree it can be implemented.

Disagreements seem to be more at the level of specific issues rather than broad goals, however, and a fairly simple if still broad and somewhat vague definition can be readily distilled from a rapidly increasing literature. A composite definition suggests that smart growth is growth management rather than “control of growth,” and probably no one would disagree with that; it is inward development rather than outward development, that is, it is intended to limit sprawl and to fill in or reclaim already developed areas rather than expand into new ones; and it is high density rather than low density. According to the Environmental Protection Agency (EPA), “smart growth is development that serves the economy, community, and the environment.”

Its advocates see it as a replacement for the “failed” environmental movement of the 1960’s, but others describe it as an attempt to gloss over growth, to hide continued dominance by the marketplace in uncoordinated decisions on human settlement patterns, or to avoid facing the economic and political difficulties of the often better environmental alternative of no growth. Still others claim that too many smart growth advocates are just the opposite, ignorant of and naive about those same market factors. Though backed by individuals and groups that represent all spectrums of political thought, smart growth is criticized by skeptics from an equally broad range of viewpoints. Smart growth as concept, as philosophy, and as policy, smart growth when implemented, rejected or delayed, all remain controversial. The advocates of smart growth do seem to agree on ten principles, listed here essentially as they are stated in a 2002 EPA document titled *Getting to Smart Growth*. The benefits foreseen by its proponents are evident in the principles as stated. (1) Mix land uses rather than the separation mandated by zoning codes in many communities in the United States. Mix commercial with residential, high density with low, pedestrian and bike access with other means of transport. (2) Take advantage of compact building design to create smaller footprints, to increase density, and to reduce the amount of space required to accommodate increases in population. (3) Create a range of housing opportunities and choices to increase neighborhood diversity in multiple ways and better accommodate the housing needs of a diverse population. (4) Create walkable neighborhoods by making them pedestrian-friendly and scaled to people on foot rather than to people in cars. (5) Foster distinctive, attractive communities with a strong sense of place by introducing more distinctiveness and uniqueness to residential and retail areas, for example, through less reliance on large malls that are indistinguishable from one city to the next in every region of the country. Create structures that reflect local and regional differences in climate,

vegetation, and landforms. (6) Preserve open space, farmland, natural beauty, and critical environmental areas to enhance quality of life and increase local recreational opportunities. (7) Strengthen and direct development towards existing communities through less reliance on new, low-density dispersed developments outside urban cores and close-in suburbs. (8) Provide a variety of transportation choices, especially by creating transit and non-motorized alternatives to the automobile, in the process reducing traffic congestion. (9) Make development decisions predictable, fair, and cost effective. (10) Encourage community and stakeholder collaboration in development decisions.

A problem pointed out over and over again by critics of smart growth is that the reclamation and filling in of already developed areas increases their attractiveness, raises their value and the cost of living there, i.e., the areas are gentrified and the people who did live in the reclaimed areas are displaced. Minorities and the poor are squeezed out, and enduring difficulties finding new affordable housing. Much of the debate over smart growth centers on whether or not it is the cause of increases in the price of housing. Critics say yes, land prices do increase, affordable housing is torn down and replaced by more expensive dwellings.

Proponents argue that growth management is not the cause of declines in the availability of affordable houses, but that increases are the result of conventional marketing dynamics and growth in population, employment, and income, especially during the boom of the 1990s. They further argue that smart growth initiatives are much less expensive, for individuals and families as well as for local governments, because they decrease investments in infrastructure, especially that related to automobile use, and that such savings can then be passed on to decrease housing costs.

Among the problems of implementing smart growth is the tight hold many Americans have on the American Dream: detached houses that “return people to nature” are not located in the central parts of cities and certainly not in brownfields. And critics are quick to see the irony in the coincidence of interest in a smart growth movement with reports in the daily papers like the following two illustrations: first, increases in “Supersize Suburbs,” “big-house communities” in which smaller families live in hypertrophy homes: “in the country’s long pursuit of ever more elbow room, the American house has been swelling for decades.” In some suburban counties, “more than two-thirds of the houses have nine rooms or more.” The mega-mansions are literally built on green “fields” because many owners don’t want trees and vegetation blocking the “full effect” the houses have on passers-by. Surveys show that many of these monster homes are occupied by an average of slightly more than three

people. In early 2010, as a result of the global recession and housing market crisis, the average size of a new home built in the United States declined for the first time since World War II.

One especially severe criticism is that nowhere in the ten principles is there any real attempt to actually limit growth, or even delay it. Smart growth thus accommodates growth rather than addressing it as a possible problem. Critics point out that the capability of local or regional environmental systems—notably water supplies—to support growth is not mentioned in any of the principles, or anywhere in the smart growth philosophy. Growth is still growth and calling it “smart” does not help answer the question of ultimate limits, of how to plan for no growth. Even its critics admit, however, that smart growth creates better management and planning alternatives than totally unregulated sprawl.

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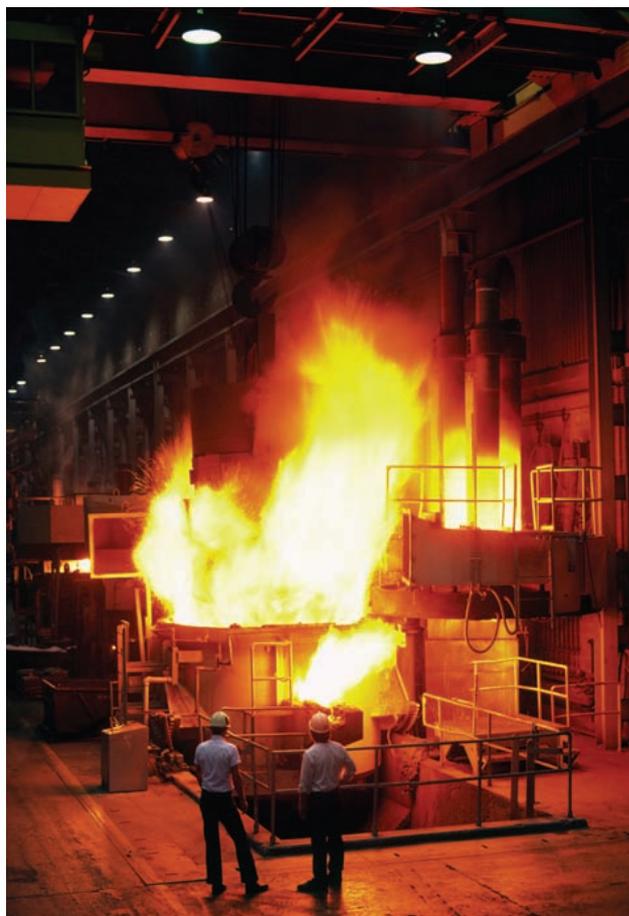
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Gerald L. Young

## Smelter

Smelters are industrial facilities that are used to treat metal ores or concentrates with heat, carbon, and oxygen in order to produce a crude-metal product,



**Steel smelters.** (© Flirt / SuperStock)

which is then sent to a refinery to manufacture pure metals.

In many cases, smelters process sulfide minerals, which yield gaseous sulfur dioxide, a significant waste product. Other smelters, including some that process iron ores, do not treat sulfide minerals. Similarly, secondary smelters used for recycling metal products, such as used automobile batteries, do not emit sulfur dioxide. However, all smelters emit metal particulates to the environment, and unless this is prevented by pollution control devices, these emissions can cause substantial environmental damages.

The earliest large industrial smelting technique involved the oxidation of sulfide ores using roast beds, which were heaps of ore piled upon wood. The heaps were ignited in order to oxidize the sulfide-sulfur to sulfur dioxide, thereby increasing the concentration of desired metals in the residual product. The roast beds were allowed to smoulder for several months, after which the crude-metal product was collected for further processing at a refinery. The use of roast beds produced

intense, ground-level plumes filled with sulfur dioxide, acidic mists, and metals, which could devastate local ecosystems through direct toxicity and by causing acidification.

More modern smelters emit pollutants to the atmosphere through tall smokestacks. These can effectively disperse emissions, so that local air quality is enhanced, and damages are greatly reduced. However, the actual acreage of land affected by the contamination is enlarged because the tall smokestacks broadcast emissions over a greater distance and acid rain may be spread over a larger area as well.

Emissions of toxic chemicals can be reduced at the source. Metal-containing particulates can be controlled through the use of electrostatic precipitators or baghouses. These devices can remove particulates from flue-gas streams, so that they can be recovered and refined into pure metals, instead of being emitted into the atmosphere. Electrostatic precipitators and baghouses can often achieve particle-removal efficiencies of 99 percent or better.

It is more difficult and expensive to reduce emissions of gases such as sulfur dioxide. Existing technologies usually rely on the reaction of sulfur dioxide with lime or limestone to produce a slurry of gypsum (calcium sulfate) that can be disposed into landfills or sometimes manufactured into products such as wall-board. It is also possible to produce sulfuric acid by some flue-gas desulfurization processes. At best, removal efficiencies for sulfur dioxide are about 95 percent, and often considerably less.

The types of smelters that do not treat sulfide metals, such as iron ore smelters, logically do not emit sulfur dioxide. They do, however, spew metal particulates into the atmosphere. For example, a facility that has been operating for centuries at Gusum, Sweden, has caused a significant local pollution with copper and lead, the toxic effects of which have damaged vegetation. The surface organic matter of sites close to the Gusum facility has been polluted with as much as 2 percent each of zinc and copper. Secondary smelters also do not generally emit toxic gases, but they can be important sources of metal particulates. This has been especially well documented for secondary lead smelters, many of which are present in urban or suburban environments. The danger from these is that people can be affected by lead in their environment, in addition to long-lasting ecological effects. For example, lead concentrations in soil as large as about 5 percent dry weight were found in the immediate vicinity of a battery smelter in Toronto, Ontario. In this case, people were living beside the

smelter. Garden soils and vegetables, house dust, and human tissues were all significantly contaminated with lead in the vicinity of that smelter. Similar observations have been made around other lead-battery smelters, including many that are situated dangerously close to human habitation.

One of the best-known case studies of environmental damage caused by smelters concerns the effects of emissions from the metal processing plants around Sudbury, Ontario. This area has a long history as a mining community. For many years, roast beds were the primary metal-processing technology used at Sudbury. In fact, in its heyday, up to thirty roast beds were operating in Sudbury. Unfortunately, this process saturated the air and soil with sulfur dioxide, nickel, and copper. Local ecosystems were devastated by the direct toxicity of sulfur dioxide and, to a lesser extent, the metals. In addition, dry deposition of sulfur dioxide caused a severe acidification of lakes and soil. This caused much of the plant life to die, which in turn started soil erosion. Naked bedrock was exposed, and then blackened and pitted by reaction with the sulfurous plumes and acidic mists.

When the devastating consequences of the roast bed method became clear, the Canadian government prohibited their use. The processors turned to new technology in 1928 when they began construction of three smelters with tall stacks. Since these emitted pollutants high into the atmosphere, they showed substantial improvements in local air quality. However, the damage to vegetation continued; lakes and soils were still being acidified, and toxic contaminants were spread over an increasingly large area.

Over time, well-defined patterns of ecological damage developed around the Sudbury smelters. The problems that had occurred in the roast beds were being repeated on a large scale. The most devastated sites were close to the smelters, and had concentrations of nickel and copper in soil in the thousands of parts per million; they were very acidic with resulting aluminum toxicity, and frequently fumigated by sulfur dioxide. Such toxic sites were barren, or at most had very little plant cover. The few plants that were present were usually specific ecotypes of a few widespread species that had evolved a tolerance to the toxic effects of nickel, copper, and acidity. Aquatic lake habitats close to the smelters were similarly affected. These waterbodies were acidified by the dry deposition of sulfur dioxide, and had large concentrations of soluble nickel, copper, aluminum, and other toxic metals. Of course, the plant and animal life of these lakes was highly impoverished and dominated by life forms that

were specifically adapted to the toxic stresses associated with the metals and acidification.

It is well recognized that increased linear distance from the point source of toxic chemicals reduces deposition rates and toxic stress. Correspondingly, the pattern of environmental pollution and ecological damage around the Sudbury areas decreases more-or-less exponentially with increasing distance from the smelters. In general, it is difficult to demonstrate damages to terrestrial communities beyond 9–12 miles (15–20 km), although contamination with nickel and copper can be found at least 62 miles (100 km) away. In comparison, lakes that are deficient in plant life have little acid-neutralizing capacity and can be shown to have been damaged by the dry deposition of sulfur dioxide at least 25–30 miles (40–48 km) from Sudbury.

To improve regional air quality, the world's tallest smokestack, at 1,247 feet (380 m), was constructed at the largest of the Sudbury smelters. This "superstack" allowed for an even wider dispersion of smelter emissions. At the same time, a smelter was closed down, and steps were taken to reduce sulfur dioxide emissions by installing desulfurization, or flue-gas scrubbing, equipment and by processing lower-sulfur ores. In aggregate, these actions resulted in a great improvement of air quality in the vicinity of Sudbury.

The improvement of air quality allowed vegetation to regenerate over large areas, with many existing species increasing in abundance and new species appearing in the progressively detoxifying habitats. The revegetation has been actively encouraged by re-seeding and liming activities along roadways and other amenity areas where soil had not been eroded. Aquatic habitats have also considerably detoxified since 1972. Lakes near the superstack and the closed smelter have become less acidic, metal concentrations have decreased, and the plants and animals have increased biomass.

However, there is important controversy about contributions that the still-large emissions of sulfur dioxide from Sudbury may be making towards the regional acid rain problem. The superstack may actually exacerbate regional difficulties because of the wide dispersal of its emissions of sulfur dioxide. It is possible that height of the superstack may be decreased in order to increase the local dry deposition of emitted sulfur dioxide and reduce the long range dispersion of this acid-precursor gas.

The Sudbury scenario is by no means an unusual one. Many other smelters have substantially degraded their surrounding environment. The specifics of environmental

pollution and ecological damage around particular smelters depend on the intensity of toxic stress and the types of ecological communities that are being affected. Nevertheless, broadly similar patterns of ecological change are observed around all point sources of toxic emissions, such as smelters.

In most modern smelters, a reduction of emissions at the source can eliminate the most significant of the ecological damages that have plagued older smelters. The latter were commissioned and operated under social and political climates that were much more tolerant of environmental damages than are generally considered to be acceptable today.

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Bill Freedman

## Smith, Robert A.

1817–1884  
Scottish chemist

Smith has two claims to fame. Through his studies of air pollution he was, in 1852, the discoverer of acid rain, and his appointment as Queen Victoria's first inspector under the Alkali Acts Administration of 1863 made him the prototype of the scientific civil servant. He was one of the first scientists to study the chemistry of air and water pollution and among the first to see that such study was important in identifying and controlling environmental problems caused by industrial growth in urban centers. He was also interested in public health, disinfection, peat formation, and antiquarian subjects. Although his work has sometimes

been dismissed as pedestrian, Smith's pioneering studies of the chemistry of atmospheric precipitation, published in 1872 in the book *Air and Rain*, were far ahead of their time and a major contribution to a new discipline that he called "chemical climatology."

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Eville Gorham

## Smog

Smog is the term chosen by the Glasgow (Scotland) public health official Des Voeux at the beginning of the twentieth century to describe the smoky fogs that characterized coal-burning cities of the time. The word is formed by adding the words smoke and fog together and has persisted as a description of this type of urban atmosphere. It has frequently been used to describe photochemical smog, the haze that became a characteristic of the Los Angeles Basin (in southern California) from the 1940s. "Smog" is sometimes even used to describe air pollution in general, even where there is not a reduction in visibility at all. However, the term is most properly used to describe the two distinctive types of pollution that dominated the atmospheres of late



Smoggy Shanghai, China, at dawn. (© Robert Harding Picture Library Ltd / Alamy)

nineteenth century London, England, known as winter smog, and twentieth century Los Angeles, called summer smog.

The city of London (United Kingdom) burned almost 20 million tons of coal annually by the end of the nineteenth century. Although industrialized, much coal was burned in domestic hearths, and the smoke and sulfur dioxide produced barely rose from the chimneys above the housetops. Only a few rather inaccurate measurements of the pollutant concentrations in the air were made in the nineteenth century, although they hint at concentrations much higher than what scientists might expect in London today.

The smog of nineteenth-century London took the form of dense, vividly colored fogs. The smog was frequently so dense that people became lost and had to be lead home by linksmen. It is said that visibility became so restricted that fingers on an outstretched arm were invisible. The fog that rolled over window sills and into rooms became such an integral part of what people know as Victorian London that almost any Sherlock Holmes story mentions it.

With London's high humidity and incipient fog, smoke particles from coal-burning formed a nucleus for the condensation of vapor into large fog droplets. This water also serves as a site for chemical reactions, in particular the formation of sulfuric acid. Sulfur dioxide dissolved in fog droplets, perhaps aided by the presence of alkaline material such as ammonia or coal ash. Once in solution the sulfur was oxidized, a process often catalyzed by the presence of dissolved metallic ions such as iron and manganese. Dissolution and oxidation of sulfur dioxide gave rise to sulfuric acid droplets, and it was sulfuric acid that made the smog so damaging to the health of Londoners.

London's severe smog occurred throughout the last decades of the nineteenth century. British-Canadian detective writer Robert Barr (1849–1912) even published *The Doom of London* at the turn of the century, which saw the entire population of London eliminated by an apocalyptic fog. Many residents of Victorian London recognized that the fogs increased death rates, but the most infamous incident occurred in 1952, when a slow-moving anti-cyclone stalled the air over the city. On the first morning, the fog was thicker than many people could ever remember. By the afternoon people noticed the choking smell in the air and started experiencing discomfort. Those who walked about in the fog found their skin and clothing filthy after just a short time. At night, the treatment of respiratory cases was running at twice its normal level. The situation continued for four days.

It was difficult to describe exactly what had happened, because primitive air pollution monitoring equipment could not cope with high and rapidly changing concentrations of pollutants, but it has been argued that for short periods the smoke and sulfur dioxide concentrations may have approached ten thousand micrograms per 1.3 cubic yards (1 m<sup>3</sup>). Today, in a relatively healthy city, the desired maximum is about a hundred micrograms per 1.3 cubic yards in short-term exposures.

Normal death rates were exceeded by many thousands through the four-day period of the fog. Public feelings ran high, and the UK government, barraged with questions, set up an investigative committee. The Beaver Committee report eventually served as the basis for the UK Clean Air Act of 1956. This law was gradually adopted through many towns and cities of the United Kingdom and has been seen by many as a model piece of legislation. Although it is true that the classic London smog has gone, it is far from clear the extent to which this change came about through legislation rather than through broader social developments, such as the use of electricity in homes (although the act did encourage this).

Photochemical smog is sometimes called summer smog, because unlike the classical London type smog, it is more typical in summer at many localities, often because it requires long hours of sunshine to build up. When photochemical smog were first noticed in Los Angeles, people believed them to be much the same as the smog of London and Pittsburgh (Pennsylvania). Early attempts at control looked largely at local industry emissions. The automobile was eliminated as a likely cause because of low concentrations of sulfur in the fuel and the fact that only minute amounts of smoke were generated.

It was some time before Dutch biochemist Arie Jan Haagen-Smit (1900–1977) recognized that damage to crops in the Los Angeles area arose not from familiar pollutants, but from a reaction that took place in the presence of petroleum vapors and sunlight. His observations focused unwelcome attention on the automobile as an important factor in the generation of summer smog.

The Los Angeles area proved an almost perfect place for generating smog of this type. It had a large number of cars, long hours of sunshine, gentle sea breezes to back the pollutants up against the mountains, and high level inversions preventing the pollutants from dispersing vertically.

Studies through the 1950s revealed that the smog was generated through a photolytic cycle. Sunlight split nitrogen dioxide into nitric oxide and atomic oxygen

that could subsequently react and form ozone ( $O_3$ ). This was the key pollutant that clearly distinguished the Los Angeles smog from those found in London. Although the highly reactive ozone reacts rapidly with nitric oxide, converting it back into nitrogen dioxide, organic radicals produced from petroleum vapor react with nitric oxide very quickly. The nitrogen dioxide is again split by the sunlight, leading to the formation of more ozone. The cycle continues to build ozone concentrations to higher levels throughout the day.

The nitrogen oxide-ozone cycle is just one of many processes initiated in a smog of this kind. The photochemically active atmosphere contains a great number of reactive molecular fragments that lead to a range of complex organic compounds. Some of the hydrocarbon molecules of petroleum vapor are oxidized to aldehydes or ketones, such as acrolein or formaldehyde, which are irritants and suspected carcinogens. Some oxygenated fragments of organic molecules react with the nitrogen oxides present in the atmosphere. The best-known product of these reactions is peroxyacetyl nitrate, often called PAN, one of a class of nitrated compounds causing eye irritation experienced in summer smog.

The reactions that were recognized in the Los Angeles smog are now known to occur over wide areas of the industrialized world. The production of smog of this kind is not limited to urban or suburban areas, but may occur for many hundreds of miles to the lee of cities using large quantities of liquid fuel. The importance of hydrocarbons in sustaining the processes that generate photochemical smog has given rise to control policies that recognize the need to lower the emission of hydrocarbons into the atmosphere. Hence, these policies have emphasized the use of catalytic converters and low volatility fuels as part of air pollution control strategies.

*See also* Environmental policy; Fossil fuels; Renewable energy; Respiratory diseases.

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Peter Brimblecombe

## Smoke

In environmental science, smoke refers to an air pollutant, usually white, grey, or black, that arises from combustion processes.

Smoke generally forms when there is insufficient oxygen to oxidize all the vaporized fuel to carbon dioxide, so much remains as carbon or soot. Smoke is largely soot, although it also contains small amounts of fly ash. Although domestic smoke and poorly constructed chimneys had long been annoying to city dwellers, it was the development of the steam engine that drew particular attention to the need to get rid of unwanted smoke. The first half of the nineteenth century witnessed an awakening interest on the part of local administrators in Europe and North America to combat the smoke problem.

Smoke has long been recognized as more than just an aesthetic nuisance. It has been proven to have a broad range of effects on human health and well-being. Early laws were preoccupied with reducing black smoke, and various tests and "smoke shades" were created to test the color of smoke in the atmosphere. For example, the Ringelmann Chart showed a set of shades on a card, which was compared to the color of smoke in the atmosphere. Today it has been shown that the color of suspended smoke particles in cities have gradually changed as coal is used less frequently as an energy source. In many cities the principal soiling agent in the air is now diesel smoke. Diesel smoke blackens buildings and even gets inside houses, and studies show that diesel smoke contains a range of carcinogens.

While in many of the major cities smoke production has been reduced, coal, peat, and wood continue to be burnt in large quantities. In these locations, often in developing countries, smoke control represents considerable challenge as environmental agencies remain underfunded.

One form of smoke is soot, which are tiny and dark particles consisting mostly of carbon. Soot absorbs sunlight and so tends to warm Earth. In 2008, researchers studying soot released by cooking fires in South Asia and coal-burning in East Asia concluded that soot is a more important contributor to global warming than had been hitherto known, edging out methane as the second-largest greenhouse pollutant after carbon dioxide. Melting of glaciers in the Himalaya mountains may be as much due to soot as to carbon dioxide. Dimming of the skies by soot reduces evaporation and regional temperature differences over the Indian Ocean, causing less rain to fall during the monsoon season and so contributing to drought in Southeast Asia. The new estimate of the radiative forcing (energy imbalance between Earth and sunlight) caused by soot was about double that cited by the Intergovernmental Panel on Climate Change (IPCC) in its influential 2007 summary of the state of climate science. Replacing dung and wood as cooking fuels in South Asia with biogas, natural gas, and solar cookers could significantly reduce the problem.

See also Cigarette smoke; Smog; Tobacco.

## Resources

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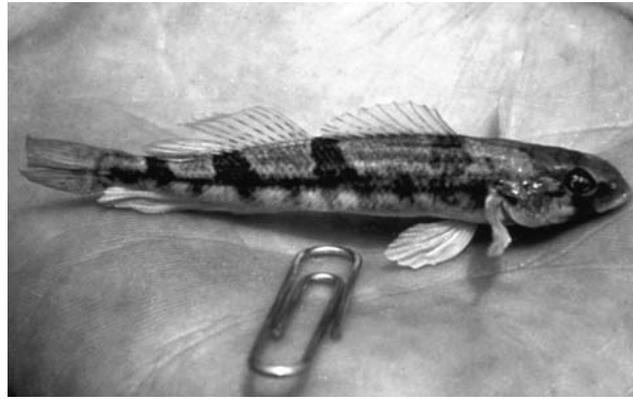
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Peter Brimblecombe

Smoking see **Cigarette smoke; Respiratory diseases; Tobacco.**

## Snail darter

Most new species are discovered, then described in the scientific literature with little fanfare, and most are then known only to a relatively small group of specialists. This was not the case with the snail darter (*Percina tanasi*), a small member of the freshwater fish family of perches, *Percidae*. The snail darter's discovery cast it in the limelight of a highly controversial, environmental battle over the impoundment of the Little Tennessee River by the Tellico Dam. The discovery of the snail



**Snail darter (*Percina tanasi*).** (Courtesy of the United States Fish and Wildlife Service.)

dartar coincided with the enactment of the Endangered Species Act. The snail darter's only known habitat was the free-flowing channel of the Little Tennessee River, and it was perceived as a means of successfully challenging the completion of this Tennessee Valley Authority project.

Two ichthyologists at the University of Tennessee, Drs. David Etnier and Robert Stiles, discovered the snail darter in the Little Tennessee River in August of 1973. After catching several specimens of these three-inch creatures, they returned to Knoxville to examine their find. By that fall, after careful comparison with other members of the genus, it was clear that they had found a new species of fish.

Because this darter was not known from any other location, Dr. Etnier submitted a status report on the species to the U.S. Fish and Wildlife Service the following year. The darter's existence was being threatened by the completion of the Tellico Dam, which would ultimately eliminate the free-flowing, clear, riverine habitat needed for its survival. The darter was recommended for listing as an endangered species in 1975, and in January 1976 its official scientific description was published.

The notoriety this fish was beginning to receive did not go unnoticed by the TVA. They began transplanting snail darters to the Hiwassee River in 1975 and, by early 1976, had moved over 700 to the new location. All of this was done in secrecy, neither the Fish and Wildlife Service nor the appropriate Tennessee state agencies were notified. Following the designation of the snail darter as an endangered species, the court battles began. Injunctions to halt completion of the Tellico Dam were granted and overturned all the way to the Supreme Court, where the justices ruled in favor of the snail darter. However, the Court left an opening for the

U.S. Congress to step in and exempt Tellico Dam from the Endangered Species Act. That is just what Congress did, and in January 1980 the gates closed and the reservoir behind Tellico Dam began to fill.

An additional population of snail darters was discovered in the Hiwassee River, and this population seems to be thriving. The snail darter, so named because of the principle component of its diet, continues its existence and is thought to number upwards of 100,000. It was moved from the endangered listing to one of threatened by the Department of the Interior (DOI) in 1984. Dr. Etnier believes that now the snail darter should be removed from the list of threatened species. The species' scientific name, *Percina tanasi*, is in reference to the ancient Cherokee Village and Native American burial ground of Tanasi, from which the state got its name, and which, now, lies at the bottom of Tellico's reservoir.

Snail darters live for an average of two years, with a maximum recorded longevity of four years. These darters reach sexual maturity at one year and migrate from their downstream, slackwater habitat to the sand and gravel shoals upstream, where they will eventually spawn. These clear, shallow shoal areas represent the habitat needed by the snail darters and their mollusk prey for survival.

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Eugene C. Beckham

## Snow leopard

The snow leopard (*Uncia uncia*), also known as the ounce, or irbis, is a large cat that ranges over highland habitats in Central Asia. It occurs from Turkistan and northern Afghanistan in the western part of its range, through the Kashmir region of Pakistan and India, to southern Mongolia, extreme southwestern China, and Tibet. The snow leopard is sometimes referred to as *Panthera uncia*, which indicates affinity with other large cats, such as the tiger, African lion, and mountain lion, which are also named in the genus *Panthera*.

The snow leopard has an adult body length of 29–51 inches (75–130 cm) and a tail of 27–39 inches

(70–100 cm). It stands 20–25 inches (50–65 cm) at the shoulder, and has a body weight of 77–155 pounds (35–70 kg). Its pelage is characterized by dense, long hair and a woolly underfur. The underbelly is whitish, while the upper parts of the animal are a creamy yellowish color, patterned by darker rings. Following a gestation period of about ninety-three to 103 days, snow leopards give birth in a rocky lair. Usually two cubs are born, but there can be as many as five. Cubs reach sexual maturity at an age of two years in captivity, but probably later in the wild. They have lived as long as seventeen to nineteen years in captivity, but the life span would be considerably less in the wild.

During the summer the snow leopard generally occurs in alpine tundra habitats, including meadows and rocky places above the forested tree line but below the snow line. During the summer snow leopards venture to 19,685 feet (6,000 m). During winter they occur as low as 5,000 feet (1,500 m), and in harsh winters they may occur in montane (or sub-alpine) forests. Because of the sparse populations of their natural prey, snow leopards have large home ranges, typically amounting to several square miles. The ranges of adjacent animals can overlap to a substantial degree.

Snow leopards are solitary predators. They typically rest during the day and feed during the early morning or late afternoon on wild prey, such as ibex, sheep, musk deer, boar, hare, rabbit, pika, marmot, ptarmigan, and pheasant. Because of expanding human populations and agriculture within the range of snow leopards, they are increasingly feeding on domestic animals, such as goats, sheep, dogs, and chickens.

The global population of wild snow leopards is only about 3,500–7,000 individuals. Another 600–700 animals occur in zoos. Because of its small and decreasing populations the snow leopard is an endangered species. Although the snow leopard is officially protected throughout its range, it is nevertheless widely killed as a pest where it intersects with livestock-raising mountain people. It is also widely poached as a trophy and, more importantly, for its beautiful and valuable fur, which can be readily purchased in some rural marketplaces within the range of the species, even though its hunting is illegal. Most hunting of snow leopards is conducted using leg-hold traps or deep pits baited with a live goat or sheep.

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Bill Freedman

## Snyder, Gary S.

1930–

American writer and poet

Gary Snyder is an American poet/essayist and environmental activist. He was born in San Francisco but grew up in the Northwest, learning about nature and life in cow pastures and second-growth forests. He earned his B.A. in anthropology at Reed College in Portland and spent some time at other universities, learning Asian languages and literatures. During the 1950s, Snyder became a part of the Beat Movement in San Francisco along with such noted figures as Jack Kerouac and Allen Ginsberg. While there he supported himself by working at a variety of odd jobs before leaving to study Zen Buddhism in Japan where he remained for nearly a decade.

Best known as a Pulitzer-prize-winning poet (for *Turtle Island*, 1974; *No Nature*, 1992), Snyder is also an elegant essayist whose collections include *The Practice of the Wild* (1990). Snyder is often described as the ecological poet, producing work that demonstrates a deep understanding of the subject. Through his poetry Snyder teaches ecology— as science, philosophy, and world view—to a wide audience.

Snyder's ecological principles center on eating and being eaten, house-keeping principles, the quest for community, identification with and fitting into locale and place, and understanding connective cycles, relationships and interdependence. He does not, for example, condemn hunting or taking life, but instead explains it as necessary and sacred: "there is no death that is not somebody's food, no life that is not somebody's death." But he urges his reader to treat the taking of life and the life ingested with respect. Because eating involves consuming other lives, Snyder believes, "eating is truly a sacrament." For Snyder, the primary ethical teaching of all times and places is "cause no unnecessary harm."

He declares that "there are many people on the planet now who are not inhabitants," meaning they do not identify with or know anything about the place in which they live. He emphasizes that "to know the spirit of a place is to realize that you are a part of a part and the whole is made of parts, each of which is whole. You start with the part you are whole in." He notes that "our relation to the natural world takes place in a *place*, and it must be grounded in information and experience"; basically, you "settle in and take responsibility and pay attention."



Poet Gary Snyder visits Berkeley, California, in 2008. (© Paul Chinn/San Francisco Chronicle/Corbis)

In *Myths & Texts*, Snyder notes that "As a poet I hold the most archaic values on earth. They go back to the upper Paleolithic: the fertility of the soil, the magic of animals, the power-vision in solitude, the terrifying initiation and rebirth...the common work of the tribe." He is an activist who does not seek to return to an unclaimable past, but who tries to raise awareness of how humans are connected to each other, to other life-forms, and to the earth. As of 2010, Snyder is an English professor at the University of California.

In 2008, Snyder won the richest poetry prize in the United States, the \$100,000 Ruth Lilly Poetry Prize.

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Gerald L. Young

## Social ecology

Social ecology has many definitions. It is used as a synonym for human ecology, especially in sociology; it is considered one form of ecological psychology; and it

is the name chosen for the mix of approaches taught at the University of California, Irvine, as well as the radical revisionism of Murray Bookchin.

In her 1935 critique of the ecological approach in sociology, Milla Alihan clearly preferred the label “social ecology.” In a book on urban society in the mid-forties, Gist and Halbert discussed the social ecology of the city, and Ruth Young examined the social ecology of a rural community in the journal *Rural Sociology* in the early 1970s. A bibliography for the Council for Planning Librarians went so far as to define social ecology as a “subfield of sociology that incorporates the influence of not only sociology but economics, biology, political science, and urban studies,” and Mlinar and Teune, in their *Social Ecology of Change*, clearly considered it a part of sociology.

The Program in Social Ecology at the University of California, Irvine has been defined by Binder as “a new context for psychology,” but it includes major subprograms in community psychology, urban and regional planning, environmental health, human ecology, criminal justice, and educational policy. He concluded by defining social ecology as the study of “the interaction of man with his environment in all of its ramifications.” In a later article, Binder and others divided the ecological psychology into an ecological approach, an environmental approach, and social ecology itself, which they then enlarged into “a new departure in environmental studies” at Irvine.

Bookchin is equally ambitious, claiming to have “formulated a discipline unique to our age,” and defining social ecology as integrating “the study of human and natural ecosystems through understanding the interrelationships of culture and nature.” For Bookchin, social ecology “advances a critical, holistic world view and suggests that creative human enterprise can construct an alternative future: reharmonizing people’s relationship to the natural world by reharmonizing their relationship with each other.” He goes on to claim that “this interdisciplinary approach draws on studies in the natural sciences, feminism, anthropology, and philosophy to provide a coherent critique of current anti-ecological trends and a reconstructive, communitarian, technical, and ethical approach to social life.” Social ecology “not only provides a critique of the split between humanity and nature; it also poses the need to heal them.” Bookchin established an Institute for Social Ecology in Plainfield, Vermont, which organizes conferences, publishes a journal, and offers, in conjunction with Goddard College, a graduate program leading to a Master of Arts degree in Social Ecology.

Social ecology, then, is defined in a variety of ways by different individuals. In general use, the term remains ambiguous.

*See also* Bioregionalism; Ecology; Economic growth and the environment; Environmental economics; Environmental ethics.

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Gerald L. Young

## Socially responsible investing

Socially responsible investing (SRI) allows people to use financial assets to influence how corporations and governments address a variety of social problems. Stocks, bonds, mutual funds, special lending programs, and other financial tools can be chosen and tailored by investors to favor particular social issues, including tobacco-related health problems, civil rights, environmental destruction, violence, community development, and other social concerns. SRI is sometimes referred to as ethical investing, social investing, mission-based investing, and natural investing.

For hundreds of years religious groups have practiced socially responsible investing. John Wesley, the founder of the Methodist Church, believed that the use of money is an important lesson from the New Testament. In early America, the Quakers and other Christian groups practiced a form of SRI called avoidance investing, when they avoided investing in companies that supported activities to which they were opposed on religious grounds, such as slavery, gambling, alcohol, tobacco, and weapons production.

The rise of the modern corporation brought with it new forms of investment, and the growing corporate economy allowed more people to own shares, or stocks, in corporations. In 1934, the U.S. Congress created the Securities and Exchange Commission (SEC), which would oversee the trading of financial instruments such as stocks. Congress mandated that all owners, or stockholders, should be able to vote on

company concerns when it stated that, “fair corporate suffrage is an important right that should be attached to every equity security bought on a public exchange.”

The political activism of the 1960s, when people strongly protested issues concerning civil rights, women’s rights, war, and environmental degradation, had rippling effects upon corporation activity and the development of SRI. In the 1970s, church groups including the National Council of Churches, which had stock holdings in major corporations, began actively using shareholders’ resolutions to address social concerns. As an offshoot of the National Council of Churches, an important organization in SRI was formed in 1972, the Interfaith Center on Corporate Responsibility (ICCR), which brought together religious groups to increase shareholder power. One issue in particular had a profound effect on the development of SRI—the policy of apartheid in South Africa, which was the forced and often violent segregation of blacks from whites. In the 1980s, many shareholders demanded investment tools that utilized divestment, which eliminated from stock collections all companies that had South African holdings. Shareholders in some companies also demanded disinvestment, or the withdrawal of corporate activities from South Africa.

Increased concerns about environmental problems have led to the development of environmental investing tools. As early as 1970, an environmental mutual fund called the Pax World Fund was offered, which used pollution control as one of its standards for selecting companies. (Mutual funds are professionally managed collections of stocks, shares of which can be purchased and traded.) In March 1989, the *Exxon Valdez* oil spill in Alaska prompted further development of environmental investing. The Coalition for Environmentally Responsible Economies (CERES) developed the Valdez Principles, a list of ten environmental principles related to corporate activity. Companies can be rated on how well they adhere to the principles, and shareholders have also created resolutions asking companies to adopt the principles for their operations. These principles include protection of the environment, sustainable use of natural resources, reduction and disposal of wastes, wise use of energy, risk reduction, marketing of safe products, damage compensation, disclosure, environmental management, and self-assessment.

In the free market society of the United States, corporations are set up by law to be responsible to their shareholders, and the market is governed as little as possible. In a capitalistic system, maximizing the profits for shareholders is the main goal of the corporation. As efficiently as this system has worked to create

a materialistically wealthy society, the profit-motive corporate structure has also contributed to some social and environmental problems that were growing as capitalism moved into the new millennium. For instance, energy companies can maximize their profits by producing and selling as much energy as possible. In the early twenty-first century, the problems of global warming and air pollution received increased attention, problems that are directly related to the burning of fossil fuels from which most energy is produced. Thus, the shareholders of an energy company might benefit from increased production of energy, while society as a whole may face new problems from such activity. Energy companies powerfully oppose new governmental regulations such as air pollution controls, and there may be conflicts between private and public interest. That is, corporations desire a market free from government interference, while the government is the main tool the public has to regulate large corporations. Some people, wishing to affect change from within, become what are known in SRI as activist shareholders, and utilize shareholders’ rights. Activist shareholders are often in the minority, and it may take many millions of dollars worth of stock to be able to pass shareholders’ resolutions in large companies. In the early twenty-first century, shareholders in some of the world’s largest energy companies initiated resolutions on air pollution, alternative energy, sustainable development, and global warming, for instance.

Socially responsible investing relies upon three main strategies to further its social and environmental goals: screening, shareholder advocacy, and community investing. Positive screening uses various criteria to select companies that have excellent track records, while negative screens eliminate companies with poor records from investment possibilities. Tobacco is the most commonly used screening criteria, and other popular screens include environmental protection, human rights, employment equality, gambling, alcohol, and weapons production. Other commonly used screens have been labor relations, animal testing, community investing, and community relations, while specialty screens include issues such as executive compensation, abortion and birth control, and international labor standards, among others.

Shareholder advocacy utilizes the legal rights of shareholders as owners of companies. Shareholders can influence corporate operations by direct communication with management as well as by shareholder resolutions. These resolutions, when filed, get published in a company’s proxy statement and are voted on by all shareholders during the company’s annual meeting. Although they rarely receive a majority vote,

which would mandate their adoption, proxy resolutions can initiate the process of change.

Community investing is direct investment into underprivileged communities that have failed to receive adequate assistance from traditional financial institutions. This type of investing encourages economic development by providing start-up loans to small businesses, affordable housing, employment programs, and financial assistance for low-income people, for example. Community investing utilizes community development banks and credit unions that are set up specifically for low- and middle-income communities. Community loan funds and venture capital funds are other community investing tools that provide funding to underdeveloped areas.

According to the Social Investment Forum, a non-profit industry group, screening strategies accounted for about 61 percent of SRI funds in 2001, shareholder advocacy was utilized by 13 percent, screening and advocacy together accounted for 26 percent, while community investing garnered less than 1 percent of total SRI funds.

In the new millennium, SRI has grown to be a significant and influential part of the investment world. From a 1985 total of \$65 billion, SRI funds had grown to over \$2 trillion by 2001, and to nearly \$3 trillion by 2007, according to the Social Investment Forum. The 2001 total represented 13 percent of the \$16 trillion that was in professionally managed funds, or one in every eight investment dollars. Furthermore, SRI funds grew at a faster rate (1.5 percent) than the rate for all professionally managed funds, meaning that socially and environmentally conscious companies were positioned strongly in the economy of the 2000s. Industry analysts have noted that socially responsible firms tend to be well managed, adaptive, and technologically adept. As of 2007, 270 mutual funds used social and environmental screening for various causes. There were funds that emphasized alternative energy, sustainable development, and workers' rights, and other funds that avoided nuclear power, weapons building, gambling, and pornography. There were funds for fundamental Christians, Catholics, and a fund specifically tailored for Muslims.

Shareholder advocacy actions increased in 2001, due in part to new reports on global warming and concerns over corporate ethics brought about by the Enron energy scandal. Since the 1980s, institutions, including religious groups, mutual funds, foundations, pension funds for public and private organizations, and others, have become the largest shareholders in the United States. When these institutions come together they create powerful shareholder associations, such as

the Council on Institutional Investors, which controlled over \$1.5 trillion in assets in 2001 and has its own corporate governance guidelines. Shareholder advocacy for social and environmental issues had some successes in the late 1990s and early 2000s. Shareholders in 2000 persuaded Home Depot to gradually stop using old-growth timber, and investors convinced General Electric to spend up to \$250 million to clean the Housatonic River in Massachusetts from PCB contamination. Furthermore, shareholders convinced Texaco, General Motors, Ford, and DaimlerChrysler to end their memberships in the Global Climate Coalition, an industry group that maintains that global warming is not valid and opposes actions to curb it.

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Douglas Dupler

## Society for Conservation Biology

The practice of conservation biology as a scientific discipline with a specific application to society-at-large started in 1978 by organizers Michael Soule and Bruce Wilcox. They formalized their interest in a new conservation discipline at the first International Conference of Conservation Biology in San Diego. Between 1978 and 1985, the ideals of conservation biology survived through some growing pains, most importantly expanding from the idea of several single disciplines looking at a problem to a unified examination of a problem including the insights of many disciplines.

The core of that interdisciplinary approach formed a foundation from which, in 1985, the Society for Conservation Biology was born. The Society is, in its own words, "... an international professional organization dedicated to promoting the scientific study of the phenomena that affect the maintenance, loss, and restoration of biological diversity. The Society's membership is comprised of a wide range of people interested in the conservation and study of biological diversity; resource

managers, educators, government and private conservation workers, and students.”

What is unusual for a professional scientific group is that the Society was formed “to develop the scientific and technical means for the protection, maintenance, and restoration of life on this planet—its species, its ecological and evolutionary processes, and its particular and total environment.” Thus, a mission is an inherent part of the Society mandate. That mission can be very broadly interpreted to include political, economic, and cultural ramifications, which are not commonly the arena for professional scientists.

To undertake the above mission the SCB promotes research, disseminates information, encourages communication and collaboration, educates, and recognizes outstanding contributions in the field. Part of that is done through a scientific journal, *Conservation Biology*, a newsletter, the development of local chapters, a Policy and Resolutions Committee, and the independent actions of the members.

The ideals noted above are based on the Society’s official statements, but they undervalue the Society. It has grown to be a true meeting ground for scientists and non-scientists, and has maintained open and honest debate on vital topics, such as the roles of science and advocacy, that are touchstones for the new generation of conservation scientists. The message that comes through from the overall body of the Society’s work is that careful science and compassion are not antithetical, rather they work together to promote nature in a natural way.

The journal *Conservation Biology* is the center of discussion and dissemination of thought for conservationists worldwide. Its overall quality, maintained by the highest editorial principles, has grown from a few articles in a quarterly issue to 300–400 page editions published six times per year. In each volume philosophical treatments are found alongside the latest molecular technique for recognizing levels of genetic variability.

The Society meets annually in a conference setting, complete with technical paper presentations, research posters, workshops, and a series of conservation awards. They occasionally meet in conjunction with other scientific groups and explore particular thematic topics in the Annual Meeting.

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### ORGANIZATIONS

Society for Conservation Biology, 4245 N. Fairfax Drive, Arlington, VA, USA, 22203, (703) 276-2384, (703) 995-4633, [information@conservationbiology.org](mailto:information@conservationbiology.org), <http://conbio.net/scb>

David Duffus

## Society of American Foresters

Established in 1900 by Gifford Pinchot, considered to be America’s first scientifically trained forester, the Society of American Foresters (SAF) is a scientific and educational organization representing the forestry profession in the United States. With a membership of over 18,700 in 1997, it is the largest professional society for foresters in the world. SAF’s mission is to “advance the science, education, technology, and practice of forestry; to enhance the competency of its members; to establish professional excellence; and to use the knowledge, skills, and conservation ethic of the profession to ensure the continued health and use of forest ecosystems and the present and future availability of forest resources to benefit society.” Although the focus of this organization is on forestry, the SAF addresses issues and concerns of a variety of natural resource professionals.

To be a professional member of SAF, one must hold a bachelor’s or higher degree in forestry from an SAF-accredited or candidate institution, or hold a comparable degree in a closely related field of natural resources and have at least three years of forestry-related experience. There is a code of ethics for members that emphasizes stewardship of the land and that advocates land management that is consistent with ecologically sound principles. Members are expected to perform services consistent with their knowledge and skills, and for which they are qualified by education or experience.

The SAF provides an array of services for its members and for the forestry community as a whole, including the publication of the *Journal of Forestry*, the SAF’s chief publication, three regional technical journals, a newsletter, and *Forest Science*, a quarterly research journal. Technical expertise is provided through the organization’s twenty-eight working groups that cover a broad range of subdisciplines and through supporting

continuing education programs. The SAF accredits forestry programs in universities, ensuring that curriculum meet standards considered to be needed by forestry graduates. It provides background and key testimony to natural resource policy makers on forestry issues, including timber harvesting methods, forest management, clean water legislation, and wetlands. For example, many of the recommendations of the SAF were incorporated into the Endangered Species Conservation and Management Act of 1995.

Environmental issues, particularly during the 1980s and 1990s, have stimulated a diversity of opinions and views about the profession of forestry and the role of the SAF. The spotted owl issue, the role of clear-cutting in forest management, the management and protection of old growth forests, and other forestry issues have been debated within the SAF just as they have been debated in society as a whole. Many views are represented by members of the SAF, including those with a forest products orientation and those promoting an ecosystems-based management approach that places high values on forest attributes such as biodiversity. Some members advocate the return to the basics of conservation, emphasizing both production and environmental protection. Clearly there is a broader view of the profession of forestry within SAF and perhaps a greater diversity of opinions than in the past. With this diversity, the SAF will continue to play a major role in how future forests are managed, particularly on public lands.

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Kenneth N. Brooks

## Sociobiology

A perennial debate about human nature, pitting the idea that behavior is culturally conditioned against the notion that human actions are innately controlled,

was rekindled in 1975 when Edward O. Wilson's *Sociobiology: The New Synthesis* introduced a new scientific discipline.

Sociobiology is defined by Wilson as “the systematic study of the biological basis of all social behavior” and can be described as a science that applies the principles of evolutionary biology to the social behavior of organisms, analyzing data from a number of disciplines, especially ethology (the biological study of behavior), ecology, and evolution. It employs two chief postulates. The first is the *interaction principle*, the idea that the action of any organism, including man, arises as a fusion of genotype and learning or experience. The second is the *fitness maximization principle*, the notion that all organisms will attempt to behave in a manner that passes copies of their genes to future generations.

Sociobiology provides several ways to understand the social behavior of an organism, including those acts termed “altruistic.” First, social behaviors can be seen as the genetically conditioned attempts of an organism to save copies of its genes carried in others, especially close relatives. Such acts can also be viewed as a form of “genetic reciprocity” in which an organism helps another and the helped organism (or others) repays the help at some time in the future, thereby enhancing the fitness of each of the organisms involved. Finally, cooperative actions can be understood as the result of natural selection operating at the level of groups, in which “altruistic” groups pass their genes on more successfully than “selfish” groups.

In *Sociobiology*, Wilson, an entomologist specializing in the biology of ants, develops these concepts using the example of social insects. He shows how their cooperative and “altruistic” behaviors, never fully considered by traditional evolutionary biology, can be understood in terms of genetic fitness and natural selection. But the crucial aspect of Wilson's book is his assertion, within a single chapter, that such genetic theories can be applied to the social behavior of primates and man as well as to “lower” animals. Indeed, Wilson contends that scientists can shrink “the humanities and social sciences to specialized branches of biology” and predicts that to maintain the human species indefinitely, we will have to “drive toward total knowledge, right down to the levels of the neuron and gene,” statements in which he seems to suggest that human beings can eventually be explained totally in “mechanistic terms.”

Broadly, the premise of Wilson's argument finds its origins in the ideas of René Descartes and the

mechanists of the eighteenth century such as Julien Offroy de La Mettrie, whose 1748 book, *L’homme-machine*, helped define the modern biological study of human beings. Wilson argues that if humans are indeed biological machines, then their actions in the realm of society should not be excluded from examination under biological principles, and dramatically defines his goal by stating that “when the same parameters and quantitative theory are used to analyze both termite colonies and troops of rhesus macaques, we will have a unified science of sociobiology.”

Wilson’s book, especially his statements in the final chapter, generated a storm of controversy. Some feared that a genetic approach to human behavior could be used by racists (and eugenicists) as a scientific base for their arguments of racial superiority, or that delving too deeply into human genetics might lead to manipulation of genes for undesirable purposes. Well-known evolutionary biologists such as Stephen Jay Gould (1941–2002) and Richard Lewontin criticized Wilson for stepping over the line between science and speculation. Gould quite openly declared his unhappiness with the final chapter of *Sociobiology*, stating that it “is not about the range of potential human behavior or even an argument for the restriction of that range from a much larger total domain among all animals. It is, primarily, an extended speculation on the existence of genes for specific and variable traits in human behavior—including spite, aggression, xenophobia, conformity, homosexuality, and the characteristic behavior differences between men and women in Western society.” Lewontin argued that sociobiology “is too theoretically impoverished to deal with real life,” that “by its very nature, sociobiological theory is unable to cope with the extraordinary historical and cultural *contingency* of human behavior, nor with the diversity of individual behavior and its development in the course of individual life histories.”

Feminists have also taken up the cudgel against sociobiology, asserting that it implicitly sanctions existing social behavior with a latent determinism. If, for example, repressive acts are defined as the result of direct genetic influence, the basis for their moral evaluation disappears.

Wilson has responded to his critics energetically and condemns those using sociobiological arguments to support societal evils by equating “what is” with “what should be.” Wilson says: “The ‘what is’ in human nature is to a large extent the heritage of a Pleistocene hunter-gatherer existence. When any genetic bias is demonstrated, it cannot be used to justify a continuing practice in present and

future societies. Since most of us live in a radically new environment of our own making, the pursuit of such a practice would be bad biology; and like all bad biology, it would lead to disaster. For example, the tendency under certain conditions to conduct warfare against competing groups might well be in our genes, having been advantageous to our Neolithic ancestors, but it could lead to global suicide now. To rear as many healthy children as possible was long the road to security; yet with the population of the world brimming over, it is now the way to environmental disaster.” He goes on to suggest that “our primitive old genes will therefore have to carry the load of much more cultural change in the future” and, indeed, that our “genetic biases can be trespassed” by thinking and decision-making.

Proponents of sociobiological theory argue that sociobiology seems to have survived its initial vilification by critics and that Wilson’s vigorous advocacy of a new synthesis between the social and biological sciences has helped bring into the open a debate about the relationship of biology and society.

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Gerald L. Young  
Jeffrey Muhr

## Soil

Soil is the unconsolidated mineral material on the immediate surface of the earth that serves as a growth medium for land plants. Soil is found on all surfaces except on steep, rugged mountain peaks and areas of perpetual ice and snow.

Soil consists of mineral and organic matter, air, and water. The proportions vary, but the major components remain the same. Minerals make up 50 percent of an ideal soil while air and water make up 25 percent each. Every soil occupies space. Soil extends down into the planet as well as over its surface. Soil has length, breadth, and depth.

The differing composition of soil is reflected in its varying colour, texture, and effectiveness as a nutrition source for plant growth. Every soil has a profile or a succession of layers (horizons) in a vertical section down into the non-soil zone referred to as the parent material. Parent materials can be soft rock, glacial drift, wind-blown sediments, or material deposited by flowing water (alluvial material). The nature of the soil profile is important for determining a soil's potential for root growth, storage of water, and supply of plant nutrients.

Soil formation proceeds in steps and stages, none of which is distinct. It is impossible to determine where one step or stage in soil formation begins and another ends. The two major steps in the formation of soils are the accumulation of soil parent materials and the differentiation of horizons in the profile. Soil horizons develop due to the processes of additions, losses, translocations, and transformations. These processes act on the soil parent material to produce soil horizons. The intensity of any one process will vary from location to location due to five soil-forming factors:

- Soil forms from the parent materials, and they can be rock, sand, glacial till, material deposited by wind (loess), alluvial sediments, or clay-containing material.
- Topography is the position on the landscape where the parent material is located, such as the top, side or bottom of a hill. Erosion and the depth to the water

table will influence soil formation as a result of topography.

- Climate acts on the parent material and determines the rate of rainfall and evapotranspiration, which influence the amount of leaching in the soil profile. The greater the leaching, the faster soil horizons develop.
- Biotic factors include vegetation and animals. Animals are important mixers of the soils and can destroy soil horizons. Vegetation influences soils by determining the amount of organic matter incorporated into the soils. Soils formed under prairie grasses will have a thick black surface layer, compared to soils formed under forests where the surface layer is thin with a light-colored leached zone under it.
- Time refers to the number of years that the parent material has been acted on by climate and vegetation. The older a soil is, the greater the horizon development. Young soils will have very minimal horizon development. The age of a soil is thus dependent on its development and not on the total number of years.

Because the soil-forming factors vary from location to location, soils are different across the landscape. The ability to interpret where the soils will change has allowed soil scientists to make a map of these changes. The soil map is thus an interpretation of the soils that occur on a landscape. The soil map is published on a county-by-county basis and is called a soil survey. Soil surveys are very useful documents in planning land use according to the soil's potential.

Soil is an absolutely essential natural resource, but one that is both limited and fragile. The soil is often overlooked when natural resources are listed. Throughout history the progress of civilizations has been marked by a trail of wind-blown or water-washed soils that resulted in barren lands. Continuing to use the soil without appropriate soil conservation management is very destructive to the environment. Protecting the quality of our nation's topsoil is largely within human control. To many soil scientists, saving our soil is much more important than saving oil, coal, or natural gas resources.

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Terrence H. Cooper

Soil, buried see **Buried soil**.

## Soil compaction

The forcing together of soil particles under pressure, usually by foot or vehicle traffic. Compaction decreases soil porosity and increases bulk density. The degree of compaction is determined by the amount of pressure applied and soil characteristics, including clay, water, and organic matter contents. Although compaction sometimes can be beneficial by improving seed and root contact with the soil and by increasing the soil's ability to hold water, most compaction is detrimental to plants and soil animals. It can destroy soil structure, decreasing water intake, percolation, gas exchange, and biological activity, while increasing water runoff, erosion, and resistance to root penetration. Soil compaction often is a serious problem in agricultural fields, forests, range lands, lawns, and golf courses. Conversely, soil compaction is necessary for most construction purposes.

## Soil conservation

Soil conservation is the protection of soil against excessive loss of fertility by natural, chemical, or artificial means. It encompasses all management and land-use methods protecting soil against degradation, focusing on damage by erosion and chemicals. Soil conservation techniques can be divided into six categories, crop selection and rotation, fertilizer and lime application, tillage, residue management, contouring and strip cropping, and mechanical (e.g., terracing).

While the potential dangers of chemical degradation and soil erosion were recognized as early as the American Revolution, it was not until the early 1930s that soil conservation became a familiar term. The soil conservation movement was a result of the droughts during the 1930s, the effects of water erosion, the terrific dust storms created by wind erosion in the Great Plains, and by the urging of Hugh Hammond Bennett.

Dr. Bennett, a soil scientist from North Carolina, recognized the erosion damage to previously arable land in the Southeast, Midwest, and elsewhere in the 1920s and 1930s. In 1929, he published a bulletin entitled "Soil Erosion, A National Menace" and started a successful personal campaign to get federal support, beginning with a \$160,000 appropriation by Congress to initiate a national study. In 1933, the U.S. Department of the Interior named Bennett as head of

the Soil Erosion Service, which conducted soil erosion control demonstrations nationwide. In 1935, the Soil Conservation Service, led by Bennett, was established as a permanent agency of the U.S. Department of Agriculture.

Soil degradation problems addressed include soil compaction, salinity build-up, and excessive soil acidity. Because soil and water are so intimately related, the program also deals with water conservation and water quality. Under M. L. Wilson, then Assistant Secretary of Agriculture, the Soil Conservation Service established conservation districts guided by elected officials assisted by Soil Conservation Service personnel. Currently there are more than 3,000 districts in the United States.

Sharing the cost of conservation became federal policy with the passage of the Soil Conservation and Domestic Allotment Act of 1936. The act funded the shift of croplands to “soil-building” crops and established soil conservation practices on croplands and grasslands. The Great Plains Conservation Program, enacted by Congress in 1956, sought to shift some of the highly erodible land from cropland to grassland. The Water Bank and Experimental Rural Clean Waters Programs were an attempt to resolve disputes over drainage of “potholes” in the Midwest and Great Plains and demonstrate the influence of soil and water conservation practices on water quality.

As early as the 1930s, the federal government began to purchase “submarginal” lands outright. The Conservation Reserve segment of the Soil Bank (1956-1960) bought substandard farmland to conserve soil and alleviate surplus crop production. The current Conservation Reserve Program, authorized in a 1985 farm bill, paid farmers to convert land from cropland to grassland or trees under a long-term lease. Currently the “sodbuster,” “swampbuster,” and conservation compliance programs attempt to force farmers to comply with soil and water conservation programs to be eligible for other government programs, such as price supports.

The role of the Soil Conservation Service has changed over the years, but its central mission is still to provide technical information for good land use. Today the Soil Conservation Service is highly concerned with environmental problems, water quality, wetland preservation, and prime farm land protection, as well as urban concerns related to their mission. The soil conservation movement has spawned a number of professional societies, including the Soil and Water Conservation Society and the World Association of Soil and Water Conservation.

In 2010, soil conservation is taking on increased importance as the global climate continues to change in response to the warming of the atmosphere. Depending on locale, some regions of the globe will experience increased precipitation, which could exacerbate soil erosion (especially in deforested areas), while other regions will experience more drought, which will lead to wind-blown loss of soil.

*See also* Conservation tillage; Contour plowing; Dust bowl; Environmental degradation; Soil organic matter; Strip-farming; Sustainable agriculture.

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William E. Larson

## Soil Conservation Service

Soil erosion refers to the loss of soil by wind and water. The problem is ancient. In the United States, recorded concern for soil erosion dates back to the very first European settlers. U.S. Department of Agriculture (USDA) bulletins on soil erosion date back at least to “Washed Soils: How to Prevent and Reclaim Them,” dated 1894.

The contemporary Soil Conservation Service (SCS) was predated by the Bureau of Soils in the USDA, later called the Bureau of Chemistry and Soils. The catalyst for an action agency was Hugh Hammond Bennett, a soils scientist who went to work for the Bureau of Soils in 1903. From his urging, the USDA published the classic circular on “Soil Erosion, A National Menace,” in 1928. Further pressure by Bennett resulted in the establishment of a temporary agency, the Soil Erosion Service, in the U.S. Department of the Interior in 1933, what Simms describes as “the first national action program of soil conservation anywhere in the world.” Bennett was the agency’s first director.

In 1935 President Franklin D. Roosevelt signed an executive order transferring the Soil Erosion Service to the USDA. The Department of Agriculture

immediately consolidated all of its activities bearing on erosion control into the agency. Also in 1935 the Soil Conservation Act was passed and signed into law, establishing a permanent Soil Conservation Service in the USDA, with Hugh Bennett as the first Director. In 1994 The Soil Conservation Service became the Natural Resources Conservation Service (NRCS).

Among its projects, the NRCS publishes a wide variety of maps, depicting, for example, major watersheds in Michigan, land resource regions and major land resource areas, and soil erosion in Colfax County, Nebraska.

In 2010, the work of the NRCS is increasingly important as the global climate continues to change in response to the warming of the atmosphere. Some regions of the U.S. will experience increased precipitation, which will increase soil erosion (especially in deforested areas), while other regions will experience drought. Protecting soil and nurturing its capability of supporting ever-larger crop requirements will be priorities in the coming century.

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Gerald L. Young

## Soil consistency

The manifestations of the forces of cohesion and adhesion acting within the soil at various water contents, as expressed by the relative ease with which a soil can be deformed or ruptured. Consistency states are described by terms such as friable, soft, hard, or very hard. These states are assessed by thumb and thumbnail penetrability and indentability, or more quantitatively, by Atterberg limits, which consist of liquid limit, plastic limit, and plasticity number. Atterberg limits are usually determined in the laboratory and are expressed numerically.

See also Soil compaction; Soil conservation; Soil texture.

Soil, contaminated see **Contaminated soil**.

## Soil eluviation

When water moves through the soil, it also moves small colloidal-sized materials. This movement or leaching of materials like clay, iron, or calcium carbonate is called eluviation. The area where the materials have been removed is the zone of eluviation and is called the E horizon. Zones of eluviation contain fewer nutrients for plant growth. E horizons are often found in forested soils.

## Soil illuviation

When water moves through the soil, it moves small colloidal-sized particles with it. These particles of clay, iron, humus, and calcium carbonate are subsequently deposited in zones below the surface or in the subsoil. The zones are called illuvial zones and the process is referred to as illuviation. Illuvial zones are most often referred to as B horizons, and the subscript with the B designates the kind of material translocated (i.e.,  $B_t$  = clay accumulation).

## Soil liner

One of the requirements of modern sanitary landfills is that a soil liner be placed on top of the existing soil. Liners are needed to prevent the penetration of landfill leachate (water and water-soluble compounds) into the soil. Without a liner, leachate could move through the soil and contaminate the groundwater. Soil liners can be made of clay, plastic, rubber, black-top, or concrete.

## Soil loss tolerance

Soil loss tolerance is the maximum average annual soil removal by erosion that will allow continuous cropping and maintain soil productivity (T). It is occasionally defined as the maximum amount of soil erosion offset by the maximum amount of soil development while maintaining an equilibrium between soil losses and gains. T is usually expressed in terms of tons per acre or tons per hectare. Because T values are difficult to quantify, they are usually inferred by human judgment

rather than scientific analysis. In determining T values, the depth of the soil to consolidated material or depth to an unfavorable subsoil is considered. T values are an expression of concern for plant growth but may not adequately reflect environmental concerns.

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## Soil organic matter

Additions of plant debris to soils will initiate the build up of organisms that will decompose the plant debris. After decomposition the organic material will be called organic matter or soil humus. This decomposed plant debris will be in the form of very small particles and will coat the sand, silt, and clay particles making up the mineral component of soil. As a general rule, accumulating organic matter darkens soil. Continued additions of organic matter are important to create a soft, tillable soil that is conducive to plant growth. Organic matter is important in soils because it will add nutrients, store nitrogen, and create a stronger soil aggregate that will withstand the physical impact of raindrops, prevent water erosion, and enhance soil stability.

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## Soil profile

The layers in the soil from the surface to the subsoil. The soil profile is the collection of soil horizons. Soil profiles will vary from location to location because of the five soil-forming factors: climate, vegetation, topography, soil parent material, and the length of time the soil has undergone physical and chemical weathering. Developing an accurate soil profile is often key to subsequent land use suitability determinations.

Soil, saline see **Saline soil**.

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## Soil survey

A soil survey is a combination of field and laboratory activities intended to identify the basic physical and chemical properties of soils, establish the distribution of those soils at specific map scales, and interpret the information for a variety of uses.

There are two kinds of soil surveys, general purpose and specific use. General purpose surveys collect and display data on a wide range of soil properties which can be used to evaluate the suitability of the soil or area for a variety of purposes. Specific use soil surveys evaluate the suitability of the land for one specific use. Only physical and chemical data related to that particular use are collected, and map units are designed to convey that specific suitability.

A soil survey consists of three major activities: research, mapping, and interpretation. The research phase involves investigations that relate to the distribution and performance of soil; during the mapping phase, the land is actually walked and the soil distribution is noted on a map base which is reproducible. Interpretation involves the evaluation of the soil distribution and performance data to provide assessments of soil suitability for different kinds of land use.

During the research phase of the survey, soil scientists first establish which soil properties are important for that type of survey. They then establish field relationships between soil properties and landscape features and determine the types of soils to be mapped, preparing a map legend. In this phase, scientists also evaluate land productivity and recommend management practices as they relate to the mapping units proposed in the map legend.

Mapping is perhaps the most widely recognized phase of a soil survey. It is conducted by evaluating and delineating the soils in the field at a specified map intensity or scale. Soil profile observations are made at three levels of detail. Representative profiles are taken from soil pits, generally with laboratory corroboration of the observations made by soil scientists. Intermediate profiles are taken from soil pits, roadside excavations, pipelines or other chance exposures, and some sampling and description does occur at this level. Soil-type identifications are taken from auger holes or small pits, and only brief descriptions are made without laboratory confirmation.

With information gathered from sampling, as well as from the earlier research phase, soil scientists draw soil boundaries on an aerial photograph. These delineations or map units are systematically checked by field transects—straight-line traverses across the landscape with samples taken at specified intervals to confirm the map-unit composition.

Field research and the process of mapping produce a range of information that requires interpretation. Interpretations can include discussions of land use potential, management practices, avoidance of hazards, and



USDA soil researcher checks ground porosity and collects samples. (Richard T. Nowitz / Photo Researchers, Inc.)

economic evaluations of soil data. The interpretation of the information gained during a soil survey is based on crop yield estimates and soil response to specific management. Crop yields are estimated in the following ways: by comparison with data from experimental sites on identified soil types; by field experiments conducted within the survey area; from farm records, demonstration plots or other farm system studies; and by comparison of known crop requirements with the physical and chemical properties of soils. Soil response involves the evaluation of how the soils will respond to changes in use or management, such as irrigation, drainage, and land reclamation. Part of this evaluation includes an assessment of hazards that may result from changes such as erosion or salinization.

In general purpose surveys, which are the kind conducted in the United States, soils are mapped according to their properties on the hypothesis that soils which look and feel alike will behave the same, while those that do not will respond differently. A soil survey attempts to delineate areas that behave differently or will respond

differently to some specified management, and the mapping units provide the basis for locating and predicting these differences.

*See also* Contaminated soil; Soil conservation; Soil Conservation Service; Soil texture; U.S. Department of Agriculture.

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James L. Anderson

## Soil texture

The relative proportion of the mineral particles that make up a soil or the percent of sand, silt, and clay found in a soil. Texture is an important soil characteristic because it influences water infiltration, water storage, amount of aeration, ease of tilling the soil, ability to withstand a load, and soil fertility. Textural names are given to soil based on the percentage of sand, silt, and clay. For example, loam is a soil with equal proportions of sand, silt, and clay.

Solar cell see **Photovoltaic cell**.

## Solar constant cycle

The solar constant is a measure of the amount of radiant energy reaching Earth's outer atmosphere from the sun. More precisely, it is equal to the rate at which solar radiation falls on a unit area of a plane surface at the top of the atmosphere and oriented at a perpendicular distance of  $9.277 \times 10^7$  miles ( $1.496 \times 10^8$  km) from the sun. That distance is the average (mean) distance between earth and sun during the course of a single year.

Measuring the solar constant has historically been a difficult challenge since there were few good methods for measuring energy levels at the top of the atmosphere. A solution to this problem was made possible in 1980 with the launching of the Solar Maximum (Solar Max) Mission spacecraft. After repair by the *Challenger* astronauts in 1984, Solar Max remained in orbit, taking measurements of solar phenomena until 1989. As a result of the data provided by Solar Max, the solar constant has now been determined to be 1.96 calories per 0.3 square inches ( $2 \text{ cm}^2$ ) per minute, or 1,367 watts per 3.3 feet (1 m). This result confirms the value of 2.0 calories per square centimeters per minute long used by scientists.

In addition to obtaining a good value for the solar constant, however, Solar Max made another interesting discovery. The solar constant is not, after all, really constant. It varies on a daily, weekly, monthly, and yearly basis, and probably much longer. The variations in the solar constant are not large, averaging at a few tenths of a percent.

Scientists have determined the cause of some variability in the solar constant and are hypothesizing others. For example, the presence of sunspots results in a decrease in the solar constant of a few tenths of a

percent. Sunspots are regions on the sun's surface where temperatures are significantly lower than surrounding areas. Since the sun presents a somewhat cooler face to the earth when sunspots are present, a decrease in the solar constant is not surprising.

Other surface features on the sun also affect the solar constant. Solar flares, for example, are outbursts or explosions of energy on the surface that may last for many weeks. Correlations have been made between the presence of solar flares and the solar constant over the twenty-seven-day period of the sun's rotation.

A longer-lasting effect is caused by the eleven-year sunspot cycle. As the number of sunspots increase during the cycle, so does the solar constant. For some unknown reason, this long-term effect is just the opposite of that observed for single sunspots.

Scientists assert that historical studies of the solar constant may provide some clues about changes in Earth's climate. The nineteenth-century British astronomer E. Walter Maunder pointed out that sunspots were essentially absent during the period of 1645 to 1715, a period now called the Maunder minimum. Earth's climate experienced a dramatic change at about the same time, with temperatures dropping to record lows. These changes are now known as the Little Ice Age. It seems possible that further study of the solar constant cycle may explain the connection between these two phenomena.

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## Solar detoxification

Solar energy is being investigated as a potential means to destroy environmental contaminants. In solar detoxification, photons in sunlight are used to break down

contaminants into harmless or more easily treatable products. Solar detoxification is a destructive technology—it destroys contaminants as opposed to transfer-of-phase technologies such as activated carbon or air stripping, which are more commonly used to remove contaminants from the environment.

In a typical photocatalytic process, water or soil containing organic contaminants is exposed to sunlight in the presence of a catalyst such as titanium dioxide or humic and fulvic acids. The catalyst absorbs the high energy photons, and oxygen collects on the catalyst surface, resulting in the formation of reactive chemicals referred to as hydroxyl free-radicals and atomic oxygen (singlet oxygen). These reactive chemicals transform the organic contaminants into degradation products, such as carbon dioxide and water. Solar detoxification can be accomplished using natural sunlight or by using inside solar simulators or outside solar concentrators, both of which can concentrate light twenty times or more. The effectiveness of solar detoxification in both water and soil is affected by sorption of the toxic compounds on sediments or soil and the depth of light penetration. Solar detoxification of volatilized toxic compounds may also occur naturally in the atmosphere.

For solar detoxification to be successfully accomplished, toxic chemicals should be converted to thermodynamically stable, non-toxic end products. For example, chlorinated compounds should be transformed to carbon dioxide, water, and hydrochloric acid through the general sequence:

organic pollutants → aldehydes → carboxylic acids → carbon dioxide

Photocatalytic degradation such as this usually results in complete mineralization only after prolonged irradiation. If intermediates formed in the degradation pathway are non-toxic, however, the reaction does not have to be driven completely to carbon dioxide and water for acceptable detoxification to have occurred. In the solar detoxification of pentachlorophenol (PCP) and 2,4-dichlorophenol, for example, toxic intermediates were detected; however, with extended exposure to sunlight, the compounds were rendered completely nontoxic, as measured by respiration rate measurements in activated sludge.

Many applications of solar detoxification implemented at ambient temperatures have only 90–99 percent efficiency and do not completely mineralize the compounds. The rate of some photolytic reactions can be increased by raising the temperature of the reaction system, but the production of stable reaction intermediates (some of which may be toxic) is reduced. The changes in

reaction rate have been attributed to a combination of a thermally induced increase in the photon-absorption rate, an increase in the quantum yield of the primary photoreaction, and the initiation of photoinduced radical-chain reactions.

Research conducted at the Solar Energy Research Institute (SERI), a laboratory funded by the U.S. Department of Energy, has demonstrated that chlorinated hydrocarbons, such as trichloroethylene (TCE), trichloroethane (TCA), and vinyl chloride, are vulnerable to photocatalytic treatment. Other toxic chemicals shown to be degraded by solar detoxification include a textile dye (Direct Red No. 79), pinkwater, a munitions production waste, and many types of pesticides, including chlorinated cyclodiene insecticides, triazines, ureas, and dinitroaniline herbicides. In conjunction with ozone and hydrogen peroxide, both of which are strong oxidants, ultraviolet light has been shown to be effective in oxidizing some refractory chemicals such as methyl ethyl ketone, a degreaser, and polychlorinated biphenyls (PCBs).

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Judith Sims

## Solar energy

The sun is a powerful fusion reactor, where hydrogen atoms fuse to form helium and give off a tremendous amount of energy. The surface of the sun, also known as the photosphere, has a temperature of 6,000 K (10,000° Fahrenheit [5,538°C]). The temperature at the core, the region of nuclear fusion, is 36,000,000° Fahrenheit (20,000,000°C). A ball of coal the size of the sun would burn up completely in 3,000 years, yet the sun has already been burning for three billion years and is expected to burn



**Solar energy panel.** (*©sprod/Image from BigStockPhoto.com*)

for another four billion. The power emitted by the sun is  $3.9 \times 10^{26}$  watts.

Only a very small fraction of the sun's radiant energy, or insolation, reaches the earth's atmosphere, and only about half of that reaches the surface of the earth. The other half is either reflected back into space by clouds and ice or is absorbed or scattered by molecules within the atmosphere. The sun's energy travels 93,000,000 miles (149,667,000 km) to reach the earth's surface. It arrives about 8.5 minutes after leaving the photosphere in various forms of radiant energy with different wave lengths, known as the electromagnetic spectrum.

Solar radiation, also known as solar flux, is measured in Langleys per minute. One Langley equals one calorie of radiant energy per square centimeter. It is possible to appreciate the magnitude of the energy produced by the sun by comparing it to the total energy produced on earth each year by all sources. The annual energy output of the entire world is equivalent to the amount the sun produces in about five billionths of a second. Solar radiation over the United States each year is equal to 500 times its energy consumption.

The solar energy that reaches the surface of the earth and enters the biological cycle through photosynthesis is responsible for all forms of life, as well as all deposits of fossil fuel. All energy on earth comes from the sun, and it can be utilized directly or indirectly. Direct uses include passive solar systems such as greenhouses and atriums, as well as windmills, hydropower, and the burning of biomass. Indirect uses of solar energy include photovoltaic cells, in which semiconductor crystals convert sunlight into electrical power, and a process that produces methyl alcohol from plants.

Wind results from the uneven heating of the earth's atmosphere. About 2 percent of the solar energy which reaches the earth is used to move air masses, and at any one time the kinetic energy in the wind is equivalent to twenty times the current electricity use. Due to mechanical losses and other factors, windmills cannot extract all this power. The power produced by a windmill depends on the speed of the wind and the effective surface areas of the blades, and the maximum extractable power is about 60 percent.

The use of the water wheel preceded windmills, and it may be the most ancient technology for utilizing solar energy. The sun causes water to evaporate, clouds form upon cooling, and the subsequent precipitation can be stored behind dams. This water is of high potential energy, and it is used to run water wheels or turbines. Modern turbines that run electric generators are approximately 90 percent efficient, and in 2009 hydroelectric energy produced about 7 percent of the primary energy used in the United States.

A passive solar heating system absorbs the radiation of the sun directly, without moving parts such as pumps. This kind of low-temperature heat is used for space heating. Solar radiation may be collected by the use of south-facing windows in the Northern Hemisphere. Glass is transparent to visible light, allowing long-wave visible rays to enter, and it hinders the escape of long-wave heat, therefore raising the temperature of a building or a greenhouse.

A thermal mass such as rocks, brine, or a concrete floor stores the collected solar energy as heat and then releases it slowly when the surrounding temperature drops. In addition to collecting and storing solar energy as heat, passive systems must be designed to reduce both heat loss in cold weather and heat gain in hot weather. Reductions in heat transfer can be accomplished by heavy insulation, by the use of double glazed windows, and by the construction of an earth brim around the building. In hot summer weather, passive cooling can be provided by building extended overhangs or by planting deciduous trees. In dry areas such as in the southwestern United States or the Mediterranean, solar-driven fans or evaporative coolers can remove a great deal of interior heat. Examples of passive solar heating include roof-mounted hot-water heaters, solarian glass-walled rooms or patios, and earth-sheltered houses with windows facing south.

A simple and innovative technology in passive systems is a design based on the fact that at depth of 15 feet (4.6 m) the temperature of the earth remains at about 55°Fahrenheit (13°C) all year in a cold northern climate and about 67°Fahrenheit (19°C) in warm a southern climate. By constructing air intake tubes at depths of 15 feet (4.6 cm), the air can be either cooled or heated to reach the earth's temperature, proving an efficient air conditioning system.

Active solar systems differ from passive systems in that they include machinery, such as pumps or electric fans, which lowers the net energy yield. The most common type of active systems are photovoltaic cells, which convert sunlight into direct current electricity. The thin cells are made from semiconductor material, mainly

silicon, with small amounts of gallium arsenide or cadmium sulfide added so that the cell emits electrons when exposed to sunlight. Solar cells are connected in a series and framed on a rigid background. These modules are used to charge storage batteries aboard boats, operate lighthouses, and supply power for emergency telephones on highways. They are also used in remote areas not connected to a power supply grid for pumping water either for cattle or irrigation.

The increased trapping of solar radiation by the atmosphere, due to the accumulation of chemicals that are collectively known as greenhouse gases, has been warming the atmosphere since the mid-eighteenth century. Particularly in the 1990s and beyond, the climatic effects of atmospheric warming have become more pronounced.

*See also* Alternative energy sources; Energy and the environment; Energy policy; Passive solar design.

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## Solar Energy Research, Development and Demonstration Act (1974)

Following the outbreak of the Arab-Israeli war in 1973, Arab oil-producing states imposed an embargo on oil exports to the United States. The embargo lasted from October 1973 to March 1974, and the long gas lines it caused highlighted the United States' dependence on foreign petroleum. Congress responded by enacting the Solar Energy Research, Development and Demonstration Act of 1974.

The act stated that it was henceforth the policy of the federal government to "pursue a vigorous and viable program of research and resource assessment of solar

energy as a major source of energy for our national needs.” The act’s scope embraced all energy sources which are renewable by the sun—including solar thermal energy, photovoltaic energy, and energy derived from wind, sea thermal gradients, and photosynthesis. To achieve its goals, the act established two programs: the Solar Energy Coordination and Management Project and the Solar Energy Research Institute.

The Solar Energy Coordination and Management Project consisted of six members, five of whom were drawn from other federal agencies, including the National Science Foundation, the Department of Housing and Urban Development, the Federal Power Commission, NASA, and the Atomic Energy Commission. Congress intended that the project would coordinate national solar energy research, development, and demonstration projects, and would survey resources and technologies available for solar energy production. This information was to be placed in a Solar Energy Information Data Bank and made available to those involved in solar energy development.

Over the decade following the passage of the act in 1974, the United States government spent \$4 billion on research in solar and other renewable energy technologies. During the same period, the government spent an additional \$2 billion on tax incentives to promote these alternatives. According to a U.S. Department of Energy report issued in 1985, these efforts displaced petroleum worth an estimated \$36 billion.

Despite the promise of solar energy in the 1970s and the fear of reliance on foreign petroleum, spending on renewable energy sources in the United States declined dramatically during the 1980s. Several factors combined during that decade to weaken the federal government’s commitment to solar power, including the availability of inexpensive petroleum and the skeptical attitudes of the Reagan and Bush administrations, which were distrustful of government-sponsored initiatives and concerned about government spending.

To carry out the research and development initiatives of the Solar Energy Coordination and Management Project, the act also established the Solar Energy Research Institute, located in Golden, Colorado. In 1991, the Solar Energy Research Institute was renamed the National Renewable Energy Laboratory and made a part of the national laboratory system. The laboratory continues to conduct research in the production of solar energy and energy from other renewable sources. In addition, the laboratory studies applications of solar energy. For example, it has worked on a project using

solar energy to detoxify soil contaminated with hazardous wastes.

Solar energy research regained a prominent place in U.S. energy policy beginning in 2007. The Solar America Initiative (SAI), part of the Federal Advanced Energy Initiative, facilitates development of a new generation of photovoltaic technologies and seeks to make solar energy a cost-effective option for residential and commercial electricity use by 2015. Omnibus energy bills from 2008 to 2010 included continued funding for solar energy research as well as tax credits for individuals and businesses who install solar water heaters and electricity generation systems.

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## Solid waste

Solid waste is composed of a broad array of materials discarded by households, businesses, industries, and agriculture. According to the most current data, the United States generates more than eleven billion tons (ten billion metric tons) of solid waste each year. The waste is composed of industrial non-hazardous waste, oil and gas waste, mining waste, and municipal solid waste (MSW).

Not all solid waste is actually solid. Some semi-solid, liquid, and gaseous wastes are included in the definition of solid waste. The Resource Conservation and Recovery Act (RCRA) defines solid waste to include garbage, refuse, sludge from municipal sewage treatment plants, ash from solid waste incinerators, mining waste, waste from construction and demolition,

and some hazardous wastes. Although the definition is broad, the act excludes the following from regulations concerning solid waste: untreated sewage, industrial wastewater regulated by the Clean Water Act, irrigation return flows, nuclear materials and by-products, and hazardous wastes in large quantities.

RCRA defines and establishes regulatory authority for hazardous waste and solid waste. According to the act, some hazardous waste may be disposed of in solid waste facilities. These include hazardous wastes discarded from households, such as paint, cleaning solvents, and batteries, and small quantities of hazardous materials discarded by business and industry. Some states have their own definitions of solid waste, which may vary somewhat from the federal definition. Federal oversight of solid-waste management is the responsibility of the Environmental Protection Agency (EPA).

Facilities for the disposal of solid waste include municipal and industrial landfills, industrial surface impoundments, and incinerators. Incinerators that recover energy as a by-product of waste combustion are termed resource recovery or waste-to-energy facilities. Sewage sludge and agricultural waste may be applied to land surfaces as fertilizers or soil conditioners. Other types of waste management practices include composting, most commonly of separated organic wastes, and recycling. Some solid waste ends up in illegal open dumps.

Three-quarters of industrial non-hazardous waste comes from four industries: iron and steel manufacturers, electric utilities, companies making industrial inorganic chemicals, and firms producing plastics and resins. About one-third of industrial non-hazardous waste is managed on the site where it is generated, while the rest is transported to off-site municipal or industrial waste facilities. Although surveys conducted by some states are beginning to fill in the gaps, there are still not enough landfills and surface impoundments for industrial solid wastes. Available data suggest that there is limited use of environmental controls at those facilities, but there is insufficient information to determine the extent of pollution they may have caused.

The materials in MSW are discarded from residential, commercial, institutional, and industrial sources. The materials include plastics, paper, glass, metals, wood, food, and yard waste; the amount of each material is evaluated by weight or volume. The distinction between weight and volume is important when considering such factors as landfill capacity. For example, plastics account for only about 8 percent of MSW by weight, but more than 21 percent by volume.

Conversely, glass represents about 7 percent of the weight but only 2 percent of the volume of MSW.

MSW has recently been the focus of much attention in the United States. The average American generated 4.5 pounds (2 kg) per day of MSW in 2008. This compares with 4.65 pounds (2.1 kg) per day of MSW in 2000, 4.5 pounds (2 kg) per day in 1990, 3.7 pounds (1.7 kg) per day in 1980, and 2.7 pounds (1.2 kg) per day in 1960. This increase has been accompanied by tightening federal regulations concerning the use and construction of landfills. The expense of constructing new landfills to meet these regulations, as well as frequently strong public opposition to new sites for them, have sharply limited the number of disposal options available, and the result is what many consider to be a solid waste disposal crisis. The much-publicized garbage barge from Islip, New York, which roamed the oceans from port to port during 1987 looking for a place to unload, has become a symbol of this crisis.

The disposal of MSW is only the most visible aspect of the waste disposal crisis; there are increasingly limited disposal options for all the solid waste generated in the U.S. In response to this crisis, the EPA introduced a waste management hierarchy in 1989. The hierarchy places source reduction and recycling above incineration and landfilling as the preferred options for managing solid waste.

Recycling diverts waste already created away from incinerators and landfills. Source reduction, by contrast, decreases the amount of waste created. It is considered the best waste management option, and the EPA defines it as reducing the quantity and toxicity of waste through the design, manufacture, and use of products. Source reduction measures include reducing packaging in products, reusing materials instead of throwing them away, and designing products to be long lasting. Consumers can practice waste reduction by the goods they choose to buy and how they use these products once they bring them home. Many businesses and industries have established procedures for waste reduction, and some have reduced waste toxicity by using less toxic materials in products and packaging. Source reduction can be part of an overall industrial pollution prevention and waste minimization strategy, including recapturing process wastes for reuse rather than disposal.

Some solid wastes are potentially threatening to the environment if thrown away but can be valuable resources if reused or recycled. Used motor oil is one example. It contains heavy metals and other hazardous substances that can contaminate groundwater, surface water, and soils. One gallon (3.8 L) of used oil can contaminate one million gallons (3.8 million L) of

water, but these problems can be avoided and energy saved if used oil is rerefined into motor oil or reprocessed for use as industrial fuel. Much progress remains to be made in this area: of the two hundred million gal (757 million L) of used oil generated annually by people who change their own oil, only 10 percent is recycled.

Another solid waste with recycling potential are used tires, which take up a large amount of space in landfills and cause uneven settling. Tire stockpiles and open dumps can be breeding grounds for mosquitoes, and are hazardous if ignited, emitting noxious fumes that are difficult to extinguish. Instead of being thrown away, tires can be shredded and recycled into objects such as hoses, rubber mulch, and doormats; they can also be mixed in road-paving materials or used as fuel in suitable facilities. Whole tires can be retreaded and reused on automobiles, or simply used for such purposes as artificial reef construction. Other solid wastes with potential for increased recycling include construction and demolition wastes (building materials), household appliances, and waste wood.

The EPA recommends implementation of this waste management hierarchy through integrated waste management. The agency has encouraged businesses and communities to develop systems where components in the hierarchy complement each other. For example, removing recyclables before burning waste for energy recovery not only provides all the benefits of recycling, but it reduces the amount of residual ash. Removing recyclable materials that are difficult to burn, such as glass, increases the British thermal unit (Btu) value of waste, thereby improving the efficiency of energy recovery.

Some state and local governments have chosen to conserve landfill space or reduce the toxicity of waste by instituting bans on the burial or burning of certain materials. The most commonly banned materials are automotive batteries, tires, motor oil, yard waste, and appliances. Where such bans exist, there is usually a complementary system in place for either recycling the banned materials or reusing them in some way. Programs such as these usually compost yard waste and institute separate collections for hazardous waste.

Perhaps because of the solid waste disposal crisis, there have been recent changes in solid waste management practices. About 54 percent of MSW was buried in landfills in 2008, down from 67 percent in 1990 and 81 percent in 1980. Recovery of materials for recycling and composting has also increased. In 2008, Americans generated about 250 million tons of trash (compared to 254 million tons in 2007) and recycled and composted 83 million tons of this material, equivalent

to a 33.2 percent recycling recovery rate. The EPA set a goal in 2005 to reach 35 percent recovery rate for recyclables by 2008 with the Resource Conservation Challenge's Municipal Solid Waste Action Plan. By reducing the amount of solid waste generated and increasing the amount of materials that are recycled, a decrease in greenhouse gas emissions results. For instance, the recycling rate from 2008 of 33.2 percent results in the reduction of about 200 million tons (182 million metric) of greenhouse gas emissions, which is a decrease of about 3 percent of the U.S. carbon footprint. A greater public awareness of and increased government involvement in the issue of climate change and the state of the environment may continue to fuel this downward trend in the generation of MSW and upward trend in recycling.

*See also* Refuse-derived fuels; Sludge treatment and disposal; Solid waste incineration; Solid waste landfilling; Solid waste recycling and recovery; Solid waste volume reduction; Source separation.

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## Solid waste incineration

Incineration is the burning of waste in a specially designed combustion chamber. The idea of burning garbage is not new, but with the increase in knowledge about toxic chemicals known to be released during burning, and with the increase in the amount of garbage to be burned, incineration now is done under controlled conditions. It has become the method of choice of many waste management companies and municipalities.

According to the Environmental Protection Agency (EPA), there are 135 operational waste combustion facilities in the United States. About 120 of them recover energy, and in all, the facilities process about 14.5 percent of the nation's 232 million tons (210.5 million



**Aerial photograph, waste incinerator, RZR, AGR, Herten, Ruhr district, North Rhine, Westphalia, Germany, Europe.**  
 (© *imagebroker.net / SuperStock*)

metric tons) of municipal solid waste produced each year.

There are several types of combustion facilities in operation. At incinerators, mixed trash goes in one end unsorted, and it is all burned together. The resulting ash is typically placed in a landfill.

At mass burn incinerators, also known as mass burn combustors, the heat generated from the burning material is turned into useable electricity. Mixed garbage burns in a special chamber where temperatures reach at least 2000°Fahrenheit (109°C). The byproducts are ash, which is landfilled, and combustion gases. As the hot gases rise from the burning waste, they heat water held in special tubes around the combustion chamber. The boiling water generates steam and/or electricity. The gases are filtered for contaminants before being released into the air.

Modular combustion systems typically have two combustion chambers: one to burn mixed trash and another to heat gases. Energy is recovered with a heat recovery steam generator. Refuse-derived fuel

combustors burn presorted waste and convert the resulting heat into energy.

One major problem with incineration is air pollution. Even when equipped with scrubbers, many substances, some of them toxic, are released into the atmosphere. In the United States, emissions from incinerators are among targets of the Clean Air Act, and research continues on ways to improve the efficiency of incinerators. However, many environmentalists steadfastly object to the use of incinerators.

Incinerators burning municipal solid waste produce the pollutants carbon monoxide, sulfur dioxide, and particulates containing heavy metals. The generation of pollutants can be controlled by proper operation and by the proper use of air emission control devices, including dry scrubbers, electrostatic precipitators, fabric filters, and proper stack height.

Dry scrubbers wash particulate matter and gases from the air by passing them through a liquid. The scrubber removes acid gases by injecting a lime slurry

into a reaction tower through which the gases flow. A salt powder is produced and collected along with the fly ash. The lime also causes small particles to stick together, forming larger particles that are more easily removed.

Electrostatic precipitators use high voltage to negatively-charge dust particles, then the charged particulates are collected on positively charged plates. This device has been documented as removing 98 percent of particulates, including heavy metals; nearly 43 percent of all existing facilities use this method to control air pollution.

Fabric filters or baghouses consist of hundreds of long fabric bags made of heat-resistant material suspended in an enclosed housing which filters particles from the gas stream. Fabric filters are able to trap fine, inhalable particles, up to 99 percent of the particulates in the gas flow coming out of the scrubber, including condensed toxic organic and heavy metal compounds. Stack height is an extra precaution taken to assure that any remaining pollutants will not reach the ground in a concentrated area.

Using the Best Available Control Technology (BAT), the National Solid Wastes Management Association states more than 95 percent of gases and fly ash are captured and removed. However, such state-of-the-art facilities are more the exception than the rule. In a study of 15 mass burn and refuse-derived fuel plants of varying age, size, design, and control systems, the environmental research group INFORM found that only one of the 15 achieved the emission levels set by the group for six primary air pollutants (dioxins and furans, particulates, carbon monoxide, sulfur dioxide, hydrogen chloride, and nitrogen oxides). Six plants did not meet any. Only two employed the safest ash management techniques.

Ash is the solid material left over after combustion in the incinerator. It is composed of noncombustible inorganic materials that are present in cans, bottles, rocks and stones, and complex organic materials formed primarily from carbon atoms that escape combustion. Municipal solid waste ash also can contain lead and cadmium from such sources as old appliances and car batteries.

Bottom ash, the unburned and unburnable matter left over, comprises 75–90 percent of all ash produced in incineration. Fly ash is a powdery material suspended in the flue gas stream and is collected in the air pollution control equipment. Fly ash tends to have higher concentrations of certain metals and organic materials, and comprises 10–25 percent of total ash generated.

The greatest concern with ash is proper disposal and the potential for harmful substances to be released into the groundwater. Federal regulations governing ash are in transition because it is not known whether ash should be regulated as a hazardous or nonhazardous waste as specified in the Resource Conservation and Recovery Act (RCRA) of 1976.

EPA guidelines for handling ash include: ash containers and transport vehicles must be leak-tight; groundwater monitoring must be performed at disposal sites; and liners must be used at all ash disposal landfills.

While waste-to-energy plants can decrease the volume of solid waste by 60–90 percent and at the same time recover energy from discarded products, the cost of building such facilities is too high for most municipalities. The \$400 million price tag for a large plant is prohibitive, even if the revenue from the sale of energy helps offset the cost. But without a strong market for produced energy, the plant may not be economically feasible for many areas.

The Public Utility Regulatory Policy Act (PURPA), enacted in 1979, helps to ensure that small power generators, including waste-to-energy facilities, will have a market for produced energy. PURPA requires utilities to purchase such energy from qualifying facilities at avoided costs, that is, the cost avoided by not generating the energy themselves. Some waste-to-energy plants are developing markets themselves for steam produced. Some facilities supply steam to industrial plants or district heating systems.

Another concern over the use of incinerators to solve the garbage dilemma in the United States is that materials incinerated are resources lost—resources that must be recreated with a considerable effort, high expense, and potential environmental damage. From this point of view, incineration represents a failure of waste disposal policy. Waste disposal, many believe, requires an integrated approach that includes reduction, recycling, composting, and landfilling, along with incineration.

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## Solid waste landfilling

The Resource Conservation and Recovery Act (RCRA) defines solid waste as garbage, refuse, sludge from sewage treatment plants, ash from incinerators, mining waste, and construction and demolition materials. It also includes some small quantities of hazardous waste. There are several acceptable methods for disposing of these wastes. These include incineration, composting, recycling, industrial surface impoundments, and landfills. In terms of personal inconvenience, disposing of waste in landfills is easier than the other methods. Waste can have any shape or condition prior to being placed into a landfill. This is different from composting and incineration. Waste to be composted must be biodegradable and have maximal surface area to hasten the process of composting. Waste to be incinerated must be combustible and be reduced into small pieces to maximize surface area and promote burning. Waste to be disposed of in a landfill is usually broken down with heavy machinery, then compacted and placed into specially prepared sites. Formerly, waste was dumped into unused sand or gravel pits. In 2002, most waste was placed into sanitary landfills. These are specially prepared sites into which drains and special linings have been installed prior to the placement of waste. In sanitary landfills, waste is compacted each day and covered with a layer of dirt to decrease odor and discourage flies and other organisms such as rats, mice and birds that can transmit disease.

In 1960, each American generated 2.7 pounds (1.2 kilograms) of solid waste. This amount increased to 4.3 pounds (1.9 kilograms) per person by 1990. Americans continue to generate more solid waste each day but the rate of growth has decreased. In 2000, each person generated 4.5 pounds (2.0 kilograms) each day, and in 2007, the amount of waste generation per person per day increased to 4.6 pounds (2.1 kilograms). The figure for 2010 is similar to 2007. The population

continues to increase. The net effect is to create increased amounts of municipal waste. The supply of available landfill space is rapidly decreasing. The attitude of not in my backyard (NIMBY) further slows the development and construction of new landfills. With fewer landfills, the cost of sanitary waste disposal has dramatically increased. The composition of waste dumped into landfills is also important in terms of capacity and useful life. In an attempt to conserve land space and reduce other, long term problems, some municipalities have banned the deposition of certain materials such as car batteries, used tires, motor oil, yard waste, and appliances.

In 1980, 81 percent of solid waste was buried in landfills; in 1990 the amount had decreased to 67 percent. In 2000, the amount of solid waste put into landfills again increased to approximately 75 percent (it has remained about the same to 2010). This decrease through 1990 was the result of a concentrated effort by federal and local organizations to address problems associated with landfills. Experts differ as to the reasons for the increase. Many attribute it to decreased participation in recycling programs. In addition, contamination of surface and ground waters near landfills has been reported for the past two decades. Increased concern about the environment has generated an increase in recycling and composting programs, thus resulting in high rates of recycling and composting and less waste being deposited into landfills. From 2007 through to 2010, approximately 254 million tons (230 million metric tons) of waste was generated in the U.S. Of this amount, only 54 percent was landfilled, with the remaining being recycled, composted, or incinerated with energy recovery.

The U.S. had approximately 3500 active landfills in 1995. By 2007, the number had decreased to about 1700, and has remained fairly constant since (as of 2010). However, the size of the remaining landfills has increased. The concern over landfills means that the Environmental Protection Agency continues to recommend source reduction, recycling, and incineration as the preferred waste management solutions. Placing solid waste in landfills is the least desirable method for disposing of solid waste. Landfill sites need to be carefully planned with safeguards against groundwater contamination and the release of landfill gas. Despite the difficulties landfill remains an acceptable alternative that is far superior to unrestricted dumping.

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Solid waste management see **Waste management**.

## Solid waste recycling and recovery

Recycling is the recovery and reuse of materials from wastes. Solid waste recycling refers to the reuse of manufactured goods from which resources such as steel, copper, or plastics can be recovered and reused. Recycling and recovery is only one phase of an integrated approach to solid waste management that also includes reducing the amount of waste produced, composting, incinerating, and landfilling.

Municipal solid waste (MSW) comes from household, commercial, institutional, and light industrial sources, and from some hospital and laboratory sources. By 2000 the United States produced nearly 232 million tons (210.5 million metric tons) of MSW per year, almost 4.5 pounds (2 kg) per resident per day.

Recycling is a significant way to keep large amounts of solid waste out of landfills, conserve resources, and save energy.

The technology of recycling involves collection, separation, preparing the material to buyer's specifications, sale to markets, processing, and the eventual reuse of materials. Separation and collection is only

the first step; if the material is not also processed and returned to commerce, then it is not being recycled. In many parts of the country, markets are not yet sufficiently developed to handle the growing supply of collected material.

Intermediate markets for recyclable materials include scrap dealers or brokers, who wait for favorable market conditions in which to sell their inventory. Final markets are facilities where recycled materials are converted to new products, the last phase in the recycling circle.

The materials recycled today include aluminum, paper, glass, plastics, iron and steel, scrap tires, and used oil. Aluminum, particularly cans, is a valuable commodity. By the late 1980s, over 50 percent of all aluminum cans were recycled. Recycling aluminum saves a tremendous amount of energy: it takes 95 percent less energy to produce an aluminum can from an existing one rather than from ore. Other aluminum products that are recycled include siding, gutters, door and window frames, and lawn furniture.

Over 40 percent of the paper and paperboard used in the U.S. is collected and utilized as either raw material to make recycled paper, or as an export to overseas markets. Recycled paper shows up in newsprint, roofing shingles, tar paper, and insulation. Other recyclable paper products include old corrugated containers, mixed office waste, and high-grade waste paper. Contaminants must be removed from paper products before the remanufacture process can begin, however, such as food wastes, metal, glass, rubber, and other extraneous materials.

The market for crushed glass, or cullet, has increased. Recycled glass is used to make fiberglass and new glass containers. About 1.25 million tons (1.14 million metric tons) of glass is recycled annually in the United States.

Three types of plastic are successfully being recycled, the most common being PET (polyethylene terephthalate), or soft drink containers. Recycled PET is used for fiberfill in sleeping bags and ski jackets, carpet backing, automobile bumpers, bathtubs, floor tiles, and paintbrushes. HDPE plastic (high density polyethylene) is used for milk jugs and the bottoms of soft drink bottles. It can be recycled into trash cans and flower pots, among other items. Polystyrene foam is crushed into pellets and turned into plastic lumber for benches and walkways. Commingled plastics are recycled into fence posts and park benches.

Iron and steel are the most recycled materials used. The material is remelted and shaped into new products.

More than one billion discarded tires are stockpiled in the United States, but scrap tires can be shredded and used for asphalt-rubber or retreading; are incinerated for fuel; or used to construct artificial marine reefs.

Used oil is a valuable resource, and of the 1.2 billion gallons (4.5 billion L) generated annually, two-thirds is recycled. The rest, about 400 million gallons (1.5 billion L), is disposed of or dumped. About 57 percent of used oil is reprocessed for fuel, 26 percent is refined and turned into base stock for use as lubricating oil, and about 17 percent is recycled for other uses.

Composting is the aerobic biological decomposition of organic waste materials, usually lawn clippings. Composting is not an option for a major portion of the solid waste stream, but is an important component of the resource recovery program.

Recycling collection methods vary, but curbside collection is the most popular and has the highest participation rates. It is also the most expensive way for municipalities to collect recyclables in their communities. Collection centers do not yield as many recyclables because residents must do the sorting themselves, but centers offer the most affordable method of collection.

Precycling is an option that is gaining widespread recognition in this country. Basically, precycling refers to the consumer making environmentally sound choices at the point of purchase. It includes avoiding products with extra packaging, or products made to satisfy only short-term needs, such as disposable razors.

Resource recovery or materials recovery is the recycling of waste in an industrial setting. It does not involve recycling consumer waste or municipal solid waste, but includes reprocessed industrial material that, for whatever reason, is not able to be used as it was initially intended. Some consumer groups are pressing for government guidelines on labeling packaging or products “reprocessed” as opposed to “recycled.”

While the Environmental Protection Agency (EPA) insists that no single alternative to the municipal solid waste problem should be relied upon, its generally accepted hierarchy of waste management alternatives is (1) source reduction and (2) reusing products. Waste that is not generated never enters the waste stream.

If recycling is to be used as a genuine MSW management alternative rather than a “feel good” way to conserve resources, then materials must be recovered and made into new products in large quantities. For some materials, however, an insufficient market exists, so communities must pay to have some recyclable materials taken away until a market is developed.

Recycling programs depend on the will of the community to follow through, and in many areas, response is weak and enforcement lacking.

Recent EPA regulations seriously affected the number of operable landfills. The requirements include installing liners, collecting and treating liquids that leach, monitoring groundwater and surface water for harmful chemicals, and monitoring the escape of methane gas. These regulations will increase the number of corporate-run landfills, but the cost of building and maintaining a landfill that adheres to the regulations will top \$125 million. The end cost to consumers to have trash hauled away may also force many garbage makers to become reducers, reusers, and recyclers.

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## Solid waste volume reduction

Solid waste volume reduction can take place at several points in the waste management process. Solid waste volume reduction can take the form of precycling or reuse behavior on the part of consumers. This behavior reduces solid waste at the source and prevents materials from ever entering the waste stream. Precycling on the part of consumers is the best initial

activity to reduce the volume of solid waste. Reuse is also a measure that prevents or delays the migration of materials to the landfill. Once the decision is made that a product is no longer useful and needs to be discarded, there are several management techniques that can be used.

Recycling diverts large volumes of materials from the waste stream to a manufacturing process. Glass, plastic, paper, cardboard, and other clean, source-separated materials are well-suited for use in the manufacture of new products. As markets become more readily available, the volume of materials that end up in landfills will be reduced even more. Such troublesome wastes as tires, appliances and construction debris are targets of serious recycling efforts because they are large-volume items, take up a lot of space in landfills, and do not provide good fuel for waste-to-energy facilities in their discarded state. Once recyclables have been separated from the waste stream, solid waste can be further reduced in volume through several methods.

Compaction of waste materials after source separation is useful for two reasons. Compaction prepares waste for efficient transport by truck, boat or rail car to landfills or other waste disposal facilities. Compacted waste takes up less space in a landfill, thereby extending the life of the landfill. In some cases, compacted waste can be stored for later disposal. It must be understood that the compaction of waste should only be done after all recyclables have been removed since this process contaminates post-consumer materials and makes it almost impossible to recover them for a manufacturing process.

Incineration has long been accepted as a waste volume reduction technique. The burning of solid waste can reduce the volume by 95 percent. In the early 1920s, incineration was used to reduce waste materials to what was thought of as a harmless ash. We now know that contaminants can become concentrated in the ash, qualifying it as a hazardous waste. It wasn't until the mid-1970s that waste-to-energy facilities using incineration were considered a viable option, as a consequence of the added benefit of energy supply. As of 2010, most states now require that the residual ash from burning municipal solid waste be landfilled in a monofill. A monofill is a landfill compartment that can only be used for incinerator ash.

Composting is another volume reduction technique that can divert large volumes of waste material from the waste stream. Leaves, grass clippings and tree prunings can be reduced in volume through an active composting process both in the backyard and in

municipal composting yards. Individual homeowners can compost in their back yards by using simple rounds of wire fencing placed in a shady, cool corner. The composting materials need to be managed through the addition of moisture, temperature monitoring, and frequent turning, until they become a rich soil for the garden. Municipal yards perform the same process on a much larger scale and then use the resulting soil in city parks or give it back to the homeowners for personal use. These solid waste volume reduction techniques for organics in the waste stream are simple and low cost and can be very effective.

Methods for encouraging solid waste volume reduction at the individual household level consist of various incentive programs. The most common technique is a system of volume-based user fees. With this method, homeowners are given free bags into which they can put anything that is designated by the city as a recyclable material. Distinctly different bags are sold at the local grocery store or city hall. These bags usually sell for somewhere between \$2 and \$5 a package and must be used for all materials destined for disposal. Homeowners who want to reduce their garbage disposal costs would try to reduce the number of purchased bags they need to use. They can do this through a concerted effort of solid waste volume reduction. Careful decisions at the supermarket to reduce packaging and single-use items, reuse of packaging and containers, careful source separation of recyclables, and composting of all organically based materials can reduce the volume of solid waste considerably and reduce the cost of garbage service. An even greater effort can be launched by dedicated individuals by taking used clothing to consignment shops or donating it to organizations for distribution to needy populations, taking clothes hangers back to cleaning establishments, and requesting that junk mail no longer be sent to their households. Of course, there is also the ongoing debate on using disposable diapers, which greatly add to the volume of the waste stream.

Businesses that contribute to the reduction of solid waste are those that have been set up to take back, repair, and reuse products and materials that would otherwise end up in the waste stream. Small appliance repair shops can recondition appliances and resell them. Businesses that repair wood pallets make a relatively new contribution to the effort to reduce the waste volume. Tires are being chipped for fuel in waste-to-energy incinerators, and are also being pulverized for use in soils for playing fields. All of these volume reduction techniques have a significant effect on how we live and how we do business. The goal of solid waste volume reduction is to view the waste stream as a resource to be "mined," leaving only

those items behind that have truly outlived their usefulness.

*See also* Solid waste incineration; Yard waste.

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## Solidification of hazardous materials

Solidification refers to a process in which waste materials are bound in a solid mass, often a monolithic block. The waste may or may not react chemically with the agents used to create the solid. Solidification is generally discussed in conjunction with stabilization as a means of reducing the mobility of a pollutant. Actually, stabilization is a broad term which includes solidification, as well as other chemical processes that result in the transformation of a toxic substance to a less or non-toxic form.

Experts often speak of the technologies collectively as solidification and stabilization (S/S) methods. Chemical fixation, where chemical bonding transforms the toxicant to non-toxic form, and encapsulation, in which toxic materials are coated with an additive, are processes referred to in discussions of S/S methods. There are currently about forty different vendors of S/S

services in the United States, and though the details of some processes are privileged, many fundamental aspects are widely known and practiced by the companies.

S/S technologies try to decrease the solubility, the exposed surface area, and/or the toxicity of a hazardous material. While the methods also make wastes easier to handle, there are some disadvantages. Certain wastes are not good candidates for S/S. For example, a number of inorganic and organic substances interfere with the way that S/S additives will perform, resulting in weaker, less durable, more permeable solids or blocks. Another disadvantage is that S/S methods often double the volume and weight of a waste material, which may greatly affect transportation and final disposal costs (not considering potential costs associated with untreated materials contaminating the environment). S/S additives, such as encapsulators, are available that will not increase the weight and volume of the wastes so dramatically, but these additives tend to be more expensive and difficult to use.

Methods for S/S are characterized by binders, reaction types, and processing schemes. Binders may be inorganic or organic substances. Examples of inorganic binders which are often used in various combinations include cements, lime, pozzolans, which react with lime and moisture to form a cement such as fly ash, and silicates. Among the organic types generally used are epoxies, polyesters, asphalt, and polyolefins (e.g. polyethylene). Organic binders have also been mixed with inorganic types, e.g., polyurethane and cement. The performance of a binder system for a given waste is evaluated on a case-by-case basis; however, much has been learned in recent years about the compatibility and performance of binders with certain wastes, which allows for some intelligent initial decisions related to binder selection and processing requirements.

Among the types of reactions used to characterize S/S are sorption, pozzolan, pozzolan-portland cement, and thermoplastic microencapsulation. Sorption refers to the addition of a solid to sorb free liquid in a waste. Activated carbon, gypsum, and clays have been used in this capacity. Pozzolan reactions typically involve adding fly ash, lime, and perhaps water to a waste. The mixture of fly ash, lime, and water form a low-strength cement that physically traps contaminants. This system is very alkaline and therefore may not be compatible with certain wastes. For example, a waste containing high amounts of ammonium ions would pose a problem because, under highly alkaline conditions, the toxic gas ammonia would be released. Also, sodium borate,

carbohydrates, oil, and grease are known to interfere with the process.

The pozzolan-portland cement process consists of adding a pozzolan, often fly ash, and a portland cement to a waste. It may be necessary to add water if enough is not present in the waste. The resulting product is a high-strength matrix that primarily entraps contaminants. The performance of the system can be enhanced through the use of silicates to prevent interference by metals, clays to absorb excessive liquids and certain ions, surfactants to incorporate organic solvents, and a variety of sorbents that will hold on to toxicants as the solid matrix forms. Care is needed in selecting a sorbent because, for example, an acidic sorbent might dissolve a metal hydroxide, thereby increasing the mobility of the metal, or result in the release of toxic gases such as hydrogen sulfide or hydrogen cyanide. Borates, oil, and grease can also interfere with this process.

Thermoplastic encapsulation is accomplished by blending a waste with materials such as melted asphalt, polyethylene, or wax. The technique is more difficult and costly than the other methods introduced above because specialized equipment and higher temperatures are required. At these higher temperatures it is possible that certain hazardous materials will violently react. Additionally, it is known that high salt levels, certain organic solvents, and grease will interfere with the process.

There are basically four categories of processing schemes for S/S. For in-drum processing, S/S additives and waste are mixed and allowed to solidify in a drum. The drum and its contents are then disposed. In-plant processing is a second category which refers simply to performing S/S procedures at an established facility. The facility might have been designed by a company for their own wastes or as a S/S plant which serves a number of industries.

A third category is mobile-plant processing, in which S/S operations are moved from site to site. The fourth category is *in-situ* processing which involves adding S/S additives directly to a lagoon or contaminated soil.

As may be inferred from the above discussion, the goals of S/S operations are to remove free liquids from a waste, generate a solid matrix that will reliably contain hazardous materials, and/or create a waste that is no longer hazardous. The first goal is important because current regulations in the Resource Conservation and Recovery Act (RCRA) stipulate that free liquids are not to be disposed of in a landfill. The third goal is obviously important because disposing of a

hazardous waste, called delisting, is much more costly and time-consuming than disposing of a regular waste.

Wastes are deemed to be hazardous on the basis of four characteristic tests and a series of listings. The tests are related to the ignitability, reactivity, corrosiveness, and extraction procedure (EP) toxicity of the waste. It is possible that S/S procedures delist a waste in any of the four test characteristics. The processes may also chemically transform a substance listed as hazardous waste by the Environmental Protection Agency (EPA) into a non-hazardous chemical. However, S/S techniques generally delist a waste through effecting a change in the results of the EP toxicity test, related to the goal of proper containment. If a waste can be contained well, it may pass the extraction test.

The extraction procedure has changed somewhat over the years. Originally, the solid waste to be tested was stirred in a weakly acidic solution overnight, and then the supernatant was tested for certain inorganic and organic agents. The test has been replaced by what is known as the Toxicity Characteristic Leaching Procedure (TCLP). Shortcomings of the original extraction procedure were recognized many years ago, as Congress directed the EPA to develop a more reliable, second generation test through the Hazardous and Solid Waste Amendments of 1984. Work on the TCLP test actually began in 1981. In the TCLP, a solid waste is again suspended in an acidic solution, but the method of contacting the liquid with the solid has been changed. The suspension is now placed in a container that revolves about a horizontal axis, tumbling solids amidst the extracting solution. The extraction is allowed to proceed for 18 hours, after which time the solution is filtered and tested for a variety of organic and inorganic substances. The number of compounds tested under the TCLP is greater than the number measured for the original EP test. Failing the TCLP test dictates that a waste is hazardous and must be managed as such.

*See also* Hazardous Materials Transportation Act (1975); Storage and transport of hazardous material.

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## Sonic boom

When an object moves in a fluid, it displaces that fluid to create a shock wave. The atmosphere also behaves like a fluid with regard to wave transmission. A sonic boom is a shock wave produced when an object travels through air at a speed greater than the speed of sound. The speed of sound depends on several factors including air temperature and pressure (i.e., altitude). At sea-level atmospheric pressure, with air temperatures of 59°Fahrenheit (15°C), the speed of sound is about 760 miles per hour (1,225 kph). Since the commercial supersonic airliner the *Concorde* was retired, supersonic speeds by aircraft are limited to military and special development aircraft.

## Sorption

Term generally referring to either adsorption or absorption. Adsorption is the process by which one material attaches itself to the surface of a second material. Some air pollutants settle out and coat the outer surface of a building, for example. Absorption is the process by which one material soaks into a second material. Liquid air pollutants may actually soak into the surface of a building. The term sorption is most commonly used when the exact process involved, adsorption or absorption, is unknown. Various sorption methods are employed to reduce pollutants from contaminated air and water.

Source reduction see **Solid waste**.

## Source separation

Source separation is the segregation of different types of solid waste at the location where they are generated (a household or business). The number and types of

categories into which wastes are divided usually depends on the collection system used and the final destination of the wastes. The most common reason for separating wastes at the source is for recycling. Recyclables that are segregated from other trash are usually cleaner and easier to process. Yard wastes are often separated so they may be composted or used as mulch. Some experimental municipal recycling projects also require homeowners to separate household compostibles such as food scraps, coffee grounds, bones, and disposable diapers. Some studies suggest that as much as 30 percent of household waste may be compostible; another 40 percent may be recyclable.

Separate collection of household trash, recyclables, and yard waste is gaining popularity in the United States. In some communities source separation is mandated, while in others it is voluntary. Many cities provide residents with recycling bins to be filled with recyclables and placed next to garbage cans on collection day. Source-separated yard waste is usually placed in plastic bags or bundled if it is bulky, like tree trimmings. In areas where curbside collection of recyclables and yard waste is not available, residents often take these source-separated wastes to drop-off centers, or sell recyclables to buy-back facilities. For source-separated recycling programs to be successful, citizen participation is essential. Incentives to increase participation, such as reduced trash collection charges for recyclers, are sometimes implemented.

Household recyclables that are source separated from trash can either be commingled (all recyclables mixed together in one container) or segregated into individual containers for each material (i.e., glass, newspaper, aluminum). Commingled recyclables are eventually separated manually, mechanically, or by some combination of both at transfer stations or materials recovery facilities. In some cases, commingled recyclables are manually separated at the curbside by the collection crew. Recyclables that residents have separated into individual containers are usually collected in trucks with compartments for each material. The collected materials are then processed further at materials-recovery facilities or other types of recycling plants.

Many businesses also separate their solid wastes. This can be as simple as placing recycling bins next to soda-vending machines in employee cafeterias or more complex separation systems on assembly lines. One of the most prevalent wastes from the commercial sector is corrugated cardboard (13 percent of municipal solid waste generated). Once it becomes contaminated by other wastes, it may not be suitable for recycling. Some businesses find it easier and more economical to

separate and bale corrugated cardboard for recycling because this can reduce their waste-disposal costs.

Source-separation programs can reduce the undesirable effects of landfills or incinerators. For instance, batteries and household chemicals can increase the toxicity of landfill leachate, air emissions from incinerators, and incinerator ash. In addition, some potentially noncombustible wastes, such as glass, can reduce the efficiency of incinerators. Reducing the volume of residual ash is another incentive for diverting wastes from incineration.

Recyclables and special wastes can be retrieved from the waste stream without source separation programs. Many communities find it more convenient or economical to separate wastes after collection. In these programs, recyclables and special wastes are manually or mechanically separated at transfer stations or materials-recovery facilities. Separating recyclables in this way may require more labor and higher energy costs, but it's more convenient for residents since it requires no extra effort beyond regular trash disposal procedures.

Source separation may be only one part of an overall community recycling program. These, in turn, are components of more comprehensive waste-management strategies. To reduce the environmental impact of waste disposal, the Environmental Protection Agency (EPA) encourages communities to develop strategies to decrease landfill use and lower the risks and inefficiencies of incineration. Waste reduction and recycling are considered to be the most environmentally beneficial methods to manage waste.

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## South

The United Nations Conference on Environment and Development (also known as Earth Summit), held in Rio de Janeiro in June of 1992, illuminated some major

differences among the nations of the world, differences that are summarized in the terms North and South. The latter term refers to nations of the Southern Hemisphere—developing nations that have significantly different environmental concerns from those of their northern neighbors. These concerns arise primarily from rapid population growth, low levels of technological and industrial development, and generally difficult living conditions.

Three agreements were reached during the conference, which was attended by representatives from 172 countries. Agenda 21 focused on global cooperation for sustainable development in terms of social and economic issues as well as environmental issues concerning the conservation of worldwide natural resources. Sustainable development practices ensure that actions taken in the present will maintain and preserve the state of the environment and the economy for the future. The second of these agreements, the Rio Declaration on Environment and Development, outlined the rights and principles of the participating states. The Statement of Forest Principles was a set of principles agreed upon for sustainable development of forests worldwide. Additionally, two other conventions were established based on environmental and natural resource concerns: the Convention on Biodiversity and the Framework Convention on Climate Change.

The distinction between countries of the North and South did not originate with the Rio conference. It was popularized by a report delivered in 1980 to the Secretary-General of the United Nations by a commission of eighteen politicians headed by Willy Brandt (1913–1992), the former Chancellor of West Germany. The report, which was entitled *To Ensure Survival—Common Interests of the Industrial and Developing Countries*, is sometimes referred to as the Brandt Report. It recommended a marked rise in development assistance from the developed countries to the countries of the South. The wealthy countries were to increase their official (or overseas) development assistance (ODA) to 0.7 percent of their gross national product (GNP, total goods and services produced by citizens of a country) by 1985, and to 1 percent by 2000. The Brandt Report was followed by a second study in 1983, *Common Crisis North–South: Cooperation for World Recovery*. This report noted that cooperation between the North and South was necessary because each depends on the other. The South depends on the North for financial institutions and technology, and the North is dependent on the south for tropical cash crops and raw materials. This second study predicted conflict and catastrophe

if the imbalance between North and South was not corrected.

An important conclusion that was drawn from the Rio conference is that the marked differences between North and South must somehow be reduced if global problems are ever to be solved. Follow up meetings in New York (Earth Summit + 5, June 1997) and the World Summit on Sustainable Development in Johannesburg (August and September 2002) were designed to encourage world leaders to adopt concrete steps and identify quantifiable targets for better implementation of Agenda 21 of the 1992 Summit. Instead of an increase in ODA, a marked decrease in aid occurred, in terms of both absolute dollar amounts and GNP percentages. As of 2002, ODA contributions by all Organization for Economic Cooperation and Development (OECD) countries fell from a high of \$59.6 billion (in US dollars) in 1994 to an estimated \$56.0 billion in 1999. ODAs share of the North's GNP fell accordingly from 0.30–0.24 percent. Policy recommendations that were made in 2001 included a proposal to finance the costs of development by an international tax on energy consumption and carbon dioxide (CO<sub>2</sub>) emissions. Almost thirty years after the Brandt Report, the current results are encouraging. In 2005, donors agreed to increase ODA aid from the \$80 billion reported in 2004 to \$130 billion in 2010. By 2008, the ODA commitments totaled \$119.8 million.

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Rebecca J. Frey

## SpaceShip Earth

*SpaceShip Earth* is a metaphor which suggests that the Earth is a small, vulnerable craft in space.

Adlai Stevenson used the metaphor in his presidential campaign speeches during the 1950s, but it is not clear who originated it. Perhaps R. Buckminster Fuller was most responsible for popularizing it; he wrote a book entitled *Operating Manual for Spaceship Earth* and was described by one biographer as the ship's "pilot." Fuller was impressed by how negligible the craft was in the infinity of the universe, how fast it was flying, and how well it had been "designed" to support life.

Fuller took the metaphor further, noting that what interested him about the Earth was "that it is a mechanical vehicle, just as is an automobile." He noted that people are quick to service their automobiles and keep them in running condition but that "we have not been seeing our *SpaceShip Earth* as an integrally-designed machine which to be persistently successful must be comprehended and serviced in total." He did observe one difference between the spaceship and a car: there is no owner's manual for the earth. The lack of operating instructions was significant to Fuller because it has forced humans to use their intellect; but he also maintained that "designed into this *SpaceShip Earth's* total wealth was a big safety factor" which allowed the support system to survive human ignorance until that intellect was sufficiently developed. It was Fuller's life-long quest to persuade humans to use their intellect and become good pilots and mechanics for *SpaceShip Earth*. He was an optimist, and asserted that humans are all astronauts—"always have been, and so long as we exist, always will be"—and as such can learn the mechanics of the system well enough to operate the vehicle satisfactorily.

Political scientist Barbara Ward borrowed the phrase from Fuller to claim that "planet earth, on its journey through infinity, has acquired the intimacy, the fellowship, and the vulnerability of a spaceship."

She claimed this image to be “the most rational way of considering the whole human race today.” Humans must begin to see humanity “as the ship’s crew of a single spaceship on which all of us, with a remarkable combination of security and vulnerability, are making our pilgrimage through infinity.” Ward used the metaphor to argue for the reality of global community: “This is how we have to think of ourselves. We are a ship’s company on a small ship. Rational behavior is the condition of survival.” The rational behavior she advocated was building the institutions, the laws, the habits, and the traditions needed to get along together in the world.

Nigel Calder appreciated the value of the spaceship metaphor and described it in more specific terms: “Whether its watchkeepers were microbes or dinosaurs, the Earth system of rocks, air, water and life worked like the life-support system of a manned spacecraft.” He went on to suggest that “the gas and water tanks of *Spaceship Earth* are the air and the oceans” and that “the sun is the spaceship’s main power supply.” Calder used the metaphor as an introduction to a detailed consideration of what he describes as a new “Earth-system science,” moving from there to a depiction of the globe as a total system.

Kenneth Boulding, an economist, has made essentially the same point, describing an inevitable transition from a “cowboy economy” where support systems are open with no linkage between inputs and outputs, to a “spaceman” economy where “the earth has become a single spaceship, without unlimited reservoirs of anything, either for extraction or for pollution, and in which, therefore, man must find his place in a cyclical ecological system which is capable of continuous reproduction of material form even though it cannot escape having inputs of energy.”

The purpose of the spaceship metaphor is to persuade people that the earth has limits and that humans must respect those limits. It provides a modern, new-age image of a small, comprehensible system, which many people can understand. In that sense, the metaphor helps people understand their relationship to the environment by depicting a system, as Ward notes, that is small enough to be vulnerable and needs to be cared for if it is to sustain life.

But the image can also be delusive, even specious, with negative implications not generally recognized. A spaceship is an artifact, a structure of human creation. Some have argued that depicting the earth as such is seductive, but borders on the arrogant by implying, as Fuller seems to, that humans can completely “control” the operations of the earth. Furthermore, spaceships

are commonly thought of as small and crowded, with a life-support system devoted exclusively to human inhabitants. Since humans are using more and more of the planet’s resources for their own benefit, and the pressure of an increasing human population is extinguishing other life-forms, some environmentalists argue that the spaceship metaphor should be used with caution.

*See also* Environmental ethics; Green politics.

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Gerald L. Young

Spanish flu *see* **Flu pandemic.**

## Spawning aggregations

Spawning aggregation is defined as a group of fish of the same species that are gathered together for the purpose of spawning—releasing sperm or eggs for the purpose of reproduction. The fish population that is together at this time is significantly greater than during periods the fish are not reproducing. For fish whose habitat is stable, drawing aggregations revolves around a relatively small area. In transient populations the individuals might travel for days or weeks in order to reach the aggregation site.

The U.S. Fish and Wildlife Service (FWS) oversees the recovery of species listed under the Endangered Species Act. For fish, that goal is tended through the National Fish Hatchery System—coordinating efforts between hatcheries and fisheries management. As a result, national fish restoration programs have returned abundance to the populations of various species, including the Great Lakes lake trout, the Atlantic coast striped bass, Atlantic salmon, and Pacific salmon.

Much of the success has come through the agency's captive propagation programs. And, according to the FWS, "The success of captive propagation for recovery depends upon a number of things, including careful genetics planning and management, concurrent habitat restoration, thorough evaluation studies—and funding. Propagation of imperiled fish species is often more than twice as costly as rearing non-native game fish due to genetic analyses, special diet requirements, and rearing conditions that enhance survival in the wild, along with rigorous monitoring and evaluation studies." A critical factor in the reproduction of endangered species is the understanding of spawning aggregations.

In various coastal systems in the United States and throughout the world, fisheries management relies on the knowledge of spawning aggregation locations. It is crucial to understanding how to protect the populations, as well as key to maintaining the ecological balance of marine life.

Reef fish are more vulnerable to disruption of spawning aggregations because many species only aggregate for brief time periods. If reef fish spawning aggregations are fished during the activity, then their populations are depleted due to unsuccessful reproduction. There are many reef fish species that reproduce in unprotected federal waters of the U.S. Caribbean, the Gulf of Mexico, and the South Atlantic. These species include the black grouper, cubera snapper, gag grouper, gray snapper, jewfish, Nassau grouper, red hind, scamp, and yellowfin grouper.

Two examples of decline in particular fish populations, according to Mark W. Sprague of the Department of Physics at East Carolina University in Greenville, North Carolina, in (2000) are the weakfish (*Cynoscion regalis*), and the red drum (*Sciaenops ocellatus*). It was his theory that using fish sounds could assist researchers in identifying their spawning activity. In the abstract presented for his presentation at the conference Sprague presented this preview of his presentation. "Weakfish and red drum, both members of family Sciaenidae, use their swim bladders to produce species-specific sounds associated with spawning activity. Large spawning aggregations of these fish can produce sound levels as high as 145 decibels (re: 1[μ]Pa), and these sounds will be presented for each species. Water depth, bottom type and contour, sound-speed gradient, and water current all affect the propagation of the fish sounds through the water. Measurements of these factors and the sound level of the fish calls are used to obtain an approximate range to the spawning aggregation." Sprague's work was

supported by the North Carolina Division of Marine Fisheries and the U.S. Fish & Wildlife Service.

Some debate exists among those who fish commercially regarding whether the federal and state regulations for spawning aggregations are overly conservative. An example of one such debate appeared in *Fishermen's Voice Monthly Newspaper* a periodical of the fishing industry in Maine. The article recounted an aspect of the spawning issues in August 2000. The new plan unveiled there, "calls for a series of three rolling closures of one month duration. Flexible starting dates and options for extending the closures are designed to protect the fish only when they are spawning. Less stringent tolerance levels in the amended plan allow fishing within the closed areas provided the fish landed are less than 20 percent Stage V or Stage VI spawners." The purpose of regulation over spawning areas is intended to protect the spawning populations and therefore protect future populations so that overfishing does not become a problem.

The conservation of fish and the protection of marine wildlife species, such as sharks and whales, depends on the management of spawning aggregations.

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Jane E. Spear

## Special use permit

An authorization from the appropriate governmental agency to another agency or to a private operator to use publicly owned land for limited purposes. The Secretary of Agriculture and the Forest Service issue permits for special uses not explicitly covered by timber, mining, or grazing laws or regulations on lands in the national forest system. Uses must be carefully controlled and be compatible with forest policy as well as with other uses. Commercial users pay a fair market fee to mine stone and gravel, operate ski areas, conduct sporting and planned recreation events, and construct rights-of-way, pipelines, power lines, and microwave stations. Special use permits may be required to conduct archeological explorations or to otherwise use public buildings and space.

## Species

A species is a group of closely related, physically similar beings that can interbreed freely. In practice, the dividing lines between species or between species and subspecies are sometimes unclear.

In sorting out species, biologists search for easily recognized diagnostic characteristics. For example, it is easier to recognize a giraffe by its long neck than by its blood proteins. However, species differ from one another not just by conspicuous features. Members of a species share a common gene pool and have a common geographic range, habitat, and similar characteristics ranging from the biochemical and morphological to the behavioral.

In taxonomy, the hierarchy of biological classification, species is the category just below genus. The major groups in the classification hierarchy are kingdom, phylum (for animals) or division (plants), class, order, family, genus, and species. Taxonomically, a species is designated in italics by the genus name followed by its specific name, as in *Felis domesticus*, or domestic cat. New species are named and existing species names are altered according to the International Rules of Botanical Nomenclature, the International Rules of Zoological Nomenclature, and the International Bacteriological Code of Nomenclature.

Isolating mechanisms prevent closely related species living in the same geographic area from mating with each other. These are called sympatric species, as opposed to allopatric species, which are closely related

species living in different geographical areas. Sympatric species are very common. Bullfrogs, green frogs, wood frogs, and pickerel frogs, all members of the genus *Rana*, may be found in or near the same pond. They are prevented from mating by reproductive isolating mechanisms.

Allopatric speciation allows new species to arise from one or more preexisting species, which is a long, slow process. Most allopatric species evolve when a small population becomes physically isolated from the main part of the species, and gene flow between them stops. Genetic differences spread and accumulate in the small, isolated group. This process is most likely to occur at the border of a species, where environmental conditions exceed the range of tolerance of members of the species and there is a barrier to the species' spread. The barrier could be a mountain range, a desert, forest, large body of water and so on.

Organisms that are part of a region's natural flora and fauna are called native or indigenous species. Those associated with the mature or climax form of community are called climax species. Fragments of a climax species that remain after a major disturbance, such as a forest fire, are referred to as relic species.

Nonnative organisms are called introduced or exotic species. They can wreak havoc when brought deliberately or by accident into a new area where they have no natural enemies or where they compete aggressively with other organisms in the environment. A classic example is the introduction of the zebra mussel (*Dreissena polymorpha*) into the Great Lakes, where it reproduces wildly and has clogged water treatment facilities. In south Florida, the Australian pine (*Casuarina equisetifolia*) has overtaken many coastal areas because it is extremely tolerant of salt spray and otherwise poor beach soils. Its thick foliage and quick rate of multiplication allows the Australian pine to compete with native species for light, food, and space.

All of the plants and animals found in a particular area, whether native or introduced, are called resident species. Where new species adapt well so that they do not need special help to perpetuate, they are said to be acclimatized or naturalized. Invader species are not original to a region but arrive after an area is disturbed, such as by fire or overgrazing. Native species that are the first to recolonize a disturbed area are called pioneer species. Several pioneer species quickly reappeared on and around Mount Saint Helens after the volcano's 1980 eruption.

Species that are especially adapted to a highly variable, unpredictable, or transient environment are called opportunistic species. Within a particular area,

dominant species are those that because of their number, coverage, or size, strongly influence the conditions of other species in the area. For example, certain trees in old-growth forests in the Pacific Northwest are vital to the success of other species in the area. Destruction of the forests by logging also causes the decline of other species, such as the spotted owl (*Strix occidentalis*). In other areas, plants that are sought after by grazing animals are called ice cream species.

So-called indicator species, or indicator organisms, are watched for warning signs that their ecosystems are ill. The decline of migratory songbirds around the world is an indication that nesting and breeding grounds of the songbirds, particularly rain forests, are in danger. Other indicator species are amphibians. Some scientists believe that the decline of many frog and toad populations is a result of environmental pollution. Since amphibians have highly permeable skins, they are particularly vulnerable to environmental degradation such as pesticide pollution. The depletion of the ozone layer, which allows more ultraviolet radiation to penetrate the atmosphere, has been blamed for the decline in other frog populations. Increased ultraviolet radiation is believed to be responsible for the destruction of frog eggs, which are deposited in shallow water. When the striped bass population in Chesapeake Bay declined in 1975, it indicated pollution problems in the water. An indicator species also may be used to show the stage of development, or regeneration, of an ecosystem. For example, land use managers may watch plant species to assess the level of grazing use in rangeland.

If the survival of other species depends on the survival of a single species, that single species is called a keystone species. Where a species plays a role in more than one ecosystem, it is said to be a mobile link species.

A species population has a dimension in space, called a range, and a dimension in time. The population extends backwards in time, merging with other species populations much like the branches of a tree. The population has the potential to extend forward in time, but various factors may prevent the perpetuation of the species. When the continued existence of a species is in question, it is regarded as an endangered species. This causes great concern to conservationists, especially when the species is the only representative of its genus or family, as is the case with the giant panda (*Ailuropoda melanoleuca*). A species found in a very restricted geographic range is endemic or narrowly endemic, and is at special risk of becoming extinct. Extinction results from an imbalance between a species and the environment in which it is living. Many species exist today in name only.

Since the beginning of the twenty-first century, many species have either been declared extinct, or at the very least as *functionally extinct*, which in this context means that there are insufficient numbers of breeding individuals to keep the species viable. Two prime examples of apparent extinctions in recent years are a subspecies of African rhino and a species of river dolphin. After independent surveys in 2006—one in Africa, the other in China—failed to uncover even a single individual, both the West African Black Rhino (*Diceros bicornis longipes*), and the Chinese River (or Baiji) dolphin (*Lipotes vexillifer*) are now considered most likely extinct.

Species richness varies with the type of region, and it is especially diverse in areas of warm or wet places, such as tropical forests. Many biologists are concerned that the unprecedented destruction of tropical forests is forcing to extinction species that have not even been discovered. When a species is lost, it is lost forever, and with it the tremendous potential, especially in medicine, of that species' contributions to the world.

### Polar bear controversy

Listing a species under the Endangered Species Act (ESA) can lead to considerable controversy, since such a listing may impact land use policy so that logging, mining, or other development is curtailed or even banned within the species' habitat. In the case of the polar bear, the ramifications of a listing under the ESA could reach much further than the Arctic. On May 14, 2008, the Secretary of the U.S. Department of the Interior (DOI), Dirk Kempthorne, announced that under the ESA, the polar bear would be listed as a “threatened” species. (“Threatened” means that a species runs a definite risk of becoming endangered; “endangered” status indicates that the species is at great risk of becoming extinct.)

Scientists estimate that there are 20,000 to 25,000 polar bears living in the Arctic, but that within fifty years, as much as two-thirds of the population could be lost due to loss of ice mass in the Arctic Sea, which is attributed to global warming. By listing the polar bear as “threatened”, instead of as “endangered”, the Bush administration in 2008 avoided any need to regulate the pollutant status that many scientists ascribe to greenhouse gases, especially carbon dioxide. Indeed, the DOI produced a special rule exempting carbon dioxide, and other greenhouse gases, from regulation under the Endangered Species Act.

For their part, conservationists wanted to see the polar listed as endangered under the ESA. In their view, an endangered listing would mean that the

government would have to deal with the loss of Arctic ice (which reduces polar bear numbers) by mandating reductions in greenhouse gas emissions. Opponents of endangered status see the polar bear “threatened versus endangered” controversy as merely a pretext used by conservationist groups to allow—or perhaps even force—the U.S. federal government to regulate carbon dioxide emissions in the United States.

On November 4, 2010, a federal judge issued an order compelling the DOI to reconsider the 2008 ruling listing polar bears as threatened instead of endangered. The judge’s order was in response to a lawsuit brought against the DOI by several conservationist groups that wish to see greater protection afforded the polar bear, which includes government regulation of carbon dioxide as a greenhouse pollutant.

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Linda Rehkopf

## Speciesism

This term, popularized by author Peter Singer in his book *Animal Liberation* (1975), refers to a human attitude of superiority over other creatures and a tendency among humans to place the interests of their own species above all others. As Singer puts it, speciesism “is as indefensible as the most blatant racism. There is no basis for elevating membership of one particular species into a morally crucial characteristic. From an ethical

point of view, we all stand on an equal footing—whether we stand on two feet, or four, or none at all. That is the crux of the philosophy of the animal liberation movement . . . Just as we have progressed beyond the blatantly racist ethic of the era of slavery and colonialism, so we must now progress beyond the speciesist ethic of the era of factory farming, seal hunting . . . and the destruction of wilderness.” Opposition to speciesism has become one of the foundations of the modern animal rights movement.

*See also* Environmental ethics.

Species diversity *see* **Biodiversity**.

Spectrometry, mass *see* **Mass spectrometry**.

## Spoil

Normally used to describe overburden removed during strip mining. These operations leave long rows of intermixed rock and soil, commonly described as spoil banks. Often composed of loose shale and placed downhill from the final cut, they block outflow, forming lakes, and in level terrain store large amounts of groundwater. Prior to the 1977 enactment of the Surface Mining Control and Reclamation Act, these man-made landforms were often abandoned, creating a distinctive landscape. Efforts are now made to reclaim these aptly-named “orphan” lands. However, environmental scientists often argue that unless properly engineered, reclamation may exacerbate erosion.

Spotted owl *see* **Northern spotted owl**.

SSOs *see* **Sanitary sewer overflows**.

## Stability

The term “stability” refers to the tendency of an individual organism, a community, a population, or an ecosystem to maintain a more or less constant structure over relatively long periods of time. Stability does not suggest that changes do not occur, but that the net result of those changes is nearly zero. A healthy human body is an example of a stable system. Changes are constantly taking place in a body. Cells die and are replaced by new ones. Chemical compounds are

manufactured in one part of the body and degraded in another part. But, in spite of these changes, the body tends to look very much the same from day to day, week to week, and month to month.

The same is true with groups of individuals. A prairie grassland may look the same from year to year, even though the individual plants that make up the community change. Many ecosystems exhibit mosaic stability. For instance, a forest with openings caused by windthrow or fire at different times regrows trees of the same species, so that the character of the forest remains unchanged.

Stability is threatened by various kinds of stress. For example, lack of food can threaten the stability of an individual person, and fire can endanger the stability of a grassland community. Both organisms and communities have a rather remarkable resilience to such threats, however, and are often able to return to their original structure. Given good food once again, a person can recover her or his health and structure, just as a grassland tends to regrow after a fire.

The biotic and abiotic elements of an ecosystem cause stress on each other which can threaten the stability of each. An unusually long dry spell, for example, can cause plants to die and endanger the biotic community's stability. In turn, animals may multiply in number and physically degrade the soil and water in their habitat.

Because of the magnitude of the stress they place on other communities, humans are often the most serious threat to the stability of an ecosystem. An old-growth forest that has been clear-cut may, in theory, restore itself eventually to its original structure. But the time frame needed for such a restoration involves hundreds or thousands of years. For all practical purposes, therefore, the community of organisms in the region has ceased to exist as such.

One of the important mechanisms by which ecosystems maintain stability is negative feedback. Suppose, for example, that favorable weather conditions cause an unusually large growth of plants in a valley. Animals that live on those plants, then, will also increase in number and reproduce in greater quantity than in less abundant years. The increased population of animals will place a severe stress on the plant community if and when weather conditions return to normal. With decreased food supplies per individual organism, more animals will die off and the population will return to its previous size.

The factors that contribute to the stability of an ecosystem are not well-understood. At one time, most scholars accepted the hypothesis that diversity was an

important factor in maintaining stability. From a common sense perspective, it is reasonable to assume that the more organisms and more kinds of organisms in a system, the greater the distribution of stress and the more stable the system as a whole will be.

Some early experiments appeared to confirm this view, but those experiments were often conducted with artificial or only simplified systems. Ecologists are increasingly beginning to question the relationship between biodiversity and stability, at least partly because of examples from the real world. Some highly complex systems, such as tropical rain forests, have been less successful in responding to external stress than have simpler systems, such as tundra.

The term "stability" has a more specialized meaning in atmospheric studies. There, it also refers to a condition in which vertical layers of air at different temperatures are in equilibrium with each other.

*See also* Population biology.

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David E. Newton

## Stack emissions

Stack emissions are those gases and solids that come out of the smoke stack after the incineration process. Incinerators can be designed to accept wastes of any physical form, including gases, liquids, solids, sludges, and slurries. Incineration is primarily for the treatment of wastes that contain organic compounds. Wastes with a wide range of chemical and physical

characteristics are considered suitable for burning. Most of these wastes are by-products of industrial manufacturing and chemical production processes, or result from the clean-up of contaminated sites.

There is a great deal of controversy about the content of incinerator stack emissions. The Environmental Protection Agency (EPA) supports incineration as a waste management tool and claims that these emissions are not dangerous. In an official publication, the EPA has stated: “Incinerator emission gases are composed primarily of two harmless inorganic compounds, carbon dioxide and water. The type and quantity of other compounds depends on the composition of the wastes, the completeness of the combustion process, and the air pollution control equipment with which the incinerator is equipped. These compounds include organic and inorganic compounds contained in the original waste and organic and inorganic compounds created during combustion.”

Contrary to the EPA, many environmentalists argue that burning hazardous waste, even in “state-of-the-art” incinerators, releases far more heavy metals, unburned wastes, dioxins, and new chemicals formed during the incineration process (PICs) than is healthy for the environment or humans.

The report argues that metals are not destroyed during incineration; in fact, they are often released in forms that are far more dangerous than the original wastes. At least 19 metals have been identified in the air emissions of hazardous waste incinerators. An average-sized commercial incinerator burning hazardous waste with an average metals content emits these metals into the air at the rate of 204 lb (92.6 kg) per year and deposits another 670,000 lb (304,180 kg) of metals per year in its residual ashes and liquids, which must be properly disposed of in landfills designed for that purpose.

The consequences to human health are significant. Cancer, birth defects, reproductive dysfunction, neurological damage, and other health effects are known to occur at very low exposures to many of the metals, organochlorines, and other pollutants released by waste-burning facilities. Increased cancer rates, respiratory ailments, reproductive abnormalities, and other health effects have been noted among people living near some waste-burning facilities, according to scientific studies in other countries and surveys conducted by community groups and local physicians in the United States.

Hazardous waste incinerators in the United States produce millions of pounds of ash residues per year. These ashes, which are buried in landfills, are

contaminated by PICs, many of which are more toxic than the original waste. The ashes also contain increased concentrations of heavy metals, often in more leachable forms than in the original wastes.

Trial burns are used to determine an incinerator’s destruction and removal efficiency (DRE). Under current federal regulations, an incinerator of general hazardous waste must, during the trial burn, demonstrate a DRE of 99.99 percent with just a few chemicals to be tested—perhaps one or two. Many environmentalists consider these standards unsatisfactory. In the unlikely event that this standard could be met at all times with all wastes burned throughout the lifetime of an incinerator, a hazardous waste incinerator of average size (70 million pounds [32 million kg] per year) would still be emitting 7,000 pounds (3,178 kg) per year of unburned wastes. With corrections and accidents, emissions may be as high as 700,000 pounds (317,800 kg) per year. Environmentalists also argue that DRE addresses only the stack emissions of the few chemicals selected for the trial burn, and that it does not reflect other substances that are released. These include unburned chemicals other than those selected for the trial burn, heavy metals, or newly formed PICs. In addition, the present method for determining DREs does not account for the retention within the combustion system of the chemicals selected for the trial burn and their continued release for hours, or even days, after stack gas sampling has ceased.

*See also* Hazardous waste site remediation; Heavy metals precipitation; Not In My Backyard; Toxics use reduction legislation.

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Liane Clorfene Casten

## Stakeholder analysis

Stakeholder analysis is an approach to making policy decisions, primarily in business, which is based on identifying and prioritizing the interests different groups have in an institution. A stakeholder analysis examines the stakes which shareholders, suppliers,

customers, employees, or communities may have in a particular issue.

A stakeholder analysis is often used to develop generic strategies; these are meant to be broad descriptions of what the corporation stands for and how it intends to mediate between competing stakeholder concerns. Many firms have made public statements concerning these strategies.

Advertising experts argue that stakeholder analysis an effective method for uniting business strategy with social responsibility. This approach can be used to provide a variety of business goals with an ethical basis, and it has played a role in developing the marketing of environmentally safe products, known as green marketing. While many environmentalists consider this kind of analysis a step in the right direction, some have argued that public statements of strategies can be misleading, and that companies can be more concerned with their image and their relationship with the media than they are with social responsibility.

*See also* Green advertising and marketing.

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Alfred A. Marcus  
Douglas Smith

## Statistics

Statistics is the mathematical science of collecting, organizing, summarizing, and interpreting information in a numerical form. There are two main branches of statistics. Descriptive statistics summarizes particular

data about a given situation through the collection, organization, and presentation of those data. Inferential statistics is used to test hypotheses, to make predictions, and to draw conclusions, often about larger groups than the one from which the data have been collected.

Statistics enables the discernment of patterns and trends, and causes and effects in a world that may otherwise seem made up of random events and phenomena. Much of the current debate over issues like global warming, the effects of industrial pollution, and conservation of endangered species rests on statistics. Arguments for and against the human impact on the environment can be made or broken based on the quality of statistics supporting the argument, the interpretation of those data, or both. Providing accurate statistics to help researchers and policy-makers come to the most sound environmental conclusions is the domain of statistical ecology in particular, and environmental science in general.

## Basic concepts

Statistical analysis begins with the collection of data—the values and measurements describing an event or phenomenon. Researchers collect two types of data: qualitative and quantitative. Qualitative data refers to information that cannot be ascribed a numerical value but that can be counted.

For example, suppose a city wants to gauge the success of its curbside recycling program. Researchers might survey residents to better understand their attitudes toward recycling. The survey might ask whether or not people actually recycle, or whether they feel the program is adequate. The results of that survey—the number of people in the city who recycle, the number who think the program could be improved, and so on—would provide quantitative data on the city’s program. Quantitative data, then, refers to information that can be ascribed numeric values—for example, a study of how many tons each of glass and paper get recycled over a certain period of time. A more detailed breakdown might include data on the recycling habits of individual residents by measuring the amount of recycled materials people place in curbside bins.

Sorting through and weighing the contents of every recycling bin in a town would be not only time consuming but expensive. When researchers are unable to collect data on every member of a population or group under consideration, they collect data from a sample, or subset, of that population. In this case, the population would be everyone who recycles in the city.

To bring the scope of the study to a manageable size, the researchers might study the recycling habits of a particular neighborhood as a sample of all recyclers. A certain neighborhood's habits may not reflect the behavior of an entire city, however. To avoid this type of potential bias, researchers try to take random samples. That is, researchers collect data in such a way that each member of the population stands an equal chance of being selected for the sample. In this case, investigators might collect data on the contents of one bin from every block in the city.

Researchers must also define the particular characteristics of a population they intend to study. A measurement on a population that characterizes one of its features is called a parameter. A statistic is a characteristic of or fact about a sample. Researchers often use statistics from a sample to estimate the values of an entire population's parameters when it is impossible or impractical to collect data on every member of the population. The aim of random sampling is to help make that estimate as accurate as possible.

### Descriptive statistics

Once the data have been collected, they must be organized into a readily understandable form. Organizing data according to groups in a table is called a frequency distribution. Graphic representations of data include bar charts, histograms, frequency polygons (line graphs), and pie charts.

Measures of central tendency, or the average, provide an idea of what the "typical" value is for a group of data. There are three measures of central tendency: the mean, the median, and the mode. The mean, which is what most people refer to when they use the term "average," is derived by adding all the values in a data set and then dividing the resulting sum by the number of values. The median is the middle value in a data set when all the numbers are arranged in ascending or descending order. When there is an even number of values, the median is derived by calculating the mean of the two middle values. The mode is the value that most often occurs in a set of data.

Although averages describe a "typical" member of a group or set of data, it can also be helpful to know about the exceptions. Statisticians have therefore devised several measures of variability—the extent to which data fluctuate between different measures. The range of data set is the difference between the highest and lowest values. Deviation is the difference between any one measure and the mean.

Range and deviation provide information on the variability of the individual members of a group, but

there are also ways to describe the variability of the group as a whole if, for example, a statistician wants to compare the variability of two sets of data. Variance is derived from squaring the deviations of a set of measures and then calculating the mean of those squares. Standard deviation is the most common statistic used to describe a data sets variability because it can be expressed in the same units as the original data. Standard deviation is derived by calculating the square root of the variance.

### Inferential statistics

Inferential statistics is largely concerned with predicting the probability—the likelihood (or not)—of certain outcomes, and establishing relationships or links between different variables. Variables are the changing factors or measurements that can affect the outcome of a study or experiment.

Inferential statistics is particularly important in fields such as ecological and environmental studies. For example, there are chemicals contained in cigarette smoke that are considered to be carcinogenic. Researchers rely in no small part on the methods of inferential statistics to justify such a conclusion.

The process begins by establishing a statistical link. To use a common example, health experts begin noticing an elevated incidence of lung cancer among cigarette smokers. The experts may suspect that the cause of the cancer is a particular chemical (if there are 40 suspected chemical carcinogens in the smoke, each chemical must be evaluated separately) in the cigarette smoke. Thus, there is a suspected association, or possible relationship, between a chemical in the smoke and lung cancer.

The next step is to examine the type of correlation that exists, if any. Correlation is the statistical measure of association, that is, the extent or degree to which two or more variables (a potential chemical carcinogen in cigarette smoke and lung cancer, in this case) are related. If statistical evidence shows that lung cancer rates consistently rise among a group of smokers who smoke cigarettes containing the suspected chemical compared with a group of nonsmokers (who are similar in other ways, such as age and general health), then researchers may say that a correlation exists.

Correlation does not prove a cause and effect relationship, however. The reason, in part, is the possible presence of confounders—other variables that might cause or contribute to the observed effect. Therefore, before proposing a cause-effect relationship between a chemical in cigarette smoke and lung cancer, researchers

would have to consider whether other contributing factors (confounders)—such as diet, exposure to environmental toxins, stress, or genetics—may have contributed to onset of lung cancer in the study population. For example, do some smokers also live in homes containing asbestos? Are there high levels of naturally occurring carcinogens such as radon in the work or home environment?

Teasing out the many possible confounders in the real world can be extremely difficult, so although statistics based on such observations are useful in establishing correlation, researchers must find a way to limit confounders to better determine whether a cause-effect relationship exists. Much of the available information on environmentally related causes and effects is verified with data from lab experiments; in a lab setting, variables can be better controlled than in the field.

Statistically and scientifically, cause and effect can never be proved or disproved 100 percent. Researchers test hypotheses, or explanations for observed phenomena, with an approach that may at first appear backwards. They begin by positing a null hypothesis, which states that the effects of the experiment will be opposite of what is expected. For example, researchers testing a chemical (called, for example, chemical x) in cigarette smoke might start with a null hypothesis such as: “exposure to chemical x does not produce cancer in lab mice.” If the results of the experiment *disprove* the null hypothesis, then researchers are justified in advancing an alternative hypothesis. To establish that there is an effect, an experiment of this nature would rely on comparing an experimental group (mice exposed to chemical x, in this case) with a control group—an unexposed group used as a standard for comparison.

The next step is to determine whether the results are statistically significant. Researchers establish a test or experiments *P* value, the likelihood that the observed results are due to chance. Frequently, the results of an experiment or test are deemed statistically significant if the *P* value is equal to or less than 0.05. A *P* value of 0.05 means there are five or fewer chances in 100 that the observed results were due to random processes or statistical variability. In other words, researchers are 95% sure they have documented a real cause and effect.

Other important considerations include whether the results can be confirmed and are reliable. Findings are considered confirmed if another person running the same test or experiment can produce the same results. Reliability means that the same results can be reproduced in similar studies.

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Darrin Gunkel

## Steady-state economy

Steady-state economics is a branch of economic thinking which applies the perspectives of steady-state systems developed in thermodynamic physics to economic analysis. This direction in economics is largely associated with the work of Herman Daly, who has written the classic work in the field, *Steady-State Economics* (1977). While its impact has been relatively minor within the discipline of economics itself, the concept of steady-state economics has gathered a significant audience among life scientists and within the larger environmental movement.

Steady-state economics is closely related to sustainable development, and many consider it as but one component of the larger issue of sustainability. The constraints imposed by the laws of physics determine, in Daly’s terminology, the “ultimate means” or the “ultimate supply limit” beyond which no measure of human development can make better use of resources and energy. One of the fundamental criticisms of traditional economic thinking made by steady-state economics is founded on this concept of absolute limits: Standard economics implicitly assumes that it is always possible to cope with population growth and resource shortages by technical advances and the substitution of one resource for another. This is an assumption which steady-state economics views as not only wrong, but dangerously misleading.

For Daly, the greater danger of this perspective is that it is ultimately a form of hubris, of acting as though we can surpass the limitations of the physical world and attain the freedom of the divine. This is the classic sin of pride, and Daly sees its corrective as the corresponding virtue of humility. Science, he claims, “sees man as a potentially infallible creator whose hope lies in his marvelous scientific creativity.” He contrasts

this with the view of steady-state economics, which “conceives of man as a fallen creature whose hope lies in the benevolence of his Creator not in the excellence of his own creations.” In Daly’s view, it is only when we are humble that we are able to see human life and the entire evolutionary process in which it is embedded as a gift bestowed upon us by God, not something we have made. For Daly, this gift of the evolution of life is a minimum definition of the “Ultimate End,” whose preservation and further development must be the goal of all our actions. The “Ultimate End” is fostering the continuance of the evolutionary process, and the “ultimate means” is determined by the laws of physics; they both define boundaries only within which is it possible to have a steady-state economy and a sustainable society.

Daly offers three large-scale social institutions for the United States to help make a steady-state economy a reality. The first of these is a socially determined limit on the national population, with licenses issued to each person allocating exactly the number of births required to maintain zero population growth (approximately 2.1 births per female). These licenses could be purchased or otherwise transferred between individuals, so that those wanting no children could transfer their licenses to those wishing more than their allotment. The second institution would stabilize the stock of human artifacts and would maintain the resources needed to maintain and replace this stock at levels which do not exceed the physical limits of the environment. A set of marketable quotas for each resource would be the primary mechanism to attain this goal. The third institution would be a set of minimum and maximum limits on personal income and a maximum cap on personal wealth. The first two institutions are designed to structure population and economic production within the fundamental thermodynamic limits or “ultimate means.” The third is the extension into human society of the moral boundaries set by the goal of preserving and fostering life—in this case to ensure that all people in the steady-state economy have access to society’s resources.

See also Bioregionalism; Carrying capacity; Deep ecology; Family planning; Growth limiting factors; Sustainable agriculture.

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Eugene R. Wahl

## Stegner, Wallace

1909–1993

American writer

Wallace Stegner was an American novelist, historian, biographer, and teacher. Widely regarded as the dean of western writers, Stegner evoked a vivid sense of the western United States as a place and of the intimate relationship of the people with that place. Among his best known novels are *Big Rock Candy Mountain* (1943), *Angle of Repose* (1971), *The Spectator Bird* (1976), and *Crossing to Safety* (1987). His works of nonfiction include *Beyond the Hundredth Meridian* (1954), *Wolf Willow* (1963), *The Sound of Mountain Water* (1969), and *Where the Bluebird Sings to the Lemonade Springs: Living and Writing in the West* (1992).

Stegner was born on February 18, 1909, on a farm outside Lake Mills, Iowa, the second son of George and Hilda Paulson Stegner. His father, a restless and rootless risk-taker, moved the family to North Dakota, then to Washington state, and then to Saskatchewan, Montana, and Utah. His family was so poor that he was sent for a time to an orphanage. Stegner’s early education was spotty at best. He was an avid hunter and outdoorsman who enjoyed the company of Native Americans, cowboys, miners, Mormons, and others who eked out a precarious living in the west. In these hardscrabble early years, Stegner met the people and endured the experiences that were later to reappear in fictional form in his novels and short stories.

At age sixteen, Stegner enrolled at the University of Utah, where he majored in English. In 1930, he began graduate work at the University of Iowa, where he earned a master’s degree. Two years later, he returned to the University of Utah, where he received his Ph.D. in 1935. He went on to teach at several universities, including Harvard, Wisconsin, and Stanford, where he founded the creative writing program that he directed until 1971. Among his students and the recipients of Stanford’s Wallace Stegner Writing Fellowship

were Wendell Berry and Edward Abbey. An ardent outdoorsman and conservationist, Stegner was active in the Sierra Club and fought successfully to save Echo Park from being dammed. In later years he said that his greatest regret was not having worked harder to prevent the damming of Glen Canyon.

Stegner was a pioneer in the environmental education of American citizens and their elected representatives. His new medium was the large coffee-table book featuring photographs of stunning seldom-seen places that were under threat from mining, logging, or development interests. Interspersed among pictures by photographers such as Ansel Adams were short essays by Stegner and others about the fragility and beauty of these wild places and the threats they faced. Published by the Sierra Club and distributed free to Senators and Congressmen, these books proved to be powerful weapons in the ongoing effort to protect the natural environment from the predations of developers.

Many of Stegner's works of fiction also deal with environmental themes and problems—the rush to develop the West, the desire to get rich by riding roughshod over fragile environments and ecosystems, the wanton indifference to the peoples and the history of a place, and the ravages wrought by this onslaught. Arrayed against these forces are the quieter forces of history and memory, of respect for a region and its past, and the desire to preserve and pass these on to future generations.

Wallace Stegner died on April 12, 1993, from a heart attack resulting from a serious automobile accident two days earlier. In accordance with his wishes, his ashes were scattered on the western slope of Baker Hill in Vermont.

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Terence Ball

## Stochastic change

Any change that involves random behavior. The term “stochastic” is derived from the Greek *stokhiastikos*, meaning to guess at random. Stochastic changes obey the laws of probability. A familiar example of such a change is a series of card games. The way that

cards fall in each hand is a random event, but well-known mathematical laws can describe the likelihood of various possibilities. For instance, if a traffic engineer wants to study traffic patterns at a busy downtown intersection, the engineer can use stochastic principles to analyze the traffic flow, because the movement of vehicles through that intersection is likely to occur at random. Some natural processes, such as genetic drift, also can best be characterized as the result of a series of stochastic changes.

## Storage and transport of hazardous material

Hazardous materials, sometimes abbreviated HazMat, consist of numerous types of explosive, corrosive, and poisonous substances that can harm humans, animals and other living organisms, property, or the environment. The materials might be used as a reactant in an industrial process, as an additive in water treatment (acids and bases, for example), or as a source of fuel or energy (gasoline and nuclear materials). These materials might also be produced, wasted, or contaminated and thus require management as a hazardous waste. In all cases, it is necessary to understand the characteristics of the chemical so that appropriate containers and labels for storage and transportation are used.

The U.S. Department of Transportation (DOT) and the Environmental Protection Agency (EPA) have issued regulation on how hazardous materials are to be stored, labeled, and transported. The U.S. Occupational Safety and Health Administration (OSHA) regulates the proper handling of all hazardous materials in the United States. OSHA also responds to sites where HazMat incidents have occurred. The DOT was actually the first to address these issues; the EPA simply adopted many of their regulations, and the agency continues to work closely with the DOT to minimize confusion, conflicts, and redundancy. The DOT was originally given authority to regulate the transportation of hazardous materials in 1966, but it was not until 1975 that the Hazardous Materials Transportation Act (HMTA) was passed, giving the DOT broad authority over all aspects of transporting hazardous materials. In 1980, the EPA adopted the DOT regulations, and the DOT amended their policies to make them more appropriate for hazardous wastes. The Nuclear Regulatory Commission (NRC) is also involved in overseeing the transportation of nuclear

materials. In addition, the U.S. Consumer Product Safety Commission regulates hazardous materials that are involved with use by consumers.

In 1976, the EPA promulgated the Resource Conservation and Recovery Act (RCRA), which dealt with many hazardous waste management issues, including storage and transportation. RCRA stipulated that the EPA was to adopt transportation regulations that were consistent with the HMTA, but hazardous waste storage and transportation posed some additional problems. For example, it was necessary to define the term “hazardous waste” and to develop a rigorous system to track the waste from generation (“cradle”) to ultimate disposal (“grave”). The RCRA and later amendments (Hazardous and Solid Waste Amendments of 1984) distinguished between small and large quantity generators and established different regulations for them. Large quantity generators (LQG) are prohibited from storing hazardous wastes for more than ninety days. Small quantity generators (SQG) can store wastes for 180 or 270 days, depending upon how far they have to ship the wastes for disposal.

Transportation of hazardous wastes to disposal sites is carefully monitored; this is done by using a form known as a manifest. The manifest contains the name and EPA identification number of the generator, the transporter, and the treatment disposal facility (TSD facility). The manifest also provides a description of the waste and documents each step in the transportation network; generators that have TSD facilities on-site need not prepare a manifest.

The storage and transportation of hazardous materials is closely regulated. Despite these regulations, however, accidents do occur, and research is continuing in the development of better shipping and storage containers, more reliable means and routes for shipments, more accurate risk assessments, and improved systems for accident prevention and remediation of spills.

*See also* Hazardous Substances Act (1960); Not In My Backyard; Toxic substance; Toxic Substances Control Act (1976); Toxics use reduction legislation.

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Gregory D. Boardman

## Storm King Mountain

One of the most influential cases in environmental law involved the proposed construction in 1963 of a hydroelectric plant by Consolidated Edison on the shores of the Hudson River at the foot of Storm King Mountain. The plant was designed to generate electricity for New York City during its periods of peak demands. Objections to the planned construction were raised by the Scenic Hudson Preservation Conference, which claimed that the plant would seriously damage the area’s natural beauty. After nearly a decade of hearings and court cases, Consolidated Edison received permission to build the plant. In the process, however, the right of citizen groups to argue the value of aesthetic considerations in such cases was affirmed.

*See also* Electric utilities.

## Storm runoff

The amount of water that flows into streams and rivers soon after a rainfall, causing the stream to rise above its normal or pre-storm level. Sometimes called stormflow, quickflow, or direct runoff, this flow moves quickly to channels and causes water levels to rise, peak, and then recede as the storm water drains from the watershed following the storm. Storm runoff is the sum of precipitation falling directly on the channel, overland flow, and subsurface flow. Groundwater generally takes a long period of time to contribute to streamflow and does not appreciably affect streamflow rise immediately after the storm; therefore, it is not considered a part of stormflow. When water levels rise above the banks of a stream or river, storm runoff is considered flooding. In technical hydrology terms, stormflow is the portion of the hydrograph that is above base flow.

*See also* Runoff.

## Storm sewer

Modern stormwater management consists of two components: the major drainage system comprising overland flow and retention facilities including ponds, playing fields, parking lots, underground reservoirs, and similar devices; and the minor drainage system consisting of storm sewers. The major stormwater diversion system is designed to handle major regional storms which have an infrequent probability of occurrence. The minor system uses storm sewers to quickly drain the rainfall from yards, sidewalks, and streets after a rainfall event or during snow melt. Storm sewers are the single largest cost in servicing land for housing. Storm sewers typically discharge into surface waters such as creeks, rivers, or lakes without treatment.

*See also* Storm runoff.

## Strategic Lawsuits Against Public Participation

Strategic Lawsuits Against Public Participation (SLAPP suits) are lawsuits initiated by interested parties, most often businesses, that seek to counteract criticism from individuals, businesses, and non-profit organizations that the litigant believes to be harmful to their operations. The lawsuits often arise in response to environmental activists who have protested against those they deem as polluters, or otherwise harmful to the environment and human health. Primarily these lawsuits are designed to discourage public activism simply by threatening to impair financially those engaging in such activities.

According to the Environmental Law and Policy Center, (ELPC) serving Illinois, Indiana, Michigan, Minnesota, Ohio, and Wisconsin, in its January 2001 *ELPC News* “SLAPP suits, unfortunately, are becoming a popular weapon among industry lawyers and conservative ideologues, who tend to be hostile to environmental activism. Often the claims embodied in SLAPP suits are legally tenuous and ultimately collapse under judicial scrutiny; but that doesn’t mean they’re not successful. Even without obtaining a favorable ruling, the business plaintiffs who file SLAPP suits can deter grassroots activists by subjecting them to the financial and emotional harassment of a potentially punitive court ruling.” Often, such suits discourage any future challenges to environmental and pollution

law violations. The ELPC provides assistance to environmental organizations in matters of litigation.

During the late 1990s, one of the most famous SLAPP suits involved television personality, Oprah Winfrey. The uproar followed a guest appearance on her show by Howard Lyman of the Humane Society of the United States during which he said that, “mad cow disease would make AIDS look like the common cold.” The show aired on April 16, 1996, only a month after the British government admitted that the disease was a problem in England. After hearing the evidence presented, Winfrey added, “It has just stopped me cold from eating another burger.” The broadcast apparently resulted in a dramatic reduction in cattle futures on the Chicago Mercantile Exchange. The meat industry, represented in the lead by the National Cattlemen’s Beef Association (NCBA) were outraged enough to file a \$2 million lawsuit against Lyman and Winfrey despite her offer to do an interview with the NCBA’s policy director. It was Paul Engler, a beef feed-lot operator who filed the suit. This particular form of lawsuit instituted by members of the food and agriculture industry came to be known as a *food disparagement* suit—one category of SLAPP. Winfrey took her television production to Texas during the court proceedings, airing her show daily from a local auditorium, and was ultimately successful in winning the case.

The first food disparagement law was passed in Colorado in 1991 at the behest of apple growers using Alar, a chemical sprayed on apples while still on the tree to allow them to ripen longer. Due to a public campaign with vocal celebrities airing their complaints against the carcinogenic dangers of Alar, the industry stopped using the chemical but sued the *Columbia Broadcasting System (CBS)* and others for their negative publicity regarding the industry’s practice. The American Civil Liberties Union attempted to challenge a similar law passed in Georgia, but a state court upheld the law in 1995.

In 1999, the Oregon House of Representatives overwhelmingly passed a bill that would stop SLAPP suits. As of 2020, over half of all U.S. states had adopted anti-SLAPP laws. Many of these laws require the plaintiffs to state more specific facts in their pleading or allow defendants to recover damages from the plaintiffs and the plaintiff’s attorneys if a court dismisses the underlying SLAPP action.

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**ORGANIZATIONS**

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Jane E. Spear

## Strategic minerals

American industry has a voracious appetite for minerals. The manufacture of a typical automobile, for example, requires not only such familiar metals as iron, copper, lead, and aluminum, but also such less-familiar metals as manganese, platinum, molybdenum, and vanadium. For the time being, the United States has an abundant supply of many critical minerals. The country is essentially self-sufficient in such major metals as iron, copper, lead, and aluminum. In each case, we import less than a quarter of the metals used in industrial production.

There are some minerals, however, that do not occur naturally to any considerable extent in the United States. For example, the United States has essentially no reserves of columbium (niobium), strontium, manganese, tantalum, or cobalt; sheet mica; or bauxite ore. To the extent that these minerals are important in various industrial processes, they are regarded as critical or strategic minerals. Some examples of strategic minerals are tin, silver, cobalt, manganese, tungsten, zinc, titanium, platinum, chromium, bauxite, and diamonds. The United States must import at least half the amount of each of these minerals that it uses each year.

Ensuring a constant and dependable supply of strategic minerals is a complex political problem. In some cases, the minerals we need can be obtained from friendly nations with whom we can negotiate relatively easily. Canada, for example, supplies a large part of the nickel, columbium, gallium, tantalum, cadmium, and cesium used by American industry. Nickel may be obtained from Norway; cobalt and antimony from Belgium; and fluorospar from Italy.

Other nations on whom we depend, however, are less friendly, less dependable, or less stable. The southern African nations of Zaire, Zambia, Zimbabwe,

Botswana, and South Africa, for example, are major suppliers of such strategic minerals as chromium, gold, platinum, vanadium, manganese, and diamonds. Africa also has large deposits of copper, cobalt and chromium. When these nations experience political unrest, supplies of these minerals may become scarce.

Since 1980, the United States government has tried to protect American industry, especially defense industries, from the danger of running out of strategic minerals. Some analysts have feared that political factors might result in the loss of certain minerals that are needed by industry, particularly those used in the manufacture of military hardware. In 1984, the United States Congress established the National Critical Materials Council (NCMC) to advise the President on issues involving strategic minerals. The Council monitored domestic and international needs and trends for nearly a decade to ensure the nation's access to a dependable supply of strategic minerals. In 1993, the NCMC was placed under the supervision of the newly established National Science and Technology Council.

A similar monitoring function has been performed by the Defense Logistics Agency (DLA), a division of the Department of Defense dating back to World War II. The DLA maintains and administers the Defense National Stockpile Center, or DNSC, which sells and maintains strategic and critical materials in order to reduce the country's dependence on foreign sources of supply. The DNSC is presently located in Fort Belvoir, Virginia.

The governments of several nations have expressed concern about the availability of many strategic elements, especially regarding the so-called rare earths (in spite of the name, most of the rare earth elements are relatively abundant in Earth's crust). There are 17 rare earth elements, including scandium, yttrium, lanthanum, europium, cerium, and erbium. Rare earth elements are increasingly used in a variety of high-technology applications, including electronic devices and advanced batteries. Up until the late 1980s, the United States led the world in rare earth element production. However, for a variety of reasons China has become the dominant producer of rare earths, supplying over 95 percent of the world's consumption in 2010. In September 2010, the Chinese government cut off shipments of rare earth elements to Japan in response to a territorial dispute between the two nations. The unilateral embargo of rare earths by China highlighted the concerns many people had previously expressed about Chinese dominance of these critical elements. On September 29, 2010 the U.S. House of Representatives passed HR 6160, titled the Rare Earths and Critical Materials



Ok Tedi copper and gold mine in the western highlands of Papua, New Guinea. (Wayne G. Lawler / Photo Researchers, Inc.)

Revitalization Act of 2010. Language in the bill includes the following: “There is established in the Department [of Energy] a program of research, development, demonstration, and commercial application to assure the long-term, secure, and sustainable supply of rare earth materials sufficient to satisfy the national security, economic well-being, and industrial production needs of the United States.”

In addition to political unrest, however, the supply of strategic minerals depends on humankind’s general management of the Earth’s nonrenewable resources. Of the minerals in common use in manufacturing and industry, 80 are in abundant supply. There are, however, about 18 minerals that are expected to be in short supply around the globe by 2015; these include gold, silver, mercury, lead, sulfur, tin, tungsten, and zinc. It is estimated that the Earth’s stores of these minerals will be 80 percent depleted by 2040. Strategies for lowering present levels of use in the developed nations include recycling; inventing new substitute materials and finding new uses for old

ones; decreasing the size of products; and extending the lifespan of items made from strategic minerals.

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David E. Newton  
Rebecca J. Frey

## Stratification

The process by which a region is divided into relatively distinct, nearly horizontal, layers. Sedimentary rock often consists of various strata because the rock was formed over time under different geologic conditions using varying materials or deposits. Lakes and oceans also consist of strata where plant and animal life may be very different depending on the temperature and amount of light available. The atmosphere is divided into strata that differ in density, temperature, chemical composition, and other factors. In the lower atmosphere, temporary stratification, such as temperature inversions, may occur, often resulting in severe pollution conditions.

## Stratosphere

The stratosphere is a layer of the atmosphere that lies between about 7 and 31 miles (11 and 50 km) above the earth's surface, bounded at the bottom by the tropopause and at the top by the stratopause. Scientists became aware of the presence of the stratosphere with observations of high level dust after the eruption of Krakatoa in 1883. However, the real discovery of the stratosphere had to await Teisserenc de Bort's work of 1900.

The stratosphere is characterized by temperatures that rise with height. As a result, the air is very stable, not mixing much vertically, and allowing distinct layers of air, or strata, to form. There are also persistent regular strong winds, of which the best known are the intense western winds during the winter called the polar night jet stream.

The air in the stratosphere has much the same composition as the lower atmosphere except for a higher proportion of ozone. Absorption of incoming solar energy by this ozone makes the upper parts of the stratosphere warm and sets up the characteristic temperature gradient. The relatively high ozone concentrations are maintained by photochemical reactions. In recent years, scientists have revealed that other chemical reactions involving nitrogen and chlorine are also important in maintaining the ozone balance of the upper atmosphere. This balance can be easily disturbed through the input of additional nitrogen oxides and halogen compounds (from CFCs, or chlorofluorocarbons). Transfer of gases across the tropopause into the stratosphere is rather slow, but some

gases, such as CFCs and nitrous oxide, are sufficiently long-lived in the troposphere to leak across into the stratosphere and cause ozone layer depletion. Large volcanic eruptions can have sufficient force to drive gases and particles into the stratosphere where they can also disturb the ozone balance. High-flying aircraft represent another source of pollution in the stratosphere, but the lack of development of a supersonic passenger fleet has meant that this contribution has remained fairly small.

The stratosphere is extremely cold (about  $-112^{\circ}$  Fahrenheit [ $-80^{\circ}$ C]) in places, so there is relatively little water. Nevertheless, nacreous or mother-of-pearl clouds, although not frequently observed, have long been known. More recently there has been much interest in polar stratospheric clouds, which had hitherto received little attention. Stratospheric cloud particles can be water-containing sulfuric acid droplets or solid nitric acid hydrates. Studies of the Antarctic ozone hole have revealed that these clouds are likely to play an important role in the depletion of ozone in the polar stratosphere.

*See also* Acid rain; Cloud chemistry; Ozonation; Stratification; Volcano.

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Peter Brimblecombe

Stray voltage see **Electromagnetic field**.

## Stream channelization

Channelization is the process of straightening or redirecting natural streams in an artificially modified or constructed stream bed. Channelization is often used to drain wetlands, direct water flow for agricultural use, or control flooding. While channelization may render a stream more useful for human activities, it often interferes with natural river habitats and destabilizes stream banks by destroying riparian vegetation. In most cases, when annual flood patterns are disrupted, fertilizing sediment is no longer deposited on river banks and excessive sediment accumulation occurs downstream. Perhaps most importantly, channelization may require removal of instream obstacles such as rocks, fallen trees, shallow backwaters, and sand bars. The removal or destruction of these features eliminates feeding and reproductive habitats for fish, aquatic insects, and birds.

## Stringfellow Acid Pits

Aerospace, electronics, and other high-technology businesses expanded rapidly in California during the 1950s, bringing population growth and rapid economic progress. These businesses also brought a huge volume of toxic wastes and the problem of safely disposing of them. A modern-day reminder of those years is the Stringfellow Acid Pits located near the Riverside suburb of Glen Avon, 50 miles (80 km) east of Los Angeles.

The Acid Pits, also known as the Stringfellow Quarry Waste Pits, are located on a 20-acre (8-ha) site in Pyrite Canyon above Glen Avon. In the mid-1950s, a number of high-tech companies began to dump their hazardous wastes into the canyon. No special precautions were taken in the dumping process; as one observer noted, the companies got rid of their wastes just as cavemen did: “They dug a hole and dumped it in.”

Over the next two decades, more than 34 million gal (129 million L) of waste were disposed of in a series of pan-shaped reservoirs dug into the canyon floor. The wastes came from more than a dozen of the nation’s most prominent companies, including McDonnell-Douglas, Montrose Chemical, General Electric, Hughes Aircraft, Sunkist Growers, Philco-Ford, Northrop, and Rockwell-International. The wastes consisted of a complex mixture of more than 200 hazardous chemicals. These included hydrochloric, sulfuric, and nitric acids; sodium hydroxide; trichlorethylene and methylene chloride; polychlorinated biphenyls (PCBs); a variety

of pesticides; volatile organic compounds (VOCs); and heavy metals such as lead, nickel, cadmium, chromium, and manganese.

By 1972, residents of Glen Avon had begun to complain about health effects caused by the wastes in the Stringfellow Pits. They claimed that some chemicals were evaporating and polluting the town’s air, while other chemicals were leaching out of the dump and contaminating the town’s drinking-water supply. People attributed health problems to chemicals escaping from the dump; these problems ranged from nose bleeds, emotional distress, and insomnia to cancer and genetic defects. Medical studies were unable to confirm these complaints, but residents continued to insist that these problems did exist.

In November 1972, James Stringfellow, owner of the pits, announced that he was shutting them down. However, his decision did not solve the problem of what to do with the wastes still in the pit. Stringfellow claimed his company was without assets, and the state of California had to take over responsibility for maintaining the site.

The situation at the Stringfellow pits continued to deteriorate under state management. During a March 1978 rainstorm, the pits became so badly flooded that officials doubted the ability of the existing dams to hold back more than 8 million gallons (30.3 million L) of wastes. To prevent a possible disaster, they released nearly 1 million gallons (3,785 million L) of liquid wastes into flood control channels running through Glen Avon. Children in nearby schools and neighborhoods, not knowing what the brown water contained, waded and played in the toxic wastes.

When the Comprehensive Environmental Response, Compensation and Liability Act (Superfund) was passed in 1980, the Stringfellow Pits were named the most polluted waste site in California. The pits became one of first targets for remediation by the Environmental Protection Agency (EPA), but this effort collapsed in the wake of a scandal that rocked both the EPA and the Reagan administration in 1983. EPA administrators Rita Lavelle and Anne McGill Burford were determined to have mishandled Superfund money and found guilty of perjury. Lavelle and Burford were forced to resign from office along with twenty-two other officials.

During the early 1990s, citizens of Glen Avon finally began to experience some success in their battle to clean up the pits. The EPA had finally begun its remediation efforts in earnest, and residents won judgments of more than \$34 million against Stringfellow and four companies that had used the site. In 1993, residents initiated the largest single civil suit over toxic wastes in

history. The suit involved 4,000 plaintiffs from Glen Avon and thirteen defendants, including the state of California, Riverside County, and a number of major companies. As of 2010, ongoing efforts to remediate the Stringfellow Acid Pits had cost \$120 million.

*See also* Contaminated soil; Groundwater pollution; Hazardous waste site remediation; Storage and transport of hazardous material.

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David E. Newton

## Strip-farming

In the United States, soil conservation first became an important political issue in the 1930s, when President Franklin D. Roosevelt led a campaign to study the loss of valuable topsoil because of erosion. It soon became clear that one source of the problem was the fact that farmers tended to plow and plant their fields according to property lines, which usually formed squares or rectangles. As a result, furrows often ran up and down the slope of a hill, forming a natural channel for the runoff of rain, and each new storm would wash away more fertile topsoil. This kind of erosion resulted not only in the loss of soil, but also in the pollution of nearby waterways.

The Soil Conservation Service was founded in 1935 as a division of the U.S. Department of Agriculture, and one of its goals was the development of farming techniques that would reduce the loss of soil. One such technique was strip-farming, also known as strip-cropping. Strip-farming involves the planting of crops

in rows across the slope of the land at right angles to it rather than parallel to it. On gently sloping land, soil conservation can be achieved by plowing and planting in lines that simply follow along the slope of the land rather than cutting across it, a technique known as contour plowing. On very steep slopes, a more aggressive technique known as terracing is used. Strip-farming is a middle point between these two extremes, and it is used on land with intermediate slopes.

In strip-farming, two different kinds of crops are planted in alternate rows. One set of rows consists of crops in which individual plants can be relatively widely spaced, such as corn, soybeans, cotton, or sugar beets. The second set of rows contains plants that grow very close together, such as alfalfa, hay, wheat, or legumes. As a result of this system, water is channeled along the contour of the land, not down its slope. In addition, the closely planted crops in one row protect the exposed soil in the more widely spaced crops in the second row. Crops such as alfalfa also slow down the movement of water through the field, allowing it to be absorbed by the soil.

The precise design of a strip farm is determined by a number of factors, such as the length and steepness of the slope. The crops used in the strip, as well as the width of rows, can be adjusted to achieve minimal loss of soil. Under the most favorable conditions, soil erosion can be reduced by as much as 75 percent through the use of this technique.

*See also* High-grading (mining, forestry); Mine spoil waste; Surface mining.

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Lawrence H. Smith

## Strip mining

This technique is used for near-surface, relatively flat sedimentary mineral deposits. How deeply the mining can occur is essentially determined by the



**Strip mine operation.** (© bsauter. Image from BigStockPhoto.com)

combination of technological capabilities and the economics involved. The latter includes the current value of the mineral, contractual arrangements with the landowner, and mining costs, including reclamation. Strip mining is used for mining phosphate fertilizer in Florida, North Carolina, and Idaho, and for obtaining gypsum (mainly for wallboard) in western states.

However, the most common association of strip mining is with coal. The examples of decimated land in Appalachia have motivated calls for prevention, or at least major efforts at reclamation. Strip mining for coal comprises well over half of the land that is strip-mined, which totaled less than 0.3 percent of land in the United States between 1930 and 1990. This is far less land than the amount lost to agriculture and urbanization. However, in agriculturally rich areas like Illinois and Indiana there is a growing concern over the one-time disruption of land for mineral extraction, compared to the long-term use for food production.

Strip mining has occurred mainly in the Appalachian Mountains and adjacent areas, the Central Plains from Indiana and Illinois through Oklahoma, and new mines for subbituminous coal in North Dakota,

Wyoming, and Montana. Important mining is also carried out on Hopi and Navajo lands, notably Black Mesa in northeastern Arizona.

Despite the small amount of land used in strip mining, the process radically alters landforms and ecosystems where it is practiced. Depending on state laws, mining landscapes prior to 1977 were often left as is, dubbed “orphan lands.” The 1977 act required the land to be restored as closely as possible to the original condition. This is a nearly impossible task, especially when one considers the reconstruction of the preexisting soil conditions and ecosystem. Even so, reclamation is a vital first step in the healing process. Generally, the steeper the terrain, the greater the impact on the landforms and river systems, and the more difficult the reclamation.

Detailed economic planning precedes any strip mining effort. Numerous cores are drilled to determine the depth, thickness, and quality of the coal, and to assess the difficulty of removing the overburden, which consists of topsoil and rock above the resource. If caprock is encountered, expensive and time-consuming blasting is required, a frequent occurrence in the United States.

Economic analysis then determines the area and depth of profitable overburden removal. Finally, contracts must be negotiated with landowners; strip mines commonly end abruptly at property lines.

Two kinds of earth removal equipment are typically used: a front-loading bucket (the classic steam shovel), or a dragline bucket that pulls the material toward the operator. Power shovels and draglines built prior to World War II generally have bucket capacities of 30–50 cubic yards (23–38 m<sup>3</sup>). Post-World-War-II equipment may have a capacity up to 200 cubic yards (153 m<sup>3</sup>). A new development, encouraged by the 1977 reclamation law, is the combination of dozers and scrapers (belly loaders) more commonly seen on road-building or construction sites.

After the overburden is removed, mining begins. The process is conducted in rows, creating long ridges and valleys in the countryside that resemble a washboard. Coal extraction follows behind power shovels, leaving a flat, canyon-like cut. Upon completion of a row, the shovel starts back in the opposite direction, placing the new overburden in the now-empty cut.

In hilly terrain, only a few cuts are all that is usually profitable because the depth of overburden increases rapidly into the hillside. Since the worst complications as a result of strip mining occur on hillsides, the environmental price for a limited amount of coal is very high. Hillside mining such as this is called “contour mining,” in contrast to “area mining” on relatively flat terrain. In the latter, the number of rows are limited mostly by contractual arrangements. Consequently, the main difference between area and contour strip mining are the number of rows and the steepness of the terrain.

Both types of strip mining leave behind four basic land configurations: (1) spoil bank ridges; (2) a final-cut canyon often partially filled by a lake; (3) a high headwall marking the uphill end of the mining; and (4) coal-haul roads, usually at the base of the outermost spoil bank and through gaps in the spoil-bank rows left for this purpose. In some orphan lands, wilderness-like conditions prevail, where trees populate the spoil banks and aquatic ecosystems thrive in the final-cut lake. Left alone by man, these may afford a surprisingly rich habitat for wildlife, especially birds. Deer thrive in some North Dakota abandoned mines.

Reclamation of area mining is relatively simple compared to contour strip mining. Prior to mining, the topsoil is removed and stockpiled. The overburden from the initial cut may be used to fill in the final cut, and the top part of the headwall is sometimes cut down to grade into the spoil. The spoil banks are leveled and

the topsoil replaced; fertilization and replanting, usually with grasses or trees for erosion control, and subsequent monitoring of revegetation efforts, complete the process. In large operations, the leveling and replanting coincide with mining, which is ideal since this rapidly rebuilds the vegetation cover.

Reclamation of contour mining presents far greater difficulties, primarily because of the slope angles encountered. Research in Great Britain revealed that even well-vegetated slopes were producing fifty to 200 times as much sediment as similar, undisturbed slopes. Furthermore, the greater slope angles allow much more of the sediment to reach the channel below, where it eventually flows into streams and rivers.

Another problem for orphan lands in hilly terrain is the ecological island left when hills are completely enclosed by high headwalls. This is not unlike the ecological islands created in the southwestern United States from climate changes and vertical zonation of vegetation. Though far more recent, ecologists hope these “orphan islands” will allow interesting case studies of genetic isolation.

*See also* Agricultural pollution; Soil compaction; Soil loss tolerance.

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Nathan H. Meleen

*Strix occidentalis caurina* see **Northern spotted owl.**

## Strontium 90

A radioactive isotope of strontium, produced during nuclear fission. The isotope was of great concern to environmental scientists during the period of atmospheric testing of nuclear weapons. Strontium 90 released in these tests fell to the Earth's surface, adhered to grass and other green plants, and entered the food chain. Because strontium has chemical similarities to calcium, it follows the many of the same metabolic pathways (e.g., it can appear in an animal's milk). When a child drinks this contaminated milk, strontium 90 becomes incorporated into their bones and teeth. With a half-life of about twenty-eight years, strontium 90 continues to emit radiation to surrounding tissue throughout the individual's lifetime.

## Student Environmental Action Coalition

Founded in 1990, the Student Environmental Action Coalition (SEAC) focuses on social justice and on environmental and political issues. The mission of SEAC is to unite youth that have exhibited leadership in their community and to create an environmental movement. It has activists that build allegiances among local, regional, national, and international communities engaged in environmental struggles.

Topics that are frequently addressed include promotion of progressive causes, elimination of environmental injustice, combating racism and sexism, and developing a sustainable living style.

Skills in each local group are developed at conferences held throughout the year. These conferences are led by students and consist of workshops on the strategies, lectures, and publicity for grassroots organizing.

SEAC publishes *Threshold* and maintains a active online presence. SEAC issues action alerts and offers online resources for organizing.

### Resources

#### ORGANIZATIONS

Student Environmental Action Coalition, 2206 Washington Street E, Charleston, West Virginia, USA, 25311, (304) 414-0143, [seac@seac.org](mailto:seac@seac.org), <http://www.seac.org>

Nicole Beatty

## Styrene

Styrene is an oily organic liquid with an aromatic odor that is used as a building block for polymers in the manufacture of plastics, resins, coatings, and paints. Styrene is a synthetic monomer used to manufacture polystyrene, a common packaging polymer. Short-term health effects of styrene exposure include nervous system effects such as depression, loss of concentration, weakness, fatigue, and nausea. Potential long-term effects include liver and nerve tissue damage. Styrene has been designated as a possible human carcinogen. The drinking water standard (Maximum Contaminant Level, or MCL) for styrene is 0.1 parts per million (ppm). Styrene when released into water rapidly evaporates or is degraded by microorganisms. It does not bind to soils and may leach to ground water. However, its rapid degradation minimizes its leaching potential. Styrene does not tend to accumulate in aquatic life. It is also found in the air and in the microgram/cubic meter range.

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#### ORGANIZATIONS

The Styrene Information and Research Center, 1300 Wilson Boulevard, Suite 1200, Arlington, Virginia, USA, 22209, (703) 741-5010, (703) 741-6010, <http://www.styrene.org>

Judith L. Sims

## Submerged aquatic vegetation

Submerged aquatic vegetation consists of a taxonomically diverse group of plants that lives entirely beneath the water surface. This diverse group of aquatic plants includes species of angiosperm vascular plants, mosses, and liverworts, and macroalgae (seaweeds). Their underwater growth habit separates them from other kinds of aquatic plants that are free-floating, have floating leaves, or are emergent above the water surface.

Almost all species of submerged aquatic plants live in freshwater ponds, lakes, rivers, streams, and

wetlands, and shallow marine waters. They can occur in ponds with an acidic pH less than 4 or in alkaline waterbodies with pH greater than 10, but they tend to be most rich in species at pHs of 6 to 8. Only a few angiosperm species occur in brackish estuarine or marine habitats, including the eelgrass (*Zostera marina*), widgeon grass (*Ruppia maritima*), and turtlegrasses (*Thalassia* species). No aquatic mosses or bryophytes occur in saline waters.

Macroalgae, commonly known as seaweeds, are also classified as submerged aquatic vegetation though they are not biologically part of the kingdom Plantae. They are members of the kingdom Protista and live within the marine environment. They, too, are beneficial to the aquatic habitat.

Both grasses and macroalgae absorb nutrients which can be a source of pollutants in aquatic habitats. Submerged vegetation also is a major food source for many different species of organisms including waterfowl, sea turtles, and manatees. These plants and seaweeds add oxygen to the water, and grass roots help stabilize shorelines against erosion. Additionally, submerged aquatic vegetation provides shelter and food for organisms. For example, crustaceans, especially the blue crab, and juvenile or larval fish use submerged vegetation as protective nurseries and a means to hide from predators. Some organisms like barnacles and bryozoans attach themselves to plant surfaces to live. Other species use submerged vegetation as place to lay eggs and hatch new offspring.

Though communities of submerged aquatic vegetation help improve water quality, their own survival depends on maintaining good water quality. These plants and macroalgae are sensitive to environmental changes brought about by agricultural runoff, industrial waste, and global warming. Increased temperature, salinity, and depth of coastal waters in particular can contribute to marked habitat change for these species, thus threatening their distribution and abundance.

Submerged aquatic plants are most abundant in relatively shallow water, where they have access to enough sunlight to engage in photosynthesis at a high enough rate to survive. Waterbodies with poor visibility may support few or no submerged aquatic plants, and only at very shallow depths. Waterbodies may have poor visibility because of an excessive abundance of phytoplankton, or they may be highly turbid because of suspended clays, or they may be brown-colored because of dissolved organic matter leached from nearby bogs or peaty soil. Waterbodies with exceptionally clear water may have submerged aquatic plants growing on the bottom as deep as about 19 feet

(6 m). Shallow, moderately fertile, mesotrophic or eutrophic waterbodies may support especially large populations of submerged aquatic plants, where they may even be regarded as nuisance “weeds.”

Some of the most widespread and familiar species of angiosperm submerged aquatic plants include the tape-grass or wild celery (*Vallisneria americana*), the waterweed (*Elodea canadensis*), the ribbon-leaf pondweed (*Potamogeton epihydris*), the slender water-nymph (*Najas gracillima*), the pipewort (*Eriocaulon septangulare*), the greater bladderwort (*Utricularia vulgaris*), and the lake quillwort (*Isoetes lacustris*). Examples of bryophytes that are submerged aquatic plants include an aquatic peatmoss (*Sphagnum macrophyllum*), an aquatic moss (*Fontinalis antipyretica*), and an aquatic liverwort (*Ricciocarpus natans*).

A few species of submerged aquatic plants are used as ornamentals in aquaria and outdoor water-gardens. There are even some clubs of aficionados of the aesthetics of these cultivated aquatic plants. Examples include the Amazon sword (*Echinodorus amazonicus*), the tropical hornwort (*Ceratophyllum submersum*), the temple plant (*Hygrophila corymbosa*), the Java moss (*Vesicularia dubyana*), and a tropical aquatic liverwort (*Riccia fluitans*). Submerged aquatic plants are also used as ecosystem components in the constructed wetlands that are sometimes used to treat human sewage and other wastes.

A few submerged aquatic plants, particularly several non-native invasive species, can be sufficiently abundant that they are considered serious weeds because of their effects on the use of waterbodies for recreation and transportation. Some of the most important invasive weeds of this kind in North America are the Eurasian water-milfoil (*Myriophyllum spicatum*), the Brazilian waterweed (*Egeria densa*), and the hydrilla (*Hydrilla verticillata*). Attempts are sometimes made to reduce infestations of these species using mechanical harvesters or herbicides.

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Bill Freedman

## Subsidence

To subside is to sink or fall. Subsidence is commonly associated with a lowering of Earth's surface due to movements and processes below the surface. In some cases this is a natural phenomenon, occurring as a result of solubilizing and removing of minerals by water. When the underground support system is removed in the process, the surface of the land sinks to a new level. This often leads to a special topographic form known as Karst topography. Human activities that lead to the extraction of ores, minerals, and fossil fuels often lead to a weakened mineral structural support and subsidence of the surface of the earth. Extraction of water from sub-surface aquifers can also create surface subsidence.

## Subsoil

The portion of the soil below the surface layer. The subsoil is often referred to as the B horizon. Subsoils typically have less organic matter and are lighter in color, are denser, and often have a higher clay content than surface soils. Subsoils are generally not as productive as surface soils for plant growth. When surface soils erode, future agricultural productivity is reduced if subsoil assumes a greater role in crop production.

## Succession

Succession is the gradual transformation or creation of a biological community as new species move into an area and modify local environmental conditions. Primary succession occurs when plant and animal species colonize a previously barren area, such as a new volcanic island, a sand dune, or recently glaciated ground. In these cases every living thing, from soil bacteria and fungi to larger plants and animals, must



**A former beaver pond is colonized by a shrub community which will eventually be replaced by forest. The photo shows evidence of former forest (the dead tree snags), current shrub community, and pioneer trees which will eventually outcompete the shrubs.** (Martin Shields / Photo Researchers, Inc.)

arrive from some adjacent habitat. Secondary succession is the development of communities in an area that has been disturbed by fire, hurricanes, field clearing, tree felling, or some other process that removes most plants and animals. Intermediate successional communities are known as "seral stages" or "seres."

As early successional species become established, they alter their environment and make it more habitable for later seral stages. Usually a disturbed or barren area has low soil nutrient levels, intense sunlight, and no protection from violent weather. Because precipitation quickly runs off the bare ground, little moisture is available for plant growth. Species that can survive under such harsh conditions have little competition, and they spread quickly. As they grow and thicken, these plants add organic matter to the soil, which aids moisture retention and helps soil bacteria to grow. As soil nutrients and moisture increase, larger shrubs and perennial plants can take root. The shade of these larger species weakens and eventually eliminates the original pioneering species, but it cools the local environment, further improving moisture availability and allowing species that demand relative environmental stability, such as woodland species, to begin moving in. Eventually, shade-tolerant species of plants will come to dominate the area that sun-loving plants had first colonized.

One of the most well-documented examples of primary succession occurred in 1883 when the volcano on the Indonesian island of Krakatoa erupted, destroying most of the island and its life forms, and leaving a new island of bare volcanic rock and ash. Within a few years

several species of grasses, ferns, and flowering shrubs had managed to arrive, carried by wind, water, or passing birds from islands 25 miles (40 km) or more distant. Just forty years later, more than 300 plant species were growing, and in some areas over 12 inches (30 cm) of soil had developed: soil bacteria, insects, and decomposers had managed to reach the island and were turning fallen organic detritus into soil. Over the decades, the total number of species gradually stabilized, but the character of the community continued to change as incoming species replaced earlier arrivals. On Krakatoa succession happened with unusual speed because the climate is warm and humid and because the fresh volcanic ash made a nutrient-rich soil.

Early successional species, those most able to quickly establish a foothold, are known as pioneer species. Usually pioneer species are opportunists, able to find nourishment and survive under a great variety of conditions, quick to grow, and able to produce a great number of seeds or offspring at once. Pioneer species have very effective means of dispersing seeds or young, and their seeds can often remain dormant in soil for some time, sprouting only after conditions become suitable. Dandelions are well-known pioneers because they can quickly produce a seed head with hundreds of seeds. Each tiny seed has a lightweight structure that allows the wind to carry it long distances. Dandelions are quick to invade a lawn because of this effective seed-dispersal tactic, because they can survive under sunny or shady conditions, and because they grow and reproduce quickly. In open sunshine, they are competitive enough to establish themselves despite the presence of a thick mat of turf grass.

Later successional species tend to be more shade tolerant, slower to grow and reproduce, and longer lived than pioneer species. Their larger seeds do not disperse as easily (compare the size of an acorn or walnut with that of a dandelion seed), and their seeds cannot remain dormant for very long before they lose viability. Seedlings of some late successional species, such as the Pacific Northwest hemlock, require shade to survive, and most require considerable moisture and soil nutrients.

We usually think of succession occurring after a catastrophic environmental disturbance, but in some cases the gradual environmental changes of succession proceed in the absence of disturbance. Two outstanding examples of this are bog succession and the invasion of prairies by shrubs and trees.

In cool, moist, temperate climates, plant succession often turns ponds into forest through bog succession.

Water-loving plants, mainly rush-like sedges and sphagnum moss, gradually creep out from the pond's edges. Often these plants form a floating mat of living and dead vegetation. Other plants—cranberries, Labrador tea, bog rosemary—become established on top of the mat. Organic detritus accumulates below the mat. Eventually the bog becomes firm enough to support black spruce, tamarack, and other tree species. As it fills in and dries, the former pond slowly becomes indistinguishable from the surrounding forest.

Many grasslands persist only in the presence of occasional wildfires. During wet decades or when human activity prevents fires, woody species tend to creep in from the edges of a prairie. If sufficient moisture is available and if fires do not return, open grassland can give way to forest. Fire suppression has aided the advance of forests in this way across much of the United States, Canada, and Mexico. Grazing or browsing animals can also be important forces in maintaining biological communities. Adding or removing grazers can initiate successional processes.

Longer, slower disturbances than fire or field clearing can also initiate succession. Over the course of centuries, climate change can cause significant alteration in the character of biomes. Minor variations in rainfall or temperature ranges can alter community structure for decades or centuries. The end of the last glacial period about 10,000 years ago allowed tundra, then grasslands, then temperate forests to advance northward across North America. Even geologic activity, such as mountain building or changes in sea level, has caused succession. More recently, human introductions of species from one continent to another have caused significant restructuring of some biological communities.

Turnover from one community to another may occur in just a few years or decades, as it did on Krakatoa. Stages in bog succession may take a hundred years or more. Often, succession continues for centuries or millennia before a stable and relatively constant biological community emerges. This is especially likely in areas whose climate is moist and mild enough to support the high species diversity of temperate or tropical rain forests. However, simpler ecosystems such as arctic tundra may take centuries to recover from disturbance because low temperatures and short summers make growth rates almost universally slow.

Generally, successional processes are understood to lead ultimately to the emergence of a climax community, a group of species perfectly suited to a region's

climate, soil types, and other environmental conditions. Climax communities are said to exist in equilibrium in their environments; where early successional species groups tend to facilitate the development of later stages, climax communities, sometimes called mature communities, tend to have self-perpetuating characteristics or mechanisms that help maintain local environmental conditions and help the community to persist. In the 1930s, F. E. Clements, one of the best-known plant ecologists in the United States, identified a list of just fourteen climax communities that he claimed were the final result of succession for all the various sets of environmental conditions in the country.

More recent evaluations of climax communities, however, have concluded that climax forests are often a patchwork of stable and unstable areas. In an old-growth forest in the Pacific Northwest, hemlock and spruce may compose the local climax community, but every time a large tree falls, it creates a sunny opening that makes way for other seral species to grow for a time. Occasional fires disturb larger patches of forest, and succession starts again from the beginning in disturbed areas. The entire biome is more a shifting and changing mosaic than a uniform and unchanging forest. Often, slight gradations in soil types, slope, exposure, and moisture cause a variety of stable communities to blend in an area. The term “polyclimax community” was developed to recognize the complexity of such assemblages.

Usually climax communities are considered more diverse than intermediate communities. Because climax communities can remain stable for a long time, species can diversify and develop specialized niches. This specialization can lead to the coexistence of a great number of species, as is the case in tropical rain forests, where thousands of species may share just a few acres of forest. In some cases, however, intermediate seral stages actually have greater diversity because they contain elements of multiple communities at once.

*See also* Biodiversity; Biological fertility; Ecological productivity; Growth limiting factors; Introduced species; Restoration ecology.

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Linda Rehkopf

## Sudbury, Ontario

The town of Sudbury, Ontario, has been the site of a large metal mining and processing industry since the latter part of the nineteenth century. The principal metals that have been sought from the Sudbury mines are nickel and copper. Because of Sudbury’s long history of mining and processing, it has sustained significant ecological damage and has provided scientists and environmentalists with a clear case study of the results.

Mining and processing companies headquartered at Sudbury began by using a processing technique that oxidized sulfide ores using roast beds, or heaps of ore piled upon wood. The heaps were ignited and left to smolder for several months, after which cooled metal concentrate was collected and shipped to a refinery for processing into pure metals. The side effect of roast beds was the intense, ground-level plumes of sulfur dioxide and metals, especially nickel and copper, they produced. The smoke devastated local ecosystems through direct phytotoxicity and by causing acidification of soil and water. After the vegetative cover was killed, soils eroded from slopes and exposed naked granitic-gneissic bedrock, which became pitted and blackened from reaction with the roast-bed plumes.

After 1928, the use of roast beds was outlawed, and three smelters with tall stacks were constructed. These emitted pollutants higher into the atmosphere, but some local vegetation damage was still caused, lakes were acidified, and toxic contaminants were spread over an increasingly larger area.

Over the decades, well-defined patterns of ecological damage developed around the Sudbury smelters. The most devastated sites occurred closest to the sources of emission. They had large concentrations of nickel, copper, and other metals, were very acidic with resulting toxicity from soluble aluminum ions, and were frequently subjected to toxic fumigations by sulfur dioxide. Such sites had very little or no plant cover, and the few species that were present were usually physiologically tolerant ecotypes of a few widespread species.



**A blackened and polluted landscape surrounds the INCO nickel mine and smelter in Sudbury, Ontario, 1994. (© Paul A. Souders/CORBIS)**

Ecological damage and environmental contamination lessened with increasing distance from the point source of emissions. Obvious damage to terrestrial ecosystems was difficult to detect beyond 10–12 miles (15–20 km), but contamination with nickel and copper could be observed much farther away. Oligotrophic lakes with clear water, low fertility, and little buffering capacity, however, were acidified by the dry deposition of sulfur dioxide at least 25–31 miles (40–50 km) from Sudbury.

In 1972, a very tall, 1,247-foot (380-m) “superstack” was constructed at the largest of the Sudbury smelters. The superstack resulted in an even greater dispersion of smelter emissions. This, combined with closing of another smelter, and reduction of emissions by flue gas desulfurization and the processing of lower-sulfur ores, resulted in a substantial improvement of local air quality. Consequently, a notable increase in the cover and species richness of plant cover close to the Sudbury smelters has occurred, a process that has been actively encouraged by revegetation activities along roadways and other amenity areas where soil remained. Surrounding lakes have also become less acidic as pollution controls have significantly improved.

While mining continues in the area, the mining and related industries now trail education, health care, retail, and government as the local region’s largest employers.

*See also* Air pollution; Contaminated soil; Water pollution.

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Bill Freedman

## Sulfate particles

Sulfate particles are sub-micron sized, sulfur-containing airborne particles. Most sulfate is a secondary pollutant, formed by the oxidation in the atmosphere of sulfur dioxide gas. Sulfur dioxide is emitted largely in fossil fuel combustion, particularly from power plants burning coal. A small fraction (generally well under 10 percent) of sulfur is emitted as primary sulfate at the combustion source. The use of coal-cleaning, scrubbers, and low sulfur coal have reduced sulfur dioxide emissions in the United States, and thus airborne sulfate concentrations have decreased.

In the atmosphere, sulfur dioxide ( $\text{SO}_2$ ) emissions are slowly transformed to sulfate ( $\text{SO}_4$ ) at a rate of 0.1–5 percent per hour, with the rate increased by higher temperatures, sunshine, and the presence of oxidants. Further reactions with water vapor may produce sulfuric acid ( $\text{H}_2\text{SO}_4$ ), a corrosive acid which is injurious to ecosystems and humans, and also ammonium sulfate ( $(\text{NH}_4)_2\text{SO}_4$ ), which is particularly effective at impairing visibility. The atmospheric residence time for sulfate particles is long, two to ten days, which permits transport on a regional or continental scale across hundreds or thousands of miles. Because sulfate particles are relatively soluble, precipitation effectively washes them out, resulting in acid rain. Of the various sizes of aerosols, sulfate particles are in the accumulation mode, and typically there might be about 10,000 particles per  $0.06 \text{ in}^3$  ( $1 \text{ cm}^3$ ) in an urban area.

In addition to problems of acid rain and visibility degradation, sulfate particles can cause a number of health problems. Community epidemiological studies report associations of annual and multi-year average concentrations of  $\text{PM}_{10}$  (particulates),  $\text{PM}_{2.5}$  (fine particulate), and sulfates with health effects that include premature mortality, increased respiratory symptoms and illness (e.g., bronchitis and cough in children), and reduced lung function. The risks associated with long-term exposures, although highly uncertain, appear to be larger than those associated with short-term exposures. Other analyses have shown statistically significant associations between sulfate and other particulate air pollutants with total and cardiopulmonary mortality. Additionally, animal studies suggest that concentrations approaching ambient levels of ammonium sulfate and nitrate can cause morphometric changes that could lead to a decrease in compliance or a “stiffening” of the lung.

Because of the long time and distance scales required for transformation, and because sulfate particles are small, which allows them to remain airborne for several days, sulfate concentrations tend to be uniformly distributed across broad regions. In the eastern United States, sulfate particles constitute half

or more of fine fraction particle concentrations (also called  $\text{PM}_{2.5}$ ). Thus, much of the sulfate in an urban area or airshed arises from distant or “regional” sources. If at high levels, this “background” component can pose a dilemma for air quality management, since emission reductions from local sources do not control the problem. Instead, transboundary agreements such as the Canada-United States Air Quality Agreement, which was signed March 13, 1991, have been used to monitor and control emissions from distant sources that cause most of the emissions.

Governmental regulations in the United States do not directly address sulfate; however, the National Ambient Air Quality Standards do set limits on particulate concentrations ( $\text{PM}_{10}$ ), and regulations on fine particulate ( $\text{PM}_{2.5}$ ) have been proposed. Regulations limit emissions of sulfur dioxide at their sources and prevent the degradation of existing air quality levels. In Europe, deposition of acidic compounds, largely from atmospheric sulfate, is controlled by setting critical loads that depend on the capacity of a region to withstand acid inputs without lake and soil acidification. At the end of the first decade of the twentieth century, air pollution from sources in China and Eastern Asia had the highest concentrations of sulfate particulate pollution.

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## Sulfur cycle

The sulfur cycle is the series of chemical reactions by which sulfur (S) moves through the Earth’s atmosphere, hydrosphere, lithosphere, and biosphere. Sulfur in the biosphere occurs in various oxidation states: sulfate ( $\text{S}^{6+}$ ), sulfite ( $\text{S}^{4+}$ ), elemental sulfur ( $\text{S}^0$ ), and sulfide ( $\text{S}^{2-}$ ). Sulfur enters the atmosphere naturally from volcanoes and hot springs and through the activity of microorganisms, mostly in the oceans. Certain species of anaerobic bacteria can reduce sulfates, sulfites, thiosulfate ( $\text{S}_2\text{O}_3^{2-}$ ), and elemental sulfur to sulfides, usually forming hydrogen sulfide ( $\text{H}_2\text{S}$ ). Sulfur exists in the atmosphere primarily as reduced sulfides and sulfur dioxide ( $\text{SO}_2$ ). After conversion to sulfates ( $\text{SO}_4^{2-}$ ) in the air,

sulfur is carried to the Earth's surface by precipitation. There it is incorporated into plants and animals that return sulfur to the earth's crust when they decompose.

Through their use of fossil fuels, humans have a large effect on the sulfur cycle, approximately doubling or even tripling the amount of the element released to the atmosphere. Industrial processes such as pulpwood bleaching and smelting in mining operations (the melting of ore to extract metals) produce and release large amounts of sulfur dioxide, which enters the atmosphere and reacts with water in the air to produce sulfuric acid ( $\text{H}_2\text{SO}_4$ ). The sulfuric acid is then deposited through precipitation and fog to soil, plants, and into water bodies. Acid rain is precipitation that contains significant amounts of sulfuric acid and nitric acid ( $\text{HNO}_3$ ) and is responsible for damaging plants and changing the chemistry and pH of bodies of water, affecting the health of aquatic organisms.

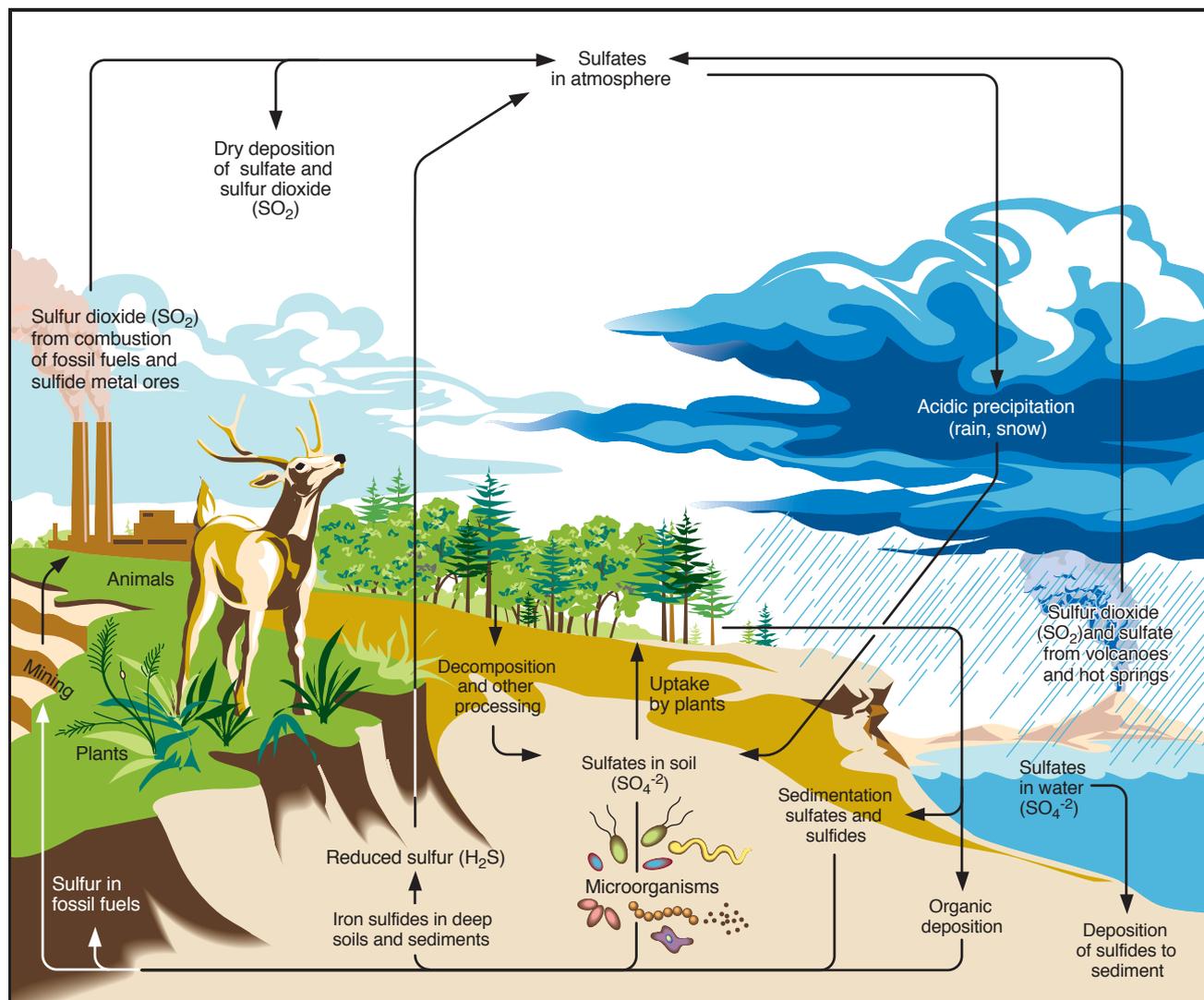
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## Sulfur dioxide

Sulfur dioxide is the oxide of sulfur (S) prevalent in the atmosphere. Sulfur dioxide ( $\text{SO}_2$ ) is produced naturally in the atmosphere by the oxidation of hydrogen



The sulfur cycle. (Reproduced by permission of Gale, a part of Cengage Learning)

sulfide ( $\text{H}_2\text{S}$ ), a gas released from volcanoes and hot springs. Sulfur dioxide is released primarily during the combustion of fossil fuels. Sulfur dioxide is also produced during smelting (the process of melting ore to remove metals), and is used in bleaching paper and pulpwood. Sulfur dioxide reacts with water in clouds to form sulfuric acid ( $\text{H}_2\text{SO}_4$ ), which results in acid rain causing damage to plants and acidified aquatic ecosystems. Sulfur dioxide is also responsible for a variety of respiratory problems in humans.

Sulfur dioxide is one of the six common air pollutants regulated by the Clean Air Act and monitored by the U.S. Environmental Protection Agency (EPA) in accordance with the National Ambient Air Quality Standards (NAAQS). Efforts to reduce the adverse effects of sulfur dioxide on human health and the environment include programs to decrease sulfur dioxide emissions from industrial sources and reducing or eliminating sulfur content in fuels. The use of biodiesel, which does not contain sulfur, has resulted in a reduction of sulfur dioxide emissions as an alternative to traditional diesel fuel.

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## Superconductivity

In 1911, Dutch physicist Heike Kamerlingh-Onnes discovered that some materials, when cooled to very low temperatures—within a few degrees of

absolute zero—become superconductive, losing almost all resistance to the flow of electric current. Potentially, that discovery had enormous practical significance because a large fraction of the electrical energy that flows through any appliance is wasted in overcoming resistance. Kamerlingh-Onnes's discovery remained a laboratory curiosity for over seventy years, however, because the low temperatures needed to produce superconductivity are difficult to achieve. Then, in 1985, scientists discovered a new class of compounds that become superconductive at much higher temperatures (about  $-74^\circ\text{Fahrenheit}$  [ $-170^\circ\text{C}$ ]). The use of such materials in the manufacture of electrical equipment promises to greatly increase the efficiency of such equipment.

Superfund see **Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA); Superfund Amendments and Reauthorization Act (1986)**.

## Superfund Amendments and Reauthorization Act (1986)

Congress initially authorized Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA) legislation in 1980 to clean up abandoned dump sites in the United States that contained hazardous waste. The activities mandated under CERCLA were to be administered by the Environmental Protection Agency (EPA). The program was reauthorized in 1986 by the Superfund Amendment and Reauthorization Act (SARA) and more commonly referred to as simply the Superfund. Several provisions of CERCLA were changed or clarified.

Superfund sites identified in the original legislation were virtually ignored during the early 1980s. Several key EPA officials resigned after they were charged with mismanaging the monies allocated by the original legislation. The EPA attempted to speed cleanup of contaminated sites, but progress was still too slow for critics, some members of Congress and many individual citizens. When the program expired in September 1985, the cleanup activities at more than 200 sites were delayed for lack of funds. Concern about hazardous waste sites continued. This pressure on Congress was sufficient to facilitate reauthorization of CERCLA.



**Polluted swamp at the Inger Oil Superfund toxic waste site in Darrow, Louisiana, in the mid-1980s. The site has since undergone remediation, including contaminated soil excavation and groundwater monitoring wells.** (Susan Leavines/Photo Researchers, Inc.)

The Superfund was originally financed by a tax on receipt of hazardous waste, and by a tax on domestic refined or imported crude oil and chemicals. The SARA reauthorization increased funding from \$1.6 billion to \$8.5 billion over five years. It also authorized the use of contributions from potentially responsible parties (persons who had created the environmental hazards or who currently owned the land on which former dump sites were located). However, SARA declined to place the full financial burden of cleanup on oil and chemical companies. Funding is obtained from a broad-based combination of business and public contributions.

SARA emphasizes the importance of remedial actions, specifically those that reduce the volume, toxicity, or mobility of hazardous substances, pollutants and contaminants. Targets for long-term remedial actions are listed on the National Priorities List. This listing is revised each year.

In 2010, 1,270 sites were on the National Priorities List, and sixty-three new sites were proposed. 340 sites have been delisted since the advent of the NPL.

Over 40,000 uncontrolled waste sites have been reported to U.S. federal agencies. Factors used to rank the severity of reported sites include the type, quantity, and toxicity of the substance(s) found at the site, as well as the number of people likely to be exposed, the pathways of exposure, and the vulnerability of the groundwater supply at the site. If a site poses immediate threats such as the risk of fire or explosion, the EPA may initiate short-term actions to remove those threats before actual cleanup begins.

Critics charge that the number of hazardous waste sites nationwide is still underreported. Many states

have developed their own programs to supplement the federal Superfund.

Under SARA guidelines, the Agency for Toxic Substances and Disease Registry performs health assessments at Superfund sites. This program, administered by the Centers for Disease Control and Prevention, also lists hazardous substances found on sites, prepares toxicological profiles, identifies gaps in research on health effects, and publishes findings.

*See also* Chemical spills; Emergency Planning and Community Right-to-Know Act (1986); Hazardous material; Hazardous Substances Act (1960); Toxic substance; Toxic Substances Control Act (1976); Toxics use reduction legislation.

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Surface-water quality see **Water quality**.

## Surface mining

Surface mining techniques are used when a vein of coal or other substance lies so close to the surface that it can be mined with bulldozers, power shovels, and trucks instead of using deep shaft mines, explosive devices, or coal gasification techniques. Surface mining is especially useful when the rock contains so little of the ore being mined that conventional techniques, such as tunneling along veins, cannot be used. Surface mining removes the earth and rock that lies above the coal or mineral seam and places the overburden (surface material) off to one side as spoil. The exposed ore is removed and preliminary processing is done on site



**An open-pit copper mine.** (Photograph by Paul Logsdon. Phototake.)

or the ore is taken by truck to processing plants. After the mining operations are complete, the surface can be recontoured, restored, and reclaimed.

Surface mining already accounts for over 60 percent of the world's total mineral production, and the percentage is increasing substantially. Many factors contribute to the popularity of surface mining. The lead-time for developing a surface mine averages four years, as opposed to eight years for underground mines. Productivity of workers at surface mines is three times greater than that of workers in underground mining operations. The capital cost for surface mine development is between twenty and forty dollars per annual ton of salable coal, and the start-up expenses for underground mining operations are at least twice that, averaging around eighty dollars per annual ton.

The preliminary stages of mine development involve gathering detailed information about the potential mining site. Trenching and core drilling provide information

on the coal seam as well as the overburden and the general geological composition of the site. Analysis of the drill core from the overburden is an important step in preventing environmental hazards. For example, when the shale between coal seams and the underlying strata is disturbed by mining, it can produce acid. If this instability in the strata is detected by analysis of the drill cores, acid runoff can be avoided by appropriate mine design. The data obtained from the preliminary drilling is plotted on topographic maps so that the relationship between seams of ore and the overlying terrain are clearly visible.

After test results and all other relevant data have been obtained, the information is entered into a computer system for analysis. Various mine designs and mining sequences are tested by the computer models and evaluated according to technical and economic criteria in order to determine the optimal mine design. Satellite imagery and aerial photographs are usually

taken during the exploratory stages. These photographs serve as an excellent visual record of the actual environmental conditions prior to the mining operation, and they are frequently utilized during the reclamation process.

There are several types of surface mining, and these include area mining, contour mining, auger mining, and open-pit mining. Area mining is used predominantly in the Midwest and western mountain states, where coal seams lie horizontally beneath the surface. Operations begin near the coal outcrop—the point where the ore lies closest to the surface. Large strip-ping shovels or draglines dig long parallel trenches; this removes the overburden, leaving the ore exposed. The overburden excavated from the trench is thrown into the previous trench, from which coal has already been extracted. The process is similar to a farmer plowing a field in furrows. Because of the steep slopes and rugged terrain frequently encountered in area mining operations, the ore is usually recovered by small equipment such as front end bucket loaders, bulldozers, and trucks.

Area mining is also practiced in Appalachia (region that extends from southern New York to Georgia and into Mississippi and Alabama), where many of the coal seams lie under mountains or foothills. This type of area mining is commonly known as mountaintop removal. The mountains mined using this technique generally have long ridges with underlying deposits. A cut is made parallel to the ridge, and subsequent cuts are made parallel to the first; this results in the entire top of the mountain being leveled off and flattened out. When these areas are reclaimed, the most frequent designated uses are grazing lands or development sites.

Contour mining is used primarily at the point where the seam lies closest to the surface, on steep inclines such as in the Appalachian mountains. In these areas, coal usually lies in flat, continuous beds, and the excavation continues along the side of the mountain. This mining produces a long, narrow trench, with the highwall (exposed wall of overburden and coal, topsoil) extending along the trench—the contour line for horizontal coal seams. Recent federal regulations have outlawed many contour mining practices, such as leaving exposed highwall and spoil on the mountainside.

The auger process is used primarily in salvage operations. When it is no longer economically profitable to remove the overburden in the highwall, augering techniques are utilized to recover additional tonnage. The auger extracts coal by boring underneath the final highwall. Currently, auger mining

only accounts for 4 percent of surface-mined coal production in the United States.

Open-pit mining is used primarily in western states, where coal seams are at least one hundred feet (thirty meters) thick. The thin overburden is removed and taken away from the site by truck, leaving the exposed coal seam. This type of mine operation is very similar to rock quarry operations.

The equipment used in surface mining ranges from bulldozers, front-end bucket loaders, scrapers, and trucks to gigantic power shovels, bucket-wheel excavators, and draglines. Over the past ten years, technological development has concentrated on mechanization and development of heavy-duty equipment. Due to economic factors, equipment manufacturers have focused on improving performance of existing equipment instead of developing new technologies. Since the recession of the 1980s, bucket capacity and the size of conventional machines have increased. Concentration of production technology has created mining systems that incorporate mining equipment with continuous transportation systems and integrated computers into all aspects of the industry.

Although it is considered to be less dangerous than underground mining, surface mining can have severe environmental effects. About 25 tons (22.7 metric tons) of overburden is excavated per ton of coal. The process removes all vegetation, destroying microflora and microorganisms. The soil, subsoil, and strata are broken and removed. Wildlife is displaced, air quality suffers, and surface changes occur due to oxidation and topographic changes.

Hydrology associated with surface mining has a major effect on the environment. Removal of overburden may change the groundwater in numerous ways, including drainage of water from the area, altering the direction of aquifer flow, and lowering the water tables. It also creates channels that allow contaminated water to mingle with water of other aquifers. Acid mine drainage from the mining operations can contaminate the area and other water sources. This acidic drainage is caused by the exposure of pyrite in the coal seams to air and water, leading to sulfuric acid ( $H_2SO_4$ ) and iron hydroxide formation. In addition to being highly acidic, the runoff from mining operations also contains many other trace elements that adversely affect the environment.

In 1977, the U.S. passed the Surface Mining Control and Reclamation Act (SMCRA) to ensure that the previous contours of the land are restored after mining. Mining operators are required to prepare a plan for reclamation and the mitigation of acid mine

drainage prior to receiving a permit. Federal regulations require that topsoil be redistributed after the mining is complete, but many people do not consider this requirement sufficient. When soil is removed, the soil structure breaks down and compacts, preventing normal organic matter from getting into the soil. Microorganisms are destroyed by the changes in the soil and the lack of organic components. The rate of erosion in mining areas is also greatly increased due to the lack of native vegetation.

The removal of vegetation and overburden at the mining site displaces all wildlife, and a large portion of it may be completely destroyed. Some forms of wildlife, such as birds and game animals, may get out of the area safely, but those that hibernate or burrow usually die as a result of the mining. Ponds, streams, and swamps are routinely drained before mining operations commence and all aquatic life in the region is destroyed.

In addition to the short-term environmental effects, surface mining also has long-term impact on the flora and fauna within the region of the mine. Salts, heavy metals, acids, and other minerals exposed during removal of overburden suppress growth rate and productivity. Due to changes in soil composition, many native species of plants are unable to adjust. The loss of vegetation means a loss of feeding grounds, which in turn disrupts migration patterns. Displaced species encroach on neighboring ecosystems, which may cause overpopulation and disruption of adjacent habitats.

The Obama administration enacted an Interagency Action Plan in 2009 to reduce the environmental impacts of mountaintop mining. This plan includes extensive environmental reviews prior to obtaining permits and involves enforcing tighter regulations on mining. The necessary permits will not be issued for mining plans that fail to adhere to the regulations and guidelines set forth in the Clean Water Act and the SMCRA. The coordination of the Environmental Protection Agency (EPA), Fish and Wildlife Service (FWS), and state governments will ensure that adjacent communities and natural resources are minimally affected by this mining.

*See also* Surface Mining Control and Reclamation Act (1977).

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## Surface Mining Control and Reclamation Act (1977)

This act set minimum federal standards for surface coal mining and reclamation of mining sites. The act requires that: (1) mine operators demonstrate reclamation capability; (2) previous uses must be restored and the land reshaped to its original contour, with topsoil replacement and replanting; (3) mining be prohibited on prime western agricultural land, with farmers and ranchers holding veto power; (4) the hydrologic environment must be protected, especially from acid drainage; and (5) a \$4.1 billion fund be established to reclaim abandoned strip mines. States have enforcement responsibility, but the U.S. Department of the Interior steps in if they fail to act.

## Survivorship

In biology, survivorship quantifies the likelihood that an organism will remain alive between events. For example, the survivorship of human males in a given

country to age 20 might be around 96.5 percent, while survivorship to age 70 might be 55 percent.

Age-specific mortality can be illustrated in the form of a *survivorship curve* that usually yields one of three patterns of survivorship: mortality concentrated at the end of the maximum life span; a constant probability of death for every age group; or high early mortality followed by high survival. Survivorship can also be depicted with a *life table* showing the number of survivors from a given starting population at specific intervals.

## Suspended solid

A suspended solid refers to a substance which retains its molecular identity while suspended in a liquid. Most treatment facilities for both municipal and industrial wastewaters must meet effluent standards for total suspended solids (TSS). A typical TSS limit for a secondary wastewater treatment plant is 20 mg/L. However, some industries may have permits that allow them to discharge much more, for example, 500 mg/L. The test for TSS is commonly performed by filtering a known amount of water through a pre-weighed glass-fiber or 0.45 micron ( $\mu$ ) porosity filter. The filter with the solids is then dried in an oven (217°–221°Fahrenheit [103°–105°C]) and weighed. The amount of dried solid matter on the filter per amount of water originally filtered is expressed in terms of mg/L. Solids which pass through the filter in the filtrate are referred to as dissolved solids. Settleable solids (i.e., those that settle in a standard test within 30 minutes) are a type of suspended solids, but not all suspended solids are settleable solids.

## Sustainable agriculture

Because of concerns over pesticides and nitrates in groundwater, soil erosion, pesticide residues in food, pest resistance to pesticides, and the rising costs of purchased inputs needed for conventional agriculture, many farmers have begun to adopt alternative practices with the goals of reducing input costs, preserving the resource base, and protecting human health. This is called sustainable agriculture.

Many of the components of sustainable agriculture are derived from conventional agronomic practices and livestock husbandry. Sustainable systems more deliberately integrate and take advantage of

naturally occurring beneficial interactions. Sustainable systems emphasize management, biological relationships such as those between the pest and predator, and natural processes such as nitrogen fixation instead of chemically intensive methods. The objective is to sustain and enhance, rather than reduce and simplify, the biological interactions on which production agriculture depends, thereby reducing the harmful off-farm effects of production practices.

Examples of practices and principles emphasized in sustainable agriculture systems include:

- Crop rotations that mitigate weed, disease, insect, and other pest problems; increase available soil nitrogen and reduce the need for purchased fertilizers; and, in conjunction with conservation tillage practices, reduce soil erosion.
- Integrated pest management (IPM) that reduces the need for pesticides by crop rotations, scouting weather monitoring, use of resistant cultivars, timing of planting, and biological pest controls.
- Soil and water conservation tillage practices that increase the amount of crop residues on the soil surface and reduce the number of times farmers have to till the soil.
- Animal production systems that emphasize disease prevention through health maintenance, thereby reducing the need for antibiotics.

Many farmers and people of rural communities are starting to explore the possibilities of systems of sustainable agriculture. The term “systems” is used because there is no one single way to farm sustainably. The possible ways are as numerous as farmers and potential farmers.

The first aspect of sustainable agriculture is the understanding that a respect for life, in its various forms, is not only desirable but necessary to human survival. A second aspect requires that the farming system not put life in jeopardy, and that its methods not deplete the soil or the water or place farmers in situations where they themselves are depleted, either in numbers or in the quality of their lives.

Another aspect of sustainable agriculture recognizes that farming families are an essential part of a sustainable system. Farmers are the systems’ stewards, or caregivers. As stewards, they know their land better than anyone else and are equipped to shoulder the challenge of developing a sustainable system on that land. In a sustainable system, farmers ideally move toward less dependence on off-farm purchased inputs and more toward natural or organic materials. This is accomplished by gaining knowledge about the intricate biological and economic workings of the farm.

Lastly, sustainable agricultural systems require the support of consumers as well; they can give support, for example, by selectively buying food raised in close proximity to a buyer's local market.

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Terence H. Cooper

## Sustainable architecture

Sustainable architecture refers to the practice of designing buildings which create living environments that work to minimize the human use of resources. This practice is reflected both in a building's construction materials and methods and in its use of resources, such as in heating, cooling, power, water, and wastewater treatment.

The operating concept is that structures so designed sustain their users by providing healthy environments, improving the quality of life, and avoiding the production of waste, to preserve the long-term survivability of the human species.

American environmentalists Hunter (1950–) and Amory Lovins (1947–), founders of the Rocky Mountain Institute, say the purpose of sustainable architecture is to “meet the needs of the present without compromising the ability of future generations to meet their own needs.”

The term, however, is a broad one, and is used to describe a wide variety of aspects of building design and use. For some, it applies to designing buildings that produce as much energy as they consume. Another interpretation calls for a consciousness of the spiritual significance of a building's design, construction, and site. Also, some maintain that the buildings must foster the spiritual and physical well-being of their users.

One school of thought maintains that, in its highest form, sustainable architecture replicates a stable ecosystem. According to noted ecological engineer David Del Porto, a building designed for sustainability is a balanced system where there are no wastes, because the outputs of one process become the inputs of another. Energy, matter, and information are cascaded through connected processes in cyclical pathways, which by virtue of their efficiency and interdependence yield the matrix elements of environmental and economic security, high quality of life, and no waste. The constant input of the sun replenishes any energy lost in the process.

Sustainability, as it relates to resources, became a widely used term with American environmentalist Lester Brown's (1934–) book, *Building a Sustainable Society*, and with the publishing of the International Union on the Conservation of Nature's (IUCN) “World Conservation Strategy” in 1980.

Sustainability then came to describe a state whereby natural renewable resources are used in a manner that does not eliminate or degrade them or otherwise diminish their renewable usefulness for future generations, while maintaining effectively constant or non-declining stocks of natural resources such as soil, groundwater, and biomass (World Resources Institute).

Before sustainable architecture, the term solar architecture was used to express the architectural approach to reducing the consumption of natural resources and fuels by capturing solar energy. This notion evolved into the current and broader concept of sustainable architecture, which expands the scope of issues involved to include water use, climate control, food production, air purification, solid wastereclamation, wastewater treatment, and overall energy efficiency. The concept of sustainability with respect to architecture also encompasses building materials, emphasizing the use of local materials, renewable resources and recycled materials, and the mental and physical comfort of the building's inhabitants. In addition, sustainable architecture calls for the siting and design of a building to harmonize with its surroundings.

The United Nations lists the following five principles of sustainable architecture:

- *Healthful interior environment.* All possible measures are to be taken to ensure that materials and building systems do not emit toxic substances and gases into the interior atmosphere. Additional measures are to be taken to clean and revitalize interior air with filtration and plantings.
- *Resource efficiency.* All possible measures are to be taken to ensure that the building's use of energy and



**Water recovery tanks and troughs at the University of Texas Health Science Center at Houston's new school of nursing building collects rainwater for re-use. (AP Photo/David J. Phillip)**

other resources is minimal. Cooling, heating, and lighting systems are to use methods and products that conserve or eliminate energy use. Water use and the production of wastewater are minimized.

- *Ecologically benign materials.* All possible measures are to be taken to use building materials and products that minimize destruction of the global environment. Wood is to be selected based on non-destructive forestry practices. Other materials and products are to be considered based on the toxic waste output of production. Many practitioners cite an additional criterion: that the long-term environmental and societal costs to produce the building's materials must be considered and prove in keeping with sustainability goals.
- *Environmental form.* All possible measures are to be taken to relate the form and plan of the design to the site, the region, and the climate. Measures are to be taken to heal and augment the ecology of the site. Accommodations are to be made for recycling and energy efficiency. Measures are to be taken to relate the form of building to a harmonious relationship between the inhabitants and nature.

- *Good design.* All possible measures are to be taken to achieve an efficient, long-lasting, and elegant relationship of area use, circulation, building form, mechanical systems, and construction technology. Symbolic relationships with appropriate history, the Earth, and spiritual principles are to be searched for and expressed. Finished buildings shall be well built, easy to use, and beautiful.

The Nederlandsche Middenstandsbank (NMB) headquarters in Amsterdam, the Netherlands, is an example of sustainable architecture. Constructed in 1978, this approximately 150,000 square foot (45,500 m<sup>2</sup>) complex is a meandering S-curve of ten buildings, each offering different orientations and views of gardens. Constructed of natural and low-polluting materials, the buildings feature organic design lines, indoor and outdoor gardens, passive solar elements, heat recovery, water features, and natural lighting and ventilation. Built for an estimated 5 percent more than a conventional office building, the NMB building's operating costs are only 30 percent of those of a conventional building. Another example is the Solar Living

Center in Hopland, California, which employs both passive and photovoltaic (producing electricity from the sun's energy) solar elements, as well as ecological wastewater systems. The rice straw bale and cement building is constructed around a solar calendar.

A more recent development in the use of sustainable architectural design is occurring in the rebuilding of New Orleans, Louisiana, which began after the damage by Hurricane Katrina in 2005. In 2006, American actor Brad Pitt (1963–) and Global Green USA, a non-profit organization that focuses on developing sustainable and affordable buildings and cities, teamed up to sponsor the Sustainable Design Competition for New Orleans to build a green, environmentally conscious community. The winning design featured decreased energy bills through efficient heating and cooling provided through ventilation and geothermal heat. The design also incorporated rainwater collection and recycling systems. Building fifty thousand of these homes would result in a reduction of energy bills adding up to \$38–56 million a year. The sustainable design would also decrease greenhouse gas emissions for each home by about eleven tons (ten metric tons) per household per year. The goal of this project is to promote sustainable living by building homes that result in reduced energy and water bills, healthier living, and the protection of the environment by using sustainable, non-toxic materials.

### Sustainable architecture as a movement

Some maintain that sustainability, as it relates to architecture, refers to a process and an attitude or viewpoint. Sustainability is “a process of responsible consumption, wherein waste is minimized, and buildings interact in balanced ways with natural environments and cycles, balancing the desires and activities of humankind within the integrity and carrying capacity of nature, and achieving a stable, long-term relationship within the limits of their local and global environment.” (Rocky Mountain Institute.)

However, sustainable architecture does not necessarily mean a reduction in material comfort. Sustainability represents a transition from a period of degradation of the natural environment (as represented by the industrial revolution and its associated unplanned and wasteful patterns of growth) to a more humane and natural environment. It means accomplishing more with less.

Proponents of sustainable architecture occasionally debate the broader applications of the term. Some say that sustainable buildings should generate more energy over time (in the form of power, etc.) than was

required to construct, fabricate their materials, operate, and maintain them. This is also referred to as regenerative architecture, which John Tillman Lyle sums up in his book, *Regenerative Design for Sustainable Development*, as “living on the interest yielded by natural resources rather than the capital.” Others simply see it as an approach to making buildings less consumptive of natural resources.

### Spiritual aspects of sustainable architecture

A spiritual viewpoint is that sustainable architecture is stewardship, a recognition and celebration of the human environment as a vital part of the larger universe and of humankind's role as caretakers of the earth. Viewed in this way, resources are regarded as sacred. Another perspective is that the creation of a building in the likeness of a living system is somewhat religious, as a divine entity creates a living order.

Although the term communicates slightly different meanings to various audiences, it nevertheless serves as a consciousness-raising focus for creating greater concern for the built environment and its long-term viability. Rather than representing a return to subsistence living, buildings designed for sustainability aim to improve the quality and standards of living. Sustainable architecture recognizes people as temporary stewards of their environments, working toward a respect for natural systems and a higher quality of life.

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## Sustainable biosphere

The biosphere is the region of the earth that supports life; it includes all land, water, and the thin layer of air above the earth. A sustainable biosphere is one with the continuing ability to support life.

Some scientists have suggested that the oceans and atmosphere in the biosphere adjust to ensure the continuation of life—a theory known as the Gaia hypothesis. British scientist James Lovelock (1919) first proposed it in the late 1970s. Lovelock argued that in the last 4,500 years of the earth's approximately five billion years of existence, humans have been placing increasingly greater stress on the biosphere. Lovelock called for a new awareness of the situation and insisted that changes in human behavior were necessary to maintain a biosphere capable of supporting life. Maintaining a sustainable biosphere involves the integrated management of land, water, and air.

The land management practices that many believe are necessary for sustaining the biosphere require conservation and planting techniques that control soil erosion. These techniques encourage farmers to use organic fertilizers instead of synthesized ones. Recycled manure and composted plant materials can be used to put nutrients back into the soil instead of increasing pollution in the environment through excess fertilizer application. Crop rotation reduces crop loss to insects and also increases soil fertility. Monitoring pest populations

through integrated pest management (IPM) plans helps determine the best times as well as the best methods for eliminating them, and using pesticides only when needed lowers pollution of soil and water and prevents insects from developing resistances.

China provides one model of good land management. Through techniques of sustainable agriculture which emphasize organic farming, China has met (though not exceeded) the nutritional needs of most of its people. On Chinese farms, methane (CH<sub>4</sub>) digesters recycle animal wastes, by-products, and general refuse; the gas produced from the digesters is in turn used as an energy source. Biological controls are also used to reduce the number of harmful insects and weeds. Crops are selected for their ability to grow in particular locations, and strip-farming and terracing help prevent soil erosion. Crops that put certain nutrients in the soil are planted in alternate rows with crops that deplete those nutrients. Forests, important for watershed and as a fuel source, are maintained. Fish farming, or aquaculture, is widely practiced, and the fish raised on these farms produce about ten times more animal protein than livestock raised on the same amount of land. In China, agriculture relies upon human labor rather than more expensive and environmentally harmful machinery, which has the added advantage of keeping millions of people gainfully employed.

Another important aspect of land management is biodiversity. Each organism is specially suited for its niche, yet all are interconnected, each depending on others for nutrients, energy, the purification of wastes, and other needs. Plants provide food and oxygen for animals, sustain the hydrocycle (water cycling), and protect the soil. Animals help pollinate plants and give off the carbon dioxide (CO<sub>2</sub>) that plants need to generate energy. Microorganisms recycle wastes, which returns nutrients to the system. The activity of many of these organisms is directly useful to humans. Additionally, having a broad range of organisms means a broad source of genetic material from which to create new, as well as better, crops and livestock. However, a reduction in the number of species reduces biological diversity. Hunting can destroy species, as can eliminating habitats by cutting down forests (deforestation), draining wetlands, building cities, and polluting excessively.

The water portion of the biosphere has two interconnected parts. Freshwater lakes and rivers account for about 3 percent of the earth's water, while the oceans contain the other 97 percent. Oceans are important to the biosphere in a number of ways. They absorb some of the gases in the air, regulating its composition, and influence climate all over the earth, preventing drastic weather changes. Additionally, the ocean contains a

myriad of plant and animal species, which provide food for humans and other organisms and aid in maintaining their environment.

However, according to many scientists, exploitation of the oceans must be curtailed to ensure that they remain viable. These scientists argue that species are too often harvested until they are destroyed, creating an imbalance in the ecosystem, and that fishing quotas that do not allow populations to be depleted faster than they can be replaced should be set and enforced. Rather than harvesting immature fish, the commercial fishing industry should release them, thus keeping the species population in equilibrium. Pressure must also be taken off individual species, and interactions in the ocean ecosystem should be examined. A strategy designed to consider these factors would benefit the whole ocean community as well as humans. Pollution of the ocean should also be reduced. Pollution can take the form of garbage, sewage, toxic wastes, and oil. In excess, these harm the organisms of the ocean, which in turn harms humans. Disposal of these substances should be controlled through, though not limited to, sewage treatment, discharge restrictions, and improved dispersal techniques.

Although freshwater is a renewable resource, care needs to be taken to keep it clean. Wise water management, which includes monitoring water supplies and water quality, can ensure that everyone's needs are met. Agriculture and commercial fishing require water, and manufacturing industries use water in many of their processes. Households consume water in cooking, cleaning, and drinking, among other activities. Without water, certain recreations such as water skiing and swimming would be impossible to enjoy. Wildlife and fish also need water to survive.

Pollutants reduce and damage the freshwater supply. Pollutants include chemicals, sewage, toxic waste, hot water (thermal pollution), and many other organic and inorganic materials. Waste leaching from landfills contaminates groundwater, and surface water is corrupted by wastes dumped into the water by polluted groundwater flowing into the stream or lake, by polluted rain, or by urban runoff. Like oceans, freshwater can break down small amounts of pollutants, but large amounts place great pressure on the system. Disposal of potential pollutants requires monitoring and treatment. A useful strategy is to balance the demands of humans with the needs of the ecosystem, emphasizing conservation and pollution reduction.

As with land and water, the atmosphere has been adversely affected by pollution. Pollution in the air causes such phenomena as smog, acid rain, atmospheric

inversion, and ozone layer depletion. Smog lowers the amount of oxygen in the air, which can cause health problems. Acid rain destroys plants, animals, and freshwater supplies. Atmospheric inversion exists when air pollution is unable to escape because a layer of warm air covers a layer of cooler air, trapping it close to the ground. Certain compounds, such as chlorofluoromethanes, thin the ozone layer by combining with ozone to split it apart. A thinner ozone layer provides less protection from the sun's ultraviolet radiation, which promotes skin cancer in humans and reduces the productivity of some crops. Reducing or eliminating harmful emissions can also control air pollution.

Sustainable development is one approach to keeping the biosphere healthy. This method attempts to increase local food production without increasing the amount of land taken. It involves nature conservation and environmental monitoring, and it advocates promoting public awareness and encouraging and training local communities to participate in maintaining the environment. The goal is to balance human needs with environmental needs, and proponents of this view maintain that economic growth depends on renewable resources, which in turn depends on permanent damage to the environment being kept at a minimum.

Increases in human population, deforestation, and pollution are damaging the environment and inducing climate change. This climate change is resulting in a warming of the environment and is altering weather patterns, thus affecting crop production, biodiversity, and overall ecosystem health. Sustainable agriculture is one method of combating the input of excess chemicals in the environment through increased crop monitoring and crop rotation. Advances in technology that allow us to use alternative fuels and sources of energy (e.g., bio-fuels, solar power) can aid in the reduction of greenhouse gas emissions and also decrease our dependence on non-renewable resources such as fossil fuels. Expanding protected areas such as the species-rich tropical and temperate rainforests may help to retain much of the world's biodiversity and reduce extinctions caused by habitat fragmentation and deforestation. By employing sustainable methods, the human impact on the environment can be reduced.

The Ecological Society of America published the Sustainable Biosphere Initiative (SBI) in the early 1990s. This document was an attempt to define ecological priorities for the twenty-first century and is based on the realization that research in applied ecology is necessary for better management of the earth's resources and of the systems that support life. The SBI calls for basic ecological research and emphasizes the

importance of education and policy and management decisions informed by such research.

The SBI outlines criteria for determining which research projects should be pursued, and it proposes three top research priorities: global change, biodiversity, and sustainable ecological systems. Global change research focuses on the causes and effects of various changes in all aspects of the biosphere (air, water, land). Biodiversity research focuses on both naturally occurring and human-caused changes in species. It also studies the consequences of those changes, including climate change. Research on sustainable ecological systems examines the pressures placed on the biosphere, methods of correcting the damage and management of the environment to maintain life.

Creating a sustainable biosphere requires a cohesive policy for reducing consumption and seeking non-material means of satisfaction. Many believe that such a cohesive policy would provide a much-needed focus for the many diverse environmental interests currently vying for public support.

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Nikola Vrtis

## Sustainable development

Sustainable development as a term was first introduced to the international community by *Our Common Future*, the 1987 report of the World Commission

on Environment and Development, which was chartered by the United Nations to examine the planet's critical social and environmental problems and to formulate realistic proposals to solve them in ways that ensure sustained human progress without depleting the resources of future generations. This Commission—which was originally chaired by Gro Harlem Brundtland (1939–), Prime Minister of Norway, and was consequently often referred to as the Brundtland Commission—defined sustainable development as “meeting the needs of the present without compromising the ability of future generations to meet their own needs.” The goal of sustainable development, according to the Commission, is to create a new era of economic growth as a way of eliminating poverty and extending to all people the opportunity to fulfill their aspirations for a better life.

Something is sustainable if it is permanent, enduring, or capable of being maintained for the long-term. Sustainable development, then, means improvements in human well-being that can be extended or prolonged over many generations rather than just a few years. The hope of sustainable development is that if its benefits are truly enduring, they may be extended to all humans rather than just the members living during a certain time period.

Development is a process by which something grows, matures, improves, or becomes enhanced or more fruitful. Organisms develop as they progress from a juvenile form to an adult. Human systems develop as they become more technologically, economically, or socially advanced and productive. In human history, social development usually means an improved standard of living or a more gratifying way of life.

Economic growth, in this view, is the only way to bring about a long-range transformation to more advanced and productive societies and to improve the lot of all people. As former President John F. Kennedy (1917–1963) said: “A rising tide lifts all boats.” But economic growth is not sufficient in itself to meet all essential needs. The Commission, which was actually named the Commission on Sustainable Development, or CSD, pointed out that we must make sure that the poor and powerless get their fair share of the resources required to sustain that growth. The CSD stated that “an equitable distribution of benefits requires political systems that secure effective citizen participation in decision making and by greater democracy in international decision making.”

The concept of sustainable growth of any sort is criticized by some because the finite availability of

nonrenewable resources and the capacity of the biosphere to absorb the effects of human activities are clearly limited and must impose limits to growth at some point. Nevertheless, supporters of sustainable development maintain that both technology and social organization can be managed and improved to meet essential needs within those limits.

Some development projects have been viewed by some as environmental, economic, and social disasters. Large-scale hydropower projects—for instance in the James Bay region of Quebec or the Brazilian Amazon—were supposed to be beneficial but displaced indigenous people, destroyed wildlife, and poisoned local ecosystems with acids from decaying vegetation and heavy metals from flooded soils released through leaching. Similarly, introduction of miracle crop varieties in Asia and huge grazing projects in Africa financed by international lending agencies crowded out wildlife, diminished the diversity of traditional crops, and destroyed markets for small-scale farmers.

Other development projects, however, worked more closely with both natural and local social systems. Projects such as the Tagua Palm Nut project sponsored by Conservation International in South America encouraged native people to gather natural products from the forest on a sustainable basis and to turn them into valuable products (in this case vegetable ivory buttons) that could be sold for good prices on the world market. Another exemplary local development project was the microloan financing pioneered by the *grameen* or village banks of Bangladesh. These loans, generally less than \$100, allowed poor people who usually would not have access to capital to buy farm tools, a spinning wheel, a three-wheeled pedicab, or some other means to support themselves. The banks were not concerned merely with finances, however; they also offer business management techniques, social organization, and education to ensure successful projects and loan repayment.

Still, critics of sustainable development argue that the term is self-contradictory because almost every form of development requires resource consumption. The limits and boundaries imposed by natural systems, they argue, must at some point make further growth unsustainable. Using ever-increasing amounts of goods and services to make human life more comfortable, pleasant, or agreeable must inevitably interfere with the survival of other species and eventually of humans themselves in a world of fixed resources.

While it is probably true that traditional patterns of growth that require ever-increasing consumption of resources are unsustainable, development could be

possible if it means: (a) finding more efficient and less environmentally stressful ways to provide goods and services; and (b) living with reduced levels of goods and services so that more can be made available to the impoverished majority.

Some economists argue that the physical limits to growth are somewhat vague, not because the laws of physics are inaccurate, but because substituting one resource for another in producing goods and services allows a tremendous flexibility in the face of declining abundance of some resources. For example, the extremely rapid adoption of petroleum products as sources of energy and raw materials in the early twentieth century is a good case study of how quickly new discoveries and technical progress can change the resource picture.

From this perspective, the decline of known petroleum reserves and the effects of gases from the combustion of petroleum products on climate change—while serious problems—are also signals to humans to look for substitutes for these products, to explore for unknown reserves, and to develop more efficient and possibly altogether novel ways of performing the tasks for which oil is now used. Teleconferencing, for instance, which allows people at widely distant places to consult without traveling to a common meeting site, is a good example of this principle. It is far more energy-efficient to transmit video and audio information than to move human bodies.

The issues of distributive justice or fairness between developed and developing societies—concerned with bearing the burden of sustaining future development and how resources will be shared in development—raise many difficult questions. Similarly, the technical problems of finding new, efficient, non-destructive ways of providing goods and services require entirely new ways of doing things. The range of theoretical understanding, personal and group activity, and policy and politics encompassed by this project is so vast that it might be considered the first time humans have tried to grasp all their activities and the workings of nature in its entirety as one integrated, global system.

The first planet-wide meeting to address these global issues was the United Nations Conference on the Human Environment which took place in 1972. It was followed by the United Nations Earth Summit held in June 1992, in Rio de Janeiro, Brazil. The scope of sustainability issues taken up at the Earth Summit is in itself remarkable. Agenda 21, a 900-page report drafted in preparation for the conference, included proposals for allocation of international aid to alleviate poverty and improve environmental health. Other

recommendations included providing sanitation and clean water to everyone, reducing indoor air pollution, meeting basic healthcare needs for all, reducing soil erosion and degradation, introducing sustainable farming techniques, providing more resources for family planning and education (especially for young women), removing economic distortions and imbalances that damage the environment, protecting habitat and biodiversity, and developing non-carbon energy alternatives.

In June 1997, a follow-up Earth Summit was held to adopt a comprehensive program to further implement Agenda 21. In 2002, the CSD met in Johannesburg for the World Summit on Sustainable Development (WSSD) and adopted the Declaration of Sustainable Development. This declaration reiterated the pledge of previous sessions “to fight against the worldwide conditions that pose severe threats to the sustainable development of our people, which include chronic hunger; malnutrition; foreign occupation; armed conflict; illicit drug problems; organized crime; corruption; natural disasters; illicit arms trafficking; trafficking in persons; terrorism; intolerance and incitement to racial, ethnic, religious, and other hatreds; xenophobia; and endemic, communicable, and chronic diseases, in particular HIV/AIDS, malaria, and tuberculosis.” The CSD session in 2003 outlined a multi-year program for addressing separate issues in seven two-year cycles. The three cycles spanning 2008 to 2013 intend to address Africa, agriculture, biodiversity, biotechnology, chemical use, desertification, drought, forests, land management, mining, mountains, rural development, transport, tourism, waste management, and long-term sustainable development planning on an international scale.

*See also* Amazon basin; Greenhouse effect.

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## Sustainable forestry

Sustainability may be defined in terms of sustaining biophysical properties of the forest, in terms of sustaining a flow of goods and services from the forest, or a combination of the two. A combined definition follows: sustainable forests are able to provide goods and services to the present without impairing their capacity to be equally or more useful to future generations. The goods and services demanded of the forest include wood of specified quality; habitat for wildlife, fish, and invertebrates; recreational, aesthetic, and spiritual opportunities; sufficient water of appropriate quality; protection (e.g., against floods and erosion); preservation of natural ecosystems and their processes (i.e. allowing large forested landscapes to be affected only by natural dynamics); and the preservation of species.

To provide in perpetuity for future demands, the productivity, diversity, and function of the forest must be maintained and enhanced. A listing of key biophysical properties follows, along with examples of current threats to their maintenance: (1) Soil productivity (reduced by erosion and nutrient depletion from overharvesting). (2) Biomass (degraded in quantity and quality by overharvesting and destructive logging practices). (3) Climatic stability (possibly threatened by emission of greenhouse gases). (4) Atmospheric quality (lowered by ozone and sulfur dioxide). (5) Ground and surface waters (altered by deforestation or drainage). (6) Diversity of plants, animals, and ecosystems (reduced by deforestation, fragmentation, and replacement of complex natural forests with even-aged forests harvested at young ages).



Forests are logged in alternating patches to allow for reseeded, Athabasca River, Alberta, Canada. (Wilbur E. Garrett/National Geographic/Getty Images)

Forest sustainability also depends on the maintenance and improvement of socioeconomic factors. These include human talent and knowledge; infrastructure (e.g., roads); and political, social, and economic institutions (e.g., marketing systems, stable political systems, international cooperation, and peace). Also essential are technological developments that permit wiser use of the forest and substitute ways to meet human needs. Perhaps the major threat to forest sustainability arises from an ever-increasing population, especially in developing countries, combined with the ever-increasing material demands of the developed nations.

Forests have been managed as a renewable and sustainable resource by stable indigenous tribes for many generations. In recent years, some governments have made efforts in this direction as well. Managing any forest for sustainability is a complex process. The spatial scale of sustainability is often global or regional, crossing land ownerships and political boundaries. The time horizons for sustainability by far exceeds those used for “long-term” planning by business or government.

Some people have even questioned the feasibility of sustainable forestry. Optimists believe technological innovation and changed value systems will enable us to achieve sustainability. Others feel demand will outstrip supply, leading to spiralling forest degradation, and have called for conservative and careful management of existing forests.

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Edward Sucoff

Swamp see **Mangrove swamp; Wetlands.**

## Swimming advisories

Swimming advisories are warnings that are issued to the public from government authorities responsible for testing of recreational fresh and salt water that contact with beach water could cause illness. An advisory follows the results of testing that reveals potentially



No swimming advisory sign, health risk. (© age fotostock / SuperStock)

harmful levels of bacteria. Typically, watermonitoring assesses levels of coliform bacteria (bacteria found in several environments, including feces) and sometimes more specifically *Escherichiacoli*, a type of bacteria found exclusively in feces, as a means of detecting the presence of feces in recreational waters. Since coliforms and *E. colido* not survive for very long outside of the intestinal tract, their detection in water is an indication of recent fecal contamination. As a result, local authorities restrict use of water for recreational activities when the bacteria level exceeds standards for safe use.

The bacteria that are tested for are indicators of fecal pollution. They are used for testing because they can be detected quickly and inexpensively. Their presence in the water does not necessarily pose a health danger, since the coliforms may not be disease-causing. However, other bacteria in feces (such as species of *Salmonella*, *Vibrio* and *Shigella*) can be dangerous. As well, disease-causing viruses can be present in feces.

A beach may be closed when the level of water contamination poses a definite health risk. Mildly polluted water can cause conditions such as a headache, sore throat, or vomiting. Highly polluted water can cause hepatitis, cholera, and typhoid fever.

People are at risk when they swim or come into contact with polluted water. The risk of illness increases when a person swallows water. Furthermore, direct exposure to water sometimes causes skin and eye infections.

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Liz Swain

## Swordfish

The swordfish, *Xiphias gladius*, is classified in a family by itself, the Xiphiidae. This family is part of the Scombroidea, a subfamily of marine fishes that includes tuna and mackerel.

Tuna and mackerel are two of the fastest-swimming creatures in the ocean. Swordfish rival them, as well as mako sharks, with their ability to reach speeds approaching 60 miles per hour (96.5 kph) in short bursts. Their streamlined form and powerful build account for

their speed, as well as the fact that their torpedo-shaped body is scaleless and smooth, thus decreasing surface drag. Swordfish have a tall, sickle-shaped dorsal fin that cuts through the water like a knife, and their long pectoral fins, set low on their sides, are held tightly against their body while swimming. Swordfish lack pelvic fins, and they have reduced second dorsal and anal fins which are set far back on the body, adding to the streamlining. It has been suggested that their characteristic “sword”—a broad, flat, beak-like projection of the upper jaw—is the ultimate in streamlining. The shape of their bill is one of the characteristics that separates them from the true billfish, which include sailfish and marlin.

Swordfish live in temperate and tropical oceans and are particularly abundant between 30 and 45 degrees north latitude. They are only found in colder waters during the summer months. Although fish in general are cold-blooded vertebrates, swordfish and many of their scombroid relatives are warm-blooded, at least during strenuous activity, when their blood will be several degrees higher than the surrounding waters. Another physiological adaptation is an increased surface area of the gills, which provides for additional oxygen transfer and thus allows swordfish to swim faster and longer than other species of comparable size.

Swordfish are valuable for both sport fishing and commercial fishing. The size of swordfish taken commercially is usually under 250 pounds (114 kg), but some individuals have been caught that weigh over 1,000 pounds (455 kg). The seasonal migration of this species has meant that winter catches are limited, but long-lining in deep waters off the Atlantic coast of the United States has proven somewhat successful in that season.

Swordfish have only become popular as food over the past fifty years. Their overall commercial importance was reduced in the 1970s, however, when relatively high levels of mercury were discovered in their flesh. Because of this discovery, restrictions were placed on their sale in the United States, which had a negative effect on the fishery. Mercury contamination was thought to be solely the result of dramatic increase in industrial pollution, but recent studies have shown that high levels of mercury were present in museum specimens collected many years ago. Although still a critical environmental and health concern, industrial sources of mercury contamination have apparently only added to levels from natural sources. Today, swordfish is considered somewhat of a luxury food item, sold at fine dining establishments.

Like any delicacy, swordfish entrees catch a tasty price for the establishments that serve them, but at what

cost to the environment? In 1999 alone, global fisheries caught roughly 55,000 tons of swordfish. About 40 percent of the all swordfish caught comes from the Pacific Ocean. California fisheries account for about 10 percent of the Pacific proportion netted each year. As mentioned, until the middle of this century, most of the swordfish caught were relatively large in size. By 1995, however, about 58 percent of the Atlantic swordfish caught were immature, weighing less than half the average adult mass. Juvenile fish are now caught more frequently because fishing techniques have become very efficient, leading to overfishing.

According to the Natural Resources Defense Council, an active conservation organization, two-thirds of the swordfish caught by United States fishermen are juvenile. In 1963, the average weight of swordfish caught was 250 pounds (114 kg). The average weight of swordfish caught in 1999 was 90 pounds (41 kg). Among conservation scientists, it is generally agreed that the minimum weight a female swordfish must attain for reproductive success is roughly 150 pounds (68 kg). Standards set by the International Commission for the Conservation of Atlantic Tunas (ICCAT), however, set a minimum weight limit at 50 pounds (23 kg), well below reproductive weight. The ICCAT, a regulatory council consisting of thirty-six nations that governs global swordfish and tuna fish catches, has limited overfishing by setting quotas for its member countries.

The United States Marine Fisheries Service reports that the global swordfish numbers have returned to expected levels in the Atlantic. As of 2010, populations in the Pacific are still slightly below expected levels. As of 2010, swordfish are not listed as an endangered species.

In 1998, in an effort to limit the rate and extent of the swordfish decline, observed at that time, a boycott and marine conservation campaign was launched. In 1998, the swordfish became the official symbol of global overfishing for the National Coalition for Marine Conservation, representing dozens of endangered species. That year, environmental organizations such as the Natural Resource Conservation Council and Seaweb created a partnership with prominent chefs at famous fine dining establishments across the nation. Restaurants also took swordfish off the menu due to fears over observed high levels of mercury. The FDA recommends that women who are or may become pregnant and small children avoid eating swordfish.

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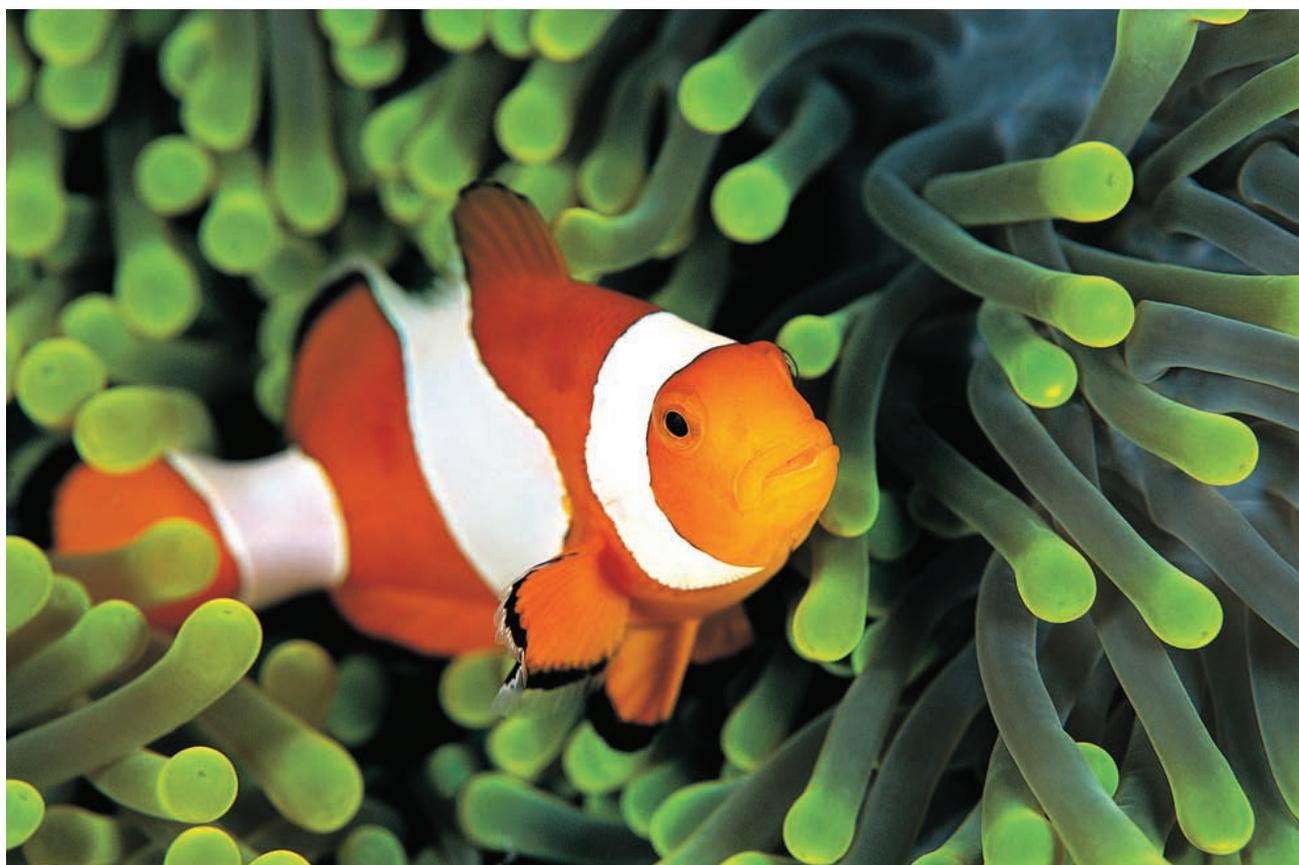
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Eugene C. Beckham

## Symbiosis

In the broad sense, symbiosis means simply "living together"—the union of two separately evolved organisms into a single functional unit regardless of the positive or negative influence on either species.

Symbiotic relationships fall into three categories. Mutualism describes the condition in which both organisms benefit from the relationship, commensalism exists when one organism benefits and the other is unaffected, and parasitism describes a situation in which one organism benefits while the other suffers. Symbionts may also be classified according to their mode of life. Endosymbionts carry out the relationship within the body of one species, the host. Exosymbionts are attached to the outside of the host in a variety of ways, or are unattached, seeking contact for specific purposes or at particular times. Similarly, symbionts may either be host-specific, co-evolved to one species in particular, or a generalist, able to make use of many potential partners. In either case, the relationship usually involves co-evolutionary levels of integration, where partners influence each other's fitness.



The clown anemonefish (*Amphiprion ocellaris*) lives safely among the stinging cells, or nematocysts, of the anemone, while the anemone receives a regular cleaning from the clown anemonefish. (Levent Konuk / Shutterstock.com)

Symbiosis generally requires either behavioral or morphological adaptation. Morphological adaptation ranges from the development of special organs for attachment, color patterns that signal partners to chemical cues, and intercellular alterations to accommodate partners. Behavioral adaptations include a range of body postures and displays that indicate readiness to accommodate symbionts.

Symbiosis is fundamental to life. The various forms of relationships between species—principally symbiosis, competition, and predation—create the structure of ecosystems and produce co-evolutionary phenomena which shape species and communities. At the most fundamental level, the transition from non-nucleated prokaryotic cells to eukaryotic cells, which is the evolutionary link to multicellular organisms, is generally believed to be by symbiosis. In higher organisms, 90 percent of land plants use some sort of association between roots and mycorrhizal fungi to provide nutrient uptake from soil. Almost 6,000 species of fungi and about 300,000 land plants can form

mycorrhizal associations. In the next step of the terrestrial food chain, almost all herbivorous species of insects and mammals use symbionts in the gut to digest cellulose in plant cell walls and allow nutrient assimilation. Thus, the energetics of life on earth, and certainly that of higher life forms, are largely dependent on symbiotic relationships.

*See also* Mycorrhiza; Parasites.

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David A. Duffus

## Synergism

Synergism is a term used by toxicologists to describe the phenomenon in which a combination of chemicals has a toxic effect greater than the sum of its parts. Antagonism refers to a combination of chemicals that leads to a less toxic effect than that observed for each individual chemical.

Malathion and Delnav are two organophosphate insecticides that were tested separately for the number of fish deaths caused at certain concentrations. When the two chemicals were combined, however, their toxicity was significantly greater than the individual tests would have led scientists to expect. The toxicity of the mixture, in such cases, is greater than the total toxicity of the individual chemicals.

In the manufacture of pesticides, toxic chemicals are deliberately combined to produce a synergistic effect, using an additive known as a potentiator or synergist to enhance the action of the basic active ingredient. Potentiation occurs when a non-toxic chemical is mixed with another chemical, rendering the second chemical more toxic. Piperonyl butoxide (C<sub>19</sub>H<sub>30</sub>O<sub>5</sub>), for example, is added to the insecticide rotenone to promote synergistic effects.

The study of unintended synergistic effects, however, is still in its early stages, and the majority of documented synergistic effects deal with toxicity to insects and fish. Mechanisms of synergism potentially involve the inhibition or over-activation of enzymes in biological systems. For instance, enzymes in a fish may be able to break down and eliminate one chemical, but the addition of a second chemical may induce the inhibition or activation of enzymes, causing a toxic effect. Laboratory studies on the effects of copper (Cu) and cadmium (Cd) on fish have established that synergistic interactions between multiple pollutants can have unanticipated effects. Both copper and cadmium are frequently released into the environment from a number of sources, without any precautions taken against possible synergistic impacts. Scientists speculate that there may be many similar occurrences of unpredicted and currently unknown synergistic toxicities operating in the environment.

Synergism has been cited as a reason to make environmental standards, such as the standards for water quality, more stringent. Chemicals discovered to have synergistic effects should be reevaluated to consider the synergistic interactions. Current water quality standards for metals and organic compounds are derived from toxicological research on their individual effects on aquatic life. However, pollutants discharged from a factory could meet all water quality standards and still harm aquatic life or humans because of synergistic interactions between compounds present in the discharge or in the receiving body of water.

Many scientists have expressed reservations about current standards for individual chemicals and concern about the possible effects of synergism on the environment. But synergistic effects are difficult to isolate and prove under field conditions. Some studies have found that the risk assessment for an individual chemical underestimates the toxic effects caused by mixtures of chemicals in the environment.

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Usha Vedagiri

## Synthetic fuels

Synthetic fuels are gaseous and liquid fuels produced synthetically, primarily from coal and oil shale. They are commonly referred to "synfuels."

The general principle behind coal-based synfuels is that coal can be converted to gaseous or liquid forms that are more easily transported and that burn more cleanly than coal itself. In either case, hydrogen is added to coal, converting some of the carbon it contains into ozone-depleting hydrocarbons. Coal liquefaction involves the conversion of solid coal to a petroleum-like liquid. The technology needed for this process is well known and has been used in special circumstances since the 1920s. During World War II, the Germans used this technology to produce gasoline from coal. In the Bergius process used in Germany, coal is reacted with hydrogen gas at high temperatures (about 890°Fahrenheit/475°C) and pressures (200 atmospheres). The product of this reaction is a mixture of liquid hydrocarbons that can be fractionated, as is done with natural petroleum.

The processes of coal gasification are similar to those used for liquefaction. In one method, coal is first converted to coke (nearly pure carbon), which is then reacted with oxygen and steam. The products of this reaction are carbon monoxide and hydrogen, both combustible gases. The heat content of this gas is not

high enough to justify transporting it great distances through pipelines, and it is used, therefore, only by industries that actually produce it.

A second type of gas produced from coal, synthetic natural gas (SNG), is chemically similar to natural gas. It has a relatively high heat content and can be economically transmitted through pipelines from source to use-site.

For all their appeal, liquid and gaseous coal products have many serious disadvantages. For one thing, they involve the conversion of an already valuable resource, coal, into new fuels with 30–40 percent less fuel content. Such a conversion can be justified only on environmental grounds or on the basis of other economic criteria.

In addition, coal liquefaction and gasification are water-intensive processes. In areas where they can be most logically carried out, such as the western states, sufficient supplies of water are often not available.

Another approach to the production of synfuels involves the use of oil shale or tar sands. These two materials are naturally occurring substances that contain petroleum-like oils trapped within a rocky (oil shale) or sandy (tar sand) base. The oils can be extracted by crushing and heating the raw material. Refining the oil obtained in this way results in the production of gasoline, kerosene, and other fractions similar to those collected from natural petroleum.

A fifth form of synfuel is obtained from biomass. For example, human and animal wastes can be converted into methane gas by the action of anaerobic bacteria in a digester. In some parts of the world, this technology is well-advanced and widely used. China, India, and Korea all have tens of thousands of small digesters for use on farms and in private homes.

During the 1970s, the United States government encouraged the development of all five synfuel technologies. In 1980, the United States Congress created the United States Synthetic Fuels Corporation to distribute and manage \$88 billion in grants to promote the development of synfuel technologies. The timing of this action could not have been worse, however. Within two years, the administration of President Ronald Reagan decided to place more emphasis on traditional fuels by deregulating the price of oil and natural gas and by extending tax breaks to oil companies. It also withdrew support from research on synfuels.

At the same time, worldwide prices for all fossil fuels dropped dramatically. There seemed to be no indication that synfuels would compete economically with fossil fuels in the foreseeable future. Unable to

deal with all these setbacks, the United States Synthetic Fuels Corporation went out of business in 1992.

The rising price of oil makes synthetic fuels more attractive. The most experienced company in the production of these fuels is Sasol that produces most of South Africa's diesel fuel. The company uses coal and natural gas as a feedstock to produce a variety of synthetic petroleum products and is constructing plants that use the Fischer-Tropsch process to make these fuels. In March 2008 a B-1B Lancer became the first Air Force aircraft to fly at supersonic speeds using an alternate fuel consisting of a 50/50 blend of synthetic and petroleum derived fuel.

*See also* Renewable energy.

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David E. Newton

## Systemic

Refers to a general condition of process or system, rather than a condition of one of the component pieces. For example, when a plant wilts in response to drought, the entire organism is responding to the effect of loss. Communities of plants and animals and their abiotic environment comprise an ecosystem. Whole ecosystems may respond to an event, such as changes in local climate, by undergoing a systemic change—for example, the composition and abundance of species may be altered, and the functional ecological processes of those organisms rearranged.



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## Taiga

Taiga is the generic term for a type of conifer-dominated boreal forest found in northern environments. The word was first used to describe dense forests of spruce (especially *Picea abies*) in northern Russia. It has been extended to refer to boreal forests of similar structure in North America but dominated by other conifer species (especially *Picea mariana* and *Picea glauca*). Broad-leafed tree species are uncommon in taiga, although species of poplar (*Populus* spp.) and birch (*Betula* spp.) are present. Taiga environments are characterized by cool and short growing seasons. Plant roots can only exploit a superficial layer of seasonally thawed ground, the active layer, situated above permanently frozen substrate, or permafrost.

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## Tailings

Tailings are produced when metallic ores are ground into a fine powder to free the metal-bearing mineral. Its maximum particle size of about 0.08 inches (2 mm) is small enough to retain water and support plant growth. Fine dust is one potential hazard, especially if trapped in the lungs of miners. The greatest revegetation difficulties come from metals in lethal concentrations and deficiencies of critical nutrients, especially nitrogen and phosphorus. Some tailings remain barren for many years, with consequent high erosion rates. However, some metalophytes and plant populations are able to survive on tailing wastes.

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## Tailings pond

Evaporation ponds are often sites to store residue industrial waste, including tailings. The ponds function as a sediment trap. There can be serious environmental

consequences if water leaches out metals and other dangerous elements that were formerly trapped underground in a reducing (non-oxidizing) environment; acidic wastes amplify the leaching. And though usually stable, some tailings pond dams fail during heavy rains or as a result of structural failures or other pressures, unleashing a torrent of toxic materials and mudflow.

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## Takings

The term “takings” refers to the practice of taking, or otherwise restricting the use of, private property through the governmental power of eminent domain, environmental regulation, or other restrictions. The power of eminent domain—and the property owner’s right to compensation—is stated in the Bill of Rights (Fifth Amendment to the U.S. Constitution): “nor shall private property be taken for public use, without just compensation.” Likewise, the Fourteenth Amendment stipulates that no state may “deprive any person of life, liberty, or property, without due process of law; nor deny to any person within its jurisdiction the equal protection of the laws.”

Critics of environmental regulation contend that government cannot constitutionally interfere with a property owner’s freedom to do as he or she wishes with his or her own property without compensating the owner. For example, a farmer who wishes to extend or improve his land by draining a swamp or other wetland cannot be forbidden to do so without adequate compensation. A rancher who is forbidden by law to shoot or trap wolves that threaten his cattle can claim compensation for livestock losses due to wolf predation. To “take” or otherwise regulate or restrict the use of their property without full and fair compensation would constitute a violation of their

constitutionally guaranteed rights under the Fifth and Fourteenth Amendments.

Many environmentalists maintain that the high cost of such compensation would mean the effective end of many environmental regulations regarding wetlands, woodlands, and other habitats on private property. They contend that the Constitution does not construe the concept of “takings” as broadly as their critics do. There have long been restrictions on what one may do to or with his or her property. There are, for example, restrictions on erecting billboards on private property along public highways. Automobile salvage yards can be required to erect walls to shut out the unsightly view. In neither case is the owner to be compensated for loss, cost, or inconvenience. In these instances, the public interest in avoiding eyesores overrides the private interests of the property owners. So too, environmentalists contend, does the public interest in a clean, safe, and sustainable natural environment empower the federal, state, or local government to enact and enforce laws restricting what property owners may do with or to wildlife and the habitat that sustains them.

The legal and political battles over “takings” continue to be waged in the courts of law and public opinion. Some critics of environmental protection (most notably, libertarians associated with the Cato Institute) contend that the Constitution does not go far enough in guaranteeing the protection of private property rights and should therefore be further amended. Some environmentalists claim that the Constitution should, on the contrary, be amended to extend protection to animals, ecosystems, and future generations. It seems plausible to predict that these battles will be waged well into the twenty-first century.

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Terence Ball

## Tall stacks

The waste gases that escape from factories or power plants running on fossil fuels typically contain a variety of pollutants, including carbon monoxide, particulates, nitrogen oxides, and sulfur. The first of these pollutants, carbon monoxide, tends to disperse rapidly once it is released to the atmosphere and seldom poses a serious threat to human health or the environment. The same cannot be said of the last three categories of pollutants, all of which pose a threat to the well-being of plants, humans, and other animals.

During the 1960s, humans became increasingly aware of the range of hazards, posed by pollutants escaping from smokestacks. One of the most common events of the time was for residents in the area immediately adjacent to a factory or plant to find their homes and cars covered with fine dust from time to time. They soon learned that the dust was fly ash released from the nearby smokestacks. Particulates (fine particles of unburned carbon) also tend to settle out fairly rapidly and cover objects with a fine black powder.

It was clear that visible pollutants, such as fly ash and particulates, offered a hint that invisible pollutants, such as oxides of nitrogen and sulfur, were also accumulating in areas close to factories and power plants. As the health hazards of these pollutants became better-known, efforts to bring them under control increased.

Certain technical methods of air pollution control—electrostatic precipitation and scrubbing, for example—were reasonably well-known. However, many industries and utilities preferred not to make the significant financial investment needed to install these technologies. Instead, they proposed a simpler and less expensive solution: the construction of taller smokestacks.

The argument was that, with taller smokestacks, pollutants would be carried higher into the atmosphere. They would then have more time and space to disperse. Residents close to the plants and factories would be spared the fallout from the smokestacks. The “tall stack” solution met the requirements of the 1970 Clean Air Act as a “last-ditch alternative” to gas-cleaning technologies.

As a result, companies began building taller and taller smokestacks. The average stack height increased from about 200 feet (61 m) in 1956 to over 500 feet (152 m) in 1978. The tallest stack in the United States in 1956

was under 600 feet (182 m) tall, but a decade later, some stacks were more than 1,000 feet (305 m) high.

At first, this effort appeared to be a satisfactory way of dealing with air pollutants. The concentration of particulates and oxides of nitrogen and sulfur close to plants did indeed, decrease after the installation of tall stacks.

But new problems soon began to appear, the most serious of which was acid deposition. The longer oxides of sulfur and nitrogen remain in the air, the more likely they are to be oxidized to other forms, sulfur trioxide and nitrogen dioxide. These oxides, in turn, have the tendency to react with water droplets in the atmosphere forming sulfuric and nitric acids, respectively. These acids eventually fall back to earth as rain, snow, or some other form of precipitation. When they reach the ground, they then have the potential to damage plants, buildings, bridges, and other objects.

In 1990, amendments to the Clean Air Act called for the regulation of all sulfur dioxide emissions. Thus, the construction of tall stacks was no longer a viable means to dispersing air pollutants or skirting pollution regulations that only measured pollutants at ground level. Narrow tall stacks proved more difficult to retrofit with scrubbers and other emissions reducing technologies; the construction of new tall stacks (even those fitted with cleaner emissions technology) fell out of favor.

*See also* Acid rain; Air quality; Criteria pollutant; Emission; Scrubbers; Smoke; Sulfur dioxide.

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David E. Newton

## Talloires Declaration

The Talloires Declaration was created in October 1990 in Talloires, France. Jean Mayer, then president of Tufts University in Boston, called together twenty-two other university presidents and chancellors from universities throughout the world in order to express their concerns regarding the state of the world as well as prepare a document that identified key environmental actions that institutions of higher learning must take to prepare.

According to their organization, University Leaders for a Sustainable Future (ULSF), "Recognizing the shortage of specialists in environmental management and related fields, as well as the lack of comprehension by professionals in all fields of their effect on the environment and public health, this gathering defined the role of the university in the following way: "Universities educate most of the people who develop and manage society's institutions. For this reason, universities bear profound responsibilities to increase the awareness, knowledge, technologies, and tools to create an environmentally sustainable future."

The actions outlined are listed as follows:

- increase awareness of environmentally sustainable development
- create an institutional culture of sustainability
- educate for environmentally responsible citizenship
- foster environmental literacy for all
- practice institutional ecology
- involve all stakeholders
- collaborate for interdisciplinary approaches
- enhance capacity of primary and secondary schools
- broaden service outreach nationally and internationally
- maintain the movement

By September 2010, the declaration had been signed by over 400 institutions worldwide. ULSF has reported that the document has provided inspiration for other similar official declarations in locations throughout the world, including Halifax, Nova Scotia, Canada; and, Kyoto, Japan—home of the monumental Kyoto Treaty.

Programs have been developed at universities and colleges throughout the United States. One exemplary program was established at Ball State University in Muncie, Indiana. In an introduction to the Ball State plan, associate professor James Elfin of the Ball State Department of Natural Resources and Environmental Management, stated that, "If colleges and universities are leaders in the quest for knowledge, why can't they

be leaders on the path to a sustainable future? . . . Academic institutions are regarded—rightly or wrongly—as vanguards for what is possible and encuring. They are perceived to be on the forefront of what society can attain. As an institution, the university endures over a long span of time—if successful, it can be imagined to last forever. In an earlier edition of *The Declaration . . .* architect William McDonough was asked how long sustainability will take. His reply: “It will take forever. That’s the point.” Ball State outlined an extensive plan with a list of Action Items, practical ways in which to implement a “greening” of the campus.

The list of nine items are as follows:

- audits (three of them: one of campus environment, another of faculty expertise, and a third of needs of K–12 schools across the state of Indiana)
- campus bikeway system
- developing an environmental education resource center (in the meantime, a separate effort on campus has resulted in the creation of a field station and environmental education center, FSEEC)
- green issues awareness (or, “green facts” that would be distributed via various media on and off campus)
- retrofit or reconfiguration of the Central (District) Heating Plant
- adopting hybrid electric (or other energy-efficient) vehicles for the campus motor pool
- campus-wide recycling program (one has existed, but is not effectively run nor fully integrated with all campus operations)
- sustainability trunk show (to spread the word to larger publics outside the university community)
- incorporation of sustainability within the university core curriculum (or, general education program that is required by all students)

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Jane E. Spear

## Tansley, Arthur G.

1871–1951

British botanist

Arthur G. Tansley was a highly influential British botanist and ecologist. He was educated at University College, London, and Trinity College of Cambridge University. He taught at University College until 1906, and then moved to Cambridge. In 1927, He became a Professor of Botany at Oxford, and he retired as a Professor Emeritus in 1937. Much of his retirement was spent working for the conservation of nature in Britain, largely by public advocacy and by working with the Nature Conservancy, of which he was the first Chairman.

In 1902, Tansley founded the influential botanical journal, *New Phytologist*, and was its editor for thirty years. He was a founder of the British Ecological Society in 1913, and was the editor of its key periodical, the *Journal of Ecology*, for twenty years. Tansley’s classic book, *The British Islands and Their Vegetation*, was published in 1939.

Tansley was highly influential in the development of the field of ecology. He was the first person to use the word “ecosystem” to describe a basic unit of nature. He coined the word as a reduction of the phrase “ecological system” (the word “system” was borrowed from its prevalent use at the time in the field of physics). The new word was initially used at a conference at Ithaca, New York, in 1929. It was first published in 1935 in a highly influential paper in which Tansley discussed and clarified key terms and concepts in ecology. His ideas about “systems” were important in helping ecologists to understand that organisms and their communities are profoundly influenced by many non-living environmental factors, and vice versa (i.e., organisms and their communities also influence environmental factors). Much of the power of the ecosystem concept is inherent in its consideration of the complex, often unpredictable behavior of systems. The subsequent emergence of the field of systems ecology drew heavily on physics, mathematics, and computer science, as well as the more traditional ecological disciplines of plant and animal ecology. Prior to Tansley’s ideas about ecosystems, ecologists mostly studied populations of plant and animal species, or their communities, but with relatively little regard for the influence of and interaction with environmental factors.

Modern ecologists now consider ecosystems as consisting of all of the biotic (plants, animals, and microorganisms) and abiotic (climate, soil, and other

non-living environmental factors) elements of a specified area or volume. As such, an ecosystem can be relatively small (e.g., contained within a clod of earth or an aquarium) or as large as the entire biosphere of the Earth. Moreover, the state of an ecosystem at any point in time (for example, during succession following disturbance) represents the present balance between biological and abiotic influences.

Many ecologists also believe that ecosystems do not just consist of the sum of the qualities of their individual parts. Rather, there are also “emergent” properties that cannot be easily predicted, but may nevertheless be extremely important in the stability and resilience of the ecosystem. For example, some particularly important species, known as keystone species, may have a highly disproportionate influence on the integrity of their ecosystem, which could not be predicted based only on their relative contribution of biomass or productivity. Some top predators, for instance, play a key role in setting the structure of their entire food web, while certain microorganisms (such as nitrogen fixers) have an inordinate influence on overall productivity. Interestingly, in his 1935 paper, Tansley objected to some of the original, emerging ideas about holism in ecology; nevertheless, as evidence of emergent properties has accumulated, holistic ideas have taken root in the theory and practice of ecology.

Tansley is also known for his criticisms of the views of the influential American ecologist, Frederic Clements (1874-1945). Clements believed that plant communities were highly integrated and “organismic” in their qualities, and not merely a sum of their individual species. He also suggested that succession, or the process of development of plant communities after disturbance, should proceed to a highly predictable and stable climax community determined by regional conditions, particularly climate. This view was heavily criticized by another American ecologist, Henry Gleason (1882-1975), who argued that plant species respond to succession and environmental gradients in an individualistic manner, rather than in a strongly integrated, or organismic way. Tansley played an important role in this debate, and favored the individualistic hypothesis as being most realistic.

Tansley also performed important research that showed that plant species are capable of growing over a wider range of environmental conditions than they actually manage to exploit in nature. His experiments showed that competition is the key factor restricting species to a relatively narrow range of habitat conditions. This work influenced the development of the ecological concept of the niche. Modern textbooks define

the fundamental niche as the inherent tolerance of a species to environmental extremes (such as high and low temperatures), and the realized niche as the range of environmental conditions that a species actually exploits in nature, being mediated by competition.

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Bill Freedman

## Tar sands

Oil sands, formerly referred to as tar sands, are sandy substrates that contain large deposits of bitumin, a kind of fossil fuel. Bitumen is a thick, viscous, black, sticky, tar-like form of liquid petroleum, but its physical consistency is comparable to that of cold molasses. Oil sands occurring at or near the surface can be mined using open-pit techniques. The bituminous materials are then extracted by heating and the hydrocarbons refined and manufactured into a synthetic petroleum product. Once the readily extractable, surface deposits are extracted, deeper bitumen may be mined using steam assisted, *in situ* techniques that decrease viscosity and allow the bitumen to flow so it can be pumped to the surface.

Mined oil sand typically contains 10–12 percent bitumen, 4–6 percent water, and 80–85 percent mineral sand and clays. About 2.2 tons (2 tonnes) of oil sand must be processed to produce one barrel (0.16 m<sup>3</sup>) of liquid petroleum. About 75 percent of the bitumen present can typically be recovered from mined oil sand. After processing, the sand waste and any unextracted bitumen are eventually used to back-fill and contour mined-out areas, which are then seeded with vegetation to reclaim a stable plant cover.

Most economically recoverable oil sand occurs at or fairly near the ground surface. Initially it is mined in

enormous open pits, using extremely large trucks and industrial shovels, among the biggest of their kind in the world. After the open-pit mining, remaining deposits deeper than about 250 feet (75 m) and up to 1,300 ft (400 m) or deeper are recovered using so-called *in situ*, or in-site practices. Various techniques are used for *in situ* extraction of bitumen and heavy crude oil. They include the injection of steam, solvents, or carbon dioxide to reduce the viscosity of the heavy fluids so they can flow and be collected and pumped to the surface. The largest *in situ* recovery plant in Alberta is located at Cold Lake, where steam injection is used to enhance the ability of the bitumen to flow. This allows it to be pumped to the surface, where it is treated with liquid natural-gas hydrocarbon condensate to reduce its viscosity. The treated bitumen is then shipped by pipeline to a specialized refinery complex where a relatively light synthetic petroleum is manufactured from the heavy, bituminous, crude fossil fuel.

The world's largest resources of oil sand occur in northern Alberta, Canada, and in Venezuela, situated in northern South America. In Alberta, there are about 54,000 square miles (141,000 km<sup>2</sup>) of oil-sand deposits. This region contains a total estimated resource of 1.6 trillion barrels (0.25 trillion m<sup>3</sup>) of bitumen, of which about 311 billion barrels (49 billion m<sup>3</sup>) are thought to be potentially recoverable using known mining and extraction technologies. Once processed, this bitumen could yield an estimated 189 billion barrels (30 billion m<sup>3</sup>) of synthetic petroleum.

The first pilot plant to process oil-sand bitumen was constructed in Alberta in 1962. The first full-scale facility was developed between 1964 and 1967, with an initial capacity of 31,000 barrels per day (4.9-thousand m<sup>3</sup>/day). During the 1990s, extremely large investments were made to mine and process the oil sand deposits of Alberta. According to the Canadian Association of Petroleum Producers (CAPP), in 2010, Canada ranked as the seventh leading producer of crude oil in the world. Canada's 175 billion barrels of recoverable oil reserves (based on today's technology) is second only to that of Saudi Arabia. Oil sands represent some 97 percent (170 billion barrels) of Canada's total oil reserves. In 2010, Canada's oil sands produced over one million barrels of oil per day. According to projections by CAPP, extraction of oil from Canadian oil sands is expected to reach nearly four million barrels per day by 2020. Clearly, oil sands are a key component of the resource of liquid fossil fuels in North America, and a critical element contributing to the domestic energy security on the continent.

The mining and processing of oil sands results in important environmental impacts, although the most intense effects are restricted to the immediate vicinity of the industrial operations. For example, the development of mine sites, refineries, and pipelines results in severe direct damage to local natural habitats. In the case of open-pit mine development, the soil and other overburden removed from the site are generally stockpiled for use as a top-dressing during the reclamation of the pit after it is mined out. The original surface materials are conserved for this use because they provide a much more hospitable material for plant establishment and growth than does processed oil-sand waste, which is a highly mineral-and-infertile substrate. The reclamation usually attempts to re-create a facsimile of the original contours of the landscape, and is intended to establish self-maintaining vegetation with at least as high a level of productivity as what occurred prior to the mining. The reclamation effort is typically designed to develop grassland, forest, or wetland habitats, or a mixture of these. Often, the intention is to establish vegetation dominated by native species of plants. The resulting habitats may then be attractive to indigenous species of birds, mammals, and other animals.

The process by which bitumen is extracted from oil sand and then manufactured into synthetic petroleum results in some emissions of sulfur dioxide, a toxic gas, into the atmosphere. The permissible amounts of emissions are regulated within levels intended to prevent local vegetation damage. This is achieved by the use of scrubbing technologies that remove most sulfur dioxide from the flue gases before they are vented to the ambient environment. The mining and processing processes also require extremely large inputs of energy. Industrial engineers continue with efforts to make those extraction processes run more efficiently. This will result in large savings in process costs, while also reducing the emissions of carbon dioxide, an important greenhouse gas, to the atmosphere.

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Bill Freedman

## Target species

The object of a hunting, fishing, or collecting exercise, or an extermination effort aimed at destroying a particular organism. This term is often used to describe the intended victim of an application of pesticide or herbicide. Unfortunately, many non-target species can be affected by so-called targeted activities. For example, Pacific net fishermen target the yellowfin tuna, but also snare and kill non-target species such as dolphins. Pesticides applied to crops to kill insects (the target species) can enter the food chain/web, become biologically magnified, and kill non-target species.

*See also* Biomagnification.

## Taylor Grazing Act (1934)

This act established federal management policy on public grazing lands, the last major category of public lands to be actively managed by the government. The delay in its passage was due to a lack of interest (the lands were sometimes referred to as "the lands no one wanted") and due to competition between the Agriculture Department and the Interior Department over which department would administer the new program.

The purpose of the Taylor Grazing Act was to "stop injury to the public grazing lands . . . to provide for their orderly use, improvement and development . . . [and] to stabilize the livestock industry dependent on the public range." To achieve these purposes, the Secretary of the Interior was authorized to establish grazing districts on the public domain lands. The lands within these established districts were to be classified for their potential use, with agricultural lands to remain open for homesteading. In 1934 and 1935, President Franklin D. Roosevelt issued Executive Orders that withdrew all remaining public lands for such classification, an action that essentially closed the public domain. The Secretary was authorized to develop any regulations necessary

to administer these grazing districts, including the granting of leases for up to ten years, the charging of fees, the undertaking of range improvement projects, and the establishing of cooperative agreements with grazing landholders in the area.

Another important feature of the law called upon the Secretary of the Interior to cooperate with "local associations of stockmen" in the administration of the grazing districts, referred to by supporters of the law as "democracy on the range" or "home rule on the range." This was formalized in the creation of local advisory boards. The law created a Division of Grazing (renamed the Grazing Service in 1939) to administer the law, but for a number of reasons, the agency was ineffective. It became quite dependent on the local advisory boards and was often cited as an example of an agency captured by the interests it was supposed to be controlling. In 1946, the agency merged with the General Land Office to create the Bureau of Land Management.

Also included in the bill was the phrase "pending final disposal," which implied that these grazing lands would not necessarily be retained in federal ownership. This phrase was included because many thought the lands would eventually be transferred to the states or the private sector and because it lessened opposition to the law. The uncertainty introduced by this phrase made management of the grazing lands more difficult, and it was not eliminated until passage of the Federal Land Policy and Management Act in 1976.

The grazing fees charged to ranchers were initiated at a low level, based on a cost-of-administration approach rather than a market level approach. This has led to lower grazing fees on public lands than on private lands. Typically, three-fourths of the fees go to the local advisory boards and are used for range improvement projects.

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Christopher McGrory Klyza

## Tellico Dam

In an effort to put people back to work during the Great Depression, several public works and conservation programs were set in motion. One of these, the Tennessee Valley Authority (TVA), was established in 1933 to protect the Tennessee River basin, its water, soil, forests, and wildlife. TVA initiated many projects to meet these agency goals, but also to provide employment to this impoverished area and to slow the emigration of the region's youth. Many of the projects completed and operated by TVA were dams and reservoirs along the Tennessee River and its tributaries. In 1936 one such project site was identified on the lower end of the Little Tennessee River. This proposal for Tellico Dam was reviewed, but it was abandoned because the cost was too high and the returns too low. The project was reconsidered in 1942, and plans were drawn up for its construction; however, World War II halted its development.

Two decades passed before the Tellico Dam project became active again. By this time, there was some opposition to the dam from local citizens, as well as from the Tennessee State Planning Commission. Opposition grew through the mid-1960s and congressional hearings were held to study the economic and environmental factors of this project. Supreme Court Justice William O. Douglas even visited the site to lend his support to the Eastern Band of the Cherokee Indian Nation, whose land would be inundated by Tellico's reservoir. Congress, however, approved the project in 1966 and authorized funds to begin construction the following year.

After construction started, opponents of Tellico Dam requested that TVA prepare an Environmental Impact Statement (EIS) to be in compliance with the National Environmental Policy Act of 1969. TVA refused because Tellico Dam was already authorized and under construction before the Act was passed. Local farmers and landowners, whose land would be affected by the reservoir, joined forces with conservation groups in 1971 and filed suit against TVA to halt the project because there was no impact statement. The court issued an injunction, and construction of Tellico Dam was halted until the EIS was submitted. TVA complied with the order and submitted the final EIS in 1973. That same year the Endangered Species Act was signed into law, and two ichthyologists from the University of Tennessee made a startling discovery in a group of fishes they collected from the Little Tennessee River.

They discovered a new species of fish, the snail darter, later scientifically described and named *Percina tanasi*. Its scientific name honors the ancient Cherokee village of Tanasi, a site that was threatened by Tellico Dam. The state of Tennessee also takes its name from this ancient village. The snail darter's common name refers to the small mollusks that comprise the bulk of its diet. In January 1975, the U.S. Fish and Wildlife Service was petitioned to list this new species as endangered. Foreseeing a potential problem, TVA transplanted snail darters into the nearby Hiwassee River without consulting with the Fish and Wildlife Service or the appropriate state agencies. Two more transplants of specimens in 1975 and 1976 brought the number of snail darters in the Hiwassee to over 700. Meanwhile, in October 1975, the snail darter was placed on the federal endangered species list, and the stretch of the Little Tennessee River above Tellico Dam was listed as critical habitat.

TVA worked feverishly to complete the project and also prepared for the ensuing court battles. A suit was filed for a permanent injunction on the project, but this was denied. An appeal was immediately made to the U.S. Court of Appeals, which overturned the decision and issued the injunction. TVA then appealed this decision to the Supreme Court, which, to the surprise of many, upheld the Court of Appeals ruling, but with a loophole. The Supreme Court left an opening for the U.S. Congress to come to the aid of Tellico Dam. In 1979, an amendment was attached to energy legislation to exempt Tellico Dam from all federal laws, including the Endangered Species Act. President Carter reluctantly, because of the amendment, signed the bill. In January 1980, the gates closed on Tellico Dam, flooding over 17,000 acres (6,885 ha) of valuable agricultural land, the homes of displaced landowners, the Cherokee's ancestral burial grounds at Tanasi, and the riverine habitat for the Little Tennessee River's snail darters.

Fortunately, Tellico Dam did not cause the extinction of the snail darter. During the 1980s, snail darter populations were found in several other rivers in Tennessee, Georgia, and Alabama. A transplanted population in the Hiwassee River continues to thrive.

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Eugene C. Beckham

## Temperate rain forest

A temperate rain forest is an evergreen, broad-leaved, or coniferous forest which generally occurs in a coastal climate with cool to warm summers, mild winters, and year-round moisture abundance, often as fog. Broad-leaved temperate forests are found in areas including western Tasmania, southeastern Australia, New Zealand, Chile, southeastern China, and southern Japan. They often have close evolutionary ties to tropical and subtropical forests.

Temperate conifer rain forests are more cold-tolerant than broad-leaved rain forests and are rich in mosses while lacking tree ferns and vines. The original range of the temperate conifer rain forest included portions of Great Britain, Ireland, Norway, and the Pacific Coast of North America. The Pacific Northwest (PNW) rain forest extends from Northern California to the Gulf of Alaska and is the most extensive temperate rain forest in the world. The eastern boundary of the PNW forest is sometimes set at the crest of the most western mountain range and sometimes extended further east to include all areas in the maritime climatic zone, which has mild winters and only moderately dry summers. These forests are dominated by Douglas fir (*Pseudotsuga menziesii*), western hemlock (*Tsuga heterophylla*), sitka spruce (*Picea sitchensis*), western red cedar (*Thuja plicata*), coastal redwoods (*Sequoia sempervirens*), and associated hardwoods and conifers. In the absence of harvesting, these forests are regenerated by small wind storms and very infrequent catastrophic disturbances.

The PNW forests are noteworthy in many ways. Of all the world’s forests, they have the tallest trees, at 367 feet (112 m), and areas with the highest biomass (4,521 Mt per ha). On better sites the dominant species typically live 400 to 1,250 years or more and reach 3–13 feet (1–4 m) in diameter and 164–328 feet (50–100 m) in height, depending on site and species.

The natural temperate rain forest is generally less biodiverse than its tropical counterpart, but still contains a rich flora and fauna, including several species that are unique to its ecosystem. Preservation of

temperate rain forests is a global conservation issue. Humans have virtually eliminated them from Europe and left only isolated remnants in Asia, New Zealand, and Australia. In North America, sizeable areas of natural rain forest still exist, but those not specifically reserved are predicted to be harvested within twenty-five to fifty years.

The same pressures that previously converted most of the natural temperate rain forests to plantations and other land uses still threaten the remaining natural forests. Some suggest harvesting timber volumes before they are lost to insects or wind blow. Slow-growing natural forests are often replaced with younger forests of fast-growing species. Those who favor preservation cite the connection between intact rain forests and high salmon/trout production. They note that the replacement forests, being simpler in structure and species composition, cannot offer the same biological diversity and ecosystem functioning. Also extolled are the aesthetic and scientific benefits inherent in a naturally functioning ecosystem containing large ancient trees.

*See also* Biodiversity; Clear-cutting; Deciduous forest; Deforestation; Forest Service; Tropical rain forest.

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Edward Sucoff  
Klaus Puettmann

## Tennessee Valley Authority

The idea for the Tennessee Valley Authority (TVA) emerged with the election of Franklin D. Roosevelt as President of the United States, and it became one of the major symbols of his “New Deal” policies designed to rescue the country from the social and economic problems of the Depression of the 1930s. TVA activities have included improved navigation, flood control, production of electricity (and its distribution to small towns and rural areas), fertilizer production, soil conservation and stabilization, reforestation, improved transportation facilities, and recreational sites.

The TVA was established when Roosevelt signed the Implementing Act in May of 1933. Within a few months of its passage, the Norris Dam and the town of Norris, Tennessee were under construction and the controversy began. Early opponents labeled it “socialistic” and un-American, contrary to the American ideal of private enterprise. The most enduring criticism has been that TVA is too single-minded in its emphasis on power production, especially after hydro-capacity was exhausted and the agency turned first to coal and then

to nuclear power to fuel its power plants. TVA has most recently been criticized for being too concerned about profit-making and too little concerned about a project’s environmental impacts, such as air pollution created by generating plants and effects on endangered species.

The Tennessee Valley Authority has, however, effected change in the intended region. All of the region’s farms now have electricity, for example, compared to only 3 percent in 1933, and the area has been growing economically. It is now closer to the nation’s norms for employment, income, purchasing power, and quality of life as measured by jobs, income, and access to education, healthcare, and recreational facilities. A clean-air plan was initiated in 1998 to cut 170,000 tons of toxic emissions from TVA’s coal-fired plants yearly. In the early twenty-first century, the TVA made significant investments in green power initiatives, including solar, wind, and waste-derived methane (landfill gas) power generation. End-consumers of TVA produced electricity are able to purchase green power shares through their local electric companies to help fund sustainable green energy projects in the TVA region.

*See also* Tellico Dam.



In this February 12, 2009, photo provided by the Tennessee Valley Authority, coal ash cleanup is seen under way in a cove known as “Church Slough” in Harriman, Tennessee. More than 5 million cubic yards of ash spilled December 22, 2008, from the nearby Kingston Fossil Plant. TVA and EPA were hoping to have the ash removed from small coves like this one and the Emory River by spring 2010. (AP Photo/TVA)

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Gerald R. Young

## Teratogen

An environmental agent that can cause abnormalities in a developing organism resulting in either fetal death or congenital abnormality. The human fetus is separated from the mother by the placental barrier, but the barrier is imperfect and permits a number of chemical and infectious agents to pass to the fetus. Well known teratogens include (but are not limited to) alcohol, excess vitamin A, excess retinoic acid, the rubella virus, and high levels of ionizing radiation. Perhaps the best-known teratogenic agent is the drug thalidomide, which in the 1950s and 1960s induced severe limb abnormalities, known as phocomelia, in children whose mothers were prescribed the drug to relieve morning sickness.

*See also* Birth defects; Environmental health; Mutagen.

## Terracing

A procedure to reduce the speed at which water is removed from the land. Water is directed to follow the gentler slopes of the terrace rather than the steeper

natural slopes. Terracing is usually recommended only for intensively used, eroding cropland in areas of high-intensity rainfall. Terraces are costly to construct and require annual maintenance, but are feasible where arable land is in short supply or where valuable crops can be grown. In order to become self-sufficient in food production, ancient civilizations in Peru often constructed terraces in very steep, mountainous areas. Although terracing is widely practiced, a striking modern example can be found in Nepal, where people living in the foothills of the Himalayas use terraces in order utilize steep lands for food production.

## Territorial sea

Territorial sea is the part of the ocean that a nation controls. For centuries, countries had different opinions about who owned the seas. In 1609, Dutch statesman Hugo Grotius said that all nations should have access to the oceans, although his concerns centered around trading power. Nevertheless, most countries wanted territorial rights.

Methods for measuring boundaries included a 1702 recommendation from Cornelius van Bynkershonk. He proposed that a nation had the right to the area that could be protected by cannons located on land.

During the twentieth century, an international law defined territorial sea and three other coastal zones. The 1994 United Nations Convention on the Law of the Sea treaty defined territorial sea as the area extending up to 12 nautical miles (13.8 statute miles) from a nation's shoreline.

A nation's territorial sea consists of the ocean surface, the airspace above the sea, and the seabed below. Within this area, the nation has the exclusive rights to natural resources, as well as rights for fishing, shipping, and navigation.

The U.N. had discussed coastal boundaries since 1958, and nations met numerous times before approving the treaty in 1994. The United States officially claimed a twelve-mile territorial sea in 1988.

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Liz Swain

Tertiary sewage treatment see **Sewage treatment.**

## Territoriality

The attempt by an individual organism or group of organisms to control a specified area. The area or territory, once controlled, is usually bound by some kind of marker (e.g., chemical scent or physical boundary). Control of territory usually means defense of that territory, primarily against other members of the same species. This defense, which may or may not be aggressive, typically involves threats, displays of superior features (e.g., size or color), or displays of fighting equipment (e.g., teeth, claws, antlers). Actual physical combat is relatively rare. A songbird establishes its territory by vigorous singing and will chase intruders away during the mating and nesting season. A leopard (*Panthera pardus*) marks the boundaries of its territory with urine and will defend this area from other leopards of the same sex. Territoriality is found in many organisms, with many sociobiologists arguing territoriality and related behaviors are also driving forces for human behaviors. Territoriality serves several purposes, varying by species and location. For example, expression of territoriality may provide a good nesting or breeding site and a sufficient feeding or hunting area to support offspring.

## Tetrachloroethylene

Tetrachloroethylene is a manufactured chemical used for dry cleaning and metal degreasing. It is also used in the manufacture of other chemicals and for textile finishing and dyeing. Tetrachloroethylene has been produced commercially since the early 1900s. At room temperature, tetrachloroethylene is a nonflammable colorless liquid. It evaporates easily and has a sharp, sweet odor similar to chloroform, which most people can smell at a level of about one part per million

(ppm). Other names for tetrachloroethylene are perchloroethylene, PCE, tetrachloroethene, and "perc."

Results of animal studies using high levels of tetrachloroethylene indicated that it can cause liver and kidney damage. Exposure to very high levels of tetrachloroethylene was toxic to the unborn pups of pregnant rats and mice. The offspring of rats exposed to high levels of tetrachloroethylene during pregnancy exhibited changes in behavior. Since tetrachloroethylene has been shown to cause liver tumors in mice and kidney tumors in male rats, it is considered to be a potential human carcinogen.

Exposure to high concentrations of tetrachloroethylene, especially in poorly ventilated areas, can cause nose and throat irritation and dizziness, headache, sleepiness, confusion, nausea, difficulty in speaking and walking, unconsciousness, and death. Severe skin irritation may result from repeated or extended skin contact with tetrachloroethylene.

The Occupational Safety and Health Administration (OSHA) has set a limit of 100 ppm for an eight-hour workday over a forty-hour workweek. The National Institute for Occupational Safety and Health (NIOSH) recommends that because tetrachloroethylene is a potential carcinogen, levels in the workplace should be kept as low as possible. Since tetrachloroethylene is considered a hazardous air pollutant under the U.S. Clean Air Act, a federal rule went into effect in 1996 for dry cleaning establishments that regulates operating procedures and equipment type and use, with the goal of reducing tetrachloroethylene emissions from those facilities. However, tetrachloroethylene does not contribute to stratospheric ozone depletion and has been approved by the U.S. Environmental Protection Agency (EPA) for use as a replacement for ozone-depleting solvents.

Tetrachloroethylene can be released into air and water through dry cleaning and industrial metal cleaning or finishing activities. Water pollution can result from tetrachloroethylene leaching from vinyl pipe liners. Tetrachloroethylene released into soil will readily evaporate or may leach slowly to groundwater, for it biodegrades slowly in soil. When released into water bodies, tetrachloroethylene will primarily evaporate and has little potential for accumulating in aquatic life.

The Maximum Contaminant Level (MCL), which is an enforceable standard for tetrachloroethylene in drinking water, has been set at 5 parts per billion (ppb) by the EPA. This is the lowest level of tetrachloroethylene, given present technologies and resources, that a water treatment system can be expected to achieve. In contrast to the enforceable level of tetrachloroethylene is the desired level in drinking water,

which is referred to as the Maximum Contaminant Level Goal (MCLG). This been set at zero because of possible health risks. If levels of tetrachloroethylene are too high in a drinking water source, it can be removed through the use of granular activated carbon filters and packed tower aeration, which is a way to remove chemicals from ground water by increasing the surface area of the contaminated water that is exposed to air. Tetrachloroethylene wastes are classified as hazardous under the Resource Conservation and Recovery Act (RCRA) and must be stored, transported, and disposed of according to RCRA requirements.

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(EPA) called for a gradual reduction in the amount of lead in gasoline due to lead particles being a “threat to public health.” Until 1975, TEL was the most common additive used to reduce knocking in motor fuels when the reduction in the amount of lead in gasoline began. Regulations on the lead content of gasoline were set up to enforce the Clean Air Act and the National Ambient Air Quality Standards (NAAQS) that ensure the quality of air for human and environmental health. Lead is one of the six common air pollutants recognized by the EPA. Given the concern about the health effects of lead, the compound was banned for use in gasoline in the United States as of 1996.

*See also* Air pollution; Air pollution index; Emission; Gasohol.

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## Tetraethyl lead

Tetraethyl lead (TEL) is an organometallic compound with the chemical formula  $(C_2H_5)_4Pb$ . In 1922, automotive engineers found that the addition of a small amount of this compound to gasoline improves engine performance and reduces knocking. Knocking is a physical phenomenon that results when low-octane gasoline is burned in an internal combustion engine.

This additive presents an environmental hazard, however, since lead (Pb) is expelled into the environment during operation of automobile engines using leaded fuels. Lead negatively affects the nervous and cardiovascular systems in humans. In 1923, prior to discovering the adverse effects of TEL, American chemist and mechanical engineer Thomas Midgely Jr. (1889–1944) became ill after experimenting with TEL in a liquid concentrated state and gradually recovered over several weeks. Several workers that were involved in TEL production exhibited signs of mental instability and died in 1924. After decades of the U.S. Surgeon General setting voluntary standards regarding the amount of lead in gasoline, in 1973 the Environmental Protection Agency

## Thermal plume

Water used for cooling by power plants and factories is commonly returned to its original source at a temperature greater than its extraction temperature. This heated warm water leaves an outlet pipe in a stream-like flow known as a thermal plume. The water within the plume is significantly warmer than the water immediately adjacent to it. Thermal plumes are environmentally important because the introduction of heated water into lakes or slow-moving rivers can have adverse effects on aquatic life. Warmer temperatures decrease the solubility of oxygen in water, thus lowering the amount of dissolved oxygen available to aquatic organisms. Warmer water also causes an increase in the respiration rates of these organisms, and they deplete the already-reduced supply of oxygen more quickly. Warmer water also makes aquatic organisms more susceptible to diseases, parasites, and toxic substances. Thermal plumes also commonly result from subsurface volcanic activity.

*See also* Thermal pollution.

## Thermal pollution

Thermal pollution refers to the disturbance of air and water, and terrestrial and aquatic life, caused by heat

The combustion of fossil fuels always produces heat, sometimes as a desired primary product, but sometimes as a secondary and less-desired by-product. For example, coal, oil, natural gas, or some other fuel can be burned to heat domestic dwellings. Heat is also produced when fossil fuels are burned to generate electricity. In this case, heat is a by-product, not the main reason that fuels are burned.

Heat is also produced in other processes. For example, electricity is also generated in nuclear power plants, where no combustion occurs. The decay of organic matter in backyard compost piles and in landfills also releases heat. In landfills, the heat needs to be vented to the atmosphere to minimize the chance of an accidental fire within the landfill.

One example of thermal pollution is the development of an urban heat island, which consists of a dome of warm air over an urban area caused by the release of heat by the buildings as well as the retention and subsequent release of heat by concrete. Rural areas contain fewer buildings, sidewalks, shopping and parking lots, and other sources of heat, and so are cooler than urban zones.

It is not uncommon for urban heat islands to produce measurable climate changes. For example, the levels of pollutants trapped in an urban heat island can be 5–25 percent greater than the levels over rural areas. Fog and clouds may reach twice the level of comparable rural areas, wind speeds may be reduced by up to 30 percent, and temperatures may be 0.9–3.6° Fahrenheit (0.5°–2°C) higher than in surrounding rural areas. Such differences may cause both personal discomfort and, in some cases, actual health problems for those living within an urban heat island.

Initiatives by organizations including the United States Centers for Disease Control and Prevention are encouraging the planting of trees in cities and the creation of more urban parkland in an effort to lessen urban thermal pollution.

The term thermal pollution has traditionally been used more often to refer to the heating of lakes, rivers, streams, and other bodies of water, usually by electric power-generating plants or by factories. For example, a one-megawatt nuclear power plant may require 1.3 billion gallons (4.9 billion L) of cooling water each day. The water used in such a plant has its temperature

increased by about 30.6° Fahrenheit (17°C) during the cooling process. For this reason, such plants are usually built very close to an abundant water supply such as a lake, a large river, or an ocean.

During its operation, the plant takes in cool water from its source, uses it to cool its operations, and then returns the water to its original source. The water is usually recycled through large cooling towers before being returned to the source, but its temperature is still likely to be significantly higher than it was originally. In many cases, an increase of only a degree or two may be a significant change for organisms living in the water.

When heated water is released from a plant or factory, it does not readily mix with the cooler water around it. Instead, it forms a stream-like mass known as a thermal plume that spreads out from the outflow pipes. It is in this zone that the most severe effects of thermal pollution are likely to occur. Only over an extended period of time does the plume gradually mix with surrounding water, producing a mass of homogeneous temperature.

Heating the water in a lake or river can have both beneficial and harmful effects. Every species of plant and animal has a certain temperature range that is best for its survival. Raising the temperature of water may cause the death of some organisms, but may improve the environment for other species. Pike, perch, walleye, and small mouth bass, for example, survive best in water with a temperature of about 84° Fahrenheit (29°C), while catfish, gar, shad, and other types of bass prefer water that is about 10° Fahrenheit (5.5°C) warmer. This change in temperature can affect metabolism and other cellular processes in the dwelling organisms.

Spawning and egg development are also very sensitive to water temperature. Lake trout, walleye, Atlantic salmon, and northern pike require relatively low temperatures (about 48° Fahrenheit/9°C), while the eggs of perch and small mouth bass require a much higher temperature, around 68° Fahrenheit (20°C).

Changes in water temperature produced by a nuclear power plant, for example, are likely to change the biodiversity in a waterway.

Large increases in temperature can have disastrous effects on an aquatic environment. Few organisms could survive an accident in which large amounts of very warm water were suddenly dumped into a lake or river. This effect can be observed especially when a power plant first begins operation, when it shuts down for repairs, and when it restarts once more. In each case, a sudden change in water temperature can cause the death of many individuals and lead to a change in

the make-up of an aquatic community. Sudden temperature changes of this kind produce an effect known as thermal shock. To avoid the worst effects of thermal shock, power plants often close down or re-start slowly, reducing or increasing temperature in a waterway gradually rather than all at once.

One inevitable result of thermal pollution is a reduction in the amount of dissolved oxygen in water. The amount of any gas that can be dissolved in water varies inversely with the temperature. As water is warmed, therefore, it is capable of dissolving less and less oxygen. Organisms that need oxygen to survive will, in such cases, be less able to survive.

Water temperatures can have other, less-expected effects as well. As an example, trout can swim less rapidly in water above 66°Fahrenheit (19°C), making them less efficient predators. Organisms may also become more subject to disease and to the affects of toxins in warmer water. The bacterium *Chondrococcus columnaris* is harmless to fish at temperatures of less than 50°Fahrenheit (10°C). Between temperatures of 50°–70°Fahrenheit (10°–21°C), however, it is able to invade through wounds in a fish's body. It can even attack healthy tissue at temperatures above 70°Fahrenheit (21°C).

The loss of a single aquatic species or the change in the structure of an aquatic community can have far-reaching effects. Each organism is part of a food chain/web. Its loss may mean the loss of other organisms farther up the web who depend on it as a source of food.

The water heated by thermal pollution has a number of potentially useful applications. For example, it may be possible to establish aquatic farms where commercially desirable fish and shellfish can be raised. The Japanese have been especially successful in pursuing this option. Some experts have also suggested using this water to heat buildings, remove snow, fill swimming pools, use for irrigation, de-ice canals, and operate industrial processes that have modest heat requirements. Cogeneration is the process of recycling waste heat for use in industrial and domestic processes.

The fundamental problem with most of these suggestions is that waste heat has to be used where it is produced. It might not be practical to build a factory close to a nuclear power plant solely for the purpose of using heat generated by the plant. As a result, few of the suggested uses for waste heat have actually been implemented.

Thermal pollution can also occur when reservoirs disperse cold water into warmer rivers. This river cooling can have effects on the biodiversity of organisms in affected rivers similar to that of unnaturally heated water.

There are no easy solutions to the problems of thermal pollution. To the extent that industries and utilities use less energy or begin to use it more efficiently, a reduction in thermal pollution should result as a fringe benefit.

The other option most often suggested is to do a better job of cooling water before it is returned to a river, lake, or the ocean. This goal could be accomplished by enlarging the cooling towers that most plants already have. However, those towers might have to be as tall as a thirty-story building, in which case they would create new problems. In cold weather, for example, the water vapor released from such towers could condense to produce fog, creating driving hazards over an extended area.

Another approach is to divert cooling water to large artificial ponds where it can remain until its temperature has dropped sufficiently. Such cooling ponds are in use in some locations, but are not very attractive alternatives because they require so much space. A one-megawatt plant, for example, would require a cooling pond with 1,000–2,000 acres (405–810 ha) of surface area. In many areas, the cost of using land for this purpose would be too great to justify the procedure.

With the increasing development of land, another thermal pollution issue is urban runoff, which occurs when rainwater heated from contact with hot pavement, sidewalks, and rooftops drains into adjacent streams. This drainage causes the overall temperature of the stream to increase and can have negative effects on the organisms inhabiting the stream.

The term thermal pollution has also been used to describe changes in the global climate that may result from human activities. The large quantities of fossil fuels burned annually release a correspondingly large amount of carbon dioxide to the earth's atmosphere. This carbon dioxide, in turn, may increase the amount of heat trapped in the atmosphere through the greenhouse effect. One possible result of this change could be a gradual increase in the earth's annual average temperature. A warmer climate might, in turn, have possibly far-reaching and largely unknown effects on agriculture, rainfall, sea levels, diversity of living organisms, and other phenomena around the world.

*See also* Alternative energy sources; Industrial waste treatment.

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## Thermal stratification (water)

The development of relatively stable, warmer and colder vertical columns or layers within a body of water. Thermal stratification is related to incoming heat, water depth, and degree of water column mixing. Deep, large lakes such as the Great Lakes receive insufficient heat to warm the entire water column and lack adequate physical turnover or mixing of the water for uniform temperature distribution. Thus, they have an upper layer of water that is warmed by surface heating (epilimnion) and a lower layer of much colder water (hypolimnion), separated by a layer called the thermocline in which the temperature decreases rapidly with depth. Both daily and seasonal variations in heat input can promote thermal stratification. Stratification in summer followed by mixing in the fall is a phenomenon commonly observed in temperate lakes of moderate depths (over 33 ft [10 m]).

## Thermocline

A thermocline is a zone of rapid temperature change within a vertical column of water. It is the boundary between two layers of water that have different temperatures, in a lake, estuary, or an ocean. The thermocline is marked by a dramatic change in temperature, where the water temperature changes at least one Celsius degree with every meter of depth. Because of density differences associated with a change in temperature, thermoclines can prevent mixing of nutrients from deep to shallow water, and can therefore cause the surface waters of some lakes and ocean to have very low primary productivity, even when there is sufficient light for phytoplankton to grow. In other areas, the thermocline can prevent mixing of oxygen-rich surface waters with bottom waters in which oxygen

has been depleted as a result of high rates of decomposition related to eutrophication. In temperate freshwater lakes, the thermocline is disrupted in fall when the surface waters become denser as decreasing air temperatures cool the surface of the lake. Cooler water is denser and sinks, thereby causing warmer bottom water to rise to the surface and mix nutrients throughout the water column. In most ocean waters, a permanent thermocline separates the warm mixed surface layer of the ocean from the cold deep ocean water. The thermocline at higher north and south latitudes forms a horizontal separation between temperate and polar waters. The thermocline reaches its maximum depth at mid-latitudes and is shallowest at the equator and at its northern and southern limits. The thermocline also acts as a barrier to the downward mixing of carbon dioxide.

Marie H. Bundy

## Thermodynamics, Laws of

One way of understanding the environment is to understand the way matter and energy flow through the natural world. For example, it helps to know that a fundamental law of chemistry is that matter can be neither created nor destroyed. That law describes how humans can never really “throw something away.” When wastes are discarded, they do not just disappear. They may change location or change into some other form, but they still exist and are likely to have some impact on the environment.

Perhaps the most important laws involving energy are the laws of thermodynamics. These laws were first discovered in the nineteenth century by scientists studying heat engines. Eventually, it became clear that the laws describing energy changes in these engines apply to all forms of energy.

The first law of thermodynamics says that energy can be changed from one form to another, but it can be neither created nor destroyed. Energy can occur in a variety of forms such as thermal (heat), electrical, magnetic, nuclear, kinetic, or chemical. The conversion of one form of energy to another is familiar to everyone. For example, the striking of a match involves two energy conversions. In the first conversion, the kinetic energy involved in rubbing a match on a scratch pad is converted into a chemical change in the match head. That chemical change results in the release of chemical energy that is then converted into heat and light energy.

Most energy changes on the Earth can be traced back to a single common source: the Sun. The following describes the movement of energy through a common environmental pathway, the production of food in a green plant: solar energy reaches the earth and is captured by the leaves of a green plant. Individual cells in the leaves then make use of solar energy to convert carbon dioxide and water to carbohydrates in the process known as photosynthesis. The solar energy is converted to a new form, chemical energy, that is stored within carbohydrate molecules.

“Stored” energy is called potential energy. The term means that energy is available to do work, but is not currently doing so. A rock sitting at the top of a hill has gravitational potential energy because it has the capacity to do work. Once it starts rolling down the hill, its potential energy due to Earth’s gravitational pull is converted into kinetic energy, which is a combination of its speed and mass.

Chemical energy stored within molecules is another form of potential energy. When chemical changes occur, that energy is released to do some kind of work.

Energy that is actually being used is called kinetic energy. The term kinetic refers to motion. A rock rolling down the hill converts potential energy into the energy of motion, kinetic energy. The first law of thermodynamics says that, theoretically, all of the potential energy stored in the rock can be converted into kinetic energy, without any loss of energy at all.

One can follow, therefore, the movement of solar energy through all parts of the environment and show how it is eventually converted into the chemical energy of carbohydrate molecules, then into the chemical energy of molecules in animals who eat the plant, then into the kinetic energy of animal movement, and so on.

Scientists apply the first law of thermodynamics to an endless variety of situations. A nuclear engineer, for example, can calculate the theoretically maximum amount of heat energy that can be obtained from a particular reactor using nuclear materials (nuclear energy). However, in all such calculations, the engineer also has to take into consideration the second law of thermodynamics. This law states that in any energy conversion, there is always some decrease in the amount of usable energy. So the nuclear engineer knows that even though the nuclear reactor can produce a given amount of energy (in the form of heat, which in turn creates steam) the amount of electrical energy that can be obtained from the steam will be less than the original amount of heat energy produced.

A familiar example of the second law is the incandescent light bulb. Light is produced in the bulb when

a thin wire inside is heated until it begins to glow. Electrical energy is converted into both heat energy and light energy in the wire. As far as the bulb is concerned, the desired conversion is electrical energy to light energy. The heat that is produced, while necessary to get the light, is really “waste” energy. In fact, the incandescent light bulb is a very inefficient device. More than 90 percent of the electrical energy that goes into the bulb comes out as heat. Less than 10% is used for the intended purpose, making light.

Examples of the second law can be found everywhere in both the natural and man-made environment. And, in many cases, they are the cause of serious problems.

If one follows the movement of solar energy through the environment again, the amazing observation is how much energy is wasted at each stage. Although green plants do convert solar energy to chemical energy, they do not achieve 100 percent efficiency. Some of the solar energy is used to heat a plant’s leaf and is converted, therefore, to heat energy. As far as the plant is concerned, that heat energy is wasted. By the time solar energy is converted to the kinetic energy used by a school child in writing a test, more than 99 percent of the original energy received from the sun has been wasted.

Some people use the second law of thermodynamics to argue for less meat-eating by humans. They point out how much energy is wasted in using grains to feed cattle. If humans would eat more plants, they say, less energy would be wasted and more people could be fed with available resources.

The second law explains other environmental problems as well. In a nuclear power plant, energy conversion is relatively low, around 30 percent. That means that about 70 percent of the nuclear energy stored in radioactive materials is eventually converted not to electricity, but to waste heat. Large cooling towers have to be built to remove that waste heat. Often, the waste heat is carried away into lakes, rivers, and other bodies of water. The waste heat raises the temperature of this water, creating problems of thermal pollution.

Scientists often use the concept of entropy in talking about the second law. Entropy is a measure of the disorder or randomness of a system and its surroundings. A beautiful glass vase is an example of a system with low entropy because the atoms of which it is made are carefully arranged in a highly structured system. If the vase is broken, the structure is destroyed and the atoms of which it was made are more randomly distributed.

The second law says that any system and its surroundings tends naturally to have increasing entropy. Things tend to spontaneously “fall apart” and become less organized. In some respects, the single most important thing that humans do to the environment is to appear to reverse that process. When they build new objects from raw materials, they tend to introduce order where none appeared before. Instead of iron ore being spread evenly through the earth, it is brought together and arranged into a new automobile, a new building, a piece of art, or some other object.

But the apparent decrease in entropy thus produced is really misleading. In the process of producing this order, humans have also brought together, used up, and then dispersed huge amounts of energy. In the long run, the increase in entropy resulting from energy use exceeds the decrease produced by construction. In the end, of course, the production of order in manufactured goods is only temporary since these objects eventually wear out, break, fall apart, and return to the earth.

For many people, therefore, the second law of thermodynamics is a very gloomy concept. It suggests that the universe is “running down.” Every time an energy change occurs, it results in less usable energy and more wasted heat.

People concerned about the environment do well, therefore, to know about the law. It suggests that humans think about ways of using waste heat. Perhaps there would be a way, for example, of using the waste heat from a nuclear power plant to heat homes or commercial buildings. Techniques for making productive use of waste heat are known as cogeneration.

Another way to deal with the problem of waste heat in energy conversions is to make such conversions more efficient or to find more efficient methods of conversion. The average efficiency rate for power plants using fossil fuels is only 33 percent. Two-thirds of the chemical energy stored in coal, oil, and natural gas is, therefore, wasted. Methods for improving the efficiency of such plants would obviously provide a large environmental and economic benefit.

New energy conversion devices can also help. Fluorescent light bulbs, for example, are far more efficient at converting electrical energy into light energy than are incandescent bulbs. Experts point out that simply replacing existing incandescent light bulbs with fluorescent lamps would make a significant contribution in reducing the nation’s energy expenditures. Some nations have enacted laws banning sales of the most common types of incandescent light bulbs, to be replaced by sales of more energy-efficient types

of lighting devices, such as compact fluorescent lamps (CFLs) and light emitting diodes (LEDs). The European Union (EU), for instance, released a statement in March 2009 that, among other things, “inefficient incandescent light bulbs will be progressively replaced by improved alternatives starting in 2009 and finishing at the end of 2012.” On September 1, 2010, sales of 75 watt incandescent light bulbs were banned in EU member countries. Sales bans on incandescent bulbs of other wattages were scheduled to continue in the following months and years.

*See also* Alternative energy sources; Energy and the environment; Energy flow; Environmental science; Pollution.

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David E. Newton

## Thermoplastics

A thermoplastic is any material that can be heated and cooled a number of times. Some common thermoplastics are polystyrene, polyethylene, the acrylics, the polyvinyl plastics, and polymeric derivatives of cellulose. Thermoplastics are attractive commercial and industrial materials because they can be molded, shaped, extruded, and otherwise formed while they are molten. A few of the products made from thermoplastics are bottles, bags, toys, packing materials, food wrap, adhesives, yarns, and electrical insulation. The ability to be reshaped is also an environmental benefit. Waste thermoplastics can be separated from other solid wastes and recycled by reforming them into new products.

*See also* Recyclables; Recycling; Solid waste; Solid waste recycling and recovery; Solid waste volume reduction.

## Thermosetting polymers

Thermosetting polymers are compounds that solidify, or set, after cooling from the molten state. Thermosetting polymers cannot be remelted. Some typical thermosetting polymers are the epoxys, alkyds, polyurethanes, furans, silicones, polyesters, and phenolic plastics. Products made from thermosetting polymers include: radio cases, buttons, dinnerware, glass substitutes, paints, synthetic rubber, insulation, and synthetic body parts. Because they cannot be recycled and do not readily decompose, thermosetting polymers pose a serious environmental hazard. They contribute significantly, therefore, to the problem of solid waste disposal and, in some cases, pose a threat to wildlife who swallow or become ensnared in plastic materials.

*See also* Solid waste incineration; Solid waste recycling and recovery; Solid waste volume reduction.

The Coastal Society *see* **Coastal Society, The.**

The Cousteau Society *see* **Cousteau Society, The.**

The Nature Conservancy *see* **Nature Conservancy, The.**

The Ocean Conservatory *see* **Ocean Conservatory, The.**

## Third World

The term “Third World” commonly refers to the world’s less wealthy and less developed countries. In the decades after World War II, the term was developed in recognition of the fact that these countries were emerging from colonial control and were prepared to play an independent role in world affairs. In academic discussions of the world as a single, dynamic system, or world systems theory, the term distinguishes smaller, nonaligned countries from powerful capitalist countries (the First World) and from the now disintegrating communist bloc (the Second World). In more recent usage, the term has come to designate the world’s less developed countries that are understood to share a number of characteristics, including low levels of industrial activity, low per capita income and literacy rates, and relatively poor health care that leads to high infant mortality rates and short life expectancies. Often these conditions accompany inequitable distribution of land,

wealth, and political power and an economy highly dependent on exploitation of natural resources. The term can be considered derogatory in some usage and has generally fallen in scholarly and media use, often replaced by the term “developing nations” or “non-industrial” nations.

## Third World pollution

As developing nations struggle with population growth, poverty, famines, and wars, their residents are discovering the environmental effects of these problems, in the form of increasing air, water, and land pollution. Pollution is almost unchecked in many developing nations, where Western nations dump toxic wastes and untreated sewage flows into rivers. Many times, the choice for developing nation and least-developed nation governments is between poverty or poison, and basic human needs like food, clothing, and shelter take precedence.

Industrialized nations often dump wastes in developing countries where there is little or no environmental regulation, and governments may collect considerable fees for accepting their garbage. The U.S. Environmental Protection Agency (EPA) reports that over 40 million computers were discarded due to obsolescence in 2007. The EPA has also stated that it is up to ten times less expensive to export discarded consumer electronics products (also known as e-waste) to developing nations for disposal, rather than to dispose of those defunct electronic products domestically. Unfortunately, e-waste shipments to the third world have become notorious for the ill-effects wrought on the peoples and environments of those countries. E-waste recycling in underdeveloped nations exploits the cheap sources of local labor to reclaim the lucrative components of discarded computers and other electronic devices. If not dismantled using proper safety precautions, e-waste recycling can pollute the earth and atmosphere, and harm the health of the workers involved.

Companies can export industrial hazards by moving their plants to countries with less restrictive pollution control laws than industrialized nations. This was the case with Union Carbide, which moved its chemical manufacturing plant to Bhopal, India, to manufacture a product it was not allowed to make in the United States. As Western nations enact laws promoting environmental and worker safety, more manufacturers have moved their hazardous and polluting factories to less developed countries, where there are little or no



**Cows feeding on the rubbish in India.** (© imagebroker.net / SuperStock)

environmental or occupational safety laws, or no enforcement agencies. Hazardous industries such as textile, petrochemical, and chemical production, as well as smelting and electronics, have migrated to Latin America, Africa, Asia, and Eastern Europe.

For example, IBM, General Motors, and Sony have established manufacturing plants in Mexico, and some of these have created severe environmental problems. At least 10 million gallons (38 million L) of the factories' raw sewage is discharged into the Tijuana River daily. Because pollution threatens San Diego beaches, most of the cleanup is paid for by the United States and California governments. Although consumers pay less for goods from these companies, they are paying for their manufacture in the form of higher taxes for environmental cleanup.

Industries with shrinking markets in developed countries due to environmental concerns have begun to advertise vigorously in developing nations. For example, DDT production, led by United States and Canadian companies, is at an all-time high even though it is illegal to produce or use the pesticide in the United States or Europe since the 1970s. DDT is used globally outside of the nations with bans, especially in Latin America, Africa, and on the Indian subcontinent.

Industrial waste is likely to be handled more recklessly in underdeveloped countries. The New River, for example, which flows from northern Mexico into southern California before discharging into the Pacific Ocean, is generally regarded as the most polluted river in North America due to lax enforcement of environmental standards in Mexico.

Industrial pollution in developing and least-developed countries is not their only environmental

problem. Now, in addition to worrying about the environmental implications of deforestation, desertification, and soil erosion, developing countries are facing threats of pollution that come from development, industrialization, poverty, and war.

The number of gasoline-powered vehicles in use worldwide is expected to double to well over one billion in the next twenty to thirty years, adding to air pollution problems. Mexico City, for example, has had air pollution episodes so severe that the government temporarily closed schools and factories. Much of the auto-industry growth will take place in developing countries, where the automobile population is rapidly increasing.

In the developing world, the effects of water pollution are felt in the form of high rates of death from cholera, typhoid, dysentery, and diarrhea from viral and bacteriological sources. More than 1.7 billion people in the Third World have an inadequate supply of safe drinking water. In India, for example, 114 towns and cities dump their human waste and other untreated sewage directly into the Ganges River. Of 3,119 Indian towns and cities, only 209 have partial sewage treatment, and only eight have complete treatment.

Zimbabwe's industrialization has created pollution problems in both urban and rural areas. Several lakes have experienced eutrophication because of the discharge of untreated sewage and industrial waste. In Bangladesh, degradation of water and soil resources is widespread, and flood conditions result in the spread of polluted water across areas used for fishing and rice cultivation. Heavy use of pesticides is also a concern there.

In the Philippines, air and soil pollution poses increasing health risks, especially in urban areas. Industrial and toxic waste disposals have severely polluted 38 river systems.

Widespread poverty and political instability has exacerbated Haiti's environmental problems. Haiti, the poorest country in the Western Hemisphere, suffers from deforestation, land degradation, and water pollution. While the country has plentiful groundwater, less than 40 percent of the population had access to safe drinking water before the 2010 earthquake further undermined the nation's insufficient infrastructure.

Another issue affecting developing nations' pollution control is the role of transnational corporations (TNCs) in global environmental problems. A Third World Network economist cited TNCs for their responsibility for water and air pollution, toxic wastes, hazardous chemicals and unsafe working conditions in developing countries. In spite of such problems, the

## Thomas, Lee M.

1944–

American former Environmental Protection Agency administrator

Lee M. Thomas succeeded William Ruckelshaus as head of the United States Environmental Protection Agency (EPA), and ran the agency from 1985 to 1989 in the administration of president Ronald Reagan.

If the 1960s and 1970s have become known as decades of growing concern about environmental causes, the 1980s will probably be remembered as a decade of stagnation and retreat on many environmental issues. Presidents Ronald Reagan and George Bush held very different beliefs about many environmental problems than did their immediate predecessors, both Democrat and Republican. Reagan and Bush both argued that environmental concerns had resulted in costly overregulation that acted as a brake on economic development and contributed to the expansion of government bureaucracy.

One of the key offices through which these policies were implemented was the EPA. In 1985, President Reagan nominated Lee M. Thomas to be Administrator of that agency. Thomas had a long record of public service before his selection for this position. Little of his service had anything to do with environmental issues, however. Thomas began his political career as a member of the Town Council in his hometown of Ridgeway, South Carolina. He then moved on to a series of posts in the South Carolina state government.

The first of these positions was as executive director of the State Office of Criminal Justice Programs, a post he assumed in 1972. In that office, Thomas was responsible for developing criminal justice plans for the state and for administering funds from the Law Enforcement Assistance Administration.

Thomas left this office in 1977 and spent two years working as an independent consultant in criminal justice. Then, in 1979, he returned to state government as director of Public Safety Programs for the state of South Carolina. In addition to his responsibilities in public safety, Thomas served as chairman of the Governor's Task Force on Emergency Response Capabilities in Support of Fixed Nuclear Facilities. The purpose of the task force was to assess the role of various state agencies and local governments in dealing with emergencies at nuclear installations in the state.

Thomas's first federal appointment came in 1981, when he was appointed executive deputy director and

United Nations has closed its Center for Transnational Corporations, which had been monitoring TNC activities in the developing world.

There is a growing environmental awareness in developing nations, and many are trying to correct the problems. In Chennai, India, sidewalk vendors sell rice wrapped in banana leaf; the leaf can be thrown to the ground and is consumed by one of the free-roaming cows on Chennai's streets. In Mumbai, India, tea is sold in a brown clay cup which can be crushed into the earth when empty. The Chinese city of Shanghai produces all its own vegetables, fertilizes them with human waste, and exports a surplus.

Environmentalists worldwide are calling for a strengthened United Nations Environment Programme (UNEP) to enact sanctions and keep polluters out of the developing world. It could also enforce the "polluter pays" principle, eventually affecting Western governments and companies that dump on developing nations. Already, UNEP and the World Bank provide location advice and environmental risk assessment when the host country is not able to do so, and the World Health Organization (WHO) and the International Labor Organization provide some guidance on occupational health and safety to developing countries.

See also Drinking-water supply; Environmental policy; Environmental racism; Flooding; Hazardous material; Industrial waste treatment.

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Linda Rehkopf

associate director for State and Local Programs and Support of the Federal Emergency Management Agency (FEMA). His responsibilities covered a number of domestic programs, including Disaster Relief, Floodplain Management, Earthquake Hazard Reduction, and Radiological Emergency Preparedness. While working at FEMA, Thomas also served as chairman of the U.S./Mexican Working Group on Hydrological Phenomena and Geological Phenomena.

In 1983, President Reagan appointed Thomas assistant administrator for Solid Waste and Emergency Response of the EPA. In this position, Thomas was responsible for two of the largest and most important of EPA programs, the Comprehensive Environmental Response Compensation and Liability Act (Superfund) and the Resource Conservation and Recovery Act. Two years later, Thomas was confirmed by the Senate as administrator of the EPA, a position he held until 1989, when he became the Chairman and Chief Executive Officer for Law Companies Environmental Group Inc. Thomas then was employed as the Senior Vice President, Environmental and Government Affairs for the Georgia-Pacific Corporation. He became President and Chief Executive Officer in 2005. In 2007, he assumed the position of President and Chief Executive Officer of Rayonier. In 2010, he also served on the board of directors of four companies and a bank.

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David E. Newton

## Thoreau, Henry David

1817–1862

American writer and natural philosopher

Thoreau was a member of the group of radical Transcendentalists who lived in New England, especially Concord, Massachusetts, around the mid-nineteenth century. He is known worldwide for two written works, both still widely read and influential today: *Walden*, a book, and a tract entitled "Civil Disobedience." All of his works are still in print, but most noteworthy is his fourteen-volume *Journal*, which some critics think contains his best writing. Contemporary readers interested in conservation, environmentalism,



Henry David Thoreau. (Library of Congress)

ecology, natural history, the human species, or philosophy can gain great understanding and wisdom from reading Thoreau.

Today, Thoreau would be considered not only a philosopher, a humanist, and a writer, but also an ecologist (though that word was not coined until after his death). His status as a writer, naturalist, and conservationist has been secure for decades; in conservation circles, he is recognized as what *Backpacker* magazine calls one of the "elders of the tribe."

Trying to trace any idea through Thoreau's work is a complicated task, and that is true of his ecology as well. One straightforward example of Thoreau's sophistication as an ecologist is his essay on "The Succession of Forest Trees." Thoreau found the same unity in nature that present-day ecologists study, and he often commented on it: "The birds with their plumage and their notes are in harmony with the flowers." Only humans,

he felt, find such connections difficult: “Nature has no human inhabitants who appreciate her.” Thoreau did appreciate his surroundings, both natural and human, and studied them with a scientist’s eye. The linkages he made showed an awareness of niche theory, hierarchical connections, and trophic structure: “The perch swallows the grub-worm, the pickerel swallows the perch, and the fisherman swallows the pickerel; and so all the chinks in the scale of being are filled.”

Much of Thoreau’s philosophy was concerned with human ecology, as he was perhaps most interested in how human beings relate to the world around them. Often characterized as a misanthrope, he should instead be recognized for how deeply he cared about people and about how they related to each other and to the natural world. As Walter Harding notes, Thoreau believed that humans “Antaeus- like, derived [their] strength from contact with nature.” When Thoreau insists, as he does, for example, in his journal, that “I assert no independence,” he is claiming relationship, not only to “summer and winter...life and death,” but also to “village life and commercial routine.” He flatly asserts that “we belong to the community.” Present-day humans could not more urgently ask the questions he asked: “Shall I not have intelligence with the earth? Am I not partly leaves and vegetable mold myself?”

The essence of Thoreau’s message to present-day citizens of the United States can be found in his dictum in *Walden* to “simplify, simplify.” That is a straightforward message, but one he elaborates and repeats over and over again. It is a message that many critics of today’s materialism believe American citizens need to hear over and over again. Right after those two words in his chapter on “What I Lived For” is a directive on how to achieve simplicity. “Instead of three meals a day, if it be necessary eat but one; instead of a hundred dishes, five; and reduce other things in proportion,” he asserts. The message is repeated in different ways, making a major theme, especially in *Walden*, but also in his other writings: “A man is rich in proportion to the number of things he can afford to let alone.” Thoreau’s preference for simplicity is clear in the fact that he had only three chairs in his house: “one for solitude, two for friendship, three for society.” Thoreau is often quoted as stating “I would rather sit on a pumpkin and have it all to myself than be crowded on a velvet cushion.”

Hundreds of writers have joined Thoreau in censuring the materialist root of current environmental problems, but reading Thoreau may still be the best literary antidote to that materialism. Consider the stressed commuter/city worker who does not realize

that the “cost of a thing is the amount of...life which is required to be exchanged for it, immediately or in the long run.” In the pursuit of fashion, ponder his admonition to “beware of all enterprises that require new clothes.”

Thoreau firmly believed that the rich are the most impoverished: “Give me the poverty that enjoys true wealth.” The enterprises he thought important were intangible, like being present when the sun rose, or, instead of spending money, spending hours observing a heron on a pond. Working for “treasures that moth and rust will corrupt and thieves break through and steal...is a fool’s life.” As Thoreau noted, too many of us make ourselves sick so that we “may lay up something against a sick day.” To him, most of the luxuries, and many of the so-called comforts of life, are not only dispensable, “but positive hindrances to the elevation of mankind.” For most possessions, Thoreau’s forthright answer was “it costs more than it comes to.”

Thoreau was a humanist, an abolitionist, and a strong believer in egalitarian social systems. One of his criticisms of materialism was that, in the race for more and more money and goods, “a few are riding, but the rest are run over.” He recalled that, before the modern materialist state, it was less unfair: “In the savage state every family owns a shelter as good as the best.” Thoreau was anti-materialistic and believed that the relentless pursuit of “things” divert people from the real problems at hand, including destruction of the environment: “Our inventions are wont to be pretty toys, which distract our attention from serious things.” In this same vein, he claimed that “the greater part of what my neighbors call good I believe in my soul to be bad.”

In modern life, stress is a major contributor to illness and death. And, much of that stress is generated by the constant acquisitive quest for more and more material goods. Thoreau asks “why should we be in such desperate haste to succeed and in such desperate enterprises?” He notes that “from the desperate city you go into the desperate country” with the result that “the mass of men lead lives of silent desperation.” This passage was written in the nineteenth century, but still echoes through the twenty first.

Simplification of lifestyle is now widely taught as a practical antidote to the environmental and personal consequences of the materialist cultures of the urban/industrial twentieth century. And that kind of simplification is central to Thoreau’s thought. But readers must remember that Thoreau was unmarried, childless, and often dependent on friends and family for room and board. Thoreau lived on and enjoyed the

land around Walden Pond, for example, but he did not own it or have to pay taxes or upkeep on it; he “borrowed” it from his friend Ralph Waldo Emerson (1803–1882). It is not realistic for most people to try to emulate Thoreau directly or to take his suggestions literally. And he agreed, saying “I would not have anyone adopt my mode of living on any account.” Still, he did figure out that by working only six weeks a year, he could support himself and so free the other forty-six to live as he saw fit.

Often characterized as an impractical dreamer, Thoreau’s wisdom is repeated widely today, a wisdom that is commonly of direct applicability and down to earth. Aldo Leopold, for example, is credited with the axiom that wood you cut yourself warms you twice, but it can be found almost a hundred years earlier in Thoreau’s chapter on “House- Warming.” In 1850, he was an advocate of national forest preserves, writing eloquently on the subject in his essay “Chesuncook” in *The Maine Woods*. He also predicted the devastation wreaked on the shad runs by dams built on the Concord River.

Thoreau was first and finally a writer. His greatest contribution remains that his writing still raises the consciousness of the reader, causing people who come in contact with his work to be more aware of themselves and of the world around them. As he said “only that day dawns to which we are awake.” A raised consciousness means an increase in humility because, as Thoreau teaches, “the universe is wider than our views of it.” He remained convinced “that to maintain one’s self on this earth is not a hardship but a pastime.”

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Gerald L. Young

Threatened species see **Endangered species**.

## Three Gorges Dam

When fully operational in 2011, the Three Gorges Dam on the Chang Jiang (Yangtze River) will be the largest dam and largest electricity-generating facility

in the world. Spanning 1.4 miles (2.3 km), and standing 600 feet (175 m) above normal river level, the dam will create a reservoir more than 400 miles (644 km) long. The project was designed to control floods, ease navigation, and provide badly needed electricity for China’s heartland. The twenty-six giant turbines in the dam’s powerhouse are expected to generate 22,500 megawatts of electricity—equal to 10 percent of the country’s current supply—to support industrialization and modernization in the region. The Three Gorges Dam will produce over 100 TWh of electricity per year. The reservoir will flood the scenic Three Gorges, one of China’s most historically significant areas. The dam has displaced 1.24 million people and has flooded 150 towns and cities, and more than 1,300 villages.

Proponents of the project argue that China needs the project’s electricity for modernization. With dangerous rapids drowned under the reservoir, ocean-going ships will be able to sail all the way to Chongking, nearly 2,000 miles (3,200 km) inland from the ocean. The water stored in the reservoir also will make possible a long-discussed plan to build aqueducts to carry water from southern China to the dry plains around Beijing. Furthermore, annual flooding on the river caused 300,000 deaths in the twentieth century. In 1998, the worst flood in history drove 56 million people from their homes. Planners expect the dam to reduce these floods and eliminate untold misery for the 300 million people who live in the Yangtze River Valley.

The Three Gorges Dam was first proposed in 1919 by Dr. Sun Yat-sen, a revolutionary and founder of the Chinese republic. Later it was championed by Mao Tse-tung (or Zedong) who led the country from 1949 to 1976. Mao saw this project as a way to instill national pride and demonstrate China’s modernization. Although Mao ordered a full-scale survey and project design in 1955, prohibitive costs together with doubts about the safety and feasibility of the dam have slowed construction. In 1992, Premier Li Peng pushed through the final vote to authorize the project. The first stage of construction was completed in 2002 and the reservoir was later flooded with the aid of cofferdams. The body of the dam was completed in 2006 and the hydroelectric power plant became fully operational with addition of the twenty-sixth generator in 2008. The dam is expected to be fully operational when six underground generators go online in 2011. There are already plans to upgrade ship locks later.

Environmentalists criticize the dam on the grounds that it will reduce fish stocks, eliminate 78,000 acres (32,000 ha) of important agricultural lands, and threaten habitats of critically endangered species such

as the Yangtze river dolphin, Chinese sturgeon, finless porpoise, and Yangtze alligator. The reservoir will also fill one of China's most important historical and cultural regions, sinking 8,000 archeological sites and historic monuments. Currently, more than 250 billion gallons (nearly a trillion liters) of untreated sewage is dumped into the Yangtze every year. Planners claim that the new cities into which residents are being relocated will have better sanitation than the old river towns. How sewage is handled in these new towns, and how much contamination leeches out of abandoned structures in the reservoir remains to be seen. Environmentalists worry that the reservoir will become a stagnant cesspool, dangerous to both aquatic life and to the millions of people who depend on the river for their drinking water.

Sediment and silt accumulation is another problem to be solved, since tremendous volumes of sediment will accumulate as the silt- and sand-laden Yangtze River slows in the reservoir. Elsewhere in China silt and sand accumulation has decreased reservoir storage capacity nationwide by 14 percent. Simply controlling river-bottom gravel may require dredging as much as 200,000 square meters of material each year. To reduce sediment accumulation, dam operators plan to let spring floods, which carry much of the annual sediment load, flow through silt doors at the bottom of the dam. They hope this flow will scour out the bottom of the reservoir.

Geologists worry about catastrophic dam failure because the dam is built over an active seismic fault. Engineers assure us that the dam can withstand the maximum expected earthquake, but China has a poor record of dam safety. More than 3,200 Chinese dams have failed since 1949, a failure rate of 3.7 percent compared to a 0.6 percent failure rate for the rest of the world. Probably the worst series of dam failures in world history occurred in Henan Province in 1975, when heavy monsoon rains caused 62 modern dams to fall like a line of dominoes. Some 230,000 people died in the massive flooding that followed. Even if the dam is able to withstand earthquakes, giant waves spawned by upstream landslides could cause a calamitous dam failure. In 1986, a landslide just a few miles upstream from the dam site dumped 15 million cubic meters of rock and soil into the river. Witnesses reported an 80 m (260 ft) wave rolling down the river. If a wave that size hits the upstream side of the dam with a full reservoir, one geologist predicts a flood "of biblical proportions" as a wall of water races downstream through lowlands where hundreds of millions of people live.

The Chinese government is installing an early warning system to predict landslides, and has banned

timber cutting and farming on steep upstream hillsides in an effort to control both landslides and sediment loads in the river. Original estimates were that the Three Gorges Dam would cost \$11 billion. By 2002, years before the project's completion, costs for construction, relocation, and landscape stabilization had risen to \$75 billion.

In 2002, the first stage of the dam was completed and water started filling the reservoir. New cities above the final 175-meter watermark were finished, and relocation of 1.24 million residents was completed in July 2008. As of September 2009, the dam had already generated enough power to cover over one quarter of the project's cost.

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William P. Cunningham

## Three Mile Island Nuclear Reactor

The 1970s were a decade of great optimism about the role of nuclear power in meeting world and national demands for energy. Warnings about the declining reserves of coal, petroleum, and natural gas, along with concerns for the environmental hazards posed by power plants run on fossil fuels, fed the hope that nuclear power would soon have a growing role in energy production. Those expectations were suddenly and dramatically dashed on the morning of March 28, 1979.

On that date, an unlikely sequence of events resulted in a disastrous accident at the Three Mile Island (TMI) Nuclear Reactor at Harrisburg, Pennsylvania. As a result of the accident, radioactive water was released into the Susquehanna River, radioactive steam escaped into the atmosphere, and a huge bubble of explosive hydrogen gas filled the reactor's cooling system. For a



The cooling towers of the Three Mile Island power plant, site of the 1979 nuclear accident. (© brt PHOTO / Alamy)

period of time, there existed a very real danger that the reactor core might melt.

News of the accident produced near panic among residents of the area. Initial responses by government and industry officials downplayed the seriousness of the accident and, in some cases, were misleading and self-serving.

The first official study of the TMI accident was carried out by the President's Commission on the Accident at Three Mile Island. Chaired by Hungarian-American mathematician and educator John G. Kemeny (1926–1992), then president of Dartmouth College, the Commission attempted to reconstruct the events that led to the accident. It found that the accident was initiated during a routine maintenance operation in which a water purifier used in the system (Unit 2, or TMI-2, a pressurized water reactor) was replaced. Apparently air was accidentally introduced into the system along with the purified fresh water.

Normally the presence of a foreign material in the system would be detected by safety devices in the reactor. However, a series of equipment malfunctions

and operator errors negated the plant's monitoring system and eventually resulted in the accident.

At one point, for example, operators turned off emergency cooling pumps when faulty pressure gauges showed that the system was operating normally. Also, tags which hung on water pumps indicating that they were being repaired blocked indicator lights showing an emergency condition inside the reactor.

The Kemeny Commission placed blame for the TMI accident in a number of places. They criticized the nuclear industry and the Nuclear Regulatory Commission for being too complacent about reactor safety. They suggested that workers needed better training. The Commission also found that initial reactions to the accident by government and industry officials were inadequate.

No one was killed in the TMI accident, but a 1990 study showed that there statistically significant increases in cancers did not occur for residents downwind of the accident. A study completed in the mid-1990s, however, refutes those findings stating that "cancer incidence, specifically, lung cancer and leukemia, increased more

following the TMI accident in areas estimated to have been in the pathway of radioactive plumes than in other areas.” This study also indicates that the radiation levels may have been higher than originally reported, and that cancer rates (lung/leukemia) were two to ten times higher than upwind rates. Cleanup operations at the plant took over six years to complete, and with a \$1 billion price tag, cost more than the plant’s original construction. Unit 1 (TMI-1) of the TMI continues to operate as of October 2010. Unit 2, TMI-2, the one that was involved in the 1979 accident, is not operational.

Probably the greatest effect of the TMI accident was the nation’s loss of confidence in nuclear power as a source of energy. Since the accident, not one new nuclear power plant had been ordered for over thirty years. At the time of the accident, over 125 nuclear power plant projects had been approved. Of those, nearly two-thirds were eventually canceled. Early in 2010, the construction of two new nuclear power plants was approved by the Barack Obama (1961–) administration. The project, which consists to twin reactors, will occur in Burke County, within the state of Georgia. At the time of this announcement, 104 commercial nuclear power plants are in operation within the United States, with the Three Mile Island Nuclear Reactor included in this count.

*See also* Carcinogen; Radiation exposure; Radioactive waste; Radioactivity.

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David E. Newton

## Threshold dose

In radiology, the threshold dose is the smallest dose of radiation that will produce a specified effect. In the larger context of toxic exposure, threshold dose refers to the dose below which no harm is detectable. There is a threshold below which relatively little damage occurs from exposure, and above which the damage increases dramatically. For noncarcinogenic toxins, there does seem to be a safe dose for some substances, a threshold dose below which no harm is done. With carcinogens, however, just one mutation in the genetic code may be sufficient cause a malignant cell transformation that can lead eventually to cancer. Although there is some evidence that repair mechanisms or surveillance by the immune system may reduce the incidence of some cancers, it is generally considered prudent to assume that no safe or threshold dose exists for carcinogens.

## Tidal power

In looking for alternative energy sources to meet future needs, some common physical phenomena are obvious candidates. One of these is tidal power. Twice each day on every coastline in the world, bodies of water are pulled onto and off the shore because of gravitational forces exerted by the Moon and the Sun. Only on ocean coasts is this change large enough to notice, however, and therefore, to take advantage of as an energy source.

The potential of tidal power as an energy source is clearly demonstrated. Pieces of wood are carried onto a beach and then off again every time the tide comes in or goes out. In theory, the energy that moves this wood

could also push against a turbine blade and turn a generator.

In fact, the number of places on Earth where tides are strong enough to spin a turbine is relatively small. The simple back-and-forth movement seen on any shoreline does not contain enough energy by itself. Geographical conditions must concentrate and focus tidal action in a limited area. In such places, tides do not move in and out at a leisurely pace, but rush in and out with the force of a small river.

One of the few commercial tidal power stations in operation—the Rance Tidal Power Station—is located at the mouth of La Rance River in France. As the first tidal power station in the world, it opened in November 1966. Tides at this location reach a maximum of 44 feet (13.5 m). Each time the tide comes in, a dam at the La Rance station holds water back until it reaches its maximum depth. At that point, gates in the dam are opened and water is forced to flow into the river, driving a turbine and generator in the process. Gates in the dam are then closed, trapping the water inside the dam. At low tide, the gates open once again, allowing water to flow out of the river, back into the ocean. Again the power of moving water is used to drive a turbine and generator.

Hence the plant produces electricity only four times each day, during each of two high tides and each of two low tides. Although it generates only 250 megawatts daily, the plant's 25 percent efficiency rate is about equal to that of a power plant operating on fossil fuels.

One area where tides are high enough to make a power plant feasible is on Canada's Bay of Fundy. Experiments suggest that plants with capacities of 1 to 20 megawatts could be located at various places along the bay. So far, however, the cost of building such plants is significantly greater than the cost of building conventional power plants of similar capacity. Still, optimists suggest that serious development of tidal power could provide up to a third of the electrical energy now obtained from hydropower worldwide at some time in the future. However, tidal generation schemes are frequently opposed because they disrupt the coastal ecosystem.

Despite the drawbacks and expense of tidal power, China is one country that has used it extensively. Since energy needs are often modest in China, low capacity plants are more feasible. As of the late 1980s, therefore, the Chinese had built more than 120 tidal power plants to provide electricity for small local regions.

As of August 2010, the operational tidal power plants in operation around the world include: Annapolis

Royal Generating Station (Canada), Jiangxia Tidal Power Station (China), Kislava Guba Tidal Power Station (Russia), Rance Tidal Power Station (France) and the Uldolmok Tidal Power Station (South Korea).

In addition, as of November 2010, some of the tidal power plants being designed, constructed, or, at least being considered, include locations on the River Severn (United Kingdom), Penzhinskaya Bay (Russia), Garorim Bay (South Korea), Incheon Bay (South Korea), and Sihwa Lake (South Korea).

*See also* Dams (environmental effects); Energy and the environment; Wave power.

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David E. Newton

## Tigers

Tigers (*Panthera tigris*) are the largest living members of the family Felidae, which includes all cats. Siberian tigers (*P. t. altaica*) are the largest and most massive of the eight recognized subspecies. They normally reach

a weight of 660 pounds (300 kg), with a record male that reached 845 pounds (384 kg). Several of the subspecies have had their populations totally decimated and are probably extinct, mostly through direct human actions. The species' range, overall, has been greatly reduced in historic times. Currently, tigers are found in isolated regions of India, Bangladesh, Nepal, Bhutan, Southeast Asia, Manchuria, China, Korea, Russia, and Indonesia. Tigers are designated "endangered" by the U.S. Fish and Wildlife Service and by IUCN—The World Conservation Union. They are also listed in Appendix I of the Convention on International Trade in Endangered Species of Wild Fauna and Flora.

Unlike their relatives, the lion and the cheetah, tigers are not found in open habitats. They tend to be solitary hunters, stalking medium-to large-sized prey (such as pigs, deer, antelope, buffalo, and gaur) in moderately dense cover of a variety of forest habitats, including tropical rain forest, moist coniferous and deciduous forests, dry forests, or mangrove swamps. Within their habitat both males and females establish home ranges that do not overlap with members of their own sex. Home ranges average 8 square miles (21 km<sup>2</sup>) for females, but vary from 25–40 square miles (65–104 km<sup>2</sup>) for males depending on prey availability and to allow for the inclusion of several females in his range. Tigers that live in areas of prime habitat raise more offspring than can establish ranges within that habitat, therefore, several are forced to the periphery to establish territories and live. This creates an important condition in that this series of peripheral individuals helps promote genetic mixing in the breeding population.

Tigers are often labeled "maneaters." Although most tigers shy away from humans, some have been provoked into attack. Others, having been encountered unexpectedly, attack people as a defense, and a very few are thought to hunt humans consciously. An estimated sixty to 120 people fall victim to tigers each year. Tigers' typical prey includes larger mammals such as deer and buffalo, and the cats will actively search for this prey, instead of waiting in ambush. Tigers hunt alone, and, even though they are highly skilled predators, are rarely successful more than once in every fifteen attempts. With a scarcity of habitat for large prey and reduced cover, many tigers will opportunistically attack domesticated livestock, thus, themselves becoming targets of humans.

Population pressures from humans, habitat loss, poaching, and overhunting have led to the extinction or probable extinction of four subspecies and large reductions in the populations of the other four subspecies of tigers.

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Eugene C. Beckham

## Tilth

Tilth is a term used to describe the state of and a soil's ability to resist weeds while allowing plants to take root. Some have related soil tilth to the physical condition of the soil created by integrating the effects of all physical, chemical, and biological processes occurring within a soil matrix. Soil tilth is usually used as a general descriptive term (good, moderate, or poor) rather than a precisely defined scientific quotient.

*See also* Agricultural chemicals; Arable land.

## Timberline

The elevation or latitudinal extent of forests. Above upper timberline, either at high elevations or at the Arctic or Antarctic limits, atmospheric and soil temperatures are too cold for forest development. Below lower timberline, conditions are too dry to support forests. Timberlines are more common and pronounced in the western than in the eastern United States because of more extreme topographic and climatic conditions.

## Times Beach

More than 2,000 residents of this Missouri town, located about 30 miles (48 km) southwest of St. Louis, were evacuated after it was contaminated with dioxin. In 1971, chemical wastes containing dioxin were mixed with oil and sprayed along the streets of Times Beach to keep down the dust. The spraying was done by Russell M. Bliss, whose company collected and disposed of waste oils and chemicals from service stations and industrial plants. Much of this toxic waste oil was sprayed on roads throughout Missouri.

Shortly after the spraying around Times Beach, horses on local farms began dying mysteriously. At one breeding stable, from 1971 to 1973, sixty-two horses died, as well as several dogs and cats. Soil samples were sent to the Centers for Disease Control (CDC) in Atlanta for analysis, and after three years of testing, the agency determined that dangerous levels of dioxin were present in the soil.

A decade after the spraying, the town experienced the worst flooding in its history. On December 5, 1982, the Meramec River flooded its banks and inundated the town, submerging homes and contaminating them and almost everything else in Times Beach with dioxin. This compound binds tightly to soil and degrades very slowly, so it was still present in significantly high levels ten years after being used on the roads as a dust suppressant. Following a CDC warning that the town had become uninhabitable, most of the families were temporarily evacuated. The U.S. Environmental Protection Agency (EPA) eventually agreed to buy out the town for about \$33 million and relocate its inhabitants.

At the time, the CDC considered soil dioxin levels of one part per billion (ppb) and above to be potentially hazardous to human health. Levels found in some areas of Times Beach reached 100 to 300 ppb and above. Dioxin is considered a very toxic chemical. Exposure to this chemical has been linked to cancer, miscarriages, genetic mutations, liver and nerve damage, and other health effects, including death, in humans and animals.

Indeed, the contamination seemed to take a serious toll on the health of Times Beach residents. Town officials claimed that virtually every household in Times Beach experienced health disorders, ranging from nosebleeds, depression, and chloracne (a severe skin disorder) to cancer and heart disease. Almost all of the residents tested for dioxin contamination showed abnormalities in their blood, liver, and kidney functions.

By 1983, federal and state officials had located about 100 other sites in Missouri where dioxin wastes had been improperly dumped or sprayed, with levels of the compound reaching as high as 1,750 ppb in some areas.

A decade after the evacuation of Times Beach, debate over dioxin's dangers continues, and some CDC officials now say that the agency overreacted and that the town should not have been abandoned. But by then, Times Beach had become a household name, joining Love Canal, New York, and Seveso, Italy, on the list of municipalities that were ruined by toxic chemical contamination.

*See also* Carcinogen.

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Lewis G. Regenstein

## Tipping fee

The fee charged by the owner or operator of a landfill for the acceptance of a unit weight or volume of solid waste for disposal, usually charged by the truckload. The tipping fee is passed back along the chain of waste acceptor to hauler to generator in the form of fees or taxes. Tipping fees rise as the volume of available landfill space is depleted, or as it becomes harder to open new landfills due to public opposition or stricter environmental regulations.

*See also* Garbage; Municipal solid waste; Transfer station; Waste management; Waste stream.

## Tobacco

Tobacco (*Nicotiana tabacum*) is an herbaceous plant cultivated around the world for its leaves, which can be ignited and the smoke inhaled when rolled into cigars, or shredded for cigarettes and pipes. Tobacco can also be processed into a resin-like material that is placed into the mouth in contact with the gums (chewing tobacco) can be ground into an inhalable or oral powder called snuff. The purpose of these activities is to derive the effects of the addictive tobacco compound called nicotine.

The tobacco plant is fast-growing with a stem from 4–8 feet (1–3 m) in height. Native to the Americas, tobacco was first cultivated by Native Americans for



**An anti-tobacco activist wearing a devil costume symbolizing the dangers of smoking participates in a protest against the tobacco trade fair meeting in Bangkok. (© NARONG SANGNAK/epa/Corbis)**

the same purposes as the present day. Christopher Columbus documented this use. In contrast to many present-day tobacco users, American Indians of that era (as well as today) believed that tobacco possessed medicinal properties, and it was important in the ceremonies of the plains tribes.

Tobacco was introduced into Europe in the mid-1500s on the basis of these purported medicinal qualities. Tobacco culture by European settlers in America began in 1612 at Jamestown, and it soon became the chief commodity exchanged by colonists for articles manufactured in Europe.

The leading tobacco-growing countries in the world today are China and the United States, followed by India, Brazil, and Turkey, as well as certain countries in the former Soviet Union. Although about one-third of the annual production in the United States is exported, the country also imports about half as much tobacco as it exports. The leading tobacco-growing

state is North Carolina, followed by Kentucky, South Carolina, Tennessee, Virginia, and Georgia.

Nicotine occurs in tobacco along with related alkaloids and organic acids such as malic acid and citric acid. Nicotine content is determined by the species, variety, and strain of tobacco; it is also affected by the growing conditions, methods of culture and cure, and the position on the plant from which the leaves are taken. Tobacco is high in ash content, which can range from 15–25 percent of the leaf. Flue-cured tobacco is rich in sugar, and cigar tobaccos are high in nitrogenous compounds but almost free of starch and sugars.

Most tobacco products are manufactured by blending various types of leaves, as well as leaves of different origins, grades, and crop years. Cigarette manufacturers usually add sweetening preparations and other flavorings, but the preparation of tobaccos for pipe smoking and chewing is as varied as the assortment of products themselves. Snuff is made by fermenting fire-cured leaves and stems and grinding them before adding salts and other flavorings. Cigars are made by wrapping a binder leaf around a bunch of cut filler leaf and overwrapping with a fine wrapper leaf.

In the United States and elsewhere, stems and scraps of tobacco are used for nicotine extractions. They can also be ground down and made into a reconstituted sheet in a process like papermaking, which is used as a substitute cigar binder or wrapper or cut to supplement the natural tobacco in cigarettes.

Despite the legality of tobacco, it is harmful, and has been conclusively linked to a number of cancers including lung cancer. An estimated 485,000 Americans die each year from tobacco-related cancer, according to the National Cancer Institute. While most of the dead are smokers, secondhand exposure to tobacco smoke kills about 38,000 Americans each year. Tobacco smoking has been linked to greatly increased risks of heart attack, and congestive lung diseases such as bronchitis and emphysema. Smokeless forms of tobacco (chewing tobacco and snuff) are no less dangerous, and are linked to a far higher risk of cancer of the mouth.

While the percentage of Americans who smoke has been decreasing since the 1960s, almost 20 percent of U.S. adults and 23 percent of high school students smoke. In 2009 the CDC reported that new studies showed that the number of Americans who smoke had not declined since 2004 and that the number of people smoking rose slightly from 2007 to 2008. However, since 1998 the percentage of smokers in the United States dropped from 24.1 to 20.6 percent.

See also Agricultural chemicals; Cigarette smoke; Respiratory diseases.

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Linda Rehkopf

## Toilets

The origin of the indoor toilet for the disposal of human wastes dates to ancient history. Archaeologists found in the palace of King Minos on Crete an indoor latrine that had a wooden seat and may have worked like a modern flush toilet; they also discovered a water-supply system of terra cotta pipes to provide water for the toilet. Between 2500 and 1500 BC, cities in the Indus Valley also had indoor toilets that were flushed with water. The wastewater was carried to street drains through brick-lined pits. In 1860, Reverend Henry Moule invented the earth closet, a wooden seat over a bucket and a hopper filled with dry earth, charcoal, or ashes. The user of the toilet pulled a handle to release a layer of earth from the hopper over the wastes in the bucket. The container was emptied periodically. During the eighteenth and nineteenth centuries in Europe, human wastes were deposited in pan closets or jerry pots. After use, the pots were emptied or concealed in commodes. The contents of the jerry pots were often collected by nearby farmers who used the wastes as organic fertilizer. However, as cities grew larger, transportation of the wastes to farms became uneconomical, and the wastes were dumped into communal cesspits or into rivers. The flush toilet common in use today was supposedly invented by Thomas Crapper in the nineteenth century; Wallace Rayburn wrote a biography of Crapper, titled *Flushed with Pride*, in 1969.

The development of the flush toilet was primarily responsible for the development of the modern sanitary system, consisting of a maze of underground pipes, pumps, and centralized treatment systems. Modern

sanitary systems are efficient in removing human and other wastes from human dwellings but are costly in terms of capital investment in the infrastructure, operational requirements, and energy requirements. The treated wastewater is usually disposed of in rivers and lakes, sometimes causing adverse impacts upon the receiving waters.

Sanitary systems require an abundant supply of water, and the flush toilet is responsible for the largest use of water in the home. Each flush of a conventional water-carriage toilet uses between 4–7 gallons (15–26 L) of water, depending on the model and water supply pressure. The average amount of water used per flush is 4.3 gallons (16 L). Since each person flushes the toilet an average of 3.5 times per day, the average daily flow per person is approximately 16 gallons (60 L) for a yearly flow of 5,840 gallons (22,104 L).

To reduce the volume of water used for flushing, a variety of devices are available for use with a conventional flush toilet. These devices include:

- Tank insert: a displacement device placed in storage tank of conventional toilets to reduce the volume (but not the height) of stored water.
- Dual flush toilet: devices used with conventional toilets to enable the user to select from two flush volumes, based on the presence of solid or liquid waste materials.
- Water-saving toilet: variation of conventional toilet with redesigned flushing rim and priming jet that allows the initiation of the siphon flush in a smaller trapway with less water.
- Pressurized and compressed air (assisted flush toilet): variation of conventional toilet designed to utilize compressed air to aid in flushing by propelling water into the bowl at increased velocity.
- Vacuum-assisted flush toilet: variation of conventional toilet in which the fixture is connected to a vacuum system that is used to assist a small amount of water in flushing.

In addition to modifications to conventional flush toilets, non-water carriage toilets are available to reduce the amount of water required. They are also used for disposing of toilet wastes. Types of non-water carriage toilet systems include:

- Composting toilet: self-contained units that accept toilet wastes and utilize the addition of heat in combination with aerobic biological activity to stabilize human excreta; larger units may accept other organic wastes in addition to toilet wastes and requires periodic disposal of residuals.

- Incinerating toilet: small self-contained units that utilize a burning assembly or heating element to volatilize the organic components of human waste and evaporate the liquids; requires periodic disposal of residuals.
- Oil-recycle toilets: self-contained unit that uses a mineral oil to transport human excreta from a toilet fixture to a storage tank; oil is purified and reused for flushing; requires removal and disposal of excreta from storage tank periodically (usually annually).

Beginning in 2007, the United States Environmental Protection Agency implemented a program called Water Sense, which encourages the development and marketing of water saving products, including toilets. Products meeting the criteria can display a label that attests to the water efficiency; 20 percent less water use than a conventional toilet without sacrificing performance.

The wastes from toilets are referred to as “black-water.” If the wastes from toilets are segregated and handled separately using alternative non-water carriage toilets from the wastewaters generated from other fixtures in the home (referred to as “graywater”), significant quantities of pollutants, especially suspended solids, nitrogen, and pathogenic organisms, can be eliminated from the total wastewater flow. Graywater, though it still may contain significant numbers of pathogenic organisms, may be simpler to manage than total residential wastewater due to a reduced flow volume.

*See also* Municipal solid waste; Sewage treatment.

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Judith Sims

## Tolerance level

Tolerance level refers to the maximum allowable amount of chemical residue, such as a pesticide, legally permitted in food. Tolerance levels are determined by government agencies such as the Environmental Protection Agency (EPA) and the Food and Drug

Administration (FDA), and are based on the results of testing, primarily animal testing. This testing determines the dosage level at which few or no effects are observed. This dose is then adjusted to a human equivalency dose with a margin of error built in for added safety.

While pesticides play an important role in modern society, and are used for a variety of purposes, not until recent decades was it clear how dangerous and persistent many pesticides are. The EPA monitors only a small fraction of pesticides, and in many cases their probable effects on the environment are unknown. Pesticide tolerance levels are particularly important worldwide, since pesticides are found in the tissues of people living even in very remote areas of the world. For example, as a result of environmental contamination, the concentration of pesticides in human breast milk has, at certain times in some areas, exceeded the tolerance level for cow's milk.

To establish tolerance levels, scientists conduct a risk assessment, or an evaluation of the hazards to the environment, including human health, from exposure to the substance. Data on the toxicity of the substance are combined with data about exposure. The calculation assesses the theoretical maximum residue contribution, or the amount of a chemical that would be present in the average daily diet if all foods treated by that chemical had amounts at the tolerance level. The highest concentration allowable is called the maximum acceptable tolerance concentration. For drinking water, tolerance levels are called the National Primary Drinking Water Requirements. For air pollution standards, tolerance levels are called the permissible exposure level.

Environmentalists who criticize the government's tolerance levels charge that standards are not safe enough either for the general population or for workers exposed to toxins. Critics also claim that tolerance levels do not take into account either the cumulative effect of residues from a variety of sources in the environment, the duration of exposure, or the unanticipated effects of two or more chemicals combined. Tolerance levels also are criticized for not recognizing threats to at-risk populations such as children, the elderly, and pregnant women. Animal rights activists have criticized the use of animals to determine tolerance levels, and advocate the use of computer models or *in vitro* testing (testing on tissue cultures) to make determinations of toxicity or safety of chemicals.

*See also* Pesticide residue; Toxic substance.

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Linda Rehkopf

## Toluene

Toluene is a potentially hazardous liquid that occurs naturally in crude oil, and can be obtained from the tolu tree. Toluene is used in manufacturing paints, adhesives, and rubber, as well as in the leather-making and printing industries. People can be exposed to toluene by breathing automobile exhaust, fumes from paints, kerosene, and heating oils, or by drinking well-water that is contaminated with toluene. At high doses, toluene may cause dizziness, unconsciousness, and possibly death. High doses may cause kidney damage, while low doses may cause nausea, hearing and vision problems, disorientation, and fatigue. Toluene in air is very reactive so it increases photochemical smog and leads to the production of peroxybenzoylnitrate, a potent eye irritant. The Environmental Protection Agency (EPA) does not classify toluene as a carcinogen, although the EPA has previously set a limit of 1 milligram per liter for safe drinking water.

Marie H. Bundy

## Topography

The relief or surface configuration of an area. Topographic studies are valuable because they show how lands evolve and give insight into the history and relative age of mountains or plains. Topographic features are developed by physical and chemical processes. Physical processes include the relatively long-term tectonic actions and continental movement that lead to subduction of lands in some cases or to the

development of high elevation mountains. Earth surface forms are usually altered more quickly by the action of water, ice, and wind, leading to the development of deep canyons, leveling of mountains, and filling of valleys. Chemical processes include oxidation, reduction, carbonation, solution, and hydrolysis. These reactions lead to the alteration of organic and mineral materials that also influence the topographic forms of the earth.

## Topsoil

The upper portion of the soil that is used by plants for obtaining water and nutrients, often referred to as the *horizon*. Higher levels of organic matter in topsoil cause it to be darker and richer than subsoil and give it greater potential for crop production. The loss of topsoil is a critical problem worldwide. The net effect of this widespread topsoil erosion is a reduction in crop production equivalent to removing about 1 percent of the world's cropland each year. Soil material that can be purchased to add to existing surface soils is also referred to as topsoil. This kind of topsoil may or may not be from the surface of a soil. Often it is a dark-colored soil that is high in organic matter and in some cases may be more organic soil than mineral soil.

Top predator see **Predator-prey interactions**.

## Tornado and cyclone

A tornado is a vortex or powerful whirling wind, often visible as a funnel-shaped cloud hanging from the base of a thunderstorm. It can be very violent and destructive as it moves across land in a fairly narrow path, usually a few hundred yards in width. Wind speeds are most often too strong to measure with instruments and are often estimated from the damages they cause. Winds have been estimated to exceed 350 miles per hour (563 kph). Very steep pressure gradients are also associated with tornados and contribute to their destructiveness. Sudden changes in atmospheric pressure taking place as the storm passes sometimes cause walls and roofs of buildings to explode or collapse.

In some places such storms are referred to as cyclones. Tornados most frequently occur in the United States in the central plains where maritime polar and maritime tropical air masses often meet,

producing highly unstable atmospheric conditions conducive to the development of severe thunderstorms. Most tornados occur between noon and sunset, when late afternoon heating contributes to atmospheric instability.

In addition to clashes between warm and cold fronts, tornados are often spawned by hurricanes and tropical storms. Global warming is expected to increase sea surface temperatures in the tropical regions of the world, which will increase the amount of energy in the oceans available for such storms. The result of this process could be an increase in the annual frequency of hurricanes, and an increase in their intensity (wind speed), with a consequential increase in both the number and strength of tornados they create. The process of tornado formation is, however, extremely complicated, so the influence

of warmer ocean temperatures could be countered by the typical factors that disrupt formation and result in no net gain in frequency.

## Torrey Canyon

The grounding in 1967 of the supertanker *Torrey Canyon* on protruding granite rocks near the Scilly Isles off the southwest coast of England introduced the world to a new hazard: immense oil spills, especially from supertankers. It exposed current technology's inability to handle such massive quantities of spilled oil and the need for ship design that would help prevent them.



The *Torrey Canyon* ran aground on the Seven Stones Reef between Land's End and the Scilly Isles on March 19, 1967. It was carrying 120,000 tons of oil. The military spread detergent on the oil and bombed the wreck to burn off the remaining oil. However, the oil slick went on to affect 150 kilometers of the Cornish coast of Britain. The detergent ended up being more harmful to wildlife than the oil it was meant to disperse. (John Reader/Photo Researchers, Inc.)

Although oil spills are tragic, each incident provides insight into the progress being made to prevent them. The *Torrey Canyon* accident pointed out needed research in the area of oil spills. These include the efficacy of storm waves, oil-consuming microbes, and time to heal the environment.

This wreck demonstrated the inadequacies of existing international marine law, especially questions of responsibility and liability. The international aspects of the *Torrey Canyon* case were complex. This American-owned vessel was registered in Liberia, sailed with a crew of mixed nationals, and grounded in United Kingdom waters, also contaminating the Brittany coast of France. The British government set precedent by ordering the bombing of the wreck in a futile effort to torch the remaining oil.

Efforts to salvage the spilled oil quickly proved useless, as the escaping oil rapidly thinned and became widespread. It was later learned that the most volatile parts of the oil evaporated within several days. Fortunately, this amounted to a large percentage of the total spill.

A number of crucial lessons were learned as a result of abatement efforts. Dispersants, which break the oil into tiny droplets, were inadequate and applied too little and too late. Once “mousse” (the term for water encased in the oil) forms under wave action, little can be done to break up this ecologically hazardous muck. One of the first actions in response to the 1993 grounding of the *Braer* near the Shetland Islands was the aerial spraying of dispersants on the rapidly thinning oil.

Use of detergents on the intertidal zone of England’s resort beaches proved deadly to grazing organisms. The most effective treatment of oil-tainted water and beaches was discovered to be nature’s storm action combined with metabolic breakdown by microorganisms. Efforts to clean oiled seabirds proved largely futile, as they succumbed to hypothermia, stress, and poisoning from ingested oil.

The French discovered an effective emergency tactic to lessen the damage. They used straw and other absorbent materials to sop up the incoming oil. They also protected a 100-year-old research section at Roscoff by making a long boom out of burlap stuffed with straw and wood cuttings, an operation dubbed “Big Sausage.” To compensate for inadequate anchoring, students physically held the boom in place while the tide rolled in, with new troops periodically relieving those chilled in the cold water.

## Resources

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## Toxaphene

Toxaphene was once the nation’s most heavily used pesticide and accounted for one-fifth of all pesticide use in the United States. It was used mainly on cotton and dozens of food crops and on livestock to kill parasites. It is highly toxic to fish and wildlife and has been implicated in massive kills of fish, ducks, pelicans, and other waterfowl. Because of this, its ability to cause cancerous tumors and genetic abnormalities in animals, and fears that it may be similarly dangerous to humans, all sale and use of toxaphene have been banned except for already existing stocks. However, this chlorinated hydrocarbon pesticide is extremely persistent and degrades slowly. Years after application, it has been found in fish, water, wildlife, and the food chain/web, posing a continuing potential threat to the environment and human health.

## Toxic sludge spill in Hungary

In early October 2010, Hungary declared a state of emergency in three western counties after a massive and deadly industrial spill of highly alkaline, red-colored, toxic sludge from a ruptured industrial reservoir flooded nearby towns and flowed into tributaries leading to the Danube River.

The industrial reservoir rupture released at least 35 million cubic feet (1 million cubic meters) of toxic mud-like waste created during the refining of bauxite into alumina. The sludge derived from the processing of bauxite. Bauxite is a naturally occurring, heterogeneous mixture of aluminum hydroxide minerals. Often extracted by strip mining, bauxite is then mixed with industrial strength and highly caustic sodium hydroxide under high temperature (392°F, 200°C) and pressure to reveal

aluminate. Alumina is an industrially produced crystalline aluminium oxide used in smelting aluminium. Alumina is also used in many ceramic products. During filtering, the ferruginous red mud or red sludge is removed to evaporation tanks or reservoirs. A gibbsite precipitate then forms as the filtered solution cools. The gibbsite is then purified and heated again to form aluminium oxide. The ferruginous red mud or red sludge typically has high concentrations of caustic, alkaline sodium hydroxide mixed with variable amount of silicon and titanium. The sludge may also be slightly radioactive and can contain varying concentrations of heavy metals such as arsenic, lead, cadmium, and chromium.

Efforts to contain the massive spill initially failed to prevent contaminated sludge from reaching the Danube, Europe's second largest river. Polluted runoff into feeder streams also thwarted containment efforts. Waterways near the broken reservoir, including streams near Kolontar, the village nearest the spill and about 45 miles (70 km) from the Danube, reported massive fish kills. Observers also reported massive kills in all forms of aquatic life in the Marcal River, a smaller river that flows into the Raba River, which in turn, flows into the Danube.

At the source of the spill, engineers and rescue workers recorded strongly alkaline pH scale readings of pH 12 to pH 13. The pH scale measurements relate the concentration of hydrogen ions ( $H^+$ ) in a solution to a logarithmic scale. The hydrogen ion concentration can be determined empirically and expressed as the pH. The pH scale ranges from 0 to 14, with 1 being the most acidic and 14 being the most basic. The pH scale is a logarithmic scale, and so each integer division is differs by a factor of ten. For example, a solution that has a pH of 9 is ten times more basic than a solution with a pH of 8.

An acidic environment is enriched in hydrogen ions, whereas a basic environment is relatively depleted of hydrogen ions and, in an aqueous environment, is rich in  $OH^-$  ions. Mathematically, pH is calculated as the negative logarithm of the hydrogen ion concentration. For example, the hydrogen ion concentration of distilled water is  $10^{-7}$  and hence, pure or distilled water has a pH of 7. In comparison, a pH of 0 corresponds to 10 million more hydrogen ions per unit volume, and a pH of 14 corresponds to one ten-millionth as many hydrogen ions per unit volume.

As the sludge mixed with water (with a neutral pH of 7), dilution reduced the highly alkaline sludge. By the time the sludge reached the Danube, investigators and environmental officials recorded pH levels of 9.4 in contaminants entering the river. The diluted sludge

was more than 1000 times less alkaline than the sludge at the source. Investigators remained uncertain, however, as to the heavy metal content of the sludge.

Emergency response teams also poured plaster and vinegar (a dilute form of acetic acid) into the sludge as part of efforts to neutralize the deadly alkalinity.

The day after diluted sludge was detected in the Danube, sporadic losses of fish were reported. Water pH near areas where the Raba flows into the Danube measured 9.1. Fish and many forms of vegetation cannot survive in such alkaline conditions. Industrial pollution in the Danube routinely creates pH levels of 8.0 to 8.4.

Experts continued to test waters for impacts on microbial life. Microorganisms can tolerate a spectrum of pHs, while individual microbes usually have an internal pH close to that of distilled water. The surrounding cell membranes and external layers (e.g., the glycocalyx) help buffer the cell membrane and offer protection from pH extremes in the surrounding environment.

Engineers and government officials launched investigations to determine the reason the industrial waste reservoir collapsed. The industrial evaporation reservoir suffered a collapse in a section of one of the containment walls. Engineers worked for two days to repair the ruptured section. Just a week prior to the accident, Hungarian environmental authorities had declared the reservoir safe. Although the cause of the reservoir failure remains under investigation, the collapse followed weeks of heavy rains. The threat of a second spill was averted with the construction of a second dam embankment, and the plant resumed production as clean up efforts continued.

Nine deaths were immediately attributed to the Hungarian spill, with at least 150 people also treated for chemical burns and other injuries. Exposure to highly alkaline or acidic substances can result in chemical burns that manifest hours or days after exposure. Deep tissue burns are also possible.

By mid-October, World Health Organization (WHO) personnel, who continuously tested waters downstream of the spill, declared water quality to be adequate and within expected ranges of pollution established prior to the spill. Local drinking water supplies were also cleared for use. WHO officials announced plans for long-term monitoring for pH fluctuations and heavy metal contamination. Public health officials continued to warn against contact, inhalation or ingestion of the highly alkaline industrial sludge.

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## Toxic substance

Toxic substances are materials that are poisonous to living organisms. There are hundreds of thousands of artificial and natural toxic substances, also known as toxins, that can be solids, liquids, or gaseous. Toxic

substances damage living tissues or organs by interfering with specific functions of cells, membranes, or organs. Some destroy cell membranes, others prevent important cell processes from occurring. Many cause cells to mutate, or make mistakes when they replicate themselves. Some of the most common and dangerous anthropogenic (human-made) toxic substances in our environment are chlorinated hydrocarbons, including DDT (dichlorodiphenyl-trichloroethane) and polychlorinated biphenyl (PCB). Many of these are produced by pesticide manufacturers and other chemical industries specifically because of their ability to kill pests. Petroleum products, produced and used in oil refining, plastics manufacturing, industrial solvents, and household cleaning agents, are also widespread and highly toxic agents. Heavy metals, including cadmium, chromium, lead, mercury, and nickel, and radioactive substances such as uranium and plutonium are also dangerous toxic agents. Once a toxic substance is released into the environment, plants may absorb it along with water and nutrients through their roots or through pores or tissues in their leaves and stems. Animals, including humans, take up environmental toxic substances by eating, drinking, breathing, absorbing them through the skin, or by direct transmission from mother to egg or fetus.

The toxicity (the potential danger) of all toxic materials depends upon dosage. Some toxins are deadly in very small doses; others can be tolerated at relatively high levels before an observable reaction occurs. All chemical substances can become toxic in high enough concentrations, but even very toxic chemicals may cause no reaction in very small amounts. For example, consumption of an extremely large dose of table salt (sodium chloride) can cause severe reactions, possibly even death. On the other hand, in moderate doses table salt is not toxic and is essential for your body to continue functioning normally. Likewise, trace elements such as selenium are important to us in extremely minute amounts, but high concentrations have been observed to cause severe birth defects and high mortality among birds.

One of the most important characteristics that determines a substance's toxicity is the way the substance moves through the environment and through our bodies. Most chemicals and minerals move most effectively when they are dissolved by water or by an oil-based liquid. Generally compounds of mineral substances, including sodium chloride, selenium, zinc, copper, lead, and cadmium, dissolve best in water. Organic chemicals (those containing carbon), including chlorinated hydrocarbons, benzene, toluene, chloroform, and others, dissolve most readily in oily solvents, including

gasoline, acetone, and the fatty tissues in our bodies. In the environment, inorganic substances are often mobilized when ground is disturbed and watered, as in the case of irrigated agriculture or mining, or when waste dumps become wet and their soluble contents move with runoff into groundwater or surface water systems. Animals and plants readily take up these substances once they are mobilized and widely distributed in natural water systems. Organic chemicals mainly move through the environment when human activity releases them through pesticide spraying, by allowing aging storage barrels to leak, or by accidental spills. Natural surface and groundwater systems further distribute these compounds. Animals that ingest these compounds also store and distribute toxic organic substances in their bodies.

Once a toxic substance is ingested, absorbed or inhaled, its mobility in our body depends upon its solubility and upon its molecular shape. As they enter our bodies through the tissues lining our lungs or intestines or more rarely through our skin, fat soluble compounds can be picked up and stored by fatty tissues, or lipids, in our cells. Proteins and enzymes in our blood, organs, tissues, and bones recognize and bond with molecules whose shape fits those proteins and enzymes. In most cases our bodies have mechanisms to break down, or metabolize, foreign substances into smaller components. When possible, our bodies metabolize foreign substances and turn them into simpler, water soluble compounds. These are easier for our bodies to eliminate by excretion in feces, urine, sweat, or saliva.

Not all substances are easy to metabolize, however. Some persistent compounds such as DDT simply accumulate in tissues, a process known as bioaccumulation. If gradual accumulation goes on long enough, toxic dosages are reached and the animal will suffer a severe reaction or death. Furthermore, the by-products (metabolites) of some substances are more dangerous than the original toxin and more difficult to excrete. Toxic metabolites accumulate in our tissues along with persistent toxins. Although accumulation can occur in bones, fat reserves, blood, and many organs, the most common locations for toxins to accumulate is in the liver and kidneys. These organs have the primary responsibility of removing foreign substances from the blood stream, so any substance that the body cannot excrete tends to collect here.

The parts of our bodies that are most easily damaged by exposure to toxic substances, however, are areas where cells and tissues are reproducing and growing. Brain cells, bones, and organs in children are especially susceptible, and improper cell replication is magnified as

growth proceeds. Serious developmental defects can result—a widespread example is the accumulation of lead in children's brains, causing permanent retardation. In adults, any tissue that reproduces, repairs, or replaces itself regularly is likely to exhibit the effects of toxicity. Linings of the lungs and intestines, bone marrow, and other tissues that regularly reproduce cells can all develop defective growths, including cancer, when exposed to toxic substances. An individual's susceptibility to a toxic substance depends upon exposure—usually workers who handle chemicals are the first to exhibit reactions—and upon the person's genetic resistance, age, size, gender, general health, and previous history of exposures.

Toxins that cause an immediate response, usually a health crisis occurring within a few days, are said to have acute effects. Subacute toxic effects appear more gradually, over the course of weeks or months. Chronic effects may begin more subtly, and they may last a lifetime. General classes of toxic substances with chronic effects include the following:

- Neurotoxins, which disable portions of the nervous system, including the brain. Because nerves regulate body functions and because nerve cells are not replaced after an individual reaches maturity, damage is especially critical.
- Mutagens, which cause genetic alterations so that cells are improperly reproduced. These can lead to birth defects or tumors. Compounds that specifically affect embryos are called teratogens.
- Carcinogens cause cancer by altering cell reproduction and causing excessive growth, which becomes a tumor.
- Tumorogens are substances that cause tumors, but if tumors are benign, they are not considered cancerous.
- Irritants, which damage cells on contact and also make them susceptible to infection or other toxic effects.

Natural toxins occur everywhere, and many are extremely toxic. Some, such as peroxides and nitric oxide, even occur naturally within our bodies. Many people avoid potatoes, peppers, tomatoes, and other members of the nightshade family because they are sensitive to the alkaloid *solanine* that they contain. Mushrooms and molds contain innumerable toxic substances that can be lethal to adults in minute quantities. Ricin, a protein produced by castor beans, can kill a mouse with a dose of just three ten-billionths ( $3/10,000,000,000$ ) of a gram. Ricin is one of the most toxic organic substances known.

The most problematic environmental toxic substances are anthropogenic materials that are produced in large quantities for industrial processes and home use. These are dangerous first because most of them are organic and thus bond readily with our tissues and second because their production and distribution occurs rapidly and is poorly controlled. Every year hundreds of new substances are developed, but the testing process is time-consuming and expensive. The National Institute of Safety and Health has listed 99,585 different toxic and hazardous substances, but there are over 700,000 different chemicals in commercial use, and of these only 20 percent have been thoroughly tested for toxic effects. One-third have not been tested at all. Once these substances are manufactured, their sale, transportation, and especially disposal are often inadequately monitored. Of the more than over 292 million tons (265 million metric) of toxic and hazardous materials produced every year the United States, about 220 million tons (200 million metric) are used or disposed of properly by recycling, chemical conversion to non-toxic substances, incineration, or permanent storage. About 66 million tons (60 million metric) are inappropriately disposed of, mostly in landfills, with non-toxic solid waste. Of course, significant amounts of substances that are properly used also freely enter the environment—including pesticides applied to fields, benzene, gasoline, and other volatile organic compounds that evaporate and enter the atmosphere, and paints and solvents that evaporate or gradually break down after use.

National efforts to control toxic substances in the United States began with the National Environmental Policy Act, passed by Congress in 1969. This act required the establishment of standardized rules governing the identification, testing, and regulation of toxic and hazardous substances. The law setting out those rules finally appeared in the 1976 Resource Conservation and Recovery Act (RCRA). This law sets up standard policy for regulating toxic and hazardous substances from the time of production to disposal. Efforts to deal with new and historic environmental problems associated with toxic substances began with the 1980 Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA). This act provided for setting up a multi-billion dollar Superfund to pay clean up costs at the hundreds of abandoned toxic waste sites around the country.

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## Toxic Substances Control Act (1976)

The Toxic Substances Control Act (TSCA), enacted in 1976, is a key law for regulating toxic substances in the United States. The Act authorizes the Environmental Protection Agency (EPA) to study the health and environmental effects of chemicals already on the market and new chemicals proposed for commercial manufacture. If the EPA finds these health and environmental effects to pose unreasonable risks, it can regulate, or even ban, the chemical(s) under consideration.

The initiative to regulate toxic substances began in the early 1970s. In 1971, the Council on Environmental Quality produced a report on toxic substances. It concluded that existing health and environmental laws were not adequately regulating such substances. The report recommended that a new comprehensive law to deal with toxic substances be enacted. Among the problem substances that had helped to focus national concern on toxic substances were asbestos, chlorofluorocarbons (CFCs), Kepone (a pesticide), mercury, polychlorinated biphenyl (PCB)s, and vinyl chloride. Research proved that these substances caused significant health and environmental problems, yet they were unregulated.

Congressional debate on regulating toxic substances began in 1971. The Senate passed bills in 1972 and 1973 that were strongly supported by environmentalists and labor, but the House approach to the issue was more limited and had the support of the chemical industry. The key difference between the two approaches was how much pre-market review would be required before new chemicals could be introduced and marketed. Although none of the key stakeholders, the chemical industry, environmentalists, or labor, was entirely happy with the compromise bill of 1976, all three groups supported it.

The approach adopted in TSCA is pre-market notification, rather than the more rigorous pre-market testing that is required before new drugs are introduced on the market. When a new chemical is to be manufactured, or an existing chemical is to be used in a substantially different way, the manufacturer of the chemical must provide the EPA with pre-manufacture notification data at least 90 days before the chemical is to be commercially produced. The EPA then examines this data and determines if regulation is necessary for the new chemical or new use of an existing chemical. The agency can require additional testing by manufacturers if it deems the existing data insufficient. Until such data is available, the EPA has the option to ban or limit the manufacture, distribution, use, or disposal of the chemical. For new chemicals or new applications of existing chemicals, producers must demonstrate that the chemicals do not pose unreasonable risks to humans or the environment. The EPA can act quickly and with limited burden to prevent such new chemicals from being produced or distributed.

Between July 1979, when TSCA went into effect for new chemicals, and April 2002, the EPA received 23,486 new chemical notices. The agency determined that no action was necessary for nearly 90 percent of these chemicals. Of the 2,702 chemicals that required further action, 917 were never produced commercially due to EPA concerns. The remaining 1,785 chemicals

were controlled through formal actions or negotiated agreements.

As of mid-2002, there were nearly 75,000 chemicals within the purview of TSCA (including 5,000 new chemicals introduced in the past decade). Although the law requires that the EPA examine each of these chemicals for its potential health and environmental risk, the volume of chemicals and lack of EPA resources has made such a task virtually impossible. Through 2000, the agency had examined almost 700 existing chemicals. Of the compounds on this list, the EPA determined that 370 chemicals required no further action. This was due to the fact that the chemical was no longer being manufactured, it was under study by another federal agency or industry, adequate data on the chemical existed, or exposure to the chemical was limited. The EPA has issued rules to regulate 112 of these chemicals. The remaining chemicals are in various stages of testing and review.

TSCA created an Interagency Testing Committee (ITC) to help the EPA set priorities. The ITC designates which existing chemicals should be examined first. Once designated by the ITC, the EPA has one year to study the chemical and issue a ruling. The agency initially had difficulty meeting this one-year limit. In fact, the EPA was sued over its failure to meet the deadline and worked under a court schedule to test these identified chemicals. The record of the EPA has improved but the agency still struggles to meet deadlines imposed by ITC due to budgetary and personnel shortages.

The EPA also identifies specific chemicals already in use for review. Unlike the policy with new chemicals, the EPA must demonstrate that existing chemicals warrant toxicity testing. Furthermore, if the EPA desires to regulate the chemical once it has been tested, it must pursue another complex course of action. By design, the regulation of existing chemicals is a lengthy and complicated process.

There are several options available to the EPA if it concludes that a new or existing chemical presents “an unreasonable risk of injury to health or the environment.” The EPA can: (1) prohibit the manufacture of the chemical by applying a rule or obtaining a court injunction; (2) limit the amount of the chemical produced or the concentration at which the chemical is used; (3) impose a ban or limit uses of the chemical; (4) regulate the disposal of the chemical; (5) require public notification of its use; and (6) require labeling and record keeping for the chemical. The EPA is required to use the least burdensome of these regulatory approaches for chemicals already in use.

There are several other important components to TSCA. First, the law requires strict reporting and record keeping by the manufacturers and processors of the chemicals regulated under the law. In addition to keeping track of the chemicals, these records also include data on environmental and health effects. Second, TSCA is one of the few federal environmental laws that require environmental and health benefits to be balanced by economic and societal costs in the regulatory process. The act states that toxic substances should only be regulated when an unreasonable risk to people or the environment is present. Although “unreasonable risk” is not clearly defined in the language of the act, it does incorporate a concern for balancing costs and benefits.

Third, PCBs were singled out in the TSCA legislation. Although the manufacture of PCBs was prohibited by 1979, fewer than 1 percent of all PCBs in use up to that time were phased out. Despite the ban, a significant PCB problem still remains. One major problem is how to process the millions of pounds of PCBs abandoned by firms that went bankrupt in the 1980s. There is also concern about the safety of disposing of PCBs. Environmentalists argued that PCB treatment required new legislation. In response, the EPA decided to issue stricter regulation under the TSCA to ensure the safe the transportation and final disposal of PCBs.

Fourth, TSCA contained provisions for private citizens, as opposed to the U.S. government, to file lawsuits. Under the TSCA rules, private citizens could sue companies for violation of the law. They could also sue the EPA for failure to implement TSCA in a timely manner. Fifth, certain materials are not covered by TSCA. These include ammunition and firearms; nuclear materials; tobacco; and chemicals used exclusively in cosmetics, drugs, food and food additives, and pesticides. These substances are regulated by other laws.

The scope of the law was expanded in 1986 by an amendment requiring asbestos hazards to be reduced in schools, and in 1988 by an amendment providing grants and technical assistance to state programs that reduce indoor radon and assigning the regulation of genetically engineered organisms to TSCA. A 1990 amendment required accreditation of persons who inspect for asbestos-containing material in school, public, and commercial buildings, while the Residential Lead-Based Paint Hazard Reduction Act of 1992 introduced new requirements for the reduction of hazards associated with the inspection and abatement of lead-based paints.

In terms of existing chemicals, as of 2002, a total of 50 have been banned in the United States. Most of

these are pesticides. A notable EPA action was the ban on the use of chlorofluorocarbons (CFCs) as aerosol propellants. This occurred in March 1978. Part of the reason for a lack of action may be due to the costs involved in implementing such a complex regulatory program. It is also difficult to determine unreasonable risk in light of inadequate data and more general uncertainties. Third, most pre-market notifications for new chemicals are not accompanied by test data. Rather, the EPA identifies potentially harmful chemicals by comparing the new chemical to existing chemicals for which data exists. If the EPA were to require more data for most new chemicals, in many cases the new chemicals might not be produced since the test costs would exceed the expected profit.

The chemical industry and environmentalists have reached conflicting conclusions about the TSCA legislation. The chemical industry has argued that the EPA has required more testing than is scientifically needed and that the regulatory approach for new chemicals is overly burdensome. Environmentalists have criticized the EPA for its slow progress in examining existing chemicals, for requiring no health data for new chemicals, and for withholding much of the data for new chemicals to comply with the desire for confidentiality requested by chemical manufacturers.

*See also* Chronic effects; Radioactive waste; Risk analysis.

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Toxic waste see **Hazardous waste**.

## Toxics Release Inventory (EPA)

A public database containing the total amounts of toxic chemicals that are routinely released into the air, water, and soil each year from about 30,000 industrial, particularly manufacturing, facilities in the United States. Firms that use or emit any of about 666 listed chemicals in quantities over specified thresholds are required to provide the U.S. Environmental Protection Agency (EPA), states, and the public with estimates of the amount of each chemical stored or used at the firm, the amount emitted, including permitted releases, and other information. According to the EPA, as of 2010, the TRI contains 581 individually listed chemicals and thirty chemical categories (including three delimited categories containing fifty-eight chemicals). Considering members of the three delimited categories as separate chemicals creates a list of 666 chemicals. This inventory is required under the Superfund Amendments and Reauthorization Act of 1986 and has been compiled annually since 1987. It excludes, however, federal facilities; oil, gas, and mining industries; agricultural activities; and small firms. The most recent inventory lists about 20 billion pounds (9.1 billion kg) of emissions annually, roughly 5 percent of the United States total toxic emissions as estimated by the U.S. Office of Technology Assessment. The inventory has not been verified using independent sources. The Toxics Release Inventory has helped to support new legislation (e.g., pollution prevention programs), provide data for agency projects (cancer studies); track toxic chemical estimates; regulate toxic chemicals; and screen environmental risks.

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## Toxics use reduction legislation

Disasters resulting from the release of toxic chemicals, such as those at Love Canal, New York, or Bhopal, India, demonstrated the hazards associated with chemical manufacture and use. In response to public concerns over chemical safety, "right-to-know" statutes and regulations have been enacted on both the state and federal levels. In 1990, Congress passed the

Pollution Prevention Act. However, the provisions of this legislation are relatively limited when compared to the toxics use reduction (TUR) statutes that have been enacted in almost thirty states since 1989. In the 1990s, Great Britain and other European countries adopted similar legislation.

State TUR statutes, sometimes called pollution prevention statutes, are designed to motivate businesses to reduce their use of toxic chemicals. Most such statutes set specific overall goals, such as a 50 percent reduction in the use of toxic chemicals, with that reduction being phased in over a specified number of years. A comprehensive TUR statute usually covers planning requirements, reporting requirements, and protection of trade secrets. It encourages worker and community involvement, provides technical assistance and research, institutes enforcement mechanisms and penalties for non-compliance, and designates funding.

The Massachusetts Toxics Use Reduction Act (MTURA) is considered one of the strongest existing TUR laws, and it is often used as a model for such legislation in other states. The stated goals of the MTURA are to reduce toxic waste by 50 percent statewide by 1997, while continuing to sustain and promote the competitive advantages of Massachusetts' businesses. Companies subject to MTURA are called large quantity toxics users, and each of these are now required to develop an inventory of the toxic chemicals flowing both in and out of every production process at its facilities. The company must then develop a plan for reducing the use of toxic chemicals in each of these production processes. The inventory and a summary of this plan must be filed with a designated state agency, and the company must submit an annual report for each toxic substance manufactured or used at that facility. To accommodate concerns about trade secrets, the MTURA allows companies to report amounts of a chemical substance using an index/matrix format, instead of absolute amounts. In other states, TUR laws address such concerns by allowing companies to withhold information they believe would reveal trade secrets except on court order.

Plans filed under the MTURA are not available to the public, but any ten residents living within 10 mi (16.09 km) of a facility required to prepare such a plan may petition to have the Massachusetts Department of Environmental Protection examine both the plan and the supporting data. Summaries of these plans must be filed every two years and these are available to Massachusetts residents, as well as the annual reports these companies must issue. Large quantity toxics users must notify their employees of new plans as well as updating existing plans, and management is

required to solicit suggestions from all employees on options for reducing the use of toxic substances.

To encourage toxics use reduction, MTURA has established the Office of Toxics Use Reduction Assistance Technology, which provides technical assistance to industrial toxics users. The name of the office has since been changed to the Office of Technical Assistance for Toxics Use Reduction, or OTA. The act also established a Toxics Use Reduction Institute (TURI) at the University of Lowell, which develops training programs, conducts research on toxics use reduction methods, and provides technical assistance to individual firms seeing to adopt pollution prevention techniques. A recent example of the Institute's publications is a module on wet cleaning techniques as a replacement for standard dry cleaning, intended for businesses in the garment care industry. In 1995, TURI established a Toxics Use Reduction Networking (TURN) grant program that funds model projects intended to increase citizen participation in toxics use reduction. The grant program funds two basic types of projects, community awareness projects and municipal integration projects. In 2002, TURI broadened its grant program to include projects related to strategic TUR planning at the community level.

Enforcement of MTURA is overseen by the Administrative Council on Toxics Use Reduction, but there are also provisions in the act for court action by groups of ten or more Massachusetts citizens. Civil penalties up to \$25,000 for each day of the violation can be assessed, and for willful violations a court can impose fines between \$2,500 and \$25,000 per violation or imprisonment for up to one year, or both. Administration of MTURA is funded through a toxics users fee imposed on companies subject to the act. Fees are determined according to the number of employees at each facility and the number of toxic substances reported by the facility.

Those drafting TUR laws in other states have chosen a variety of mechanisms to achieve their goals. One issue on which states differ is whether the reduction of toxics, which focuses on pollution prevention, should be the sole emphasis of the statute, or whether the statute should include other objectives. These other objectives are usually means of pollution control, which can include waste reduction, waste minimization, and what is called "out-of-process" recycling, which occurs when chemical wastes are taken from the production site, transported to recycling equipment, and then returned. Analysts refer to those statutes that promote toxics-use reduction exclusively or almost exclusively as having a pure focus. Those statutes that explicitly combine toxics-use reduction with

pollution control are labeled as having a mixed focus. Statutes in Massachusetts are categorized as pure, while toxics use reduction legislation in Oregon, for example, is considered to have a mixed focus.

The Oregon and Massachusetts statutes are considered by many to be the strongest toxics use reduction legislation in the United States, and most states have adopted less stringent provisions. For example, the United States General Accounting Office issued a report in June of 1992 that lists only ten states out of twenty-six with such laws, as having legislation that "clearly promotes" programs to reduce the use of toxic chemicals.

Over the past three decades, Congress has enacted various laws aimed at pollution control. These include the Resource Conservation and Recovery Act (1976), the Toxic Substances Control Act (1976), and the Superfund Amendments and Reauthorization Act (1986). Pollution-control laws such as these are primarily considered source-reduction laws. These laws are designed to reduce waste after it has been generated, while TUR laws are designed to restrict hazardous waste before generation, by reducing or eliminating the toxic chemicals that enter the production processes. Some federal legislation is, however, moving in the direction of a TUR strategy.

TUR laws have continued the goals of right-to-know legislation by moving away from reactive enforcement of environmental laws toward hazard prevention. A recent example of this change in direction is the relationship between toxics use reduction and cancer prevention. The Cancer Prevention Coalition stated in 1999 that phasing out the use of known carcinogenic substances in industry through toxics use reduction legislation is an important measure in reversing the current high rates of cancer incidence. The Coalition noted that TUR laws exemplify the precautionary principle of risk prevention in contrast to a strategy of risk management.

TUR laws are also different in their multimedia approach to regulation. Previous environmental and occupational and health and safety statutes have divided enforcement between various agencies, such as the Environmental Protection Agency (EPA), the Occupational Safety and Health Administration, and the Consumer Product Safety Commission. Even under the jurisdiction of a single agency, moreover, current environmental laws often require different approaches to regulation of toxics depending on where they are found—air, water, or land. In contrast, TUR laws require comprehensive reports and plans for the reduction of toxics discharged into all media. TUR laws have been supported by coalitions of environmentalists and labor representatives precisely because of this comprehensive multimedia approach.

Reactions by industry, however, have been mixed. In some instances, businesses have saved money once they began purchasing substitute chemicals, or when changing production processes improved efficiency. But some firms have hesitated to make the capital investments needed to change their industrial processes because the cost-benefit ratio of such changes remains uncertain. Some firms have judged the costs of alternative chemicals or processes to be prohibitively expensive, and in other cases alternative technologies or less hazardous chemicals have not been developed and are simply not available at any price.

The EPA has found, however, that some companies do not take advantage of available technology for reducing or eliminating toxic chemicals because they are unaware of its existence. The Toxics Use Reduction Institute has been a pioneer in making such information available to companies within the Commonwealth of Massachusetts. The Office of Pollution Prevention and Toxics (OPPT), a subagency of the EPA, has sponsored the Green Chemistry Program since 1995. The Green Chemistry Program awards grants for research in green chemistry, promotes partnerships with industry in developing green chemistry technologies, and works with other federal agencies in building green chemistry principles into their operations. OPPT also supports several research centers representing industrial as well as academic and government concerns. In addition to TURI, these centers include the Emission Reduction Research Center at the New Jersey Institute of Technology and the Center for Process Analytical Control at the University of Washington.

On the other hand, some industries have been working since the late 1990s for repeal or abolition of TUR legislation. The Massachusetts Chemical Technology Alliance, for example, is opposed to the Commonwealth's TURA statutes on the ground that compliance is ineffectual as well as too costly. Other industry-sponsored groups maintain that the law puts Massachusetts companies at a competitive disadvantage.

A 2004 study by the state offices overseeing the MTURA found that the state had met its goals of reducing chemical use by 50 percent. From 1990 to 2001 waste from toxic use fell from 106 million pounds to just under 70 million pounds, an absolute reduction of almost one-third. However, since production of chemicals increased over that time, the reduction in production-adjusted terms reached the 50 percent goal.

*See also* Chemical spills; Emergency Planning and Community Right-to-Know Act (1986); Environmental liability; Hazardous material; Hazardous Substances Act (1960); International trade in toxic waste.

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Massachusetts Toxics Use Reduction Institute, 600 Suffolk Street, Wannalancit Mills, Lowell, MA, USA, 01854, (978) 934-3275, <http://www.turi.org>

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## Toxins

Toxins are chemicals or physical agents that exert a toxic effect on living organisms. The term toxic or toxicity refers to the ability of a substance to have a poisonous effect on living cells and tissues. A toxin causes a reaction with cellular components that disrupts essential metabolic processes. At some level of exposure, all chemicals, whether natural or synthetic, are toxic or have some degree of toxicity. All can either cause death or damaging effects soon after exposure, or can cause some other disease (such as cancer or birth defects) after longer-term exposure.

Although many people mainly associate toxins with pesticides or industrial chemicals, these substances also include the natural poisons produced by marine animals, spiders, snakes, plants, and the extremely toxic botulinum toxins (neurotoxins from the bacterium, *Clostridium botulinum*) that can kill a human being with a single minuscule dose (ranging from 0.0004 to 0.0025 micrograms per kilogram of body weight). Toxins can exert their effects on many different organs and organ systems.

Toxicologists use reports, epidemiology, computer modeling, and laboratory studies to characterize both the lethal doses (LD) of toxins and the doses of certain chemicals that can cause disease over the long term. The lethal dose of a toxin refers to the dose, usually reported as amount per kilogram body weight, capable of killing the exposed individual. Commonly, the LD reported for a given toxin is recorded as the dose that will cause 50 percent of exposed individuals to die (LD<sub>50</sub>). The reference dose (RfD) of a chemical is the maximum daily exposure shown to have no adverse effects on a human individual over a lifetime

and is usually expressed as milligrams per kilogram body weight per day (mg/kg/day). The determination of an RfD includes consideration for sensitive groups (e.g., elderly, children). The no-observed-adverse-affect-level (NOAEL) for a chemical is the highest amount shown to have no adverse effect on an individual. Environmental laws regulate exposures to certain human-made toxins. Toxins are sometimes used in biological warfare or bioterrorism efforts.

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## Trace element/micronutrient

A micronutrient is an element that plants require in small quantities for growth and metabolic functions. Common micronutrients are iron, copper, zinc, boron, molybdenum, manganese, and chlorine. Some plants may also benefit from small amounts of sodium, silicon, and/or cobalt. Micronutrients often act as catalyst in plant chemical reactions. Historically, when animal waste was used as fertilizer, there were few micronutrient deficiencies detected. However, with the use of large amounts of chemical fertilizer, higher yields, and use of monoculture, micronutrient deficiencies in crop plants are becoming more evident. Several plant disorders such as beet canker, cracked stem of celery, and stem end of russet in tomatoes can be related to the lack of specific micronutrients. On the other hand, excess of micronutrients in plants may lead to plant toxicity.

## Trade in pollution permits

Trade in pollution permits augments the traditional approach to environmental regulation by using market principles to control pollution. Since its inception, the

program has been criticized as unfair and unfeasible. Yet the concept of trading pollution permits continues to spread.

Most environmental laws limit the amount of waste or pollution each regulated facility can emit to air, water, or land. These limits are then written into permits. Regulators monitor the facility to make sure the permits are followed. Any facility exceeding the permitted level of emissions may be fined or otherwise penalized. This method of controlling pollution is known as command and control.

In 1990, Title IV of the Clean Air Act Amendments became the first federal law to codify a market-based approach to pollution control. The title regulated sulfur dioxide emissions in an effort to reduce acid rain. It set a goal of a 10 million pounds (4.5 million kg) reduction in power plants emissions of sulfur dioxide. The law granted each power plant the right to a certain level of pollution. Companies that emit less than they are allowed can sell the remainder of their pollution allowance to other companies.

Title IV redefined pollution as a commodity, like pork bellies or soybean futures. One of the largest trade in pollution transactions took place between two utilities, the Tennessee Valley Authority (TVA) and Wisconsin Power and Light. The TVA, one of the largest emitters of sulfur dioxide, paid several million dollars to one of the cleanest utilities in the country, Wisconsin Power, for the right to emit an additional 10,000 pounds (4,500 kg) of the compound.

If the market does become established, critics fear that trades like the one between the TVA and Wisconsin Power will only become more common. Because the market maximizes profit, certain utilities that are already highly polluting may spend money buying the right to pollute rather than to clean up their production processes. Other, cleaner utilities may continue to provide the extra pollution allowances. Certain parts of the country will have more pollution than others.

Proponents of the market-based approach respond that simply reaching the goal of reducing sulfur dioxide emissions by 10 million pounds (4.5 million kg) will benefit the country as a whole. They also see an advantage in making pollution costly, for then businesses will have an economic interest in reducing it.

The debate about the feasibility and fairness of pollution trading continues. Other regional and local authorities, such as the Southern California Air Quality Management District, have considered adopting such measures for their jurisdictions.

In addition to the Clean Air Act's sulfur dioxide trading scheme, governments have established other pollutant trading systems. Under the Kyoto Protocol, developed nations may sell carbon credits if their carbon production falls below their quotas. Countries that will go over their carbon quotas may then purchase these carbon credits. The European Union has established a similar cap-and-trade system known as the European Union Emission Trading Scheme, which covers the emission of carbon dioxide and other greenhouse gases. The United States Congress has debated implementing a carbon cap-and-trade scheme but such measures have failed to pass both houses of Congress.

*See also* Agricultural pollution; Air pollution; Air pollution control; Air quality; Cost-benefit analysis; Environmental economics; Green politics; Green taxes; Industrial waste treatment; Marine pollution; Pollution control; Radioactive waste management; Sewage treatment; Solid waste recycling and recovery; Solid waste volume reduction; Toxics use reduction legislation; Waste management; Waste reduction; Water pollution.

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Alair MacLean

## Tragedy of the commons

A term referring to the theory that, when a group of people collectively own a resource, individuals acting in their personal self-interest will inevitably overtax and destroy the resource. According to the commons theory, each individual gains much more than he or she loses by overusing a commonly held resource, so its destruction is simply an inevitable consequence of normal and rational behavior. In the study of economics,

this idea is known as the free rider problem. Human population growth is the issue in which the commons idea is most often applied: each individual gains personal security and wealth by producing many children. Even though each additional child taxes the global community's food, water, energy, and material resources, each family theoretically gains more than it loses for each additional child produced. Although the theory was first published in the nineteenth century, Garrett Hardin introduced it to modern discussions of population growth in a 1968 article published in the journal *Science*. Since that time, the idea of the tragedy of the commons has been a central part of population theory. Many people insist that the logic of the commons is irrefutable; others argue that the logic is flawed and the premises questionable. Despite debates over its validity, the theory of the commons has become an important part of modern efforts to understand and project population growth.

In its first published version, the idea of the commons was a scenario mapped out in mathematical logic and concerning common pasture land in an English village. In his 1833 essay, William Forster Lloyd described the demise of a common pasture through overuse. Up to that time, many English villages had a patch of shared pasture land, collectively owned, on which villagers could let their livestock graze. At the time of Lloyd's writing, however, many of these commons were being ruined through overgrazing. This destruction, he proposed, resulted from unchecked population growth and from the persistence of collectively held, rather than private, lands. In previous ages, said Lloyd, wars and plagues kept human and animal populations well below the maximum number the land could support. By the nineteenth century, however, England's population was climbing. More people were looking for room to graze more cattle, and they used common pasture because the resource was essentially free. Free use of a common resource, Lloyd concluded, leads directly to the ruin of that resource.

In his 1968 article, Garrett Hardin applied the same logical argument to a variety of natural amenities that we depend upon. Cattle, grazing on public lands in the American West, directly consume a common pasture owned by all Americans. Normal pursuit of increased capital leads ranchers to add as many cattle as they can, and much of the country's public lands are now severely denuded, gullied, and eroded from overgrazing. National parks such as Yosemite and Yellowstone are commonly held lands to which all Americans have free access. Each individual naturally wishes to maximize her or his vacation time, so that the collective



**American public grazing lands have rules of restraint, but they fail because of poor enforcement and inadequate development.**  
 (© iStockphoto.com/Alexander Katzev)

result is congestion and pollution in the parks. The oceans represent the ultimate world commons. Private corporations and individual countries maximize their profit by catching as many fish as possible. In whaling, we have seen this practice effectively eliminate some species within a few decades. Other fisheries currently stand in danger of the same end.

Extrapolating his reasoning to arguments for population control, Hardin pointed out that if each family produces as many children as it can, then the inevitable collective result will be wholesale depletion of food, clean water, energy resources, and living space. Worldwide, quality of life will then diminish. Because we cannot increase the world's supply of water, energy, and space, argues Hardin, the only way to avoid this chain of events is to prevent population increases. Ideally, we need worldwide agreements to moderate individual childbearing activity, so that the cumulative demand on world resources will not exceed resource availability.

Critics dispute Hardin's thesis on a number of levels. The most important objection is that Lloyd and Hardin condemned the idea of the commons but that

their examples did not involve real community resources. A commons, maintained over generations by a group of people for the benefit of the group, lasts because members of the group accept a certain amount of self-restraint in the interest of the community and because they know that self-restraint ensures the resource's survival. Peer pressure, respect for elders and taboos, fear of recrimination from neighbors, and consideration of neighbors' needs all reinforce individual restraint in a commons. All of these restraints rely upon a stable social structure and an understanding of commons management. In a stable society, individual survival depends upon the prosperity of one's neighbors, and every parent has an interest in ensuring that future generations will have access to necessary resources. Many such commons exist around the world today, especially in traditional villages in the developing world, where generational rules dictate the protection of grazing lands, water resources, fields, and forests.

In Lloyd's case study, industrialization, widespread eviction of tenant farmers to make way for sheep, and the introduction of capitalism had all disrupted the

traditional social fabric of English villages. Uprooted families moving from village to village did not observe traditional rules. More importantly, many common pastures were being privatized by large landowners, leaving fewer acres of public land for village livestock to squeeze onto. The problem described in Lloyd's essay was, in fact, one of uncontrolled free access to a resource whose collective ownership had broken down. Likewise, ocean fisheries have generally been uncontrolled, unowned resources with no collectively enforced rules of restraint. American public grazing lands have rules of restraint, but they fail because of poor enforcement and inadequate development.

Many people also object to the implication of commons logic that a system of private property is superior to one of collectively held property. In criticizing village commons, Lloyd defended the actions of powerful landholders who could, on a whim, evict a community of tenant farmers. Commons logic holds that private landowners make better guardians of resources because they can see that their personal interest is directly served by careful management. However, evidence abounds that private owners frequently exploit and destroy resources much faster than groups do. Nineteenth century landowners, after removing farmers who had husbanded their land for generations, overstocked the country with sheep and clear-cut forests for lumber. Gullied pastures, lost wildlife habitat, and other environmental costs resulted. In the American timber industry, virtually all private old-growth forests have been cleared, their capital liquidated. Publicly held old growth, because it is expected to serve other needs beyond lumber production (wildlife habitat, recreation, watershed for communities, biological resources), has survived better than private forests. As a community, the people of the United States have imposed some rules and limitations on forest use that private landholders have not had. In a capitalist society, private landowners often benefit most by quickly liquidating natural resources, which allows them to move on to another area and another resource.

Where resources are precious or irreplaceable, collective guardianship may help ensure their maintenance. In villages from India to the Philippines, community councils monitor the use of forests, water supplies, and croplands. In developed countries, common and highly valued resources such as schools, public roadways, and parks have long been monitored and controlled by rules that restrain individual behavior and prevent destruction of those resources. Generally, everyone agrees not to block roads, burn schools, or vandalize parks because these resources benefit them all in some way. At the same time, no

private individual could adequately maintain such resources. Collective responsibility and respect are necessary.

Finally, some critics argue that it is simplistic to assume that depletion results from population size, rather than uneven distribution of resources. Garrett Hardin chooses to direct his commons logic at large numbers of people using limited amounts of resources without considering the amount of resources used per person. Child residents of the world's poorest regions use only 5 percent of the resources that a child in the United States uses. The world can afford a great number of these children, they argue, and it could afford even more of them if people in wealthy countries drove fewer cars, ate less beef, and polluted less of the world's air and water. The deduction that breeding causes shortages, not rates of consumption, is hotly contested by those whose survival depends on the labor of their children.

Defenders of poorer segments of the world's population point out that social stability, including a fair distribution of resources, is more likely than population control to deter a global tragedy of the commons. As long as war and famine exist, and as long as the rich continue to exploit the labor and resources of the poor (an example of which is American support of the Guatemalan military structure so that we might buy coffee for three dollars a pound and bananas for 50 cents), reliable social structures cannot be rebuilt and responsible guardianship of the world's resources cannot be reestablished.

In the end, arguments for and against commons logic are based upon examples and interpretation. Logical proof, which the scenario set out to establish, remains elusive because the real world is very complex and because one's acceptance of both premises and conclusions depends upon one's political, economic, and social outlook.

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Mary Ann Cunningham

## Trail Smelter arbitration

The Trail Smelter arbitration of 1938 and 1941 was a landmark decision about a decade-long dispute over environmental degradation between the United

States and Canada. This was the first decision to recognize international liability for damages caused to another nation, even when no existing treaty created an obligation to prevent such damage.

A tribunal was set up by Canada and the United States to resolve a dispute over timber and crop damages caused by a smelter on the Canadian side of the border. The tribunal decided that Canada had to pay the United States for damages, and further that it was obliged to abate the pollution. In delivering their decision, the tribunal made an historic and often-cited declaration: “Under the principles of international law, as well as of the law of the United States, no State has the right to use or permit the use of its territory in such a manner as to cause injury by fumes in or to the territory of another or the properties or persons therein, when the case is of serious consequence and the injury is established by clear and convincing evidence . . .” The case was landmark because it was the first to challenge historic principles of international law, which subordinated international environmental duty to nationalistic claims of sovereignty and free-market methods of unfettered industrial development. The Trail Smelter decision has since become the primary precedent for international environmental law, which protects the environment through a process known as the “web of treaty law.” International environmental law is based on individual governmental responses to discrete international problems, such as the Trail Smelter issue. Legal decisions over environmental disputes between nations are made in reference to a growing body of treaties, conventions, and other indications of “state practices.”

The Trail Smelter decision has shaped the core principle underlying international environmental law. According to this principle, a country which creates transboundary pollution or some other environmentally hazardous effect is liable for the harm this causes, either directly or indirectly, to another country. A much older precedent for this same principle is rooted both in Roman Law and Common Law: *sic utere ut alienum non laedas*—use your own property in such a manner as not to injure that of another. Prior to the twentieth century, this principle was not relevant to international law because actions within a nation’s borders rarely conflicted with the rights of another.

*See also* Acid rain; Environmental Law Institute; Environmental liability; Environmental policy; United Nations Earth Summit (1992).

Kevin Wolf

## Train, Russell E.

1920–

American environmentalist

Concern about environmental issues is a relatively recent phenomenon worldwide. Until the 1960s, citizens were not interested in air and water pollution, waste disposal, and wetlands destruction.

An important figure in bringing these issues to public attention was Russell Eroll Train. He was born in Jamestown, Rhode Island, on June 4, 1920, the son of a rear admiral in the United States Navy. He attended St. Alban’s School and Princeton University, from which he graduated in 1941. After serving in the United States Army during World War II, Train entered Columbia Law School, where he earned his law degree in 1948.

Train’s early career suggested that he would follow a somewhat traditional life of government service. He took a job as counsel to the Congressional Joint Committee on Revenue and Taxation in 1948 and five years later, became clerk of the House Ways and Means Committee. In 1957, Train was appointed a judge on the Tax Court of the United States.

This pattern was disrupted, however, because of Train’s interest in conservation programs. In 1961, he founded the African Wildlife Leadership Foundation and became its first head. He gradually began to spend more time on conservation activities and finally resigned his judgeship to become president of the Conservation Foundation.

Train’s first environmental-related government appointment came in 1968, when President Lyndon Johnson asked him to serve on the National Water Commission. The election of Republican Richard Nixon late that year did not end Train’s career of government service, but instead provided him with even more opportunities. One of Nixon’s first actions after the presidential election was his appointment of a twenty-member inter-governmental task force on natural resources and the environment. The task force’s report criticized the government’s failure to fund anti-pollution programs adequately, and it recommended the appointment of a special advisor to the President on environmental matters.

In January 1969, Nixon offered Train another assignment. The President had been sharply criticized by environmentalists for his appointment of Alaska Governor Walter J. Hickel as Secretary of the Interior. To blunt that criticism, Nixon chose Train to serve as

Under Secretary of the Interior, an appointment widely praised by environmental groups. In his new position, Train was faced with a number of difficult and controversial environmental issues, the most important of which were the proposed Trans-Alaska pipeline project and the huge new airport planned for construction in Florida's Everglades National Park. When Congress created the Council on Environmental Quality in 1970 (largely as a result of Train's urging), he was appointed chairman by President Nixon. The Council's first report identified the most important critical environmental problems facing the nation and encouraged the development of a "strong and consistent federal policy" to deal with these problems.

Train reached the pinnacle of his career in September 1973, when he was appointed administrator of the federal government's primary environmental agency, the Environmental Protection Agency (EPA). During the three and a half years he served in this post, he frequently disagreed with the President who had appointed him. He often felt that Nixon's administration tried to prevent the enforcement of environmental laws passed by Congress. Some of his most difficult battles involved energy issues. While the administration preferred a *laissez-faire* approach in which the marketplace controlled energy use and prices, Train argued for more controls that would help conserve energy resources and reduce air and water pollution.

With the election of Jimmy Carter in 1976, Train's tenure in office was limited. He resigned his position at EPA in March 1977 and returned to the Conservation Foundation.

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David E. Newton

## Trans-Alaska pipeline

The discovery in March 1968 of oil on the Arctic slope of Alaska's Prudhoe Bay ignited an ongoing controversy over the handling of the Arctic slope's abundant energy resources. Of all the options considered for transporting the huge quantities found in North America's largest field, the least hazardous and most suitable was deemed a pipeline to the ice-free southern port of Valdez.

Plans for the pipeline began immediately. Labeled the Trans-Alaskan Pipeline System (TAPS) its cost was estimated at \$1.5 billion, a pittance compared to the final cost of \$7.7 billion. The total development cost for Prudhoe Bay oil was likely over \$15 billion, the most expensive project ever undertaken by private industry. Antagonists, aided by the nascent environmental movement, succeeded in temporarily halting the project. Legislation that created the Environmental Protection Agency (EPA) and required environmental impact statements for all federally-related projects added new, critically important requirements to TAPS. Approval came with the 1973 Trans-Alaskan Authorization Act, spurred on by the 1973 OPEC oil embargo, the world's first severe energy crisis. Construction quickly resumed.

The pipeline is an engineering marvel, having broken new ground in dealing with permafrost and mountainous Arctic conditions. The northern half of the pipeline is elevated to protect the permafrost, but river crossings and portions threatened by avalanches are buried for protection. A system was developed to keep the oil warm for twenty-one days in the event of a shutdown, to prevent TAPS from becoming the "world's largest tube of chapstick." Especially notable is Thompson Pass near the southern end, where descent angles up to 45 degrees severely taxed construction workers, especially welders.

Everything about TAPS is colossal: 799 miles (1,286 km) of 48-inch (122-cm) diameter vanadium alloy pipe; 78,000 support columns; 65,000 welds; 15,000 trucks; and peak employment of more than 20,000 workers. TAPS has an operations control center linked to each of the twelve pump stations, with computer controlled flow rates and status checks every ten seconds.

The severe restrictions that the enabling Act imposed have paid off in an enviable safety record and few problems. The worst problem thus far was caused by local sabotage of an above-ground segment. In spite of its good record, however, TAPS remains controversial. As predicted, it delivers more oil than West Coast refineries can handle, and efforts continue to allow exports to Japan.



**The Trans-Alaska Oil Pipeline running on refrigerated support to stop oil heat melting the permafrost, Alaska.** (© Robert Harding Picture Library Ltd / Alamy)

From 1977 through the end of 2010, TAPS had delivered approximately 16 billion barrels of crude oil from the North Slope oil fields to the (mostly) ice-free seaport in Valdez, Alaska. Both utilitarian conservationists and altruistic preservationists are at loggerheads over new energy development in Alaska, especially in regards to new oil field drilling. Meanwhile many native Inuits consider this an opportunity to solidify their growing involvement in the American economy.

*See also* Oil drilling; Oil embargo.

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Nathan H. Meleen

## Trans-Amazonian highway

The Trans-Amazonian highway begins in north-east Brazil and crosses the states of Para and Amazonia. The earth road, known as BR-230 on travel maps, was completed in the 1970s during the military regime that ruled Brazil from 1964 until 1985. The highway was intended to further land reform by drawing landless peasants to the area, especially from the poorest

regions of northern Brazil. More than 500,000 people have migrated to Transamazonia since the early 1970s. Many of the colonists feel that the government enticed them there with false promises.

Most of the road has never been paved, and unpaved portions have problems with dust in the dry season and become an impassable swamp during the wet season. Farmers struggle to make a living, with the highway as their only means of transporting produce to market. When the rains come, large segments of the highway wash away entirely, leaving the farmers with no way to transport their crops. Small farmers live on the brink of survival. Farmer Jose;aa Ribamar Ripardo says, “People grow crops only to see them rot for lack of transport. It’s really an animal’s life.” To survive in Amazonia, the colonists say, they require a paved road to transport their produce.

The goal of repairing and paving the highway is viewed with disfavor by many environmentalists. Roads through Amazonia are perceived as being synonymous with destruction of the rain forests. Many environmental groups fear that improved roads will bring more people into the area and lead to increased devastation.

The Movement For Survival, spearheaded by José Geraldo Torres da Silva, claims that “If farmers could have technical help to invest in nature, they will be able to support their families with just one third of their land lots, avoiding deforestation, the indiscriminate killing of numerous animal and plant species.” Farmers in the region say that they can survive on the land base that they have already acquired. They have proposed preserving some natural vegetation by growing a mixture of rubber and cocoa trees, which grow best in shaded areas, so they will not have to devastate the forest. Efforts are currently underway to establish extractivist preserves to harvest rubber, brazil nuts, and other native products. Such proposals for the use of the forest are a viable option, but they are not enough. The environmentally friendly plans must also take into account fluctuations in the market place. Brazil nuts, for instance, are harvested in the unspoiled forest. When nut prices fall on the international market, the gatherers must have other means of income to fall back on, without having to relocate to a different part of the country.

Many residents of the area fear that if small farmers do not have a reliable road to get produce to market, they will have to leave the region. There is a real danger that their lots will be sold to cattle ranchers, loggers, and investors. Small farmers have a track record of utilizing the land in ways that are more

environmentally sound than those who follow in their wake.

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Debra Glidden

## Transboundary pollution

The most common interpretation of transboundary pollution is that it is pollution not contained by a single nation-state, but rather travels across national borders at varying rates. The concept of the global commons is important to an understanding of transboundary pollution. As both population and production has increased around the globe, the potential for pollution to spill from one country to another has increased as well. Transboundary pollution can take the form of contaminated water or the deposition of airborne pollutants across national borders. Transboundary pollution can be caused by catastrophic events such as the 1986 Chernobyl nuclear power plant explosion. It can also be caused by the creeping of industrial discharge that eventually has a measurable impact on adjacent countries. It is possible that pollution can cross state lines within a country and would indeed be referred to as transboundary pollution. This type of case is seldom held up as a serious policy problem since national controls can be brought to bear on the responsible parties and problems can be solved within national borders. It is good to understand how interstate environmental problems might develop and to have knowledge as to those regulatory units of national government that have jurisdiction.

Federalism is important in issues of national environmental pollution largely because pollution can

spread across several states before it is contained. Within the United States, it is the Environmental Protection Agency (EPA) that writes the regulations that are enforced by the states. The general rule is that states may enact environmental regulations that are more strict than what the EPA has enacted, but not less strict. In some cases interstate compacts are designated by the EPA to deal with issues of pollution control. An example of this is the regional compacts for the management of low level radioactive waste materials. These interstate compacts meet and make policy for the site planning and management of facilities, the transport of materials and the long term planning for adequate and safe storage of low-level radioactive waste until its radioactivity has been exhausted. Other jurisdictions such as this in the U.S. deal with transboundary issues of air quality, wildlife management, fisheries, endangered species, water quality, and solid waste management. Federalism has been manifested in specific legislation. The National Environmental Policy Act (NEPA) is an attempt to clarify and monitor environmental quality regardless of state boundaries. NEPA provides for a council to monitor trends. The Council on Environmental Quality is a three-member council appointed by the President of the United States to collect data at the national level on environmental quality and management, regardless of jurisdiction. This picture at a national level is vastly complicated when moved to a global level.

There is increasing demand for dialogue and support for institutions that can address impacts on the global commons. The issue of “remedies” for transboundary pollution is usually near the top of the agenda. Remedies frequently take the form of payments to rectify a wrong, but more often take the form of fines or other measures to assure compliance with best practices. The problem with this approach is that charges have to be high enough to offset the cost of controlling “creeping” pollution that might cross national borders or the cost of monitoring production processes to prevent predictable disasters. Marine pollution is an excellent example of a transboundary pollution problem that involves many nation-states and unlimited point sources of pollution. Marine pollution can be the result of on-shore industrial processes that use the ocean as a waste disposal site. Ships at sea find the ocean just too convenient as a sewer system, and surface ocean activities such as oil drilling are a constant source of pollution due to unintentional discharges. Accidents at sea are well-documented, the most famous in U.S. territorial waters being the *Exxon Valdez* oil spill off of Alaska in Prince William Sound

in 1989, and the Gulf of Mexico oil spill (otherwise known as the Deepwater Horizon oil spill). The Gulf of Mexico spill occurred in the form of oil gushing from the sea-floor due to the destruction of the Deepwater Horizon drilling rig in April 2010. The Deepwater Horizon was destroyed by an explosion that killed eleven rig workers. By the time the gushing oil was capped some three months later in mid-July, nearly 5 million barrels of crude oil had escaped into the Gulf, resulting in widespread environmental and economic damage.

Before the Valdez and Gulf of Mexico oil spills, an international conference on marine pollution had been held in 1969. As a result of this conference two treaties were developed and signed that would respond to oil pollution on the high seas. These treaties were not fully ratified until 1975. The Convention on the Prevention of Marine Pollution by Dumping of Wastes and Other Matter and The Convention for the Prevention of Marine Pollution by Dumping from Ships and Aircraft cite specific pollutants that are considered extremely detrimental to marine environments. These pollutants are organohalogenic compounds and mercury, as well as slightly less harmful compounds such as lead, arsenic, copper, and pesticides. The specificity of those treaties that deal with ocean environments indicate a rising commitment to the control of transboundary pollution carried by the ocean’s currents, and helped to resolve the question of remedies in catastrophes such as the *Valdez*.

Acid rain is a clear example of how air currents can carry destructive pollution from one nation-state to another, and indeed, around the globe. Air as a vector of pollution is particularly insidious because air patterns, although known, can change abruptly, confounding a country’s attempt to monitor where pollutants come from. Acid deposition has been an international issue for many years. The residuals from the burning of fossil fuels and the byproducts from radiation combine in the upper atmosphere with water vapor and precipitate down as acid rain. This precipitation is damaging to lakes and streams as well as to forests and buildings in countries that may have little sulfur and nitrogen generation of their own. The negative justice of this issue is that it is the most industrialized nations that are producing these pollutants due to increased production and too often the pollution rains down on developing countries that have neither the resources nor the technical expertise to clean up the mess. Only recently, due to international agreements between nation-states, has there been significant reduction in the deposition of acid rain. The Clean Air Act Amendments of 1990 in the

United States helped to reduce emissions from coal-burning plants. In Europe, sulfur emissions are being reduced due to an agreement among eight countries. The European Community in 1992 agreed to implement automobile emission standards similar to what the United States agreed to in the early 1980s. These measures are all aimed at reducing transboundary pollution due to air emissions.

Probably the most famous case of transboundary river pollution is that of the Rhine River with its point of incidence in Basel, Switzerland. This was a catastrophe of great proportions. The source of pollution was an explosion at a chemical plant. In the process of putting out the fire, great volumes of water were used. The water mixed with the chemicals at the plant (mercury, insecticides, fungicides, herbicides and other agricultural chemicals), creating a highly toxic discharge. This lethal mixture washed into the Rhine River and coursed its way to the Baltic Sea, affecting every country along the way. Citizens of six sovereign nations were affected and damage was extensive. There was further consternation when compensation for damage was found to be difficult to obtain. Although the chemical company volunteered to pay some compensation it was not enough. It was possible that each affected nation-state could try the case in their own national court system, but how would collection for damages take place? “In transboundary cases, if there is no treaty or convention in force, by what combination of other international law principles can the rules of liability and remedy be determined?” It becomes problematic to institute legal action against another country if there is no precedent. Since there is no history of litigation between nation-states in cases of environmental damage there is no precedent to determine outcome. Historically, legal disputes have been resolved (albeit, extremely slowly) through negotiations and treaties. There is no “polluter pays” statute in international law doctrine.

The authority that can be brought to bear on issues of transboundary pollution is weak and confusing. The only mechanism that is currently available to compensate injury is private litigation between parties and that is difficult due to a lack of agreements to enforce civil judgments. If, in fact, the polluter is the national government, as in the case of the Chernobyl nuclear disaster, it may be nearly impossible to gain compensation for damages.

Transboundary pollution takes many forms and can be perpetrated by both private industry and government activities. It is difficult if not impossible to litigate on an international scale. It is in fact sometimes difficult to determine who the polluter is when the

pollution is “creeping” and not a catastrophic incidence. Airborne transboundary pollution is extremely difficult to track due to the pervasive nature of the practices that produce it. Global solutions to transboundary pollution can only be successful if all nations agree to implement controls to reduce known pollutants and to take responsibility for accidents that damage the environmental quality of other nations.

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Cynthia Fridgen

## Transfer station

The regional disposal of solid waste requires a multi-stage system of collection, transport, consolidation, delivery, and ultimate disposal. Many states and counties have established transfer stations, where solid waste collected from curbsides and other local sources by small municipal garbage trucks is consolidated and transferred to a larger capacity vehicle, such as a refuse transfer truck, for transportation to a disposal facility. Typically, municipal garbage trucks have a capacity of 20 cubic yards (15.3 cubic m) and refuse transfer trucks may have a capacity of 80–100 cubic yards (61–76.4 cubic m). The use of transfer stations reduces hauling costs and promotes a regional approach to waste management. Transfer stations can also be more vulnerable to the long-term accumulation of pollutants in soils and surrounding water resources. Small spillage of traces of pollutants during transfer can accumulate over time to create heavily contaminated sites or become significant sources of pollution.

*See also* Garbage; Landfill; Municipal solid waste; Tipping fee; Waste stream.

## Transmission lines

Transmission lines are used to transport electricity from places where it is generated to places where it is used. Almost all electricity in North America is generated in fossil-fueled, nuclear-fueled, or hydroelectric generating stations. These are located some distance away from the factories, businesses, institutions, and homes where the electricity is actually used, in some cases hundreds of miles away, so that the electricity must be transmitted from the generating stations to these diverse locations.

Transmission lines are strung between tall, well-spaced towers and are linear features that appropriate long, narrow areas of land. Most transmission lines carry a high voltage of alternating current, typically ranging from about forty-four kilovolts (kV) to as high as 750 or more kV (some transmission lines carry a direct current, but this is uncommon). Transmission lines typically feed into lower-voltage distribution lines, which typically have voltage levels less than about thirty-five kV and an alternating current of sixty Hertz (Hz; equivalent to sixty cycles of positive to negative per second) in North America, and is usually fifty Hz in Europe.

Electrical fields are generated by transmission lines with the strength of the field being a function of the voltage level of the current being carried by the power line. The flow of electricity in transmission lines also generates a magnetic field. Electrical fields are strongly distorted by conducting objects (including the human body), but magnetic fields are little affected and freely pass through biomass and most structures. Electric and magnetic fields both induce extremely weak electrical currents in the bodies of humans and other animals. These electrical currents are, however, several million times weaker than those induced by the normal functions of certain cells in the human body.

Transmission lines are controversial for various reasons. These include their poor aesthetics, the fact that they can destroy and fragment large areas of natural lands or take large areas out of other economically productive land-uses, and the belief of many people that low-level health risks are associated with living in the vicinity of these structures.

### Aesthetics of transmission lines

Transmission lines are very long, tall, extremely prominent linear features. Transmission lines have an unnatural appearance that disrupts the visual aesthetics of natural landscapes, as viewed from the ground or the air. As such, transmission lines represent a type of visual

pollution that detracts from otherwise pleasing natural or pastoral landscapes. These aesthetic damages are an environmental impact of almost all rural transmission lines. Similarly, above-ground transmission lines in urban and suburban areas are not regarded as having good aesthetics.

### Damages to natural values

Apart from difficult crossings of major rivers and mountainous areas, transmission lines tend to follow the shortest routes between their origin and destination. Often, this means that intervening natural areas must be partially cleared to develop the right-of-way for the transmission line. This clearing can result in permanent losses of natural habitat, which typically happens when forests are cleared to develop a power line right-of-way. Moreover, it is not feasible to allow trees to regenerate beneath a transmission line because they can interfere with the operation and servicing of the powerline.

For these reasons, vegetation is cleared beneath and to the sides of transmission lines (for a width of about one tree-height). This clearing can be done by periodically cutting shrubby vegetation and young trees or by the careful use of herbicides, which can kill shrubs and trees while allowing the growth of grasses and other herbaceous plants.

These sorts of management practices result in the conversion of any original, natural habitats along a transmission right-of-way into artificial habitats. The ecological effects include a net loss of natural habitats and fragmentation of the remainder into smaller blocks. In addition, roads associated with the construction and maintenance of transmission lines may provide relatively easy access for hunters, anglers, and other outdoor recreationalists to previously remote and isolated natural areas. This can result in increased stress for certain wild species, especially hunted ones, as well as other ecological damages.

Some studies of transmission lines have found that hypothesized ecological damages did not occur or were unimportant. For example, naturalists suggested that the construction of extensive hydroelectric transmission lines in the boreal forest of northern Quebec would impede the movements of woodland caribou. In fact, this did not occur, and caribou were sometimes observed to use the relatively open transmission corridors during their migrations and as resting places. Similar observations have been made elsewhere for moose, white-tailed deer, and other ungulates. Predators of these animals, such as wolves and coyotes, will also freely move along transmission

corridors, unless they are frequently disturbed by hunters, recreationalists, or maintenance crews.

Certain birds of prey, particularly osprey, may use transmission poles or pylons as a platform upon which to build their bulky nests, which may be used for many years. In some cases the birds are considered a management problem, especially if their nests get large enough to potentially short out the power lines or if the parent birds aggressively defend their nests against linesmen attempting to repair or maintain the transmission line. Fortunately, it is relatively easy to move the nests during the non-breeding season and to place them on a pole located beside the transmission line for that specific purpose. In most cases, the ospreys will readily use the relocated nest in subsequent years.

Transmission lines also pose lethal risks for certain kinds of birds, especially larger species that may inadvertently collide with wires, severely injuring themselves. There have also been cases of raptors and other large birds being electrocuted by settling on transmission lines, particularly if they somehow span adjacent wires with their wings.

### Disruption of land-use

Some economically important land-uses can occur beneath transmission lines, for example, forestry and some types of agriculture. Other land-uses, however, are not compatible with the immediate proximity of high-voltage transmission lines, particularly residential land-uses. In cases of land-use conflicts, opportunities are lost to engage in certain economically productive uses of the land, a context that detracts from benefits that are associated with the construction and operation of the transmission line.

Construction of new transmission lines can have a negative impact on the environment by disturbing natural habitats, degrading land, or affecting organisms in the area. The appropriate measures must be taken in order to minimize or mitigate these effects. The degree of environmental impact can be lessened if sensitive areas such as wetlands and waterways can be avoided in erecting new transmission lines. If these areas cannot be bypassed, construction during the winter when the vegetation is dormant and water and soil may be frozen can help minimize the effects of construction. Employing erosion control methods can also decrease the impact on the ecosystems. In order to minimize the area affected by transmission line installation, it is less intrusive to the environment and residential areas to employ corridor sharing, which means constructing lines along railways, roads and highways, and natural gas pipelines.

### Health effects

Many people, including some highly qualified scientists, believe that low-level health risks may be associated with longer-term exposures to the electric and magnetic fields that are generated by transmission lines. These increased risks mean that people living or working in the vicinity of these industrial structures may have an increased risk of developing certain diseases or of suffering other damages to their health.

Although people are routinely exposed to electromagnetic fields (EMFs) through the operation of electrical appliances in the home or at work, those exposures are typically intermittent. In contrast, continuous EMFs are generated by transmission lines, meaning that longer-term exposures can be relatively high. It must be understood, however, that the scientific knowledge in support of the low-level health risks associated with transmission lines is incomplete and equivocal and therefore highly controversial.

In particular, some studies have suggested that long-term exposure to EMFs generated by high-voltage transmission lines may be associated with an elevated incidence of certain types of cancers. The strongest arguments have been for increased risks of childhood leukemia. The few studies that have been conducted on adult exposures show no evidence of a link between residential EMF exposure and adult cancers, including leukemia, brain cancer, and breast cancer. Studies have also explored possible increases in the incidences of migraine headaches, mental depression, and reproductive problems associated with longer-term exposures to EMFs near transmission lines. The results of these studies are inconsistent and equivocal. No cause and effect relationship between exposure to magnetic fields and human illnesses has been established from research studies.

Some researchers who have assessed the medical problems potentially associated with high-voltage transmission lines have concluded that it would be prudent to not have people living in close proximity to these industrial structures. Even though there is no strong and compelling evidence that medical problems are actually occurring as a result of exposure to EMFs of transmission lines, the precautionary approach to environmental management dictates that the potential risks should be avoided to the degree possible. It would therefore be sensible for people to avoid living within about 54.5 yards (50 m) of a high-voltage transmission line, and they should avoid frequently using the right-of-way (ROW) as travel corridors.

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Bill Freedman

## Transpiration

Transpiration is the process by which plants give off water vapor from their leaves to the atmosphere. The process is an important stage in the water cycle, often more important in returning water to the atmosphere than is evaporation from rivers and lakes. A single acre of growing corn, for example, transpires an average of 3,500 gallons (13,248 l) of water per acre (0.4 ha) of land per day. Transpiration is, therefore, an important mechanism for moving water through the soil, into plants, and back into the atmosphere. When plants are removed from an area, soil retains more moisture and is unable to absorb rain water. As a consequence, runoff and loss of nutrients from the soil is likely to increase.

## Transportation

For several million years, humans got to where they wanted to go by one means: walking. This, of course, greatly limited the distance of travel and the amount of items that could be transported. Today, transportation is accomplished in the air, on land, in water, and in space. It ranges from carts pulled by horses or oxen and dirt roads in Africa to spacecraft, such as the Russian Soyuz spacecraft, that can travel around the world in approximately ninety minutes.

The first changes in transportation came about five to six thousand years ago in three areas: the

introduction of boats, the domestication of wild horses, and the invention of the wheel. Horse domestication started about 6,000 years ago, probably occurring in several different parts of the world at about the same time. Riding astride the horse may have begun in Turkestan before 3,000 BC. At about the same time, many historians believe the wheel was invented in Mesopotamia, a development that drastically changed the way people moved about. It was not long before the wheel found its way onto platforms, forming carts that could be drawn by horses or oxen. Covered wagons and carriages soon followed. It is difficult to say when boats became a means of transportation but ships can be traced back at least to the ancient Mesopotamian, Greek, Roman, and Egyptian empires

In ancient Egypt, the main transportation corridor was the Nile River, where ships carried people and trading goods through the empire. On land, transportation was more difficult. Ordinary people traveled by foot, while high nobles were carried in chairs or covered litters. Over time, these became more elaborate, requiring as many as twenty-eight people to carry them. Lower ranking nobles often rode on a chair fastened on the backs of donkeys. Horse-drawn chariots first appeared in Egypt about 3,500 years ago.

Wheeled vehicles were first used primarily as hearses for the great and as military adjuncts until they gradually came to be used more for carriers of goods. Even during the Classical Age in Greece, chariots were declining in importance for warfare, and they were finally used only for sport. In early times carts with shafts for single animals were preferred in outlying countries where roads were poor, but with improvement in roads came heavy wagons and the use of several animals for power.

Ships took on an ever increasingly important role in transportation starting about AD 1400, when ships of exploration sailed from Europe to Asia and the New World. By the seventeenth century, ships regularly carried European settlers to North America. These settlers soon began to build their own transportation system, using boats on rivers and coastal waterways and horse and cart by land.

From 1600 to 1754 travel between the American colonies was accomplished most quickly and easily by boat. Everywhere in colonial America, settlements sprang up first near navigable rivers. Two types of river vessel were common along colonial rivers. Dugout canoes carried small cargoes, while long (up to 40 feet [12 m]) flat-bottomed boats handled larger loads. River travel everywhere in America was slow and dangerous.

Nevertheless, rivers served colonists everywhere as routes for their goods, travel, and communication.

Land travel between seventeenth-century colonies could be difficult as well. The number and condition of roads varied widely from colony to colony, depending heavily on the density of settlement and the support provided by the various colonial legislatures. In many places roadbeds were poor and bridges few. By the 1760s, one of the longest roads, the Great Wagon Road, stretched nearly 800 miles (1,287 km) along old Indian trails through western Pennsylvania and Virginia's Shenandoah Valley to Georgia. The longest road in North America was the Camino Real, which connected Mexico City to Santa Fe (New Mexico), an 1,800-mile (2,897-km) trip that took wagon trains six months to complete.

Aside from walking and riding a horse, a person in eighteenth-century America had other means of traveling. Farmers used two-wheeled carts while Indian traders frequently had packhorses. During this era, the Conestoga wagon came to the forefront. A high-wheeled vehicle with a canvas cover, it had a curved bottom in order to keep its load from shifting. As may be expected, the Conestoga quickly became popular. By the 1770s more than 10,000 were in use in Pennsylvania while in the South Carolina backcountry there were an estimated 3,000. Several stagecoach lines operated between all the major cities in the north. The most heavily traveled route, between New York City and Philadelphia (Pennsylvania), was served twice weekly by a stagecoach.

The 1800s saw the advent of two more means of transportation: the steamboat and the railway. Hundreds of steamboats once navigated rivers in the eastern half of the United States railways caught on throughout the world and are the primary form of inner-city mass transit in many countries, such as India. In the twentieth century, two more forms of transportation came into existence: the automobile and airplane. The electric streetcar also gained in popularity, finding itself in many major American cities, including San Francisco and Los Angeles (both in California), and New Orleans (Louisiana).

In many parts of the world today, mass transit systems are an important component of a nation's transportation system. Where people can not afford to buy automobiles, they depend on bicycles, animals, or mass transit systems such as bus lines to travel within a city and from city to city.

In the United States the automobile is the primary form of transportation. It is less important in most other parts of the world. Mass transportation, or mass

transit, does play a role in the United States. One could argue that stagecoaches were the first mass transit vehicles in the country, since they could hold eight to ten passengers, a big improvement over one person on a horse or several people in a small horse-drawn buggy. In the twentieth and twenty-first centuries, the horse has been replaced with the automobile. Today, mass transit options include airplanes, buses, trolleys, rail and light rail, and subways. The world's first subway opened in London in the late nineteenth century, soon followed by America's first subway in New York City.

Most of these forms of transportation brought with them problems, including harming the environment. Electric trains, trolleys, and streetcars are essentially pollution-free but it takes additional power plants to run them, plants that often use highly polluting fuels such as coal and oil. Autos, buses, and airplanes are mostly oil-dependent. It was not until the 1970s that the public began to be concerned with the toxic effects of emissions from these transportation conveyances. Since then, airplanes, buses, and autos have increasingly become less polluting. This, however, has been offset by an increase in their numbers.

Mass transit usage reached its peak in the United States in the 1940s and 1950s. During the 1960s mass transit systems and their ridership declined drastically even as urban populations grew. There was a brief resurgence in the 1970s as the price of gasoline skyrocketed. In 1972 the country embarked on a round of new mass transit systems when the Bay Area Rapid Transit (BART), opened in the San Francisco Bay Area. BART was followed in the next two decades by new subway, bus, light rail, and trolley systems in Washington, D.C., San Diego (California), Atlanta (Georgia), Baltimore (Maryland), Los Angeles, San Jose (California), and other urban areas. At about the same time, the U.S. Congress gave the nation's inner-city passenger rail system, Amtrak, a new lease on life. Amtrak proved to be a huge success among inner-city passengers, but the federal government has never maintained the consistent support of the system it showed during the aftermath of the petroleum price hikes of the 1970s.

Over the next several decades, urban planners see little likelihood of entirely new types of mass transportation. Rather, they expect improvements over existing bus, trolley, and light rail systems. At least one idea that has been around for fifty years is getting a new look. When the monorail was introduced at Disneyland in California in 1955, it was hailed as the clean, fast, and efficient transit system of the future. Up until now, it never caught on, other than a very short single-line monorail that opened in Seattle

(Washington) in 1962, and the Walt Disney World Monorail System (Orlando, Florida) that opened in 1971. However, urban planners are taking a fresh look at monorails, which are attractive because they could be built above existing highways. Las Vegas (Nevada) built a \$650 million-plus monorail. The two-track monorail mass transit system parallels the heavily congested main thoroughfare, the Strip, going from the MGM Grand Hotel at the southern end of the Strip and ending at the Sahara Hotel at the north end. The entire trip takes about fifteen minutes. On December 19, 2009, the Las Vegas Monorail announced it had carried its 40 millionth rider on its 3.9 miles (6.3 km) of track.

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- U.S. Department of Transportation, 1200 New Jersey Avenue SE, Washington, DC, USA, 20590, 202-366-4000, <http://www.dot.gov>

Ken R. Wells

Trash see **Solid waste**.

Treespiking see **Monkey-wrenching**.

## Tributyl tin

Tributyl tin (TBT) is a synthetic organic tin compound that is primarily used as an additive to paints. In this application, TBT acts as a biocide or compound that kills plants such as algae, fungus, mildew, and mold that grow in or on the surface of the coating. These

antifouling paints, as they are called, are applied to the hulls of ships to prevent sea life (e.g., barnacles and algae) from attaching to the hull. Growth of organisms on the hulls of ships causes ships to slow down and increases fuel consumption due to increased drag. Ships that are covered with these organisms can also transport non-native, or invasive, species around the world, which can disrupt an ecosystem and cause reductions in biological diversity. TBT is also used in lumber preservatives as an industrial biocide.

TBT-based paints were introduced more than 40 years ago. The first TBT anti-fouling paints, known as Free Association Paints (FAPs), where the TBT is mixed into the paint, prevented fouling by uncontrolled leaching of TBT into the environment. Starting twenty years ago, FAPS were gradually phased out and replaced with TBT Self Polishing Copolymer Paints, in which the TBT is bound in the paint matrix. This type of formulation allows for TBT to be released slowly and uniformly.

TBT, also known as organotin, is a widespread contaminant of water and sediments in harbors, shipyards, and waterways. TBT is persistent in marinas, estuaries, and other waters where circulation is poor and flushing irregular. In the natural aquatic environment, degradation of TBT through biological processes is the most important pathway for the removal of this toxic compound from the water column. At levels of TBT contamination typical of contaminated surface waters, the half-life is six days to four months. Half-life refers to the amount of time it takes for one-half of the TBT to be eliminated from the environment through natural means.

TBT has been shown to have significant environmental impact and is considered to be an endocrine disruptor that affects the immune and reproductive systems. In molluscs, TBT can be found in concentrations that are up to 250,000 times higher than in the surrounding sediment or seawater. Contaminated molluscs had deformed shells, slow growth rates, and poor reproduction, with eggs and larvae often dying. Exposure to TBT has resulted in sex change and infertility in at least fifty species of snails. In the dogwhelk, which is a small marine snail, TBT causes the female snail to grow a penis that blocks the oviduct and thus prevents reproduction. This abnormality is referred to as "imposex," and its development has been linked to the concentrations of TBT in tissue. Because of this association, imposex in the dogwhelk has been used as an indicator of TBT pollution. TBT has also been detected in large predators such as sharks, seals, and dolphins, indicating that TBT can accumulate in the food chain, as larger animals eat smaller animals contaminated with TBT. Currently, not enough data are

available to help researchers determine whether TBT is a human carcinogen, so the U.S. Environmental Protection Agency (EPA) has assigned it to the “cannot be determined” category.

Environmental concerns over the effects of TBT-based paints has led to the regulatory control of TBT throughout the world. In 1988, the United States enacted the Organotin Antifouling Paint Control Act that restricts the use of TBT-based antifoulants to ships larger than 25 meters in length or those with aluminum hulls. The Act also restricted the release rate of TBT from the antifouling paints. In 1990, the International Maritime Organization (IMO) of the United Nations’ Marine Environment Protection Committee adopted a resolution that recommended that countries adopt measures to restrict the use and release rate of TBT-based antifouling paints. Since that recommendation, many countries have prohibited the use of TBT antifouling paints on small craft. New Zealand and Japan banned the use of TBT anti-fouling paints on all ships. In 1998, the IMO agreed to ban the application of TBT-based paints by 2003 and their presence on ships by 2008. Due to the ensuing reductions in the use of TBT-based paints, both TBT contamination and its effects on organisms have been decreasing worldwide.

Less toxic alternatives to TBT are being developed quickly. In the animal and plant worlds, researchers have found over fifty naturally-occurring substances that have the same effects as TBT and other antifouling paints, keeping organisms such as algae and some invertebrates from becoming laden with other forms of algae and sea life. In addition, other alternatives to TBT have been developed, and include epoxy-copper flake paints, which may protect boats for up to ten years, but cannot be used on ships with aluminum hulls. Ceramic-epoxy coats are also available, and can be used on fiberglass and metal hulls. Other alternatives to TBT are foulant-release coatings that contain silicone elastomers that last as long as the copolymers in TBT. Finally, researchers are studying electrical current systems that can sterilize the hulls of ships for up to four years as another alternative.

Judith L. Sims

## Trihalomethanes

Trihalomethanes (THMs) are organic chemicals composed of three halogen atoms (primarily chlorine and bromine) and one hydrogen atom bound to a single carbon atom. They are commonly used as solvents in a

variety of applications, and trichloromethane (or chloroform) was once used by medical doctors as an anesthetic. In 1974, Johannes Rook of the Netherlands discovered the presence of THMs in chlorinated drinking water. He and other researchers subsequently demonstrated that minute quantities of THMs are formed during water treatment. Chlorine, when added to disinfect the water, reacts with naturally occurring organic matter present in the water. Groundwater can also be contaminated with THMs if people use them improperly, release them accidentally, or dispose of them unsuitably. Because high dosages of chloroform had been found to produce cancer in mice and rats, the United States Environmental Protection Agency (EPA) amended the drinking water standards in 1979 to limit the concentration of THMs to a sum total of 100 parts per billion, or 0.1 mg/l. In 1998, the EPA set a more stringent limit of 0.08 mg/l.

*See also* Carcinogen; Drinking-water supply; Water quality standards.

## Trophic level

One way of analyzing the biological relationships within an ecosystem is to describe who eats whom within the system, also called a functional analysis. Each feeding level in an ecosystem is called a trophic level. In the grasslands, for example, plants are considered primary producers, forming the first trophic level. The second trophic level consists of primary consumers, such as deer, mice, seed and fruit-eating birds, and other animals, depending completely on the primary producers for their food. Carnivores and predators, such as hawks, are the secondary consumers. Often, the same species may fit into several categories. Bears, for example, are considered both primary and secondary consumers because they feed on plant matter as well as meat. Bacteria and fungi that decompose dead organic matter are called the decomposers. Thus, on the basis of food source and feeding behavior, a complex food chain/web exists within any ecosystem and every species belongs to one or more of several trophic levels.

In environmental science, the concept of trophic levels is often used to assess the potential for transfer of pollutants through an ecosystem. Since each trophic level is dependent on all the other levels, positive or negative changes in the composition or abundance of any one trophic level will ultimately affect all other levels. In ecosystems that normally have stable,

complex trophic levels within the food web, pollution can lead to fluctuations and simplification of the trophic levels. Contaminants that are taken up by plants from the soil may be transferred to primary and secondary consumers through their feeding patterns. This is known as trophic level transfer.

A classic example of trophic level transfer was the release of DDT in the environment. DDT is an insecticide commonly used in the United States during the 1950s and early 1960s. DDT ran off treated fields into lakes and rivers, where it accumulated in the fatty tissues of primary consumers such as fish and shellfish. The chemicals were then transferred into secondary consumers, such as eagles, which fed on the primary consumers. While the concentrations of DDT were rarely high enough to kill the birds, it did cause them to lay eggs with thin shells. The thin eggshells led to decreased hatching success and thus caused a decline in the eagle population.

Trophic level analysis is a commonly used method of environmental assessment. A pollutant or disturbance is assessed in terms of its effects on each trophic level. If significant amounts of nutrients are brought into a lake receiving fertilizer runoff from fields, a spurt in the growth of algae (primary producers) in the lake may be triggered. However the increased growth might actually be dominated by certain algal groups, such as blue-green algae, which may not constitute desirable food sources for the zooplankton and fish (primary consumers) which normally depend on algae for food. In this case, even though the environmental conditions might appear to stimulate increased growth in one trophic level, the nature of the change does not necessarily prove advantageous to other trophic levels within the same system.

*See also* Agricultural pollution; Algal bloom; Aquatic weed control; Balance of nature; Bald eagle; Decomposition; Environmental stress; Predator-prey interactions; Predator control.

Usha Vedagiri

## Tropical rain forest

The richest and most productive biological communities in the world are in the tropical forests. These forests have been reduced to less than half of their former extent by human activities and now cover only about 7 percent of Earth's land area. In this



**Tropical rain forest.** (© Arco Images GmbH / Alamy)

limited area, however, is about two-thirds of the vegetation mass and about half of all living species in the world.

The largest, lushest, and most biologically diverse of the remaining tropical moist forests are in the Amazon Basin of South America, the Congo River basin of central Africa, and the large islands of southeast Asia (Sumatra, Borneo, and Papua, New Guinea). Whereas the forests of mainland southeast Asia, western Africa, and Central America are strongly seasonal, with wet and dry seasons, the South American and central African forests are true rain forests. Rainfall is generally over 160 inches (406 cm) per year and falls at a relatively even rate throughout the year. It is said that such rainforests “make their own rain,” because about half the rain that falls in the forests comes from condensation of water vapor released by transpiration from the trees themselves. Rain forests at lower elevations are hot and humid year-round. At higher elevations, tropical mountains intercept moisture-laden clouds, so the

forests that blanket their slopes are cool, wet, and fog-shrouded. They are aptly and poetically called “cloud forests.” Tropical forests are generally very old. Unlike temperate rain forests, they have not been disturbed by glaciation or mountain-building for hundreds of millions of years. This long period of evolution under conditions of ample moisture and stable temperatures has created an incredible diversity of organisms of amazing shapes, colors, sizes, habits, and specialized adaptations.

Habitats in a tropical rain forest are stratified into three to five distinct layers from ground level to the tops of the tallest trees. Hundreds of tree species grow together in lush profusion, their crowns interlocking to form a dense, dappled canopy about 120 feet (37 m) above the forest floor. These unusually tall trees are supported by relatively thin trunks reinforced by wedge-shaped buttresses that attach to a thick mat of roots just under the soil surface. A few emergent trees rise above the seemingly solid canopy into a world of sunlight, wind, and open space. Numerous species of birds, insects, reptiles, and small mammals live exclusively in the forest canopy, never descending below the crowns of the trees.

The forest understory is composed of small trees and shrubs growing between the trunks of the major trees, as well as climbing woody vines (lianas) and many epiphytes—mainly orchids, bromeliads, and arboreal ferns—that attach themselves to the trees. Some of the larger trees may support 50–100 different species of epiphytes and an even larger population of animals that are specialized to live in the many habitats they create. These understory layers are a world of bright but filtered light abuzz with animal activity.

By contrast, the forest floor is generally dark, humid, quiet, and rather open. Few herbaceous plants can survive in the deep shade created by the layered canopy of the forest trees and their epiphytes. The most numerous animals are ants and termites that scavenge on the detritus raining down from above. A few rodent species gather fallen fruits and nuts. Rare predators such as leopards, jaguars, smaller cats, and large snakes hunt both on the ground and in the understory.

A tropical rain forest may produce as much as 90 tons (81.6 metric tons) of biomass per acre (0.4 ha) per year, and one might think that the soil that supports this incredible growth is rich and fertile. However, the soil is old, acidic, and nutrient-poor. Ages of incessant tropical rains and high temperatures have depleted minerals, leaving an iron- and aluminum-rich podzol.

Tropical forests have only about 10 percent of their organic material and nutrients in the soil, compared to boreal forests, which may have 90 percent of their organic material in litter and sediments.

The interactions of decomposers and living plant roots in the soil are, literally, the critical base that maintains the rain forest ecosystem. Tropical rain forests are able to maintain high productivity only through rapid recycling of nutrients. The constant rain of detritus and litter that falls to the ground is quickly decomposed by populations of fungi and bacteria that flourish in the warm, moist environment. Some of these decomposers have symbiotic relationships with the roots of specific trees. Trees have broad, shallow root systems to capitalize on this surface nutrient source; an individual tree might create a dense mat of superficial roots 328 feet (100 m) in diameter and 3 feet (0.9 m) thick. In this way, nutrients are absorbed quickly and almost entirely and are reused almost immediately to build fresh plant growth, the necessary base to the trophic pyramid of this incredible ecosystem.

*See also* Biodiversity.

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William P. Cunningham

## Tropopause

The tropopause is the upper boundary of the troposphere, a layer of the earth's atmosphere near the ground. In the troposphere, the temperature generally decreases with increasing altitude, with restricted exceptions called inversions. However, at a height of about 6 miles (10 kilometers) at the poles 9 miles (15 kilometers) at the equator, the temperature abruptly becomes constant with increasing altitude. This isothermal region is called the stratosphere, and the interface between it and the troposphere is called the tropopause. Mixing of air across the tropopause is slow, occurring on a time scale of weeks on the average, while tropospheric mixing is more rapid.

The existence of the stratosphere is largely caused by the absorption of solar energy, mostly ultraviolet light, by oxygen to form ozone. The balance of the heating is caused by absorption of other parts of the solar electromagnetic spectrum by other trace gases.

*See also* ultraviolet radiation.

## Troposphere

From the Greek word *tropos*, meaning turning, troposphere is the zone of Earth's atmosphere between the surface and the stratosphere above. Because ice crystals must form before precipitation can begin, the troposphere rises to ten to twelve miles (16–19 km) over the equator but grades downward to five to six miles (8–9 km) over the poles. It is marked by a sharp drop in temperature vertically, averaging 3.5 degrees per 1,000 feet (305 m) because of exponentially decreasing density of air molecules. Actual "lapse" rates vary enormously, ranging from inversions, where temperatures rise and trap pollutants, to steep lapse rates with warm surface air topped by very cold polar air; the latter produces dangerous storms.

## Tsunamis

Tsunamis are displacements of water that increase sea height and typically result in both a sea surge and large waves as the displaced water moves into the shore. Even without large waves, flooding from a tsunami can drive water inland, often causing major destruction in coastal regions. A tsunami can be

caused by any event that rapidly displaces a large amount of water. Most commonly, tsunamis occur as a result of underwater seismic activity, such as an earthquake.

### Causes and development of tsunamis

Though tsunamis are commonly termed tidal waves, this is an erroneous term; these potentially catastrophic waves have nothing to do with the tides. Tides are the up and down movements of the sea surface at the shore, and they are caused by the gravitational attraction of the moon and sun on our marine waters. Tides rarely cause major damage unless they are associated with a storm. Tsunamis, on the other hand, are caused by the movements of Earth's crustal plates, and they can cause major loss of life and property.

It has been known for several hundred years that tsunamis are caused by seismic movements of the ocean floor. Tsunamis occur most commonly during submarine earthquakes, underwater landslides, meteorite impacts, and volcanic eruptions. The sudden movement of Earth's crust caused by an underwater earthquake, for example, displaces or moves the water above it. This movement causes a high-energy wave to form, which then passes rapidly through the water.

Tsunamis are more common in the Pacific Ocean than in the other oceans of the world primarily because there is so much seismic activity at the perimeter of the Pacific Ocean, where crustal plates meet. Thus, this is the region where some of the world's most damaging tsunamis have occurred. Tsunamis also occur along the chain of Caribbean islands and in the Mediterranean. Both of these places, like the Pacific, are at the edges of Earth's crustal plates where earthquakes and other seismic activity are common. Areas that are 25 feet (7.6 m) or less above sea level and are within one mile of the coast are vulnerable to tsunamis.

A tsunami is comprised of a very long series of waves (commonly referred to as a tsunami wave train), with a period (the time for one complete wave to pass a fixed point) ranging from ten minutes to two hours. These waves typically travel 500 to 600 miles per hour (800 to 1,000 km per hour). The speed of a tsunami is affected by water depth. In places where the ocean is 3.7 miles (6,000 m) deep, tsunamis can travel over 500 miles per hour (800 km per hour), but in shallow, coastal waters they slow down and their wave heights increase significantly.

It is almost impossible to feel a tsunami out at sea in deep water, but the form of the wave changes when it



**This aerial view taken December 27, 2004, shows that the tsunami-stricken areas near the coastal outskirts of Banda Aceh, capital city of Indonesia's Aceh province, are still waterlogged. (AP Photo/Xinhua, Enwaer)**

reaches shallow water. Because the water is shallower, the bottom of the wave begins to collide with the ocean bottom. The friction that results causes the wave to slow down from about 450 miles per hour (200 meters per second) in very deep ocean water to 49 miles per hour (22 meters per second) in water 164 feet (50 m) deep. While the front part of the wave has been reduced in speed, the part at sea is still moving in quickly. As a result, the energy of the wave is compressed. As the wave enters shallow water, like that in a bay, the crest rises. It quickly builds up vertically as the wave moves onto the shore. This wall of water can be more than 100 feet (30.5 m) high in extreme cases. Because gravity is acting on this huge wall of water, it cannot support itself and crashes or breaks onto the land, similar to a normal breaker in the surf zone of a beach. However, the huge amounts of energy released by a breaking tsunami are many times greater and more destructive than an ordinary breaker, and the tsunami can destroy anything in its path. Hazards resulting from tsunamis include damage to buildings and structures, flooding, drinking water contamination, and gas-line or tank fires. The majority of deaths caused by tsunamis are a result of drowning.

In Japan, where some of the most destructive tsunamis have occurred, there have been cases in which whole fishing villages were devastated by tsunamis. Oddly, when these tsunamis occurred, fishermen at sea did not feel the wave as it passed right under them. They did not discover the disaster until they returned home and found their homes and villages destroyed. Because these villages were often located within shallow bays, and the fishermen, being at sea, did not experience the wave, they assumed that the tsunami arose within the bay or harbor. Therefore, these waves were referred to as tsunamis, which means “harbor wave” in Japanese.

### Early warning systems

Tsunamis are capable of traveling hundreds of miles per hour in the ocean and rising over 100 ft. (30.5 m) or more upon reaching the coast. About once in a decade, a major tsunami occurs. Of the tsunami events on record, 59 percent have occurred in the Pacific Ocean, 25 percent in the Mediterranean Sea, 12 percent in the Atlantic Ocean, and 4 percent in the Indian Ocean. Tsunamis can occur at any location

along most of the U.S. coastline, but the most damaging tsunamis in the United States have affected the coasts of California, Oregon, Washington, Alaska, and Hawaii.

While scientists are not yet able to predict submarine seismic activity with much accuracy, they can easily measure such events when they occur, and they use this information to predict when destructive sea waves will occur. This early detection is extremely important in reducing loss of life and property. It became clear that a warning system was needed to monitor seismic activity throughout the Pacific Ocean after a very destructive tsunami hit Hawaii in 1946. As a result of the 1946 tsunami, the Pacific Tsunami Warning Center (PTWC) was established in 1948 which is the headquarters for the International Tsunami Warning System. The geographical and administrative center of this monitoring system is in Honolulu, Hawaii.

Under the early warning system, when an earthquake, underwater volcano, or landslide is sensed, scientists quickly pinpoint its location. If the seismic activity generates a wave, the change in the water height is measured at a nearby tide-measuring station, and the scientists can then accurately calculate the speed of the wave to determine when it will make landfall. The appropriate agencies can be alerted, and, if necessary, evacuations and other preparations can be made. This early warning system has been very successful in reducing the death toll associated with tsunamis. For example, when a large tsunami struck Hawaii in 1957, the early warning system prevented any tsunami-related deaths, despite the fact that the tsunami was over 26 feet (8 m) tall.

Before the warning systems existed, the first indication of an approaching tsunami was the rapid movement of water in a bay out to sea. This exposed areas of the bay bottom that were rarely or never exposed. The water that rushed offshore rose to build the huge crest of the wave that would crash down a few minutes later.

Despite the success of warning systems, early detection information sometimes results in warnings when no tsunamis occur. For example, not all seismic activity generates tsunamis. Many result from shallow focus earthquakes, where the actual point of crustal movement is close to the surface and major crustal movement is likely. Deep focus earthquakes, which can be very strong but often result in less crustal movement, are less likely to trigger tsunamis. It has been estimated that only one out of ten large underwater earthquakes causes damage. In addition, the chances of a tsunami hitting any one spot directly and causing major damage are relatively small because the energy

in the form of the tsunami is not passed along equally in all directions. Finally, other factors may reduce or enhance the chances of a tsunami striking. For example, major tsunamis are rare in regions with wide continental shelves, such as the Atlantic Coast of the United States. A wide continental shelf is thought to both reflect the wave (with the energy being sent back out to sea) and absorb some of the energy of the wave through friction as it drags along the bottom. Thus, the early warning system, while essential, often gives false alarms.

### Impacts of tsunamis

One of the most dramatic and destructive tsunamis occurred on August 27, 1883, when the volcanic island of Krakatoa, located in the Pacific Ocean between Sumatra and Java, Indonesia, exploded and disappeared in a massive volcanic eruption. The eruption was so immense that the sound of the volcanic explosion was heard 3,000 miles (4,827 km) away, and the dust that entered the atmosphere caused climate change and unusual sunsets for almost a year. The volcanic eruption generated a wall of water that reached over 98 feet (30 m) in height and caused catastrophic damage in coastal areas in the Sunda Strait. Of the more than 36,000 people who lost their lives due to the volcano, an estimated 90 percent were killed by the huge tsunami. The energy from the tsunami was still measurable after it had crossed the Indian Ocean, moved around the southern part of Africa, and headed north through the Atlantic Ocean into the English Channel.

In 1896, a major tsunami in Japan killed 27,000 people along the coast. In 1964, an earthquake in Alaska, and the resulting tsunami, caused major damage in some ports such as Kodiak and Seward. In addition, the tsunami traveled to the south, where four and a half hours later, despite warnings, it killed additional people and did major damage in Crescent City, California. A total of 119 people died, and damage to property amounted to over \$100 million.

The tsunami of December 26, 2004 (known as the Indian Ocean Tsunami or Boxing Day Tsunami), which was generated by a very powerful earthquake off the west coast of Sumatra, is the worst tsunami in modern records, killing an estimated 230,000 people in 11 countries—with 170,000 deaths in Indonesia alone. There was no warning system in place for tsunamis in the Indian Ocean in 2004. Having an early warning system in place may have saved many lives. In 2006, the UN/International Strategy for Disaster Reduction (ISDR) project, Building Resilience to Tsunamis in the Indian Ocean, was established to strengthen methods of disaster risk reduction.

Since the Indian Ocean Tsunami in 2004, many smaller tsunamis have occurred. In March 2005, a tsunami resulting from an 8.7-magnitude earthquake struck the coast of Sumatra, killing 1,300 people. In 2006, a 3.3-yards (3-m) high tsunami generated by a 7.7-magnitude earthquake hit the West Java province of Indonesia, resulting in the deaths of an estimated 550 people. This tsunami caused damage in a 110-mile (177-km) coastal strip that had not been affected by the Indian Ocean Tsunami. Three minor tsunamis were reported in 2008, two in Indonesia and one in Japan.

On September 29, 2009, a tsunami raced ashore in the Samoan islands (comprised of the Independent State of Samoa and American Samoa, a U.S. territory). That tsunami wiped out entire coastal villages and killed at least 150 people. The Pacific Tsunami Warning Center said a 8.3-magnitude earthquake, occurring at a depth of 20 miles (33 km) about 120 miles (190 km) from Apia in the Independent State of Samoa also sent small but measurable waves ashore as far away as New Zealand, Hawaii, and Japan.

Following the January 2010 earthquake in Haiti, the Pacific Tsunami Warning Center, which issues warnings worldwide, initially issued a tsunami warning for the area surrounding Haiti, but cancelled it shortly afterwards when no displacement of sea level was observed from the inland-centered earthquake.

In February 2010, a powerful 8.8-magnitude earthquake struck offshore Chile. Following the earthquake, alerts from the Pacific Tsunami Warning Center (PTWC) were quickly relayed to officials in Pacific rim nations. Close to the epicenter of the earthquake, on Chile's offshore islands and along the coastline, however, few tsunami warnings were issued. Minutes after the quake, tsunami waves swamped the island of Robinson Crusoe located off the Chilean coast. On the mainland, Chilean officials reported deadly tsunami waves swept into the port city of Talchahuano.

The surge of water generated by the earthquake off the Chilean coast ultimately resulted in much smaller tsunami waves than anticipated as they traveled across the Pacific Ocean. In Hawaii tsunami waves of less than 3 feet (1 meter) came ashore. Later the same day in Hokkaido, Japan, tsunami-generated waves of about 12 inches (30 centimeters) were observed.

The largest earthquake ever recorded, registering 9.5 on the Richter scale, occurred in 1960 in the same area as the 2010 Chilean quake and resulted in a Pacific-wide tsunami that arrived unannounced and generated waves up to 25 meters (82 feet) in height along the South American coast. Thousands of miles away from the

epicenter of the 1960 earthquake, the tsunami carried walls of water 35 feet (10.6 meters) high.

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Max Strieb

Tumors see **Cancer; Neoplasm.**

## Tundra

Tundra is a generic term for a type of ecosystem characterized by low temperature, areas of permafrost or near permafrost conditions, low-growth, and short growing seasons. Latitudinal tundra occurs in the Arctic and Antarctic, both featuring environments with cold temperatures and short growing seasons. Altitudinal tundra occurs at the top of mountains. A major environmental factor affecting tundra communities is the availability of moisture. Wet meadows are dominated by hydric sedges and grasses, while mesic sites are dominated by dwarf shrubs and herbaceous species and dry sites by cushion plants and lichens.

## Turbidity

Turbidity is a characteristic of water that describes the amount of suspended solids in the water. Suspended solids can be phytoplankton, sediment, or detritus.

Anthropogenic causes of turbidity include dredging activities, runoff from agricultural and urban areas, and shoreline erosion. Highly turbid water can prevent light from reaching plants on the bottom or phytoplankton in the water column, and can therefore reduce the amount of primary productivity in an aquatic system. High concentrations of suspended solids can settle onto submerged aquatic vegetation (SAV) and can smother shellfish beds and fish spawning grounds. Turbidity is measured as total suspended solids as milligrams of solids per liter, or with an instrument called a nephelometer, a device that measures the intensity and angle of light scattering caused by particles suspended in the water.

Marie H. Bundy

## Turnover time

Turnover time (also referred to as residence time) refers to the period of time during which certain materials remain within a particular system in the environment. The substance can be located within an organism or in an area in the environment such as the atmosphere or in a body of water. Turnover time is generally used to describe enzymatic activity within an organism. For example, the protein that we get from food is broken down by enzymes in our bodies and then resynthesized in a different form. The time during which any one protein molecule survives unchanged in the body is called the turnover time for that molecule. Each component of an environmental system also has a turnover time. On an average, for example, a molecule of water remains in the atmosphere for a period of 11.4 days before it falls to the earth as precipitation. Similarly, turnover time can be used to describe the period of time a molecule of a greenhouse gas exists in the atmosphere. Such factors are used in models estimating the amount of a given greenhouse gas in the atmosphere that are, in turn, essential to accurate estimates of enhanced greenhouse warming, global warming, and climate change.

*See also* Chemicals.

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## Turtle excluder device

Sea turtles of several species are often accidentally caught in a variety of fishing gear in many areas of the world, including the southwest Atlantic and the shallow waters of the Gulf of Mexico. Up to 12,500 turtles were dying annually as a result of entanglement in shrimp trawl fishery alone. It became a concern for the commercial fishing industry and environmentalists alike since almost all sea turtles are endangered, and the turtle excluder device (TED) was developed in the mid-1980s in an effort to prevent turtles from entering nets designed to catch other marine animals.

Turtle excluder device (TED) designs began as barrier nets preventing turtles from being caught in the main net, then modified to gate-like attachments. Three main within-net designs are currently used. The model designed by the National Marine Fisheries Service (NMFS) consists of an addition in the throat of the trawl net, where the diameter narrows toward the end where the catch is held. The addition includes



**Turtle excluder device (TED) on crab trap. This rectangular TED prevents Diamondback Terrapins (*Malaclemys terrapin*) from entering commercial crab traps in brackish waters along the Atlantic Coast of North America. (Martin Shields/Photo Researchers, Inc.)**

a diagonal deflator grid that, once encountered by a turtle, forces the animal upwards and out through a door in the top of the net. The trap door is called a by-catch reduction device or BRD. A second grid deflects other fish out another BRD in the side of the net. Several other TEDs are approved that are lighter and less expensive than the NMFS design, all designed to eject turtles and other by-catch through either the top or bottom of the net. Few shrimp are lost in this process, while the capture of turtles and other by-catch is reduced significantly.

Although TEDs are required by law on Mexican shrimp fleets in the Pacific and the Gulf of Mexico, convincing United States fishing boats to adopt their use has been a struggle. A law mandating the use of TEDs on shrimp trawlers operating between March 1 and November 30 was to have gone into effect in 1988, but the State of Louisiana obtained an injunction against the order. Continued challenges brought by Louisiana and Florida, as well as political lobbying and civil disobedience in the fishing community, delayed implementation even further. In March 1993 the final United States regulations were announced, making TEDs mandatory in inshore waters, and in all waters in December 1994. In October 2010, the U.S. National Marine Fisheries Service (NMFS) proposed a variety of new rules governing TED construction and size. The changes were proposed in order to allow additional flexibility for fishermen in choosing a TED that is best fits their needs, such as in regards to local fishing conditions.

TEDs became mandatory for Australian prawn trawlers in 2000, and tests conducted in 2001 demonstrated that bycatch was reduced by more than 90%. An unforeseen financial advantage was obtained by the use of TEDs in the Australian prawn industry because the devices are believed to reduce physical damage to the prawns from larger, heavier animals that crush the catch during capture and sorting. Even with the required TEDs, some 50,000 turtles are caught annually during shrimp trawling.

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David A Duffus  
Marie H. Bundy

## 2,4,5-T

2,4,5-trichlorophenoxyacetic acid (2,4,5,-T) is a broad-leaf herbicide, now banned for use in the United States. After postemergence treatment it is readily absorbed by foliage and roots and translocated throughout the plant. This herbicide gained much notoriety during and after the Vietnam War because it was a component in the defoliant Agent Orange, which has been implicated in cancer occurrence in some war veterans. The carcinogen 2,3,7,8-TCDD is formed as a byproduct in the manufacture of 2,4,5-T. The Environmental Protection Agency (EPA) restricted the use of 2,4,5-T in 1971, and suspended usage in 1979 following concerns that it caused miscarriages in women living in areas where application of 2,4,5-T had occurred.

For years environmentalists have urged that 2,4-D be banned or strictly controlled, but the European Union lists the substance as an approved herbicide while the U.S. Environmental Protection Agency (EPA) declined to ban the compound. Although health authorities in both the United States and Canada have ruled that proper 2,4-D applications are safe, in 2008 the Natural Resources Defense Council petitioned the EPA to ban 2,4-D, and, as of 2010, similar efforts to ban use continue in Canada.

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Toxic Chemicals Release Inventory (EPA) see **Toxics Release Inventory (EPA)**.



## Ultraviolet radiation

Radiation of the sun including ultraviolet A (UV-A, 320-400 nanometers wavelength) and ultraviolet B (UV-B, 280-320 nanometers wavelength). Exposure to UV-A radiation, which is utilized in tanning booths, damages dermal elastic tissue and the lens of the eye, and causes cancer in hairless mice. Exposure to UV-B induces breaks and other mutations in DNA and is associated with basal and squamous cell carcinoma as well as melanoma. UV radiation can be used to sterilize food, water, and in laboratories to kill or break down the DNA of undesirable microorganisms. The ozone layer of the earth's atmosphere provides protection from ultraviolet radiation, but this protective layer has been depleted largely due to the reactions of chlorofluorocarbons (CFCs) and other ozone depleting substances in the upper atmosphere. By 2010 there was encouraging evidence that adherence to the Montreal Protocol and other international agreements might allow substantial ozone layer recovery by 2060. However, although the use of ozone-depleting compounds has declined due to bans on these substances, as of 2008, measurements reported by the National Oceanic and Atmospheric Administration (NOAA) indicated that the Antarctic ozone hole hit its maximum in 2006. The situation remains under study because other factors also contribute to the size of the cyclic Antarctic ozone hole.

*See also* Ozone layer depletion; Radiation exposure.

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## Uncertainty in science, statistics

Uncertainty in statistics is measured by the amount of error in an estimate of the mean or average value of a population. The sample mean is the average of a group of measurements or parameters taken from the population, and the standard deviation of the mean provides an estimate of the uncertainty that any one measurement will represent the true mean of the population. In environmental science it is often difficult to isolate the variables of interacting processes to lower uncertainty in statistical analysis. Bayesian statistical analysis is one tool or statistical method available to researchers that estimates the probability that a hypothesis is true, then modifies and updates the probability as further data become available.

Marie H. Bundy

Underground storage tank see **Leaking underground storage tank**.

## Union of Concerned Scientists

Founded in 1969 by faculty and students at the Massachusetts Institute of Technology, the Union of Concerned Scientists (UCS) promotes four core objectives: nuclear arms reduction, a rational national security policy, safe nuclear power, and energy reform. UCS utilizes research, public education, lobbying, and litigation to achieve these goals. UCS also operates a speakers' bureau and publishes various informational materials, including a quarterly magazine addressing various energy and nuclear power issues.

UCS has engaged in a variety of programs targeting a wide range of people. One of the organization's first large-scale programs was the 1971 Atomic Energy Commission hearings, in which UCS revealed major weaknesses in nuclear plant system designs. Throughout the 1980s UCS sponsored an annual Week of Education focusing on the nuclear arms race. The program was targeted at college students throughout the United States. In 1984 the group conducted a study that revealed technical mistakes, high costs, and other flaws in the United States Strategic Defense Initiative (SDI). In 1989 the organization operated a Voter Education Project, which resulted in a television special focusing on what Americans expected from their next president. The show was broadcast in both the United States and the Soviet Union. That same year UCS participated in the International Scientific Symposium on a Nuclear Test Ban. The organization also conducts research for the U.S. Nuclear Regulatory Commission.

UCS has also been involved in projects of a more political nature. Most notable are its lobbying and litigation programs that lobby Congress on various issues. The organization also provides expert testimony to congressional committees and participates in legislative coalitions. In 1991 legal action brought by UCS resulted in the closing of the Yankee Rowe Nuclear Power Plant in western Massachusetts, the oldest commercial nuclear power plant in the United States. Although the plant closed voluntarily, UCS was instrumental in pressuring the plant to shut down. UCS litigators have also targeted the Kozloduy nuclear reactors in Bulgaria, citing safety concerns. Legislative programs have focused on the United States' B-2 Stealth bomber and SDI. The organization claimed a victory at the end of 1991 when the United States Congress voted to cut back on both the B-2 and SDI projects.

From 1990 through 2010 UCS engaged in campaigns to promote nuclear arms reduction, encourage

lawmakers to adopt regulatory policies that address climate change, and combat scientific inaccuracy and distortion in media reports. In 2006 the UCS released a statement and petition—signed by more than 10,600 leading scientists, including Nobel laureates—that called for greater scientific integrity to federal policy-making and media reporting. UCS's website features examples of media and policy distortions of science.

The core of UCS consists of field experts, but the group also maintains a strong volunteer base. UCS is made up of three core groups: the Scientists' Action Network, the Legislative Alert Network, and the Professionals' Coalition for Nuclear Arms Control. The Scientists' Network focuses on public education and lobbying, the Legislative Network informs UCS members of upcoming elections and laws, and the Professionals' Coalition promotes arms control education and legislation. The Scientists' Action Network relies on volunteers to carry out its programs on the local level. Likewise the Legislative Alert Network utilizes volunteer support in letter-writing and phone-call campaigns to members of Congress. The Professionals' Coalition for Nuclear Arms Control, too, works with physicians, lawyers, and design professionals throughout the United States to promote arms control education and legislation.

### Resources

#### ORGANIZATIONS

Union of Concerned Scientists, 2 Brattle Square, Cambridge, MA, USA, 02238-9105, (617) 547-5552, (617) 864-9405, [ucs@ucsusa.org](mailto:ucs@ucsusa.org), <http://www.ucsusa.org>

Kristin Palm

United Nations Conference on Environment and Development see **United Nations Earth Summit (1992)**.

## United Nations Division for Sustainable Development

This United Nations division serves as the secretariat, or the research staff, of the UN Commission on Sustainable Development, whose representatives meet periodically to develop policies for sustainable development in forty countries. The division comprises thirty program officers working in specific sectors: health,

human settlements, freshwater resources, toxic chemicals, hazardous wastes, solid wastes, and radioactive wastes. According to André Vasilyev, First Officer, it prepares studies and analytical reports, and conducts research to support the work of the commission. It also measures the progress of and makes recommendations for development programs. The division's stated mission is to contribute to sustainable development worldwide by working to implement Agenda 21, the Rio Declaration on Environment and Development, the Forest Principles (a United Nations statement of principles for a global consensus on the management, conservation, and sustainable development of all types of forests), and the Global Programme of Action for Sustainable Development of Small Island Developing States (SIDS). The division carries out multi-year work programs on sustainable development indicators, changing consumption and production patterns, and the transfer of environmentally sustainable technology.

The Commission was established in 1992 as an adjunct to Agenda 21, the plan of action for environmental sustainability adopted at the United Nations Conference on Environment and Development (UNCED) in Rio de Janeiro. Its charge is to ensure that decisions made at UNCED are carried out at national, regional, and international levels, and to further international cooperation and decision-making on these issues.

The full Commission meets once a year. Ad hoc working groups meet periodically to address specific issues, including trade and environmental development, consumption patterns, financial resources, and technology transfer. Open-ended working groups address such issues as integrated management of land resources, forests, combating desertification, sustainable mountain development, sustainable agriculture, rural development, and biological diversity.

In recent years the Commission has examined numerous strategies to promote sustainable development: trading debt for sustainable development, creating environmental-user charges for air transport, implementing the Convention on Biological Diversity and the Convention to Combat Desertification, and developing tools for integrated land management.

## Resources

### ORGANIZATIONS

Division for Sustainable Development/DESA, United Nations Plaza, Room DC2-2220, New York, NY, USA, 10017, (212) 963-3170, (212) 963-4260, [dsd@un.org](mailto:dsd@un.org), <http://www.un.org/esa/sustdev/dsdgen.htm>

Carol Steinfeld

## United Nations Conference on the Human Environment (1972)

Held in Stockholm in 1972, the United Nations Conference on the Human Environment was the first global environmental conference and the precursor to the 1992 United Nations Earth Summit in Rio de Janeiro, Brazil, and all subsequent international environmental and climate change policy summits for United Nations member states.

The purpose of the conference was not to discuss scientific or technological approaches to environmental problems but to coordinate international policy. A twenty-seven-nation committee held four meetings in the two years preceding the conference, and in the months leading up to it, they issued a report calling for “a major reorientation of man’s values and redeployment of his energies and resources.” In their preliminary report the committee emphasized their political priorities: “The very nature of environmental problems—that is to say, their intricate interdependence—is such as to require political choices.”

There was a remarkable lack of divisiveness, once the conference began, on most issues under consideration. The Soviet Union and the Eastern bloc did not attend because East Germany, which was not a member of the United Nations, had been denied full representation. But 114 of the 132 member countries of the United Nations were there, and the sessions were distinguished by what the *New York Times* called a “groundswell of unanimity.” A number of resolutions passed without a dissenting vote.

Many believe the most important result of the conference was the precedent it set for international cooperation in addressing environmental degradation. The nations attending agreed that they shared responsibility for the quality of the environment, particularly the oceans and the atmosphere, and they signed a declaration of principles, after extensive negotiations, concerning their obligations. The conference also approved an environmental fund and an action program, which involved 200 specific recommendations for addressing such problems as global climatic change, marine pollution, population growth, the dumping of toxic wastes, and the preservation of biodiversity. A permanent environmental unit was established for coordinating these and other international efforts on behalf of the environment; the organization that became the United Nations Environmental Programme was formally approved by the General Assembly later that same year and its base established in Nairobi, Kenya. This organization has not only

coordinated action but monitored research, collecting and disseminating information, and it has played an ongoing role in international negotiations about environmental issues.

The conference in Stockholm accomplished almost everything the preparatory committee had planned. It was widely considered successful, and many observers were almost euphoric about the extent of agreement. In a speech to the nations gathered in Stockholm, the anthropologist Margaret Mead called the event “a revolution in thought fully comparable to the Copernican revolution by which, four centuries ago, men and women were compelled to revise their whole sense of the earth’s place in the cosmos. Today we are challenged to recognize as great a change in our concept of man’s place in the biosphere.”

There were, however, some dissenting voices. Shirley Temple Black and others formally protested the fact that women were not fully represented at the gathering; only eleven delegations of the 114 nations represented included even one woman. A large counterconference was held in Stockholm, consisting of a number of scientific and political organizations, and environmentalists, such as Barry Commoner, argued that the official conference, though valuable, had failed to address the subjects that were most important to solving the current environmental crisis, particularly poverty and what he called “ecologically sound ways of producing goods.”

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Douglas Smith

## United Nations Earth Summit (1992)

For twelve days in June 1992, more than 35,000 environmental activists, politicians, and business representatives, along with 9,000 journalists, 25,000 troops, and uncounted vendors, taxi drivers, and assorted others converged on Rio de Janeiro, Brazil, for the United Nations Conference on Environment

and Development. Known as the Earth Summit, this was the largest environmental conference in history; in fact, it was probably the largest non-religious meeting ever held. Like a three-ring environmental circus, this conference brought together everyone from pin-striped diplomats to activists in bluejeans and indigenous Amazonian people in full ceremonial regalia.

The first United Nations Conference on the Human Environment met in Stockholm in 1972, exactly twenty years before the Rio de Janeiro meeting. Called by the industrialized nations of Western Europe primarily to discuss their worries about transboundary air pollution, the Stockholm conference had little input from less developed countries and almost no representation from non-governmental organizations (NGOs). Some major accomplishments came out of this conference, however, including the United Nations Environment Programme, the Global Environmental Monitoring System, the Convention on International Trade in Endangered Species (CITES), and the World Heritage Biosphere Reserve Program, which identifies particularly valuable areas of biological diversity. A companion book to the Stockholm Conference titled *Only One Earth* was written by René Dubos and Barbara Ward.

In 1983 the United Nations established an independent commission to address the issues raised at the Stockholm conference and to propose new strategies for global environmental protection. Chaired by Norwegian Prime Minister Gro Harlem Brundtland, the commission spent four years in hearings and deliberations. A significantly greater voice for the developing world was heard as it became apparent that environmental problems affected the poor more than the rich. The commission’s final report, published in 1987 as *Our Common Future*, is notable for coining the term “sustainable development” and for linking environmental problems to social and economic systems.

In 1990 preparations for the Earth Summit began. Maurice Strong, the Canadian environmentalist who had chaired the Stockholm conference, was chosen to lead once again. A series of four working meetings called PrepComs were scheduled to work out detailed agendas and agreements to be ratified in Rio de Janeiro. The first PrepCom met in Nairobi, Kenya, in August 1990. The second and third meetings were in Geneva, Switzerland, in March and August 1991. The fourth and final PrepCom convened in New York City, in March 1992. Twenty-one issues were negotiated at these conferences including biodiversity, climate change, deforestation, environmental health, marine resources, ozone, poverty, toxic wastes, and urban environments. Notably, the population crisis

was barely mentioned in the documents because of opposition by religious groups.

Intense lobbying and jockeying for power marked the two-year PrepCom process. As the date for the Rio de Janeiro conference neared, it appeared that several significant treaties would be ratified in time for presentation to the world community. Among these were treaties on climate change, biological diversity, forests, and a general Earth Charter, which would be an environmental bill of rights for all people. A comprehensive 400-page document called *Agenda 21* presented a practical action plan spelling out policies, laws, institutional arrangements, and financing to carry out the provisions of these and other treaties and conventions. Chairman Strong estimated that it would take \$125 billion per year in aid to help the poorer nations of the world protect their environment.

In the end, however, the United States refused to accept much of the PrepCom work. During PrepCom IV in New York City, for instance, 139 nations voted for mandatory stabilization of greenhouse gases at 1990 levels by the year 2000, laying the groundwork for what promised to be the showcase treaty of the Earth Summit. Only the United States delegation opposed it, but after behind-the-scenes arm-twisting and deal-making, the targets and compulsory aspects of the treaty were stripped away, leaving only a weak shell to take to Rio de Janeiro. Many environmentalists felt betrayed. Similarly, the United States, alone among the industrialized world, refused to sign the biodiversity treaty, the forest protection convention, or the promise to donate 0.7 percent of Gross Domestic Product to less developed countries for environmental protection. The nation's excuse was that these treaties were too restrictive for American businesses and might damage the American economy. The United States did, subsequently, sign the biodiversity treaty on June 4, 1993.

Many environmentalists went to Rio de Janeiro intending to denounce United States intransigence. Even Environmental Protection Agency chief William Reilly, head of the United States delegation, wrote a critical memo to his staff saying that the George H.W. Bush administration was slow to engage crucial issues, late in assembling a delegation, and unwilling to devote sufficient resources to the meeting. *Newsweek* magazine titled one article about the summit: "The Grinch of Rio," saying that to much of the world, the Bush administration represented the major obstacle to environmental protection.

Not all was lost at the Rio de Janeiro meeting, however. Important contacts were made and direct negotiations begun between delegates from many

countries. Great strides were made in connecting poverty to environmental destruction. Issues such as sustainable development and justice had a prominent place on the negotiating table for the first time. Furthermore, this meeting provided a unique forum for discussing the disparity between the rich industrialized northern nations and the poor, underdeveloped southern nations. Many bilateral—nation to nation—treaties and understandings were reached.

Perhaps more important than the official events at the remote and heavily guarded conference center was the "shadow assembly," or Global Forum of NGOs, held in Flamingo Park along the beach front of Guanabara Bay. Eighteen hours a day, the park pulsed and buzzed as thousands of activists debated, protested, traded information, and built informal networks. In one tent a large TV screen tracked nearly three dozen complex agreements being negotiated by official delegates at Rio Centrum. In other tents mini-summits discussed alternative issues such as the role of women, youth, indigenous peoples, workers, and the poor. Specialized meetings focused on topics ranging from sustainable energy to endangered species. In contrast to Stockholm, where only a handful of citizen groups attended the meetings and almost all were from the developed world, more than 9,000 NGOs sent delegates to Rio de Janeiro.

The United Nations Framework Convention on Climate Change (UNFCCC) is perhaps the most influential outcome of the Earth Summit. The UNFCCC is an international environmental treaty that seeks to stabilize greenhouse gas (GHG) emissions to a degree that would avoid extensive changes to the world's climate. In 2010, 194 nations were parties to the UNFCCC, which did not contain specific GHG goals or enforcement mechanisms. Parties to the UNFCCC meet regularly to discuss amendments to the UNFCCC. The most notable amendment was the Kyoto Protocol, which set legally binding GHG emissions reduction goals for industrialized nations. The United States rejected the Kyoto Protocol in early 2001, and as of late 2010, the United States had yet to be a party to the protocol.

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William P. Cunningham

## United Nations Environment Programme

Formed in 1972 after the United Nations Conference on the Human Environment, the UN Environment Programme (UNEP) coordinates environmental policies of various nations, nongovernmental organizations, and other UN agencies in an effort to protect the environment from further degradation. Sometimes dubbed the “environmental conscience of the UN,” UNEP describes its goal as follows: “to protect the environment by distributing education materials and by serving as a coordinator and catalyst of environmental initiatives.”

Some of UNEP’s major areas of concern are the ozone layer, waste disposal, toxic substances, water and air pollution, deforestation, desertification, energy resources, global climate change, and adaptation to climate change. The ways in which UNEP addresses these concerns can be best seen in the various programs it oversees or administers. The Earthwatch program—which includes Global Environmental Monitoring System (GEMS), INFOTERRA, and International Register of Potentially Toxic Chemicals (IRPTC)—monitors environmental problems worldwide and shares its information database with interested individuals and groups. UNEP’s Regional Seas Program seeks to protect the life and integrity of the Caribbean, Mediterranean, South Pacific, and the Persian Gulf. The International Geosphere-Biosphere Programme (IGBP) promotes awareness and preservation of biogeochemical cycles. The Industry and Environment Office (IEO) advises industries on environmental issues, such as pollution standards, industrial hazards, and clean technology.

In addition to administering these programs, UNEP has also sponsored several key environmental policies in the last few decades. For example they initiated the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES), Convention on the Conservation of Migratory Species of Wild Animals, and the Vienna Convention for the Protection of the Ozone Layer. UNEP was responsible for planning the 1992 United Nations Earth Summit in Rio de Janeiro, Brazil. UNEP also co-established the activities of the Intergovernmental Panel on Climate Change (IPCC), the international research clearinghouse that produces synthesis reports of worldwide research on climate change issues to inform global policymakers.

In 2000 UNEP convened the Global Ministerial Environment Forum in Malmo, Sweden, ahead of the

UN General Assembly’s Millennium Summit on sustainable development. UNEP issued the Malmo Declaration, which expressed “deep concern . . . [that] . . . the environment and the natural resource base that supports life on Earth continue to deteriorate at an alarming rate.” The Millennium Summit adopted UNEP’s dire view and issued the Millennium Declaration, a set of specific sustainable development and poverty-reduction goals known as the Millennium Development Goals (MDGs). UNEP is committed to achieving the MDGs by 2015.

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#### ORGANIZATIONS

United Nations Environment Programme, United Nations Avenue, Gigiri, PO Box 30552, Nairobi, Kenya, (254-2) 621234, (254-2) 624489/ 90, [eisinfo@unep.org](mailto:eisinfo@unep.org), <http://www.unep.org>

## Upwellings

Upwellings are highly productive areas along the edges of continents or continental shelves where waters are drawn up from the ocean depths to the surface. Rich in nutrients, these waters nourish algae, which in turn support an abundance of fish and other aquatic life. The most common locations for upwellings are the western edge of continents, such as Peru and southern California in the Pacific; northern and southwestern Africa in the Atlantic; and around Antarctica.

For upwellings to occur, there must be deep currents flowing close to the continental margin. Prevailing winds must also push the surface waters away from the coast. As the surface waters move offshore, the cold, nutrient-rich bottom waters move up to replace them. The Pacific upwelling near Peru is the result of the northward flow of the cold Humboldt current and the prevailing offshore wind pattern. Every seven to ten years, a shift occurs in the prevailing wind pattern off the shore of Peru, a condition

known as El Niño. The prevailing wind in the eastern Pacific shifts direction, causing the cold Humboldt current to be replaced by warm equatorial waters. This prevents upwelling, and the ecological productivity of the ocean in this area falls dramatically.

In oceans the majority of nutrients sink to the sea bed, leaving the surface waters poor in nutrients. In marine ecosystems the primary producers are microscopic planktonic algae known as phytoplankton, and these are only found in surface waters, where there is enough sunlight for photosynthesis. The lack of nutrients limits their growth and thus the productivity of the entire system; most open oceans have the same low levels of ecological productivity as tundra or desert ecosystems. In upwellings the water is cold and oxygen poor, but it carries a tremendous amount of nitrates and phosphates, which fertilize the phytoplankton in the surface waters. These producers increase dramatically, showing high levels of net primary productivity, and they become the foundation of a biologically rich and varied oceanic food web, teeming with fish and bird life.

The high productivity of the upwelling area off Peru supports one of the richest sardine and anchovy fisheries in the world. In addition, the large population of seabirds associated with the Peruvian upwelling deposit equally large quantities of phosphate and nitrogen rich droppings called guano on rocky islands and the mainland. Until oil-based fertilizers were developed, Peruvian guano was the principle source for agricultural fertilizers.

In the Antarctic upwelling zone, the nutrient-rich waters support food webs at the base of which are massive populations of large marine shrimp, called krill. These invertebrates support a wide array of squid, whales, seals, penguins, cormorants, boobies, and sea ducks. Commercial fisheries in the Antarctic upwelling zone are now exploiting the krill harvest, an act which endangers all of the members of this unique and complex cold-water food web.

*See also* Commercial fishing.

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Neil Cumberlidge

## Uranium

Uranium is a dense, silvery metallic element with the second highest atomic mass of any naturally occurring element (Plutonium-244 is heavier and recently found to occur in nature). It is the forty-seventh most abundant element in the earth's crust. All of the isotopes of uranium are radioactive, which accounts for a portion of the background radiation that is a natural part of the environment. In the 1930s, scientists discovered that the uranium-235 isotope of uranium could be fissioned (split) in a chain reaction that produces tremendous energy. This discovery made possible the development of nuclear weapons and the use of atomic energy for peaceful purposes.

*See also* High-level radioactive waste; Nuclear power; Nuclear weapons; Radioactive waste; Radioactivity.

## Urban contamination

Urban contamination refers to activities that take place in urban locales that result in the degradation of the air, water, or soil.

In urban areas where there has been extensive reshaping of the land surface, disturbance from building and road construction, land-filling, and additions of a variety of chemicals and minerals, there are many sources, causes, and types of urban contamination. Urban contamination is of concern when new land that was previously agricultural or rural is developed, and when the central core industrial areas are redeveloped. Sources of contamination can be summarized in three very broad categories: agricultural, urban, and industrial.

Agricultural-based contamination affects urban areas in several ways. Use of some pesticides has markedly increased the levels of copper (Cu), arsenic (As), and mercury (Hg) in soils. Long-term use of phosphorus (P) fertilizers can lead to a buildup of cadmium (Cd)—a contaminant in many fertilizers—in soils. Synthetic organic pesticides used in agriculture can also contribute to contamination. Organochlorine insecticides are persistent and accumulate in food chains. Examples of these types of compounds are dichlorodiphenyltrichloroethane (DDT), aldrin, and dieldrin. Use of these insecticides has been discontinued since their negative impacts on the environment have been well-documented. However, these compounds do not readily decompose and are very persistent in soils.

and sediment. Former agricultural areas that have become urbanized have residues of these chemicals and others that result in a risk for contamination of water supplies as well as soils. This is especially true for very localized areas where pesticides were stored, spilled, or regularly used to clean or load spray equipment.

The most common and largest volume of urban contamination comes from construction or demolition debris. Much of the debris is made up of nonhazardous materials; however, some of the debris may contain asbestos, high levels of trace metals, or other problem-causing substances. Asbestos is of particular concern, because it can cause cancer in humans when ingested through air or water.

Long-term use of lead-based paints and gasoline has led to considerable contamination. In 1976 the amount of lead (Pb) used in gasoline in the United States was approximately 210 million tons (190.5 million metric tons). This dropped to less than 50 million tons (45.4 metric tons) per year since unleaded gasoline replaced leaded fuel. Air emissions and deposition of lead from automobile exhaust near roads and highways have contaminated numerous urban areas.

Disposal of domestic wastes containing trace metals and other hazardous materials by land application or in landfills are also large sources of contamination. Smokestacks from incineration plants for wastes often contain high levels of trace metals. Polyaromatic hydrocarbons (PAHs) have been deposited in urban areas as the result of combustion of fossil fuels (coal, peat, etc.) for generating electrical power. Other urban activities that can lead to contamination include land application of soot, coal ash, sewage sludge, and corrosion from metals and buildings.

In addition to agricultural and general urban sources, industrial activities such as mining and smelting contribute significantly to localized soil metal contamination. Atmospheric transport of the emissions can contaminate surrounding areas. Many industries have contributed a number of different kinds of toxic materials to the urban environment and, as those industries close or move away, they leave the areas contaminated, making it difficult to use the sites for other purposes without expensive clean-up. Examples of toxic materials that are found in former industrial sites include asbestos, chromium (Cr), arsenic, and boron (B). Asbestos is found most often in areas that were formerly railroad lands, shipyards, or asbestos factories. Leather tanning industries or areas used for battery production leave residues of chromium and arsenic.

Locations near chemical manufacturing companies or storage and distribution centers are also places with considerable contamination. An example of a site that was redeveloped, but later caused major problems is Love Canal in the state of New York. Up to 248 different industrial chemicals were deposited and buried at the site before 1953. People living there following redevelopment were evacuated after many serious health problems were reported and confirmed.

Many toxic solvents are used in chemical, paint, and dye industries, and frequently these solvents can be found in soils of former industrial sites. In the Silicon Valley of California, solvent leakages into soils from computer-chip manufacturing have resulted in both soil and groundwater contamination. Trichloroethylene and chloroethylene are common solvents used in paper making, metal plating, electrical engineering, laundries, dry cleaners, and as degreasing agents. These solvents have caused not only soil contamination, but also groundwater contamination in numerous locations. These sites are very difficult and expensive to clean up after the industries leave.

Contamination by power stations arises from pulverized fuel or fly ash, which is alkaline (pH 10–12) and contains soluble salts, in particular boron, aluminum (Al), copper, and arsenic. The ash results from burning coal and is extracted from the chimneys to prevent air pollution. Its extremely fine particle size makes it difficult to handle and dispose. Demolition debris from these sites often contains asbestos residues, trace metals, combustible materials, oil spillages, and polychlorinated biphenyls (PCBs).

This urban contamination is a major problem worldwide in industrialized countries. In the Netherlands alone at least 10,000 contaminated sites have been confirmed, with 50 percent of them in residential areas and total clean-up costs estimated to be \$25 billion. Western Germany has identified more than 50,000 potentially contaminated sites. The Environmental Protection Agency (EPA) in the United States has identified 32,000 potentially hazardous waste sites, with an estimated clean-up cost of \$750 billion. There can be large impacts on the growth of plants and on the health of humans and animals that come in contact with the contamination at the site through the air, water, or soil. Three main pathways allow contaminants to enter the plants: direct uptake from soil, vapor uptake through the air, and sorption on plant surfaces. For organic types of contaminants, the predominant pathways are through the roots or air vapors. In general, organic contaminants (including pesticides) can affect plant growth, resulting in

everything from depressed growth and reproduction rates to death.

The ability of the plant to take up metals and transmit them to animals or humans that eat the plants varies by plant and by metal. Because there are likely to be high concentrations of lead either from petroleum products or paint in contaminated sites, concern exists for backyard vegetable gardens or urban gardens where redevelopment has taken place. There are similar concerns for other metals such as zinc (Zn) and cadmium. These metals are usually associated with electroplating industries or battery production, and where municipal waste sludges have been land applied. High concentrations of trace metals can cause visible injury to plants and inhibit their growth.

The high concentrations of trace metals in many urban soils in inner-city and highly industrialized areas give rise to concerns about potential human health effects. Lead is a trace metal of particular concern, and two predominant pathways for the uptake of lead have been identified in humans: soil and dust ingestion and consumption of home grown foods. Depending on the extent of exposure, individuals may develop symptoms of acute or chronic lead poisoning. Symptoms or results of exposure include severe anemia, acute nervousness, kidney damage, irreversible brain damage, or death. Preschool children and women of child-bearing age are especially at risk. It has also been reported that people exposed to lead and cadmium in drinking water suffered from increased chronic kidney disease, skin cancer, heart disease, and anemia.

A majority of human cancer (estimates range up to greater than 60 percent) is caused by contact with environmental chemicals containing PAHs. These compounds can be ingested through contaminated drinking water, but dietary intake of plant-based food is the largest single route of exposure.

Urban development and various industrial activities have resulted in extensive contamination in most industrial countries. When urban redevelopment or expansion is considered, the potential impacts of this contamination on plants, animals, and humans need to be evaluated. Before development occurs, contaminated sites must be cleaned up, which can be expensive. Urban populations that live in or near these sites already may be exposed to a wide range of contaminants that can have both acute and chronic health effects.

Although it is difficult to amend past practices that resulted in contamination, it is possible to take precautions to prevent future problems of urban contamination. As new sites are being developed, one way to prevent or reduce contamination is to plan these new

areas with sustainable development practices. Using sustainable methods in urban development would ideally be accomplished with concern for the environmental impact of development while still meeting human needs. These methods include utilizing fewer natural resources, more efficient and compact land use, and reducing pollution and greenhouse gas emissions.

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James L. Anderson

## Urban design and planning

Urban design can be expressed as the three-dimensional relationship between buildings, open spaces, and streets within a given area. This visual expression of urban zones (combined with a specific plan that details where and what kind of development should occur) can determine the character of the community.

Prevalent thinking on the subject of urban design is that new communities should be compact, mixed in both housing and commercial development, affordable, and pedestrian—a move back to living in compact, relatively self-sufficient communities and neighborhoods. It is a return to a traditional community design

in which neighborhoods have affordable housing, jobs, and retail markets within easy walking distance for its residents.

This concept from the past is being promoted as the urban design and planning wave of the future. It addresses some of the ills that were brought about by the rapid suburban expansion after World War II: segregation of housing from workplace and retail areas, high housing costs, traffic congestion, long commutes, decay of community life, and negative environmental consequences (including air pollution and water pollution, inefficient use of energy and materials, etc.).

Proponents suggest that the move back to traditional urban design is good not only from the standpoint of promoting lost community ties but is also positive for the environment. In fact, they submit that the environmental factors (such as the desire for clean air) will move the United States toward adopting this “new” approach. As the country addresses the issues of environmental quality, problems such as traffic congestion and affordable housing will become increasingly important to community design and planning, and will aid in the continuing evolution of urban design.

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## Urban ecology

Urban ecology is the study of the relationship between organisms and the surrounding environment in urban areas. In the late nineteenth century, biologists and zoologists created the field of ecology, seeking to understand the complex relationships between organisms and their environment. The term *ecosystem* was used to refer to a community of organisms and its associated environment when functioning as an ecological unit. Since then the study of ecosystems has largely been associated with scientific descriptions of

pristine locales, typically in remote regions where human presence was minimal to non-existent. In contrast urban ecology is the study of urban ecosystems. In general, urban biodiversity is heavily dominated by alien species. There are exceptions, for instance, suburban communities that retain parts of the pre-existing natural habitat, but overall, urban ecosystems are fundamentally anthropogenic, meaning that they are manmade.

Evidence of human action on the environment goes back thousands of years. Human hunters in North America played a role in the extinction of large mammals as far back as 12,000 to 5,000 years ago. The development of agricultural societies led to side effects including soil erosion, disease, and deforestation before modern times. In some ancient cities ecological degradation was severe, and ruined cities and cultures have left behind ample evidence for modern day researchers. Over thousands of years, humanity has played a major role in changing the ecosystems in which they have lived, and in modern times this process has become prolific and widespread.

In the new millennium, ecologists have little doubt that humanity’s collective will is the dominant force in the welfare and outcome of the global environment. With nearly half of the world’s population living in urban areas, and almost 80 percent of people in developed nations living in cities, humankind consumes a vast amount of resources, most of which comes from ecosystems outside the city. Large amounts of renewable and non-renewable resources are being transported from outlying ecosystems into urban ecosystems, while huge quantities of human produced pollutants are being created by urban ecosystems and spread across the spectrum of ecosystems. For this reason urban ecologists stress the importance of understanding, in ecological terms, how the activities of people affect the total ecological spectrum from pristine wilderness to urban areas.

Displacing vegetation and installing large buildings and paved surfaces reduces vegetative transpiration-induced cooling and restricts air flow in urban areas, resulting in an urban heat island (UHI) effect. An UHI refers to the temperature difference, a 5 to 10°F (-15 to -12°C) increase in many urban areas, between urban areas and the surrounding environment. Urban runoff, which is stormwater heated from contact with rooftops and pavement, can be washed into adjacent bodies of water and disrupt aquatic ecosystems as thermal pollution. The rise in temperature also increases energy demand, air pollution, air conditioning bills, and heat-related illness and mortality. Installing different types of roofs, including cool, reflective, or green roofs, can

reduce these effects. Green roof installation is the planting of vegetation on rooftops, which acts to insulate the building (reducing energy costs), mitigate air pollution, and cool the surrounding air. Other mitigation efforts include installation of cool pavements and planting additional vegetation.

Ecologists define an urban ecosystem as a dependent ecosystem, meaning that it depends on other ecosystems, outside energy, and resources to function. Conversely, a natural ecosystem generally has an even balance between its energetic inputs and outputs.

Another aspect of the urban process being studied is the urban fringe. The fringe is the expansion point where human activity, building, and structure meet and alter other existing ecological or natural settings. Also known as urban sprawl, this process, according to *Bioscience* magazine, chewed up an estimated 13 million acres (5.3 million hectares) of land in the United States between 1970 and 1980, and another 9 million acres (3.6 million hectares) in the 1980s. Additionally, development of farmland and forest during the economic boom of the 1990s reached a record pace in America, accelerating to a rate of 3.2 million acres (1.3 million hectares) per year by some estimates.

This rapid development profoundly changed the ecosystems involved, and a thriving branch of urban ecology studies how patterns of urban development alter the ecological composition and organization of species. For example, the change in predator and prey relationships caused by human activity has altered the wildlife population in several habitats. Some animals have become more familiar and comfortable with the urban environment, and human-to-animal encounters have increased. Deer have been seen walking casually through suburban neighborhoods across the United States, feeding on saplings and freshly planted gardens, and traffic incidents involving them have increased. In Washington, DC, deer have been seen a short distance from the White House. A coyote was found in an elevator in a Seattle federal building. Many coyote, whose numbers have doubled since 1850, have been frequently sighted looking for food in suburbs and urban areas. Another result of human interference with natural habitats is the changing predation habits among certain animals. For example, in California, foxes have begun killing rare bird species. Concern among environmentalists and ecologists continues to grow as the phenomenon of urban growth and development increases. Other fields of study include the mechanisms of carbon storage in the urban forest and cooling of the atmosphere by urban evapotranspiration.

Scientists understand that traditional ecological theory, developed without humans in mind, is not sufficient for properly understanding urban systems. Instead of considering humans as being outside or separate from the natural world, ecologists include humans as a factor affecting the natural world's evolution. Together with an existent natural landscape, the forces of human policies and economics have the greatest impact in how an urban ecosystem is created and maintained, as well as how plants and wildlife fare. When looking at cities, ecologists might include data such as species diversity, population sizes, and energy flow. Urban ecologists would further include qualities having to do with human perceptions and institutions, including cultural resources, educational opportunities, recreation, wealth, city design and aesthetics, and community health. Features of the urban ecosystem include, for instance, money (a property of energy in the urban ecosystem), downtown high-rises, social institutions, industrial developments, commercial shopping developments, residential developments of all cultural and economic types, open space and recreational parks, automobile traffic, pedestrian traffic, air pollution and toxic contaminants, existing natural landforms, and adaptive plants and wildlife. The large number of factors influencing the urban environment shows the need for the inclusion of other sciences to understand the urban area's complexity.

In the United States the National Science Foundation's (NSF) Long-Term Ecological Research (LTER) project has the goal of creating a full-scale, interdisciplinary, urban-ecology research program that addresses the field's complex needs. The project, begun in the 1990s, is using two sites for research: Phoenix, Arizona, and Baltimore, Maryland. Interdisciplinary teams of the project's scientists are working to better understand the urban environment. This study provides an example of how an urban ecology analysis is conducted. First, researchers focus on the urban topography, and more precisely analyze a watershed (defined as an area that is being drained, or feeding a body of water) as a centerpoint. Scientists then begin to link up the ecological relationships of vegetation and wildlife communities. After examining the ecological relationships, the next step is to understand the human socio-cultural characteristics of the watershed. Different areas along watersheds have different land values. Urban ecologists study the relationship between the natural features of the watershed and how humans interact, according to different sociological characteristics such as age, gender, ethnic identity, and social status. Significant patterns can often be seen between social factors and a particular urban area's

biophysical (natural) characteristics. For instance, studies have found that wealthy city dwellers tend to live in areas that are environmentally healthier and less polluted than poorer city dwellers. The LTER project is comprehensively addressing issues such as this, using a variety of scientific methods.

Studying the interactions of humans, organisms, air, land, waterways, and watersheds in an urban ecosystem provides an understanding of the complex network and how each component affects another. The science of urban ecology is also part of a growing awareness that the health of the overall environment depends upon the health of cities. In addition to the scientific study of ecology, this awareness is also associated with the more activist areas of ecological urbanism and new urbanism, as well as green living and sustainable development trends in urban planning. The aims of ecological urbanism and new urbanism are to create justice and equity in social and environmental policy. These movements also strive to reform urban landscapes and urban planning methods to create sustainable, healthy cities by reducing pollutants and waste, while increasing conservation and the accessibility of shared resources, to insure humanity's survival and quality of life. Urban ecology contributes the resources of knowledge and information to these social movements and to those who seek a deeper understanding of the relationship between humanity and the natural world, helping them see their city as part of a living ecosystem with valuable resources that promote better health and quality of life.

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1443, (202) 282-1031, <http://www.nps.gov/cue/cueintro.html>

Urban Ecology, 414 13th Street, Suite 500, Oakland, CA, 94612, (510) 251-6330, (510) 251-2117, [urbanecology@urbanecology.org](mailto:urbanecology@urbanecology.org), <http://www.urbanecology.org/>

Douglas Dupler

## Urban heat island

An urban heat island (UHI) is an urban area where the temperature is commonly 5 to 10°F (-15 to -12°C) warmer than the surrounding countryside. The annual mean temperature of a metropolitan area with a population more than one million can be warmer than surrounding areas. Changing the landscape of an area from open land with vegetation to more crowded areas populated with buildings and roads can induce warmer temperatures because the sun is able to effectively heat the dry, exposed surfaces and pavement of urban areas. Vegetation can assert a cooling effect on an area through transpiration, but much vegetation is removed as a result of expansion in urban areas. Effects include increased energy demand in warmer months, higher air conditioning bills, and increased air pollution and greenhouse gas emissions, which cause climate change. These increased temperatures can also affect heat-related illness, mortality rates, and water quality. Rapid temperature changes in waterways (termed thermal pollution) caused by the runoff of water heated through contact with paved surfaces, termed urban runoff, can affect the health of aquatic ecosystems. Methods of mitigation of UHI effects include the addition of trees and other vegetation, installation of cool and reflective roofs, and use of cool pavements. Another strategy is to install green roofs on buildings, which consists of vegetation planted on roof tops. These green roofs reduce the surface temperature of the roof and surrounding air, mitigate air pollution, and reduce energy costs of the buildings by absorbing heat and acting as insulators. Although local temperatures can be altered by urban heat island effects, most climatologists rule out the heat island effect as the significant cause of global warming, or as a factor having a significant impact on global-warming data. Critics contend that urban heat islands can distort data gathered from field stations and instruments subjected to this form of abnormal warming.

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## Urban runoff

Urbanization causes fundamental changes in the local hydrologic cycle, mainly increased speed of water movement through the system, and degraded water quality. They are expressed through reduced ground-water recharge, faster and higher storm runoff, and factors that affect aquatic ecosystems, particularly sediment, dissolved solids, and temperature. The resultant problems have encouraged municipalities to reduce negative impacts through storm water management.

Important research on these issues was spearheaded by the U.S. Geological Survey (USGS) during the early phases of the current environmental revolution. A sampling of titles used for the USGS Circular 601 series indicates the scope of these efforts: *Urban Sprawl and Flooding in Southern California* (C601-B), *Flood Hazard Mapping in Metropolitan Chicago* (C601-C), *Water as an Urban Resource and Nuisance* (C601-D), *Sediment Problems in Urban Areas* (C601-E), and *Extent and Development of Urban Flood Plains* (C601-J). Also relevant are *Washington D.C.'s Vanishing Springs and Waterways* (C752) and *Urbanization and Its Effects on the Temperature of the Streams on Long Island, New York* (USGS Professional Paper 627-D).

Most of these works cite a 1968 publication written by Luna Leopold, *Hydrology for Urban Land Planning*. Describing a research frontier, Leopold anticipated many of the concerns currently embodied in stormwater management efforts. He identified four separate, but interrelated, effects of land use changes

associated with urban runoff: 1) changes in peak flow characteristics, 2) changes in total runoff, 3) changes in water quality, and 4) aesthetic or amenities issues.

Urbanization transforms the physical environment in a number of ways that affect runoff. Initially construction strips off the vegetation cover, which results in significantly increased erosion. Local comparisons with farms and woodlands show that sediment from construction and highway sites may increase 20,000–40,000 times. Furthermore, as slope angles steepen, the erosion rate increases even faster, and above a 10 percent slope (10 ft [3 m] rise in 100 ft [30 m]) no restraints remain to hold back this sediment. On a larger scale, a Maryland study comparing relatively unurbanized and urbanized basins found a fourfold erosion increase.

Pavement and rooftops cover many permeable areas so that runoff occurs at a greater rate. Storm sewers are built, which, by their very nature, speed up runoff. Estimates range from a two- to six-fold increase in runoff amounts from fully urbanized areas. Even more important, however, is that peak discharge (the key element in flooding) is higher and comes more quickly. This makes flash floods more likely and increases the frequency of runoff events that exceed bank capacity.

This increased flooding causes much channel erosion and altered geometry, as the channels struggle to reach a new equilibrium. Less water infiltrates into groundwater reservoirs, which diminishes the base flow (the seepage of groundwater into humid-region channels) and causes springs to dry up. The urban heat island effect, combined with reduced baseflow, exposes aquatic ecosystems to higher heat stress and reduced flow in summer, and to colder temperatures in winter. Summer is especially difficult, as rainwater flows from hot streets into channels exposed to direct sunlight. As a consequence, sustaining life becomes more difficult, and sensitive organisms must adapt or die.

Water-quality changes increase cultural eutrophication (water pollution caused by excessive plant nutrients), as fertilizer and pesticide residues wash from lawns and gardens to join the oil, rubber, pet manure, brake lining dust, and other degrading elements comprising urban drainage. When these are combined with the effluent from industrial waste and treated sewage, especially heavy metals, the riverine environment becomes a health concern.

Under arid conditions the damage from mudflows may be increased because the land that once absorbed the sliding mud is now covered with streets and buildings. This situation can be seen in southern California,

where hillside development has increased the threat of landslides.

Increasing attention is now being given to storm-water management around the United States. For example, Seattle, Washington, has focused on water quality, whereas Tulsa, Oklahoma, is primarily concerned with flooding. Both cities have responded to serious local problems.

By the 1950s Seattle's Lake Washington and the adjacent water of Puget Sound had become so polluted that beaches had to be closed. Sewage treatment plants around Lake Washington and raw sewage dumping in Puget Sound were the fundamental causes. The residents of the area voted to form a regional water-quality authority to deal with the problems. As a result all sewage treatment was removed from Lake Washington, and dumping of raw sewage into Puget Sound was halted. The environmental result was dramatic. As nitrate and phosphate levels dropped sharply, cultural eutrophication in these waters was greatly reduced, and beaches were reopened. The regional agency continues to seek better ways to improve the water quality of stormwater runoff.

Tulsa's efforts are a response to a series of devastating floods. Between 1970 and 1985, Tulsa led the country in numbers of federal flood declarations; these floods caused seventeen deaths and \$300 million in damages. Conditions in Tulsa are such that 6.3 inches (16 cm) of rain within six hours is sufficient to produce what hydrologists call a 100-year flood. In May 1984, 10 inches (25 cm) of rain fell during a seven-hour period, which was a rainfall frequency of about 200 years.

A leader in flood control, Tulsa has taken a multifaceted approach to stormwater management. During the 1970s then Congressman James R. Jones sponsored legislation to buy out and tear down houses in the severely flood-prone Mingo Creek Basin; some had qualified seven times for flood disaster aid, with payments far exceeding market value. In a joint venture, Tulsa and the Army Corps of Engineers spent \$60 million and \$100 million respectively to channelize Mingo Creek in its lower reaches and create detention ponds in the middle portions of the basin. Expected benefits include a \$26.9 million reduction in annual flood damage. Continuing flood control efforts have incorporated the use of a state-of-the-art computerized flood warning system.

Recreational possibilities are being exploited in Tulsa's overall stormwater management program. Access roads are being used as running tracks; athletic fields double as detention reservoirs during flood conditions. Since existing parks have been modified to meet the flood program needs, the facilities have

benefited from the improvements, and the water authority leaves the maintenance to the parks department. Using existing parks also eliminates the need to buy out houses and disrupt existing neighborhoods.

Although the Tulsa plan has been successful, more heavily developed floodplains require different measures. Often land costs are so high that it may be cheaper to spend money on flood-proofing or tearing down existing buildings. In any event, urban runoff is an expensive problem to solve.

*See also* Heavy metals and heavy metal poisoning; Industrial waste treatment; Land-use control; Water treatment.

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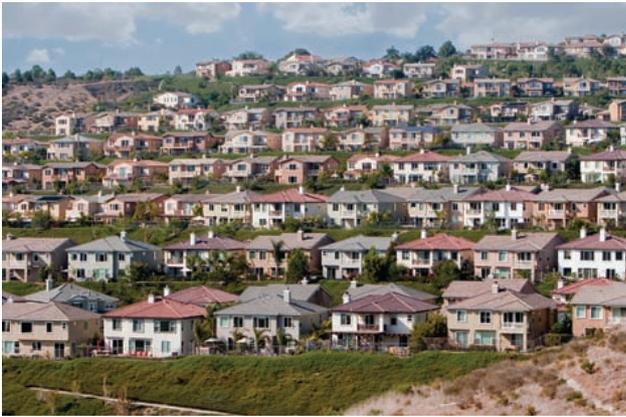
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Nathan H. Meleen

## Urban sprawl

Today most American cities are characterized by decaying central downtowns from which residents and businesses have fled to low-density suburbs spreading out around a network of increasingly congested freeways. This development pattern consumes open space,



**A hillside of houses in Southern California.** (iStockphoto.com/MCCAIG)

wastes resources, and leaves historic central cities with a reduced tax base and fewer civic leaders living or working in downtown neighborhoods. Streets, parks, schools, and civic buildings fall into disrepair at the same time that these facilities are being duplicated at great expense in new suburbs. The poor who are left behind when the upper and middle classes abandon the city center often can't find jobs where they live and have no way to commute to the suburbs where jobs are now located. The low-density development of suburbs is racially and economically exclusionary because it provides no affordable housing and makes it impractical to design a viable public transit system.

At the same time that inner cities are hollowing out, the amenities that people have moved to the outskirts in search of prove to be fleeting. Hoping to find an open space, opportunities for outdoor recreation, access to wild nature, scenic views, and a rural ambience, they find, instead, sprawling developments based on only a few housing styles. The checkerboard layout of nearly identical lots with little public space is permitted—or even required—by local zoning and ordinances, consumes agricultural land, and fragments wildlife habitat. To make house construction more efficient, land in large housing developments generally is bulldozed, removing vegetation or other landmarks that might make a neighborhood recognizable.

Traffic becomes increasingly congested as more and more cars clog streets and freeways, driving the much longer distances to jobs and shopping required by dispersed living patterns. Although new automobiles are much more efficient and cleaner operating than those just a few decades ago, the fact that people drive so much farther today and spend so much more time idling in stalled traffic means that suburbanites burn more fuel and produce more pollution than ever

before. Thus, the poor, urban air-quality people fled to the country to escape follows them and is made worse by the greater distances they drive every day.

Altogether, traffic congestion is estimated to cost the United States around \$100 billion per year in wasted time and fuel. Some people argue that the existence of traffic jams in cities shows that more freeways are needed. Often, however, building more traffic lanes simply encourages more people to drive farther than they did before. Rather than easing congestion and saving fuel, more freeways can exacerbate the problem.

As former Maryland Governor Parris N. Glendening said: “In its path, sprawl consumes thousands of acres of forests and farmland, woodlands and wetlands. It requires government to spend millions extra to build new schools, streets and water and sewer lines.” Christine Todd Whitman, former New Jersey Governor and former Head of the Environmental Protection Agency (EPA) remarked that “Sprawl eats up our open space. It creates traffic jams that boggle the mind and pollute the air. Sprawl can make one feel downright claustrophobic about our future.” Although there is no universally accepted definition of urban sprawl, it generally includes the following characteristics:

- unlimited outward urban expansion
- low-density residential and commercial development
- leapfrog growth that consumes farmland and natural areas
- fragmentation of power among many small units of government
- dominance of freeways and private automobiles
- no centralized planning or control of land-uses
- widespread strip-malls and “big-box” shopping centers
- great fiscal disparities among localities
- reliance on deteriorating older neighborhoods for low-income housing
- decaying city centers as new developments occurs in previously rural areas

Among the alternatives to unplanned sprawl and wasteful resource use proposed by urban planners are smart growth and conservation design. These new approaches make efficient and effective use of land resources and existing infrastructure by encouraging development that avoids costly duplication of services and inefficient land use. They aim to provide a mix of land uses to create a variety of affordable housing choices and opportunities. They also attempt to provide a variety of transportation choices including pedestrian friendly neighborhoods. This approach to

planning also seeks to maintain a unique sense of place by respecting local cultural and natural features.

By making land-use planning open and democratic, smart growth and conservation design strive to make urban expansion fair, predictable, and cost-effective. All stakeholders are encouraged to participate in creating a vision for the city and to collaborate rather than confront each other. Goals are established for staged and managed growth in urban transition areas with compact development patterns. This approach is not opposed to growth. It recognizes that the goal is not to block growth but to channel it to areas where it can be sustained over the long term. It tries to enhance access to equitable public and private resources for everyone and to promote the safety, livability, and revitalization of existing urban and rural communities.

Rather than abandoning the cultural history and infrastructure investment in existing cities, a group of architects and urban planners is attempting to redesign metropolitan areas to make them more appealing, efficient, and livable. European cities such as Stockholm, Sweden; Helsinki, Finland; Leichester, England; and Neerlands, the Netherlands; have a long history of innovative urban planning. In the United States, Andres Duany, Elizabeth Plater-Zyberk, Peter Calthorpe, and Sym Van Der Ryn have been leaders in what is sometimes called the new urbanist movement or neo-traditionalist approach. These designers attempt to recapture some of the best features of small towns and the best cities of the past. They are designing urban neighborhoods that integrate houses, offices, shops, and civic buildings. Ideally, no house should be more than a five-minute walk from a neighborhood center with a convenience store, a coffee shop, a bus stop, and other amenities. A mix of apartments, townhouses, and detached houses in a variety of price ranges insures that neighborhoods will include a diversity of ages and income levels.

Where building new neighborhoods in rural areas is necessary, conservation design, cluster housing, or open-space zoning preserves at least half of a subdivision as natural areas, farmland, or other forms of open space. Among the leaders in this design movement are landscape architects Ian McHarg, Frederick Steiner, and Randall Arendt. They have shown that people who move to the country don't necessarily want to own a vast acreage or to live miles from the nearest neighbor; what they most desire is long views across an interesting landscape, an opportunity to see wildlife, and access to walking paths through woods or across wildflower meadows.

By carefully clustering houses on smaller lots, a conservation subdivision can provide the same number

of buildable lots as a conventional subdivision and still preserve 50–70 percent of the land as open space. This not only reduces development costs (less distance to build roads, lay telephone lines, sewers, power cables, etc.) but also helps foster a greater sense of community among new residents. Walking paths and recreation areas get people out of their houses to meet their neighbors. Homeowners have smaller lots to care for and yet everyone has an attractive vista and a feeling of spaciousness.

Some good examples of this approach are Farmview near Yardley, Pennsylvania, and Hawknest in Delafield Township, Wisconsin. In Farmview, 332 homes are clustered in six small villages set in a 414 acre (160 ha) rural landscape, more than half of which is dedicated as permanent farmland. House lots and villages were strategically placed to maximize views, helping the development to lead its county in sales for upscale developments. Hawknest is situated in dairy-farming country outside of Waukesha, Wisconsin. Seventy homes are situated amid 180 acres (70 ha) of meadows, ponds, and woodlands. Restored prairie, neighborhood recreational facilities, and connections to a national scenic trail have proved to be valuable marketing assets for this subdivision.

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William P. Cunningham

*Ursus arctos* see **Grizzly bear**.

## U.S. Department of Agriculture

The U.S. Department of Agriculture (USDA) had its origin in the U.S. Patent Office, one of the first federal offices. In 1837 an employee of the Patent Office, Henry L. Ellsworth, began to distribute seeds to American farmers that he had received from overseas. By 1840 Ellsworth had obtained a grant of \$1,000 from Congress to establish an Agricultural Division within the Patent

Office. This division was charged with collecting statistics on agriculture in the United States and carrying out research, as well as distributing seeds.

Over the next two decades, the Agricultural Division continued to expand within the Patent Office, until Congress created the Department of Agriculture on May 15, 1862. The officer in charge of the department was initially called the Commissioner of Agriculture, but the department was raised to cabinet level on February 9, 1889, and the commissioner was renamed the Secretary of Agriculture.

The USDA today is a large executive agency that manages dozens of programs. Its overall goals include the improvement and maintenance of farm incomes and the development of overseas markets for domestic agricultural products. The department is also committed to reducing poverty, hunger, and malnutrition; protecting soil, water, forests, and other natural resources; and maintaining standards of quality for agricultural products. The activities of the USDA are subdivided into seven major categories: Small Community and Rural Development, Marketing and Inspection Services, Food and Consumer Services, International Affairs and Commodity Programs, Science and Education, Natural Resources and Environment, and Economics.

Small Community and Rural Development oversees many of the programs that provide financial assistance to rural citizens. It administers emergency loans as well as loans for youth projects, farm ownership, rural housing, watershed protection, and flood prevention. It also underwrites federal crop insurance, and the Rural Electrification Administration is part of this division.

Marketing and Inspection Services is responsible for all activities relating to the inspection and maintenance of health standards for all foods produced in the United States. The Agricultural Cooperative Service, which many consider one of the USDA's most important functions, is part of the division. Food and Consumer Services helps educate consumers about good nutritional practices and provides the means by which people can act on that information. The division administers the Food Stamp program, as well as the School Breakfast, Summer Food Service, Child Care Food, and Human Nutrition Information programs.

The main functions of the International Affairs and Commodity Programs are to promote the sale and distribution of American farm products abroad and to maintain crop yields and farm income at home. The Science and Education division consists of a number

of research and educational agencies including the Agricultural Research Service, the Extension Service, and the National Agricultural Library.

Some of the USDA's best known services are located within the Natural Resources and Environment Division. The Forest Service and Soil Conservation Service are the two largest of these. The Economics Division is responsible for collecting, collating, and distributing statistical and other economic data on national agriculture. The Economic Research Service, National Agricultural Statistics Service, Office of Energy, and World Agricultural Outlook Board are all part of this division.

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### ORGANIZATIONS

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U.S. Department of Commerce see **National Oceanic and Atmospheric Administration (NOAA)**.

U.S. Department of Defense see **Army Corps of Engineers**.

## U.S. Department of Energy

For most of its history, the United States has felt little concern for its energy needs. The country has had huge reserves of coal, petroleum, and natural gas. In addition the United States had always been able to buy all the additional fossil fuels it needed from other nations.

As late as 1970, automotive and home heating fuels sold for about \$0.20–\$0.30 per gallon (\$0.05–\$0.08 per l).

That situation changed dramatically in 1973 when members of the Organization of Petroleum Exporting Countries (OPEC) placed an embargo on the oil it shipped to nations around the world, including the United States. It took only a few months for the United States and other oil-dependent countries to realize that it was time to rethink their national energy strategies. The late 1970s saw, therefore, a flurry of activity by both the legislative and executive arms of government to formulate a new energy policy for the United States.

Out of that upheaval came a number of new laws and executive orders including the Energy Reorganization Act of 1973, the Federal Non-Nuclear Energy Research and Development Act of 1974, the Energy Policy and Conservation Act of 1976, the Energy Conservation and Production Act of 1976, the National Energy Act of 1978, and President Jimmy Carter's National Energy Plan of 1977.

One of the major features of both legislative and executive actions was the creation of a new Department of Energy (DOE). DOE was established to provide a central authority to develop and oversee national energy policy and research and development of energy technologies. The new department replaced or absorbed a number of other agencies previously responsible for one or another aspect of energy policy, including primarily the Federal Energy Administrations and the Energy Research and Development Administration. Other agencies transferred to DOE included the Federal Power Commission, the five power administrations responsible for production, marketing and transmission of electrical power (Bonneville, Southeastern, Alaska, Southwestern, and Western Area Power Administrations), and agencies with a variety of other functions previously housed in the Departments of the Interior, Commerce, Housing and Urban Development, and the Navy.

DOE's mission is to provide a framework for a comprehensive and balanced national energy plan by coordinating and administering a variety of Federal energy functions. Among the Department's specific responsibilities are research and development of long-term, high-risk energy technologies, the marketing of power produced at Federal facilities, promotion of energy conservation, administration of energy regulatory programs, and collection and analysis of data on energy production and use. In addition the department has primary responsibility for the nation's nuclear weapons program.

DOE is divided into a number of offices, agencies, and other divisions with specific tasks. For example, the Office of Energy Research manages the department's programs in basic energy sciences, high energy physics, and nuclear fusion energy research. It also funds university research in mathematical and computational sciences and other energy-related research. Another division, the Energy Information Administration, is responsible for collecting, processing, and publishing data on energy reserves, production, demand, consumption, distribution, and technology.

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### ORGANIZATIONS

U.S. Department of Energy, 1000 Independence Ave., SW, Washington, DC, USA, 20585. (202) 586-4403, (800) dial-DOE, <http://www.energy.gov>

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## U.S. Department of Health and Human Services

Established in 1979, the U.S. Department of Health and Human Services (HHS) is a cabinet-level department responsible for the welfare, safety, and health of United States citizens. Among other programs, HHS administers drug safety standards, prevents epidemics, and offers assistance to those who are economically disadvantaged.

The Public Health Service, a division of HHS, helps state and city governments with health problems. The service studies ways of controlling infectious diseases, works to immunize children, and operates quarantine programs. The agency also operates the Centers for Disease Control (CDC), where most of the nation's health problems are studied. These include occupational health and safety, the dangers of cigarette smoking, and childhood injuries, as well as communicable

diseases and the epidemic of urban violence. In addition to investigating these problems, the CDC is charged with making policy suggestions on their management. HHS also administers the Social Security and Medicare programs, as well as the Head Start Program.

New lead-content standards for paint and other consumer items grew out of CDC studies. The agency conducts energy-related epidemiological research for the U.S. Department of Energy, including studies on radiation exposure. Through the CDC, the HHS runs the National Center for Health Statistics, an AIDS Hotline, an international disaster relief team, and programs to monitor infectious disease epidemics worldwide.

The Food and Drug Administration is another agency of HHS. It is charged with responding to new drug research by drug development companies and approving new drugs for use in the United States. Along with the U.S. Department of Agriculture, the FDA is responsible for maintaining the safety of the nation's food and drug supply. HHS also administers the Agency for Toxic Substances and Disease Registry, which carries out the health-related responsibilities of the Superfund legislation.

## Resources

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### ORGANIZATIONS

U.S. Department of Health and Human Services, 200 Independence Avenue, SW, Washington, DC, USA, 20201, (202) 619-0257, (877) 696-6775, <http://www.hhs.gov>

Linda Rehkopf

U.S. Department of State see **Bureau of Oceans and International Environmental and Scientific Affairs (OES)**.

## U.S. Department of the Interior

The U.S. Department of the Interior was founded by an Act of Congress on March 3, 1849. A wide variety of functions were assigned to the Home Department as it was called at the time, including administration of the General Land Office, which passed large tracts of western lands to homesteaders (294 million

acres/119 million ha), to railroads (94 million acres/38 million ha), and to colleges and universities. In the twentieth-first century, the Department of the Interior has become the custodian of public lands and natural resources, and is now America's primary governmental conservation agency. The National Park Service, Bureau of Land Management, Bureau of Reclamation, Fish and Wildlife Service, Geological Survey, Office of Surface Mining, Reclamation and Enforcement, and Bureau of Mines are part of the department. In addition the department is responsible for American Indian reservation communities and for people living in island territories administered by the United States.

The Department's mandate is very broad, including such duties as: 1) administration of more than 500 million acres (205 million ha) of federal public lands; 2) development and conservation of mineral and water resources; 3) conservation and utilization of fish and wildlife resources; 4) coordination of federal and state recreation programs; 5) administration and preservation of America's scenic and historic areas; 6) reclamation of western arid lands through irrigation; and 7) management of hydroelectric power systems.

Although many department activities and programs have generated environmental controversy over the years, it is probably these last two activities that have been most contentious. In pursuit of these mandates, the Bureau of Reclamation, working with the Army Corps of Engineers, has constructed massive dams on rivers in the West. These dams are designed to provide water storage and electricity for western cities and farms, as well as to reduce down-stream flooding. However, these dams also have had severe impacts on ecosystems, significantly altering and, at times, devastating riverine habitats.

Among the department's positive environmental actions and accomplishments during the past several years are: (1) establishment of twenty-three new refuges and waterfowl production areas in Florida and in Louisiana; (2) initiation of Refuges 2003, a Fish and Wildlife Service effort aimed at planning management programs and policies for the next ten to fifteen years on the nation's 472 national wildlife refuges; (3) implementation of the North American Wetlands Conservation Act to restore declining waterfowl populations and to conserve wetlands; (4) protection of 1,800 species on the List of Endangered and Threatened Species; (5) development and beginning implementation of a mitigation and enhancement plan to restore California's Kesterson Reservoir, which was closed due to high concentrations of selenium; (6) tripling the Coastal Barrier Resources System to 1.25 million acres (500,000 ha) more than 1,200 miles (1,931 km) of shoreline, including the

Florida Keys, Great Lakes, Puerto Rico, and the Virgin Islands; (7) establishment of a new Office of Surface Mining Reclamation and Enforcement office in Ashland, Kentucky, for rapid response to abandoned mine land problems in need of reclamation on an emergency basis; (8) participation in filing 120 natural resource damage assessments in the period 1988–1991, the largest being the assessment of the *Exxon Valdez* accident in Prince William Sound, Alaska. The \$1.1 billion settlement of this accident enabled the Federal Government to proceed with cleanup and restoration efforts.

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- U.S. Department of the Interior, 1849 C Street NW, Washington, DC, USA, 20240, (202) 208-3100, <http://www.doi.gov>

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## U.S. Public Interest Research Group

Formally founded in 1983 by consumer advocate Ralph Nader, the Public Interest Research Group (PIRG) is an outgrowth of Nader's Center for the Study of Responsive Law. PIRG aims to heighten consumer awareness and focuses on such environmental issues as clean air, toxic waste cleanup, protection of the atmosphere, pesticide control, and solid waste reduction. Although PIRG did not convene as a national organization until 1983, its roots date back to 1970, when Nader began to establish state PIRGs throughout the United States. The national umbrella organization was founded to lobby for the state units and to serve as the fundraising arm for the Fund for Public Interest Research. State PIRGs address both national and local issues, and most are directly affiliated with the larger PIRG organization. There are also PIRGs throughout Canada.

PIRG has been vital in bringing about several important environmental regulations. In 1986 the organization

led an aggressive campaign that resulted in the strengthening of the federal Superfund program, a \$9-billion venture that identifies and provides for the cleanup of sites contaminated by hazardous waste. That same year PIRG was successful in influencing the United States legislature to pass the Safe Drinking Water Act (SDWA). The SDWA imposes limits and prohibitions on the amounts and types of chemicals allowable in drinking water supplies and provides for regular testing to assure that the limits imposed by the SDWA are being met. In 1987 PIRG proved instrumental in the strengthening of the Clean Water Act which requires cleanup of United States waterways. The CWA also imposes limits and prohibitions on chemicals that are discharged into the water system. Several state PIRGs have also met with success when suing major polluters. As the result of PIRG legal action, many polluters have been ordered to pay fines and clean up contaminated areas.

PIRG also educates the general public on various issues. Its current projects are outlined in a quarterly newsletter, *Citizen Agenda*, and some of its key concerns are detailed in such reports as *Toxic Truth and Consequences: The Magnitude of and the Problems Resulting from America's Use of Toxic Chemicals*, *Presumed Innocent: A Report on 69 Cancer-Causing Chemicals Allowed in Our Food*, and *As the World Burns: Documenting America's Failure to Address the Ozone Crisis*.

Ongoing PIRG projects address similar issues. Although successful with the Superfund program, the organization continues to work for the cleanup of toxic waste and actively supports the notion that “polluters pay”—requiring those who cause contamination to fund the subsequent cleanup. PIRG also conducts research into energy efficiency in an effort to curb carbon monoxide emissions. Through its lobbying efforts, the group also supports legislation requiring a ban on carcinogenic pesticides in food, preventing groundwater contamination, halting garbage incineration, and initiating bottle-recycling programs in all fifty states.

In addition to environmental concerns PIRG has lobbied for voting reform, increased government transparency, improved access to healthcare and education, invested in public transit systems, initiated policies to combat climate change, and lobbied for consumer protection laws, food safety, tax reform, Internet freedom, and corporate responsibility.

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#### ORGANIZATIONS

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Kristin Palm

## Used oil recycling

Used oil recycling is a procedure that involves reprocessing used motor oil so that it can be used again. Motor oil can be recycled indefinitely because the lubricant does not wear out. Recycled oil is cleaned of contaminants, such as dirt, water, used additives, and fuel. Used oil may also contain toxic substances, such as lead, benzene, zinc, and cadmium.

Recycling saves oil and helps the environment. According to the United States Environmental Protection Agency (EPA), 2.5 quarts (2.4 l) of rerefined oil can be processed from 1 gallon (3.8 l) of used oil. If not recycled, the gallon of lubricant from an oil change can ruin 1 million gallons (3.8 million l) of fresh water, representing the annual water supply for fifty people. One pint (0.5 l) of used oil can create an approximately 1 acre (0.4 ha) oil slick on the surface of water, according to the California Integrated Waste Management Board. Crankcase oil accounts for more than 40 percent of the total oil pollution in harbors and waterways in the United States.

There are several markets for recycled oil. According to the American Petroleum Institute (API), a trade organization that joins with government agencies to promote the recycling of used motor oil from cars, trucks, motorcycles, boats, recreational vehicles, and lawn mowers, 75 percent

of recycled oil is processed for use in industry, and 11 percent is used in space heaters in automotive bays and municipal garages. The remaining 14 percent is rerefined, returned to its virgin state, and again used as motor oil.

The API's Recycled Oil Web site includes instructions about collecting oil and where to take it for recycling. Oil should be drained from the car engine when it is warm (so that sludge flows out smoothly) into a pan that holds twice as much the crankcase. The drained oil should be poured through a funnel into a clean plastic bottle that has a tightly closing lid; the API recommends using a milk jug and cautions against using bottles that held bleach, cleaners, or automotive fluids such as anti-freeze (residue in those containers will contaminate the oil). The used oil should then be taken to a collection station. The API Web site has links to recycling information in every state and some Canadian provinces. Recycling services are provided by states, cities, and private companies. In addition oil may be accepted at some service stations and oil change shops.

The next step in the recycling process is the collection of used oil by transporters who vacuum oil from service bays and collection center storage containers. The collected oil is tested for hazardous components. Oil that passes the test is mixed into a holding tank and taken by tanker truck to a recycler or a transfer station. At the transfer station, oil is held until it is taken to processors, rerefiners, or burners for heating.

Rerefined oil is produced by a process that involves cleaning the lubricant of contaminants. After vacuum distillation, oil is hydrotreated to eliminate any remaining chemicals, then combined with additives to make virgin oil. Consumers who purchase rerefined oil should check the product for the API certification mark.

Used oil designated for specially designed space heaters helps to reduce heating costs at service bays and garages (it is not recommended for home use). In the United States, 75,000 space heaters are fueled by approximately 113 million gallons (428 million l) of used oil each year.

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**ORGANIZATIONS**

American Petroleum Institute, 1220 L Street, NW, Washington, DC, USA, 20005-4070, (202) 682-8000, <http://www.api.org>

Liz Swain

USLE see **Erosion**.

## Utilitarianism

According to the ethical theory of utilitarianism, an action is right if it promises to produce better results than—or maximize the expected utility of—other action possible in the circumstances. Although there are earlier examples of utilitarian reasoning, the English philosopher Jeremy Bentham (1748–1832) gave utilitarianism its first full formulation. “Nature has placed mankind under two sovereign masters,” Bentham declared, “pain and pleasure. It is for them alone to point out what we ought to do, as well as to determine what we shall do.” The ethical person, then, will act to increase the amount of pleasure (or utility) in the world and decrease the amount of pain by following a single principle: promote the greatest happiness of the greatest number.

As later utilitarians discovered, this principle is not as straightforward as it seems. The very notion of happiness is problematical. Are all pleasures intrinsically equal, as Bentham suggested? Or are some inherently better or “higher” than others, as John Stuart Mill (1806–1873), the English philosopher and political economist, insisted? A related problem is the difficulty—some say the impossibility—of making interpersonal comparisons of utility. If people want to promote the greatest happiness (or good or utility) of the greatest number, they need a way to measure utility. But there is no ruler who can assess the utility of various actions in the way that weight, height, or distance are quantified.

Another problem is the ambiguity of “the greatest happiness of the greatest number.” Stressing “the greatest number” implies that the utilitarian should bow to the majority. But “the greatest happiness” may mean that the intense preferences of the minority may override an equivocal majority. Utilitarians have typically taken the second tack by reformulating the principle as “maximize aggregate utility.”

Either interpretation seems to call for an ever-increasing population. The more people there are, the more happiness there will be. This has led some utilitarians to argue that people should promote average rather than total utility. Since the average amount of happiness could decline in an overcrowded world even as the total amount increased, the “average utilitarian” could consistently argue for population control.

Finally, who counts when happiness or utility is calculated? Everyone counts equally, Bentham said, but does “everyone” include only those people living in this place at this time? The expansive view is that “everyone” embraces all those who may be affected by one’s actions, even people who may not yet be born. If so, should today’s people count the preferences of future generations equally with those presently living? For that matter, should they restrict their concern to people? According to Bentham, the test of inclusion should not be: “Can they reason? nor Can they talk? but Can they suffer?” This last point has influenced present-day animal rights advocacy.

*See also* Animal rights; Environmental ethics; Intergenerational justice.

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Richard K. Dagger



## Vadose zone

The unsaturated zone between the land surface and the water table. The vadose zone (from the Latin *vadousus*, meaning shallow) includes the soil-water zone, intermediate vadose zone, and capillary fringe. The pore space contains air, water, and other fluids under pressure, which is less than atmospheric pressure. Thus, the water is held to the soil particles by forces that are greater than the force of gravity. Saturated zones, such as perched groundwater aquifers, may exist in the vadose zone and water pressure within these zones is greater than atmospheric pressure.

*See also* Recharge zone; Zone of saturation

## Valdez Principles

In March of 1989, the *Exxon Valdez* ran aground in Prince William Sound in Alaska, spilling 11 million gallons (41 million l) of crude oil. During the international outcry over the environmental consequences of the spill, environmentalists criticized a number of the structural features of the petroleum industry and the operational practices of the supertanker transport of oil.

In this climate, new approaches were suggested to motivate not only oil companies but all industries to support the protection of the environment. The Valdez Principles are perhaps the most important of the approaches suggested during this period. They were developed by the Coalition for Environmentally Responsible Economics (CERES), which was a consortium of fourteen environmental groups and the Social Investment Forum, an organization of 325 socially concerned bankers, investors, and brokers. The CERES was founded by Boston money manager Joan Bavaria, and it has the support of several major

environmental groups, including the Sierra Club and the National Wildlife Federation.

The Valdez Principles were modeled on the Sullivan Principles, which had been developed to discourage investment in South Africa as a protest against apartheid. Members of the CERES controlled \$150 billion in both pension and mutual funds. The goal of the Valdez Principles was to reward the behavior that was environmentally sound and punish behavior that was not by investing or withholding funds controlled by the CERES members. Corporations were also asked to sign the Valdez Principles in the hopes that the financial incentives provided by the CERES would encourage companies to develop environmentally sound practices.

The Valdez Principles support a wide range of environmental issues. Protection of the biosphere is one of its objectives, and it encourages industries to minimize or eliminate the emission of pollutants. The principles are also devoted to protecting biodiversity and insuring the sustainable development of land, water, forests, and other natural resources. The principles advocate the use of recycling whenever possible, support safe disposal methods, and encourage the use of safe and sustainable energy sources. Energy efficiency is also a goal, as well as the marketing of products that have minimal environmental impact. The principles also call for corporations to have at least one board member qualified to represent environmental interests and a senior executive responsible for environmental affairs. Other goals include damage compensation, disclosure of accidents and hazards, and the creation of independent environmental audit procedures. Many major corporations have indicated an interest in these principles, but few have signed them. Some executives have observed that many aspects of the Valdez Principles are already required by government regulations and internal policies.

Perhaps then the most significant feature of the Valdez Principles is not what they have accomplished

but the circumstances of their origin. A major disaster often engenders a social and political climate that is, at least temporarily, receptive to reform. In this case it was a rare coalition between financial investors and environmental groups. Many have argued that the principles had unrealistic goals, but some see hope for the future in their development.

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Usha Vedagiri  
Douglas Smith

## Vapor recovery system

At one time, vapors that are potentially harmful to the environment or to human health were simply allowed to escape into the atmosphere. This practice continues in many industrializing nations. Closed systems of pipes, valves, compressors, and other components reduce such open exposure. In most systems, vapors are cooled and compressed to a liquid for removal or returned to their original source. Vapor recovery systems not only protect the environment, but conserve resources that might otherwise be wasted.

## Vascular plant

A plant that possesses specialized conducting tissue (xylem and phloem) for the purpose of transporting water and solutes within the root-stem-leaf system. Although aquatic forms exist, most vascular plants are terrestrial and include herbs, shrubs, and trees. The development of vascular transport allowed plants to become larger, leading to their eventual domination of terrestrial ecosystems. The uptake and translocation of soluble pollutants from the soil into the plant occurs

through the roots and the conducting tissue. Adverse effects of such pollution on vascular plants include stunted growth and death of all or part of the plant.

## Vector (mosquito) control

The largest group of animals on the face of the planet, the arthropods (meaning “jointed feet”), includes a very highly successful class of organisms, insecta, the insects. It is estimated that insect species outnumber all other known species of animals and plants on earth combined. One kind of insect, the mosquito, a two-winged insect of the family Culicidae, has particular importance with respect to the activities of humankind. Mosquitoes are a type of fly that have adaptive traits that make them very successful, and very devastating pests. Most importantly, female mosquitoes of key species must consume blood from vertebrates to provide nourishment for egg production. Female mosquitoes will ingest blood-meals from amphibians, birds, or mammals. In the process of consuming blood to provide the protein necessary for egg development, the mosquito becomes an important, and dangerous, transmitter of disease. When an organism is capable of transmitting a disease, it is said to be a *vector* of that disease. Mosquitoes are perhaps the most important arthropod vectors of human disease in the world.

Mosquitoes of various species can transmit viral, protozoan, or bacterial organisms that cause disease in human beings. Viruses (complex constructions of protein and deoxyribonucleic acid [DNA] or ribonucleic acid [RNA]) are, by definition, dependent upon living



A researcher at the National Environment Agency's Environmental Health Institute inspects *Aedes Aegypti* mosquitoes inside the institute's breeding room in Singapore, 2005. (Bloomberg/Contributor/Getty Images)

cells for replication and transmission. Some protozoans, single-celled organisms possessing nuclei, have become dependent upon other organisms for their survival. Likewise, some bacteria, single-celled organisms without nuclei, cannot survive outside of a particular host organism. Mosquitoes act as vectors, or vehicles, for such disease-causing organisms to jump from host to host to complete life cycles in order to continue survival.

Mosquito vectors transmit viral strains and protozoal parasites that cause immense human suffering worldwide. Globally relevant viral diseases transmitted by mosquito vectors include dengue fever and Yellow fever. Recently, in the United States, West Nile virus, Eastern Equine Encephalitis (EEE), La Crosse Encephalitis, and St. Louis Encephalitis are important arthropod-borne viruses, or arboviruses. The largest impact that mosquito vector species have on humans is in the transmission of malaria, a disease caused by protozoan infection of red blood cells that results in massive, cyclic bouts of very high fever and, in many cases, death. Vector control, or the management of disease-spreading species of mosquitoes, is a large concern among global communities. Once thought to be a historic problem, renewed interest has emerged concerning vector-borne disease as a potential threat to contemporary and future civilization.

Global mosquito control consumes tremendous financial resources and is costly in terms of time, effort, and environmental impact. Different parts of the world attempt vector control by various methods, but the most effective way of controlling mosquitoes is a combination of scientific knowledge and common sense. Integrated pest management combines the use of biological or chemical products, knowledge of the mosquito's habitat, and information about the mosquito's life cycle to control the potentially dangerous pests. Larviciding is a successful component of mosquito control. Larviciding uses an insecticide to spray stagnant areas where mosquitoes lay their eggs and the larvae grows. Adulticiding is ground spraying for adult mosquitoes and is applied by spraying a fog of insecticide around the premises where they live. Common sense methods of mosquito control are emptying dishes or birdbaths where water collects, keeping grass mowed and bushes trimmed, and filling in low-lying areas so that water is not left to stagnate there.

Even with global vector control, malaria is reemerging in most countries where the disease is endemic. Malaria is caused by any of four species of protozoan parasites. *Plasmodium falciparum* and *Plasmodium vivax* are the most deadly. The parasite is transmitted by a particular genus of mosquito, *Anopheles*. For many

years, malaria was a problem in the United States. In the 1940s a massive malaria vector control program was initiated that used immense quantities of the potent pesticide, DDT (diphenyl dichlor trichlor), to kill the mosquitoes that transmit malaria. DDT is an effective agent in the control of mosquito vectors of disease, but two problems have plagued its use. The first is its persistence in the environment and harmful effect on fish and wildlife and its implication as a mammalian neurotoxin that could potentially affect human beings. The second concern is the emergence of DDT-resistant strains of mosquitoes. Despite this, DDT is still used in many underdeveloped areas of the world to control mosquito infestations that pose a threat to human health and well-being. In the United States, the Centers for Disease Control (CDC) monitors and surveys for malaria and other vector-borne diseases that endanger the population. The CDC also advises U.S. citizens on appropriate drug therapies to utilize while visiting countries where disease-bearing vector species of mosquitoes are prevalent. In 2008 CDC received reports of 508 cases of imported malaria in January. In February 2010 eleven confirmed cases were linked to an earthquake in Haiti.

One effort to control vector spread of malaria has been the Roll Back Malaria initiative which seeks to reduce the world's malaria burden by one half by the year 2010. One aspect has been the distribution of insecticide-treated mosquito nets in areas where malaria vectors are especially problematic for pregnant women and children, such as the country Nairobi. As part of the effort, 60,000 insecticide-treated mosquito nets were distributed in 2002 in cooperation with the United Nations Children's Fund. This form of vector control incorporates physical barriers with chemical insecticides and social education programs to effect change in the prevalence of this devastating disease.

Mosquito control, however, involves many diseases other than malaria. Dengue fever is a viral infection transmitted by mosquito vectors that causes paroxysmal joint and muscle pain, headache, vomiting, and rash. Commonly, because of its symptoms, dengue fever is confused with malaria or typhoid fever, a bacterial infection. Dengue fever is a potential threat in Africa, China, Southeast Asia, the Middle East, Central and South America, and the Caribbean. A historically notorious arbovirus, dengue fever is returning. According to the CDC Division of Vector-Borne Infectious Disease, 1997 was the year in which dengue fever was the most important mosquito-borne viral disease affecting humans. At that time an estimated 2.5 billion people lived in areas of risk, making dengue fever a mosquito (vector) control problem on par with malaria. Four major reasons for the emergence of dengue fever as an important mosquito-borne disease have been

identified. First, sufficient mosquito control efforts are practically absent in areas where the risk of contracting dengue fever is most acute. Second, uncontrolled population expansion in such areas has led to a deficit in effective sewer and waste management systems, the result of which only contributes to the spread of vector-borne disease. Demographic changes leading to inadequate water management systems have exacerbated this problem. Thirdly, accessible and rapid transportation via airplanes had created a new, swift means for spread of the dengue vector mosquito. Stowaway infected mosquitoes spread disease to new areas with newfound speed. Finally, limited financial resources have forced nations challenged by such vector-borne disease to choose between effective vector control and other, greatly needed endeavors. Until and unless dengue and other vector-borne diseases are recognized as threats, funding for vector control programs may remain secondary to more pressing social dilemmas in undeveloped nations. Although no dengue vaccine exists, vigorous research employing biotechnology continues with a number of candidates now in clinical trials thanks to the Pediatric Dengue Vaccine Initiative.

More recently, mosquito-borne illnesses have been witnessed in North America. West Nile virus has surfaced in America, formerly only attributed to central Asia, Europe, Africa, and the Middle East. By 2001 the West Nile virus had been documented by the CDC to be present in several U.S. states. In 2009 there were 720 diagnosed cases of West Nile virus illnesses and thirty-two related deaths reported to the CDC. The West Nile virus is a variety of pathogen called a flavivirus that can cause encephalitis, coma, and death.

Two additional arbovirus pathogens transmitted by mosquito vectors in the United States are LaCrosse Encephalitis and Eastern Equine Encephalitis. The LaCrosse virus belongs atop the Bunyviridae subgroup and has approximately seventy cases of infection in the United States annually. While fatality rate of infection with LaCrosse is less than 1 percent, hospitalization and neurological complications that occur with infection are serious. Children under the age of sixteen years are particularly at risk of contracting LaCrosse Encephalitis in endemic areas

A similar vector-borne disease is EEE. The manifestations of EEE range from influenza-like symptoms to severe swelling of central nervous tissue, coma, and death. The etiologic agent for EEE is a family of mosquito-borne viruses called the Togaviridae.

Vector mosquito control in the United States remains a priority in many urban areas. Mosquito control is most often accomplished by chemical insecticide

spraying of select areas where known vector breeding areas exist. This approach is viewed by some experts to be reactive rather than proactive. Still, potent chemical means of mosquito control remain the most cost-effective alternative for vector disease transmission in this country and abroad. However, much research is under way to find alternative methods of controlling dangerous mosquito populations. For example, now standard biotechnological techniques such as gene cloning and transposon insertion are presenting new and exciting ways of genetically altering mosquito vectors to become immune to the disease-causing microorganisms, thus rendering them mere pests, and not potential transmitters of life-threatening disease.

In tropical cities, crowded conditions with little sanitation infrastructure can lead to an outbreak of mosquito-borne dengue fever during the rainy season. For example, dengue fever reached epidemic proportions in areas of Brazil in April 2008. In Rio de Janeiro, the country's most populous city, hospitals and their staff were strained by more than fifty cases per hour that filled every bed available in public and private hospitals designated for dengue fever care. At least sixty-seven deaths were confirmed in Rio de Janeiro because of the disease in the first three months of 2008, and forty of the deaths were among children. The Brazilian army joined local health authorities in going door-to-door to help destroy standing water sources such as discarded tires and bottles where mosquitoes breed, to fumigate neighborhoods with insecticide, and to educate the public about wearing protective clothing and mosquito repellent.

In April 2008 the World Health Organization reports showed that dengue was endemic (occurs regularly) in at least 115 countries, with over 2.5 billion persons at risk. Each year, an estimated 50 million to 100 million people are infected, most in Southeast Asia and Latin America. Approximately 30,000 to 50,000 persons die of dengue in any given year. In September 2009, health officials identified several cases of dengue fever locally acquired in Key West, Florida.

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Terry Watkins

## Vegan

The strictest type of vegetarians, refraining from eating not only meat and fish, but also eggs, dairy products, and all other food containing or derived from animals, often including honey. A vegan diet contains no cholesterol and very little fat, so it is quite healthy as long as sufficient nutrients are obtained, especially vitamins B<sub>12</sub> and D, calcium, and quality protein. Most vegans also avoid wearing or using animal products of any kind, including fur, leather, and even wool. Vegans are often motivated primarily by a humane and ethical concern for the welfare of animals and by a desire to avoid unhealthy food products. Vegans generally argue that many industrial and commercial methods of raising livestock and other food animals are not only harmful and cruel to animals but also damage the natural environment.

See also Animal rights; Environmental ethics; Vegetarianism.

## Vegetarianism

Vegetarians refrain from consuming animals and animal products, including meat, poultry, and fish. Lacto-ovo vegetarians will eat eggs, cheese, yogurt, and other dairy products. However, total vegetarians (vegans) avoid these animal products completely, including foods such as honey. On the whole, vegetarians emphasize the impact of dietary choice on health, on the fate of animals and the planet, and on humanity, including future generations.



**A vegetarian dish: pasta with asparagus, tomatoes, and bacon** (ElenaGaak/Shutterstock.com)

Vegetarians avoid meat for many reasons, including concerns for animals, the environment, general health, and worldwide food shortages. Some cultures and religions, such as Buddhism and Hinduism, also advocate vegetarianism. Vegetarians emphasize that the overwhelming majority of food animals are raised on “factory farms,” where they spend their entire lives in cramped, overcrowded conditions, lacking sunshine, exercise, and the ability to engage in natural behavior.

A vegetarian diet relies mainly on the consumption of vegetables, legumes, grains, nuts, seeds, fruits, and mushrooms, along with the products made from these plant-based foods (such as olive oil). The American Dietetic Association states that a careful vegetarian diet can fulfill all necessary micronutrient and macronutrient needs for an adult as effectively as a diet that includes animal products. In fact, many health benefits including lower rates of heart disease and some cancers have been shown for vegetarian and other diets that emphasize a diverse selection of fruits, vegetables, and whole grains.

Meat contains high amounts of saturated fat and cholesterol, which—in excess amounts—contributes to heart disease, cancer, and other degenerative diseases. While poultry and fish are lower in fat and cholesterol than red meat, they also carry health risks. Chicken is a major source of *Salmonella* contamination and other dangerous bacteria, and fish (especially shellfish) are often contaminated with pesticides, heavy metals, or toxic chemicals.

Vegetarians often cite the massive environmental damage caused by raising food animals. Consider the following. Livestock occupy and graze on half of the world’s landmass. Cattle alone use one-quarter of the earth’s land. This can result in water pollution,

clearing of forests, soil erosion, and desertification. More food could be produced and more people could be fed if resources were not used to produce meat. For example, the amount of land required to feed one meat-eater could theoretically feed fifteen to twenty vegetarians. One acre (0.4 ha) of agricultural land can produce about 165 pounds (75 kg) of beef or 20,000 pounds (9,072 kg) of potato.

Moreover, the world's cattle eat enough grain to feed every human on earth, and most of that grain is wasted. A cow must eat 16 pounds (7.3 kg) of grain and soybeans to produce 1 pound (0.45 kg) of feedlot beef—a 94 percent waste of food. A pig requires 7.5 pounds (3.4 kg) of protein to produce 1 pound (0.45 kg) of pork protein. Ninety-five percent of all grain grown in the United States is used to feed livestock, as is 97 percent of all legumes (beans, peas, and lentils) and 66 percent of the fish caught in American waters. Over half of all the water used in the United States goes for livestock production, and it takes 100 to 200 times more water to produce beef than wheat.

Worldwide, over 1 billion people are either vegetarians or lacto-vegetarians out of economic necessity, according to the Economic and Social Research Institute. Many of these people in poverty survive on a very limited grain-based vegetarian diet that often lacks essential micronutrients and adequate protein. In its most extreme form, this diet may also lack sufficient calories. For these involuntary vegetarians, food aid may be necessary to prevent malnutrition.

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Lewis G. Regenstein

## Victims' compensation

Traditionally, legal remedies for environmental problems have been provided by common law (judge-created law developed through private lawsuits). Common law provides remedies including compensation to victims injured by another's negligence. For example, if appropriate care is not taken in the disposal of a toxic substance and this substance enters a farm pond, killing or injuring the farmer's livestock, the farmer can sue the polluter for damages.

U.S. proposals for reforming victims' compensation fall into two general categories: (1) an approach that combines administrative relief with common law tort (a tort is a wrong actionable in civil court) reform; and (2) proposals that provide administrative relief, but eliminate tort remedies. The first approach was developed by a study group consisting of twelve attorneys designated by the American Bar Association, American Trial Lawyer's Association, the Association of State Attorneys General, and the American Law Institute. In 1980 Congress asked this study group to consider the hazardous substance personal injury

problem in conjunction with the Comprehensive Environmental Response, Compensation, and Monitoring Act (Superfund). The study group recommended a two-tier approach. The first tier, which would be the primary remedy for injured persons, would consist of administrative relief. This part of the system would operate in a manner similar to workmen's compensation. Within three years after the discovery of an injury or disease, an applicant would make a claim based on proof of exposure, existence of the disease or injury, and compensable damages. The applicant, without having to show fault, would receive medical costs and two-thirds of earnings minus the amounts that could be obtained from other government programs. The money for this victims' compensation fund would come from industry sources through a tax on hazardous activities or some other means of eliciting contributions.

Most claims would be dealt with through the administrative system without resort to the courts. However, the second tier in the program would preserve existing tort law. Plaintiffs who chose this option would have to submit to the costs and delays of legal proceedings. However, a plaintiff able to win in the courts would have the right to collect unlimited damages, including full loss of earnings and compensation for pain and suffering.

A second proposal that combines administrative relief with traditional tort remedies originated at the Environmental Law Institute. Its director, Jeffrey Trauberman, published an extensive article that appeared in the *Harvard Environmental Law Review* in 1983 proposing a model statute. The approach was different from the Attorneys' Study Group because it emphasized common law tort reform as the primary remedy, with the victims' compensation fund serving as "a residual or secondary source of compensation" in instances when the responsible party could not be identified, had become insolvent, or had gone out of business.

The major common law problem that Trauberman addressed was that of causation. Traditionally, the courts have been reluctant to accept probabilistic evidence as proof of causation. Trauberman, however, argued that evidence from epidemiology, animal and human toxicology, and other sources on the "frontiers of scientific knowledge" should be admitted. When a plaintiff was unable to demonstrate a substantial case of harm, Trauberman would permit "fractional recovery." By fractional recovery he meant that if a hazardous waste dump increased the total number of cancers in an area from 8–10 percent, then the increased incidence of cancer brought on by the dump was 20 percent in these cases, victims should be able to recover 20 percent

of their costs. Recovery for pain and suffering, which was not available to fund claimants, would be available through litigation.

In contrast to the United States, Japan has adopted an approach that combines administrative relief with tort justice. The 1973 Law for the Compensation of Pollution-Related Health Injury, which replaced a simpler 1969 law, established an administrative system to oversee compensation payments. Upon certification by a council of medical, legal, and other experts, victims of designated diseases are eligible for medical expenses, lost earnings, and other expenses, but they receive no allowance for noneconomic losses such as pain and suffering. Companies pay the entire cost of this compensation. There has been an administrative review system, but in no case does this system prohibit recourse to the courts.

*See also* Itai-itai disease; Minamata disease; Yokkaichi asthma

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Alfred A. Marcus

## Vinyl chloride

A colorless, flammable, and toxic liquid when under pressure, but a gas under ordinary conditions. Polymerized vinyl chloride, called polyvinyl chloride, is a ubiquitous plastic produced in enormous quantities. While vinyl chloride has been detected at some waste sites, exposure has been much greater in the factories that produced it. However, significant efforts were exerted by industry to reduce occupational exposure. Chronic exposure resulted in vinyl chloride disease with liver, nerve, and circulatory damage. Epidemiological studies associated cancer of the liver (particularly angiosarcoma) and possibly the brain with occupational exposure, and thus vinyl chloride is considered a human carcinogen.

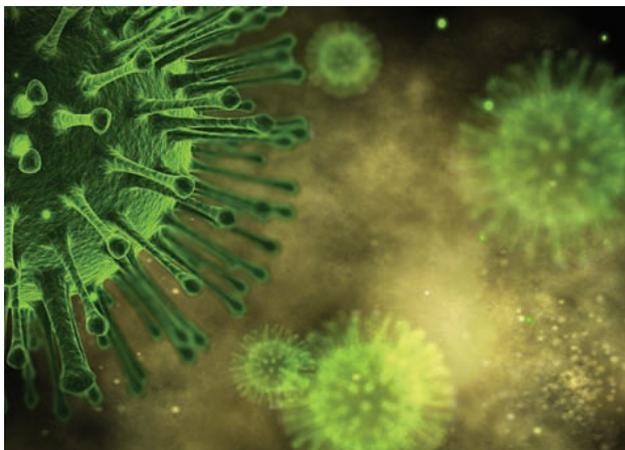
## Virus

A virus is a submicroscopic particle of variable size (but in the nanometer range; a nanometer is  $10^{-9}$  meter) that contains either single or double strands of ribonucleic acid (RNA) or deoxyribonucleic acid (DNA). Viruses are not alive, and are incapable of performing metabolic functions. To replicate their genetic material, create new structural components, and assemble new virus particles, infection of a host cell is mandatory.

Just as certain types of bacteria are ubiquitous in the environment, viruses are also present in a huge variety of natural environments and in living organisms including humans. Indeed, studies have determined that even very deep ocean water contains millions of viral particles per milliliter of water, possibly making viruses an important source of exchange of genetic information between sea water bacteria.

Viruses exist in a wide range of sizes, chemical compositions, shapes, and host cell specificities. Viruses can cause disease or genetic damage to host cells and can infect many living things, including plants, animals, bacteria, and fungi.

Bacteriophages are viruses that use bacteria as hosts. Of particular interest in the aquatic environment are coliphages, viruses that infect *Escherichia coli*, a bacterium that grows in the intestinal tract of warm-blooded creatures. *E. coli* is an important bacterial indicator of fecal pollution of water. However, coliphages tend to survive much longer in the environment than *E. coli*, and their detection in water in the absence of *E. coli* tends to be a more sensitive indicator of former fecal contamination than coliform bacteria.



Flu virus, microscope view. (JANULLA/Shutterstock.com)

Human intestinal viruses are the most commonly encountered viruses in wastewater and water supplies because they are shed in large numbers by humans ( $10^9$  viruses per gram of feces from infected individuals) and are largely unaffected by wastewater treatment before discharge to the environment. Viruses cannot replicate in the environment, but can survive for long periods of time in surface water and groundwater. Viruses are difficult to isolate from the environment and, once collected, are difficult to culture and identify because of their small size, diverse types, low concentrations in water, association with suspended particles, and the limitations in viral identification methods.

There are more than 100 types of known enteric viruses, and there are many others yet to be found. Enteric viruses include polio virus, coxsackievirus A and B, echovirus, and probably the hepatitis A virus. Waterborne transmission of the polio virus in developed countries is rare. Of more consequence are the coxsackieviruses and hepatitis A virus, with the latter being a leading etiological agent of waterborne disease. Other viruses of concern include the gastroenteritis virus group, a poorly understood family of viruses that are probably a subset of the enteric viruses. The important members of this group include the Norwalk virus, rotaviruses, coronaviruses, caliciviruses, the W agent, and the cockle virus.

Preventing the transmission of viruses through water supplies depends upon adequate chemical disinfection of the water. Resistance of viruses to disinfectants is largely because of their biological simplicity, their tendency to clump together (aggregation), and the protection afforded by association with other forms or organic material present. Proper chlorination of water is usually sufficient for inactivation of viruses. Ozone has been used for inactivation of viruses in drinking water because of its superior virucidal properties. France pioneered the use of ozone for inactivation of waterborne viruses.

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Gordon R. Finch

## Visibility

Visibility generally refers to the quality of vision through the atmosphere. Technically, this term denotes the greatest distance in a given direction that an observer can just see a prominent dark object against the sky at the horizon with the naked eye. Visibility is a measure commonly used in the observance of weather in the United States. In this context, surface visibility refers to visibility determined from a point on the ground, control tower visibility refers to visibility observed from an airport control tower, and vertical visibility refers to the distance that can be seen vertically into a ground- obscuring medium such as fog or snow.

Visibility is affected by the presence of aerosols or haze in the atmosphere. In the ideal case of a black target on a white background, the target can be seen at close range because it reflects no light to the eye, while

the background reflects a great deal of light to the eye. As the distance between the observer and the target object increases, the light from the white background is scattered by the intervening particles, blurring to some degree the edge between the target and the surroundings, since light from the background is now scattered into the line of sight to the black target. In addition, the whiteness of the background is decreased by the scattering of light out of the direct line from the background to the eye, and by the absorption of some of the light by dark particles, principally carbon (soot). Finally, particles in the line between the target and the eye scatter light to the eye, decreasing the blackness of the target as seen from the distance. At any distance greater than the visibility, then, all these actions degrade the contrast between the object and its background so that the eye can no longer pick out the object.

Some light is scattered even by air molecules, so visibility through the atmosphere is never infinite. It can be great enough to require correction for the curvature of the earth, and the consequent fact that atmospheric density is less (fewer scattering molecules per unit of distance) at the end of the sight path than at the location of the observer.

Air pollutants now produce a haze that circles the globe, significantly reducing visibility even in places as remote as the Arctic. In areas of industrial concentration, haze may consistently reduce visibility by as much as 40 percent. In addition, gaseous molecules scatter light; and some gases, most notably nitrogen dioxide, absorb light in the visible range, thus changing the apparent color of the background. The effects of air pollution on visibility are quite well understood, unlike some other effects of this pollution on human health and the environment.

*See also* Arctic haze; Photochemical smog; Smog

James P. Lodge Jr.

## Vogt, William

1902–1968

American ecologist and ornithologist

An ecologist and ornithologist whose work has influenced many disciplines, William Vogt was born in 1902 in Mineola, New York. Convalescing from a childhood illness, Vogt became a voracious reader, consuming the works of poets, playwrights, and naturalists. One

naturalist in particular, Ernest Thompson Seton, greatly influenced him, cultivating his interest in ornithology. Vogt graduated with honors in 1925 from St. Stephens (now Bard) College in New York, where he had studied romance languages, edited the school literary magazine, and won prizes for his poetry.

After receiving his degree, Vogt worked for two years as assistant editor at the New York Academy of Sciences, and he went on to act as curator of the Jones Beach State Bird Sanctuary from 1933 to 1935. As field naturalist and lecturer at the National Association of Audubon Societies from 1935 to 1939, he edited *Bird Lore* magazine and contributed articles to other related professional periodicals. Perhaps his most important efforts while with the association were his compilation of *Thirst for Land*, which discussed the urgent need for water conservation, and his editing of the classic *Birds of America*.

Vogt's chief accomplishment, however, was to make the world more fully aware of the imbalanced relationship between the rapidly growing world population and the food supply. He developed a strong interest in Latin America in the late 1930s. During World War II he acted as a consultant to the United States government on the region, and in 1942 he traveled to Chile to conduct a series of climatological studies, during which he began to realize the full scope of the depletion of natural resources and its consequences for world population. As he compiled the results of his studies, Vogt became increasingly interested in the relationship between the environment and both human and bird populations. He was appointed chief of the conservation section of the Pan American Union in 1943, and he remained at this post until 1950, working to disseminate information on and develop solutions for the problems he had identified.

Vogt's popularity soared in 1948 when he published *Road to Survival*, which closely examined the discrepancy between the world population and food supplies. A best-seller, the book was eventually translated into nine languages. It was also a major influence on Paul R. Ehrlich, author of *The Population Bomb* and a key proponent of zero population growth theories.

Following the publication of *Road to Survival*, Vogt won Fulbright and Guggenheim grants to study population trends and problems in Scandinavia. Soon after returning to the United States, he was appointed national director of Planned Parenthood Federation of America, an organization wholly concerned with limiting population growth.

During the last seven years of his life, Vogt again turned his attentions to conservationism, and he became secretary of the Conservation Foundation, an organization created to "initiate and advance research and education in the entire field of conservation," which was absorbed by the World Wildlife Fund. Vogt died in New York City on July 11, 1968.

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Kimberley A. Peterson

## Volatile organic compound

In environmental science, the term volatile organic compound (VOC) usually refers to chemicals that are emitted as gases from certain solids or liquids. VOCs include hydrocarbons (excluding carbon dioxide, carbon monoxide, carbonic acid, metallic carbides or carbonates, and ammonium carbonate)—especially those considered as air pollutants—and usually include many other organic compounds found in air. In the presence of sunlight, hydrocarbons react with ozone (O<sub>3</sub>), nitrogen oxides (NO<sub>x</sub>), and other components of polluted air to form compounds that are hazardous to plants, animals, and humans. VOCs and nitrogen oxides, produced by motor vehicle exhaust, industrial emissions, gasoline vapors, and chemical solvents, react with sunlight to form ozone in the lower atmosphere, contributing to climate change. In 2008 VOCs ranked third behind carbon monoxide (CO) and nitrogen oxides and just above sulfur dioxide (SO<sub>2</sub>) in annual pollutant emissions in the United States. Ozone, which is emitted indirectly from reactions of nitrogen oxides and VOCs, is one of the six common air pollutants regulated by the U.S. Environmental Protection Agency's (EPA) National Ambient Air Quality Standards (NAAQS). VOC concentrations (from paint, cleaning products, etc.) can be up to ten times higher indoors compared with outdoors and can affect indoor air quality (IAQ), leading to respiratory symptoms, liver and kidney problems, and central nervous system issues.

See also Air pollution control; Air quality

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## Volcano

Volcanoes have been called the thermostat of the planet. They wreak havoc, but also spawn far-ranging benefits for soil and air. Some earth scientists now say that the vast swath of destruction from a volcanic eruption can be a source of creation.

Most land volcanoes erupt along tectonic plate edges where ocean floors plunge deep under continents and melting rock rises to the surface as magma. The earth's fragmented crust pulls apart and the edges grind past or slide beneath each other at a speed of up to 8 inches (20 centimeters) per year. But just as our blood carries nutrients that feed our body parts, volcanoes do the same for the skin of the earth. Volcanoes are classified as active, potentially active, or inactive (extinct). A volcano is considered active if there is a record of it erupting in the last ten thousand years. A potentially active volcano may have erupted in the last ten thousand to twenty-five thousand years and exhibits supposed seismic activity (earth vibration, potentially leading to earthquakes), little erosion, and modest amounts of vegetation. An inactive volcano has no reported history of eruption and exhibits weathering and erosion. Dormant is also a term used to describe a volcano that has not erupted in hundreds or thousands of years. Researchers continue to study and monitor volcanoes, especially seismic activity, in an attempt to predict future volcanic activity and its potential effects. Theoretical models have been developed by scientists to explain the causation of pre-eruptive volcanic behavior.

Magma contains elements required for plant growth, such as phosphorus (P), potassium (K), magnesium (Mg), and sulfur (S). When this volcanic



**A volcano under the Vatnajokull glacier on Iceland spews black magma and steam on October 9, 1996. The eruption of the underground volcano and melting of the glacier which covers almost 10 percent of the country has caused widespread concern across Iceland, with experts warning of devastating floods. (AP Photo/Michael Pobst)**

material is blasted out as ash, the fertilization process that moves the nutrients into the soil can occur within months. Java, one of the most volcano-rich spots on the earth, is also one of the world's most fertile areas.

Magma also yields geothermal energy; it heats the underground water that is tapped by wells to warm most of the homes in Iceland. Natural steam drives turbines that provide 7 percent of New Zealand's electric power, and it accounts for 1 percent of the United States' energy needs.

Atmospheric after-effects of a volcanic eruption can last for years, as the eruption of Mount Pinatubo in the Philippines on June 15, 1991, has shown. While water vapor is the main gas in magma, there are smaller amounts of hydrogen chloride (HCl), sulfur dioxide (SO<sub>2</sub>), and carbon dioxide (CO<sub>2</sub>). Sulfur

dioxide blasted 25 miles (40 kilometers) into the stratosphere after Mount Pinatubo erupted, combined with moisture to create a thin aerosol cloud that girdled the globe in twenty-one days. Scientists calculated that 2 percent of the earth's incoming sunlight was deflected, leading to slightly lower temperatures on worldwide average. This sulfur dioxide can oxidize to sulfuric acid (H<sub>2</sub>SO<sub>4</sub>) droplets and alter the earth radiation balance and deplete stratospheric ozone for a number of years.

Recently, scientists mapping the sea floor in the South Pacific have found what they call the greatest concentration of active volcanoes on earth. More than one thousand seamounts and volcanic cones, some as high as seven thousand feet (2,135 meters) with peaks five thousand feet (1,525 meters) beneath the ocean surface, are located in an area the size of New York state. One potential benefit of eruptions is that they generate new mineral deposits, including copper (Cu), iron (Fe), sulfur, and gold (Au). The discovery is likely to intensify debate over whether volcanic activity could change water temperatures enough to affect weather patterns in the Pacific. Scientists speculate that periods of extreme volcanic activity underwater could trigger El Niño, a weather system that alters weather patterns around the world.

Climate scientists often assert that volcanoes play a role in driving climate change on Earth. Gases and aerosols produced by volcanoes affect climate change directly as exemplified by Mount Pinatubo. Although some of the effects produced by volcanic eruptions have relatively short-term consequences, a large volcanic eruption has the potential to induce glacial stages during an ice age or cause a mass extinction.

On April 14, 2010, Eyjafjallajökul volcano in the south of Iceland erupted. The resulting ash cloud closed airspace over the UK and Northern Europe for several days. The resulting travel delays and cancellations cost the airline industry around two billion dollars. By May, scientists said that Eyjafjallajökul was once again dormant. In November 2004 an eruption from the Grimsvötn volcano sent an ash plume over Scandinavia and disrupted flights into Iceland. Scientists now warn of the possibility of an eruption of the much larger Katla volcano, east of Eyjafjallajökul. The previous three times that Eyjafjallajökul erupted, Katla did also. Katla erupts approximately every sixty years and has not done so since 1918.

*See also* Geothermal energy; Ozone layer depletion; Plate tectonics

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Linda Rehkopf

## Vollenweider, Richard A.

1922–2007

Swiss limnologist

Richard Albert Vollenweider was an environmentalist, limnologist, and teacher, who became one of the world's most renowned authorities on eutrophication, the process by which lakes mature and are gradually converted into swamps, bogs, and finally meadows. Eutrophication is a natural process that normally takes place over hundreds or even thousands of years, but human activities can accelerate the rate at which it occurs. The study of these human effects has been an important topic of environmental research since the 1960s.

Vollenweider was born in Zurich, Switzerland, on June 27, 1922. He received his diploma in biology from the University of Zurich in 1946 and his Ph.D. in biology from the same institution in 1951. After teaching at various schools in Lucerne for five years, in 1954 he was appointed a fellow in limnology (the study of lakes) at the Italian Hydrobiological Institute in Palanza, Italy. A year later, he accepted a similar appointment at the Swiss-Swedish Research Council in Uppsala. Vollenweider has also worked for two international scientific organizations, the United Nations Economic, Scientific, and Cultural Organization (UNESCO), and the Organization for Economic Cooperation and Development (OECD). From 1957

to 1959, he was stationed in Egypt for UNESCO, working on problems of lakes and fisheries. Between 1966 and 1968, he was a consultant on water pollution for OECD in Paris.

In 1968, Vollenweider moved to Canada to take a position as chief limnologist and head of the fisheries research board of the Canada Centre for Inland Waters (CCIW). In 1970, he was promoted to chief of the Lakes Research Division and, in 1973, to the position of senior scientist at CCIW.

Perhaps the peak of Vollenweider's career came in 1978 when he was awarded the Premio Internazionale Cervia Ambiente by Italian environmentalists for his contributions to research on the environment. The award was based largely on Vollenweider's work on eutrophication of lakes and waterways in the Po River region of Italy. Although the area was one of the few in Italy with sophisticated water and sewage treatment plants, there was abundant evidence of advanced eutrophication.

Vollenweider found a number of factors contributing to eutrophication in the area. Most importantly the treatment plants were not removing phosphorus, which is a major contributor to eutrophication. In addition, pig farming was widely practiced in the area, causing huge quantities of phosphorus contained in pig wastes to enter the surface water.

Vollenweider recommended a wide range of changes to reduce eutrophication. These included the addition of tertiary stages in sewage treatment plants to remove phosphorus, special treatment of wastes from pig farms, and agreements from manufacturers of detergents to reduce the amount of phosphorus contained in their products.

From 1973 until his retirement, Vollenweider was the senior scientist at CCIW. During that time he continued to assist UNESCO in global water preservation efforts.

Vollenweider died on January 20, 2007 at the age of eighty-four.

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David E. Newton

Volume reduction, solid waste see **Solid waste volume reduction.**

Video display terminal (VDT) see **Electromagnetic field.**





Warbler see **Kirtland's warbler**.

## War, environmental effects of

War and similar conflicts have long been an activity of humans, and is referred to in the earliest historical records. Wars involving hunter-gatherer and early agricultural cultures included clashes between clans and village groups. This sometimes led to the deaths of some participants, as has been observed into the twenty-first century in such places as New Guinea and Borneo. In marked contrast, modern warfare involving advanced technological societies can wreak a truly awesome destruction—about 84 million people have been killed during the wars of the twentieth century.

The conflict that has been the most destructive to human life was World War II (1939–1945), during which about 38 million people were killed. World War I (1914–1918) resulted in about 20 million deaths, the Korean War (1950–1953) about 3 million, and the Vietnam War (1961–1975) about 2.4 million.

In addition to having awful consequences for people and their civilizations, modern warfare also causes terrible environmental damages. These include the destruction caused by conventional weapons, effects of the military use of poisonous gases and herbicides, and petroleum spills. For example, the Kuwaiti oil fires were the result of soldiers from Iraq setting ablaze about seven hundred oil wells in Kuwait as they retreated from the country in 1991. In addition, the potential consequences of nuclear warfare are horrific—a nuclear holocaust could kill billions of people and might also result in climate changes that would cause a collapse of biospheric processes.

## Destruction by conventional warfare

Enormous amounts of explosive munitions are used during modern wars. An estimated 23.1 million tons (21 million metric tons) of explosives were expended during World War II, 36 percent of that by United States forces, 42 percent by the Germans, and 22 percent by other combatants. About 3.3 million tons (3 million metric tons) were used during the Korean War, 90 percent of that by U.S. and Allied forces. About 15.4 million tons (14 million metric tons) were used during the Vietnam War, 95 percent of that by U.S. and South Vietnamese forces. During the Gulf Conflict, several months of bombardment by U.S.-led coalition forces resulted in 88,000 tons (80,000 metric tons) of explosives being dropped on Iraq. Although not well-documented, the explosion of such enormous quantities of munitions during these wars caused great damages to people, buildings, and ecosystems.

Much of World War I, for example, was waged in an area of coastal lowland in Belgium and France known as the Western Front. This was a terrible theater of war, which involved virtually static confrontations between huge armies. They fought back and forth over a well-defended landscape webbed with intricate trench works. Territorial gains could only be made by extraordinarily difficult frontal assaults, which resulted in an enormous loss of humans and destruction of material. Battles were preceded by intense artillery bombardments, which devastated the agricultural lands and woodlands of the battlefields.

One observer described the ruined terrain of an area of Belgium known as Flanders in this way: “In this landscape nothing existed but a measureless bog of military rubble, shattered houses, and tree stumps. It was pitted with shell craters containing fetid water. Overhead hung low clouds of smoke and fog. The very ground was soured by poison gas.” (cited online from *Ralph* magazine). Another passage described the



**Oil wells burning out of control in the Al-Ahmadi oil fields located on the outskirts of Kuwait City, Kuwait; March 1991.** (Wesley Bocxe/Photo Researchers, Inc.)

destruction of a forest (or copse) by an artillery bombardment: “When a copse was caught in a fury of shells the trees flew uprooted through the air like a handful of feathers; in a flash the area became, as in a magicians trick, as barren as the expanse around it.” These were common observations, and they typified the damages caused by explosions, machines, and the mass movements of men determined to kill each other.

About half of the munitions used during the Vietnam War was delivered by aircraft, half by artillery, and less than 1 percent from offshore ships. U.S. forces dropped about 20 million aerial bombs of various sizes, fired 230 million artillery shells, and used more than 100 million grenades and additional millions of rockets and mortar shells. Of course, these caused enormous physical destruction to the built environment of cities and towns, and to agricultural and natural environments. During 1967 and 1968, for example, about 2.5 million craters were formed by 500- and 750-pound (227- and 337-kg) bombs dropped in saturation patterns by high-flying B-52 bombers. Each plane sortie produced a bombed-out area of

about 161 acres (65 ha). Eventually about 21.6 million acres (8.1 million ha) or 11 percent of the landscape of Indochina was affected in this way (this includes Laos and Vietnam). Craters were about 16 yards (15 m) wide and 13 yards (12 m) deep, and they usually filled with fresh water. In addition, the explosions often started forest or grassland fires, which caused extensive secondary damages.

Some animals have been brought to the brink of extinction through warfare. For instance, the last wild Pere David’s deer (*Elaphurus davidianus*) were killed during the Boxer War of 1898–1900 in China. The European bison (*Bison bonasus*) was almost rendered extinct by hunting during World War I to provide meat for troops. More recently, warfare has led to lawlessness in much of Africa, allowing well-armed gangs of poachers to cause white rhinos (*Ceratotherium simum*), black rhinos (*Diceros bicornis*), elephants (*Loxodonta africana*), and other species to become critically endangered over much of their range. These animals are hunted for their horns, tusks, and other valuable body parts.

Although the effects of war on wild animals have mostly been damaging, there have been a few exceptions. Usually this happened because of decreased exploitation—men were too busy trying to kill each other to bother with hunting other species. For example, the abundance of game birds in Britain increased markedly during both World Wars because of decreased hunting pressures. So did fish stocks in the North Atlantic, because fishing boats were subject to attacks.

### The legacy of unexploded munitions

Many aerial bombs and artillery shells do not explode and cause a lingering hazard on the landscape. These problems are made much worse by the use of explosive mines in warfare, because few of these buried devices are recovered after the hostilities cease, and they continue to be an explosive hazard for people and domestic and wild animals for decades. Modern anti-personnel mines are extremely difficult to find, largely because they can contain as little as one gram of metal, which makes it hard to detect them magnetically.

### Chemical weapons in warfare

Antipersonnel chemical warfare occurred on a large scale during World War I, when more than 220.5 million pounds (100 million kg) of lethal agents were used. These were devastating lung poisons such as chlorine, phosgene, trichloromethyl chloroformate, and chloropicrin, and the dermal agent known as mustard gas.

These poisons caused about 1.3 million casualties, including 100,000 deaths.

Lethal gases were also used during the Iran-Iraq War of 1981–1987, mostly by Iraqi forces. The most infamous incident involved the Kurdish town of Halabja in northern Iraq, which had rebelled against the central government and was aerielly gassed with the nerve agents sabin and tabun, causing about 5,000 deaths.

The nonlethal “harassing agent” CS (or o-chlorobenzolmalononitrile) was used by the U.S. military during the Vietnam War. About 20 million pounds (9 million kg) of CS was sprayed over more than 2.5 million acres (one million ha) of South Vietnam, rendering treated places uninhabitable by humans, and likely wild animals, for up to 45 days.

In addition, extensive areas of Vietnam were treated with herbicides by U.S. forces to deprive their enemy of forest cover and food production. More than 3.5 million acres (1.4 million ha) were sprayed at least once, equivalent to about one-seventh the area of South Vietnam. Most of the treated area was mangrove or upland forest, with about 247,000 acres (100,000 ha) being cropland. The most commonly used herbicide was a 50:50 mixture of 2,4,5-T plus 2,4-D, known as Agent Orange. More than 55 million pounds (25 million kg) of 2,4-D and 47 million pounds (21 million kg) of 2,4,5-T were sprayed in this military program. Because the intent was to achieve a longer-term defoliation, the application rates were relatively high, equivalent to about ten times the rate normally used in forestry.

The herbicide spraying caused great ecological damages, with effects so severe that critics of the practice labeled it “ecocide,” i.e., the intentional use of anti-environmental actions over a large area, carried out as a tactical component of a military strategy. The damages included loss of agricultural lands for many rural people, as well as extensive deforestation, which caused severe but almost undocumented effects on the native biodiversity of Vietnam.

Because the 2,4,5-T used in the herbicide spraying was contaminated by a dioxin chemical known as TCDD (2,3,7,8-tetrachlorodibenzo-*p*-dioxin), there was also a great deal of controversy about the potential effects on humans. As much as 357 pounds (162 kg) of TCDD was sprayed with herbicides onto Vietnam. Although there have been claims of damages caused to exposed populations, including U.S. military personnel, the scientific studies have not demonstrated convincing linkages. Although the subject remains controversial, it seems likely that the specific effects of TCDD were small in comparison with the

overall ecological effects of the use of herbicides in the Vietnam War.

### Effects of nuclear warfare

Nuclear weapons have an enormous capability for destruction of humans, their civilization, and natural ecosystems. This was recognized by U.S. president John F. Kennedy (1917–1963) during a speech to the United Nations in 1961: “Mankind must put an end to war, or war will put an end to mankind.”

The world’s nuclear arsenal peaked during the 1980s, when the explosive yield of all weapons was about 18,000 megatons (Mt) of TNT-equivalent. This was more than 1,000 times larger than the combined yield of all conventional explosives used during World War II (6.0 Mt), the Korean War (0.8 Mt), and the Second Indochina War (4.1 Mt). The late-1980s nuclear arsenal was equivalent to 3–4 tons (2.7–3.6 metric tons) of TNT per person on Earth.

Thankfully, the cessation of the Cold War (1947–1991) has led to substantial reductions in the world’s nuclear weaponry, which has declined from a total explosive yield of about 18,000 Mt in the 1980s to about 8,000 in the mid-1990s. There were about 64,000 strategic and tactical devices in 1983, but 27,000 in 1993, and planned reductions to 11,000 to 19,000 in 2003. As of 2010, the Federation of American Scientists estimates that over 22,560 nuclear weapons exist in the world, with the vast majority of them in Russia and the United States (with much smaller numbers in France, China, the United Kingdom, Israel, Pakistan, India, and North Korea).

Nuclear weapons have twice been used in warfare. This involved bombs dropped by U.S. forces on Japan, in an action that brought World War II to an earlier end than would have occurred otherwise. The first bomb had an explosive yield equivalent to 0.015 Mt of TNT and was dropped on Hiroshima on August 6, 1945, and the second (0.021 Mt) was dropped on Nagasaki a few days later. The Hiroshima bomb killed about 140,000 people, while the Nagasaki device killed 74,000. The combined effects of blast and heat destroyed about two-thirds of the buildings in Hiroshima, and one-fourth of those in Nagasaki. Although enormous in comparison with conventional bombs, these nuclear devices were small in comparison to the typical yield of modern strategic warheads, which average about 0.6 Mt and range to 6 Mt.

Not surprisingly, people are concerned about the likely consequences of a large-scale nuclear exchange. One commonly used scenario of a nuclear war is for a limited exchange of 5,000–6,000 Mt, most of which

would be exploded in the Northern Hemisphere. The predicted effects on people could possibly include the deaths of about 20 percent of the world's population, including as much as 75 percent of the population of the United States. The principal cause of death would be thermal radiation, but effects of blast, fire, and ionizing radiation would also be important. These same forces would injure virtually all survivors. Such an overwhelming loss of human life, coupled with the physical devastation, would transform civilization.

It has also been suggested that a nuclear war could result in a global deterioration of climate, which would cause additional severe damages. The climatic effects might be caused by various influences of nuclear explosions, but especially the injection of large quantities of sooty smoke, inorganic particulates, and gases into the atmosphere. These could interfere with the planet's absorption of solar energy and its re-emission of infrared energy. These potential consequences of nuclear warfare have been studied using computer models of the type that are used to model the effects of emissions of carbon dioxide and methane on Earth's greenhouse effect. In general, the predictions are that nuclear war could cause a global cooling, or a "nuclear winter," which would cause widespread damages to agriculture and natural ecosystems. The longer-term climatic damages would add to the enormous destructions that were caused by blast, thermal radiation, fire, and ionizing radiation within a short time of the nuclear exchange.

Humans have probably engaged in warfare throughout their history, and regrettably they appear likely to continue to do so into the future. Fortunately, the catastrophic effects of modern technological warfare are being increasingly recognized, and many nations have been making progress in avoiding conflicts, particularly amongst the world's most heavily armed nations. Because nuclear war has especially horrific consequences, recent treaties on non-proliferation of nuclear weapons and nuclear arms reductions provide real prospects in this regard.

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Bill Freedman

Wastewater treatment see **Sewage treatment**.

## Waste exchange

Getting rid of industrial waste poses many problems for those who generate it. With environmental restraints enforced by fines, companies must minimize waste at its source, recycle it within the company, or transport it for off-site recycling, treatment, or disposal.

The concept of waste exchange, begun in Canada in the 1980s, involves moving one institution's overstock, obsolete, damaged, contaminated, or postdated materials to another site that might be able to use it. Waste exchange companies sprang up to meet this need, but the need for effective and rapid communication between these companies soon became apparent, because much of the waste involved in the program had to be dealt with on a timely basis. Hazardous wastes, for example, must be disposed of within ninety days.

The EPA currently maintains a list of international and national materials exchanges and state-specific exchanges that can be contacted for buying or selling waste.

While protecting the identity of companies generating waste, the network publishes a want list and an available source list of laboratory chemicals, paints, acids, and other wastes. Some are hazardous, but others are innocuous, such as one company's scrap wood, which was bought by another to be ground up for air freshener. The Internet has made waste exchange and recycling of waste increasingly efficient in many countries. Recycling of these wastes can help reduce greenhouse gas emissions that contribute to climate change by reusing wastes and reducing the generation of additional waste.

*See also* Industrial waste treatment; Solid waste; Waste management.

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Stephanie Ocko

## Waste Isolation Pilot Plant

Developing a safe and reliable method for disposing of radioactive wastes is one of the chief obstacles to broader applications of nuclear power. Nearly fifty years after the world's first nuclear reactor was opened, the United States still had no permanent method for the isolation and storage of wastes that may remain dangerously radioactive for thousands of years. Scientific disputes, technical problems, and political controversies have slowed the pace at which waste disposal systems can be studied and built. The history of the Waste Isolation Pilot Plant (WIPP), located near Carlsbad, New Mexico, is an example of how difficult the solution to this challenge can be.

The WIPP was designed by the U.S. Department of Energy (DOE) in the 1970s to test methods for isolating and storing low- and intermediate-level and transuranic (TRU) radioactive wastes. TRU wastes are produced mainly by nuclear weapons plants and consist of clothing, debris, tools, and other disposable items that have been contaminated with radioactive wastes. Researchers decided that the most promising disposal system was to seal the wastes in steel containers and bury these in deep caves built into natural salt beds. They knew that salt beds could absorb the heat produced by

radioactive waste, and that the beds were usually located in earthquake-free zones. In addition, salt bed caves were attractive because scientists believed they were dry, which prevented wastes from leaching out of their tanks. Salt would also tend to creep into openings, thus sealing the drums for thousands of years.

Between the 1970s and 1990s, the DOE spent more than \$1 billion building huge caves 21 hundred feet (640 meters) underground near Carlsbad. The plan was to bury 800 thousand drums of nuclear waste and study its behavior over a number of years. It was soon discovered, however, that some salt beds contain layers of brine (salt water), indicating that such beds are not always dry. Salt in the caves has begun to slowly move as expected. Concern about the possible damages caused by the storage of radioactive materials became so intense that today the WIPP is regulated as carefully as a nuclear power plant, providing regular reports to twenty-eight different governmental agencies.

Controversy created a standstill at the WIPP and prevented waste drums from being buried there for over two decades. In 1991 Secretary of Energy James Watkins (1927–) announced that the DOE could wait no longer, and wastes that had been stored at ten sites around the nation for twenty years awaiting disposal were to be shipped to the WIPP. Environmentalists and some government officials in New Mexico had a strong negative reaction to the announcement. The opponents pointed out that Congress was required to give specific approval before any wastes could actually be buried at the WIPP. A federal judge ruled that Watkins could not carry out his plan until Congress acted, and the experimental tests at the WIPP were once again put on hold.

In the late 1990s, after much deliberation and further testing, Congress approved the WIPP for nuclear waste storage. The facility received its first shipment of waste on March 26, 1999. Under congressional mandate, the WIPP will only receive TRU waste and no commercial or high-level nuclear waste. In 2000, more than 99 percent of existing U.S. TRU waste was being temporarily stored in drums on nuclear defense sites at twenty-three locations, including ones in California, Colorado, Idaho, Illinois, Nevada, New Mexico, Ohio, Tennessee, South Carolina, and Washington. At first, the DOE authorized the WIPP to only receive waste from Los Alamos National Laboratory in New Mexico, the Idaho National Engineering and Environmental Laboratory, and Rocky Flats, a former nuclear weapons plant near Denver, Colorado. By 2002 the facility

had begun receiving waste from other areas, including South Carolina's Savannah River Site.

On April 6, 2002, the WIPP received its first shipment of waste under the Central Characterization Project (CCP) from the Savannah River Site. CCP is a program designed to make the cleanup of TRU wastes more efficient, safe, and cost-effective. Characterization involves using a mobile loading system to check and approve drums of waste before loading them into specialized shipping containers for transfer to the WIPP.

The WIPP is projected to house up to 46.7 million gallons (177 million liters) of TRU waste, which will remain radioactive for more than 10 thousand years. By 2008, the number of shipments is estimated to grow to nearly 14 hundred per year, declining again as the facility fills up. By 2035, the facility is projected to have received almost 37 thousand truckloads of nuclear waste, barring operational or legal changes. Shipments are moved only in good weather and at night, when traffic is lighter to avoid accidents, and routed around major cities. Shipments are tracked by satellite for safety.

TRU waste is separated into two categories: contact-handled (CH) waste and remote-handled (RH) waste. CH-TRU waste containers can be handled by workers without an additional shielding and will contribute 96 percent of the waste stored at the WIPP. Remote-handled waste must be handled in lead-shielded containers as it is capable of emitting more penetrating radiation. RH-TRU waste will account for 4 percent of the waste in the WIPP. The U.S. Environmental Protection Agency (EPA) approved the storage of RH-TRU waste in the WIPP in 2004.

At the beginning of the twenty-first century, about 61 million Americans lived within fifty miles (eighty kilometers) of a military nuclear waste storage site. It was estimated that by the time WIPP had been in operation for ten years (by 2009) that the number would drop to four million. By May 2002, a total of 814 shipments had been sent to the storage facility, totaling 23,852 containers. In 2006, the facility had already processed five thousand shipments of waste. The first shipment of RH-TRU waste arrived at the WIPP in early 2007.

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### ORGANIZATIONS

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## Waste management

The way that we manage our waste materials is a sign of the times. In our agrarian past, throwing garbage out into the street for roving pigs to eat seemed like a perfectly reasonable method of waste management, since most of what we threw away was organic material that increased the bulk of the pig and eliminated residuals we did not want. As manufacturing became a larger part of industry and materials had potential for recycling we saw the advent of another kind of scavenger, the "junk man," who pulled from the waste stream those materials that had value. This practice increased during the Great Depression and even more so during World War II. During the war there were shortages of metals and cloth as many raw materials were diverted from domestic use to war needs. People got into the habit of conservation. Things were not thrown away unless they had no further use either as is or in a remanufactured state. The situation has changed in modern times.

As Jim Hightower pointed out in the foreword to *War on Waste*, "Every year we toss into city dumps 50

million tons of paper, 41 million tons of food and yard waste, 13 million tons of metals, 12 million tons of glass, and 10 million tons of plastic.” In addition, he pointed out that the Environmental Defense Fund calculated that, as a nation, we throw away enough iron and steel to supply domestic auto-makers continuously; enough aluminum to rebuild our commercial air fleet every three months; and enough office and writing paper to build a 12-foot (3.7 m) Great Wall coast to coast every year. The examples go on and on. The opportunity to manage this tremendous resource through careful waste management practices is urgent. Waste is indeed a renewable resource. This renewable resource, if managed well, could provide us with the ability to preserve our natural non-renewable resources until we really need them.

Most states and major metropolitan areas have a hierarchy of waste management options that guide state and local planning. Although there are refinements of this list in some areas, the standard hierarchy, in order of preference, is: reduce, reuse, recycle, compost, waste-to-energy (incineration), and landfill. Looking at each of these options individually gives a better understanding of an integrated waste management system.

Managing waste through a process of reduction is almost always the first line of defense and viewed as most desirable by waste management professionals. Reduction is an attempt not to generate waste in the first place. This means making certain consumer decisions that eliminate the potential for waste generation. Buying produce in bulk so that packaging is kept at a minimum is one simple consumer decision that can have a profound effect, since over 40 percent of the waste stream is packaging material. To further reduce waste, consumers can bypass disposable items, such as disposable razors and cameras, and have small appliances repaired rather than buy new ones. Using cloth dish-towels and diapers eliminates paper towels and disposable diapers from the waste stream.

Reuse is often the second line of defense. This is the small area between avoiding waste and recycling post-consumer materials. A creative way to support reuse of materials is to make them available to those who can use them. Some cities have put in place a center where containers and various post-consumer materials that have been cleaned and source-separated are available to elementary school teachers for art projects. Another example of reuse is consignment shops. These stores will take clothing and small household items on consignment from people and then sell them and divide the profit between the store owner and the consignee.

Recycling is on the increase. Goals of recycling 15 percent, 25 percent, and even 50 percent of waste material have been called for in cities all across the United States. Some cities have gone even further in their recycling goals. For example, San Francisco’s *Mandatory Recycling and Composting Ordinance*, updated in 2009, requires residents to separate their waste into the categories of “recyclables”, “compostables”, and trash. The sorted items must then be placed in color-coded containers for pickup by sanitation workers (blue containers are for recyclable items, green containers are for compostable items, and black containers are for any leftover trash). San Francisco’s stated goal is to produce “zero waste” by the year 2020.

Many believe recycling is a major waste management solution. Industries in the United States are able to recycle quite a variety of materials. Paper, glass, metals, plastics, yard and food waste, motor oil, batteries, tires, asphalt, car bumpers, and all manner of scrap metal can be recycled. There is truly little that cannot be recycled, but in some cases, industry is not ready. Manufacturing equipment has not yet been sufficiently retrofitted to accommodate the heterogeneous nature of the waste stream. Also, communities are a dispersed source of “raw” material for manufacturers, and the cost of gathering sufficient tonnage of glass, tin, or other “raw” material for manufacturing is prohibitive. Probably the single most restrictive obstacle to full scale recycling is human behavior. As we look at recycling as a closed-loop waste management process we realize that we must source-separate and clean post-consumer waste materials, then we must get them to the point where they can be used and made into a new product. To close the loop, someone must buy that product. All of these activities demand a behavioral change on the part of consumers and manufacturers.

Composting is the biological breakdown of organic matter under aerobic conditions. Composting is seen as a major waste management option for large and small communities. The most common form of composting is the layering of leaves, grass and twigs and sometimes kitchen waste in a backyard compost bin. This very simple technology can also be done at the municipal level, as a community picks up leaves and other yard waste at the curb and trucks it to a central location where it is composted in long tent-shaped piles of refuse called windrows, or inside buildings where mechanical systems speed the compost process. Like recycling, composting requires a behavioral change on the part of homeowners. People must prepare the material and get it to the curb on the appropriate day. Some drawbacks to composting are

that the process can generate unpleasant odors if the compost pile is not managed well. This means turning the pile frequently and maintaining aerobic conditions so that decomposition proceeds rapidly.

Incineration is not really a new waste management option. Incineration of solid waste was practiced in the early 1900s. However, not until the early 1970s did we begin to look seriously at solid waste incineration with energy recovery as a major goal. There really are two types of waste-to-energy systems. One is a mass burn technology which does very little to the waste stream before it reaches the furnace. The other system is a refuse-derived fuel (RDF) in which waste is shredded before being delivered to the furnaces. Drawbacks to this system are ash disposal and control of air emissions. Concerns over dioxins in stack emissions has caused many supporters to think twice about waste-to-energy. In addition, the issue of where to dispose of the sometimes toxic ash residue must be dealt with.

Landfills are still the most common waste management option. Between 75 percent and 85 percent of the nation's waste still goes to landfills. Three types of landfills are constructed for different types of waste materials.

Type III landfills are the least expensive to build and can accommodate construction debris and other inert material. Most common are sanitary Type II landfills. These are constructed according to the criteria in subtitle D of the Resource Conservation and Recovery Act (RCRA). Newer municipal solid waste landfills are usually equipped with synthetic liners, leachate collection systems, monitoring wells and in some cases methane wells to draw off the gas that collects in landfills. Type II landfills will take any waste except hazardous waste. Hazardous waste can only be disposed of in a Type I landfill. These landfills are constructed in a more rigorous manner and are used primarily for contained chemicals and such waste as asbestos.

The biggest challenge surrounding modern landfills is the issue of siting. Not in my backyard (NIMBY) is a well-known syndrome. Current landfill construction has slowed and many of the old landfills have closed or are slated for closure. There are currently about 3,000 Type II landfills in the continental United States. As more landfills close, the life span of those remaining is shortened. Large multinational companies in the waste management business are attempting to site large regional landfills. In some cases, large companies are offering very attractive incentive packages to communities that are willing to site a landfill within their jurisdictions. Whatever method of disposal is used, the

process of managing waste must bring into play a cooperative effort between manufacturers, merchants, citizens, and waste management experts if it is to be successful.

*See also* Renewable energy; Source separation.

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## Waste reduction

Waste reduction aims to reduce environmental pollution by minimizing the generation of waste. It is also often an economically viable option because it requires an efficient use of raw materials. Waste-reduction methods include modifying industrial processes to reduce the amount of waste residue, changing raw materials, or recycling or reusing waste sources.

It is more difficult to alter existing industrial processes than it is to incorporate waste-reduction technologies into new operations. Large-scale changes in production equipment are essential to achieving waste reduction. Proper handling of materials and fugitive emissions reduction, as well as plugging leaks and preventing spills, all help in waste reduction. Process equipment must be checked on a regular basis for corrosion, vibrations, and leaks. Increases in automation and the prevention of vapor losses also help reduce waste generation.

Changes in what an industry puts into a process can be used to reduce the amount of waste generated.

One example is the substitution of raw materials such as water to clean a part instead of solvents. End-products can also be modified to help reduce waste. Another aspect of waste reduction is the control of fugitive emissions by placing a floating roof on open tanks, for example. Other waste-reduction options include the installation of condensers, automatic tank covers, and increasing tank heights. But these and other decisions on waste reduction very much depend on the size and structure of a company.

See also Industrial waste treatment; Recyclables; Reuse; Toxics use reduction legislation; Waste management; Waste stream.

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## Waste stream

Streams of gas, liquid, or solids that are the by-products of a treatment operation or industrial process. The term has also been used to describe the flow of solid waste from various sources, including individual homes. The term is often used by agencies such as the Environmental Protection Agency (EPA) in defining pollution prevention standards and creating industrial waste treatment initiatives. Many industries are now required to pretreat wastes before they are exposed to the open environment.

## Wastewater

Water used and discharged from homes, commercial establishments, industries, pollution control devices, and farms. The term wastewater is now more

commonly used than sewage, although the terms are fairly synonymous. Domestic or sanitary wastewater refers to waters used during the course of residential life or those discharged from restrooms. Industrial wastewaters refer to those generated by an industry. Municipal wastewaters are waters used by a municipality and as such would likely include both sanitary and industrial wastewaters. Combined wastewater refers to a mixture of sanitary or municipal wastewaters and stormwater created by rainfall.

Wastewater, depending on the source, can contain human waste, food particles, soaps, chemicals, and oils as well as bacteria and other disease-causing agents. In many cases, wastewater is discharged into surface waters such as lakes and streams. Stormwater also collects in these bodies of water as runoff. Because wastewater contains harmful chemicals, suspended particles, and bacteria, the water must be treated before deposition into surface waters, or in some cases before the treated water is reclaimed and used for future purposes. Wastewater treatment plants remove 60 percent of the solid particles in wastewater and aerate the water to add oxygen during primary treatment. Secondary treatment results in the removal of 90 percent of suspended solids. The remaining water, termed effluent, can be discharged into the environment. The U.S. Environmental Protection Agency (EPA) Office of Wastewater Management (OWM) regulates the quality of discharged water to ensure that the health of the waters and watersheds is maintained. The Federal Water Pollution Control Act (also known as the Clean Water Act) and the National Pollution Discharge Elimination System (NPDES) have set requirements on the quality of treated wastewater and for acquiring permits for wastewater discharges. These regulations must be met to prevent water pollution and prevent or reduce damage to aquatic environments and public health.

Reclaimed water from treatment plants can be utilized for irrigation and in power plants for cooling equipment. Reusing this water can conserve fresh water reserves. California has a reclaimed water project that saves 5.5 million gallons of fresh water a year, which is enough drinking water for 83 thousand households.

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## Water allocation

Water allocation is the process of distributing water supplies to meet the various requirements of a community or region.

Determining how to allocate water supplies requires the consideration of certain factors, including the source of the water and methods for obtaining it. The cost of the water supply and water treatment systems are also taken into account, and the intended uses are reviewed. Water use is classified by whether it can be done within the water course (instream use) or whether it needs to be removed (withdrawal use), and by whether the water can be returned after use (consumptive use) or is lost (nonconsumptive use). Instream uses include navigation, hydroelectric power, and fish and wildlife habitats. Consumptive uses make the water unavailable, either through evaporation or transpiration, or through incorporation into products or saltwater bodies. Water that is withdrawn but not consumed can be treated and returned to the water supply for reuse downstream.

The demand for water is determined by combining the sum of the amount of water required for instream needs with the sum of the amount of water needed for withdrawal uses. This sum is compared to the amount in the water supply. If it is equal to or greater than the sum, then the supply will meet the demand, but if this is not the case, measures to reduce consumption or increase the water supply need to be taken.

About 70 percent of water supplies worldwide go to food production. Industry uses about 23 percent and cities use about 7 percent of water supplies. In the western United States, for example, about 85 percent of the water supplies are used by farming operations at government-subsidized rates. Cities and industry as well as populations of fish and wildlife split the remaining 15 percent.

Some water allocation programs look toward conservation to meet water needs. Certain ordinances, in California for example, restrict and limit water usage. One method of enforcing compliance that has been used there is publishing the names of people who do not abide by the ordinances. Another method uses a special section of the police force for checking water use. These officers give first-time offenders information on water conservation. They fine repeat offenders.

Wells, dams, reservoirs, conveyance systems, and artificial ponds physically control water supplies. Wells draw out groundwater. The other constructions manage surface water. Some areas use desalinization techniques to get fresh water from seawater.

A well taps groundwater through a hole sunk to an aquifer. An aquifer is a permeable layer of rock containing water trapped by layers of impermeable rock. If the pressure is sufficient, the water will come to the surface under its force, a type of well known as an artesian well. If the pressure is not sufficient for an artesian well, a pump is used to mechanically drag the water to the surface.

Dams are constructed to halt some or all of a river's flow. The region behind the dams, where water collects, is called an impounding, or water collecting, reservoir. Each dam is designed for a specific use, though dam types may be combined depending on the river and the community's needs. Storage dams hold water during times of surplus for periods of deficit, such as holding the spring runoff for the summer dry season. Along with providing a water supply, storage dams can improve (or harm) habitats for fish and wildlife, create electricity, and help in irrigation and flood control. Diversion dams affect the course of a river, preventing it from flowing forward so that a conveyance system can redirect it to irrigate fields or to fill a distant storage reservoir. These are often used in the western United States, resulting in damage to downstream areas. Lack of water can destroy fisheries and wildfowl habitats, and shorelines and deltas erode when no sediment comes downstream to replace what the ocean washes away. Detention dams control floods and trap sediment. Floods are controlled to prevent destruction of communities and habitats downstream from the dam. Trapping sediment causes the downstream portion of the river to be cleaner.

Conveyance systems divert streams so they flow where humans most need them. Conveyance systems include ditches, canals, and aqueducts (also known as transmission stations). Artificial ponds store water that is pumped in using a conveyance system. Aqueducts

consist of closed conduits or pipes. A closed system helps to prevent contamination. A pump system helps the flow when the water level is not high enough for gravity to take over. Consumer demand for water varies by day and by season, and enclosed ground reservoirs and water towers may be used to store treated water and meet peak demands.

Only about 3 percent of the earth's water is fresh, and of that, 23 percent is available for use as surface water and groundwater. The rest is in the unusable form of glacial ice. This form of water will likely become increasingly available as polar regions of the earth warm due to climate change. The amount of freshwater is not increasing with the global population, and about a third of the people in the world now live in countries with water problems. These facts make the equitable allocation of water more difficult, and the need for wise water management more acute. Worldwide shortages also make it important for water management to control the quality of water. Farming runoff, urbanization, and industry all reduce water quality, which causes health and environmental problems, including algal blooms caused by nitrogen-rich fertilizers, and acid rain. One survey done by the Environmental Protection Agency (EPA) found that over half of the rivers, lakes, and streams in use in the United States have been nearly or totally destroyed by pollution. Pollutants have also made it difficult and expensive to recover and purify groundwater. Purification treatments in general can be expensive or difficult to obtain, and this causes poor water quality in many parts of the world.

Competing needs have also created severe problems that include lowered water pressure, decreased stream flow, land subsidence and increased salinity. Additionally, overgrazing, deforestation, loss of water-retaining topsoil, and strip mining decrease the amount of available water.

Treating sewage before returning it to the water supply and properly disposing of chemicals can improve water quality and increase the amount of usable water available. Reducing consumption can prevent water shortages. Households and industries can lower their water consumption by employing devices that decrease the amount of water used in, for example, showers, toilets, and dishwashers. Farmers can lower their water consumption by growing drought-resistant crops instead of crops requiring large quantities of water, such as alfalfa, and by using more efficient watering techniques. These methods not only stabilize water supplies, they also reduce the cost to the consumer. Another method for use in water shortages is desalinization of sea water.

Desalinization plants remove the salt from sea water and purify it. Although such plants can be expensive, the expense can prove to be less than attempting to pipe fresh water in from sources already strained.

Water allocation will become increasingly important as climate change and agricultural practices alter the water availability in some regions of the world. In the U.S., a crisis is looming in the mid-west, where the Ogallala Aquifer, a 174,000 square mile underground reservoir of water that underlies eight states, is being depleted at a rate that could exhaust it by 2030. Since the aquifer supplies water to over one-quarter of the irrigated crop land in the U.S., the consequences for could be dire.

*See also* Aquifer restoration; Drinking-water supply; Groundwater pollution; Safe Drinking Water Act (1974); Water quality standards.

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## Water conservation

Seventy-one percent of the earth's surface is covered by water—an area called the hydrosphere, which makes up all of the oceans and seas of the world. Only 3 percent of the earth's entire water is freshwater. This includes Arctic and Antarctic ice, groundwater, and all the rivers and freshwater lakes. The amount of usable freshwater is only about 0.003 percent of the total. To put this small percentage in perspective, if the total water supply is equal to one gallon, the volume of the usable freshwater supply would be less than one drop. This relatively small amount of freshwater is recycled and purified by the hydrologic cycle, which includes evaporation, condensation, precipitation, runoff, and percolation. Since most of life on earth depends on the availability of freshwater, one can say “water is life.”

Worldwide, agricultural irrigation uses about 80 percent of all freshwater. Cooling water for electrical

power plants, domestic consumption, and other industry use the remaining 20 percent. This figure varies widely from place to place. For example, in 2004 China used about two-thirds of its available water for agriculture. By contrast, in the same timeframe the United States used about 40 percent for agriculture, 40 percent for electrical cooling, 10 percent for domestic consumption, and 10 percent for industrial use.

Water conservation may be accomplished by improving crop water utilization efficiency and by decreasing the use of high-water-demanding crops and industrial products. The table shows the amount of water, in pounds, required to produce one pound of selected crops and industrial products (one gal = 7.8 lb).

Freshwater sources are either surface water (rivers and lakes) or groundwater. Water that flows on the surface of the land is called surface runoff. The relationship between surface runoff, precipitation, evaporation, and percolation is shown in the following equation: Surface runoff = precipitation - (evaporation + percolation): When surface runoff resulting from rainfall or snowmelt is confined to a well-defined channel it is called a river or stream runoff

Groundwater is surface water that has permeated through the soil particles and is trapped among porous soils and rock particles such as sandstone or shale. The upper zone of saturation, where all pores are filled with water, is the water table. It is estimated that the groundwater is equal to forty times the volume of all earth's freshwater including all the rivers and freshwater lakes of the world.

The movement of groundwater depends on the porosity of the material that holds the water. Most groundwater is held within sedimentary aquifers. Aquifers are underground layers of rock and soil that hold and produce an appreciable amount of water and can be pumped economically.

Water utilization efficiency is measured by water withdrawal and water consumption. Water withdrawal is water that is pumped from rivers, reservoirs, or groundwater wells, and is transported elsewhere for use. Water consumption is water that is withdrawn and returned to its source due to evaporation or transpiration.

Water consumption varies greatly throughout the world. A conservative figure for municipal use in the United States is around 150 gallons (568 L) per person per day. This includes home use for bathing, waste disposal, and landscape in addition to commercial and industrial use. The total water demand per person is around 4,500 gallons (17,000 L) per person per day when one accounts for the production of food, fiber,

and fuel. The consumptive use worldwide is considerably less than that for the United States.

According to the United Nations World Health Organization (WHO), 5 gallons (18 L) per person per day is considered a minimum water requirement. The majority of the people in the undeveloped world are unable to obtain the five gallon per day minimum requirement. The WHO estimates that nearly two billion people in the world risk consuming contaminated water. Waterborne diseases such as polio, typhoid, dysentery, and cholera kill nearly 25 million people per year. In order to meet this demand for freshwater, conservation is an obvious necessity.

Since irrigation consumes 80 percent of the world's usable water, improvements in agricultural use is the logical first step in water conservation. This can be accomplished by lining water delivery systems with concrete or other impervious materials to minimize deep percolation or by using drip irrigation systems to minimize evaporation losses. Drip irrigation systems have been successfully used on fruit trees, shrubs, and landscape plants.

Subsurface irrigation is an emerging technology with extremely high water utilization efficiency. Subsurface irrigation uses a special drip irrigation tubing that is buried 6–8 inches (15–20 cm) underground with 12–24 inches (26–50 cm) between lines. The tubing contains emitters, or drip outlets, that deliver water and dissolved nutrients at the plant's root zone at a desired rate. In addition to water conservation, subsurface irrigation has several advantages that overhead sprinklers do not: no overwatering, no disease or aeration problems, no runoff or erosion, no weeds, and no vandalism. Subsurface irrigation in California has been used on trees, field crops, and lawns with up to 50 percent water savings.

Xeriscape, the use of low water consuming plants, is a most suitable landscape to conserve water, especially in dry, hot urban regions such as the Southwestern United States, where approximately 50 percent of the domestic water consumption is used by lawns and non-drought tolerant landscape. Plants such as cacti and succulents, ceanothus, arctostaphylos, which is related to foothill manzanita, trailing rosemary (*Rosemarinus officinale*), and white rock rose (*Cistus cobariensis*) adapt well to hot, dry climates and help conserve water.

In addition to improving irrigation techniques, water conservation can be accomplished by improving domestic use of water. Such a conservation practice is the installation of the ultra-low-flush (ULF) toilets in homes and commercial buildings.

A standard toilet uses 5–7 gallons (19–26 L) of water per flush, while the ultra-low-flush toilet uses 1.5 gallons (5.7 L). Research performed in Santa Monica, California, demonstrated that replacing a standard toilet with an ULF saves 30–40 gallons (114–151 L) of water per day, which is equivalent to 10,000–16,000 gallons (37,850–60,500 L) per year.

Another way to conserve the freshwater supply is to extract freshwater from sea water by desalination. Desalination, the removal of soluble salts and other impurities from seawater by distillation or reverse osmosis (RO), is becoming an increasingly acceptable method to provide high quality pure water for drinking, cooking, and other home uses. It is estimated that the 1993 world production of desalinated water is about 3.5 billion gallons (13 billion L) per day. Most desalinated water is produced in Saudi Arabia, Persian Gulf Nations, and, more recently, in California. The cost of desalinated water depends upon the cost of energy. In the United States, it is about three dollars per thousand gallons, which is four to five times the cost paid by urban consumers and over 100 times the cost paid by farmers for irrigation water. The idea of using desalinated water for irrigation is, currently, cost prohibitive.

Water has played a vital role in the rise and fall of human cultures throughout history. The availability of usable water has always been a limiting factor for a region's ecological carrying capacity. It is important that humans learn to live within the limit of available natural resources. Conservation of water alone will not extend the natural carrying capacity for an indefinite period of time. Since the supply of available and usable water is finite, the consumption per person must be reduced. A permanent solution to the water shortage problem can be accomplished by living within the ecosystem carrying capacity or by reducing the number of consumers through effective control of population growth.

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Water cycle see **Hydrologic cycle**.

## Water diversion projects

Water diversion projects include the construction of dams, levees, pumping stations, irrigation canals, or any other manmade structure that modifies the natural flow of a waterway. Diversion projects may be developed for purposes of hydroelectric power generation, farm irrigation, consumer and industrial water supply, and flood control. Throughout human history, communities have altered river systems for their own advantage. There is evidence that large-scale projects dating over two thousand years ago existed in China, the Mediterranean, and the Middle East.

### Water diversion globally

In the early twenty-first century, water is one of the commodities deemed most important to the well-being of nations. By 2009, the world population of 6.7 billion was growing at an annual rate of almost eight million, and the global demand for water is expected to rise by 2–3 percent annually in the decades to come.

Globally, of the more than 48 thousand large dams (49.2 ft [15 m] or more in height, according to the International Commission of Large Dams, ICOLD), China has the greatest number with over half (24,671) of these large dams, followed by the United States and India with 6,575 and 4,291 respectively. Construction of new large dams continues, with 16 hundred dams currently being built. As of 2003, approximately 67 percent of these dams were being constructed in China, Japan, Iran, and Turkey. Large dams are responsible for 19 percent of the world's electricity supply.

Although clean freshwater is a valuable commodity and is in short supply in many regions of the world, issues surrounding its diversion and use are often the

Crop	Pounds of Water
1 lb. cotton	16,000
1 lb. beef	6,400
1 lb. rice	4,400
1 lb. loaf of bread	1,200
Industrial product	Pounds of Water
1 automobile	800,000
1 lb. aluminum	8,000
1 lb. paper	800
1 gallon gasoline	80

**Water diversion.** (Reproduced by permission of Gale, a part of Cengage Learning)

subject of heated debate. One large-scale dam project that has been hotly debated is the Three Gorges Dam in China on the Chang Jiang (Yangtze River) that will displace 1.4 million people upon completion and is in full operation. Another large dam project that has sparked debate is that of the Mekong River project in Southeast Asia. Nations of the region, which include China, Cambodia, Thailand, Laos, Burma, and Vietnam, want to control and develop the river basin; environmentalists want to protect it; and caught in the middle is the river itself and the millions who depend on it for their livelihood.

The fields and numerous tributaries that feed into the Mekong River are home to more than 50 million people. Although most of the Mekong basin remains undeveloped, more than two hundred dams have been proposed for the Mekong and its many tributaries. In addition to irrigating rice fields in arid regions, the diverted water would offer the opportunity for local inhabitants to produce a variety of crops, thereby fueling economic growth and development.

Yet tens of thousands of families will be forcibly relocated; fisheries will collapse as upstream access to millions of fish is blocked; farmland will be flooded; and development will further restrict the river, leading to potential worsening of floods. Like other major water diversion projects around the world, the Mekong project illustrates some of the profound social, economic, and environmental effects of damming and redirecting the world's rivers.

Despite concerns over the Mekong River population and ecosystem, several dams had either already been completed or were currently under construction in this region as of 2006. As of 2008, there were eleven additional proposals for the construction of dams on the mainstream of the Mekong River.

## Water diversion in the United States

Most large-scale water diversion projects in the United States are located along river systems in the western half of the country, where the dry, arid terrain is dependent on water diversion from high capacity rivers—like the Colorado, Columbia, Missouri, and Snake—for municipal and irrigation water supplies. The Hoover Dam, Grand Coulee Dam, Columbia River Basin Project, and scores of other dams and diversion projects are built and maintained by the U.S. Bureau of Reclamation (USBR), which was established by the Department of the Interior under the National Reclamation Act of 1902.

Water diversion practices and management in the Western states developed by necessity. Low precipitation levels in the western states meant that pioneer farmers had to find alternate methods of irrigating their crops. The USBR was so named because it was charged with the task of reclaiming the dry desert landscape for farming and homesteading through irrigation.

The Bureau's first major diversion project, the Hoover Dam and adjacent reservoir Lake Mead, was authorized in 1928 and completed in 1936. In addition to providing water for agriculture, community, and industrial use, the dam also generates 4 billion kilowatt-hours of hydroelectric power annually for California, Arizona, and Nevada.

The USBR has constructed more than six hundred dams and reservoirs. The Bureau is the largest wholesale water supplier in the United States, supplying irrigation water for 10 million acres of farmland and 31 million people across the West. In addition, USBR is the second largest producer of hydroelectric power generating over 42 billion kilowatt hours annually from its fifty-eight power plants, which is enough electricity to power 6 billion homes. Along with the U.S. Army Corps of Engineers, the Bureau is responsible for the majority of national water projects.

## Environmental impact

Although they occupy a smaller area compared to land and oceans, freshwaters are home to a relatively high proportion of biodiversity (species of animals, plants, and microorganisms), with more per unit area than any other environment (10 percent more than land, and 15 percent more than oceans). Some areas, such as the Amazon, Congo, Nile, and Mekong basins are densely populated with species and are termed hotspots. For example, the Mekong River houses over 1300 species of fish.



Members of the international Friends of the Earth group hold Israeli and Jordanian flags as they row kayaks in the Jordan River near Kibbutz Gesher, 2006. (AP Photo/Baz Ratner)

In the United States, legislation of water diversion and reclamation programs initially focused on agricultural development, job creation, and power generation. Eventually, the long-term environmental impact of some of these big river projects became apparent as western wetlands disappeared and scores of migratory salmon and other wildlife were designated endangered and threatened under the Endangered Species Act (ESA).

Diversion projects prevent migrating fish from returning to their native upstream spawning grounds. The California Department of Fish and Game estimates that two-thirds of the winter-run chinook salmon (*Oncorhynchus tshawytscha*) that spawn in the Sacramento River do not make it to the Pacific. Water diversion channels can be difficult to navigate, and water diversion pumps that feed the California Water Project kill and maim a large percentage of the migrating population each year. In addition, diversions for irrigation and residential water diversion lowers the water level of the river, slowing the progress of the salmon and increasing river water temperature to inhospitable levels. Despite the integration of fish lifts and fish ladders into modern dams, populations of native fish stocks are still greatly depleted by dam structures.

In addition to the problem of depleted fish stocks, migrating fish such as salmon and trout transport nutrients from oceans to rivers. When this fish population declines, so also does a significant amount of nitrogen (N) and phosphorus (P), which is typically released into rivers by decomposing carcasses. This nutrient loss affects the ecosystem as well as the fish supply. A renewed interest has been placed on modifying diversion projects to recover river ecosystems and biodiversity.

The impact of water diversion products on habitats in and around rivers, wetlands, and streams can be profound. The U.S. Army Corps of Engineers estimates that there are approximately 79,000 dams in the United States. Levees, dams, shoreline armoring, and other diversion structures change the geography, geology, and hydrology of the river, influencing flow levels, altering channels, and preventing natural erosion. These structures also block the natural flow of silt and sediments. The natural floodplain is frequently impacted, resulting in a two-fold effect: destroying wetland ecosystems and eliminating a recharging source for the groundwater table. Eventually, structures put in place to prevent flood damage may exacerbate the problem. Dams, levees, and other diversion devices

prevent water from heavy rainfalls from naturally dispersing onto floodplains and wetlands.

Water diversion projects also impact the atmosphere. Studies of large reservoirs created behind hydroelectric dams have suggested that decaying vegetation, submerged by flooding, may give off quantities of greenhouse gases—such as methane gas ( $\text{CH}_4$ )—equivalent to those from other sources of electricity. Thus, hydroelectric facilities that flood large areas of land may be significant contributors to climate change. The water quality of many reservoirs also poses a health hazard due to new forms of bacteria that grow in many of the rivers that have been diverted by dams.

In addition to giving off greenhouse gases, bacteria present in decaying vegetation can also change mercury (Hg), present in rocks underlying a reservoir, into a water-soluble form. The mercury accumulates in the bodies of fish and poses a health hazard to both wildlife and humans who depend on these fish as a food source. Methylmercury ( $[\text{CH}_3\text{Hg}]^+$ ), the most toxic form of the element, affects the immune system, alters genetic and enzyme systems, and damages the nervous system. Human exposure to methylmercury is almost entirely from eating contaminated fish and wildlife.

A rather surprising global impact of water diversion projects is that the weight of the world's collective reservoirs is speeding up the earth's rate of rotation and is altering the shape of earth's magnetic field, according to NASA geophysicist Dr. Benjamin Fong Chao.

### Human impact

Estimates suggest that approximately 40–80 million people have been physically displaced by dams worldwide. Millions of people living downstream from dams, particularly those who rely on natural floodplain function and fisheries, have suffered serious damage to their livelihoods, and the future productivity of their resources has been put at risk. Many of the displaced are not recognized as such, and therefore are not resettled or compensated. When compensation is provided, it is often inadequate. When the physically displaced are acknowledged, many are not included in resettlement programs. Moreover, those who are resettled seldom have their livelihoods restored; resettlement programs usually focus on physical relocation rather than the economic and social needs of the displaced.

Indigenous and tribal peoples and vulnerable ethnic minorities have suffered disproportionate levels of displacement and negative impacts on not only their livelihood, but also their cultural and spiritual

existence. Large dams have had significant adverse effects on cultural heritage through the loss, submergence, and degradation of plant and animal remains, burial sites, and archaeological monuments. The social, economic, and political status of minority groups often restricts their capacity to assert their interests and rights in land and natural resources, and restricts their role in decision-making that affects them.

In addition, affected indigenous populations living near reservoirs, as well as displaced people and downstream communities, have often faced adverse health conditions from environmental change and social disruption. Gender gaps have widened in these communities, and women have often borne a disproportionate share of the social costs while reaping few of the benefits.

### Policies and initiatives

Opposition to diversion projects and their impact on the environment began to pick up momentum in the 1970s. The National Environmental Policy Act of 1970 (NEPA) required thorough study of the environmental impacts of proposed water projects, and inspired greater public scrutiny of the effects of major water projects on the environment. In 1978, President Jimmy Carter (1924–) announced a new policy of cutting federal funding to new water projects, and developed a target list of dams and irrigation projects.

The financial approach to water management evolved as well. In 1982, the Reclamation Reform Act was signed into law, changing the acreage requirements for irrigation water subsidies in an effort to get large corporate farms to pay a fair market price for their water use. The Water Resources Development Act (WRDA)—legislation that is reauthorized by Congress every two years to finance U.S. Army Corps of Engineers' water projects—contained amendments in 1986 that required local interests to share the construction costs of water resource projects built by the Corps.

Environmentalists charge that the USBR and the Army Corps have a history of developing water projects for financial gain regardless of the cost to the environment. At the heart of the debate is the fundamental difference in the way natural water sources are viewed—as a fiscal commodity or as a natural resource. As American environmentalist Marc Reisner (1948–2000), author of the critically acclaimed *Cadillac Desert*, states: “In the East, to ‘waste’ water is to consume it needlessly or excessively. In the West, to waste water is not to consume it—to let it flow unimpeded and undiverted down rivers. To easterners,

‘conservation’ of water usually means protecting rivers from development; in the West, it means building dams.” Striking a balance between these two viewpoints to maintain both our riparian ecosystem and our Western communities and businesses, is the challenge facing American government, environmentalists, and other stakeholders.

Because irrigation for farming is a major consumer use of diverted water, improving growing techniques and choosing water-friendly crops may be one solution to minimizing water need. The agricultural industry can also take steps to meet irrigation needs in an environmentally responsible manner by ensuring that adequate fish screens are in place at diversion points and agreeing to lower water delivery rates at crucial spawning times to maintain river flow for migratory fish. Finally, conservation and restoration of agricultural wetlands through government plans such as the Wetlands Reserve Program can help solve long-term flooding problems on farms and restore the habitats of native species.

The WRDA of 1999 enacted an Army Corps of Engineers initiative known as Challenge 21 focused on replacing dams and other diversionary techniques for flood control with non-structural solutions and environmental restoration programs. Specifically, the initiative called for less environmentally-invasive programs for preventing flood damage, including federally-funded voluntary buyouts of property on flood plains and restoration of altered flood plain ecosystems.

International agreements and organizations have also established standards for minimizing the negative impact of human activities on biodiversity. Legal coalitions include the World Charter for Nature, the Convention on Biological Diversity, and Agenda 21; international organizations such as the World Bank, the World Business Council on Sustainable Development, and the International Union for the Conservation of Nature (IUCN), have contributed to the development of accepted standards. These standards involve conservation of species and ecosystems, the recovery of degraded ecosystems, the conservation of ecological functions or processes, securing of adequate information for decision-making, and the adherence to high standards for environmental impact assessments.

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American Rivers, 1025 Vermont Ave., N.W. Suite 720, Washington, DC, USA, 20005, (202) 347-7550, (202) 347-9240, [amrivers@amrivers.org](mailto:amrivers@amrivers.org), <http://www.amrivers.org>

International Rivers Network, 1847 Berkeley Way, Berkeley, CA, USA, 94703, (510) 848-1155, (510) 848-1008, [info@irn.org](mailto:info@irn.org), <http://www.irn.org>

U.S. Bureau of Reclamation, Freedom of Information Act (FOIA) Office, PO Box 25007, D-7924, Denver, CO, USA, 80225-0007, (303) 445-2048, (303) 445-6575, (800) 822-7646, [borfoia@usbr.gov](mailto:borfoia@usbr.gov), <http://www.usbr.gov>

Paula Anne Ford-Martin

## Water Environment Federation

Dedicated to “the preservation and enhancement of water quality worldwide,” the Water Environment Federation (WEF) is an international professional organization of water quality experts. WEF is made up of over 40,000 water professionals, including engineers, biologists, government officials, treatment plant managers and operators, laboratory technicians, equipment manufacturers and distributors, and educators.

WEF was founded in 1928 as the Federation of Sewage Works Associations and served as the publisher of the *Sewage Works Journal*. The organization has also been known as the Water Pollution Control Federation. Its scope has broadened significantly since its inception, and WEF currently publishes a variety of materials relating to the water industry. WEF also sponsors seminars, conferences, and briefings; offers technical training and education; produces informational videos for students; and provides input on environmental legislation and regulations to government bodies.

The publications issued by WEF cover a multitude of topics and are geared toward a variety of readers. Technical publications, including manuals developed by WEF's Technical Practice Committee, brief water-quality professionals on design, operation, and management innovations in the field. Periodicals and newsletters address such issues as industry trends, research findings, water analysis topics, job safety, regulatory and legislative developments, and job openings in the profession.

WEF's technical training and educational programs are geared toward both professionals and students. Training courses, online archives, and study guides are available on such topics as wastewater treatment, waste stabilization ponds, and wastewater facility management. The federation also distributes health and safety videos developed by municipalities. For students, WEF offers *The Water Environment Curriculum*, a series of videos and guides outlining water quality issues geared toward children in fifth through ninth grades. *The Water Environment Curriculum* includes lessons on surface water, groundwater, wastewater, and water conservation. WEF also publishes guides on water quality issues for the general public.

WEF's major event is its annual conference, the largest water quality and pollution control exposition in North America. The conference features technical sessions, expert speakers, and exhibits run by companies in the water quality industry. The federation also holds specialty conferences on such topics as toxicity, landfills, and surface water quality. Two other noteworthy briefings are WEF's Pacific Rim Conference and the Washington Briefing. The Pacific Rim Conference gathers representatives from Pacific Rim countries to discuss environmental problems in that area. The Washington Briefing convenes government officials and water quality experts to discuss current issues affecting the industry.

In addition to its publications, educational tools, and conferences, WEF sponsors an affiliate, the Water

Environment Research Foundation, which works to develop and implement water quality innovations. The research foundation's programs strive to find ways to enhance water quality, develop broad-based approaches to water quality that encompass all aspects of the environment, use new technology, and promote interaction among individuals involved in the water quality profession.

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Kristin Palm

## Water hyacinth

The water hyacinth (*Eichhornia crassipes*) is an aquatic plant commonly found in the southern United States, including Florida, Texas, the Gulf Coast, and California. As an introduced species, it has spread rapidly and is now viewed as a noxious weed. Like other aquatic weeds, water hyacinth can grow rapidly and clog waterways, damaging underwater equipment and impairing navigational and recreational facilities. It is also viewed as a weed in many tropical parts of the world.

Water hyacinths can be either free-floating or rooted, depending on the depth of the water. The plant height may vary from a few inches to 3 feet (0.9 m). The leaves, growing in rosettes, are glossy green and may be up to 8 inches (20 cm) long and 6 inches (15 cm) wide. The showy, attractive flowers may be blue, violet, or white and grow in spikes of several flowers. The leaf blades are inflated with air sacs, which enable the plants to float in water. The seeds are very long-lived. Studies show that seeds that have been buried in mud for many years remain viable under the right conditions, leading to reinfestation of lakes long after chemical treatment.



**Water hyacinths (*Eichhornia crassipes*) clog a channel in the California delta.** (Richard R. Hansen / Photo Researchers, Inc.)

Weed harvesting methods to control water hyacinth growth are expensive and not very effective. The weight and volume of the harvested material usually adds greatly to the costs of hauling and disposal. Attempts to use the harvested material as soil fertilizer or enhancer have failed because of the low mineral and nutritive content of the plant's tissue. Chemical methods to control water hyacinth usually require careful and repeated applications. Commonly used herbicides include Rodeo and Diquat.

In some situations, aquatic weeds serve beneficial purposes. Aquatic plants can be integral components of wastewater treatment, particularly in warm climates. Wastewater is routed through lagoons or ponds with dense growths of water hyacinth. In waters containing excess nutrients (nitrates and phosphates) and turbidity, water hyacinth plants have been shown to improve water quality by taking up the excess nutrients into the plant and by settling the suspended solids which cause turbidity. They also add oxygen to the water through photosynthesis. Oxygen is an essential requirement for the decomposition of wastewater,

and oxygen deficiency is a common problem in waste treatment systems. Water hyacinth has been used successfully in California and Florida, and more states are exploring the possibility of using water hyacinth in waste treatment systems.

Usha Vedagiri

## Water pollution

Among the many environmental problems that offend and concern us, perhaps none is as powerful and dramatic as water pollution. Water full of debris, sludge, and dark foam is surely one of the strongest and most easily recognized symbols of our misuse of the environment.

The verb pollute is derived from the Latin *polluere*: to foul or corrupt. Our most common meaning is to make something unfit or harmful to living things,

Pollutant	Source	Effects
Nutrients, including nitrogen compounds	Fertilizer, sewage, acid rain from motor vehicles and power plants	Creates algae blooms, destroys marine life
Chlorinated hydrocarbons: pesticides, DDT, PCBs	Agricultural runoff, industrial waste	Contaminates and harms fish and shellfish
Petroleum hydrocarbons	Oil spills, industrial discharge, urban runoff	Kills or harms marine life, damages ecosystems
Heavy metal: arsenic, cadmium, copper, lead, zinc	Industrial waste, mining	Contaminate and harms fish
Soil and other particulate matter	Soil erosion from construction and farming; dredging, dying algae	Smothers shellfish beds, blocks light needed by marine plant life
Plastics	Ship dumping, household waste, litter	Strangles, mutilates wildlife, damages natural habitats

**Major pollutants of coastal waters around the United States.** (Reproduced by permission of Gale, a part of Cengage Learning)

especially by the addition of waste matter or sewage. A broader definition might include any physical, biological, or chemical change in water quality that adversely affects living organisms or makes water unsuitable for desired uses.

Paradoxically, however, a change that adversely affects one organism may be advantageous to another. Nutrients that stimulate oxygen consumption by bacteria and other decomposers in a river or lake, for instance, may be lethal to fish but will stimulate a flourishing community of decomposers. Whether the quality of the water has suffered depends on your perspective. There are natural sources of water contamination, such as poison springs, oil seeps, and sedimentation from erosion, but most discussions of water pollution focus on human-caused changes that affect water quality or usability.

The most serious water pollutants in terms of human health worldwide are pathogenic organisms. Altogether, at least 25 million deaths each year are blamed on these water-related diseases, including nearly two-thirds of the mortalities of children under five years old. The main source of these pathogens is from untreated or improperly treated human wastes. In the more developed countries, sewage treatment plants and other pollution control techniques have reduced or eliminated most of the worst sources of pathogens in inland surface waters. The United Nations estimates that 90 percent of the people in high-income countries have adequate sewage disposal, and 95 percent have clean drinking water.

For developing countries, the situation is quite different. The United Nations estimates that three-quarters of the population in less-developed countries have inadequate sanitation, and that less than half

have access to clean drinking water. Conditions are generally worse in remote, rural areas where sewage treatment is usually primitive or nonexistent, and purified water is either unavailable or too expensive to obtain. In the thirty-three poorest countries, 60 percent of the urban population have access to clean drinking water but only 20 percent of people living in rural areas do.

This lack of pollution control is reflected in surface and groundwater quality in countries that lack the resources or political will to enforce pollution control. In Poland, for example, 95 percent of all surface water is unfit to drink. The Vistula River, which winds through the country's most heavily industrialized region, is so badly polluted that more than half the river is utterly devoid of life and unsuited even for industrial use. In Russia, the lower Volga River is reported to be on the brink of disaster due to the 300 million tons (272.2 million metric tons) of solid waste and 5 trillion gallons (20 trillion liters) of liquid effluent dumped into it annually.

The less-developed countries of South America, Africa, and Asia have even worse water quality than do the poorer countries of Europe. Sewage treatment is usually either totally lacking or woefully inadequate. Low technological capabilities and little money for pollution control are made even worse by burgeoning populations, rapid urbanization, and the shift of heavy industry from developed countries where pollution laws are strict to less developed countries where regulations are more lenient.

In Malaysia, forty-two of fifty major rivers are reported to be ecological disasters. Residues from palm oil and rubber manufacturing, along with heavy erosion from logging of tropical rain forests,

have destroyed all higher forms of life in most of these rivers. In the Philippines, domestic sewage makes up 60–70 percent of the total volume of Manila's Pasig River. Thousands of people use the river not only for bathing and washing clothes, but also as their source of drinking and cooking water. Only 63 percent of groundwater resources in China can be used for drinking water due to pollution levels. As of 2005, wastewater treatment in China was estimated at or below 20 percent; however, the government now requires wastewater treatment facilities in all Chinese cities, which will raise the percentage of treated water to 45 to 60 percent. Approximately 75 percent of lakes in China are reported to be seriously polluted.

Pollution control standards and regulations usually distinguish between point and nonpoint pollution sources. Factories, power plants, sewage treatment plants, underground coal mines, and oil wells are classified as point sources because they discharge pollution from specific locations, such as drainpipes, ditches, or sewer outfalls. These sources are discrete and identifiable, so they are relatively easy to monitor and regulate. It is generally possible to divert effluent from the waste streams of these sources and treat it before it enters the environment.

In contrast, nonpoint sources of water pollution are scattered or diffused, having no specific location where they discharge into a particular body of water. Nonpoint sources include runoff from farm fields, golf courses, lawns and gardens, construction sites, logging areas, roads, streets, and parking lots. Multiple origins and scattered locations make this pollution more difficult to monitor, regulate, and treat than point sources.

Desert soils often contain high salt concentrations that can be mobilized by irrigation and concentrated by evaporation, reaching levels that are toxic for plants and animals. Salt levels in the San Joaquin River in central California rose about 50 percent from 1930 to 1970 as a result of agricultural runoff. Salinity levels in the Colorado River and surrounding farm fields had become so high that millions of acres of valuable croplands were abandoned. The United States constructed a huge desalination plant at Yuma, Arizona, to reduce salinity in the river. In northern states, millions of tons of sodium chloride (NaCl) and calcium chloride (CaCl<sub>2</sub>) are used to melt road ice in the winter. The corrosive damage to highways and automobiles and the toxic effects on vegetation are enormous. Leaching of road salts into surface waters has a similarly devastating effect on aquatic ecosystems.

Acids are released by mining and as by-products of industrial processes, such as leather tanning, metal smelting and plating, petroleum distillation, and organic chemical synthesis. Coal mining is an especially important source of acid water pollution. Sulfides (S<sup>2-</sup>) in coal are solubilized to make sulfuric acid. Thousands of miles of streams in the United States have been poisoned by acids and metals, some so severely that they are essentially lifeless.

Thousands of different natural and synthetic organic chemicals are used in the chemical industry to make pesticides, plastics, pharmaceuticals, pigments, and other products that we use in everyday life. Many of these chemicals are highly toxic. Exposure to very low concentrations can cause birth defects, genetic disorders, cancer, and can affect reproductive development. Some synthetic chemicals are resistant to degradation, allowing them to persist in the environment for many years. Contamination of surface waters and groundwater by these chemicals is a serious threat to human health.

Hundreds of millions of tons of hazardous organic wastes are thought to be stored in dumps, landfills, lagoons, and underground tanks in the United States. Many, perhaps most, of these sites are leaking toxic chemicals into surface waters or groundwater or both. The Environmental Protection Agency (EPA) estimates that about 26,000 hazardous waste sites will require cleanup because they pose an imminent threat to public health, mostly through water pollution.

In addition to pollution from natural sources and industrial runoff, the possible intentional pollution of water supplies and resources is a recognized vulnerability and an area of concern for scientists and security specialists.

Although the oceans are vast, unmistakable signs of human abuse can be seen even in the most remote places. Garbage and human wastes from coastal cities are dumped into the ocean. Silt, fertilizers, and pesticides from farm fields smothered coral reefs, coastal spawning beds, and result in eutrophication (excess nutrient deposition into bodies of water altering water quality) of estuaries. Every year millions of tons of plastic litter and discarded fishing nets entangle aquatic organisms, dooming them to a slow death. Generally coastal areas, where the highest concentrations of sea life are found and human activities take place, are most critically affected. Solid wastes and toxic substances derived from discarded computers and other types of electronics (e-waste) are also a growing concern.

The amount of oxygen dissolved in water is a good indicator of water quality and of the kinds of life it will support. Water with an oxygen content above eight parts per million (ppm) will support game fish and other desirable forms of aquatic life. Water with less than two ppm oxygen will support only worms, bacteria, fungi, and other decomposers. Oxygen is added to water by diffusion from the air, especially when turbulence and mixing rates are high, and by photosynthesis of green plants, algae, and cyanobacteria. Oxygen is removed from water by respiration and chemical processes that consume oxygen. As water temperature increases, the amount of dissolved oxygen decreases. Global climate change is resulting in a warming of the Earth that could affect water quality and the health of aquatic ecosystems and organisms.

The Federal Water Pollution Control Act was passed in 1972 to “restore and maintain the physical, chemical, and biological integrity of the nation’s waters by preventing point and nonpoint pollution sources, providing assistance to publicly owned treatment works for the improvement of wastewater treatment, and maintaining the integrity of wetlands.” This act is more commonly referred to as the Clean Water Act (CWA) and regulates both direct and indirect discharges of the 126 priority pollutants, conventional pollutants (e.g., total suspended solids, oils), and non-conventional pollutants, which are any pollutants not included in the two former categories. The National Pollutant Discharge Elimination System (NPDES) regulates direct (or point source) discharges of pollutants. A permit must be obtained to discharge pollutants into navigable waters after review of analytical data provided by the applicant detailing the pollutant content of the effluent (treated water).

In spite of the multitude of bad news about water quality, some encouraging pollution control stories are emerging. One of the most outstanding examples is the Thames River in London. Since the beginning of the Industrial Revolution, the Thames had been little more than an open sewer, full of vile and toxic waste products from domestic and industrial sewers. In the 1950s, however, England undertook a massive cleanup of the Thames. More than \$250 million in public funds plus millions more from industry were spent to curb pollution. By the early 1980s, the river was showing remarkable signs of rejuvenation. Oxygen levels had rebounded and some 95 species of fish had returned, including the pollution-sensitive salmon, which had not been seen in London for three hundred years. With a little effort, care, and concern for the environment, similar improvements can develop elsewhere.

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William P. Cunningham

## Water quality

Water quality refers to a wide range of water characteristics, including biological, chemical, and physical descriptions of water clarity or contamination. Water quality assessment usually involves the examination of a lake, river, bay, aquifer, or other water body for characteristics that are mandated by municipal, state, and/or federal legislation. The most important of these attributes are pollutants that deplete the oxygen content of the water or cause disease, nutrients that stimulate excessive plant growth, synthetic organic and inorganic chemicals, mineral substances, sediments, radioactive substances, and temperature.

Since the 1950s, routine tests for water quality have evaluated temperature, turbidity, color, odor, total solids after evaporation, hardness (pH), and concentrations of carbon dioxide, iron, nitrogen, chloride, active



**Taking water samples from river to test quality on industrial mining complex, Ghana. Image shot 2004. (© Greenshoots Communications / Alamy)**

chlorine, microorganisms, coliform bacteria, and amorphous matter. More parameters were added in the intervening decades, in response to growing public concern over water quality: these included algal growth, chemical oxygen demand, and the presence of hydrocarbons, metals, and other toxic substances.

Water quality is evaluated through a set of samples taken at different depths of water (a water column), if the watercourse is sufficiently deep, or at selected sites in a shallower watercourse. Because quality conditions change continually, each set of samples reflects the conditions at the time of sampling. Over time, samples collected from the same site can provide an indication of the temporal quality of the particular watercourse, which can be used to reveal conditions when water quality deteriorates (e.g., runoff following excessive rainfall).

To ensure that quality measurements are consistent at different times and locations, standards are legally set

for various water uses and contaminant levels. As well, the testing protocols are standardized, allowing comparison of results obtained from different testing facilities, and permitting the auditing of testing laboratories to ensure that the tests are being done in a valid fashion. Two general types of standards are used around the globe to measure water quality. Water quality-based standards ensure that a water body is clean enough for its expected uses, which can include fishing, swimming, industrial use, or drinking. Technology based standards are set for wastewater entering a water body so that overall water conditions remain acceptable. In the United States, the Environmental Protection Agency is responsible for developing water quality standards and criteria for surface, ground, and marine waters.

Water quality standards are based upon criteria that designate acceptable levels of specific pollutants, water clarity, or oxygen content. Acceptable levels of these criteria are typically measured in parts per million (ppm) of each contaminant or nutrient. These measurements allow scientists to assess whether or not a body of water meets the prescribed water quality standards. If standards are not met, then local governing bodies or industries must develop and implement cleanup strategies that target specific criteria.

In the United States and many other countries, national water quality criteria have been developed for most conventional, toxic, and non-conventional pollutants. Conventional pollutants include suspended solids, biochemical oxygen demand (BOD), pH (acidity or alkalinity), presence and/or quantity of fecal coliform bacteria, oil, and grease. Toxic priority pollutants include metals and organic chemicals. Non-conventional pollutants are any other contaminants that harm humans or marine resources and require regulation.

Drinking water must meet especially high standards to be safe for human consumption, and its quality is strictly monitored in most developed countries including the United States. Most governments have a legal responsibility to maintain acceptable drinking water quality. Indeed, in the U.S., the Clean Water Act was conceived based on the belief that clean water was a national necessity.

Until 1974, efforts to maintain acceptable levels of drinking water quality in the United States were limited to preventing the spread of contagious diseases. The Safe Drinking Water Act of 1974 expanded the government's regulatory role to cover all substances that may adversely affect human health or a water body's odor or appearance. This act established national regulations for acceptable levels of various contaminants.

Both point and nonpoint sources of pollution affect water quality. Point sources are discrete locations that discharge pollutants, mainly industrial outflow pipes or sewage treatment plants. Nonpoint sources are more diffuse, and include storm runoff and runoff from farming, logging, construction, and other land use activities.

In the United States, over 50 percent of the population relies on underground sources for drinking water. Because groundwater receives an enormous range of agricultural, industrial, and urban pollutants, groundwater quality is a growing concern. Preventing groundwater pollution is especially important because remedial action in an aquifer is expensive and technologically difficult. Major sources of groundwater pollution include nitrate contamination from septic systems, organic pollutants from leaking fuel tanks and other storage containers, and an array of contaminants leached from landfills.

In rivers, the most extensive causes of water quality impairment are usually siltation, nutrient concentration (nitrogen and phosphorus), fecal coliform bacteria, and low dissolved oxygen levels caused by high organic content (e.g., sewage, grass clippings, pasture and feedlot runoff). Agricultural runoff including pesticides, fertilizers, and sediments is the largest source of river pollution, followed by municipal sewage discharge. Estuaries, rich ecosystems of mixed salt and fresh water where rivers enter a sea or ocean, often have serious water quality problems. Estuarine contaminants are usually the same as those in rivers—high organic content and low oxygen, disease-causing pathogens, organic chemicals, and municipal sewage discharge.

In most lakes, water quality is affected primarily by nitrogen and phosphorus loading, siltation and low dissolved oxygen. Like rivers, lakes suffer from agricultural runoff, habitat modification, storm runoff, and municipal sewage effluent. Lakes especially suffer from eutrophication (the excessive growth of aquatic algae and other plants) caused by high levels of nutrients. This burst of growth, also called an algal bloom, feeds on concentrated nutrients. Thick mats of algae and other aquatic plants clog water systems, increase turbidity, and suffocate aquatic animals so that an entire ecosystem is disrupted.

Water quality degradation affects the stability of aquatic ecosystems as well as human health. Much is still unknown about the effects of specific pollutants on ecosystem health. However, fish and other aquatic organisms exposed to elevated levels of pollutants may have lowered reproduction and growth rates, diseases, and, in severe cases, high death rates.

Even ocean waters, with their massive volume of water, are vulnerable. A very recent example began on April 20, 2010, when the Deepwater Horizon oil drilling platform exploded and sank in the Gulf of Mexico, triggering the rupture of a deep water oil pipeline. Estimates were that up to 60,000 barrels of oil (2,500,000 US gallons) per day spewed into the gulf waters prior before the rupture was capped on 15 July. Some beaches have been soiled and wildlife affected. But, as of July 2010, the ultimate environmental fate of the massive amount of oil that remains underwater is unknown. Fears are that the oil will be pushed ashore during a tropical storm or hurricane, which could be disastrous for the coastline of the gulf states.

*See also* Cultural eutrophication.

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## Water quality standards

Water quality standards refer to mandated conditions that drinking and recreational water must achieve to be judged acceptable for use. Conversely, failure to meet the various water quality standards for organic chemicals, inorganic chemicals and biological material including bacteria, viruses and protozoa by different municipal, state and federal government authorities can trigger actions designed to restore water quality.

The development of water quality standards was first mandated in the United States by the Water Quality Act of 1965. The legally enforceable legislation was expanded by requirements in the Federal Water Pollution Control Act of 1972 (PL 92-500), with amendments in 1977, 1982, and 1987 (collectively referred to as the Clean Water Act).

The legislative process is used to establish standards for stream water quality by taking into account the use and value of a stream for public water supplies,

propagation of fish and wildlife, recreational purposes, as well as agricultural, industrial, and other legitimate uses. The goals of water quality standards are to protect public health and the environment, and to maintain a standard of water quality consistent with its designated uses.

To establish water quality standards for a water body, officials (1) determine the designated beneficial water use; (2) adopt suitable water quality criteria to protect and maintain that use; and (3) develop a plan for implementing and enforcing the water quality criteria. Water quality is evaluated based on how well the designated uses are supported.

The Clean Water Act requires that, whenever possible, water quality standards should ensure the protection and propagation of fish, shellfish, and wildlife and should provide for recreation. States have primary responsibility for designating stream segment uses, so stream uses may vary from state to state. However, stream use as designated by one state must not result in the violation of another state's use of the same stream.

Before the passage of the Clean Water Act, water pollution control efforts were considered successful if they achieved water quality standards. Water quality standards were retained as part of the overall strategy to control water pollution. Rather than using water quality standards as the highest goal for determining water quality, state and federal authorities consider water quality control standards to be the lowest acceptable level of water quality. In addition, point sources of pollution (i.e., addition of a contaminant at a single site, such as a pipeline) may be subject to more stringent requirements.

The 1987 amendments to the Clean Water Act required states to identify water not meeting its standards due to nonpoint source pollution (i.e., addition of pollutants from a wider geographical area, such as runoff from a field), identify general and specific nonpoint sources causing the problems, and develop management plans for the control of these sources.

The Clean Water Act charged the federal government with the responsibility of setting standards for water quality and enforcing those standards. Until the implementation of the act, this responsibility rested with the individual states (who enacted various standards). The fact that state powers were co-opted by federal authorities remains a source of rancor for some.

*See also* Agricultural pollution; Criteria pollutant; Marine pollution; Runoff; Thermal pollution.

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## Water reclamation

Water reclamation refers to the process where potable (drinkable) water is supplied, through the development of water projects such as dams and canals, to areas where water was not readily available. As well, water reclamation is the biological and chemical processing of domestic and industrial wastewater, which removes sufficient impurities from the water to allow the water to be returned to the surface watercourse or underground source (i.e., aquifer) from which it was originally withdrawn.

In the United States, the responsibility for the water reclamation-aided improvement of arid land is the Bureau of Reclamation, in the Department of the Interior. This federal agency built dams, reservoirs, and other water development projects in the seventeen western states of the U.S. The mission of the Bureau of Reclamation in the early 1900s was to serve the irrigation needs of farms of 160 acres or less. By the 1930s the bureau's constituency expanded to larger farms, towns, and cities. The Army Corps of Engineers and the Department of Agriculture Soil Conservation Service (now called the Natural Resources Conservation Service) are also responsible for providing irrigation water in addition to providing flood control, drainage, and navigation.

During the early 1900s, water reclamation projects were a means of providing an opportunity for people to settle in the western United States. The projects provided inexpensive, government-subsidized water used for transportation, farming, industry, and by municipalities for drinking water and electricity. During the Depression era of the 1930s, the Bureau of Reclamation and the Public Works Administration worked

together on water projects, which became an important part of the nation's economic recovery program.

Over the years, there have been numerous water reclamation projects. A year after the formation of the Bureau of Reclamation, the agency was working on six projects. By 1907, it was participating in the development of twenty-seven water projects. From 1905 to 1991, the Bureau of Reclamation built over 493 reservoirs and diversion dams, 7,670 miles (12,341 km) of irrigation canals, 1,440 miles (2,317 km) of pipelines and tunnels, and 53 hydroelectric power plants. All the larger rivers in the U.S. have been altered in some way by water reclamation projects.

Important wildlife habitat and wildlife were lost as a result of these efforts to create massive dams. Dam building along the Colorado River has led to the decline of eight species of fish that are now considered Endangered. Habitat loss can result from flooding areas to create reservoirs or from cutting off water and nutrients from naturally occurring periodic flooding. During dam construction, stream-side habitat communities can be altered and destroyed from diverting the course of the river and excavation and dredging activities related to dam building. The ecology of these areas typically changes from that of a river to that commonly found along lakes and reservoirs.

Another ecological change occurs from dams cutting off sediment suspended in rivers from flowing downstream. As a result, sediment builds up in dams, reducing their volume and lowering their life expectancy. For example, the volume of Lake Waco in Texas was reduced by half over a thirty-four-year period. When dams trap silt and sediment, erosion can result downstream. Below the Hoover Dam, millions of cubic yards of sediment were eroded over a 100 miles (160 km) stretch of the Colorado River.

Water reclamation projects continue. Israel reclaims over 70 percent of its wastewater, to irrigate over 47,000 acres (19,000 ha) of farm land. The city of Los Angeles, California, reuses its treated wastewater to recharge its underground aquifers, which it taps for drinking water. The city of St. Petersburg, Florida, has a unique system in which it provides two sources of water; one source is potable water used for purposes including drinking, cooking, and washing, and the other source is water reclaimed from wastewater that is reused for irrigating parks, median strips along roads, and residential lawns. Because the treated wastewater contains nutrients such as nitrogen and phosphorus, less fertilizer is needed when used for irrigation.

Securing sufficient amounts of water while sustaining a healthy environment can be accomplished in many ways using all types of water reclamation projects including water reclamation.

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## Water resources

Water resources refer to surface or underground sources of water that are accessible for use.

The availability of water is one of the most serious environmental issues of the twentieth century. Water is abundant globally. There are 326 million cubic miles (1.36 billion km<sup>3</sup>) of water on earth. Although 99 percent of this water is either unusable (too salty) or unavailable (ice caps and deep groundwater storage), the remaining 1 percent can theoretically all future water needs.

The reality, however, for millions of people who have inadequate fresh water, is that the available water is not distributed equally. A country such as Canada, which has abundant surface water, is relatively unpopulated, while African nations that contain a greater population have far less available water. This situation that has been predicted to become exacerbated in some regions as climate change continues. Compounding the difficulty, some available water sources are contaminated by water pollution, which not only can reduce the availability of fresh water but threaten the health of those who consume the water without treating it to remove the contaminants.

The demand for water varies worldwide, with 2008 statistics from the Pacific Institute chronicling water consumption of about 264,000 gallons (1,000 m<sup>3</sup>) per person, per year in wealthier developed countries. In contrast, over one-half of the population in the developing countries of North Africa and the Middle East live on a fraction of this amount. Population growth and droughts have forced people to use water more



**Aerial view of Hoover Dam and the Colorado River Bridge.**  
(Andy Z. /Shutterstock.com)

efficiently. However, water conservation alone will not solve water scarcity problems.

Increasing demands for water and the disparity of water distribution have sparked proposals that have included the towing of icebergs from polar regions to the lower latitudes, desalination of ocean water, cloud seeding, and transporting water thousands of kilometers from water-rich to water-poor regions. Whether these initiatives are feasible or desirable environmentally, economically, and politically is debatable. However, they do reflect the global concern with water resources.

Historically, more conventional, large public investment water development schemes have been a vehicle for economic development. As a result, about 75 percent of the fresh water used worldwide is used for irrigation, with 90 percent used for this purpose in some developing countries, where the lack of efficient irrigation technology can result in a wasteful loss of a sizable portion of the water. In some nations, twice as much water is used to produce one-half the crop yields of wealthier countries.

Multi-purpose reservoir projects that generate hydroelectric power, control floods, provide recreational benefits, and supply water have become commonplace worldwide. Hydroelectric power has fostered both municipal and industrial development. Since 1972, 45 percent of the dams constructed with World Bank support were primarily for hydropower, in contrast to 55 percent for irrigation and/or flood control. Although the pace of dam construction has slowed worldwide, large projects are still being constructed. For example, the Three Gorges Dam on the Yangtze River, China, which was completed on 30 October 2008, cost USD\$26 billion and is the largest hydroelectric power project in the world.

Dams and water transfer systems constructed for economic benefit often carry with them environmental costs. Wildlife habitat is flooded by reservoir pools, and streamflow volumes and patterns are altered, affecting aquatic ecosystems. The modification of flow by dams in the Columbia River of the United States has either eliminated or severely reduced natural spawning runs of salmon in much of the river. The reservoir created by the Three Gorges Dam displaced 1.3 million people. The relocation of people in response to water resource development can also bring unwanted environmental consequences. In developing countries, relocated people seek new land to cultivate, graze livestock, or build new villages, often accelerating deforestation and land degradation.

Small-scale projects offer environmentally attractive alternatives to large dams and reservoirs. Mini-hydroelectric power projects, which do not necessarily require dams, provide electricity to many small villages. Rainfall harvesting methods in drylands provide fresh water for drinking, livestock, and crops. Flood plain management is environmentally and economically preferable to large flood control dams in most instances.

Flooding and droughts are global concerns that lead to famine in the poorer countries and will persist until solutions are found. Flood avoidance or flood plain management are not commonplace in many such countries because people have no alternative places to live and farm. The recurring floods and devastation in Bangladesh, and the droughts of North Africa emphasize the dilemma of the poorest of countries.

In the twentieth century, the global population tripled and the use of renewable water resources such as lakes, rivers, and streams increased six-fold. This trend will continue and accelerate; estimates are that, by 2050, the global population could increase by 50 percent. Yet, the availability of renewable water

resources is reaching its limit. As cities continue to grow in population and more countries become industrial societies, the demand for water will grow. How the available resources will quench the thirst and fuel the industry of the global population is a compelling and troubling issue.

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## Water rights

Pure, potable water is an essential resource, second only to breathable air in importance for life on earth, but it has other valuable attributes as well. It powers industry, provides recreation, irrigates agriculture, and is a highway for ships. It also takes many forms, from oceans, rivers, and lakes to groundwater and even atmospheric moisture. Given this vital significance, water rights have been contended throughout history.

One area of frequent dispute is jurisdiction. If a water right is a right to use water, then who has the authority to grant or withhold that right? The obvious answer is the government that has jurisdiction over the territory in which the water is found. However, water

pays no attention to boundaries. A river that begins in one jurisdiction flows into another. Problems arise when people in the first jurisdiction deprive people in the other of a sufficient quantity or quality of water from the river. Such a situation forced the United States, which uses the Colorado River to irrigate several western states, to build a plant to remove excess salt from the Colorado before it flows into Mexico.

Jurisdiction over the water cycle has also been litigated. From atmospheric moisture comes rain and snow, which replenish groundwater and form streams that flow into rivers, which in turn flow into lakes and oceans. Surface water thus forms an interconnected system. International law has focused on rights to "international drainage basins" and, more recently, "international water resources systems" that include atmospheric and frozen water.

When jurisdiction is not at issue, water rights are usually established in one of three ways. The first was set out in the Institutes of Justinian (533-34 AD), which codified Roman law. This holds that flowing water is a *res communis omnium*—a thing common to all, at least when it is navigable. It cannot be owned or used exclusively by anyone. The second and third approaches have been developed in the United States, where the doctrines of riparian rights and prior appropriation predominate in the eastern and western states respectively. In a riparian system, landowners whose land borders a waterway have a special, if not exclusive, right to the water. In the arid western states, however, water rights derive from first use, not ownership, as in the "first in time, first in right" rule.

*See also* Environmental law; Hydrologic cycle; Irrigation; Land-use control; Riparian Land.

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## Water table

The top or upper surface of the saturated zone of water that occurs underground, except where it is confined by an overlying impermeable layer. This surface separates the zone of aeration—the layer of soil or rock that is unsaturated most of the time—from the zone of saturation below. The water table is the level at which water rises in a well that is situated in a groundwater body but not confined by a layer of impervious material. In wetlands, the water table can be at the soil surface or a few centimeters below the soil surface, but in drylands it can be several hundred meters below the soil surface. Perched water tables occur where there is a shallow impervious layer (such as a clay layer) that prevents water from percolating downward to the more persistent, regional groundwater zone. As a result, water tables can occur at different depths within a region. Generally, the closer water tables are to the soil surface, the more groundwater is susceptible to contamination from both natural and industrial processes.

*See also* Percolation.

## Water table draw-down

A measurement of how a particular water level is affected by the withdrawal of groundwater. It is made by establishing the vertical distance at a given point between the original water level and the pumping water level. The water table of an unconfined aquifer or the potentiometric surface of a confined aquifer is lowered when a well is pumped, and an inverted cone of depressions develops around the pumping well.

Changes of drawdown at different distances from the well are shown with a drawdown curve. Specific capacity is the rate of discharge of water from the well divided by the drawdown within the well. The drawdown within a well divided by the discharge rate of water from the well—inverse of specific capacity—is called specific drawdown.

## Water treatment

Water treatment—or the purification and sanitation of water—varies as to the source and kinds of water. Municipal waters, for example, consist of surface water and groundwater, and their treatment is to be distinguished from that of industrial water supplies.

Municipal water supplies are treated by public or private water utilities to make the water potable (safe to drink) and palatable (pleasing taste) and to insure an adequate supply of water to meet the needs of the community at a reasonable cost. Except in exceedingly rare instances, the entire supply is treated to drinking water quality for three reasons: it is generally not feasible to supply water of more than one quality; it is difficult to control public access to water not treated to drinking water quality; and a substantial amount of treatment may be required even if the water is not intended for human consumption.

The Safe Drinking Water Act (SDWA), passed in 1974, regulates the public drinking water supply and its sources (rivers, lakes, reservoirs, springs, and groundwater wells). The Environmental Protection Agency (EPA) sets standards to regulate levels of human-made and naturally-occurring contaminants in drinking water based on maintaining public health. The SDWA applies to all approximately 160 thousand public water systems. The contaminants in drinking water must be present at levels below the maximum content level (MCL) set by the EPA. The MCL of a particular contaminant is the highest level allowed in drinking water and is an enforceable standard. Another guideline set by the EPA is the maximum contaminant level goal (MCLG), which is the level of a given contaminant below which there is no expected health risk. The MCLG guidelines are not enforceable. Contaminants with set MCL and MCLG guidelines include microorganisms, organic chemicals, inorganic chemicals, disinfectants, disinfectant byproducts (DBPs), and radionuclides.

Raw (untreated) water is withdrawn from either a surface water supply (such as a lake or stream) or from

an underground aquifer (by means of wells). The water flows or is pumped to a central treatment facility. Large municipalities may utilize more than one source and may have more than one treatment facility. The treated water is then pumped under pressure into a distribution system, which typically consists of a network of pipes (water mains) interconnected with ground-level or elevated storage facilities (reservoirs).

As it is withdrawn from the source, surface water is usually screened through steel bars, typically about 1 inch (2.54 cm) thick and about 2 inches (5.08 cm) apart, to prevent large objects such as logs or fish from entering the treatment facility. Finer screens are sometimes employed to remove leaves. If the water is highly turbid (cloudy or muddy), it may be pretreated in a large basin known as a presedimentation basin to allow time for sand and larger silt particles to settle out.

All surface waters have the potential to carry pathogenic (disease-causing) microorganisms and must be disinfected prior to human consumption. Since the adequacy of disinfection cannot be assured in the presence of turbidity, it is first necessary to remove the suspended solids causing the water to be turbid. This removal is accomplished by a sequence of treatment processes that typically includes coagulation, flocculation, sedimentation, and filtration.

Coagulation is accomplished by adding chemical coagulants, usually aluminum (Al) or iron (Fe) salts, to neutralize the negative charge on the surfaces of the particles (suspended solids) present in the water, thereby eliminating the repulsive forces between the particles and enabling them to aggregate. Coagulants are usually dispersed in the water by rapid mixing. Other chemicals may be added at the same time, including powdered activated carbon (to absorb taste- and odor-causing chemicals or to remove synthetic chemicals); chemical oxidants such as chlorine (Cl), ozone (O<sub>3</sub>), chlorine dioxide (ClO<sub>2</sub>), or potassium permanganate (KMnO<sub>4</sub>) (to initiate disinfection, to oxidize organic contaminants, to control taste and odor, or to oxidize inorganic contaminants such as iron, manganese [Mn], and sulfide [S<sup>2-</sup>]); and acid or base (to control pH).

Coagulated particles are aggregated into large, rapidly settling particles termed floc by flocculation, accomplished by gently stirring the water using paddles, turbines, or impellers. This process typically takes twenty to thirty minutes. The flocculated water is then gently introduced into a sedimentation basin, where the floc particles are given about two to four hours to settle out. After sedimentation, the water is filtered, most commonly through 24–30 inches (61–76

cm) of sand or anthracite having an effective diameter of about 0.02 inch (0.5 millimeter). When the raw water is low in turbidity, coagulated or flocculated water may be taken directly to the filters, bypassing sedimentation. This practice is referred to as direct filtration.

Once the water has been filtered, it can be satisfactorily disinfected. Disinfection is the elimination of pathogenic microorganisms from the water. It does not render the water completely sterile but does make it safe to drink from a microbial standpoint. Most water treatment plants in the United States rely primarily on chlorine for disinfection. Some utilities use ozone, chlorine dioxide, chloramines (formed from chlorine and ammonia), or a combination of chemicals added at different points during treatment. There are important advantages and disadvantages associated with each of these chemicals, and the optimum choice for a particular water requires careful study and expert advice.

Chemical disinfectants react not only with microorganisms but also with naturally occurring organic matter present in the water, producing trace amounts of contaminants collectively referred to as DBPs. The most well-known DBPs are the trihalomethanes (THMs). Although DBPs are not known to be toxic at the concentrations found in drinking water, some are known to be toxic at much higher concentrations. Therefore, prudence dictates that reasonable efforts be made to minimize their presence in drinking water.

The most effective strategy for minimizing DBP formation is to avoid adding chemical disinfectants until the water has been filtered and to add only the amount required to achieve adequate disinfection. Some DBPs can be minimized by changing to another disinfectant, but all chemical disinfectants form DBPs. Regardless of which chemical disinfectant is used, great care must be exercised to ensure adequate disinfection, since the health risks associated with pathogenic microorganisms greatly outweigh those associated with DBPs.

There are a number of other processes that may be employed to treat water, depending on the quality of the source water and the desired quality of the treated water. Processes that may be used to treat either surface water or groundwater include: 1) lime softening, which involves the addition of lime during rapid mixing to precipitate calcium (Ca<sup>2+</sup>) and magnesium (Mg<sup>2+</sup>) ions; 2) stabilization, to prevent corrosion and scale formation, usually by adjusting the pH or alkalinity of the water or by adding scale inhibitors; 3) activated carbon adsorption, to remove taste- and odor-causing chemicals or synthetic organic contaminants; and 4)

fluoridation, to increase the concentration of fluoride ( $F^-$ ) to the optimum level for the prevention of dental cavities.

Compared to surface waters, groundwaters are relatively free of turbidity and pathogenic microorganisms, but they are more likely to contain unacceptable levels of dissolved gases (carbon dioxide [ $CO_2$ ], methane [ $CH_4$ ], and hydrogen sulfide [ $H_2S$ ]), hardness, iron and manganese, volatile organic compounds (VOCs) originating from chemical spills or improper waste disposal practices, and dissolved solids (salinity).

High-quality groundwaters do not require filtration, but they are usually disinfected to protect against contamination of the water as it passes through the distribution system. Small systems are sometimes exempted from disinfection requirements if they are able to meet a set of strict criteria. Groundwaters withdrawn from shallow wells or along riverbanks may be deemed to be “under the influence of surface water,” in which case they are normally required by law to be filtered and disinfected.

Hard groundwaters may be treated by lime softening, as are many hard surface waters, or by ion exchange softening, in which calcium and magnesium ions are exchanged for sodium ( $Na^+$ ) ions as the water passes through a bed of ion-exchange resin. Groundwaters having high levels of dissolved gases or VOCs are commonly treated by air stripping, achieved by passing air over small droplets of water to allow the gases to leave the water and enter the air.

Many groundwaters—approximately one quarter of those used for public water supply in the United States—are contaminated with naturally occurring iron and manganese, which tend to dissolve into groundwater in their chemically reduced forms in the absence of oxygen. Iron and manganese are most commonly removed by oxidation (accomplished by aeration or by adding a chemical oxidant, such as chlorine or potassium permanganate) followed by sedimentation and filtration; by filtration through an adsorptive media; or by lime softening.

Groundwaters high in dissolved solids may be treated using reverse osmosis, in which water is forced through a membrane under high pressure, leaving the salt behind. Membrane processes are rapidly evolving, and membranes suitable for removing hardness, dissolved organic matter, and turbidity from both ground and surface waters have recently been developed.

Industrial water treatment differs from municipal treatment in the specified quality of the treated water. Many industries use water supplied by a local municipality,

while others secure their own source of water. Those securing water from a private source often treat it using the same processes used by municipalities. However, industries must often provide additional treatment to provide water suitable for their special needs, which may include process water, boiler feed water, or cooling water.

Process water is water used by an industry in a particular process or for a group of processes. The quality of water required depends on the nature of the process. For example, water used to make white paper must be free of color. In some instances, water of relatively poor quality may be acceptable, e.g., water used to granulate steelmaking slags, while other uses require water of the very highest purity (e.g., ultrapure water used in the manufacture of silicon [Si] chips).

Water used in boilers for thermoelectric or nuclear power generation must be very low in dissolved solids and must be treated to prevent both corrosion and scale formation in the boilers, turbines, and condensers. High purity process waters and boiler feed waters are typically produced using ion-exchange demineralization and special filters designed to remove sub-micron sized particles.

Cooling water may be used once (single-pass system) or many times (closed-loop system). Cooling water used only once may receive little treatment, typically continuous or intermittent disinfection to reduce slime growths and perhaps stabilization to control corrosion and scale formation. Water entering a closed-loop system may not only be stabilized and disinfected, but may also be treated to remove nutrients (nitrogen [N] and phosphorus [P]) or dissolved solids. Additional treatment is usually provided in the loop to remove dissolved solids that accumulate as a result of evaporation.

*See also* Safe Drinking Water Act (1974).

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## Waterkeeper Alliance

The Waterkeeper Alliance is an umbrella organization consisting of 191 Waterkeeper programs (as of 2010) located throughout North and Central America. The mission of the Alliance is to restore degraded waters and to protect aquatic environments and is based on the philosophy that protection of a community's resources requires the daily vigilance of its citizens.

The Waterkeeper concept started in 1966 on the Hudson River in New York, when a coalition of commercial and recreational fishermen was formed to protect the Hudson from pollution. The coalition constructed a boat to patrol the river; in 1983, this coalition, referring to itself as the Riverkeepers, used funds from successful lawsuits to hire a full-time public advocate and began filing lawsuits against municipal and industrial polluters. By 1998, after over one hundred successful legal actions were filed against Hudson River polluters, resulting in \$1 billion in remediation costs, the Hudson River was once again a productive ecosystem. The Hudson River program was modeled after the British Isle riverkeepers, or game wardens, who were responsible for protection of private trout and salmon streams from poaching for estates, manors, and private fishing clubs.

New ecosystem protection programs, with names such as Lakekeeper, Baykeeper, Coastkeeper, as well as Riverkeeper, have been established, based on the Hudson River model. In 1992, these programs joined to form the National Alliance of River, Sound, and Baykeepers, which was renamed the Waterkeeper Alliance in 1999. Responsibilities of the Alliance include overseeing new Waterkeeper programs, licensing the use of Waterkeeper names, organizing conferences,

working on issues common to Waterkeeper programs, and serving as a clearinghouse and networking center for information and strategy exchange among the programs. In 2002, the Waterkeeper Alliance was working with local advocates to establish Keeper programs in Belize, the Czech Republic, Italy, Poland, and the Philippines. The president of the Waterkeeper Alliance is American environmental lawyer Robert F. Kennedy Jr. (1954–), who also serves as the chief prosecuting attorney for the Hudson Riverkeepers.

A Waterkeeper program may utilize a variety of methods to protect a water resource, including water quality monitoring, participation in planning organizations, public education, development of technical solutions and remedies to problems, and litigation to ensure enforcement of environmental regulations and laws. Since most environmental laws contain citizen suit provisions, the ability of citizens to prosecute polluters is an important tool of Keeper organizations. Another essential component of a Waterkeeper program is the employment of a Keeper, who is a full-time, privately funded, non-governmental ombudsman who acts as a public advocate for the identified water body. The Keeper is aided by a network of local fishermen, environmental experts, and concerned citizens that acts as a neighborhood watch program. Most Waterkeeper programs patrol and monitor their water bodies regularly, by wading with hip boots or using boats ranging in size from canoes to research vessels. Every water body has its own set of challenges, and each Waterkeeper program develops unique strategies to address those challenges.

Waterkeeper Alliance members are concerned with many different types of water issues. The Waterkeepers of Wisconsin are working to prevent water-bottling companies from using spring water. Spring water is a high quality source of surface water, as it is cold, oxygenated, and drinkable. If spring water is used commercially, the Wisconsin Waterkeepers are concerned that surface water, without recharge by spring water, will become polluted as a larger proportion of recharge would be runoff from fields, barnyards, and roads.

The California Coastkeeper Alliance (CCKA), a group composed of the Baja California Coastkeeper, San Diego Baykeeper, Orange County Coastkeeper, Santa Monica Baykeeper, Ventura Baykeeper, and Santa Barbara Channelkeeper, is participating in a Regional Kelp Restoration Project in coordination with the National Oceanic and Atmospheric Administration's (NOAA) Community Based Restoration Program. Kelp forests provide homes and shelters

for a wide diversity of ocean organisms, including many commercial and sport fishing species. Kelp is also harvested for the extraction of algin, which is used as a thickening, stabilizing, and smoothing agent in a variety of commercial products. Nearly 80 percent of the kelp canopy area along the southern California coast was lost between 1967 and 1999. Kelp losses are attributed to intensified winter storms, low nutrients, and warmer sea surface temperatures associated with El Niño events. Increased turbidity, sedimentation and pollution from wastewater discharges, increasing human populations, coastal development, and storm runoff negatively affect the ability of kelp to recover naturally. Overfishing of species that prey on sea urchins also affects kelp forests, for without predators, sea urchin populations expand; sea urchins using kelp as a food source can decimate entire forests, creating urchin barrens. The CCKA restoration project involves growing kelp in the Kelp Mariculture Laboratory, planting juvenile plants, and maintaining kelp forests. Another component of the project is providing portable kelp nurseries to schools throughout southern California to teach students about the importance of kelp to the coastal ecosystem.

In 2002, the Cook Inletkeeper of Alaska joined with other environmental activist groups in a citizen's lawsuit under the United States Clean Water Act to force the United States Department of the Army and the Department of Defense to address pollution and safety hazards associated with past and present bombing of Eagle River Flats. More than ten thousand unexploded bombs and munitions have contaminated Eagle River Flats. The munitions release chemicals such as RDX, 2,4-DNT, toxic metals, and other explosive and propellant compounds are dangerous for wildlife and people. The U.S. Environmental Protection Agency (EPA) placed Fort Richardson on the National Priorities (Superfund) List of polluted sites in 1994. Remediation efforts began in 2004 and continued through 2007 when investigation and monitoring of the remediation tasks was accomplished. Remediation in the area included removing contaminants and toxins from soil and groundwater and removal of chemical storage containers.

The New Jersey Baykeeper is sponsoring an oyster gardening project where volunteers are growing oysters at their own private piers and marinas. Upon reaching sexual maturity, the oysters are transplanted to estuaries, where they serve as spawning stocks to increase the wild population. The Baykeeper provides seed oysters to volunteers, conducts workshops to teach volunteers how to grow oysters, and places

oysters in the estuaries. Oyster populations in the New Jersey and New York areas have decreased due to over-harvesting, pollution, siltation, and disease.

The Columbia Riverkeeper has been working with other groups of activists in a fight to remove the Condit Dam on the White Salmon River in southern Washington. It is estimated that removal of the Condit Dam would result in recovery of more than four thousand salmon and steelhead in the White Salmon River per year. The Dam, owned by PacificCorps, is ninety years old, cracking, and produces only fourteen megawatts of energy. PacificCorps plans to remove the Condit Dam beginning in October 2010. However, the agreement must be approved by the Federal Environmental Regulatory Commission, and county commissioners have suggested that the local county government operate the dam in place of PacificCorps.

The Hudson Bay Riverkeeper is working with government, business, civic, and environmental leaders to shut down the Indian Point Nuclear Power Plant and request a full review of the plant's operating safety procedures and its emergency preparedness plans. Indian Point is located thirty miles (forty-eight kilometers) from New York City, with 20 million people (20 percent of the population of the United States) living within a fifty-mile (eighty kilometers) radius of the plant. It is a potential terrorist target due to its proximity to major financial centers, major transportation centers, and drinking water reservoirs for Westchester County and New York City, and due to its inventory of highly radioactive materials. In 2000 Indian Point was given a red designation by the United States Nuclear Regulatory Commission, giving it the highest risk assessment. The rating was based on operators' failure to detect flaws in a steam generator tube before a radiation leak. In December 2001, Indian Point received a notice of substantial safety concerns from the U.S. Nuclear Regulatory Commission when four control room crews failed to pass annual re-qualification tests. In 2003, the Federal Emergency Management Agency (FEMA) approved the emergency evacuation plan of the power plant after review of its procedures. In late 2007, the state of New York issued thirty-two conventions in opposition to the renewal of operational licenses for the Indian River Power Plant facility. The opposition was supported by the state citing environmental impact, concerns for public safety, and concerns regarding emergency preparedness. The purpose of this prepared statement was to request a hearing regarding the future operation of Indian Point.

The San Diego Baykeeper has been focusing on shipyard pollution since its formation in 1995. San

Diego Bay, with 56 percent of its sediments acutely toxic to marine organisms, was ranked in 1996 as the second most toxic of eighteen United States bays studied by the NOAA. For ten years, the Bay has been posted with warnings to avoid eating bay fish because of elevated levels of mercury (Hg), arsenic (As), and polychlorinated biphenyls (PCBs). Major contributors of contamination to the bay include shipbuilding and repair facilities that serve the United States Navy and commercial oil tankers, dry cargo carriers, ferries, and cruise lines. The Baykeeper's first activities focused on preventing ongoing and future pollution by suing shipyards over chronic stormwater permit violations. Additional activities now include campaigns to challenge and/or force shipyards, local and state regulators, and elected officials to remediate existing contaminated sediments.

The Santa Monica Baykeeper refers to itself as a citizen park ranger for Santa Monica Bay, San Pedro Bay, and adjacent coastal waters and watersheds. The Baykeeper maintains a twenty-four-hour Pollution Hotline, recruits members and volunteers to serve as an observer flotilla and environmental watch program, provides a public awareness program that includes lectures and displays, publicizes violator arrests, tests the bay's water and sediments and provides the results to the public and government agencies, and participates in legal prosecution of violators.

The Waterkeeper Alliance has launched a national campaign in the United States to focus on protecting waterways from pollution caused by Confined Animal Feeding Operations, or CAFOs. Activities include filing lawsuits against animal producers, alleging that they have polluted waterways, working with farmers to reduce chemical use and improve animal environments, and educating consumers.

In 2007, the Alliance won a suit filed against the EPA in regards to regulations set by the EPA in 2004 as part of the Clean Water Act. Power plants take in fresh water from rivers, lakes, and coastal areas to cool machinery and, in the process, kill large amounts of fish and other microorganisms. In setting the regulations, the EPA did not take into account the technology termed closed cycle cooling that allows cooling of equipment requiring decreased water intake from natural sources. The Waterkeeper Alliance argued that the EPA should have written the regulations requiring power plants to implement closed cycle cooling as the Clean Water Act asserts that the best technologies available must be employed to ensure environmental protection of our natural resources.

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Judith L. Sims

Waterless toilets see **Toilets**.

## Waterlogging

Saturation of soil with water, most commonly encountered following heavy rainfall, flooding, or prolonged and improper irrigation. Waterlogging results in a condition under which most crop plants cannot survive or thrive. Although naturally wet soils are extremely

common, human-caused waterlogging occurring on active croplands has created the most concern. Where fields are irrigated regularly, some means of drainage is usually necessary to let excess water escape, but if drainage is inadequate, water gradually accumulates, filling pore spaces in the soil. Once the oxygen in these pores is displaced by water, plant roots and soil microorganisms die. Waterlogging frequently occurs where flooding. Ideally, flood waters seep through the soil and into groundwater or stream channels, but in many agricultural regions, impermeable layers of clay block downward drainage so that irrigation water collects in the soil. Although sometimes cost prohibitive, farmers and land use management engineers sometimes avoid this waterlogging by installing some means of field drainage, such as perforated pipes below ground.

*See also* Soil conservation; Soil eluviation.

## Watershed

A catchment or drainage basin that is the total area of land that drains into a water body. It is usually an area of topographically delineated land drained by a stream system. River basins are large watersheds that contribute to water flow in a river. The watershed of a lake is the total land area that drains into the lake. In addition to being hydrologic units, watersheds are useful units of land for planning and managing multiple natural resources. By using the watershed as a planning unit, management activities and their effects can be determined for the land area that is directly affected by management. The hydrologic effects of land management downstream can be evaluated as well. Land use and management practices can alter the quantity and quality of water and specific type of pollutants that flow into downstream communities. By considering a watershed as an environmental entity, environmental relationships can be traced, defined, quantified, and studied.

*See also* Watershed management.

## Watershed management

Watershed management refers to planned, guided, and organized land use of lands over which rainwater and surface waters feed into other bodies of water. Watershed management aims to achieve

desired outcomes for water resources (e.g., use for drinking water, recreational use, agricultural and industrial use) without harming soil and land productivity or harming nearby ecosystems. The recognition that production must be accompanied by wide-ranging environmental protection is a vital aspect of watershed management.

The specific objectives of watershed management depend on human needs in a particular area. The objectives can include rehabilitation of degraded lands, protection of soil and water resources under land use systems that produce multiple products of the land, and enhancement of water quantity and quality.

Rehabilitation requires that both the productivity and hydrologic function of degraded lands be restored. This usually entails the construction of engineering structures, such as gully control dams, followed by replanting of vegetation, protection of the land, and management.

Watershed management is best achieved when the land and water resource are protected from degradation in the first place. This requires land use that adheres to conservation practices that avoid land degradation. The greatest potential for degradation arises from road construction, mining, crop cultivation, logging, and overgrazing by livestock in steep terrain. Failure to follow management guidelines leads to soil erosion and diminished productivity of the land. An example of this is the clearing of rainforest land in the Amazon for use as cropland. Without the nutrient influx provided by the rainforest and the protection provided by the overlaying foliage, the cleared land becomes depleted of nutrients and severely eroded within only a few years.

The loss of soil and vegetative cover reduces the effectiveness of watersheds in moderating the flow of water, sediment, and other waterborne substances. As a result, damage to aquatic ecosystems and human communities can occur in areas that are positioned downslope or downstream. To achieve sustainable land use, development of and adherence to land use guidelines and conservation practices must become commonplace.

Preventing degradation of wetlands and riparian land is of particular environmental concern. These soil-vegetation communities require special management and protection because the wet soils are susceptible to excessive erosion. Furthermore, riparian vegetation provides valuable wildlife habitat and plays a critical role in protecting water quality.

In some parts of the world, watershed management can be aimed at enhancing water resources. In

some instances vegetative cover can be altered to increase water yield or to change the pattern of water flow for beneficial purposes. No matter what the specific objectives, watershed management recognizes that human use of land is usually aimed at producing a variety of goods and services, of which water is one product. By following the principles of soil and water conservation, land and natural resources can be managed for sustainable production with environmental protection.

*See also* Sustainable agriculture; Sustainable development.

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Kenneth N. Brooks

## Watt, James Gaius

1938–

American former Secretary of the Interior

James Gaius Watt was the first Secretary of the Interior in the administration of United States President Ronald Reagan. He served from 1981 to 1983. His demonstrated opposition to environmentalism and openness to the use of federal land for commercial activities such as forestry and raising of livestock was controversial.

Watt was born in Lusk, Wyoming, and raised in nearby Wheatland. Watt came to the U.S. Department of the Interior from a position as founding president of the Mountain States Legal Foundation (MSLF) in Denver, a conservative group that acted on the behalf of oil, timber, development, and mineral corporations. Started with money from Joseph Coors, of Coors Brewery, the MSLF took donations from some 175 corporations. MSLF was closely identified with an anti-environmental movement called Wise Use. The group promoted legislation that private

and corporate landholders be compensated by the government for having to comply with environmental laws, such as habitat protection. Watt initiated aggressive legal tactics at MSLF, filing lawsuits and pursuing pro-business legislation on the environment in a way that hadn't been done before. MSLF enraged environmentalists and attracted Ronald Reagan, who plucked its president to head his Interior Department. Within months of Watt's appointment, environmentalists began to criticize his conservative policies. Watt maintained his actions were for the good of the people and the free enterprise system.

During his tenure as Secretary of the Interior, Watt cut funds for environmental programs, such as those protecting endangered species, and reorganized the department to put less regulatory power on the federal level. He favored the elimination of the Land and Water Conservation Fund, which increased the land holdings of national forests, national wildlife refuges, and national parks and made matching grants to state governments to do the same. Watt also favored opening extensive shorelands and wilderness areas for oil and gas leases, speeding the sale of public lands to private interests and doing so at bargain prices. Watt also loosened regulations on oil and mineral resource extraction companies.

Watt's stay was marked by heavy and repeated criticisms of his policies, even by fellow Republicans. In April of 1981, the Sierra Club and others began a petition drive to oust him, and in October of that year presented Congress with 1.1 million signatures supporting his dismissal. Even the relatively conservative National Wildlife Federation called for Watt's removal within six months of his taking office, contending that he placed a much higher priority on development and exploitation than on conservation. Watt's actions and derogatory remarks about Senate members earned him a number of enemies, which hampered his ability to carry out his policies. He resigned his post on October 9, 1983, after he made remarks about a Senate advisory panel consisting of "a black, a woman, two Jews and a cripple." Outrage at his bigotry led the Senate to draft a resolution calling for his dismissal, but Watt stepped down before he was forced out. In 1996 Watt pleaded guilty to a minor charge of attempting to sway a grand jury investigation in the 1980s, and he was fined and given five years probation.

In 2001, watt expressed his complete support for the environmental priorities of the administration of

George W. Bush, including the intent to drill for oil in an environmentally sensitive Arctic preserve.

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Mountain States Legal Foundation, 2596 South Lewis Way, Lakewood, CO, USA, 80227, (303) 292-2021, (303) 292-1980, <http://www.mountainstateslegal.com>

Gerald L. Young

## Wave power

Wave power refers to the use of the energy that is carried in waves that move along the ocean surface. Typically, the energy is converted into electrical energy. The use of wave power to generate energy has been exploited for centuries. Still, as of 2010, the technology is more of a niche activity than a widely-used form of energy generation.

The oceans are an enormous reservoir of energy. The energy in waves is derived from the wind energy that generates them. Since wind currents are produced by solar energy, wave energy is a renewable source of energy.



**Wave power station. Wave striking Limpet 500, the world's first commercial-scale wave power station. Limpet generates 500 kilowatts of electricity, enough to power 300 homes.** (Martin Bond/Photo Researchers, Inc.)

Humans have invented devices for capturing the power of waves at least as far back as the time of Leonardo da Vinci. The first modern device for generating electricity from wave power was patented by two French scientists in 1799. In the United States, more than 150 patents for wave power machines have been granted. The first commercial wave power installation is the Aguçadoura Wave Farm, which commenced operations on September 23, 2008, off the coast of Portugal.

Harnessing the energy of wave motion presents many practical problems. For example, while the total amount of wave energy in the oceans is very great, the quantity available at any one specific point is usually quite small. For purposes of comparison, a wave that is 8 feet (2.4 m) tall contains the same potential energy as a hydroelectric dam 8 feet high. Finding a way to magnify the energy of waves in an area can be challenging.

A second problem is to design a machine that will work efficiently with waves of different sizes. Over a period of days, weeks, or months, a region of the sea may be still, it may experience waves of moderate size, or it may be hit by a huge storm. A wave power machine has to be able to survive and to function under all these conditions.

A device that has proven its worth, and which is being used in the Portugal facility and elsewhere, is known as the Pelamis device. It consists of a series of cylindrical pieces that are connected to each other by hinged links. The sections are partially submerged. As they move with the motion of the waves, they push against hydraulic cylinders that are connected to an electrical generator. In this way, the motion of the Pelamis semi-submerged cylinders generates electricity.

Wave power has been seriously studied as an alternative energy source in the United States since the early 1970s. An experimental device constructed at the Scripps Institute of Oceanography, for example, consisted of a buoy to which was attached a long pipe with a trap near its top. As the buoy moved up and down in the waves, water entered the pipe and was captured in the trap. After a certain number of waves had occurred, enough water had been captured to drive a small turbine and electrical generator.

Wave power has many obvious advantages. The raw materials (water and wind) are free and abundant, no harmful pollutants are released to the environment, and land is not taken out of use. There may be positive or negative impacts on marine habitat (depending on the nature of additional submerged surfaces). Potential drawbacks include release of toxic materials from leaks

or accidental spills of liquids in systems using hydraulic fluids. Additionally there are visual and noise impacts and potential conflict with other users of the sea space, especially recreational boating and fishing.

Despite the potential of research at Scripps and elsewhere in the United States, American research on wave power essentially died out in the early 1980s, as did research on most other forms of alternative energy sources. Research continued in other countries, however, especially in Japan, Great Britain, and Norway. The Norwegians have had the greatest success. By 1989, they had constructed two prototype wave machines on the coast west of Bergen. The machines were located on the shore and operated by using air pumped into a large tower by the rise and fall of waves. The compressed air was then used to drive a turbine and generator. Unfortunately, one of the towers was destroyed by a series of severe storms in December 1989.

Great Britain has moved to develop wave power in the late twentieth century. One of the most ambitious wave machines, known as Salter's Duck, was first proposed in the early 1970s. In this device, the riding motion of waves is used to force water through small pipes. The high-pressure water is then used to drive a turbine and generator. The British government was so impressed with the potential of wave power that it outlined plans in April 1976 for a 2,000-megawatt station. Only six years later, the government abandoned all plans to use wave power and returned to reliance on fossil fuels and nuclear power. But, in 1989, a new British government announced a new review of the potential of wave power with the possibility of constructing plants off the British coast.

One of the designs tested was a modification of a Norwegian device, the Tapchan (tapered channel). The Tapchan, is designed to be installed on a shoreline cliff, where waves can flow into a large chamber filled with air. As waves enter the chamber, they compress the air, which then flows through a valve and into a turbine. The compressed air rotates the turbine and drives a generator. The prototype for this machine was installed at Islay, Scotland, in the early 1990s, and continues operation (as of 2010).

Another wave power device that has been tested at the Islay location is known as an oscillating water column. It is positioned on a beach. Incoming water enters the column and forces air in the column past a turbine. As the wave retreats, the air moves past the turbine in the other direction. The system is designed so that the turbine moves in the same direction when

the air is incoming or outgoing, and is connected to an electrical generator.

A wave roller device was installed on the coast of Scotland at Orkney. This design of wave power device consists of a platform on the sea bottom to which are attached vertical plates. The back-and-forth movement of the plates with the passage of wave generates kinetic energy that is collected and converted to electrical energy.

The Wave Dragon is a device that raises the passing wave to a higher level. When the contained water is allowed to pass out through turbines, electricity can be generated, similar to the operation of a land-based dam.

Finally, a design called the Archimedes Wave Swing consists of a lower cylinder that is anchored to the sea bottom and an upper cylinder that moves up and down with the wave action. As the top cylinder moves, magnets fixed to its inner wall move past a coil, generating electricity.

Newer designs are being tested in Japan, Australia, and in the Bay of Fundy between the Canadian provinces of Nova Scotia and New Brunswick. The latter location is particularly promising, since the bay experiences the highest tides in the world; the movement of kite-like devices anchored to the sea bed in response to the 100 billion tons of seawater that passes in and out of the Bay of Fundy daily could theoretically generate enough electricity to supply 20 percent of the power demands of Nova Scotia. The first of the devices is scheduled to be submerged in the Bay of Fundy in the fall of 2010.

*See also* Alternative energy sources; Hydrogeology; Land use; Renewable energy.

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David E. Newton

Weather see **Climate; Meteorology; Milankovitch weather cycles; Weather modification.**

## Weather modification

Weather modification refers to different processes that are intended to deliberately change certain targeted aspects of the environment. Usually, the intent is to produce a desired change such as increased rainfall over agricultural land during the growing season or lessen the chance of dangerous weather such as severe thunderstorms or tornados. However, the reverse use of weather modification has been explored as a military weapon.

Weather can also be modified inadvertently. On a local scale, for example, weather conditions over major cities can differ from surrounding rural regions due to the excessive amount of heat trapping and releasing concrete that is a feature of urban life.

Cities, with their clustered buildings and concrete canyons, absorb infrared heat and inadvertently modify weather because their shape alters the flow of winds. Because they are localized islands of heat, cities increase cloudiness. Aerosols, or microscopic dust particles, given off in industrial smoke, bond with water vapor and create city haze and smog. When the aerosols contain sulfur dioxide and nitrogen oxides, they cause acid rain. Increased urban traffic raises levels of carbon monoxide and carbon dioxide. In the sky, jet trails contribute to the formation of clouds.

Weather also responds sensitively to any changes in the global atmosphere because it is a complex collection of energy systems powered by the sun. Studies have linked modest rises in sea surface temperature in the Atlantic Ocean brought about by ocean circulation shifts or global warming to the suppressed rainfall in the African Sahel. Thus, drought in one region of the globe may have its origin in weather changes in a different region.

Sensitive climate changes are also caused by such human activities as cutting down forests or failing to let farmfields lie fallow, which, with overgrazing by cattle,



**Cloud seeding.** Pilot affixing “burn in place” pyrotechnic silver iodide flares (25-50 grams each flare) mounted on wing racks, ignited electrically. (Inga Spence / Photo Researchers, Inc.)

sheep, and goats, cause desertification, a condition that results when topsoil blows away and exposes bare land that is incapable of supporting crop growth. This increases albedo, the reflective quality of the surface of the earth; the increased release of solar radiation to the atmosphere lowers the temperature of the lower portions of the atmosphere where cloud formation occurs. This results in reduced rainfall.

Intentional weather modification involves taking advantage of the energy contained within weather systems and turning it toward a specific goal. An example is cloud seeding, a process in which particles are deliberately introduced into the atmosphere to act as nuclei on which water can condense to form droplets. If the droplets become sufficiently big (and heavy), they fall to the ground as rain. Cloud seeding does not work in any weather condition; particular type clouds, wind direction and speed are necessary.

As well, these conditions must be present upwind of the desired target of the precipitation. It would be futile to induce rainfall that occurs over open water rather than on a field of planted crop.

Rainmaking became a serious science in 1950 when physicist Bernard Vonnegut at General Electric devised a way to vaporize silver iodide to let it rise on heated air currents into clouds where it solidified and bonded onto water droplets to create ice crystals. Previous attempts at rainmaking involved dropping dry ice (solid CO<sub>2</sub>) onto clouds from planes, but this was expensive. Vonnegut chose silver iodide because its molecular structure most closely matches that of ice crystals.

In California, where the Southern California Edison Company regularly sends out planes to seed rain clouds over the dry San Joaquin Valley farmland, silver iodide is shot from rockets mounted on the leading edge of the wings. It is also vaporized into clouds from ground generators at higher altitudes in the Sierra Mountains. In rainmaking projects, the purpose is to avoid droughts, increase food productivity, and augment water supplies for drinking or hydroelectric plants. But gathering accurate data on successful seeding and subsequent precipitation has been difficult. The consensus is that seasonal cloud seeding can increase precipitation by 10 to 20 percent.

Other weather modification projects include dissipating cold fogs, done routinely at major airports around the world. In the former Soviet Union, damaging hailstorms were successfully broken up to protect ripening crops. However, statistics from attempts at hail suppression in the United States have been inconclusive, and research is ongoing. In the 1950s and 1960s, scientists experimented with seeding hurricanes to diminish the storms' severity and alter their path. Similarly, attempts were made to dissipate tornadoes by firing artillery into the oncoming storms. In both cases, natural energies far exceeded any attempts at control.

Most rainmaking activities in the United States take place in the western states and are sponsored by water departments or districts and conducted by private and commercial companies. The mistakes made earlier in the history of altering the weather have been dealt with by regulations in each state. Internationally, the World Meteorological Organization (WMO) oversees weather modification, and the Treaty of War and Environmental Weather, signed at the Geneva Arms Limitation Talks in 1977, forbids uncontrolled military weather modification.

Two private organizations, the American Meteorological Society in Boston, Massachusetts, and the Weather Modification Association of Fresno, California, keep

records on weather modification. The *Journal of Weather Modification* is an annual publication of the Institute for Atmospheric Science at the South Dakota School of Mines and Technology.

On a global scale, it has been proposed that the warming of the atmosphere could be diminished by the deliberate release of particulates into the atmosphere. While the approach may have theoretical validity, its real-world use has been vigorously opposed by many climate scientists, who argue that a change in the atmosphere in one global locale can affect weather elsewhere.

*See also* Deforestation; Greenhouse effect; Ozone; Ozone layer depletion.

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Stephanie Ocko

## Weathering

Weathering refers to the group of physical, chemical, and biological processes that change the physical and chemical state of rocks and soils at and near the surface of the earth. Weathering is primarily a result of climatic forces. Because the effects of climate occur at the earth's surface, the intensity of weathering decreases with depth, with most of the effects exhibited within the first meter of the surface. The most important climatic force is water, as it moves in and around rocks and soil.

Physical weathering is the disintegration of rock into smaller pieces by mechanical forces concentrated along rock fractures. Abrasion of rocks occurs when wind or water carry particles that wear away rocks. Physical weathering due to frost is referred to as frost

shattering or frost wedging. Because water expands when it freezes, it can break rocks apart from the inside when it seeps into cracks in a rock or soil. The specific volume (volume/unit mass) of water increases by 9 percent during freezing, which produces a stress that is greater than the strength of most rocks. Frost action is the most common physical weathering process, as frost is widespread throughout the world. Frost even occurs in the tropics at high elevations, and as a weathering force, is most effective in coastal arctic and alpine environments, where there are hundreds of frost (freeze-thaw) cycles per year.

Exfoliation is the breaking off of rocks in curved sheets or slabs along joints that are parallel to the ground surface. Exfoliation occurs when a rock expands in response to the removal of adjacent rock. Most commonly the release of stress upon a rock occurs when overlying rock is eroded away (i.e., when the pressure of deep burial is removed). The rock breaks apart along expansion fractures that increase in spacing with depth.

Another type of physical weathering is salt wedging. Most water as it moves through the earth contains dissolved salts; in some areas the salt content may be high, with possible sources being seawater or chemical weathering of marine sediments. As the saline water moves into rock fractures and subsequently evaporates, salt crystals form. As the process continues, the crystals grow until they act as a wedge and crack and break the rocks. Salt wedging most commonly occurs in dry landscapes where the groundwater is near the surface.

Hydration is a physical process that also results in weathering. Soil aggregates and fine-grained rocks can disintegrate due to wetting and drying cycles and the expansion and contraction associated with the cycles. Also air that is drawn into pores under dry conditions and then trapped as water returns to the soil or rock can cause fracturing.

Thermal weathering is another physical process. Repeated daily heating and cooling of rock results in expansion during heating and contraction during cooling. Different materials expand and contract at different rates, resulting in stresses along mineral boundaries.

Chemical weathering of rocks or soils occurs through chemical reactions when rocks or soils react with water, gases, and solutions. During these chemical reactions, minerals are added or removed or are decomposed into other materials such as clay minerals.

Carbon dioxide, a chemical weathering agent, dissolves in rain and forms a weak carbonic acid. This weak acid, through the process of carbonation, can dissolve rocks such as limestone and feldspar. Carbonation of limestone can result in the formation of karst topography that may include caves, disappearing streams, springs, and sinkholes.

Acid rainfall has also contributed to the accelerated weathering of human-made objects including statues, bridges and buildings.

In chemical oxidation weathering, rocks are transformed through reactions with oxygen dissolved in water. Iron, often found in silicate minerals, is the most commonly oxidized mineral element, when ferrous iron ( $\text{Fe}^{+2}$ ) is oxidized to ferric iron ( $\text{Fe}^{+3}$ ). Color changes often indicate when oxidation has occurred, such as the “rusting” seen with the oxidation of iron. Other readily oxidized minerals include magnesium, sulfur, aluminum, and chromium.

Hydrolysis is the most common weathering process, where mineral cations in a rock or soil mineral are replaced by hydrogen ( $\text{H}^+$ ) ions. Pure water is a poor hydrogen donor, but carbon dioxide dissolved in water, which produces carbonic acid, acts as a source of hydrogen ions. Weathering products formed include clay minerals.

Biological weathering occurs when organisms aid in the breakdown of rocks and minerals. Plants such as lichens and mosses produce a weak acid that dissolves geological materials. Plant roots growing in the cracks of rocks, through the process of root pry, can make the crack larger and may loosen other types of materials.

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Judith L. Sims

WEE see **Erosion**.

## Wells

A well is a hydraulic structure for withdrawal of groundwater from aquifers. A well field is an area containing two or more wells. Most wells are constructed to supply water for municipal, industrial, or agricultural use. However, wells are also used for remediation of the subsurface (extraction wells), recording water levels and pressure changes (observation wells), water-quality monitoring and protection (monitoring wells), artificial recharge of aquifers (injection wells), and the disposal of liquid waste (deep-well injection). Vacuum extraction system is a new technology for removing volatile contaminant from the unsaturated zone, in which vapor transport is induced by withdrawing or injecting air through wells screened in the vadose zone.

Well construction consists of several operations: (1) drilling; (2) installing the casing; (3) installing a well screen and filter pack; (4) grouting; and (5) well development.

Various well-drilling technologies have been developed because geologic conditions can range from hard rock such as granite to soft, unconsolidated geologic formation such as alluvial sediments.

Selection of a drilling method also depends on the type of the well that will be installed in the borehole, such as a water-supply well or a monitoring well. The two most widely used drilling methods are cable-tool and rotary drilling. The cable-tool percussion method is a relatively simple drilling method developed in China more than 4,000 years ago. Drilling rigs operate by lifting and dropping a string of drilling tools into the borehole. The drill bit crushes and loosens rock into small fragments that form a slurry when mixed with water. When the slurry has accumulated so the drilling process is significantly slowed down, it is removed from the borehole with a bailer. In rotary drilling, a rotating bit drills the borehole, and the cuttings are removed from the borehole by continuous circulation of drilling fluid. Boreholes are drilled much faster with



Ugandan child pumping a modern well to fill their water tank. In undeveloped dry areas of the world, people have to rely on pumps to bring water to the surface from deep underground. (Mauro Fermariello / Photo Researchers, Inc.)

this method, and at a greater depth than with the cable-tool method. Other drilling methods include air drilling systems, jet drilling, earth augers, and driven wells.

Though well design depends on hydrogeologic conditions and the purpose of the well, every well has two main elements: the casing and the intake portion or screen. A filter pack of gravel is often placed around the screen to assure good porosity and hydraulic conductivity. After placing the screen and the gravel filter pack, the annular space between the casing and the borehole wall is filled with a slurry of cement or clay. The last phase in well construction is well development. The objective is to remove fine particles around the screen so hydraulic efficiency is improved.

A well is fully penetrating if it is drilled to the bottom of an aquifer and is constructed in such a way that it withdraws water from the entire thickness of the aquifer.

Wells are also used for conducting tests to determine aquifer and well characteristics. During an aquifer test, a well is pumped at a constant discharge rate for a period of time, and observation wells are used to record the changes in hydraulic head, also known as drawdown. The radius of influence of a pumping well is the radial distance from the center of a well to the point where there is no lowering of the water table or potentiometric surface (the edge of its cone of depression). The collected data are then analyzed to determine hydraulic characteristics. A pumping test with a variable discharge is often used to determine the capacity and the efficiency of the well. A slug test is a simple method for estimating the hydraulic conductivity of an aquifer, a rapid water level change is produced in a piezometer or monitoring well, usually by introducing or withdrawing a “slug” of water. The rise or decline in the water level with time is monitored. The data can be analyzed to estimate hydraulic conductivity of the aquifer.

The predominant tool for extracting vapor or contaminated groundwater from the subsurface is a vertical well. However, in many situations where environmental remediation is necessary, a horizontal well offers a better choice, considering aquifer geometry, groundwater flow patterns, and the geometry of contaminant plumes. Extraction of contaminated groundwater is often more efficient with horizontal wells; a horizontal well placed through the core of a plume can recover higher concentrations of contaminants at a given flow rate than a vertical well. In

other cases, horizontal wells may be the only option, as contaminants are often found directly beneath buildings, landfills, and other obstacles to remedial operations.

*See also* Aquifer restoration; Drinking-water supply; Groundwater monitoring; Groundwater pollution; Water table; Water table draw-down.

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Milovan S. Beljin

## Werbach, Adam

1972–

American environmentalist

Adam Werbach is an environmentalist and activist. He is noteworthy for being the youngest person elected as president of the Sierra Club.

Werbach was born in Tarzana, California, in 1972, the second son of a psychiatrist father and therapist mother. His strong environmental conscience was nurtured by his parents, who were both activists in the Sierra Club. He became a Sierra Club member himself at age 13 and formed the Sierra Student Coalition (SSC) at age 19, composed of 300,000 members. He served two years on the Sierra Club’s Board of Directors as a member of the Club’s national membership committee and volunteer development committee. Then on May 18, 1996, at the age of only twenty-three, Werbach was elected president of the Sierra Club.

It was David Ross Brower, sixty years older than Werbach, who ran the campaign for Werbach to be elected president by the 15-member board, which in turn is elected by the Sierra Club membership at large. As the youngest president ever to lead the Sierra Club, Werbach works with the executive director and other

staff to manage an organization of about 600,000 members and a \$44 million annual budget. The average age of Sierra Club members is around forty-seven emphasizing the fact that younger generations are currently under-represented in the environmental fields. One of Werbach's goals was to recruit this younger group by appealing to their interests—the present and how it will affect their personal future.

Werbach believes: “The environment is the primary issue that prompts this generation, my generation, to take social and political action. Our job is to get the word out to them and to give them a place to act on their anxieties and convictions. My goal is to make that place the Sierra Club.”

As a high school student, Werbach founded and served as the first director of the Sierra Club's national student program, the Sierra Student Coalition, which has trained, registered, and involved thousands of students in all states with Sierra Club conservation campaigns. He also organized a conference of environmental youth leaders from 20 countries for the first *World Youth Leadership Camp* in 1996. During this same year he earned a B.A. from Brown with a double major in Political science and Modern culture and media.

Werbach has many hobbies, including music, and has toured the United States, Europe, and Asia, singing baritone and playing the guitar with a men's vocal group at Brown University. He has also written several journal articles and a novel, *Whirled*, and has worked on several films concerning both natural- and socially-constructed environments. His book *Act Now, Apologize Later* was published in 1998 and prompted others of his generation to become much more aware of the world around them. In 2009, Werbach's *Strategy for Sustainability: A Business Manifesto* was named one of the

In 2004, Werbach expressed his opinion that the environmental movement needed updated thinking and strategies, and that allying environmentalism with other social and economic issues was prudent. While criticized by some at the time, his views have since become more mainstream. For a time beginning in 2006, he served on the international board of Greenpeace.

Also in 2006, he formed a company called Act Now Productions, which provides consultative services to companies in making their operations more environmentally sound. Controversially, one of his clients included Wal-Mart. In 2008, the company became part of the advertising firm Saatchi & Saatchi, with Werbach becoming head of the firm's global environmental sustainability efforts. He continues in

this post as of 2010. Werbach's latest book, *Strategy for Sustainability: A Business Manifesto* was published in 2010.

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Nicole Beatty

## Wet scrubber

Wet scrubbers are devices used to remove pollutants from flue gases (generally, a gas emitted through a chimney flue or smokestack). They consist of tanks in which flue gases are allowed to pass through a liquid medium. If the pollutant to be removed is soluble in water, water alone can be used as the scrubbing agent. However, most scrubbers are used to remove sulfur dioxide, which is not sufficiently soluble in water. The liquid used in such cases is one that will chemically react with the sulfur dioxide. For example, sodium carbonate can be used to scrub sulfur dioxide. When sulfur dioxide reacts with liquefied sodium carbonate, it forms sodium sulfite, a precipitate that can be drawn off at the bottom of the tank.

*See also* Flue-gas scrubbing.

## Wetlands

During the last four decades, several definitions of the term wetland have been offered by different sources. According to the Clean Water Act, wetlands are “those areas that are inundated or saturated by surface or ground water at a frequency and duration sufficient to support, and that under normal circumstances do support, a prevalence of vegetation typically adapted for life in saturated soil conditions.”

For an area to be a wetland, it must have certain hydrology, soils, and vegetation. Vegetation is dominated by species tolerant of saturated soil conditions. They exhibit a variety of adaptations that allow them to grow, compete, and reproduce in standing water or waterlogged soils lacking oxygen. Soils are wet or have



**Pariette wetlands in Carbon county, Utah, an important wetland area in this dry alkali desert region.** (*Nature's Images / Photo Researchers, Inc.*)

developed under permanent or periodic saturation. The hydrologic cycle produces anaerobic soils, excluding a strictly upland plant community.

There are seven major types of wetlands that can be divided into two major groups: coastal and inland. Coastal wetlands are those that are influenced by the ebb and flow of tides and include tidal salt marshes, tidal freshwater marshes, and mangrove swamps. Salt marshes exist in protected coastlines in the middle to high latitudes. Plants and animals in these areas are adapted to salinity, periodic flooding, and extremes in temperature. These marshes are prevalent along the eastern and Gulf coasts of the United States as well as narrow belts on the west coast and along the Alaskan coastline.

Tidal freshwater marshes occur inland from the tidal salt marshes and host a variety of grasses and perennial broad-leaved plants. They are found primarily along the middle and south Atlantic coasts and along the coasts of Louisiana and Texas.

Mangrove swamps occur in subtropical and tropical regions of the world. Mangrove refers to the type

of salt-tolerant trees that dominate the vegetation of this wetland. These wetlands are only found in a few places in the United States; the largest areas are found in the southern tip of Florida.

Inland wetlands, which constitute the majority of wetlands in the United States, occur across a variety of climatic zones. They can be divided into four types: northern peatlands, southern deep water swamps, freshwater marshes, and riparian ecosystems.

Freshwater marshes represent a variety of different inland wetlands. They have shallow water, peat accumulation, and grow cattails, arrowheads, and different species of grasses and sedges. Major freshwater marshes include the Florida Everglades, Great Lakes coastal marshes, and areas of Minnesota and North and South Dakota.

Southern deepwater swamps are freshwater woody wetlands with standing water for most of the growing season. The most recognizable type of vegetation is cypress. They are either fed by rainwater or occur in alluvial positions that are annually flooded. These wetlands are found in the southeast United States.

Northern peatlands consist of deep accumulation of peat. Primary locations are Minnesota, Wisconsin, Michigan, areas of the Northeast that have been affected by the last glaciation, and some mountain and coastal bays in the southeast. Bogs are marshes or swamps that lack contact with local groundwater and are acidified by organic acids from plants. They are noted for nutrient deficiency and waterlogged conditions with vegetation adapted to conserve nutrients in this environment.

Riparian forested wetlands, occurring along rivers and streams, are occasionally flooded but generally dry for a large part of the growing season. The most common type of wetland in the United States, they are often productive because of the periodic addition of nutrients with sediment deposited during floods.

Wetlands are valuable in several ways. Because of their appearance and biodiversity alone, wetlands are a valuable resource. Many types of wildlife, including endangered species such as the whooping crane (*Grus americana*) and the alligator, inhabit or use wetlands. Over 50 percent of the eight hundred species of protected migratory birds rely on wetlands. Wetlands are valuable for recreation, attracting hunters of ducks and geese. Over 95 percent of the fish and shellfish that are taken commercially depend on wetland habitat in their life cycles.

Forest wetlands are an important source of lumber. Other wetland vegetation, such as cattails or woody shrubs, could someday be harvested for energy production. Peat is used in potted plants and as a soil amendment, particularly to grow grass sod.

Wetlands intercept and store stormwater, reducing the peak runoff and slowing stream discharges, reducing flood damage. In coastal areas, wetlands act as buffers to reduce the energy of ocean storms before they reach more populated areas and cause severe damage. Although most wetlands do not, some may recharge underlying groundwater. Wetlands can improve surface water quality by the removal of nutrients and toxic materials as water runs over or through them. Most importantly, wetlands may play a significant role in the global cycling of nitrogen (N), sulfur (S), methane (CH<sub>4</sub>), and carbon dioxide (CO<sub>2</sub>).

The current movement of conservation has encouraged the reconstruction of wetlands that have been destroyed through a no-net-loss policy. Wetlands are restored to protect coastlines, improve water quality, and replace lost habitat. Efforts to conserve wetland areas include the North American Wetlands Conservation Act of 1989 and the Coastal Wetlands Planning, Protection, and Restoration Act of 1990.

The water quality and health of wetlands in the U.S. are protected under the Clean Water Act. By 2004, there were 107.7 million acres (43.6 million hectares) of wetland area. Of this area, 95 percent were freshwater wetlands, while the remaining 5 percent were estuarine or marine wetlands. In the period from 1998 to 2004, wetland areas increased by an average of 32,000 acres (12,900 hectares) each year, mainly occurring on active and inactive agricultural lands. This increase in acreage is due to efforts by regulatory and nonregulatory restoration programs to create, restore, or enhance wetland areas. On Earth Day in 2004, then-President George W. Bush (1946–) announced a wetlands initiative that was to focus on increasing the quantity and quality of wetland areas. The goal of this initiative was to restore, improve, and protect over 3 million acres (1.2 million hectares) of wetland.

*See also* Commercial fishing; Convention on the Conservation of Migratory Species of Wild Animals (1979); Convention on Wetlands of International Importance (1971); Riparian Land; Soil eluviation.

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James L. Anderson

Wetlands Convention see **Convention on Wetlands of International Importance (1971)**.

## Whale strandings

An unusual species of mammals, whales are classified in the order Cetacea, the same order that includes dolphins and porpoises. Whales are warm blooded, breathe air and have lungs, bear live young and nurse them on milk. But unlike other mammals, they live completely in the water. Several ancient civilizations believed whales were fish until the Greek philosopher Aristotle noted that both whales and dolphins breathed through blowholes and delivered live babies instead of laying eggs.

There are two suborders of whales that have evolved differently over time. Baleen whales (suborder Mysticeti) are named after the Norse word for “grooved,” because the 10 species have large grooves or pleats on their throats and bellies. Whales in this suborder lack teeth and feed mostly on small fish and plankton. Yet even with this relatively small-sized diet, they can grow extremely large. The blue whale, the largest species on record, can reach lengths of more than 100 feet (30 m) and weigh over 150 tons. Other baleen species include the grey whales, minke whales and humpbacks.

Toothed whales (suborder Odontocoti) are typically smaller and faster moving species, including the orca, narwhal, beluga, and the smaller dolphins and porpoises. These whales use their speed and agility to capture prey; the orca often feeds on marine mammals and birds. The majority of toothed whales feed mainly on fish and squid.

In order to find prey in dark or murky waters, toothed whales depend on a sense called echolocation. In fact, whales generally have good vision but it is limited to 45 feet (13.7 m). Their sense of hearing is more remarkable and water is an excellent conductor of sound. Echolocation works by bouncing signals off of objects ahead. Whales can then locate prey and navigate through water, judging water depth and shoreline. Toothed whales have more refined echolocation systems, while the echonavigation abilities of baleen whales are believed to be more rudimentary.

Whale strandings occur when whales swim or float to shore and cannot remove themselves. The mammals are then stuck in the shallow water. Usually,

the cause of strandings is not known, but some causes have been identified. Whales may come to shallow water or to shore due to starvation, disease, injuries or other traumas, or exposure to pollution. Most stranded animals are found dead or die quickly after they are found. However, there have been cases in which stranded whales have been successfully moved to a rehabilitation facility, treated, and then released to the wild. Sea World facilities in Texas and Florida provide rescue and rehabilitation for stranded whales as do other organizations such as Greenpeace and the Atlantic Large Whale Disentanglement Network.

Underground explosions and military sonar tests may have caused otherwise unexplainable whale strandings off the coasts of Greece and the Bahamas. Over the course of two days in 1996, twelve whales beached on the coast of Greece and eventually died. These sorts of mass strandings are quite rare, and although the exact cause was not identified, it was discovered that the North Atlantic Treaty Organization (NATO) was testing an experimental sonar system in the area around the same time. No scientific connection could be proved, but no other physical explanation for the whales’ beachings could be found.

In March 2000, sixteen whales became stranded on two beaches in the Bahamas. Necropsies (animal autopsies) were performed on six of the seven that died and no signs of disease, poisoning or malnutrition were evident. However, the United States Navy had been performing underwater sonar experiments nearby that emit loud blasts underwater. An auditory specialist involved in the necropsies reported finding hemorrhages in or around the whales’ ears. If the whales lost their echolocation capabilities, they would not be able to note the approaching shoreline, possibly explaining the mass stranding.

In 2008, the Supreme Court decided that a Navy sonar range off of the coast of California, despite its lack of environmental impact assessment, could continue operations. Critics noted its proximity to migratory whale populations and claimed that sonar testing could lead to beachings of endangered whales. In 2009, the Navy announced plans to expand sonar training along the northeast Florida Atlantic coastline. The waters are a critical habitat for Atlantic right whales, among the world’s most endangered mammals.

Environmentalists and scientists struggle to explain and lessen occurrence of whale strandings. Whales’ social behavior is such that many species travel in strongly bonded groups. The urge to avoid separation from one another may be stronger than

that of avoiding the fatal risk of stranding alongside one whale that is sick or injured and seeking shallow water.

A variety of other causes may bring about whale strandings. Pollution causes illnesses in whales that are unusual to their species and damage their nervous and immune systems. A group of killer whales stranded off the coast of British Columbia in the 1990s revealed the highest levels of mercury ever recorded for cetaceans.

Even weather patterns and water temperature can lead to whale strandings. Unfortunately, many strandings go undiscovered and the whales cannot be saved. What's more, the beached whales are usually not discovered in time for a useful necropsy so the strandings' cause is not determined. African stranding coordinators have been selecting samples from stranded whales for 50 years and have yet to identify any particular pattern that explains the reason for the phenomenon. One theory is that the continent's sandy sloping beach is more difficult to detect by the whales' sonar system than a more-defined, rocky coastline.

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### ORGANIZATIONS

Save the Whales, 1192 Waring Street, Seaside, CA, USA, 93955, (831) 899-9957, [maris@savethewhales.org](mailto:maris@savethewhales.org), <http://www.savethewhales.org>

Teresa G. Norris

## Whales

Whales are aquatic mammals of the order Cetacea. The term is now applied to about eighty species of

baleen whales and "toothed" whales, which include dolphins, porpoises, and non-baleen whales, as well as extinct whales. Cetaceans range from the largest known animal, the blue whale (*Baleanoptera musculus*), at a length up to 102 feet (31 m) to the diminutive vaquita (*Phoceona sinus*) at 5 feet (1.5 m).

Whales evolved from land animals and have lived exclusively in the aquatic environment for at least 50 million years, developing fish-like bodies with no rear limbs, powerful tails, and blow holes for breathing through the top of their heads. They have successfully colonized the seas from polar regions to the tropics, occupying ecological niches from the water's surface to ocean floor.

Baleen whales, such the right whale (*Balaena glacialis*), the blue whale, and the minke whale (*Balaenoptera acutorostrata*), differ considerably from the toothed whales in their morphology, behavior, and feeding ecology. To feed, a baleen whale strains seawater through baleen plates in the roof of its mouth, capturing plankton and small fish. Only the gray whale (*Eschrichtius robustus*) sifts ocean sediments for bottom-dwelling invertebrates. Baleen whales migrate in small groups and travel up to 5,000 miles (8,000 km) to winter feeding grounds in warmer seas.

The toothed whales, such as the killer whale (*Orcinus orca*) and the pilot whale (*Globicephala* spp.), feed on a variety of fish, cephalopods, and other marine mammals through a variety of active predatory methods. They travel in larger groups that appear to be matriarchal. Some are a nuisance to commercial fishing because they target catches and damage equipment.

Large whales have virtually no natural predators besides humans, and nearly all baleen whales are now listed as endangered species, mostly due to commercial whaling. In the southern hemisphere, the blue whale has been reduced from 250,000 at the beginning of the century to its current population of a few thousand. The International Whaling Commission (IWC), which has been setting limits on whaling operations since its inception in 1946, has little power over whaling nations, such as Japan, which continues to catch its quota of hundreds of whales a year in the Southern Ocean. The Japanese claim they legally kill whales under the IWC exception for legitimate scientific research. Conservationists assert that the Japanese whaling fleet researchers do not publish a significant body of scientific research related to their whaling and that whale meat taken under the IWC exception has reached commercial markets in Japan.



**Humpback Whale mother and calf (*Megaptera novaeangliae*) in Vava'u, Kingdom of Tonga. Tonga is a seasonal home for Humpbacks from July through October. The whales visit the country from the Antarctic to have their young and breed. (Michael Patrick O'Neill / Photo Researchers, Inc.)**

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David A. Duffus

## Whaling

Although subsistence whaling by aboriginal peoples has been carried on for thousands of years, it is mainly within about the last thousand years that humans have pursued whales for commercial gain. The history of whaling may be divided into three periods: prehistoric and historical whaling era, from prehistoric times to 1864–1871; the modern whaling era, from 1864–1871 to the 1970s; and the decline of whaling, from the 1970s to the present.

The Basques of northern Spain were the earliest commercial whalers. Concentrating on the capture of right whales (*Baleana glacialis*), Basque whaling spread over most of the northern Pacific Ocean as local populations dwindled from overhunting. Like many whales that were later hunted to near extinction, the right whale was a slow-moving and coastal species.



Activists protest outside Japan's embassy against the killing of whales, in Santiago, November 29, 2007. The signs read in Spanish "Let them live," right, and "We defend the environment," bottom left, and "Whale assassins," top left. (AP Photo/Santiago Llanquin)

Commercial whaling is considered to have begun when the Basques took their whaling across the Atlantic to Newfoundland and Labrador in about 1530, where between 25,000 and 40,000 whales were taken over the next 80 years. The search for the bowhead whale (*Baleana mysticetus*) in the Arctic Ocean and the sperm whale (*Physeter catadon*) in the Atlantic and Pacific provided useful whale oil, waxes, and whalebone (actually the baleen from the whale's upper jaw). The oil proved to be an excellent lubricant and was used as fuel for lighting. Waxes from body tissues made household candles. A digestive chemical was employed as a fixative in perfumes. Baleen served the same purposes as many plastics and light metals would today, and was used in umbrella ribs, corset stays, and buggy whips.

The first species targeted were slow swimmers that stayed close to the coasts, making them easy prey. Whalers used sail and oar-powered vessels and threw harpoons to capture their prey, then dragged it back to the mainland. As technology improved and the slow-swimming whales began to disappear, whalers sought the larger and faster-swimming whales.

The historical whaling period ended for several reasons. At the end of the nineteenth century, petroleum was discovered to be a good substitute for whale oil in lamps. Also, the whales that were so easily caught were becoming scarce. The technology required to take advantage of larger and faster whales was first used by a Norwegian sealing captain, Svend Foyn. Between 1864 and 1871, he combined the steam-powered boat, cannon-fired harpoon, grenade-tipped harpoon head, and a rubber compensator to absorb the shock on harpoon lines to catch the whales. A steam-powered winch brought the catch in. Although the American whaler, Thomas Welcome Roys, was responsible for much of the development of the rocket harpoon, it was Foyn and the Norwegians who packaged the technology that would dominate whaling for the next century.

Modern whaling expanded in two sequences. In the earlier period, whaling was dominated by the spread of whaling stations in the European Arctic and around Iceland, Greenland, and Newfoundland. At the same time, it spread on the Pacific coast of

Canada and the United States, around South Africa, Australia, and most significantly, Antarctica. Before 1925, whaling was tied to shore processing stations and could still be regulated from the shore. After 1925, that system broke down as the stern-slipway floating factory was developed, making it unnecessary for whalers to come ashore.

During the modern whaling period, many populations were brought near extinction as no international quotas or regulations existed. Sperm whales once numbered in the millions, and between 1804 and 1876, United States whalers alone killed an estimated 225,000. The gray whale (*Eschrichtius robustus*) has disappeared in the North Atlantic due to early whaling, although Pacific populations have rebounded significantly. The blue whale (*Balaenoptera musculus*), the largest mammal on earth, was preferred by whalers for its size after improved technology enabled them to be captured. Though protected since 1966, the blue whale has been slow to regain its numbers, and there may be less than 1,000 of these creatures left in the world. Also slow to recover has been the fin whale (*Balaenoptera physalus*), which was hunted intensively after blue whales became less numerous.

As more whales were hunted and populations diminished, the need for an international regulatory agency became apparent. The International Convention for the Regulation of Whaling of 1946 formed the International Whaling Commission (IWC), consisting of 38 member nations for this purpose, but the group was largely ineffectual for about twenty years. Growing environmental and political pressure during the 1960s and 1970s resulted in the establishment of the New Management Procedure (NMP) that scientifically assessed whale populations to determine safe catch limits. In 1982 IWC decided to suspend all commercial whaling as of 1986, to reopen in 1996, or when populations had rebounded enough to maintain a sustained yield.

However, as of 1993, some whaling nations, Japan and Norway in particular, threatened to leave the commission and resume commercial whaling. Iceland left the association in 1992 but returned in 2002. Meanwhile, the IWC is looking forward to new projects, including the protection of dolphins and porpoises.

Today, whaling is permitted by aboriginal groups in Greenland, the United States, the Caribbean, and Siberia. Unregulated “pirate whalers” continue to kill and market whale meat, and scientific whaling continues to supply meat products primarily to the Japanese market. At the same time, various scientific specialty groups are working on comprehensive population assessments.

Iceland resumed commercial whaling, albeit at a low level, in 2006. When it rejoined the IWC in 2002, it stated a reservation to the 1986 moratorium, which allows Iceland to engage in commercial whaling. However, there is still dispute over Iceland’s legal rights in this respect. Norway, which also stated a reservation, is the only other nation that still carries out commercial whaling.

In 1987, Japan began a controversial scientific whaling program that continues to this day. Their aim is said to assess whale stocks to prepare the way for sustainable commercial whaling. Whaling has a long tradition in Japan and many there feel that objections to their whaling activities on the part of conservationists are an attack on Japanese culture. The Japanese whalers, who hunt in the North Pacific and Antarctica, sometimes kill the whales and sell the meat. In 2010, they had scientific permits to hunt around 1,000 whales but have only caught half that number because of the direct action activities of conservationists.

Because of migratory habits and the difficulty in sighting deep ocean whales, it is difficult to accurately estimate their population levels. In many cases, it is impossible to ascertain whether or not a species is in danger. It is clear, though, that the world’s whales cannot sustain hunting at anywhere near the rates they had been harvested in the past.

See also American Cetacean Society; Environmental law; Migration.

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David A. Duffus

## White, Gilbert

1720–1793  
English naturalist

Gilbert White was born in 1720 in the village of Selborne, Hampshire, England. The eldest of eight children, he was expected to attend college and join

the priesthood. He earned his bachelor's degree at Oxford in 1743 and his master's degree in 1746. A fair student, White was well known for his rambunctious and romantic exploits. White developed a fuller sense of religious identity after college. He was ordained a priest three years after leaving Oxford and was subsequently assigned to a parish near his family home in Hampshire, known as "The Wakes." His religious perspective played an important role throughout the rest of his life, and this is reflected in the beauty and gentleness of his writings.

It was at The Wakes that White began studying nature and recording his observations in letters and daily diary notations, which also provide an interesting look at various aspects of local life. He was highly susceptible to carriage sickness and rarely traveled, and his writings therefore focused solely on his immediate environment—the gardens in the village of Selborne. He organized his observations in what he called his "Garden Kalendar," and in 1789, these notes, letters, and memos were combined to comprise *The Natural History and Antiquities of Selborne*, now considered a classic of English literature.

White's ability to write in a clear and unpretentious poetic style is evident throughout the book. He closely watched leaf warblers, cuckoos, and swallows, and unlike his contemporaries, he describes not just the anatomy and plumage of the birds, but their habits and habitats. He was the first to identify the harvest mouse, Britain's smallest mammal, and sketched its physical traits as well as noting its behaviors. *The Natural History* also presents descriptive passages of insect biology and wild flowers White found at Selborne.

After a brief illness, White died alone in his family home at the age of seventy-two.

Kimberley A. McGrath

White House Office on Environmental Policy  
see **Council on Environmental Quality**.

## White Jr., Lynn Townsend

1907–1987

American historian and writer

Lynn Townsend White was a professor of medieval history who viewed the Middle Ages as being the root of the ecological problems of the twentieth century. He is particularly renown for an article entitled

"The Historical Roots of Our Ecological Crisis," first published in *Science* in 1967, and widely reprinted. That article, like most of White's work, grew out of his professional interest in medieval technology, including technology's role in dominating nature.

Born in San Francisco, schooled at Stanford and the Union Theological Seminary, White received a doctorate in history from Harvard University in 1934. He taught at Princeton and Stanford Universities, spent fifteen years as the President of Mills College (1943–1958), and retired from his position of university professor of history at the University of California in Los Angeles in 1974.

He was a founding member of the Society for the History of Technology, served as its president, and was also elected president of the History of Science Society, president of the American Historical Association, and a Fellow of the American Academy of Arts and Sciences. Perhaps the best introduction to his work can be obtained from two collections of articles, *Machina ex Deo: Essays in the Dynamism of Western Culture* (1968) and *Medieval Religion and Technology: Collected Essays* (1978).

White's article on the ecological crisis traced that crisis back to "modern science [as] an extrapolation of natural theology." The modern technology that emerged from that science "is at least partly to be explained by the Christian dogma of man's transcendence of, and rightful mastery over, nature. White then concluded that, since modern science and technology have led us into an ecological crisis, Christianity bore a huge burden of guilt. Numerous articles over many years either picked up on White's argument or took issue with it, a debate White extended by "Continuing the Conversation" in 1973, and a debate that continues today.

Although White's article received widespread attention, his more significant contributions may have been achieved through his extensive research on technology and its relationship to culture and society. Of particular importance has been his establishment of connections between religion and the way a culture perceives technology. Such perceptions have profound implications for human relationships to the environment. White also embraced the cause of women's rights, using his presidency at Mills College as a pulpit to advance those rights, especially in higher education. Feminist issues, such as reproduction or status, also have profound implications for human-environment relationships, and his writings in this area are still worth reading today.

White died on March 30, 1987.

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Gerald L. Young

## Whooping crane

The whooping crane (*Grus americana*) has long been considered the symbol for wildlife conservation in the United States. This large, white, wading bird of the family Gruidae is our tallest North American bird, standing nearly 5 feet (1.5 m) tall and having a wingspan of 7.6 feet (2.3 m). Whooping cranes have been



**A whooping crane at the Aransas National Wildlife Refuge, near Rockport, Texas, 2006. The whooping crane is one of the first species that appears to have rebound from extinction thanks to legislation and public awareness. (AP Photo/Ron Heflin)**

threatened with extinction since the twentieth century. Overhunting in the latter part of the nineteenth and early twentieth centuries, as well as habitat loss—primarily due to the conversion of prairie wetlands into agricultural land—have been major contributors to this decline. In modern times, the number one cause of death in fledged birds has been collision with high power lines.

In 1937 the federal government established the Arkansas National Wildlife Refuge on the south Texas coast, which is the wintering grounds for the whooping crane, in order to protect this species' dwindling population. At the time the refuge was established, the population was at an all time low of twelve birds with a probable founding group of only six to eight birds. There were thirty-one birds found during a 1950 census; thirty-six were located in 1960. The year 1961 marked the first captive breeding program for the whooping crane.

Whooping cranes usually reach maturity at four years of age. After that they establish a lifelong mate with whom they will typically nest once a year, although a pair will skip a nesting season if resources are scarce, or, in some cases for no reason whatsoever. Even though two eggs are usually laid, the parents will only raise one of the chicks. With this in mind, part of the captive breeding program involved the removal of one of the eggs from the whooping cranes' nest for mechanical incubation. In 1967, fifty eggs were removed for incubation at the Patuxent Wildlife Research Center in Laurel, Maryland. There were forty-eight birds at the end of 1967, the same year the whooping crane was listed as a federally endangered species.

Several years of egg removal and artificial incubation followed. Beginning in 1975, eggs from whooping crane nests in their primary nesting area, Wood Buffalo National Park, as well as captive-bred eggs, were placed in the nests of sandhill cranes of Grays Lake, Idaho. This cross-fostering experiment was done to get the more numerous sandhill cranes to help raise whooping cranes to adulthood, and thus increase the population at a faster rate. The experiment has been successful; by 1977, the whooping crane population increased to 120 birds. Today there are nearly 400 in the wild and in captivity.

A flock of fourteen captive-raised birds was reintroduced to the Kissimmee Prairie in Florida during the winter of 1993. Nineteen more were released within the year, however, 70 percent of these released birds were lost due to bobcat predation. There were over 60 whooping cranes in this area by 2000 with indications

of hatchlings. An additional facility in Calgary, Canada, has accepted over 30 birds since 1992 in order to establish another captive flock. Another approach to reinforce the population is to reestablish a second migratory route. Ten hatchlings were raised in captivity and followed an ultralight aircraft from Wisconsin to Florida to spend the winter. Five of the cranes returned back to Wisconsin unassisted April 18, 2002. In the winter of 2008 the whooping crane count in Texas was up to a record 270. In February 2007, tornadoes in central Florida killed seventeen of eighteen whooping cranes in a nesting group.

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Eugene C. Beckham

## Wild and Scenic Rivers Act (1968)

The Wild and Scenic Rivers Act was passed in 1968, during the same week as the National Trails System Act, in the shadow of the Wilderness Act (1964), and in the aftermath of a heated controversy involving dams in the Grand Canyon. The main focus of the law is to prevent designated rivers from being dammed.

The act establishes three categories of rivers: wild, scenic, and recreational. Wild rivers are completely undeveloped and accessible only by trail. Scenic rivers are mainly undeveloped but are accessible by roads. Recreational rivers have frequent road access and may have been developed in some way. As was the case in the Wilderness Act, there would be no transfer of lands from one agency to another after designations were made. That is, for example, designated rivers in national forests are managed by the Forest Service,

and designated rivers under Bureau of Land Management jurisdiction are to be managed by the BLM. Even state agencies could manage designated rivers. The law provides for the preservation of land at least 0.25 mile (0.4 km) wide on both sides of designated rivers. The act emphasizes the use of easements on private lands, rather than acquisition, to achieve this purpose.

The first wild rivers bill, based primarily on studies done by the Agriculture and Interior Departments, was introduced in 1965 by Senator Frank Church of Idaho. The main controversy of the bill focused on which rivers to protect. In order to lessen opposition, only rivers with the support of home state senators would be immediately designated. The Senate passed the bill in early 1966. A wild rivers bill was not seriously considered in the House that year, so the process began again in 1967. The Senate passed a modified version of Church's bill in August 1967. There was some concern that the House would not pass a bill before the end of the session, but under the leadership of Representative John Saylor of Pennsylvania, it did so in September 1968. The House and Senate worked out a compromise bill in conference, and the law was signed by President Lyndon Johnson in October.

Due to the compromise involving home state approval for each designated river, only eight rivers were designated immediately by the act, totaling 773 miles (1,244 km). Twenty-seven rivers were designated for further study. The law allows for the study and potential inclusion of rivers not listed in the original act. Additionally, rivers could be added if states request the federal government to designate a river in the state as part of the system (this has happened for two rivers in Ohio and one in Maine). This system encompasses rivers throughout the country. For example, the first group of designated rivers were in California, Idaho, Minnesota, Missouri, New Mexico, and Wisconsin. As of 2005, 156 rivers had been designated under the act.

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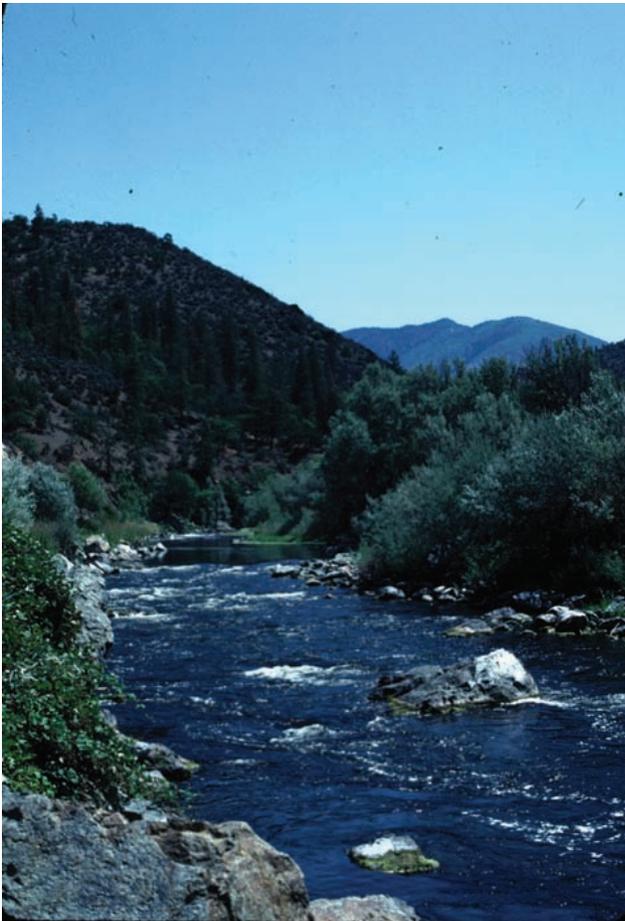
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Christopher McGrory Klyza

## Wild river

By the mid-1960s, many rivers in the United States had been dammed or otherwise manipulated for flood control, recreation, and other water development projects. There was a growing concern that rivers in their natural state would soon disappear. By 1988, for example, roughly 17 percent (600,000 mi [965,400 km]) of all the previously free-running rivers in the United States had been trapped behind 60,000 dams.



The Klamath River in northern California, designated a wild river under the Wild and Scenic Rivers Act. (Visuals Unlimited)

In 1968, Congress passed the Wild and Scenic Rivers Act, establishing a program to study and protect outstanding, free-flowing rivers. Federal land management agencies such as the Forest Service and the National Park Service (NPS) were directed to identify rivers on their lands for potential inclusion in the National Wild and Scenic Rivers System. In 1982, the NPS published the National Rivers Inventory (NRI), a list of 1,524 river segments eligible under the act, though budgetary constraints prevented them from including all eligible rivers.

Eligible river segments must be undammed and have at least one outstanding resource—a wildlife habitat, or other recreational, scenic, historic, or geological feature. Rivers can be added to the national system through an act of Congress or by order of the U.S. Department of the Interior upon official request from an individual state. Congress intended all types of free-flowing rivers to be included—remote rivers as well as those that flow through urban areas—provided they meet the established criteria. Both designated rivers and rivers under study for inclusion in the system receive numerous protections. The act prohibits the building of hydroelectric or other water development projects and limits mineral extraction in a designated river corridor.

The act also mandates the development of a land management plan, covering an average of 320 acres (129 ha) per river mile (1.6 km) and roughly 0.25 miles (0.4 km) on either side of the river, which must include measures to conserve the riverside land and resources. If the land is federally owned, the responsible agency is required to specify allowable activities on the land, depending on whether the river is classified as wild, scenic, or recreational. If it is privately owned, federal and state agencies will coordinate with local governments and landowners to specify appropriate land uses within the corridor using zoning and other ordinances.

Identifying a potential wild and scenic river often stirs controversy within local communities, usually among riparian landowners concerned that such a designation will curtail the use of their property. There is no federal power to zone private land, and although the act allows federal agencies to purchase land in a designated corridor, there are strict limitations. Generally, these agencies prefer to assist state, local, and private interests in developing a cooperative plan to conserve the river’s resources.

Aware of the importance of riverways for local economic development, and concerned about the issue of property rights, Congress made the Wild and Scenic

Rivers Act flexible. Riparian landowners are not forced to move from their land, and designation does not affect existing land uses along the river, such as farming, mining, and logging. Designation can lead to some restrictions on new development, but most development will be allowed as long as it occurs in a manner that does not adversely affect the character of the river. These questions are addressed on a site-specific basis in the management plan, which is developed by all affected and interested parties, including landowners.

By 2002, 156 rivers had been designated, covering a distance of 10,955 miles. Some of the segments included the American and Klamath rivers in California, the Rio Grande in Texas, the upper and middle Delaware in New York, New Jersey and Pennsylvania, and the Blue- stone in West Virginia.

As of 2010, there are 166 protected rivers, coursing over a total of over 11,000 miles (17,792 km).

*See also* Ecotourism; Federal Land Policy and Management Act (1976); Land-use control; Riparian Land; Riparian rights.

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Cathryn McCue

Willingness to pay see **Shadow pricing**.

## Wilderness

Wilderness is land that humans neither inhabit nor cultivate. Through the ages of Western culture, as the human relation to land has changed, the meaning and perception of wilderness also has changed. At first, wilderness was to be either conquered or shunned. At times, it was the place for contrition or banishment, as in the biblical account of the Israelites condemned to wander 40 years in the wilderness. To

European settlers of North America, wilderness was the untamed land entered only by the adventurous or perhaps the foolhardy. But the wilderness also held riches, making it new land to be exploited, tamed, and ultimately managed. Few saw wilderness as having value in its own right.

The idea of wilderness as land deserving of protection and preservation for its own sake is largely a product of late nineteenth and twentieth century North American thought. Rapidly expanding cultivation and industry not only created wealth, but it also increased the nonconsumptive, intrinsic values of wilderness. Gradually, people began to perceive the wilderness as a land of enjoyment and welcome solitude through intimacy with nature. For some, it became an important link to their cultural past, providing assurance that some part of the earth would be left in its primeval condition for future generations, and to many their image of the wilderness is as important as its physical reality.

This relatively new attitude toward wild lands has been fortified by scientific considerations. Such lands can hold a tremendous store of unadulterated native genetic material that may be important in maintaining diversity within and among species. Wilderness also can support nondestructive, unobtrusive research projects, which serve as references from which to gauge ecological effects in other areas.

The value of wilderness was promoted from roughly the mid-nineteenth century to mid-twentieth century by many influential people, including George Catlin, Henry David Thoreau, John Muir, and most notably Aldo Leopold and Robert Marshall. Their ideas were ultimately incorporated into the platforms of two major organizations: the Wilderness Society and the Sierra Club. With the creation of these groups, a formal movement had begun to convince the public and lawmakers that wilderness should be preserved and protected. A significant event in this movement occurred in 1951 at the Sierra Club's second Wilderness Conference when Howard Zahniser of the Wilderness Society proposed the idea of a federal wilderness protection bill. Zahniser's work came to fruition 13 years later, four months after his death.

In 1964, the United States Congress passed the Wilderness Act, establishing the National Wilderness Preservation System (NWPS). The act grew out of a concern that an expanding population, with its accompanying settlement and mechanization, would leave no lands in the United States or its possessions in their natural condition. Congress intended to preserve areas of federal lands "to secure for the



**Tombstone Mountain in Tombstone Territorial Park.** (© nagelestock.com / Alamy)

American people of present and future generations the benefits of an enduring resource of wilderness.” The act defines wilderness as follows: “A wilderness, in contrast with those areas where man and his own works dominate the landscape, is hereby recognized as an area where the earth and its community of life are untrammelled by man, where man himself is a visitor who does not remain.” Further, wilderness is to “be protected and managed so as to preserve its natural conditions,” unimpaired for future use and enjoyment.

The National Wilderness Preservation System (NWPS) began with fifty-four wilderness areas totaling a little over 9 million acres (3.6 million ha), which were administered by the Forest Service in the U.S. Department of Agriculture. By 2008 the NWPS had grown to a little over 700 units covering nearly 108

million acres (43.7 million ha), which is just slightly larger than the state of California. These units are administered by the Forest Service, and by the National Park Service, Fish and Wildlife Service, and Bureau of Land Management, in the U.S. Department of the Interior.

The years of greatest growth for the wilderness system were from 1978 to 1984. In 1980, eighty-three units comprising more than 61 million acres (24.7 million ha) were added to this system; most of this land (56 million acres [22.7 million ha] in 35 units) was added in Alaska with the passage of the Alaska National Interest Land Conservation Act. All but six states, in the northeast and midwest, have wilderness areas. The western United States has almost 95 percent of NWPS lands. However, the largest tracts of wilderness are in Alaska, which contains nearly two-

thirds of the wilderness system acreage. The Omnibus Public Land Management Act of 2009 was passed by Congress and signed by President Obama in March 2009. The Act added two million acres (809,000 ha) within nine U.S. states to the NWPS.

Although the Wilderness Act generally defines the minimum size for wilderness as 5,000 acres (2,025 ha), it permits land “of sufficient size as to make practicable its preservation and use in an unimpaired condition.” Consequently, wilderness areas range in size from the nearly 9-million-acre (3.6-million-ha) Wrangell–Saint Elias Wilderness in Alaska to the 6-acre (2.4-ha) Pelican Island Wilderness in Florida.

In addition to designated wilderness areas in the NWPS, Congress has established the National Scenic Trails System and the Wild and Scenic Rivers System. Also, several states have designated their own form of wilderness areas, the most notable of which is the Adirondack Forest Preserve in northern New York. These state systems, which are of various types and purity, help broaden the diversity of protected ecosystems and their allowable uses.

Despite the wilderness movement, not everyone agrees that wilderness preservation is a good idea. Opponents object to the restrictions imposed by the Wilderness Act, arguing that they “lock up” huge tracts of land, greatly limiting their use and value to society. The act prohibits roads, use of motorized vehicles or equipment, mechanical means of transport, structures, and commercial enterprises, including timber harvesting. Some low-impact uses, such as hiking, hunting, and fishing are allowed, as are limited livestock grazing and mining.

Before passage of the Wilderness Act, the debate over wilderness concerned whether or not it should be preserved. However, after 1964 the debate shifted to two major questions: How much preserved land is enough, and how should wilderness be managed? The first question was settled by the passage of laws such as the 2009 Omnibus Public Land Management Act, which continued the movement of adding new wilderness areas to the NWPS. The second question may seem oxymoronic, but it presents a substantial challenge to the federal agencies charged with overseeing the health and welfare of these areas. As population pressures increase, decisions become more difficult. For example, wilderness and resource experts must determine an acceptable level of grazing; they must also decide what role fire should play; and, perhaps most importantly, the amount of recreational activity to be allowed.

These management issues are dynamic, and the concept of wilderness likely will change in the future as

society’s values change. Moreover, decisions regarding management of adjacent lands will influence the management of wilderness areas. Finally, ecosystems themselves change—that which is preserved today will not be what exists tomorrow, as fires, storms, volcanic eruptions, and ecological succession reshape the landscape.

Concerns, challenges, and emotional debate over preservation of native ecosystems have arisen in other parts of the world, including Europe and the Amazon Basin. Although international concepts of wilderness are different from that in the United States, countries are studying each other’s preservation models for possible adaptation to their own situations.

*See also* Adirondack Mountains; Biodiversity; Frontier economy; National forest; National park; National Wildlife Refuge; Old-growth forest; Overgrazing; Wild and Scenic Rivers Act (1968); Wild river; Wilderness Study Area; Wildfire; Wildlife; Wildlife management.

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Ronald D. Taskey

## Wilderness Act (1964)

The Wilderness Act of 1964 established the National Wilderness Preservation System, an area of land that now encompasses over 107 million acres (43.3 million hectares). According to the law, wilderness is “an area where the earth and its community of life are untrammelled by man, where man himself is a visitor...” Without permanent improvements or human habitation, the system protects and manages land that retains its natural character and influence. This law represents the core idea of preservation: the

need to preserve large amounts of land in its natural condition. This idea, which arose in the late 1800s, is in stark contrast to the desire to control nature and exploit the resources of nature for economic gain.

The early preservation movement focused on protecting natural areas through their designation as national parks. The birth of the wilderness system, however, can be traced back to the period around 1920. In 1919, Arthur Carhart, a landscape architect, recommended that the area around Trapper's Lake in the White Mountain National Forest of Colorado be kept roadless, a recommendation that was followed. A few years later, he recommended that a roadless area be established in the Superior National Forest of Minnesota, a recommendation that was also followed (this area was later named the Boundary Waters Canoe Area). In 1921, Aldo Leopold, then a Forest Service employee in New Mexico, proposed that large areas of land be set aside as wilderness in the national forests. For Leopold, wilderness would be an area large enough to absorb a two-week pack trip, "devoid of roads, artificial trails, cottages, or other works of man." He recommended that such an area be established in the Gila National Forest in New Mexico. The next year, Leopold's superiors acted on his recommendation and established a 574,000-acre (232,400-ha) wilderness area.

The first attempt to establish a more general wilderness policy by the Forest Service came in 1929 when the agency issued Regulation L-20. This directed the Chief of the Forest Service to establish a series of "primitive areas" in which primitive conditions would be maintained. Instructions on implementing this regulation, however, indicated that timber, forage, and water resources could still be developed in these areas. This effort was plagued by unclear directives and the lack of support of many foresters, who favored the use of resources, not their preservation.

The issue of Forest Service wilderness designation increased in importance in the 1930s, primarily due to the concern of the Forest Service that all of its scenic areas would be transferred to the National Park Service for management. Robert Marshall, head of the Recreation and Lands Division in the Forest Service, led the fight within the agency to increase wilderness designation on Forest Service lands. He and his supporters argued that increased wilderness designation was wise because: (1) it would demonstrate to Congress that the Forest Service could protect its scenic resources and that transfer of these lands to the National Park Service was unnecessary; (2) it would be several years before these resources might be needed, so they could be protected until they were

needed; and (3) wilderness and its recreation, research, and preservation uses were suitable for national forest lands. These arguments led to the adoption of the U-Regulations in 1939, which were more precise and restrictive than Regulation L-20.

Three new wild land classifications were established by these regulations. "Wilderness" areas were to be at least 100,000 acres (40,500 ha) in size, designated by the Secretary of Agriculture, and contain no roads, motorized transportation, timber harvesting, or occupation. "Wild" areas would be from 5,000 to 100,000 acres (2,025 to 40,500 ha) in size and were to be under the same management restrictions as wilderness areas with the exception that they would be designated by the Chief of the Forest Service. Lastly, the regulations created "recreation" areas, which were to be of 100,000 or more acres and were to be managed "substantially in their natural condition." In such areas, road-building and timber harvesting, among other activities, could take place at the Chief's discretion. Lands that had been classified as "primitive" under the L-20 Regulation were to be reviewed and re-classified under the U-Regulations.

The wilderness movement lost much momentum inside the Forest Service with the death of Marshall in 1939. Following World War II, preservationist groups began to discuss the need for statutory protection of wilderness due to controversies over the reclassification of primitive lands and the desire for stronger protection. The proposal for such legislation was first made in 1951 by Howard Zahniser, director of the Wilderness Society, at the Sierra Club's Biennial Wilderness Conference. This legislative proposal was put on hold during the battle over the proposed Echo Park dam, but in 1956 preservationists convinced Senator Hubert Humphrey to introduce the first wilderness bill. This original bill, drafted by a group of preservation and conservation groups, would apply not only to national forests, but also to national parks, national monuments, wildlife refuges, and Indian reservations. Within these areas, no farming, logging, grazing, mining, road building, or motorized vehicle use would be allowed. No new agency would be created; rather existing agencies would manage the wilderness lands under their jurisdiction. All Forest Service wilderness, wild, and recreation lands would be immediately designated as wilderness, as well as 49 national parks and 20 wildlife refuges. The expansion (or reduction) of the National Wilderness Preservation System would rest primarily with the executive branch: it would make recommendations that would

take effect unless either chamber of Congress passed a motion against the designation within 120 days.

By 1964, when the Wilderness Act was passed, this original bill had changed substantially. Sixty-five different bills had been introduced and nearly twenty congressional hearings were held on these bills. The chief opposition to the wilderness system came from commodity and development interests, especially the timber, mining, and livestock industries. The wilderness bill met with greater success in the Senate, where a bill was passed in 1961. The House proved a more difficult arena, as Wayne Aspinall of Colorado, chair of the Interior and Insular Affairs Committee, opposed the wilderness bill. In the end, the preservationists had to compromise or risk having no law at all. The final law allowed established motorboat and aircraft use to continue; permitted control of fires, insects, and diseases; allowed established grazing to continue; allowed the President to approve water developments; and allowed the staking of new mineral claims under the Mining Law of 1872 through December 31, 1983, and development of legitimate claims indefinitely. The act designated 9.1 million acres (3.7 million ha) as wilderness immediately (Forest Service lands classified as wilderness, wild, or canoe). National park lands and wildlife refuges would be studied for potential designations, and primitive lands were to be protected until Congress determined if they should be designated as wilderness. The act also directed that future designations of wilderness be made through the legislative process.

Since the passage of the Wilderness Act, most of the attention on expanding the wilderness system has focused on Forest Service lands and Alaska lands. In 1971, the Forest Service undertook the Roadless Area Review and Evaluation (RARE I) to analyze its holdings for potential inclusion in the wilderness system. It surveyed 56 million acres (22.7 million ha) in a process that included tremendous public involvement. Based on the review, the agency recommended 12 million additional acres (4.9 million ha) be designated as wilderness. This recommendation did not satisfy preservationists, and the Sierra Club initiated legal action challenging the RARE I process. In an out-of-court settlement, the Forest Service agreed not to alter any of the 56 million acres (23 million ha) under study and to undertake a land use plan and environmental impact statement.

In response to RARE I, Congress passed the Eastern Wilderness Act (1975), which created 16 eastern wilderness areas and directed the Forest Service to

alter its methods so that it considered areas previously affected by humans. In 1977, the Forest Service launched RARE II. Over 65 million acres (26.3 million ha) of land were examined, and the Forest Service recommended that 15 million acres (6 million ha) be declared wilderness. Preservationist groups were still not satisfied but did not challenge this process. Based upon these recommendations, additional wilderness has been designated on a state-by-state basis. The Alaska National Interest Conservation Land Act (1980) led to more than a doubling of the wilderness system. The act designated 57 million acres (23 million ha) as wilderness, primarily in national parks and wildlife refuges.

As of 2009, 106 million acres (429,000 sq km) of land were part of the designated as wilderness system in the United States. The Forest Service managed approximately 34,867,591 acres (141,104 sq km); the National Park Service managed approximately 56 percent of the designated wilderness land in the United States, an area totaling 43,616,250 acres (176,508 sq km). Other government entities managing wilderness include the Fish and Wildlife Service and the Bureau of Land Management (BLM). However, even though more lands are being protected by wilderness designation, the total acreage of undeveloped land in the United States has been steadily declining.

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## Wilderness Society

The Wilderness Society is a national conservation organization. The group focuses on protecting national parks, forests, wildlife refuges, seashores, and recreation areas and lands administered by the

Interior Department's Bureau of Land Management (BLM), which total almost one million mi<sup>2</sup> (2.6 million km<sup>2</sup>). The Society has played a leading role on many environmental issues in the last half of the century involving public lands, including protecting 84 million acres (34 million ha) of land as wilderness areas since 1964.

The Society was founded in 1935 by naturalist Aldo Leopold and other conservationists, in part to formulate and promote a land ethic, a conviction that land is a precious resource "to be cherished and used wisely as an inheritance." One of the Society's proudest accomplishments was the passage of the landmark Wilderness Act (1964), which recognized for the first time that the nation's wild areas had a value and integrity that was worthy of protection and should remain places where "man is a visitor who does not remain."

The Society has played an important role in other major legislative victories, including passage of the National Wild and Scenic Rivers Act (1968); the National Trails System Act (1968); the National Forest Management Act (1976); the Omnibus Parks Act (1978); the Alaska National Interest Conservation Land Act (1980); the Land and Water Conservation Fund Reauthorization (1989); and the Tongass Timber Reform Act (1990).

The Society's agenda for the future is to prevent oil drilling in Alaska's Arctic National Wildlife Refuge; to double the size of the National Wilderness System by 2015; to eliminate national forest timber sales that lose money for the government; and to expand the number of wild and scenic rivers.

One of the group's major projects is to save the last remaining old-growth forests in the Pacific northwest area of the United States, some 90 percent or more of which have already been cut. These ancient forests, found in Washington, Oregon, and northern California, harbor some of the world's oldest and largest trees, as well as such endangered species as the northern spotted owl. Some of these trees, such as the western hemlock, Douglas fir, cedar, and Sitka spruce, were alive when the Magna Carta was signed over 700 years ago; some now tower 250 feet (76 m) in the air. These forests are home to over 200 species of wildlife. A single tree can harbor over 100 different species of plants, and a stand of trees can provide habitat for over 1,500 species of invertebrates.

The Wilderness Society stresses professionalism and has a full-time staff of ecologists, biologists, foresters, resource managers, lawyers, and economists. Its main activities consist of public education on the

need to protect public lands; testifying before Congress and meeting with legislators and their staff, as well as with federal agency officials, on issues concerning public lands; mobilizing citizens across the nation on conservation campaigns; and sponsoring workshops, conferences, and seminars on conservation issues. The Society publishes a quarterly magazine, *Wilderness*, as well as a variety of brochures, fact sheets, press releases, and member alerts warning of impending environmental threats.

## Resources

### ORGANIZATIONS

The Wilderness Society, 1615 M St, NW, Washington, DC, USA, 20036, (202) 833 2300, [member@tw.s.org](mailto:member@tw.s.org), <http://www.wilderness.org>

Lewis G. Regenstein

## Wilderness Study Area

A Wilderness Study Area is an area of public land that is a candidate for official Wilderness Area designation by the United States Government. Federally recognized Wilderness Areas, created by Congress and included in the National Wilderness Preservation System (NWPS), are legally protected from development, road building, motorized access, and most resource exploitation as dictated by the Wilderness Act of 1964.

The process of identifying, defining, and confirming the areas included in the NWPS is, however, long and slow. In preparation for Wilderness Area designation, states survey their public lands and identify Wilderness Study Areas (WSAs). These areas are parcels of undeveloped and undisturbed land that meet wilderness qualifications and on which Congress can later vote for inclusion in the NWPS. Out of over 300 million acres (121.4 million ha) of federally held roadless areas, about 23 million acres (9.3 million ha), comprising 861 different study areas, were identified as WSAs between 1976 and 1991. Of these WSAs, only a small percentage were finally recommended to Congress for Wilderness Area status.

Most WSAs are on lands currently administered by the Bureau of Land Management (BLM) or the Forest Service, and nearly all are in western states. Relatively little undisturbed land remains in eastern states, and most eastern Wilderness Areas were established relatively quickly in 1975 by the Eastern Wilderness Bill. But at the time of this bill adequate surveys of

the West had not been completed. The Wilderness Act had ordered western surveys back in 1964, but initial efforts were hasty and incomplete, and the West was excluded from the 1975 Eastern Wilderness Bill.

In 1976 Congress initiated a second attempt to identify western wilderness areas with the Federal Land Policy and Management Act. According to this act, each state was to carefully survey its BLM, Forest Service, and National Park Service lands. All roadless areas of 5,000 acres (2,000 ha) or more were to be identified and their wilderness, recreational, and scenic assets assessed. Each state legislature was then required to create a policy and management plan for these public lands, identifying which lands could be considered Wilderness Study Areas and which were best used for development, logging, or intensive livestock grazing. This public lands survey was known as the Second Roadless Area Review and Evaluation (RARE II). Although RARE II recommendations from business-minded BLM and Forest Service administrators did not satisfy most conservationists, the survey's WSA lists represented a substantial improvement over previous wilderness identification efforts.

As potential Wilderness Areas, WSAs require a number of basic "wilderness" attributes. As stressed in the 1964 Wilderness Act, these areas must possess a distinctive "wilderness character" and allow visitors an "unimpaired" wilderness experience. Set aside expressly for their wildness, these areas' intended use is mainly low-impact, short-term recreation. Ideally, human presence should be invisible and their impact negligible. Roadless areas are the focus of wilderness surveys precisely because of their relative inaccessibility. However, because all federal laws involve compromise, wilderness designation does not exclude livestock grazing, an extremely common use of public lands in the West. Mineral exploration and exploitation, a potentially disastrous activity in a wilderness, is also legal in most Wilderness Areas.

*See also* Ecosystem; Environmental policy; Habitat; Land-use control.

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Mary Ann Cunningham

## Wildfires

Few natural forces match fire for its range of impact on the human consciousness, with a roaring forest fire at one extreme and a warming and comforting campfire or cooking flame at the other. Along with earth, water, and air, fire is one of the original "elements" once thought to comprise the universe, and it has frightened and fascinated people long before the beginning of modern civilization. In nature, fire both destroys and renews.

Fire is an oxidation process that rapidly transforms the potential energy stored in chemical bonds of organic compounds into the kinetic energy forms of heat and light. Like the much slower oxidation process of decomposition, fire destroys organic matter, creating a myriad of gases and ions, and liberating much of the carbon and hydrogen as carbon dioxide and water. A large portion of the remaining organic matter is converted to ash which may go up in the smoke, blow or wash away after the fire, or, like the humus created by decomposition, be incorporated into the soil.

Although fire often destroys natural ecosystems, modern scientists have recognized the importance of fire in ecological succession and in sustaining certain types of plant communities. Fires can help maintain seral successional stages, prolonging the time for the community to reach climax stage. Some ecosystems depend on recurring fire for their sustainability; these include many prairies, the chaparral of Mediterranean



A firefighter watches a blazing wildfire. (© iStockphoto.com/ Jeremy Sterk)

climatic regions, pine savannas of the Southeastern United States, and long-needle pine forests of the American West. Fire controls competing vegetation, such as brush in grasslands, prepares new seed beds, and kills harmful insects and disease organisms. Nonetheless, diseases sometimes increase after fire because of increased susceptibility of partially burned, weakened trees.

The effects of fire on an ecosystem are highly variable, and they depend on the nature of the ecosystem, the fire and its fuel, and weather conditions. In climatic regions where natural fires occur seasonally, grasslands usually are the first ecosystems to burn because the fuel they supply has a large surface area to volume ratio, which allows it to dry rapidly and ignite easily. Grassland fires tend to burn quickly, but they release little energy compared to fires with heavier fuel types. As a result, the effect on soil properties normally is minor and short-lived. The intense greening of a grassland as it recovers from a burn is due largely to the flush of nutrients released from mature and dead plants and made available to new growth. Grassland fires have been set intentionally for many generations in the name of forage improvement.

Naturally caused brushland fires, including the infamous chaparral fires of the southwestern United States, usually start somewhat later in the season than the first grass fires, and they normally have more intense, longer lasting impacts. These fires burn very rapidly but with far more thermal output than grassland fires, because fuel loading is five to fifty times greater. A combination of hot weather, drought and extremely dry brushland sparked Australia's worst natural disaster, the bush fires of February 2009. Centered in the state of Victoria, the fires killed 173 people.

The season for forest fires normally begins somewhat later than that for grass or brush fires. The fuel in a mature forest, which may be 100 times greater than that of a medium density brushland, requires more time to dry and become available for combustion. When the forest burns, the ground may or may not be intensely heated, depending on the arrangement of fuels from the ground to the forest canopy. Under a hot burn with the heavy ground fuel found in some forests, heat can penetrate mineral soil to a depth of 12 inches (30 cm) or more, significantly altering the physical, chemical, and biological properties of the soil. When the soil is heated, water is driven out; soil structure, which is the small aggregations of sand, silt, clay, and organic matter, may be destroyed, leaving a massive soil condition to a depth of several inches.

Forest and brushland soils often become hydrophobic, or water repellent. A hydrophobic layer a few inches thick commonly develops just below the burned surface. This condition is created when the fire's heat turns organic matter into gas and drives it deeper into the soil, where it then condenses on cooler particle surfaces. Under severe conditions, water simply beads and runs off this layer, like water applied to a freshly waxed car. Soil above the hydrophobic layer is highly susceptible to sheet and rill erosion during the first rains after a fire. Fortunately, it is soon broken up by insects and burrowing animals, which have survived the fire by going underground; they penetrate the layer, allowing water to soak through it.

Forest fires decrease soil acidity, often causing pH to increase by three units (e.g., from 5 to 8) before and after the fire. Normally, conditions return to prefire levels in less than a decade. Fires also transform soil nutrients, most notably converting nutritive nitrogen into gaseous forms that go up in the smoke. Some of the first plants to recolonize a hotly burned area are those whose roots support specialized bacteria that replenish the nutritive nitrogen through a process called nitrogen fixation. Large amounts of other nutrients, including phosphorus, potassium, and calcium, remain on the site, contributing to the so-called "ashbed effect." Plants that colonize these fertile ashbeds tend to be more vigorous than those growing outside of them. When heating has been prolonged and intense in areas such as those under burning logs, stumps, or debris piles, soil color can change from brown to reddish. Fires hot enough to cause these color changes are hot enough to sterilize the soil, prolonging the time to recovery.

In the absence of heavy ground fuels, so much of the energy of an intense forest fire may be released directly to the atmosphere that soils will be only moderately affected. This was the case in the great fires at Yellowstone National Park in 1988, after which soil scientists mapped the entire burn area as low or medium intensity with respect to soil effects. Although soils on some sites did suffer intense heating, these were too small and localized to be mapped or to be of substantial ecological consequence, and most of the areas recovered quickly after the fires.

Although fire is vital to the long-term health and sustainability of many ecosystems, wildfires take numerous human lives and destroy millions of dollars of property each year. Controlling these destructive fires means fighting them aggressively. Fire suppression efforts are based on the fact that any fire requires

three things: heat, fuel, and oxygen. Together, these make up the three legs of the so-called “fire triangle” known to all fire fighters. The strategy in all fire fighting is to extinguish the blaze by breaking one of the legs of this triangle. An entire science has developed around fire behavior and the effects of changing weather, topography, and fuels on that behavior.

Competing conceptions of the costs and benefits of wildfires have led to conflicting fire management and suppression objectives, most notably in the national parks and national forests. The debate over which fires should be allowed to burn and which should be suppressed undoubtedly will continue for some time.

See also Biomass; Biomass fuel; Deforestation; Ecological productivity; Forest management; Forest Service.

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Ronald D. Taskey

## Wildlife

It was once customary to consider all undomesticated species of vertebrate animals as wildlife. Birds and mammals still receive the greatest public interest and concern, consistently higher than those expressed for reptiles and amphibians. Most concern over fish results from interest in sport and commercial value. The tendency in recent years has been to include more

life-forms under the category of wildlife. Thus, mollusks, insects, and plants are all now represented on national and international lists of threatened and endangered species.

The Endangered Species Act (ESA) is enforced by the U.S. Department of Interior Fish and Wildlife Service (FWS) and the Department of Commerce National Oceanic and Atmospheric Administration (NOAA). As of 2009, there are 1,541 species on the endangered list compiled by the FWS, as well as 354 threatened species. A species status on the FWS list is determined by five factors: damage to, or destruction of, a species’ habitat; overutilization of the species for commercial, recreational, scientific, or educational purposes; disease or predation; inadequacy of existing protection; and other natural or man-made factors that affect the continued existence of the species. The National Wildlife Refuge System was established by President Theodore Roosevelt (1858–1919) in 1903 to conserve and protect American flora and fauna. The system currently is maintained by the FWS and has 150 million acres, 550 national wildlife refuges and other units of the Refuge System, and thirty-seven wetland management areas. There are approximately 280 threatened or endangered species located on refuges, and fifty-five refuges were established for the sole purpose of protecting endangered and threatened species.

People find many reasons to value wildlife. Virtually everyone appreciates the aesthetic value of natural beauty or artistic appeal present in animal life. Giant pandas (*Ailuropoda melanoleuca*), bald eagles (*Haliaeetus leucocephalus*), and infant harp seals (*Phoca groenlandica*) are familiar examples of wildlife with outstanding aesthetic value. Wild species offer recreational value, the most common examples of which are sport hunting and bird watching.

Less obvious, perhaps, is ecological value, resulting from the role an individual species plays within an ecosystem. Alligators (genus *Alligator*), for example, create depressions in swamps and marshes. During periods of droughts, these alligator holes offer critical refuge to water-dependent life forms. Educational and scientific values are those that serve in teaching and learning about biology and scientific principles.

Wildlife also has a utilitarian value that results from its practical uses. Examples of utilitarian value range from genetic reservoirs for crop and livestock improvement to diverse biomedical and pharmaceutical uses. A related category, commercial value, includes such familiar examples as the sale of furs and hunting leases.

Shifts in human lifestyle have been accompanied by changes in attitudes toward wildlife. Societies of hunter-gatherers depend directly on wild species for food, as many plains Indian tribes did on bison. But as people shift from hunting and gathering to agriculture, wildlife comes to be viewed as more of a threat because of potential crop or livestock damage. In modern developed nations, people's lives are based less on rural ways of life and more on business and industry in cities. Urbanites rarely if ever feel threatened economically by wild animals. They have the leisure time and mobility to visit wildlife refuges or parks, where they appreciate seeing native wildlife as a unique, aesthetic experience. They also sense that wildlife is in decline and therefore favor greater protection.

The most obvious threat to wildlife is that of direct exploitation, often related to commercial use. Exploitation helped bring about the extinction of the passenger pigeon (*Ectopistes migratorius*), the great auk (*Pinguinus impennis*), Stellar's sea cow (*Hydrodamalis gigas*), and the sea mink (*Mustela macrodon*), as well as the near extinction of the American bison (*Bison bison*). In the late nineteenth and early twentieth century, state and federal laws were passed to help curb exploitation. These were successful for the most part, and they continue to play a crucial role in wildlife management.

Introductions of exotic species represent another threat to wildlife. Insular or island-dwelling species of wildlife are especially vulnerable to the impacts of exotic plants and animals. Beginning in the seventeenth century, sailors deliberately placed goats and pigs on ocean islands, intending to use their descendants as food on future voyages. As the exotic populations grew, the native vegetation proved unable to cope, creating drastic habitat changes. Other species, such as rats, mice, and cats, jumped ship and devastated island-dwelling birds, which had evolved in the absence of mammalian predators and had few or no defenses.

Pollution is yet another threat to wildlife. Bald eagles, ospreys (*Pandion haliaetus*), peregrine falcons (*Falco peregrinus*), and brown pelicans (*Pelecanus occidentalis*) experienced serious and sudden population declines in the 1950s and 1960s. Studies showed that these fish eaters were ingesting heavy doses of pesticides, including DDT. The effects of pesticides left the shells of their eggs so thin that they cracked under the weight of incubating parents, and numbers declined due to reproductive failure. Populations of these birds in the United States rebounded after

regulatory laws curbed the use of these pesticides. However, thousands of other chemicals still enter the air, water, and soil every year, and the effects of most of them on wildlife are unknown.

By far the most critical threat to wildlife is habitat alteration. Unfortunately, it is also more subtle than direct exploitation, and thus often escapes public attention. Human activities are altering some of the most biologically rich habitats in the world on a scale unprecedented in history. Tropical rain forests, for example, originally covered only about 7 percent of the earth's land surface, yet they are thought to contain half the planet's wild species. Other rich habitats undergoing rapid changes and destruction include tropical dry forests and coral reefs. As extensive areas of natural habitat are irrevocably changed, many of the native species that once occurred there will become extinct, even those with no commercial value.

Any species of wild animal has a set of habitat requirements. These begin with food requirements, adequate amounts of available food for each season. Cover or shelter requirements are structural components that are used for nesting, roosting, or watching, or that offer protection from severe weather or predators. Water is a habitat requirement that affects wildlife directly, by providing drinking water, and indirectly, by influencing local vegetation. The final habitat requirement is space. Biologists can now calculate a minimum area requirement to sustain a particular species of a given size.

Even in the absence of human activities, populations of wild animals change as a result of variations in birth and death rates. When a population is sparse relative to the number that can be supported by local habitat conditions, birth rates tend to be high. In such circumstances, natural mortality, including predation, disease, and starvation, tends to be low. As populations increase, birth rates decline and death rates rise. These trends continue until the population reaches its carrying capacity, the number of animals of a particular species that can be sustained within a given area.

Carrying capacity, though, is difficult to define in practice. Variations in winter severity or in summer rainfall can, between years, alter the carrying capacity for a particular area. In addition, carrying capacity changes as forests grow older, grasslands mature, or wetlands fill in through natural siltation. Despite these limitations, the concept of carrying capacity illustrates an important biological principle: living wild animals cannot be stockpiled beyond the practical limits that local habitat conditions can support.

While all populations vary, some undergo extreme fluctuations. When they occur regularly, such fluctuations

are termed cycles. Cyclical populations fall into two categories, the three- to four-year cycle typical of lemmings and voles, and the eight- to eleven-year cycle known in snowshoe hares (*Lepus americanus*) and lynx of the Western Hemisphere (*Lynx canadensis*). The mechanisms that keep cycles going are complex and not completely understood, but the existence of cycles is widely accepted. Extreme population fluctuations that occur at irregular intervals are termed population irruptions. Local populations of deer tend to be irruptive, suddenly showing substantial changes at unpredictable intervals.

There are more species of wild plants and animals in tropical rain forests than on arctic tundras. Such patterns illustrate variations in the complexities of life-forms due to climatic conditions. Measurement of biodiversity usually focuses on a particular group of organisms such as trees or birds. The most basic indication of species richness is the total number of species in a certain area or habitat. A measure of species evenness is more valuable because it indicates the relative abundance of each species. As diversity includes richness and evenness, an area with many species uniformly distributed would have a high overall diversity.

Biodiversity is important to wildlife conservation as well as to basic ecology. Comparisons of species diversity patterns indicate the extent to which natural conditions have been affected by human activities. They also help establish priorities for acquiring new protected areas.

In May 2008, the Zoological Society of London and World Wide Fund for Nature (WWF) released results of a study indicating a dramatic decline in global wildlife populations during the last four decades. The data showed that between 1970 and 2005, the populations of land-based species fell by 25 percent, of marine species by 28 percent, and of freshwater species by 29 percent. Biodiversity loss was also reported in the form of extinction of about 1 percent of the world's species each year. The two groups, in agreement with most biologists, stated that one of the "great extinction episodes" in Earth's history is now under way. Species whose populations shrink can be at greater risk of extinction than species with large populations.

The losses were attributed to pollution, urbanization, overfishing, and hunting, but both groups emphasized that climate change would play an increasing role in species decline over coming decades. The Zoological Foundation and WWF based their conclusion upon population estimates and other data related to 14 hundred species tracked as part of their joint Living Planet index. Data were obtained from publications in scientific journals.

See also Predator-prey interactions; Wildlife refuge; Wildlife rehabilitation.

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James H. Shaw

## Wildlife management

Wildlife laws are enforced by state and federal agencies, usually known as wildlife departments or fish and game commissions. In the United States, principal responsibility for laws protecting migratory species and threatened and endangered species rests with the U.S. Fish and Wildlife Service.

Various nongovernmental organizations (NGOs) have grown in membership and influence since the 1960s. NGOs include private conservation groups such as the National Audubon Society, the National Wildlife Federation, and the World Wildlife Fund. Although they have no direct legal powers, NGOs have achieved considerable influence over wildlife management through fund raising, lobbying, and other kinds of political action, as well as by filing lawsuits concerning wildlife-related issues.

Depletion of native wildlife during the late nineteenth century in the United States and Canada led to passage of federal and state laws regulating the harvest and possession of resident species. Congress passed the Lacey Act in 1900, which made interstate shipment of wild animals a federal offense if taken in violation of state laws, and so curbed market hunting. A few years later, the Migratory Bird Treaty Act was passed, establishing federal authority over migratory game and insectivorous birds. This treaty was signed by Great Britain on behalf of Canada in 1916 and became effective two years later.

Other protective measures soon outlawed market hunting and regulated sport hunting. Hunters are now required to purchase hunting licenses, the proceeds of which help pay salaries of game wardens, and game harvests are now regulated chiefly through hunting seasons and bag limits. These are imprecise tools, but the resulting harvests are generally conservative and excessive ones are rare.

In 1973, the Endangered Species Act conferred federal protection on listed species, resident as well as migratory. Three years later, the United States became one of the first nations to sign the Convention on International Trade in Endangered Species (CITES), in which more than 100 nations pledged to regulate commercial trade in threatened species and to effectively outlaw trade in endangered ones.

Although laws regulating the taking or possession of wild animals are essential, they are not sufficient by themselves. Wildlife populations can only be sustained if adequate measures are taken to manage the habitats they require. Habitat management can take three basic approaches: preservation, enhancement, and restoration. Preservation seeks to protect natural habitats that have not been significantly affected by human activity. The principal tactic of preservation is exclusion of mining, grazing by livestock, timber harvesting, oil and gas exploration, and environmentally damaging recreational practices. Federally-designated wilderness areas and national parks use habitat preservation as their principal strategy.

Habitat enhancement is designed to increase both wildlife numbers and biodiversity through practices that improve food production, cover, water, and other habitat components. Enhancement differs from preservation because improvements are often achieved through artificial means, such as nest boxes, the cultivation of food plants, and the installation of devices to regulate water levels. Most state wildlife management areas and many national wildlife refuges practice enhancement.

Habitat restoration seeks to reestablish the original conditions of an area that have been substantially altered by human use, such as farming. Most land use practices result in a simpler biological community, so many of the original species must be reintroduced. Although not a precise science, in the future this kind of restoration will likely be more widely used to expand rare types of habitat. Costa Rica is using restoration to create more tropical dry forests, and The Nature Conservancy in the United States has used it to reestablish tallgrass prairie habitat.

Although the strategies used in wildlife management usually involve regulation of harvests and manipulation of habitats, the objectives of most management plans are defined in terms of population levels. Most game management, for example, seeks to increase production of game. Many people assume that game production rises with game populations. In reality, game production is maximized by improving habitat conditions so as to raise the carrying capacity for a particular game species. Rather than let populations reach their respective carrying capacities, modern wildlife managers harvest enough game to keep the population below it, and this practice results in healthier populations with lower rates of natural mortality and higher rates of reproduction. Hunters may take more animals from a population managed at a population level below carrying capacity than they can from one managed at it.

Some wildlife populations are managed to minimize the damage they can inflict upon crops or livestock. Some animal-damage control is done by reducing the wildlife population, usually through poisoning. But just as game populations become more productive when reduced below carrying capacity, so animals that inflict crop or livestock damage often compensate for population reductions with higher rates of reproduction, as well as higher survival rates for their young. This compensatory response to control, together with increased public pressures against uses of poisons, has led to refinements in animal-damage control. The trend in recent years has been toward methods that prevent damage, such as special fencing

or other protective measures, and away from widespread population reductions. When lethal measures are taken, wildlife managers have tended to endorse selective removal of offending individuals, particularly in large carnivorous species.

For rare species, those endangered, threatened, or declining, managers attempt to increase numbers, establish new populations, and above all, preserve each rare species' gene pool. Legal protection and habitat improvement are important means for managing rare species, just as they are for game animals; in addition, rare species are helped by captive propagation done under cooperative agreements between zoos and the U.S. Fish and Wildlife Service. Although expensive, captive propagation can increase numbers quickly by using new technologies such as artificial insemination and embryo transfers. Once the numbers in captivity have been built up, some of the population can then be reintroduced into suitable habitat. Wildlife managers prepare wild animals bred in captivity for reintroduction through acclimation, training, and by selecting favorable age and sex combinations.

Rare species often face long-term problems resulting from reductions in their gene pools. When any species is reduced to a small fraction of its original numbers, certain genes are lost because not enough animals survive to ensure their perpetuation. This loss is known as the bottleneck effect. Rare species typically exist in small, isolated populations, and they lose even more of their gene pools through the inbreeding that results. The Florida panther (*Puma concolor coryi*), a nearly extinct subspecies of cougar, exhibits loss of size, vigor, and reproductive success, clear indications of a depleted gene pool, the result of the bottleneck effect and inbreeding.

Managers try to minimize the loss of gene pools by striving to prevent severe numerical reductions from occurring in the first place. They also use population augmentation to systematically transfer selected individuals from other populations, wild or captive, to encourage outbreeding and can replenish local gene pools.

Modern wildlife managers tend not to concentrate on perpetuating one species, focusing instead on maintaining entire communities with all their diverse species of plant and animal life. Maintenance of biodiversity is important because wild species exist as ecologically interdependent communities. If enough of these communities can be maintained on a sufficiently large scale, the long-term survival of all of its species may be assured.

From a practical standpoint, there are simply not enough resources or time to develop management

plans for each of the Earth's 4,100 species of mammals or 9,000 species of birds, not to mention the other, more diverse life-forms. The tedious process of listing threatened and endangered species is slow and often achieved only after the species is on the brink of extinction. Recovery becomes expensive and despite heroic efforts, may still fail.

If biodiversity management succeeds, it may reduce the loss of wild species through proactive, as opposed to reactive, measures. Many North American songbirds, particularly those of the eastern woodlands and the prairie regions, are experiencing steady declines. Even familiar game species, including the northern bobwhite quail (*Colinus virginianus*) and several species of duck, are becoming less abundant. The causes are complex and elusive, but they probably relate to our failure to stabilize habitat conditions on a sufficient scale. If wildlife managers can reverse this trend, they may stop and ultimately reverse these declines.

See also Defenders of Wildlife; Migration; Overhunting; Predator control; Restoration ecology; Wildlife refuge.

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James H. Shaw

## Wildlife refuge

When President Theodore Roosevelt issued an executive order making Pelican Island, Florida, a federal bird reservation, he introduced the idea of a national wildlife refuge. Nearly ninety years later, Roosevelt's action has expanded to a system of more than 400 national wildlife refuges with a combined area of over 90 million acres (36 million ha).



**A herd of bison (*Bison bison*) in a prairie dog town. Wichita Mountains Wildlife Refuge, Oklahoma. (Nature's Images / Photo Researchers, Inc.)**

Roosevelt's action was aimed at protecting birds that used the islands. Market hunters had relentlessly killed egrets, herons, and other aquatic birds for their feathers or plumes to adorn women's fashions. The first national wildlife refuge, like other conservation practices of the early twentieth century, emerged in reaction to unregulated market hunting. Pelican Island became a sanctuary where wild animals, protected from gunfire, would multiply and then disperse to repopulate adjacent countryside.

States followed suit, establishing protected areas known variously as game preserves, sanctuaries, or game refuges. They were expected to function as breeding grounds to repopulate surrounding countryside. Although protected populations usually persisted within the boundaries of such refuges, they seldom repopulated areas outside those boundaries. Migratory waterfowl enjoyed some success in repopulating because they could fly over unsuitable habitats and find others that met their needs. Nonmigratory or resident species often found themselves surrounded by inhospitable tracts of farmlands, highways, and pastures. Dispersal chances were limited. Resident populations, particularly those of deer and other large herbivores, built up to unhealthy levels, depleting natural foods, becoming infested with heavy parasite loads, and leaving the animals susceptible to starvation. Refuges proved to be too small and too

scattered to compensate for changes in the overall landscapes imposed by expanding human populations.

In 2006, the Congressional Wildlife Refuge Caucus was established in the United States House of Representatives to represent and support the National Wildlife Refuge System in Congress in terms of legislation, education, and providing funding. As of 2010, there are 550 refuges covering more than 150 million acres. Wildlife refuges are also used for outdoor recreation and educational purposes. The Refuge System oversees the six priority activities outlined in the Refuge Improvement Act (1997): wildlife photography, hunting, fishing, wildlife observation, environmental education, and interpretation.

The U.S. Fish and Wildlife Service administers the National Wildlife Refuge System and, in keeping with its legal responsibilities, designed the system to provide crucial habitat reserves for migratory waterbirds. Roughly 80 percent of the refuges in this system were established to provide breeding grounds, wintering grounds, or migration stopover sites for aquatic migrants. The task of providing critical habitat for threatened and endangered species, another area of federal commitment, has been added to this mission.

As wildlife researchers learned more about habitat needs, survival rates, and the dispersal abilities of birds

and mammals, wildlife managers began to redefine refuges more in terms of meeting habitat needs than in providing sanctuary from hunters. Without considering habitat quality both on the refuge itself and along its boundaries, no level of protection from gunfire could ensure perpetuation of native wildlife.

It is uncertain how large a habitat refuge must be to ensure that its populations will survive. Nature provides a clue through an experiment that began 10,000–11,000 years ago at the end of the last ice age. As the glacial ice melted, sea levels rose. Coastal peninsulas in the Caribbean and elsewhere became chains of islands as the rising waters covered lower portions of the peninsulas.

The original peninsulas presumably had about the same number of vertebrate species as did the adjacent mainland. By comparing the numbers of birds, mammals, reptiles, and amphibians present on the islands within historical times with those on adjacent mainlands, biologists have found that the islands contain consistently fewer species. Furthermore, the number of species that a given island maintained was correlated directly to island size and inversely to distance from the mainland.

The most likely explanation for this pattern is that the smaller the island, the less likely that species will survive in isolation over long periods of time. The greater the distance, the less likely that wild animals will re-colonize the island by making it across from the mainland.

Most refuges are surrounded by land, not water. Several studies from national parks in the American West, areas protected from both hunting and habitat alterations, have compared the number of large mammal species surviving in the parks. These studies show consistently that the bigger the park, the greater the number of surviving species of large mammals.

Large carnivores such as wolves, bears, lions, tigers, and jaguars need the largest expanses of protected areas. Because they attack livestock (and occasionally humans), large carnivores have long been subjected to persecution. However, there are biological reasons for their rarity as well. Any given level in the food chain/web can exist at only a tiny fraction of the abundance of the level beneath it. It may take 100 or more moose (*Alces alces*) to support a single wolf (genus *Canis*) or 1,000 Thompson's gazelles (*Gazella thomsonii*) to sustain one lion (*Panthera leo*). These conditions mean that large carnivores occur at low levels of abundance and range over large areas. Simulation models that take into account the areas needed for long-term perpetuation of lions or bears suggest that even the largest national parks and other protected areas may be too small. Either the protected areas themselves will need to be expanded substantially or the populations will have to be aided by

reintroduction of individuals from outside the protected zones.

Although large carnivores remain controversial, they also offer popular appeal to a growing segment of the public. Refuges, parks, and other protected sites large enough to support a population of tigers or wolves, even for a short time, will almost certainly be large enough to meet the needs of other wild species as well. Thus, Project Tiger in India helped sambar (*Cervus unicolor*) and chital deer (*Axis axis*) populations by defining areas as tiger preserves.

In the United States and Canada, people tend to think of national parks as scenic areas for tourism and recreation. But national parks also act as refuges by protecting wildlife from direct exploitation and by attempting to preserve original habitat conditions. The grizzly bear (*Ursus arctos*) once ranged from the western prairies to the Pacific Ocean. By the end of World War II, the only grizzly bear populations in the lower 48 states occurred in and around two large national parks: Yellowstone National Park and Glacier. Had it not been for these parks, the grizzly bear would have become completely extinct in the United States, exclusive of Alaska, during the first half of the twentieth century.

Worldwide standards for refuges, parks, and other protected areas have been developed by the United Nations (UN), the IUCN—The World Conservation Union, and the IUCN's World Commission on Protected Areas. These agencies compile listings every five years according to three general criteria. To be included, an area must be at least 2,500 acres (1,000 ha) with the exception of offshore islands of at least 250 acres (100 ha), have effective legal protection and adequate *de facto* protection, and be managed by the highest appropriate level of government.

The 2003 tally by the IUCN/UN, which, as of 2010, remains the most recent tally, lists over 102,000 protected areas with a total area of 7.3 million square miles (18.8 million sq km), about 11.5 percent of the earth's land surface. Of the 193 major habitat types, the vast majority are represented by at least one protected area.

The number of internationally recognized areas of protected habitats grew from about 1,800 in 1970 to nearly 7,000 by 1990, 30,000 by 2000, and jumped to over 102,000 by 2003. This expansion resulted from improved cooperation between nations and from the growing realization that without protected areas, the earth's wildlife would be in peril. During the next quarter century, the list of protected areas will likely continue to grow, especially in developing nations. They will become increasingly valued for tourism and for national prestige. The effectiveness of refuges

in the more distant future will depend on population growth and on how quickly people shift from extractive to sustainable land use practices. The current tendency to view habitat protection as the antithesis to economic development will fade as people recognize the importance, both ecologically and economically, of maintaining the world's wildlife.

Wildlife refuges will continue to be important in the face of climate change, which will deplete some species. Their importance was celebrated in 2010, designated the International Year of Biodiversity.

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James H. Shaw

## Wildlife rehabilitation

Wildlife rehabilitation is the practice of saving injured, sick, or orphaned wildlife by taking them out of their habitat and nursing them back to health. Rehabilitated animals are returned to the wild whenever possible. Although precise estimates of the numbers of

wild animals rehabilitated are impossible to obtain, the practice seems to be expanding in nations such as the United States and Canada. The National Wildlife Rehabilitators Association (NWRA), an international group formed in the early 1980s, estimates that its members treat about 500,000 wild animals annually, with at least twice that number of telephone inquiries.

Wildlife rehabilitation differs from wildlife management in the sense that rehabilitators focus their attention on the survival of individual animals, often without regard to whether it is a member of a rare species. Wildlife managers are principally concerned with the health and well-being of wildlife populations and rarely concentrate on individuals.

Wayne Marion, formerly of the University of Florida, has summarized the advantages and disadvantages of wildlife rehabilitation. Rehabilitation facilities give the public a place to bring injured or orphaned wild animals while giving veterinary students the opportunity to treat them. They also save the lives of many animals that would otherwise die; experience gained through the handling and care of common species may be put to use when rarer animals need emergency care. But rehabilitation, according to Marion, is expensive, and common species constitute the large majority of animals saved. Rehabilitated wildlife also lose their fear of humans and risk catching diseases from other animals.

Besides routine care for injured or orphaned animals, rehabilitation groups often organize rescues of wild animals following catastrophes. When the oil tanker *Exxon Valdez* ran aground in 1989, spilling 11 million gallons of crude oil into Prince William Sound, the International Bird Rescue Research Center (IBRRC) directed a rescue operation involving 143 boats. Over 1,600 birds representing seventy-one species were rescued alive. Survival rates varied by species, but overall roughly half of the birds were eventually returned to the wild. Rehabilitators also recovered 334 live sea otters from areas affected by the spill, cleaned and treated them, and returned 188 to the wild.

Wildlife rehabilitation should become more integrated with conventional wildlife management in the future, especially when catastrophes threaten endangered species. Meanwhile, an important role of rehabilitators is in education. Rehabilitated animals that have lost all fear of humans, or who are permanently injured, cannot be returned to the wild. Such individuals can be used to teach school children and civic groups about the plight of wildlife by bringing rare animals, such as the bald eagle or peregrine falcon to display at talks.

See also Game animal; Nongame wildlife; Non-governmental organization.

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James H. Shaw

## Wilson, Edward O.

1929–

American zoologist and behavioral and evolutionary biologist

Edward Osborne Wilson is an American academic who is a biologist, naturalist, and author. He was born on June 10, 1929, in Birmingham, Alabama, and is one of the foremost authorities on the ecology, systematics, and evolution of the ant.

Wilson developed an interest in nature and the outdoors at an early age. Growing up in rural, south Alabama, near the border of Florida, young Wilson earned the nickname “Snake” Wilson by having collected most of the forty snake species found in that part of the country. He began studying ants after an accident impaired his vision and has been studying them for over fifty years, having progressed from amateur to expert with relative swiftness. His formal training in the biological sciences resulted in his receiving the Bachelor of Science degree in 1949 and Master of Science in 1950 from the University of Alabama. He received his Ph.D. in 1955 from Harvard University.

He assumed a faculty position at Harvard. As of 2010, he is a professor of entomology.

Wilson’s work on ants has taken him all over the world on numerous scientific expeditions. He has discovered several new species of these social insects, whose current tally of named species numbers nearly 9,000. Wilson estimates, however, that there are probably



American sociobiologist E. O. Wilson studies fire ants in the insectary at Harvard University, Cambridge, Massachusetts, in 1975. (Photo by Hugh Patrick Brown/Time & Life Pictures/Getty Images)

closer to 20,000 species in the world, and those species comprise over a million billion individuals. Much of his work on this fascinating group of insects have been synthesized and published in a monumental book he co-authored with Bert Holldobler in 1990, simply entitled *The Ants*.

Besides hundreds of scientific papers and articles, Wilson has published several other books that have been the focus of much attention in the scientific community. In 1967, Robert H. MacArthur, a renowned ecologist, and Wilson published *The Theory of Island Biogeography*, a work dealing with island size and the number of species that can occur on them. The book also examines the evolutionary equilibrium reached by those populations, the implications of which have been more recently applied to the loss of species through tropical deforestation. In 1975, Wilson published his most controversial book, *Sociobiology: The New Synthesis*, in which he discussed human behavior based on the social structure of ants. His book *On Human Nature*, also relating sociobiology and human evolution, earned him a Pulitzer Prize for General Nonfiction in 1979. *Biophilia* won him popular acclaim in 1984, as have his more recent books dealing with the threats to the vast diversity of species on earth, *Biodiversity*, published in 1988, and *The Diversity of Life*, published in 1992. Wilson again won the Pulitzer for General Non-fiction in 1991 for his work *The Ants*. He was also awarded the 1997 Earthwatch Global Citizen Award, the 1998 Zoological Society of San Diego Conservation Medal and 100 Champions of Conservation, 20th Century by the National Audubon Society (1998). Wilson was named Humanist of the Year in 1999 by the American Humanist Association. Other of his honors include the Distinguished Eagle Scout Award (2004), the TED Prize (2007), and the Thomas Jefferson Medal in Architecture (2010)

In 2006, Wilson won the George R. Stibitz Computer and Communications Pioneer Award from the American Computer Museum and Montana State University's computer science department. He was given the award for his work in promoting the *Encyclopedia of Life*, an electronic source which contains information about all of Earth's species. The following year, Wilson was honored with the first Green Book Award from the Center for Science Writings at the Stevens Institute of Technology for his book *The Creation: An Appeal to Save Life on Earth*. Finally, in April of 2010, Wilson's novel *Anthill* was published.

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Eugene C. Beckham

## Wind energy

The ultimate source of most energy used by humans is the sun. Fossil fuels, for example, are substances in which solar energy has been converted and stored as plants or animals that have died and decayed. When energy experts look for alternative energy sources, they often search for new ways to use solar energy. Wind energy, although not technically classified as solar energy, is produced by the uneven heating of the earth by the sun.

When sunlight strikes the earth, it heats objects such as land, water, and plants, but it heats them differentially. Dark-colored objects absorb more heat than light-colored ones and rough surfaces absorb more heat than smooth ones. As these materials absorb varying amounts of heat, they also transmit that heat to the air above them. When air is warmed, it rises into the air until it reaches approximately six miles (ten kilometers) and then spreads toward the North and South Poles. The rising air is replaced by cooler air, leaving a low-pressure area that attracts winds from the north and south. This movement of air results in winds, and their direction is affected by the rotation of the earth. For these reasons, some types of topography and some geographic regions are more likely to experience windy conditions than others, and from the standpoint of energy production, these areas are reserves from which energy can be extracted.

Devices used for capturing the energy of winds are known as windmills. The wind strikes the blades of a windmill, causing them to turn, and the solar energy stored in wind is converted to the kinetic energy of moving blades. That energy, in turn, is used to turn an axle, to power a pump, to run a mill, or to perform some other function. The amount of power that can be

converted by a windmill depends primarily on two factors: the area swept out by the windmill blade and the wind speed. One goal in windmill design, therefore, is to produce a machine with very large blades. But, the power generated by a windmill depends on the cube of the wind speed, and so in any operating windmill, natural wind patterns are by far the most important consideration.

Farmers have been using windmills to draw water from wells and to operate machinery for centuries, and the technology has been traced back to the seventh century AD. In the early twentieth century, windmills were a familiar sight on U.S. farms. More than six million of them existed, and some were used to generate electricity on a small scale. As other sources of power became available, however, that number declined until the late 1970s, when no more than 150 thousand were still in use.

In the 1970s, windmills were not considered part of the nation's energy equation. Fossil fuels had long been cheap and readily available, and nuclear power was widely regarded as the next best alternative. The 1973 oil embargo by the Organization of Petroleum Exporting Countries (OPEC) and the Three Mile Island nuclear disaster in 1979 changed these perceptions and caused experts to begin thinking once more about wind power as an alternative energy source.

During the 1970s, both governmental agencies and private organizations stepped up their research on wind power. The National Aeronautics and Space Administration (NASA), for example, designed a number of windmills, ranging from designs with huge, broad-blade fans, to ones with thin, airplane-like propellers. The largest of these machines, weighing one hundred tons, consisted of blades three hundred feet (one hundred meters) long, which rotate on top of towers two hundred feet (sixty meters) tall. At winds of 15–45 miles per hour (25–70 km per hour), these windmills can generate 2.5 megawatts (MW) of electricity. Under the best circumstances, modern windmills achieve an energy-conversion efficiency of 30 percent, comparable or superior to any other form of energy conversion.

As a result of changing economic conditions and technological advances, windmills became an increasingly popular energy source for both individual homes and large energy-producing corporations. With dependable winds of 10–12 miles per hour (16–20 km per hour), a private home equipped with a windmill can generate enough electricity to meet its own needs. The 1978 Public Utilities Regulatory Policies Act requires electric utilities to purchase excess electricity from

private citizens who produce more than they can use by alternative means, such as wind power. Wind farms, consisting of hundreds of individual windmills, are able to generate enough electricity to meet the needs of small communities. By the late 1980s, more than seventy wind farms had begun operation in Vermont, New Hampshire, Oregon, Montana, Hawaii, and California.

North America has large wind reserves. Twelve states—North Dakota, South Dakota, Texas, Kansas, Montana, Nebraska, Wyoming, Oklahoma, Minnesota, Iowa, Colorado, and New Mexico—contain as much as 90 percent of the U.S. wind potential. It is estimated that North Dakota alone has enough wind to supply 37 percent of the electricity used in the entire United States in 1999. The largest number of wind farms in the United States are found in California (about 95 percent of U.S. capacity), and the greatest concentration of them are located at Altamont Pass east of San Francisco. The wind farms at Altamont Pass date to the early 1980s, when a state study declared it the most promising site for windmills, and a 25 percent state and federal tax credit encouraged eleven different developers to build 3800 hundred windmills there. By the time those credits expired, wind power had proven itself to be an inexpensive source of electricity for northern California. In 1993, a single company, U.S. Windpower, operated 4200 windmills at Altamont Pass, dependably generating 420 MW of electrical energy. By 1995 California produced enough wind power to supply all of San Francisco's residents with electricity. Technological improvements have reduced the cost of wind power, and also have increased the efficiency and durability of wind power stations. From about twenty-five cents per kilowatt-hour (KWH) in 1980, wind energy costs declined to about five cents per KWH by 1995 at sites with strong winds, making it competitive with fossil fuel energy.

By the mid-1990s, wind energy had become the fastest growing source of energy in the world. Global wind electric capacity doubled between 1995 and 1998, and doubled again by 2001, reaching 23,300 megawatts in 2001. The 2001 world wind energy total was enough to power about 10 million households in industrialized countries. Since the early 1990s, the U.S. share of world wind power has declined, as countries including Japan, Germany, Denmark, Spain, and India dramatically increased their wind power production. The European Wind Energy Association estimates that the European Union will reach 60,000 MW in wind power production by 2010. As of 2008, the global wind power capacity exceeded 120 gigawatts (GW). The U.S. installed 8.4



**Wind farm.** (Pedro Salveria/Shutterstock.com)

GW in 2008, causing it to surpass Germany in installed wind power capacity. Currently, wind power supplies energy in more than seventy countries.

Cheap fossil fuel prices and changing government tax breaks for renewable energy caused wind energy production to stagnate or decline in the United States in the mid- to late-1990s. However, the year 2001 saw record U.S. wind installations, and the U.S. share of global capacity rose for the first time since 1986. New installations include ones in Texas (900 MW), in Oregon and Washington along the Columbia River (1,300 MW), Pennsylvania, and Palm Springs, California. A 3,000-MW wind farm was being planned in South Dakota, and the nation's first offshore wind farm was being designed for operation off the coast of Cape Cod, Massachusetts.

A trend in wind power is offshore production, particularly in Europe. Offshore wind farms are being explored due to fewer available land sites, as well as higher and more consistent winds offshore. Engineers are studying means of capturing offshore wind power. In coastal urban areas, wind farms could be built offshore on floating platforms. The electricity generated could be sent back to land on large cables or used on the platforms to generate hydrogen from seawater, which could then be shipped to land and used as a fuel.

Like any energy source, wind power has some disadvantages, and these are some of the reasons

why wind power has not already become more popular. The most obvious disadvantage is that it can be used only in locations that have enough wind over an extended part of the day. Storage of energy is also a problem. Wind is strongest in spring and autumn when power is least needed and weakest in summer and winter when demand is greatest. Other drawbacks include controversies over the appearance of wind farms and the claim that they spoil the natural beauty of an area. Concerns have also been raised about the noise they produce and the electromagnetic fields they create. Finally, wind farms cause the death of untold thousands of birds each year, because birds use the same windy passages in their flight patterns that are good for energy production.

Around the world, wind power will become increasingly important as concerns increase over climate change and the pollution caused by the burning of fossil fuels, and as technology makes it cheaper and more available. Alternative sources of fuel and energy have become more important considering the issue of climate change and the state of the environment. If the trend in installing wind power generators continues, wind will supply 10 to 12 percent of the global electricity demand by the year 2020. If this amount of wind power is used to generate electricity, a reduction in carbon dioxide (CO<sub>2</sub>) emissions of 1.7 tons (1.5 metric tons) per year will result.

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- American Wind Energy Association, 122 C Street, NW, Suite 380, Washington, DC, USA, 20001, (202) 383-2500, (202) 383-2505, windmail@awea.org, http://www.awea.org
- European Wind Energy Association, Rue de Trone 26, B-1000 Brussels, Belgium, +32 2 546 1940, +32 2 546 1944, ewea@ewea.org, http://www.ewea.org

David E. Newton  
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## Windscale (Sellafield) plutonium reactor

The Windscale nuclear reactor—also called the Windscale Advanced Gas Cooled Reactor (WAGR)—was built in the 1940s near Sellafield, a remote farm area of northern England, to supply nuclear power to the region. This early reactor was designed with a large graphite block in which cans containing uranium fuel were embedded inside. The graphite served to slow down fast-moving neutrons produced during nuclear fission, allowing the reactor to operate more efficiently.

Graphite behaves in a somewhat unusual way when bombarded with neutrons. Water, its modern counterpart, becomes warmer inside the reactor and circulates to transfer heat away from the core. Graphite, on the other hand, increases in volume and begins to store energy. At some point above 572°F (300°C), it may then suddenly release that stored energy in the form of heat.

A safety system that allowed this stored energy to be released slowly was installed in the Windscale reactor. On October 7, 1957, however, a routine procedure designed to release energy stored in the graphite cube failed, and a huge amount of heat was released in a short period of time. The graphite moderator caught fire, uranium metal melted, and radioactive gases were released to the atmosphere. The fire burned for two days before it was finally extinguished with water.

Fortunately, the area around Windscale is sparsely populated, and no immediate deaths resulted from the accident. However, quantities of radiation exceeding safe levels were observed shortly after the accident in Norway, Denmark, and other countries east of the British islands. British authorities estimate that 30 or more cancer deaths since 1957 can be attributed to radioactivity released during the accident. In addition, milk from cows contaminated with radioactive iodine-131 had to be destroyed. The British government eventually decided to close down and seal off the damaged nuclear reactor.

The Windscale facility ceased operations in 1981. Thereafter, decommissioning of the facility began one year later. The removal of the fuel elements from the reactor core was completed in 1982. The empty core was designated to be used as a storage compartment for reactor waste. Dismantling of the facility began in 1989, with the end result of returning the site to “green field” status.

Four decades after the accident, Sellafield was back in the news. In 1991, the Radioactive Waste Management Advisory Committee recommended that Sellafield be chosen as the site for burying Britain’s high-, low-, and intermediate-level radioactive wastes. A complex network of tunnels 2,500 feet (800 m) below

ground level would be ready to accept wastes by the year 2005, according to the committee’s plan.

Although some environmental groups object to the plan, many citizens do not seem to be concerned. In spite of the high levels of radiation buried in the old plant, Sellafield has become one of the most popular vacation spots for Britons.

As of 2009, the plan proposed by the Committee on Radioactive Waste Management has continued to store nuclear waste at the Sellafield site in Cumbria, along with the Dounreay site (north of Scotland). In fact, most of the United Kingdom’s nuclear waste is stored at these two sites. The Windscale reactor site is also used to demonstrate ways to safely decommission nuclear reactors.

*See also* High-level radioactive waste; Liquid metal fast breeder reactor; Low-level radioactive waste; Radiation exposure; Radiation sickness.

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David E. Newton

## Winter range

Winter range is an area that animals use in winter for food and cover. Generally, winter range contains a food source and thermal cover that together maintain the organism's energy balance through the winter, as well as some type of protective cover from predators. Although some species of animals have special adaptations, such as hibernation, to survive winter climates, many must migrate from their summer ranges when conditions there become too harsh. Elk (*Cervus elaphus*) inhabiting mountainous regions, for example, often move from higher ground to lower in the fall, avoiding the early snow cover at higher elevations. Northern populations of caribou or reindeer (*Rangifer tarandus*) often travel over 600 miles (965 kilometers) between their summer ranges on the tundra and their winter ranges in northern woodlands. Still more extreme, the summer and winter ranges of some animals are located on different continents. North American birds known as neotropical migrants (including many species of songbirds) simply fly to Central or South America in the fall, inhabiting winter ranges many thousands of miles from their summer breeding grounds.

WIPP see **Waste Isolation Pilot Plan**.

## Wise use movement

The wise use movement was developed in the late 1980s as a response to the environmental movement and increasing government regulation. A grassroots environmental movement came about because of perceived impotence of federal regulatory agencies to either regulate the continuing flow of untested toxics into the environment or clean up the massive mountain of accumulating waste through the Superfund law. The wise use movement has begun to address these same issues, but basically from a financial standpoint.

Recognizing that cleanup is far more costly than anticipated and persisting in the belief that public land should be available for business use, the wise use movement has begun to garner a growing constituency. One of the major new themes, for example, is that low exposures to chemicals and radiation are not harmful to humans or ecosystems, or at least not

harmful enough to warrant the billions of dollars needed to protect and clean up the environment. Some companies, rather than changing manufacturing processes or doing research on less toxic chemicals, have chosen to continue doing business as usual and are among the leaders of the wise use movement.

The oil, mining, ranching, fishing, farming, and off-road vehicles industries—which are most affected by wetland regulation and restrictions on land use—also form a constituency for the wise use movement. The fight for control over land is an old one. Traditionally, timber and mining companies have sought unrestricted access to public lands. Environmental groups such as the Wilderness Society, the National Audubon Society, the Sierra Club, and the Nature Conservancy have fought to restrict access. This controversy has been in open debate since at least 1877. At that time, Carl Schurz, then Secretary of the Interior, proposed the idea of national forests, which would be rationally managed instead of exploited. Today, the debate between the environmental and wise use movements represents little more than the longstanding controversy over the best use of public lands—the 29.2 percent of the total area of the United States owned by the federal government. At present, the wise use movement is most active in the western states with regard to the debate over land use, but it is moving into the East as well, where the movement is championed by developers who want to abolish wetlands regulations.

At this point, several thousand small groups and countless individuals identify to some degree with the wise use movement. They claim they are the only true environmentalists and label traditional environmentalists “preservationists who hate humans.” The wise use aim is to gut all environmental legislation on the theory that regulation has ruined America by curtailing the rights of property owners. Many wise use advocates avoid complexity by simply denying the existence of many widely-accepted theories. For example, some wise use leaders insist that the ozone layer depletion problem was manufactured by the National Aeronautics and Space Administration (NASA) and isn't a real threat.

The philosophy of the wise use movement is based on a book by Ron Arnold and Alan Gottlieb, *The Wise Use Agenda* (1988). The movement took a major step forward after a conference held in Reno, Nevada in August of 1988, sponsored by the Center for the Defense of Free Enterprise. Funding for the conference came from large corporations along with

a number of right-wing business, political, and religious organizations. The conference was attended by roughly 300 people from across the United States and Canada, representing those industries that feel most threatened by current regulation. These people became the activist founders of the wise use movement. Calling themselves the “new environmentalists,” they moved on to organize grassroots support.

The wise use movement has developed a 25-point agenda, seeking to foster business use of natural resources. Wise use goals are considered environmentally damaging and are opposed by the traditional environmentalist movement. The wise use movement pursues the development of petroleum resources in the Arctic National Wildlife Refuge in Alaska. It advocates clear-cutting of old-growth forest and replanting of public lands with baby trees, the latter at government expense. It aims to open public lands, including wilderness and national parks, to mining and oil drilling. It seeks to rescind all federal regulation of those water resources originating in or passing through the states, favoring state regulation exclusively. The wise use movement further advocates the use of national parks for recreational purposes and a stop to all regulation that may exclude park visitors for protective purposes. It opposes any further restrictions on rangelands as livestock grazing areas. It advocates the prevention and immediate extinction of all wildfires to protect timber for commercial harvesting.

The above is but a sampling of the agenda of wise use groups in the United States and abroad. The movement is using established corporate structures as a base, which provides training and support to activists. Corporations are now being joined by timber and logging associations, chambers of commerce, farm bureaus, and local organizations. The wise use movement is growing because of grassroots support. Small farmers and ranchers and small mining and logging operations have come under tremendous financial pressure. Resources are dwindling, and costs are going up. With increased mechanization, small business owners and their livelihoods are threatened. To give one example, government scientists, after conducting five separate studies, recommended that timber harvests in the Pacific Northwest’s ancient forests be reduced by 60 percent from what they were in the mid-1980s. Loggers would not be able to cut more than two billion board feet of wood a year from national forests in Oregon and Washington. That is substantially below the five billion-plus board feet the industry harvested on those lands annually from 1983 to 1987, before the dispute over protection of the northern spotted owl (*Strix*

*occidentalis caurina*) and old-growth forests wound up in court. The wise use movement is one effort to rally behind the people who risk the loss of work, and who may see environmentalism as their enemy.

In a strange irony, the grassroots activists of both the environmental movement and the wise use movement have much in common. The rank and file in the wise use movement represent the same kinds of concerns for environmental justice. Both sides see their well-being threatened, whether in terms of property values, livelihoods, or health, and have organized in self-defense.

*See also* Environmental ethics.

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Liane Clorfene Casten  
Marijke Rijsberman

## Wolman, Abel

1892–1989

American engineer and educator

Born June 10, 1892 in Baltimore, the fourth of six children of Polish-Jewish immigrants, Wolman became one of the world’s most highly respected leaders in the field of sanitary engineering, which evolved into what is now known as environmental engineering. His contributions in the areas of water supply, water and wastewater treatment, public health, nuclear reactor safety, and engineering education helped to significantly improve the health and prosperity of people not only in the United States but also around the world.

Wolman attended Johns Hopkins University, earning a bachelor’s degree in 1913 and another bachelor’s in engineering in 1915. He was one of four students in the first graduating class in the School of

Engineering. In 1937, having already made major contributions in the field of sanitary engineering, he was awarded an honorary doctorate by the school. That same year he helped establish the Department of Sanitary Engineering in the School of Engineering and the School of Public Health, and served as its Chairman until his retirement in 1962. As a professor emeritus from 1962 to 1989, he remained active as an educator in many different arenas.

From 1914 to 1939, Wolman worked for the Maryland State Department of Health, serving as Chief Engineer from 1922 to 1939. It was during his early years there that he made what is regarded as his single most important contribution. Working in cooperation with a chemist, Linn Enslow, he standardized the methods used to chlorinate a municipal drinking-water supply.

Although chlorine was already being applied to drinking water in some locations, the scientific basis for the practice was not well understood and many utilities were reluctant to add a poisonous substance to the water. Wolman's technical contributions and his persuasive arguments regarding the potential benefits of chlorination encouraged many municipalities to begin chlorinating their water supplies. Subsequently, the death rates associated with water-borne communicable diseases plummeted and the average life span of Americans increased dramatically. He assisted many other countries in making similar progress.

During the course of his long and illustrious career, spanning eight decades, Wolman held over 230 official positions in the fields of engineering, public health, public works, and education. He served as a consultant to numerous utilities, state and local governments and agencies, and federal agencies, including the U.S. Public Health Service, the National Resources Planning Board, the Tennessee Valley Authority, the Atomic Energy Commission, the U.S. Geological Survey, the National Research Council, the National Science Foundation, the Department of Defense, the Army, the Navy, and the Air Force.

On the international scene, Wolman served as an advisor to more than fifty foreign governments. For many years he served as an advisor to the World Health Organization (WHO), and he was instrumental in convincing the agency to broaden its focus to include water supply, sanitation, and sewage disposal. He also served as an advisor to the Pan American Health Organization.

Wolman was an active member of a broad array of professional societies, including the National Academy of Sciences, the National Academy of Engineering, the American Public Health Association, the American Public Works Association, the American Water Works Association, the Water Pollution Control Federation, and the American Society of Civil Engineers. His leadership in these organizations is exemplified by his service as President of the American Public Health Association in 1939 and the American Water Works Association in 1942.

Known as an avid reader and a prolific writer, Wolman authored four books and more than 300 professional articles. For sixteen years (from 1921 to 1937) he served as editor-in-chief of the *Journal of the American Water Works Association*. He also served as Associate Editor of the *American Journal of Public Health* (1923–1927) and editor-in-chief of *Municipal Sanitation* (1929–1935).

Wolman was the recipient of more than 60 professional honors and awards, including the Albert Lasker Special Award (American Public Health Association, 1960), the National Medal of Science (presented by President Carter, 1975), the Tyler Prize for Environmental Achievement (1976), the Environmental Regeneration Award (Rene Dubos Center for Human Environments, 1985), and the Health for All by 2000 Award (WHO, 1988). He was an Honorary Member of seventeen different national and international organizations, some of which named prestigious awards in his honor.

He was greatly admired for his outstanding integrity and widely known for the help and encouragement he gave to others, for his keen mind and sharp wit (even at the age of ninety-six), for his willingness to change his mind when confronted with new information, and for his devotion to his family. His wife of sixty-five years, Anne Gordon, passed away in 1984. Wolman's son, Gordon, served as Chairman of the Department of Geography and Environmental Science at Johns Hopkins University.

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Stephen J. Randtke

## Wolves

Persecuted by humans for centuries, these members of the dog family *Canidae* are among nature's most maligned and least understood creatures. Yet they are intelligent, highly evolved, sociable animals that play a valuable role in maintaining the balance of nature.

Fairy tales such as “Little Red Riding Hood” and “The Three Little Pigs” notwithstanding, healthy, unprovoked wolves do not attack humans. Rather, they avoid them whenever possible. Wolves do prey on rabbits, rodents, and especially on hooved animals like deer, elk, moose, and caribou. By seeking out the slowest and weakest animals, those that are easiest to catch and kill, wolves tend to cull out the sick and the lame, very old and young, and the unwary, less intelligent, biologically inferior members of the herd. In this way, wolves help ensure the “survival of the fittest” and prevent overpopulation, starvation, and the spread of diseases in the prey species.

Wolves have a disciplined, well-organized social structure. They live in packs, share duties, and cooperate in hunting large prey and rearing pups. Members of the pack, often an extended family composed of several generations of wolves, appear to show great interest in and affection for the pups and for each other, and have been known to bring food to a sick or injured companion. It is thought that the orderly and complex social structure of wolf society, especially the submission of members of the pack to the leaders, made it possible for early humans to socialize and domesticate a small variety of wolf that evolved into today's dogs. The famous howls in which wolves seem



**Two wolves howling during a snow storm.**  
(© iStockphoto.com/Len Tillim)

to delight appear to be more than a way of establishing territory or locating each other. Howling seems to be part of their social culture, often done seemingly for the sheer pleasure of it.

Nevertheless, few animals have withstood such universal, intense, and long-term persecution as have wolves, and with little justification. Bounties on wolves have existed for well over 2,000 years and were recorded by the early Greeks and Romans. One of the first actions taken by the colonists settling in New England was to institute a similar system, which was later adopted throughout the United States. Sport and commercial hunting and trapping, along with federal poisoning and trapping programs—referred to as “predator control”—succeeded in eliminating the wolf from all of its original range in the contiguous forty-eight states excepting Minnesota, until its later reintroduction.

The U.S. Department of the Interior, at the urging of conservationists, has undertaken efforts to reestablish wolf populations in suitable areas, like Yellowstone National Park. In 1995, fourteen wolves were released to roam the park. The group adapted extremely well and multiplied quickly. In 1997, nearby ranchers, concerned that the wolves could become a threat to their livestock, filed a lawsuit to block further wolf releases. However, in 2000, a federal court upheld the releases. By 2002, there were more than 150 wolves in the park. Throughout the rest of the decade (i.e., the 2000s) wolf numbers in Yellowstone rose and fell. For instance, in 2004 the count was 171 wolves in Yellowstone, but by 2005 the number had fallen to 118; in 2007, wolf numbers once again reached 171, but fell to 124 in 2008. Several factors appear to be at work in the ebb and flow of wolf numbers in Yellowstone. Diseases such as mange and distemper take their toll. Mange is a skin condition caused by a parasitic infection, while distemper is an often fatal viral infection in wildlife that can be passed between numerous species, including wolves, foxes, coyotes, and raccoons. Besides wolf mortality due to disease, wolves also kill other wolves in fights over territory and food sources.

Historically, American timber wolves continued to be hunted and trapped, legally and illegally, in their remaining refuges. The Alaskan state government has periodically allowed and even promoted the aerial hunting and shooting of wolves and has proposed plans to shoot wolves from airplanes in order to increase the numbers of moose and caribou for sport hunters. One such proposal announced in late 1992 was postponed and then cancelled after conservation and animal rights groups threatened to launch a tourist boycott of the state.

In Minnesota, wolves are generally protected under the federal Endangered Species Act, but poaching persists because many consider wolves to be livestock killers. In 1978, the wolves were reclassified from endangered to threatened, which afforded them less protection. However, the U.S. Fish and Wildlife Service (FWS) adopted a recovery plan in 1978 with the goal of increasing the Minnesota wolf population to 1,400 by the year 2000. By 1999, the population was estimated at 2,445 by the FWS although several wildlife groups believed the number was much less. Wolves were also successfully reintroduced into Wisconsin and Michigan, where the population was estimated by the FWS at more than 100 in 1994. By the time-frame of 2008–2009, the FWS counted 626 wolves in Wisconsin, and 580 in Michigan.

In 2002, the FWS had considered delisting the Minnesota wolf from protection under the Endangered Species Act. By April 2009, the FWS delisted the Minnesota wolf. However, a lawsuit claimed that the FWS had not allowed sufficient public comment on the proposed delisting. In response to the lawsuit, the FWS reversed its position and reinstated federal protection of the Minnesota wolf by mid-2009.

In Canada, wolves are frequently hunted, trapped, poisoned, and intentionally exterminated, sometimes to increase the numbers of moose, caribou, and other game animals, especially in the provinces of Alberta and British Columbia, and the Yukon Territory. The maned wolf, (*Chryocyon brachyurus*) is considered endangered throughout its entire range of Argentina, Bolivia, Brazil, Peru, and Uruguay. In Norway, there were only 20 wolves in the wild as of 2005, according to the World Wildlife fund. The number in Sweden was less than 100.

The most common type of wolf is the gray wolf (*Canis lupus*) that includes the timber wolf and the Arctic-dwelling tundra wolf. The FWS lists the gray wolf as an endangered species throughout its former range in Mexico and the continental United States, except in Minnesota, where it is “threatened.” The FWS, in the 2007–2008 timeframe, tallied nearly 3,000 wolves in the wilds of Minnesota. The Alaska Department of Fish and Game (ADF&G) estimated 7,700–11,200 wolves in that state as of 2010. Many thousands more wolves live in Canada. A population ranging from one or two dozen also lives on Isle Royale, Michigan.

The red wolf (*Canis rufus*), a smaller type of wolf found in the southeastern United States, was nearly extinct in the wild when in 1970 the FWS began a recovery program. With less than 100 red wolves in the wilds of Texas and Louisiana, fourteen were

captured and became part of a captive breeding program. By 2010, about 120 red wolves—descended from individuals derived from the captive breeding program—lived in the wilds of North Carolina; however, red wolves are still listed as Critical by the IUCN—The World Conservation Union. A federal appeals court helped the effort when in 1970 it upheld FWS rules banning the killing of red wolves that wander onto privately-owned land.

In 1998, the FWS reintroduced the Mexican wolf (*Canis lupus baileyi*), a sub-species of the gray wolf, into the Apache National Forest in Arizona after it had been gone from the wild for seventeen years. Two wolf families were released but the reintroduction suffered setbacks when five of the wolves were shot and killed by ranchers. The remaining wolves were recaptured in 2002 and relocated to the Gila National Forest and Gila Wilderness Area of New Mexico. The FWS hoped to have reestablished 100 Mexican wolves in the area by 2005. In 2006, the actual count of Mexican wolves in the Wilderness Area reached a high of nearly sixty individuals; however, the count in 2009 had fallen to forty-two. Less than 200 of the wolves survive in captivity. The Mexican wolf was declared an endangered species in 1976.

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International Wolf Center, 1396 Highway 169, Ely, MN, USA, 55731, (218) 365-4695, wolfinfo@wolf.org, <http://www.wolf.org>

Ken R. Wells

Woodpecker see **Ivory-billed woodpecker.**

## Woodwell, George Masters

1928–  
American ecologist

A highly respected but controversial biosphere ecologist and biologist, Woodwell was born in Cambridge, Massachusetts. With his parents, who were both educators, Woodwell spent most of his summers on the family farm in Maine, where he was able to learn firsthand about biology, ecology, and the environment.

Woodwell graduated from Dartmouth College in 1950 with a bachelor's degree in zoology. Soon after graduation, he joined the Navy and served for three years on oceanographic ships. After returning to civilian life, he took advantage of a scholarship to pursue graduate studies and earned both a master's degree (1956) and a doctorate (1958) from Duke University. He began teaching at the University of Maine and was later appointed a faculty member and guest lecturer at Yale University. Throughout most of the 1960s and the early 1970s, Woodwell worked as senior ecologist at the Brookhaven National Laboratory. It was there that he conducted the innovative studies on environmental toxins which earned him a reputation as a nonconformist ecologist. In 1985, he founded the Woods Hole Research Center, a leading facility for ecological research.

A pioneer in the field of biospheric metabolism, Woodwell has worked to determine the effects of various toxins on the environment. One of the many studies he conducted examined the effects of radiation exposure on forest ecosystems. He used a 14-acre (5.7 ha) combination pine and oak forest as his testing area, and found that the time needed to destroy an ecosystem is far less than that which is necessary to rejuvenate it. He continues to investigate the effects of nuclear emissions on the environment.

Beginning in the 1950s, Woodwell worked with the Conservation Foundation—now part of the World Wildlife Fund—in investigating the pesticide DDT. Woodwell and his colleagues were the first to study the catastrophic effects of the chemical on wildlife and, in 1966, were the first to take legal action against its producers. DDT was banned in the United States in 1972.

Much of Woodwell's work has focused on the hazards of the greenhouse effect. He has provided valuable information for hearings on environmental issues, and has been instrumental in developing similar data for the United States and foreign government agencies. Not only is Woodwell concerned about radiation, chemicals, and global warming, but like Paul Ehrlich, he warns that population growth must be kept in balance with any ecosystem development.

While he does publish articles in professional journals, Woodwell produces a number of works for more publications with a broader audience. He considers it imperative that the general population play a key role in saving the planet, and his work has appeared in periodicals such as *Ecology*, *Scientific American*, and the *Christian Science Monitor*.

Woodwell maintains membership in the National Academy of Sciences and is a past president of the Ecological Society of America. He is also a member of the Environmental Defense Fund and Natural Resources Defense Council. In 2001, Woodwell was awarded the Volvo Environmental Prize.

In honor of his eightieth birthday in 2008, a symposium was held at Woods Hole Oceanographic Institution.

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Kimberley A. Peterson

## World Bank

Affiliated with the United Nations, the World Bank was formally established in 1946 to finance projects to spur the economic development of member nations, most notably those in Europe and the Third World. The bank has been strongly criticized by environmental and human rights groups in recent years for funding projects in developing nations that destroy rain forests, damage the environment, and harm villagers and indigenous peoples.

The World Bank is headquartered in Washington, DC. It is administered by a board of governors and a group of executive directors. The board of governors is composed principally of the world's finance ministers and convenes annually. There are twenty-one executive directors who carry out policy matters and approve all loans. The World Bank usually makes loans directly to governments or to private enterprises with their government's guarantee when private capital is not available on reasonable terms. Generally, the Bank lends only for imported materials and equipment and services obtained from abroad. The interest rate charged depends primarily on the cost of borrowing to the Bank. The subscribed capital of the bank exceeds \$30 billion, and the voting power of each nation is proportional to its capital subscription.

The 1970s represented a period of extensive growth for the World Bank, both in the volume of lending and the size of its staff. The staff was pressured to disperse money quickly, and little real support for grassroots development ever materialized within the institution. Local populations were hardly ever consulted and rarely taken into account for the majority of the enterprises the bank funded during this period. As a result, billions of dollars of bank money was managed ineffectively by domestic public institutions that were often unrepresentative. The stated purpose of the loans was to promote modernization and open economics, but the lending network further supported the elite in the Third World and prevented the poor from playing a meaningful role in the policies that were so markedly reshaping their environments.

The international debt crisis during this decade also changed the bank, beginning its evolution into a debt-management institution when it lifted the restrictions on the non-project lending that had been established by its founders. In an effort to expedite its loan development, the bank underwent a major reorganization in 1986. It consolidated its activities under four senior vice presidents, but many consider the net results of these changes to be far from successful.

The global environment movement has been among the most notable critics of the World Bank, but the bank's operations complex have largely restricted their campaigns from effecting major changes. The bank has repeatedly withheld the majority of the information it generates in the preparation and implementation of projects, and many environmentalists continue to charge that it has become an institution fundamentally lacking the accountability and responsibility necessary for sustainable development.

The way the World Bank handled the controversial Narmada River Sandar Sarovar Dam project in India has been called a case in point. According to the Environmental Defense Fund, the history of bank involvement with the project reveals an institution whose primary agenda is to transfer money quickly, no matter what the cost in "systematic violation of its own environmental, economic and social policies and deception of its senior management and Board of Executive Directors." United States Executive Director E. Patrick Coady accused bank management and staff of a cover-up in relation to the Sandar Sarovar Dam, and he directly challenged the bank's credibility, noting that "no matter how egregious the situation, no matter how flawed the project, no matter how many policies have been violated, and no matter how dear the remedies prescribed, the bank will go forward on its own terms." Despite support from Germany, Japan,

Canada, Australia, and the Scandinavian countries, Coady's accusations were ignored. Bank management continued to finance the dam until early 1993, when criticism of the project became so intense that India decided to finance the project itself. There was no plan to resettle the 250,000 people who would be displaced.

Many argue that the failures of policies at the World Bank are illustrated by other case studies in Brazil, Costa Rica, and Ghana. In Brazil, International Monetary Fund (IMF) stabilization programs hit the poor particularly hard and depleted the country's natural resources. Although these structural programs were designed to manage Brazil's tremendous foreign debt, critics argue they have been socially, economically, and environmentally catastrophic. The "economic miracle" of the 1970s never materialized for Brazil's masses, despite the fact that the economy grew more than any other country in the world between 1955 and 1980. Due largely to ill-conceived IMF and World Bank advice, as well as ineffective leadership by the Brazilian government, development decisions in Brazil have leaned toward extravagant and poorly implemented projects. Many major projects have failed miserably, only adding to lost investments, and the environmental devastation caused by such projects has been enormous, with much of it irreversible, involving massive destruction of the tropical rain forest.

The Tucuruí Dam project, for example, was part of the Brazilian government's plan to build some 200 new hydroelectric power dams, most of them in the Amazonian region. This project has destroyed thousands of acres of tropical rain forest and degraded water quality in the reservoir and downstream, further lowering the income and affecting the health of communities below the dam. For environmentalists, Tucuruí is representative of the problems inherent in building large dams in tropical regions: "Opening of forest areas leads to migration of landless people, deforestation of reservoir margins, erosion and siltation causing destruction of power-generating equipment and reduction of its useful lifespan; spread of waterborne disease to human and wildlife populations; and the permanent destruction of fish and wildlife habitats, eliminating previously existing local economic activity and unknown numbers of species of plants and animals."

Ghana is usually touted by the World Bank and IMF as a particularly successful example of structural adjustment programs in Africa, but many believe that a closer examination reveals something different. The goal of the current adjustment program was to reduce the fiscal deficit, inflation, and external deficits by reducing domestic demand. A large portion of this

plan was “to reverse the decline in agricultural production, restore overseas confidence in the Ghanaian economy, increase foreign-exchange earnings, restore acceptable living standards, control inflation, reform prices, and reestablish production incentives for cocoa.” Although cocoa production had increased 20 percent by 1988 as a result of incentives in agricultural sector reform, with the income for cocoa production increasing by as much as 700 percent in some cases, cocoa farmers make up only 18 percent of Ghana’s farming population. Furthermore, in recent decades a growing inequity within the cocoa-producing population has been documented. Half of the land cultivated for cocoa today is owned by the top 7 percent of Ghana’s cocoa producers, while 70 percent own farms of less than 6 acres (2.4 ha).

The timber industry in Ghana was also identified by the IMF and World Bank as an additional source of foreign exchange, and this led to the steady destruction of Ghana’s forests. Between 1990 and 2005, Ghana lost approximately one-fifth of its forests. The fishing industry is also threatened. Rising costs have caused the price of fish to increase while real wages have fallen. Ghanians receive 60 percent of their protein from the products of ocean fisheries, and decreased fish consumption is considered one of the leading factors in the rise of malnutrition in the country.

While mounting public pressure might cause the bank to reconsider some of its programs, reforms are possible only if supported by those nations controlling more than half of the Bank’s voting shares. And no matter what direction is taken, many experts believe that internal contradictions will continue to trouble the bank for years, further lowering the morale of the staff. As long as the bank continues to place priority on its debt-management functions, it will likely fail to change its policy of making large-scale loans for questionable and destructive projects. Overall quality may be further diminished as developing nations continue to give up natural resources for the rapid earning of foreign exchange. Debt management could then force the bank to support large-scale, poorly-organized, and poorly-supervised projects, while the staff would be pressed harder to address wider development problems that could continue to be exacerbated by other aspects of bank lending.

The World Bank’s dismal record of ignoring the interests of the poor and the environment clearly raises fundamental questions about the future of the institution. Environmentalists, human rights groups, and other critics of the Bank have offered the

following suggestions for improving its operations. While there are obvious reasons for keeping some information confidential, they argue, the bank has abused this right. Greater freedom of information is necessary because participation with population groups in the development process is impossible without public access. Critics also argue for the establishment within the Bank of an independent appeals commission, maintaining that there is a clear need for a body that would hear and act on complaints of environmental and social abuses. It has been suggested that this commission could be made up of environmentalists, academics, church representatives, human rights groups, and others who would encourage the bank to emphasize sustainable development and eliminate environmentally destructive projects. And finally, it has been widely suggested that project quality should be the first priority of the World Bank, with the United Nations taking the lead in demanding this. In short, many believe the bank should no longer be allowed to function as a money-moving machine to address macro-economic imbalances.

*See also* Economic growth and the environment; Environmental economics; Environmental policy; Environmental stress; Green politics; Greens.

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World Commission on Environment and Development see **Our Common Future (Brundtland Report)**.

## World Conservation Strategy

The World Conservation Strategy (WCS): Living Resource Conservation for Sustainable Development is contained in a report published in 1980 and prepared by the International Union for Conservation of

Nature and Natural Resources (now called IUCN—International Union for Conservation of Nature). Assistance and collaboration was received from the United Nations Environment Programme (UNEP), the World Wildlife Fund (WWF), the Food and Agriculture Organization of the United Nations (FAO), and the United Nations Educational, Scientific and Cultural Organization (UNESCO).

The three main objectives of the WCS are: (1) to maintain essential ecological processes and life-support systems on which human survival and development depend. Items of concern include soil regeneration and protection, the recycling of nutrients, and protection of water quality; (2) to preserve genetic diversity on which depend the functioning of many of the above processes and life-support systems, the breeding programs necessary for the protection and improvement of cultivated plants, domesticated animals, and microorganisms, as well as much scientific and medical advance, technical innovation, and the security of the many industries that use living resources; (3) to ensure the sustainable utilization of species and ecosystems which support millions of rural communities as well as major industries.

The WCS believes humans must recognize that the world's natural resources are limited, with limited capacities to support life, and must consider the needs of future generations. The object, then, is to conserve the natural resources, sustain development, and to support all life. Humans have great capacities for the creation of wants or needs and also have great powers of destruction and annihilation. Human action has global consequences, and thus global responsibilities are crucial. The aim of the WCS is to provide an intellectual framework as well as practical implementation guidelines for achieving its three primary objectives.

The WCS has been endorsed by numerous leaders, organizations, and governments, and has formed the basis for preparation of National Conservation Strategies in over fifty countries.

The World Conservation Monitoring Centre (WCMC) mission is to support conservation and sustainable development by providing information on the world's biodiversity. It is a joint venture between the three main cooperators of WCS, IUCN, UNEP, and WWF.

The WCS has been supplemented and restated in a document called *Caring for The Earth: A Strategy for Sustainable Living*, published in 1991. This document restates current thinking about conservation and development and suggests practical actions. It establishes targets for change and urges a concerted

effort in personal, national, and international relations. It stresses measuring achievements against the objectives of actions.

The WCS of 1980 and the 1991 update have done much to bring attention to the need for sustainable management of the world's natural resources. It outlines problems, suggests needed changes, and stresses the need to quantitate the progress in meeting the needs of a sustainable world.

*See also* Environmental education; Environmental ethics; Environmental monitoring; Sustainable biosphere.

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William E. Larson

## World Resources Institute

An international environmental and resource management policy center, the World Resources Institute researches ways to meet human needs and foster economic growth while conserving natural resources and protecting the environment. WRI's primary areas of concern are the economic effects of environmental deterioration and the demands on energy and the environment posed by both industrial and developing nations. Since its inception in 1982, WRI has provided governments, organizations, and individuals with information, analysis, technical support, and policy analysis on the interrelated areas of environment, development, and resource management.

WRI conducts various policy research programs and operates the Center for International Development and Environment, formerly the North American arm of the International Institute for Environment and Development. The institute aids governments and nonfederal organizations in developing countries, providing technical assistance and policy recommendations, among other services. To promote public education of the issues with which it is involved,

WRI also publishes books, reports, and papers; holds briefings, seminars, and conferences; and keeps the media abreast of developments in these areas.

WRI's research projects include programs in biological resources and institutions; economies and population; climate, energy, and pollution; technology and the environment; and resource and environmental information. In collaboration with the Brookings Institution and the Santa Fe Institute, WRI is also involved in a program called the 2050 Project, which seeks to provide a sustainable future for coming generations. As part of the program, studies will be conducted on such topics as food, energy, biodiversity, and the elimination of poverty.

The Center for International Development and Environment assists developing countries assess and manage their natural resources. The center's four main programs are: climate, energy and transport; government and access; markets and enterprise; and people and ecosystems.

WRI's other programs are equally innovative. As part of the Biological Resources and Institutions project, WRI has developed a Global Biodiversity Strategy in collaboration with the International Union for Conservation of Nature (IUCN) and the United Nations Environment Programme. The strategy, developed in 1992, outlines eighty-five specific actions required in the following decade to slow the decline in biodiversity worldwide. The program has also researched ways to reform forest policy in an attempt to halt deforestation.

The program on climate, energy, and pollution strives to develop new and different transportation strategies. In so doing, WRI staff have explored the use of hydrogen- and electric-powered vehicles and proposed policies that would facilitate their use in modern society. The program also researches renewable alternative energy sources, including solar, wind, and biomass power.

WRI is funded privately, by the United Nations, and by national governments. It is run by a 30-member international Board of Directors.

## Resources

### ORGANIZATIONS

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Kristin Palm

## World Trade Organization (WTO)

Created in 1995 by an international treaty, the World Trade Organization (WTO) is the successor to the General Agreement on Tariffs and Trade (GATT), established at the end of the World War II to eliminate tariffs and trade barriers. Both GATT and WTO are part of the Bretton Woods system (named after the location in New Hampshire where the system was established in 1944) that includes the World Bank group and the International Monetary Fund (IMF). While GATT was limited to considering economic issues, however, the scope of the WTO has been expanded to "noneconomic trade barriers" such as food safety laws, quality standards, product labeling, workers rights, and environmental protection standards.

The WTO has 153 member nations and thirty additional observer and petitioning nations. In total, its membership comprises 97 percent of the total global trade volume. Representatives from the WTO membership meet periodically in various cities, but the organization's daily operational headquarters are in Geneva, Switzerland.

Among the most controversial issues brought up in WTO negotiations are agricultural subsidies, child labor laws, occupational health and safety standards, protection of intellectual property, and environmental standards. Environmentalists, for example, were outraged by a 1998 WTO ruling that a U.S. law prohibiting the import of shrimp caught in nets that can entrap sea turtles is a barrier to trade. The United States must either accept shrimp regardless of how they are caught, or face large fines. Some other WTO rulings that overturn environmental or consumer safety laws require Europeans to allow importation of U.S. hormone-treated beef, Americans must accept tuna from Mexico that endangers dolphins, and the U. S. Environmental Protection Agency (EPA) cannot bar import of all low-quality gasoline that causes excessive air pollution.

More than 50,000 people convened in Seattle to protest the 1999 conference of the WTO. French farmers, Zapatista rebels from Mexico, Tibetan refugees, German anarchists, First Nations people from Canada, labor unionists, environmentalists in turtle suits, animal rights activists, and organic farmers were among those who filled the streets. They held teach-ins, workshops, and largely peaceful marches with thousands of participants (sometimes described as "turtles and teamsters"). Some protestors engaged in acts of civil disobedience or direct action protests, including: blocking streets,

unfurling banners from atop giant construction cranes, chaining themselves to company entrance doors, and locking or blocking businesses. A small number of the gathered protestors engaged in acts of vandalism, breaking windows, setting fires in dumpsters, and looting stores. These destructive acts were condemned by mainstream protest groups.

Ordered to avoid confrontation on the first day of the protests, the police stood by while a small contingent of black-hooded anarchists smashed windows and vandalized property. The next day, stung by public criticism, the police used greater force to clear the streets, firing rubber bullets, tear gas, and pepper spray into crowds and injuring bystanders. Batons were used against some protestors, including a group that claimed to be engaging only in non-violent sit-in protests. The mayor declared a civil emergency and a 24-hour curfew in the area around the Civic Center. Eventually, the National Guard was called in to assist the city police.

Most of the people protesting in Seattle asserted that the current structure of the WTO undermines democracy, negatively impacts quality of life for residents of the poorest regions, and impedes environmental health, social justice, labor rights, and national sovereignty. Motivating many of these complaints is often a broader unease about trends towards globalization and the power of transnational corporations. Although the diverse band of protestors shared many concerns, many disagreed about the best solutions to these problems and how to achieve them. While many claimed they wanted to shut down the WTO, others actually want a stronger trade organization that can enforce rules to protect workers, environmental quality, and endangered species.

Delegates adjourned without agreement on an agenda for the “Millennium Round” of the WTO. Developing countries, such as Malaysia, Brazil, Egypt, and India, refused to allow labor conditions into the debate. Major agricultural exporters such as the United States, Canada, Argentina, and Australia, continued to demand an end to crop subsidies and protective policies. Japan and the European Union (EU), on the other hand, maintain that they have a right to preserve small, family farms, rural lifestyles, and traditional methods of food production against foreign competition. Developing countries insist that protection of their environment and wildlife is no one’s business but their own.

The 2001 meeting of the WTO was held in Qatar. The country strictly forbade any form of public demonstration and attendance was limited only to official delegates. Protestors were barred from entering the country. Free speech and human rights advocates, as

well as globalization critics, denounced the strict regulations as suppression of freedom of expression and criticized the WTO for retreating into secluded meetings. Subsequent large meetings of the WTO were held in Mexico (2003) and Hong Kong (2005).

During the 2001 conference, the WTO launched the Doha Development Agenda (DDA), or Doha Development Round, an initiative to negotiate for lower worldwide trade barriers and tariff reduction. Despite continued negotiations over several years, the DDA had stalled by 2008. Agricultural subsidies in the wealthiest nations and rising food prices in developing nations were the primary sources of tension among members. The WTO announced it would try again in 2009 to address the issue of agricultural subsidy and export rules. Despite calls to action from many countries, there has been no significant progress on the DDA to date.

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William P. Cunningham

## World Wildlife Fund

The World Wildlife Fund (WWF) is an international conservation organization founded in 1961, and known internationally as the World Wide Fund for Nature. The World Wildlife Fund acts with other U.S. organizations in a network to conserve the natural environment and ecological processes essential to life. Particular attention is paid to endangered species and to natural habitats important for human welfare. In hundreds of projects conducted or supported around the world, WWF helps protect endangered wildlife and habitats and helps protect the earth’s biodiversity through fieldwork, scientific research, institutional development, wildlife trade monitoring,

public policy initiatives, technical assistance and training, environmental education, and communications.

WWF has articulated nine goals that guide its work:

- to protect habitat
- to protect individual species
- to promote ecologically sound development
- to support scientific investigation
- to promote education in developing countries
- to provide training for local wildlife professionals
- to encourage self-sufficiency in developing countries
- to monitor international wildlife trade
- to influence public opinion and the policies of governments and private institutions

Toward these goals, WWF monitors international trade in wild plants and animals through its TRAFFIC (Trade Records Analysis of Flora and Fauna in Commerce) program, part of an international network in cooperation with IUCN—The International Union for Conservation of Nature. TRAFFIC focuses on the trade regulations of the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES), tracking and reporting on traded wildlife species; helping governments comply with CITES provisions; developing training materials and enforcement tools for wildlife-trade enforcement officers; pressing for stronger enforcement under national wildlife trade laws; and seeking protection for newly threatened species.

Other recent WWF projects have included programs to save the African elephant, especially through banning ivory imports under CITES legislation. The organization also has undertaken projects to preserve tropical rain forests; to identify conservation priorities in the earth's biogeographical regions; to halt overexploitation of renewable resources; and to create new parks and wildlife preserves to conserve species before they become endangered or threatened. Based on WWF's conservation efforts, The Charles Darwin Foundation for the Galápagos Islands awarded WWF an institutional seat on their Board of Directors.

WWF also funded a study of more than 2,000 projects or activities reviewed by the U.S. Fish and Wildlife Service between 1986 and 1991. The study found that only eighteen of these projects were blocked or withdrawn because of detrimental effects to human populations. The study also showed that the Northwest lost more timber jobs to automation of timber cutting and milling, increased exports of raw logs, and a shift of the industry to the Southeastern

United States, than it may lose from the listing of the northern spotted owl (*Strix occidentalis caurina*) as an endangered species.

WWF has been credited with saving dozens of endangered animal species from extinction, most notably the giant panda, which has become the group's logo. Using Geographic Information Systems satellite technology, WWF has identified regions where conservation of distinct animal and plant species are most needed. By overlaying these areas with existing parks and projects, WWF is able to see where it needs to concentrate conservation programs. The organization publishes a wide variety of materials, including the periodicals *WWF Letter*, *TRAFFIC*, and *Tropical Forest Conservation*. The WWF also publishes numerous booklets and other publications for the news media, policymakers, and organizations. The WWF also publishes educational materials for schoolchildren and the general public.

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WTO see **World Trade Organization (WTO)**.

## Wurster, Charles F.

1930–

American environmental scientist

Dr. Charles Wurster, a founding trustee of Environmental Defense Fund (EDF), was instrumental in banning the pesticide DDT. An emeritus professor at the Marine Sciences Research Center at the State University of New York at Stony Brook, Wurster is an expert on the environmental effects of toxic chemicals.

Born in Philadelphia, Pennsylvania, Wurster's interest in birds was evident at a young age. He attended Quaker schools—Germantown Friends and Haverford College—receiving his bachelor's of science degree in 1952. He earned a master of science degree in chemistry from the University of Delaware in 1954 and a Ph.D. in organic chemistry from Stanford University in 1957. Subsequently Wurster spent a year in Innsbruck, Austria, as a Fulbright Fellow. From 1959 to 1962, he worked as a research chemist at the Monsanto Research Corporation.

In 1963, as a research associate in biology at Dartmouth College in New Hampshire, Wurster demonstrated that the ubiquitous pesticide DDT was killing the campus birds. DDT sprayed on elm trees killed 70 percent of the robins in just two months. Myrtle warblers, who were not even on the campus at the time of the spraying, also were killed, as were all of the chipping sparrows. Furthermore DDT was not saving the trees from fatal blight. Following a two-year battle by Wurster and his colleagues, the local spraying of DDT was halted.

After moving to Stony Brook in 1965 as an assistant professor of biology, Wurster continued his studies on the harmful effects of DDT. Among other results, he found that high concentrations of DDT in Long Island osprey were associated with thin eggshells and poor reproduction. Much of his work was published in the prestigious journal *Science*.

At Stony Brook, Wurster joined the Brookhaven Town Natural Resources Committee, a group of scientists, lawyers, and citizens. In one of the first-ever legal actions to protect the environment, the committee forced Suffolk County to stop spraying DDT for mosquito control in the marshes of Long Island. In 1967 the committee incorporated as the EDF. The EDF's first major victory came with the 1972 nationwide ban on DDT, with Wurster in charge of the scientific arguments. Wurster is credited with creating a network of scientific expertise within the EDF that lent credibility

to the organization. In 1973, while on sabbatical in New Zealand, Wurster helped found the Environmental Defence Society (EDS), modeled on the EDF.

Wurster served as Associate Professor of Environmental Sciences at the Marine Sciences Research Center from 1970 until 1994 when he became an emeritus professor. His research has focused on DDT, polychlorinated biphenyls (PCBs), and other chlorinated hydrocarbons, and their effects on birds and phytoplankton (marine plants at the base of the food web). He also has studied the mortality of diving birds caught on the longlines used for tuna fishing. Wurster's interest in the environmental sciences and public policy has continued. He was instrumental in the banning of the pesticides Dieldrin and Aldrin. In 1990 Wurster assisted the U.S. Department of Justice in its legal case against the Montrose Chemical Corporation, formerly the world's largest producer of DDT. The EDF first sued Montrose in 1970 to stop it from dumping DDT into the Santa Monica Bay. In 2000 Montrose, now owned by other companies, was finally ordered to pay for the clean-up of 17 square miles (44 km<sup>2</sup>) of ocean floor. A fellow of the American Association for the Advancement of Science, Wurster was a director of Defenders of Wildlife from 1975 to 1984 and a trustee of the National Parks and Conservation Association from 1970 to 1979. He continues as a director of the EDS.

From its beginnings as a small group meeting at the Brookhaven National Laboratory, Environmental Defense has grown into one of world's largest and most influential environmental organizations, with 400,000 members and a staff of 250 that includes scientists, economists, and lawyers. Wurster continues to work with the EDF program for the establishment of marine preserves in the United States and in the open ocean and he leads ecological tours for the EDF.

In 2009, Wurster received an honorary doctorate from Haverford. In his acceptance speech urged graduates to continue to work for environmental preservation in the face of global climate change, out of a love for what they do and persistence to their cause.

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**ORGANIZATIONS**

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Margaret Alic

WWF see **World Wildlife Fund.**



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## X-ray

Discovered in 1895 by German physicist Wilhelm K. Roentgen, X-rays are part of the spectrum of electromagnetic radiation and are widely used in medicine, industry, metal detectors, and scientific research. X-rays are most commonly used by doctors and dentists to make pictures of bones, teeth, and internal organs in order to find breaks in bones, evidence of disease, and cavities in teeth.

Because X-rays are a form of ionizing radiation, they can be very dangerous. They penetrate into, and are absorbed by, plants and animals and can age, damage, and destroy living tissue. They can also cause skin burns, genetic mutations, cancer, and death at high levels of exposure. The effects of ionizing radiation tend to be cumulative, and every dose adds to the possibility of further damage.

Because of cumulative dosage factors, it is generally recommended that people should minimize their exposure to such radiation and avoid being X-rayed unless absolutely necessary. This is especially true of pregnant women because studies show a much higher rate of childhood leukemia and other diseases among children who were exposed to X-rays in utero. Ironically, studies show that in some medical specialties, the fear of malpractice suits increases the number of X-rays performed while examining patients for disease.

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## Xenobiotic

Designating a foreign—and frequently harmful—biomolecule, substance, or organism in a biological system. Xenobiotic, derived from the Greek root *xeno*, meaning “stranger” or “foreign,” and *bio*, meaning “life,” describes some toxic substances, parasites, and symbionts. Food, drugs, and poisons are examples

of xenobiotic substances in individual organisms, and their toxicity is linked to the level of consumption. In communities or species, xenobiosis happens when two distinct species, such as different kinds of ants, share living space like nests. At the ecosystem level, toxic waste, when bioaccumulated in the food chain, is xenobiotic.

*See also* Bioaccumulation; Hazardous waste; Symbiosis.

Xeriscaping *see* **Arid landscaping.**

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## Xylene

The term “xylene” refers to any of three benzene derivative isomers that share the same chemical formula,  $C_6H_4(CH_3)_2$ , but differ in their molecular structure. They are useful as solvents, as additives to improve the octane rating of aviation fuels, and as raw materials in the manufacture of fibers, films, dyes, and other synthetic products. Because of their high volatility they are classified as aromatic hydrocarbons (volatile compounds containing only carbon and hydrogen atoms). Xylene fractions were first isolated from coal tar in the mid-nineteenth century in Germany. Coal tar is the thick liquid product of the carbonization, or destructive distillation, of coal, a process in which coal is heated without air to temperatures above 1,600° Fahrenheit (862°C). Benzene and toluene, two aromatic hydrocarbons similar to xylene, are formed in the same process. Coal tar remained the source for xylene until industrial demand outgrew the supply. Later, techniques were developed to obtain xylene from petroleum refining, and today petroleum is a major source.

The three isomeric xylenes are classified by the arrangement of the methyl (CH<sub>3</sub>) groups substituting for hydrogen atoms on the benzene ring. In each case, the methyl groups replace two of the hydrogens attached to the six-carbon benzene ring, but the structure and chemical properties of each isomer depend on which hydrogens are replaced. Ortho-xylene (o-xylene) has methyl groups on two adjacent carbons in the benzene ring structure, whereas in meta-xylene (m-xylene) the methyl-containing carbons are separated by a hydrogen-containing carbon. In para-xylene (p-xylene), two hydrogen-bearing carbons separate the methyl-containing carbons, placing the methyl groups at opposite ends of the molecule. Ortho-xylene, the least volatile of the three forms, serves as raw material for the manufacture of coatings and plastics. Para-xylene is used to manufacture polyesters. Meta-xylene, less useful than the other forms, is used to make coatings, plastics, and dyes. A commercial xylene mixture in the form of a colorless, flammable, nonviscous, toxic liquid is used as a solvent for lacquers and rubber cements. Emulsified xylene has been used as an economical and effective way of controlling aquatic weeds in irrigation systems, but concentrations must be carefully controlled to avoid harming plants and fish exposed to the residues.

Xylene's volatility leads to its easy escape into the atmosphere. Unless care is taken, its fumes soon permeate the air in laboratories where it is used. Although

its solubility in water is quite limited, xylene (along with benzene and toluene) is classified as one of the primary components of the water-soluble fraction of petroleum. The widespread use of xylene in manufacturing has resulted in significant releases of the chemical into the air and water of the environment. Xylene's toxicity in water is influenced by salinity, temperature, and the presence of other toxic materials. It affects cell permeability and acts as a neurotoxin for fish and other animals. At high concentrations of xylene, fish exhibit a series of behavioral changes including restlessness (rapid and erratic swimming), loss of equilibrium, paralysis, and death. Although each of the three forms of xylene can be detoxified by microorganisms, para-xylene is more difficult to detoxify, and therefore a more persistent threat.

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Douglas C. Pratt



# Y

## Yard waste

As the field of solid waste management becomes more developed and specialized, the categories into which solid waste is sorted and managed become more numerous. Yard waste—often called vegetative waste—includes leaves, grass clippings, tree trimmings, and other plant materials that are typically generated in outdoor residential settings. Depending on the season and the neighborhood, leaves may account for 5–30 percent of the total municipal solid waste stream, grass clippings may comprise 10–20 percent, and wood may form about 5–10 percent. Yard waste does not include food and animal wastes except possibly as impurities. Yard waste is usually perceived as the cleanest type of solid waste, in contrast to household refuse or industrial and commercial trash.

Because yard waste is almost entirely vegetative in nature, it lends itself easily to composting. Composting is the natural breakdown of organic matter by microbes, in the presence of oxygen, to form a stable end product called compost. In the management of yard waste, this natural process decreases the need for landfill space and produces soil amendment as an end product.

Beneficial use and composting of yard waste may be practiced in the homeowner's backyard or in the waste management areas set up by townships and municipalities. Not all forms of yard waste lend themselves equally well to composting. In fact, woody materials such as tree trunks may be better managed and used by shredding into wood chips or saw dust because wood is very resistant to composting. Leaves are most suitable for composting and provide the richest and most stable base of material and nutrients. With sufficient oxygen, water, and turnover, leaves can usually be broken down in a matter of weeks. Grass clippings decompose so quickly that they need to be mixed with slower-degrading materials (such as

leaves) in order to slow down and regulate the rate of decomposition. Control over the decomposition process is essential because of the potential for problems such as noxious odors. If the decomposition process is too rapid and proceeds without sufficient oxygen, the process becomes anaerobic and generates odors that can be highly unpleasant. In seasons other than fall, when the volume of leaves in yard waste is relatively small, many experts recommend that grass clippings be used as mulch rather than as compost to avoid the potential for odor.

In urban and mixed land use neighborhoods, yard waste may inadvertently contain plastics, papers, and other nondegradable materials. In some areas, the bagging of yard waste may involve the use of plastic bags. Depending on the efficiency of separation at the waste management area, these inert materials may find their way into the final compost product and lower the esthetics and usefulness of the compost.

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Usha Vedagiri

## Yellowstone National Park

Yellowstone National Park has the distinction of being the world's first national park. With an area of 3,472 square miles (8,992 sq km), Yellowstone is the largest national park in the mainland United States.



**This iconic waterfall has become a popular symbol of Yellowstone National Park. The deep and yellow Grand Canyon of the Yellowstone River is accented in this photograph.** (© iStockphoto.com/Gerard Coles)

This is an area larger than Rhode Island and Delaware combined. Although primarily in Wyoming (91%), 7.6 percent of the park is in Montana and the remaining 1.4 percent is in Idaho.

John Colter, a member of the Lewis and Clark expedition from 1803 to 1806, was probably the first white man to visit and report on the Yellowstone area. At that time the only Native Americans living year-round in the area were a mixed group of Bannock and Shoshone. In 1859 the legendary trapper and explorer Jim Bridger, who had been reporting since the 1830s about the wonders of the region, led the first government expedition into the area. The discovery of gold in the Montana territory in the 1860s brought more expeditions. In 1870 the Washburn-Langford-Doane expedition verified the reports about the natural wonders of the area. They spent four weeks naming the features, including Old Faithful, a well-known geyser. Legend states that while the nineteen members of this expedition sat around a campfire, reflecting on the beauty of the area, they came up with the idea of turning the region into a national park. After receiving reports

from the Washburn-Langford-Doane expedition, the U.S. Geological Survey sent a follow-up expedition to the park in 1871. Reports and photographs from the U.S. Geological Survey expedition stimulated the drafting of legislation to create the first national park. Because of the prevalent utilitarian philosophy and the country's poor economic condition, the battle for the park was difficult and hard-fought. Proponents of the national park were successful, and on March 1, 1872, President Ulysses S. Grant signed the bill establishing the park.

Because Congress did not allocate any money for park maintenance or protection, the early years of the park were marked by vandalism, poaching, the deliberate setting of forest fires, and other destructive behaviors. Eventually, in 1886, the U.S. Army took responsibility for the park. They remained in the role of park managers until the National Park Service was formed in 1916.

Water covers about 10 percent of the park. The largest body of water is Yellowstone Lake, with a surface area of 136 square miles (352 sq km). Yellowstone Lake is one of the largest, highest, and coldest lakes in

North America. The park has one of the highest waterfalls in the United States (Lower Yellowstone Falls, which is 308 feet [93.87 m]) and three trout fishing streams. Approximately 10,000 thermal features can be found in the park. In fact, there are more geysers (between 200 and 250) and geothermal features in the park than in the rest of the world put together.

The park has a great abundance and diversity of wildlife. It has the largest concentration of mammals in the mainland United States. There are fifty-eight species of mammals in the park, including two species of bears and seven species of ungulates. It is one of the last strongholds of the grizzly bear and is the only place where a bison herd has survived continuously since primitive times. Yellowstone is noted also for having the largest concentration of elk to be found anywhere in the world. Besides mammals, the park is home for 311 species of birds, eighteen species of fish, five species of reptiles, and four species of amphibians.

However, one of the continuing difficulties at Yellowstone and other national parks is that Yellowstone is not a self-contained ecosystem. Its boundaries were established through a variety of political compromises, and lands around the park that once provided a buffer against outside events are being developed. Airsheds, watersheds, and animal migration routes extend far beyond park boundaries, yet they dramatically affect conditions within the park. The experience of Yellowstone Park's flora and fauna illustrates the need to manage entire biogeographical areas to preserve natural conditions within a national park.

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Yellowstone National Park, PO. Box 168, Yellowstone National Park, WY, 82190-0168, (307) 344-7381, yell; usvisitor; usservices@nps.gov, <http://www.nps.gov/yell/>

Ted T. Cable

## Yokkaichi asthma

Nowhere is the connection between industrial development and environmental and human health deterioration more graphically demonstrated than at Yokkaichi, Japan. An international port located on the Ise Bay, Yokkaichi was a major textile center by 1897. The shipping business shifted to nearby Nagoya in 1907, and Yokkaichi filled in its coastal lowlands in a successful bid to attract modern industries, especially chemical processing, steel production, and oil and gasoline refining.

Spurred by both the World War II demand and the postwar recovery effort, several more petrochemical companies were added through the 1950s, creating an oil refinery complex called the Yokkaichi Kombinato. In 1959 it began twenty-four-hour operations, and the sparkle of hundreds of electric lights became known as the "million-dollar night view." Although citizens took pride in the growing industrial complex, their enthusiasm waned when air pollution and noise pollution created human health problems. As early as 1953, the central government sent a research group to try to discover the cause, but no action was taken. Instead, the petrochemical complex was expanded.

As citizens began to complain about breathing difficulties, scientists documented a high correlation between airborne sulfur dioxide concentrations and bronchial asthma in schoolchildren and chronic bronchitis in individuals over forty. Despite this knowledge, a second industrial complex was opened in 1963. In the Isozu district of Yokkaichi, the average concentration of sulfur dioxide was eight times that of unaffected districts. Taller smokestacks spread pollution over a wider area but did not resolve the problem; increased production also added to the volume discharged. Despite resistance, a third industrial complex was added in 1973, one of the largest petroleum refining and ethylene-producing facilities in Japan.

As the petrochemical industries continued to expand, local citizens' quality of life deteriorated. In the early years, heavy smoke was emitted by coal combustion, and parents worried about the exposure of schoolchildren whose playground was close to the

emissions source. Switching from coal to oil in the 1960s seemed to be an improvement, but the now-invisible stack gases still contained large quantities of sulfur oxides, and more people developed respiratory diseases. By 1960 fish from the local waterways had developed such a bad taste that they were unable to be sold, and fishermen demanded compensation for their lost livelihood. By 1961, 48 percent of children under six, 30 percent of people over sixty and 19 percent of those in their twenties had respiratory abnormalities. In 1964 a pollution-free room was established in the local hospital where victims could take refuge and breathe freely.

Even so, two desperate people committed suicide in 1966, and twelve Yokkaichi residents who had been trying to resolve the problem by negotiation finally filed a damage suit against the Shiohama Kombinato in 1967. In 1972 the judge awarded the plaintiffs \$286,000 in damages to be paid jointly by the six companies. This was the first case in which a group of Japanese companies were forced to pay damages, making other kombinatos vulnerable to similar suits. As a consequence of the successful litigation by the Yokkaichi victims, the Japanese government enacted a basic antipollution law in 1967. Two years later, the Law Concerning Special Measures for the Relief of Pollution-Related Patients was enacted. It applied to chronic bronchitis and bronchial-asthma victims not only from Yokkaichi but also Kawasaki and Osaka. In addition, national air-pollution standards were strengthened to require that oil refineries adhere to air pollution abatement policies.

Eventually, flue-gas desulfurization technologies were implemented and by 1975, the annual mean sulfur dioxide levels had decreased by a factor of three, below the target level of 0.017 parts per million (ppm). The prevalence of respiratory diseases on the Yokkaichi was also reduced. In 1973 the Law Concerning Compensation for Pollution-Related Health Damages and Other Measures aided sufferers of chronic bronchitis and bronchial asthma from the other affected areas of Japan, especially Tokyo. By December 1991, 97,276 victims throughout Japan, including 809 from Yokkaichi, were eligible for compensation. Since that time, residents near heavily industrialized areas in China and Mexico City have experienced similar increases in sulfur oxide-related respiratory illnesses.

*See also* Air-pollutant transport; Environmental law; Industrial waste treatment; Tall stacks.

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Frank M. D'Itri

## Yosemite National Park

Yosemite National Park is a 748,542-acre (303,160-ha) park, located on the western slope of the Sierra Nevada in northern California. The name Yosemite comes from the name of an Indian tribe, the *U-zu-ma-ti*, who were massacred in 1851 by soldiers sent by the governor of California for refusing to attend a reservation agreement meeting.

By the mid-1800s, Yosemite had become a thriving tourist attraction. As word of Yosemite's wonders spread back to the East Coast, public pressure led Congress to declare that the Yosemite Valley and the Mariposa Grove of giant sequoias be held "inalienable for all time." President Abraham Lincoln signed this law on June 30, 1864, turning this land over to the state of California and thereby giving Yosemite the distinction of being the first state park. Concern about sheep overgrazing in the high meadows led to the designation of the high country as a national park in 1890.

The person most strongly associated with the protection of Yosemite and its designation as a national



**A view of Half Dome from the valley floor of Yosemite National Park in Yosemite, California. Under a National Park Service proposal, visitors may be required in the future to park their cars outside of Yosemite's park boundaries and take a bus to the valley. (MLphotos/Shutterstock.com)**

park is naturalist and philosopher John Muir. Muir, sometimes called the “Henry David Thoreau of the West,” first came to Yosemite in 1868. He devoted the next forty years of his life to ensuring that the ecological integrity of the region was maintained. In 1892 Muir founded the Sierra Club to organize efforts to gain national park status for the Yosemite Valley.

Yosemite National Park is famous for its awesome and inspiring scenery. Geologic wonders include the mile-wide Yosemite Valley surrounded by granite walls and peaks such as El Capitan (7,569 feet [2,307 m] above sea level; 3,593 feet [1,095 m] from base) and Half Dome (8,842 feet [2,695 m] above sea level; 4,733 feet [1,443 m] from base). Spectacular waterfalls include Yosemite Falls (upper 1,430 feet [436 m], middle 675 feet [206 m], Lower 320 feet [98 m]), Ribbon Falls (1,612 feet [491 m]), Bridalveil Falls (620 feet [189 m]), Sentinel Falls (2,001 feet [610 m]), Horsetail Falls (1,001 feet [305 m]), and Vernal Falls (317 feet [97 m]).

The park supports an abundance of plant and animal life. Eleven species of fish, twenty-nine species of reptiles and amphibians, 242 species of birds, and seventy-seven species of mammals can be found in the park. There are approximately 1,400 species of flowering plants, including thirty-seven tree species. The most famous of the tree species found here is the giant sequoia (*Sequoiadendron giganteum*). The park has three groves of giant sequoias, the largest being the Mariposa Grove with 500 mature trees, 200 of which exceed 10 feet (3 m) in diameter.

Because of the high degree of development and the congestion from the large numbers of visitors (nearly 3.9 million in 2009), some have disparagingly referred to the Valley as “Yosemite City.” Yosemite has become a focal point in the controversy of whether humans are “loving our parks to death.” People and traffic management has become as critical as traditional resource

management. At Yosemite, balancing the public's needs and desires with the protection of the natural resources is the National Park Service's greatest challenge as they enter the twenty-first century.

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### ORGANIZATIONS

Yosemite National Park, PO Box 577, Yosemite National Park, CA, 95389, (209) 372-0200, comments@yosemite.com, <http://www.nps.gov/yose>

National Park Service, 1849 C Street NW, Washington, DC, 20240, (202) 208-3818, <http://www.nps.gov>

Ted T. Cable

## Yucca Mountain

Yucca Mountain is a barren desert ridge about 90 miles (161 km) northwest of Las Vegas, Nevada. The U.S. Department of Energy planned to build its first high-level nuclear waste storage facility there in an extensive series of tunnels deep beneath the desert surface. Over twenty-five years and more than \$10.3 billion were spent designing and testing the storage facility. However, scientists continued to disagree on whether the facility could remain dry and stable for the thousands of years required for natural decay processes to render safe the stored wastes.

Waste storage at Yucca Mountain is a complex, expensive, and potentially high-risk problem that exemplifies the complications of many environmental issues. It also demonstrates the difficulty of making democratic decisions about issues with consequences that affect large geographical areas and future generations in ways that are difficult to predict and potentially control.

Most of the nuclear waste to be stored at Yucca Mountain was to come from nuclear power plants. As radioactive uranium-235 is consumed in fission reactions, the fuel becomes depleted and accumulating waste products reduce energy production. Every year about one-third of the fuel in a typical reactor must be removed and replaced with a fresh supply. The spent (depleted) fuel must be handled and stored very carefully because it contains high concentrations of dangerous radioactive elements, in addition to natural uranium, thorium, and radon isotopes. Some of these materials have very long half-lives, requiring storage for at least 10,000 years before their radioactivity is reduced to harmless levels by natural decay processes.

For a time in the 1950s, nuclear wastes were dumped in the ocean, but that practice was stopped when it was discovered that the corroding metal waste containers allow radio-isotopes to dissolve in seawater. In 1982 the U.S. Congress ordered the Department of Energy (DOE) to build two sites for permanent radioactive waste disposal on land by 1998. Understandably, no one wanted this toxic material in their backyard. In 1987, after a long and highly contentious process, the DOE announced that it could only find one acceptable site: Yucca Mountain.

The storage facility would be a honeycomb of tunnels more than 1,000 feet (303.3 m) below ground. When finished, the total length of the tunnels would be more than 112 miles (180 km)—approximately the size of the world's largest subway system in New York City. Altogether, the tunnels would have room for more than 80,000 tons (72,000 metric tons) of nuclear waste packed in corrosion-resistant metal canisters. Because Yucca Mountain is located in one of the driest areas in the United States, and the bedrock is composed of highly stable volcanic tuff (compacted ash), the DOE thus hoped that storage areas would remain intact and free of leaks for thousands of years. Total costs for this facility were estimated to be as high as \$58 billion for construction and the first fifty years of operation.

Ever since its designation as the nation's sole repository for high-level nuclear waste, Yucca Mountain was embroiled in both scientific and political controversy. Scientists remained divided about the safety of the site. Although some studies suggest that Yucca Mountain has been geologically stable for thousands of years, others show a history of fairly recent volcanic activity and large earthquakes in the area. Fractures and faults have been discovered in the bedrock that could allow ground water to seep into storage vaults if the climate changes. One hydrologist suggested that groundwater levels, while low now, could rise suddenly and fill the tunnels with water. In 2002 a group of opponents of the site claimed that over 200 technical problems remained to be solved by the DOE.

The storage project has been continually delayed. After over \$1 billion had been spent on exploratory studies and trial drilling at Yucca Mountain, the DOE announced in 1986 that it could not meet the 1998 deadline imposed by Congress. The earliest date by which the repository could have been opened was thought to be 2010. States and power companies, having already paid more than \$12 billion to the DOE to build the repository, threatened to sue the government if it did not open as originally promised. Some utilities have begun to negotiate privately with Native American tribes and foreign countries to take their nuclear wastes.



The view from the top of the Yucca Mountain Nuclear Waste Repository in Nevada. The dump site is directly below this point down 1,000 feet. (© David Howells/Corbis)

About 45,000 tons (40,500 metric tons) of spent nuclear fuel sits at 131 sites, including 103 operating nuclear plants, in thirty-nine states. Another 20,000 tons (18,000 metric tons) are expected to be generated before 2010. Most of this waste is being stored in deep water-filled pools inside nuclear plants. These pools were never intended for long-term storage and are now overcrowded. Many power plants have no more room for spent fuel storage and will be forced to shut down unless some form of long-term storage is approved. In 1997 the DOE asked Congress for \$100 million to purchase large steel casks in which power companies can stockpile spent fuel assemblies in outdoor storage yards. Neighbors who live near these storage sites worry about the possibility of leakage and accidents. Nevertheless, several utilities have already begun on-site dry cask storage. It is estimated that 165 million Americans live within a two-hour drive of stored high-level nuclear waste.

In February 2002, under the recommendation of Energy Secretary Spencer Abraham, President George W. Bush approved Yucca Mountain as the nation's nuclear waste storage site, to be opened in 2010. It was

slated to receive a minimum of 3,000 tons (2,700 metric tons) of nuclear waste per year for twenty-three years, storing up to a legal maximum of 77,000 tons (69,300 metric tons). As expected, and as allowed by federal law, the state of Nevada filed a formal objection to the site approval. In May 2002 the U.S. House of Representatives voted to override Nevada's objections to the site. A major political battle was then taken to the U.S. Senate, which had until July 26, 2002, to decide upon the future of U.S. nuclear waste disposal. In July 2002, the site was approved.

In June 2008 the DOE submitted a license application to the Nuclear Regulatory Commission (NRC) seeking approval to construct the repository. By 2009 the preliminary processes for its approval by the NRC had been completed, but the NRC was allowed three years to complete a review of the application. Construction was then slated to begin in 2013.

After President Barack Obama (1961–) took office in January 2009, his administration announced it was reconsidering the storage facility at Yucca Mountain. The United States currently stores its nuclear waste at the nation's 104 nuclear reactors. In March 2009

Energy Secretary Steven Chu stated that the site “no longer was viewed as an option for storing reactor waste.” Although the Yucca Mountain waste storage facility is not permanently dead, the project has been recommended for defunding, with the exception of enough money to prepare answers for a NRC review board that could decide the final fate of the storage facility. In January 2010 Obama administration officials announced a new fifteen-member commission to reevaluate nuclear waste disposal policies, including possible alternatives to a proposed site at Yucca Mountain in Nevada. A report is due by 2012.

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### ORGANIZATIONS

U.S. Department of Energy, 1000 Independence Avenue SW, Washington, DC, 20585, (202) 586-6151, (202) 586-0956, <http://www.doe.gov>

Yucca Mountain Project, PO Box 364629, North Las Vegas, NV, 89036- 8629, (800) 225-6972, (702) 295-5222, <http://www.ymp.gov>

William P. Cunningham  
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## Zebra mussel

The zebra mussel (*Dreissena polymorpha*) is a small bivalve mollusk native to the freshwater rivers draining the Caspian and Black Seas of western Asia. This species of shellfish, which gets its name from the dark brown stripes on its tan shell, was introduced into the Great Lakes of the United States and Canada and became established sometime between 1985 and 1988.

The zebra mussel was first discovered in North America in June of 1988 in the waters of Lake St. Clair. The introduction probably took place two or three years prior to the discovery, and it is believed that a freighter dumped its ballast water into Lake St. Clair, flushing the zebra mussel out in the process. By 1989 this mussel had spread west through Lake Huron into Lake Michigan and east into Lake Erie. Within three years it had spread to all five of the Great Lakes.

Unlike many of its other freshwater relatives, which burrow into the sand or silt substrate of their habitat, the zebra mussel attaches itself to any solid surface. This was the initial cause for concern among environmentalists as well as the general public; these mollusks were attaching themselves to boats, docks, and water intake pipes. Zebra mussels also reproduce quickly, and they can form colonies with densities of up to 100,000 individuals per square meter. The city of Monroe, Michigan, lost its water supply for two days because its intake pipes were plugged by a huge colony of zebra mussels, and Detroit Edison spent one-half million dollars cleaning them from the cooling system of its Monroe power plant. Ford Motor Company was forced to close its casting plant in Windsor, Ontario, in order to remove a colony from the pipes, which send cooling water to their furnaces.

Although the sheer number of these filter feeders has actually improved water quality in some areas, they still pose threats to the ecological stability of the aquatic ecosystem in this region. Zebra mussels are in

direct competition with native mussel species for both food and oxygen. Several colonies have become established on the spawning grounds of commercially important species of fish, such as the walleye, reducing their reproductive rate. The zebra mussel feeds on algae, and this may also represent direct competition with several species of fishes.

The eradication of the zebra mussel is widely considered an impossible task, and environmentalists maintain that this species will now be a permanent member of the Great Lakes ecosystem. Most officials in the region agree that money would be wasted battling the zebra mussel, and they believe that it is more reasonable to accept their presence and concentrate on keeping intake pipes and other structures clear of the creatures. Zebra mussels have spread throughout much of the United States with reports of zebra mussels in the Mississippi, Hudson, St. Lawrence, Ohio, Cumberland, Missouri, Tennessee, Colorado, and Arkansas Rivers. The zebra mussel has very few natural predators, and since it is not considered an edible species, it has no commercial value to man. One enterprising man from Ohio has turned some zebra mussel shells into jewelry, but currently the supply far exceeds the demand.

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Eugene C. Beckham



Shells, mostly zebra mussels, piling up on a beach in Sleeping Bear Dunes National Lakeshore, Michigan. The Zebra mussel (*Dreissena polymorpha*) is an invasive species in the Great Lakes. (© iStockphoto.com/Cornelia Schaible)

## Zebras

Zebras are striped members of the horse family (*Equidae*) native to Africa. These grazing animals stand 4 to 5 feet (1.2–1.5 m) high at the shoulders and are distinctive because of their striking white and black or dark brown stripes running alternately throughout their bodies. These stripes actually have important survival value for zebras, for when they are in a herd, the stripes tend to blend together in the bright African sunlight, making it hard for a lion or other predator to concentrate on a single individual and bring it down.

The zebra's best defense is flight, and it can outrun most of its enemies. It is thus most comfortable grazing and browsing in groups on the flat open plains and grasslands. Zebras are often seen standing in circles, their tails swishing away flies, each one facing in a different direction, alert for lions or other predators hiding in the tall grass.

Most zebras live on the grassy plains of East Africa, but some are found in mountainous areas.

They live in small groups led by a stallion, and mares normally give birth to a colt every spring. Zebras are fierce fighters, and a kick can kill or cripple predators (lions, leopards, cheetahs, jackals, hyenas, and wild dogs). These predators usually pursue newborn zebras, or those that are weak, sick, injured, crippled, or very old, as those are the easiest and safest to catch and kill. Such predation helps keep the gene pool strong and healthy by eliminating the weak and diseased and ensuring the survival of the fittest.

Zebras are extremely difficult to domesticate, and most attempts to do so have failed. They are often found grazing with wildebeest, hartebeest, and gazelle, since they all have separate nutritional requirements and do not compete with each other. Zebras prefer the coarse, flowering top layer of grasses, which are high in cellulose. Their trampling and grazing are helpful to the smaller wildebeest, who eat the leafy middle layer of grass that is higher in protein. And the small gazelles feed on the protein-rich young grass, shoots, and herb blossoms found closest to the ground.



Group of zebras running through water. (© iStockphoto.com/Gary Wales)

One of the most famous African wildlife events is the seasonal 800-mile (1,287-km) migration in May and November of over a million zebras, wildebeest, and gazelles, sweeping across the Serengeti plains, as they have done for centuries, in tides that flow as far as the eye can see. But it is now questionable how much longer such scenes will continue to take place.

Zebras were once widespread throughout southern and eastern Africa, from southern Egypt to Cape town. But hunting for sport, meat, and hides has greatly reduced the zebra's range and numbers, though it is still relatively numerous in the parks of East Africa. One species, once found in South Africa, the quagga (*Equus quagga quagga*) is already extinct, wiped out by colonists in search of hides to make grain sacks.

Several other species of zebra are threatened or endangered, such as Grevy's zebra (*Equus grevyi*) in Kenya, Ethiopia, and Somalia; Hartmann's mountain zebra (*Equus zebra hartmannae*) in Angola and Namibia; and the mountain zebra (*Equus zebra zebra*) in South Africa. The Cape mountain zebra has adapted to life on sheer mountain slopes and ravines where

usually only wild goats and sheep can survive. In 1964 only about twenty-five mountain zebra could be found in the area, but after two decades of protection, the population had increased to several hundred in Cradock National Park in the Cape Province's Great Karroo area.

Probably the biggest long-term threat to the survival of the zebra is the exploding human population of Africa, which is growing so rapidly that it is crowding out the wildlife and intruding on the continent's parks and refuges. Political instability and the proliferation of high-powered rifles in many countries also represent a threat to the survival of Africa's wildlife.

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Lewis G. Regenstein

## Zero discharge

Zero discharge is the goal of eliminating discharges of pollutants by industry, government, and other agencies to air, water, and land with a mission to protect both public health and the integrity of the environment. Such a goal is difficult to achieve except where there are point sources of pollution, and even then the cost of removing the last few percent of a given pollutant may be prohibitive. However, the goal is attainable in many specific cases (e.g., the electroplating industry), and is most desirable in the case of extremely toxic pollutants. In other cases, it may be desirable as a goal even though it is not likely to be completely attained.

Zero discharge was actually proposed in the early 1970s by the U.S. Senate as an attainable goal for the Federal Water Pollution Control Acts Amendments of 1972. However, industry, the White House, and other branches of government lobbied intensely against it, and the proposal did not survive the legislative process. The Clean Water Act prohibits the discharge of any pollutant without prior permit accession. Following wastewater treatment, the treated water is termed effluent. If each process pollutant in the effluent is undetectable analytically, the facility is in compliance with zero discharge. This regulation requires that levels of active ingredients and priority pollutants be below detection limits in the effluent. Additionally, these pollutants must have approved analytical detection methods. If any of these pollutants are detected in the final effluent, the facility is not in compliance. A facility may be considered to have zero discharge if it is able to exhibit no flow of wastewater into the environment. In some cases, it is possible to reuse treated wastewater for irrigation or for cooling in power plants.

*See also* Air quality criteria; Pollution control; Water quality standards.

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United States Environmental Protection Agency (EPA). "Effluent Limitation Guidelines: Pollution Prevention (P2) Manual." <http://www.epa.gov/guide/p2/faqs2.htm> (accessed September 19, 2010).

## Zero population growth

Zero population growth (also called the replacement level of fertility) refers to stabilization of a population at its current level. A population growth rate of zero means that a population remains stable over time, with no net change in numbers of entities within the population (i.e., birthrate is in balance with death rate). In more developed countries (MDC), where infant mortality rates are low, a fertility rate of about 2.2 children per couple results in zero population growth. This rate is slightly more than two because the extra fraction includes infant deaths, infertile couples, and couples that choose not to have children. In less developed countries (LDC), the replacement level of fertility is often as high as six children per couple.

Zero population growth, as a term, lends its name to a national, nonprofit organization founded in 1968 by Paul R. Ehrlich, which works to achieve a sustainable balance between population, resources, and the environment worldwide.

*See also* Family planning.

### Resources

#### ORGANIZATIONS

Zero Population Growth, 1400 16th Street NW, Suite 320, Washington, DC, 20036

## Zero risk

A concept allied to, but less stringent than, that of zero discharge. Zero risk permits the release to air, water, and land of those pollutants that have a threshold dose below which public health and the environment remain undamaged. Such thresholds are often difficult to determine. They may also involve a time

element, as in the case of damage to vegetation by sulfur dioxide in which less severe fumigations over a longer period are cumulative or equivalent to more severe exposures over a shorter period.

*See also* Air quality criteria; Pollution control.

## Zone of saturation

In discussions of groundwater, a zone of saturation is an area where water exists and will flow freely to a well, as it does in an aquifer. The thickness of the zone varies from a few feet to several hundred feet, determined by local geology, availability of pores in the formation, and the movement of water from recharge to points of discharge.

Saturation can also be a transient condition in the soil profile or vadose zone during times of high precipitation or infiltration. Such saturation can vary in duration from a few days or weeks to several months. In soils, the saturated zone will continue to have unsaturated conditions both above and below it; when a device used to measure saturation, called a piezometer, is placed in this zone, water will enter and rise to the depth of saturation. During these periods, the soil pores are filled with water, creating reducing conditions because of lowered oxygen levels. This reduction, as well as the movement of iron and manganese, creates distinctive soil patterns that allow the identification of saturated conditions even when the soil is dry.

*See also* Artesian well; Drinking-water supply.

## Zoo

Zoos are institutions for exhibiting and studying wild animals. Many contemporary zoos have also made environmental education and the conservation of biodiversity part of their mission. The term zoo is derived from the Greek *zoon*, meaning “living being.” As a prefix it indicates the topic of animals, such as in zoology (knowledge of animals) or zoogeography (the distribution and evolutionary ecology of animals). As a noun it is a popular shorthand for all zoological gardens and parks.



**Bahagia is one of fifty-three Sumatran tigers in captivity, and less than 400 are thought to exist in the world.** (AP Photo/Gregory Smith, HO)

## Design and operation

Zoological gardens and parks are complex institutions involving important factors of design, staffing, economics, and politics. Design of a zoo is a compromise between the needs of the animals (e.g., light, temperature, humidity, cover, feeding areas, opportunities for natural behavior), the requirements of the staff (e.g., offices, libraries, research and veterinary laboratories, garages, storage sheds), and amenities for visitors (e.g., information, exhibitions, restaurants, rest areas, theaters, transportation).

Zoos range in size from as little as 2 acres (0.8 ha) to as much as 3,000 acres (1,231 ha), and animal enclosures may range from small cages to fenced-in fields. The proper size for each enclosure is determined by many factors, including the kind and number of species being exhibited and the extent of the enclosure’s natural or naturalistic landscaping. In small zoos, space is at a premium. Cages and paddocks are often arranged into taxonomic or zoogeographic pavilions, with visitors walking among the exhibits. As a collection of animals in a confined and artfully arranged space, these zoos are called zoological gardens. In larger zoos, more space is generally available. Enclosures may be bigger, the landscaping more elaborate, and cages fewer in number. Nonpredatory species such as hooved mammals may roam freely within the confines of the zoo’s outer perimeter. Visitors may walk along raised platforms or ride monorail trains through the zoo. Lacking the confinement and precise arrangement of a garden, these zoos are called zoological parks. Some zoos go a step further and model themselves after Africa’s national

parks and game preserves. Visitors drive cars or ride buses through these safari parks, observing animals from vehicles or blinds.

A zoo's many functions are reflected in its staff, which is responsible for the animals' well-being, exhibitions, environmental education, and conservation programs. There are several job categories: Administrators, office personnel, and maintenance staff tend to the management of the institution. Curators are trained wildlife biologists responsible for animal acquisition and transfer, as well as maintaining high standards of animal care. Keepers perform the routine care of the animals and the upkeep of their enclosures. Veterinarians focus on preventive and curative medicine, form safeguards against contagious disease, heal stress-induced ailments or accidental injuries, and preside over births and autopsies. Well-trained volunteers serve as guides and guards, answering questions and monitoring visitor conduct. Educators and scientists may also be present for the purpose of environmental education and research.

Zoos are expensive undertakings, and their economy enables or constrains their resources and practices. Few good zoos produce surplus revenue; their business is service, not profit. Most perpetually seek new sources of capital from both public and private sectors, including government subsidies, admission charges, food and merchandise sales, concessionaire rental fees, donations, bequests, and grants. The funds are used for a variety of purposes, such as daily operations, animal acquisitions, renovations, expansion, public education, and scientific research. The precise mixture of public and private funds is situational. As a general rule, public money provides the large investments needed to start, renovate, or expand a zoo. Private funds are better-suited for small projects and exhibit start-up costs.

A variety of public and private interests claim a stake in a zoo's mission and management. Those concerned with the politics of zoos include units of government, regulatory agencies, commercial enterprises, zoological societies, nonprofit foundations, activist groups, and scholars. They influence the availability and use of zoo resources by holding the purse strings and affecting public opinion. Conflict between the groups can be intense, and ongoing disputes over the use of zoos as entertainment, the acquisition of "charismatic megafauna" (big cute animals), and the humane treatment of wildlife are endemic.

### History and purposes

Zoos are a complex cultural phenomena. Menageries, the unsystematic collections of animals that

were the progenitors of zoos, have a long history. Particularly impressive menageries were created by ancient societies. The Chinese emperor Wen Wang (circa 1000 BC) maintained a 1,500 acre (607 ha) "Garden of Intelligence." The Greek philosopher Aristotle (384–322 BC) studied animal taxonomy from a menagerie stocked largely through the conquests of Alexander the Great (356–323 BC). Over 1,200 years later, the menagerie of the Aztec ruler Montezuma (circa AD 1515) rivaled any European collection of the sixteenth century.

In the main, these menageries had religious, recreational, and political purposes. Ptolemy II of Egypt (300–251 BC) sponsored great processions of exotic animals for religious festivals and celebrations. The Romans maintained menageries of bears, crocodiles, elephants, and lions for entertainment. Powerful lords maintained and exchanged wild and exotic animals for diplomatic purposes, as did Charlemagne (AD 768–814), the medieval king of the Franks. Dignitaries gawked at tamed cheetahs strolling the botanical gardens of royal palaces in Renaissance Europe. Indeed, political and social prestige accrued to the individual or community capable of acquiring and supporting an elaborate and expensive menagerie.

In Europe, zoos replaced menageries as scholars turned to the scientific study of animals. This shift occurred in the eighteenth century, the result of European voyages of discovery and conquest, the founding of natural history museums, and the donation of private menageries for public display. Geographically representative species were collected and used to study natural history, taxonomy, and physiology. Some of these zoos were directed by the outstanding minds of the day. The French naturalist Georges Leopold Cuvier (1769–1832) was the zoological director of the Jardin de Plants de Paris (founded in 1793), and the German geographer Alexander von Humboldt (1769–1859) was the first director of the Berlin Zoological Garden (founded in 1844). The first zoological garden for expressly scientific purposes was founded by the Zoological Society of London in 1826; its establishment marks the advent of modern zoos.

Despite their scientific rhetoric, zoological gardens and parks retained their recreational and political purposes. Late nineteenth-century visitors still baited bears, fed elephants, and marveled at the exhausting diversity of life. In the United States, zoos were regarded as a cultural necessity, for they reacquainted harried urbanites with their wilderness frontier heritage and proved the natural and cultural superiority of North America. As if recreation, politics, and science were not enough, an additional element was introduced

in North American zoos: conservation. North Americans had succeeded in decimating much of the continent's wildlife, driving the passenger pigeon (*Ectopistes migratorius*) to extinction, the American bison (*Bison bison*) to endangerment, and formerly common wildlife into rarity. It was believed that zoos would counteract this wasteful slaughter of animals by promoting their wise use. At present, zoos claim similar purposes to explain and justify their existence, namely recreation, education, and conservation.

### Evaluating zoos

Critics of zoos contend they are antiquated, counterproductive, and unethical; that field ecology and wildlife management make them unnecessary to the study and conservation of wildlife; and that zoos distort the public's image of nature and animal behavior, imperil rare and endangered wildlife for frivolous displays, and divert attention from saving natural habitat. Finally, critics hold that zoos violate humans' moral obligations to animals by incarcerating them for a trivial interest in recreation. Advocates counter these claims by insisting that most zoos are modern, necessary, and humane, offering a form of recreation that is benign to animal and human alike and is often the only viable place from which to conduct sustained behavioral, genetic, and veterinary research.

Zoos are an important part of environmental education. Indeed, some advocates have proposed "bio-parks" that would integrate aquariums, botanical gardens, natural history museums, and zoos. Finally, advocates claim that animals in zoos are treated humanely. By providing for their nutritional, medical, and security needs, zoo animals live long and dignified lives, free from hunger, disease, fear, and predation.

The arguments of both critics and advocates have merit, and in retrospect, zoos have made substantial progress since the turn of the century. In the early 1900s many zoos collected animals like postage stamps, placing them in cramped and barren cages with little thought to their comfort. Today, zoos increasingly use large naturalistic enclosures and house social animals in groups. They often specialize in zoogeographic regions, permitting the creation of habitats that plausibly simulate the climate, topography, flora, and fauna of a particular environment. Additionally, many zoos contribute to the protection of biodiversity by operating captive-breeding programs, propagating endangered species, and restoring destroyed species to suitable habitat.

This progress notwithstanding, zoos have limitations. Statements that zoos are arks of biodiversity, or

that zoos teach people how to manage the "megazoo" called nature, greatly overstate their uses and lessons. Zoos simply cannot save, manage, and reconstruct nature once its genetic, species, and habitat diversity is destroyed. That said, the promotion by zoos of environmental education and biodiversity conservation is an important role in the defense of the natural world.

### Resources

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William S. Lynn

## Zooplankton

Small herbivores that float or drift near the surface of aquatic systems and that feed on plant plankton (phytoplankton and nanoplankton). Zooplankton movements are largely dependent upon passive transport in water currents, including vertical column currents that can alter the depth and density of species populations. This diverse assemblage includes organisms that feed on bacteria, phytoplankton, and other zooplankton, as well as organisms that may not feed at all. Zooplankton may be divided into *holoplankton*, organisms that spend their entire lives as plankton, such as krill, and *meroplankton*, organisms that exist in the plankton for only part of their lives, such as crab larvae. Fish may live their entire lives in the water column, but are only classified as zooplankton while in their embryonic and larval stages.



# HISTORICAL CHRONOLOGY

- 4.6 billion years ago:** Origin of Earth.
- 18000 BC:** Nile valley sees rise of agricultural techniques; cereal grains are specifically cultivated.
- 10000 BC:** Neolithic Revolution: Transition from a hunting and gathering mode of food production to farming and animal husbandry, that is, the domestication of plants and animals.
- 8000 BC:** With the beginning of settled agriculture come the first simple digging and harvesting tools.
- 6000 BC:** The rise of the great ancient civilizations, beginning in Mesopotamia, begat institutions and persons devoted to the collection and teaching of agricultural science and technology to support infrastructure.
- 4000 BC:** The Egyptian calendar based on 365 days is established. Because the Egyptian economy depends on the annual flooding of the Nile River, it is essential to know the onset of flooding season. When the priests see Sirius, the brightest star in the sky, rising in line with the Sun in the morning, they know that this date usually coincides with the yearly flood.
- 3000 BC:** Assyrians develop a sewer network to carry waste water.
- 3000 BC:** The construction of the Egyptian pyramids at Giza begins. The fact that they are oriented to the cardinal points of the compass and that their interiors have inclined passages which point to the pole star of the period are strong evidence that the Egyptians possessed precise sighting or astronomical instruments.
- 3000 BC:** The Sumerians in the lower Euphrates valleys build dams, canals, statues, and pyramids that demonstrate a working knowledge of the lever and other basic tools.
- 2900 BC:** Stonehenge is begun on the Salisbury Plain in England. This early version consists of an earthen bank and a ditch along fifty-six holes.
- 2600 BC:** The Chinese make a primitive sundial and estimate the time of day by using a rod placed in the ground to project the Sun's shadow.
- 2500 BC:** Apiculture or beekeeping begins in Egypt around this time. One of the oldest forms of animal husbandry, it involves the care and manipulation of colonies of honeybees (of the *Apis* species) so that they will produce and store a quantity of honey above their own requirements.
- 1500 BC:** *Rig-Veda* in the Indian Vedas, a collection of religious, philosophical, and educational writings, states that Earth is a globe.
- 1370 BC:** Large-scale manufacture of glass begins in Egypt.
- 450 BC:** Anaxagoras of Athens teaches that the Moon shines with the light of the Sun.
- 450 BC:** Empedocles (c.492–c.432 BC), Greek philosopher, first offers his concept of the composition of matter, being made of four elements—earth, air, fire, and water. This notion is adapted by Aristotle and becomes the basis of chemical theory for over 2,000 years.

- 450 BC:** Herodotus (484–426 BC), Greek historian, states that the fertile soil of Egypt was taken from countries upstream and deposited there by a great river.
- 450 BC:** Leucippus, Greek philosopher, first states the rule of causality (that every event has a natural cause). He is also the teacher of Democritus and the supposed inventor of that philosopher's theory of atomism.
- 425 BC:** Democritus (c.470–c.380 BC), Greek philosopher, states his atomic theory that all matter consists of infinitesimally tiny particles that are indivisible. These atoms are eternal and unchangeable, although they can differ in their properties. They can also recombine to form new patterns. His intuitive ideas contain much that is found in modern theories of the structure of matter.
- 400 BC:** Aristotle (384–322 BC), Greek philosopher, classifies animals into species and classes.
- 350 BC:** Aristotle offers observational proofs that Earth is not flat. He also states Earth changes ceaselessly although gradually, and that erosion and silting cause major changes in its physical geography.
- 100 BC:** The wheel-of-pots driven by the river current appears in Egypt as a method of drawing water.
- 44:** Pomponius Mela (110 BC–32 BC), Roman geographer, divides Earth into five zones (North Frigid, North Temperate, Torrid, South Temperate, and South Frigid). This system is used even in modern times.
- 600:** Oil wells dug by hand are known to reach 600 feet (18,288 cm) deep in Japan.
- 900:** Rhazes (c.860–c.930), Persian physician and alchemist, is the first to divide all substances into the grand classification of animal, vegetable, and mineral. He also subclassifies minerals into metals, volatile liquids (spirits), stones, salts, and others.
- 914:** Al Masudi (896–956), Arab historian and geographer, records accurate principles on evaporation and the causes of ocean salinity. He is the first in the East to combine history and scientific geography in a major work. He is known as the “Herodotus of the Arabs.”
- 1100:** In western North America, the Hopi tribe of Native Americans uses coal for both heating and cooking purposes.
- 1175:** Chu Hsi of China (c.1130–c.1200) writes his *Chu Tsi Shu Chieh Yao* in which he states that fossils were once living organisms.
- 1307:** The burning of coal in London is so widespread that lime burners are forbidden from burning it so as not to add to the pollution.
- 1335:** Richard Wallingford (c.1292–1336), English scholar, builds a mechanical clock that indicates low and high tide.
- 1350:** Giacomo Dondi (1298–1359), Italian physician and astronomer, writes his *Tractatus de Cause Salsedinis* in which he discusses the salinity of the oceans as well as the phenomenon of the tides. He suggests that the combined effects of the Sun, Moon, and planets have an effect on Earth's tides. He also is the first to recommend the extraction of salts from mineral waters for medicinal purposes.
- 1370:** Konrad von Megenburg (1309–1374), German scholar, writes his *Buch der Natur* in which he says that earthquakes are the result of an accumulation of winds in Earth's interior forcing their way towards the surface.
- 1473:** *Philobiblon* by medieval writer Richard de Bury (1287–1345) is first published. This book uses the word “geology” to describe the science or law of the earth as opposed to “theology” which is the science of the divine.
- 1513:** Juan Ponce de Leûn (c.1460–1521), Spanish explorer, offers the first written description of the Gulf Stream in the North Atlantic.
- 1519:** Leonardo da Vinci (1452–1519), Italian artist and scientist, writes his (unpublished) treatise on water in which he says that seawater rose to the mountain tops, formed rivers, and then returned to the oceans.
- 1564:** Gabriel Fallopius (1523–1562), Italian anatomist, writes in his *De Medicatis Aquis atque de Fossilibus* that water from the oceans descends into the earth, is evaporated by fire, and then rises to the surface where it is condensed as spring

- water since its salt has been deposited below.
- 1584:** Giordano Bruno (1548–1600), Italian philosopher, writes that there never was a great flood but only local ones. He also says that there have been many frequent alterations in Earth’s topography, and that the oceans are deeper than the mountains are high.
- 1584:** In Moscow, the Kamennyj Prizak is founded as an organization for identifying and exploiting natural resources.
- 1592:** Galileo Galilei (1564–1642), Italian astronomer and physicist, argues that the physical laws of Cosmos are the same as those on Earth.
- 1600:** William Gilbert (1544–1603), English physician and physicist, publishes his *De Magnete* in which he concludes that Earth’s interior is largely iron and that Earth itself is one great magnet. In this major scientific work which is one of the earliest based on actual experimentation, he describes his experiments with his magnetized model of Earth called a “terrella.”
- 1619:** Johannes Kepler (1571–1630), German astronomer, publishes *Harmonices Mundi*, a mystical work of astronomical speculation in which he also says that there is an ongoing process in which Earth decomposes matter to form other materials.
- 1625:** Nathanael Carpenter (1589–1635), English mathematician, publishes his *Geography* in which he says mountains are continually being built up even as the weather wears others down.
- 1627:** Francis Bacon (1561–1626), English philosopher, considers oceanographic issues such as tides, waves, currents, depth, and salinity in his posthumously-published *Sylva Sylvarum*.
- 1661:** John Evelyn (1620–1706) of England publishes his attack on air pollution, *The Smoke of London*.
- 1669:** Jan Swammerdam (1637–1680), Dutch naturalist, begins his pioneering work on the metamorphosis of insects and the anatomy of the mayfly (1675).
- 1674:** Pierre Perrault (c.1611–1680), French hydrologist, publishes *De l’Origine des Fontaines* anonymously. He shows conclusively that precipitation is more than adequate to sustain the flow of rivers. His field work on the Seine River is instrumental in establishing the science of hydrology on a quantitative basis.
- 1680:** The dodo bird (*Raphus cucullatus*) becomes extinct because of excessive hunting by sailors in the Indian Ocean.
- 1691:** Edmund Halley (1656–1742), English astronomer, writes an article in which he describes Earth’s water cycle. The sun evaporates sea water which rises to mountain heights and is condensed into rain which falls and penetrates into Earth to emerge in springs and rivers.
- 1694:** Joseph Pitton de Tournefort (1656–1708), French botanist, publishes his classic three-volume work *Elemens de Botanique* in Paris. It makes a major contribution to the emerging concept of genus in botany (being groups of all related species).
- 1735:** Carolus Linnaeus (1707–1778), Swedish botanist, first publishes his *Systema Naturae*, a methodical and hierarchical classification of all living things. He develops the binomial nomenclature that will be used in classifying plants and animals. In this system, each type of living thing is given first a generic name (for the group to which it belongs), and then a specific name for itself.
- 1754:** Joseph Black (1728–1799), Scottish chemist, proves by quantitative experiments the existence of carbon dioxide which he calls “fixed air.” His proof is contained in his thesis done for his medical degree, and two years later he openly publishes the results.
- 1754:** Pierre-Louis Moreau de Maupertuis (1698–1759), French mathematician, suggests that species transform over time.
- 1759:** Bernard de Jussieu (1699–1777), French physician, is invited by French King Louis XV (1710–1774) to develop a botanical garden at the Petit Trianon garden at Versailles. Jussieu creates a living illustration of his natural classification system.

- 1765:** Karl Wilhelm Scheele (1742–1786), Swedish chemist, discovers prussic acid. Known also as hydrogen cyanide, this highly volatile, colorless liquid is extremely poisonous and is eventually used in industrial chemical processing.
- 1768:** Captain James Cook (1728–1779), English navigator, makes the first of his three famous voyages into the Pacific. The first of the really scientific navigators, he sails under the auspices of the Royal Society.
- 1772:** Daniel Rutherford (1749–1819), Scottish chemist, discovers nitrogen. It is also independently discovered shortly thereafter by Priestley, Scheele, and Cavendish.
- 1774:** Joseph Priestley (1733–1804), English chemist, discovers oxygen or what he calls “dephlogisticated air.” It had been isolated sometime between 1770 and 1773 by the Swedish chemist Karl Scheele (1742–1786) who calls it “fire air,” but Priestley publishes his results first. It is named by Lavoisier on September 5, 1779.
- 1776:** Alessandro G. A. A. Volta (1745–1827), Italian physicist, discovers marsh gas (methane) which is inflammable in the reed-beds of Lake Maggiore.
- 1779:** Antoine-Laurent Lavoisier (1743–1794), French chemist, first proposes the name “oxygen” for that part of the air that is breathable and which is responsible for combustion.
- 1779:** Horace-Bénédict de Saussure (1740–1799), Swiss physicist, publishes his *Voyages dans les Alpes* in which he is the first to use the word “geology.” As the first person to climb mountains in order to investigate them scientifically, he writes four volumes of accurate observations on mountains and glaciers.
- 1779:** Jan Ingenhousz (1730–1799), Dutch physician and plant physiologist, publishes his *Experiments upon Vegetables* in London and shows that light is necessary for plants to produce oxygen and that sunlight is the energy source for photosynthesis. He also says that carbon dioxide is taken in by plants in the daytime and given off at night.
- 1780:** Last wolf on the British Isles is killed.
- 1780:** Lavoisier and Pierre Simon Laplace (1749–1827), French astronomer and mathematician, collaborate to demonstrate that respiration is a form of combustion. Breathing, like combustion, liberates heat, carbon dioxide, and water.
- 1785:** James Hutton (1726–1797), Scottish geologist, reads his first paper, “Concerning the System of the Earth,” to the Royal Society of Edinburgh. It is out of this brief paper that geology has its beginnings as a real science or as an organized field of study. Hutton offers a theory called “uniformitarianism” that contains one of the most fundamental principles of geology—that natural processes have been constantly at work shaping the earth over enormously long periods of time. The prime force behind this shaping is the internal heat of the earth. These ideas meet considerable resistance, but Hutton eventually prevails and comes to be known as the “father of geology.”
- 1794:** Erasmus Darwin (1731–1802), English physician and grandfather of Charles Darwin, publishes his *Zoonomia* in which he elaborates on Buffon’s evolutionary ideas and stresses the role of the environment in changes in organisms.
- 1796:** Ingenhousz concludes that plants utilize carbon dioxide. He finds that the activity of plants and animals complement each other, with plants taking in people’s carbon dioxide and giving them oxygen in return.
- 1798:** Benjamin Henry Latrobe (1764–1820), American architect and engineer, writes in his journal that rocks weathered into soil and that river erosion could cut out valleys.
- 1798:** Essay on the “Principle of Population” published by Thomas Robert Malthus (1766–1834), in which he warned about the dangers of unchecked population growth.
- 1798:** James Hall (1761–1832), Scottish geologist and chemist, begins a series of papers between this year and 1812 in which he provides experimental evidence for

- Hutton's geological theories. Because of his ability to back Hutton's views by facts obtained experimentally, he is considered the founder of experimental geology and of geochemistry.
- 1799:** Alexander von Humboldt (1769–1859), German naturalist, begins his scientific explorations in South America (1799–1804).
- 1799:** John Dalton (1766–1844), English chemist, writes that rain and dew compensate for water that is carried away by rivers and evaporation. His first love is meteorology, and Dalton keeps careful daily records for fifty-seven years.
- 1802:** Dalton introduces modern atomic theory into the science of chemistry.
- 1815:** William Smith (1769–1839), English geologist publishes first geological map of the United Kingdom.
- 1830:** Charles Lyell (1797–1875), British geologist, publishes *Principles of Geology* and argues Earth is millions of years old.
- 1830:** World population is approximately one billion.
- 1831:** Charles Robert Darwin (1809–1882) begins his historic voyage on the H.M.S. *Beagle* (1831–1836). His observations during the voyage lead to his theory of evolution by means of natural selection.
- 1840:** Louis Agassiz (1807–1873) publishes his *Etudes sur les glaciers*. Also discovers glacial feature in Scotland away from an ice covered area and advances the theory of glaciation.
- 1842:** Darwin wrote out an abstract of his theory of evolution, but he did not plan to have this theory published until after his death.
- 1849:** U.S. Department of the Interior established.
- 1850:** Rudolph Julius Emanuel Clausius (1822–1888), German physicist, publishes a paper which contains what becomes known as the second law of thermodynamics, stating that, “heat cannot, of itself, pass from a colder to a hotter body.” He later refines the concept.
- 1851:** Armand Hippolyte Fizeau (1819–1896), French physicist, measures the speed of light as it flows with a stream of water and as it goes against the stream. He finds that the velocity of light is higher in the former.
- 1851:** Jean Bernard Léon Foucault (1819–1868), French physicist, conducts his spectacular series of experiments associated with the pendulum. He swings a heavy iron ball from a wire more than 200 feet (61 m) long and demonstrates that the swinging pendulum maintains its plane while Earth slowly twists under it. The crowd of spectators who witnesses this demonstration come to realize that they are watching Earth rotate under the pendulum—experimental proof of a moving Earth.
- 1851:** William Thomson (1824–1907), later known as Lord Kelvin, Scottish mathematician and physicist, publishes *On the Dynamical Theory of Heat* in which he explores Carnot's work and deduces that all energy tends to rundown and dissipate itself as heat. This is another form of the second law of thermodynamics and is advanced further by Clausius at about the same time. Kelvin's work is considered the first nineteenth-century treatise on thermodynamics.
- 1852:** Abraham Gesner (1797–1864), Canadian geologist, prepares the first kerosene from petroleum. He obtains the liquid kerosene by the dry distillation of asphalt rock, treats it further, and calls the product kerosene after the Greek word *keros*, meaning oil.
- 1852:** Alexander William Williamson (1824–1904), English chemist, publishes his study which shows for the first time that catalytic action clearly involves and is explained by the formation of an intermediate compound.
- 1853:** Hans Peter Jorgen Julius Thomsen (1826–1901), Danish chemist, works out a method of manufacturing sodium carbonate from the mineral cryolite. This mineral will soon become important to the production of aluminum.
- 1853:** William John Macquorn Rankine (1820–1872), Scottish engineer, introduces into physics the concept of potential energy, also called the energy of position.

- 1854:** George Airy (1801–1892), English mathematician and astronomer, estimates Earth’s mean density.
- 1854:** Gregor Mendel (1822–1884), Austrian monk and scientist, began studying thirty-four different strains of peas. He selected twenty-two kinds for further experiments. From 1856 to 1863, Mendel grew and tested over 28,000 plants and analyzed seven pairs of traits.
- 1854:** Henry David Thoreau (1817–1862), American author and poet, publishes *Walden*, a work that inspired many people to live simply and in harmony with nature.
- 1855:** Charles-Adolphe Wurtz (1817–1884), French chemist, develops a method of synthesizing long-chain hydrocarbons by reactions between alkyl halides and metallic sodium. This method is called the Wurtz reaction.
- 1856:** Neanderthal fossil identified.
- 1857:** Louis Pasteur (1822–1895), French chemist, demonstrated that lactic acid fermentation is caused by a living organism. Between 1857 and 1880, he performed a series of experiments that refuted the doctrine of spontaneous generation. He also introduced vaccines for fowl cholera, anthrax, and rabies, based on attenuated strains of viruses and bacteria.
- 1858:** Darwin and Alfred Russell Wallace (1823–1913), British naturalist, agree to a joint presentation of their theory of evolution by natural selection.
- 1860:** Cesium is the first element discovered using the newly developed spectroscope. Robert Wilhelm Bunsen (1811–1899), German chemist, and Gustav Robert Kirchhoff (1824–1887), German physicist, name their new element cesium after its “sky blue” color in the spectrum.
- 1860:** Stanislao Cannizzaro (1826–1910), Italian chemist, publishes the forgotten ideas of Italian physicist Amedeo Avogadro (1776–1856)—about the distinction between molecules and atoms—in an attempt to bring some order and agreement on determining atomic weights.
- 1861:** Alexander Mikhailovich Butlerov (1828–1886), Russian chemist, introduces the term “chemical structure” to mean that the chemical nature of a molecule is determined not only by the number and type of atoms but also by their arrangement.
- 1861:** Friedrich August Kekulé von Strudonitz (1829–1896), German chemist, publishes the first volume of *Lehrbuch der organischen Chemie*, in which he is the first to define organic chemistry as the study of carbon compounds.
- 1861:** Thomson publishes his *Physical Considerations Regarding the Possible Age of the Sun’s Heat* which contains the theme of the *heat death* of the Universe. This is offered in light of the principle of dissipation of energy stated in 1851.
- 1862:** Anders Angstrom (1814–1874), Swedish physicist, observed hydrogen in the sun.
- 1862:** Homestead Act makes free homesteads on unappropriated land available on a vast scale, speeding settlement of the Plains states and destruction of the region’s prairie ecosystem.
- 1863:** Ferdinand Reich (1799–1882), German mineralogist, and his assistant Hieronymus Theodor Richter (1824–1898), examine zinc ore spectroscopically and discover the new, indigo-colored element iridium. It is used in the next century in the making of transistors.
- 1863:** William Huggins (1824–1910), English amateur astronomer, was the first to show that stars are made out of the same elements found on Earth.
- 1864:** George Perkins Marsh (1801–1882), American diplomat and philologist, publishes *Man and Nature*, described by some environmentalists as the fountainhead of the conservation movement.
- 1864:** Yosemite in California becomes the first state park in the United States.
- 1865:** Alexander Parkes (1813–1890), English chemist, produces celluloid, the first synthetic plastic material. After working since the 1850s with nitrocellulose, alcohol, camphor, and castor oil, he obtains a material that can be molded under pressure while still warm. Parkes is unsuccessful at marketing his product, however, and it is left to the American

- inventor, John Wesley Hyatt (1837–1920), to make it a success.
- 1866:** August Adolph Eduard Eberhard Kundt (1839–1894), German physicist, invents a method by which he can make accurate measurements of the speed of sound in the air. He uses a *Kundt's tube* whose inside is dusted with fine powder which is then disturbed by traveling sound waves.
- 1869:** Dimitri Ivanovich Mendeleev (1834–1907), Russian chemist, and Julius Lothar Meyer (1830–1895), German chemist, independently put forth the Periodic Table of Elements, which arranges the elements in order of atomic weights. However, Meyer does not publish until 1870, nor does he predict the existence of undiscovered elements.
- 1869:** Ernst Haeckel (1834–1919), German biologist, coins the term ecology to describe “the body of knowledge concerning the economy of nature.”
- 1871:** Darwin publishes *The Descent of Man, and Selection in Relation to Sex*. This work introduces the concept of sexual selection and expands his theory of evolution to include humans.
- 1872:** Ferdinand Julius Cohn (1828–1898), German biologist, publishes the first of four papers entitled “Research on Bacteria,” which establish the foundation of bacteriology as a distinct field. He systematically divided bacteria into genera and species.
- 1872:** Mining Law allows any person finding mineral deposits on public land to file a claim which grants him free access to that site for mining or similar development.
- 1872:** Yellowstone in Wyoming becomes the first national park.
- 1873:** James Clerk Maxwell (1831–1879), Scottish mathematician and physicist, publishes *Treatise on Electricity and Magnetism* in which he identifies light as an electromagnetic phenomenon. He determines this when he finds his mathematical calculations for the transmission speed of both electromagnetic and electrostatic waves are the same as the known speed of light. This landmark work brings together the three main fields of physics—electricity, magnetism, and light.
- 1873:** Johannes Van der Waals (1837–1923), Dutch physicist, offers an equation for the gas laws which contains terms relating to the volumes of the molecules themselves and the attractive forces between them. It becomes known as the Van der Waals equation.
- 1873:** Walther Flemming (1843–1905), German biologist, discovers chromosomes, observes mitosis, and suggests the modern interpretation of nuclear division.
- 1875:** American Forestry Association founded to encourage wise forest management.
- 1876:** Henry Augustus Rowland (1848–1901), American physicist, establishes for the first time that a moving electric charge or current is accompanied by electrically charged matter in motion and produces a magnetic field.
- 1879:** U.S. Geological Survey established.
- 1880:** Carl Oswald Viktor Engler (1842–1925), German chemist, begins his studies on petroleum. He is the first to states that it is organic in origin.
- 1882:** Robert Koch (1843–1910), German bacteriologist, discovers the tubercle bacillus and enunciates “Koch’s postulates,” which define the classic method of preserving, documenting, and studying bacteria.
- 1883:** Ernst Mach (1838–1916), Austrian physicist, publishes his *Die Mechanik in ihrer Entwicklung historisch-kritisch dargestellt* in which he offers a radical philosophy of science that calls into question the reality of such Newtonian ideas as space, time, and motion. His work influences Einstein and prepares the way for relativity.
- 1883:** Frank Wigglesworth Clarke (1847–1931), American chemist and geophysicist, is appointed chief chemist to the U.S. Geological Survey. In this position, he begins an extensive program of rock analysis and is one of the founders of geochemistry.

- 1883:** Johann Gustav Kjeldahl (1849–1900), Danish chemist, devises a method for the analysis of the nitrogen content of organic material. His method uses concentrated sulfuric acid and is simple and fast.
- 1886:** Paul-Louis-Toussaint Héroult (1863–1914), French metallurgist, and Charles Martin Hall (1863–1914), American chemist, independently invent an electrochemical process for extracting aluminum from its ore. This process makes aluminum cheaper and forms the basis of the huge aluminum industry. Hall makes the discovery in February of this year, and Héroult achieves his in April.
- 1887:** Herman Frasch (1851–1914), German-American chemist, patents a method for removing sulfur compounds from oil. Once the foul sulfur smell is removed through the use of metallic compounds, petroleum becomes a marketable product.
- 1890:** Yosemite becomes a national park.
- 1891:** Forest Reserve Act authorizes the President to create forest reserves from the public domain.
- 1892:** Adirondack Park established by New York State Constitution, which mandated that the region remain forever wild.
- 1892:** Henry S. Salt (1851–1939), English writer, publishes *Animal Rights Considered in Relation to Social Progress*, a landmark work on animal rights and welfare.
- 1892:** John Muir (1838–1914), Scottish-born American naturalist, founds the Sierra Club to preserve the Sierra Nevada mountain chain.
- 1893:** Augusto Righi (1850–1920), Italian physicist, demonstrates that Hertz (radio) waves differ from light only in wavelength and not because of any essential difference in their nature. This helps to establish the existence of the electromagnetic spectrum.
- 1894:** John William Strutt Rayleigh (1842–1919), English physicist, and William Ramsay (1852–1916), Scottish chemist, succeed in isolating a new gas in the atmosphere that is denser than nitrogen and combines with no other element. They name it “argon,” which is Greek for inert. It is the first of a series of rare gases with unusual properties whose existence had not been predicted.
- 1895:** Pierre Curie (1859–1906), French chemist, studies the effect of heat on magnetism and shows that there is a critical temperature point above which magnetic properties will disappear. This comes to be called the Curie point.
- 1895:** Wilhem Conrad Röntgen (1845–1923), German physicist, submits his first paper documenting his discovery of x rays. He tells how this unknown ray, or radiation, can affect photographic plates, and that wood, paper, and aluminum are transparent to it. It also can ionize gases and does not respond to electric or magnetic fields nor exhibit any properties of light. This discovery leads to such a stream of ground-breaking discoveries in physics that it has been called the beginning of the second scientific revolution.
- 1895:** Ramsay discovers helium in a mineral named cleveite. It had been speculated earlier that helium existed only in the Sun, but Ramsay proves it also exists on Earth. It is discovered independently this year by Swedish chemist and geologist Per Theodore Cleve (1840–1905). Helium is an odorless, colorless, tasteless gas that is also insoluble and incombustible.
- 1898:** Marie Skłodowska Curie (1867–1934), Polish-French chemist, discovers thorium, which she proves is radioactive.
- 1898:** Rivers and Harbors Act established in an effort to control pollution of navigable waters.
- 1899:** Ernest Rutherford (1871–1937), British physicist, discovers that radioactive substances give off different kinds of rays. He names the positively charged ones alpha rays and the negative ones beta rays.
- 1899:** Refuse Act authorizes the Army Corps of Engineers to issue discharge permits.
- 1900:** Carl Correns; (1864–1933), German botanist and geneticist, Hugo de Vries; (1848–1935), Dutch botanist, and Erich von Tschermak (1871–1962), Austrian agronomist, independently rediscover Mendel’s laws of inheritance. Their publications mark the beginning of modern genetics. Using several plant species, de Vries and Correns perform breeding experiments that paralleled Mendel’s earlier studies and independently

arrive at similar interpretations of their results. Therefore, upon reading Mendel's publication, they immediately recognized its significance. William Bateson (1861–1926), British geneticist, describes the importance of Mendel's contribution in an address to the Royal Society of London.

- 1900:** Friedrich Ernst Dorn (1848–1916), German physicist, analyzes the gas given off by (radioactive) radium and discovers the inert gas he names radon. This is the first clear demonstration that the process of giving off radiation transmutes one element into another during the radioactive decay process.
- 1900:** Lacey Act makes interstate shipment of wild animals a federal offense if taken in violation of state laws.
- 1900:** Paul Ulrich Villard (1860–1934), French physicist, discovers what are later called gamma rays. While studying the recently discovered radiation from uranium, he finds that in addition to the alpha rays and beta rays, there are other rays, unaffected by magnets, that are similar to x rays, but shorter and more penetrating.
- 1901:** Antoine Henri Becquerel (1852–1908), French physicist, studies the rays emitted by the natural substance uranium and concludes that the only place they could be coming from is within the atoms of uranium. This marks the first clear understanding of the atom as something more than a featureless sphere.
- 1901:** Guglielmo Marconi (1874–1937), Italian electrical engineer, successfully sends radio signal from England to Newfoundland.
- 1902:** Discovery of *Tyrannosaurus rex* fossil.
- 1902:** Oliver Heaviside (1850–1925), English physicist and electrical engineer, and Arthur Edwin Kennelly (1861–1939), British-American electrical engineer, independently and almost simultaneously make the first prediction of the existence of the ionosphere, an electrically conductive layer in the upper atmosphere that reflects radio waves. They theorize correctly that wireless telegraphy works over long distances because a conducting layer of atmosphere exists that allows radio waves to follow the earth's curvature instead of traveling off into space.
- 1902:** Reclamation Act provides funding for the "reclamation" of drylands in the western United States through irrigation and damming of rivers.
- 1902:** U.S. Bureau of Reclamation established.
- 1903:** Antoine Henri Becquerel (1852–1908), French physicist, shares the Nobel Prize in physics with the husband-and-wife team of Marie and Pierre Curie. Becquerel wins for his discovery of natural or spontaneous radioactivity, and the Curies win for their later research on this new phenomenon.
- 1903:** Rutherford and Frederick Soddy (1877–1956), English chemist, explain radioactivity by their theory of atomic disintegration. They discover that uranium breaks down and forms a new series of substances as it gives off radiation.
- 1904:** Rutherford postulates age of Earth by radioactivity dating.
- 1905:** Albert Einstein (1879–1955), German-Swiss physicist, publishes "Special theory of relativity." He also uses Planck's theory to develop a quantum theory of light which explains the photoelectric effect. He suggests that light has a dual, wave-particle quality.
- 1905:** National Audubon Society formed.
- 1907:** Georges Urbain (1872–1938), French chemist, discovers that last of the stable rare Earth elements, and names it lutetium after the Latin name of Paris.
- 1907:** Pierre Weiss (1865–1940), French physicist, offers his theory explaining the phenomenon of ferromagnetism. He states that iron and other ferromagnetic materials form small *domains* of a certain polarity pointing in various directions. When some external magnetic field forces them to be aligned, they become a single, strong magnetic force. This explanation is still accurate.

- 1908:** Alfred Wegener (1880–1930), German scientist, geophysicist, and meteorologist, proposes the theory of continental drift.
- 1908:** Chlorination is used extensively in U.S. water treatment plants for the first time.
- 1908:** Rutherford and Hans Wilhelm Geiger (1882–1945), German physicist, develop an electrical alpha-particle counter. Over the next few years, Geiger continues to improve this device which becomes known as the Geiger counter.
- 1908:** Rutherford is awarded the Nobel Prize in Chemistry for his investigations into disintegration of the elements and the chemistry of radioactive substances.
- 1908:** Tunguska event occurs when a comet or asteroid that entered the atmosphere, causing major damage to a forested region in Siberia.
- 1911:** Arthur Holmes (1890–1965), British geologist, publishes the first geological time scale with dates based on radioactive measurements.
- 1911:** Rutherford discovers that atoms are made up of a positive nucleus surrounded by electrons. This modern concept of the atom replaces the notion of featureless, indivisible spheres that dominated atomistic thinking for twenty-three centuries—since Democritus (c.470–c.380).
- 1911:** Victor Hess (1883–1964), Austrian-American physicist, identifies high altitude radiation from space.
- 1912:** Friedrich Karl Rudolf Bergius (1884–1949), German chemist, discovers how to treat coal and oil with hydrogen to produce gasoline.
- 1913:** Charles Fabry (1867–1945), French physicist, first demonstrates the presence of ozone in the upper atmosphere. It is found later that ozone functions as a screen, preventing much of the Sun’s ultraviolet radiation from reaching Earth’s surface.
- 1913:** Construction of Hetch-Hetchy Valley Dam approved to provide water to San Francisco; however, the dam also floods areas of Yosemite National Park.
- 1913:** Niels Henrik David Bohr (1885–1962), Danish physicist, proposes the first dynamic model of the atom. It is seen as a very dense nucleus surrounded by electrons rotating in orbitals (defined energy levels).
- 1914:** Rutherford discovers a positively charged particle he calls a proton.
- 1914:** Martha, the last passenger pigeon, dies in the Cincinnati Zoo.
- 1915:** Einstein completes four years of work on his theory of gravitation, or what becomes known as the general theory of relativity.
- 1915:** Richard Martin Willstätter (1872–1942), German chemist, is awarded the Nobel Prize in Chemistry for his researches on plant pigments, especially chlorophyll.
- 1916:** National Park Service Act establishes the National Park Service, an agency in the U.S. Department of the Interior, the first such agency in the world.
- 1918:** Migratory Bird Treaty Act establishes federal authority over migratory game and insectivorous birds.
- 1918:** Save-the-Redwoods League founded.
- 1918:** U.S. and Canada sign treaty restricting the hunting of migratory birds.
- 1920:** Mineral Leasing Act regulates the exploitation of fuel and fertilizer minerals on public lands.
- 1922:** Izaak Walton League founded.
- 1924:** Gila National Forest in New Mexico is designated the first wilderness area.
- 1927:** Hermann Joseph Muller (1890–1967), American geneticist, induces artificial mutations in fruit flies by exposing them to x rays. His work proves that mutations result from some type of physical-chemical change. Muller writes extensively about the danger of excessive x rays and the burden of deleterious mutations in human populations.
- 1928:** George Gamow (1904–1968), Russian-American physicist, develops the quantum theory of radioactivity which is the first theory to successfully explain the behavior of radioactive elements, some of which decay in seconds and others after thousands of years.

- 1929:** Walther Wilhelm Georg Franz Bothe (1891–1957), German physicist, invents *coincidence counting* by using two Geiger counters to detect the vertical direction of cosmic rays. This allows the measurement of extremely short time intervals, and he uses this technique to demonstrate that the laws of conservation and momentum are also valid for subatomic particles.
- 1932:** James Chadwick (1891–1974), English physicist, proves the existence of the neutral particle of the atom's nucleus, called the neutron. It proves to be by far the most useful particle for initiating nuclear reactions.
- 1932:** Ernst Ruska (1906–1988), German physicist, builds first electron microscope.
- 1932:** Thomas H. Morgan (1866–1945), American geneticist, receives the Nobel Prize in Medicine or Physiology for his development of the theory of the gene. He was the first geneticist to receive a Nobel Prize.
- 1933:** Dust Bowl conditions due to extended drought in United States exacerbate depression era economic and environmental woes.
- 1933:** Tennessee Valley Authority created to assess impact of hydropower on the environment.
- 1934:** Arnold O. Beckman (1900–2004), American chemist and inventor, invents the pH meter, which uses electricity to accurately measure a solution's acidity or alkalinity.
- 1934:** Frédéric Joliot-Curie (1900–1958) and Irène Joliot-Curie (1897–1956), husband-and-wife team of French physicists, discover what they call *artificial radioactivity*. They bombard aluminum to produce a radioactive form of phosphorus. They soon learn that radioactivity is not confined only to heavy elements like uranium, but that any element can become radioactive if the proper isotope is prepared. For producing the first artificial radioactive element they win the Nobel Prize in chemistry the next year.
- 1934:** Migratory Bird Hunting Stamp Act provides funding for waterfowl reserves.
- 1934:** Taylor Grazing Act establishes federal management policy on public grazing lands, the last major category of public lands to come under the active supervision of the government.
- 1935:** Soil Conservation Act establishes the Soil Conservation Service to aid in combating soil erosion following the Dust Bowl.
- 1935:** Wilderness Society founded by Aldo Leopold (1887–1948), American ecologist.
- 1936:** Carl David Anderson (1905–1991), American physicist, discovers the *muon*. While studying cosmic radiation, he observes the track of a particle that is more massive than an electron but only a quarter as massive as a proton. He initially calls this new particle, which has a lifetime of only a few millionths of a second, a mesotron, but it later becomes known as a muon to distinguish it from Yukawa's meson.
- 1936:** National Wildlife Federation established.
- 1937:** Emilio Segre (1905–1989), Italian-American physicist, and Carlo Perrier bombard molybdenum with deuterons and neutrons to produce element 43, technetium. This is the first element to be prepared artificially that does not exist in nature.
- 1938:** Hans Bethe (1906–2005), German-American physicist; Charles Critchfield (1910–1994), American mathematical physicist, Carl von Weizsäcker (1912–2007), German physicist, argue that stars are powered by the CNO-cycle of nuclear fusion.
- 1938:** Food, Drug and Cosmetics Act sets extensive standards for the quality and labeling of foods, drugs, and cosmetics.
- 1938:** Natural Gas Act gives the Federal Power Commission the right to control prices and limit new pipelines from entering the market.
- 1939:** Leo Szilard (1898–1964), Hungarian-American physicist, and Canadian-American physicist, Walter Henry Zinn (1906–2000), confirm that fission reactions (nuclear chain reactions) can be self-sustaining using uranium.

- 1939:** Linus Carl Pauling (1901–1994), American chemist, publishes *The Nature of the Chemical Bond*, a classic work that becomes one of the most influential chemical texts of the twentieth century.
- 1939:** Lise Meitner (1878–1968), Austrian-Swedish physicist, and Otto Robert Frisch (1904–1979), Austrian-British physicist, suggest the theory that uranium breaks into smaller atoms when bombarded. Meitner offers the term *fission* for this process.
- 1939:** Niels Hendrik David Bohr (1885–1962), Danish physicist, proposes a liquid-drop model of the atomic nucleus and offers his theory of the mechanism of fission. His prediction that it is the uranium-235 isotope that undergoes fission is proved correct when work on an atomic bomb begins in the United States.
- 1941:** R. Sherr, Kenneth Thompson Bainbridge, American physicist, and H. H. Anderson produce artificial gold from mercury.
- 1942:** Grote Reber (1911–2002), American astronomer, constructs radio map of the sky.
- 1942:** Enrico Fermi (1901–1954), Italian-American physicist, heads a Manhattan Project team at the University of Chicago that produces the first controlled chain reaction in an atomic pile of uranium and graphite. With this first self-sustaining chain reaction, the atomic age begins.
- 1943:** Alaska Highway completed, linking lower United States and Alaska.
- 1943:** First operational nuclear reactor is activated at the Oak Ridge National Laboratory in Oak Ridge, Tennessee.
- 1943:** J. Robert Oppenheimer (1904–1967), American physicist, is placed in charge of United States atomic bomb production at Los Alamos, New Mexico. He supervises the work of 4,500 scientists and oversees the successful design construction and explosion of the bomb.
- 1944:** Norman Borlaug (1914–2009), American agronomist, begins his work on high-yielding crop varieties.
- 1944:** Otto Hahn (1879–1968), German physical chemist, receives the Nobel Prize in Chemistry for his discovery of nuclear fission.
- 1945:** First atomic bomb is detonated by the United States near Almagordo, New Mexico. The experimental bomb generates an explosive power equivalent to 15–20,000 tons of TNT.
- 1945:** Joshua Lederberg (1925–2008), American molecular biologist, and Edward L. Tatum (1909–1975), American geneticist, demonstrate genetic recombination in bacteria.
- 1945:** United States destroys the Japanese city of Hiroshima with a nuclear fission bomb based on uranium-235 on August 6. Three days later, a plutonium-based bomb destroys the city of Nagasaki. Japan surrenders on August 14 and World War II ends. This is the first use of nuclear power as a weapon.
- 1946:** Atomic Energy Commission established to study the applications of nuclear power. It was later dissolved in 1975, and its responsibilities were transferred to the Nuclear Regulatory Commission and Energy Research and Development Administration.
- 1946:** George Gamow (1904–1968), Russian-born theoretical physicist and cosmologist, proposes the Big Bang hypothesis.
- 1946:** U.S. Bureau of Land Management created.
- 1947:** Defenders of Wildlife founded, superseding Defenders of Furbearers and the Anti-Steel-Trap League, to protect wild animals and their habitat.
- 1947:** First carbon-14 dating.
- 1948:** Gamow and others assert theory of nucleosynthesis is consistent with hot big bang.
- 1948:** Water Pollution Control Act is the first statute to provide state and local governments with the funding to address water pollution.
- 1949:** Aldo Leopold (1887–1948), American ecologist, publishes *A Sand County Almanac*, in which he sets guidelines for

- the conservation movement and introduces the concept of a land ethic.
- 1950:** Jan Oort (1900–1992), Dutch astronomer, offers explanation of origin of comets.
- 1952:** First thermo-nuclear device is exploded successfully by the United States at Eniwetok Atoll in the South Pacific. This hydrogen-fusion bomb (H bomb) is the first such bomb to work by nuclear fusion and is considerably more powerful than the atomic bomb exploded over Hiroshima on August 6, 1945.
- 1952:** First use of isotopes in medicine.
- 1952:** Oregon becomes first state to adopt a significant program to control air pollution.
- 1953:** James D. Watson (b. 1928), American molecular biologist, and Francis H. C. Crick (1916–2004), English molecular biologist, publish two landmark papers in the journal *Nature*: “Molecular structure of nucleic acids: A structure for deoxyribose nucleic acid” and “Genetical implications of the structure of deoxyribonucleic acid.” Watson and Crick propose a double helical model for DNA and call attention to the genetic implications of their model. Their model is based, in part, on the x ray crystallographic work of Rosalind Franklin (1920–1958), British biophysicist, and the biochemical work of Erwin Chargaff (1905–2002), American biochemist. Their model explains how the genetic material is transmitted.
- 1953:** Stanley Miller (1930–2007), American chemist and biologist, produces amino acids from inorganic compounds similar to those in primitive atmosphere with electrical sparks that simulate lightning.
- 1954:** Atomic Energy Act grants the federal government exclusive regulatory authority over nuclear-power facilities.
- 1954:** Humane Society founded in United States.
- 1954:** Linus Carl Pauling (1901–1994), American chemist, receives the Nobel Prize in Chemistry for his research into the nature of the chemical bond and its applications to the elucidation of the structure of complex substances.
- 1955:** Air Pollution Control Act grants funds to assist the states in their air pollution control Activities.
- 1955:** First synthetic diamonds are produced in the General Electric Laboratories.
- 1956:** Construction of Echo Park Dam on the Colorado River is aborted, due in large part to the efforts of environmentalists.
- 1956:** Federal Water Pollution Control Act (amended 1965, 1966, 1970, 1972) increases federal funding to state and local governments to address water quality issues and calls for the development of water quality standards by the newly-created Federal Water Pollution Control Administration.
- 1957:** Crick proposed that during protein formation each amino acid was carried to the template by an adapter molecule containing nucleotides and that the adapter was the part that actually fits on the RNA template. Later research demonstrated the existence of transfer RNA.
- 1957:** Price-Anderson Act limits the liability of civilian producers of nuclear power in the case of a catastrophic nuclear accident.
- 1957:** Soviet Union launches Earth’s first artificial satellite, Sputnik, into earth orbit.
- 1958:** George W. Beadle (1903–1989), American scientist, Tatum, and Lederberg are awarded the Nobel Prize in Medicine or Physiology for their work in *Neurospora* that led to the one gene-one enzyme theory. Lederberg was honored for discoveries concerning genetic recombination and the organization of the genetic material of bacteria.
- 1958:** National Aeronautics and Space Administration (NASA) established.
- 1959:** Soviet Space program sends space probe to impact Moon.
- 1959:** St. Lawrence Seaway is completed, linking the Atlantic Ocean to the Great Lakes.
- 1960:** Hazardous Substances Act (amended 1966) authorizes the Secretary of the

- Department of Health, Education, and Welfare (HEW) to require warning labels for household substances deemed hazardous.
- 1960:** Multiple Use-Sustained Yield Act mandates that management of the national forests be balanced between ecological and economic interests.
- 1961:** Agent Orange is sprayed in Southeast Asia, exposing nearly three million American servicemen to dioxin, a probable carcinogen.
- 1961:** Edward Lorenz (1917–2008), American mathematician and meteorologist, advances chaos theory and offers possible implications on atmospheric dynamics and weather.
- 1961:** Murray Gell-Mann (b. 1929), American physicist, and Israeli physicist Yuval Ne’Eman (b. 1925), independently introduce a new way to classify heavy subatomic particles. Gell-Mann names it the *eight-fold way*, and this system accomplishes for elementary particles what the periodic table did for the elements.
- 1961:** Soviet Union launches first cosmonaut, Yuri Gagarin (1934–1968), into Earth orbit.
- 1962:** Watson, Crick, and Maurice Wilkins (1916–2004), New Zealand-born English physicist, are awarded the Nobel Prize in Medicine or Physiology for their work in elucidating the structure of DNA.
- 1962:** *Silent Spring* publishes by Rachel Carson (1907–1964), American marine biologist and nature writer, to document the effects of pesticides on the environment.
- 1963:** Clean Air Act (amended 1970, 1977, 1990) serves as the backbone of efforts to control air pollution in the United States.
- 1963:** Fred Vine (b. 1939), British geophysicist, and Drummond Matthews (1931–1997), British marine geologist, offer important proof of plate tectonics by discovered that oceanic crust rock layers show equidistant bands of magnetic orientation centered on the a site of sea floor spreading.
- 1963:** Nuclear Test Ban Treaty signed by the United States and the Soviet Union to stop atmospheric testing of nuclear weapons.
- 1964:** Classification and Multiple Use Act instructs the Bureau of Land Management to inventory its lands and classify them for disposal or retention, the first such inventory in the United States.
- 1964:** Public Land Law Review Commission Act establishes a commission to examine the body of public land laws and make recommendations as to how to proceed in this policy area.
- 1964:** Wilderness Act creates the National Wilderness Preservation System to preserve wilderness areas for present and future generations of Americans.
- 1965:** Shoreline Erosion Protection Act provides assistance to protect shorelines and stream banks from erosion.
- 1965:** Solid Waste Disposal Act (amended 1970, 1976) addresses inadequate solid waste disposal methods. It was amended by the Resource Recovery Act and the Resource Conservation and Recovery Act.
- 1965:** Water Quality Act passed, establishing federal water quality standards.
- 1966:** Animal Welfare Act designates U.S. Department of Agriculture as responsible for the humane care and handling of warm-blooded and other animals used for biomedical research and calls for inspection of research facilities to insure that adequate food, housing, and care are provided.
- 1966:** Laboratory Animal Welfare Act (amended 1970, 1976, 1985, 1990) regulates the use of animals in medical and commercial research.
- 1966:** Marshall Nirenberg (1927–2010), American biochemist and geneticist, and Har Gobind Khorana (b. 1922), Indian-born American biochemist, lead teams that decipher the genetic code. All of the sixty-four possible triplet combinations of the four bases (the codons) and their associated amino acids are determined and described.

- 1967:** American Cetacean Society founded to protect whales, dolphins, porpoises, and other cetaceans. Considered the oldest whale conservation group in the world.
- 1967:** Environmental Defense Fund established to save the osprey from DDT.
- 1967:** Supertanker *Torrey Canyon* spills oil off the coast of England.
- 1968:** Wild and Scenic Rivers Act creates three categories of rivers-wild, scenic, and recreational-and provides for safeguards against degradation of wild and scenic rivers.
- 1969:** Apollo 11 mission to the Moon. U.S. astronauts Neil Armstrong (b. 1930), American astronaut, and Buzz Aldrin (b. 1930), American astronaut, become first humans to walk on the moon.
- 1969:** Coal Mine Health and Safety Act addresses safety problems in the mining industry.
- 1969:** Greenpeace founded.
- 1969:** Max Delbrück (1906–1981), German-American biophysicist, Alfred D. Hershey (1908–1997), American bacteriologist and geneticist, and Salvador E. Luria (1912–1991), Italian microbiologist, were awarded the Nobel Prize in Medicine or Physiology for their discoveries concerning the replication mechanism and the genetic structure of viruses.
- 1970:** Environmental Protection Agency (EPA) created.
- 1970:** First Earth Day celebrated on April 22.
- 1970:** National Environmental Policy Act (NEPA) ushers in a new era of environmental awareness in the United States, requiring all federal agencies to take into account the environmental consequences of their plans and Activities.
- 1970:** National Mining and Minerals Act directs the Secretary of the Interior to follow a policy that encourages the private mining sector to develop a financially viable mining industry while conducting research to further “wise and efficient use” of these minerals.
- 1970:** Occupational Safety and Health Act (OSHA) requires employers to provide each of their employees with a workplace that is free from recognized hazards, which may cause death or serious physical harm.
- 1970:** Pollution Prevention Packaging Act.
- 1970:** Resource Recovery Act, an amendment to Solid Waste Disposal Act, funds recycling programs and mandates an extensive assessment of solid waste disposal practices.
- 1971:** Consultative Group on International Agricultural Research (CGIAR) founded to improve food production in developing countries.
- 1972:** *Limits to Growth* published by the Club of Rome, calling for population control.
- 1972:** Clean Water Act (amended 1977, 1987), which replaced the language of the Federal Water Pollution Control Act, is the farthest reaching of all federal water legislation, setting as a national goal the attainment of “fishable and swimmable” quality for all surface waters in the United States.
- 1972:** Coastal Zone Management Act (reauthorized 2001) establishes a federal program to help states in planning and managing the development and protection of coastal areas.
- 1972:** Discovery of two million year old humanlike fossil, *Homo habilis*, in Africa.
- 1972:** Federal Insecticide, Fungicide, and Rodenticide Act regulates the registration, marketing and use of pesticides.
- 1972:** Marine Mammals Protection Act (amended 1988 and reauthorized 2002) protects, conserves and encourages research on marine animals. It places a moratorium on harassing, hunting, capturing, killing or importing marine mammals with some exceptions (e.g. subsistence hunting by Eskimos).
- 1972:** Marine Protection, Research and Sanctuaries Act (amended 1990) (also known as the Ocean Dumping Act) regulates ocean dumping, authorizes marine pollution research, and

- establishes regional marine research programs. It also establishes a process for designating marine sanctuaries of significant ecological, aesthetic, historical, or recreational value. The Ocean Dumping Ban Act amends the law, prohibiting sewage sludge and industrial wastes from being dumped at sea after December 31, 1991.
- 1972:** Oregon becomes first state to enact bottle-recycling law.
- 1972:** Paul Berg (b. 1926), American biochemist, and Herbert Boyer (b. 1936), American biochemist, produce the first recombinant DNA molecules. Recombinant technology emerges as one of the most powerful techniques of molecular biology. Scientists were able to splice together pieces of DNA to form recombinant genes. As the potential uses, therapeutic and industrial, became increasingly clear, scientists and venture capitalists established biotechnology companies.
- 1972:** Ports and Safe Waterways Act regulates oil transport and the operation of oil handling facilities.
- 1972:** U.N. Conference on the Human Environment held in Stockholm to address environmental issues on a global level.
- 1972:** Use of DDT is phased out in the United States.
- 1973:** Arab members of the Organization of Petroleum Exporting Countries (OPEC) institute an embargo preventing shipments of oil to the United States.
- 1973:** Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES) signed to prevent the international trade of endangered or threatened animals and plants.
- 1973:** Cousteau Society founded by Jacques-Yves Cousteau (1910–1997), French explorer, and his son to educate the public and conduct research on marine-related issues.
- 1973:** E. F. Schumacher (1911–1977), British economist, publishes *Small Is Beautiful*, which advocates simplicity, self-reliance, and living in harmony with nature.
- 1973:** Endangered Species Act empowers the Secretary of the Interior to designate any plant or animal (including subspecies, races and local populations) “endangered” (imminent danger of extinction) or “threatened” (significant decline in numbers and danger of extinction in some regions). The Act protect habitats critical to the survival of endangered species and prohibits hunting, killing, capturing, selling, importing or exporting products from endangered species.
- 1974:** Federal Non-Nuclear Research and Development Act focuses government efforts on non-nuclear research.
- 1974:** Forest and Rangeland Renewable Resources Planning Act establishes a process for assessing the nation’s forest and range resources every ten years. It also stipulates that every five years the Forest Service provide a plan for the use and development of these resources based on the assessment.
- 1974:** Safe Drinking Water Act (amended 1996) requires minimum safety standards for every community water supply, regulating such contaminants as bacteria, nitrates, arsenic, barium, cadmium, chromium, fluoride, lead, mercury, silver, and pesticides.
- 1974:** Solar Energy Research, Development and Demonstration Act establishes a federal policy to “pursue a vigorous and viable program of research and resource assessment of solar energy as a major source of energy for our national needs.” The Act also establishes two programs: The Solar Energy Coordination and Management Project and the Solar Energy Research Institute.
- 1975:** *The Monkey Wrench Gang* publishes by Edward Abbey (1927–1989), American author, who advocates radical and controversial methods for protecting the environment, including “ecotage.”

- 1975:** Atlantic salmon are (*Salmo salar*) found in the Connecticut River after a 100-year absence.
- 1975:** Eastern Wilderness Act creates sixteen eastern wilderness areas and directs the Forest Service to alter its methods so that it considered areas previously affected by humans.
- 1975:** Hazardous Materials Transportation Act establishes minimum standards of regulation for the transport of hazardous materials by air, ship, rail, and motor vehicle.
- 1975:** Scientists at an international meeting in Asilomar, California, called for the adoption of guidelines regulating recombinant DNA experimentation.
- 1976:** Energy Policy and Conservation Act, an amendment to The Motor Vehicle Information and Cost Savings Act, outlines provisions intended to decrease fuel consumption. The most significant provisions are the Corporate Average Fuel Economy (CAFE) standards, which set fuel economy standards for passenger cars and light trucks.
- 1976:** Federal Land Policy and Management Act gives the Bureau of Land Management the authority and direction for managing the lands under its control. The Act also sets policy for the grazing, mining and preservation of public lands.
- 1976:** Land Institute founded by Wes (b. 1936) and Dana Jackson to encourage more natural and organic agricultural practices.
- 1976:** Magnuson-Stevens Fishery Conservation and Management Act manages fishing Activities in waters extending from the edge of State waters to the 200-mile (322-km) limit. Its goal is to phase out foreign fishing within the area adjacent to the United States coastline.
- 1976:** National Forest Management Act grants the Forest Service significant administrative discretion in managing the logging of national forests based on the general philosophies of multiple use and sustained yield.
- 1976:** Poisonous gas containing 2,4,5-TCP and dioxin is released from a factory in Seveso, Italy, causing massive animal and plant death. Although no human life was lost, a sharp increase in deformed births was reported.
- 1976:** Resource Conservation and Recovery Act (RCRA), an amendment to the Solid Waste Disposal Act, regulates the storage, shipping, processing, and disposal of hazardous substances and sets limits on the sewerage of toxic chemicals.
- 1976:** Toxic Substances Control Act (TSCA) categorizes toxic and hazardous substances and regulates the use and disposal of poisonous chemicals.
- 1976:** US Viking spacecraft lands and conducts experiments on Mars.
- 1977:** Robotic submarine “Alvin” explored mid-oceanic ridge and discovered chemosynthetic life.
- 1977:** Surface Mining Control and Reclamation Act limits the scarring of the landscape, erosion and water pollution associated with surface mining. It empowers the Department of the Interior to develop regulations that impose nationwide environmental standards for all surface-mining operations.
- 1977:** Voyager spacecraft launched; contained golden record recording of Earth sounds.
- 1978:** Energy Tax Act creates a “Gas Guzzler” tax on passenger cars whose individual fuel economy value fall below a certain threshold, starting in 1980.
- 1978:** Oil tanker *Amoco Cadiz* runs aground, spilling 220,000 tons of oil.
- 1978:** Port and Tanker Safety Act empowers the U.S. Coast Guard to supervise vessel and port operations and to set standards for the handling of dangerous substances.
- 1978:** Public Utilities Regulatory Policies Act promotes the development of renewable energy and requires that utilities purchase power at “just” rates from producers who use such alternative sources as wind and solar.
- 1978:** Residents of Love Canal, New York, are evacuated after Lois Gibbs (b. 1951),

- American environmental activist, discovers that the community was once the site of a chemical waste dump.
- 1978:** Uranium Mill-Tailings Radiation Control Act orders Department of Energy to stabilize and maintain twenty-four uranium processing sites and approximately 5,000 vicinity sites that are known sources of windblown ore tailings.
- 1979:** Three Mile Island Nuclear Reactor almost undergoes nuclear melt-down when the cooling water systems fail. Since this accident no new nuclear power plants have been built in the United States.
- 1980:** *Global 2000 Report* published, documenting trends in population growth, natural resource depletion, and the environment.
- 1980:** Alaska National Interest Lands Conservation Act protects 44 million ha (109 million acres) of land in Alaska, establishing eleven new parks, twelve new wildlife refuges and setting aside wilderness areas comprising 22.7 million ha (56 million acres).
- 1980:** Comprehensive Environmental Response, Compensation, and Liability Act (Superfund) (amended 1986) permits direct federal response to remedy the improper disposal of hazardous waste. It establishes a multi-billion dollar cash pool (Superfund) to finance government clean-up Actions.
- 1980:** Earth First! founded by Dave Foreman (b. 1947), American environmentalist, with the slogan “No compromise in the defense of Mother Earth.”
- 1980:** Low-Level Radio Active Waste Policy Act (amended 1985) places responsibility for disposal of low-level radio Active waste on generating states and encourages those states to create comp Acts to develop centralized regional waste sites.
- 1980:** Mount St. Helens erupts with a force comparable to 500 Hiroshima-sized bombs.
- 1980:** Nongame Wildlife Act provides limited federal aid to state wildlife agencies.
- 1980:** The Global 2000 Report published, documenting trends in population growth, natural resource depletion, and the environment.
- 1980:** Thomas Lovejoy, American conservation biologist, proposes the idea of debt-for-nature swap that helps developing countries alleviate national debt by implementing policies to protect the environment.
- 1982:** Bioregional Project founded to promote the aims of the bioregional movement in North America.
- 1982:** Nuclear Waste Policy Act (amended 1987) instructs the Department of Energy to develop a permanent repository for high-level nuclear wastes by 1998.
- 1984:** Emission of poisonous methyl isocyanate vapor, a chemical by-product of agricultural insecticide production, from the Union Carbide plant kills more than 2,800 people in Bhopal, India.
- 1984:** Ozone hole over Antarctica discovered.
- 1985:** Rainforest Action Network founded.
- 1986:** Asbestos Hazard Emergency Response Act requires schools to inspect its building for evidence of asbestos and provides guidelines for clean-up if any asbestos is found.
- 1986:** Chernobyl Nuclear Power Station undergoes nuclear core melt-down, spreading radioactive material over vast parts of the Soviet Union and northern Europe.
- 1986:** Emergency Planning and Community Right-to-Know Act requires federal, state and local governments and industry to work together in developing plans to deal with chemical emergencies and community right-to-know reporting on hazardous chemicals.
- 1986:** Evacuation of Times Beach, Missouri, due to high levels of dioxin.
- 1987:** *Ecodefense: A Field Guide to Monkeywrenching* published by Foreman, in which he describes spiking trees and other “environmental sabotage” techniques.
- 1987:** *Our Common Future* (The Brundtland Report) is published.

- 1987:** Marine Plastic Pollution Research and Control Act prohibits the dumping of plastics at sea, severely restricts the dumping of other ship-generated garbage in the open ocean or in U.S. waters, and requires all ports to have adequate garbage disposal facilities for incoming vessels.
- 1987:** Montreal Protocol on Substances that Deplete the Ozone Layer signed by twenty-four nations, declaring their promise to decrease production of chlorofluorocarbons (CFCs).
- 1987:** National Appliance Energy Conservation Act sets minimum efficiency standards for heating and cooling systems in new homes as well as for such new appliances as refrigerators and freezers.
- 1987:** World population is five billion.
- 1987:** Yucca Mountain designated the first permanent repository for radioactive waste by the U.S. Department of Energy.
- 1988:** Alternative Motor Fuels Act encourages automobile manufactures to design and build cars that can burn alternative fuels such as methanol and ethanol.
- 1988:** Global ReLeaf program inaugurated with the motto “Plant a tree, cool the globe” to address the problem of global warming.
- 1988:** Indoor Radon Abatement Act calls for indoor air to be as free of radon as outdoor ambient air in as much as it can be.
- 1988:** Lead Contamination Control Act recalls and bans the continued sales of lead-lined water coolers.
- 1988:** Medical Waste Tracking Act creates a “cradle-to-grave” tracking system based on detailed shipping records, similar to the program in place for hazardous waste.
- 1988:** Ocean Dumping Ban Act prohibits dumping of any sewage sludge or industrial waste into ocean waters and penalizes those who do so.
- 1988:** Shoreline Protection Act.
- 1988:** The Human Genome Organization (HUGO) is established by scientists in order to coordinate international efforts to sequence the human genome. The Human Genome Project officially adopts the goal of determining the entire sequence of DNA comprising the human chromosomes.
- 1989:** Montreal Protocol on Substances that Deplete the Ozone Layer, an international treaty banning propellants and other substances that damage the Ozone Layer goes into effect. The U.S. participates in the treaty.
- 1989:** Oil tanker *Exxon Valdez* runs aground in Prince William Sound, Alaska, spilling 11 million gallons of oil.
- 1990:** Clean Air Act amended to control emissions of sulfur dioxide and nitrogen oxides.
- 1990:** Hubble Space Telescope launched.
- 1990:** National Environmental Education Act establishes a program within the EPA to increase environmental education in the United States.
- 1990:** Oil Pollution Act, passed in response to the *Exxon Valdez* disaster, initiates a comprehensive federal liability system for all oil spills. It also establishes a federal trust fund to help pay for cleanups, strengthens civil and criminal penalties against parties involved in spills, and requires companies to have spill contingency and readiness plans.
- 1990:** Pollution Prevention Act creates a new EPA office intended to help industry limit pollution through information collection, assistance in technology transfer, and financial assistance to state pollution prevention programs.
- 1991:** K-T event impact crater identified near the Yucatan Peninsula.
- 1991:** Mount Pinatubo in Philippines erupts, shooting sulfur dioxide 25 miles (40 km) into the atmosphere.
- 1991:** Over 4,000 people die from cholera in Latin American epidemic.
- 1991:** Persian Gulf War begins. During the war Saddam Hhusein burn oil fields.
- 1991:** Train containing the pesticide metasodium falls off the tracks near Dunsmuir, California, releasing chemicals into the

- Sacramento River. Plant and aquatic life for 43 miles (70 km) downriver die as a result.
- 1992:** Captive-bred California condors (*Gymnogyps californianus*) and black-footed ferrets (*Mustela nigripes*) reintroduced into the wild.
- 1992:** Federal Facility Compliance Act requires the military to clean up its nuclear waste sites.
- 1992:** Mexico City, Mexico, suffers general shut down as a result of incapacitating air pollution.
- 1992:** U.N. Earth Summit held in Rio de Janeiro, Brazil.
- 1992:** United Nations calls for an end to global drift net fishing by the end of 1992.
- 1993:** Braer oil tanker runs aground in the Shetland Islands, Scotland, spilling its entire cargo into the sea.
- 1993:** Eight people from Biosphere 2 emerge after living two years in a self-sustaining, glass dome.
- 1993:** Forest Summit convened in Portland, Oregon, by President Bill Clinton (b. 1946), who met with loggers and environmentalists concerned with the survival of the northern spotted owl (*Strix occidentalis caurina*).
- 1993:** George Washington University researchers clone human embryos and nurtured them in a Petri dish for several days. The project provokes protests from ethicists, politicians and critics of genetic engineering.
- 1993:** Norway resumes hunting of minke whales (*Balaenoptera* sp.) in defiance of a ban on commercial whaling instituted by the International Whaling Commission.
- 1994:** Astronomers observed comet Shoemaker-Levy 9 (S-L 9) colliding with Jupiter.
- 1994:** Researchers at Fermilab discover the top quark. Scientists believe that this discovery may provide clues about the genesis of matter.
- 1995:** Ken-Sara Wiwa (1941–1995) Nigerian author and environmental activist, is executed in Nigeria for protesting and speaking out about oil industry practices in the country.
- 1995:** Paul Crutzen (1933–), Dutch meteorologist, Mario Molina (1943–), Mexican American chemist, and R. Sherwood Rowland (1927–), American atmospheric chemist, receive the Nobel Prize in Chemistry for their work in atmospheric chemistry, particularly concerning the formation and decomposition of ozone.
- 1996:** Food Quality Protection Act amends Insecticide, Fungicide, and Rodenticide Act (FIFRA) and the Federal Food Drug, and Cosmetic Act by requiring that all pesticides used on food products be of no harm to the population.
- 1996:** National Invasive Species Act attempts to prevent the introduction of invasive marine species into the Great Lakes from ballast water.
- 1996:** Safe Drinking Water Act Amendments strengthens EPA regulation of microbial contaminants in drinking water supply and requires water systems to distribute annual reports on water safety to households.
- 1997:** Dolly, the world's first cloned sheep, is born.
- 1997:** Forest fires worldwide burn a total of 12 million acres (5 million ha) of forest.
- 1997:** Julia Butterfly Hill (b. 1974), American activist and environmentalist, climbs a 180 foot (55 m) redwood tree in California to protest the logging of the surrounding forest as well as to protect the tree. The tree was eventually named Luna and Hill removed herself from the tree in 1999 after she negotiated a deal to save the tree and an additional 3 acres (1.2 ha) of the forest.
- 1997:** Kyoto Protocol, the United Nations Framework Convention on Climate Change (UNFCCC), sets binding greenhouse gas emissions reduction targets (reduction of reported 1990 emissions levels by 6 to 8 percent by 2000) for participating nations. The U.S. signs, but never ratifies the treaty.

- 1997:** Monserrat volcano erupts.
- 1998:** Harmful Algal Bloom and Hypoxia Research and Control Act identifies the need for a comprehensive effort to research and monitor algal blooms in an attempt to control and ultimately prevent them from occurring.
- 1998:** Ian Wilmut (b. 1944), English embryologist, announced the birth of Polly, a transgenic lamb containing human genes.
- 1998:** Two research teams succeeded in growing embryonic stem cells.
- 1999:** Chemical Safety Information, Site Security, and Fuels Regulatory Relief Act.
- 1999:** Scientists announce the complete sequencing of the DNA making up human chromosome 22. The first complete human chromosome sequence is published in December 1999.
- 1999:** World population reaches six billion.
- 1999:** World Trade Organization (WTO) conference in Seattle, Washington, is marked by heavy protests, highlighting WTO's weak environmental policies.
- 2000:** During his presidency, Bill Clinton appropriated a total of 58 million acres (23 million ha) of wilderness as conservation land—the largest amount of land to be set aside for conservation by any other president to date.
- 2000:** On June 26, 2000, leaders of the public genome project and Celera announce the completion of a working draft of the entire human genome sequence. Ari Patrinos of the DOE helps mediate disputes between the two groups so that a fairly amicable joint announcement could be presented at the White House in Washington, DC.
- 2000:** Russian nuclear submarine the Kursk sinks off the coast of Minsk, Russia. Despite concerns, no nuclear waste escapes.
- 2000:** The National Cancer Institute (NCI) estimates that 3,000 lung cancer deaths, and as many as 40,000 cardiac deaths per year among adult nonsmokers in the United States can be attributed to passive smoke or environmental tobacco smoke (ETS).
- 2000:** West Nile virus discovered in the eastern United States.
- 2001:** The TIMED (Thermosphere, Ionosphere, Mesosphere Energetics and Dynamics) mission is launched by NASA, designed to study a region of Earth's atmosphere that has never been the subject of a comprehensive, long-term scientific investigation.
- 2001:** Scientists from Advanced Cell Technology clone the first endangered animal, a bull gaur (*Bos gaurus*) (a wild ox from Asia). The newborn died after two days due to infection.
- 2001:** In February 2001, the complete draft sequence of the human genome was published. The public sequence data was published in the British journal *Nature* and the sequence obtained by Celera was published in the American journal *Science*.
- 2001:** New food labels will identify choline-rich foods. Choline is a nutrient essential for learning and brain health.
- 2001:** Scientists create the first genetically engineered primate, a rhesus monkey (*Macaca mulatta*).
- 2001:** Studies give evidence of the recovery of fish stocks after coastal no-fishing zones are adopted in some overfished areas of the world.
- 2001:** The United States fails to ratify the Kyoto Protocol.
- 2001:** The World Trade Center towers in New York collapse after being struck by two commercial airplanes commandeered by terrorists. A third airplane crashes into the Pentagon building just outside Washington, DC, causing loss of life and major damage to the building.
- 2002:** Drought conditions again threaten eastern Africa, with an estimated 15 million people in Ethiopia, 3 million in Kenya, 1.5 million in Eritrea and 3 million in Sudan facing the risk of starvation as a result.
- 2002:** Industrialized nations pledge \$10 billion to help Russia secure Soviet era nuclear weapons and materials.

- 2002:** London police arrest seven men in connection with manufacturing Ricin, a deadly nerve gas.
- 2002:** Scientists capture images of the deep-ocean whipnose anglerfish and learn that the fish swims upside down from what was previously thought.
- 2002:** Scientists document and quantify organic contaminants that are polluting rivers and streams in the U.S., and killing their aquatic organisms.
- 2002:** Scientists find that stockpiled smallpox vaccine doses can be effective if diluted to one-tenth their original concentration, greatly enhancing the number of doses available to respond to an emergency.
- 2002:** The planned destruction of stocks of smallpox causing Variola virus at the two remaining repositories in the U.S. and Russia is delayed over fears that large scale production of vaccine might be needed in the event of a bioterrorist action.
- 2002:** The Secretary of Energy recommends to the President that a site near Yucca Mountain, Nevada, be selected as the nation's high-level nuclear waste repository.
- 2002:** The supertanker *Prestige* splits in half off Galicia, Spain, spilling about 67,000 tons (61,000 metric tons) of crude oil onto beaches in Northwestern Spain and parts of Portugal.
- 2002:** The United Nations holds an Earth Summit in Johannesburg, South Africa, to focus on international regulations that address environmental problems: water and air quality, accessibility of food and water, sanitation, agricultural productivity, and land management, that often accompany the human population's most pressing social issues: poverty, famine, disease, and war.
- 2002:** The year 2002 is designated as the International Year of Ecotourism. A number of international organizations, including the United Nations Environment Program (UNEP), and Conservation International, support ecotourism as a component of their sustainable development and environmental conservation strategies.
- 2002:** EPA adopts California emissions standards for off-road recreation vehicles to be implemented by 2004.
- 2002:** In June 2002 traces of biological and chemical weapon agents are found in Uzbekistan on a military base used by U.S. troops fighting in Afghanistan. Early analysis dates and attributes the source of the contamination to former Soviet Union biological and chemical weapons programs that utilized the base.
- 2002:** President George W. Bush (b. 1946) introduces the Clear Sky Initiative that will restrict the amounts of sulfur dioxide (SO<sub>2</sub>), nitrogen oxides (NO<sub>x</sub>), and mercury emitted into the atmosphere by industry. If passed, the Clear Sky plan will build upon the Clean Air States.
- 2002:** President Bush signs Bill approving the using of Yucca Mountain as a nuclear waste storage site.
- 2002:** Satellites capture images of Icebergs more than ten times the size of Manhattan Island braking off Antarctic ice shelf.
- 2002:** Severe Acute Respiratory Syndrome (SARS) virus is found in patients in China, Hong Kong, and other Asian countries. The newly discovered corona virus is not identified until early 2003. The spread of the virus reaches epidemic proportions in Asia and expands to the rest of the world.
- 2002:** Small Business Liability Relief and Brownfields Revitalization Act provided additional funds under CERCLA for the assessment and clean up of brownfields and clarified the liability protections under CERCLA.
- 2003:** The first case of bovine spongiform encephalopathy (BSE, mad cow disease) in the Unites States is found in a cow in Washington state. Investigations later reveal that the cow was imported from a Canadian herd

- that included North America's first "home-grown" case of BSE six months earlier.
- 2003:** The first case of an unusually severe pneumonia occurs in Hanoi, Vietnam, and is identified two days later as Severe Acute Respiratory Syndrome (SARS) by Italian physician and epidemiologist Carlo Urbani (1956–2003), who formally identifies SARS as a unique disease and names it. Urbani later dies of SARS.
- 2003:** DaimlerChrysler produces a fuel-cell bus for the European market, delivering the first seventy-passenger bus to officials in Madrid.
- 2003:** North Korea reactivates its nuclear-weapons-material production facilities and is engaged in a tense diplomatic standoff with the United States, which insists that North Korea abandon its nuclear-weapons program.
- 2003:** The Florida Clean Air Act prohibits smoking in the majority of indoor public places.
- 2003:** While work still continues on the Human Genome Project scientists are also beginning a Genomes to Life research program designed to identify and characterize the protein complexes important in animal, especially human, and microbial cell reactions and to further identify the specific genes that regulate these processes.
- 2003:** Electric power failure causes blackout from New York to Ontario.
- 2003:** Energy bill introduced in Congress includes ethanol use mandates.
- 2003:** EPA Administer Christine Todd Whitman (b. 1946) resigns after only two years in office. The Bush Administration's resistance to environmental progress is cited as one of the primary reasons for the resignation.
- 2003:** EPA rejects petition to regulate emissions from vehicles, EPS claims lack of authority under the Clean Air Act.
- 2003:** Three Gorges Dam in China begins filling.
- 2003:** U.S. invades Iraq and finds evidence of former chemical, biological, and nuclear weapons programs but no actual weapons.
- 2004:** On December 26, the most powerful earthquake in more than forty years occurs off the Indonesian island of Sumatra; a resulting tsunami produces a disaster of unprecedented proportion in the modern era, with the International Red Cross estimating the death toll at over 150,000 lives.
- 2004:** Scientists track an algae bloom covering 400 square miles (643.7 sq km) in the waters off the Gulf Coast that causes a mass fish kill and dolphin deaths near Florida.
- 2004:** Mexico agrees on a plan to protect 370,000 acres of tropical forest in the Yucatan Peninsula, the largest conservation project in the country's history.
- 2004:** A United Nations report warns that the Aral Sea, once one of the world's largest inland bodies of water, could dry up unless its bordering countries work to increase its water supply.
- 2004:** A federal commission reports that oceans of the United States are in dire shape from pollution and overfishing.
- 2004:** The Environmental Protection Agency instructs thirty-one states to clean up soot and smog-plagued regions.
- 2004:** Australia's parliament passes a law that includes a fishing ban on one third of the Great Barrier Reef, making it the most protected reef system on Earth.
- 2004:** Scientists report that Earth could be experiencing its sixth large extinction event, which began about 50,000 years ago, after studies show extinctions among populations in all main ecosystems in the United Kingdom.
- 2004:** High levels of toxins are found in farmed salmon, according to the journal *Science*.
- 2004:** California bans the gasoline additive MTBE. Ethanol becomes the new additive of choice, although it can increase air pollution.
- 2004:** World Wildlife Fund scientists announce that the world's orangutan

- population decreased by half in the 1990s, and with more than 60 percent of the great apes still living in unprotected areas, extinction of the two orangutan species is probable by the year 2025 unless a dramatic commitment to habitat preservation is undertaken.
- 2004:** Anabolic Steroid Control Act of 2004 bans over-the-counter steroid precursors.
- 2004:** Russia ratifies the Kyoto treaty, putting it into effect worldwide even without U.S. ratification.
- 2004:** Kenyan environmentalist and human rights activist Wangari Maathai (b. 1940) wins the Nobel Peace Prize.
- 2005:** The European Project for Ice Coring in Antarctica reports that carbon dioxide in the current atmosphere is greater than at any time during the last 650,000 years.
- 2005:** Environmentalists sue the US Navy, alleging that a their sonar used for detecting enemy submarines harms whales and dolphins.
- 2005:** An aerial survey estimates the hippopotamus population in northeastern Congo's Virunga National Park is down to less than 1,000 animals, compared to 29,000 in 1974.
- 2005:** The World Wildlife Fund conservation group reports that fishing nets still claim the lives of about 1,000 whales, dolphins, and porpoises each day around the world.
- 2005:** A massive 7.6-magnitude earthquake leaves more than 3 million homeless and without food and basic medical supplies in the Kashmir mountains between India and Pakistan, where 80,000 people die.
- 2005:** H5N1 virus, responsible for avian flu moves from Asia to Europe, as it is found in wild ducks in Romania. The World Health Organization attempts to coordinate multinational disaster and containment plans. Some nations begin to stockpile antiviral drugs.
- 2005:** Hurricane Katrina slams into the U.S. Gulf Coast, causing levee breaks and massive flooding to New Orleans, as well as extensive damage to the coasts of Louisiana, Mississippi, and Alabama. Federal Emergency Management Agency (FEMA) is widely criticized for a lack of coordination in relief efforts. Three other major hurricanes make landfall in the United States within a two-year period, stressing relief and medical supply efforts. Long-term health studies begin of populations in devastated areas.
- 2005:** The Food and Drug Administration Drug Safety Board is founded.
- 2005:** Dorothy Stang (1931–2005), an American nun, is murdered in Brazil by contract killers after spending decades fighting efforts by loggers and ranchers to clear large areas of the Amazon rainforest. Less than a week later, Brazil's government awards a disputed patch of Amazon rainforest to a sustainable development project championed by Stang.
- 2005:** Energy Policy Act of 2005 provides tax incentives, grants, and loans to producers of renewable energy.
- 2005:** Kyoto Protocol enters into force February 16. U.S. binding emissions reduction targets and does not participate in the treaty.
- 2005:** The United Nations reports that the hole in the ozone layer above Antarctica has grown to near record size, suggesting twenty years of attempted pollution controls have so far had little effect.
- 2006:** Researchers reported that carbon dioxide from industrial emissions is raising the acidity of the world's oceans, threatening plankton and other organisms that form the base of the entire marine food web.
- 2006:** Environmentalists continue attempts to thwart Japanese whalers in the Southern Ocean, as both sides accuse each other of underhand tactics in the struggle over whaling.
- 2006:** Norway announces plans to build a "doomsday vault" in a mountain close

- to the North Pole that will house a two-million-crop seed bank in the event of catastrophic climate change, nuclear war, or rising sea levels.
- 2006:** China orders all restrictions on small cars lifted in order to encourage energy conservation.
- 2006:** China's official news agency reports that glaciers in the Qinghai-Tibet plateau, also known as the "roof of the world," are melting at a rate of seven percent annually due to global warming.
- 2006:** NASA launches two satellites designed to provide the first 3-D views of Earth's clouds and help predict how cloud cover contributes to global warming.
- 2006:** NASA research shows that the Antarctic ice sheet melting has raised global sea level by 1.2 millimeters since 2002.
- 2006:** Satellite data also shows Greenland's ice sheet is melting at a rate that exceeds scientists' estimates.
- 2006:** Researchers report that Earth's temperature has been warming by 0.3 °F per year for the last three decades, and is at a current 12,000-year high.
- 2006:** U.S. scientists attribute a reported four-fold increase in the number of fires in western United States to climate change.
- 2007:** Former U.S. Vice President Al Gore (b. 1948–) and the IPCC share the 2007 Nobel Peace Prize for raising public awareness about global warming and climate change and for establishing the foundations to begin to solve the problem. Gore's film about climate change and global warming, *An Inconvenient Truth* also wins major film awards.
- 2007:** The area of ocean covered by ice around the North Pole varies seasonally, reaching a minimum every September. In August and September 2007, the north polar sea-ice cap shrinks to the smallest size ever recorded. On September 16, U.S. government scientists announces, the ice is 1.59 million square miles (4.14 million square kilometers) in size, about a fifth smaller than the previous record, set in September 2005. The Northwest Passage, which is the sea route from the Atlantic to the Pacific along the northern edge of North America, is ice-free for the first time in recorded history. Scientists predict increased melting of the ice due to global climate change. Thus far, Arctic temperatures have warmed twice as fast as the rest of the world.
- 2007:** Researchers from the University of North Carolina in Chapel Hill report that coral reefs in the Indo-Pacific, an area stretching from the Sumatra island to French Polynesia, dropped 20 percent since 1985 due largely to climate change and coastal development.
- 2007:** San Francisco becomes the first city in the U.S. to ban plastic grocery bags.
- 2007:** The World Wildlife Fund conservation group states that climate change, pollution, over extraction of water, and encroaching development are killing some of the world's major rivers, including China's Yangtze, India's Ganges, and Africa's Nile.
- 2007:** Environmental group Greenpeace launches another attack on genetically modified corn developed by U.S. biotech company Monsanto, saying that rats fed on one variety developed liver and kidney problems.
- 2007:** *Massachusetts v. Environmental Protection Agency* holds that greenhouse gases are pollutants under the Clean Air Act and may be regulated by the EPA.
- 2007:** Fourth IPCC report is issued (the first segment in February, and the last in November). The Intergovernmental Panel on Climate Change (IPCC) Scientists, composed of scientists from 113 countries, issues a consensus report stating that global warming is caused by man, and predicting that warmer temperatures and rises in sea level will continue for centuries, no matter how much humans control their pollution.

- 2007:** In Algeria, an international conference on desertification closed with a call (dubbed the Algiers Appeal) to all African countries to ratify the Kyoto Protocol in an effort to help slow the rapid expansion of deserts on the continent.
- 2007:** In August a new record is established for shrinkage of the north polar ice cap. No summer since 1979, when satellites first allowed accurate tracking of the annual melting, recorded such a large loss of ice.
- 2007:** In London, airline tycoon Richard Branson (b. 1950) announces a \$25 million prize for the first person to devise a way of removing greenhouse gases from the atmosphere.
- 2007:** Increased extreme weather is predicted consequence of global climate change. In August scientists at the World Meteorological Organization announces that during the first half of 2007, Earth showed significant increases above long term global averages in both high temperatures and frequency of extreme weather events (including heavy rainfalls, cyclones, and wind storms). Global average land temperatures for January and April are the warmest recorded for those two months since records began in 1880.
- 2007:** The journal *Science* reports that the crucial “carbon sink” that holds 15 percent of the world’s excess carbon dioxide, Antarctica’s Southern Ocean, is nearing saturation and could soon be unable to absorb more.
- 2007:** In October scientists announce that CO<sub>2</sub> levels have increased since 2000 faster than even the most pessimistic predicted forecasts of the late 1990s. Growth in atmospheric CO<sub>2</sub> was only 1.1 percent per year for 1990–1999, but accelerated sharply to more than 3 percent per year for 2000–2004. Climate scientists attribute most of the increased emissions to increases in human population and industrial activity.
- 2007:** The United Nations reports that air pollution contributes to the premature death of over 400,000 Chinese each year, and that sixteen of the most polluted cities in the world are in China.
- 2007:** World leaders at a G8 summit agree to “seriously consider” proposals to cut the emissions of greenhouse gases by 50 percent by 2050.
- 2008:** In an effort to increase energy efficiency and reduce greenhouse gas emissions, the European Union seeks to end the use of conventional incandescent light bulbs by 2012. Economists predict up to \$12 billion (8 billion Euros) in savings by having consumers switch from incandescent to fluorescent or halogen-based bulbs. Predictions are that an average 50 percent energy savings will result in reductions of millions of tons of carbon dioxide (CO<sub>2</sub>) emissions each year. As of 2008, programs to phase out or restrict incandescent bulbs are already underway in Australia, Canada, New Zealand, and the Philippines.
- 2008:** Climatologists publishing in the journal *Nature* present evidence supporting the hypothesis that after nearly half a million years of climatic shift between ice ages and warm periods and following the near-term warming related to greenhouse gas emissions already underway, Earth’s climate may actually enter a prolonged “big chill.”
- 2008:** Heavy November rains in Brazil trigger devastating landslides and flooding that kill eighty-four people and bring regional economic activity and transportation to a standstill. Described by media reports as among the worst natural disasters in the nation’s history, the torrential rains also damage infrastructure key to the nation’s economy when mudslides rupture sections of a natural gas pipeline between Bolivia and Brazil. Gas shortages leave hundreds of thousands of people without electricity or fresh water (due to pump and filtration system failures).
- 2008:** Global agricultural experts issue a warning that UG99, a plant rust fungus that kills to up to 80 percent of current wheat strains, threatens crops (most immediately those in developing countries).

- 2008:** Oil and food prices rise sharply on a global scale, increasing dangers of famine and poverty. Critics contend increased prices for petroleum lead to the diversion of food crops to biofuel production.
- 2008:** China overtakes the United States as the world's largest emitter of greenhouse gases.
- 2008:** In November climatologists from the Centre for Australian Weather and Climate Research and the University of Kiel in Germany, studying the Antarctic Circumpolar Current via measurements of ocean salinity and temperature publish a report in the journal *Nature Geoscience* that confirms prior findings that the ocean near Antarctica is getting warmer and fresher (i.e., salinity was lower) but that also show no significant change in currents or upwellings that would directly reduce the ability of the ocean to act as a carbon sink.
- 2008:** Ongoing research confirms that global sea level—the average height of the ocean's surface apart from the daily changes of the tides—is rising.
- 2008:** Computer models of global climate change predict that East Antarctica will gain ice as snowfall increases, while West Antarctica will lose ice as melting accelerates. Both effects have been observed. If Antarctica loses more ice than it gains, it contributes to sea-level rise; if the reverse, it slows sea-level rise. Not until 2008 did climatologists know whether gain or loss was dominant.
- 2008:** At the Royal Astronomical Society's National Astronomy Meeting, astronomers from St. Andrews University announce the discovery of a planetary system about 5,000 light-years away that is similar to regions of Earth's solar system. Using micro-lensing techniques they discovered that the system that orbits a star about half the size of Earth's sun. The discovery fuels speculation among astronomers that the discovery of systems that could contain Earth-like terrestrial planets was imminent. As of early 2008 more than 300 extrasolar planets (exoplanets) were identified, but none yet approximating Earth conditions.
- 2008:** Great Lakes Compact signed by all eight Great Lakes border states, prohibits unauthorized distribution or sale of water to other states.
- 2008:** In tropical cities, crowded conditions with little sanitation infrastructure can lead to an outbreak of dengue fever during the rainy season. Dengue fever, a mosquito-borne viral disease reaches epidemic proportions in areas of Brazil in April.
- 2008:** One billion gallons of toxic coal ash pollute waterways downstream of an industrial spill in Tennessee.
- 2009:** EPA rules emissions of six key greenhouse gases, including CO<sub>2</sub>, are classified as a danger to public and therefore must be regulated.
- 2009:** Canada passes Green Energy Act.
- 2009:** G8 nations pledge to reduce their greenhouse gas emissions by 80 percent by 2050.
- 2009:** EPA announces revises Clean Air Act regulations to reduce electric power plant greenhouse gas emissions.
- 2009:** Climate scientists' email and documents publish online, creating "Climategate" controversy potential violations of science ethics. Subsequent investigations by multiple independent governmental agencies and national science academies assert the materials showed a need for greater transparency but that there was no evidence of distortion regarding the fundamentals of climate science or conclusions regarding climate change.
- 2009:** Climate negotiations at UN Climate Summit (COP15) in Copenhagen result in weak agreement, the Copenhagen Accord, rather than legally binding accord to replace Kyoto Protocols.
- 2010:** In January a major earthquake devastates Haiti.
- 2010:** In April a BP rig, *Deep Horizon*, explodes and sinks to start worst accidental marine oil spill in history.
- 2010:** Some climate monitoring agencies declare 2010 the warmest year on record, other agencies assert it tied with 1998 as the hottest year on record. 1998, was 0.52°C (0.94°F) above the average for 1961–1990.
- 2012:** Kyoto Protocol expires.



# ENVIRONMENTAL LEGISLATION IN THE UNITED STATES

- 1862:** **Homestead Act** makes free homesteads on unappropriated land available on a vast scale, speeding settlement of the Plains states and destruction of the region's prairie ecosystem.
- 1872:** **Mining Law** allows any person finding mineral deposits on public land to file a claim which grants him free access to that site for mining or similar development.
- 1891:** **Forest Reserve Act** authorizes the President to create forest reserves from the public domain.
- 1899:** **Refuse Act** authorizes the Army Corps of Engineers to issue discharge permits.
- 1900:** **Lacey Act** makes interstate shipment of wild animals a federal offense if taken in violation of state laws.
- 1902:** **Reclamation Act** provides funding for the "reclamation" of drylands in the western United States through irrigation and damming of rivers.
- 1916:** **National Park Service Act** establishes the National Park Service, an agency in the U.S. Department of the Interior, the first such agency in the world.
- 1918:** **Migratory Bird Treaty Act** establishes federal authority over migratory game and insectivorous birds.
- 1920:** **Mineral Leasing Act** regulates the exploitation of fuel and fertilizer minerals on public lands.
- 1934:** **Migratory Bird Hunting Stamp Act** provides funding for waterfowl reserves.
- 1934:** **Taylor Grazing Act** establishes federal management policy on public grazing lands, the last major category of public lands to come under the active supervision of the government.
- 1935:** **Soil Conservation Act** establishes the Soil Conservation Service to aid in combating soil erosion following the Dust Bowl.
- 1938:** **Food, Drug and Cosmetics Act** sets extensive standards for the quality and labeling of foods, drugs, and cosmetics.
- 1938:** **Natural Gas Act** gives the Federal Power Commission the right to control prices and limit new pipelines from entering the market.
- 1948:** **Water Pollution Control Act** is the first statute to provide state and local governments with the funding to address water pollution.
- 1954:** **Atomic Energy Act** grants the federal government exclusive regulatory authority over nuclear-power facilities.
- 1955:** **Air Pollution Control Act** grants funds to assist the states in their air pollution control Activities.
- 1956:** **Federal Water Pollution Control Act** (amended 1965, 1966, 1970, 1972) increases federal funding to state and local governments to address water quality issues and calls for the development of water quality standards by the newly-created Federal Water Pollution Control Administration.
- 1957:** **Price-Anderson Act** limits the liability of civilian producers of nuclear power in the case of a catastrophic nuclear accident.
- 1960:** **Hazardous Substances Act** (amended 1966) authorizes the Secretary of the Department of Health, Education, and

- Welfare (HEW) to require warning labels for household substances deemed hazardous.
- 1960: Multiple Use-Sustained Yield Act** mandates that management of the national forests be balanced between ecological and economic interests.
- 1963: Clean Air Act** (amended 1970, 1977, 1990) serves as the backbone of efforts to control air pollution in the United States.
- 1964: Classification and Multiple Use Act** instructs the Bureau of Land Management to inventory its lands and classify them for disposal or retention, the first such inventory in the United States.
- 1964: Public Land Law Review Commission Act** establishes a commission to examine the body of public land laws and make recommendations as to how to proceed in this policy area.
- 1964: Wilderness Act** creates the National Wilderness Preservation System to preserve wilderness areas for present and future generations of Americans.
- 1965: Shoreline Erosion Protection Act** provides assistance to protect shorelines and stream banks from erosion.
- 1965: Solid Waste Disposal Act** (amended 1970, 1976) addresses inadequate solid waste disposal methods. It was amended by the Resource Recovery Act and the Resource Conservation and Recovery Act.
- 1966: Animal Welfare Act** designates U.S. Department of Agriculture as responsible for the humane care and handling of warm-blooded and other animals used for biomedical research and calls for inspection of research facilities to insure that adequate food, housing, and care are provided.
- 1966: Laboratory Animal Welfare Act** (amended 1970, 1976, 1985, 1990) regulates the use of animals in medical and commercial research.
- 1968: Wild and Scenic Rivers Act** creates three categories of rivers-wild, scenic, and recreational-and provides for safeguards against degradation of wild and scenic rivers.
- 1969: Coal Mine Health and Safety Act** addresses safety problems in the mining industry.
- 1970: National Environmental Policy Act** (NEPA) ushers in a new era of environmental awareness in the United States, requiring all federal agencies to take into account the environmental consequences of their plans and Activities.
- 1970: National Mining and Minerals Act** directs the Secretary of the Interior to follow a policy that encourages the private mining sector to develop a financially viable mining industry while conducting research to further “wise and efficient use” of these minerals.
- 1970: Occupational Safety and Health Act** (OSHA) requires employers to provide each of their employees with a workplace that is free from recognized hazards, which may cause death or serious physical harm.
- 1970: Pollution Prevention Packaging Act** known as the Pollution Prevention Act since 1990, this legislation focused on reducing environmental pollution at the source by changing the way raw materials are used, and goods are produced or operated. Key facets of the Act centered on reducing the amount of toxic components in and packaging, eliminating heavy metals and other pollutants from products, and recycling.
- 1970: Resource Recovery Act**, an amendment to Solid Waste Disposal Act, funds recycling programs and mandates an extensive assessment of solid waste disposal practices.
- 1972: Clean Water Act** (amended 1977, 1987), which replaced the language of the Federal Water Pollution Control Act, is the farthest reaching of all federal water legislation, setting as a national goal the attainment of “fishable and swimmable” quality for all surface waters in the United States.
- 1972: Coastal Zone Management Act** (reauthorized 2001) establishes a federal program to help states in planning and managing the development and protection of coastal areas.
- 1972: Federal Insecticide, Fungicide, and Rodenticide Act** regulates the registration, marketing and use of pesticides.

- 1972: Marine Mammals Protection Act** (amended 1988 and reauthorized 2002) protects, conserves and encourages research on marine animals. It places a moratorium on harassing, hunting, capturing, killing or importing marine mammals with some exceptions (e.g. subsistence hunting by Eskimos).
- 1972: Marine Protection, Research and Sanctuaries Act** (amended 1990) (also known as the Ocean Dumping Act) regulates ocean dumping, authorizes marine pollution research, and establishes regional marine research programs. It also establishes a process for designating marine sanctuaries of significant ecological, aesthetic, historical, or recreational value. The Ocean Dumping Ban Act amends the law, prohibiting sewage sludge and industrial wastes from being dumped at sea after December 31, 1991.
- 1972: Ports and Safe Waterways Act** regulates oil transport and the operation of oil handling facilities.
- 1973: Endangered Species Act** empowers the Secretary of the Interior to designate any plant or animal (including subspecies, races and local populations) “endangered” (imminent danger of extinction) or “threatened” (significant decline in numbers and danger of extinction in some regions). The Act protect habitats critical to the survival of endangered species and prohibits hunting, killing, capturing, selling, importing or exporting products from endangered species.
- 1974: Federal Non-Nuclear Research and Development Act** focuses government efforts on non-nuclear research.
- 1974: Forest and Rangeland Renewable Resources Planning Act** establishes a process for assessing the nation’s forest and range resources every ten years. It also stipulates that every five years the Forest Service provide a plan for the use and development of these resources based on the assessment.
- 1974: Safe Drinking Water Act** (amended 1996) requires minimum safety standards for every community water supply, regulating such contaminants as bacteria, nitrates, arsenic, barium, cadmium, chromium, fluoride, lead, mercury, silver, and pesticides.
- 1974: Solar Energy Research, Development and Demonstration Act** establishes a federal policy to “pursue a vigorous and viable program of research and resource assessment of solar energy as a major source of energy for our national needs.” The Act also establishes two programs: the Solar Energy Coordination and Management Project and the Solar Energy Research Institute.
- 1975: Eastern Wilderness Act** creates sixteen eastern wilderness areas and directs the Forest Service to alter its methods so that it considered areas previously affected by humans.
- 1975: Hazardous Materials Transportation Act** establishes minimum standards of regulation for the transport of hazardous materials by air, ship, rail, and motor vehicle.
- 1976: Energy Policy and Conservation Act**, an amendment to The Motor Vehicle Information and Cost Savings Act, outlines provisions intended to decrease fuel consumption. The most significant provisions are the Corporate Average Fuel Economy (CAFE) standards, which set fuel economy standards for passenger cars and light trucks.
- 1976: Federal Land Policy and Management Act** gives the Bureau of Land Management the authority and direction for managing the lands under its control. The Act also sets policy for the grazing, mining and preservation of public lands.
- 1976: Magnuson-Stevens Fishery Conservation and Management Act** manages fishing Activities in waters extending from the edge of State waters to the 200-mile (322-km) limit. Its goal is to phase out foreign fishing within the area adjacent to the United States coastline.
- 1976: National Forest Management Act** grants the Forest Service significant administrative discretion in managing the logging of national forests based on the general philosophies of multiple use and sustained yield.

- 1976: Resource Conservation and Recovery Act (RCRA)**, an amendment to the Solid Waste Disposal Act, regulates the storage, shipping, processing, and disposal of hazardous substances and sets limits on the sewerage of toxic chemicals.
- 1976: Toxic Substances Control Act (TSCA)** categorizes toxic and hazardous substances and regulates the use and disposal of poisonous chemicals.
- 1977: Surface Mining Control and Reclamation Act** limits the scarring of the landscape, erosion and water pollution associated with surface mining. It empowers the Department of the Interior to develop regulations that impose nationwide environmental standards for all surface-mining operations.
- 1978: Energy Tax Act** creates a “Gas Guzzler” tax on passenger cars whose individual fuel economy value fall below a certain threshold, starting in 1980.
- 1978: Port and Tanker Safety Act** empowers the U.S. Coast Guard to supervise vessel and port operations and to set standards for the handling of dangerous substances.
- 1978: Public Utilities Regulatory Policies Act** promotes the development of renewable energy and requires that utilities purchase power at “just” rates from producers who use such alternative sources as wind and solar.
- 1978: Uranium Mill-Tailings Radiation Control Act** orders Department of Energy to stabilize and maintain twenty-four uranium processing sites and approximately 5,000 vicinity sites that are known sources of windblown ore tailings.
- 1980: Alaska National Interest Lands Conservation Act** protects 44 million ha (109 million acres) of land in Alaska, establishing eleven new parks, twelve new wildlife refuges and setting aside wilderness areas comprising 22.7 million ha (56 million acres).
- 1980: Comprehensive Environmental Response, Compensation, and Liability Act (Superfund)** (amended 1986) permits direct federal response to remedy the improper disposal of hazardous waste. It establishes a multi-billion dollar cash pool (Superfund) to finance government clean-up Actions.
- 1980: Low-Level Radio Active Waste Policy Act** (amended 1985) places responsibility for disposal of low-level radio Active waste on generating states and encourages those states to create comp Acts to develop centralized regional waste sites.
- 1980: Nongame Wildlife Act** provides limited federal aid to state wildlife agencies.
- 1982: Nuclear Waste Policy Act** (amended 1987) instructs the Department of Energy to develop a permanent repository for high-level nuclear wastes by 1998.
- 1986: Asbestos Hazard Emergency Response Act** requires schools to inspect its building for evidence of asbestos and provides guidelines for clean-up if any asbestos is found.
- 1986: Emergency Planning and Community Right-to-Know Act** requires federal, state and local governments and industry to work together in developing plans to deal with chemical emergencies and community right-to-know reporting on hazardous chemicals.
- 1987: Marine Plastic Pollution Research and Control Act** prohibits the dumping of plastics at sea, severely restricts the dumping of other ship-generated garbage in the open ocean or in U.S. waters, and requires all ports to have adequate garbage disposal facilities for incoming vessels.
- 1987: National Appliance Energy Conservation Act** sets minimum efficiency standards for heating and cooling systems in new homes as well as for such new appliances as refrigerators and freezers.
- 1988: Alternative Motor Fuels Act** encourages automobile manuf Act encourages automobile manufactures to design and build cars that can burn alternative fuels such as methanol and ethanol.
- 1988: Indoor Radon Abatement Act** calls for indoor air to be as free of radon as outdoor ambient air in as much as it can be.
- 1988: Lead Contamination Control Act** recalls and bans the continued sales of lead-lined water coolers.

- 1988:** **Medical Waste Tracking Act** creates a “cradle-to-grave” tracking system based on detailed shipping records, similar to the program in place for hazardous waste.
- 1988:** **Ocean Dumping Ban Act** prohibits dumping of any sewage sludge or industrial waste into ocean waters and penalizes those who do so.
- 1988:** **Shoreline Protection Act** is legislation requiring that solid waste is not deposited in coastal waters, and that regulates coastal waste transfer stations and off-shore solid waste disposal.
- 1989:** **Montreal Protocol on Substances that Deplete the Ozone Layer**, an international treaty banning propellants and other substances that damage the Ozone Layer goes into effect. The U.S. participates in the treaty.
- 1990:** **National Environmental Education Act** establishes a program within the EPA to increase environmental education in the United States.
- 1990:** **Oil Pollution Act**, passed in response to the Exxon Valdez disaster, initiates a comprehensive federal liability system for all oil spills. It also establishes a federal trust fund to help pay for cleanups, strengthens civil and criminal penalties against parties involved in spills, and requires companies to have spill contingency and readiness plans.
- 1990:** **Pollution Prevention Act** creates a new EPA office intended to help industry limit pollution through information collection, assistance in technology transfer, and financial assistance to state pollution prevention programs.
- 1992:** **Federal Facility Compliance Act** requires the military to clean up its nuclear waste sites.
- 1996:** **Food Quality Protection Act** amends Insecticide, Fungicide, and Rodenticide Act (FIFRA) and the Federal Food Drug, and Cosmetic Act by requiring that all pesticides used on food products be of no harm to the population.
- 1996:** **Safe Drinking Water Act Amendments** strengthens EPA regulation of microbial contaminants in drinking water supply and requires water systems to distribute annual reports on water safety to households.
- 1996:** **National Invasive Species Act** attempts to prevent the introduction of invasive marine species into the Great Lakes from ballast water.
- 1997:** **Kyoto Protocol**, the United Nations Framework Convention on Climate Change (UNFCCC), sets binding greenhouse gas emissions reduction targets for participating nations. The U.S. signs, but never ratifies the treaty.
- 1998:** **Harmful Algal Bloom and Hypoxia Research and Control Act** identifies the need for a comprehensive effort to research and monitor algal blooms in an attempt to control and ultimately prevent them from occurring.
- 1999:** **Chemical Safety Information, Site Security, and Fuels Regulatory Relief Act** established new provisions for reporting and disseminating information contained in the risk management plans of over 66,000 facilities that use or make toxic chemicals.
- 2002:** **Small Business Liability Relief and Brownfields Revitalization Act** provided additional funds under CERCLA for the assessment and clean up of brownfields and clarified the liability protections under CERCLA.
- 2005:** **Kyoto Protocol** enters into force internationally, the U.S. does recognize or participate in the agreement.
- 2005:** **Energy Policy Act of 2005** provides tax incentives, grants, and loans to producers of renewable energy.
- 2007:** *Massachusetts v. Environmental Protection Agency* holds that greenhouse gases are pollutants under the Clean Air Act and may be regulated by the EPA.
- 2008:** **Great Lakes Compact** signed by all eight Great Lakes border states, prohibits unauthorized distribution or sale of water to other states.
- 2012:** **Kyoto Protocol** expires.

# ORGANIZATIONS

The following is an alphabetical compilation of organizations dealing with key environmental issues discussed in the *Environmental Encyclopedia*. Although the list is comprehensive, it is by no means exhaustive. It is a starting point for further information, as well as other online and print sources. Many of the organizations listed have links to additional related websites. E-mail addresses and web addresses listed were provided by the associations; Cengage Learning is not responsible for the accuracy of the addresses or the contents of the websites.

## A

- African Wild Dog Conservancy. 208 N. California Ave., Silver City, NM, USA 88091. E-mail: [lycaonpictus@awdconservancy.org](mailto:lycaonpictus@awdconservancy.org). <http://www.awdconservancy.org>
- African Wildlife Foundation. 1400 16th Street, NW Washington, DC, USA 20036. Phone: (202) 939-3333. Fax: (202) 939-3332. E-mail: [africanwildlife@awf.org](mailto:africanwildlife@awf.org). <http://www.awf.org>
- Agricultural Stabilization and Conservation Service. 10500 Buena Vista Court Urbandale, IA, USA 50322-3782. Phone: (515) 254-1540. Fax: (515) 254-1573.
- Air & Waste Management Association. 420 Fort Duquesne Blvd, One Gateway Center Pittsburgh, PA, USA 15222. Phone: (412) 232-3444. Fax: (412) 232-3450. E-mail: [info@awma.org](mailto:info@awma.org). <http://www.awma.org>
- The Alaska Coalition. 419 6th St, #328 Juneau, AK, USA 99801. Phone: (907) 586-6667. Fax: (907) 463-3312. E-mail: [info@alaskacoalition.org](mailto:info@alaskacoalition.org). <http://www.alaskacoalition.org>
- Alliance for Nuclear Accountability. 1914 N. 34th St., Ste. 407 Seattle, WA, USA 98103. Phone: (206) 547-3175. Fax: (206) 547-7158. <http://www.ananuclear.org>
- Alliance for the Prudent Use of Antibiotics. 75 Kneeland Street Boston, MA, USA 02111. Phone: (617) 636-0966. Fax: (617) 636-3999. <http://www.healthsci.tufts.edu/apua>
- America's Clean Water Foundation. 750 First Street NE, Suite 1030 Washington, DC, USA 20002. Phone: (202) 898-0908. Fax: (202) 898-0977. <http://yearofcleanwater.org>
- American Bird Conservancy. 4249 Loudoun Ave., The Plains, VA, USA 20198-2237. Phone: (888) 247-3624. Fax: (540) 253-5782. <http://www.abcbirds.org>
- American Cetacean Society. P.O. Box 1391 San Pedro, CA, USA 90733-1391. Phone: (310) 548-6279. Fax: (310) 548-6950. E-mail: [acs@pobox.com](mailto:acs@pobox.com). <http://www.acsonline.org>
- American Chemical Society. 1155 Sixteenth St. NW Washington, DC, USA 20036. Phone: (202) 872-4600. Fax: (202) 872-4615. Toll Free: (800) 227-5558. E-mail: [help@acs.org](mailto:help@acs.org). <http://www.chemistry.org>
- American Dental Association. 211 E. Chicago Avenue Chicago, IL, USA 60611. Phone: (312) 440-2500. Fax: (312) 440-2800. E-mail: [publicinfo@ada.org](mailto:publicinfo@ada.org). <http://www.ada.org>
- American Eagle Foundation. P.O. Box 333 Pigeon Forge, TN, USA 37868. Phone: (865) 429-0157. Fax: (865) 429-4743. Toll Free: (800) 2EAGLES. E-mail: [EagleMail@Eagles.Org](mailto:EagleMail@Eagles.Org). <http://www.eagles.org>
- The American Farmland Trust (AFT). 1200 18th Street, NW, Suite 800 Washington, DC, USA 20036. Phone: (202) 331-7300. Fax: (202) 659-8339. E-mail: [info@farmland.org](mailto:info@farmland.org). <http://www.farmland.org>
- American Forests. P.O. Box 2000 Washington, DC, USA 20013. Phone: (202) 955-4500. Fax: (202) 955-4588. E-mail: [info@amfor.org](mailto:info@amfor.org). <http://www.americanforests.org>
- American Indian Environmental Office. 1200 Pennsylvania Avenue, NW Washington, DC, USA 20460. Phone: (202) 564-0303. Fax: (202) 564-0298. <http://www.epa.gov/indian>
- American Oceans Campaign. 600 Pennsylvania Avenue SE, Suite 210 Washington, DC, USA 20003. Phone: (202) 544-3526. Fax: (202) 544-5625. E-mail: [info@americanoceans.org](mailto:info@americanoceans.org). <http://www.american oceans.org>
- American Petroleum Institute. 1220 L Street, NW Washington, DC, USA 20005-4070. Phone: (202) 682-8000. <http://www.api.org>
- American Public Works Association. 2345 Grand Blvd., Suite 500 Kansas City, MO, USA 64108-2641. Phone: (816) 472-6100. <http://www.pubworks.org>

American Rivers. 1025 Vermont Ave., NW Suite 720  
Washington, DC, USA 20005. Phone: (202) 347-7550.  
Fax: (202) 347-9240. E-mail: [amrivers@amrivers.org](mailto:amrivers@amrivers.org).  
<http://www.amrivers.org>

American Wildlands. 40 East Main #2 Bozeman, MT, USA  
59715. Phone: (406) 586-8175. Fax: (406) 586-8242.  
E-mail: [info@wildlands.org](mailto:info@wildlands.org). <http://www.wildlands.org>

American Wind Energy Association. 122 C Street, NW,  
Suite 380 Washington, DC, USA 20001. Phone: (202)  
383-2500. Fax: (202) 383-2505. E-mail: [windmail@awea.org](mailto:windmail@awea.org). <http://www.awea.org>

Animal Legal Defense Fund. 127 Fourth Street Petaluma,  
CA, USA 94952. Toll Free: (707) 769-0785. E-mail:  
[info@aldf.org](mailto:info@aldf.org). <http://www.aldf.org>

Animal Welfare Institute. P.O. Box 3650 Washington, DC,  
USA 20007. Phone: (202) 337-2332. E-mail: [awi@awionline.org](mailto:awi@awionline.org).  
<http://www.awionline.org>

The Antarctica Project. 1630 Connecticut Ave., NW, 3rd  
Floor Washington, DC, USA 20009. Phone: (202)  
234-2480. E-mail: [antarctica@igc.org](mailto:antarctica@igc.org). <http://www.asoc.org>

Antinea Foundation. 9 bis, Rue de Veyrier, 1227 Carouge,  
Switzerland. Phone: +41 (0)22 3018577. E-mail:  
[info@antinea-foundation.org](mailto:info@antinea-foundation.org). <http://www.antinea-foundation.org>

Arctic Council, Ministry for Foreign Affairs, Unit for  
Northern Dimension. P.O. Box 176 Helsinki, Finland  
FIN-00161. Phone: +358 9 1605 5562. Fax: +358 9 1605  
6120. E-mail: [Petri.Ojanpera@formin.fi](mailto:Petri.Ojanpera@formin.fi). <http://www.arctic-council.org>

Argonne National Laboratory. 9700 S. Cass Avenue  
Argonne, IL, USA 60439. Phone: (630) 252-2000.  
<http://www.anl.gov>

Association of National Estuary Programs. 4505 Carrico  
Drive Annandale, VA, USA 22003. Phone: (703)  
333-6150. Fax: (703) 658-5353. <http://www.anep-usa.org>

Atlantic States Marine Fisheries Commission. 1444 Eye  
Street, NW, Sixth Floor Washington, DC, USA 20005.  
Phone: (202) 289-6400. Fax: (202) 289-6051. E-mail:  
[comments@asmfc.org](mailto:comments@asmfc.org). <http://www.asmfc.org>

The ATSDR Information Center. Phone: (404) 498-0110.  
Fax: (404) 498-0057. Toll Free: (888) 422-8737. E-mail:  
[ATSDRIC@cdc.gov](mailto:ATSDRIC@cdc.gov). <http://www.atsdr.cdc.gov>

Australian Wildlife Conservancy. P.O. Box 8070, Subiaco  
East, WA, 6008, Australia. Phone: +61 (8) 9380 9633.  
Fax: +61 (8) 9380 9631. E-mail: [info@australianwildlife.org](mailto:info@australianwildlife.org).  
<http://www.australianwildlife.org>

## B

Beltsville Agricultural Research Center. Rm. 223, Bldg. 003,  
BARC-West, 10300 Baltimore Ave. Beltsville, MD,  
USA 20705. <http://www.ars.usda.gov>

Beyond Pesticides. 701 E Street, SE, Suite 200 Washington,  
DC, USA 20003. Phone: (202) 543-5450. Fax: (202)  
543-4791. E-mail: [info@beyondpesticides.org](mailto:info@beyondpesticides.org). <http://www.beyondpesticides.org>

BirdLife International. Wellbrook Court, Girton Road  
Cambridge, United Kingdom CB3 0NA. Phone: +44  
(12) 2327-7318. E-mail: [birdlife@birdlife.org.uk](mailto:birdlife@birdlife.org.uk). <http://www.birdlife.net>

Bureau of Reclamation. 1849 C Street NW Washington,  
DC, USA 20240-0001. <http://www.usbr.gov>

Bushmeat Crisis Task Force. 8403 Colesville Road, Suite  
710 Silver Spring, MD, USA 20910-3314. Fax: (301)  
562-0888. E-mail: [info@bushmeat.org](mailto:info@bushmeat.org). <http://www.bushmeat.org>

The Bushmeat Project. The Biosynergy Institute P.O. Box  
488 Hermosa Beach, CA, USA 90254. E-mail: [bushmeat@biosynergy.org](mailto:bushmeat@biosynergy.org). <http://www.bushmeat.net>

The Bushmeat Research Programme. Institute of  
Zoology, Zoological Society of London Regents  
Park London, UK NW1 4RY. Phone: +44 (20)  
7449-6601. Fax: +44 (20)7586-2870. E-mail: [enquiries@ioz.ac.uk](mailto:enquiries@ioz.ac.uk). <http://www.zoo.cam.ac.uk/ioz/projects/bushmeat.htm>

## C

Canadian Forest Service. Natural Resources Canada 580  
Booth Street, 8th Floor Ottawa, Ontario, Canada K1A  
0E4. Phone: (613) 947-7341. Fax: (613) 947-7397.  
E-mail: [cfs-scf@nrcan.gc.ca](mailto:cfs-scf@nrcan.gc.ca). <http://www.nrcan-nrcan.gc.ca/cfs-scf>

Canadian Wildlife Service. Environment Canada Ottawa,  
Ontario, Canada K1A 0H3. Phone: (819) 997-1095.  
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- Center for Biological Diversity. P.O. Box 710, Tucson, AZ, USA 85702-0710. Phone: (866) 357-3349. Fax: (520) 623-9797. E-mail: [center@biologicaldiversity.org](mailto:center@biologicaldiversity.org). <http://www.biologicaldiversity.org>
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- Center for International Environmental Law. 1350 Connecticut Avenue NW, Suite #1100, Washington, DC, USA 20036. Phone: (202) 785-8700. Fax: (202) 785-8701. E-mail: [info@ciel.org](mailto:info@ciel.org). <http://www.ciel.org>
- The Center for Marine Conservation. 1725 DeSales Street, NW, Suite 600 Washington, DC, USA 20036. Phone: (202) 429-5609. Fax: (202) 872-0619. E-mail: [cmc@dccmc.org](mailto:cmc@dccmc.org). <http://www.cmc-ocean.org>
- Center for Respect of Life and Environment. 2100 L Street, NW Washington, DC, USA 20037. Phone: (202) 778-6133. Fax: (202) 778-6138. E-mail: [info@crle.org](mailto:info@crle.org). <http://www.crle.org>
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- Center for Science in the Public Interest. 1875 Connecticut Ave., NW, Suite 300 Washington, DC, USA 20009. Phone: (202) 332-9110. Fax: (202) 265-4954. E-mail: [cspi@cspinet.org](mailto:cspi@cspinet.org). <http://www.cspinet.org>
- The Center for Urban Ecology. 4598 MacArthur Blvd., NW Washington, DC, USA 20007-4227. Phone: (202) 342-1443. Fax: (202) 282-1031. <http://www.nps.gov/cue/cueintro.html>
- Centers for Disease Control and Prevention. 1600 Clifton Road Atlanta, GA, USA 30333. Phone: (404) 639-3534. Toll Free: (800) 311-3435. <http://www.cdc.gov>
- Centers for Disease Control and Prevention—Bioterrorism Preparedness & Response Program (CDC). 1600 Clifton Road Atlanta, GA, USA 30333. Phone: (404) 639-3534. Toll Free: (888) 246-2675. E-mail: [cdcresponse@ashastd.org](mailto:cdcresponse@ashastd.org). <http://www.bt.cdc.gov>
- Central Plains Center for BioAssessment. 2021 Constant Avenue Lawrence, KA, USA 66047-3729. Phone: (785) 864-7729. E-mail: [dbaker@ukans.edu](mailto:dbaker@ukans.edu). <http://www.cpcb.ukans.edu>
- CGIAR Secretariat. The World Bank MSN G6-601, 1818 H Street NW Washington, DC, USA 20433. Phone: (202) 473-8951. Fax: (202) 473-8110. E-mail: [cgiar@cgiar.org](mailto:cgiar@cgiar.org). <http://www.cgiar.org>
- Chesapeake Bay Foundation. Philip Merrill Environmental Center 6 Herndon Avenue Annapolis, MD, USA 21403. Phone: (410) 268-8816. <http://www.cbf.org>
- Chlorine Chemistry Council (CCC). 1300 Wilson Boulevard Arlington, VA, USA 22209. Phone: (703) 741-5000. <http://c3.org>
- CITES Secretariat. International Environment House Chemin des Anémones Châtelaine, Geneva, Switzerland CH-1219. Phone: +41 (22) 917-8139/40. Fax: +41 (22) 797-3417. E-mail: [cites@unep.ch](mailto:cites@unep.ch). <http://www.cites.org>
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- Coastal Zone Management Program. OCRM, NOS, NOAA Coastal Programs Division, N/ORM3; 1305 East-West Highway, SSMC4 Silver Spring, MD, USA 20910. Phone: (301) 713-3155. Fax: (301) 713-4367. <http://www.ocrm.nos.noaa.gov/czm>
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Environmental Protection Agency (EPA). 1200 Pennsylvania Avenue, NW Washington, DC, USA 20460. Phone: (202) 260-2090. <http://www.epa.gov>

- Environmental Protection Agency Office of Solid Waste. 1200 Pennsylvania Avenue NW Washington, DC, USA 20460. Phone: (703) 412-9810. Toll Free: (800) 424-9346. <http://www.epa.gov>
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- Environmental Protection Agency. Office of Water 1200 Pennsylvania Avenue, NW Washington, DC, USA 20460. Phone: (202) 566-0388. Fax: (202) 566-0409. E-mail: [ow-general@epa.gov](mailto:ow-general@epa.gov). <http://water.epa.gov>
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- Free Trade Area of the Americas (FTAA). Administrative Secretariat Apartado Postal 89-10044, Zona 9 Ciudad de Panama, Republica de Panama. Phone: (507) 270-6900. Fax: (507) 270-6990. [http://www.ftaa-alca.org/alca\\_e.asp](http://www.ftaa-alca.org/alca_e.asp)
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- Federal Bureau of Investigation. 935 Pennsylvania Ave. Washington, DC, USA. Phone: (202) 324-3000. <http://www.fbi.gov>
- Federal Energy Regulatory Commission. 888 First Street, NE Washington, DC, USA 20009. <http://www.ferc.gov>
- Federation of American Scientists. 1717 K Street, NW, Suite 209 Washington, DC, USA 20036. Phone: (202) 546-3300. <http://www.fas.org>
- Fish and Wildlife Reference Service. 54300 Grosvenor Lane, Suite 110 Bethesda, MD, USA 20814. Phone: (301) 492-6403. Toll Free: (800) 582-3421. <http://www.lib.iastate.edu/collections/db/usfwrs.html>
- Forests and the European Union Resource Network. Rue d'Edimbourg, 26, 1050 Brussels, Belgium. Phone: +32 2 894 4690. E-mail: [marie@fern.org](mailto:marie@fern.org). <http://www.fern.org>

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The Land Stewardship Project. 2200 4th Street White Bear  
Lake, MN, USA 55110. Phone: (651) 653-0618. Fax:  
(651) 653-0589. E-mail: lspwbl@landstewardship  
project.org. <http://www.landstewardshipproject.org>

League of Conservation Voters. 1920 L Street, NW, Suite  
800 Washington, DC, USA 20036. Phone: (202)  
785-8683. Fax: (202) 835-0491. <http://www.lcv.org>

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Marine Conservation Society. Unit 3, Wolf Business Park,  
Alton Road, Ross-on-Wye, UK HR9 5NB. Phone:  
01989 566017. Fax: 01989 567815. <http://www.mcsuk.org>

Massachusetts Toxics Use Reduction. Institute University  
of Massachusetts Lowell, One University Avenue  
Lowell, MA, USA 01854-2866. Phone: (978) 934-3275.  
Fax: (978) 934-3050. <http://www.turi.org>

Masters of Foxhounds Association. Morven Park, P.O. Box  
2420 Leesburg, VA, USA 20177. E-mail: office@mfa.com.  
<http://www.mfha.com>

Ministry of Water, Land, and Air Protection. P.O. Box 9360  
Stn. Prov. Govt. Victoria, BC, Canada V8W 9M2.  
Phone: (250) 387-9422. Fax: (250) 356-6464. <http://www.gov.bc.ca/wlap>

The Mountain Institute. 3000 Connecticut Avenue, NW, Suite  
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234-4050. Fax: (202) 234-4051. E-mail: summit@  
mountain.org. <http://www.mountain.org>

Mountain States Legal Foundation. 707 17th Street,  
Suite 3030 Denver, CO, USA 80202. Phone: (303)  
292-2021. Fax: (303) 292-1980. <http://www.mountainstateslegal.com>

Mountain Wilderness. Maison de la Nature et de l'Environnement,  
5 Place Bir Hakeim 38000, Grenoble,  
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(956) 326-2550. Fax: (956) 326-2544. E-mail: nafta@  
tamiu.edu. <http://www.tamiu.edu/coba/usmtr/>

National Center for Fluoridation Policy and Research  
(NCFPR). University of Buffalo 315 Squire Hall  
Buffalo, NY, USA 14214. Phone: (716) 829-2056.  
Fax: (716) 833-3517. E-mail: mwesley@buffalo.edu.  
<http://fluoride.oralhealth.org>

The National Academies, National Research Council. 2101  
Constitution Avenue, NW Washington, DC, USA  
20418. Phone: (202) 334-2000. <http://www.nas.edu/nrc>

National Academy of Sciences. 2001 Wisconsin Avenue,  
NW Washington, DC, USA 20007. <http://www.nas.edu>

National Association of Counties. 440 First Street, NW  
Washington, DC, USA 20001. Phone: (202) 393-6226.  
Fax: (202) 393-2630. <http://www.naco.org>

National Audubon Society. 700 Broadway New York, NY,  
USA 10003. Phone: (212) 979-3000. Fax: (212) 979-3188.  
<http://www.audubon.org>

National Earthquake Information Center. P.O. Box 25046,  
DFC, MS 967 Denver, CO, USA 80225. Phone: (303)  
273-8500. Fax: (303) 273-8450. E-mail: sedas@neis.  
cr.usgs.gov. <http://www.neic.usgs.gov>

National Food Processors Association. 1350 I Street, NW  
Suite 300 Washington, DC, USA 20005. Phone: (202)  
639-5900. Fax: (202) 639-5932. E-mail: nfpa@nfpa-  
food.org. <http://www.nfpa-food.org>

National Institute for Urban Wildlife. 10921 Trotting Ridge  
Way Columbia, MD, USA 21044.

National Institute of Environmental Health Sciences. P.O.  
Box 12233 Research Triangle Park, NC, USA 27709.  
Phone: (919) 541-3345. <http://www.niehs.nih.gov>

National Institute of Occupational Safety and Health. 4676  
Cincinnati Pkwy. Cincinnati, OH, USA 45226. Fax: (513)  
533-8573. Toll Free: (800) 35-NIOSH. E-mail: eidte  
chinfo@cdc.gov. <http://www.cdc.gov/niosh>

- National Marine Fisheries Service. 1315 East West Highway, SSMC3 Silver Spring, MD 20910. Phone: (301) 713-2334. Fax: (301) 713-0596. E-mail: [cyber.fish@noaa.gov](mailto:cyber.fish@noaa.gov). <http://www.nmfs.noaa.gov>
- National Marine Sanctuary System, National Ocean Service, National Oceanic and Atmospheric Administration. 1305 East-West Highway, 11th Floor Silver Spring, MD, USA 20910. Phone: (301) 713-3125. Fax: (301) 713-0404. E-mail: [nmscomments@noaa.gov](mailto:nmscomments@noaa.gov). <http://www.sanctuaries.nos.noaa.gov>
- National Nuclear Security Administration. Sandia National Laboratories, New Mexico P. O. Box 5800 Albuquerque, NM, USA 87185. Phone: (925) 294-3000. <http://www.sandia.gov>
- National Oceanic and Atmospheric Administration (NOAA). 14th St and Constitution Ave, NW, Room 6013 Washington, DC, USA 20230. Phone: (202) 482-6090. Fax: (202) 482-3154. E-mail: [answers@noaa.gov](mailto:answers@noaa.gov). <http://www.noaa.gov>
- National Office for Marine Biotoxins and Harmful Algal Blooms. Woods Hole Oceanographic Institution Biology Dept., MS #32 Woods Hole, MA, USA 02543. Phone: (508) 289-2252. Fax: (508) 457-2180. E-mail: [jkleindinst@whoi.edu](mailto:jkleindinst@whoi.edu). <http://www.redtide.whoi.edu/hab>
- National Park Service. 1849 C Street NW Washington, DC 20240. Phone: (202) 208-6843. <http://www.nps.gov>
- National Parks and Conservation Association. 1300 19th Street, NW, Suite 300 Washington, DC, USA 20036. Fax: (202) 659-0650. Toll Free: (800) 628-7275. E-mail: [nPCA@nPCA.org](mailto:nPCA@nPCA.org). <http://www.eparks.org>
- National Pollution Prevention Roundtable. 11 Dupont Circle, NW, Suite 201 Washington, DC, USA 20036. Phone: (202) 299-9701. E-mail: [talk@p2.org](mailto:talk@p2.org). <http://www.p2.org>
- National Recycling Coalition. 1325 G Street NW, Suite 1025 Washington, DC, USA 20005-3104. Phone: (202) 347-0450. Fax: (202) 347-0449. E-mail: [info@nrc-recycle.org](mailto:info@nrc-recycle.org). <http://www.nrc-recycle.org>
- National Renewable Energy Laboratory. 1617 Cole Blvd. Golden, CO, USA 80401-3393. Phone: (303) 275-3000. E-mail: [client\\_services@nrel.gov](mailto:client_services@nrel.gov). <http://www.nrel.gov>
- National Resources Defense Council. 40 West 20th Street, New York, NY, USA 10011. Phone: (212) 727-2700. Fax: (212) 727-1773. E-mail: [nrdcinfo@nrdc.org](mailto:nrdcinfo@nrdc.org). <http://www.nrdc.org>
- National Weather Service, National Oceanic and Atmospheric Administration. 1325 East West Highway Silver Spring, USA 20910. <http://www.nws.noaa.gov>
- National Wildlife Federation. 111000 Wildlife Center Drive Reston, VA, USA 20190. Phone: (703) 438-6000. Toll Free: (800) 822-9919. E-mail: [info@nwf.org](mailto:info@nwf.org). <http://www.nwf.org>
- The Natural History Museum of the Adirondacks. P. O. Box 897 Tupper Lake, NY, USA 12986. Phone: (518) 359-2533. Fax: (518) 523-9841. <http://www.adkscience.org>
- Natural Resources Defense Council. 40 W 20th Street New York, NY 10011. Phone: (212) 727-2700. Fax: (212) 727-1773. E-mail: [nrdcinfo@nrdc.org](mailto:nrdcinfo@nrdc.org). <http://www.nrdc.org>
- The Nature Conservancy. 4245 North Fairfax Drive, Suite 100, Arlington, VA 22203-1606. Phone: (703) 841-5300. <http://www.tnc.org>
- North American Association for Environmental Education. 410 Tarvin Road Rock Spring, GA, USA 30739. Phone: (706) 764-2926. Fax: (706) 764-2094. E-mail: [email@naaee.org](mailto:email@naaee.org). <http://www.naaee.org>
- North American Earth Liberation Front Press Office. P.O. Box 14098 Portland, OR, USA 97293. Phone: (503) 804-4965. E-mail: [elfpress@tao.ca](mailto:elfpress@tao.ca). <http://www.animalliberation.net/library/facts/elf>
- North Carolina State University Center for Applied Aquatic Ecology. 620 Hutton Street, Suite 104 Raleigh, NC, USA 27608. Phone: (919) 515-3421. Fax: (919) 513-3194.
- Northeast Fisheries Science Center. 166 Water Street Woods Hole, MA, USA 02543-1026. Phone: (508) 495-2000. Fax: (508) 495-2258. <http://www.nefsc.nmfs.gov>
- The Northeast Regional Aquaculture Center. Violette Building, Room 201 285 Old Westport Road Dartmouth, MA, USA 02747-2300. Phone: (508) 999-8157. Fax: (508) 999-8590. Toll Free: (866) 472-NRAC (6722). E-mail: [nrac@umassd.edu](mailto:nrac@umassd.edu). <http://www.umassd.edu/specialprograms/NRAC>
- Northwest Fisheries Science Center, NMFS, NOAA. 2725 Montlake Blvd. E Seattle, WA, USA 98112. Phone: (206) 860-3200. E-mail: [NWFSC.Webmaster@noaa.gov](mailto:NWFSC.Webmaster@noaa.gov). <http://www.nwfsc.noaa.gov/>
- Nuclear Information and Resource Service. 1424 16th Street NW, #404 Washington, DC, USA 20036. Phone: (202) 328-0002. Fax: (202) 462-2183. E-mail: [nirsnet@nirs.org](mailto:nirsnet@nirs.org). <http://www.nirs.org>
- The Ocean Conservancy. 1725 DeSales Street, Suite 600 Washington, DC, USA 20036. Phone: (202) 429-5609. E-mail: [info@oceanconservancy.org](mailto:info@oceanconservancy.org). <http://www.oceanconservancy.org>

- Office of Civilian Radioactive Waste Management. Toll Free: (800) 225-6972. E-mail: mowebmaster@rw.doe.gov. <http://www.rw.doe.gov>
- Office of Information and Regulatory Affairs (OIRA). The Office of Management and Budget 725 17th Street, NW Washington, DC, USA 20503. Phone: (202) 395-4852. Fax: (202) 395-3888. <http://www.whitehouse.gov/omb/inforeg>
- Office of Management and Budget. 725 17th Street, NW Washington, DC, USA 20503. Phone: (202) 395-3080. <http://www.whitehouse.gov/omb>
- Office of Surface Mining. 1951 Constitution Ave. NW Washington, DC, USA 20240. Phone: (202) 208-2719. E-mail: [getinfo@osmre.gov](mailto:getinfo@osmre.gov). <http://www.osmre.gov>
- Office of Technical Assistance for Toxics Use Reduction Commonwealth of Massachusetts, Executive Office of Environmental Affairs. 251 Causeway Street, Suite 900 Boston, MA, USA 02114-2136. Phone: (617) 626-1060. Fax: (617) 626-1095. <http://www.state.ma.us/ota>
- Office of Wastewater Management, U. S. Environmental Protection Agency. Mail Code 4201, 401 M Street, SW Washington, DC, USA 20460. Phone: (202) 260-5922. Fax: (202) 260-6257. <http://www.epa.gov/npdes>
- Oil Spill Response Ltd. (ORSL). 1 Great Cumberland Place London, UK W1H 7AL. Phone: +44 (0) 20-7724-0102. Toll Free: (800) 424-9346. E-mail: [orsl@orsl.co.uk](mailto:orsl@orsl.co.uk). <http://www.oilspillresponse.com>
- Organization of American States. 17th Street and Constitution Ave., NW. Washington, DC, USA 20006. Phone: (202) 458-3000. <http://www.oas.org>
- Organization of Petroleum Exporting Countries. Obere Donaustrasse 93 Vienna, Austria A-1020. Phone: + 43-1-21112 279. Fax: + 43-1-2149827. <http://www.opec.org>
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- Pesticide Action Network North America. 49 Powell St., Suite 500 San Francisco, CA, USA 94102. Phone: (415) 981-1771. Fax: (415) 981-1991. E-mail: [panna@panna.org](mailto:panna@panna.org). <http://www.panna.org>
- PETA. 501 Front St. Norfolk, VA, USA 23510. Phone: (757) 622-PETA. Fax: (757) 622-0457. E-mail: [info@peta-online.org](mailto:info@peta-online.org). <http://www.peta-online.org>
- Pew Center on Global Climate Change. 2101 Wilson Blvd., Suite 550, Arlington, VA, USA 22201. Phone: (703) 516-4146. Fax: (703) 841-1422. <http://www.pewclimate.org>
- Pew Initiative on Food and Biotechnology. 1331 H Street, Suite 900 Washington, DC, USA 20005. Phone: (202) 347-9044. Fax: (202) 347-9047. E-mail: [inquiries@pewagbiotech.org](mailto:inquiries@pewagbiotech.org). <http://pewagbiotech.org>
- Physicians for Social Responsibility. 1875 Connecticut Avenue, NW, Suite 1012 Washington, DC, USA 20009. Phone: (202) 667-4260. Fax: (202) 667-4201. E-mail: [psrnatl@psr.org](mailto:psrnatl@psr.org). <http://www.psr.org>
- Population Council. 1 Dag Hammarskjold Plz. New York, NY, USA 10017. Phone: (212) 339-0500. Fax: (212) 755-6052. E-mail: [pubinfo@popinfo.org](mailto:pubinfo@popinfo.org). <http://www.popcouncil.org>
- Project Eco-School. 881 Alma Real Drive, Suite 301 Pacific Palisades, CA, USA 90272.
- Public Citizen, Critical Mass Energy & Environmental Program. 1600 20th St. NW Washington, DC, USA 20009. Phone: (202) 588-1000. E-mail: [CMEP@citizen.org](mailto:CMEP@citizen.org). [http://www.citizen.org/cmep/foodsafety/food\\_irrad](http://www.citizen.org/cmep/foodsafety/food_irrad)
- Public Policy Center, National Cattlemen's Beef Association (Public Lands Council). 1301 Pennsylvania Avenue, NW, Suite 300 Washington, DC, USA 20004-1701. Phone: (202) 347-0228. Fax: (202) 638-0607. E-mail: [jcampbell@beef.org](mailto:jcampbell@beef.org). <http://hill.beef.org/files/fedlnds.htm>
- Puget Sound Water Quality Action Team. P.O. Box 40900 Olympia, WA, USA 98504-0900. Phone: (360) 407-7300. Toll Free: (800) 54-SOUND. [http://www.wa.gov/puget\\_sound](http://www.wa.gov/puget_sound)
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- Rachel Carson Council, Inc. P.O. Box 10779, Silver Spring, MD 20914. Phone: (301) 593-7507. E-mail: [rccouncil@aol.com](mailto:rccouncil@aol.com). <http://members.aol.com/rccouncil/ourpage/index.htm>
- Rails-to-Trails Conservancy. 1100 17th Street, 10th Floor, NW Washington, DC, USA 20036. Phone: (202) 331-9696. E-mail: [railtrails@transact.org](mailto:railtrails@transact.org). <http://www.railtrails.org>
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- Rainforest Alliance. 65 Bleecker Street New York, NY, USA 10012. Phone: (212) 677-1900. E-mail: [canopy@ra.org](mailto:canopy@ra.org). <http://www.rainforestalliance.com>
- Rainforest Foundaion Fund. 180 Varick Street, Suite 528, New York, NY, USA 10014. E-mail: [rfffund@rffny.org](mailto:rfffund@rffny.org). <http://www.rainforestfoundationfund.org>
- Rainforest Watch. 21 Pine St., Suite 400, San Francisco, CA, USA 94104. Phone: (415) 487-9600. Fax: (415)

- 487-9601. E-mail: [amazon@amazonwatch.org](mailto:amazon@amazonwatch.org). <http://www.amazonwatch.org>
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- Rechargeable Battery Recycling Corporation. 1000 Parkwood Circle, Suite 450 Atlanta, GA, USA 30339. Phone: (678) 419-9990. Fax: (678) 419-9986. E-mail: [corporate@rbrc.com](mailto:corporate@rbrc.com). <http://www.rbrc.org>
- Recycled Materials Resource Center. 220 Environmental Technology Building Durham, NH, USA 03824. Phone: (603) 862-3957. E-mail: [rmrc@rmrc.unh.edu](mailto:rmrc@rmrc.unh.edu). <http://www.rmrc.unh.edu>
- Renew America. 1200 18th Street, NW, Suite 1100 Washington, DC, USA 20036. Phone: (202) 721-1545. Fax: (202) 467-5780. E-mail: [renewamerica@counterpart.org](mailto:renewamerica@counterpart.org). [http://sol.crest.org/environment/renew\\_america](http://sol.crest.org/environment/renew_america)
- Renewable Natural Resources Foundation. 5430 Grosvenor Lane Bethesda, MD, USA 20814-2193. Phone: (301) 493-9101. Fax: (301) 493-6148. E-mail: [info@rnrf.org](mailto:info@rnrf.org). <http://www.rnrf.org>
- Resources for the Future. 1616 P Street NW Washington, USA 20036-1400. Phone: (202) 328-5000. Fax: (202) 939-3460. <http://www.rff.org>
- Restore America's Estuaries. 3801 N. Fairfax Drive, Suite 53 Arlington, VA, USA 22203. Phone: (703) 524-0248. Fax: (703) 524-0287. <http://www.estuaries.org>
- Riverkeeper, Inc. 25 Wing & Wing Garrison, NY, USA 10524-0130. Phone: (845) 424-4149. Fax: (845) 424-4150. E-mail: [info@riverkeeper.org](mailto:info@riverkeeper.org). <http://www.riverkeeper.org>
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- Rubber Manufacturers Association. 1400 K Street, NW Washington, DC, USA 20005. Phone: (202) 682-4800. E-mail: [info@rma.org](mailto:info@rma.org). <http://www.rma.org>
- Santa Barbara Wildlife Care Network. P.O. Box 6594 Santa Barbara, CA, USA 93160. Phone: (805) 966-9005. E-mail: [sbwcn@juno.com](mailto:sbwcn@juno.com). <http://www.silcom.com/~sbwcn/spill.htm>
- Save-the-Redwoods League. 114 Sansome Street, Room 1200 San Francisco, CA, USA 94104-3823. Phone: (415) 362-2352. Fax: (415) 362-7017. E-mail: [info@savetheredwoods.org](mailto:info@savetheredwoods.org). <http://www.savetheredwoods.org>
- Save the Whales. P.O. Box 2397 Venice, CA, USA 90291. E-mail: [maris@savethewhales.org](mailto:maris@savethewhales.org). <http://www.savethewhales.org>
- Save the Whales. P.O. Box 3650, Georgetwon Sta. Washington, DC, USA 20007. Phone: (202) 337-2332. Fax: (202) 338-9478. E-mail: [awi@animalwelfare.com](mailto:awi@animalwelfare.com). <http://www.awionline.org/whales/indexout.html>
- Sea Shepherd International. 22774 Pacific Coast Highway Malibu, CA, USA 90165. Phone: (310) 456-1141. Fax: (310) 456-2488. E-mail: [seashepherd@seashepherd.org](mailto:seashepherd@seashepherd.org). <http://www.seashepherd.org>
- Secretariat of the United Nations Commission on Sustainable Development. United Nations Plaza, Room DC2-2220 New York, NY, USA 10017. Phone: (212) 963-3170. Fax: (212) 963-4260. E-mail: [dsd@un.org](mailto:dsd@un.org). <http://www.un.org/esa/sustdev/csd.htm>
- Sierra Club. 85 Second St., Second Floor San Francisco, CA, USA 94105-3441. Phone: (415) 977-5500. Fax: (415) 977-5799. E-mail: [information@sierraclub.org](mailto:information@sierraclub.org). <http://www.sierraclub.org>
- Smithsonian Environmental Research Center. 647 Contees Wharf Road Edgewater, MD, USA 21037. Phone: (443) 482-2200. Fax: (443) 482-2380. <http://www.serc.si.edu>
- Society for Animal Protective Legislation. P.O. Box 3719 Washington, DC, USA 20007. Phone: (202) 337-2334. Fax: (202) 338-9478. E-mail: [sapl@saplonline.org](mailto:sapl@saplonline.org). <http://www.saplonline.org>
- Society for Conservation Biology. 4245 N. Fairfax Drive Arlington, VA, USA 22203. Phone: (703) 276-2384. Fax: (703) 995-4633. E-mail: [information@conservationbiology.org](mailto:information@conservationbiology.org). <http://conbio.net/scb>
- Society for Developmental Biology. 9650 Rockville Pike Bethesda, MD, USA 20814. Phone: (301) 571-0647. Fax: (301) 571-5704. E-mail: [ichow@faseb.org](mailto:ichow@faseb.org). <http://www.sdb.bio.purdue.edu>
- Society of American Foresters. 5400 Grosvenor Lane Bethesda, MD, USA 20814. Phone: (301) 897-8720. Fax: (301) 897-3690. E-mail: [safweb@safnet.org](mailto:safweb@safnet.org). <http://www.safnet.org>
- Soil and Water Conservation Society. 7515 NE Ankeny Road Ankeny, IA, USA 50021-9764. Phone: (515) 289-2331. Fax: (515) 289-1227. E-mail: [webmaster@swcs.org](mailto:webmaster@swcs.org). <http://www.swcs.org>

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Stratospheric Ozone Information Hotline, United States. Environmental Protection Agency 1200 Pennsylvania Avenue, NW Washington, DC, USA 20460. Phone: (202) 775-6677. Toll Free: (800) 296-1996. E-mail: [public-access@epa.gov](mailto:public-access@epa.gov). <http://www.epa.gov/ozone>

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## T

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Tennessee Valley Authority. 400 W. Summit Hill Dr. Knoxville, TN, USA 37902-1499. Phone: (865) 632-2101. E-mail: [tvainfo@tva.gov](mailto:tvainfo@tva.gov)

Texas Department of Health Bioterrorism Preparedness Program (TDH). 1100 West 49th Street Austin, TX, USA 78756. Phone: (512) 458-7676. Toll Free: (800) 705-8868. <http://www.tdh.state.tx.us/bioterrorism/default.htm>

Toxics Release Inventory. Phone: (202) 566-0250. E-mail: [tri.us@epa.gov](mailto:tri.us@epa.gov). <http://www.epa.gov/tri>

TRAFFIC East/Southern Africa—Kenya Ngong Race Course. P.O. Box 68200, Ngong Road Nairobi, Kenya. Phone: (254) 2 577943. Fax: (254) 2 577943. E-mail: [traffic@iconnect.co.ke](mailto:traffic@iconnect.co.ke). <http://www.traffic.org>

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## U

U.S. Bureau of Land Management, Office of Public Affairs. 1849 C Street, Room 406-LS Washington, DC, USA 20240. Phone: (202) 452-5125. Fax: (202) 452-5124. <http://www.blm.gov>

U.S. Bureau of Reclamation, Freedom of Information Act (FOIA) Office. P.O. Box 25007, D-7924 Denver, CO, USA 80225-0007. Phone: (303) 445-2048. Fax: (303) 445-6575. Toll Free: (800) 822-7646. E-mail: [borfoia@usbr.gov](mailto:borfoia@usbr.gov). <http://www.usbr.gov>

U.S. Department of Agriculture. Washington, DC, USA 20250. E-mail: [vic.powell@usda.gov](mailto:vic.powell@usda.gov). <http://www.usda.gov>

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U.S. Department of Labor, Occupational Safety & Health Administration. 200 Constitution Avenue Washington, DC, USA 20210. Toll Free: (800) 321-OSHA. <http://www.osha.gov>

U.S. Department of State. 2201 C. Street NW Washington, DC, USA 20520. Toll Free: (202) 647-4000. <http://www.state.gov/g/oes>

U.S. Department of the Interior. 1849 C. Street NW Washington, DC, USA 20240. Phone: (202) 208-3100. <http://www.doi.gov>

U.S. Department of the Interior, United States Geological Survey, Minerals Information. 988 National Center Reston, VA, USA 20192. Toll Free: (888) ASK-USGS (888-275-8747). E-mail: [jgambogi@usgs.gov](mailto:jgambogi@usgs.gov). <http://www.minerals.usgs.gov/minerals>

U.S. Department of Transportation. 400 7th Street NW Washington, DC, USA 20590. Phone: (202) 366-4000. E-mail: [dot.comments@ost.dot.gov](mailto:dot.comments@ost.dot.gov). <http://www.transportation.gov>

U.S. Fish and Wildlife Service. 1849 C. Street NW, Room 3331 Washington, DC, USA 20240-0001. Toll Free: (800) 344-WILD. <http://www.fws.gov>

U.S. Food and Drug Administration. 5600 Fishers Lane Rockville, MD, USA 20857-0001. Toll Free: (888) INFO-FDA. <http://www.fda.gov>

U.S. Geological Survey (USGS), Biological Resources Division (BRD), Western Regional Office (WRO). 909 First Ave., Suite #800 Seattle, WA, USA 98104. Phone: (206) 220-4600. Fax: (206) 220-4624. E-mail: [brd\\_wro@usgs.gov](mailto:brd_wro@usgs.gov). <http://biology.usgs.gov>

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U.S. Nuclear Regulatory Commission. Office of Public Affairs (OPA). Washington, DC, USA 20555. Phone: (301) 415-8200. Toll Free: (800) 368-5642. E-mail: [opa@nrc.gov](mailto:opa@nrc.gov). <http://http://www.nrc.gov>

- U.S. Public Interest Research Group (PIRG). 215 Pennsylvania Avenue Washington, DC, USA 20003. E-mail: [webmaster@pirg.org](mailto:webmaster@pirg.org). <http://pirg.org>
- UNEP World Conservation Monitoring Centre. 219 Huntingdon Road Cambridge, UK CB3 0DL. Phone: +44 (0)1223 277314. Fax: +44 (0)1223 277136. E-mail: [info@unep-wcmc.org](mailto:info@unep-wcmc.org). <http://www.unep-wcmc.org>
- UNEP-Infoterra/USA. MC 3404 Ariel Rios Building, 1200 Pennsylvania Avenue Washington, DC, USA 20460. Fax: (202) 260-3923. E-mail: [library-infoterra@epa.gov](mailto:library-infoterra@epa.gov)
- UNIDO—Climate Change/Kyoto Protocol Activities. New York Office New York, NY, USA 10017. Phone: (212) 963-6890. E-mail: [office.newyork@unido.org](mailto:office.newyork@unido.org). <http://www.unido.org/doc/310797.htmls>
- Union of Concerned Scientists. 2 Brattle Square Cambridge, MA, USA 02238-9105. Phone: (617) 547-5552. Fax: (617) 864-9405. E-mail: [ucs@ucsusa.org](mailto:ucs@ucsusa.org). <http://www.ucsusa.org>
- United Nations. New York, NY, USA 10017. <http://www.un.org>
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- United States Golf Association. P.O. Box 708 Far Hills, NJ, USA 07931-0708. Fax: (908) 781-1735. E-mail: [usga.org](mailto:usga.org). <http://www.usga.org/>
- University of Geneva. 24 Rue Rothschild Geneva, Switzerland. E-mail: [Jacques.Grinevald@iued.unige.ch](mailto:Jacques.Grinevald@iued.unige.ch). <http://www.unige.ch>
- University Leaders for a Sustainable Future. 2100 L Street, NW Washington, DC, USA 20037. Phone: (202) 778-6133. Fax: (202) 778-6138. <http://www.ulsf.org>
- Urban Ecology. 414 13th Street, Suite 500 Oakland, CA 94612. Phone: (510) 251-6330. Fax: (510) 251-2117. E-mail: [urbanecology@urbanecology.org](mailto:urbanecology@urbanecology.org). <http://www.urbanecology.org>
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- Izaak Walton League of America. 707 Conservation Lane Gaithersburg, MD, USA 20878. Phone: (301) 548-0150. Fax: (301) 548-0146. Toll Free: (800) IKE-LINE. E-mail: [general@iwla.org](mailto:general@iwla.org). <http://www.iwla.org>
- Water Environment Federation. 601 Wythe Street Alexandria, VA, USA 22314-1994. Phone: (703) 684-2400. Fax: (703) 684-2492. Toll Free: (800) 666-0206. E-mail: [csc@wef.org](mailto:csc@wef.org). <http://www.wef.org>
- Waterkeeper Alliance. 78 North Broadway, Bldg. E White Plains, NY, USA 10603. Phone: (914) 422-4410. Fax: (914) 422-4437. E-mail: [info@waterkeeper.org](mailto:info@waterkeeper.org). <http://www.waterkeeper.org>
- Western Watersheds Project (National Public Lands Grazing Campaign). P.O. Box 1770 Hailey, ID, USA 83333. E-mail: [andykerr@andykerr.net](mailto:andykerr@andykerr.net). <http://www.publiclandsranching.org>
- Wetlands International. P.O. Box 471, 6700 AL Wageningen, The Netherlands. Phone: +31 (0) 318-660910. Fax: +31 (0) 318-660950. E-mail: [post@wetlands.org](mailto:post@wetlands.org). <http://www.wetlands.org>
- Whale and Dolphin Conservation Society. P.O. Box 232 Melksham Wiltshire, UK SN12 7SB. Phone: +44 (0) 1225-354333. Fax: +44 (0) 1225-791577. E-mail: [webmaster@wdcs.org](mailto:webmaster@wdcs.org). <http://www.wdcs.org>
- The Wilderness Society. 1615 M St. NW Washington, DC, USA 20036. Toll Free: (800) 843-9453. E-mail: [member@twc.org](mailto:member@twc.org). <http://www.wilderness.org/standbylands/orv>
- Wildlife Conservation Society. 2300 Southern Boulevard, Bronx, NY, USA 10460. Phone: (718) 220-5100. <http://www.wcs.org>
- World Conservation Union Headquarters. Rue Mauverney 28 Gland, Switzerland 1196. Phone: +41 (22) 999-0000. Fax: +41 (22) 999-0002. E-mail: [mail@hq.iucn.org](mailto:mail@hq.iucn.org). <http://www.iucn.org>
- World Health Organization Regional Office. 525 23rd Street NW Washington, DC, USA 20037. Phone: (202) 974-3000. Fax: (202) 974-3663. E-mail: [postmaster@paho.org](mailto:postmaster@paho.org). <http://www.who.int>
- World Resources Institute. 10 G Street, NE, Suite 800 Washington, DC, USA 20002. Phone: (202) 729-7600. Fax: (202) 729-7610. E-mail: [front@wri.org](mailto:front@wri.org). <http://www.wri.org>
- World Wildlife Fund. 1250 24th Street, NW, P.O. Box 97180 Washington, DC, USA 20090-7180. Fax: (202) 293-9211. Toll Free: (800) CALL-WWF. <http://www.panda.org>. <http://www.worldwildlife.org>

Worldwatch Institute. 1776 Massachusetts Ave., NW  
Washington, DC, USA 20036-1904. Phone: (202)  
452-1999. Fax: (202) 296-7365. <http://www.worldwatch.org>

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**Y**

Yellowstone National Park. P.O. Box 168 Yellowstone  
National Park, WY 82190-0168. Phone: (307) 344-7381.  
E-mail: [yell\\_visitor\\_services@nps.gov](mailto:yell_visitor_services@nps.gov). <http://www.nps.gov/yell>

Yosemite National Park. P.O. Box 577 Yosemite National  
Park, CA 20240. Phone: (209) 372-0200. E-mail: [comments@yosemite.com](mailto:comments@yosemite.com). <http://www.yosemitepark.com>

Yucca Mountain Project. P.O. Box 364629 North Las  
Vegas, NV 89036-8629. Phone: (800) 225-6972 Fax:  
(702) 295-5222. <http://www.ymp.gov>

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**Z**

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