

# Climates & Weather Explained



**Edward Linacre and Bart Geerts**

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# CLIMATES AND WEATHER EXPLAINED

What do we mean by 'weather' and 'climate'? How do we account for them? Why is it necessary to understand meteorological processes to explain the climate? These are some of the questions dealt with in *Climates and Weather Explained*, a comprehensive introduction to the study of the atmosphere, integrating climatology and meteorology.

The book provides an entry to an understanding of the climate system, with clear explanations of basic principles, concepts and processes. It covers matters ranging from the origin of the atmosphere to the future of global climates. It is shown how patterns of evaporation, temperature, clouds, winds etc. arise from the weather and determine climates. The text is supported by a wealth of informative illustrations and a vast array of case studies demonstrating the relevance of the subject matter to everyday life.

There is a focus on the southern hemisphere, to redress a bias in existing literature, which concentrates on northern hemisphere conditions. This allows fresh insight into many topics. However, the universal nature of the basic information makes the book almost equally relevant to northern readers. Considerable attention is given to topics of quite general interest, such as global warming, natural hazards, the sustainable global population, agriculture, heat deaths, drought, windchill, weather forecasting, human comfort, and much else.

The book is supplemented by a novel feature—an attached interactive CD-ROM, which contains an enormous amount of additional material in greater detail and for more advanced study. This unique resource is closely related to each chapter of the book, with extensive cross-referencing and hypertext presentation. The CD-ROM contains valuable information for both student and teacher, as follows:

- over 170 scientific notes, with 40 additional illustrations and more tables
- application to northern climates
- a gallery of meteorological photographs
- an extended glossary, with key-word searching
- multi-choice questions (with answers)
- suggestions of essay topics and instructive experiments
- advice on writing and assessing scientific papers
- ideas on the teaching of topics in each chapter
- WWW addresses, a guide to further reading and a full bibliography.

Information is included for enabling the reader to navigate through the information, for print-out and download options, flexible access and user pathways.

A special *Instructors' Resource Pack* is also available from the publisher, containing an additional *Instructors' CD-ROM*.

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# CLIMATES AND WEATHER EXPLAINED

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# PREFACE

This book is intended to be useful, interesting and easily understood by means of five features:

- 1 It deals primarily with *general principles*, applicable anywhere in the world. They are explained in a straightforward text with 267 drawings and 66 tables, suitable for a beginner in this field of study.
- 2 The book is supplemented by 177 separate notes, 41 more drawings and 22 tables, containing material for the more advanced student, all on a CD-ROM. This includes recommendations for further reading, essay questions, numerical exercises for the student, suggestions for teachers of the subject, descriptions of simple experiments, and a full list of the literature used in writing the book. The contents of the CD-ROM are also available free on the World Wide Web at the following address: <http://www.atmos.uah.edu/~geerts/routledge.html/>
- 3 We have tried to *integrate meteorology and climatology* to an extent that is unusual for a textbook, though increasingly common in practice. The integration makes the book interdisciplinary, yet detailed enough to be suitable as an introduction to either discipline alone. This arrangement offers greater depth to geography students, and unusual breadth for students of meteorology, helping to bridge the customary gulf between the 'exact' and social sciences.
- 4 The book contains numerous cases of the *relevance* of weather and climate to ordinary life. These include agriculture, droughts, housing, human comfort and newly important subjects like skin cancer, climate change, and the effects of temperature on mortality.
- 5 Most examples are taken from the *southern hemisphere*, to complement other textbooks, which almost all concentrate on the northern half of the world. The south has no equal to the huge Eurasian and North American land masses. On the other hand, the greater area of ocean in the south leads to more evaporation and less variable temperatures, and the huge southern Pacific ocean is the scene of El Niño episodes. Also, synoptic weather patterns are smaller and more mobile, and there is less air pollution on the whole than in the northern hemisphere. The Antarctic continent is more extensive and elevated than the Arctic, so the South Pole is far colder, which indirectly explains why the ozone over the Antarctic vanishes each spring. Related to this are the powerful winds blowing from west to east across the southern oceans. Another difference concerns the motions of oceans and winds; they circulate in the opposite direction in the southern hemisphere. This applies to circulations in entire ocean basins, as well as to fronts, tropical cyclones, sea breezes, even thunderstorms. In brief, southern hemisphere weather and climates are quite distinct, though

few textbooks deal with this. Nevertheless, the principles and processes which are explained in this book apply equally to both halves of the world. So the book is useful to students in the northern hemisphere too.

The arrangement of the book is as follows. In Part I we discuss the atmosphere's origin, composition and structure (Chapter 1). In Part II there is a consideration of the energy flows in the atmosphere, from the Sun (Chapter 2), to the air and ground (Chapter 3), and that used in evaporation (Chapter 4). Finally, Chapter 5 deals with the interrelationships between these and other flows of energy.

Part III deals with the movement of water after it has evaporated, chiefly from the oceans. Evaporation (i.e., vapour formation) creates atmospheric humidity (Chapter 6), and temperature patterns in the air control the ascent of water vapour through the atmosphere (Chapter 7). As a result, clouds form (Chapter 8). Thereafter, various processes can occur within the clouds, notably the making of rain (Chapter 9). Then Chapter 10 outlines features of the precipitation. Much of it eventually flows back to the oceans, discussed in Chapter 11. Subsequently, there is evaporation again from the oceans, starting the next cycle of water through the atmosphere.

Part IV is concerned with the winds that govern our weather. The Sun's heating of the Earth's equatorial regions, especially, induces patterns of winds on a global scale (Chapter 12). Within those vast movements are smaller regional-scale circulations, discussed in Chapter 13. Then there are local winds (Chapter 14) on a yet smaller scale. All of these winds combine with radiation (Part II) and water (Part III) to determine the weather. This and the resulting climates are considered in Part V (i.e., in Chapters 15 and 16) to draw everything together. At each stage there is an emphasis on the interaction between the surface and the atmosphere.

Unfortunately, the apparent logic of this or any other order of topics is contrived, imposed on a subject of enormous complexity. In fact, items in Part V do not depend exclusively on those in Part IV, nor those in turn on Part III, for instance. On the contrary, some matters discussed in Part I, for example, depend on what is considered in later chapters, because the atmosphere is a single entity, any individual aspect of which affects all the rest. Indeed, it is one of our aims to help the reader to appreciate the complexity of the interrelationships. This is done by considerable cross-referencing. The first mention of each figure, table or note is shown by printing in bold type; the notes are in the accompanying disk.

Part I

AIR



# THE ATMOSPHERE

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## 1.1 INTRODUCTION

The title of this book implies two questions—what do we mean by ‘weather’ and ‘climate’, and how do we account for them? As regards definitions, ‘weather’ concerns the conditions prevailing during a particular few hours over a specified area which is perhaps 30 km across, whilst ‘climate’ is the atmospheric character of such an area, shown by records over thirty years or so. We are especially interested in the weather and climate near the surface, i.e. temperature, radiation, humidity, rainfall and wind. The difference between weather and climate is that the latter is the aggregate or composite of the weather. It involves the extremes and variability of the weather as well as average values: floods and droughts at a place do not create a good climate overall. However, any brief definition of climate needs to be greatly expanded to be satisfactory.

The short answer to the second question is that various atmospheric processes and surface features explain the weather, and that in turn explains the climate. Surface features include the latitude, elevation, landform, orientation

to the Sun and distance from the sea. Whether the surface is ocean, vegetated, ice, desert or densely populated is also important. The meteorological processes are numerous and interrelated, but can be grouped for convenience into four categories—(i) those concerning the air’s composition and structure, (ii) those involving the Sun’s energy, (iii) processes related to the transformations of water from liquid to vapour, cloud, rain and snow, and (iv) winds. These are dealt with respectively in Parts I to IV of the book.

Processes that affect the weather operate on various scales, from the microscopic scale of the formation of cloud droplets (discussed in Chapter 8) to the size of the Earth, e.g. the winds discussed in Chapter 12. This range of spatial scales applies to climates too (**Table 1.1**). For example, we can talk about changes of the Earth’s climate, or the climate of Australia, or differences between the climates of Wellington and Santiago, or those of the front and back gardens of a house. The climate of each these *domains* is influenced by the climate of the next largest, shown in Table 1.1, and also by the conditions actually within the domain. So, for

Table 1.1 Scales of climate

| Scale        | Domain    | Typical extent* | Relevant features of the atmosphere                   | Typical time † | Relevant chapters |
|--------------|-----------|-----------------|---|----------------|-------------------|
| Global       | Earth     | 20,000 km       | Solar radiation, ocean gyre, general circulation      | 1 year         | 2, 11, 12         |
| Synoptic     | Continent | 1,000 km        | Frontal weather, weather forecasting tropical cyclone | 4 days         | 13, 14, 16        |
| Mesoclimate  | Region    | 50 km           | Thunderstorm, sea-breeze, weather                     | 4 hours        | 9, 14             |
| Topoclimate  | Locality  | 5 km            | A thermal, cumulus cloud, rainfall                    | 1 hour         | 7, 8, 10          |
| Microclimate | Site      | 10 m            | Irradiance, evaporation, humidity, gusts              | 1 minute       | 2, 4, 6, 14       |

\*The representative horizontal extent

†A time characteristic of the features in the previous column

instance, a garden's *microclimate* is affected, firstly, by the suburb's *topoclimate* (i.e. the overall climate of the *locality*, determined by its elevation, nearness to the coast, etc.), and, secondly, by the site, e.g. the garden's wetness, shelter and slope towards the Sun.

In what follows, we shall consider examples of various scales of time and distance, with especial attention to the southern hemisphere, which consists largely of water (**Note 1.A**). We begin with the largest space, the atmosphere as a whole, and the span of time since the Earth began.

## 1.2 ORIGIN OF THE ATMOSPHERE

The Earth's present atmosphere is unique. The Moon and the planet Mercury are airless, whilst Venus and Mars have atmospheres consisting

almost entirely of carbon dioxide (**Table 1.2**). Even our own air was quite different in the earliest part of the Earth's history, as we shall see.

## History

Present evidence suggests that the Earth was formed about 4,500 million years ago (i.e. 4.5 giga-years before the present, written as 4.5 GaBP), and a transient *primary atmosphere* formed at the same time, consisting mostly of water vapour. That condensed to form the oceans at about 3.3 GaBP, and then the present *secondary atmosphere* arose, initially from gases released by 'outgassing', as the more volatile materials boiled off from the heavier components of the Earth's molten interior, escaping through fissures in the embryonic

Table 1.2 Comparison of the climates of three planets

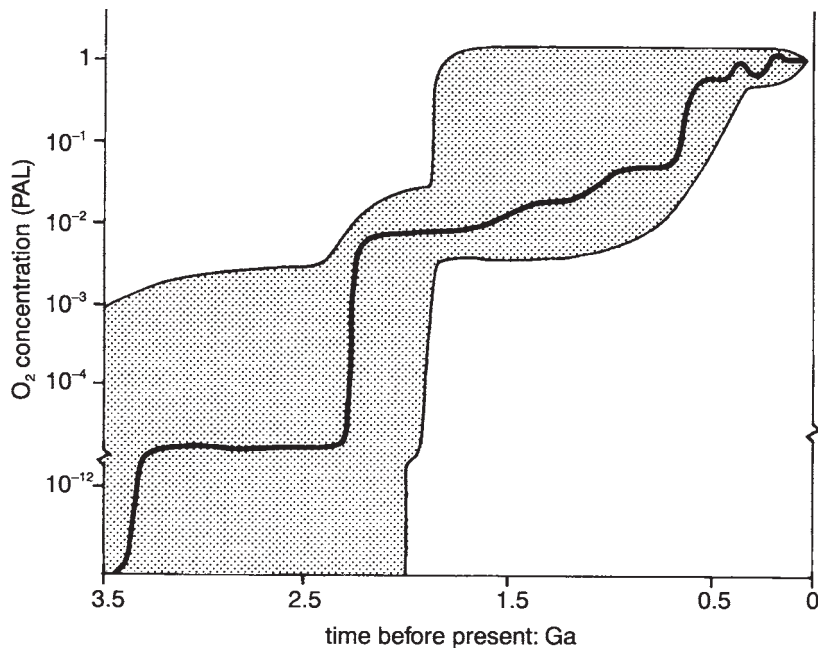
| Feature                          | Mars                 | Earth                | Venus                |
|----------------------------------|----------------------|----------------------|----------------------|
| Mean distance from the Sun       | $228 \times 10^6$ km | $150 \times 10^6$ km | $108 \times 10^6$ km |
| Approx. mean surface temperature | $-50^\circ\text{C}$  | $+12^\circ\text{C}$  | $+460^\circ\text{C}$ |
| Main gas in atmosphere           | 95% carbon dioxide   | 78% nitrogen         | 97% carbon dioxide   |
| Surface pressure                 | 6 hPa                | 1,013 hPa            | 90,000 hPa           |
| Gravitational acceleration       | $3.8 \text{ m/s}^2$  | $9.8 \text{ m/s}^2$  | $8.8 \text{ m/s}^2$  |

crust or, latterly, by way of volcanoes. Volcanic gases may consist of 80 per cent water vapour, 12 per cent carbon dioxide, 6 per cent sulphur dioxide, for instance, with small amounts of other gases but no free oxygen. The original lack of the oxygen which now comprises just over a fifth of today's surface air, and makes our present atmosphere so distinctive amongst planets, is demonstrated by rocks with grey-green *unoxidised* iron sulphide, until 1.9 GaBP. Significant amounts of oxygen subsequently are indicated by abundant rocks coloured brown or red by *oxidized* iron. Thereafter the amount of oxygen increased, as indicated in **Figure 1.1**.

It remains uncertain how free oxygen first came about. There were probably several mechanisms. One involves *photolysis*, the splitting of molecules of the volcanic water vapour by solar radiation, thus forming separate hydrogen and oxygen atoms. Hydrogen is light

and relatively mobile, and so escaped from the Earth's gravity into space, whilst the oxygen remained. That oxygen would absorb precisely the radiation responsible for its formation by photolysis, so that less and less could form. Such an automatic limitation of further oxygen creation is sometimes called the *Urey effect*. It would result in an oxygen concentration less than 0.3 per cent of present levels, unless there were other processes creating oxygen.

Further creation depended on *photosynthesis*, which occurs in some bacteria and in the leaves of plants (**Note 1.B**). It is the basic process of plant life and involves the combination of carbon dioxide, water and sunlight energy, to form oxygen and kinds of *carbohydrate*, the building-block of plant tissue. Photosynthesis within blue-green algae may have occurred from 3 GaBP, and green-plant photosynthesis from about 2 GaBP. The outcome was an oxygen concentration of about 1 per cent of that now,



*Figure 1.1* Estimates of the maximum and minimum possible concentrations of oxygen at various times, as a fraction of the PAL. PAL stands for 'present atmospheric level'. The bold line is a suggested best estimate.



by 1.6 GaBP. Until that time, most of the oxygen released by photosynthesis was captured by unoxidised iron and dissolved in the sea.

The creation of oxygen accelerated with the evolution of plant *respiration* (Note 1.B), allowing increasingly complicated vegetation, suited to a wider range of environments. The increased area of plants raised oxygen concentrations rapidly, to about 10 per cent of present levels by 1 GaBP (Figure 1.1).

Initially, the lack of oxygen meant that the Sun's sterilising ultra-violet radiation (see Chapter 2) could reach to ground level, preventing the development of any life in exposed situations. As a consequence, life forms could exist only under at least 10 metres of water. Subsequently, life in ever shallower depths became possible as the concentration of atmospheric oxygen rose and protected the living tissue. The shallowness allowed better access to the air's carbon dioxide, needed for photosynthesis, and so yet more oxygen formed. In fact, the atmosphere appears to have had enough oxygen to allow life on land by 0.4 GaBP. As a result, another rapid acceleration of the evolutionary process took place, with an increase of oxygen to present levels by maybe 0.3 GaBP, i.e. 300 million years ago.

## Plant Life

Plants and the atmosphere have greatly affected each other, and still do. For example, fire would destroy most vegetation if the oxygen content of the atmosphere were to increase from the present 20 per cent to be as high as 25 per cent. Then the reduced plant-life would result in decreased oxygen production, restoring the *status quo*. Conversely, if the oxygen concentration went down because of more carbon dioxide, the latter increase would accelerate photosynthesis, increasing the vegetation and hence oxygen creation, so that once again the *status quo* would be re-established. In other words, the oxygen

concentration appears to be held steady by the vegetation, automatically.

The interaction of vegetation and climate can be considered in terms of the *Gaia hypothesis*, first advanced by James Lovelock in 1972. He regarded the climate as part of a self-regulating global system, called Gaia, after a Greek Earth-goddess. The system includes living things and the environment, and these evolve in mutual interaction, in a way that appears to optimize conditions for living things. However, any regulatory ability of Gaia is now challenged by human activities such as air pollution, soil erosion, fossil-fuel burning, damming rivers, deforestation, acid rain and damage to the ozone layer. Most of these are discussed later in the book.

## 1.3 COMPOSITION OF AIR

Air is a mixture of various gases added together. It also contains water vapour, dust and droplets, in quantities which vary with time, location and altitude.

Samples collected by balloon as early as 1784 showed the uniformity of air's composition up to 3 km. Later measurements have confirmed that the air up to 80 km or so consists chiefly of nitrogen and oxygen in almost constant proportions, forming a well-mixed layer called the *homosphere*, within which only the amount of water vapour and ozone vary. But the ratio of oxygen to nitrogen at 300 km is twelve times what it is at sea-level. Beyond about 600 km there is a preponderance of helium and then hydrogen, the lightest gas. Gases at those heights exist mostly as isolated atoms, rather than molecules of linked atoms.

If the components of a litre of surface air were separated, 0.781 litres (i.e. 781 millilitres, 781 mL or 78.1 per cent by volume) would be occupied by nitrogen, a colourless, tasteless, odourless gas. The proportion is slightly lower if masses are considered instead of volumes,

*Table 1.3* The proportions of dry air within the homosphere taken up by component gases, apart from traces of other gases such as neon, helium, krypton, hydrogen and xenon, which are mostly inactive chemically

| <i>Gas</i>     | <i>Proportion by volume (%)</i> | <i>Proportion by mass (%)</i> |
|----------------|---------------------------------|-------------------------------|
| Nitrogen       | 78.1                            | 75.5                          |
| Oxygen         | 21.0                            | 23.1                          |
| Argon          | 0.93                            | 1.28                          |
| Carbon dioxide | 0.035, approx                   | 0.053, approx                 |

because the densities of nitrogen and oxygen differ (**Table 1.3**). Volume proportions are usually of more importance, in practice.

In addition to the gases shown in Table 1.3, air near the ground holds substantial amounts of water vapour according to location and season. At the equator there may be 2.6 per cent by volume of water vapour, while colder air (e.g. at 70 degrees latitude or on high mountains) might have less than 0.2 per cent. (This is explained in Chapter 4 and discussed further in Chapter 6.) Incidentally, the density of water vapour is less than that of nitrogen, and therefore less than that of air as a whole (**Note 1.C**).

## Carbon Dioxide

Only about one molecule out of each 3,000 or so molecules of air consists of carbon dioxide. That is much less than on nearby planets (Table 1.2). Despite its small concentration, it is important because of the effects of carbon dioxide on photosynthesis and on global temperatures (Chapter 2), and the fact that the concentration is increasing. Analysis of bubbles of air trapped in Antarctic ice down to 2 km depth suggests that there were about 200 parts per million by volume (ppmv), 160,000 years ago. This increased to 270 ppm by 130,000 BP, falling to 210 ppm again by 18,000 BP, when the last Ice Age was at its most extreme (Chapter 15). There was a subsequent global warming and around 10,000 BP the concentration had

risen to 275 ppm, where it remained until the middle of the nineteenth century, prior to industrialisation. It is now over 350 ppm and increasing at an accelerating rate (**Figure 1.2**), so that 600 ppm may well be exceeded in the next century (Chapter 15). The atmosphere already holds about 2.7 million million tonnes (i.e. 2,700 gigatonnes, written as 2,700 Gt) of CO<sub>2</sub>, containing 740 gigatonnes of carbon, written as 740 GtC. There is almost 60 times as much carbon dioxide in the oceans, which readily dissolve it, especially in the cold water near the poles (**Figure 1.3**).

Even the world's human population adds about 0.4 GtC to the atmosphere annually by exhaling; about 5 per cent of what we breathe out is carbon dioxide. However, the main sources of carbon dioxide in human society are industry, power generation, heating and transport, due to the combustion of the carbon in wood, oil, coal and natural gas. Emissions are chiefly in the northern hemisphere, but concentrations are uniform around the world because the time taken to circulate the gas in global winds (Chapter 12) is much less than the time it remains in the atmosphere (**Note 1.D**).

One way of reducing the amount of CO<sub>2</sub> is repeatedly to grow trees for felling, and then to lock up the carbon by using the wood in permanent structures like housing. A hectare of mature *Pinus radiata* in New South Wales (NSW) takes about 11 tonnes of carbon from the atmosphere annually. That means that continually planting and harvesting 10 million hectares (i.e. 1.3 per cent of Australia's area)

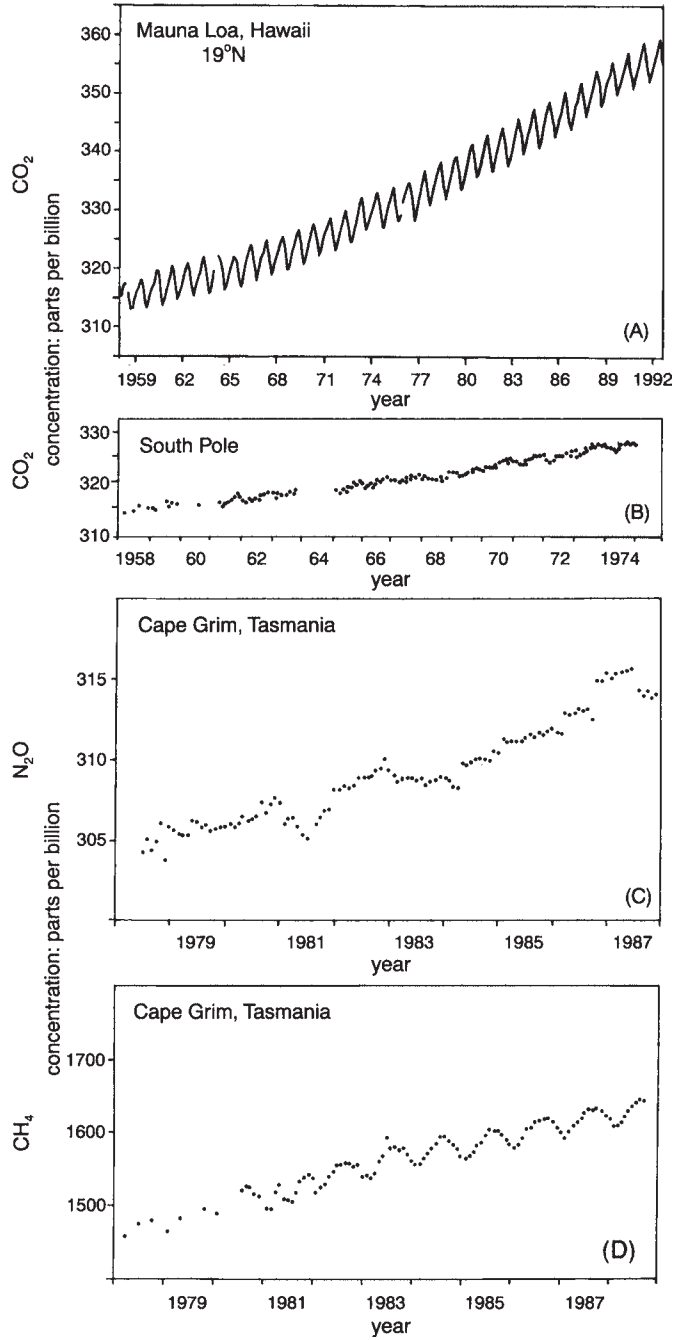
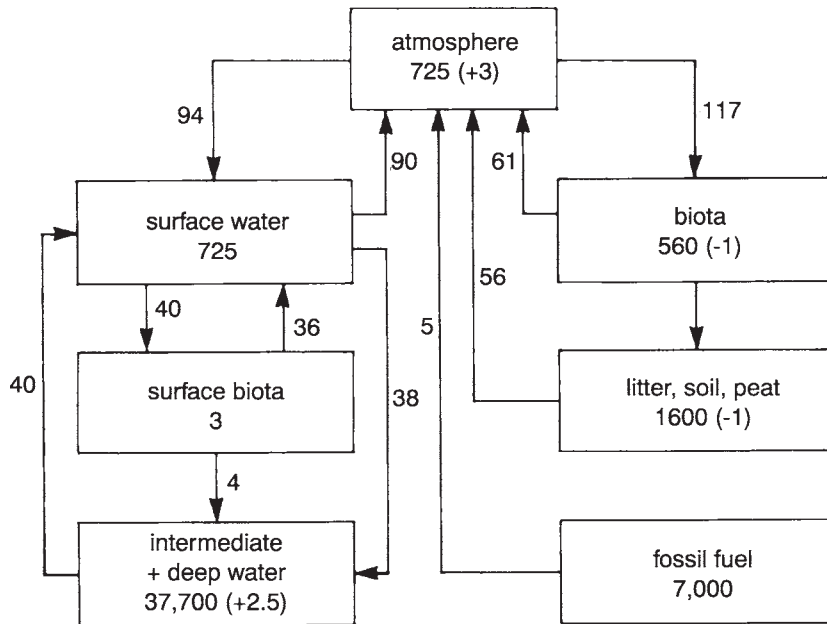


Figure 1.2 Changes of concentrations of (a) carbon dioxide in the atmosphere, measured on a mountain in Hawaii, (b) carbon dioxide measured at the South Pole, (c) nitrous oxide measured in north-west Tasmania and (d) methane in Tasmania.



*Figure 1.3* Estimates concerning the global carbon cycle. The diagram shows the approximate amounts stored at each stage in the cycle (in gigatonnes of carbon, i.e. GtC), and the annual transfer of carbon in the carbon dioxide moving between stages (GtC/a), and the resulting annual change of storage, in brackets. Inequality in some stages between (a) the change of storage, and (b) the difference between inputs and outputs, is due to the still approximate nature of the data.

would extract 0.11 Gt of carbon annually, which is only about half as much as the country's mining of carbon as coal in 1992–93. Old-growth forests, on the other hand, make little contribution to removing carbon from the air, being in equilibrium, giving off as much carbon dioxide in decay as is absorbed in growth. So the huge forest of the Amazon basin, for instance, does not help, except in a negative sense; its continuation prevents the liberation of considerable carbon dioxide which occurs in *destroying* a forest.

The carbon dioxide concentration in the air varies in time. It may rise during the early morning to 40 ppm above the daily mean at 10 metres height within a forest, and fall to 15 ppm below in the afternoon, because of photosynthesis locally. There is also an annual swing, with a decrease at midlatitudes of about 5 ppm at midyear, due to

plant growth in the northern hemisphere summer, and a similar increase at year end due to the decay of foliage from deciduous plants there (Figure 1.2). The seasonal variation is only about 2 ppm at the South Pole (Figure 1.2), on account of much less vegetation in the southern hemisphere, and considerable mixing of the atmosphere across the equator.

Carbon dioxide is one of the 'greenhouse gases' affecting world temperatures, discussed in the next chapter. Other such gases include methane, denoted by  $\text{CH}_4$  in Figure 1.2. It comes from rice fields (for the swelling human population), swamps, the flatulence of cows, and leakage from natural-gas pipelines and coalmines, for instance. Present emissions amount to about half a gigatonne of methane annually, of which 6 per cent accumulates in the air. The methane concentration was less than 700 parts per billion

until three centuries ago, but has now more than doubled (Figure 1.2). There is much less methane than carbon dioxide, but it is about fifty times as effective in causing global warming, so that the annual augmentation is equivalent to about 0.8 Gt/a (i.e.  $0.5 \times 0.06 \times 26$ ) of CO<sub>2</sub>, or 0.2 Gt/a of carbon. Similarly, there are rising concentrations of nitrous oxide (N<sub>2</sub>O) and chlorofluorocarbons (CFCs), but reduced ozone (Section 1.4). Emissions of N<sub>2</sub>O are increasing by 0.3 per cent annually, partly from the increased use of certain agricultural fertilisers, and the burning of timber. The combined warming potential of these various gases has become comparable with that of the carbon dioxide.

### Circulations of Gases

It is important to realise the dynamic character of the atmosphere's composition. The total amount remains more or less unchanged, but only because the quantity entering almost equals what leaves. Individual atoms of carbon in carbon dioxide, for example, continually go through a cycle of change: the gas becomes part of plant tissue, with subsequent respiration by plants or animals, maybe solution in ocean water and then escape back into the atmosphere, as indicated in Figure 1.3. The time that a molecule spends as a gas is described in terms of its *atmospheric residence time*, the average period between a molecule entering and leaving the air (Note 1.D). Figure 1.3 shows how the difference between the annual amounts entering and leaving a stage equals the increment of storage there. (Such an equality is called a 'mass balance', mentioned in Chapter 5.) Similar diagrams of *bio-geo-chemical cycles* can be constructed for other components of air. These cycles are interrelated, e.g. the cycle of carbon meshes with that for oxygen, at the carbon dioxide stage.

A complete cycle of a carbon molecule may take several years, whereas nitrogen takes 10

million years for a cycle of *fixation* and *electrification*. 'Fixation' is the conversion of nitrogen into nitrates (chemicals containing both nitrogen and oxygen) by lightning or industrial processes, and by organisms in the soil or oceans. On the other hand, 'denitrification' is the liberation of nitrogen from decaying organic matter back into the air. The fixation-nitrification loop is supplemented by another involving the simple dissolving of nitrogen in the oceans and its subsequent release back into the atmosphere. It exists there as a stable constituent of the air (Table 1.3).

Similarly, there is a cycle of water (the *hydrologic cycle*), which involves evaporation, the formation of cloud, precipitation, stream flow, absorption into the oceans and then evaporation to form water vapour once more. This is discussed in detail in Chapters 6–11.

### 1.4 OZONE IN THE UPPER ATMOSPHERE

Another atmospheric gas is *ozone*, a form of oxygen in which each molecule consists of three atoms instead of the usual two. Ozone within the lowest 2 km of the atmosphere results from air pollution and is dangerous to health (Chapter 14). But it occurs also at 15–40 km above sea-level, where it protects us from the Sun's ultra-violet rays (UV), and its current depletion by about 4 per cent per decade is a matter of great concern (Chapter 2).

The upper ozone is formed chiefly in the summer hemisphere and over the equator, where solar radiation is strongest, and then it circulates towards the poles. The highest concentrations are found at latitudes above 50 degrees, but even there they are less than one thousandth of a gram in each cubic metre, representing about one hundred-thousandth part of the air. If all the ozone in the atmosphere were separated out at sea-level, the layer would be only 3 mm thick. Nevertheless, that small amount is important

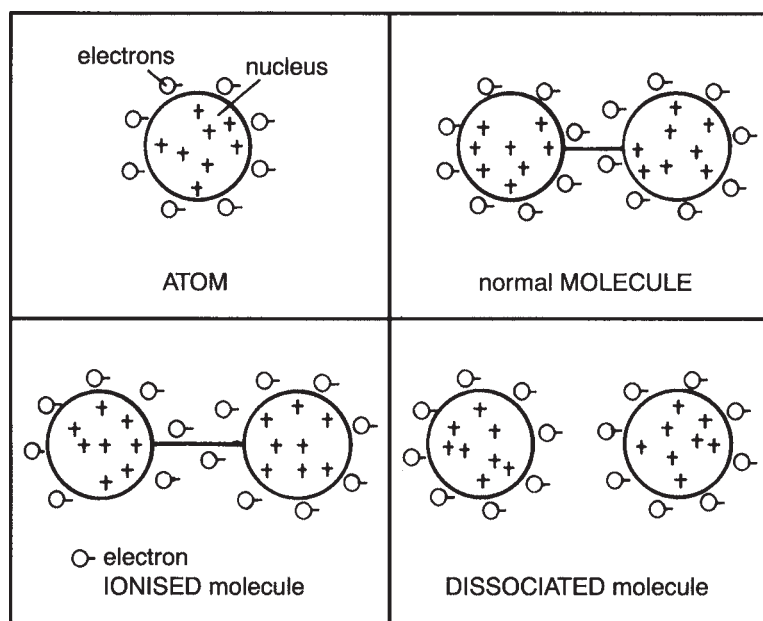
because it shields us from UV, which inhibits photosynthesis and is dangerous to health. In addition, the absorption of the UV leads to warming of the upper stratosphere, discussed in Section 1.8 and Chapter 2.

## Processes

The formation of upper-atmosphere ozone involves *photo-dissociation* of normal oxygen. The molecules are split into pairs of separate single atoms by the impact of UV (**Figure 1.4**), as explained by Sydney Chapman in 1930. These single atoms can subsequently collide and combine with other normal oxygen molecules  $O_2$ , to create ozone  $O_3$ . The interaction of the UV and oxygen happens most around 40 km,

because there is too little photo-dissociation at *lower* levels, where the UV has been attenuated by the gases already traversed, whilst the thin air at *higher* levels contains insufficient oxygen for the collisions of single atoms and normal molecules needed to form ozone.

The upper ozone is eventually destroyed, either by photo-dissociation back into normal oxygen and a single atom, or by reactions with either particles and droplets in the air, the ground or various pollutant gases. The gases of special importance nowadays include chlorofluorocarbons (i.e. CFCs) from spraycans, refrigerators and from making some insulation foams of plastic. CFC concentrations are very small (Note 1.D), yet the reactions are *catalytic*, i.e. the same CFC molecule can eventually destroy an infinite number of ozone molecules (**Note 1.E**). The



*Figure 1.4* Various arrangements of the smallest units of oxygen, for example, showing the processes of *ionisation* (separation of electrical charges) and *dissociation* (separation of atoms). Ionisation requires bombardment by high-energy cosmic radiation (Section 1.7), whereas dissociation is caused by solar-radiation energy of particular wavelengths. In the case of oxygen, each atom includes eight positive *protons* (shown by the positive signs in the nucleus) balanced by eight negative electrons. *Atoms* normally combine to form a *molecule*, e.g. oxygen molecules ( $O_2$ ) consist of two atoms, whereas ozone is represented by  $O_3$ .

concentration of CFCs in the atmosphere rose rapidly after about 1970, and the increase has been matched by a decline of October ozone at the South Pole (**Figure 1.5**). The increase is now slowing down as a result of cuts in CFC production since an international agreement in Montreal in 1987, and should reverse at the start of the next century. Unfortunately, the CFCs already existing will take decades to disappear (Note 1.D). Also, they are not the only man-made gases in the atmosphere which can attack the ozone layer. There are increasing amounts of methyl bromide (a fumigant) and various nitrogen oxides (especially nitrous oxide,  $N_2O$ ) from agricultural activities, from supersonic transports at an altitude of about 20 km, from atom-bomb clouds and from combustion at the ground. The various gases facilitate ozone destruction by acting as catalysts in the presence of clouds of ice crystals formed by winter's cooling of the upper air (Note 1.E). The ozone layer is also damaged by sulphurous dust from major volcanic eruptions, e.g. the great eruption of Pinatubo in the Philippines in June 1991 and the smaller one of Cerro Hudson in Chile in August were followed in September by 10 per cent less than normal ozone above McMurdo at 78°S in Antarctica.

## Variations

The amount of Antarctic ozone varies from day to day by as much as 30 per cent, as a result of changing weather, so it is advisable to consider monthly averages. These are found to be least during October–December, the southern spring. The reason is that then there is both sufficient cloud from the previous winter and enough solar radiation (as summer approaches) for the ozone-destruction reaction described in Note 1.E. Later, the ozone layer refills, as increasing summer warmth evaporates the ice-crystal clouds and increasing radiation creates more ozone in the southern hemisphere.

The annual polar depletion of ozone was first appreciated in 1985, and subsequently confirmed by re-examination of disregarded measurements since 1971. Since then, there has been a growing enlargement of the extent and duration of the hole (Figure 1.5) with corresponding alarm about increased UV concentrations at ground level. Observations in Antarctica in October 1993 showed a total absence of ozone at 14–19 km, for the first time.

A similar but less dramatic annual thinning of the ozone layer has been found more recently over the North Pole too, with an accompanying increase of springtime UV in Canada, for instance. The difference between conditions at the two poles is due to the lower temperatures in the south (Chapter 16) and the greater intensity of a vortex of upper winds around the South Pole in winter (Chapter 12). The vortex excludes ozone coming from the equator.

There has been some evidence of a two-year fluctuation of the thinning of the ozone layer, which might be related to the Quasi-Biennial Oscillation (Chapter 12).

## 1.5 ATMOSPHERIC PRESSURE

The various gases of the air together amount to a layer around the Earth which appears from the Moon to be no thicker than the skin on an apple. But this mass of air is attracted by the Earth's gravity and so has a considerable weight. The weight of air in a room 3 m×4 m×6 m at sea-level is approximately the weight of an adult. The result is that the air exerts what is called *barometric pressure*. At sea-level it is approximately 10 tonnes (i.e. 10,000 kg) on each square metre of surface. This means a pressure of about  $10^5$  kg/m.s<sup>2</sup>, i.e. 100,000 Pascals. Such a pressure is more usually referred to as 100 kilopascals, or as 1,000 hectopascals (written as 1,000 hPa) in the units used by meteorologists, equal to the older units of millibars (**Note 1.F**). The pressure due to the weight above is exerted

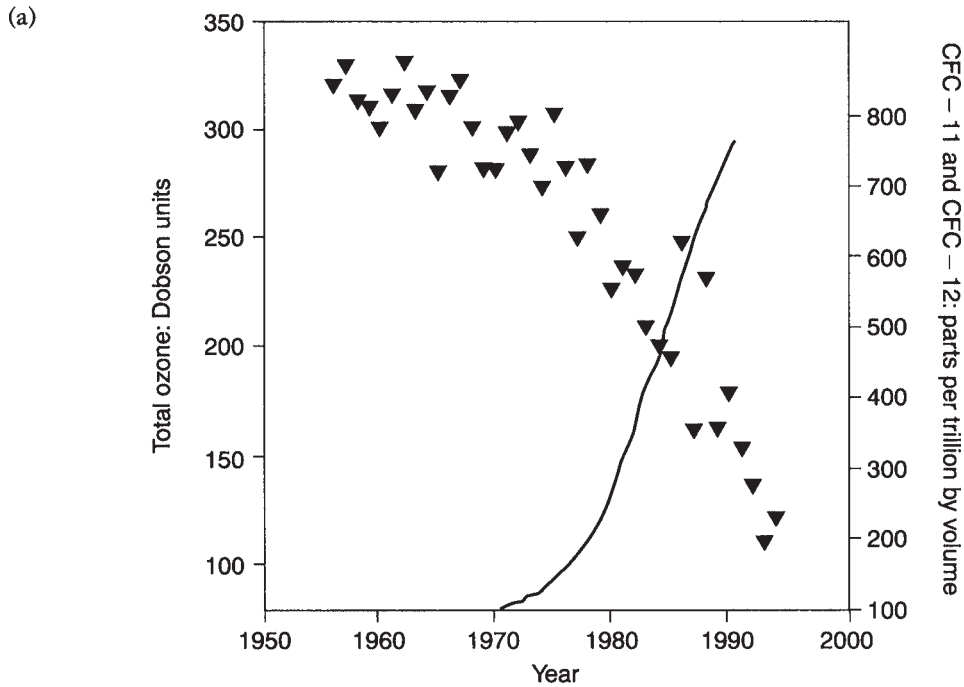


Figure 1.5(a) The simultaneous changes of ozone in Octobers over Halley Station in Antarctica (Chapter 16) and of the CFC content in the global atmosphere. A 'Dobson unit' is the thickness of a layer of ozone, assuming it has all been separated out and lowered to sea-level; one unit is equivalent to a layer 0.01 mm thick. The solid line shows the change of CFC concentration.

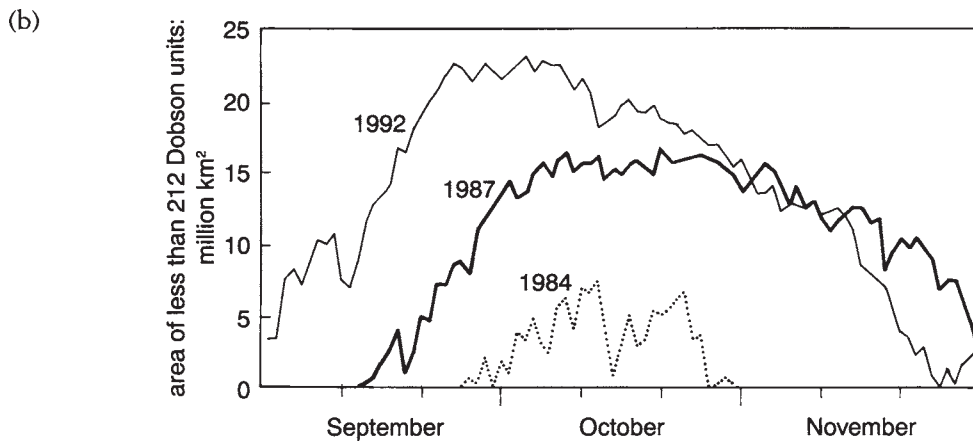


Figure 1.5(b) Changes of the area where there were less than 212 Dobson units of ozone.



(c)

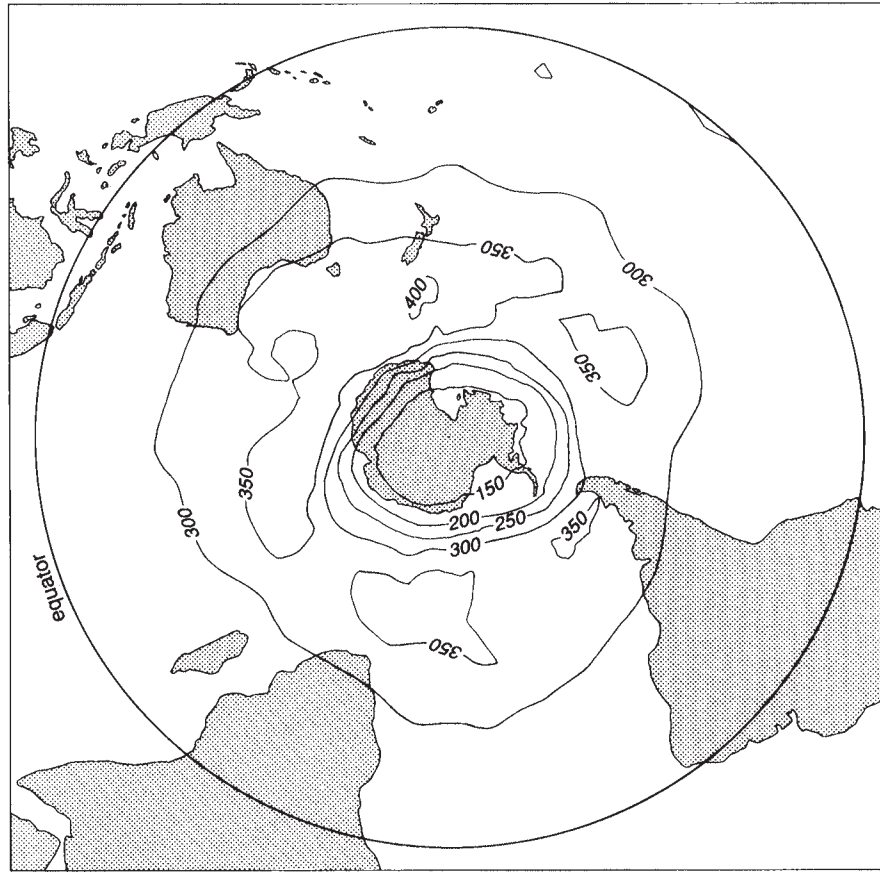


Figure 1.5(c) Dobson units of ozone in October 1993, measured from the Russian satellite Meteor-3.

in all directions; an airtight box that is evacuated is pressed inwards on all sides, not just the top.

It is important to grasp the differences between pressure, mass, density and weight. They are discussed in Note 1.F. Pressure is important in meteorology, because its measurement tells us how much air there is above. A fall of pressure shows a net loss from the entire air column above, indicating a wind outwards. Differences between pressures at various places indicate the direction and strength of the wind (Chapter 12). There are also seasonal variations of surface pressures, and departures from the normal annual cycle imply unusual

temperatures aloft, for instance, which are relevant to weather forecasting (Chapter 15).

### Measurement

There are several ways of determining the barometric pressure. It used to be measured most commonly with a mercury barometer, with a column of mercury (**Figure 1.6**) replacing a similar device using water, invented by Evangelista Torricelli in 1643. (Mercury is 13.6 times more dense than water and as a result a more convenient column only about 760 mm

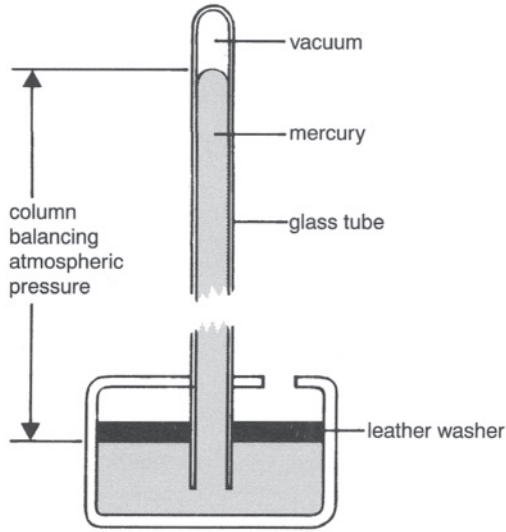


Figure 1.6 A mercury barometer, in which the atmospheric pressure on the leather washer is balanced by that exerted by the column of mercury.

tall is needed to measure a typical sea-level atmospheric pressure, instead of about 10 m of water.) The mercury is surmounted by a vacuum, inside a vertical tube sealed at the top. Thus, only the weight of the mercury presses down and is balanced by the atmospheric pressure, so the height of the column is a measure of that pressure. This kind of barometer should be mounted on a solid wall in a place of constant temperature, with protection from sunlight and damage, but with good light.

The *aneroid barometer* (i.e. one without liquid) is more usual nowadays. It was invented in principle by Gottfried Leibniz around 1700, and constructed by Lucien Vide in 1843. In this instrument, the pressure being measured is opposed by the elasticity of a partially evacuated metal cylinder, and the movement of the ends of the cylinder is amplified by levers to show any pressure change (Figure 1.7). Aneroid instruments have the advantages of lightness, portability, fast response to rapid changes of pressure and easy adaptation to the recording

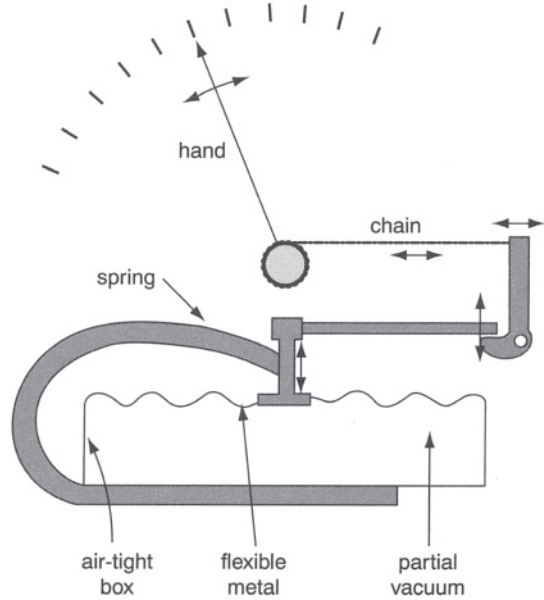


Figure 1.7 The operation of an aneroid barometer. A partially evacuated box with a flexible end is attached to an indicator arm. An increase of external atmospheric pressure compresses the top of the box and moves the arm.

of data. On the other hand, they do need regular calibration. Both types of barometer can measure pressure changes as small as 0.3 hPa, corresponding to an altitude change of about 3 m near sea-level. As a rule-of-thumb, the pressure drops by approximately 1 hPa per 10 metres elevation near sea-level (**Note 1.G**).

Air pressure varies horizontally also (and we shall be concerned with that in Chapters 12 and 13) but there are much greater variations with height. (The distinctions between 'height', 'altitude' and 'elevation' are detailed in **Note 1.H**.) To determine the much smaller horizontal differences of pressure which are important in meteorology, it must be expressed in a standard manner. This is usually done in terms of the pressure at the mean level of the sea, after averaging tidal fluctuations over a few years. That pressure is called the Mean Sea-Level Pressure (i.e. the MSLP) of the atmosphere.

Deriving it necessitates correcting surface measurements of pressure for the effects of height (Note 1.G) and making small adjustments for the latitude, gravity, temperature and the time of day. For instance, a measurement of 850 hPa at Johannesburg (at 1,665 m altitude) implies about 1,017 hPa at sea-level (i.e.  $850 + 1,665 / 10$ ).

## Values

As air pressure depends on the amount of air above, pressing down under the influence of gravity, the highest average values occur in sunken valleys like that of the Dead Sea in Israel, which is 395 m below sea-level. In terms of equivalent sea-level pressure, the highest yet recorded (1,084 hPa) occurred during a winter in Siberia. At the other extreme, a value of only 870 hPa was encountered in the middle of a tropical cyclone in the Pacific ocean (Chapter 13). More typical values are around the global average of 1,013 hPa. For instance, half the measurements at Sydney lie within 1,004–1,014 hPa.

The latitudinal variation of *average* pressures (**Figure 1.8**) is connected with the pattern of global winds discussed in Chapter 12, e.g. the steep change of pressure between 35°S–65°S is

related to the strong westerly winds between those latitudes. More locally, daily or hourly changes of pressure by only a few hectopascals are important in determining the winds and weather (Chapters 13–15).

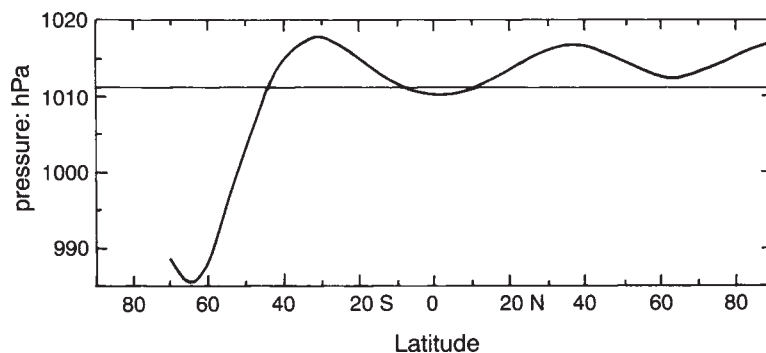
The pressure at sea-level is normally about 1,013 hPa, but only about 700 hPa at 3 km elevation, where there is less air above. That is why your ears ‘pop’ when you are driving up or down a big hill. The barometric pressure at the top of Mount Everest (8,848 m), and hence the air density and oxygen concentration (per unit volume) are only about a third of what they are at sea-level (Note 1.G). Other effects of low pressures at high elevations are considered in **Note 1.I**.

## 1.6 ATMOSPHERIC TEMPERATURE

### Measuring Temperatures Aloft

Measurements on mountains, with kites and from manned balloons (since the Montgolfier brothers’ ascent in 1783) show that not only does the pressure fall with increasing elevation, but the temperature does too.

The change of air temperature with height, i.e. the *temperature profile* is nowadays measured in three principal ways. The most



*Figure 1.8* Variation with latitude of the annual-average mean-sea-level pressure. The curve shows the *meridional profile* (i.e. variation with latitude) of *zonal means* (i.e. averages of places at the same latitude but different longitudes).

common method since 1930 is by means of a *radiosonde*. This is a balloon-borne instrument package invented by Pavel Molchanov (1893–1941). It rises at about 6 m/s, transmitting a radio signal of the surrounding temperature, humidity and pressure as it goes. (The pressure and temperature values are used to indicate the altitude of the measurements.) Something like a thousand radiosondes are launched once or twice daily around the world. The ascending rubber balloon expands in the low pressures and is made brittle by the low temperatures, so that eventually it bursts at an altitude of perhaps 20 km. The package then descends by parachute. Some meteorological services encourage people to look for sondes which have come down and mail them back for a reward, so that they can be re-used.

A second source of information about temperatures has become available recently. Passengers on long-distance flights are periodically shown the current altitude of the plane and also the temperature outside, so that one could plot an instructive graph of temperature against height as the plane rises to cruising level, and descends from it.

In addition, meteorological satellites are nowadays used to determine the temperature profile. This is done by measurement of the amount of radiation of various wavelengths emitted by molecules of either carbon dioxide or oxygen at each level in the atmosphere, as explained in Chapter 2.

## Lapse Rates

The change of temperature with height is called the *lapse rate*. A *positive* lapse rate represents the normal condition with *cooler* air above, the temperature *falling* with increased height. The opposite, a negative lapse rate (ie the temperature increases with height) is called an *inversion* (Chapter 7). Temperature profiles show the *actual lapse rate* (or *environmental lapse*

*rate*) at each level, i.e. the tangent to the profile at that level.

Typical profiles in **Figure 1.9** show positive lapse rates at most places in the lowest 10 km or so, and we will see in Chapter 7 that positive lapse rates promote convection and turbulence. This churning has led to the layer being called the *troposphere*, from the Greek word ‘tropos’, meaning to turn or change. The layer contains about 80 per cent of the mass of air and almost all the clouds. It is deeper in the tropics (about 17 km) than in temperate climates, where it is higher in summer (about 12 km) than winter (10 km), though there are also significant changes from day to day, e.g. from 8 km to 14 km. Convection is rare at the poles, where the surface is so cold and consequently the troposphere is relatively shallow at high latitudes, especially during the polar winter.

A surprising consequence of the temperature patterns in Figure 1.9 is that the average temperature of all the air above the pole is *higher*, especially in summer, than that of the column above the equator, where the coldest air occurs, at about 15 km elevation. Therefore, the relative lightness of warm air leads to lower sea-level pressures at high latitudes, as seen in Figure 1.8.

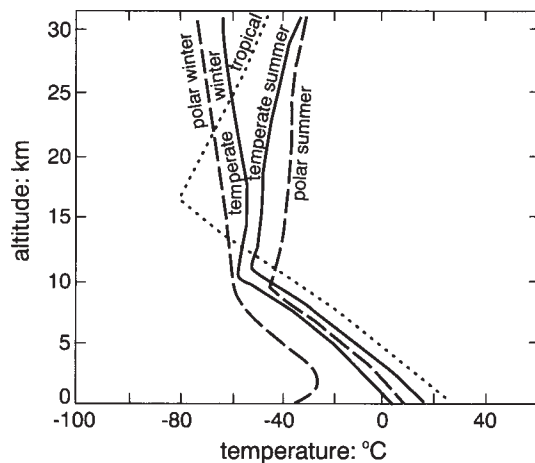


Figure 1.9 The vertical variation of temperature in the lowest 30 km of the atmosphere at different latitudes and seasons.



*Plate 1.1* Releasing a balloon carrying a radiosonde to measure temperature and humidity conditions in the troposphere and lower stratosphere. The radiosonde equipment is in a box in the meteorologist's left hand. The box is attached by a cord to the pyramidal radar reflector which hangs beneath the balloon. The reflector enables location of the balloon's position by means of the radar equipment seen behind, and the change of position shows the sideways displacement (i.e. the wind) at each height. Notice that the meteorologist is wearing protective clothing in case the hydrogen in the balloon ignites, which is possible in dry conditions.

Measurements at top and bottom of a kilometre-thick layer of the troposphere might show values of  $10^{\circ}\text{C}$  at the top and  $16.5^{\circ}\text{C}$  at the bottom, for instance. Such a positive lapse rate is written as  $6.5\text{ K/km}$ , or  $6.5\text{ milliKelvins per metre}$  (written as  $6.5\text{ mK/m}$ ), in *Système Internationale* units (**Note 1.J**). Note that temperature *differences* or *changes* are preferably described in degrees Kelvin (**Note 1.K**). Thus, the difference between  $5^{\circ}\text{C}$  and  $10^{\circ}\text{C}$

is written as  $5\text{ K}$ , and is equal to the temperature difference between  $41^{\circ}\text{F}$  and  $50^{\circ}\text{F}$ , whereas a *temperature* of  $5^{\circ}\text{C}$  does not equal  $9^{\circ}\text{F}$ .

A *standard atmosphere* is a nominal relationship between altitude and mean temperatures. For instance, that adopted by the International Civil Aviation Organisation (ICAO) is based on measurements over the USA but applied widely in calibrating aneroid barometers for measuring the altitude of light aircraft. (Larger

planes use radar to measure their height above the ground.) The ICAO atmosphere has the following features: a mean pressure of 1,013.25 hPa and an air temperature of 15°C at sea-level, a lapse rate of 6.5 mK/m to 11 km, then isothermal conditions at—56.5°C up to 20 km, followed by a negative lapse rate of 1 mK/m to about 32 km (Chapter 7).

So far we have been discussing only lapse rates in the free air. The change of temperature with elevation on the side of a mountain may differ (Chapter 3) on account of the local effect of heat from the ground, which is warmed by the daytime sun and cooled by the radiation of heat to the night sky (Chapter 2).

## 1.7 ATMOSPHERIC ELECTRICITY

An important feature of the upper atmosphere is its ability to conduct electricity through the movement of *negative ions*, which are whole or part-molecules to which *electrons* have become attached. Electrons are the negatively charged parts of an atom. They are stripped from normal atoms of the air's gases in the course of bombardment by *cosmic radiation*, which consists of high-energy atomic nuclei from outer space, along with the products of their collisions with air molecules. In other words, the electron-stripping process in the upper atmosphere results in *ionisation* (Figure 1.4). The process absorbs cosmic radiation, and thereby protects us from it.

### Ionosphere

Ionisation is almost negligible at heights where gas molecules are few, and cannot occur near the ground either because the cosmic radiation has become insignificant by the time it has penetrated that far. Consequently, this kind of ionisation happens mainly at an intermediate elevation of 50–100 km.

The ionized region is called the *ionosphere*. It comprises several layers, including the D layer at around 80 km, the E layer around 110 km, and F layers at 170 and 270 km. The D layer disappears at night, because ionising radiation from the Sun is then absent, and there is sufficient air at 80 km for existing negative and positive ions to collide and neutralise each other. So the E layer becomes the base of the ionosphere. As a result, the space between the ground and the ionosphere becomes deeper at night, facilitating the bouncing of radio signals around the globe and consequently improving reception.

The electrical conductivity of the ionosphere also plays a part in the cycle of atmospheric electricity described in Chapter 9. Most strikingly, the ionosphere is responsible for the beautiful curtains, veils, arcs, rays and bands of greenish or dark-red light above 100 km in the sky at night, seen in the southern hemisphere within about 25 degrees of the south magnetic pole. James Cook named them *Aurora Australis* (or Southern Lights) in 1773. It has been observed from Hobart (43°S), as the south magnetic pole is currently located near the same longitude at 66°S. The light is due to the *solar wind*, atomic particles moving at around 500 km/s from the Sun.

### Other Features

Above the ionosphere lies the *magnetosphere*, a region extending thousands of kilometres, containing only separated (negative) electrons and (positive) *protons* (i.e. hydrogen atoms stripped of electrons). These ions are aligned by the magnetic field of the Earth (which orients any magnetic compass similarly), forming two zones of higher concentration called the *van Allen belts*. The inner belt is found only at low latitudes, at a height of about 3,000 km. The outer belt lies at about 10,000 km above the equator, but much lower at high latitudes

because of attraction by the Earth's magnetic poles.

### 1.8 ATMOSPHERIC STRUCTURE

The various features of composition, radiation absorption and emission, lomsation and convection that we have now considered tend to stratify the atmosphere into layers. **Figure**

**1.10** shows the average conditions schematically, though there are great variations with season and region. Let us work downwards through the different layers, considering them in terms of temperature especially.

#### Highest Layers

There is no air beyond 32,000 km because the Earth's gravitational attraction there is exceeded

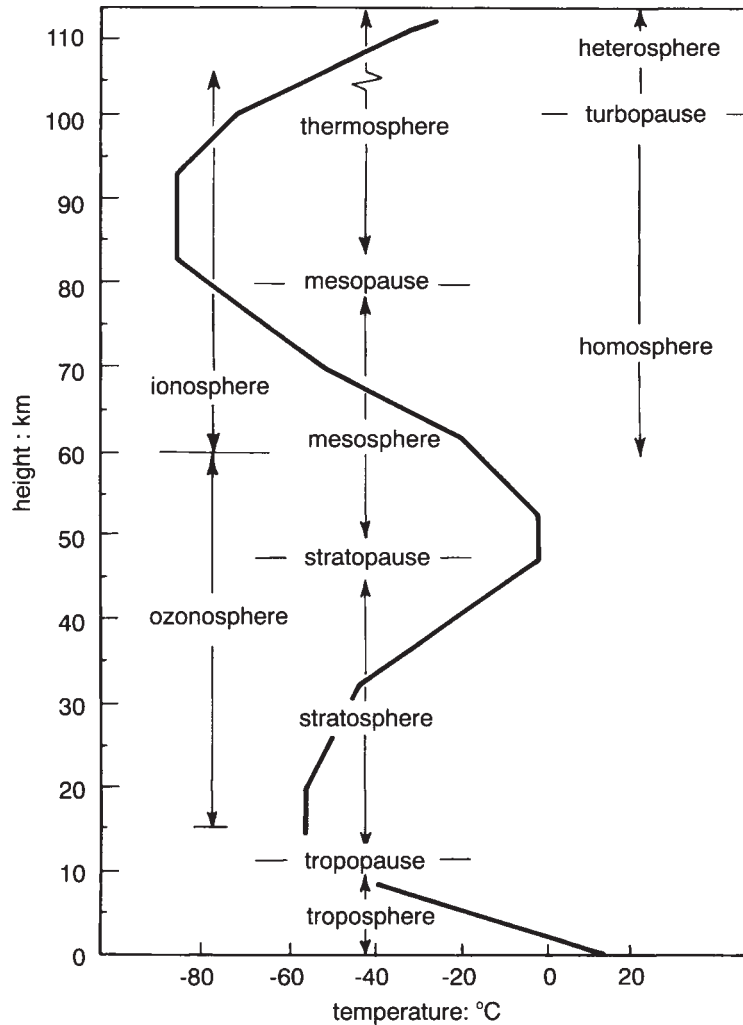


Figure 1.10 Typical features of the layers and zones of the atmosphere at various heights.

by the centrifugal force away, caused by the Earth's spinning. Then, down to about 200 km from the ground, there is the *thermosphere*, so-called because of high temperatures, nominally around 1,000 K, due to absorbing the energy of ultra-violet radiation (Section 1.4). Such a temperature of what is almost a perfect vacuum merely indicates the high speed of the individual molecules present, energised by solar radiation.

The thermosphere corresponds very approximately to the *heterosphere*, the region above 100 km where the air is stratified, with lighter gases above heavier. At about 100 km is the *turbopause*, bounding the *homosphere* beneath, where the air is mixed enough to have a uniform chemical composition.

Within the homosphere are three layers (or 'spheres'), each capped by a transition zone (or 'pause'). The *mesopause* separates the thermosphere from the *mesosphere* (the 'middle region') beneath, and may lie at about 80 km elevation. Temperatures there are typically as low as  $-90^{\circ}\text{C}$ . There is normally very little moisture in the mesosphere, though thin purplish patches of 'nacreous' (i.e. pearl-like) cloud occasionally occur at 60–80 km elevation (Chapter 8). Lapse conditions exist in the mesosphere, but less steeply than in the troposphere (Figure 1.10).

The next dividing zone is the *stratopause* at around 50 km, which separates the mesosphere above from the *stratosphere* below. More than 99.7 per cent of the atmosphere is found below the stratopause. The temperature there is about  $0^{\circ}\text{C}$  on account of heat produced by (i) the absorption of solar UV radiation by ozone, formed after the dissociation of some of the oxygen and then recombination as ozone (Section 1.4), and (ii) absorption by the ozone of longwave radiation from the ground beneath (Chapter 2).

There is normally a negative lapse rate throughout the stratosphere, due to the relatively high temperature at the stratopause. But the stratosphere has a slightly positive lapse rate in the polar winter (Figure 1.9), due to the absence

of sunshine for several months. The result is that temperatures are below  $-100^{\circ}\text{C}$  at the polar stratopause in winter, and this produces upper winds exceeding 60 m/s at the highest latitudes (Chapter 12). On the other hand, the temperature at the polar stratopause often exceeds  $30^{\circ}\text{C}$  in summer because of the long days then.

## Troposphere

Lower down again is the *tropopause*, where there is generally a temperature minimum of around  $-50^{\circ}\text{C}$  and a change of the lapse rate. It was first detected by Teisserenc de Bort in 1898. There is no agreed definition of the tropopause, but it does separate the stratosphere's ozone and temperature conditions from the positive lapse rates of the *troposphere*, the lowest layer. This contains all the Earth's mountains, about 80 per cent of the atmosphere and virtually all the water vapour. **Note 1.1** gives characteristic values of the temperature, pressure and density at various levels of the troposphere.

Within the troposphere but close to the ground is the *planetary boundary layer* (PBL), also called the 'Ekman layer' or 'mixed layer', in which the air is well mixed by heating (Chapter 3) and surface roughness (Chapter 14). Typically, the PBL is about 1 km thick, according to wind speed and solar radiation (Chapter 2), but may be less than 100 m on a still, cold, night. It is a feature of the topoclimate (Table 1.1).

Towards the bottom of the PBL is the *surface layer* (or 'friction layer'), where winds vary rapidly in speed and direction with height, in response to the friction of the ground (Chapter 14). In the case of a city, which has an irregular profile, there is a *roughness layer* where turbulence is caused by the buildings, and between them is the *canopy layer* of air (**Figure 1.11**).

The lowest tenth or so of the surface layer is the *interfacial layer* or 'constant-flux layer', constituting the main barrier to vertical exchanges between the surface and the air, e.g.



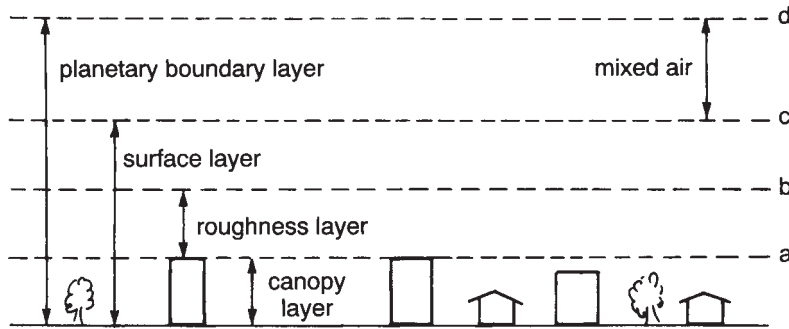


Figure 1.11 Schematic diagram of the layers of air over a city. Level *a* is at roof top height, *b* is the limit of stirring caused by the city's individual buildings, *c* is the extent of the effect of the city as a whole, and *d* is the base of the inversion layer (Chapter 7) which defines the planetary boundary layer.

evaporation from the ocean and the transfer of carbon dioxide to vegetation. It is a feature of the 'microclimate'. There is negligible horizontal advection within this layer, i.e. little carrying of heat or moisture sideways in winds.

Lastly, there is the *laminar (viscous) sub-layer*, which consists of air held almost stationary around all solid and liquid surfaces by molecular forces. This layer is only millimetres thick, depending on the wind speed, but it provides important thermal insulation.

So we have outlined the entire atmosphere. From now on we shall concentrate on the troposphere, because that is the arena of processes which produce weather and determine our climatic environment (Table 1.1).

## NOTES

- 1.A Features of the Earth's surface
- 1.B Photosynthesis and respiration
- 1.C The densities of air and water vapour
- 1.D Ground-level concentrations of gases
- 1.E The chemistry of the destruction of ozone
- 1.F Mass, density, weight and pressure
- 1.G The hydrostatic balance
- 1.H Height, altitude and elevation
- 1.I Effects of the rarefied atmosphere at high elevations
- 1.J SI units
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- 1.L Mean properties of the atmosphere
- 1.M The 'ideal-gas' law

Part II

ENERGY



# RADIATION

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## 2.1 KINDS OF RADIATION

In this second part of the book we will consider how energy moves in the atmosphere. In particular, we discuss three forms of energy—radiation (discussed in this chapter), ‘sensible heat’ (Chapter 3), and energy absorbed in evaporating water (Chapter 4). They are connected by what is called the ‘energy balance’, considered in Chapter 5. We begin by discussing the radiant energy from the Sun.

It is not obvious how solar energy reaches the Earth because the intervening distance of about 150 million kilometres is practically a vacuum. So the Sun’s heat is not carried in a wind, nor is conduction possible. Instead, the energy is transferred by *electromagnetic radiation* (**Note 2.A**). Such radiation differs from sound, which requires air to carry the vibrations, but is similar in being characterised by the velocity and wavelengths involved. The radiation travels at a speed close to  $3 \times 10^8$  m/s (in vacuum) and therefore takes 8.3 minutes to travel from the Sun to the Earth. The wavelengths of this radiation form a segment of the entire range (i.e. *spectrum*)

of electromagnetic radiation, which spans radio to cosmic rays (**Figure 2.1**).

In this chapter, we are concerned especially with visible light and radiant heat. Light has wavelengths of less than a millionth of a metre. (This is called a *micrometre* or *micron*, with the symbol ‘ $\mu\text{m}$ ’. Sometimes the unit used is a *nanometre*, written ‘nm’, equal to a thousandth of a micron.) On the other hand, radiation from bodies at the much lower Earth temperatures has wavelengths about twenty times as great, the difference reflecting the ratio of the temperatures of the Sun and Earth, effectively 5,770 and nominally 288 degrees Kelvin, respectively.

The radiation from a body involves a range of wavelengths, and the body’s temperature determines both the dominant wavelength (given by *Wien’s Law*, see **Note 2.B**) and the overall rate of energy emission, given by the *Stefan—Boltzmann equation* (**Note 2.C**). The consequence is that a diagram of the amounts of energy of various wavelengths from each of the Sun and the Earth shows different humps, the one for solar radiation being higher and to the left, since the Sun is hotter (**Figure 2.2**). Its

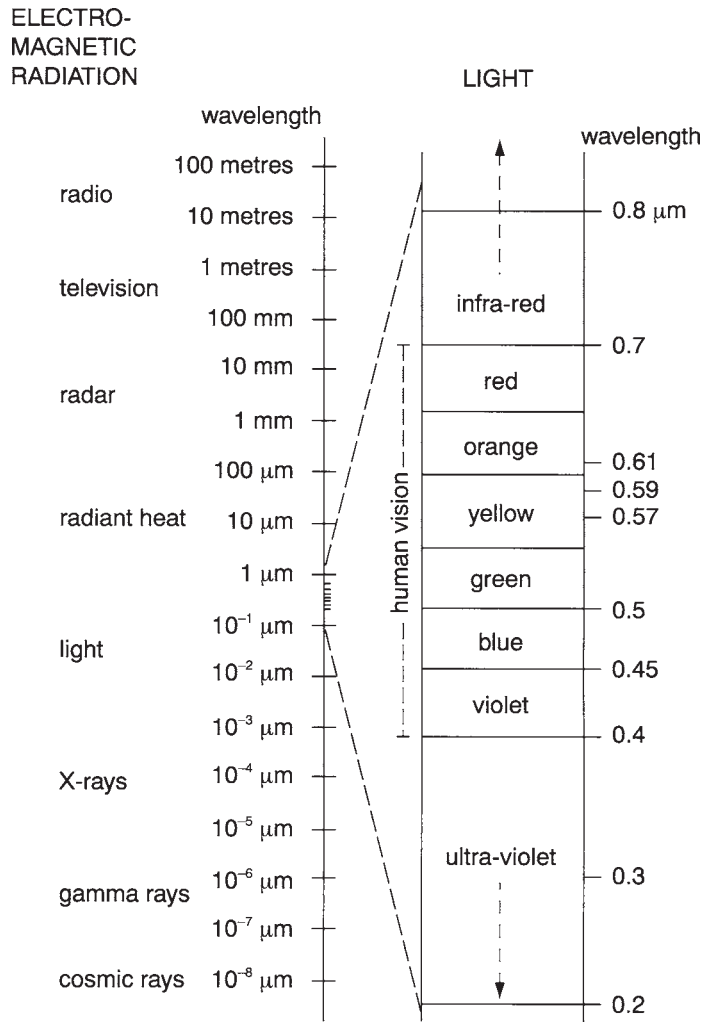


Figure 2.1 The spectrum of electromagnetic radiation.

emission spectrum peaks in the visible range (Figure 2.1), i.e. we can see much of the radiation from the Sun.

*Solar radiation* has wavelengths mostly within 0.1–3.5  $\mu\text{m}$  and is called *shortwave radiation* (SW) (**Note 2.D**). The part which is visible is a mixture which can be separated into the colours of the rainbow by a prism, from violet to red. Violet light has a wavelength about

0.40  $\mu\text{m}$ , while red light has wavelengths up to 0.76  $\mu\text{m}$ . In addition, about 9 per cent of the Sun's radiated energy is invisible because it has wavelengths less than 0.4  $\mu\text{m}$ , shorter than that of violet light, so it is called *ultra-violet radiation* (UV) (Sections 1.4 and 2.6). At the other extreme, about 50 per cent of the radiation has wavelengths beyond the red end of the visible range (i.e. beyond 0.7  $\mu\text{m}$ ) and is therefore

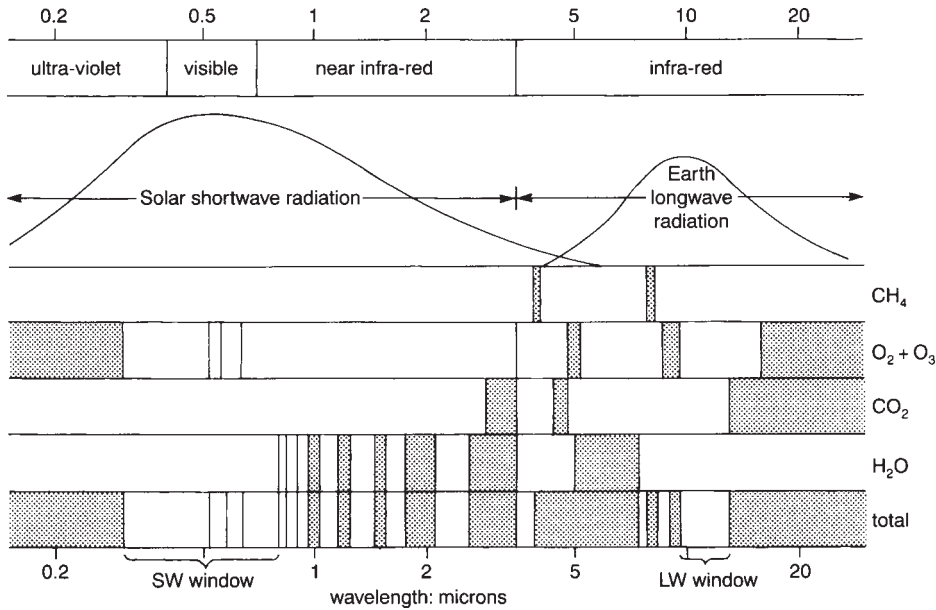


Figure 2.2 Indicative graphs of the energy radiated by the Sun and the Earth at various wavelengths. The spectrum of the radiant energy from unit area of the Sun is scaled down. In reality the area under the Sun's curve should be about 160,000 times the area under the Earth's curve (i.e. approximately  $6,000^3/300^3$ , from the Stefan-Boltzmann equation, see Note 2.C). Wavelengths absorbed by various gases are shown as dark bands.

called *infra-red radiation* (IR). This includes what is called *longwave radiation* (LW), which is the radiation whose wavelengths exceed  $3.5\ \mu\text{m}$  (Section 2.7).

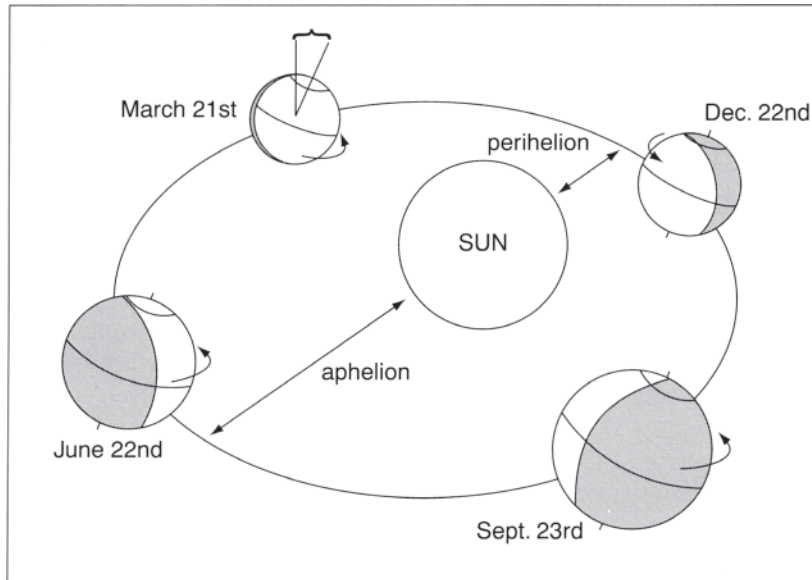
The Earth emits only longwave radiation, which can be felt but not seen. A graph of this peaks around  $10\ \mu\text{m}$  (Figure 2.2). It is discussed in Section 2.7.

## 2.2 SOLAR RADIATION REACHING THE EARTH

The amount of radiation received by the Earth from the Sun is governed by the geometry of the Earth's motions (**Figure 2.3**). Its orbit around the Sun is not quite circular and the Sun is offset from the centre. As a result, the Earth is only 147.1 million kilometres distant

from the Sun at the nearest point (the *perihelion*), presently on 3 January, and 152.1 million at the *aphelion* on 4 July, so 6.5 per cent more radiation reaches the Earth in January (**Note 2.E**). This would tend to make global temperatures higher in January than in July, i.e. summer in the southern hemisphere hotter than in the northern. This is not observed in practice, as the tendency is masked by the effects of different amounts of ocean in the two hemispheres (Chapter 11).

The Earth moves around the Sun in the *plane of the ecliptic* and spins on its own axis, which is tilted from a line perpendicular to the plane (Figure 2.3). All of these features are subject to slow, regular changes, described by Milutin Milankovic in 1930, following the ideas of James Croll in 1867. First, the Earth's path around the Sun varies from almost circular to more elliptical and back, each 97 millenia.



*Figure 2.3* The geometry of the Earth's movement about the Sun, in an orbit which forms an ellipse on a flat plane (the *ecliptic*). The Earth spins about an axis which currently points to the North Star, Polaris. Both rotations are clockwise if viewed from the South Pole.

Second, there is a variation of the tilt (or *obliquity*) of the Earth's axis, between  $21^{\circ} 59'$  and  $24^{\circ} 36'$  degrees and back, each 40,400 years. The tilt (presently  $23^{\circ} 27'$ ) is now becoming less, tending imperceptibly to reduce the annual range of surface temperatures. Third, the Earth's tilted axis wobbles (or 'precesses'), describing a cone each 21 millenia. Each of these variations alters the difference between summer and winter temperatures (Chapter 3). Occasionally, the three rhythms come briefly into coincidence, working together then to either maximise or minimise the difference between summer and winter. Rare coincidences of minimum difference probably triggered past ice ages, as a result of the increased precipitation of relatively warm winters and the reduced snowmelt of cooler summers (Chapter 15).

### Position of the Sun in the Sky

The tilt of the Earth's axis makes the Sun appear either north or south of the equator, and the latitude at which the Sun is overhead (i.e. 'in the *zenith*') at noon is called the Sun's *declination*. The seasonal variation of the declination has been monitored since the times of the ancient Egyptians, 5,000 years ago. The declination is furthest south on about 21 December (a *solstice*) when the Sun is directly overhead at  $23^{\circ} 27'S$  (the *Tropic of Capricorn*) at noon. The South Pole then tilts most towards the Sun, and the southern hemisphere has its longest day; south of  $66^{\circ} 33'S$  (the south *Polar Circle*) the Sun is still above the horizon at midnight. (Notice that  $66^{\circ} 33'$  is 90 degrees minus the angle of tilt.) Likewise, the Sun lies at the same latitude in the north (at the *Tropic of Cancer*) in the middle of a southern-

hemisphere winter, on about 21 June, the other solstice.

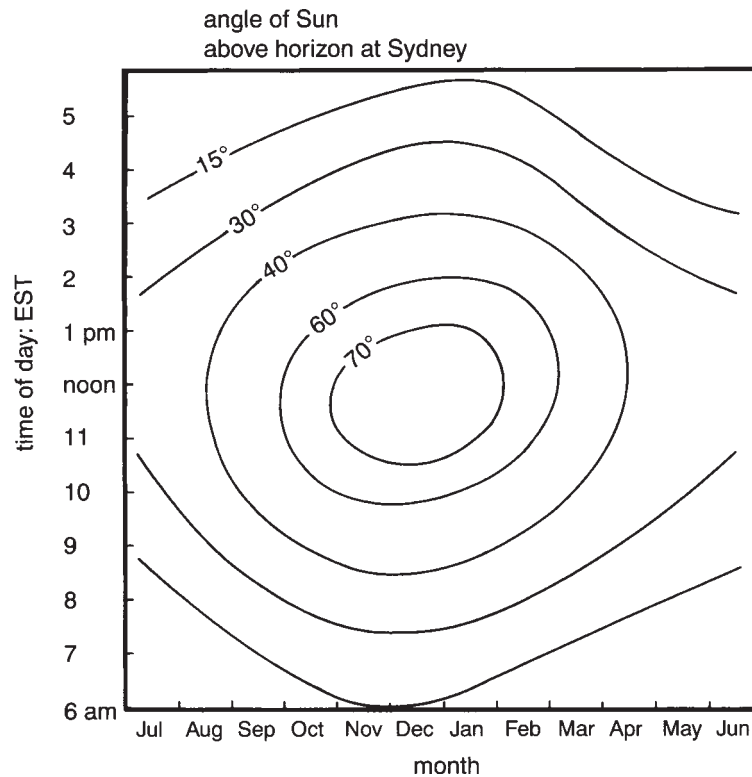
The angle of the Sun above the horizon at any moment is called the *solar elevation*, and its value can be shown by a diagram such as that of **Figure 2.4**, for any place. Its value at noon equals  $[90-f+d]$ , where  $f$  is the latitude and  $d$  the declination of the Sun at that time of year. Both  $f$  and  $d$  are regarded as positive in the southern hemisphere. The annual extremes of the Sun's elevation allow us to design houses which accept the Sun's warmth in winter but shade the windows in summer (**Figure 2.5**).

The midday Sun is overhead on two dates each year, at latitudes between the two Tropics, which means that there are two seasons of

maximum insolation in such places. The midday Sun is overhead at the equator at the *equinoxes*, on about 21 March and 22 September, when day and night are of equal duration, at all latitudes (**Table 2.1**).

### Sun's Radiation

The amount of radiant energy received or emitted every second at a surface of one square metre is properly known as the *radiance*, though we lazily refer to it simply as the 'radiation'. The radiance on a surface facing the Sun, just outside the Earth's atmosphere, is called the *solar constant*, equal to  $1,367 \text{ W/m}^2$ . This is more than the output of a kilowatt electric heater on



*Figure 2.4* The solar elevation at various times of day and in various months, at Sydney ( $34^{\circ}\text{S}$ ). For instance, the Sun is about  $40^{\circ}$  above the horizon in September at 10 a.m.



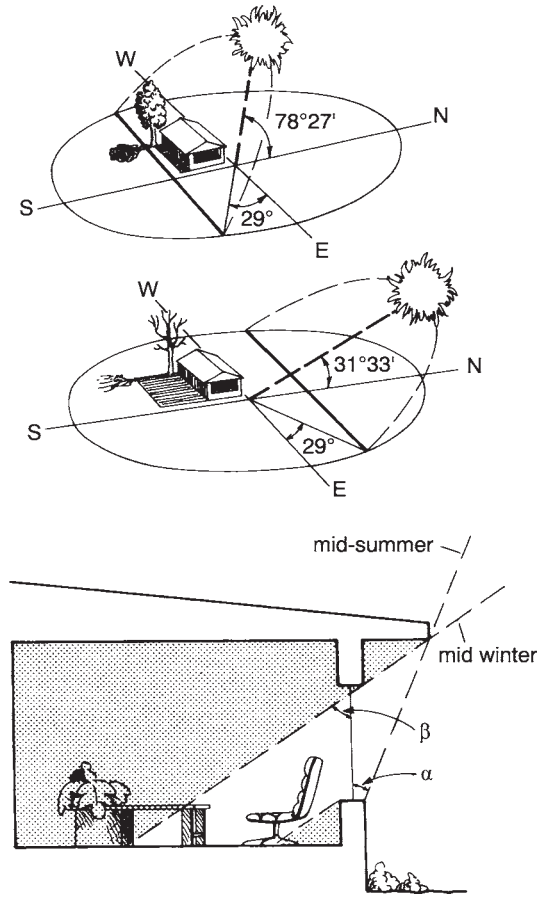


Figure 2.5 Solar elevation and the amount of light entering a window on the equatorward side of a house. The upper diagrams show the Sun's path at  $34^{\circ}\text{S}$ , at mid-summer and at mid-winter, respectively. The Sun's noontime elevation is  $78^{\circ}27'$  (i.e.  $90-34+23^{\circ}27'$ ) at mid-summer, and  $31^{\circ}33'$  at mid-winter (i.e.  $90-34-23^{\circ}27'$ ).

The consequences are shown in the lowest diagram. The angle  $\alpha$  (the departure from vertical of a line from the window-sill to the eaves) needs to be more than  $|f-23^{\circ}|$ , where  $f$  is the latitude, to exclude radiation in summer, and  $\beta$  has to be less than  $|f+23^{\circ}|$ , to maximise the intake of sunshine in winter.

each square metre of surface facing the Sun. The 'constant' was 30 per cent less when the Earth initially formed 4.5 billion years ago, assuming that the Sun developed like other stars of its size and composition. Also, there are variations due to the elliptical orbit of the Earth each year, to Milankovic changes of orbit over millenia, and (by less than 1 per cent) to sunspots, discussed below.

The energy onto a *horizontal* surface on top

of the atmosphere, *parallel* to the ground, is called the *extra-terrestrial radiance* (Figure 2.6). It is necessarily less than the solar constant because it is the radiation onto a surface oblique to the Sun's rays. It depends on the solar constant and the orientation of the ground to the Sun (which are both known for any chosen time of the year), so it can be calculated and tabulated for various latitudes and months (Note 2.F).

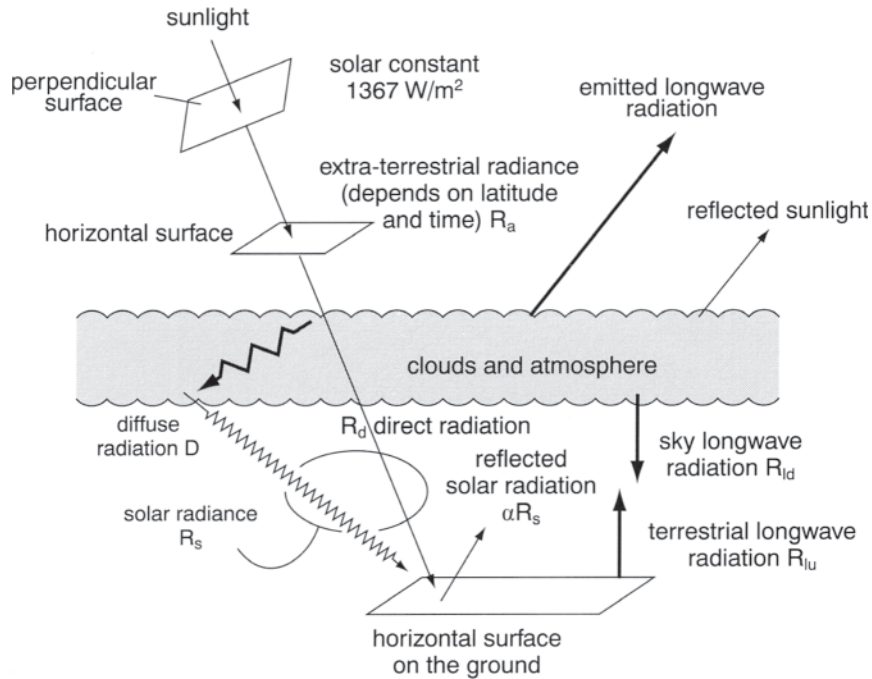


Figure 2.6 Various radiation fluxes.

The combination of the tilt and the elliptical orbit of the Earth leads to a minimum of seasonal variation of extra-terrestrial radiation at a latitude of  $3.4^\circ\text{N}$ , which may be called the *radiation equator*. It happens to be close to the average latitude of the ‘thermal equator’ (Chapter 3).

### Daylength

The daylength varies greatly with latitude and month, as shown in Table 2.1. Daylength is 24 hours in midsummer within the *south Polar Circle* (i.e. at latitudes above  $66^\circ 33'\text{S}$ ), with continual sunshine over several days. Conversely, there are 24 hours of darkness each day in midwinter. At a midlatitude city like Dunedin ( $48^\circ\text{S}$ ), daylength is about 16 hours in midsummer, but less than 9 hours in midwinter.

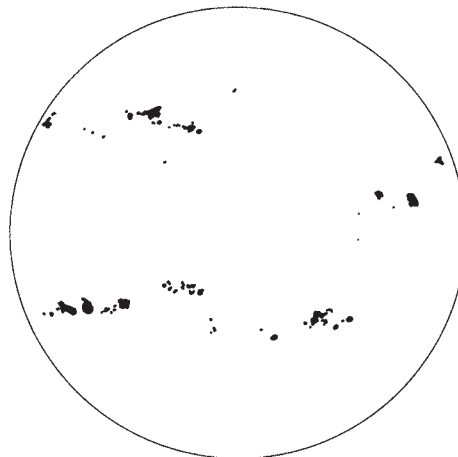


Figure 2.7 Sunspots on the Sun. (Note that it is dangerous to try to observe them directly with the naked eye, doubly so with binoculars, and trebly with a telescope. It is safer to look through *very* dark glass at the Sun's reflection in a pool.)

Table 2.1 Effect of latitude and season on the fortnightly mean daylength

| Lat | Hours of daylight in the northern hemisphere |          |           |         |          |          |         |          |           |         |          |          |      |        |           |         |          |          |         |          |       |       |      |      |
|-----|--|----------|-----------|---------|----------|----------|---------|----------|-----------|---------|----------|----------|------|--------|-----------|---------|----------|----------|---------|----------|-------|-------|------|------|
|     | January                                      | February | March     | April   | May      | June     | July    | August   | September | October | November | December |      |        |           |         |          |          |         |          |       |       |      |      |
| 70° | 0.5  | 2.2      | 4.5       | 7.0     | 9.8      | 12.7     | 15.5    | 18.0     | 20.3      | 22.4    | 24.0     | 24.0     | 22.4 | 20.2   | 17.8      | 15.1    | 12.2     | 9.5      | 6.9     | 4.5      | 2.3   | 0.3   | 0.0  |      |
| 60° | 6.3  | 7.3      | 8.5       | 9.9     | 11.0     | 12.5     | 13.8    | 15.0     | 16.5      | 17.7    | 18.6     | 18.8     | 18.5 | 17.5   | 16.4      | 15.0    | 13.6     | 12.2     | 10.9    | 9.5      | 8.2   | 7.0   | 6.2  | 5.9  |
| 50° | 8.3  | 8.9      | 9.7       | 10.6    | 11.3     | 12.4     | 13.3    | 14.2     | 15.0      | 15.8    | 16.2     | 16.4     | 16.2 | 15.6   | 14.9      | 14.1    | 13.2     | 12.2     | 11.3    | 10.3     | 9.4   | 8.7   | 8.3  | 8.1  |
| 40° | 9.5  | 9.9      | 10.4      | 11.0    | 11.5     | 12.3     | 13.0    | 13.6     | 14.2      | 14.6    | 14.9     | 15.0     | 14.9 | 14.5   | 14.1      | 13.5    | 12.8     | 12.1     | 11.5    | 10.9     | 10.3  | 9.8   | 9.5  | 9.3  |
| 30° | 10.3   | 10.6     | 10.9      | 11.4    | 11.7     | 12.2     | 12.7    | 13.1     | 13.5      | 13.8    | 14.0     | 14.1     | 14.0 | 13.8   | 13.4      | 13.0    | 12.6     | 12.1     | 11.7    | 11.3     | 10.8  | 10.5  | 10.3 | 10.2 |
| 20° | 11.0   | 11.2     | 11.4      | 11.7    | 11.9     | 12.2     | 12.5    | 12.7     | 13.0      | 13.2    | 13.3     | 13.3     | 13.3 | 13.1   | 12.9      | 12.7    | 12.4     | 12.1     | 11.9    | 11.6     | 11.3  | 11.1  | 11.0 | 10.9 |
| 10° | 11.6   | 11.7     | 11.8      | 11.9    | 12.0     | 12.1     | 12.3    | 12.4     | 12.5      | 12.6    | 12.7     | 12.7     | 12.7 | 12.6   | 12.5      | 12.4    | 12.3     | 12.1     | 12.0    | 11.8     | 11.7  | 11.6  | 11.6 | 11.5 |
| 0°  | 12.1   | 12.1     | 12.1      | 12.1    | 12.1     | 12.1     | 12.1    | 12.1     | 12.1      | 12.1    | 12.1     | 12.1     | 12.1 | 12.1   | 12.1      | 12.1    | 12.1     | 12.1     | 12.1    | 12.1     | 12.1  | 12.1  | 12.1 | 12.1 |
| Lat | July   | August   | September | October | November | December | January | February | March     | April   | May      | June     | July | August | September | October | November | December | January | February | March | April | May  | June |
|     | Hours of daylight in the southern hemisphere |          |           |         |          |          |         |          |           |         |          |          |      |        |           |         |          |          |         |          |       |       |      |      |

In contrast, it fluctuates only between 11.7 to 12.8 hours at Darwin (12°S).

## Sunspots

A *sunspot* is a relatively dark area on the Sun, at temperatures of only about 3,000 Kelvin, occupying up to 0.2 per cent of the Sun's visible area (**Figure 2.7**). Each spot appears to drift

eastwards (showing that the Sun rotates once every 27 days) and towards the Sun's equator, before disappearing.

Sunspots alter the solar constant by less than 0.3 per cent, but are associated with very slight increases of ultra-violet radiation and of *solar wind*, which consists of high-speed electrons and nuclear particles. The annual number of sunspots varies (Chapter 10), with a maximum about every eleven years (e.g. in 1906, 1917,

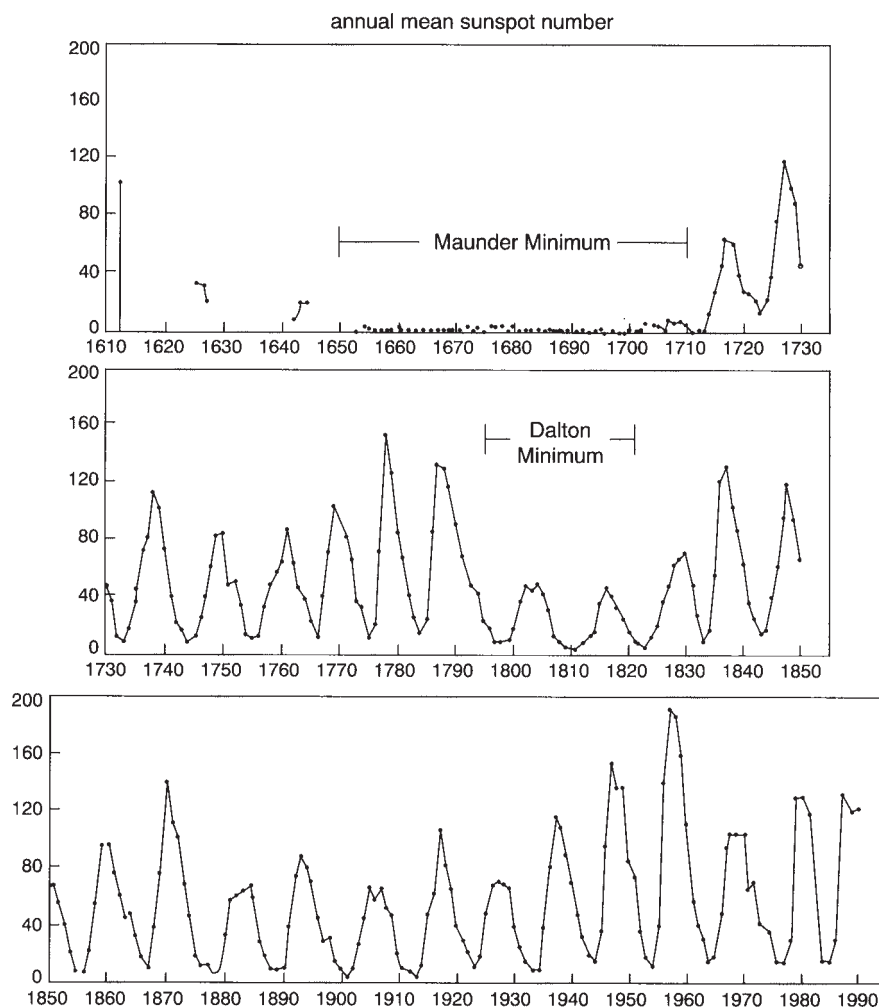


Figure 2.8 Variation of the number of sunspots observed each year.

1928, 1938, 1947, 1958, 1969, 1980, 1988), as reported by Samuel Schwabe in 1843. The maxima are especially marked every eighty-five years or so (**Figure 2.8**). When the cycle of sunspot numbers is less than eleven years, there tend to be more sunspots and Earth temperatures show some warming. Also, there were hardly any sunspots between 1650–1710, a period called the *Maunder Minimum*, named after Annie Russell Maunder (1868–1947). It coincided with the Little Ice Age (Chapter 15). Later there was the *Dalton Minimum*, between 1795–1823 (Figure 2.8).

### 2.3 ATTENUATION WITHIN THE ATMOSPHERE

The radiation reaching the ground is a fraction of that at the top of the atmosphere, because of reflection of some back to space (chiefly from the clouds), and because of the absorption of some by clouds, by the air's gases and by the fine dust particles and tiny droplets which together are called *aerosols*. The diameters of aerosols are mostly less than 1  $\mu\text{m}$  and therefore they are so light that they remain airborne indefinitely (**Note 2.G**).

The intensity of the Sun's rays on the ground is less at higher latitudes for two reasons (**Figure 2.9**). First, each ray is spread over a larger area because of increasing obliqueness to the ground. Second, the rays have to traverse a longer path through the atmosphere, so that more radiation is absorbed and scattered by the air.

In the case of the absorption by gases, particular wavelengths are affected especially, as shown in Figure 2.2. Oxygen and ozone absorb radiation with wavelengths below about 0.3  $\mu\text{m}$ , while water vapour and carbon dioxide deplete that with wavelengths above 0.7  $\mu\text{m}$ . Water vapour absorbs radiation in several broad spectral bands with wavelengths up to about 8  $\mu\text{m}$ , and carbon dioxide absorbs almost all the IR radiation above 14  $\mu\text{m}$ . Methane ( $\text{CH}_4$ ) and

nitrous oxide ( $\text{N}_2\text{O}$ ) absorb longwave radiation between 7.7–8.3  $\mu\text{m}$ .

The combined effect is to create a 'window' around the visible part of the spectrum; most shortwave radiation penetrates the atmosphere through this window (**Note 2.H**). However, longwave radiation passes through the atmosphere only by means of a window between 8–14  $\mu\text{m}$ , which is split by an ozone absorption band of 9.4–10.0  $\mu\text{m}$ . This window is less transparent, so most longwave radiation from the Earth's surface is absorbed by air molecules and then re-emitted before it reaches outer space.

### Scattering

The air's molecules and aerosols scatter the Sun's rays, which increases their paths within the atmosphere and hence their absorption. The scattering of radiation by gas molecules and the smallest aerosols is called *Rayleigh scattering*. This occurs with particles which are between a hundredth and a thousandth the size of the wavelength and scatter incoming radiation according to the inverse of the radiation's wavelength. In other words, blue light (with a relatively short wavelength) is scattered ten times more than red light. That explains the blue sky above (Figure 2.10). But on the Moon, where there is no atmosphere, the consequent absence of Rayleigh scattering makes the sky always black, even in sunshine. Back on Earth, blue light in solar radiation is notably scattered away by air pollution or fine dust, which makes the remaining direct sunlight seem red, especially when the Sun is low and the ray's path through the atmosphere is long (Chapter 15). Beautiful red sunsets occur in the desert after a hot day allows dried dust to rise in the air. Bushfires and other air pollution also create red sunsets. Large volcanic eruptions produce a veil of sulphuric-acid aerosols in the stratosphere and these cause red sunsets covering much of the

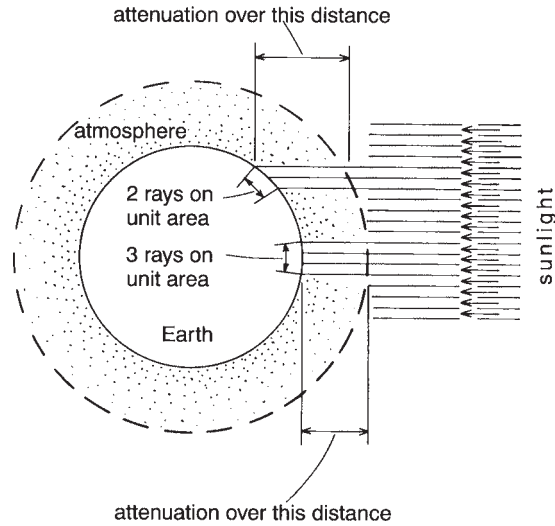


Figure 2.9 The twofold effect of latitude in reducing the amount of solar radiation reaching the ground. Firstly, the distance travelled through the atmosphere is greater at high latitudes because of the oblique angle of the rays; secondly, fewer rays impact on unit area of the ground.

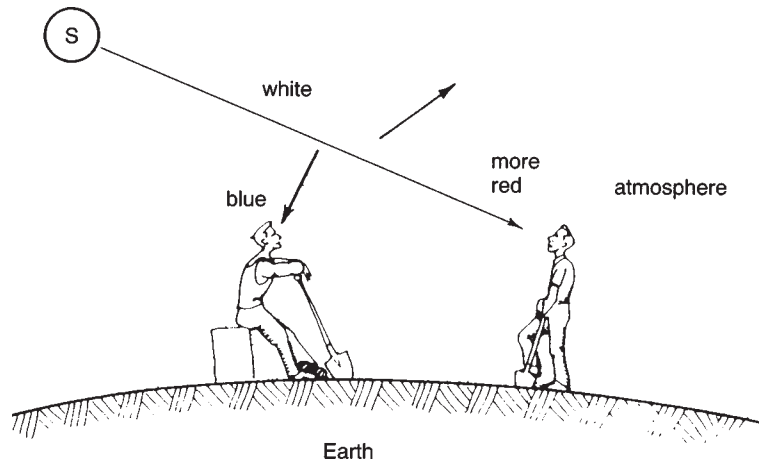


Figure 2.10 Effect of Rayleigh scattering of the Sun's radiation by atmospheric molecules and aerosols. Preferential scattering of blue light makes the sky seen by the person on the left appear blue, whereas the Sun seen by the person on the right appears red.

sky (Note 2.G). Sunsets are never so red when viewed from an aircraft flying above the troposphere, which contains most of the air and aerosols.

Larger particles and droplets, of a size like the light's wavelength, cause *Mie scattering*, where light of all wavelengths is *equally* deflected, giving the sky a hazy white appear-

ance. This kind of scattering is in all directions, but primarily forward and backward. Also, it is much more intense than Rayleigh scattering, which explains the bright whiteness of clouds.

The main effects of clouds on solar radiation are twofold—to increase reflection to space and to reduce the transmission of light to the ground. On average, only about a quarter of extra-terrestrial radiation reaches the ground when the sky is overcast, instead of about 75 per cent with a clear sky. Other properties of clouds are discussed in Chapter 8.

### Diffuse Radiation

About 6 per cent of the extra-terrestrial radiation is reflected to space by the atmosphere and 20 per cent by clouds, and around 23 per cent is scattered downwards to the surface of the Earth as *diffuse radiation*. This is part of the *global radiation*, the solar radiation reaching a horizontal surface on the ground (Figure 2.6). (The adjective ‘global’ is used because it consists of solar radiation from all directions.) The other part comes straight from the Sun, without scattering, and is called *direct radiation*.

Direct radiation leads to shadows, while diffuse radiation provides what illumination there is in the shadow. So shadows are very black in the rarefied clean air of high mountains or on the Moon, where there is little atmosphere and few aerosols to cause scattering. On the other hand, the scattering within clouds increases diffuse radiation and therefore reduces the intensity of shadows, or even eliminates them.

## 2.4 RADIATION AT GROUND LEVEL

The distribution of global radiation reaching sea-level (**Figure 2.11**) is the result of the variations of extra-terrestrial radiation, cloudiness and turbidity. Relatively high values are measured near the Tropics at about 23°, because of the

clear skies there, especially over land, and intensities are greater in summer than in winter, because of the seasonal variation of the extra-terrestrial radiation (Note 2.F). At Darwin at 12°S in Australia, the global radiation is higher in July (**Table 2.2**), when skies tend to be clear, than in January, during the rainy season (Chapters 12 and 16). The annual swing is greatest at high latitudes, and there is great similarity of the ranges across Australia in January.

The amount of radiation falling on a particular surface depends on its *aspect*, i.e. its orientation to the Sun. This is governed by the steepness and the direction of slope of the ground surface, e.g. a slope facing north in the southern hemisphere receives more insolation than the opposite side of a valley or mountain. Mountains may cut off direct sunshine into valleys, while insolation on top of high mountains is increased by the lower horizon, the reduced thickness of the atmosphere above, and sometimes by fewer clouds.

### Uses

An enormous amount of solar energy is intercepted by the Earth each day—equal to the output from 180 million thousand-megawatt power-stations. No wonder people consider the possibilities of harnessing such abundant and pollution-free power. Unfortunately, the energy is spread thinly and attenuated by air molecules, aerosols and clouds (Section 2.3).

An important use of solar radiation is in photosynthesis within green leaves (Note 1.B, **Note 2.I**). In fact, if the water supply, nutrients and temperatures are suitable, plant growth and hence crop yields are directly related to the amount of *photosynthetically active radiation* (PAR) (Notes 2.D and 2.I) intercepted by the foliage. The PAR determines the *potential net photosynthesis* (PNP), which is the maximum amount of dry plant carbohydrate that can be

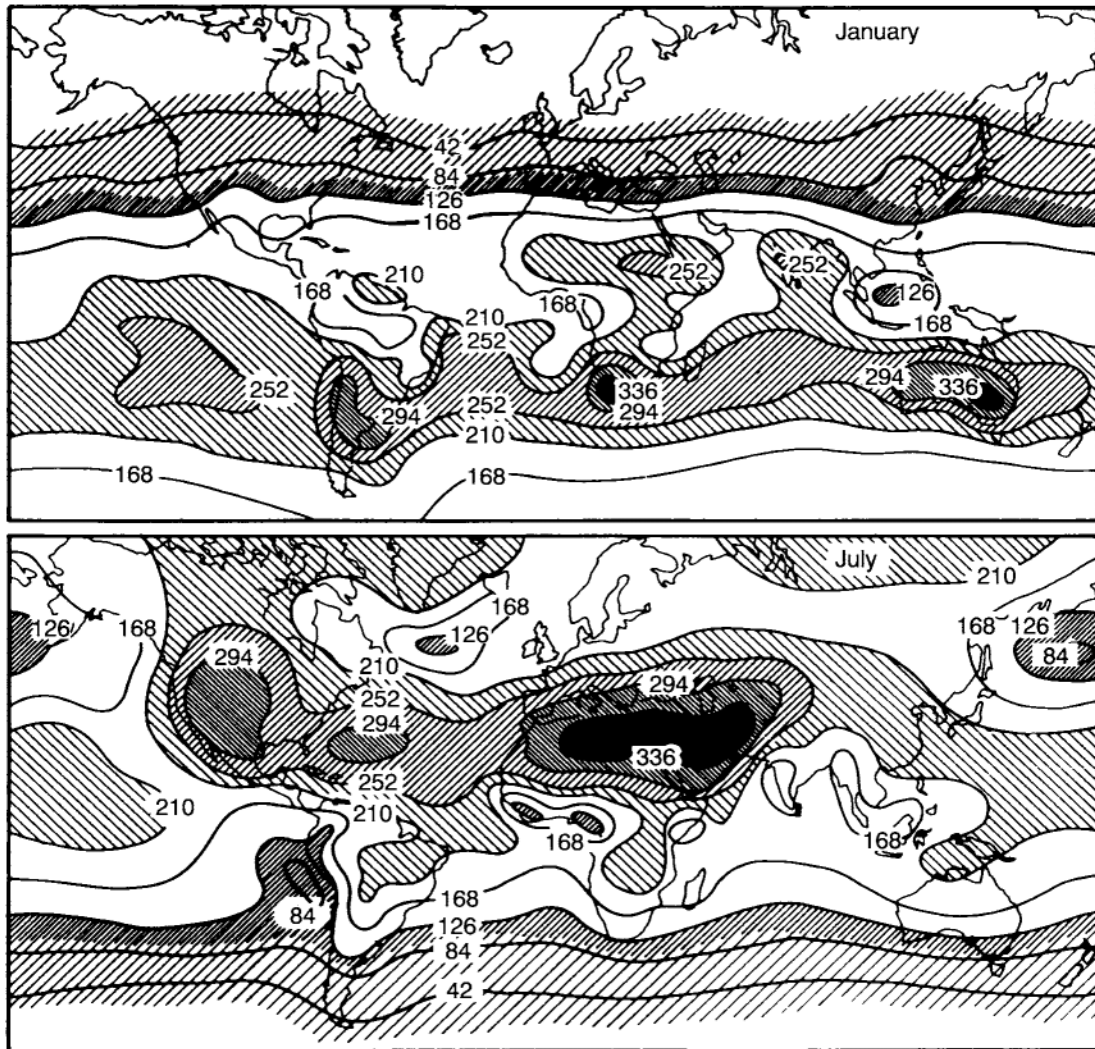


Figure 2.11 The January and July mean global radiation onto a horizontal surface at the ground, in units of  $\text{W}/\text{m}^2$ .

photosynthesised by absorbed radiant energy. In theory, this is 3.4 grams per megajoule of incident radiation, but less than this is achieved in practice, on account of several factors; these include shortages of water or plant nutrients, incomplete covering of the ground by vegetation, inappropriate temperatures at critical stages of crop growth, the inability of leaves to cope with the highest radiation intensities, pests,

weeds, diseases and the inefficiency of senile leaves. **Figure 2.12** implies values of less than 6 per cent for the ratio of actual to theoretically possible yields.

The important point is that, even when farming problems are overcome, the most food that can be grown is limited by the available solar radiation. That sets an upper bound to the sustainable population of the world (Note 2.1).



Table 2.2 Monthly mean global radiance at various places, mainly in Australia

| Location         |                          | Mean global radiation ( $W/m^2$ ) |       |      |         |
|------------------|--------------------------|-----------------------------------|-------|------|---------|
| Name             | Latitude ( $^{\circ}S$ ) | January                           | April | July | October |
| Rabaul           | 4                        | 203                               | 204   | 191  | 222     |
| Darwin*          | 12                       | 229                               | 251   | 235  | 282     |
| Townsville       | 19                       | 251                               | 216   | 189  | 275     |
| Port Hedland     | 20                       | 319                               | 231   | 205  | 310     |
| Longreach        | 23                       | 333                               | 231   | 189  | 302     |
| Alice Springs    | 23                       | 309                               | 224   | 185  | 291     |
| Brisbane*        | 27                       | 275                               | 161   | 135  | 250     |
| Perth            | 32                       | 316                               | 173   | 114  | 261     |
| Sydney           | 34                       | 271                               | 175   | 117  | 236     |
| Adelaide         | 35                       | 289                               | 154   | 103  | 227     |
| Canberra*        | 35                       | 308                               | 152   | 109  | 245     |
| Melbourne        | 38                       | 286                               | 128   | 76   | 211     |
| Hobart*          | 43                       | 262                               | 117   | 71   | 201     |
| Macquarie Island | 54                       | 163                               | -     | 21   | -       |
| Mirny            | 67                       | 190                               | -     | 1    | -       |
| South Pole       | 90                       | 242                               | -     | 0    | -       |

\* From satellite measurements by Nunez (1990:345)

## 2.5 ALBEDO

Some of the shortwave radiation reaching the ground is reflected upwards. The ratio of upwards to downwards fluxes is called the *albedo* (or *shortwave reflectivity*), denoted by the symbol  $a$ . Note that the albedo is a *ratio*, not a flux.

The higher the albedo of an object, the more light is reflected, making the object appear brighter. Also, a surface with a high albedo (like a white car) does not become as hot as one with a low albedo (like a black car), because more radiation is reflected away (Chapter 5). Aluminium foil facing the Sun may reach  $46^{\circ}C$  in air at  $28^{\circ}C$ , whereas black paper reaches  $75^{\circ}C$ , for instance.

The albedo is the average reflectivity for white light as a whole, but in fact an object's reflectivity usually varies with wavelength, as shown in **Figure 2.13**. This determines the object's colour, which depends on the wavelengths that are particularly reflected. Thus, a leaf appears green in white light because green wavelengths (of

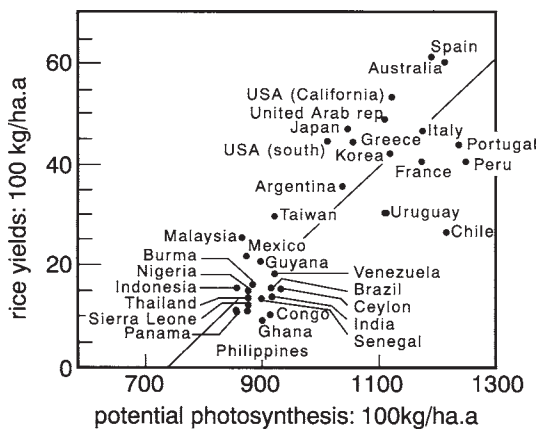


Figure 2.12 The relationship between average annual yields of rice in various countries and the rates of photosynthesis, calculated on the assumption of total use of the photosynthetically active radiation within the available solar radiation during the four summer months when the crop grows. For instance, the actual yield of each year's crop of Australian rice is about 6 tonnes per hectare, which is only 5 per cent of the 120 t/ha that is theoretically possible there.

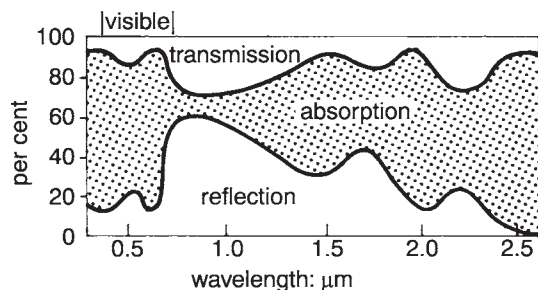


Figure 2.13 The variation with wavelength of the reflected, absorbed and transmitted parts of radiation onto a leaf. It may be seen that most of the visible solar radiation is absorbed for photosynthesis and warming of the leaf. But most near-IR radiation is either transmitted or reflected.

about 0.55 µm) tend to be reflected, whilst others are absorbed or transmitted (Figure 2.13). But a leaf illuminated by a beam of red light appears black as red light is not reflected.

Satellite measurements of the radiation in two bands from surface vegetation provide a means of assessing its health. It is flourishing if there is more radiation reflected in the IR range 0.72–0.98 µm, but the ground is dry and the vegetation desiccated if there is more radiation in the visible range 0.578–0.70 µm.

## Values

There is a wide range of albedo values, as shown in **Table 2.3**. Surface values inferred from satellite measurements range from 0.08–0.20 for parts of Tasmania (**Figure 2.14**). The albedo of the sea is affected by cloudiness, wave size, and the angle of the Sun above the horizon. It is about 38 per cent when the Sun's angle is 10° in a clear sky, but only 4 per cent when the Sun is overhead. (The exact value depends on the smoothness of the water surface.) For an overcast sky, these figures change to 13 per cent and 6 per cent, respectively. The figures for the case of the Sun overhead are the important ones because insolation is then at its greatest, and so

Table 2.3 Typical values of the albedo of various surfaces

| Surface                     | Albedo (%) |
|-----------------------------|------------|
| Aluminium foil              | 90         |
| Dry concrete                | 17–35      |
| Black bitumen               | 10         |
| Red clay tiles              | 30         |
| New galvanised iron         | 45         |
| Rusty iron                  | 10         |
| Thatched roof               | 15–20      |
| Window                      | negligible |
| Fresh white paint           | 75         |
| Red, brown or green paint   | 30         |
| Clean white car             | 54         |
| Dirty black car             | 10         |
| Caucasian human skin        | 40         |
| Negro skin                  | 18         |
| Snow                        | 80*        |
| Wet soil                    | 10         |
| Dry sand, desert            | 40         |
| Rainforest                  | 13         |
| Eucalypt forest             | 18         |
| Pine forest                 | 13         |
| Grassland, green vegetation | 22         |
| Water with Sun above        | 3.5        |
| Water, Sun at 45° elevation | 6          |
| Water, Sun at 25°           | 9          |
| Water, Sun at 10°           | 38         |

\* See Linacre (1992:307) for more details

the albedo of water is usually taken as about 6 per cent overall.

This is far less than the albedo of ice, i.e. about 50 per cent in the case of sea-ice and 80 per cent for fresh snow. So the extent of sea-ice around Antarctica governs how much solar radiation is absorbed and hence global temperatures (**Note 2.J**). The high albedo of fresh snow almost doubles the solar radiation onto the faces of skiers, which can lead to sunburn, even *under* the chin.

The albedo of clouds is less when the density is low or the droplet size large (**Table 2.4**). A typical figure for thin cloud like cirrus (Chapter 8) is 35 per cent and for cumulus 85 per cent. The high figures help explain why so little solar



*Plate 2.1* The low albedo of the beach at Kusamba in Bali means that almost all the incoming solar radiation is absorbed and can be used to evaporate moisture from the sand, which makes it practicable to extract salt from the sea. The beach's unusual blackness is due to the volcanic eruption of nearby Mt Agung in 1963, showering dark-coloured rock and dust over a wide area. The photograph shows small subdivisions of the beach, each fronting the sea. A man scoops sea water into large buckets and carries it up onto his patch in the morning and then waits for it to dry in the sunshine, leaving a salty surface layer of sand. This is subsequently scraped off and carried into a hut, where the salt is rinsed out as a concentrated solution, which is then completely dried in wooden troughs discernible further inland.

radiation is absorbed by cloud, and therefore why cloud is not readily evaporated away.

The *planetary albedo* is the reflection of solar radiation from the entire globe, as a fraction of the extra-terrestrial radiation. It is about 30 per cent for the Earth as a whole. This is much more than the albedo of the Moon, which has neither clouds nor polar ice: the value there is only about 7 per cent. So the Earth appears about four times brighter than the Moon when viewed from space.

The variation of the planetary albedo with

latitude is shown in **Figure 2.15**. The slight maximum at the equator is due to the cloudiness there. High values at the poles result from cloudiness, the covering of ice and snow, and the dependence of albedo on solar angle,

## 2.6 ULTRA-VIOLET RADIATION

Solar radiation with wavelengths less than 0.1  $\mu\text{m}$  is absorbed in the ionosphere (Section 1.7)

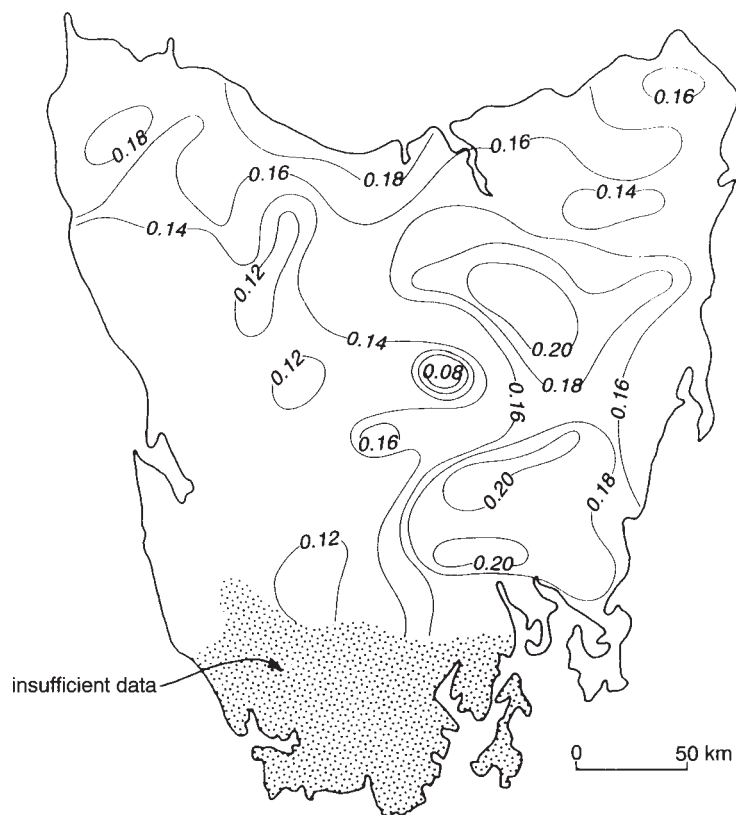


Figure 2.14 Values of albedo in parts of Tasmania, from satellite measurements taken at 10 a.m. or 1 p.m. on eight days in January and February 1985.

Table 2.4 The albedo of clouds

| Cloud density (g/m <sup>2</sup> ) | Albedo for different droplet diameters |      |
|-----------------------------------|--|------|
|                                   | 4μm                                    | 17μm |
| 60*                               | 75%                                    | 50%  |
| 270†                              | 90%                                    | 70%  |

\* For example, stratus cloud

† For example, cumulonimbus (Chapter 8)

and wavelengths up to about 0.29 μm are absorbed by the dissociation of oxygen in the ozone layer (Section 1.4), so that only around 6 per cent of the Sun’s radiation reaching the

ground is ultra-violet radiation (UV), instead of 9 per cent at the top of the atmosphere. This includes UV-A (i.e. 0.32–0.40 μm) and the shorter-wavelength UV-B (i.e. 0.28–0.32 μm) and even shorter wavelength UV-C (sometimes called ‘vacuum’ or ‘far’ UV). The last penetrates in negligible amount down to sea-level; it is a hazard only on high mountains. UV-B comprises about 1.5 per cent of extra-terrestrial radiation and most is absorbed in the ozone layer. Only around 0.5 per cent of the solar radiation at ground level is UV-B, but this small amount can be very harmful.

The damage caused by UV-B includes sunburn, skin cancer and eye problems such as cataracts, both in people and in cattle. Plants

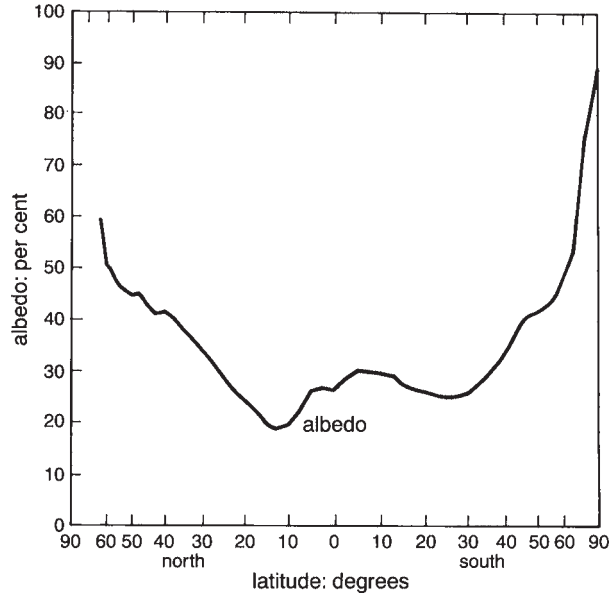


Figure 2.15 The variation of the planetary albedo with latitude.

are affected too; a 25 per cent increase of UV-B onto a soyabean crop, for instance, causes a similar decrease of yield.

### Controlling Factors

The amount of UV at ground level is slightly reduced by clouds, but visible light is reduced far more. This applies especially to high and thin cloud (Chapter 8). Unfortunately, the reduced illumination makes people feel that it is unnecessary to seek shelter, though UV levels are still dangerous. Even in shade, one does not escape the UV entirely, since much of it is diffuse, coming from all angles, as Rayleigh scattering by air molecules is more intense at the short wavelengths of UV. Typically, half the UV is diffuse when the Sun is high in a cloudless sky.

Air pollution lowers the UV intensity at the ground, especially in the case of the ozone-rich photochemical smog found over large cities like Sydney and Santiago (Chapter 15). As a result,

UV-B intensities have decreased by 4–11 per cent in the USA and intensities in industrial Germany are half those at a place free of pollution of similar latitude in New Zealand. So reducing air pollution is likely to *increase* the harm from UV.

The intensity varies also with latitude, season and time of day. The annual UV dosage at Brisbane (27°S) is about 50 per cent higher than at Melbourne (38°S) and four times as much as at Macquarie Island (55°S).

The rarefied atmosphere at high elevations leads to less attenuation of the UV. Compared with the dose at sea-level, it is 20 per cent more at 1,500 m elevation, and almost twice as much at 3,000 m, when the Sun is at 50 degrees. The amount that people receive is further increased if there is snow present, reflecting UV upwards.

### Risks to People

Exposure to UV leads to either suntan or sunburn, and to skin cancer. UV-A creates

suntan, which dries the skin, leading eventually to a texture like used teabags. UV-B is more dangerous. Without sunscreen, the outer skin (the epidermis) is penetrated by UV-B, which causes dilation of blood vessels in the underlying tissues, resulting in a redness called *erythema*. Radiation around  $0.3 \mu\text{m}$  wavelength is especially effective. Fair-skinned people absorb more UV-B than those with a naturally dark complexion, and therefore have to be especially careful.

A *dose* is the product of intensity times the exposure duration, and the dose required for erythema is one unit of sunburning tendency, the *equivalent sunburn unit* (ESU). More precisely, an ESU is the minimum dose causing erythema with an overhead Sun, a clear sky and a specified amount of atmospheric ozone. It amounts to  $200 \text{ joules/m}^2$  of solar radiation at a wavelength of  $0.297 \mu\text{m}$ . This typically involves only 12 minutes exposure for a Caucasian skin. Exposure to 5 ESU produces painful sunburn, whilst 10 ESU causes blisters and a consequent risk of infection.

The annual variation of dosage at places in Papua New Guinea and Australia is shown in **Figure 2.16**. With typical summertime values around 25 ESU, Cloncurry (at  $21^\circ\text{S}$  in Queensland) is clearly a relatively dangerous place. The hazard is reduced at Goroka ( $6^\circ\text{S}$ ) by equatorial cloudiness (Chapter 8).

When the Sun is 50 degrees above the horizon, the UV is only half what it is when the Sun is overhead, and there is little danger from UV if the Sun is below 30 degrees. In general, about two-thirds of a day's dose occurs between 10 a.m. and 2 p.m., so that is the time to seek shade.

The most serious outcome of undue exposure to UV is *skin cancer*, an abnormal, cumulative and irreversible growth of cells of the skin. The incidence of skin cancer is three times as much at Cloncurry as at Brisbane, 7 degrees further south, though the amount of UV is only 30 per cent more. About 1 per cent of Australia's population acquires skin cancers each year,

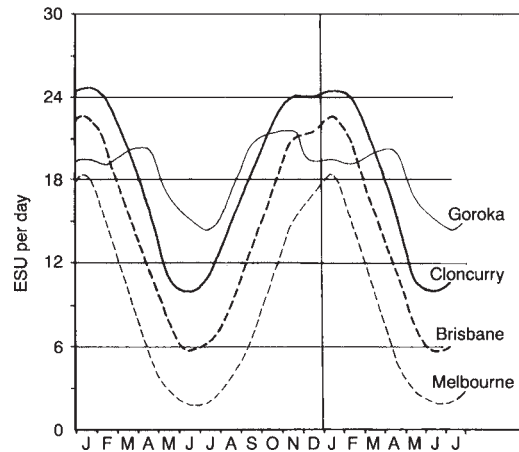


Figure 2.16 The annual variation of the sunburning power of sunlight with normal amounts of cloud. ESU is 'equivalent sunburn unit'. These are figures for a horizontal surface, not for the skin on the body of someone moving about.

including over 7,000 people with *melanomas*, a type which can become lethal.

There has been a worldwide increase of skin cancers, e.g. an 82 per cent increase in Scotland between 1979–1989. The rise is chiefly due to the popularity of suntanning. The problem will worsen if the annual hole in the ozone layer over the poles (Section 1.4) continues to extend towards more inhabited latitudes, and it is unfortunate that the ozone hole occurs in a season of relatively high radiation intensities in the southern hemisphere. Harmful UV is increased by about 1.3 per cent if there is 1 per cent less stratospheric ozone. Measurements at Invercargill (at  $46^\circ\text{S}$  in New Zealand) have shown an increase of UV by 6 per cent between 1981 and 1990.

## 2.7 LONGWAVE RADIATION

The longwave radiation (LW) emitted by any object at Earth temperatures differs in several ways from shortwave radiation *reflected* from it. The LW is invisible, it flows day and night, and both

the wavelength and amount of LW from an object depend on the object's own temperature, not on that of the Sun. And whilst the albedo of a surface (i.e. its *shortwave* reflectivity) may be either large or small, the reflectivity of longwave radiation is nearly zero for almost all materials. In other words, LW is almost totally absorbed by the recipient surfaces, e.g. by clouds thicker than about 500 metres, or by the ground. Another difference between shortwave and LW radiations concerns their transmission through the atmosphere, as follows.

Longwave radiation is emitted upwards by the ground and oceans and is called *terrestrial radiation*. Then water and carbon dioxide in the air intercept much of it (Figure 2.2), becoming warmed by the energy absorbed. Subsequently, the same molecules radiate LW in all directions at wavelengths determined by the molecules' temperatures (Note 2.B).

Cloud droplets are particularly efficient absorbers and emitters of LW, and clouds which are thick enough to hide the Sun are completely opaque to LW. The longwave radiation from such clouds is governed by their temperature, not by any source behind them, whereas SW from clouds is unaffected by cloud temperature.

The downwards LW from clouds and air is called *sky radiation* (or atmospheric radiation or counter radiation). This is more than offset by the terrestrial radiation upwards because the ground is warmer than the sky. For example, a ground surface at 20°C radiates about 28 per cent more than a sky which is effectively at 0°C (Note 2.C). The difference accounts for the cooling of the ground at night, when there are only longwave fluxes. Latitudinal averages of the longwave fluxes show that the difference between sky and terrestrial radiation is most at low latitudes, i.e. nocturnal cooling is fastest there (Note 2.K).

### Effects of Moisture

Sky radiation comes partly from the carbon dioxide in the air, but mostly from the water

which is confined to the lower levels of the atmosphere (Chapter 6). The downwards sky radiation received at the ground comes from a layer of the lower atmosphere whose thickness is sufficient to contain enough water to be opaque to longwave radiation. Such a layer of cloudless air is commonly only some hundreds of metres thick, depending on the humidity. Consequently, the effective temperature of the layer radiating to the ground is only a few degrees below that at the surface. Also, the layer responsible for sky radiation is thinner (and therefore lower, warmer and emitting more) if the air is humid, as occurs over low-latitude seas.

A result of the effect of atmospheric moisture on sky radiation is that the surface cools more rapidly at night in winter, as winter air holds less water vapour (Chapter 6). The effect also explains why particularly cold nights are experienced in dry climates, with a consequent large diurnal range of temperature (Chapter 3).

Clouds increase sky radiation, depending on the elevation of cloud base: if the base is low, it is relatively warm, and therefore emits more sky radiation. The increased sky radiation due to cloud offsets more of the upwards terrestrial radiation, so that surface cooling at night is slower with an overcast sky. As an example, measurements at Sydney showed cooling by 6.2 K between 6–9 p.m., when the sky was clear, but only 2.3 K when totally cloudy.

The lowest kind of cloud is a thick fog (Chapter 8), so it is a most efficient blanket, its temperature and hence its longwave radiation being about the same as that of the underlying surface.

### Greenhouse Effect

As mentioned in Section 2.3, some terrestrial radiation escapes through the atmosphere by means of a window for radiation with wavelengths around 11  $\mu\text{m}$ , though this is much

less effective than the window around  $0.5\ \mu\text{m}$  for incoming solar radiation. In other words, the atmosphere is much less transparent to LW than it is to SW. This causes what is called the ‘*natural greenhouse effect*’ on global temperatures. Heat is trapped, so that the Earth’s surface is warmed to around  $+15^\circ\text{C}$  on average, instead of the  $-18^\circ\text{C}$  that it would be otherwise (**Note 2.I**). Nowadays the additional carbon dioxide emitted by the burning of fossil fuels in recent decades (Section 1.3), together with increases in other gases such as methane, has created an *enhanced greenhouse effect* (EGE), an additional trapping of terrestrial radiation, leading to gradual global warming (Chapter 15).

The name of the effect arose from a mistake in explaining the warmth of a horticultural glasshouse. It used to be thought that the warmth arose from the properties of glass, which readily transmits solar radiation inwards but will not allow the escape of longwave radiation outwards from the surfaces within the greenhouse, as in the atmosphere. However, an experimental greenhouse of a material which *does* transmit longwave radiation proves to be equally warm. In fact, a glasshouse’s temperature is mainly due to shelter from the wind. Nevertheless, the explanation which is incorrect for glasshouses does apply to the Earth’s atmosphere, and the name has stuck.

The carbon dioxide atmospheres of Mars and Venus (Table 1.2) lead to appreciable greenhouse warming. The surface on Mars is at  $-47^\circ\text{C}$ , instead of  $-57^\circ\text{C}$  without the effect, and on Venus  $+525^\circ\text{C}$  instead of  $+50^\circ\text{C}$  [*sic*]. The fact that we can calculate the actually observed temperatures is some reassurance that we understand the greenhouse effect.

Greenhouse gases other than carbon dioxide are relatively small in amount but inherently much more potent. The *global warming potential* (GWP) of methane is about 50 (Section 1.3), i.e. its warming effect is 50 times that of a similar amount of carbon dioxide over a period of twenty years. For CFC-12 the GWP is 8,000, making elimination of this gas highly desirable.

The opposite effect results from haze in the sky, notably volcanic dust in the lower stratosphere (Note 2.G). It transmits terrestrial radiation, but absorbs and reflects solar radiation so that less reaches the ground. As a result, haze tends to *cool* the surface.

## 2.8 NET RADIATION

So far we have discussed separately the downward (i.e. positive) radiation fluxes (comprising global shortwave radiation  $R_s$  and longwave sky radiation  $R_{ld}$ ) and the negative fluxes upward from the ground, consisting of (i) reflected shortwave radiation  $a.R_s$  (where  $a$  is the surface albedo) and (ii) the emitted terrestrial radiation  $R_{lu}$ . If the combined upward fluxes are subtracted from the combined fluxes downward (Figure 2.6), the result is what we call the *net radiation flux*  $R_n$  at the surface. (Sometimes it is called the ‘radiation balance’, but that might be confused with the ‘energy balance’ dealt with in Chapter 5.) The symbolic definition of the net radiation (flux) at the surface is as follows:

$$R_n = (1-a) R_s + R_{ld} - R_{lu}$$

It represents the *overall input* of radiation energy absorbed at the ground, and clearly depends on all the factors which govern the four constituent fluxes—the Sun’s elevation, the cloudiness, atmospheric turbidity, surface albedo, the temperature and dryness of the air, and the altitude. Net radiation is important because it comprises the energy available for heating the ground and nearby air (Chapters 3 and 7), and for the evaporation of surface water (Chapter 4).

The difficulty of measuring net radiation accurately means that it is rarely done. Instead, it can be estimated approximately from either measurements or estimates of the solar irradiance  $R_s$  (**Note 2.M**).



The components of the net radiation at a place can be set out in tables, such as **Tables 2.5** and **2.6**, which show their relative magnitudes. The longwave figures are comparable with those for solar radiation  $R_s$ , which may seem surprising, since the Sun is so much hotter than the surfaces which generate the longwave fluxes. But those surfaces of the Earth and its atmosphere are much closer than the Sun. Also, longwave fluxes occur throughout each day, whereas shortwave radiation exists only in the daytime.

**Values**

Typical radiation conditions are shown in Table 2.5 for a forest and meadow. Even though the solar radiation onto the meadow happened to be more (i.e. 336 instead of 292 W/m<sup>2</sup>), the net radiation onto the forest was greater, on account of a lower albedo. The *radiation efficiency* (i.e. the ratio  $R_n/R_s$ ) was 64 per cent for the forest and

51 per cent for the meadow at the time of the measurements. The ratio is quite different at the South Pole, where  $R_n$  is negative (Table 2.6).

The net radiation at the surface varies primarily according to latitude (**Figure 2.17**), as do the components of the net radiation *at the top of the atmosphere* (**Figure 2.18**). The latter shows the variation with latitude of (i) the longwave-radiation loss to space, and (ii) the net solar-radiation income  $R_a(1-a)$ , where  $R_a$  is the extra-terrestrial radiation (Note 2.F) and  $a$  is the planetary albedo according to latitude (Figure 2.15). The shortwave income varies greatly with latitude because of latitudinal differences of albedo (due to ice and cloud) and of  $R_a$ . But the longwave loss is remarkably unaffected by latitude, despite the variation of surface temperature from equator to pole. This is because much of the loss is from the tops of clouds, which tend to be warmer than the ground at the poles, but *cold* in the tropics because clouds there are so tall, on account of the strong

Table 2.5 Typical radiation budgets for a forest and a meadow at 44°N in Oregon, averaged over different entire clear days

| Radiation* |           | Symbol         | Forest | Meadow |
|------------|-----------|----------------|--------|--------|
| Shortwave  | Incoming  | $R_s$ †        | 100    | 100    |
|            | Reflected | $\alpha R_s$ † | -10    | -24    |
| Longwave   | Incoming  | $R_{ld}$       | 108    | 86*    |
|            | Outgoing  | $R_{lu}$       | -135   | -112   |
| net        |           | $R_n$          | 63*    | 50*    |

\* Radiation components are expressed as percentages of the incoming solar radiation, i.e. of 292 W/m<sup>2</sup> for the forest and 336 W/m<sup>2</sup> for the meadow  
 † Note that the figures for  $R_s$  and  $\alpha R_s$  imply an albedo of 10% for the forest (i.e. 10/100), and 24% for the meadow

Table 2.6 Components of radiation fluxes on the ground at the South Pole in units of W/m<sup>2</sup>

| Direction | Shortwave SW | Longwave LW | All-wave |
|-----------|--------------|-------------|----------|
| Downwards | +137         | +113        | +250     |
| Upwards   | -116*        | -143†       | -259     |
| Net       | +21          | -30         | -9‡      |

\* Note the high albedo, inferred as 85% (i.e. 116/137).  
 † This was estimated by means of the Stefan-Boltzmann equation (Note 2.C), from the mean temperature of -49°C.  
 ‡ The net radiation is negative at the South Pole even in January (i.e. -1 W/m<sup>2</sup>). Different values have been measured at Vostok (78°S, 3,450 m elevation), i.e. an annual mean of -5 W/m<sup>2</sup>, ranging from a net income of +11 W/m<sup>2</sup> in January to a net loss of -22 W/m<sup>2</sup> in July.

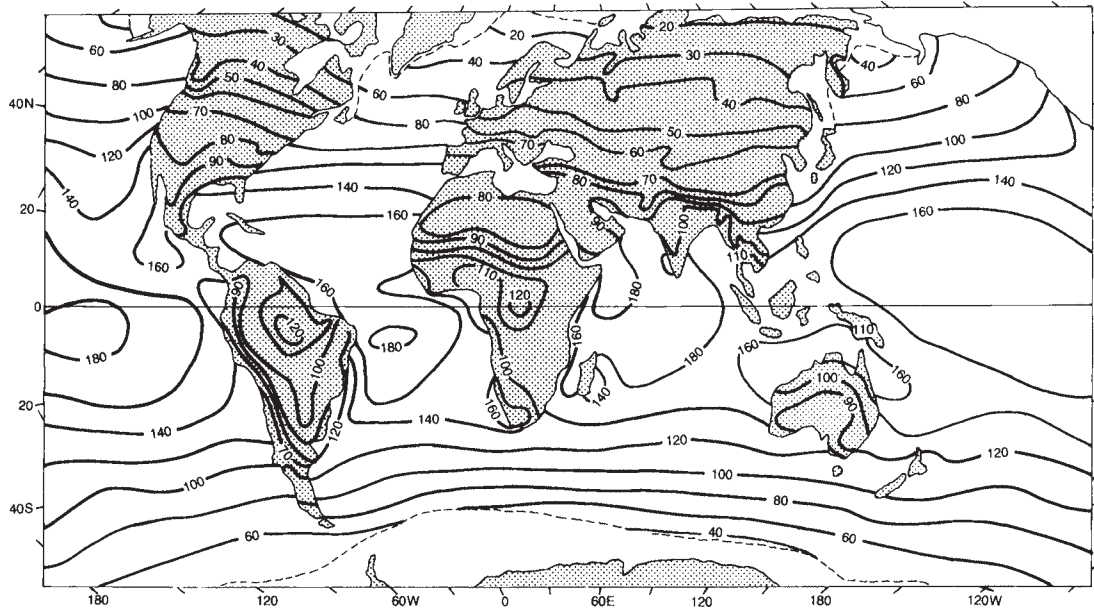


Figure 2.17 The annual mean net radiation at the Earth's surface in  $\text{W}/\text{m}^2$ . The dashed line is the annual mean boundary of sea-ice; net radiation to the surface can be negative poleward of that line, i.e. upwards.

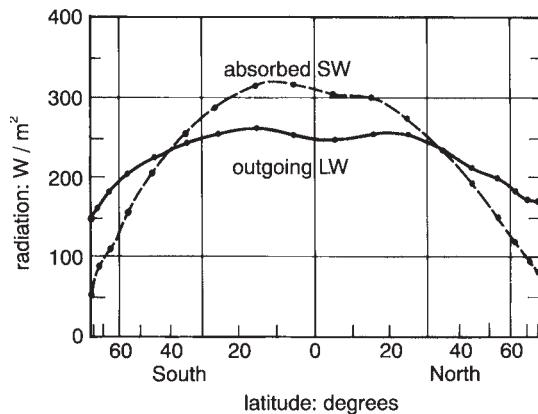


Figure 2.18 Effect of latitude on the extra-terrestrial fluxes of (i) the net shortwave radiation absorbed by the Earth, i.e.  $[1-a]R_p$  where  $a$  is the average planetary albedo and  $R_p$  is the average extra-terrestrial radiation at the particular latitude, and of (ii) the longwave radiation emitted by the surface and atmosphere of the Earth.

convection. Even in cloud-free parts of the humid tropics the longwave radiation loss is less than might be expected, since it comes not from the hot surface but from the atmospheric moisture at cooler levels above.

The comparison shows that the global income is exceeded by the loss over the 43 per cent of the Earth's area which is above about 35 degrees latitude, i.e. there is an overall *inflow* of radiation from space (i.e. the Sun) equatorward of 35 degrees latitude, but an *equal outflow* from higher latitudes. That would mean a tendency for the equator to become ever warmer and the poles to cool, except for the flow of energy from the equator to the poles in winds and ocean currents (Chapters 5, 11 and 12).

There is a notable contrast between the net radiation onto oceans near the Tropics, and onto adjacent lands. A high intensity over the oceans (Figure 2.17) arises from the low albedo (Table 2.3) and a reduced upward LW due to a

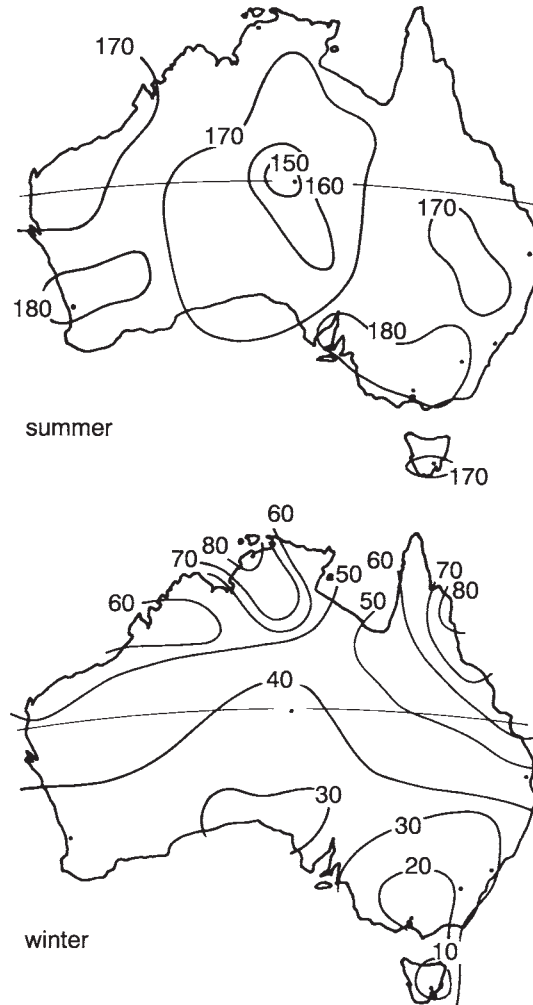


Figure 2.19 Net radiation onto Australia in units of  $\text{W/m}^2$

combination of high humidity, cloudiness and a surface cooled by evaporation (Chapter 4).

However, adjacent land areas near the Tropics tend to be dry, so that net radiation is reduced by a high albedo (Section 2.5) and an extreme LW loss, due to low humidity, an absence of clouds and high daytime surface temperatures.

Cloud alters the net radiation at the surface less than might be expected, despite the reduced solar radiation  $R_s$ . That reduction tends to be offset by (i) less upward reflected solar radiation

( $a.R_s$ ), (ii) an extra downward flux due to some reflection of the upwards  $a.R_s$  back down by the cloud, (iii) increased sky radiation downwards, and (iv) less terrestrial radiation up on account of the cooling of areas shaded by cloud. A similar compensation applies with an increase of altitude; greater solar radiation (due to less attenuation by the atmosphere) is offset by less longwave radiation from the colder and drier sky.

Surface net radiation in Australia is low in the

south of the continent in winter but fairly uniform in summer (Figure 2.19). Part of the reason is that summers in northern Australia are cloudy, offsetting the reduced insolation at higher latitudes. Such values of the net radiation explain the patterns of surface temperature in time and space, which are the topic of the next chapter.

## NOTES

- 2.A Electromagnetic radiation
- 2.B Shortwave and longwave radiation
- 2.C The Stefan—Boltzmann equation
- 2.D Effects of the various components of solar radiation
- 2.E The inverse-square law of radiation
- 2.F The monthly mean extra-terrestrial radiation
- 2.G Aerosols and volcanoes
- 2.H Effects of the atmospheric windows
- 2.I Radiation and crop growth
- 2.J Effect of an albedo change on global warming
- 2.K Annual mean longwave radiation
- 2.L The greenhouse effect
- 2.M Simple estimation of net radiation

# 3

## TEMPERATURE

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### 3.1 TEMPERATURE MEASUREMENT

In the previous chapter, we introduced the concept of surface net radiation, which is absorbed at the Earth's surface. Much of that energy is then used to warm the ground or ocean surface, and thence the adjacent air. The resulting surface air temperature is the topic of the present chapter.

#### Heat

The *temperature* is a measure of the concentration of one kind of energy, called *sensible heat*. It has this name because it can be *sensed* by touch or by a thermometer. Sensible heat is a measure of the speed of the molecules of the object being observed; the molecules of a hot object move around fast. It does not include the chemical energy involved in photosynthesis (Note 1.B), nor radiation energy (Chapter 2), nor the energy used in evaporation (Chapter 4), because these forms of energy do not register on a thermometer.

In solids such as the ground, sensible heat is transmitted from a hot to a cold part by *conduction*: the heat is transferred by contact from one molecule to the next. The conducting material does not itself

move. But heat is mainly transferred by *convection* and *advection*, in fluids like air and water. 'Convection' involves the stirring of heat away by either local turbulence or buoyancy, as in cooling an object by putting it into a bucket of water which is swished around. 'Free convection' in the atmosphere and oceans is due to buoyancy and therefore vertical, as in the case of smoke eddying from a cigarette. 'Forced convection' is due to wind. Convection is the chief means by which the ground heats the air above (Section 1.6), the rate depending on the difference between the surface and air temperatures (**Note 3.A**).

The convection of heat is a kind of 'advection', the transport of something in a moving fluid. The advection of heat, for instance, involves a stream of warmed fluid carrying heat away to a distant cooler place. Advection is generally horizontal, although important cases of vertical advection in the atmosphere and oceans are discussed in Chapters 7, 12 and 13.

#### Temperature Scales

There are several scales for measuring temperature (Note 1.K). In 1709 the German

physicist Gabriel Fahrenheit developed the oldest scale still in common use, taking as zero the lowest temperature he had recorded in the city of Danzig, and fixing the upper limit of the scale at the temperature of the human body, which he (erroneously) took to be 100°F. (It is actually near 96°F.) The boiling point of water at sea level is 212 degrees on such a scale.

However, another scale, based on the properties of water, has now become universal. Zero corresponds to the temperature at which ice forms and 100 degrees is the boiling point of pure water at sea level. It was called the Centigrade scale until 1948, but such a hundred-unit scale may be used for measuring things other than temperature. Also, there is now a convention to relate all units to the names of famous scientists, in this case Anders Celsius (1701–44). The relationship between the Celsius (°C) and the Fahrenheit (°F) scales can be found in Note 1.K.

There is also a third measure of temperature, the Kelvin scale (Note 1.K), commonly used in science. In this book, we use both °C and K. In particular, we use K to designate a temperature difference, so that 8°C and 10°C differ by 2 K, for example.

## Thermometers

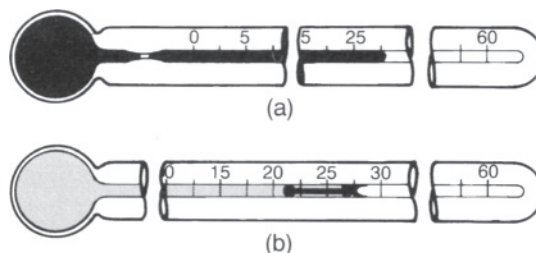
The first thermometer was invented in 1592 by Galileo, who measured the expansion of *air* and found that its volume increases in proportion to its temperature if the pressure is kept constant (Note 1.M). His air thermometer tube was bent round, and so temperatures were described in ‘degrees’ around the circle.

In 1714, Fahrenheit used the expansion of mercury instead of air to obtain a less bulky method of temperature measurement. Mercury thermometers are widely used nowadays, though they have defects—markings wear off, bubbles can occur in the liquid, errors of observation arise unless the thermometer is read

squarely (at right angles to the mercury), and there is a time-lag in taking up a steady value after a rapid temperature change. The lag is greatest in still air and, to allow for it, one should ventilate the thermometer and take repeated readings until three consecutive readings are the same. Finally, a broken thermometer releases mercury, whose fumes are dangerous to health.

Alcohol has several advantages over mercury. It expands about six times as much with heat, e.g. 1 per cent for a change of 10 K, and is much cheaper. But it too has disadvantages: the liquid may adhere to the walls of the thermometer’s glass tube so that the main column reads too low; the column is easily disrupted during very hot weather or in transport, forming a bubble which falsely raises the apparent reading; the liquid may undergo a gradual chemical change and contract, thus giving a low reading. The tendency for alcohol thermometers to read too low contrasts with that of mercury thermometers, which tend to read too high.

Henry Cavendish invented a form of the mercury thermometer in 1757 which registers the highest temperature reached since the instrument was last reset. This is a *maximum thermometer*. As the temperature increases, mercury in the bulb expands through a constriction into the graduated thermometer stem (**Figure 3.1**). When the temperature falls, the



**Figure 3.1** (a) A maximum thermometer, showing the constriction near the base and (b) a minimum thermometer, showing the meniscus and index. Both thermometers are shown in the usual horizontal position, to prevent the weight of the liquid affecting the reading.

column of mercury breaks at the constriction, where a small vacuum forms. Consequently, the reading remains at the highest value so far. The vacuum at the constriction is refilled only if the temperature later rises beyond the previous maximum. Resetting is done by shaking the mercury back into the bulb. If the thermometer is read and reset at 9 a.m. each day, the value recorded usually corresponds to conditions in the afternoon of the *previous* day.

A lowering of temperature in a *minimum thermometer* (Figure 3.1) leads to withdrawal of the alcohol surface towards the bulb. Surface tension then pulls on a lightweight metal index, which tends to rest against the walls of the almost horizontal thermometer. When temperatures rise, the alcohol expands past the index, which is left at the position of the lowest temperature since it was last reset. It is reset by means of a magnet.

Maximum and minimum mercury thermometers are combined in a design devised by James Six in 1782 (**Figure 3.2**). This is convenient and cheap, but less accurate than separate thermometers.

## Other Instruments

Temperatures can also be measured in the following ways:

- 1 Two sheets of different metals are bonded together by rolling, to form a *bimetal* combination which bends when warmed because the metals expand differently. The bending controls a pointer which indicates the temperature on a suitably calibrated scale. This is the usual basis for an instrument called a *thermograph* which records temperatures on moving graph paper.
- 2 The electrical resistance of a wire increases with higher temperatures and can be measured by means of a battery and an electric current meter.
- 3 Thermal expansion of alcohol in a closed, curved tube of metal causes the tube to straighten and thus to move a pointer along a temperature scale.
- 4 Heat applied to the junction of a pair of different metals, A and B, makes an electric current flow from one to the other, i.e. there is a voltage difference between the metals which depends on the junction's temperature. So a loop consisting of two different wires, joined at the ends to make two junctions, creates a voltage which depends on the *difference* between the temperatures of the junctions. This arrangement is called a *thermocouple* and many junctions in series (i.e. a zig-zag of ABABAB, etc) comprise a *thermopile* which provides a voltage large enough to be measured easily. Alternate junctions of the thermopile are exposed to the temperature to be measured T (°C), with the others kept at a known temperature, usually that of melting ice (0°C) so that the voltage is proportional to T.
- 5 A portable radiation meter carried by an aircraft or satellite permits rapid measurement of the temperatures (Note 2.C) of surface or cloud top beneath, from the amount and dominant wavelength of the radiation emitted (Notes 2.B and 2.C).

In each case, the thermometer measures only the *temperature of the sensing element*. In a mercury-in-glass thermometer, the reading shows the temperature of the *mercury* in the bulb at the end, which is not necessarily the temperature of its surroundings. The reading is increased if the thermometer is exposed to the Sun, even though the air around is unaltered. A wet thermometer underestimates the air temperature because evaporation at the surface of the bulb cools the mercury. Therefore accurate measurements of *air* temperature require shading of a dry thermometer bulb and a brisk airflow past it. Stronger ventilation of the bulb gives a reading nearer to the air temperature, one less affected by radiation.

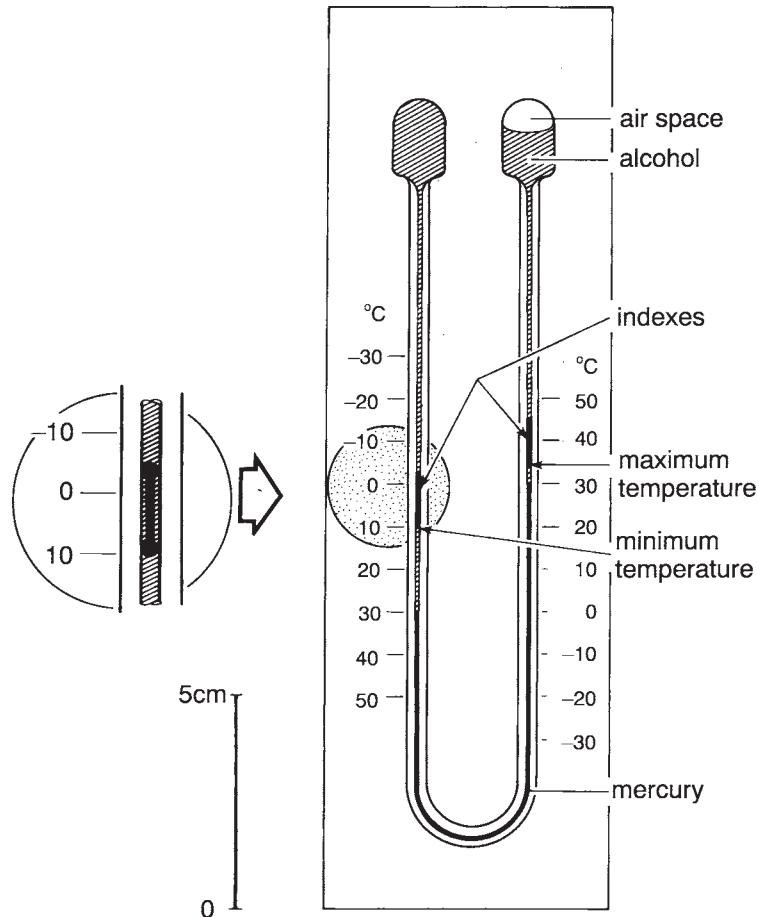


Figure 3.2 Six's thermometer, combining a minimum thermometer (on the left) and a maximum thermometer on the right. In each column there is a metal index pushed up by mercury, which itself is moved by the expansion of alcohol in the upper part of the left column. The bottom of each index shows the respective extreme temperature, whilst the current temperature is shown in each side by the mercury meniscus.

The thermometer must not be held too close to the observer or for too long, or with fingers near the bulb. Thermometers should be read to the nearest tenth of a degree and as rapidly as is consistent with accuracy.

### Screen

Weather observers usually take the air temperature by means of mercury-in-glass

thermometers housed in a louvred white box, called a *Stevenson screen* (Figure 3.3) after its designer Thomas Stevenson (1818–87). The whiteness of the screen reflects away most of the direct heat of the Sun and the louvres provide shading, good ventilation and protection from rain. The screen is oriented with its door facing away from the equator, away from the Sun. The temperature measured in such a box is called the *screen temperature*, often regarded as the surface-air temperature,



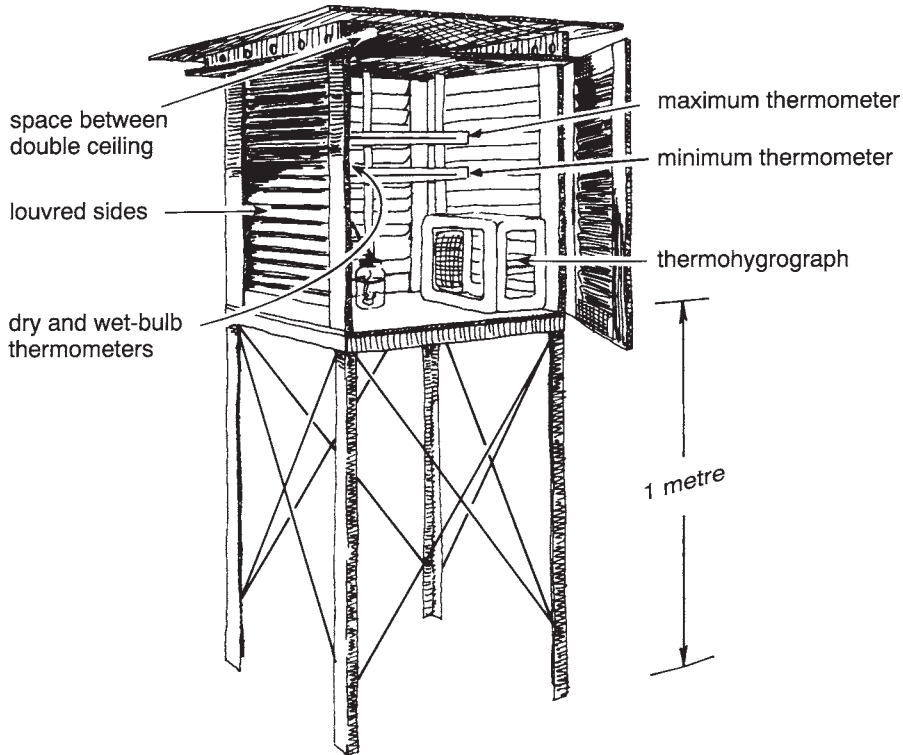


Figure 3.3 A Stevenson screen.

though, strictly speaking, it refers to conditions about 1.5 m above the ground.

### 3.2 SCREEN TEMPERATURES

Air scatters visible light, but absorbs very little, so that it is not appreciably heated by the Sun directly. Instead, solar radiation heats the ground surface and then *the ground heats the nearby air*. Likewise, surface air is cooled by the ground at night. In both cases, screen temperatures *follow* the ground-surface temperatures. However, the lag is negligible near the times of the daily extremes at dawn and early afternoon, when temperatures change only slowly (Section 3.4).

Heating and cooling of the air by the ground lead to screen temperatures varying with elevation, time and space. To consider spatial variation across the globe, it is convenient to link places which have equal surface temperatures by lines on a map called *isotherms*. The positions of isotherms depend on several factors—(i) latitude, (ii) elevation, (iii) the advection of heat in the wind, (iv) advection in ocean currents, (v) distance from the sea, (vi) orientation of the ground to the Sun, (vii) cloudiness, (viii) urban warmth and (ix) wetness of the ground. Some of these are discussed in what follows, and the rest in other chapters.

## Latitude

The latitudinal effect on temperature is dominant and is chiefly a response to the amount of net radiation received (Figure 2.19, **Note 3.B**). **Table 3.1** shows how monthly mean temperatures decrease towards the south, especially in summer; the table indicates a fall by about 1 K for each extra degree of latitude in New South Wales (NSW). Temperatures fall by around 0.7 K for each degree of latitude in Australia as a whole, so that Sydney at 34°S averages about 5 K warmer than Hobart at 42°S. Globally, there is little change between the equator and the Tropics, and a most rapid variation (of about 1.5 K/degree) between 45–55° of latitude. There is sea-ice at latitudes beyond 55°S, which forms at -2°C and cuts off the surface air from the relative warmth of the

ocean, so that there is then a dramatic lowering of air temperatures.

The frequency of hot days depends somewhat on the latitude at the coast, but much more on the distance inland (**Table 3.2**).

## Distance from the Sea

As one travels inland in Australia the average temperature tends to rise (Table 3.1 and Table 3.2), because summers at the coast are cooled by sea breezes (Chapter 14). This is quite different from the pattern in the huge land mass of Eurasia, where July mean temperatures are about 14°C at both Narvik (at 68°N on Norway's coast) and also at Verkojansk at the same latitude, far inland in Siberia, whereas the respective January means are -5°C and -50°C,

Table 3.1 Effects of the season, latitude, elevation and distance inland (km), on temperatures at places in Australia between 29–37°S.

| Factor       | Latitude: (°S) |       | Elevation (m) |          | Distance inland (km) |          |      |
|--------------|----------------|-------|---------------|----------|----------------------|----------|------|
|              | 29–33          | 34–37 | 25–400        | 600–1800 | 25–200               | 300–1100 |      |
| Mean         | Jan.           | 24.4  | 21.5          | 24.4     | 18.2                 | 21.4     | 25.8 |
|              | July           | 9.9   | 8.0           | 10.2     | 4.9                  | 8.7      | 9.6  |
| Daily range  | Jan.           | 14.1  | 13.8          | 13.9     | 13.9                 | 12.6     | 15.7 |
|              | July           | 12.5  | 10.9          | 11.9     | 10.7                 | 11.8     | 12.1 |
| Annual range |                | 14.5  | 13.5          | 14.0     | 13.2                 | 12.6     | 16.2 |

Table 3.2 Effect of latitude and distance from the sea on the temperatures exceeded for 7.5 hours in January and July, respectively.

| Place         | Latitude | Distance inland (km) | January temp. exceeded (°C) | July temp. exceeded (°C) |
|---------------|----------|----------------------|-----------------------------|--------------------------|
| Alice Springs | 24°S     | 900                  | 40.7                        | 25.1                     |
| Giles         | 26°S     | 700                  | 41.0                        | 25.0                     |
| Broken Hill   | 32°S     | 400                  | 39.4                        | 18.6                     |
| Canberra      | 36°S     | 100                  | 33.8                        | 13.6                     |
| Darwin        | 12°S     | coast                | 33.4                        | 31.8                     |
| Townsville    | 19°S     | coast                | 33.9                        | 26.2                     |
| Port Hedland  | 21°S     | coast                | 41.2                        | 30.0                     |
| Perth         | 32°S     | coast                | 39.0                        | 20.0                     |
| Adelaide      | 34°S     | coast                | 37.0                        | 16.8                     |
| Sydney        | 34°S     | coast                | 32.4                        | 19.8                     |
| Melbourne     | 38°S     | coast                | 35.8                        | 16.1                     |

so that the inland place is about 22 K *colder* than the coast over the whole year.

Coastal temperatures are influenced by that of the nearby ocean surface, which in turn is affected by the advection of heat in ocean currents (Chapter 11), either from lower latitudes (bringing warmth) or from higher latitudes, cooling the shore. The directions of the main ocean currents (Chapter 11) tend to make the western coast of a continent cooler than the eastern, as seen in **Figure 3.4**. For instance, the cold current off Lima in Peru limits monthly mean daily maximum temperatures to 28°C, even though it is at 12°S, the same as Darwin, where the equivalent is 34°C. The Gulf Stream from the Gulf of Mexico towards Europe raises the mean temperature of Dublin (at 54°N) about 4 K above that of Tierra del Fuego (at 54°S), at the tip of South America.

As regards Figure 3.4, the obvious similarity between the pattern of temperature and that of incident solar radiation (Figure 2.11) shows the close connection between sunshine and warmth.

## Elevation

Average temperatures from many places show that they tend to decrease by about 4.2 K per kilometre extra elevation (**Figure 3.5**). (This average rate for *surface* temperatures is less than the rate of about 6.5 K/km measured during ascent *in free air*, see Note 1.L.) An analysis of data from Australia alone, and therefore over a smaller range of heights, shows a variation between 4.5 K/km in January and 6.5 K/km in November. The coolness at higher elevations is the result of the expansion of ascending air (Section 1.6), which spreads the air's warmth over a larger space, reducing the amount of sensible heat in unit volume, i.e. lowering the temperature. A similar cooling is observed in air escaping from a car tyre, and a corresponding warming results from the compression within a bicycle pump.

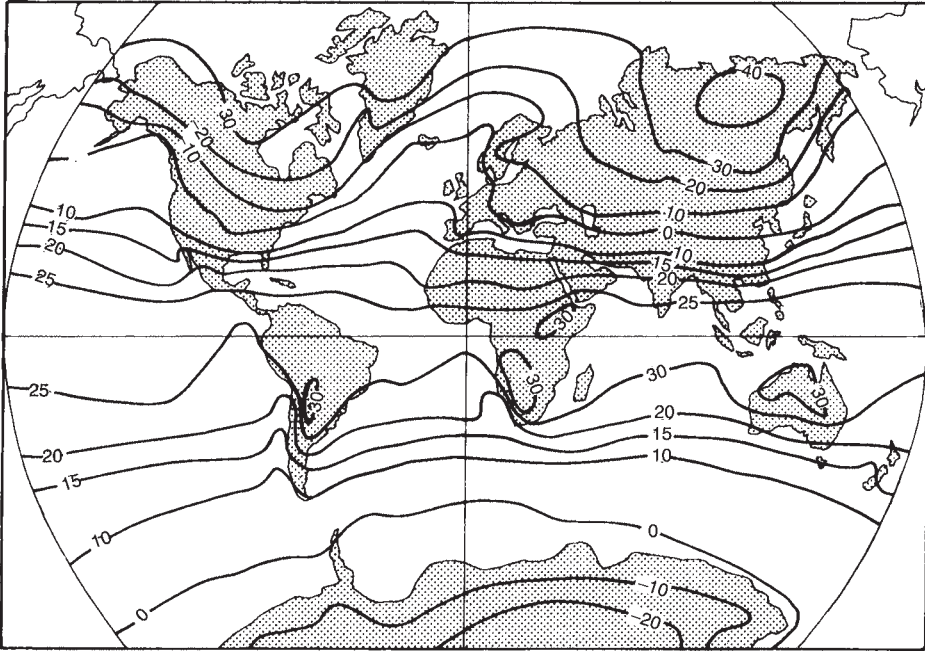
The combined effect of latitude and elevation is seen in **Figure 3.6**, which shows the variation with latitude of the summertime *snowline*; snow persists throughout the year above this line. Consequently, there is snow on the top of Mt Kilimanjaro (5,895 m), even at 3°S, and a glacier at about 5,000 m on Mt Carstenz at 4°S in West Irian Jaya (Plate 3.1). The Quelccaya ice cap is at 5,670 m at 14°S in Peru. Elsewhere in South America, the snowline is at 5,180 m at 17°S in Bolivia, 4,500 m at 33°S in Chile and 1,140 m at Tierra del Fuego (54°S). It is highest around the Tropic, where solar radiation is at its greatest (Figure 2.11).

## Wind Direction

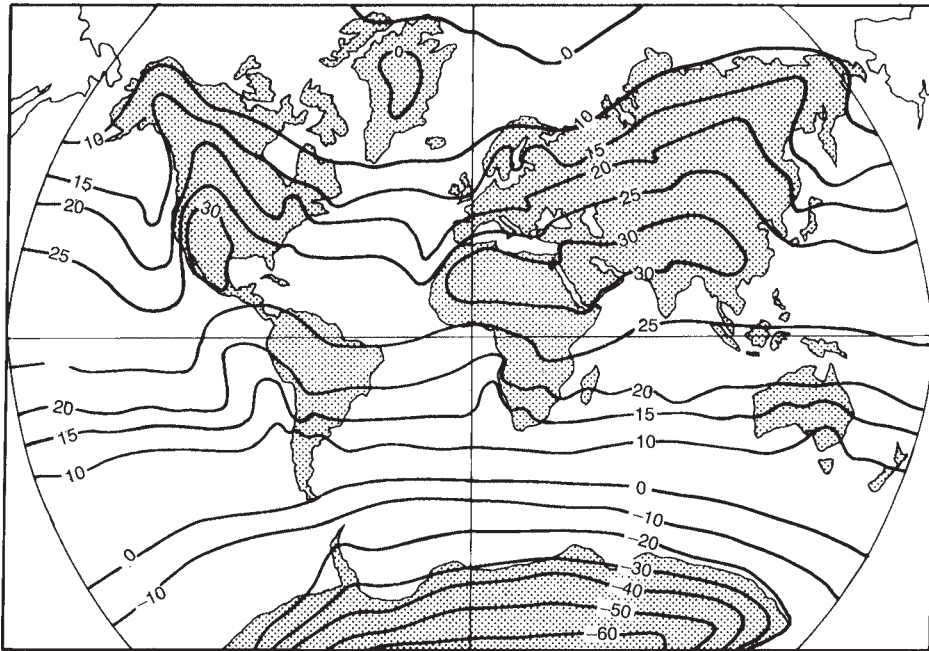
Advection of heat in winds may affect surface temperature, especially when the winds flow directly from high latitudes, for instance. Advection is insignificant near the equator where all winds have much the same temperature, but is an important cause of day-to-day changes of temperature at midlatitudes.

## Extremely High Temperatures

The highest shade temperature yet recorded is 58°C at Al'Aziziyah in Libya, in 1922. In the southern hemisphere, 48.9°C has been recorded at Rivadavia in Argentina and 53.1°C at Cloncurry in Queensland. The records in Melbourne and Sydney, are 44.7°C and 45.3°C, respectively, and at Adelaide 47°C. A particularly hot place is Marble Bar (Western Australia), with a long-term mean daily maximum of 35.3°C and temperatures above 37.8°C (100°F) on each of 162 consecutive days during a *heatwave* in 1923–24. (Sometimes a 'heat wave' is arbitrarily defined as a series of consecutive days with maximum temperatures above 32.2°C, i.e. 90°F.) Marble Bar is hot because it lies in the north-west of Australia, i.e. towards the equator, and on the downwind edge



January



July

Figure 3.4 Comparison of the global patterns of isotherms in January and July, respectively.

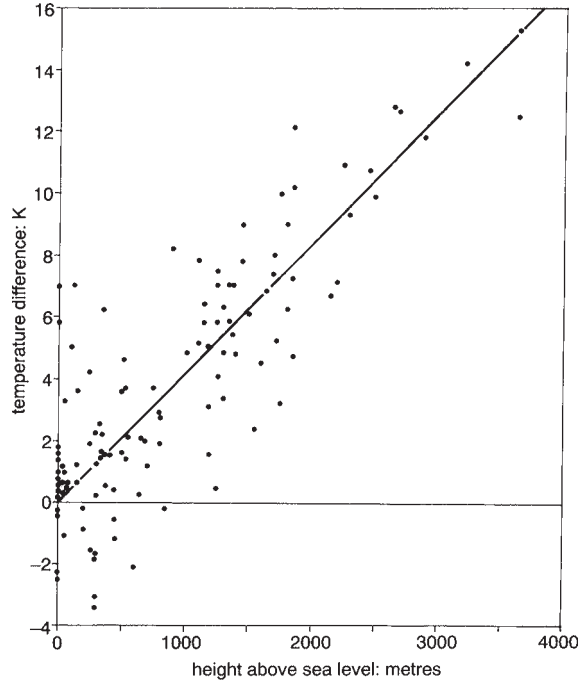


Figure 3.5 The effect of the elevation of a place on the difference there between the latitudinal-mean long-term-average sea-level temperature and the observed mean temperature. The data come chiefly from places in South America and Africa (south of the equator) and North America. The slope of the line is 4.2 K per kilometre.

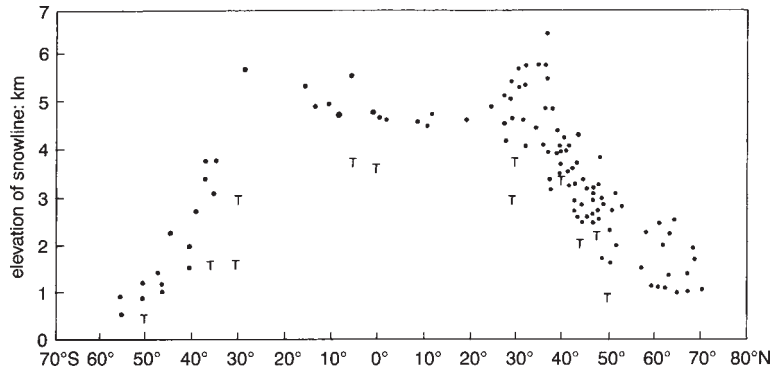


Figure 3.6 Effects of latitude on the elevation of the *snowline*, shown by black dots, and on the average height of the *treeline*, shown by the letter T, above which all monthly mean temperatures are too low for trees to thrive, i.e. below 12°C.



*Plate 3.1* The glaciers on Mt Carstenz (5,029 m), above the snowline, near the equator (i.e. at  $4^{\circ}\text{S}$ ). The two photographs were taken respectively in 1936 (a) and in 1991 (b), showing the same scene but from opposite ends of the valley. The area of snow decreased appreciably over the half-century in accordance with the global warming discussed in Chapter 15. In other words, this plate suggests that global warming has not been occurring only at high latitudes, as sometimes reckoned.

of the continent (with respect to the prevailing easterly winds), at the greatest distance from the relatively cool Pacific Ocean. Proximity of the sea everywhere in New Zealand limits the record maximum there to  $35.8^{\circ}\text{C}$  (at Oxford in the South Island). The record at Auckland ( $37^{\circ}\text{S}$ ) is only  $32.5^{\circ}\text{C}$ , since it is surrounded by water. New Zealand's highest temperatures occur on the south-east side of the South Island; this

happens when north-westerly winds subside from the mountains (Chapter 7).

The hottest places in the world are joined by a curving line called the *thermal equator*. It fluctuates during the year from near the geographical equator in January (with excursions of 20 degrees latitude south within the South American, African and Australian continents) to about  $10^{\circ}\text{N}$  over the oceans in July, but  $35^{\circ}\text{N}$  in North America,  $20^{\circ}\text{N}$  in Africa and  $30^{\circ}\text{N}$  across

Asia. The average is about 5°N, so the Earth's surface temperatures are asymmetric about the equator, because of the different amounts of land in the two hemispheres. The asymmetry is like that of solar radiation (Section 2.2).

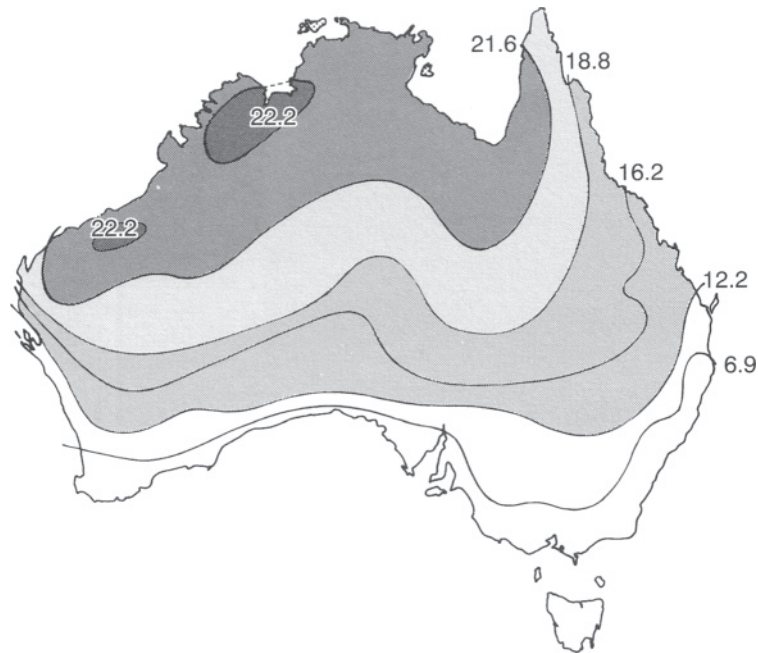
Highest screen temperatures in the southern hemisphere tend to occur sometime *after* December 22 (Section 2.2). The lag is only two or three weeks inland, but a month or more near the sea. It is greatest for a small island, again because of the sea's slowness to change temperature (Section 3.3). Of course, variations of cloud and weather complicate matters, and so the hottest month is November in the north of Australia, but later in the south.

The pattern of the energy needed for air conditioning in Australia (**Figure 3.7**) reflects the latitude, the distance inland and the distance downwind of the east coast, where the dominant winds impinge in summer (Chapter 12).

One important effect of a heatwave is its impact on human mortality (**Note 3.C** and **Table 3.3**), even though the effect is partly offset by adaptation and acclimatisation (**Note 3.D**). As a result, increased urban heating (Section 3.7), air pollution and global warming (Chapter 15) will worsen conditions for a population which is ageing and becoming more urban.

### Low Temperatures

The counterpart of a heatwave is a *cold spell*, a period of unusually low temperatures. The lowest daily minimum ever observed was -88.3°C at Vostok in Antarctica, due to the high latitude (78°S) and elevation (3,420 m), the relative absence of surface winds from warmer climates, remoteness from the sea's influence (being about 1,400 km inland), and the permanent snow cover,



*Figure 3.7* Electrical energy needs for domestic space cooling in Australia, in units of gigajoules per person annually, given current building standards and air-conditioning equipment.

Table 3.3 Effect of daily temperatures on mortality from various causes during the 1966 heatwave in the New York metropolitan area

| Date                        | Daily temperatures (°C) |      |      | Causes of death* |              |       |
|-----------------------------|-------------------------|------|------|------------------|--------------|-------|
|                             | Max.                    | Mean | Min. | Stroke           | Heart attack | Other |
| June 30                     | 34                      | 29   | 23   | 89%              | 83%          | 97%   |
| July 1                      | 31                      | 27   | 23   | 109%             | 94%          | 134%  |
| 2                           | 38                      | 30   | 22   | 99%              | 91%          | 114%  |
| 3                           | 39                      | 31   | 24   | 214%             | 162%         | 147%  |
| 4                           | 37                      | 31   | 25   | 302%             | 258%         | 390%  |
| 5                           | 31                      | 27   | 22   | 219%             | 178%         | 173%  |
| 6                           | 33                      | 27   | 22   | 156%             | 129%         | 107%  |
| 7                           | 34                      | 29   | 23   | 172%             | 120%         | 108%  |
| 8                           | 33                      | 26   | 20   | 115%             | 117%         | 66%   |
| 9                           | 33                      | 26   | 19   | 94%              | 106%         | 103%  |
| Total heat-related deaths † |                         |      |      | 109              | 372          | 548   |

\* The numbers shown are the mortality rates expressed as a percentage of the normal mortality rate at that time of the year, due to the causes listed.

† The number of heat-related deaths was estimated by subtracting the normal death rate from the death rate during the ten-day heat wave.

with its high albedo reflecting solar energy away (Table 2.3). Such temperatures cannot be measured with a thermometer containing mercury, which freezes at  $-39^{\circ}\text{C}$ .

Australia is warm by comparison; the record low is  $-23^{\circ}\text{C}$ , measured on 29 June 1994 at Charlotte Pass, at 1,759 m near Mt Kosciusko (NSW). The effect of such low temperatures on people is aggravated by wind, and in cold climates one refers to the *windchill temperature*, which combines the effects of temperature and wind (Note 3.E).

### Long-term Variation

Annual mean temperatures change from one year to the next. In Sydney, for instance, the difference between the annual means of consecutive years has been as much as 1.2 K. The scatter of annual mean values may be expressed in terms of the ‘standard deviation’ (Chapter 10); it is 0.5 K at Sydney. That is comparable with the apparent climate warming

this century (Chapter 15), making it still hard to discern long-term trends with certainty.

Several factors which affect the year-to-year variations are discussed elsewhere. These include the temporary cooling due to the veil of dust from a large volcanic eruption (Note 2.G), changes of ocean temperatures (Chapter 11) and fluctuations of wind patterns (Chapters 12–14). The variations are partly responsible for differences of crop yields. Plant growth tends to vary as shown in **Figure 3.8** for optimal soil, radiation and water-supply conditions, often increasing with temperature up to  $30^{\circ}\text{C}$  or so (Note 3.F). At higher temperatures net growth is reduced by increased respiration, the opposite of photosynthesis (Note 1.B).

### 3.3 SEASONAL CHANGES

There is an annual swing of temperature due to the tilt of the Earth’s axis, either toward the Sun (summer) or away (winter), as shown in Figure 2.3. However, there is no single well-defined season of relatively high temperatures between



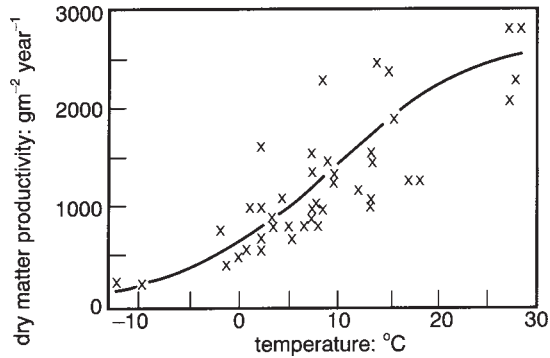


Figure 3.8 The effect of annual mean temperature on the 'net primary productivity', which is the measured total amount of photosynthesised organic material less what is lost in respiration, assuming optimal conditions of soil moisture and nutrients, etc.

the Tropics, which includes the northern 40 per cent of Australia. At the equator, the midday sun is well above the horizon in every month, so the seasonal changes of temperature are less than 3 K. Consequently, seasons between the Tropics tend to be defined by *rainfall* rather than temperature. For instance, there is 'the Wet' in the 'Top End' of Australia (to use the local terminology) starting around year's end and lasting about three months (Chapter 10). At the opposite extreme, a polar 'night' of several weeks allows long uninterrupted cooling and hence a large annual range of temperatures in Antarctica (**Table 3.4**). Monthly mean temperatures at the

South Pole vary from  $-25^{\circ}\text{C}$  in January to  $-60^{\circ}\text{C}$  in July. A strong dependence on latitude is evident in South America (**Figure 3.9**), but the annual range is strikingly unaffected by the elevation of the Andes mountains.

Annual ranges in the south are less than in the northern hemisphere, because there is more ocean to cushion changes of temperature. The average range of monthly mean temperatures is about 30 K at  $60^{\circ}\text{N}$  compared with 13 K at  $60^{\circ}\text{S}$ , and 20 K at  $40^{\circ}\text{N}$  compared with 10 K at  $40^{\circ}\text{S}$ . The role of latitude is discussed further in **Note 3.G**.

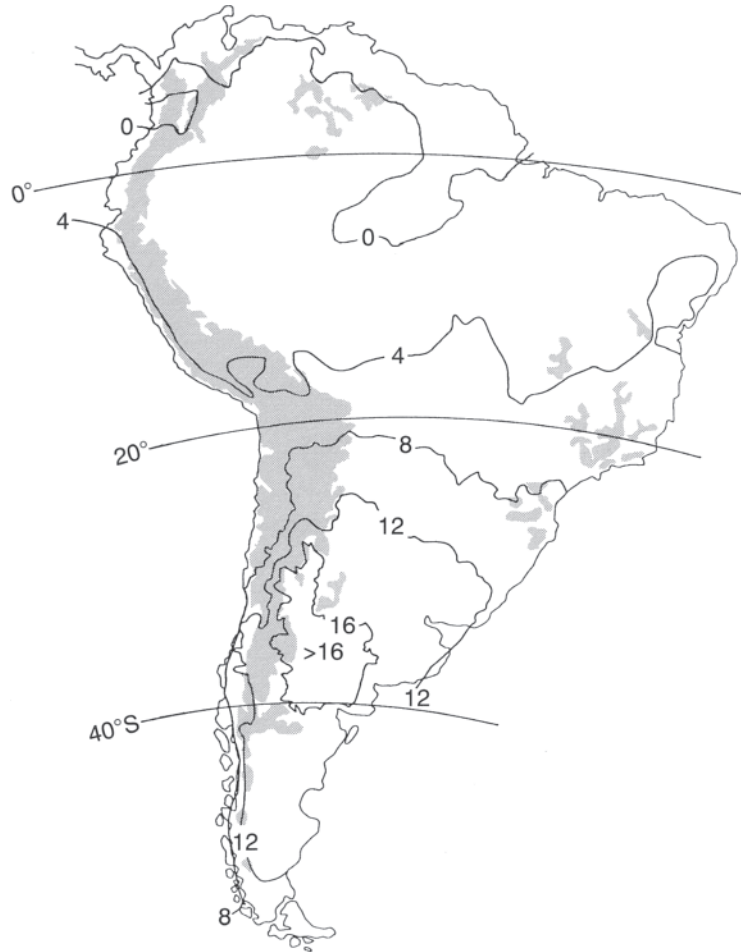
### Distance from the Sea

The annual range of temperature is increased by remoteness from the sea (Table 3.1, Note 3.G, **Figure 3.10**). **Table 3.5** shows ranges to be 14.8 K inland but only 8.5 K at the coast. The effect is commonly called *continentality*, though that is merely a label not an explanation. The explanation is that water changes temperature only slowly, compared with a land surface, for the following reasons:

- the absorption and sharing of radiation heat over a substantial depth;
- evaporation cooling, which compensates for any increase of radiation input (Chapter 5);

Table 3.4 Effect of latitude on the annual range of temperatures; the mean temperatures are averages of values from the same latitudinal band and month

| Latitude ( $^{\circ}\text{S}$ ) | January mean ( $^{\circ}\text{C}$ ) | July mean ( $^{\circ}\text{C}$ ) | Range (K) |
|---------------------------------|-------------------------------------|----------------------------------|-----------|
| Equator                         | 26.4                                | 25.6                             | 0.8       |
| 10                              | 26.3                                | 23.9                             | 2.4       |
| 20                              | 25.4                                | 20.0                             | 5.4       |
| 30                              | 21.9                                | 14.7                             | 7.9       |
| 40                              | 15.6                                | 9.0                              | 6.6       |
| 50                              | 8.1                                 | +3.4                             | 4.7       |
| 60                              | +2.1                                | -9.1                             | 11.2      |
| 70                              | -3.5                                | -23.0                            | 19.5      |
| 80                              | -10.8                               | -39.5                            | 28.7      |
| 90                              | -13.5                               | -47.8                            | 34.3      |



*Figure 3.9* The difference between January-mean and July-mean temperatures in South America. The lines labelled zero separate places where January is hotter than July from those in the north where the reverse is true.

- (c) a comparatively large specific heat (Note 3.A);
- (d) the dispersion of heat by oceanic advection between hot and cold regions (Chapter 11); and
- (e) the mixing of surface heat into the bulk of the water through the stirring caused by waves.

As a consequence, the annual variation of seasurface temperatures is below 1 K at the

equator and only about 5 K near 30°S in the Pacific and Indian Oceans, and around Australia.

The difference between the hottest and coldest months is 5 K at the tip of Cape York Peninsula in the north of Queensland, where the measuring site is almost surrounded by sea. By contrast, the annual range of monthly mean temperatures is as much as 18 K over the western interior of Australia, and even more on the much larger land masses of Eurasia and northern America. It exceeds 40 K in parts of monsoonal

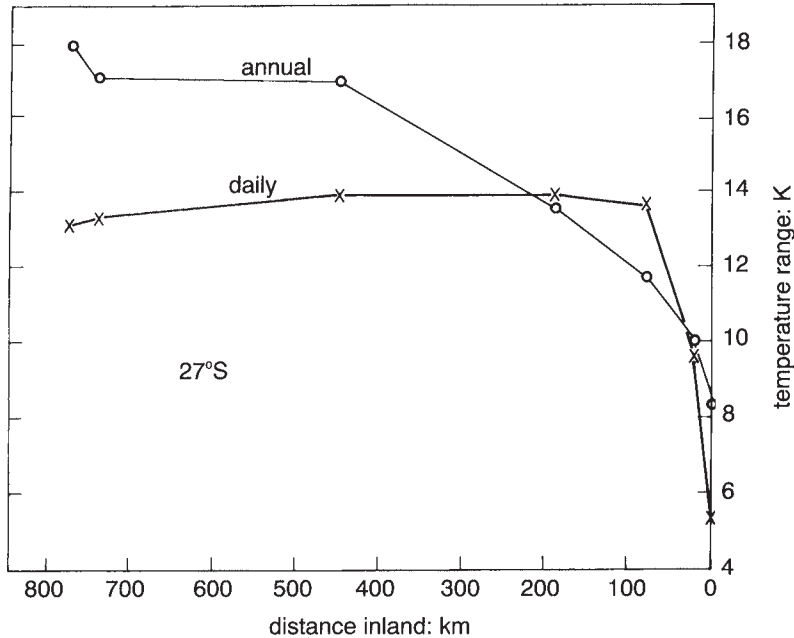


Figure 3.10 The effect of distance inland at 27°S in Queensland on the annual and daily ranges of temperature.

Table 3.5 Effect of distance from the sea on the daily and annual temperature ranges; the comparison is between two places at 35°S on the east coast of Australia, i.e. Jervis Bay at 77 m and Canberra at 571 m

| <i>Jervis Bay, at the coast</i> |                           |                           |                     |
|---------------------------------|---------------------------|---------------------------|---------------------|
| <i>Month</i>                    | <i>Daily maximum (°C)</i> | <i>Daily minimum (°C)</i> | <i>Average (°C)</i> |
| January                         | 23.7                      | 17.4                      | 20.5                |
| July                            | 15.1                      | 9.0                       | 12.1                |
| Difference (K)                  | 8.6                       | 8.4                       | 8.5                 |
| <i>Canberra, 100 km inland</i>  |                           |                           |                     |
| January                         | 27.5                      | 12.8                      | 20.1                |
| July                            | 11.1                      | -0.5                      | 5.3                 |
| Difference (K)                  | 16.4                      | 13.3                      | 14.8                |

regions (Chapter 12). Australia has Siberia, China and Canada.

### Wind Direction

The yearly range is also governed by any

variation of the prevailing wind direction, as in relatively small annual ranges, as the lack of any great north-south corridor in the lee of high mountain ranges like the Rockies or the Andes means that there is no obvious route for large invasions of polar air in winter. Such inflows occasionally lower temperatures in Dallas (east

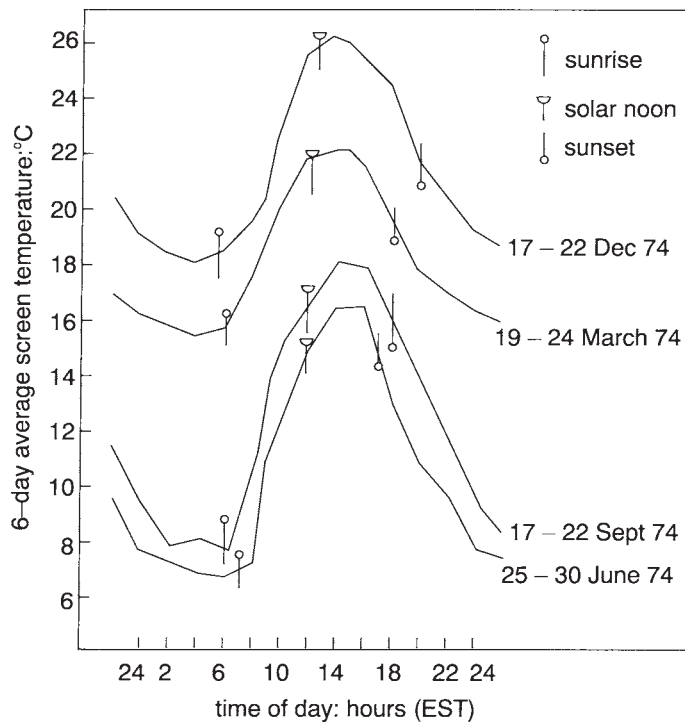
of the Rockies at 33°N) to below -20°C, compared with -2°C as the lowest temperature ever observed at Hobart at 42°S.

Temperatures in Adelaide yield a larger annual range than in other Australian cities (i.e. 11 K, between a monthly mean of 22°C in February and 11°C in August) because summer winds tend to come from the hot interior, whilst the prevailing wind in winter is from the polar south.

### 3.4 DAILY CHANGES

Screen temperatures do not rise and fall over equal periods of the day; the cooling period lasts longer (**Figure 3.11**). This can be explained

as follows. Heating of the ground occurs when the net radiation is positive downward, which is the situation between dawn and mid-afternoon (**Figure 3.12**). Solar radiation declines after noon (Figure 2.4), but the gradual rise of ground temperature leads to a continued increase in the loss of terrestrial radiation (Note 2.C). As a result, the net radiation on a sunny day changes from positive to negative about two hours after solar noon—slightly earlier in winter than in summer—and the surface temperature is then at a maximum. This timing is little affected by cloudiness, which reduces both the shortwave radiation gain and the compensating longwave loss. The moment of daily maximum temperature is followed by a cooling till dawn next day (**Note 3.H**), i.e. there



*Figure 3.11* Typical daily variations of temperature at Marsfield, Sydney in various seasons. The ranges are higher in winter because the air is drier and the sky less cloudy. (The Eastern Standard Time (EST) is close to the local solar time, i.e. the Sun is highest at noon.)

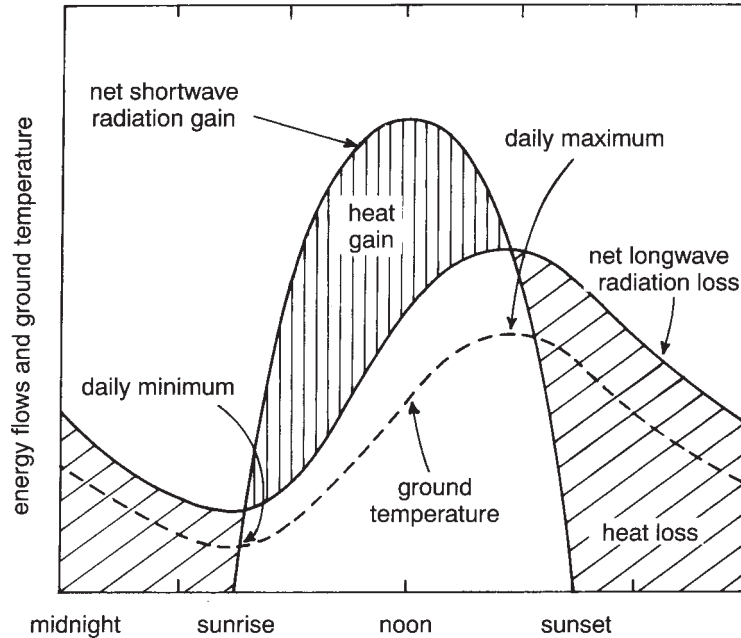


Figure 3.12 Typical daily variations of the radiation input and loss, at the ground. The shortwave input is  $R_s(1-a)$ , where  $R_s$  is the solar radiation, and  $a$  is the ground's albedo. The net longwave loss is the difference between the relatively constant sky radiation and the upwards terrestrial radiation. Part of the net radiation (i.e. the difference between net shortwave gain and net longwave loss) is used to heat the ground.

may be sixteen hours of cooling, for instance, and only eight hours of warming each day.

### Daily Maximum

The average daily maximum screen temperature in any month can be identified by a diagram such as that in **Figure 3.13**. The maximum tends to be higher when either the Sun is high in clear skies, when the surface air is damp (which obstructs the loss of terrestrial radiation—Section 2.7), the ground is dry (which reduces both the conduction of heat into the ground and any evaporative cooling—Chapter 4), a warm air mass has settled over the area (Chapter 13), or there is little wind, since wind shares the ground's heat with the atmosphere. Also, warm places tend to be well

inland (away from cooling sea-breezes—Chapter 14) and at a low elevation.

Measurements over oceans, and over land recently wetted by extensive rain, show that daily maximum temperatures there do not exceed  $33^{\circ}\text{C}$ . This is due to cooling by evaporation, which increases as temperatures rise (Chapters 4 and 5).

The time of the daily maximum *screen* temperature typically lags behind the maximum *surface* temperature by 10–30 minutes on a calm day. The time of maximum on a windy day depends on the direction and warmth of the wind. The time is typically closer to noon near the coast, because of the frequent onset of a sea breeze in the afternoon (Chapter 14).

However, changes in the weather may drastically alter the diurnal temperature cycle. For instance, afternoon temperatures may not

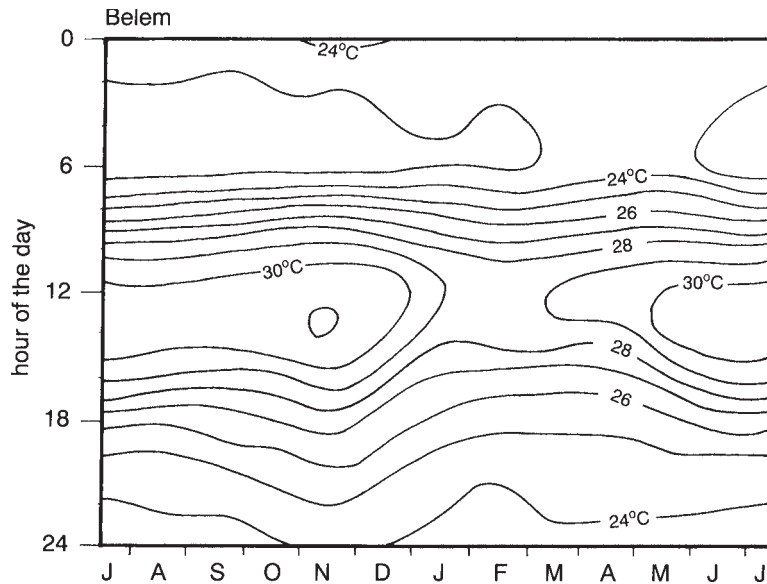


Figure 3.13 An isogram, or 'thermo-isopleth diagram', illustrating the times of day and months of the year when particular temperatures are normally to be expected. This one applies to Belem, at 1°S in Brazil.

exceed that at 6 a.m. if a vigorous cold front passes through at 7 a.m. (Chapter 13). Likewise, the daily maximum temperature at Darwin is often reached at 11 a.m. during the Wet, because clouds and thunderstorms occur in the afternoon.

### Daily Minimum

Nocturnal cooling is promoted by the same factors, except that latitude is unimportant, and winter 'nights' at places above 67 degrees latitude are days or weeks in duration, so that there is lengthy cooling. A dry atmosphere permits an unobstructed loss of terrestrial radiation, so it accelerates nocturnal cooling. Cloud has a particularly great effect (Section 2.7).

The daily minimum temperature is reached around dawn. It varies across a region much more than the maximum does, since it is lower in hollows, where cold air settles. Table 3.5 shows that minima in Canberra in winter are 9.5 K lower than those 100 km away at the

coast. Differences of a few degrees are measured within the city. The minimum is greatly raised by even light winds, which stir in warm air and prevent the cooling which takes place below a 'radiation inversion' (Chapter 7). So a sudden onset of wind during the night is usually accompanied by an abrupt warming.

### Daily Range

The difference between the maximum and minimum defines the daily (or 'diurnal') range. It is governed by latitude, elevation, distance from the sea, season, cloud and wind.

The daily range of temperatures is comparatively small near the equator, because of considerable humidity and cloud (Chapters 6 and 8) but it is more than the annual range (Section 3.3) between the Tropics, i.e. at less than 23 degrees latitude. For instance, the *daily* range is 8.6 K in February and 14.5 K in August (in the dry season) at Cuiaba (at 16°S in central

Brazil), whilst the *annual* range is only 4.3 K. The daily range is greatest at places inland around latitudes of 30 degrees, where relatively cloudless skies allow considerable warming from the day's strong sunshine and a dry atmosphere permits appreciable cooling at night. At mid-latitudes, the range is reduced by cloud and a low Sun. At latitudes above 67 degrees (within the *Polar Circle*) the 'daily range' has little meaning when a 'day' or 'night' may last weeks.

A high elevation tends to increase the daily range, because of less air and moisture above, to attenuate both the daytime input and the nocturnal loss of radiation. **Figure 3.14** shows how ranges at 14–17°S in Peru increase with elevation from about 6 K at sea level to more than 20 K. Likewise, the range is 5.3 K at the coastal town of Antofagasta, at about 23°S in Chile, but 22 K at the equally dry Calama, located 140 km inland, at an altitude of 2,260 m.

The daily fluctuations at the surface of the sea are usually less than 1 K, and less than 3 K at the surface of a lake. This thermal stability has a great effect on coastal climates, creating sea breezes (Chapter 14) and moderating changes of screen temperature locally (Table 3.2).

Figure 3.10 shows that the daily range is roughly independent of the distance inland,

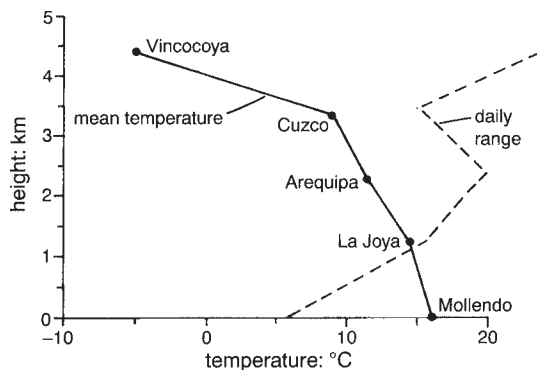


Figure 3.14 Effect of elevation in Peru on the mean temperature and daily range during fifteen days.

except close to the coast, where sea breezes penetrate (Chapter 14). These may occasionally reach several hundred kilometres inland in arid flat regions bordered by a relatively cold ocean, such as south-west Australia, but arrive after sunset, too late to affect the daily maximum temperature. This late arrival applies also in Canberra, which is 100 km inland and elevated (Table 3.5). In Queensland, the daily range is reduced within 70 km of the sea in winter but 160 km in summer, when sea breezes are stronger.

The diurnal range in Australia tends to be greatest in late December (the summer solstice) and least in late June, i.e. winter. However, there is a lag in the centre of the country; the range at Alice Springs typically changes from a minimum of 14 K in February to a maximum of 18 K in September. Also, the daily range in the north is less in the wet season than in the dry, on account of more cloud. Measurements in Sydney show that the range is about 12 K when there is no cloud, but 3 K when the sky is totally overcast.

Wind reduces the range by stirring the air, moderating the extreme temperatures at the surface.

The range throughout the world appears to be slowly decreasing, chiefly on account of an increase of daily minimum temperatures, i.e. reduced nocturnal cooling. An example is given in **Figure 3.15**. The decrease is consistent with the increased atmospheric concentration of greenhouse gases (Section 2.7), but may also be affected by more nocturnal cloud (Chapter 8) or urbanisation around the weather stations (Section 3.7).

## Daily Mean

*Daily mean temperature* should be calculated by adding hourly measurements and dividing by 24, but is normally taken as the average of the maximum and minimum values. This convenient approximation usually overestimates

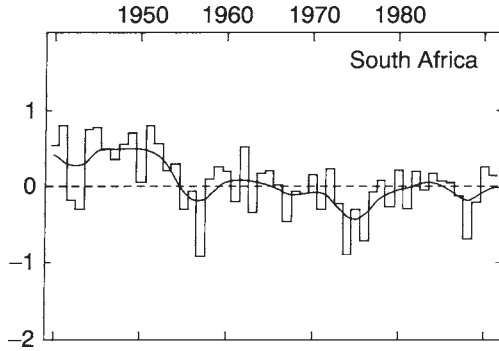


Figure 3.15 Recent changes of the daily range of temperature in South Africa.

the average slightly, because the daytime maximum is more peaked than the nocturnal minimum. The mean is expressed occasionally in terms of the difference from some reference temperature, selected as important in agriculture (**Note 3.I**) or in assessing human comfort (**Note 3.J**).

### 3.5 GROUND TEMPERATURES

Temperatures at the surface of the ground are not quite the same as the screen temperatures (at 1.5 m) which are normally recorded. The difference depends on the season, the bareness of the surface, the wind, the amount of cloud and the time of day. At night, the ground surface is colder than air at screen height, and conversely when the net radiation is positive (Figure 3.12). As regards season, the mean difference between ground and screen *minimum* temperatures at Alice Springs is 4.5 K in July and 5.1 K in January, the ground being colder. Likewise, measurements at Cowra (NSW) showed monthly mean differences of 2.1 K in winter but 5.4 K in March, i.e. there is again a greater difference in the warmer months. The surface of bare ground in Pretoria (South Africa) at dawn in summer can be 12 K less than the screen minimum.

The effect of wind is to equalise screen and surface temperatures by linking them convectively. Clouds also reduce the temperature difference, especially precipitating clouds, because net radiation is reduced both at night and during the day (Section 2.8). For instance, the ground minimum in Sydney is typically 6 K cooler on a dry clear calm winter's night, but 2.9 K when daily rainfall is 1–5 mm, and only 1.6 K on wetter days (when there is more cloud).

As to the daily maximum at ground level, it *exceeds* the screen maximum by several degrees if there is little wind or cloud. The surfaces of sand or asphalt can be dangerously hot in strong sunshine; temperatures near 80°C have been recorded in deserts, which is 22 K higher than the world's record screen temperature. Chapter 5 explains such large differences as due to (i) a strong net radiation flux to the surface (i.e. low albedo and no cloud), (ii) little conduction of heat downward from the surface (i.e. a poorly conducting ground material, such as dry sand), (iii) no evaporative cooling from a moist surface (Chapter 4) and (iv) only slight convection between ground and screen, due to an absence of wind. Of these four factors, only the first and last reflect the weather, whilst the second and third depend on the soil and vegetation of the site. To reduce the effects of the site itself at a weather station, we standardise by measuring ground temperatures on a short-clipped, well-watered lawn, unobstructed by surrounding buildings or trees. This is known as the *standard ground temperature*.

The ground maximum can be lowered by increasing its albedo, to reflect solar radiation away. For instance, soil covered with white polythene in Tanzania was observed to be 13 K cooler than soil covered by black polythene. A layer of white chalk on black soil at Pune in India lowered the temperature by 14 K one clear afternoon in summer, whereas black soil on white sand warmed the surface by 10 K. Maori farmers in New Zealand reduce the albedo with



charcoal to warm the soil, in order that plant germination be accelerated. Another way of achieving high surface temperatures is to select slopes facing the Sun (Chapter 5).

Ground-surface temperatures, averaged globally and over a long time, *tend* to be around 2 K higher than screen temperatures over land surfaces. For example, a difference of 4 K has been observed at 2,819 m in Ecuador. This positive difference shows that the *ground beats the air*, on the whole. Nevertheless, there are exceptions. For instance, mean screen temperatures are *above* ground-surface averages in Norway, where the oncoming air has been warmed by the Atlantic Gulf Stream.

### Subterranean Temperatures

The temperature of the soil near the surface is important in agriculture, e.g. in affecting the germination of tomatoes (Note 3.F). Similarly, maize seeds are planted only when the ground has reached about 10°C.

Regular measurements are usually made at depths of 0.3 m, 1.2 m and, occasionally, at 0.1 m, 0.5 m and 3 m. The results show that the daily pulses of heat from the surface are attenuated with depth, so that there are hardly any daily fluctuations of temperature beyond half a metre or so, depending on the nature of the ground (**Note 3.K**). Annual fluctuations reach almost 20 times further (**Figure 3.16**).

The decrease of daily temperature range with depth makes it possible to live comfortably underground all the year round, where surface extremes are harsh. People at Coober Pedy (South Australia) live in dugouts excavated by the opal miners, where the steady temperature of 20°C is much more agreeable than the January mean maximum of 37°C, or than the frosty mornings in winter.

Diurnal and annual cycles of temperature not only decrease in amplitude with increasing depth within the soil, but also lag behind that

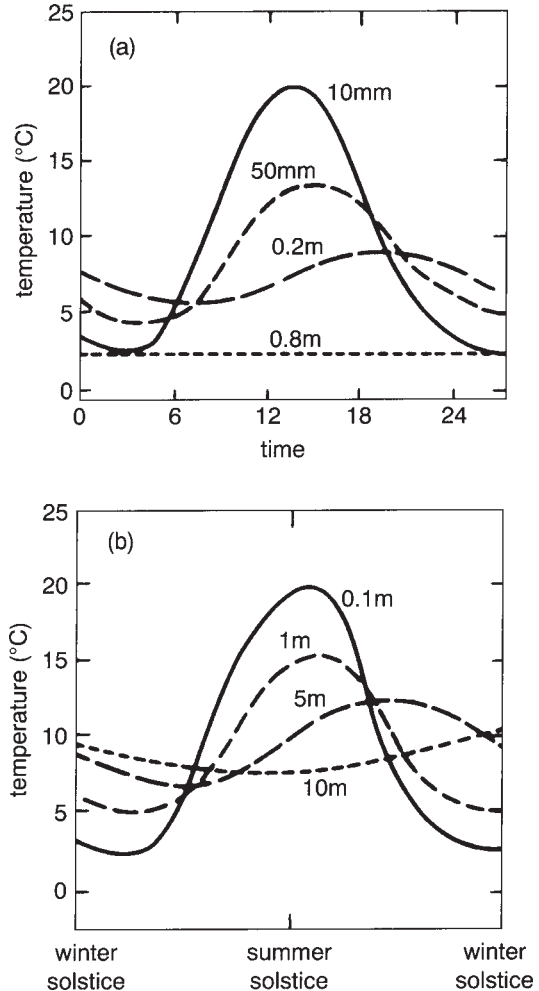


Figure 3.16 Typical variations of ground temperature at various depths (a) during a day, and (b) during a year.

at the surface (Figure 3.16). For the annual cycle, the lag is about six months at 10m, which means that the ground is colder at 10 m depth in summer than in winter. Likewise, the inside of a solid wall about 50 cm thick is coolest at around midday and warmest at midnight, promoting a useful stabilisation of indoor temperatures.

On the whole, the annual average temperature increases with depth as the result of radioactive decay in the interior of the Earth. The *geothermal gradient* is higher in regions with volcanoes, such as New Zealand, and is largely unaffected by variations of temperature at the surface. Nevertheless, small irregularities in the subterranean temperatures can be attributed to surface-temperature anomalies a long time ago. For instance, a kink in the temperature profile below 1,000 metres underground in New South Wales is attributed to the last Ice Age 18,000 years ago, when glaciers flowed in the Snowy Mountains. Likewise, temperature irregularities in boreholes a few hundred metres deep in eastern Canada show relative warmth about 1,000 years ago (the Little Climatic Optimum), coldness around AD 1600 (the Little Ice Age) and a warming by up to 3 K during the last century. This is discussed further in Chapter 15.

### 3.6 FROST

Frost is defined in various ways. *Ground frost* is said to occur when the ground minimum is below 0°C. There is *black frost* (i.e. there is no deposit of ice) if the air is so dry that the frozen ground surface fails to reach the dewpoint (a measure of atmospheric humidity, see Chapter 6). A *frosty night* means that the *screen* temperature falls to +2°C or less, which usually implies a ground frost, defined above. A *heavy frost* occurs when the *screen* minimum is 0°C or below, and a *killing frost* (i.e. one that kills plants) may be defined in terms of a screen minimum below -2°C. But it is better to quote the actual temperature and the level at which it is measured than to use such labels.

*Hoar frost* is a silvery-white deposit of tiny crystals formed by the direct deposition of water vapour onto surfaces colder than 0°C. *Glaze* is an ice coating caused by the freezing of

'supercooled' droplets of rain (Chapter 9) when they impact onto surfaces colder than 0°C.

### Causes

Occasions of frost are due either to the *advection* of polar air, or to intense *radiation cooling at night*, or, most commonly, to both together. An *advection frost* affects a wide area, such as the whole of southern Brazil, where cold air can drive far north in the lee of the Andes (Chapter 13). Such frosts may cause enormous damage to Brazil's coffee crop. On the other hand, *radiation frosts* are more local, more common and more intense. They result from reduced sky radiation on clear, calm nights in winter. They are less likely in the warm conditions of low latitudes or within a few kilometres of the sea. Further inland, the drier air allows greater nocturnal cooling (Section 2.7), so, for instance, there are about thirty-three frosty nights annually at Alice Springs, in the centre of Australia, despite a latitude of only 23°S.

### Occurrence

There is no frost north of 23°S in Brazil. Also, it is hardly known north of 20°S near the east coast of Australia, or 28°S on the west; the asymmetry is due partly to a warm ocean current down the west coast (Chapter 11) and partly to cold southerly winds over eastern Australia and warmer northerly winds over the western area in winter (Chapter 13).

Frosts are less frequent at lower levels. Observations in the Craigieburn Range (in New Zealand at 43°S) show a reduction of the average *frost-free* period by about thirteen days for each 100 m extra elevation. The chance of frost is increased in some low-lying localities by cold

air draining from higher (i.e. colder) land into *frost hollows* (**Note 3.L**). These occur in parts of the Snowy Mountains of south-east Australia, so that daily minimum temperatures in a valley bottom may be less than what is needed for tree growth (Note 3.F). As a result, there is a *reversed* tree-line, with trees growing only *above* a certain level.

There has been a gradual reduction in the frequency of severe frosts during the past forty years, in many countries. This is partly due to more urban heating (Section 3.7), but is also evident in rural areas such as outback Queensland. Frosts are fairly common there, on account of the reduced cloudiness when winters are unusually dry.

## Crops

Farmers are especially concerned about frosts. A notable frost in Brazil in July of 1975 reduced the country's coffee harvest by 65 per cent, seriously upsetting export trade.

The agricultural *growing season* is often defined in cool areas as the period between the last frost of spring and the first of autumn; crops are suitable there only if they reach maturity within that time (Notes 3.F and 3.I). The date for the start of such a growing season at Walgett (NSW) is within twelve days of 8 September, in half the years of a long record, and the end within twelve of 22 May, so the average frost-free period between is 255 days. It is about five weeks less at Tamworth, which is 270 m higher. Naturally, the periods may be quite different in any particular year. Sometimes the *effective growing season* is referred to instead, the period between (i) the date of all but the last 10% of killing frosts in spring, and (ii) the date of all but the earliest 10 per cent in autumn, in a long series of years.

The best protection against frost is proper site selection (Note 3.L). The risk may also be reduced by either training plants above the

ground, covering the soil with black plastic (to absorb more heat during the day), or sheltering the crop within a glasshouse. Frost damage may be reduced by sprinkling water (Chapter 4) or large fans (Chapter 7).

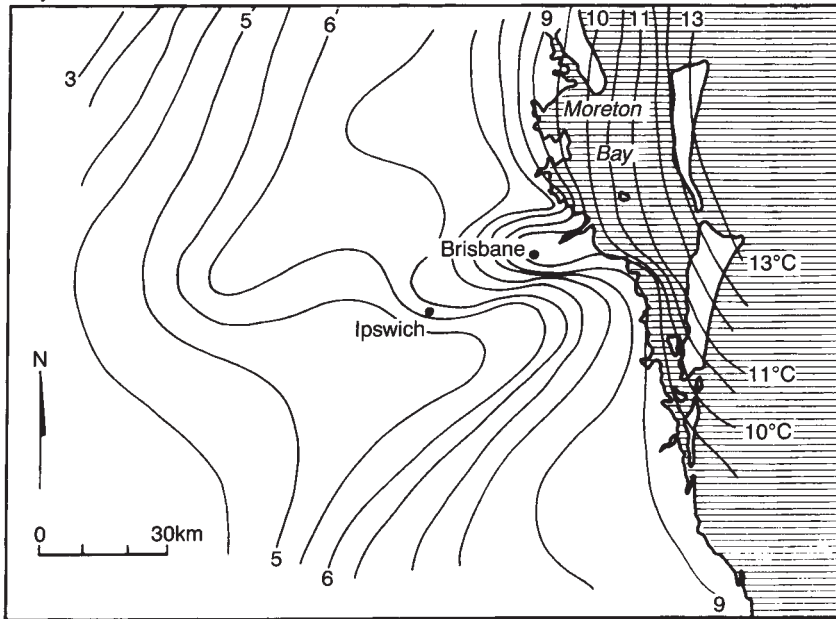
## 3.7 URBAN TEMPERATURES

Temperatures measured at street level in large cities can be several degrees higher than those in the surrounding countryside (**Figure 3.17**). Isotherms on a map of an isolated city and its environs look like the height contours of an island, which leads to the clumsy notion of an 'urban heat-island effect'; a simpler term is *urban heating*. It is evident in some cities during the day but is particularly apparent at night, being often greatest at about 9 p.m., because city surfaces cool more slowly than those in the suburbs. The consequence is that the daily minimum is raised more than the maximum.

Towns of only 10,000 in the USA show discernible urban heating. It can be considerable in larger places; the centre of Johannesburg may be as much as 11 K warmer than in suburban valleys on a dry winter's night. Measurements in central Sydney have shown temperatures 3 K higher than on the outskirts, and similar urban heating has been measured in Melbourne. The city of Nairobi (1°S) cools about 2 K less than outside during the night, and becomes about 0.3 K hotter in the day (**Table 3.6**).

There have been many studies of urban heating in the USA. Extensive studies in St Louis in Missouri (with a population of 600,000 within 1,150 km<sup>2</sup>) have shown urban heating of up to 3 K on summer afternoons. Urban heating in Chicago is most at 9 p.m. in August, least at 1 p.m. in April and October, and averages 1.9 K, or 2.8 K in the absence of wind or cloud. Overall, weather-station temperatures in the USA have an urban bias of +0.1 K for the monthly maximum temperatures and +0.4 K for the minima. The latter is the average of a range of

July: minimum



January: maximum

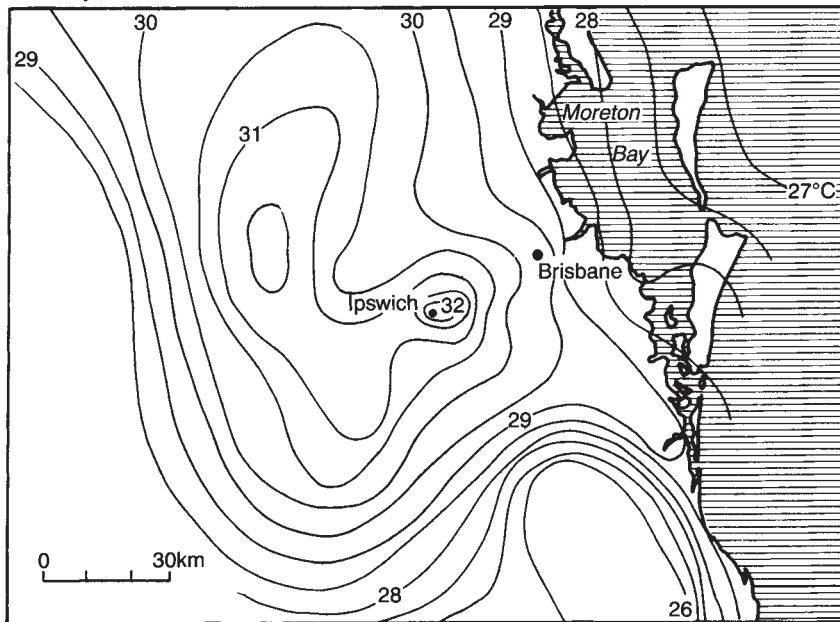


Figure 3.17 The distribution of (a) July minimum and (b) January maximum temperatures, in the vicinity of Brisbane, Australia.

Table 3.6 Urban heating, i.e. differences between temperatures inside Nairobi (1°S and 1,829 m elevation), and outside at the airport, during the dry month of January or the cloudy month of July, at the times of either minimum or maximum temperature, i.e. at about 4 a.m. and between 8 a.m. and noon, respectively

| Month   | Difference between minima | Difference between maxima |
|---------|---------------------------|---------------------------|
| January | + 2.1 K                   | -0.2 K                    |
| July    | + 1.8 K                   | -0.3 K                    |

+2.4 K to -1.1 K, for a large city and rural circumstances, respectively. It appears that a tenfold increase of city population raises the maximum urban heating (relative to the outskirts) by 2 K, on average.

Urban heating is greater on weekdays than at weekends because cities are busiest during the week. This may explain an observation that global temperatures of the lower atmosphere, observed by satellite over fourteen years, are higher during weekdays than at the weekend by a few hundredths of a degree.

The growth of cities has led to an increase of the temperatures measured at weather stations within them. In general, the daily minimum in cities in the USA rose between 1901 and 1984 by about 0.13 K, while the maximum remained steady. This effect in cities has to be borne in mind when examining past records for evidence of global warming.

## Causes

Several factors are responsible for urban heating:

- 1 Artificial heat may be appreciable, especially in the largest industrialised cities. An astonishing  $640 \text{ W/m}^2$  has been quoted for Manhattan. Even in Sydney, where the rate of artificial heat generation is only a tenth as much, it is comparable with wintertime netradiation fluxes (Figure 2.19) and can equal half the incoming solar radiation.
- 2 The construction materials used in modern cities: concrete, brick, rock and bitumen all readily absorb the daytime heat and release

it slowly to the atmosphere at night. The effect of this is to reduce slightly the daytime maximum temperature, which, in combination with the nocturnal urban heating, reduces the daily range.

- 3 The drainage of water from a city and the lack of evaporation from soil and plants prevent evaporative cooling, so that daytime temperatures are raised.
- 4 There may be a reduced albedo (Section 2.5) because of the relative absence or removal of snow, the replacement of vegetation by low-albedo material such as roadway bitumen, and because of the canyon structure of built-up areas, which trap radiation. As a result, solar heating of the city is increased.

One side-issue of urban heating may be an aggravation of the incidence of mob violence. Riots are rare in the USA when temperatures are below freezing, but the likelihood at  $31^\circ\text{C}$  appears to be twice that at  $20^\circ\text{C}$  (Figure 3.18). Why this should be so is for sociologists to explain.

Daytime urban heating in temperate climates can be reduced by two or three degrees if there are many trees in the streets and parks, causing evaporative cooling as well as shade. Places in desert areas may be cooled by evaporation from irrigated gardens and crops. However, vegetation has no effect in the evening, when transpiration has stopped.

Thus we end consideration of the manner in which some of the incident radiant energy is used in heating the ground and then the adjacent atmosphere. Much of the remaining net radiation is often used in evaporating water, which is discussed in the next chapter.

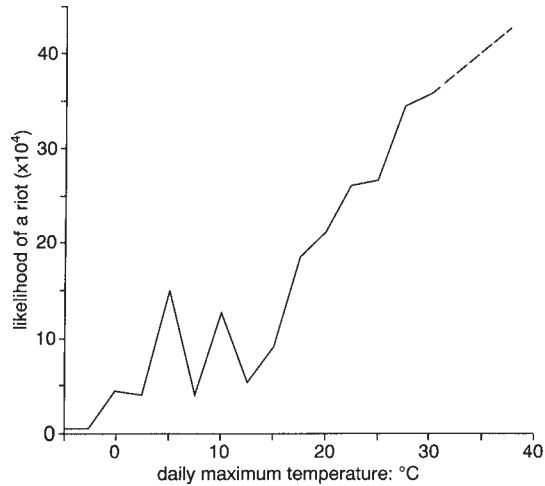


Figure 3.18 The effect of the daily maximum temperature on the likelihood of riots in seventy-nine cities in the USA during the period 1967–71.

## NOTES

- 3.A The transfer of sensible heat
- 3.B Effects of latitude and elevation on mean temperature
- 3.C High temperatures and human mortality
- 3.D Acclimatisation and adaptation
- 3.E Windchill
- 3.F Temperature and crops
- 3.G The annual range of monthly mean temperatures
- 3.H Cold nights
- 3.I Growing-degree-days and agriculture
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- 3.K The conduction of heat
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# EVAPORATION

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## 4.1 CHANGES OF STATE

A large fraction of the net radiation at the bottom of the atmosphere (Section 2.8) is absorbed by the oceans which cover about 71 per cent of the Earth's surface, and that absorbed energy is mostly used in evaporating water. Some  $6 \times 10^{13}$  tonnes are evaporated from the land each year, but about six times as much from the oceans (Chapter 6). So evaporation is an important part of the movement of energy, the theme of this second part of the book.

### Changes of State

Water can exist in any of three 'states'—either as an invisible gas (i.e. water vapour), a liquid (e.g. cloud droplets, raindrops, dew, groundwater, river, lake or sea) or as a solid, such as snow, frost, hail or ice. (Steam which you can see from a kettle is not water vapour but consists of *liquid* droplets formed from the vapour; it is a miniature cloud.) The difference between the three states lies in the tightness of packing the water molecules together; they can

be fitted together either stacked closely into a regular structure as a solid, irregularly packed together as a liquid, or loose as independent vapour molecules.

Water is the only common substance found in all three states. It is unusual in other ways too. It has an exceptionally high specific heat, it expands on freezing, it is most dense at about 4 K above freezing point (which is extra-ordinary) and the amount of heat needed to vaporise liquid water is large. It takes considerable energy to heat a cupful of water from freezing point to boiling point, but six times as much energy to evaporate that amount of water. These distinctive properties arise from the particular arrangement of the two hydrogen atoms and one oxygen atom in each water molecule (**Note 4.A**).

With three possible states there are six possible transformations of a substance from one form to another (i.e. *changes of state*), shown in **Figure 4.1**. There may be a change from a gas to liquid (called *condensation*), from a liquid to solid (*freezing*), or from a solid to gas (*sublimation*). Also from solid to liquid (*melting*), and gas to solid. The last is also called sublimation (which can be confusing), or

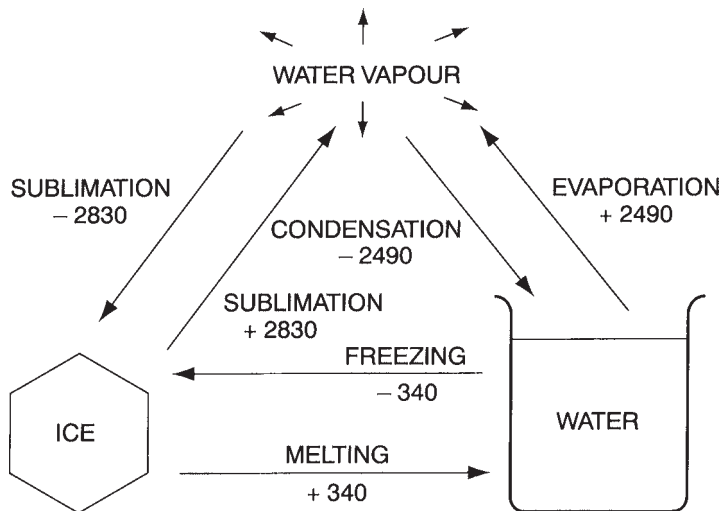


Figure 4.1 Changes of state of water, showing the number of kilojoules per kilogram required for each change, assuming that the air and water are at 10°C and the ice at -10°C. A negative number means that heat is *liberated*.

*deposition* in the case of clouds. The combination of simultaneous melting and sublimation from ice, such as occurs on a glacier, is sometimes called *ablation*. Finally, the change from liquid to gas is *evaporation*, the topic of the present chapter.

### Latent Heat

Any change of state involves the loosening and reforming of bonds between adjacent molecules, as the result of thermal vibrations. The energy involved is described in units of 'kilojoules', defined in Note 1.J. If about 2,830 kilojoules are added to a kilogram of ice at 0°C, the resulting molecular motion disrupts the bonds completely and water vapour is produced, i.e. sublimation occurs (Figure 4.1). Evaporation requires only about 2,460 kJ/kg, because a liquid has weaker bonds to break than a solid has. More precisely, 2,490 kJ/kg are needed for evaporation at 0°C, or 2,430 at 30°C.

Heat added for evaporation makes no difference to the water's temperature, because

the energy is used in breaking bonds not in altering molecular velocities (Section 3.1). After evaporation, the vapour contains the energy absorbed during the change of state. It is contained as *latent heat*, meaning hidden heat, as first pointed out by Joseph Black (1728–99). Such heat cannot be felt, or measured with a thermometer.

The rate of latent-heat absorption at an evaporating surface is  $L.E$  ( $W/m^2$ ) where  $L$  (kJ/kg) is the latent heat required to evaporate a kilogram of water, and  $E$  is the rate of evaporation in units of kilograms per square metre per second. Thus, breaking sufficient bonds to melt ice (at 0°C) requires about 340 kJ/kg (i.e. 2,830 -2,490), whilst freezing *liberates* an equal amount (**Note 4.B**). Likewise, the amount of heat taken in during evaporation exactly equals the amount *released* when the opposite process of condensation occurs. We shall see that such liberation of heat is important in the atmosphere (Section 4.7 and Chapter 7).

Transport of latent heat occurs when water evaporates in one place and condenses in



another. For instance, consider **Figure 4.2**. Evaporation from the ocean incorporates latent heat into the moistened air, and that same heat is later released downwind if the vapour forms cloud (Chapter 8). This is a major factor in conveying heat toward the poles (Chapter 5).

## 4.2 VAPOUR PRESSURE AND EVAPORATION

The concept of *vapour pressure* is needed in order to explain why a liquid is sometimes depleted by evaporation and sometimes augmented by condensation. We first consider gas molecules in a box, which move to and fro, continually colliding with each other and with the walls of the box. Everything is at the same temperature. (Such a model of colliding molecules is the basis of the *Kinetic Theory of Gases* outlined by Rudolf Clausius in 1857.) The molecules' impacts press the walls outwards, with a total pressure partly due to the molecules of water vapour. That part is called the 'water-vapour pressure', or, simply, 'vapour pressure'. The rest of the total pressure is exerted by the other molecules of air inside the box, e.g. the molecules of nitrogen and oxygen.

## Saturation

If both water vapour and liquid are contained in the same box (**Figure 4.3**), we can show that adjustments occur towards an eventual steady balance, when the amount of evaporation from the liquid equals the condensation back from the vapour. The reasoning is as follows. The temperature governs the rate at which evaporation occurs, because it controls the liveliness of the liquid's molecules and hence their readiness to escape their bonds. Then, as individual molecules enter the space above, they add to the number of vapour molecules, which increases the frequency of bombardment of all the adjacent surfaces, one of which is that of the liquid itself. As a consequence of there being more vapour molecules, more of them hit the liquid's surface and condense, becoming re-imprisoned in the liquid. Eventually, sufficient evaporation into the space has occurred for there to be enough vapour to return molecules to the liquid at an equal rate, i.e. the condensation rate matches the evaporation rate. At that stage, the space above the liquid is said to be *saturated*, and the pressure exerted by the vapour in it is the *saturation vapour pressure*, (svp) represented by  $e_s$ . Strictly speaking, this *vapour*

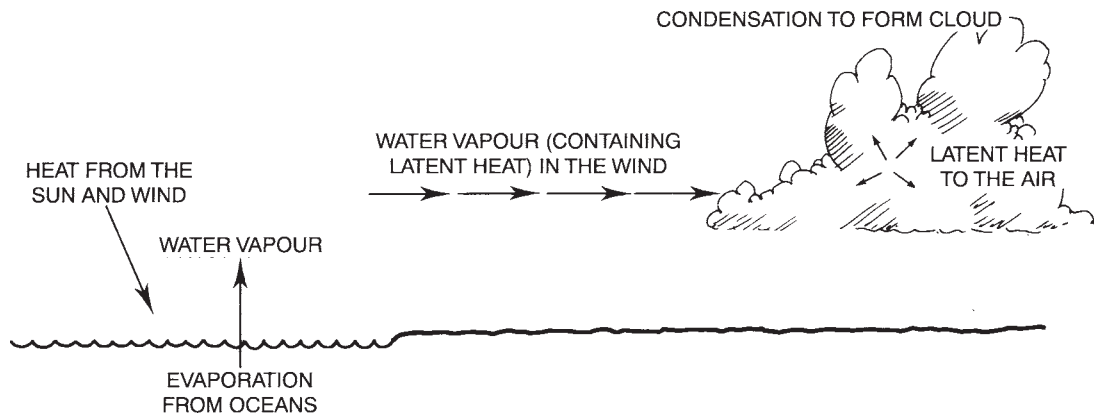
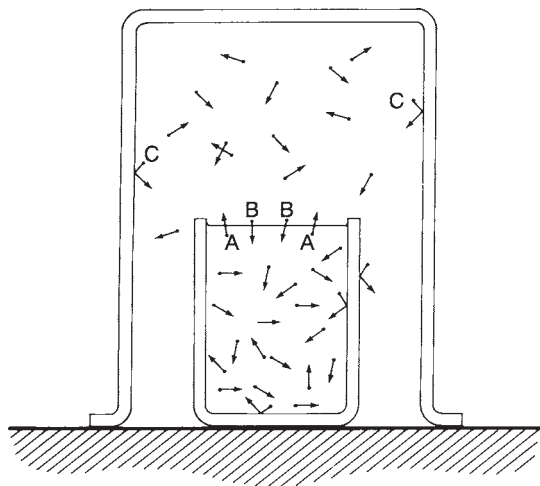


Figure 4.2 An example of latent-heat transfer.



*Figure 4.3* The principle of equilibrium between water and vapour in an enclosure. Molecules C impact on each surface with a vigour depending on their temperature, and thus create vapour pressure. Molecules A escape from the liquid, according to the liquid's temperature. On the other hand, molecules B impact on the liquid and are recaptured, according to the number of molecules in the space above the liquid. When steady conditions are reached, the numbers of kinds A and B are equal.

pressure applies only to the water vapour in the saturated space (i.e. to a gas), but since it is in balance with that from the liquid we can regard  $e_s$  as applying to the liquid too.

The result is that  $e_s$  depends only on the temperature, since this alone determines the rate of evaporation, and therefore the amount of vapour needed to provide an equal rate of condensation. This is one of the most basic facts about the atmosphere, and must be grasped to make sense of Chapters 6–8. The increase of  $e_s$  with temperature is shown in what is called the *psychrometric table* (Table 4.1), determined by laboratory measurements in the context of theoretical work by Clausius. The relationship between  $e_s$  and temperature is discussed further in Chapter 6.

In practice, equilibrium between evaporation and condensation is rarely achieved in the real world. Usually one of the processes is dominant

since adjacent air and water tend to be at different temperatures, and to have different vapour pressures. So there is a net difference between the flow from the place at higher vapour pressure and the smaller flow from the place at lower pressure. In fact, the (net) evaporation rate from water is proportional to the vapour-pressure difference ( $e_s - e$ ), where  $e$  is the local atmosphere's vapour pressure (or *ambient vapour pressure*) and  $e_s$  is the *liquid's vapour pressure*, i.e. the saturated vapour pressure ( $e_s$ ) at the liquid's surface temperature (Note 4.C).

### 4.3 FEATURES OF THE EVAPORATION PROCESS

- Evaporation rates can be described either in terms of the rate at which the water level falls, or in terms of the rate at which latent heat is consumed in the process (Note 4.D).
- The rate of evaporation from a water surface is proportional to  $(e_s - e)$ , and also to the speed of the wind blowing on the water surface. This relationship is named *Dalton's equation* (Note 4.E), after John Dalton (1766–1844).
- Evaporation is not the same as boiling; boiling always entails rapid evaporation, but evaporation does not necessitate boiling. Evaporation occurs at a liquid's surface at *any* temperature, provided the air is dry enough, whilst the *bubbles* characteristic of boiling form only when the *boiling point* has been reached. This is a temperature which depends on the local atmospheric pressure, for the following reason. Bubble formation requires a temperature high enough to make vapour molecules inside the bubble sufficiently energetic to exert an outwards pressure equal to the squeezing caused by the atmospheric pressure on the liquid. No

Table 4.1 The effect of temperature (°C) on the saturation vapour pressure (svp: hPa) of an atmosphere above a water surface

| Temperature (°C) | Saturation vapour pressure (hectopascals) |         |         |         |         |
|------------------|---|---------|---------|---------|---------|
|                  | .0°C                                      | .2°C    | .4°C    | .6°C    | .8°C    |
| 0                | 6.1 hPa                                   | 6.2 hPa | 6.3 hPa | 6.4 hPa | 6.5 hPa |
| 1                | 6.6                                       | 6.7     | 6.8     | 6.9     | 7.0     |
| 2                | 7.1                                       | 7.2     | 7.3     | 7.4     | 7.5     |
| 3                | 7.6                                       | 7.7     | 7.8     | 7.9     | 8.0     |
| 4                | 8.1                                       | 8.2     | 8.4     | 8.5     | 8.6     |
| 5                | 8.7                                       | 8.8     | 9.0     | 9.1     | 9.2     |
| 6                | 9.3                                       | 9.5     | 9.6     | 9.7     | 9.9     |
| 7                | 10.0                                      | 10.2    | 10.3    | 10.4    | 10.6    |
| 8                | 10.7                                      | 10.9    | 11.0    | 11.2    | 11.3    |
| 9                | 11.5                                      | 11.6    | 11.8    | 11.9    | 12.1    |
| 10               | 12.3                                      | 12.4    | 12.6    | 12.8    | 12.9    |
| 11               | 13.1                                      | 13.3    | 13.5    | 13.7    | 13.8    |
| 12               | 14.0                                      | 14.2    | 14.4    | 14.6    | 14.8    |
| 13               | 15.0                                      | 15.2    | 15.4    | 15.6    | 15.8    |
| 14               | 16.0                                      | 16.2    | 16.4    | 16.6    | 16.8    |
| 15               | 17.0                                      | 17.3    | 17.5    | 17.7    | 17.9    |
| 16               | 18.2                                      | 18.4    | 18.6    | 18.9    | 19.1    |
| 17               | 19.4                                      | 19.6    | 19.9    | 20.1    | 20.4    |
| 18               | 20.6                                      | 20.9    | 21.2    | 21.4    | 21.7    |
| 19               | 22.0                                      | 22.2    | 22.5    | 22.8    | 23.1    |
| 20               | 23.4                                      | 23.7    | 24.0    | 24.3    | 24.6    |
| 21               | 24.9                                      | 25.2    | 25.5    | 25.8    | 26.1    |
| 22               | 26.4                                      | 26.8    | 27.1    | 27.4    | 27.7    |
| 23               | 28.1                                      | 28.4    | 28.8    | 29.1    | 29.5    |
| 24               | 29.8                                      | 30.2    | 30.6    | 30.9    | 31.3    |
| 25               | 31.7                                      | 32.1    | 32.4    | 32.8    | 33.2    |
| 26               | 33.6                                      | 34.0    | 34.4    | 34.8    | 35.2    |
| 27               | 35.6                                      | 36.1    | 36.5    | 36.9    | 37.4    |
| 28               | 37.8                                      | 38.2    | 38.7    | 39.1    | 39.6    |
| 29               | 40.1                                      | 40.5    | 41.0    | 41.5    | 41.9    |
| 30               | 42.4                                      | 42.9    | 43.4    | 43.9    | 44.4    |
| 31               | 44.9                                      | 45.4    | 46.0    | 46.5    | 47.0    |
| 32               | 47.6                                      | 48.1    | 48.6    | 49.2    | 49.7    |
| 33               | 50.3                                      | 50.9    | 51.4    | 52.0    | 52.6    |
| 34               | 53.2                                      | 53.8    | 54.4    | 55.0    | 55.6    |
| 35               | 56.2                                      | 56.9    | 57.5    | 58.1    | 59.8    |
| 36               | 59.4                                      | 60.1    | 60.7    | 61.4    | 62.1    |
| 37               | 62.8                                      | 63.5    | 64.1    | 64.8    | 65.6    |
| 38               | 66.3                                      | 67.0    | 67.7    | 68.4    | 69.2    |
| 39               | 69.9                                      | 70.7    | 71.5    | 72.2    | 73.0    |
| 40               | 73.8                                      | 74.6    | 75.4    | 76.2    | 77.0    |

bubble could survive without such equality. So the saturation vapour pressure at the boiling point equals the ambient air pressure. For instance, the atmospheric

pressure at sea-level (Section 1.5) is typically 1,013 hPa and that is the saturation vapour pressure at 100°C, which is hence the sea-level boiling point.

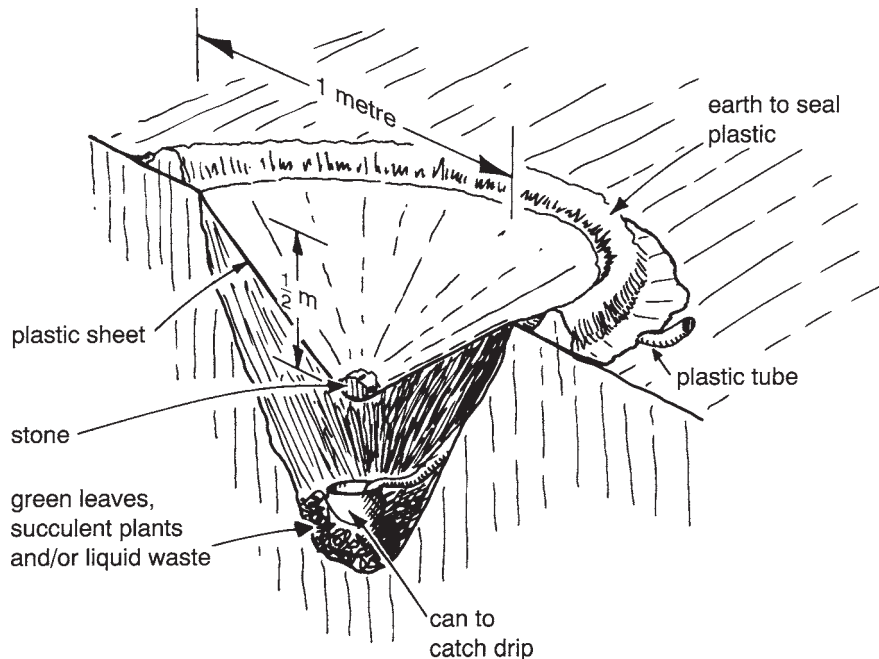
One result is that boiling point is lower at a high altitude, where the air pressure is less (Note 1.G). For example, water boils at 93°C on top of Mt Kosciusko (Australia's highest mountain, at 2,228 m above sea-level), and at about 70°C on top of Mt Everest.

- (d) There is a reduction in the rate of evaporation from the sea, due to the dissolved salt. Salt attracts water molecules, so they less easily escape from the sea's surface. The result is that sea-water's vapour pressure is about 2 per cent less than that of pure water.
- (e) Evaporation from impure water leaves the impurity behind. That is how salt is collected in vast shallow ponds by the sea in South Australia after the water has been

evaporated away by exposure to the Sun. Likewise, polluted water becomes worse. And the salt in water brought to irrigate farmlands gradually poisons the soil by deposition during evaporation unless care is taken to flush the deposit away periodically, using excess irrigation and suitable drainage.

But condensation of the vapour yields pure water. The combination of evaporation followed by condensation is called *distillation*. It occurs naturally when water is evaporated from the oceans and later forms clouds and then rain. It can be done artificially in a 'still', such as that in **Figure 4.4**.

- (f) Evaporation from sea-spray leaves an increasingly concentrated solution of salt in



*Figure 4.4* An arrangement for distilling drinkable water. A sheet of clear plastic is sealed completely over a hole in the ground, with moist material beneath. The Sun's heat evaporates water from the material, and then condensation occurs on the underside of the relatively cool plastic. A stone on the plastic makes a cone which focuses drips into a can, from which water can be sucked by a tube.

each droplet, steadily lowering its vapour pressure and therefore slackening the rate of evaporation. As a result, droplets survive long enough to be blown well inland.

- (g) On the other hand, evaporation from a drop reduces its size, thus increasing the curvature of the surface, which enhances the rate of evaporation (**Note 4.F**).
- (h) The evaporation rate from a lake, reservoir or rice-field can be greatly lowered by applying even a thin layer of a material such as an oil or acetyl alcohol, which impedes the escape of water molecules. Unfortunately, the layer is eventually degraded by the Sun and blown away.
- (i) If world climates changed and the oceans became, say, 2 K hotter, their vapour pressure would be about 14 per cent more than now. (Compare values in Table 4.1 for 15°C and 17°C, for instance, i.e. 17.0 and 19.4 hPa, respectively.) Dalton's equation (Note 4.E) shows that this increase of  $e_s$  would initially promote evaporation. However, it also indicates that there is automatic negative feedback, as the extra evaporation would increase the atmospheric vapour pressure  $e$ , thereby *reducing* the difference.
- (j) There is a close connection between evaporation from a vegetative crop like sugarcane and its growth, because both processes involve gases diffusing through the small openings in the surfaces of the crop's leaves, called *stomates*. Leaf evaporation involves water vapour diffusing *outwards* through these stomatal openings, from the wet tissue within the leaf, whilst photosynthesis within the leaf requires the diffusion of carbon dioxide from the atmosphere *inwards*. Therefore, any closing of the stomates reduces both water loss and photosynthesis.

The connection between evaporation and growth is strengthened by the fact that both depend on the prior rainfall, on

the temperature and on the radiation input. As a consequence, the amount of evaporation is an index of crop growth, at least in areas where water availability is the factor mainly limiting crop yield (**Figure 4.5, Note 4.G**).

- (k) Extended periods of high evaporation from grass, bark, twigs, etc. create dry tinder and therefore a bushfire hazard. This is important in rural and suburban Australia.
- (l) Evaporation from the skin affects human comfort. If the rate of evaporation is less than the rate of perspiration, the skin becomes moist and one feels uncomfortable (**Note 4.H**).
- (m) What is called the equivalent temperature remains unchanged even if condensation or evaporation takes place within a given parcel of air. This is the measured temperature plus the heating resulting from

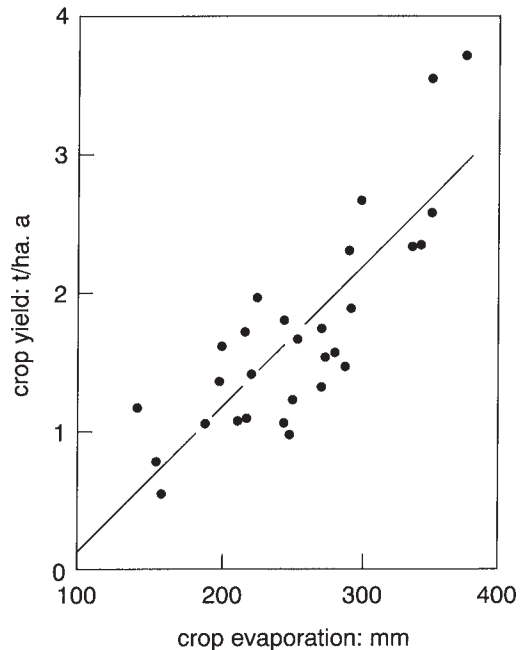


Figure 4.5 The relationship between the yield of wheat in South Australia and the crop's evaporation.

condensing all the water vapour within the parcel. If there are  $x$  grams of vapour per gram of dry air, the heating is  $Lx/C$  degrees, where  $L$  is the latent heat of evaporation (Section 4.1), and  $C$  the specific heat of dry air (Note 3.A).

#### 4.4 DETERMINING THE EVAPORATION RATE

The rate of evaporation from water or land surfaces can be measured in several ways. The following are a few examples.

- 1 The change of water level is a direct index of evaporation and routinely measured at many weather stations, generally with an American

'Class-A' evaporation pan (or *evaporimeter*) shown in **Figure 4.6** and Plate 4.1. The rate of evaporation from this standard device is known as the *pan evaporation rate*,  $E_p$ .

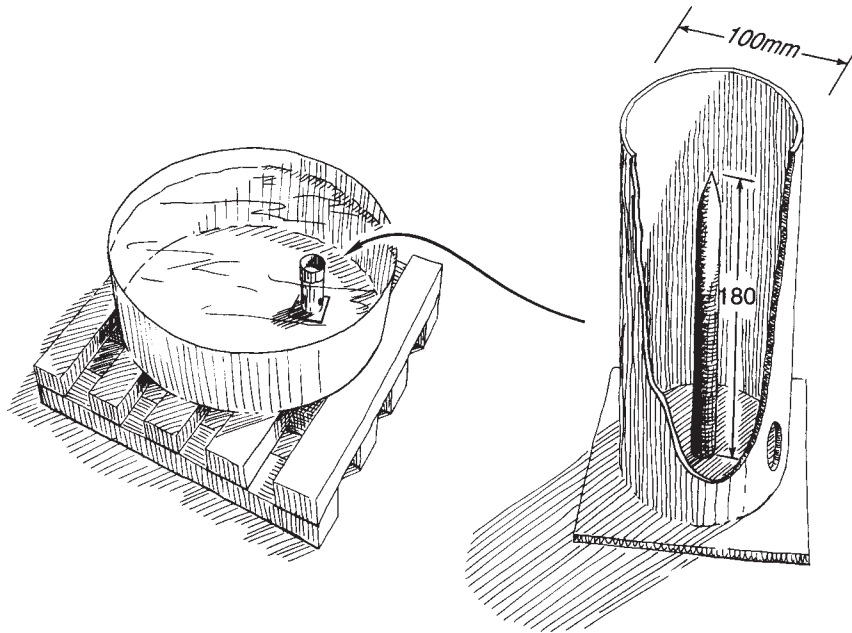
- 2 For a lake or reservoir, one may construct a *water balance* (Chapter 10). This involves adding all the inputs of water, such as rain ( $P$ ) or stream flow ( $I$ ), and subtracting all the losses, such as evaporation ( $E$ ) and outflow ( $O$ ), during a month. A rise in water level ( $R$ ) during that period implies that the inputs exceed the losses, so

$$P + I - (E + O) = R$$

i.e.

$$E = P + I - O - R$$

The evaporation rate  $E$  can be estimated if the other terms are known. A negative rise ( $R$ ) implies a fall of water level.



*Figure 4.6* A Class-A pan evaporimeter for measuring daily evaporation, showing the stilling cylinder for preventing waves affecting the level of the water within. The pan has a diameter of 1.22 m, and a water depth of 180 mm, and stands about 40 mm above the ground on a wooden platform. Sometimes the pan is covered by wire-mesh to prevent birds and animals from drinking the water, though this reduces evaporation by 10 per cent or so. Each day, water is added to the pan until the surface is just level with the tip of the spike in the stilling cylinder. The added water (plus any rain collected since the last refilling) equals the amount evaporated.

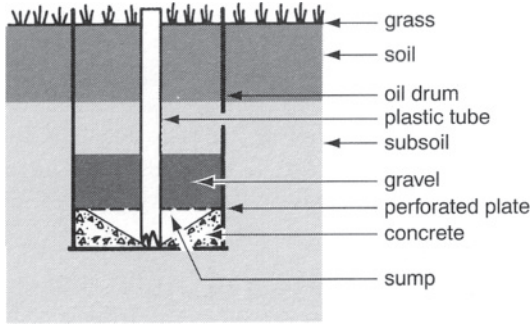


Figure 4.7 A drainage lysimeter made from an oil-drum, for measuring the evaporation from a well-watered crop. The central tube is made of plastic, for instance, and reaches down to the sump at the base. The soil rests on a perforated plate.

- 3 The evaporation from a well-watered crop can be measured by means of a *drainage lysimeter* (Figure 4.7), set within the crop. A measured amount of water is applied each day to the soil in the oil drum, and then the water which has drained to the sump since the day before is pumped out and measured. The difference between applied water (and rain) and pumped water is the daily evaporation.
- 4 For crops in a field, the evaporation rate can be measured by the *gravimetric method*. This involves taking a sample of soil from the top 300 mm, or more if the crop's roots go deep. The sample is weighed, dried and reweighed, and the loss of mass gives its water content. The process is repeated with numerous other samples to obtain an average water content for the field. Further samples are taken after a week, for instance, and examined similarly. The decrease of average water content (plus any rainfall meanwhile, and minus any runoff) is a measure of the weekly evaporation. But the procedure is obviously tedious, damages the field and ignores the possibility of seepage of moisture down into the ground.
- 5 In addition, there are several high-tech methods, more suited to research than routine measurements.

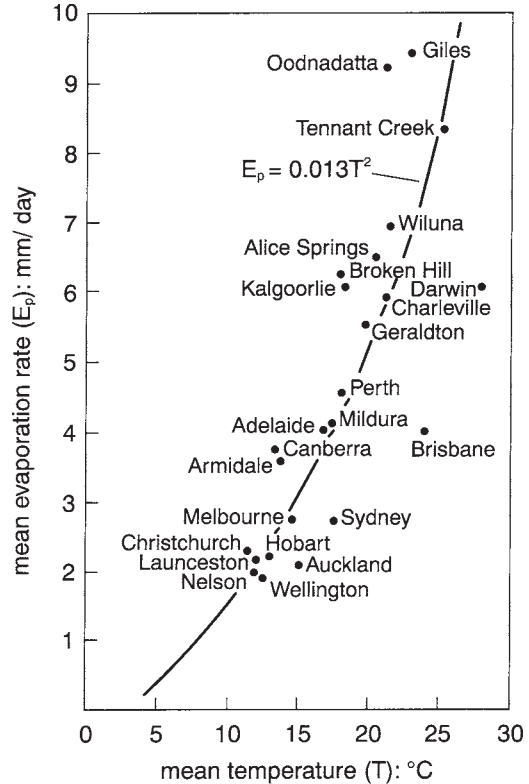


Figure 4.8 The relationship between annual mean evaporation rates from Class-A pans at various places in Australia and New Zealand, and the annual mean temperatures there.

## Estimation

An alternative to measuring an evaporation rate is to use some relationship, such as that in

**Figure 4.8**, for *estimating* the rate instead. In this case, the curve is based on previous measurements of pan evaporation and temperature and allows one to estimate the evaporation corresponding to current thermometer readings, though the scatter of values about the curve shows that such an estimate could only be very rough. The relationship is affected by proximity to the coast, and no account is taken of the local wind speed which Dalton's equation



*Plate 4.1* A US Class-A evaporation pan at a climate station in Melbourne. It is covered by mesh to exclude birds, whose drinking or splashing of the water would affect the daily lowering of water level due to evaporation. The congestion of the equipment and the undue proximity to a busy street are unfortunate but typical consequences of the growth of a city.



shows can be important (Note 4.E). A more accurate method of estimating evaporation is mentioned in Chapter 5.

## 4.5 VARIOUS EVAPORATION RATES

### Lake Evaporation Rate $E_o$

So far we have mainly considered the simplest case, that of evaporation from an extensive water surface, i.e. the *lake evaporation rate*  $E_o$ . It is useful to consider this as the surface has a more or less standard roughness, albedo and wetness, and reservoirs are important to us. But it is difficult to measure  $E_o$  accurately. Also, there is the complication that evaporation from the upwind edge of a large lake moistens the air, so that evaporation downwind is reduced.

Evaporation from a choppy sea is complicated by the effects of dissolved salt and spray-droplet curvature, mentioned in Section 4.3, and the effect of a surface roughened by waves.

### Pan Evaporation Rate $E_p$

The *pan evaporation rate*  $E_p$  is what is measured with an evaporimeter, such as the Class-A pan (Figure 4.6). It is simple to measure, but is not the same as  $E_o$ . The ratio  $E_o/E_p$  is called the *pan coefficient*. It is normally less than unity, i.e. a pan loses more water per unit area than a lake, because a pan gains extra heat through the base and sides during the day, so that the water's vapour pressure is increased. The ratio for a Class-A pan varies widely, according to the weather and season, e.g. measurements at Lake Eucumbene in the Snowy Mountains in Australia gave monthly mean values of 0.6 in summer and 1.8 in winter. Annual mean values for eight reservoirs in Australia ranged from 0.63 to 0.94, so it is not possible to infer  $E_o$  accurately from  $E_p$ . Despite this, a coefficient of 0.7 is often taken as typical for the Class-A pan.

### Potential Evaporation Rate $E_t$

The *potential evaporation rate* applies to a *wellwatered* surface, as measured by a drainage lysimeter, for example (Section 4.4). It combines evaporation from the ground with evaporation from the plants. The latter is *part* of the process of *transpiration*, the flow of liquid water from soil to roots, through the plant ('transpiration' means 'breathing *through*'), and then evaporation from within the leaves into the atmosphere. The combination of soil evaporation and plant evaporation is sometimes called *evapotranspiration*, but this is clumsy, unnecessary and often wrongly taken to imply that evaporation from vegetation is somehow different from other evaporation. To distinguish 'plant evaporation', 'soil evaporation' and 'crop evaporation', it is simpler to label them accordingly.

The ratio between a crop's potential evaporation and adjacent lake evaporation,  $E_t/E_o$ , depends on the respective albedo and surface-roughness values of crop and water. For well-watered grass, it is typically about 1.2. Comparing this with the pan coefficient for a Class-A evaporimeter (which implies that  $E_p/E_o$  equals  $1/0.7$ , i.e. 1.4), shows that potential evaporation for a crop such as grass is roughly similar to nearby pan evaporation  $E_p$ .

### Actual Evaporation Rate $E_a$

In practice, the *rate of actual evaporation* taking place in a field is more important than either  $E_o$ ,  $E_p$  or  $E_t$ . But it is the most difficult to measure. So it is often deduced from evaporimeter measurements  $E_p$ , using values of the *crop factor*,  $E_a/E_p$ , calculated from previous measurements of  $E_p$  and  $E_a$  with the same crop at that same stage (**Figure 4.9**). Unfortunately, the climate, soil and crop management now are likely to differ from those in the previous measurements, so that the crop factor they yielded is hardly applicable,

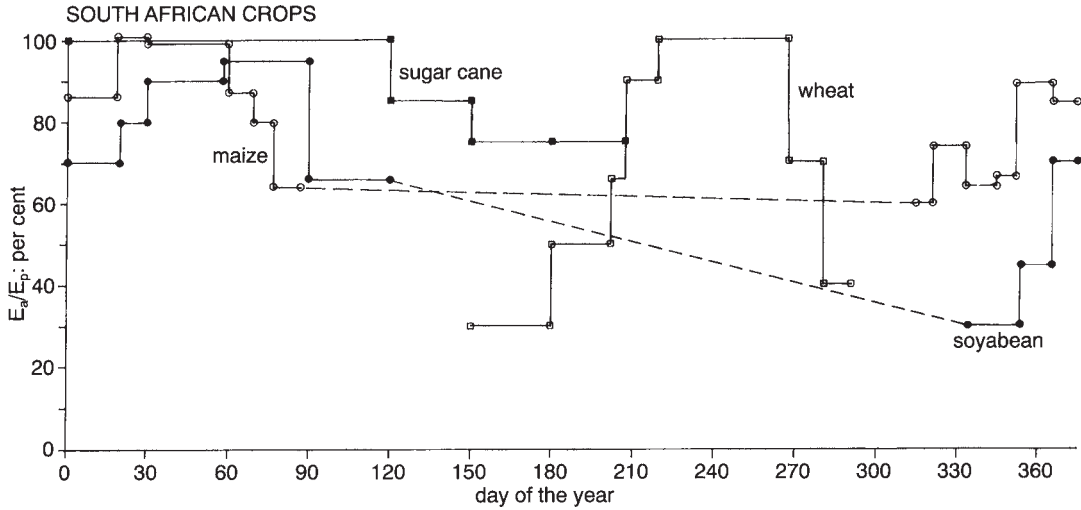


Figure 4.9 Seasonal variations of the crop factors  $E_a/E_p$  per cent for four crops in South Africa.

and therefore the actual evaporation is inferred only very approximately.

One feature of actual evaporation is its dependence on the soil's wetness. There are two stages involved, illustrated in Figure 4.10. Initially, drying proceeds at a rate which depends on the climate, and equals the potential rate  $E_p$ . After that,  $E_a$  equals the rate at which the soil can deliver moisture to the roots,  $E_m$ . The latter depends on the relative moisture content  $M$  of the soil within the layer containing the roots, where  $M$  is expressed as a fraction of unity. A value of zero means that the soil contains no moisture available to the plants, so they wilt. (The soil still contains some moisture, but it is held too tightly between the particles to be available to the roots.) A value of unity for  $M$  corresponds to soil at 'field capacity', which is soil that has been saturated and then allowed to drain for a day. The difference between these extreme conditions defines the 'maximum available moisture', which amounts to 111 mm depth of water in a metre depth of heavy clay soil, but 155 mm for a sandy loam, for instance.

Figure 4.10 shows  $E_m$  as equal to  $16 M^2$  mm/d, determined experimentally. So  $E_a$  equals

whichever of  $E_i$  and  $E_m$  is the less, given the particular soil wetness  $M$ .

The actual evaporation rate from vegetation is affected also by the rainfall and dew (Section 4.7) held by the canopy. This can amount to a layer a millimetre deep on vegetation with a large total leaf area. Such a layer is a significant fraction of a typical day's evaporation. This intercepted water on leaves evaporates rapidly, presumably at the potential rate  $E_p$ . On the other hand, evaporation from the soil depends on how much it is shaded by the vegetation. The combined evaporation from intercepted water and soil can sometimes equal that from the crop itself.

In broader terms, the actual evaporation  $E_a$  is slightly less than the precipitation  $P$  in any region where  $P$  is less than the potential evaporation rate  $E_p$ . Then the difference  $(P - E_a)$  approximates the runoff (Chapter 10). On the other hand,  $E_a$  approximates  $E_i$  in humid climates.

#### 4.6 VALUES OF THE EVAPORATION RATE

The global average of the evaporation rate must equal the annual mean rainfall of about 1,020

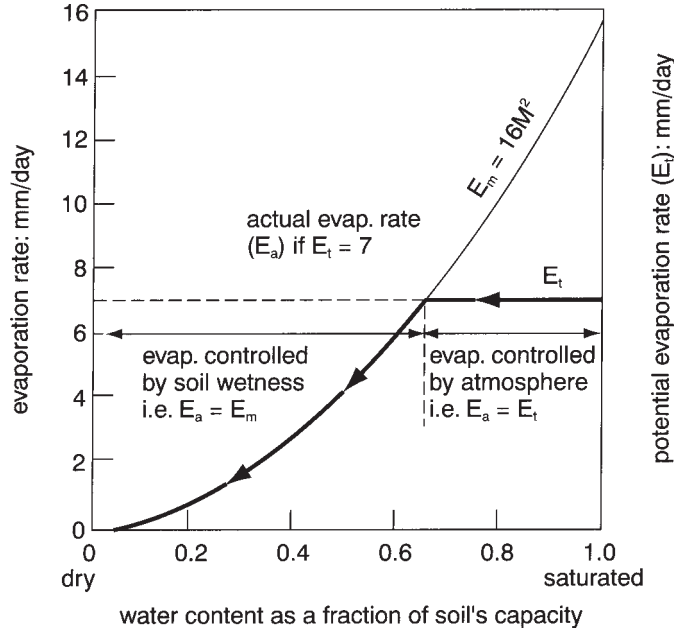


Figure 4.10 The change of the actual rate of evaporation  $E_a$  from a crop as the soil dries out, showing it as a two-stage process. When the soil is initially fully wetted,  $E_a$  is the potential rate  $E_p$ , which depends primarily on atmospheric conditions (i.e. net radiation, temperature, humidity and wind speed), and secondarily on the crop's roughness and albedo. In the first stage of drying,  $E_t$  is maintained so long as the soil can provide water to the crop at that rate. The water content of the soil  $M$  is eventually insufficient to allow evaporation to continue at that rate, and so  $E_a$  declines during the second stage. Various measurements have shown that the rate at which soil can supply water is proportional to  $M^2$ , and  $E_a$  falls correspondingly. In this second stage,  $E_a$  is limited by the soil's ability to supply water, whereas the limitation in the first stage was atmospheric demand.

mm (Chapter 10), since the input into the atmosphere must equal its output. This implies an average latent-heat flux from the surface of  $80 \text{ W/m}^2$ , which is a substantial fraction of the net radiation available at the surface (Figure 2.17).

The evaporation rate varies with latitude for reasons associated with the Dalton evaporation equation (Note 4.E). Firstly, the surface water's vapour pressure is affected by its temperature, which varies with latitude (Chapter 11). **Table 4.2** shows the importance of evaporation from the oceans, and from the lowest latitudes, where global radiation is greatest (Figure 2.11). Secondly, the air's humidity varies between the pole and the equator (Chapter 6), affecting the

Table 4.2 Effect of latitude on the actual annual evaporation, in millimetres depth per annum

| Latitude ( $^{\circ}\text{S}$ ) | Oceans (mm/a) | Land (mm/a) | Overall (mm/a) |
|---------------------------------|---------------|-------------|----------------|
| 0–10                            | 1,380         | 1,330       | 1,370          |
| 10–20                           | 1,550         | 1,050       | 1,510          |
| 20–30                           | 1,390         | 410         | 1,310          |
| 30–40                           | 1,200         | 520         | 1,180          |
| 40–50                           | 880           | 530         | 860            |
| 50–60                           | 560           | –           | 550            |

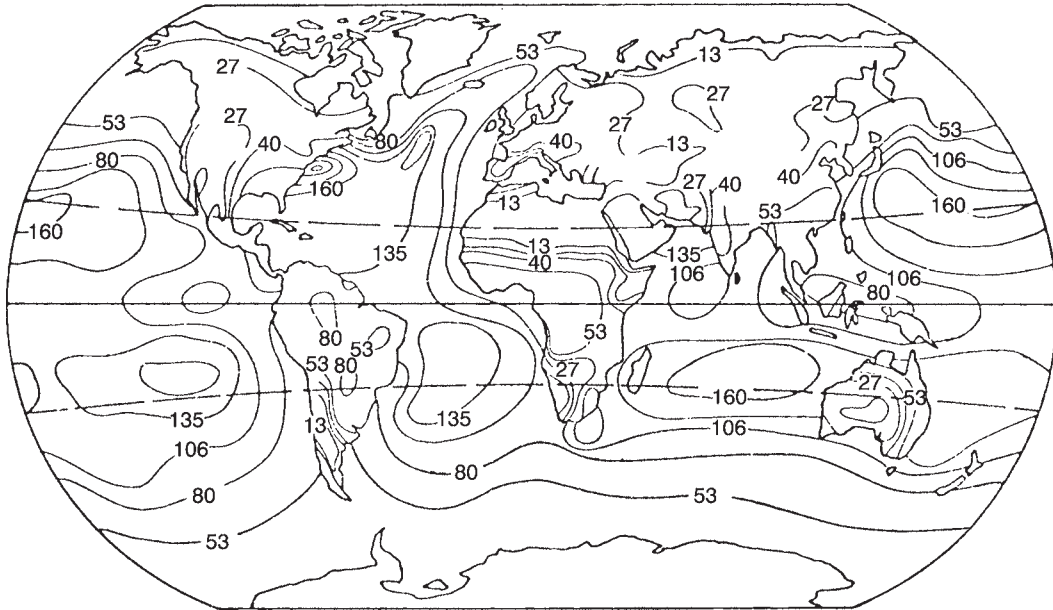


Figure 4.11 Variation over the Earth of the annual mean latent-heat flux ( $\text{W}/\text{m}^2$ ). Multiply the numbers by 12.7 to convert from latent heat flux ( $\text{W}/\text{m}^2$ ) to annual evaporation ( $\text{mm}/\text{a}$ ).

vapour pressure  $e$  in Dalton's equation. Thirdly, there are differences of wind strength around the globe (Chapter 12). Fourthly, evaporation inland is less because water is scarcer (Section 4.5).

The global pattern of actual evaporation rates is illustrated in more detail in **Figure 4.11**. Values exceed  $150 \text{ W}/\text{m}^2$  (i.e.  $1,920 \text{ mm}/\text{a}$  or  $5.3 \text{ mm}/\text{day}$ ) over subtropical oceans in the southern hemisphere, especially just north of the Tropic of Capricorn where cloudiness is least (Chapter 8). Other high values are seen just east of the continents, locally exceeding the energy available from net radiation—a paradox which is considered in the next chapter. The lowest evaporation values occur at the Poles and over subtropical deserts, such as the interior of Australia, where they are less than  $200 \text{ mm}/\text{a}$ . The average evaporation rate from all the Earth's land is about  $420 \text{ mm}/\text{a}$ , and from the oceans about  $1,260 \text{ mm}/\text{a}$ .

The distribution of *pan* evaporation  $E_p$  is almost opposite to that of actual evaporation  $E_a$ . Over the oceans the two are similar, but  $E_p$  is more in subtropical deserts, whereas  $E_a$  is much less.  $E_a$  in a desert might be only 5 per cent of  $E_p$ , for instance. Over Australia,  $E_p$  ranges from less than  $800 \text{ mm}/\text{a}$  in western Tasmania (i.e.  $2.2 \text{ mm}/\text{d}$ ) to more than  $16 \text{ mm}/\text{d}$  in January in central Australia (**Figure 4.12**).

### Rapid Evaporation

Evaporation is generally limited by the available amount of net radiation (Chapter 5), except that the rate can be substantially enhanced in small and isolated wet areas, like an irrigated field in dry country. This happens in two ways. Firstly, a gradually diminishing *oasis effect* (or edge effect) extends some  $50 \text{ m}$  into the field from the upwind boundary due to heat and

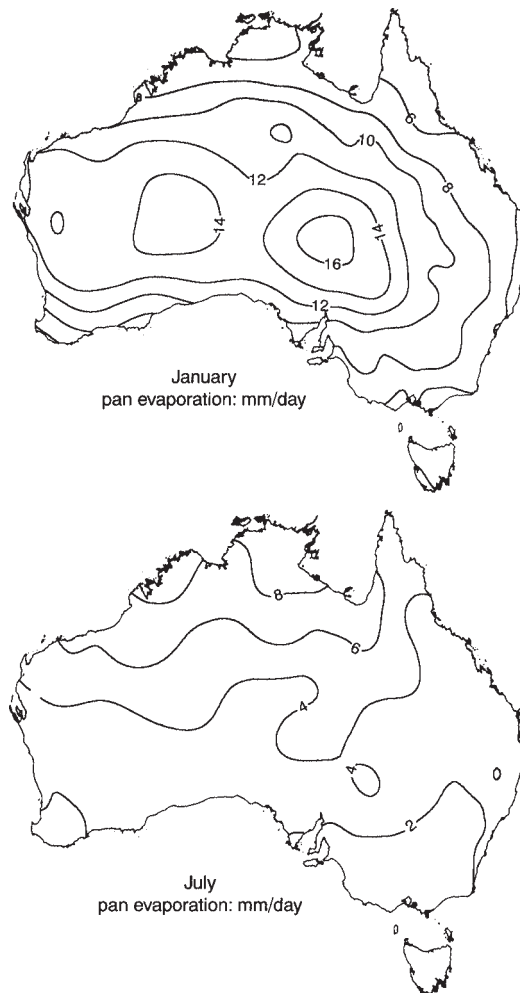


Figure 4.12 Class-A pan evaporation (mm/d) at places in Australia, in January and July.

dryness in the wind from relatively arid surroundings. Thus, evaporation of 5.3 mm was measured from a plot of irrigated lucerne in a dry area at Deniliquin in New South Wales during 7.5 daylight hours, which is fast, and a field of irrigated lucerne in Nevada evaporated 14.2 mm in one day. The same oasis effect has been found to lead to pan evaporation of up

to 24 mm/d within dry surroundings in California.

Secondly, there can be a *clothes-line effect* in a tall crop or forest due to air flowing *through* the canopy and not just over it. The throughflow creates extra evaporation from within the canopy.

We have seen that evaporation rates can vary considerably, and hence the fraction of the incoming energy that is consumed as latent heat. This governs how much of the incoming radiant heat remains to warm the surroundings. Reconciliation of these aspects of climate is the subject of the ‘energy balance’ considered in the next chapter.

## 4.7 DEW

The opposite of evaporation is condensation (Figure 4.1), involving the same amounts of latent heat but *released* as sensible heat, instead of being absorbed (Section 4.1; Chapter 5). Some of the atmosphere’s water vapour may condense onto surfaces chilled at night by the net loss of longwave radiation (Section 2.7). This is *dewfall*. It occurs once the air’s temperature is such that the corresponding saturation vapour pressure  $e_s$  is less than the actual vapour pressure. It is as though a sponge has been shrunk by cooling so that its capacity becomes less than the volume of fluid it contains. We say the air has become ‘supersaturated’. The excess spills out, condensed into liquid.

The condensation of that excess forms fog (Chapter 8) if the air is humid and the nocturnal cooling rapid. Otherwise it forms as dew on nearby surfaces, such as the leaves of plants. These tend to be colder than screen temperature at night (Section 3.5), so the adjacent air is first to become supersaturated.

As much as 0.3 mm of dew may be deposited during a twelve-hour night, liberating 17 W/m<sup>2</sup>. However, less than that is normally deposited, even though it may seem more when concentrated on the tips of leaves. For instance, the average nightly deposit when dew fell was

0.13 mm during a series of measurements in Sydney. There was dewfall only when the air was moist and calm, and the nocturnal sky was clear.

Even the small amount of moisture in dew can be useful in arid areas such as northern Chile. There it is collected by means of piles of stones, where the condensation drips to the inner base of the pile and is then shielded from the following day’s sunshine. Dew may also be collected on sloping metal sheets. It makes plants grow at the foot of telegraph poles in the desert.

The latent heat which is released when dew condenses tends to offset the usual cooling at night, so that dewfall governs the subsequent day’s minimum temperature (Section 3.4). In fact, the minimum cannot be much below the *dewpoint*, the temperature at which dew first forms (Chapter 6), because cooling below that causes further condensation which releases compensating latent heat.

Dew does not arise only from the cooling and supersaturation of the adjacent air. An additional kind is caused by *distillation* from the ground. The ground at night is warmer than the air and may be wet, so that it evaporates moisture into an atmosphere already almost saturated. Condensation follows onto surfaces like the leaves of plants, which cool more rapidly than the ground because of a smaller heat content and wider exposure to the sky.

A third source of moisture on leaves at day-break is *guttation*. This is the exudation of moisture from the leaves of some plants, as a carry-over from the transpiration of the previous daytime. Neither guttation nor distillation affect the transfer of heat from the surface to the atmosphere, so they are irrelevant to the flows of energy which are related together in the next chapter.

**NOTES**

- 4.A Water molecules
- 4.B Protection of crops from frost
- 4.C Saturation vapour pressure and temperature
- 4.D Rates of evaporation
- 4.E Dalton's evaporation equation
- 4.F Effect of drop radius on its evaporation rate
- 4.G Crop evaporation and yield
- 4.H The Relative Strain Index of comfort

## ENERGY BALANCES

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| 5.2 Energy Balances of Large Scale.....       | 96  |
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| 5.5 The Energy Balance of the Human Body..... | 103 |

### 5.1 THE ENERGY-BALANCE EQUATION

So far we have given separate consideration to three major determinants of the climatic environment: (i) the net flux of the radiation energy from the Sun to the ground (Section 2.8), (ii) its subsequent use in heating both the air (Sections 1.6 and 3.2) and the ground (Section 3.5), and (iii) the evaporation of water (Section 4.1). In later chapters, we shall elaborate on the ways in which these account for the humidity, cloudiness, rainfall and wind, which—along with temperature—constitute the weather in the short run and the climate in the long term. But at this point it is worth while to tie together what has been said about radiation, heating, and evaporation, as well as the melting of ice, photosynthesis, artificial heating (Section 3.7), wind friction and horizontal advection.

The processes just mentioned are all equivalent to flows of energy which can be combined by means of an *energy balance*, a special case of the general *balance equation*. In brief, this states that change equals the difference between input and output. We have already considered another

special case, that of the *water balance* of an area (Section 4.5), which states the obvious truth that the change of water level over a specified period equals the input minus the output during that time. Here, instead of water, we balance the amounts of *energy* flowing in unit time:

$$\begin{array}{l} \text{inflow} \\ \text{of energy} \end{array} = \begin{array}{l} \text{outflow} \\ \text{of energy} \end{array} + \begin{array}{l} \text{increase of} \\ \text{stored energy} \end{array}$$

or

$$\begin{array}{l} \text{increase of} \\ \text{stored energy} \end{array} = \begin{array}{l} \text{inflow} \\ \text{of energy} \end{array} - \begin{array}{l} \text{outflow} \\ \text{of energy} \end{array}$$

Either of the above is the *energy-balance equation*. An energy balance can be considered for any volume (in terms of joules per second, i.e. watts) or any surface (where the fluxes have units of W/m<sup>2</sup>). The volume might be a person's body (Section 5.5), the bulb of a thermometer (Chapter 6), a parcel of air (Chapter 7) or the global atmosphere (Chapter 12). On the other hand, the surface that we consider most is that of the ground.

The energy-balance equation applies either to instantaneous conditions or to fluxes averaged over some period, such as a day or year. The



equation is universally true, expressing what is called the ‘principle of the conservation of energy’ or the ‘first law of thermodynamics’ (Chapter 7).

### Surface Energy Balance

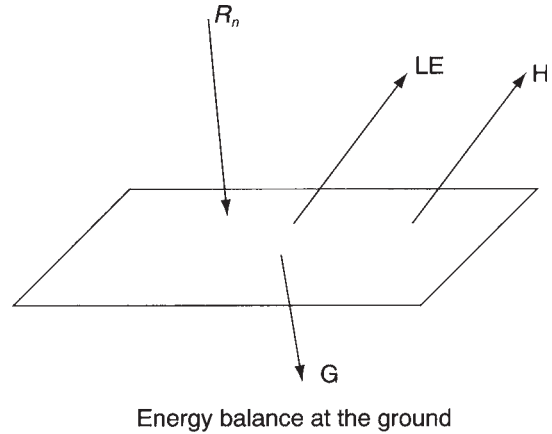
The equivalent to the previous equations is the following, for energy fluxes at the ground surface:

$$R_n = L.E + H + G \quad \text{W/m}^2 \quad \text{W/m}^2$$

where  $R_n$  is the input of energy in the form of net-radiation,  $L$  the latent heat of evaporation (J/kg, Section 4.1),  $E$  the rate of evaporation ( $\text{kg/m}^2.\text{s}$ ),  $H$  the convective flux of sensible heat away from the surface (Section 3.1), and  $G$  the heating of the ground (Section 3.5). The product  $L.E$  is the latent-heat flux (Section 4.5), often written as simply  $LE$  for short. An equation like that applies to most surfaces. Exceptions include an ocean surface, fast-growing vegetation or melting snow, which would require extra output terms on the right of the equation, for advection, photosynthesis (Section 1.2) or latent-heat-of-melting, respectively.

Notice the difference between the two kinds of equation involving net radiation  $R_n$ , here and in Section 2.8, respectively. The expression in Section 2.8 *defines* the net radiation, gives it a label;  $R_n$  is the sum of all radiation fluxes onto the surface. On the other hand, the energy-balance equation here is more than word-play, it describes an important quantitative feature of reality, the conversion of  $R_n$  into non-radiative forms of energy.

The simple form of the energy-balance equation at the ground’s surface is shown in **Figure 5.1**. In that case, a net radiation *towards* the surface is regarded as positive and  $LE$ ,  $H$  and  $G$  are positive if they move *away* from it.  $LE$  and  $H$  are usually positive, but the  $LE$  term is negative if dew forms, since the latent-heat transfer is then towards the surface. Likewise, the sensible-heat flow  $H$  is negative if the air is warmer than the surface, so



*Figure 5.1* The chief components of the energy balance at the ground. Incoming net radiation  $R_n$  is balanced by the fluxes away from the surface, in heating the ground  $G$ , heating the air by convection  $H$  and in evaporation  $LE$ .

that heat moves downwards to the surface. Also, the flux of heat conducted through the ground  $G$  is usually negative at night, as it then flows upwards towards the cooling surface.

The ground-heat flux  $G$  in the equation above is typically about 20 per cent of  $R_n$  over an hour or so. However, it is negligible over the course of a whole day or more, since the daytime absorption is almost exactly cancelled by the night-time loss. The error in ignoring  $G$  is then no more than the uncertainty in measuring  $R_n$ . In that case,  $R_n$  is balanced by the sensible-heat flux  $H$  and the latent-heat flux  $LE$ , together. If the surface is also dry (so that  $LE$  is zero),  $R_n$  equals  $H$ , i.e. all the net-radiation input goes into heating the adjacent air. For example,  $H$  in central Australia is shown in **Figure 5.2** as 40–60  $\text{W/m}^2$ , which accounts for much of the net radiation, shown in Figure 2.17 as 80  $\text{W/m}^2$ . On the other hand,  $H$  over the subtropical oceans is small compared with  $R_n$ , indicating that  $R_n$  there is largely balanced by  $LE$ , which is large (Figure 4.11).

### Applications

A zero heat-storage term  $G$  means that temperatures are steady, and the balance

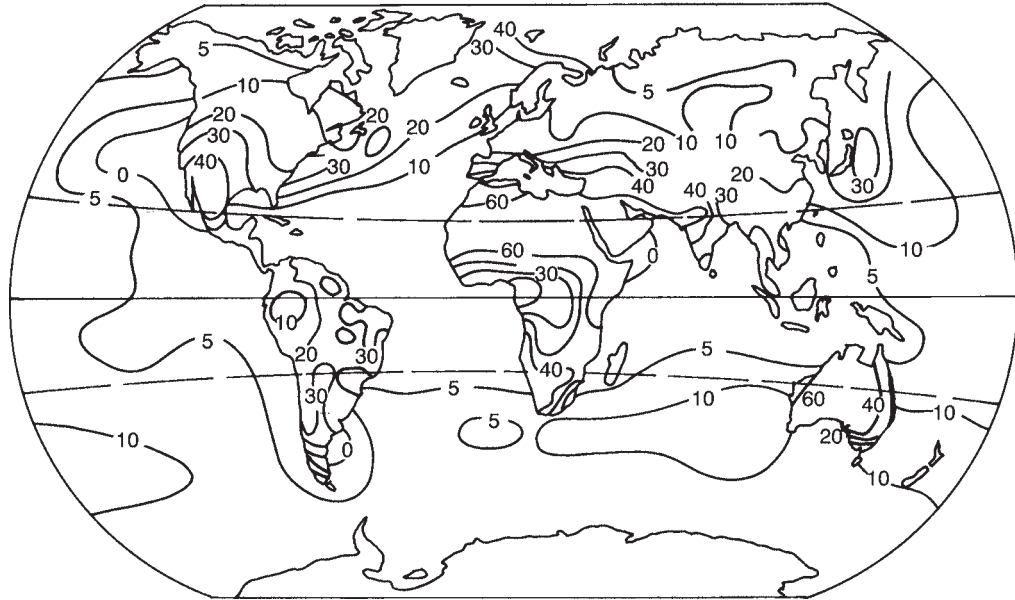


Figure 5.2 Annual mean sensible-heat flux  $H$  from the Earth's surface to the atmosphere, in units of  $\text{W}/\text{m}^2$ .

equation becomes simply the statement that inputs and outputs are equal, as in the case of the entire Earth (**Note 5.A**). Such an equation of equilibrium allows us to calculate the temperature of a white vehicle, in comparison with a black one in a hot climate (**Note 5.B**).

An energy-balance equation permits deducing any one of the terms from a knowledge of the others. For instance, consider the case of an ocean surface over a month. The overall storage term  $G$  is negligible over such a period, with daytime heat gains cancelled by nocturnal loss. Also, measurements show that there is little difference between the temperatures of the air and water near their interface, which implies zero sensible-heat flux  $H$  (Section 3.2). Moreover, advection is negligible in the case of a large ocean basin, where the affected edge is only a small fraction of the entire volume. Therefore, the energy-balance equation reduces to an equality between  $R_n$  and  $LE$ . So we can obtain the approximate long-term rate of evaporation from an ocean (which is hard to measure) by

the relatively straight-forward determination of the net-radiation flux  $R_n$  there (cf. Figure 2.17 and Figure 4.11).

Also, the energy balance helps us to understand the processes causing cooling at night (**Note 5.C**) and to estimate evaporation (**Note 5.D**). In addition, the energy balance explains a *sol-air temperature*, the reading of a thermometer exposed to the Sun's radiation and the ambient wind (**Note 5.E**). It is the temperature reached by any exposed dry surface (Note 5.B) and by people outdoors, so that bright calm days feel acceptably warm even when the air is cold. Further examples of the usefulness of the energy balance are considered in the following sections.

### Other Components of the Energybalance Equation

Relatively small amounts of heat are used in heating of the ground  $G$ , in photosynthesis

and in frictional heating of the ground by wind. Plants also use merely a small fraction of the incoming solar radiation  $R_s$  (Note 2.I). Heating due to the friction of the wind on the ground is trivial compared with net-radiation values (Section 2.8); it is less than  $0.2 \text{ W/m}^2$ , even with the high winds in Antarctica, for instance (Chapter 16). Wind is more important in causing the advection of sensible and latent heat (Notes 3.A and 4.D) than as a source of energy itself.

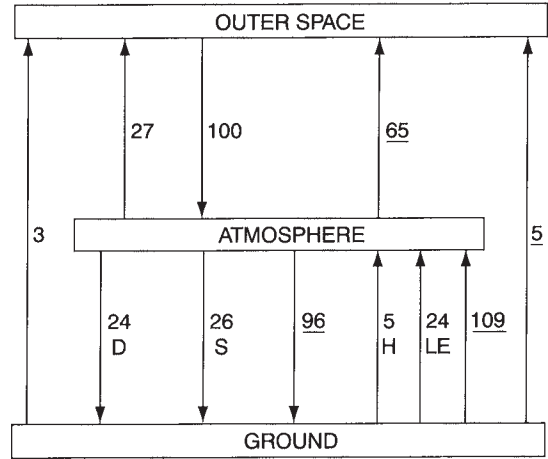
Until now we have mainly considered vertical heat fluxes, but there are *horizontal* transfers of energy too. These occur as sensible heat in the wind (especially during storms) and in ocean currents, and as latent heat in moist wind. There is a net advection of heat *towards* an area (i.e. *heat-flux convergence*) when the inflow exceeds the outflow, and this has to be included in the energy-balance equation for the area. Such contributions are important on a global scale (Note 5.F, Chapters 11 and 12).

## 5.2 ENERGY BALANCES OF LARGE SCALE

**Figure 5.3** represents the annual average vertical fluxes of energy within the whole world. The three boxes represent different zones and the arrows stand for fluxes of energy between them. The energy-balance equation applies to each zone, with the total input exactly equal to the total output. Let us consider some details.

The top of the Earth's atmosphere receives an average of  $342 \text{ W/m}^2$  from the Sun (Note 2.F) and we represent this as 100 units of energy in Figure 5.3. On average, the Earth returns about 30 units of shortwave radiation (i.e.  $3+27$ ) to space by reflection, which implies that the 'global albedo' is 30 per cent (Section 2.5), as seen from a satellite.

The surface receives about half the incoming extra-terrestrial radiation, with 24 units as direct



*Figure 5.3* Long-term average fluxes of energy in the global atmosphere, with the underlined numbers representing longwave radiation, while D denotes diffuse shortwave radiation, S is direct solar radiation, H is sensible-heat flux and L.E. latent-heat transfer. Numbers are percentages of the incoming solar radiation. Slightly different numbers are given for the various fluxes by other authors.

radiation, and the other 26 units in the form of scattered radiation. The scattering is due to clouds (77 per cent, Section 2.3), gases in the atmosphere (18 per cent), and either dust particles or aerosols (5 per cent). There is absorption by the atmosphere of 23 units ( $100-50-27$ ), due mainly to the gases (58 per cent), such as water vapour (Figure 2.2) and ozone (Section 1.4), and rather less to aerosols (28 per cent) and clouds (14 per cent).

It is evident from the numbers in Figure 5.3 that the atmosphere is a natural greenhouse (Note 2.L), because only 4 per cent of the longwave radiation emitted by the Earth's surface reaches space directly (i.e.  $5/[109+5]$ ). The 5 units emitted directly from the ground into space are mostly at a wavelength within the longwave-radiation window (Section 2.3, Note 2.H). The other 65 units of longwave radiation into space come from the atmosphere. The total of 70 units of longwave radiation plus the 30

units of reflected sunshine balance the input of 100 units, so that there is no overall warming or cooling. Likewise for the other zones in Figure 5.3.

We are particularly interested in the global energy balance at the Earth's surface, indicated by the box labelled 'ground'. The global-average *surface* albedo is only 6 per cent  $\{3/(24+26)\}$ , which is much less than the planetary albedo discussed above. The chief reason is that oceans cover so much of the Earth (Note 1.A) and have a particularly low albedo (Section 2.5).

Net radiation to the ground (Section 2.8) amounts to 29 units (i.e.  $24+26+96-3-109-5$ ), whilst the shortwave radiation from the sky is  $(24+26)$  or 50 units, so the radiation efficiency (Section 2.8) is 58 per cent (i.e.  $29/50$ ). The net radiation is offset by a latent-heat flux of 24 units (mainly from the oceans) and 5 units of sensible-heat flux.

Figure 5.3 shows that, on the whole, the radiation received by the ground contains almost twice as much longwave sky radiation (96 units) as shortwave solar radiation (50 units), which may seem surprising. Observe also that the terrestrial radiation (109 units) exceeds the sky radiation, since the ground is warmer (Section 2.7).

## Latitude

The distribution of vertical energy fluxes in various latitudinal belts of the Earth's surface is shown in **Figure 5.4**. (The evaporation figures reflect the variation shown in Table 4.2.) The greatest inputs are at latitudes around  $30^\circ$ , where there is least cloud (Chapters 8 and 12). Sensible and latent-heat fluxes are about equal from the world's lands, on average, but sensible heat is dominant in the dry subtropics (at  $20^\circ$ – $30^\circ$  latitudes) and evaporative-energy transfer dominant in the humid tropics and at sea. The energy-balance equation for a latitude belt of ocean includes a term for advection, which is

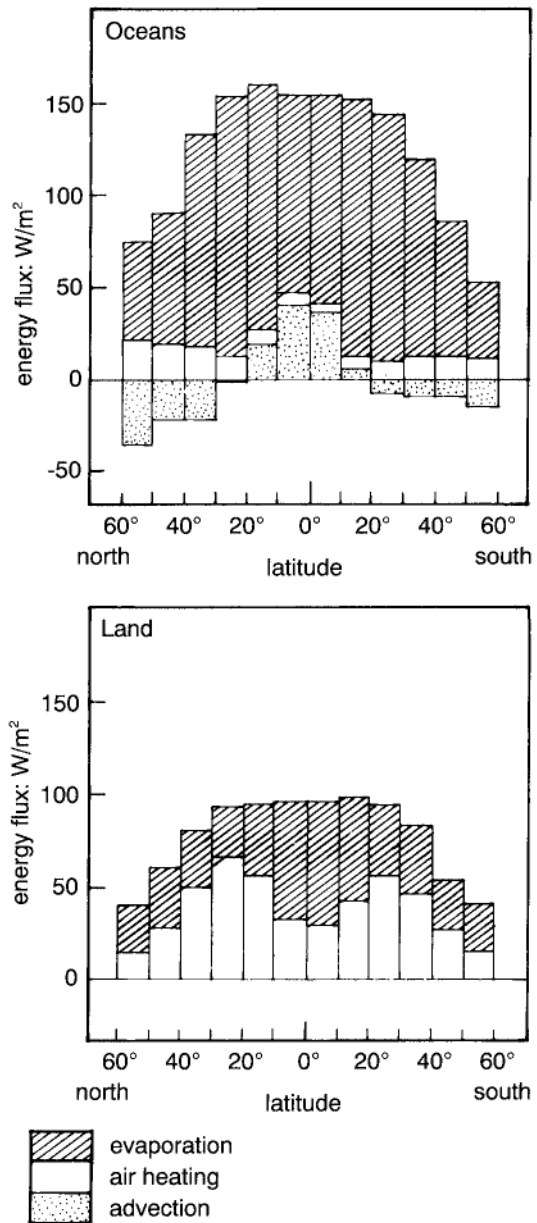


Figure 5.4 Components of the energy balance at the ground in each latitudinal belt. Each bar in total represents the magnitude of the input of net radiation, divided according to the outputs of latent heat  $L_E$  and sensible heat  $H$ , and advection  $A$  in the case of oceans.

*negative* if there is a net input of heat from the currents (Note 5.F).

**Table 5.1** shows the long-term average net radiation onto several continents and an ocean and its use in evaporation, sensible heat and advection. Net radiation is upwards at the poles (Figure 2.17) and heat convection downwards. Warming of the air by the ground is particularly strong in Australia.

Values in Table 5.1 enable a comparison of the climates of Australia and South America, which receive similar amounts of net radiation. However, the much greater rainfall in South America (Chapter 10) leads to wetter conditions and hence much more evaporation than in Australia, leaving less energy to heat the atmosphere.

### 5.3 LOCAL ENERGY BALANCES

Let us now consider some examples of local energy balances at the ground-level surface, which change continuously according to the position of the Sun, the weather and the surface

conditions. First we deal with balances at specific sites, and then variations over a day and from one year to another.

#### Cold Surfaces

At noon on a day in February at 4,400 m on the Meren Glacier (near the equator in New Guinea), there was both (i) incoming net radiation of 398 W/m<sup>2</sup> to the snow and (ii) a 31 W/m<sup>2</sup> flux of sensible heat from the air. The total income of 429 W/m went into sublimation and evaporation from the surface (153 W/m) and into warming and melting the snow (276 W/m<sup>2</sup>, i.e. 429–153). Such a description of the energy flows shows precisely what processes were dominant. It shows, for instance, that 64 per cent (i.e. 276/429) of the incoming energy was used in warming and melting the snow (Chapter 10).

Values from Antarctica in midwinter are shown in **Table 5.2**. The net radiation is opposite and equal to the total of the other three factors, and is negative (i.e. upwards, away from the

*Table 5.1* Average energy fluxes in selected regions. The fluxes are of net radiation  $R_n$ , latent heat  $LE$ , vertical convection of sensible heat into the air  $H$ , and horizontal advection  $A$ . The signs of the energy fluxes (W/m<sup>2</sup>) are consistent with Figure 5.1

| Average energy fluxes: (W/m <sup>2</sup> ) |       |      |     |     |
|--|-------|------|-----|-----|
| Region                                     | $R_n$ | $LE$ | $H$ | $A$ |
| Antarctica                                 | -15   | 0    | -15 | 0   |
| Australia                                  | 93    | 38   | 54  | 0   |
| South America                              | 93    | 67   | 25  | 0   |
| Indian Ocean                               | 113   | 102  | 9   | 2   |

*Table 5.2* Typical Antarctic energy balances in midwinter; the signs of the energy fluxes (W/m<sup>2</sup>) are consistent with Figure 5.1

| Place      | $R_n$ | $LE$ | $H$ | $G$ or $A^*$ |
|------------|-------|------|-----|--------------|
| South Pole | -20   | -1   | -18 | -2           |
| Coast      | -43   | +6   | -39 | -10          |
| Ocean      | -12   | +24  | +29 | -65          |

\* Ground heat-flux  $G$  for land surfaces, but advection  $A$  in ocean currents

surface). It is offset partly by a downward flux of sensible heat from the air, which must therefore be warmer than the ground (Figure 1.9, Note 3.A). Also, there is some sublimation of vapour onto the ground at the pole, and considerable advection of heat in ocean currents from lower latitudes.

The circumpolar oceans are generally frozen in winter, except for temporary gaps in the ice. They occupy only a few per cent of the area, but importantly affect evaporation and the sensible-heat flux.

We can compare the fluxes in Antarctica shown in **Figure 5.5** with those in Figure 5.3 for the whole world. There is less attenuation of the incoming solar radiation by cloud and air turbidity, since 78 per cent reaches the ground instead of 56 per cent, and the ice of Antarctica reflects a notable amount of shortwave radiation (65 units instead of 6). The surface flux of sensible heat is downwards towards the surface, and exceeds the upwards latent-heat flux due to the sublimation of snow. The net radiation loss of 27 units (i.e.  $100 - [65 + 16] - 46$ ) shows that Antarctica is an *energy sink*, i.e. it absorbs energy on the whole (Figure 2.18). The absorption of heat is made good by heat-flux convergence in the winds.

Another set of data was obtained over six days in summer, from an ice-free rock valley in Antarctica. The surface at noon was about 10 K warmer than the air 1.6 m above the ground, and simultaneously the upwards flow of heat into the air was  $33 \text{ W/m}^2$ . This was only a small part of the incoming net radiation, which was  $130 \text{ W/m}^2$ . The heat consumed in evaporation was measured as  $16 \text{ W/m}^2$ . So the energy-balance equation shows that the remainder (due to the noontime flux of heat into the ground) was  $81 \text{ W/m}^2$  (i.e.  $130 - 16 - 33$ ).

## A Lake

**Figure 5.6** shows the terms of the energy balance each month at the surface of a reservoir

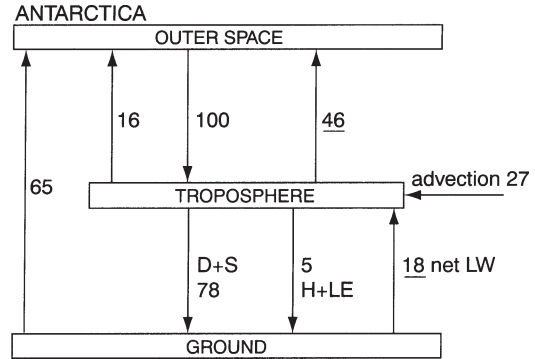


Figure 5.5 Annual mean fluxes of energy over the Antarctic. Underlined numbers refer to longwave radiation. [D+S] is the sum of direct and diffuse shortwave radiation. [H+LE] is the sum of sensible and latent-heat fluxes.

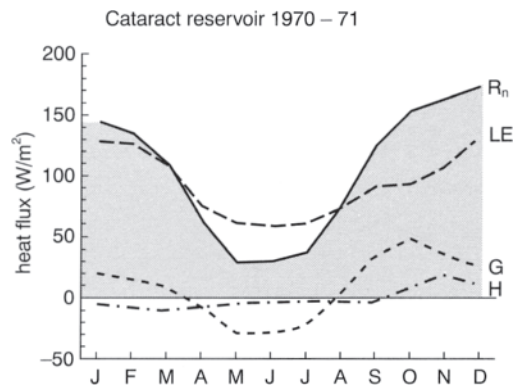


Figure 5.6 Variation of the components of the energy balance at the surface of Cataract reservoir in New South Wales.

in New South Wales. The highest rate of evaporation (equivalent to a latent-heat flux of about  $130 \text{ W/m}^2$ ) represents a lowering of the lake level by 4.6 mm daily (Note 4.D). Incoming net radiation was less than the heat used in evaporation from March–August, but greater in the warmer months. The small values of H indicate that little heat was carried away in the wind, i.e. there was not much difference between lake-surface and surface-air temperatures (Note 3.A).

The term  $G$  in the case of a lake is a heat-storage term, and a negative  $G$  implies heat flowing upwards towards the surface (Figure 5.1), i.e. the lake is cooling. In the present case, the lake cooled between April and July, allowing the latent-heat flux to exceed the net radiation. The lake was coldest in August and warmest in late March.

### Other Examples

(a) The energy balance in Chilton Valley in the South Island of New Zealand shows a strong seasonal variation (Figure 5.7). All fluxes are small in winter. November is a dry month so there is less evaporative cooling of the soil, consequently its surface temperature rises, and therefore there is an increase of the sensible-heat flux to the atmosphere. Data on soil heating  $G$  show a small absorption of heat from October to February

and a corresponding release in the cooler months.

(b) Figure 5.8 shows the seasonal variations of net radiation, evaporation and convection at four places. There are two net-radiation maxima at the lowest latitudes, when the Sun passes overhead at noon (Section 2.2). Annual fluctuations increase with latitude, in accord with the larger annual range of temperature (Section 3.3). Most of the net radiation is used in evaporation in the cases of the oceans and the moist climate of Manaus in the Amazon basin. The opposite is true of Mocamedes at the northern end of the Namibian desert, where there is practically no water for evaporation.

(c) Apart from average values over a month or more, there can be large changes from day to day, or hour to hour, depending on changes of cloud, rain or wind temperature. Figure 5.9 illustrates the example of a

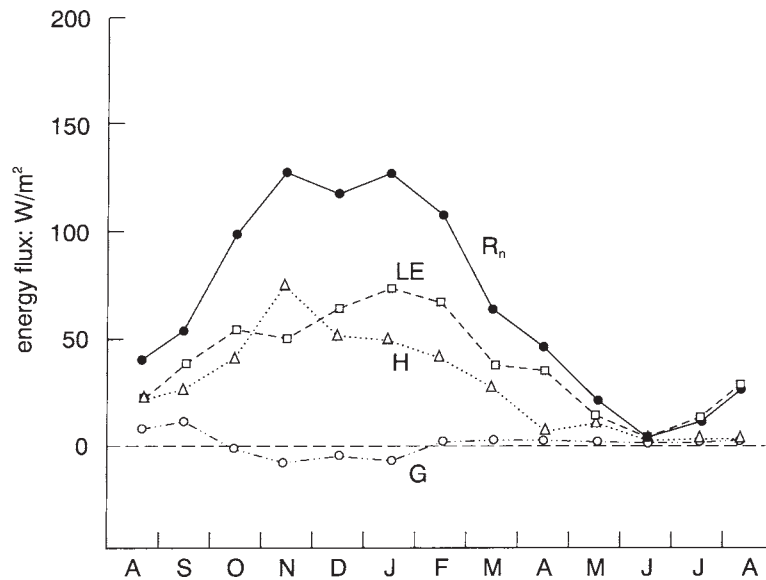


Figure 5.7 Monthly means of the energy-balance components in the Chilton Valley (NZ, 35°S). For each month,  $R_n$  equals the sum of H, L.E and G.

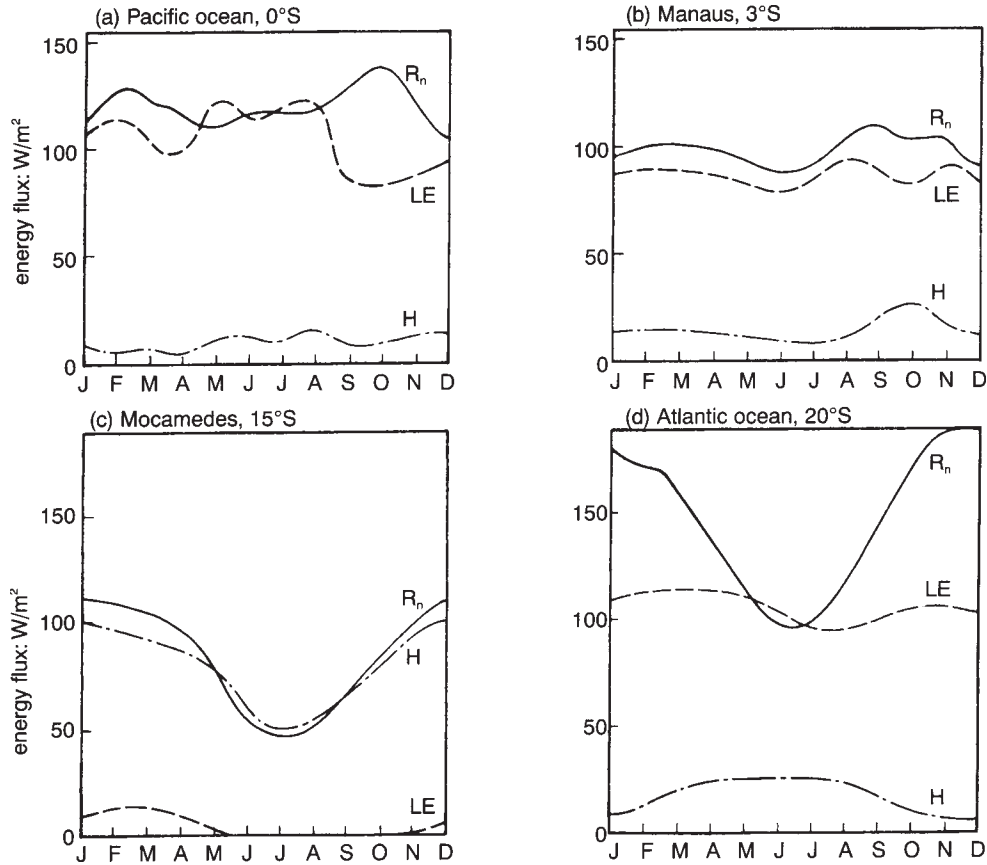


Figure 5.8 Annual variation of net radiation ( $R_n$ ), evaporative cooling LE and convection H, at four places, (a) Pacific ocean at  $0^\circ\text{S}$ ,  $150^\circ\text{E}$ , just off New Guinea; (b) Manaus, Brazil, at  $3^\circ\text{S}$ ; (c) Mocamedes, Angola, at  $15^\circ\text{S}$ ; (d) Atlantic ocean at  $20^\circ\text{S}$ ,  $10^\circ\text{E}$ .

recently irrigated wheatfield near Canberra in spring. The latent-heat flux exceeded the radiation energy for much of the daytime, and a substantial amount of heat flowed into the ground, so H was negative, indicating air much warmer than the ground, especially from 10 a.m. till noon.

- (d) Another example of varying energy balances is in **Figure 5.10**, relating the monthly rainfall to the monthly mean daily-maximum temperature at Alice Springs, in January, in different years. The temperature is lower in

wet months because of the cloud and the heat used in evaporating the rain.

## 5.4 ALTERING THE ENERGY BALANCE

As examples of changing an energy balance, we will consider the effects of preventing evaporation at the surface, altering the ground's albedo, and differences due to the orientation of sloping ground.

A layer of oil on a lake greatly reduces evaporation, and a clear-plastic cover has the



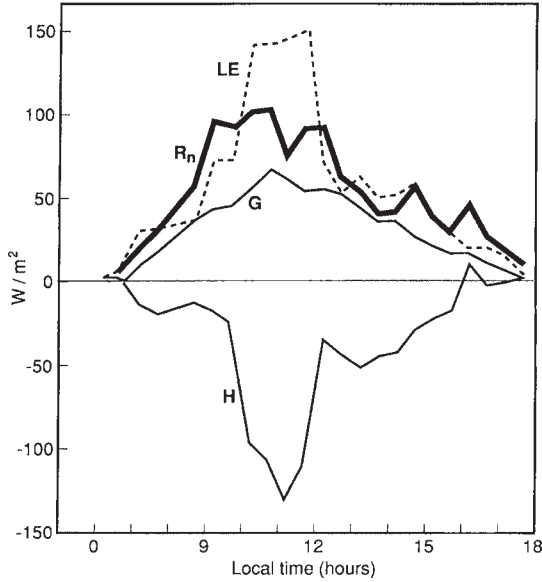


Figure 5.9 Comparisons of the available energy  $R_n$  with the amounts used in evaporation L.E, heating of the ground G and heating of the air H from a wheat crop in Canberra, Australia. At any moment,  $R_n$  equals the sum [LE+G +H].

same effect on a ground surface (Table 5.3). This leaves all the incoming net radiation available to warm the surface and the adjacent air. The warmer surface increases three fluxes: (i) the upwards terrestrial radiation (*reducing* the incoming net radiation  $R_n$ ), (ii) the sensible-heat flux to the atmosphere H, and (iii) the conduction of heat downwards into the ground or lake G. So a new balance arises automatically, with a reduced  $R_n$  matched by an increased (H+G).

Carbon powder spread onto clean snow dramatically increases the absorption of incoming radiation and therefore promotes the

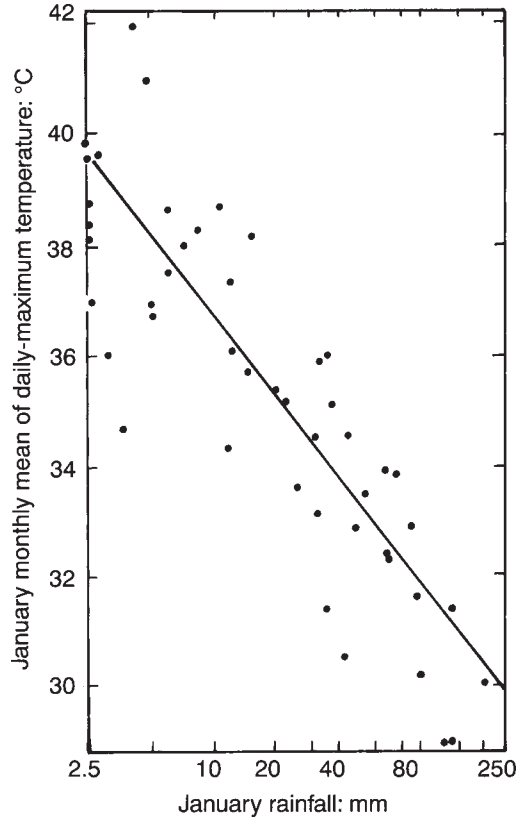


Figure 5.10 Effect of summer rainfall at Alice Springs on the daily-maximum temperature.

clearing of snow. The albedo of snow is thus reduced from about 80 per cent to 5 per cent, so that the surface absorbs almost five times as much shortwave radiation, warming the surface. This promotes sublimation, and melting and runoff occur when temperatures reach 0°C.

Also, a lowering of albedo accelerates evaporation in getting salt from sea water within shallow ponds exposed to the Sun. Adding

Table 5.3 Example of the effects of a clear impermeable plastic cover on components of the energy balance; the signs of the energy fluxes ( $W/m^2$ ) are consistent with Figure 5.1

| Energy flux | $R_n$ | L.E | H   | G   |
|-------------|-------|-----|-----|-----|
| Bare soil   | 154   | 143 | 24  | -13 |
| Covered     | 138   | 0   | 127 | +11 |

methylene-blue dye to the brine reduces its albedo, so that more solar radiation is absorbed, increasing the net radiation (Section 2.8), thereby making more energy available for evaporation.

Coating the leaves of an orange tree with (white) kaolinite to increase the albedo has been found to lower leaf temperatures in the daytime by 3–4 K, and so the rate of evaporation falls, conserving water. Likewise for leaves of a rubber plant, though to a smaller extent.

The direction in which sloping land faces governs the amount of radiation received, and therefore the amounts of energy going into heating the air and perhaps evaporation. **Table 5.4** gives results showing substantially less heating of the air against a slope facing away from the Sun, whereas evaporation differed little. (Of course, there may have been different rainfalls on the two slopes to complicate matters.)

## 5.5 THE ENERGY BALANCE OF THE HUMAN BODY

The climate affects our feelings of comfort (Notes 3.D, 3.E, 3.J and 4.H) by challenging *homeostasis*, the maintenance of a steady bodycore temperature. This depends on balancing the various energy inputs and outputs of the core of the body, the balance being controlled by a part of the brain called the *hypothalamus*. Body temperatures rise as the result of (i) solar radiation, (ii) shivering, (iii) exercise and (iv) *metabolic processes*, i.e. normal body functioning. Cooling occurs either

through panting (i.e. evaporation from the lungs) or an increase of blood circulation to the skin (i.e. the skin flushes), to carry more heat away from the core, followed by (i) sensible-heat flux from the skin to the air, (ii) longwave radiation to the surroundings, and (iii) the evaporation of perspiration (Notes 4.D and 4.H). Clothing provides insulation that reduces all these three processes. Also the body reduces them automatically when we feel cold by constricting the blood vessels near the skin, thus reducing the transport of heat from the body's core (**Figure 5.11**).

### Sweat

Humans are outstanding amongst animals in their ability to sweat profusely from the skin, because of hairlessness. An adult can sweat up to 3 litres an hour or so, representing a loss of almost 4 per cent of body weight hourly. A loss of 2 per cent of body weight causes great thirst, and 8 per cent makes the tongue swell so that speech and then breathing become difficult. Hard work in the open at 30°C may take 10 litres a day. However, a typical figure for someone at rest is only 0.8 l/d, about half of which evaporates in the lungs and then is exhaled.

Sweating starts when the skin temperature exceeds about 30°C. This can occur, for instance, when the air temperature is only 3°C, if the body is being heated by 640 watts of exercise. On the other hand, the sweating mechanism collapses if the body temperature reaches 41°C, and then further heating is

*Table 5.4* Example of the effect of a slope's orientation to the Sun on the energy balance of a hillside's bare soil; the signs of the energy fluxes ( $\text{W}/\text{m}^2$ ) are consistent with Figure 5.1

| Slope                    | $R_n$ | $L.E$ | $H$ | $G$ |
|--------------------------|-------|-------|-----|-----|
| Facing away from the Sun | 69    | 20    | 41  | 8   |
| Flat                     | 157   | 24    | 109 | 24  |
| Facing towards the Sun   | 204   | 36    | 146 | 22  |

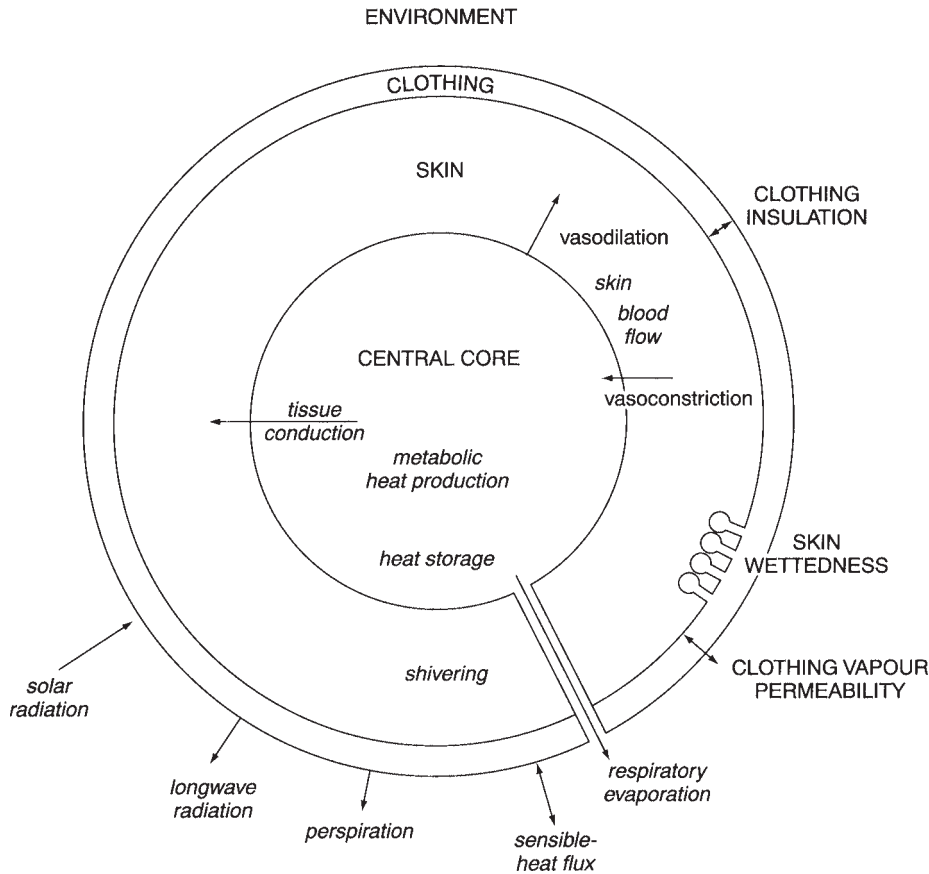


Figure 5.11 Processes involved in the energy balance of a human body maintaining homeostasis, i.e. keeping the body-core temperature steady. There are four different layers—the central core, the skin, the clothing and the ambient environment—and heat fluxes between them are indicated in italics.

unchecked. Difficulty in sweating is why the elderly are more vulnerable to high temperatures (Note 3.C).

One's survival in a hot climate depends on the availability of water to replenish what is lost as sweat. With daily maxima above 37°C in a desert, one can survive without water for only about four days by resting still, or two days by travelling at night and resting in the daytime. A night traveller who drank four litres daily could survive for five days.

Loss of a litre hourly by sweating represents

400 W/m<sup>2</sup> from a nominal skin area of 1.7 m<sup>2</sup>, so the cooling by sweating is considerable. We can compare it with metabolic heating of 44 W/m<sup>2</sup> when sleeping, 120 W/m<sup>2</sup> if strolling, 460 W/m<sup>2</sup> when running at 10 km/h and 520 W/m<sup>2</sup> during heavy manual labour. At the other extreme, shivering can generate up to 250 W/m<sup>2</sup>.

That example of the human body concludes our consideration of energy balances. It demonstrates again how universally applicable and how illuminating the concept is.

**NOTES**

- 5.A Why doesn't the world get hotter?
- 5.B Does a car's colour influence its temperature?
- 5.C Factors governing the daily minimum temperature
- 5.D Estimation of evaporation
- 5.E Sol-air temperatures
- 5.F Energy balances of the southern hemisphere



Part III  
WATER



# HUMIDITY

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## 6.1 THE HYDROLOGIC CYCLE

Now we turn from the flows of energy about the Earth to deal with the resulting movements of water. These include the process of evaporation discussed in Chapter 4, resulting in water vapour in the atmosphere. Water vapour is an important greenhouse gas (Section 2.7) and a key component of the *hydrologic cycle* symbolised in **Figure 6.1**.

The hydrologic cycle consists of the circulation of water from land and ocean to atmosphere, then condensation, normally into cloud, followed by precipitation back either to the oceans, or to the land, where the water either evaporates or flows back to sea and evaporates there. The continual movement between the parts in **Figure 6.2** is like the cycles of carbon dioxide illustrated in Figure 1.3. Such a pattern of related processes is called a *system*. Within this system, the atmosphere holds very little of the world's water, and the time any molecule spends as atmospheric humidity is only a few days, on average (**Note 6.A**).

The world contains huge amounts of each kind of water, together constituting the *hydrosphere*. The mass of vapour in the air is about thirteen

million million tonnes (i.e.  $13 \times 10^{12}$  tonnes, or 13 teratonnes), which could provide a rainfall of 25 mm over the entire Earth. Lakes, rivers and underground water together make up a thousand times this amount. The Antarctic and Arctic ice-caps hold nearly three times as much again and the oceans a further thirty times as much (i.e.  $1.4 \times 10^{18}$  tonnes). Such quantities imply an important role in atmospheric processes.

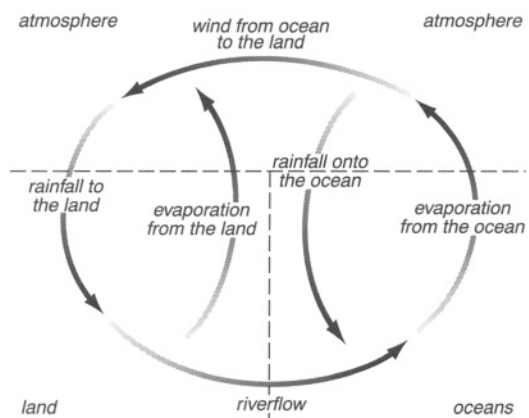


Figure 6.1 Symbolic pattern of flows of water in the hydrologic cycle.



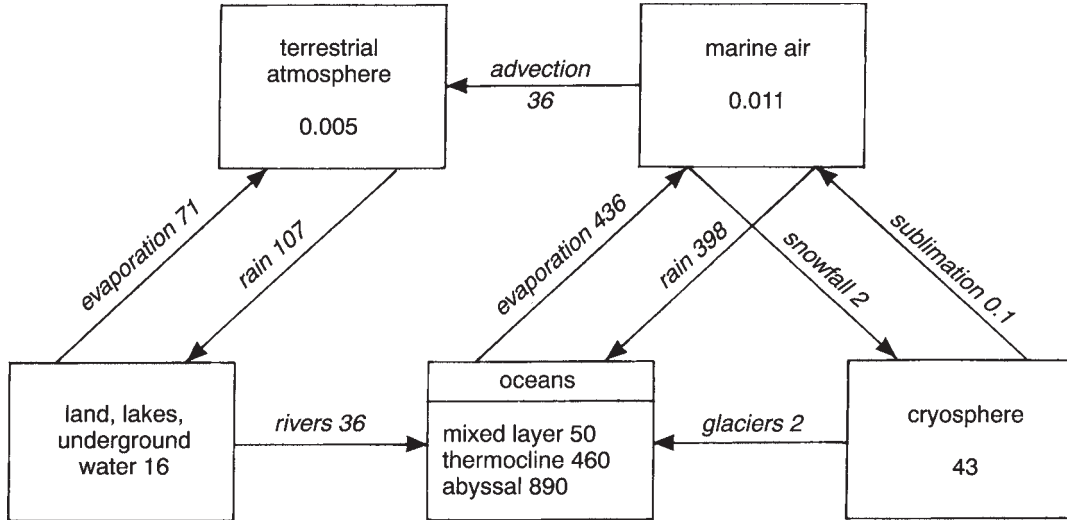


Figure 6.2 Quantities involved in the hydrologic cycle. A box represents a storage, whose capacity is expressed in petatonnes (i.e.  $10^{15}$  tonnes). The italicised numbers represent annual flows in teratonnes (i.e.  $10^{12}$  tonnes). Slightly different numbers are given by various authors.

On the way from atmosphere to ocean, some of the water spends appreciable time in the *cryosphere*, the regions of snow and ice on high mountains and at the Poles. Ninety per cent of the ice now is in Antarctica; there was twice as much there during the Ice Ages of the 'Pleistocene epoch' ending 10,000 years ago (Chapter 15).

A consequence of a greater rainfall and smaller evaporation in the northern hemisphere, compared with the southern (see Preface), is that, on average, winds must carry moisture northwards across the equator, and oceans flow southwards across it, to maintain the continuity of the hydrologic cycle.

## 6.2 DESCRIBING THE AIR'S HUMIDITY

The quantity of water in a given amount of air can be stated in several ways (**Note 6.B**). The most common are as follows:

### Vapour Pressure (hPa)

This index of atmospheric water is indirect, in terms of the consequent vapour pressure  $e$  (in hectopascal units) discussed in Section 4.2. It is proportional to the water-vapour content of the air. The variation of the *saturation* (i.e. maximum) vapour pressure with temperature was given in Table 4.1, and is illustrated in **Figure 6.3**, using the right-hand vertical scale. However, the vapour pressure of air in the real world is normally less than the saturation value; air's condition is generally represented by a point to the *right* of the curve in Figure 6.3, like G. Bodies of air with the characteristics shown by A, B, C, D and F in Figure 6.3 are *saturated* (or moist), while air indicated by E (to the *left* of the curve) is *super-saturated*.

When the air's temperature increases from  $0^{\circ}\text{C}$  to  $5^{\circ}\text{C}$ , the extra water vapour that can be held is shown by the distance between the horizontal lines through D and C for instance, equivalent to about  $2.5\text{ g/m}^3$  in this case. The

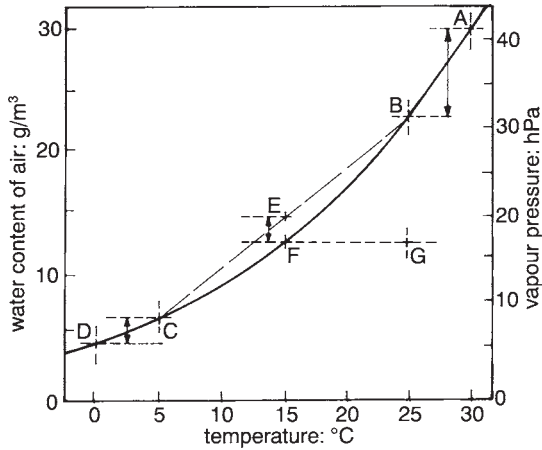


Figure 6.3 The water-vapour content and vapour pressure of saturated air at various temperatures, from Table 4.1. (The values of absolute humidity shown on the left vertical axis apply only at sea-level.)

rapid increase of saturation water-vapour pressure with temperature is known as the Clausius Clapeyron effect. A consequence is that a much larger additional amount BA (i.e. 7 g/m<sup>3</sup>) can be accommodated in heating from 25°C to 30°C. These amounts condense back out of the air as cloud or dew when saturated air is cooled back to the lower temperature. Comparison of what can be held at different temperatures explains why rainfalls are much heavier in warm climates (Chapter 10).

### Dewpoint (°C)

Another measure of atmospheric water is the dewpoint temperature, already mentioned in Section 4.7 and Note 5.C. The term is often shortened to *dewpoint*. It is a point on a temperature scale, not a point in space, being the temperature to which the air must be cooled for the moisture present to represent saturation. Alternatively, it is the temperature of a can of drink from the refrigerator when the dew just disappears from the surface. In other words, it

is the temperature of water with a vapour pressure equal to that of the ambient atmosphere. Air cooled below the dewpoint deposits dew on available surfaces, or else forms cloud droplets (Note 6.B).

An analogy is with water in a sponge, whose capacity represents the maximum amount of moisture that can be held as vapour, at the temperature around. So a full sponge represents saturated air. The more common situation of unsaturated air is like the sponge when only part full. Then cooling of the air resembles squeezing the sponge smaller, since cool air can hold less vapour (Section 4.2). Eventually the sponge's capacity is reduced to the volume of the water within it, i.e. the sponge is small enough to be full. This corresponds to the dewpoint. Further squeezing of the sponge makes excess water leak out, representing dew or cloud (Section 4.7).

Advantages of the dewpoint as a way of describing air's water content include the obvious physical meaning, the ease of measurement (just cool the air until dew forms, and then note the temperature), simple units (i.e. degrees Celsius) and direct comparability with the current air temperature to ascertain nearness to saturation. Another point in favour is that it can be compared with the measured daily-minimum temperature to check them both: it is unlikely that the minimum can be much below the dewpoint, since condensation occurs below dewpoint, releasing heat which prevents further cooling.

A disadvantage is that the dewpoint changes when the air rises to levels of lower pressure, even when no condensation takes place (Note 6.B).

### Frostpoint (°C)

The counterpart of dewpoint is the *frostpoint* when temperatures are below freezing. It is the temperature at which *ice* has the same vapour

pressure as that of water vapour in the air. At that temperature, the rate of water molecules escaping from ice matches the rate of those from the air impacting on the ice surface, i.e. their vapour pressures are equal. The rate is less than in evaporation from *supercooled water* at the same temperature, i.e. from water that remains unfrozen despite being colder than 0°C—as can occur in clouds (Chapters 8 and 9). The reason for the lower rate of escape from ice (i.e. its lower vapour pressure) is that water molecules are bound more strongly within the solid than within the liquid. In fact, the extra binding force is why the solid is more rigid and why latent heat is needed for melting (Section 4.1). It follows that the frostpoint is higher than the dewpoint; ice has to be warmer than water to match the air's vapour pressure. For instance, an atmosphere with a vapour pressure of 5 hPa is in balance with water at -2.7°C and ice at -2.4°C. Similarly, the vapour pressure of water at -10°C is 2.86 hPa, whilst that of ice at the same temperature is only 2.60 hPa. These small differences are important in the 'Bergeron process' within clouds which contain both supercooled droplets and ice crystals together (Chapter 9).

### Relative Humidity (%)

A measure of atmospheric moisture more widely known than either vapour pressure or dewpoint is *relative humidity*, or simply RH. This is the ratio of the air's vapour pressure ( $e$ ) to the saturated vapour pressure at the air's temperature  $e_s$ , expressed as a percentage, i.e. RH equals  $100 e/e_s$  %. In other words, it is the ratio of the water *actually* present in the air to the maximum amount that *could* be present at the air's temperature.

It is a feature of RH that it determines the absorption of moisture by natural fibres, such as hair or timber. Conversely, the absorption of moisture (causing a string to twist, for example)

gives a direct indication of the relative humidity, so it is easy to measure. On the other hand, it is a poor index of the amount of moisture in the atmosphere, because RH depends on the temperature as well as on the moisture. If the temperature varies, so does the RH, even though the air's moisture (shown by the dewpoint, say) hardly alters (**Figure 6.4**).

The connection between RH and natural fibres is sometimes important. An RH above 85 per cent causes deterioration of stored cotton lint, promotes diseases on the leaves of crops (e.g. potato blight) and makes ironwork rust. Such high RH values occur for about 2,300 hours annually in Sydney, but only 1,700 in Adelaide, for instance.

The RH should be within 50–65 per cent (and the temperature range below 10 K) for the storage of documents; there is fungal damage at higher humidities and embrittlement of the paper at lower. Paintings are best stored at 45–55 per cent RH and 18–22°C. Sudden *changes* of humidity are particularly undesirable.

### Saturation Deficit (hPa)

A better index of atmospheric moisture is the *saturation deficit* (D). This is the *difference* between the possible (i.e. the saturation vapour pressure  $e_s$ ) and the actual vapour pressures ( $e_s - e$ ), whereas the relative humidity is the *ratio* of the two. It differs from the term ( $e_s - e$ ) in Dalton's equation (Note 4.E), where  $e_s$  refers to saturation at the *temperature of the water surface*. The saturation deficit D refers to conditions within the air alone, where  $e_s$  is the svp at the *air's temperature*. Fortunately, the two temperatures are often similar in the case of evaporation from an open water surface (Section 5.3). So the deficit can be related to evaporation in climates where it depends more on advection than on radiation (Notes 5.D and 6.B). Also, the deficit is related to crop growth (**Note 6.C**).

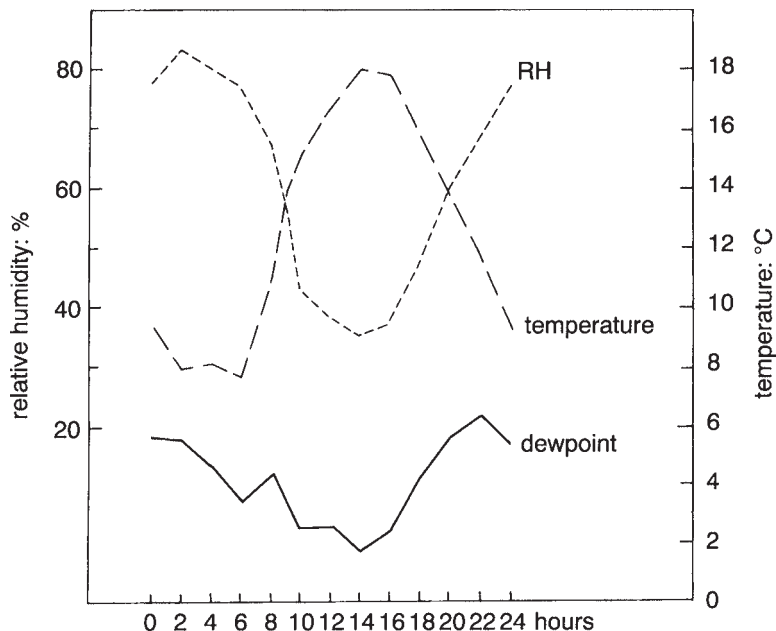


Figure 6.4 Variations during the day of the screen temperature, relative humidity (RH) and dewpoint, averaged over 17–22 September 1974 at Marsfield, Sydney. The large variation in RH is mostly due to the temperature cycle. The small variation in dewpoint is related to the local air flow, especially a sea-breeze in the afternoon.

### Mixing Ratio $r$ (g/kg)

This is the ratio of the mass of water vapour in a unit mass of air (excluding the vapour). Obviously, the ratio is very small, since air consists mostly of nitrogen and oxygen (Table 1.3). So the figure is multiplied by a thousand and expressed in units of grams of water per kilogram of dry air. For instance, air at sea-level at 10°C can hold up to 7.6 g/kg.

Unlike the dewpoint, the mixing ratio has the advantage of remaining unaltered when a parcel of air rises or falls in the atmosphere, so long as there is no evaporation or condensation. Such an unchanging characteristic is easier to consider than those which fluctuate, so the mixing ratio is the preferred measure of humidity in considering cloud (Chapter 8) and rain formation (Chapter 9).

### 6.3 MEASURING THE AIR'S HUMIDITY

The humidity may be measured by a *psychrometer* (Figure 6.5), which comprises two thermometers, one of which has a sleeve of wet fabric around the bulb, cooling the bulb by evaporation. This *wet-bulb thermometer* shows a reading  $T_w$ , lower than that of the *dry-bulb thermometer* which reads the ambient air temperature  $T$ . The difference  $[T - T_w]$  is called the *wet-bulb depression* and is proportional to the rate of cooling of the wet bulb, due to the evaporation from its moist surface. Consideration of the energy balance of the wet bulb (Note 6.D) yields the equation of Henri Regnault (1810–78) for deriving the ambient vapour pressure  $e$ :

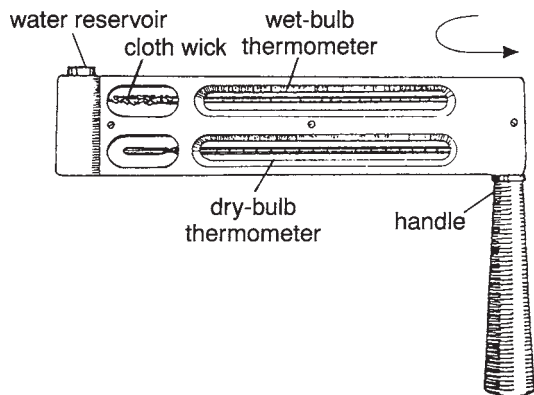


Figure 6.5 A sling psychrometer. Grasping the handle, one swings the thermometers around by a rapid wrist action to ventilate the bulbs through the air. After a minute or two the motion is stopped and readings are taken immediately.

$$e = e_{sw} - 0.67 (T - T_w) \text{ hPa}$$

where  $e_{sw}$  is the saturation vapour pressure (Table 4.1) at the *wet-bulb* temperature  $T_w$ , *not the air temperature*  $T$ .

The equation indicates the practical requirements for reliable measurements. The two thermometers must be matched (showing the same value when both are dry), the wick must be saturated with water at about room temperature, and both thermometers must be adequately ventilated, e.g. by swinging them around (Figure 6.5).

One may obtain the vapour pressure without using Regnault's equation, by inserting the psychrometer readings into a *psychrometric chart* (Figure 6.6). This illustrates that all the moisture variables  $T$ ,  $T_w$ ,  $e$ ,  $RH$ ,  $T_d$  and  $r$  can be determined when any two are known.

## Hygograph

The current RH is shown directly by a *hygrometer*, whilst a *hygograph* records the value on a chart. An example is a *hair*

*hygograph*, which depends on the extension of fibres resulting from their increased absorption of moisture in high humidities; Horace de Saussure (1740–99) found that human hair extends by about 2.5 per cent between extremes of dryness and wetness. But the length changes are not quite proportional to moisture variations, so the RH scale is irregular on the recording paper.

By the way, a *hygrometer* is quite different from a *hydrometer*, which measures the density of liquids and is used to check the battery fluid or radiator fluid in a car, for instance.

There are several sources of error in taking readings with a hygograph. Dust can cause errors of up to 15 per cent, so the hairs should be washed frequently. The hairs should not be touched by hand, in case they become greasy. Even in perfect condition, a hygograph may still have errors of 5 per cent, and yet more when cold or in a very dry region. There is also a lag on sudden changes of humidity; a good hygograph normally adjusts to 90 per cent of any abrupt alteration within about three minutes, but it takes much longer at low temperatures. The instrument should be tapped lightly prior to taking a reading, to overcome friction of the pen on the paper.

## Other Instruments

A different device for measuring humidity is used in radiosonde equipment (Section 1.6). It involves materials which are *hygroscopic* (or water-absorbing), such as lithium chloride, deposited in a thin layer on a slip of glass. Its electrical resistance is lowered when it absorbs water in a damp atmosphere, and this alters the transmitted radio signal, indicating the relative humidity of the air around the balloon up to several kilometres aloft. The water-absorption process is reversible, but sluggish above about 6 km, where temperatures are below  $-20^{\circ}\text{C}$ . So water-vapour concentrations in the upper

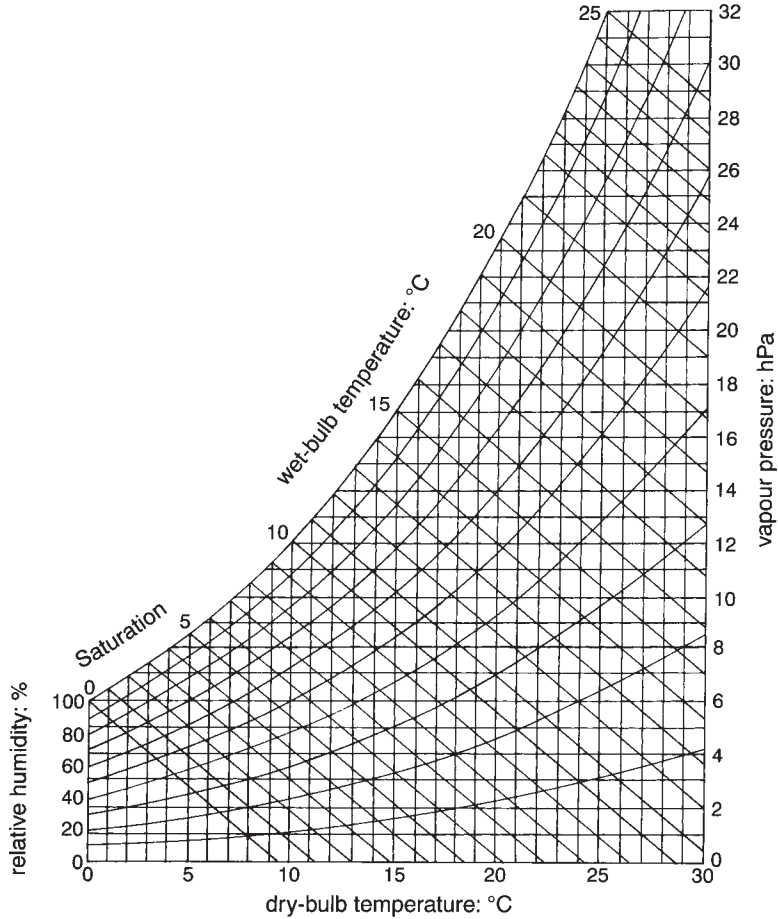


Figure 6.6 A psychrometric chart, to determine humidity variables (plus temperature) from any set of two known variables at a pressure of 1,000 hPa. Temperature is on the horizontal axis, vapour pressure on the vertical. The slanted, curved lines indicate relative humidity, and the diagonal straight lines show wet-bulb temperature.

troposphere are measured nowadays by means of satellites.

*Dewpoint hygrometers* are used for accurate automated measurement of humidity. They determine the temperature of a tiny metal mirror, which is cooled electrically until the reflection of a laser beam is scattered by the formation of dew on the mirror.

An alternative to measuring atmospheric moisture is estimation, which can be done

approximately by means of **Table 6.1**. This was derived empirically from measurements of daily extreme temperatures and dewpoints at many places, on the basis that nocturnal cooling (to the minimum) depends chiefly on the previous daily maximum and on the air's moisture (Section 3.4). The notion can then be turned into the proposition that the air's moisture content is roughly indicated by the daily extremes.

Table 6.1 Approximate estimation of the dewpoint from the daily extreme temperatures, based on monthly mean data from 127 places worldwide; values in italics at the bottom right have been extrapolated

| Daily max.<br>temperature<br>(°C) | Daily minimum temperature (°C) |    |    |    |    |    |    |    |    |    |    |
|-----------------------------------|--------------------------------|----|----|----|----|----|----|----|----|----|----|
|                                   | 6                              | 8  | 10 | 12 | 14 | 16 | 18 | 20 | 22 | 24 | 26 |
| 16                                | -                              | -  | -  | -  | -  | -  | -  | -  | -  | -  | -  |
| 18                                | -                              | 7  | 10 | -  | -  | -  | -  | -  | -  | -  | -  |
| 20                                | 5                              | 7  | 9  | 12 | -  | -  | -  | -  | -  | -  | -  |
| 22                                | -                              | 6  | 9  | 11 | 14 | 16 | -  | -  | -  | -  | -  |
| 24                                | -                              | 5* | 8  | 10 | 13 | 15 | 18 | -  | -  | -  | -  |
| 26                                | -                              | -  | 7  | 9  | 12 | 14 | 17 | 20 | -  | -  | -  |
| 28                                | -                              | -  | 5  | 8  | 11 | 13 | 16 | 19 | 22 | 24 | -  |
| 30                                | -                              | -  | -  | 7  | 9  | 12 | 14 | 18 | 20 | 23 | 26 |
| 32                                | -                              | -  | -  | 5  | 8  | 11 | 13 | 16 | 19 | 22 | 25 |
| 34                                | -                              | -  | -  | -  | 6  | 9  | 12 | 15 | 18 | 21 | 24 |
| 36                                | -                              | -  | -  | -  | 5  | 7  | 10 | 13 | 16 | 19 | 22 |
| 38                                | -                              | -  | -  | -  | -  | 5  | 7  | 10 | 13 | 17 | 20 |
| 40                                | -                              | -  | -  | -  | -  | -  | 5  | 8  | 11 | 14 | 17 |

\* That is, the dewpoint is approximately 5°C when the daily maximum is 24°C and the minimum 8°C

## 6.4 HUMIDITY AT THE SURFACE

Values of vapour pressure at screen height depend on the latitude, proximity to the sea, elevation, the season, time of day and urbanisation. We will consider these in turn.

### Latitude

Increasing latitude is associated with lower vapour pressures (**Figure 6.7**), because of lower temperatures. For instance, the average is only 0.07 hPa at Vostok, at 78°S in Antarctica. Figure 6.7 also shows that air is relatively dry over continents, especially in the winter, when particularly low temperatures reduce the saturation vapour pressure. Australia and the Sahara stand out as arid areas, whereas the Amazon basin is notably humid.

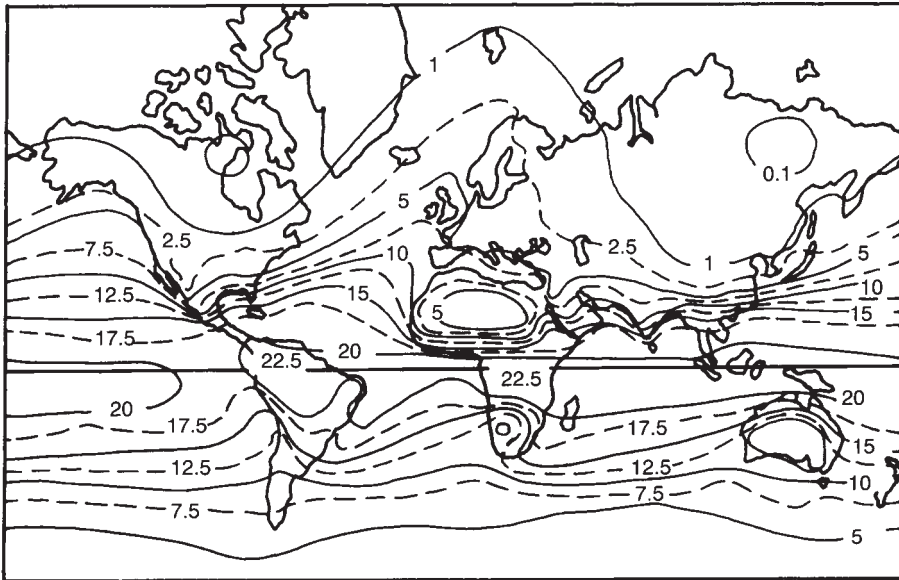
Mixing ratios decrease with latitude similarly (**Table 6.2**), and **Figure 6.8** shows how surface dewpoints too vary regularly with latitude at places in South America.

### Distance from the Ocean

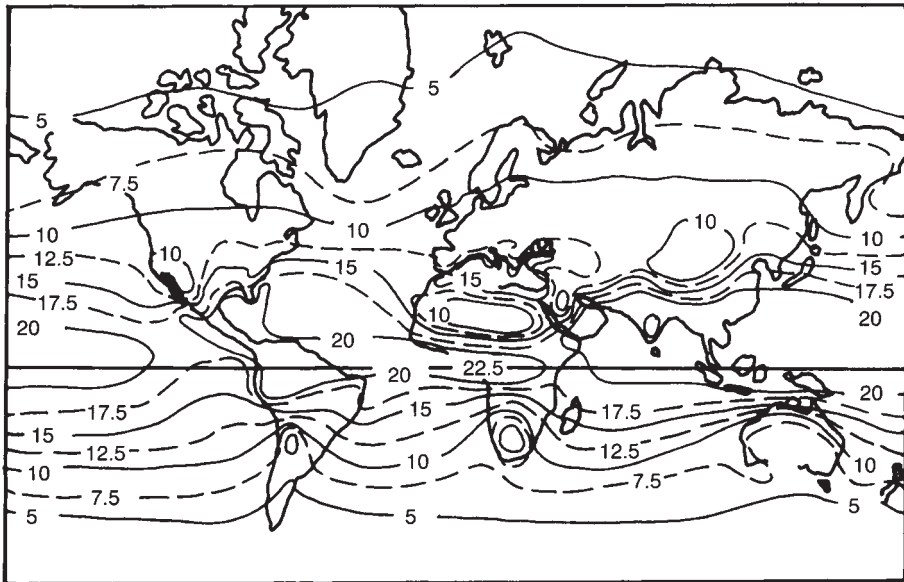
Vapour pressures are increased by evaporation from nearby warm oceans. Values from coastal places in Table 6.2 are notably higher than those from Alice Springs, in central Australia. Particularly low *relative humidities* occur inland; values at 3 p.m. in January east of Carnarvon on the coast of Western Australia are only about 15 per cent, on account of high temperatures and winds from the dry interior. Dewpoints may be only 2°C in winter in the interior of Queensland (**Figure 6.9**) and in Alice Springs (Table 6.2).

### Elevation

Elevation is associated with less atmospheric moisture because of the colder temperatures and greater distance from the sea. Figure 6.8 shows that an increase of elevation by 1 km around 30–40°S in South America has the same effect on dewpoint as an increase of latitude by about



January



July

Figure 6.7 Global distribution of monthly mean vapour pressure (hPa) near the surface in January and July.



Table 6.2 Monthly mean vapour pressure (hPa) measured at 9 a.m. at various places in Australia; all places are coastal, except Alice Springs (546 m elevation), Canberra (570 m) and kiandra (1,395m)

| Place         | Latitude (°S) | January | April | July | October |
|---------------|---------------|---------|-------|------|---------|
| Darwin        | 12            | 31.1    | 27.0  | 17.6 | 27.7    |
| Townsville    | 19            | 26.1    | 22.1  | 14.1 | 19.7    |
| Alice Springs | 24            | 11.9    | 10.1  | 6.5  | 6.8     |
| Perth         | 32            | 14.8    | 13.4  | 10.9 | 11.7    |
| Sydney        | 34            | 18.8    | 15.0  | 9.6  | 13.0    |
| Adelaide      | 35            | 11.9    | 11.3  | 9.4  | 10.1    |
| Canberra      | 35            | 13.1    | 10.3  | 6.6  | 9.7     |
| Kiandra       | 36            | 11.1    | 7.6   | 4.7  | 7.3     |
| Hobart        | 43            | 11.0    | 10.0  | 7.6  | 9.1     |

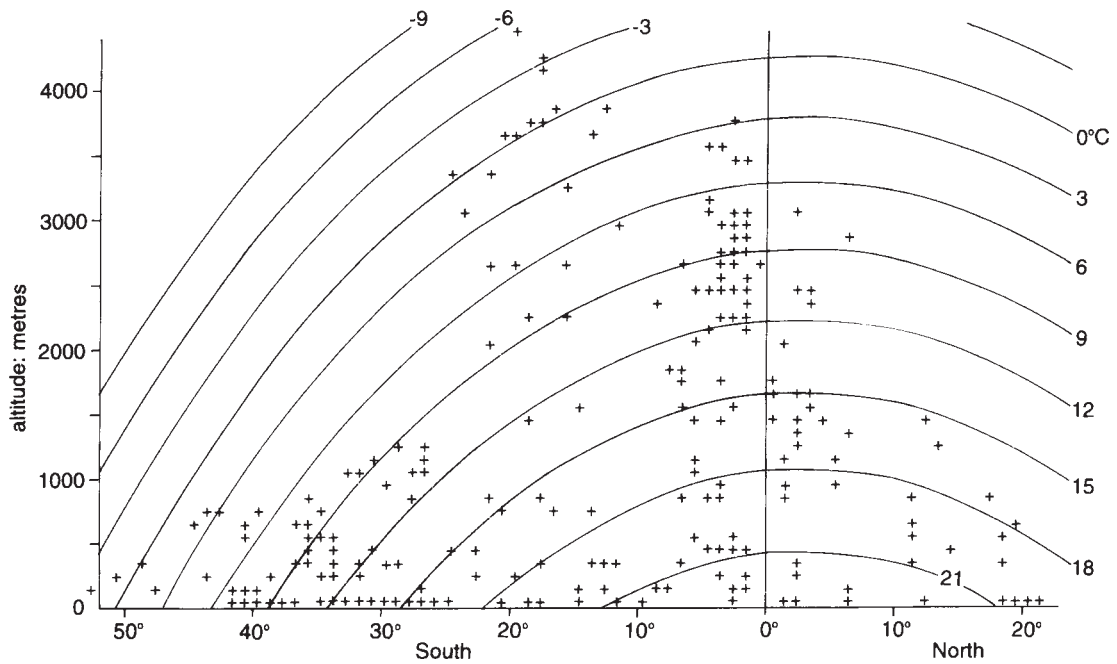
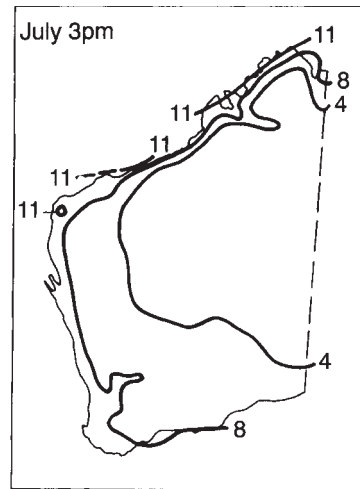
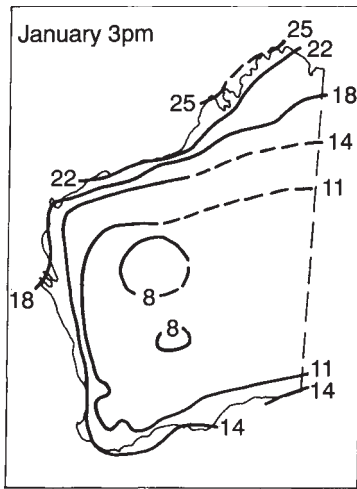
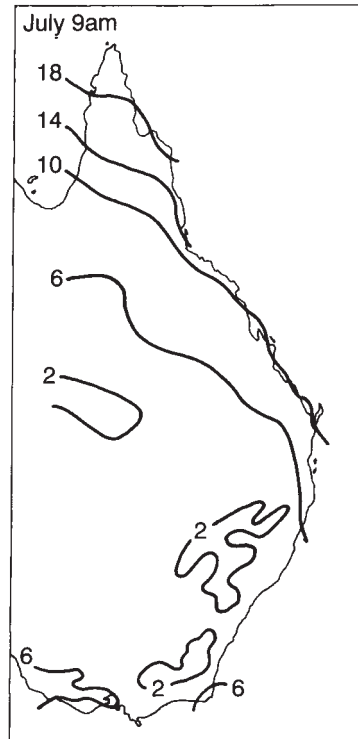
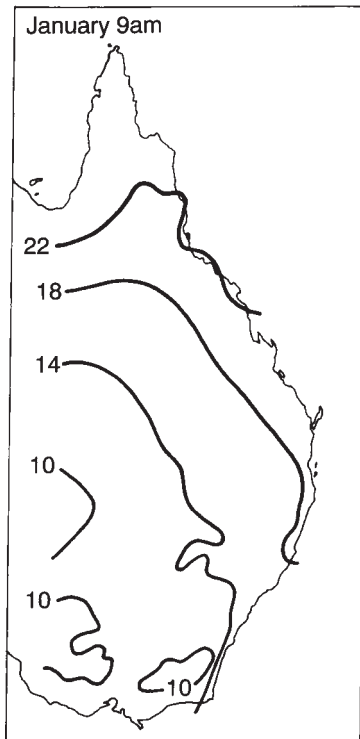


Figure 6.8 The variation of the annual mean dewpoint with latitude and elevation in South America. The crosses indicate surface stations.



(a) dewpoint temperatures: °C



(b) dewpoint temperatures: °C

Figure 6.9 Lines joining places with equal dewpoints (a) in Western Australia, and (b) eastern Australia in summer (left) and winter (right).

8 degrees, i.e. a reduction by about 5 K, though the variation is less nearer the equator.

Values from places at various elevations in Australia also illustrate the fall in vapour pressure. It is only 4.7 hPa (at 9 a.m. in July) at Kiandra (New South Wales) at 1,395 m, and 6.6 hPa on average at Canberra (at 570 m), compared with about 9 hPa in Melbourne and Sydney, beside the sea (Table 6.2). Likewise for the surface mixing-ratio at Pretoria in South Africa (Table 6.3). An analysis of data from places over the whole of Australia shows that the average rate of fall of dewpoint with elevation is between 3 K/km in July and almost 6 K/km in May, which resemble the annual-mean value of 5 K/km from Figure 6.8 for similar latitudes in South America. Figure 6.8 also indicates that an increase of elevation by 1 km around 30–40°S lowers the average dewpoint as much as an increase of latitude by about 8 degrees.

## Season

Surface air generally contains more moisture in summer (Table 6.2, Table 6.3, Figure 6.7 and

Figure 6.9). This is shown more dramatically by changes of the dewpoint temperature or saturation deficit than by figures for the relative humidity (Table 6.4). Dewpoints in eastern Australia are below 2°C in winter along the Dividing Range in south-east Australia, but above 22°C in northern Queensland in summer (Figure 6.9). Mixing ratios in South Africa also demonstrate great changes seasonally, as well as the effects of latitude, elevation and remoteness from the sea (Figure 6.10). Comparison with Figure 6.9 shows a similar decline of humidity with latitude, the equivalent dewpoints in Figure 6.10 ranging from around 27°C to 4°C, like the 25°C to 2°C in Australia. In both continents, the decrease of moisture is less rapid from the east coast, where low-latitude easterly winds (Chapter 12) extend the sea's humidity inland. Particularly dry conditions obtain in winter, because of the air's coldness, especially inland (Chapter 3).

## Daily Variation

Several factors alter the water content of the surface atmosphere during the day. Weather

Table 6.3 Effects of latitude, season and height above sea-level on monthly mean mixing ratios

| Place            | Lat. (°S) | Month | Mixing ratio (g/kg) |         |         |         |
|------------------|-----------|-------|---------------------|---------|---------|---------|
|                  |           |       | Sea level           | 1,500 m | 3,000 m | 5,500 m |
| Lima             | 12        | Jan   | 13.7                | 9.8     | 5.0     | 2.6     |
|                  |           | July  | 10.0                | 3.2     | 2.5     | 1.6     |
| Nandi            | 18        | Jan   | 18.2                | 11.9    | 6.1     | 2.4     |
|                  |           | July  | 13.4                | 8.3     | 3.9     | 1.5     |
| Pretoria*        | 26        | Jan   | –                   | 12.6    | 7.3     | 1.9     |
|                  |           | July  | –                   | 4.7     | 1.6     | 0.3     |
| Invercargill     | 46        | Jan   | 7.5                 | 4.6     | 2.5     | 0.9     |
|                  |           | July  | 4.6                 | 2.7     | 1.2     | 0.4     |
| Argentine Island | 65        | Jan   | 3.3                 | 2.3     | 1.4     | 0.4     |
|                  |           | July  | 1.4                 | 1.3     | 0.7     | 0.2     |
| Halley Bay       | 76        | Jan   | 2.0                 | 1.4     | 0.7     | 0.3     |
|                  |           | July  | 0.8                 | 0.5     | 0.3     | –       |
| South Pole†      | 90        | Jan   | –                   | –       | 0.2     | –       |
|                  |           | July  | –                   | –       | –       | –       |

\* Pretoria is at 1,368 m above sea-level

† The South Pole is at 2,992 m above sea-level

Table 6.4 Monthly variations of the daily mean temperature and 9 a.m. values of the dewpoint, vapour pressure, saturation deficit and relative humidity, at Sydney airport in 1969

| Month     | Temperature (°C) | Dewpoint (°C) | Vapour pressure (hPa) | Saturation deficit (hPa) | Relative humidity (%) | Mixing ratio (g/kg) |
|-----------|------------------|---------------|-----------------------|--------------------------|-----------------------|---------------------|
| January   | 23.7             | 17.2          | 19.6                  | 9.7                      | 67                    | 12.0                |
| February  | 22.3             | 17.2          | 19.6                  | 7.3                      | 73                    | 12.0                |
| March     | 21.7             | 17.2          | 19.6                  | 6.4                      | 75                    | 12.0                |
| April     | 18.7             | 11.7          | 13.7                  | 7.9                      | 63                    | 8.4                 |
| May       | 14.9             | 9.4           | 11.8                  | 5.1                      | 70                    | 7.2                 |
| June      | 12.7             | 7.8           | 10.6                  | 4.1                      | 72                    | 6.5                 |
| July      | 12.2             | 6.7           | 9.8                   | 4.4                      | 69                    | 6.0                 |
| August    | 13.2             | 8.9           | 11.4                  | 3.8                      | 75                    | 6.9                 |
| September | 13.6             | 6.1           | 9.4                   | 6.2                      | 60                    | 5.8                 |
| October   | 16.9             | 11.7          | 13.7                  | 5.5                      | 71                    | 8.3                 |
| November  | 19.7             | 13.3          | 15.3                  | 6.3                      | 71                    | 9.4                 |
| December  | 21.2             | 12.8          | 14.8                  | 10.4                     | 59                    | 9.1                 |

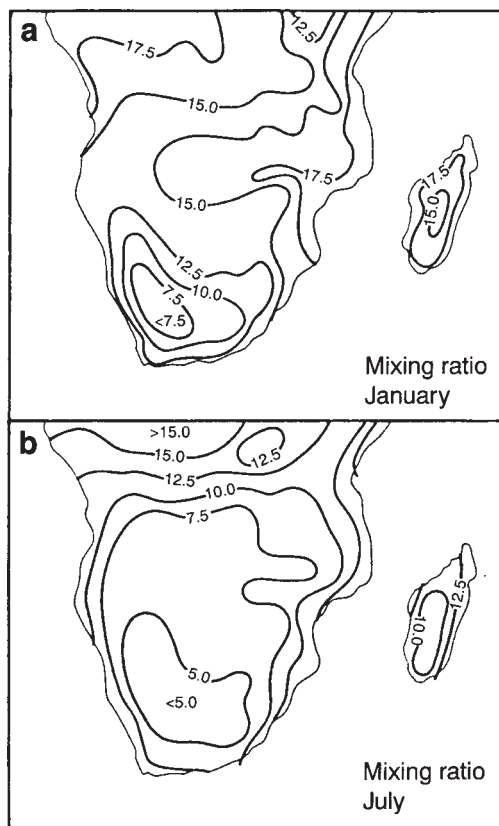


Figure 6.10 Distribution of monthly mean mixing ratio (g/kg) near the surface in January and July in southern Africa.

changes alter the import of moisture in winds, and there are nocturnal dew formation (which subtracts moisture from the surface air), evaporation of the dew in the morning (which raises the air’s humidity again), daytime convection (which stirs dry air from aloft into the surface air), and sea breezes (which bring marine air inland in the afternoon). The outcome is that the dewpoint decreases in summer between 9 a.m. and 3 p.m. anywhere in Australia, but in winter there tends to be an *increase* in coastal south-east Australia.

### Cities

Cities may reduce the surface humidity, because rainfall is drained away and not re-evaporated into the air. Thus measurements at St Louis (Missouri) showed reductions of 1.5 g/kg compared with the suburbs, and the difference was 3.5 g/kg at Mexico City at 2 p.m. in July. This leads to a lower RH, aggravated by urban heating (Section 3.7).

On the other hand, there can be a locally increased humidity within oasis-like cities such as Alice Springs, because of water brought to the city or pumped from underground. The

mixing ratio averages 0.8 g/kg higher in Alice Springs than at Ayers Rock, in similar country but remote from any city. Likewise, it is about 0.3 g/kg higher in the centre of Johannesburg than in the countryside around.

## Effects

The humidity of surface air has an important effect on human comfort, which is considered in the next section. It also governs the drying out of forest kindling and grassland, and therefore controls the possibility of bushfires. A relative humidity maintained below 25 per cent makes timber and grass easy to ignite, whereas bushfires will not spread when the RH has long been above 60 per cent.

## 6.5 HUMIDITY AND HUMAN COMFORT

We have already seen how the surrounding temperature can affect mortality (Note 3.C). It also affects our perception of comfort. People prefer a *neutral temperature* (i.e. neither too cool nor too warm) which depends partly on what they are currently used to. This preferred temperature is typically 21–22°C in air-conditioned buildings in the USA and Australia if the outdoor monthly mean temperature is below 10°C, but 24–25°C when the outdoor mean is above 20°C. The difference is chiefly due to clothing. However, the neutral temperature depends also on external factors such as the wind, sunshine and atmospheric humidity, and personal factors such as the amount of exercise being undertaken. The various components in the human-energy balance are shown in Figure 5.11. Clothing, wind, radiation and humidity control the loss of heat from the skin, and comfort generally arises from maintaining the skin at about 33°C. In that way, the core of the body can be kept close to

the optimal 37°C, even when heavy exercise generates much heat.

The effect of the wind is generally to remove heat from the skin, cooling the body. But heat is transferred *to* the body by the wind when the air is hotter than the skin, worsening conditions. In that case, an energy balance can be maintained only by profuse perspiration (Section 5.5).

The air's humidity affects comfort by influencing the rate of evaporation of sweat, and hence the amount of evaporative cooling of the skin (Note 4.H). In fact, the sweating person is like a wet-bulb thermometer, so that the wet-bulb temperature has been suggested as a criterion of comfort. Unfortunately, that ignores the effects of radiation (Note 5.E), exercise (**Table 6.5**) and clothing (**Table 6.6**).

Many indices of climatic comfort have been proposed to allow for those other factors, apart from the wet-bulb temperature and the Relative Strain Index (Note 4.H). One of these involves a *weather-stress index*, discussed in **Note 6.E**, and another is a *thermal sensation scale* described in **Note 6.F**. More recently, extensive experimentation has led to the general adoption of the *standard effective temperature* (SET), as the basic index of comfort (**Note 6.G**). This is the temperature of air at 50 per cent RH (when the wind is 0.5 km/h and radiation *to* the body is equalled by that *from* it) which yields the same skin temperature and fraction of the skin which is wet as occurs in reality, in the *actual* temperature, humidity, radiation, activity and clothing conditions. It is assumed that the person is seated, with the corresponding metabolic rate (Table 6.5), and normal clothing (Table 6.6). This index of comfort is theoretically superior, but it depends on so many factors and is so complicated to derive that a simplified version is often used instead. This is the *new Effective Temperature* (ET\*), the temperature of air at 50 per cent RH that creates the same heat loss from the body, assuming that standard radiation, wind, clothing and exercise conditions prevail. In other

Table 6.5 Typical metabolic rates of adults ( $W/m^2$ ); a rate of  $60 W/m^2$  is considered standard but this varies widely with the individual

|                        |         |                       |         |
|------------------------|---------|-----------------------|---------|
| Sleeping               | 40      | Lifting, packing      | 120     |
| Sitting                | 60      | Sawing                | 105–235 |
| Relaxed standing       | 70      | Handling 50 kg bags   | 235     |
| Walking on level       |         | Pick-and-shovel work  | 235–280 |
| at 3.2 km/h            | 115     | Dancing               | 140–255 |
| at 6.4 km/h            | 220     | Tennis                | 210–270 |
| Running at over 8 km/h | 290     | Basketball            | 290–440 |
| Driving car            | 60–115  | Competitive wrestling | 410–505 |
| House cleaning         | 115–200 |                       |         |

Table 6.6 Components of the total clothing insulation of a person, in clo units (a clo equals  $0.155 m^2.K/W$ ). For instance, someone wearing only underpants, T-shirt and shorts would have insulation/ equal to  $0.18$  clo (i.e.  $0.04+0.08+0.06$ ); total insulation of  $0.6$  clo is reckoned as standard

|                           |      |                              |      |
|---------------------------|------|------------------------------|------|
| Hat                       | 0.06 | Thin skirt                   | 0.14 |
| Underpants                | 0.04 | Thick skirt                  | 0.23 |
| Thick long socks          | 0.06 | Thin short-sleeve dress      | 0.29 |
| Boots                     | 0.10 | Thick long-sleeve dress      | 0.47 |
| T-shirt                   | 0.08 | Thin long-sleeve sweater     | 0.25 |
| Long-sleeve thin shirt    | 0.25 | Thick long-sleeve sweater    | 0.36 |
| Long-sleeve flannel shirt | 0.34 | Thin single-breasted jacket  | 0.36 |
| Shorts                    | 0.06 | Thick double-breasted jacket | 0.48 |
| Thick trousers            | 0.24 | Thick overcoat               | 0.73 |
| Overalls                  | 0.30 |                              |      |

words,  $ET^*$  takes only temperature and humidity into account (**Figure 6.11**). The effect of humidity is trivial when  $ET^*$  is below  $23.6^\circ C$ , but it becomes important at high temperatures. A drop of relative humidity by 10 per cent at  $40^\circ C$  improves conditions by lowering  $ET^*$  by about 2 K.

An instance of humidity affecting human comfort is the annual ‘Build-up’ in Australia’s Top End (i.e. northern part), in October and November, just prior to the onset of the monsoonal Wet in December to March (Chapter 12). Daily maxima remain about  $33^\circ C$ , but there is a gradual increase of afternoon dewpoint during the Build-up from about  $19^\circ C$  to  $27^\circ C$ , so the RH increases from 38 per cent to 69 per

cent, and  $ET^*$  rises from  $32^\circ C$  to an uncomfortable  $35^\circ C$  (Figure 6.11).

An indoor environment can be made more comfortable in climates as hot and dry as those of inland Australia by means of an *evaporative cooler*, discussed in **Note 6.H**. These are much cheaper than air conditioners, but need water.

## 6.6 HUMIDITY ALOFT

The water content of the atmosphere decreases greatly as one ascends in the free air into cooler layers (**Figure 6.12**). Most of the air’s water is within 3 km of the ground. Mixing ratios at 1,500 m, 3,000 m and 5,500 m are typically only two-

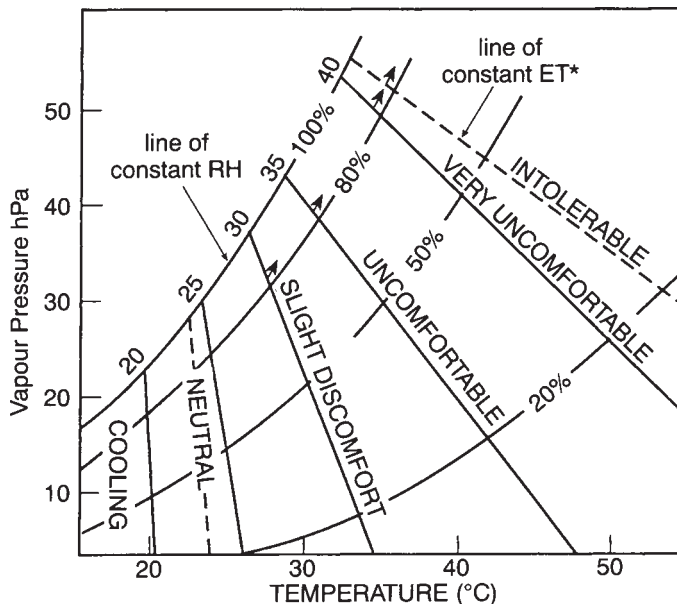


Figure 6.11 The variation of comfort in terms of the new Effective Temperature ( $ET^*$ ). The curved diagonal lines are relative humidity isopleths, just as on a psychrometric chart (Figure 6.6). The straight diagonal lines fanning out from the 100 per cent RH line are  $ET^*$  isopleths with the value shown on top. Various human comfort zones are shown also. For instance, there is neutral comfort when  $ET^*$  is  $24^\circ\text{C}$ , and conditions are intolerable when  $ET^*$  exceeds  $41^\circ\text{C}$ .

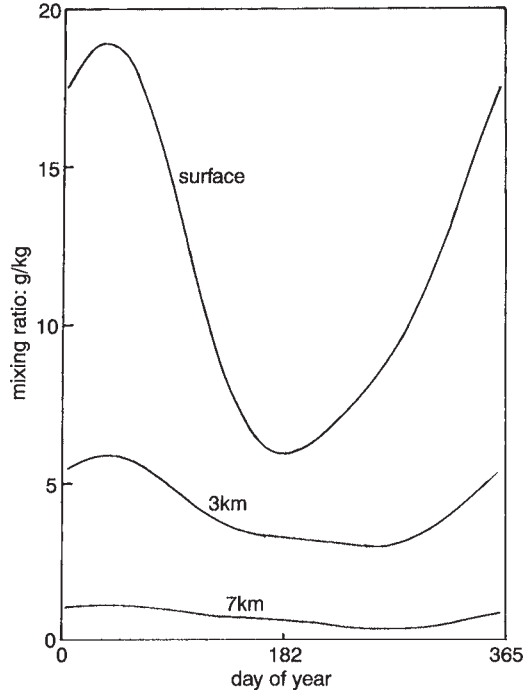
thirds, one-third, and one-eighth, respectively, of the value at sea-level (Table 6.3). This implies that cloud and rain form chiefly in the lowest layers of the troposphere (Chapters 8 and 9).

The relative humidity of subsiding air becomes low for two reasons. Firstly, it starts from levels where the vapour pressure is less, as just mentioned, and, secondly, the air is warmed by compression as it comes down (Chapter 7).

The pattern of the vertical distribution of moisture (i.e. the *humidity profile*) can be shown most conveniently by a special graph of the dewpoint or the mixing ratio, called an *aerological diagram*. This includes a temperature profile also. There are several versions, but the one used in Australia is the *skew T—log p diagram* (Note 6.1).

It is possible to total the amount in the column of air above a unit area of ground from a knowledge of the moisture content of the air at various levels, shown by an aerological diagram. The sum is the *precipitable water* (Note 6.B). This is all the atmospheric water vapour, omitting any moisture in the form of cloud droplets or ice crystals. It amounts to about 97 per cent of the atmospheric water mentioned in Figure 6.2. It is the depth of liquid water on the ground that would result from precipitating all the vapour in the air column.

It varies with latitude, as shown by **Figure 6.13**. There is around 38 mm at the equator, 27 mm at  $20^\circ\text{S}$ , 16 mm at  $40^\circ\text{S}$ , 8 mm at  $60^\circ\text{S}$ , and 2 mm at  $80^\circ\text{S}$ . The pattern is more like that of temperature (Figure 3.4) than of evaporation (Figure 4.11).



It also depends on the season, being most in summer, e.g. the average at 30°S is 27 mm in January, but only 18 mm in July. The mean precipitable amount for the whole southern hemisphere is 26 mm in January and 20 mm in July. That seasonal variation is smaller than the range from 19 to 34 mm in the northern hemisphere. The reason is that the north has a greater land area and therefore experiences a wider annual range of temperature (Section 3.3). Higher temperatures in summer lead to more precipitable water, and this increase of water vapour leads to higher temperatures, as water vapour is a greenhouse gas (Section 2.7). So the effect is amplified by a 'positive feedback process', of the kind discussed in the next chapter.

Figure 6.12 Variation of the mixing ratio at Port Hedland (Western Australia) with height and day of the year.

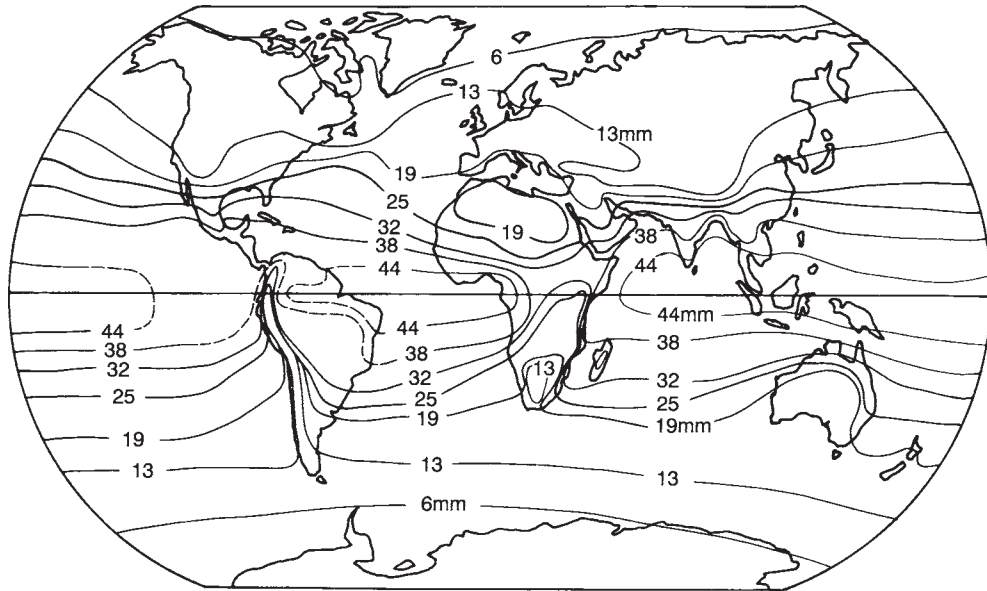
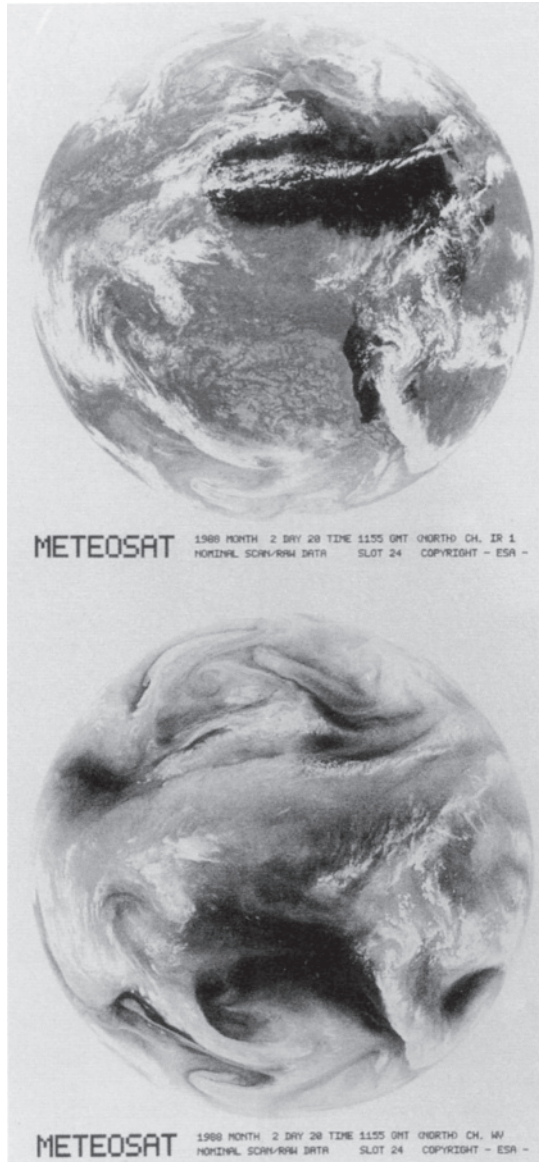


Figure 6.13 Annual mean pattern of precipitable water in units of a millimetre.





*Plate 6.1* Moisture in the atmosphere (especially in the upper troposphere) can be detected by means of satellite photographs such as these. They came from the geostationary satellite *Meteosat* over the equator near Africa, at 1155 GMT in February 1988. The upper image shows radiation in the infra-red band, i.e. indicating the temperatures of the radiating surfaces, with the cold tops of high clouds being whitest and the warm land of Africa black. Notice the band of cloud at the ITCZ over the Indian ocean at about 20°S. Also, there was intense convection over much of southern Africa, except on the west where skies are shown clear as a result of the anti-cyclone over the South Atlantic ocean. Heavy cloud obscures Brazil, far to the west.

The lower image was obtained at the same time for the same area, but shows the amount of water vapour in the atmosphere, in terms of radiation of other wavelengths. Whiteness here means moisture. There is a clear resemblance to the upper image, with dry (i.e. subsiding) air over the South Atlantic ocean, but there is a more clearly defined trough line.

## NOTES

- 6.A Aspects of the hydrologic cycle
- 6.B Alternative ways of stating the humidity
- 6.C Saturation deficit and crop growth air's
- 6.D Psychrometer measurements

- 6.E The weather-stress index (WSI)
- 6.F A thermal sensation scale
- 6.G The Standard Effective Temperature
- 6.H Evaporative coolers
- 6.I The skew  $T$ — $\log p$  diagram, part 1

# ATMOSPHERIC INSTABILITY

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## 7.1 INSTABILITY AND FEEDBACK

In the previous chapter we considered the humidity of air near the surface, and in the next we shall deal with cloud formation, often at levels far above the ground. The connection between the two is usually the uplift of low-level air. In the present chapter we will examine how the uplift results from features of the temperature profile (Section 1.6) and the humidity profile (Section 6.6).

There are several ways of creating uplift:

- (a) wind may be forced to rise by hills (*orographic uplift*),
- (b) or there is a wedging action of heavier, cooler air sliding under a warm, moist air mass (*frontal uplift*, discussed in Chapter 13),
- (c) converging low-level winds squeeze air upwards,
- (d) diverging upper-level winds suck air from below, or
- (e) turbulence within strong winds over rough terrain stirs some air down and some up.

In all those cases, the uplift is forced. Alternatively, there may be uplift caused by thermal convection

due to the spontaneous buoyancy of warmed air; it is this process in particular which is considered in the present chapter. More than one process may operate in practice. For instance, a cold front (Chapter 13) might initiate uplift, which is then continued by convection.

## Stability and Instability

The tendency towards thermal convection depends on the vertical profile of temperatures and the consequent *vertical static instability* of the layer. To understand this, it is helpful first to consider the concept of ‘*instability*’ in general.

A situation is said to be ‘unstable’ if a small disturbance automatically becomes amplified. The traditional example is a marble on an upturned saucer: a slight displacement of the marble to one side leads to an accelerating movement in the same direction. Conversely, a ‘stable’ situation resembles a marble on a saucer placed normally, where a slight temporary displacement, or even a large one, is followed by the marble rolling *back* to its initial position, the *status quo* is restored. So the saucer’s shape *aggravates* any

disturbance in the unstable case, whereas the shape *binders* change in the stable case. Between the stable case and the unstable, there is the situation of *neutral stability*. An example is a marble on a level table, where a disturbance leads to displacement but then there is neither acceleration onwards nor restoration to the original position.

The atmosphere is stable in the first five types of uplift mentioned above and therefore resists uplift. But it is statically unstable in the case of uplift due to thermal convection.

Other examples of stable, unstable or neutral conditions are found in nature, in human society, in the oceans (Chapter 11)—in fact, everywhere. Some cases of *instability* have been mentioned already. For instance, there is the effect of any extra melting at the edge of Arctic ice, which reduces the albedo there (Table 2.3), causing greater absorption of solar energy and hence an increase of local heating and therefore even more melting. Hence, melting leads to *more* melting. Or there is the case of global warming, leading to increased oceanic evaporation (Section 4.3), hence more rainfall, more vegetation and therefore a reduction of the albedo of land surfaces, greater absorption of sunshine and consequently *more* warming. Other cases are considered in **Note 7.A** and in Section 7.4. In every instance, there is a circular chain of events, with each cycle *augmenting* the earlier change.

*Stable* situations have been mentioned previously too, where the tendency is towards restoring the original state. The Urey effect (Section 1.2) concerns the way in which ultraviolet light forms oxygen from water, but then the oxygen that is created itself obscures the UV and thus inhibits further formation of the gas. Secondly, any global cooling would increase the wavelength of the ground's longwave radiation (Note 2.B), which would therefore pass less readily through the atmospheric window (Note 2.H), so that the world would warm up again. Stable situations lead to *curtailment* of the initial fluctuation, not reinforcement.

## Feedback

Ideas of stability and instability are linked to the notion of *feedback* (Note 7.A). Stability arises from *negative feedback*, where an initial impulse results in an opposite effect, offsetting the original perturbation. In contrast, instability is the result of *positive feedback*, where the effect aggravates the impulse. In general, instability leads to change, which persists until some negative-feedback process becomes dominant.

Feedback processes occur throughout the natural world. Notably, the enhanced greenhouse effect (Note 2.L) becomes more serious if it is indeed dominated by positive feedbacks in the atmosphere, exaggerating any fluctuation of global temperature. Also, the interaction of positive and negative feedbacks produces the ceaseless changes of atmospheric conditions we call weather, notwithstanding the steady input of solar radiation. Our incomplete understanding of all the feedbacks involved, and their interactions, partly accounts for the uncertainties in weather forecasting and climate-change predictions (Chapter 15).

The feedback processes most relevant to weather forecasting are those acting rapidly, especially processes connected with two particular kinds of instability (i) (vertical) *static instability* and (ii) *baroclinic instability*. We will discuss the first of these in the present chapter, and the second in Chapter 13. In general, static instability depends on the temperature and humidity profiles, and is important at low latitudes. It governs the occurrence of thunderstorms. On the other hand, baroclinic (or dynamic) instability depends on the wind profile, and concerns the development of frontal disturbances which determine the weather in mid-latitudes (Chapter 13).

## 7.2 LAPSE RATES

A *lapse rate* is the change of temperature with unit rise of elevation, and the rate at any

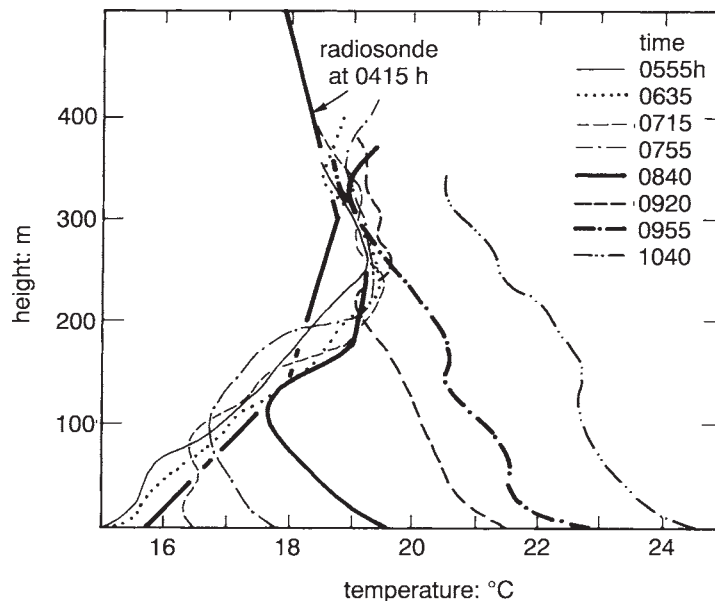
particular level is given by the *temperature profile* (or ‘sounding’), measured by radiosonde (Section 1.6). Typical profiles near the ground in the valley of the Parramatta River near Sydney are shown in **Figure 7.1**. At about 8.40 a.m., for instance, there is a *fall* of temperature with increased elevation within the lowest 100 metres (i.e. a *positive* lapse rate), then an inversion layer up to about 180 metres, surmounted by a roughly *isothermal* layer, i.e. one of equal temperature. The slope of the measured profile at any level in Figure 7.1 is the *environmental lapse rate* (ELR) of temperature. A corresponding *dewpoint lapse rate* (DLR) at that level can be derived from the measured profile of dewpoint temperatures (Note 6.I).

We use the ELR value for comparison with two theoretical lapse rates, in order to assess the stability of an atmospheric layer. The first of these is the *dry adiabatic lapse rate* (DALR), the rate of cooling of a parcel of air lifted

*adiabatically*, i.e. fast enough for there to be no time for heat to flow in or out of the parcel. (By a ‘*parcel*’ of air, we mean an amount like that inside a limp balloon, it being assumed that no air enters or leaves the parcel.) The DALR depends solely on the physical properties of air, as it expands on rising to levels of lower pressure. It is nearly 10 K/km (**Note 7.B**). This figure is used in designing conditions inside large aircraft.

The DALR allows one to calculate the *potential temperature* of air at any elevation  $z$  (km). This is the temperature dry air would have if it were lowered adiabatically to the level at which the atmospheric pressure is 1,000 hPa, i.e. to about sea-level. The potential temperature is calculated by adding  $10z$  degrees to the air temperature measured at the height  $z$ .

It can be inferred that the DALR line joins points representing the same potential temperature, and the potential temperature of dry air does not change when it rises or falls



*Figure 7.1* Typical temperature profiles measured during a clear morning in the Parramatta River valley, just upwind of Sydney.

adiabatically. In other words, this property of air is *conservative*, i.e. it is one of those unchanging features which simplify what otherwise is a complicated subject. Other such features include the mixing ratio (Section 6.2), provided there is no evaporation or condensation. The potential temperature is a measure of the inherent heat content of dry air, with the height factor removed.

The following example illustrates how we use the concept of potential temperature. Imagine dry air at a temperature of 10°C at 1 km height on the upwind side of a mountain range, and air with a temperature of 20°C at sea-level in the lee. One might think that the air on the windward side is becoming colder, so that people at the coast should brace themselves for chilly weather. But both air masses have the same potential temperature (20°C), so the difference of temperature is not due to a change of weather, but simply to the different elevations.

An even more conservative property is the *equivalent potential temperature*, which is the equivalent temperature (Section 4.3) of air brought down to sea level adiabatically (Note 7.C).

### Saturated Air

So far we have assumed that the rising parcel does not become saturated as it cools. If it does become saturated, then any further adiabatic cooling is partly offset by the resulting condensation, which releases latent heat (Note 7.C). The consequent lapse rate of the *saturated* parcel is referred to as the *saturated adiabatic lapse rate* (SALR). This is the second of the two theoretical lapse rates mentioned above for comparison with the measured lapse rate, the ELR.

Clearly, offsetting some of the cooling makes the SALR *less* than the dry adiabatic lapse rate DALR. The SALR also differs from the DALR in being variable, not fixed, since the amount of latent heat released depends on how much condensation occurs, which in turn is governed

by the air's temperature. In practice, values are usually around 6 K/km, which is not far from the lapse rate of the standard atmosphere (Section 1.6), though the SALR is less in warm climates than in cold (Note 7.C). In view of the importance of the DALR and the SALR, they are both represented by appropriate lines on aerological diagrams (Note 7.D).

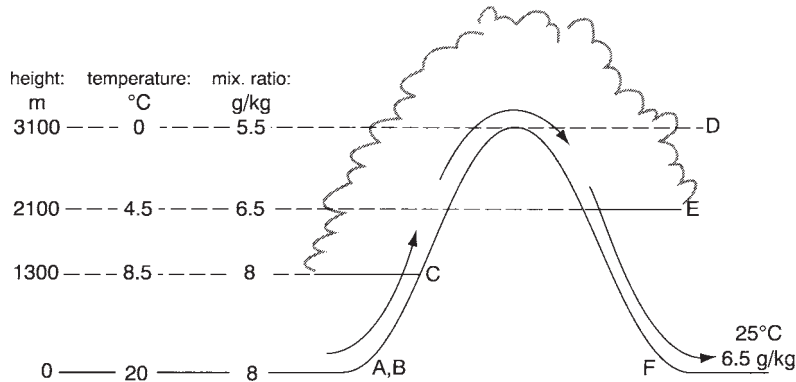
The difference between the DALR and SALR can be used to explain the *foehn effect* (Note 7.E). (The German word is pronounced 'fern', and comes from the Latin name of a wind in Rome coming from the warm south.) The effect is the drying and warming observed downwind of mountains, after moist air has been lifted by the mountains to the extent that temperatures fall to dewpoint, cloud forms and there is precipitation (Figure 7.2). The downwind air is relatively dry because rain has been removed from it, and it is warmer because of the latent heat from the condensation of the water which became the rain. The resulting warm dry wind is known as a 'foehn wind' in the European alps, a 'chinook' (i.e. 'snow-eater') east of the Rockies in North America, and a 'zonda' downwind of the Andes, in Argentina. They have also been observed near the Ballany Islands at 67°S in Antarctica. The foehn effect partly explains why temperatures at Bega (on the New South Wales south coast) can be 10 K higher in winter than upwind at Albury, on the other side of the Dividing Range.

## 7.3 INSTABILITY OF THE ATMOSPHERE

Having considered various lapse rates, we can now examine how these affect the vertical static instability.

### Dry Air

Figure 7.3 shows two hypothetical conditions of a dry atmosphere. On the left, the lapse rate



*Figure 7.2* Illustration of the foehn effect. There is cooling at  $10\text{K/km}$  until cloud base is reached, then cooling at the SALR within the cloud. Once the mountain is surmounted, the descending air first warms at the SALR, using the heat to evaporate cloud droplets. Cloud base is reached when all the droplets have gone, and thereafter there is warming at the DALR. It is assumed that rain falls from the cloud on the windward side of the mountain, so that the cloud on the lee side has less moisture absorbing heat in evaporation than was condensed on the windward side, liberating latent heat. So less heat is absorbed than was previously released. As a result, there is warmer, drier air downwind (Note 7.E).

is  $6\text{ K}$  (i.e.  $20-14$ ) in  $900\text{ metres}$  (i.e.  $6.7\text{ K/m}$ ), whereas it is  $13.6\text{ K/km}$  on the right, twice as much. In each case, a parcel of air is shown lifted by  $300\text{ m}$  by some means, and the question is, what happens next? In both cases, the parcel has cooled by the dry adiabatic lapse rate of  $10\text{ K/km}$ , so that it now has a temperature of  $17^\circ\text{C}$ . The parcel on the left finds itself amongst air at  $18^\circ\text{C}$ , which is warmer and therefore lighter. As a result, the relatively heavy parcel sinks back to where it was before the temporary nudge upwards. So the situation is stable. However, the uplifted air on the right finds itself within air at only  $16^\circ\text{C}$  (i.e.  $20 - 0.3 \times 13 - 3$ ), which is cooler than the parcel, i.e. the parcel is less dense. So the lifted parcel on the right now has positive buoyancy and rises spontaneously, without further nudging. When it has risen another  $300\text{ m}$ , the parcel has become  $2\text{ K}$  warmer than the surroundings (i.e.  $[17-3]-12$ ), so that the buoyancy has actually increased, and ascent consequently accelerates; the initial perturbation has become runaway ascent. This is instability, as described earlier.

The difference between local static stability and instability is seen to be due simply to *different environmental lapse rates*. The ELR is less than the DALR (or *sub-adiabatic*, i.e. less than  $10\text{ K/km}$ ) in a *stable* environment, shown on the left in Figure 7.3. Or, put differently, the line representing the ELR of a stable atmosphere is oriented *clockwise* of the DALR line. In some conditions the ELR line is so far clockwise that it implies that the temperature actually increases with height, i.e. there is an inversion. Clearly, inversions are extremely stable.

As regards instability, we have deduced that conditions are locally *unstable when the ELR exceeds the DALR*, as in the part ab in **Figure 7.4**, where the ELR line is seen to be relatively anticlockwise, approaching the horizontal. Such a lapse rate is known as *super-adiabatic*. It is uncommon (except close to the ground when it is heated by sunshine), because the resulting instability leads to a vertical circulation which carries hot air aloft and brings cool air down, automatically changing the temperature profile

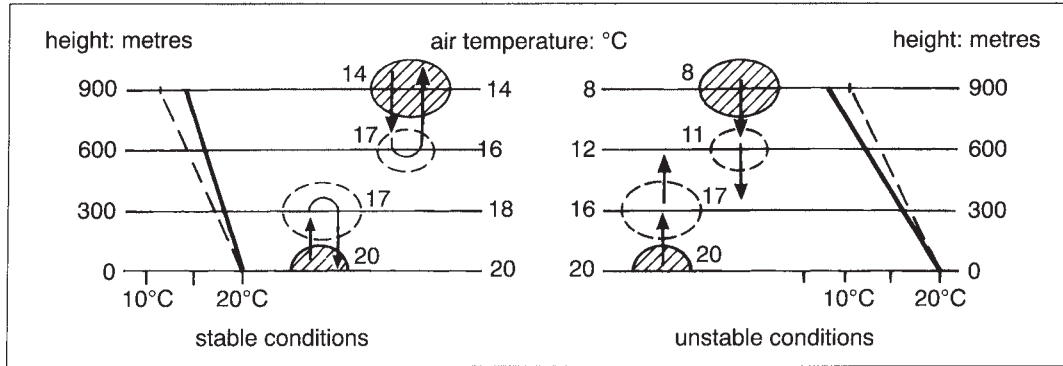


Figure 7.3 An illustration of how static stability arises. The vertical axis is height, the horizontal is temperature and the dashed slanted lines represent the DALR (10K/km). The bold sloping lines are different hypothetical soundings, determining the ELR. On the left, the ELR is more vertical than the DALR, since the measured temperature change is only 6K (i.e. 20-14) in 900 m. On the right, the ELR is more horizontal, representing 12K in 900 m. In both cases, two parcels of air are shown (shaded), one on top and one at the bottom of the layer. These parcels are disturbed to the positions of the unshaded ellipses, and their new temperatures are shown, along with their further displacements, according to the difference between each parcel's temperature and that of its new environment.

towards that of neutrality, where the ELR equals the DALR.

Another way of expressing the condition for instability is in terms of the potential temperature. A layer of the atmosphere is locally *unstable* if there is a *decrease* of potential temperature with elevation. Again, this may be deduced from Figure 7.3; the potential temperature at 600 m is 18°C (i.e.  $12 + 10 \times 0.6$ ) at 600 m on the unstable right, compared with 20°C at sea-level (i.e. there is a *positive* lapse rate of potential temperature), whereas the potential temperature *rises* to 22°C (i.e.  $16 + 10 \times 0.6$ ) on the (stable) left.

### Moist Air

Now we turn to the case of the *saturated* air within a cloud. Here the test of stability or instability is a comparison of slopes of the observed temperature profile with the SALR, not the DALR. Both are included in **Figure 7.5**,

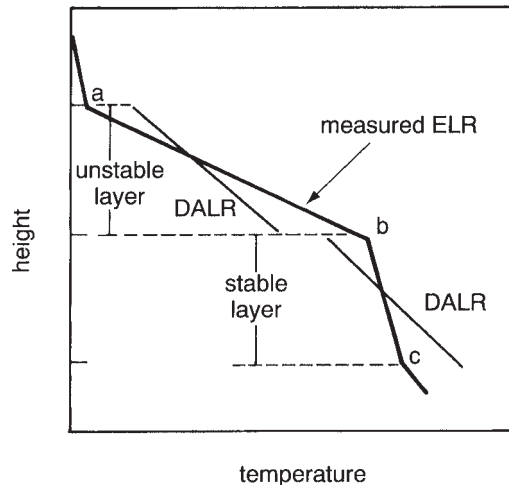


Figure 7.4 A comparison of the dry adiabatic lapse rate (DALR) with the environmental lapse rate (ELR) in each of two layers, showing, for example, that atmospheric local static stability corresponds to an ELR (in section be) more clockwise than the DALR.

where the SALR is different for hot and cold climates, respectively (Section 7.2). The lines for both extremes of SALR are more vertical than the line for the DALR.

Figure 7.5 also shows a pair of lapse rates labelled '*conditionally unstable*'; this needs explaining. They are environmental lapse rates of a layer which is either stable, if the air is unsaturated (i.e. the line is more vertical than the DALR line), or unstable if there is saturation. In other words, instability depends on the *condition* of the atmosphere, whether or not it is saturated, when the ELR is like those indicated by the lines labelled '*conditionally unstable*'. The lapse rate for a conditionally unstable layer lies between the DALR and the SALR. The lower of the two labelled lines in Figure 7.5 implies conditional instability at all latitudes, whilst conditional instability applies only near the equator for the upper one. Therefore, conditional instability is more likely at low latitudes. To distinguish conditional instability from instability in dry air, the latter is sometimes called *absolute instability*.

## Non-local Instability

So far we have assumed constant values of the environmental lapse rate ELR (i.e. straight lines in Figs 7.3 and 7.5), to be compared with the SALR or DALR, according to whether the air is saturated or not. Such a comparison indicates the *local static stability*. However, the ELR is rarely constant in practice, so measurements do not yield a straight line but a curving line as in Figure 7.1 and **Figure 7.6**. The practical consequence of this is that the occurrence of convection does not depend on the local static instability of individual layers of the atmosphere, but on the entire profile over an appreciable depth. This can be shown by considering Figure 7.6, taking various layers in turn (**Note 7.F**). Such a consideration reveals that a parcel of air at the level of J and K in Figure 7.6 will rise as far as the *level of neutral buoyancy* (LNB) or 'cloud top', despite some static *stability*. Static stability of the layer between J K and the LNB would be inferred from the difference between the slopes of the ELR and SALR lines up from J and K, respectively. The reason for the actual

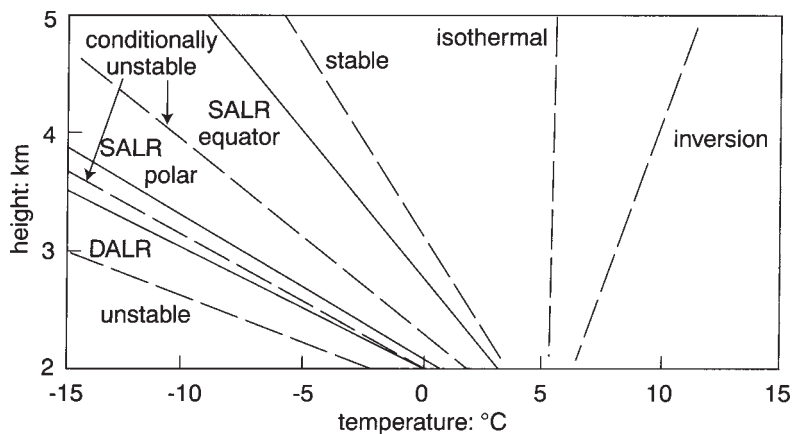


Figure 7.5 Temperature profiles of atmospheres with various degrees of moisture and static stability. The three bold lines show the DALR and two SALRs.



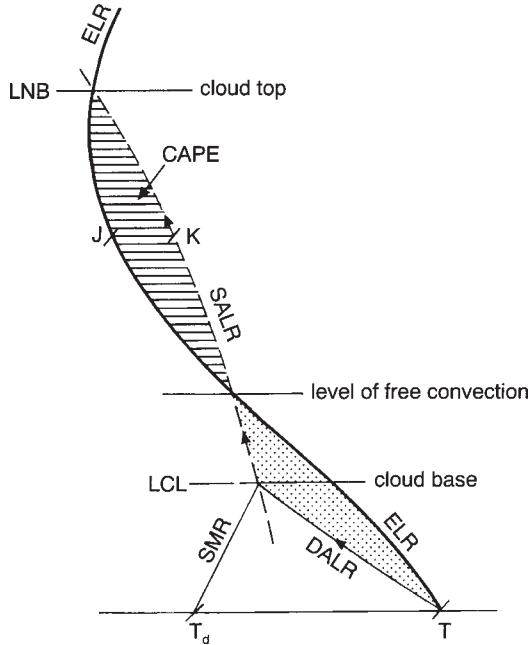


Figure 7.6 The track of an air parcel lifted from the surface, plotted on a skew  $T$ – $\log p$  diagram. The bold line is a hypothetical sounding (ELR). The dewpoint sounding is not shown, though the dewpoint ( $T_d$ ) at the surface is plotted. SMR is a saturation-mixing-ratio line, CAPE is the ‘convective available potential energy’, LCL is the lifting condensation level, and LNB is the level of neutral buoyancy.

*instability* is that an air parcel at what is labelled the *level of free convection* rises spontaneously along the SALR curve all the way to the LNB, because the parcel (at temperatures along the SALR curve) is always warmer than the environment, shown by the ELR curve.

As temperature comparison (rather than relative slope) is really the basis of assessing overall atmospheric instability, we use this in describing the instability of the part that is chiefly the arena of weather, from the ground to about 5 km elevation (Note 7.G).

## 7.4 EXAMPLES OF INSTABILITY

There are several ways in which a layer becomes unstable (Note 7.H). They are described in the following:

### Uplift

The instability of a deep layer of air is automatically enhanced when the layer as a whole is raised by hills or a front, for instance. This is because the layer expands in thickness as it moves to lower pressures, and so the top of the layer rises more than the base. Therefore the top cools more, which implies destabilisation of the layer.

An additional factor arises if the layer is dry but *conditionally* unstable, because cooling by uplift will make it saturated and therefore actually unstable. Destabilisation by uplift is further enhanced if the top part of the layer is dry whilst the bottom is saturated. This condition is known as *potential instability* (or convective instability). The top cools at 10 K/km during ascent whilst the bottom cools less rapidly, at the appropriate SALR. So the layer becomes less and less stable. It can be shown that a layer is potentially unstable if the equivalent potential temperature (Section 7.2) decreases with height, just as absolute instability occurs when the potential temperature decreases with height. Potential instability occurs on the Australian east coast or the coastal plains of Uruguay and Argentina, for instance, when warm moist air from the tropics flows under a dry westerly airstream aloft.

### Surface Turbulence

The flow of wind past surface obstacles, and daytime heating of the ground, both induce a random stirring we call *turbulence*. It is important in transferring sensible and latent heat between the Earth’s surface and the free

atmosphere, and in the dispersion of air pollution (Chapter 14).

Turbulence depends on both the wind speed and the atmosphere's lapse rate, so it is categorised as in **Table 7.1**. Note that the first three lapse rates mentioned (A, B, C) are all superadiabatic. The last column shows how instability means a great variability of wind *direction*, and the same applies to wind speed, creating gustiness (Chapter 14). The reason is that parcels of the stronger winds at the top of the planetary boundary layer are stirred to the surface by strong instability. The circumstances in which each category of Table 7.1 occurs are shown in **Table 7.2**. As an example, the ELR near the surface is close to neutral on windy days because of the constant vertical stirring, whereas the lapse rate is superadiabatic during a calm day, changing to an inversion at night.

### Cumulus Cloud

Cumulus clouds are one manifestation of the release of static instability. Cloud base is usually flat, at the height of the Lifting Condensation Level (LCL) (Note 7.F, Figure 7.6), whilst the top is initially cauliflower-shaped because of internal convection extending the cloud upwards. It eventually reaches a stable layer, and then the cloud top spreads out as a broad anvil (Chapters 8 and 9).

### Tropical Rainfall

Tropical rainfall often comes from the release of static instability by thunderstorms—what is called ‘convective rainfall’ (Chapter 9). This is true especially over land and over archipelagos

Table 7.1 Pasquill's classification of the stability of the surface atmosphere, according to the degree of insolation and wind speed

| Pasquill class | Condition of the air | Lapse rate (K/km) | Standard deviation* of wind direction (degrees) |
|----------------|----------------------|-------------------|---|
| A              | Very unstable        | -17               | 25  |
| B              | Unstable             | -15               | 20  |
| C              | Slightly unstable    | -13               | 15  |
| D              | Neutral              | -10†              | 10  |
| E              | Stable               | +5                | 5   |
| F              | Very stable          | +25               | 2.5   |

\* A measure of the scatter, discussed in Chapter 10

† That is, the dry adiabatic lapse rate

Table 7.2 The circumstances of various degrees of surface-air stability

| Wind speed (m/s) | Strong Sun*,<br>R <sub>s</sub> over<br>580 W/m <sup>2</sup> | Moderate Sun,<br>R <sub>s</sub> of<br>290–580 W/m <sup>2</sup> | Slight Sun†,<br>R <sub>s</sub> of<br>145–290 W/m <sup>2</sup> | Cloudy,<br>day or night | Clear<br>night |
|------------------|---|--|---|-------------------------|----------------|
| 0–2              | A   | A–B  | B   | –                       | –              |
| 2–3              | A–B   | B  | C   | E                       | F              |
| 3–4              | B   | B–C  | C   | D                       | E              |
| 4–6              | C   | C–D  | D   | D                       | D              |
| 6 +              | C   | D  | D   | D                       | D              |

\* A clear sky, with the Sun higher than 60° above the horizon; R<sub>s</sub> is the incoming solar radiation in W/m<sup>2</sup>

† A clear sky, with the Sun only 15–35° above the horizon

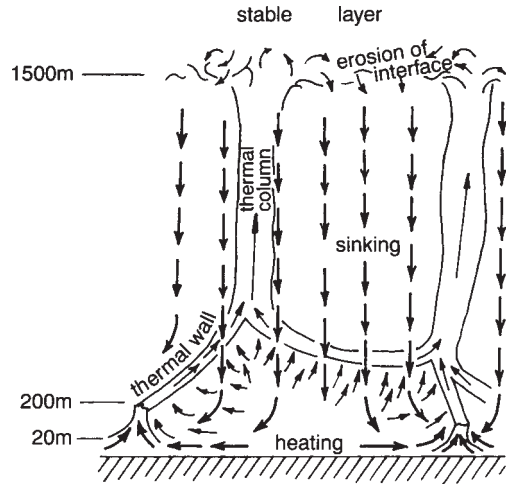
like Indonesia, where rainfall is more common during the afternoon because of destabilisation of the lower atmosphere by surface heating.

The role of atmospheric instability in causing rainfall over tropical oceans remains uncertain. One theory suggests that rainfall there happens chiefly in the early morning, because of particularly deep convection at that time when the water surface is warmer than the adjacent air. The convection is promoted by cooling of the upper air by longwave radiation lost to space during the night, making the troposphere unstable.

## Thermals

A *thermal* is a column of warm-air bubbles, expanding as they rise, each with a central updraught and peripheral subsidence. Thermals commonly occur on warm, calm afternoons above relatively hot patches of the ground (such as a dry field of low albedo amongst irrigated fields, or a slope facing the Sun), forming huge bubbles of warm air, lighter than the air around. They eventually lift off, entrain more air and join other bubbles. Within a couple of hundred metres they form a continuous column, the diameter of which is then around a quarter of its height (**Figure 7.7**). The thermal extends upwards to the top of the PBL at 1,000 m or beyond, usually slanting in the wind and moving slowly along if the ground is uniform. Their upwards growth is usually limited by the stable layer at the top of the PBL but thermals in a hot desert may extend to 4 km, and may reach the Lifting Condensation Level, so that a cumulus cloud forms. The distance to the next thermal is about twice its height.

Locusts may be drawn together into the base of a thermal and lifted up. Then they drift down over a great distance, extending the damage they cause.



*Figure 7.7* Typical conditions associated with a thermal. The dimensions are only indicative; the vertical dimension is exaggerated.

## Gliding

Soaring birds, like pelicans or eagles, are too heavy to fly easily by flapping but can attain great height by circling within the updraught of a thermal once they have surmounted the turbulence of the lowest one or two hundred metres. Then they peel off and glide towards the next thermal for another lift. Vultures in East Africa have been observed to climb at 2–4 m/s to 3,500 m, for instance. But they are readily caught on the ground in the morning, before convection has started. Close to the surface of the sea, birds such as herring gulls either flap or glide according to the atmosphere's instability (**Figure 7.8**). The gulls flap when the water is cooler than the air, causing stability, but glide in circles within thermals when the sea surface is warmer.

Glider pilots and hang gliders imitate the soaring birds in using thermals. Particularly good lift is found up to tall clouds, and climb rates are typically 1–3 m/s in Australian thermals. Weak thermals may be 2–5 km apart, while strong thermals are typically 15 km away from

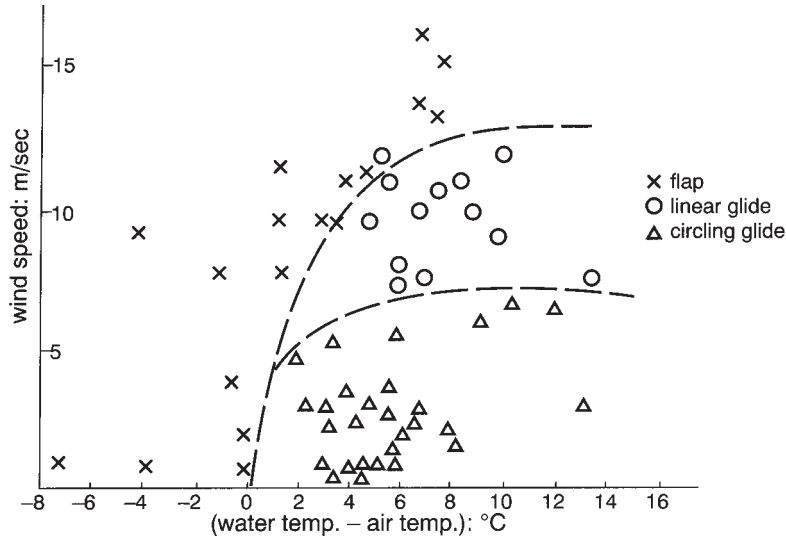


Figure 7.8 Effect of instability on the way in which seagulls fly.

each other. Hang gliders may be lifted 400 m or so by a thermal, but the world-record altitude for glider pilots is 14 km, at the top of the troposphere.

Inexperienced pilots may mistake *wave-lift* for a thermal. Wave-lift is due to air deflected upwards by hills, offering limited ascent.

## Polar Winds

Cold surface winds from the poles tend to become increasingly unstable as they move equatorward, since the lower part of the air is warmed by the oceans. As a result, there are cumulus clouds which often grow tall enough for precipitation. This explains why exposed coastal areas receive significant rain in the southerly winds which follow the passage of a cold front, even though the air is cold, with little precipitable water (Section 6.6), and despite the subsiding of air behind cold fronts (Chapter 13).

## Mirage Shimmer

Dry bare ground can become extremely hot in the tropical afternoon when the sky is clear (Section 3.5), creating a superadiabatic layer of air against the ground. The turbulence of the rising air within that layer causes a shimmer in the view of a 'mirage', a false impression of a lake just below the horizon, some distance ahead on a straight roadway. The apparent lake is really a view of the near-horizon sky, turned by the higher speed of light through the hot air at the surface in the same way that sound waves are turned by a vertical temperature gradient (Section 7.6).

## Instability within Water

The phenomenon of instability applies to all fluids. Measurements of surface temperatures of turbid water in a ricefield in New South Wales showed stable conditions from 8 a.m. to 2 p.m.

in late spring (i.e. the surface film was then warmer than the water a centimetre below), but thereafter there was instability as the surface film cooled as much as 5 K lower. The water layers would then mix turbulently, and the entire water column cool off uniformly.

Similarly, lake water is warmest on top in summer but cools uniformly in autumn, when cooling at the surface makes the density profile lead to instability. The water density in oceans is complicated by the dissolved salt (Chapter 11), yet the same concepts of static stability apply. The effect of salt on water's density, and hence its pattern of stability, enables the capture of solar energy (**Note 7.I**).

## 7.5 TORNADOES, DUST-DEVILS AND WATER SPOUTS

A tornado is the result of extreme instability. It consists of a violent whirlwind, a tapering funnel of twisting cloud, dangling like an elephant's trunk from the base of a thunderstorm cloud, with winds stronger than 20 m/s at 10 m from the centre of the vortex. The word 'tornado' comes from the Spanish for 'thunder'. Its passage is accompanied by a peculiar whistling, and then a roar as the funnel approaches, and finally a screech of winds, obscuring the noise of crashing trees and buildings. The aftermath is an intermittent swath of destruction where the whirlwind's tip moves across the ground.

The funnel of a tornado extends waving from a low thick cloud, with a radius of a few hundred metres. It has a suction of possibly 200 hPa, sufficient to lift bricks. Also there are howling winds nearby, estimated as over 90 m/s in some cases, enough to propel timber through 15 mm steel or a spade 15 cm into a tree, and to demolish houses (**Note 7.J**). The funnel moves unsteadily along at 8–33 m/s.

### Cause

Tornadoes depend on three preconditions:

- 1 at least 2000 J/kg of convective available potential energy CAPE (Figure 7.6), which is possible when the planetary boundary layer (PBL) is warm and humid, and the air aloft is dry and cool,
- 2 a thin stable layer above the PBL (**Figure 7.9**), sufficient to prevent the instability being already released by small thunderstorms, and
- 3 a great increase of wind strength with height.

This combination arises in the American Midwest when warm, moist, low-level winds blow northwards from the Gulf of Mexico and undercut a strong westerly flow which has lost most of its moisture over the Rockies. Once the stable layer between is breached, there is a sudden updraught of the buoyant lower air, creating a massive thunderstorm which can spawn a tornado.

Some tornadoes occur in association with small thunderstorms ahead of a cold front or within a tropical cyclone (Chapter 13). Many tornadoes in Australia are triggered by convection due to vigorous cold fronts.

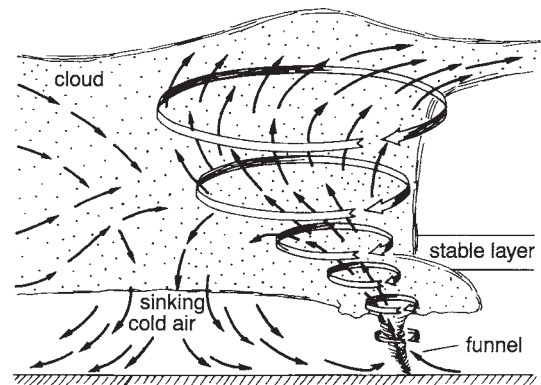


Figure 7.9 A schematic diagram of the circulations associated with a tornado within its parent thunderstorm.

## Occurrence

Tornadoes in Australia resemble those of the American Midwest, which have been most studied, but are probably smaller, weaker and less common. There are about 700 reports each year in the USA, against ten or so reported annually in Australia. There were about eight per  $10^5$  km<sup>2</sup> each year in Sydney between 1950 and 1989, which is roughly a fifth of the rate in *tornado alley* centred in Oklahoma, USA. However, it is notable that a map of the frequency of *reported* tornadoes in Australia resembles that of population density, so there may be further tornadoes in unpopulated areas.

Tornadoes in Perth and Adelaide occur mostly in winter, whereas they are more common in summer along the east coast of Australia, e.g. November–January in New South Wales. Late afternoon is the most common time, when surface heating is greatest. They also occur on the west coast of New Zealand and in South Africa, and in South America east of the Andes, around 25–35°S.

It seems that the centre of a huge city like Chicago or Tokyo is relatively immune to tornadoes, perhaps because of the roughness of the urban landscape. Tornadoes tend to avoid hills and follow valleys, and intensify on downslopes.

## Dust-Devils

Dust-devils are silent, miniature tornadoes, due to extreme instability near hot ground. They differ from tornadoes in being less powerful, and in developing up from the ground instead of down from a cloud. Also, dust-devils usually occur under clear skies. They are triggered by wind gusts, which may be very strong when the lapse rate is superadiabatic. The intensity is enough to pick up dust, so they become visible, though there is a strong wind only close to the

column. The column may be 1–50 m in diameter and reach several hundred metres high. A small dust-devil lasts only a few seconds, whilst a large one endures for half an hour.

They are common in arid parts of Australia (where they are called ‘willy-willies’), as well as in Arizona and Egypt. They occur especially when dry, cleared ground is heated by strong sunshine in summer, typically between 11.30 a.m. and 2.30 p.m.

## Water Spouts

A water spout is a tight vortex made visible by cloud and spray within it, extending dozens or hundreds of metres from a water surface. Sailboats and small motorboats have been capsized by water spouts. They are of two kinds, one of which is the marine analogue of a dust-devil, growing up from a warm sea-surface under a clear sky. Such water spouts occur when the cold air behind a cold front passes over a warm sea, for instance.

The second type of water spout is the maritime counterpart of a tornado. It forms under a convective cloud in the same way as a tornado, but it is less intense and shorter lived. One observed in Port Phillip Bay near Melbourne on 11 April 1994 grew down from a thundercloud when the water surface was at about 19°C and the air at 8°C, so that there was considerable instability. The waterspout reached 300 m high and 30 m in diameter, and lasted for 10 minutes or so.

## 7.6 STABLE LAYERS

Having considered instability, we turn to the subject of stable layers of the atmosphere, in which either (i) temperatures *decrease* with height but less rapidly than the relevant adiabatic lapse rate (i.e. the environmental lapse rate is *sub-adiabatic*), (ii) the layer is isothermal (with a uniform temperature



Plate 7.1 A tornado in South Australia on 20 August 1971.

throughout), or (iii) there is an *inversion*, with an *increase* of temperature with height (Figure 7.5). If an inversion has a strength of 5 K/km, then a parcel of air lifted consequently has great buoyancy back up over 1 km finds itself within an environment towards the *status quo*. The result is that any that is 15 K warmer (since the parcel

cools at stable layer, but especially an inversion, resists the DALR, 10 K/km), so that there is a particularly strong tendency for the parcel to sink back again. Likewise, a parcel pushed down in layer creates a *stratification* of the atmosphere

the layer finds itself in particularly cold air, and into distinct layers.

A temperature profile of the troposphere usually has several kinks indicating various stable layers, which in the extreme are inversions, described in **Table 7.3**. These will now be discussed, regarding all stable layers as inversions, for brevity.

### Stratospheric Inversion

This was considered in Section 1.8. It suppresses the vertical motions within turbulent air, creating the calmness desirable in air travel, for instance, since long-distance aircraft fly at about 10 km. Also, thunderstorms (Chapter 9) and weather systems (Chapter 13) are confined to the troposphere by the inversion, and its impermeability allows quite different chemical concentrations above and below. For instance, ozone is much more abundant above the tropopause at the bottom of the inversion.

### Cloud-top Inversion

A stable layer arises above the top of any layer

cloud, as the cloud surface cools by losing longwave radiation to space.

### Subsidence Inversion

A subsiding layer becomes compressed as it sinks to levels at higher pressures, so the top descends further than the bottom of the layer does (i.e. warms more), and consequently the lapse rate within the layer changes in a way that results in greater stability. This is the converse of the instability created within a rising layer (Section 7.4). More importantly, the inversion that commonly occurs above the lowest few hundred metres is due to the air against the ground being unable to descend further, whilst higher levels continue to warm by subsidence.

Subsidence inversions are associated with the air descending within a high-pressure system (Chapter 13). The ground-level high pressure causes surface air to spread out to low-pressure areas around, and that draws air down from above. The subsiding air's warming and the low water content of the original upper-level air (Section 6.6) lead to it having a characteristically low relative humidity at the surface.

Table 7.3 Types of inversion discussed in the text

| <i>Kind</i>                | <i>Typical features</i> |              |                         |  | <i>Cause</i>                              |
|----------------------------|-------------------------|--------------|-------------------------|--|---|
|                            | <i>Base</i>             | <i>Depth</i> | <i>Time</i>             |  |   |
| 1 Stratospheric            | 10 km                   | 40 km        | Always                  |  | UV radiation absorption                   |
| 2 Cloud-top                | –                       | 10–100 m     | Anytime                 |  | Radiation cooling of top of stratus       |
| 3 Subsidence               | ~1,000 m                | ~100 m       | Always                  |  | Descent of air in anticyclone             |
| 4 Orographic               | Few km                  | Various      | Always                  |  | Orographic lifting towards tropopause     |
| 5 Frontal                  | Various                 | 100–500 m    | Anytime                 |  | Cold air intruding beneath warm           |
| 6 Planetary-boundary layer | ~750 m                  | ~100 m       | Anytime                 |  | Adiabatic mixing                          |
| 7 Sea breeze               | 600 m                   | ~100 m       | Daytime                 |  | Cool ocean                                |
| 8 Advection                | Surface                 | various      | Anytime                 |  | Cool ocean                                |
| 9 Cold-air drainage        | Surface                 | 150 m        | Night and early morning |  | Katabatic flow into valley                |
| 10 Ground (or radiation)   | Surface                 | 50–500 m     | Night and early morning |  | Radiation cooling of ground, to clear sky |



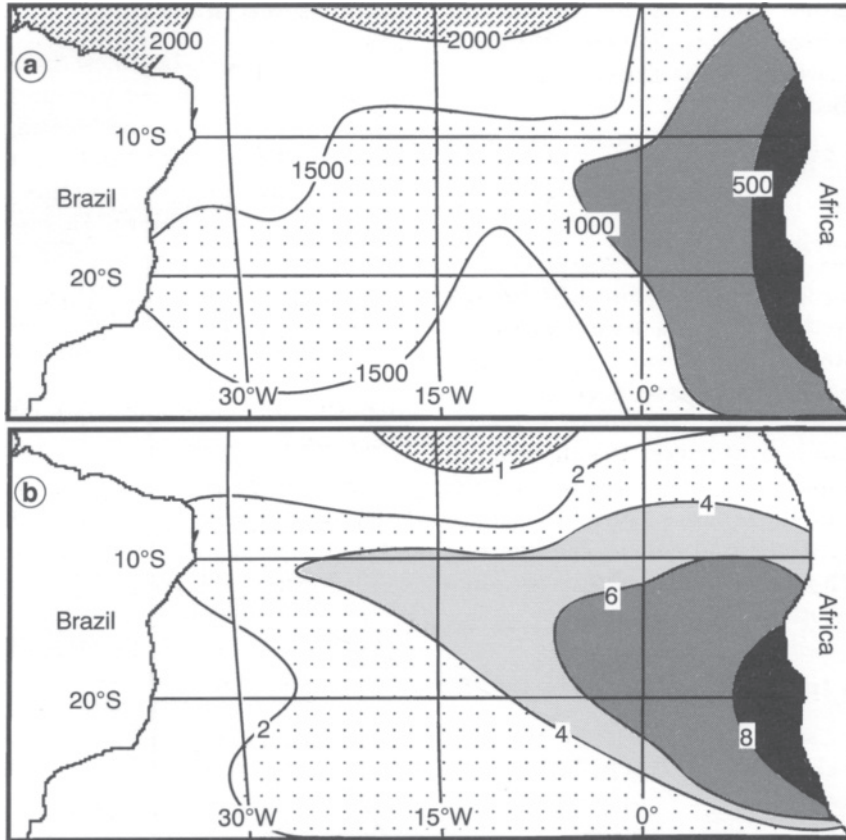


Figure 7.10 Characteristics of the Trade wind inversion in the South Atlantic: (a) height (m) of the base of the inversion, and (b) temperature increase (K) within the inversion.

One example of a subsidence inversion is the *Trade wind inversion*, common over much of the subtropical oceans (**Figure 7.10**). It lies about 500 m above sea-level in regions where the surface is relatively cool, e.g. off northern Chile and Peru, and also off the south-west coast of Africa (Chapter 11). The top of the inversion there is about 8 K warmer than the base. Nearer the equator, there is more convection from the warmer surface so that the inversion there occurs at 1,500 to 2,000 m. The inversion there is weaker and thinner because warmer seas imply less subsidence. Overall, the pattern of inversion

strength is reflected in the patterns of fog (Chapter 8) and rainfall (Chapter 10).

Even where subsidence is insufficient to create an inversion, it still enhances atmospheric stability. Thus, easterly winds in coastal Peru and northern Chile are stabilised by the descent from the Andes, making uplift less likely and so reducing rainfall (Chapter 10).

### Orographic Stability

Paradoxically, it is possible for stability to be created within rising air, as well as by subsidence. The

reason is that a subsidence inversion occurs within the free atmosphere, far from the ground, whereas orographic stability is caused when dry air is forced to rise over a high mountain range and squeezed against the stratospheric inversion. As a result, the air at the lowest levels is raised (i.e. cooled) more than the upper air of the layer, so that stability increases. Inversion layer is usually a few hundred metres above the surface, becoming lower towards evening.

### **Frontal Inversion**

Where adjacent air masses of different temperature intrude on each other there is a 'frontal zone', discussed in Chapter 13. A 'cold front' occurs when a cold air mass wedges underneath a warmer one. So a temperature sounding through the wedge shows a slanting boundary between colder air below and warmer air above, i.e. there is an inversion at the boundary. In this case, the inversion indicates the inclement weather associated with a front, whereas a subsidence inversion implies calm and clear weather (Chapter 13). Frontal inversions exist from the surface to about 3 km elevation, and typically are only a few hundred metres deep.

### **Planetary-boundary-layer Inversion**

The PBL inversion (or 'turbulence inversion') is due to the stirring of air caused by surface roughness and moderate wind. The stirring leads to good mixing, and hence a lapse rate equal to the dry adiabatic rate. This adiabatic profile connects at the top of the PBL (Figure 1.11) with conditions determined by the wider region (Table 1.1), and there is an inversion at the discontinuity. It is typically 500–1,000 m high, depending on the degree of roughness which stirs the PBL. There is often a subsidence inversion coinciding with a PBL inversion, though the formation process is quite different.

### **Sea-breeze Inversion**

This occurs at the coast, especially in summer, between the daytime cool air drawn inland in a surface sea breeze (Chapter 14), and the compensating return flow of warmed air above, completing the loop of air circulation. The

### **Advection Inversion**

Sometimes called a 'contact inversion'. Such an inversion arises wherever the surface cools the lowest air, as where warm air blows over a cold ocean, or an onshore wind in Antarctica passes over ice colder than the sea.

### **Cold-air Drainage (or Katabatic) Inversion**

A cold airflow down an open valley in the morning creates an inversion at the interface with the environment above. In the case of a closed valley, cold air ponds at the bottom during the night, having rolled from higher land. This gives rise to frost hollows when the ponded air is below freezing (Section 3.6).

### **Ground (or Radiation) Inversion**

The ground begins to cool from about the midafternoon (Figure 3.12), increasingly lowering the temperature of the air above and producing a shallow inversion. For instance, one set of soundings in Sydney showed a surface inversion 10 m deep at 5.30 p.m., but 100 m by 8.45 p.m. and 150 m by dawn. Thereafter the ground is warmed by the Sun, and air rising from it establishes a dry adiabatic lapse rate from the surface. Figure 7.1 shows such lifting of the base of the inversion to 100 m by 8.40 a.m., and a total erasing of it by 9.55 a.m.

Ground inversions create problems. They may lead to radiation frosts (Section 3.6) on winter nights, and fans may be required to protect crops (**Note 7.K**). An inversion prevents

Table 7.4 The characteristics of layers of air with various lapse rates

| Condition  | Cause  | Lapse rate  | Height range                     | Location                                    | Effects   |
|--|--|---|----------------------------------|---|---|
| Environmental Superadiabatic                         | Past events<br>Solar heating of ground           | Various<br>Over 10K/km  | Any<br>Lowest few tens of metres | Measured anywhere<br>Close to the ground    | Governs stability<br>Absolute instability             |
| Dry adiabatic  | Theoretical vertical motion of parcel            | 10K/km  | Not applicable                   | Not applicable                              | Not applicable  |
| Dry neutral  | Turbulence                                       | 10K/km  | Lowest km (i.e. the PBL)         | The PBL (and other well-mixed layers)       | Uninhibited vertical motion                           |
| Saturated adiabatic* (i.e. moist neutral) Isothermal | Condensation plus cooling of parcel<br>Uncertain | About 6K/km†<br>Same temp. at all levels<br>Warmer above cool air | Cloud depth<br>Small<br>Various  | Within convective clouds<br>Rare<br>Various | Increased instability<br>Stability<br>Great stability |
| Inversion  | See Section 7.6                                  |   |                                  |   |   |

\* Or 'moist adiabatic lapse rate'

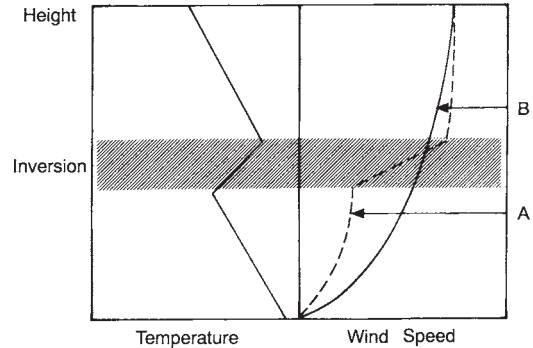
† Values may be between 4–9K/km, depending on the water vapour content of the air, i.e. on the amount of condensation occurring with cooling (Note 7.C)

the dispersion upwards of air pollutants, which is why fire bans are often imposed on calm, clear winter days in large cities such as Sydney. (On the other hand, air pollution from a high chimney is prevented by a ground inversion from affecting people beneath, see **Note 7.L.**) Also, any sound radiating upwards from the ground is bent back down by the higher speed through the warmer air in the upper part of a ground inversion, which augments the sound at the surface (**Note 7.M.**) Therefore, aircraft noise during take-off or landing is more troublesome on calm nights.

Radiation inversions are prevented by even moderate winds, which stir the air together, producing an adiabatic lapse rate. But an established inversion is difficult to erode by wind, because stable air is reluctant to mix. As a result, weak wind occurs below the inversion and stronger wind above (**Figure 7.11**). In other words, a ground inversion disconnects the surface air from the energy of the upper winds, so that calm conditions prevail at the surface at night. This has several consequences. For instance: (i) the intensity of bush-fires is reduced at night; (ii) the surface calmness due to a ground inversion makes sounds more clear by removing the background noise of wind and turbulence and by focusing the sound (**Note 7.M.**); and (iii) an onshore wind encountering a nocturnal ground inversion inland is forced to rise over it, and the uplift may induce rain (**Chapter 9**), which explains why 14 per cent more rain falls in Perth at night (midnight to 6 a.m.) than between midday and 6 p.m. (**Chapters 10 and 16**).

The inversions are weak when the sky at night is cloudy because of the reduced cooling caused by increased sky radiation (**Section 2.7**). Also, the warmth of cities (**Section 3.7**) and the stirring of surface winds induced by a city's roughness (**Chapter 14**) inhibit urban ground inversions.

Several of these kinds of inversion may occur simultaneously or leave traces for days after



*Figure 7.11* Effect of an inversion layer on the wind profile, shown either with an inversion present A (dashed line), or without B (solid line). The upper wind is decoupled from the surface air by the inversion, so speeds become higher above the layer, free of the restraint of surface friction. Speeds are reduced *below* the layer, for lack of momentum from the upper winds.

their original formation. This explains the irregularity of temperature profiles within the atmosphere. The variety of conditions is summarised in **Table 7.4**.

Thus we have considered the properties of a stable atmosphere, as well as an unstable one. Stable layers cause the thin flat shape of stratus clouds, whilst instability leads to tall clouds, which are all discussed in the next chapter.

## NOTES

- 7.A Feedback
- 7.B The dry adiabatic lapse rate
- 7.C The saturated adiabatic lapse rate
- 7.D The skew  $T$ — $\log p$  diagram, part 2
- 7.E Calculation of the foehn effect
- 7.F Non-local instability
- 7.G Indices of instability
- 7.H How an atmosphere becomes unstable
- 7.I Solar ponds
- 7.J Tornado damage
- 7.K Dispersion of ground inversions by fans
- 7.L Atmospheric instability and air pollution
- 7.M Temperature profiles and sound

# CLOUDS

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## 8.1 THE FORMATION OF CLOUDS

In this chapter we examine the next stage of the hydrologic cycle (Section 6.1). Evaporation was considered in Chapter 4, and the consequent atmospheric humidity in Chapter 6. Then Chapter 7 dealt with atmospheric instability, which is a major cause of uplift, often cooling air to its dewpoint temperature so that it is saturated and cloud forms. Now we will explain how this occurs, and some of the consequences.

Clouds consist of tiny ice particles or water droplets, so small and light in weight that impacts from the air's randomly moving molecules are sufficient to keep the particles and droplets from falling. They control climates in several ways. Clouds are the source of rain, and they obscure and reflect radiation (Chapter 2),

so they govern the net radiation which energises photosynthesis (Note 1.B), heating of the ground (Section 3.5) and evaporation (Chapter 4). An increase in low-level cloudiness by just a few per cent would offset any global warming due to more carbon dioxide in the atmosphere.

The ice particles and water droplets of clouds derive from the condensation of water vapour in cooled air (Section 6.2). The cooling may be caused (i) by mixing with colder air, (ii) by local cooling due to either (a) nocturnal radiation loss (Section 2.7), or (b) flow over a cold surface (creating *advective cloud*), or (iii) by uplift (Section 7.1). Uplift is the most common cause, and the only way to produce rainfall (Chapter 10). The form of uplift cooling determines the kind of cloud (**Table 8.1**). In more detail, the forms of cooling are as follows.

Table 8.1 Typical cloud dimensions

| Cloud type | Horizontal size (km) | Thickness (km) | Updraught speeds (m/s): |         |
|------------|----------------------|----------------|-------------------------|---------|
|            |                      |                | Typical                 | Maximum |
| Convective | 1–10                 | 3–15           | 3                       | 15      |
| Orographic | 1–500                | 1              | 1                       | 10      |
| Stratiform | 100–3,000            | 1–5            | 0.03                    | 0.5     |

## Cooling Due to Mixing with a Cold Air Mass

The mixing of two volumes of almost-saturated air at different temperatures results in condensation (see Note 4.C, Figure 6.3 and **Note 8.A**). In other words, cloud can result from the mixing of two cloud-free layers of air, if they have widely different temperatures. This occurs, for example, when cold air from surrounding hills rolls down over the moist air lying on a lake of water warmed by the previous day's sunshine. The result is 'steam fog' (Section 8.4).

## Radiation Cooling

Radiation cooling of the ground at night lowers the temperature of the lowest air (Section 7.6), perhaps below dewpoint, in which case fog arises (Section 8.4).

## Advective Cooling

This forms fog at any time of the day, wherever wind brings air into contact with a surface which is below the air's dewpoint temperature. Such fog is encountered in coastal regions, particularly.

## Cooling Due to Uplift

Different kinds of cloud are generated by the cooling caused by uplift, according to the cause of the rising (Section 7.1): (a) hills, (b) low-level cold currents, (c) large-scale uplift, (d) convection, or (e) a convergence of winds. Convective uplift is the most rapid, generating *cumuliform* (or convective) cloud, which is vertical and cauliflower-like (Section 8.6). In the other cases, slow uplift leads to *stratiform* cloud (Table 8.1), which is shallow and spread out (Section 8.5). However, any kind of uplift destabilizes the atmosphere (Section 7.4),

especially in the presence of potential instability, and may eventually result in convection. This explains why thunderstorms are more common near mountains.

Let us now consider the different kinds of cloud, according to the manner of uplift.

## Orographic Cloud

Hills deflect winds upwards, so that the air cools, possibly to dewpoint. In that case, clouds form at the Lifting Condensation Level (LCL) (**Note 8.B**), shown in **Figure 8.1**. For example, the west coast of the South Island of New Zealand is more cloudy and wet than the east coast (Chapter 10) because of the orographic uplift of the mainly westerly winds.

A *cap cloud*, shaped like a contact lens, forms at the crest of an isolated mountain, if winds rise over it sufficiently high to attain the Lifting Condensation Level (LCL). Wind blows through the clouds, condensing on the upwind side and evaporating in the lee. The first reported unidentified flying objects (UFOs) were actually cap clouds, seen above an isolated 4 km-high volcano, Mt. Rainier in Washington State, USA. The likelihood of cap clouds depends on the atmosphere's stability and the wind speed: a stable weak wind tends to flow around rather than over a mountain.

The height of the LCL ranges from below 300 m in winter in wet regions of high latitude, to more than 3 km over northern Chile or inland Australia, which are dry. It is governed by the difference between dry-bulb and dewpoint temperatures at the surface (Note 8.B), so cloud base is usually lower at night than during the day, in winter than in summer, and at the coast than inland. A city's relative dryness (Section 6.4) raises the LCL there by a few hundred metres.

Apart from cap clouds on the mountain-tops, a range of mountains can also create *wave clouds*, which are long and lens-shaped

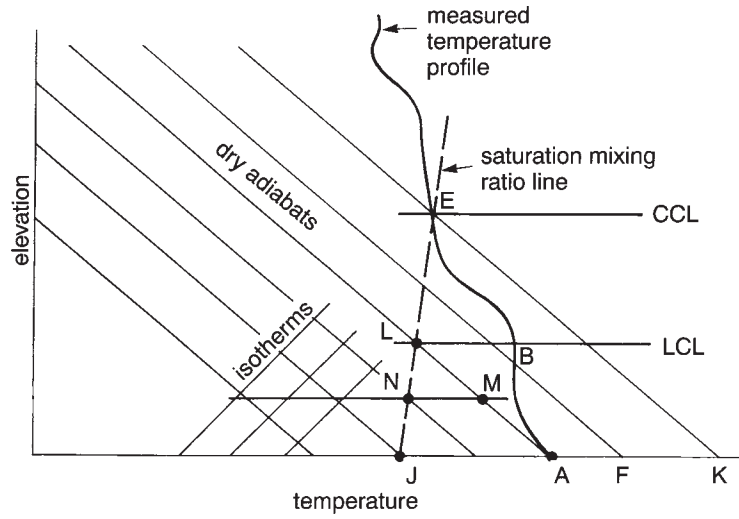


Figure 8.1 Derivation of the Lifting Condensation Level (LCL) and the Convective Condensation Level (CCL) for a measured temperature profile and surface dewpoint  $T_d$  (at J) plotted on a skew  $T$ - $\log p$  diagram.



Plate 8.1 Orographic clouds on the peaks at the south end of Lord Howe Island at 32°S.

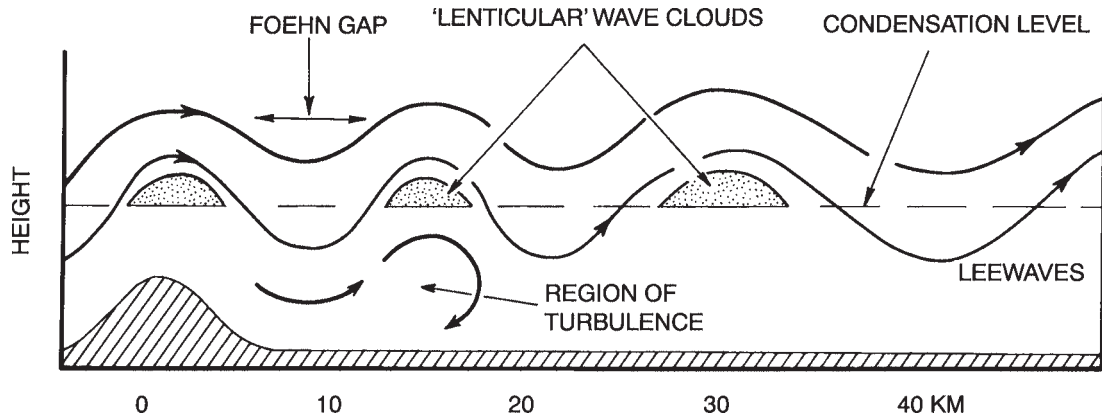


Figure 8.2 The formation of mountain waves. Wind crossing a mountain range experiences a wave motion, which creates the possibility of lenticular clouds in the crests of the waves.

(*lenticular*), parallel to the range and downwind of it (Figure 8.2). They are due to waves in the atmosphere of several kilometres amplitude, caused by the range when there is a stable layer near the ridge-top level, against which the air bounces down again. The wind has to be at least 7–15 m/s across the ridge of the range, and faster at higher levels. Uplift towards the wave crests creates the cloud, if the wind is close to saturation.

Wave clouds are stationary; the air moves through them, condensing on the uphill side of the crest and evaporating on the downward side. The space between adjacent rows, called the *foehn gap*, can be used to estimate the wavelength of the waves, typically 10–20 km. Five or more rows may sometimes be seen in satellite pictures of eastern New Zealand, when westerlies blow over the north/south ranges. Also they often occur over Sydney when strong westerly winds blow over the Blue Mountains just inland. The waves have their highest amplitude well above the height of the mountain ridge, e.g. the effect of a range 1 km high can be felt at 10 km. But the uplift in wave clouds is insufficient to create rain.

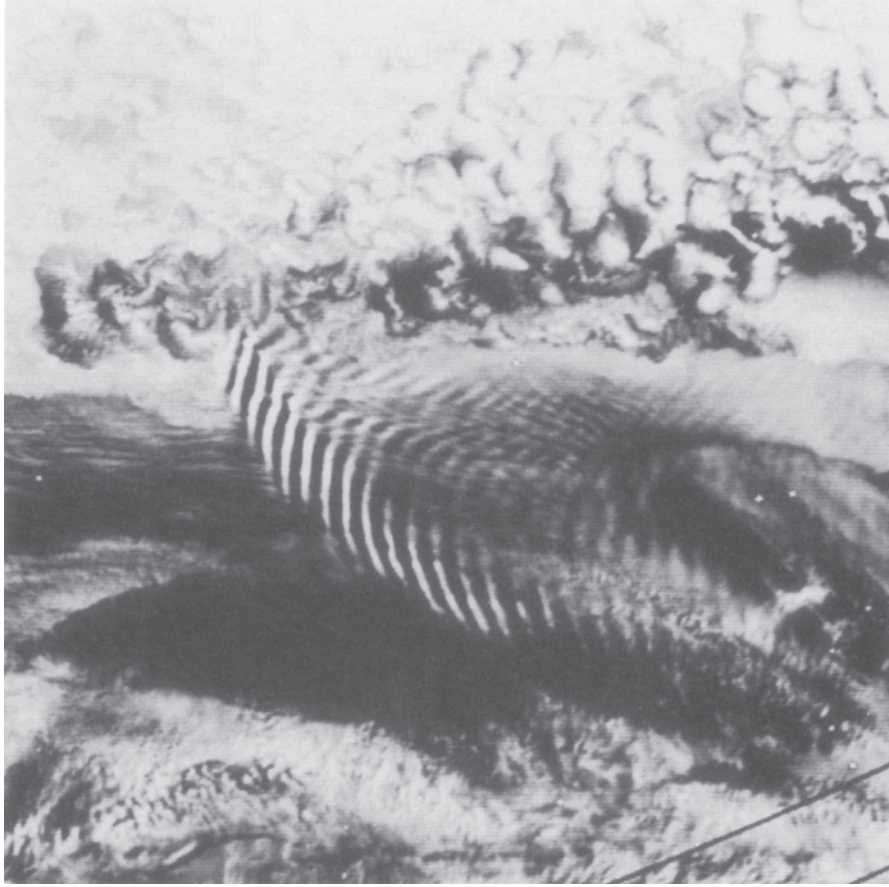
### Cloud Due to Shallow Currents of Cold Air

'Cold currents' (or *density currents*) are cold winds, perhaps a kilometre deep or so, which wedge under a warmer air mass simply because the cold air is more dense, i.e. heavier. The uplift provided to the warm air ahead causes cloud if it is moist. Some examples are given in Note 8.C.

### Cloud Due to Large-scale Uplift

Most mid-latitude cloud and rain are due to ascent on a synoptic scale (Table 1.1), caused either by upper-level 'divergence' near a jet stream (Chapter 12) or low-level 'convergence' in low-pressure regions (Chapter 13) or both. The rate of such large-scale ascent is typically small, e.g. 10 mm/s or about 1 km/day, which is too small to measure amongst the turbulence of the horizontal winds. Nevertheless, the small vertical movements are important in forming cloud. Unsaturated air ascending at 10 mm/s cools at almost 10 K per day, and such a rate of





*Plate 8.2* Wave clouds downwind of Macquarie Island (at 55°S), which is near the top left of the picture. The ribs of cloud are about 8 km apart. The photograph was taken from an orbiting satellite at 0512 GMT on 17 October 1985.

cooling soon lowers the air's temperature to dewpoint, with consequent cloud formation. So one of the least measurable variables in meteorology—large-scale vertical motion—is one of the most important. This makes weather forecasting more difficult.

### **Cloud Due to Frontal Uplift**

The low-pressure region and the uplift just mentioned are associated with a well-defined

boundary between (polar) cold air and (subtropical) warmer air, called a 'front', discussed in Chapter 13. A *cold front* involves cold air underrunning warmer air, just as with a small-scale density current, and results in the uplift of the warmer air. This creates long bands of cloud over the front and behind it. But sometimes the warm air advances over the cooler air, creating a *warm front* and then the cloud tends to be more widespread and uniform. Frontal ascent is generally about 10 km/day.

## Convergence Clouds

Air is forced upwards when winds converge at low levels. This happens at the Intertropical Convergence Zone (ITCZ) near the equator (Chapter 12), which explains why most equatorial regions are cloudy. It also occurs at a collision of sea breezes (Chapter 14) from opposite sides of an island, or a meeting of land breezes from opposite sides of a waterway.

## Convective Cloud

This is the most common kind of cloud inland and near the equator, and results from thermal convection which is either shallow or deep. Shallow convection results from daytime heating of the ground's surface and produces non-precipitating 'fair-weather cumulus' clouds (Section 8.6). Cloud base is at the *connective condensation level* (CCL), shown in Figure 8.1. Cloud forms at this height when the surface is at the *convection temperature*  $T_c$ , represented by K in Figure 8.1 (Note 8.B).

'Deep convection' reaches through most of the troposphere and results in thunderstorms (Chapter 9). It is *initiated* by several factors, including local surface heating. But the trigger might be daytime 'anabatic flow' up mountains, or uplift caused by sea breezes near the coast (Chapter 14), both of which lead to most thunderstorms in the afternoon. As an example, the sky is normally clear at night on Mt Wilhelm (which reaches 3,480 m in Papua New Guinea), but clouds begin to form at about 2,000 m around 8 a.m., and then they grow until there are intermittent showers from 11 a.m. until sunset. However, thunderstorms are more common during the night over the waters of tropical archipelagos like Indonesia, because of uplift started by low-level convergence of nocturnal land breezes (Chapter 14) from nearby islands.

Whatever cloud is formed, an immense

amount of latent energy is released as the water vapour condenses. The condensation that provides a modest shower of 6 mm over an area of 10 km<sup>2</sup> releases 150 million megajoules, which is as much as is produced in a whole day by Australia's 2,000-megawatt Snowy Mountain hydro-electric scheme, and about equal to the energy in the two atom-bomb explosions at Hiroshima and Nagasaki together.

## 8.2 CLOUD DROPLETS

Cloud is created almost immediately an atmosphere is cooled to its dewpoint temperature (**Note 8.D**). The droplets form by condensation on motes in the air, called *cloud condensation nuclei* (CCN). Without these, the air's relative humidity would need to be 110 per cent or more before droplets could form by the spontaneous collisions of water-vapour molecules (Section 4.3). But there are generally ample CCN in each cubic metre, even in clean air.

They are classified according to size—*Aitken nuclei* are less than 0.2  $\mu\text{m}$  in diameter, so-called *large nuclei* are 0.2–2  $\mu\text{m}$ , and *giant nuclei* are larger still. A cubic metre of maritime air reaching Cape Grim in northern Tasmania in summer typically contains 300 million particles larger than 0.01  $\mu\text{m}$ , 100 million over 0.1  $\mu\text{m}$ , and just 2 million giant nuclei. Such air contains many fewer CCN than continental air, so the droplets in marine clouds are fewer and larger.

The relatively clean air from off the ocean contains nuclei consisting mostly of sulphate crystals formed from *dimethyl sulphide*, a gas evolved by *phytoplankton*, which are minute organisms in the sea. (Extra sulphide is produced in a warmer ocean, resulting in more, smaller cloud droplets. This would increase cloud albedo and thereby perhaps reduce the initial warming—another possible negative feedback process, see Note 7.A). CCN above

cities are mostly ammonium-sulphate particles, produced by sulphur dioxide and ammonia from air pollution reacting within cloud droplets. Other CCN are formed biologically.

Large nuclei are the most effective in creating cloud droplets (Note 8.D). Droplets form exclusively on the large nuclei if cooling of the humid air is gradual, so that there are bigger but relatively few droplets. Sea-salt particles are notably suitable. Even small nuclei are adequate if moist air is cooled rapidly, as in the strong updraughts of convective clouds (Table 8.1). This produces a dense white cloud of numerous, tiny droplets, with consequent poor visibility.

Cloud droplets are typically around 10  $\mu\text{m}$  in diameter, so tiny that they float in the air, the fall speed being less than 1 mm/s. The space between them is likely to be about 200 times their diameter, so they amount to much less than the water vapour within the cloud's volume (Note 8.E). Nevertheless, the huge volume of a large cloud means that it may contain thousands of tonnes of liquid water.

The droplets are no more than a millionth of the mass of typical raindrops and the change from one to the other is not straightforward. The formation of raindrops usually requires particles called *ice nuclei*, which are *quite different* from cloud-condensation nuclei. Such raindrop nuclei are not always adequately available, unlike CCN. As a result, even slightly supersaturated air always produces clouds, but most clouds do not yield rain (Chapter 9).

### 8.3 CATEGORIES AND CHANGES

There are various kinds of cloud, and they were originally classified according to shape (Note 8.F). Luke Howard was the first to do this, in 1803, recognising three main groups—streaks, sheets and heaps. Streak clouds were called *cirrus* (which is Latin for 'hair'), sheet clouds were designated *stratus* ('layer') and a heap

cloud is called *cumulus* ('pile'). Layered clouds are called stratiform, whereas billowing clouds are cumuliform.

The modern International System of cloud classification adopted by the World Meteorological Organisation (WMO) in 1956, refers first to the cloud's altitude, and then to the descriptive terminology of Howard (Table 8.2). There is a separate class for clouds of great vertical extent. All the various kinds are illustrated in Figure 8.4 and typical values of water content, thickness and equivalent water depth are summarized in Table 8.3.

### Changes of Cloud Form

Figure 8.3 shows how a change in surface conditions across a coastline can induce certain clouds, depending on whether the wind is onshore or offshore, and whether the land is warmer (in summer) or colder (in winter) than the sea. Convective clouds may form if the lower troposphere is unstable, e.g. when humid air from a warm ocean flows over even warmer land in summer (upper left of Figure 8.3) or when offshore cold air blows over a warmer sea (upper right), especially as the sea increases the dewpoint (Section 7.4). If the sea is cooler than the offshore wind (lower left), a shallow stably stratified cloud may form offshore, i.e. stratus or fog. In winter, relatively warm, moist onshore winds (lower right) become more stable over the coast, and form advective fog (Section 8.4) or light rainfall on rising ground. However, Figure 8.3 is a simplification; most clouds and rain form well above the planetary boundary layer and the coastline itself induces winds (Chapter 14). For instance, a sea breeze in summer may advect offshore fog (lower left) onto the land.

Clouds may change in character after they are formed, for several reasons. Here are three:

- 1 Destabilisation of the atmosphere by uplift

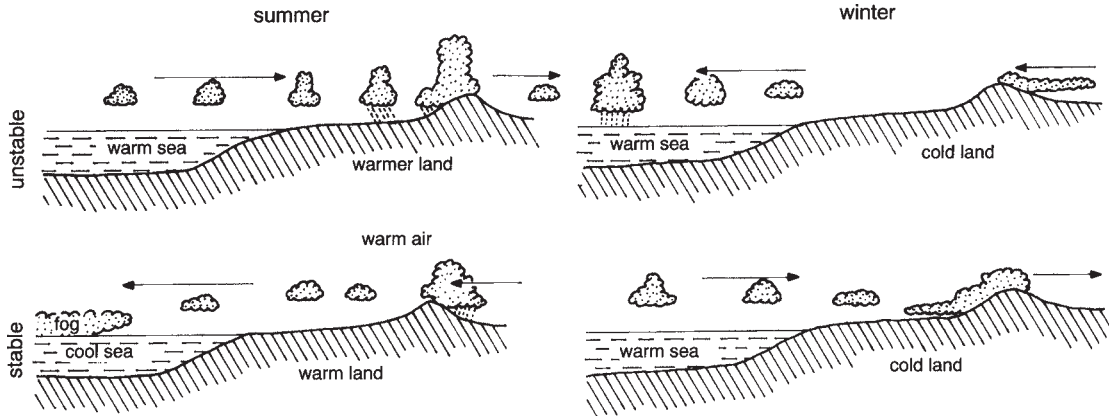


Figure 8.3 Cloud development near the coast in various circumstances.

may cause cumulus to grow out of stratus.

- 2 Stabilisation causes afternoon cumulus clouds to flatten out as stratocumulus. This occurs when solar heating of the surface is reduced at sunset, or as a consequence of cloud shadow reducing surface temperatures.
- 3 A thin layer of stratus often changes to a ribbed or dappled form (**Figure 8.5**) because of cellular stirring due to internal instability, caused by cooling of the top through the loss of longwave radiation to space, and warming of the layer's base by radiation from the ground.

Clouds disappear when the droplets evaporate, because of either the *entrainment* of dry air from the environment, or warming due to subsidence, or the absorption of longwave radiation from the ground (especially with shallow stratus on summer mornings). Small fair-weather cumulus (Section 8.6) often dissipates by entrainment after only a few minutes, whilst large cumulus may last several hours. Cirrus cloud is usually long-lived because it consists of ice, which sublimates only with difficulty at the temperatures of around -40°C.

### 8.4 FOG

Cloud at ground level is *fog*, if visibility is less than one kilometre; otherwise it is *mist*. The better visibility in mist is due to the larger size of the droplets, i.e. about 100 µm: the larger the mean drop size, the better the visibility (**Note 8.G**). 'Thick' fogs have a visibility below 200 m and 'dense' fogs less than 40 m. We will consider seven kinds.

- 1 *Hill mist* or *upslope fog* arises from orographic lifting of stable air when the Lifting Condensation Level is lower than the mountain top.
- 2 Rain is usually cooled by evaporation, so the immediately adjacent air becomes cool as well as moist. Mixing of this air with that around may lead to supersaturation (Note 8.A), in which case separate patches of cloud called *scud* or *stratus fractus* form below the cloud which is yielding rain. The scud may hug a hillside or even level ground, in which case it is known as *rain fog*.
- 3 *Radiation fog* or *ground fog* is formed at night if the surface air is moist and the ground is cooled by longwave radiation to a clear sky

Table 8.2 Classes of clouds

| Cloud type                 | Abbreviation | Description   | Typical height (km) | Vertical motion involved                                    | Atmospheric stability in formation                        |
|----------------------------|--------------|---|---------------------|---|---|
| <i>High cloud:</i>         |              |   |                     |   |   |
| 1 Cirrus                   | Ci           | Separate white filaments, in streaks or bands                                       | 6–10                | Widespread, prolonged and regular ascent at around 70 mm/s  | Strong wind shear   |
| 2 Cirrocumulus             | Cc           | Dappled layer like beach sand ripples   | As above            | As above  |   |
| 3 Cirrostratus             | Cs           | Fused veil of cirrus, forming halo round Sun or Moon                                | As above            | As above  |   |
| <i>Medium-level cloud:</i> |              |   |                     |   |   |
| 4 Altostratus              | As           | Grey, uniform fibrous sheet   | 3–6                 | As above  |   |
| 5 Alto cumulus             | Ac           | Dappled, flattened globules in billows  | As above            | As above  | Elevated unstable layer                                   |
| <i>Low-level cloud:</i>    |              |   |                     |   |   |
| 6 Stratocumulus            | Sc           | Soft, grey layer of flakes or globules in groups, lines or waves*                   | Below 3             | Widespread irregular stirring with below 10 cm/s vertically | Turbulence within stable air                              |
| 7 Stratus                  | St           | Featureless elevated fog  | 1–2                 | Widespread lifting of cool damp surface air                 | Stable  |
| <i>Cumuliform cloud:</i>   |              |   |                     |   |   |
| 8 Cumulus                  | Cu           | Flat base, cabbage-shaped top piled high  | 0.6–6               | Thermal convection with large bubbles rising at 1–5 m/s     | Unstable, spreading out to Sc in evening due to stability |
| 9 Cumulonimbus             | Cb           | Huge, heavy, dense, with fibrous top, often spread into anvil shape, producing rain | To the tropopause   | Internal convection of 3–30 m/s upwards                     | Deep instability  |

\* Due to spreading out of the tops of earlier cumulus clouds

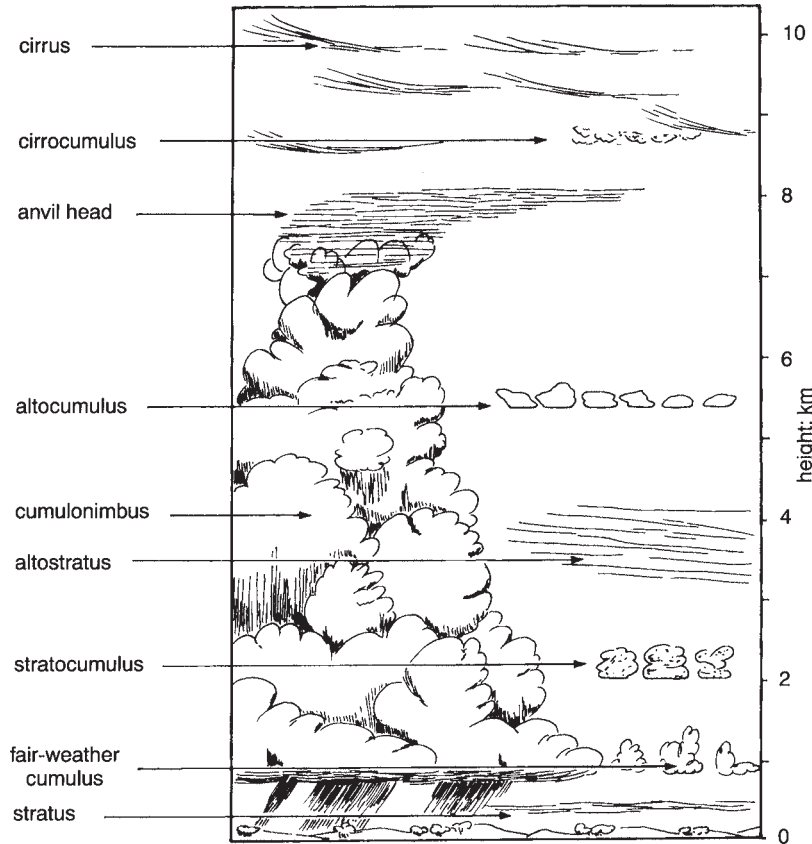


Figure 8.4 A composite illustration of various kinds of cloud.

Table 8.3 Typical values of the water contents of clouds in the southern hemisphere

| Feature                           | Type of cloud |       |     |      |     |     |
|-----------------------------------|---------------|-------|-----|------|-----|-----|
|                                   | Ci            | Ac/As | Cu  | St   | Cb  | Ns  |
| Thickness (km)                    | 3.6           | 0.6   | 1   | 0.5  | 6   | 2   |
| Water content (g/m <sup>3</sup> ) | 0.01          | 0.1   | 1.0 | 0.25 | 1.5 | 0.5 |
| Equivalent depth of water (mm)*   | 0.04          | 0.06  | 1   | 0.13 | 9   | 1   |

\* The equivalent water depth is the depth of rain if all the liquid water in the cloud were to fall; it is the product of the cloud thickness (km) and the average water content (g/m<sup>3</sup>)

(Section 7.6). It is more likely when the ground has been wetted by earlier rain, and at high latitudes where long winter nights allow prolonged cooling. Also, the ponding of cold air and moisture in hollows promotes

ground fog there. It is often less than 10 m thick, but may become 250 m thick in valleys. **Figure 8.6** shows that fog in Canberra is most likely around 7 a.m., and in winter, when temperatures are lowest.

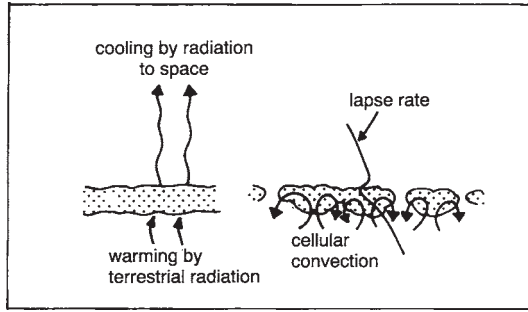


Figure 8.5 Formation of a ribbed type of cloud as a result of convection within a stratus cloud, induced by radiation cooling of the top.

4 *Advection fog* or *sea fog* occurs where relatively warm, moist and stable air moves over water whose temperature is slightly below the dewpoint of the air (lower left in Figure 8.3, and **Note 8.H**), e.g. off the coast of northern Chile, and over Antarctic sea-ice, especially near a 'lead' (a line of clear water between ice floes) or the open sea in winter. Advection fogs tend to be more extensive and deeper than radiation fogs. The depth depends on the wind strength; less air is stirred down to be cooled if the speed is

much below 7 m/s, whilst a stronger wind dissipates the fog by stirring it with warmer air above (**Note 8.A**).

5 *Steam fog* or *sea smoke* forms when cold air flows over a relatively warm wet surface (Section 4.3). Evaporation from the latter into the unstable lower atmosphere leads to convection of the moisture upwards, and then the vapour condenses to drifting filaments of wispy mist, a few metres high (**Note 8.I**). You can see it after a shower onto a hot roadway and it occurs at sea around Antarctica, where the air may be much cooler than the water. The likelihood of steam fog is increased by thermal pollution of waterways, and by radiation cooling on cloudless nights chilling the ground and hence the air around a shallow lake (**Table 8.4**).

Note the paradox that fog may be formed either by cold air over warm water (steam fog) or by warm air over cold water (advection fog).

6 *Ice fog* occurs when temperatures drop below  $-20^{\circ}\text{C}$  or so. For instance, a person breathing out in Antarctica may become surrounded by a personal cloud of ice fog,

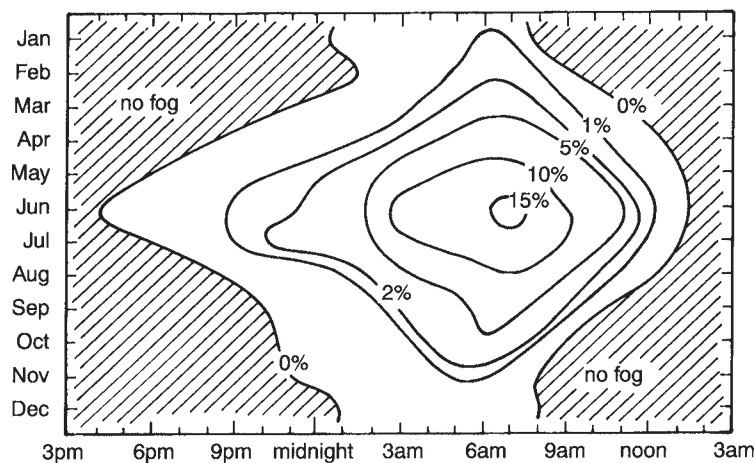


Figure 8.6 The chance of fog at Canberra at various times of the day and year.

Table 8.4 Dependence of the frequency of wintertime fogs at dawn, on the wind, cloudiness and the difference between the temperatures of the air and a river in Sydney

| Condition   | Number of occasions | Wind speed (km/h) | Cloudiness         | Temperature difference (K) |
|-------------|---------------------|-------------------|--------------------|----------------------------|
| With fog    | 9                   | Less than 5       | Almost cloudless   | 7-10                       |
| Without fog | 5                   | About 5           | Over half overcast | 1.5-4                      |

and idling airplane engines there can quickly cause the plane to be enveloped in ice fog. Ice fog may deposit on the upwind side of solid surfaces as *hoar frost*, a layer of ice crystals in the form of needles, scales, plates, etc.

7 *Smoke fog* or *smog* is characteristic of polluted cities in damp climates. It occurs when a low-level inversion traps surface air pollution, along with considerable water vapour. The droplets are so tiny that the fog may appear

thick even though its water content is small (Note 8.G). The fog may be highly acidic.

The annual number of days with fog varies around the globe (**Figure 8.7**). In general, there are fewer in the southern hemisphere than the northern, though over eighty each year at spots on the south-west coasts of Africa and South America, where sea-surface temperatures are low (Chapter 11). Fogs occur on more than forty days each year, between



Figure 8.7 The average number of days each year that fog reduces visibility below a kilometre, some time during the day.



20–30°S along the coasts of Chile and western South Africa, but not on the west of Australia or New Zealand.

Fogs are uncommon in Australian cities. Canberra is the foggiest capital city, with around forty-six annually, followed by Brisbane (22), Melbourne (20), Sydney (17) and Perth (8). There has been a striking reduction in the number of fogs near Sydney, from thirty-one annually during 1931–5, to only five in the period 1976–80.

The dispersal of fog is either by stirring by the wind, or the arrival of drier air or the Sun heating the ground. It can endure if shielded from the Sun by cloud.

Having thus considered cloud near the ground, we proceed to discuss the kinds of stratiform and cumuliform clouds.

## 8.5 STRATIFORM CLOUDS

A *stratus* cloud (St) may extend horizontally for hundreds of kilometres, but be only 50–500 m thick, with little tendency for vertical growth because the cloud forms by slow uplift within *stable* air (Table 8.2). The top of the cloud is typically surmounted by an inversion due to radiation cooling of the top of the cloud. Such cloud prevents sunshine reaching the ground in winter at high latitudes, when the Sun is low (and therefore the cloud's albedo particularly high—see Section 2.5), and we describe the sky as 'leaden'.

Stratus sometimes results from mixing in the planetary boundary layer (PBL). This cools the top of the layer to about 10 K below screen temperature if it is 1,000 m thick, for instance. If the cooling reaches dewpoint, a thin layer of stratus forms just below the PBL inversion (Section 7.6). Such stratus forms over the cold water of the Humboldt current (Chapter 11) on the west of South America, where the subsidence/ PBL inversion is only 300–500 m high, and then it may be blown about 50 km inland to the edge of the Andes. The stability of

the onshore wind means that the coastal strip receives almost no rain (Chapter 16), yet plants at around 400 m altitude thrive on water they intercept from the cloud.

*Altostratus* (As) appears as a grey-bluish, striated or fibrous veil, blurring the Sun. It is stratus of the middle troposphere (Table 8.2, Figure 8.4, **Figure 8.8**). Another reason for altostratus is the spreading and decaying of the anvil from a medium-size cumulonimbus (Section 8.6).

*Nimbostratus* (Ns) is a large layer of low-level cloud, but precipitating rain, unlike stratus. The rain is usually continuous but light, because the atmosphere is stably stratified and the cloud arises from only gradual lifting. Such cloud can result from orographic uplift; Figure 8.8 shows a stable moist airstream forming a cap of nimbostratus with higher layers of the atmosphere raised to make altostratus.

## 8.6 CUMULIFORM CLOUDS

*Cumulus* cloud is the opposite of stratus in several ways, being isolated and vertical rather than horizontal and extensive, and it forms more rapidly. The flat base of cumulus at the condensation level differs completely from its cauliflower-like sides, where turrets thrust upwards and then unfold to spill sideways and down. Buoyant parcels of air within the cloud bulge outward, then entrain air from the environment so that the surface of each parcel expands and becomes cooler by mixing with the enveloped air and by evaporation of cloud droplets into it. This leads to *negative buoyancy* (i.e. air *heavier* than the surroundings) and consequently a downdraught within the cloud, maybe continuing to the surface.

There is a range of size, from small fair-weather cumulus to cumulo-nimbus clouds. Cumuliform clouds can grow to a height of 17 km near the equator. All cumulus has a well-defined appearance, with plenty of surface detail where they are composed of water

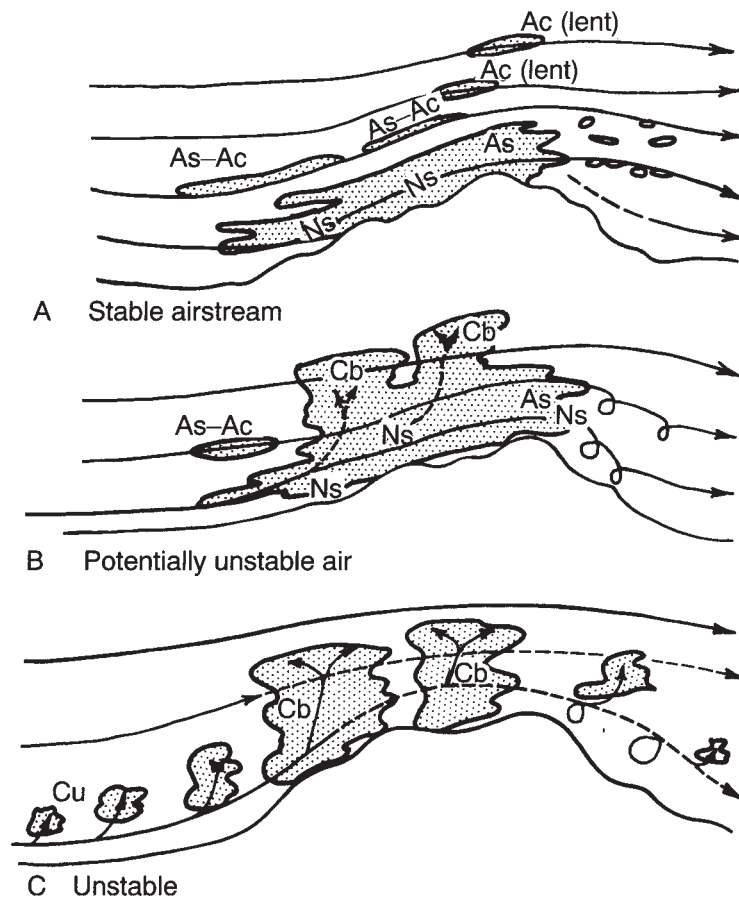


Figure 8.8 The effect of atmospheric stability on the kinds of cloud forming over rising land.

droplets. But the highest parts of tall cumulus seem diffuse and fibrous, because the cloud there is *glaciated*, consisting of ice crystals. The common, essential feature of all cumulus clouds is brisk internal convection, due to instability within a considerable depth of the atmosphere.

### Fair-weather Cumulus (Cu)

These are 'cotton-wool' clouds occurring in a clear sky in the daytime, each fluffy cloud sitting

on top of an invisible thermal. Such cumulus is formed at the convection condensation level (Figure 8.1), generally between 500 m (over the sea) and 4 km (over dry land). A fair-weather cumulus cloud appears more substantial than altocumulus or altostratus, because it is lower and therefore warmer, so that saturated air contains more water (Table 8.3).

Fair-weather cumulus clouds usually arise in calm conditions, and then are temporarily anchored to the warm spots generating their thermals. The number and size of the clouds increase in the course of two or three hours,

until further solar heating of the ground is prevented by the clouds themselves—another case of negative feedback. Individual clouds generally last 10–30 minutes, persisting only if continually nourished by strong daytime thermals. They disappear by subsidence and evaporation, by mixing with the surrounding dry air, or by spreading out to form stratocumulus.

Sometimes the thermal needed to create a fair-weather cumulus cloud is generated by a surface fire. A large fire in a timber yard in Melbourne in 1976 resulted in a cumulus with cloud base at 1.6 km and cloud top at 3.5 km. Heat from a power-station can work the same way.

### Stratocumulus (Sc)

This is an extensive kind of *low-level* cloud illustrated in Figure 8.4. It is a common cloud, arising in several ways, including the disruption of stratus by either internal convection due to cooling of the top (Figure 8.5), or by turbulence due to irregularity of the land surface. Alternatively, Sc may form from the spreading out of cumulus either beneath an inversion, or at the end of the day when convection has stopped (i.e. *Sc vespertalis*).

### Alto cumulus (Ac)

Alto cumulus is extensive cloud at *mid-level* in the troposphere, whose lumpy appearance shows the convection within it (Table 8.2). It can take many forms, but is usually a layer of flattened globules of cloud, in groups, waves or lines, often less than 100 metres thick.

One type of alto cumulus consists of the wave clouds discussed in Section 8.1. There are also *billow clouds*, which form a ribbed pattern and occur where the air at different altitudes is moving at different speeds. A layer of air becomes rucked up into a wave-like shape and the ridges of the

waves are high enough to reach dewpoint, so that they fill with billow clouds. The exact form of the billows is influenced by convection and the overall pattern of wind. The billows move with the wind, unlike wave clouds, which are anchored to the hills that cause them.

Other ways of forming alto cumulus are as follows:

- 1 The release of any potential instability within altostratus by slow uplift breaks the layer into a series of turrets. Afternoon thunderstorms are possible if this kind (called *Ac castellanus*) occurs in the morning, though rain from Ac usually evaporates before reaching the ground.
- 2 Radiative cooling of the top of a thick stratus cloud in the tropics may break up the flat top into a series of turrets. This tends to happen during the late afternoon.
- 3 Some ice crystals may form in altostratus (As) which consists of supercooled water (Section 6.2), triggering widespread glaciation throughout the cloud (Chapter 9). The consequent release of latent heat of freezing (Section 4.1) causes convection, which extends the As vertically into alto cumulus.

### Cumulonimbus (Cb)

This is the largest kind of cloud, like an icecream castle or a vast cauliflower, capped by a sideways extension, the *anvil*. The usual great extent of the cloud's growth is caused by deep convective instability within most of the troposphere (Section 7.4). A cumulonimbus cloud is typically higher than 5 km, and often reaches the tropopause, helping define it. The depth of the cloud increases its albedo, so that it has a blinding whiteness from above and appears very dark from below.

There are vigorous *updraughts* within each cloud, with a speed which is typically around 5 m/s but can be over 15 m/s, implying travel from cloud base to cloud top in less than 15 minutes.

In the extreme, there have been updraughts of 60 m/s, surrounded by downdraughts of 35 m/s. The commotion leads to thunderstorms, lightning and rain (Chapters 9 and 10).

### Patterns of Cumulus Clouds

Distinct patterns of shallow cumulus clouds can sometimes be seen from the distance of a satellite. The clouds may form rows called *cloud streets* when winds are much the same at various heights and there is an unstable layer under a stable layer, e.g. when polar air blows over a warm ocean (Section 7.4). The rows of cloud are parallel to the wind and perhaps hundreds of kilometres long, with a distance between adjacent rows equal to about five times the depth of the convective layer. A glider pilot can fly a long way in the updraughts just below a cloud street.

Other patterns resemble a honeycomb, with numerous *open cells* or *closed cells*, each with a diameter of 50–1,600 km. An open cell involves subsidence in the middle surrounded by gently ascending air, whereas it is the other way round in a closed cell.

## 8.7 HIGH CLOUDS

The highest clouds of all are rare and occur in the mesosphere at about 70–80 km elevation (Section 1.8). They are mainly seen in summer at latitudes above 50°, and are called *noctilucent* because they can be briefly observed with the naked eye only in the evening, when the Sun has just set at ground level but still shines on these high thin veils. They consist of extra-terrestrial dust coated with ice.

Almost equally unusual and tenuous are the *nacreous* (or mother-of-pearl) clouds which form in the lower stratosphere, up to 30 km from the ground. The clouds are due to occasional insertions of ice crystals and aerosols

from the troposphere below, which can happen through either volcanic eruptions or extraordinarily strong thunderstorms overshooting the tropopause.

### Cirrus (Ci)

This is the main type of high cloud, occurring in the upper troposphere, mainly in the tropics and the mid-latitudes. The water content of cirrus clouds is small and the layers of long, fibrous filaments, streaks, plumes or tufts (Figure 8.4) consist entirely of ice crystals because of the low temperatures at the top of the troposphere. For instance, temperatures at 12 km in midlatitudes are typically about -55°C, which is well below the -40°C to which water can sometimes be supercooled. Growth of the crystals makes them settle out as *fall streaks*, long curved wisps of cloud. Large differences of wind speed or direction in adjacent air (i.e. strong *wind shear*) cause *hooked cirrus*, or ‘mares’ tails’.

Artificial cirrus is produced by the condensation trails (i.e. *contrails*) of high-flying aircraft, as ice crystals form from the exhaust water-vapour.

### Cirrostratus (Cs)

Cirrostratus is a high, uniform *layer* of cloud, so thin as to be no obstacle to sunlight. It can form when cirrus spreads and fuses, and the layer may thicken to 2–3 km in depth. More often it is produced by gradual ascent, maybe due to divergence at the tropopause, in the vicinity of a jet stream (Chapter 12). Or it may result from frontal uplift (Chapter 13).

### Cirrocumulus (Cc)

This is a thin dappled layer of small cumulus clouds, higher and more translucent than altocumulus.

## 8.8 OBSERVING CLOUDINESS

Clouds are observed regularly at weather stations. The extent to which the sky appears covered at the time of observation is expressed in terms of *oktas*, representing eighths of the sky seen by the observer. Thus 8 oktas of cloud represents a totally overcast sky (**Figure 8.9**). A cross is drawn through the cloud circle under foggy conditions. The inexperienced often underestimate cloudiness by ignoring the cirrus, or else they overestimate the amount of cumulus because it tends to cover much of the sky near the horizon. Preferably, separate estimates are made of the amounts of cloud in each of the three main layers of the troposphere—low, middle and high (Table 8.2).

Cloudiness above a weather station can be determined indirectly by measuring the duration of bright sunshine. As an example, if eight hours of sunshine were registered in a daytime of twelve hours, there would have been four hours

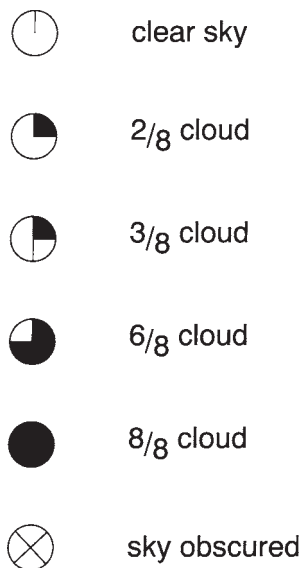


Figure 8.9 Symbols used to record the amount of cloud, in terms of the number of oktas.

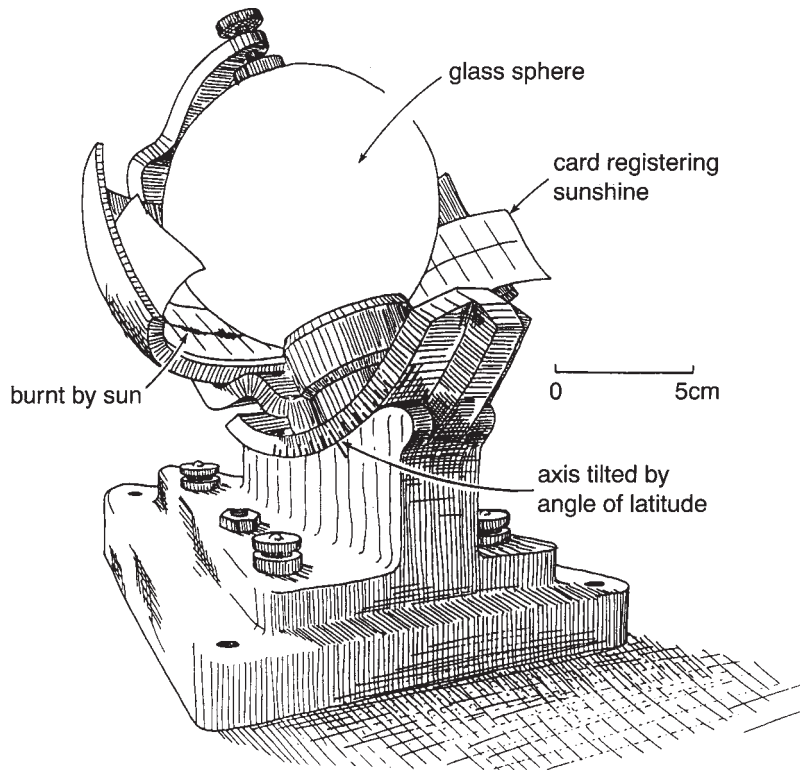
of cloud. The instrument used is often a *Campbell—Stokes bright-sunshine recorder* (**Figure 8.10**), which gives the fraction of time that cloud obscures one *place*. (A visual observation of cloud in terms of oktas gives the fraction of the sky's area obscured at one *time*.)

Observations of cloud are plotted on maps with the symbols shown in **Figure 8.11**.

## Satellite Observations

The wide separation of weather stations where clouds are observed, and their relative absence at sea (apart from ship observers), have been major problems, especially in the southern hemisphere where 81 per cent of the area is ocean. Fortunately, total coverage of the world is now provided by satellite observations, developed since the 1960s, notably from satellites described as *geostationary* (**Note 8.J**). These rotate above the equator at the same rate as the Earth, so they appear fixed. Currently there are five of them for meteorological observations, at longitudes 135°W, 75°W, zero, 60°E and 140°E, respectively. Pictures are taken each half-hour (**Figure 8.12**), recording differences over distances down to about 2.5 km—that distance is the *resolution* of the technique. Both visible radiation (0.5 μm) and infra-red (10 μm) pictures are taken. The former show clouds as particularly bright, and the latter detect longwave radiation from the Earth, i.e. the pattern of temperatures of the surfaces seen from space, notably cloud-top temperatures (Note 2.C). As a result, examination of the features of cloud pictures (or *nephanalysis*) yields the following information:

- the shape and size of cloudy areas;
- the spatial organisation of clouds (e.g. cloud streets or cell structures);
- cloud height, indicated partly by the shadows cast by high clouds and partly by the cloud-top temperature;



*Figure 8.10* A Campbell—Stokes recorder of the duration of a day's bright sunshine. The glass sphere has a diameter of about 100 mm and focuses sunlight onto a card. The focused light is intense enough to burn a hole in the card when there is no cloud in front of the Sun. The Sun moves across the sky, so the point of focus moves along the card, and the length of the resulting burnt slot shows the duration of bright sunshine.

- (d) the cloud type from albedo and cloud-top temperature information (**Figure 8.13**);
- (e) the movement and evolution of clouds from pictures at 30-minute intervals.

Changes of the position of identifiable clouds show wind speeds at cloud height.

Meteorologists have also used a succession of *orbiting* satellites, which pass over the Poles and encircle the Earth fourteen times a day at a slightly different longitude each time on account of the Earth's rotation. The first such satellite, TIROS 1 (i.e. Television and Infra-Red Observing Satellite), went into orbit in 1960. Orbiting

satellites have a finer resolution than geostationary satellites (typically 1 km, but sometimes 30 m), because they pass only 850 km above the ground. They also measure radiation in several parts of the electromagnetic spectrum (Figure 2.1), e.g. at wavelengths between visible ( $0.5 \mu\text{m}$ ) and infra-red ( $10 \mu\text{m}$ ), and in the microwave or radar region (with wavelengths of about 1 cm). These measurements together reveal more details about clouds, such as cloud density, liquid-water content, whether liquid or frozen, cloud-top texture (i.e. smoothness) and even precipitation.

|                                 |  |                   |  |
|---------------------------------|--|-------------------|--|
| Cyclonic vortex                 |  | Cumuliform        |  |
| Anticyclonic centre             |  | Strato-cumuliform |  |
| Comma-shaped cloud mass         |  | Stratiform        |  |
| Wave clouds                     |  | Cirriform         |  |
| Cloud line                      |  | Cirrus            |  |
| Striations                      |  | Cirrocumulus      |  |
| Direction of cirrus streakiness |  | Altostratus       |  |
| Estimated jet location          |  | Stratocumulus     |  |
| Bright cloud mass               |  | Cumulus           |  |
| Mist                            |  | Cirrostratus      |  |
| Shallow fog                     |  | Alto cumulus      |  |
| Ground fog                      |  | Stratus           |  |
| Fog                             |  | Nimbostratus      |  |
|                                 |  | Cumulonimbus      |  |

Figure 8.11 Symbols representing various kinds of clouds or cloud patterns, as identified from surface and satellite observations.

## 8.9 AMOUNTS OF CLOUD

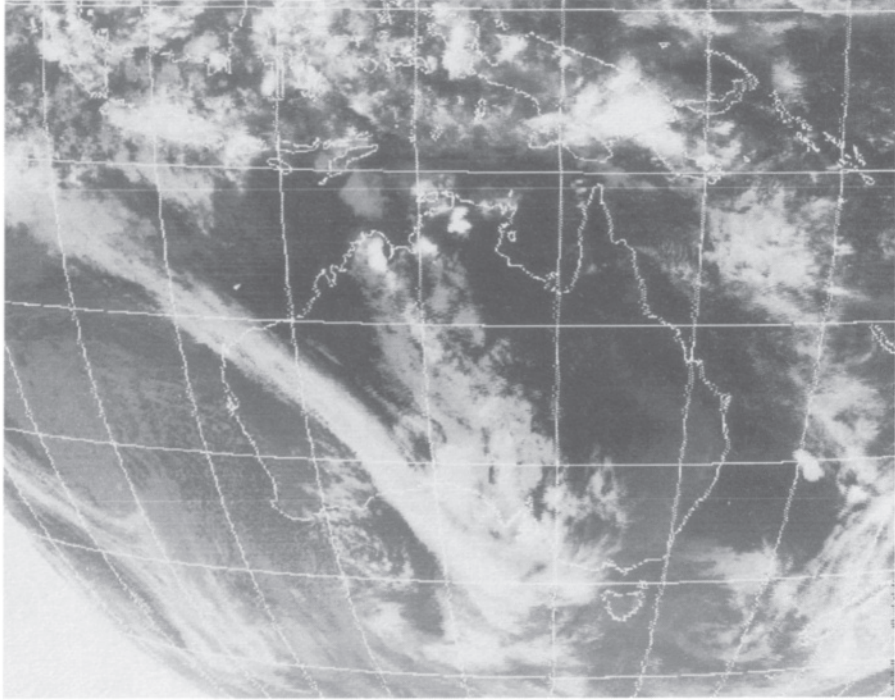
About 52 per cent of the globe is covered by cloud at any time, with a slightly higher fraction in the southern hemisphere where there is more ocean (**Figure 8.14**). On the whole, there is least cloud over North Africa, northern Australia and Antarctica, and most north of 60°N, over high-latitude oceans (especially at 60°S) and south-east Asia. There are persistent decks of stratocumulus over the cool oceans (Chapter 11) off the coasts of Namibia, northern Chile and Peru, especially in summer. On the other hand, high clouds are particularly common on the equator north and north-west of Australia. The South Pole is much less cloudy than the North, because the South Pole is higher, colder and further from the sea, so there is less

atmospheric moisture. In general, proximity to the sea and mountains increases cloudiness, though there is a decrease in some places right at the coast on account of reduced convection from a cool ocean surface.

Cloudiness varies with season. There is more (convective) cloud in summer than winter in most parts of the Americas and in southern Africa, but more (frontal) cloud in winter in much of Europe, for instance. The cloudiest regions in the southern hemisphere in *July* are near the equator, and there is a band of cloud around Antarctica at about 60°S, with least cloud at 15°S and the South Pole. In *January*, there is least cloud at 30°S.

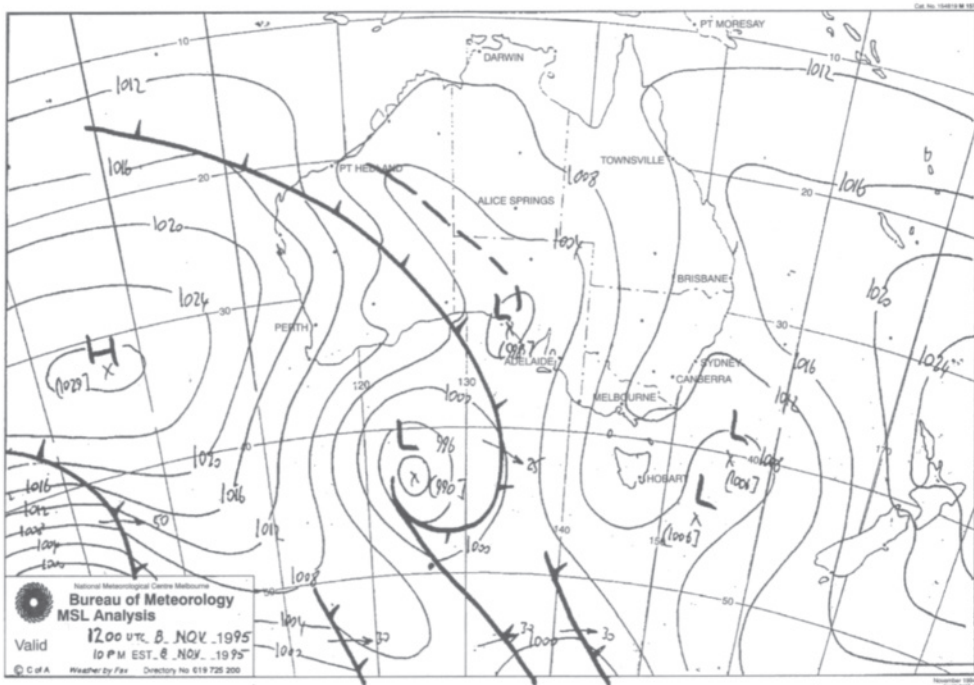
The chain of mountains along the west coast of the south island of New Zealand gives rise to the so-called 'long white cloud' that notably

Figure 8.12 Comparison of (a) the GMS cloud photograph at noon Greenwich Meridian Time (GMT) on 8 November 1995, and (b) the synoptic chart from ground measurements at the same time (from the Australian Bureau of Meteorology). The cold front across south-west Australia on the chart corresponds to the band of cloud in the photograph. Isolated convective cloud can be seen at low latitudes.



(a)

(b)





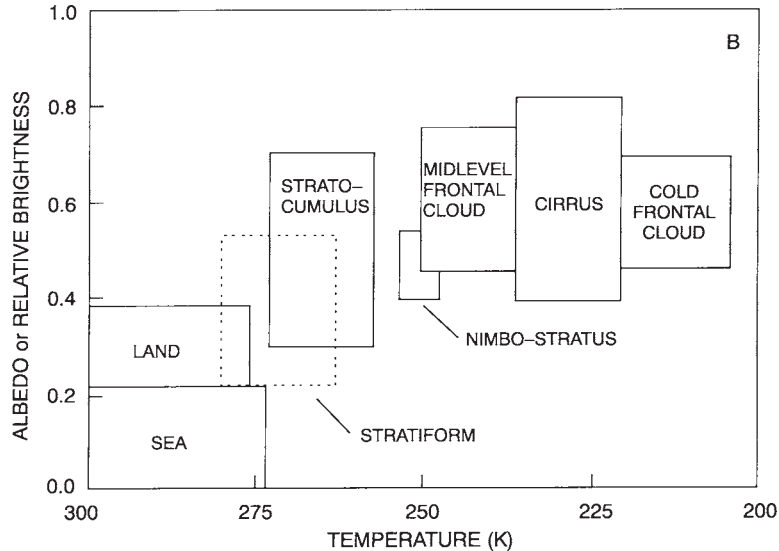


Figure 8.13 The ranges of cloud-top temperature and albedo of various kinds of cloud in the New Zealand region.

reduces the amount of bright sunshine there (**Figure 8.15**). However, central Australia is usually free of cloud. For instance, there are usually five months each year with over 10 hours of bright sunshine each day in Alice Springs and the annual average is 9.6 hours of bright sunshine daily, i.e. 80 per cent of the daylength of 12 hours. Even in west Tasmania (with less than 5 hours daily on average) the figure is more than the 4.2 for London, for example. **Figure 8.16** shows that there is a notable seasonal variation of sunshine in Canberra and Adelaide, due to both the variation of the length of day (Table 2.1) and a difference between amounts of frontal cloud in winter. The different curve for Darwin results from the monsoonal climate (Chapter 12).

It is now generally agreed that there has been a global increase of cloud since about 1950, possibly because of more chimney emissions of sulphates to nucleate cloud droplets (Section 8.2). There was 2.3 per cent more cloud in the northern hemisphere in 1981 than in 1952, and a 1.2 per cent increase in the southern

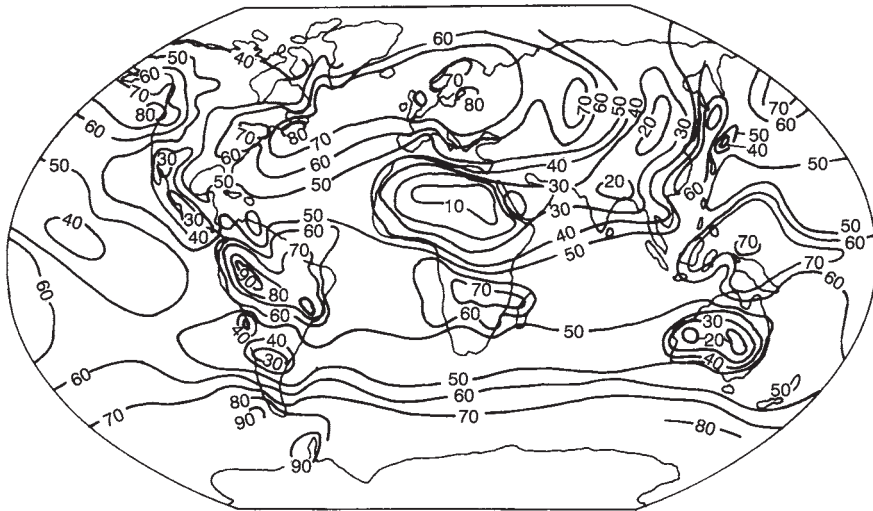
hemisphere, where there is less industrial pollution. The increase was mainly of altocumulus and altostratus.

## 8.10 EFFECTS OF CLOUDS

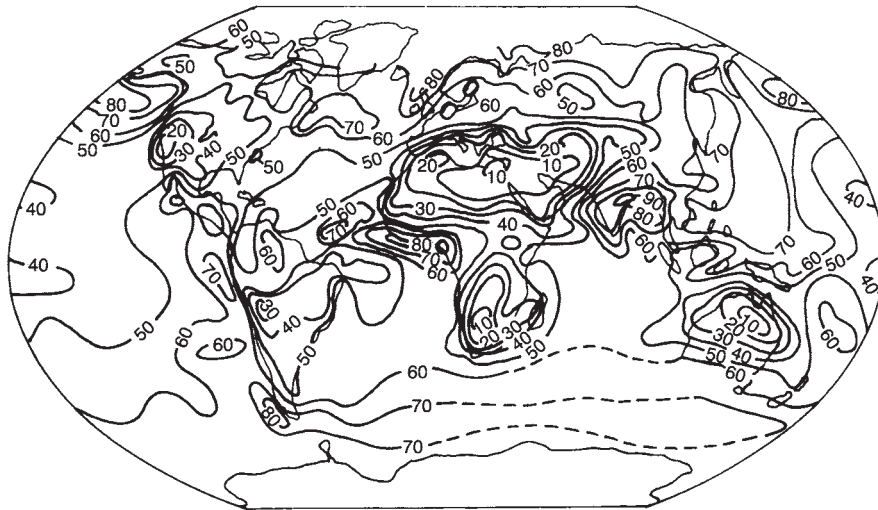
Clouds affect flows of radiation by reflecting some, absorbing part and transmitting the remainder (**Table 8.5**). The consequence of obscuring the Sun is that cloudiness is closely correlated with the solar irradiance of the ground (Section 2.3). **Figure 8.17** shows an example from Brazil, illustrating how a fairly simple determination of sunshine duration (Figure 8.10) yields estimates of the radiation, which is much more difficult to measure.

Clouds tend to warm the Earth by radiating sky radiation to the ground, and by preventing the loss of terrestrial radiation to space. Nevertheless, clouds cause cooling on the whole by reflecting solar radiation away (**Note 8.K**). In the absence of clouds, the current concentration of greenhouse gases (Section 1.3)

January



July



percentage cloudiness

Figure 8.14 Effect of season on cloudiness over the globe. The units are percentages, e.g. 40 means 4 tenths of cloud (i.e. 40 per cent of the sky is covered with cloud), or 3 oktas.

Table 8.5 Typical fractions of incident solar radiation either reflected, absorbed or transmitted by various kinds of cloud

| Cloud                        | Reflected | Absorbed | Transmitted |
|------------------------------|-----------|----------|-------------|
| Ns, Cb                       | 85        | –        | –           |
| fair-weather Cu, 450 m thick | 50        | 7        | 43          |
| St, 100 m thick              | 40        | 3        | 57          |
| St, 600 m thick              | 50        | 11       | 39          |
| Ci                           | 20        | 1        | 79          |

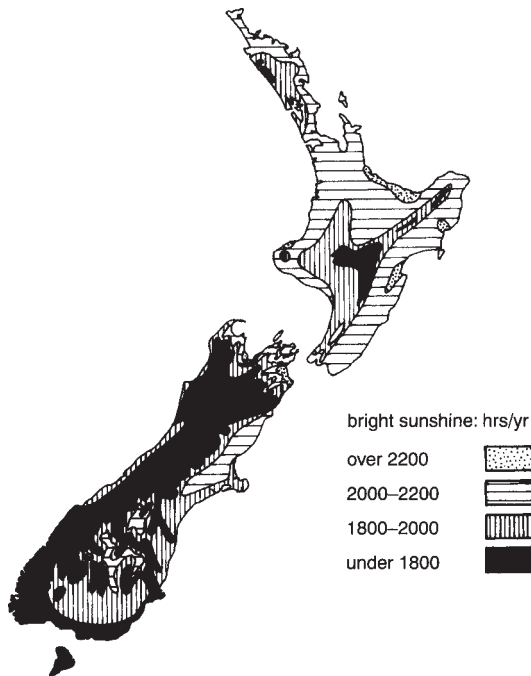


Figure 8.15 The annual mean number of hours of bright sunshine in New Zealand.

would make the Earth's surface temperature about 30°C on average, well above the observed average of about 15°C (Section 2.7).

In addition, the moisture which yields cloud tends to imply moist air at screen height also, i.e. a high surface dewpoint  $T_d$ , which approximates the daily minimum temperature (Section 6.2). Cloud also reduces the daily maximum temperature  $T_x$ . As a result, it is associated with a low value of  $\{T_x - T_d\}$  as shown in **Figure 8.18**, and with a reduced daily range of temperature (Section 3.4).

The connection between cloud and rainfall is discussed in the next chapter.

## NOTES

- 8.A The formation of cloud by mixing
- 8.B The Lifting Condensation Level and the Convective Condensation Level
- 8.C Atmospheric density currents which create uplift
- 8.D Formation of cloud droplets
- 8.E The water content of clouds
- 8.F The evolution of cloud classification
- 8.G Motoring in fog
- 8.H Formation of advection fog
- 8.I Formation of steam fog
- 8.J Weather satellites
- 8.K Effect of clouds on global climate
- 8.L The Morning Glory

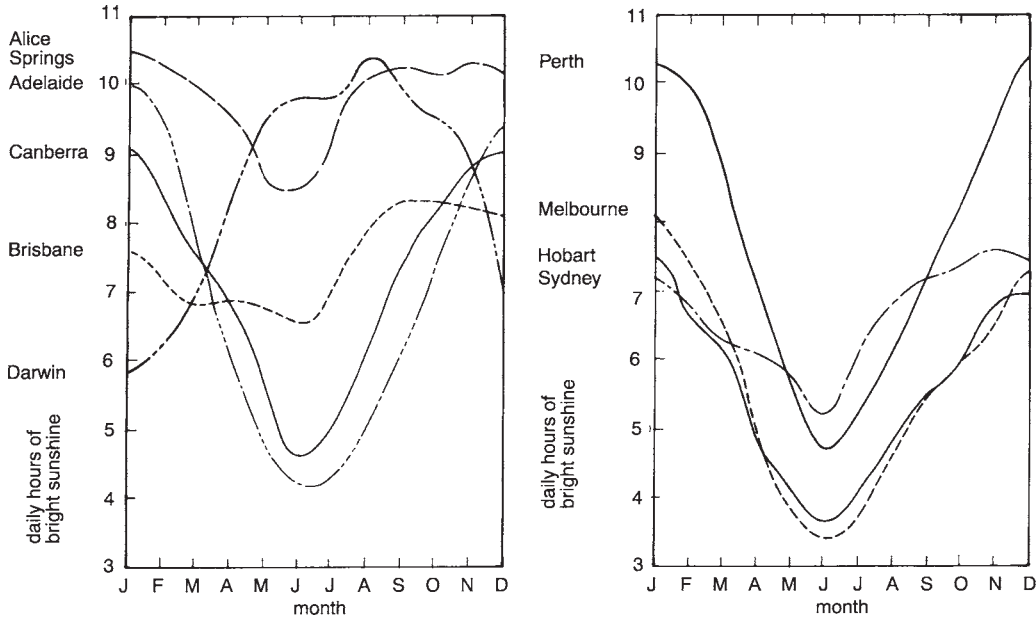


Figure 8.16 Seasonal variation in the daily duration of cloudlessness at several places in Australia.

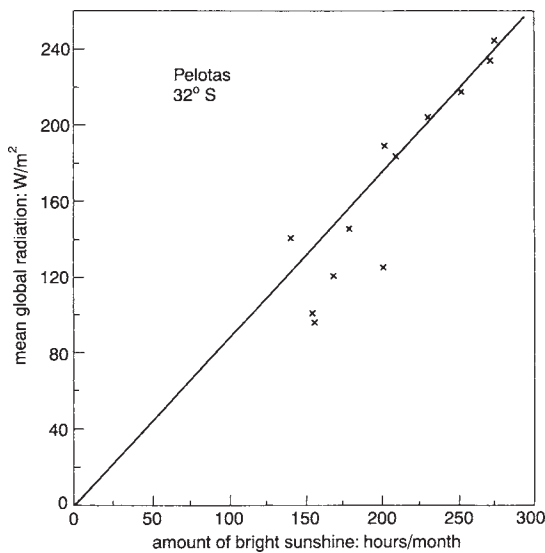


Figure 8.17. Effect of cloudiness (or, rather, its converse, the time when there is no cloud between the Sun and the observer) on the solar insolation of the ground at Pelotas, at 32°S in Brazil.

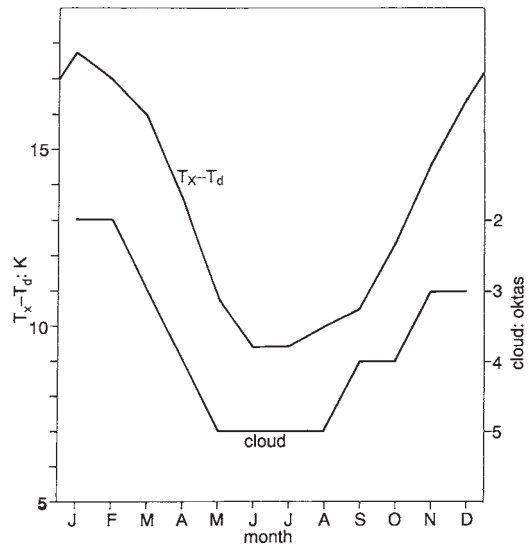


Figure 8.18. Association between the difference of the daily maximum dry-bulb temperature  $T_x$  from the dewpoint temperature  $T_d$ , and the cloudiness at Perth. The dewpoint values were measured at 9 a.m., before sea breezes bring marine air to the weather station. The cloudiness refers to 3 p.m. conditions, when convection is greatest. The data are monthly mean values averaged over 1947–92.

## CLOUD PROCESSES

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### 9.1 CLOUDS AND RAIN

This chapter links cloud, discussed in the previous chapter, with rainfall, considered in the next. Some correlation between cloud and rainfall is shown by **Figure 9.1**, with a weaker association in southern latitudes than northern. One reason is that areas between 15–30°S are frequently covered by stratus clouds which produce no rain. But there is a fair connection between cloud and rain in Australia (**Figure 9.2, Note 9.A**).

The various kinds of rainfall can be classified in various ways. For instance, according to either (i) the intensity of precipitation, (ii) the intensity of uplift, or (iii) the mechanism of cloud formation. As regards the first, we distinguish rain showers (which come from cumulus clouds) from drizzle (which involves rain droplets as small as 0.1 mm (**Table 9.1**) and comes from nimbostratus low in the sky). Drizzle occurs when surface relative humidities are high, i.e. the difference between air and dewpoint temperatures is 2 K or less. This is most likely at night, in the early morning or in winter. Usually there is a good breeze during drizzle, creating

the turbulence which lifts surface air to dewpoint temperature, to replenish the cloud. Also there may be gentle uplift by hills or a weak front in the vicinity.

As regards classification in terms of the intensity of uplift, there are ‘convective’ and ‘stratiform’ rainfalls. *Convective rainfall* involves a vertical velocity maintained at 1 m/s or more, unlike *stratiform rainfall*, which is lighter because updraughts within stratus clouds are much weaker (**Table 8.1, Note 9.B**). Convective rain occurs when the atmosphere becomes unstable (Section 7.3) and is predominant in low latitudes, and in midlatitudes during the summer. It includes rain from thunderstorms, caused by the isolated convection of huge volumes of moist air over ground heated by the Sun (Section 9.5). In addition, convective rainfall may be caused by the passage of cold moist air over a warm surface, which happens off the coast of New South Wales when cold air from high latitudes flows over the warm East Australian Current (Section 7.4; Chapter 11). Convective rain is almost always accompanied by stratiform rain in the final stage of a thunderstorm (Section 9.5).

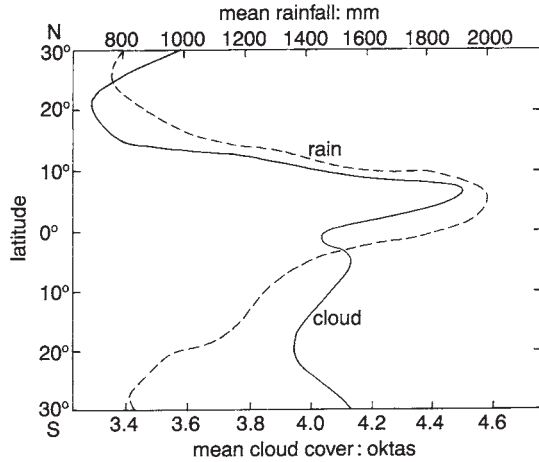


Figure 9.1 The association between annual mean cloudiness and rainfall between 30°N and 30°S.

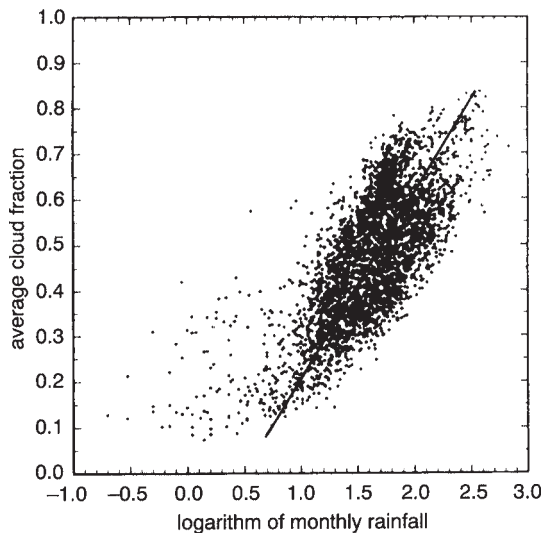


Figure 9.2 The association of monthly mean cloudiness (as a fraction of unity) and the logarithm of the monthly total rainfall at 263 places in Australia from more than twenty years of data.

Alternatively, rainfalls can be classified in terms of the mechanism of uplift. Thus there is orographic, frontal and convergence rainfall. *Orographic rain* comes from stratus or

stratocumulus created by hills, as when onshore winds rise over high ground at the coast (Figure 8.8). Rainfall from the consequent stratiform clouds occurs at about the rate of condensation, and so there is drizzle. This can continue for days on end, as long as the onshore wind persists (Note 9.B).

The term *frontal rain* is applied to midlatitude precipitation associated with the uplift occurring when a mass of cold air wedges under a warmer air mass (Chapter 13). It is sometimes called *cyclonic rainfall*, though it has no connection with low-latitude *tropical* cyclones discussed in Chapter 13. This rainfall is usually stratiform.

*Convergence rainfall* occurs, for instance, when wind is funnelled into a narrowing valley, or land breezes (Chapter 14) converge onto a large lake at night, e.g. Lake Victoria in East Africa. Convergence rainfall is usually convective. It takes place on a large scale near the equator, due to the confluence of air from the two hemispheres (Chapter 12). This happens near Darwin in the summer, producing copious rain (Chapter 10). A further kind of convergence rainfall results from tropical cyclones (Chapter 13) and is of particularly high intensity, often responsible for record measurements. For instance, a tropical cyclone near Broome in Western Australia caused a 24-hour rainfall of 351 mm on 18–19 January 1974, with an intensity reaching 122 mm/h.

A last type of rain is *virga*. This is the name of visible fallstreaks of rain from cloud, failing to reach ground level because the cloud base is too high and the atmosphere beneath so dry that all the rain evaporates before reaching the surface. Usually, less than 10 per cent of the rain from an isolated thunderstorm in the desert reaches the ground, about 30 per cent with large areas of convective cloud in hot and humid climes, but over 70 per cent in the case of stratiform rainfall at middle and high latitudes. The percentage is more on high land, which is nearer the cloud base.

Table 9.1 Typical properties of cloud droplets, raindrops, rainfall and hail

|              | Diameter (mm)<br>$d^*$ | Number of<br>drops/ $m^3$<br>$N\dagger$ | Terminal velocity<br>(m/s)<br>$V^*$ | Mass of drops<br>( $g/m^3$ )<br>$M^*$ | Deposition rate<br>(mm/h)<br>$P\dagger$ |
|--------------|------------------------|---|-------------------------------------|---------------------------------------|---|
| Cloud/fog    | 0.01                   | $10^7$ §                                | 0.003                               | 0.006                                 | 0.00006                                 |
| Drizzle      | 0.2                    | $2 \times 10^5$                         | 0.75                                | 0.09                                  | 0.24                                    |
| Typical rain | 1¶                     | 600                                     | 4                                   | 0.3                                   | 4                                       |
| Downpour     | 3                      | 300                                     | 8                                   | 4                                     | 115                                     |
| Small hail   | 5                      | –                                       | 5                                   | –                                     | –                                       |
| Large hail   | 20                     | –                                       | 16                                  | –                                     | –                                       |

\* This is from Parker (1980:373), Zachar (1982:215) and McIlveen (1992:149). However, a wide range of cloud-droplet concentrations occurs. For instance,  $1 \text{ g/m}^3$  has been measured in cumulus cloud (Table 8.3).

† That is,  $2,000 \cdot M/d^3$ , where  $d^3/2,000$  is the weight (grams) of each drop.

‡ That is,  $3.6 \cdot M \cdot V$ .

§ Hence the separation of adjacent droplets is about 5 mm, i.e. the cube root of  $\{10^9/10^7\}$ , there being  $10^9$  cubic millimetres in a cubic metre. This is about 500 times their own diameter, so spontaneous collisions between cloud droplets are rare.

|| There is a convention that a cloud droplet has a diameter below 0.1 mm; drizzle between 0.1–0.5 mm; and a rain drop over 0.5 mm.

¶ This implies that about a million (i.e.  $(1/0.01)^3$ ) cloud droplets need to collide with each other before they become a typical raindrop.

## Surface Conditions

It is notable that surface conditions are almost irrelevant to forming rain locally. For instance, increased evaporation from Lake Eyre (in South Australia) when it was full during the period 1950–2 did not increase the rainfall there in those years; in fact, precipitation was unusually low. Likewise, deforestation is likely to affect the local rainfall only near the equator where evaporation and rainfall rates are high and winds light (Chapter 10).

## 9.2 FORMING RAINDROPS

Precipitation starts when there are drops heavy enough to have a *terminal velocity* downwards which is greater than the cloud's updraught. The 'terminal velocity' is the speed a body falling under gravity eventually attains in still air, and it depends on the size of a drop, being faster for a larger one (Table 9.1). Therefore larger drops are needed for rainfall to occur where the

updraught is greater, as in a convective cloud (Table 8.1). In the case of normal cloud droplets, the terminal velocity is practically zero because of their size, and rainfall depends on their amalgamation into raindrops perhaps a million times as big.

The amalgamation is not easy; it is much harder to form raindrops than to create cloud droplets. The latter arise as soon as the Relative Humidity reaches 100 per cent (i.e. when the air is cooled to the dewpoint temperature—Section 6.2), the droplets growing on cloud condensation nuclei (CCN) which are amply available in even the cleanest air (Note 8.D). On the other hand, amalgamation to form raindrops does not occur spontaneously, because collisions between droplets are infrequent as the spacing between them is typically 500 times their diameter (Section 8.2 and Table 9.1). An alternative to collision as a way of forming raindrops is condensation from the atmosphere onto individual cloud droplets, but this takes hours. The time needed means that such precipitation comes only from clouds

that contain sustained (not turbulent) uplift (Section 8.1).

Different processes of droplet amalgamation occur within *warm clouds* (whose tops are at temperatures above freezing), and *cold clouds*, which extend higher than the freezing level. That level depends on the season and weather, but is typically 2 km at midlatitudes and 4 km between the Tropics (Section 1.8).

### Raindrop Growth in Warm Clouds

Raindrops develop in warm clouds through collisions of larger with smaller cloud droplets. The process is sometimes called *accretion* or *collection*. It works best when some droplets are much larger than the others, as in marine clouds. Then the larger droplets or drops fall onto the smaller and collect them, whereas drops of equal size have the same terminal velocity as they fall, so that few overtake and collide with others, and, if they do collide, they bounce off rather than coalesce. In any case, very small droplets cannot be collected easily because they tend to dodge away.

The slow agglomeration of droplets by collection in warm clouds means that they usually yield only light rainfall, despite there being more liquid in warm clouds than in clouds at higher levels (Table 8.3). Warm clouds yield heavy rainfalls only when there is a sustained strong updraught, as on coastal hills in the tropics facing prevailing onshore winds (e.g. north-eastern Queensland).

### Raindrop Growth in Cold Clouds

Cold clouds have tops which are colder than freezing, but often consist of liquid water drops, not ice, even though temperatures are between 0°C and -38°C. In that case, the droplets are described as *supercooled*, remaining liquid for want of suitable solid particles on which ice can

start. Such particles are called *ice nuclei*, and have a crystal structure like that of ice. They may consist of dust particles, typically between 0.1 µm and several microns in size. Certain clay minerals are particularly effective. Or the ice nuclei may be bacteria or fungi released by plants, soil and the open sea. (Such biological nucleation is also involved in forming frost—Section 3.6). Few ice nuclei are active in creating crystals above -4°C, but at lower temperatures the supercooled droplets become increasingly indifferent to the kind of nuclei on which they will freeze, so the number acceptable increases tenfold for each 4 K of cooling. Various kinds of ice nuclei require cooling to different *activation temperatures* to become effective, e.g. -3°C for bacteria from leaf mould but around -10°C for many clay particles. At temperatures below -38°C, crystals form automatically without the need of any nuclei at all. This is called *spontaneous nucleation*, where the natural tendency towards freezing is so great that it overcomes the need of preliminary infection by a foreign body.

The best nuclei are ice crystals themselves, so freezing of a few supercooled droplets leads to rapid freezing of those nearby. This process is known as *glaciation*. It occurs in three ways—either because of some larger droplets (which have more chance of containing an ice nucleus), or through *natural seeding*, whereby ice crystals from a high cloud fall into a lower supercooled cloud, as is common near warm fronts (Chapter 13). Or, thirdly, glaciation may occur by *ice multiplication*, often by the splintering of ice crystals. For instance, a freezing supercooled droplet may eject tiny droplets which solidify instantaneously. This occurs less in continental air, where cloud droplets are small on account of numerous cloud condensation nuclei.

The fact that supercooled cloud droplets often occur in nature shows that ice nuclei are scarce, unlike cloud condensation nuclei (Section 8.2). The availability of useful ice nuclei varies greatly with time and location. Air subsiding from the clean upper troposphere has very few nuclei,



which may explain the regular occurrence of supercooled droplets near the top of stratiform clouds, whereas ice crystals are found lower down.

Raindrops are created relatively quickly in a cold cloud, because ice crystals develop rapidly amongst supercooled droplets by means of the *Bergeron—Findeisen process* (Note 9.C). The crystals grow as snowflakes, whose large surface facilitates collecting more ice crystals and droplets. In addition, the snowflakes become sticky as they descend near the freezing level, so they aggregate into large flakes, which melt into large raindrops, creating heavy rain. A study of a storm in Victoria showed a linear relationship between cloud-top temperature and the rainfall rate, e.g. no rain at 10°C but 0.45 mm/h at -15°C.

### Cloud Depth

Not only are suitable nuclei needed for raindrops to form, there must also be enough depth of cloud to ensure that falling drops collide with sufficient cloud droplets to grow to raindrop size. The necessary depth depends on the strength of the updraught; a speed of 1 m/s necessitates a cloud 1,500 m deep to allow time for drops to become heavy enough to descend against the rising air, but 5 m/s needs two or three times the depth. So a strong updraught postpones precipitation from a cloud, but means larger drops once rain starts.

### Drop Sizes

Raindrops at the ground are surprisingly uniform in size, i.e. within 0.5–4 mm in diameter. The reason is that smaller drops fall slowly and evaporate before they reach the ground, whilst drops larger than about 4 mm or so tend to distort into an unsteady umbrella-like shape and then break up as they fall.

However, drops differ in heavy downpours from those in light falls. They are around 3 mm

in diameter when the rate is 100 mm/h, but only 2 mm in 13 mm/h and 0.5 mm in drizzle. The relation between average drop size and rain rate is discussed further in Note 9.D.

### 9.3 CLOUD SEEDING

There have been several attempts to force clouds to unload their moisture onto parched lands. But these were unsuccessful until 1946, when Vincent Schaefer in the United States first demonstrated that artificially introducing nuclei into a cloud could stimulate rainfall. Initial experiments were encouraging and prompted research in several countries, including Australia (Note 9.E).

In the case of warm clouds, raindrop formation can be stimulated by introducing water-absorbing particles of sea-salt or ammonium nitrate with urea. The material is first ground to particles of about 3 µm. The resultant large droplets promote the accretion of raindrops.

Most success in cloud seeding has been achieved with convective cold clouds. Glaciation of the supercooled droplets can be achieved with particles of either 'dry ice' or silver iodide (Note 9.E). The most common method nowadays (in Australia, the USA and Israel) involves releasing silver iodide from burners on an airplane, flying either in the updraught below cumulus clouds or within stratus near the -10°C level. Ice crystals form in a suitable cloud within a few minutes, and rain may fall about twenty minutes later. The effect on convective clouds appears short-lived, but additional stratiform precipitation may continue for over an hour after seeding.

### Results

Experiments in Victoria indicated that frontal clouds are unsuitable. The dry north-west winds from inland, ahead of a cold front (Chapter 13), already contain too many nuclei in the form of dust particles, whilst the maritime winds behind

the front carry cool clouds whose ice crystals splinter, again leaving no shortage of nuclei. The only clouds there worth seeding are stratus (Section 8.5) associated with 'closed lows' (Chapter 13). Such stratiform clouds were found to occur on twenty-five days during a three-year study in the northern wheatbelt of Western Australia, enough to make the difference between a farmer's ruin and prosperity. Unfortunately, the occurrence of usable clouds is variable; there were fifty-eight days with cold clouds containing adequate water near Melbourne during May–October in 1991 and 1992, but merely fifteen in the previous two years.

It can be seen that there are several problems with cloud seeding, as follows:

- 1 Suitable clouds may be rare.
- 2 Seeding of unsuitable clouds actually *reduces* the rainfall (Note 9.E). If the number of active nuclei in a cloud is already high, adding more simply increases the number of drops that are formed, reducing their average size perhaps to the extent that they become too small to fall to the ground as raindrops.
- 3 It is hard to determine the benefit with absolute certainty; sophisticated statistics, with many repetitions of the experiment, are needed to discern any enhancement of rainfall (**Note 9.F**).
- 4 Seeding at the wrong stage of the growth of a raincloud may thwart its development. Latent heat is released suddenly when supercooled droplets are frozen by seeding, which intensifies the updraught. As a result, the cloud extends upwards to levels where the air is cold and therefore dry, causing the ice crystals to sublime away.
- 5 There is the complication of inadvertent cloud seeding by air pollution or the extra convection caused by urban heating; there are claims of a 40 per cent increase in summer rainfall at La Porte, downwind of Chicago, and an increase of 10–17 per cent is measured 5–25 km downwind of St Louis in Missouri (Chapter 10).
- 6 It appears that seeding is most effective where natural rainfall is high already, at least in Australia. There, it is carried out routinely only in Tasmania, already the wettest state.
- 7 A farmer downwind of cloud-seeding operations might claim damages if he lacks rain or is flooded by excess. Then the cloud-seeder has either to plead ineffectiveness or accept the blame.
- 8 Cloud seeding can yield only marginal results because it does not affect the factors basic to rainfall—atmospheric instability (Section 7.1), moisture content and low-level convergence.

### Benefits

Nevertheless, seeding can be worth while. The benefit—cost ratio of appropriate seeding in wheat areas may be 3–4, in terms of extra crop yield, and the financial benefit of the extra rainfall onto catchments of hydroelectric-power schemes in Tasmania is several times the cost of seeding. **Figure 9–3** shows an extra 20–40 per cent of rainfall in the targeted area.

Cloud seeding has been carried out for drought relief in New South Wales and for inducing rain to lower the bushfire hazard in Victoria. Also, cloud seeding has been tried in Russia for hail reduction (Section 9.8). In addition, it has been tried off Florida in experiments on defusing hurricanes; the hope is to induce precipitation and thus create stirring which can remove the atmospheric instability energising the hurricane. Unfortunately, the hail-reduction experiments proved unimpressive, and practical difficulties of experiments within the violence of hurricanes make it hard to demonstrate success there.

## 9.4 KINDS OF PRECIPITATION

Precipitation may occur in other ways, apart from rain. For instance, there are snow flakes with their prism-like or plate-like forms in beautiful

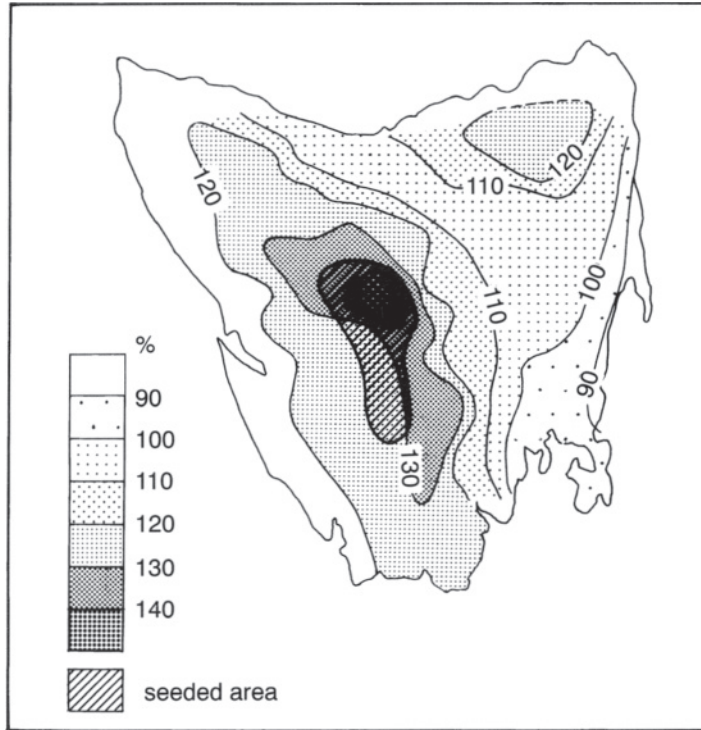


Figure 9.3 Rainfall in Tasmania during 103 months of seeding the shaded area, as a percentage of the long-term average rainfall at each point. The increase of rain in the north-east is attributed to silver iodide carried to the mountainous country there by south-west winds.

tree-like (i.e. 'dendritic') patterns of hollow columns, needles and hexagonal plates, according to the temperature at which they grow. The flakes fall at a speed of about 1 m/s, irrespective of their size or shape. A consequence of so low a terminal velocity, within horizontal winds which are much faster, is that snow is blown sideways as it descends, and then drifts in the wind, accumulating only in places of relative calm.

Descending snowflakes lose their crystalline appearance when they collect supercooled droplets, as the latter freeze immediately upon contact. This process is known as *riming*. Eventually the original flake structure may become invisible, and such heavily rimed snowflakes are known as *graupel*. Graupel falls

at 1–10 m/s, depending on the amount of riming. A hailstone is an extreme case of graupel (Section 9–8; Table 9.1).

Rain from cold clouds results from the melting of snow, but the raindrops may later become supercooled once more if there is an intense ground inversion, so that surface temperatures are below 0°C. Such rain freezes on contact with the ground, accumulating as ice on powerlines, trees, etc. The eventual weight of this ice may cause extensive damage. Fortunately, the problem of freezing rain occurs chiefly over land between 40 and 60 degrees of latitude in winter, and there is not much land at these latitudes in the southern hemisphere.

If a ground inversion is very strong and deep, then the falling rain may freeze in the air before

reaching the ground, and the resulting precipitation is called *sleet*. Sleet consists of balls of clear ice up to 4 mm in diameter (the maximum size of rain) and is distinct from true hail (Section 9.8).

The various kinds of precipitation are shown on weather charts by the symbols in **Figure 9.4**.

### 9.5 THUNDERSTORMS

These are events of convective rainfall and, of course, thunder. Aristophanes (450–385 BC), in his comedy *The Clouds*, said thunderstorms are caused by the banging together of clouds impregnated with rain, like vast sodden fleeces. Nowadays we know that thunderstorms are due to deep convection within cumulonimbus clouds, releasing static instability within at least 3 km depth of the atmosphere.

The likelihood of a thunderstorm depends on three factors:

- (a) moist air near the surface, e.g. a mixing ratio above 7 g/kg;
- (b) an unstable mid-troposphere, e.g. conditional instability created by hills, and
- (c) a triggering by surface heating or by confluence due to hills or a front, for instance.

#### Lifecycle of a Thunderstorm Cell

Once started, there is a sequence of events within each *cell* of the storm, each circulation of updraught and downdraught. For instance, the updraught might be started by daytime heating of the ground in summer, to yield fair-weather cumulus (Section 8.6). This grows upwards if the atmosphere is unstable. If it reaches the tropopause, the cell has an area of several square kilometres. This initial growth is called the ‘cumulus’ stage and lasts 10–15 minutes (**Figure 9.5**). The top of the cloud then glaciates and

|   |                      |
|---|----------------------|
|    | dew                  |
|    | rain                 |
|    | drizzle              |
|    | snow                 |
|    | sleet                |
|    | rain showers         |
|    | snow showers         |
|    | hail                 |
|    | small hail           |
|    | soft hail            |
|    | hail showers         |
|    | distant lightning    |
|    | thunderstorm         |
|  | rainbow              |
|  | hoar frost           |
|  | soft rime            |
|  | glazed frost         |
|  | snow lying           |
|  | granular snow        |
|  | drifting snow (high) |
|  | drifting snow (low)  |

Figure 9.4 Weather-map symbols for various kinds of precipitation.

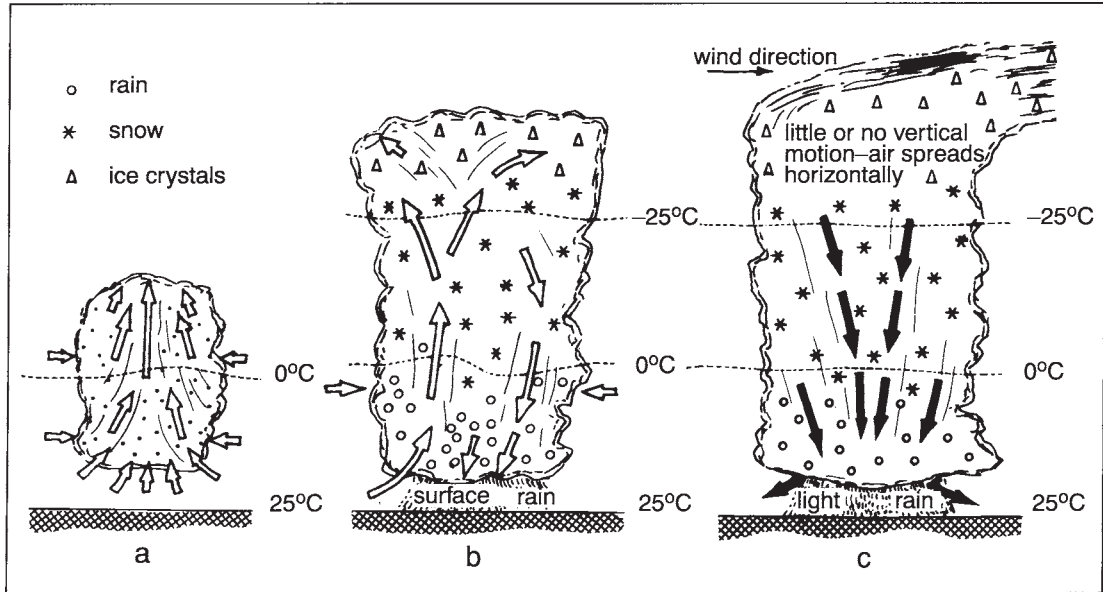


Figure 9.5 The evolution of a thunderstorm: (a) is the early cumulus stage, (b) the mature stage and (c) the dissipating stage.

spreads out to form an anvil (Figure 8.4), which heralds the 'mature' stage, lasting about 15–30 minutes. At that point, downdraughts develop under the weight of the water in the cloud, and there is heavy precipitation. Then comes the 'dissipation' stage, when downdraughts are dominant and the rainfall peters out. So the cell's static instability has been discharged, and all that is left is the cirrus cloud of the ice crystals in the anvil, which may take days to vanish. The energy released in an average summer thunderstorm is similar to that of a Nagasaki-size atomic bomb (Section 8.1).

### Grouping of Cells

Thunderstorm cells group themselves in four ways:

- 1 Some are randomly distributed, isolated cells called *air-mass thunderstorms* (or *heat*

*thunderstorms*), which each cover only a few square kilometres and last about an hour (Figure 9.5).

- 2 There are also clusters of cells, forming *multicell thunderstorms*, which result from downbursts. The airflow from the downburst in a cell often hits the ground as a *squall* of cold wind, whose forward boundary is called a *gust front* (Chapter 14). This pushes adjacent surface air upwards, triggering an updraught which initiates another cell, to discharge instability there. So there is a domino effect leading to a sequence of heavy showers across a region (Figure 9.6). Most multicell thunderstorms are loosely organised in a line. The youngest cells are usually at the north (equatorward) end of the line and involve vigorous convection, while stratiform precipitation from decaying cells predominates at the southern (i.e. poleward) end.
- 3 Cells triggered by their neighbours may be aligned in a *squall line*, a string of

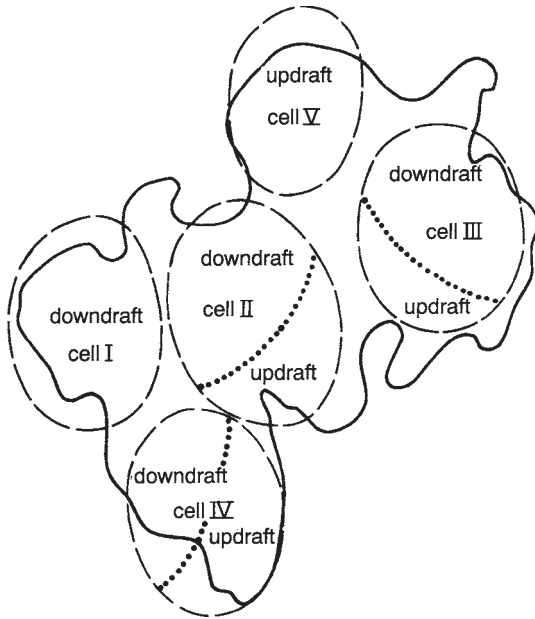


Figure 9.6 A multi-cell thunderstorm with five cells at this moment. The cells discharged their instability and provided rain in the order shown, the downdraught in one cell triggering an updraught in the next.

thunderstorms with a common, connected gust front. The line may be several hundreds of kilometres long. Squall lines in SE Australia are usually oriented either north to south or north-west to south-east, and move ahead of a cold front (Chapter 13) with speeds up to 30 m/s, causing strong wind gusts near the surface. Or squall lines may be caused by small boundary-layer disturbances. They can endure for more than a day if the troposphere is sufficiently unstable (i.e. a CAPE exceeding 2 kJ/kg—see Section 7.3 and Figure 7.6) and if also there is a difference of at least 20 m/s between winds at the surface and at 5 km altitude, respectively. Such storms can be sufficiently intense to induce tornadoes (Section 7.5), hail and gusts of strong wind. The squall line is often followed by a broad belt of stratiform clouds (Figure 9.7) which may yield as much rain as the squall line itself.

4 Finally, there are *mesoscale connective complexes (MCCs)*, which, unlike squall lines, are almost round masses of thunderstorms, with a common anvil cloud

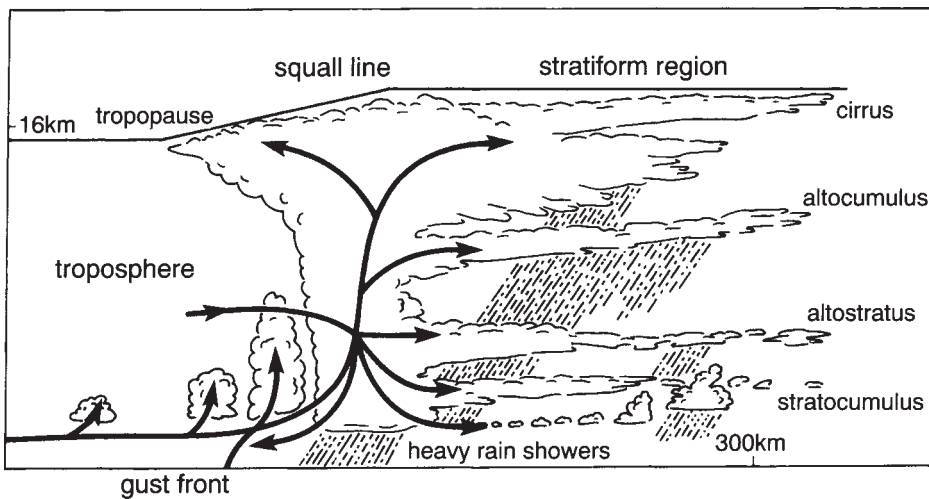


Figure 9.7 A storm in the Amazon valley. It is shown moving from right to left, with new cells forming at the leading edge. Heavy rain falls from the central 'convective line' and ice crystals are carried towards the rear, where they grow in stratiform clouds.

near the tropopause. By definition, the anvil of a MCC is at least 50,000 km<sup>2</sup> in area, has a temperature below -52°C and lasts for over six hours. However, a MCC may last for several days, producing heavy rain over 12–16 hours. They are uncommon: only

about twenty MCC's occur each year over Australia and the surrounding waters. They appear downwind of mountain ranges such as the Andes, i.e. to the east at midlatitudes but to the west at low (Figure 9.8), where dry easterly air coming over the mountains

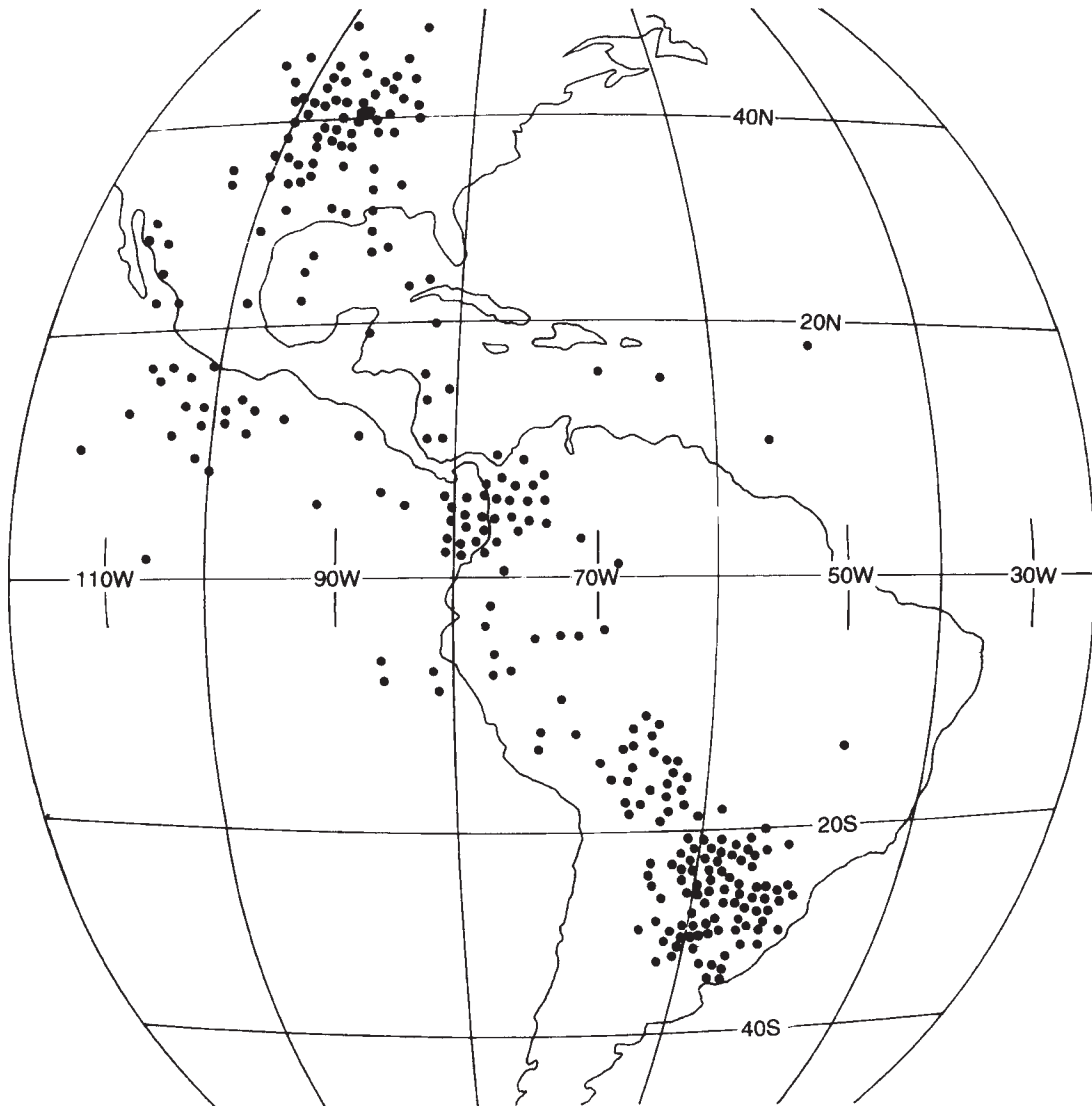
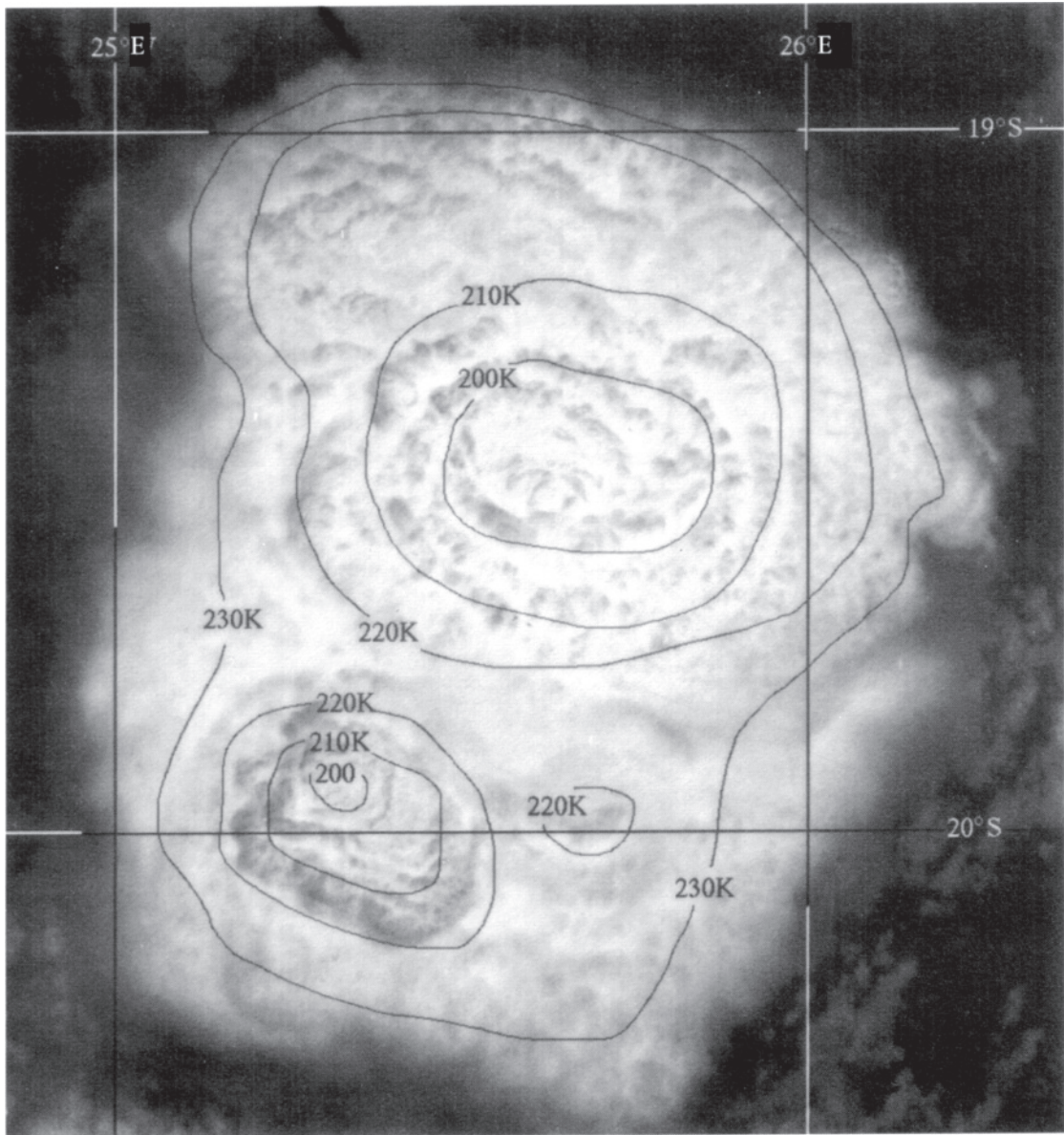


Figure 9.8 Distribution of some 'mesoscale convective complexes' about the Americas between 1983 and 1985.



*Plate 9.1* A developing mesoscale convective complex (MCC) over Botswana on 8 January 1984, seen from a satellite at 830 km. Isotherms of cloud-top temperature have been superimposed, derived from infra-red measurements taken at about the same time by the geostationary satellite Meteosat. Notice that the lowest temperature was about 193k (i.e. -80°C), showing that the cloud top was about 16 km high at the tropopause.



finds itself above warm moist air from the equator.

## Distribution

The location of thunderstorms can be determined in at least four ways—by direct observation, from radar echoes, in satellite images, or by lightning detection. Human observations are biased by the population density and are limited in range: thunder can be heard only within 16 km or so (Note 7.M) and lightning seen only at night within about 80 km, depending on the cloudiness (Section 9–6). The difference between these distances might explain why some forty-four days of lightning are recorded annually at Sydney but only twenty-nine of thunder. A similar difference is found at Brisbane (**Figure 9.9**).

The intensity of the echoes seen by radar is a measure of the rate of rainfall, so thunderstorms cause strong echoes within a range of about 300 km. **Figure 9.10** shows a wide area of rainfall, with a rapid movement of the centre of a storm over Sydney, comparable with Figure 9.6.

Geostationary satellites (Section 8.8) can view a whole hemisphere at once and show the progress of entire storm systems. Infra-red photographs show the tops of thunder clouds

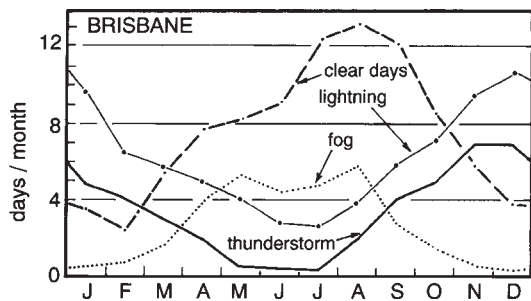


Figure 9.9 The connection between the frequencies of thunder and lightning at Brisbane at various times of the year.

as particularly cold spots because of their elevation.

Finally, networks of radio receivers for detecting lightning can produce detailed maps of lighting flashes over a large area. Each receiver can detect radio ‘noise’ from a thunderstorm up to about 1,000 km away. This noise, called *atmospherics* (or ‘spherics’), is due to radio waves (Figure 2.1) generated by the lightning.

The global distribution is shown in **Figure 9.11**. They are most common within 30 degrees of the equator. For instance, there are 225 thunderdays annually at some places on Java. Also, there are regions with 100–180 thunderstorms each year in the high land of northern South America, and many places in central Africa, e.g. 240/a at Kampala on the equator. There are few in arid areas, e.g. less than 10/a in the south-west corner of Africa (**Figure 9.12**), though these few supply most of what rainfall there is. There are between 5–20 annually at places in New Zealand (**Figure 9.13**), mostly among the mountains on the west coast of the South Island.

Mountains increase the chance of thunderstorms by enhancing atmospheric instability (Section 7.3). So most storms in South Africa occur over the mountains of Lesotho in the south-east. On the other hand, thunderstorms are less common over the Andes between 10–30°S than over the adjacent Amazonian low-lands, because the chain of high mountains blocks the flow of low-level moisture from the east.

Thunderstorms are generally less common over the sea, though more than forty occur each year over the Atlantic east of Uruguay. Shipboard records show that thunderstorms at sea occur mostly at 1,000–3,000 km from the tropical and subtropical land masses.

Their frequency in Australia is shown in Figure 9.11. There are relatively few in the south and away from the coast. In Sydney, on the east coast, they occur on about thirty days each year, and the coastal area of New South Wales regularly experiences squall-line thunderstorms

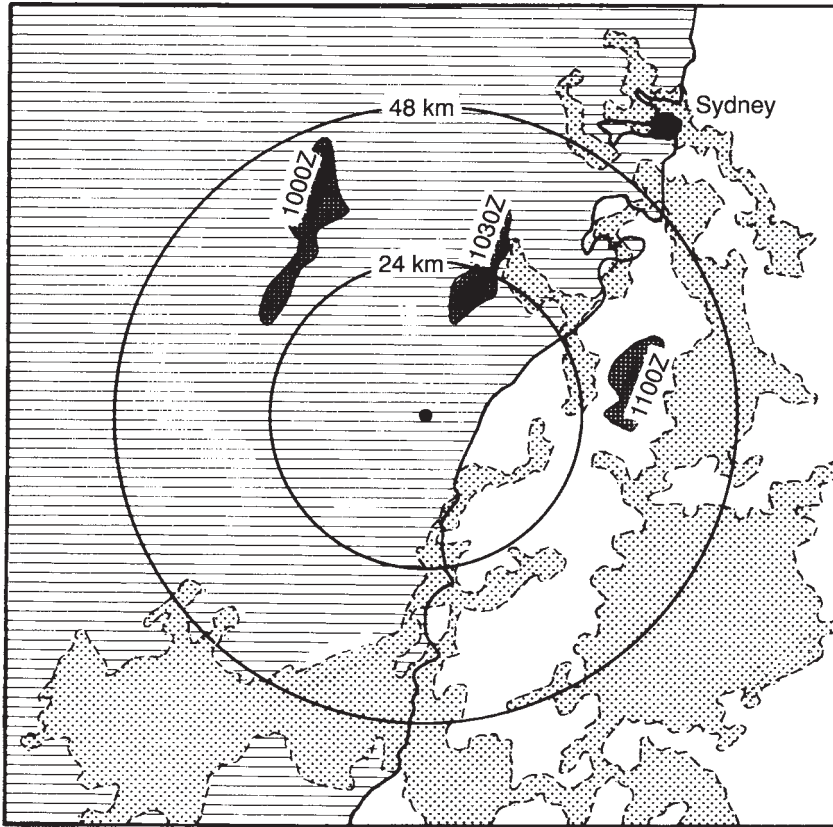


Figure 9.10 The development of a storm on 5 November 1995, indicated by radar reflections from the rain, to a measuring station south-west of Sydney. The diagram shows the respective areas at 1100Z over which rainfall exceeded 2 mm/h (light shading) and 40 mm/h (dark), and also the areas with over 40 mm/h at half-hour intervals beforehand. The storm can be seen to travel to the south-east at a speed of about 60 km/h.

ahead of southerly changes in spring and summer. These thunderstorms tend to form over the coastal mountains of the Dividing Range when the lower air has been made unstable by surface heating inland, and then they move offshore. Most thunderstorms along the Queensland coast are overgrown cumulus clouds carried onshore by the prevailing southeasterly winds over the Pacific, whereas the storms at the northern edge of Australia are mostly air-mass thunderstorms. Thunderstorms

in Perth, Adelaide and Melbourne tend to develop ahead of cold fronts.

### Variations in Time

Midlatitude thunderstorms mostly occur in the warmer months, e.g. October to January in Australia. Between the tropics, the region of most storms follows the Sun's movements across the

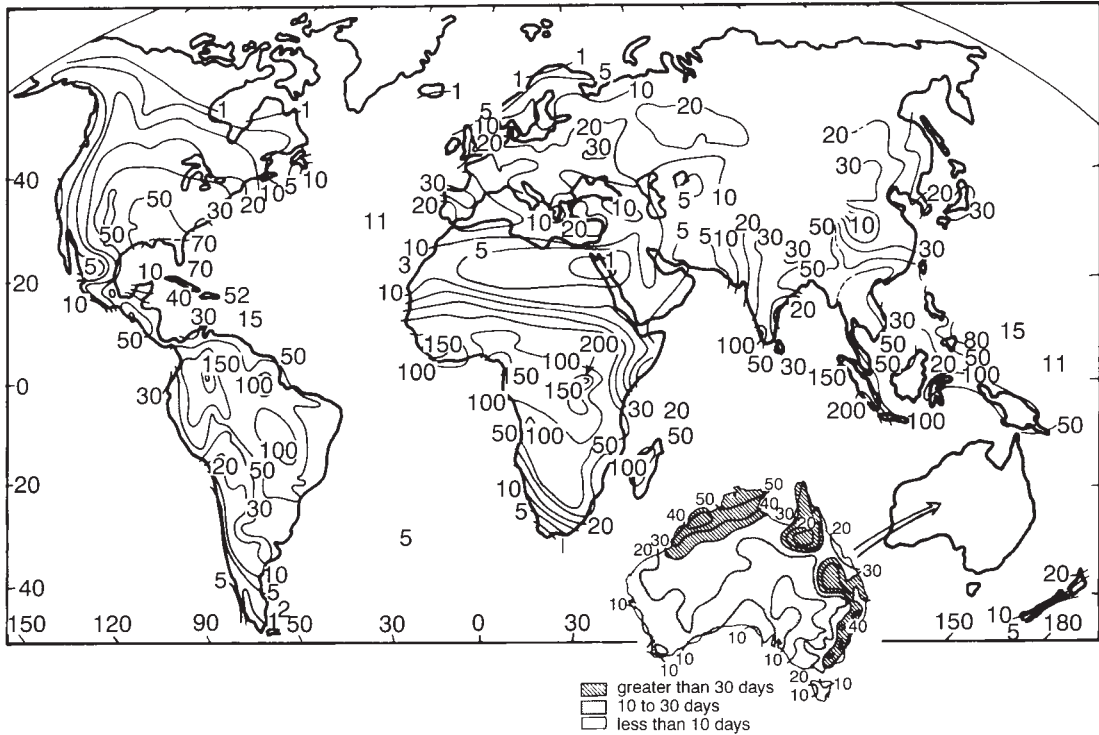


Figure 9.11 Global distribution of the annual number of days with thunderstorms.

equator (Figs 9.9 and 9.14), for reasons considered in Chapter 12.

The shorter-lived air-mass storms (the first of the four kinds mentioned earlier) tend to happen during the afternoon. For instance, 34 of 93 storms in Brisbane occurred between 3–6 p.m. The longer-lived squall-line storms may occur at any time of the day or night. Mesoscale convective systems typically form near midnight and dissipate around 10 a.m., both in South America and Australia.

There is a pronounced maximum of mountain and coastal thunderstorms in the afternoon, due respectively to ‘anabatic flow’ up hillsides and uplift caused by sea breezes (Chapter 14). For example, the sky is normally clear at night on Mt Wilhelm (which reaches 3,480 m in Papua New Guinea) but clouds begin to form at about 2,000 m around 8 a.m., and then they grow

until there are intermittent showers from 11 a.m. until sunset.

In contrast, thunderstorms are more common during the late night over the waters of tropical archipelagos like Indonesia, because of uplift initiated by low-level convergence of nocturnal land breezes (Chapter 14) from nearby islands. Even over open tropical oceans there is a slight preference for thunderstorms around midnight, on account of instability induced in the lower atmosphere by nocturnal radiative cooling from the top of the moist marine air.

## 9.6 CLOUD ELECTRICITY

The precipitation from cumulonimbus cloud is often accompanied by lightning and thunder, which will now be considered.

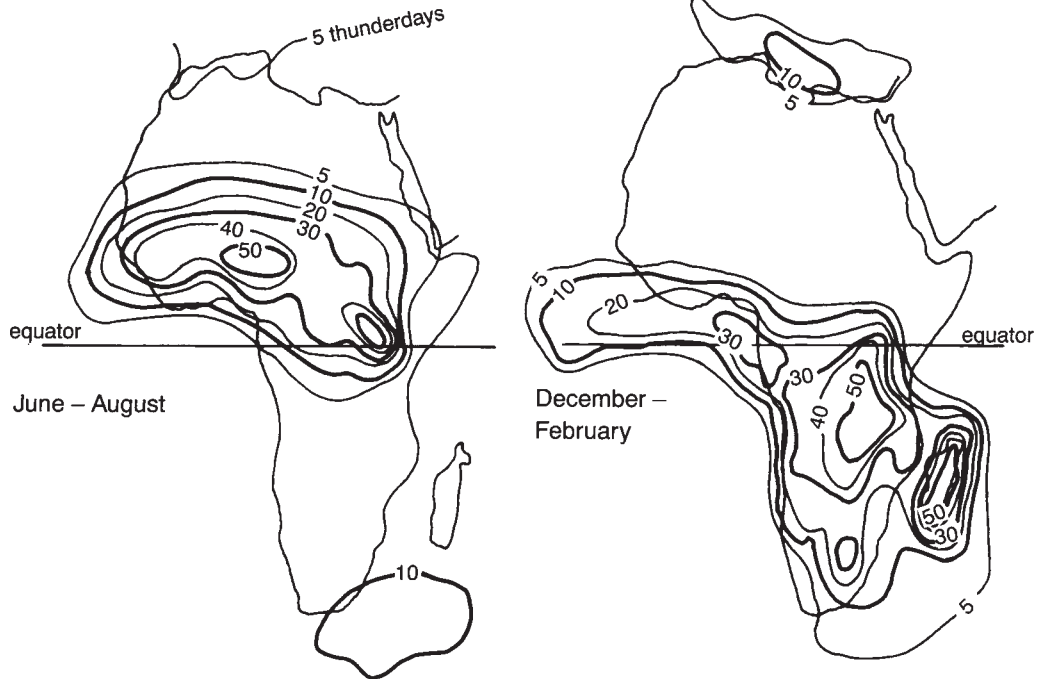


Figure 9.12 Variation with season of the distribution of thunderdays in Africa.

Lucretius, a Roman poet of the first century BC, thought that lightning consists of sparks from the collision of large clouds. But it is usually explained nowadays as due to *charge separation* within the updraught of a tall convective cloud. This process consists of the detachment of electrons from some drops and crystals within the cloud, and their attachment to others, creating equal numbers of negative and positive ions out of material that was initially electrically neutral (Figure 1.4 and **Note 9.G**). The result is that enormous differences of voltage are created between the top and bottom of a cloud, between adjacent clouds, between cloud tops and the stratosphere, and between clouds and the ground. The consequent occasional flashovers are lightning. Similar lightning occurs in volcano clouds, dust storms and snow storms.

Less than 20 per cent of lightning flashes strike the ground: most occur at 3–10 km above the

surface, between parts of the same cloud or across to other clouds. This is especially true in low latitudes, where the tropopause is higher (Section 1.8, Chapter 12) and clouds can grow further upwards. Inter-cloud flashes are known as ‘sheet lightning’, whilst those from cloud to the ground are ‘forked lightning’.

Lightning strikes are pulsatory and take place in stages. In the first stage of a cloud-to-ground strike, the negative base of the cloud attracts positive charge to the ground beneath. As a consequence, electrons flow from the cloud base towards the ground, blazing a trail through the air at a speed of 100 m/s or so. The trail consists of steps between changes of direction, hence the strike’s name of *step-leader* or *dart-leader*. An extremely high temperature is generated by the current, which ionises the air in its path, creating a much better conductor of electricity. As a consequence, there is a clear channel for a

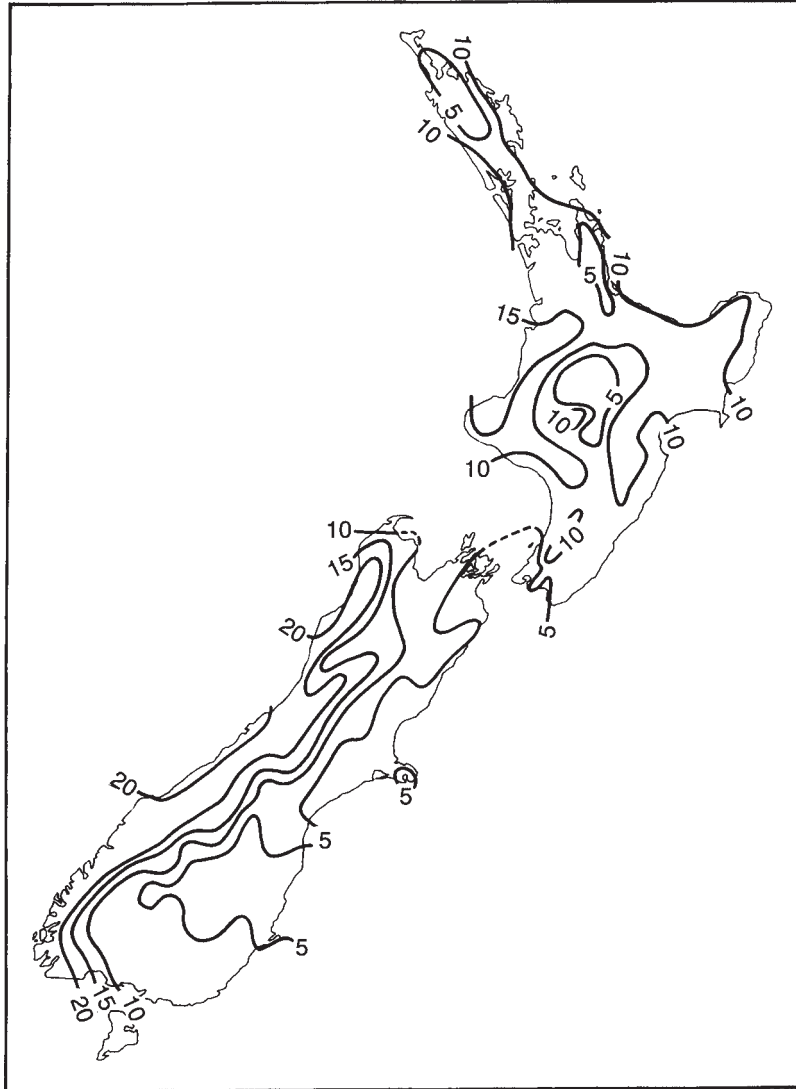


Figure 9.13 Annual frequencies of thunderdays in New Zealand during the period 1955–74.

bright flash of *positive* ions up from the ground, once the leader reaches the surface. That main stroke typically peaks at 80,000 amperes, which may be compared with the 0.4 amperes to a 100W light-bulb. The amount of electricity transferred in a flash of 10 microseconds about equals that consumed by the bulb in a day, and

generates a momentary temperature above 15,000°C. Next, the from its base, down the same path. There follows a series of alternate strokes downwards and return strokes up.

Lightning results from every thunderstorm containing cold clouds (as defined in Section 9.2). The amount of lightning is proportional to

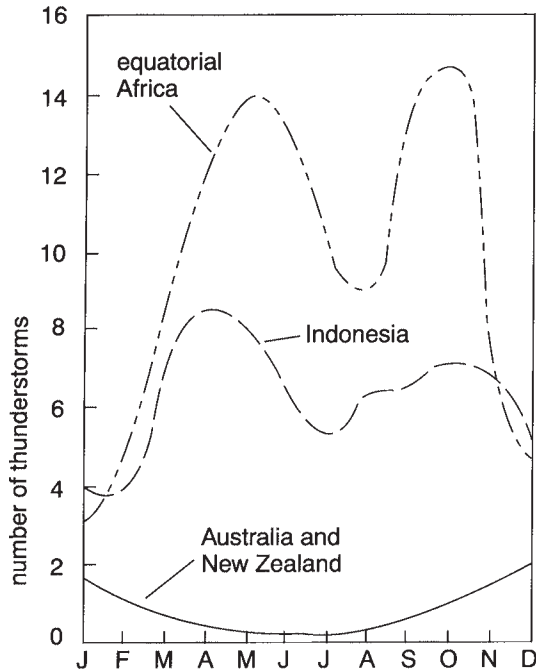


Figure 9.14 The annual variation of thunderstorm frequency at three places. The maximum in Australia and New Zealand coincides with the month when the noon Sun is highest in the sky, whereas the maximum lags one to two months behind at places closer to the equator.

the speed of the updraughts and downcloud unloads the largely negative charge draughts. Consequently, electrically active storms tend to be severe in terms of hail, downpours and strong wind gusts too.

There are over a hundred occurrences of lightning annually per square kilometre in equatorial Africa. The number of days a year when lightning is visible in Australia decreases southwards, because the atmosphere is less unstable than in the hot and damp conditions of low latitudes. Lightning is seen on about ninety-six days each year at Darwin (12°S), with almost daily displays during the summertime period called 'The Wet' (Chapter 10). There are about seventy-four days of lightning at Brisbane

(at 27°S), forty-four at Sydney (34°S) and eight at Hobart (43°S).

Two-thirds of all lightning flashes occur between the Tropics. There seem to be more lightning strikes above and downwind of a major city than just upwind, presumably because of the plume of warm polluted air.

## Hazards

Lightning is dangerous. Hollow trees filled with damp termite nests act as lightning conductors, and explode when struck, as the moisture is instantaneously evaporated by the current. Many bushfires are ignited by lightning; over half those in the Australian state of Victoria are attributed to lightning. Fortunately, some of these fires are put out by the accompanying rain. A different hazard is an electric-power failure, due to lightning striking overhead cables. Radio communication is interrupted by the 'spherics'.

In addition, lightning can stun or kill people. A party of bushwalkers on a track in the Blue Mountains near Sydney were affected by a flash behind them; those at the rear suffered immediate painful leg cramps, whilst those 15 m ahead were unaffected except by the noise. More seriously, there were 1.5 deaths annually per million of the population in South Africa during the period 1950–70, 0.6 in the USA (more than were killed by tornadoes or other weather events), 0.4 in Australia (80 per cent of them men, because more work outdoors) and 0.2 in Britain. The rate for Queensland is ten times what it is for South Australia, whose population is overall less rural. Globally, 5,000 people are estimated killed every year by lightning, most in developing countries. About equal numbers were killed in the USA, (a) on foot in the open, (b) sheltering under trees, or (c) riding on horseback or open vehicle. Fortunately, lightning fatalities are becoming fewer, e.g. 21 per million in Australia in 1825, 4 in 1880, 2 at the turn of

the century, half that in 1950, and only about 0.3 since 1970.

It is unwise to be in an open high area, by a wire fence, using electrical equipment like a telephone or electric razor, to be swimming, in a small boat or on horseback. One should avoid isolated trees or the edge of a forest, but you are well protected whilst indoors or in a car. There is no safety in places struck already; lightning *can* strike twice.

Low-flying aircraft are often struck, but harmlessly. Tall buildings are also hit, roughly in proportion to the square of the height, i.e. four times as often if twice as high. Protection is given by a projecting conductor rod leading to the ground, shielding an area with a radius equal to the rod's height.

A beneficial consequence of lightning is the chemical combination of some of the air's oxygen with nitrogen, called 'fixation'. The compounds formed eventually reach the soil and improve its fertility. But lightning also produces ozone at low levels, which is harmful to people.

## Thunder

Lightning is often accompanied by thunder (Figure 9.9), caused by shaking of the air when there is first an explosion of air along the lightning trail, due to immediate heating by the electric current, and then, secondly, an equally rapid implosion back into the track after the extremely rapid cooling due to the radiation of light energy from the stroke. High frequencies in the sound of thunder are quickly attenuated, so one hears only a rumble from any distant storm.

The million-times difference between the speeds of light (about  $3 \times 10^8$  m/s) and sound (about 330 m/s) means that lightning at a distance of  $D$  kilometres is heard  $3D$  seconds after it has been seen. (As a result, you can find  $D$  by counting the number of seconds between

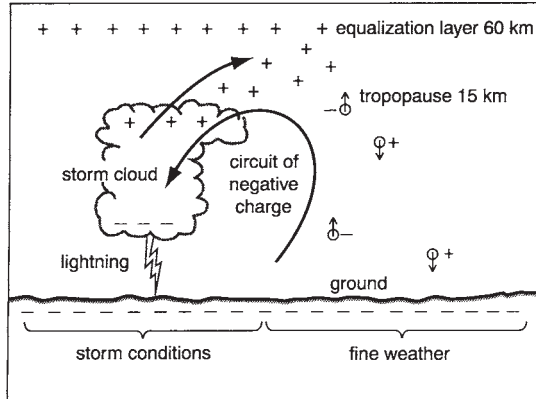
flash and thunder, and dividing by three.) But lightning is often hidden by cloud, or the thunder made inaudible by distance (Note 7.M).

## 9.7 GLOBAL ELECTRICITY

There are 1,000–2,000 thunderstorms occurring at any moment around the world, and about a hundred main flashes of lightning from the ground every second. The flashes conduct positive charge to the mainly negative base of each cumulonimbus cloud. Then the charge is carried to the cloud top in the internal updraught, where it leaks upwards to the ionosphere above 60 km (Figure 1.10). This layer is highly ionised by cosmic rays (Section 1.7), so that it easily conducts electricity from one part of the world to another, and collects charge from storms everywhere. For this reason it is called the *equalisation layer*, maintaining a voltage around 500 kV above that of the ground. Lower levels of the atmosphere are at correspondingly lower voltages, the gradient near the ground being of the order of 100 V/m in fair weather (**Note 9.H**).

The voltage gradient pulls a steady stream of negative ions from the ground to the equalisation layer, flowing in all the clear sky between storms. Therefore, there is a sort of electrical circuit, shown in **Figure 9.15**. The clear-sky current density is modest (e.g. only about  $3 \times 10^{-12}$  amperes from each square metre of the ground), but is sufficient to cancel the voltage of the equalisation layer in about ten minutes if it were not for continual replenishment by the world's thunderstorms.

Leakage of electricity between the ground and the equalisation layer is carried by two sizes of ions. Small ions each consist of a few molecules of water vapour, nitrogen or oxygen, with an excess electron. Large ions consist of aerosols, which are much larger and thus less mobile, so that they carry electricity less rapidly. The larger ones absorb the smaller when both kinds of ion are present, and reduce the leakage,



*Figure 9.15* The circuit of electricity within the atmosphere. The ground is negatively charged and the equalisation layer is positive, so there is a small but steady flow of negative ions upwards and positive ions down. Flows are in the opposite directions during thunderstorms, i.e. there is a net flux of negative charge in cloud-to-ground lightning strikes, whilst positive ions from the top of storm clouds maintain the positive charge in the equalisation layer.

so that there is an increase of the voltage gradient. Thus air pollution in Samoa resulting from fires on Sundays raises the gradient from the weekday values of 240 to 315 V/m.

## 9.8 HAIL

Hail is ice, in any of three forms. There may be (i) pellets of frozen rain up to 6 mm in diameter, essentially large sleet, (ii) *soft hail*, i.e. graupel, consisting of small, slushy, frozen cloud droplets, found in parts of coastal cloud which are just below 0°C, and (iii) true hail, which is larger, opaque and hard. True hail arises from thunderstorms, and we will focus on this.

One mechanism for the formation of hail involves ice crystals being carried to cloud top, as their gravitational fallspeed is less than the speed of the updraught. At the top they fall outside the main updraught, to be re-entrained near cloud base and carried up once more, completing a cycle which is repeated many times. Each time

round, the embryonic hailstone is heavier and therefore falls faster (i.e. is carried aloft more slowly), so that it spends more time accreting other crystals. Such a cycle is suggested by the onion-like structure of concentric layers of hard and soft ice in a hailstone's cross-section, probably due to alternations of the wet conditions (inside the cloud) and dry (outside), within which the hailstone has grown. Air spaces between the accretions make the hailstone opaque.

## Occurrence

Temperatures tend to be too high for hail at low latitudes, except on high ground. But thirty-two haildays occur annually near sea-level at Invercargill (NZ, 46°S). At the even higher latitude of Campbell Island (53°S), there are about sixty-nine haildays each year. But there are fewer at the highest latitudes because of insufficient atmospheric moisture or heating of the ground for convection to create the tall clouds that produce hail.

The chance of hail is greater in high country; the number of haildays in New South Wales ranges from about 0.7 annually between 50–200 m above sea-level, to about 2.2 between 500–1,000 m. This is partly because thunderstorms are more common over hills (compare Figure 9.13 with a contour map), but also because the freezing level over elevated terrain is closer to the ground, so that there is insufficient time for precipitation to melt before reaching the ground. That is particularly important at lower latitudes. For instance, the area of most hail in southern Africa is Lesotho, which is over 2 km above sea-level (**Figure 9.16**), with more than eight haildays annually at any point. Similarly, there are more than five in central Madagascar at over 1.5 km. However, the ground's elevation is of little importance for very large hailstones, given their high speed of falling: a hailstone of 50 mm diameter drops from 2 km to the ground within a minute, for example.



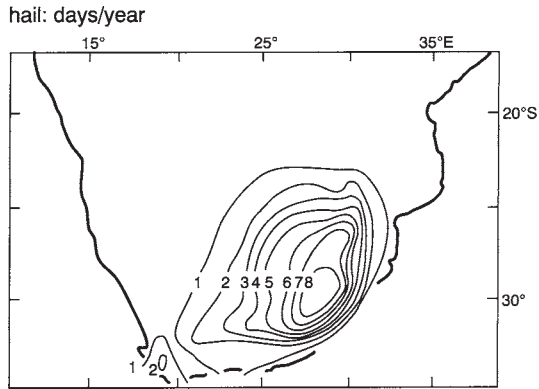


Figure 9.16 Map of the annual frequency of haildays in South Africa. This matches Figure 9.12, since thunderstorms are prerequisite for hail.

Observations in Kansas indicate that hail is most likely downwind of terrain which rises smoothly for several kilometres, with a light-coloured soil, and downwind of cities. There appear to be fewer hailstorms over forests.

Most hail in Sydney is triggered by convection, and therefore occurs during spring afternoons. In other places, such as Adelaide (Australia) and parts of New Zealand, there is most hail in winter when cold fronts are more frequent (Chapter 13). In these cases, hail is due to showers or shallow thunderstorms in the cold air behind mobile cold fronts, and the frequency varies with the temperature (**Note 9.I**).

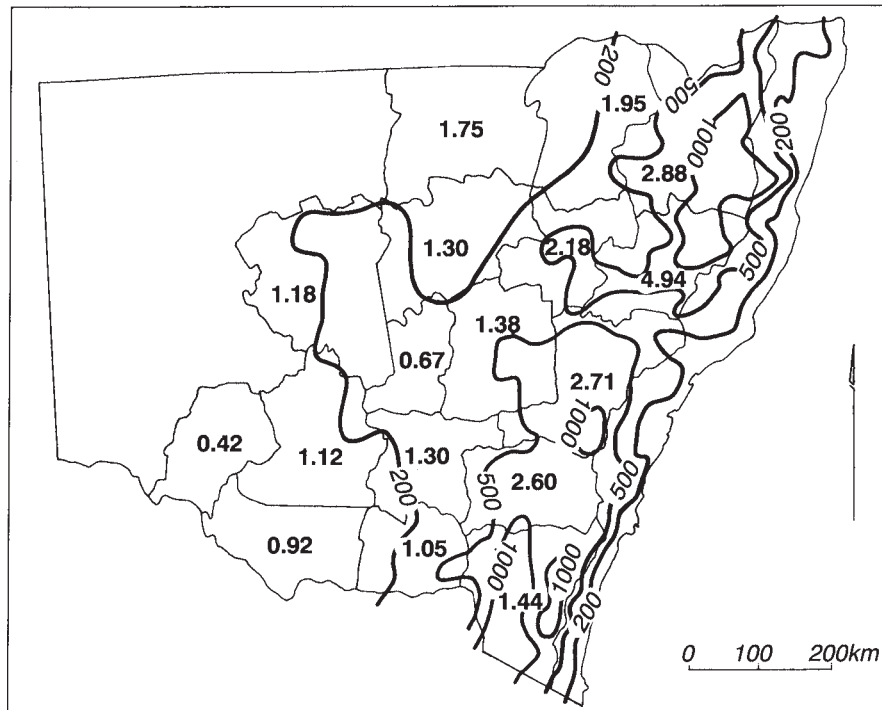


Figure 9.17 Map of the risk from hail in eastern New South Wales, in terms of the approximate number of tonnes of grain lost through hail as a percentage of the number insured. Contours at 200 m, 500 m and 1,000 m indicate the Dividing Range.

## Hazards

Hailstones can be dangerous, especially in combination with strong winds. Deaths due to hail are mentioned in the Old Testament (Joshua 10:11). The largest hailstones are the most lethal: a stone the size of a tennis ball weighs about 150 grams and falls at a speed of 40 m/s. The biggest hailstones recorded weighed over a kilogram, killing 92 people in Bangladesh in 1986. Earlier, in 1888, there was a storm in India where 246 people were knocked down by hail and then frozen to death beneath drifts of hailstones. Even in Sydney there have been hailstones as big as 45 mm, enough to damage cars outdoors for instance. Fortunately, such cases are rare and any particular storm usually affects an area only a few kilometres across. The average area of hail in American storms is 20 km<sup>2</sup>.

Damage to crops depends on the stage the plants have reached. For instance, most hail forms in Iowa in May when maize is still emerging from the ground and so is hardly affected, whilst most *harm* results from hail in July when the crop is more vulnerable. Hail does millions of dollars worth of harm to fruit crops in New Zealand each year, but much more in North America. A farmer in Alberta may expect to lose his entire crop about three times in the course of his working life.

The frequency of damaging hail can be gauged by the history of insurance claims by farmers. The regional variation of the fraction of grains (oats, barley, and mainly wheat) lost

annually to hail damage in New South Wales is shown in **Figure 9.17**. This reveals more risk at lower latitudes and higher elevation.

Efforts have been made to prevent hail damage. One unsuccessful idea was to create a bang to shatter the hailstones (**Note 9.J**). More recently, rockets or ground-based burners have been used in Russia and elsewhere to inject active nuclei into clouds in order to create many small crystals rather than a few large ones (Section 9.3). As a result, the crystals would melt *en route* to the ground, yielding beneficial rain instead of harmful hail. In fact, hail was reduced in this way by about 42 per cent in sixteen studies in various countries during the period 1956–85.

So we have considered hail formation and several other aspects of clouds. The most important is the creating of rain, the subject of Chapter 10.

## NOTES

- 9.A Monthly mean cloudiness and rainfall in Australia
- 9.B The rainfall rate from stratiform cloud
- 9.C The Bergeron-Findeisen process
- 9.D Rainfall intensity and raindrop size
- 9.E The early history of rain-making
- 9.F The effectiveness of cloud seeding
- 9.G Electrification within cumulonimbus cloud
- 9.H The gradient of electrical potential in the lower atmosphere
- 9.I Temperature and the frequency of hail
- 9.J Hail cannon

# PRECIPITATION

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## 10.1 GENERAL

The importance of rain is obvious in the natural world, and as regards our water supplies, crop growth and so on (**Note 10.A**). The pattern of rainfall and the temperature are often taken as a concise description of the climate of a place.

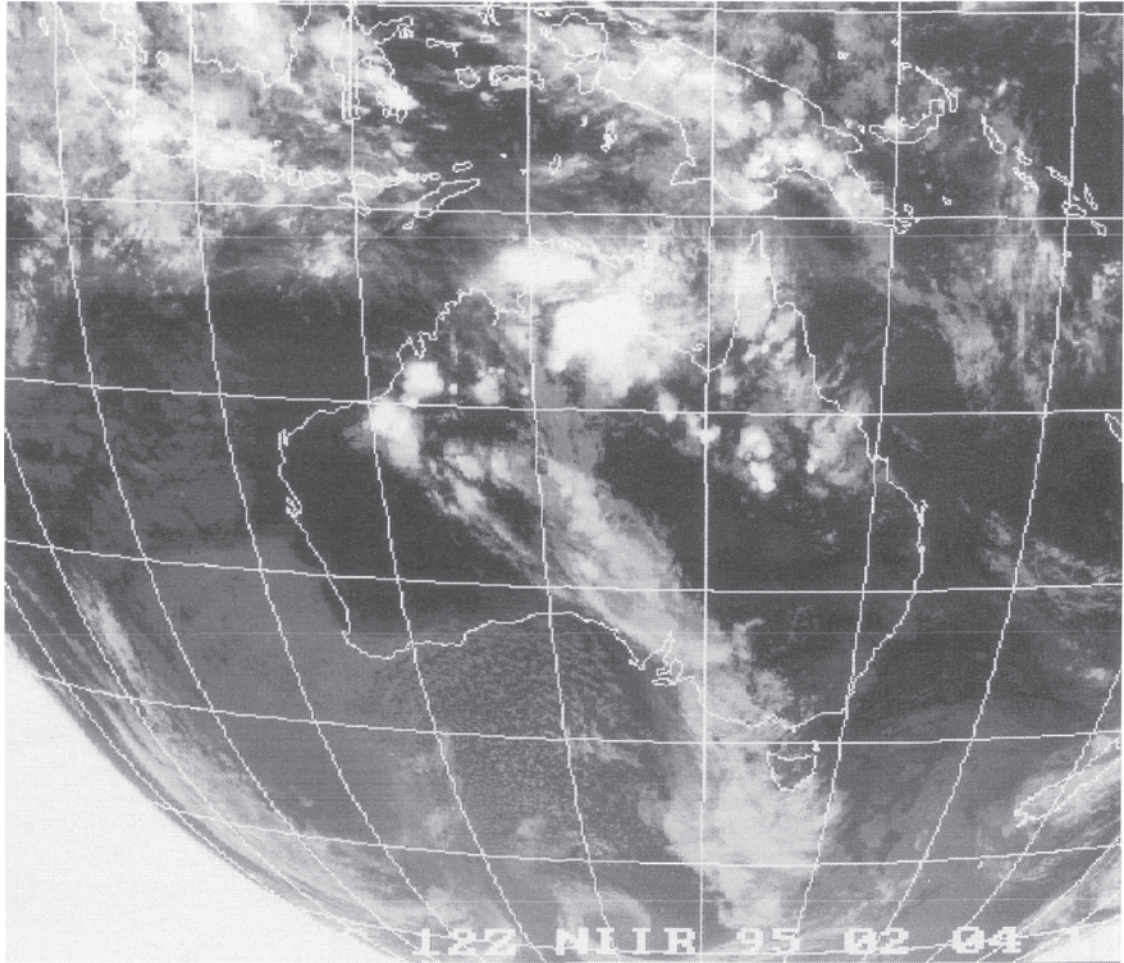
Kinds of precipitation include drizzle (Table 9.1), snow (Section 10.8) and hail (Section 9.8). Dew was considered in Section 4.7. Symbols for them were shown in Figure 9-4.

Rain may be either continuous or showery. Showers result from convective activity and therefore are more common in spring and summer over land. They may be described as 'isolated' (affecting less than 10 per cent of the area), 'scattered' if they occur over 10-50 per cent of the area, or 'widespread'.

Measuring rainfall is easy to do (but hard to do properly) by means of either rain gauges (**Note 10.B**) or some indirect technique, perhaps involving satellites or radar (**Note 10.C**). One indirect record of seasonal rainfall in times past is given by the width of tree rings in dry climates (**Note 10.D**).

## Acid Rain

A particular aspect of rainfall is its acidity, arising from gases dissolved in the drops. The acidity is described in terms of the 'pH', which can range from unity (i.e. extreme acidity—lemon juice has a pH of 2.2) to 14 (i.e. extreme alkalinity) (**Note 10.E**). Droplets in clouds over remote oceans have a pH of about 6.2, not far short of 'neutrality' (which would mean neither acid nor alkaline) represented by a pH of 7. Rain at Cape Grim at the north-east tip of Tasmania in the path of the clean oceanic westerlies, has a pH of 6. 'Pure' rainwater has a value of about 5.6 on account of dissolved carbon dioxide (Note 10.E). Values of 5 have been obtained outside Newcastle, an industrial city in New South Wales, and 4.7 at Katherine, a rural site at 14°S in northern Australia. The pH of summer rain in Sydney is commonly around 4.4, chiefly because of sulphuric acid formed by the dissolving of sulphur dioxide and nitrogen oxides from the air pollution of vehicles and the burning of coal. However, the problem of acid rain is more serious at some places in the northern hemisphere.



*Plate 10.1* The various kinds of cloud responsible for rainfall. This image from the Japanese geostationary satellite GMS on 4 February 1995 shows a line of frontal cloud (yielding frontal rainfall) over South Australia and Western Australia, with isolated convective clouds (producing heavy showers) near the equator, and orographic cloud over New Zealand.

Heavy rain has less acidity, presumably because of dilution by more water. But fog in industrial areas can be extremely acid.

A different contamination of rainwater is found near the coast, where rain is made corrosive by aerosols of salt, mainly sodium chloride from the sea. The concentration of chlorides in rain at the coast at Perth or in Victoria

is reduced by a factor of about 13 at places 100 km inland.

## 10.2 RAINFALL INTENSITY

Amounts of rainfall are measured in terms of the depth of the layer created by spreading the

water on a horizontal surface. It is now expressed in millimetres depth, and the *rate* (or *intensity*) of precipitation during a given period is the total collected divided by the duration, usually expressed in millimetres per hour. It can be measured for periods longer than a few minutes by means of a pluviograph, an instrument for recording the times between refillings of a small cup into which the collected rain flows.

Rainfall intensities fluctuate during a storm. For example, the amounts in six successive five-minute periods in a shower might be 0.5 mm, 2.5 mm, 1.0 mm, 1.0 mm, 0.8 mm and 0.2 mm, implying a maximum intensity on a five-minute basis of 30 mm/h (i.e.  $2.5 \times 60 / 5$ ), but only 21 mm/h over ten minutes, and 12 mm/h over thirty minutes. So the maximum intensity of rainfall is less for greater periods of averaging. Amounts of rain collected in the course of a year, for instance, are commonly plotted on maps, where places with equal amounts are linked by lines called *isohyets*.

'Light rain' means less than 1 mm/h and 'heavy' rain means more. A rate of over 60 mm/h for at least five minutes is called a *cloudburst*. The intensity may peak at 120 mm/h for a minute or two in a normal storm, though over 500 mm/h was measured at one spot in Sydney during five minutes on 2 April 1992. age intensity. The same has been found for Sydney record rainfalls, though they are only about a seventh of global record values.

### Extreme Rainfalls

The world-record rainfall by 1986 during one minute (at Barot, Guadeloupe) was equivalent to 2,300 mm/h; over twenty minutes the highest rainfall rate had been 1,200 mm/h (Curtea-de-Arges in Argentina); over an hour 430 mm/h (Holt, Missouri); a day 76 mm/h (Foc Foc at Reunion, a volcanic island at 21°S in the western part of the Indian Ocean); a week 26 mm/h

(Commerson, Reunion); a month 12 mm/h (Cherrapunji, Assam); and over a year 3 mm/h (Cherrapunji). Such figures suggest that a fourfold increase of duration halves the record average

The record daily rainfall in Australia was at Bellenden Ker Top Station near Cairns in Queensland on 4 January 1979, when 1,150 mm fell, equivalent to 48 mm/h. A world record was set there in the same month—3,847 mm during eight days.

The intensity is related to the drop size (Note 9.D) and soil erosion (Note 10.F). **Figure 10.1** indicates that the highest intensities occur especially at low latitudes. The diagram compares rainfalls at Darwin and Hobart, in terms of the average time (or '*recurrence interval*' or *return period*) between rainfalls of a particular intensity and duration. The Hobart curves are lower, so, for instance, 13 mm/h over one hour is likely to be exceeded once a year, compared with 50 mm/h at Darwin. Darwin rates are especially high for recurrence intervals of only an hour or so, because of the sporadic

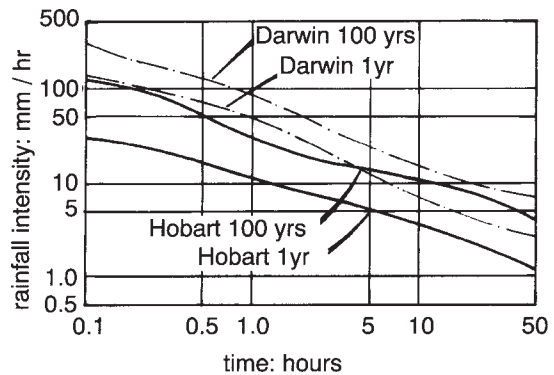


Figure 10.1 Rainfall intensity, duration and frequency diagrams for Darwin (dashed pair of curves) and Hobart (solid pair). The lower of a pair displays the one-year recurrence interval, the upper one gives the 100-year interval. For instance, the wettest hour each year yields 10 mm at Hobart but 50 mm at Darwin, on average. Also, the rainfall on average exceeds 100 mm/h for 45 minutes once each hundred years at Darwin, and 5 mm/h for 5 hours once a year at Hobart.

intensity of the convective rainfall there, whereas rain at Hobart is chiefly frontal, i.e. prolonged but gentle.

The average annual intensity derived from many years of records at Alice Springs is about 250 mm/a, falling within about forty days, i.e. about 6 mm per rainday. Such a figure is a useful index of what a wet day is like. It is about 20 mm/rainday at the equator, but less at higher latitudes (**Figure 10.2**).

## Runoff

Urban drainage channels are commonly designed to cope with the maximum rainfall to be expected over an hour, whereas extreme river flows are more related to the 'maximum rainfall' over a day. This 'maximum rainfall' is typically chosen as that with a twenty years' recurrence interval, but if overflowing would have particularly serious consequences the drain or river is designed to cope with rains exceeded only once a century, on average. The design is a compromise between (i) the greater expense of building a larger channel to cope with heavier rainfalls, and (ii) greater costs from flood damage

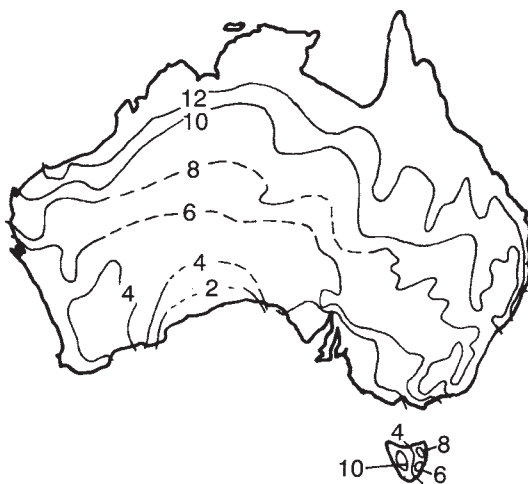


Figure 10.2 Rainfall per rainday in Australia.

due to more frequent overflowing of a smaller channel. Unfortunately, such a procedure for optimising the benefit-cost ratio of a channel ignores changes of climate and runoff ratio over periods as long as a century, so any estimate of the best design is only approximate and must have a margin added for safety.

The daily rainfall at a place, likely to be exceeded only once in some specified period, such as a century, can be estimated roughly from measurements over a shorter time (**Note 10.G**).

## 10.3 SPATIAL DIFFERENCES

We will now describe patterns of rainfall in terms of the annual or monthly average values, as we did for temperature (Section 3.2). Of course, average rainfall may not be typical—the mean of nine drought years and one flood year does not resemble the rainfall in any year. So alternatives to the arithmetic mean are sometimes more useful (**Note 10.H**). For instance, there are the 'modal' and 'median' rates of rainfall. The *mode* is derived after data have been grouped into sequential ranges; it is the mid-value of the range with the most values. But it is possible to obtain the mode only when many values are available. The *median* annual rainfall is exceeded in half the years, and is less affected than the average by outlying values.

Large-scale maps of average rainfalls (**Figure 10.3**) show great differences between seasons and spatially, affected mainly by the latitude (Figure 6.13). Notably, rainfall at sea (1,140 mm/a) is more than that over the land (730 mm/a). This means that the southern hemisphere with its greater area of ocean (Note 1.A) is slightly wetter than the northern half of the world, with 1,080 mm/a instead of 960 mm/a. It also means that a major factor governing the rainfall at places on land is proximity to the sea. Other factors are topography, elevation,

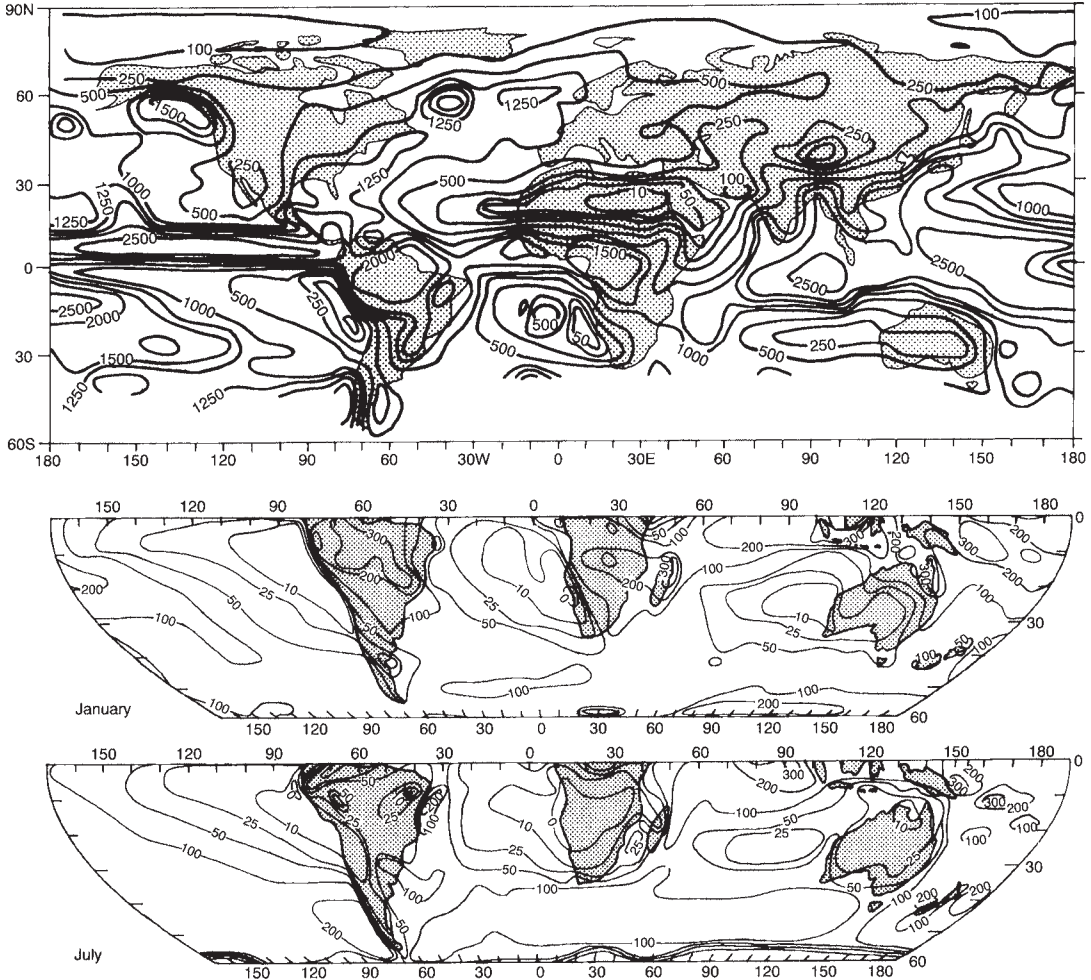


Figure 10.3 (a) Mean annual precipitation (mm/a) around the world.

(b) Mean monthly precipitation (mm) in January and July in the southern hemisphere.

latitude and the coastal sea-surface temperature, which will now be considered.

### Distance from the Sea

Remoteness from the sea tends to lead to low rainfalls, especially in the 'rainshadow' of mountain ranges, where the air's descent and consequent warming (Note 7.E) lead to the

evaporation of any cloud, as in Patagonia east of the Andes. Rainfalls on the westerly windward side of New Zealand's Alps in the South Island are up to 10,000 mm/a, but only 500 mm/a on the leeward side (**Figure 10.4**). (This corresponds to the pattern of cloudiness in Figure 8.15.) Similarly, a transect at about 30°S from Australia's east coast, across the Dividing Range, into the interior desert, shows an annual rainfall of 1,658 mm at Coffs Harbour

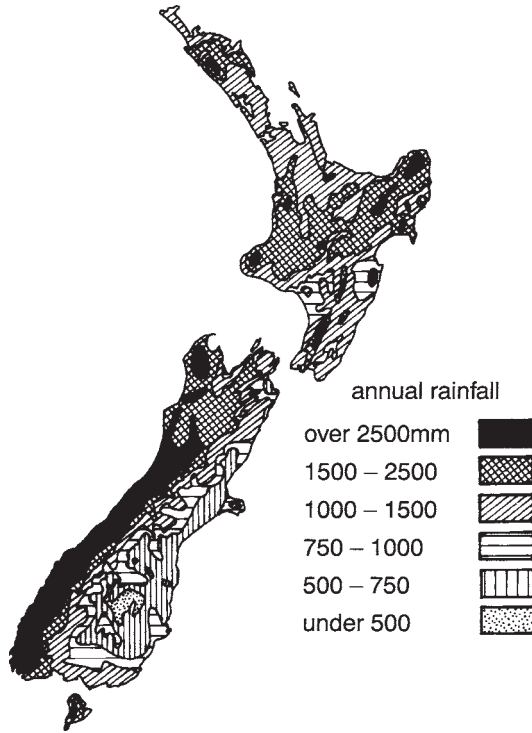


Figure 10.4 Annual mean rainfall in New Zealand.

on the coast, 796 mm/a at 130 km inland, 473 mm/a at 416 km and 210 mm/a at about 1,000 km.

An exception to the rule about most rain at the coast is the situation around 20°S in southwest Africa, for instance. Precipitation is

less than 100 mm/a at the coast, but over 400 mm/a at about 150 km inland. This is due partly to the low sea-surface temperature along the coast (Chapter 11), making onshore air masses too stable for the uplift needed to create rain, and partly to the winds being often easterly, i.e. offshore, making the coastal fringe downwind of high land. The same applies at similar latitudes on the west coast of South America; the world's driest place is Arica (at 18°S, on the coast of Chile), where only 1 mm of rain was measured over forty-two years. Several places near the coast of northern Chile have recorded no rain for one or two decades. These dry coastal regions extend thousands of kilometres offshore of south-west Africa, northern Chile and also western Australia (Figure 10.3).

Other exceptions are found at tropical islands, many of which receive more rainfall inland than at the coast on account of uplift in the centre of fairly flat islands (such as Bathurst and Melville islands just north of Darwin in Australia), due to the convergence of sea breezes (Chapter 14). On larger, mountainous islands, such as Papua New Guinea, the increase of rainfall inland (**Figure 10.5**) is due to orographic uplift behind the coastal plain. Moreover, when the wind blows from one prevailing direction, the downwind coast may be relatively dry. For instance, Suva on the southeast coast of Viti Levu (Fiji, 18°S) receives 3,024 mm/a, while Nadi

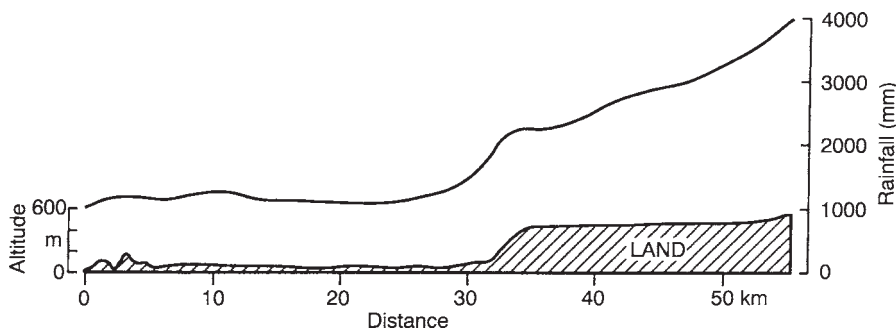


Figure 10.5 Effect of elevation near Port Moresby (9°S) on the annual rainfall.



on the north-west coast receives less than half that amount, most of it in summer when the prevailing south-easterly winds weaken or vanish.

The driest parts of Australia are well inland. The most arid region is around the ephemeral Lake Eyre, at 28°S in South Australia, where the median annual rainfall is less than 100 mm. It has been suggested that the aridity could be remedied by flooding Lake Eyre, in the hope of thereby increasing local rainfall. The idea is nonsense (**Note 10.I**).

## Latitude

Rainfall amounts are heavy at low latitudes (**Table 10.1**), while Antarctica is the driest continent on Earth (Chapter 16). This is explained at least partly by the Clausius—Clapeyron effect (Note 4.C): the troposphere at high, colder latitudes contains less precipitable water than at low, warm latitudes (Figure 6.13).

The poleward decrease of rainfall is not as uniform as the poleward decrease of temperature (Figure 3.4). There is a minimum about the Tropics (i.e. around 23° latitude) and a second maximum around 50° (**Figure 10.6**), due to the ‘general circulation’, discussed in Chapter 12. Large-scale convective uplift occurs near the equator, whereas the troposphere slowly subsides about the Tropics, producing clear skies without rain. That explains the dryness of Australia, where 37 per cent of the area receives less than 250 mm/a and 68 per cent below 500 mm/a. The second maximum at midlatitudes is due to large-scale frontal uplift

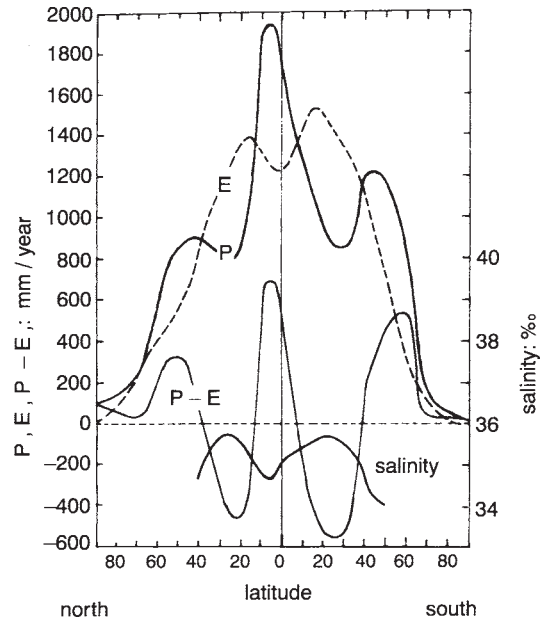


Figure 10.6 Variation with latitude of the annual-mean, zonal-average precipitation (P), evaporation (E), the difference between the two (P-E), and the ocean's surface salinity. The salinity curve is discussed in Chapter 11.

(Chapter 13). Finally, the air over Antarctica is generally subsiding and therefore free of clouds or rain.

## Elevation

Mountains force winds to rise, creating orographic cloud and rain (Figure 10.4 and Figure 10.5) in a complicated way. First, any moist and stable airstream is forced by the wind to rise over a mountain chain, and will lose some of its moisture upon ascent to the

Table 10.1 Effect of latitude and season on rainfall

|           | Rainfall (mm) during three months, averaged over ten degrees |          |           |           |           |           |           |
|-----------|--|----------|-----------|-----------|-----------|-----------|-----------|
| Latitudes | 5°N–5°S  | 5°S–15°S | 15°S–25°S | 25°S–35°S | 35°S–45°S | 45°S–55°S | 55°S–65°S |
| Dec–Feb   | 783  | 783      | 608       | 279       | 357       | 493       | 358       |
| Jun–Aug   | 554  | 330      | 248       | 346       | 576       | 651       | 323       |

crest (Figure 7.2). This mechanism is important at high latitudes. Second, orographic uplift may destabilise any nearly-unstable airstream (Section 7.4), causing deep convection and additional rainfall. Third, the heating of the sides of an isolated mountain draws winds from the surrounding plains (Chapter 14), creating a convergence which causes uplift. This happens in summer when there is no prevailing wind. Any or all of the three processes may occur.

Even quite modest elevation can enhance rainfall greatly. The most rainfall on St Helena (16°S) is near the central peak of only 600 m, where it is 1,300 mm/a, compared with 250 mm/a on the north-west shore. More striking is the close connection between elevation and rainfall across New Zealand (**Figure 10.7**).

Rainfall tends to decrease at places above a kilometre or two up a mountain, after the air has lost water lower down and temperatures of the ascending air have fallen to the extent that little water can be held as vapour. The maximum rainfall on Kenya's Mt Kilimanjaro (5,895 m high) is at 2,800 m or thereabouts, and rainfalls in central Java are at a maximum between 1–3 km elevation. Near Mt Wilhelm in Papua New Guinea, rainfalls are around 3,200 mm/a below 500 m, but only 2,300 mm/a above 2 km.

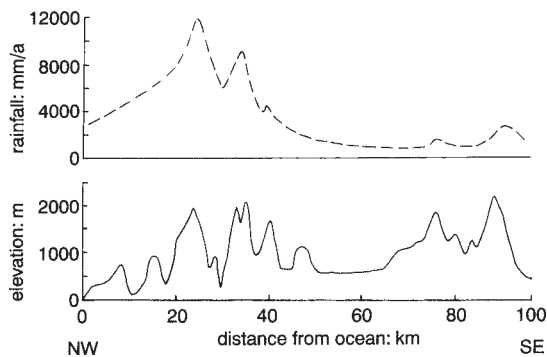


Figure 10.7 Elevation and annual rainfall across New Zealand. The transect is oriented north-west to south-east through Sentinel Peak and Mt Hutt at about 43°S; winds come mostly from the west.

In general, orographic rainfall is promoted by strong winds of moist air impacting a mountain chain at right angles, without inversion layers to impede uplift. For instance, 3,500 mm/a is measured where steady winds from the warm Indian ocean strike Tamatave at 18°S on the east coast of Madagascar (Chapter 16). Similar amounts are recorded along the coastal hills of northern Queensland, especially in areas where the coast is oriented north-south, across the moist easterly winds.

### Land Use

Cities may increase summertime convective rainfall by around 25 per cent, for instance, at distances of 0–60 km downwind (Section 9–3). An extreme example is Mexico City which experienced rapid growth between the early 1950s and the late 1970s, and the ratio of city to nearby rural rainfalls increased from 1.13 to 1.75, i.e. by 55 per cent. The rise could be caused by additional convection due to urban heating (Section 3.7) or by extra aerosols due to air pollution. A consequence is a slight augmentation of rainfall on weekdays, compared with weekends.

Sometimes it is claimed that a forested surface increases the rainfall. Computer simulation of the atmosphere over Europe (Chapter 15) indicates that a forested surface might induce 30 per cent more frontal rain in some circumstances. But the effect may be small since rainfall depends on atmospheric conditions well above the surface (Section 9.1, **Note 10.J**).

## 10.4 VARIATIONS OF RAINFALL

Average figures for rainfall fail to indicate the great differences from one period to the next, which occur in many places. The *variability* is important; a farmer would much prefer a reliable though modest rainfall to an irregular sequence

of drought and flood with the same average, and most of the fluctuation of crop yields is due to rainfall variability.

### Measures of Variability

Different ways of showing the considerable variability observed in practice are illustrated in **Figure 10.8**. In Sydney, for instance, the annual rainfall can be as low as 700 mm/a, or over 2,000 mm/a, so that the average of about 1,200 mm/a is only vaguely representative.

The variability of a series of values is often considered in terms of the *deciles*. These are values obtained after the set has been rearranged in order from smallest to largest. The first decile is the value lying 10 per cent of the way along this rearranged series, i.e. 10 per cent of the values in the set are smaller than the first decile. The fifth decile is the *median* value. The presentation of data from Santiago in Figure 10.8c gives the decile values directly.

The farmer is most interested in the '*dependable rainfall*', often regarded as the amount exceeded in three years out of four (**Note 10.K**). This is called the '*25th per centile*', half-way between the second and third decile. Figure 10.8 shows that it is about 230 mm/a at Santiago, reading from 25 on the vertical axis.

Several ways of quantifying the variability of rainfall are discussed in **Note 10.L**. A good way is to divide the average *departure* from the mean by the mean itself, i.e. the *relative variability*. In general, a map of relative variability (**Figure 10.9**) is the inverse of a map of annual rainfall (Figure 10.3), being higher in drier regions. It exceeds 80 per cent on the west coast of South Africa, where the mean rainfall is low. Values in Australia are typically in the range 10–40 per cent, being highest in the deserts and least in the south-western corner and Tasmania. Relative variability in South America is lowest in the Amazon basin and highest in the Atacama

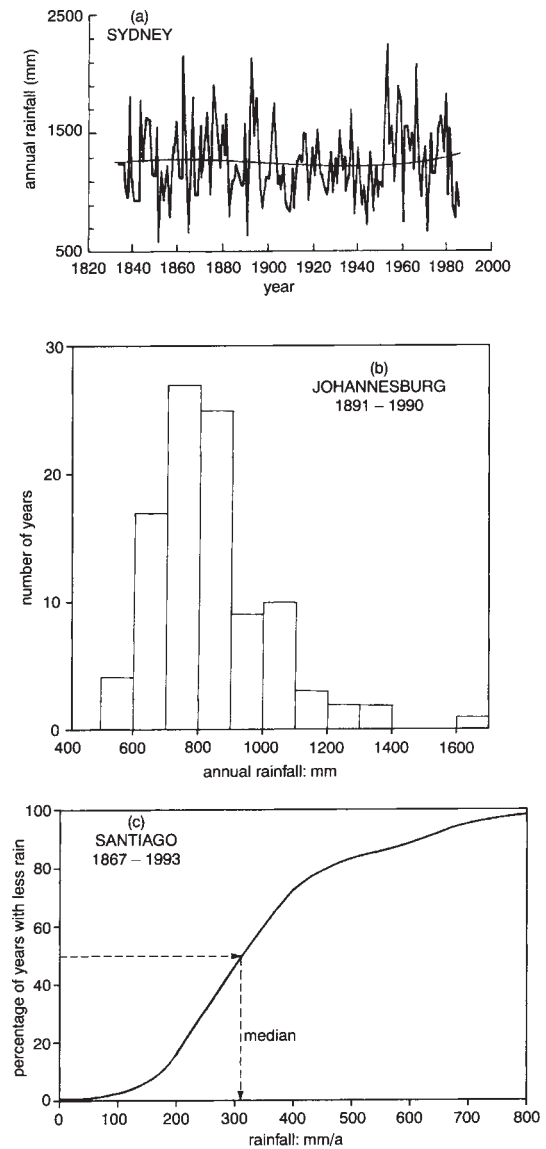


Figure 10.8 Examples of the scatter of annual rainfalls, shown in various ways: (a) a 'time series' of falls at Sydney during the period 1836–1985; (b) a 'histogram' of those at Johannesburg during the period 1891–1990, and (c) a 'cumulative probability chart' of rainfalls at Santiago during the period 1867–1993.

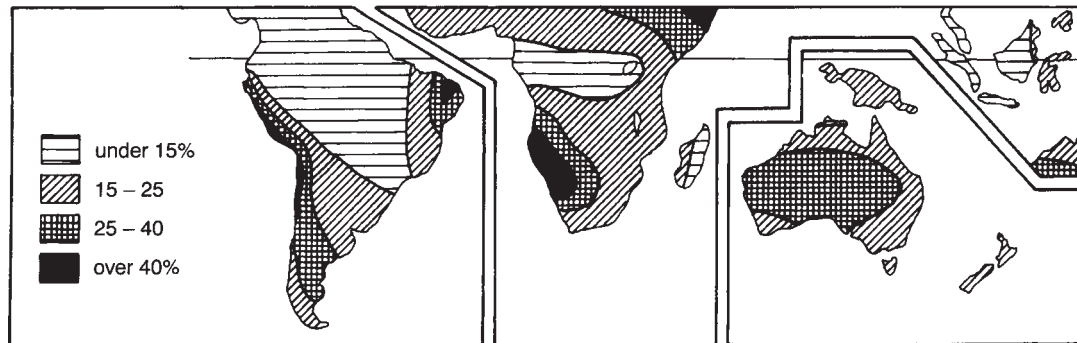


Figure 10.9 The relative variability of the annual rainfall in the southern hemisphere.

desert. It can be seen that variability adds to the problem of water shortage for farmers in dry areas.

Particularly high relative variabilities at the latitudes of eastern Australia and South Africa (Chapter 16) appear to be related to the 'El Niño' phenomenon discussed in **Note 10.M** and the next two chapters.

### Aspects of Variability

The variation noted in Darwin indicates a tendency towards alternating periods of almost equally high or low annual rainfalls, as though switching to and from two distinct regimes every few years (**Figure 10.10**). The same has been observed in records from Sydney. Annual rainfalls during a relatively dry time are almost uniformly below normal, so that the 'cumulative sum' curve declines as a roughly straight line, in a fashion that is remarkably consistent. And conversely during the wetter times. The alternation of dry and wet regimes suggests cycles of events created by feedback processes, and the constant conditions between switchings is a feature we call '*persistence*', a tendency for periods of the same kind of weather to cluster, for a dry time to be followed by another (**Note 10.N**). Persistence is the opposite of variability.

Day-to-day persistence occurs because it takes several days for a given weather pattern to change. In the case of Melbourne, Table 10.2 shows that the nature of the previous day markedly affects the chance of rain on a particular day; a dry day yesterday in summer, tends to mean the same today, and likewise for a wet day in July. The chance of a dry day today is not increased by two dry days beforehand, in this example, so the so-called '*memory*' of the process involved here is only one day. The degree of persistence depends strongly on the season in Pretoria (**Figure 10.11**), as elsewhere. In this case, there is a very strong persistence of dry days during June–August.

A different kind of persistence is implied in the steadiness of abnormal rainfall at Darwin between 1965 and 1982 (Figure 10.10). An abnormality lasting so long suggests an explanation in terms of ocean circulations. These change only sluggishly because of the huge masses involved (Chapter 11), whereas the '*memory*' of atmospheric processes is only a few weeks at most.

### Rhythms of Rainfall

There have been many suggestions of rhythms in the amount of rainfall, perhaps linked to the

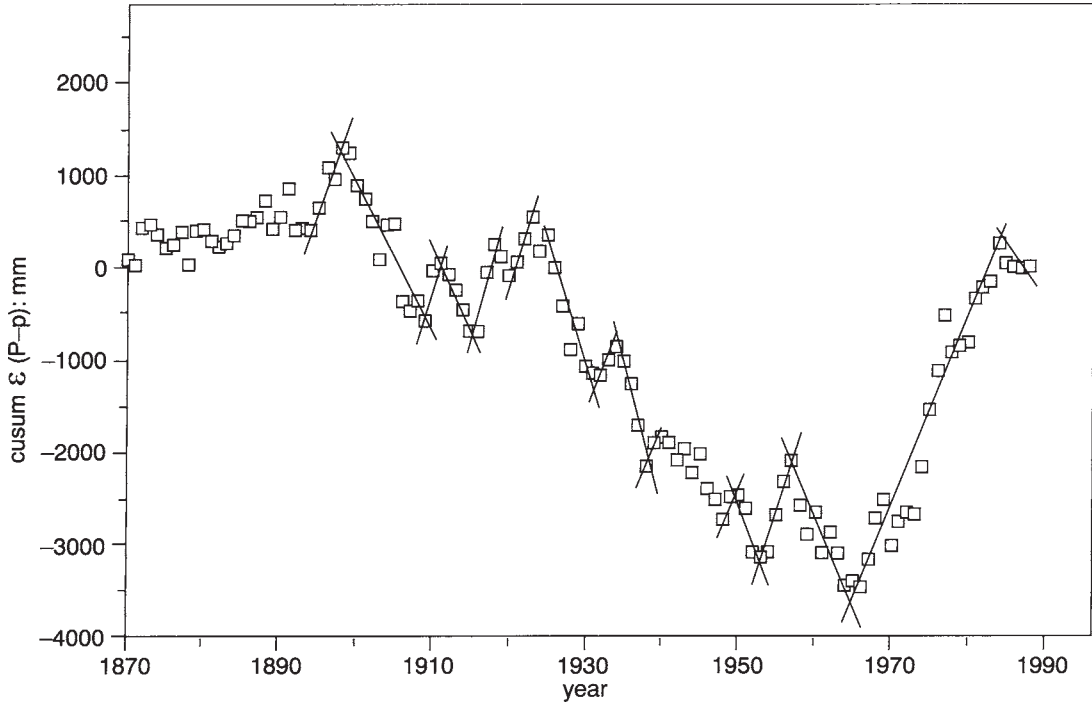


Figure 10.10 The variation of the *cumulative sum* of annual total rainfalls at Darwin. The 'cumulative sum' in any year is the sum of the departures of the annual rainfalls so far from the long-term mean. (So the first and last values of the sum are automatically zero.)

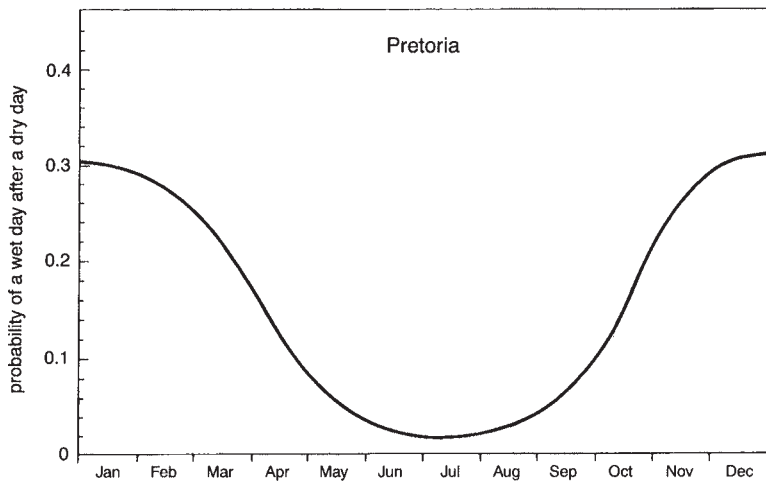


Figure 10.11 The variation from month to month of the chance of a dry day being followed by a wet day in Pretoria, South Africa.

Table 10.2 Probabilities of a dry day at Melbourne after either a wet day or one or two dry days in various months

|                    | <i>Dry-day probability (%)</i> |             |
|--------------------|--------------------------------|-------------|
|                    | <i>January</i>                 | <i>July</i> |
| After one wet day  | 53                             | 38          |
| After one dry day  | 81                             | 54          |
| After two dry days | 80                             | 58          |

frequency of sunspots (Sections 2.2 and 10.7, Chapter 15) or phase of the moon. For instance, Francis Bacon suggested in 1625 that annual weather varies in a cycle of thirty-five years, named in 1887 after Eduard Bruckner, though no such variation appears significant. The only reliable rhythms are daily, seasonal and perhaps biennial, discussed below.

### Daily Variations

Rainfall is more likely at certain times of the day in some regions (Sections 7.4 and 9.5). Convective rainfall often has a pronounced diurnal rhythm, especially on tropical islands. On land, it is most common in the afternoon after the surface has been heated by the Sun. Over the oceans, the diurnal cycle is much weaker, except over waters surrounded by land, as in the Indonesian Archipelago where convective rainfall peaks around dawn because of uplift due to the convergence of land breezes from the surrounding islands.

Stratiform rainfall occurs at any time of the day, although light rain or drizzle is slightly more common around dawn along the coast, when an onshore moist airflow is lifted slightly over the stable nocturnal boundary layer that formed at night over land (Section 7.6). Also, there may be less drizzle in the afternoon, when the surface relative humidity is low (Figure 6.4), because

some of the small raindrops (Table 9.1) evaporate before reaching the ground.

### Seasonal Variations

Seasonal variations reflect changes of solar radiation and wind direction (Chapter 12). Figure 10.3 indicated the variation in the southern hemisphere, and **Figure 10.12** shows the great difference between the summer and winter patterns of rainfall in Australia, i.e. most rain at year's end in the north, and most in winter at higher latitudes. Places on the north coast, like Darwin, receive wet equatorial winds (Chapter 12) at year-end, during the period called 'The Wet', and dry winds from the interior in midyear. In contrast, wet winds from the Indian Ocean prevail in winter at Perth in the west of Australia, bringing rain, whilst summer winds are mostly easterly, coming from inland (Chapters 12 and 16) and therefore dry. Such a pattern of a dry summer and wet winter is called 'Mediterranean'. The pattern is not so evident on the east coast (**Table 10.3**), where the same prevailing easterly winds are *onshore* in summer, i.e. moist.

More generally, a slanting line across Australia, from 25°S on the west coast to 35°S on the east (Chapter 16), separates regions with a wet summer to the north from those with wet winters to the south, i.e. regions of chiefly convective and chiefly frontal rainfall, respectively. The same happens in southern Africa from 28°S on the west coast to 33°S on the south coast, and in South America, from 10°S on the Peruvian coast to 40°S in Argentina (Chapter 16). The slant is due to the difference between sea-surface temperatures to the west and east of a continent (Chapter 11).

The wettest month near the equator tends to occur a few weeks after the noonday Sun has passed overhead, i.e. once the ground has been most heated to promote convective rainfall. So there may be two rainy seasons if the Sun passes overhead twice in the year

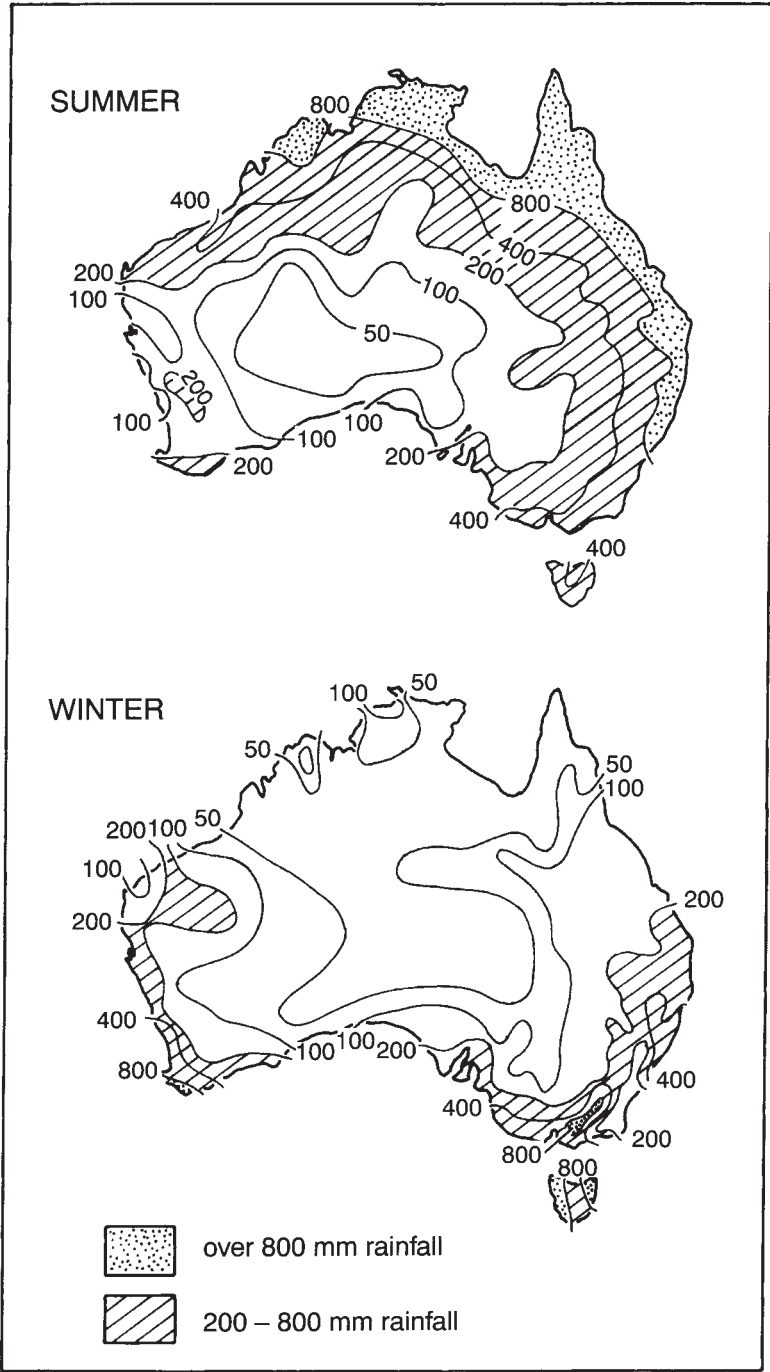


Figure 10.12 Patterns in Australia of December–February and June–August rainfalls, respectively.

Table 10.3 The variation of the monthly median rainfall along the east coast of Australia

| Place           | Latitude<br>(°S) | Monthly median rainfall (mm) |     |     |     |     |     |      |     |      |     |     |     |
|-----------------|------------------|------------------------------|-----|-----|-----|-----|-----|------|-----|------|-----|-----|-----|
|                 |                  | Jan                          | Feb | Mar | Apr | May | Jun | July | Aug | Sept | Oct | Nov | Dec |
| Thursday Island | 11               | 399                          | 393 | 321 | 168 | 20  | 11  | 8    | 4   | 2    | 1   | 12  | 163 |
| Cooktown        | 15               | 290                          | 334 | 340 | 169 | 55  | 40  | 22   | 18  | 11   | 15  | 33  | 14  |
| Townsville      | 19               | 249                          | 245 | 169 | 42  | 15  | 14  | 5    | 3   | 5    | 11  | 21  | 82  |
| Rockhampton     | 23               | 121                          | 109 | 83  | 39  | 28  | 32  | 21   | 13  | 17   | 34  | 58  | 92  |
| Brisbane        | 27               | 127                          | 123 | 115 | 58  | 43  | 41  | 36   | 28  | 41   | 61  | 75  | 107 |
| Port Macquarie  | 31               | 115                          | 150 | 154 | 135 | 112 | 98  | 80   | 63  | 65   | 74  | 79  | 100 |
| Nowra           | 35               | 71                           | 57  | 73  | 77  | 50  | 53  | 71   | 36  | 41   | 50  | 42  | 67  |
| Flinders Island | 40               | 38                           | 47  | 40  | 62  | 79  | 56  | 80   | 73  | 59   | 49  | 54  | 48  |
| Cape Bruny      | 43               | 54                           | 49  | 60  | 74  | 80  | 79  | 91   | 77  | 70   | 76  | 72  | 67  |

(Section 2.2). For example, the Sun passes over in March and September at Quito (0.2°S, in Ecuador) and the wettest months there are April and October. The two wet periods merge into a single period at the Tropic of Capricorn, and even near the equator the tendency towards a double maximum is often overridden by other processes.

Wet summers in the north of Australia mean that bushfires there occur naturally in winter (mid-year), whereas they are summertime hazards in the south. Also, variations of rainfall determine the growing season for crops in areas where temperatures are adequate (Note 3.I). There, growth occurs while the soil is at least half full of available moisture (Note 4.G).

There is relatively little seasonal variation of the frequency of precipitation over the southern oceans, but a steady increase with latitude. There is a sharp maximum of convective rainfall around 38 degrees in winter.

### Biennial Variation

One feature of rainfall variability is the evidence from many places, including south-east Australia, that years tend to be alternately wet and dry, especially in the tropics. For instance, rainfalls in Victoria during the period 1913–76 tended to

be about 10 per cent less than average each 2.1 years or so. More than two-thirds of Australia had subnormal rain in 1957, 1959, 1961, 1964, 1965, 1970 and 1972, for instance. Similar slight 2-3-year rhythms have been found at Fortaleza in Brazil, in New Zealand and South Africa, and in the date by which a quarter of Adelaide's annual rain has fallen. An almost biennial rhythm occurs in the flooding of the Nile, monsoonal rains in India, rainfall in New Zealand, snowfall in Australia (Section 10.8), and in sugar-cane harvests in Queensland, for instance. These may reflect the 'Quasi-Biennial Oscillation' of winds in the equatorial stratosphere (Chapter 12).

### 10.5 WATER BALANCES

The change of moisture in an area equals the difference between (i) the gain (as precipitation and inflow) and (ii) the loss by evaporation and outflow. This equality is the '*water balance*' (Section 4.4). It may be considered on any scale of space or time. The 'change of moisture' might involve an alteration of level in a reservoir, or wetter soil, for instance. Runoff occurs once the storage is full (**Figure 10.13**).

Water-balance estimates are often made in agriculture to check the need for irrigation. For instance, measurements in a ricefield near



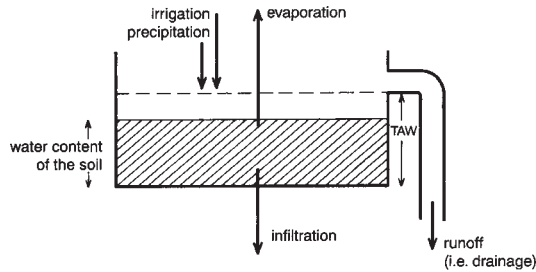


Figure 10.13 The bucket model for the water balance in the soil beneath an irrigated crop. The amount of water stored in the soil increases if the inputs (from rain and irrigation) exceed the losses from evaporation into the air and infiltration into the subsoil. There is overflow in the form of surface runoff, in the bucket model, only when the soil from rootbase to the surface is saturated, i.e. its Total Available Water capacity is filled.

Griffith (NSW) showed that the rainfall during a week in February was 13 mm, 86 mm of irrigation water was applied, 10 mm infiltrated into the soil, the water level fell by 23 mm and the flow away of drainage water was equivalent to 1 mm. So the evaporation inferred from the water balance was 65 mm (i.e.  $86+13-1-23-10$ ), or 9.3 mm/day, which is relatively high (Section 4.6).

### Water Balances on a Large Scale

The average rainfall over all the oceans is estimated to be about 1,140 mm/a, whereas the evaporation rate is about 1,260 mm/a; the difference is made up by riverflow into the sea. For the Indian ocean, the rainfall has been estimated as 1,170 mm/a and evaporation 1,320 mm/a, with one inflow from rivers equivalent to 80 mm/a, and another in currents from other oceans of 70 mm/a. In the case of the world's land surface, the average rainfall is reckoned to be about 730 mm/a, 420 mm/a (i.e. 57 per cent) of which evaporates, the difference being carried away in the rivers.

The precipitation and evaporation rates at various latitudes are shown in Figure 10.6. The climate is wet and there is runoff wherever

rainfall exceeds evaporation, at the equator and at latitudes around 55 degrees. On the other hand, most deserts are found at 20–30 degrees latitude (Chapter 16), where the potential evaporation rate exceeds the rainfall (**Note 10.0**).

Rainfall averages 1,630 mm/a in South America and evaporation 700 mm/a, and the difference, equivalent to 930 mm/a, is carried away in huge rivers. The Australian figures are 470 mm/a rainfall and 420 mm/a evaporation, so that the rivers here carry merely 50 mm/a equivalent. More specifically, evaporation amounts to 94 per cent of the rainfall in the arid Murray-Darling watershed of Australia. The mean precipitation is only about 160 mm/a in Antarctica, almost all of which is lost in glaciers flowing slowly to the sea (Chapter 16).

### Water Balances on a Local Scale

It is instructive to compare a map of rainfall in Australia (Figure 10.3 and Chapter 16) with the pan evaporation  $E_p$  (Section 4.5), from which one can infer approximate rates of lake evaporation  $E_o$ , i.e.  $0.7 E_p$ . The comparison shows that annual rainfalls tend to be less than  $E_o$  at most places in Australia, e.g. the rainfall and  $E_o$  at Alice Springs are 250 mm/a and 2,200 mm/a, respectively. Equivalent figures for Hobart are about 600 and 700 mm/a, approximately. But places like Darwin (where the annual rainfall is 1,490 mm and  $E_o$  about 1,680 mm/a) should be compared on a monthly basis because of the highly seasonal climate:  $E_o$  greatly exceeds precipitation in the dry season but is less than the rainfall from November to April.

Not all the rain from clouds reaches the ground. Some evaporates below cloud base (Section 9.1). There is also interception of some rain by the leaves of vegetation, though most of that is subsequently evaporated. The fraction intercepted is typically 10 per cent in the Amazon

basin, 15–40 per cent for conifers, 10–25 per cent for deciduous hardwoods and 14–22 per cent for prairie grass. Measurements in a mature Australian wheat crop showed that about a third of the rain was held on the leaves. The amount held can be 2 mm or so in the case of grass, and 8 mm for a cotton crop. Around 5 mm was intercepted during each storm above a rainforest in north Queensland, depending on the leafiness of the foliage. It follows that deforestation increases runoff, e.g. by 5–10 per cent in northern Queensland.

When rain reaches the ground it tends to pond on the surface, and then either evaporates, flows away as runoff or is absorbed into the ground. All the rain is absorbed if the intensity is less than the maximum rate at which the soil can accept it, which depends on the type of soil and its prior wetness. For unsaturated soils it may be around 50 mm/h in the case of sand, but 4 mm/h for clay, for example. So there is a wide range of infiltration rates within a single drainage basin.

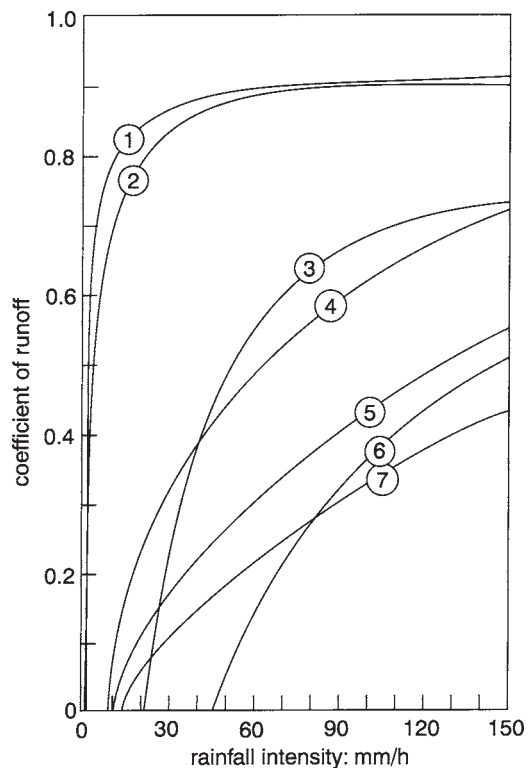
The ratio of the runoff ( $R$ ) to the precipitation is called the *runoff coefficient*. It is greater with high rainfall intensities. It is also affected by surface roughness, vegetation, soil type, soil wetness and the slope of the land (**Figure 10.14**). Values vary widely (**Table 10.4**).

What is called the *effective rainfall* (or *influential rainfall*) is that part which is neither evaporated, intercepted by vegetation nor carried away as runoff. It penetrates into the ground and is mostly taken up by roots, later evaporating from the vegetation's leaves. It is the part of the rainfall involved in growing plants, roughly estimated in various ways. One procedure is to ignore daily rainfalls of less than 5 mm, for instance (which are assumed to evaporate without wetting the ground to root level), and disregard all rainfall falling after 75 mm has fallen on the same day; this is supposed to run off. However, such threshold values are arbitrary, and different in various countries. Another way, used for agriculture in southern Australia, has

been to take the *ineffective* part of each month's rainfall as about equal to a third of the lake evaporation (Section 4.5).

## Water Budgeting

An important application of the water-balance concept is in *water budgeting*. This is a procedure for keeping track of changes of soil-moisture content, using data on the rainfall  $P$  and actual evaporation  $E_a$ , during each successive period of ten days, for instance.  $P$



*Figure 10.14* Effect of rainfall intensity and kind of surface on the runoff coefficient. Curve 1 refers to impervious roofs, concrete and urban areas generally, 2 to steep rocky slopes, 3 to medium soil on open slopes, 4 to residential suburbs with gardens, 5 to parks, lawns and meadows, 6 to forests and sandy soils, 7 to cultivated fields with good growth.

Table 10.4 Values of the run-off coefficient

| Place  | Runoff coefficient (%) |
|--|------------------------|
| <b>Basins:</b>                               |                        |
| Murray-Darling rivers                        | 6                      |
| Mississippi river                            | 22                     |
| Zaire river                                  | 23                     |
| Clarence river, NSW                          | 27                     |
| Amazon river                                 | 42                     |
| <b>Various surfaces:</b>                     |                        |
| Parkland                                     | 10–25                  |
| 25% urbanised suburb                         | 13                     |
| Well-engineered catchment in South Australia | 16                     |
| 50% urbanisation                             | 26                     |
| Totally suburban                             | 52                     |
| Downtown                                     | 70–90                  |

raises the moisture content from a known value at the start of a period, and  $E_a$  lowers it, so that the moisture content at the end of that period (and the start of the next) can be calculated by simple book-keeping. Details are given in **Note 10.P**. The procedure shows when and how much irrigation is needed.

Another reason for keeping a soil's water budget is that the *soil-moisture content* ( $M$ ) indicates the eventual crop yield (Note 4.G). Yield correlates more strongly with  $M$  than with climatic features such as evaporation, rainfall or temperature. Calculations with climate data for several years show how often a crop's yield would be satisfactory on new land.

Water budgeting also shows the amount of runoff and therefore the expected river flow. For instance, the curves in **Figure 10.15** indicate that runoff at Launceston in Tasmania can be expected from June–October, i.e. that is when flooding is most likely.

## 10.6 FLOODS

Floods are rivers which overflow their banks.

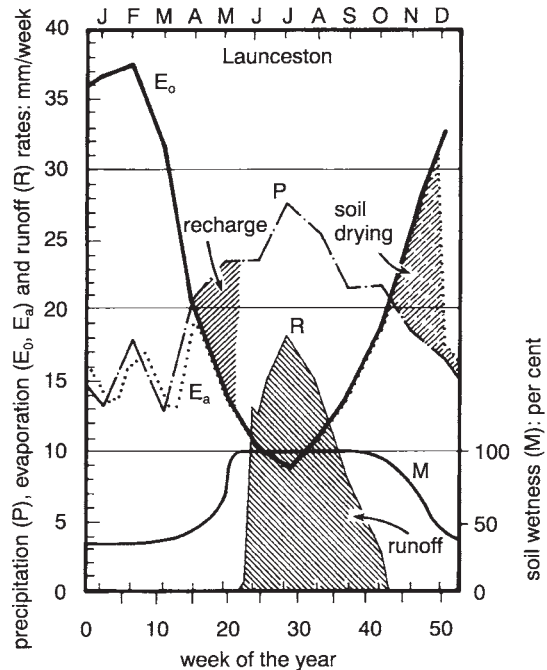


Figure 10.15 Measured values at Launceston, Tasmania, of the precipitation rate  $P$ , and values of the lake evaporation  $E_0$  (representing the potential evaporation rate  $E_p$ ), calculated from temperature values. The actual evaporation rate  $E_a$  is estimated as whichever is the less of  $E_0$  and  $112 M^2$  mm/wk (Figure 4.10), where  $M$  is the soil's actual water content as a proportion of the soil's available-water capacity, assumed to be 100 mm of water. The soil dries out when  $E_a$  is greater than  $P$ , until  $M$  equals  $[E_0/112]^{0.5}$  (Linacre 1973:451). Recharge occurs when  $P$  is greater than  $E_0$ , until the soil is saturated. Thereafter, there is runoff  $R$ , as long as  $P$  exceeds  $E_0$ . The shaded areas of recharge and soil drying in the diagram must be equal because there is a long-term balance of drying and rewetting of the soil.

This happens when there is an abnormal runoff from a wide catchment area into the river—from heavy rains or the rapid melting of snow upstream. Abrupt, brief risings of the river are called 'flash floods'. Altogether, floods cause many deaths worldwide (**Table 10.5**), and the

Table 10.5 Numbers of people killed in natural disasters from 1967–91

| <i>Natural disaster</i>                        | <i>Number of deaths</i> |
|--|-------------------------|
| Droughts (Section 10.7)                        | 1,334,000               |
| Tropical cyclones and storm surge (Chapter 13) | 896,000                 |
| Storms and floods (Section 10.6)               | 358,000                 |
| High winds (Chapter 14)                        | 14,000                  |
| Extreme temperatures (Chapter 3)               | 5,000                   |

number increases with the growing population in flood-prone areas.

Floods are more likely where the ground is too impervious or already too wet to absorb more moisture. Thus the possibility of heavy rains causing flooding is estimated by monitoring or calculating the wetness of the ground of the various areas draining into the river (Section 10.5).

Flooding in a section of a river implies that the inflow upstream exceeds the outflow from the place of flooding, and both flows depend on the shape of the land. Flat areas which are notably vulnerable to flooding are called *floodplains*, which may be well downstream of the region where the rain falls.

The hazard is greater where there is a high variability of rainfall. So Australia is especially prone, particularly in the north (Section 10.4). The heaviest precipitation is often the consequence of depressions or tropical cyclones (Chapter 13), and causes floods if the falls are widespread. That occurred in some famous floods in Brisbane in the last century (**Note 10.Q**). Even earlier, seven major floods in the Hawkesbury Valley behind Sydney in the period 1795–1810 seriously threatened the food supply of the infant colony. The likelihood of such floods now is lessened by dams across the headwaters.

Years of particularly high floods in northern New South Wales are listed in **Table 10.6**. Flood

years at Grafton coincided with those at Lismore, some 100 km away and in a quite separate valley. Almost all the forty-four floods occurred in the first half of the year when tropical cyclones are most prevalent (Chapter 13). Otherwise, there is no evidence of any regularity, and no clear correspondence with El Niño episodes (Chapter 11). The table shows that the frequency of major floods in that region has risen this century from about one to about ten each twenty years.

Extensive flooding occurs occasionally in Lake Eyre, the largest ephemeral lake in the world, draining an area of central Australia roughly 700 km across. It happened in 1916–17, 1920–21, 1949–50 and 1974–77, with minor floodings in 1907, 1940–41, 1953, 1955–59, 1984 and 1989, due to heavy rains in Queensland far away. Again, these dates do not correspond to those of El Niño or La Niña events (Note 10.M).

## 10.7 DROUGHTS

Wheat yields averaged only 0.67 tonnes per hectare during six Australian droughts between 1940 and 1968, instead of the 1.17 t/ha in the years immediately before and after. In the USA, 41 per cent of crop insurance payouts to farmers are for losses due to drought. Even more importantly, droughts are responsible for more human deaths than other natural disasters (Table 10.5).

They differ strikingly from floods. A drought can be as damaging as a flood, but affects a whole region and not just the low-lying parts. Also, droughts begin imperceptibly but usually end sharply with soaking rains, whereas floods start abruptly and have a lingering aftermath.

Droughts can be defined in several ways. What is called a *meteorological drought* occurs when there is little rain, compared with normal, *in terms of the degree of variability at the place*. For instance, drought may be defined as three

Table 10.6 Times of floods at Grafton and Lismore (NSW)

| <i>Grafton: years when river over 2.2 m above reference *</i> |                   |                  |                   |                  |                  |           | <i>Lismore †</i>  |
|---|-------------------|------------------|-------------------|------------------|------------------|-----------|-------------------|
| <b>March 1890</b>   | <b>April 1892</b> | <b>Feb. 1893</b> | <b>June 1893</b>  |                  |                  |           | 1893              |
| May 1921  | <b>July 1921</b>  | <b>Feb. 1928</b> |                   |                  |                  |           | 1921, 1931        |
|   |                   |                  |                   |                  |                  |           | 1945, 1948, 1950, |
| <b>June 1945</b>  | <b>March 1946</b> | <b>June 1948</b> | <b>June 1950</b>  | July 1950        | <b>Feb. 1954</b> | July 1954 | 1954              |
| March 1955  | May 1955          | Jan. 1956        | <b>Feb. 1956</b>  | <b>Jan. 1959</b> | <b>Feb. 1959</b> | Nov. 1959 | 1956              |
| April 1962  | July 1962         | Jan. 1963        | April 1963        | <b>May 1963</b>  | March 1964       | July 1965 | 1962, 1963, 1965  |
| Jan. 1967   | March 1967        | <b>June 1967</b> | <b>Jan. 1968</b>  | Feb. 1971        | Nov. 1972        |           | 1967, 1972        |
| <b>Jan. 1974</b>  | <b>March 1974</b> | April 1974       | <b>Feb. 1976</b>  |                  |                  |           | 1974, 1975, 1976  |
| <b>May 1980</b>   | <b>April 1988</b> | July 1988        | <b>April 1989</b> | Feb. 1990        | April 1990       |           | ‡                 |

\* The dates shown are of years when the Clarence River at Grafton rose more than 2.2 m above the reference level; on dates shown in bold the river rose beyond 6 m

† The dates shown are of years when the Richmond River at Lismore (100 km north of Grafton) rose more than 10 m above the reference level

‡ No data available

consecutive months with rainfalls each within the lowest decile. This means that even a high rainfall leads to drought if it is much less than usual at that time of year, at that place; drought is not the same as aridity. However, even a modest reduction from normal leads to drought if there is usually little variation from the average. Thus a reduction of annual rainfall to 66 per cent of the average at Perth (where the variability is low) would constitute a severe drought, whereas such a reduction would be hardly noticed at Alice Springs because of the customary high variability of rainfall.

Other rainfall definitions of drought in terms of less than some certain amount within some specified period differ between countries. An *agricultural drought* is determined by soil-moisture conditions during critical stages in the growth of a crop. So it depends on previous runoff and evaporation (i.e. on radiation, temperature, humidity and wind) as well as rainfall. Hence parts of north-east Brazil suffered extreme meteorological drought, but not agricultural drought, during 1983. *Hydrological drought* reflects the drying up of streams, and therefore is governed by all the factors affecting runoff, considered in Section 10.5. This kind of drought, like agricultural drought, is revealed

by water budgeting. *Socio-economic drought* is a time of a water shortage affected by management decisions. For instance, the water stored behind a dam may be reduced in anticipation of predicted heavy rains, giving rise to a drought if rains turn out to be merely normal. Or consider the official decision to 'declare' a drought in a region of New South Wales whenever half the sheep or cattle must be hand-fed or moved away for pasture; this depends on previous management decisions about stocking rates and kind of stock, and human judgement of the need to move it. Such drought may be induced by over-grazing, or by over-commitment of the water supply. We will focus on meteorological drought in what follows.

The best-known indication of the intensity of a drought is the American *Palmer Drought Severity Index* (PDSI), derived from complicated comparisons of (i) measured rainfall and estimated evaporation, with (ii) normal values, and summations of the monthly differences. The usefulness of the PDSI is limited by poor estimation of evaporation, the use of monthly averages, several arbitrary values, and the need for considerable information. It can be improved by more explicit water budgeting (Section 10.5), e.g. for estimating catchment runoff or the dryness of forests. In this

case, drought intensity is described in terms of the millimetres of water needed to refill the soil (Note 10.P). There is a serious risk of a forest fire if the deficit exceeds say, 64 mm.

The occurrence and extent of droughts seem at first sight to be hopelessly irregular. For instance, Australian records show that over 20 per cent of the continent had annual rainfalls within the lowest decile on thirteen occasions between 1902 and 1982, spaced 3–14 years apart, with a median value of 5.5 years. Unfortunately, this is neither so rare as to be unimportant nor so frequent as to be accommodated within the normal routine of farming. The irregularity of occurrence is due to a combination of factors: (i) 'natural' variation, (ii) unexplained processes, (iii) weather rhythms, (iv) sea-surface temperatures nearby and (v) teleconnections, all of which operate together and are variously unpredictable. Let us consider them.

### Random Element

There is a large random element in all atmospheric processes, reflected in the variability of rainfall considered earlier (Section 10.4). This inherent property of climates inevitably produces a long series of subnormal rainfalls from time to time, just as tossing a coin leads to a long run of heads occasionally. Convective rainfall especially is associated with unpredictable randomness, as thunderstorms occur only in some parts of a region of unstable air but not in others (Note 7.G). When this effect is dominant it causes isolated patches of '*natural drought*', mainly in semi-arid areas on the edges of deserts where the variability is greatest. It is quite normal in Australia.

### Unexplained Associations

Several occurrences of drought have been linked with preceding atmospheric conditions,

indicating causative processes at work which are not yet understood. For instance, two consecutive wet years at Beer-Sheva in Israel are usually followed by drought. Similarly, fifteen drought years out of twenty-two in north-east Brazil were preceded by months of unusual wind patterns in the upper atmosphere of the northern hemisphere.

Persistence (Section 10.4) is a related feature of drought, perhaps due to positive feedbacks in the atmosphere prolonging a random dry period into a drought. Thus, the probability of a relatively dry summer at Alice Springs is 14.4 per cent, so that the chance of two dry summers together would be 2.1 per cent (i.e.  $0.144 \times 0.144$ ) if the events were independent (Note 10.N). But, in fact, the chance proves to be 3.9 per cent—almost twice as much—so a dry summer this year somehow enhances the likelihood of one next year. Likewise, records of rainfalls in Africa during the period 1911–74 show more long runs of dry years than would be expected by chance.

### Rhythms

Occasionally there seems to be a rhythm of a decade or two in the occurrence of drought (**Note 10.R**). Tree-ring data (Note 10.D) from the American Midwest indicate gaps of about nineteen years between droughts, and a similar time has elapsed between some major droughts in New South Wales in 1809, 1828, 1857, 1866, 1885, 1895, etc. Also, there were extended dry periods in South Africa during the periods 1905–16, 1925–33, 1944–53, 1962–71 and 1981 onwards. Droughts occurred in the Transvaal each eighteen years or so, with about 10 per cent less rain than average. Similarly, the fluctuation of annual rainfall in Argentina shows minima about each 18.8 years, with around 20 per cent less than the mean. Also, droughts in north-east USA since 1840 indicate a periodicity of about nineteen years, like those in north-east China since AD 1500, whilst rings in the cross-

section of a cypress tree in North Carolina show a rhythm of 17.9 years.

All this might be due to the Moon, which moves in an ellipse about the Earth, with the axes of that ellipse themselves rotating each 18.6 years, the rotation being called the *lunar nodal precession*. This has the effect of varying the distance between Moon and Earth, and therefore the Moon's tidal pull on our atmosphere. Presumably this changes the pattern of winds and therefore of rainfalls. Alternatively, or in addition, the apparent rhythm of droughts might possibly be related to the time between sunspot maxima, i.e. to a cycle of roughly eleven or twenty-two years, though the relationship is complex and ambiguous (**Note 10.S**). Sadly, alleged links to the sunspot cycle or lunar precession may be based on poor analysis of selected data and in practice are too subtle to be of much help in forecasting rainfall.

### Sea-surface Temperatures

A factor which does certainly increase the chance of drought is an unusually low sea-surface temperature (SST) nearby. For instance, the dry years 1957, 1961 and 1965 in Australia were associated with sea-surface temperatures around the coast which were cooler than in the wet years 1950, 1955 and 1968. Similarly, some correlation has been found between the occurrence of drought in north-east Brazil and the adjacent Atlantic SST (Chapter 16), and between droughts in eastern Australia and the nearby Pacific SST off Queensland. Also, the rainfall between November and March along the eastern edge of South Africa depends notably on the temperature of the warm Agulhas Current and on the proximity of the Current to the coast (Chapter 11); drought is more likely when the Current is weak or absent. The top and bottom rows in **Figure 10.16** show some connection between warm seas off South America and rainfalls at Santiago nearby.

This evidence that an abnormal coastal SST increases rainfall onshore is not surprising. The extra warmth means that the onshore winds can hold more water vapour (Note 4.C), and higher surface temperatures enhance convective uplift; both are factors which increase rainfall. The effect of SST on rainfall is one reason for considering the oceans in the next chapter.

### Teleconnections

Sometimes rainfall at a place increases in accord with warmer seas *far away*, either at the same time or months previously. For example, **Figure 10.17** shows rainfall anomalies (i.e. differences from normal) in Papua New Guinea occurring about a month after anomalies of SST at the other end of the Pacific ocean, over 10,000 km away. Such a relationship is called a *teleconnection* between the weather at one place and the weather somewhere remote. Other examples of teleconnections involving rainfall are as follows:

- 1 Warm seas more than 2,000 km east of Brazil are associated with high rainfalls in December at 4°S on the Brazilian coast.
- 2 Relatively high SST and more westerly winds in the equatorial Atlantic are associated with above-average precipitation over coastal regions of Angola in Africa (**Figure 10.18**).
- 3 Each of the twenty-two periods of high sea-surface temperature off Peru between 1871 and 1978 (with temperatures 2–4 K above normal) was associated with about 9 per cent less monsoonal rain than usual over most of India.

There are also teleconnections between droughts in separated land areas. H.C.Russell noted in 1896 that droughts in India and Australia tended to occur in the same years. Likewise, **Figure 10.16** shows a *tendency* towards a coincidence of droughts in Australia,

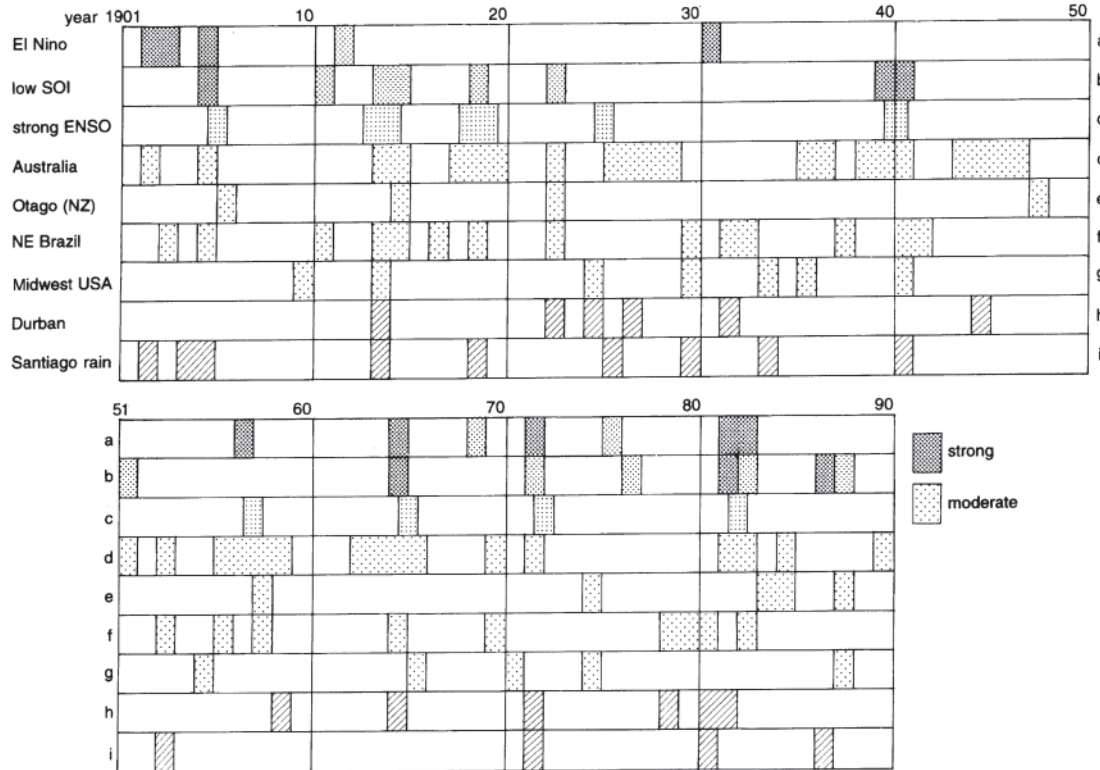


Figure 10.16 The approximate occurrences of El Niño, droughts in various places, and rainfall in Santiago (33°S). The dates of warm sea surfaces off Peru are shown in row (a), and years with a low Southern Oscillation Index (see Chapter 12) in row (b). Row (c) shows years when there were either 'strong' or 'very strong' ENSO warm episodes (Chapter 12), with considerable repercussions economically etc. Droughts in Australia are indicated in row (d), in Otago (NZ) (e), northeast Brazil (f), Midwest USA (g) and South Africa (h). Row (i) shows years when the rainfall at Santiago exceeded 500 mm, i.e. unusually *wet* years. (For more details, see Section 17.3 concerning this diagram.)

New Zealand, Brazil and Midwest USA, near the times of the sea-surface warming episodes off Peru, the El Niño (Note 10.M), and of low atmospheric pressures at Tahiti, shown by a low 'Southern Oscillation Index' (Chapter 12). (The fact of only a 'tendency' towards coincidence shows the influence of other factors which lead to droughts, as well as the difficulty of specifying the year of a drought which extends from spring in one year to autumn in

the next.) On the other hand, droughts do not occur simultaneously in both East Africa and South Africa, while droughts in Chile tend to coincide with unusual flooding of the Nile. Also, droughts occur in different years in the east and west of Australia (**Figure 10.19**), presumably because the global pattern of winds affects the two halves of the continent differently (Chapter 12).

The causes of these various teleconnections



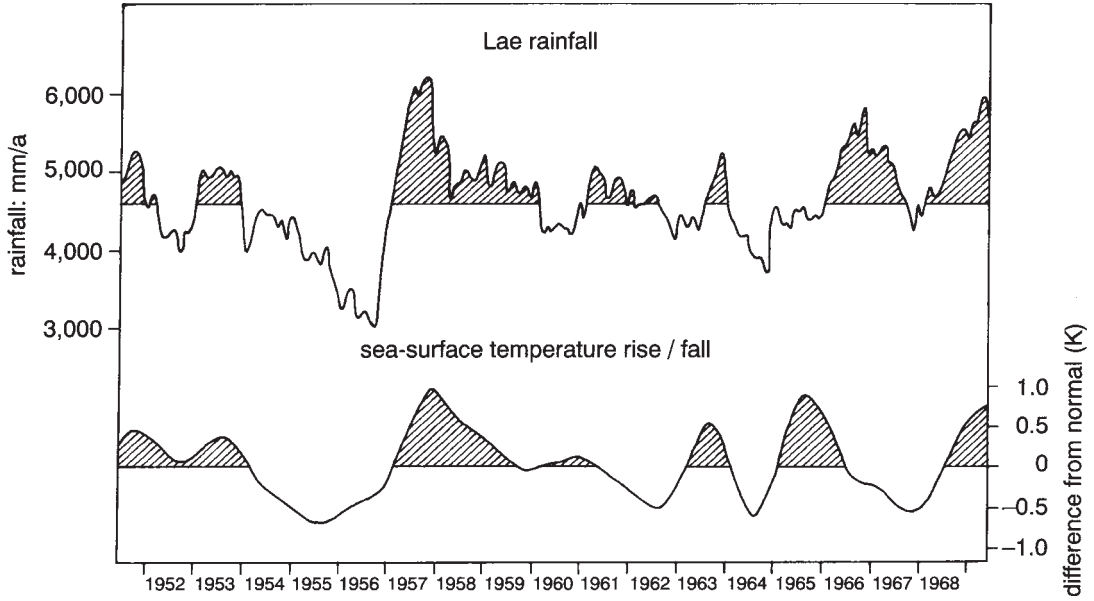


Figure 10.17 Parallelism of changes of rainfalls at Lae (in Papua New Guinea) and of sea-surface temperatures between 5°N–5°S and 80–180°W, in the east equatorial Pacific. The rainfalls and the temperatures shown are twelve-month ‘running means’ (Note 10.H).

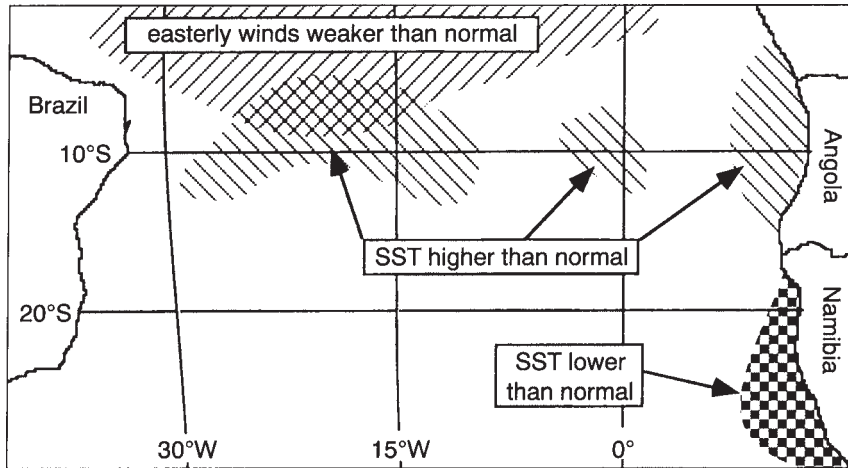


Figure 10.18 Sea-surface temperatures SST and winds in the Atlantic at low latitudes associated with unusually wet weather on the Angolan coast.

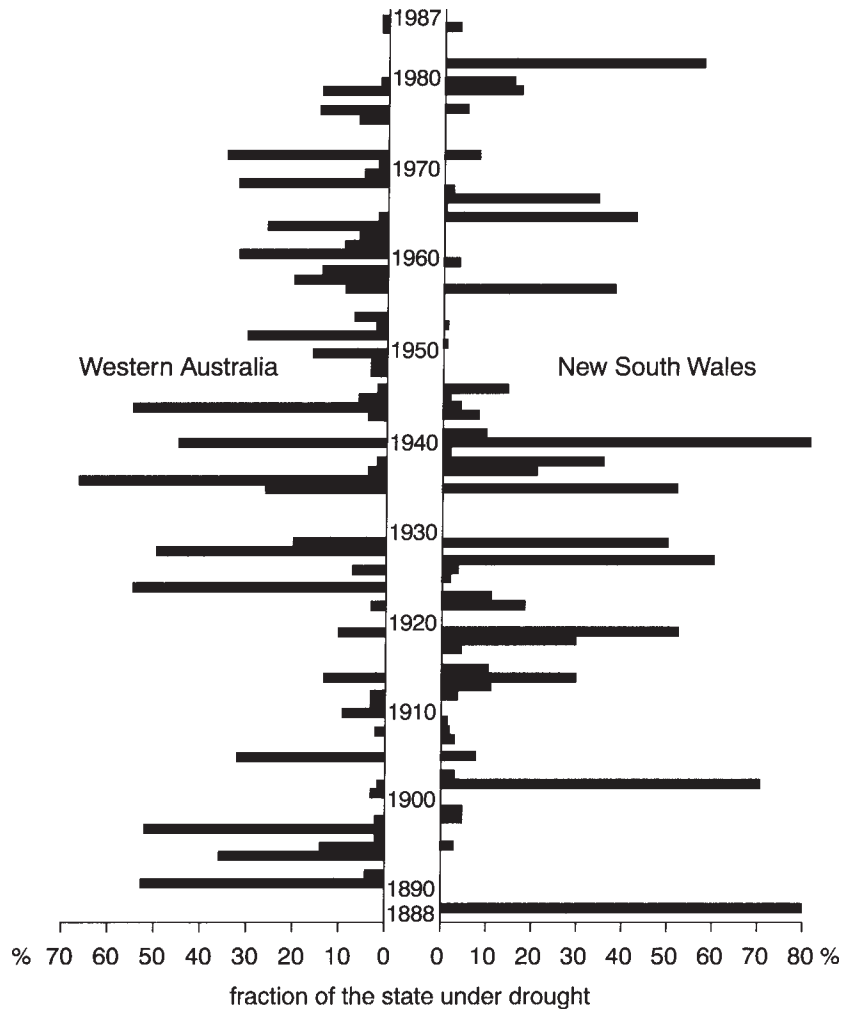


Figure 10.19 Percentages of the areas of New South Wales and Western Australia, respectively, affected by drought, between 1888 and 1987.

are not well understood, though their scale implies a dependence on global patterns of winds (Chapter 12).

## 10.8 SNOW

Snow falls instead of rain at places of high elevation and high latitude (Figure 3.6). For

instance, there is snow every year above about 1,500 m in south-east Australia, and there were nine brief occasions between 1900 and 1979 of snow on the inland edge of Sydney, which is beside the sea at 34°S. But there is no permanent snow even on Australia's highest mountain (Mt Kosciusko at 2,228 m, 37°S) because it is too low (Figure 3.6). Likewise, there is no permanent snow even on the several

peaks over 3,000 m in Lesotho, because of a latitude of only 30°S.

Rates of snowfall are low because (i) snowflakes descend at only about 1 m/s, no matter what size, about a quarter the rate for typical raindrops or a tenth the rate of the large drops in heavy rainfall (Table 9.1), and (ii) almost all snow comes from non-convective clouds (Section 8.1).

The depth of settled snow is initially about 5–16 times that of an equal weight of rain, becoming about 2.5 times the depth by the end of winter, i.e. the density becomes 40 per cent that of water. Where there is permanent snow it solidifies after some months as *firn*, which is granular and has a density which is 40–80 per cent that of water, and then eventually it may become glacier ice with a density of about 90 per cent.

The greatest depth of snow likely on a roof in the Snowy Mountains (which straddle New South Wales and Victoria) increases linearly with elevation (**Figure 10.20**). Years of deepest snow in a valley in the Snowy Mountains were 1956, 1958, 1960, (1962), 1964, (1966), 1968, (1970), (1972), 1974, (1977), 1981, (1984), (1986), 1990, 1994. (The bracketed years were less notable.) These dates are consistent with the idea of a quasi-biennial variation (Section 10.4), i.e. a tendency for a good year for skiers to be followed by a poor one. In fact, records from 1954–87 show that any year of abnormal snowfall is followed by another above-average year on only 20 per cent of occasions. This is an example of *anti-persistence*.

Most years with above-average snowfall in the Snowy Mountains coincide with *below-average* rainfall on the eastern slopes because that rain is due to onshore east winds which are too warm to produce snow, whereas snow comes from outbreaks of polar air from the south-west.

Trends this century indicate a rise in snowfalls during the period 1930–60, and since then a decline (**Figure 10.21**). Figure 10.21

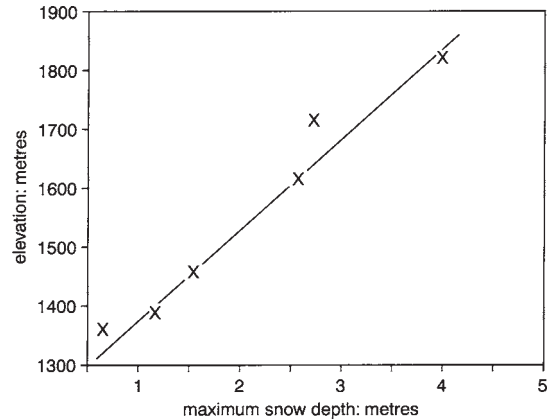


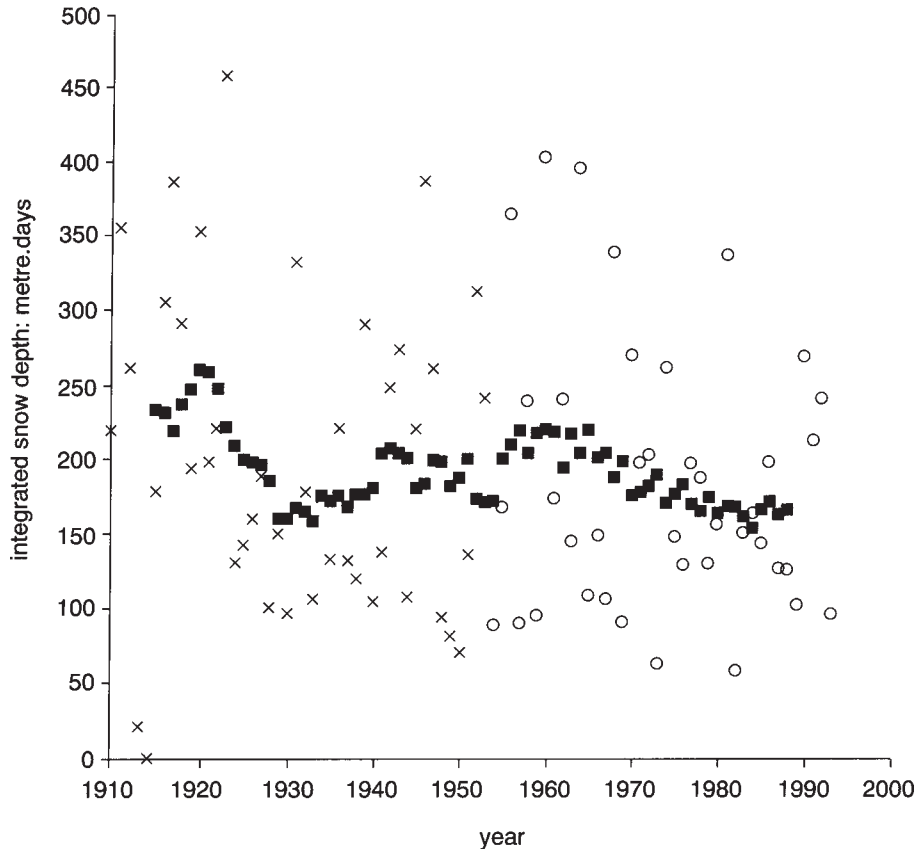
Figure 10.20 Variation with elevation in the Australian Alps of the average maximum depth of snow each year.

describes the amount of snowfall in a year in terms of the *integrated snow depth* in metres, i.e. the sum of all the daily depths during a year, so that the figure combines depth and duration, and the maximum value is reached with the last snow of the season. The fluctuations shown in Figure 10.21 happen to correspond approximately to opposite trends in rainfalls at Darwin at the other end of Australia (Figure 10.10).

The duration of the snow season is about sixteen days more for each 100 m elevation in Britain, Switzerland and Australia, though this varies from year to year. The ski season in the Snowy Mountains was almost six months in 1968 but only one month in 1979. Global warming will reduce the period, and preliminary calculations for New Zealand suggest that the snowline will rise 100 m for each 1 K of warming.

Large accumulations of snow can lead to avalanches on slopes of 35–45 degrees, in early spring. Avalanches are most likely when there is a fall of at least 50 mm one day, then a week or two of daily maximum temperatures below -5°C, followed by two or three days with maxima over +2°C.

The melting of snow depends on the net



*Figure 10.21* The variation of the integrated snow depth in the Australian Alps. The values shown by circles were measured at Spencer's Creek (at 1,475 m), about 5 km from Mt Kosciusko. Measurements at Kiandra (at 1,395 m, some 40 km to the north) were used prior to 1954 until 1968, as measurements at Spencer's Creek started only in 1954; crosses show the measurements at Kiandra, multiplied by the ratio of the mean snowfall at Spencer's Creek to that at Kiandra between 1954 and 1968. Solid squares show 11-year running means, e.g. the value for 1958 is the average of cross or circle values during the period 1953–68, inclusive.

radiation, heat from the wind, and latent heat released when water vapour deposits onto the snow. Which is most important depends on the circumstances. Heat from the wind is the major cause of melting in the case of a New Zealand glacier. Cloud accelerates melting when temperatures are above freezing, since snow accepts the longwave radiation from clouds but

reflects away shortwave radiation from the Sun (Table 2.3).

The melted snow contributes to rivers, combining with the runoff of rain from the land and with groundwater to flow down to the sea. So the next stage of the hydrologic cycle which is the topic of Part III of the book involves the oceans. These are discussed in the following chapter.

**NOTES**

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- 10.B Rain gauges
- 10.C Remote rainfall measurement
- 10.D Indication of seasonal rainfall by tree rings
- 10.E Acidity and alkalinity
- 10.F Soil erosion
- 10.G Estimation of rainfalls for long recurrence intervals
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# OCEANS

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## 11.1 OCEANS AND CLIMATES

The remaining stage of the hydrologic cycle (Section 6.1) consists of the circulation of water in the oceans. This has considerable impact on onshore climates (**Note 11.A**); the oceans affect the atmosphere's temperature (Note 3.G), moisture content (Note 4.D), stability (Section 7.6), rainfall (Section 10.4) and winds (Chapter 14). These effects are felt especially at the coast, and thus are important, for instance, to the 80 per cent of Australia's population who live within 30 km of the sea.

The amount of water in the oceans is huge. The average depth is 3,730 m (Note 1.A), which is over four times the mean elevation of the world's land above sea-level. It takes a 0.4 kg steel ball over an hour to drop 11 km to the bottom of the Mariana Trench in the north-west Pacific. Also, the area of the oceans is vast, covering 70.9 per cent of the Earth's surface (Note 1.A).

The predominance of ocean surface is a special feature of the southern hemisphere. Just over 80 per cent of the hemisphere is covered by sea and about a quarter of the rest is permanently covered by ice. The percentage of

ocean is especially high at the latitudes of Australia, and around Antarctica (**Figure 11.1**).

### Comparison

The oceans resemble the atmosphere in consisting of a fluid, containing heat, being subject to convection (**Note 11.B**) and horizontal circulation, flowing under the influence of slope and pressure difference, and carrying contaminants. Much of the theory of atmospheric movement applies also to ocean flows.

The fluids also differ greatly. Water is substantially incompressible and over a thousand times more dense than air, so that even slow ocean currents contain much greater momentum—enough to affect the rotation of the Earth. The density of air ranges widely with altitude, whereas there are relatively slight variations of the density of the sea, due chiefly to differences in temperature or salt concentration. In addition, oceans are contained within large connected basins while the atmosphere is almost unbounded. Furthermore, the oceans hold much more heat; the total heat capacity of the whole atmosphere is equal to that of merely 3 m depth

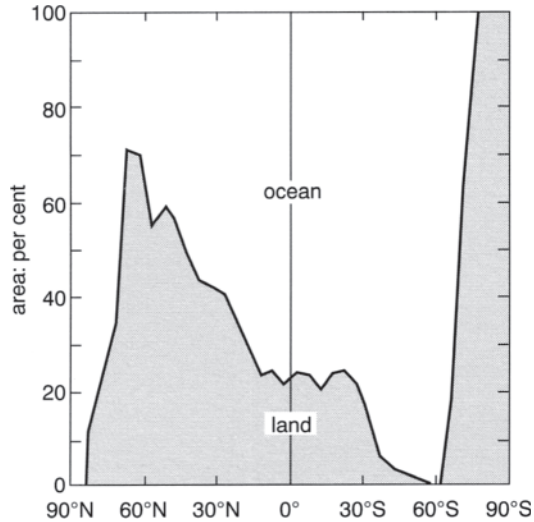


Figure 11.1 The shaded area is below a curve showing the fractions of the area at each latitude taken up by land and ocean, respectively. For instance, about 20 per cent of points at 30°S are on land, and about 80 per cent at sea.

of the oceans. Also, the Sun's radiation heats the sea at the *top*, creating a lighter upper layer and hence extreme stability, which helps preserve the layered structure of the oceans, discussed in Section 11.2. All the Sun's infra-red radiation is absorbed within a millimetre of the ocean's surface, while 90 per cent of the visible radiation is absorbed in the top 75 m. Overall, half the solar radiation is absorbed within 6 cm. This heating at the top contrasts with the situation in the atmosphere, which is heated by the ground *below*, creating instability and convection, already discussed in Chapter 7.

## Interactions

The oceans affect climates in several ways, on both a large and a small scale. They play a part in the transfer of latent heat (Figure 4.2), carry sensible heat to other latitudes (Note 5.F), and sea-surface temperatures affect convective rainfalls.

More immediately, the surface of the ocean influences the planetary boundary layer (PBL) of the atmosphere, the well-mixed layer against the surface (Figure 1.11), whose condition largely defines our climate. It is this lowest turbulent layer of a few hundred metres depth which exchanges heat and moisture, and whose wind contributes momentum to the ocean. Conversely, it is the top few dozen metres of the sea which are chiefly influenced by rainfall, air temperature and the flows of radiation at the surface. This sluggish layer is as well mixed as the PBL as a result of surface waves and downwards convection from any cooled surface. The two adjacent layers, of the ocean and the atmosphere, influence each other so greatly that we say they are 'tightly coupled', interacting substantially and automatically. They are what we shall mainly consider in this chapter.

## 11.2 OCEAN TEMPERATURES

The sea-surface temperature (SST) was first mapped by Alexander von Humboldt in 1817. Modern maps show long-term values of the SST varying from the sea's freezing point of  $-1.9^{\circ}\text{C}$  near polar ice, to over  $30^{\circ}\text{C}$  in the Persian Gulf and the Red Sea in July (**Figure 11.2**). Temperatures offshore tend to be cooler than inland in January (summer) but warmer in July, around South Africa for instance (**Figure 11.3**). These differences of temperature cause coastal breezes (Chapter 14).

Generally, the isotherms depend chiefly on latitude (**Table 11.1**), though there is a variation near the coasts of continents where isotherms bend polewards on the east coasts (especially at  $20\text{--}30^{\circ}\text{S}$ , implying extra warmth) and vice versa on the west (**Table 11.2**); ocean currents are the main reason, as explained in Section 11.5. The effect is most against South America, and least around Australia. East-coast places in Australia are hardly warmer than those at the same latitude on the west, because the west

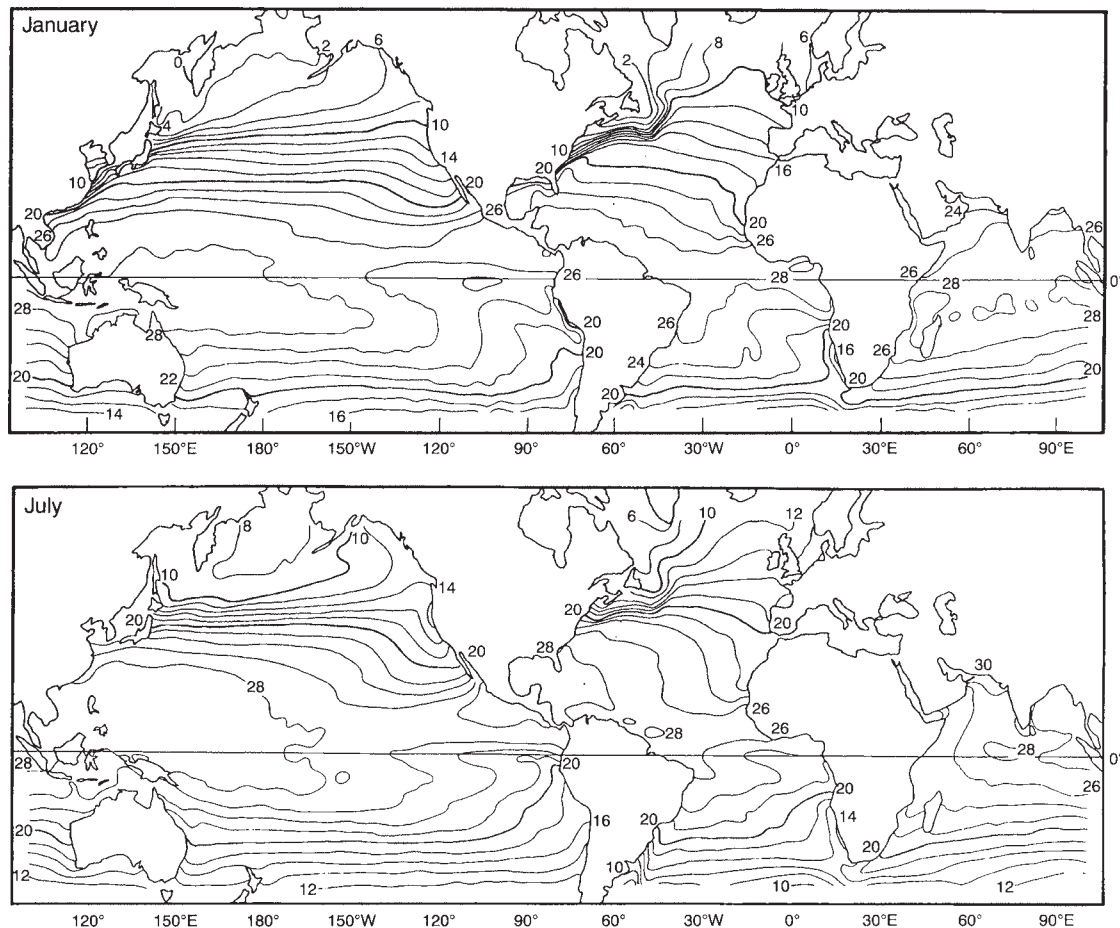


Figure 11.2 Annual mean sea-surface temperatures in January and July.

coast of Australia lacks a cold current of the kind found off Chile and south-west Africa (Section 11.5). Yet all three continents are similar in having remarkably dry west coasts (Table 11.2), as would be expected with a cold SST there.

Temperatures are below freezing near the poles, so there is sea-ice. It is only a few metres thick and extends from Antarctica to 63–70°S in March and 55–65°S in September, the area increasing from about 4 million square kilometres to 20 million in winter. Even if temperatures are not quite so cold, they can

be lethal: a person can last no longer than about two hours in water at 5°C, or four hours at 13°C.

At the other extreme, there is the world's largest 'warm pool' whose annual mean surface temperature exceeds 28°C. It is larger than Australia and centred in the western Pacific ocean just north of the equator, near Papua New Guinea (Figure 11.2). The pool extends eastward as far as 170°E into the Pacific during a La Niña but almost 6,000 km further to 140°W in an El Niño year. The high temperature and vast area make it the most active breeding ground for tropical



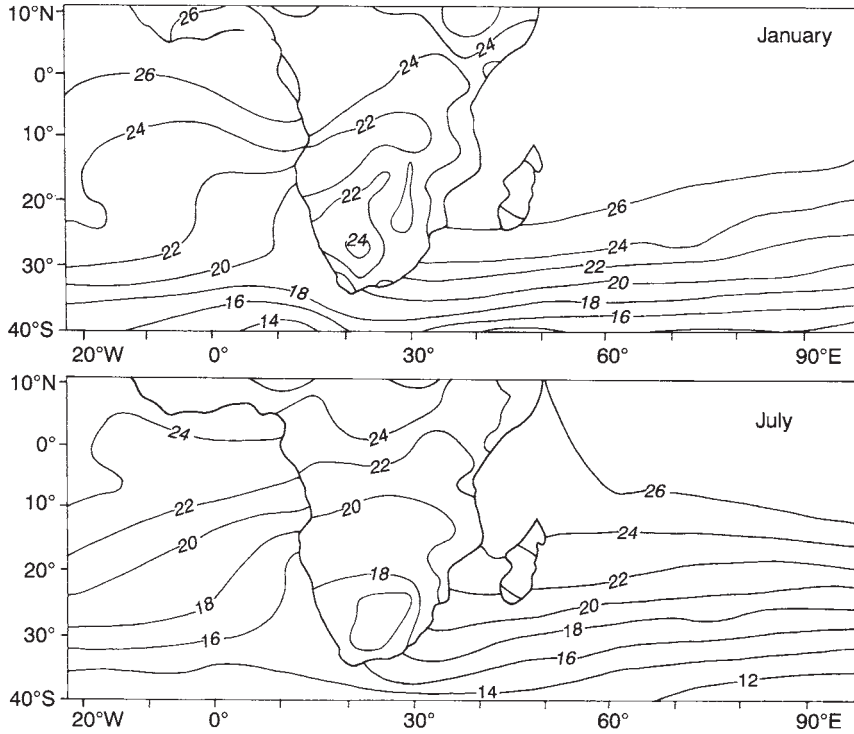


Figure 11.3 Monthly mean SST and screen temperatures around and over southern Africa, in January and July.

Table 11.1 The effect of latitude and season on the monthly mean sea-surface temperature and annual rainfall of South Pacific islands

| Place            | Latitude (°S) | Elevation (metres) | Maximum monthly mean temperature (°C) | Minimum monthly mean temperature (°C) | Rainfall (mm/a) |
|------------------|---------------|--------------------|---------------------------------------|---------------------------------------|-----------------|
| Cook Island      | 9             | 1                  | 28 (April)                            | 27 (August)                           | 3,655           |
| Pitcairn Island  | 25            | 264                | 24 (Feb)                              | 19 (August)                           | 2,630           |
| Easter Island    | 27            | 20                 | 24 (Feb)                              | 18 (August)                           | 1,134           |
| Lord Howe Is.    | 32            | 0                  | 23 (Feb)                              | 16 (August)                           | 1,685           |
| Waitangi         | 44            | 44                 | 15 (Feb)                              | 8 (July)                              | 851             |
| Macquarie Island | 55            | 6                  | 7 (Jan)                               | 3 (July)                              | 863             |

Table 11.2 A comparison of monthly mean temperatures (°C) and rainfall (mm) at coastal cities on the west and east sides of three continents

| Continent          | Coast | Place          | Temperature (°C) |      | Daily range (K) |      | Precipitation (mm) |      |
|--------------------|-------|----------------|------------------|------|-----------------|------|--------------------|------|
|                    |       |                | Jan              | July | Jan             | July | Jan                | July |
| <i>Around 23°S</i> |       |                |                  |      |                 |      |                    |      |
| S. America         | West  | Antofagasta    | 21               | 14   | 7               | 6    | 0                  | 5    |
|                    | East  | Rio de Janeiro | 26               | 21   | 6               | 7    | 125                | 41   |
| S. Africa          | West  | Walvis Bay     | 19               | 15   | 8               | 13   | 0                  | 0    |
|                    | East  | Maputo         | 25               | 18   | 8               | 11   | 130                | 13   |
| Australia          | West  | Carnarvon      | 27               | 17   | 8               | 11   | 20                 | 46   |
|                    | East  | Brisbane       | 25               | 15   | 6               | 11   | 163                | 56   |
| <i>Around 34°S</i> |       |                |                  |      |                 |      |                    |      |
| S. America         | West  | Santiago       | 21               | 9    | 17              | 12   | 3                  | 76   |
|                    | East  | Buenos Aires   | 23               | 10   | 12              | 8    | 79                 | 56   |
| S. Africa          | West  | Cape Town      | 21               | 12   | 10              | 10   | 15                 | 89   |
|                    | East  | Durban         | 24               | 17   | 6               | 11   | 127                | 85   |
| Australia          | West  | Perth          | 23               | 13   | 12              | 8    | 8                  | 170  |
|                    | East  | Sydney         | 22               | 12   | 8               | 8    | 89                 | 117  |

cyclones (Chapter 13) and the engine for the 'Walker circulation' across the Pacific ocean (Chapter 12). The high SST leads to rapid evaporation (Figure 4.11), and rainfalls there average about 3 m/a, and exceed 5 m/a in some parts of the warm pool (Figure 10.3).

There are considerable week-to-week fluctuations in the pattern of sea-surface temperatures. **Figure 11.4** shows the short-term irregularity of SST off the east Australian coast. The small-scale structure, such as the two isolated loops below the 24°C isotherm, is due to ocean eddies which evolve over the course of a few days. In addition, there are seasonal and interannual variations.

### Seasonal Variation

Sea-surface temperatures vary over the year (**Figure 11.5** and **Figure 11.6**). The average difference between the extremes (the annual range) is usually only a degree or two at the poles and at the equator, and most (i.e. 5–6K) at 30–40°S (Figure 3.4; Table 11.1). For instance,

the range offshore at Sydney (at 34°S) is from about 22.6°C in March to 17.2°C in August, i.e. 5.4 K. Larger ranges are found in seas that are surrounded by large land masses and in coastal waters where there is a wide continental shelf, e.g. 10.5 K offshore from Cabo Corrientes in Patagonia. Even this is less than the range inland, because of the ground's relatively small thermal inertia (Section 3.3).

A large annual range may occur at sea when the ocean currents (Section 11.5) change seasonally. For instance, the south equatorial eastern Pacific and Atlantic are about 5K cooler in winter than in summer (Figure 11.5), because the cold currents affecting these regions are stronger in winter. In this exceptional case, the annual temperature range offshore can be higher than on the coast.

The annual extremes of sea-surface temperature occur about 2–3 months after the extremes of radiation (Table 11.1) because of the ocean's thermal inertia, due to the large volume affected as a result of stirring within the ocean's mixing layer. Temperature variations are less at greater depth, as in the case of temperatures



*Plate 11.1* The oceanographic research vessel *Franklin* of the CSIRO Division of Oceanography, Hobart. This photograph was taken during experiments in the warm pool of the western Pacific, when a boom forward of the bows carried instruments for measuring the fluxes of heat and moisture from the water surface upwards, and of momentum downwards. The boom also carried an instrument to be lowered into the water for measuring the profiles of temperature and salinity within the upper 3 metres. Other instruments on the foremast repeated the flux measurements, and measured rainfall. Shortwave and longwave radiation were determined by instruments above the wheelhouse. Other instruments were towed from the afterdeck to determine the temperature and salinity profiled to 300 m depth.

below ground (Figure 3.16). There is no annual variation beyond two or three hundred metres under the surface (Figure 11.6).

### **El Niño Episodes**

There is a variation of SST from year to year, especially in the tropical Pacific ocean. This was mentioned in Note 10.M, in connection with the SST off Peru, which is usually much lower than anywhere else so close to the equator (Section 11.2). However, the temperature there rises by

several degrees for twelve months or so every few years, on account of a shifting of the easterly winds and ocean currents along the equator of the Pacific ocean (**Note 11.C**). For example, the temperature was 24°C, instead of the usual 16°C, at one point off Peru between September 1982 and January 1983. Such El Niño episodes are discussed further in Chapter 12.

### **Icebergs**

Some 5,000 icebergs break off from Antarctic glaciers and ice shelves each year, and the total

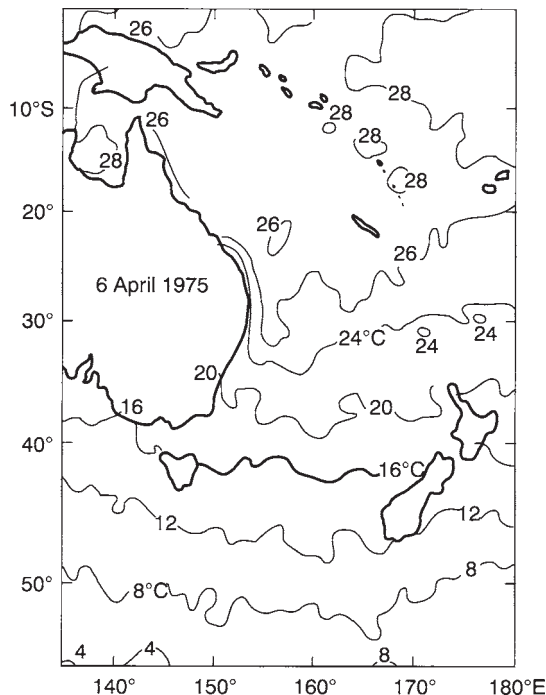


Figure 11.4 Sea-surface temperatures off the eastern Australian coast on 6 April 1975.

volume of this salt-free ice is about a thousand cubic kilometres. Some icebergs are huge, 20–40 m high and ten times that below the waterline. A berg seen in 1956 was 200×60 km in area. Another was 150 km long, which broke off the Ross ice shelf (at 77°S, south of New Zealand) in 1987. It was tracked by satellite for twenty-two months, until it became three pieces. One iceberg was initially about 30 km across and then was observed drifting some 10,000 km, nearly round Antarctica. Some bergs drift slowly north, especially in the Atlantic Ocean, where they have been seen at 28°S (Figure 11.7).

### Relationship to Rainfall

The relatively cloudless skies over the cool eastern half of a southern tropical ocean (Figure

8.14) lead to little rainfall  $P$  (Figure 10.3) but a high evaporation rate  $E_o$ , so that many islands in these areas have a ‘marine desert’ climate. The evaporation rate exceeds 2 m/a in some places (Figure 4.11) and the difference  $(P-E_o)$  is negative, so that there is a continuous loss of pure water (Figure 11.8), leaving the sea surface with a high salt concentration. This in turn helps maintain the currents which lowered the SST in the first place (Sections 11.3 and 11.5), so there is positive feedback, providing another illustration of the tight coupling between atmosphere and ocean.

### Climate Change

The oceans’ temperature is a major factor in climate change (Chapter 15). Firstly, the water’s enormous thermal capacity slows up any sudden alteration of temperature. Secondly, the oceans dissolve much of the atmosphere’s carbon dioxide, which governs the greenhouse effect (Note 2.L) and hence global temperatures. In this connection, there is a positive feedback: warm water dissolves less of the gas than cold water, so an increase of SST causes a release of carbon dioxide, thereby increasing greenhouse heating and hence accelerating global warming. Thirdly, the deep waters of the oceans already store about fifty times as much carbon dioxide as the global atmosphere (Figure 1.3), and can hold much more. Fourthly, there appears to be an interaction between global temperatures and the largest oceanic circulations. The pattern of temperatures drives the ocean currents, and they carry heat which alters the temperatures—again an example of atmosphere—ocean coupling.

Warming of the oceans in the course of climate change causes expansion of surface water. For instance, heating just the top 500 m by 3K would increase the volume by 0.06 per cent, so that the level would rise by perhaps 0.3 m (i.e.  $0.0006 \times 500$ ). Current indications are of a rise

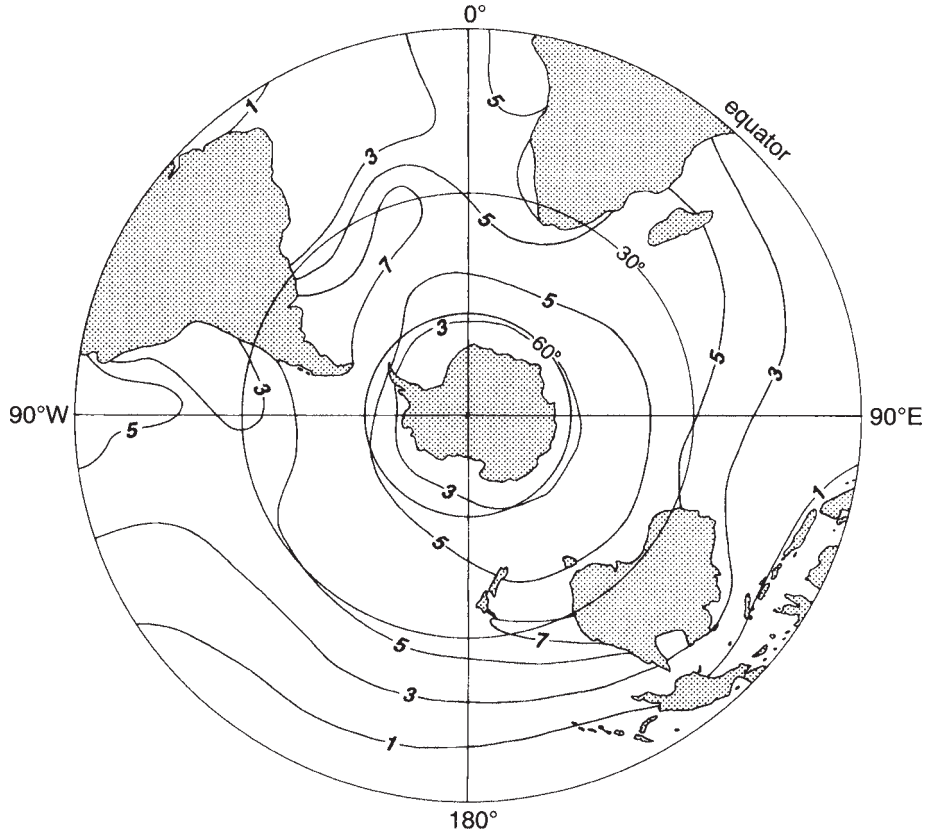


Figure 11.5 The difference between January and July mean sea-surface temperatures.

by around 0.2 m per century, though that may be due partly to the melting of glaciers.

### Temperature Profile

Ocean temperatures also vary in a vertical direction, forming layers like those of the atmosphere (Figure 1.10), but upside-down. The main difference is the stability of the ocean below the surface mixed layer. That mixed layer has a depth of only 20 m or so off Peru near the equator, but sometimes 1,000 m west of Vancouver (49°N). Deep convection of (relatively dense) cold surface water to cause stirring within

the layer occurs especially at high latitudes and in winter.

The mixed layer comprises the *warm-water sphere* of the ocean. Lower down is a zone with a notable drop in temperature (Figure 11.6), the ‘*thermocline*’, corresponding to the inversion at the top of the PBL (Section 7.6). It is a few hundred metres thick, with the same stability as an atmospheric inversion, so that fluctuations of surface temperature or oxygen concentration, for instance, do not penetrate below. The thermocline is most pronounced during the summer (Figure 11.6) and in the tropics (**Figure 11.9**). It is especially evident at about 20°S in the Indian ocean, just north-west of Australia, where there is a difference of 18 K within 400

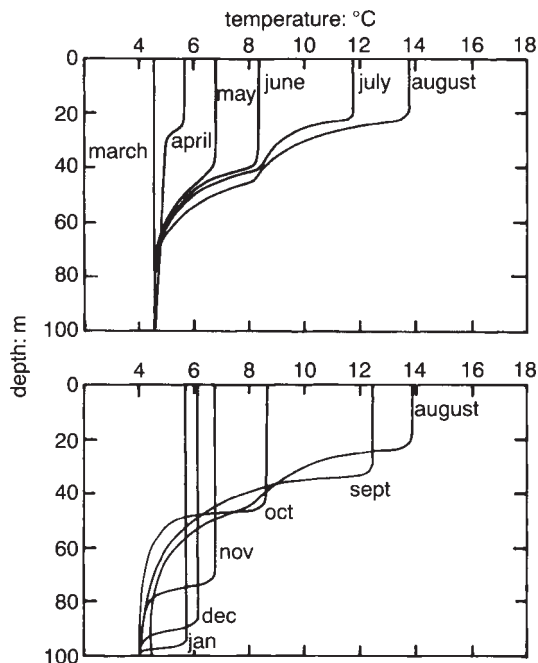


Figure 11.6 The seasonal effect on temperature profiles in the Pacific ocean at 50°N, 145°W.

m. On the other hand, the thermocline hardly exists near the poles.

Remarkably cold ‘*deep water*’ lies beneath the thermocline, and beyond that there is the *abyssal* (or *bottom*) layer, a few hundred metres deep on the sea-bed. It has a temperature of about 0°C, even in the Tropics. These layers are discussed further in Section 11.5.

### 11.3 SALINITY

There are normally about 34.5 grams of salts dissolved in each kilogram of sea water, written as 34.5‰. The salts consist mainly of chloride (55 per cent) and sodium (31 per cent) ions, which form sodium chloride (i.e. common salt) if the water is evaporated away in evaporation ponds. The amount of sodium chloride in the

sea represents about 12 per cent of saturation, beyond which the water can dissolve no more. Other salts include sulphate (8 per cent), magnesium (3.7 per cent), calcium (1.2 per cent) and potassium (1.1 per cent). The total salt concentration (or *salinity*) affects climates by altering the density of the sea, thus changing the pattern of pressures which govern the ocean currents and hence the transport of heat around the world.

Figure 11.9 shows that the salt concentration varies across the thermocline and around the world. Seas near estuaries or melting icebergs may be covered by a layer of lighter fresh water, and a low salinity is found anywhere that precipitation greatly exceeds evaporation, e.g. at high latitudes (Figure 10.6). Values are only 33.5‰ south of 60°S, around Antarctica.

The salinity of the surface is greater at 37°S off south-west Australia, where evaporation is more than precipitation. The highest salinity in the south Pacific ocean (36.5‰) occurs east of Tahiti (20°S), where rainfalls are less than 250 mm/a (Figure 10.3) but the evaporation rate is high (Figure 4.11). Likewise, salinity in the Atlantic approaches 37‰ off the north-east coast of Brazil.

### Effects of Salinity

High salinity increases the water’s density (Note 11.B) and lowers the freezing point. In addition, the salt in sea water removes a curious feature of pure water—that it is most dense at 4°C, not at freezing point. The consequence is that sea water is less likely than fresh water to become ice, for the following reason. Cooling of the surface induces convection (Note 11.B and Section 11.5), i.e. vertical mixing with warmer layers below. This ceases in pure fresh water when the surface reaches 4°C because further cooling makes the water relatively light so that

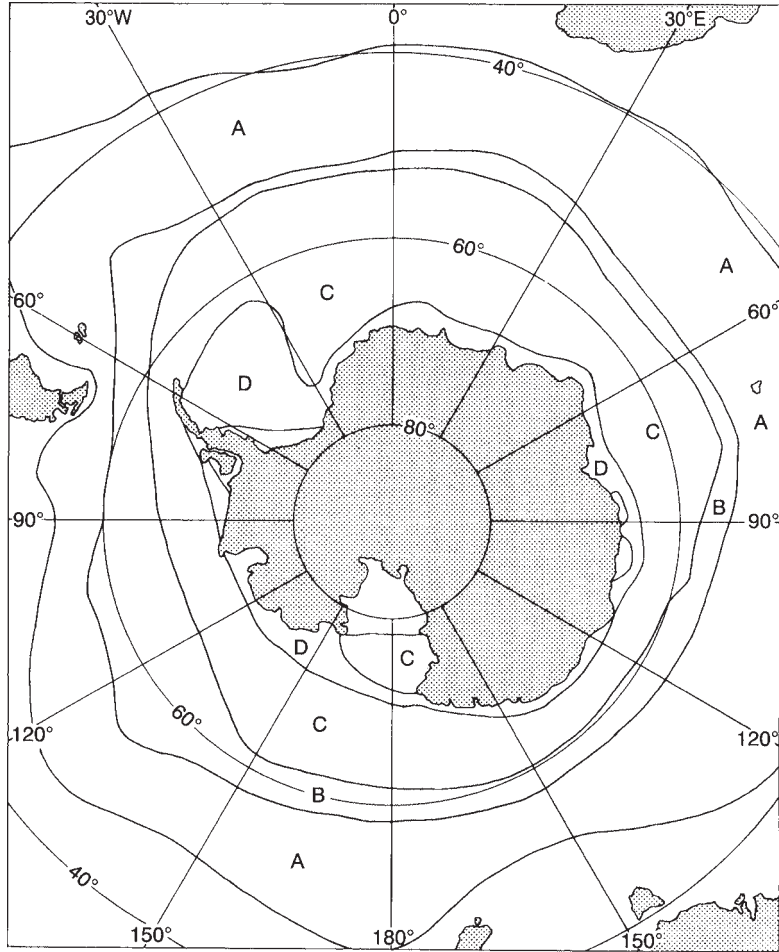


Figure 11.7 Limits of ice around Antarctica. The zones signify, respectively, (A) occasional iceberg sightings, (B) occasional sea-ice in winter and spring, (C) occasional sea-ice in summer and autumn, and (D) permanently frozen.

it remains on top, to freeze. The ice then insulates the water below from further cooling, and so a thin layer forms over water still at  $4^{\circ}\text{C}$ . On the other hand, cooling the surface of *sea* water induces convection which continues until the whole depth has cooled to its freezing point at about  $-2^{\circ}\text{C}$ . The resulting convection to the bottom of the ocean creates

global circulations within the oceans (Section 11.5).

The dissolved salt also reduces the water's vapour pressure, e.g. a saturated solution has a vapour pressure of only 75 per cent of that of pure water, and therefore the figure for the sea is 97 per cent (i.e.  $100 - 0.12 \times [100 - 75]$ ). This means a slight reduction in the evaporation rate (Note 4.E).

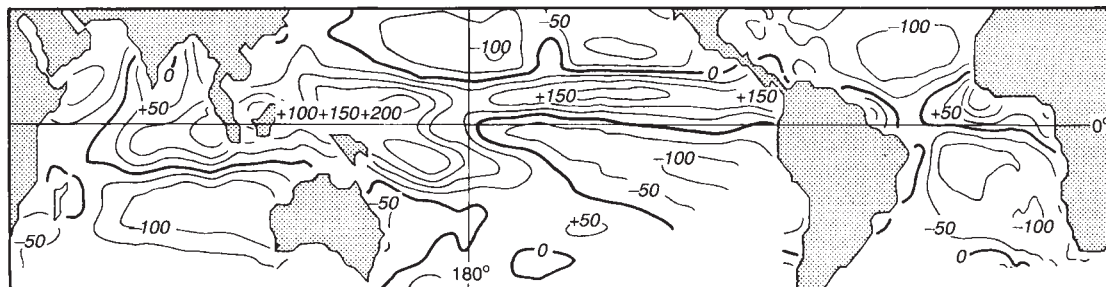


Figure 11.8 The difference between the annual mean *monthly* rainfall and evaporation (in millimetres) over the oceans of the globe. Positive values (mm/mo) indicate rainfall greater than evaporation, and vice versa.

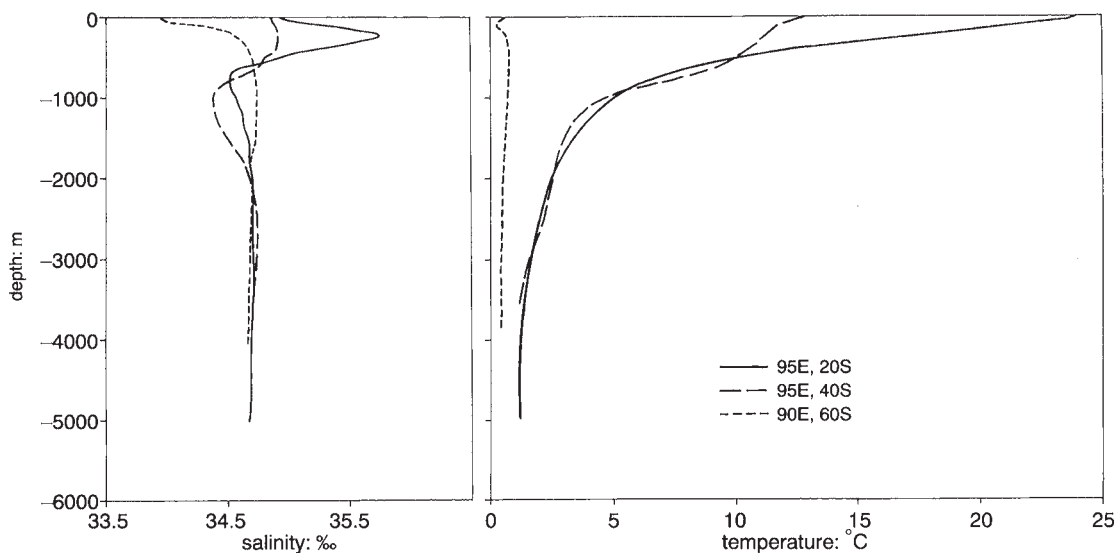


Figure 11.9 Profiles of temperature and salinity at various latitudes in the Indian ocean.

### 11.4 THE CORIOLIS EFFECT AND THE OCEANS

So far we have considered ocean temperature and salinity, two of the factors controlling currents in the sea, which in turn govern coastal climates. A third factor is the ‘*Coriolis effect*’, the ‘apparent deflection of moving objects, due to the observer being on a rotating Earth’, named after Gaspard de Coriolis (1792–1843).

Unfortunately, it is not easy to understand immediately, being different from commonsense observation, and so various explanations are offered in what follows.

As a preliminary, think of the Earth rotating once each day, and an observer looking at the Sun. There is a paradox, since we know that it is the Earth (and observer) which actually turn, yet the observer sees the *Sun* as moving. Reality differs from appearance. Likewise, if you sit on

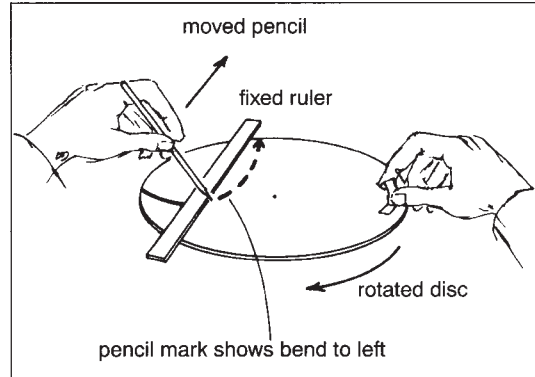


a roundabout, it is the rest of the world which seems to spin. In brief, the 'rotation of the observer is perceived as the rotation of the observed'.

In the same way, a rotating observer, looking at an object which is really moving in a straight line, sees the object as turning. This is illustrated in **Figure 11.10**. Drawing along a straight ruler creates a curved line on a rotating disc. Laying the ruler in any direction over a disc turning clockwise, and moving the pencil in either direction along the ruler, always causes the trace to bend to the *left*, whereas turning the disc counter-clockwise instead always causes sidling to the *right*. These different directions of rotation correspond to conditions in the two hemispheres of the globe, where an observer at the South Pole sees the Earth turning clockwise (i.e. the *left* hand advances towards the Sun), whereas an observer's *right* hand moves forward at the North Pole (**Figure 11.11**). In between, at the equator, there is no turning round by the observer, i.e. neither the left hand moves to take the place of the right nor vice versa.

The Coriolis effect can also be understood in terms of a projectile from a cannon at A fixed facing a target B (**Figure 11.12**), all on a disc representing the Earth. The cannon and the target turn a little while the shell is in the air, and during that time the target moves from B to B', so that when the shell lands at B it is *behind* the target. As a result, the actually straight-line trajectory AB (as seen from space) seems to curve (A'B), deflected to the left when observed where the Earth rotates clockwise. Figure 11.12 and **Note 11.D** show that this is true whichever way the cannon is pointing, whether zonally (i.e. east-west) or meridionally (i.e. north-south).

Theory shows that the effect depends solely on latitude and the object's velocity (Note 11.D). The outcome is that any straight-line motion (as observed from a fixed point in space, such as the Sun) appears circular to a person on Earth,



*Figure 11.10* Demonstration of the Coriolis effect. A line drawn along the straight ruler registers a curve on the rotating disc, i.e. straight-line motion appears curved when viewed from a rotating platform.

as though the moving object is continually pushed to one side by a force. This *hypothetical* force is called the *Coriolis force* and is most important in understanding oceanic and atmospheric motions. It is summarised in *Ferrel's Law*, that 'all motion suffers a bias towards the *left* in the southern hemisphere (and right in the northern)'.

The Coriolis force is negligible near the equator and on the scale of water going down a plug-hole, for instance (Note 11.D). It is significant only on a scale of many kilometres, affecting global winds and large-scale currents in the oceans, particularly at high latitudes.

## Upwelling

One important consequence of the Coriolis effect is the way in which winds over the ocean move the surface water. Friction at the surface drags the uppermost water along with the wind, but simultaneously the Coriolis effect operates, deflecting the moving water to the left (in the southern hemisphere). As a result, that top layer of the ocean slowly moves at an angle approaching 45 degrees to the wind. The top

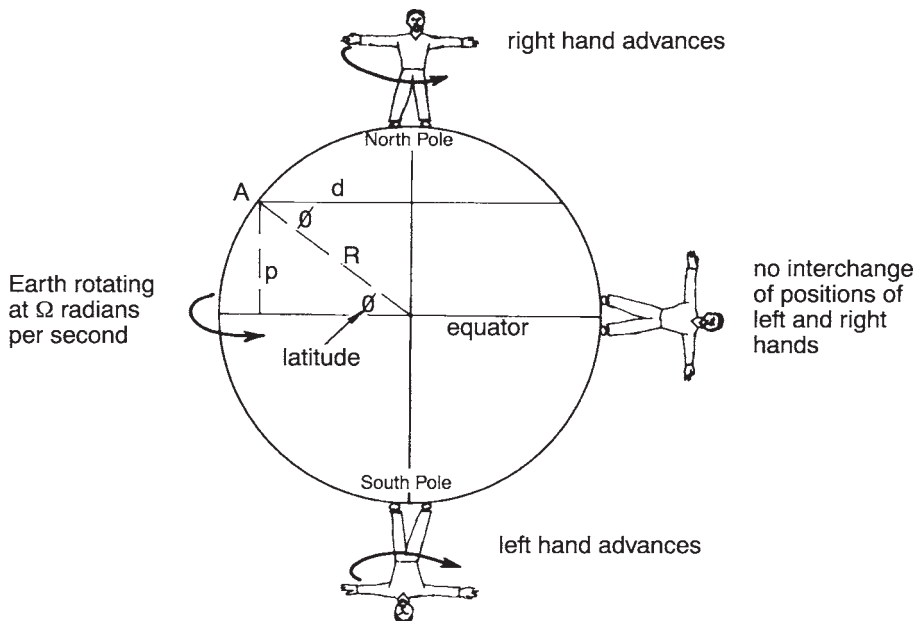


Figure 11.11 Explanation of the opposite directions of rotation in the two hemispheres of the Earth. The left hand advancing in the southern hemisphere means a clockwise rotation there. At the equator, there is no rotation about an axis perpendicular to the surface, and therefore no Coriolis effect. (A radian is an angle of  $57.3^\circ$ .)

layer in turn drags the layer beneath, which again is affected by the Coriolis force, so that the second layer moves at a greater angle to the wind. Similarly for lower layers, each moving more slowly and more at an angle than the layer above. With each layer's movement represented by an arrow of appropriate direction and a length proportional to the speed, we have the arrangement shown in **Figure 11.13**. The tips of the arrows trace an *Ekman spiral*, named after the Swedish oceanographer Vagn Ekman (1874–1954). He proposed this spiral in 1902 to explain Fridtjof Nansen's observation that icebergs move at an angle of around  $30^\circ$  to the right of the wind in the northern hemisphere. The outcome of a complete spiral is an average movement, called *Ekman transport*, which for the whole spiral amounts to motion at right angles to the wind, and the top layer of the ocean (i.e. the *Ekman layer*) is driven towards

that direction. This is a surprising result, that the Coriolis effect causes the ocean to move perpendicular to the wind—towards the left in the southern hemisphere. But a complete spiral develops only in deep water. Often a shallow thermocline, or the sea-bed in shallow waters, limits downwards transfer of momentum, and then surface ocean currents are more closely aligned with the wind.

The result of Ekman transport is upwelling of deeper, cold water to the surface near some coasts, as illustrated in **Figure 11.14**. The upwelling happens wherever there is either a polewards wind parallel to an east coast, or an equatorwards wind parallel to a west coast, as in north Chile or Namibia. The wind creates Ekman transport of the warm surface water away from the land, and cold deep water rises to take its place at a rate of a metre per day or so. This is one explanation for the low

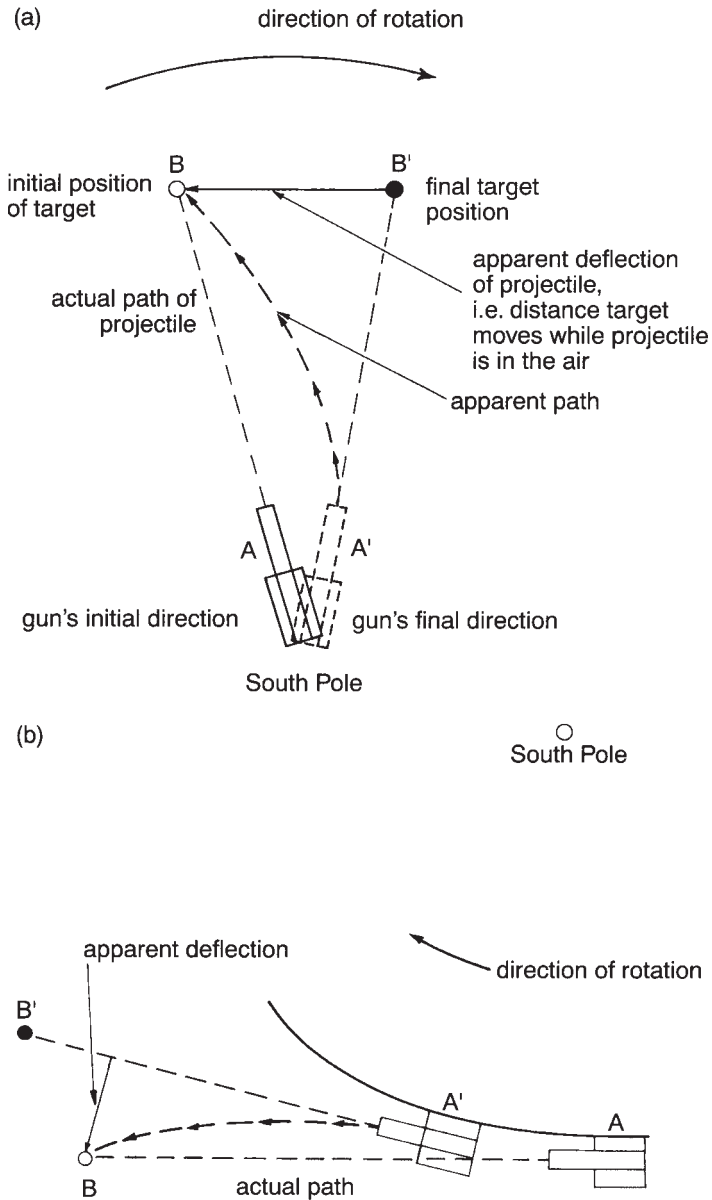


Figure 11.12 Demonstrations of the *apparent* deflections of actually straight-line motions (relative to the Sun, for instance) which are either (a) radial (corresponding to movement along a line of longitude), or (b) circumferential (along a line of latitude). In both cases, the rotation is shown as clockwise (as in the *southern* hemisphere—see Figure 11.11), and in both cases the apparent deflection is to the *left*.

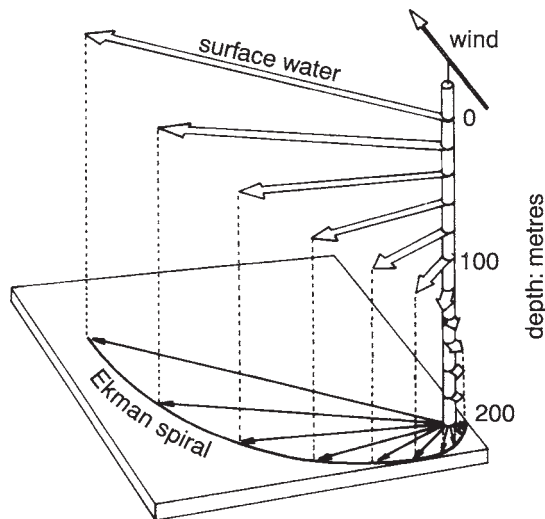


Figure 11.13 The Ekman spiral in the southern hemisphere. The wind is towards the top left (parallel to the right-hand long side of the base), and Ekman transport is towards the bottom left, parallel to the nearest short side of the base.

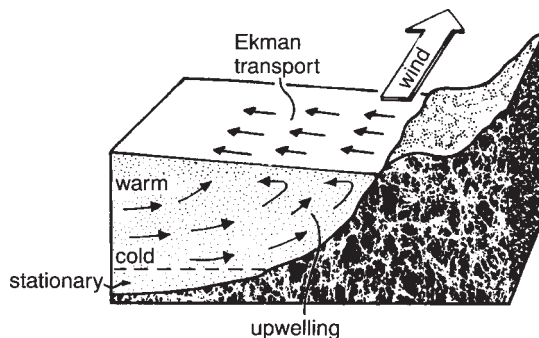


Figure 11.14 Wind-induced ocean currents and upwelling at a coast in the southern hemisphere.

temperature of waters within 20 km of the Peruvian coast, and for the climate of Lima at 12°S (Note 11.E, Table 11.3). The upwelling off Lima results in an SST which is 3 K less than that 2,400 km nearer the South Pole at Antafagasta in Chile. Similarly, pleasantly cool conditions near Rio de Janeiro are induced by the upwelling caused by occasional north winds, even though they come from the equator. Coastal upwelling off south-west

Africa, south of 15°S, leads to SSTs near the shore which are as much as 5 K lower than at 320 km out to sea, particularly in summer and at 26°S. This leads to low temperatures in the atmospheric PBL, under a strong inversion (Figure 7.10).

Upwelling also occurs along the equator in the eastern Pacific, because winds from the east deflect the water southward (to the left) just south of the equator, and northward (to the right) just north of the equator, where the Coriolis force though weak acts in the opposite direction. This creates a furrow in the equatorial surface water, which in turn causes upwelling there, and consequently surface temperatures of only about 19°C off the Galapagos Islands, on the equator in the Pacific ocean. Decline of the easterly winds prior to an El Niño (Note 11.C) leads to a rapid end to upwelling and

Table 11.3 Comparison of the average climates of Darwin and Lima, both at 1 2°S

|                          | Darwin |         | Lima |         |
|--------------------------|--------|---------|------|---------|
|                          | July   | January | July | January |
| Daily mean temp. (°C)    | 25     | 28      | 15   | 21      |
| Sunshine (hours/day)     | 9.8    | 6.1     | 3.4  | 5.1     |
| Raindays/month           | <1     | 19      | 1    | <1      |
| Rainfall (mm/mo)         | 1      | 391     | 2    | 1.2     |
| Wind direction at 3 p.m. | E      | NW      | S    | S       |
| Wind speed (m/s)         | 2.5    | 2.6     | 2.5  | 3.5     |

consequently a dramatic rise of sea-surface temperature.

There are plenty of fish where there is upwelling, partly because the deep water which is brought up contains the deposited nutritious debris of previous generations of fish. Another reason is that cold waters contain more oxygen, e.g. water at 0°C can hold twice as much as water at 25°C. Ninety per cent of the world's fish are caught in the 15 per cent of the oceans where upwelling takes place.

## 11.5 OCEAN CURRENTS

The winds affect the oceans mostly by influencing surface currents. Let us now consider the pattern of these currents, then an explanation of the pattern and finally the effects on flows beneath the surface.

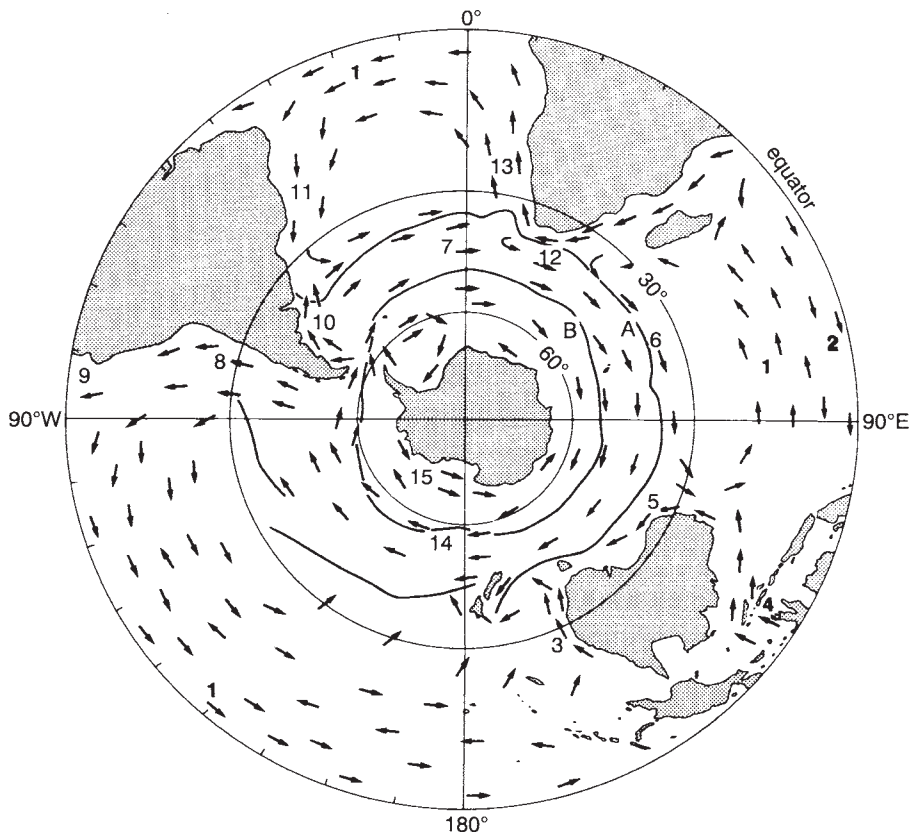
### Gyres and Eddies

The first maps of the main ocean currents were compiled by Matthew Maury in 1855, using data from the ships' logs of ten countries. A modern map (**Figure 11.15**) shows the huge swirls called 'gyres', which turn anti-clockwise in the southern hemisphere. A complete rotation within each ocean basin takes years. Along the north and south edges of the gyres there are currents about 150 m deep with a speed of 3–5 km/day, though '*boundary currents*' on the east and (especially) the west edges of the oceans are appreciably faster and deeper. For instance, the part of the South Pacific gyre against Australia (called the East Australian Current) flows south and constantly impeded the northward progress of James Cook on his voyage of discovery in 1770.

Embedded within the boundary currents are transient eddies, especially at the western edge of an ocean. These can be discerned on a snapshot map of sea-surface heights over a

short period (**Figure 11.16**). The eddies form when a boundary current meanders so widely that a loop becomes short-circuited, and they are about 30–300 km in diameter, drifting polewards. They stir a warm current into the surrounding colder water, and so contribute to the transport of heat (and momentum) towards the pole in the same way that midlatitude frontal systems in the atmosphere mix warm and cold air masses (Chapter 13). Clockwise eddies in the southern hemisphere have a cold centre and a slightly depressed ocean surface, while the anticlockwise eddies have a warm core and a slightly elevated ocean surface, as explained later.

If we follow the South Pacific gyre onwards, we see that the East Australian Current eventually mixes into the eastwards flow of cold water through Bass Strait, between Tasmania and the mainland, to become part of the relatively slow *West-Wind Drift* (or Antarctic Circumpolar Current). This takes months to cross the Pacific ocean at about 50°S, becoming colder to match the latitude. (There is a weak *westwards* flow further south, close to Antarctica, driven by east winds there—Figure 11.15.) Having reached South America, most of the Circumpolar Current turns north along the coast of Chile, where it is called the Humboldt Current. This and its extension as the 'Peru current' further north are more shallow than western boundary currents, and the thermocline is nearer the surface. The current turns westward at low latitudes, flowing near the equator towards northern Australia, becoming warmer all the time. A substantial part of the equatorial flow continues westward between the southern islands of Indonesia (the *Indonesian Throughflow*), especially just after mid-year, and some of that forms the Leeuwin Current about 30 km wide down the west coast of Australia in autumn and winter. (This current is unusual in flowing *towards* the pole on the eastern side of an ocean, opposing the much larger anti-clockwise South Indian gyre.) Part



*Figure 11.15* Surface currents in the world's southern oceans. The names of the currents indicated by numbers on the map are as follows: 1. South equatorial current. 2. Equatorial counter-current. 3. East Australian current. 4. Indonesian throughflow. 5. Leeuwin current. 6. South Indian ocean current. 7. West wind drift. 8. Humboldt current. 9. Peru current. 10. Falkland current. 11. Brazil current. 12. Agulhas current. 13. Benguela current. 14. Antarctic circumpolar current. 15. Antarctic counter-current. The circumpolar line labelled A denotes the Subtropical Convergence Zone, and that labelled B is the Antarctic Convergence Zone.

of the low-latitude current across the Pacific that does not provide the Indonesian Throughflow turns south down the *eastern* side of Australia.

A fraction of the Circumpolar Current passes south of Cape Horn at the tip of South America and then northwards as the Falkland Current up the Atlantic coast. This cold water from the direction of the Pole stabilises onshore easterly winds, which, in conjunction with shelter from the westerlies provided by the Andes, leads to

little rain and thus the aridity in Patagonia in southern Argentina (Figure 10.3). Also the Falkland Current carries icebergs to lower latitudes (Figure 11.7).

The gyre in the south Atlantic is complicated by the bulge of north-eastern Brazil, which planes off some of the current, diverting it into the north Atlantic. This promotes the Gulf Stream, which is responsible for the relative warmth of Europe.

The pattern of currents alters during the year.

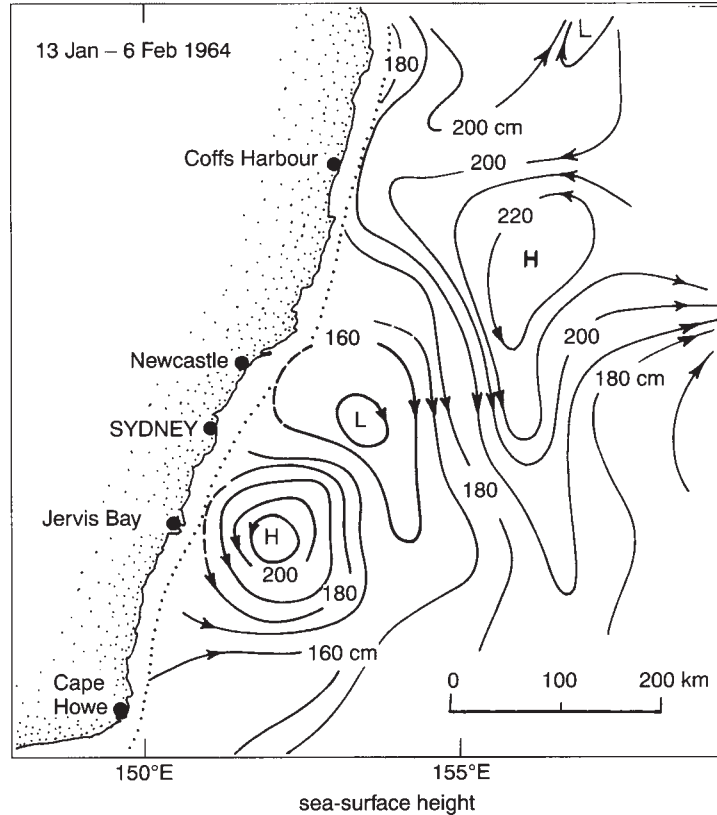


Figure 11.16 Contours of the sea surface (i.e. the direction of surface-slope currents) off New South Wales measured during a 24-day voyage in summer 1964. The arrows indicate the direction of surface-slope currents. A typical eddy of warm water (with anticyclonic flow) can be seen off Jervis Bay, where the surface is more than 0.6m higher than the cold pool off Sydney.

For instance, the Peru current reaches almost to the equator in winter, while the occasional El Niño in summer deflects it westwards at about 15°S instead (Note 11.C). Also, westerly winds along the coast of New South Wales in winter (Chapter 12) cause a narrow ocean current northward, against the prevailing East Australian current. Similarly, strong westerly winds in winter enhance the Falkland current, bringing cool waters as far north as Buenos Aires. In addition, ocean currents near the equator are influenced by the annual reversal of low-latitude winds called the ‘monsoons’ (Chapter 12).

## Effects

The oceanic gyres explain why east coasts (where the gyres come from the equator) are usually warm and wet (Figure 10.3b), while west coasts are cool and dry because of (i) the advection of coldness from the poles, and (ii) upwelling (Section 11.2). For instance, places in subtropical latitudes (i.e. 20–35°S) along the east coast of South Africa are 3–8 K warmer than those on the west coast. An exception is Australia’s west coast, where the southwards Leeuwin current along the coast (Figure 11.15) brings warmth towards Perth and suppresses

any upwelling. However, there is no exception to the rule that continental east coasts in the subtropics are humid, and west coasts arid (Figure 10.3, Table 11.2). This rule is due mainly to the predominant easterly winds around the Tropic (Chapter 12).

Gyres transport heat polewards (Figure 5.4), in amounts comparable to those in warm winds, though oceanic advection is less notable in the southern hemisphere than in the northern, which contains the Gulf Stream in the Atlantic and the Kuroshio current past Japan.

## Explanation

All these surface currents are governed by four factors: (i) wind drag, (ii) the slope of the ocean surface, (iii) differences of water density, and then (iv) the Coriolis force. The ‘absolute current’ (with respect to the land) is the outcome of all these factors together, which we will consider in turn.

Ocean currents dragged by the prevailing winds (Chapter 12) are called ‘drifts’, and some are named in Figure 11.15. These currents are usually less than 50 m deep, or 100 m if winds are strong. They are deflected by the Coriolis force, though this is often weakened by an opposing slope of the ocean surface, discussed below. A drift typically moves at less than 1 km/h, carrying buoys and icebergs at about 2 per cent of the speed of the local wind.

Currents induced by tilting of the ocean surface are called ‘slope currents’. The slope is imperceptible, e.g. a metre in 500 km (Figure 11.16), but it is important. Ways in which it arises include these: (i) tides, (ii) differences of mean sea-level pressure, (iii) Ekman transport, (iv) the convergence of currents, (v) drifts piling against a coast, and (vi) ocean-density differences. The first of these, tides, cause changes of level twice daily, by up to 8 m in the inlets of north-west Australia, for instance, but only a metre around most of the

continent and less than a metre in the open sea. Such temporary variations of level do not affect large-scale currents. The second factor, surface pressure, explains why the sea is elevated in the centre of tropical cyclones (Chapter 13).

The third cause of ocean-surface slope, Ekman transport, occurs near coasts with parallel winds (Section 11.4) and within a gyre. The winds which drive a gyre also lead to flows towards the centre, heaping the water there (**Figure 11.17**). The heap consists of surface water and it is relatively warm, i.e. less dense, so that a greater depth of it is needed to create a sea-bed pressure equal to (or very slightly higher than) that created by the cooler water around. Therefore the gyre’s centre is elevated, perhaps by a metre or so. The resulting slope makes surface water flow downhill away from the centre, and then this flow is deflected by the Coriolis effect to become an anti-clockwise turning in the southern hemisphere. Such a flow is called a ‘geostrophic current’, as with ‘geostrophic winds’ (Chapter 12). In both cases, the direction and speed of the flow results in a Coriolis force which just offsets the slope, and the flow is parallel to contours of the surface’s elevation, as in the case of much smaller eddies (Figure 11.16). For example, the warm Coral Sea (east of Queensland) is around 0.5 m higher than the Tasman Sea to the south, causing a geostrophic flow eastwards, away from the Australian coast. Geostrophic currents occur at any depth, wherever horizontally adjacent masses of water differ in density. The only exception occurs near the equator, where the Coriolis effect is too weak (Note 11.D).

A convergence of currents (the fourth factor affecting the surface slope) also causes heaping and then subsidence. It happens, for instance, at the *Antarctic Convergence* (**Figure 11.18**), where the Antarctic Circumpolar Current (which is towards the south-east) flows next and opposite to the northwestward current around



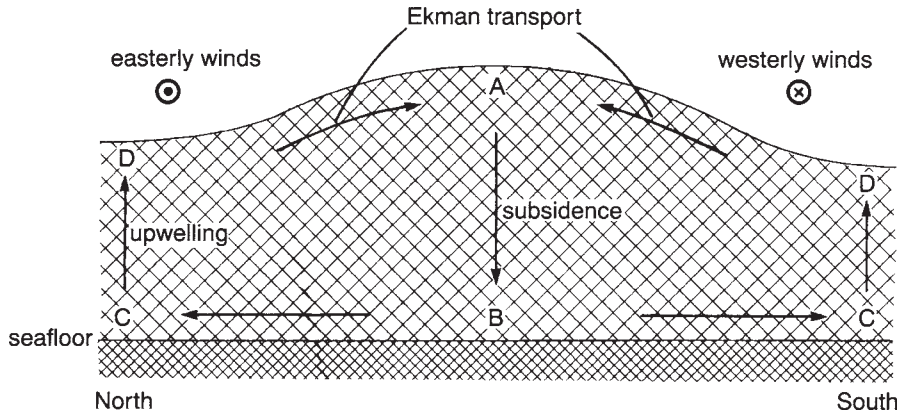


Figure 11.17 Vertical circulations induced in a south-hemisphere ocean gyre. The low-latitude easterly winds and midlatitude westerlies, and the associated Ekman transport cause a heaping of water at A, which maintains the anti-clockwise slope currents. The heaping at A leads to a pressure at B higher than that at C, so there is a flow from B to C and subsidence from A to B. Upwelling from C to D completes the circuit. The entire circulation may take several hundreds of years.

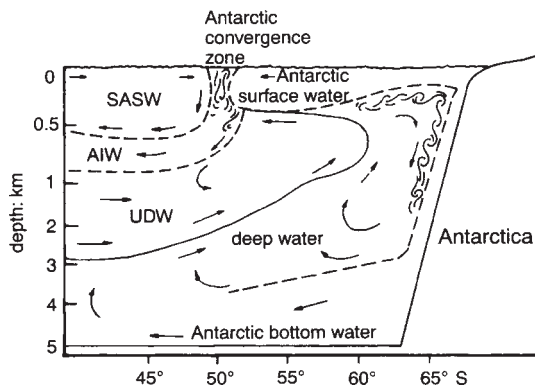


Figure 11.18 Layers and conditions within the Antarctic ocean and the longitude of the Atlantic; SASW is subAntarctic surface water, AIW is Antarctic intermediate water, UDW is upper deep water.

the Antarctic coast. The latitudes of such convergences fluctuate considerably. Sea-surface temperatures on opposite sides of the boundary may differ in temperature by about 4 K, as cold water from the south meets warmer water from lower latitudes.

There is a compensating divergence of surface waters between regions of convergence. The

divergence between the Antarctic and the Subtropical Convergence Zones (Figure 11.15) leads to upwelling there and therefore nutrient-rich surface waters, which attract whales and other marine life.

The barriers between different bodies of water created by continents, by convergence zones, by the self-contained nature of gyres, and by the stratification of the oceans (Section 11.2) all inhibit the mixing together of seas with different temperatures and degrees of salinity. Consequently there are distinct bodies of water (or *water masses*) in various parts of the oceans, each with its own characteristic temperature and salinity. The boundary between adjacent water masses is called a *front*, and may be discernible for weeks.

## Thermohaline Circulations

These are circulations in a vertical plane due to differences of density caused by horizontal variations of temperature and salinity. The reason for the circulations is that any parcel of

water in the ocean rises when it is less dense than the surroundings, and subsides when it is more dense, like parcels of air in the atmosphere (Section 7.3). For instance, sea-ice consists of water alone, so that the previously dissolved salt is rejected into the water beneath, making it heavy and thus subside. This water from just beneath the ice is near freezing point (at  $-2^{\circ}\text{C}$ ) and therefore more dense than any other water, so that it sinks to the bottom of the ocean. This is called *deep-water formation*, and is most common in the Weddell Sea in Antarctica (Chapter 16). It occurs only occasionally and briefly, usually triggered by an outbreak of strong, cold winds off Antarctica. The process creates *Antarctic Bottom Water*, which spreads out along the ocean floor of the entire globe (Figure 11.18), and may resurface in some area of upwelling, several thousands of years later.

A similar subsidence occurs off south-east Greenland, creating a layer called the 'Circumpolar Deep Water', which lies just above the Bottom Water, because it is slightly less dense. The subsidence carries carbon dioxide

in surface water down, to be sequestered in the much larger volume of the ocean depths (Figure 1.3). So it is an important factor in determining how much carbon dioxide remains in the atmosphere, which governs future climate change (Note 2.L).

Deep-water formation is an example of a thermohaline circulation, a circulation driven by density differences caused by temperature and/or salinity differences (Note 11.B). Such currents are often discontinuous and fairly small. An example is the occasional flow of water from the Red Sea, with a salinity as high as 40‰ (due to little precipitation but evaporation at about 10 mm/day), into the Indian Ocean, where it forms a density current just like an atmospheric density current (Note 8.C).

### Flows Beneath the Surface

Deep ocean currents are too slow to be measured directly but can be inferred from measurements of density and salt concentrations; similarity at two places suggests that water flows

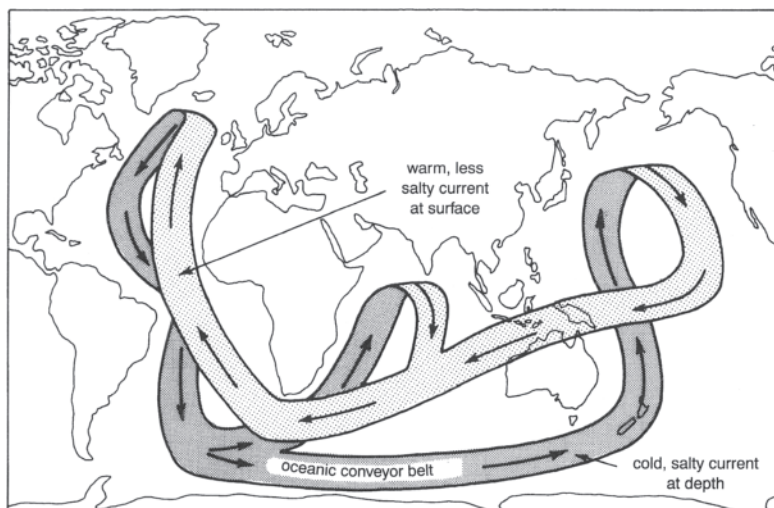


Figure 11.19 The oceanic conveyor belt which carries cold deep ocean water to lower latitudes.

from one to the other. In this way, we deduce that Bottom Water, even at the equator and off Alaska, all comes from the Antarctic.

A consequence of the deep-sea currents is the global circulation shown in **Figure 11.19**, a huge 'conveyor belt' driven largely by convection in the north Atlantic, caused by an increase of surface-water density due to evaporation and to chilling on contact with Arctic winds and waters. The circuit includes the Indonesian Throughflow to the north of Australia, and a sub-surface westwards flow to the south. It seems possible that shutting down or reversal of this massive circulation was responsible for past Ice Ages, and might affect future climate change. In other words, even the deep ocean affects the atmosphere.

Thus we conclude our consideration of the hydrologic cycle, which repeats itself endlessly with evaporation from the oceans, cloud, rain

and then runoff back to the ocean. The cycle is linked intimately with energy balances (Chapters 2–5) which determine rates of evaporation and the atmospheric heating which creates instability and hence clouds. It is also closely connected with the patterns of winds over the Earth which distribute the water vapour and its associated latent-heat energy. These patterns comprise the 'general circulation' of the atmosphere, to be considered in the next chapter.

## NOTES

- 11.A Effects of the oceans on climates
- 11.B Buoyancy in the oceans
- 11.C El Niño, part 2
- 11.D The Coriolis effect
- 11.E The climate near Lima
- 11.F Traverse measurements

Part IV  
WINDS



## GLOBAL WINDS

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### 12.1 SURFACE WINDS OF THE GLOBE

Any climate depends on, firstly, local factors and, secondly, advection. The former include radiation, rainfall and evaporation, and the latter the heat and moisture brought by oceans and winds. We have dealt with each of these by now, except the winds, which are the topic of this fourth part of the book.

We can distinguish various scales of air movement, just as distinctions were made in Table 1.1 between different scales of climate. There are 'local winds' (which will be discussed in Chapter 14), within the context of a larger pattern of winds on the scale of an area like Australia or New Zealand (Chapter 13), and they in turn form part of the long-term average pattern of global winds, the '*general circulation*'. This last is the background to weather, the explanation of global patterns of rainfall, a means of sharing heat between the equator and the poles, and the arena of climate change. It is the subject of the present chapter.

#### Surface Winds

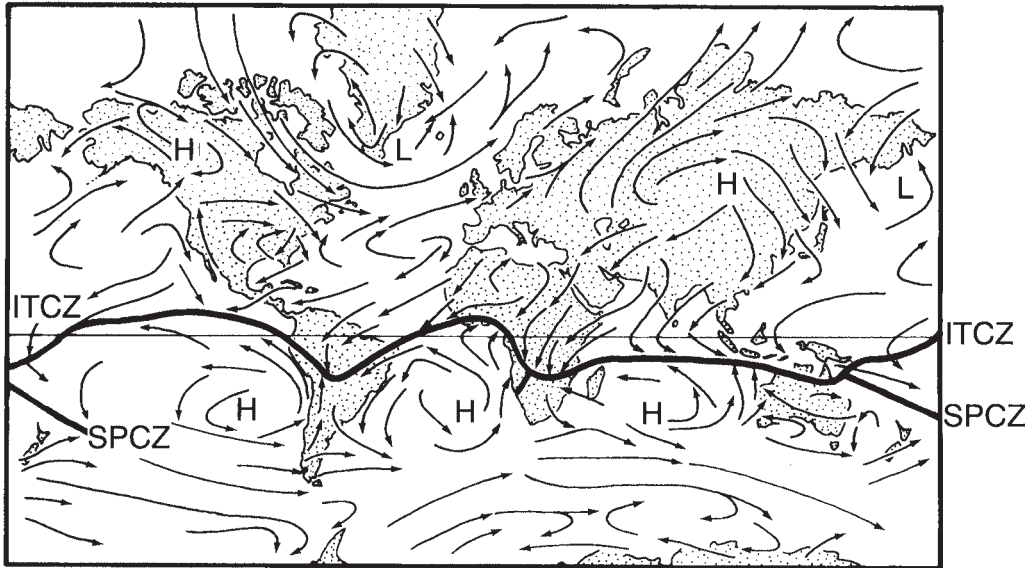
Winds within 30° of the equator in the Atlantic

and Indian oceans were first mapped by Edmund Halley in 1686, and his maps were not superseded till 1855, when Mathew Maury issued charts, based on a recent international exchange of ships' logs, at a conference in Brussels. These charts proved invaluable. For instance, they showed the advantage of sailing from England to Australia by way of the east coast of South America and then passing well south of South Africa (**Figure 12.1**), whereas on the return journey it is best to hug the African coast.

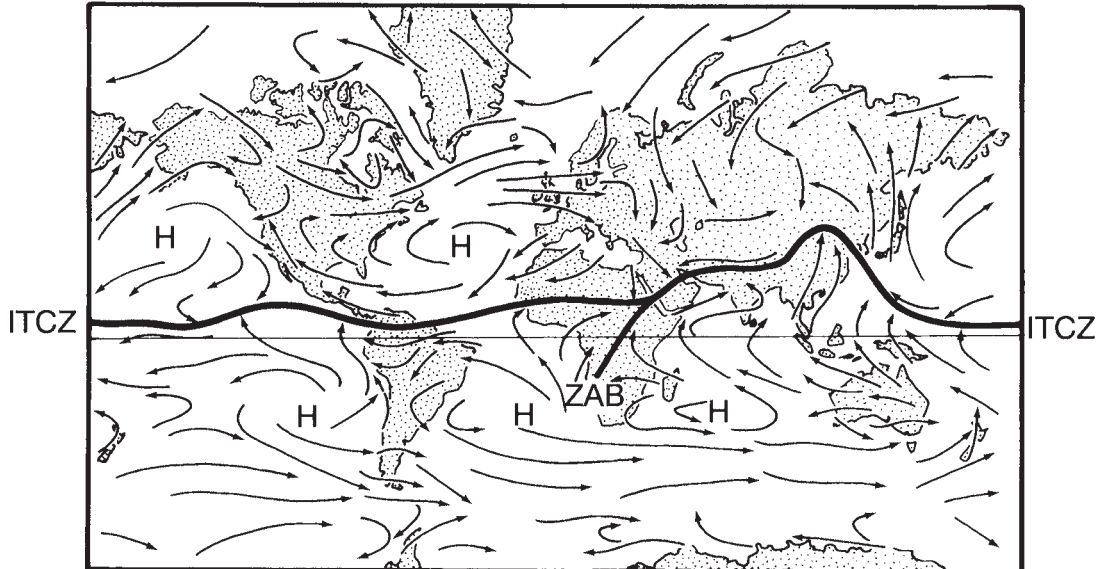
#### Intertropical Convergence Zone (ITCZ)

Figure 12.1 shows that winds between the tropics converge on a line which we call the *Intertropical Convergence Zone* (i.e. ITCZ) or *equatorial trough*. This line of convergence near the equator is also discernible in a map of *streamlines* (**Figure 12.2; Note 12.A**). It is actually a band a few hundred kilometres wide, enclosing places where winds flow inwards (are 'confluent') and subsequently rise convectively. It is the latitude of the highest air temperature and vapour pressure near the surface, and the

January



July



*Figure 12.1* Maps of the global-scale surface winds prevailing in January and July. The line near the equator shows the Intertropical Convergence Zone (ITCZ), where Trade winds from the two hemispheres meet. A spur from this line over southern Africa is called the Zaire Air Boundary (ZAB), where air converges from the Indian and Atlantic oceans, respectively, and another spur over the western South Pacific is known as the South Pacific Convergence Zone (SPCZ). The letter H indicates a centre of high pressure (i.e. a 'high'), and an L stands for a 'low'.

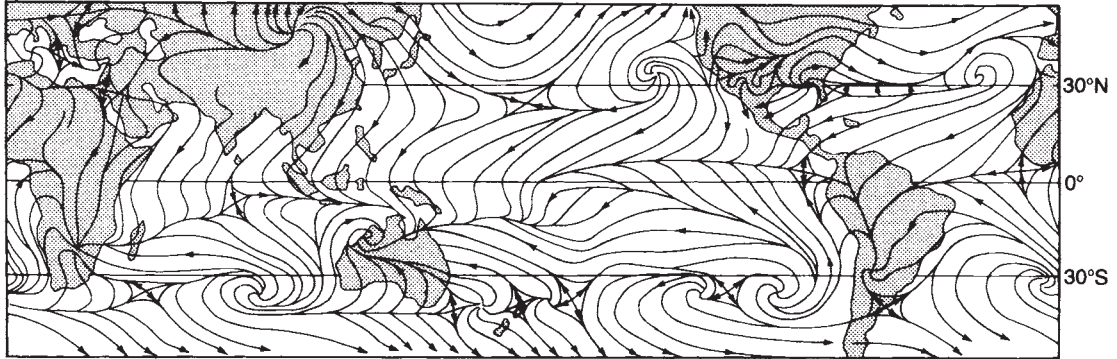


Figure 12.2 Mean streamlines of surface winds in January. Note the convergence of winds at the ITCZ, as in Figure 12.1. The whorls correspond to highs.

coldest and driest air at the tropopause. As a result, convective uplift yields copious condensation well above the ground, which releases notable amounts of latent heat, and that in turn stimulates convection.

The ITCZ lies at about 5°N on average. This is known as the *meteorological equator*, matching the equators of radiation (Section 2.2) and temperature (Section 3.2). It wanders seasonally (Figure 12.3), lagging about two months behind the change of the Sun's declination (Section 2.2), this being the time taken for the surface to respond to the Sun's heating. The latitudinal variation is most pronounced Asian continent to the north. The ITCZ does not move with the seasons over the eastern Pacific and Atlantic oceans, being permanently confined to the northern hemisphere by the cold Peru and Benguela currents (Figure 11.15) in the south. (There is no surface convergence of winds where the surface is cool.) This explains why the meteorological equator is slightly north of the geographic equator.

The movement of the ITCZ across South Africa (Figure 12.1) is complicated by the land's shape, elevation and location, and there is a southerly spur called the *Zaire Air Boundary* (ZAB). Similarly, there is a spur over South America

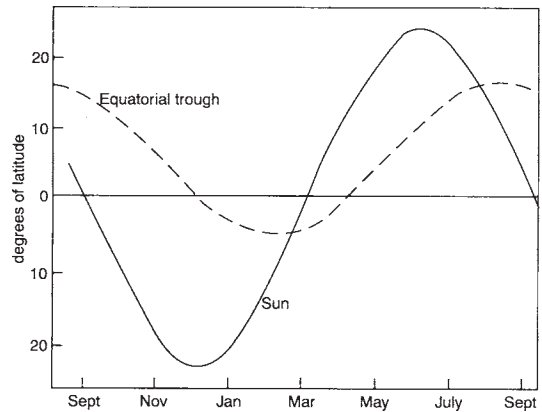


Figure 12.3 The annual march of the latitude of the noon Sun (i.e. the declination) and of the 'equatorial trough', i.e. the mean latitude of the ITCZ.

east of the Andes as far south as Paraguay in February. But the largest and most over the Indian Ocean because of the large persistent spur to the ITCZ is over the southwest Pacific, known as the *South Pacific Convergence Zone* (SPCZ). It is related to the 'warm pool' near Papua (Section 11.2) and is most prominent in summer, contracting towards Fiji in winter. Unlike other spurs, it lies mostly over water (Figure 12.1). There is a convergence of (i) moist northeasterlies from the semi-permanent high



in the south-east Pacific (Figure 12.1) and (ii) southeasterlies from mobile highs moving across the south-west Pacific in summer. The zone is unique in spawning both tropical cyclones and 'frontal depressions' (which are both discussed in Chapter 13).

Winds at the ITCZ are commonly light or non-existent, creating maritime calms called the *doldrums*. But there is occasionally a week or two of strong westerlies, called a *westerly wind burst*, especially during November to March. The disturbances seem to occur each 40–50 days or so, and this loose rhythm is known as the *Madden-Julian Oscillation*, first noted in 1971. It is associated with a zone of active convection within the ITCZ, moving eastwards from Sumatra into the western Pacific. Meanwhile, cloud clusters move west within the zone, affecting the amounts and distribution of rainfall, including the timing of the Wet in northern Australia. Then the disturbance weakens.

### Low-latitude Winds

Winds are mainly *easterly* at latitudes between 10–30°, i.e. *towards the west*. The winds are reliable and therefore known as *Trade winds* or simply the *Trades* (**Figure 12.4; Note 12.B**).

The Trades are especially strong around midyear in the eastern half of the oceans of the southern hemisphere. They occur about 10 degrees further south at year's end, in the southern summer. In Australia they blow all year across almost the entire Queensland coast, and penetrate almost across the continent, especially around the Tropic of Capricorn (at 23°S). The Trades in Brazil are most evident between 3–15°S.

### Midlatitude Winds

*Westerly* winds prevail between 35–60° latitude, dominating southern Australia and New Zealand, for instance (Figure 12.1). The winds are powerful across the southern oceans at all longitudes. They show none of the steadiness of the Trades, since they contain rapidly moving and evolving *low-pressure systems* (or *lows*—see Chapter 13), around which winds circulate.

Midlatitude westerlies blow over 24 per cent of each hemisphere, whereas the low-latitude (easterly) Trades affect about 31 per cent. These contrary winds impose frictional forces on the ground, which are opposite in direction and more or less equal after allowing for the winds' strengths and also the areas involved. The result is that the atmosphere as a whole is neither

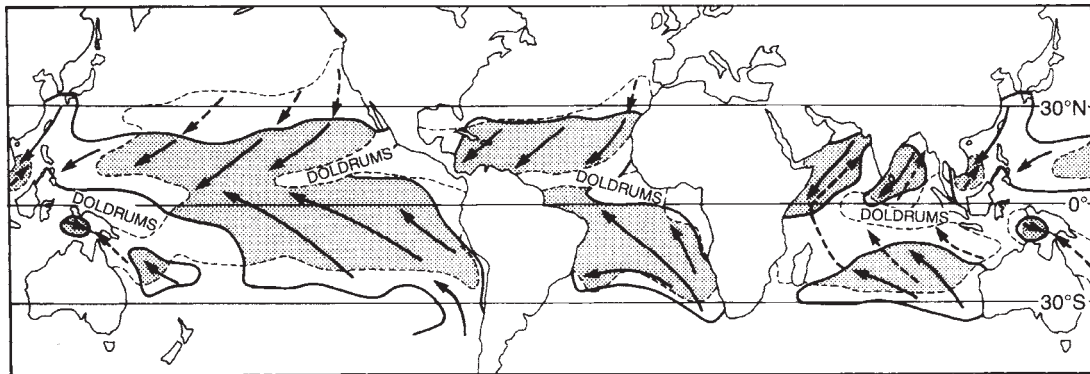


Figure 12.4 The Trade wind belts, showing the areas where at least half the winds come from the east in January (solid lines) or July (dashed) or both (shown shaded).

slowed down by the Earth's rotation nor accelerated by it, but turns with it.

## High-latitude Winds

There are *polar easterlies* at latitudes above about 60°S (i.e. over Antarctica), which are explained later.

## Summary

Any of these prevailing surface winds may be considered as partly east—west (i.e. zonal), and partly north—south (i.e. meridional). The zonal components of winds at a certain latitude can be averaged over all longitudes and over a whole year, to obtain **Figure 12.5**. This shows that there are specially strong westerlies at the latitude of Cape Horn (56°S) at the tip of South America. They are far stronger than those in the northern hemisphere, partly because of the virtual absence of land between 45–60°S (Figure 11.1); the other reason is the notable coldness of Antarctica (Chapter 16).

## Monsoonal Winds

A feature of winds near the equator is their annual reversal. This was pointed out by George Hadley in 1735, who explained the reversal as

due to the movements of the ITCZ. For instance, the south-east Trades from the southern hemisphere cross the equator when the ITCZ is in the north in July, and thereafter the Coriolis effect influences them to the right (Section 11.4). So southeasterly winds from the south of the equator become south-westerlies. And conversely for north winds in January, again producing a narrow band of westerlies near the equator within the limits of the seasonal fluctuations of the ITCZ. The resultant winds can be seen in **Figure 12.6**. Air movements across northern Australia, for example, are from the south-east in July (i.e. from the arid inland), but from the warm oceans to the north-west in January. So they are alternately dry and wet winds. Similarly, the Trades in Papua and New Guinea prevail from the south-east in May to October, and then there are winds from the north-west during December to April.

This seasonal switching of direction we call *monsoonal*. (The term 'monsoon' comes from a word meaning 'season'.) Nowadays, it signifies either a wind or the rainy season of the summer monsoon. To qualify as a monsoon wind, the seasonal change of direction has to be at least 120 degrees. South of the equator they are found only on the east coast of Africa, down to northern Madagascar, and over south-east Asia, including

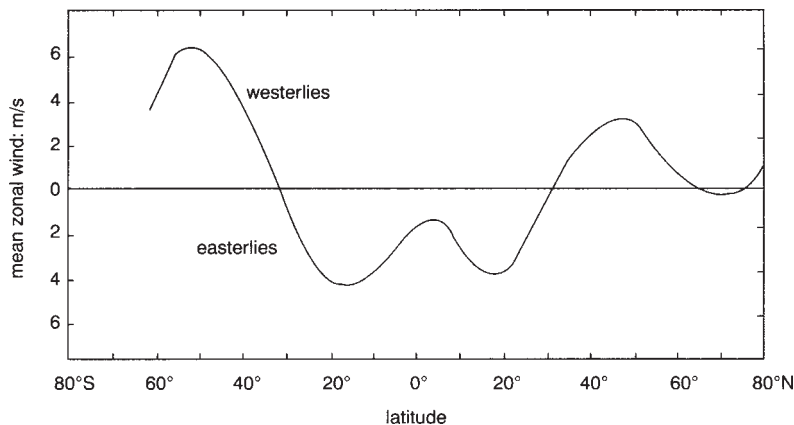


Figure 12.5 Annual and zonal average winds at various latitudes.

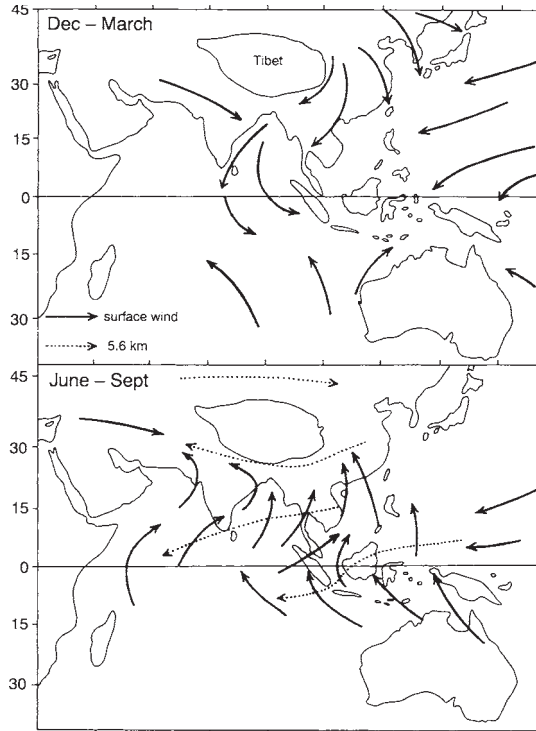


Figure 12.6 Upper and surface winds near the monsoonal equator.

Papua New Guinea and the northern coast of Australia. They are induced by Asia, the Earth's largest continent, which drives strong Trades south across the equator in the northern hemisphere winter and pulls in the southern Trades during its summer.

The explanation of monsoons given above is incomplete, as the Coriolis force is only slight near the equator—especially with the light winds that prevail there. At least two more factors are involved in the case of the main (Indian) monsoon. One is the reversal of temperature difference between the Indian ocean and Asia. The continent becomes much hotter than the ocean in mid-year, drawing air inland as a great sea breeze (Chapter 14). Conversely, the Tibetan Plateau at about 4,500 m becomes much colder

than the ocean at year's end, generating an offshore land breeze at the surface (Figure 12.6 and Chapter 14). Another factor affecting the monsoons is the Plateau's obstruction of the strong winds in the upper atmosphere, so that they flow round, either to the north or the south, with a consequent deflection of surface winds.

The monsoons are ill-defined or non-existent in the Americas because north-south mountain ranges obstruct the Trade winds, and because sea-surface temperatures to the west of South America stay permanently lower than land temperatures. Monsoons do affect northern Australia, but more modestly and briefly than in India, for instance, for lack of mountains or of a land mass as big as Asia to generate the equivalent of a vast sea breeze. Also, there is some removal of moisture by prior rainfall over Indonesia. Nevertheless, north-west winds from the equatorial Indian ocean bring heavy rains to Australia north of 25°S, during the Wet at year's end. The Wet is sometimes interrupted by a dry spell lasting a few days or weeks, when surface winds are mostly easterly and the upper-level winds more westerly. But particularly wet spells can arise from surges of cold air into south-east Asia from Siberia, where it is winter.

## 12.2 FACTORS GOVERNING GLOBAL WINDS

The surface winds just described are explained in several ways, which will now be considered. There are obvious parallels between these and the factors discussed in Section 11.5, controlling ocean currents.

### Pressure Gradient

The driving force of any wind is the local *pressure gradient*, expressed as  $\frac{\Delta p}{\Delta n}$ , where  $\Delta p$  is the difference between the pressures at points separated horizontally by a distance  $\Delta n$ . It is like

the slope of a hillside (i.e. the gradient of elevation) that governs the speed of water flowing downhill. Similarly, the speed of surface winds depends on the gradient of mean sea-level pressures (MSLP) (Section 1.5)

Mean sea-level pressures around the world can be averaged in time and corrected for elevation to obtain a map like **Figure 12.7**, after joining places with the same pressure by lines called *isobars*. An isobar is like a contour line on a map which connects places of equal height. Places of maximum pressure are generally marked H, for 'high pressure', and likewise L for 'low-pressure'. It is important to emphasise that places marked H in Figure 12.1 and Figure 12.7 do not have high pressures at every moment. High-pressure systems are mobile (Chapter 13), but *linger* in the places marked H so that the *annual average* pressure there becomes high. Likewise for the low-pressure regions, marked L in Figure 12.7.

Particularly high pressures occur over Asia in winter (Figure 12.1) because of the low temperatures there (Figure 3.4). The cold air contracts, leaving room above for adjacent air to converge, adding to the weight of the column which causes the pressure (Note 1.G). For the same reason, relatively low sea-surface temperatures lead to high pressures over the subtropical oceans, especially in summer. Conversely, the MSLP is then generally low over the continents, on account of high surface temperatures there, leading to atmospheric expansion and so a spilling away of upper air, i.e. a reduction of the amount of air in the column above the continent. However, a different process operates at about 55° around Antarctica, where a ring of lows constitute the *circumpolar low*. The remarkably low pressures there (much lower than in the northern hemisphere) result from the shallowness of the troposphere at high latitudes, and the consequent warmth of the tropopause (Figure 1.9).

High pressures dominate at around 30° latitudes. The highs are centred over the oceans in summer (Figure 12.1), and adjacent continents

in winter (Figure 12.7), whichever is the cooler. The belt of these *subtropical highs* expands equatorward in winter (Section 12.3). For instance, the South Pacific high-pressure zone is centred at 23°S in July but 32°S in January (Figure 12.1). The shift drives the Trades into the other hemisphere and contributes to the monsoon there (Figure 12.6).

Overall, the variation of zonal-mean MSLP with latitude is shown in Figure 1.8, which also indicates the Equatorial Trough. There are steep gradients between 30–60°S (where zonal winds happen to be strong—Figure 12.5) but the curve is flat at 30°S and the equator, where winds are light. The relationship between the north-south gradient of pressure, and the strength and direction of the zonal winds, is due to the Coriolis effect, which has now to be discussed.

### Coriolis Effect

Large-scale winds (such as the monsoons—Section 12.1) are deflected by the Coriolis effect, just as ocean currents are (Section 11.4). Therefore, air flowing from a high-pressure region to one of low pressure is turned to the left in the southern hemisphere, until the pressure-gradient force to the right exactly matches the Coriolis force (**Figure 12.8**). This balance of forces is known as the *geostrophic balance*, and the resulting wind is the *geostrophic wind* (**Note 12.C**). The adjective 'geostrophic' means 'Earth turning', the cause of the Coriolis effect.

The geostrophic wind blows along an isobar, not directly from a place of high pressure to one of low, but at right-angles to the pressure gradient. This fact, that winds blow *along* isobars, may seem surprising, as if water flowed along a contour line *round* a hill, instead of down it. The important point is that *the geostrophic wind in the southern hemisphere blows clockwise around a low and counterclockwise around a high, at a speed which is proportional to the spacing between the isobars* (Note 12.C). The situation was summed

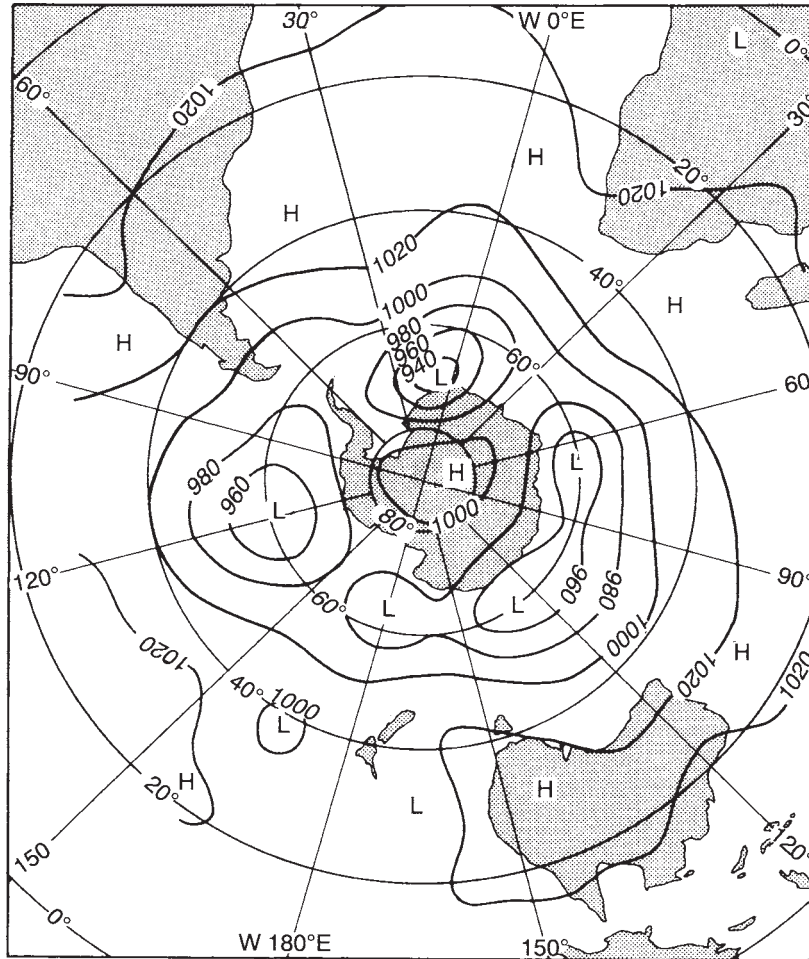


Figure 12.7 Mean sea-level pressures in July in the southern hemisphere.

up by Christoph Buys-Ballot (1817–90) in his ‘Rule’ (1857)—pressure is *Low on your Left* when you *face the wind in the southern hemisphere*.

Wind measured about 1,000 m above the ground is close to geostrophic. In fact, the similarity between (i) arrows or streamlines showing the wind’s direction, and (ii) tangents to the isobars, is so good that forecasters customarily plot the isobars alone, for places away from the equator. Near the equator, the Coriolis force is too weak to balance any

pressure-gradient force (i.e. the winds are non-geostrophic), so air there does flow directly down the pressure gradient, tending to equalise adjacent highs and lows. The consequently flattened pressure gradients are the reason for the weak winds around the ITCZ (Figure 12.5). Forecasters use streamlines to show such winds.

### Circulation of the Wind

Another factor affects any global wind that takes

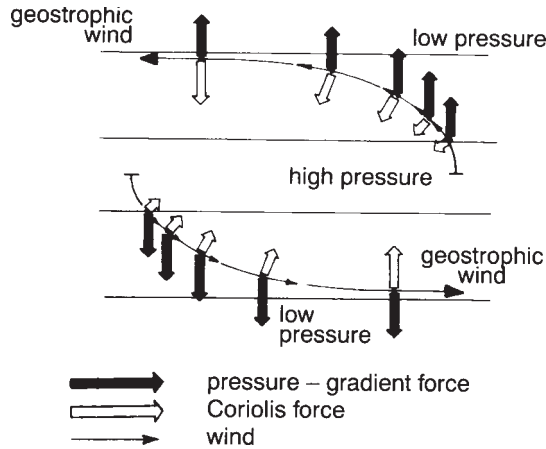


Figure 12.8 The apparent deflection of a parcel of air moving from a belt of high pressure in the southern hemisphere, e.g. from the band of subtropical high pressures. The parcel is assumed stationary initially. As soon as it starts to move, it suffers a sideways Coriolis force, increasing in proportion to its acceleration. The force deflects the parcel until it is travelling along an isobar, with a constant speed such that the Coriolis force balances the pressure-gradient force.

a circular path. There is then a *centrifugal force* outwards, the force you experience when driving a car rapidly round a corner. When this also is taken into account, the resultant wind is called the *gradient wind* (Note 12.D).

The inward and outward forces are shown in **Figure 12.9** for the cases of winds circling a high-pressure region (i.e. a ‘high’ or ‘anticyclone’) and a low-pressure region (i.e. a ‘low’ or ‘cyclone’). The Coriolis force on air which is moving around a high is matched by the pressure-gradient force *plus* the centrifugal force. This necessitates a larger Coriolis force than in the case of a geostrophic wind (where the centrifugal force is zero). Such a larger force requires a faster wind speed, since the Coriolis force is proportional to the speed (Note 11.D). In other words, the wind around a high is *supergeostrophic*. Similar reasoning shows that the wind around a low is *trophic*. In every case,

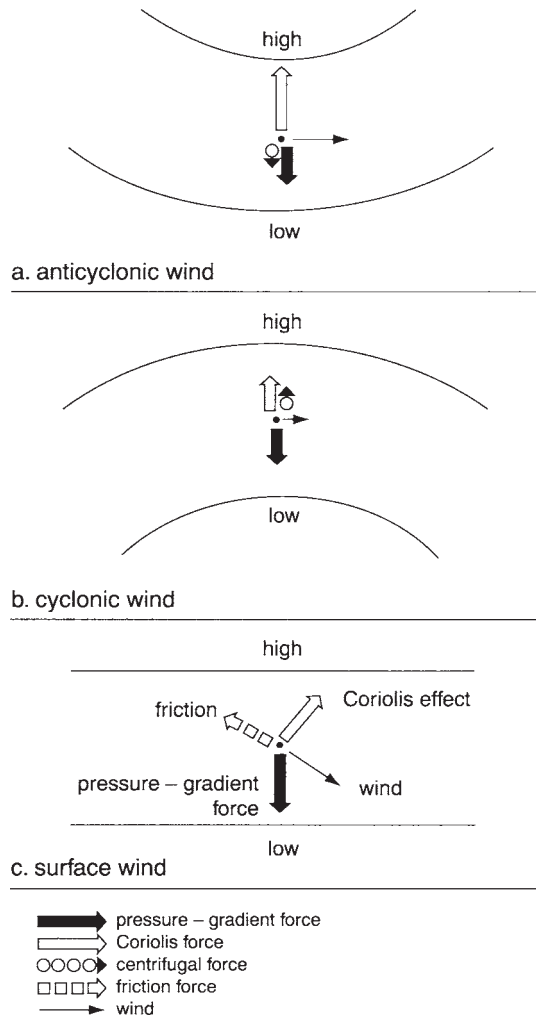


Figure 12.9 The forces involved in winds around (a) a high, and (b) a low. Also, (c) the forces acting on winds near the surface when the isobars are straight and friction retards the flow.

winds go faster around a high than around a low for a particular pressure gradient (Note 12.D).

## Friction of the Ground

The drag exerted by the Earth's roughness is a fourth factor affecting winds within the lowest kilometre of the atmosphere. Friction reduces the wind's speed, so lessening the Coriolis effect, causing the pressure-gradient force to exceed the Coriolis force and therefore the air to flow slightly towards the lower pressure. This explains why surface winds do not precisely circle high-pressure regions but spiral out to the right of them instead, while winds around lows spiral inwards, again to the right of the isobars in the southern hemisphere.

This deflection to the right and the reduction of speed due to friction are most at levels closest to the ground, and so winds at various levels may be represented by an Ekman spiral like that near the surface of an ocean (Section 11.4). In a fully developed Ekman spiral, the surface wind blows from a direction 45° clockwise from the isobars. (We say that the surface wind is made to 'veer' by the friction in the southern hemisphere, whereas an anticlockwise change of direction is called 'backing'.) However, a full spiral rarely develops in reality, certainly not on warm days, when the planetary boundary layer becomes unstable and there is vertical mixing to disturb the distinct layers implicit in the Ekman effect. Variations of wind speed and direction in the lowest kilometre are greatest when the atmosphere is stable, and then the depth occupied by the spiral (called the 'Ekman layer') is the same as the PBL.

Friction is less over the oceans because they are flat, so winds at sea tend to be stronger than inland and blow at only 10–20 degrees from the direction of the gradient wind. The angle is larger over a rough surface like a forest or city. In the Australian desert, sand-dunes tend to be blown to lie 20–30° clockwise of the prevailing gradient wind.

## Summary

The combination of all four factors is illustrated in Figure 12.9. The diagram illustrates the following features:

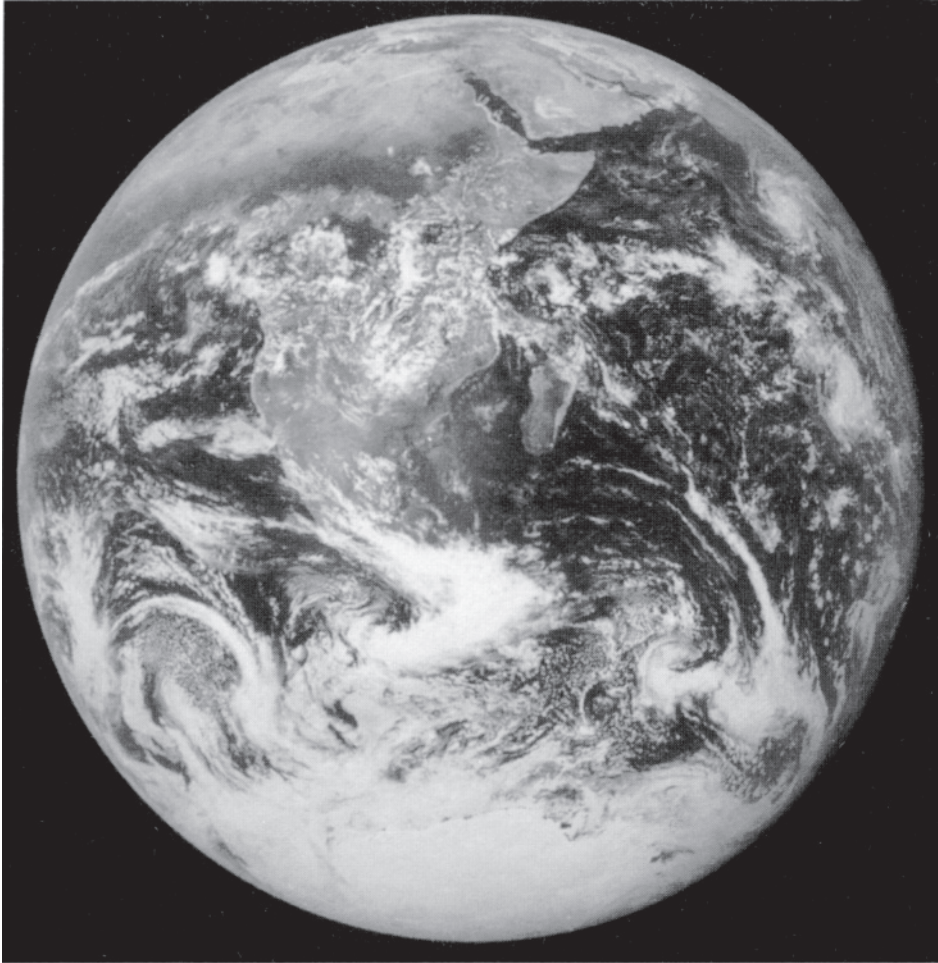
- (a) the pressure-gradient force always acts from high to low pressure regions,
- (b) the Coriolis force is always at right-angles to the wind's direction, pulling to the left in the southern hemisphere,
- (c) the centrifugal force is also always at right-angles to the wind, pulling outwards,
- (d) surface friction involves a complex dissipation of energy in generating turbulent eddies, and the resulting drag normally acts in a direction opposite to that of the wind, and generally increases with wind speed.

Note that Figure 12.9 applies only in steady conditions. In practice, forces fluctuate in space and time. For instance, the pressure gradient along a coast may be reversed by daytime heating of the land. In fact, the surface wind near coastlines and mountains is usually very different from the geostrophic or gradient winds, especially when the latter are weak. Large differences also occur near thunderstorms and jet streaks (Section 12.5).

## 12.3 CIRCULATIONS WITHIN THE TROPOSPHERE

So far we have been considering winds near the surface, but they are only half the story. There is another but related pattern of winds aloft in the troposphere. Different winds at various levels are shown by movements of cirrus cloud in directions quite distinct from those of low-level clouds.

We shall consider winds at two levels especially, where the pressures are around 850 hPa (at about 1,500 m) and about 300 hPa (at about 9 km), respectively. The first represents conditions in the lower troposphere, but well



*Plate 12.1* The patterns of cloud over Africa associated with the global circulation of winds, seen from *Apollo 17* on its way to the Moon on 9 December 1972. Antarctica was surrounded by cloud, with several frontal disturbances shaped like inverted commas. There was a band of cloud along the ITCZ over the Indian ocean, and orographic cloud along the east coast of Madagascar, fronting the Trade winds.

clear of the PBL, while the second represents winds not far below the tropopause (Figures 1.9–1.11). The region above the tropopause is separate because of the stability of the stratosphere and the consequent absence of vertical motion (Section 7.6).

There are several ways of observing winds aloft. For instance, a high cloud is identified

from satellites by the coldness of the cloud top (deduced from the wavelength of the infra-red radiation detected by radiometers on the satellite), and the winds carrying such a cloud can be inferred from its shift in position over time. Other information on winds at high levels is obtained from the sideways movements of ascending radiosondes (Section 1.6) and from



aircraft reports. In addition, winds aloft can be inferred from surface winds combined with theory based on measured temperature profiles (**Notes 12.E** and **12.F**).

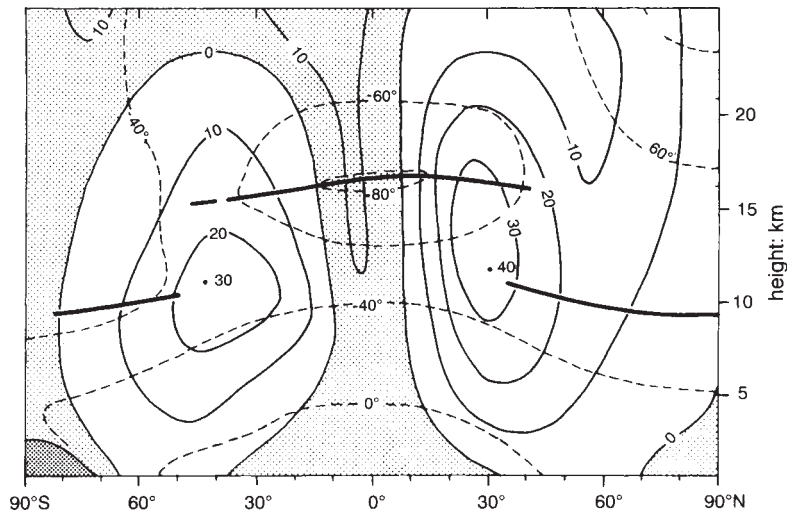
But why consider winds away from the surface, whose climates are the main concern of this book? The reason is that the troposphere's circulation consists of both upper and lower winds, interacting with each other, and therefore upper winds considerably influence the lower winds which largely determine the advection of heat and moisture and thus affect climates. In particular, winds aloft help moderate the difference between equatorial and polar climates. Second, differences between higher and lower winds imply circulations with compensating regions of ascent and subsidence. These vertical motions are important because they are responsible for cloud and rain. They cannot be measured, as they move at only a few millimetres per second within the turbulence of horizontal winds which are hundreds or thousands of times bigger, but they can be inferred from a comparison of upper and lower

winds. An understanding of the vertical circulations of which high-level winds form part explains why some parts of the world have mostly subsiding air and are consequently arid, for example.

## Zonal Winds

**Figure 12.10** shows the average zonal winds at all levels. The bottom of the diagram indicates surface equatorial easterlies, then a belt of westerlies in each hemisphere, with easterlies again at higher latitudes. There are westerlies of over 30 m/s (i.e. above 108 km/h) in the subtropics at a height of about 12 km, just below the temperature minimum of the tropopause (Figure 1.9). These strong winds result from steep north-south gradients of temperature at that level. Note 12.E explains why there are steep gradients, and Note 12.F accounts for their effect on wind speed.

The tropopause is shown in Figure 12.10 as having discontinuities at midlatitudes. These are



*Figure 12.10* The average distribution of zonal-mean winds and atmospheric temperature in January. Shaded areas show east winds. The dark area at the bottom left indicates Antarctica. The most solid bold lines show the tropopause, while the numbered solid lines joining places of equal wind speed (i.e. 'isotachs') show the speed in metres per second. The dashed lines show the temperature (°C).

due to the differences between the temperatures of air masses meeting at the 'polar front', which is discussed below. The warmer surface air of low latitudes raises the tropopause there by promoting convection, and the development of waves in the front involves subsiding air on the poleward side of the front, depressing the tropopause there (Chapter 13).

The asymmetry in Figure 12.10 is partly due to the time of year, i.e. summer in the southern hemisphere, so there is more cooling in the northern hemisphere, increasing the difference from equatorial temperatures. As a result, the high-level westerlies in the winter hemisphere are stronger than in the summer hemisphere (Note 12.F). Over the year as a whole, the westerlies aloft are slightly stronger in the southern hemisphere on account of the low temperatures above Antarctica.

Particularly strong winds occur in winter at heights of 10–25 km near 60°S because of the extremely cold conditions in the polar stratosphere in winter (Figure 1.9). The vortex of these strong winds around the pole is known as the *polar night jet*. It tends to fend off warmer (ozone-rich) air from lower latitudes, allowing the stratosphere to become cold enough to condense the small amount of moisture present into wispy clouds. These facilitate the destruction of polar ozone by chlorine and bromine compounds arising from human activity (Section 1.4).

## Hadley Cells

There are great differences between surface and upper winds at the tropical latitudes (Figure 12.10), with easterly Trade winds surmounted by westerlies, notably in winter. The explanation is as follows. The Trades tend towards the ITCZ (Figure 12.1), where there is a chain of centres of convergence associated with convective storms and these lift air into the upper atmosphere. The raised air increases

the upper-level pressure locally, which creates winds poleward as irregular '*anti-Trades*'. These vary considerably with season and longitude. For instance, the upper-level winds diverge in all directions over the west equatorial Pacific warm pool (Section 11.2). The anti-Trades are deflected by the Coriolis force to become patchy upper westerlies much interrupted by other winds, especially over the continents.

The Coriolis deflection prevents the anti-Trades reaching further towards the pole than about 30° latitude, so they bank up there, and the extra air creates the belt of *subtropical highs* at sea-level seen in Figure 12.1 and Figure 12.7. The accumulated air aloft gradually cools by radiation loss to space, and therefore contracts, leaving room for more air, whose extra weight leads to the relatively high pressures at surface level. The consequent subsidence in areas where surface pressures are high (**Note 12.G**) replaces air that spirals out near the surface to create the Trades once more. Thus a cycle is completed, defining what is called a *Hadley cell*, named after George Hadley (1685–1768). It involves ascent at the ITCZ and subsidence in the belt of highs which lies (in the southern hemisphere) at about 35°S in summer and 30°S in winter. There is a similar low-latitude meridional circulation *north* of the equator, and the two Hadley cells extend and contract with the annual swing of the Sun's path (**Figure 12.11**). The cell extends over the equator in winter, towards the ITCZ in the summer hemisphere, and the circulation is several times stronger than in the other (summertime) cell.

The north-south parts of a Hadley cell's circulation occur at the same time and latitudes as the east-west flows shown in Figure 12.10. The Hadley cell involves simply the average of the *meridional components* of the real winds. The cells are *secondary circulations*, so-called because they are weaker than the primary zonal circulation around the Earth, shown in Figure 12.10. On the other hand, they are important in

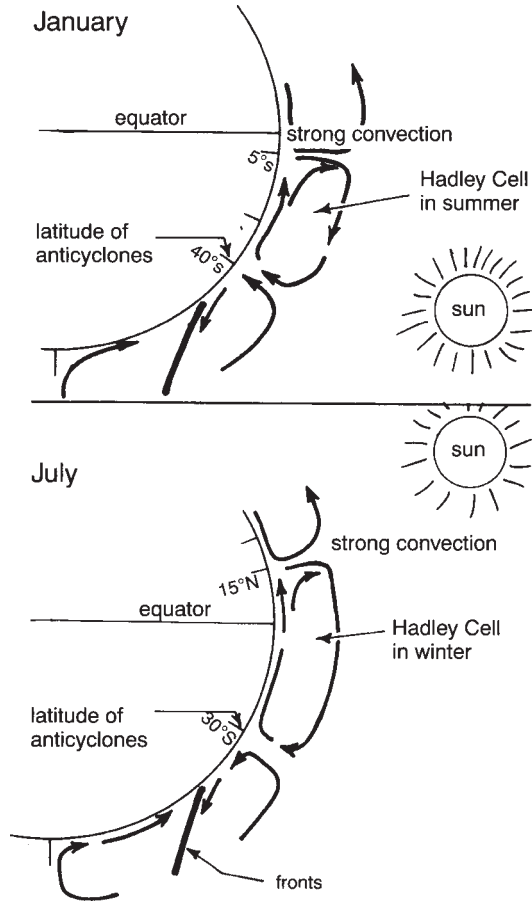


Figure 12.11 Schematic diagram of the meridional flows at about the longitude of Australia, showing the contraction of the southern Hadley cell in summer and extension in winter. The depth of the troposphere is exaggerated about 140 times, in order to make the global circulation pattern visible.

carrying warm moist air to the ITCZ, leading to condensation in the convective updraughts there, accompanied by the release of latent heat, which is then carried polewards by the upper limb of the Hadley cell. This lessens the difference between polar and equatorial temperatures.

Hadley circulations are driven chiefly by the solar energy absorbed in the high rate of

evaporation from tropical oceans (Figure 4.11). Further energy comes from the reduced loss of longwave radiation to space (Note 2.C), due to the coldness of the high tops of equatorial cumulonimbus.

## The Polar Front and the Ferrel Cell

Not all the air subsiding around 30° latitude spirals out from the surface highs to become the Trades. The winds on the *poleward* side of each high in the southern hemisphere tend to emerge as midlatitude northwesterlies (Figure 12.1), carrying relatively warm air towards the pole. These winds encounter cold southwesterlies at around 45°S, and the convergence brings together air masses of different temperatures. The highly irregular interface is called a 'front', in this case the *polar front* (Figure 12.12). It is like the fronts between different water masses (Section 11.5). Fronts are discussed in the next chapter; here it is enough to know that the cold air at a front slides under the warmer air, wedging the latter upwards. William Ferrel suggested in 1856 that such ascent combines with the previous subsidence in the subtropical highs, and the polewards surface winds from them, to form part of a midlatitude circulation. This would be something like a weak

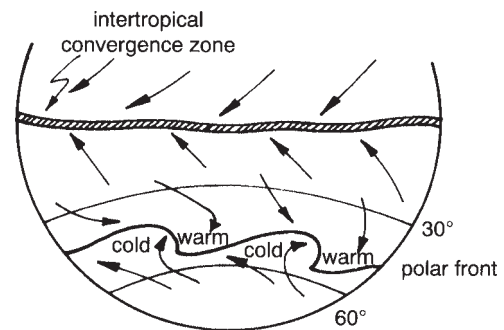


Figure 12.12 Tropical surface winds converge on the ITCZ, whilst cold and warm winds meet at the irregular midlatitude polar front, which is continually distorted.

Hadley cell, but rotating in the opposite direction (Figure 12.11) and therefore intermeshing with the lower-latitude Hadley cells.

For a more sophisticated account of midlatitude winds it is necessary to consider differences between temperatures of the lower troposphere at various latitudes. There is little variation of temperature with latitude near the equator for any particular level of pressure, but there is an abrupt cooling in midlatitudes. In the first case, we say the atmosphere is *barotropic*. In the second case, the atmosphere is called *baroclinic*, i.e. imaginary surfaces of constant temperature in the atmosphere are *inclined to isobaric surfaces*, those of equal pressure (**Note 12.H**). Baroclinic conditions exist at the polar front mentioned earlier, where warm and cold air masses are adjacent. The front is usually well defined, but its location and the temperature gradient across it fluctuate daily, and it readily breaks up into *frontal disturbances*, consisting of tongues of cold air towards the equator behind others of warm air penetrating towards the pole. These disturbances cause the variability of winds and weather at midlatitudes (Chapter 13).

## The Polar Cell

Winds at the highest latitudes tend to be easterly (Figure 12.1, Figure 12.5 and Figure 12.10), which can be explained by a circulation like that of the Hadley cell. Air over the pole continually cools, becoming more dense and therefore subsides, eventually sliding down the dome of Antarctic ice. This flow is deflected westward by the powerful Coriolis effect of high latitudes, forming the south-easterlies which help form the *Antarctic front* between 60–65°S, where the MSLP is lower than anywhere else (Figure 1.8). Then the air is raised by frontal disturbances which break up the front, as at the polar front. (However, the disturbances and the Antarctic front are limited

to low levels only, whereas the polar front affects the entire troposphere.) Air above the Antarctic front flows back towards the pole, completing a meridional circulation called the *polar cell*. It is the weakest and most shallow of all three cells in each hemisphere, and covers the smallest area.

There are strong westerly winds between the Antarctic and polar fronts, with uplift at the disturbances on each side. Sometimes the two fronts temporarily combine into one.

## Quasi-Biennial Oscillation

A remarkable feature of winds near the equator at about 25 km elevation (where pressures are about 25 hPa) is their reversal between comparatively fast easterlies and slow westerlies and then back again, taking about two years in all. So it is called the *Quasi-Biennial Oscillation* (QBO). For instance, the easterly winds over Singapore reached a maximum of around 15 m/s in 1982, 1985, 1987 and 1990, i.e. there is a cycle of variation lasting about twenty-six months. It was first discovered in 1952 over Canton Island at 3°S in the Pacific and later traced as far as 30 degrees from the equator. The QBO is the only clear rhythm in the atmosphere unrelated to diurnal or annual variations, though its regularity is upset occasionally by volcanic eruptions like that of Mt Agung (at 8°S in Bali) in 1963. The change of wind direction occurs simultaneously round the entire tropical belt, beginning at 30 km altitude and extending to 20 km within a year or so. Below that, the oscillation becomes less evident.

The QBO is presumably associated with the tendency for alternate years to be relatively wet (Section 10.4). A similar alternation has been seen in an approximately two-year variation of temperatures in America, noted first in 1885. The explanation involves increased uplift within the atmosphere above Australia and South Africa during the *westerly* phase of the QBO, and

therefore more rain, fed by evaporation from the Indian ocean. Years when the upper equatorial winds are from the *east* are likely to be relatively dry because of descending air, warming and evaporating of any cloud. But this effect on rainfalls is feeble compared to that of the El Niño (Section 11.2), which appears to be independent of the QBO and much more whimsical.

## 12.4 THE UPPER WESTERLIES

Strong westerly winds extend over most of the upper troposphere just below the tropopause (Figure 12.10). They are essentially *thermal winds* (Note 12.F), due to the meridional gradient of near-surface temperatures at midlatitudes. The westerlies are strongest near the polar front (Figure 12.12), where the temperature gradient is steepest. They are not found higher than the tropopause because the latitudinal gradient of temperature is actually reversed at such elevations, i.e. it is colder at lower latitudes (Figure 1.9 and Figure 12.10), especially in the summer hemisphere. In short, the belt of strongest winds, called the *jet stream*, is above the polar front and just below the tropopause.

The speed and direction of the jet stream are evident in the path of a balloon launched from New Zealand and tracked for 102 days as it was carried along at 200 hPa (**Figure 12.13**). It travelled about 1,000 km/day. Another balloon flight in the upper westerlies is described in **Note 12.I**. Airplane flights from Sydney to Santiago (Chile) at a level of nearly 11 km take about an hour less than the return flight in summer, and two hours in winter, on account of the upper westerlies.

### Rossby Waves

Figure 12.13 shows that the upper westerlies do not flow steadily towards the east, but sway

from side to side as *Rossby waves*, named after the Swedish meteorologist Carl-Gustav Rossby. He explained them in 1939 in terms of the Coriolis effect (**Note 12.J**). The waves are bends in the path along which the winds blow, most evident in the jet stream. If there are six such waves in circling the globe, we say that the *wave number* is six. The waves travel against the wind direction, at speeds which depend on their size.

Rossby waves may form on account of horizontal variations of low-atmosphere temperature. As an example, relative warmth over a peninsula in summer leads to vertical expansion of the air, causing a local raising of the level at which pressures are, say, 200 hPa (Note 12.E; **Figure 12.14**). Thus, there is an increased pressure over the land at any stipulated elevation in the upper atmosphere; we say there is a *ridge* of high pressure. This promotes a 'thermally direct circulation', like a Hadley cell (Section 12.3) or sea breeze (Chapter 14), as shown in Figure 12.14. The upper-level ridge also affects the flow of upper winds, which flow geostrophically, following the isobars around the region of high pressure, anticlockwise in the southern hemisphere. Conversely, an adjacent cooler surface has the effect of creating a clockwise bend in a jet stream in the southern hemisphere, around a *trough of low pressure*. So there is a series of alternately left and right loops in the wind's path (Figure 12.15). This is an example of a surface condition affecting upper-level winds. We shall see in the next chapter that the two levels are closely linked, with interactions in both directions.

The initial deflection forming a Rossby wave might have been a coastal difference of temperatures, but the cause could be a mountain range, discussed later. However, it should not be inferred from the fixed positions of coasts and mountains that the Rossby waves are tied to particular locations. There is some tendency that way (which we discuss in connection with 'blocking' in Section 12.5 and Chapter 13), but

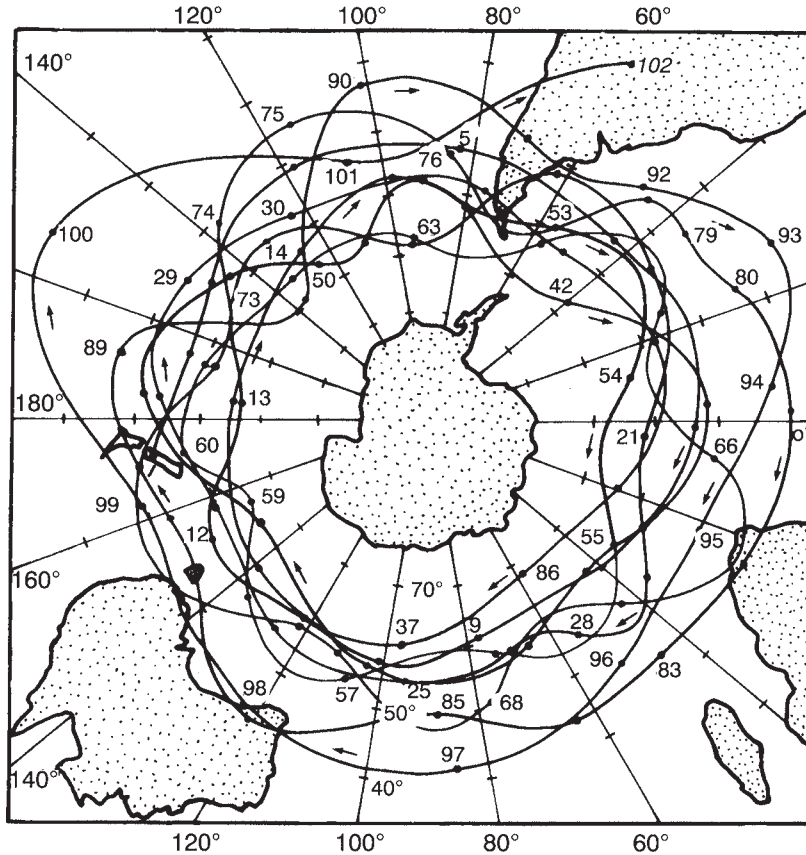


Figure 12.13 Trajectory of a balloon released from Christchurch (NZ) and carried along at a level of 12 km. The numbers indicate the days elapsed from the time of launch.

the dominant feature of the waves is their mobility.

The sharpness of the turning, i.e. the amount of rotation (or *vorticity*) created by the ridge or trough, depends on both the strength of the wind through the wave and the length of the wave (**Note 12.K**). Vorticity is a useful concept because it is a property that tends to be 'conserved', to persist. Thus, whirls that form behind an obstacle in a river and then are carried away by the flow do not disappear as soon as they are shed from the obstacle. The vorticity which is conserved is the *absolute vorticity*, which has two components from separate

sources—the turning of the wind over the Earth, and also the turning of the Earth in space. The former contributes *relative vorticity* and the latter *planetary vorticity*. These will be discussed later.

### Planetary Waves

Rossby waves are of two kinds, short and long. The latter are known as *planetary waves*. They are almost stationary and last from a few weeks to a season. They usually have a wave number of two in the northern hemisphere (because of the two land masses, Eurasia and North

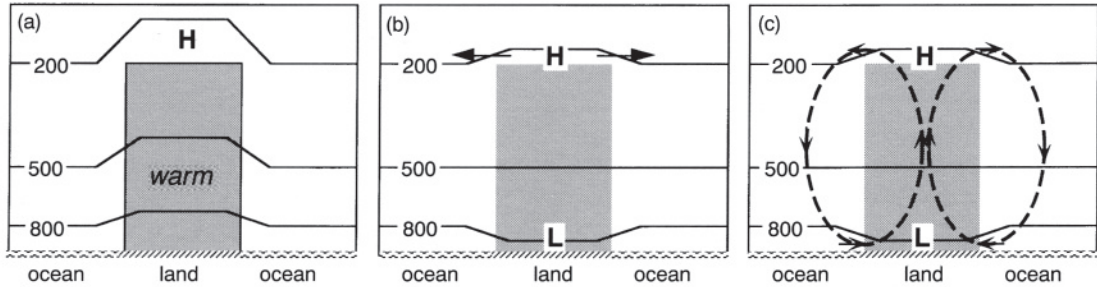


Figure 12.14 The effect of warm land on atmospheric pressures. The land heats the air above, which therefore expands lifting the higher atmosphere, so that the level at a pressure of 200 hPa, for instance, is raised (a). In other words, pressures over the land are greater than at the same height over the sea, especially at higher levels. For example, the pressure on top of the shaded block of warm air in (a) exceeds the 200 hPa of adjacent air at that level. The pressure difference leads to an outwards flow at the upper levels. This reduces the weight of air above the land, lowering the surface pressure there (b). That causes an inwards flow near the ground. The contrary flows at different levels create a convective circulation (c).

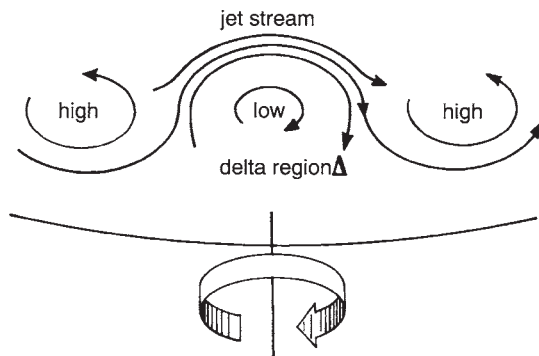


Figure 12.15 The upper-troposphere winds in the vicinity of a shortwave trough or low.

America), and one in the southern hemisphere because of the asymmetry of Antarctica about the South Pole; Antarctica bulges towards the Indian Ocean. (This asymmetry is one reason why Perth at 32°S in west Australia receives 865 mm/a of rain, whereas Santiago at 33.5°S in Chile receives only 318 mm/a.) There is also a simultaneous weaker undulation of wavenumber three in the southern hemisphere, due to South America (where much of the Andes is above 3,000 m), Africa (where most of the land in the south is above 1,000 m), and

the 'island continent' consisting mainly of Australia and its Dividing Range, as well as mountainous New Zealand.

### Shortwaves

The co-existing short Rossby waves have wavelengths of a few thousand kilometres at most and are transient, developing and decaying within a week or two. Their short length means rapid twisting, i.e. considerable vorticity (Note 12.K). They travel *eastward* at a varying pace, typically 1,000 km/day, slightly slower than the winds travelling through them.

Shortwaves are associated with disturbances at the polar front, when a tongue of cold air extends towards the equator, for instance. This causes a lowering of isobaric surfaces in the upper troposphere, i.e. a trough of low pressure (Figure 12.15), and therefore a clockwise turning in the southern hemisphere. Shortwaves in the upper westerlies are linked with frontal disturbances beneath, which cause weather. This is the reason for weather forecasters focusing attention on upper-level charts of winds and vorticity.

The short Rossby waves and the associated

frontal disturbances can play an important role in the redistribution of heat across the globe (Section 5.2). That is because large amounts of sensible and latent heat are advected poleward ahead of a frontal disturbance (Chapter 13), and the disturbance is followed by an outbreak of polar air towards the equator. Either way, there is a lessening of the temperature difference between equator and pole.

## 12.5 JET STREAMS

A jet stream is a narrow channel of strongest winds near the tropopause (Section 12.4). A typical example is a few thousand kilometres long and a kilometre or two deep within the upper westerlies, with winds faster than 30 m/s (108 km/h). It is only about a hundred kilometres wide at any moment, but meanders from high latitude to low and back within the Rossby waves, and covers a range of latitudes within a month, so that monthly mean averages of wind speed show a broad band of strong wind (Figure 12.10). The instantaneous position of a jet stream is often indicated by a characteristic long band of cirrus.

Jet streams are discontinuous and vary with the weather. They may slow down, split or join, and contain patches, called *jet streaks*, where winds exceed 50 m/s (180 km/h), sometimes reaching twice that. Jet streams were first discovered in the course of high-altitude flights to Japan during wartime in the early 1940s. A pilot going in the same direction tries to catch a ride for extra speed, whilst one flying in the opposite direction steers well clear.

### Subtropical Jet Streams

Two jet streams can sometimes be distinguished in the westerlies aloft. One is the *subtropical jet* (STJ), a chain of jet streaks at about 12 km altitude. The core velocity of a STJ averages 70

m/s (250 km/h) at 25°S over Australia in winter, but only 30 m/s at 31°S in summer. The average latitude and the mean speed of STJs over Australia vary in alternate years, with years of stronger jets around 27°S taking turns with years with weaker jets around 29°S. Presumably this is connected with the QBO (Section 12.3).

The STJ is partly due to the acceleration (relative to the ground) of upper westerlies, as the air moves polewards in the upper part of the Hadley cell. (Air from the equator moves eastward at 490 m/s, whilst the Earth's surface at 30 degrees latitude rotates 65 m/s slower.) Also, the troposphere is much colder at latitudes above 30°, and the STJ is partly a thermal wind resulting from the temperature gradient (Note 12.F).

### Polar Front Jet Streams

Polar-front jet streams (PFJ) occur at about 50°S and 8 km altitude, directly above the polar front, whose position changes with season and from day to day. The PFJ is a thermal wind due to the temperature difference across the polar front. It often contains a series of rapidly evolving shortwaves, whereas long waves are more common in the STJ.

Sometimes the PFJ and STJ combine into a single jet, as in Figure 12.10. This fusion is common over large continents in winter. At other times, the PFJ ridges far to the south (e.g. to 65°S), at the same time as the STJ forms a broad trough near 20°S. Such a pattern obstructs frontal disturbances which are travelling from the west, and sends them southward around the ridge. This is known as *blocking*. It happens when the shifting pattern of upper ridges and troughs locks onto a large high at the surface, located south of its normal subtropical position (Figure 12.7). Such a coincidence may arrest the eastward movement of the waves for days or weeks, causing settled weather at ground level, so weather forecasters are keen to detect incipient



blocking. It is most common in winter, and may lead to drought in places that receive their rain from frontal disturbances, e.g. south-western Australia and Tasmania.

Blocking is associated with large-amplitude Rossby waves, swinging over a wide range of latitudes, whereas a low amplitude corresponds to a more even westerly flow. The two cases are distinguished by the difference between the heights (averaged over all longitudes) at which pressures are 500 hPa, at 35° and 55° latitudes, respectively. This difference is called the *zonal index*. A high index implies a fairly straight, strong jet stream. A *low* value corresponds to large loops of the Rossby waves, which equalise conditions at the two latitudes, and implies a low zonal wind speed and (in the extreme) blocking. The index tends to wax and wane irregularly, sometimes over periods of 3–8 weeks, and this irregular *vacillation* is called the *index cycle*.

In addition to the westerly jets there is sometimes in mid-year an *easterly* jet stream high above the ITCZ affected by the QBO (Section 12.3). This is due to the elevation of equatorial air by convection to a height of 14 km or so. The air at ground level rotates eastwards with the Earth's surface at a velocity of 463 m/s, but moves about 2 m/s slower at a height of 14 km to maintain a constant 'angular momentum', in the same way that a skater spins more slowly on stretching out to increase his/her radius. This small westward flow adds to the zonal average easterly to produce an equatorial jet stream of about 4 m/s. But it is patchy, reaching 10 m/s over the Indonesian archipelago but is non-existent over South America and the eastern Pacific.

### Vertical Motions

Jet streams are important in controlling temperature, cloudiness and precipitation at midlatitudes because they induce vertical

motions in the troposphere; subsidence usually means fine weather, while uplift can lead to rainfall. The place of greatest uplift is on the poleward side of the exit of a jet streak, called the *delta region* (Figure 12.15) where two causes of upper-level divergence combine. First, there is that due to acceleration from cyclonic to anticyclonic rotation at the exit of the jet streak, and, second, that caused by the momentum of the jet's air at the exit which generates divergence on the right-hand side because of a temporary excess of leftwards Coriolis acceleration (**Note 12.L**). The 'delta region' is seen in satellite pictures of clouds to be about 1,000 km across and triangular, like the Greek letter delta.

Uplift due to a jet causes low-level convergence and hence a surface low which may grow into a frontal disturbance and then a storm (Chapter 13). The frontal disturbances are responsible for alternations of cold and warm, wet and dry weather in midlatitudes. The storms have led to the belt of highest jet-stream activity being called the *storm track*. It is centred at about 47°S in both winter and summer, which is clear of Australia, South Africa and (just) New Zealand, but not South America, which extends to 56°S. Slight movement of the storm track, due to changes in ocean circulation for instance, can significantly alter climates locally.

Jet streaks are also linked with Clear Air Turbulence, a little-understood hazard to aviation (**Note 12.M**).

## 12.6 MODELS OF THE GENERAL CIRCULATION

At this point it is useful to summarise the previous sections in terms of a single coherent model of the world's circulation overall. The model must account for the distribution of pressures (Figure 1.8), the meridional transfer of heat (Note 5.F) and the latitudinal variations of rainfall (Figure 10.6) and winds (Figure 12.5).

Various models have been suggested in the past. Edmund Halley proposed in 1686 that the easterly Trade winds were following the Sun, flowing towards the part of the Earth that is warmed by solar radiation, like the draught to a fire. But that would imply a daily reversal of winds.

A better model was advocated by George Hadley in 1735. He explained the Trades as due to a lag of the wind on the rotation of the Earth: the ground moves eastwards faster than the atmosphere does, as the air is drawn towards the equator by the warmth there. Then there is thermal convection upwards from the equator, followed by subsidence at higher latitudes. This explains the pattern within the Hadley cells (Section 12.3) and contains an early interpretation of the Coriolis effect, but fails to account for surface westerlies at higher latitudes.

That objection was later considered by William Ferrel in the light of the Coriolis effect (Note 11.D). He suggested that the Hadley cell meshes with a midlatitude cell rotating in the reverse direction, which in turn interlocks with a polar cell beyond, like three cog-wheels in a row. Such a three-cell model of the general circulation was more clearly described by Tor Bergeron in 1928, and was used in Section 12.3 in considering Figure 12.10 and Figure 12.11. It has the advantage of being simple, but has at least three difficulties. It implies a boundary between the Ferrel and polar cells at latitudes much higher than is observed in practice; polar air actually meets low-latitude warm air at fronts nearer the equator than  $60^\circ$ . Secondly, the three-cell model implies upper easterlies above the midlatitudes (as the counterpart of the surface westerlies), which is incorrect (Figure 12.10). Thirdly, the circulation known as the Ferrel cell proves to be insignificant. In fact, it is now realised that circulations in midlatitudes are not regular flows around *horizontal* axes (as in the three-cell model), but are largely the outcome of sporadic, asymmetric eddies around *vertical* axes.

So we arrive at a more complex version (**Figure 12.16**), following the ideas of Rossby (1941), Palmen (1951) and Newton (1969), and incorporating what has been learnt about the upper winds. This model almost omits the Ferrel cell (with a horizontal axis) and instead involves slantwise convection within vast horizontal Rossby waves. But the Palmen-Newton model retains the ITCZ and the Hadley cell, and there are vestiges of a polar cell on the polar side of the circumpolar westerlies. The subtropical jet (STJ) lies at the edge of the Hadley cell, above the interface between descending equatorial air and cold air from higher latitudes. Similarly, the polar-front jet (PFJ) is above the interface between subpolar and subtropical air masses (Chapter 13). There is no well-defined jet above the weaker and more shallow Antarctic front.

Even the model in Figure 12.16 has the disadvantage that it is essentially static, understating the atmosphere's inherent unsteadiness. One way of demonstrating its dynamic character is to play a movie loop of the observed wind, temperature and moisture contents at thirty levels, say, and points about 70 km apart horizontally, with data for each twelve hours over a decade. This has provided detailed estimates of the meridional movements of energy and moisture within the Hadley cell, and in transient and stationary eddies. It has also given insights into the characteristics of storm tracks and teleconnections.

Alternatively, we simulate the global circulation on a large computer, creating a *General Circulation Model* (GCM). GCMs were first developed by Newton Phillips in 1956 and Joseph Smagorinsky in 1963, on lines pioneered by Lewis Richardson in 1922, before suitable computers were available. A GCM ignores concepts like circulation cells, jet streams and large-scale winds. Instead, it involves the physics of atmospheric processes using fundamental equations of motion (Chapter 15) and derives the temperature

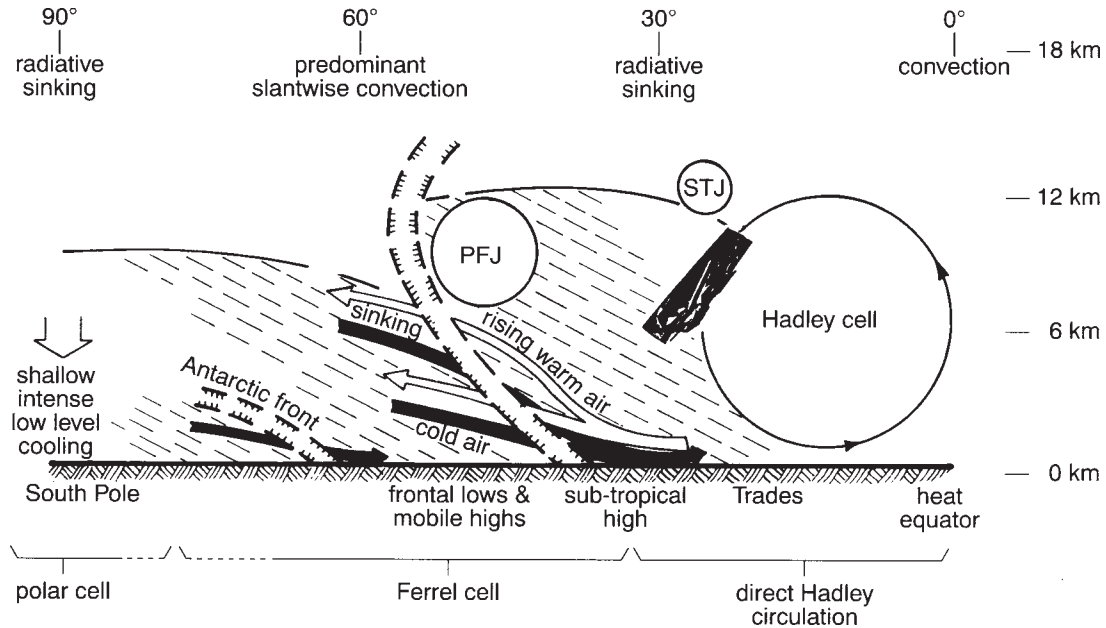


Figure 12.16 The Palmen-Newton model of the meridional winds of the general circulation. PFJ is the polar-front jet and STJ is the subtropical jet. The shallow front poleward of the polar front is the Antarctic front.

change, for instance, of each unit volume of the atmosphere from the advection of heat into the volume, the net radiation input and any release of latent heat there. Likewise for changes of wind speed or moisture content during any time-step. The calculations are repeated in each successive time-step for heat, motion and moisture in each of thousands of unit volumes, starting from a description of the initial conditions (e.g. those of today) and carrying on to deduce the situation hours, days, months or years ahead. The trillions of calculations involved have become practicable only since the recent advent of very large, very fast computers. Unfortunately, the atmosphere is still represented by data from points 100 km apart, for example, so that smaller features like clouds are ignored, except indirectly. Nevertheless, GCMs are the basis of modern weather prediction, leading to clear improvements in the accuracy of forecasting

(Chapter 15). They are also essential tools in estimating climate change. This requires allowing for SST fluctuations, which can be determined by a separate model of ocean movements. Preferably, both the atmospheric and ocean models are combined (i.e. 'coupled'), and this is done in studies of the ENSO phenomenon.

## 12.7 ENSO

There is a pattern of zonal flows over the equator across the Pacific Ocean named the *Walker circulation* after Gilbert Walker, who discovered it in the 1920s (Figure 12.17). The strength and direction of the circulation are measured by the difference between sea-level pressures (in hPa) at Papeete (17°S in Tahiti in the central Pacific) and Darwin (12°S in northern Australia), 8,500 km away. Tahiti is

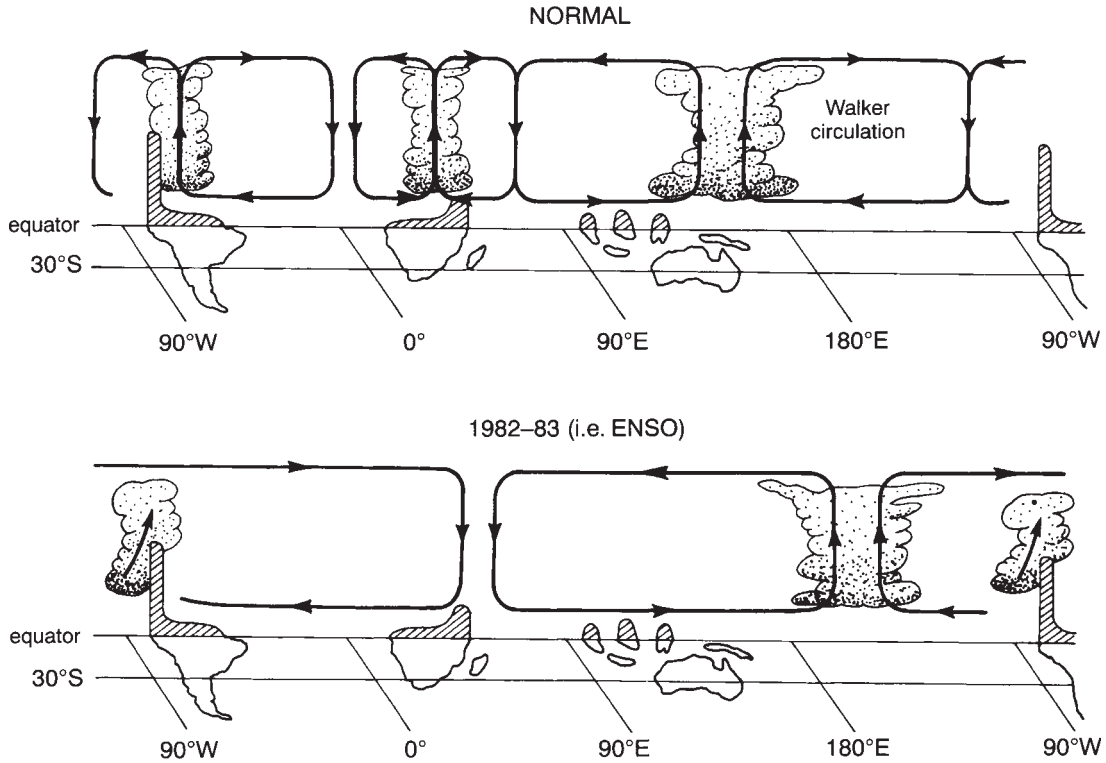


Figure 12.17 The Walker circulation in terms of zonal winds in the tropical troposphere during a normal and during an ENSO year, respectively.

under the influence of the South Pacific high (Figure 12.1) and experiences easterly Trades most of the year, while Darwin is near the west equatorial Pacific warm pool (Section 11.2) and has a distinctly monsoonal climate. The mean difference in the present month between the MSLP values at the two places is compared with the long-term average difference at this time of year, to obtain the current *MSLP anomaly*. (An ‘anomaly’ is a difference from the normal.) The month’s anomalies are calculated for all past years to derive the standard deviation (a measure of the scatter—Note 10.L) for that month of the year. Dividing the relevant standard deviation into the current MSLP anomaly, and then multiplying by ten, gives the *Southern Oscillation Index* (SOI) for

the present month. Values either over 20 or below -20 are found to occur only 4.6 per cent of the time, although the SOI has varied between +33 and -39 during the last century. It is usually smoothed by taking a five-month running mean (Note 10.H), to remove some of the short-term scatter.

The SOI value is connected with the rainfall, as shown in the following examples:

- 1 The greater the SOI value, the stronger the westwards Trade wind (Figure 12.17), since winds near the equator blow directly from places of high to those of low pressure, unaffected by the weak Coriolis effect at low latitudes. A strong westward flow (i.e. a highly positive SOI) implies more uplift over Darwin,

for instance, and hence heavier rainfall over Australia (**Table 12.1**).

- 2 Fluctuations of the SOI match differences in the flow of the Burdekin River in Queensland and hence in the thickness of annual coral deposits in the sea at the mouth of the river.
- 3 **Figure 12.18** shows a connection between SOI and rainfall in terms of the flow along a river draining western New South Wales.
- 4 There has been a correspondence between Australian annual wheat yields and the June–August SOI during the period 1948–91. The coefficient of correlation was 0.47, which is impressive but insufficient to be useful in predicting yield.
- 5 A negative SOI value implies possible drought over northern Australia (Figure 10.16).

The SOI fluctuates every few years, due to a sea-sawing of pressures between Papeete and Darwin.

A rise at one place is accompanied by a fall of pressure at the other, and so an enhanced change of the difference between the two. This fluctuation is called the *Southern Oscillation*, from which we derive the name of the SOI.

### Teleconnections

It became clear after the collecting of weather data during the International Geophysical Year of 1957 that variations like that of the Southern Oscillation are not confined to the Pacific ocean (Figure 12.17). That year happened to be a major El Niño year (Figure 10.16) and the IGY involved collecting data worldwide. The data showed that the Walker circulation in the Pacific intermeshes with similar east-west circulations right round the globe at low latitudes, as well as with the meridional Hadley cells. So pressure anomalies at Darwin coincide with anomalies far away,

Table 12.1 Association of high values of the Southern Oscillation Index \* with large areas of high rainfall in the eastern states of Australia during the period 1933–87

| Range of annual average SOI values | Number of years with such SOI values | Average SOI over those years | Mean during those years, of the percentage of eastern Australia with the following rainfall: |           |           |
|------------------------------------|--------------------------------------|------------------------------|--|-----------|-----------|
|                                    |                                      |                              | Low †  | Normal ‡  | High §    |
| Below -5                           | 14                                   | -9.6                         | <b>47</b>  | 41        | 11        |
| Between -5 and +5                  | 30                                   | +0.5                         | 27   | <b>46</b> | 27        |
| Above +5                           | 11                                   | +9.2                         | 6  | 39        | <b>56</b> |

Notes:

\* The annual SOI is the average of the monthly SOIs, as defined in Section 12.7

† 'Low' rainfall means that the annual total at a place was within the lowest three deciles of measurements there (Section 10.4). The deciles were obtained by ranking the annual rainfalls in ascending order to determine the smallest 30 per cent of the fifty-five values,

‡ 'Normal' rainfall here means that the annual total at each place fell in the middle four deciles

§ 'High' rainfall means that the annual total at each place fell within the highest three deciles

|| This figure was derived from fifty-five annual totals of rainfall at each of many places within the eastern states of Australia. For each place, the fifty-five values were ranked and divided into deciles, so that a decile could be allotted for each year. In any particular year the appropriate decile value for every place was mapped, allowing calculation of the average decile for the whole region for that year. In the fourteen years with low SOI values, 47 per cent of the region, on average, had low rainfall, 41 per cent had normal rainfall, and 11 per cent had high rainfall. The most likely rainfall regime is highlighted in bold.

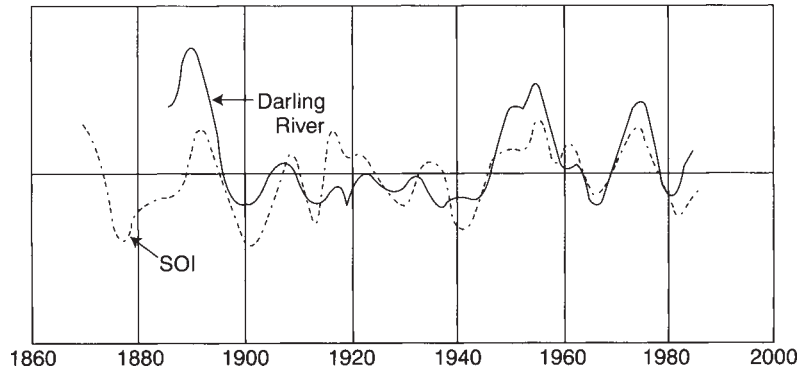


Figure 12.18 The parallelism of fluctuations of the Southern Oscillation Index and flows of water along the Darling River in western New South Wales. Each vertical unit represents 20 SOI units. The curves show ten-year running averages.

especially in the tropical region of the southern hemisphere. The relationship is given in terms of the *correlation coefficient*, a statistical measure of the degree to which a change (in this case of the pressure at Darwin) is accompanied by a similar anomaly at any other place on the map. **Figure 12.19** shows that when the MSLP is anomalously low in Darwin (i.e. the SOI is high and therefore Darwin is relatively wet), the MSLP tends to be high in Tahiti, where rainfall is consequently unlikely. But the correlation is slightly positive in central Africa and in the Amazon Basin, suggesting that *wet* periods there tend to accompany rain at Darwin.

The global relevance of the phenomenon is shown in **Figure 12.20**, indicating simultaneous but various changes in the rainfall at the time of negative SOI values.

### Relationship to SST

It was pointed out by Hendrik Berlage in 1957, and by Jakob Bjerknes in 1966, that the Southern Oscillation is related to the occurrence of El Niño (Section. 11.2), since both occur within the Tropics, where anomalies of pressure are closely associated with alterations of sea-surface

temperatures. The connection is seen in Figure 12.21. An association arises from an anomalously high SST in the central equatorial Pacific, creating atmospheric convection and hence a release of latent heat into the atmosphere which lowers its density and hence the surface pressure there, which implies a negative SOI. Another association between pressure and SST works the other way; a decreased MSLP at Tahiti reduces the force which drives the Trades, so there is less cooling of the surface by upwelling. Either way, we say that the tropical atmosphere and ocean are ‘tightly coupled’.

As an example, the negative SOI from June 1982 till March 1983 coincided with an abnormally strong El Niño off Peru. Other long periods of negative SOI were from May till December 1940, and June to October 1941, again associated with periods of strong El Niños (Figure 10.16). In other words, low values of the SOI imply an El Niño. In fact, El Niños and the Southern Oscillation are simply aspects of the same global *ENSO episodes*, where this title is compounded from El Niño+Southern Oscillation.

The global average SST is higher when the SOI is negative, so it is sometimes referred to as a ‘warm phase’. Within that period, an *ENSO warm episode* involves both an El Niño and a low SOI

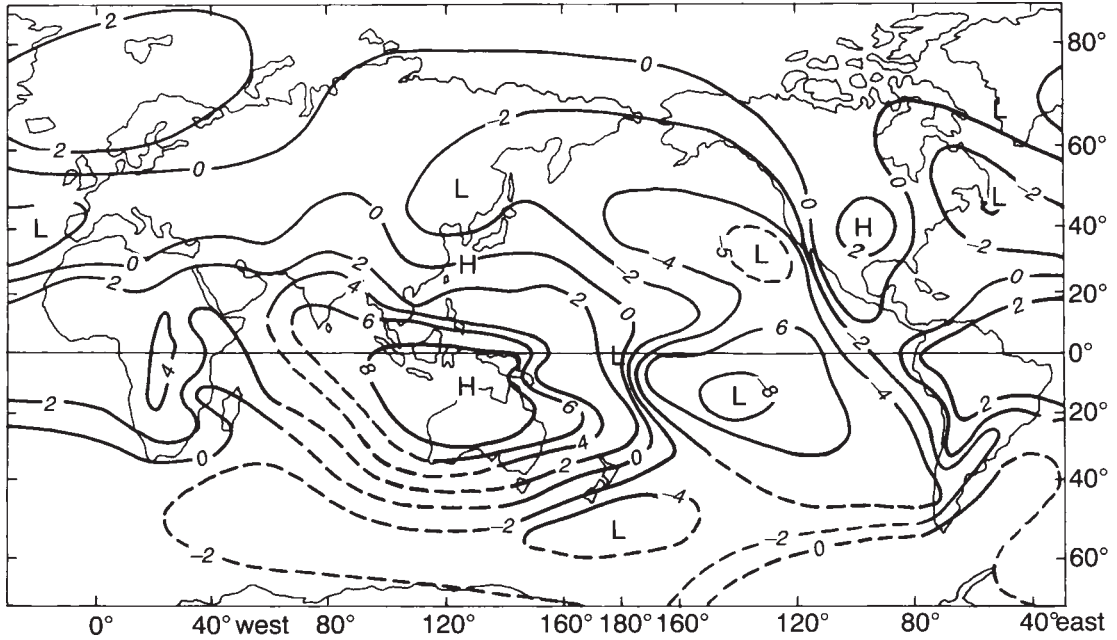


Figure 12.19 The correlation of annual mean pressures with those at Darwin, in terms of the 'correlation coefficient'. The coefficient is +1 (shown as 10 in the diagram) if changes are the same as those at Darwin, zero if unrelated, and -1 (i.e. -10 in the diagram) if exactly opposite. Thus, -8 in the diagram, for example, indicates a strong tendency towards opposite changes.

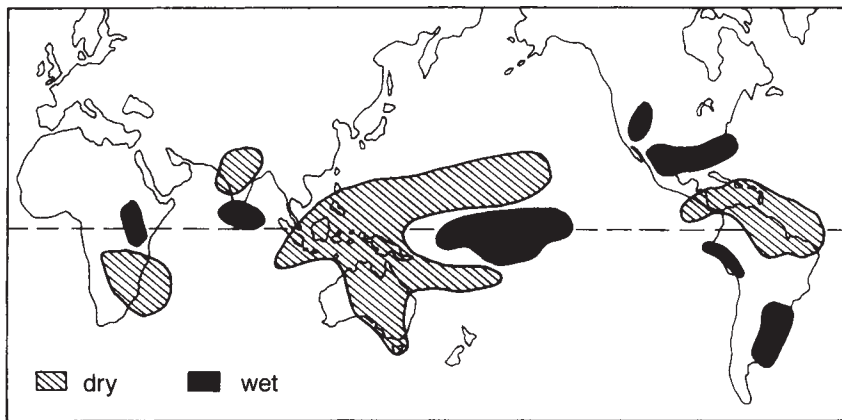


Figure 12.20 Regions experiencing changes of rainfall during an ENSO warm episode, showing which have an increase ('wet') and which a decrease, 'dry'.

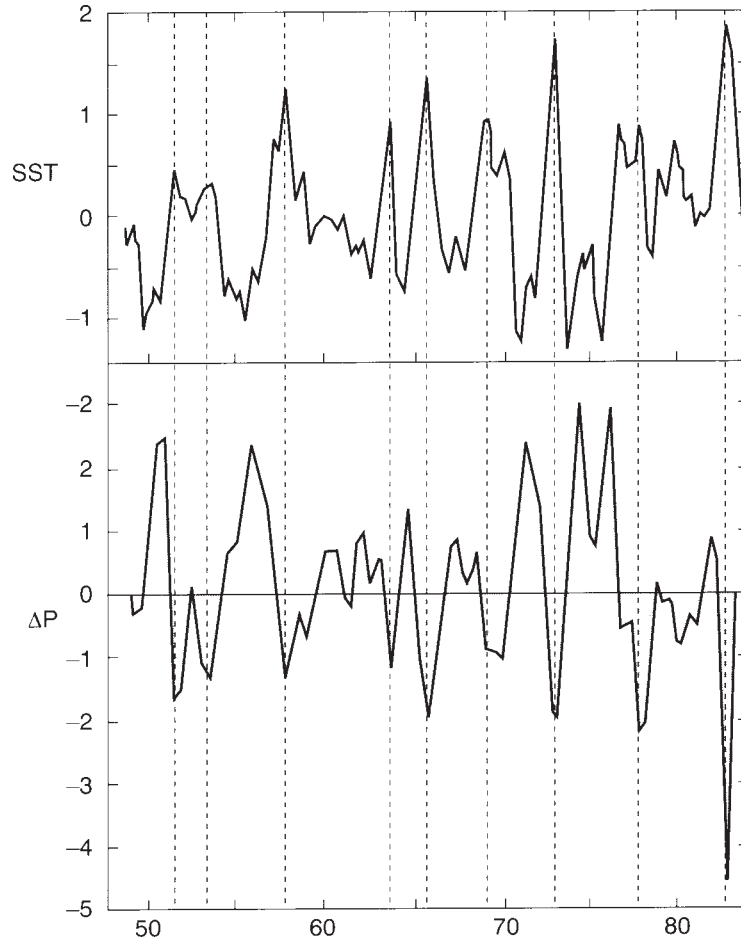


Figure 12.21 The coincidence of fluctuations of the Southern Oscillation Index and the sea-surface temperature in the central equatorial Pacific (between 150–90°W and 5°N–5°S).

as the extreme set of conditions. Tropical cyclones (Chapter 13) are more common in the South Pacific during an ENSO warm episode. Contrariwise there are ‘ENSO cold episodes’, which include a La Niña and a high SOI. Details are given in **Note 12.N**.

### ENSO Events

The occurrence of ENSO events is quasi-cyclic, with at least two rhythms beating against each

other. One involves a period of 2–3 years, though it is apparently unconnected with the stratospheric Quasi-Biennial Oscillation (Section 12.3). The other rhythm is more important and takes 3–5 years for each cycle. Despite this, the SOI was continuously negative for more than five years between January 1990 and September 1995. No two El Niños are the same.

There might perhaps be a link to sunspots, since ‘strong’ ENSO events since 1710 at least have been more frequent in years with fewer



sunspots. Another clue comes from the similarity of the dates of El Niños and those of Nile floods in recent decades which suggests the possibility of using the long record of the floods to examine ENSO frequency since AD 622; it seems they were less common during the Medieval Warm Period, between AD 900–1200 (Chapter 15).

In view of the connection between SOI and rainfalls, it is not surprising that ENSO events also coincide with variations of precipitation. Examination of layers of ice on top of Quelccaya (Section 3.2) shows that 30 per cent less was deposited from the easterly winds during five recent ENSO events. Trujillo (at 8°S in South America) normally receives less than 25 mm of rain annually but 400 mm fell in 1925 on account of an El Niño. However, twelve of the driest twenty years between 1875 and 1978 in south-east Africa were El Niño years, and twenty out of twenty-six El Niño episodes in the last 120 years were associated with droughts in Australia. The relationships are not simple. Connections between El Niño and droughts (or abnormal rainfalls in the case of Santiago, for instance) are shown in Figure 10.16 to be only approximate, and the strength of the connection between rainfalls in eastern Australia and the SOI seems to change from decade to decade.

In summary, we now know enough about the progress of an ENSO episode to permit the following few months' events to be predicted

with modest confidence (Note 12.N) once it has started, but we still cannot foretell its onset. One problem is that so many feedbacks complicate the process, and another is our ignorance of the interaction of the ocean with relatively weak winds.

It is not only the ENSO phenomenon that is becoming better understood. Recent decades have also seen enormous advances in explaining the synoptic-scale events which constitute weather. These are dealt with in the next chapter.

## NOTES

- 12.A Streamlines
- 12.B Trade winds
- 12.C The geostrophic wind and isobaric surfaces
- 12.D The gradient wind
- 12.E Thickness
- 12.F Thermal wind
- 12.G Convergence, divergence and vertical circulations
- 12.H Baroclinic and barotropic conditions
- 12.I Balloon flight across Australia
- 12.J Rossby waves
- 12.K Vorticity
- 12.L Jet streams and weather
- 12.M Clear Air Turbulence
- 12.N El Niño, part 3

## SYNOPTIC-SCALE WINDS

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### 13.1 INTRODUCTION

The global circulations discussed in Chapter 12 are evident only after averaging the fluctuating winds that are actually measured (**Note 13.A**). In this chapter we consider some of the detail which was overlooked in focusing on year-long averages over the whole globe. In particular, we will consider winds on the scale of a thousand kilometres or so—the ‘synoptic scale’ (Table 1.1)—and look at day-by-day snapshots instead of long-term patterns.

The word ‘synoptic’ (i.e. ‘together seen’) implies that we observe a combination of measurements from a wide area—of the size of Australia, for instance. It is the scale of *regional* weather, uncomplicated by local effects like surface friction, slope or local differences of surface temperature. All the measurements refer to the same moment in standard time, the Greenwich Meridian Time (GMT), also known as Universal Time and signified by UTC or Z, e.g. 1300Z means 1 p.m. at Greenwich in London. Meteorological offices around the world use this convention, irrespective of local clocks.

**Figure 13.1** shows mean sea-level pressure (MSLP) isobars over Australia at a particular

moment, i.e. the map of pressures after each measurement has been corrected for elevation. It indicates the weather at that time, in three ways. Firstly, isobars at middle and high latitudes show the direction and strength of the geostrophic winds. The winds are shown blowing anticlockwise around the high-pressure regions (the highs) and clockwise around the lows, in accordance with Buys-Ballot’s Rule (Section 12.2). Secondly, the MSLP reflects what is happening aloft, because it indicates the weight of air in the entire column above (Note 1.G). So changes of pressure demonstrate the overall inflows and outflows from the column at every level. Thirdly, mobile highs and lows determine most aspects of the weather, notably temperature, precipitation and cloudiness. For instance, lows or troughs on the MSLP map show where air is ascending and may form cloud, with rain as a possible sequel, while subsidence typically occurs where there are low-level highs or *ridges*.

The various conditions around a place of low pressure amount to what we call a *cyclonic system*. Likewise for an anticyclonic system associated with a region of high pressure. These systems are not to be regarded as independent

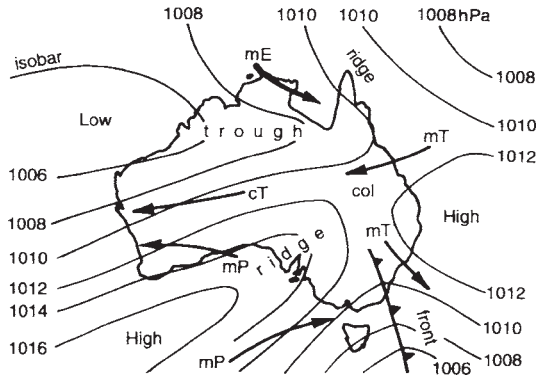


Figure 13.1 A typical pattern of sea-level pressures and therefore surface winds over Australia, showing the air masses involved and hence a front between mT and mP air masses, with different temperatures and wind directions.

whirls within an otherwise steady atmosphere; they interact with each other and are essential ingredients of the global circulations. It is only for convenience that we separate the various systems and their characteristics, to examine the life cycle of each system's formation, maturity and decay, which lies behind the local variabilities of weather.

## 13.2 AIR MASSES

Synoptic-scale winds can be thought of as distinct streams of air, which may emerge from large regions of uniform air temperature, humidity, stability, wind speed and direction. Then the atmosphere comes to be regarded as consisting of discrete 'air masses' and this approach to meteorology became customary in the middle of the twentieth century.

An 'air mass' is a fairly uniform body of air, hundreds or thousands of kilometres across, with surface dry-bulb and dewpoint temperatures within a few degrees of the respective averages. Alternatively, and preferably, we characterise air masses by the values of 'conserved' variables, such as the mixing ratio (Note 6.B), the

equivalent potential temperature (Section 7.2) or an even more completely conserved variable called the *potential vorticity*, which is discussed in more advanced texts. It combines both air mass stability (Section 7.2) and vorticity (Note 12.K).

The concept of an air mass is used both near the surface and aloft. For instance, ozone concentrations are used to characterise stratospheric air masses. Here we will focus on ground-level conditions, where the air masses are separated by fronts (Section 12.3).

Air masses are considered in meteorology in much the same way as an air parcel in discussing stability and convection (Section 7.3). But air mass is a much larger body of air, whose movements and changes help us explain the weather.

The characteristics of an air mass are acquired when winds linger for a few days over a large uniform surface, like an ocean or extensive land areas more than about 500 km from the sea, such as a great desert. The required light winds occur where the atmosphere is slowly subsiding in the region of a high (Section 12.3), for example. Such regions are called *source areas* and give air masses their names (**Table 13.1**).

### Classification

An initial categorisation of air masses was made by Tor Bergeron in 1928. Primarily they are classed according to the latitude of the source area (i.e. its temperature) and secondarily according to whether the source area was continental or maritime. For instance, mP refers to an air mass of marine polar origin, coming from the southern ocean. There is sometimes a third subdivision in terms of the stability of the air mass. The last is indicated by the direction of movement: an air mass travelling to higher latitudes, for instance, is warmer than the surface and therefore labelled 'w'; such an air mass becomes increasingly stable as its base becomes

Table 13.1 Kinds of air mass in the southern hemisphere, using two-letter labels: 'c' refers to continental and 'm' to maritime air masses, from the equator 'E', temperate region 'T', polar region 'P' (i.e. high latitude) or Antarctica 'A'. Characteristic surface daily mean temperature ( $T^{\circ}\text{C}$ ), mixing ratio ( $r$ ) and stability are listed for winter/summer

| Approx latitude of source | Label | $T$ ( $^{\circ}\text{C}$ ) | $r$ (g/kg) | Stability          |
|---------------------------|-------|----------------------------|------------|--------------------|
| Equatorial (0–10°S)       | cE    | 25/25                      | 18/18      | moist neutral      |
|                           | mE    | 25/25                      | 19/19      | moist neutral      |
| Subtropical (30°S)        | cT    | 15/25                      | 4/6        | stable/dry neutral |
|                           | mT    | 18/22                      | 14/17      | stable             |
| Polar (50°S)              | cP*   | 0/10                       | 3/5        | stable/dry neutral |
|                           | mP†   | 4/8                        | 5/8        | moist neutral      |
| Antarctic                 | cA    | –40/–20                    | 0.1/0.3    | stable             |

\* Found only in Patagonia.

† Called the maritime Southern in the southern oceans.

cooled. Conversely, the label 'k' (for '*kalt*', German for 'cold') means an increasingly unstable air mass.

Dominant air masses in the southern hemisphere are labelled mP and mT, arising from subtropical highs over the oceans (Figure 12.1). The two kinds differ appreciably in temperature (Table 13.1). Areas south of about 35°S obtain most of their rain from northward excursions of mP air, while those north of 35°S receive it from mT air, except that in monsoonal areas, such as Darwin (Section 12.1), it comes from mE or cE air. A wintertime cP/ mP air mass in South America occasionally penetrates north of 20°S in the lee of the Andes (Section 13.3).

Air masses change character according to the surface they traverse. They are affected by surface heating or cooling, by wet or dry surfaces, by mixing with other air masses and by radiative cooling. In fact, the susceptibility to change is a weakness of the notion of definable air masses, and accounts for the reduced interest in the concept since the 1960s. Another reason is the fact that the atmosphere does not move *en bloc*, because the upper air travels faster, so that the stability of an air mass can change even in the absence of any changes at the surface. Also, there is the difficulty of

categorising air whose properties do not match those in Table 13.1. Nevertheless, the concept remains helpful in describing climates (Chapter 15) and in explaining atmospheric 'fronts', for instance.

### 13.3 FRONTS

The concept of 'fronts' arose after the 1914–18 war from the similarity of the interface between different air masses to the battle-front between opposing armies in France. The idea was introduced by a handful of meteorologists working in a spare room of the home of Vilhelm Bjerknes in Bergen (Norway). He developed the idea in co-operation with his son, Jacob, and Tor Bergeron, Carl-Gustav Rossby and others, who became known as the 'Bergen School'. No group in history has had a larger impact on the way we think about weather today.

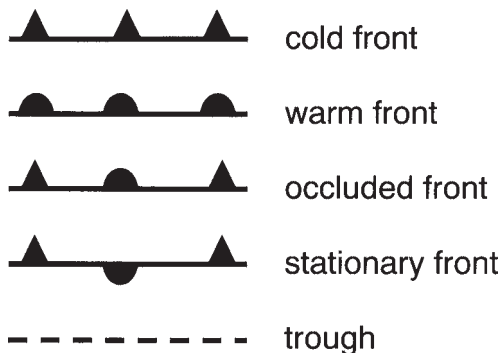
It can be seen in Figure 13.1 that adjacent winds blow in contrary directions over the south-east of Australia; to the left, an mP air mass is advected from the south-west, and, to the right, much warmer mT air enters from the north. So a line with triangular protuberances has been drawn on the map at the boundary between the

two air masses (Chapter 15). This line represents a *cold front*, advancing in the direction of the triangles.

Criteria for a front vary, but typically a meteorologist will look for a horizontal temperature gradient of at least 3 K/100 km in subtropical regions (more at higher latitudes), and a difference of wind direction by at least 60°. The whole pattern of temperatures, winds, uplift, humidity, clouds and precipitation around a front constitutes a *frontal system*, including a *frontal (transition) zone*, where the differences of temperature etc. are most abrupt. The frontal boundary is a 'cold front', when a cold air mass moves into a warmer area. A *warm front* arises when a warm air mass advances into a colder region, repelling and riding over the cooler air mass. Conventional symbols are shown in **Figure 13.2**.

## Cold Fronts

The basic feature of a cold front is the insinuation of a heavy, cold air mass under a lighter, warm one. The result of the cold air's advance is often the pattern of uplift, subsidence, clouds and rainfall shown in **Figure 13.3**, the classical



*Figure 13.2* Conventional depiction of the most common types of fronts and a trough on weather charts. The half-circles and/or triangles are plotted on the forward side of the front.

'Norwegian' or 'Bergen' model. There is a tilted frontal zone which is 20–200 km wide, intersecting the ground at the front

The zone slopes at only about 1 km in 100 km, unless there is an *active cold front* (or *anafront*—from the Greek word 'ana' for upward) when the zone may be two to four times as steep. A front is termed 'active' if it is preceded by a warm air mass which is already unstable and being slowly forced to rise by a jet stream above (Note 12.L). The instability and the jet stream promote the air's ascent, and usually trigger heavy rain and sometimes thunderstorms (Note 7.H). Without these stimuli, the front is a *passive front* or *katafront* (from the Greek word 'kata', meaning downward), which is only 1–2 km deep and brings cooler air and stratus cloud but little rain. Such fronts commonly occur along the south-east coast of Australia in summer, when the air is too dry to generate enough instability for cumulonimbus and there is no lifting by a jet stream.

Details of the winds around a front are shown in **Figure 13.4**. Moist, warm air is drawn from the north-east ahead of the front and slowly rises over the frontal surface. It is eventually deflected to the east by the upper westerlies. This flow is called the *warm conveyor belt*, which carries sensible and latent heat poleward (Section 12.3). The rising creates clouds, so the warm conveyor belt is often visible from satellites. Similarly, cold air follows the cold front and pushes it eastward. This is the *cold conveyor belt*, which slowly subsides under the frontal surface.

The approach of a cold front is usually heralded by a rapid movement of cirrus cloud across the sky. The cloud thickens to cirrostratus, then altostratus, followed by nimbostratus. The last brings rain, which may persist for hours, even after the passage of the cold front. Cumulonimbus may occur if the atmosphere is unstable. There is also a strengthening of the warm wind (northerly in the southern

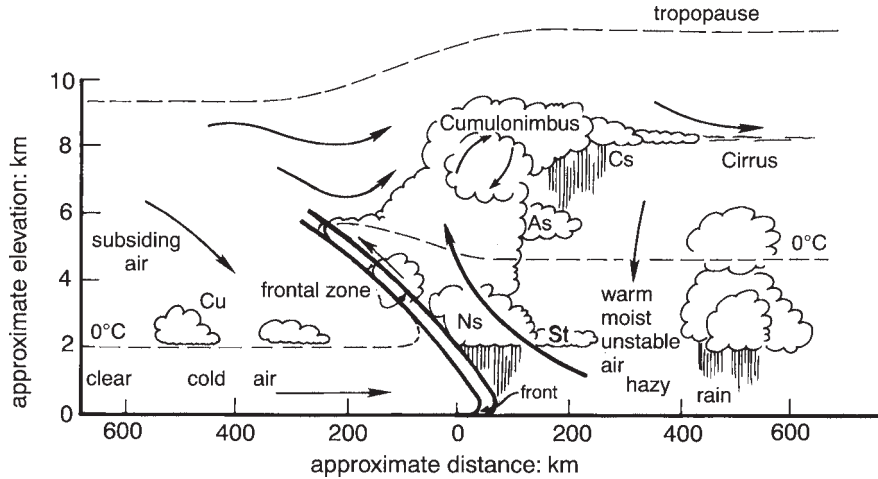


Figure 13.3 Idealised cross-section of an active cold front, showing cumulus cloud (Cu), stratus (St), nimbostratus (Ns), altostratus (As) and cirrostratus (Cs).

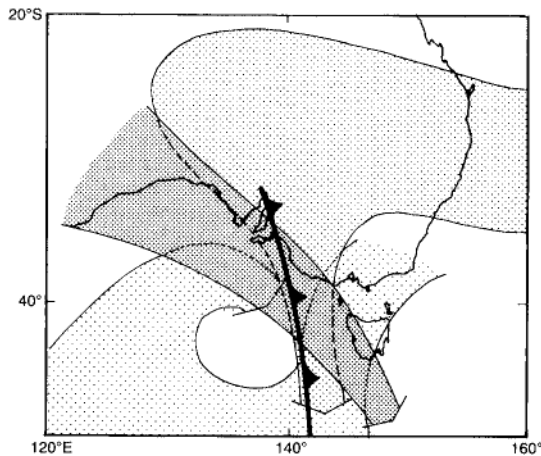


Figure 13.4 Three distinct airstreams involved in a typical cold front. There is a shallow flow of warm air near the ground from the east, called the 'warm conveyor belt', which turns south and ascends before the front. A mid-level flow, called the 'cold conveyor belt', approaches from the south, turning eastwards as it subsides behind the front. A jet stream blows from the north-west at high levels, either slowly descending or slowly ascending, depending on the strength of the frontal disturbance. The coastline of south-east Australia indicates the scale.

hemisphere) and thus a rise of temperature by a degree or two, causing an elevation of the freezing level just ahead of the front. In addition, there is a fall in atmospheric pressure, due to the front lying in a trough of low pressure, caused by the lightness of the air in the warm conveyor belt. The front lies like a stream in a valley, with opposite-facing pressure gradients on the two sides, this being the cause of the opposing winds and differing air masses. The low-pressure centre at the end of a front is like the lake at the end of the stream.

The immediate proximity of a front is shown by a pressure minimum, an overcast sky, backing of the wind in the southern hemisphere from north-westerly to south-westerly, and a fall of dry-bulb temperature by several degrees. It fell 10 K in 20 minutes on one occasion in Melbourne, for example. There is also a change of dewpoint, according to the kinds of air mass. Commonly, there is a pulse of strong wind (e.g. over 28 m/s) and maybe rain, as shown in Figure 13.3. The rainfall eases once the front has passed, and then low cloud tends to clear, visibility improves and the weather becomes bright and cool. In addition, the tropopause lowers as the

cold front passes (Figure 13.3), in accordance with the Palmen-Newton model (Figure 12.16).

The situation is different at sea, where the incoming cold air mass over a surface of warmer temperature creates an unstable atmosphere in which cumulonimbus clouds can arise, with showers and gusts of wind. This is a dangerous time for small boats.

Rain and thunderstorms can occur well ahead of a cold front, as shown by the pattern of clouds in Figure 13.3. For instance, a cold front crossed Western Australia on 8 November 1995 and the associated band of cloud is easily seen in **Figure 13.5**. There was a trough ahead of the front, called a *prefrontal trough*, where warm air converges and may trigger severe thunderstorms. So it is of concern to weather forecasters. There was another front to the south-west (Figure 13.5), and the cloudiness over New Zealand indicates a non-frontal trough.

### Southerly Changes

A passive cold front of importance in south-east Australia is the *southerly change*. It occurs frequently in spring and summer, bringing welcome relief from the heat. Initially, there is an eastwards, shallow front south of Victoria. The front is then arrested on its northern flank by the mountains of the Dividing Range, which lie parallel to the east coast. This swings the more southerly flank forwards, so that the front now travels northwards, following the coastline. The driving force is the narrow and intense thermal gradient along the coast. It peters out at 100–500 km from the south-east corner of mainland Australia.

The arrival of a southerly change is usually sudden, with a shift of wind direction, an abrupt drop in temperature and the development of

low stratus. Particularly powerful squalls of cool southerly wind accompany the change if there are strong westerlies south of Victoria and the coastal areas of New South Wales are hot. Sometimes the southerly wind lasts several hours, especially in the afternoon.

The change on the New South Wales coast is known locally as a *Southerly Buster*. Similar fronts occur along the east coast of New Zealand's South Island (**Figure 13.6**), between São Paulo and Salvador in Brazil, and near Durban in South Africa.

### North-west Cloud Bands

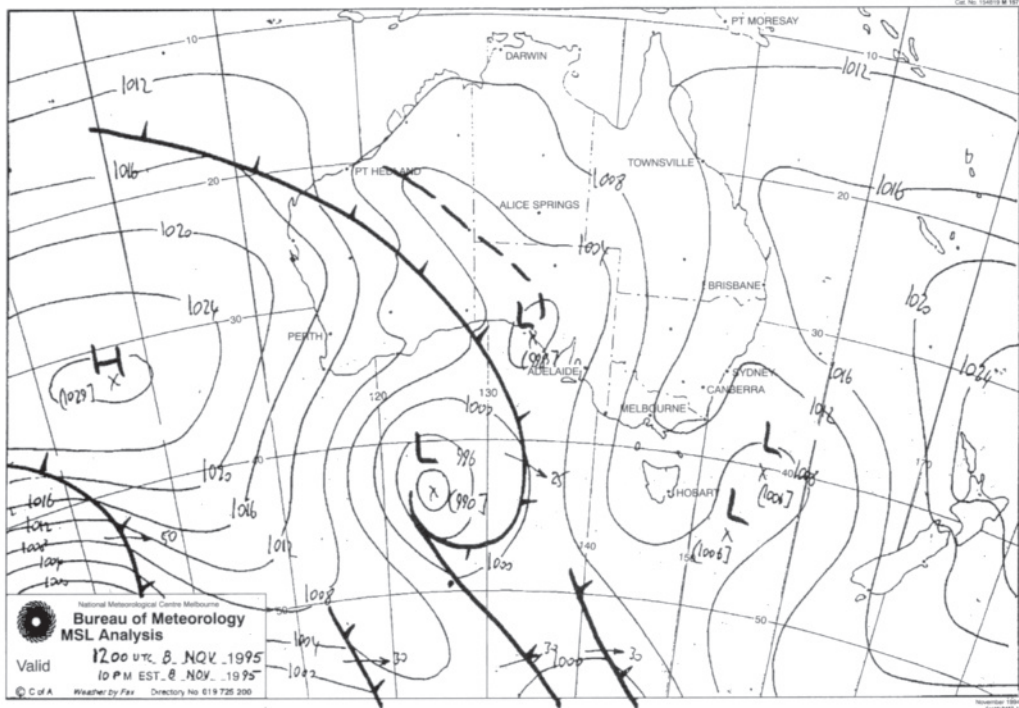
Cold frontal troughs sometimes stretch across the subtropical highs in the southern hemisphere to connect to the ITCZ. In this case, the band of clouds associated with a cold front continues north-westward towards low latitudes, to form a *north-west cloud band*. These mainly upper-level clouds are due to uplift into divergence in the subtropical jet (Note 12.L). They are usually found either (a) in the south-west Pacific at the SPCZ (Section 12.1), (b) across south-east Brazil, (c) across Madagascar, or (d) across Australia (as in Figure 13.5). They may bring rain in the desert.

### Frontal Movement

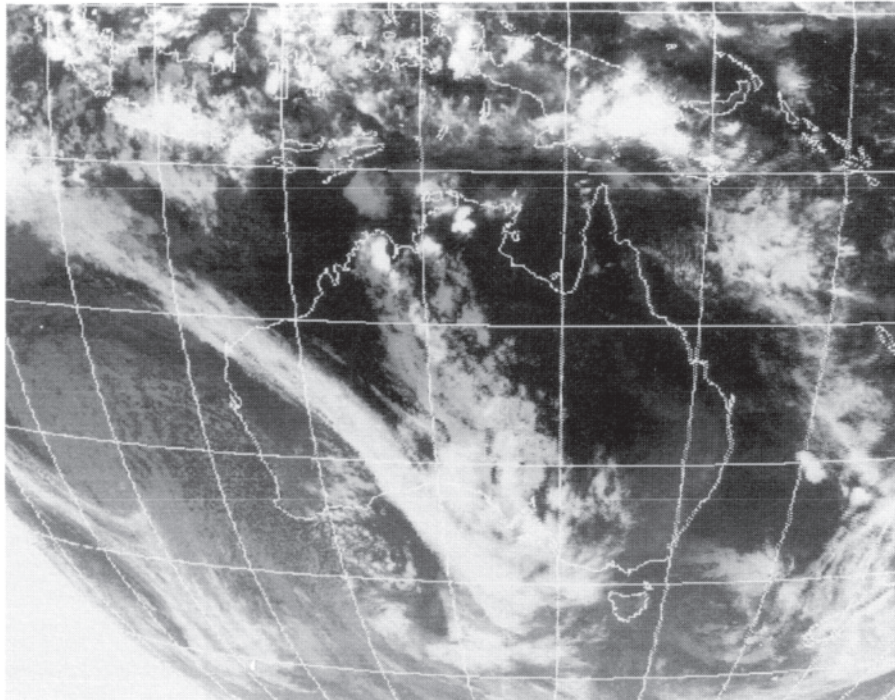
About a hundred cold fronts track along the southern coasts of South Africa and Australia annually, i.e. about two a week on average. Most derive from low pressures at about 60°S, extending into the troughs between subtropical highs (Figure 12.1 and Figure 12.7), as in Figure 13.1 for a particular day. They are typically oriented north-west—south-east and tend to

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*Figure 13.5* The correspondence of cloud seen from a satellite and the position of a front, both at noon GMT on 8 November 1995. The dashed line indicates the position of a preefrontal trough (Figure 13.2).



(a)



(b)



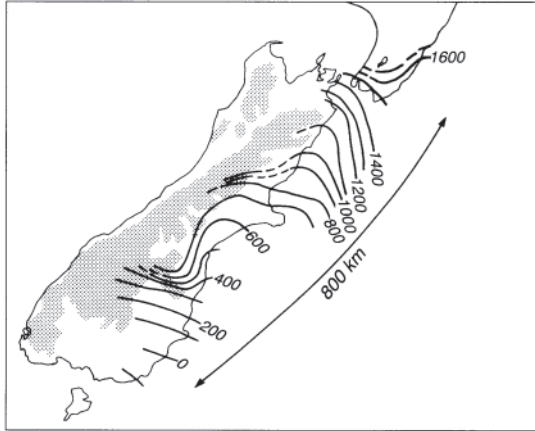


Figure 13.6 The position of the front of the Southerly Change that traversed the south island of New Zealand on 2 February 1988. The lines are *isochrones* showing the boundary of the cold air at the given times of day.

move eastward at about 10 m/s (Figure 13.7), i.e. at about the same speed as the mid latitude westerlies in the middle troposphere (Figure 12.10). These winds are weaker nearer the equator, so the northern end of a front lags

behind the southern end. The northern end may extend well towards the equator, especially in winter. For instance, there is a cold front through Mt Isa (at 20°S in Queensland) several times a year in the form of a shallow wedge of cooler air, travelling as a density current (Note 8.C) through the PBL. Ripples form at night as the front ploughs through the stable air, and they can run ahead on top of the PBL to create a Morning Glory in the Gulf of Carpentaria (Note 8.L).

Cold fronts in South America can travel as far as 5°S in the lee of the Andes (Figure 13.8). Such an incursion of polar air, known as a *friagem*, can greatly harm Brazil's coffee crop (Section 3.6).

### Warm Fronts

A warm front occurs where warm air advances on colder, as may be seen in Figure 12.12 in the places where warm northerly winds strike the polar front. Such a front is drawn on a weather chart as a line with half circles (Figure

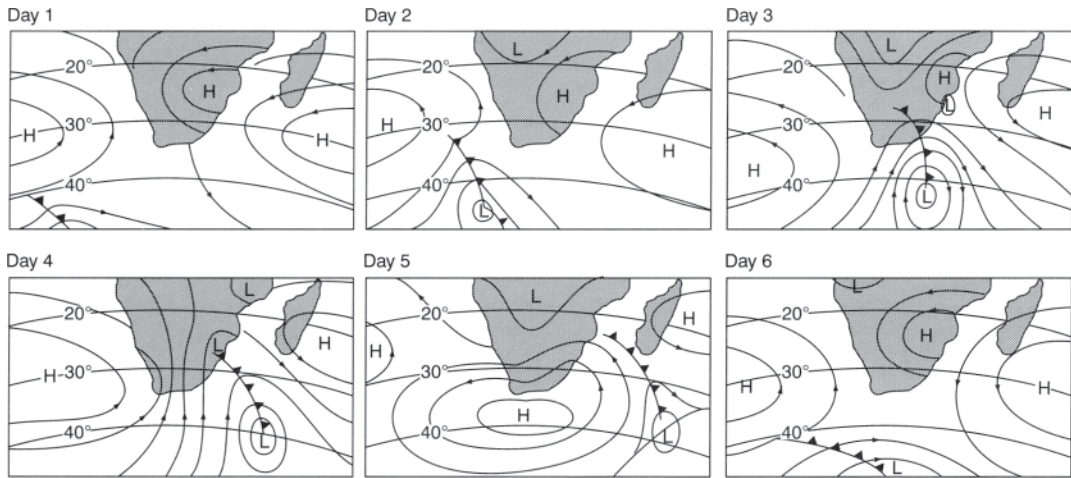


Figure 13.7 The daily shift of fronts across South Africa. (The contours do not match across the coastline because the MSLP is shown over the oceans and the 850 hPa height over southern Africa.)

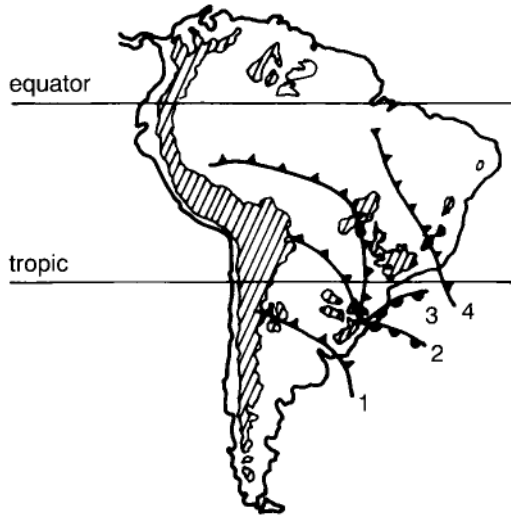


Figure 13.8 The progress of a cold front northwards over South America on successive days.

13.2). A section across a warm front is similar to that through a cold front (Figure 13.3), except that the lighter warm air moves over a retreating wedge of cold air. Warm frontal zones are even less steep than in the case of cold fronts, so the front is less evident and uplift more gradual. As a result, the first cirrus clouds may be 1,000 km ahead of the front at the surface. Commonly, the clouds gradually thicken and slowly obscure the Sun, the cloud base lowers, and eventually light rain falls.

A warm front typically advances clockwise down to the south and lies at 45–55°S, where there is little land, so they are encountered infrequently. One place where they are found is Patagonia, to the east of the southern Andes, where they occur in winter after a calm period has allowed a cold air mass to accumulate in the lee. This is called *cold air damming*, as the Andes block the inflow of warmer air from the west. A subsequent disturbance from the west drives warmer air from the north over the dammed air, with a warm front between the two air masses. Cold air damming also occurs

on the south-east side of New Zealand's southern mountain range in winter, when warmer mT air from the north-west flows over colder, heavier mP air, deposited there a few days earlier and locked behind the mountains.

## Occluded Fronts

More common is an *occluded front*, where cold and warm fronts overlap, so that the lower-level cold front shuts off (i.e. 'occludes') the upper warm front. This situation is illustrated in **Figure 13.9**. It is triggered by a poleward kink in the roughly east-west polar front (Figure 12.12), the boundary between a relatively warm northwesterly moving at 7 m/s, say, on the equatorward side, and colder southwesterlies on the poleward side blowing at 13 m/s, for instance (Section 12.3). The two differing air masses ruffle each other, amplifying the kink. The Bergen School explained what happens next by regarding the kink, in effect, as the pivot of scissors, with an advancing cold front as the curved blade to the west, and a slower warm front also swinging clockwise to the east of the kink. The faster cold front catches up on the warm front, closing the scissors, and then overtakes it, as shown in the cross-section AB in Figure 13.9. The occluded front lies at point C. The depth of warm air, i.e. of lightweight air, is shown as greatest above that point, so the surface pressure is lowest there in this cross-section.

An occluded front involves a tongue of relatively warm, moist air lifted on both sides by cold air (Figure 13–9), with heavy rainfalls nearby as the warm, moist air rises. There is eventually a mixing of the warm and cold air masses, which diffuses the temperature difference across the polar front locally and therefore the fronts. Dissipation is complete some four to seven days after the original kink. The regularity of this pattern of events makes

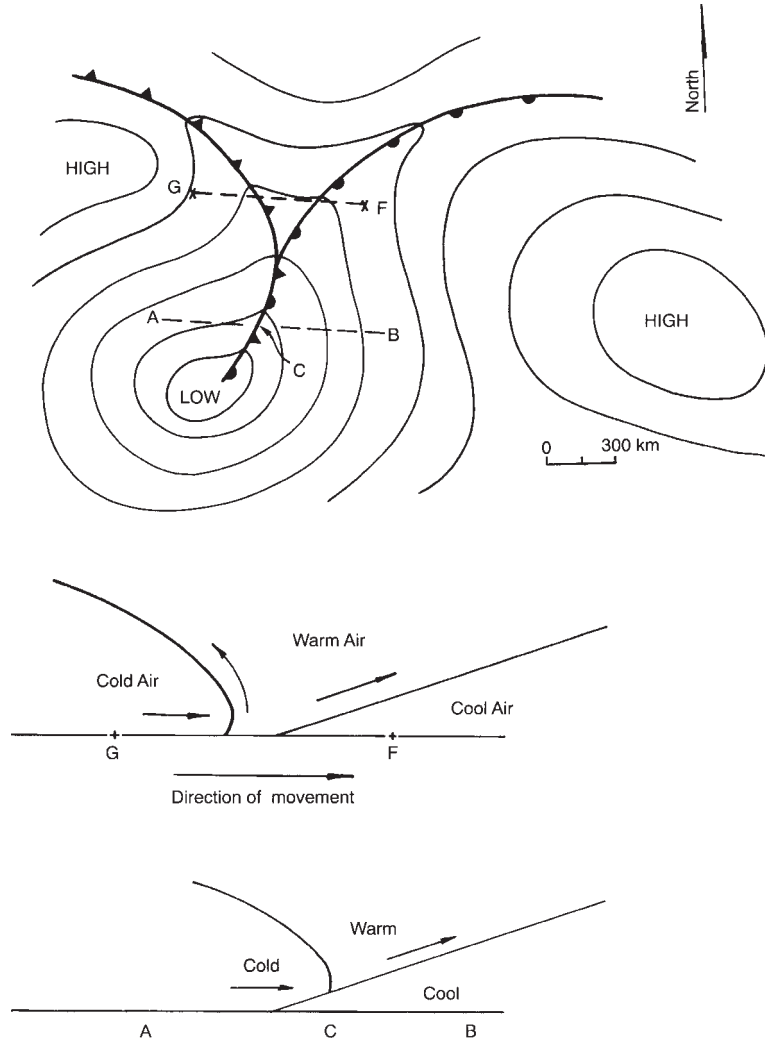


Figure 13.9 The pattern of fronts in the southern hemisphere at the stage of occlusion. The top diagram is a plan view of surface pressures, showing a cold front on the left overtaking the warm front on the right, and increasingly overlapping at the bottom, as shown in the cross-sections below.

midlatitude weather usefully predictable over a period of a few days (Chapter 15).

### Fronts and Jet Streams

It is hard to exaggerate the importance of that account by the Bergen School of the evolution

of a *frontal disturbance* from a trivial kink in the polar front to an occluded front. Nevertheless, we now know about Rossby waves, jet streams and the complex nature of fronts (Figure 13.4) and realise their significance in the growth and decline of midlatitude cyclones (Note 12.L). Surface and upper-level winds are now thought of as separate but interacting

closely, and we regard the growth of a kink in the polar front as due to the waviness of the upper westerlies. This occasionally causes an eastward jet stream to lunge towards the equator and back, thereby making it move clockwise (in the southern hemisphere), as shown in Figure 12.15. Such cyclonic rotation implies an upper-level trough of low pressure, since a reciprocal association between rotation and pressure is implied in Buys-Ballot's Rule (Section 12.2). At the same time, cold air at the surface is advected towards the equator behind a cold front, and there is warm advection towards the pole ahead of the wave. (This is explained in **Note 13.B.**) Detailed consideration then shows that the effect

of these cold and warm flows is to amplify the wave, which deepens the low pressure at the surface and shifts its centre, until eventually it is below the upper-level trough. Then the trough's convergence prevents uplift from the surface low, so that the latter is filled by surface convergence and consequently disappears, restoring the *status quo*.

### Comments

Unfortunately, our attempt to clarify the complexity of the polar front results in too tidy a picture. The reality on any particular day is

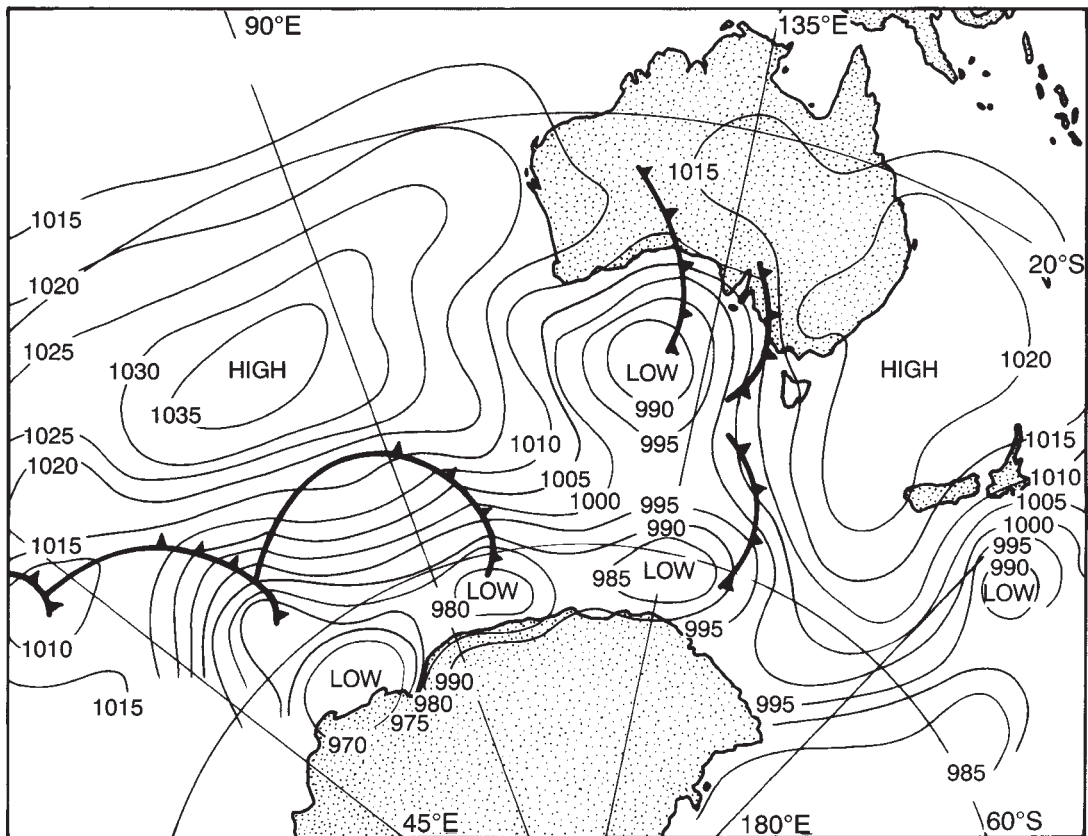


Figure 13.10 The pattern of pressures (hPa), lows, highs and fronts south of Australia on 28 July 1963.

more complicated, as shown in Figure 13.5 and **Figure 13.10**. Every front is different, and few frontal disturbances develop exactly as shown in the ideal cases discussed so far. Also, emphasis on fronts is vulnerable to the weaknesses of the related air mass concept (Section 13–2), and critics point to the subjectiveness of drawing fronts on maps, so that various meteorologists sometimes have different ideas about where to draw them. There are no fronts involved in modern numerical modelling of the atmosphere on computer for weather forecasting (Chapter 15). They are replaced by bands where the horizontal gradient of temperature happens to be steep—which is the reality.

### 13.4 LOWS

A *low* (or *depression*) is a pattern of reduced pressure, leading to rings of isobars about the point of lowest pressure. The circular pattern was recognised by Heinrich Dove in 1828 and led to the name ‘*cyclone*’, which comes from the Greek for a coiled snake.

We will discuss various kinds of low in this section, at the risk of seeming to imply that they are distinct bodies of air. They are not. Air masses and winds are real, but a low is simply part of a pattern. The movement of a low in the atmosphere is like a ripple across a wheatfield: the wheat does not shift position, except temporarily. As a low moves within the westerly winds, its rotation drives air ahead towards the pole, air behind in the direction of the equator, it accelerates air on the equatorward side and slows it down on the poleward side.

Lows occur at any level. For instance, they occur automatically within a clockwise meander in winds at 300 hPa level in the southern hemisphere (Figure 12.15). Any low, aloft or near the surface, becomes a *cut-off low* once the meander has become so extreme that the rotation forms a complete whirl.

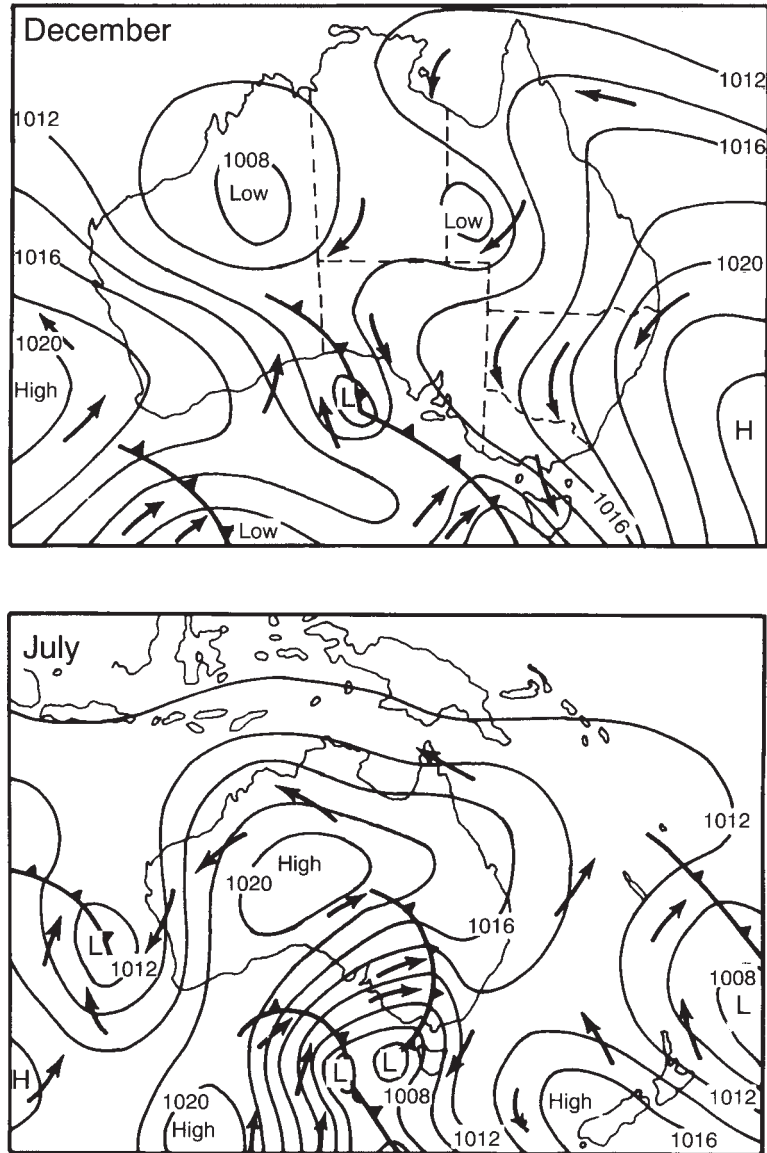
Here we are primarily concerned with *surface*

lows, i.e. minima in the MSLP pattern. They are caused by a reduced weight of air above (Note 1.G), usually because of warmth. There are several possible arrangements:

- 1 There is warm air just above the surface in the case of *heat lows*. These form within cT air masses over the southern continents in summer, and remain almost stationary over the hot land. They are more shallow and are weaker than midlatitude lows, i.e. the lowering of pressure below normal is relatively slight. Heat lows are not associated with any front or with a jet stream aloft. Typically the tropopause above them is as high as 16 km, and flat.

There are often two distinct heat lows over northern Australia in summer, helping form part of the ITCZ and driving moist air and monsoonal rains inland from the Timor Sea, lying to the north (Section 12.1). The heat lows are, respectively, the *Cloncurry low* over Queensland and the *Pilbara low* at about 22°S in West Australia (**Figure 13.11**). The Pilbara low directs hot dry winds from inland towards south-west Australia, which is why Perth experiences hot arid summers. The lows may either weaken or amplify, and they move around, depending on the tracks of fronts to the south and tropical cyclones to the north, but their preferred positions can be seen in the December diagram of Figure 13.11. They are generally connected together into a single elongated trough across northern Australia. Sometimes it extends along the west coast of Australia, bringing extremely hot easterlies towards Perth, exceeding 40°C on occasion. Troughs of this kind are not found along the west coasts of Southern Africa and South America.

- 2 A frontal disturbance produces a deep low in its mature stage, normally between 45–60°S. Such *frontal lows* (or *extra-tropical lows*) are unusually deep in the southern hemisphere (Figure 1.8) and result from a lowering of the



*Figure 13.11* Pressure patterns (and hence winds) for Australia in December (summer) and July (winter), showing heat lows in the north in summer and a high over the continent in winter. A complex frontal disturbance affects south-east Australia in the July chart. The low in the Great Bight in December is unusual: a high there is more common.

- tropopause and consequent raising of the air column's average temperature (Note 13.B).
- 3 *Polar lows* or 'comma' lows, are like frontal lows except for being much more shallow, with little cyclonic circulation above 500 hPa so that there is no interaction with any jet stream. They result from the temperature difference across the Antarctic front and the strong surface winds at high latitudes. They often occur in the Southern Ocean, especially within an outbreak north of cold air, in the wake of a major frontal low.
  - 4 *Subtropical lows* between 25–40°S are due to a combination of warm air throughout the troposphere and a weak depression at the tropopause (**Note 13.C**). Such lows are far less common than frontal lows but cause most floods between 25–40°S. They often form beneath the poleward part of an unusually wide swing of the subtropical jet (Section 12.5), and may occur along the east coasts of the southern continents, in which case they are called *east coast lows*. A subtropical low lingers a day or more, under a cut-off low at 300 hPa level, while *frontal* lows at the surface always move along with the shortwaves in the jet stream.
  - 5 An *orographic low* occurs in the shelter of a mountain range, partly as a result of the warming due to subsidence of the wind on the lee, and partly because of the conservation of vorticity (**Note 13.D**). An example is a depression by as much as 4 hPa on the south-east coast of South Africa, offshore from the 1,200 m escarpment, whenever north-westerly winds blow towards the sea. Such an orographic low (or *coastal low*) and its resulting cyclonic circulation hardly extend above the escarpment, but the onshore winds on the equatorward side of the low may produce light rain, as happens in Durban a few times a month in winter. The low also brings great temperature changes, firstly due to the subsiding north-westerly winds, bringing warm, dry air to Durban (Day 2 in Figure 13.7), then cool cloudy weather as the orographic low moves

eastward up the coast (Day 3). This is often followed by even cooler south-westerly winds, due to the passage of the cold front (Day 4), which was the cause of the north-westerly winds in the first place.

Similarly, a north-westerly wind often creates troughs along the south-east coast of New Zealand.

- 6 Warm air extends right through the troposphere in a tropical cyclone, creating an intense low (Section 13.5).

### Rapid Cyclogenesis

'Cyclogenesis' means the formation of a low, which sometimes happens rapidly, e.g. a decrease of pressure by more than 24 hPa in a day. In this case, the resulting low is called a *bomb* which brings destructive winds and heavy rains. Some frontal lows and east-coast lows become bombs. They appear at an early stage as a triangle of cloud on satellite images (Section 12.5), which gradually deforms into an inverted comma shape (Figure 13.5).

Factors causing rapid cyclogenesis include the following:

- 1 upper-level divergence due to the coincidence of a Rossby wave trough and a jet streak (Note 12.L),
- 2 a low-level region of steep temperature gradient, i.e. a baroclinic zone (Note 12.H),
- 3 a warm, moist air mass ahead of this zone, and
- 4 an orographic low.

Some bombs attain the intensity of a tropical cyclone.

### 13.5 TROPICAL CYCLONES

A *tropical cyclone* is an especially intense lowpressure system at low latitudes, away from any front, including a ring of particularly strong

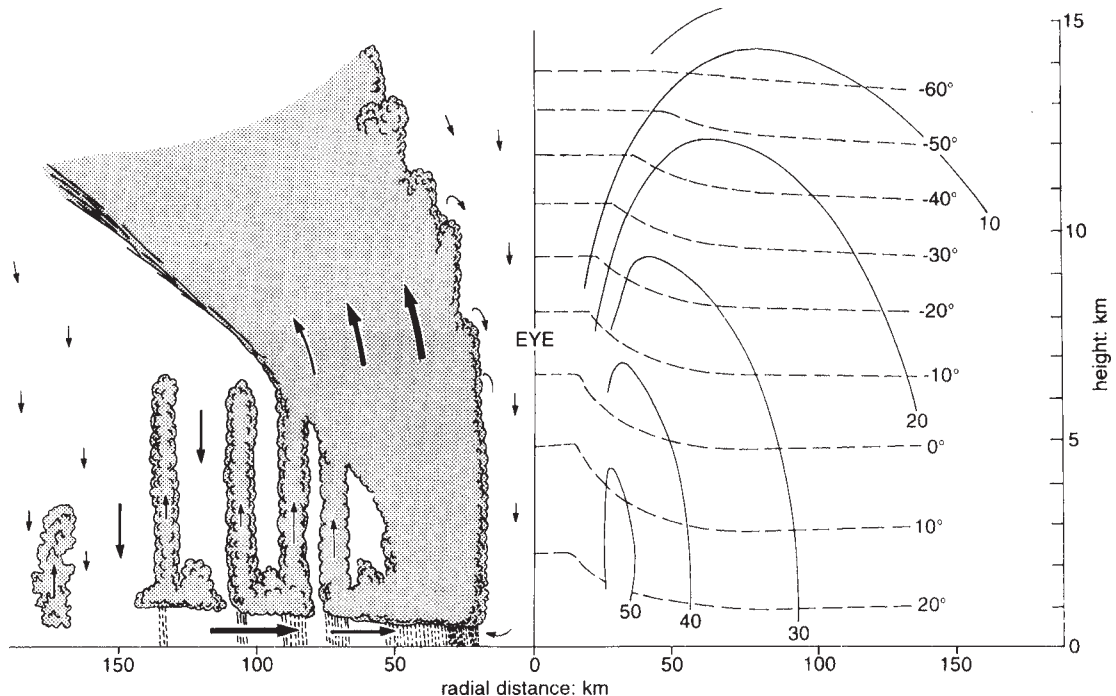
winds around the centre. Tropical cyclones (TCs) are called *hurricanes* or *typhoons* in the west Atlantic or north-west Pacific, respectively. The man in the street commonly calls them simply 'cyclones', but this is wrong because they differ from the cyclones discussed in Section 13.4, which are larger, weaker and encountered only at higher latitudes. Also, TCs are individually named (**Note 13.E**).

## Description

A TC is a circular system, whose cross-section is shown schematically in **Figure 13.12**. There is a central *eye* of cloudless, calm, warm air, first described by the pirate William Dampier in 1687

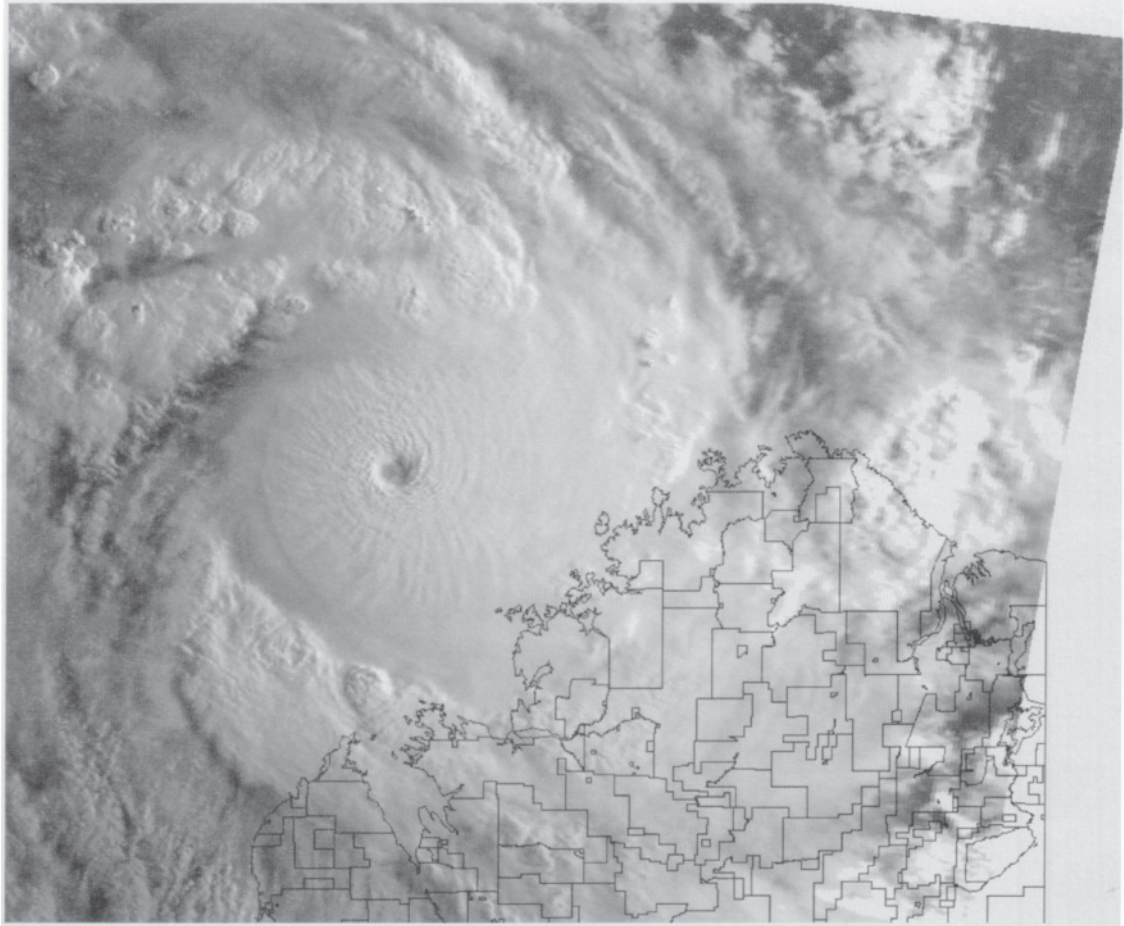
when exploring parts of the Pacific. The eye is typically 25 km in diameter, but can be half or twice that. Around it is a wall of strong winds, rotating cyclonically, i.e. clockwise in the southern hemisphere. The air inside the eye is subsiding, and that is why it is cloudless, calm and warm. That is surrounded by a wall of cloud within a raging vortex of updraught. This in turn is encompassed by weaker updraughts further from the eye, creating spirals of convective rainclouds, easily seen in satellite photographs. Between the eye wall and the central subsidence there is a thin cylinder of descending air cooled by evaporation from the wall. This cooled air becomes entrained into the ascending wall near sea-level (Figure 13.12).

The updraught in the eye-wall is fed by a



*Figure 13.12* Radial cross-section of an idealised tropical cyclone. The solid lines to the right of the diagram are isotachs (m/s) showing the primary cyclonic circulation *around* the eye. The dashed lines on the right are isotherms (°C). The secondary, vertical circulation is shown on the left, with radial flow aloft and subsidence in the surrounding environment.





*Plate 13.1* Tropical cyclone Chloe viewed from above, when located off the north-west coast of Australia, in the Kimberley region. The photograph was taken from the NOAA-12 satellite at 7.19 a.m. local time on 7 April 1995. Notice the eye of the cyclone, and the swirl of cloud from it in the upper troposphere. The distance across the solid disk of cloud around the eye is about 300 km.

clockwise (i.e. cyclonic) spiralling inflow at sea-level, felt over a radius of up to 1,000 km. On the other hand, convective rainclouds extend only 500 km or less, and winds of 10–20 m/s up to about 200 km from the eye. The updraught is surmounted by an anticyclonic outflow of cirrus near the tropopause, which itself is lifted by the force of the updraughts to as much as 18 km.

Warming in the eye by subsidence from near

the tropopause leads to a tall column of low-density air, and hence a very low sea-level pressure, e.g. below 950 hPa in severe cyclones. The record minimum in the Australian region is 914 hPa, measured in 1899, whilst a global record of 876 hPa was observed in the north-west Pacific in 1975. So the sea-level pressure may fall by 50 hPa in eight hours as a TC approaches.

The steep horizontal gradients of sea-level

pressure imply strong surface winds (Section 12.2; **Note 13.F**). In fact, TCs are conventionally distinguished from tropical storms by wind speeds above 25 m/s for at least ten minutes (**Table 13.2**). A rough rule is that a central pressure of 950 hPa means winds up to 39 m/s at 30°S, 46 m/s at 20°S and 56 m/s at 10°S (Note 13.F).

An analysis of TCs affecting Fiji shows that the wall of the eye characteristically involves gusts in excess of 44 m/s. The highest gust recorded at the surface in the Australian region was 69 m/s (i.e. 250 km/h) but there are even higher speeds at about 2 km elevation within the eyewall.

The energy for these winds comes from the surface of the warm ocean, collected directly as sensible heat and as latent heat in the rapid evaporation that the high speed of the surface winds induces. This heat is released in the condensation caused by the adiabatic expansion of surface air entering the central zone of low pressure, and then the consequent warming and convection within the eyewall powers the immense updraught. That lifts the heat to the upper limb of the Hadley cell, where it is exported to higher latitudes (Figure 12.16). This export of heat is important in limiting temperatures near the equator.

## Formation

A TC has a life of several days, from the time of the first evidence of a centre of intense low pressure until its disappearance. Typically, formation takes 2–3 days while the core is still cool, then 1–2 days while the core warms and the central pressure falls, followed by a few days of maximum intensity, with extension of the cyclone's radius, and finally a dying away over one day or more. Factors which promote TC formation are the following:

- (a) There must be sufficient Coriolis effect to deflect winds to the left (in the southern hemisphere), so that they rotate clockwise around a low (Figure 12.9; Note 13.F). This requires a latitude of more than about 5 degrees from the equator (**Figure 13.13**)
- (b) TCs form over the sea where the SST is high. Comparison of Figure 11.2 and Figure 13.13 shows that at least 27°C is necessary, which occurs only at latitudes below about 20°S (or 30°N) and mainly in the summer hemisphere, i.e. January and February in the vicinity of Australia and Madagascar. They arise over the west of the oceans, where surface temperatures

Table 13.2 Classification of tropical-cyclone severity

| Class | Central pressure<br>(hPa) | Max. sustained wind*<br>(m/s) | Storm surge†(m) | Damage       |
|-------|---------------------------|-------------------------------|-----------------|--------------|
| 1‡    | >990                      | 18–25                         | < 1.0           | Minor        |
| 2     | 990–966                   | 25–35                         | 1.0–2.4         | Moderate     |
| 3§    | 966–940                   | 35–46                         | 2.4–3.8         | Extensive    |
| 4     | 940–890                   | 46–63                         | 3.8–7.0         | Extreme      |
| 5     | < 890                     | > 63                          | > 7.0           | Catastrophic |

\* Winds are here measured over ten minutes or more at 10 m height

† The raising of the sea-level above normal, taking tides into account. The figures are only indicative for a straight coastline, and may be quite different elsewhere.

‡ Class 1 concerns tropical storms. An estimated 60 per cent of them later develop tropical-cyclone intensity.

§ Less than half of TCs are in Class 3 or higher

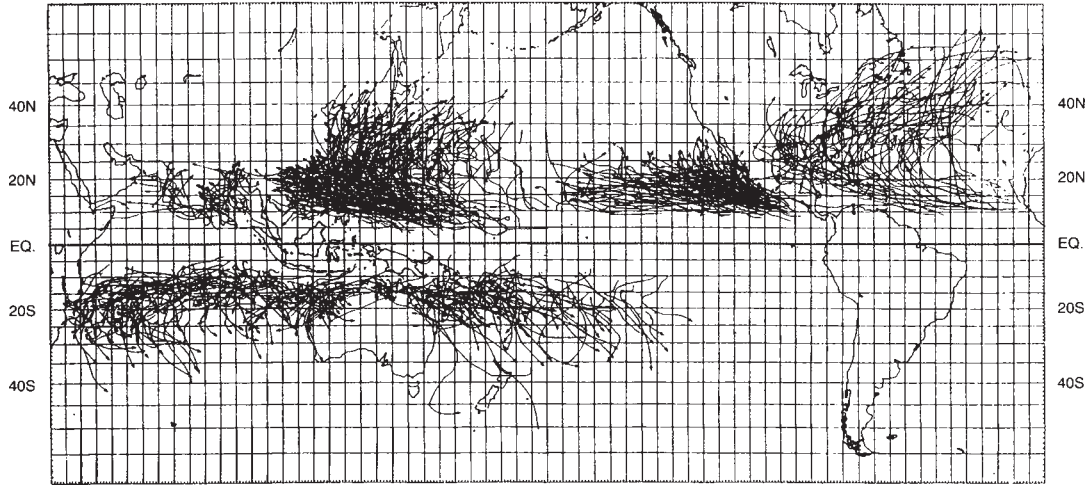


Figure 13.13 Tracks of tropical cyclones with winds above 17.4 m/s during the period 1979–88.s

are highest (Figure 11.2), after the currents of the great gyres have traversed the equator (Figure 11.15). Less than a third of TCs develop in the southern hemisphere, where the summers are about 2 K cooler than in the northern hemisphere (Section 3.2), and where SSTs above 20° latitude tend to be lower (Figure 11.2). The most common source in the south is just east of northern Madagascar. They do not form over land, for lack of moisture to provide latent heat.

The frequency of TCs might be affected by any future rise of SSTs (**Note 13.G**). Years with many TCs onto Australia have been preceded by high SST around the north coast, e.g. three tropical cyclones during a year with local SSTs 0.6 K less than normal, but seventeen in a year with SSTs 1.0 K above normal.

- (c) The mid-troposphere has to be moist and conditionally unstable, without any PBL inversion to prevent warm and moist surface air from mixing with the mid-troposphere. Such conditions prevail at the western end of the equatorial segment of the gyres and

quasi-permanent anticyclones (Figure 12.1). Herein lies the explanation for the absence of TCs over the South Atlantic, even though there is an area east of Brazil where the sea-surface temperature exceeds 27°C in March; there is insufficient space between Africa and South America for creating a moist, conditionally unstable troposphere, and there is the Trade-wind inversion extending from south-west Africa to Brazil (Figure 7.10).

- (d) The troposphere has to be free of strong wind shear vertically, e.g. no more than 10 m/s difference between winds at 2 km and 12 km. Otherwise there is rupturing of the updraughts within any incipient TC.
- (e) The stratospheric jet stream is preferably in a weak phase of the Quasi-Biennial Oscillation (Section 12.3). It has been observed that TCs are less frequent and less intense during the strong phase, presumably because the stronger easterlies shear off the top of any updraught column, preventing its full development.
- (f) There has to be a tropical depression as a trigger, usually a trough in the Trade winds as

part of an *easterly wave* (**Note 13.H**). Convergence occurs in the trough of this wave, leading to uplift which deepens the PBL and erodes the Trade-wind inversion (Section 7.6), so that convective updraughts can penetrate the entire troposphere. The resulting latent heating may reduce the surface pressure further, which produces even more cyclonic rotation and convergence.

### Mature Stage

The tracks of tropical cyclones are shown in Figure 13.13. Their movements are irregular and difficult to predict, especially within  $15^\circ$  of the equator. Generally they move with the average wind of the troposphere, i.e. westward north of about  $15^\circ\text{S}$ , and eastward south of that latitude (Figure 12.10 and **Figure 13.14**). Alternatively, they may loop, meander or stall. They usually move away from the equator, come under the influence of the midlatitude westerlies and then accelerate eastwards to about 15 m/s, before decaying over cooler waters. As a result, most of the tropical cyclones affecting Fiji ( $18^\circ\text{S}$ ) come from the north-west, like that shown in Figure 13.14.

The passage of a TC leaves the SST lowered by as much as 3 K in a swath about 100 km wide. This cooling is partly due to the transfer of heat to the atmosphere within the TC, and partly to upwelling induced by the TC, since the circling winds create Ekman transport of the ocean surface outwards from the cyclone. Consequently, a stationary TC automatically dies away, by lowering the SST below the critical  $27^\circ\text{C}$ .

The chances of encountering tropical cyclones are about equal on the two sides of Australia, the greatest likelihood being around  $20^\circ\text{S}$ . The part of the coast most frequently crossed by cyclones is a stretch of 100 km around Cairns at  $17^\circ\text{S}$  in Queensland, with fifteen making landfall there over seventy-one years, i.e. one each five years on average. In the South Pacific as a whole, TC frequency peaks within the South Pacific

Convergence Zone (Section 12.1), which is a spur from the ITCZ, stretching from the Coral Sea (to the north-east of Australia) to the Tropic of Capricorn at  $160^\circ\text{W}$ , near New Caledonia. There has been a TC nearly every year (i.e. fifteen in twenty years) within a 200 km square just west of Fiji, at about  $18^\circ\text{S}$ ,  $170^\circ\text{E}$ .

Tropical cyclones happen more often (especially in the central Pacific and between  $5\text{--}15^\circ\text{S}$ ) during an ENSO warm phase, when the Southern Oscillation Index (Section 12.7) is low (**Figure 13.15**). But there are fewer tropical cyclones in the *south-west* Pacific area (including Australia). This is because tropical depressions that otherwise would have developed into cyclones in the west have already formed TCs further east because of the higher SST there, and these mature and recurve towards the south-east before reaching Australia.

### Decaying Stage

A tropical cyclone decays for several reasons:

- (a) it moves inland, where the cyclone's inflow is no longer made humid by the surface, and surface friction is greater than over the sea,
- (b) it moves to an area of the sea which is too cool,
- (c) the TC remains stationary, steadily cooling the sea beneath, until it is too cold to supply the required heat,
- (d) it shifts to higher latitudes, where the ground's vorticity is greater (**Note 12.K**), reducing the cyclone's spin relative to the ground,
- (e) the upper divergence (which sucks the central core upwards) becomes detached by the tug of upper winds, allowing upper westerlies to encroach into the system.

Attempts have been made to use cloud seeding to promote the decay of tropical cyclones which threaten coastal areas (**Note 9.E**). For instance,

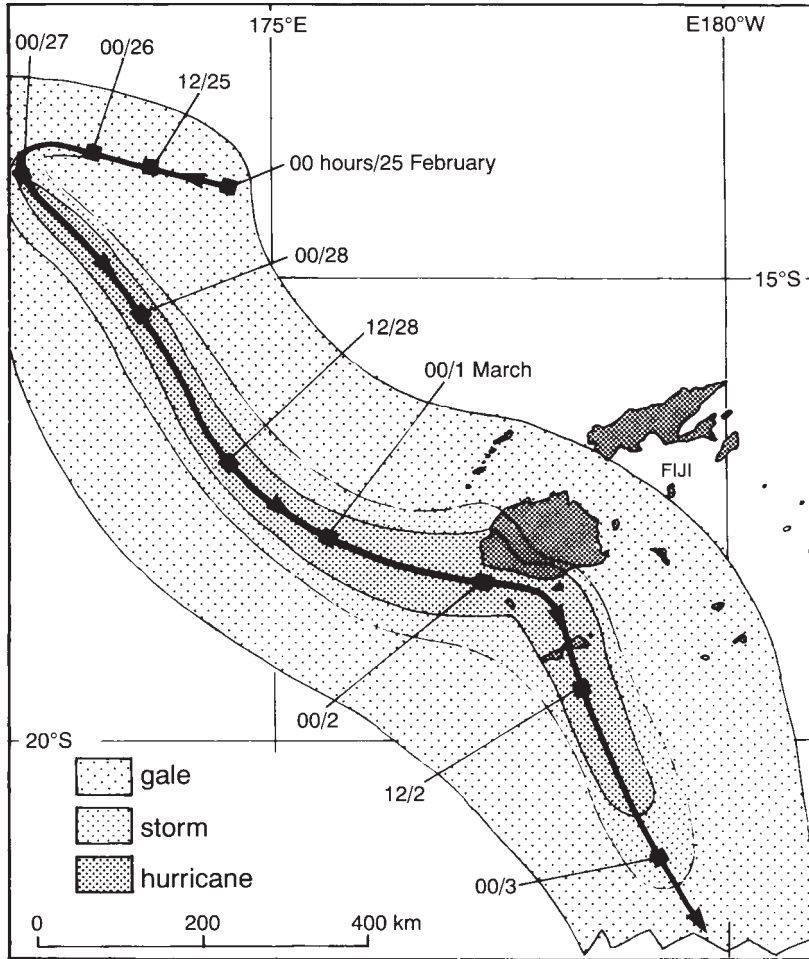


Figure 13.14 The track of tropical cyclone Oscar in February 1983, showing the areas near Fiji swept by storm winds, gale-force winds and hurricane winds, respectively.

seeding outside the radius of strong winds might encourage cloud growth and deep convection there. The latent heat thus released at the periphery of the tropical cyclone would lessen that in the eyewall, and hence weaken its updraught. Unfortunately, this does not seem to work.

### Damage

Tropical cyclones bring so much damage from strong winds, flooding rains and 'storm surge'

of the ocean surface that they have to be carefully tracked by means of satellite pictures, radar, weather stations, buoys and reconnaissance flights, to enable adequate warning of the TC's approach. The power of a wind at 50 m/s is a thousand times that of a more normal 5 m/s, creating huge waves at sea. Winds are strongest on the left side of the eye, where the cyclonic winds around the eye are augmented by the speed of advance of the eye itself. There is great danger to small boats in the left-forward quarter,

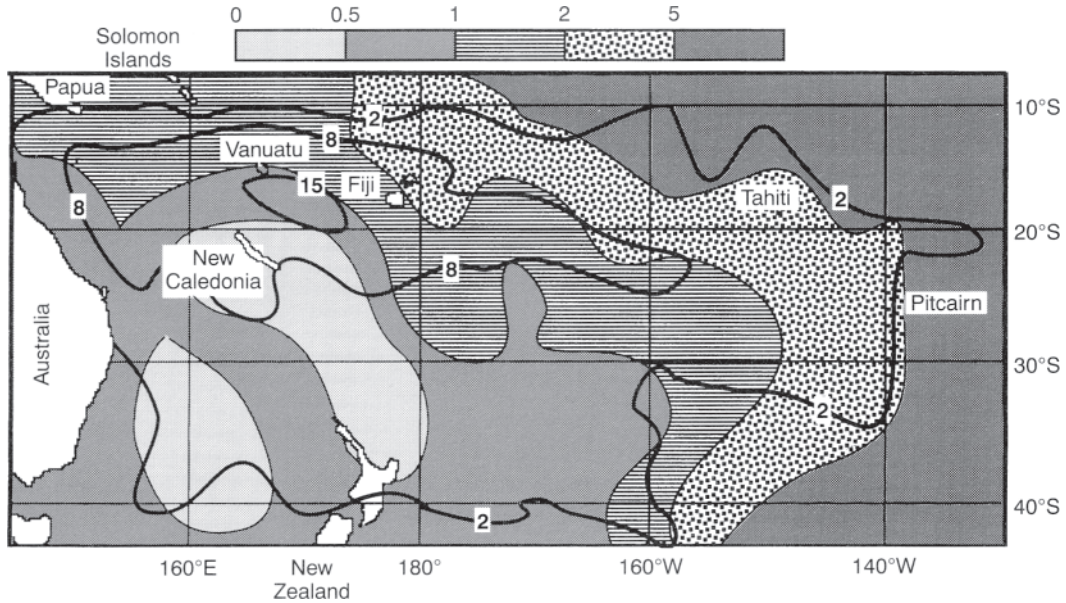


Figure 13.15 The frequency of tropical cyclones and the effect of an El Niño. The dashed lines show the annual number through each  $2 \times 2$  degree box of latitude and longitude during the period 1969–89, e.g. over ten a year around Fiji. The shading shows the probability of a tropical cyclone during El Niño events (when the SOI exceeds zero), as a fraction of what is normal. For instance, a tropical cyclone is about four times more likely to strike Tahiti in an El Niño year.

where the circling winds blow the craft into the path of worse conditions. Sometimes tornadoes form in this quarter, within the spiralling rainbands (Figure 13.12).

The winds were responsible for the destruction of Darwin in Australia's Northern Territory on Christmas Day in 1974, with the loss of fifty-five lives and a billion dollars' worth of damage.

Rains due to TCs can be heavy, particularly as the hurricane crosses the coast, where the greater friction of land surfaces causes deceleration and hence increased local convergence and updraught. For instance, a TC affecting Mackay (a port at  $21^\circ\text{S}$ ) caused precipitation of 1,400 mm within 72 hours. The effects are also felt inland and over a wide area, so that there is considerable flooding of rivers (Section 10.6 and Note 10.Q). About a

quarter of a million people drowned in such floods in Bangladesh in 1970, for instance. Precipitation of 750 mm fell in one day on Whim Creek ( $21^\circ\text{S}$  in Western Australia), which received only 4 mm in the whole of 1924. Even TCs well to the north of New Zealand bring heavy rains to parts of the north island in late summer and autumn, though less if the TC passes quickly.

A *storm surge* is the coastal wave of high seas created by an offshore TC. The suction of the central low pressure lifts the sea's surface by about a centimetre for each lowering by a hectopascal, e.g. by 0.5 m in a typical cyclone. But strong onshore winds can heap shallow seas onto a beach by several metres (Table 13.2), according to the slope and shape of the shore. For instance, by 2.8 m when Althea struck Townsville ( $19^\circ\text{S}$ ) in 1971. Such surges flood

low-lying coastal land if the TC's landfall coincides with the time of high tide.

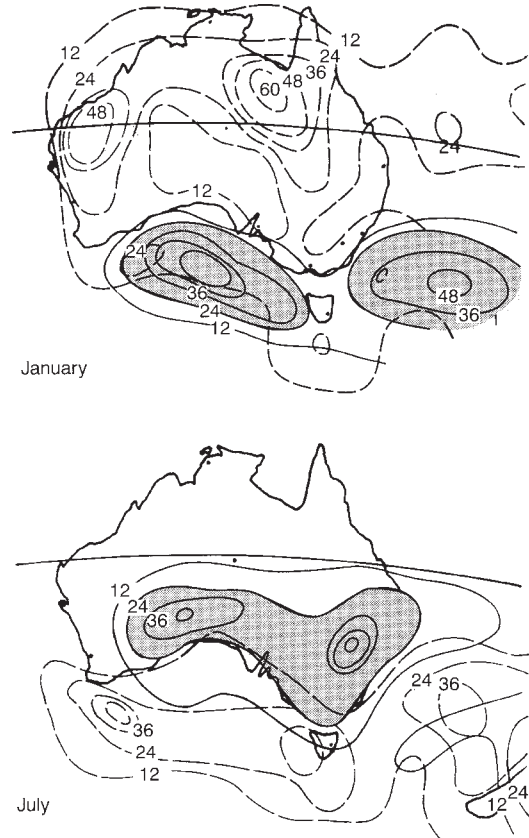
The authorities broadcast a 'tropical cyclone advisory' warning when conditions favour the development of a cyclone within 800 km of the Australian coast, and a 'cyclone warning' when the TC is confirmed and named. These are widely broadcast and posted on the Internet. Despite this, it is estimated that TCs globally have caused almost 15,000 deaths each year, or far more if storm surges are included (Table 10.5).

### 13.6 HIGHS

Centres or ridges of high pressure at the surface imply a relatively cold atmosphere (Note 1.G) and regions with subsiding air. So they tend to be found over continents in winter, when the land is colder than the surrounding seas. Thus, a large anticyclone sits over Siberia every winter (Figure 3.4 and Figure 12.1) and a pressure has been measured there equivalent to a record MSLP of 1,084 hPa (Section 1.5). This is a *cold high*. There is one permanently over Antarctica. Also, there tends to be one over southeast Australia in winter, whereas highs are located south of the continent in summer, when it is the sea that is colder (Figure 13.11 and **Figure 13.16**)

Cold highs are shallow and often stationary, although a mobile cold high follows any midlatitude frontal disturbance (Note 13.B), forming below the equatorward part of the jet stream, where upper-level convergence takes place (Note 12.L).

Another type is the *subtropical high* (or *warm anticyclone*) due to cold air at the tropopause, not near the surface. In this case, the tropopause is higher than usual, i.e. colder, so there is cold air even above the 300 hPa level. In other words, subtropical highs are associated with a high or ridge at that level, as well as at sea-level, unlike the situation with cold highs, which occur below an upper-level *trough*.



**Figure 13.16** The positions of highs and lows in summer and winter around Australia. The continuous lines show the number of hours in a month when a high's centre is within the indicated 5-degree square, i.e. the *anticyclonicity* of the region. The dashed lines show the corresponding *cyclonicity* (Section 13.4). There is a high centred for more than 24 hours each month in the areas shown shaded.

A ring of highs lies around the southern hemisphere at 30–35°S (Figure 12.1), resulting in an average pressure at that latitude higher than elsewhere (Figure 1.8). The precise latitude of these subtropical highs corresponds to the subsiding part of the Hadley cell, so it alters in response to the seasonal shift of the ITCZ (Figure 12.11), but only over 5–10 degrees. On average, highs cross the east coast of Australia south of Sydney in summer (Figure 13.16), so that the

anticlockwise rotation of winds brings easterly maritime breezes in that season, whereas dry westerly winds prevail in winter when the centres of highs pass further north.

Subtropical highs tend to lie over the oceans between the southern continents, especially in summer, when there are heat lows over the continents. One subtropical high is anchored over the eastern Pacific by an anticyclonic swirl induced by the Andes when they deflect westerly winds north (Figure 12.1). The centre of this South Pacific high shifts from 32°S in January to 23°S in July, in response to the Sun's declination (Section 2.2). The high is particularly strong because of the cold ocean surface (Figure 11.2), except during an El Niño, when it is weaker and displaced to the south. Another semi-permanent high lies over the Indian ocean, moving nearer Australia in summer and towards Africa in winter.

Highs are usually elliptic in shape, with a zonal diameter of 2,000–4,000 km and a meridional diameter of 1,000–2,000 km. In other words, they tend to be larger than lows. Therefore they involve smaller pressure gradients, so that winds are lighter, with calm conditions at the centre of any high (Note 12.D).

The belt of subtropical highs is intersected by cold fronts, located in troughs which connect to frontal lows to the south. As a result, it appears that the highs themselves are moving eastward at the same speed as the frontal disturbances. One study showed that sixteen large highs with central pressures over 1,024 hPa travelled at about 30 km/h (the range being 6–72 km/h) across Australia, whilst fifteen smaller, less intense highs moved at about 50 km/h. They tend to move more slowly in winter than summer. A typical speed of 40 km/h, and an east-west dimension of 4,000 km, implies that they pass across in four days if they keep moving.

## Blocking

An anticyclone sometimes moves south (e.g. to 45°S) and becomes a *blocking high*. This means

that its movement is stalled, and it travels less than 20 degrees of longitude in a week. Its place at normal latitudes is taken by a subtropical low. Blocking is connected with a parting of polar and subtropical jet streams (Section 12.5; Note 12.L), which appears to be associated with either instability in the flow of upper winds or patches of unusually warm sea.

Blocking in the southern hemisphere is only half as common as in the northern, where there are larger land masses and more mountain ranges to disturb patterns of windflow. A blocking event may last a few days or a few weeks. During that time, oncoming cold fronts are deflected to the south.

Features of northern hemisphere weather, including blocking, are discussed in Notes 13.I and 13.J.

## Effects

Highs (or ridges) at the surface imply subsidence at a few hundred metres a day, or less. This slow rate allows warming due to subsidence to be offset by cooling by radiation loss and by the evaporation of clouds. Also, the subsidence leads to an inversion on top of the boundary layer at about 1,000 m or so (i.e. a PBL inversion, Section 7.6), especially with cold highs in winter over continents. Where there is low-level moisture and air pollution it is trapped by the inversion, which leads to the sky becoming covered by persistent stratus cloud and thus to *anticyclonic gloom*. This occurs in Melbourne and Santiago in winter, for instance.

Generally, the subsidence characteristic of a subtropical high makes cloud and precipitation unlikely, yet it can occur in two ways. Firstly, the slight lowering of MSLP within a shallow trough moving across a subtropical high may be sufficient to trigger thunderstorms, especially in summer. Secondly, a turning of winds to become southerly or south-easterly, after the passage of a trough across a high, can induce



light rain or drizzle along a coast facing south-east, even though the atmosphere is stable and subsiding. The rain is the result of coastal uplift and happens along south-east coasts of Brazil, South America and Australia, where it is an important source of rain in winter for places sheltered from westerly frontal rain by mountains. The case of Durban on the south-east coast of South Africa is shown on Figure 13.7 (Day 5).

Arid climates result from a prevalence of highs, as in the subtropics (Figure 10.3b). North-east Brazil is arid, even at a latitude of only 8°S or so, because it protrudes far enough into the south Atlantic to be dominated by the high there. The same is true for the Galapagos Islands, dominated by the South Pacific high, even though they are at the equator. Droughts in midlatitudes are caused by a prevalence of highs, sometimes blocking highs, which deflect rain-bearing lows poleward.

Thus we end our consideration of the aspects of winds of the scale important in weather forecasting. These form the background to surface winds actually experienced at particular sites, discussed in Chapter 14.

## NOTES

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- 13.B Frontal disturbances
- 13.C Subtropical lows
- 13.D Vortex spreading and stretching
- 13.E Naming of tropical cyclones
- 13.F Winds around a tropical cyclone
- 13.G Global warming and tropical cyclones
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## LOCAL WINDS

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### 14.1 GENERAL

The general circulation (Chapter 12) is the sum of the synoptic-scale winds (Chapter 13), averaged over space and time, and these in turn contain local surface winds, which are the topic of this chapter. The flow of these local winds around surface irregularities generates swirls which eventually cause frictional heat, i.e. increased vibration of the air molecules. So there is a chain of descending scale and increasing irregularity of motion, as the Sun's radiation (which fundamentally energises all the various circulations) degenerates to the least useful form of power: low-temperature heat.

There are four main factors which create a surface wind. They are (i) the synoptic-scale wind, which is usually close to the gradient wind (Note 12.D) in speed and direction, (ii) a horizontal difference of temperature in the PBL (Section 14.2), (iii) the topography, which makes cold air flow downhill and warm air upwards (Section 14.3), and (iv) storms, especially thunderstorms. If none of these operates, the air is 'calm', i.e. it has a speed below 1.5 m/s (5.4 km/h) and standard anemometers become less accurate.

### Wind Profile

Synoptic-scale winds are those blowing at least 1 km from the ground, above the PBL (Section 12.2) and beyond the influence of the ground's irregularities. They equal the local gradient winds if there is no acceleration or slowing up, so we will call them 'quasi-gradient winds'. They drag surface air along by transferring energy downwards through turbulent *eddies*, which are circulations in all directions including the vertical, lasting for seconds or minutes. They are smaller in scale closer to the ground. Strong winds and atmospheric instability increase their intensity. The descending part of an eddy carries brisk quasi-gradient air downwards, to replace low-momentum surface air in the rising part, previously slowed by friction with the ground. In this way, eddies transfer momentum downwards into the PBL, creating average speeds at each level which vary logarithmically with height from the ground (**Note 14.A**).

The fact of lower wind speeds close to the ground's friction is illustrated by birds flying over a wide beach or the sea; those flying against the wind skim the surface, whilst birds going with the wind fly many metres above. A practical

consequence is that comparing winds at different places requires measurements at a standard height, e.g. 10 m, else it is necessary to adjust measurements to the 10-metre equivalent. Also, the strong vertical wind shear near the ground explains why shouted messages carry further downwind than upwind; the wind profile bends sound waves from downwind down to the listener on the ground, focusing them, whereas upwind sound is deflected overhead (Note 7.M).

The downward sharing of a gradient-wind's energy is prevented by any inversion layer, since an inversion inhibits vertical movement. Therefore, a ground inversion (Section 7.6) decouples the gradient wind from the surface air, which releases the upper wind from the braking effect of the ground's friction. Hence, for instance, the average 1,000 m wind at Sydney in winter is 7.5 m/s at 3 p.m., but 9 m/s at 3 a.m. On the other hand, detachment from the driving force of the gradient wind is associated with the calm conditions at the surface at night (Figure 14.1).

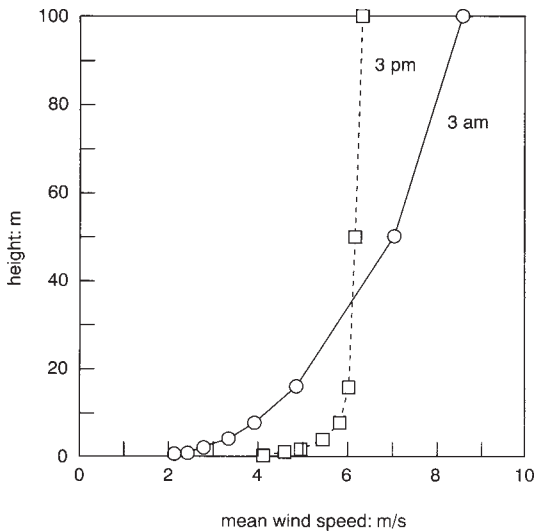


Figure 14.1 The effect of a nocturnal ground inversion on wind-speed profiles.

A rough surface creates eddying within the atmosphere, on a scale proportional to the roughness. It increases the frictional retardation and spreads it over a greater depth (Figure 14.2), according to the wind speed. The increase of wind with height extends to 200 m if the wind is light, but 1,500 m if it is strong. Speeds measured near the relatively smooth surface at sea are about 65 per cent of the quasi-gradient wind's speed, while surface winds over rough ground are less than 50 per cent. These percentages are greater when either the atmosphere is unstable, the gradient wind light or the latitude high. In each case, the surface friction makes the surface wind flow more directly towards the low-pressure region than the gradient wind does (Figure 12.9c).

Of course, it makes little sense to think of average wind profiles between the tall buildings of a city, where the eddies are not transient or mobile but locked to particular buildings, so that some places are sheltered and others subject to funnelled winds. The same would apply to any extremely rough surface.

## Measurement

There are several kinds of *anemometer* for measuring the surface wind. Figure 14.3 shows a simple device used by dinghy sailors, and at some weather stations. The cup anemometer has been commonly used since its invention in 1846; cups on each of three radial arms from a vertical axis are driven by the wind, and their rotations are counted. The number of rotations multiplied by the distance around the cups' circle is proportional to the *wind run*, the distance that a parcel of air would travel. International agreement in 1956 fixed the *knot* as the unit of wind speed, though subsequent metrication of units leads to the use of kilometres per hour or, preferably, metres per second (Note 1.J).

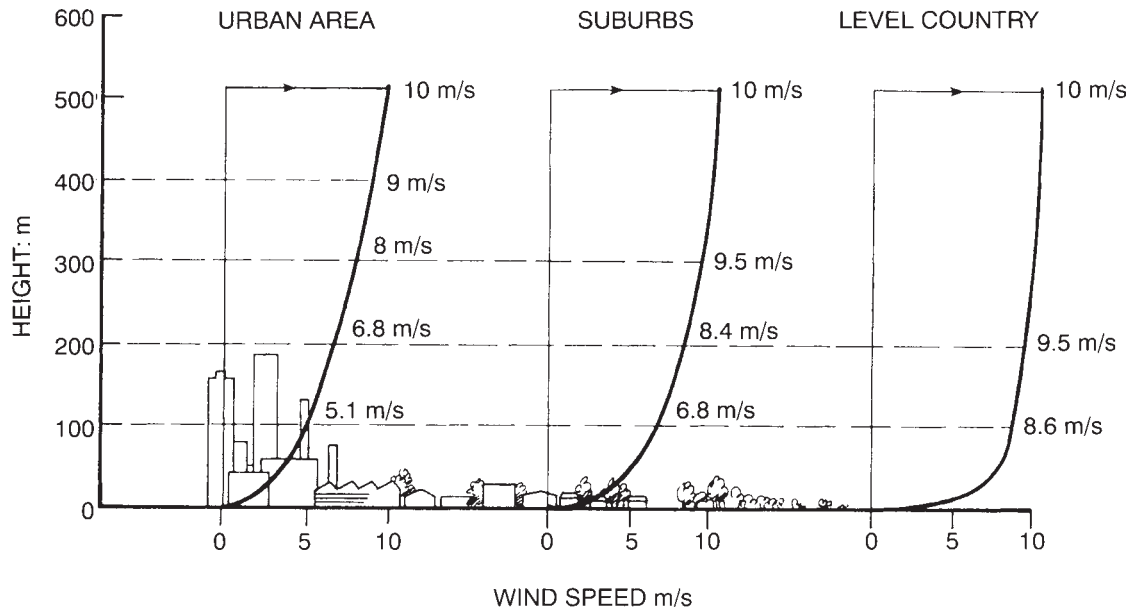


Figure 14.2 The effect of various degrees of surface roughness on the wind-speed profile.

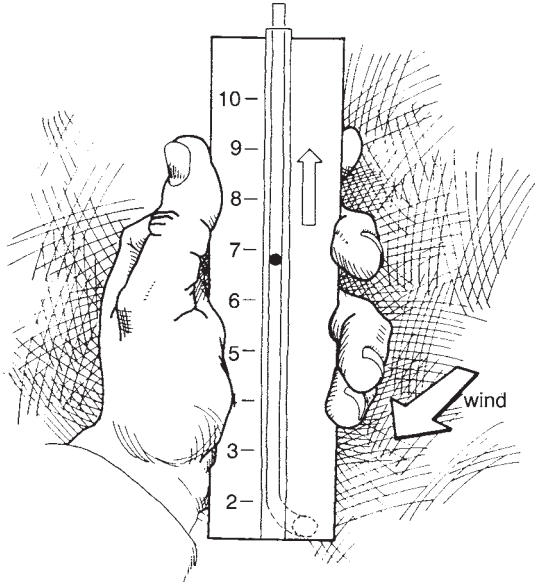
Alternatively, one can use a landlubber's version of the Beaufort Scale, devised in 1896 by a British admiral to determine wind speed from the appearance of waves at sea (**Table 14.1**). (It is hard to measure the wind speed from a small boat at sea, because of its rocking and the eddies caused by the superstructure.) Beaufort scale 4 (a moderate breeze) is enough to make a dinghy 'plane', while 8 on the Beaufort scale is a gale, which is too strong for dinghy sailing, so a red triangular pennant is often flown as a warning. Two such pennants mean a strong gale.

A new method of determining the surface winds anywhere at sea involves an orbiting satellite which sends a radar beam onto the waves and then measures the back-scatter. The character of this depends on the waves' size, being different when waves are large, i.e. winds are strong. In particular, the back-scatter of radar at wavelengths of 2 cm responds rapidly to changes in wind speed and direction.

Nowadays, there are also 'Doppler radar' and 'acoustic profile' equipments, which detect wind by its effect on the echoing of pulses of radiation or sound sent from the instruments. For instance, air approaching the equipment returns an echo at a slightly higher frequency, just as the sounds of a train when it is coming towards us are at a higher pitch than when it is departing. Other methods of measuring winds aloft were mentioned in Section 12.3, including observing the sideways deflection of rising balloons. This is still common, partly to check more modern methods.

### Variations of Winds

The speed and direction of measured winds can be indicated on a chart by the symbols in **Figure 14.4**. Repeated measurements can be summarised by a 'wind-rose', which indicates the frequency with which the wind has a



*Figure 14.3* A 'Dwyer wind meter', which is used to measure the wind speed by means of a lightweight ball made of pith, inside a slightly conical tube, whose wider end is at the top, and whose lower end is connected to an opening which is faced into the wind. The stronger the wind, the greater the lifting force on the pith ball, and so the higher up the tube it can be lifted, despite the wider diameter there.

particular strength and direction (**Figure 14.5**).

Surface winds vary annually and daily, and **Figure 14.6** shows the outcome in the form of *hodographs* for Sydney, for instance. This diagram shows a south-easterly breeze on January afternoons, but winds are more westerly in July. In both months the wind *backs* during the day, i.e. it changes direction anticlockwise (Section 12.2). Figure 14.6 also shows that the wind at Sydney is generally stronger during the daytime than at night, though 9 p.m. winds in January are stronger than those at 9 a.m. Hodographs like those in Figure 14.6 are especially useful in considering which areas are vulnerable to air pollutants

after they have been emitted into the boundary layer (Section 14.7)

There is a clear variation of windiness latitudinally about Australia and out to sea. The 'annual mean daily wind run' (i.e. the distance that a balloon floating in the wind would be carried in a day) is greater offshore than on the mainland (**Figure 14.7**), whilst the wind run inland is lower in the north and east. On the coast, it is greatest along the west and in Tasmania, so these would be the best places for wind turbines to generate electricity (Section 14.5). **Table 14.2** shows that once-in-five-years extreme winds in Australian cities are similar, but once-in-a-century winds are strongest in Darwin and Brisbane, which are vulnerable to tropical cyclones.

## Comfort

The surface wind influences personal comfort in cold climates in terms of the windchill (Note 3.E). Even indoors, a cold draught of only 0.3 m/s can be uncomfortable, though up to 0.6 m/s is reckoned reasonable ventilation in moderate climates. Higher velocities lead to papers being blown about. The achievement of comfortable ventilation indoors depends on appropriate building design, which is considered in **Note 14.B** and Chapter 16.

A pleasant breeze outdoors has a speed of about 2 m/s, but over 6 m/s can be annoying, disturbing the hair and making your clothes flap, with dust and litter blown about if the wind is gusty. The force of a strong wind can be considerable (Note 14.B). The elderly may find it hard to walk against a wind above 7 m/s, and an opposing wind of 9 m/s is equivalent to walking up a hill with a slope of 1 in 20. It is necessary to lean at 20 degrees into Antarctic winds of 24 m/s, and a gust of that speed will knock people over.

Table 14.1 The Beaufort scale of wind speeds

| Beaufort Scale | Description   | At sea                                 |  | On land                          |  | Speed |     |
|----------------|---------------|--|--|----------------------------------|--|-------|-----|
|                |               |  |  |                                  |  | Knots | M/s |
| 1              | Calm          | Flat sea                               |  | Smoke vertical                   |  | 0     | 0   |
| 2              | Light air     | Ripples                                |  | Smoke drifts                     |  | 2     | 1.0 |
| 3              | Light breeze  | Wavelets                               |  | Leaves rustle                    |  | 6     | 3.1 |
| 4              | Gentle breeze | Breaking wavelets                      |  | Wind felt on face                |  | 9     | 4.6 |
| 5              | Fresh breeze  | Moderate waves                         |  | Small leafy trees sway           |  | 17    | 8.7 |
| 6              | Strong breeze | Spray, white foam                      |  | Large branches sway              |  | 23    | 12  |
| 7              | Moderate gale | Heaped sea                             |  | Whole trees move                 |  | 29    | 15  |
| 8              | Gale          | Long crests and blown foam             |  | Twigs break off, walking impeded |  | 37    | 19  |
| 9              | Strong gale   | 10 m waves and reduced visibility      |  | Removes tiles                    |  | 43    | 22  |
| 10             | Storm         | Heavy rolling seas, overhanging crests |  | Trees blown down                 |  | 50    | 26  |
| 11             | -             | Spray obscures                         |  | Much damage                      |  | 58    | 30  |
| 12             | Hurricane *   | Sea white with foam                    |  | Extreme damage                   |  | 64    | 33  |

\* The threshold peak wind speed in a tropical cyclone (Table 13.2)

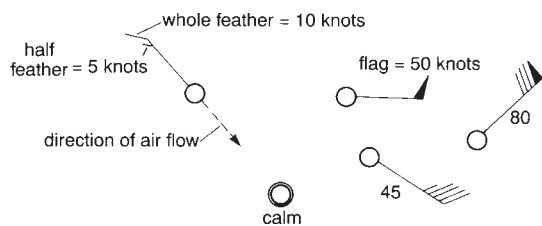


Figure 14.4 Symbolic representation of the wind and its direction at any moment, at the place indicated by the location of the circle at the end of the arrow.

## 14.2 SEA BREEZES

These coastal winds are due to sea-surface temperatures (SST) varying each day by only a degree or so, whilst surface air temperatures onshore change by around ten times as much (Section 3.4). The result is that daytime temperatures inland are appreciably warmer than the SST, and the warming spreads throughout the planetary boundary layer. Also, the onshore warmth leads to thermals which ascend to the top of the PBL and gradually extend it upwards (Figure 7.1). The warming

of a column of 1 km by 5 K, for instance,

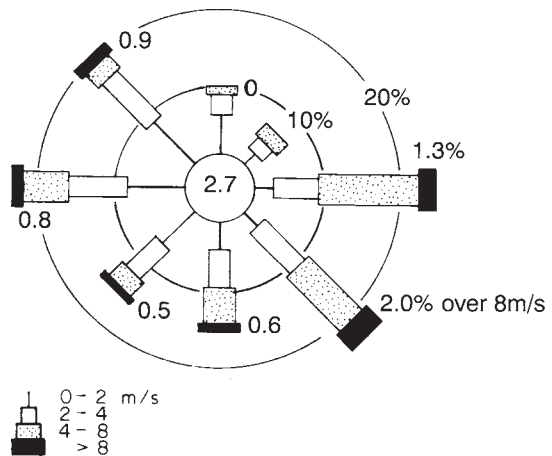


Figure 14.5 Wind rose of measurements each three hours during the period October 1976–March 1977 at Silverwater in Sydney. The length of each segment is proportional to the frequency of winds of the indicated direction and speed. There is a calm during 2.7 per cent of the time. Also, easterly and southeasterly winds occur about half the time, with 60 per cent of them over 4 m/s.

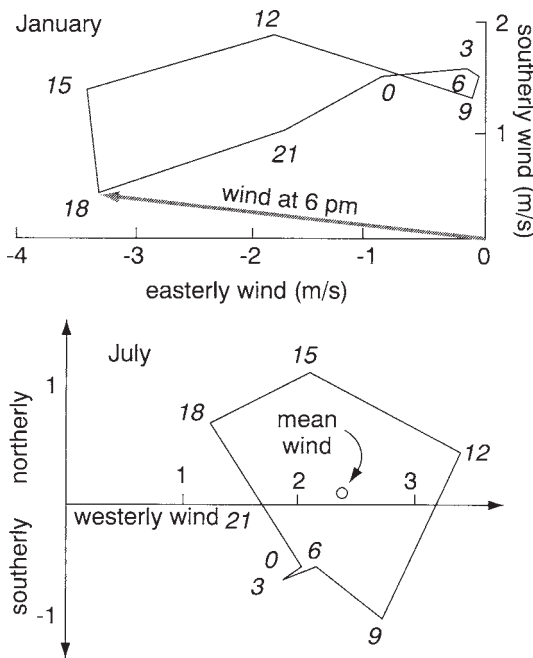


Figure 14.6 Hodographs of surface winds at Sydney. Each month's diagram shows the average wind direction and strength each three hours, by the positions of the eight corners. For example, the wind at 6 p.m. in January (shown by the corner labelled '18') is on average easterly, with a slight southerly component. The monthly mean wind in July is westerly at nearly 2.5 m/s.

Table 14.2 The maximum gust experienced at 10 m height at places in Australia once each *R* years (i.e. *R* is the return period)

| Place     | <i>R</i> = 5 years | 25 | 100 |
|-----------|--------------------|----|-----|
| Adelaide  | 33 m/s             | 39 | 45  |
| Darwin    | 30                 | 44 | 55  |
| Brisbane  | 34                 | 45 | 54  |
| Canberra  | 32                 | 37 | 39  |
| Giles     | 29                 | 34 | 38  |
| Hobart    | 34                 | 39 | 44  |
| Melbourne | 32                 | 37 | 41  |
| Perth     | 31                 | 40 | 45  |
| Sydney    | 34                 | 41 | 46  |

reduces the MSLP by 2 hPa (Section 13.4, Note 1.G). This reduction does not occur offshore, so a surface-pressure difference develops, which drives marine air onshore—the *sea breeze* (Figure 14.8). This slides inland under the warmer PBL there.

The cool breeze is shallow at first, perhaps 100 m, and becomes deeper during the day, typically to 200–500 m in temperate climates and 1,000–1,400 m in the tropics. The wind causes atmospheric divergence over the water, and hence a subsidence of air offshore, which in turn creates a return flow from the air ascending over the land, completing a circulation. The return flow is perhaps twice as deep and half as fast as the sea breeze, but is often masked by the quasi-gradient wind. **Figure 14.9** is the result of pioneering measurements; it now appears that the return flow is less prominent and less common than once thought. There is an inversion between the cool sea breeze and any warmed return flow above (Section 7.6).

Prerequisites for a sea breeze include a clear sky, to allow sufficient radiation to heat the land surface (Note 14.C). The greater radiation of summer facilitates sea breezes, so that they are more common, involve stronger winds and start earlier in the day in that season (**Figure 14.10**). Sea breezes are less common in autumn, and if they do occur they are generally weaker, start later, and penetrate less far inland, because the SST has continued to rise (Section 11.2) while daytime warming of the land declines. The breezes are rare in winter, when the land is usually cooler than the ocean. For example, nine sea breezes, on average, reach Renmark (290 km from the West Australian coast) in February, but only one in July.

The fundamental mechanism driving a sea breeze is the same as that for a monsoonal circulation or a Hadley cell (Section 12.3), being a 'thermally direct circulation' (Figure 12.14). The difference is that a sea-breeze circulation is confined to the PBL and lasts only 6–12 hours.

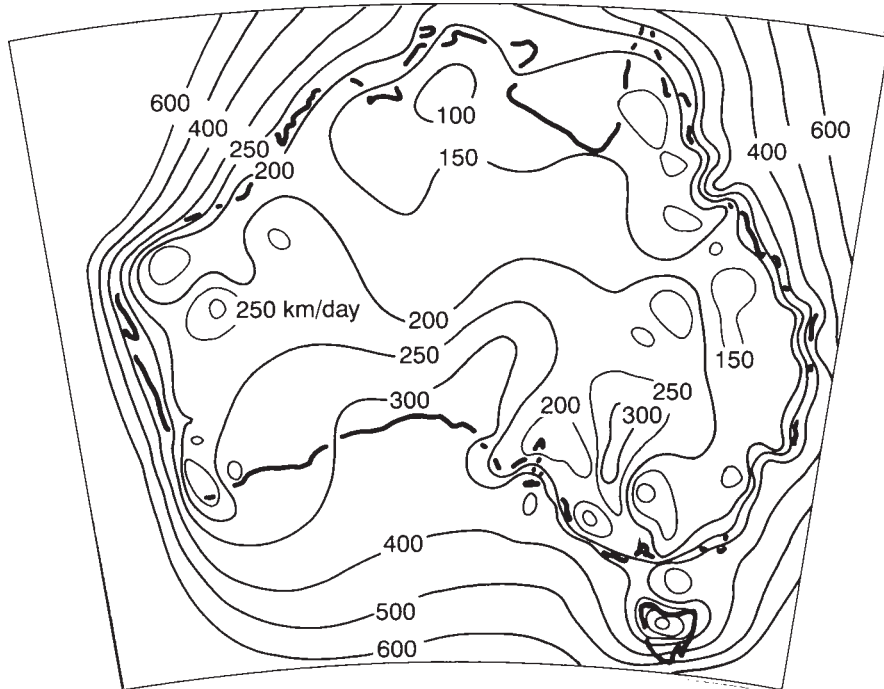


Figure 14.7 Variation of annual-mean daily wind run across Australia and the adjacent seas.

The same process operates around large lakes, where there are miniature sea breezes (i.e. *lake breezes*) on land, within a kilometre or two of the shoreline. Such a wind is often observed in the afternoon along the shores of Lake Victoria, at about 2°S in Africa. Similarly, there may be a cold breeze along the edge of a large area of melting snow or ice, or a cool wind blowing towards the sunny region outside the shadow of stationary stratus clouds or fog. Likewise, there might be a *country breeze* of up to 3 m/s blowing in the afternoon towards the centre of a large city, when there is appreciable urban heating (Section 3.7). In each case, the wind is driven by a temperature difference. But a 'direct circulation' is not a thermal wind (Note 12.F). The latter blows at right-angles to the difference and at a level above it, whereas a sea breeze, for example,

blows at a low level and *directly* towards the area of higher temperature.

An established sea-breeze circulation has a certain momentum which maintains its orientation whilst the Earth turns, so that a midday easterly sea breeze at Sydney (on a roughly north-south coast) becomes a north-easterly by the evening. This Coriolis effect was noticed in the seventeenth century by William Dampier, who observed that shore winds turn with the Sun each day, i.e. counterclockwise in the southern hemisphere. A similar backing of the sea breeze is observed on the desert coast of Namibia.

### Sea-Breeze Front

The leading edge of the sea breeze is called the 'sea-breeze front', which propagates inland



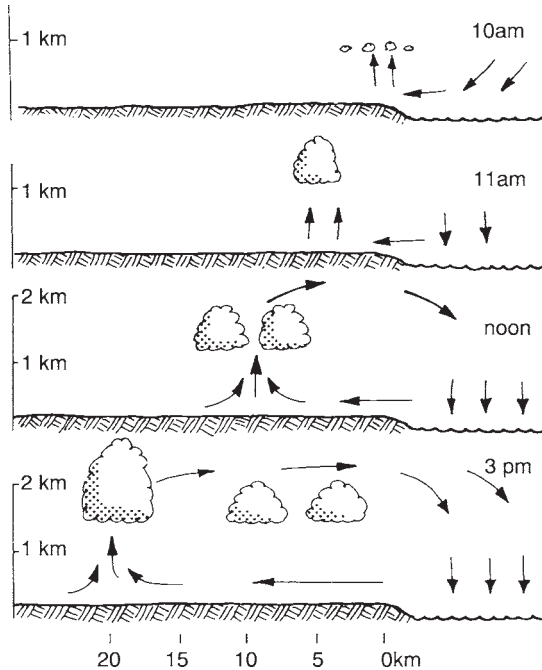


Figure 14.8 The growth of a sea-breeze cell during the day.

(Figure 14.8) because of the difference between air densities ahead and behind. In other words, the sea breeze is an example of a *density current* (**Note 14.D**), studied in the 1970s by John Simpson in England. The front is a zone of convergence of marine air and the air there already, leading to ascent and therefore, sometimes, a line of cumulus cloud.

The front moves inland as continued heating of the land enlarges the cell, advancing at a fraction of the sea-breeze speed and generally accelerating during the day, from below 3 m/s to over 6 m/s, for instance. The result is that a sea breeze may be felt well inland. An extreme example is shown in **Figure 14.11**. Inland penetration of sea breezes is especially notable in Australia, because of its aridity, high temperatures and flatness. A sea breeze which starts at the coast near Perth in Western Australia might reach 400 km inland by the evening and

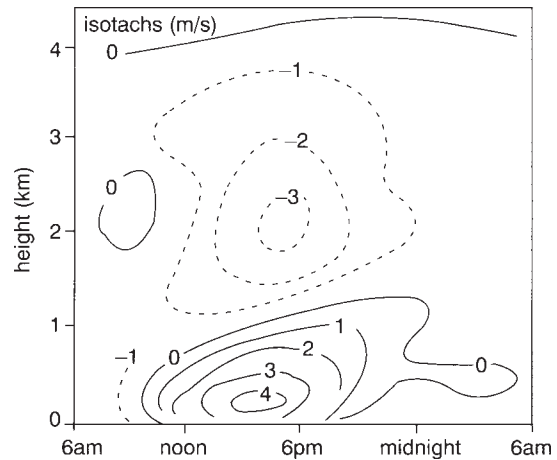


Figure 14.9 The diurnal variation of the winds at Jakarta (6°S) at different elevations. Wind speed is shown in units of km/h. The diagram shows onshore surface winds (solid lines) from about 9 a.m. till 9 p.m., though the strongest winds occur around 4 p.m. at about 200 m. The return flow (dashed lines) is fastest at 2 km around 5 p.m. The sea-breeze flow deepens during the daytime until well after 4 p.m.

last there for 2–3 hours, having already died away at the coast. Similarly, a sea breeze from the Gulf of Carpentaria in the north of Australia has been observed from 2 a.m. to 9 a.m. at Tennant Creek (20°S), which is more than 500 km inland.

The arrival of the front is indicated by a switch of the wind to the onshore direction, a drop of air temperature, a rise of surface pressure by a hectopascal or so, and an abrupt decrease of the air's wet-bulb depression (Section 6.3). There is often a considerable increase of wind speed and of turbulence (**Figure 14.12**), especially where a dry surface allows rapid heating, and a cold ocean current along the coast accentuates the land-sea difference of temperature. The arid coast of northern Chile has sea breezes which approach gale force, and those on the coast of Western Australia at Geraldton (at 29°S, where the total rainfall is only about 30 mm during the

|    | J    | F           | M    | A   | M   | J   | J   | A   | S           | O    | N    | D    |    |
|----|------|-------------|------|-----|-----|-----|-----|-----|-------------|------|------|------|----|
| 01 | SW5  | S5          | SW5  | SW5 | SW6 | SW6 | SW6 | SW5 | SW5         | SW5  | SW4  | SW5  |    |
| 02 | SW5  | SW5         | SW5  | SW6 | SW6 | SW6 | SW6 | SW6 | SW5         | SW5  | SW4  | SW5  |    |
| 03 | SW5  | SW5         | SW5  | SW6 | SW7 | SW6 | SW6 | SW6 | SW6         | SW5  | SW4  | SW5  |    |
| 04 | SW4  | SW5         | SW6  | SW6 | SW7 | SW6 | SW6 | SW6 | SW6         | SW5  | SW4  | SW5  |    |
| 05 | SW4  | SW5         | SW6  | SW6 | SW7 | SW6 | SW6 | SW6 | SW5         | SW5  | SW4  | SW4  |    |
| 06 | SW4  | SW5         | SW6  | SW6 | SW6 | SW6 | SW6 | SW6 | SW5         | SW5  | SW4  | SW5  |    |
| 07 | SW5  | SW6         | SW6  | SW6 | SW6 | SW6 | SW6 | SW6 | SW5         | SW5  | SW5  | SW5  |    |
| 08 | SW6  | SW6         | SW7  | SW6 | SW6 | SW6 | SW6 | SW6 | SW6         | SW6  | SW6  | SW6  |    |
| 09 | SE7  | S7          | S7   | SW7 | SW7 | SW7 | SW7 | SW6 | SW7         | N7   | N6   | SE6  |    |
| 10 | SE7  | SE8         | SE8  | SW7 | SW7 | SW7 | SW7 | SW7 | SW7         | N7   | N7   | SE7  |    |
| 11 | NE8  | SE9         | SE9  | S7  | SW7 | SW7 | SW7 | SW7 | SE7         | NE8  | NE8  | NE8  |    |
| 12 | NE9  | SE9         | SE9  | SE8 | SW7 | SW7 | SW7 | SW7 | NE8         | NE9  | NE10 | NE10 |    |
| 13 | NE10 | E10         | SE10 | SE9 | SW3 | SW7 | SW7 | SW8 | NE10        | NE11 | NE11 | NE11 |    |
| 14 | NE11 | NE11        | SE11 | SE9 | SE8 | SW7 | SW7 | W9  | NE11        | NE12 | NE12 | NE12 |    |
| 15 | NE12 | E11         | SE11 | SE9 | NE9 | W8  | W7  | NE9 | Sea breezes |      |      |      |    |
| 16 | NE1  | Sea breezes |      |     | NE9 | W7  | W7  | NE9 | NE12        | NE12 | NE13 | NE13 |    |
| 17 | NE12 | NE12        | SE11 | SE9 | NE7 | W6  | W6  | NE9 | NE11        | NE12 | NE12 | NE12 |    |
| 18 | NE11 | NE11        | SE9  | SE7 | SE6 | W6  | NE6 | NE7 | NE9         | NE11 | NE11 | NE11 |    |
| 19 | NE9  | SE9         | SE8  | SE6 | N5  | W5  | N5  | N6  | N7          | N9   | N9   | NE10 |    |
| 20 | NE8  | SE6         | SE7  | SE5 | SW5 | W5  | SW5 | N5  | N6          | N7   | N8   | NE8  |    |
| 21 | SE7  | SE7         | SE6  | S5  | W5  | SW5 | SW5 | NW5 | N6          | N    |      | N7   | N8 |
| 22 | SE6  | SE5         | S5   | SW5 | SW5 | SW5 | SW5 | SW5 | N5          | N6   | N6   | N7   |    |
| 23 | SE5  | SE5         | S5   | SW5 | SW5 | SW5 | SW5 | SW5 | SW5         | N5   | N5   | N6   |    |
| 24 | SE5  | S5          | SW5  | SW5 | SW6 | SW5 | SW5 | SW5 | SW5         | SW5  | N5   | N5   |    |

Figure 14.10 Prevailing surface winds at Brisbane. The numbers indicate the mean speed (km/h). Daytime winds from the north-east, east and south-east are sea breezes, which are fostered at Brisbane in summer by easterly gradient winds resulting from the shift southwards of the highs across Australia (Section 13.6).

period October–March) are sufficiently strong to distort trees growing 16 km inland. The sea breeze on the desert west coast of South Africa typically reaches 10 m/s.

A sea breeze brings welcome relief in hot weather in summer, especially if the front arrives before mid-afternoon, thus preventing temperatures reaching their normal maximum. That happens only within a few tens of kilometres of the beach, depending on the time

of the breeze starting and the speed of the front's advance. This limit to the area benefiting from sea breezes affects real-estate values.

There are other effects too. A sea breeze spoils the waves for surf-riding by creating a bumpy surface called 'chop'. Separate sea breezes on opposite sides of Cape York Peninsula in the north of Queensland approach each other and collide, creating a Morning Glory (Note 8.L). A similar confluence of sea

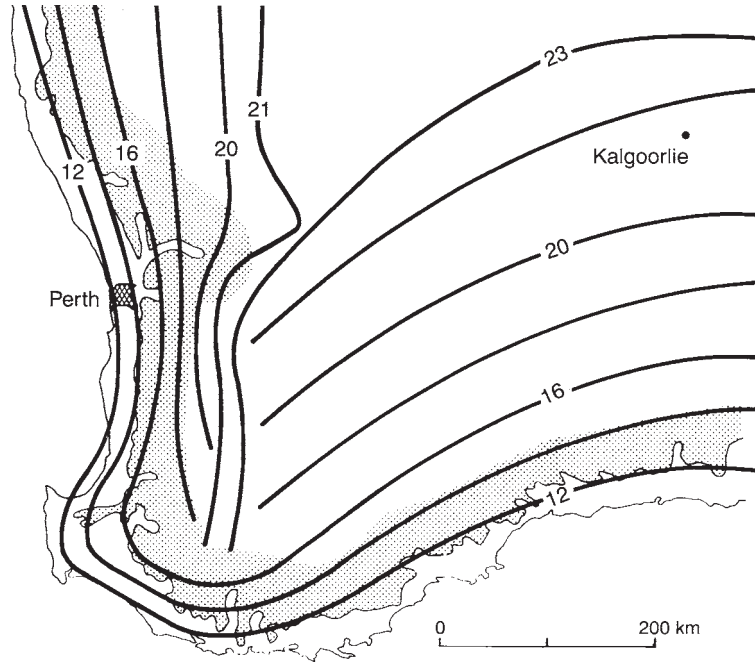


Figure 14.11 The position of the sea-breeze front in south-west Australia at different hours of a day. The shading highlights the edge of the inland plateau, which is only a few hundred metres high. The sea breeze from the west was arrested by the prevailing easterly winds of summer.

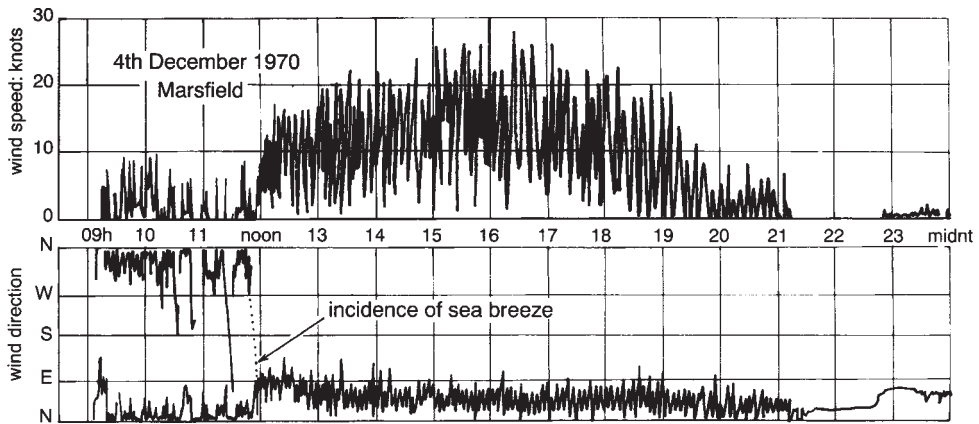


Figure 14.12 The fluctuations of wind speed (upper diagram) and direction (lower) during a summer day in Sydney. The arrival of a sea breeze at about noon was followed by a great increase of speed as well as a shift in wind direction.

breezes from opposite sides of tropical islands such as Viti Levu (Fiji) or Bathurst Island (north of Darwin) leads to ascent inland and then rainfalls there in the afternoon. Sometimes the arrival of a sea-breeze front may trigger deep convection if the air ahead is unstable, so that there are thunderstorms, e.g. in moist low-latitude environments, such as those of Brisbane in summer and Port Moresby (9°S).

### Land Breeze

The opposite to a sea breeze happens at night, when the land has cooled below the local SST. On shore, such 'land breezes' are slower than 1 m/s and less deep than the daytime sea breezes, because the stability of the atmosphere at night confines the flow to a shallow layer close to the ground's friction.

Land breezes are usually strongest offshore, and may propagate as a density current more than 100 km out to sea, possibly triggering nocturnal thunderstorms over the relatively warm waters. Nocturnal storms of this kind are common off the east coast of Australia in winter. Thunderstorms at night are also provoked by the convergence of land breezes from the shores of Lake Victoria in Africa, or within large bays (e.g. the Gulf of Carpentaria to the north of Australia) or between the islands of the Indonesian archipelago. Likewise, there is convergence offshore from an ocean coast on nights when the land breeze meets an onshore gradient wind which is weak (and moist), again creating uplift and the possibility of rain. In fact, most thunderstorms in the tropics are initiated by sea breezes, land breezes and mountain winds, unlike the situation in midlatitudes, where cold fronts are usually responsible.

The daily alternating between a sea breeze and a land breeze produces a circular hodograph in Sydney, for instance (Figure 14.6).

### 14.3 MOUNTAIN WINDS

Mountains have several effects on winds. Firstly, there is generally an increase of velocity at higher levels, towards the speeds characteristic of the upper troposphere (Figure 12.10). Secondly, a strong wind perpendicular to a high and long range will undulate on the lee side if there happens to be a slightly higher inversion layer to bounce against. These undulations (called *lee waves*) yield lines of cloud fixed parallel and downwind of the mountains wherever the upper part of an undulation is above the lifting condensation level (Section 8.1). Between them are separated bands of strong surface winds, at the lower parts of the undulations. Also, there may be *downslope windstorms* over the downwind foothills of the mountain, due to the strong winds prevailing at mountain-crest level being carried down in a lee wave. Lee waves are felt in the upper troposphere too, up to a height perhaps five times that of the mountain range itself. These high waves can help induce Clear Air Turbulence (Note 12.M).

Thirdly, there is the foehn effect, the warming of winds blowing down mountains when there has been rainfall on the windward side. The customary explanation (Section 7.2) provides an instructive exercise in the use of an aerological diagram but applies only to long mountain ranges. Surface winds onto an isolated mountain are normally deflected sideways around it, and do not flow upslope unless the atmosphere is almost neutral or unstable. A slight pressure reduction on the lee side of the mountain draws dry air down from the upper levels of the slope, and the air warms at the dryadiabatic lapse rate as it descends. This particular explanation of the foehn effect does not necessitate precipitation on the windward side of the mountain.

Foehn winds are most evident in winter, when their warmth contrasts most sharply with prevailing conditions. Sometimes their onset is

sudden, leading to a rapid rise in temperature. There is a detectable effect in the lee of the Blue Mountains near Sydney when westerlies blow in winter.

Fourthly, there are the strong winds caused by the channelling of airflows within narrow valleys. Such *gully winds* are important at Adelaide in South Australia, for instance, formed within the Lofty Range behind the city.

All four effects occur when a mountain acts as a topographic barrier to winds. But now we will consider winds caused rather than obstructed by the mountain. In particular, there are thermally direct circulations due to temperature differences on mountains, as follows.

### Katabatic and Anabatic Winds

The name of *katabatic winds* comes from the Greek word for ‘down’, as these are downhill flows of heavy cold air, driven by gravity and sometimes called ‘cold-air drainage’. Initially, these flows slide down the hillsides on either side of a valley, but then they turn down-valley to become *valley winds*. A *fall wind* is a cold large-scale katabatic wind from an elevated plateau. All katabatic winds behave like density currents (Note 14.D).

The winds are unaffected by the air’s humidity or the surface roughness and they are shallow—typically about 5 per cent of the descent. So the wind is only 10 m deep at the bottom of a slope 200 m high, for example. Nocturnal coldair drainage over Sydney is commonly a flow about 100 m deep moving at about 4 m/s. But there are katabatic winds about 300 m deep at the coast in Antarctica, of remarkable strength and persistence (Chapter 16). Katabatic winds blow at Mawson (67°S) on about a hundred days in a year, with an average speed of 11 m/s, equivalent to a strong breeze (Table 14.1). As a result, winds flow out from the central ridges of the Antarctic

plateau in such volume that they induce the polar subsidence which is part of the global circulation (Section 12.3).

The daytime counterparts of katabatic winds are called ‘anabatic’ winds. They flow up sunny slopes, providing lift for glider pilots and for hang-gliding. Anabatic winds are generally deeper and more gusty than katabatic winds. Speeds of 6 m/s have been measured in Papua New Guinea, in flows more than 150 m in depth.

Anabatic winds are not like density currents but arise when the PBL over mountains is warmer than the adjacent layer of free atmosphere. This causes a surface-pressure reduction over the mountains, and hence winds down the pressure gradient up the side of the mountain. They flow up both sides of broad valleys in South America, for instance, causing a central downdraught which dissipates clouds, so that the middle of a valley is more arid than on the slopes. Also the upslope anabatic winds may trigger thunderstorms, which helps explain why thunderstorms are more common around mountains in summer, especially in the tropics.

All these various kinds of wind can be found in hilly terrain. Depending on the time of day, there may be a toposcale katabatic or anabatic flow near the ground, surmounted by a mesoscale wind such as a country breeze or sea breeze, with another quite different layer above that containing the synoptic-scale quasi-gradient wind. Thus, for example, afternoon measurements in a South African valley showed a surface anabatic wind of 1 m/s of 50 m depth, with a different wind of 3 m/s between 50–200 m above ground, and a quasi-gradient wind dominant above 600 m.

### Daily Pattern

Another example of the various kinds of wind near mountains is illustrated in **Figure 14.13**,

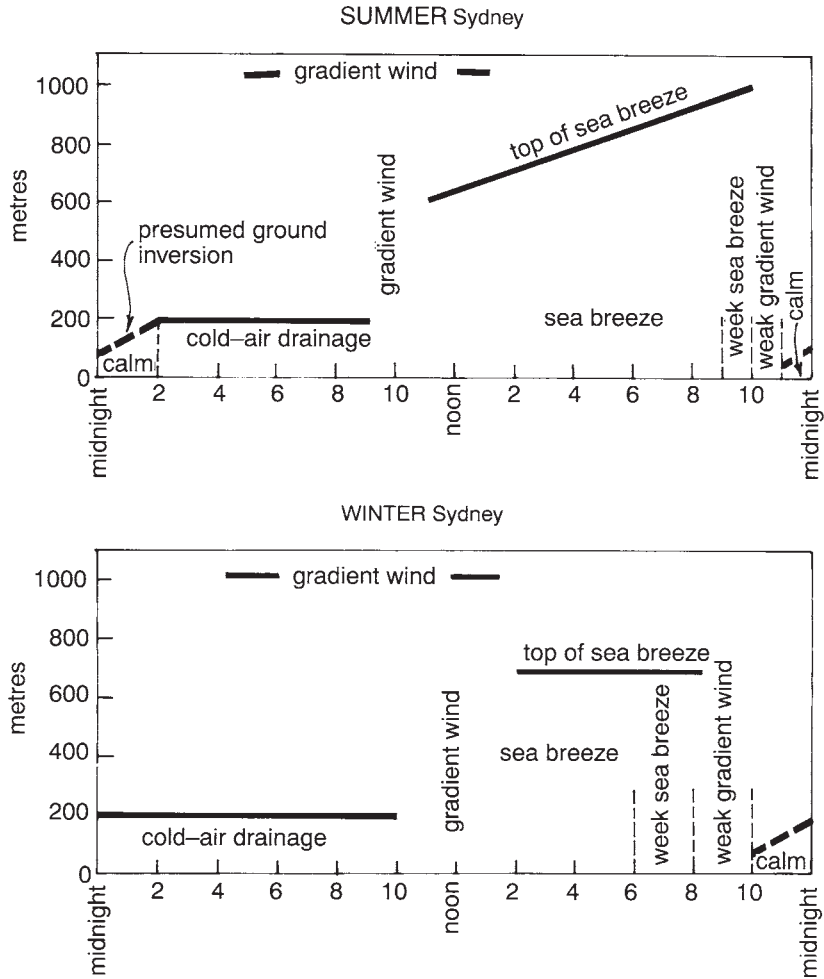


Figure 14.13 Typical sequences of surface winds and inversion layers on cloudless days with weak gradient winds in Sydney, in terms of the causes. Cold-air drainage corresponds to a westerly drift, whilst a sea breeze in Sydney is a brisk easterly.

which shows the sequence of winds in the Parramatta Valley, which opens out into Sydney. There is cold-air drainage downhill during the early morning, with an inversion layer between the cold air and the stationary air above. Once the Sun's heat has warmed the surface sufficiently, there is convection which links the surface air to the quasi-gradient

wind, which may be in any direction. However, this period ends once the sea breeze arrives from the coast, with an inversion above the cool maritime air. That dies away sometime after sunset, and the sequence repeats itself, unless there is a change of cloudiness or a strong gradient wind.

## 14.4 TURBULENCE

Up to this point in the book we have been considering winds averaged over five minutes or so. In reality, both the wind speed and direction may vary widely during those five minutes. The irregularities constitute *turbulence*, defined as ‘the complex spectrum of fluctuating motion imposed on the average flow’. It is due to the eddies mentioned in Section 14.1. The fluctuations lead to bumpy aircraft flights, ripples across wheat crops and isolated patches of ruffled surface on a lake, called ‘cats’ paws’. More importantly, they are the means by which water vapour, sensible heat and friction are transferred from the ground to the free atmosphere. Also, turbulence is associated with *gusts* of high speed and *lulls* of low.

### Wind Gusts

A ‘gust’ is defined as the ‘wind-speed deviation from the mean which, on average, is exceeded once during the reference period’. Such extreme winds are important in the design of buildings, bridges and windmills, for instance, even if they are only short-lived. Very strong winds have been recorded at places such as these:

- (a) An hourly average of 43 m/s at Cape Denison at 68°S in Antarctica.
- (b) A gust of 75 m/s lasting three seconds has been measured on a 450 m hill at Wellington (NZ).
- (c) There was a gust of 68 m/s at Onslow in Western Australia during a tropical cyclone in 1975.
- (d) A speed of 89 m/s was measured in a tornado near Melbourne in 1918 (Section 7.5).

These high winds cannot be measured easily: anemometers would be blown away. Instead, extreme speeds are estimated from the resulting damage (**Table 14.3**).

Winds above 30 m/s occur about once each two years around the south coast of Australia, and above 23 m/s along the north coast. At Sydney, the frequency of strong winds during the period 1938–62 is indicated in **Figure 14.14**. For instance, there was a gust faster than 28 m/s once a year on average, over 31 m/s once each two years, and hence, it is estimated, more than 46 m/s once a century (**Note 14.E**). In other words, the return period (Section 10.2) for winds exceeding 46 m/s in Sydney is a hundred years. Winds exceeded on average once in fifty years (which is assumed to be the life of a building) are shown in **Figure 14.15**, indicating the particular hazard along coasts exposed to tropical cyclones, which are most violent off the north-west coast. The

Table 14.3 The F scale for extreme winds, devised by Theodore Fujita in the late 1960s to classify tornadoes

| Scale | Speed (m/s) | Expected damage   |
|-------|-------------|---|
| F0    | 18–32       | <i>Light</i> : tree branches broken, sign boards damaged                  |
| F1    | 32–50       | <i>Moderate</i> : trees snapped, windows broken                           |
| F2*   | 50–70       | <i>Heavy</i> : large trees uprooted, weak structures destroyed            |
| F3    | 70–92       | <i>Severe</i> : trees levelled, cars and mobile homes overturned          |
| F4    | 92–116      | <i>Devastating</i> : frame-house walls levelled, roofs lifted             |
| F5    | 116–142     | <i>Incredible</i> : brick homes levelled, cars and trees moved over 100 m |

\* Only a handful out of about 1,000 tornadoes reported in Australia and a similar number of recorded tropical cyclones have had winds exceeding those of an F2 tornado

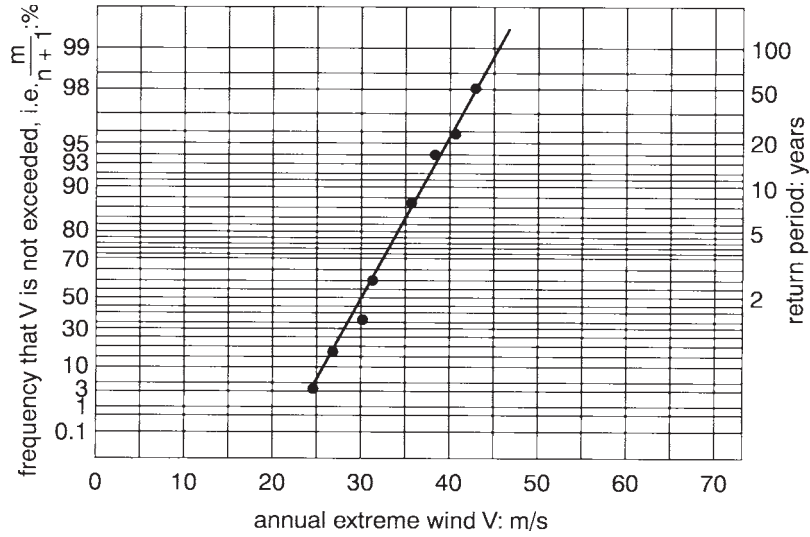


Figure 14.14 The frequency of high winds at Sydney.

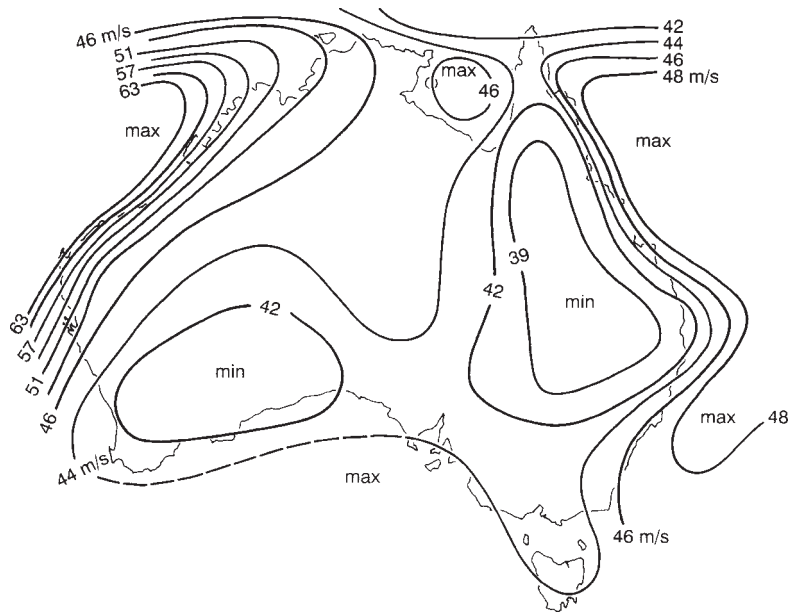


Figure 14.15 Gust speeds (m/s) in Australia which are exceeded once in fifty years on average.



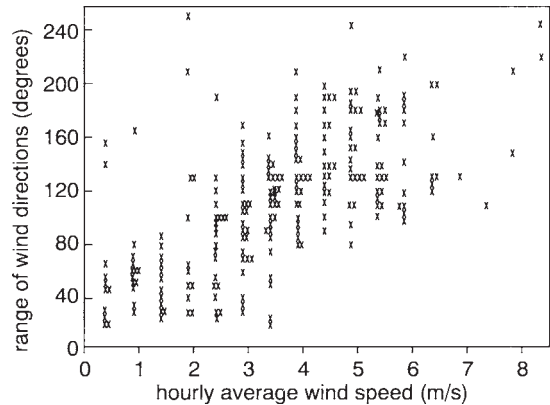
frequencies of extreme gusts at some other places are shown in Table 14.2.

It is the extra strength of gusts during a storm that causes most damage. It was found after the tropical cyclone Tracy that demolished Darwin in 1974 that the cost of repairing houses, as a fraction of the initial cost of building them, was zero where gusts were below 30 m/s (i.e. F0 in Table 14.3), but 0.2 where they reached 42 m/s (i.e. F1), and 0.6 where 56 m/s (F2). These were houses built on stilts to catch cooling sea breezes; the fractions were about halved for houses built on the ground.

Numerical measures of *gustiness* compare the mean wind speed during a short-period gust with the average over an hour or ten minutes, say. The *Gust Factor* is the ratio of gust and average speeds, and the value depends on the selected gust period. For example, data from hurricanes yield factors of 1.2 for two-minute gusts and 1.5 for three-second gusts, compared with hourly averages. In other words, there are three-second pulses of wind which are 50 per cent faster than the hour-long average. Alternatively, gustiness may be described by the swing of wind direction, which is larger in turbulent conditions (Table 7.1; **Figure 14.16**). In either case, values are enhanced by the extra stirring created by the irregularity of a surface such as that of a city.

Gusts are due to volumes of air being mixed by eddies from parts of the atmosphere where the winds have quite different speeds and directions. Some of the agitation may be due to atmospheric instability (Section 7.4). The fluctuations are greater if nearby winds differ considerably, i.e. if there is strong wind shear. This is most likely in the vicinity of discontinuities such as inversions or fronts, and near obstacles such as hills.

There is also strong wind shear causing turbulence in association with thunderstorms and near *low-level jets*. The latter are like upper-troposphere jet streams (Section 12.5), but occur at only about 2 km above the ground. They are



*Figure 14.16* The difference between the most and least clockwise directions of the surface wind during an hour, plotted against the average speed during the hour, at Marsfield, Sydney.

fairly common in Australia on clear nights in winter or spring, and arise in the course of forming the nocturnal radiation inversion which detaches the gradient wind from the ground's friction. Also, there is often a low-level jet ahead of a cold front, blowing parallel to it from the north or north-west, at a speed of up to 30 m/s.

## Thunderstorm Gusts

Thunderstorms (Section 9.5) may cause strong wind gusts near the surface in three ways:

- 1 Severe thunderstorms occasionally spawn vortices of extreme wind, such as tornadoes (Section 7.5).
- 2 Strong downdraughts within thunderstorms may carry parcels of air down from the jet stream to the surface within no more than fifteen minutes, so that the air still contains its original momentum.
- 3 A subsiding parcel of air may accelerate downwards under the weight of its water content, and as a result of the cooling caused by evaporation of drops within the parcel. In extreme cases, this leads to a *downburst*

of cold air, which spreads in all directions near the surface up to a few 100 km away. Downbursts have caused several airplane crashes. The smaller downbursts, called *microbursts*, with a radius of a few 100 m, are a special danger to aircraft because of the powerful downdraught within the microburst, and because of the strong wind shear at each end. A plane flying into a microburst near the surface feels a sudden change from headwind to tailwind, causing a disconcertingly abrupt loss of lift. Microbursts can be either dry or wet; the wet kind are due to a downpour, while the dry kind may emerge from apparently harmless thunderstorms with a high cloud base.

The leading edge of the cold outflow from a thunderstorm is known as a *gust front* (Section 9.5) which spreads like a density current (Note 14.D). One in the Port Moresby area of Papua New Guinea is known as a 'Guba'. It occurs occasionally during the early morning as a sudden wind of up to 30 m/s, and lasts for about half an hour. It is a gust front associated with nocturnal thunderstorms over the Gulf of Papua, often begun by convergent land breezes from the surrounding land.

## 14.5 WIND ENERGY

Winds can be harnessed to provide power, though extreme winds may damage structures (Note 14.B), and some of the wind's energy goes into eroding the land, which is the other aspect we will consider in this section.

### Wind Power

The power of wind at velocity  $V$  (m/s) through an area of a square metre equals  $\frac{1}{2}\rho V^3$  watts (**Note 14.F**), where  $\rho$  is the air's density (about

1.25 kg/m<sup>3</sup> at sea-level). For instance, a breeze of 5 m/s blowing onto the rotating blades of 3 m radius of a *wind turbine* contains 78 W/m<sup>2</sup> of power (i.e.  $0.5 \times 5^3 \times 1.25$ ), so the blades (sweeping an area of 28 m<sup>2</sup>) receive 2.2 kW. Such a wind turbine with an efficiency of 40 per cent could power fifteen 60W lightbulbs (i.e.  $2,200 \times 0.4 / 60$ ).

The dependence on the cube of the wind speed means that a small change of velocity makes a large difference of power. If the wind were 10 m/s in the example above, instead of 5 m/s, the collected power would be eight times as much. So it is worth while taking trouble to find the windiest spot, and erecting a tall mast to benefit from the stronger winds away from the ground's friction (Section 14.1).

Unfortunately, the strongest winds occur at sea, where it difficult to mount a wind turbine. Daily average winds across the Atlantic and Indian oceans at 40°S exceed 15 m/s on 30 per cent of days. The long-term average is over 12 m/s in the gap at about 56°S between South America and Antarctica.

Figure 14.7 and Figure 14.15 show that winds are relatively modest inland, bearing in mind that 200 km/day, for instance, averages only 2.3 m/s. The world's windiest place onshore appears to be at Commonwealth Bay at 67°S, 141°E, where three different stations have measured annual mean winds of 11–18 m/s.

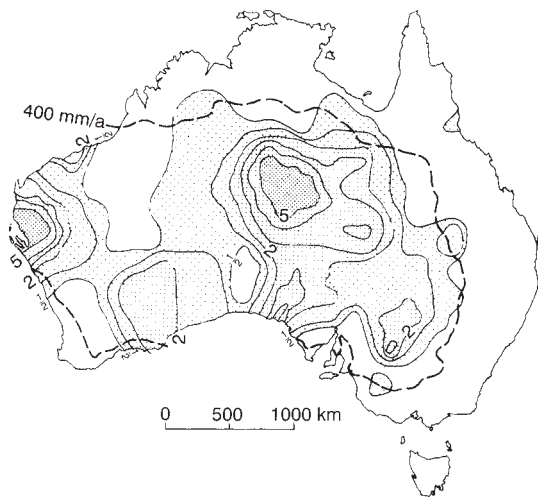
Critics of wind power complain that wind farms are noisy, kill birds, spoil TV reception locally and blight areas of the upland or coastal wilderness, where the strongest winds tend to be found. There is also the economic problem of the variability of winds, between seasons and between times of day, so that the output from any place is fluctuating. The effect of this can be reduced by linking widely separated wind farms, where there are different patterns of wind. In Britain, for instance, there are more than eighteen wind farms connected to the national grid, generating up to 30 megawatts each, and thereby reducing the dependence on fossil fuels,

with their undesirable outputs of carbon dioxide and sulphur dioxide (Chapter 15).

## Blowing Particles

Winds disturb loose materials on the ground and blow them around. A speed of 7 m/s is usually sufficient to lift fresh powdery snow and reduce visibility. Piles of stored coal have to be sprayed with water to prevent clouds of dust when winds exceed 10 m/s.

Australia has occasional *dust storms*, when visibility is reduced to less than 1 km by a cloud of red soil. They occur especially in the arid centre (**Figure 14.17**), where Alice Springs averages over ten annually, mostly in summer. A dust cloud covering 3,000 km<sup>2</sup> to a height of 3 km and containing 0.2 grams of particles per cubic metre, removes 2 million tonnes of topsoil and the cloud may be blown to New Zealand and even Fiji, some 4,000 km downwind.



*Figure 14.17* The frequency of dust storms in parts of Australia. The darkest shading represents over 5 dust storms annually, the next lightest 2–5, the next 0.5–2, and the unshaded part has less than one dust storm in two years, on average. The dashed line is the 400 mm/a isohyet.

*Dust* consists of particles of 1–10 microns diameter, and dust storms usually need winds of at least 12 m/s or so, along with a strongly unstable atmosphere. In general, conditions for dust storms are the same as for heat waves (Section 3.2), drought (Section 10.7) or an extreme risk of bushfire, i.e. prolonged, hot and dry weather.

*Sand storms* involve surface particles about a hundred times larger in diameter, so winds need to be stronger, the threshold depending on the particles' dryness, shape and density. Winds of only 3 m/s can move the smaller grains of dry sand across the surface in a hopping motion called *saltation*, where each dislodged grain jumps some centimetres into the air and then falls to the ground and thereby loosens another one or two grains, as well as dust. Indeed, bombardment by saltating sand is probably the main process raising dust from the ground in dust storms. But most movement of sand *dunes* is caused by winds above 12 m/s.

*Soil erosion* is caused by wind drying the surface (Chapter 4) and then lifting particles into the air. The rate of 'aeolian soil erosion' (i.e. erosion by wind) is proportional to the wind's power, i.e. to the cube of the wind speed, so it is greatly reduced by decreasing the surface wind. The decrease caused by the friction of even a partial cover of vegetation reduces soil erosion considerably, and it is the rare high wind that does most of the damage.

Water in the surface soil reduces aeolian erosion by holding the grains together, as well as by promoting the growth of a vegetative cover. Even 2 per cent of moisture in bare soil typically raises the threshold wind speed for erosion by more than 2 m/s.

## Windbreaks

Winds across a field are commonly reduced by a *windbreak*, consisting of a wall or hedge, or a *shelter belt* of a row of trees. These lessen



Plate 14.1 An unusual dust storm over Melbourne on 8 February 1983.

evaporation and soil erosion downwind, and give shade. The wind protection that is provided by a windbreak of height  $H$  is indicated by **Figure 14.18**; it depends on the porosity of the windbreak and on the distance downwind. About  $10H$  away, crop yields tend to be 10 per cent more than elsewhere, but the yield nearer to the windbreak is reduced by the windbreak's own demand for sunshine, moisture and nutriment.

## 14.6 SEA WAVES

More of the energy in the winds at sea goes into creating waves than into driving ocean currents. As a result, sea waves contain enormous power.

Ripples form on water when winds are only 1 m/s or so (Table 14.1). But strong winds drive the waves accordingly, and their speed is inherently related to the eventual wave height and to the distance between crests—the *wavelength*. For instance, a wave driven to a speed of 5 m/s is finally 0.5 m high from crest to trough and has a wavelength of 16 m, whilst a 12 m wave in the vicinity of a tropical cyclone is 400 m long and travels at 25 m/s. (These speeds do not mean that water actually travels along, it is simply the wave *pattern* that moves, like the undulations along a fixed rope that is shaken.) Usually the wave speed is slightly less than the speed of the wind over the ocean surface.

Waves do not reach their full height, wavelength and speed in immediate response

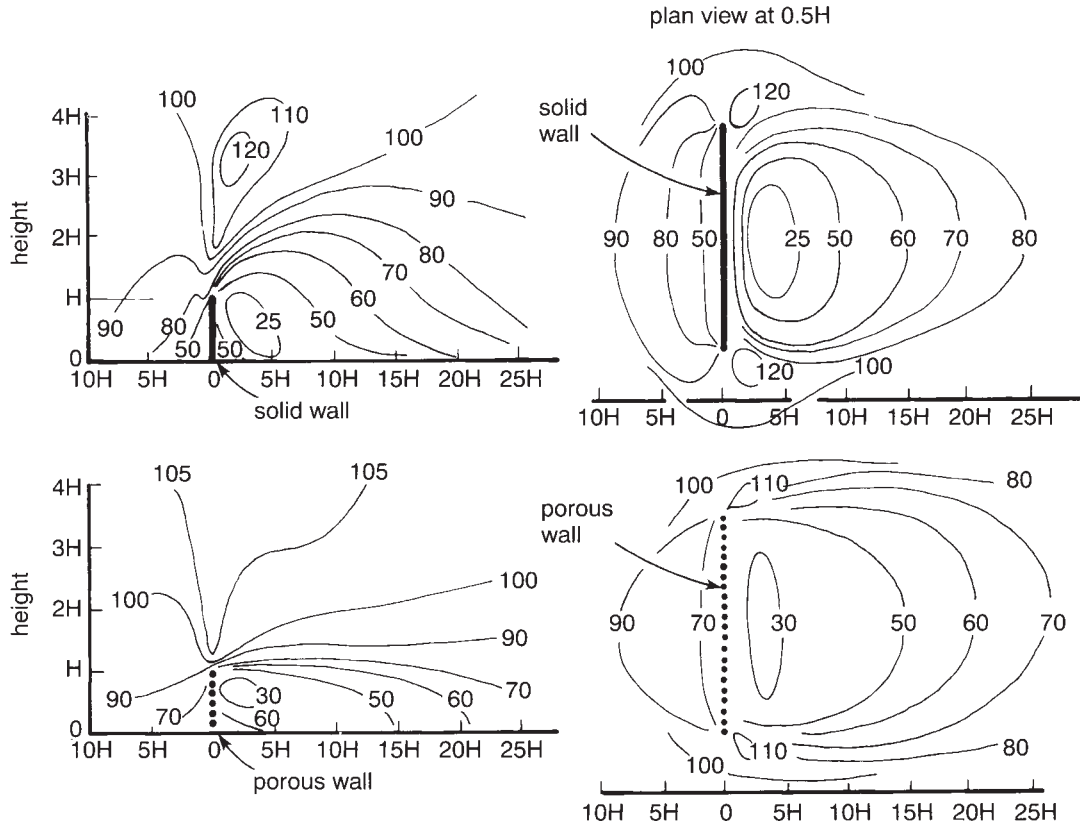


Figure 14.18 The wind speeds around a windbreak, as percentages of the speed at the height  $H$  of the top of the windbreak, and 20 times  $H$  upwind, showing elevation and plan views, with either a solid wall or one through which almost half the air can flow.

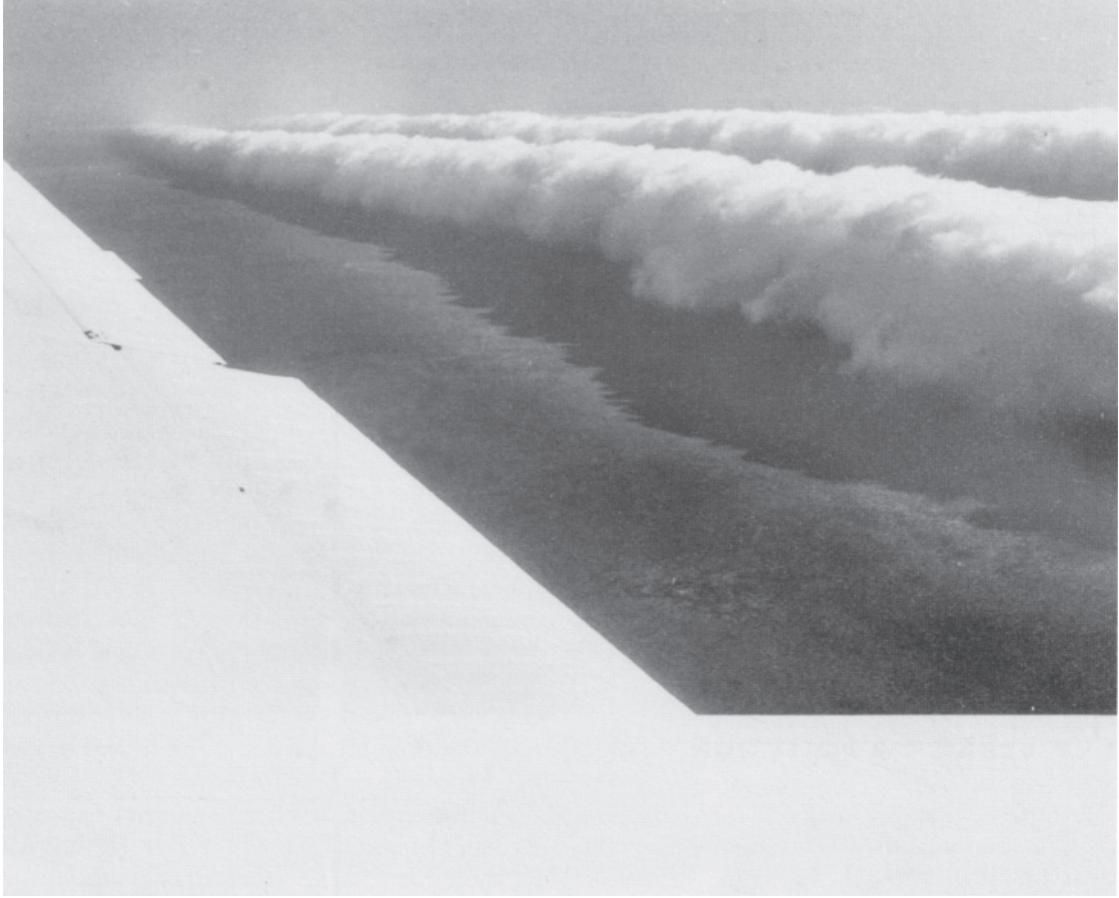
to changes of wind speed. The degree of adjustment depends on the duration of the wind regime, or, in a confined body of water, the distance upwind to land—the *fetch*. **Figure 14.19** illustrates that the fetch required for the sea to adjust to a wind of 6 m/s, for instance, is about 80 km, and the time needed about five hours. After that, waves are about 0.7 m high and have a wavelength of 12 m and a speed of 4 m/s, so the *period* of the waves is three seconds (i.e.  $12/4$ ). The fetch and time to adjust fully are shorter with strong winds.

Long waves are called *swell*, and originate in distant major storms. They travel faster than the

storm, so their arrival can give useful warning. Swell reaching Australian coasts comes mostly from midlatitude storms, and waves higher than 7 m are occasionally experienced on the south coast.

What is called a ‘moderate sea’ is one with waves 1–2 m high, whereas a ‘heavy swell’ has waves over 4 m high. Often there is a combination of swell from distant storms and smaller waves due to local winds, and the occasional synchronisation of swell and local waves leads to unusually large waves from time to time.

The power in kilowatts in each metre along the crest of a wave is about  $H^2P$ , where  $H$  is the



*Plate 14.2* The night-time collision of sea breezes from both east and west coasts of Cape York (in the northeast of Australia) causes parallel, low-level, long rolls of circulation, subsequently carried westwards in the Trade winds, over the Gulf of Carpentaria. The forward rising edge of each roll lifts moist air from sea-level, forming a long cloud, producing the 'Morning Glory'. This photograph was taken at 7.45 a.m. and shows the first two of about five rolls oriented approximately north-west to south-east. The Sun in the east casts a shadow ahead of the clouds as they advance east of Burketown.

wave height (m) and  $P$  the period (seconds). Thus, for example, waves which are 0.7 m high and have a period of three seconds (from a wind of about 5 m/s) contain 1.5 kW per metre length, which is similar to the figure for a wind turbine with 3 m propellers in the same wind (Section 14.5.). A doubling of the wind speed leads to an approximate quadrupling of the wave height (Figure 14.19), and wave power is

proportional to the square of the wave height, so the power is very sensitive to the wind speed, e.g. doubling the wind speed increases power about sixteenfold, if the wind speed is maintained over a sufficient time and fetch. Short-lived winds with a short fetch, like sea breezes, yield little wave power.

Such sea-wave power is unleashed in the course of coast erosion. It would be good to

|   |   |    |    |    |     |     |     |     |     |     |     |      |     |     |     |
|---|---|----|----|----|-----|-----|-----|-----|-----|-----|-----|------|-----|-----|-----|
| 1 wind: km/h  | 8 | 10 | 12 | 15 | 20  |     | 40  | 60  | 90  | 110 | 130 |      |     |     |     |
| 2 required fetch: km  |   |    |    |    | 80  | 160 | 320 | 480 | 640 | 800 | 960 | 1120 |     |     |     |
| 3 required wind duration: hours   |   |    |    |    | 5   | 20  | 25  |     | 30  |     |     | 35   |     |     |     |
| If the fetch and duration are as great as indicated above, the following wave conditions will exist |   |    |    |    |     |     |     |     |     |     |     |      |     |     |     |
| 4 wave height: m  |   |    |    |    | 0.5 | 1.0 | 2   | 3   | 4.5 | 6   | 9   | 12   | 15  | 18  |     |
| 5 wave period: seconds  | 1 | 2  |    |    | 3   | 4   |     | 4   | 8   | 10  | 12  | 14   | 16  |     |     |
| 6 wave length: m  |   | 6  | 12 | 18 | 24  | 30  | 45  | 60  | 90  | 120 | 150 | 180  | 240 | 300 | 420 |
| 7 wave velocity: km/h   |   | 10 |    |    | 20  | 30  | 40  | 50  | 60  | 70  | 80  |      |     |     |     |

Figure 14.19 Diagram for the estimation of the eventual wave height, *period* (i.e. time between crests), length and speed, for a given wind, when the fetch and duration are sufficient.

harness the energy, sustainably available to generate electricity close to coastal cities. Unfortunately, the practical problems of tides, corrosion, the vagaries of wave conditions, reduced wave height inshore and the awesome force of the worst storms have so far prevented our using the energy in sea waves.

## 14.7 URBAN AIR POLLUTION

The surface wind is a major factor controlling the distribution and concentration of air pollutants in urban atmospheres. Other factors are the rate of emission of the pollutants and the stability of the lowest part of the troposphere.

### Emissions

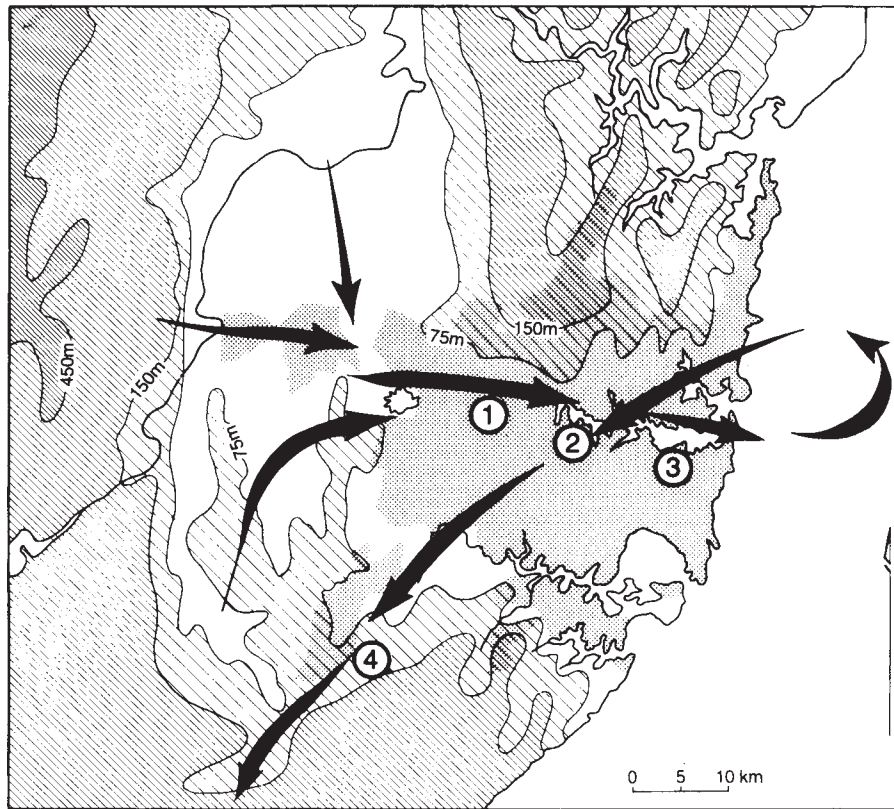
Most urban pollutants come from either chimneys or automobiles. The former involve relatively slow combustion with plenty of oxygen. The fuels may contain sulphur, in which case the gas *sulphur dioxide* is created, along with carbon dioxide, water vapour, nitrogen dioxide and particulate matter. Particles less than 10  $\mu\text{m}$  in diameter are referred to as 'PM10', and are dangerous to human health. They are so

small as to remain in the air for hours or days, just like cloud droplets. Sulphur dioxide combines with moisture when the RH is over 80 per cent, forming cloud droplets of sulphuric acid. This is one reason why a humid atmosphere is usually hazy, especially in cities. The droplets eventually yield acid rain (Section 10.1). Nitrogen dioxide ( $\text{NO}_2$ ) is a poisonous reddish-brown gas and it too forms an acid in combination with water. The carbon dioxide resulting from combustion is implicated in global warming.

Combustion in a vehicle engine is too rapid for complete oxidation of the fuel, so that not all the carbon forms carbon dioxide ( $\text{CO}_2$ ) and some makes carbon monoxide (CO) instead, with only one atom of oxygen in each molecule. It is a poisonous gas, which later turns to carbon dioxide. There is also some unburnt hydrocarbon emitted, again depending on the design, speed and condition of the engine, and some monoxide of nitrogen—i.e. 'nitric oxide' (NO)—because nitrogen is the main constituent of air (Table 1.3). The NO converts to  $\text{NO}_2$  in reaction with the air's oxygen, and the various nitrogen oxides are collectively called ' $\text{NO}_x$ ', pronounced 'nox'. It affects air passages in the body, so that they become sensitive to allergens which cause asthma, for instance. Also,  $\text{NO}_x$  combines with the emitted hydrocarbons to form ozone when temperatures

and solar radiation are sufficient, e.g. in summer at the latitude of Sydney (34°S), Santiago (33°S) and Los Angeles at 34°N (**Note 14.G**). This ozone is referred to as *tropospheric ozone*, to distinguish it from the desirable ozone in the stratosphere (Section 1.4). Concentrations of tropospheric ozone have been rising in most cities in recent decades, on account of increased automobile traffic (**Note 14.G**). It harms plants and can cause fatal damage to the human heart and lungs.

Emissions in the open air are diluted in two ways—vertically and horizontally. The first depends on the amount of atmospheric stirring, which increases in faster winds (Section 14.4) and greater instability (Section 7.4). The stirring is confined beneath the lowest appreciable stable layer, whose height depends on the time of day, etc. (Section 7.6). Horizontal dilution is proportional to the surface wind speed (**Note 14.G**).



*Figure 14.20* Air movements during the course of the morning and early afternoon in summer, carrying air pollutants over Sydney. The city's extent of about 35×35 km in 1986 is shown by shading. Initially there is eastwards cold-air drainage from the hills, which picks up effluent from the vehicles and industries along the Parramatta River (1), the pollution becoming increasingly concentrated until the air flows over the Central Business District (3) at the mouth of the river. The sea breeze starts at about this time, gradually becoming a northeasterly which drives the pollutants towards the south-western suburbs. By now the initial pollutants have reacted in the midday sunshine to form ozone, whose concentration is consequently highest in the south-western suburbs (4). The point marked (2) is Homebush, the site of the Olympic Games in the year 2000.



The smoke and gases from vehicles and bush fires, for instance, come effectively from ground level, and vertical dilution depends on the stability of the surface air. The dilution of chimney emissions depends on the temperature of the emergent gases (they rise further if they are hot), on their chimney-top velocity, and on the atmosphere's temperature profile. An inversion layer below the height of the plume actually protects people on the ground from the pollution (Note 7.L). The plume fans out sideways as a thin layer if it is in a stable layer, but loops in vertical eddies downwind if the atmosphere is unstable.

The daily maximum concentration of a pollutant can be often be predicted with useful accuracy by means of numerous previous measurements at the same spot. For instance, today's highest carbon-monoxide measurement (parts per million in the air) might be related to *yesterday's* values of the maximum concentration ( $CO_x$ ) to allow for persistence, the expected wind speed ( $V$ ) at the time of heaviest traffic, the day of the week  $D$  (i.e. the likely traffic density) and the forecast cloudiness (and hence the solar radiation  $R$  at that time of year, which governs surface temperature and therefore atmospheric stability). By collecting sets of values for dozens of days, one can derive statistically the appropriate constants  $a$ ,  $b$ ,  $c$ ,  $d$  and  $e$  in the following equation:

$$CO_x = a + b.CO_x + c.V + d.D + e.R \text{ ppm}$$

Thereafter, tomorrow's concentration can be predicted by inserting appropriate values for  $CO_x$ ,  $V$ ,  $D$  and  $R$ . The same sort of equation can be developed for any pollutant.

## Ozone Pollution

Maximum ground concentrations of *primary* pollutants occur just downwind of the sources (Note 14.G). However, ozone is a *secondary* pollutant, which takes time to form from  $NO_x$  and hydrocarbons (the 'precursor' ingredients), so that its highest concentrations are found some hours downwind of the precursor sources. Thus, the early morning drainage flow eastwards down the industrialised Parramatta Valley into Sydney (Figure 14.13) collects  $NO_x$  and hydrocarbons, so that their concentrations increase in the shallow flow to the sea. A sea breeze in the late morning and afternoon then returns the air towards the south-west of the city (Section 14.2), and ozone has formed by that time, given adequate midday temperatures and solar radiation (**Figure 14.20**). Hence the maximum  $NO_x$  concentration may occur at 8 a.m., whilst most ozone is measured in the afternoon. Figure 14.20 implies that the worst ozone pollution in Sydney is experienced to the south-west, not where the precursors were emitted, upwind in the western suburbs. This is an example of how an understanding of surface winds is relevant to city planning.

## NOTES

- 14.A The wind profile
- 14.B Winds and housing
- 14.C Sea breezes
- 14.D Density currents
- 14.E The return period
- 14.F Dimensions of wind's power density
- 14.G Air pollution

Part V

CLIMATES



## WEATHER AND CLIMATE CHANGE

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### 15.1 WEATHER DATA

Weather data come chiefly from *weather observing stations* on land, and from ships at sea (**Figure 15.1**). Measurements are made of pressure (Section 1.5), temperature (Section 3.1), humidity (Section 6.3), rainfall (Note 10.B) and wind (Section 14.1). Other readings are taken of visibility, lightning and thunder, and cloud cover at three levels of the troposphere. In addition, there were about 600 buoys drifting at sea by 1992, and another 200 or so moored, measuring air and sea-surface temperatures and pressure, and then transmitting the data to passing satellites for later relay to ground receivers. Weather radar is now widely used, especially near cities, to monitor rainfall, wind and temperature (**Note 15.A**).

The number of places where weather data are collected has increased steadily (**Note 15.B**). There were over 13,000 weather stations worldwide by 1994, where observers were taking readings at the same moment, each three or six hours. But only 17 per cent of the places were in the southern hemisphere, where the network of observations remains inadequate because of the vast areas of ocean, ice and desert, and lack of finance (**Table 15.1**).

Weather forecasting requires information on winds and temperature aloft; so wind, temperature and humidity profiles are measured daily at about a thousand weather stations. Wind and temperature are also reported by most large civil aircraft, producing several thousand soundings of the troposphere every day in the vicinity of airports.

Increasing use is made of satellites to fill the large gaps in observations over the oceans and unpopulated land (Figure 15.1). Instruments on the satellites measure cloudiness (Section 8.8), rainfall (Note 10.C), upper-level winds (Section 12.3) and surface winds over the ocean (Section 14.1). Such measurements now outnumber those from weather stations, but the latter remain important: (i) to calibrate satellite instruments, (ii) to provide more accurate data, and (iii) for obtaining guidance in interpreting satellite data.

Measurements are also taken at *climate stations*, where instruments indicate the daily extreme temperatures, twice-daily humidity, daily rainfall and, in some cases, hours of sunshine (Section 2.2), soil temperatures (Section 3.5), pan evaporation (Section 4.5) and dew (Section 4.7). These data are mailed to headquarters at the end of the month for eventual

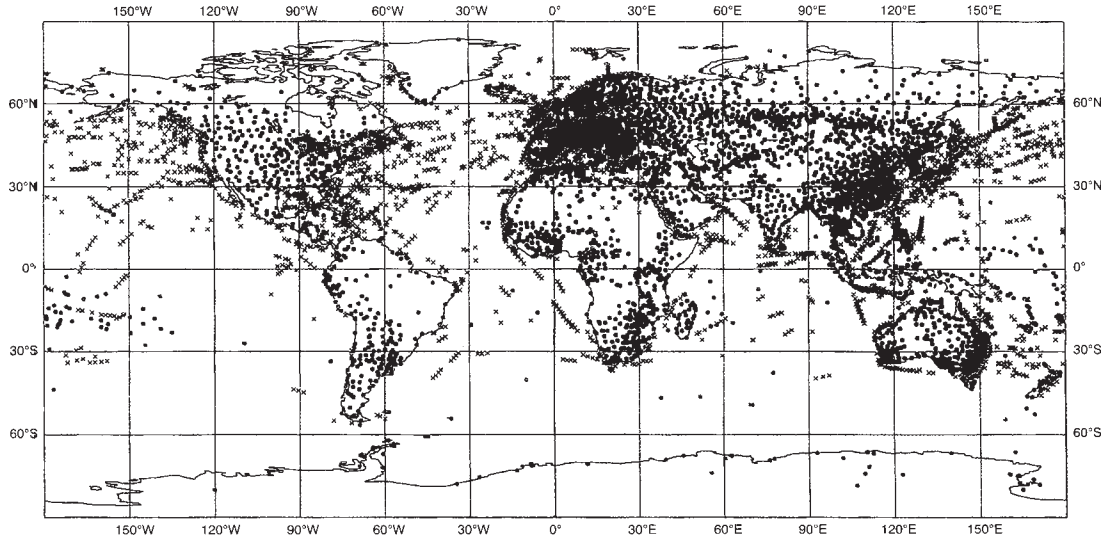


Figure 15.1 Typical daily coverage of surface observations at weather stations and ships.

Table 15.1 Numbers of daily weather stations active in 1994. These stations measure eighteen elements, such as daily mean temperature, extreme temperatures, dewpoint, mean wind speed, maximum wind speed, mean pressure, visibility, total precipitation and snow depth

| Area  | Number |
|---|--------|
| South Africa, Namibia, Botswana and Lesotho | 168    |
| Argentina                                   | 129    |
| Brazil                                      | 342    |
| New Zealand (plus islands)                  | 319    |
| Australia (plus islands)                    | 666    |
| Southern hemisphere                         | 2,369  |
| Northern hemisphere                         | 11,225 |

analysis, whereas information from weather stations is sent immediately for use in forecasting, and subsequently is passed on as climate data.

In Australia, for instance, there are about sixty weather stations staffed by the Bureau of Meteorology, 125 automatic weather stations (eighteen of which are offshore, on reefs and islands), 560 co-operative stations and hundreds

of nearby ships sending data. Upper atmosphere soundings are made from about fifty places regularly. Ozone is measured at five stations, solar radiation at nineteen, and rainfall-intensity measurements are taken at 600 places. In addition, there are over 6,000 voluntary observers of daily rainfall (Note 10.B).

### Data Sets

The longest continuous set of daily data comes from Kew near London, begun in 1773. The longest sets in Australia began at Parramatta in Sydney in 1821 and Perth in 1830, in South Africa at Cape Town in 1841, in New Zealand at Dunedin in 1853, in Brazil at Rio de Janeiro in 1851. Daily measurements have been taken at the Argentine base at Orcadas in Antarctica (at 61°S) since 1903, and at the South Pole since 1957. Unfortunately, almost half the 610 southern-hemisphere stations in 1991 had records for less than twenty years, mostly in South America, and many stations in Africa have closed or record only intermittently.

Likewise, there are only about 60–70 places in the southern hemisphere where upper-atmosphere measurements are taken regularly, as against more than 600 in the northern hemisphere.

## Handling Weather Data

Forecasters compress the information from a weather station by means of an internationally agreed code, which allows data to be sent rapidly by cable or radio, and shared with other weather bureaux. The code is a series of five-figure numbers in a standard sequence agreed in 1982. The information can subsequently be displayed in a standard fashion (**Figure 15.2**) on the corresponding point on a map. Such a map, with figures from many places, is called a *synoptic chart*, providing a snapshot of the weather at the time of measurement. A synoptic chart reveals what weather systems affect the region. For instance, the data in **Figure 15.3** indicate a cold front along a line across which there is a sudden drop in temperature from east to west by about 8 K, a rise in dewpoint by about 6 K and a backing of the wind from north-

westerly to south-westerly. A series of daily synoptic charts like this shows the trends common in the region, and was the best tool available until the 1970s for forecasting tomorrow's weather.

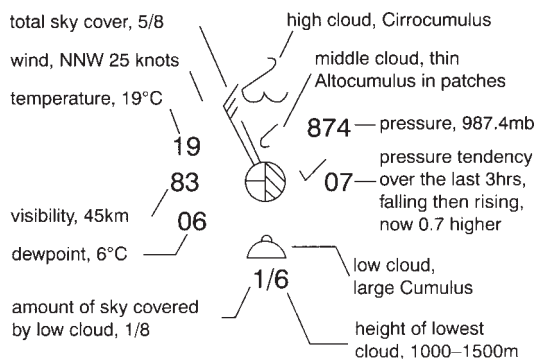
## 15.2 WEATHER FORECASTING

A weather forecast is a statement of what seems probable, not a prediction of what is certain. It can be done in several ways, as follows. Whatever the method, the aim is to do better than either tossing a coin (which gives a 'random forecast') or simply guessing, which depends on the oddities of human prejudice and experience.

### Folklore

Useful guidance is sometimes given by the experience distilled into folklore. An example is a traditional method of forecasting in Peru, for determining when conditions are propitious for planting crops. The method shows remarkable success. It is based on the brilliance of stars (i.e. the air's moisture content) and the occurrence of lightning, the taste of rain, etc. A similarly complicated method is used in Nigeria, based on the behaviour of chameleons, hawks, doves and grasshoppers, the leafing and fruiting of certain trees and selected calendar and astronomical events.

Unfortunately, many weather sayings are worthless. For instance, a belief that can be traced back twenty-five centuries in Europe, that the coming year's weather copies that of the twelve days after Christmas. One reason for folklore being disappointing is its use in places remote from its origin. For instance, a saying about a red sunset promising fine weather tomorrow, common in Britain and fairly reliable there, is less useful in southern continents. The reason is that red skies in Britain result from Rayleigh



*Figure 15.2* Coded information for a report from Christchurch (New Zealand) as displayed on a synoptic chart. The central circle is plotted at the location of Christchurch on the map. Cloud symbols are discussed in Figure 8.11 and wind symbols in Figure 14.4.

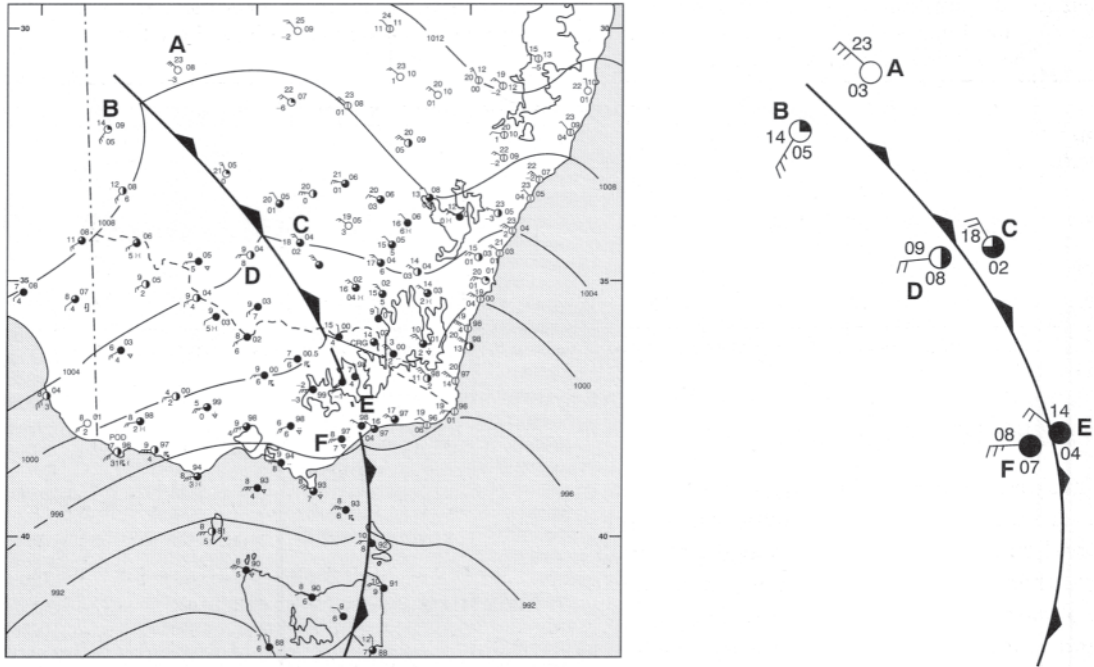


Figure 15.3 A synoptic chart of south-east Australia at 6 UTC (i.e. 4 p.m. Eastern Summer Time) on 23 August 1991. It shows only the wind, temperature, dewpoint, pressure ('04' means 1,004 hPa, '98' means 998 hPa), cloudiness (oktas) and precipitation, according to Figure 15.2. The part on the right shows only the temperature and dewpoint for six points near the cold front.

scattering (Section 2.3) caused by numerous ice particles in the upper troposphere ahead of a *warm* front approaching from the west, whereas such warm fronts are rare at populated latitudes of the southern hemisphere (Section 13–3).

### Persistence Forecasting

The easiest method of forecasting is to assume a continuation of the present—the *persistence forecast*. It is successful where the weather is dominated by processes which last longer than the period of prediction. For instance, it is easy to forecast the rainfall over the next week in a monsoonal area, where rains over several months alternate annually with dry periods of

more months; if it is dry now, we are in a dry period, which will probably continue beyond the seven days we are concerned about. Similarly, there appears to be a 60 per cent chance of a relatively dry month ahead in New Zealand if this month's rainfall is less than average. The chance of thunder tomorrow at Nelson in New Zealand is almost eight times greater if there is thunder today. Other examples of persistence are given in Section 10.4.

The accuracy of persistence forecasting in one country is illustrated in **Table 15.2**, in terms of the 'correlation' between recent and future daily mean temperatures. The shorter the time ahead of forecasting, the stronger the connection, i.e. the more accurate a persistence forecast will be.

Table 15.2 The correlation between the mean of the daily average temperatures over the past 'n' days, and the mean over the coming 'm' days, in Norway; the tabulated values show the correlation coefficient as a percentage

| Number of recent days (n) | Average over the coming m days |       |       |        |        |
|---------------------------|--------------------------------|-------|-------|--------|--------|
|                           | m = 1                          | m = 3 | m = 7 | m = 15 | m = 30 |
| n = 1                     | 70                             | 59    | 51    | 43     | 35     |
| n = 3                     | 59                             | 55    | 49*   | 44     | 35     |
| n = 7                     | 51                             | 49    | 48    | 46     | 35     |
| n = 15                    | 43                             | 44    | 46    | 47     | 31     |
| n = 30                    | 35                             | 35    | 35    | 31     | 21     |

\* That is, the correlation between the mean temperatures of the last three days and the next seven days, respectively, is 49 per cent

### Climatological Forecasting

Whereas persistence forecasting is most accurate over short periods (before factors for change have had time to operate), the best estimate of the weather a long time ahead is the average value of past measurements there at that time of day and year—the *climatological forecast*. Such an estimate evens out the effects of processes which take a shorter time than the period of prediction. This way of averaging-out disturbing processes complements that of ignoring them, which is involved in persistence forecasting, and the average of the persistence and climatological forecasts proves to be notably accurate, as well as simple. In fact, the expense of other methods of forecasting always has to be justified by demonstrating better accuracy than this combined method.

Incidentally, there is no so-called 'Law of Averages', no truth in the idea that a series of above-normal temperatures, for instance, somehow increases the chance of below-normal values in the future in order to maintain the past average. Tossing a series of heads suggests a double-headed coin, rather than the inevitability of tails soon.

### Statistical Forecasting

A statistical forecast uses past records of relevant factors at a place to find equations relating them

to the weather on the following day, and then uses the equations for forecasting. An example is frost forecasting, described in **Note 15.C**. However, the risk of frost is very dependent on the locality (Section 3.6), especially in valleys, so relationships worked out in one place do not apply elsewhere. Regional forecasts of this kind are useful only for radiation frosts (Section 3.6). Another example of statistical forecasting is the prediction of rainfall from values of 500–1,000 hPa thickness (Note 12.E).

Approximate 24-hour forecasts have also been based on sea-level pressure (indicating the degree of uplift, i.e. of cloud formation), the change of pressure (i.e. the approach or departure of a front), the wind direction (which determines the advection of heat and moisture), and the cloudiness, i.e. the amount of solar heating.

A related statistical technique involves a *contingency table* of past observations, relating the frequency of occurrence of certain conditions at a place to earlier measurements at the same place or elsewhere. For instance, there is a strong tendency for heavy rain in northern Victoria (at about 35°S) in the period September–October if air pressures at Darwin (at 12°S) were low during the previous July–August. Likewise, the prediction of the season's number of tropical cyclones around Australia (Section 13.5) may be based on a prediction of the ENSO cycle (as



there tend to be more cyclones during a La Niña, Figure 13.15), the Quasi-Biennial Oscillation (more cyclones when the lower stratospheric winds are easterly, Section 12.3), and the SST around Australia (more cyclones when the SST is above-normal). Since these three factors are all either predictable or varying slowly during a season, tropical-cyclone frequency is fairly predictable.

Statistical relationships between conditions at widely separated places are known as teleconnections (Section 10.7). They can be either simultaneous or lagged, in the latter case they can be used for forecasting. For instance, it is relatively wet in Indonesia and New Guinea during the latter half of most years in which there has been a La Niña episode off Peru (Figure 10.17). In addition to the ENSO cycle, there are other, weaker connections between conditions at different latitudes, e.g. central Chile tends to be cooler and wetter when westerly winds strengthen over the south-eastern Pacific ocean. Also, relationships between conditions at different longitudes (such as the eastwards migration of weather systems, Section 13.3) lead to a tendency for Adelaide's weather to be experienced 12–24 hours later in Sydney, a thousand kilometres to the east.

Statistical methods are much used in long-range forecasting. One problem with statistical forecasting is that it applies only to the place where the data were gathered. Even there, relationships may change, so they need regular updating.

### Analogue Forecasting

A further method of forecasting over two or three days involves comparing today's synoptic chart with thousands of charts drawn in the past to find those most similar, and then assuming that the consequences will be the same. The synoptic charts used may be for the surface or for 300 hPa height, or, preferably, both.

Unfortunately, exact matches are not possible, and the sequels to the nearest approximations to today's chart turn out to differ from each other, so that there is no clear indication of what now to expect. The sequels differ because changes of the atmosphere are essentially *chaotic* (**Note 15.D**). So analogue forecasting has been largely abandoned.

### Periodicity Method

The daily rhythm of warm days and cooler nights, and the annual cycle of rainfall in most places, leads us to look for other regularities of weather. Several were discussed in Section 10.7 in connection with the occurrence of droughts. The Madden-Julian Oscillation was mentioned in Section 12.1. If any were to prove reliable, it would allow prediction. For instance, if there were a 26-week repetition of some feature of weather and if twenty weeks have elapsed since the previous occasion, one can expect another in six weeks' time.

Sometimes there may be several rhythms in combination, creating occasional outstanding maxima and minima. Indeed, detection of four periodicities in parallel within the records of rainfalls in New Zealand since 1900, and then extrapolation into the future, allowed successful prediction in 1980 of the dry period from 1982–85.

It has often been suggested that the weather varies in accord with the eleven-year fluctuation of the annual number of sunspots (**Note 15.E**). The QBO has some influence on tropical cyclone frequency and annual rainfall at various places (Section 10.7) and there may be some effect of the phase of the Moon (**Note 15.F**). But none of these (except perhaps the QBO) is sufficiently regular or pronounced to be useful in weather forecasting. A graph of rainfalls looks remarkably like a graph of random numbers; similar apparent but unreal regularities occur occasionally in both.

## Dynamical Forecasting

Next we consider the method of forecasting currently used by meteorological services around the world, based on calculations of the changes that will occur in each part of the whole atmosphere, starting from as complete a statement as possible of present conditions. This consists of the information available on a synoptic chart of recent surface measurements (Figure 15.3) and on charts of conditions aloft, at the standard levels of 850, 700, 500, 300 and 200 hPa. Deriving these charts is known as the *analysis*. Dynamical forecasting then involves using the analysis to derive a *prognosis*, i.e. charts of the situation to be expected at some specified time in the future.

Until the 1970s, the prognosis was based on empirical rules for modifying the current synoptic chart, and on human judgement. A key feature was the identification of the positions of air-mass boundaries, i.e. the fronts. It was then possible to use the Bergen model (Section 13.3) to estimate subsequent frontal development and movement, followed by inferences of the resulting wind directions and atmospheric uplift, which determine temperatures, cloudiness and rainfall. Such forecasts were reasonable up to about 24 hours ahead, but serious errors were common.

## Numerical Weather Prediction

Nowadays, high-speed supercomputers are used instead to calculate changes to the synoptic chart. As a result, analysis of the weather has become objective, and the prognosis is based on equations which predict the changes of temperature, humidity, velocity, etc. at each point of an imaginary three-dimensional lattice (Figure 15.4). The lattice may consist of perhaps nine layers (representing nine levels of the atmosphere), and a rectangular grid of points in each layer for places separated by 500 km, for instance. Then a simplified set of equations called the *primitive*

*equations* (Note 15.G) is used to describe the basic laws of fluid motion and to calculate changes of conditions. This method of forecasting is called *Numerical Weather Prediction* (NWP) (Note 15.H), providing fresh prognoses each twelve hours, for instance, to show conditions up to five days ahead.

It has to be assumed in NWP that conditions are uniform around each grid point (Figure 15.5), a regrettable simplification necessitated by the limitations of even the largest computers. With point separation (i.e. a 'resolution') of 500 km, a model is unable to allow for the very different climate conditions on opposite sides of the southern Andes, for instance. More importantly, such a 'coarse-mesh' model cannot detect the mountain range itself, though it affects the weather for thousands of kilometres downstream (Note 12.K). And, most importantly, it cannot allow for atmospheric processes smaller than the grid size, such as thunderstorms. To cope with these problems, it is becoming increasingly common for a global model of widely spaced points to be supplemented by an embedded 'fine-mesh model' for a region, of points only 60 km apart at eighteen levels of the atmosphere, say, describing the region's atmosphere in more detail, to obtain more refined prognoses there.

The advantage of NWP is that it avoids errors of human judgement in deriving the prognosis, and can be steadily improved by enlarging the amount and reliability of input data, by new understanding of the physics of atmospheric change, and by faster, larger computers. The speed of computer systems used in NWP has increased tenfold every three years for the last thirty. As a result, errors of four-day forecasts in 1995 were no more than those for 24-hour forecasts in 1980.

## Nowcasting

Regional forecasts for up to 24 hours ahead are now possible, using a computer model with a

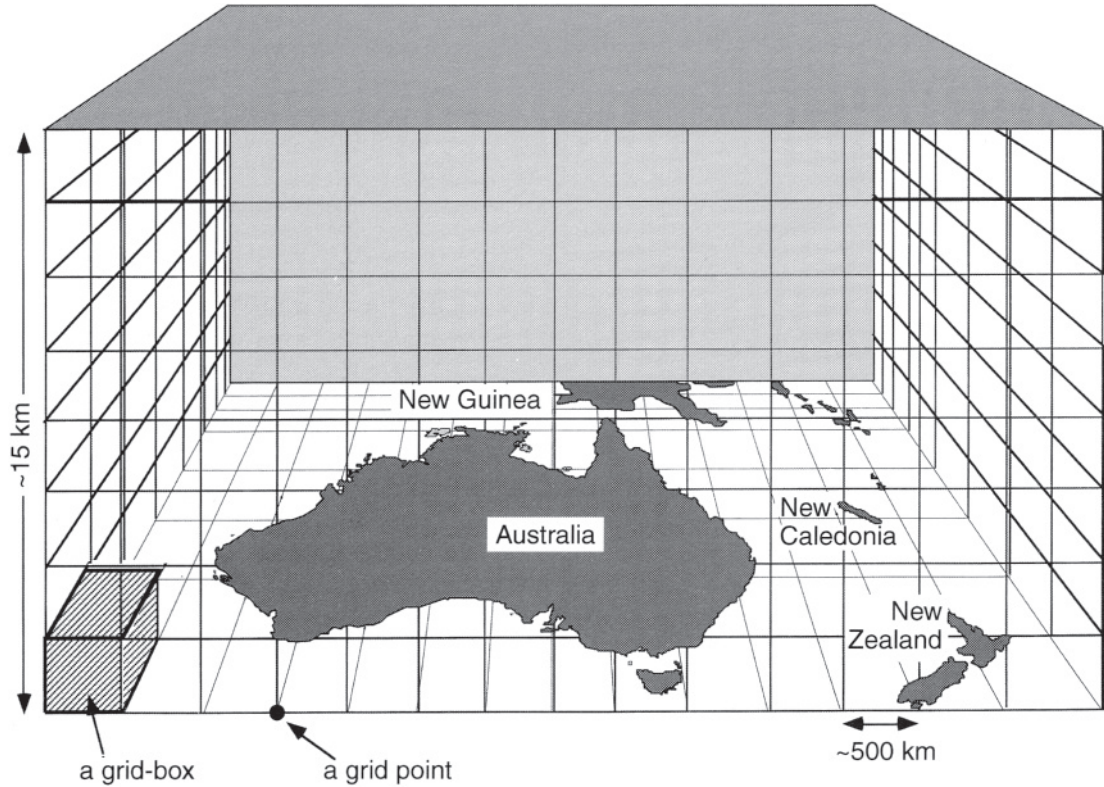


Figure 15.4 Part of a model used for Numerical Weather Prediction, representing a lattice of regularly spaced points which sample the troposphere to 15 km, say. It should be regarded as continuing sideways to cover the whole globe. This is an eight-layer model, but some models have more layers for greater accuracy, if a faster computer is available. The horizontal dimension of a grid box here is about 500 km, but some models have a closer spacing of grid points over the region of particular interest to the forecasters.

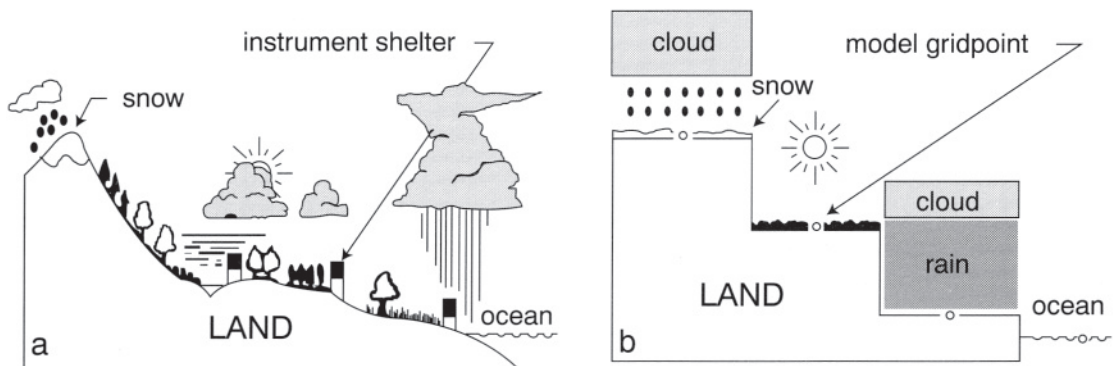


Figure 15.5 Schematic difference between (a) surface conditions in the real world, and (b) the equivalent in a computer model, showing the spatially stepwise description adopted in Numerical Weather Prediction.

local resolution of only 20 km, for instance. Such models can predict the onset of a sea breeze or the time that a cold front passes by, or a squall line (Section 9.5). The accuracy of such 'nowcasts' is limited mainly by the availability of frequent, closely spaced measurements for updating the model. This problem is being tackled by increasing use of data from satellites, aircraft and ground-based radars.

### Short and Medium-range Forecasts

The dynamical NWP method is used for 'short-range forecasts' of a day or two and also for *medium-range forecasts* of 3–6 days, but there remains an essential role for weather forecasters. They have first to compare the prognoses from the models of different organisations (e.g. from the European Centre for Medium Range Weather Forecasts, at Reading in southern England, and from the Australian Regional model in Melbourne), in the light of past success in forecasting local movements of small and large highs and lows at this time of year. Having chosen a prognosis, the forecaster must interpret it in terms of the local weather, which is on a smaller spatial scale, in view of local experience of sea breezes, geography, current satellite pictures of clouds and so on. This interpretation often involves reckoning the positions of fronts; NWP models simulate well the evolution of frontal disturbances (Section 13.3), but are unable to pinpoint where the fronts are, because of their limited resolution. The whole procedure leads to estimates of the likelihood, amount, and type of rain, and daily extreme temperatures. Finally, the forecaster determines the need for warnings of tropical cyclones, thunderstorms, strong winds, bushfire risk, floods, hazard to exposed animals in cold and wet conditions, and so on.

Medium-range NWP models are similar to those for short-range forecasting, but they are global because fast-travelling disturbances, such

as short waves in the jet stream, can circle the Earth in six days. The range of short and medium-range forecasts, especially in midlatitudes, is limited by the lifetime of frontal disturbances being 3–7 days (Section 13.3). It is difficult to predict the condition of a disturbance not yet created.

### Longer-range Forecasts

*Extended-range forecasts* deal with conditions 6–10 days ahead. They are produced by the same NWP models that yield medium-range forecasts, but involve more uncertainty, usually consisting merely of a statement about the rainfall and temperature being above, about or below normal. Similar descriptive forecasts are made for times of 10–30 days (*a long-range forecast*) or 1–4 months (*a seasonal outlook*). These result from a combination of the persistence, climatological, statistical, analogue or periodicity methods described above. Also, use is made of a dynamical NWP model which allows for oceanic processes, which are too slow to be important in short-range weather forecasting.

Meteorological bureaux now regularly provide seasonal outlooks, with an accuracy notably enhanced by our increased understanding of the relevance of the Southern Oscillation, indicated by the sea-surface temperatures, the strength of the Trade winds, the location of areas of deep convection across the tropical Pacific ocean, and the depth of the thermocline (Notes 11.C and 12.N).

### Accuracy

There are several measures of forecasting skill (**Note 15.D**) used to monitor the success of the agencies concerned. The forecast accuracy depends on (a) the feature of concern (such as the rainfall, daily maximum temperature, wind speed, the location of a tropical cyclone, or the

occurrence of a storm, etc.), (b) the length of the *lead time* (the time between the forecast statement and the expected event), (c) the season of the year, (d) the location and (e) the forecasting method used. We will consider these in turn.

- (a) In general, an unusual or a localised event, such as a tropical cyclone or a thunderstorm, is harder to foretell than the ordinary or the extensive, like a high-pressure system. In the case of occasional tropical cyclones, for example, it is inherently difficult to detect them in the early stages, but once a TC is mature the location of its eye and the strength of its circulation can be estimated by satellite. This information is manually entered into NWP models, in order to forecast the TC's future course. This deliberate injection of specific local information is called 'bogussing'. When a TC poses a threat to populated areas, planes are flown into the eye of the storm to obtain more information for bogussing.

Rainfall is the most difficult to forecast because it is patchy in time and space; the 'skill' in three-day forecasts in one American study published in 1981 was 45 per cent for daily maximum temperatures but only 18 per cent for the incidence of rainfall. A common yardstick in assessing the accuracy of prognostic charts is a comparison of estimate against measurement of the height of the 500 hPa level in the atmosphere, or else the pressure at sea-level.

- (b) Obviously, the accuracy of forecasting falls off as the lead time increases. There is a doubling of error for each two or three days of numerical forecasting, and it outgrows the error of climatological forecasts after 10–15 days. The average error in predicting the daily maximum temperature at Melbourne is 1.7 K for a lead time of 24 hours, 2.4 K for 48 hours, 2.9 K for 72 hours and 3.1 K for

four days, compared with 2.9 K for a climatology-plus-persistence forecast.

- (c) Forecasting is easier in any place with a highly seasonal climate, as in a Mediterranean climate (Chapter 16) or in the north of Australia (except during the transition from the Wet to the Dry).
- (d) It is easier to forecast the development of a disturbance where there is a sharp contrast between adjacent air masses. For this reason, forecasting in midlatitudes is more accurate than in the tropics, where steep temperature gradients are rare. Also, there is less difficulty in predicting conditions over a large plain than those amongst the irregularities of mountains or a coastline.

At the coast, errors are less in forecasting the minimum than the maximum temperature, because the latter is affected by the occurrence or not of a sea breeze (Section 14.2), whilst the minimum is stabilised by the ocean nearby. Inland, the possibility of cold air flowing onto a low-lying weather station at night makes forecasting the minimum the more difficult (**Figure 15.6**).

- (e) A test in 1989, of six methods of forecasting thunderstorms within nine hours in Colorado, showed accuracies no better than that of persistence or climatological forecasting.

There have been dramatic improvements in long-range forecasting during the last decade or two, due to our increased understanding of ENSO (Section 12.7). In December 1982, for instance, the New Zealand authorities were able to predict correctly an unusually cool summer, an 80 per cent chance of drought in the following January–March, and abnormal rains on the west coast of the South Island. Seasonal outlooks of the rainfall in early spring for Australian farmers are now correct 70 per cent of the time.

Of course, accuracy is not the only criterion of successful forecasting. Timeliness, lead time and manner of presentation are also important, and all have improved in the last twenty years.

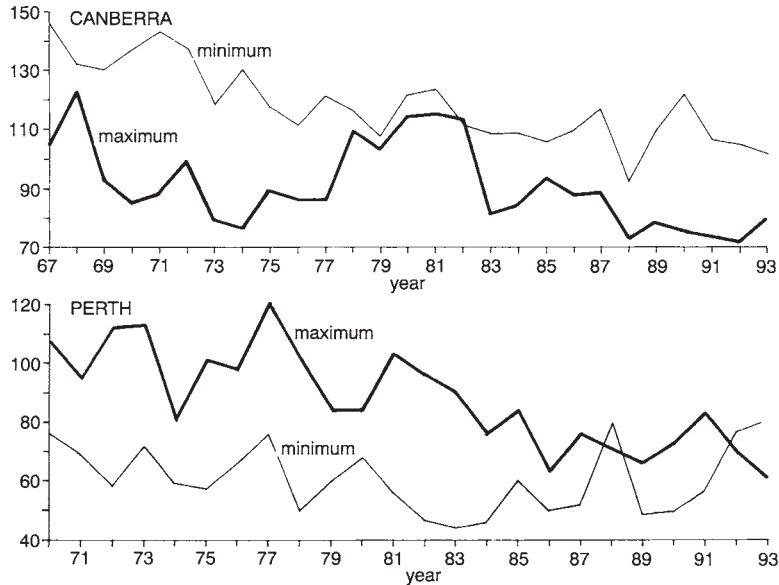


Figure 15.6 Changes in the numbers of days annually when forecast maximum or minimum temperatures were in error by at least 3 K, at coastal Perth and inland Canberra.

### 15.3 PAST CLIMATES

Information on remotely past climates is obtained by means of considerable ingenuity (**Table 15.3**). As an example, layers in 7.6 metres of sediment in a lake near sea-level at 42°S in Chile can be individually dated as far back as 43,000 BP, and the type of pollen in a layer indicates either rainforest (with over 4,000 mm/a of rain) or dry conditions, with less than 1,000 mm/a, compared with the current 2,600 mm/a. (It is notable that the Chilean climates seem to have varied in parallel with those in Tasmania and New Zealand, judging by pollen data there also.)

All such evidence needs careful evaluation. Most of the techniques listed in Table 15.3 are only indirect and inaccurate, and even instrument measurements before about 1900 warrant caution because of differences of equipment and site. Also, the evidence may apply only locally; a high rainfall at one latitude does not necessarily imply wetness everywhere, but perhaps a change of ocean circulation

(Section 11.5), a shift in the global circulation pattern (Figure 12.17) or a change in the track of frontal disturbances (Section 13.3). Another example concerns indications of a fall in sea-level. This might mean less ocean water due to the locking up of water in polar ice in cold periods, or could have been due to rising of the land locally. Likewise, evidence of a dwindling glacier (implying a rate of accretion by precipitation less than the rate of loss by sublimation and melting) might mean either reduced precipitation or more warmth or both, or may be associated with an interlude between periodic surging of the ice. Proof of global changes requires the concurrence of several lines of evidence from several locations.

#### Earliest Climates

Section 1.2 refers to the origin of the atmosphere. Since then, fossil algae in the oldest sedimentary rocks (Table 15.3) and evidence

Table 15.3 Some methods of assessing past climates (BP means 'before present', i.e. before 1950)

| <i>Data</i>             | <i>Variables measured</i>               | <i>Region</i>            | <i>Time (years BP)*</i> | <i>Climatic inferences†</i>                   |
|-------------------------|---|--------------------------|-------------------------|---|
| Sedimentary rocks†      | Appearance and fossil content           | -                        | At least 100 million    | Rainfall and sea-level                        |
| Geomorphic features     | Shape and elevation of terrain          | -                        | 10 million              | Temperature, rainfall, and sea-level          |
| Ocean sediments         | Types and isotopes of plankton fossils§ | Oceans                   | 10 million              | Sea-surface temperature                       |
| Ice cores               | Ash and sand                            | Shallow oceans           | 200,000                 | Wind direction                                |
|                         | Depth and isotopes of layers            | Antarctica and Greenland | 200,000                 | Temperature, precipitation and solar activity |
| Lake sediments          | Varves¶                                 | Midlatitudes             | About 100,000           | Temperature and rainfall                      |
| Pollen type**           | Species amount                          | 50°S–70°N                | 100,000                 | Temperature, rain                             |
| Ancient soil type       | Composition                             | Low and midlatitudes     | 100,000                 | Temperature, rain                             |
|                         | Length                                  | Global                   | 20,000                  | Temperature, precipitation                    |
| Archaeology             | Various                                 | Global                   | Over 10,000             | Various                                       |
| Boreholes††             | Temperature                             | Various                  | About 10,000            | Temperature                                   |
| Tree rings##            | Ring width                              | Mid- to high latitudes   | 8,000                   | Temperature, rain                             |
| Proxy records\$\$       | Phenology, sailing logs etc.            | Europe and Asia          | Over 1,000              | Various                                       |
| Instrument measurements | Various                                 | Global                   | 300                     | Various                                       |

- \* This is the earliest time that can be assessed by means of the given technique. See **Note 15.1** about radiometric dating of evidence.
- † Sedimentary rocks are those deposited initially as grains of sand, dust, etc., turning over the course of time into shale, etc.
- ‡ The sea-level is an indirect indication of global temperature, since a low level means low temperatures when water is locked up in polar ice.
- § Columns of sediment drilled from the sea bed contain shells, including those of microscopic snail-like creatures called *foraminifera*. Various species flourish near the surface of the sea according to the temperature there. Also, they contain oxygen, which occurs in two isotopes— $^{16}\text{O}$  is most common,  $^{18}\text{O}$  is rare. The ratio of  $^{18}\text{O}/^{16}\text{O}$  is greater when the water vapour (which eventually deposits as ice) forms over a warmer sea surface.
- || Ice cores display the annual cycle, through variations in acidity and concentrations of dust (there is more acid and dust in winter), especially in Greenland. Extremely cold years whose dates are known from other evidence act as markers in the ice-core record. Cores have been drilled through the Antarctic ice cap as deep as 3 km, indicating conditions over 200,000 years. Shorter cores have also been retrieved from the ice on Mt Kilimanjaro in Africa and some high peaks in the Andes.
- ¶ Varves are layers seen in lakes fed by meltwater, examined by a technique developed in Sweden. A layer of relatively coarse texture is deposited in spring and summer, from material brought in streams from the melting snow and ice. A thin layer of clay settles each autumn/winter when the melting stops, there is no streamflow and the lake freezes over. The various sediments deposited in one year constitute a varve. The layer's thickness and coarseness are greater if extra warmth increases the rate of melting and hence the streamflow. In one example, a sediment 16 m thick contained 250 varves, showing conditions over that number of years. Sediments from various lakes can be correlated by finding similar sequences of thin and thick layers, and overlapping records provide an extended history of the climate.
- \*\* The typical habitat of the dominant species of plant suggests the type of climate at the time.
- †† See Section 3.5
- ‡‡ The tree ring is wider in a wet year for a tree growing in an arid climate, but for a warm year for one in a cold climate. The temperature may also be inferred from the ratio of  $^{13}\text{C}$  (i.e. the carbon isotope with seven neutrons, Note 15.1) to normal  $^{12}\text{C}$  in the wood.
- §§ Proxy records are a substitute for climatic data, i.e. historic records that reveal climatic fluctuations. For instance: the wheat price in Europe (since AD 1200), the height of the Nile in Cairo (since AD 622), the blooming date of cherry trees in Kyoto, Japan (since AD 812), the occurrence of sea-ice off Iceland (since AD 860).





*Plate 15.1* Evidence on past climates can be derived from the analysis of ice, and the bubbles of air within it, from various depths in Antarctica. The photograph shows a rod of ice being handled in an ice cave, after having been extracted by means of a vertical hollow drill mounted at the surface. The drill may be many hundreds of metres long, allowing the examination of ice of great age.

from later times all suggest that the climate of the globe *as a whole* has never differed by more than a few degrees from what it is nowadays. Despite this, there have been important changes of climate, such as the *Ice Ages* when ice sheets covered north-western Europe and much of Canada, and the locking up of water as polar ice lowered sea-levels by as much as 150 metres. The Ice Ages occurred around 700 million years before the present (i.e. 700 mBP), 300 mBP, and during the last 2 mBP (**Figure 15.7**).

The climates of places changed greatly when the Earth's crust shifted and cracked apart, millions of years ago. Australia was in the 'tropics' 340 million years ago (**Note 15.J**) and

grew the lush vegetation which became coal. Africa was over the South Pole in 300 mBP. What is now the middle of Australia was at 50°S by 280 mBP, and cold enough for considerable glaciers. Australia separated from the Indian subcontinent around 130 mBP, and the present Simpson Desert was under water between 110–65 mBP. New Zealand separated off around 50 mBP and Antarctica some 20 million years later, deflecting the ocean currents which affect climates. Australia is currently drifting northwards at a rate of about two centimetres annually.

The global mean temperature around 3.5 mBP was about 3 K higher than now. This period (the 'Pliocene Climatic Optimum') was followed

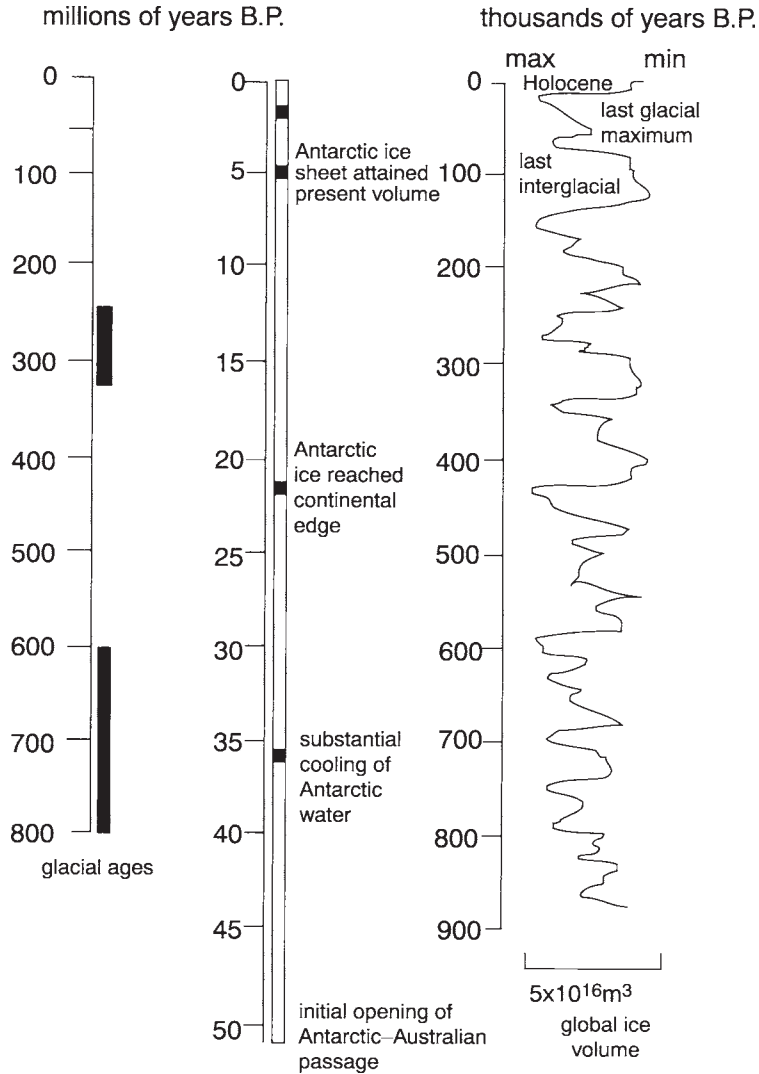


Figure 15.7 Variations of global climates since 800 mBP.

by a gradual cooling, culminating in the latest Ice Age. Temperatures in the southern hemisphere during the coldest part of this Ice Age were 3–10 K lower than now, depending on location.

### Pleistocene

The 1.8 million years since the last Ice Age constitute the *Quaternary period*, a time too brief for continental drift to be an explanation of considerable variations of climate. The period

is divided into the *Pleistocene* until 10,000 years ago (which more or less coincided with the evolution of human beings since *Homo erectus* in Africa in 1.9 mBP), and then the more recent *Holocene*.

There have been several major cold periods called *glaciations* (Figure 15.7) in the last 900 millenia, i.e. since 900 kBP. Each lasted about a hundred millenia and culminated in a notably cold time, along with discernible minor coolings each forty and twenty-two millenia, attributed to the Milankovic variations of the Sun's orbit (Section 2.2). The glaciations have alternated with warmer *interglacial periods*. Some of the switches between the alternative regimes appear to have been remarkably rapid, e.g. 5 K in a hundred years. As far as we can tell, our present era, the Holocene, is just another interglacial period.

The interglacial previous to the current one is called the *Eemian interglacial* and lasted some 20,000 years, until about 120 kBP. Temperatures in Europe were around 2 K warmer than now. There was more rain, and hippopotamus wallowed in the Thames. The increase of Antarctic temperatures was closely paralleled by an increase of carbon dioxide in the atmosphere from 190 ppm to 280 ppm. Also, Antarctic ice was greatly reduced in area, so that sea-levels were about 6 m higher than nowadays. The abrupt end of that warm period was possibly triggered by a change in the direction of the ocean conveyor belt in the North Atlantic (Figure 11.19).

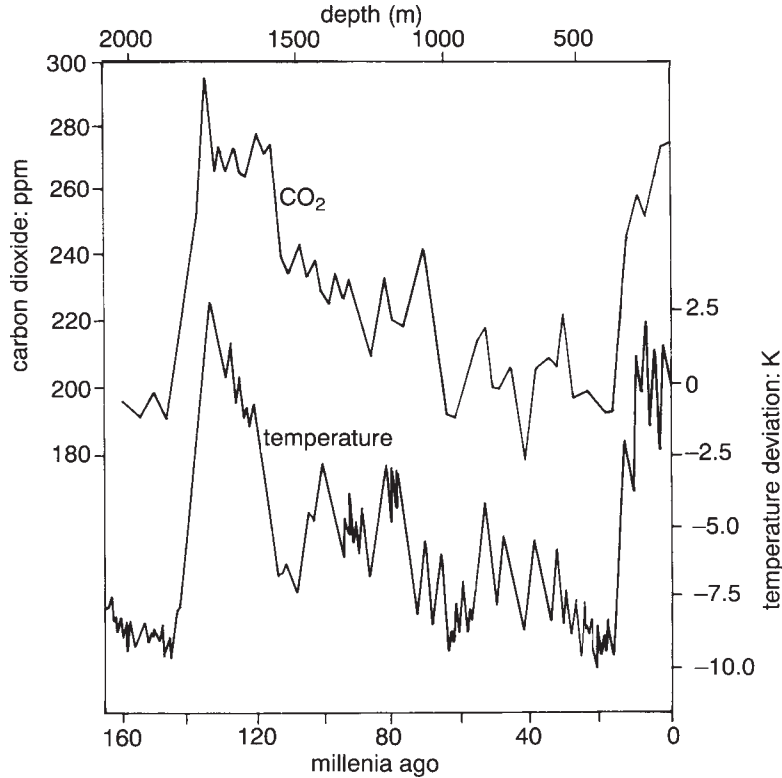
The subsequent most-recent glaciation involved cooling from 120 kBP until about 20 kBP (**Figure 15.8**), with a parallel reduction of carbon dioxide, suggesting a greenhouse effect (Sections 1.3 and 2.7). The overall lowering of temperature by about 8 K did not progress steadily, but consisted of six minor coolings, each followed by a smaller warming. The eventual cooling at 20 kBP froze so much water on glaciers and at the poles that the sea-level had fallen to about 120 m below what it is now.

This facilitated human migration across the globe as early as 40 kBP, in particular into South America via a land bridge between Asia and America (now the Bering Strait) and into Australia across what is now the Torres Strait. New Zealand was a single island.

The cooling had several other consequences. Glaciers were probably active in the Snowy Mountains in south-east Australia in 30 kBP, and South Africa seems to have become wetter after 32 kBP. Global-average temperatures were about 5 K lower than at present by 20 kBP, but 6–8 K lower in South America, 5–8 K in the southern half of Australia and about 10 K lower in Antarctica, causing polar ice to extend as far north as 45°S at some longitudes, i.e. to much lower latitudes than nowadays (Figure 11.7). Ice covered much of Tasmania in 18 kBP. Even in the tropics the average cooling was about 4K, or yet more in the tropical highlands, so that the treeline in Papua New Guinea was about 1,000 m lower than now.

At the same time, there was extensive aridity in South Africa and Australia; much of the Simpson Desert of sand dunes in central Australia was formed around 18 kBP and lake levels were low. Most of South America also had less rain. The general aridity during the cold period is attributed to a reduced area of ocean and reduced evaporation from a cooler water surface (Note 4.C).

The Earth's orbit around the Sun gradually changed between 18–10 kBP, causing a 6 per cent decrease of summertime radiation and a similar increase in winter, notably at high latitudes. So polar mean temperatures had increased by about 11 K around 15 kBP. South Africa was initially wetter than now (during 17–15 kBP), but the climate became arid during 12–10 kBP, when there was a global cooling by about 1.5 K for 500 years or so (a period called the 'Younger Dryas', **Figure 15.9**). Australia was also drier than now (except inland in the south-east), probably on account of a shift southwards in the latitudes of the



*Figure 15.8* Changes in the carbon-dioxide concentration in air bubbles within ice from various depths (i.e. times since 160,000 BP) beneath Vostok, Antarctica, along with the temperature during bubble formation. The temperature is estimated from the ratio of the amount of 'heavy' oxygen (with a molecular weight of 18) to that of normal oxygen, with a molecular weight of 16 (Note 15.L). The ratio depends on the global sea-surface temperature at the time the bubbles were trapped.

subtropical anticyclones (Section 13.6) and the midlatitude westerlies, in addition to weaker year-end monsoons in northern Australia. The sea was around 90 metres below present levels in 15

## Holocene

The relatively warm times since 10 kBP are known as the Holocene, the era of humanity's domination. The warming led to a rise of sea-level, so that only the northern tip of Queensland was joined to Papua New Guinea by 8 kBP. At

that time, New Zealand was wetter on the west side than now, while the east side was drier. Lake levels worldwide were high during 8–6 kBP, South Africa was relatively wet, Australia became wetter than now and global temperatures continued to rise. The time of highest global temperatures is known as the *Altithermal* (or Climatic Optimum). It occurred during 5–6 kBP in the northern hemisphere, but may have been a thousand years or so earlier in the southern. Temperatures during the Altithermal were about 2 K higher than now in New Zealand and Papua New Guinea, for instance, but tropical sea-surface temperatures were no higher than now. (Climate

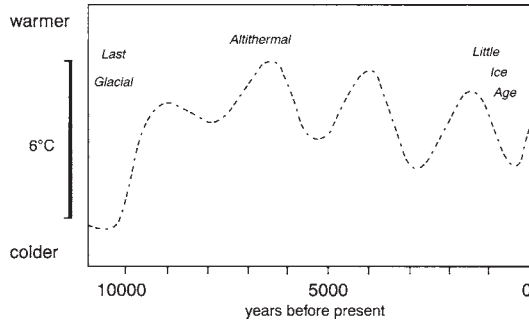


Figure 15.9 Greatly generalised variation of global climates during the Holocene, showing the Younger Dryas cold spell between 11–10 kBP, and a general warming after 10 kBP, except for four cool spells of which the last was the Little Ice Age.

changes have generally been greatest at high latitudes.) The sea had risen to 3–10 m below the level nowadays.

Western Australia was wetter than at present in the later part of the Altithermal, most lakes in Australia were relatively full, and rainforest replaced drier vegetation over much of northern Australia. Similarly in New Zealand, the southeast coast of South America and the South African coast opposite Madagascar. Simultaneously, there was *dry* warmth in Argentina and parts of south-east Australia.

There was cooling after the Altithermal until about 3,000 BP, and then temperatures were about 2 K lower than now for the next two millennia, at least in south-east Australia.

### The Latest Two Millennia

Relative warmth prevailed in Europe between AD 900–1200, with temperatures a fraction of a degree above those now. Stalagmites in New Zealand, caves dating from AD 1200 indicate an average temperature of 10.2°C, compared with 9.4°C now, and tree rings in Tasmania also indicate this *Medieval Warm Period* (or ‘Little Climatic Optimum’).

Annual layers within 160 m of ice at 5,670 m on top of Quelccaya in South America (Section 3.2) indicate little of the normal November–April deposition of snow around AD 700, and during AD 1050–1500, but wet conditions from AD 1500–1700 followed by dryness till 1850. Conditions were colder than now during the period 1540–1880, but then there was an abrupt warming within a couple of years.

A worldwide cooling occurred between about 1450 and 1850, called the *Little Ice Age* (LIA). It appears to have been the fourth of similar cool periods, spaced almost equally apart since the last glacial period (Figure 15.9). The LIA happened to include the time of the Maunder Minimum, when there were hardly any sunspots, and the end of the LIA coincided with the termination of the second period of few sunspots, the Dalton Minimum (Figure 2.8). There was an increased difference between summer and winter on top of Quelccaya, i.e. less moisture in the colder air of winter along with more melting in summer. Tree rings in Chile confirm that the LIA occurred there at about the same time as in the northern hemisphere, though the evidence of cooling is less striking. New Zealand stalagmites from that period indicate temperatures only about 0.7 K cooler than now, and glaciers there were longer than usual. Some parts of the world were drier and some wetter during this time of cooling. Nowhere was the LIA continuously cold: it was simply a period which included many cold episodes.

### Indications

This brief survey of the patchy and confusing evidence on past climates leads to the following provisional summary:

- 1 The temperature of the globe as a whole has varied within at most 10 K, for millions of years. Climates during recent centuries are

- amongst the warmest during the Quaternary period.
- 2 However, conditions at any spot have varied considerably. The climate of a place is not fixed, if we look beyond the experience of a single generation.
  - 3 Temperatures (and by implication rainfall) can change rapidly from those of an Ice Age to those of an interglacial, or vice versa. Ice-core data from Greenland imply a switch in less than a hundred years, or perhaps only thirty. There is much to be explained about this process.
  - 4 Changes of climate have not been uniform around the globe. They appear to have been greater at high latitudes than at low, and in the northern than the southern hemisphere.
  - 5 Cool times tend to mean dry times in most places, presumably because of less evaporation from the oceans (Section 4.2). Thus, buried desert sand-dunes in tropical Africa and Australia extend beneath the present sea-level, so they were formed when the sea was low during a glaciation but when the land was dry. Nevertheless, the relationship between aridity and temperature is not simple; inland dryness could also arise in warm periods because of increased evaporation from the ground.

### Possible Causes of Change

There appear to be several factors causing alterations of climate, all acting together:

- 1 There is the random element inherent in the atmosphere and in the occurrence of volcanic eruptions.
- 2 There are the regular rhythms due to the Earth's spin and its orbit around the Sun, which account for daily and seasonal changes, modified by persistence. Milankovic variations of solar radiation (Section 2.2) are unlikely by themselves to cause much climate alteration

- but they may have triggered positive feedbacks sufficient to cause change (e.g. Note 2.J and Note 7.A). There are also other processes not quite so regular, like sunspot fluctuations (Section 2.2), the rotation of oceanic gyres (Section 11.5), the Southern Oscillation (Section 12.7) and the continuous processes of mountain building and erosion. In addition, there are occasional surges of enormous amounts of ice from the Antarctic glaciers into the sea, which might account for sudden coolings in the southern hemisphere, lasting for years.
- 3 There may be alternative patterns of energy flows between the atmosphere, hydrosphere, cryosphere and biosphere, each stable within limits but triggered into another regime by a sufficient perturbation. The Walker circulation (Figure 12.17) is an example of a system with two alternative, almost self-maintain-ing, sets of wind and ocean temperature conditions. If this analogy is applicable to the atmosphere as a whole, it would be useful to know how great an excursion from the normal can be accommodated without setting off a catastrophic change, such as a run-away greenhouse effect due to more of the Earth's oceans being converted to water vapour, which is a greenhouse gas.
  - 4 In addition to chance, rhythmic processes and the possible onset of an abrupt acceleration of existing trends as a result of positive feedbacks, there is now another factor affecting climatic change. This is the influence of human activities, such as urban heating (Section 3.7), alteration of the surface albedo and roughness by deforestation and agriculture, and modification of the air's chemical composition by urban or industrial air pollution (Section 14.7).

### 15.4 CLIMATES IN THE TWENTIETH CENTURY

There appears to have been erratic global warming between 1900–40 (**Figure 15.10**),

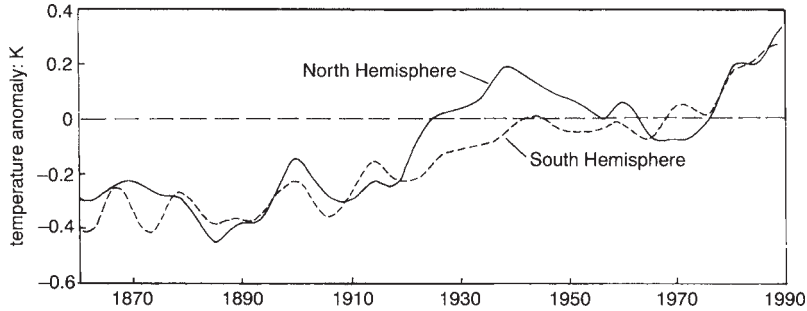


Figure 15.10 Recent changes of hemispheric average temperatures, showing departures from the 1951–80 average.

unlikely to have been due to any enhanced greenhouse effect, as fossil-fuel consumption was modest compared with present rates and the  $\text{CO}_2$  concentration was hardly changed from about 310 ppm. Warmth around 1920 may have been associated with a reduction of the atmospheric ‘dust veil’ caused by volcanoes (Note 2.G). But New Zealand experienced a relatively cold period from 1900–35, so changes were not uniform.

There followed a cooling by about 0.3 K between 1940 and 1970 in the northern hemisphere, probably due to increased pollution of the air by sulphates from the burning of coal. Sulphates convert to sulphur aerosols, which act as cloud condensation nuclei (Section 8.2), leading to more cloud droplets, i.e. a higher cloud albedo, so that more solar radiation is reflected away. This explanation is supported by the absence of any cooling trend between 1940 and 1970 in the southern hemisphere (Figure 15.10), where much less sulphate was created. Another explanation is that the apparent cooling is not real, but due to the relocation of many weather stations to airports during the 1950s, from within cities, which are often warmer (Section 3.7).

Frosts were more frequent at Quito (on the equator in Ecuador) during the period 1940–60, and temperatures remained steady or fell at Punta Arenas (53°S in Chile) until 1972 (Figure 15.11). Tree rings in Tasmania show a decline

of summer temperatures during the period 1900–46 and then an equal rise by 1970, which continues (Note 10.D).

### Recent Global Warming

Surface temperatures have increased lately at most places on Earth, especially since 1979. From that date, the rise has averaged 0.09 K/decade globally, and in eastern Australia about 0.2 K/decade between 1950 and 1990. The rise seen in **Figure 15.12** for Sydney, especially since 1966, may be due partly to urban growth (Section 3.7).

The average warming during the period 1951–93 was 0.15 K/decade in the southern Pacific region, being more in winter than summer, and at night than during the day. The annual mean daily minimum rose over the forty-two years, by 0.4 K at places in Queensland away from the coast, and by more than 0.2 K over half the continent. The result is that daily ranges of temperature are now less, as in the case of South Africa (Figure 3.15) and elsewhere.

There has been a falling number of frosts within Australia, especially in outback Queensland. Tree rings in west Tasmania show unprecedented growth since 1965. Also, there was a latitudinal shift during the period 1975–92 of the subtropical *jet* in the upper troposphere,

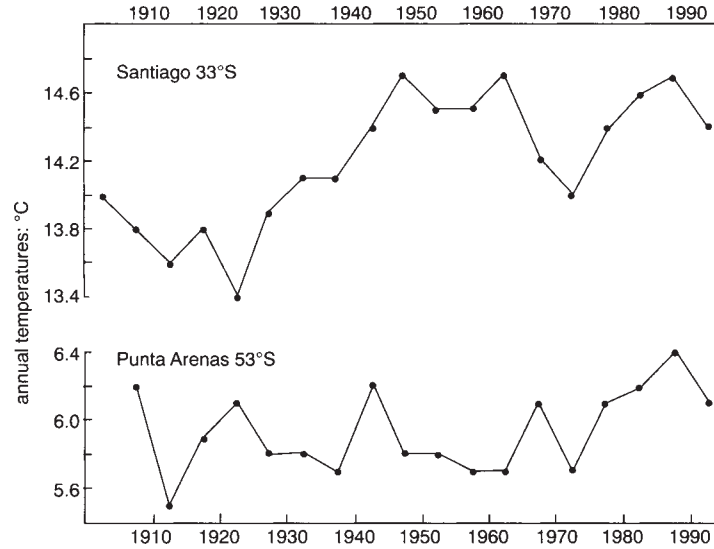


Figure 15.11 Annual mean temperatures at Santiago (33°S) and Punta Arenas (53°S) in Chile.

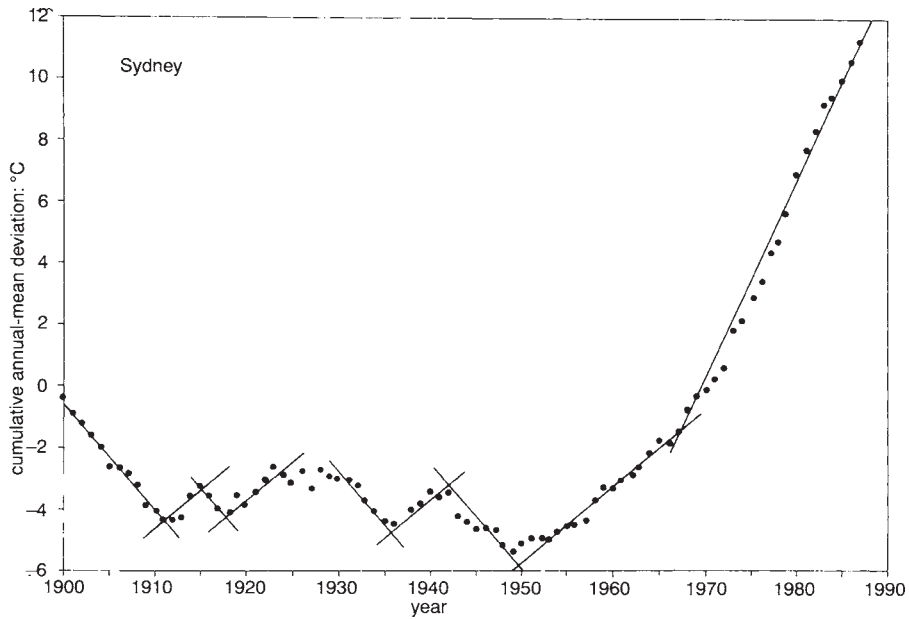


Figure 15.12 The variation of the 'cumulative deviation' of the annual-mean temperature from the long-term average of 17.6°C at Sydney, as in Figure 10.10.



from 26°S to 28°S, indicating an expansion of (warm) low-latitude climates. In accordance with this is the increase of summer rainfall over eastern Australia since 1953.

Reliable indications of global warming come from outside Australia too. New Zealand's surface temperatures show a rise of about 0.7 K since 1900. Sea-surface temperatures at 43°S off Tasmania have risen steadily since 1949, and a similar rise has been observed off Auckland in New Zealand.

Almost all the world's glaciers are in retreat. For instance the Franz Josef glacier in New Zealand shrank rapidly between 1935 and 1980, except for a few short-lived advances (**Figure 15.13**). A glacier at nearly 5 km elevation on Mount Jaya near the equator in Irian Jaya has almost disappeared, with a rise of the snowline from 4,400 m to 4,750 m between 1936 and 1993. The sea-level rose by 13 cm between 1910 and 1980, chiefly as a result of a rise of global sea-surface temperatures by about 0.5 K, with consequent thermal expansion (Note 11.B). There have been increased temperatures at Santiago in Chile, though not at Punta Arenas (Figure 15.11). Thirteen of fifteen weather stations around Antarctica have shown warming,

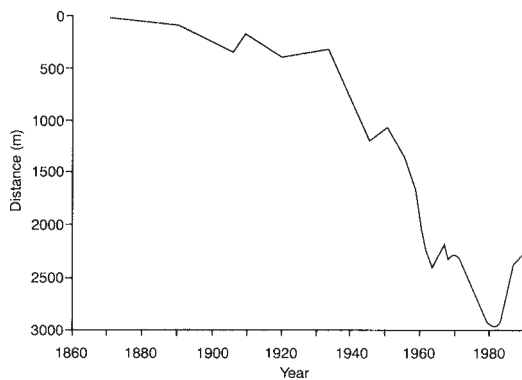


Figure 15.13 The position of the snout of the Franz Josef glacier in New Zealand, showing the distance of retreat since 1868.

the overall figure during the period 1956–88 being about 0.3 K/decade.

Unfortunately, establishing long-term trends is still complicated by year-to-year fluctuations. Apparent changes of climate during the past century have to be judged against the background of normal inter-annual variation. A typical standard deviation of annual mean temperatures of about 0.5 K (Section 3.2) makes it difficult to be confident about trends of smaller magnitude. Also there is the confusion caused by much larger warming temporarily in some places, as a result an El Niño, e.g. by 6 K along part of the South American Pacific coast in 1982/83, raising the global mean temperature in 1983 by 0.2 K above values in the four surrounding years. Conversely, the eruption of the volcano on Mt Pinatubo in the Philippines in June 1991 produced a veil of dust in the lower stratosphere, reflecting more sunlight than normal and therefore cooling the Earth during the following twelve months or so (Note 2.G), once more obscuring any warming trend.

## Cloudiness and Rainfall

There has been an increase of cloudiness in many places. For example, average cloudiness over Australia increased from 39 per cent at the beginning of the century to 49 per cent in 1980 (Figure 15.14). Such an increase globally could be explained as due to more evaporation from warmer oceans. The effect of increased cloudiness (at low levels) would be to offset some of the greenhouse warming by reflecting solar radiation away to space, though increased humidity at high levels acts as a greenhouse gas, enhancing the warming. The matter is receiving much study.

There have been erratic changes of rainfall over the last century (Figure 10.8), so that the standard deviation of annual rainfalls is generally much more than any trend. Nevertheless, some

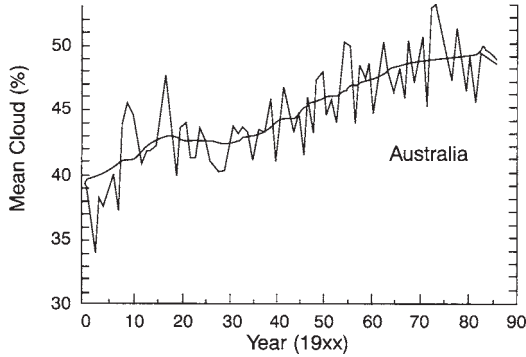


Figure 15.14 The increase of cloud in Australia.

changes are discernible. Much of southern Africa has experienced a drying trend since 1950, whereas South America has received more rain (Figure 15.15). Summer precipitation in south-east Australia increased from 1895 for the next fifty years, with an opposite trend of winter rainfall. The annual number of raindays has

increased in south-eastern New South Wales (Table 15.4).

Part of the explanation of recent changes of rainfall is the abrupt alteration in the character of ENSO events since the 1970s. Prior to that, La Niña episodes lasted about as long as El Niño episodes, but El Niño episodes since 1977 are more frequent and more persistent, with only occasional excursions into the La Niña phase. The continuously negative Southern Oscillation Index from 1990 to 1995 was unprecedented in 120 years of record.

### 15.5 FUTURE CLIMATES

Estimates of climates in the future depend on the relative significance of the factors causing past changes (Section 15.3), including the random element. It appears that random events such as

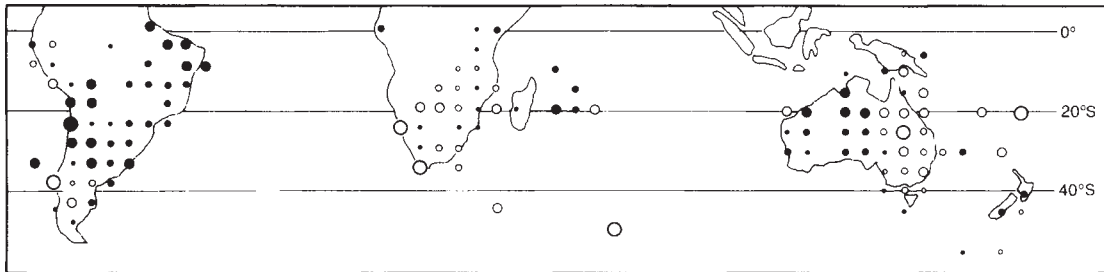


Figure 15.15 'Relative trends' in precipitation between 1950 and 1993, i.e. the change expressed as a percentage of the average precipitation during that period. For instance, if the average rainfall between 1950 and 1993 was 1,000 mm/a and a gradual decrease of 100 mm/a occurred during that period (e.g. from 1,050 to 950 mm/a), then the relative trend is about 10 per cent. The largest black circles mean a relative trend of 200 per cent, the next largest 100 per cent, the next 50 per cent, the next 25 per cent and the smallest 10 per cent. Solid rings imply increases and hollow rings decreases.

Table 15.4 Changes of the annual number of raindays at places on the southern tablelands of New South Wales

| Intensity (mm/day) | 1880-1900 | 1901-20 | 1921-40 | 1941-60 | 1961-80 | 1981-90 |
|--------------------|-----------|---------|---------|---------|---------|---------|
| >0                 | 89 days/a | 87      | 93      | 101     | 109     | 109     |
| >30                | 3.5       | 3.1     | 3.7     | 3.9     | 3.8     | 3.0     |

volcanic eruptions have an appreciable effect on the atmosphere (Note 2.G), but only for a year or two. Cyclic processes such as the Milankovic variations operate slowly. The impacts of human society are of increasing importance.

### Effects of Human Society

People are likely to determine future climates in various ways. An extreme instance is nuclear warfare, which would entail the horrors of airborne radioactivity, possibly damage the stratospheric ozone layer, and maybe force enough aerosols into the stratosphere to exclude the sunshine to the extent that temperatures fall and crops are seriously depleted (Note 2.G). More certain will be the impacts of population growth and industrialisation, producing greater urban heating in the swollen cities and more atmospheric pollution by chemicals and dust. Sulphate pollution from the burning of coal is particularly threatening, because of the impact on cloud formation and hence global temperatures (Sections 8.9 and 15.4). It also leads to acid rain (Section 10.1). So it is a matter of concern that increasing amounts of coal are being burnt, especially in developing countries.

More profoundly, there is a fear that the carbon dioxide and similar gases (Section 2.7) that we produce in increasing amounts (Figure 1.2) will eventually create a dangerous degree of enhanced greenhouse heating (Note 2.L). Emissions are likely to be three times the 1990 rate by the year 2100, if the world population doubles, as seems probable, and if there is moderate economic growth without strong pressure to reduce the emissions. In the meantime, the effect on the atmospheric concentration of carbon dioxide seems likely to be a doubling (compared with 1900) by the middle of the next century. To prevent such an increase, it was resolved at an international conference in Rio de Janeiro in 1992 that emissions should be cut back to 1990 levels by the year 2000. The chances of that being

achieved seem slender, especially in developing nations, though the increase of emissions had been cut back from 1.5 ppm/year in the 1980s to just below 1 ppm/year in 1995, and a similar slowdown has been measured for methane.

The effects of the expected increase of carbon dioxide can be estimated in various ways. Firstly, consider the remarkable dependence of global temperature on CO<sub>2</sub> concentration during the last 160,000 years (Figure 15.8), implying future warming. Secondly, extrapolate twentieth-century changes, which have shown warming recently (Figure 15.10). Thirdly, calculate the change of temperature from the expected effect on the loss of longwave radiation to space (**Note 15.K**), which again suggests global warming. Fourthly, note that computer simulations of future climates by means of GCMs (Section 12.6) all indicate a rise of global temperatures.

### GCMs

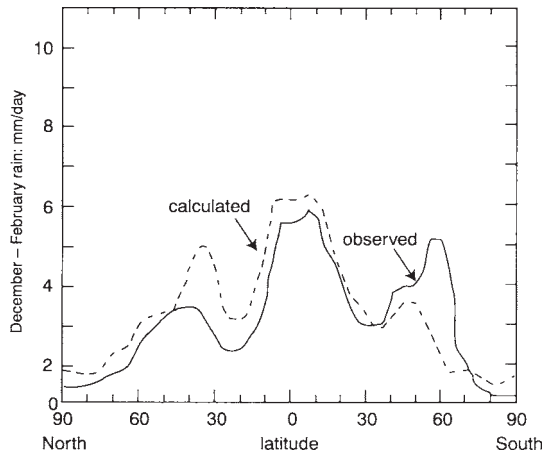
A general circulation model (or *climate model*) is basically the same as a NWP model except that the GCM is set to follow developments over many years, not a few days, at the expense of spatial and temporal detail. (A wide spacing of the points which sample the climate, and a long time step between recalculation of changes of temperature, etc., are both needed to reduce the number of calculations, to permit working out climates decades hence, in a reasonable time.) A climate model uses the same equations as a weather model, starting from present January-average conditions, for instance, and particular attention is paid to processes which are too slow to be important in weather forecasting, such as changes of land or ocean-surface conditions. Modern models (there are about a score of different GCMs in the USA, Australia, Europe and elsewhere) are much improved on those used by pioneers in the 1970s. They now allow for a *gradual* increase of CO<sub>2</sub> concentration, for the other greenhouse gases, for sulphate aerosols, for the daily cycle of

solar radiation, for the interaction between atmosphere and ocean, etc.

The models are used for ‘what-if?’ questions, e.g. what would the general circulation be like *if* the carbon-dioxide concentration were doubled? The answers achieved are made credible by the success of **GCMs** in the following ways:

- (a) in representing current patterns of pressure, temperature and rainfall (**Figure 15.16**), and their variability,
- (b) in simulating the climates of about 9,000 BP, when the seasonal variation of radiation was different because of the altered orbit around the Sun (Section 2.2), and
- (c) in reproducing the global response of the atmosphere to perturbations such as El Niño and volcanic eruptions.

It is notable that every GCM shows the inevitability of global warming from a doubling of carbon dioxide. Though estimates of the amount of warming vary within the range 1.5–5 K, the range is being narrowed, converging towards 3 K or so. This is approximately equivalent to the difference between the annual



*Figure 15.16* The degree to which the output of a modern GCM reproduces the actual variation of zonal-mean rainfall with latitude.

means of Wellington and Auckland, or Canberra and Adelaide, for example.

The various models differ chiefly in their estimates of future rainfalls in particular regions, because of uncertainty about the effects of changes of cloudiness at either low or high levels. Some models, but not all, indicate that twice the carbon dioxide implies fewer raindays around 30°S in Australia, but more rain on each rainday, leading to a 10 per cent increase overall. Indeed, one would expect an increase of precipitation as a consequence of more evaporation from warmer oceans.

## Impacts

Higher average temperatures are likely to increase the frequency of days hot enough to affect mortality (Note 3.C). The increase in the case of Alice Springs, for instance, can be estimated by comparing the number of hot days in past years of different average temperature (**Figure 15.17**). The increase would be less at places by the sea, where daily maxima are limited by sea breezes (Section 14.2). So a general warming by 3 K at Brisbane would increase the number of hot days by only 5.4 annually, and at Melbourne would increase the annual number from the present eight, to fifteen.

Higher sea-surface temperatures might reduce the subsidence in the north Atlantic which drives the global oceanic conveyor belt (Section 11.5). In that case, there would be considerable alterations to the distribution of heat around the world, with consequences hard to foresee, but possibly comparable to the switching of climate at the end of past Ice Ages.

Evidence in Sections 15.3 and 15.4 indicates that warming in the past has gone hand in hand with increased rainfall at many places. Other clues to future rainfalls can be obtained by comparing rainfalls in the five warmest years during the period 1925–74, for instance, with the pattern in the five coldest; it appears that some places get

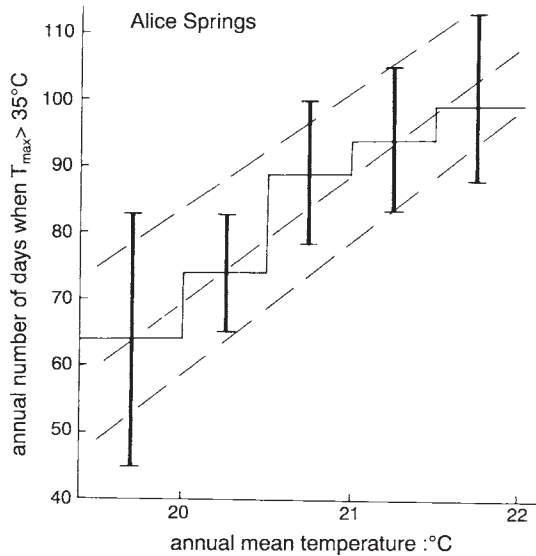


Figure 15.17 The connection between annual average temperature and the number of days when the daily maximum exceeds 35°C, at Alice Springs (24°S).

less rain, notably in summer in important grain areas in central USA and much of Europe and Russia. Another method of assessment is to consider rainfalls during the Altithermal period, some 6,000 years ago, when temperatures in Europe were 2–3 K warmer than now (Figure 15.9). This, too, indicates relatively dry conditions in North America but wetter conditions in some other areas, including western Australia. It is clearly unsafe to plan future water supplies, irrigation schemes, hydro-power engineering, etc. on recent climate data alone.

### Sea-levels

Sea-levels were about 5 m higher than now during the previous interglacial period 120,000 years ago, and over 100 m lower at the depth of the Ice Age at 18 kBP (Section 15.3). So future global warming must lead to the sea rising, chiefly as the result of the increased melting and calving of icebergs from Greenland and the West Antarctic icecap, and,

more immediately, thermal expansion of the sea above the thermocline. Sea water expands by roughly 0.02 per cent per degree (Note 11.B), so a layer of 500 metres warming by 1 K on average would rise by 100 mm, perhaps at a rate of about 1 mm/year. This approximates the rate measured at Wellington over the last century. However, any rise may be offset initially by the accumulation of snow in Antarctica due to increased precipitation following more evaporation from warmer oceans. The exact rate of rise is still uncertain, though it is important to people living on coral islands and in Bangladesh and Holland, and eventually to the vast populations living in coastal cities.

### Effects on Agriculture

The patchy warming and either increase or decrease of precipitation that seem to lie ahead will affect agriculture, again in an irregular way. Some high-latitude areas, where greenhouse warming is expected to be most dramatic, will have enhanced yields because of greater warmth and fewer frosts, and some semi-arid areas because of additional rain. C3 crops (e.g. wheat and rice) will be stimulated by the higher carbon-dioxide concentration (Note 1.B). But the enhanced evaporation that results from warmth removes soil moisture, tending to reduce crops and extend the deserts. Also, higher temperatures promote insect attack, weeds and disease, and accelerated crop development in warm conditions allows less time before maturity for collecting the radiation which determines the harvest (Note 2.I).

Comparisons of the crops of warm and cool years in the last few decades, calculations based on computer models of crop growth and experiments on plants grown in controlled conditions, all indicate that global warming due to twice the carbon dioxide might *reduce* yields of wheat and maize by 5–10 per cent in northern America, Europe and New Zealand, for example. It has to be remembered though that such figures

ignore the impacts on crops of possible changes in the frequency of extreme events, like droughts, floods and strong winds, and also the mitigation achieved by crop adaptation, selection and breeding.

In short, global warming has to be taken seriously. There are still gaps in our understanding of the process, and uncertainties about its consequences, though rapid progress is being made. Even with the caution traditional in scientific investigation, we can say now that the evidence of the last few decades is 'not inconsistent' with the prospect of considerable changes due to the enhanced greenhouse effect.

In the next chapter we turn from speculations about the future to consideration of the present climates at places in the southern hemisphere.

## NOTES

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- 15.B Places of weather measurement
- 15.C Frost forecasting
- 15.D Feedback, chaos and unpredictability
- 15.E Sunspots and forecasting
- 15.F Effects of the Moon's phase
- 15.G Primitive equations for weather forecasting
- 15.H Numerical Weather Prediction
- 15.I Forecasting skill
- 15.J The 'tropics'
- 15.K Radiative equilibrium and global warming
- 15.L Radiometric methods of dating past climates

## SOUTHERN CLIMATES

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**16.1 INTRODUCTION**

The purpose of this chapter is to illustrate how climates in the southern hemisphere can be ‘explained’ (to quote the book’s title) in terms of what has been discussed in previous chapters, mostly concerning weather. Climate is the product of prevailing weather, as mentioned in Section 1.1, i.e. of the shifting highs and lows which occur at particular latitudes and linger in particular regions (Figures 12.1 and 13.16), creating average patterns of pressure (Figures 1.8 and 12.7) and winds (Figures 12.1–2, 12.4–6 and 12.12).

As regards geographical factors, we have seen that the climate of any place depends chiefly on the following six:

- 1 the hemisphere, which determines the warm season outside the Tropics, or the wet season between them;
- 2 the latitude, which controls daylength (Table 2.1), annual mean temperature (Section 3.2), seasonal range (Section 3.3), rainfall (Table 10.1), and prevailing winds (Section 12.1);
- 3 the elevation, which influences the amount

of UV radiation (Section 2.6), the net radiation (Section 2.8), mean temperature (Section 3.2) and, to a lesser extent, its daily and annual range (Sections 3.3 and 3.4), as well as the precipitation (Section 10.3), whilst the location upwind or downwind of a mountain range determines rainfall and affects temperature (Note 7.E);

- 4 the ocean circulation (and hence the pattern of sea-surface temperatures), which affects conditions at the coast (Table 11.2);
- 5 the distance downwind of an ocean, which governs annual and daily temperature ranges (Section 3.4) and rainfall (Section 10.3); and
- 6 the local topography, which influences the temperature (e.g. frost hollows, Section 3.6) and local airflow (Section 14.3).

These relationships are summarised in **Table 16.1**.

We will now consider climates in general, in terms of their classification, and then the effects of the six factors mentioned above on the climates of the main land areas of the southern hemisphere.

Table 16.1 The effects of geographical factors on climate elements. For instance, an increase of elevation lowers the temperature except in a valley bottom at night. '(S3.2)', for instance, means that further information is given in Section 3.2. '(T1.1)' refers to Table 1.1.

| Climate scale<br>(T1.1) | Geo-<br>graphical<br>factor | Radiation<br>(S2.4) | Mean temp.<br>(S3.2) | Annual<br>range (S3.3) | Atmos. uplift<br>(S7.4) | Precipitation<br>(S10.3) | Water<br>vapour<br>pressure<br>(S6.4) |
|-------------------------|-----------------------------|---------------------|----------------------|------------------------|-------------------------|--------------------------|---------------------------------------|
| Global                  | Latitude                    | Various             | Less *               | More                   | Various                 | Various                  | Less                                  |
| Synoptic                | Elevation                   | More                | Less                 | –                      | More                    | Various                  | Less                                  |
|                         | SST upwind                  | –                   | More                 | –                      | More                    | More                     | More                                  |
|                         | Distance<br>inland          | More                | Various              | More                   | –                       | Less                     | Less                                  |
| Meso and<br>topo        | Landform†                   | Various             | Various              | –                      | Various                 | Various                  | –                                     |
| Micro                   | Surface<br>condition        | –                   | Various              | Various                | –                       | –                        | Various                               |

\* That is, an increase of latitude is associated with a lower temperature

† That is, slope, orientation, albedo, wetness, runoff

## 16.2 CLIMATE CLASSIFICATION

Surface data collected as described in Section 15.1 allow us to summarise the climate of a place in terms of average conditions and deviations from them. Particular attention is usually paid to monthly mean values of the daily-mean temperatures and daily extremes, along with the monthly rainfall.

The next step in condensing the flood of numbers that arise in continuously measuring the weather everywhere is to group *homoclimes*, places with similar climates. This imposes orderliness on the information, and stimulates wondering about the reasons for differences. Climate classification is also directly useful, e.g. in choosing crops for a particular climate—one selects from those grown in homoclimes. Or, to take a converse instance, there was the problem in Colombia of finding areas suited to the growing of tea, which became the problem of finding topoclimates in Colombia which resemble (in terms of monthly rainfall and mean temperature) those of tea-growing regions elsewhere in the world.

There are several ways of grouping similar climates, according to how we define 'similar'. Which criteria to use depends on the problem. If you are concerned with human comfort (Section 6.5), you would group places in terms of temperature and humidity. But rainfall would be more relevant if you are a farmer in an arid land. We will consider some of the criteria that have been used.

### Latitude as the Criterion of Climatic Similarity

This is the oldest system, dating from the origin of the word 'climate', which is related to 'incline', as in the 'declination' of the Sun (Section 2.2). A tropical climate is found where the noonday Sun is inclined high in the sky.

Figures 3.4 and 10.3 indicate the effects of latitude on temperature and rainfall, by and large. But the effects of altitude and proximity to the sea (Sections 3.2 and 10.3) are ignored in using latitude alone as the criterion for classification.



### Temperature as Criterion

Grouping places according to temperature was done in effect by annual-mean isotherms on maps of the world by Alexander von Humboldt in 1817, Alexander Supan in 1879 and Wladimir Köppen in 1884. An alternative is to categorise by the number of months when temperatures exceed the lower limit for plant growth, or the number of frost-free months, or the number of growing-degree days (Note 3.D). But this overlooks the importance of rainfall to plants.

### Rain as Criterion

Alexander Voeikov classified climates in 1874 according to the seasonal incidence of rain, leading to a world map in 1884, identifying areas of various degrees of wetness. Such a classification is useful in warm dry countries, where moisture is the main factor limiting growth. (Thus the preoccupation with rainfall in Australia, whereas Europeans are concerned about temperature and radiation.) An example is the Goyder Line in South Australia, which demarcated parts suited to growing wheat (Section 16.6). Elsewhere, the ratio of months with rainfall less than 60 mm to the number with over 100 mm has been used as a criterion for deciding where rice can be grown without irrigation.

An analogous criterion is *aridity*, a vague concept which takes in evaporation as well as rainfall. It may be expressed by the ratio of rainfall to the potential evaporation,  $P/E_o$ . A climate is 'arid' (i.e. the soil is dry) when the ratio is low. For instance, a comparison of Figure 4.12 and Figure 10.12 indicates that the ratio is less than 0.1 in much of Australia's interior.

The temperature is often taken as proxy for the evaporation rate in assessing aridity, since  $T$  and  $E_o$  are closely related (Section 4.3). So  $P/E_o$  is replaced by the ratio of rainfall to temperature

$P/T$ , where  $T$  is in degrees Celsius. But there are several other variants of either  $P/E_o$  or  $E_o/P$ , using net radiation or saturation deficit as a proxy for  $E_o$ . Categorisation of climates more explicitly in terms of soil moisture was introduced by Warren Thornthwaite in 1948, though it involved an unsatisfactory method of estimating the evaporation.

### Vegetation as Criterion

Natural vegetation reflects the climate as a whole, and has been used as an indicator of it. Of course, vegetation also depends partly on the soil type, but that too is influenced by the climate. So coconut palms grow only where the monthly mean temperatures always exceed 18°C, pasture exists only where annual mean temperatures are above -2°C and trees where it is over +2°C, and the boundary of 'saltbush country' in Australia coincides with the isohyet of 200 mm during April to November. The association between vegetation and climate has led to the diagram in **Figure 16.1**. Temperature decreases vertically (a measure of increasing latitude or altitude) and rainfall increases diagonally, towards the lower right. As a result,  $TIP$  (or  $E/P$ , i.e. soil dryness) increases along the other diagonal, towards the lower left. Thus 'desert scrub' is found where rainfalls are between 125–250 mm/a and the  $E/P$  ratio is above unity, i.e. the soil is dry on average.

The disadvantage of classifying climates according to the vegetation is that plants depend on other things also (competition, soil type, nutrient level, slope and so on), not only on climate. As a result, the classification is only approximate. **Table 16.2** shows what a wide range of climates is associated with each kind of vegetation found in Australia. Thus, a climate with January/July rainfalls of 100/10 mm and temperatures 30/20°C can sustain either savanna woodland or tropical rainforest.

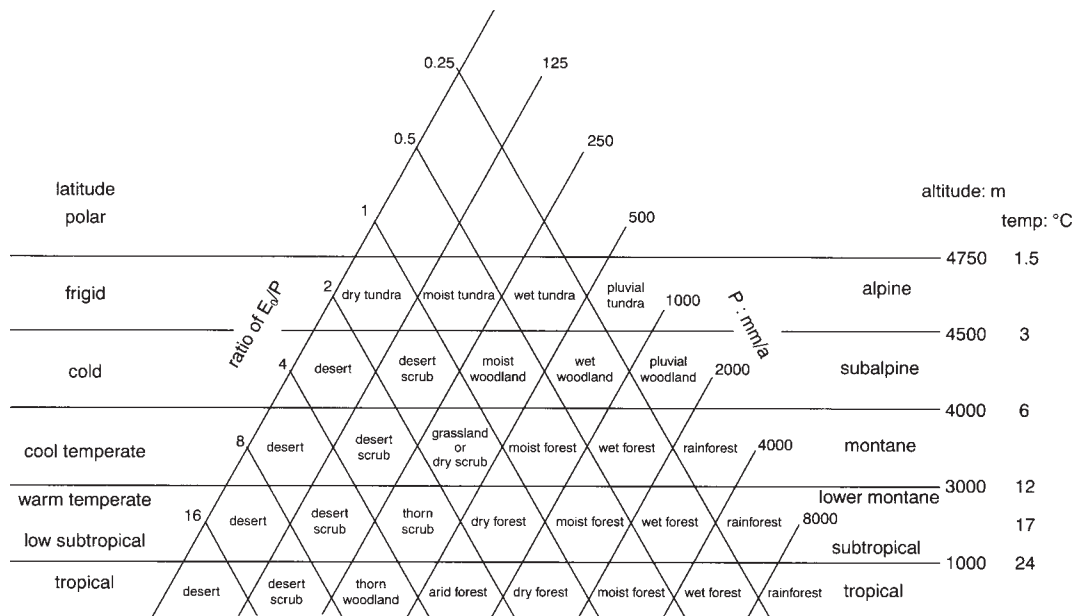


Figure 16.1 Stratification of vegetation with altitude as a function of annual rainfall  $P$ . The ratio  $E_0/P$  is a measure of aridity.

Table 16.2 January and July conditions associated with various kinds of vegetation found in Australia, in descending order of January rainfall. The range shown in each box results from about ten values, whose rounded-off median is shown bold

| Natural vegetation         | Rainfall (mm/month) |            | Monthly mean temperature (°C) |            |           |           |            |           |
|----------------------------|---------------------|------------|-------------------------------|------------|-----------|-----------|------------|-----------|
|                            | January             | July       | January                       | July       |           |           |            |           |
| Tropical rainforest        | 45–529              | <b>290</b> | 6–128                         | <b>35</b>  | 21.7–32.3 | <b>27</b> | 10.2–22.5  | <b>18</b> |
| Savanna woodland           | 81–430              | <b>220</b> | 1–39                          | <b>8</b>   | 22.4–30.8 | <b>28</b> | 9.0–25.5   | <b>23</b> |
| Shrub savanna              | 63–265              | <b>130</b> | 0–36                          | <b>3</b>   | 26.9–32.2 | <b>31</b> | 11.8–22.2  | <b>19</b> |
| Mallee                     | 63–265              | <b>130</b> | 23–104                        | <b>55</b>  | 18.5–28.6 | <b>24</b> | 9.5–14.3   | <b>11</b> |
| Temperate rainforest       | 47–147              | <b>90</b>  | 84–320                        | <b>200</b> | 12.1–15.4 | <b>13</b> | 2.1–9.4    | <b>4</b>  |
| Dry sclerophyll forest     | 10–166              | <b>75</b>  | 42–174                        | <b>70</b>  | 16.7–24.3 | <b>22</b> | 5.1–13.0   | <b>9</b>  |
| Savanna grasslands         | 45–134              | <b>70</b>  | 2–22                          | <b>10</b>  | 29.6–32.3 | <b>31</b> | 15.1–22.0  | <b>17</b> |
| Wet sclerophyll forest     | 17–116              | <b>60</b>  | 38–185                        | <b>60</b>  | 14.9–21.3 | <b>20</b> | 6.3–13.7   | <b>10</b> |
| Temperate woodland         | 11–69               | <b>50</b>  | 28–93                         | <b>45</b>  | 14.5–26.8 | <b>23</b> | 4.8–10.2   | <b>9</b>  |
| Alpine                     | 37–133              | <b>50</b>  | 48–258                        | <b>100</b> | 13.3–16.9 | <b>15</b> | –2 to +8.4 | <b>6</b>  |
| Desert                     | 20–89               | <b>35</b>  | 6–46                          | <b>15</b>  | 26.7–32.3 | <b>30</b> | 10.9–18.1  | <b>13</b> |
| Temperate semi-arid steppe | 10–78               | <b>25</b>  | 13–25                         | <b>15</b>  | 25.5–30.3 | <b>28</b> | 10.2–13.0  | <b>11</b> |
| Shrub steppe               | 11–28               | <b>20</b>  | 9–34                          | <b>15</b>  | 23.8–31.3 | <b>25</b> | 8.7–13.2   | <b>12</b> |

## Köppen's Classification

Wladimir Köppen (1846–1940; his name is pronounced 'kerpen') first classified climates at the age of 24 and continued to refine his system until he was 90. His 1918 version is probably the most significant, and the most widely used nowadays. It involves grouping climates according to the kinds of vegetation present, using conditions at the boundaries of trees, for instance, as limits for the various categories (Note

16.A). As an example, South America's climates are shown in **Figure 16.2**.

About 20 per cent of the world's land has climates within class A (including 9 per cent as rainforest in 1987), 26 per cent in B, 15 per cent in C, 21 per cent in D and 17 per cent in E. D climates do not occur in the southern hemisphere; they characterise the climate on the large land masses between 45–65°N. Seventy-seven per cent of Australia's population live where the climate is labelled Cf, affecting 10

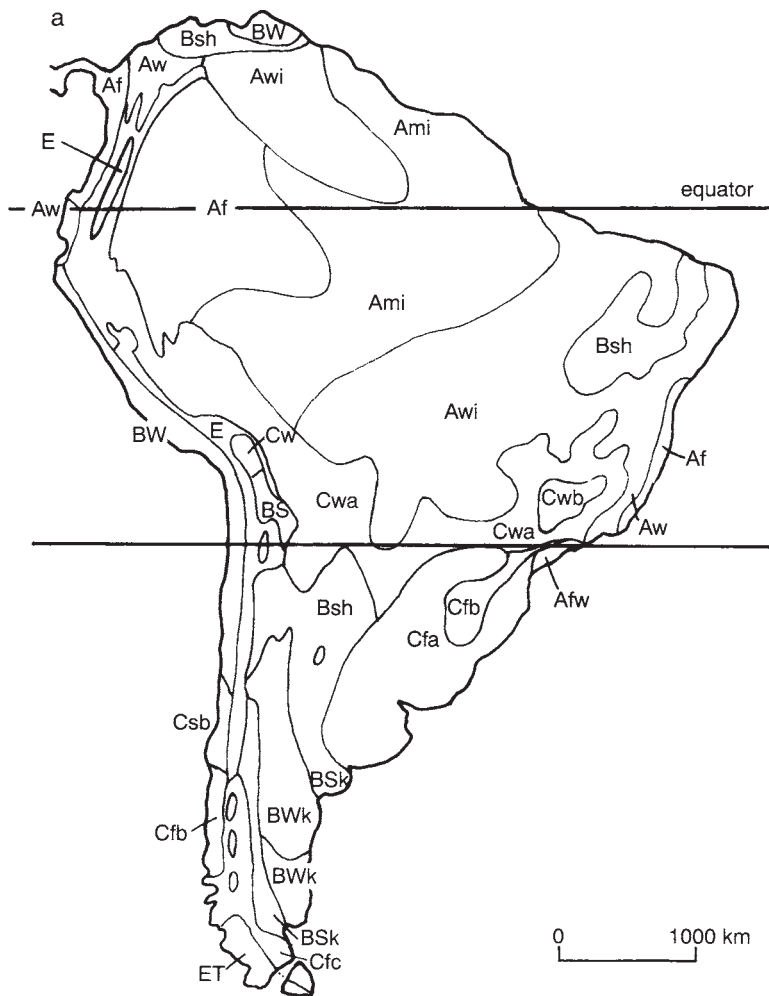


Figure 16.2 Köppen classes of climates of South America.



*Plate 16.1* The strength of the Antarctic katabatic wind at the coast (in December 1991) is shown by the angle of lean in this photo, taken in the Vestfold Hills at 68°E.

per cent of the continent's area, and another 15 per cent live within Cs areas, occupying 3 per cent of the land, where Cf and Cs are parts of the C category (Note 16.A).

on a cause of climate, not an aspect, a description or a symptom. Nevertheless, it is little used, because the definition of air masses is somewhat arbitrary.

### Air-mass Frequency as Criterion

The climate of a location is the aggregate of the kinds of weather that prevail. So Tor Bergeron proposed in 1928 that places be classified according to the frequency with which they are affected by various air masses (Section 13.2). This is exemplified in **Figure 16.3**, which shows, for example, that Perth (in Western Australia) is governed by maritime polar air masses from June through September. The logical advantage of this method of classification is that it is based

### Agglomerative Classification

Nowadays there are sophisticated statistical procedures for grouping places with similar climates. One is '*cluster analysis*', based on the numerical differences between climatic variables at different places, such as the annual extreme temperatures, seasonal rainfalls, frequency of hail, etc. Dozens of such climatic characteristics of each place may be considered. Classifying then involves grouping the places to minimise differences between the variables of any pair of

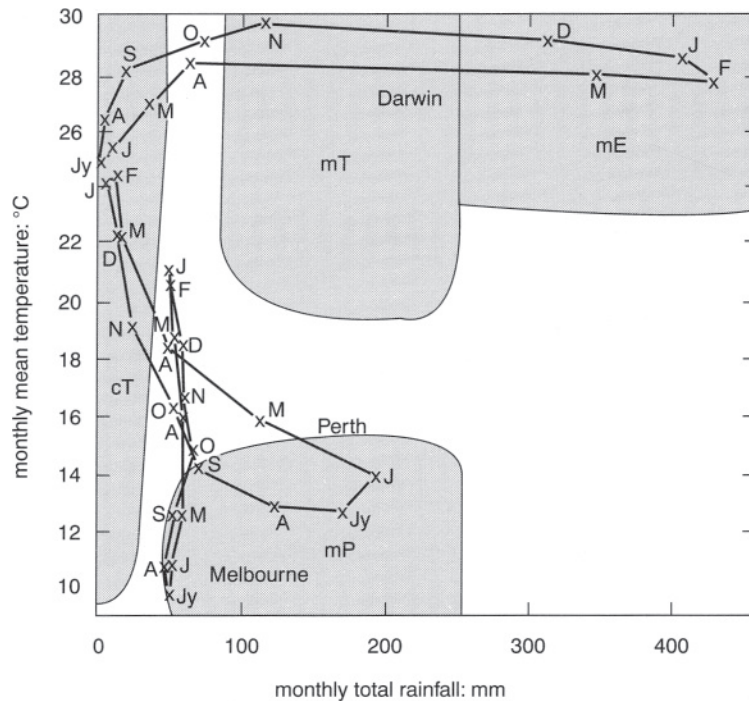


Figure 16.3 Thermohyet loops for Darwin, Perth and Melbourne, showing principal air masses influencing climates in each month.

them within each group. The arbitrariness of the selecting of which climatic variables to consider can be reduced but not avoided by another procedure called *principal component analysis*, which has been used widely since about 1980. The groupings that result depend on which procedure is adopted.

## Problems

Classifying climates has several of the problems common to the whole science of classification, *taxonomy*. They include the following:

- 1 It is necessary to make an arbitrary decision about the number of categories to use. Too few and there are more frequent problems in allocating odd cases. Too many and you lose the advantage of simplification.
- 2 There is a suggestion of abrupt differences at the lines on a map defining the zone of each group. A way round this is to express similarity as a number, rather than in terms of yes/no criteria (**Note 16.B**).
- 3 Any hierarchical system (like that of Carl von Linne in 1735 for classifying plants, and that of Howard for clouds—see Section 8.3, and Köppen's climate classification) involves judging which attributes are of first rank of importance and which of second, etc.
- 4 No allowance is made for the variability and extremes which are important in many fields.
- 5 Insufficient distinction is made between the mesoclimate sampled by a climate station and the microclimate which affects crops and people.
- 6 Students of climatology often mistake allotting a class label to a climate as somehow explaining it. Köppen's classification, for instance, is merely descriptive, not an explanation.

## Homoclimes

The problems just listed make some looseness unavoidable when matching homoclimes. Places

within the same class do not have precisely the same climate. Nevertheless, it is at least interesting that central Chile has some resemblance climatically to the area around Cape Town in South Africa, and to the south-western corner of Australia, and that south-east Brazil is a homoclimate of Madagascar. A knowledge of the climate at one place provides a feeling for what to expect at corresponding places in the same class.

The practical usefulness of the concept of homoclimes is evident in the following examples.

- 1 Beetles specialising in the burial of pellets of sheep dung in Australia were sought and found in homoclimes in southern Europe and the south-west of South Africa.
- 2 Correlations between conditions at fifty-four stations in Colombia and those in eleven tea-growing areas around the world, showed that five stations in Colombia have climates like those in part of Malaysia. So those five places were identified as possibly suitable for growing teas introduced from that area in Malaysia.
- 3 The transfer of bananas and sugar-cane from their origin in south-east Asia, and potatoes from Peru, has required an initial searching for homoclimes and then breeding to adapt the plants to the new circumstances.
- 4 Rubber originated in the Amazon valley and was prevented from spreading naturally by the quite different climates which surround it. Now it flourishes in homoclimes in south-east Asia where the daily mean temperature remains a little above 24°C or so, and the rainfall exceeds 1,750 mm/a.

In view of these examples, one concludes that climate classification is worth while, despite the difficulties.

## 16.3 ANTARCTICA

Antarctica is a vast island (**Figure 16.4**), with an area roughly the same as that of South

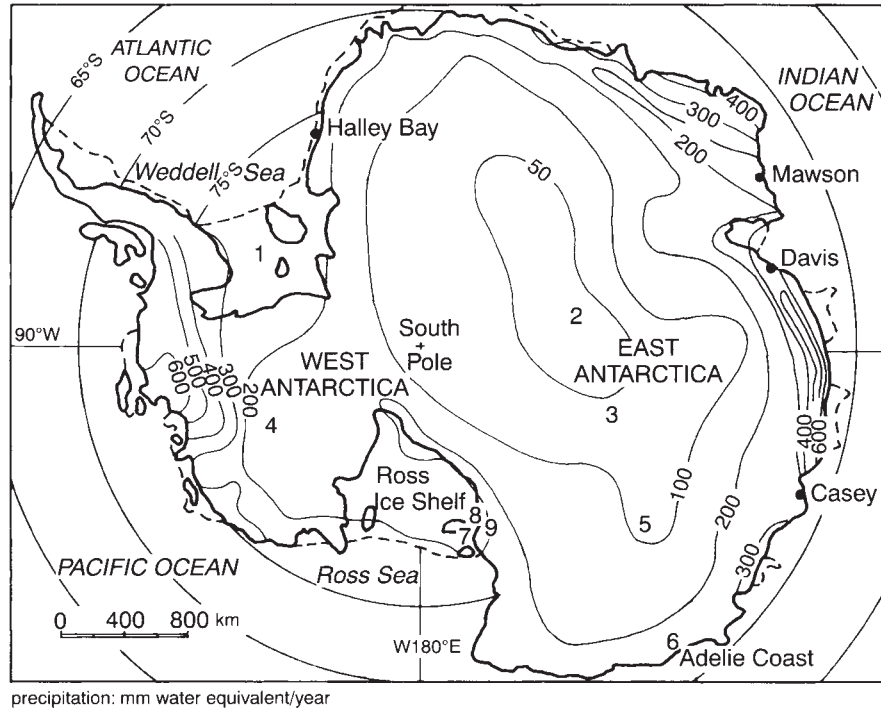


Figure 16.4 Places on Antarctica and isohyets showing the annual precipitation in terms of the water equivalent, i.e. mm/a. The numbered places refer to the following: 1. Filchner ice shelf, 2. the highest point on the plateau (3,570 m), 3. Vostok, 4. Byrd, 5. Dome C, 6. Dumont d'Urville, 7. Mt Erebus, 8. Lake Vanda and 9. McMurdo Sound.

America (south of the equator), twice that of Australia (Note 1.A) and three times the area of permanent ice in the Arctic. It is the world's highest continent, with an average elevation of 2,450 m. There is an eastern part with a coast at about 68°S and containing most of the high land, and a much smaller western part, only 850 m high on average, with a coast at about 75°S, facing the south-east Pacific ocean. So the continent is not symmetric about the Pole. The parts might be thought separated by a line through the Pole, between two broad inlets, the Weddell Sea and the Ross Sea. Each inlet is covered by an immense shelf of partly floating ice, hundreds of metres thick. The larger is the Ross ice shelf (Figure 16.4), whose area is about 530,000 km<sup>2</sup> (twice the size of

New Zealand) and thickness 700–250 m (thinner at the seaward edge).

There is a steep coast around the continent, rising to an interior plateau at 3,000–3,500 m above sea-level. Most of the area above sea-level is ice, which is up to 4,500 m thick, so that bedrock is below sea-level in some places. At other places, there are mountains rising to a peak 5,140 m above sea-level, including the active volcano Mt Erebus (at 3,743 m—Figure 16.4). The point furthest from the sea (the so-called 'Pole of Inaccessibility') is Vostok, at 78°S and 3,450 m elevation.

Antarctica carries 90 per cent of the world's glacial ice, and melting of it all would raise the world's sea-level by about 70 m. Glaciers bear the ice slowly towards ice shelves or directly

to the ocean, where they crumble off as icebergs (Figure 11.7). The Lambert glacier on the edge of East Antarctica (at 70°E) is 60 km wide and 400 km long, the world's largest.

Sea-ice a few metres thick surrounds the continent (Figure 11.7). It covers an area which fluctuates during the year (Section 11.2), and it is the retreat in late summer, which allows ships to reach McMurdo Sound in the Ross Sea. The sea-ice acts as a giant insulator, blocking heat from the ocean. If it were absent, temperatures in Antarctica would be about 10 K higher.

## Winds

The cold (i.e. heavy) air over the Antarctic plateau creates a high pressure at the surface, which leads to cold diffluent winds down to the sea as katabatic winds (Section 14.3). The divergence leads to slow subsidence of the polar atmosphere, as part of the polar cell (Section 12.3). The Coriolis effect is particularly strong at high latitudes, so geostrophic winds flow as southeasterlies from the anticyclone which prevails over Antarctica (Figure 12.7).

The katabatic winds are shallow and strong, especially at the steep coast (Figure 16.5). There

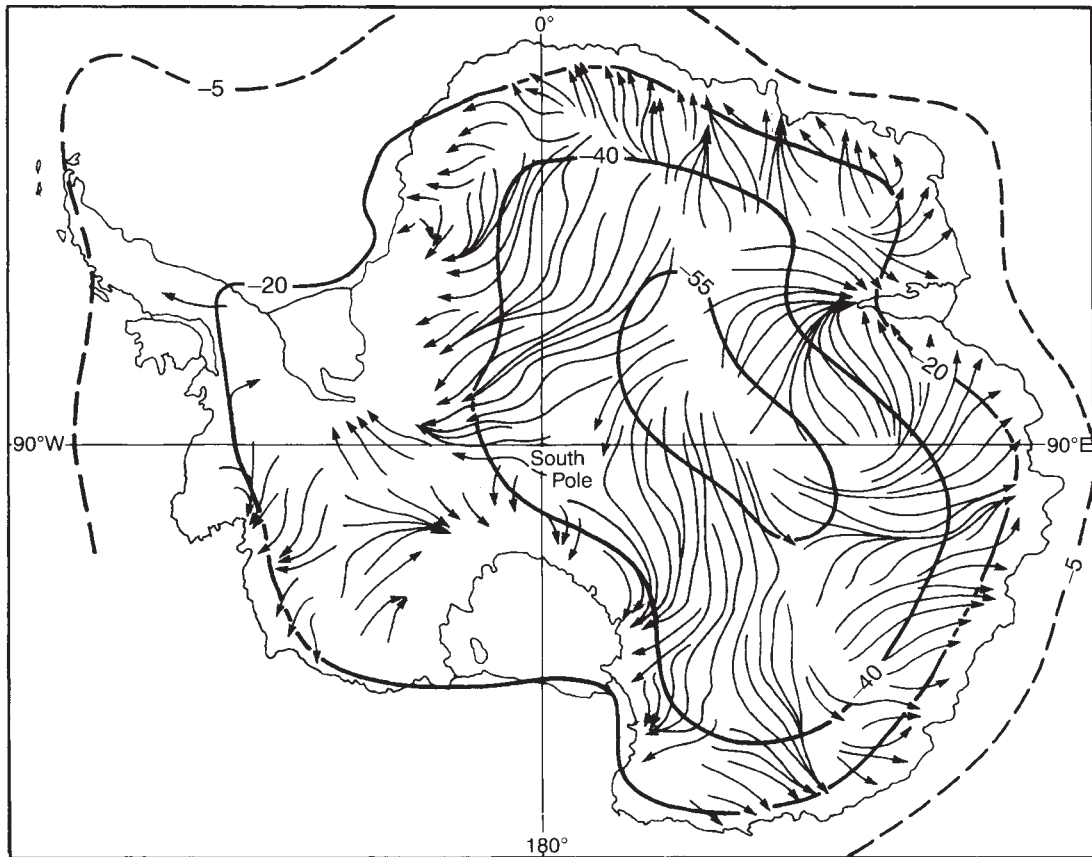


Figure 16.5 Isotherms of annual mean temperature (°C) in Antarctica, and the directions of katabatic winds.



is an annual average of 11.5 m/s at Casey, and winds at Dumont d'Urville (at 67°S, 140°E) are typically 11 m/s, but averaged 29 m/s over March 1951, for instance, and peaked at 89 m/s over two minutes. The winds are usually strongest in winter, but rarely extend beyond 10 km from the shore. Such katabatic winds tend to start and end suddenly, which is characteristic of density currents (Note 14.D). When the winds exceed some threshold, they abruptly become gusty, picking up loose snow and giving rise to blizzards.

The surface wind is much less on the inland plateau. For instance, the average wind speed at the South Pole is 5.3 m/s. There is most movement in summer when the ground inversion is weakest, and so there is more coupling with winds aloft.

Frontal disturbances and deep depressions prevail over the seas around Antarctica. These are followed by cold-air outbreaks which pull air northward from the plateau, forming small polar lows (Section 13–4), mainly at the edge of the sea-ice. These lows occasionally cause winds reaching the strength of those in tropical cyclones, though they are much more shallow.

## Temperatures

Average temperatures in Antarctica are around 3 K lower than at the same latitude in the northern hemisphere, because of the altitude (for inland locations) and the insulating effect of the sea-ice. (The warm Gulf Stream in the Atlantic penetrates the Arctic to 80°N, and the large land masses surrounding the North pole warm up considerably in summer.) **Figure 16.6** implies a cooling by about 12 K per km of ascent, and more recent measurements give a value of 13 K/km. These values are far greater than the average 4 K/km found elsewhere (Figure 3.5); the difference is due to extra distance from the sea and the strong ground inversion which affects all surface temperatures in Antarctica

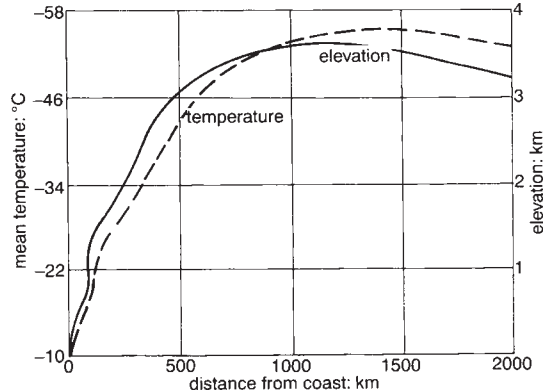


Figure 16.6 The connection between annual mean temperature, elevation and distance inland in Antarctica, around 100°E longitude.

(Section 7.6). The inversion means that measurements only 10 m above screen height are often 4 K warmer, and screen measurements are greatly affected by even slight vertical winds bringing warmer air, which sometimes leads to rapid fluctuations of temperature during the day. It may be noted that a temperature gradient of 12 K/km exceeds the dry adiabatic lapse rate of 10 K/km (Section 7.2), so that air descending 1 km is 2 K colder than the atmosphere around, creating a positive feedback which amplifies the katabatic wind.

Daily mean temperatures at the South Pole show a ‘summer’ of up to  $-25^{\circ}\text{C}$ , lasting only from mid-December to mid-January, and a ‘winter’ of almost constant  $-60^{\circ}\text{C}$ , from April to September. There is no clear minimum, i.e. the winter is described as ‘coreless’. These temperatures are much lower than the annual range from zero to  $-35^{\circ}\text{C}$  at the North Pole, where heat comes from the sea beneath the ice, and from the more frequent south-north winds. Even lower temperatures are encountered at Vostok (Figures 16.4 and 16.6), where the world record minimum was measured (Section 3.2).

Low temperatures mean that the air holds little moisture (Section 6.4). For instance, there is only 0.1–0.4 mm of precipitable water (Note

6.B) on the plateau, and the vapour pressure at the surface is below 1 hPa. As a result, skies over the Antarctic Plateau are usually clear and cloudless, making the area attractive to astronomers (**Note 16.C**). The clear skies and lack of water vapour also allow unimpeded loss of terrestrial radiation (Table 2.6), which is the explanation for the extremely low temperatures and a negative net-radiation balance (Table 5.2, Figure 5.5). By the same token, the long daylight hours in summer lead to significant warming, notwithstanding a high albedo, which accounts for the large annual range of temperature.

The Earth is nearer the Sun at year's end (during the Antarctic summer) than at mid-year, so that the maximum extra-terrestrial radiation reaching the South Pole is 7 per cent more than that reaching the North (Section 2.2). The clear skies and the elevation of the South Pole mean that sunshine (including its UV component) is almost unattenuated, shining all day for months.

## Precipitation

Cold air and clear skies make it the driest continent on Earth. Figure 16.4 shows that the water-equivalent of the annual accumulation of snow is about 400 mm/a around most of the coast, but less than 50 mm/a over the majority of eastern Antarctica. The overall average is about 160 mm/a (Section 10.5).

There are a few so-called *dry valleys* near sea-level, notably Wright Valley on the east side of McMurdo Sound (Figure 16.4). It is rocky and ice-free because of sublimation into katabatic winds, which often exceed 28 m/s. After descending 3,000 m or so from the central plateau, the air is relatively warm and so dry that the relative humidity is below 10 per cent (Note 7.E). One of the dry valleys contains Lake Vanda (Figure 16.4), which lies under a permanent layer of clear ice 4 m thick. Most of the lake's water is very salty, so that, whenever

ice around the lake melts, the fresh meltwater (which has a lower density) floats on top, forming a solar pond (Note 7.D). As a result, the salty water in the lake can reach temperatures above +25°C, heated by sunshine through the layers of ice and meltwater.

Precipitation tends to be most in summer, but falls are too light to allow the visual discernment of annual layering of ice which is possible where snowfalls exceed about 500 mm/a.

About two-thirds of any depletion of Antarctic ice is by calving of icebergs from the ice shelves and (to a minor extent) from glaciers. Because the ice shelves are flat, most icebergs in the southern hemisphere have a table-like top, unlike those in the northern hemisphere. The volume of calving icebergs totals 1,300 km per annum, which is enough to provide water for the entire world population at the current average consumption of 600 litres per head daily.

Apart from icebergs, roughly 6 per cent of the total loss of ice from Antarctica occurs as sublimation into the dry air, and another 13 per cent melts along the coast. The remaining 14 per cent, is blown out to sea as snow in a surface layer a few metres deep during katabatic wind storms, making visibility very poor.

In summary, strong coastal winds and ground inversions are among the main features of Antarctic climates. Others are aridity and extremely low temperatures.

## 16.4 SOUTH AMERICA

This continent covers almost 18 million square kilometres, compared with Antarctica's 14 Mkm<sup>2</sup> and North America's 24 Mkm<sup>2</sup>. It stretches from 12°N almost to Antarctica, and all along its western coast is the Andes, up to 6,960 m high, so there is a wide range of climates, with sharp contrasts (Figure 16.2). Near the equator, the Amazon Basin contains the largest tropical rainforest in the world with about 2,500 different

species of tree, whereas for about 600 km around Buenos Aires there are treeless plains which provide grazing, and at the frigid tip of the continent there is Tierra del Fuego, with only six species of tree. The capital of Tierra del Fuego is Ushuaia, at 55°S the most southern city in the world, with a winter average temperature just above freezing. There are glaciers nearby, prevented from melting in summer by the prevailing cloudiness.

### Winds and Air masses

Climates in South America are conveniently explained in terms of the winds, which in turn depend on the patterns of pressure. An almost stationary anticyclone occurs over the Pacific ocean at about 90°W and another over the Atlantic at 15°W, both at about 30°S (Figure 12.7). The South Pacific high sends cold southerly winds along most of the west coast, bringing rains in winter to central Chile at 30–40°S.

The atmosphere is stable west of the Andes, between the equator and 30°S. A subsidence inversion there separates the cool boundary layer (due to southerly winds and low SST) from warm, dry air aloft. However, the Andes are a major barrier, so that air masses, and

therefore climates, are very different on the opposite side.

On the east side of the continent, the South Atlantic high produces the easterly Trade winds at 3°N–15°S (Figure 12.4) and northwesterlies along the Argentine coast (Figure 12.1). The anticyclone extends in winter to cover the southern half of Brazil, reducing the rainfall there. But in summer there is a heat low over Paraguay (at about 20°S), linked by a badly defined spur to the global Intertropical Convergence Zone (Figure 12.1) stretching across the Amazon basin. This pattern of pressures leads to weak northerly winds, bringing moist equatorial air masses over the low-latitude part of the continent, with heavy summer rains (**Table 16.3**).

Winds tend to be westerlies at places above either 5,000 m between 15–30°S, or 2,500 m further south. Strong winds occur only at high elevations and latitudes, e.g. there are gales on about thirteen days annually along the southern coast of Brazil.

Tropical cyclones have never been observed around the continent (Figure 13.13), because the low-latitude oceans nearby are too cool (Figure 3.4) and the fetch across the warm equatorial Atlantic is too short to generate unstable Trade winds. Also, southern Africa does not produce the easterly waves (Note 13.H)

Table 16.3 Air masses occurring over South America east of the Andes, to be compared with the details in Table 13.1

| Kind of air mass | Symbol | Nature   | Unstable?                    | Occurrence                                 |
|------------------|--------|--|------------------------------|--|
| Equatorial       | mE     | Humid  | Moist neutral                | North Brazil in summer and fall            |
| Temperate        | cE     | Weak winds   | Moist neutral                | Upper Amazon                               |
|                  | cT     | Dry  | Unstable due to heat low     | 23°S in summer                             |
|                  | mT     | Lower 2 km is cool and humid, with subsiding dry air above | Stable above the PBL         | Forms over Atlantic, in winter             |
| Polar            | mP     | Cold southerly following cold frontal passage              | Stable, but showers at coast | South of 30°S in winter and 45°S in summer |
|                  | cP     | Cold and dry   | Stable                       | Patagonia in winter                        |

needed to trigger tropical cyclones. Despite this, cyclonic tropical storms of less intensity have been recorded near Brazil's east coast, and it is conceivable that global warming might make tropical cyclones a possibility there.

## Temperatures

Screen temperatures in South America depend on the same geographical factors as elsewhere (Section 3.2)—the latitude (Figure 3.4), elevation (Figure 3.5) and distance from the sea. Other factors are the amount of cloud, wind direction, season and time of day. Peculiar to South America is the large difference between the east and west coast temperatures, from 40°S to the equator (Table 11.2). Lima, at 12°S on the west coast, is 7 K cooler on average than Salvador at 13°S on the east. The lower temperatures on the west side are caused mainly by a lower SST due to upwelling and northward ocean currents. A similar east-west difference is found in southern Africa (Section 3.2, Table 11.2 and Figure 11.3), but not as far equatorward.

A layer of maritime polar air invades South America in the lee of the Andes two or three times a year, when the South Atlantic high weakens and shifts eastwards. The air mass comes behind a cold front (Figure 13.8), as an outbreak which typically lasts about four days and approaches the equator as a layer only 500 m thick. It causes an abrupt cooling of surface temperatures by perhaps 10 K.

The daily range of temperature is small in the Amazon basin because of the surface wetness and the cloudiness (**Figure 16.7**). The Amazon basin evaporates about as much as an ocean (Section 10.5), which reduces daytime warming, whilst the cloud reduces cooling at night. The daily range at Manaus (3°S) is only 8 K, and it is small along the arid west coast also, mainly because of persistent stratus clouds. The range increases southward to the Gran Chaco, a vast lowland plain at 20–28°S in central South

America, where the daily range is about 19 K in August and 13 K in February, because of the aridity and distance from the sea. Further south, the daily range is smaller again, partly on account of proximity to the oceans (Figure 3.10).

Annual ranges of monthly mean temperatures are only 2 K at Manaus but over 16 K in the Gran Chaco (Figure 3.9). Temperatures in the Gran Chaco range from frosts in winter to an absolute maximum of 47°C.

The effect of elevation on the average temperature may be seen by comparing data in Table 11.2 for Antofagasta and Rio de Janeiro at the coast, i.e. about 23°C in January and 17°C in July, with those from La Quiaca (at 22°S and 3,435 m). The latter has temperatures about 12 K lower, implying an average lapse rate of surface temperatures of 3.5 K/km (Figure 3.4). The annual range at La Quiaca is almost 10 K, whilst the daily range is 15 K in January and 24 K in July, compared with daily and annual ranges of about 6 K near the coast. So the ranges increase with height (and therefore distance from the ocean), as shown in Figures 3.14 and 16.4, mainly because the lack of atmospheric water vapour at high altitudes allows greater cooling at night.

Winters are notably cold in the 'altiplano', the windswept plains at about 3,650 m in south-east Peru and western Bolivia. The coldness is despite the low latitude, and is due to the altitude and aridity. For instance, the mean temperature of the warmest month is only 9.5°C at La Paz (at 16.5°S and 4,103 m) and the rainfall about 555 mm/a. This means a 'polar' climate denoted by E in Köppen's classification (Note 16.A). The coldest month (July) is only 2 K colder on average, yet there is a frost on 85 per cent of mornings.

## Precipitation

South America is the wettest continent on Earth, with an average of 1,630 mm/a. At the extreme,

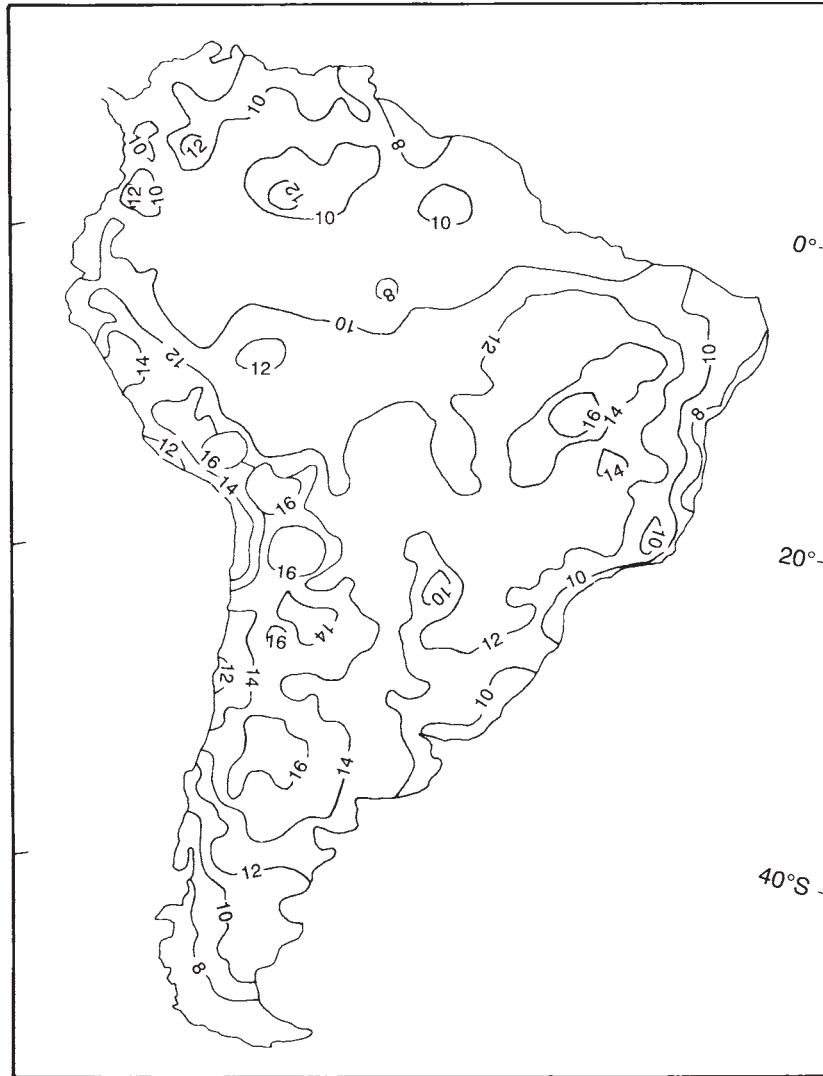


Figure 16.7 Variation of annual mean daily range of temperature across South America.

rainfall averages 13,000 mm/a at Andagoya (6°N) in western Colombia. Such heavy precipitation gives rise to the great rivers which are a major feature of South America. The Amazon discharges 42 per cent of the rainfall received in its basin, which amounts to 17 per cent of the world's river flows and is about eighteen times the total of all Australian rivers.

**Figure 16.8** shows that rainfall is highly seasonal over much of the continent, according to the location and to movements of the South Atlantic high and the ITCZ (Section 12.1). The ITCZ over the Amazon basin leads to its receiving about 2,500 mm/a, about a quarter of which comes from water vapour previously evaporated locally. Rainfall is spread fairly uniformly

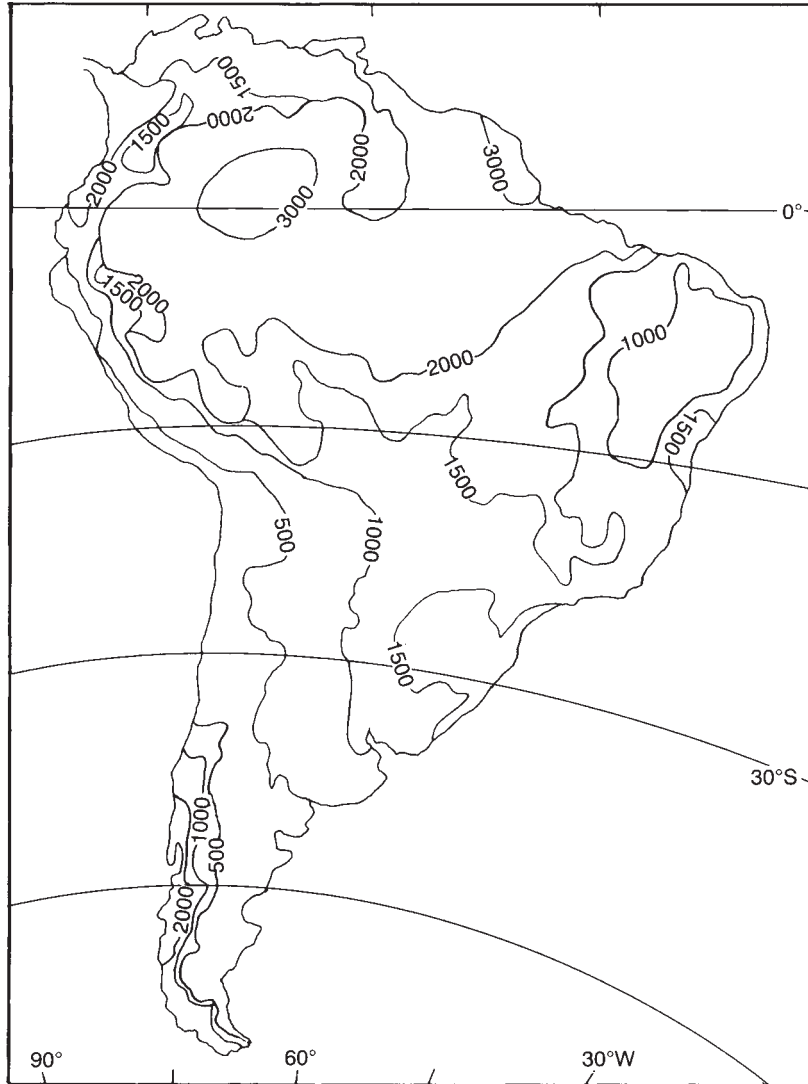


Figure 16.8 Patterns of monthly rainfall at places in South America.

throughout the year in most of the region, with a thunderstorm almost every late afternoon at Belem (at 2°S on the coast). In the southern parts of the basin there is more rain in the period November–April.

Not all South America is wet; there are great regional variations (Figure 10.3 and **Figure 16.9**), with considerable aridity in some parts.

All the central north coast of South America is dry, especially in mid-year (which is unusual for places of similar latitude). **Figure 16.10** demonstrates that annual totals of rainfall are less than 500 mm/a in three parts of the continent, south of the equator. One is northeast Brazil, second is the Patagonian desert, south of 38°S in Argentina, and third is the coast of

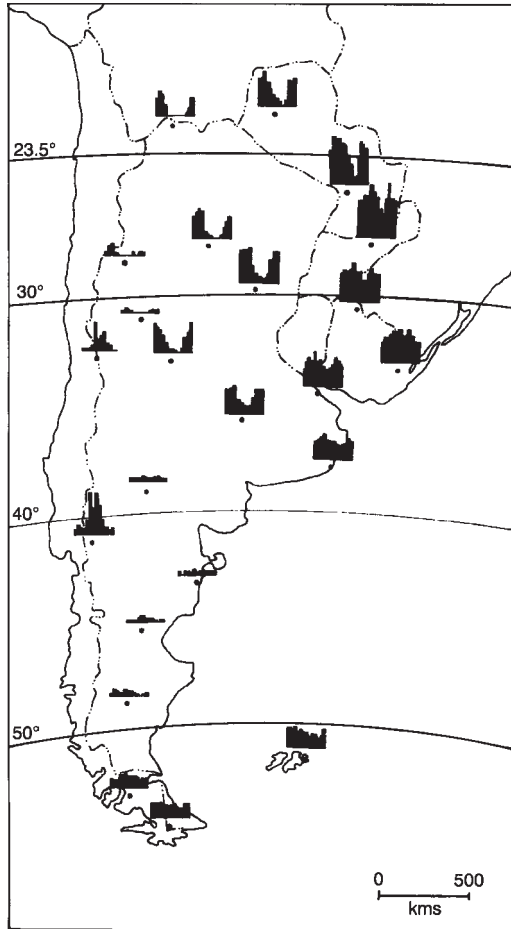


Figure 16.9 Average annual rainfall in South America.

Peru and Chile. The last includes the Atacama desert in northern Chile, which is the driest desert in the world. These are shown as B climates in Figure 16.2. They will now be considered in turn, while the characteristics of deserts in general are discussed in **Note 16.D**.

**North-east Brazil (i.e. the Nordeste)**

The moisture in the Trade winds striking the coastal hills of eastern Brazil at 5–10°S is

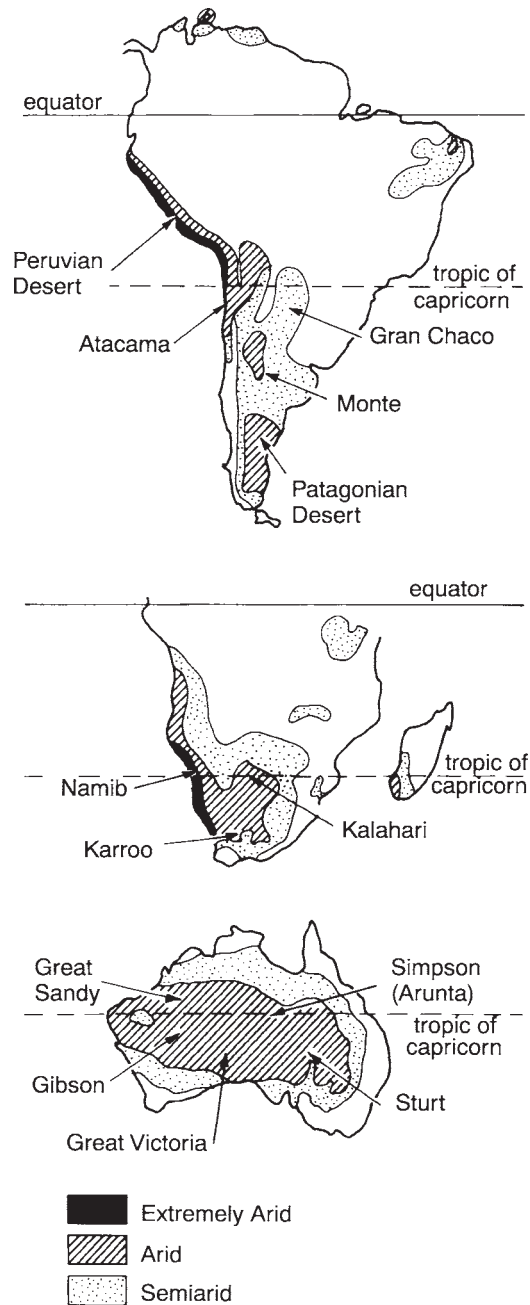


Figure 16.10 Deserts of the southern hemisphere.

precipitated immediately, with annual totals around 1,600 mm/a. Most of the coastal rain falls during the morning, as the result of convergence of (i) nocturnal katabatic winds from the eastern slopes, and (ii) the prevailing Trade winds. But the rainfall is reduced to less than 500 mm/a at 300 km inland, for an area approaching 100,000 km<sup>2</sup>. The part with less than 400 mm/a is known as the 'drought polygon'. The dryness is due to atmospheric stability caused by the location of eastern Brazil within the influence of the South Atlantic high (Section 13.6). Most rain comes from occasional thunderstorms in summer and, particularly, March–April. There are also gentle rainfalls around October, called 'caju' rain after the fruit which buds then.

The annual rainfall is highly variable, with a relative variability (Note 10.L) which is over 50 per cent in some places (Figure 10.9). The South Atlantic high shifts south in some years, allowing the incursion of moist equatorial air with more rain. There are also extreme droughts (Section 10.7), which cause social distress to the substantial population of the Nordeste. For instance, there was no rain whatsoever in the town of Cabrobo (9°S) during the years 1951–53.

Rainfall variability in the Nordeste is not well understood, but three factors appear relevant. Firstly, the region is often dry during a time of negative SOI (Section 12.7), e.g. in 1915, 1919, 1932, 1936, 1942, 1951 and 1983 (Figure 10.16), when convection in the eastern Amazon was suppressed (Figure 12.17). But the ENSO cycle explains only 10 per cent of the variability in the Nordeste. A second factor seems to be unusually cold winters in North America east of the Rockies, these correlating well with wet summers in the Nordeste. Occasional continental polar air masses come down east of the Rockies, like a north-hemisphere friagem, and proceed along the western edge of the Gulf of Mexico, pushing the ITCZ south over the Nordeste, so that there is rain there. Thirdly, there is a strong

correlation between summertime rain in the Nordeste (e.g. at Fortaleza, at 4°S) and the SST in the south-equatorial Atlantic (**Figure 16.11**). The increased SST moistens the air and raises and weakens the Trade wind inversion (Section 7.6), allowing for more active growth of cumulus clouds as the winds blow westwards over Brazil.

## Patagonia

The Patagonian desert is the largest in South America, extending in the lee of the Andes from 37–51°S, with vast plains, rising from the coast to about 1,000 m. The rainfall in the semi-arid northern part is 90–430 mm/a, mostly in summer thunderstorms, while rain and snow in the southern part amounts to only 110–200 mm/a. The region is protected by the Andes from the moisture of westerly winds, whilst easterlies are made stable by the cold Falklands Current up the west coast (Section 11.5).

The rain is insufficient to rinse salts away from the surface soil, so that only clumps of wiry grass can survive. Poplar trees are planted extensively as protection from the strong cold winds from the south-west.

The Patagonian desert continues north into the Monte desert (Figure 16.10) between 30–37°S in the lee of the Andes, and the semi-arid Gran Chaco in Paraguay.

## The Western Littoral

A great range of precipitation is measured along the western edge of South America. At one end is the mountainous coast of Colombia (7°N–0°), where moist winds within the ITCZ (Figure 12.1) deposit more than 10,000 mm/a in the Choco region. To the south there is a narrow chain of deserts from 5–27°S, and then a wet coast beyond about 36°S.

The desert from the equator to about 10°S is limited to a narrow coastal strip. For instance,



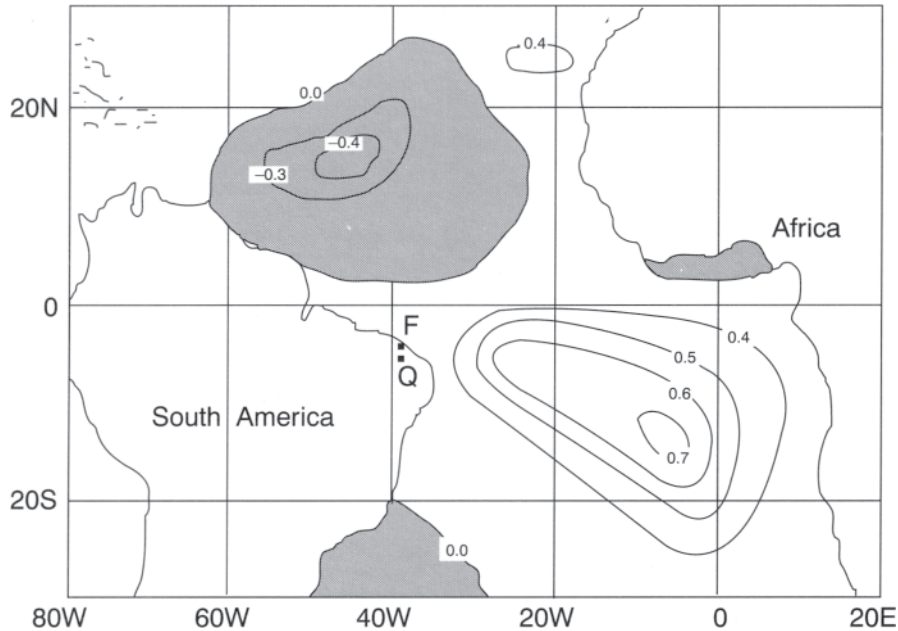


Figure 16.11 The correlation between the SST in March and the March to May rainfall at Fortaleza (F) and Quixada (Q) in Brazil's Nordeste. Areas with a negative correlation (e.g. a cold SST anomaly and more rain at F and Q) are shaded.

there is 260 mm/a at Manta (1°S) and only 36 mm/a at Lima (12°S, see Note 11.E), because of the stability of both easterly winds subsiding down the Andes and westerly winds cooled by the Peru ocean current, which turns west and leaves the coast near the equator (Figure 11.15). It is this departure which governs the difference between climates to north and south, respectively. There is a rapid increase of rainfall inland from the coast, e.g. there is rainforest at Pichilingue (at 1°S and 73m elevation) only 120 km inland. Rainfall there is due to thunderstorms which develop on the Andes foothills on summer afternoons, triggered by a combined sea breeze/anabatic wind (Sections 14.2 and 14.3). But there can be heavy rains even on the coastal strip during an El Niño, and subnormal rains inland during a La Niña (Note 11.C).

South of 10°S, the dry coastal strip broadens

out to include the Andes foothills and then the extremely arid Atacama desert and even the altiplano between 16–26°S. The Atacama desert is several hundred kilometres long, at around 24°S (Section 10.3 and Figure 16.10). What little rainfall there is comes mostly during the period May–October, as the result of occasional northward penetrations of cold fronts and midlatitude westerly winds. Normally the Andes block moist air from the Amazon basin, whilst westerly winds are stable (i.e. dry), because of oceanic upwelling and the Humboldt Current, which yields an inshore sea-surface temperature some 6 K colder than elsewhere at these latitudes (Section 11.4). Another influence on the region's aridity is the anticyclone fixed in the eastern part of the South Pacific (Section 13.6). Coastal weather stations between 17–23°S have measured no more than a 'trace' (i.e. 0.2 mm) of rain for years, except on a few days with

brief downpours. Calama (22°S) held the record for no more than traces of rain since records began over a century ago, until February 1972 (an El Niño year), when a fall of 28 mm caused flashfloods.

There is a third demarcation around 36°S in Chile. Just north of that, Santiago (at 34°S) receives 317 mm/a, whereas 2,486 mm/a falls in Valdivia, just to the south at 39°S. Almost all the latter precipitation occurs between April and October as the result of frontal disturbances and uplift by the Andes. Then south of 47°S there is a different regime again, where the consistent westerlies bring rain evenly throughout the year.

### Other Features

The rainfall in the Andes depends on the latitude and season. There are two rainy seasons (in April and October) at the equator, because the Sun is overhead twice, at the times of the equinoxes (Section 2.2). This applies at Quito (at 0°S and 2,800 m), for instance. There is mostly winter rain on the western side of the Andes between 25–45°S and on the mountain ridge (Figure 16.8), because westerly winds are stronger in winter. But there is mostly summer rain on the east side, due to thunderstorms. Some thunderstorms can be severe, especially in late spring, when moist air from the Amazon basin flows below strong westerlies aloft.

High rainfalls are strikingly related to a small daily range of temperature (Figure 16.7). Ranges of over 16 K occur in the drier parts of the continent, but less than 10 K where rainfall exceeds 2,000 mm/a. This is due to the effects of rainclouds in reducing the range (Section 3.4).

About five tornadoes occur annually in northern Argentina (mainly between 30–35°S), 16 per cent of them with an intensity of F2 or more (Table 14.3). Tornadoes have also been reported in Uruguay, southern Brazil and Paraguay.

### Pacific Islands

One of the most equable climates in the world occurs at 52°S, on the island of Los Evangelistas, off Chile. The annual range of monthly mean temperatures is only 4 K, and between 200–300 mm of rain falls every month, with rainfall on at least twenty days monthly.

Another part of Chile is Easter Island (27°S), in the Pacific Ocean. There the daily range of temperature is no more than about 7 K and the annual range of monthly mean temperatures 6 K, because of the ocean's influence. Rainfall is only 850 mm/a because of the south-east Pacific anticyclone above. The rain falls fairly evenly through the year, mostly on the south-east side of the island which faces the Trades.

The Galapagos Islands are on the equator but nevertheless arid and relatively cool, i.e. 288 mm/a and 24°C on average. This is due to the westward continuation of the cold Peru current (Figure 11.15) and upwelling along the equator (Section 11.4). The islands experience extreme interannual variability, being about 4 K warmer and four times wetter during El Niño years than during La Niña years.

Islands far to the west of Easter Island receive more rain, some places over 2,000 mm/a (Figure 10.3). This is explained by the 'warm pool' in the western equatorial Pacific (Figure 11.2) and by the South Pacific Convergence Zone, stretching from Papua New Guinea to 140°W, 30°S (Figure 12.1). The SPCZ is similar to the ITCZ (i.e. there is a belt of low-level convergence, with updraughts in thunderstorms and upper-level divergence) but it is unique in its orientation, which is away from the equator. This is due to the strong south-east Pacific high and the cold Humboldt current. The SPCZ can be discerned year-round but is most clearly defined and active in causing rain in summer.

## 16.5 SOUTH AFRICA

About a third of Africa is south of the equator, and most of that is above 900 m in elevation (**Figure 16.12**). Consequently, the customary sea-level synoptic charts were generally replaced by charts of pressures at a height of 850 hPa (e.g. Figure 13.7) until 1992. (Sea-level charts have been used more recently, to knit better into the charts from other countries.) There is a sharply defined plateau but no high range of mountains to deflect winds or create a rainshadow. Mount Kilimanjaro (3°S) rises to 5,895 m, with a cap of isolated large blocks of ice and the Penck Glacier down to 4,500 m. Gradation of climate is gentle across the continent, except near the escarpments of the plateau, which are 100–500 km from the coast.

### Winter Winds

Gradient winds south of 20°S are dominated by the permanent high over the south Atlantic

(Figure 12.1), a more mobile high over the Indian ocean (it moves eastwards in summer), and by the annual fluctuations of the Intertropical Convergence Zone. In winter, high pressures settle over the southern plateau, often connecting with the ocean anticyclones, which are at about 33°S. Consequently, westerlies blow onto the southern coast (Figure 16.12b). These are turned northward over the cold Benguela current (Figure 11.15) by the influence of the South Atlantic high, and hence are stable, creating the Namib desert at the coast (Note 16.D). North of 22°S are easterly Trade winds.

The westerlies along the south coast give rise to typical midlatitude frontal weather, as illustrated in Figure 13.7. On the first day in the series illustrated, the weather is dominated by subtropical highs, with unsettled conditions confined to the east coast north of 25°S. Then a frontal disturbance approaches from the southwest (days 2–3) and plateau air is drawn south, ahead of the cold front. This leads to *berg winds* along the coast, which are offshore winds heated by subsidence from the plateau. The onset of a

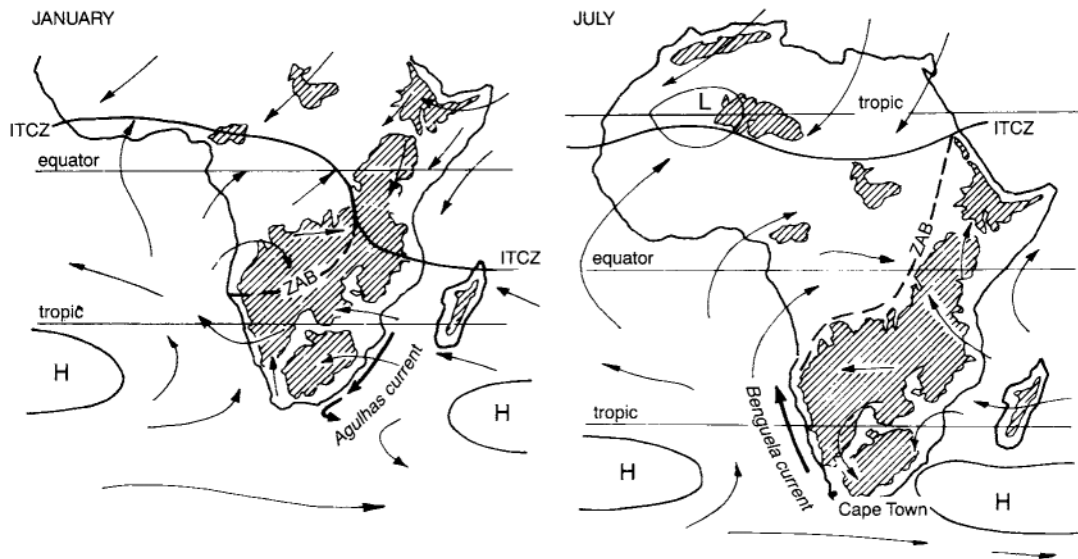


Figure 16.12 Generalised near-surface winds over Africa in summer (January) and winter (July). ZAB is the Zaire Air Boundary, a region of low pressure. The shaded areas are higher than 900 m.

berg wind can be sudden and produce a temperature near 30°C even in mid-winter. The cessation of a berg wind can be even more abrupt than the onset, due either to the beginning of a sea breeze or to an onshore flow behind a coastal low (Section 13.4). The passage of the cold front causes a further temperature drop (day 4), occasionally involving an outbreak of cold air from the south. In this case, the temperature hovers around 10°C along the coast and light snowfalls occur on the Drakensberg range, about 160 km inland at 31°S. Passage of the cold front (day 5) restores settled weather to the south coast.

### Summer Winds

Trade winds occur in summer as far south as the tip of South Africa, making fronts less common there and bringing warm moist air all along the east coast. The ITCZ is pulled south in January, into the heat low over Botswana. This creates the *Zaire Air Boundary* (ZAB), a region of converging air masses (Figure 12.1 and Figure 16.12), which leads to a deep unstable mass of humid air and hence heavy rainfall. North-easterly monsoon winds dominate eastern Africa as far south as Johannesburg at 26°S.

### Temperatures

Table 16.4 shows that January mean temperatures vary remarkably little with latitude across southern Africa, except at the coast south of 30°S. Such uniform conditions in summer are typical of a wet monsoon, but July mean temperatures do fall with latitude, as expected.

Coastal temperatures are affected by the ocean currents offshore (Figure 11.15). Thus, monthly mean temperatures at Walvis Bay at 23°S on the west coast are only around 17°C because of the cold Benguela current. The difference between

summer maxima and winter minima is small along the coasts and towards the equator, but large over the South African plateau (**Figure 16.13**), where frosts are common in winter, especially in the highlands of Lesotho.

There can be large differences of temperature from one day to the next along the south coast, especially during the months April–September, due to the berg winds, coastal lows and cold fronts, discussed earlier.

### Rain

Several factors control the patterns of rainfall at various latitudes and distances from the sea. Coastal rainfall depends on the stability of the onshore winds (i.e. on the temperature of coastal ocean currents), on tropical cyclones at low latitudes, and on fronts further south. The Benguela Current causes a rainfall of only 30 mm/a at Walvis Bay on the west coast, whereas it is 760 mm/a at Maputo at a similar latitude on the east coast, which is affected by the warm Agulhas Current (Figure 11.15). Concerning tropical cyclones, about ten form in the south-west Indian ocean each year (Figure 13.13) and most affect Madagascar, but only a few reach the mainland, mainly between 15–25°S. Damage from these in South Africa is mostly due to flooding rains, rather than strong winds.

Inland, there is convective uplift on the plateau, causing thunderstorms along the ITCZ (Figure 16.12) and orographic uplift at the scarp on the edge of the plateau. The increase is 110 mm/a per 100 m elevation on the eastern scarp between 23–26°S. Elsewhere in the southern part of the continent the increase is about 30 mm/a per 100 m extra height, up to a height of about 1,300 m.

South of 20°S, Africa is generally arid (**Figure 16.14**), except along the south and east coasts, whereas the annual rainfall at lower latitudes exceeds 1,000 mm/a, except at the Angolan coast and in eastern Tanzania. The east coast of Africa

Table 16.4 Effects of elevation and latitude on the January and July mean temperatures  $T$  ( $^{\circ}\text{C}$ ) and rainfalls  $P$  (mm/month) in Africa south of the equator

| Latitude  | Place          | Jan T | July T | Jan P | July P |
|---|----------------|-------|--------|-------|--------|
| <i>Places at 0–100 m elevation, i.e. near the coast</i>       |                |       |        |       |        |
| 4   | Mombasa        | 27    | 25     | 25    | 89     |
| 7   | Dar es Salaam  | 28    | 24     | 66    | 31     |
| 9   | Luanda         | 25    | 21     | 25    | 0      |
| 15  | Moçamedes      | 22    | 17     | 8     | 0      |
| 18  | Tamatave       | 27    | 21     | 366   | 302    |
| 20  | Sofala         | 28    | 21     | 277   | 31     |
| 23  | Walvis Bay     | 19    | 15     | 0     | 0      |
| 26  | Moputo         | 26    | 19     | 130   | 13     |
| 31  | Durban         | 24    | 17     | 109   | 28     |
| 34  | Port Elizabeth | 21    | 13     | 31    | 48     |
| 34  | Cape Town      | 21    | 12     | 15    | 89     |
| <i>Places at 100–1,200 m elevation, i.e. inland</i>           |                |       |        |       |        |
| 0   | Kisumu         | 23    | 22     | 48    | 58     |
| 3   | Buyumbusa      | 23    | 23     | 94    | 5      |
| 4   | Brazzaville    | 26    | 23     | 160   | 0      |
| 4   | Kinshasa       | 26    | 23     | 135   | 3      |
| 5   | Kigoma         | 23    | 23     | 122   | 3      |
| 6   | Dadoma         | 23    | 19     | 152   | 0      |
| 14  | Lilongwe       | 22    | 15     | 208   | 0      |
| 16  | Zumbo          | 27    | 21     | 208   | 0      |
| 16  | Tete           | 28    | 21     | 152   | 3      |
| 21  | Francistown    | 25    | 15     | 107   | 0      |
| 26  | Mbabane        | 20    | 13     | 254   | 23     |
| <i>Places above 1,200 m in elevation, i.e. on the plateau</i> |                |       |        |       |        |
| 1   | Nairobi        | 19    | 16     | 38    | 15     |
| 1   | Kabala         | 17    | 15     | 58    | 20     |
| 2   | Rubona         | 19    | 19     | 111   | 7      |
| 10  | Kasama         | 21    | 17     | 272   | 0      |
| 12  | Lubumbashi     | 22    | 16     | 267   | 0      |
| 13  | Ndola          | 21    | 14     | 142   | 0      |
| 15  | Lusaka         | 21    | 16     | 231   | 0      |
| 15  | Huambo         | 20    | 17     | 221   | 0      |
| 18  | Harare         | 21    | 14     | 196   | 0      |
| 19  | Astananarivo   | 21    | 15     | 300   | 8      |
| 20  | Bulawayo       | 21    | 14     | 142   | 0      |
| 23  | Windhoek       | 23    | 13     | 76    | 0      |
| 26  | Johannesburg   | 20    | 11     | 114   | 8      |
| 26  | Pretoria       | 21    | 11     | 127   | 8      |
| 29  | Bloemfontein   | 23    | 9      | 91    | 10     |

between 10–26°S is slightly drier than comparable coasts in either South America or Australia, because the south-easterly Trade winds

have lost much of their moisture over Madagascar.

Most rain near Cape Town (34°S) falls in winter (**Figure 16.15**), and is due to cold fronts.

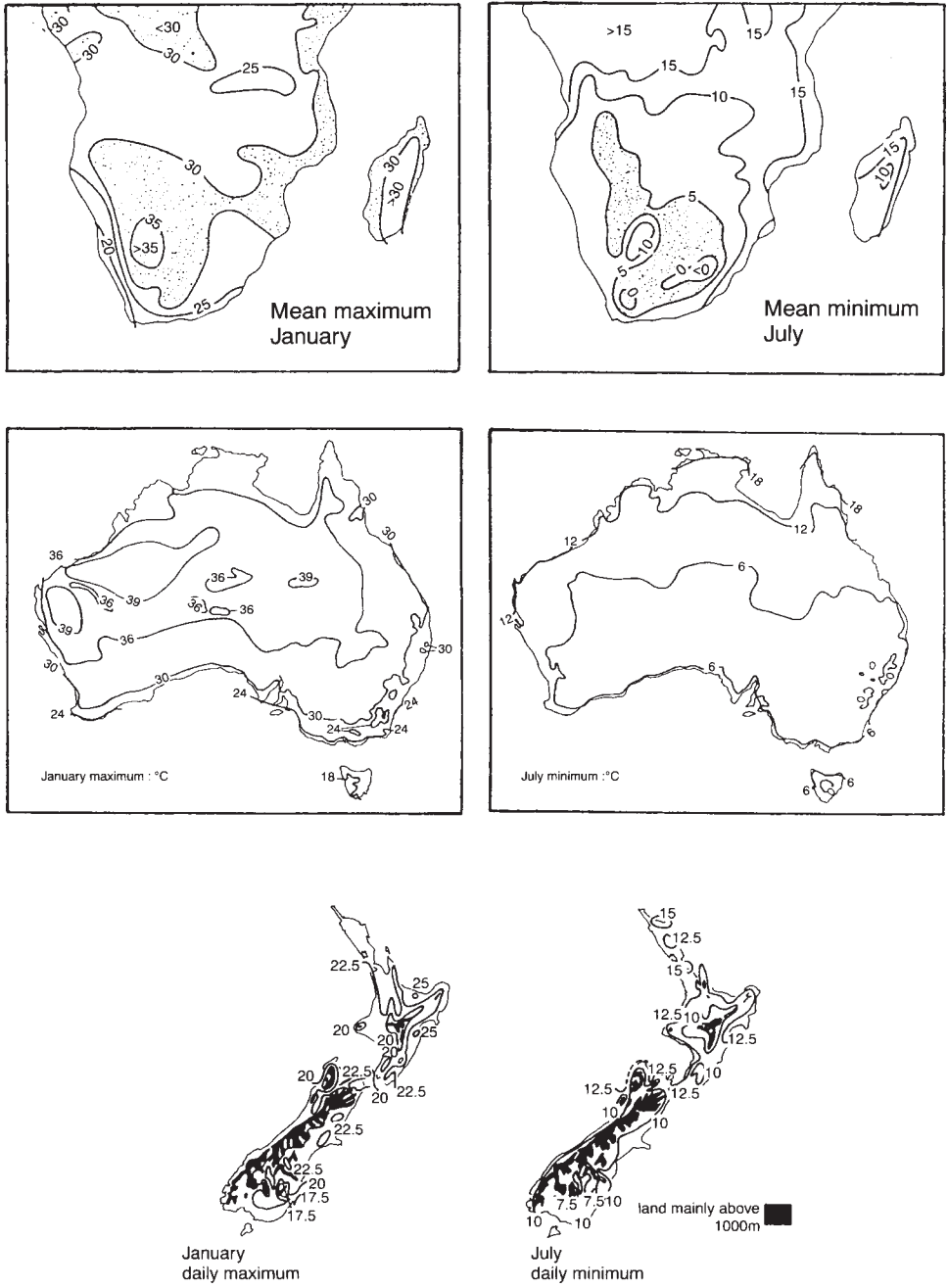


Figure 16.13 The mean daily minimum temperatures in the coldest month and the mean daily maximum in the hottest, in (a) South Africa, (b) Australia, and (c) New Zealand.

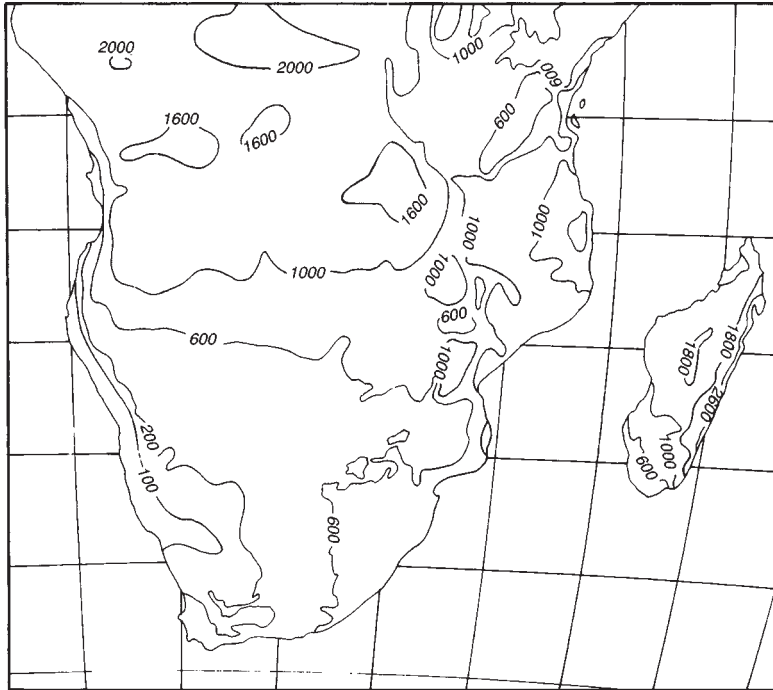


Figure 16.14 Annual rainfall (mm/a) in southern Africa.

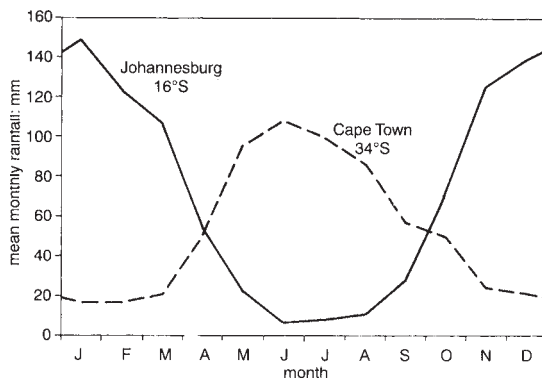


Figure 16.15 Seasonal variation of rainfall at Johannesburg and Cape Town.

Thunderstorms are rare. As a result, there is a dry-summer 'Mediterranean climate' (Figure 16.16). Such a pattern differs from that over most of the continent, where most rainfall is derived from summertime thunderstorms.

Two rainy seasons tend to occur in the equatorial region, the main one shortly before the Sun crosses the equator towards the Tropic of Capricorn, and a second one shortly after it recrosses. There is a mid-year dominance of rainfall along the East African coast down to 6°S, presumably because the easterlies due to the Asian monsoon circulation are strongest then. Year-end monsoon westerlies lose much of their moisture over the high plateau of Kenya.

Rainfall variability (Figure 10.9) increases towards the dry south-west. There the annual rainfall was 3,027 mm in 1917 at Broederstroom (24°S at 1,554 m) but only 1,033 mm in 1941. Along the coasts of Namibia and Angola, the

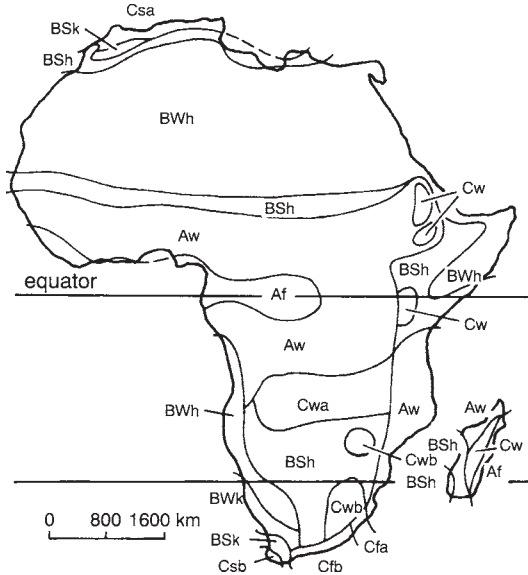


Figure 16.16 The Köppen classes of climates in Africa.

variability exceeds 50 per cent. Wet years there tend to correlate with weaker-than-normal easterly winds in the equatorial Atlantic and higher-than-normal SST (Figure 10.18).

In summary, most of the African plateau south of the equator has a moderate winter-dry climate (Cw in Köppen's classification, Table 16.A.1), not a tropical A climate, as might have been expected from the latitude.

## The Deserts

The greatest part of southern Africa suffers drought occasionally (Figure 10.16), partly on account of variations of SST nearby (Section 10.7). But some areas are so consistently short of rain as to be deserts. There are two in particular, the coastal Namib (17–29°S) and the adjacent Kalahari, inland (Figure 16.10). The former is like the Atacama (Section 16.4) but less extensive and less extreme. There are aridity, small ranges of daily and annual temperature at

the coast and frequent fog or low stratus, with fine drizzle called 'moth rain', all resulting from the cold currents on the west coast. Also, the marine surface air is capped by an inversion at about 500 m (Figure 7.10). The air above the inversion usually originates over the Kalahari plateau, so it is dry and warm, and subsidence causes further warming and drying. This inversion can be as much as 12 K, especially in summer, when surface maxima on the Kalahari plateau are about 35°C (Figure 16.13).

The Kalahari desert covers about 260,000 km<sup>2</sup>, a sandy plateau flattened by extensive erosion. It is not totally sterile, since there are thorn bushes and salt-tolerant succulents in shallow depressions as a result of about 200 mm/a of rain in the western part and 430 mm/a in the east, almost all falling in summer. The dryness and distance inland result in an annual range of temperature which is considerable. In some places the July mean minimum is below freezing and the January maximum above 35°C, with extremes of -13°C and 47°C.

## Madagascar

Madagascar (12–26°S) is the world's fourth largest island (after Greenland, New Guinea and Borneo), with an area over twice that of New Zealand's two islands. There is a central mountain range, rising above 2,000 m in some parts. The ITCZ is centred over the northern end of the island in summer (Figure 16.12) bringing heavy rain there. The whole island is generally exposed to the moist Trade winds, causing orographically enhanced precipitation on the east throughout the year, of 2,000–3,700 mm/a. Most people live on a central plateau at 800–1,500 m elevation, where rainfalls are 1,300–2,000 mm/a, and the monthly mean temperatures 15–21°C (i.e. a Cw climate, Figure 16.16).

The western side of the island is dry in winter, being in the lee of the central range, but wet in summer because of tropical cyclones and the bend of the ITCZ. There are strong sea breezes in



summer. On average, the west coast is about 2 K warmer than the east, on account of the foehn effect (Note 7.E).

The south-west corner is semi-arid, with less than 400 mm/a, because it lies under the south Indian ocean high in winter, and is protected by the mountains from the northern monsoon wind in summer.

## 16.6 AUSTRALIA

This is the smallest and flattest of the continents, with a mean elevation of about 300 m. The mountains are chiefly within the Dividing Range, which stretches along the eastern coast. They are about 1,000 m high, higher to the south and lower to the north.

The country stretches from 11°S to 44°S, which places most of it in the zone of subtropical highs (Figure 12.7), with their descending air, cloudlessness and consequent aridity. The ample west-east dimension of about 4,000 km allows the development of continental tropical air masses (Section 13.2), and considerable modification of air from the oceans, so that cold fronts entering from the west are stirring up dry desert air by the time they reach the Nullarbor plain downwind, and Trade winds from the east are dry when they reach the interior of Queensland. In contrast, polar air from the south is unmodified by intervening land, and dominates climates in the south of the continent.

The mainly coastal population experiences both the devastating and the moderating effects of the oceans, such as tropical cyclones and sea breezes. The country is outstandingly vulnerable to droughts induced by El Niño (Section 10.7), to floods and strong winds due to tropical cyclones, and to bushfires (**Note 16.E**).

### Winds

There is a seasonal shift of the pattern of surface pressures which determines the gradient winds,

with the overall highest pressures at about 36°S in summer and 30°S in winter (Figure 13.16). Individual anticyclones south of the Tropic are remarkably mobile—this is a unique feature of Australian weather. They drift from the west at 500 km/day, for instance, sometimes linger over the continent as blocking highs, and then continue eastwards. Any blocking lasts a much shorter time than in the northern hemisphere, presumably because of the smaller and flatter land mass. The mobility implies that the wind alternates regularly between north-west and south-west (to the south of the axis of the highs) and between south-east and north-east (to the north).

The movement of surface highs can alternatively be regarded as the passage of troughs across a fixed subtropical band of high pressure. These mobile lows are the result of divergence in the vicinity of the jet streaks associated with active Rossby shortwaves (Note 12.J). The troughs are associated with surface cold fronts, which may penetrate as far north as 15°S in Queensland, as shallow intrusions of cold air.

Figure 13.11 shows typical pressure patterns in summer and winter. A high dominates the continent in winter, but covers the Australian Bight to the south in summer (Figure 13.16), changing the direction of winds prevailing over the southern coast. Cold fronts across the south are followed by cold marine southerlies, which become unstable over the relatively warm land in winter and hence yield rain in the form of showers.

In summer, a heat trough is found across the northern part of Australia, often with two centres—the Pilbara low and the Cloncurry low (Section 13.4). The trough draws the ITCZ into northern Australia. The north-westerly winds on the equatorward side of the trough bring monsoonal rains between December and April, a period called the ‘Wet’ (Sections 3.3, 6.5 and 12.1). At the same time, northern coasts are also vulnerable to tropical cyclones (Figure 13.13).

About two cyclones a year strike the western coast, sometimes as far south as Perth. This is the only region in the world where tropical cyclones strike a western coast, due to the warm current down the west coast instead of the normal cold current (Figure 11.15). The TCs bring occasional heavy rains to the north-western desert.

Surface ventilation at the coast is governed by sea breezes and land breezes (Figure 14.13). These make Perth the windiest capital city, with an average of 4.4 m/s (Table 16.5). Canberra is the least windy (i.e. 1.7 m/s) because it lies inland, and usually near the centre of a high in winter (Figure 13.16). Extreme wind gusts (Table 14.2) may occur both inland and at the coast, usually in the vicinity of thunderstorms (Section 14.4).

About a dozen tornadoes are reported each year, the majority in the southern half of the continent, outside the dry interior (Section 7.5). The hardest hit area seems to be the Sydney metropolitan region, with about two tornadoes annually. Most tornadoes in Australia are spawned by severe convection in late spring and occur within 6 degrees latitude of a jet stream or 14 degrees longitude of an upper trough.

## Temperature

Temperatures range from occasionally over 35°C to below freezing in the Snowy Mountains

in the south-east in winter. Temperatures are over 30°C at Brisbane for about 150 hours annually (Figure 16.17), but almost never above 35°C, which is critical for mortality amongst the aged (Note 3.C). For comparison, Canberra is hotter than 35°C for about 40 hours annually. The places differ because Brisbane experiences sea breezes and is more cloudy than Canberra in summer (Figure 8.16). However, Canberra's heat is more bearable because it is drier (Note 6.F), and the nights cool off more (Table 16.6).

Temperatures at Perth normally exceed 40°C at least once each summer, when the Pilbara low extends along the west coast and directs

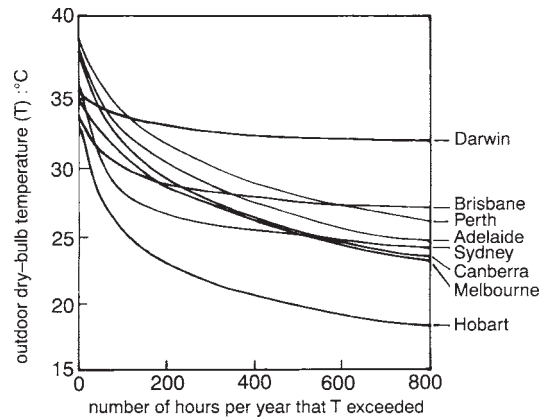


Figure 16.17 The number of hours each year when various temperatures are exceeded.

Table 16.5 Features of the climates of seven places in Australia

| Place         | Latitude<br>(°S) | Elevation<br>(metres) | Cloud<br>(oktas) |      | Sunshine<br>(hours/d) |      | Solar radiation<br>(W/m <sup>2</sup> ) |      | Dewpoint<br>(°C) |      | Wind speed<br>(m/s) |      |
|---------------|------------------|-----------------------|------------------|------|-----------------------|------|--|------|------------------|------|---------------------|------|
|               |                  |                       | Jan              | July | Jan                   | July | Jan                                    | July | Jan              | July | Jan                 | July |
| Darwin        | 12               | 30                    | 5.9              | 1.3  | 5.9                   | 9.8  | 213                                    | 224  | 24.7             | 15.5 | 2.6                 | 3.4  |
| Alice Springs | 24               | 545                   | 2.3              | 0.7  | 11.0                  | 9.5  | 310                                    | 186  | 10.6             | 1.8  | 2.6                 | 1.9  |
| Perth         | 32               | 19                    | 2.3              | 4.5  | 10.5                  | 5.3  | 317                                    | 109  | 12.8             | 8.2  | 4.9                 | 3.9  |
| Sydney        | 34               | 42                    | 4.7              | 3.5  | 7.2                   | 6.2  | 261                                    | 121  | 16.5             | 6.4  | 3.4                 | 3.2  |
| Canberra      | 35               | 577                   | 4.1              | 4.4  | 8.9                   | 5.2  | 300                                    | 110  | 11.0             | 1.0  | 1.8                 | 1.4  |
| Melbourne     | 38               | 35                    | 4.1              | 5.2  | 8.1                   | 3.7  | 289                                    | 73   | 11.0             | 5.3  | 3.6                 | 3.6  |
| Hobart        | 43               | 54                    | 5.0              | 4.8  | 7.9                   | 4.3  | 269                                    | 64   | 8.4              | 3.0  | 3.5                 | 3.0  |

north-easterly desert winds onto the city, blocking the sea breeze (Section 13.4). The hottest month north of 20°S is November, during the 'build-up' prior to the monsoon (Section 6.5).

Table 16.6 shows that winter temperatures are notably low inland, e.g. at Alice Springs and Canberra, and that the daily range is greater there than at places on the coast (Figure 3.10).

## Precipitation

Australia as a whole has an average rainfall of only 419 mm/a, so it is a dry continent (Figure 10.3) dominated by deserts (Figure 16.10). Areas which receive 375–750 mm/a in northern Australia or 250–500 mm/a in the south, are sometimes called *semi-arid* and occupy about 30 per cent of the whole country (**Figure 16.18**). A further 40 per cent is conventionally termed *arid*, with a rainfall of less than 375 mm/a in the north or 250 mm/a in the south. A place inland near Lake Eyre at 28°S in South Australia has had an average of only 81 mm/a over fifty-seven years.

An isohyet of historic importance in South Australia was the 'Goyder Line', drawn in 1865 after a series of disastrous droughts to

define the limit to wheat farming. It corresponded roughly to the modern-day 350 mm/a isohyet, or (more importantly for wheat farming) 200 mm between April and November.

Variations of rainfall within the continent and during the year are shown in Figure 16.18. The highest average rainfalls in Australia are about 3,500 mm/a, measured on coastal hills in Queensland, facing the Trade winds and tropical cyclones. The record at Bellenden Ker there was 11,251 mm in 1979 (Section 10.2).

Rainfall is seasonal, according to the latitude. In the north, the rain comes from thunderstorms due to intense convection during summer, e.g. 1,668 mm/a in sixty days at Darwin (12°S). By contrast, rain falls at Cradle Valley in Tasmania (42°S at 914 m elevation) on about 237 days each year and totals 2,774 mm/a, resulting from the vast upwind fetch over the sea, and orographic uplift or fronts. Rainfall intensities are generally greater in the north (Figures 10.1 and 10.2).

The main rainfall regimes in Australia are shown in **Table 16.7**. Precipitation along the south-west coast of Australia varies as in central Chile and South Africa's south-west coast (Figure 10.3), with wet winters and dry summers south of about 30°S and arid conditions to the north.

Table 16.6 Monthly mean daily maximum and minimum temperatures (°C) at places in Australia, and the annual means

| Place         | Latitude (°S) | January |     | July |     | Annual mean |
|---------------|---------------|---------|-----|------|-----|-------------|
|               |               | Max     | Min | Max  | Min |             |
| Darwin        | 12            | 32      | 25  | 31   | 19  | 28          |
| Wyndham       | 15            | 36      | 26  | 31   | 19  | 30          |
| Alice Springs | 24            | 36      | 21  | 19   | 4   | 21          |
| Brisbane      | 27            | 29      | 21  | 20   | 9   | 20          |
| Perth         | 32            | 29      | 17  | 17   | 9   | 18          |
| Sydney        | 34            | 26      | 18  | 16   | 8   | 17          |
| Adelaide      | 35            | 30      | 16  | 15   | 7   | 17          |
| Canberra      | 35            | 28      | 13  | 11   | 1   | 13          |
| Melbourne     | 38            | 26      | 14  | 13   | 6   | 15          |
| Hobart        | 43            | 22      | 12  | 11   | 4   | 13          |

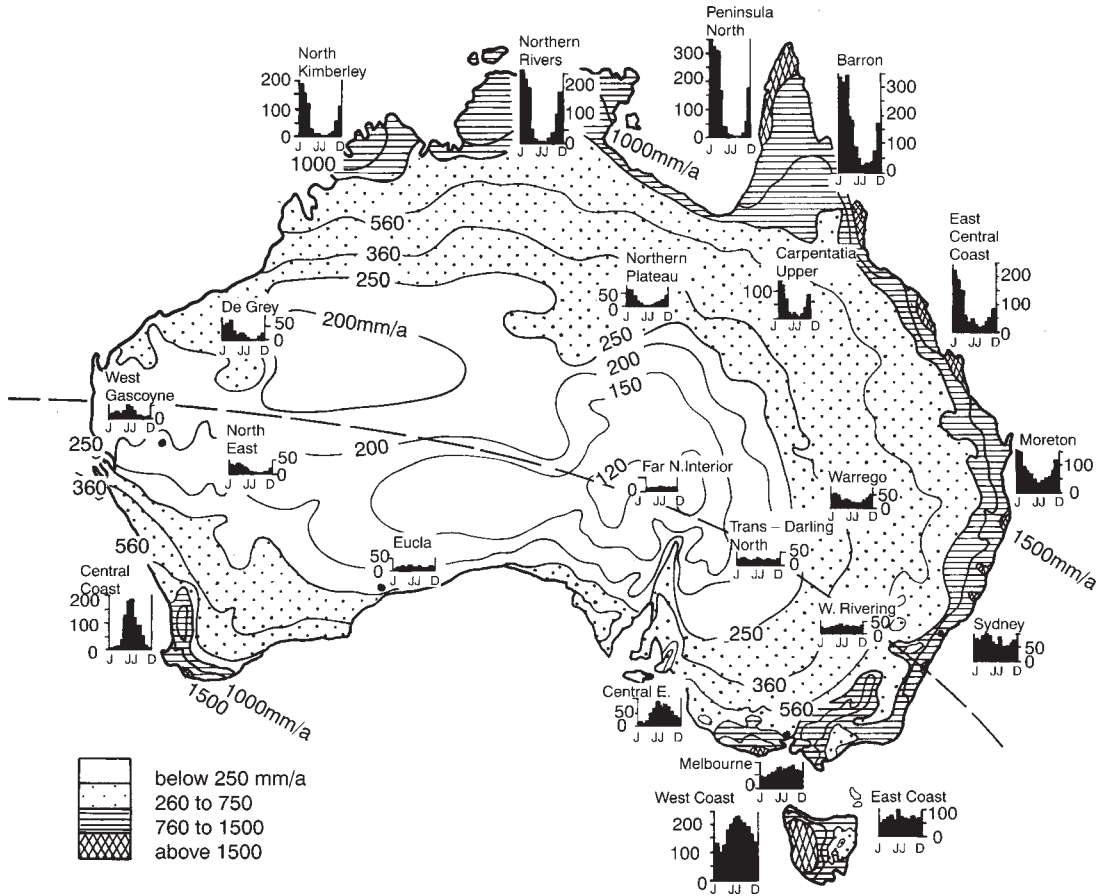


Figure 16.18 Monthly and annual mean precipitation in Australia. The line separates predominantly winter rain (to the south) from mainly summer rain (to the north).

Along the east coast there is a variation from a well-defined wet summer in Queensland to winter dominance in Victoria to the south (Table 10.3). The wet summers, which occur from 30°S on the east coast, and around the top and down to 15°S on the west coast, are due to both convective uplift caused when the Sun is high, and to winds from off warm seas, drawn inland by heat lows. A slanted line across Australia in Figure 16.18 separates areas with mostly winter rain to the south (due to cold fronts) from areas with predominant summer rains to the north, due to convection and the ITCZ. Similar, but

even more slanted lines can be drawn across South America (from 10°S on the west coast, to 45°S on the east coast) and southern Africa (from 20°S to 33°S).

Australia's interior is dry in summer, apart from occasional thunderstorms. This occurs for two reasons: (i) the Dividing Range along the east coast extracts moisture from the onshore winds and shelters places downwind, and (ii) heat lows in the north and the high south of Australia prevent southward penetration of wet monsoonal winds. Most of the rain in the arid interior north of 26°S comes from mesoscale

Table 16.7 Rainfall regions of Australia

| <i>Region</i>                        | <i>Approximate latitudes</i> | <i>Main cause of rain</i>                       | <i>Period of most rain</i>           |
|--------------------------------------|------------------------------|---|--------------------------------------|
| North                                | 10–20°S                      | Thunderstorms, tropical cyclones                | Dec–April                            |
| North-west                           | 25–15°S                      | Tropical cyclones                               | Jan–April                            |
| Queensland coast and ranges          | 10–28°S                      | Trade-wind cumulus and tropical cyclones        | Summer (weakly)                      |
| NSW coast and ranges                 | 29–37°S                      | Easterly troughs and frontal convection         | Nearly uniform (autumn)              |
| South-east Australia                 | 30–45°S                      | Cold fronts and summer thunderstorms            | Winter (weakly)                      |
| South-west Australia<br>The interior | 25–35°S                      | Cold fronts<br>MCCs* and isolated thunderstorms | Winter (markedly)<br>Summer (weakly) |

\* See Section 9.5

convective systems (Section 9.5), either arising within easterly waves (Note 13.H) or left as remnants of tropical cyclones to the north. Parts of the western interior receive most rainfall in March (Figure 16.18), when tropical cyclones are most common to the north-west. These storms travel inland from the north coast down almost to Kalgoorlie (at 31°S) and may deposit large amounts of rainfall, causing flash floods. In general, much of the rain falling from isolated storms in the desert evaporates before reaching the ground, because the clouds are so high and the air so dry.

The interannual variability of rainfall in Australia is high, but not as extreme as in either Brazil's Nordeste (Section 16.4) or the Namib desert in Africa (Section 16.5). The variability in eastern and northern Australia is largely explained by ENSO events (Section 12.7), and governs the kind of vegetation (**Note 16.F**) and the frequency of bushfires (Note 16.E). Rainfall variability in western Australia is less well understood, but appears to be affected by the SST in the Indian ocean.

Snowfall on mountains in south-east Australia (Figures 10.20 and 10.21) is associated with the cold winds directed north by intense anti-cyclones over the Australian Bight, south of the

continent. Strong winds on the eastern side of such a high bring maritime polar air from 50–60°S, where sea-surface temperatures are within a few degrees of freezing.

## Climates

**Figure 16.19** shows the Köppen classification of Australia's climates. Note the Mediterranean climates Cs near Perth and Adelaide, and the small strip of tropical rainforest climate (Af) just south of Cairns on the north-east coast. B climates clearly dominate. Some homoclimes are shown in **Table 16.8**.

## New Guinea

New Guinea (consisting of Irian Jaya and Papua New Guinea) is located between 1–11°S. It is essentially mountainous, and the highest peak (Mt Jaya at 5,040 m) even bears a small glacier.

Its climate can be largely explained by the movement of the ITCZ (Figure 12.1). Its shift to the south at year's end leads to copious rains on the north coast, because the ITCZ draws north-easterly Trades from warm seas in the

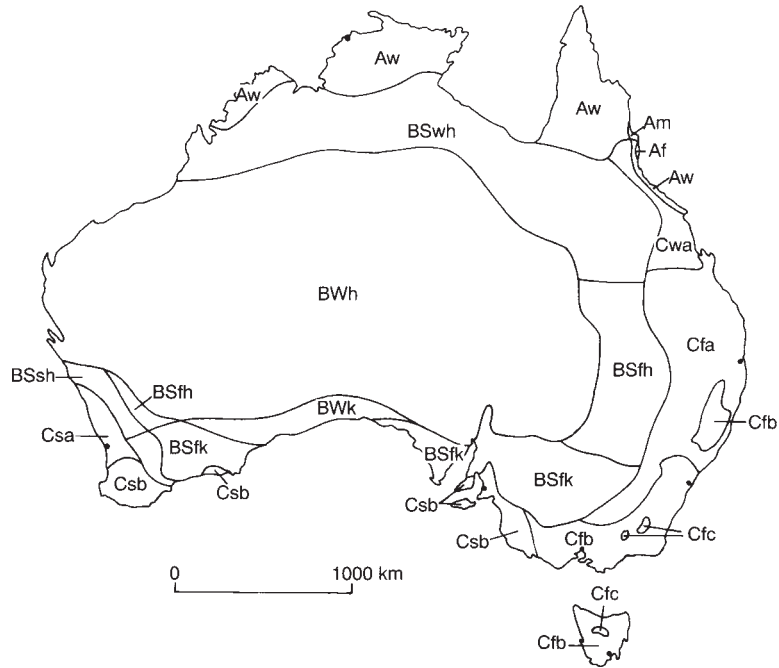


Figure 16.19 Köppen classes of climates in Australia.

Table 16.8 Homoclimes of towns in Australia

| <i>Place in Australia</i> | <i>Latitude</i> | <i>Köppen class</i> | <i>Southern homoclimate</i> | <i>Northern homoclimate</i> |
|---------------------------|-----------------|---------------------|-----------------------------|-----------------------------|
| Innisfail                 | 17°S            | Af                  | Salvador, Brazil            | Miami, USA                  |
| Cairns                    | 17°S            | Am                  | Brasilia, Brazil            | Lagos, Nigeria              |
| Darwin                    | 12°S            | Aw                  | Rio de Janeiro              | Calcutta, India             |
| Bourke, NSW               | 30°S            | BSh                 | Gabarone, Botswana          | Kartoum, Sudan              |
| Griffith, NSW             | 34°S            | BSh                 | La Pampa, Argentine         | Denver, USA                 |
| Alice Springs             | 24°S            | BWh                 | Moçamedes, Angola           | Aswan, Egypt                |
| Broken Hill               | 32°S            | BWk                 | Mendoza, Argentina          | Las Vegas, USA              |
| Sydney                    | 34°S            | Cfa                 | Buenos Aires                | Milano, Italy               |
| Brisbane                  | 28°S            | Cfa                 | Durban, S. Africa           | New Orleans, USA            |
| Canberra                  | 35°S            | Cfb                 | Port Elizabeth, S. Africa   | Paris, France               |
| Melbourne                 | 38°S            |                     |                             |                             |
| Cradle Valley             | 42°S            | Cfc                 | Punta Arenas, Chile         | Reykjavik, Iceland          |
| Perth                     | 32°S            | Csa                 | Santiago, Chile             | Sevilla, Spain              |
| Adelaide                  | 34°S            |                     |                             |                             |
| Mt Gambier, SA            | 38°S            | Csb                 | Cape Town, S. Africa        | San Francisco, USA          |
| Mackay, Qld               | 21°S            | Cwa                 | Belo Horizonte, Brazil      | Hong Kong                   |

northern hemisphere onto the mountains (Figures 11.2, 12.4 and 12.6). For instance, Madang on the north coast receives 2,891 mm from October to May, which is about three times what falls on the south coast during the same period. Most of the south coast remains dry in mid-year, when the ITCZ draws south-easterly Trades from Australia which provide little moisture. The east coast is always exposed to maritime Trade winds, so rain falls on eight days out of ten during June to August at Lae (7°S), depositing about 1500 mm, mostly from cumulus clouds.

Most summer rain is from thunderstorms, especially over the mountains, resulting from afternoon convection triggered by sea breezes and mountain winds. Thunderstorms are generally after midnight over the Gulf of Papua, west of Port Moresby, occasionally producing a strong gust front, locally called the 'guba' (Section 14.4).

Steady temperature of around 26°C and high humidity (except in winter along the south coast) make the coastal area much less comfortable than the mountains, which are traditionally more inhabited.

## 16.7 NEW ZEALAND

The factors which govern New Zealand's climates are its latitude between 34–48°S, its being surrounded by vast oceans and the range of mountains along much of its length of 1,900 km, up to 3,764 m high on Mount Cook at 44°S. (This is far higher than the 2,228 m of Australia's Mt Kosciusko.)

### Winds

The latitude puts the country across a regular succession of anticyclones and cold fronts. The highs usually pass over the North Island, especially in spring. There are intervening cold fronts, when warm north-westerlies back to

become cold southerly winds (Section 13–3). Sometimes the anticyclones are blocked in winter over the Tasman Sea, to the west of New Zealand, directing polar air masses onto the country (e.g. Figure 13.10). Single large anticyclones, or a series of them separated by only weak troughs, lead to fine weather in summer and frosts in winter.

Frontal disturbances often form over the Tasman Sea, especially in winter (Figure 13.11), but may become occluded (Section 13.3) by the time they reach New Zealand. Cold fronts are often associated with vigorous storms, and sometimes tornadoes in the North Island.

The range along the South Island tends to steer lows further south, or else block them. The result is two distinct tracks for lows, either south of the country or irregularly across the North Island. In the second case, a low upwind of the island gradually fills, whilst a new low forms over the ocean to the south-east. This lee cyclogenesis (Note 12.K) can take forecasters by surprise and cause a sudden windshift from warm, dry north-westerly to much cooler, wet southerly winds in Christchurch, for instance.

The mountains deflect the westerly winds which prevail for about 40 per cent of the time. The winds are funnelled by the gap of Cook Strait, making Wellington a notably windy city (**Table 16.9**). Speeds exceed 25 m/s (90 km/h) in Wellington on about thirty days each year, ten times the frequency at Auckland to the north or Christchurch to the south. Winds tend to be slightly stronger in summer than in winter.

### Temperatures

The numbers of hours of sunshine each day at Auckland, Wellington and Christchurch (Figure 8.15, Table 16.9) about equal those at the same latitudes in Australia, and so daily maximum and minimum temperatures are also similar. Table 16.9 indicates a change of mean temperature of about 0.5 K per degree of

Table 16.9 Features of four coastal cities in New Zealand

| Feature          | Auckland<br>(37°S) |      | Wellington<br>(41°S) |      | Christchurch<br>(44°S) |      | Dunedin<br>(46°S) |      |
|------------------|--------------------|------|----------------------|------|------------------------|------|-------------------|------|
|                  | Jan                | July | Jan                  | July | Jan                    | July | Jan               | July |
| Sunshine (h/day) | 7.6                | 4.2  | 7.6                  | 3.4  | 6.8                    | 4.1  | 5.7               | 3.3  |
| Daily max (°C)   | 23                 | 13   | 21                   | 12   | 21                     | 10   | 19                | 9    |
| Daily min (°C)   | 16                 | 8    | 13                   | 6    | 12                     | 2    | 10                | 3    |
| Dewpoint (°C)    | 13                 | 8    | 13                   | 5    | 11                     | 2    | 10                | 2    |
| Rainfall (mm)    | 79                 | 145  | 81                   | 137  | 56                     | 69   | 86                | 79   |
| Wind (m/s)       | 2.8                | 2.5  | 8.1                  | 6.9  | 4.7                    | 3.3  | 2.8               | 2.8  |

latitude. The change with elevation is around 7 K/km.

No part of New Zealand is more than 130 km from the sea, which reduces both the annual and daily ranges of temperature to 9 K or thereabouts. The highest temperatures are recorded east of the mountain ranges, usually in association with a north-westerly foehn wind. But temperatures depend greatly on local circumstances; there was only one frost in fifty years at Albert Park in Auckland, and about eight each year at a nearby aerodrome.

Fronts generally bring only small changes of temperature. An exception are changes of over 10 K around Cook Strait, whenever air heated by warm land or by a foehn effect is replaced by polar winds.

## Precipitation

The incident winds are moistened by the surrounding oceans, so that there is considerable orographic cloud, notably along the South Island range. This is the 'long white cloud' for which New Zealand is famous. Cloudiness is greater in winter and at higher latitudes (Table 16.9).

In general, the mountains cause orographic precipitation on the west, and a rain-shadow and foehn effect (Note 7.E) on the east (Figure 10.4). Heavy rains are brought by moist north-westerlies before a cold front, resulting in an estimated 10,000 mm/a or more in some parts

of the Alps. The record amount of 559 mm in one day was measured at Milford Sound (at 45°S on the west), where the annual average is 6,350 mm. In contrast, the only part of New Zealand with less than 600 mm/a is on the east of the range; some places in Central Otago receive only 300 mm/a.

The particularly strong westerlies of spring increase the rainfall on the west coast but decrease precipitation in the lee on the east. Inland showers arise in summer from convection and from convergence of sea breezes from opposite sides, especially on the North Island.

There is rain over most of the North Island on at least 150 days each year. Rain in winter comes from the lows which form to the west or north-west, whilst anticyclones in summer reduce the rainfall in that season. The remoteness of the lows lessens their influence on the rainfall in the South Island. As a consequence, there is a *northwards* increase in the proportion of rain during winter, which contrasts with the pattern in Australia (Section 16.6).

The number of thunderdays in New Zealand is shown in Figure 9.13. They are most common on the west of the South Island, triggered by orographic uplift.

Precipitation falls as snow on the highest parts of the Southern Alps, and lies briefly down to 700 m above sea level in Otago. Permanent snow lies above 2,400 m in the North Island and 2,100 m in the South Island. Glaciers between 43–45°S cover 5 per cent of South Island, and the



Fox and Franz Josef glaciers descend to within 300 m of sea-level. The glaciers retreated with accelerating speed until about 1985 (Figure 15.13), presumably on account of more frequent blocking highs, reducing cloudiness, so that skies are clearer and rainfall less. On the other hand, recent advancing of the glaciers is attributed to stronger westerly winds, increasing precipitation onto the snowfields.

## Climates

There is a remarkable range of climates, but most of New Zealand falls within the Cfb category in Köppen's classification. A more detailed classification is described in **Table 16.10** and displayed in **Figure 16.20**.

## 16.8 CONCLUSIONS

The foregoing can be augmented by discussing Indonesian climates and also north-hemisphere climates (Notes 16.J and 16.K). From all this and from the previous parts of the book we reach the following conclusions:

- 1 Weather and climate are different aspects of the atmospheric condition—the first dealing with processes taking a day or so, while the other describes the overall character at a particular place over a period such as thirty

years. The climate is a composite of the weather.

- 2 Weather and climate in the southern hemisphere are different from what is observed in the north. There is the obvious reversal of the Coriolis force affecting all large-scale rotation of lows, highs, tropical cyclones,

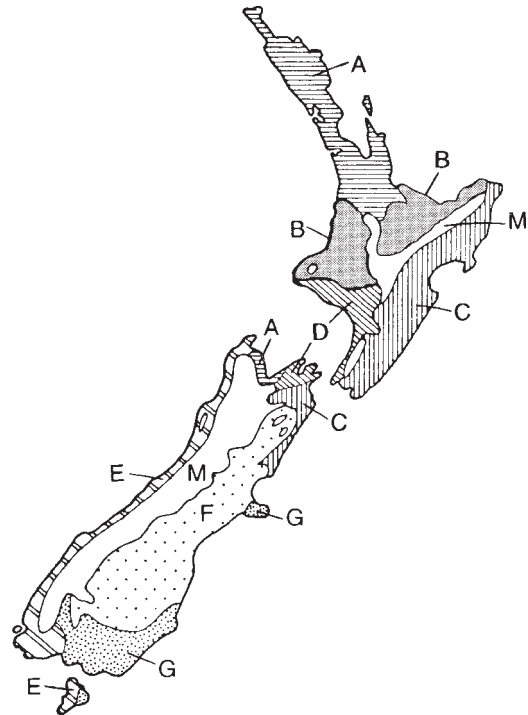


Figure 16.20 The distribution of climates in New Zealand, as defined in Table 16.10.

Table 16.10 A climate classification for New Zealand. The distribution is shown in Figure 16.20.

| Class | Summer           | Winter | Annual rain (mm) | Wettest months | Rain-bearing wind              |
|-------|------------------|--------|------------------|----------------|--------------------------------|
| A     | Warm             | Mild   | 1,100–2,500      | July–August    | North-west                     |
| B     | Very warm        | Mild   | 1,000–1,500      | Any            | North-west                     |
| C     | Very warm        | Mild   | 650–2,000        | Any            | South                          |
| D     | Warm             | Mild   | 900–2,000        | May–July       | Westerly (often gale strength) |
| E     | Mild             | Mild   | 1,000–3,000      | November–May   | North-west                     |
| F     | Warm             | Cold   | 330–1,500        | March–May      | South                          |
| G     | Warm             | Cold   | 650–1,250        | December–June  | South-west                     |
| M     | Mountain climate |        | 1,000–3,000      | Any            | West                           |

- tornadoes, ocean currents and jet streams. But there is also the importance of the oceans, the subtropical position of some continents, the extreme coldness of Antarctica, and the mainly north-south orientation of mountain chains in the south.
- 3 Explaining the weather is difficult because numerous factors are involved. In brief, weather (and consequently the climate) depends on three factors—(i) atmospheric processes (e.g. wind, the advection of energy and moisture, radiation, cloud physics, instability and turbulence), (ii) surface characteristics (albedo, roughness, soil moisture, evaporation, ocean currents, etc.) and (iii) features of geography (the Earth's turning, the latitude, altitude, proximity to the sea, etc.). These do not act independently but interact, with feedback loops of various scales of time and space, all complicating the problems of weather prediction, for instance. The interrelatedness of the various aspects of our atmospheric environment is indicated by the considerable cross-referencing within the book.
  - 4 Several of the Notes attached to the chapters illustrate how relevant the study of weather and climate is to everyday life. Other examples include the forecasting of crop yield (**Note 16.G**), house design (**Note 16.H**) and preparations for outdoor sports (**Note 16.I**).
  - 5 Comparison of the text with any similar book written only a few decades ago indicates what great progress is being made in observing, understanding and forecasting, despite the complexity of the subject. Considerable contributions have been made by satellite observations and computer modelling. Advance has been fostered by the importance of problems associated with droughts, tropical cyclones, acid rain, agricultural production and climate change, for instance.
  - 6 Many aspects remain to be explained satisfactorily, including the mechanism of ENSO events, the future development and implications of the Antarctic ozone hole, the rate of global warming in the coming century, the interaction between atmosphere and ocean on time-scales ranging from a few days to a few years, and the effect of deep ocean circulations on climate. In addition, weather forecasting promises to continue to improve into the twenty-first century, especially nowcasting (e.g. the prediction of severe thunderstorms) and extended-range forecasting.
  - 7 Finally, this survey of what is known about weather and climate demonstrates what a fascinating subject it is. It combines theory, experiment and practical application, it involves microscopic, local and global viewpoints, it links ideas of conditions millions of years ago to a concern for the future, it draws nations together in co-operative endeavour. In view of this, we hope that the reader will feel encouraged to pursue the study of weather and climate in more detail.

## NOTES

- 16.A Köppen's classification of climates
- 16.B The 'Canberra metric' of similarity
- 16.C Selecting a site for a telescope
- 16.D Deserts
- 16.E Australian bushfires
- 16.F Kinds of vegetation in Australia
- 16.G Estimating crop yield from climate information
- 16.H Climate and housing
- 16.I Climate for the time of the Olympic Games in Sydney
- 16.J Indonesian climates
- 16.K A comparison between northern and southern climates
- 16.L European climates
- 16.M North America climates



Part VI  
SUPPLEMENTS



# ACKNOWLEDGEMENTS

## 17.1 ASSISTANCE

We are grateful to many people. It would have been impossible to prepare this book without data from several members of the Australian Bureau of Meteorology, and without the skilled preparation of the diagrams from Kevin Cowan and Val Lyon of the Geography Dept, Australian National University, Canberra, by permission of Professor Diana Howlett, head of the department. We have benefited from the computer skills of Tim Gilbert (Purdue University, USA), Steve Leahy (Geography Dept, ANU, Canberra) and Jenny Edwards (Forestry Dept, ANU, Canberra).

## 17.2 ADVICE

In addition, the book would have been impoverished without the comments of the following experts on points in the indicated chapters:

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*Chapter 6.* Dr Richard deDear (School of Earth Sciences, Macquarie University, Sydney).

*Chapter 8.* Dr Martin Platt (CSIRO Division of Atmospheric Research, Melbourne), Prof. Steven Warren (University of Washington, USA).

*Chapter 9.* Dr Keith Bigg (previously of the CSIRO Division of Cloud Physics, Sydney).

*Chapter 10.* Dr William Gibbs (previously of the ABM, Melbourne), Christopher Robertson (John Oxley Library, Brisbane), David Morrison (Civic Centre, Grafton), D.Ingle Smith (Centre of Resource & Environmental Studies, ANU, Canberra), James Irish (NSW Dept of Water Resources, Sydney), Prof. Calvin Rose (Griffith University, Brisbane), Dr Vincent Kotwicki (South Australian Dept of Environment & Natural Resources, Adelaide).

*Chapter 11.* Dr Stewart Godfrey (CSIRO Division of Oceanography, Hobart), Dr Anthony Hirst (CSIRO Division of Atmospheric Research, Melbourne).

*Chapter 12.* Prof. Michael Fritsch (Pennsylvania State University, USA), Prof. David Karoly (CRC for Southern Hemisphere Meteorology, Monash University, Melbourne).

*Chapter 13.* Ian Forrest and Mike Rosel (ABM, Melbourne), Dr Chris Landsea (NOAA Hurricane Research Division, Miami, USA), Andrew Slater (Macquarie University, Sydney).

*Chapter 14.* Roger Nurse (School of Earth Sciences, Macquarie University, Sydney), Prof. Jorg Hacker (Flinders Institute for Atmospheric and Marine Sciences, Flinders University, Adelaide).

*Chapter 15.* Clem Davies and Keith Colls (ABM, Canberra), Dr Barrie Pittock (CSIRO Division of Atmospheric Research, Melbourne), Dr Geoffrey Hope (Dept of Archaeology & Natural History, ANU, Canberra), Pieter Visser (South African Weather Bureau).

*Chapter 16.* Dr Janette Lindesay (Geography Dept, ANU, Canberra), Dr Blair Fitzharris (Geography Dept, University of Otago, NZ), Prof. William Budd (University of Tasmania, Hobart).

### 17.3 TABLES, NOTES AND ILLUSTRATIONS

The following includes references to the sources of figures and notes in the supplementary CD. Most of the plates came from the collection of the Australian Bureau of Meteorology, Melbourne, and we are grateful for the help of Esther Amot in obtaining these.

The illustrations were mainly drawn by Kevin Cowan of the Geography Department of the Australian National University, Canberra. Most are adaptations of diagrams published by the following:

*Note 1.D* Lovelock 1986, Graedel and Crutzen 1989:32, Houghton *et al.* 1990, Wuebbles and Edmonds 1991:115, Hidore and Oliver 1993:378.

*Note 1.L* Hartmann 1994:370.

*Table 1.1* Hess 1974:649, Linacre 1992:12.

*Table 1.2* Houghton 1986, Wayne 1991:3.

*Plate 1.1* Courtesy of the Australian Bureau of Meteorology.

*Figure 1.1* Kasting 1993:923, Budyko 1982:43.

*Figure 1.2* (a) Gribbin 1988b:3, Keeling *et al.* 1989; (b) Ekdahl and Keeling 1973, Machta 1977; (c) Denmead 1991:76 (by permission of Kluwer Academic Publishers); (d) Denmead 1991:76 (by permission of Kluwer Academic Publishers).

*Figure 1.3* Sundquist 1993:935, Bolin *et al.* 1994:9.

*Figure 1.5* (a) Jones and Shanklin 1995:410 (curve of October ozone change over Halley Station: by permission from *Nature*, Macmillan Mag. Ltd); (b) NOAA 1993:62; (c) Australian Bureau of Meteorology, from NASA/ Goddard Space Flight Center.

*Figure 1.8* Peixoto and Oort 1992, Oort 1983.

*Figure 1.11* Oke 1988:474.

*Note 2 D* Oliver 1973:254, Griffiths 1976b: 100, Miller 1981:32.

*Note 2.K* Sasamori *et al.* 1972:19.

*Table 2.2* Fitzpatrick and Armstrong 1972:15, Paltridge and Proctor 1976:242.

*Table 2.4* Hartmann 1994:66.

*Table 2.5* Gay 1979:353 (by permission of Springer-Verlag).

*Table 2.6* Schwerdtfeger 1984:258.

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*Figure 2.2* Weyl 1970, Oliver 1973:15, Fleagle and Businger 1980.

*Figure 2.8* Waldmeier 1961, Schneider and Moss 1975, Lockwood 1979:27, Hartmann 1994:288.

*Figure 2.11* Budyko 1974:1, *World Survey of Climatology* 12:36 and 183 (by permission of Elsevier Science); 13:42; 15:336 and 582, Barry and Chorley 1992:25.

*Figure 2.12* Chang 1970, Oliver 1973:264, Linacre and Hobbs 1977:219.

- Figure 2.13* Monteith 1973:64 (by permission of the author).
- Figure 2.14* Nunez *et al.* 1987:9.
- Figure 2.15* Neiburger *et al.* 1981:78.
- Figure 2.16* Robertson 1972:278.
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- Figure 2.19* Paltridge 1975:38 (by permission of the Australian and New Zealand Association for the Advancement of Science)
- Table 3.1* Linacre and Hobbs 1977:27.
- Table 3.2* Redman and McCrae 1975 (courtesy of Dr Mary Redman).
- Table 3.3* Truppi 1976:17.
- Table 3.4* Fairbridge 1967:987.
- Table 3.6* Okoola 1992.
- Table 3.F.1* Edey 1977, Angus *et al.* 1981:365.
- Plate 3.1a* Dozy 1938, Hope *et al.* 1976. Photo supplied by Dr I.Allison of the University of Tasmania, with the agreement of Professor J.J.Dozy.
- Plate 3.1b* By permission of the Zeitschrift Für Gletscherkunde & Glazialgeologie; photo taken in 1991 by Peter Sedgwick, chief surveyor of Freeport Indonesia.
- Figure 3.4* Blüthgen 1966, Weyl 1970:78, Lamb 1972:149.
- Figure 3.5* Pearce and Smith 1984:211 (by permission of Hutchinson).
- Figure 3.6* Maejima 1977:125, Navarra 1979:476, Leffler 1980, Berry 1981:32.
- Figure 3.7* Kalma and Crossley 1981.
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- Figure 3.9* Dr Peter Jones, Centro Internacional de Agricultura Tropical, Cali, Colombia.
- Figure 3.10* Dick 1966:1.
- Figure 3.13* Oliver 1973, after Trewartha 1968.
- Figure 3.14* Strahler 1963:215, after M.Jefferson.
- Figure 3.15* Jones and Lindesay 1993:360.
- Figure 3.16* Oke 1987 (by permission of Methuen).
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- Figure 3 D.1* Ingram and Mount 1975:147.
- Figure 3.D.2* Roberts 1982:47 (by permission of the International Society of Biometeorology).
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- Table 4.2* Peixoto and Oort 1992:170–1, after Baumgartner and Reichel 1975.
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- Figure 4.9* Clemence and Schulze 1982.
- Figure 4.11* Peixoto and Oort 1992:169 (by permission of the American Institute of Physics), Barry and Chorley 1992:44, after Budyko *et al.* 1962, Baumgartner and Reichel 1975.
- Figure 4.12* Jenny Kesteven, Centre for Resource & Environmental Studies, ANU, Canberra.
- Table 5.1* Sellers 1965:105, Budyko 1974:220, Edelstein 1992:265.
- Table 5.2* Weller 1980:2011.
- Table 5.3* Miller 1965:250.
- Table 5.4* Oke 1978, Oliver and Fairbridge 1987:417.
- Table 5.F.1* Newton 1972b:246, Hastenrath 1985:89 (by permission of Kluwer Academic Publishers).
- Figure 5.2* Budyko 1974:166, Peixoto and Oort 1992:235, Barry and Chorley 1992:45.
- Figure 5.3* Frohlich and London 1985.
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- Figure 5.6* Hoy and Stephens 1977.



- Figure 5.7* Greenland 1973:298 (by permission of Elsevier Science).
- Figures 5.8a, 5.8b* Lockwood 1974:34 (by permission of the author).
- Figures 5.8c, 5.8d* Budyko 1974:196 and 205.
- Figure 5.9* Denmead 1972:168.
- Figure 5.10* Deacon 1969:86.
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- Table 6.2* Australian Bureau of Meteorology 1973.
- Table 6.3* Crowe 1971:332 (by permission of Addison Wesley Longman).
- Plate 6.1* Courtesy of Dr J.Lindesay, Geography Dept, Australian National University, Canberra.
- Figure 6.2* Houghton 1984:148, Riviere 1989:50, Eagleson 1991:37, Chahine 1992:373.
- Figure 6.7* Okolowicz 1976:314.
- Figure 6.8* Dr Peter Jones, Centro Internacional Agricultura Tropical, Cali, Colombia.
- Figure 6.9a* Gentilli 1978:45 (by permission of the Department of Geography, University of Western Australia).
- Figure 6.9b* Booth 1981.
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- Figure 8.4* Trewartha 1968:144, Harvey 1976:26.
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- Figure 8.12* Clem Davies, Australian Bureau of Meteorology, Canberra.
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- Figure 9.2* Jones 1991:183.
- Figure 9.3* From data supplied by the Tasmanian Hydro-Electricity Commission and analysed by Dr Keith Bigg.
- Figure 9.5* Critchfield 1974b:122.
- Figure 9.6* Emanuel 1994:234.
- Figure 9.7* Garstang *et al.* 1990:26 (courtesy of the American Meteorological Society).
- Figure 9.8* Velasco and Fritsch 1987:9608.
- Figure 9.9* Gentilli 1972:116.
- Figure 9.10* Clem Davies, ABM, Canberra.
- Figure 9.11* Australian Bureau of Meteorology 1967, Atkinson 1971: Ch. 9, p.2, Griffiths

- 1972:29, Boucher 1976:39, Griffiths and Driscoll 1982:172, D.D.Houghton 1985:146.
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- Figure 9.14* Neuberger and Cahir 1969:90.
- Figure 9.16* Schulze 1972:523.
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- Table 10.2* Watterson and Legg 1967:4 (by permission of the Australian Bureau of Meteorology).
- Table 10.3* Australian Bureau of Meteorology.
- Table 10.4* Boyd and Bufill 1988.
- Table 10.5* Obasi 1994:1655.
- Table 10.6* David Morrison of Grafton City Council, and Smith *et al.* (1979:244) for the Lismore data.
- Plate 10.1* Thanks are due to the Japanese Meteorological Agency.
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- Figure 10.6* Newton 1972b:230, Riehl 1979:84, Hastenrath 1985:95 (by permission of Kluwer Academic Publishers), Peixoto and Oort 1992:168, after Wust 1954.
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- Figure 10.9* Lutgens and Tarbuck 1992, Atkinson 1971:6–20.
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- Figure 10.12* Australian Bureau of Meteorology.
- Figure 10.14* Instn Engineers Aust. 1977:40.
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- by Dr Janette Lindsay of the Australian National University. The Santiago rain data in row *i* were supplied by Dr Patricio Aceituno of that city.
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- Figure 11.8* Oberhuber 1988 (by permission of the Deutsches Klimarechenzentrum GmbH).
- Figure 11.9* Dr Stuart Godfrey; after Leutus 1982.
- Figure 11.15* Budd 1993:276.
- Figure 11.16* Priestley 1977:162.
- Figure 11.18* Duxbury 1974:223, Hastenrath 1985:66 (by permission of Kluwer Academic Publishers), Tomczak and Godfrey 1994:86, after Sverdrup *et al.* 1942.
- Figure 11.19* Gribbin 1991, Held 1993.
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- Figure 13.11* Wallace and Hobbs 1977:253, Emanuel 1994:478.
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- Note 15.E* Hines and Halevy 1977.
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- Table 16.3* Ratisbona 1976.
- Table 16.4* Pearce and Smith 1990.
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- Figure 16.14* Tyson 1969:1, Nicholson *et al.* 1988.
- Figure 16.16* *World Survey of Climatology* 10, p. 13 (with permission of Elsevier Science).
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# NOTES

The following are mentioned in the text of this book and will be found on the supplementary CD-ROM.

## NOTES FOR CHAPTER 1

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# ABBREVIATIONS

|       |   |               |   |
|-------|---|---------------|---|
| ABM   | Australian Bureau of Meteorology  | ICAO          | International Civil Aviation Organisation       |
| ANU   | Australian National University, Canberra                                | IGY           | International Geophysical Year                  |
| BP    | before the present  | IR            | infra-red (radiation)                           |
| cA    | a continental Antarctic air mass  | ITCZ          | Intertropical Convergence Zone                  |
| CAPE  | convective available potential energy                                   | LCL           | Lifting Condensation Level                      |
| CCL   | convective condensation level   | LFC           | level of free convection                        |
| CCN   | cloud condensation nuclei   | LIA           | Little Ice Age                                  |
| cE    | a continental equatorial-climate air mass                               | LNB           | level of neutral buoyancy                       |
| CFC   | chloro-fluoro-carbon  | LW            | longwave radiation                              |
| cP    | a continental polar-climate air mass                                    | MCC           | mesoscale convective complex                    |
| CRC   | Co-operative Research Centre  | mE            | a marine equatorial-climate air mass            |
| CSIRO | Commonwealth Scientific and Industrial Research Organisation, Australia | $\mu\text{m}$ | micrometre, i.e. 10 metres                      |
| cT    | a continental temperate-climate air mass                                | mP            | a marine polar-climate air mass                 |
| DALR  | dry adiabatic lapse rate  | MSE           | mean square error                               |
| DLR   | dewpoint lapse rate   | MSLP          | Mean Sea Level Pressure                         |
| EGE   | enhanced greenhouse effect  | MT            | a marine temperate-climate air mass             |
| ELR   | environmental lapse rate  | nm            | nanometre, i.e. $10^{-9}$ metres                |
| ENSO  | El Niño+Southern Oscillation  | NOAA          | National Oceanic and Atmospheric Administration |
| ESU   | equivalent sunburn unit   | NSW           | New South Wales                                 |
| ET    | Effective Temperature   | NWP           | Numerical Weather Prediction                    |
| GaBP  | thousand million years before present                                   | NZ            | New Zealand                                     |
| GCM   | General Circulation Model   | PAL           | present atmospheric level                       |
| gdd   | growing-degree-day  | PAR           | photosynthetically active radiation             |
| GMS   | Geostationary Meteorological Satellite                                  | PBL           | planetary boundary layer                        |
| GMT   | Greenwich Meridian Time   | PDSI          | Palmer Drought Severity Index                   |
| GtC   | a thousand million tonnes of carbon                                     | PFJ           | polar-front jet stream                          |
| GWP   | global warming potential  | PH            | a measure of acidity or alkalinity              |
| hdd   | heating degree-day  | PNP           | potential net photosynthesis                    |
|       |   | ppm           | parts per million                               |
|       |   | QBO           | Quasi-Biennial Oscillation                      |

|      |                                 |     |  |
|------|---------------------------------|-----|--|
| QG   | quasi-geostrophic               | STJ | subtropical jet stream                 |
| RH   | Relative Humidity               | svp | saturation vapour pressure             |
| RMS  | root mean square                | SW  | shortwave radiation                    |
| RSI  | Relative Strain Index           | TAW | total available water capacity of soil |
| SALR | saturation adiabatic lapse rate | TC  | tropical cyclone                       |
| SE   | south-east direction            | US  | United States of America               |
| SET  | standard effective temperature  | UTC | Coordinated Universal Time             |
| SMR  | saturation mixing ratio         | UV  | ultra-violet radiation                 |
| SOI  | Southern Oscillation Index      | WMO | World Meteorological Organisation      |
| SPCZ | South Pacific Convergence Zone  | WSI | weather-stress index                   |
| SST  | sea-surface temperature         | ZAB | Zaire Air Boundary                     |



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